Board of Regents’ Meeting
August 13, 2013
9:00 a.m.
Student Union Building, Ballroom C
The Board of Regents of the University of New Mexico
Regular Meeting in Open Session and Executive Session
August 13, 2013, 9:00 a.m.
Student Union Building, Ballroom C
Executive Session-Luncheon, Cherry Silver Room
AGENDA

TAB

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   5. Request for Approval of the Nomination of Dr. Brad Cushnyr to the Sandia Regional Medical Center, Inc. Board of Directors (Kevin Ragoli, President and CEO, UNM SRMC) ..................17
6. Resolution Recommending Approval of UNMH Contracts with Medtronic USA, Inc. and KCI USA, Inc. (Sten McKenna, CEO, UNM Hospitals)

Information Items:
7. UNM Contract – HSC Library (Bruce Cherlin, Chief Procurement Officer)
8. HSC Financial Update (Ana Lavel, HSC, Sr. Exec. Officer, Finance & Admin)
9. Approved minutes of June 7, 2013 HSC Board of Directors’ meeting

Academic/Student Affairs & Research Committee, Regent Bradley Hosmer, Chair
Information Items:
1. Update from the Provost, Chaozki Abdallah
   a. Improved Student Advisement
   b. Enrollment Status interim report

Action Item:
2. Valencia Request to Authorize Certifications, Regent Suzanne Quillen
   a. Approval of C1251-New Phlebotomy Technician
   b. Approval of C1232-New Personal Care Attendant
   c. Approval of C1233-New Nursing Assistant
   d. Approval of C1234-New Emergency Medical Services EMT-Basic
   e. Approval of C1235-New Emergency Medical Services EMT-Intermediate

Audit Committee, Regent Gene Gallegos, Chair
Information Item:
1. Meeting Summary from June 20, 2013

Comments from Board of Regent Advisors:
Isaac Romero, President, ASUNM
Priscilla Poliana, President, UNM GPSA
Gene Henley, President, UNM Staff Council
Don Duszynski, President, UNM Retiree Association
Richard Holder, President, UNM Faculty Senate
Randy Royster, President UNM Alumni Association
Gary Gordon, President, UNM Foundation
Angi Gonzales Carver, President, UNM Parent Association

VI. Public Comment

VII. Vote to close the meeting and proceed in Executive Session

VIII. Executive Session
1. Discussion and determination where appropriate of limited personnel matters pursuant to Section 10-15-1.H(2), NMSA (1978)
2. Discussion and determination where appropriate of threatened or pending litigation pursuant to Section 10-15-1.H(7), NMSA (1978)
3. Discussion and determination where appropriate of the purchase, acquisition or disposal of real property pursuant to Section 10-15-1.H(8), NMSA (1978)
4. Discussion and determination where appropriate of purchases of more than $2,500 that can be made from only one source or competitive sealed proposals under the Procurement Code during the contract negotiation process pursuant to Section 10-15-1.H(6), NMSA (1978)

IX. Vote to re-open the meeting
1. Certification that only those matters described in Agenda Item VIII were discussed in Executive Session and if necessary, final action with regard to those matters will be taken in Open Session.

X. Vote to Adjourn

Agenda BOR 8.13.13 Regular Meeting
The University of New Mexico  
Board of Regents’ Meeting  
June 11, 2013  
Student Union Building, Ballroom C  
Meeting Minutes

Members present: President Jack L. Fortner, J.E. Gene Gallegos, Bradley C. Hosmer, Conrad D. James, James H. Koch, Suzanne Quillen, Heidi Overton (Quorum).

Administration present: President Robert Frank, Provost Chaouki Abdallah, Executive Vice President for Administration David Harris, Executive Vice-Chancellor Richard Larson (HSC), Vice President Josephine de Leon (Equity and Inclusion), Associate Director Tim Cass (Athletics), Sr. Executive Officer Ava Lovell (Finance and Administration), Vice President Eliseo Torres (Student Affairs), Interim Vice President Jewel Washington (Human Resources), University Counsel Elsa Cole.

Regents’ Advisors present: President Amy Neel (Faculty Senate), President Gene Henley (Staff Council), President Isaac Romero (ASUNM), President Priscila Poliana (GPSA).

Regent Fortner called the meeting to order at 9:10 a.m.

I. Confirmation of a Quorum; Adoption of the Agenda, Regent Fortner

**Motion to approve the Agenda passed unanimously (1st Koch, 2nd Gallegos).**

II. Approval of Summarized Minutes of the May 14, 2013 BOR meeting, Regent Fortner

**Motion to approve the minutes of the May 14, 2013 meeting passed unanimously (1st Gallegos, 2nd James).**

III. President’s Administrative Report, President Robert Frank

President Frank stated that over the last few weeks the challenges highlighted in the newspapers have made it appear that the university has a crisis on campus. He does not believe UNM has a crisis; the Health Science Center has served New Mexico well for many decades as it continues to do today. We do have challenges for which there is no single answer, and he looks forward as President of the university to working with the Regents and Dr. Roth in having an open and honest dialogue to address these challenges. In the interim, the current structure in which we operate serves adequately and he looks forward to find a method to work through the challenges we face.

President Frank highlighted the accomplishments and prestigious awards earned by our outstanding faculty members. Four faculty members have received NSF CAREER Awards for early career development including Eva Chi; Chemical and Nuclear Engineering; Tom Hayes; Computer Science, Meeko Oishi; Electrical and Computer Engineering and Mark Stone; Electrical and Computer Engineering.

Four faculty were elected Fellows of the American Association for the Advancement of Science (AAAS) including Vince Calhoun; Electrical and Computer Engineering, Don Natvig; Biology, Laurel Sillerud; Medicine and Bridget Wilson; Pathology.

Chemical and Nuclear Engineering Assistant Professor Sang Eon Han received a Ralph E. Powe Junior Faculty Enhancement Award from Oak Ridge Associated Universities. Electrical and Computer Engineering Professor Majeed Hayat was elected a Fellow of the Optical Society of America. Historical Distinguished Professor Paul Hutton received the History Writing Award from the US Army Historical Foundation. Electrical and Computer Engineering Regents Professor Sanjay Krishna received the Young Scientist Award from the International Symposium of Compound Semiconductors. Civil Engineering Professor Bruce Thomson was named the 2013 New Mexico Public Sector Engineer of the Year.
These are a small number of the awards and recognitions earned by our faculty each year. President Frank looks forward to working with Provost Abdallah, Chancellor Roth and the Faculty Senate to identify our award-winning faculty, and to bringing updates to the Regents on a quarterly basis. These types of awards recognize the distinction of our faculty, and are very important to the distinction of the University of New Mexico.

Comments from Regents

Regent Koch expressed words of recognition and thanks, along with a plaque, presented to Ellen Wenzel, Special Assistant to the Board of Regents, for her excellent service to the Board during the past 10 years, as Ellen will retire at the end of June 2013. Regent Koch stated that 10 years ago when he became a member of the Regents they did not have an office; they worked out of the President’s Office. When the Regents decided they wanted to have an office they were very fortunate that somebody was available that had the expertise in dealing with universities, Presidents and the community. There was no search because the Regents felt they did not have to because Ellen Wenzel was the best person for the job.

Ms. Wenzel stated that she has enjoyed working for the Regents and thanked them for the award.

Regent Fortner reiterated President Frank’s comments regarding the article in the Albuquerque Journal about the Health Sciences Center. Dr. Roth is not going anywhere, President Frank and the University loves Dr. Roth so if that is one of your concerns, be done with that concern. President Frank is not going anywhere. When the Regents hired President Frank, we made much of his health care background, the UNM PhD; he taught public health in clinical psychology at the University of Florida and established a school of public health at Kent State. He has been a change agent with several bold moves, including the support of a plan to revamp the College of Education. Regent Fortner read from the editorial, “Dr. Paul Roth, who is also Dean of the School of Medicine, is another exceptional leader with an outstanding track record.” We are working with the governance structure as it is and as Regent Fortner was quoted in the ABQ Journal “what we had in 2010 works, what we have now works, and all of us believe that moving forward means continuing to looks to see if there is a better way of doing things”.

