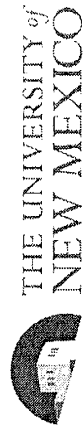


Board of Regents' Meeting
March 11, 2013
9:00 a.m.
Student Union Building Ballroom C



BOARD OF REGENTS' CALENDAR 2013 – 2014 (current 3.11.2013)

Regents' Board & Committee Meetings (location & time)	2013 Jan.	2013 Feb.	2013 Mar.	2013 Apr.	2013 May	2013 June	2013 July	2013 Aug.	2013 Sept.	2013 Oct.	2013 Nov.	2013 Dec.	2013 Jan.	2013 Feb.	2013 Mar.	2013 Apr.	2013 May	Key Leadership	Key Staff
Board of Regents' Meeting 9:00 a.m. – 1:00 p.m. SUB C	CANCELED	Feb. 11	Mar. 11	Apr. 9	May 14	June 11	July 9	Aug. 13	Sept. 10	Oct. 8	Nov. 12	Dec. 10	Jan. 14					Regent President Jack Forthner, President Robert Frank	Ellen Wenzel
Agenda Items Due:		Jan. 29	Feb. 27	Mar. 27	May 1	May 29	June 26	July 31	Aug. 28	Sept. 25	Oct. 30	Nov. 27	Jan. 2						
Academic/Student Affairs & Research Committee 10:00 a.m. – 1:00 p.m. Scholes Hall, Roberts Room	Jan. 9	Feb. 6	Mar. 6	April 8 9am	May 1	June 5		Aug. 7	Sept. 4	Oct. 2	Nov. 6	Dec. 4	Jan. 8					Regent Bradley Hosmer, Provost Chaouki Abdallah	Melissa Vargas;
Agenda Items Due:	Jan. 2	Jan. 28	Feb. 25	Mar. 25	Apr. 22	May 27		July 29	Aug. 26	Sept. 23	Oct. 28	Nov. 25	Dec. 30						
Audit Committee 8:30 a.m. – 12:00 p.m. SUB C			Mar. 8, 1pm		May 16													Regent Gene Gallegos; Manu Patel, Dir. Internal Audit	Amy O'Donnell
Agenda Items Due:					May 6														
Finance & Facilities Committee* 9:00 a.m. – 12:00 p.m. Scholes Hall, Roberts Room	CANCELED	Feb. 7	Mar. 1	April 5	May 3	June 7		Aug. 2	Sept. 6	Oct. 4	Nov. 1	Dec. 6	Jan. 13					Regent James Koch; EVP David W. Harris	Cenissa Martinez
Agenda Items Due:		Jan. 25	Feb. 20	Mar. 22	Apr. 22	May 27		July 22	Aug. 25	Sept. 24	Oct. 27	Nov. 25							
Health Sciences Board** 9:00 a.m. – 1:00 p.m.*** HSC Domenici Center, Rm 3010	Jan. 4	Jan. 31	Mar. 1	Apr. 5	May 3	June 7	July 5	Aug. 2	Sept. 6	Oct. 4	Nov. 1	Dec. 6						Regent Carolyn Abella; Chancellor Paul Roth	Patrice Martin
Agenda Items Due:																			
ADVISORS' SCHEDULE	ALUMNI PARENT RETIREE				ALUMNI PARENT RETIREE														
						FOUN- DATION			RETIREE FOUN- DATION										
						FOUN- DATION													

NOTES:

* F&F meeting moved to 1st Friday of each month (effective March 1, 2013)

** HSC Board meetings 1st Friday of each month

*** Health Sciences Boards and Committees are available on separate calendar (attached)

SPRING 2013 Commencement: May 11, 2013, 9 AM, PIT

AGENDA OF THE MEETING OF THE REGENTS OF THE UNIVERSITY OF NEW MEXICO

March 11, 2013

9:00 a.m. – 11:30 a.m. 1:00 p.m. – 3:00 p.m. Student Union Ballroom C

Regents Executive Session/Luncheon, 12:30 p.m. Cherry Silver Room

TAB

- I. Confirmation of a Quorum: Adoption of the Agenda, *Regent President Jack L. Fortner* 1
Introductions: Regent Conrad James, Regent Suzanne Quillen, Student Regent Heidi Overton
- II. Approval of Summarized Minutes of the February 11, 2013 Board of Regents Meeting 2
- III. Election of the 2013-2014 Officers of the UNM Board of Regents
- IV. Adoption of Policy Concerning Public Notice of Regents' Meetings 3
Approval of BOR Calendar 2013-2014
- V. President's Administrative Report, *President Robert G. Frank* 4
Adoption of Goals with Presentation of the Objectives for Consideration of the Regents.
- VI. Approval of Foundation Development Funding Allocation for FY 2013/14..... 5
Carl Alongi, UNM Foundation Vice-Chair

Comments from Regents

Public Comment, specific to agenda items

VII. Regent Committee Reports

Finance and Facilities Committee, Regent James H. Koch, Chair

Consent items:

- 1. Disposition of Surplus Property for Main Campus on list dated 2/14/2013..... 6
Bruce Cherrin, Chief Procurement Officer

Action Items:

- 2. Approval of UNM-Fairmount Properties: Form of Ground Lease Campus Village South 7
David W. Harris, President, Lobo Development
- 3. Approval of Assignment & Assumption of Sandia Foundation Leases 8
David W. Harris, EVP for Administration, COO, CFO & President of Lobo Development

Information Items:

- 4. Monthly Consolidated Financial Reports..... 9
Liz Metzger, Interim University Controller

Health Sciences Board, Regent President Jack L. Fortner

Action Items:

- 1. Request for Capital Project Approval: UNMH, Carrie Tingley Hospital, Safety-Replacing 10
Domestic Water Lines
Steve McKernan, CEO University Hospitals
- 2. Request for Capital Project Approval: 933 Bradbury HVAC Replacement for West Building 11
Steve McKernan, CEO University Hospitals

Academic/Student Affairs & Research Committee, Regent Bradley Hosmer, Chair

Action Items:

1. Approval of C250-Academic Leave for Lectures Policy 12
Amy Neel, President, Faculty Senate
2. Approval of C1139-New AS Emergency Medical Services-LA 13
Cedric Page, Executive Director, UNM Los Alamos
3. Approval of C1167-New BA Interdisciplinary Liberal Arts 14
Catherine Krause, Dean, Honors College

Audit Committee, Regent Gene Gallegos, Chair

1. Summary Audit Committee Meeting 3/8/2013

Comments from Regents' Advisors 15

Amy Neel, President, Faculty Senate

Mary Clark, President, Staff Council

Marisa Silva, President, GPSA

Caroline Muraida, President, ASUNM

The following Advisor reports are scheduled quarterly:

Duffy Swan, President UNM Alumni Association

Carl Alongi, Vice Chair, UNM Foundation, "Changing Worlds, The Campaign for UNM" 16

Angi Gonzales-Carver, President, UNM Parent Association

Dr. Donald W. Dusynski, President, UNM Retiree Association

VIII. Public Comment

IX. Vote to close the meeting and to proceed into Executive Session.

X. Executive Session will be held from 12:30 p.m until completed in the Cherry Silver Room

A. Discussion and determination where appropriate of limited personnel matters pursuant to Section 10-15-1.H (2), NMSA (1978)

B. Discussion and determination where appropriate of threatened or pending litigation Pursuant to Section 10-15-1.H (7) NMSA (1978).

XI. Vote to re-open meeting.

C. Certification that only those matters described in Agenda Item X. were discussed in Executive Session and if necessary, final action with regard to those matters will be taken in Open Session.

XII. Adjournment

BOR Agenda 3.11.2013

The University of New Mexico
Board of Regents' Meeting
February 11, 2013
Student Union Building Ballroom C
Meeting Minutes

Members present: President Jack L. Fortner, Secretary Treasurer Carolyn J. Abeita, Conrad D. James, James H. Koch, Jacob P. Wellman (Quorum).

Administration present: President Robert Frank, Provost Chaouki Abdallah, Executive Vice President David Harris, Chancellor Paul Roth, Vice President Josephine de Leon (Equity and Inclusion), Vice President Paul Krebs (Athletics), Sr. Executive Officer Ava Lovell (Finance and Administration), Vice President Eliseo Torres (Student Affairs), Interim Vice President Jewel Washington (Human Resources), Interim University Counsel Lee Peifer.

Regents' Advisors present: President Amy Neel (Faculty Senate), President Mary Clark (Staff Council), President Caroline Muraida (ASUNM).

Regent Fortner called the meeting to order at 9:08 a.m.

I. Confirmation of a Quorum; Adoption of the Agenda, Regent Fortner

Motion to approve the Agenda passed unanimously.

II. Approval of Summarized Minutes of the December 11, 2012 BOR meeting, Regent Fortner

Motion to approve the minutes of the December 11, 2012 meeting passed unanimously (1st Wellman, 2nd Abeita).

III. Presentation of Nambe Lobo Heads, Regent Fortner

Regent Fortner thanked Regent Carolyn Abeita for always keeping UNM as a priority. She has been BOR Secretary-Treasurer, HSC Board Chair, Academic Affairs Committee Chair, Carrie Tingley Hospital Board member, Honorary Degree Committee member, and an advocate for Native American rights. It has been an honor to work with her.

Regent Abeita said it has been her honor and privilege to serve as a Regent of the University of New Mexico. It has been a privilege to serve with some very dedicated fellow Regents, both past and present. The caliber and dedication of the folks that are affiliated with New Mexico's Flagship University, students, faculty, staff, parents, the community and alumni, is striking. There is such deep commitment and concern for all things UNM. I would like to particularly thank Ellen Wenzel, Special Assistant to the BOR. This has been a rewarding experience and I thank everyone.

President Frank said all of the Regents are very dedicated people and Regent Abeita has shown her dedication across the spectrum of the University. In particular, her dedication to, and knowledge of, the Health Science Center has been extraordinary.

Regent Koch said then-Governor Richardson chose a worthy successor to Sandra Begay-Campbell when he picked you, Regent Abeita. What you've done for the last six years is outstanding and you will be missed.

Regent Fortner presented the Nambe Lobo Head to Regent Abeita.

Regent Fortner then spoke about Jacob Wellman, Student Regent from 2010 to the present. Jake has been on the Academic Affairs Committee, Vice-Chair of the UNM Hospital Board of Trustees, and Vice-Chair of the Board of Lobo Energy. He is currently working hard on providing information to the state legislature on sustaining the Lottery Scholarship.

Regent Koch said Regent Wellman has done a great job and he looks forward to seeing what Jake will be doing with the legislature with regard to the Lottery Scholarship.

Regent Abeita said it has been an honor to serve with Regent Wellman. He is a shining example of what a UNM student is and can be.

Regent Fortner presented the Nambé Lobo Head to Regent Wellman.

Regent Wellman thanked the students for having the faith to nominate him as their Regent. Thank you, too, to Governor Martinez and Regent Fortner. It has been interesting comparing learning knowledge in the classroom and wisdom in the boardroom. I want to echo Regent Abeita's thanks to Ellen; she has made this a breeze. I will be stepping down at the end of this month to allow Heidi Overton to take her seat on the board.

IV. Introduction – Regent Conrad D. James, Ph.D., Regent Fortner

Dr. James has been appointed by Governor Martinez to replace Regent Chalmers. He is a member of the technical staff at Sandia National Labs.

Regent James said he and his wife were drawn to New Mexico by all the state has to offer. He's been in New Mexico for ten years, now. His wife finished medical school at UNM and two of his children are native New Mexicans. He is looking forward to this opportunity to serve the University.

V. President's Administrative Report, President Robert Frank

Presentation/Overview of UNM2020 Process to Date and Presentation of the Goals for Consideration of Regents, President Robert Frank, Steve Sloate, Cirra, Inc. (report in BOR E-Book)

President Frank explained that this process is to determine what we believe UNM should be in the year 2020 and then chart the course to make it happen. The first step was to design the broad future. Now we are at the second step to design our target for success. We've created seven goals, timeless aspirations for the University. We will define twenty-six objectives and bring those to you over the next three months, for your approval by late spring. These will create a plan for UNM that will lead us to the year 2020. By the time we're done, we will have a very clear vision of where we're going, what we're committed to and what we're going to hold ourselves accountable to.

Mr. Sloate: Today, for your consideration and input, we are bringing the seven goals we are proposing as the top dimension of our planning process.

- **In March, we will ask for the adoption of UNM2020 and these seven goals. We will bring to you the twenty-six objectives for your consideration.**
- **In April, we will ask that you adopt the twenty-six objectives then consider the performance monitoring program and related metrics.**
- **Ultimately, in May, we will ask that you adopt the monitoring program and the overall plan, the Strategic Refresh for the University of New Mexico.**

Regent Abeita asked for more information on the input that formed the seven goals.

- **Mr. Sloate said that they will also bring President Frank's performance plan to the BOR in March.**

Comments from Regents

Regent Wellman complimented President Frank on this planning process.

Public Comment, specific to agenda items (none)

VI. Proposed Extension of Timeline to Complete Form of Lease between UNM and Fairmount Properties under the Master Development Agreement, Regent James H. Koch

Motion to extend, by thirty days, the completion of the Form of Lease between UNM and Fairmount Properties passed unanimously (1st Koch, 2nd Wellman).

VII. Regent Committee Reports (reports are included in BOR E-Book)

Finance and Facilities Committee, Regent James H. Koch, Chair

Action Item:

1. Approval of Fiscal Watch Report and Monthly Consolidated Financial Reports, Liz Metzger, Interim University Controller

Motion to approve the Fiscal Watch Report and Monthly Financial Reports passed unanimously (1st Koch, 2nd Abeita).

- **Ms. Metzger will provide information on the impact of the increase in minimum wage. She believes it will primarily affect student employees.**

Consent Items:

Regent Koch moved Agenda Item 7 to the Consent Agenda.

Motion to approve consent agenda items 2, 3, 4, 5, 6 & 7 passed unanimously (1st Koch, 2nd Wellman).

2. Disposition of Surplus Property for Main Campus on lists dated December 4, 2012 and January 18, 2013
3. Lobo Development Corporation Annual Meeting of the Member
 - a. Approval of the Summarized Minutes of the January 10, 2012 Meeting
 - b. Approval of the FY 2011-12 Audit
4. Approval of Nomination of Steven Beffort to Lobo Energy, Inc.
5. Lobo Energy, Inc. Annual Meeting of the Member
 - a. Approval of the Summarized Minutes of the February 14, 2012 Meeting
 - b. Approval of the FY 2011-12 Audit
6. Approval of Second Amendment to Lease of Real Property for Configurable Space Microsystems Innovations and Application Center (COSMIAC) located at 2350 Alamo SE
7. Approval of STC.UNM Annual Report to the Board of Regents of UNM
8. Approval of Request for Capital Project Approval for Tennis Center, Phase One, Andrew Cullen, AVP, Planning, Budget & Analysis

Motion to approve the Capital Project for the Tennis Center, Phase One passed unanimously (1st Koch, 2nd James).

Mr. Cullen reported this project is for work on the McKinnon Family Tennis Center. Some of the funding is not available so, contrary to what is shown in the BOR materials, only six courts will be built in this phase.

9. Approval of Request to Establish a Quasi-Endowment Fund, Chaouki Abdallah, Provost

Motion to approve the \$25,000 Quasi-Endowment passed unanimously (1st Koch, 2nd James).

10. Approval of HSC Regents Membership

Motion to approve the changes to UNM BOR membership on the HSC Board passed unanimously (1st Koch, 2nd James).

Regent Koch explained that this item removes some language in the BOR Policy Manual that specifies a particular BOR Committee Chair must hold a particular position/chair on the HSC Board. Instead, the BOR President can appoint any Regent to the position.

Regent Abeita said she believes the changes should have been brought to the HSC Board before coming up for a vote of the BOR.

10a. Employee Health and Pharmacy Benefits Proposal, Jewel Washington, Interim VP, Human Resources

Motion to approve the Employee Health and Pharmacy Benefits Proposal for FY 2014 (1st Koch, 2nd Wellman).

Information Items:

11. Contract Information:

1. Earth & Planetary Sciences – PerkinElmer Health Sciences Inc.

12. University Roles, Impacts and Public Subsidy for UNM Press

Regent Koch said UNM Press will present at a later time.

13. FY 2013/14 Budget Development Update, Andrew Cullen, AVP, Planning Budget & Analysis

Mr. Cullen presented material on the three higher education funding recommendations that have been presented in the legislature. They range from increases of \$1 million to \$10 million. The LFC recommendation includes dollars related to performance metrics and restoring the ERB “swap.” The SFRB (Student Fee Review Board) will submit its recommendation to the SBLT (Strategic Budget Leadership Team) by Feb. 15th.

- **We will model RCM (Responsibility Center Management) for FY 14 and implement some form of it in FY 15.**

Regent Wellman said there are many opinions in Santa Fe on keeping the Lottery Scholarship solvent. While many of the students who receive the scholarship have the means to pay, as the flagship university, we want to encourage the best and brightest to come to UNM, and the scholarship does that.

Ms. Muraida, President USUNM, said students are in the process of drafting our own bill, taking both a need-based and merit approach.

Regent Koch feels a solution to the scholarship solvency issue will not be found in the legislature this year. He believes a legislative committee formed to study the issue and draft legislation for the thirty-day session next year would be the better alternative. Regent Abeita agrees with Regent Koch.

Marc Saavedra, Director, Government Affairs, reported that the Secretary of Higher Education does have the authority to reduce the amount a student receives to 65% of tuition. With regard to what may be done to address the solvency of the scholarship, we want to keep our existing recipients held harmless. We’ve even suggested into the 11th or 12th grade, because they are planning for their college education based on that scholarship.

14. UNM Council of Design Excellence and AIA New Mexico

Regent Koch reported that this item has been referred to University Counsel.

Health Sciences Board, Regent Carolyn Abeita, Chair

Action Items:

A. Request for Approval:

1. UNMH Contracts with Seven Bar Flying Service, Inc., Interior Office Solutions, and Yearout Service, Donner Plumbing and B&D Industries

Motion to approve these contracts passed unanimously (1st Abeita, 2nd James).

2. Naming Approval: Center of Endoscopic Surgery, Howard Yonas, MD

Motion to approve the naming of the Dr. Anthony T. & Eileen K Yeung Center for Endoscopic Surgery passed unanimously (1st Abeita, 2nd Fortner).

Information Items:

3. Request for Approval of UNMH Contracts with Valero Marketing & Supply Company
4. Continuing Medical Education-Medical Education Technologies

Academic/Student Affairs & Research Committee, Regent Jacob Wellman Vice Chair

Action Items:

A. Approval of:

1. Major in Chicana and Chicano Studies, Irene Vasquez, Director, Chicana and Chicano Studies

Motion to approve the Baccalaureate Degree in Chicana and Chicano Studies passed unanimously (1st Wellman, 2nd Abeita).

Olivia Romo, student, spoke about how this program has helped her adapt to college and in her internship at the PB&J Family Services program.

2. Certificate in Transnational Chicano Studies

Motion to approve the Certificate in Transnational Chicano Studies passed unanimously (1st Wellman, 2nd Abeita).

3. Certificate in New Mexico Cultural Landscapes

Motion to approve the Certificate in New Mexico Cultural Landscapes passed unanimously (1st Wellman, 2nd Abeita).

4. Associate of Applied Science in Robotics – UNM Los Alamos, Cedric Page, Executive Director, UNM Los Alamos

Motion to approve the Associate of Applied Science in Robotics passed unanimously (1st Wellman, 2nd Abeita).

5. Certificate in Automotive Technology – UNM Valencia

Motion to approve the Certificate in Automotive Technology passed unanimously (1st Wellman, 2nd Koch).

6. Certificate in Game Design and Simulation – UNM Valencia

Motion to approve the Certificate in Game Design and Simulation passed unanimously (1st Wellman, 2nd Abeita).

7. Associate in Applied Science in Game Design and Simulation – UNM Valencia

Motion to approve the Associate in Applied Science in Game Design and Simulation passed unanimously (1st Wellman, 2nd Abeita).

8. Certificate in Information Technology Support – UNM Taos, Dr. Kate O'Neill, Executive Director, UNM Taos

Motion to approve the Certificate in Information Technology Support passed unanimously (1st Wellman, 2nd Abeita).

9. Associate of Applied Science in Digital Media Arts – UNM Taos

Motion to approve the Associate of Applied Science in Digital Media Arts passed unanimously (1st Wellman, 2nd Abeita).

10. Approval of Associate of Applied Science in Information Technology with Cyber Security – UNM Los Alamos

Motion to approve the Associate of Applied Science in Information Technology with Cyber Security passed unanimously (1st Wellman, 2nd Abeita).

Information Items:

11. Interdisciplinary Studies Management & Funding Proposal, Mike Dougher, Sr. Vice Provost

Dr. Dougher reported that an Associate Provost-level position will be created to work with these programs. They will be headed by at least two deans. The Graduate School (which will have to become a Graduate College that can award degrees) and University College will house the interdisciplinary studies.

12. Honors College Update, (report in BOR E-Book)

Audit Committee, Regent Gene Gallegos, Chair (no report)

Comments from Regents' Advisors (reports received are included in BOR E-Book)

Faculty Senate, President Amy Neel. Dr. Neel said Faculty Senate is instituting a task force to facilitate Community-engaged scholarship. We would have community members tell us about their needs and we would help figure out how the University can address those research needs. In the last five years, the Faculty Research Fund has invested about \$600 thousand in faculty research, which has yielded over \$5 million in external research funding. We are seeking funds for additional research seed money. We are not asking the BOR for funding, but soliciting your ideas on where additional funding might be found.

Staff Council, President Mary Clark. Ms. Clark said Staff Council has submitted a five-year plan for staff compensation to the BOR. She referred to Ms. Washington's presentation showing that the cost of health benefits are going to rise again this year and staff is probably facing a 5th year without an increase in compensation.

GPSA, President Marisa Silva (report distributed at meeting.)

ASUNM, President Caroline Muraida. Ms. Muraida introduced Isaac Romero who presented two joint resolutions.

Alumni Association (report in BOR E-Book).

VIII. Public Comment (none)

IX. Vote to close the meeting and to proceed into Executive Session

Motion to proceed into Executive Session passed unanimously at 11:30 a.m. (1st Wellman, 2nd Abeita).

X. Executive Session 11:30 a.m. – 12:25 p.m.

- A. Discussion and determination where appropriate of threatened or pending litigation pursuant to Section 10-15-1.H (7) NMSA (1978).
- B. Discussion and determination where appropriate of the purchase, acquisition or disposal of real property pursuant to Section 10-15-1.H (8) NMSA (1978).
- C. Discussion and determination where appropriate of long range strategic planning related to public hospitals pursuant to Section 10-15-1.H (9) NMSA (1978).
- D. Discussion and determination where appropriate of limited personnel matters pursuant to Section 10-15-1.H (2) NMSA (1978).
- E. Discussion of matters involving contents of competitive sealed proposals under the Procurement Code pursuant to section 10-15-1.H (6).

XI. F. Vote to re-open the meeting.

Motion to return to open session passed at 12:25 p.m. (1st Abeita 2nd Wellman).

- G. Certification that only the matters described in Agenda item X. were discussed in Executive Session and, if necessary, final action with regard to those matters will be taken in Open Session.

Motion to certify that no action was taken in executive session passed.(1st Fortner, 2nd Koch).

XII. Adjournment

Motion to adjourn the meeting passed at 12:27 p.m.(1st Fortner, 2nd James)

Jack L. Fortner, President

Carolyn J. Abeita, Secretary/Treasurer

Board of Regents, University of New Mexico
"Action Required" (A/R) Items

Current: 2/28/2013

	Responsibility	Description of A/R	Date Due Date Finalized
4/27/2012			
	Foundation, Nemcik, Harder	-Report how much of \$464 million raises is in pledges; -Report pledges received vs. pledged -Report value of Mesa del Sol "endowment; how administered; how categorized in Foundation financial reports. -Philanthropy Committee Report presented at BOR meeting 6/12/2012	6/12/2012 DONE
	Audit Patel HSC Controller Lovell Provost Abdallah	-Peer review report available September 2012 -HSC Board coordinate with BOR Audit Committee re: what entity will perform the UNMH Audit -Copy of Audit Report on Provost's administration be provided to Regents	9/20/2012 Audit Mtg. 10/18 Audit Committee Action item 10/18 Executive Session DONE
	UNMH McKernan	Comparison of Presbyterian's uncompensated care with UNMH	6/26/2012 DONE
	Steve McKernan UNMH	Disproportionate Share payments and any other items making up the significant difference between a gain of \$23 million and the loss of \$4 million be presented to Audit Committee	Report to Regent Hosmer at 9/7/2012 HSC BOR meeting. DONE
	AVP Andrew Cullen	Review possibility of recurring compensation increase. Presentation at F & F Committee 10/4/2012 indicated not feasible at present time.	F & F 10/4/2012 DONE
	Provost Abdallah	Short, Medium, Long-term (7-10 years) compensation plan to present to ASAR Committee Fall 2012. Exact numbers of faculty lost to other institutions	ASAR 11/7/2012 Provost report DONE
	Provost Abdallah HSC Chancellor Roth	Report of UNM faculty salaries (including HSC) and comparisons with peers.	Provost Report at ASAR 2/6/2013, process.
	Provost Abdallah	Honors College Curriculum- present to Regents Fall 2012 Honors College Presentation at ASAR Committee 11/7/2012.	ASAR 12/5/2012 BOR 12/11/2012 DONE

6/12/2012	Regent Fortner	<p>BOR Policy Ad Hoc Committee established (Fortner, Koch, Gallegos)</p> <ul style="list-style-type: none"> Initial meeting 8/14/2012 Prioritized BOR Policy list to be developed. (Draft University Counsel, Regent input) Open Monthly meetings 2013 after executive session, SUB Sandia A. 	<p>ONGOING 8/14/2012</p> <p>12/11/2012 canceled</p> <p>2013 schedule</p>
	Parent Association	Demographics of 2012 scholarship recipients provided 8/14/2012	8/14/2012 DONE
	Paul Krebs, VP Athletics: Andrew Cullen, AVP Budget	Renovation of Lobo Baseball Field; 1.1 million will come from the Regents Endowment, Mesa Sol.	7/25/2012 DONE
8/13/2012	COO Harris	UNM& Bernalillo County North Golf Course Resolution-Exhibit A needed for final signatures.	9/11/2012 DONE
	AVP Cullen	Updated UNM Budget Schedule (for Regent participation) Budget Summit 4/9/2012: Budget Tuition & Fee Final approval 5/14/2012	9/11/2012 DONE
9/11/2012	President Frank	<p>Clery Act, Freeh Report data presented to BOR as part of an annual review process.</p> <ul style="list-style-type: none"> ASAR Committee monthly review option. Annual Report to Regents by President. 	Annual Report-tbd.
	President Frank Deutsch/Patel, Manager Policy, Internal Audit	<p>Compliance Report (Report of review of four compliance programs, UNMH, Finance, Athletics, Research)</p> <p>Process of future reports to be determined.</p> <p>Hire of Chief Compliance Officer 1/2013, Helen Gonzales</p>	<p>To be scheduled</p> <p>To be scheduled</p> <p>DONE</p>
	Regent Hosmer	Compliance reports -ASAR Committee review prior to Regent report, or referral to committee.	TBD
	AVP Cullen	Budget Calendar committees ASAR, F & F, HSC, Audit Oct.-April provided to Regents. Presented at F & F Committee 10/4/2012	10/9/2012 DONE
	COO Harris, AVP Cullen	Options for setting tuition at beginning of process, options and rationales provided to Regents.	Through budget process.

	Terry Babbitt AVP Enrollment	Enrollment: non-resident states, countries. GPA and ACT Scores How raised admission requirements affect enrollment.	BOR 10/9 ASAR 10/3 DONE
	Manu Patel, Internal Auditor	Audit Report of Foundation sent to all Regents	9/12/2012 DONE
	Lee Peifer, Univ.Counsel	Student Regent Requirements: voter registration, citizenship.	9/12/2012 DONE
	Cheo Torres, VP Student Affairs	Housing Reports, ACC, percentages leased, renewals, incidents. • Regular Updates	BOR 12/11 ASAR 12/5 To be scheduled
10/9/2012	President Frank	UNM 2020 Vision Report, Process to date; Presentation of Goals for Consideration of Regents. President's Goals-Adoption of Goals with Presentation of Objectives for Consideration of Regents. Adoption of Objectives with Presentation of the Performance Monitoring and Reporting Program (scorecard). Adoption of Performance Monitoring Program	BOR 2/11/2013 DONE BOR 3/11/2013 BOR 4/9/2013 BOR 5/14/2013
	Andrew Cullen AVP Budget	Report on issues Tuition & Fee Team will address; • Lottery Scholarship • Student Fee Process • Tuition Models	BOR 12/11/2012 DONE
12/11/2012	Dr. Roth Chancellor	HSC Board & Committee (Governance, Finance) minutes to all Regents.	BOR 12/11/2012 DONE
	HSC	HSC Finance Annual Report	tbd
	EVP Harris	Update 1650 Real Estate; history of plan changes.	F & F Jan. mtg. canc. 2/7/2013 DONE
	EVP Harris HR VP Washington	Recommendation on funding future Retirees-percentage, yes or no	Audit Committee 3/8/2013



Regents' Policy Manual - Section 1.3: Public Notice of Regents' Meetings

Adopted Date: 09-12-1996

Amended: 12-08-1998

Amended: 03-11-2002

Amended: 09-09-2003

Amended: 03-14-2005

Amended: 06-12-2012



Policy

1. This Policy is adopted pursuant to the New Mexico Open Meetings Act, NMSA 1978, § 10-15-1, *et seq.*
2. **Regular Meetings.**
 - a. Regular meetings of the Board of Regents will be held in the Student Union Building on the second Monday in March and on the second Tuesday in January, February, April, May, June, August, September, October, November, and December, unless otherwise announced in the Notice of Regular Meetings, pursuant to this Resolution.
 - b. **Notice of Regular Meetings.** Notice to the public of the regular meetings of the Board of Regents will specify the date, time, and place thereof and will state that a copy of the agenda will be available in the University Communication and Marketing Department and at such other locations chosen by the President of the University, at least twenty-four (24) hours prior to the meeting. Notice will be given to those newspapers and broadcast stations that have filed a written request with the University Communication and Marketing Department for such notices of meetings. Notice will be by mail, facsimile machine, or electronic mail at least ten (10) days prior to the meeting.
3. **Special Meetings.**
 - a. Special meetings of the Board of Regents may be called by the President of the Board or any four (4) members of the Board.
 - b. **Notice of Special Meetings.** Notice to the public of special meetings of the Board of Regents will specify the date, time, and place thereof and will state that a copy of the agenda will be available in the University Communication and Marketing Department and at such other locations chosen by the President of the University, at least twenty-four (24) hours prior to the meeting. Notice will be given to those newspapers and broadcast stations that have filed a written request with the Public Affairs Office for such notices of meetings. Notice by mail, facsimile machine, or electronic mail will be given at least three (3) days prior to the meeting.
4. **Emergency Meetings.**
 - a. Emergency meetings of the Board of Regents may be called by the President of the Board or any four (4) members of the Board only in the event of unforeseen circumstances that, if not addressed immediately by the

Board, will likely result in injury or damage to persons or property or substantial financial loss to the University. The Board will avoid emergency meetings whenever possible.

b. **Notice of Emergency Meetings.** Notice to the public of emergency meetings of the Board of Regents will specify the date, time, place, and subject matter thereof and will be given to those newspapers and broadcast stations that have filed a written request with the University Communication and Marketing Department for such notices of meetings. Notice will be by telephone, facsimile machine, or electronic mail and at locations chosen by the President of the University, at least twenty-four (24) hours prior to the meeting or by such other notice as soon as possible and as may be practicable under the circumstances.

5. **Compliance with the Americans with Disabilities Act.** In addition to the information specified above, all notices shall include the following language: If you are an individual with a disability who is in need of a reader, amplifier, qualified sign language interpreter, or any other form of auxiliary aid or service to attend or participate in a meeting of the Board of Regents, please contact the University Communication and Marketing Department at least one week prior to the meeting. Public documents, including the agenda and minutes, can be provided in various accessible formats. Please contact the University Communication and Marketing Department if an accessible format is needed.

6. **Telephone Meetings.** A member of the Board of Regents may participate in a regular, special, or emergency meeting of the Board by means of a conference telephone or other similar communications equipment when it is otherwise difficult or impossible for the member to attend the meeting in person. Each member participating by conference telephone must be identified when speaking, all participants must be able to hear each other at the same time, and members of the public attending the meeting must be able to hear any member of the Board who speaks during the meeting. The minutes of any meeting at which there is telephone participation shall identify the Regent(s) who was not physically present but who participated by conference telephone or other similar communications equipment.

7. **Closed Meetings (Executive Sessions).**

a. **Exceptions to Open Meetings Act.** Meetings of the Board of Regents may be closed, according to the procedures set out below, only if the matter to be considered falls within one of the enumerated exceptions defined in Section 10-15-1(H) of the Open Meetings Act or if closure can be implied from or required by other laws or constitutional principles which specifically or necessarily preserve the confidentiality of certain information.

b. **Closing an Open Meeting.** Closing of a meeting shall be by a majority vote of a quorum of the Board during the open meeting, with the vote of each member being recorded. The motion shall state: (1) the authority for the closure (the statutory provision); and (2) the subject to be discussed with reasonable specificity. The matter to be discussed must have been included on the agenda, except for emergency matters.

c. **Calling a Closed Meeting Outside of an Open Meeting.**

(1) Notice that a meeting will be closed, in whole or in part, will be given as specified above for a regular, special or emergency meeting, as appropriate, by so specifying either in the notice of the meeting or on the agenda. The notice shall state the specific provision of the law authorizing the closed meeting and shall state the subject to be discussed with reasonable specificity.

(2) The minutes of the next open meeting shall contain information about the closed meeting, including the date, time, place, and subject matter of the closed meeting, the names of the Regents present at the closed meeting, the names of the absent Regents, and a statement that the matters discussed in the closed meeting were limited only to those specified in the notice of the closed meeting.

d. **Action.** Any final action taken as a result of discussions in a closed meeting shall be made by a vote of the

Board of Regents at an open public meeting, with the exceptions allowed under Section 10-15-1(H).

8. **Agendas.** A copy of the agenda for each regular and special meeting of the Board of Regents will be available at least twenty-four (24) hours prior to the meeting (and the notice of the meeting shall so state) at the University Communication and Marketing Department and at such other locations chosen by the President of the University. Except for emergency matters, the Board shall take action only on items appearing on the agenda. The intent to close a regular meeting and the subject matter involved shall be included in the agenda.

9. **Public Input at Meetings.** An opportunity for public input regarding agenda items shall be provided at each regular meeting during Board consideration of the agenda item in question. The President of the Board of Regents shall determine the length of time to be allowed for public input for each agenda item, the sequence in which individuals may address the Board, and the length of time that will be allowed for each person to address the Board. The Board President may request that a group designate a spokesperson.

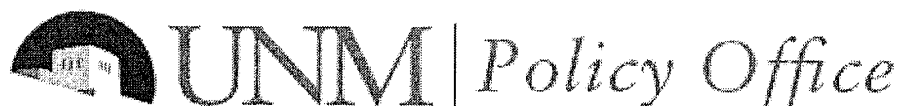
10.Minutes.

- a. The Board of Regents shall approve and keep written minutes of all its meetings. The minutes shall include, at a minimum: (1) the date, time, and place of the meeting; (2) the names of Regents in attendance and those absent; (3) a statement of what proposals were considered; and (4) a record of any decisions made by the Board and how each Regent voted.
- b. Draft minutes shall be prepared within ten (10) working days after the meeting and must be available for public inspection. The draft minutes must clearly indicate that they are not the official minutes and are subject to approval by the Board.
- c. Draft minutes shall be approved, amended, or disapproved at the next regular meeting where a quorum is present. Minutes shall not become official until approved by the Board of Regents. Official minutes are subject to public inspection.
- d. No minutes need to be kept during closed sessions, but information about the closed session must be recorded as specified in the "Closed Meetings" section of this resolution.
- e. Audio tapes of Board of Regents' meetings shall be kept for three years.

References

Open Meetings Act, NMSA 1978, § 10-15-1 *et seq.*

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New Mexico's Flagship University



Regents' Policy Manual - Section 1.1: Responsibilities of the Board of Regents

Adopted Date: 09-12-1996

Amended: 12-14-2004

Amended: 06-12-2012

Ultimate Responsibility for the Governance of the University



The Board of Regents is responsible for the governance of the University of New Mexico. This responsibility may be exercised only by the Board as a unit; individual Regents are without power to act separately in the transaction of University business, except when one of the Board's officers is specifically authorized to act on behalf of the Board.

