Board of Regents' Meeting November 15, 2011 9:00 a.m. Student Union Building Ballroom C

Tab 1

AGENDA OF THE MEETING OF THE REGENTS OF THE UNIVERSITY OF NEW MEXICO November 15, 2011

9:00 a.m. – 11:30 a.m. 1:00 p.m. – 3:00 p.m. Student Union Ballroom C Regents Executive Session/Luncheon, 11:30 a.m. – 1:00 p.m. Cherry Silver Room

	<u>TAB</u>
١.	Confirmation of a Quorum: Adoption of the Agenda, Regent President Jack L. Fortner1
11.	Approval of Summarized Minutes of the October 11, 2011 BOR Meeting2
III.	President's Administrative Report, <i>President David J. Schmidly</i>
IV.	Comments from Regents
V.	Public Comment, specific to agenda items
VI.	Comments from Regents' Advisors
VII.	Presidential Search Update, Helen Gonzales, Vice President, Human Resources.
VIII	.Approval of the Pricing Resolution establishing the exact principal amount (up to \$46,500,000),5 setting interest rates and approving related documents for The Regents of the University of New Mexico Subordinate Lien System Refunding Revenue Bonds Series 2011. Andrew Cullen, AVP, Office of Budget
IX.	Regent Committee Reports Academic/Student Affairs & Research Committee, Regent Bradley C. Hosmer, Chair
	Consent Item: A. Approval of Posthumous Degree for Rose Marie Fuchs. 6
	Action Items: B. Approval of Special Education Graduate Certificate Program (signatures 3)
	Information Items: C. Approval of ASA Committee Work Plan
	Finance and Facilities Committee, Regent Don L. Chalmers, Chair
	Consent Items:
	A. Disposition of Surplus Property for Main Campus on list dated 10/14/2011. Bruce Cherin, Chief Procurement Officer

B.	Contract Approvals:
C.	Approval of Capital Projects
D.	Approval of University Business Policies and Procedures (UBPP) for Main, Branch Campuses and HSC for FY-2011
E.	Approval of Refunding of UNM-Valencia Series 1998 and 2002 Bonds
F.	Approval of Fiscal Watch Report and Monthly Consolidated Financial Report14 Ava Lovell, VP HSC/UNM
G.	Approval of Appointment to UNM Labor Management Relations Board, <i>Helen Gonzales, VP HR</i> 15 <i>Jeffrey Landers, one year term; Carol Oppenheimer, one year re-appointment;</i>
<u>Info</u>	ormation Items:
H.	Contract Information
l.	IT Email, Messaging & Calendaring Evaluation Team Recommendations
<u>Hea</u>	alth Sciences Board, Regent Carolyn J. Abeita, Chair
Cor	nsent Items:
A.	Approval of Capital Project: Clinical & Translational Science Center 3 rd Floor Lab
В.	Approval of Capital Project: Classroom Upgrades19
C.	Approval of Appointment of Frederick C. Sherman, MD to Carrie Tingley Hospital Bd20 Steve McKeman, CEO UNM Hospital
D.	Approval of UNMH Contracts: 1) Zimmer; 2) Stericycle
<u>Au</u>	dit Committee, Regent Gene Gallegos, Chair,
A.	Summary Report Audit Committee Meeting 10/20/2011

- XI. Vote to close the meeting and to proceed into Executive Session.
- XII. Executive Session will be held from 11:30 a.m. 1:00 p.m. in the Cherry Silver Room
 - A. Discussion and determination where appropriate of threatened or pending litigation Pursuant to Section 10-15-1.H (7) NMSA (19781.
 - B. Discussion and determination where appropriate of limited personnel matters pursuant to Section 10-15-1.H (2), NMSA (1978)
 - C. Discussion and determination where appropriate of the purchase, acquisition or disposal of real property pursuant to Section 10-15-1 H (8) NMSA (1978).
- XIII. D. Vote to re-open meeting.
 - E. Certification that only those matters described in Agenda Item XI. were discussed in Executive Session and if necessary, final action with regard to those matters will be taken in Open Session.

XIV. Adjournment

File: BOR Agenda 11.15.2011(BOR Book)

Tab 2

The University of New Mexico Board of Regents' Meeting October 11, 2011 Student Union Building Ballroom C Meeting Minutes

- Members present: President Jack L. Fortner, Vice President Don L. Chalmers, Secretary Treasurer Carolyn J. Abeita, J.E. "Gene" Gallegos, Bradley C. Hosmer, James H. Koch, Jacob P. Wellman (Quorum).
- Administration present: President David J. Schmidly, Executive Vice President David Harris, Chancellor Paul Roth, Interim Provost, Chaouki Abdallah, Vice President Josephine De Leon (Equity & Inclusion) Vice President Julia Fulghum (R&D), Vice President Helen Gonzales (Human Resources), Vice President Paul Krebs (Athletics), Vice President Ava Lovell (Controller), Vice President Eliseo Torres (Student Affairs), Interim Deputy University Counsel, Michele Huff.
- Regents' Advisors present: President Timothy Ross (Faculty Senate), President Mary Clark (Staff Council), President Katie Richardson (GPSA), President Jaymie Roybal (ASUNM), President Waneta Tuttle (UNM Alumni Association), Chair Gary Gordon (UNM Foundation), President Maria Probasco (UNM Parent Association), President Scott Obenshain (UNM Retiree Association).

Regent Fortner called the meeting to order at 9:03 a.m.

- I. Confirmation of a Quorum; Adoption of the Agenda, Regent Fortner Motion to adopt the agenda passed unanimously (1st Chalmers, 2nd Koch).
- II. Approval of Summarized Minutes of the September 13, BOR Meeting Regent Fortner Motion to approve the minutes as written passed unanimously (1st Chalmers, 2nd Gallegos).
- III. UNM Concert Choir Performance, Bradley Ellingboe, Professor of Music, Director of Choral Activities, Dr. Regina Carlow, Associate Professor of Music

Dr. Schmidly introduced Brad Ellingboe and the UNM Concert Choir and noted that the 100th anniversary of choral singing at UNM took place in academic year 2010-2011. Two new pieces of music were commissioned to celebrate the anniversary: a Requiem by Dr. Rene Clausen and an opera for the UNM Children's Chorus, written by Peggy Pond Church. Mr. Ellingboe directed the choir performing a movement from the Requiem.

Regent Fortner thanked the choir for their excellent performance.

IV. President's Administrative Report, President David J. Schmidly

President Schmidly recognized Dr. Yasimin Mostofi, who was awarded a 2011 Presidential Early Career Award for Scientists and Engineers.

Dr. Schmidly then reported on some of the accomplishments of FY10-11. These items were completed during FY10-12, or substantial progress made on critical items, or the objectives were achieved shortly after the year ended. We have made great progress in budget development processes and shared governance. Thanks to the efforts of many, we have continued to navigate our way through the financial crisis. I would acknowledge the Presidents Strategic Advisory Team, the task forces appointed by the previous Provost, our Deans and the Cost Containment Task Force appointed by President Roth and led by David Harris. We managed to avoid systemwide layoffs and we ended the year in better financial shape than when it started. As a result we will be able to reduce our reliance on one-time money, we will be able to build our reserves and continue our investments in faculty and staff. Dr. Schmidly presented graphs showing a decline in state funding of 17%, or \$28 million. At the same time, enrollment has shown an increase of

nearly 13.5%. We are still faced with teaching more students with fewer dollars, but we are spending more on the academic mission. The administration has absorbed a larger percentage of the budget reductions which has helped minimize the impact on the academic mission. Institutional support has declined 1.65%, while instruction has increased 9.76%. We have been able to balance our budgets with remarkably low net tuition increases; over the last five years just slightly over 1% above inflation. Executive staff and compensation have been substantially reduced.

Shared governance suffered a meltdown in 2009, which was noted by the Higher Learning Commission Review Team. We submitted a report on governance in June. The HLC commended us on the strategies we employed and the progress made. UNM received an unconditional ten-year accreditation.

The Foundation has raised more than over \$400 million in the last five years. Private fundraising will be one of the few places we can increase our resource base in the future.

The Campus Master Plan was finished, a culmination of years of work. We expect it, especially the student housing element, will help improve student success. It's a plan that will guide us into the future.

We've continued to strengthen our relationships with APS and CNM. More students enrolled in the Gateway Program CNM than ever before. It is designated for students that do not meet UNM's new admissions requirements. Transition from Gateway to UNM is one to two years.

We have executed MOUs with Kirtland Air Force Base and Sandia National Lab which will be critical to maintaining our research portfolio.

The Gateway Program and increasing the GPA for admission to the university will aid in retention and graduation. Our 6 year graduation rate has increased by 1½% this year.

HSC is on track to open the SRMC (Sandoval Regional Medical Center) by next fall. CNM has opened its Rio Rancho building. We have developed three 2+2 programs where students will do their first two years at CNM and the last two years at UNM. Enrollment is up 60% in head count and 73% in credit hours from the prior year.

Across the campus people pulled together in a renewed spirit of shared commitment to help the institution advance in difficult times. The executive leadership has been outstanding; Paul Roth, who filled in during my absence and gives great leadership to the HSC, David Harris and his talented team of business vice presidents, Julia Fulghum kept the research mission on track, and Provost Chaouki Abdallah is clearly creating new energy and momentum for our academic enterprise. This university is blessed with an excellent executive leadership team. The Faculty Senate leadership has worked positively and constructively with us every step of the way. The Staff Council leadership has provided exceptional support and wisdom and has been more than willing to help as we've navigated this budget crisis. I thank the student leadership, GPSA and ASUNM, as well as the leaders of the Alumni and the new Parent Association. All these people have provided positive constructive help as we've moved forward. I want to thank the Faculty and Staff; they maintained a positive, can-do attitude in the face of a lot of uncertainty and difficulty.

I do want to thank the Regents for your commitment to help us move the university forward.

When the new president arrives, he or she will inherit a situation characterized by positive momentum, determination and collective wisdom gained through the trial by fire we've experienced. We should all feel confident about where UNM is headed.

- Regent Koch requested a report, within two to three months, on anticipated increase in numbers of students and estimated cost of faculty growth at UNM West.
- Regent Koch also asked for a report to the Academic & Student Affairs Committee about plans to work with area high schools to increase the number of students seeking to attend UNM.

<u>UNM Foundation, Gary Gordon, Chair</u>. Mr. Gordon said the Foundation had had a good fiscal year, but that they expect the first quarter of this year to be down.

Mr. Gordon introduced Henry Nemcik, President and CEO of the Foundation. Mr. Nemcik reported, based on preliminary figures, that the Foundation is down about 4% for the first quarter. The Foundation has restructured, due to budget cuts, and they are looking at new funding models.

Mr. Nemcik told Regent Koch that the Foundation uses a team-based incentive program for compensation. If a fundraiser raised less money this year than last, that person's compensation would go down.

<u>UNM Parent Association, Maria Probasco, President</u>. Ms. Probasco presented a comprehensive report on the progress of the Parent Association and the successful events held during Family Weekend.

Regents Abeita and Wellman recognized the great work of the association and the strength it brings to the university. Regent Abeita encouraged Ms. Probasco to continue to seek involvement on the Tuition Policy and Student Fees committee and others concerned with issues of interest to students and parents.

Retiree Association, Scott Obenshain, President. Dr. Obenshain reported than Presidential Scholar Stephan Lujan was selected to receive support from the Retiree Association this year.

VII. Regent Committee Reports (reports in BOR E Book.)

Academic/Student Affairs & Research Committee, Regent Bradley Hosmer, Chair

Action Items:

- A. Approval of Master of Arts and Master of Science Degree in Museum Studies
- B. Approval of Certificate in Museum Studies, James Dixon, Professor of Anthropology and Director, Maxwell Museum of Anthropology

Motion to approve the Museum Studies degree and certificate programs passed unanimously (1st Hosmer, 2nd Abeita).

Regent Hosmer clarified that the vote approved both the certificate and the Masters programs, as they are one proposal.

Professor James Dixon presented the proposal for the Masters and Certificate programs in the interdisciplinary Museum Studies.

Regent Chalmers confirmed that first year funding will come from a private donation. Extended University has offered ongoing funding and the Hibben Trust will fund two graduate assistantships. I&G (Instruction & General) funding will be needed in FY13-14 and there will be a tuition differential.

Regent Gallegos was told student enrollment should begin in Fall 2012 for the certificate program, with the graduate program coming on board the following year. The University of Colorado and Texas Tech are the only universities in this region that offer somewhat similar programs.

Information Items:

C. Proposed Committee Work Plan from the Chair

Regent Hosmer presented the ASA (Academic and Student Affairs) Committee proposed action plan and solicited input from Regents, Advisors and the Administration. Items in the proposed plan are:

- 1. How do we measure student success to provide feedback for improving courses and comparisons with peer institutions?
- 2. Plans to build faculty strength
- 3. Understand full picture of student expenses
- 4. Establish clear picture of the research enterprise
- 5. Status of action on assessment of student learning of core competencies
- 6. Assistance with the Academic Strategic Plan
- 7. How are great teaching, tutoring & advising recognized and encouraged?
- 8. Understand and support Honors College
- 9. How will UNM attract better-prepared New Mexican and out-of-state students?
- 10. Role of UNM in fixing New Mexico's K-12 crisis
- 11. What is involved in achieving AAU membership?

In the longer term,

- 12. How to improve the perceived value of a UNM degree?
- 13. What is the plan for turning diversity into a path-breaking opportunity?
- 14. What does New Mexico and the US need in a University in 2025 and later that UNM has a comparative advantage in providing?

Finance and Facilities Committee, Regent Don L. Chalmers, Chair

Consent Items:

- A. Disposition of Surplus Property for Main Campus on list dated September 16, 2011
- B. Contract Approval:
 - a) UNMH-Siemans Medical Systems
 - b) UNMH-Stryker Orthopedics
 - c) UNMH-Phillips Medical
 - d) UNMH-Siemans Medical Solutions
 - e) UNM Human Resources-Employee Benefits
 - f) UNM Cancer Center-Varian Eclipse Treatment Planning System
- C. Approval of Nomination of Louis Abruzzo to Lobo Development Corporation Board
- D. Approval of Naming Requests
 - a) NMERI Building "Regional Computer Forensic Laboratory"
 - b) UNM PIT, New Weight/Training Room "McKinnon Family Training Center"

Motion to approve Consent Agenda Items A, B, C and D passed unanimously (1st Chalmers, 2nd Gallegos).

Action Items:

E. Approval of FY10-11 Undesignated Fund Balances, Andrew Cullen, AVP Budget

Motion to call the question of Regent Koch's motion to increase the UNM minimum wage passed unanimously.

Motion to approve increasing UNM minimum wage to \$10.00 per hour failed, with 1 Regent Koch voting yea, all others 6 voting nay.

Motion to adopt a one-time pay equity supplement as defined in Option 2, but with the "no break in service" requirement changed from one year to two years, passed, with 6 Regents Abeita,

Chalmers, Gallegos, Hosmer, Koch and Wellman voting yea and 1 Regent Fortner voting nay (1st Gallegos, 2nd Chalmers).

Motion to accept the Undesignated Fund Balance Report passed unanimously (1st Chalmers, 2nd Gallegos).

Mr. Cullen introduced Curt Porter, AVP Academic Administration, to discuss the detail in his report on the undesignated reserve. Mr. Porter pointed out the recommendations to give OPBA (the Office of Planning, Budget and Analysis) access to data from the Student and HR modules in Banner which should provide more accurate budgeting information.

Regent Chalmers said the F&F Committee felt the \$4.9 million unexpected budget reserve should be used to fund some kind of one-time pay for employees who make under \$50 thousand. Mr. Cullen said the proposals for the One-Time Pay Equity Supplement fulfill a request the BOR made in the spring.

Regent Gallegos emphasized that this supplement is not a bonus. It is a sort of fill-in for loss of income suffered by employees due to the 1.75% and 1.5% "swaps," which increased their contributions to the ERB (Educational Retirement Board) over the last two years, thus saving the state money. Mr. Cullen stated that the proposals were not specifically tied to those reductions.

Regent Koch asked for the annual cost to raise UNM's minimum wage to \$10 per hour, as requested at F&F. Helen Gonzales, VP for Human Resources, replied that it would be a recurring expense of about \$864 thousand.

Ms. Gonzales presented two proposals for the supplement. Option 1 (\$2 million plus fringe) would bring the total cost to \$4.5 million, and Option 2 (\$2 million net of fringe), the proposal requested by F&F, would cost \$3.8 million. Possible eligibility requirements include the employee being active regular faculty or staff at 12/31/2010, with no break in service through 12/31/2011 and earning less than \$50 thousand. The supplement amount would be prorated based on FTE (Full Time Equivalent) percentage.

In response to Regent Koch's question about the difficulty in sustaining an increase in the UNM minimum wage, EVP Harris said \$900 thousand is equivalent to a 1% increase in tuition. Mr. Harris recommended waiting until a funding source for the recurring expense is identified. Regent Koch noted that employees making less than \$20 thousand are not subject to the ERB "swaps."

Regent Fortner expressed concern that the governor and/or the legislature may not approve of the supplement. Mary Clark said the funding formula was, in part, intended to shield universities from a retaliatory legislature. The state is not the only beneficiary of the work done by UNM employees. She pointed out that although that those making less than \$20 thousand were not subject to the ERB "swaps" they have been subjected to increases in health care and parking costs, as well as increases in other costs of living.

Regent Gallegos and Regent Chalmers agree that increasing the minimum wage should be taken up during the regular budget discussions.

VP Gonzales said the state employees participate in the PERA (Public Employees Retirement Association) which has a higher state contribution and a higher retirement pay-out than the ERB. She told Regent Fortner that it is fair to say that university employees have been hit harder than state employees who contribute to the PERA.

Regent Fortner said he was not aware of the differences between the two retirement plans and would work to make the governor's office aware of them.

F. Approval of UNM Regents' Travel Policy 7.7

Motion to approve Travel Reimbursement and Per Diem Option B failed, with three regents voting aye and four voting nay (1st Gallegos, 2nd Koch).

Motion to approve the Travel Reimbursement and Per Diem Option A, with "100%" as the lowest federal reimbursement rate applicable to New Mexico for federally sponsored travel, passed with 4 Regents Abeita, Chalmers, Hosmer and Wellman voting aye and 3 Regents Fortner, Gallegos and Koch voting nay (1st Chalmers, 2nd Wellman).

Regent Chalmers said he had a third proposal for consideration. It is the same as Proposal A but with the percentage referred to in the Federally Sponsored Travel paragraph set at 65.22%, which is equivalent to \$30 a day. Additional wording is, "An exception is made to the rate of 65.22% of the lowest federal reimbursement rate, when the traveler provides actual receipts of expenditure greater than 65.22% of the lowest federal reimbursement rate, but less than \$46 per day. The exception must be approved by the traveler's department chair, head or director."

Regent Gallegos read portions of the state Per Diem and Mileage Act, and said it has nothing to do with the source of funds. The Act states reimbursement of actual expenses up to \$30 a day for meals in-state and up to \$45 for out-of-state travel. He also noted that incidentals such as baggage handling, tips and laundry have been included in the "meal reimbursement." He said he doesn't like the law, and would be in Santa Fe in January to try to get it changed, but we have a responsibility to comply with it.

Ava Lovell stated that current policy, allowing reimbursement for meals and incidentals, uses wording straight from the GSA policy. Incidentals are not addressed in the state Act.

Regent Chalmers confirmed with Michele Huff that University Counsel's opinion is that the F&F option does comply with state law.

Regent Koch renewed his call for an outside attorney. Regent Gallegos said perhaps a court should decide. Regent Hosmer said he felt the Audit Committee proposal penalized the university and he would oppose it.

Ms. Lovell said the federal rates are reviewed every six months and are designed to be just under what it would actually cost at these locations. The lowest rate, \$46, has not changed in several years.

Regent Gallegos said that proposal A would not comply with the state act because it reimburses incidental expenses and it reimburses in excess of \$30 day for in-state travel.

Information Items:

G. UNM Health Plan Update, Helen Gonzales, VP HR

Ms. Gonzales reported that we have kept the administrative costs for the health plan at 6% which compares very favorably to national costs at 15%. We secured a number of rebates from the federal government in health care reform for continuing retiree health coverage and have developed some premium and plan design strategies to fund the health plan. We realigned our cost structure to align premiums more closely with services used.

Walgreens is requesting payment for prescriptions far in excess of what other vendors would charge, so our prescription plan, Express Scripts, will not be using Walgreens as a provider after December 31, 2011. 98% of our employees who use Walgreens have another pharmacy within one mile of their homes.

Because of health care reform, employers will be required to provide coverage they have not provided before. In 2014 it becomes "Play or Pay." You either provide the coverage or pay a penalty. Some employers may choose to pay a penalty to get out of paying for health care for their employees. We're not looking at doing that, but some employers are considering the strategy.

We have implemented all the coverage requirements as they are coming due.

We have kept our premiums stable, and this year the net premium was flat.

"Investments in Health" are initiatives to encourage wellness and mitigate some high cost issues, such as diabetes. We have a nutritionist on staff; we run weight-loss and other programs designed to increase employee wellness.

- H. UNM Main Campus FY12-13 Strategic Finance Outline, Andrew Cullen, AVP Budget
 - An increase to the UNM minimum wage will be one item considered in the next budget.
 - Metrics will be developed for use of new revenues for academic initiatives.
 - Monthly updates will be given to the F&F and Academic Affairs committees beginning in November. Regents will be notified of Budget meeting dates.

Regent Gallegos would like to hear more input along the way, not just get a budget in March. Regent Chalmers said members of the BOR would very much like to be invited to some of the meetings held by the three budget development committees. He hopes it will lead to a day where the Regents are welcomed participants.

Mr. Harris said that he anticipates, as the new HSC committee takes on more, there will be more time available for F&F to review budgetary development.

Regent Chalmers listed a number of strategic topics to be address by the F&F Committee:

- 1. Set tuition by policy
- 2. Analyze balances with monthly report of encumbered, committed, unrestricted (reserves)
- 3. Set Regents goal/policy to build reserves to % of total budget
- 4. Define and set a strategy to deal with unfunded liabilities
- 5. Look at ways to collaborate with other universities' programs to realize efficiencies
- 6. Benchmark productivity by number of employees (Admin, Faculty & type of Faculty, & Staff) per student
- 7. Benchmark salaries in the same groups
- 8. Benchmark tuition & fees
- 9. Work with fee committee early
- 10. Review low enrollment programs
- 11. Find dollars for development
- 12. Look to improve revenue--examine all major sources
- 13. Analyze out-of-state tuition and elasticity of demand

- 14. What year round budgeting groups are functioning
- 15. Lobo Development Opportunities (South Golf Course Retirement Village)
- 16. Update inventory of art & other valuables owned by the university
- 17. Per diem issue closure
- 18. Paperless Regents/UNM
- Regent Chalmers asked for an inventory of the University's art collection.

Health Sciences Board, Regent Carolyn J. Abeita, Chair

Consent Items:

- A. Approval of Quasi Endowment in the Proposed Name of the George D. Montoya Research Scholarship Fund
- B. Approval of Virginia P Crenshaw Endowed Chair in Nursing
- C. Approval of Capital Project: UNM Hospitals, 2nd Floor Pathology Department Renovation
- D. Approval of four UNMH Contracts (BOR approval given at F&F Consent Agenda Item B: a, b, c, d)

Motion to approve Consent Agenda Items A, B, C & D passed unanimously (1st Abeita, 2nd Hosmer).

Action Item:

E. Approval of FY12 Performance Plan for Chancellor Paul Roth

Motion to approve the FY12 Performance Plan for Chancellor Paul Roth passed unanimously (1st Abeita, 2nd Gallegos).

Regent Abeita noted the plan is very specific, with measurable goals and tasks. She would like that type of format followed when evaluating future plans.

Regent Gallegos complimented Dr. Roth's plan and the metrics it contains. Dr. Roth acknowledged the help of Regent Hosmer and Director Rhoades in developing the plan.

• Regent Abeita asked that each of the regent committees be reassessed in terms of what they are asked to do.

VIII. Public Comment (n/a)

IX. Vote to close the meeting and to proceed into Executive Session

Motion to proceed into Executive Session passed unanimously at 12:50 p.m. (1st Gallegos, 2nd Abeita).

- **XI.** Executive Session 12:51 p.m. 1:49 p.m.
 - A. Discussion and determination where appropriate of threatened or pending litigation pursuant to Section 10-15-1.H (7) NMSA (1978).
 - B. Discussion and determination where appropriate of limited personnel matters pursuant to Section 10-15-1.H (2) NMSA (1978).

C.	Discussion and determination where appropriate of the purchase, acquisition or disposal of
	real property pursuant to Section 10-15-1.H (8) NMSA (1978).

XII. D. Vote to Re-open the meeting.

Motion passed unanimously at 1:50 p.m. to return to open session (1st Chalmers, 2nd Koch).

E. Certification that only the matters described in Agenda item XI. were discussed in Executive Session and, if necessary, final action with regard to those matters will be taken in Open Session.

Motion to certify the only action taken in executive session was to approve President Schmidly's goals for 2011-2012 passed unanimously (1st Wellman, 2nd Abeita).

XIV. Adjournment

Motion to adjourn the meeting passed at 1:55 p.m. (1st Wellman, 2nd Abeita).

Regent Jack L. Fortner	Regent Carolyn J. Abeita
President, Board of Regents	Secretary/Treasurer

Attachment: President Schmidly's Goals 2011-2012

BOR.Minutes, 10, 11, 2011

Tab 3

Presidents Remarks to Board of Regents

DJS Talking Points

Regents Mtg 11/15/11

Update on Regents Goals for the President

I want to begin by taking a few moments to update you on the process we have made to date on the goals you approved for me to guide my work for the coming year. Although these goals only were approved at the last Board meeting, we have been working on them for several months and have made good progress.

Priority #1

The first priority has to do with proposed changes in the formula that funds higher education in New Mexico. These changes are designed to move the State in the direction of performance-based funding by determining funding on the basis of outputs as opposed to inputs.

We believe there are several fundamental elements that must be included in this new approach. The different educational sectors, four-year and two-year, must be treated separately as their missions are different. This will be important for funding and also for a formula that will be based on outcomes, like degree attainment, rather than inputs like enrollment numbers.

We want the FY 12 budget levels to be the starting base for funding. And we have worked diligently to see that the tuition credit is permanently eliminated as a part of this process.

I will be in Santa Fe when the new formula is presented to the LFC on
Thursday to comment on UNM's behalf. We will speak in favor of the overall
approach and for the process that has been used to get us where we are
today. We have been studying some spreadsheets that were recently sent to

us by the HED, and we will continue to work on improving the outcome of the formula deliberations.

Priority #2

UNM has raised more than \$400 million in the past four years ... more than any other four-year period in the university's history. Our comprehensive campaign is in full swing as we aim at a \$675 million goal. We have clearly determined that investing in development officers has a substantial ROI.

• We are exploring and evaluating several ways to help the Foundation replace lost I & G funds through a long-range funding model that will ensure support for their core fund-raising activities. I will have more to report in the next few months as we continue our deliberations with the foundation.

Priority #3

As a community, the university made remarkable progress over the past year in developing an inclusive and strategic budgeting process during abysmal economic conditions. Moving forward we are taking the best practices learned from the FY 12 process and make further improvements for FY 13. A major element in this goal is to implement a tuition and fee plan that will inform our deliberations at the beginning rather than at the end of the budget process.

- The budget process is in full swing and the tuition & fees team and PSAT have been meeting regularly.
- If the tuition credit is eliminated, as we are advocating, this will allow us to begin planning early for next year's tuition costs.

Priority #4

I have enjoyed working with interim Provost Chaouki Abdallah over the past few months. He has infused the academic area with renewed energy and focus. I look forward to continue working with his Office to build on some of the successes we've

seen in enrollment management and in the area of equity and inclusion. There is still much to be done to improve our retention and graduation rates. And it is my hope to complete the planning for an Honors College for UNM – something that will help attract more of the top scholars of New Mexico and the nation.

- Based on the recommendation of the Faculty Senate, a task force has been appointed to develop a draft proposal for the establishment of an Honors College. This proposal will be submitted to the Faculty Senate before going to the Regents at the end of this semester or early next semester. Early reports are that there is a lot enthusiasm for this initiative across campus.
- My December administrative report will focus heavily on an update to this goal.

Priority #5

We have worked hard to educate the New Mexico public on the value and necessity of New Mexico's flagship research university. The work will continue and be amplified. As I mentioned to you before, a modification of our award-winning UNM Today publication is inserted in NM Business Weekly once a month – reaching an important influential audience. We are working with our public television station, KNME, on a monthly UNM program. And we have begun to work on a status report for the public that will include how UNM stacks up relative to our peer institutions and the AAU.

- You will find the third insertion of UNM ConnectED, which coincided with the philanthropy issue of the NM Business Weekly
- We were pleased to learn that the Journal would be publishing a higher education section on the third Tuesday of each month beginning on Nov.
 15th, focusing on the contributions of faculty and students across the state.
 The first installment appeared today and featured a wonderful article on Dr.
 Abdallah and his role as Provost. We will continue to provide media content about the noteworthy research and accomplishments that represent the

exciting work being undertaken at UNM for inclusion in this new section of the paper.

Priority #6

UNM Athletics has made remarkable progress in molding the true student-athlete. Graduation rates and grade point ratio (GPR) of student athletes exceeded that of the general student body by a solid margin. As a community, we should be proud of that fact and also of the many competitive successes of our teams on the national stage.

- I attended the NCAA Division I board of directors meeting last month. During
 that meeting, the NCAA Board approved increasing the cost of attendance for
 student athlete scholarships by \$2,000. Meeting this requirement will cost
 UNM \$250-300K, which will have to be factored into the budget in upcoming
 years.
- The NCAA also took steps to increase the Academic Progress Rate (APR) from 925 to 930, which equates to a 50% graduation rate. Beginning in 2 years, to participate in the post-season competition, your team APR will have to be 930. As it currently stands, none of these changes will present a problem to UNM.
- Recently, I have been appointed to the BCS oversight committee, and thus
 will have some say in how that structure works going forward. We had our
 first meeting in San Francisco yesterday.
- I will report to the Board in December about the current status of conference realignments and where the MWC fits into the national picture.
- Finally, I would like to congratulate the #1 ranked Lobo men's soccer team, which captured the conference tournament title after a 13-match winning streak, earning an automatic berth into the NCAA College Cup.

Priority #7

And of course there are a number of issues to correct, complete and institutionalize before I step down from the Presidency. The campus master plan has now been adopted. We have developed a new, and in some cases historic, relationship with CNM and APS, and through our new educational policy center we have begun to measure its success. Also, we will be reporting to the Audit Committee about the progress we have made in addressing some of the challenges facing UNM-Gallup. And this year I concluding my service on a number of boards that provide significant service to higher education nationally.

So, all in all, I feel good about our progress and where we are with each goal. I will continue to update you regularly as we make further progress.

Lumina Grant Award:

Next, I would like to congratulate the Office of Equity and Inclusion under the leadership of VP Jozi De Leon on receiving a four-year, \$600,000 Lumina Latino Student Success Grant from the Lumina Foundation.

Lumina sent out 250 invitations to institutions that had the capacity to address this important issue; 65 proposals were received by Lumina and only 12 proposals in 10 states with significant and growing Latino populations were funded. Obviously, we were elated that UNM is the only Hispanic-Serving Institution with a Carnegie Very High Research designation to be awarded a grant.

As we strive to improve UNM's graduation rates, it is critical to improve the rates of Latino students as well. The current six-year graduation rate of our Latino students it is 42.4%, below the overall graduation rate of 45.1%, but up nearly 4% in the past year. This Lumina project will provide some of the resources needed to continue improving degree completion rates of our Latino students, and even more importantly, it will elevate all of our educational systems to better serve New Mexico students and reach Lumina's Big Bold Goal to increase college degree completion by 60% by 2025.

Our strong collaborative partnerships, which I mentioned earlier, are a big reason for our success in securing funding from Lumina.

(un)Occupy Albuquerque Movement on Campus:

Lastly, I want to give you a brief update on the (un)Occupy Albuquerque Movement on Campus. We have now had protesters from the movement on campus for a month and a half. I have met with some of the participants and listened to their concerns regarding University policy about Freedom of Expression and Dissent. As I indicated in last week's letter to the UNM community that can be found on my web page, (Un)Occupy New Mexico's expressions of their First Amendment rights are welcome as long as the policies we have established to assure the freedoms of all members of the UNM community are respected and upheld. Through the efforts of a number of our staff and in cooperation with the ACLU of NM, we have worked out conditions that outline the hours they can be on campus. They have currently scheduled space at Yale Park from 5 p.m. to 10 p.m. on weekdays and from 11 a.m. to 5 p.m. on weekends. We have renewed their permit on a weekly basis for 2 weeks now.

I would like to commend the efforts of UNM Police to provide a safe environment for the UNM community. Our best estimate of costs for overtime accrued during the two-week pay period that included the week of October 24 is \$14,882.95. This does not include the cost of on-duty officers who were diverted to Yale Park during this period, when not responding to calls for service. Regular days off were cancelled, as was pre-approved leave time during this time period. Unfortunately, the police did have to make a few arrests but they were accomplished peacefully with no reported injuries, either to those arrested or to our officers.

We currently have members of the faculty and student body arranging teach-ins to discuss issues surrounding the Occupy Wall Street movement. This is as it should be on an academic campus.

As an institution, UNM has done quite a lot to accommodate the needs and wants of

this protest. I understand that some members of the UNM community want us to do even more. However, as I've said before, I have responsibility to protect the University itself, its students, faculty and staff – and to fulfill our principal mission of giving every member of the faculty and student body the opportunity for undisturbed research and a learning experience worthy of a flagship University.

President Schmidly's Final Goal Priorities for FY11-12 (Approved by Regents on 10-11-11)

Priority #1:

Provide leadership that will result in progressive modification to the formula funding model for higher education in New Mexico. (Target Date: Fall 2011)

Priority #2:

Continue the development of the UNM Foundation as an independent, institutionally-related foundation with the capability of executing comprehensive fund-raising campaigns.

- Work with the UNM Foundation in support of the comprehensive campaign by engaging key donors throughout the country. (Target Date: Ongoing goal)
- · Work with the UNM Foundation to determine a long-range funding model that will increase fundraising. *(Target Date: Spring 2012)*

President Schmidly's Final Goal Priorities for FY11-12 (Approved by Regents on 10-11-11)

Priority #3

Develop and implement a Main Campus Strategic Budgeting Process to guide budget development and financial management into the future. (Target Date: Fall 2011)

- Create a Strategic Budgeting Template that:
- documents lessons learned from the FY12 process,
- includes modifications that will improve the process for FY13, and
- includes tentative funding commitments for key items for two years past the current budget year.
- Implement a tuition and fee plan as part of the budget process so that tuition and fees can be set at the beginning of the budget process based on an agreed and approved policy.

Priority #4:

Work with the Office of the Provost to ensure strong, mission-centric operations.

- Develop and implement an allocation plan for the \$2 M set aside for faculty hires in the FY 11/12 budget. (Target Date: Fall 2011)
- Increase by 10% each year the number of classes taught by tenure or tenure-track faculty. Target Date: Ongoing goal)
- Complete the planning for an Honors College and the future of University College. (Target Date:
- Continue development of strategies, with benchmarks, to improve retention and graduation rates. Benchmarks should be targeted to match the graduation rates of the average of peer universities. (Target Date: Spring 2012)
- Complete the planning for equity and inclusion initiatives, including an assessment of the Office
 of Equity and Inclusion.
 (Target Date: Spring 2012)

President Schmidly's Final Goal Priorities for FY11-12 (Approved by Regents on 10-11-11)

Priority #5:

Develop and implement a strategy to educate the citizens of New Mexico on the unique mission and value of UNM as a comprehensive research university. (Target Date: Fall 2011)

- Increase distribution of UNM Today content by distribution through The New Mexico Business Weekly. (Target Date: September 2011)
- Start monthly UNM Connections Program on KNME TV. (Target Date: Fall 2011)
- universities and AAU members that are flagship, public, research universities. (Target Date: Produce a "UNM Status Report" for public audiences, to include status relative to peer Spring 2012)

Priority #6:

Follow and advise the Board of Regents on key issues regarding college athletics. (Target Dates: Ongoing goal)

- Legal status of the BCS and MWC efforts.
- Conference alignments and "positioning" of UNM.
- NCAA Legislation regarding college athletics.

President Schmidly's Final Goal Priorities for FY11-12 (Approved by Regents on 10-11-11)

Priority #7:

Complete "irons-in-the-fire" before leaving office:

- Adopt the Campus Master Plan. (Target Date: Fall 2011)
- Conclude terms on the Boards of HACU, IAUP, NCAA, and ACUPCC. (Target Date: Spring
- Resolve pressing issues on the Gallup Campus. (Target Date: Fall 2011)
- seamless educational partnership in the greater Albuquerque Region. (Target Date: Fall 2011) Work with APS, CNM, and UNM to develop key indicators, with benchmark goals, for a K-20
- Assist, as needed and requested, with the transition to the next Presidency.

Tab 4



GPSA Council Resolution FR-11-005: "UNM Interdisciplinary Degree Programs Strategic Planning"

Sponsored by Matt Rush, NSMS Council Representative Approved by Executive Board: September 13, 2011 Adopted by GPSA Council: September 24, 2011

WHEREAS the UNM student body is a diverse group with different perspectives and strengths and could benefit from working together;

WHEREAS collaboration among students and faculty from different departments will result in a more effective and prosperous community;

WHEREAS numerous Universities have begun to adopt a model of Interdisciplinary degree programs (e.g. <u>University of Colorado – Boulder, University of Texas – Austin, University of Washington, University of Iowa, Stanford, GA Tech, Virginia Tech, Texas A&M);</u>

WHEREAS the development of Interdisciplinary degree programs at UNM is an unfamiliar and evolving process. Intercollegiate programs are not easy "fits" within the existing college/department structure of the institution i.e. administrative responsibility, costs, and space for collaboration;

WHEREAS a collaborative, interdisciplinary graduate education must be an institutional priority in order to meet societal needs, prospective student interests and demand, and ensure that UNM remains competitive in securing extramural research funding in the future:

THEREFORE BE IT RESOLVED that GPSA recommends that the Provost should make it a priority of the Academic Strategic Plan to focus on interdisciplinary degree programs including planning for program development, growth, and funding/support (including I&G money) for such programs; and

BE IT FURTHER RESOLVED that GPSA recommends the Faculty Senate begin planning for cooperative inclusion and financial support of Interdisciplinary degree programs into colleges; and

BE IT FURTHER RESOLVED that the GPSA recommends that the Presidential Search Committee make it a priority to find a President in support of Interdisciplinary degree programs; and

BE IT FINALLY RESOLVED that a copy of this final document be given to the Provost, Faculty Senate, and the Presidential Search Committee.



GPSA Council Resolution FR-11-006: "Graduate & Profession Student Representation"

Sponsored by Japji Hundal, GPSA Executive Chief of Staff Approved by Executive Board: September 13, 2011 Adopted by GPSA Council: September 24, 2011

WHEREAS the Graduate Professional Students Association (GPSA) is the representative body of the graduate and professional students at UNM; and

WHEREAS the GPSA president is the elected head of the graduate and professional students at UNM; and

WHEREAS the GPSA Council is the representative body of the respective departments of graduate & professional students at UNM; and

WHEREAS the GPSA Council Chair is the representative elected head of the GPSA Council at UNM; and

WHEREAS there exists an elected government at the Executive branch of GPSA; and

WHEREAS there exists an elected government at the Legislative branch of GPSA; and

WHEREAS the GPSA appoints Graduate and Professional students to represent the GPSA on GPSA and university-wide committees; and

WHEREAS these appointments represent the voice of the graduate students appointed by the duly elected heads; and

WHEREAS GPSA desires to foster student democracy; and

WHEREAS recognizing these appointments by GPSA keeps alive the spirit of democracy and gives recognition to the elected graduate government; and

WHEREAS these appointments advocate for graduate student voice and concerns and represent the graduate student; and

NOW THEREFORE BE IT RESOLVED that the GPSA council urges that the graduate voice at the University of New Mexico be preserved; and

BE IT FURTHER RESOLVED that all graduate student appointments to University Committees be referred from and approved by the duly elected GPSA, which is the representative body for the graduate students, by the graduate students; and

BE IT FURTHER RESOLVED that any university committee appointments not made by the GPSA of graduate or professional students to positions shall not be referred to as GPSA representatives; and

BE IT ULTIMATELY RESOLVED THAT a copy of this resolution be sent to President of UNM Dr. David J. Schmidly, Vice President of Student Affairs, Dr. Eliseo Torres, Interim Provost Chaouki Abdullah, GPSA President Katie Richardson, GPSA Council Chair Megan O'Laughlin.



UNM Foundation, Inc. UNM Regent's Advisor Report November 15, 2011

CHANGING WORLDS The Campaign for UNM

Changing Worlds: The Campaign for UNM – an eight-year, \$675 million campaign, is making excellent progress towards campaign goal. The Campaign began in 2006 and is planned to conclude in 2014, complementing UNM's 125th Anniversary.

To date, nearly 50,000 donors have given \$420 million in support of the University's mission.

Specific fundraising highlights since the last meeting:

University Libraries received a \$300,000 gift for the digitization of some historical papers.

College of Engineering received a \$100,000 gift for the *Future Technologies Program*.

College of Arts and Sciences received a \$300,000 gift to a fellowship endowment.

College of Nursing received a \$300,000 gift from the Robert Wood Johnson Foundation. The donation will help develop a culturally appropriate intervention for Native American women using digital stories to encourage cancer screening.

College of Pharmacy received a \$12,000 gift from the New Mexico Pharmacy Care Foundation for the Dean's Fund.

Children's Miracle Network received a gift of \$13,000 from the State Employee's Credit Union.

UNM Cancer Research & Treatment Center received a gift of \$10,000 for skin cancer research.

Attached is the Foundation's operating budget, performance of the Consolidated Investment Fund and the three Regent endowments for the first quarter of FY11-112 (period ending September 30, 2011).

The UNM Foundation is working with University leadership, Deans and other key constituents on assessing additional funding models to replace the University's institutional support. The Foundation will report on any progress that is made at upcoming meetings. A regional campaign event is planned for Connecticut sometime late winter/early spring of 2012. This event will be hosted by a donor of the University.