Public Comment, specific to agenda items (none)

INFORMATION ITEM:

IV. VEBA Update

Mike Duran gave the presentation. Regent James requested information concerning the VEBA. The first item being, what is the impact on current employees given the changes for the retirees? Essentially, if UNM had not implemented the experience rated premiums for the 725 pre-65 retirees, 5100 of the active employees would have seen an increase in their premiums of about 16% for Presbyterian and about 6-7% for Lovelace. Because UNM implemented the experience rated premiums Presbyterian members only saw a 9% increase versus a 16% increase, and Lovelace members saw a 0% increase. The second question was how many employees have opted out of VEBA? As of June 4, 410 employees have opted out of the VEBA. As of today, June 11, 465 employees have opted out of the VEBA. Previously the actuaries had estimated that about 1100 or 15% of the employees might opt out.

Regent Koch stated that he is familiar with VEBA as his agency insures San Juan Community College, which had a serious problem with retiree health and these rates are very good. Regent Koch reminded that the University is going to be looking at the potential of buying GAP coverage in January, which would mean that an individual would be able to make a monthly payment towards the deductible cost.
Mike Duran stated that they would be ready to present recommendations on GAP insurance to the Regents sometime around January. HR is beginning the process in August with RFPs and requests for information from different vendors.

- **Regent Koch** requested that an update be presented to the Regents in November so that the Regents, retirees and the community will have time to process the information and potential cost as January is the start date for GAP coverage.

  President Frank asked if the number of people opting-out slowing down now and what he anticipates the final numbers to be.

  Mike Duran stated that there might be a bigger jump in those opting-out between now and June 30 as many have been waiting until the end date, he estimates that it could be 600-700 opt-outs at the end of the opt-out period.

  Regent James asked if the numbers are different from what the actuaries estimated, how does that impact the unfunded liability, the entire VEBA program, if only 600 or 700 people opt-out as opposed to the 1100 they estimated.

  Mike Duran stated that it is actually a null effect whether it is 1100 or 600 because anyone who does sign up higher than what we expected are going to be contributing to the VEBA and pre-funding the liability. If we have higher number than that, it is going to result in a lower unfunded liability, because they are not in post-retirement. It not a major net effect either way, depending on how that works.

  Regent Fortner asked what employees have to do to opt back into the VEBA and asked if they could they recapture those years.

  Mike Duran stated that the employee would be notified several times during the applicable enrollment period, spring 2019. Employees who opt back in are not allowed to recapture any lost VEBA service; they forfeit their 25 years of grandfathered service. When they opt back in, they start earning VEBA service credit from scratch. Based on the number of years of VEBA service credit, that will determine the subsidy level that you will get when you retire. If someone gets 10 years versus 25 years, the subsidy level is going to be less than if you had gotten the full 25 years of service.

- **Regent Koch** and **Regent James** would like some information on what the actuaries anticipate in 5 years when employees can opt back in in terms of the unfunded liability.

  Regent Overton asked for clarification if there is any guarantee on the rates that people will pay on a year-to-year basis.

  Mike Duran stated that there is a lot of flexibility built into the entire plan however, there is no way we can anticipate what the Affordable Care Act (ACA) is going to do to the health programs. With health trends as they are right now, it is looking like they are going to continue to grow, but that may change. Other options, such as the high deductible plan would be something that may control rates a bit better, but premiums could change, allocations could change, VEBA premium percentages could change. Mr. Duran does not expect that they will change; some of those things will change for a long period based actuarially. He believes the numbers are where they believe they are strong enough for a long period. Looking forward 20 years it is hard to say what is going to happen but premiums change every year regardless and we are doing what we can to control those premiums.

  Regent Quillen asked if they are anticipating an exchange, when that is finally organized and offered, will UNM employees be eligible to access those, one of the insurance carriers here is part
of the exchange, Lovelace is not, will they be able to access the exchange with perhaps decrease in premiums.

Mike Duran stated that he does not know the complete answer to that, his understanding is that the exchanges are still in development as far as what the criteria would be to be able to access the exchanges. His understanding is that most anybody would be able to access the exchange if they want to. Thus, the premiums and benefits provided from the exchange are similar or better than what UNM is providing they would probably have an opportunity to do that but he does not believe all of the final criteria has been worked out yet it is still being developed currently. As soon as we have better information as to what the exchanges are going to look like and any other changes with the ACA are going to happen we’re going to definitely start looking at that and have our actuaries look at the impact to our health plan.

Regent James asked if the experience rated premium change that was made for the pre-65 retirees comports with the ACA. He knows there are some limitations in the ACA with regard to premium differentials for health insurance possibly only limited to tobacco use, age and other things, but does the experience rated premium change that we make, would that be consistent with the ACA?

Mike Duran stated that it is today, unless the ACA changes, we feel we are within guidelines and have done extensive research.

V. Regent Committee Reports (reports are included in BOR E-Book)
Finance and Facilities Committee, Regent James H. Koch, Chair

Consent Items:

Motion to approve consent agenda items 1, 2, 3 & 4 passed unanimously (1st Koch, 2nd James).

1. Monthly Consolidated Financial Reports

2. Disposition of Surplus Property for Main Campus on list dated 5/17/2013


4. Approval of Renewal of Maui HPCC Lease

Action Item:

5. Approval of Lease of Real Property-City of Albuquerque Fire Station No 3-141 Girard Blvd. NE

Motion to approve the Lease of Real Property-City of Albuquerque Fire Station No 3-141 Girard Blvd. NE passed unanimously (1st Koch, 2nd Quillen).

Tom Neale gave the presentation. Requesting Board of Regents approval to extend the lease of the City of Albuquerque Fire Station No. 3 that is at 141 Girard NE for an additional 20 years. It was originally constructed in 1971 on a little less than 1 acre; it is a 5,700-square-foot building critical to the life safety issues on campus. They have agreed at President Frank’s request to install a fence that would be compliant with other similar enclosures around the university and that would be processed through the University Architect for approval.

6. Approval of:
   1) Architect Selection for Castetter Hall Phase 3.
   2) Architect Selection for Science and Mathematics Learning Center (SMLC) Phase 2.
Motion to approve the Architect Selection:
1) Castetter Hall Phase 3 (1st Koch, 2nd James)
2) Science and Mathematics Learning Center (SMLC) Phase 2 passed unanimously (1st James, 2nd Gallegos).

Andrew Cullen gave the presentation. There are two approvals; the first is approval of Architect selection for Castetter Hall Phase 3. The recommended firm is Fanning Bard Tatum (FTB) total project budget is $3,850,000 all state funding. The project will complete about 12,000 net square feet of existing shell space. This project was undertaken in 2009 at the time we were chasing construction costs but were unable to complete the project as originally planned so we followed through on Phase 2 and now we are going to follow through on Phase 3. Again, the recommended firm is Fanning Bard Tatum, it is a sole source procurement in accordance with Regents Policy 7.11 to follow through on the fact that Fanning Bard Tatum was the original Architect and we feel they are best suited to follow through on Phase 3.

The second approval is very similar, the same circumstances, chasing the construction costs of 2009. We were not able to finish the Science and Mathematics Learning Center as originally proposed this approval is to hire Van Gilbert and Associates to complete Phase 2. The total project budget is $5,000,000 in Severance Tax Bonds (STB) proceeds, sole source procurement, given that Van Gilbert Architects designed Phase 1 and were pleased with their work.

Regent Koch kept this as an action item because we have had concerns in the past from Architects that the university makes selections based on the lowest bid. These are two prime examples where the university is not doing that, but rather choosing the most qualified firm.

7. Approval of Resolution to Reallocate UNM 2007 Bond Proceeds

Regent Koch moved Action Item 7 to Executive Session.

8. Approval of Capital Outlay Submission to the Higher Education Department

Motion to approve the Capital Outlay Submission to the Higher Education Department passed unanimously (1st Koch, 2nd Quillen)

Marc Saavedra gave the presentation. Requesting full Board of Regents approval for the Capital Outlay Submission to the Higher Education Department. Mr. Saavedra presented the Board with a spreadsheet that contains changes per the F&F committee meeting on June 7. The discussion was to come up with a more realistic approach to how we request Capital Outlay projects at the Legislature. Mr. Saavedra stated that the total dollar amount requested was amended and is now $86 million, which is a more realistic dollar amount compared to the last amount, which was roughly $180 million, reduced it by roughly $50 million. The reason for the discussion is that the bond capacity in the past Legislative Session was reduced so there would be no increase in property tax. Therefore, we went from $180 million capacity for Higher Education to $119 million, and the University of New Mexico would like to get roughly 32% of that, which is $38 million. Thus, we believe this year we will start at a base with a capacity of $119 million and it may as much as $200 million, we will know that number in September but we believe that these amounts are tolerable in regards to the financial climate and the capacity of the General Obligation Bond.