The Board's power to govern the University includes fiduciary responsibility for the assets and programs of the University, establishment of goals and policies to guide the University, and oversight of the functioning of the University. The Board vests responsibility for the operation and management of the University in the President of the University.

Duties and Functions of the Board

The Board shall carry out the duties and functions authorized by law and specified in this policy manual including, but not limited to the following:

1. Appoint a President of the University who serves as Chief Executive Officer; and delegate authority to the President for effective operation of the University.
2. Adopt Board of Regents' policies for the governance of the University, and periodically review the Regents' Policy Manual for compliance and revision, in addition to revisions submitted, as necessary, at any time.
3. Establish, and periodically review, the mission, goals, objectives of the University, and a long-range campus master plan for the physical development of the University; create colleges, schools, and branches.
4. Approve the constitution or other governing document of faculty, staff, and student governing bodies and component or affiliated organizations, as determined to be appropriate by the President and the Board.
5. Approve all degrees awarded by the University.
6. Enter into, and review biennially, operating agreements with the Board of Advisors of each branch college.
7. Approve the organizational structure for the University, and any major revisions proposed by the President.
8. Take any other actions required by law to be decided at the level of the Board.

The Board reserves the right to consider and determine any matter relating to the University.

References

N.M. Constitution Art. XII, § 13; NMSA 1978, §§ 21-1-1 *et seq.* and 21-7-1 *et seq.*

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New Mexico's Flagship University

Materials will be available at the meeting.



DATE: March 11, 2013

TO: The Board of Regents of the University of New Mexico

FROM: The Board of Trustees of the University of New Mexico Foundation

CC: President Robert Frank

RE: Development Funding Allocation for FY2013/14

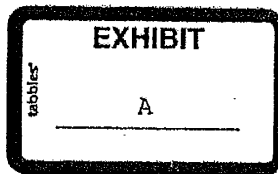
RECOMMENDATION

That the Board of Regents approve a reduction in the Development Funding Allocation (DFA) from 185 basis points to 140 basis points effective with the fiscal year 2013/14.

RATIONALE

The Consolidated Investment Fund Investment Policy jointly adopted by the UNM Board of Regents and the UNM Foundation Board of Trustees, and as amended by both Boards in July of 2010, requires joint approval of the DFA by the UNM Board of Regents and the UNM Foundation Board of Trustees.

The Memorandum of Agreement between the Board of Regents of the University of New Mexico and the University of New Mexico Foundation, Inc., amended on September 25, 2012 (attached), refers to Exhibit B in Section 6.2, a multi-year fiscal commitment of the University to provide financial resources necessary to fund the operations of the Foundation. Operating budgets in Exhibit B reflect a reduction in the DFA from 185 basis points to 140 basis points in FY2013/14 as a result of increased funding from the University.



CONSOLIDATED INVESTMENT FUND INVESTMENT POLICY



THE UNIVERSITY of
NEW MEXICO
FOUNDATION

THE UNIVERSITY OF NEW MEXICO
THE UNIVERSITY OF NEW MEXICO FOUNDATION, INC.

Revisions:

1989 September
1992 February
1995 March
1997 February
1999 September
2001 May
2002 June
2003 October
2004 May
2005 October
2010 July

CONSOLIDATED INVESTMENT FUND POLICY STATEMENT

In accordance with Sections 21-1-38.B(1)(b) and 21-1-38.B(2), New Mexico Statutes Annotated 1978, the University of New Mexico Board of Regents (the "Board of Regents") has designated the University of New Mexico Foundation Investment Committee (the "Investment Committee") to act as the official Advisory Committee to oversee and manage the investment of both the University of New Mexico (the "University")'s and the University of New Mexico Foundation, Inc. (the "Foundation")'s endowment funds. The combined endowment assets of the University and the Foundation are known as the Consolidated Investment Fund (the "CIF"). The Investment Committee will apply the standards of conduct described in Sections 6-8-10 and 46-9A-1 through 46-9-12, NMSA 1978, in managing the CIF, subject to the standards set forth herein.

In accepting this responsibility, the Investment Committee agrees to follow the Consolidated Investment Fund Investment Policy (the "Policy"), which has been approved by the Board of Regents pursuant to Section 21-1-38.B(1)(c), NMSA 1978 and the Investment Committee of the Board of Trustees of the Foundation.

The Policy is to be effective July 1, 2010 and supersedes all previous versions.

The Policy governs the investment of gifts and donations to the University or the Foundation in the form of endowments, as well as quasi-endowments established by the Board of Regents, with long-term benefit objectives. All common endowment and quasi-endowment funds of the University and the Foundation will be managed through the CIF. Participants in the CIF will receive units in the pooled fund. At all times the Policy shall recognize and implement all legally enforceable restrictions and directions that may have been placed on gifts by donors and the Policy shall comply with all applicable laws and shall be changed as necessary to comply with changes in applicable law or legal advice.

INVESTMENT PHILOSOPHY

The CIF has a long-term investment horizon, and allocates its assets accordingly. It is recognized that a strategic long-term asset allocation plan implemented in a consistent and disciplined manner along with the long and short-term needs of the University in carrying out its educational mission will be the major determinant of the CIF's investment performance.

The assets will be managed on a total return basis. The Policy also recognizes the importance of preservation of capital. It is appropriate to consider alternative investment strategies if such strategies are in the CIF's best interest on a risk-adjusted basis and are consistent with sufficient liquidity and investment risks that are prudent and reasonable, given the prevailing capital market conditions.

Risk management of the investment program is focused on understanding both the investment and operational risks to which the CIF is exposed. The objective is to minimize operational risks and realize an appropriate return for the investment risks that the Investment Committee is willing to accept.

INVESTMENT OBJECTIVES AND POLICIES

Return Goal: The long-term objective of the CIF is to earn a return sufficient to preserve the purchasing power of the CIF for generations to come, as well as to provide for current needs. As a result, the goal is to achieve an annual total return, net of management and custodial fees, that equals or exceeds the annual Spending Distribution, the annual Development Funding Allocation and inflation as measured by the U.S. Department of Labor All Urban Consumer Price Index "CPI-U".

Return Measurement: To achieve the Return Goal, the CIF assets will be invested to generate a total return consisting of market appreciation and depreciation and/or dividend and interest income. While there cannot be complete assurance that the Return Goal will be realized, it is believed that the likelihood of realization is enhanced by diversifying the assets of the CIF. Over time, the Policy will aim to achieve the Return Goal while maintaining acceptable risk levels. To accomplish this goal, the CIF will diversify assets among several asset classes. The following objectives are designed to support achievement of the Return Goal and are net of (after) investment expense:

- Total CIF assets should achieve an annualized nominal rate of return equal to or greater than that of the Return Goal.
- In general, active managers will be expected to provide returns greater than their appropriate benchmark, net of fees, while utilizing acceptable risk levels, over rolling thirty-six month periods. In contrast, passive managers will be expected to provide returns nearly identical to the appropriate benchmark, before reasonable fees, with no more volatility than the benchmark.

Preservation of Capital: Consistent with their respective investment styles and philosophies, investment managers shall make reasonable efforts to preserve capital, understanding that losses may occur in individual securities.

Cash Reserves: In accordance with the CIF's long-term investment horizon, a fully invested position should be maintained for the overall portfolio with minimal, but sufficient cash reserves to meet all current spending and capital calls.

Risk: It is important that risk is defined so that the assets are managed in a manner consistent with investment objectives and strategies. The policy defines risk as:

- Overall Investment Risk - the probability of not maintaining purchasing power over the CIF's investment time horizon, net of spending and investment expenses.
- Operational Risk - the potential of loss arising from deficiencies in internal controls, human errors, physical systems failures and other business execution risks.
- Cash Flow Risk - the probability of not achieving the CIF's short term cash flow requirements.
- Compliance Risk - non-compliance with applicable State of New Mexico statutes concerning the investment of public funds.
- Interest Rate Risk - the potential for fluctuations in bond prices due to changes in interest rates and/or a duration/liability mismatch.
- Credit Risk - the possibility that a bond issuer will fail to make timely payment of either interest or principal to the portfolio.
- Reinvestment Risk - the possibility that the proceeds of a maturing or called security will be reinvested at lower yields as a result of a general interest rate decline in the bond market.

- **Liquidity Risk** - the possibility that the liquidity of the market for a security may decline thereby making it more difficult to dispose of the security promptly; presenting difficulties in valuation of the security; or causing the security to experience greater price volatility.

Asset Allocation: Asset allocation is the single most important decision which affects the CIF. Investment research has determined that a significant portion of a portfolio's investment behavior can be attributed to (1) the asset classes/styles which are employed by the portfolio, and (2) the weighting of each asset class/style. Given its importance, the asset allocation will be reviewed at least quarterly and revised as necessary.

- The asset allocation shall be determined taking into consideration a comprehensive allocation study completed by the Investment Consultant and taking into consideration the asset allocations of peer institutions as published in the NACUBO-Commonfund Study of Endowments.
- The asset allocation shall be designed to give balance to the overall structure of the investment program over a long-term horizon.
- Asset allocation decisions will not be based on market timing. However, some factors may impact the policy allocation, thereby requiring an asset allocation review and possible rebalancing. Some of these factors include a change in the assessment of the intermediate and long-term outlook for different types of asset classes and styles or divergence in the performance of the different asset classes and styles.
- In consultation with the Investment Consultant, specific target asset allocations may be established by the Investment Committee within the minimum and maximum ranges of the Policy to address current economic and/or market conditions.

Assets shall be invested within the following maximum and minimum ranges for each asset class:

Percent of Total Assets

Asset Class	Minimum	Maximum
Domestic Equity ¹	10%	50%
International Equity ¹	10%	40%
Fixed Income/Cash	10%	50%
Illiquid Real Assets ²	0%	0%
Private Equity ²	0%	0%
Marketable Alternatives	5%	20%

¹ The combined maximum allocation to domestic and international equities shall not exceed 70% at any point in time.

² The Policy's intent is to allow for no new commitments to either Illiquid Real Assets or Private Equity which will result in no allocation to either asset class in the long term as these investments mature. However, the Policy recognizes that existing commitments to these asset classes will require additional "capital calls" in the short-term.

Portfolio Rebalancing: It is desirable to rebalance the CIF's holdings periodically to minimize deviations from the Policy's asset allocation mix. The Investment Consultant shall inform the Investment Committee at the close of any quarter in which rebalancing the CIF is necessary. The Investment Committee shall review and approve any rebalancing actions and the Investment Consultant shall assist as needed in implementing such actions.

Manager Concentration Limits: All actively managed accounts shall be limited to no more than 5% of total assets.

Spending Distribution: The Policy aims to distribute on an annual basis a percentage, established as discussed below, of the average market value of the CIF at the calendar year-end of the previous 20 quarters (the "Spending Distribution"). The Spending Distribution shall not exceed 6% nor be less than 4% of the average market value. The Policy allows for the Spending Distribution regardless of whether the fair market value of an individual account exceeds its historic gift value, unless otherwise precluded by the donor or by law. This practice is consistent with the Uniform Prudent Management of Institutional Funds Act, and recognizes that not spending from an endowment is likely to be contrary to a donor's intent to provide current benefits for the designated purpose. The Investment Committee shall establish at its first meeting of each calendar year the Spending Distribution to be used for the current fiscal year. The Investment Committee shall strive to maintain a Spending Distribution which achieves intergenerational equity, so today's beneficiaries do not unfairly benefit at the expense of future beneficiaries. In addition to considering this Policy and the objectives as stated above, the Investment Committee shall consider, if relevant, the following items in their annual deliberations to determine a reasonable Spending Distribution:

- The net rate of return earned by the CIF in each of the five most recent fiscal years.
- The net real (after adjusting for inflation as measured by the CPI-U) rate of return earned by the CIF in each of the five most recent fiscal years.
- Payout rates established by other university endowments as published in the NACUBO-Commonfund Study of Endowments.
- Other resources available to University and any unusual or extraordinary circumstances impacting these resources (tuition revenues, State appropriations, etc.).
- The extent to which programs benefiting from the Spending Distribution rely on these funds to achieve their goals and objectives.
- Recommendations from the CFO.
- The Spending Distribution in effect at the time of deliberations.
- General economic conditions.
- The possible effect of inflation or deflation.
- The expected total return of the CIF per the most recent asset allocation study.

Development Funding Allocation (the "DFA"): The Board of Regents and the Board of Trustees have agreed that a fair and reasonable uniform basis point fee applicable to all endowment accounts is an appropriate means to assist the operations of the Foundation. The DFA does not provide support for any direct costs of investing and administering the CIF, such as investment consultant fees, custodial fees and investment manager fees. These costs are assigned directly to the CIF. The DFA is subject to review and approval by the Board of Trustees and the Board of Regents annually and at other intervals as required by the Board of Regents.

The following items shall be considered in establishing a reasonable DFA:

- Any external costs including the Investment Consultant, custodian, and investment manager fees of managing the CIF.
- The internal (i.e., accounting, fund raising, information systems, gift administration) costs to raise funds for, manage the assets of and provide stewardship for the CIF.
- Cost Recovery Fee rates established by other university endowments if published in the NACUBO-Commonfund Study of Endowments.
- Recommendations from the CFO.
- The Development Funding Allocation in effect at the time of deliberations.
- The impact on the Spending Distribution.

AUTHORITY AND RESPONSIBILITY

University of New Mexico Board of Regents

The Board of Regents shall review the performance of the Investment Committee and the CIF at least annually. The Board of Regents shall approve annually, along with the Board of Trustees:

- The Investment Policy and any changes thereto.
- The Development Funding Allocation.
- The Investment Consultant and its fees.

University of New Mexico Foundation Board of Trustees

In accordance with the bylaws of the Foundation, the Board of Trustees shall appoint an Investment Committee. The Board of Trustees shall approve, along with the Board of Regents:

- The Investment Policy and any changes thereto.
- The Development Funding Allocation.
- The Investment Consultant and its fees.

The Investment Committee

The Investment Committee has fiduciary responsibility for directing and monitoring the investment of the CIF, and is authorized to retain and solicit recommendations of qualified professionals including the CFO, Investment Consultant and Investment Managers.

The Investment Committee's responsibilities include:

- Recommending an Investment Consultant and the contract.
- Selecting an Investment Custodian and approving the contract.
- Approving investment products for inclusion in the CIF portfolios.
- Monitoring the CIF investments for compliance with all laws, rules and regulations, including but not limited to the Management of Charitable Funds Act as implemented by the State of New Mexico from time to time.

- Developing an investment policy for approval by the Board of Trustees to include an asset allocation model taking into consideration the appropriate rates of return and risk for each asset class.
- Reviewing performance at both the manager and portfolio level on a periodic basis and, if necessary, instructing the CFO and/or the Investment Consultant to conduct additional due diligence on any investment manager/product.
- Rebalancing the CIF in accordance with the asset allocation ranges.
- Providing regular reports to the Board of Trustees and to the Board of Regents at their regularly scheduled meetings, including recommendations with respect to any suggested changes in investment policies.

The Chief Financial Officer

The CFO serves as an advisor to the Investment Committee, and shall assist the Investment Committee as needed in carrying out their responsibilities noted above. Additional responsibilities of the CFO include:

- Implementing Investment Committee decisions as directed.
- Working directly with the Investment Consultant as needed.
- Preparing an annual report on the CIF, including performance and allocation information important to the donor community.
- Coordinating agenda items with the Investment Committee Chair and scheduling meetings of the Investment Committee such that all important matters are brought to the Committee's attention in a timely fashion.
- Reviewing and executing, in consultation with legal counsel, all offering documents for new investment products approved by the Investment Committee.
- Providing the Investment Committee with reports comparing investment performance and asset allocation with the NACUBO-Commonfund Study of Endowments.

The Investment Consultant

The Investment Consultant is responsible for providing advice to the Investment Committee as follows:

- Reviewing the asset allocation as appropriate and no less than annually.
- Monitoring the portfolios to ensure that assets remain within the designated ranges as specified in the asset allocation models, and recommending appropriate actions to address situations outside the designated ranges.
- Providing written comments and recommendations on the Policy as needed, but on no less than an annual basis.
- Assisting in selecting, monitoring, and replacing investment managers and products.
- Recommending appropriate benchmarks and peer groups with which to monitor selected investment managers.
- Providing written quarterly reports no less than quarterly to the Investment Committee on investment products and managers, including departures of key personnel, style drift, changes in investment process and any other matter requiring the Investment Committee's attention including a recommendation that an investment manager be retained or terminated.

- Disclosing any fee relationships with investment managers, advertisers, placement agents or other providers of service for the CIF.
- Presenting reports to the Board of Regents and the Board of Trustees as needed.
- Reviewing all offering documents for new investment products prior to submission to the CFO for signature.

The Investment Managers

Investment managers shall:

- Act as responsible fiduciaries in all matters involving their management of CIF assets and comply with all applicable laws, rules and regulations.
- Report all activity to the CFO and Investment Consultant on a timely basis as agreed, but in no event will such report be provided on less than a quarterly basis. Such reports shall include a comparison of performance with the agreed-upon benchmark, and might include details about the holdings and strategies of the applicable product.
- Vote, or cause to be voted, all proxies on securities held by the CIF.
- Provide CFO with copies of audited financial statements covering the investment product in a timely manner.
- Make presentations to the Investment Committee when and as requested.
- Maintain a strict adherence to the mandate under which they were engaged.
- Provide CFO and Investment Consultant with a written report within five business days of the following matters: (a) change in ownership or legal entity status; (b) change in key personnel, including a change in anyone on the product portfolio management team; (c) change in investment philosophy or style; (d) civil or criminal charges filed against the firm by a regulator.
- Disclose any fee relationship with the investment consultant, advertisers, placement agents or other providers of service for the CIF.

The Investment Custodian:

The Investment Custodian shall:

- Provide monthly reports detailing investment holdings and account transactions within 15 business days following the end of each month and an annual report summarizing the following within 15 business days following each fiscal year end.
- Establish and maintain an account(s) for each Investment Manager of the portfolio as requested by the CFO.
- Provide all normal custodial functions including security safekeeping, collection of income, settlement of trades, collection of proceeds of maturing securities, daily investment of uninvested cash, etc., effect trades, if applicable, manage securities lending program, if applicable.
- Prepare additional accounting reports as requested by the CFO or Investment Consultant.

PERFORMANCE MEASUREMENT AND REPORTING

Performance Measurement

The performance of the CIF will be reviewed at least annually to determine the continued feasibility of achieving the investment objectives and the appropriateness of the investment policy for achieving these objectives. In consideration of the CIF's goals and objectives, several standards will be utilized in evaluating investment performance as opposed to a single measure. These standards reflect several aspects of investment performance, including the specific objectives and the market indices used to measure the performance of individual Managers.

- **TOTAL FUND PERFORMANCE:** The Investment Committee expects the total assets under management to perform in the upper quartile of an acceptable comparable peer universe, over a market cycle or a three to five year period if shorter.
- **ASSET CLASS LEVEL PERFORMANCE:** Each asset class approved by the Investment Committee will have an intermediate term benchmark that is investable and a longer term benchmark that represents the investment objective in that asset class's inclusion in the portfolio.
- **INVESTMENT MANAGER PERFORMANCE:** Each Investment Manager's performance should compare favorably over comparable periods to that of other Investment Managers of a like style and discipline. The Investment Managers shall have discretion to invest a portion of the assets in cash reserves when they deem it appropriate. However, the Investment Managers will be evaluated against their peers and their predetermined benchmarks on the performance of the total funds under their direct management.

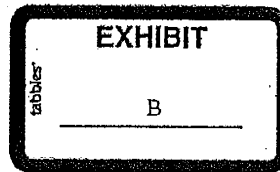
Conflict of Interest

All persons responsible for investment decisions or who are involved in the management of the Foundation or who are consulting to, or providing any advice whatsoever to the Investment Committee, shall disclose in writing at the beginning of any discussion or consideration by the Investment Committee, any relationships, material beneficial ownership, or other material interest(s) which the person has or may reasonably be expected to have, with respect to any investment issue under consideration. The Investment Committee will require such persons to remove themselves from the decision-making process.

Any members of the Investment Committee responsible for investment decisions or who are involved in the management of the Foundation shall refuse any remuneration, commission, gift, favor, service or benefit that might influence them in the discharge of their duties, except as disclosed in writing to and agreed upon in writing by the Investment Committee. The intent of this provision is to eliminate conflicts of interest between committee membership and the Foundation. Failure to disclose any material benefit shall be grounds for immediate removal from the Investment Committee. This provision shall not preclude the payment of ordinary fees and expenses to the Foundation's custodian(s), Investment Managers, or Investment Consultant in the course of their services on behalf of the Foundation.



THE UNIVERSITY of
NEW MEXICO



The University of New Mexico Foundation
FY 2013 & 2014 Budgets

	FY 2012/13 Original Budget	FY 2012/13 Proposed Revised Budget	FY 2013/14 Proposed Budget
REVENUES			
Reserve Balance Forward	-	-	49,529
Development Funding Allocation (DFA)			
DFA @ 185 bps (excl. Woodward)	5,300,675	5,300,675	5,300,675
DFA Reduction to 140 bps (excl. Woodward)	-	-	(1,289,353)
DFA @ 185 bps (Woodward)	661,500	661,500	-
DFA Reduction to 90 bps (Woodward)	-	(339,689)	-
DFA @ 90 bps (Woodward)	-	-	321,811
Short-Term Investment Income	850,000	850,000	850,000
Other Revenues & Transfers	150,000	150,000	150,000
Existing Cost-Share Agreements (HSC & Athletics)	660,780	660,780	660,780
Existing Cost-Share Agreements (Main Campus)	600,000	-	-
Existing Cost-Share (Fringe Benefits)	150,000	-	-
University One-Time Funds (Main Campus)	600,000	450,000	150,000
University One-Time Funds (Fringe Benefits)	150,000	-	-
<i>Foundation Surcharge (All Campuses) *</i>	-	2,459,000	4,918,000
Use of Foundation Reserves	481,973	-	-
Total Revenues	9,604,928	10,192,266	11,111,441
EXPENDITURES			
Salaries & Benefits			
Current Foundation Staff	7,685,062	7,685,062	7,685,062
Merit and/or COLA Increase - 2.0%	-	-	153,701
Additional Foundation Staff (FY13-April Hire)			
Regional Dev. Officer - High Cost Location (2 FTE)	-	104,250	417,000
Regional Dev. Officer - Mid Cost Location (2 FTE)	-	88,125	352,500
Dev. Officers - Schools of Med. & Engr. (2 FTE)	-	18,000	72,000
Research Manager - Albuquerque Office	-	17,738	70,950
Staff Support - Albuquerque Office	-	11,288	45,150
Operational Expenses	1,651,591	1,650,000	1,700,000
Office Space Rent	268,275	268,275	268,275
Data Records Upgrade (One-Time Funds)	-	300,000	300,000
Total Expenditures	9,604,928	10,142,737	11,064,638
PROJECTED RESERVE	-	49,529	46,803

* UNM Funding based on a 25bps charge against UNM departments in FY13, and an additional 25bps charge against UNM departments in FY14

**RESTATED AND AMENDED
MEMORANDUM OF AGREEMENT
BETWEEN
THE REGENTS OF THE UNIVERSITY OF NEW MEXICO
AND
THE UNIVERSITY OF NEW MEXICO FOUNDATION, INC.**

The Memorandum of Agreement made on the 11th day of March 1991, as previously amended April 30, 2008 and February 27, 2009 (the "MOA" or "Agreement") between the Regents of the University of New Mexico (the "University"), a public corporation of the State of New Mexico and the University of New Mexico Foundation, Inc. (the "Foundation"), a non-profit corporation created by the University for the sole purpose of soliciting, receiving and managing private voluntary support for the benefit of the University, is restated and amended this 25th day of September, 2012 as follows.

Recitals

WHEREAS, the University formally recognizes the Foundation as a separate corporate entity established for the sole purpose of soliciting, receiving, distributing and managing private gifts and donations given for the benefit of the University; and,

WHEREAS, the Foundation agrees that it will function as an interdependent entity with a close operational and fiscal relationship with the University through the University President and the academic leadership of the University; and,

WHEREAS, the University further recognizes that the Foundation provides the following services; 1) creates and encourages an opportunity for private individuals and organizations to invest in the support of University programs and services with the assurance that the benefits of these gifts and donations supplement state appropriations to the University; 2) provides a corporate structure for managing private gifts and donations, including endowments and income-producing properties, that do not jeopardize the University's tax-exempt status or create unrelated business tax obligations for the University; 3) provides added assurance to donors that their contributions will be distributed and utilized for the specified purposes; and, 4) provides a medium for alumni and community leaders to participate in and contribute to the strengthening of the University through their participation in the solicitation, management and distribution of private gifts and donations; and,

WHEREAS, it is understood by the University and the Foundation that all gifts and donations received by the Foundation are given for the benefit of the University and, therefore, shall be managed, distributed, and utilized in accordance with policies and procedures established by the University and the Foundation to assure accountability to the donor and to the public that supports the University; and,

WHEREAS, the University has determined that the Foundation shall be the primary organization for overseeing the development, coordination and implementation of the fund-raising activities for the University.

NOW, THEREFORE, it is agreed as follows:

FOUNDATION AGREEMENTS

1.0 ORGANIZATION AND OPERATIONS OF THE FOUNDATION

1.1 The Foundation shall operate according to its Articles of Incorporation filed June 4, 1979 and Bylaws, as amended on December 4, 2009 and as amended from time to time. The Foundation shall, at its next regularly scheduled Board of Trustees meeting, further amend its Bylaws to conform with the terms of this MOA.

1.2 The Foundation shall organize and operate in such a manner that will retain the Foundation's legal status as a tax-exempt, non-profit organization pursuant to section 501(c)(3) of the Internal Revenue Service Code, or any successor statute.

1.3 Involvement of the University in Foundation Governance

1.3.1 The President of the University will serve as a voting member of the Foundation's Board of Trustees, its Executive Committee and its Committee on Trustees.

1.3.2 The President of the University will appoint two Deans to serve as voting members of the Foundation's Board of Trustees.

1.3.3 A member of the University of New Mexico Board of Regents shall be included as a voting member of the Foundation's Board of Trustees; and,

1.3.4 No more that 25% of the Foundation's Board of Trustees may be employees, officers or Regents of the University.

1.4 The President of the Foundation is the chief executive officer and an employee of the Foundation. The Foundation recognizes a lead role of the President of the University in hiring, evaluating, compensating and terminating the President of the Foundation, and the President of the University must be included in all facets of the work of the President of the Foundation. The President of the Foundation shall report primarily to the President of the University and then to the Executive Committee of the Foundation. In concert with the President of the University, the Foundation will identify and provide an appropriate benefits package for the Foundation President and other senior leadership employees it hires, including but not limited to retirement, deferred compensation, and health, dental, vision, disability, and life insurance.

1.5 The Foundation will hire and oversee advancement staff to provide solicitation, consultation and other related fundraising, fund management and administrative support to maximize private gifts and donations from various sources to the Foundation for the benefit of the University.

1.6 The Foundation shall not solicit or accept gifts, grants, conveyances, devices, bequests or otherwise from any source for a use specified by the donor which is inconsistent with the University's goals and policies.

- 1.7 The Foundation will maintain policies and procedures for the review and acceptance of gifts and donations. The acceptance of any gift or donation that requires a matching obligation of the University or creates a future obligation of University resources must first be approved by the University's Chief Financial Officer in accordance with any applicable university policies.
- 1.8 The Foundation agrees to establish and maintain a record of all gifts and donations to the University and affiliated organizations.
- 1.9 The Foundation will not engage in any instructional, research or public service activity sponsored, or participated in, by a University department or individual employee, without prior approval of the President of the University.
- 1.10 The Foundation shall, at all times, maintain adequate insurance coverage, including Trustee and officers' liability insurance, property and casualty insurance appropriate to cover property held and managed by the Foundation for the benefit of either the Foundation or the University.

2.0 MANAGEMENT OF GIFTS AND DONATIONS

- 2.1 The Foundation agrees to appoint an Investment Committee, which committee is hereby designated by the Board of Regents of the University as the investment advisory committee required by Section 21-1-38B(1)(b), NMSA 1978. Pursuant to Section 21-1-38.B (2), the University hereby delegates to the Foundation, acting through its Investment Committee, the authority to manage the investments of both the University funds and Foundation funds placed in the Consolidated Investment Fund ("CIF"). The Consolidated Investment Fund shall be managed in accordance with the Consolidated Investment Fund Endowment Investment Management Policy ("CIF Policy"), as developed, approved and amended by the Foundation and the Board of Regents from time to time, as required by Section 21-1-38.B(1)(c). A current copy of the CIF Policy is attached hereto and incorporated herein as Exhibit A, and any subsequently modified and approved versions shall be similarly incorporated herein.
- 2.2 The Investment Committee will conform to the requirements of the Uniform Investment Act (NMSA 1978 §45-7-611), the Uniform Prudent Management of Institutional Funds Act (NMSA 1978 §46-9-2), and other laws governing the management of the University and its funds.
 - 2.2.1 The Investment Committee shall, at least quarterly, select and review the performance of investment managers in the context of predetermined performance standards and shall periodically review and adjust the allocation of funds within various investment categories.
 - 2.2.2 Annually, the Investment Committee will review the CIF Policy and recommend revisions, if any, to the Foundation Board of Trustees and the University Board of Regents.

2.2.3 The Investment Committee shall be deemed to have met its fiduciary and other legal responsibilities to the University Board of Regents and the Foundation so long as it acts in conformity with the provisions of this Section 2.0 and the CIF Policy.

2.3 The University Board of Regents retains the authority to remove some or all of the University's funds from the CIF and to change or revoke this delegation of responsibility to the Foundation. Any withdrawals from the CIF shall be made on a prorated, unitized basis.

2.4 In addition to the endowments currently held or received in the future in the name of the University or the Foundation, the Foundation also agrees to be the custodian and manager of any endowments received by any University-affiliated organization. The Foundation shall not co-mingle the funds of such endowments but may co-invest such endowments under the CIF Policy.

3.0 DISTRIBUTION AND EXPENDITURE OF FUNDS

3.1 The University Controller and the Foundation Chief Financial Officer will establish procedures to transfer funds between the University and the Foundation as required by this Agreement.

3.2 No gift funds will be disbursed directly through the Foundation account(s), either in the form of compensation to a University employee or for the purchase of goods and services for any unit of the University or University-affiliated organization, unless specifically approved by the University President or his/her designee. The expenditure of gift funds for the benefit of any unit of the University or University-affiliated organization will be disbursed through the University's general ledger system.

3.3 To assure that the donor directive on the use of the gift is followed when disbursed through the University's general ledger system, the Foundation will be responsible for providing the University the appropriate information on donor-directed gift restrictions.

3.4 All funds that are transferred from the Foundation to a University spending account are subject to all state laws and regulations governing the disbursement and administration of public funds. Private donations and grants made to the Foundation, or made to the University through the Foundation, shall not be considered public funds until dispersed to a University spending account.

4.0 REPORTS AND ACCOUNTING

4.1 The Foundation will work with the President of the University to develop a strategic plan to identify information and reports that are needed by various constituencies. At a minimum, the Foundation shall provide the University with an annual report that will include a summary of all gifts and donations received and held or distributed during the past year, the performance of the investment management program and the Foundation's operating income and expenses for the fiscal year.

4.2 The Foundation shall provide the University with the report of an annual audit performed by an independent certified public accounting firm selected by the Foundation. Any

independent certified public accounting firm selected by the Foundation that is not the same firm selected by the University must agree to provide the necessary audit information for the University to be able to comply with any federally mandated "single audit" report.

- 4.3 The Foundation agrees to provide any information regarding operations of the Foundation to the University's internal audit staff upon formal request to the Foundation's Executive Committee.

UNIVERSITY AGREEMENTS

5.0 UNIVERSITY PRIORITIES AND LONG RANGE OBJECTIVES

- 5.1 The University agrees that the Foundation will be responsible for the development, implementation and oversight of the University's primary fund-raising activities.
- 5.2 In recognition of the philanthropic and investment services provided by the Foundation and to maximize the Foundation's ability to match fund-raising activities with priority needs, the University agrees to provide a clear statement of fund-raising priorities and long range academic objectives. In addition, the University agrees to involve the Foundation as the University continues to develop planning goals.
- 5.3 The University President will appoint a Dean's Council to work with the Foundation leadership to implement appropriate fundraising strategies.
- 5.4 The University will revise the job descriptions and evaluation forms for all Deans to include fundraising and fundraising metrics.

6.0 BUDGET AND FINANCIAL SUPPORT

- 6.1 University staff assigned to the Foundation to carry out development functions shall continue to be governed by the policies, procedures, practices and employment privileges of the University.
- 6.2 The University commits to provide revenue sufficient to allow the Foundation to fund its operations and to grow the fundraising efforts to meet mutually identified strategic needs of the University. Exhibit B contains the multi-year fiscal commitment of the University to provide financial resources necessary to fund the operations of the Foundation.

7.0 UNIVERSITY SUPPORT SERVICES

- 7.1 The University grants the Foundation a non-exclusive license to use the name "The University of New Mexico" and any other trademarks of the University in connection with its fund-raising activities. The Foundation agrees to abide by all the University's trademark requirements.
- 7.2 The University shall cooperate with the Foundation in the development of its fund-raising programs and campaigns, including providing information, data, plans, speakers, facilities for meetings on the University campus and such other materials and services as

may reasonably be necessary for the successful conduct of fund-raising programs and campaigns. The University, as it deems appropriate and as resources are available, may provide additional financial support to the Foundation for major fund-raising campaigns.

8.0 UNIVERSITY COMPLIANCE WITH GIFT RESTRICTIONS

- 8.1 The University agrees to assume the responsibility of monitoring the expenditures or income disbursements from both non-endowed gift accounts and endowed spending accounts to ensure that any and all donor-imposed restrictions are maintained.
- 8.2 The Department of Internal Audit will periodically audit the University's compliance with such restrictions.

JOINT AGREEMENTS

9.0 OPERATIONAL CONSIDERATIONS

- 9.1 The Foundation and the University agree that, at all times and for all purposes of this Agreement, the Foundation as an entity, in the performance of this Agreement and other activities to be undertaken by the Foundation, shall act in an independent, separate legal capacity and not as an agent of the University. Within the limits and restrictions of the New Mexico Tort Claims Act, Sect. 41-4-1 et. seq. NMSA 1978, each party agrees to be responsible for its own negligent acts or omissions which may occur during the performance of this Agreement and which result in claims by individuals or entities not parties to this Agreement.
- 9.2 It is mutually understood and agreed any alteration or variation of the terms of the Foundation's Articles of Incorporation or the Bylaws of the Foundation, which affect the ability of the Foundation to carry out its responsibilities under this Agreement shall be submitted for approval of the Board of Regents of the University.

All official notices required under this agreement shall be given as follows:

Notice to University: University President
 Scholes Hall
 University of New Mexico
 Albuquerque, NM 87131-3001

Notice to Foundation: President
 University of New Mexico Foundation, Inc.
 700 Lomas Blvd. NE Suite 108
 University of New Mexico
 Albuquerque, NM 87131

- 9.3 The Foundation will take appropriate steps to ensure that it does not discriminate against any person because of race, religion, national origin, color, gender, veteran status or sexual orientation. The Foundation will put in place a complaint, investigation and resolution process that meets the requirements established by state and federal law and that will take prompt steps to remedy any discrimination that is found to have occurred.

9.4 This Agreement will be governed by the law of the state of New Mexico.

9.5 No amendment to this Agreement shall be valid unless made in writing and signed by both Parties. No oral understandings or agreements not incorporated herein, and no alterations or variations of the terms hereof, unless made in writing between both Parties, shall be binding on either of the Parties.

10.0 TERMINATION

10.1 This Agreement may be terminated by either party effective upon written notice to the other party at least sixty (60) days in advance thereof. If for any reason this Agreement is terminated, or the Foundation is dissolved, liquidated, or ceases to be a 501(c)(3) organization, all accounts of the Foundation shall be transferred to the University or a successor organization(s) designated by a resolution of the Board of Regents on or before the date of termination. In such event, the University or other successor organization will honor to the maximum extent possible the instructions of donors to the Foundation.

IN WITNESS HEREOF, the parties hereto have caused this Agreement to be executed.

THE UNIVERSITY OF NEW MEXICO FOUNDATION, INC.

By: [Signature]
Chairman of the Board of Trustees

10/1/12
date

By: [Signature]
Secretary of the Board of Trustees

10/1/12
date

THE REGENTS OF THE UNIVERSITY OF NEW MEXICO

By: [Signature]
President of the Board of Regents

10/12/2012
date

By: [Signature]
Secretary of the Board of Regents

10/12/12
date

This Agreement was approved at a regularly called meeting of the Board of Directors of the University of New Mexico Foundation held on September 25, 2012 by a vote of 14 in favor and 0 opposed.