The New Mexico Business Weekly will feature UNM donor stories in upcoming editions. Please make sure to read the articles as they serve as testament to the great impact donors have on advancing faculty, students, research and the University forward.



UNM Foundation Operating Budget vs. Actual

Sources of Budget	Budget		FYTD	% Achieved
UNM Support	\$ 1,200,000	\$	298,350	24.9%
Development Funding Allocation	6,125,000		1,375,282	22.5%
Short-Term Investment Income	 750,000	70 TO AND	13,477	1.8%
Unit Salary Reimbursement	582,000		153,388	26.4%
Unrestricted Gifts	 150,000		25,513	17.0%
Other Income & Transfers In/(Out)	-		261	•
Total	8,807,000		1,866,271	21.2%

Uses of Budget	Budget	FYTD	% Achieved
Salaries/Fringe Benefits \$	7,072,583 \$	1,698,452	24.0%
Operating Expenditures	2,292,584	365,791	16.0%
Total	9,365,167	2,064,243	22.0%

Reserve Balances	Budget	FYTD	% Achieved
Surplus/(Deficit) from Operations	\$ (558,167) \$	(197,972)	N/A
Beginning Reserve Balances	2,261,943	2,261,943	N/A
Ending Reserve Balances	1,703,776	2,063,971	N/A

renormance measures	רווט	FILMIL	F109/10	FY08/09
Performance Measures	EVTD	FY10/11	FY09/10	EVANIOO

^{*} Compares annual operating expenditures to annual gift commitments.

Consolidated Investment Fund - Investment Performance

FY 11-12, September 30, 2011 (First 0		ket Value			
Investment Performance Results	(m	illions)	1-Year	3-Year	5-Year
FY 11/12 (September 30, 2011)	\$	314.6	4.1%	2.8%	3.0%
Policy Benchmark *			N/A	N/A	N/A
General Benchmark **			N/A	N/A	N/A
FY 10/11 (June 30, 2011)	\$	336.0	19.0%	1.5%	4.8%
Policy Benchmark *			19.0%	1.2%	4.1%
General Benchmark **			22.3%	4.7%	4.4%
NACUBO/Commonfund ***			N/A	N/A	N/A

^{*} Policy Benchmark is a blended benchmark consisting of indices for all asset classes.

^{***} NACUBO/Commonfund Endowment Study (\$100 million to \$500 million) - Available March 2012

CIF Spending Distribution	FY11/12	FY10/11	FY09/10	FY08/09
Spending Distribution %	TBD	4.65%	4.65%	4.65%
Total \$ Distribution (millions)	TBD	\$14,869,261	\$15,409,388	\$15,294,369

Consolidated Investment Fund - Asset Allocation

vestment Class	Current Allocation	1-Year Policy Allocation	5-Year Policy Allocation	L-Term Policy Allocation
Domestic Equity	18.4%	20%	22%	27%
International Equity	18.9%	20%	22%	28%
Fixed Income/Cash	22.8%	20%	21%	20%
Real Assets - Liquid	4.5%	5%	5%	5%
Real Assets - Illiquid	4.4%	5%	4%	0%
Marketable Alternatives	17.5%	20%	20%	20%
Private Equity	13.5%	10%	6%	0%

^{**} General Benchmark: 70% S&P 500 Index and 30% Barclays Global Aggregate

THE UNIVERSITY OF NEW MEXICO MR. AND MRS. HUGH B. AND HELEN K. WOODWARD ENDOWMENT FUNDED BY THE SANDIA FOUNDATION

										2011-12
		2007-08	~	2008-09		2009-10		2010-11	thro	through 9/30/2011
PRINCIPAL/CORPUS										
BEGINNING MARKET VALUE, JULY 1:	↔	40,007,782	€₽-	39,456,137	↔	30,698,788	\$	32,422,373	↔	37,282,001
ADDITIONS		1,323,000		1,395,000		877,500		1,125,000		1
INVESTMENT EARNINGS		283,085		(7,962,327)		3,238,163		6,040,036		(2,570,094) (1)
DEVELOPMENT FUNDING ALLOCATION		(442,878)		(383,681)		(623,475)		(643,802)		1
SPENDING DISTRIBUTION		(1,714,852)		(1,806,341)		(1,768,603)		(1,661,606)		(409,639) (2)
ENDING MARKET VALUE, JUNE, 30:	s	39,456,137	60	30,698,788	8	32,422,373	8	37,282,001	8	34,302,268

⁽¹⁾ FY 2011-12 Net investment Earnings: Represents the actual net investment earnings through September 30, 2011. Net investment earnings for the period of July 1, 2011, through September 30, 2011, were -6.83% (net of manager fees).

⁽²⁾ FY 2011-12 Spending Distribution: Represents the quarterly spending distributions from July 1, 2011, to September 30, 2011.

THE UNIVERSITY OF NEW MEXICO WINROCK LAND SALE ENDOWMENT

					£		(5)		
2011-12	through 9/30/2011		27,587,901	•	(1,897,045)	(127,594)	(303,124)	25,260,138	
	thro		↔					8	
	2010-11		24,767,866	•	4,553,291	(485,153)	(1,248,103)	27,587,901	
			↔					\$	
	2009-10		24,076,065	1	2,544,475	(483,585)	(1,369,089)	\$ 24,767,866	
			↔					8	
	2008-09		32,369,380	•	(6,526,574)	(309,959)	(1,456,782)	\$ 24,076,065	
			↔					\$	
	2007-08		33,899,952	•	263,110	(368,276)	(1,425,406)	32,369,380	
			↔					ક્ર	
		PRINCIPAL/CORPUS	BEGINNING MARKET VALUE, JULY 1:	ADDITIONS	INVESTMENT EARNINGS	DEVELOPMENT FUNDING ALLOCATION	SPENDING DISTRIBUTION	ENDING MARKET VALUE, JUNE, 30:	

⁽¹⁾ FY 2011-12 Net investment Earnings: Represents the actual net investment earnings through September 30, 2011. Net investment earnings for the period of July 1, 2011, through September 30, 2011, were -6.83% (net of manager fees).

⁽²⁾ FY 2011-12 Spending Distribution: Represents the quarterly spending distributions from July 1, 2011, to September 30, 2011.

THE UNIVERSITY OF NEW MEXICO REGENTS' ENDOWMENT

(1,982,921) (1) (316,846) (2) zuvo-us zuvy-10 2010-11 through 9/30/2011 (133,370) 28,836,764 26,403,627 2011-12 ᠐ ᡐ (507,115)(1,304,603)25,889,070 4,759,412 28,836,764 ₩ (505,476)2,659,659 (1,431,065)25,165,952 25,889,070 ↔ 8 <u>ල</u> (880,525)(7,060,716)(335,326)(1,576,006)35,018,525 25,165,952 ↔ (398,416)(1,542,062)36,674,360 284,643 35,018,525 2007-08 ↔ **DEVELOPMENT FUNDING ALLOCATION BEGINNING MARKET VALUE, JULY 1: ENDING MARKET VALUE, JUNE 30:** SCHOLARSHIP FUNDING SPENDING DISTRIBUTION INVESTMENT EARNINGS WITHDRAWALS: PRINCIPAL/CORPUS

⁽¹⁾ FY 2011-12 Net investment Earnings: Represents the actual net investment earnings through September 30, 2011. Net investment earnings for the period of July 1, 2011, through September 30, 2011, were -6.83% (net of manager fees).

⁽²⁾ FY 2011-12 Spending Distribution: Represents the quarterly spending distributions from July 1, 2011, to September 30, 2011.

⁽³⁾ FY08-09 Withdrawal for scholarship funding: \$1,000,000 was authorized to be withdrawn from the Regents' Endowment for FY08-09 scholarship funding. Of the authorized \$1,000,000, \$880,525 was withdrawn from the endowment.

UNIVERSITY OF NEW MEXICO ALUMNI ASSOCIATION BOARD OF REGENTS REPORT November 15, 2011

Vision (Why we exist): The Alumni Association is a vital partner in the continued excellence of the University of New Mexico through the significant engagement of alumni.

Mission (What we are striving for): To serve as a bridge between alumni and the university ensuring the continued success of the university and enriching the lives of alumni.

The Alumni Lobos for Legislation Committee's Legislative Briefing & Workshop for Alumni is scheduled for Tuesday, November 29 th from 5:30 to 7:00 p.m. at Hodgin Hall in the Bobo Room (3 rd Floor). The briefing will provide detailed information about the University's priorities for the coming Legislature. Marc Saavedra, from the Office of Government Affairs will be the primary presenter. Alumni leaders, students, and other UNM Administrators and staff are welcome and encouraged to attend.	The Lobos for Legislation Committee will co-host an LFC Reception in Santa Fe at the Rio Chama Restaurant tentatively scheduled for either December 6^{th} or 7^{th} at 6:00 p.m. Details will be forthcoming.	 h, The Alumni Association's annual Legislative Appreciation Reception is scheduled for Monday, January 30, 4, 2012 at the La Fonda Hotel in Santa Fe. Please mark your calendars. 	UNM Day at the Capitol is scheduled for January 31st.	UNM Trailblazers will participate in the NMASAP Conference to be held on November 10-11 in the SUB, with a presentation on "Student Ambassadors: A Hidden Resource." They will also decorate the Alumni Memorial Chapel for Hanging of the Greens.	The Association, along with ASUMN and Student Activities are co-hosting their next Ring Ceremony on December 6 th at the SUB for students who have successfully completed 60 hours or above and have purchased a ring.
Strengthen collaboration with campus constituents to meet the needs of UNM.		Foster enduring involvement with, pride in and commitment to UNM, its colleges, schools and programs.			Engage students in ways that will develop lifetime ties to UNM.

Communicate effectively with our diverse group of alumni.	A celebration in honor of the family of President James Zimmerman took place on October 19th at the UNM Alumni Memorial Chapel. Nancy Doolittle, great-granddaughter of President Zimmerman, and her family have made a significant contribution to maintain the chapel's organ. A small reception for the family took place at the President's House where the family reminisced about their childhood memories.
Enhance services and benefits for alumni.	The UNM Alumni Association is working with Harris Connect to publish a 2012 Alumni Directory . The collection of information phase is nearly completed. Alums can submit a photo to be included in the directory. To date, 10,000 plus alumni have responded and updated their information. Young Alumni will host a holiday decorating workshon at Hodgin Hall on Thursday. November 17.
Encourage community service and leadership among alumni and students.	On October 26, Young Alums hosted their annual Halloween Costume Drive to support the needs of homeless children at Cuidando los Ninos. Over 2 dozen costumes were collected along with art supplies. Anyone who brought items received a special 'treat' from the Young Alumni Chapter.
	In December, the Young Alumni Chapter will host a Toys for Tots Collection at the Alumni Memorial Chapel during the Hanging of the Greens on Friday, December 2, from 6 - 7:30 p.m. Cider, cookies and holiday music by organist, Michael McHugh, will fill the chapel with holiday atmosphere.
	On December 14th, the Young Alumni Chapter will host a New Grads Wine and Cheese Reception to welcome the fall graduating class to the Alumni Center at Hodgin Hall.



PARENT ASSOCIATION REPORT TO THE ACADEMIC/STUDENT AFFAIRS & RESEARCH COMMITTEE BY: PRESIDENT, MARIA PROBASCO NOVEMBER 2, 2011

The Parent Association Board of Directors meeting was held October 20, 2011. The Board voted on the following items:

- A. Annual Parent Association Report to the UNM Board of Regents
- B. Roberta and Grant Kitting, Summer Send-Offs Committee Co-Chairs

UNM Parent Association's Annual Report: I delivered the Association's Annual Report to Ellen Wenzel for President Fortner, and a copy for President Schmidly and Provost Abdallah at their Offices on October 24, 2011. As the report indicates the Parent Association has realized a tremendous success the past year in active membership and revenue generated through it's fundraising activities benefiting UNM students. These figures were part of last month's report to this committee and to the full Board of Regents.

Proposed Committee Work Plan for the Academic, Student Affairs and Research Committee: The proposed committee work plan submitted by Regent Hosmer at the September meeting is great and an excellent way of setting goals and priorities. It is also a great way of helping the committee to stay focused in achieving these goals.

The only suggesting I would like to give is giving, "Item 3. Understanding Full Picture of Student Expenses" a higher priority as this establishes and impacts not only the cost of getting an education at the University of New Mexico but the quality of education our students receive. The Academic Mission and Research should be driving the University's budget and not the budget driving the mission. Once the academic and research priorities are established, the budget can be developed around the University's values and priorities. This will also enhance the overall effectiveness of the institution.

2011 Holiday Ornament: The 2011 Official Holiday Ornament highlighting Zimmerman Library is now available at the UNM Bookstore. I am attaching a flyer for a quick view of the ornament. The proceeds from the 2011 Holiday Ornament supports the UNM-Parent Association's Scholarship Fund.

Upcoming Events/Activities:

- Appreciation Social at my home for the Board of Directors and volunteers Saturday, November 12, 2011 at 6:00pm
- Parent Day at the Pit and Silent Auction February 11, 2011



GPSA Resolution in Support of the Right of the (un)Occupy Albuquerque Movement to Protest on UNM Campus

Recorded as EI-FR-11-012
Adopted: October 7, 2011

Whereas the Occupy Wall Street movement is a non-violent, people powered movement for direct democracy that began in the United States on September 17, 2011 with an encampment in the financial district of New York City; and

Whereas (un)Occupy Albuquerque began its non-violent, peaceful assembly of students and community members at the University of New Mexico campus in solidarity with the Occupy Wall Street and We Are The 99% movement on October 1, 2011; and

Whereas, (Un)Occupy Albuquerque has complied with UNM requests to reapply for appropriate permits; and

Whereas, the protesters have complied with the new restrictions imposed by UNM concerning the permissible hours for protest activities at Yale Park; and

Whereas, the transient community forms a crucial part of the 99 percent that (un)Occupy Albuquerque seeks to represent; and

Whereas, it is unjust to cite the presence of transient community members as a reason to shut down a student/community-led movement; and

Whereas, protest organizers are actively working to prevent the abuse of illegal substances and are conscious of the concerns of the university regarding security and safety at Yale Park; and

Whereas, educational institutions have historically been vibrant public spaces for political debate, civil protest, and intellectual discourse; and

Whereas, (Un)Occupy Albuquerque consists in part of individuals from the student body, where some hold GPSA membership; and

Whereas, GPSA is obliged to "preserve and protect" the right to free expression of ALL members as stated in the preamble of the GPSA Constitution;

Now therefore be it resolved that the GPSA Council condemns the decision of the UNM Administration to forcibly remove protesters; and

And let it be further resolved that the GPSA Council affirms the right of (Un)Occupy Albuquerque to peaceful assembly and protest, and support the continuation of its activities on university grounds;

And let it be further resolved that GPSA Council requests on behalf of (Un)Occupy Albuquerque that the permissible hours of activities be extended to 24 hours, 7 days a week; and

Let it be finally resolved that this resolution be electronically forwarded within one business day of its enactment to: GPSA President, ASUNM President, UNM President, UNM Board of Regents, Faculty Senate President, Staff Council President, Daily Lobo News Editor, Vice President of Student Affairs, and Albuquerque Journal News Editor.



Department of Planning, Budget & Analysis

MEMORANDUM

TO:

UNM Board of Regents

THRU:

David J. Schmidly, President

David W. Harris, EVP for Administration, COO and CFO

FROM:

Andrew Cullen, Associate VP Office of Planning, Budget & Analysis

DATE:

November 15, 2011

SUBJECT: Approval of Pricing Resolution for the University of New Mexico

Subordinate Lien System Refunding Revenue Bonds, Series 2011

Attached please find a draft Resolution of the Regents of the University of New Mexico which sets forth the terms of the \$46.5M Subordinate Lien System Refunding Revenue Bonds, Series 2011. Proceeds from the sale of the Series 2011 Bonds will be used to refinance certain maturities of the outstanding Series 2002A Bonds. The refunding is expected to produce annual savings for fiscal years 2013 through 2032. No extension of debt is occurring.

The Resolution will be updated to its final form effective November 15, 2011 and will include all final terms and conditions as documented from the sale of the bonds on November 14, 2011. The completed Resolution will be available for your consideration and recommended for approval during the November 15, 2011 Regents' meeting.

Thank you for your consideration.

RESOLUTION

of

THE REGENTS OF THE UNIVERSITY OF NEW MEXICO

Setting Forth the Terms of its:

\$46,500,000
The Regents of The University of New Mexico
Subordinate Lien System Refunding Revenue Bonds,
Series 2011

Dated November 15, 2011

CERTIFICATE AS TO RESOLUTION

I, the duly qualified and acting Secretary and Treasurer of The Regents of the University of New Mexico, hereby certify that attached hereto is a true and correct copy of a resolution duly adopted by The Regents of the University of New Mexico at a meeting thereof held on November 15, 2011, notice of which was duly given and at which a quorum was present and acting throughout.

WITNESS my hand and seal this 22nd day of November, 2011.

[SEAL]	THE REGENTS OF THE UNIVERSITY OF NEW MEXICO
	BySocratory and Transurar
	Secretary and Treasurer

STATE OF NEW MEXICO COUNTY OF BERNALILLO CITY OF ALBUQUERQUE) ss.)
place of the Regents in the Student U	ity of New Mexico convened at the regular meeting Jnion Building (Ballroom C) at the University of New 20, at 9:00 a.m. on November 15, 2011.
There were present:	
Those absent:	
The members present constit business:	uting a quorum, the Regents transacted the following
Regentseco	moved that the pricing resolution be adopted and added the motion.
The motion to adopt the prici	ng resolution prevailed upon the following vote:

NAYS:

The pricing resolution as adopted is as follows:

THE REGENTS OF THE UNIVERSITY OF NEW MEXICO RESOLUTION

SETTING FORTH THE TERMS OF THE PREVIOUSLY AUTHORIZED SUBORDINATE LIEN SYSTEM REFUNDING REVENUE BONDS, SERIES 2011 IN AN AGGREGATE PRINCIPAL AMOUNT OF \$46,500,000 OF THE REGENTS OF THE UNIVERSITY OF NEW MEXICO; RATIFYING AND APPROVING THE EXECUTION AND DELIVERY OF THE PURCHASE CONTRACT RELATING TO SUCH BONDS; PRESCRIBING OTHER DETAILS CONCERNING SUCH BONDS; APPROVING THE DISTRIBUTION OF THE OFFICIAL STATEMENT; AND AUTHORIZING ANY OTHER NECESSARY ACTION TO EFFECT THE DELIVERY OF THE SERIES 2011 BONDS.

WHEREAS, The Regents of the University of New Mexico (the "Regents") have previously adopted on September 13, 2011 a parameters bond resolution (the "Bond Authorizing Resolution") authorizing the issuance of the Series 2011 Bonds; and

WHEREAS, the Regents wish to adopt this resolution (the "Pricing Resolution", and together with the Bond Authorizing Resolution, the "Resolution") to supplement the provisions of the Bond Authorizing Resolution by setting forth herein the final terms of the Series 2011 Bonds (defined herein and referred to as the "Bonds"); and

WHEREAS, the capitalized terms used herein are defined in Section 1.01 of the Bond Authorizing Resolution and shall have such meanings herein as set forth therein, unless the context clearly requires otherwise; and

WHEREAS, the Purchaser has offered to purchase the Bonds pursuant to the Purchase Contract upon the terms and conditions set forth therein and herein and the Regents have determined and hereby determine to accept the offer of the Purchaser; and

NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF REGENTS OF THE UNIVERSITY OF NEW MEXICO, AS FOLLOWS:

ARTICLE I

PURCHASE CONTRACTS, OFFICIAL STATEMENT AND RELATED MATTERS

- **Section 1.01. Purchase Contract.** The Regents hereby approve the terms of the Purchase Contract relating to the Bonds and authorize and approve the execution and delivery of the Purchase Contract by an Authorized Officer.
- **Section 1.02. Official Statement**. The Regents hereby authorize and approve the distribution of the Official Statement relating to the Bonds.
- **Section 1.03. Paying Agent.** The Regents hereby appoint BOKF, N.A. dba Bank of Albuquerque, as Paying Agent and Registrar for the Bonds and as Escrow Agent for the Refunded 2002A Bonds.

Section 1.04. Other Necessary Actions. The Regents hereby authorize and approve any other necessary action to be performed by an Authorized Officer to effectuate the delivery of the Bonds to the Purchaser.

ARTICLE II THE SERIES 2011 BONDS

Section 2.01. Details. The Bonds shall be designated "The Regents of the University of New Mexico Subordinate Lien System Refunding Revenue Bonds, Series 2011" (the "Bonds"). The Bonds shall be issued only as fully registered bonds in denominations of \$5,000 or any integral multiple thereof and numbered consecutively with such prefixes or other distinguishing designations as the Registrar may determine necessary or appropriate to distinguish one Bond from another.

Section 2.02. Dated Date, Interest Rates and Maturity Dates. The Bonds shall be dated as of date of delivery, and shall mature at such times and in such amounts and shall bear interest at the rates as indicated below:

Series 2011 Bonds

Maturity Date	Principal	Interest
<u>(June 1)</u>	<u>Amount</u>	Rate
2012	\$	%
2013		%
2014		%
2015		%
2016		%
2017		%
2018		%
2019		%
2020		%
2021		%
2022		%
2023		%
2024		%
2025		%
2026		%
2027		%
2032*		%

^{*}Term Bonds, subject to mandatory sinking fund redemption.

Section 2.03. Payment of the Bonds; Transfers to Paying Agent. Payments on the Bonds shall be made in such coin or currency of the United States of America as, at the respective time of payment, is legal tender for the payment of public and private debts. The Regents shall transfer funds to the Paying Agent on a timely basis so that the Paying Agent may make payments of the principal of, premium, if any, and interest on the Bonds, when due, to the Owners. The Regents shall notify the Paying Agent if there is or will be

an insufficient amount of money legally available to pay principal and interest on the Bonds when due.

The principal of and premium, if any, on the Bonds shall be payable to the Owners upon presentation and surrender of their bonds at the Principal Office of the Paying Agent.

Interest on the Bonds shall be payable on June 1, 2012 and on each December 1 and June 1 thereafter by the Paying Agent by check mailed on the date on which due to the Owners at the close of business on the Record Date to the registered addresses of the Owners appearing on the Bond Register.

Section 2.04. Optional Redemption. The Bonds maturing on and after June 1, 2022 shall be subject to redemption provided that, on the date of redemption, there is no Continuing Event of Default under the Bond Resolution while any of the 2000B Bonds, 2001 Bonds, 2002B Bonds, 2002C Bonds, 2003A Bonds, 2003B Bonds or 2003C Bonds remain outstanding, at the option of the Regents, at any time on or after June 1, 2021, in whole or in part, and if in part, the Bonds to be prepaid or redeemed shall be selected in such order of maturities as the Regents shall determine and if less than all of the Bonds of a particular maturity are to be redeemed, then by lot in such manner as the Paying Agent shall determine, at the redemption prices (expressed as a percentage of the principal amount of the Bonds being redeemed) indicated below plus accrued interest, if any, to the redemption date:

Redemption Period

Redemption Price

June 1, 2021 and thereafter

100%

Section 2.05. Mandatory Sinking Fund Redemption. The Series 2011 Bonds maturing on June 1, 2032 shall be subject to mandatory sinking fund redemption at a redemption price equal to 100% of the principal amount thereof plus accrued interest to the redemption date on June 1 in the years and in the principal amounts stated below:

<u>Year (June 1)</u>	Amount
2028	\$
2029	
2030	
2031	
2032*	

* Maturity Date

Section 2.06. Bond Form. The Bonds and certificate of authentication shall be in substantially the form set forth in Exhibit A to the Bond Authorizing Resolution with such changes as are necessary to conform to this Pricing Resolution.

ARTICLE III

MISCELLANEOUS

Section 3.01. Resolution Irrepealable; Construction with Bond Authorizing Resolution. Except with respect to amendments as provided in the Bond Authorizing Resolution, after any of the Bonds are issued, this Pricing Resolution shall be and remain irrepealable until the Bonds, the interest thereon shall be fully paid, canceled and discharged or there has been defeasance of the Bonds. It is the express intention of the Regents that the terms and provisions of this Pricing Resolution supplement the terms and provisions of the Bond Authorizing Resolution and that the terms and provisions of the Bond Authorizing Resolution which do not conflict with the terms and provisions hereof shall be operative and controlling with respect to the Bonds.

Section 3.02. Severability. If any one or more provisions of this Pricing Resolution or the application thereof to any set of circumstances shall ever be held by final decision of a court of competent jurisdiction to be invalid or ineffective for any reason, such holding shall not affect the validity and enforceability of the remaining provisions and pledges of this Pricing Resolution or the application of such remaining provisions to other circumstances.

Section 3.03. Repealer Clause. All bylaws, orders and resolutions of the Regents or the University, or parts thereof, inconsistent herewith are hereby repealed to the extent only of such inconsistency. This repealer shall not be construed to revive any bylaw, order or resolution, or part thereof, heretofore repealed.

Section 3.04. Ratification. All action heretofore taken (not inconsistent with the express provisions of the Resolution) by the Regents and Authorized Officers the University directed toward the Project, and toward the authorization, sale and issuance of the Bonds to the Purchaser is hereby ratified, approved, confirmed and determined to be in compliance with parameters set forth in the Bond Authorizing Resolution including, specifically, Section 2.03 thereof.

Section 3.05. Governing Law. This Pricing Resolution shall be governed by the laws of the State without reference to choice of law principles thereof.

Section 3.06. Effective Date. This resolution shall take immediate effect.

[Remainder of page intentionally left blank]

ADOPTED AND APPROVED this 15th day of November, 2011.

THE REGENTS OF THE UNIVERSITY OF NEW MEXICO

[SEAL]	Ву
	President
ATTEST:	
Ву	_
Secretary and Treasurer	_
[Signature Page to	Pricing Resolution]
K:\dox\client\73740\146\W1552047.DOC	



October 26, 2011

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Provost Chaouki Abdallah

FROM:

Rick Holmes, Office of the University Secretary

SUBJECT:

Posthumous Degree Request for Rose Marie Fuchs

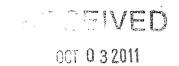
The Faculty Senate approved the posthumous degree for Rose Marie Fuchs at their October 25, 2011 Faculty Senate Meeting.

Included is the request from the Anderson School of Management.

Please place this item on the next Board of Regents Academic Student Affairs and Research Committee meeting agenda for consideration

Thank you.

Attachments



OFFICE OF SECRETARY



Senate Graduate Committee (IF neccessary):

Posthumous Degree Request Form

Request Initiator: _	FAmely	Menbe	Contact: <u>Li</u>	sa Lindaui	ust .						
	7	\ _ ^ ·	- 1	/ /							
Relationship to student or UNM:											
Would you like the	Dean of Student	ts to contact the	, , family regarding this r	equest? XYes (No						
Dean of Students N	lotification: _ Q	Sut -	4/28/11								
	Student Name:	PACON	lovie Fuchs								
	Student ID:		862251								
	College/Major:		School on Man	naeman Degree:	34						
	Minor:			3							
Additional Ma	jors or Minors:										
an academic program is Accordingly, the Universestowed upon a stude These degrees may be	s, under certain circo sity of New Mexico v nt who dies before s granted under the fo	umstances, an achi will make available ' he is able to comp ollowing circumstan	, ,	cial recognition.	o be						
	-	·	credits required for the degre	, ,	mer deam,						
zi ine etadent inact ile	ro somproted a mini	man or man or mo	ordania for the dogre	~ ,							
 Requests for posthur UNM administrator; 	mous degrees may t	oe initiated by the s	udent's family, the faculty of	the department and/or co	ollege, or a						
			pprove requests for posthum requests for graduate level p		Graduate						
5. The degree will be no	oted as "posthumou	s" on both the diplo	ma and the transcript.								
Approvals	Nar	me	Signat	ure	Date						
Department :	Anderson	, when	7.14.0	m) a	9/2011						
College:	Hav	seven	Mark		11011						
Conlege :	Anderson	shool and	- V		09/21/1						
Faculty Senate:	,			/	7,7,7						

Executive Summary

Transcripted Graduate Certificate in Applied Behavior Analysis: Research-based Interventions for Individuals with Disabilities who have Behavioral Challenges

The transcripted graduate certificate in Applied Behavior Analysis: Research-based Interventions for Individuals with Disabilities who have Behavioral Challenges is designed to prepare inservice special and general education teachers and related professionals as well as community providers (e.g., behavior therapists, developmental specialists, early interventionists) to conduct behavioral assessment with children and adults in need of behavior intervention services, provide behavior analytic interpretation of the results, and design and implement appropriate behavior interventions based on assessment results that will be implemented in home, school, and community settings. The Graduate Certificate program is open to students pursuing a graduate degree in Special Education and in other related fields (e.g., Psychology) at the University of New Mexico and to individuals who minimally hold a bachelor's degree and are interested in advanced training in behavioral analysis and intervention. The certificate offers two options, each developed prospectively with the designated faculty certificate advisor; (1) Students successfully complete a minimum of 18 hours of coursework and an approved final capstone project or (2) Students successfully complete the requirements of (1) and also successfully complete an additional 6 hours of advanced field experience to fulfill the field experience requirements for credentialing as a Board Certified Behavior Analyst (BCBA). Option (2) would prepare them to sit for the national credentialing exam for BCBAs.

Graduate Certificate in

Applied Behavior Analysis: Research-based Interventions for Individuals with Disabilities who have Behavioral Challenges

Proposed Catalog Listing to be inserted on page 387

The Graduate Certificate in Applied Behavior Analysis: Research-based Interventions for Individuals with Disabilities who have Behavioral Challenges is designed to prepare inservice special and general education teachers and related professionals as well as community providers (e.g., behavior therapists, developmental specialists, early interventionists) to conduct behavioral assessment with children and adults in need of behavior intervention services, provide behavior analytic interpretation of the results, and design and implement appropriate behavior interventions based on assessment results that will be implemented in home, school, and community settings. The Graduate Certificate program is open to students pursuing a graduate degree in Special Education and in other related fields (e.g., Psychology) at the University of New Mexico and to individuals who minimally hold a bachelor's degree and are interested in advanced training in behavioral analysis and intervention. The certificate offers two options, each developed prospectively with the designated faculty certificate advisor: (1) Students successfully complete a minimum of 18 hours of coursework and an approved final capstone project or (2) Students successfully complete the requirements of (1) and also successfully complete an additional 6 hours of advanced field experience to fulfill the field experience requirements for credentialing as a Board Certified Behavior Analyst (BCBA). Option (2) would prepare them to sit for the national credentialing exam for BCBAs.

Prerequisite for Options (1) and (2)

SPCD 582 Teaching Students with Intensive Communication Needs 3

Total Required Course Work for Option (1): 18 hours SPCD 510 Special Education Law SPCD 519 Applied Behavioral Analysis in the Classroom The Application of ABA to Academic Research in Special Education SPCD 619 Seminar in Special Education: Advanced Applied Behavior Analysis SPCD 505 **SPCD 595** Advanced Field Experience 3 Elective 3 (approved by faculty certificate advisor) Total Required Course Work for Option (2) SPCD 510 Special Education Law SPCD 519 Applied Behavioral Analysis in the Classroom SPCD 619 The Application of ABA to Academic Research in Special Education 3 **SPCD 505** Seminar in Special Education: Advanced Applied Behavior Analysis SPCD 595 Advanced Field Experience 9 Elective 3 (approved by faculty certificate advisor)

NEW GRADUATE DEGREE OR GRADUATE CERTIFICATE FORM D	UNIT PREPARES IN QUADRUPLICATE Route as indicated below under approvals. Return to the
Date: March 1, 2011	Registrar's Office once all signatures have been obtained.
Dr. Susan Copeland (Name of individual initiating Graduate Degree or Graduate Certificate)	*Allow up to one year for the process to be completed for a certificate, and 18 months for a degree.
Associate Professor 277-0628	
(Title, position, telephone number)	MVH MAY 0.3 2011 REC
susanrc@unm.edu (Email address)	corare mildi A S. Coll 1700
Educ. Specialties/Special Education	
(Department/Division/Program)	
Note: Drapage for many and the state of the	
Note: Proposals for new graduate degrees or graduate certificates nee Office of Graduate Studies and ask for an outline. Revisions of gradual state approval, depending on the extent of changes proposed, Please of the form.	ed to follow an approved format. Please call the le degrees and some new certificates also may need consult the Office of the Provost for advice prior to
stach the following required documents:	
. Executive Summary.	
. Program Proposal (in the approved format),	
. Catalog Description (to include program curriculum).	
Graduate Program Projected Costs (only for new degrees).	
Library Impact Statement.	
oes this new degree affect any existing program? Yes 🗵 No 🗍 If roposed date to admit new students: Term Fall Year 2011	yes, attach statement.
roposed date to admit new students: Term Fall Year 2011	Date 3/21/11
roposed date to admit new students: Term Fall Year 2011 quired Signatures: Department Chair Ruth Luthy	Date $\frac{3/21/11}{5/2/11}$
roposed date to admit new students: Term Fall Year 2011 quired Signatures: Department Chair Kuth Lukhusshu College Curricula Committee	Date $\frac{3/31/11}{5/2/11}$ Date $\frac{5/3/11}{5}$
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THE UNIVERSITY OF NEW MEXICO OFFICE OF THE REGISTRAR (Revised 08/2007)

Candidate items of work for the Academic, Student Affairs and Research Committee

The following are proposed working topics for the ASA&R Committee for the remainder of the academic year 2011-2012. The list reflects inputs from members of the Committee and is in rough priority.

The purpose of these Committee working topics is to declare our interest and concern for these matters. The goal is to lead to presentations to the Committee for consideration and possible recommendations for action to University principals or the Regents. The Provost or other appropriate members of the University may provide factual presentations with, or without, proposals. In some cases small panels may provide the best informed discussion for the Committee.

Our strategic purpose here is to help the academic enterprise with priorities by assuring focus on central issues, and help Regents oversee and assure progress on those issues.

- 1. How do we measure student success to provide feedback for improving courses and comparisons with peer institutions? (Possibilities: graduation rates/grades, graduate schools attended, impact of research by undergrad/grad students, GRE scores, other). This is related to the Accreditation Report of 2009, section on student learning and effective teaching, which cites the UNM establishment of "timeline for all degree programs to identify student learning outcomes...plan for assessing student achievement...provide annual reports... how assessment...guide changes for program improvement." However every specific measure the report cites is an input measure, and nothing is said about improvements in student achievement and capability.
- 2. Plans to build faculty strength. Priorities, recruitment, budget wedge into the future, goals? Adjuncts: what role in the Academic Plan? PhD students: what role? Pay, benefits, capability?
- 3. Understand full picture of student expenses:
 - a) Amount of financial assistance available, on average, grants and loans
 - b) Student work income available, on average
 - c) Breakout of where student tuition and fees go (including tuition 'credit')
 - d) Lottery Scholarships: what are the benefits, the options to consider
 - e) Track progress on the tuition and fee policy planning for the FY 13 budget, especially the criteria used.
- 4. Achieving AAU membership: Parsing the criteria into annual increments so we all understand what is involved.

- 5. Establish clear picture of the research enterprise. Size, costs, strong areas (and potential strong areas), partnerships; payoffs in all relevant terms, especially to other parts of the academic enterprise. What role for PhD students into the future?
- 6. Status of action on criticism made in the Accreditation Report of 2009, section on assessment of student learning of core competencies. "...it is not evident that courses are systematically completing the assignment [to determine core competencies resulting from the course]...only a few have reported results... not clear who has responsibility for implementation... including ... analysis of results of assessment of student achievement of core competency goals, and use of assessment results for improving student learning."
- 7. Committee assistance with the Academic Strategic Plan. This is the overarching goal for the Provost office this year.
- 8. What are the criteria for success of a faculty member? What should they be? How is success recognized and encouraged?
- 9. Understand & support an Honors College game plan.
- 10. Role of UNM in fixing New Mexico's K-12 crisis.
- 11. How will UNM attract better-prepared New Mexican and out-of-state students (quality, value, research, diversity)?

In the longer term:

- 12. How to improve the perceived value of a UNM Degree?
- 13. What is the game plan for turning diversity into a path-breaking opportunity?
- 14. What does New Mexico and the US need in a University in 2025 and later that UNM has a comparative advantage in providing?



University Services

University Services Business Operations
UNM Copy Center
UNM Mailing Systems
UNM Records Management
http://www.unm.edu/~univserv/

UNM Surplus Property UnivServ Shipping and Receiving UNM Inventory Control Chem Stores/CRLS Copier Management Program

Date:

October 14, 2011

To:

Bruce Cherrin, Chief Procurement Officer

Purchasing Department

From:

Debra L. Fondino

Associate Director, University Services

Subject:

Equipment Disposition - October 2011

Attached for your review and submission to the Board of Regents is the Surplus Property Disposition Detail list for the month of October, 2011.

Consistent with UNM Board of Regents Policy 7.9 and the Disposition of Surplus Property Act, 13-6-1, NMSA 1978, and based upon documentation submitted by the UNM departments responsible for the equipment, I certify that the equipment identified on the list is worn-out, unusable or obsolete to the extent that the items are no longer economical or safe for continued use by the University. I recommend that the items be deleted from UNM's inventory and disposed of in accordance with the above noted Regents Policy and Surplus Property Act.

Surplus Property Department Disposition Detail List as of 10-14-11

Disposal -	Date	0/17/2011	2/17/2011	10/07/2011	1/17/2011	117/2011	10/17/2011	Jr17/2011	117/2011	10/07/2011	J/17/2011	10/07/2011	117/2011	3/17/2011	10/17/2011	10/07/2011	10/17/2011	V17/2011	10/17/2011	10/07/2011	3/17/2011	3/30/2011	10/07/2011	09/30/2011	117/2011	10/07/2011	1/17/2011	09/30/2011	10/17/2011	10/07/2011	117/2011	10/07/2011	9/30/2011
Method Of	-	Too Costly to Repair 10/17/201	Too Costly to Repair 10/17/201	Obsolete 10	Too Costly to Repair 10/17/201	Too Costly to Repair 10/17/201	Obsolete	Too Costly to Repair 10/17/201	Too Costly to Repair 10/17/201	Obsolete	Too Costly to Repair 10/17/201	Obsolete	Too Costly to Repair 10/17/201	Too Costly to Repair 10/17/201	Obsolete	Obsolete	Obsolete	Too Costly to Repair 10/17/201	Obsolete	Obsolete	Too Costly to Repair 10/17/201	Too Costly to Repair 09/30/201	Obsolete	Obsolete	Too Costly to Repair	Obsolete	Too Costly to Repair 10/17/2011	Obsolete	Obsolete	Obsolete	Too Costly to Repair 10/17/201	Obsolete	Too Costly to Repair 09/30/2011
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	Manufacturer	IBM	IBM	AVID	IBM	IBM	INK IMPRESSIONS	IBM	EPOS CORP	BURST/GAMUT COMMUN MEDIA 100XR	HEWLETT PACKARD	Leeds Precision Instrumen	Education Technologies	Education Technologies	INTEGRA	Dell	IBM	Dell	HEWLETT PACKARD	HILTECH	EPOS CORP	VWR	HEWLETT PACKARD	ABBA TECH INC	Dell	Fisher Scientific	Dell	POWERWARE	HOLMAN	David Kopf Instruments	Dell	Leeds Precision Instrument	Thermo Forma
Act of Canada Anna Canada	Description	COMPUTER FILE SERVER	COMPUTER FILE SERVER	CONSOLE EDITING#	PRINTER/COPIER	LASERJET PRINTER	GLUEING MACH	COMPUTER FILE SERVER	COMPUTER FILE SERVER	COMPUTER VIDEO EDITO	COMPUTER FILE SERVER	Microscope	Server	Server	SCANNER	Computer File Server	COMPUTER PRINTER	Server	COMPUTER COLOR PRINT	HPLC/DETECTOR	RACK EQUIPMENT	FREEZER	SPECTROPHOTOMETER	NETWORKING SWITCH	Computer	Mixer Mill	Server	POWER SUPPLY	LASERJET PRINTER	Pipette Puller	Server	Microscope	FREEZER
Department surplussing	meudinte	IT Computing Platforms	IT Computing Platforms	Cinematic Arts Department	IT Computing Platforms	IT Computing Platforms	IT Computing Platforms	IT Computing Platforms	IT Computing Platforms	Cinematic Arts Department	IT Security & Quality Assurance	Pathology Gen Admin	NMEL Course Development Group		IT Security & Quality Assurance		IT Security & Quality Assurance	IT Computing Platforms	Earth and Planetary Sciences Dept	College of Pharmacy	IT Computing Platforms	Cell Blology	College of Pharmacy	IT Computing Platforms	CRTC Research Director	Pathology Facuity #R18	N00007399 NMEL Systems Group	IT Classroom Technologies	IT Security & Quality Assurance		IT Computing Platforms		College of Pharmacy
	CINIME	(1) 263979	(1) 261132	(2) 216409	(3) 253536	(3) 258438	(3) 254048	(1) 264201	(1) 255139	(2) 252547	(1) 235922	(4) N00014700	N00013681	N00013682	(3) 202173	ND00000530	(3) 203143	(1) ND00005000	219728	222048	(3) 255140	241211	182725	260046	N00012461	(4) N00011676	N00007399	239397	(3) 254243	(4) N00014173	(1) N00005005	(4) NO0014699	253012

Total Dispositon Items: 33

750,209.23

Disposition value:

20,606.67

Disposition bookvalue:

October 14, 2011

Additional Notes to Surplus listings dated 10/14/11:

Note: the notes below are not necessarily in order of appearance on the report due to the grouping by department.

- 1—Assets# 235922, # 255139, # 261132, # 263979, # 264201, # N00005000, and # N00005005 are all Information Technologies File Servers sent to Surplus Property as a result of showing signs of hardware failures. Rather than repair the equipment and continue a higher expense to maintain the equipment, the services were moved to newer servers with better performance and lower maintenance costs. As a result, these servers were decommissioned and no longer used.
- 2 —Assets# 216409 and # 252547, an Avid video editor and a Media 100 video editor, were transferred to the Cinematic Arts inventory from the College of Fine Arts Department of Theater and Dance and Media Technology Services respectively. Both had slated these items for surplus but instead transferred them to Cinematic Arts in the hopes these assets would be compatible with the current program in use. However, both proved to be tremendously out of date and incapable of being upgraded to the present-day standards of computer technology editing systems. It was then decided to finally send the items to Surplus Property.
- **3 –Assets# 253536** and **# 258438:** These IBM Info Print 70 printers from Information Technologies were specialized printers needed to communicate with the IBM Mainframe in order to print payroll checks. They were also high-volume, industrial printers used to print large business reports for the University from the prior FRS system.