Information Item:

9. Contract Information:
   1) Information Technologies-Advanced Presentation Systems
   2) Parking and Transportation-Cooperative Educational Services
Bruce Cherrin gave the presentation. There are two contract information items. The first item is for Advanced Presentation Systems, which is going to install a Learning Classroom Center in the Collaborative Teaching and Learning Building. The second item is for the purchase of two buses for Parking and Transportation.


Chris Vallejos gave the presentation. The Capital Projects Executive Summary is a positive report as of May 23, 2013. We have twelve major projects on the books, seven are in planning, four are in design and one is in construction that will be completed this month, which is our new Collaborative Teaching and Learning Lab. These projects are funded with General Obligation Bond (GOB) money allocated in 2012 and the Severance Tax Bonds (STB) in 2013.

11. UNM Debt Portfolio Overview & Bond Refunding Analysis

Andrew Cullen gave the presentation. Per Regent request, presenting information regarding our Debt Portfolio and Bond Refunding opportunities. UNM currently has approximately $575.7 million in outstanding debt. Maturities range from 2018, the earliest, to 2036, the latest. With the 2036 issue representing the final maturity date on the 2007 bonds, 30 year bonds. Overall, we have about 84% in fixed rate bonds, 3% in variable and 13% are variable rate SWAPS. A schedule looks at the overall sources and uses of our bond revenues and commitment to Debt Service. Mr. Cullen stated that at the end of this year, we are looking at approximately a $5.348 million reserve, which represents approximately 11.36% of the overall Debt Service obligation for this given year. It is a relatively modest reserve and it fluctuates from year to year as we move out through 2036. Mr. Cullen was also asked to look at was refunding opportunities. We are currently in the process of refunding the 2003 A, B & C bonds. We go the Higher Education Department (HED) for approval June 12 and the State Board of Finance (SBOF) for approval in July. The market is backed up a little bit even since this request to refund these bonds was brought before the Board 3 or 4 weeks ago, we have lost about a half-million dollars, the market has rallied, so for buyers that is a good thing, for sellers not so good. The other opportunity we have right now is the 2004 UNMH bonds, these are FHA backed and we will add about 60 days to the approval process because we have to get that FHA backing approval first. Then we will go through the Regents, HED and the SBOF, these are callable in July 1, 2014. With the direction we got from the F&F Committee June 7, we will begin that process of pulling together all the documents necessary to refund these 2004 bonds.

Regent Fortner asked when you say “callable” you mean that is the date we can refund or refinance.

Mr. Cullen affirmed Regent Fortner’s question, it is a 10-year call, very typical with all of our bonds, so as an investor you are buying that bond with idea that you are going to have it for at least 10 years at that locked in rate. They were issued in 2004, they are callable 10 years later, 2014, and given the interest rate environment, it does make economic sense to go ahead and refund these bonds when they are callable.

President Frank asked if refund means sell.

Mr. Cullen stated affirmed President Frank’s question, refund means resell them, take them back into the market and have investors re-purchase the bonds.

Mr. Cullen stated that the final issue for consideration is the 2005 bonds. These have a 10-year call date, thus are callable in 2015. Because of the negative arbitrage, which is the cost to refund them prior to the call date, it bites into the overall savings to the extent that we are not recommending moving forward on refunding these bonds until we get closer to the call date.
Health Sciences Board, Regent Suzanne Quillen, Chair

Action Items:

1. Request Capital Project Approval-UNMH Main-Safety-Pharmacy Order & Picking Area

Motion to approve the Request for Capital Project Approval- UNMH Main-Safety-Pharmacy Order & Picking Area passed unanimously (1st Quillen, 2nd Koch).

Steve McKernan gave the presentation. The item is for approval of renovations in the old part of the hospital, the Pharmacy drop-off and pick-up area, total cost is $655,000.


Motion to approve the Request for Approval for UNMH Contracts with Covidien, Healthcare Laundry, Inc., United Parcel Service, City of Albuquerque, and Ricoh USA, Inc. passed unanimously (1st Quillen, 2nd Fortner).

Steve McKernan gave the presentation. Request for approval of several contracts including: Covidien, Healthcare Laundry Inc., United Parcel Services, City of Albuquerque water and sewer services, and Ricoh USA, Inc. Many of these contracts are auto-renewable every 1 to 3 years. The contracts have been either bid, are under Novation Contracts, or are government to government as the water and sewer contract is.

Regent Koch asked if UNMH own the linens or rents them.

Steve McKernan stated that UNMH owns the linens but contracts a laundry service that then does all the services for cleaning. There is only one big enough in Albuquerque to do that, also when linens become worn they discard them and we replace it.

Academic/Student Affairs & Research Committee, Regent Bradley Hosmer, Chair

Information Items:

1. MOOC Update

Regent Hosmer stated that many have noticed a disquieting initiative moving through the academic world in the form of Massively Online Open Courses (MOOC), courses of study at the university level typically provided by an instructor of great talent, through electronic means and they are free. This initiative appears to undermine the classic business model of the university, and Regent Hosmer has asked the Provost to inform the public on what is going on at UNM regarding that initiative. We are trying to stay ahead of this tidal wave, put it to good use and take advantage of it rather than let it jeopardize an excellent university.

Provost Abdallah stated that as Regent Hosmer indicated, the movement into these MOOCs started about 2 or 3 years ago in its current reincarnation; however, this is an old movement. At one time, we used to send our courses via video tape and we used to charge for them. Many may recall CBS in the morning, which had courses that were available free on television. These types of initiatives have come in waves over the years due to funding issues, as they were free courses. The latest version was created by professors at Stanford, who put one open course online and expected 1000 people to register, but around 150,000 registered. Maybe a few thousand completed the course and even fewer passed however, the movement or the idea caught on with many universities and even major companies trying to make it work commercially. And there are other outfits that are not-for-profit that are trying to figure out the business model to basically produce or provide courses not free but at a lower cost.
Provost Abdallah stated that, as Regent Hosmer indicated, this could disturb our model, and what we are interested in is figuring out at the University of New Mexico if it makes sense to have some of our students take these courses and then bring them into the university to get credit. Today this is possible for our students by learning the materials and taking a College Level Examination Program (CLEP) and testing out of a course with college credit, just not factored into their GPA. The nature of MOOCs is different because they can take courses from instructors from other universities.

Right now UNM is testing two models, one of them is with a company called Udacity. Udacity is one of two commercial providers, a platform a technology company that will post and host a course online free, but does not generate the course. Through the Udacity agreement, the student cannot be registered at the University of New Mexico but would be an outside student or a high school student. However, they can take this course and transfer it in using a test that is administered either by a third party or by the University of New Mexico.

At the same time that we were working with Udacity, another major company, Coursera, approached us about becoming part of a public university initiative along with 10 other universities to do something more comprehensive. Under Coursera, our faculty can put online courses, which other universities or students can take for credit. Our students could take our courses from somewhere else online for credit, subject to our rules and regulations and then we still have opened the model of free MOOCs meaning anyone could take it and then we can decide if they want to do it or not.

When we started talking about this a year and a half ago, the Faculty Senate had a couple of meetings to discuss this issue, and produced a resolution supporting the experimentation into MOOCs. We are at a stage right now where we are going to convene internal groups to discuss how to begin the process, what courses to offer and the business model. Once a faculty member puts a course online with Coursera and 10,000 students in NM take that course, Coursera will then collect a nominal fee around $25 to $50, which will no longer make the course free. The funds collected will then be divided between Coursera, UNM and the faculty member.

These are discussions we are starting right now, as well as whether questions will arise with academic freedom issues, meaning academic freedom in the content of the course. Ultimately, it is up to the Department and faculty member to accept or not accept a course offered by somebody else. MOOCs are being both vilified and sold as the answer to the costs in higher education. Provost Abdallah believes that they are neither, but could become a learning tool as our online education has become. Universities all around the country are having similar conversations around MOOCs. As the way of providing education matures and as this new medium develops, we will have decided on its use, we will have profited from it, or at least we would know where the disruption is going to be coming from.