This Agreement was approved at a regularly called meeting of the Board of Regents of the University of New Mexico held on September 11, 2012 by a vote of 7 in favor and 0 opposed.



University Services

University Services Business Operations

UNM Copy Center

UNM Mailing Systems

UNM Records Management

<http://www.unm.edu/~univserv/>

UNM Surplus Property

UnivServ Shipping and Receiving


UNM Inventory Control

Chem Stores/CRLS

Print Management Program

Date: February 15, 2013

To: Bruce Cherrin, Chief Procurement Officer
Purchasing Department

From: Debra L. Fondino 
Associate Director, University Services

Subject: Equipment Disposition – February 2013

Attached for your review and submission to the Board of Regents is the Surplus Property Disposition Detail list for the month of February, 2013.

Consistent with UNM Board of Regents Policy 7.9 and the Disposition of Surplus Property Act, 13-6-1, NMSA 1978, and based upon documentation submitted by the UNM departments responsible for the equipment, I certify that the equipment identified on the list is worn-out, unusable or obsolete to the extent that the items are no longer economical or safe for continued use by the University. I recommend that the items be deleted from UNM's inventory and disposed of in accordance with the above noted Regents Policy and Surplus Property Act.

Surplus Property Department
Disposition Detail List
as of 02/15/13

UNM#	Department surplussing equipment	Description	Manufacturer	Model#	Serial#	Year	Acquisition Cost	NBV	Method Of Disposal Desc	Disposal Date
(1) 194053	Ctr for Micro Engineering Materials	CHROMATOGRAPH GAS ANALYZER	Varian	3450	SISPA0739401	1991	16,294.00	0.00	Beyond Repair	02/11/2013
(1) 194143	Ctr for Micro Engineering Materials	CHEMISORPTION CONTRO VIDEO CAMERA	Micromerit	2000000010	275	1991	46,390.00	0.00	Beyond Repair	02/11/2013
(1) 195990	Ctr for Micro Engineering Materials	VIDEO CAMERA	Panasonic	AGDP800P	314	1992	21,430.00	0.00	Too Costly to Repair	02/11/2013
209806	Communication Journalism	GOLF CART	EZGO		K4TD00152	1995	5,719.00	0.00	Obsolete	01/28/2013
217046	Chem Stores	COMPUTER PROJECTOR	Toshiba	TLPS11A	A37367	1996	6,413.00	0.00	Obsolete	02/15/2013
235288	Earth and Planetary Sciences Dept	CYCLER THERMO Recorder/PlayerEdit/4212	UNKNOWN/OTHER	4212	12692511	1999	5,236.00	0.00	Too Costly to Repair	02/01/2013
236830	Biology Department	LASERJET PRINTER	Master	4212	3404303	1999	6,386.00	0.00	Too Costly to Repair	01/28/2013
(2) 239094	Gallup Foreign Languages	GENERATOR AEROSOL/OZ TSI	HewlettPac	3450	Master System	1999	16,561.00	0.00	Too Costly to Repair	02/11/2013
247548	Ctr for Adv. Research Computing				SJPM015716	2001	5,539.00	0.00	Obsolete	01/28/2013
(1) 267238	Ctr for Micro Engineering Materials				70412093	2004	20,066.00	0.00	Too Costly to Repair	02/11/2013

Disposition value: 150,634.00

Disposition bookvalue: 0.00

Total Disposition Items: 10

02/15/13

Additional Notes to Surplus listings dated 02/15/13:

1 –Assets# 194053, 194143, 196990, 267238 consist of a Gas Chromatograph, a Spectrophotometer, a Controller and a Generator Aerosol from the Center for Microengineered Materials. The equipment has been obsolete for many years and is now nonfunctional. The Gas Chromatograph and the Spectrophotometer have been cannibalized for all usable parts. All items have been replaced with more modern technology.

2 –Asset# 239094 is a 13-year old Control System recorder/player/editor from the Gallup Branch. Being an old tape-based language library, it is outdated technology. Many components in the system are not operational and it is not cost effective or programmatically feasible to repair. The department has disassembled and removed the equipment from the area, returning the space to general classroom use.

----- Note: Any item of value in this list will be re-sold through all means available, i.e.: Request for Bid, Auction house, special auction, online sale, to recover the maximum monies to re-invest in the mission of the requesting department.

Memo

To: Regent Jack Fortner, President, UNM Board of Regents

From: David Harris, President, Lobo Development Corporation

Date: March 5, 2013

Re: UNM - Fairmount Properties: Form of Ground Lease, Campus Village South

On December 11, 2012, the Board of Regents approved the Master Development Agreement between the Regents, Lobo Development, and Fairmount Properties for the Development of a Mixed-use Retail Focused Project on UNM's South Campus. The Master Development Agreement sets forth the process by which specific development projects are advanced and approved by the Regents and Lobo, as well as the basic ground lease terms, for three (3) parcels of UNM land:

Parcel I - 4.34 acres on Cesar Chavez Blvd. (South Side)
Parcel II - 1.34 acres on Cesar Chavez Blvd. (South Side)
Parcel III - 39.0 acres on Gibson Blvd. (North Side)

The Master Development Agreement provides, as the next step in this process, the preparation and agreement by the parties of a form of ground lease for Parcel III, substantially on the terms of the ground lease term sheet attached to the Agreement, within 60 days of the effective date of the Master Development Agreement. On February 11, the Board of Regents approved a 30-day extension of this deadline to March 20, 2013.

The Ground Lease Form for Parcel III has been negotiated between the parties and is ready for formal approval by the Board of Regents. On February 28, 2013, the Lobo Development Corporation Board of Directors recommended the Ground Lease Form to the Regents for approval. On March 1, 2013, the Board of Regents' Finance and Facilities Committee, based on its review of the Ground Lease Form, approved the document.

Attached is a Briefing Summary for the Ground Lease Form. If you have any questions, please contact any of the following individuals.

Steve Beffort, Lobo Development
(o) 505-272-1071, (c) 505-220-3147
beffort@unm.edu

John Salazar, Rodey Law Firm
(o) 505-768-7220
jsalazar@rodey.com

Tom Neale, UNM Real Estate Dept.
(o) 505-277-4637, (c) 505-934-4787
tneale@unm.edu

Eric Louttit, Forest City Real Estate Svcs
(o) 216-416-3740, (c) 216-233-4927
EricLouttit@forestcity.net

Maureen McGuire, University Counsel
(o) 505-277-3461
MaMcGuire@salud.unm.edu

Campus Village South

Project Background and

Summary of Ground Lease Form

Project Background

Since the development of Lobo Village on the University's South Campus, Lobo Development has been exploring the creation of a mixed-use project to bring services to the South Campus and to generate additional income for the University. Lobo Development hired Forest City Real Estate Services to assist with the execution of the development. Approximately fifteen months ago, Forest City and Lobo Development conducted a targeted RFP process to identify a developer for the Campus Village South development. Local and national developers were invited to participate, and a series of interviews were conducted. Ultimately, Fairmount Properties was selected as the developer for the commercial, mixed-use project based upon their extensive experience with other university projects around the country.

Last year, Lobo Development negotiated a Master Development Agreement ("MDA") with Fairmount Properties. The MDA serves as the overarching document which sets the framework within which all subsequent ground leases are to be prepared. A more complete description of the MDA, contained in the Kim Murphy to David Harris memo of November 26, 2012, is attached as **Exhibit A** to this Summary. The Board of Regents approved the MDA on December 11, 2012. At the same meeting, the Board of Regents gave the parties 60 days (later extended to 90 days) to negotiate a form of Ground Lease. During the 90-day period, the parties have been working diligently to finalize the Ground Lease form and all of its corresponding exhibits which include the Campus Village South Design Guidelines, the Campus Village South Design Regulations, a Right of First Refusal for UNM, Rights of First Offer for both UNM and Fairmount, and a Fairmount Completion Guaranty. A design and development regulations charrette was held at Fairmount's offices in Cleveland, and two separate multi-day negotiating sessions were held in Albuquerque with representatives from Fairmount Properties, Lobo Development, the UNM Real Estate Office, the UNM Office of General Counsel, Forest City Real Estate Services, and University administration in attendance.

The MDA follows the same process approved by the Regents for the student housing partnership with American Campus Communities. Next steps are: (1) Regents approval

of the form of Ground Lease; (2) Regents approval of specific development proposals and Preliminary Materials; and (3) Regents approval of specific ground leases for each development phase.

The goal has been to craft a Ground Lease that protects the University's interest over the life of the lease by retaining as much control as possible over land use, project design, transferability and like issues while at the same time providing a long-term income stream. The procedures established in the Ground Lease form follow the roadmap established in the MDA and the Ground Lease term sheet. The process established for the development of this project is:

- 1.) Negotiate and execute the Master Development Agreement – completed on December, 11, 2012;
- 2.) Draft a form of Ground Lease – To be presented to Board of Regents for approval on March 11, 2012;
- 3.) Preparation and submission of Preliminary Materials –
 - a. After approval of the form of Ground Lease, Fairmount Properties has 20 days to submit its Notification of Preparation of Preliminary Materials;
 - b. This kicks off the development proposal stage;
 - c. Lobo Development and the Board of Regents have 100% approval rights over all Preliminary Materials;
 - d. The Preliminary Materials will include the proposed rent to be included in the final Ground Lease;
 - e. Upon approval of the Preliminary Materials, the Rent will be established and inserted in the Ground Lease.
- 4.) Execution of the Ground Lease;
- 5.) Preparation of Final Materials – Lobo Development maintains the right to review all Final Materials to confirm that they are consistent with the Preliminary Materials;

The resulting document is a market-based agreement that protects the University's interest in the land over the long-term, while allowing the developer the flexibility needed to successfully develop a high-quality, mixed-use project that will meet the needs of the site's many constituencies, including University students living at Lobo Village, other students that use the University's sports and parking facilities on the South Campus, the CNM community, employees that work in the UNM Science and Tech Park, residents that live in this under-retailed section of the city, the thousands of visitors that attend games and events at the Pit, Lobo Stadium, and Isotopes Park, as well as the numerous commuters that travel on Gibson, Cesar Chavez and I-25.

SUMMARY OF GROUND LEASE FORM

The essence of the transaction is as follows:

- A.) Term (Section 2, Page 2) – The full term of the Ground Lease is 74 years
 - a. Initial Term – 50 years
 - b. Extensions –
 - i. 4 at 5 years each
 - ii. 1 final extension at 4 years

- B.) Rent (Section 3, Page 2) -- Base Rent will be paid on each parcel or sub-parcel for which a ground lease or occupancy lease is executed, with Rent beginning upon commencement of rent payment by the project tenants. It is anticipated that rent will begin within 18-24 months after the first ground lease is executed.

Rent will be determined once Preliminary Materials are submitted by Fairmount Properties and approved by UNM. Base Rent will be a fixed amount per year, calculated by multiplying the fair market value of the land to be leased by a percentage to be agreed upon by UNM and Fairmount. Additional income may be generated by the inclusion of Percentage Rent or the creation of a joint venture partnership.

- C.) Project Development/Phasing (Section 6, Page 10) – The Campus Village South project is anticipated to be developed in phases, and individual Ground Leases will be executed for sub-parcels within each phase. It is likely that development will occur on Parcel III first, with a large retail anchor and junior anchors as the initial tenants.

D.) Developer Submittals (Section 5, Page 5) –

- a. Preliminary Materials (Section 5(b), Page 5) – The Preliminary Materials will be submitted for review by Lobo and approval by the Board of Regents before a Ground Lease is signed. The Preliminary Materials will include the following:
 - i. Plans and specs for the project;
 - ii. Project budget;
 - iii. Project schedule;
 - iv. Project operating pro-forma;
 - v. Term sheets or leases with tenants;
 - vi. Proposed ground rent structure.

The Preliminary Materials will include the proposed Ground Rent amount. Once the Preliminary Materials are approved by the Regents, a Ground Lease will be signed for the appropriate parcel or sub-parcel and the developer will begin preparing Final Materials for the project.

- b. Final Materials (Section 5(c), Page 6) – Final Materials will include the following:
 - i. Final plans and specs for the project, including any tenant design standards in the possession of developer not subject to a confidentiality agreement;
 - ii. Final project cost budget;
 - iii. Final project schedule;
 - iv. Final project operating pro-forma, which shall confirm the Ground Rent to be paid to the University;
 - v. Copies of executed leases;
 - vi. A financing commitment from a lender.

Lobo will review the Final Materials for consistency with the Preliminary Materials, the Campus Village South Regulations and the Campus Village South Covenants. If consistent, the Final Materials will be approved.

- E.) Project Design (Section 5(b), Page 5 and Section 10, Page 18)– The project design must adhere to the provisions of the Campus Village South Regulations, which have been promulgated by Lobo Development and govern, among other things,

building materials, colors and finishes; permitted and prohibited uses; the amount and location of green space, and traffic circulation.

A design review committee will be established in the project Covenant, Conditions & Restrictions document to consider any proposed changes to the Campus Village South Regulations.

- F.) Exclusivity (Section 6(g), Page 13) – UNM will grant to the developer an exclusive right to develop Campus Village South once the first ground lease is executed, subject to (i) construction of the Shopping Center component commencing within 12 months of execution of the first ground lease for Parcel III, and (ii) substantial completion of the Shopping Center component occurring within 36 months. The Exclusivity Period will be for a term of 5 years. This section has been modified since the Ground Lease form was circulated to the Regents. UNM has agreed to provide Fairmount with an additional Exclusivity Period which will commence with the delivery of Fairmount's Preliminary Notification and will extend through the execution of the first Ground Lease for a development. In exchange, Fairmount has agreed to pay monthly rent commencing upon the delivery of Fairmount's Preliminary Notification and continuing until Commencement of Construction on the first project. The rental payment shall be \$1,000/month and is not refundable or applicable to any other sums due by Fairmount to UNM. Fairmount has twenty days after Regent approval of the form of Ground Lease to submit its Preliminary Notification and shall have no more than 12 months to submit Preliminary Materials for the Shopping Center Component to the Board of Regents for approval.
- G.) Assignment/Transfer by Fairmount (Section 11, Page 19)– This section puts restrictions on Fairmount Properties' ability to transfer its interest in the Project.

- a. Transfer to an Affiliate -- Fairmount may transfer its interest in a Ground Lease to an affiliate without the prior written consent of UNM if the affiliate meets the following Affiliate Criteria :
- i. Fairmount has provided evidence satisfactory to UNM that the Affiliate is financially capable of completing the project; or
 - ii. Fairmount has provided assurances from a third-party lender that has reviewed the financials of the Affiliate that the Affiliate is financially capable of completing the project; and
 - iii. Fairmount has provided a Completion Guaranty for the project.

- b. Transfer to a Qualified Assignee -- Fairmount may transfer its interest in the ground lease to a Qualified Assignee without the prior written consent of UNM if:
 - i. Fairmount has achieved substantial completion of construction of the project;
 - ii. UNM has first been given an opportunity to purchase the property pursuant to the Landlord ROFR or ROFO, as appropriate;
 - iii. The proposed assignee has at least 10 years of experience managing like mixed-use real estate;
 - iv. The proposed assignee currently owns or manages a minimum of 1,000,000 sf of mixed-use real estate projects; and
 - v. The proposed assignee has an aggregate net worth of the greater of: (1) fifty percent (50%) of the gross value of the interest being acquired, or (2) \$25,000,000.00, as of the effective date of the proposed assignment.

H.) Rights of First Refusal and Rights of First Offer – Any contemplated transfer gives UNM the right to purchase the Tenant's interest first. This right remains for the life of the Ground Lease.

- a. Landlord's Right of First Offer (Section 33, Page 44) – In the event Fairmount wishes to market its property for sale, it must first offer the property to Landlord at the price with which it intends to go to market. Landlord shall have 120 days to exercise its offer to purchase Fairmount's interest. Should Landlord decide not to exercise its offer, then Fairmount may take the property to market and attempt to sell it. If Fairmount is able to sell the property for a price at or above that offered to the University, then Fairmount is free to sell the property. Should Fairmount wish to sell at a lower price, then it must first come back to the University to see if the University would like to exercise its offer at the lower price. This Right of First Offer shall remain in place for the life of the Ground Lease and be applicable to all future buyers of the leasehold estate.
- b. Tenant's Right of First Offer (Section 36, Page 45) – Should Landlord elect to enter into a ground lease or sales contract one or more of the parcels identified on Exhibit ____ of the Ground Lease, it must first offer those parcels to Fairmount on the same terms. Fairmount shall have 120 days to respond to the Offer.

- c. Landlord's Right of First Refusal (Section 34, Page 44) – in the event that Fairmount receives an unsolicited offer to purchase its interest in a ground lease and Fairmount wishes to sell at the offered price, Fairmount is required to first offer to sell its interest to Landlord at the price in the unsolicited offer. The Landlord's ROFR runs with the land. Initially, Landlord had 15 days to accept the offer. This section has been modified since the form of Ground Lease was circulated to the Regents for review. The revised document now provides that the University has up to 30 days to accept the Tenant's offer, and the University shall not have to close on the purchase until it has had sufficient time to receive State Higher Education Department and Board of Finance approvals.

I.) Default (Section 21, Page 36)

a. Event of Default

- i. Tenant fails to pay any sum when due and payable (Monetary Default).
- ii. Tenant bankruptcy.
- iii. Tenant fails to perform any other obligation.
- iv. Tenant is in material breach of any representation or warranty.
- v. Guarantor defaults under Completion Guaranty.

- J.) Damages - Either party may sue the other party for actual damages but not for consequential (other than actual), punitive or exemplary damages. The maximum amount for actual damages shall be limited to extent of the party's interest in the Leasehold Estate in the parcel or sub-parcel. This Section has been modified. It now reads that either party may seek any and all damages available at law or in equity. Those damages include, but are not limited to, actual, consequential, punitive, or exemplary damages. Each party agrees that the maximum amount of monetary damages which either party can recover from the other party shall be limited to the extent of the party's interest in the Lease.

- K.) Mediation; Litigation - If parties cannot resolve dispute among themselves within 30 days of dispute arising, 45 day moratorium on litigation to pursue settlement through non-binding mediation held in Bernalillo County pursuant to rules of American Arbitration Association. Mediator selected by Lobo and Tenant, which mediator shall have at least 5 years experience in mediating or

arbitrating commercial real estate disputes. Cost of mediation equally shared between Lobo and Tenant. Results of mediation nonbinding and parties free to initiate litigation subsequent to conclusion of mediation.

Memo

To: David W. Harris, EVP for Administration, COO & CFO

From: Kim D. Murphy, AVP, Lobo Development Corp.

Date: November 26, 2012

RE: South Campus Commercial ~ Master Development Agreement
Fairmount Properties, LLC (Cleveland, OH)

On November 15, 2012, the Lobo Development Corporation Board of Directors ("LDC") discussed and recommended approval to the Board of Regents the Master Development Agreement for Development of a Mixed-use Retail Focused Project (Campus Village South) between the Regents of UNM, LDC and Fairmount Properties, LLC of Cleveland, Ohio.

Master Development Agreement: The Agreement will guide the relationship between Fairmount, Lobo Development and the Board of Regents in the development of commercial sites on UNM's South Campus (See Agreement attached). The Agreement sets forth: 1) the goals and objectives of the parties in creating the mixed-use commercial district; 2) the process for developing and approving specific development proposals, including design standards, site plans, financial structure & terms, and budgets & schedules; 3) the process for negotiating ground leases for development parcels, consistent with the Ground Lease Term Sheet & Ground Lease Form; and 4) the approval of final development plans.

The Agreement also provides timeframes for substantial completion of the development parcels, an exclusivity period (5 years) and locations/uses of non-compete, among other provisions.

Staff and outside legal counsel will be present at the Regents F&F Committee meeting of December 3, 2012 to discuss the status of the Agreement, including recommendations of the LDC Board and several exhibits to the Agreement which are in the process of being completed.

Development Sites, Goals & Concepts: The Agreement includes three land parcels located on the South Campus, the largest of which is located north of Gibson Blvd, west of University Blvd. (See South Campus Development Concept Plan attached). Two smaller parcels are located on the south side of Avenida Caesar Chavez, immediately east of I-25.

These sites are holistically planned for mixed-use, retail oriented development. Envisioned as an extension of the community that surrounds UNM's South Campus, the overall concept is to seamlessly integrate into the campus, neighborhood and larger region. Once complete the development will be an Albuquerque destination where students, faculty, visitors and the surrounding community can shop, dine, and gather.

Fairmount, working cooperatively with Lobo Development, expects to implement the long-term goals of the Board of Regents for South Campus. These goals are to see the creation of a vibrant, dynamic mixed-use district that incorporates regional athletic / entertainment facilities, education, research, housing and commercial services. The development will also generate a revenue stream in support of the overall institutional missions of the University.

Developer Selection: In early 2007, Lobo Development initiated a comprehensive RFP process that included potential commercial development sites on North, Central and South campus. This RFP has broad exposure to the real estate development community. A development company was selected and negotiations commenced, however by late 2007 and early 2008, the world-wide financial crisis started to become apparent, and the developer withdrew from discussions.

In the Fall of 2010, Lobo Development did a South Campus-specific RFP of selected potential commercial development sites. No responses were received.

As recommended by Forest City Real Estate Services, its consultant, Lobo Development initiated a strategic evaluation process of interested developers

in late November 2011. FCRE pre-qualified four development firms and LDC, assisted by FCRE, conducted interviews in early January 2012.

In early February 2012, FCRE formally requested additional information from the four firms. This requested information was provided in early March 2012. At this same time, LDC contacted a number of local real estate developers as well to gauge their interest in the South Campus sites and received no positive responses.

Fairmount Properties, LLC, one of the four firms evaluated, was selected by Lobo Development Board on March 29, 2012 to begin negotiations for the development of potential commercial development sites on South Campus.

On May 1, 2012, Lobo Development and Fairmount executed a non-binding Letter of Intent outlining the basis for further agreements relating to the development of commercial properties on South Campus. The LOI specifically provides for the negotiation of a master development agreement.

Fairmount Properties is a multi-disciplined real estate enterprise that specializes in the development, ownership and management of high-quality, retail led mixed-use specialty centers and districts incorporating new residential, office, hospitality and entertainment uses. The majority of these projects are located within college and university based communities. Fairmount Properties, founded in 1998, specializes in working within public/private partnerships.

Next Steps / Schedule: Assuming favorable review and recommendation by the Regents Finance & Facilities Committee, it's anticipated that the Master Development Agreement will be acted upon by the Board of Regents at its regular meeting on December 11, 2012. The Ground Lease Form (first draft) should be received from Fairmount in early December. Consideration of the Ground Lease Form by the Board of Regents will be scheduled for its February 2013 meeting. Preliminary Materials for development parcels could be received from Fairmount within 30 to 60 days following approval of the Ground Lease Form.

Memo

To: David W. Harris, EVP for Administration, COO & CFO and
President of Lobo Development

From: Thomas M. Neale, Interim Director of Real Estate
Steve Beffort, Secretary/Treasurer, LDC Board

Date: February 26, 2013

RE: Approval of Assignment & Assumption of Sandia Foundation
Leases

Proposal: Lobo Development Corporation ("LDC"), a University Research Park and Economic Development Act Corporation wholly owned by the Regents of UNM, will assume Sandia Foundation's role of lease holder/ financial developer for select UNM tenant projects.

Projects: Sandia Foundation has developed and financed three buildings leased by UNM for UNM- related services. The buildings are: 1) UNM West (2010) located at 2600 College Boulevard SE, our new Rio Rancho education facility; 2) the UNM Press Building (2006) located at 1312 Basehart SE in the UNM Science & Technology Park; and 3) the remodeled UNM Hospital Administration Building (2009) at 933 Bradbury SE, also located at STP @UNM. All three buildings are located on UNM-owned land which was ground leased to Sandia Foundation for the purpose of financing and constructing facilities for use by UNM. Sandia Foundation self-funded or obtained bank financing for the three projects and currently is promisor / payor under those notes on the buildings. All three buildings are occupied by UNM tenants or UNM-managed tenants under occupancy leases from Sandia Foundation. A location map of the three buildings is attached to this memo.

Assignment of Leases: LDC proposes, subject to Regents' approval, to assume the obligations of Sandia Foundation, as tenant, under the ground leases from UNM, and as landlord to UNM under the occupancy leases, upon payment of all funds advanced or owed by Sandia Foundation related

to the original building construction and financing. Funding for the transaction would be via a loan from UNM to LDC from institutional reserves, comprising a promissory note and leasehold mortgage. Title to the land would remain with the Regents. UNM programs occupying space within the buildings, which currently pay rent to Sandia Foundation, would pay rent to LDC following the assignment. LDC would use rent proceeds to make payment under the UNM loan. Upon full payment of the UNM loan, including any loan re-financings, the leases would expire and the improvements would revert to UNM free and clear.

Rationale: Current financial loan rates versus rates available at the time Sandia Foundation developed the projects have been reduced. This differential offers savings on costs currently paid by UNM. Details of the lease agreements with Sandia Foundation are summarized in Attachment 1.

The UNM Controller, through the Credit, Collection & Merchant Services Division, would loan LDC funds at current market rates (3.0% annually) to buy out the Sandia Foundation obligations. The rate of return on UNM- held cash reserves is currently 1.50% or approximately 50% of the return available on loaned funds for mid-term commercial real estate properties, ie 1.50% versus 3.0%. Thus, the Controller would essentially double their current rate of return on cash reserves and UNM would save the differential between the rates under the Sandia Foundation loans and the newly financed internal UNM rate.

Requested Approvals: Approvals required to complete this transaction by LDC and the Regents are outlined as follows:

The LDC Board approve the assignment and assumption of Sandia Foundation's interest in the real property leases (including the existing buildings and all other improvements) to LDC for the purchase price of \$26,947,752 (subject to final prorations to the date of closing, currently April 1, 2013) and approve acceptance of LDC's obligations under the UNM loan to LDC.

The Regents approve the UNM loan to LDC in the amount of \$27,800,000 (rounded up from \$27,796.918, which includes the purchase price and

unreimbursed UNM project costs for UNM Press Building) plus transaction and closing costs; and consent to the assignment and assumption of Sandia Foundation's interest in the real property leases to LDC.

The Regents approve the execution of an escrow agreement between Sandia Foundation and UNM in the amount of \$1,873,626.97. The purpose of the escrow agreement is to set aside funds pending the resolution of litigation filed against Sandia Foundation and UNM in Second District Court of the State of New Mexico. The escrow will be funded from the purchase price paid to Sandia Foundation by LDC. Distribution of funds from the escrow agreement shall be governed by the terms of the escrow agreement as agreed to by Sandia Foundation and UNM.

The Regents approve a secured loan document (note and leasehold mortgage) between the UNM Controller and LDC in the amount of \$27,800,000 plus transaction and closing costs bearing a 3.00% annual interest rate, with a 30 year amortization and 7 year term. The principal loan amount includes the Sandia Foundation pay-off and unreimbursed project costs for UNM Press.

Regents approve amending the occupancy leases for the three buildings to: 1) extend the term to be co-incidental with the term of the UNM financing, 2) specify the current rent as a set amount, which is presently formula-based 3) provide for annual CPI rent increases and 4) any other provisions related to or necessary to accomplish the UNM loan financing. Final approval of the lease amendments (both occupancy and ground leases) to accommodate the UNM loan financing will be delegated to the EVP for Administration, COO & CFO, subject to review by University Counsel.

Attachment 1**Proposed Leasehold Assignment / Purchase
Sandia Foundation Buildings**

Transaction Analysis & Summary
February 26, 2013

I. 1312 Basehart SE (UNM Press)

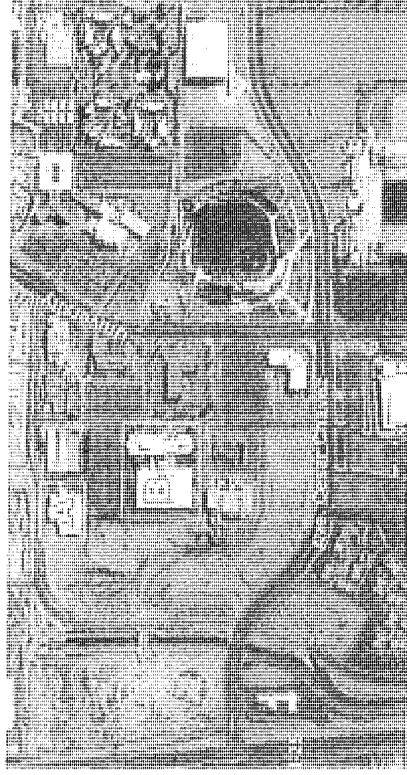
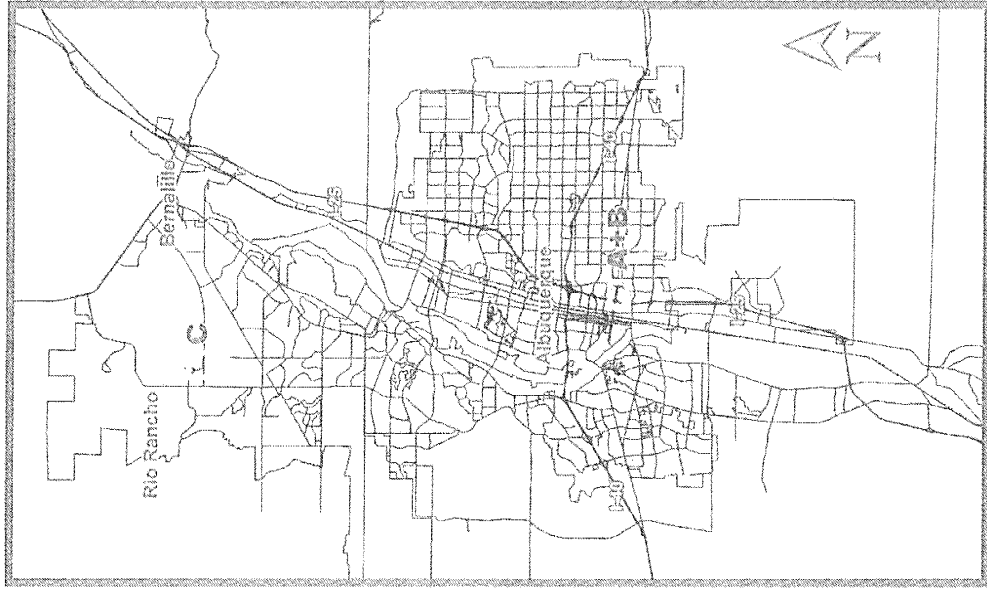
- Lease Commencement: December 1, 2006
- Lease Expiration: December 31, 2016
- Lease Extension Options: None
- Current Rent:
 - First Rent Component: \$16,757.20 per month
*\$2,200,000 Cash Equity @ 9.14% Interest Only (Originally 8.00% + CPI)
Adjusted Annually in January*
 - Second Rent Component: \$14,384.47 per month
*\$2,363,609 Loan @ 6.14% Fixed (4.14% + 2.00%) / 30 Yr Amortization
Loan Matures: December 2, 2016*
 - Total Rent: \$31,141.67 per month
Weighted Average Annual Rate: 7.6%
- Development Fee: None
- Take-out Finance Amount:
 - SF Equity Cash-out: \$2,200,200
 - SF Unreimbursed Const. Cost \$ 229,655
 - Loan Payoff (Bal 3/13): \$1,910,349
 - Loan Pre-payment Penalty: \$ 45,882
 - SF Development Fee: None
 - UNM Unreimbursed Cost: \$ 849,166
 - Total: \$5,235,052
- UNM Loan / Refinance Option
 - Assume \$5,235,052 Loan @ 3.00% Fixed / 30 Amortization / 7 Year Term

II. 933 Bradbury SE (Former Social Security)

- Lease Commencement: September 1, 2009
- Lease Expiration: August 31, 2014
- Lease Extension Options: Five – 1 Year (August 31, 2019)
- Current Rent:
 - First Rent Component: \$13,619.20 per month
\$817,152 Development Fee @ 0% Interest (60 Installments thru August 2014)
 - Second Rent Component: \$63,393.77 per month
\$10,699,430 Loan @ 5.89% Fixed / 30 Yr Amortization
Loan Matures: July 14, 2014
 - Total Rent: \$77,012.97 per month
- Development Fee: See First Rent Component
- Take-out Finance Amount:
 - Equity Cash-out: None
 - Loan Payoff (Bal 3/13): \$10,240,832
 - Loan Pre-payment Penalty: \$ 367,002
 - SF Development Fee (Bal 3/13): \$ 231,526
 - UNM Reimbursement (Net): None
 - Total: \$10,839,360
- UNM Loan / Refinance Option
 - Assume \$10,839,360 Loan @ 3.00% Fixed / 30 Amortization / 7 Year Term

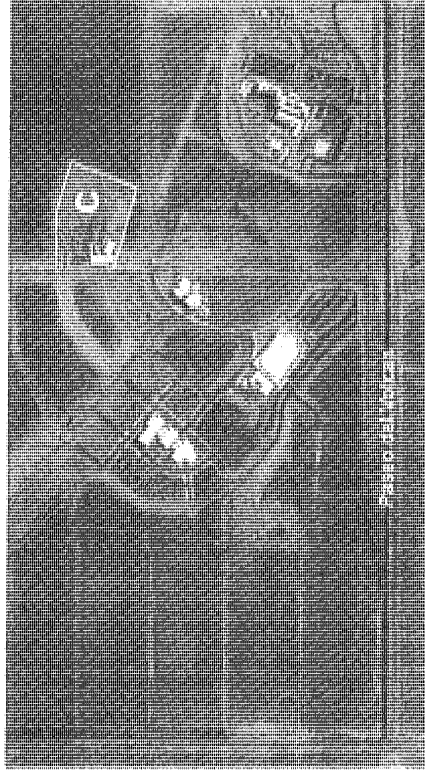
III. 2600 College Avenue (UNM West, Rio Rancho)

- Lease Commencement: April 1, 2010
- Lease Expiration: March 31, 2015
- Lease Extension Options: Five – 1 Year (March 31, 2020)
- Current Rent:
 - First Rent Component: \$11,871.00 per month
\$712,260 Development Fee @ 0% Interest (60 Installments thru March 2015)
 - Second Rent Component: \$57,769.48 per month
\$12,000,000 Loan @ 4.069% Variable / 30 Yr Amortization
Loan Matures: March 20, 2015
 - Total Rent (Thru 3/15): \$69,640.48 per month
 - Third Rent Component: \$13,582 per month
\$10,865,751 Loan Balance X 12.5 BP per Month Beginning 4/15
- Development Fee: See First Rent Component
- Take-out Finance Amount:
 - Equity Cash-out: None
 - Loan Payoff (Bal 3/13): \$11,437,205
 - Loan Pre-payment Penalty: None
 - SF Development Fee (Bal 3/13): \$ 285,301
 - UNM Reimbursement: None
 - Total: \$11,722,506
- UNM Loan / Refinance Option
 - Assume \$11,722,506 Loan @ 3.00% Fixed / 30 Amortization / 7 Year Term



UNM South Campus

- A. 1312 Basehart SE 87106
- B. 933 Bradbury Dr SE 87106



UNM West

- C. 2600 College Blvd NE 87144



Interim University Controller
1 University of New Mexico
MSC01 1300
Albuquerque, NM 87131

MEMORANDUM

DATE: February 20, 2013

TO: David W. Harris
Executive Vice President

FROM: Elizabeth Metzger, CPA, Interim University Controller *ejm*

RE: Information Item for Board of Regents' Finance & Facilities Committee Meeting

The presentation for the Monthly Consolidated Financial Report for January 2013 will be presented at the *March 1, 2013* Finance and Facilities meeting. In order to present the most-timely financial information at this meeting, Financial Reports will be emailed to the committee members no less than 48 hours in advance of the meeting. Handouts will be available the day of the meeting.