Assets# 203143 and # 254243, an IBM line printer and an HP LaserJet printer, were used for dot matrix printing on green bar paper and for centralized printing from FRS.

Assets# 202173 and # 255140 are an Integra Cartridge Cleaner for storage tape cartridges not used for several years and a small APC Smart UPS replaced years ago by Data Center Quality UPS's.

Asset# 254048 is a Glue Folder used to glue-close payroll checks. By the time it was phased out to the current check printing system, it was no longer functional.

Once the Mainframe was demised by the transition to Banner and centralized printing was moved to UNM Copy Center, the above printers were no longer used and became obsolete.

4 – Assets# N00011676, # N00014173, # N00014699 and # N00014700 are four items of research equipment from the School of Medicine Department of Pathology, the laboratory of

Dr. Gregory Ebel, Associate Professor. Dr. Ebel is leaving UNM and the items are directly related to his research program in arthropod borne diseases. There are no other researchers performing this specific type of research in the SOM Department of Pathology.

The four items are used for making glass needles for inoculating mosquitoes with virus- and plasmid-containing buffers; two are for manipulating and dissecting mosquitoes; the final item is used for preparing mosquito tissues and saliva for analysis. These items will be put out to bid to recover monies for other research equipment.

Note: Any item of value in this list will be re-sold through all means available, i.e.: Request for Bid, Auction house, special auction, online sale, to recover the maximum monies to re-invest in the mission of the requesting department.



The University of New Mexico
Purchasing Department
MSC01 1240
1 University of New Mexico
Albuquerque, NM 87131-0001

MEMORANDUM

To:

David Harris, Executive Vice President for Administration

From:

Bruce Cherrin, Chief Procurement Officer

Subject:

Contract Approval

Date:

October 21, 2011

The following is being submitted for approval per Regent Policy 7.4. This will need to proceed to the full Board of Regents.

University of New Mexico Hospital

1. Zimmer

Source of Funds: UNM Hospitals Operating Budget

Description: Request for five year contract for trauma implants for various trauma surgical procedures. The contract also contains provisions for consignment inventory in order to keep implants on hand for emergency procedures, but pay for only after their use thereby reducing inventory on hand costs. Provide up-to-date information related to relevant surgical techniques, products and educational materials.

Process: Federal Government DOD/DLA Troop Support Contract SPE2DE-11-7232

Total Cost: Dependent on volumes, ranges from \$675,000 to \$750,000 per fiscal year



Office of Capital Projects

MEMORANDUM TO ADVANCE COMMITTEE AGENDA ITEMS TO THE BOARD OF REGENTS THE UNIVERSITY OF NEW MEXICO

DATE:

October 21, 2011

TO:

David W. Harris, EVP for Administration, COO & CFO

FROM:

Vahid Staples, Budget Officer, Office of Planning, Budget & Analysis

RE:

Requested Approvals

RECOMMENDED ACTION:

Recommend to the Board of Regents Finance and Facilities Committee the following:

- Request for Capital Project Approval for Clinical and Translational Science Center: 3rd
 Floor Lab Renovation, Phase 2
- 2. Request for Capital Project Approval for Classroom Upgrades
- Request for Capital Project Approval for KNME & Domenici Hall Electrical Upgrades to 12.47KV
- Request for Capital Project Approval for Physics and Astronomy Electrical Upgrade to 12.47KV

Please see attached summaries and drawings.

cc: Chris Vallejos, Associate VP, Institutional Support Services
 Mary Kenney, Robert Doran - PCD
 W. Turner, R. Henrard, C. Martinez, M. Gauer, B. Scharmer, T. Sanchez – OCP
 Dr. Richard Larson, VP for Research, Health Sciences Center
 Michael Briggs, Chief Administrative Officer, CTSC Administration
 Mary Vosevich, Director, Physical Plant Department
 Robert Notary, Associate Director, PPD Engineering & Energy Services

REQUEST FOR CAPITAL PROJECT APPROVAL for CLINICAL and TRANSLATIONAL SCIENCE CENTER: 3rd FLOOR LAB RENOVATION, PHASE 2 UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER November 15, 2011

REQUESTED ACTION:

In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested for Clinical and Translational Science Center: Third Floor Laboratory Renovation, Phase 2.

PROJECT DESCRIPTION:

This project will design, construct, and equip an operational research laboratory and support space on the 3rd floor of the Clinical & Translational Science Center (CTSC). The project will remodel approximately 4,646 gross square feet to support Pathology and Molecular Genetics & Microbiology. Included are two general labs, three tissue culture rooms, and an office area. Within the project boundaries, construction will consist of replacement of mechanical units and installation of DDC controls; new flooring; revisions to water, sewer, air, gas and vacuum lines; installation of new IT infrastructure; wall removal and reconstruction as required along with patching and repainting. Existing lab casework will be revised and supplemented to support the needed collaborative research environment.

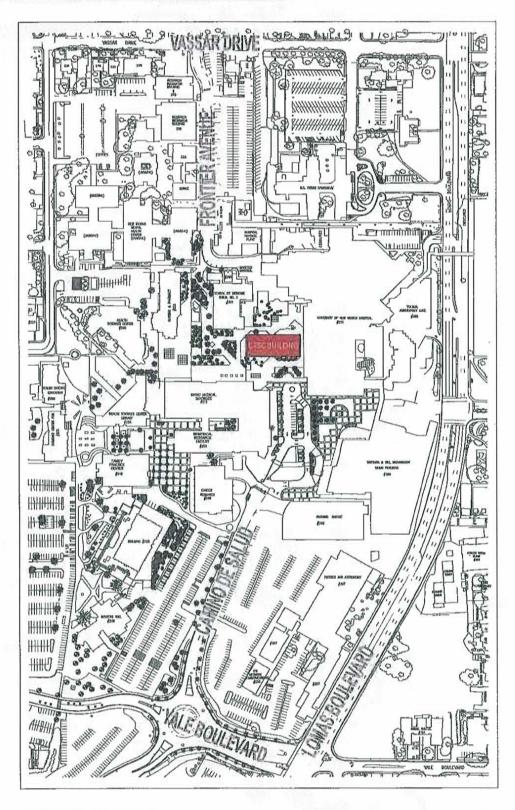
PROJECT RATIONALE:

The University of New Mexico Health Sciences Center was awarded a five year \$22.9 million Clinical and Translational Science Award (CTSA) in 2010 by the National Institutes of Health, making the institution part of an elite group of health science centers that will improve the efficiency, speed and volume of medical discovery across the United States. This project is designed to create expansion space for faculty in the University of New Mexico Clinical and Translational Sciences Center. The space will also support strong mentoring interactions, by locating senior and junior faculty in close proximity in open laboratories with their staff and students.

FUNDING:

The total estimated Project Budget is \$700,000:

 \$700,000 is funded from the HSC Chancellor's Facilities and Administrative Cost Recovery



EXISTING SITE PLAN

NOT TO SCALE





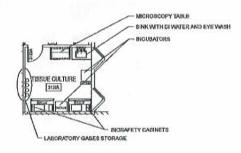




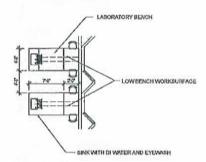




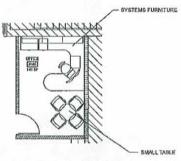
CTSC THIRD FLOOR RENOVATIONS - PHASE 2



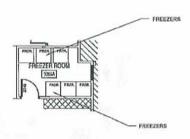
TISSUE CULTURE



LAB MODULE



TYPICAL PLOFFICE



FREEZER ROOM



OVERALL FLOOR PLAN - NEW WORK



EXISTING SHARED EQUIPMENT 335 SQUARE FEET

TISSUE CULTURE ROOM 425 SQUARE FEET





DARK ROOM (ALTERNATE SCHEME) 50 SQARE FEET

NOT IN SCOPE (RENOVATED UNDER PHASE 1)





REQUEST FOR CAPITAL PROJECT APPROVAL for CLASSROOM UPGRADES UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER November 15, 2011

REQUESTED ACTION:

In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested for the Classroom Upgrade Project.

PROJECT DESCRIPTION:

This project will upgrade 19 classrooms in six separate buildings with state of the art technology to better meet the teaching needs in the curricula of the School of Medicine, the College of Nursing, the College of Pharmacy, and in Diagnostic and Therapeutic Sciences. Classroom upgrades will include Audio/Video and computers, replacement of furniture, and minor patch and paint. The project will also upgrade technology in the Interprofessional HealthCare Simulation Center (IHSC), a suite of simulation rooms in the Domenici Center for Health Sciences Education Building.

PROJECT RATIONALE:

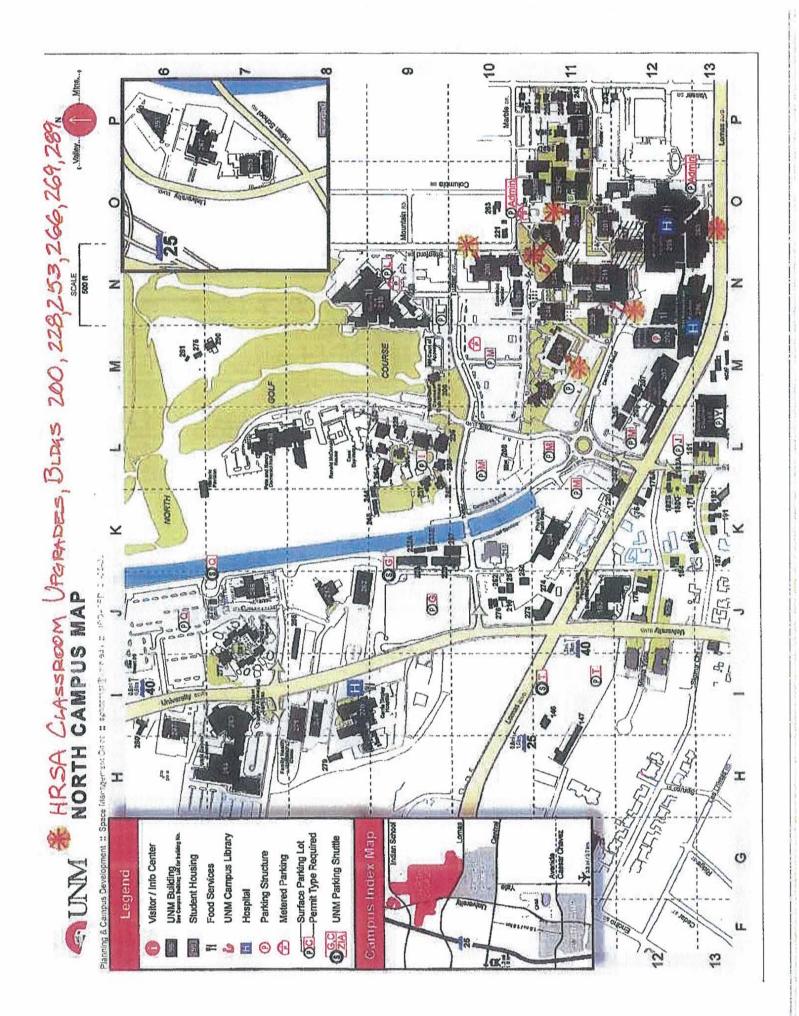
This project request is initiated from a Health Resources and Services Administration (HRSA) construction Grant. It was originally allocated to Phase III of the Domenici Center for Health Sciences Education, but with the outcome of the 2010 GOB's, the scope of the grant award was changed to use the HRSA funds to provide additional educational facilities for the HSC, but in renovated rather than in new space.

The change of scope continues to allow the Health Sciences Center to address the needs of workforce shortages in the health professions by providing adequate space to teach expanded enrollments. It will also allow the HSC to increase its range of services by providing the facilities necessary to meet present and future curricula requirements in the health sciences. This proposal revision will increase the number of appropriately sized classrooms and will upgrade existing classrooms that are currently underutilized as they are not appropriately designed or equipped.

FUNDING:

The total estimated Project Budget is \$1,320,027:

• \$1,320,027 is funded from HRSA Grant, Award Number C76HF09655-01-01



HRSA Classroom Upgrades Project Draft - Master List of Upgrades by Building/Room 9/19/2011

(SSB	Building	Rooms	Type Upgrade	Description	Prelir	minary Budget	Comments
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	HISTO	103	Technology	Chaircom	.5	110,520,00	Convert to tasting room priority
	#350	103	Construction	Inatal jacking cablest for COVA, resmove Houses	- 5	18,100,00	ALCOHOLD STATEMENT OF THE STATEMENT OF T
	36558	166	Technology	Classicory	- 5	9,005,00	
	10,500	166	Construction	Add relight/storefronts	COLUMN TWO	£875 60	
	1659	167	Technology	Classroom	3	9,000.00	
	HARM	167	Capatracion	Add is fight/storefronts	150	H,H79.00	
	11550	TOR	Technology	Classroom	4	9,800.00	
	insti	2100	Caratructura	Add relightfatonelioms	600 Carlo	4,925.00	
	14220	169	Technology	Danycolli	- 3	9,800.00	
	9559	160	Construction	And religious torefronts	Section 2	CHES CO	
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	0.00	610	Contraction	Palify & Paris	4	2,550,00	
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- Countries	600	338	Constitution		12	H75.00	
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THE STATE	COP	135	Technology	Clauroim	3	20,200.00	
TON!							
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	con	157	Technology	Classroom	- 4	24,450.00	
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	Trisipervices	Various	Technology	4 Classrooms	\$		EM Classrooms
	Terrientive	Various	Translare	20ppfemental classroom funtitura	-	44,000,00	
HSC							
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	-0.00						
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				Charles Committee Committee		- Carta Contra	
				Subterful - Ferhingings	4	605,020,00	
				Subjects - Farelines	1	307,000,00	
				Stabiotal Construction	- 100	101,125,00	
				Suttotal - Other	1	\$4,864.00	

Note, does not include OCP soft costs

REQUEST FOR CAPITAL PROJECT APPROVAL for KNME & DOMENICI HALL ELECTRICAL UPGRADES TO 12.47KV UNIVERSITY OF NEW MEXICO November 15, 2011

REQUESTED ACTION:

In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested for the KNME & Domenici Hall Electrical Upgrades To 12.47kV.

PROJECT DESCRIPTION:

Convert Pete & Nancy Domenici Hall from PNM's electrical service to UNM's electrical service.

Convert KNME from PNM's electrical service to UNM's electrical service.

PROJECT RATIONALE:

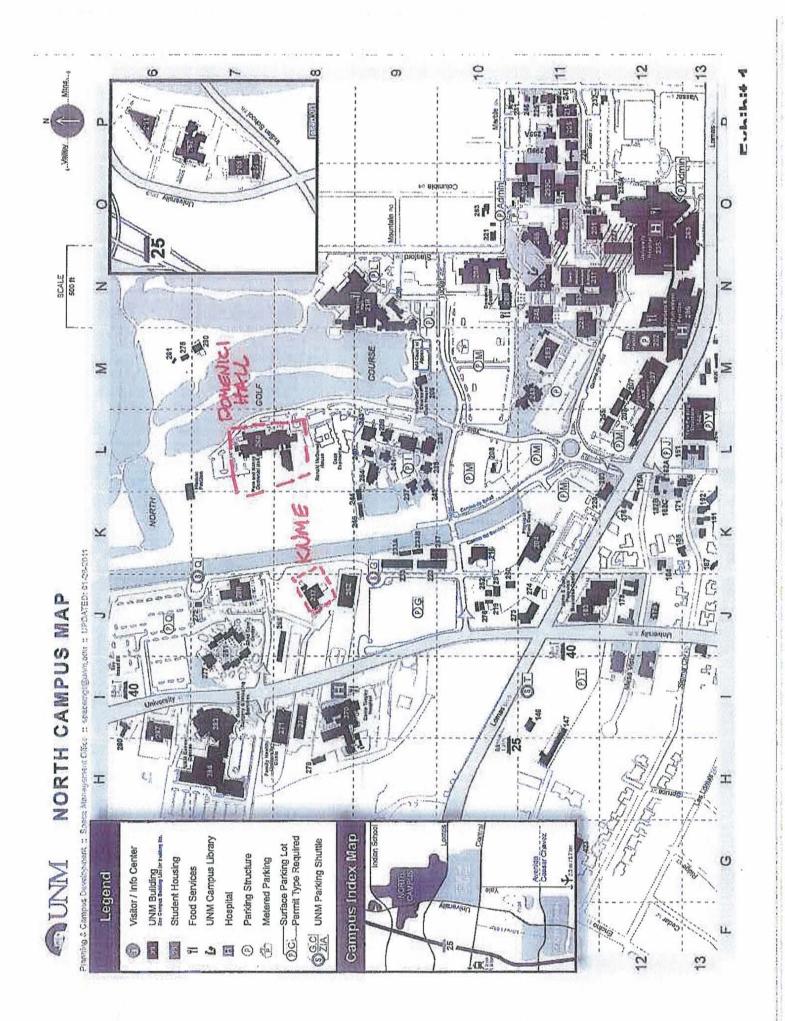
The Domenici Hall facility is presently served from the very end of a PNM overhead line which experiences problems several times per year that interrupts research and creates patient scheduling issues. Converting the facility's electrical service from PNM to the UNM electrical system will increase reliability of the facility's electrical service and reduce utility costs.

The KNME facility is presently served from a PNM overhead line. Converting the facility's electrical service from PNM to the UNM electrical system will increase reliability of the facility's electrical service and reduce utility costs.

FUNDING:

The total estimated Project Budget is \$660,000:

• \$660,000 is funded from Physical Plant Department Capital Reserves



REQUEST FOR CAPITAL PROJECT APPROVAL for PHYSICS AND ASTRONOMY ELECTRICAL UPGRADE TO 12.47KV UNIVERSITY OF NEW MEXICO November 15, 2011

REQUESTED ACTION:

In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested for the Physics and Astronomy Electrical Upgrade.

PROJECT DESCRIPTION:

The project will convert the Physics and Astronomy Building (P&A) electrical service from the last remaining section of the old UNM 4.16kV electrical system to UNM's 12.47kV electrical system.

PROJECT RATIONALE:

The electric distribution system that provides power for Physics and Astronomy has reached the end of its useful life. It is a single source with no backup and is over 45 years old. Similar installations on the UNM campus have failed in recent years. These failures have prompted the replacement of the old distribution system. This building is the last facility on the old system with an increased risk of failure. A long duration electrical service interruption within the next couple of years is highly likely, which could cause devastating losses to ongoing research.

FUNDING:

The total estimated Project Budget is \$625,000:

\$625,000 is funded from FY 2012 Building Renewal and Replacement (BR&R) Funds

Tab 12



Department of Planning, Budget & Analysis

MEMORANDUM

TO:

Members of the Finance and Facilities Committee

THRU:

David J. Schmidly, President

David W. Harris, EVP for Administration, COO and CFO

FROM:

Andrew Cullen, Associate VP Office of Planning, Budget & Analysis

DATE:

November 3, 2011

SUBJECT:

Approval of University Business Policies and Procedures (UBPP) 7000

for Main and Branch Campuses

At the end of each fiscal year, the Office of Planning, Budget and Analysis (OPBA) reviews Current Unrestricted reserves in accordance with UBPP 7000 and Regents' Policy 1.1 & 7.2 (Fiduciary Responsibility) and submits a report to the Board of Regents for approval. In this report, we explain changes in Current Unrestricted reserves for Main Campus and Branches and discuss year-end deficit balances for Colleges and Divisions.

The UBPP 7000 Policy process begins when the fiscal year-end closes in late July. Each department submits the information to their respective College/School/Division, which in turn submits the information to their respective Vice President unit. Lastly, the Vice President unit reviews and submits the final report to the OPBA. The process also includes a requirement to categorize fund reserves in terms of committed, dedicated and discretionary.

The attached UBPP 7000 Policy Report includes the following detail reports for your use:

- Attachment I Summary of Current Unrestricted Reserves: Details current unrestricted reserves accordingly to underlying use.
- Attachment II Summary of Current Unrestricted Reserves by College/School/Division: Provides a further breakdown of unrestricted reserves by college/school/division.
- Attachment III Summary of Main Campus I&G Reserves by VP Unit: Compares fiscal year ending reserves and provides explanations for changes.
- Attachment IV Summary of Main Campus I&G Undesignated Fund Reserves: Details change in the central reserve.
- Attachment V Main and Branch Summary of Current Unrestricted Deficits of \$100,000 or more by College/Division: Lists the deficits and the organizations responsible for them.

- Attachment VI Summary of I&G Reserves as a Percentage of Budget by College/School/Division.
- Attachment VII Summary of Current Unrestricted Reserves as a Percentage of Budgets by College/School/Division.
- Attachment VIII Summary of Categorization of I&G and Non I&G Fund Reserves by College/School/Division.

We look forward to meeting with you to provide a high level overview of the reports and answer any questions that you might have. Thank you for your consideration.



Attachment I--Summary of Current Unrestricted Reserves

June 30, 2011

Main Campus	Reserve June 30, 2010	Reserve June 30, 2011	Change FY 2010-2011
Instruction and General	25,672,563	44,222,581	18,550,018
Student Social and Cultural	1,300,106	1,736,180	436,074
Research	12,561,366	16,255,206	3,693,840
Public Service	(2,485,295)	8,158,486	10,643,781
Internal Services	8,462,207	7,578,483	(883,724)
Student Financial Aid	18,249,905	17,700,062	(549,843)
Auxiliary Enterprises	(2,703,082)	(2,499,773)	203,309
Athletics	(629,424)	(1,175,452)	(546,028)
Total	60,428,346	91,975,773	31,547,427

Branches	Reserve June 30, 2010	Reserve June 30, 2011	Change FY 2010-2011
Instruction and General	7,330,623	9,212,865	1,882,242
Student Social and Cultural	246,566	280,694	34,127
Public Service	304,447	535,651	231,204
Internal Services	161,547	207,536	45,989
Student Financial Aid	363,798	460,435	96,637
Auxiliary Enterprises	290,771	546,481	255,709
Total	8,697,752	11,243,661	2,545,909

Note: Reserves include 2010 audit entries and 2011 audit entries as of 9/08/11.



Attachment II--Summary of Current Unrestricted Reserves by College/School/Division

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tit3722.14 0.00 113,183.19 0.00 8,949.22 eling 113,726.14 0.00 174,271.26 0.00 0.00 0.00 eling 177,726.13 0.00 174,271.26 0.00 0.00 0.00 81,890.87 0.00 0.00 0.00 0.00 0.00 0.00 0.00 11,206.10 0.00 0.00 0.00 0.00 0.00 0.00 0.00 11,206.10 0.00 0.00 0.00 0.00 0.00 0.00 0.00 11,206.10 0.00 0.00 0.00 0.00 0.00 0.00 0.00 11,206.10 0.00 0.00 0.00 0.00 0.00 0.00 0.00 11,206.20 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 11,206.20 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 11,206.20 0.00	College/School/Division	Instruction and General	Student Social and Cultural	Research	Public Service	Internal Services	Student Aid	Auxiliaries	Athletics	Total Current Unrestricted	Total Current Unrestricted	Net Change
171,726.19 0.00 174,271.26 0.00 0.00 0.00 0.00 171,726.19 0.00 0	resident's Office	113,792,14		00'0	181,183,19	00'0	8,949,22	00'0	00'0	303,924,55	568,170,52	(284,245.97)
eling 117,1726.19 0.00 0.00 61,211.80 0.00 113,479.00 52,172.14 0.00 0.00 61,311.80 0.00 0.00 113,479.00 127,706.20 0.00 0.00 0.00 0.00 0.00 0.00 127,706.20 0.00 0.00 0.00 0.00 0.00 0.00 127,706.20 0.00 0.00 0.00 0.00 0.00 0.00 17,205.11 3,591.48 0.00 32,226.59 5,823.27 12,728.83 65,596 17,205.12 0.00 0.00 316,721,77 0.00 10,00 0.00 0.00 4493.26 0.00 0.00 316,721,77 0.00 11,34,429.00 0	niversity Counsel Office	329,973.56		174,271.26	00'0	00'0	0.00	00.00	00'0	504,244.81	480,608.74	23,636.07
S2,172,14 0.00 61,311,60 0.00 113,479,00 81,880,87 0.00 0.00 0.00 0.00 81,880,87 0.00 0.00 0.00 0.00 17,706,20 0.00 0.00 0.00 0.00 1,006,11 0.00 22,296,96 5,823,27 12,729,83 65,88 115,630,08 0.00 0.00 0.00 0.00 0.00 0.00 115,630,08 0.00 0.00 0.00 0.00 0.00 0.00 0.00 115,630,08 0.00	Iniversity Communication & Marketing	171,726.19		00'0		(338.37)	00.00	00:00	00'0	171,387.82	94,402.22	76,985.60
Fig. 260.05 Co.00 Co.00	lumni Relations	52,172.14		00'0	61,311,80	00'0	113,479.00	00'0	00'0	226,962.94	513,153,27	(285, 190.33)
127,706.20	Itemal Audit Department	81,890.87		00'0	0.00	00:00	00'0	00.0	00'0	81,890.87	127,914.14	(45,023,27)
71,325,11 3,931,48 0.00 35,165,26 0.00 1,000 15,530,08 0.00 316,721,71 0.00 1,525,278 6,588 493,26 0.00 0.00 316,721,77 0.00 1,525,278 6,589 ching 1,530,08 0.00 0.00 0.00 0.00 1,534,244 493,27,14 0.00 0.00 0.00 0.00 0.00 0.00 5,947,14 0.00 0.00 0.00 0.00 0.00 0.00 2,276,53 0.00 0.00 0.00 0.00 0.00 0.00 2,276,53 0.00 0.00 0.00 0.00 0.00 0.00 1,004,40,15 0.00 0.00 0.00 0.00 0.00 0.00 4,85,94,14 0.00 44,431,52 45,833,44 0.00 14,142,26 4,85,99,14 0.00 0.00 0.00 0.00 14,142,26 4,85,99,14 0.00 44,431,62 45,833,44	NM West	127,706.20		00'0	0.00	0.00	00'0	00.00	00'0	127,706.20	249,978.43	(122,272,23)
T1,325,11 3,931,48 0,00 22,296,56 5,823,27 12,729,83 65,98 ching 115,630,08 0,00 0,00 0,00 0,00 15,279,83 65,98 ching 17,006,01 0,00 0,00 0,00 0,00 0,00 ching 17,006,21 0,00 0,00 0,00 0,00 0,00 ching 17,006,21 0,00 0,00 0,00 0,00 0,00 ching 0,00 0,00 0,00 0,00 0,00 0,00 0,00 2,276,35 0,00 0	WJ Center for Health Policy	0.00		169,017.90	36,165.26	00'0	0000	00'0	00'0	205,183,16	150,544.57	54,638.59
thing 15,590.09 0.00 316,721,77 0.00 155,527.86 65,596 ching 459.26 0.00 0.00 0.00 0.00 1.00 0.00 ching 17,006.58 0.00 1,000 0.00 <	ternational Programs Studies	71,325,11		00'0	22,296,96	5,823.27	12,729.83	00'0	00'0	116,106.65	83,845.30	32,261,35
1,1006.50 0.00 0.	arwood Foundation	15,530.09		00'0	316,721,77	00'0	152,527.86	65,965.06	00'0	550,744.78	708,409.12	(157,664,34)
thing 17,006.50 0.00 1,090.01 0.00 0.00 133,42.44 0.00 0.00 0.00 0.00 0.00 0.00 0.00	ptical Science and Engineering	493.26		00.0	00'0	0.00	00.00	00.0	00.0	493.26	(391,71)	884.97
20,162.18 0.00 0.00 0.00 0.00 5,947.14 0.00 0.00 0.00 0.00 2,276.38 0.00 0.00 0.00 0.00 0,00 0.00 0.00 0.00 0.00 1,905.490.24 0.00 0.00 37,080.89 0.00 14,142.66 1,905.490.24 0.00 7,593.99 90,013.12 0.00 145,142.66 1,005.41.73 0.00 7,593.99 90,013.12 0.00 145,142.66 1,005.41.73 0.00 7,593.99 90,013.12 0.00 145,142.66 1,005.41.73 0.00 7,593.99 90,013.12 0.00 145,142.66 0,00 0.00 65,126.69 0.00 145,142.66 0.00 1,005.41.73 0.00 65,126.69 0.00 145,142.66 0,00 0.00 65,126.69 0.00 145,142.66 0,00 0.00 65,126.69 0.00 145,142.66 0,00 0.00 <td< td=""><td>ffice of Support for Effective Teaching</td><td>17,006.50</td><td></td><td>1,090.01</td><td>00.00</td><td>00'0</td><td>13,342.44</td><td>0.00</td><td>00'0</td><td>31,438.95</td><td>59,072,57</td><td>(27,633,62)</td></td<>	ffice of Support for Effective Teaching	17,006.50		1,090.01	00.00	00'0	13,342.44	0.00	00'0	31,438.95	59,072,57	(27,633,62)
5,947,14 0.00 0.00 0.00 0.00 2,276,35 0.00 0.00 0.00 0.00 2,276,35 0.00 0.00 0.00 0.00 0,00 0.00 0.00 0.00 0.00 0,00 0.00 0.00 0.00 0.00 1,025,417,24 0.00 1,44,431,52 45,633,34 0.00 14,142,65 1,025,417,35 0.00 7,553,39 90,00 1,42,142,65 0.00 1,025,417,35 0.00 66,173,66 90,013,12 0.00 14,142,65 0,00 0.00 0.00 6,126,05 30,301,25 0.00 17,826,17 1,025,417,35 0.00 6,00 1,00 14,142,65 0.00 11,82,172 1,126,412,60 0.00 0.00 0.00 0.00 14,142,65 0.00 1,128,412,60 0.00 0.00 0.00 14,142,65 0.00 14,142,62 1,128,412,14 0.00 0.00 0.00	ano Science & Micro Systems	20,162.18		00'0	00.00	00'0	00.00	00'0	00'0	20,162.18	8,419,03	11,743.15
2,276,35 0,00 0,00 0,00 0,00 0,00 0,00 0,00 0,00 37,080,88 0,00 14,142.65 0,00 0,00 0,00 0,00 14,142.65 48,529,31 0,00 0,00 14,142.65 48,529,31 0,00 0,00 14,142.65 1,025,417,35 0,00 0,00 126,172.7 1,022,417,35 0,00 125,817.27 0,00 1,025,417,35 0,00 125,817.27 0,00 0,00 0,00 120,00 1,025,417,35 0,00 125,817.27 0,00 0,00 0,00 10,00 0,00 0,00 0,00 10,00 1,025,417,35 0,00 12,00 125,817.77 1,028,417,35 0,00 0,00 13,00 13,00 1,028,20 0,00 0,00 0,00 146,76 0,00 1,228,02 0,00 0,00 146,76 0,00 146,76 <t< td=""><td>ndergraduate Education Support</td><td>5,947.14</td><td>i Vin</td><td>00.0</td><td>00:00</td><td>00'0</td><td>00'0</td><td>0.00</td><td>00'0</td><td>5,947.14</td><td>9,916.14</td><td>(3,969.00)</td></t<>	ndergraduate Education Support	5,947.14	i Vin	00.0	00:00	00'0	00'0	0.00	00'0	5,947.14	9,916.14	(3,969.00)
0.000 0.000 37,080,88 0.00 4,442,68 0.000 0.000 0.00 44,414,265 0.00 14,142,66 1.904,492,24 0.00 44,431,62 45,833,44 0.00 36,4710,65 4.8,529,34 0.00 44,431,62 45,833,44 0.00 36,4710,65 1.025,417,35 0.00 7,636,39 93,013,12 0.00 125,912,72 0.00 0.00 0.00 0.00 9,735,56 0.00 145,912,72 1.025,417,35 0.00 65,125,66 30,301,25 0.00 145,912,72 0.00 0.00 0.00 0.00 9,031,25 0.00 145,912,72 1.2280,24 0.00 0.00 9,031,25 0.00 145,912,72 0.00 1.2280,24 0.00 0.00 0.00 0.00 0.00 0.00 1.2280,24 0.00 18,709,22 0.00 2,46,470 0.00 1,434,477,53 1.227,146,36 0.00 10,184,50 46,467,00	stitutional Research	2,276.36		00.00	00:00	00.00	00.00	00:00	00.0	2,276.36	69,750.64	(67,474,28)
0,000 0,000 (3,426,636,57) 0,000 14,142,65 48,529,31 0,000 44,431,52 45,833,94 0,000 384,701,65 29,967,73 0,000 7,558,39 90,000 0,00 145,472,67 1,025,417,35 0,000 7,558,39 90,013,12 0,00 155,917,27 1,025,417,35 0,00 65,125,05 30,301,25 0,00 195,826,17 0,00 0,00 0,00 65,125,05 30,301,25 0,00 197,826,17 1,2280,24 0,00 0,00 198,735,56 0,00 0,00 0,00 1,2280,24 0,00 18,709,22 92,135,96 0,00 282,530,00 1,287,406 0,00 18,709,22 92,135,96 0,00 282,530,00 1,287,149,96 0,00 18,709,22 92,135,96 0,00 4,358,90,13 1,287,149,96 0,00 16,744,47 16,444,75 0,00 4,358,80,70 1,287,149,96 0,00 10,00 222,53,90,20 1,426,447	MM	0.00		00'0	37,080.89	00'0	00'0	0000	00'0	37,080.89	24,835,28	12,245.61
190,490,24 0.00 44,431,52 45,833,94 0.00 364,701,65 48,529,31 0.00 0.00 0.00 0.00 0.00 1,025,4173 0.00 6,726,39 98,735,56 0.00 175,8172 1,025,4173 0.00 6,726,39 98,735,56 0.00 175,8172 1,025,4173 0.00 6,726,00 0.00 0.00 175,8172 1,0280,24 0.00 0.00 0.00 0.00 0.00 185,4129 0.00 18,709,22 92,135,99 0.00 262,530,00 1,2280,24 0.00 18,709,22 92,135,99 0.00 262,530,00 1,245,299,32 60,866,53 0.00 243,790,29 0.00 243,536,890,73 1,245,299,33 166,84,18 61,44,53 266,446,29 0.00 14,22,62 1,247,149,96 0.00 10,184,50 243,790,29 0.00 435,8380,73 1,247,149,96 0.00 10,184,50 243,790,29 0.00 435,8380,73 2,267,115,53 11,890,71 1,096,340,59 241,877,97 0.00 14,22,62 1,247,103,70 1,247,70 1,096,340,99 241,877,97 0.00 243,760,84 1,242,706,37 1,570,84 5,206,340,99 241,877,97 0.00 243,562,99 1,243,706,37 1,257,084 2,206,371,82 2,065,715,59 2,287,316,37 1,257,084 2,206,370,80 2,206,267 2,286,533,33 0.00 142,742,08 347,805,41 0.00 2,206,267 2,286,706,706 0.00 0.00 0.00 0.00 2,267,706,27 0.00 0.00 0.00 0.00 2,267,706,27 0.00 0.00 0.00 2,267,706,37 0.00 0.00 0.00 0.00 2,267,105,90 0.00 0.00 0.00 2,267,105,90 0.00 0.00 0.00 2,267,105,90 0.00 0.00 0.00 2,267,107,107,107,107,107,107,107,107,107,10	niversity Press	00'0		00'0	(3,426,638,57)	00'0	14,142,65	0000	00.0	(3,412,495,92)	(2,767,744,15)	(644,751.77)
48,529.31 0.00 0.00 60.00 0.00 1,029,867.74 0.00 7,536.39 93,013.12 0.00 125,917.27 1,022,417.35 0.00 66,125,41 0.00 198,735.56 0.00 190,752.51 1,022,417.35 0.00 0.00 0.00 0.00 0.00 10.00 1,220,24 0.00 0.00 0.00 0.00 0.00 1,220,24 0.00 0.00 0.00 0.00 20,500.00 1,220,24 0.00 18,709.25 0.00 26,500.00 0.00 1,24,1291 0.00 18,709.25 0.00 26,500.00 0.00 1,245,290.88 166,844.70 0.00 24,356.890.73 1,436,477.59 1,245,290.88 166,844.80 0.00 24,356.890.73 24,356.890.73 1,245,290.88 166,844.80 10,446.80 49,547.59 0.00 1,428,750.00 1,243,700.83 1,578,70 1,578,70 1,428,750.00 1,428,750.00 1,428,750.00	raduate Studies	190,490,24		44,431.52	45,833.94	00'0	364,701.65	00.00	00'0	645,457,35	917,955.90	(272,498.55)
29,867.74 0.00 7,636.39 93,013.12 0.00 125,827 1,025,417.35 0.00 65,125.66 30,301.25 0.00 197,826.17 0,000 0.00 0.00 9,000 0.00 0.00 1,2280.24 0.00 0.00 0.00 0.00 0.00 1,224.76 0.00 18,709.22 0.00 2,986.53 0.00 1,227,149.96 0.00 8,260.25 46,47.00 0.00 30,715.32 1,227,149.96 0.00 10,184.60 243,790.28 0.00 4,356,890.73 1,227,149.96 0.00 10,184.60 499,565,94 0.00 4,356,890.73 1,227,709.21 5,996.52 2,50,720.20 20,722.47 1,428,662.08 1,428,662.08 1,527,149.36 0.00 10,184.60 499,565,54 0.00 4,356,890.73 1,527,149.36 0.00 10,184.77 899,565,54 0.00 1,428,662.08 2,537,709.21 5,986,52 5,537,52 2,507,722.47 2,568,73.55	NpS Show	48,529.31	and a	00.0	800.00	00'0	00'0	00:00	00'0	49,329.31	127,985.70	(78,656.39)
1,025,417.35 0.00 65,125.05 30,301.25 0.00 197,826.17 1,025,417.35 0.00 0.00 0.00 2,986.53 0.00 0.00 1,2220,24 0.00 55,024.25 0.00 0.00 0.00 185,412.91 0.00 18,709.22 92,135.96 0.00 282,530.03 1,257,149.96 0.00 18,709.25 34,770.28 0.00 301,152.32 1,257,149.96 0.00 18,709.25 343,702.8 0.00 4,356,890.73 1,267,149.96 0.00 1,147,135 34,865.44 0.00 4,356,890.73 1,267,109.21 0.00 0.00 0.00 0.00 0.00 0.00 1,267,115.53 11,890.71 1,096,340.89 341,877.97 0.00 342,852.08 1,267,105.37 1,570.84 5,205,846.99 2,106,567.15 0.00 342,852.08 1,267,105.37 1,170.81 0.00 347,805.41 0.00 342,852.08 1,267,105.37 1,170.81 0.00 347,805.41 0.00 358,852.67 1,267,115.53 11,890.71 1,096,340.89 347,805.41 0.00 358,852.67 2,287,115.53 1,170.81 0.00 142,742.08 347,805.41 0.00 358,852.67 2,287,115.53 0.00 142,742.08 347,805.41 0.00 358,852.67 2,287,115.54 0.00 142,742.08 347,805.41 0.00 358,852.67 3,287,003.70 0.00 0.00 142,742.08 0.00 343,805.67 3,287,003.70 0.00 0.00 0.00 0.00 343,805.81 3,287,003.70 0.00 0.00 0.00 0.00 343,852.67 3,287,003.70 0.00 0.00 0.00 0.00 0.00 0.00 3,287,003.70 0.00 0.00 0.00 0.00 0.00 0.00 3,287,003.70 0.00 0.00 0.00 0.00 0.00 0.00 3,287,003.70 0.00 0.00 0.00 0.00 0.00 0.00 3,287,003.70 0.00 0.00 0.00 0.00 0.00 0.00 0.00 3,287,003.70 0.00 0.00 0.00 0.00 0.00 0.00 0.00 3,287,003.70 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 3,287,003.70 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 0.00 3,287,003.70 0.00	utin American Iberian Institute	29,967.74		7,636.39	93,013.12	00'0	126,917.27	00.00	0.00	257,534.52	263,310.16	(5,775,64)
0.00 0.00 98/735.56 0.00 0.00 1.2280.24 0.00 0.00 0.00 0.00 0.00 1.62.41.24 0.00 15,004.22 0.00 0.00 0.00 0.00 1.65.41.24 0.00 15,004.22 92,135.96 0.00 262,530.00 0.00 1.65.41.24 0.00 15,004.25 46,467.00 0.00 262,530.00 0.00 1.267.149.36 0.00 10,116.23 0.00 301,152.32 0.00 10,162.32 1.267.149.36 0.00 10,144.81 10,445.70 0.00 4,358.890.73 0.00 14,162.32 3.697.709.21 5,908.52 5,225.512.81 2,301.202.07 288.903.31 2,448.73.56 (54,444 4.65.36.43 1,608.540.39 5,225.512.81 2,007.202.07 14,28,622.66 (54,444 4.65.36.43 1,608.540.39 61,877.97 1,098,740.81 1,008,44,541 1,098,744 1,098,247.33 1,008,780.98 1,44,547.37 1,044,564.56 1,008,780.98 1,44,5	ovost Office Staff	1,025,417.35		65,125.05	30,301.25	00'0	197,826.17	00:00	00'0	1,318,669.82	2,178,458.72	(859,788.90)
0,000 0,000 0,000 0,000 2,998.53 0,000 1,2280,24 0,000 18,7034.25 0,000 26,998.53 0,000 1,85,412.91 0,000 18,7034.25 0,000 26,530.00 0,00 7,8,224,06 0,000 18,703.25 46,467.00 0,00 26,530.00 1,245,299.82 0,000 243,790.23 0,00 30,673.35 1,245,299.88 166,844.80 60,00 34,588.390.73 243,790.23 3,597,709.21 5,908.52 1,674.81 269,442.82 20,792.00 1,428,735.60 406,536.43 1,688.71 1,098.34 1,098.340.39 61,877.37 288,900.31 244,877.60 2,687,716.53 1,180.71 1,098.340.89 61,877.37 20,989.30 1,428,652.08 2,887,709.21 1,578.14 5,326,541.98 2,444,547.70 0,00 642,585.37 2,887,709.21 1,678.44 5,326,541.98 2,447,547.90 0,00 642,586.30 2,887,709.21 1,578.44 5,326,584.59 <t< td=""><td>oanish Colonial Research Center</td><td>00'0</td><td>000</td><td>00.00</td><td>98,735.56</td><td>00'0</td><td>00'0</td><td>00.00</td><td>00'0</td><td>98,735,56</td><td>140,785.58</td><td>(42,050.02)</td></t<>	oanish Colonial Research Center	00'0	000	00.00	98,735.56	00'0	00'0	00.00	00'0	98,735,56	140,785.58	(42,050.02)
12,280,24 0.00 58,034,25 0.00	VRS	0.00		00.0	00:00	2,998.53	00'0	00:00	00'0	2,998,53	116,404,38	(113,405,85)
185,412.91 0.00 18,709.22 92,135.96 0.00 262,530.03 78,224.06 0.00 8,560.25 46,467.00 0.00 301,152.32 1,267,149.96 0.00 10,184.60 499,586.24 0.00 4,558.890.73 1,267,149.96 0.00 10,184.60 499,586.24 0.00 4,558.890.73 1,267,149.9 0.00 10,184.60 280,792.40 1,049,477.59 4,65,284.81 61,147.93 866,486.28 20,792.40 1,049,477.59 4,66,558.43 0.00 60,486.88 699,402.79 0.00 14,286.62.86 2,687,115.53 11,980,71 1,096,344.99 61,1877.97 0.00 14,28,62.08 1,523,766.84 6,000,780.09 2,411,237.97 0.00 14,28,62.08 65,255.37 1,523,706.37 1,578.14 5,256.312.91 2,411,237 2,056.715.59 1,428,62.08 1,523,706.37 1,578.14 5,256.544.99 2,000.00 2,556.715.59 2,056.716.59 1,523,706.37 1,578.4 0.00	fice of the University Secretary	12,280.24		59,034.25	00.00	0000	0.00	00:00	00'0	71,314,49	(17,258.43)	88,572.92
78,224,06 0.00 8,260.25 46,467,00 0.00 301,152.32 1567,997,82 60,885.53 0.00 243,780.28 0.00 8,673.35 1,267,149,96 0.00 1,144.50 499,865.54 0.00 4,356,890.73 1,267,149,96 1,68,844.81 1,147.59 856,495.29 20,722.40 1,426,477.59 4,06,536.43 0,00 1,008,37.00 2,225,312.91 2,301,202.07 288,920.31 2,498,735.60 (54,444 4,06,536.43 0,00 60,486.88 699,402.79 0,00 1,426,562.08 (54,444 1,523,706.37 1,578.44 5,236,584.69 2,167,179 2,056,761.59 2,056,761.59 1,323,706.37 1,578.44 5,236,584.69 2,261,563.46 2,000 2,262,175.9 1,523,706.37 1,578.44 5,236,584.69 2,261,563.46 2,000 2,262,175.9 1,523,706.37 1,578.44 5,236,584.69 2,261,563.46 2,000 2,262,176.5 2,885,563.33 0,00 142,742.06 347,805.41 0.00 <td>iiversity College</td> <td>185,412,91</td> <td>98</td> <td>18,709.22</td> <td>92,135.96</td> <td>00:00</td> <td>262,530.03</td> <td>00'0</td> <td>00'0</td> <td>558,788,12</td> <td>705,401.37</td> <td>(146,613.25)</td>	iiversity College	185,412,91	98	18,709.22	92,135.96	00:00	262,530.03	00'0	00'0	558,788,12	705,401.37	(146,613.25)
1,000,000,000,000,000,000,000,000,000,0	chool of Public Administration	78,224.06		8,260.25	46,467.00	00'0	301,152.32	00:00	00'0	434,103.63	371,634,88	62,468.75
1,257,149,36	P for Equity & Inclusion	597,997.82		00.0	243,790.28	00'0	80,673,35	00'0	0.00	983,329,98	1,279,957.80	(296,627.82)
1,246,289,88 166,844,81 61,747,90 856,436,20 20,792,40 1,049,477.59 5,908.52 5,225,312.91 2,301,202.07 2,88,900.31 2,448,175.69 24,448,175.60 24,448,175.60 24,428,175.60 24,428,175.60 24,428,175.60 24,428,175.60 24,428,175.60 24,428,175.60 24,428,175.60 24,428,175.60 24,123,760.84 5,205,584.69 2,412,337.89 404,564,72 2,555,761.59 2,765,761.89 2,765,84 2,742,237.89	Division of Enrollment Mgmt	1,257,149.96		10,184.60	499,595.94	00.00	4,358,890.73	00:00	00.0	6,125,821.23	7,140,860.08	(1,015,038.85)
3,597,709.21 5,908.52 6,226,312.91 2,301,202.07 288,920.31 2,498,735.60 (64,444) 406,556.43 0.00 60,485.88 699,402.79 0.00 1,428,652.88 64,253.83 1,923,706.37 1,578.44 5,205,584.89 2,411,237.39 404,564.75 2,055,761.59 633,561.99 87,760.84 4,007,760.98 2,412,337.89 404,564.75 2,055,761.59 7,885.893.33 0.00 142,742.08 347,805.41 0.00 2,252,407.77	ollege of Fine Arts	1,245,289.88		61,747.93	856,436,28	20,792.40	1,049,477.59	00:0	00.00	3,401,588.89	4,008,143.52	(606,554.63)
406,536,43 0,00 60,486,88 699,402.79 0,00 1,428,6208 2,687,115,53 11,890,71 1,096,340,99 611,877,97 0,00 642,535,37 1,923,706,37 1,578,14 6,326,584,69 2,161,537,337,89 404,564,72 2,056,761,59 633,561,99 83,760,84 2,261,563,46 2,261,563,46 0,00 2,252,407,72 286,563,33 0,00 142,742,08 347,805,41 0,00 538,652,67	ollege of Arts Sciences	3,597,709.21		5,225,312.91	2,301,202.07	288,920.31	2,498,735.60	(54,446.96)	00'0	13,863,341.66	12,109,554.27	1,753,787.39
2,587,115,53 11,580,71 1,096,340,99 611,877,97 0.00 642,558,37 1,623,106,37 1,578,14 5,326,584,69 2,412,337,89 404,584,72 2,055,761,59 8,37,61,99 83,750,84 400,780,09 2,061,563,46 0.00 2,224,407,72 286,593,33 0,00 142,742,08 347,805,41 0.00 538,652,67	nderson Schools of Management	406,536.43		60,485.88	699,402.79	00'0	1,428,562.08	00'0	00'0	2,594,987.18	2,614,446,10	(19,458.92)
1,922,705.37 1,578.14 5,326,584.69 2,412,337.89 404,564,72 2,065,761.59 633,681.99 83,750.84 400,780.09 2,061,663.45 0.00 2,262,401.72 258,593,33 0.00 142,742.08 347,805.41 0.00 538,652.67	ollege of Education COE	2,587,115,53		1,096,340.99	611,877.97	00'0	642,535.37	00:00	00.00	4,949,760.57	4,587,485.08	362,275,49
633,581.99 83,750.84 400,780.09 2,061,563.45 0.00 2,252,401.72 258,593.33 0.00 142,742.08 347,805.41 0.00 538,632.67	thool of Engineering	1,923,705.37		5,326,584.69	2,412,337.89	404,564.72	2,055,761.59	00:00	00'0	12,124,532.40	11,357,239.34	767,293.06
256,563.33 0.00 142,742,08 347,805,41 0.00 538,652.67	chool of Law	633,581.99		400,780.09	2,061,563.45	00.0	2,252,401.72	00'0	00.00	5,432,078.09	3,971,643.97	1,460,434.12
	chool of Architecture Planning	258,593,33		142,742.08	347,805.41	0.00	538,652,67	0.00	00'0	1,287,793.49	1,117,966.49	169,827.00