Regent Hosmer would like to point out that once again, this puts UNM in a position of being an early adapter in the academic university community and that is something to be proud of.

Regent Koch asked if we are charging for these courses using these services, why are these courses free of charge?

Provost Abdallah stated that the University does charge if somebody wants to get credit. We do have our Extended University (EU), which offers online courses for credit at UNM. The difference here is that our online focus is on the state of New Mexico because of resources and our way of being able to advertise it. What this opens up is the possibility to have one of our faculty put on a course in something that UNM is known for, or that particular department is known for, and get students registered all around the world for credit or not for credit.

Provost Abdallah stated that we already have a couple of courses out there free of charge for anybody to take. They do not get credit at the University of New Mexico, but we do have courses
right now, a few on iTunes university, that someone could take as many other universities do, such as MIT and Stanford. Given the vast array of information on the internet right now, however what the university is providing is not just the information but also the guidance. Provost Abdallah believes that this also makes a faculty member more valuable. The university is not just putting out information in book format, these free courses are almost like a talking textbook with guidance in order to make sure that learning takes place, which could happen online or in a classroom. The university charges per course for college credit and to get the stamp of approval that someone has passed a course at the University of New Mexico. MOOCs present a very different model, which is disturbing faculty members and administration. With these free online courses, someone now could take a course or many courses from a well-known private or public university free of charge, and then test out of the courses rather than having to pay a lot more money.

2. SART Report

- Regent Hosmer deferred Information Item 2, SART Report, until the August meeting, which will allow for Chief of Police, Kathy Guimond to gather further information for the report.

Regent Hosmer provided substitute information, the Student Affairs Current Initiatives to Increase Safety at UNM. This initiative, started roughly a year ago, is a cross-campus safety committee initiative that had led to a series of actions and far sighted work. The list includes recent safety concerns and episodes, and the efforts are good, well organized, coordinated across campus and the university has every reason to hope that they will be effective. Regent Hosmer asked EVP David Harris if there would be a system of any kind that will help the university follow these initiatives and the results to bring period reports to the Board.

EVP Harris stated that Chief Guimond and her staff have been working on more a sophisticated tracking mechanism that will provide information on a more current basis. The university has put a lot of focus this year on campus security, and after recent events, has ramped up the presence of the contract security service thinking that would be more nimble than recruiting permanent officers would. In August and going forward, there will be far better information available to present to the Board.

Audit Committee. Regent Gene Gallegos, Chair (none)

Comments from Regents’ Advisors (reports received are included in BOR E-Book)

Faculty Senate. President Amy Neel Dr. Neel stated this is her last meeting of the year and she has enjoyed working with the Regents. She introduced the new Faculty Senate President Richard Holder, which will be representing UNM faculty beginning next month. Ms. Neel thanked President Frank for recognizing the faculty accomplishments as UNM has an amazing faculty. Faculty are concerned regarding the ABQ Journal article on governance issues at UNM and wants to affirm that UNM has one faculty, one Faculty Senate and more than one third of faculty senators are from the HSC. An HSC council was established that works on HSC issues and reports to the Faculty Senate. Our policy committee has been working on collaborating more effectively with the HSC on policies, such as parental leave and career paths for lecturers. Our curriculum committees have worked with the HSC to improve the approval process for HSC courses, and most importantly collaborate on research projects and our education efforts on the two campuses. The Faculty Senate believe that the best way to accomplish UNMs mission, to create and disseminate knowledge to educate undergraduate, graduate and professional students for success in life and to provide quality public service in a variety of areas is act collectively as one Flagship University. Regent Fortner stated that Amy Neel has done a very good job and has enjoyed working with her.

Staff Council. President Gene Henley Mr. Henley stated that this is his first meeting and he is honored to represent staff at UNM. He looks forward to working with the Regents this next year.
with communication, collaboration and cooperation. July is staff appreciation month and a chance to celebrate what staff does at this university and what they represent to the university as a whole.

GPSA, President Priscila Poliana Ms. Poliana introduced herself to the Board, she received her BA in Economics here at UNM. She is from Brazil and had the opportunity to experience the educational system in three different countries, Brazil, Mexico and France. Attending UNM was a different experience because it is very community oriented. She is very proud of how far UNM has come as an institution, and she is excited about the future of the university, creating a vast international campus, introducing massive online course and furthering the mission as an R1 research institution. If UNM wants to continue on that path we need to create the appropriate conditions to attract the best minds at the state, national and international level, and in that capacity she is looking forward to a successful year of collaboration with the Board.

ASUNM, President Isaac Romero Mr. Romero introduced himself and provided ASUNM updates. They have begun the hiring process for ASUNM student employees. ASUNM facilitates various events on campus, such as the burning of the Aggie. They provide opportunities to 43 students to gain leadership experience in funded positions. Student Orientations have begun, bringing in around 250 freshman students to campus every week during the summer. Working with freshman was great experience for him to help improve the first year student experience. He looks forward to working with the Board this year.


VI. Public Comment (none)

VII. Vote to close the meeting and to proceed into Executive Session

Motion to proceed into Executive Session passed unanimously at 10:06 a.m. (1st Fortner, 2nd Koch).

VIII. Executive Session 10:15 a.m. – 11:25 a.m.

A. Discussion and determination where appropriate of limited personnel matters pursuant to Section 10-15-1.H (2) NMSA (1978).

B. Discussion and determination where appropriate of threatened or pending litigation pursuant to Section 10-15-1.H (7) NMSA (1978).

IX. Vote to re-open the meeting.

Motion to return to open session passed at 11:25 a.m. (1st Koch, 2nd Fortner).

C. Certification that only the matters described in Agenda Item VIII were discussed in Executive Session and, if necessary, final action with regard to those matters will be taken in Open Session.

Motion to certify final action of executive session item to be taken in open session, passed unanimously. (1st Fortner, 2nd Koch).

- Motion to approve the Resolution to Reallocate UNM 2007 Bond Proceeds passed unanimously. (1st Koch, 2nd Fortner).

X. Adjournment

Motion to adjourn the meeting passed at 11:29 a.m. (1st James, 2nd Hosmer)

Jack L. Fortner, President

Bradley C. Hosmer, Secretary/Treasurer
The University of New Mexico  
Board of Regents’ Special Meeting  
July 9, 2013  
Lettermen’s Lounge (The Pit)  
Meeting Minutes

Members present: President Jack L. Fortner, J.E. Gene Gallegos, Bradley C. Hosmer, Conrad D. James, James H. Koch, Suzanne Quillen, Heidi Overton (Quorum).

Administration present: President Robert Frank; Interim Vice President Jewel Washington (Human Resources); University Counsel Elsa Cole

Regent Fortner called the meeting to order at 9:02 a.m.

I. Confirmation of a Quorum; Adoption of the Agenda, Regent Fortner

Motion to approve the Agenda passed unanimously, (1st Koch, 2nd Gallegos).

II. University of New Mexico mission-purpose, Regent Fortner

There was a brief discussion of the mission and purpose of The University of New Mexico as written in Section 3 of Policy 1000 of the Administrative Policies and Procedures Manual.

III. Vote to Close the Meeting and proceed in Executive Session, Regent Fortner

Motion to close the meeting and move to Executive Session passed unanimously, (1st James, 2nd Quillen).

Open session closed at 9:05 a.m. and Meeting proceeded in Executive Session

IV. Executive Session

A. Discussion and determination where appropriate of limited personnel matters pursuant to Section 10-15-1.H(2), NMSA (1978)

V. Vote to re-open meeting and proceed in Open Session, Regent Fortner

Motion to open meeting and proceed in Open Session passed unanimously, (1st Hosmer, 2nd Overton).

The meeting proceeded to Open Session at 3:17 p.m. (Note: Regent Koch was not present after Executive Session, a Quorum was still in place)

A. There was confirmation that only those matters described in Agenda item IV. were discussed in Executive Session and final action on two items needed to take place in Open Session, Regent Gallegos

1. Regarding President Frank’s performance evaluation and performance goals presented during Executive Session

Motion to approve President Frank’s FY13 performance evaluation and performance goals presented for FY14 passed unanimously, (1st Gallegos, 2nd Hosmer).