University of New Mexico - Consolidated Total Operations Current Funds Summary

	FY 12 Jan YTD Actual	FY 13 Full Year Operating Budget	FY 13 Jan YTD Actual	Fiscal YTD Favrbtl/(Unfavrbtl) Budget
Net Instruction and General Revenue/(Exp)	77,529,806	(8,866,602)	69,729,717	78,596,319
Net Research Revenue/(Exp)	(3,787,897)	(9,069,050)	(1,304,387)	7,764,663
Net Clinical Operations Revenue/(Exp)	(3,480,873)	(3,153,778)	(8,250,588)	(5,096,810)
Net Public Service Revenue/(Exp)	2,451,661	(2,613,698)	(983,701)	1,629,997
Net Student Aid Revenue/(Exp)	(6,139,029)	(8,883,484)	(5,982,757)	2,900,727
Net Student Activities Revenue/(Exp)	933,082	(137,900)	1,234,313	1,372,213
Net Auxiliaries and Athletics Revenue/(Exp)	2,167,019	(1,646,612)	6,020,441	7,667,053
Net Contingencies Revenue/(Exp)		7,073,699	-	7,073,699
Net Current Revenue/(Expense)	69,673,769	(27,297,425)	60,463,038	101,907,861
 Beginning Net Assets Unrestricted	 301,886,009		 321,213,434	
 Ending Net Assets Unrestricted	 371,559,778		 381,676,472	

Net Instruction and General Revenue/(Exp)

- Tuition and Fees for all campuses at 100% of budget at Jan YTD; includes Spring tuition and fees.
Main campus Tuition and Fees at 101% of budget, HSC at 104% of budget and Branch campuses at 90% of budget.
- Salaries are slightly above benchmark, due to Aug supplemental pay totaling ~\$3M, not included in original budget

Net Clinical Operations Revenue/(Exp)

- University Hospitals account for approx \$5M of the expense exceeding revenue balance at Jan. 31
Has maintained nearly a break even net results of operations from October through January
- HSC Clinical Operations accounts for approx \$3M of the expense exceeding revenue balance at Jan. 31
This \$3M expense exceeding revenue balance was a budgeted use of balance for FY13 by the School of Medicine to be used for Cancer Center billing transition to UNMMG, Aug supplemental payments to staff and expense of Chair packages

Statements of Revenues, Expenses and Changes in Net Assets Format for Regents
For the seven month period ended January 31, 2013
Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2013 Full Year Operating Budget	FY 2013 Year-to-Date Actual	Fiscal YTD Favrbil/(Unfavrbil) Budget	Actual to Budget Benchmark Rate 58%
Instruction and General				
Tuition and Fees Revenues				
Main Campus	145,043,906	145,940,356	896,450	101%
Branch Campuses	9,171,132	8,235,232	(935,900)	90%
HSC Campus	12,151,921	12,668,245	516,324	104%
Total Tuition and Fees Revenues	166,366,959	166,843,833	476,874	100%
State/Local Appropriations	259,199,300	150,953,697	(108,245,603)	58%
F & A Revenues	41,500,000	23,956,405	(17,543,595)	58%
Transfers	(55,832,435)	(36,165,221)	19,667,214	65%
Other Revenues	19,147,139	12,771,003	(6,376,136)	67%
Total Instruction and General Revenues	430,380,963	318,359,717	(112,021,246)	74%
Salaries	263,151,121	157,925,176	105,225,945	60%
Benefits	82,413,408	43,914,337	38,499,071	53%
Other Expenses	93,683,036	46,790,487	46,892,549	50%
Total Instruction and General Expenses	439,247,565	248,630,000	190,617,565	57%
Net Instruction and General Revenue/(Expense)	(8,866,602)	69,729,717	78,596,319	
Research				
State/Local Appropriations	9,528,948	6,075,262	(3,453,686)	64%
Transfers	27,169,706	15,046,599	(12,123,107)	55%
Other Revenues	3,549,974	1,256,386	(2,293,578)	35%
Total Research Revenues	40,248,628	22,378,257	(17,870,371)	56%
Salaries and Benefits	26,488,454	13,547,624	12,940,830	51%
Other Expenses	22,829,224	10,135,020	12,694,204	44%
Total Research Expenses	49,317,678	23,682,644	25,635,034	48%
Net Research Revenue/(Expense)	(9,069,050)	(1,304,387)	7,764,663	
Clinical Operations				
State/Local Appropriations	24,090,600	14,301,057	(9,789,543)	59%
Physician Professional Fee Revenues	103,593,767	56,945,653	(46,648,114)	55%
Hospital Facility Revenues	670,205,103	373,730,903	(296,474,200)	56%
Other Patient Revenues, net of Allowance	102,721,252	58,756,730	(43,964,522)	57%
Mil Levy	90,977,220	53,070,044	(37,907,176)	58%
Investment Income	3,065,634	6,279,133	3,213,499	205%
Gifts	2,081,389	2,731,430	650,041	131%
Housestaff Revenues	30,647,125	18,745,635	(11,901,490)	61%
Other Revenues	20,366,905	9,984,336	(10,382,569)	49%
Total Clinical Operations Revenues	1,047,748,995	594,544,921	(453,204,074)	57%
Salaries and Benefits	581,511,377	343,083,349	238,428,028	59%
Debt Service	8,457,942	4,985,059	3,472,883	59%
Housestaff Expenses	30,647,125	18,770,879	11,876,246	61%
Other Expenses	430,286,329	235,956,222	194,330,107	55%
Total Clinical Operations Expenses	1,050,902,773	602,795,509	448,107,264	57%
Net Clinical Operations Revenue/(Expense)	(3,153,778)	(8,250,588)	(5,096,810)	
Public Service				
State/Local Appropriations	3,500,960	2,042,222	(1,458,738)	58%
Sales and Services Revenues	15,840,471	8,627,699	(7,212,772)	54%
Gifts	6,973,336	6,325,013	(648,323)	91%
Transfers	1,581,090	77,904	(1,503,186)	5%
Other Revenues	4,428,253	2,865,675	(1,562,578)	65%
Total Public Service Revenues	32,324,110	19,938,513	(12,385,597)	62%
Salaries and Benefits	17,624,337	11,937,231	5,687,106	68%
Other Expenses	17,313,471	8,984,983	8,328,488	52%
Total Public Service Expenses	34,937,808	20,922,214	14,015,594	60%
Net Public Service Revenue/(Expense)	(2,613,698)	(983,701)	1,629,997	
Summary of Hospital and Cancer Center Billings				
Total Gross Billings UNM Hospitals and UNM Cancer Center			912,148,161	
Total Uncompensated Care Gross Billings UNM Hospitals and UNM Cancer Center			203,164,674	
Total Uncompensated Charges (Gross Billings) as a % of All Patient Charges UNM Hospitals and UNM Cancer Center			22%	

Statements of Revenues, Expenses and Changes in Net Assets Format for Regents
For the seven month period ended January 31, 2013
Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2013 Full Year Operating Budget	FY 2013 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 56%
Student Aid				
Gifts	3,714,585	3,326,931	(387,654)	90%
State Lottery Scholarship	31,861,170	31,861,170	-	100%
Transfers	14,617,503	13,300,150	(1,317,353)	91%
Other Revenues	1,230,705	184,257	(1,046,448)	15%
Total Student Aid Revenues	51,423,963	48,672,508	(2,751,455)	95%
Salaries and Benefits	3,828,077	2,266,258	1,561,819	59%
Other Expenses	56,479,370	52,389,007	4,090,363	93%
Total Student Aid Expenses	60,307,447	54,655,265	5,652,182	91%
Net Student Aid Revenue/(Expense)	(8,883,484)	(5,982,757)	2,900,727	
Student Activities				
Fee Revenues	6,001,442	4,218,949	(1,782,493)	70%
Sales and Services Revenues	1,129,448	1,084,917	(44,531)	96%
Transfers	443,387	619,200	175,813	140%
Other Revenues	80,150	71,218	(8,932)	89%
Total Student Activities Revenues	7,654,427	5,994,284	(1,660,143)	78%
Salaries and Benefits	3,852,602	2,545,726	1,306,876	66%
Other Expenses	3,939,725	2,214,245	1,725,480	56%
Total Student Activities Expenses	7,792,327	4,759,971	3,032,356	61%
Net Student Activities Revenue/(Expense)	(137,900)	1,234,313	1,372,213	
Auxiliaries and Athletics				
Branch Campuses Auxiliary Revenues	2,648,300	2,193,112	(455,188)	83%
Main Campus Auxiliaries Revenues	56,528,783	43,195,489	(13,333,294)	76%
Athletics Revenues	30,925,801	21,072,042	(9,853,759)	68%
Total Auxiliaries and Athletics Revenues	90,102,884	66,460,643	(23,642,241)	74%
Branch Campuses Auxiliary Expenses	2,710,700	1,915,209	795,491	71%
Main Campus Auxiliaries Expenses	57,979,095	36,502,027	21,477,068	63%
Athletics Expenses	31,059,701	22,022,866	9,036,735	71%
Total Auxiliaries and Athletics Expenses	91,749,496	60,440,202	31,309,294	66%
Net Auxiliaries and Athletics Revenue/(Expense)	(1,646,612)	6,020,441	7,667,053	
Sponsored Programs				
Federal Grants and Contracts Revenues	237,922,175	170,286,353	(67,635,822)	72%
State and Local Grants and Contracts Revenues	33,777,225	14,251,073	(19,526,152)	42%
Non-Governmental Grants and Contracts Revenues	25,217,598	18,838,977	(6,378,621)	75%
Gifts	-	209,840	209,840	N/A
Transfers	3,788,550	2,829,395	(959,155)	75%
Other Revenues	-	-	-	N/A
Total Sponsored Programs Revenues	300,705,548	206,415,638	(94,289,910)	69%
Salaries and Benefits	141,605,047	73,476,892	68,128,155	52%
Other Expenses	159,100,501	132,938,746	26,161,755	84%
Total Sponsored Programs Expenses	300,705,548	206,415,638	94,289,910	69%
Net Sponsored Programs Revenue/(Expense)	-	-	-	
Contingencies				
Total Contingency Revenues	18,956,763	-	18,956,763	0%
Total Contingency Expenses	11,883,064	-	11,883,064	0%
Net Contingencies Revenue/(Expense)	7,073,699	-	7,073,699	
Net Current Revenue/(Expense)	(27,297,425)	60,463,038	101,907,861	
Beginning Net Assets Unrestricted		321,213,434		
Ending Net Assets Unrestricted		381,676,472		

Statements of Revenues, Expenses and Changes in Net Assets Format for Regents
For the seven month period ended January 31, 2013
Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2013 Full Year <u>Operating Budget</u>	FY 2013 Year-to-Date <u>Actual</u>	Fiscal YTD Favrb/(Unfavrb) <u>Budget</u>	Actual to Budget Benchmark Rate <u>58%</u>
University of New Mexico - Results of Athletics and Auxiliary Operations				
Results of Athletics Operations:				
Athletics Revenues	34,018,146	24,388,145	(9,630,001)	72%
Athletics Transfers	(3,092,345)	(3,316,103)	(223,758)	107%
Total Athletics Revenues	30,925,801	21,072,042	(9,853,759)	68%
Athletics Expenses				
Salaries and Benefits	13,708,093	8,541,423	5,166,670	62%
Grant-in-Aid	3,620,246	3,022,357	597,889	83%
Other Expenses	13,731,362	10,459,186	3,272,176	76%
Total Athletics Expenses	31,059,701	22,022,966	9,036,735	71%
Total Net Athletics Revenue/(Expense)	(133,900)	(950,924)	(817,024)	
Results of Auxiliary Operations:				
VP for Institutional Support Services				
Bookstore Revenues	18,161,788	15,055,747	(3,106,041)	83%
Bookstore Transfers	(572,132)	(166,968)	405,164	29%
Total Bookstore Revenues	17,589,656	14,888,779	(2,700,877)	85%
Total Bookstore Expenses	17,589,656	13,168,762	4,420,894	75%
Net Bookstore Revenue/(Expense)	-	1,720,017	1,720,017	
Public Events Revenues	10,092,362	6,078,859	(4,013,503)	60%
Public Events Transfers	149,730	29,046	(120,684)	19%
Total Public Events Revenues	10,242,092	6,107,905	(4,134,187)	60%
Total Public Events Expenses	10,242,092	6,018,618	4,223,474	59%
Net Public Events Revenue/(Expense)	-	89,287	89,287	
Golf Courses Revenues	2,213,930	1,158,983	(1,054,947)	52%
Golf Courses Transfers	(39,252)	(22,897)	16,355	58%
Total Golf Courses Revenues	2,174,678	1,136,086	(1,038,592)	52%
Total Golf Courses Expenses	2,174,678	1,281,690	892,988	59%
Net Golf Courses Revenue/(Expense)	-	(145,604)	(145,604)	
Parking and Transportation Revenues	8,099,454	6,082,072	(2,017,382)	75%
Parking and Trans Transfers	(2,120,902)	(1,116,932)	1,003,970	53%
Total Parking and Trans Revenues	5,978,552	4,965,140	(1,013,412)	83%
Total Parking and Trans Expenses	5,978,552	3,343,953	2,634,599	56%
Net Parking and Trans Revenue/(Expense)	-	1,621,187	1,621,187	
Ticketing Services Revenues	550,000	668,394	118,394	122%
Ticketing Services Transfers	75,348	43,953	(31,395)	56%
Total Ticketing Services Revenues	625,348	712,347	86,999	114%
Total Ticketing Services Expenses	625,348	512,507	112,841	82%
Net Ticketing Services Revenue/(Expense)	-	199,840	199,840	
Faculty Club Revenues	42,000	23,033	(18,967)	55%
Faculty Club Expenses	42,000	18,628	23,372	44%
Net Faculty Club Revenue/(Expense)	-	4,405	4,405	
Young Ranch Revenues	27,559	11,802	(15,757)	43%
Young Ranch Expenses	27,559	4,485	23,074	16%
Net Young Ranch Revenue/(Expense)	-	7,317	7,317	
Taos & Lawrence Ranch Revenues	53,334	36,707	(16,627)	69%
Taos & Lawrence Ranch Expenses	53,334	19,443	33,891	36%
Net Taos & Lawrence Ranch Revenue/(Expense)	-	17,264	17,264	
Total VP for Institutional Support Services Revenues	36,733,219	27,881,799	(8,851,420)	76%
Total VP for Institutional Support Services Expenses	36,733,219	24,368,086	12,365,133	66%
Net VP for Institutional Support Services Revenue/(Expense)	-	3,513,713	3,513,713	

Statements of Revenues, Expenses and Changes in Net Assets Format for Regents
For the seven month period ended January 31, 2013
Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2013 Full Year Operating Budget	FY 2013 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 58%
VP for Student Affairs				
AVP Ops/Student Life Revenues	3,306,165	2,117,338	(1,188,827)	64%
AVP Ops/Student Life Transfers	(299,056)	(451,063)	(152,007)	151%
Total AVP Ops/Student Life Revenues	3,007,109	1,666,275	(1,340,834)	55%
Total AVP Ops/Student Life Expenses	3,111,159	1,448,376	1,662,783	47%
Net AVP Ops/Student Life Revenue/(Expense)	(104,050)	217,899	321,949	
Housing and Food Service Revenues	10,682,675	10,507,152	(175,523)	98%
Housing Transfers	(3,633,600)	(4,060,873)	(427,273)	112%
Total Housing and Food Service Revenues	7,049,075	6,446,279	(602,796)	91%
Total Housing and Food Service Expenses	8,385,337	4,646,282	3,739,055	55%
Net Housing and Food Service Revenue/(Expense)	(1,336,262)	1,799,997	3,136,259	
Student Health Center Revenues	7,267,026	5,299,977	(1,967,049)	73%
Student Health Center Expenses	7,267,026	4,272,383	2,994,643	59%
Net Student Health Center Revenue/(Expense)	-	1,027,594	1,027,594	
Student Union Revenues	2,173,581	1,779,155	(394,426)	82%
Student Union Expenses	2,173,581	1,591,117	582,464	73%
Net Student Union Revenue/(Expense)	-	188,038	188,038	
Lobo Cash Revenues	45,390	3,485	(41,905)	8%
Lobo Cash Expenses	45,390	11,274	(34,116)	25%
Net Lobo Cash Revenue/(Expense)	-	(7,789)	(7,789)	
Total VP for Student Affairs Revenues	19,542,181	15,195,171	(4,347,010)	78%
Total VP for Student Affairs Expenses	20,982,493	11,969,432	9,013,061	57%
Net VP for Student Affairs Revenue/(Expense)	(1,440,312)	3,225,739	4,666,051	
Provost and Other Units				
CE Conference Ctr Revenues	246,000	135,905	(110,095)	55%
CE Conference Ctr Transfers	(70,617)	(49,656)	20,961	70%
Total CE Conference Ctr Revenues	175,383	86,249	(89,134)	49%
Total CE Conference Ctr Expenses	175,383	125,820	49,563	72%
Net CE Conference Ctr Revenue/(Expense)	-	(39,571)	(39,571)	
Art Museum Revenues	5,500	821	(4,679)	15%
Art Museum Expenses	5,500	-	5,500	0%
Net Art Museum Revenue/(Expense)	-	821	821	
Maxwell Museum Revenues	25,000	24,279	(721)	97%
Maxwell Museum Expenses	25,000	9,478	15,522	38%
Net Maxwell Museum Revenue/(Expense)	-	14,801	14,801	
Other Revenues	47,500	7,170	(40,330)	15%
Other Expenses	57,500	29,211	28,289	51%
Net Other Revenue/(Expense)	(10,000)	(22,041)	(12,041)	
Total Provost and Other Units Revenues	253,383	118,519	(134,864)	47%
Total Provost and Other Units Expenses	263,383	164,509	98,874	62%
Net Provost and Other Units Revenue/(Expense)	(10,000)	(45,990)	(35,990)	
Auxiliary Totals				
Total Auxiliary & Concessions Revenues	56,528,783	43,195,489	(13,333,294)	76%
Total Auxiliary & Concessions Expenses	57,979,095	36,502,027	21,477,068	63%
Net Auxiliary Revenue/(Expense)	(1,450,312)	6,693,462	8,143,774	
Net Athletics Revenue/(Expense)	(133,900)	(950,924)	(817,024)	
Net Auxiliary and Athletics Revenue/(Expense)	(1,584,212)	5,742,538	7,326,750	
Net Branch Campuses Aux Revenue/(Expense)	(62,400)	277,903	340,303	
Net All Auxiliary and Athletics Revenue/(Expense)	(1,646,612)	6,020,441	7,667,053	

UNM Debt Service Schedule

As of January 31, 2013

*Includes Hospital Debt

Debt Description	Fixed Rate	\$35,215,000	\$34,485,000	\$1,285,000	\$778,900	\$778,900	\$2,842,800
Sub Lien System Rldg Revenue Bonds 1/1 Series 2012: Interest Range 2.00% to 5.00% Final Maturity Year 2032	Fixed Rate	\$35,215,000	\$34,485,000	\$1,285,000	\$778,900	\$778,900	\$2,842,800
Sub Lien System Imp Revenue Bonds Series 2007 A&B: Interest Range 4.096% to 5.28% Final Maturity Year 2036	Fixed Rate	\$136,710,000	\$133,385,000	\$1,765,000	\$3,318,334	\$3,318,334	\$8,401,668
Sub Lien System Imp Revenue Bonds Series 2005: Interest Range 3.0% to 5.0% Final Maturity Year 2035	Fixed Rate	\$125,575,000	\$118,330,000	\$2,380,000	\$2,726,227	\$2,726,226	\$7,832,453
1/1 Hospital Mortgage Revenue Bonds Series 2004: Interest Range 2.0% to 5.0% Final Maturity Year 2031	Fixed Rate	\$192,250,000	\$169,645,000	\$4,880,000 (\$2,365,000 paid 7/2/2012) (\$2,515,000 paid 1/2/2013)	\$4,064,613 (paid 1/2/2013)	\$4,224,150 (paid 7/2/2012)	\$13,168,763
Sub Lien System Rldg Revenue Bonds Series 2003 A: Interest Range 2.0% to 5.25% Final Maturity Year 2018	Fixed Rate	\$21,660,000	\$9,560,000	\$1,080,000	\$250,950	\$250,950	\$1,581,900
Sub Lien System Rldg Revenue Bonds Series 2003 B&C: Interest Range 1.35% to 5.625% Final Maturity Years B 2024 & C 2033	Fixed Rate	\$11,805,000	\$9,775,000	\$270,000	\$239,029	\$239,030	\$748,059
Sub Lien Sys Rldg Revenue Bonds 1/1 Series 2002 B: Synthetic Fixed Int. Rate 3.83% Final Maturity Year 2026	Variable Rate	\$25,475,000	\$23,520,000	\$1,430,000	\$471,640	\$450,408	\$2,352,048
Sub Lien System Rldg Revenue Bonds 1/1 Series 2002 C: Synthetic Fixed Int. Rate 3.94% Final Maturity Year 2030	Variable Rate	\$37,840,000	\$36,025,000	\$835,000	\$557,648	\$709,893	\$2,102,341
Sub Lien System Imp Revenue Bonds Series 2001: 1/2 True Variable Rate 1/2 Series 2001: 1/2 Synthetic Fixed Interest at 4.16% and 4.19% Final Maturity Year 2026	Variable Rate	\$52,625,000	\$37,270,000	\$1,995,000	\$333,735	\$745,400	\$3,074,135
Sub Lien System Imp Revenue Bonds Series 2000: Interest Range 4.65% to 6.35% Final Maturity Year 2019	Fixed Rate	\$53,231,671	\$2,758,129	\$509,310	\$302,845	\$302,845	\$1,115,000
System Revenue Rldg Bonds Series 1992 A: Interest Range 5.6% to 6.25% Final Maturity Year 2021	Fixed Rate	\$36,790,000	\$19,200,000	\$1,740,000	\$576,000	\$576,000	\$2,892,000
Grand Total		\$729,176,671	\$593,953,129	\$18,169,310	\$13,619,921	\$14,321,936	\$46,111,167

Note: See attached matrix for funding sources.

(1) Source: UNM Hospital - Both UNM Hospital Principal and interest payments are made on July 1st and January 1st.

(2) Variable Rate bonds reflect the actual synthetically fixed interest rate that UNM pays.

It is noted that all ranges of interest rates and final maturity dates are reflective of Serial bonds.

(3) Series 2012 bonds refunded 2002A bonds.

FY13 UNM Debt Service - Source of Funds

As of January 31, 2013

	Series 2012	Series 2007A&B	Series 2005	Series 2004	Series 2003B&C	Series 2003A	Series 2002C	Series 2002B	Series 2001	Series 2000A&B	Series 1992A
Student Fees- Facility	X	X	X			X	X	X			X
Student Fees - IT	X		X								
Capitalized Interest		X									
Parking Services	X	X	X				X				X
UNM Hospital				X		X		X			X
Bookstore						X	X				X
Housing & Dining Services	X					X	X				
Building R&R	X					X		X			X
Real Estate Department	X	X			X			X			
Physical Plant Department	X	X	X						X		
Telecommunications	X		X								
Athletics		X									
Information & Technology Funds			X				X				
KNME											X
Popejoy Hall							X				
MTTC Bldg.								X			
Opto Bldg (CHTM Res Park)								X			
CRTC								X			
Continuing Education								X			
Equipment R&R			X								
Golf Course - North & South							X				
HSC	X	X									
UNM Development Revenues		X									
Interest on Reserve Funds	X					X	X	X			

Series 2002A bonds were refunded by the Series 2012 bonds in June 2012.

Statements of Revenues, Expenses and Changes in Net Assets Format for Regents
For the seven month ended period January 31, 2013
Preliminary and Unaudited

Detail of State/Local Appropriations
Consolidated - Total Operations Current Funds

	FY 2013 Full Year Operating Budget	FY 2013 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 58%
Instruction and General				
Instruction & General Appropriations	250,591,300	145,932,357	(104,658,943)	58%
State Special Project Appropriations	810,300	472,682	(337,618)	58%
Tobacco Settlement Appropriations	1,130,600	659,516	(471,084)	58%
Mill Levy	6,667,100	3,889,142	(2,777,958)	58%
Total Instruction and General Appropriations	259,199,300	150,953,697	(108,245,603)	58%
Research				
State Special Project Appropriations	5,253,040	3,084,278	(2,168,762)	58%
Tobacco Settlement Appropriations	979,800	571,550	(408,250)	58%
Cigarette Tax Appropriations	3,296,108	2,439,434	(856,674)	74%
Total Research Appropriations	9,528,948	6,075,262	(3,453,686)	64%
Clinical Operations				
State Special Project Appropriations	23,238,900	13,804,236	(9,434,664)	59%
Tobacco Settlement Appropriations	851,700	496,821	(354,879)	58%
Total Clinical Operations Appropriations	24,090,600	14,301,057	(9,789,543)	59%
Public Service				
State Special Project Appropriations	3,500,960	2,042,222	(1,458,738)	58%
Total Public Service Appropriations	3,500,960	2,042,222	(1,458,738)	58%

Statements of Revenues, Expenses and Changes in Net Assets Format for Regents
For the seven month ended period January 31, 2013
Preliminary and Unaudited

Detail of State/Local Appropriations
Main Campus - Total Operations Current Funds

	FY 2013 Full Year Operating Budget	FY 2013 Year-to-Date Actual	Fiscal YTD Favrbil/(Unfavrbil) Budget	Actual to Budget Benchmark Rate 58%
Instruction and General				
Instruction & General Appropriations	173,076,700	100,922,926	(72,153,774)	58%
State Special Project Appropriations				
African American Student Services	22,700	13,244	(9,456)	58%
Disabled Student Services	192,400	112,231	(80,169)	58%
Hispanic Student Center	105,800	61,719	(44,081)	58%
Minority Graduate Recruitment	116,700	68,075	(48,625)	58%
Native American Studies Intervention	176,900	103,194	(73,706)	58%
Pre-College Minority Student Math & Science	195,800	114,219	(81,581)	58%
Total State Special Project Appropriations	810,300	472,682	(337,618)	58%
Total Instruction and General Appropriations	173,887,000	101,395,608	(72,491,392)	58%
Research				
State Special Project Appropriations				
Center for Regional Studies (SW Research Ctr)	955,240	557,221	(398,019)	58%
Manufacturing Engineering	350,300	204,344	(145,956)	58%
Morrissey Hall	45,700	26,656	(19,044)	58%
Resource Geographic Information System	63,100	36,813	(26,287)	58%
Utton Transboundary Resource Center	261,900	152,775	(109,125)	58%
Water Rights Ombudsman	23,700	13,825	(9,875)	58%
Total State Special Project Appropriations	1,699,940	991,634	(708,306)	58%
Total Research Appropriations	1,699,940	991,634	(708,306)	58%
Public Service				
State Special Project Appropriations				
Bureau of Business Research (Census)	369,400	215,481	(153,919)	58%
College Prep Mentoring/School of Law	118,500	69,125	(49,375)	58%
College Preparatory Mentoring	164,800	96,138	(68,662)	58%
Corrine Wolfe Law Center/Child Abuse Training	165,700	96,656	(69,044)	58%
ENLACE	63,100	36,806	(26,294)	58%
Family Development Program	425,800	248,381	(177,419)	58%
ISTEC	48,595	28,350	(20,245)	58%
Judicial Selection	22,000	12,831	(9,169)	58%
KNME-TV	1,030,800	601,300	(429,500)	58%
Land Grant Studies Program	30,400	17,731	(12,669)	58%
N. M. Historical Review	46,700	27,244	(19,456)	58%
Small Business Innovation & Research Outreach	125,000	72,919	(52,081)	58%
Southwest Indian Law Clinic	166,500	97,125	(69,375)	58%
Spanish Colonial Research Center (SW Research Ctr)	115,460	67,354	(48,106)	58%
Spanish Resource Center	39,205	22,869	(16,336)	58%
Substance Abuse Program	134,600	78,519	(56,081)	58%
Wildlife Law Education	68,200	39,781	(28,419)	58%
Other	100,000	58,331	(41,669)	58%
Total State Special Project Appropriations	3,234,760	1,886,941	(1,306,150)	58%
Total Public Service Appropriations	3,234,760	1,886,941	(1,306,150)	58%

Statements of Revenues, Expenses and Changes in Net Assets Format for Regents
For the seven month ended period January 31, 2013
Preliminary and Unaudited

Detail of State/Local Appropriations

Branch Campuses - Total Operations Current Funds

	FY 2013 Full Year Operating Budget	FY 2013 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 58%
Instruction and General				
Instruction & General Appropriations				
Gallup	8,703,700	5,077,156	(3,626,544)	58%
Los Alamos	1,783,500	1,040,375	(743,125)	58%
Valencia	5,032,100	2,935,394	(2,096,706)	58%
Taos	3,036,600	1,771,350	(1,265,250)	58%
Total Instruction & General Appropriations	18,555,900	10,824,275	(7,731,625)	58%
Mill Levy				
McKinley County	2,000,000	1,166,669	(833,331)	58%
Los Alamos County	647,700	377,825	(269,875)	58%
Valencia County	2,502,300	1,459,673	(1,042,627)	58%
Taos County	1,517,100	884,975	(632,125)	58%
Total Mill Levy	6,667,100	3,889,142	(2,777,958)	58%
Total Branch Appropriations	25,223,000	14,713,417	(10,509,583)	58%

Statements of Revenues, Expenses and Changes in Net Assets Format for Regents
For the seven month ended period January 31, 2013
Preliminary and Unaudited

Detail of State/Local Appropriations
Health Sciences Center - Total Operations Current Funds

	FY 2013 Full Year Operating Budget	FY 2013 Year-to-Date Actual	Fiscal YTD Favrbil/(Unfavrbil) Budget	Actual to Budget Benchmark Rate 58%
Instruction and General				
Instruction & General Appropriations	58,958,700	34,185,156	(24,773,544)	58%
Tobacco Settlement Appropriations				
Instruction & General	607,800	354,820	(252,980)	58%
Pediatric Specialty Education	261,400	152,348	(109,052)	58%
Trauma Specialty Education	261,400	152,348	(109,052)	58%
Total Tobacco Settlement Appropriations	1,130,600	659,516	(471,084)	58%
Total Instruction and General Appropriations	60,089,300	34,844,672	(25,244,628)	58%
Research				
State Special Project Appropriations				
Cancer Center	2,586,200	1,508,619	(1,077,581)	58%
Hepatitis C, Project ECHO	966,900	564,025	(402,875)	58%
Total State Special Project Appropriations	3,553,100	2,072,644	(1,480,456)	58%
Tobacco Settlement Appropriations				
Genomics, Biocomputing, Environmental Health	979,800	571,550	(408,250)	58%
Total Tobacco Settlement Appropriations	979,800	571,550	(408,250)	58%
Cigarette Tax Appropriations	3,296,108	2,439,434	(856,674)	74%
Total Research Appropriations	7,829,008	5,083,628	(2,745,380)	65%
Clinical Operations				
State Special Project Appropriations				
Newborn Intensive Care Unit	3,186,800	1,858,973	(1,327,827)	58%
Office of the Medical Investigator	4,445,700	2,593,325	(1,852,375)	58%
Pediatric Oncology	1,155,800	674,219	(481,581)	58%
Poison and Drug Info Center	1,484,600	866,019	(618,581)	58%
UNM Hospitals	12,966,000	7,811,700	(5,154,300)	60%
Total State Special Project Appropriations	23,238,900	13,804,236	(9,434,664)	59%
Tobacco Settlement Appropriations				
Pediatric Oncology	261,400	152,481	(108,919)	58%
Poison and Drug Info Center	590,300	344,340	(245,960)	58%
Total Tobacco Settlement Appropriations	851,700	496,821	(354,879)	58%
Total Clinical Operations Appropriations	24,090,600	14,301,057	(9,789,543)	59%
Public Service				
State Special Project Appropriations				
Center for Native American Health	266,200	155,281	(110,919)	58%
Total State Special Project Appropriations	266,200	155,281	(110,919)	58%
Total Public Service Appropriations	266,200	155,281	(110,919)	58%



HEALTH SCIENCES CENTER BOARD OF DIRECTORS

February 27, 2013

TO: Jack Fortner, Esq., President
UNM Board of Regents

FR: Regent Carolyn J. Abeita, Chair
HSC Board of Directors

RE: Request for Items to be placed on UNM Board of Regents' Agenda

cc: Paul B. Roth, MD, HSC	Ella Watt, UNMH	Michael Olguin, UNMH BoT	Linda Pardo, FACC
Lt Gen. Brad Hosmer, GNC	Andrea Montoya, UNMH BoT	Steve McKernan, UNMH	Scot Sauder, HSC
Ava Lovell, HSC	Bruce Cherrin, UNM Procurement	Kevin Rogols, SRMC, Inc.	
Ellen Wenzel, BoR	Mel Eaves, Interim Chair, HSC BoD FACC	Geraldine Vallejos, SRMC, Inc.	

RECOMMENDED ACTION:

Contingent upon approval of the HSC Board of Directors, I respectfully request that the following items be placed on the UNM Board of Regents' agenda as Action items:

- 1) Request for Capital Project Approval: UNMH, Carrie Tingley Hospital, Safety – Replacing Domestic Water Lines (*Steve McKernan, CEO, University Hospitals*)
- 2) Request for Capital Project Approval: 933 Bradbury HVAC Replacement for West Building (*Steve McKernan, CEO, University Hospitals*)
- 3) Request Approval for Lease of Real Property for UNMH Addiction and Substance Abuse Clinic, 2600 Yale Blvd. (*Steve McKernan, CEO, University Hospitals*)
- 4) Request for Capital Project Approval: UNMH, Addiction Substance Abuse Program (ASAP), 2600 Yale Renovation (*Steve McKernan, CEO, University Hospitals*)
- 5) Request for Real Property Acquisition – New Mexico Department of Transportation (NMDOT) Parcel, Northeast Corner of Lomas Blvd. and Interstate 25 (*Thomas Neale, Interim Director, UNM Real Estate*)
- 6) Request for Tuition Differential, Occupational Therapy Graduate Program (*Betsy VanLeit, PhD, Director, Occupational Therapy*)

Contingent upon approval of the HSC Board of Directors, I respectfully request that the following item be placed on the UNM Board of Regents' agenda as Informational items:

- 1) Request for Approval of the UNMH Contract with Office of the Vice Chancellor for Community Health – Hidalgo Medical Services (*Bruce Cherrin, Chief Procurement Officer*)

Supporting documentation attached.

2013-124-HSCBoD



THE UNIVERSITY OF NEW MEXICO ♦ HEALTH SCIENCES CENTER

UNM HOSPITALS

CAPITAL PROJECT APPROVAL

UNM Hospital - Carrie Tingley Hospital – Safety- Replacing the Domestic Water Lines.

RECOMMENDED ACTION:

As required by Section 7.12 of the Board of Regents Policy Manual and the NM Commission on Higher Education, capital project approval is requested for the **UNM Hospital – Carrie Tingley Hospital – Safety- Replacing the Domestic Water Lines.**

PROJECT DESCRIPTION:

The scope of work is the engineered design and replacement of existing water main from the street to the building and the design for the replacement of inside domestic water line mains. The project will include the complete removal and replacement of copper domestic water mains.

RATIONALE:

- All plumbing is original piping installed in 1975, and has met or past the life expectancy of the system.
- Existing exterior and interior mains are severely corroded and require constant maintenance.
- Water line leaks from the main lines cause health and safety concerns for clinic operations.

FUNDING:

The total project budget is \$330,000 funded by UNMH Capital Budget



THE UNIVERSITY OF NEW MEXICO • HEALTH SCIENCES CENTER

UNM HOSPITALS

**REQUEST FOR CAPITAL PROJECT APPROVAL for
933 Bradbury – Heating Ventilation Air Conditioning REPLACEMENT FOR WEST BUILDING
MARCH 7, 2013, UNM BOARD OF REGENTS,
FINANCE AND FACILITIES MEETING**

REQUESTED ACTION:

In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested for **933 Bradbury – HVAC Replacement for West Building**

PROJECT DESCRIPTION:

Replace the existing refrigerant system with a more reliable chilled water system:

- Replace the (2) 60-ton condensing unit on the roof with (2) 80 ton air cooled chillers on the ground. Replace the (2) 60-ton refrigerant coils in the mechanical room with (4) 40-ton chilled water coils. This provides reliability and brings the system to the required 160 ton cooling capacity.
- Total Project Cost is \$423,000.00 including engineering design.

PROJECT RATIONALE:

- The existing HVAC system was installed prior to the renovation and occupancy by UNMH. The system has not been reliable. Major system components have failed, and part replacement has been difficult.
- The existing system uses R-22 refrigerant which is being phased out.
- Support from the manufacturer's representative has not been responsive.
- A recent cooling load analysis by a consulting engineer determined the required cooling to be 160 tons which is 40 tons above the existing 120 ton equipment.
- A proposed chilled water system would be more reliable technology than installing another refrigeration system. The east building uses a chilled water system that has been very reliable with very few issues. These chillers have a four circuit system. If one circuit fails, it can be isolated and repaired without shutting down the entire system.

FUNDING:

The total project budget is \$423,000 funded by UNMH Capital Budget.



February 27, 2013

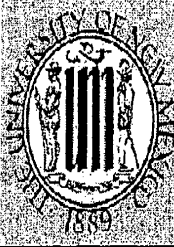
TO: Board of Regents Academic, Student Affairs, and Research Committee
FROM: Selena Salazar, Office of the University Secretary
SUBJECT: C250 Academic Leave for Lectures Policy

Upon recommendation of the Operations Committee, the Faculty Senate approved the proposed Policy C250-Academic Leave for Lectures. The proposed policy was approved at the February 26, 2013 Faculty Senate Meeting.