Attachment II--Summary of Current Unrestricted Reserves by College/School/Division

					The second second	The second second					
College/School/Division	Instruction and General	Student Social and Cultural	Research	Public Service	Internal Services	Student Aid	Auxiliaries	Athletics	Total Current Unrestricted	Total Current Unrestricted	Net Change
University Libraries	436,443.92	00'0	123,862,46	193,420,58	000	448,112.04	00'0	00'0	1,201,839,00	1,352,334,36	(150,495.36
Continuing Education	(1,091,928,54)	0.00	52,886.09	85,663,69	00.00	50,000.00	3,506.02	00'0	2	(947,725.00)	47,852.26
Extended University	(105,462.45)	00:00	28,913.77	0.22	15,632.92	3,678.82	00.00	00'0	(57,236.72)	2,683,965.88	(2,741,202,60)
VP Research & Economic Development	11,515,65	70.76	2,922,251.45	613,855.48	102,057.84	77,087.94	00:00	00'0	3,726,865.43	354,446.64	3,372,418.79
Provost Monitoring	644,056.82	00'0	00'0	(411,309.34)	125,704.76	00.00	297,849.00	00'0	656,301.24	(595,994,09)	1,252,295.33
VP Student Affairs Administration	173,825,56	27,606.93	129,058,26	225,708.64	00.0	(17,059,16)	645,093.96	00'0	1,184,234.19	568,404,22	615,829.97
VP Student Affairs Independent Depts.	(4,081,23)	215,840.04	00'0	9,684.72	00'0	00:00	599,752.72	00'0	821,196.25	368,452.15	452,744.10
Associate VP Student Services	128,768.30	36,978.61	(37.67)	281,541,50	00.0	25,036.70	00:0	00.0	472,287.44	485,056.67	(12,769.23)
Associate VP Student Life	88,588.77	898,586.85	130,354.97	61,196.44	000	99,744.00	1,382,535.62	0.00	2,661,006.65	2,834,328.06	(173,321,41)
EVP of Administration Office	184,456.90	0.00	00.00	202,947.74	000	80,125.32	00:00	00.00	467,529,96	493,632.09	(26,102.13)
Board of Regents	19,750.81	00'0	00'0	00.00	00'0	00.00	00'0	00'0	19,750.81	45,521.35	(25,770.54)
Undesignated I&G Balance and Other Monitoring Accounts	23,396,046.12	0.00	0.00	(1,203,00)	2,114,222,45	0.00	00'0	0.00	25,509,065,57	8,330,800.20	17,178,265.37
Police Department	37,158.05	0.00	00.0	0.61	00:0	00.00	00.0	00.0	37,158.66	1.24	37,157.42
Risk Management	957,660.73	00:00	00.00	00.00	000	00.00	00:00	00'0	857,660.73	2,170,199.99	(1,212,539.26)
Budget Office	5,979.74	00:00	000	00.00	000	(696.00)	00:00	00'0	5,283.74	3,872.70	1,411.04
UNM Policy Office	1,045,82	00.00	00'0	00'0	00'0	00.00	00'0	00'0	1,045.82	14,977.59	(13,931.77)
Intercollegiate Athletics	(6,994,00)	00.00	00.00	1,282,586,13	00'0	213,727.06	65,472,25	(1,175,451,84)	379,339,60	802,955,85	(423,616,25)
Financial Services	64,218.91	00.00	(8,580.33)	(4,887,069,65)	112,684.54	00.00	00:00	00'0	(4,718,746,53)	(6,180,945,09)	1,462,198.56
Associate VP for Facilities Mgmt	(85.45)	00'0	00'0	00'0	0000	00'0	00:00	00'0	(85.46)	10,430.77	(10,516,23)
Human Resources	109,243,91	00'0	00:00	433.86	1,450,677,09	00'0	00'0	00'0	1,560,354.86	4,423,458,69	(2,863,103.83)
VP Institutional Support Services	4,067,795,59	00.00	5,118.66	741,728.92	2,015,788.89	193,281,26	(5,505,500.44)	00'0	1,518,212.88	(3,544,615,25)	5,062,828.13
Government & Community Relations	9,604.94	00:00	00.00	15,816,14	00.00	00:00	0.00	00'0	25,421.08	(3,205.52)	28,626.60
Information Technologies	2,712,33	222,297.07	00.00	52,597.50	752,459.62	837.54	0.00	00'0	1,030,904.06	2,269,253.30	(1,238,349.24)
Advancement	3,257.01	00'00	00.00	2,021,465.52	00:00	3,560.40	00'0	00'0	2,028,282,93	1,200,538,45	827,744.48
School of Medicine	00:00	00'00	(338,77)	00.00	166,494.00	(8,129,43)	00'0	00'0	158,025,80	487,612,43	(329,588.63)
College of Nursing	00'0	00'00	00'0	00.00	00'0	50,359.82	0.00	00.0	50,359.82	48,225.87	2,133,95
College of Pharmacy	00:00	00:00	00.00	00.0	00:00	24.46	00.00	00'0	24.46	4,698.43	(4,673,97)
Branches	00.0	0.00	(38.20)	00:00	0000	6,382.22	0.00	00'0	6,344.02	12,663.63	(6,319,51)
Subtotal For Main Campus Funds	44,222,581,30	1,736,179.60	16,255,205.71	8,158,485.91	7,578,482.97	17,700,062.13	(2,499,772,77)	(1,175,451.84)	91,975,773.01	71,066,204.63	20,909,568.38
Less Main Foundation Distribution	00:00	00:00	0.00	00.00	0.00	0.00	0.00	00.00	0.00	(10,637,858.54)	10,637,858.54
Total For Main Campus Funds	44,222,581.30	1,736,179.60	16,255,205.71	8,158,485.91	7,578,482.97	17,700,062.13	(2,499,772,77)	(1,175,451.84)	91,975,773.01	60,428,346.09	31,547,426.92
Gallup Branch	5,854,347.66	45,649.64	0000	200,685.78	91,187.44	197,944.85	771.35	00'0	6,390,586.72	4,271,063.49	2,119,523.23
Los Alamos Branch	532,713.74	32,105.13	00.0	72,767.27	(11.56)	120,492.88	109,887.66	00'0		711,891.62	156,063.50
Taos Branch	1,303,589.18	158,189.74	00.00	9,941.19	00'0	46,959,87	(20,033,95)	00'0	1,498,646.03	679,521.24	819,124.79
Valencia County Branch	1,522,214.28	44,749.19	00'0	252,256,91	116,359.91	95,037,11	455,855,75	00'0	2,486,473.15	3,035,275.88	(548,802,73)
Total Branch Funds	9,212,864.86	280,693.70	00'0	535,651.15	207,535.79	460,434.71	546,480.81	0.00	11,243,661.02	8,697,752,23	2,545,908.79
Grand Total Main and Branch Funds	52 435 446 16	2 046 873 30	16 255 205 71	8.694.137.06	7.786.018.76	18.160.496.84	(1.953.291.95)	(1,175,451,84)	103.219.434.03	66,070,631,71	34,093,335.71
	000000000000000000000000000000000000000	20010101010			2000						

Note: Reserves include 2010 audit entries and 2011 audit entries as of 09/08/11.



Attachment III--Summary of Main Campus I&G Reserves By VP Unit June 30, 2011

VP Unit	Reserve June 30, 2010	Reserve June 30, 2011	Annual Savings	Explanations
President	1,276,593	877,261	(399,331)	The net change is primarily due to the FY11 Admin Fund Balance Pullback
Provost	15,536,556	14,106,367	(1,430,188)	The net change is primarily due to the permanent budget reductions within Academic Affairs. Balances have been used to offset operational expenses.
Student Affairs	526,309	387,101	(139,208)	The net change is primarily due to reallocation of balances from Administrative Units to the Provost for strategic academic investment per Acting President Paul Roth.
Administration	2,848,063	5,452,548	2,604,485	*See Below
Institutional Advancement	167,627	3,257	(164,370)	The I&G Allocation to Institutional Advancement was significantly reduced from FY10 to FY11 and completely eliminated for FY12.
Total Designated	20,355,148	20,826,535	471,388	
Total Undesignated	5,317,416	23,396,046	18,078,631	See Attachment IV for more details.
Grand Total	25,672,563	44,222,581	18,550,018	

*Administration Department/Division	Reserve June 30, 2010	Reserve June 30, 2011	Change
EVP of Administration Office	74,751	184,457	109,705
Board of Regents	45,521	19,751	(25,771)
Police Department	1	37,158	37,157
Risk Management	59,410	957,661	898,251
Budget Office	2,569	5,980	3,411
UNM Policy Office	14,978	1,046	(13,932)
Intercollegiate Athletics	(7,889)	(6,994)	895
Financial Services	220,867	64,219	(156,648)
Associate VP for Facilities Mgmt	9,533	(85)	(9,619)
Human Resources	258,188	109,244	(148,945)
VP Institutional Support Services	2,029,912	4,067,796	2,037,884
Government & Community Relations	(457)	9,605	10,061
Information Technologies	140,678	2,712	(137,966)
Totals	2,848,063	5,452,548	2,604,485



Attachment IV--Summary of Main Campus I&G Undesignated Reserve Summary June 30, 2011

Index 054006	
FY11 Banner Beginning Balance 7/1/10	4,843,086
FY11 Pooled I&G Revenue Sweep	474,329
Adjusted Beginning Balance	5,317,416
State 3.2% Rescission	5,694,000
Use of Balance Pullback	2,000,000
Legal Settlement	7,396
Close-out of Information Technology	366,234
Administrative Overhead Pullback	238,103
Correction of FY10 Sweep	(9,387)
Reversion of Unspent Senate Bill 611 Funds	(140,463)
FY 11 Activity	8,155,883
FY11 Banner Ending Balance 6/30/11	13,473,300
FY11 Pooled Revenue (1)	10,461,759
FY11 Contingency Allocations (2)	(539,012)
FY12 Pooled I&G Revenue Sweep	9,922,747
FY11 Adjusted Ending Balance	23,396,046
FY12 Commitments and Dedications	
Committed - Administrative Fund Balance Pull Back - FY12	(5,742,019)
Committed - Enrollment Growth - Unbudgeted Tuition	(3,500,000)
Dedicated - HED Required Reserve for I&G at 3% (3)	(8,709,090)
Dedicated - Contingency Allocations (4)	(547,302)
FY12 Commitments and Dedications	(18,498,411)
Net Discretionary Reserve	4,897,635



Attachment V-Main and Branch Campus Summary of Current Unrestricted Deficits \$100,000 or More By College/Division June 30, 2011

	Reserve	Reserve	Change	
College/Division	June 30, 2010	June 30, 2011	FY 2010-2011	Comments
				FV 11 Operating Deficit
				Book sales industry-wide continued to be depressed by the poor economy; about two-thirds of the 51 new titles the Press published in FY11 were late because of staffing problems the previous year, and recent State budget shortfalls reduced the adoption of new editions of three New Mexico history textbooks, which were budgeted to generate about \$400,000 in revenue during the fourth quarter of FY11.
				FY 12 and Deficit Reduction
UNM Press	(2.767,744)	(3,412,499)	(644,752)	The university approved a direct cash operating subsidy for the Press of \$250,000 beginning in FY12. In addition, the Press is now fully staffed and over the next three years plans to build up its sequenciations pole-file the and production capability to support publication of 72 new titles annually by FY14. The Press also aggressively increased prices of more than 480 titles or about half of its back list on July 1, 2011. The Press is also making more and more books available electronically, About 100 back list trade titles were made available through Amazon and Barnes & Noble during 10/FY12 and the goals is to have 500 or about half of the Press's back its available electronically by the end of the year. New titles are also being made available electronically as they are published. The Press recently signed a contract to replace its antiquated, home grown book database with a commercial nully operational by the end of FY12 and is expected to help make the Press's publishing processes more manageable and efficient. Finally, the Press's operating expenses are expected to remain reliatively flat over the next few years and that combined with the subsidy from the university, more manageable and efficient. Finally, the Press's coperating expenses are expected to remain reliatively flat over the next few years and that combined with the subsidy from the university, more manageable and efficient. Finally, the press's operating as mitopered becoming
Continuing Education	(947.725)	(899,873)	47.852	While improved in FY2011, Rapid Redesign to restructure CE operations will take place during FY12. Goal is to redesign CE to make it financially sustainable over the long term. Once sustainability is achieved through redesign, the goal would be for CE to pay off its deficit over a 5-10 year period.
VP Research and Economic Development	(599,588)	2,922,251	3,521,840	The office continues to make strides in reducing the overall deficit through cost containment measures and improved service to Principal Investigations.
Amletics-HED Exhibit 21	(629,424)	(1,175,452)	(546,028)	Increase in deficit due to actual revenues being lower than budgeted for football game tickets, women's basketball game tickets, and shorting to shorting to noncession sales due in part to the construction issues at the Pit that limited cooking. Current plan is to reduce deficit over 5 years. Although the Athletics fund ended the year in deflot, the entire Athletics organization continues to beak-even each year when all current unrestricted funds are considered.
Financial Services	(6,180,945)	(4,718,747)	1,462,198	Accumulated Tuftion Bad Debt Expense for Main and Branch Campuses. Bad debt expense is now a budgeted line item and it is anticipated that the accumulated bad debt will be paid down in 5 years. beginning in FY11.
VP Institutional Support Services	(3,544,615)	1,518,213	5,062,828	See detailed breakdown on next page.
Gallup-Auxitaries	(235,854)	177	236,625	The Gallup Bookstore is in a state of reorganization with its new manager. The prior year's deficit was covered by I&G reserves. As profits are realized, the debt from prior years will be matched against the amount covered. Gallup Bookstore is working with UNM Main Campus and Valencia Bookstores to help maximize the investment in the bookstore operation.
Taos - Public Service	(109,258)	9,941	119,199	Toos child care center recently had a change in management. The new leadership was able to maximize enrollment and enforced stricter collections practices. While the center was able to control expenditure levels, the deficit was largely covered by a \$10,000 transfer from I&G reserves.



Attachment V-Main and Branch Campus Summary of Current Unrestricted Deficits \$100,000 or More By College/Division June 30, 2011

June 30, 2011				
	Reserve	Reserve	Change	
VP Institutional Support Services:	June 30, 2010	June 30, 2011	FY 2010-2011	Comments
KNME	(234,133)	376,835	610,968	The KNME operating deficit was caused by deferral of Sprint long-term lease revenues in FYO7, with revenues being recorded annually. Additionally, operating expenses have continued to be monitored carefully and kept to the minimum necessary to meet KNME's goals. In FY11, payments were received from aligne bequest and are being held as match funds for grants and seed money for a capital campaign.
Golf Course	(4,388,547)	(4,859,783)	(471,236)	The UNIM Golf Courses has accumulated this deficit form operations over 19 years. There are many contributing factors that make up the deficit at the Golf Courses, rounds of golf have dropped approximately 25% over the lasts everal years, maintenance costs have stabilized, but continue to be a high fixed cost, as well as the expenses to support our Division 1 athletic programs. A plan has (471,236) been submitted to achieve a break-even and balanced budget for FY12.
UNM Public Events	(2.651,360)	(2,114,396)	536,954	In conjunction with the UNM Foundation, Public Events/Popejcy Hall has a growing, active fundraising program, running their operations as a vibrant non profit performing arts venue. In year nine of this development program established by director Tom Trach, they had a net gain of \$45,645 of donor contributions to be used as a UNM Foundation based reserve against future operations shortfalls. The remaining \$75,409 gain in reserve change came from a year in which WICKED! was a major component of ticket sales growth and profitability giving an operating surplus this year.
Capital Projects Office	1,383	(189,903)	(191,285)	This deficit was a result of some fees associated with OCP as General Contractor that needed verification and approval from appropriate channels. These fees were held back in FY11 to get these approvals. The approvals have occurred and the fees will be returned to the Office of Capital (191,286) Projects eliminating this deficit in FY12.
Printing Plant	(1,133,581)	(1,133,581)	0	Plant closed in 2001. Accumulated deficit over many years. No funding to clear deficit has been identified.
Other Units	4,862,995	9,439,041	4,576,046	
	12 544 6461	1 518 213	5 061 446	



Attachment VI--Summary of I&G Reserves as a Percentage of Budget by College/School/Division

		THE RESIDENCE OF THE PARTY OF T	THE SECTION OF THE PARTY.
College/School/Division	Instruction and General Budget	Instruction and General Reserve	Reserve as a % of Budget
President's Office	1,343,552.72	113,792.14	8.47%
University Counsel Office	1,124,867.28	329,973.55	29.33%
University Communication & Marketing	1,272,328.00	171,726.19	13.50%
Alumni Relations	703,519.00	52,172.14	7.42%
Internal Audit Department	684,371.00	81,890.87	11.97%
UNM West	894,817.00	127,706.20	14.27%
President's Administration	6,023,455.00	877,261.09	14.56%
International Programs Studies	1,132,059.00	71,325.11	6.30%
Harwood Foundation	433,949.00	15,530.09	3.58%
Optical Science and Engineering	24,883.00	493.26	1.98%
Office of Support for Effective Teaching	285,514.00	17,006.50	5.96%
Nano Science & Micro Systems	42,142.00	20,162.18	47.84%
Undergraduate Education Support	5,257.00	5,947.14	113.13%
Institutional Research	376,130.00	2,276,36	0.61%
Graduate Studies	1,831,110.00	190,490.24	10.40%
CAPS	1,013,758.00	48,529.31	4.79%
Latin American Iberian Institute	915,581.00	29,967.74	3.27%
Provost Office Staff	3,149,419.00	1,025,417.35	32.56%
Office of the University Secretary	453,938.00	12,280.24	2.71%
University College	3,528,821.00	185,412.91	5.25%
School of Public Administration		7 17 17 17 17 17 17 17 17 17 17 17 17 17	THE PERSON NAMED IN COLUMN NAM
WAS TRANSPORTED TO THE THE PARTY OF THE PART	1,197,354.00	78,224.06	6.53%
VP for Equity & Inclusion	2,210,182.00	597,997.82	27.06%
VP Division of Enrollment Mgmt College of Fine Arts	6,997,624.00	1,257,149.96	17.97%
	12,575,084.00	1,246,289.88	9.91%
College of Arts Sciences	58,861,010.00	3,597,709.21	6.11%
Anderson Schools of Management	10,921,244.00	406,536.43	3.72%
College of Education	15,795,088.00	2,587,115.53	16.38%
School of Engineering	15,261,436.00	1,923,705.37	12.61%
School of Law	8,596,428.00	633,581.99	7.37%
School of Architecture Planning	3,879,157.00	258,593.33	6.67%
University Libraries	13,354,494.00	436,443.92	3.27%
Continuing Education	5,194,044.00	(1,091,928.54)	-21.02%
Extended University	6,501,975.00	(105,462.45)	-1.62%
VP Research & Economic Development	90,993.00	11,515.65	12.66%
Provost Monitoring	-	644,056.82	N/A
Provost Units	174,628,674.00	14,106,367.41	8.08%
VP Student Affairs Administration	1,326,587.00	173,825.56	13.10%
VP Student Affairs Independent Depts.	47,700.00	(4,081.23)	-8.56%
Associate VP Student Services	2,009,773.00	128,768.30	6.41%
Associate VP Student Life	1,098,009.00	88,588.77	8.07%
Student Affairs	4,482,069.00	387,101.40	8.64%
Underlanded ISC Balance and Off			
Undesignated I&G Balance and Other Monitoring Accounts	47,961,364.00	23,396,046.12	48.78%
EVP of Administration Office	474,732.13	184,456.90	38.85%
Board of Regents	136,919.00	19,750.81	14.43%
Police Department	2,595,300.00	37,158.05	1.43%
Risk Management	5,340,596.00	957,660,73	- Telephone - Telephone



Attachment VI--Summary of I&G Reserves as a Percentage of Budget by College/School/Division

College/School/Division	Instruction and General Budget	Instruction and General Reserve	Reserve as a % of Budget
Budget Office	767,015.00	5,979.74	0.78%
UNM Policy Office	159,862.00	1,045.82	0.65%
Intercollegiate Athletics	786,935.00	(6,994.00)	-0.89%
Financial Services	8,942,582.00	64,218.91	0.72%
Associate VP for Facilities Mgmt		(85.46)	N/A
Human Resources	2,142,830.00	109,243.91	5.10%
VP Institutional Support Services	28,730,803.00	4,067,795.59	14.16%
Government & Community Relations	157,488.00	9,604.94	6.10%
Information Technologies	595,608.00	2,712.33	0.46%
EVP Administration	50,830,670.13	5,452,548.27	10.73%
Advancement	1,308,040.00	3,257.01	0.25%
Subtotal For Main Campus Funds	285,234,272.13	44,222,581.30	15.50%
Less Main Foundation Distribution	-	0.00	N/A
Total For Main Campus Funds	285,234,272.13	44,222,581.30	15.50%
Gallup Branch	14,133,534.00	5,854,347.66	41.42%
Los Alamos Branch	3,397,861.00	532,713.74	15.68%
Taos Branch	5,904,431.00	1,303,589.18	22.08%
Valencia County Branch	8,247,295.00	1,522,214.28	18.46%
Total Branch Funds	31,683,121.00	9,212,864.86	29.08%
Grand Total Main and Branch Funds	316,917,393.13	53,435,446.16	16.86%

Note: Reserves include 2010 audit entries and 2011 audit entries as of 9/08/11.



Attachment VII--Summary of Current Unrestricted Reserves as a Percentage of Budget by College/School/Division

College/School/Division	Current Unrestricted Budget	Current Unrestricted Reserve	Reserve as a % of Budget
President's Office	1,679,269.72	303,924.55	18.10%
University Counsel Office	1,537,342.28	504,244.81	32.80%
University Communication & Marketing	1,326,062.00	171,387.82	12.92%
Alumni Relations	1,166,839.00	226,962.94	19.45%
Internal Audit Department	684,371.00	81,890.87	11.97%
UNM West	894,817.00	127,706.20	14.27%
President's Administration	7,288,701.00	1,416,117.19	19.43%
RWJ Center for Health Policy	207,891.00	205,183.16	98.70%
International Programs Studies	1,897,212.00	116,106.65	6.12%
Harwood Foundation	1,412,333.00	550,744.78	39.00%
Optical Science and Engineering	24,883.00	493.26	1.98%
Office of Support for Effective Teaching	285,514.00	31,438.95	11.01%
Nano Science & Micro Systems	42,142.00	20,162.18	47.84%
Undergraduate Education Support	5,257.00	5,947.14	113.13%
Institutional Research	376,130.00	2,276.36	0.61%
KUNM	1,449,595.00	37,080.89	2.56%
University Press	3,863,449.00	(3,412,495.92)	-88.33%
Graduate Studies	3,831,963.00	645,457.35	16.84%
CAPS	1,013,758.00	49,329.31	4.87%
Latin American Iberian Institute	1,152,619.00	257,534.52	22.34%
Provost Office Staff	8,817,904.00	1,318,669.82	14.95%
Spanish Colonial Research Center	254,092.00	98,735.56	38.86%
CARS	508,430.00	2,998.53	0.59%
Office of the University Secretary	500,662.00	71,314.49	14.24%
University College	3,739,753.00	558,788.12	14.94%
School of Public Administration	1,378,823.00	434,103.63	31.48%
VP for Equity & Inclusion	2,582,948.00	983,329.98	38.07%
VP Division of Enrollment Mgmt	49,094,340.00	6,125,821.23	12.48%
College of Fine Arts	15,396,715.53	3,401,588.89	22.09%
College of Arts Sciences	69,864,294.90	13,863,341.66	19.84%
Anderson Schools of Management	12,690,346.00	2,594,987.18	20.45%
College of Education COE	18,390,785.00	4,949,760.57	26,91%
School of Engineering	20,187,650.00	12,124,532.40	60.06%
School of Law	13,359,832.00	5,432,078.09	40.66%
School of Architecture Planning	5,266,331.00	1,287,793.49	24.45%
University Libraries	15,568,564.00	1,201,839.00	7.72%
Continuing Education	7,073,227.00	(899,872.74)	-12.72%
Extended University	6,514,345.00	(57,236.72)	-0.88%
VP Research & Economic Development	15,682,304.00	3,726,865.43	23.76%
Provost Monitoring	0.00	656,301.24	N/A
Provost Units	282,434,092.43	56,384,998.48	19.96%
VP Student Affairs Administration	1,799,929.00	1,184,234.19	65.79%
VP Student Affairs Independent Depts.	7,497,478.00	821,196.25	10.95%
Associate VP Student Services	4,171,784.00	472,287.44	11.32%
Associate VP Student Life	28,408,390.00	2,661,006.65	9.37%
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Attachment VII--Summary of Current Unrestricted Reserves as a Percentage of Budget by College/School/Division

College/School/Division	Current Unrestricted Budget	Current Unrestricted Reserve	Reserve as a % of Budget
Undesignated I&G Balance and Other Monitoring Accounts	55,881,642.00	25,509,065.57	45.65%
EVP of Administration Office	(970,135.87)	467,529.96	-48.19%
Board of Regents	136,919.00	19,750.81	14.43%
Police Department	2,595,300.00	37,158.66	1.43%
Risk Management	5,340,596.00	957,660.73	17.93%
Budget Office	767,015.00	5,283.74	0.69%
UNM Policy Office	159,862.00	1,045.82	0.65%
Intercollegiate Athletics	30,579,475.00	379,339.60	1.24%
Financial Services	14,871,979.20	(4,718,746.53)	-31.73%
Associate VP for Facilities Mgmt	0.00	(85.46)	N/A
Human Resources	5,773,802.00	1,560,354.86	27.02%
VP Institutional Support Services	103,200,247.00	1,518,212.88	1.47%
Government & Community Relations	474,388.00	25,421.08	5.36%
Information Technologies	27,421,262.00	1,030,904.06	3.76%
EVP Administration	190,350,709.33	1,283,830.21	0.67%
Advancement	2,295,667.00	2,028,282.93	88.35%
Other HSC and Branches	1,061,670.00	214,754.10	20.23%
Subtotal For Main Campus Funds	581,190,062.76	91,975,773.01	15.83%
Less Main Foundation Distribution	0.00		N/A
Total For Main Campus Funds	581,190,062.76	91,975,773.01	15.83%
Gallup Branch	15,594,225.00	6,390,586.72	40.98%
Los Alamos Branch	3,920,056.00	867,955.12	22.14%
Taos Branch	6,745,938.00	1,498,646.03	22.22%
Valencia County Branch	10,205,932.00	2,486,473.15	24.36%
Total Branch Funds	36,466,151.00	11,243,661.02	30.83%
Grand Total Main and Branch Funds	617,656,213.76	103,219,434.03	16.71%

Note: Reserves include 2010 audit entries and 2011 audit entries as of 9/08/11.

Internal Service charges that offset expenditures is not reflected in budgeted expenditure totals.



Attachment VIII-Summary of Categorization of I&G and Non I&G Reserves by College/School/Division

UBPP 7000 Policy-Main and Branch Campuses-FYE 2011

College/School/Division President's Office University Counsel Office University Connunciation & Marketing Adumni Relations Internal Audit Department UNM West RWU Center for Health Policy International Programs Studies International Programs Studies International Programs Studies Mano Science & Micro Systems Universiduate Education Support Internative Research KUNM KUNM University Press		Instruction and Genera	d General	THE REAL PROPERTY.		Non-Instruction and Genera	and General	
College/School/Division President's Office University Counsel Office University Counsel Office University Communication & Marketing Alumin Relations Alumin Relations Infernal Audit Department UNM West RWJ Center for Health Policy Infernational Programs Studies Infernational Programs Studies Office of Support for Effective Teaching Nano Science & Micro Systems University Press University Press								
President's Office University Counsel Office University Counsel Office University Counsel Office Alumin Relations Alumin Relations Inferent Relations Inferent Audit Department UNM West RWU Certler for Health Policy Inferentional Programs Studies Inferentional Programs Studies Inferentional Programs Studies Office of Support for Effective Teaching Nano Science & Micro Systems Universiduate Education Support Inferentiation Research KUNM University Press	Reserve	Committed	Dedicated	Discretionary	Reserve	Committed	Dedicated	Discretionary
University Counsel Office University Communication & Marketing Aumin Relations Internal Audit Department UNM West RWJ Center for Health Policy International Programs Studies International Programs Studies Office of Eugents Engineering Office of Support for Effective Teaching Nano Science & Micro Systems University Press University Press University Press	113,792.14	0000	00'0	113,792,14	190,132.41	3,646.35	157,779.29	28,706.77
University Communication & Marketing Alumni Relations Infernal Audit Department UNM West UNM West Infernational Programs Studies Harwood Foundation Optical Science and Engineering Office of Support for Effective Teaching Nano Science & Micro Systems Undergraduate Education Support Institutional Research KUNM University Press	329,973.55	38,508.15	291,000.00	465.40	174,271.26	4,443.25	106,367.50	63,460.51
Alumni Relations Infernal Audit Department UNM West UNM West Infernal Fording	171,726.19	00'0	114,424.00	57,302.19	(338,37)	00'0	(338.37)	0.00
Internal Audit Department UNM West RWJ Center for Heath Policy International Programs Studies Harwood Foundation Optical Science and Engineering Office of Support for Effective Teaching Nano Science & Micro Systems Undergraduate Education Support Institutional Research KUNM University Press	52,172.14	00.00	52,172.14	0.00	174,790.80	00:00	174,790.80	0.00
UNM West RWJ. Center for Health Policy International Programs Studies International Programs Studies International Programs Studies Optical Science and Engineering Optical Science and Engineering Nano Science & Micro Systems Undergraduate Education Support Institutional Research KUNM University Press	81,890.87	00'0	45,000,00	36,890.87	00'0	00:00	00'0	0.00
RWJ Center for Health Policy Infernational Programs Studies Infernational Programs Studies Grand Foundation of Programs Studies Optical Science and Engineering Office of Support for Effective Teaching Nano Science & Micro Systems University Press Micro Mana Science & Micro Support Institutional Research KULNM CALLAN CONTRACT OF THE PROGRAM OF THE PR	127,706.20	18,017.02	109,689.18	0000	00'0	00:00	00'0	00.00
International Programs Studies Harwood Foundation Optical Science and Engineering Office of Support for Effective Teaching Nano Science & Micro Systems Undergraduate Education Support Institutional Research KLINM Contains Childs	00'0	0.00	00:00	00.0	205,183,16	00:00	205,183.16	0.00
Harwood Foundation Optical Science and Engineering Office of Support for Effective Teaching Nano Science & Micro Systems Undergraduate Education Support Institutional Research KLNM University Press	71,325.11	0.00	53,000.00	18,325.11	44,781.54	3,747.27	11,812.18	29,222.09
Optical Science and Engineering Office of Support for Effective Teaching Nano Science & Micro Systems Undergradual Education Support Institutional Research KUNM University Press	15,530.09	7,903.52	7,626.57	00'0	535,214.69	1,999.96	533,214,73	00.00
Office of Support for Effective Teaching Nano Science & Micro Systems Undergraduate Education Support Institutional Research KUINM University Press	493.26	00'0	493.26	00.00	00:0	00.00	00:00	00.00
Nano Science & Micro Systems Undergraduate Education Support Institutional Research KUNM University Press	17,006.50	0.00	16,899.99	106.51	14,432.45	2,000.00	11,342.44	1,090,01
Undergraduate Education Support Institutional Research KUNM University Press	20,162.18	0.00	20,162.18	00.00	00:00	00.00	00:00	00'0
Institutional Research KUNM University Press	5,947.14	0.00	00:00	5,947.14	00'0	00'0	00'0	00.00
KLINIM University Press	2,276.36	594.03	1,682.33	000	00:0	00'0	00'0	0.00
University Press	00.00	0000	00:00	00'0	37,080.89	00.00	00'0	37,080,89
Description Obselves	00.00	0.00	00:00	0.00	(3,412,495.92)	0.00	23,692.25	(3,436,188.17)
Ciaduale Studies	190,490.24	40,359.00	147,905.80	2,225,44	454,967.11	212,768.37	145,501,88	96,696,86
CAPS	48,529.31	19,801.80	28,727.51	00'0	800.00	00'0	800.00	0.00
Latin American Iberian Institute	29,967.74	15,038.73	14,929.01	00.00	227,566.78	54,874.80	162,585.54	10,106,44
Provost Office Staff	1,025,417.35	315,381.99	481,325.86	228,709.50	293,252.47	29,575.00	190,647.05	73,030.42
Spanish Colonial Res Center	00:0	0000	00'0	0.00	98,735.56	00'0	98,735.56	0.00
CARS	00:0	00.00	00:00	0000	2,998.53	2,998.53	00:0	0.00
Office of the University Secretary	12,280.24	4,293.03	21,955.06	(13,967.85)	59,034.25	00'0	59,034.25	0.00
University College	185,412.91	4,557.95	113,470.24	67,384,72	373,375,21	00'0	208,133.77	165,241,44
School of Public Administration	78,224.06	00.00	78,224.06	00:00	355,879,57	00:00	355,879,22	0.35
VP for Equity & Inclusion	597,997.82	60,581.99	397,766.75	139,649.08	385,332.16	196,894.79	10.707.01	92,730.36
VP Division of Enrollment Mgmt	1,257,149.96	502,286.84	754,863.12	00:00	4,868,671.27	558,025.87	4,290,460.80	20,184.60
College of Fine Arts	1,246,289.88	151,602.75	1,068,968.27	25,718.86	2,155,299.01	411,349.10	1,734,502.66	9,447.25
College of Arts Sciences	3,597,709.21	430,262.35	3,055,812.10	111,634.76	10,265,632.45	3,203,124.90	5,134,611.34	1,927,896.21
Anderson Schools of Management	406,536.43	167,722,29	221,148.87	17,865,27	2,188,450.75	663,652.10	1,520,765.38	4,033.27
College of Education COE	2,587,115.53	224,036.93	2,125,265.78	237,812.82	2,362,645,04	309,203.92	1,897,420.76	156,020.36
School of Engineering	1,923,705.37	1,109,659.08	692,524,37	121,521.92	10,200,827.03	483,274.45	6,181,422.56	3,536,130.02
School of Law	633,581.99	30,448.42	603,133.57	00.00	4,798,496.10	985,803.14	3,395,209.74	417,483.22
School of Architecture Planning	258,593.33	12,09	152,572,76	106,008,48	1,029,200.16	00'0	407,789.50	621,410,66
University Libraries	436,443.92	209,026.37	227,417,55	00:00	765,395.08	143,444.17	621,950.87	0.04
Continuing Education	(1,091,928.54)	30,000.00	(48,453,65)	(1,073,474.89)	192,055.80	72,340.54	119,715,26	0.00



Attachment VIII-Summary of Categorization of I&G and Non I&G Reserves by College/School/Division

	Section 19	Instruction and General	d General			Non-instruction and General	and General	
College/School/Division	Reserve	Committed	Dedicated	Discretionary	Reserve	Committed	Dedicated	Discretionary
Extended University	(105,462.45)	158,163.33	(263,625.78)	00'0	48,225.73	00:00	48,225,51	0.22
VP Research & Economic Development	11,515.65	00:00	8,017.88	3,497.77	3,715,349,78	1,192,957.42	1,657,854.28	864,538.08
Provost Monitoring	644,056.82	00.00	120,691.00	523,365.82	12,244,42	00:00	(150,000.00)	162,244.42
VP Student Affairs Administration	173,825.56	20,025.77	99,958.74	53,841.05	1,010,408.63	10,906.72	181,059.80	818,442.11
VP Student Affairs Independent Depts.	(4,081.23)	00:00	(4,081.23)	00:00	825,277.48	242,033.08	603,752.72	(20,508.32)
Associate VP Student Services	128,768.30	60,559.74	51,869,84	16,338.72	343,519.14	108,595,37	179,915,14	55,008,63
Associate VP Student Life	88,588.77	38,945.00	12,657.28	36,986,49	2,572,417.88	200,777.97	1,691,703.55	679,936.36
EVP of Administration Office	184,456,90	00'0	15,190.17	169,266.73	283,073.06	71,088.07	211,984,99	00'0
Board of Regents	19,750.81	00:00	12,000.00	7,750.81	00'0	00:00	00:00	00'0
Undesignated I&G Balance and Other Monitoring Accounts	23,396,046.12	9,242,019.00	9,256,392.00	4,897,635.12	2,113,019,45	2,114,222.45	(1,203.00)	0.00
Police Department	37,158.05	700.98	36,457.07	00:00	0.61	00:00	00.0	0.61
Risk Management	957,660.73	0.00	857,660,73	00:00	00.00	00:00	00'0	00'0
Budget Office	5,979.74	00'0	5,979.74	00:00	(696.00)	00:00	(764.00)	68.00
UNM Policy Office	1,045.82	903.78	00.00	142.04	00.0	00'0	00'0	00'0
Intercollegiate Athletics	(6,994.00)	00:00	(6,994.00)	00:00	386,333.60	341,822.06	(73,840.33)	118,351.87
Financial Services	64,218.91	43,561.21	20,657,70	00'0	(4,782,965.44)	.520,170.50	(5,303,135,94)	00:00
Associate VP for Facilities Mgmt	(85.45)	0.00	(85.45)	00:00	00'0	0000	00'0	00:00
Human Resources	109,243.91	13,719.60	94,161.01	4,363.30	1,451,110.95	1,425,110.55	26,000.40	00'0
VP Institutional Support Services	4,067,795.59	93,602.48	3,947,848.96	26,344.15	(2,549,582.71)	4,295,756.99	2,742,734.08	(9,588,073,78)
Government & Community Relations	9,604.94	00.00	00'0	9,604.94	15,816,14	7,821.64	7,994.50	00'0
Information Technologies	2,712.33	15,000.00	(12,287.67)	00:00	1,028,191.73	67,269.22	854,149.56	106,772,95
Advancement	3,257.01	3,257.00	10.01	00'0	2,025,025,92	0000	2,025,025.92	00'0
School of Medicine	00:00	00:00	00'0	00:00	158,025,80	978.37	157,047.43	00'0
College of Nursing	00'0	00'0	00'0	00:00	50,359.82	50,359.82	00'0	00.00
College of Pharmacy	00'0	00.00	00'0	00'0	24.46	24.63	(0.17)	00'0
Branches	00'0	0.00	00'0	00:00	6,344.02	0.00	(78.88)	6,422.90
Subtotal For Main Campus Funds	44,222,581.30	13,070,552.22	25,199,174.67	5,952,854.41	47,753,191.71	17,993,061,37	32,703,142.69	(2,943,012,35)
Less Main Foundation Distribution	00:00	0.00	00:00	00:00	0.00	0.00	0.00	0.00
Total For Main Campus Funds	44,222,581.30	13,070,552.22	25,199,174.67	5,952,854.41	47,753,191.71	17,993,061.37	32,703,142.69	(2,943,012.35)
Gallup Branch	5,854,347.66	393,643,74	448,610.34	5,012,093.58	536,239.06	6,879.88	(414,606.54)	943,965.72
Los Alamos Branch	532,713.74	532,713.74	00'0	00'0	335,241,38	149,354.69	161,238,46	24,648,23
Taos Branch	1,303,589.18	00:00	00'0	1,303,589.18	195,056.85	1,110.20	(66,687,39)	260,634.04
Valencia County Branch	1,522,214,28	757,854,39	200,000,000	264,359,89	964,258.87	9,268.86	385,344.03	569,645,98
Total Branch Funds	9,212,864,86	1,684,211.87	948,610,34	6,580,042.65	2,030,796.16	166,613.63	65,288.56	1,798,893.97

Note: Reserves include 2010 audit entries and 2011 audit entries as of 9/08/11.