2. Regarding Section 7 of President Frank’s contract entitling him to a $25,000 incentive bonus, based on improvement of retention rates.

Motion to approve $25,000 incentive bonus for President Frank, based on Section 7 of the President’s employment contract, regarding improving retention rates, passed unanimously, (1st Gallegos, 2nd Fortner).

VI. Review Responsibilities of the Regents, Regent Fortner
There was a brief discussion of the Regents’ responsibilities as outlined in Policy 1.1 of Regents’ Policy Manual.

VII. Open Discussion

A. Are we satisfied with Vision 2020 for UNM? There was brief discussion regarding the 7 Goals and 27 Objectives as set forth in Vision 2020 and approved by the Regents in the BOR May 14 Meeting. Regent Hosmer said he hopes the Vision will become the basis in a few years for a single, integrated and unifying goal for the University of New Mexico. Student Regent Overton said that Vision 2020 is a dynamic vision and should remain so in light of the need for UNM leadership to remain open to ideas, visions and other needs for improvement and to proceed pragmatically in a changing environment. There was further discussion regarding initiation times for objectives.

B. Assistant to the Regents-position evaluation (Decision deferred), Jewel Washington, Interim Vice-President of Human Resources, presented two grade level options, grades 14 and 15. There was discussion regarding functions of the position with comparisons between skill and performance levels of Grades 14 and 15. There was discussion as to whom the Assistant should internally report to and how the Assistant should work in conjunction with the President’s office to ensure good communications. There was discussion regarding the Office of the President, current support staff, grades and functions. Regent Fortner said he thought the Assistant to the Regents position should remain separate from the President’s Office, and that he thought the position was at the $50,000 level. Student Regent Overton added that the process for hiring should emphasize the individual’s references to which Regent Quillen agreed this is important because the position often deals with sensitive matters of the University. Regent Fortner said there is the need to continue to gather information regarding the day-to-day operations of the job with focus on the monthly cycle of duties around the BOR Meetings, the plan being to analyze the months of August and September, for an October decision.

- Regent Fortner said the Regents were not ready to make a decision regarding the qualifications and performance level for the Assistant to the Regents position and proposed going through two more months’ cycle to analyze the job functions before making a decision.

C. Discuss process for Regents,’ Action Required A/R tracking and follow-up log. There was discussion as to the content and purpose of the Action Required tracking log. Regent Hosmer said that it formulated out of necessity to keep track of requests made by Regents during committee or BOR meetings so there would be a comprehensive list of follow-up items with due dates and those accountable for delivery, primarily so requests would not fall through the cracks. President Frank mentioned he had never seen the log and asked that he be apprised of the Action Required log on a regular basis so that he could incorporate it into his action list to ensure items are addressed. It was agreed that President Frank would be copied on the list as it is updated.

VIII. Summary of action items and dates for completion

Item VII.B. Assistant to the Regents-position evaluation, Board of Regents to make a decision in October regarding the qualifications and performance level of the position.

IX. Adjournment

Motion to adjourn the meeting passed at 3:53 p.m. (1st Quillen, 2nd James)

Jack L. Fortner, President

Bradley C. Hosmer, Secretary/Treasurer
Members present: J.E. Gene Gallegos, Bradley C. Hosmer, James H. Koch, Heidi Overton (Quorum).
Members present via conference phone: Conrad D. James, Suzanne Quillen
Members unable to attend: President Jack L. Fortner
Administration present: President Robert Frank, Executive Vice President for Administration David Harris, Chancellor Paul Roth, Sr. Executive Officer Ava Lovell (Finance and Administration), CEO UNMH Steve McKernan, Associate University Counsel Kim Bell.

Regent Hosmer called the meeting to order at 2:05 p.m.

I. Confirmation of a Quorum, Regent Hosmer

Quorum established.

II. Adoption of the Agenda, Regent Hosmer

Motion to adopt agenda passed unanimously (1st Koch, 2nd Gallegos).

III. Comments from Regents (none)

ACTION ITEM:

IV. Consideration of strategic initiative for UNM Health Sciences Center and UNM Health System to engage in targeted increase in regional and local hospital affiliations/ventures to meet Vision2020 goals.

Chancellor Roth made the presentation. This meeting was precipitated by a discussion specific to the potential of the university entering into an agreement with a third party, Legacy Healthcare, to look at the operations and management of a hospital in Gallup. We had felt that there was some merit on the initial proposal when we were contacted by Gallup and began looking into preliminary information to determine whether it was worthwhile pursuing. During that discussion, the Regents felt that we needed to discuss this at a policy level before pursuing any more detailed action. In the presented report, four areas for consideration were developed that would conform to the idea of both policy and process.

The four areas had to do first with the level of public policy; the second area discusses the university's vision, mission and strategy. The third area discusses the policies relevant to operations and a tactical approach when identifying potential opportunities. The fourth and final area is a set of recommended steps we might follow as we move forward.

In the first area relevant to public policy, should Universities, particularly public Universities, be in the business of delivery of health care services. Chancellor Roth stated that the model of medical education is different from a traditional learning environment because it is on the job training and an apprentice style of learning, necessitating some relationship with clinical facilities. In fact, in the United States 46 out of 50 states have academic health centers in which there is a close affiliation or outright ownership of health care facilities, in which students learn and researchers explore questions of human health.
The academic medical centers play a critical role in addition to meeting very specific community health care needs. That has been integrated in how we define academic health care education in the academic health care centers in the United States. UNM has been in the business of delivery of health care services for over 60 years, in the form of running and operating UNM hospital (UNMH), various child and adult psychiatric centers, Carrie Tingly Hospital and recently with the Sandoval Regional Medical Center.

Regent Koch stated that UNMH is not a public hospital and asked for clarification that UNMH does not get the same funding that other public hospitals receive.

Chancellor Roth stated that UNMH is categorized as a public hospital and receives public funds in the form of Mil Levy funding.

Regent Koch stated that he believed that UNMH is public because UNM is a public university but other than the Mil Levy UNM does not receive the same state funding that other public hospitals receive.

Steve McKernan, CEO, UNMH and President, National Association of Public Hospitals, stated that UNMH is classified as a public hospital and receives direct support from the county, with the Mil Levy, which would put UNMH in that category. Some other characteristics of UNMH as a public hospital would be that our doors are open to all patients for any and all medical conditions, for any and all social circumstances, which is the real definition of what a public hospital is. If your status by the IRS is public and you meet the criteria of having your doors open for any and all patients, for any and all medical conditions then that effectively is the nature of a public hospital in the United States.

Regent Koch understands that UNMH has the Mil Levy and that the Mil Levy made UNM create our clinical operations and in 2016 there will be another vote on the Mil Levy, but other than the Mil Levy we do not have any straight funds directly to the hospital to deal with the public. Is it true that most public hospitals receive state support other than Mil Levies?

Mr. McKernan stated that that is sometimes true and sometimes not true, the distinction is the types of hospitals, there are local public hospitals and state operated public hospitals. Most of the state operated public hospitals, only about 20 in the US out of 5000 hospitals, will be affiliated with academic medical centers, such as UC Davis, UC Irvine, UC San Diego and many others, and traditionally have received state appropriations in the last few years. That model has actually changed; this is a relatively recent development, because what the state had done is taken those funds back and used them as matching for Medicaid, acquired special supplemental payment and moved those special supplemental payments to their state operated public hospital. It has evolved over the last couple of years because the states wanted to maximize the amount of federal money that they could draw down.

Regent Koch stated that this discussion is important because if we do what we are talking about, it seems that the University needs to approach the Legislature and the Governor for assistance because there needs to be other commitments of finances.

Mr. McKernan stated that because of the state waiver on centennial care and because of limits related to the disproportionate share and other past due payments we are going full circle again. Because of those limits, you will begin to see financing pushed back down to the
state level and there may be instances in which the operator may go to the state or local
governments for additional financing to run these facilities.

Regent Gallegos asked is it to become a policy of UNM to develop a statewide, multi-hospital
system. If the answer is yes, Gallup, Socorro, etc. each would be judged on their particular
merits. There needs to be overarching discussion of do we want to have a multi-system
statewide hospital system. We know that there may be more out there, what are the merits.