Please place this item on the March 6, 2013 Board of Regents Academic, Student Affairs, and Research Committee meeting agenda for consideration.

Thank you.

Attachment

 The University of New Mexico Faculty Handbook	Policy C250 Section: Faculty Rules and Benefits
	Approved By: Faculty Senate
	Issued:
	Last Updated: <i>Draft 1/19/13</i>
	Responsible FS Committee: Operations
Office Responsible for Administration: Faculty Contracts	
<h2 style="text-align: center;">Academic Leave for Principal Lecturers</h2>	

POLICY RATIONALE

The main purpose of academic leave is to encourage professional growth and increased competence among lecturers by subsidizing significant research, creative work, or some other program of study that is judged to be of equivalent value.

POLICY STATEMENT

1. Any Principal Lecturer is eligible to apply for Academic leave, which is the opportunity to apply for a professional development teaching release with pay to pursue other academic and/or professional opportunity activities. A Principal Lecturer is eligible to apply for such releases every six years of full-time service. Lecturers who qualify have the right to apply for academic leave; however, academic leave is not granted automatically upon the expiration of the necessary period of service. Rather, the lecturer shall present, as part of the application, evidence of recent sound teaching or other activities that materially support UNM's academic mission. Also, this program shall give reasonable promise of accomplishing the major purpose of the leave, cited in the Policy Rationale section above. Academic leave will not be granted to subsidize graduate work or work on advanced degrees.

1(a). For lecturers whose faculty appointments are not in the Health Sciences Center (HSC), academic leave may be approved for up to one semester at full salary.

1(b). For lecturers whose faculty appointments are in the HSC, academic leave may be approved for up to six months at full salary.

2. Academic leaves will be approved only with the clear understanding that the lecturer will at the completion of the academic leave return to the UNM for a period of service at least as long as the duration of the leave.

3. Academic leave is counted toward retirement. While a person is on academic leave, UNM will continue to pay its share toward retirement, group insurance, and social security benefits.

4. Lecturers on 12-month contracts may not accrue annual leave while on academic leave.

5. Appeal: If at any stage of the approval process, the applicant believes that his or her proposal has not been considered properly according to the provisions of this Policy, that matters of academic freedom are involved, that improper considerations have entered into a negative decision, or that other demonstrable conditions prevented a fair and impartial evaluation, he or she may appeal to the Committee on Academic Freedom and Tenure for a review of the matter.

APPLICABILITY

This Policy applies to all academic UNM units, including the Health Sciences Center (HSC) and Branch Campuses.

DEFINITIONS

Full-time Service: Service time equivalent to that of a faculty member employed on a contract designated as 1.0 full-time equivalent (FTE). For example, a faculty member whose contract is designated 0.5 FTE would have to multiply his or her service by a factor of two or reduce the leave time taken by one-half to meet the full-time service requirements listed in this policy.

Revisions to the Policy Rationale, Policy Statement, Definitions, and Applicability sections of this document must be approved by the full Faculty Senate and UNM Board of Regents. Revisions to the remaining sections of this document may be revised with the approval of the Faculty Senate Policy Committee in consultation with the responsible Faculty Senate Committee listed in Policy Heading.

WHO SHOULD READ THIS POLICY

- Board of Regents
- Professors and academic staff
- Academic deans and other executives, department chairs, directors, and managers

RELATED DOCUMENTS

Section B: "Policy on Academic Freedom and Tenure."

Policy C200 "Sabbatical Leave."

Policy C280 "Leave Without Pay."

CONTACTS

Direct any questions about this policy to your chair and/or dean or the Office of Faculty Contracts.

PROCEDURES AND GUIDELINES

1. As a general rule, the faculty members of the department concerned will be expected to absorb the teaching load of the individual on leave, and the departmental chairperson (or the

dean in non-departmentalized colleges) shall present with each recommendation for academic leave a statement of the planning in this regard. A department may, for example, decide to alternate courses or to cancel certain offerings. Further, it is expected that the department shall prepare its program over a period of years so that essential courses are not neglected because of the temporary absence of a member of the faculty.

2. To avoid adverse effects on the educational objectives of individual departments, the administration finds it necessary to place a practicable limit on the number of academic leaves granted in any one department for any one semester. Other conditions having been fulfilled, it is general practice that requests for leave be considered on the basis of length of service.

3. Approval of Application: Primary responsibility for determining the merit of a proposed program from the point of view of the validity of the program and the probable value of the program to the faculty member and to UNM lies in the department and should be accomplished by a departmental committee. The departmental chairperson shall forward to the dean the departmental evaluation together with the chair's recommendation and a statement as to how the teaching obligations of the department will be achieved in the event the proposal is approved. The dean with the advice of a college-wide faculty committee shall then evaluate the proposal both on its merits and on its effect on the operation of the college.

3(a). For non-HSC faculty, the dean shall then send the departmental and college recommendations to the Provost/Executive Vice President for Academic Affairs so that the original and one copy of the proposal together with all recommendations shall reach that office by February 1 for a leave commencing in Semester I of that year and by October 1 for a leave commencing in Semester II of the following year. The Office of the Provost shall verify that the applicant is eligible for the proposed leave and that provisions of this Policy have been properly followed. The Provost/Executive Vice President for Academic Affairs shall forward all materials to the President with an evaluation of the proposed leave from a University-wide point of view. The President makes the final decision.

3(b). In the HSC, the dean shall send the departmental and college recommendations to the Vice Chancellor for Academic Affairs (VCAA) so that the original and one copy of the proposal together with all recommendations shall reach that office at least two months prior to the proposed start of the leave. The VCAA shall verify that the applicant is eligible for the proposed leave and that provisions of this Policy have been properly followed, and forward all materials to the Chancellor for Health Sciences, who shall forward them to the President with an evaluation of the proposed leave from a University-wide point of view. The President makes the final decision.

4. Upon returning to UNM, every lecturer granted an academic leave shall submit promptly to the Provost/Executive Vice President for Academic Affairs or the Chancellor for Health Sciences, with copies to department chairperson and dean, a full report of the research, creative work, publications, or other results of the period of leave. The report submitted shall be placed in the lecturer's personnel file.

5. See item 2 under Policy C280 "Leave Without Pay" for combination of sabbatical and leave without pay.

6. Those lecturers who receive all or part of their salaries directly from agencies outside of UNM will be granted academic leave with salary guaranteed only to the extent of UNM funding of the previous year; full funding is possible only when funds are available within the UNM budget.

7. Time toward each new academic leave begins immediately after return to full-time service regardless of the semester of return.

HISTORY

Effective:

Draft—January 19, 2013 – Awaiting Faculty Senate and Board of Regents approval.

COMMENTS TO: handbook@unm.edu	FACULTY HANDBOOK HOME	TABLE OF CONTENTS	TABLE OF POLICIES	UNM HOME
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Associate of Science in Emergency Medical Services

UNM—Los Alamos

About the Program

The Associate of Science in Emergency Medical Service is a specialized degree program designed to build a workforce that is customized to the expected needs of towns, cities and counties in Northern New Mexico and beyond. Students in the program will receive basic academic and specialized hands on training needed to meet the professional educational needs of pre-hospital care providers. The program is designed to meet the 1998 EMT National Standard Curriculum requirements and incorporates New Mexico requirements and EMT scope of practice. Upon successful completion of degree requirements with a minimum GPA of 2.33, graduates will be qualified for New Mexico and National Registry testing and will be eligible for admission to the UNM Bachelor of Science in Emergency Medical Services program.

Program Learning Goals

The emergency medical services program provides students with quality instruction to facilitate mastery of the knowledge, skills and behaviors necessary to continue their studies with an end to completing a bachelor's degree in emergency medical services paramedic program. Upon successful completion of the required courses for the emergency medical services program, our students will demonstrate that they have developed the ability to:

- Recognize medical and traumatic emergencies and have the ability to intervene and stabilize patients while in transport to an advanced care facility.
- Have the ability to successfully use pre-hospital field techniques, including airway management and patient assessment and administration of intravenous fluids.
- Show awareness of social and professional responsibilities and act accordingly.
- Have a working knowledge of pharmacology, the history of emergency medical services, the development of EMS systems and current trends and issues in EMS.
- Communicate effectively.

Specific Requirements

A minimum of 73 credit hours with a minimum grade of 2.33 are required. At least 15 of these 73 credit hours must be UNM catalog credit courses taken in residence. A grade of C or better is required in all courses used to fulfill the requirements of the core curriculum. A minimum of C is also required in each Technical Core course.

General Education core courses (52 credit hours)

Writing and Speaking (12 credit hours)

- ENGL 101 Composition I: Exposition (3 credit hours)
- ENGL 102 Composition II: Analysis and Argument (3 credit hours)
- ENGL 220 Expository Writing (3 credit hours)

Or

- ENGL 290 Introduction to Professional Writing (3 credit hours)
- CJ 130 Public Speaking (3 credit hours)

Mathematics and Statistics (6 credit hours)

- MATH 121 College Algebra (3 credit hours)
- STAT 145 Introduction to Statistics (3 credit hours)

Physical and Natural Sciences (16 credit hours)

- BIOL 123 Biology for Health Related Sciences and Non Majors (3 credit hours)
AND
- BIOL 124L Biology for Health Related Sciences and Non Majors Lab (1 credit hour)
- BIOL 237 Human Anatomy and Physiology I for the Health Sciences (3 credit hours)
AND
- BIOL 227L Human Anatomy and Physiology Lab I (1 credit hour) OR BIOL 247L Human Anatomy and Physiology I for the Health Sciences Lab (1 credit hour)
- BIOL 238 Human Anatomy and Physiology II for the Health Sciences (3 credit hours)
AND
- BIOL 228L Human Anatomy and Physiology Lab II (1 credit hour) OR BIOL 248L Human Anatomy and Physiology II for the Health Sciences Lab (1 credit hour)
- CHEM 121 General Chemistry (3 credit hours)
AND
- CHEM 123L General Chemistry Lab (1 credit hour)

Social and Behavioral Sciences (6 credit hours)

Select two courses from the UNM Core Curriculum in Social and Behavioral Sciences

Humanities (6 credit hours)

Select two courses from the UNM Core Curriculum in Humanities

Fine Arts (3 credit hours)

Select one course from the UNM Core Curriculum in Fine Arts

Foreign Language (3 credit hours)

Select one course from the UNM Core Curriculum in Foreign Language

Emergency Medicine Core Requirements (21 Credit Hours)

- EMS 113 EMT-Basic (8 credit hours)
- EMS 142 EMT-Basic Lab (2 credit hours)
- EMS 120 Introduction to EMS System (3 credit hours)
- EMS 143 EMT-Intermediate Lab (1 credit hour)
- EMS 151 EMT-I Clinical and Field Experience (2 credit hours)
- EMS 180 EMT-Intermediate (5 credit hours)

Total Program Required 73 credit hours

Justification for AS in Emergency Medical Services

UNM–Los Alamos

The Associate of Science in Emergency Medical Service is a specialized degree program designed to build a workforce that is customized to the expected needs of towns, cities and counties in Northern New Mexico and beyond. The program will provide basic academic and specialized hands on training that will produce students with background and knowledge of pre-hospital care providers. It is designed to meet the 1998 EMT National Standard Curriculum requirements and incorporates New Mexico requirements and EMT scope of practice.

The program is being developed in cooperation with the UNM EMS Academy. Upon successful completion of degree requirements and a minimum GPA of 2.33, graduates will be qualified for New Mexico and National Registry testing and are eligible for admission to the UNM School of Medicine Emergency Medical Service Academy Bachelor of Science in Emergency Medical Service program.

The program will provide students with quality instruction to facilitate mastery of the knowledge, skills and behaviors necessary to continue their studies with an end to completing a bachelor's degree in emergency medical services paramedic program. Upon successful completion of the required courses for the emergency medical services program, our students will demonstrate that they:

- Recognize medical and traumatic emergencies and have the ability to intervene and stabilize patients while in transport to an advanced care facility.
 - Have the ability to successfully use pre-hospital field techniques, including airway management and patient assessment and administration of intravenous fluids.
 - Show awareness of social and professional responsibilities and act accordingly.
 - Have a working knowledge of pharmacology, the history of emergency medical services, the development of EMS systems and current trends and issues in EMS.
 - Communicate effectively.
1. The Associate of Science in Emergency Medical Service can be considered a vocational, terminal program, since students who obtain this degree will have the ability to be licensed as an EMT; however, it is designed primarily to be a transfer program directly into the UNM School of Medicine Emergency Medical Services Academy Bachelor of Science in Emergency Medical Service degree program. We are working not only with the UNM-EMS Academy, but also with the Los Alamos Fire Department and the local public schools to create the program. Since it will be based on the 1998 EMT National Standard Curriculum requirements, it will be portable and transferable both within the state and nationally. The local public schools have expressed an interest in having EMT Basic available for high school juniors and seniors so that students could be prepared to sit for the licensing exam and begin work as soon as they have their 18th birthday. These partnerships will give our students the skills that the regional potential employers are seeking in their new employees.
 2. Our recent assessment of the labor market in Northern New Mexico reflects a continual and growing need for emergency service personnel with post-secondary education. Our program will create a pool of qualified and productive individuals from which local emergency service providers, usually fire departments, could draw for entry level employees.
 3. This degree program, developed in conjunction with the UNM EMS Academy as well as our local fire department, will provide this opportunity to current employees who wish to enter a paramedic program as well as new students. Currently over 100 students are turned away from the program at UNM because of lack of space each year. This program at UNM-LA will help those students begin their degree. We are working with UNM to bring many of the 3rd year courses from UNM EMS academy to the our campus so that students can make progress rather than needing to wait for another year or two until space opens up in Albuquerque. The local fire department estimates that of its approximate 150 employees it has about a 20% per year turnover and

thus needs for new employees. Similarly, fire departments in Espanola, Santa Fe and Taos report similar needs. Many of the current firefighters plan to pursue training in emergency medical services. The lack of local availability often prevents currently employed individuals from beginning a program.

4. In the next five to ten years the job-creation ratio in New Mexico is expected to increase. These employment demands are demonstrated by current statistical figures published by state and federal agencies, such as NM Department of Labor and the U.S Bureau of Labor Statistics. These statistics indicate and expected growth of 33% by 2020 nationally. This is much faster than average growth rate.¹ In New Mexico there is an expected growth as well with the rate being about 2.2% per year.²
5. Most other regional institutions in Northern New Mexico do not have a degree in Emergency Medical Services. Our program would join 2 other emergency services degree programs in the Northern half of New Mexico. The geographically closest institution offering a fire-related degree program is Santa Fe Community College which offers an AAS in Paramedicine. The SFCC program is designed to be a terminal degree, while our proposed program is a transfer program designed to funnel directly into the UNM Baccalaureate program. While the SFCC program contains a few similar courses to those available at UNM EMS Academy, it does not have the depth of education that a bachelor degree would provide. The other institution in Northern New Mexico which offers a degree in Emergency Medical Service is San Juan College which is in the far northwest corner of New Mexico, over 200 miles away. The SJC degree program is also designed to be a terminal Associate's degree rather than a transfer program.

¹ Bureau of Labor Statistics, U.S. Department of Labor, *Occupational Outlook Handbook, 2012-13 Edition*, EMTs and Paramedics, on the Internet at <http://www.bls.gov/ooh/healthcare/ems-and-paramedics.htm> (visited October 04, 2012).

² New Mexico Department of Workforce Solutions, <http://www.dws.state.nm.us/careersolutions/occs/292041.html>

Budgetary and Faculty Load Considerations

We anticipate that a minimum number of part-time faculty members will need to be employed and only for the technical core and the specialized technical classes offered in the program. The technical core courses are already being taught each semester, so the increase will begin only as a cohort of students begin to move through the program in larger numbers. The cost associated with the acquisition of additional faculty members will be approximately \$700-\$750 per credit hour and will depend on the number of courses offered each semester (or each year.) The cost should be mostly recovered through tuition and state funding as they will not be full-time or tenured faculty at this time. The long-term goal is to hire full-time or tenured faculty, but that will be several years in the future.

Currently, lab equipment will be borrowed from the UNM EMS Academy. We are currently working with the UNM EMS Academy to develop a list of equipment that we will need to purchase. We estimate the cost of the equipment to be about \$10,000, but we will finalize the list with the EMS academy.

The Los Alamos branch campus of UNM mirrors all of the same student support services that are available to main campus students. UNM-LA Tutoring Center maintains a qualified group of tutors who offer assistance in math, English, chemistry, and other subject areas depending upon the expertise of the tutors. The library facility offers a comfortable learning environment, which includes a variety of study spaces and ten public computer workstations. Our computer facilities, available for students to use outside of the classrooms, support Mac, Windows, and Linux platforms. All computer workstations on campus are connected to the campus local area network, providing internet access through a connection to UNM-Albuquerque. Academic advisors are available to assist students achieve their educational goals. The team of advisors can provide information on a variety of academic, administrative, and placement topics. We already have a Program Coordinator, who is a Captain/Paramedic with the local fire department, on staff for this program. The cost of the coordinator will be shared with the Fire Science Program. We do not see the need to improve any of the student services we provide at this time. As a result, we do not anticipate any additional costs related to improving these services.

There will be course fees associated with various technical courses in the Associate of Science in Emergency Medical Service. For EMT Basic and Intermediate will both require needle stick insurance and purchase of curriculum from the UNM EMS Academy. Currently that fee is \$100, but it will increase as the cost of these items increase. If we determine at a later date that expendable materials are needed for a particular class, the course fee form will be completed and submitted.

In order to successfully implement this program, UNM-Los Alamos received support from LAFD in terms of curriculum development and equipment use. Other associated costs would include salaries for the program coordinator(s) and stipends for faculty members teaching in the program. A stipend for the program coordinator during start-up will be provided for by a current Title V Grant.

The following table provides a three-year projection of the program's estimated budget.

	Year 1	Year 2	Year 3
Program Coordinator	\$2000	\$2000	\$2000
Faculty (already in current budget for technical courses)	\$12,000	\$12,000	\$12,000
Student Outreach	\$500	\$500	\$500
Equipment Expenses	\$3,000	\$3,000	\$3,000
Miscellaneous	\$750	\$750	\$750
Total Expenses	\$18,250	\$18,250	\$18,250

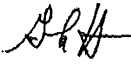


THE UNIVERSITY *of* NEW MEXICO

Office of the Vice Provost for Academic Affairs
MSC05 3400
1 University of New Mexico
Albuquerque, NM 87131-0001
505.277.2611

Date: 10/11/2012

To: Beverly Kay Willerton, Associate Dean of Instruction, UNM-Los Alamos

From: Gregory L. Heileman, Associate Provost for Curriculum 

Re: Pre-proposal for Associate of Science in EMS for UNMLA

Cc: Chaouki Abdallah, Provost and EVP for Academic Affairs
Kathleen Keating, Chair, Faculty Senate Curriculum Committee
Elizabeth Barton, Associate Registrar

Thank you for submitting the preliminary review outline for the Associate of Science in Emergency Medical Service. In our judgment, the proposed Associate of Science degree has been sufficiently well developed to warrant submission to the Faculty Senate Curricula Committee, please feel free to proceed.

We commend you for endeavoring to create this program in a manner that is consistent with the program at UNM – Valencia, and for also ensuring that it feeds into the UNM EMS Academy.



Emergency Medical Services Academy

UNM Faculty Senate Curriculum Committee

September 27, 2012

Re: UNM-Los Alamos AS in Emergency Medical Services

To Whom It May Concern:

I am pleased to write this letter in support of the pre-proposal submitted by UNM-Los Alamos and partners in Northern New Mexico to implement an Associate of Science Degree in Emergency Medical Services. This degree will help to address the critical need in Northern New Mexico to advance students in public safety careers recruit new students into a degree program in such a vital discipline.

As the Director of the EMS Academy, I strongly support this proposal and the combined efforts of the partners to create this new degree opportunity. We have been working with the faculty and staff of UNM-LA in planning and implementing this program including the required credits and equipment. To support this program, we hope to design a Fire Science Concentration as part of our Bachelors of Science, EMS degree. In return, we foresee that this program could also benefit us by providing a Fire Science option for our BS degree students.

Thank you for your consideration of this degree program. We look forward to working with UNM-Los Alamos on a mutually beneficial program.

Sincerely,

Robert McDaniels, MS, NREMT-P

Director

EMS Academy

Troy Hughes
Fire Chief
Justin Grider
Deputy Chief
Glenn Trehern
Deputy Chief

Los Alamos County Fire Department

195 East Road, Suite 101, Los Alamos, NM 87544
Phone: (505) 662-8301; Fax: (505) 662-8302

"1 Department, 1 Direction, True North"



October 3, 2012

The University of New Mexico
Los Alamos Branch
4000 University Dr.
Los Alamos, NM 87544

To Whom It May Concern:

The Los Alamos Fire Department would like to express our excitement and enthusiasm for a potential Paramedic Education Program to be held at the University of New Mexico Los Alamos Branch. The Los Alamos Fire Department is a highly regarded institution of higher education in the community of Los Alamos. With the convenience of having such a highly regarded institution of higher education in our community that would be able to provide this type of education to the members of our department, it is our goal to provide this type of education to the members of our department. The Los Alamos Fire Department is dedicated to provide a high level of Emergency Medicine to the visitors and members of the community.

Memorandum

To: Dr. Kate Massengale, Dean of Instruction
From: Dennis Davies-Wilson, Library Director
Date: October 18, 2012
Re: Library support for AS in Emergency Medical Services Form C

The library currently collects limited resources in support of the EMT program. Additional funding (a minimum of \$2,000.00 annually) will be necessary to update the print and electronic resources collection and maintain support of an Associate of Science in Emergency Medical Services degree.

UNM-Los Alamos
New Degree Program Proposal

Associate of Science in Emergency Medical Services

The UNM-Los Alamos Curriculum Committee has approved the proposed new program above.

Dennis Davies-Wilson 10-17-12
Dennis Davies-Wilson, Chair Date

UNM-Los Alamos

Associate of Science in Emergency Medical Service

Preliminary Review and Proposal Outline

September 10, 2012

1. Program Description

a. What is the program and why should we offer it? Include the program's major goals.

The Associate of Science in Emergency Medical Service is a specialized degree program designed to build a workforce that is customized to the expected needs of towns, cities and counties in Northern New Mexico and beyond. The program will provide basic academic and specialized hands on training that will produce students with background and knowledge of pre-hospital care providers. It is designed to meet the 1998 EMT National Standard Curriculum requirements and incorporates New Mexico requirements and EMT scope of practice.

The program is being developed in cooperation with the UNM EMS Academy. Upon successful completion of degree requirements and a minimum GPA of 2.33, graduates will be qualified for New Mexico and National Registry testing and are eligible for admission to the UNM School of Medicine Emergency Medical Service Academy Bachelor of Science in Emergency Medical Service program.

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- Recognize medical and traumatic emergencies and have the ability to intervene and stabilize patients while in transport to an advanced care facility.
- Have the ability to successfully use pre-hospital field techniques, including airway management and patient assessment and administration of intravenous fluids.
- Show awareness of social and professional responsibilities and act accordingly.
- Have a working knowledge of pharmacology, the history of emergency medical services, the development of EMS systems and current trends and issues in EMS.
- Communicate effectively.

b. How does the program fit within the branch's mission?

The mission of UNM-Los Alamos is ***Preparation for Transfer...Pathways for Careers...Passion for Life Long Learning!*** The Associate of Science in Emergency Medical Service program fulfills this mission by providing educational opportunities for members of the community and Northern New Mexico for employment in the area of emergency services. The degree program offers quality training needed for individuals to begin working as licensed EMTs at local, regional, state or out of state fire departments, and to transfer to a Bachelor's Paramedic program.

c. How does the program fit within the UNM mission and strategic plan?

UNM's mission and strategic plan as outlined on the UNM website is to serve as New Mexico's flagship institution of higher learning through demonstrated and growing excellence in **teaching, research, patient care, and community service**. Our vision for the Associate of Science in Emergency Medical Service degree program helps fulfill this mission. Our students will receive excellent instruction and will learn the value of community service, the value of using their own talents and skills to give back to society, and the ability to provide excellent pre-hospital patient care. These experiences will give each student an awareness of their place in society and thus will make them better citizens and ultimately better employees.

d. How does the program fit with related offerings at other UNM branch campuses?

UNM-Valencia has a similar degree program. This new program at UNM-Los Alamos will also be developed in cooperation and with the support of UNM EMS Academy.

e. If it is a vocational program, describe plans to involve potential employers in its development.

The Associate of Science in Emergency Medical Service can be considered a vocational, terminal program, since students who obtain this degree will have the ability to be licensed as an EMT; however, it is designed primarily to be a transfer program directly into the UNM School of Medicine Emergency Medical Services Academy Bachelor of Science in Emergency Medical Service degree program.

We are working not only with the UNM-EMS Academy, but also with the Los Alamos Fire Department and the local public schools to create the program. Since it will be based on the 1998 EMT National Standard Curriculum requirements, it will be portable and transferable both within the state and nationally. The local public schools have expressed an interest in having EMT Basic available for high school juniors and seniors so that students could be prepared to sit for the licensing exam and begin work as soon as they have their 18th birthday. These partnerships will give our students the skills that the regional potential employers are seeking in their new employees.

If it is a transfer program, describe its intended articulation to UNM four-year programs. Does it contemplate articulation with institutions other than UNM? - provide any relevant articulation/transfer documentation such as articulation agreements.

As stated above, our program can be a terminal program. However, since the curriculum will be based on the 1998 EMT National Standard Curriculum requirements, it will be easily transferrable to any Bachelor's program particularly the UNM School of Medicine Emergency Medical Services Academy Bachelor of Science in Emergency Medical Service degree program. Also, our general education core curriculum contains basic courses from UNM main degree programs, which can be transferred to other programs should a student choose to do so. The UNM EMS Academy is working with us in the development of this degree and is planning to begin offering many of their 3rd and 4th year courses on our campus so that the students who take their coursework here will be able to continue with UNM EMS Academy to finish a paramedic program.

f. Assuming timely approval, what is the program development and implementation timeline?

The Associate of Science in Emergency Medical Service program has a target start date of August 2013. Program development is mostly complete and will be concluded this fall pending preliminary approval.

2. Evidence of Need

a. Provide evidence of student demand.

Our recent assessment of the labor market in Northern New Mexico reflects a continual and growing need for emergency service personnel with post-secondary education. Our program will create a pool of qualified and productive individuals from which local emergency service providers, usually fire departments, could draw for entry level employees.

In addition, there is a growing trend in the local markets to require post-secondary education for any of its employees to advance in their careers. This degree program, developed in conjunction with the UNM EMS Academy as well as our local fire department, will provide this opportunity to current employees who wish to enter a paramedic program as well as new students. Currently over 100 students are turned away from the program at UNM because of lack of space each year. This program at UNM-LA will help those students begin their degree. We are working with UNM to bring many of the 3rd year courses from UNM EMS academy to the our campus so that students can make progress rather than needing to wait for another year or two until space opens up in Albuquerque. The local fire department estimates that of its approximate 150 employees it has about a 20% per year turnover and thus needs for new employees. Similarly, fire departments in Espanola, Santa Fe and Taos report similar needs. Many of the current firefighters plan to pursue training in emergency medical services. The lack of local availability often prevents currently employed individuals from beginning a program.

b. Indicate how you plan to recruit students.

We are planning an aggressive advertising campaign to include fliers and brochures describing the details of the program such as curriculum, application procedures, deadlines, and selection criteria. The Los Alamos Fire Department will advertise to their employees, and we are currently working with the Los Alamos Public schools to create and advertising campaign and career pathway to their students who are interested in this type of career. UNM-Los Alamos student advisors and recruiters will distribute advertisement fliers to other area schools during their regular recruitment period. Similar fliers will be posted on campus and on bulletin boards in our area.

Program information will be provided on the UNM-Los Alamos main web page with several links to additional resources. We also intend to include information about the program on the YouTube page associated with UNM-Los Alamos.

Our program will also be advertised in the UNM main campus newspaper, which has distribution in the entire UNM complex of branches. The Associate of Science in Emergency Medical Service program will also target other youth organizations operating in the area, which provide career development and advisement.

c. How does the program fit with similar and related offerings in the state and region? - show it does not duplicate existing programs in the market.

Most other regional institutions in Northern New Mexico do not have a degree in Emergency Medical Services. Our program would join 2 other emergency services degree programs in the Northern half of New Mexico. The geographically closest institution offering a fire-related degree program is Santa Fe Community College which offers an AAS in Paramedicine. The SFCC program is designed to be a terminal degree, while our proposed program is a transfer program designed to funnel directly into the

UNM Baccalaureate program. While the SFCC program contains a few similar courses to those available at UNM EMS Academy, it does not have the depth of education that a bachelor degree would provide. The other institution in Northern New Mexico which offers a degree in Emergency Medical Service is San Juan College which is in the far northwest corner of New Mexico, over 200 miles away. The SJC degree program is also designed to be a terminal Associate's degree rather than a transfer program.

The Associate of Science in Emergency Medical Service program is being developed based on expected labor market needs in the region. To be consistent with current regional needs for a more highly skilled workforce with a background in Emergency Medical Services and basic employability skills, the degree program will be developed and delivered in a cohort model.

d. Provide evidence of demand for program graduates.

1. Include in-depth needs assessment. Department of Labor statistics or surveys of likely employers are potential mechanisms.

In the next five to ten years the job-creation ratio in New Mexico is expected to increase. These employment demands are demonstrated by current statistical figures published by state and federal agencies, such as NM Department of Labor and the U.S Bureau of Labor Statistics. These statistics indicate and expected growth of 33% by 2020 nationally. This is much faster than average growth rate.¹ In New Mexico there is an expected growth as well with the rate being about 2.2% per year.² (Documents are attached at the end of the pre-proposal.)

2. Discuss the program's relationship to workforce development.

As previously stated, national, state, and regional labor statistics suggest the need for training potential workers through education in the area of Emergency Medical Services. The existence of a degree program designed to prepare students in this area would benefit the development of a pipeline of potential employees as well as meeting the retraining needs of the current workforce, as well as provide a pipeline of students to the UNM Bachelor's degree program in EMS. This pipeline will ensure the availability of better educated and skilled students and qualified potential employees that will ultimately benefit the regional economy.

UNM-Los Alamos, along with the local fire department and the UNM EMS Academy, have identified a need for a general education that is standard in the Emergency Medical Services area in order to ensure common levels of rigor upon which technical training can be based.

Program Content and Quality

a. Describe the curriculum. Discuss any new courses and the impact of the curriculum on existing courses, including courses in other departments. Draft catalog copy is required.

¹ Bureau of Labor Statistics, U.S. Department of Labor, *Occupational Outlook Handbook, 2012-13 Edition*, EMTs and Paramedics, on the Internet at <http://www.bls.gov/ooh/healthcare/emts-and-paramedics.htm> (visited October 04, 2012).

² New Mexico Department of Workforce Solutions, <http://www.dws.state.nm.us/careersolutions/occs/292041.html>

A minimum of 73 credit hours must be earned to complete the Associate of Science degree in Emergency Medical Service. This credit hour count is higher than most Associate degree programs, but it is in line with UNM-Valencia's program. It includes the pre-requisites required of students entering a Bachelor degree program at UNM. The curriculum included in this degree program consists of several groups of courses designed to enhance each student's academic capabilities. The required courses encourage intellectual development in several areas of study to include writing and communication, mathematical reasoning, and scientific methods in the physical sciences. A grade of C or better is required in all courses used to fulfill the requirements of the core curriculum.

The following general education core courses (total 52 credit hours) will be required under the proposed degree program. The core classes include:

Writing and Speaking (12 credit hours)

- ENGL 101 Composition I: Exposition (3 credit hours)
- ENGL 102 Composition II: Analysis and Argument (3 credit hours)
- ENGL 220 Expository Writing (3 credit hours)

Or

- ENGL 290 Introduction to Professional Writing (3 credit hours)
- CJ 130 Public Speaking (3 credit hours)

Mathematics and Statistics (6 credit hours)

- MATH 121 College Algebra (3 credit hours)
- STAT 145 Introduction to Statistics (3 credit hours)

Physical and Natural Sciences (16 credit hours)

- BIOL 123 Biology for Health Related Sciences and Non Majors (3 credit hours)

AND

- BIOL 124L Biology for Health Related Sciences and Non Majors Lab (1 credit hour)
- BIOL 237 Human Anatomy and Physiology I for the Health Sciences (3 credit hours)

AND

- BIOL 227L Human Anatomy and Physiology Lab I (1 credit hour) OR BIOL 247L Human Anatomy and Physiology I for the Health Sciences Lab (1 credit hour)
- BIOL 238 Human Anatomy and Physiology II for the Health Sciences (3 credit hours)

AND

- BIOL 228L Human Anatomy and Physiology Lab II (1 credit hour) OR BIOL 248L Human Anatomy and Physiology II for the Health Sciences Lab (1 credit hour)
- CHEM 121 General Chemistry (3 credit hours)

AND

- CHEM 123L General Chemistry Lab (1 credit hour)

Social and Behavioral Sciences (6 credit hours)

Select two courses from the UNM Core Curriculum in Social and Behavioral Sciences

Humanities (6 credit hours)

Select two courses from the UNM Core Curriculum in Humanities

Fine Arts (3 credit hours)

Select one course from the UNM Core Curriculum in Fine Arts

Foreign Language (3 credit hours)

Select one course from the UNM Core Curriculum in Foreign Language

Emergency Medicine Core Requirements (21 Credit Hours)

- EMS 113 EMT-Basic (8 credit hours)
- EMS 140 EMT-Basic Lab (2 credit hours)
- EMS 120 Introduction to EMS System (3 credit hours)
- EMS 143 EMT-Intermediate Lab (1 credit hour)
- EMS 151 EMT-I Clinical and Field Experience (2 credit hours)
- EMS 180 EMT-Intermediate (5 credit hours)

Total Program Required 73 credit hours

- b. *What are the expected student learning outcomes for the program? What will the students know and what will they be able to do when they complete this program?*

The emergency medical services program provides students with quality instruction to facilitate mastery of the knowledge, skills and behaviors necessary to continue their studies with an end to completing a bachelor's degree in emergency medical services paramedic program. Course syllabi describe the learning objectives that contribute to the program learning goals and explain how students' learning is evaluated. Upon successful completion of the required courses for the emergency medical services program, our students will demonstrate that they have developed the ability to:

- Recognize medical and traumatic emergencies and have the ability to intervene and stabilize patients while in transport to an advanced care facility.
- Successfully use pre-hospital field techniques, including airway management and patient assessment and administration of intravenous fluids..
- Show awareness of social and professional responsibilities and act accordingly.
- Have a working knowledge of pharmacology, the history of emergency medical services, the development of EMS systems and current trends and issues in EMS.
- Communicate effectively.

- c. *What instructional model(s) will be used to deliver the program?*

The instructional model to be used to deliver the Associate of Science in Emergency Medical Service program will focus on learning technical skills that students could immediately apply in the workplace. The major features of this instructional model include

- Lectures,
- Demonstrations,
- Hands-on training

- d. *If applicable, describe any accreditation issues, including the following:*

- a. *Will accreditation be sought for the program? If so, describe the process and the expenses involved.*

We will not be offering the Paramedic program (which needs to be accredited) independently; rather this will be done through UNM EMS Academy who has the accreditation already.

- b. *How does the program affect any existing accreditation and licensure requirements?*

Since the curriculum for the Associate of Science in Emergency Medical Service program will be based on the pre-requisites for and the first 2 years of the UNM Bachelor's in Emergency Medical Service curriculum, the courses and faculty will be approved by EMS academy which will enhance existing accreditation at UNM-Los Alamos.

Evaluation and Assessment

- a. *How will the program's learning outcomes be measured?*

The program's learning outcomes will be assessed using course-embedded assessment including test item analysis, student survey, employer survey, graduate exit survey, and student self-assessment. Several methods will be developed to evaluate the degree to which our students are achieving the identified learning outcomes. We will employ direct and indirect methods to assess the program's learning outcomes.

The overall assessment method will measure multiple learning components to determine patterns and to identify low and high performing areas for added analysis and interpretation. The program will also have in place a process of incorporating relevant data to regularly assess educational objectives and program outcomes. This documented process will constitute a mechanism to evaluate the extent to which program objectives are met.

- b. *What other measures to evaluate program effectiveness are contemplated?*

Additional methods considered to measure program effectiveness will include monitoring enrollment trends, employer satisfaction surveys, exit interviews, and retention and employment placement data.

- c. *A plan for learning outcomes assessment at the course and program level is required.*

The Associate of Science in Emergency Medical Service assessment plan is summarized in the table below.