OFFICE OF THE CHANCELLOR FOR HEALTH SCIENCES

TO:

David Harris

Executive Vice President for Administration, COO & CFO

FROM

Paul B. Roth, M.D., M.S., FACEP

Executive Vice President for Health Sciences

DATE:

November 2, 2011

SUBJECT:

Submission of HSC Report - UBPP 7000

Enclosed are the reports as required by University Business Policy & Procedure 7000 (Budgets and Reserves). The reports show HSC unrestricted financial activity for fiscal year ending June 30, 2011. The HSC unrestricted Instruction & General (I&G) expense budget is approximately 23% of the total HSC unrestricted operating expense budget. The first attachment shows activity by HSC unit (academic and administration) for I&G funds while the second attachment summarizes activity by HSC unit for all funds.

HSC Instruction & General reserves of \$3,598,694 are 3.71% of expense budget which is within the State of New Mexico's recommended reserve range of 3% to 5%. The overall Instruction & General decrease in reserves for FY 2011 is \$424,107. The net use of reserves is primarily attributed to the impact of the College of Nursing transfers to plant for classroom renovations.

Combined HSC unrestricted reserves total \$47,217,713 which represents 11.90% of expense budget and are in the areas of Instruction & General, Endowments, Research and Clinical Operations. There is a \$9,180,928 decrease in reserves for FY 2011 for all HSC funds. This decrease is mainly due to the School of Medicine planned use of reserves for one time expenditures including the Tri Services remodel \$4.0M, two endowed chairs \$3.0M, and equipment and software upgrades \$1.5M as well as the College of Nursing renovations noted above.

The \$47,217,713 reserves on attachment #3 have been categorized by the units within the Health Sciences Center as committed, dedicated, or discretionary. In the category of committed are purchase order encumbrances, which total \$505,439. The remaining committed reserves are \$19,511,746 and consist largely of Chair Packages, Capital Projects, Faculty Start-up Packages, and Cost Share.

There are \$15,036,471 of funds which have been dedicated by units within the HSC. The remaining reserves total is \$12,164,057 – this is the discretionary reserves that reside within the units of the HSC. Taking into consideration that the Health Sciences Center operates as a business enterprise, this discretionary reserves total will cover only 34 days of projected physician salaries including fringe. When applied to all HSC operating expenses, the \$12,164,057 covers 10 days of expenses.

If you would like more information, please contact Ava at Alovell@unm.edu

cc

Ava Lovell, HSC Senior Executive Financial Officer & University Controller Bob Fondino, Chief Budget & Finance Officer, HSC Vanessa Hawker, Associate Budget Director, HSC

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UNM Health Sciences Center Instruction and General

Final 10/27/2011

Instruction and General
Unrestricted Reserves Summary
Fiscal Year Ended June 30, 2011 (Unaudited)
Does not include Main Campus Funds Under HSC Organization Codes, UNM Hospitals, or UNM Medical Group

		Revised	Beginning				Ending		Change in			Net Reserves
		Budget	Reserves	Revenue	Transfers	Expense	Reserves	% of Budget	Reserves	% of Budget	Commitments	Less: Commitments
AFA	VP HSC Administration	35,778,819.00	364,526.44	29,695,166.68	1,805,439.26	31,480,887.57	384,244.81	1.07%	19,718.37	0.06%	4,935.57	379,309.24
AFB	HS Library and Informatics Center	5,763,120.00	782,443.37	5,464,644.79	(306,868.78)	5,114,753.09	825,466.29	14.32%	43,022.92	0.75%	124,036.89	701,429.40
AFC	School of Medicine	41,628,100.00	276,832.41	52,259,493.54	(10,811,472.21)	41,395,324.40	329,529.34	0.79%	52,696.93	0.13%	121,834.07	207,695.27
AFD	College of Nursing	6,418,492.00	664,674.41	6,970,733.42	(1,393,958.49)	6,006,412.60	235,036.74	3.66%	(429,637.67)	-6.69%	207,818.98	27,217.76
AFE	College of Pharmacy	7,461,692.00	1,790,168.69	7,475,591.56	(1,735,380.75)	5,705,962.34	1,824,417.16	24.45%	34,248.47	0.46%	401,175.84	1,423,241.32
AFI	HSC VP Research	0.00	144,156.26	77,339.24	(221,495.50)	0.00	0.00	%00.0	(144,156.26)	0.00%	0.00	0.00
	Total	97,050,223.00	4,022,801.58	101,942,969.23	(12,663,736.47)	89,703,340.00	3,598,694.34	3.71%	(424,107.24)	-0.44%	859,801.35	2,738,892.99

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UNM HEALTH SCIENCES CENTER
ENDING RESERVES BY ORG LEVEL 3 & FUND
FY 2011
(Unaudited)

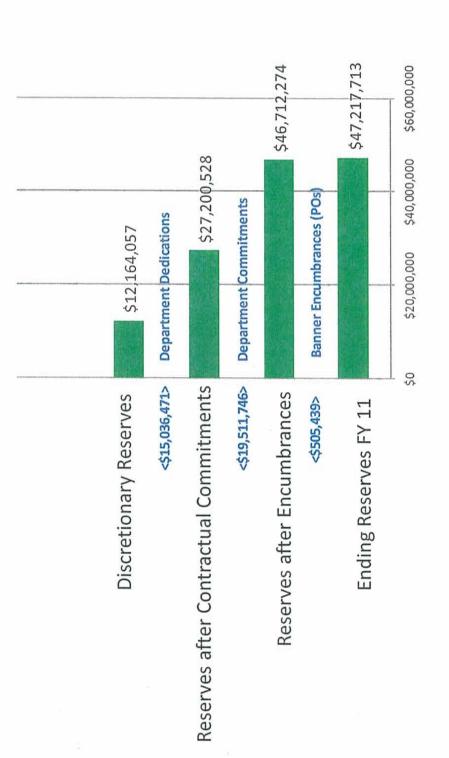
Final 10/27/2011

			AFA	AFB	AFC	AFD	AFE	AFI	BAA		Grand Total
Exhibit	Fund	Fund Description	VP HSC Admin	HSIIC	School of Medicine	College of Nursing	College of Pharmacy	HSC VP Research	UNM Medical Group	UNMH	
Instruction & Gerneral	300044	HU I and G		825,466.29	329,529.34	235,036,74	1,824,417.16				3,598,694.34
+	CCOOLIC	Intruction & General Tota	6 200 240 56	825,466.29	1 065 500 78	764 850 95	1,824,417.16	743 384 77	0.00	0.00	10.421.134.48
Research	2000015	HULIDA Fore	00.854.00	12,114,00	2 781 403 34	מבימרמיבת.	F0.0004670F44	- Prophories			3 380 867.39
	200002	HI E&A	14 614 01		4 929 586 77			420.594.51			5,364,795.29
	3110081	HU Endowed Spending Research	378,243,14		5,081,706.34	43,248.66		83,772.99			5,586,971.13
	300298	NM Tumor Registry (SEER) Billing			14,382.70						14,382.70
	300299	HU Research Residuals			960,030.82			264,858.60			1,224,889.42
	300312	HU CRTC State Appropriations	SE OF SETTE A	T0 717 83	15 252 580 30	13 000 808	1 437 735 64	1562 610 82	000	00:00	26,013,910.86
Purblic Sorvice	3110001	HII Non Endowed Spending Research		CONTRACTO	00'0	Toronto o	0.00	00'0			202,612.71
בתחוור אבו אורכ	300002	HU Non Endowed Spending Education	(94,306.33)	000	0.00	0000	000				(94,306.33)
	300006	HU Childrens Psychiatric Hosptial									0000
	300008	HU HSC Monitoring	557,659.41								557,659.41
	300011	HU UNMH AR & AP General	(37,342.56)		(294,422,11)						(331,764.67)
	300029	HU Clinical Residuals	400,609.88	156,120.64	9,249,266.71	224,590,09	98,770.53				10,129,357.85
	300030	HU Locum Tenens Billing			9,618.24				1,115,922.50		1,125,540./4
	300031	HU Specialty Ext Services			000000000000000000000000000000000000000				6/3,334.00		07307300
	300033	HU UPA Special Arrangements			2,640,678.30						3 338 710 76
	300034	HU UNMH SOM General			7,950,410,40						(1.858.755.11)
	300038	HU Neonatology Iransport billing			881 715 53						881,215,53
	300040	HI CME Conferences	6 720 84		(21.283.28)						(14,562.44)
	3110051	HI VA Contracts			123,520,24						123,520.24
	3110064	HI CRIC Patient Billing			(7,427,979,77)						(7,427,979.77)
	300068	HU VA Contracts e-Verify			17,149,43						17,149,43
	31,0080	HU SOM Student Fees			(06'69)						(69.90)
	300300	HU Education General	164,777.37	1,852.87	24,090,85	1,049,173.34	48,127.99				1,288,022.42
	300301	HU Non Endow Spending Clinical Svc	(585,494.20)	ě	0.00						(585,494.20)
	300302	HU Endowed Spending Clinical Svc	4,316.71		345,684.02						350,000.73
	300303	HU Clinical Service General	303,810.04		1,463,459.56		35,125.12				1,802,394.72
		Public Service Total	1 923,363.87	157,973.51	8,490,382,97	1,273,763.43	182,023.64	0.00	1,989,916.58	00'0	13,017,424.00
Internal Services	300014	HU Program Evaluation			1,570.15						1,570.15
	300016	HU Flow Cytometry			(5,935.82)						29.030.39
	300017	HU Kugr Genomics			55,050,53						000
	300020	HU Animal Resource Facility			/1 CC0 001						(1.668.09)
	300022	HU Florescent Microscopy			(cooper't)						0000
	300035	HU Internal Services Contingency	120 210 05								130,219.96
	300036	HU FIRTH Mannepance and Haming	DC'CT7'DCT		27.191.09						27,191.09
	300049	HU HRRC Industry Revenue									0000
	300055	HU DNA Services			(10,399,09)						(10,399,09)
	300057	HU EBL Services			4,447.26						4,447.2b
	300058	HU BRAIN Center									71 659 14
	300029	HU Ctr for Development & Disability			41,659.14						(16.24)
	300060	HU UME Assessment and Learning			(47:01)			73 971 41			23,971.41
	3110057	HU Mini Core Facility						21,341.77			21,341.77
	3110063	HI CTSC PCIB						23,193.98			23,193.98
	300005	HU Core Labs						40,944.49			40,944,49
	300082	HU Proteomics									0000
	300308							24,546.68	40.0	000	24,545.58
		Internal Service Total		00:00	61,878,79	00.00	0.00	133,998.33	0.00	00.00	A 124 812 6A
Student Aid Endowments		HU Endowed Spending Education	40,286.88	17,808.63	3,409,640.91	354,457.58	302,615.64				1,233,750.52
	antinne	no student Ald & Education Endowment Total	40.286.88	17,808,63	4,643,391,43	354,457,58	302,619.64	00.00	00'0	00'0	5,358,564.16
Independent Operations	300004	HU Medical Investigator Billing			(1,423,772,29)						(1,423,772,29)
Illuspenatura operatura	300005	HU Housestaff Operations			(11,875.27)						(11,875.27)
	300123				338,669.83		4	400	000	000	338,669.83
		Independent Operations Total	0:00	0.00	(1,096,977,73)	0.00	0.00	0.00	00.0	000	(Transportering)
UNM Medical Group	1A0007	PAST CAMPAIN	000	000	0.00	0.00	00'0	0.00	0.00	00:00	0.00
		Olderic Local		anto.	the same of the same of the same of						

Final 10/27/2011										Attachment 2
			UNM HE	UNM HEALTH SCIENCES CENTER						
			ENDING RESER	ENDING RESERVES BY ORG LEVEL 3 & FUND	FUND					
				FY 2011						
				(Unaudited)						
		AFA	AFB	AFC	AFD	AFE	AFI	BAA		Grand Total
Tobleit	Daniel Description	VB LSC Admin	Heile	School of Madicina	College of Nursing	School of Madicine College of Niveline College of Dharmany HSC VD Recearch HMM Medical Groun	HSC VP Research	HMM Medical Group	HWMH	

		AFA	AFB	AFC	AFD	AFE	AFI	BAA		Grand Total
Fund	Fund Description	VP HSC Admin	HSUC	School of Medicine	College of Nursing	College of Pharmacy	HSC VP Research	UNM Medical Group	UNMH	
	Adjustments:									
	Banner P17E YCHC (Backed Out)	36,671.56								36,671.56
	Banner P17L Out of County Indigent (Backed Out)	2.48								2.48
	Banner P224 Childrens Psychiatric Hospital (Backed Out,	(80.00)		156,832.29						156,752.29
	Banner P225 Carrie Tingley Hospital (Backed Out,	751.00		624,481.88						625,232.88
	Banner P1701 Integrative Medicine (Backed Out)							00:00		00'0
	UNMH YCHC (Add in)								115,167.00	115,167.00
	UNMH CPH (Add In)								2,560,448.00	2,560,448.00
	UNMH CTH (Add In)								1,312,405.00	1,312,405.00
	UNMH OOC! (Add In)								0.00	0.00
	UNMMG Integrative Medicine (Add In)							216,423.00		216,423.00
	Total Adjustments	37,345.04	0.00	781,314.17	00'0	0.00	0.00	216,423.00	3,988,020.00	5,023,102.21
										The Party of Land

FY 2011 Categorization of Reserves **UNM Health Sciences**



This chart does not include UNMH operations. It does include Carrie Tingley Hospital, Children's Psychiatric Hospital, and Young Children's Health Center. (Combined Dept Dedicated and Discretionary Reserves \$27,200,528 ÷ 1,189,874 daily operating exp = 23 days on hand)

Preliminary, unaudited FY 2011 data

Tab 13



Executive Director 280 La Entrada Los Lunas, NM 87031

Telephone: (505) 925-8540 Fax: (505) 925-8543

MEMORANDUM

TO: Members of the Board of Regents' Finance and Facilities Committee

FROM: Dr. Alice Letteney, Executive Director Her Vactory

DATE: October 20, 2011

RE: Refunding of UNM - Valencia Series 1998 and 2002 Bonds

The Advisory Board of the University of New Mexico Valencia Branch Community College (the "College") is requesting UNM Board of Regents approval for the issuance of approximately \$4,470,000 to refund the Series 1998 and Series 2002 Bonds. Based on current interest rates the College can refund the Series 1998 and Series 2002 Bonds and generate present value savings of approximately \$194,234.57 or 4.150% of the par amount refunded. The average coupon of the refunded 1998 bonds is 4.60%. The average coupon of the refunded 2002 Bonds is 4.585%. The average coupon of the refunding bonds is 3.969%.

The average annual debt service, \$882,859.60, of the 1998 and 2002 Bonds versus the annual debt service of the proposed refunding is \$830,466.67. The average annual savings is \$52,392.92.

The bonds will be general obligations of the College payable from ad valorem property taxes levied on all taxable property within the Community College District.

Should the College not be able to achieve present value savings greater than 3%, the College will not refund the bonds. Detailed sources and uses of funds relating to the bonds are attached.

Interest Rates

The bonds will be sold in the public markets at a negotiated sale. The following interest rates are estimated based upon market rates of October 20, 2011.

True Interest Cost (TIC) 2.185% Average Coupon 3.969%

Optional Redemption

The bonds shall not be subject to prior redemption, in whole or in part, at any time prior to maturity.

Maturity Structure

The proposed 2012 bonds will be issued as fixed rate/bank-qualified tax-exempt bonds. The bonds will have a final maturity of 2017.

Approvals

Prior to issuing the Bonds, the College will submit the proposed bond issue to the Higher Education Department and the State Board of Finance for their approval.

Rating History

A rating presentation to Moody's Investors Service, Inc. will occur in early December.

Bond Counsel/Underwriter

The law firm of Modrall Sperling Roehl Harris & Sisk, Albuquerque, New Mexico will serve as bond counsel.

George K. Baum & Company, Albuquerque, New Mexico, will serve as Underwriter.

Exhibits

The following documents are included in this submission:

- Resolution The Governing Board of the UNM-Valencia Branch Community College
- Financing Schedule
- Refunding Schedules

DRAFT: October 20, 2011

EXCERPT FROM A REGULAR MEETING OF THE GOVERNING BOARD OF THE UNM-VALENCIA BRANCH COMMUNITY COLLEGE

The Advisory Board of the UNM-Valencia Branch Community College (the "Board"), which Board, as governing board of the UNM-Valencia Branch Community College in the Counties of Valencia and Socorro, State of New Mexico (the "District"), met in regular session in full conformity with law and the rules and regulations of the Board at the Administrative Board Room on the Valencia Campus in Los Lunas, being the regular meeting place of the Board, on the 1st day of November, 2011, at the hour of 5:00 p.m. Upon roll call, the following members were found to be present:

PRESENT:

Chairman:	
Secretary:	
Members:	
ABSENT:	
ALSO PRESENT:	
consideration of a resolution authorizing	of the items on the agenda for the meeting was the ng the issuance of The University of New ege, General Obligation (Limited Tax) Refunding amount not to exceed \$4,580,000.
Member thereu following resolution:	apon introduced and moved the adoption of the

THE GOVERNING BOARD OF THE UNM-VALENCIA BRANCH COMMUNITY COLLEGE RESOLUTION

A RESOLUTION AUTHORIZING THE ISSUANCE AND SALE OF THE UNIVERSITY OF NEW MEXICO VALENCIA BRANCH COMMUNITY COLLEGE, GENERAL OBLIGATION (LIMITED TAX) REFUNDING BONDS, SERIES 2012, IN AN AGREGATE PRINCIPAL AMOUNT NOT TO EXCEED \$4,580,000, FOR THE PURPOSE OF PROVIDING FUNDS FOR REFUNDING THE UNM-VALENCIA BRANCH COMMUNITY COLLEGE GENERAL OBLIGATION REFUNDING AND IMPROVEMENT (LIMITED TAX) REVENUE BONDS, SERIES 1998 AND THE UNM-VALENCIA BRANCH COMMUNITY COLLEGE GENERAL OBLIGATION (LIMITED TAX) BONDS, SERIES 2002; PROVIDING THAT THE BONDS SHALL BE PAYABLE FROM AD VALOREM TAXES LEVIED ON ALL TAXABLE PROPERTY WITHIN THE DISTRICT, LEVIED AT THE MAXIMUM RATE OF FIVE MILLLS EXCEPT AS PROVIDED BY LAW; PROVIDING THAT CERTAIN TERMS OF THE BONDS WILL BE PROVIDED IN A SUBSEQUENT RESOLUTION; AND PROVIDING FOR OTHER DETAILS CONCERNING BONDS.

WHEREAS, the Advisory Board (the "Board") of the UNM-Valencia Branch Community College (the "District") is established pursuant to Sections 21-14-1 through 21-14-16 NMSA 1978, as amended; and

WHEREAS, the Board considers it necessary and advisable and in the best interest of the District to issue The University of New Mexico-Valencia Branch Community College General Obligation (Limited Tax) Refunding Bonds, Series 2012 (the "Bonds") pursuant to Sections 21-2A-8 through 21-2A-9 NMSA 1978 in an aggregate principal amount not to exceed \$4,580,000 to refund the District's General Obligation Refunding and Improvement (Limited Tax) Bonds, Series 1998 (the "Series 1998 Bonds") and the District's General Obligation (Limited Tax) Bonds, Series 2002 (the "Series 2002 Bonds") (collectively, the "Refunded Bonds"); and

WHEREAS, a proposed form of continuing disclosure undertaking (the "Continuing Disclosure Undertaking") for the benefit of the owners of the Bonds and required by Securities and Exchange Commission Rule 15c2-12 to be entered into by the District has been presented to the Board at this meeting; and

WHEREAS, the form of the Preliminary Official Statement (the "Preliminary Official Statement"), to be used by George K. Baum & Company in connection with the offering and sale of the Bonds has been presented to the Board at this meeting; and

WHEREAS, the Board has determined and does hereby determine that the Bonds shall be issued at this time under the authority of the New Mexico Constitution and applicable law as hereinafter set forth, and desires to provide for the levy of taxes for the payment of the principal of and interest on the Bonds; and

WHEREAS, the issuance of the Bonds shall not occur until the State Board of Finance and the Commission on Higher Education have provided their written approvals pursuant to Sections 21-2A-8 and 21-2A-9(B) NMSA 1978, as amended; and

WHEREAS, the net effective interest rate on the Bonds will not be more than ten percent (10%) a year; and

WHEREAS, no action or suit has been commenced by any person or corporation contesting the validity of any of the proceedings directed toward the issuance and sale of the Bonds heretofore taken by the Board and the officers of the District;

NOW, THEREFORE, BE IT RESOLVED AS FOLLOWS:

Section 1. It is hereby declared necessary that the District issue the Bonds in an aggregate amount not to exceed \$4,580,000. The District has elected and hereby declares its intent to exercise on behalf of and in the name of the District its option to refund the Series 1998 Bonds maturing on August 1, 2013 and the Series 2002 Bonds maturing on August 1, 2012 through August 1, 2017. The anticipated date of the redemption of the Series 1998 Bonds is February 1, 2012, and the anticipated date of redemption of the Series 2002 Bonds is August 1, 2012. The District is hereby obligated to exercise the option to redeem the Refunded Bonds, and the notices of redemption shall be sent to the registered owners of the Refunded Bonds in accordance with the proceedings authorizing their issuance. All actions heretofore taken by the Board and the officers and employees of the District directed toward the issuance and sale of the Bonds to secure funds for the purposes stated above be, and the same hereby are, ratified, approved and confirmed.

- Section 2. A. In order to provide funds for the purposes stated above, the Board, on behalf of the District and upon the full-faith and credit thereof, shall issue the Bonds with the terms established in a subsequent resolution of the Board (the "Sale Resolution") providing the exact principal amounts, maturities, interest rates and other details.
- B. The Bonds shall be dated the date of delivery (herein the "Series Date"), will be issued in one series and shall consist of bonds numbered consecutively from R-1 upward, issuable in the denomination of \$5,000 each or integral multiples thereof (provided that no individual bond will be issued for more than one maturity); shall bear interest from the Series Date to maturity at the rates per annum set forth in the Sale Resolution for the Bonds, payable to the registered owner thereof, or registered assigns, on August 1, 2012, and semiannually thereafter on February 1 and August 1 in each year in which the Bonds are outstanding and shall mature on August 1 of each year set forth above and/or be subject to mandatory sinking fund redemption on August 1 of each year as set forth in the Sale Resolution.

- C. The principal of and interest on the Bonds due at maturity shall be payable to the registered owner thereof as shown on the registration books kept by The University of New Mexico – Vice President for Business and Finance, as "registrar/paying agent" (such entity and any successor thereto, the "Registrar/Paying Agent") for the Bonds, upon maturity and upon presentation and surrender thereof at the principal offices of the Registrar/Paying Agent. If any Bond shall not be paid upon such presentation and surrender at or after maturity, it shall continue to draw interest at the rate borne by the Bond until the principal thereof is paid in full. Payment of interest on the Bonds (other than at maturity) shall be made by check or draft mailed by the Registrar/Paying Agent (or by such other arrangement as may be mutually agreed to by the Registrar/Paying Agent and such registered owner), on or before each interest payment date (or, if such interest payment date is not a business day, on or before the next succeeding business day), to the registered owner thereof on the Record Date (defined below) at his address as it appears on the registration books kept by the Registrar/Paying Agent. All such payments shall be made in lawful money of the United States of America. The term "Record Date" as used herein with respect to any interest payment date shall mean the 15th day of the month (whether or not a business day) immediately preceding the interest payment date. The person in whose name any Bond is registered on any Record Date with respect to any interest payment date shall be entitled to receive the interest payable thereon on such interest payment date notwithstanding any transfer or exchange thereof subsequent to such Record Date and prior to such interest payment date; but interest on any Bond which is not timely paid or duly provided for shall cease to be payable as provided above and shall be payable to the person in whose name such Bond is registered at the close of business on a special record date (the "Special Record Date") fixed by the Registrar/Paying Agent for the payment of any such overdue interest. The Special Record Date shall be fixed by the Registrar/Paying Agent whenever moneys become available for payment of overdue interest, and notice of any such Special Record Date shall be given not less than ten days prior thereto, by first-class mail, to the registered owners of the Bonds as of the fifth day preceding the mailing of such notice by the Registrar/Paying Agent, stating the Special Record Date and the date fixed for the payment of overdue interest.
- D. The Bonds shall not be subject to prior redemption, in whole or in part, at any time prior to maturity.

Section 3. The Bonds shall constitute general obligations of the District, payable from general ad valorem taxes which may be levied at the maximum rate of five dollars (\$5.00) on each one thousand dollars (\$1,000) of net taxable value on all taxable property within the District (provided, however, that this five-mill (\$5.00 per \$1,000) limitation may be exceeded in any year in which the valuation of the property within the District declines to a level lower than the valuation of the property within the District in the year in which the Bonds were issued) for the retirement of bonded indebtedness and current operations. The full-faith and credit of the District, to the extent set forth above and to the extent permitted by law, shall be, and hereby is, irrevocably pledged to the payment of the principal of and interest on the Bonds.

Section 4. The Bonds shall bear the facsimile or manual signature of the Chairman of the Board and shall be attested by the facsimile or manual signature of the Secretary of the Board and shall bear the facsimile or manual seal of the Board. The Bonds shall be authenticated by the manual signature of an authorized officer of the Registrar/Paying Agent. The Bonds bearing the signatures or facsimile signatures of the officers in office at the time of the signing thereof shall be the valid and binding obligations of the District, notwithstanding that before the delivery of the Bonds and payment therefor, or before the issuance thereof upon transfer or exchange, any or all of the persons whose signatures appear on the Bonds shall have ceased to fill their respective offices. The Chairman and Secretary of the Board may, by the execution of a signature certificate pertaining to the Bonds, adopt as and for their respective signatures the facsimiles thereof appearing on the Bonds; and, at the time of the execution of the signature certificate, the Chairman and Secretary may each adopt as and for his or her facsimile signature the facsimile signature of his or her predecessor in office in the event that such facsimile signature appears upon any of the Bonds. The Chairman and Secretary of the Board, pursuant to Sections 6-9-1 through 6-9-6, inclusive, NMSA 1978, may each forthwith file his manual signature, certified by him under oath, with the Secretary of State of New Mexico, provided that such filing shall not be necessary for any officer where any previous filing shall have application to the Bonds.

No Bond shall be valid or obligatory for any purpose unless the certificate of authentication, substantially in the form hereinafter provided, has been duly executed by the Registrar/Paying Agent. The Registrar/Paying Agent's certificate of authentication shall be deemed to have been duly executed by it if manually signed by an authorized officer of the Registrar/Paying Agent, but it shall not be necessary that the same officer sign the certificate of authentication on all of the Bonds issued hereunder.

Books for the registration and transfer of the Bonds shall be kept Section 5. by the Registrar/Paying Agent. Upon the surrender for transfer of any Bond at the principal office of the Registrar/Paying Agent, duly endorsed for transfer or accompanied by an assignment duly executed by the registered owner or his attorney duly authorized in writing, the Registrar/Paying Agent shall authenticate and deliver not more than three business days after receipt of the Bond to be transferred in the name of the transferee or transferees a new Bond or Bonds in fully registered form of the same aggregate principal amount of authorized denominations, and of the same maturity, interest rate and series, bearing a number or numbers not contemporaneously outstanding. Bonds may be exchanged at the principal office of the Registrar/Paying Agent for an equal aggregate principal amount of Bonds of other authorized denominations, and of the same maturity, series and interest rate. The Registrar/Paying Agent shall authenticate and deliver not more than three business days after receipt of the Bond to be exchanged a Bond or Bonds which the registered owner making the exchange is entitled to receive, bearing a number or numbers not contemporaneously outstanding. Exchanges and transfers of Bonds as herein provided shall be without charge to the owner or any transferee, but the Registrar/Paying Agent may require the payment by the owner of any Bond requesting exchange or transfer of any tax or other governmental charge required to be paid with respect to such exchange or transfer.

- B. Bonds which are reissued upon transfer, exchange or other replacement shall bear interest from the most recent interest payment date to which interest has been fully paid or provided for in full or, if no interest has been paid, from the Series Date.
- C. The person in whose name any Bond shall be registered on the registration books kept by the Registrar/Paying Agent, shall be deemed and regarded as the absolute owner thereof for the purpose of making payment thereof and for all other purposes except as may otherwise be provided with respect to payment of overdue interest as is provided in Section 2 hereof; and payment of or on account of either principal or interest on any Bond shall be made only to or upon the written order of the registered owner thereof or his legal representative, but such registration may be changed upon transfer of such Bond in the manner and subject to the conditions and limitations provided herein. All such payments shall be valid and effectual to discharge the liability upon such Bond to the extent of the sum or sums so paid.
- D. If any Bond shall be lost, stolen, destroyed or mutilated, the Registrar/Paying Agent shall, upon receipt of the mutilated Bond and such evidence, information or indemnity relating thereto as it may reasonably require and as may be required by law, authenticate and deliver a replacement Bond or Bonds of a like aggregate principal amount of authorized denominations, and of the same maturity, interest rate and series, bearing a number or numbers not contemporaneously outstanding. If such lost, stolen, destroyed or mutilated Bond shall have matured, the Registrar/Paying Agent may pay such Bond in lieu of replacement.
- E. The officers of the District are authorized to deliver to the Registrar/Paying Agent fully executed but unauthenticated Bonds in such quantities as may be convenient to be held in custody by the Registrar/Paying Agent pending use as provided herein and in the Sale Resolution.
- F. Whenever any Bond shall be surrendered to the Registrar/Paying Agent upon payment thereof, or to the Registrar/Paying Agent for transfer, exchange or replacement as provided herein, such Bond shall be promptly cancelled by the Registrar/Paying Agent, and counterparts of a certificate of such cancellation shall be furnished by the Registrar/Paying Agent to the District.
- G. Notwithstanding any other provision herein, the Bonds may be issued or registered, in whole or in part, in book-entry form from time to time with no physical distribution of bond certificates made to the public, with The Depository Trust Company of New York, New York ("Depository") acting as securities depository for the Bonds. A single certificate for each maturity date of the Bonds issued in book-entry form will be delivered to the Depository and immobilized in its custody. The book-entry system will evidence ownership of the Bonds in authorized denominations, with transfer of ownership effected on the books of the Depository and its participants ("Participants"). As a condition to delivery of the Bonds in bookentry form, the purchaser will, immediately after acceptance of delivery thereof, deposit, or cause to be deposited, the Bond certificates with the Depository, registered in the name of the Depository or its nominee. Principal and interest will be paid to the Depository or its nominee as the registered owner of the Bonds. The transfer of principal and interest payments to Participants

will be the responsibility of the Depository; the transfer of principal and interest payments to the beneficial owners of the Bonds (the "Beneficial Owners") will be the responsibility of Participants and other nominees of Beneficial Owners maintaining a relationship with Participants (the "Indirect Participants"). The District will not be responsible or liable for maintaining, supervising or reviewing the records maintained by the Depository, Participants or Indirect Participants.

If (i) the Bonds are not eligible for the services of the Depository, (ii) the Depository determines to discontinue providing its services with respect to the Bonds or (iii) the District determines that a continuation of the system of book-entry transfers through the Depository ceases to be beneficial to the District or the Beneficial Owners, the District will either identify another similar depository to perform such functions or will deliver certificates for the Bonds to the Beneficial Owners or their nominees, and the Beneficial Owners or their nominees, upon authentication of Bonds and registration of those Bonds in the Beneficial Owners' or nominees' names, will become the owners of the Bonds for all purposes. In that event, the District shall mail an appropriate notice to the Depository for notification to Participants, Indirect Participants and Beneficial Owners of the substitute Depository or the issuance of bond certificates to Beneficial Owners or their nominees, as applicable.

Officers of the District are authorized to sign agreements with or letters to the Depository relating to the matters set forth in this Section.

Notwithstanding any other provision herein, so long as all of the Bonds are registered in the name of the Depository or its nominee, all payments of principal and interest on the Bonds, and all notices with respect to the Bonds, shall be made and given by the Registrar/Paying Agent or the District to the Depository as provided in this Bond Resolution and by the Depository to its Participants or Indirect Participants and to the Beneficial Owners of the Bonds in the manner provided in an agreement or letter of the District to the Depository.

Section 6. If the Registrar/Paying Agent initially appointed hereunder shall resign, or if the District shall reasonably determine that the Registrar/Paying Agent has become incapable of fulfilling its duties hereunder, the District may, upon notice mailed to each registered owner of Bonds at the address last shown on the registration books, appoint a successor registrar/paying agent. Every such successor registrar/paying agent shall be a bank or trust company located in and in good standing in the United States and having a shareholders equity (e.g., capital stock, surplus and undivided profits), however denominated, of not less than \$10,000,000.

Section 7. Subject to the registration provisions hereof, the Bonds hereby authorized shall be fully negotiable and shall have all the qualities of negotiable paper, and the registered owner or owners thereof shall possess all rights enjoyed by the holders of negotiable instruments under the provisions of the Uniform Commercial Code.

Section 8. The Bonds shall be in substantially the following form:

[Form of Bond]

REGISTERED	
NO.	

REGISTERED

\$

UNITED STATES OF AMERICA STATE OF NEW MEXICO UNM-VALENCIA BRANCH COMMUNITY COLLEGE GENERAL OBLIGATION (LIMITED TAX) REFUNDING BONDS SERIES 2012

Registered Owner:			
Principal Amount:	D(OLLARS	
INTEREST RATE	MATURITY DATE August 1	SERIES DATE	CUSIP

The Governing Board of UNM-Valencia Branch Community College (the "Board"), to the extent permitted by law and on the faith, credit and behalf of UNM-Valencia Branch Community College (the "District"), for value received, hereby promises to pay to the registered owner named above, or registered assigns, the principal amount hereof on the Maturity Date and to pay interest on the principal amount at the Interest Rate on February 1, 2012, and thereafter on February 1 and August 1 of each year (the "Interest Payment Date") from the Series Date to its maturity. The principal of the bonds of the series of which this is one (the "Bonds") and interest due at maturity shall be payable to the registered owner thereof as shown on the registration books kept by The University of New Mexico - Vice President for Business and Finance, as "registrar/paying agent" (such entity and any successor thereto, the "Registrar/Paying Agent") for the Bonds, upon maturity and upon presentation and surrender thereof at the principal offices of the Registrar/Paying Agent. If any Bond shall not be paid upon such presentation and surrender at or after maturity, it shall continue to draw interest at the rate borne by the Bond until the principal thereof is paid in full. Payment of interest on the Bonds (other than at maturity) shall be made by check or draft mailed by the Registrar/Paying Agent (or by such other arrangement as may be mutually agreed to by the Registrar/Paying Agent and such registered owner), on or before each Interest Payment Date (or, if such Interest Payment Date is not a business day, on or before the next succeeding business day), to the registered owner thereof on the Record Date (defined below) at his address as it appears on the registration books kept by the Registrar/Paying Agent. All such payments shall be made in lawful money of the United States of America. The term "Record Date" as used herein with respect to any Interest Payment Date shall mean the 15th day of the month (whether or not a business day) immediately preceding the Interest Payment Date. The person in whose name any Bond is registered on any Record Date with respect to any Interest Payment Date shall be entitled to receive the interest payable thereon on such Interest Payment Date notwithstanding any transfer or exchange thereof subsequent to such Record Date and prior to such Interest Payment Date; but interest on any Bond which is not timely paid or duly provided for shall cease to be payable as provided above and shall be payable to the person in whose name such Bond is registered at the close of business on a special record date (the "Special Record Date") fixed by the Registrar/Paying Agent for the payment of any such overdue interest. The Special Record Date shall be fixed by the Registrar/Paying Agent whenever moneys become available for payment of overdue interest, and notice of any such Special Record Date shall be given not less than ten days prior thereto, by first-class mail, to the registered owners of the Bonds as of the fifth day preceding the mailing of such notice by the Registrar/Paying Agent, stating the Special Record Date and the date fixed for the payment of overdue interest.

The Bonds are fully registered and are issuable in denominations of \$5,000 and any integral multiple thereof (provided that no individual bond may be issued for more than one maturity).

The series of Bonds of which this bond is one is limited to the total principal amount of \$4,580,000 of like tenor except as to number, denomination, maturity date, and interest rate, issued by UNM-Valencia Branch Community College, for the purpose of refunding the District's General Obligation Refunding and Improvement (Limited Tax) Bonds, Series 1998 and the District's General Obligation (Limited Tax) Bonds, Series 2002, under the authority of and in full compliance with the Constitution and laws of the State of New Mexico (particularly Sections 21-2A-8 through 21-2A-9 NMSA 1978, and acts amendatory and supplemental thereto), and pursuant to a resolution of the Board duly adopted and made a law of the District prior to the issuance of this bond (the "Bond Resolution").

The Bonds shall not be subject to prior redemption, in whole or in part, at any time prior to maturity.