The only point to emphasize in section two is the notion of partnering and being fully
engaged within local communities and partnering with local communities, including health
facilities, in an effort to meet our mission. It is also stated in our strategic planning that it is
important for us not just to be the University of New Mexico but to have a real and
meaningful presence throughout the entire state of New Mexico. We received a very
prestigious national award several years ago essentially on the basis to the degree in which
we are fully incorporated in various communities throughout NM, and the headline was
"UNM HSC campus is the largest campus in the world, and it is the state of NM."

Regent Gallegos stated that if we decide to do this it could vary from hospital to hospital.

Chancellor Roth agreed and stated that currently UNM has relationships with hospitals
throughout the state. The spectrum of affiliations, one end is a working academic affiliation
in a letter of agreement, to allow for students and residents to rotate through various
hospitals under the supervision of the medical staff. There is also a tight relationship with
the Albuquerque VA hospital. After WWII, public policy stated that there should be a
working relationship between Veteran Hospitals and medical schools. In most of these
relationships, the medical school faculty makes up most of the staff for the VA hospital. In
UNMH's relationship with Albuquerque's VA hospital, our medical school faculty makes up
the medical staff for the VA hospital. The federal government pays for resident's salaries,
about 120 to train at the VA hospital and our medical staff provides the health care, even a
tight relationship with research. On the other end of the spectrum, is the university owning
and operating its own facilities. We own and operate a facility in Sandoval County. To
Regent Gallegos point, we enjoy benefit in the full range of relationships with health care
facilities, and there cannot be one specific model as the communities and HSC has different
needs. We need to form a marriage of those two needs to best serve the mission and plan
for the HSC and at the same time, meet the health care needs of the local communities.

Regent Gallegos stated that if we assume this is a good initiative here what the Regents need
to know is that hospitals are a business. What is the economic landscape of NM and how do
you see it for the business of hospitals, it could be risky.

Chancellor Roth stated that the hospital business in NM has always been a challenge. It is a
poor state, our populations are distributed and arrays of issues pose a challenge for the
business of health care institutions to survive. With the Affordable Care Act and issues with
Medicare, the smaller hospitals in NM will be even more greatly challenged financially
through the massively reduced revenue stream of sole community provider funds. Those
dollars will be going from $320 million to $120 million, dramatic reductions especially in
small hospitals. One of the precipitating reasons for Rehoboth McKinley General Hospital in
Gallup to contact us is driven by the fact that they were going to receive very dramatic
reductions in their revenues and so the economics are pretty severe in the state of NM and
makes any effort on our part to consider a relationship, it requires management to perform
due diligence and to have the Regents greatly informed and fully understanding the
potential liability of these kinds of relationships.

Regent Quillen stated that hospitals are a business model whatever the ownership, and does
depend on successful operation and quality outcomes of those facilities. Around the country
1000 hospitals were acquired or merged, smaller hospitals are going to be unable to survive
the way they have in the past with the economic realities of our health care system, and
they are joining with larger hospitals to sustain themselves. This is a trend and we are going
to see more of these types of requests for UNM.

Regent Koch questioned our financial capabilities and stated that we need to get assistance
from the legislature and the state, if Legacy leaves in Gallup, UNM will be left standing, if we
go into this we cannot get out. We should have conversations with the LFC and the DFA to
see if we will have support on the state level.

Chancellor Roth stated that these are important issues and there are many questions that
will need to be answered, but all of this will be brought forth to the Regents. We are not
asking for a decision on Gallup, but simply to begin discussion on this overall topic of
hospital partnership and potential ventures and relationship. The administration will do
due diligence and bring it forth to the Regents if it is found worthy of consideration and
decide if the risk is worth it for all the advantages the projects may have the potential for.

Mr. McKernan stated that we could look at it systematically and look at what makes a
community hospital, specifically in NM, successful. Partnerships, mergers and acquisitions
are occurring nationwide so it is a worthwhile discussion to have.

Regent Overton referred to Regent Gallegos earlier point of does UNM want to form a multi-
hospital system and she believes that in the changing era of health care it is something that
is happening across the nation. It is a combination of financial situation and fulfilling
academic missions.

President Frank affirmed that the University will do very careful due diligence and look at
the potential risks and gains to bring the full picture to the Regents.

Chancellor Roth stated that the fourth and final point is to make sure that governance is
kept well-informed and meeting all of the conditions of the open meetings act. Raising one
dilemma, to maintain transparency and the delicate balance of how to keep everyone
informed.

Regent Hosmer stated that the first question that needs to be considered is for the Regents
to confirm or deliberately not confirm an approach to the future for the distribution of
healthcare by UNM hospital HSC system across NM. As a working assumption, the process
can be worked in a way that is satisfactory to all parties.

President Frank stated that if Regents granted approval, a team would be formed to
examine these types of deals, including Gallup, and become a model to apply in the future.

The following motion passed unanimously with a quorum present: The Board of the University of
New Mexico resolve approval for a UNM Health Sciences and UNM Health System initiative to
engage in regional and local hospital affiliations and enterprises. (1st Gallegos, 2nd Overton).
V. Vote to close the meeting and to proceed into Executive Session

Motion to proceed into Executive Session passed unanimously at 3:26 p.m. (1st Koch, 2nd Gallegos).

VI. Executive Session 3:26 p.m. – 4:11 p.m.

A. Discussion and determination where appropriate of threatened or pending litigation pursuant to Section 10-15-1.H (7) NMSA (1978).

B. Discussion and determination where appropriate of the purchase, acquisition or disposal of real property pursuant to Section 10-15-1.H (8) NMSA (1978).

VII. Vote to re-open the meeting.

Motion to return to open session passed at 4:12 p.m. (1st Koch, 2nd Gallegos).

C. Certification that only the matters described in Agenda Item VI were discussed in Executive Session and, if necessary, final action with regard to those matters will be taken in Open Session.

Motion to certify that no action was taken in executive session passed unanimously. (1st Koch, 2nd Overton).

VIII. Adjournment

Motion to adjourn the meeting passed at 4:13 p.m. (1st Koch, 2nd Overton)

__________________________________  ____________________________________
Jack L. Fortner, President             Bradley C. Hosmer, Secretary/Treasurer
Materials will be available at the meeting.
Materials will be available at the meeting.
Date: June 30, 2013

To: Bruce Cherrin, Chief Procurement Officer  
Purchasing Department

From: Debra L. Fondino  
Associate Director, University Services

Subject: Equipment Disposition – June 2013

Attached for your review and submission to the Board of Regents is the Surplus Property Disposition Detail list for the month of June, 2013.

Consistent with UNM Board of Regents Policy 7.9 and the Disposition of Surplus Property Act, 13-6-1, NMSA 1978, and based upon documentation submitted by the UNM departments responsible for the equipment, I certify that the equipment identified on the list is worn-out, unusable or obsolete to the extent that the items are no longer economical or safe for continued use by the University. I recommend that the items be deleted from UNM’s inventory and disposed of in accordance with the above noted Regents Policy and Surplus Property Act.
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<th>UNM#</th>
<th>Department Surplusing Equipment</th>
<th>Description</th>
<th>Manufacturer</th>
<th>Model#</th>
<th>Serial#</th>
<th>Year</th>
<th>Acquisition Cost</th>
<th>NBV</th>
<th>Method of Disposal Desc</th>
<th>Disposal Date</th>
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**Disposition value:** 158,752.00

**Disposition bookvalue:** 4,450.02
6/30/13

Additional Notes to Surplus listings dated 6/30/13:

1 – Asset# 190553 is a spectrophotometer from the Biology Department. It is now 23 years old and its technology is considered obsolete. The special lamps that are required are too expensive and difficult to obtain, which resulted in the item not being used in more than five years.

2 – Assets# 225882 and 225929 consist of a computer and diagnostic system used at Johnson Center by the Employee Health Promotion Project (EHPP). The systems are 16 years old and were no longer operable. Repairs would have been too costly to justify, since the system was slow to respond and did not have current technological advantages.

3 – Assets# 228044 and 228045 are two 1998 projectors from the Graduate and Professional Student Association. The projectors have been replaced at a fraction of the cost with equipment that has better quality and more portability. The projectors were highly utilized for meetings and events in and out of the office, but have seen less and less usage with the installation of projectors across campus.