Outcome	Measurement Method/Course	Responsibility	Schedule for Data Collection	Schedule for review and change
Recognize medical and traumatic emergencies and to intervene and stabilize patients while in transport to an advanced care facility	EMS 113 EMS 180	Appropriate Faculty reporting to Program Coordinator	Annually	Summer

Use pre-hospital field techniques, including airway management and patient assessment and administration of intravenous fluids.	EMS 113 EMS 180	Appropriate Faculty reporting to Program Coordinator	Bi-Annual	Summer
Show awareness of social and professional responsibilities and act accordingly.	EMS 120	Appropriate Faculty reporting to Program Coordinator	Bi-Annually	Summer
Demonstrate a working knowledge of pharmacology, the history of emergency medical services, the development of EMS systems and current trends and issues in EMS.	EMS 120	Appropriate Faculty reporting to Program Coordinator	Bi-Annually	Summer
Communicate effectively	ENGL 102	English Department	Bi-Annually	Summer

Each of the Emergency Medical Services courses will be assessed using embedded assessment within the course and reported to the Emergency Medical Services Coordinator. Learning outcomes will be developed within each syllabus.

Our proposed learning outcomes assessment process is:

1. Assessment Tool is administered.
2. Data is Collected and reported to Program Coordinator.
3. Data is analyzed using appropriate software and results reported via website and annual reports.
4. Meetings are called with all faculty annually and
5. Results are discussed in the meeting, problems are identified, and corrective actions are formulated.
6. Corrective actions are implemented during the next academic year.
7. Reassessment occurs.

At the program level, the following is our plan for learning outcomes assessment:

- Refine student learning outcomes;

- Connect learning outcomes to curriculum with help of program coordinator, fire department and faculty;
- Identify assessment tools or methods and include implementation timeline and rubrics;
- Analyze, interpret, report, and communicate findings;
- Use findings for program improvement and decision making.

3. Required Resources

a. How many faculty are necessary for program delivery and what are their qualifications?

We anticipate that between 2 and 3 part-time faculty members will be needed to teach one or two courses each in the technical area. The qualifications of the faculty members necessary for general core curriculum delivery are Master's degree in their field of specialty, while for technical classes the faculty will be approved by UNM EMS Academy and the state depending on appropriate education and experience. The education level of these faculty will vary from an Associate's degree up, with a preference for a Bachelor's degree, depending on the course. These faculty must be in good standing with the UNM EMS Academy and the state EMS Bureau and meet the JOE requirements for EMS education.

b. How will this program affect the workload of current faculty and support staff?

The general core academic requirements for our program in Emergency Medical Services coincide with all Associate degree programs at UNM-Los Alamos. During the first year into this program, there will not be any additional workload demand on our current faculty since general core classes are offered each semester at UNM-LA. Once the program has reliable enrollment, we will continually evaluate the need for hiring new faculty to teach additional sections of core classes.

c. Will additional faculty or staff be required? What is the cost?

We anticipate that a minimum number of part-time faculty members will need to be employed and only for the technical core and the specialized technical classes offered in the program. Six to eight additional part-time faculty members will be needed to teach some of the technical core and customized technical classes not already covered by existing instructors. The cost associated with the acquisition of additional faculty members will be approximately \$650-\$700 per credit hour and will depend on the number of courses offered each semester (or each year.) The cost should be recovered through tuition and state funding as they will not be full-time or tenured faculty at this time.

What faculty and staff development services will be needed? What technology, media, equipment, and instructional supplies are needed to support the program's intended outcomes? Are these resources available? What is the estimated cost?

At this time, there is no other need for faculty and staff development services. Currently, lab equipment will be borrowed from the UNM EMS Academy. Disposable supplies for classroom activities will be covered by lab fees. We are currently working with the UNM EMS Academy to develop a list of equipment that we will need to purchase. We estimate the cost of the equipment to be about \$10,000, but we will finalize the list as soon as the Academy has more time to help us complete the list, probably later in October at a meeting we have planned.

d. Are there any needs for additional or renovated space?

Courses will be taught in traditional classrooms. We have a large classroom with tables that will be used, almost exclusively for this program. No additional space will be needed at UNM-LA at this time.

e. What student support services are likely to be needed and to what extent (tutoring, library, ITS, advising, etc.)? What is the estimated cost?

The Los Alamos branch campus of UNM mirrors all of the same student support services that are available to main campus students. UNM-LA Tutoring Center maintains a qualified group of tutors who offer assistance in math, English, chemistry, and other subject areas depending upon the expertise of the tutors. The library facility offers a comfortable learning environment, which includes a variety of study spaces and ten public computer workstations. Our computer facilities, available for students to use outside of the classrooms, support Mac, Windows, and Linux platforms. All computer workstations on campus are connected to the campus local area network, providing internet access through a connection to UNM-Albuquerque. Academic advisors are available to assist students achieve their educational goals. The team of advisors can provide information on a variety of academic, administrative, and placement topics. We already have a Program Coordinator, who is a Captain/Paramedic with the local fire department, on staff for this program. The cost of the coordinator will be shared with the Fire Science Program.

We do not see the need to improve any of the student services we provide at this time. As a result, we do not anticipate any additional costs related to improving these services.

f. What student support will be needed (scholarships, student employment, work study, internships, etc.)?

No new institutional support will be needed. We will need to continue to work with the regional hospitals to provide required clinical experience. We have already been doing this, so no new collaborations will be required at this time.

g. Provide a rationale for any course fees or other expenses (in addition to tuition) that students will be expected to cover.

There will be course fees associated with various technical courses in the Associate of Science in Emergency Medical Service. For EMT Basic and Intermediate will both require needle stick insurance and purchase of curriculum from the UNM EMS Academy. Currently that fee is \$100, but it will increase as the cost of these items increase. If we determine at a later date that expendable materials are needed for a particular class, the course fee form will be completed and submitted.

4. Projected Enrollment and Costs

a. Provide a three-year projection of enrollments and program costs.

i. Provide a detailed table of enrollment projections

The following table represents our projected enrollment figures for the program.

Year	Projected Enrollment
1	Minimum 10 students
2	Minimum 10 students
Following years	Minimum 10 students

ii. *Provide a program budget.*

In order to successfully implement this program, UNM-Los Alamos received support from LAFD in terms of curriculum development and equipment use. Other associated costs would include salaries for the program coordinator(s) and stipends for faculty members teaching in the program. A stipend for the program coordinator during start-up will be provided for by a current Title V Grant.

The following table provides a three-year projection of the program's estimated budget.

	Year 1	Year 2	Year 3
Program Coordinator	\$2000	\$2000	\$2000
Faculty	\$12,000	\$12,000	\$12,000
Student Outreach	\$500	\$500	\$500
Equipment Expenses	\$3,000	\$3,000	\$3,000
Miscellaneous	\$750	\$750	\$750
Total Expenses	\$18,250	\$18,250	\$18,250

b. *If applicable, describe anticipated sources of new revenue required for the program.*

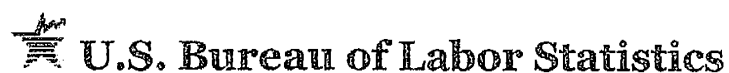
5. Additional Information

a. *Provide any additional information needed to make the case for development of full proposal. Provide any additional information to support the request for the proposed degree program.*

Please see attached letters of support and labor market statistics for anticipated need for EMTs/Paramedics.

6. Attachments

- Department of Labor documentation
- List of similar programs (state and regional)
- List of potential employers.
- Letters of support from external partners or stakeholders.
- If applicable, letters of support from related UNM programs from other campuses.



EMTs and Paramedics

Summary



In emergencies, EMTs and paramedics are dispatched by a 911 operator to the scene, where they often work with police and firefighters.

Quick Facts: EMTs and Paramedics	
2010 Median Pay	\$30,360 per year \$14.60 per hour
Entry-Level Education	Postsecondary non-degree award
Work Experience in a Related Occupation	None
On-the-job Training	None
Number of Jobs, 2010	226,500
Job Outlook, 2010-20	33% (Much faster than average)
Employment Change, 2010-20	75,400

What EMTs and Paramedics Do

Emergency medical technicians (EMTs) and paramedics care for the sick or injured in emergency medical settings. People's lives often depend on their quick reaction and competent care. EMTs and paramedics respond to emergency calls, performing medical services and transporting patients to medical facilities.

Work Environment

Emergency medical technicians (EMTs) and paramedics work both indoors and outdoors, in all types of weather. Their work is physically strenuous and can be stressful, sometimes involving life-or-death situations and patients who are suffering.

How to Become an EMT or Paramedic

All EMTs and paramedics must complete a formal training program. All states require EMTs and paramedics to be licensed; requirements vary by state.

Pay

The median annual wage of EMTs and paramedics was \$30,360 in May 2010.

Job Outlook

Employment of EMTs and paramedics is expected to grow by 33 percent from 2010 to 2020, much faster than the average for all occupations.

Similar Occupations

Compare the job duties, education, job growth, and pay of EMTs and paramedics with similar occupations.

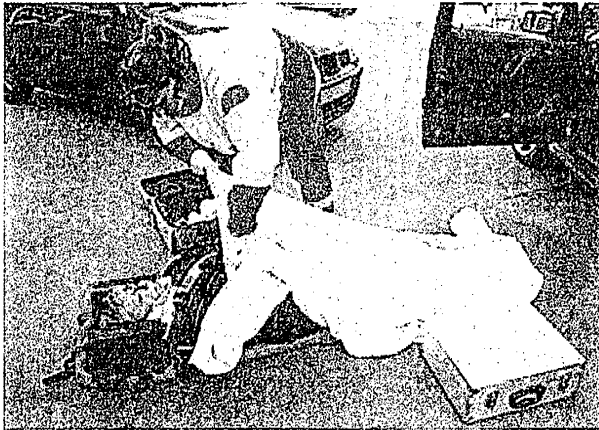
O*NET

O*NET provides comprehensive information on key characteristics of workers and occupations.

Contacts for More Information

Learn more about EMTs and paramedics by contacting these additional resources.

What EMTs and Paramedics Do



EMTs and paramedics use special equipment, including backboards and restraints, to immobilize patients and secure them in the ambulance for transport.

Emergency medical technicians (EMTs) and paramedics care for the sick or injured in emergency medical settings. People's lives often depend on their quick reaction and competent care. EMTs and paramedics respond to emergency calls, performing medical services and transporting patients to medical facilities.

A 911 operator sends EMTs and paramedics to the scene of an emergency, where they often work with police and firefighters. For more information, see the profiles on [police and detectives](#) and [firefighters](#).

Duties

EMTs and paramedics typically do the following:

- Respond to 911 calls for emergency medical assistance, such as cardiopulmonary resuscitation (CPR) or bandaging a wound
- Assess a patient's condition and determine a course of treatment
- Follow guidelines that they learned in training and that they receive from physicians who oversee their work
- Use backboards and restraints to keep patients still and safe in an ambulance for transport

- Help transfer patients to the emergency department of a healthcare facility and report their observations and treatment to the staff
- Create a patient care report; documenting the medical care they gave the patient
- Replace used supplies and check or clean equipment after use

When taking a patient to the hospital, one EMT or paramedic may drive the ambulance while another monitors the patient's vital signs and gives additional care. Some paramedics work as part of a helicopter's flight crew to transport critically ill or injured patients to a hospital.

EMTs and paramedics also take patients from one medical facility to another. Some patients may need to be transferred to a hospital that specializes in treating their injury or illness or to a facility that provides long-term care, such as a nursing home.

If a patient has a contagious disease, EMTs and paramedics decontaminate the interior of the ambulance and may need to report these cases to the proper authorities.

The specific responsibilities of EMTs and paramedics depend on their level of training and the state they work in. The National Registry of Emergency Medical Technicians (NREMT) provides national certification of EMTs and paramedics at four levels: EMT-Basic, EMT-Intermediate (which has two levels, respectively called 1985 and 1999), and Paramedic. Some states, however, have their own certification programs and use different titles.

An **EMT-Basic**, also known as an EMT, cares for patients at the scene and while taking patients by ambulance to a hospital. An EMT-Basic has the emergency skills to assess a patient's condition and manage respiratory, cardiac, and trauma emergencies.

An **EMT-Intermediate (1985 or 1999)**, also known as Advanced EMT, has completed the training required at the EMT-Basic level, as well as training for more advanced skills, such as the use of intravenous fluids and some medications.

Paramedics provide more extensive prehospital care than do EMTs. In addition to carrying out the procedures that EMTs use, paramedics can give medications orally and intravenously, interpret electrocardiograms (EKGs)—used to monitor heart function—and use other monitors and complex equipment.

The specific tasks or procedures EMTs and paramedics are allowed to perform at any level vary by state.

Work Environment



EMTs and paramedics care for sick or injured patients in a pre-hospital setting.

Emergency medical technicians (EMTs) and paramedics held about 226,500 jobs in 2010. They work both indoors and outdoors, in all types of weather. Their work is physically strenuous and can be stressful, sometimes involving life-or-death situations and patients who are suffering. Most career EMTs and paramedics work in metropolitan areas. Volunteer EMTs and paramedics are more common in small cities, towns, and rural areas. These individuals volunteer for fire departments, providers of emergency medical services, or hospitals and may respond to only a few calls per month.

As shown below, almost half of paid EMTs and paramedics worked as employees of ambulance services in 2010. Others worked in hospitals or local government:

Ambulance services	48%
Local government, excluding education and hospitals	29
Hospitals; state, local, and private	17

In 2010, about 22 percent of EMTs and paramedics belonged to a union or were covered by a union contract.

Injuries

EMTs and paramedics experience a much larger than average number of work-related injuries or illnesses. They are required to do considerable kneeling, bending, and lifting while caring for and moving patients. They may be exposed to contagious diseases, such as hepatitis B and AIDS. Sometimes they can be injured by mentally unstable or combative patients. These risks can be reduced by following proper safety procedures, such as waiting for police to clear an area in violent situations or wearing gloves while working with a patient.

Work Schedules

Most EMTs and paramedics work full time. About one-third worked more than full time in 2010. Because EMTs and paramedics must be available to work in emergencies, they may work overnight and on weekends. Some EMTs and paramedics are volunteers and have varied work schedules.

How to Become an EMT or Paramedic



EMTs and paramedics need to be physically fit as their job requires a considerable amount of bending, lifting, and kneeling.

All emergency medical technicians (EMTs) and paramedics must complete a formal training program. All states require EMTs and paramedics to be licensed; requirements vary by state.

Education and Training

Both a high school diploma or equivalent and cardiopulmonary resuscitation (CPR) certification are prerequisites for most formal education and training programs. High school students interested in entering these occupations should take courses in anatomy and physiology. Formal training is offered by technical institutes, community colleges, and facilities that specialize in emergency care training.

At the *EMT-Basic* level, training includes instruction in assessing patients' conditions, dealing with trauma and cardiac emergencies, clearing obstructed airways, using field equipment, and handling emergencies. Formal courses include about 100 hours of specialized training. Some training may be required in a hospital or ambulance setting.

The *EMT-Intermediate 1985* or *EMT-Intermediate 1999* level, also known as the *Advanced EMT* level, typically requires 1,000 hours of training based on the scope of practice. At this level, people must complete the training required at the EMT level, as well as more advanced training, such as training in the use of complex airway devices, intravenous fluids, and some medications.

Paramedics have the most advanced level of training. They must complete EMT-level and Advanced EMT training, as well as training in advanced medical skills. Community colleges and technical schools may offer this training, in which graduates may receive an associate's degree. Paramedic programs require about 1,300 hours of training and may take up to 2 years. Their broader scope of practice may include stitching wounds or administering IV medications.

Separate training and licensure is required to drive an ambulance. Although some emergency medical services hire separate drivers, most EMTs and paramedics take a course requiring about 8 hours of training before they can drive an ambulance.

Licenses and Certification

The National Registry of Emergency Medical Technicians (NREMT) certifies EMTs and paramedics. All levels of NREMT certification require completing a certified training or education program and passing the national exam. The national exam has both a written part and a practical part.

All states require EMTs and paramedics to be licensed; requirements vary by state. In most states, an individual who has NREMT certification qualifies for licensure; in some, passing an equivalent state exam is required. Typically to apply for a license, an applicant must be over the age of 18. Many states require background checks and may decide not to give a license to an applicant who has a criminal history.

Important Qualities

Compassion. EMTs and paramedics must provide emotional support to patients in an emergency, especially patients who are in life-threatening situations or extreme mental distress.

Interpersonal skills. EMTs and paramedics almost always work on teams and must be able to coordinate their activities closely with others in stressful situations.

Listening skills. EMTs and paramedics need to listen to patients to determine the extent of their injuries or illnesses.

Physical strength. EMTs and paramedics need to be physically fit. Their job requires a lot of bending, lifting, and kneeling.

Problem-solving skills. EMTs and paramedics need strong problem-solving skills. They must evaluate patients' symptoms and administer the appropriate treatments.

Speaking skills. EMTs and paramedics need to be able to comfort and explain procedures to the patient, give orders, and relay information to others.

Pay

EMTs and Paramedics

Median annual wages, May 2010

Health Technologists and Technicians	\$39,340
Total, All Occupations	\$33,840
Emergency Medical Technicians and Paramedics	\$30,360

Note: All Occupations includes all occupations in the U.S. Economy.
Source: U.S. Bureau of Labor Statistics, Occupational Employment Statistics

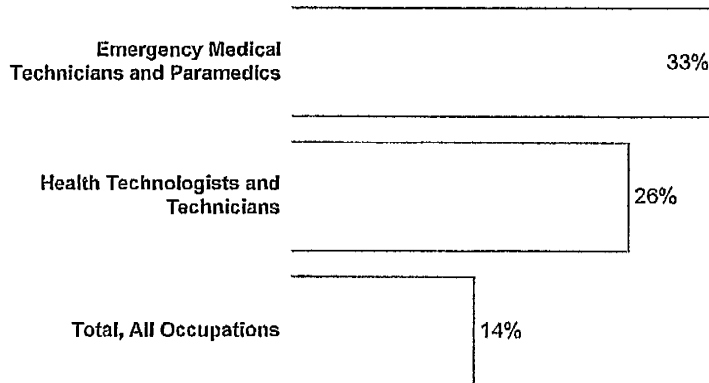
The median annual wage of emergency medical technicians (EMTs) and paramedics was \$30,360 in May 2010. The median wage is the wage at which half the workers in an occupation earned more than that amount and half earned less. The lowest 10 percent earned less than \$19,710, and the top 10 percent earned more than \$51,370.

Most EMTs and paramedics work full time. About one-third worked more than full time in 2010. Because EMTs and paramedics must be available to work in emergencies, they may work overnight and on weekends. Some EMTs and paramedics are volunteers and have varied work schedules.

Job Outlook

EMTs and Paramedics

Percent change in employment, projected 2010-20



Note: All Occupations includes all occupations in the U.S. Economy.
Source: U.S. Bureau of Labor Statistics, Employment Projections program

Employment of emergency medical technicians (EMTs) and paramedics is expected to grow by 33 percent from 2010 to 2020, much faster than the average for all occupations. Emergencies such as car crashes, natural disasters, and violence will continue to create demand for EMTs and paramedics. There will also continue to be demand for part-time, volunteer EMTs and paramedics in rural areas and smaller metropolitan areas.

Growth in the middle-aged and elderly population will lead to an increase in the number of age-related health emergencies, such as heart attacks or strokes. This, in turn, will lead to an increase in the demand for EMTs and paramedic services. An increase in specialized medical facilities will require more EMTs and paramedics to transfer patients with specific conditions to these facilities for treatment.

In recent years, companies that build ambulances have started to update and redesign their interiors to keep EMTs, paramedics, and patients safer during transport. These companies are hiring EMTs and paramedics as consultants to learn their ideas about such updates and designs.

Employment projections data for EMTs and paramedics, 2010-20

Occupational Title	SOC Code	Employment, 2010	Projected Employment, 2020	Change, 2010-20		Employment by Industry
				Percent	Numeric	
Emergency Medical Technicians and Paramedics	29-2041	226,500	301,900	33	75,400	[XLS]

SOURCE: U.S. Bureau of Labor Statistics, Employment Projections program

Similar Occupations

This table shows a list of occupations with job duties that are similar to those of EMTs and paramedics.



EXPLORE

Plan

- Worksheet

Research

- Job Statistics

- Jobs by Education

- Jobs with Wages

- Jobs by Keyword

Education

- Two-Year

- Four-Year

- Career Schools

More Options

- Apprenticeship

- Entrepreneurship

- Job Corps

- Military

EMERGENCY MEDICAL TECHNICIANS AND PARAMEDICS

Description

Emergency Medical Technicians and Paramedics - Assess injuries, administer emergency medical care, and extricate trapped individuals. Transport injured or sick persons to medical facilities.

O*NET code 29-2041.00

Wages

The national average annual salary for this occupation is \$59,770 per year. Nationally, the average hourly wage for this occupation is \$28.74. The average wage in New Mexico is well below the national average. In New Mexico, the average hourly wage is \$16.98 and most workers in this occupation earn between \$11.25 and \$19.84 per hour.

In the table click on the "+" sign to expand/collapse areas.

New Mexico Local Wages for Emergency Medical Technicians and Paramedics

Area	Entry Wage	Average Wage	Experienced Wage
National Annual		\$59,770	
National Hourly		\$28.74	
Statewide Annual	\$23,410	\$35,310	\$41,260
Statewide Hourly	\$11.25	\$16.98	\$19.84
+ Metro Areas			
+ Regions			

A dash '-' indicates that information is not available for this occupation or area.

Metro Areas refer to Metropolitan Statistical Areas.

Regions refer to Workforce Investment Areas.

For more detailed information about New Mexico wages visit the [Occupational Employment Statistics program](#) website. Information displayed represents annual 2011 wages.

Career Clusters



Click on the career cluster icon to see a plan of study that will help you complete your Next Step Plan. The Career Plan of Study is a Microsoft Excel document. Many occupations will fit into several career clusters.

Job Growth

New Mexico

2010 to 2020

In 2010 there were about 1,390 workers in this occupation in New Mexico. The Department of Workforce Solutions estimates that by 2020 this number will have no growth by about 24 percent and an estimated 1,730 will be employed in this occupation. Compared to other occupations, employment growth in this occupation is growing about average. Job opportunities are good or favorable, as there should be about 33 openings each year for workers in this occupation.

In the Table click on the "+" sign to expand/collapse areas

New Mexico Local Needs for Emergency Medical Technicians and Paramedics

Area	Estimated Employment 2010	Projected Employment 2020	Annual Percent Change	Total Annual Average Openings	New Mexico Department of Workforce Solutions Economic Research and Analysis Bureau Disclaimer
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New Mexico 1,390 1,730 2.18% 33
 + Metro Areas
 + Regions

A dash "-" indicates that information is not available for this occupation or area.

Metro Areas refer to Metropolitan Statistical Areas.

Regions refer to Workforce Investment Areas.

Source: NMDWS, Economic Research and Analysis Bureau.

Education

Emergency Medical Technicians and Paramedics usually need Post-Secondary Certificate - awarded for training completed after high school (for example, in Personnel Services, Engineering-related Technologies, Vocational Home Economics, Construction Trades, Mechanics and Repairers, Precision Production Trades).

Education Level	Percentage
Post-Secondary Certificate - awarded for training completed after high school (for example, in Personnel Services, Engineering-related Technologies, Vocational Home Economics, Construction Trades, Mechanics and Repairers, Precision Production Trades)	41%
High School Diploma (or GED or High School Equivalence Certificate)	15%
Some College Courses	14%
Less than a High School Diploma	13%
Bachelor's Degree	12%
Associate's Degree (or other 2-year degree)	5%

Information based on O*NET data and is the result of a national survey.

Use this list to find educational opportunities for this occupation. Contact the specific schools directly to find out application requirements and program availability.

In the table click on the "+" sign to expand/collapse areas.

New Mexico Schools with programs for Emergency
Medical Technicians and Paramedics

- + Central New Mexico Community College
- + Central New Mexico Community College-Mon
- + Central New Mexico Community College-Sou
- + Central New Mexico Community College-Wes
- + Central New Mexico Community College-Wo
- + Eastern New Mexico University - Roswell
- + Eastern New Mexico University - Ruidoso
- + New Mexico State University- Albuquerque
- + NMSU - Dona Ana Community College
- + University of New Mexico - Valencia
- + University of New Mexico Main Campus-UNM

This list is updated once a year and is compiled from the NM Department of Higher Education and other sources.

License

License Title	Contact	Year	Number issued
EMT License Basic	New Mexico Emergency Medical Systems Bureau 1301 Siler Rd, Bldg F, Santa Fe, NM 87507 (505) 547-8200 www.nmems.org	2010	3,938
Paramedic License	New Mexico Emergency Medical Systems Bureau 1301 Siler Rd, Bldg F, Santa Fe, NM 87507 (505) 547-8200 www.nmems.org	2010	1,447

Other available certificates or credentials may also be desirable. Additional local licenses or permits may be required, and individual businesses may have specific employment requirements. Licensing information is collected through a variety of New Mexico-based resources and does NOT include federal licensing requirements.

WorkKeys Skill Levels

Applied Mathematics	Applied Technology	Listening	Locating Information	Observation	Reading for Information	Teamwork	Writing
4	0	4	5	5	5	4	3

For further information on WorkKeys please visit the [ACT-WorkKeys website](#). Skill levels are provided for individuals who know their scores.

Industries that Hire Emergency Medical Technicians and Paramedics in New Mexico.

Industry	Percent of Employed
Administrative and Support Services	*
Ambulatory Health Care Services	45.6%
Hospitals	21.8%
Performing Arts, Spectator Sports, and Related Industries	*

* Data is confidential.

Source: NMDWS, Economic Research and Analysis Bureau Projections Unit

Common Titles

- Ambulance Driver-Paramedic
- EMT (Emergency Medical Technician)
- EMT/Dispatcher (Emergency Medical Technician/Dispatcher)
- EMT/Paramedic (Emergency Medical Technician/Paramedic)
- Emergency Room Technician
- Fire Fighter First Responder
- Firefighter/EMT (Firefighter/Emergency Medical Technician)
- Firefighter/Paramedic
- Flight Paramedic
- Multi Care Technician (Multi Care Tech)
- Paramedic
- Paramedic Supervisor
- Rescue Worker

[Top](#)

Similar Programs

1. Santa Fe Community College: AAS in Paramedicine
2. San Juan Community College: AS–Paramedic and AAS–Paramedic (Farmington, NM)
3. CNM: AAS in Emergency Medical Services
4. UNM-Valencia: AS in EMS

Potential Employers

1. Los Alamos Fire Department
2. Espanola Fire Department
3. Santa Fe Fire Department
4. Any fire department in the state or region that hosts EMT/Paramedic/Ambulance services
5. Hospitals employing EMTs/Paramedics
6. Ambulance Services

Troy Hughes
Fire Chief
Justin Grider
Deputy Chief
Glenn Trehern
Deputy Chief

Los Alamos County Fire Department

195 East Road, Suite 101, Los Alamos, NM 87544

Phone: (505) 662-8301; Fax: (505) 662-8302

"1 Department, 1 Direction, True North"



October 3, 2012

The University of New Mexico
Los Alamos Branch
4000 University Dr.
Los Alamos, NM 87544

To Whom It May Concern:

The Los Alamos Fire Department would like to express our excitement and enthusiasm for a potential Paramedic Education Program to be held at the University of New Mexico Los Alamos Branch. The Los Alamos Fire Department is dedicated to provide a high level of Emergency Medicine to the visitors and members of the community of Los Alamos. With the convenience of having such a highly regarded institution of higher education in our community that would be able to provide this type of education to the members of our department, it is my intention to take full advantage of supporting our staff as they chose to further their Emergency Medical Education.

The Los Alamos Fire Department would be more than willing to explore options of sending our personnel to the University of New Mexico Los Alamos Branch, should the Paramedic Education Program come available. Should you have any questions or require any further information, please feel free to contact me.

Thank you,

Adam E. Muller
Division Chief
Emergency Medical Services Division
Los Alamos Fire Department





Emergency Medical Services Academy

UNM Faculty Senate Curriculum Committee

September 27, 2012

Re: UNM-Los Alamos AS in Emergency Medical Services

To Whom It May Concern:

I am pleased to write this letter in support of the pre-proposal submitted by UNM-Los Alamos and partners in Northern New Mexico to implement an Associate of Science Degree in Emergency Medical Services. This degree will help to address the critical need in Northern New Mexico to advance students in public safety careers recruit new students into a degree program in such a vital discipline.

As the Director of the EMS Academy, I strongly support this proposal and the combined efforts of the partners to create this new degree opportunity. We have been working with the faculty and staff of UNM-LA in planning and implementing this program including the required credits and equipment. To support this program, we hope to design a Fire Science Concentration as part of our Bachelors of Science, EMS degree. In return, we foresee that this program could also benefit us by providing a Fire Science option for our BS degree students.

Thank you for your consideration of this degree program. We look forward to working with UNM-Los Alamos on a mutually beneficial program.

Sincerely,

A handwritten signature in black ink, appearing to read "Robert McDaniels".

Robert McDaniels, MS, NREMT-P

Director

EMS Academy

Budgetary and Faculty Load Considerations

We anticipate that a minimum number of part-time faculty members will need to be employed and only for the technical core and the specialized technical classes offered in the program. The technical core courses are already being taught each semester, so the increase will begin only as a cohort of students begin to move through the program in larger numbers. The cost associated with the acquisition of additional faculty members will be approximately \$700-\$750 per credit hour and will depend on the number of courses offered each semester (or each year.) The cost should be mostly recovered through tuition and state funding as they will not be full-time or tenured faculty at this time. The long-term goal is to hire full-time or tenured faculty, but that will be several years in the future.

Currently, lab equipment will be borrowed from the UNM EMS Academy. We are currently working with the UNM EMS Academy to develop a list of equipment that we will need to purchase. We estimate the cost of the equipment to be about \$10,000, but we will finalize the list with the EMS academy.

The Los Alamos branch campus of UNM mirrors all of the same student support services that are available to main campus students. UNM-LA Tutoring Center maintains a qualified group of tutors who offer assistance in math, English, chemistry, and other subject areas depending upon the expertise of the tutors. The library facility offers a comfortable learning environment, which includes a variety of study spaces and ten public computer workstations. Our computer facilities, available for students to use outside of the classrooms, support Mac, Windows, and Linux platforms. All computer workstations on campus are connected to the campus local area network, providing internet access through a connection to UNM-Albuquerque. Academic advisors are available to assist students achieve their educational goals. The team of advisors can provide information on a variety of academic, administrative, and placement topics. We already have a Program Coordinator, who is a Captain/Paramedic with the local fire department, on staff for this program. The cost of the coordinator will be shared with the Fire Science Program. We do not see the need to improve any of the student services we provide at this time. As a result, we do not anticipate any additional costs related to improving these services.

There will be course fees associated with various technical courses in the Associate of Science in Emergency Medical Service. For EMT Basic and Intermediate will both require needle stick insurance and purchase of curriculum from the UNM EMS Academy. Currently that fee is \$100, but it will increase as the cost of these items increase. If we determine at a later date that expendable materials are needed for a particular class, the course fee form will be completed and submitted.

In order to successfully implement this program, UNM-Los Alamos received support from LAFD in terms of curriculum development and equipment use. Other associated costs would include salaries for the program coordinator(s) and stipends for faculty members teaching in the program. A stipend for the program coordinator during start-up will be provided for by a current Title V Grant.

The following table provides a three-year projection of the program's estimated budget.

	Year 1	Year 2	Year 3
Program Coordinator	\$2000	\$2000	\$2000
Faculty (already in current budget for technical courses)	\$12,000	\$12,000	\$12,000
Student Outreach	\$500	\$500	\$500
Equipment Expenses	\$3,000	\$3,000	\$3,000
Miscellaneous	\$750	\$750	\$750
Total Expenses	\$18,250	\$18,250	\$18,250

Bachelor of Arts in Honors: Interdisciplinary Liberal Arts

Introduction

The faculty of the University of New Mexico offers the degree of Interdisciplinary Bachelor of Arts to high achieving students in the Honors College. This baccalaureate degree program provides the opportunity for students in the Honors College to develop a broad-based and flexible interdisciplinary liberal arts education similar to what many small liberal arts colleges offer. The BA in Honors: Interdisciplinary Liberal Arts will provide students with a foundation in social and behavioral studies, physical and natural sciences, humanities, communications, mathematics, and fine arts and allow students to focus on a specific area of interdisciplinary study.

Students majoring in the Honors College will have the opportunity to discover connections among disciplines and analyze and evaluate primary and complex texts across diverse genres and styles and from different historical periods. They will do research and produce original work that integrates ideas and methods from different disciplines and learn to adapt to new environments and developing technologies. Students will be expected to have intercultural knowledge and competence and develop personal and social responsibility, including civic knowledge and engagement—local and global.

Requirements

All majors for the Bachelor of Interdisciplinary Honors degree must be admitted to the Honors College and maintain a 3.5 cumulative GPA. The students will develop a program of study approved by the Honors College Degree Committee. The following are required:

1. A minimum of 128 hours is required in all curricula. Of these, at least 36 hours must be completed in courses with a UHON designation.
2. The ability to communicate in a language other than English is becoming imperative to participate and lead in today's global marketplace. HC majors must complete 12 CH of a single non-English language or provide an equivalent proficiency document. Information about non-English language programs can be found at www.unm.edu/~fll or www.unm.edu/~spanport
3. Completion of UNM core requirements, some of which can be completed through Honors.
4. A student must choose a minor or a second major from a field of study that complements or enhances a student's area of research interest. This must be approved by the Honors College Degree Committee.
5. Students must complete the following in the Honors College:
 - 3 credit hours of UHON 121 or 122;
 - A minimum of 3 credit hours of 200 level courses;
 - A minimum of 6 credit hours of 300 level courses; and
 - A minimum of 3 credit hours of 400 level courses in addition to UHON 498, UHON 490 or UHON 491 needed to satisfy the honors thesis/project requirement.
 - A minimum of 6 credit hours of experiential seminars as approved by the Honors College Degree Committee. International experiential programs and courses are preferred but not required.

- 6-9 credit hours of an interdisciplinary honors thesis/project (498, 490 & 491).
- 9-12 credit hour integrative honors block to be taken concurrently in one semester. The honors block must be approved by the Honors College Degree Committee and may be structured as one of the following:
 - Designated Honors College International Programs
 - Theme-based courses or modules
 - Research and experiential tracks

The integrative honors block must include an integrative synthesis seminar.

6. 18 credit hours of upper division courses (300-400 levels), approved by the Associate Dean of Honors, in courses that will enrich a student's knowledge in the following:
 - New environments and developing technologies;
 - Intercultural knowledge and competence;
 - Personal and social responsibility, including civic knowledge and engagement;
 - Research fundamentals and methodology.

HONORS COLLEGE PLANNING COMMITTEE REPORT

(Submitted: January 20, 2012)

Committee Members: Michael Dougher and Kate Krause (co-chairs), Harold Delaney, Robert Doran, Kate Henz, Manuel Montoya, Mark Ondrias, Rosalie Otero, Pamela Pyle, Ursula Shepherd, Kiyoko Simmons, Jamesina Simpson, and Mary Wolford.

In the fall of 2010, President Schmidly and Provost Ortega charged an Honors Task Force Committee with exploring transformation of the current UNM Honors Program to an Honors College. In May 2011 the Task Force completed its final report. The key findings were:

The appointed Task Force unanimously recommends the establishment of an Honors College at the University of New Mexico. UNM should establish an Honors College that would form an academic community by bringing UNM's best undergraduate students and finest faculty together, fostering advanced and interdisciplinary study. This community would have available a designated residence hall and social programs that support its academic goals. The Honors College should offer the most committed students at UNM a more intense and inspiring academic environment than is available elsewhere.

Built on the current Honors Program, the new College will have the authority to admit students who are otherwise admitted to the University, and such admission will provide the opportunity to live in the separate Honors College residence. The Honors College will also be able to endorse undergraduate degrees granted by the University (as the current Honors Program does) when students meet the academic requirements established by the College. Finally, the College will be given the status necessary to demonstrate its importance to the University in attracting the best students from New Mexico and elsewhere.

Subsequent to this report, Professor Timothy Ross, President of the Faculty Senate, called on Interim Provost Chaouki Abdallah to develop a proposal for the establishment of an Honors College for the Senate's consideration. Interim Provost Abdallah appointed an Honors College Committee to prepare this proposal. The Committee unanimously and strongly agreed with the general conclusions of the Task Force Report and identified several critical components for inclusion in a formal proposal. Those components form the structure and content of the present proposal.

Contents:

1. The value and advantages of an Honors College at UNM
2. Transition from the current Honors Program to an Honors College
3. Honors Students; Curricula and courses*
4. Core and affiliated faculty and staffing

* The development of a detailed curriculum awaits approval of this proposal

5. Residence halls and campus facilities
6. Cost estimation and sources of funding

1. The Value and Advantages of an Honors College at UNM

The participating students and faculty in the current Honors Program at UNM find it to be a valuable and enriching experience. Creation of an Honors College would extend and enhance these accomplishments and demonstrate the importance of academic excellence at UNM. In doing so, it would increase the enrollment of high-achieving students, contribute to economic development in New Mexico and improve the academic climate for students and faculty.