The Registrar/Paying Agent will maintain the books of the District for the registration of ownership of the Bonds. Upon the surrender for transfer of any Bond at the principal office of the Registrar/Paying Agent, duly endorsed for transfer or accompanied by an assignment duly executed by the registered owner or his attorney duly authorized in writing, the Registrar/Paying Agent shall authenticate and deliver not more than three business days after receipt of the Bond to be transferred in the name of the transferee or transferees a new Bond or Bonds in fully registered form of the same aggregate principal amount of authorized denominations, and of the same maturity, interest rate and series, bearing a number or numbers not contemporaneously outstanding. Bonds may be exchanged at the principal offices of the Registrar/Paying Agent for an equal aggregate principal amount of Bonds of other authorized denominations, and of the same maturity, series and interest rate. The Registrar/Paying Agent shall authenticate and deliver not more than three business days after receipt of the Bond to be exchanged a Bond or Bonds which the registered owner making the exchange is entitled to receive, bearing a number or numbers not contemporaneously outstanding. Exchanges and transfers of Bonds as herein provided shall be without charge to the owner or any transferee, but the Registrar/Paying Agent may require the payment by the owner of any Bond requesting exchange or transfer of any tax or other governmental charge required to be paid with respect to such exchange or transfer.

The person in whose name any Bond shall be registered on the registration books kept by the Registrar/Paying Agent, shall be deemed and regarded as the absolute owner thereof for the purpose of making payment thereof and for all other purposes except as may otherwise be provided with respect to payment of interest; and payment of or on account of either principal or interest on any Bond shall be made only to or upon the written order of the registered owner thereof or his legal representative, but such registration may be changed upon transfer of such Bond in the manner and subject to the conditions and limitations provided herein. All such payments shall be valid and effectual to discharge the liability upon such Bond to the extent of the sum or sums so paid.

If any Bond shall be lost, stolen, destroyed or mutilated, the Registrar/Paying Agent shall, upon receipt of the mutilated Bond and such evidence, information or indemnity relating thereto as it may reasonably require and as may be required by law, authenticate and deliver a replacement Bond or Bonds of a like aggregate principal amount of authorized denominations, and of the same maturity, interest rate and series, bearing a number or numbers not contemporaneously outstanding. If such lost, stolen, destroyed or mutilated Bond shall have matured, the Registrar/Paying Agent may pay such Bond in lieu of replacement.

For the punctual payment of the principal of and interest on this bond as aforesaid and for the levy and collection of taxes in accordance with the statutes authorizing the issuance of this bond, to the extent permitted by law, the faith and credit of the District is hereby irrevocably pledged. The Board has, by the Bond Resolution, ordered the creation of an interest and sinking fund for the payment of the Bonds. Such fund is to be held in trust for the benefit of the owner or owners of the Bonds.

It is hereby certified, recited and warranted that all the requirements of law, including the College District Tax Act, have been complied with by the proper officials of the District in the issuance of this bond; that the total indebtedness of the District, including that of this bond, does not exceed any limit of indebtedness prescribed by the Constitution or laws of the State of New Mexico; that provision has been made for the levy and collection of annual taxes sufficient to pay the principal of and the interest on this bond when the same become due. This bond shall not be valid or obligatory for any purpose until the Registrar/Paying Agent shall have manually signed the certificate of authentication hereon.

IN TESTIMONY WHEREOF, the Governing Board of UNM-Valencia Branch Community College, constituting the governing board of the District, has caused the facsimile of the seal of the District to be hereto affixed and this bond to be signed and executed with the manual or facsimile signature of the President of the Board and subscribed and attested with the manual or facsimile signature of the Secretary of the Board, all as of the Series Date.

(Signature)

Governing Board of UNM-Valencia Branch Community College

(DISTRICT SEAL)	
Attest:	
(Signature)	
Secretary Governing Board of UNM-Valencia Branch Community College	
(Form of C	ertificate of Authentication)
	Bonds described in the Bond Resolution and has been skept by the undersigned as Registrar/Paying Agent for
Date of Authentication and Registration:	THE UNIVERSITY OF NEW MEXICO – VICE PRESIDENT FOR BUSINESS AND FINANCE, as Registrar/Paying Agent
	By:Authorized Representative
(End of Form o	of Certificate of Authentication)
[Fc	orm of Assignment]
	ASSIGNMENT
whose social security within bond and irrevocably constitutes	he undersigned sells, assigns and transfers unto or tax identification number is the and appoints attorney to transfer stration thereof, with full power of substitution in the
Dated:	
Signature Guaranteed:	

NOTE: The assignor's signature on this assignment must correspond with the name as it appears upon the face of the within bond in every particular, without alteration or enlargement or any change whatsoever.

[End of Form of Assignment]

[End of Form of Bond]

Section 9. When the Bonds have been duly executed and authenticated, they shall be delivered to the lawful purchaser thereof. The funds realized from the sale of the Bonds shall be applied solely to the specified purpose for the Bonds (provided that any premium and accrued interest shall be used to pay interest on the Bonds), but the purchaser and any subsequent owner of the Bonds shall in no manner be responsible for the application of or disposal by the District, or any of its officers, of any of the funds derived from the sale thereof.

Section 10. There shall be levied on all taxable property in the District, at the time and in the manner provided by law, in addition to all other taxes, direct annual ad valorem taxes at the maximum rate of five dollars (\$5.00) on each one thousand dollars (\$1,000) of net taxable value on all taxable property within the District (provided, however, that this five-mill (\$5.00 per \$1,000) limitation may be exceeded in any year in which the valuation of the property within the District declines to a level lower than the valuation of the property within the District in the year in which the Bonds were issued) for retirement of bonded indebtedness and current operations which will be sufficient to pay the principal of and interest accruing on the Bonds promptly as the same shall become due. This Resolution is hereby declared to be the certificate to the Boards of County Commissioners of Valencia County and Socorro County, New Mexico as to the fivemill levy relating to ad valorem taxes which may be levied for the purposes herein stated and such taxes shall be certified, levied and extended upon the tax rolls and collected in the same manner, at the same time and subject to the same penalties as general state and county taxes are certified, levied and collected. Such taxes, when collected, shall be kept by the District in a separate special fund for the Bonds to be known as the "UNM-Valencia Branch Community College General Obligation (Limited Tax) Refunding Bonds, Series 2012, Interest and Sinking Fund" to be used solely for the purpose of paying the principal of and interest on the District's general obligation (limited tax) bonds as the same become due or mature; provided that nothing herein contained shall be so construed as to prevent the application of any other funds belonging to the District and available for that purpose, to the payment of the Bonds or the interest thereon. as the same become due and upon such payment the levy or levies of tax provided for in this Section 10 may thereupon to that extent be diminished. If the taxes herein provided for shall not be levied or collected in time to pay the interest on or principal of the Bonds as the same become due or mature, then such interest or principal shall be paid from any funds belonging to the

District, which funds may be reimbursed from the taxes herein provided for when the same are collected.

- Section 11. The proceeds of the Bonds, promptly upon receipt thereof, will be deposited and used by the District as follows:
- A. First, any accrued interest or premium received from the issuance and sale of the Bonds shall be deposited in the District's General Obligation (Limited Tax) Refunding Bonds, Series 2012, Interest and Sinking Fund described in Section 10 hereof and used to pay interest on the Bonds.
- B. Second, except as otherwise provided herein, the balance of the proceeds received from the issuance and sale of the Bonds shall be used by the District for the payment of the costs of issuance of the Bonds and to pay the costs of refunding the Refunded Bonds.
- C. The validity of the Bonds is not dependent on nor affected by the validity or regularity of any proceedings related to the completion of refunding the Refunded Bonds.
- Section 12. The Chairman, Secretary and other officers of the District be and they hereby are authorized and directed to take all action necessary or appropriate to effectuate the provisions of this Resolution, including without limiting the generality of the foregoing, the printing and delivery of a Preliminary Official Statement for use by the purchaser of the Bonds in substantially the form of the Preliminary Official Statement presented to the Board at this meeting with such changes as are not inconsistent with the provisions of this Resolution, and the execution of such certificates as may be required by the purchaser relating to the signing of the Bonds, the tenure and identity of District officials, the receipt of the purchase price of the Bonds from the purchaser and the absence of litigation, pending or threatened, if in accordance with the facts, affecting the validity thereof and the absence and existence of factors affecting the exclusion of interest on the Bonds from gross income for federal income tax purposes.
- Section 13. When all principal and interest in connection with the Bonds have been duly paid, the pledge therefor and all obligations of the District hereunder shall thereby be discharged and the Bonds shall no longer be deemed to be outstanding. There shall be deemed to be such payment when the District has caused to be placed in escrow and in trust with a bank doing business in the State which is a member of the Federal Deposit Insurance Corporation and exercising trust powers, an amount sufficient (including the known minimum yield from direct obligations of the United States or securities that are unconditionally guaranteed by the United States ("Government Obligations"), in which such amounts are or may be initially invested) to meet all requirements of principal and interest on the Bonds as the same become due to their final maturities or upon designated prior redemption dates. The Government Obligations shall become due prior to the respective times on which the proceeds thereof shall be needed, in accordance with a schedule established and agreed upon between the District and such bank at the time of the creation of the escrow, or the Government Obligations shall be subject to

redemption at the option of the holders or owners thereof to assure such availability as needed to meet such schedule. If any Bond is to be redeemed prior to maturity, notice of redemption shall have been given or arrangements satisfactory to the Registrar/Paying Agent shall have been made for the giving of such notice.

- Section 14. A. The District covenants that it will restrict the use of the proceeds of the Bonds in such manner and to such extent, if any, as may be necessary so that the Bonds will not constitute arbitrage bonds under Section 148 of the Internal Revenue Code of 1986, as amended (the "Code"). The Chairman, Secretary and any other officer of the District having responsibility for the issuance of the Bonds shall give an appropriate certificate of the District, for inclusion in the transcript of proceedings for the Bonds, setting forth the reasonable expectations of the District regarding the amount and use of all the proceeds of the Bonds, the facts, circumstances and estimates on which they are based, and other facts and circumstances relevant to the tax treatment of interest on the Bonds.
- B. The District covenants that it (a) will take or cause to be taken such actions which may be required of it for the interest on the Bonds to be and remain excluded from gross income for federal income tax purposes, and (b) will not take or permit to be taken any actions which would adversely affect that exclusion, and that it, or persons acting for it, will, among other acts of compliance, (i) apply the proceeds of the Bonds to the governmental purpose of the borrowing, (ii) restrict the yield, as required, on investment property acquired with those proceeds, (iii) make timely rebate payments, if required, to the federal government, (iv) maintain books and records and make calculations and reports, and (v) refrain from certain uses of proceeds, all in such manner and to the extent necessary to assure such exclusion of that interest under the Code. The Chairman, Secretary and other appropriate officers are hereby authorized and directed to take any and all actions, make calculations and rebate payments, and make or give reports and certifications, as may be appropriate to assure such exclusion of that interest.
- C. The Board hereby designates the Bonds as "qualified tax exempt obligations" for purposes of Section 265(b)(3) of the Code.
- Section 15. The Bonds shall not be issued unless and until the State Board of Finance and the Commission on Higher Education have provided their written approvals as required by Sections 21-2A-8 and 21-2A-9(B), NMSA 1978, as amended and supplemented from time to time.
- Section 16. Moneys in any fund not immediately needed may be invested as provided by state law and applicable federal statutes and regulations, provided that the Board and the District hereby covenant to the purchaser and the owners of the Bonds from time to time that the District will make no use of the proceeds of the Bonds or any funds reasonably expected to be used to pay the principal of or interest on the Bonds which will cause the Bonds to be arbitrage bonds within the meaning of Section 148 of the Code, as amended, or which would adversely affect the tax status of interest on the Bonds under the Code. This covenant is for the benefit of the purchaser and the owners of the Bonds from time to time.

Section 17. In order to assist the purchaser in complying with Securities and Exchange Commission Rule 15c2-12(b)(5), at the time of delivery of the Bonds, the District will undertake, pursuant to the Continuing Disclosure Undertaking, to provide annual financial information and notices of certain material events.

Section 18. Nothing in this Resolution expressed or implied is intended or shall be construed to confer upon, or to give to any person or entity, other than the District, the Registrar/Paying Agent, and the registered owners of the Bonds, any right, remedy or claim under or by reason of this Resolution or any covenant, condition or stipulation hereof, and all covenants, stipulations, promises and agreements in this Resolution by and on behalf of the District shall be for the sole and exclusive benefit of the District, the Registrar/Paying Agent, and the registered owners of the Bonds.

Section 19. After any of the Bonds have been issued, this Resolution shall constitute a contract between the District and the owner or owners of the Bonds and shall be and remain irrepealable and unalterable until the Bonds and the interest thereon shall have been fully paid, satisfied and discharged, defeased or until such payment has been duly provided for.

Section 20. If any section, paragraph, clause or provision of this Resolution shall for any reason be held to be invalid or unenforceable, the invalidity or unenforceability of such section, paragraph, clause or provision shall not affect any of the remaining provisions of this Resolution.

Section 21. The following notice shall be published one time in a newspaper having general circulation in the District as soon as is practicable following the adoption hereof.

(Form of Notice)

LEGAL NOTICE

NOTICE IS HEREBY GIVEN that the Advisory Board of UNM-Valencia Branch Community College, did on the 1st day of November, 2011, adopt a resolution entitled:

A RESOLUTION AUTHORIZING THE ISSUANCE AND SALE OF THE UNIVERSITY OF NEW MEXICO VALENCIA BRANCH COMMUNITY COLLEGE, GENERAL OBLIGATION (LIMITED TAX) REFUNDING BONDS, SERIES 2012, IN AN AGREGATE PRINCIPAL AMOUNT NOT TO EXCEED \$4,580,000, FOR THE PURPOSE OF PROVIDING FUNDS FOR REFUNDING THE UNM-VALENCIA BRANCH COMMUNITY COLLEGE GENERAL OBLIGATION REFUNDING AND IMPROVEMENT (LIMITED TAX) REVENUE BONDS, SERIES 1998 AND THE UNM-VALENCIA BRANCH COMMUNITY COLLEGE GENERAL OBLIGATION (LIMITED TAX) BONDS, SERIES 2002; PROVIDING THAT THE BONDS SHALL BE PAYABLE FROM AD VALOREM TAXES LEVIED ON ALL TAXABLE

PROPERTY WITHIN THE DISTRICT, LEVIED AT THE MAXIMUM RATE OF FIVE MILLLS EXCEPT AS PROVIDED BY LAW; PROVIDING THAT CERTAIN TERMS OF THE BONDS WILL BE PROVIDED IN A SUBSEQUENT RESOLUTION; AND PROVIDING FOR OTHER DETAILS CONCERNING BONDS.

The Resolution directs and authorizes the issuance of UNM-Valencia Branch Community College, General Obligation (Limited Tax) Refunding Bonds, Series 2012, in the aggregate principal amount not to exceed \$4,580,000; provides for levy of taxes to pay the principal of and interest on the bonds; makes certain covenants with the bond purchaser; provides that certain details of the Bonds shall be provided in a subsequent resolution of the Board and provides other details concerning the Bonds. Complete copies of the Resolution are available for public inspection during normal and regular business hours at the Administration Building, UNM Valencia Campus, Los Lunas, New Mexico. This notice constitutes compliance with Section 6-14-6 NMSA 1978.

DATED this 1st day of November, 2011.

Secretary
UNM-Valencia Branch Community College

(End of Form of Notice)

Section 22. All acts and resolutions in conflict with this Resolution are hereby rescinded, annulled and repealed.

PASSED, ADOPTED AND APPROVED this 1st day of November, 2011.

UNM-VALENCIA BRANCH COMMUNITY COLLEGE

	By:
	Chairman
[SEAL]	
ATTEST:	
By:	
Secretary	
The motion to adopt such resolution the following recorded vote:	on upon being put to a vote was passed and adopted
Those Voting Aye:	
·	
Those Voting Nay:	
Those Absent:	
presiding officer declared such motion of Chairman and Secretary signed the foregoing	the Board having voted in favor of such motion, the carried and the resolution adopted, whereupon the ng proceedings and resolution upon the records of the
minutes of the Board.	

After transaction of other business not related to the bond issue, the Board, upon motion duly made, seconded and carried, adjourned the meeting.

UNM-VALENCIA BRANCH COMMUNITY COLLEGE

By: Chairman						
[SEAL]						
ATTEST:						
By:Secretary						

STATE OF NEW MEXICO)
) ss
COUNTY OF VALENCIA)

- I, Belinda Martinez, the duly elected, qualified and acting Secretary of the Advisory Board (the "Board") of UNM-Valencia Branch Community College in Valencia County and Socorro County (the "District") do hereby certify:
- 1. The foregoing pages are a true, perfect and complete copy of the record of the proceedings of the Board, constituting the governing board of the District, had and taken at a duly called regular meeting held at the Board's regular meeting place at the Administration Building, UNM-Valencia Campus, 280 La Entrada, Los Lunas, New Mexico, on the 1st day of November, 2011, at the hour of 5:00 p.m., insofar as the same relate to the proposed bond issue, a copy of which is set forth in the regular book of official records of the proceedings of the Board kept in my office. None of the action taken has been rescinded, repealed or modified.
- 2. The proceedings and the meeting were duly held and the persons therein named were present at the meeting, as therein shown.
- 3. Notice of such meeting was given in accordance with the open meetings standards resolution adopted by the Board and presently in effect, a copy of such notice being attached hereto as Exhibit "A."

IN WITNESS WHEREOF, I have hereunto set my hand this 1st day of November, 2011.

Secretary	

[SEAL]

Exhibit "A"

Notice of Meeting

\$4,580,000 THE UNIVERSITY OF NEW MEXICO Valencia Branch Community College General Obligation (Limited Tax) Refunding Bonds, Series 2012

FINANCING SCHEDULE

OCTOBER 2011						
S	M	T	W	T	F	S
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
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JANUARY 2012						
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29	30	31				

DATE	ACTION	RESPONSIBLE PARTIES
Friday, October 14, 2011	Circulate proposed Parameters Resolution	Modrall
Friday, October 21, 2011	Deadline for material for Finance and Facilities Committee Meeting on November 3, 2011	G.K. Baum Modrall
Friday, October 21, 2011	Circulate First Draft of Preliminary Official Statement and Bond Purchase Agreement	Modrall G.K. Baum

DATE	ACTION	RESPONSIBLE PARTIES			
Friday, October 21, 2011	Comments on Parameters Resolution	All			
Tuesday, November 1, 2011 5:00 p.m.	UNM Valencia Advisory Board Meeting to adopt Parameters Resolution (Advisory Board Conference Room)	G.K. Baum Modrall			
Thursday, November 3, 2011 9:00 a.m., Scholes Hall Roberts Room	Finance and Facilities Committee meets to discuss Finance Plan and Schedule	G.K. Baum Modrall Valencia			
Thursday, November 3, 2011					
Saturday, November 5, 2011	Publish Notice of Adoption of Parameters Resolution	Newspaper			
Tuesday, November 8, 2011	Higher Education Department Agenda Deadline	Valencia Modrall G.K. Baum			
Tuesday, November 15, 2011 (9:00 – 1:00) Sub C	Regents meeting to consider Refunding	All			
Monday, November 21, 2011	Comments due on Preliminary Official Statement and Bond Purchase Agreement	All			
Tuesday, November 22, 2011					
Wednesday, December 28, 2011	Send Notice of Redemption for 1998 Bonds	Modrall			

DATE	ACTION	RESPONSIBLE PARTIES		
Tuesday, December 6, 2011	Thirty-day Limitation of Action Period Expires	Modrall Valencia		
Thursday, December 8, 2011	Higher Education Department meeting to obtain approval for proposed Bond Issue	Valencia Modrall G.K Baum		
TBD	SBOF Staff Briefing in Santa Fe	Valencia Modrall G.K. Baum		
Thursday, December 15, 2011	Circulate Draft of Pricing Resolution, Second Drafts of Preliminary Official Statement and Bond Purchase Agreement	Modrall		
Tuesday, December 20, 2011	State Board of Finance meets to adopt State Board of Finance Parameters Resolution	Valencia Modrall G.K. Baum		
Wednesday, December 21, 2011	All Hands Document and Due Diligence Meeting/Conference Call; Comments on Pricing Resolution and Second Draft of Preliminary Official Statement	All		
Wednesday, December 28, 2011	Send Notice of Redemption for 1998 Bonds	Modrall		
Wednesday January 4, 2012	Distribute Pricing Resolution, Bond Purchase Agreement and Related Documents to Working Group	Modrall Valencia		
Monday, January 9, 2012	Circulate Draft Closing Documents	Modrall		
Tuesday, January 10, 2012	Pricing/Marketing of Bonds	GK Baum		

DATE	ACTION	RESPONSIBLE PARTIES		
Wednesday, January 11, 2012	Report Sale Results and Request Letter of Confirmation from State Board of Finance Staff	Modrall		
Wednesday, January 11, 2012	Advisory Board meeting to adopt Pricing Resolution, sign Bond Purchase Agreement and approved Related Documents	All		
Thursday, January 12, 2012	Deliver Notice of Adoption of Pricing Resolution to Newspaper for publication	Modrall		
Thursday, January 12, 2012	Circulate Draft of Official Statement	G.K. Baum		
Friday, January 13, 2012	Comments due on Official Statement and Closing Documents	Ail		
Monday, January 16, 2012	Publish Notice of Adoption of Pricing Resolution	Modrall		
Tuesday, January 17, 2012	Receive Letter of Confirmation from State Board of Finance Staff	Modrall		
Tuesday, January 17, 2012	Print Official Statement	G.K. Baum		
Monday, January 30, 2012	Signing of Closing Documents by Advisory Board and Officials	Valencia Modrall		
Monday, January 30, 2012	Deliver Bonds to Registrar/Paying Agent for DTC FAST Closing	Modrall		
Tuesday, January 31, 2012	Pre-Closing at Modrall offices	All		

DATE	ACTION	RESPONSIBLE PARTIES
Wednesday, February 1, 2012	Closing (Telephonic) and Redemption of 1998 Bonds and Defease 2002 Bonds	All

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U of New Mexico - Valencia 2002 + 1998 10-20-11 BQ University of New Mexico

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University of New Mexico Valencia Branch Community College General Obligation Bonds Series 2012

Plan B - Bank Qualified Assumed Ratings: Moody's A3

Annual Savings

Refunds Series Bonds of Series 1998 & 2002

Table of Schedules

- 1. Cover Page
- 2. Refunding Savings Schedule
- 3. Debt Service Schedule
- 4. Bond Production Schedule
- 5. Sources and Uses of Funds
- 6. Series 2002 Dabt Service
- 7. Series 2002 Escrow Requirements
- 8. Series 1998 Debt Service
- 9. Series 1998 Escrow Requirements
- 10 . Sigs Escrow

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Neg. Arb. (30,826.13)

				Refunding	Savings Scho	dule			
Date	Principal	Coupon	Interest	Supplemental Interest	P&I	Annual P & I	P & I After Refunding	Prior P&I	Savings / (Cost)
08/01/12	'800,000	3.000	85,400.00		885,400.00	885,400.00	885,400.00	986,387.50	100,987.50
02/01/13	A TOP TO SERVE		73,400,00		73,400.00			*	
08/01/13	935,000	4.000	73,400.00	å	1,008,400.00	1,081,800.00	1,081,800.00	1,128,315.00	46,515.00
02/01/14	000,000		54,700.00		54,700.00	2 - 100000 - 100000 000000			
08/01/14	615,000	4.000	54,700.00		669,700.00	724,400.00	724,400.00	765,285.00	40,885.00
02/01/15	7.775777 K		42,400.00		42,400.00				
08/01/15	675,000	4.000	42,400.00		717,400.00	759,800.00	759,800.00	801,710.00	41,910.00
02/01/16			28,900.00		28,900.00		ABA GRIDUNG PALANDA.		
08/01/16	730,000	4.000	28,900.00		758,900.00	787,800.00	787,800,00	830,210.00	42,410.00
02/01/17	191		14,300.00		14,300.00				
08/01/17	715,000	4.000	14,300.00		729,300.00	743,600.00	743,600.00	785,250.00	41,650.00
	4,470,000		512,800.00	0.00	4,982,800.00	4,982,800.00	4,982,800.00	5,297,157.50	314,357.50
	71.11 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1					Difference in Debt S	ervice		314,357.50
Α	verage Coupon		3.9690			Accrued Interest @	Closing		0.00
N	IIC		2.2696			Adjustments @ Clos			(148.45)
100	IC		2.1854			Issuer Bond Fund C		3	(106,387.50)
	rbitrage Yield		1.8387			Change in DSRF 8a	ilance		0.00
- 77	Bond Years		12,920.00 2.890			Other			0.00
	verage Life occued Interest		0.00	19		Net Future Value Sa	vings ·	_	207,821.55
Is	ssue Date:	12	2/1/2012			P.V. Savings	4.150%	of Refunded Per	194,234.57
8	Settlement Date:		2/1/2012			First Period Savings	after Adjustments		(5,548.45)

U of New Mexico - Valencia 200%

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\$4,470,000 University of New Mexico Valencia Branch Community College General Obligation Bonds Series 2012

T SHI MUREUM		Debt Ser	vice Schedule		
Date	Principal	Rate	Interest	P&1	Annual P & I
08/01/12 02/01/13	800,000	3.000	85,400.00 73,400.00	885,400.00 73,400.00	885,400.00
08/01/13 02/01/14	935,000	4.000	73,400.00 54,700.00	1,008,400.00 54,700.00	1,081,800.00
08/01/14 02/01/15	615,000	4.000	54,700.00 42,400.00	669,700.00 42,400.00	724,400.00
08/01/15 02/01/16	675,000	4.000	42,400.00 28,900.00	717,400.00 28,900.00	759,800.00
08/01/16 02/01/17	730,000	4.000	28,900.00 14,300.00	758,900.00 14,300.00	787,800.00
08/01/17	715,000	4.000	14,300.00	729,300.00	743,600.00
	4,470,000		512,800.00	4,982,800.00	4,982,800.00
Dated	02/01/12		verage Coupon		3.969040 2.269628
Settlement	02/01/12	T A B	IC rbitrage Yield ond Years verage Life		2.185396 1.838671 12,920.00 2.89
	¥	B A	ond Years		

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University of New Mexico Valencia Branch Community College General Obligation Bonds Series 2012

		, (B	ond Production	Schedule		
Settlement Date		02/01/12		35 - 150 m	Non Callable	
Date ·	Principal	Rate	Yield	YTM	\$ Price	
08/01/12	800,000	3.000	0.670		101.161000	809,288.00
08/01/13	935,000	4.000	0.960		104.516000	977,224.60
08/01/14	615,000	4.000	1.290	*	106.645000	655,866.78
08/01/15	675,000	4.000	1.700		107.783000	727,535.28
08/01/16	730,000	4.000	2.090		108.162000	789,582.60
08/01/17	715,000	4.000	2.370		108.359000	774,766.85
	4,470,000					4,734,264.05
			riginal Issue Discou	ınt		0.00
		Pi	emlum			264,264.05
		0	riginal Issue Discou	nt/\$1,000		0.00
		Pr	emium/\$1,000			59.12

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University of New Mexico Valencia Branch Community College General Obligation Bonds Series 2012

Sources and Uses of Funds

Sources			
Principal Amount of Bond Issue Premium Paid for Bonds Funds from Existing Bond & Interest Fund			4,470,000.00 264,264.00 106,387.50
Uses	80 - 1 10 - 100 ³		4,840,651.55
Escrow Securities - Slgs Project Fund - Pay Off Series 1998 at Closing Bond Discount Cost of Issuance	\$10.00 /\$1,000		3,476,100.00 1,280,000.00 44,700.00 40,000.00
Contingency	·	_	-148.4 4,840,651.5

Cost of Issuance

PCL XL error

Subsystem: KERNEL

Error:

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Operator: VendorUnique

Position: 1936

Tab 14



Office of the HSC Senior Executive Financial Officer and University Controller I University of New Mexico MSCO1 1300 Albuquerque, NM 87131

MEMORANDUM

DATE:

October 13, 2011

TO:

David W. Harris

Executive Vice President for Administration

FROM:

Ava J. Lovell, CPA

HSC Senior Executive Financial Officer

& University Controller

RE:

One (1) Action Item and One (1) Information Items for Board of Regents'

Finance & Facilities Committee meeting

Action Item.

The Fiscal Watch Report that is to be submitted to the HED Executive Director through September 30, 2011 will be presented at the November 3, 2011 Finance & Facilities Committee meeting. The Report contains the following information: A comparison of the approved annual operating and plant fund budgets with the current year-to-date information, a comparison of the most current year to prior-year information, a Balance Sheet and a Statement of Cash Flows.

The Report is to be reviewed and approved by the Board of Regents at the November 3, 2011 Finance & Facilities Committee meeting. We have prior approval to distribute the Report at the meeting in lieu of including the report in the bound agenda book.

Information Items.

The Monthly Consolidated Financial Report for the month ended September 30, 2011 will be presented at the November 3, 2011 Finance & Facilities Committee meeting. In order to present the most-timely financial information at this meeting, we have prior approval to distribute the Report at the meeting in lieu of including the report in the bound agenda book.



Office of the HSC Senior Executive Financial Officer and University Controller
1 University of New Mexico
MSCO1 1300
Albuquerque, NM 87131

DATE:

November 3, 2011

TO:

Deborah DeMella Institutional Auditor

New Mexico Higher Education Department

RE:

Financial Status as of September 30, 2011

By signing below, the parties are indicating they are aware that timely and routine processes are in place and being followed and where appropriate, reviewed and approved by the Board of Regents related to:

- Comparison of approved annual operating and plant fund budgets with year-to-date revenues, expenditures and transfers;
- Comparison of current year-to-date to prior year's activities in operating and plant fund revenues, expenditures and transfers;
- Statement of net assets; and
- Statement of cash flow.

University of New Mexico

Don Chalmers, Chair, Board of Regents-F&F or designee	 Date
Don chambers, chan, Board of Regents 1 col designee	Bute
David J. Schmidly, President	
David W. Harris, Chief Financial Officer	 Date

The University of New Mexico

Summary of Operating and Plant Funds (Unadjusted and Unaudited) Fiscal Year 2011-2012 as of September 30, 2011

Operating Funds		FY 2011-2012 Original Budget	FY 2011-2012 Actuals as of September 30, 2011	Percentage Earned/Spent
REVENUES				
Tuition and Mandatory Fees	\$	155,040,852	\$ 81,937,436	52.8%
Student Fees		9,221,315	2,402,638	26.1%
Federal Appropriations		29,953,113	•	0.0%
State Appropriations		274,896,308	65,740,302	23.9%
Local Appropriations		6,785,186	425,388	6.3%
Land & Perm Fund		13,801,821	1,608,321	11.79
Gifts, Grants & Contracts		299,518,231	95,907,418	32.0%
Indirect Cost Recovery		39,789,913	11,058,082	27.8%
Sales & Services		340,803,015	85,495,705	25.1%
Other		65,396,376	9,561,409	14.6%
Use of Balance	-	19,205,040	 -	0.0%
Total Revenue	\$	1,254,411,170	\$ 354,136,699	28.2%
EXPENDITURES				
Instruction	\$	255,628,342	\$ 53,435,467	20.9%
Student Social & Cultural		8,282,766	1,744,382	21.1%
Research		172,784,799	49,812,491	28.8%
Public Service		296,607,137	66,606,938	22.5%
Academic Support		49,427,545	10,905,716	22.1%
Student Services		27,976,916	5,799,249	20.7%
Institutional Support		58,065,146	12,748,142	22.0%
Operations and maintenance of plant		48,568,668	11,915,145	24.5%
Student Aid		99,782,895	57,293,720	57.4%
Internal Services		2,584,741	-	0.0%
Auxiliary Enterprises		57,057,178	15,061,028	26.4%
Intercollegiate Athletics		31,845,000	7,824,715	24.6%
Independent Operations (clinical)		105,413,776	13,286,385	12.6%
Total Expenditures	\$	1,214,024,909	\$ 306,433,378	25.2%
Net Transfers	•	40,386,261	 9,984,586	24.7%
TOTAL EXPENDITURES & TRANSFERS	\$	1,254,411,170	\$ 316,417,964	25.2%
increase in Fund Balance	\$	-	\$ 37,718,735	

Plant Funds		FY 2011-2012 Original Budget		FY 2011-2012 Actuals as of September 30; 2011	Percentage Earned/Spent
REVENUES AND TRANSFERS					
Student Fees	\$	14,501,500	\$	7,582,535	52.3%
UNM Bond Proceeds		2,770,499		-	0.0%
Gifts, Grants and Contracts				1,207,508	N/A
Interest Income				171,666	N/A
State Appropriation		440,000		•	0.0%
State/Local Bonds		37,160,960		808,408	2.2%
Land, Bldg and Equipment Rent		2,008,715		229,184	11.4%
Other		12,136,814		553,979	4.6%
Use of Balance	_	16,010,382		-	N/A
Total Revenues	\$	85,028,870	\$	10,553,280	12.4%
Plant Transfers	_	40,386,261	_	9,984,586	24.7%
Total Revenues and Transfers	\$	125,415,131	\$	20,537,866	16.4%
EXPENDITURES					
Capital Projects	\$	53,175,982	\$	17,054,759	32.1%
Building Renewal		26,168,240		2,770,807	10.6%
Equipment Renewal		8,600,000		475,308	5.5%
Auxiliary and Internal Service Renewal/Replacement		-		224,519	N/A
Debt Retirement		37,470,909		134,597	0.4%
Total Expenditures	\$	125,415,131	\$	20,659,990	16.5%
Decrease in Fund Balance	\$	-	\$	(122,124)	

The University of New Mexico

Comparison of Operating and Plant Funds (Unadjusted and Unaudited) Fiscal Year 2011-2012 as of September 30, 2011

Operating Funds		FY 2011-2012 Actuals as of September 30, 2011	 FY 2010-2011 Actuals as of September 30, 2010	% Variance Increase/ (Decrease)
REVENUES				
Tuition and Mandatory Fees	\$	81,937,436	\$ 74,508,451	10.0%
Student Fees		2,402,638	2,451,960	-2.0%
State Appropriations		65,740,302	73,195,664	-10.29
Local Appropriations		425,388	227,971	86.6%
Endowment Income		•	1,414,844	-100.0%
Land & Perm Fund		1,608,321	852,982	88.6%
Gifts, Grants & Contracts		95,907,418	125,555,332	-23.6%
Indirect Cost Recovery		11,058,082	10,921,131	1.3%
Sales & Services		85,495,705	80,023,106	6.8%
Other		9,561,409	9,552,896	0.19
Total Revenue	\$ _	354,136,699	\$ 378,704,337	-6.59
EXPENDITURES				
Instruction	\$	53,435,467	\$ 53,196,028	0.59
Student Social & Cultural		1,744,382	1,651,397	5.6
Research		49,812,491	51,283,572	-2.9
Public Service		66,606,938	64,151,521	3.8
Academic Support		10,905,716	10,313,334	5.7
Student Services		5,799,249	5,727,232	1.39
Institutional Support		12,748,142	11,993,784	6.39
Operations and maintenance of plant		11,915,145	9,675,733	23.19
Student Aid		57,293,720	55,602,726	3.0
Auxiliary Enterprises		15,061,028	14,657,495	2.89
Intercollegiate Athletics		7,824,715	7,869,008	-0.69
Independent Operations (clinical)		13,286,385	15,941,631	-16.79
Total Expenditures	\$ _	306,433,378	\$ 302,063,461	1.4
Net Transfers	_	9,984,586	 11,583,048	-13.89
TOTAL EXPENDITURES & TRANSFERS	\$	316,417,964	\$ 313,646,509	0.9
Increase in Fund Balance	\$	37,718,735	\$ 65,057,828	

Plant Funds		FY 2011-2012 Actuals as of September 30, 2011		FY 2010-2011 Actuals as of September 30, 2010	% Variance Increase/ (Decrease)
REVENUES AND TRANSFERS					
Student Fees	\$	7,582,535	\$	7,780,921	-2.5%
Gifts, Grants and Contracts		1,207,508		337,134	258.2%
Interest Income		171,666		504,737	-66.0%
State Bonds		808,408			N/A
Land, Bldg and Equipment Rent		229,184		342,377	-33.1%
Other	_	553,979	_	1,084,836	-48.9%
Total Revenues	\$	10,553,280	· s -	10,050,005	5.0%
Plant Transfers	_	9,984,586	_	11,583,048	-13.8%
Total Revenues and Transfers	\$	20,537,866	\$	21,633,053	-5.1%
EXPENDITURES					
Capital Projects	\$	17,054,759	\$	28,461,245	-40.1%
Building Renewal		2,770,807		1,688,235	64.1%
Equipment Renewal		475,308		268,392	77.1%
Auxiliary and Internal Service Renewal/Replacement		224,519		208,365	7.8%
Debt Retirement		134,597		185,487	-27.4%
Total Expenditures	\$	20,659,990	\$	30,811,724	-32.9%
Decrease in Fund Balance	\$	(122,124)	. .	(9,178,671)	

The University of New Mexico Statement of Net Assets (Unaudited and Unadjusted)