4 – Asset# 232802 is a 15-year old Non-Linear Studio from Undergraduate Medical Education. Originally used for editing student videos, this item is obsolete and has not been used for several years. It no longer supports current video industry standards and has now been replaced by software.

5 – Asset# 245735 is a 12-year old Xerox copier from the College of Pharmacy that was always breaking down at critical times, such as during testing, events and graduation. The copier had become too costly to repair, costing $9,300 in two years for service and repair. It has been replaced with a multi-functional devise that is compatible with the department’s current operating systems.

6 – Asset# N00019086 is an Airflow Systems upright free-standing cabinet spray booth from Art and Art History. Its purpose is to collect paint and fumes. However, the spray booth has inadequate size capacity for student needs; inadequate draw, filtration and containment of aerosol VOC’s (volatile organic compounds); and the internal exhaust disperses VOC’s throughout the building. It is in the best interests of safety and efficiencies to dispose of this item.

Note: Any item of value in this list will be re-sold through all means available, i.e.:
Request for Bid, Auction house, special auction, online sale, to recover the maximum monies to reinvest in the mission of the requesting department.
Date: July 12, 2013

To: Bruce Cherrin, Chief Procurement Officer
    Purchasing Department

From: Debra L. Fondino
    Associate Director, University Services

Subject: Equipment Disposition – July 2013

Attached for your review and submission to the Board of Regents is the Surplus Property Disposition Detail list for the month of July, 2013.

Consistent with UNM Board of Regents Policy 7.9 and the Disposition of Surplus Property Act, 13-6-1, NMSA 1978, and based upon documentation submitted by the UNM departments responsible for the equipment, I certify that the equipment identified on the list is worn-out, unusable or obsolete to the extent that the items are no longer economical or safe for continued use by the University. I recommend that the items be deleted from UNM’s inventory and disposed of in accordance with the above noted Regents Policy and Surplus Property Act.
<table>
<thead>
<tr>
<th>UNM#</th>
<th>Department surplusing equipment</th>
<th>Description</th>
<th>Manufacturer</th>
<th>Model#</th>
<th>Serial#</th>
<th>Year</th>
<th>Acquisition Cost</th>
<th>NBV</th>
<th>Method Of Disposal Desc</th>
<th>Disposal Date</th>
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</thead>
<tbody>
<tr>
<td>205987</td>
<td>Pathology Department</td>
<td>CENTRIFUGE REFRIGERATOR Sorvall</td>
<td>RC5C</td>
<td>9401361</td>
<td>1994</td>
<td>25,907.00</td>
<td>0.00</td>
<td>Too Costly to Repair</td>
<td>07/17/2013</td>
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<tr>
<td>218853</td>
<td>Theatre and Dance</td>
<td>PROJECTOR VIDEO</td>
<td>Panasonic</td>
<td>PTL290U</td>
<td>CA5620015</td>
<td>1996</td>
<td>5,999.00</td>
<td>0.00</td>
<td>Obsolete</td>
<td>07/17/2013</td>
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<tr>
<td>219806</td>
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<td>HPLC/DETECTOR</td>
<td>Waters</td>
<td>486</td>
<td>WA1080690</td>
<td>1996</td>
<td>5,700.00</td>
<td>0.00</td>
<td>Obsolete</td>
<td>07/17/2013</td>
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<td>219808</td>
<td>Chemical Nuclear Engineering</td>
<td>HPLC/PUMP</td>
<td>Waters</td>
<td>610</td>
<td>WA1062775</td>
<td>1996</td>
<td>7,600.00</td>
<td>0.00</td>
<td>Obsolete</td>
<td>07/17/2013</td>
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<td>219811</td>
<td>Chemical Nuclear Engineering</td>
<td>HPLC/DETECTOR</td>
<td>Waters</td>
<td>M432</td>
<td>001141</td>
<td>1996</td>
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<td>0.00</td>
<td>Obsolete</td>
<td>07/17/2013</td>
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<tr>
<td>220886</td>
<td>Chemical Nuclear Engineering</td>
<td>OPTICAL BENCH</td>
<td>Coulter</td>
<td>LS230</td>
<td>W05384</td>
<td>1996</td>
<td>48,594.00</td>
<td>0.00</td>
<td>Too Costly to Repair</td>
<td>07/17/2013</td>
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<tr>
<td>242209</td>
<td>Chemical Nuclear Engineering</td>
<td>MULTISIZER</td>
<td>Coulter</td>
<td>IIE</td>
<td>020887</td>
<td>2000</td>
<td>22,061.00</td>
<td>0.00</td>
<td>Too Costly to Repair</td>
<td>07/17/2013</td>
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<tr>
<td>231369</td>
<td>Cinematic Arts Department</td>
<td>PROJECTOR VIDEO</td>
<td>Panasonic</td>
<td>PTL292 U</td>
<td>CA7450140</td>
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<td>239424</td>
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<td>10,306.00</td>
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<td>Scorp</td>
<td>ORIGIN 200</td>
<td>69003240</td>
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<td>EDITING SYSTEM</td>
<td>Scorp</td>
<td>VIDEO WORKSTN</td>
<td>H283XY</td>
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<td>07/17/2013</td>
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<td>COMPUTER FILE SERVER</td>
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<td>SGI1200</td>
<td>CF12001249</td>
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<td>07/17/2013</td>
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<td>255127</td>
<td>Geography Department</td>
<td>COMPUTER FILE SERVER</td>
<td>Unknown/Other</td>
<td>Custom</td>
<td>1044442</td>
<td>2002</td>
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<td>257932</td>
<td>Undergrad Med Educ Department</td>
<td>COMPUTER FILE SERVER</td>
<td>Dell</td>
<td>Poweredge</td>
<td>1044442</td>
<td>2002</td>
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<td>0.00</td>
<td>Obsolete</td>
<td>07/17/2013</td>
</tr>
<tr>
<td>263977</td>
<td>Accessibility Resource Center</td>
<td>COMPUTER FILE SERVER</td>
<td>Cisco</td>
<td>Catalyst 3550</td>
<td>ECHK2430447</td>
<td>2003</td>
<td>15,739.00</td>
<td>0.00</td>
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<tr>
<td>264908</td>
<td>Cinematic Arts Department</td>
<td>PROJECTOR</td>
<td>JVC</td>
<td>PLC150L</td>
<td>4811</td>
<td>2004</td>
<td>17,197.00</td>
<td>0.00</td>
<td>Too Costly to Repair</td>
<td>07/17/2013</td>
</tr>
</tbody>
</table>

Disposition value: 302,490.00

Disposition bookvalue: 711.46

Total Disposition Items: 17
Additional Notes to Surplus listings dated 7/12/13:

1 - **Asset# 205987** is a Relative Centrifugal Force Superspeed Centrifuge with a refrigeration system designed to separate substances of varying densities at a controlled temperature range. The original vendor no longer services the machine and it has been out of maintenance contract for over 3 years. There is a constant grinding sound when the unit is plugged in and not running; it would now be too expensive to repair.

2 - **Assets# 220886 and 242209** consist of a 1996 Optical Bench and a 2000 Multisizer used in a research laboratory in the Department of Chemical & Nuclear Engineering. In more technical terms, the optical bench was an advanced laser diffraction particle size analyzer and the multisizer was the most versatile and accurate particle sizing and counting analyzers available when originally purchased. These two items are no longer functional. When the researcher retired, the department attempted to donate the assets to other researchers within UNM why may have been able to rehabilitate them, but with no success.

3 - **Assets# 239424, 239425, 241815, 241820 and 243249** constitute an advanced video and audio editing system purchased in 1999/2000 and used in the College of Education. As each of these assets became obsolete, they were cannibalized, which saved the expense of updating and repairing other systems. The most expensive piece is a file server used as a tape-backup-based system to do incremental backups of the student/faculty/user data in the TEC facility. It was also cannibalized. All remaining parts were sent to Surplus.

4 - **Asset# 263977** is a 10-year old computer server used by the Accessibility Resource Center. This server has not been in use for the last few years since the use of such an out-of-date server system would violate policies on data security and integrity.

5 - **Asset# 264908** is a JVC D-ILA projector that is almost 10 years old. Also known as a Direct-Drive Image Light Amplification projector, its technology delivered incredible natural color reproduction. The projector saw heavy use in the College of Fine Arts, and as a result the cost of repair became too costly to maintain.