Enrollment of High-Achieving Students; Economic Development Effects

An Honors College would attract outstanding students to UNM. The creation of Honors Colleges at other universities provides a competitive advantage in recruiting academically high-achieving students. Virtually every Dean or Director of Honors Colleges at other universities who was contacted by our Committee reported that the creation of their colleges led to significant increases in the proportion of high achieving students who matriculated at their schools as well as increases in their retention and graduation rates.

The proportion of high-achieving New Mexico students who choose to attend UNM is considerably lower than at a majority of our peer institutions. According to the most recent data, UNM enrolled

- 8 of the 101 New Mexican National Merit Semifinalists,
- 150 of the 531 New Mexico high school students (28.2%) scoring 30 or higher on the ACT, and
- 498 of the 1345 New Mexico high school students (37.0%) scoring between 26 and 29 on the ACT.

Among freshmen who enrolled at UNM,

- Only 20% were ranked in the top 10% of their high school class,
- 44% were ranked in the top 25%, and
- 25% scored at the 75th percentile or higher on the ACT.

At the University of Arizona, 31% of freshmen ranked in the top 10% of their high school class and 60% in the top 25%. At Arizona State University, 28% ranked in the top 10% and 56% in the top 25%. UNM is in the lowest quartile among its 21 peer institutions in percentage of students who score at or above the 75th percentile on the ACT. Clearly, UNM is losing the recruiting battle for the state's highest achieving high school students. Interviews and surveys indicate that the absence of an established Honors College plays an important role in these students' choices to pursue their education elsewhere.

It hurts the state and the university community when a disproportionate number of New Mexico's highest achieving high school students go elsewhere for their education. UNM and the state lose out because:

- Outstanding students who attend colleges and universities out of state often do not return to New Mexico, investing their talents and skills in the economies of their adopted home states.
- Enrolling fewer students with high GPAs, ACT scores, and class ranking and who are likely to remain and graduate negatively affects the University's national ranking;
- The positive peer effects gained by having a critical mass of high-achieving students in UNM classes are lost;
- The qualified pool from which faculty draw for assistance on research, scholarly, and creative projects is reduced; and
- These students do not join the ranks of our alumni and supporters.

Without an established high-quality Honors College, UNM faces diminished ability to recruit high academic achieving athletes, artists, and other students with specific skills. These negative impacts will be exacerbated as the state adopts a new higher education funding formula that emphasizes student retention and graduation rates, especially in the STEM disciplines, majors that positively affect economic development.

Participant Benefits

An Honors College would benefit the institution and its faculty by

- Increasing the number of students and faculty engaged in interdisciplinary work;
- Demonstrating and reinforcing the importance of academic excellence at UNM in all classes, not only classes offered in the Honors curriculum;
- Providing appropriate recognition for departmental faculty who teach honors courses and work directly with honors students;
- Amplifying the role of the Honors curriculum in the fund raising and development efforts of the University; and
- Increasing the number and variety of faculty members who interact and collaborate, broadening the disciplinary scope of the Honors College faculty.

The Honors curriculum would offer interdisciplinary studies and scholarship in a broad range of fields and would provide Honors students opportunities to engage in the same sorts of enrichment programs currently offered to all UNM students, including study abroad programs, experiential and community-based learning, and internships. In addition to curricular benefits, the Honors College would offer social networking benefits, professional development programs, research opportunities with faculty, and leadership workshops.

The curriculum requirements for degrees from the Honors College are described below. The most demanding degree, a major in the Honors College, would require at most 39 credit hours of Honors courses. Thus, even that small group of committed Honors students would take most of their UNM classes with the general undergraduate population. The presence of intellectually curious, motivated students in these classes will contribute significantly to the discourse in class and the academic climate on campus.

2. Transition from the current Honors Program to an Honors College

The Current University Honors Program

The University Honors Program (UHP) originated in 1957 with a group of 30 students and a mission to provide challenging opportunities for an intensive interdisciplinary and cross-cultural liberal arts education to highly motivated, talented, and creative undergraduates in all majors. The UHP promotes interaction among faculty and students, creating a community of scholars and fostering an environment that challenges students to develop intellectually, academically, creatively, and socially. This is accomplished through small, rigorous classes, senior capstone experiences, opportunities for scholarly and creative initiatives, and experiential and international learning options.

Initially the UHP curriculum consisted of a few honors seminars. The instructors were scattered across campus and taught by invitation. In the late 1980s UHP's growth accelerated. The Program recruited a small core of instructors housed and tenured in the UHP and added activities and services for students. In the 2009-10 academic year, 54 faculty members from across campus joined the eight UHP core faculty members to serve 1098 students enrolled in 78 seminars.

Currently students do not graduate with a major or minor from UHP. Completion of 24 credit hours in the program entitles the student to the distinction of University Honors on his or her transcript and diploma. Detailed data regarding current UHP graduates are shown in Appendix A. In the most recent academic year (2010-11), only 2.5% of students receiving bachelor's degrees from UNM (84 of 3,353) graduated with Honors from the UHP, with the vast majority of those (87%) being majors in an Arts & Science discipline. Among the most commonly earned bachelor's degrees at UNM, the BA and the BS, the rates of participation in the UHP are a little higher but still below 5%. Students earning the BS are slightly more likely to participate (25 of 582, or 4.3%) than are those earning the BA (51 of 1269, or 4.0%).

Rates of participation of UNM undergraduates in departmental honors programs are similarly low. In 2010-11, 178 graduates, or 5.3%, received departmental honors. More than half of these earned their degrees in a unit of the College of Arts & Sciences. Many academic units produce departmental honors graduates, but in the majority of these units only 1 or 2 students graduated with departmental honors. Thus, those students were working in relative isolation from other students.

There is some interaction between the UHP and departmental honors programs; an Honors College could enhance and formalize this link. Currently 6 of the 24 hours that Honors students complete are through the senior capstone option, which may be satisfied by completion of a senior thesis, either in UHP or in a departmental honors program. In 2010-11 half of UHP graduates opted to do a senior thesis (39 of 78 UHP graduates), 29 of them as part of a departmental honors program. Roughly a third of all UHP graduates are now completing departmental honors and roughly a sixth of

students completing departmental honors programs are also graduating with the distinction of University Honors.

The current UHP program delivers a high level of academic engagement to a small number of disciplinarily dispersed students. Creation of an Honors College that offers honors courses across a wider range of disciplines would allow motivated students across campus to benefit from synergistic interaction with other high achieving students.

3. Honors Students; Curricula and Courses

The proposed Honors College would retain the mission of the UHP: to support a community of scholars by providing a rigorous, interdisciplinary curriculum to motivated, high-achieving students. The Honors College will enroll exceptional students and provide them with personalized advising, a rigorous and interdisciplinary curriculum, and housing options. Membership will be offered to qualified students from all majors with an emphasis on ensuring participation by a diverse student body.

Recruitment and Enrollment

The creation of the UNM Honors College will be broadly publicized and prominently featured in all of our recruiting materials and sources of information. High-achieving New Mexico high school students will be identified while still in high school and actively encouraged to meet the admission requirements and apply to UNM's Honors College. Applicants to UNM who qualify for the Honors College will be contacted and encouraged to apply. During Lobo Orientation, students who have been admitted to the Honors College will be brought to the Honors College for a brief information session and registration in Honors courses.

Admission Standards

The Honors College will design an application form and set admission criteria. The admission criteria will be established with the aim of admitting approximately ten to fifteen percent of the undergraduate population and will include such factors as ACT and SAT scores, high school GPA, high school coursework, extracurricular activities, submitted essays, and other relevant information. While most Honors College students will be admitted as incoming freshmen, alternative paths for admission to the Honors College will be established for transfer students and current UNM students who have attained a high GPA and have successfully completed English 102 and Math 121.

Predicted Enrollment

Universities with Honors Colleges elsewhere enroll more high-achieving students than does UNM. Establishment of an Honors College at UNM is expected to attract more highly qualified students to UNM and to engage more highly qualified students currently at UNM. Currently, UHP serves slightly more than 1,000 students in an undergraduate population of approximately 20,000, just 5%. Fifteen percent of UNM's 2010 entering freshmen – over 480 students -

scored 27 or higher on the ACT. An Honors College that enrolled these freshmen and similar numbers of sophomores, juniors and seniors would be nearly twice the size of UHP. An Honors College that attracted high-achieving students at rates similar to those at our peer institutions could raise the Honors admissions bar and continue to admit the top 15% of entering freshmen. In either case – by attracting more high-achieving students to UNM or by enrolling more existing UNM students in Honors – the Honors College could realistically expect enrollments of approximately 2,000 students.

Curricula and Courses; Student Services

We propose three possible ways, with different levels of engagement, for students to participate in the Honors College. The Honors College would offer

- an interdisciplinary bachelor's degree, or major;
- an interdisciplinary minor; and
- a transcript and diploma certification.

The Honors College will offer a full complement of interdisciplinary Honors Courses and will work with units to offer upper division Honors courses in disciplines. The disciplinary honors courses will be open only to Honors College students and will be designed to be accessible to Honors students who are not majoring in the discipline, thus promoting cross-discipline enrollment. The creation of specific curricula and course offerings will be developed for review and approval by the Faculty Senate contingent upon Provost approval of this proposal.

Interdisciplinary Major in University Honors. The most intensive offering of the Honors College will be an interdisciplinary bachelors' degree in University Honors. This degree would be conferred by the Honors College on students who have completed a rigorous course of study that clearly differentiates the degree from degrees offered in other UNM units. The degree will require:

- Academic excellence in courses offered by the Honors College and honors courses offered in the disciplines;
- Integrated curriculum with stated Student Learning Objectives and Assessment procedures; and
- Completion of a substantial Capstone Project.

Students choosing this major are expected to be among the most academically motivated Honors students. The rigorous and interdisciplinary nature of the major will prepare students for graduate or professional school as well as for positions of leadership in the private and public sector.

Interdisciplinary Minor in University Honors. The Honors minor will be similar to what is currently offered by the UHP, and will be awarded to students who complete a sufficient number of upper division Honors courses outside of their discipline. This curriculum will allow high achieving students who are not Honors College majors to broaden their honors experience while obtaining a bachelor's degree in their chosen discipline.

Honors certificate issued by the Honors College. The Honors certificate will acknowledge Honors College students who have chosen to take their University Core courses in the Honors College or who have taken fewer upper division Honors courses than would entitle them to a minor. To support this path, the Honors College will create a suite of lower division courses open only to Honors College students that satisfy the University Core. The Honors College will establish minimum requirements for the Honors Certificate.

Disciplinary Honors Courses. Participating departments will be encouraged to create honors sections of existing upper-division courses as well as innovative topics courses, generally with fewer prerequisites than found in other upper division courses in order to attract honors students from multiple disciplines. The disciplinary Honors courses would serve both the Honors College and bolster departmental honors programs. High-achieving students would find more opportunities to engage in rigorous academic pursuits and more classmates with whom to work, encouraging them to pursue departmental honors. While individual departments will continue to have final authority over their own departmental honors program, the Honors College can serve to strengthen these programs by encouraging best practices across the disciplines. For example, the Honors College could establish minimum criteria for applying a departmental honors thesis toward a University Honors degree or designation.

Scholarship and Leadership Development

A Student Support Center (the Center) dedicated to the Honors College will coordinate student services. The Center will enhance students' college life outside of the classroom, assist them in becoming successful college students, and prepare these students for their academic and professional careers after graduating from UNM.

This Center will promote the development of academic and leadership skills by

- introducing students to social and academic services provided at UNM;
- offering workshops to prepare students for future leadership roles at UNM and beyond;
- coordinating and supporting National Honor Societies, including Phi Beta Kappa, Phi Kappa Phi and Golden Key and institutional special awards and honors such as the Clauve Award and the SUB Wall of Excellence; and
- preparing students for competitive scholarships and other opportunities.

National & International Scholarships and Fellowships (NISF) and the Center for Academic Excellence and Leadership Development (CAELD)

The NISF and CAELD will be housed in the Center. NISF informs student scholars about opportunities for nationally prestigious scholarships and supports students applying for prestigious scholarships. CAELD prepares students for the next step after graduating from UNM by offering comprehensive resources, opportunities and workshops that emphasize academic excellence,

research, leadership, and community service. Housing NISF and CAELD in the Honors College will make services available and accessible for Honors students.

Honors College Advisement

Students admitted to the Honors College will be advised by a cadre of advisors familiar with the requirements for all degrees and certifications offered by the Honors College as well as the requirements of departmental honors programs.

Integration with Campus Units

Honors College students are expected to be active members of the larger campus community, fully integrated into the fabric of student life at UNM. The Center will facilitate cooperation with other units on campus for the development and enhancement of the Honors College students including Athletics, Office of Graduate Studies and Graduate Resource Center, Career Services Center, Office of International Programs, Alumni Association, Research and Creativity Conferences. The support of Athletics and a full description of a proposed scholar-athlete program are attached as Appendix B. That program will allow UNM to recruit academically motivated and talented student athletes.

4. Core and affiliated faculty and staffing

In order to provide the curriculum and services described above to significantly more students than are currently enrolled in UHP, the Honors College must be a funded, autonomous College led by a Dean and executive team, with a full complement of core faculty, affiliated faculty, administrative staff, and advisors. Our estimates for these needs reflect the potential growth possible and advisable over the next 3-5 years. Minimum personnel requirements will be the following:

1. Dean,
 2. Associate Dean,
 3. Accountant,
 4. Administrative Assistant,
 5. Development Associate for College
 6. 4 full-time advisors dedicated exclusively to the Honors College, and
- A core of 12 full-time tenure stream faculty members representing the full spectrum of disciplines (natural and physical sciences, social sciences, humanities, and fine arts) plus affiliated faculty and instructors.

Honors College Faculty

UHP currently houses nine faculty members including the director. Together with affiliated faculty and non-tenure stream instructors, these faculty members serve a fairly small population. UHP admits 300 freshmen each year; more than 1200 students participate in the UHP program in a given academic year. However, fewer than 100 students graduate with a University Honors certification. The expanded enrollment described above, and the course offerings necessary to support the degrees described above, will require significant increases in faculty, including three additional Honors faculty over the next 3-5 years. If the College grows as expected, it is likely that an additional

3-6 core Honors faculty will be added in subsequent years. These core faculty will be tenure track in the Honors College, teach Honors courses, supervise independent studies, oversee research and thesis options and provide oversight for extracurricular activities and programs, including *Scribendi* and *Conexiones*. Each of these faculty members will be granted an adjunct (or most appropriate) appointment in a unit on campus that is compatible with the faculty member's academic background.

Affiliated Faculty and Instructors

Honors Fellows Six faculty members from other colleges will be identified as Honors Fellows. These individuals will serve for an extended period of time (3-5 years) to allow them to become integrated into the Honors community. Fellows will receive course releases from their home units, funded by the Honors College.

Lecturers, PTIs and Adjuncts Twelve Honors faculty plus six fellows, each teaching two courses per semester, could teach 36 classes per semester. Honors courses are currently capped at 17 students. Maintaining that class size, 36 classes would reach at most only 612 students, a fraction of the target enrollment of 2,000 Honors students. UHP hires 20-22 non-tenure stream instructors per semester. To assure sufficient seats and adequate offerings, the Honors College would continue to hire non-tenure stream instructors.

Discipline-based Faculty; Disciplinary Honors Courses Faculty members in other colleges will offer Honors courses in their disciplines. Funding for these courses will be through those units, with hiring incentives to encourage participation. Faculty members who teach honors courses will not be expected to do so as an overload. The Honors College would provide training in honors teaching. The number of courses to be offered by this group will vary, but a target of two courses per year in each participating department would yield a wide variety of courses adequate to meet the needs of Honors majors, minors and certificate students.

Capacity

The faculty described above could provide the following classes each semester:

- 36 courses taught by Honors Faculty and Fellows,
- 7 disciplinary honors courses, and
- 23 courses taught by non tenure-stream faculty.

If classes are capped at the current 17, these 66 courses could provide just over 1,122 student-seats.. The UHP currently serves 750-800 students per semester. With these proposed changes, the new college could double its capacity in the next 3-5 years. While Honors minors and certificate students will not enroll in an Honors course every semester, majors will be expected to take multiple Honors courses every semester. Additional offerings by the disciplines or by non-tenure stream faculty would be necessary to provide 2000 student-seats per semester. (See Sample Enrollment projections in Appendix C.)

5. Residence halls and campus facilities

The most successful Honors Colleges among our peer institutions offer a comprehensive college experience, with a separate facility that includes a residence hall for Honors students, seminar space, social space and other amenities. Honors students live, work, study and play together in an environment that is also integrated with the larger campus community. The spreadsheet attached to this proposal as Appendix D details the proposed components of an Honors College at UNM and estimates the cost of the facility at \$79.2 million. The estimate includes the cost of providing

- seminar and conference rooms,
- large and small group study areas,
- a computer lab,
- dorm rooms for lower and upper division students,
- lounges and social areas,
- eating facilities including a café, dining hall and kitchenettes,
- an administrative suite housing faculty, advisement and administrative offices, and
- classroom space, including a large auditorium.

Events scheduled in public areas in the Honors College would be available to the larger community, contributing to the academic culture at UNM. For example, the auditorium would be available for guest lectures, conferences and panel presentations and other special events. Obviously, the construction of the envisioned Honors Center is a long-term project. The Honors College can be established in the interim, but it would be highly desirable to create an Honors Residence Hall coincident with the opening of the Honors College.

6. Cost estimation and sources of funding

In addition to facility costs, establishment of the Honors College would require recurring funding for staff, faculty, and operating expenses outlined above. Two key assumptions are included: 1) the current Honors Program budget will be incorporated into the Honors College; and 2) when new tenure/tenure track lines become available, the Provost will accordingly reward departments and units that have or plan to hire faculty who will also affiliate with the Honors College. Given these assumptions, estimated new Instruction and General funding needed for the creation of an Honors College is approximately \$1,385,000 (see Appendix E for details).

Also reported in Appendix E is an estimate of additional tuition and funding formula revenues if, as expected, the Honors College attracts an additional 75 students ranked within the top 25% of their high school classes (a 3% increase over current enrollments). This estimate is \$1.9 million.

Fundraising

Given the continued support of the UNM President for an Honors College as one of the University's top goals, fundraising for the College could expand exponentially. There is little correlation between number of gifts and total giving to the Honors

Program: one significant major gift raised by the University President in 2008 accounted for 42% of all private giving to Honors in the 2000 – 2010 timeframe.

According to the UNM Foundation, major donors are most likely to direct gifts to:

- Student stipends, for need or merit-based awards that help students with research or study abroad
- Programmatic support to underwrite major initiatives such as study abroad, visiting lectures, service learning and experiential learning (including Scribendi Journal)
- Faculty support to recruit outstanding faculty on a permanent or visiting basis
- Capital support for the construction of Honors College facilities.

The Honors College will require a budget from the University that covers its basic operations, including staff support and funding for development and alumni relations. That is, the Honors College cannot depend on donor gifts to cover its basic operating costs. Instead, these gifts should be used strategically to enhance the College and move it toward excellence. In that regard, it is critical that the Dean be able to spend between 25 – 50% of his or her time on fundraising activities. These should include maintaining warm and close relationships with top Honors donors and prospects; attending local and national gatherings to promote the college; meeting with donors and prospects one-on-one both locally and nationally; communicating with donors and prospects continually via e-mail, phone and in person; collaborating with UNM administration and faculty and UNM Foundation staff on gift proposals; and providing guidance and oversight to a comprehensive marketing and communication effort which includes print and electronic communication pieces and an annual signature event for donors and students.

The support of the UNM President, the Provost, Deans, and the Athletic Director will be essential in order for the Honors College to reach its fundraising potential. Given such a positive environment, the pool of potential donors to the Honors College would soon include the University's top prospects, i.e., those individuals who want to be affiliated with excellence. The annual private gift total to the Honors College should rise to the \$1,000,000 level by fiscal year 2013-2014. This total would be made up of cash, pledges and intended estate gifts. There is always potential that a College naming gift, in the \$15,000,000 range, could also be procured during the University's next Comprehensive Campaign, beginning after FY 2015.

APPENDIX A

Table 1. Students Graduating with Honors from University Honors Program, 2010-11, by College

College	UHP Graduates	Total Graduates
Arts & Sciences (% within A&S)	73 (4.6%)	1588
Other Colleges		
Anderson Schools of Management	5	491
Education	0	396
Fine Arts	1	185
Nursing	0	171
Architecture and Planning	0	60
Engineering	2	199
Medicine	2	89
University Studies	1	174
Total for Other Colleges (% within Other)	11 (0.6%)	1765
Total (% Overall)	84 (2.5%)	3353

Table 2. Students Graduating with Honors from University Honors Program , 2010-11, by Degree

Degree	UHP Graduates	Total Graduates
BA (% within BA)	51 (4.0%)	1269
BS (% within BS)	25 (4.3%)	582
Other bachelor degree (% within Other)	8 (0.5%)	1502
Total (% Overall)	84 (2.5%)	3353

Table 3. Students Graduating with Departmental Honors, 2010-11, by College

College	Departmental Honors Graduates	Total Graduates
Arts & Sciences (% within A&S)	100 (6.3%)	1588
Other Colleges		
Anderson Schools of Management	0	491
Education	0	396
Fine Arts	17	185
Nursing	37	171
Architecture and Planning	0	60
Engineering	17	199
Medicine	6	89
University Studies	1	174
Total for Other Colleges (% within Other)	78 (4.4%)	1765
Total (% Overall)	178 (5.3%)	3353

Thirty-three different units on campus graduated at least one student with departmental honors last academic year, as shown in Table 4.

Table 4. Programs Producing Graduates with Departmental Honors, 2010-2011, by Unit

College	Department, Program or Unit	Number
Arts & Sciences	Total Arts and Sciences	100
	Anthropology	10
	Biochemistry	11
	Biology	16
	Earth & Planetary Sciences	4
	Economics	1
	English	3
	English-Philosophy	2
	Environmental Science	8
	Geography	2
	German	1
	History	2
	Languages	1
	Latin American Studies	1
	Mathematics	1
	Philosophy	2
	Physics	2
	Political Science	12
	Psychology	18
	Signed Language Interpretation	1
	Sociology	1
	Spanish	1
Engineering	Total Engineering	17
	Chemical Engineering	5
	Civil Engineering	6
	Computer Engineering	1
	Electrical Engineering	3
	Mechanical Engineering	1
	Nuclear Engineering	1
Fine Arts	Total Fine Arts	17
	Art Studio	12
	Music	1
	Theatre	4
Nursing	Nursing	37
Medicine	Medical Lab Science	6
University Studies	Native American Studies	1

Table 5 below describes the requirements for graduating with disciplinary honors. Most require at least six credit hours in independent study, senior thesis, or special courses open only to candidates for graduation with departmental honors. Further, the vast majority require completion of a senior thesis with some requiring an oral defense or oral presentation based on the thesis research.

Table 5. Requirements for Graduating with Departmental or Disciplinary Honors

Department	GPA	Credit Hours	Courses	Thesis, etc.
Arts & Sciences				
Anthropology		6	497-498	Thesis
Biology	3.2 cumulative, 3.5 in major	6	400	Thesis, oral
Biochemistry		6	497-498	Thesis, oral
Chemistry	3.2 cumulative, 3.5 in major	≥ 3	497-498	Thesis, oral
E&PS		6	493,495	Thesis, defense
Economics	3.2	≥ 7	497,498, 499	Thesis
English	3.2 cumulative, 3.5 in major	≥ 7	412,497,490	Thesis
Geography		6	“advanced coursework”	Thesis
History		9	Honors courses	Thesis
Latin Amer St		6	497, 499	Thesis, oral
Mathematics	3.2 cumulative, 3.5 in major	6	499	Thesis, seminar
Philosophy		6	497, 499	Thesis
Physics	3.25	2	456	Thesis, oral
Political Science		9	495,496,497	Thesis
Psychology		12	391-2,491-2	Thesis, oral
Sociology	3.25 cumulative, 3.5 in major	6	399,499	Thesis
Engineering		≥ 6		
Fine Arts		6		Thesis or creative project
Nursing		4?	498,499	

Appendix B

Honors College – Scholars and Champions Initiative

The Honors College will be a conduit to improve the relationship within the academy as it relates to athletics.

During the month of October 2011, members of this task force, in conjunction with the Anderson School of Management, UNM Athletics, the Center for Academic Excellence and Leadership Development and the University Honors Program, invited Dr. Zeb Baker, a well-established scholar of athletics and athletic institutions to work with us to devise a strategy to improve the relationship between academics and athletics. UNM's student athlete advisory committee constructed the following statement regarding this proposal:

The Student-Athlete Advisory Committee (SAAC) would like to identify its support for the Scholars and Champions Initiative. SAAC is the student-athlete's voice to the athletic department, the university, and the community as a whole. SAAC consists of two representatives from each varsity sport as well as an executive leadership board. Together as a committee we strive to enhance the student-athlete experience by promoting opportunity in every aspect of life. Beginning with our SAAC representatives, we foster a positive student-athlete self-image, while emphasizing academics, athletics, and community involvement.

The Scholars and Champions Initiative would successfully encompass the objectives and needs high-achieving student-athletes. SAAC is familiar with these types of well-rounded young people and feel that this initiative would better support their needs and further catalyze their success. As student-athletes striving to improve the value of our academic and athletic experience, SAAC members look for opportunities to differentiate ourselves from others. The Scholars and Champions Initiative would allow UNM student-athletes to stand out from their competition, providing greater opportunities for success. We feel that the high achieving academic student-athletes may not always receive the attention and support that they require to reach their greatest potential. By fostering this program from college entrance through graduation, UNM would be able to prepare these high-achieving students for the future success including: grooming our student-athletes for outstanding opportunities to further their education such as the Rhoades scholarship, preparing our student-athletes for the expectations and rigor of graduate school, providing marketable skills in our student-athletes which would be valued by high profile internships etc. The Scholars and Champions Initiative would set UNM student-athletes apart not only due to their high motivation and well- rounded nature but their outstanding preparation for success (November 2011).

Proposal: Establish a "Scholars and Champions Initiative" to attract high-achieving student-athletes to academic programs

High-achieving student-athletes represent a class of undergraduate learners who are, by nature, driven, directed, and ambitious, balancing by necessity their scholastic and competitive obligations in order to realize success in the classroom and on the field of play. As leaders among their teammates and classmates, they typically find meaningful and substantive ways to contribute to their campus and community. They are the right combination of scholar and champion, symbolizing the best of the academic and athletic cultures of the university.

As such, high-achieving student-athletes exemplify the integration of academics and athletics to which UNM aspires. They are a vital point of convergence between UNM's institutional mission and its competitive ambitions, a community of learners who thrive in both academic and athletic arenas. Their achievements on and off the field make them an ideal though largely untapped reservoir of participants in UNM's top level academic programs. By leveraging the athletic recruitment process, a select number of high-achieving high school prospects could be targeted early as potential candidates for nationally and internationally prestigious scholarship opportunities. Once enrolled in UNM, these students could be cultivated in the University Honors Program (and proposed Honors College), offering them a world-class level of instruction — and the program itself a beneficial community of learners, by which it might grow its profile, appeal, and relevance to a wider campus population.

By coordinating assets and practices already successfully in place in the Center for Academic Excellence and Leadership Development (CAELD), University Honors Program, and the Lobo Center for Student-Athlete Success, UNM could foster an enduring partnership between its academic and athletic cultures that centers on enhancing the scholastic, service, and sporting experience of these high-achieving student-athletes. This endeavor — “Scholars and Champions Initiative” — will demand collaboration among these three groups, while similarly proposing an innovative and unprecedented pathway toward increasing and enriching the participation, preparation, and presentation of UNM's best students in nationally and internationally competitive postgraduate scholarship programs.

The Honors College Task Force has explored possibilities for establishing a permanent relationship between academics and athletics that mutually meets the demands of operating as a Research I institution and a NCAA Division I athletic program. Out of their discussions arose the idea of utilizing high-achieving student-athletes as a pool of quality candidates for fellowship opportunities, such as the Rhodes, Marshall, Truman, or Gates Cambridge Scholarships. There are many precedents for cultivating the best student-athletes as candidates for these programs. The Rhodes Scholarship, for example, has historically proven open to candidacies from this group of students. Byron White (Colorado, football, 1938), Pete Dawkins (West Point, football, 1959), Bill Bradley (Princeton, basketball, 1965), and Pat Haden (Southern California, football, 1978) are among those who have been selected as Rhodes Scholars. More recently, Myron Rolle (Florida State, football, 2008) and Albuquerque native Justine Schluntz (Arizona, swimming and diving, 2010) have made their way to Oxford, with Greg McElroy (Alabama, football, 2010) being a finalist. Even internationally, the Rhodes Trust has bestowed this honor on Meghana

Narayan of India (2001), an international swimming champion; and Mari Rubie of South Africa (2010), a triathlete who competed in the 2008 Beijing Olympics.

What's more, members of UNM's own coaching staffs have experience with this brand of high-achieving student-athlete. While serving as head track and field coach at Butler University, Joe Franklin recruited and coached Fraser Thompson, a long-distance runner, who was named a Rhodes Scholar in 2002. The superlative Advance Progress Rates (APR) achieved by the majority of UNM's men's and women's Olympic sport programs indicates, as well, that top-level academic talent is already being attracted.

Purposes for this initiative. An institution like West Point has regularly leveraged its cadets' military service in developing their candidacies for fellowships. UNM could do the same with student-athletes. In this way, the Scholars and Champions Initiative would creatively and effectively utilize academic and athletic excellence as a basis for successful candidacies for the full range of fellowship programs. But this initiative would also seek to integrate the preparation for candidacy, as coordinated by CAELD, into a student-athlete's overall academic experience, placing them in the University Honors Program alongside similarly high-achieving students from the general undergraduate population. The initiative would serve to routinize CAELD's role in the candidacy of each of these student-athletes' degree programs, as well as establishing the University Honors Program as an incubator of top talent from every sector of the campus community. And it substantially regularizes the bonds between academics and athletics through the pursuit of excellent students who select UNM as their institution of choice.

Collaboration between CAELD, University Honors Program, and Lobo Center. High-achieving student-athletes will require the guidance and expertise of CAELD in developing their candidacy; the intellectual foundation of the University Honors Program and its faculty; and the home base assistance inside the athletics department of the Lobo Center. In turn, this initiative will only work as well as the quality of the collaboration between these three centers in the service of these candidates. There are various points upon which such a collaboration can be founded:

Recruitment of high-achieving student-athletes. Collaboration here is twofold. First, the Lobo Center should coordinate with coaches to identify a select number of high school prospects whose academic record indicates the potential for top level academic success commensurate with candidacy for nationally and internationally competitive fellowship programs (preferably a high school GPA of 3.8 or higher). Together with CAELD, the Lobo Center would work with coaches to make fellowship candidacy a significant and attractive portion of the recruitment process. Second, during that prospect's official visit, appointments would be made with CAELD personnel and University Honors Program faculty to discuss the possibilities of working toward such a candidacy. All three centers would play an integral role in selling that prospect on the potentialities of such a candidacy for their academic experience and future professional development.

Degree completion. These student-athletes' course schedules each semester should be constructed in such a way as to accommodate the rigors of candidacy, participation in the University Honors Program, and, of course, athletic competition. Here, CAELD, Lobo Center, and University Honors Program leaders should collaborate on the development of innovative means for making candidacy and competition centralized components of the student-athlete's progress toward graduation. The Lobo Center should also play a pivotal role in educating coaches on, and advocating inside the athletics department for, the benefits which will accrue to their program by creating and nurturing a place for these kinds of student-athletes.

Managing the progress toward candidacy. This collaboration will particularly require intensive, long-term work between CAELD and the University Honors Program faculty. If the expectation of this initiative is to build top level candidates throughout the academic experience of these high-achieving student-athletes, then CAELD and the University Honors Program will need to create and coordinate the conditions by which such candidacy cultivation takes place — in the classroom and beyond. These practices could easily be transferred to the Honors College when it comes into being.

Managing the development of candidacy. Here, all three centers will be required to do their part in cultivating that student at the point where candidate applications are made to these fellowship programs. Utilizing practices already in place in CAELD, which develop the complete program of candidacy, the Lobo Center and University Honors faculty should play an integral role in aiding the student to develop their candidacy.

Developing scholarship opportunities for international students. As various foundations — like the Soros and Gates Foundations, respectively — amend their qualifications for fellowship applications to include international student candidacies, CAELD and the Lobo Center should develop various strategies to leverage the considerable experience of the athletics department in recruiting and signing international student-athletes so as to build scholarship opportunities for this particular class of undergraduate students. High-achieving international student-athletes would make an especially attractive group of candidates for these fellowships — and establish UNM's place in the vanguard of those institutions working for the academic and financial welfare of international undergraduates.

Such a position would require several skills, integrating the work done as a faculty member with the work done as a strategic learning advisor at the Lobo Center. Given the unique position that this job would require, and the specific qualifications needed to accomplish the goals set forth by the initiative, I suggest the establishment of such a position in the following manner.

The establishment of a tenure-track salary line within the honors program/honors college for this position. Such a line would be offered at the minimum salary offering of \$45,000. This would establish a set of responsibilities in accord with the tenure conditions of the UHP/Honors College, and would be for a scholar that can offer interdisciplinary studies related to athletics as its core subject

matter with the intent of introducing more student-athletes to the culture of world-class interdisciplinary study at UNM.

A supplemental buy-in from the athletics department, which would give the position the additional duties set forth by the Scholars and Champions initiative. This position would offer outreach to high-achieving student-athletes, which is a service that the Lobo Center does not currently supply but is eager to do so. The buy-in would cost \$45,000, which would be consistent with the market rate for a learning strategist with a PhD. Coincidentally, this would send a message about equal purchase by both academics and athletics. This position (an endowed chair), the program itself, or both could be a named position, which could attract benefactors on the athletics side to contribute to it on a regular basis with recognizable buy-in. This could be achieved as part of the current efforts to establish funding for the honors college, but can also be seen as a reasonable first step towards realizing the college. This position could be filled by the end of the academic year, with the intent to begin in the fall of 2012.

Appendix C

Enrollment Examples

The following examples assume that courses are taught at capacity and that:

- 10% of the undergraduate student population will participate in the Honors College at some level;
- The Honors Major will require 39 credit hours of Honors-designated course work (thirteen 3-credit courses, including thesis or capstone hours);
- The Honors Minor will require 24 credit hours (eight 3-credit courses), similar to the current UHP Honors designation;
- The Honors Certificate will require 15 credit hours (five 3-credit courses);
- Some students admitted to the Honors College will take only a few honors courses; and
- Courses that satisfy degree and certificate requirements will include lower division honors courses, upper division honors courses and disciplinary honors courses.

Example 1, requiring approximately 50-60 courses per semester:

2,000 students participate in the Honors College, distributed as follows:

- 50 students seeking an Honors major;
- 150 students seeking an Honors minor;
- 500 students seeking an Honors certificate; and
- 1,300 students enrolled in three Honors courses over a four-year undergraduate career.

Total student-seat demand over 8 semesters:

- 50 Majors x 13 courses = 650
- 150 Minors x 8 courses = 1200
- 500 Certificate Students x 5 courses = 2500
- 1300 x 3 courses = 3900

Total for all students over 8 semesters = 8,250, or just over 1,031 student-seats per semester.

- Capped at 17 students per course, 61 courses per semester
- Capped at 20 students per course, 52 courses per semester

The core Honors College faculty of twelve could offer 24 of these courses. Six Honors Fellows temporarily housed in the Honors College could offer an additional 12. Disciplinary honors and courses taught by non-tenure stream faculty would need to offer up to 25 additional courses, for example, 10 in the disciplines and 15 by non-tenure stream faculty.

Example 2, requiring approximately 56-66 courses per semester:

2,000 students participate in the Honors College, distributed as follows:

- 60 students seeking an Honors major;
- 200 students seeking an Honors minor;
- 700 students seeking an Honors certificate; and
- 1,040 students enrolled in three Honors courses over a four-year undergraduate career.

Total student-seat demand over 8 semesters:

- 60 Majors x 13 courses = 780
- 200 Minors x 8 courses = 1600
- 700 Certificate Students x 5 courses = 3500
- 1040 x 3 courses = 3120

Total for all students over 8 semesters = 9,000 or 1,125 student-seats per semester.