As of September 30, 2011	Current assets Cash and cash equivalents Short term investments Accounts receivable, net Patient receivables, net Notes receivable, net Due from related parties Inventories Deferred Outflow of resources-interest rate swaps Derivative instruments-interest rate swaps overlay note Prepaid expenses and other assets Total current assets	261,691,649 50,644,767 5,045,790 5,085,113 61,466,150 7,976,919
Current assets	Current assets Cash and cash equivalents Short term investments Accounts receivable, net Patient receivables, net Notes receivable, net Due from related parties Inventories Deferred Outflow of resources-interest rate swaps Derivative instruments-interest rate swaps overlay note Prepaid expenses and other assets Total current assets	261,691,649 50,644,767 5,045,790 5,085,113 61,466,150 7,976,919
Cash and cash equivalents	Cash and cash equivalents Short term investments Accounts receivable, net Patient receivables, net Notes receivable, net Due from related parties Inventories Deferred Outflow of resources-interest rate swaps Derivative instruments-interest rate swaps overlay note Prepaid expenses and other assets Total current assets	261,691,649 50,644,767 5,045,790 5,085,113 61,466,150 7,976,919
Short term investments	Short term investments Accounts receivable, net Patient receivables, net Notes receivable, net Due from related parties Inventories Deferred Outflow of resources-interest rate swaps Derivative instruments-interest rate swaps overlay note Prepaid expenses and other assets Total current assets	261,691,649 50,644,767 5,045,790 5,085,113 61,466,150 7,976,919
Accounts receivable, net 50,644,767 Patient receivables, net 5,045,790 Notes receivable, net 5,045,790 Due from related parties 61,466,150 Inventories 7,976,919 Deferred Outflow of resources-interest rate swaps overlay note 2,071,329 Prepaid expenses and other assets 23,807,371 Total current assets 556,174,303 Noncurrent assets 8 11,593,962 State Investment Council 158,025,406 Investments 346,019,718 Due From Component Units 0 Other noncurrent assets 6,877,224 Endowed fine art 6,011,486 Capital assets, net 98,079,363 Total noncurrent assets 1,509,320,159 Total assets 2,065,494,462 Liabilities Current liabilities Accounts payable Accrued compensated absences 24,593,919 Other payables 11,037,414 Deferred revenue 24,354,410 Bonds payable - current 12,866,584 Derivative instruments-interest rate swaps Deposits and funds held for others 17,1075,998 Noncurrent liabilities 8 Noncurrent liabilities 8 Noncurrent liabilities 9 Noncurrent liabilities 11,193,097 Total current liabilities 12,20,055,994,622 Total noncurrent assets 12,509,397,397 Total current liabilities 11,193,097 Total current liabilities 11	Accounts receivable, net Patient receivables, net Notes receivable, net Due from related parties Inventories Deferred Outflow of resources-interest rate swaps Derivative instruments-interest rate swaps overlay note Prepaid expenses and other assets Total current assets	50,644,767 5,045,790 5,085,113 61,466,150 7,976,919
Patient receivables, net 5,045,790 Notes receivable, net 5,085,113 Due from related parties 6,1466,150 Inventories 7,976,919 Deferred Outflow of resources-interest rate swaps 8,016,390 Derivative instruments-interest rate swaps overlay note 2,071,329 Prepaid expenses and other assets 23,807,371 Total current assets 556,174,303 Noncurrent assets Notes receivable - noncurrent 118,025,406 Investments 346,019,718 Due From Component Units 0 Other noncurrent assets 6,877,224 Endowed fine art 6,011,486 Capital assets, net 980,792,363 Total noncurrent assets 1,509,320,159 Total assets 24,593,919 Other payables 11,037,414 Deferred revenue 24,334,410 Bonds payable - current 24,354,410 Bonds payable - current 12,886,584 Derivative instruments-interest rate swaps Deposits and funds held for others 11,193,097 Total current liabilities Bonds payable - noncurrent \$433,349,621 Equipment loaned to UNM 4,638,543 Due to component units 141,519,925 Student loan program 13,260,959 OPEB Obligation 19,790,000 Deferred amuities payable 338,123 Total noncurrent liabilities 783,973,169 Idet Assets Invested in Capital Assets, net of Related Debt Restricted for: Nonexpendable: Scholarships 102,944,517 State Investment Council 167,884,460 Grants, bequests and contributions Expendable: Scholarships 102,944,517 State Investment Council 167,884,460 Grants, bequests and contributions 2,701,775 Grants, bequests and contributions 2,0512,084 Unrestricted for: 2,0512,084 Unrestricted 20,512,084 Vear-to-date change in net assets 37,596,611	Patient receivables, net Notes receivable, net Due from related parties Inventories Deferred Outflow of resources-interest rate swaps Derivative instruments-interest rate swaps overlay note Prepaid expenses and other assets Total current assets	5,045,790 5,085,113 61,466,150 7,976,919
Notes receivable, net	Notes receivable, net Due from related parties Inventories Deferred Outflow of resources-interest rate swaps Derivative instruments-interest rate swaps overlay note Prepaid expenses and other assets Total current assets	5,085,113 61,466,150 7,976,919
Due from related parties	Due from related parties Inventories Deferred Outflow of resources-interest rate swaps Derivative instruments-interest rate swaps overlay note Prepaid expenses and other assets Total current assets	61,466,150 7,976,919
Inventories 7,976,919 Deferred Outflow of resources-interest rate swaps 8,016,390 Derivative instruments-interest rate swaps overlay note 2,071,329 Prepaid expenses and other assets 23,807,371 Total current assets 556,174,303 Noncurrent assets Notes receivable - noncurrent 158,025,406 Investments 346,019,718 Due From Component Units 0 other noncurrent assets 6,877,224 Endowed fine art 6,011,486 Capital assets, net 980,792,363 Total noncurrent assets 1,509,320,159 Total assets 2,065,494,462 Current liabilities 2,065,494,462 Liabilities 2,065,494,4	Inventories Deferred Outflow of resources-interest rate swaps Derivative instruments-interest rate swaps overlay note Prepaid expenses and other assets Total current assets	7,976,919
Deferred Outflow of resources-interest rate swaps	Deferred Outflow of resources-interest rate swaps Derivative instruments-interest rate swaps overlay note Prepaid expenses and other assets Total current assets	
Part	rate swaps Derivative instruments-interest rate swaps overlay note Prepaid expenses and other assets Total current assets	8,016,390
Derivative instruments-interest rate swaps overlay note 2,071,329 Prepaid expenses and other assets 23,807,371 Total current assets 556,174,303 Noncurrent assets Notes receivable - noncurrent 11,593,962 State Investment Council 158,025,406 Investments 346,019,718 Due From Component Units 0 Other noncurrent assets 6,877,224 Endowed fine art 6,011,486 Capital assets, net 980,792,363 Total noncurrent assets 1,509,320,159 Total assets 2,065,494,462 State Investment 24,593,919 Other payables 11,037,414 Deferred revenue 24,354,410 Bonds payable - current 22,886,544 Derivative instruments-interest rate swaps Deposits and funds held for others 11,193,097 Total current liabilities Bonds payable - noncurrent 8433,349,621 Equipment loaned to UNM 4,638,543 Due to component units 141,519,925 Student loan program 13,260,959 OPEB Obligation 19,790,000 Deferred annuities payable 333,123 Total noncurrent liabilities 612,897,171 Total liabilities 58,013,169 State Investment Council 167,884,460 Grants, bequests and contributions 6,011,486 Expendable: Scholarships 102,944,517 Grants, bequests and contributions 6,011,486 Expendable: Scholarships 102,944,517 Grants, bequests and contributions 4,509,121 Capital Projects 3,268,164 Debt Service 20,512,084 Vear-to-date change in net assets 37,596,611	Derivative instruments-interest rate swaps overlay note Prepaid expenses and other assets Total current assets	8,016,390
Noncurrent assets	overlay note Prepaid expenses and other assets Total current assets	
Prepaid expenses and other assets 23,807,371 556,174,303 556,174,303	Prepaid expenses and other assets Total current assets	
Noncurrent assets	Total current assets	2,071,329
Noncurrent assets	•	23,807,371
Notes receivable - noncurrent \$11,593,962		556,174,303
Notes receivable - noncurrent \$11,593,962 State Investment Council 158,025,406 Investments 346,019,718 Due From Component Units 0 Other noncurrent assets 6,877,224 Endowed fine art 6,011,486 Capital assets, net 980,792,363 Total noncurrent assets 1,509,320,159 Total assets 2,065,494,462		
State Investment Council 158,025,406 Investments 346,019,718 Due From Component Units 0 Other noncurrent assets 6,877,224 Endowed fine art 6,011,486 26,012,462 Endowed fine art 6,011,486 2,065,494,462 Endowed fine art 5,09,320,159 Total noncurrent assets 1,509,320,159 Total assets 2,065,494,462 Endowed fine art 2,886,549 Endowed fine art 2,886,549 Endowed fine art 2,886,544 Endowed fine art 2,71,075,998 Endowed fine art 2,71,715 Endowed fine art 2,71,715 Endowed fine art 2,71,715 Endowed fine art 2,701,715	Noncurrent assets	
State Investment Council 158,025,406 Investments 346,019,718 Due From Component Units 0 Other noncurrent assets 6,877,224 Endowed fine art 6,011,486 26,012,462 Endowed fine art 6,011,486 2,065,494,462 Endowed fine art 5,09,320,159 Total noncurrent assets 1,509,320,159 Total assets 2,065,494,462 Endowed fine art 2,886,549 Endowed fine art 2,886,549 Endowed fine art 2,886,544 Endowed fine art 2,71,075,998 Endowed fine art 2,71,715 Endowed fine art 2,71,715 Endowed fine art 2,71,715 Endowed fine art 2,701,715	Notes receivable - noncurrent	\$11,593,962
Investments		
Due From Component Units		
Other noncurrent assets		
Endowed fine art		
Capital assets, net		
Total noncurrent assets		
Total assets	·	
iabilities Current liabilities Accounts payable Accrued compensated absences 24,593,919 Other payables 11,037,414 Deferred revenue 24,354,410 Bonds payable - current 12,886,584 Derivative instruments-interest rate swaps Deposits and funds held for others 11,193,097 Total current liabilities Bonds payable - noncurrent 8433,349,621 Equipment loaned to UNM 4,638,543 Due to component units 141,519,925 Student loan program 13,260,959 OPEB Obligation 19,790,000 Deferred annuities payable Total noncurrent liabilities 17 Total liabilities 18 Invested in Capital Assets, net of Related Debt Restricted for: Nonexpendable: Scholarships State Investment Council Grants, bequests and contributions Expendable: Scholarships and other Scholarships and other Capital Projects Debt Service 20,512,084 Unrestricted 374,977,384 Year-to-date change in net assets 37,596,611	•	
Current liabilities	Total assets	2,065,494,462
Accounts payable	iabilities	
Accrued compensated absences 24,593,919 Other payables 11,037,414 Deferred revenue 24,354,410 Bonds payable - current 12,886,584 Derivative instruments-interest rate swaps Deposits and funds held for others 11,193,097 Total current liabilities 171,075,998 Noncurrent liabilities Bonds payable - noncurrent \$433,349,621 Equipment loaned to UNM 4,638,543 Due to component units 141,519,925 Student loan program 13,260,959 OPEB Obligation 19,790,000 Deferred annuities payable 338,123 Total noncurrent liabilities 612,897,171 Total liabilities 783,973,169 fet Assets Invested in Capital Assets, net of Related Debt 8561,115,691 Restricted for: Nonexpendable: Scholarships 102,944,517 State Investment Council 167,884,460 Grants, bequests and contributions 6,011,486 Expendable: Scholarships and other 2,701,775 Grants, bequests and contributions 4,509,121 Capital Projects 3,268,164 Debt Service 20,512,084 Unrestricted 374,977,384 Year-to-date change in net assets 37,596,611	Current Iiabilities	
Other payables 11,037,414 Deferred revenue 24,354,410 Bonds payable - current 12,886,584 Derivative instruments-interest rate swaps 8,016,390 Deposits and funds held for others 11,193,097 Total current liabilities 171,075,998 Noncurrent liabilities \$433,349,621 Equipment loaned to UNM 4,638,543 Due to component units 141,519,925 Student loan program 13,260,959 OPEB Obligation 19,790,000 Deferred annuities payable 338,123 Total noncurrent liabilities 612,897,171 Total liabilities 783,973,169 Set Assets Invested in Capital Assets, net of Related Debt \$561,115,691 Restricted for: Nonexpendable: \$561,115,691 Scholarships 102,944,517 \$102,944,517 State Investment Council 167,884,460 Grants, bequests and contributions 6,011,486 Expendable: \$561,115,691 Capital Projects 3,268,164 Debt Service 20,512,084 <t< td=""><td>Accounts payable</td><td>\$78,994,184</td></t<>	Accounts payable	\$78,994,184
Other payables	Accrued compensated absences	24,593,919
Bonds payable - current 12,886,584 Derivative instruments-interest rate swaps 8,016,390 Deposits and funds held for others 11,193,097 Total current liabilities 171,075,998 Noncurrent liabilities 80nds payable - noncurrent \$433,349,621 Equipment loaned to UNM 4,638,543 Due to component units 141,519,925 Student loan program 13,260,959 OPEB Obligation 19,790,000 Deferred annuities payable 338,123 Total noncurrent liabilities 612,897,171 Total liabilities 783,973,169 fet Assets Invested in Capital Assets, net of Related Debt Restricted for: Nonexpendable: Scholarships 102,944,517 State Investment Council 167,884,460 Grants, bequests and contributions 6,011,486 Expendable: Scholarships and other 2,701,775 Grants, bequests and contributions 4,509,121 Capital Projects 3,268,164 Debt Service 20,512,084 Unrestricted 374,977,384 Year-to-date change in net assets 37,596,611	Other payables	11,037,414
Bonds payable - current 12,886,584 Derivative instruments-interest rate swaps 8,016,390 Deposits and funds held for others 11,193,097 Total current liabilities 171,075,998 Noncurrent liabilities 80nds payable - noncurrent \$433,349,621 Equipment loaned to UNM 4,638,543 Due to component units 141,519,925 Student loan program 13,260,959 OPEB Obligation 19,790,000 Deferred annuities payable 338,123 Total noncurrent liabilities 612,897,171 Total liabilities 783,973,169 Itel Assets Invested in Capital Assets, net of Related Debt Restricted for: Nonexpendable: Scholarships 102,944,517 State Investment Council 167,884,460 Grants, bequests and contributions 6,011,486 Expendable: Scholarships and other 2,701,775 Grants, bequests and contributions 4,509,121 Capital Projects 3,268,164 Debt Service 20,512,084 Unrestricted 374,977,384 Year-to-date change in net assets 37,596,611	Deferred revenue	24,354,410
Derivative instruments-interest rate swaps Deposits and funds held for others 11,193,097	Bonds payable - current	
Deposits and funds held for others		
Noncurrent liabilities		
Noncurrent liabilities Bonds payable - noncurrent \$433,349,621 Equipment loaned to UNM 4,638,543 Due to component units 141,519,925 Student loan program 13,260,959 OPEB Obligation 19,790,000 Deferred annuities payable 338,123 Total noncurrent liabilities 612,897,171 Total liabilities 783,973,169 State Invested in Capital Assets, net of Related Debt Restricted for: Nonexpendable: Scholarships 102,944,517 State Investment Council 167,884,460 Grants, bequests and contributions 6,011,486 Expendable: Scholarships and other 2,701,775 Grants, bequests and contributions 4,509,121 Capital Projects 3,268,164 Debt Service 20,512,084 Unrestricted 374,977,384 Year-to-date change in net assets 37,596,611		~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~
Bonds payable - noncurrent \$433,349,621 Equipment loaned to UNM		171,070,570
Bonds payable - noncurrent \$433,349,621 Equipment loaned to UNM	Noncurrent liabilities	
Equipment loaned to UNM 4,638,543		\$433 349 621
Due to component units		
Student loan program 13,260,959 OPEB Obligation 19,790,000 Deferred annuities payable 338,123 Total noncurrent liabilities 612,897,171 Total liabilities 783,973,169 State Invested in Capital Assets, net of Related Debt Restricted for: Nonexpendable: Scholarships 102,944,517 State Investment Council 167,884,460 Grants, bequests and contributions 6,011,486 Expendable: Scholarships and other 2,701,775 Grants, bequests and contributions 4,509,121 Capital Projects 3,268,164 Debt Service 20,512,084 Unrestricted 374,977,384 Year-to-date change in net assets 37,596,611	- ·	
OPEB Obligation 19,790,000 Deferred annuities payable 338,123 Total noncurrent liabilities 612,897,171 Total liabilities 783,973,169 Total liabilities 5561,115,691 Total liabilities 3561,115,691 Restricted for: Nonexpendable: Scholarships 102,944,517 State Investment Council 167,884,460 Grants, bequests and contributions 6,011,486 Expendable: 2,701,775 Grants, bequests and contributions 4,509,121 Capital Projects 3,268,164 Debt Service 20,512,084 Unrestricted 374,977,384 Year-to-date change in net assets 37,596,611	•	
Deferred annuities payable 338,123 Total noncurrent liabilities 612,897,171 Total liabilities 783,973,169 Total liabil	. 0	
Total noncurrent liabilities 612,897,171 Total liabilities 783,973,169 Net Assets Invested in Capital Assets, net of Related Debt Restricted for: Nonexpendable: Scholarships 102,944,517 State Investment Council 167,884,460 Grants, bequests and contributions 6,011,486 Expendable: Scholarships and other 2,701,775 Grants, bequests and contributions 4,509,121 Capital Projects 3,268,164 Debt Service 20,512,084 Unrestricted 374,977,384 Year-to-date change in net assets 37,596,611		
Total liabilities 783,973,169 Iet Assets Invested in Capital Assets, net of Related Debt Restricted for: Nonexpendable: Scholarships 102,944,517 State Investment Council 167,884,460 Grants, bequests and contributions 6,011,486 Expendable: Scholarships and other 2,701,775 Grants, bequests and contributions 4,509,121 Capital Projects 3,268,164 Debt Service 20,512,084 Unrestricted 374,977,384 Year-to-date change in net assets 37,596,611	· ·	
Section	· · · · · · · · · · · · · · · · · · ·	
Invested in Capital Assets, net of Related Debt Restricted for: Nonexpendable: Scholarships State Investment Council Grants, bequests and contributions Expendable: Scholarships and other Capital Projects Debt Service Year-to-date change in net assets S561,115,691 \$561,115,691 \$561,115,691 \$561,115,691 \$561,115,691 \$561,115,691 \$102,944,517 6,011,486 20,11,486 2701,775 4,509,121 2701,775 2701,775 4,509,121 2701,775 37268,164 374,977,384	Total liabilities	783,973,169
Invested in Capital Assets, net of Related Debt \$561,115,691 Restricted for: Nonexpendable: Scholarships 102,944,517 State Investment Council 167,884,460 Grants, bequests and contributions 6,011,486 Expendable: 2,701,775 Grants, bequests and other 2,701,775 Grants, bequests and contributions 4,509,121 Capital Projects 3,268,164 Debt Service 20,512,084 Unrestricted 374,977,384 Year-to-date change in net assets 37,596,611		
Restricted for: Nonexpendable: Scholarships 102,944,517 State Investment Council 167,884,460 Grants, bequests and contributions 6,011,486 Expendable: Scholarships and other 2,701,775 Grants, bequests and contributions 4,509,121 Capital Projects 3,268,164 Debt Service 20,512,084 Unrestricted 374,977,384 Year-to-date change in net assets 37,596,611		\$561,115,691
Nonexpendable: Scholarships 102,944,517 State Investment Council 167,884,460 Grants, bequests and contributions 6,011,486 Expendable: 2,701,775 Grants, bequests and other 2,701,775 Grants, bequests and contributions 4,509,121 Capital Projects 3,268,164 Debt Service 20,512,084 Unrestricted 374,977,384 Year-to-date change in net assets 37,596,611	• ,	,,
Scholarships 102,944,517 State Investment Council 167,884,460 Grants, bequests and contributions 6,011,486 Expendable: 2,701,775 Grants, bequests and other 2,701,775 Grants, bequests and contributions 4,509,121 Capital Projects 3,268,164 Debt Service 20,512,084 Unrestricted 374,977,384 Year-to-date change in net assets 37,596,611		
State Investment Council 167,884,460 Grants, bequests and contributions 6,011,486 Expendable: 2,701,775 Grants, bequests and other 2,701,775 Grants, bequests and contributions 4,509,121 Capital Projects 3,268,164 Debt Service 20,512,084 Unrestricted 374,977,384 Year-to-date change in net assets 37,596,611	·	102 044 517
Grants, bequests and contributions Expendable: Scholarships and other Grants, bequests and contributions Capital Projects Debt Service Unrestricted Grants, bequests and contributions 3,268,164 20,512,084 4,977,384 Year-to-date change in net assets 37,596,611		
Expendable: Scholarships and other Q701,775 Grants, bequests and contributions Capital Projects Debt Service Unrestricted Year-to-date change in net assets 2,701,775 4,509,121 2,701,775 3,268,164 2,509,121 20,512,084 374,977,384		
Scholarships and other 2,701,775 Grants, bequests and contributions 4,509,121 Capital Projects 3,268,164 Debt Service 20,512,084 Unrestricted 374,977,384 Year-to-date change in net assets 37,596,611	• •	6,011,486
Grants, bequests and contributions 4,509,121 Capital Projects 3,268,164 Debt Service 20,512,084 Unrestricted 374,977,384 Year-to-date change in net assets 37,596,611	•	
Capital Projects 3,268,164 Debt Service 20,512,084 Unrestricted 374,977,384 Year-to-date change in net assets 37,596,611	•	
Debt Service 20,512,084 Unrestricted 374,977,384 Year-to-date change in net assets 37,596,611	Grants, bequests and contributions	4,509,121
Debt Service 20,512,084 Unrestricted 374,977,384 Year-to-date change in net assets 37,596,611	Capital Projects	
Unrestricted 374,977,384 Year-to-date change in net assets 37,596,611		
Year-to-date change in net assets 37,596,611		
		5,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
otal Net Assets \$1 281 521 203	Year-to-date change in net assets	37,596,611
	otal Net Assets	\$1.281.521.203

The University of New Mexico

Cash Flow

(Unaudited and Unadjusted)

For the three months ended September 30, 2011

For the three months ended September 30, 20	11	
Cash flow from operating activities:		
Payments from tuition and fees	\$	76,613,085
Payments from grants and contracts		107,131,809
Payments from insurance and patients		27,236,477
Payments to suppliers		(85,577,246)
Payments for utilities		(6,247,395)
Payments to employees		(141,479,348)
Payments for benefits		(33,907,627)
Payments for scholarships and fellowships		(60,178,863)
Loans issued to students and employees		(4,602,642)
Collection of loans from students and employees		413,578
Payments from sales and services		36,822,779
Other receipts		11,805,089
Net cash used by operating activities	\$	(71,970,304)
Cash flow from noncapital financing activities:		
State appropriations	\$	65,944,654
Local appropriations	·	425,388
Other non operating payments		(350,554)
Net cash provided by noncapital financing activities	\$	66,019,488
Cash flow from capital financing activities:		
Interest pmts on bonds	\$	(340,180)
Capital appropriations	•	615,142
Capital gifts & grants		808,408
Capital Asset activity, net		(426,628)
Other payments		(700,693)
Net cash provided by capital financing activities	\$	(43,951)
Cash flow from investing activities:		
Proceeds from sale & maturity of investments	\$	53,867,978
Purchase of investments	Ψ	(78,671,462)
Investment income		6,097,921
Net cash provided by investing activities	\$	(18,705,563)
Net decrease from cash and cash equivalents	\$	(24,700,330)
Cash and cash equivalents at beginning of year	Ψ	155,069,155
Cash and cash equivalents at beginning of year Cash and cash equivalents end of the second quarter	\$	130,368,825
cush and cush equivalents ond of the second quarter	Ψ	150,500,025

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2012 Full Year Operating Budget	FY 2012 Year-to-Date Actual	Fiscal YTD Favrbl/(Unfavrbl) Budget	Actual to Budget Benchmark Rate 25%
Instruction and General				2070
Tuition and Fees Revenues	155,520,895	85,138,416	(70,382,479)	55%
State/Local Appropriations	245,655,915	60,262,983	(185,392,932)	25%
F & A Revenues	39,500,000	10,994,673	(28,505,327)	28%
Transfers	(56,307,151)	(13,299,795)	43,007,356	24%
Other Revenues	22,505,192	7,132,135	(15,373,057)	32%
Total Instruction and General Revenues	406,874,851	150,228,412	(256,646,439)	37%
Salaries	254,813,079	57,360,003	197,453,076	23%
Benefits	75,065,122	14,936,977	60,128,145	20%
Other Expenses	92,523,914	18,610,357	73,913,557	20%
Total Instruction and General Expenses	422,402,115	90,907,337	331,494,778	22%
Net Instruction and General Revenue/(Expense)	(15,527,264)	59,321,075	74,848,339	
Research				
State/Local Appropriations	9,573,008	2,458,101	(7,114,907)	26%
Transfers	24,411,521	3,340,502	(21,071,019)	14%
Other Revenues	3,775,641	650,946	(3,124,695)	17%
Total Research Revenues	37,760,170	6,449,549	(31,310,621)	17%
Salaries and Benefits	26,788,722	6,211,614	20,577,108	23%
Other Expenses	21,287,670	3,359,507	17,928,163	16%
Total Research Expenses	48,076,392	9,571,121	38,505,271	20%
Net Research Revenue/(Expense)	(10,316,222)	(3,121,572)	7,194,650	
Clinical Operations				
State/Local Appropriations	22,147,400	5,333,560	(16,813,840)	24%
Physician Professional Fee Revenues	100,253,515	25,968,896	(74,284,619)	26%
Hospital Facility Revenues	635,690,439	160,072,366	(475,618,073)	25%
Other Patient Revenues, net of Allowance	86,350,684	21,124,783	(65,225,901)	24%
Mil Levy	90,562,935	22,640,854	(67,922,081)	25%
Investment Income	4,127,881	170,849	(3,957,032)	4%
Gifts	2,425,503	792,970	(1,632,533)	33%
Housestaff Revenues	30,423,113	8,133,582	(22,289,531)	27%
Other Revenues	13,514,329	4,429,813	(9,084,516)	33%
Total Clinical Operations Revenues	985,495,799	248,667,673	(736,828,126)	25%
Salaries and Benefits	546,284,184	135,100,447	411,183,737	25%
Debt Service	7,958,752	2,188,902	5,769,850	28%
Housestaff Expenses	30,451,294	7,553,912	22,897,382	25%
Other Expenses	404,174,207	102,526,377	301,647,830	25%
Total Clinical Operations Expenses	988,868,437	247,369,638	741,498,799	25%
Net Clinical Operations Revenue/(Expense)	(3,372,638)	1,298,035	4,670,673	
Public Service				
State/Local Appropriations	3,526,500	886,289	(2,640,211)	25%
Sales and Services Revenues	10,661,189	3,168,243	(7,492,946)	30%
Gifts	7,056,723	2,713,819	(4,342,904)	38%
Transfers	2,332,108	1,589,419	(742,689)	68%
Other Revenues	4,687,356	1,061,612	(3,625,744)	23%
Total Public Service Revenues	28,263,876	9,419,382	(18,844,494)	33%
Salaries and Benefits	15,434,447	3,612,224	11,822,223	220/
Other Expenses	17,763,069	2,316,592	15,446,477	23%
Total Public Service Expenses	33,197,516	5,928,816	27,268,700	<u>13%</u> 18%
Net Public Service Revenue/(Expense)	(4,933,640)	3,490,566	8,424,206	
			1 ,	

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2012 Full Year Operating Budget	FY 2012 Year-to-Date Actual	Fiscal YTD Favrbl/(Unfavrbl) Budget	Actual to Budget Benchmark Rate 25%
Student Aid				20 / 0
Gifts	2,451,568	309,424	(2,142,144)	13%
State Lottery Scholarship	26,000,000	13,000,000	(13,000,000)	50%
Transfers	13,104,867	5,514,401	(7,590,466)	42%
Other Revenues	7,252,964	218,331	(7,034,633)	3%
Total Student Aid Revenues	48,809,399	19,042,156	(29,767,243)	39%
Salaries and Benefits	3,695,995	874,548	2,821,447	24%
Other Expenses	54,609,260	24,434,392	30,174,868	45%
Total Student Aid Expenses	58,305,255	25,308,940	32,996,315	43%
Net Student Aid Revenue/(Expense)	(9,495,856)	(6,266,784)	3,229,072	
Student Activities				
Fee Revenues	5,705,246	2 402 630	(2 202 607)	400/
Sales and Services Revenues	1,197,410	2,402,639 434,727	(3,302,607)	42%
Transfers	756,679	(16,411)	(762,683) (773,090)	36%
Other Revenues	151,487	45,645	(105,842)	-2% 30%
Total Student Activities Revenues	7,810,822	2,866,600	(4,944,222)	37%
			, ,	
Salaries and Benefits	4,237,719	969,214	3,268,505	23%
Other Expenses Total Student Activities Expenses	3,774,525 8,012,244	827,942	2,946,583	22%
Total Student Activities Expenses	0,012,244	1,797,156	6,215,088	22%
Net Student Activities Revenue/(Expense)	(201,422)	1,069,444	1,270,866	
Auxiliaries and Athletics				
Branch Campuses Auxiliary Revenues	2,728,296	1,147,446	(1,580,850)	42%
Main Campus Auxiliaries Revenues	52,697,732	18,394,455	(34,303,277)	35%
Athletics Revenues	30,342,530_	6,921,493	(23,421,037)	23%
Total Auxiliaries and Athletics Revenues	85,768,558	26,463,394	(59,305,164)	31%
Branch Campuses Auxiliary Expenses	2,736,699	761,068	1,975,631	28%
Main Campus Auxiliaries Expenses	53,983,002	15,266,225	38,716,777	28%
Athletics Expenses	30,836,430	8,067,068	22,769,362	26%
Total Auxiliaries and Athletics Expenses	87,556,131	24,094,361	63,461,770	28%
Net Auxiliaries and Athletics Revenue/(Expense)	(1,787,573)	2,369,033	4,156,606	
Sponsored Programs				
Federal Grants and Contracts Revenues	195,470,345	78,782,113	(116,688,232)	400/
State and Local Grants and Contracts Revenues	37,608,854	6,094,695	(31,514,159)	40% 16%
Non-Governmental Grants and Contracts Revenues	22,642,464	5,819,565	(16,822,899)	26%
Gifts	190,776	-	(190,776)	0%
Transfers	1,740,256	1,716,247	(24,009)	99%
Other Revenues	130,000	-,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	(130,000)	0%
Total Sponsored Programs Revenues	257,782,695	92,412,620	(165,370,075)	36%
Salaries and Benefits	131,907,274	30,379,980	101,527,294	23%
Other Expenses	125,875,421	62,032,640	63,842,781	49%
Total Sponsored Programs Expenses	257,782,695	92,412,620	165,370,075	36%
Net Sponsored Programs Revenue/(Expense)	-	-	-	
Contingencies				
Total Contingency Revenues	11,048,807		11 049 907	901
Total Contingency Expenses	(8,750,983)	-	11,048,807 (8,750,983)	0% 0%
	(0,1,00,000)		(0,730,300)	<u>U70</u>
Net Contingencies Revenue/(Expense)	19,799,790	-	19,799,790 -	
Net Current Revenue/(Expense)	(25,834,825)	58,159,797	123,594,202	
Beginning Net Assets Unrestricted		301,886,009		
Ending Net Assets Unrestricted		360,045,806		

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2012 Full Year Operating Budget	FY 2012 Year-to-Date Actual	Fiscal YTD Favrbl/(Unfavrbl) Budget	Actual to Budget Benchmark Rate 25%
University of New Mexico - Results of Athletics and Auxiliary	Operations			
•	Operations			
Results of Athletics Operations: Athletics Revenues	22 540 704	7.000.070	(0.0 0.4)	
Athletics Transfers	33,516,731 (3,174,201)	7,668,976	(25,847,755)	23%
Total Athletics Revenues	30,342,530	<u>(747,483)</u> 6,921,493	2,426,718 (23,421,037)	24%
Athletics Expenses	00,012,000	0,321,433	(23,421,037)	23%
Salaries and Benefits	13,259,616	3,311,596	9,948,020	25%
Grant-in-Aid	3,837,520	1,414,225	2,423,295	37%
Other Expenses	13,739,294	3,341,247	10,398,047	24%
Total Athletics Expenses	30,836,430	8,067,068	22,769,362	26%
Net Athletics Revenue/(Expense)	(493,900)	(1,145,575)	(651,675)	
Results of Auxiliary Operations:				
VP for Institutional Support Services				
Bookstore Revenues	18,287,250	8,333,190	(9,954,060)	46%
Bookstore Transfers	(1,390,000)	(1,087,501)	302,499	78%
Total Bookstore Revenues	16,897,250	7,245,689	(9,651,561)	43%
Total Bookstore Expenses	17,613,020	6,977,821	10,635,199	40%
Net Bookstore Revenue/(Expense)	(715,770)	267,868	983,638	
Public Events Revenues	4,722,820	75.707	(4,647,113)	2%
Public Events Transfers	149,730	37,434	(112,296)	25%
Total Public Events Revenues	4,872,550	113,141	(4,759,409)	2%
Total Public Events Expenses	4,872,550	485,429	4,387,121	10%
Net Public Events Revenue/(Expense)	-	(372,288)	(372,288)	
Golf Courses Revenues	2,211,054	689,846	(1,521,208)	31%
Golf Courses Transfers	(39,252)	(9,813)	29,439	25%
Total Golf Courses Revenues Total Golf Courses Expenses	2,171,802	680,033	(1,491,769)	31%
Net Golf Courses Revenue/(Expense)	2,171,802	709,058	1,462,744	33%
		(29,025)	(29,025)	
Parking and Transportation Revenues	7,796,976	3,432,133	(4,364,843)	44%
Parking and Trans Transfers	(2,448,807)	(785,021)	1,663,786	32%
Total Parking and Trans Revenues	5,348,169	2,647,112	(2,701,057)	49%
Total Parking and Trans Expenses	5,548,169	1,319,892	4,228,277	24%
Net Parking and Trans Revenue/(Expense)	(200,000)	1,327,220	1,527,220	
Ticketing Services Revenues	526,000	181,791	(344,209)	35%
Ticketing Services Transfers Total Ticketing Services Revenues	348	18,837	18,489	5413%
Total Ticketing Services Revenues Total Ticketing Services Expenses	526,348	200,628	(325,720)	38%
Net Ticketing Services Revenue/(Expense)	526,348	222,234	304,114	42%
		(21,606)	(21,606)	
Faculty Club Revenues	50,000	10,233	(39,767)	20%
Faculty Club Expenses	50,000	13,186	36,814	26%
Net Faculty Club Revenue/(Expense)	-	(2,953)	(2,953)	
Young Ranch Revenues	55,536	-	(55,536)	0%
Young Ranch Expenses	55,536	13,053	42,483	24%
Net Young Ranch Revenue/(Expense)		(13,053)	(13,053)	
Taos & Lawrence Ranch Revenues	50,740	-	(50,740)	0%
Taos & Lawrence Ranch Expenses	50,740	8,149	42,591	16%
Net Taos & Lawrence Ranch Revenue/(Expense)	_	(8,149)	(8,149)	
Total VP for Institutional Support Services Revenues	29,972,395	10,896,836	(19,075,559)	36%
Total VP for Institutional Support Services Expenses	30,888,165	9,748,822	21,139,343	32%
Net VP for Institutional Support Services Revenue/(Expense)	(915,770)	1,148,014	2,063,784	

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2012 Full Year Operating Budget	FY 2012 Year-to-Date Actual	Fiscal YTD Favrbl/(Unfavrbl) Budget	Actual to Budget Benchmark Rate 25%
VP for Student Affairs				
AVP Ops/Student Life Revenues	2,321,986	704.040	(4.507.040)	
AVP Ops/Student Life Transfers	(263,006)	724,940 (25,815)	(1,597,046)	31%
Total AVP Ops/Student Life Revenues	2,058,980	699,125	237,191 (1,359,855)	10% 34%
Total AVP Ops/Student Life Expenses	2,058,980	513,223	1,545,757	25%
Net AVP Ops/Student Life Revenue/(Expense)	-	185,902	185,902	2070
Housing and Food Service Revenues	1E 100 000	4 400 004	(44.004.770)	
Housing Transfers	15,189,800 (3,813,600)	4,108,024 (1,238,245)	(11,081,776)	27%
Total Housing and Food Service Revenues	11,376,200	2,869,779	2,575,355 (8,506,421)	32%
Total Housing and Food Service Expenses	11,376,200	2,610,616	8,765,584	25%
Net Housing and Food Service Revenue/(Expense)	-	259,163	259,163	23%
Chudant Haalib Cantas Davisson	7			
Student Health Center Revenues Student Health Center Expenses	7,034,342	2,873,879	(4,160,463)	41%
· ·	7,134,342	1,598,096	5,536,246	22%
Net Student Health Center Revenue/(Expense)	(100,000)	1,275,783	1,375,783	
Student Union Revenues	2,181,266	1,018,653	(1,162,613)	47%
Student Union Expenses	2,181,266_	666,578	1,514,688	31%
Net Student Union Revenue/(Expense)	-	352,075	352,075	
Lobo Cash Revenues	(181,562)	25,000	206,562	-14%
Lobo Cash Expenses	77,938	38,788	(39,150)	50%
Net Lobo Cash Revenue/(Expense)	(259,500)	(13,788)	245,712	
Tabel VD for Object Affician December				
Total VP for Student Affairs Revenues Total VP for Student Affairs Expenses	22,469,226	7,486,436	(14,982,790)	33%
Total VP for Student Affairs Expenses	22,828,726	5,427,301	17,401,425	24%
Net VP for Student Affairs Revenue/(Expense)	(359,500)	2,059,135	2,418,635	
Provost and Other Units				
CE Conference Ctr Revenues	246,000	32,966	(213,034)	13%
CE Conference Ctr Transfers	(67,389)	,	67,389	0%
Total CE Conference Ctr Revenues	178,611	32,966	(145,645)	18%
Total CE Conference Ctr Expenses	178,611	71,824	106,787	40%
Net CE Conference Ctr Revenue/(Expense)	*	(38,858)	(38,858)	
Art Museum Revenues	<u>-</u>	1,130	1,130	N/A
Art Museum Expenses		-	-	N/A
Net Art Museum Revenue/(Expense)	_	1,130	1,130	
Maxwell Museum Revenues	25,000	8,640	(16,360)	250/
Maxwell Museum Expenses	25,000	2,197	22,803	35% 9%
Net Maxwell Museum Revenue/(Expense)	-	6,443	6,443	970
Other Revenues				
Other Revenues Other Expenses	52,500	(31,553)	(84,053)	-60%
Net Other Revenue/(Expense)	<u>62,500</u> (10,000)	16,081 (47,634)	46,419	26%
(,p _,,,	(10,000)	(47,004)	(37,634)	
Total Provost and Other Units Revenues	256,111	11,183	(244,928)	4%
Total Provost and Other Units Expenses	266,111	90,102	176,009	34%
Net Provost and Other Units Revenue/(Expense)	(10,000)	(78,919)	(68,919)	
According to the second				
Auxiliary Totals	50 007 700			
Total Auxiliary & Concessions Revenues	52,697,732	18,394,455	(34,303,277)	35%
Total Auxiliary & Concessions Expenses	53,983,002	15,266,225	38,716,777	28%
Net Auxiliary Revenue/(Expense)	(1,285,270)	3,128,230	4,413,500	
Net Athletics Revenue/(Expense)	(493,900)	(1,145,575)	(651,675)	
Not Auditor and Athletics Days				
Net Auxiliary and Athletics Revenue/(Expense)	(1,779,170)	1,982,655	3,761,825	
Net Branch Campuses Aux Revenue/(Expense)	(8,403)	386,378	394,781	
Net All Auxiliary and Athletics Revenue/(Expense)	(1 707 570)	2 200 000		
TOUR MARINARY AND MUNICIPOS (NEVERTIDE/(EXPENSE)	(1,787,573)	2,369,033	4,156,606	

*Includes Hospital Debt

	Fixed of	ć	Ouistanding		Interest Payment	Interest Payment	
UNIM Bond Issue		Ametri	7 - 15 July 1, 2011	June 1, 2012	3011 - 2011	2047	Pindpal & Merest
Sub Lien System Imp Revenue Bonds Series 2007 A&B: Interest Range 4.096% to 5.28% Final Maturity Year 2036	Fixed Rate	\$136,710,000	\$135,080,000	\$1,695,000	\$3,353,477	\$3,353,477	\$8,401,954
Sub Lien System Imp Revenue Bonds Series 2005: Interest Range 3.0% to 5.0% Final Maturity Year 2035	Fixed Rate	\$125,575,000	\$120,255,000	\$1,925,000	\$2,759,914	\$2,759,914	\$7,444,828
(1) Hospital Mortgage Revenue Bonds Series 2004 : Interest Range 2.0% to 5.0% Final Maturity Year 2031	Fixed Rate	\$192,250,000	\$174,435,000	\$4,790,000 (\$2,365,000 paid 7/1/2011) (\$2,425,000 due 1/1/2012)	\$4,167,650 (due 1/1/2012)	\$4,119,659 (due 7/1/2012)	\$13,077,309
Sub Lien System Rfdg Revenue Bonds Series 2003 A: Interest Range 2.0% to 5.25% Final Maturity Year 2018	Fixed Rate	\$21,660,000	\$10,585,000	\$1,025,000	\$277,856	\$277,856	\$1,580,712
Sub Lien System Rfdg Revenue Bonds Series 2003 B&C: Interest Range 1.35% to 5.625% Final Maturity Years B 2024 & C 2033	Fixed Rate	\$11,805,000	\$10,035,000	\$260,000	\$244,717	\$244,717	\$749,434
Sub Lien Sys Rfdg & Imp Revenue Bonds Series 2002 A: Interest Range 2.5% to 5.25% Final Maturity Year 2032	Fixed Rate	\$58,860,000	\$45,525,000	\$2,155,000	\$1,160,482	\$1,160,482	\$4,475,964
Sub Lien Sys Rfdg Revenue Bonds ⁽²⁾ Series 2002 B: Synthetic Fixed Int. Rate 3.83% Final Maturity Year 2026	Variable Rate	\$25,475,000	\$24,890,000	\$1,370,000	\$476,643	\$476,643	\$2,323,286
Sub Lien System Rfdg Revenue Bonds ⁴⁴ Series 2002 C: Synthetic Fixed Int. Rate 3.94% Final Maturity Year 2030	Variable Rate	\$37,840,000	\$36,390,000	\$365,000	\$716,883	\$716,883	\$1,798,766
Sub Lien System Imp Revenue Bonds Series 2001: 1/2 True Variable Rate ⁽²⁾ Series 2001: 1/2 Synthetic Fixed Interest at 4.16% and 4.19% Final Maturity Year 2026	Variable Rate 4.19%	\$52,625,000	\$39,185,000	\$1,915,000	\$783,700	\$783,700	\$3,482,400
Sub Lien System Imp Revenue Bonds Series 2000: Interest Range 4.65% to 6.35% Final Maturity Year 2019	Fixed Rate	\$53,231,671	\$3,304,714	\$546,584	\$284,208	\$284,208	\$1,115,000
System Revenue Rfdg Bonds Series 1992 A: Interest Range 5.6% to 6.25% Final Maturity Year 2021	Fixed Rate	\$36,790,000	\$20,830,000	\$1,630,000	\$626,938	\$626,938	\$2,883,876
Grand Total Note: See attached matrix for funding sources.		\$752,821,671	\$620,514,714	\$17,676,584	\$14,852,468	\$14,804,477	\$47,333,529

⁽¹⁾ Source: UNIM Hospital - Both UNIM Hospital Principal and Interest payments are made on July 1st and January 1st. (2) Variable Rate bonds reflect the actual synthetically fixed interest rate that UNIM pays. It is noted that all ranges of interest rates and final maturity dates are reflective of Serial bonds.