- Capped at 17 students per course, 66 courses per semester
- Capped at 20 students per course, 56 courses per semester

The core Honors College faculty of twelve could offer 24 of these courses. Six Honors Fellows temporarily housed in the Honors College could offer an additional 12. Disciplinary honors and courses taught by non-tenure stream faculty would need to offer up to 30 additional courses, for example, 7 in the disciplines and 23 by non-tenure stream faculty. Over time as new tenure/tenure track faculty are awarded by the Provost to the Schools and Colleges more courses can be taught by tenure track faculty in the disciplines. The Honors Dean would have flexibility in how to structure the non-tenure stream faculty budget within Honors and perhaps convert part-time instructors to lecturers.

APPENDIX D

LNM Honors College Program Brief Draft

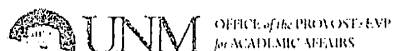
12.15.2011

Floor	Capacity	Sq Ft	Quantity	Total Capacity	Total Sq Ft	\$ Per Sq. Ft. Development Cost	Total Estimated Cost Development Cost	Historical Information Sources	Notes
Study Rooms			16	200	7,000			The basis of programming and development budget information is from ACC Student Housing Development Component II, Phase I Preliminary Materials Dated February 2, 2011	
Seminar Room	20	100	4	80	1,600				
Group Study - Small	8	160	4	32	1,120				
Group Study - Large	15	240	4	60	1,120				
Quiet Study	8	160	4	32	1,120				
Counseling	2	120	4	80	1,600				
Computer Commons	20	800	1	20	600				
Residential				1,200	226,150				
Freshman / Sophomore	2 / 3	250	751	1,000	182,750				2x2 BHK / 1 Bath
Junior / Senior	4	50	1760	200	88,000				4 Private BR / Private Bath or BR
Resident Advisors	2 / 3 / 4	10	400	10	16,000				1 BR / Private Bath
Lounges & Commons			16	670	10,720				
Activity Room / Cafe /	1	100	1	8000	100	8,000			
Kitchenette	1		4	100	1,600				
Total Net Square Footage					321,770				
					107,074	\$110.00	\$96,306,250.00		1.25 Net to Gross

Dining					20,160			The basis of programming and development budget information is from Walter Miller document as related to the retrofit study for L2, Periods 6/17/2008	
Dining / Classics Library			1	300	300	6,000			3 Turns per Meal
Kitchen/Back of the House	1				16,160				2500
Total Net Square Footage					30,160				
Total Gross Square Footage					28,224	\$350.00	\$9,879,400.00		1.4 Net to Gross

Administrative Suite	1			260	4140	\$265.00	\$1,097,100.00		
Dean's Office			1	240	240				
Associate Dean's Office			1	160	160				
Administrative Assistant			1	110	110				
Faculty Offices			15	120	1,800				
Staff Offices			4	100	400				
Conference Room		20	1	540	540				
Conference Room		10	1	56	56				
Kitchenette			1	38	38				
Reception Desk			1	140	140				
Waiting			1	128	128				

APPENDIX E



APPENDIX E

Cost Estimation and Sources of Funding

COST ESTIMATION

Faculty	
Dean	\$ 160,000
Associate Dean - SAC and course buy-out	40,000
T/TT Faculty(1)	75,000
T/TT Faculty	75,000
T/TT Faculty	75,000
Honor Fellows (6) - 4 course buyouts/year @ \$7000/course (2)	168,000
Lecturer (1)	45,000
Part Time Instructors - 12 courses/year @ \$3,800/ course	45,600
	<u>\$ 683,600</u>
Staff	
Accountant I	\$ 40,000
Admin I for Deans Office	27,000
Development Associate	54,000
Admin I for Scholarship Office	27,000
CAELD, NISF Program Specialist	45,000
Academic Advisors (4)	144,000
	<u>\$ 337,000</u>
Benefits	
Fringe Benefits (29%)	\$ 289,134
Total Salary and Benefits	<u>\$ 1,309,734</u>
Other	
Recruitment Budget	\$ 25,000
Supply and Equipment Budget	50,000
	<u>\$ 75,000</u>
Total	<u><u>\$ 1,384,734</u></u>

Tuition & Funding Formula Assumptions

Assumptions:

- 90 new students to the University that graduated within the top 25% of their class.
- Each student averages 26 credit hours per year to graduate in 5
- 85% retention rate
- Half of a student's credit hours are lower division/half upper _ _
- Instruction/Instructional Support Expenditure calculation used by the State Funding Formula.

Gross Tuition & Formula Revenue

Freshman Year 90 students * 26 ch * \$151.48 = \$354,463
 Sophomore Year 77 students * 26 ch * \$151.48 = \$303,263
 Junior Year 65 students * 26 ch * \$242.96 = \$410,602
 Senior Year 55 students * 26 ch * \$334.44 = \$478,249
 5th Year Senior 45 students * 26 ch * 334.44 = \$391,295

Total Gross Tuition & Formula Revenue = \$ 1,937,872

Notes: (1) Market Salaries for tenure stream faculty vary widely depending on discipline; \$75000 is an estimated average that would include humanities, physical and social sciences
 (2) Course buy-out costs will depend on current college policies. For example, A&S is moving to a policy that charges 1/8 of annual salary for one course buy-out.

Justification of Honors BA

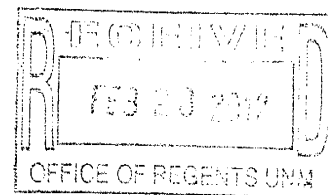
The University Honors Program historically offered a transcribed designation of participation in Honors upon completion of 24 credit hours, including a capstone requirement. Many students were unable to accommodate that credit load into their degree requirements. They chose to forego the Honors Program and instead completed Honors in their majors.

The Honors College intends to accommodate more students by offering multiple pathways to Honors participation. The Major pathway is designed for students who are interested in developing an interdisciplinary major in the Honors College. Currently, students who wish to do this choose to obtain a Bachelor of University Studies. An Honors major would be distinguished from the BUS while offering the same flexibility and mentoring necessary to create a cohesive plan of study.

The Honors BA was part of the Honors College proposal approved by the Faculty Senate in spring of 2012. That proposal included plans to increase the size of the faculty in Honors, and 3 searches are currently underway.

The Budget prepared by the Provost's office follows. This is for all programs included in the proposal, not just the Major requested in this Form C.

S. RES. 1



SENATE RESOLUTION

WHEREAS, the Associated Students of the University of New Mexico (ASUNM) is the representative body of the undergraduate students; and

WHEREAS, ASUNM supports efforts to enrich the diverse student body experience on campus; and

WHEREAS, Chick-fil-A is a food location in the Student Union Building that is overseen and managed by Chartwells; and

WHEREAS, Chick-fil-A founded the WinShape Foundation, its charitable arm, through which it makes donations; and

WHEREAS, WinShape Foundation donated nearly 2 million dollars to anti-LGBTQ organizations in 2010 alone; and¹

WHEREAS, Marriage & Family Legacy Fund who advocate against non-discrimination laws that would ensure equality for LGBT individuals, has received large donations from WinShape Foundation; and²

WHEREAS, WinShape Foundation donated money to Exodus International, who hold "Cure the Gay" camps around the country; and³

WHEREAS, Exodus International sponsored the "Kill the Gays" Bill in Uganda, Africa, which is currently up for possible enactment; and⁴

WHEREAS, UNM Staff Council 2012 Resolution #4 addresses Items 2 and 3 of the University Business Policies and Procedures Manual Policy 3100, and

WHEREAS, the standards of the University Business Policies and Procedures Manual Policy 3100, titled EQUAL OPPORTUNITY & AFFIRMATIVE ACTION, states under Items 2 and 3: "The University is

¹ Information provided by UNM Social Justice League, found on the site <http://equalitymatters.org/factcheck/201111010001>

² Information provided by UNM Social Justice League, found on the site <http://equalitymatters.org/factcheck/201111010001>

³ Information provided by UNM Social Justice League and can be found on the site Exodus International, "About Exodus International." Exodus International. N.p., Web. 10 Jan 2013 <<http://exodusinternational.org/exodus-student-ministries/about/>> and "Where to Go for Help." Exodus International. N.p., n.d. Web. 11 Feb. 2013.

<<http://exodusinternational.org/exodus-student-ministries/parents/where-to-go-for-help/>>

⁴ Information provided by UNM Social Justice League and can be found on the site Stowers, Zach. "Chick-Fil-A May Have More Than Just Chicken Blood On Its Hands." The Truth Pursuit. N.p., 1 Aug. 2012. Web. 11 Feb. 2013 and Okeowo, Alexis. "Uganda's 'Kill the Gays' Bill Back in Limbo." New Yorker. 18 12 2012: n. page. Web. 10 Jan. 2013.

committed to providing equal educational opportunity and forbids unlawful discrimination on the basis of race, color, religion, national origin, physical or mental disability, age, sex, sexual preference, gender identity, ancestry, medical condition, or spousal affiliation”⁵; and

WHEREAS, Chick-fil-A donations fall in violation of this resolution and policy; and

WHEREAS, UNM is an institution supported by taxpayer money; and

WHEREAS, Chick-fil-A has a self-beneficial location on UNM Main Campus; and

WHEREAS, by Chick-fil-A's location on UNM Main Campus, they are gaining profit, and therefore money to donate to anti-gay organizations, that they would otherwise miss as a benefit of taxpayer expenses; and

WHEREAS, members and allies of the LGBTQ community have expressed a lack of safety or ability to enjoy dining at the Chick-fil-A establishment; and

WHEREAS, the removal and replacement of Chick-fil-A will help to ensure the safety and more positive dining experience for all members of the campus community; and

WHEREAS, a petition on *Change.org* received 619 signatures in favor of the removal of Chick-fil-A on the University of New Mexico campus; and⁶

WHEREAS, this petition is not in relation to freedom of speech, but an issue of money funding genocide of homosexuals in Uganda, and harmful reparative therapy for homosexuals; and

WHEREAS, this petition is an issue of student freedom, equality, and safety; and

WHEREAS, Chartwells released a statement on September 7, 2012 that, “Although Chartwells respects the rights of others to express their beliefs, the statements, views and opinions attributed to Chick-fil-A management do not reflect those of Chartwells.”; and⁷

WHEREAS, Chartwells states, “We value providing a safe and enjoyable dining environment that contributes to the wellbeing and academic lifestyles of all our guests in a diverse and inclusive dining atmosphere”; and⁸

WHEREAS, Chartwells states, “Chick-fil-A locations at Chartwells’ managed college and university dining operations are not managed by Chick-fil-A employees. In fact, our locations are staffed by Chartwells associates and campus student workers...”; and⁹

⁵ UNM Staff Council 2012 Resolution #4, “Support for equality and Diversity on Campus”

⁶ Results of the petition can be found on the site <http://www.change.org/petitions/remove-chick-fil-a-from-unm>

⁷ Chartwells Higher Education Dining Services Statement to UNM Social Justice League, September 7, 2012

⁸ Chartwells Higher Education Dining Services Statement to UNM Social Justice League, September 7, 2012

⁹ Chartwells Higher Education Dining Services Statement to UNM Social Justice League, September 7, 2012

WHEREAS, the replacement of Chick-fil-A with another dining establishment will not significantly affect employee job security; and

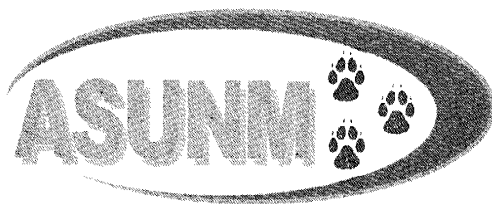
WHEREAS, other universities and institutions, such as Elon University and St. Mary's College of Maryland, have already succeeded in removing Chick-fil-A from their campuses; and¹⁰

WHEREAS, as a result of a resolution written by the Student Government Association of St. Mary's College of Maryland Chick-fil-A was removed from the campus; and¹¹


WHEREAS, other institutions currently working on the removal of Chick-fil-A include: New Mexico State University, University of Illinois, University of Kansas, Ball State University, Wichita State University, Appalachian State University, Columbus State University, University of Southern Mississippi, University of Tennessee, and Minnesota State University; and¹²


NOW, THEREFORE, BE IT RESOLVED that the Associated Student of the University of New Mexico are in support of replacing the restaurant Chick-fil-A from the Student Union Building at the University of New Mexico with a healthy, and affordable restaurant; and

NOW, THEREFORE LET IT BE FURTHER RESOLVED, that copies of this resolution shall be sent to the Board of Regents, President of UNM, Executive Vice President of Administration, Vice President of Equity and Inclusion, Associate Vice President of Student Life, President of SUB Board, Director of Chartwells, President of Faculty Senate, President of ASUNM, President of GPSA, President of UNM Staff Council, and Coordinator of the UNM LGBTQ Resource Center.



**Adopted by the Associated Students of the University of New Mexico
on this twenty-second day of February in the year Two-Thousand and Thirteen.**


Sunny Liu, Vice President


Cassie Thompson, President Pro-tempore

¹⁰ Information provided by UNM Social Justice League and can be found on the site Kingkade, Tyler. "Chick-Fil-A Voted Out By Elon University Students, Booted From Other Campuses In North Carolina." The Huffington Post. TheHuffingtonPost.com, 16 Oct. 2012. Web. 11 Feb. 2013.

< http://www.huffingtonpost.com/2012/10/16/chick-fil-a-elon-university_n_1971376.html>

¹¹ Information can be found on the site <http://thepointnews.com/2011/09/resolution-encourages-grind-to-stop-serving-chick-fil-a>

¹² Information provided by UNM Social Justice League and can be found on the site <http://www.huffingtonpost.com/2012/08/03/chick-fil-a-protests-reach-college-campuses>

**UNIVERSITY OF NEW MEXICO
ALUMNI ASSOCIATION
BOARD OF REGENTS REPORT
March 11, 2013**

Vision (Why we exist): *The Alumni Association is a vital partner in the continued excellence of the University of New Mexico through the significant engagement of alumni.*

Mission (What we are striving for): *To serve as a bridge between alumni and the university ensuring the continued success of the university and enriching the lives of alumni.*

Strengthen collaboration with campus constituents to meet the needs of UNM.	<p>The Alumni Association will cohost the annual Washington, DC Congressional Reception on Capitol Hill on Tuesday, April 16th from 5:30 – 7:30 p.m. at the Russell Senate Building, Room 485.</p> <p>The Lobo Links program develops ways to communicate with the legislators on a year-round basis. The program's priority is to build Alumni Links and match to legislators. Currently the Lobo Links are advocating for capital money needed for the completion of the Biology and Chemistry buildings on campus. The University has found this grassroots effort to be effective at critical junctures in moving the University Legislative priorities forward.</p>
Foster enduring involvement with, pride in and commitment to UNM, its colleges, schools and programs.	<p>As part of Lobo Days (UNM's Birthday Celebration), 12 alums opened their homes as hosts for the Dinner for 12 Strangers Program. The program creates an opportunity for alumni to connect for an evening with UNM students and faculty in creating community and to make UNM a smaller, friendlier place.</p> <p>The Alumni will participate at UNM Grad Fair at the UNM Bookstore from March 26 to 28.</p> <p>Lobo Day annual events are held by our chapters around the country in celebration of UNM's 124th Birthday. Celebrations are being held in Los Angeles, Northern California, Austin, TX, Washington, DC, and Chicago. The Alumni Association and the Alumni Relations Office arrange and sponsor UNM Faculty speakers to be presenters at each event.</p>
Communicate effectively with our diverse group of alumni.	<p>The spring issue of <i>Mirage</i> has been designed and will be uploaded to the printer's website on March 6 and mailed on March 15. Featured in this issue are: Holbrook Mahn ('97 PhD), Rebecca Orchant ('07 BA), Timothy Verstynen ('01 BA), Donyale Werle ('93 BFA), Jennifer Smiley ('12 BA), Bernard "Gig" Brummell ('61 BBA), Kyla ('66 BA) and Roger Thompson and a conversation, moderated by V.B. Price, involving four former <i>Daily Lobo</i> editors and the current editor: Charles Poling (1979), Wayne Ciddio (1971), Caroll Cagle (1964), Marisa DeMarco (2004-05), Elizabeth Cleary (current).</p>
Develop and steward resources to ensure a sustained and dynamic association.	<p>A total of 18 groups around the country and in New Mexico got together for Lobos Coast to Coast TV Watch parties to cheer the Lobos as they took on UNLV in Men's Basketball Conference play. Over 600 alumni and friends participated.</p> <p>The Alumni Association, Lobo Club, and Alumni Letterman's Association, will host receptions and pep rallies for the Lobo men's and women's basketball teams during the Mountain West Conference Tournament in Las Vegas, March 12-16, 2013.</p>

<p>Encourage community service and leadership among alumni and students.</p>	<p>The Trailblazers participated in the “I Love UNM Week” from February 25 through March 1 in honor of Lobo Day, UNM’s 124th birthday.</p> <p>Trailblazer, Kate Ayala, was selected to represent District 4 as CASE ASAP Representative which is a 2 year commitment.</p> <p>Starting in March, Alumni chapters around the country will host College Fairs for UNM in their areas. Last year, 90 College Fairs were hosted by Alumni. Close to 3400 student contact cards of interest were generated.</p>
<p>Gain greater recognition and visibility for Alumni Association programs and service to the university and community.</p>	<p>As part of the Lobo Living Room Lecture Series, Dr. Timothy Verstynen, alum and Assistant Professor in the Department of Psychology and the Center for the Neural Basis of Cognition at Carnegie Mellon University, presented “Of Zombies and Brains: The Life of a Neuroscientist” to show how behavior of horror movie zombies can be understood as a series of neurological impairments. The event was on Thursday, February 28. A reception at Hodgkin Hall for Alumni took place before the public presentation at Centennial Engineering Auditorium.</p> <p>The UNM Alumni Memorial Chapel Garden which broke ground August 14, 2012 is complete. The Garden’s design includes a rose garden, an extended gathering space, shaded seating, a fountain, and Celebration Wall. The wall will have photograph tiles of alumni, students, faculty staff and friends. A dedication of the Garden is slated April or May.</p> <p>The Alumni Association and the Alumni Relations Office have launched the “Super Alumni Spirit Club” on January 31, 2013. Alumni who participate will receive a logo tee-shirt, hat, UNM decal and access to an exclusive website that will sell the “Super U Alumni Collection”. The merchandise will have a “Super U” indicia to indicate their participation in the program.</p>



THE UNIVERSITY of NEW MEXICO FOUNDATION

CHANGING WORLDS The Campaign for UNM

UNM Foundation, Inc. UNM Regent's Advisor Report March 2013

Changing Worlds: The Campaign for UNM – an eight-year, \$675 million campaign, is making excellent progress towards the campaign goal. The Campaign began in 2006.

As of February 26, 2013, 55,292 donors have gifted \$539,663,589 million in support of the University's mission.

Specific fundraising highlights:

General Scholarships received a gift of \$2.6 million.

School of Medicine received a gift of \$2.5 million.

University Hospitals received a gift of \$128,352.

The College of Fine Arts received a \$1 million gift as well as \$912,000 for a studio art fellowship.

UNM Libraries received a gift of \$250,000.

The College of Nursing received a gift of \$200,000 to support the Nursing Legacy Fund.

UNM Health Science Center Art Program received a gift of \$304,760.

Center for Development & Disability received a gift of \$164,973.50 to support the Reeve Foundation Research Fund.

A gift of \$500,000 was made to support **Solar Arrays**.

Fundraising Performance Report

FY 12/13, December 31, 2012

Changing Worlds Campaign	CASE Campaign Standards			VSE Standards
	Campaign to Date	GOAL	% OF GOAL	To Date
Gift Commitments	\$ 522,129,278	\$ 675,000,000	77.4%	\$ 396,468,059
- Cash/Cash Equivalents	308,957,301	N/A	N/A	396,468,059
- In-Kind	38,661,544	N/A	N/A	N/A
- Pledges	78,717,284	N/A	N/A	N/A
- Testamentary	95,793,149	N/A	N/A	N/A
Pledges Due	29,011,116	N/A	N/A	N/A
Testamentary Gifts Due	77,912,360	N/A	N/A	N/A

Council for Advancement and Support of Education (CASE) reporting is on an "accrual basis" and Voluntary Support of Education (VSE) reporting is on a "cash basis".

Gift Commitments (Fiscal Year)	This Quarter	FYTD 12/13	GOAL	FY 11/12
Main Campus				
- Cash/Cash Equivalents	\$ 3,337,260	\$ 4,678,089	N/A	\$ 6,951,500
- In-Kind	1,086,018	1,580,395	N/A	3,412,295
- Pledges	44,000	237,000	N/A	18,186,472
- Testamentary	3,567,500	3,577,500	N/A	6,936,712
Sub-Total	\$ 8,034,778	\$ 10,072,984	\$ 20,950,000	\$ 35,486,979
HSC				
- Cash/Cash Equivalents	\$ 3,782,410	\$ 7,709,150	N/A	\$ 15,185,985
- In-Kind	948,752	1,441,315	N/A	2,950,867
- Pledges	41,000	115,000	N/A	6,238,000
- Testamentary	5,000	5,000	N/A	1,185,000
Sub-Total	\$ 4,777,162	\$ 9,270,465	\$ 27,950,000	\$ 25,559,852
Athletics				
- Cash/Cash Equivalents	\$ 1,376,791	\$ 2,257,315	N/A	\$ 4,574,747
- In-Kind	95,363	190,695	N/A	1,809,908
- Pledges	73,715	2,004,965	N/A	886,000
- Testamentary	700,000	700,000	N/A	193,971
Sub-Total	\$ 2,245,869	\$ 5,152,975	\$ 10,500,000	\$ 7,464,626
Other Campus Units *				
- Cash/Cash Equivalents	\$ 3,527,628	\$ 7,954,691	N/A	\$ 13,851,392
- In-Kind	5,405	6,675	N/A	311,390
- Pledges	1,000	1,000	N/A	36,200
- Testamentary	450,000	450,000	N/A	1,205,000
Sub-Total	\$ 3,984,033	\$ 8,412,366	\$ 20,600,000	\$ 15,403,982
Total	\$ 19,041,842	\$ 32,908,790	\$ 80,000,000	\$ 83,915,439

* Other campus units include KNME, KUNM, UNM Branch Campuses, President's Office, Provost's Office, Enrollment Services, Student Affairs and numerous other units not classified as main campus, HSC or athletics.

Gift Destinations	This Quarter	FYTD 12/13	FY 11/12	FY 10/11
UNM Foundation	\$ 13,085,394	\$ 21,167,412	\$ 38,894,922	\$ 56,008,821
Reported Gifts *	\$ 5,956,448	\$ 11,741,378	\$ 45,020,517	\$ 25,941,860
Total	\$ 19,041,842	\$ 32,908,790	\$ 83,915,439	\$ 81,950,681

* Reported Gifts = gifts made directly to KNME, KUNM, Lobo Club, and OVPR, but reported by UNM Foundation per MOA.

Fundraising Performance Report

FY 12/13, December 31, 2012

Gift Commitments (Fiscal Year)	FYTD 12/13	FY 11/12	FY10/11
Cash/Cash Equivalents	\$ 22,599,245	\$ 40,563,624	\$ 41,991,819
Pledges			
- Beginning Balance Pledges Receivable	\$ 29,958,444	\$ 16,345,874	13,271,272
- Add: New Pledges	\$ 2,521,464	\$ 26,205,415	13,426,797
- Less: Pledge Payments	\$ (3,305,292)	\$ (11,734,102)	\$ (6,932,158)
- Less: Pledges Written Off	\$ (163,500)	\$ (858,743)	(3,420,037)
- Ending Balance Pledges Receivable	\$ 29,011,116	\$ 29,958,444	16,345,874
In Kind	\$ 3,219,080	\$ 8,484,460	6,154,602
Testamentary	\$ 4,732,500	\$ 9,520,683	23,797,500
Total	\$ 32,908,790	\$ 83,915,439	\$ 81,950,681

Performance Measures	This Quarter	FYTD 12/13	FY 11/12	FY 10/11
Gift Commitment Income	\$ 19,041,842	\$ 32,908,790	\$ 83,915,439	\$ 81,950,681
# of Gifts	9,023	15,711	33,261	39,075
# of Donors	5,342	7,593	13,323	16,843

Efficiency Measures	FYTD 12/13	FY11/12	FY10/11	FY09/10
Cost per Dollar Raised *	\$0.13	\$0.11	\$0.11	\$0.12

* Compares UNM Foundation budget expenditures to gift commitments.

Consolidated Investment Fund - Investment Performance

FY 12/13, December 31, 2012

Investment Performance Results	Market Value	FYTD 12/13	1-Year	3-Year	5-Year
FY 12/13 (December 31, 2012)	\$ 345,183,259	6.34%	11.28%	8.14%	2.11%
Custom Benchmark *		6.88%	11.25%	6.56%	1.22%
FY 11/12 (June 30, 2012)	\$ 329,541,207	N/A	1.05%	9.92%	1.29%
Custom Benchmark *		N/A	-0.72%	9.32%	0.47%
NACUBO/Commonfund **		N/A	-0.80%	10.20%	0.70%

* Policy Benchmark is a blended benchmark consisting of indices for all asset classes.

** NACUBO/Commonfund Endowment Study (\$101 million to \$500 million)

Consolidated Investment Fund - Asset Allocation

FY 12/13, December 31, 2012

Investment Class	Current Allocation	1-Year Policy Allocation	5-Year Policy Allocation	L-Term Policy Allocation
Domestic Equity	19.3%	20%	22%	27%
International Equity	21.1%	20%	22%	28%
Fixed Income/Cash	17.8%	20%	21%	20%
Real Assets - Liquid	3.8%	5%	5%	5%
Real Assets - Illiquid	5.1%	5%	4%	0%
Marketable Alternatives	18.9%	20%	20%	20%
Private Equity	14.0%	10%	6%	0%

Consolidated Investment Fund - Spending Distribution

FY 12/13, December 31, 2012

CIF Spending Distribution	FY12/13	FY11/12	FY10/11	FY09/10
Spending Distribution %	4.50%	4.50%	4.65%	4.65%
Total \$ Distribution (millions)	\$ 13,489,948	\$ 13,984,508	\$ 14,755,529	\$ 15,409,388
Total \$ Distribution/Unit	\$10.47	\$10.58	\$10.98	\$11.05

Consolidated Investment Fund - Development Funding Allocation

FY 12/13, December 31, 2012

Development Funding Allocation	Basis Points %	Dev Funding Allocation	Total Budget Expenditures	% Overall Budget
FY 12/13	1.85%	\$2.7	\$4.4	61%
FY 11/12	1.85%	\$5.9	\$9.1	65%
FY 10/11	1.85%	\$5.7	\$9.5	60%
FY 09/10	1.85%	\$5.4	\$9.4	57%
FY 08/09	1.15%	\$3.2	\$8.3	39%

UNM Foundation Budget vs. Actual

FY 12/13, December 31, 2012

Sources of Budget	Budget	FYTD	% Used	FY Projection
UNM Support	\$ 2,909,000	\$ 1,705,267	58.6%	\$ 3,180,000
Development Funding Allocation	5,622,486	2,711,332	48.2%	5,422,664
Short-Term Investment Income	750,000	339,033	45.2%	678,066
Cost Sharing Reimbursement	660,780	358,763	54.3%	660,780
Unrestricted Gifts & Other Revenue	-	103,241	N/A	103,241
Total	9,942,266	5,217,636	52.5%	10,044,751

Uses of Budget	Budget	FYTD	% Used	FY Projection
Salaries/Fringe Benefits	\$ 7,655,963	\$ 3,578,657	46.7%	\$ 7,562,156
Operating Expenditures	2,242,366	864,113	38.5%	2,391,686
Total	9,898,329	4,442,770	44.9%	9,953,842

Reserve Balances	Budget	FYTD	% Used	FY Projection
Surplus/(Deficit) from Operations	\$ 43,937	\$ 774,866	N/A	\$ 90,909
Beginning Reserve Balances	1,111,678	1,111,678	N/A	1,111,678
Ending Reserve Balances	1,155,615	1,886,544	N/A	1,202,587

THE UNIVERSITY OF NEW MEXICO
MR. AND MRS. HUGH B. AND HELEN K. WOODWARD ENDOWMENT
FUNDED BY THE SANDIA FOUNDATION

	2007-08	2008-09	2009-10	2010-11	2011-12	2012-13 through 12/31/2012
<u>PRINCIPAL/CORPUS</u>						
BEGINNING MARKET VALUE, JULY 1:	\$ 40,007,782	\$ 39,456,137	\$ 30,698,788	\$ 32,422,373	\$ 37,282,001	\$ 36,545,942
ADDITIONS	1,323,000	1,395,000	877,500	1,125,000	1,260,000	663,750
INVESTMENT EARNINGS	283,085	(7,962,327)	3,238,163	6,040,036	132,283	2,259,867 (1)
DEVELOPMENT FUNDING ALLOCATION	(442,878)	(383,681)	(623,475)	(643,802)	(603,057)	- (3)
SPENDING DISTRIBUTION	(1,714,852)	(1,806,341)	(1,768,603)	(1,661,606)	(1,525,285)	(763,735) (2)
ENDING MARKET VALUE, JUNE, 30:	<u>\$ 39,456,137</u>	<u>\$ 30,698,788</u>	<u>\$ 32,422,373</u>	<u>\$ 37,282,001</u>	<u>\$ 36,545,942</u>	<u>\$ 38,705,824</u>

(1) FY 2012-13 Net investment Earnings: Represents the actual net investment earnings through December 31, 2012. Net investment earnings for the period of July 1, 2012, through December 31, 2012, were 6.34% (net of manager fees).

(2) FY 2012-13 Spending Distribution: Represents the quarterly spending distributions from July 1, 2012, to December 31, 2012.

(3) FY 2012-13 Development Funding Allocation. Fees have been suspended effective June 1, 2012.

THE UNIVERSITY OF NEW MEXICO
WINROCK LAND SALE ENDOWMENT

	2007-08	2008-09	2009-10	2010-11	2011-12	2012-13 through 12/31/2012
<u>PRINCIPAL/CORPUS</u>						
BEGINNING MARKET VALUE, JULY 1:	\$ 33,899,952	\$ 32,369,380	\$ 24,076,065	\$ 24,767,866	\$ 27,587,901	\$ 26,069,492
ADDITIONS	-	-	-	-	-	-
INVESTMENT EARNINGS	263,110	(6,526,574)	2,544,475	4,553,291	97,208	1,605,977 (1)
DEVELOPMENT FUNDING ALLOCATION	(368,276)	(309,959)	(483,585)	(485,153)	(486,943)	(244,055)
SPENDING DISTRIBUTION	(1,425,406)	(1,456,782)	(1,369,089)	(1,248,103)	(1,128,674)	(544,799) (2)
ENDING MARKET VALUE, JUNE, 30:	\$ 32,369,380	\$ 24,076,065	\$ 24,767,866	\$ 27,587,901	\$ 26,069,492	\$ 26,886,615

(1) FY 2012-13 Net investment Earnings: Represents the actual net investment earnings through December 31, 2012. Net investment earnings for the period of July 1, 2012, through December 31, 2012, were 6.34% (net of manager fees).

(2) FY 2012-13 Spending Distribution: Represents the quarterly spending distributions from July 1, 2012, to December 31, 2012.

THE UNIVERSITY OF NEW MEXICO
REGENTS' ENDOWMENT

	2005-06	2006-07	2007-08	2008-09	2009-10	2010-11	2011-12	2012-13 through 12/31/2012
PRINCIPAL CORPUS								
BEGINNING MARKET VALUE, JULY 1:	\$ 26,548,336	\$ 32,325,235	\$ 36,674,360	\$ 35,018,525	\$ 25,165,952	\$ 25,889,070	\$ 28,836,764	\$ 27,249,619
ADDITIONS:								
MESA DEL SOL PROPERTY SALE	8,045,923	-	-	-	-	-	-	-
WITHDRAWALS:								
ASM STUDENT INVESTMENT PROGRAM	(2,000,000)	-	-	-	-	-	-	-
GIBSON/MULBERRY PROPERTY PURCHASE	(1,645,435)	-	-	-	-	-	-	-
2811 CAMPUS PROPERTY PURCHASE	(242,798)	-	-	-	-	-	-	-
SCHOLARSHIP FUNDING	-	-	-	(880,525)	(3)	-	-	-
BASEBALL FIELD CAPITAL PROJECT	-	-	-	-	-	-	-	(1,100,000)
INVESTMENT EARNINGS	2,789,695	6,123,941	284,643	(7,060,716)	2,659,659	4,759,412	101,609	1,620,284 (1)
DEVELOPMENT FUNDING ALLOCATION	-	(329,734)	(398,416)	(335,326)	(505,476)	(507,115)	(508,986)	(249,860)
SPENDING DISTRIBUTION	(1,170,486)	(1,445,081)	(1,542,062)	(1,576,006)	(1,431,065)	(1,304,603)	(1,179,768)	(569,461) (2)
ENDING MARKET VALUE, JUNE 30:	\$ 32,325,235	\$ 36,674,360	\$ 35,018,525	\$ 25,165,952	\$ 25,889,070	\$ 28,836,764	\$ 27,249,619	\$ 26,950,582 (4)

(1) FY 2012-13 Net Investment Earnings: Represents the actual net investment earnings through December 31, 2012. Net investment earnings for the period of July 1, 2012, through December 31, 2012, were 6.34% (net of manager fees).

(2) FY 2012-13 Spending Distribution: Represents the quarterly spending distributions from July 1, 2012, to December 31, 2012.

(3) FY08-09 Withdrawal for scholarship funding: \$1,000,000 was authorized to be withdrawn from the Regents' Endowment for FY08-09 scholarship funding. Of the authorized \$1,000,000, \$980,525 was withdrawn from the endowment.

(4) The Regents' Endowment includes proceeds from the "Mesa del Sol" property sale. Total proceeds of this sale were \$8,045,923. Of the total proceeds, \$2.9 million was used to fund two property purchases. The "Gibson/Mulberry" property was purchased for \$1,645,435 and the "2811 Campus Blvd" property was purchased for \$242,798. The remaining \$6,157,690 was added to the Regents' endowment in June of 2006. The Mesa del Sol contribution is not tracked separately, but an estimated value based on net investment earnings, spending distributions and development funding allocations for the Regents' Endowment since that time, is \$4,430,363.

THE UNIVERSITY OF NEW MEXICO
REGENTS' ENDOWMENT - MESA DEL SOL ADDITION

	2005-06	2006-07	2007-08	2008-09	2009-10	2010-11	2011-12	2012-13 through 12/31/2012
PRINCIPAL/CORPUS								
BEGINNING MARKET VALUE, JULY 1:	\$ -	\$ 6,157,690	\$ 6,986,162	\$ 6,670,739	\$ 4,961,638	\$ 5,104,206	\$ 5,685,364	\$ 5,372,448
ADDITIONS:								
MESA DEL SOL PROPERTY SALE	8,045,923	-	-	-	-	-	-	-
WITHDRAWALS:								
WITHDRAWAL - MERIT-BASED SCHOLARSHIPS	-	-	-	-	-	-	-	-
GIBSON/MULBERRY PROPERTY PURCHASE	(1,645,435)	-	-	-	-	-	-	-
2811 CAMPUS PROPERTY PURCHASE	(242,798)	-	-	-	-	-	-	-
BASEBALL FIELD CAPITAL PROJECT	-	-	-	-	-	-	-	(1,100,000)
INVESTMENT EARNINGS	-	1,166,560	54,222	(1,345,008)	524,370	938,350	20,033	319,450 (1)
DEVELOPMENT FUNDING ALLOCATION	-	(62,812)	(75,895)	(63,877)	(99,658)	(99,981)	(100,350)	(49,262)
SPENDING DISTRIBUTION	-	(275,276)	(293,750)	(300,216)	(282,144)	(257,211)	(232,599)	(112,273) (2)
ENDING MARKET VALUE, JUNE 30:	\$ 6,157,690	\$ 6,986,162	\$ 6,670,739	\$ 4,961,638	\$ 5,104,206	\$ 5,685,364	\$ 5,372,448	\$ 4,430,363 (4)

(1) FY 2012-13 Net investment Earnings: Represents the actual net investment earnings through December 31, 2012. Net investment earnings for the period of July 1, 2012, through December 31, 2012, were 6.34% (net of manager fees).

(2) FY 2012-13 Spending Distribution: Represents the quarterly spending distributions from July 1, 2012, to December 31, 2012.

(4) The Regents' Endowment includes proceeds from the "Mesa del Sol" property sale. Total proceeds of this sale were \$8,045,923. Of the total proceeds, \$2.9 million was used to fund two property purchases. The "Gibson/Mulberry" property was purchased for \$1,645,435 and the "2811 Campus Blvd" property was purchased for \$242,798. The remaining \$6,157,690 was added to the Regents' endowment in June of 2006. The Mesa del Sol contribution is not tracked separately, but an estimated value based on net investment earnings, spending distributions and development funding allocations for the Regents' Endowment since that time, is \$4,430,363.