FY12 UNM Debt Service - Source of Funds

As of September 30, 2011

Student Fees- Facility
Student Fees - IT
Capitalized Interest
Parking Services
UNM Hospital
Bookstore
Housing & Dining Services
Building R&R
Real Estate Department
Physical Plant Department
Telecommunications
Athletics
Information & Technology Funds
KNME
Popejoy Hall
MTTC Bldg.
Opto Bldg (CHTM Res Park)
CRTC
Continuing Education
Equipment R&R
Golf Course - North & South
HSC
UNM Development Revenues

Interest on Reserve Funds

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University of New Mexico Instruction and General by Organization Level 3 For the three month period ended September 30, 2011 Preliminary and Unaudited

MAIN CAMPUS					8						(8)		(B-A)
Organization Level 3	Pooled		Transfere	Other	Total Damanage	Faculty	Staff	Student	,	Other	Total	Net Revenues/	
Instruction, Academic and Student Support Services	760				oral inchcillacs	Salaties	odiaries	Salanes	Benefits	Expenditures	Expenditures	(Expenditures)	Burn Rate
AAC UNM West and Branch Initiatives		779,849	0	20,039	799,888	64,896	47.299	2.700	30 445	CBF CT	947 793	580 466	911
100	13,06/	13,060,688	68,527	86,033	13,228,248	405,256	970.151	236.947	11 950	197.365	1821,123	11 406 560	07.22.12
		3,480,554	30,812	214	3,511,580	314,456	353,426	37.399	0	151 718	856 999	2 654 581	24 40%
NG.		983,373	950	13	984,336	163,350	31,521	8,960	0	25.834	229.665	754.677	73 33%
- 1		1,032,175	124,600	4	1,156,779	46,250	110,590	30,271	0	9,682	196.794	986 656	17.01%
999		5,816,313	(20,000)	27,960	5,794,273	36,993	1,085,982	43,882	0	311,096	1,477,953	4316320	25 51%
- 1	10,092,335	2,335	(33,500)	30,314	10,089,149	1,324,679	537,232	173,404	9,656	353,145	2,398,116	7,691,033	23.77%
	•	8,418	(35,196)	10,529	51,303,751	6,801,285	1,829,174	2,105,417	998'99	696,749	11,499,480	39,804,271	22.41%
		8,861,163	21,563	660'9	8,888,825	1,502,476	378,752	150,990	28,883	323,369	2,384,470	6,504,355	26.83%
AEV College of Education	12,849,002	3,002	11,394	3,018	12,863,414	1,869,710	643,422	218,584	2,837	203,602	2,938,155	9,925,258	22.84%
	13,770,527	1,527	(14,003)	5,639	13,762,163	2,018,755	550,854	209,063	22,134	310,165	3,110,971	10,651,192	22.61%
10		3,416	(60,350)	6,914	8,599,980	1,008,514	489,452	43,025	359	427,358	1,968,708	6,631,273	22.89%
Abin School of Architecture Planning	Name and Address of the Owner, when the Owner, which t	3,919	0	7,489	3,111,408	521,902	115,465	47,952	603	009'99	752,522	2,358,886	24.19%
M.	12,986,071	5,071	37,752	185,002	13,208,825	284,062	989,458	110,198	0	1,448,777	3,132,494	10,076,331	23.72%
	987	987,044	(57,078)	10,825	940,791	410,108	337,618	28,967	755,76	318,246	1,192,496	(251,704)	126.75%
999	, G	1,742	(534,429)	1,322	8,661,635	926'59	798,380	85,267	84,091	206,163	1,239,837	7.421,798	14.31%
1		90,993	0	0	90,993	0	13,182	0	0	8,687	21,869	69,124	24.03%
	allocate called	/96'	(29,200)	40,845	1,390,212	48,271	179,483	11,598	0	107,062	346,413	1,043,799	24.92%
	The second second second	638,805	0	881	989'689	0	157,060	12,279	10,778	35,082	215,198	424,489	33.64%
	1,598.064	190	3,363	20,940	1,622,367	1,448	306,191	56,820	9,184	105,294	478,936	1,143,431	29.52%
ACD Associate VP Student Life	813	813,286	6,833	10,772	830,891	798	194,041	2,306	0	70,609	267,754	563,138	32.22%
Sub-Total	161,499,305	305	(507,962)	487,854	161,479,197	17,189,143	10,118,733	3,616,028	375,342	5,448,985	36,748,231	124,730,966	22.76%
*													
- 8	4,931,518	,518	(1,458)	6	4,930,150	136,675	747,770	14,448	0	185,232	1,084,126	3,846,024	21.99%
206	8,895,256	992	91,950	1,353,331	10,340,537	2.843	1,287,027	27,376	2,000	769,857	1,592,102	8,748,435	15.40%
	6,848,957	756'	1,211,750	385,014	8,445,721	0	1,806,638	37,676	59,873	243,927	2,148,114	6,297,607	25.43%
8		100	0	67,350	1,832,450	0	380,532	7,720	0	61,938	450,189	1,382,261	24.57%
ALC: VP Institutional Support Services	75	205	1,044	57,193	34,410,739	0	2,479,774	8,114	674,821	5,689,618	8,852,327	25,558,413	25.73%
		103	0	0	161,063	0	40,127	0	0	225	40,352	120,701	25.05%
AEA VO Inciti tional Advancement	0,103,007	/00	141,/42	4,015	8,309,424	0	155,635	7,560	0	1,986,125	2,149,321	6,160,103	25.87%
		n	1,200,000	0	1,200,000	0	202,296	9.102	0	99,476	310,874	889,126	25.91%
Sub-Total	65,118,053	053	2,645,028	1,866,994	69,630,075	139,518	7,099,798	111,996	739,694	8,536,398	16,627,404	53,002,671	23.88%
Non-Operational Monitoring Activity	(1)		(14,235,392)	2,455,880	(159,835,629)	0	0	0	0	(239,403)	(239,403)	(159,596,226)	
Fings benefit Foot	41,628,142		(21,968)	0	41,606,174	21,725	0	0	9,062,762	0	9,084,486	32,521,688	
Sub-Total	(106,427,974)		(14,257,360)	2,455,880	(118,229,455)	21,725	0	0	9,062,762	(239,403)	8,845,083	(127,074,538)	
						- 1							
IOIAL MAIN CAMPUS	120,189,384	62	(12,120,295)	4,810,727	112,879,817	17,350,386	17,218,531	3,728,024	10,177,798	13,745,980	62,220,718	50,659,099	

University of New Mexico Instruction and General by Organization Level 3 For the three month period ended September 30, 2011 Preliminary and Unaudited

INTERCOLLEGIATE ATHLETICS				(4)						(B)		(B-A)
Organization Level 3	Pooled Revenues	Transfers	Other Revenues	Total Revenues	Faculty Salaries	Staff	Student	2000	Other	Total	Net Revenues/	1
ADC Intercollegiate Athletics	692,772	75,000	0	767,772	0	111,604	Oalance		187 296	cxpenditures 298 899	(Expenditures)	Burn Rate
TOTAL ATHLETICS	692,772	75,000	0	767,772	0	111,604	0	0	187,296	298,899	468,873	38.93%
RRANCH CAMBINES												
AGA Gallup Branch	1,995,696	(765,335)	32,131	1.262.492	870 143	762 036	24 222	7 222	616 660	000 770 0	300	
	352,583	(41,866)	43,283	354,000	260,336	233,084	3,350	9.269	127.697	5,27,175,2 633,736	(1,014,901) (257,9736)	180.39%
- 8	611,947	(63,131)	73,769	622,584	356,379	395,782	11,833	361	310.922	1.075.278	(452 693)	475.02%
AGD Valencia County Branch	953,050	(641,748)	43,566	354,868	496,449	574,446	37,542	2,541	447,143	1,558,121	(1,203,253)	439.07%
Sub-Total	3,913,275	(1,512,080)	192,748	2,593,944	1,983,307	1,965,349	73,947	19,503	1,502,420	5,544,527	(2,950,583)	213.75%
Fringe Benefit Pool	5,599,530	(32,289)	0	5,567,241	0	0	0	1,039,650	0	1,039,651	4.527.590	
Sub-Total	5,599,530	(32,289)	0	5,567,241	0	0	0	1,039,650	0	1,039,651	4,527,590	
TOTAL BRANCH CAMPUSES	9,512,805	(1,544,369)	192.748	8.161.185	1 983 307	1 965 349	73 047	4 050 454	4 500 400	TT 7 00 0		
					Incinaci.	etorope'i	19661	1,009,104	1,502,420	0,584,1//	1,5/7,007	***************************************
HEALTH SCIENCES CENTER				€						(B)		(B-A)
Ornanization I evel 3	Pooled	,	,		Faculty	Staff	Student		Other	Total	Net Revenues/	
AFA VP HSC Administration	40 563 500	Hansters (4 200 000)	- 1	otal Kevenues	Salaries	Salaries	Salaries		Expenditures	Expenditures	(Expenditures)	Burn Rate
4FR HS (fibrationand information Contor	10,303,309	(1,736,900)	70,324	16,897,013	205,980	2,108,150	5,601	5,257	1,468,016	3,793,004	13,104,009	22.45%
AEC School of Modining	2, 135,89U	70,175	6,112	5,232,177	244,381	534,278	13,065	4,606	534,983	1,331,303	3,900,874	25.44%
	44,195,351	(1,403,595)	8,570	42,801,335	5,669,645	3,351,079	197,913	47,759	745,262	10,011,658	32,789,677	23.39%
	0,223,050	300,267	700	6,524,017	994.319	340,737	47,750	26,000	112,403	1,551,209	4,972,808	23.78%
	9,418	(4.574)	00/	5,625,386	882,086	250,061	83,821	77,553	122,552	1,416,073	4,209,313	25.17%
Sub-Total	79,902,747	(2,904,381)	86,406	77,084,772	7,996,411	6.584.305	348 140	191 175	2 983 246	48 403 247	4,044	0.00%
Non-Onceptional Monitories Assists	1902 676 027	0.400.000									271 0010	0/04:67
Filme Penefit Proj	(12,343,130)	3,190,283	2,042,253	(67,105,200)	0	0	0	0	191,446	191,446	(67,296,646)	A STATE OF THE PROPERTY OF T
no siona agus	001,244,000	(4,039)	0	18,440,057	n	0	0	3,508,849	0	3,508,849	14,931,218	
sub-total	(959,108,55)	3,194,250	2,042,253	(48,665,133)	0	0	0	3,508,849	191,446	3,700,295	(52,365,428)	
TOTAL HEALTH SCIENCES CENTER	26,001,111	289,869	2,128,659	28,419,639	7,996,411	6,584,305	348.140	3.700.024	3.174.662	21 803 542	6 616 097	
GRAND TOTAL	156,396,072	(13,299,795)	7,132,135	150,228,412	27,330,103	25,879,789	4,150,111	14,936,976	18,610,358	90,907,337	59,321,076	

University of New Mexico Compensation Analysis by Organization Level 3 For the three month period ended September 30, 2011 Preliminary and Unaudited

Organization Level 3	YTD Faculty Compensation	YTD Staff Compensation	Ga Ta Ra Pa Salaries	Housestaff	Student	Workshutv	YTD Student	YTD Total	% of YTD	Full Year Compensation Original	Benchmark (25%) YTD Total Compensation
coloradian Anadami and Stations									1000	Taffana	in total product
manufactor, Academine and Saturem Support Services (main & branches) Anderson Schools of Management ASM	1 528 037	400 024	430 370		470.00	900				- 11	
Assoc. VP Student Life (ACD)	2556	1 400 249	U 10,001		30,010	997	169,474	2,089,335	3.83%		21,02%
Associate VP Student Services	4 730	407.004	610,01		240,469	970'9	3/0,587	1,777,658	3.25%	8,587,275	20.70%
College of Arts Spierce & S	BC7'4	491,294	10,600		208,923	4,761	224,284	725,817	1,33%	2,810,889	25.82%
College of Education COC	1,112,613	2,130,346	2,098,492	64,684	97,267	18,918	2279,361	11,548,321	21,09%	49,752,576	23.21%
Californ of the Ass CE's	1,910,9/0	/46,909	222,658		24,501	3,095	250,253	2,908,133	5,31%	13,177,329	22.07%
Complete Als CIA	1,383,917	568,849	156,835		39,486	8,678	205,000	2,157,765	3,94%	778,778,977	22.91%
Confinuing Education Confied	412,850	548,918	13,342		15,539	88	28,967	980,736	1,81%	4,324,954	22.91%
Exemped University Ext Univ	65,936	786,380	24,242	THE PERSON NAMED IN	57,397	3,628	192,287	949,583	1,73%	3,924,315	24.20%
Galup Branch	870,143	786,312	1,666	*	10,717	10,864	23,247	1,679,702	3.07%	8,945,880	18,78%
LosAlemos branch	260,536	251,705	999		1,514	1,170	3,350	515,881	0.94%	2316,325	22.26%
Provost Administrative Units	405,556	1,544,680	230,554	7,438	77,494	16,281	331,767	2 282 002	4.17%	10.972.513	20.80%
School of Arthrecture Planning	554,274	123,543	39,583		8,534	1,548	49,644	727,461	1,33%	3267.451	22.26%
School of Engineering	2,178,604	632,306	241,132	3,301	68,744	2,178	315,355	3,126,265	5,71%	14,585,526	21.43%
School of Law LAW	1,088,174	586,736	September 1880	The second	46.247	3,065	49,332	1,824,236	3.33%	8 751 175	20.85%
School of Public Administration	163,350	31,521	9,681	٠	1.610	493	11.783	206.654	0.38%	989 463	20.80%
Tack Branch	358,348	420,030		Charles and	6,772	14,433	21,204	799 581	1.46%	3.851.780	207.05
University College UC	331,456	353,426	28,199		4,921	4,411	37,531	722 413	132%	3.348.120	21.58%
University Libraries	871,144	1,015,713	43,098	White district	86,741	28.354	158,194	1.845.051	337%	8 086 871	22.87%
UNM West and Branch Initiatives	64,896	47,299	2,700				2,700	114.895	0.21%	472 575	24.31%
Valencia County Branch	496,613	633,190	6,750	-0-00	19,695	19,742	46,187	1,176,190	2.15%	6,185,673	19,01%
VP Livision of Enrollment Mgmt	36,993	1,099,634	2,800		54,369	15,182	72,350	1,208,978	2.21%	5,032,445	24.02%
White Equity & inclusion	46,250	119,168	24,274	12,588	3,017	909	40,494	206,913	0.38%	705,121	29.29%
VP Resign a Econ Devoring	332,573	881,542	7,766	6,875	24,803	852	40,296	1,254,412	2.29%	4,918,047	25.51%
V VICOR ATHER MEDICAL PROPERTY OF THE PROPERTY	48.271	184,896	2,717		12,014	1,659	16,389	249,556	0.46%	1,136,771	21,95%
Vr Ordeen Attains independ Dept ID	21,450	1,038,659	1,334	•	75,903	3,478	80,714	1,140,823	2.08%	5,063,107	22.53%
Sub-rotal Instruction, Academic and Student Support Services (Main & Branches)	20,351,016	16,972,323	3,315,510	94,896	1,333,513	169,812	4,913,731	42,237,070	77.14%	190,610,051	22.16%
University Support Services (Main & Branches)											
Controler		1,910,887	1,600	4	36,485	1,631	39,716	1,950,603	3.56%	7,862,217	24.81%
EVP Agranist abon	24,567	No. of Persons in Column 2 is not the Persons in Column 2 is n	1,343,242	28,753	623	· Control of	1,370,617	1,385,184	2.55%	6,013,994	23.18%
Government & Community Relations		39,766	•		4,335		4,335	44,101	0.08%	186.247	23.68%
Human Resources HR	は 一日 一日 一日 日本	380,532	1,368	September 1	6,243	25	7,720	388,251	0.71%	1,582,461	24.85%
Information Technology Services		155,635	•	•	7,077	483	7,560	163,196	0.30%	743.642	21.95%
President Admin Indpnt Office	136,675	803,523	3,000		10,261	1,187	14,448	584,647	1.74%	4.264.623	22.39%
VP Institutional Adv College	*	202,296	•	•	8,180	922	9,102	211,397	0,39%	898.845	23.52%
VP Institutional Support Services	2,600	4,456,908		The state of the s	190,096	4,118	194,214	4.663.722	8.50%	19 883 051	23.41%
Sub-Total University Support Services (Main & Branches)	163,843	7,949,546	1,349,210	26,753	263,298	8,452	1,647,713	9,761,101	17,83%	41,420,080	23.57%
Intercollegiate Athletics Intercollegiate Athletics	٠	2 679 516	33 978		62.07	60	75 900	2.7EE 440	2002	40 755 540	2000
Sub-Total Intercollegiate Athletics		2 679 516	33 978		40 722	1 102	75 000	9755 419	2,000	040,002,01	97 10.02 97 10.02
					TO I OL	1,150	rojane.	6,133,410	0.00	046'667'01	7,10,07
TOTAL Compensation (Main, Branches and Athletics)							Contract of the Contract of th		-0		

University of New Mexico Compensation Analysis by Organization Level 3 For the three month period ended September 30, 2011 Preliminary and Unaudited

nchmark I YTD Total pensation tal Budget	22.16% 20.87% 23.49% 25.17% 28.06% 19.05%	
	396,915 023,615 1676,144 140,928 140,9428 1512,878 369,069 503,033	601 157 781
Full Ye Compensation Budge		109
% of YTD Total		
YTD Total Compensation	1,417,678 1,674,786 863,689 62,392,996 2,706,640 478,775 59,534,534	139.665.747
YTD Student Compensation	47,750 10,237 13,052 7,211,621 18,232 1,408,97 7,408,97 1,608,97	20,119,629
Workstudy	689 1,553 827 6,523 - 584 10,776	777,447
Student Employment	3,024 15,075 12,228 2,13,478 5,404 15,408 264,618	2,632,543
Housestaff Salaries	22.251 - 6.835.664 7.870 6.865,785 1,460,961	8,448,395
Ga Ta Ra Pa Salaries	44,037 63,442 155,955 4,956 268,392 3,294,156	8,261,245
YTD Staff Compensation	363,489 560,484 606,253 11,746,689 2,288,317 360,099 15,965,331 10,303,194	53,869,910
YTD Faculty Compensation	1,006,439 861,861 244,381 33,444,69 390,091 102,681 36,160,232 9,001,117	65,676,208
Organization Level 3	ealth Sciences Center College of Nursing College of Planmacy Lollege of Planmacy HS Libray and Informatics Center Storio of Medicine VP HSC Administration HSC VP Research FSC VP Research	TOTAL Compensation (Main, Branches, Athletics, HSC and Sponsored Programs)
	YTD Faculty YTD Staff Ga Ta Ra Pa Housestaff Student YTD Student Compensation Compensation Compensation Compensation Compensation Compensation Compensation	3 Full Year Full Year Compensation Compen



Division of Human Resources Office of the Vice President

MEMORANDUM

TO:

Members of the Board of Regents Finance & Facilities Committee

THROUGH:

David W. Harris, Executive Vice President Administration, COO/CFO

FROM:

Helen Gonzales, Vice President of Human Resources

DATE:

November 3, 2011

SUBJECT:

Appointments to the UNM Labor Management Relations Board

The University of New Mexico (UNM) Labor Management Relations Resolution adopted by the Board of Regents in May 2006 created the University of New Mexico Labor Management Relations Board and provided for the annual appointment of three Labor Management Relations Board members to serve annual terms. One member is appointed by the Board of Regents on the recommendation of individuals representing Labor, one member is appointed by the Board of Regents on the recommendation of Management, and the third member is appointed by the Board of Regents on the recommendation of those first two members.

The Management recommendation is Jeffrey S. Landers. Jeffrey Landers has over 30 years of experience working as an attorney. He worked for our current management representative on the Board, George Cherpelis, for six of those years. He was also the Assistant County Attorney, Assistant County Attorney Senior, and County Attorney for Bernalillo County. He has just recently gone into private practice. I recommend that the Board of Regents appoint Jeffrey Landers to serve a one year term on the Labor Management Relations Board.

The Unions that represent Labor have requested the re-appointment of Carol Oppenheimer as the Labor Representative. I recommend that the Board of Regents reappoint Carol Oppenheimer to serve a succeeding term on the Labor Management Relations Board for a period of one year.

Once the appointments for Management and Labor have been made, those representatives will identify a neutral representative that will be brought before you for appointment.

JEFFREY S. LANDERS

EDUCATION:

Nazareth College of Rochester

Pittsford, New York

BA in Political Science

Degree Conferred May 1986

Creighton University School of Law

Omaha, Nebraska

Juris Doctor

Degree Conferred May 1990

LICENSES:

All New Mexico State Courts

October 2, 1990

United States District Court For the District of New Mexico

March 8, 1991

United States Court of Appeals

For the Tenth Circuit

August 28, 1991

United States Supreme Court

November 1, 2004

WORK EXPERIENCE:

September 1, 2005 to September 23, 2011 - County Attorney for Bernalillo County, New Mexico

In this position, my primary job duties are to represent the Board of County Commissioners, departments of county government, upper management and other elected officials (Sheriff, County Clerk, Assessor and Treasurer) in a wide variety of matters. The capacity of the representation ranges from serving as trial counsel in complex litigation involving multi-million dollar public works and construction projects to litigation involving class actions under the Prison Litigation Reform Act to daily guidance on labor and employment matters. I also oversee the legal work of attorneys and law firms assigned by the County's insurance carrier and I am actively involved in forming litigation strategy in these cases. I supervise the work of the Deputy County Attorney, Assistant County Attorneys and support staff. My practice areas include: labor, employment, civil rights, procurement, property taxation, constitutional law, elections law, contract law, and a variety of statutorily imposed duties and responsibilities for elected officials and local governments. My practice areas of choice are labor, employment and civil rights law. I provide guidance to collective bargaining teams on developing bargaining strategies with five (5) bargaining units. I advise departments on the requirements of state and federal employment laws.

February 1996 to August 2005 — Assistant County Attorney and Assistant County Attorney Senior with the Bernalillo County Attorney's Office

In this position, I functioned primarily as the County Bernalillo's labor and employment counsel. In this position, I advised, counseled and represented management in all labor and employment matters. This included representation at rights and interest arbitration proceedings, grievance administration, as well as representing management before state and federal agencies as well as local and state labor boards. I served as a member of

collective bargaining teams and served as the chief spokesperson during collective bargaining sessions. I represented management's bargaining teams during impasse proceedings on several occasions. In this position, I was also handled the negotiation of complex commercial subleases, joint powers agreements, intergovernmental agreements and other documents to establish legal relationships with private and public entities. Given the relatively small size of the County Attorney's Office I was called on to research and develop a knowledge and understanding of programs that were regulated by the federal government in the areas of housing, homeland security and emergency management. In addition, I was actively involved in drafting legislation and seeking legislative support for a number of initiatives at the state level. In this position, I represented county government in matters before state and federal trial and appellate courts. I was primarily responsible for drafting employment policies and procedures for Bernalillo County and revising antiquated language in the county's personnel rules and regulations and other employment documents related to employment procedures.

October 1990 to January 1996 - Associate Attorney - Cherpelis & Salazar

In this position, I conducted legal research, prepared legal memoranda, prepared pleadings, discovery for a number of large employers in the public utility and retail industries. The firm had a boutique practice focused on labor and employment law. My duties included handling administrative matters before the EEOC and New Mexico Human Rights Division, unemployment compensation appeals, proceedings before the NLRB, as well as representation of employers in the state and federal trial courts and state appellate courts. I also represented automobile manufacturers and dealerships in matters involving the Magnuson-Moss and New Mexico Lemon Law cases. In this position, I was responsible for drafting employment handbooks and policies and procedures.

OTHER EXPERIENCE:

Hearing Officer/Mediation/Arbitration

I have served as a volunteer hearing officer/arbitrator for local governments and the state courts to assist in the resolution of legal disputes involving employment matters, personal injury matters, and debt collection matters. For the past five years I have served as the Bernalillo County Liquor License Hearing Officer. Served as management's representative on a local water utility authority labor board.

Lecturing and Training Attorneys, Students and Managers

I have assisted the County's Human Resources Department in training managers on a wide spectrum of labor and employment matters. I have also assisted private employers in developing and implementing training programs for managers. I have served as a speaker at a number of Continuing Legal Education Programs involving labor and employment matters. I have also served as a guest lecturer at the University of New Mexico's Anderson School of Business and the University of New Mexico's School of Law on the subject of collective bargaining process and strategy.

Judicial Selection Committees

I have been appointed by the New Mexico Second Judicial District Court Judges and the New Mexico State Bar to serve on judicial selection committees to nominate individuals to fill vacancies on the state trial bench.



Purchasing Department MSC01 1240 1 University of New Mexico Albuquerque, NM 87131-0001 Telephone (505) 277-2036 FAX (505) 277-7774

MEMORANDUM

To:

David Harris, Executive Vice President for Administration

From:

Bruce Cherrin, Chief Procurement Officer

Subject:

Contract Information

Date:

October 21, 2011

The following contracts have been submitted for information to the Regents Finance and Facilities Committee per Regents Policy 7.4.

University of New Mexico Hospital

1. Stericycle

Source of Funds: UNM Hospitals Operating Budget

Description: Request for one year contract with renewal options through July 31, 2016. The contract is intended to provide biomedical/infectious and pharmaceutical waste pick up and disposal at 38 separate UNMH locations. Contract will provide pandemic, major disaster and emergency plans and services related to biomedical waste disposal.

Process: RFP P179-11

Total Cost: Dependent on volumes, ranges from \$390,000 to \$425,000 per fiscal year

2. CDWG

Source of Funds: UNM Hospitals Operating Budget

Description

Request to purchase forty-eight (48) mobile "Workstation On Wheels" (WOWs) which provide mobile access to the electronic medical record (EMR) and all other clinical applications including the Picture Archiving and Communication System (PACS.) The mobility of the cart will allow doctors and nurses access to clinical applications in patient rooms, recovery rooms and operating rooms.

Process: Cooperative purchasing, GSA

Total Cost: \$294,960

3. Insight Construction

Source of Funds: UNM Hospitals Capital Budget

Description: Request for improvements to be made to the Critical Care Wing East First Floor. Improvements include new fan coil units for proper heating and cooling, renovations for a break room, a locker room, two offices, a radiology storage room, two new public restrooms and installation of two sets of fire rated doors. Currently these areas do not receive any heating or cooling and will require seven new fan coil units in addition to duct work penetrations with fire dampers connected to the Ruskin Validator system. The public restrooms will be built with two stalls, new fixtures and new partition walls. These new restrooms will serve patients who are less able to walk long distances, due to a handicap or respiratory problems. The Radiology break room will be built per Union standards for a proper working environment. The locker room is needed for Radiology Doctors and staff members who stay overnight.

Process: Three Bids Solicited from Approved On-Call Contractors, awarded based on lowest bid.

Total Cost: \$268,932

University of New Mexico

4. Department of Pediatrics – Broad Institute

Purchase Order P0107892 was issued on September 26, 2011 to Broad Institute in accordance with the National Institutes of Health (NIH) grant number 1R01DL082394-A1.

Approved by the NIH as a single source provider, the Broad Institute will perform high throughput genotyping services as part of the conduct for a funded NIH grant entitled "Pediatric Investigation for Genetic Factors Associated with Renal Progression (PediGFR)."

The "Pediatric Investigation for Genetic Factors Associated with Renal Progression (PediGFR)" is an international cooperative study designed to identify novel risk factors linked with the progressive decline in kidney function among children with CKD. Genome-wide genotyping data will be utilized to perform a pathways-based analysis and a traditional genome-wide association study (GWAS).

Source of Funds: National Institutes of Health

Total Cost: \$304,004.50

5. Office of Capital Projects/Chemistry Department – VWR International Inc.

Purchase order P0107865 was issued September 22, 2011 to VWR International in accordance with E&I Cooperative Purchasing Agreement CNR #001163. The purchase consists of specialized laboratory equipment, including fume hoods, refrigerators and casework for renovation of three existing Labs in the Chemistry Department—partial renovation of Lab 150, and total renovation of Labs 171 and 346. Price includes equipment, installation and delivery.

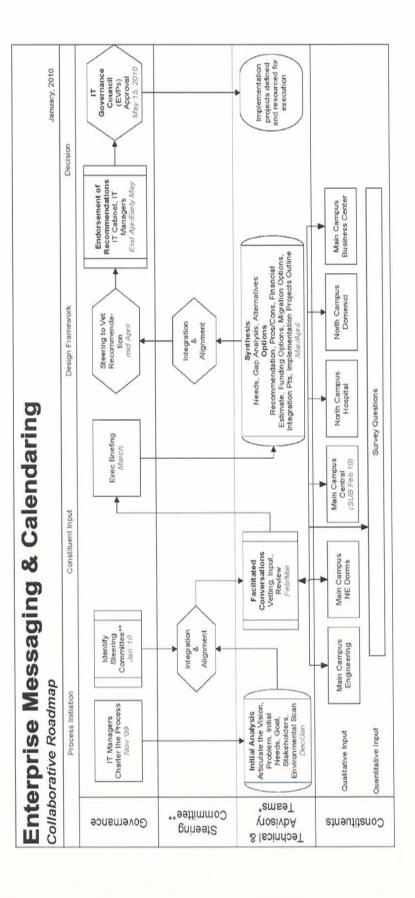
Source of Funds: 2007 UNM Bonds

Total Cost: \$453,617.18

Calendaring Evaluation Team Email, Messaging & Recommendations

Mike Campbell, Co-Chair Moira Gerety, Co-Chair Tom Sanford, HSLIC Systems, Evaluation Team Scott Parker, IT GW Lead, Evaluation Team Rebecca Lubas, Chair of CUC, Evaluation Team Aaron Jacob, MD, HSC, Evaluation Team and Dilek Inal, Chair of Technical Team, Barney Metzner, Chair of the Security/Compliance Team and Kimberly Waseta, Chair of the Usability Team

Phase | Process



Technical Advisory Comm: NMEL, Student IT, Web IT, IdM, Lib IT, FSM IT Core Technical Team: HSCIT, HSLIC, IT, ASM, SOE, +Dept

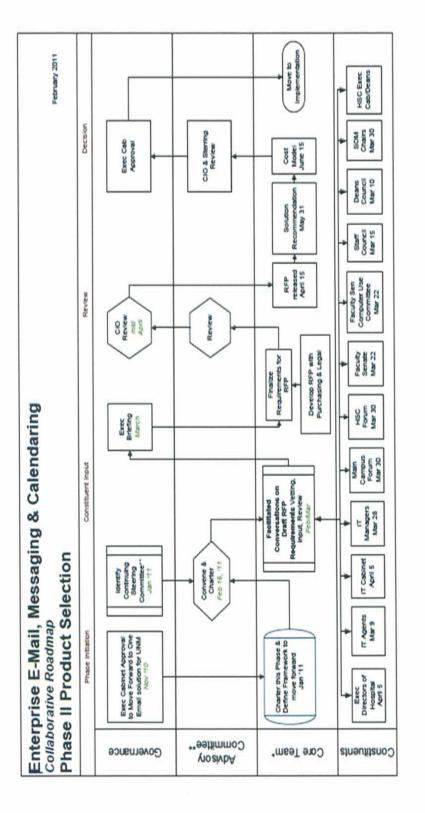
** Steering Committee: Seeking participation from : HSLIC, Faculty Main & HSC, Stu Gort, A&S, ASM, SOM, HSC Controller, HSC Admin, UH Admin, HR, Stu Srvces, ISS, Branches, UCAM



Phase | Results

- Move to a common underlying technology for all UNM units, if possible
- Continue the collaborative process to:
- Investigate the tool set options to improve UNM communication
- Complete an RFP for messaging/calendaring for UNM
- Include community in selection (including faculty)
- Develop a campus-wide implementation approach
- Develop multiple implementation scenarios
- Develop cost models
- Scope of implementation should include all campus and affiliated users
- Develop a time table... accelerated with executive support, implementations from Fall 2011 through Fall 2012
- Form Governance to oversee/support Program

Phase II Process



* Core Team: HSCIT, HSUC, IT, ASM, SOE, +Dept

** Advisory Committee: Seeting participation from : HSLIC, Facuty Main & HSC, Std Govt, A&S, ASM, SOM, HSC Controller, HSC Admin, UH Admin, HR, Stu Sivicts, ISS, Branches, UCAM



RFP Recommendations

- The best system is a hybrid (cloud / premise)
- Cost contained for students and other low risk users
- Higher security provided with on premise solution
- Common management
- 5 Respondents
- strategy along with a truly free cloud option along with "free" There was one software product that provided a good hybrid licensing for on premise solution
- None of the vendors offered a reasonable services strategy to implement this (or any other) option
- No award recommended from RFP, but instead

Recommended Direction

- Main campus and branches should aggressively to move students O365 — at almost no charge to UNM (validate budget less than (Spring 2012) to the cloud using Microsoft's cloud service -\$50K for conversion services)
- leveraging strong central Directory with distributed organizational Harden UNM's main campus existing Exchange Services (primarily for Anderson School) for Faculty and Staff (Fall 2012) control (validate budget less than \$200K with storage at 5GB
- Potential to migrate 20-30% of users to the cloud over the next five years
- employee productivity and faculty/departmental autonomy Invest collaboration features in support of student success,

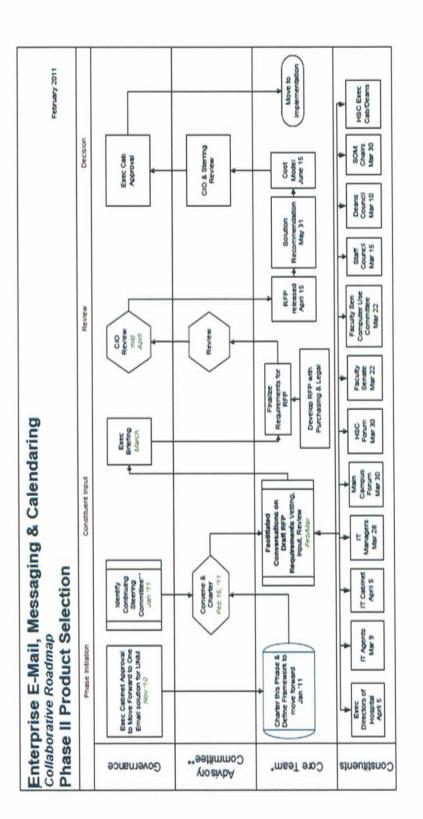
Highlights of Student Cloud Offering -Features/ Potential

- Email at (a)*.unm.edu with Full Directory Sharing
- Calendar including Group Scheduling and Access to Common Resources (invest in Banner course schedule/Calendar integration, for example)
- Collaborative document creation, management and sharing (could play into portfolio strategy)
- 25 GB of space per/user
- Video and text chatting (individual)
- Microsoft Sharepoint services (departmental file sharing)
- Advertising and Marketing and Data-Mining Services at no
- Cloud and premises implementation will be HIPAA and FERPA complaint with on demand features (archiving, e-discovery, and encryption options)

Next Steps

- Migrate Students as soon as Possible (Spring 2012)
- Secure migration services with bid to contracted vendors
- Be ready to populate UNM specific calendars Fall 2012
- Plan for a University Faculty and Staff Migration (Fall 2012)
- Validate and harden current installation, ensure effective business continuity, and disaster recovery provisions
- Secure appropriate staffing and training likely to blend inhouse staff augmentation and consulting
- Health Science System / HSC / UNMH Next Steps
- Continued Focus on Identity Management
- Messaging Direction to Follow

Questions



* Core Team: HSCT, HSUC, IT, ASM, SOE, -Dept

** Advisory Committee: Seeing participation from : HBLIC, Facuty Main & HSC, Stu Gort, A.B.S, ASM, SCAL, HSC Controller, HSC Admin, UH Admin, HR, Stu Sinces, ISS, Branches, UCAM







October 26, 2011

TO: Jack Fortner, Esq., President

UNM Board of Regents

FR: Carolyn Abeita, Esq., Chair

HSC Board of Directors

RE: Request for Items to be placed on UNM Board of Regents' Consent Agenda

cc: Paul B. Roth, MD, MS, FACEP, Chancellor for Health Sciences

Scot Sauder, Senior Associate University Counsel

Pug Burge, HSC Chief Administrative Officer

Ellen Wenzel, Special Assistant, UNM Board of Regents

Cenissa Martinez, Executive Assistant, UNM Board of Regents Finance & Facilities Committee

Steve McKernan, HS Chief Operations Officer

Monica Pierce, Executive Assistant, UNMH Board of Trustees

Mary Gauer, Group Manager, Office of Capital Projects

RECOMMENDED ACTION:

The Health Sciences Center Board of Directors respectfully requests that the following items be placed on the Consent Agenda:

- 1) Approval of Capital Project: Clinical & Translational Science Center 3rd Floor Lab Renovation, Phase 2
- 2) Approval of Capital Project: Classroom Upgrades
- 3) Approval Appointment of Frederick C. Sherman, MD to Carrie Tingley Hospital Board
- 4) Approval of UNMH Contracts with Zimmer and Stericycle

Per the directive of the UNM Board of Regents, the above items, with the exception of Item 3, will also be placed on the Regents Finance & Facilities Committee agenda. Related documents will be forwarded on each item.

BACKGROUND:

At the October 25, 2011 meeting, the HSC Board of Directors unanimously approved the above items. The HSC Board of Directors respectfully recommends final approval of these items.

2011-104-HSCBoD



Office of Capital Projects

MEMORANDUM TO ADVANCE COMMITTEE AGENDA ITEMS TO THE BOARD OF REGENTS THE UNIVERSITY OF NEW MEXICO

DATE:

October 17, 2011

TO:

Dr. Paul B. Roth, Chancellor for Health Sciences Center

FROM:

Mary Gauer, Group Manager, Office of Capital Projects

RE:

Requested Approvals

RECOMMENDED ACTION:

Recommend to the Board of Regents HSC Board of Directors the following:

- 1. Request for Capital Project Approval for Clinical and Translational Science Center: 3rd Floor Lab Renovation, Phase 2
- 2. Request for Capital Project Approval for Classroom Upgrades

Please see attached summaries and drawings.

cc: Pug Burge, Associate VP, Health Sciences Center Administration
 Chris Vallejos, Associate VP, Institutional Support Services
 Vahid Staples, Budget Officer, Office of Planning, Budget & Analysis
 Mary Kenney, Robert Doran – PCD
 W. Turner, R. Henrard, C. Martinez, B. Scharmer, T. Sanchez – OCP
 Dr. Richard Larson, VP for Research, Health Sciences Center
 Michael Briggs, Chief Administrative Officer, CTSC Administration

REQUEST FOR CAPITAL PROJECT APPROVAL for CLINICAL and TRANSLATIONAL SCIENCE CENTER: 3rd FLOOR LAB RENOVATION, PHASE 2 UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER November 15, 2011

REQUESTED ACTION:

In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested for Clinical and Translational Science Center: Third Floor Laboratory Renovation, Phase 2.

PROJECT DESCRIPTION:

This project will design, construct, and equip an operational research laboratory and support space on the 3rd floor of the Clinical & Translational Science Center (CTSC). The project will remodel approximately 4,646 gross square feet to support Pathology and Molecular Genetics & Microbiology. Included are two general labs, three tissue culture rooms, and an office area. Within the project boundaries, construction will consist of replacement of mechanical units and installation of DDC controls; new flooring; revisions to water, sewer, air, gas and vacuum lines; installation of new IT infrastructure; wall removal and reconstruction as required along with patching and repainting. Existing lab casework will be revised and supplemented to support the needed collaborative research environment.

PROJECT RATIONALE:

The University of New Mexico Health Sciences Center was awarded a five year \$22.9 million Clinical and Translational Science Award (CTSA) in 2010 by the National Institutes of Health, making the institution part of an elite group of health science centers that will improve the efficiency, speed and volume of medical discovery across the United States. This project is designed to create expansion space for faculty in the University of New Mexico Clinical and Translational Sciences Center. The space will also support strong mentoring interactions, by locating senior and junior faculty in close proximity in open laboratories with their staff and students.

FUNDING:

The total estimated Project Budget is \$700,000:

• \$700,000 is funded from the HSC Chancellor's Facilities and Administrative Cost Recovery

REQUEST FOR CAPITAL PROJECT APPROVAL for CLASSROOM UPGRADES UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER November 15, 2011

REQUESTED ACTION:

In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested for the Classroom Upgrade Project.

PROJECT DESCRIPTION:

This project will upgrade 19 classrooms in six separate buildings with state of the art technology to better meet the teaching needs in the curricula of the School of Medicine, the College of Nursing, the College of Pharmacy, and in Diagnostic and Therapeutic Sciences. Classroom upgrades will include Audio/Video and computers, replacement of furniture, and minor patch and paint. The project will also upgrade technology in the Interprofessional HealthCare Simulation Center (IHSC), a suite of simulation rooms in the Domenici Center for Health Sciences Education Building.

PROJECT RATIONALE:

This project request is initiated from a Health Resources and Services Administration (HRSA) construction Grant. It was originally allocated to Phase III of the Domenici Center for Health Sciences Education, but with the outcome of the 2010 GOB's, the scope of the grant award was changed to use the HRSA funds to provide additional educational facilities for the HSC, but in renovated rather than in new space.

The change of scope continues to allow the Health Sciences Center to address the needs of workforce shortages in the health professions by providing adequate space to teach expanded enrollments. It will also allow the HSC to increase its range of services by providing the facilities necessary to meet present and future curricula requirements in the health sciences. This proposal revision will increase the number of appropriately sized classrooms and will upgrade existing classrooms that are currently underutilized as they are not appropriately designed or equipped.

FUNDING:

The total estimated Project Budget is \$1,320,027:

• \$1,320,027 is funded from HRSA Grant, Award Number C76HF09655-01-01



October 17, 2011

The Board of Trustees of the University of New Mexico Hospitals, Jerry Geist, Chair, respectfully requests that the appointment of Dr. Frederick Sherman to the Carrie Tingley Hospital Board be approved by the HSC Board and the University Board of Regents.

FREDERICK C. SHERMAN, M.D. CURRICULUM VITAE

Date of Birth:

July 11, 1941

Marlboro, Massachusetts

Education:

BA, Human Biology (cum laude)

Brown University

Providence, Rhode Island 6/63

MD

Yale University School of Medicine New Haven, Connecticut 6/68

Post Graduate Training:

Surgical Internship

The University of Michigan

University Hospital

1500 E. Medical Center Dr.

Ann Arbor, Michigan 48109-03427/68 - 6/69 Program Director: C. Gardner Child, III, M.D.

General Surgery Residency

The University of Michigan

University Hospital

Ann Arbor, Michigan

7/69 - 6/70

Program Director: C. Gardner Child, III, M.D.

Military

Captain, US Medical Corps,

3rd Army Field Hospital

Saigon, Republic of Viet Nam

8/70 to 4/72

Orthopaedic Surgery

Orthopaedic Residency Training Program

St. Mary's Medical Center

450 Stanyan St.

San Francisco, California 94117

7/72 - 6/75

Program Director: Lloyd W. Taylor, M.D.

Fellowship

Pediatric Orthopaedics

Shriners Hospital for Crippled Children

Los Angeles, California

7/75 - 12/75

Program Director:

Pediatric Orthopaedics

The Children's Hospital Medical Center

Department of Orthopaedics

300 Longwood Avenue

Boston, Massachusetts 02115

1/76 - 6/76

Curriculum Vitae

Frederick C. Sherman, M.D. Page -2-

Program Director: John Hall, M.D.

Board Certification:

American Board of Orthopaedic SurgeonsSept 9, 1976

Staff Appointment

UNM Carrie Tingley Hospital (PRN)

post retirement – 1x/week clinic 01/2009-Present

University of New Mexico Health Sciences Center

Carrie Tingley Hospital 2/1/00 to 8/2007 University Hospital 2/1/00 to 8/2007

Lovelace Medical Center 5400 Gibson Blvd., SE

Albuquerque, NM 87108 11/97 to present

St. Joseph Hospital

601 Martin Luther King Ave., NE

Albuquerque, NM 87102 4/83 - present

Presbyterian Hospital 1100 Central Ave, SE

Albuquerque, NM 87102 10/78 - present

Carrie Tingley Hospital

Truth or Consequences, NM & Albuquerque, NM 8/76 - 2/86

Medical Licensure:

New Mexico, #76-268
Texas, #E-9988
1977
California, #G-22886
1975

Colorado, #36462 1997

Work History:

Medical Director, Carrie Tingley Hospital

University of NM Health Sciences Center 2/00 – 8/2007 New Mexico Orthopaedic Associates 4/80 - 1/00

Medical Director, Carrie Tingley Hospital

Truth or Consequences, NM 11/77 - 2/80

US Medical Corps, 3rd Army Hospital

Saigon, Vietnam 8/70 - 4/72



UNM Hospital RECOMMENDATION TO HSC BoD October 2011

Approval

(1) Zimmer

Source of Funds: UNM Hospitals Operating Budget

Description

Request for five year contract for trauma implants for various trauma surgical procedures. The contract also contains provisions for consignment inventory in order to keep implants on hand for emergency procedures, but pay for only after their use thereby reducing inventory on hand costs. Provide up-to-date information related to relevant surgical techniques, products and educational materials.

Process

Federal Government DOD/DLA Troop Support Contract SPE2DE-11-7232

Total Cost

Dependent on volumes, ranges from \$675,000 to \$750,000 per fiscal year.

(2) Stericycle

Source of Funds: UNM Hospitals Operating Budget

Description

Request for one year contract with renewal options through July 31, 2016. The contract is intended to provide biomedical/infectious and pharmaceutical waste pick up and disposal at 38 separate UNMH locations. Contract will provide pandemic, major disaster and emergency plans and services related to biomedical waste disposal.

Process

RFP P179-11

Total Cost

Dependent on volumes, ranges from \$390,000 to \$425,000 per fiscal year.

Materials will be provided at the BOR meeting.