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Note: Any item of value in this list will be re-sold through all means available, i.e.: Request for Bid, Auction house, special auction, online sale, to recover the maximum monies to reinvest in the mission of the requesting department.
MEMORANDUM TO ADVANCE COMMITTEE AGENDA ITEMS TO THE BOARD OF REGENTS THE UNIVERSITY OF NEW MEXICO

DATE: July 17, 2013

TO: David W. Harris, EVP for Administration, COO & CFO

FROM: Vahid Staples, Budget Officer, Office of Planning, Budget & Analysis

RE: Requested Approvals

RECOMMENDED ACTION:

Recommend to the Board of Regents Finance and Facilities Committee the following:

1. Request for Approval of Architect Selection for UNM Los Alamos Science Classrooms Renovation

2. Request for Capital Project Approval for North Golf Course Renovation

Please see attached summaries and drawing.

cc: Chris Vallejos, Associate VP, Institutional Support Services
    Robert Doran, University Architect, Planning & Campus Development
    R. Henrard, C. Martinez, M. Dion, T. Sanchez – OCP
APPROVAL OF ARCHITECT SELECTION for
UNM LOS ALAMOS SCIENCE CLASSROOMS RENOVATION
UNIVERSITY OF NEW MEXICO
August 13, 2013

REQUESTED ACTION:
In accordance with Section 7.11 of the Board of Regents Policy Manual, it is recommended that the Board of Regents approve the selection of the following architectural design firm to provide professional services to renovate Science Classrooms in Los Alamos, New Mexico. Project approval will be requested at a future meeting of the Board of Regents.

**Recommended Firm:** DNCA Architect, Albuquerque, NM

PROJECT DESCRIPTION:
The Science Classrooms Renovation would provide 2,142 SF of improvements to teaching wet labs, and a chemical storage room, used for Geology, Physics, Nano Science and Environmental Science as well as Biology. The proposed scope of work will include the following:

1. New casework and sink, storage, flooring, lighting, code approved eyewash and safety shower, lab seating, audio-visual, data and relocation of existing power.
2. Updated audio-visual, hot water at each of 11 labs sinks, a new ice maker and lab seating.
3. Additionally repurposed and new compact shelving, chemical and flammable storage cabinets, and ceiling work will be provided.

PROJECT NEED:
The outdated room configuration and equipment do not support an optimal learning environment with enough storage and bench top space to support the varied courses and class loads of students. There is no specified ADA bench access and general classroom visibility to the instructor is impaired by equipment. Additionally the chemical storage space is over-crowded and does not comply with current guidelines for storage of toxic chemicals and their disposal.

RATIONALE:
1. The recommendation is based on a selection process for professional services in accordance with State of NM Procurement Regulations.
2. The selection criteria included: the firm’s approach to providing the required services, their technical knowledge and skill, their very successful history in completing projects of similar scope at UNM Los Alamos on budget and on schedule, and their familiarity with the campus and its infrastructure.
3. The fee for architectural services, including design and construction administration is $58,850, including tax.

FUNDING:
The total estimated Project Budget is $750,000:

- $500,000 is funded by 2012 General Obligation Bonds
- $250,000 is funded by local funds and donations
REQUEST FOR CAPITAL PROJECT APPROVAL for
NORTH GOLF COURSE RENOVATION
UNIVERSITY OF NEW MEXICO
August 13, 2013

REQUESTED ACTION:
In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested for the UNM North Golf Course Renovation in Albuquerque, New Mexico.

PROJECT DESCRIPTION:
The proposed scope of work for the North Golf Course would provide improvements to the existing Golf Course irrigation as well as improvements to portions of the public trail system around the course perimeter, including:

1. Replacement of some of the pump room equipment, including new pumps, motors, variable frequency drives, upgrade of plumbing and power systems, and new sprinkler lines and heads;
2. Minor changes to the fairway, tee and green layouts as needed, including the reclaiming of some areas;
3. Improvements to the public trail (both walking paths and landscape), focusing on the North and East sides, while creating a 2 mile loop around the Golf Course.

PROJECT RATIONALE:
The North Golf Course was originally constructed in the 1940’s and is part of what once was a twenty-seven hole course. The current irrigation system is the original system and although repairs and adjustments have been made over the years, and is estimated to be only twenty to thirty percent efficient. The original aesthetic and design of the course will be maintained, however we may reclaim certain areas that have deteriorated due to insufficient irrigation. The public trail system is used by neighbors and members of the larger Albuquerque community alike and needs upgrading for safety, aesthetic and accessibility reasons. The public trail renovation will provide a safer and more effective path around the golf course. Some trees will be added and current trees will be maintained due to safety concerns as well as to allow sunlight in to better support the turf growth.

FUNDING:
The total estimated Project Budget is $1,500,000:

- $1,500,000 is funded by Bernalillo County Open Space Funds, with additional project resources provided by the University of New Mexico
July 11, 2013

Mr. David W. Harris
Executive Vice President for Administration, COO, CFO
The University of New Mexico
Scholes Hall, Room 111 MSC 05-3350
Albuquerque, New Mexico 87131-0001

RE: Appointment to Lobo Energy, Incorporated - Board of Directors

Dear Executive Vice President Harris:

The Lobo Energy, Inc. Bylaws state, "The public members shall be appointed by the Board of Regents." The UNM Board of Regents appointed Thomas J. Ruiz to serve his first four-year term as a public member on the Lobo Energy Board of Directors at its August 14, 2001, meeting, pursuant to Article IV, Section 1 of the Lobo Energy Bylaws. Mr. Ruiz has served three terms; his third term expires August 14, 2013. Mr. Ruiz has indicated that he is willing to serve a fourth four-year term on the Lobo Energy Board, if appointed by the Board of Regents.

Per the attached resume, Mr. Ruiz was employed in the Bernalillo County District Court for 25 years. He was the Court Administrator for 22 years, overseeing a $15.2 million budget, $22.0 million in trust funds and a 260-person staff in three locations. During the last 14 years he was with the Court, the responsibilities of Clerk of the Court were added to his duties. He has broad administrative experience. Since his retirement in 2000, Mr. Ruiz has been the Operation Manager of the Downtown Action Team, as well as a real estate broker. He has been very active in community affairs over the years. He is currently the CEO of Today's Restoration DKI.

Please bring this nomination before The University of New Mexico Board of Regents for approval at the next opportunity.

Sincerely,

[Signature]

Steven R. Beffort
President/CEO

Enclosure
ADMINISTRATIVE/MANAGEMENT SUMMARY

- Court executive working as an advocate for the court, with strengths in technology, human resource area, government relations, finance, and communication.

- Strength in government relations and providing testimony to state and local government bodies, drafting legislation, developing and implementing strategies for successful passage of legislation.

- Recognized as a consensus builder; able to move issues through complex and contentious stages to practical solutions.

- Recognized by the judicial, legal, and management community as a creative, innovative leader.

- Skilled and trained in decision making, strategic planning, detailed budgeting and financing abilities.

- Able to apply statutory and case law requirements to projects, problems and issues.

- Expertise in construction management, conducted pre-bid meetings, developed scope of work for contracts, negotiated contract price and finalized contract language; developed RFQ’s and RFP’s for District Court contracts.

PROFESSIONAL EXPERIENCE

2000/2001  DOWNTOWN ACTION TEAM
Operation Manager of the first Business Improvement District in the State of New Mexico

REAL ESTATE BROKER with Allied Realty, Inc.

1978/2000  SECOND JUDICIAL DISTRICT COURT
Court Administrator of largest judicial district in the State of New Mexico
CEO and chief spokesperson for a court of five specialized divisions with a $15.2 million budget, $22.0 million in trust funds and a 260-person staff organization, with oversight of three locations.

Led the development of new programs, including creation and implementation that have had a positive impact on the judiciary and the community; developed financing strategies for three new court buildings and their renovation at a combined cost of $122.0 million.

In depth knowledge of Human Resource area and Human Resource law.

Court representative on policy/technical issues for numerous Local, Statewide and National Committees dealing with state-wide case management system and individual district court technical issues.

1986 to 2000

CLERK OF THE COURT

Accepted administrative oversight of clerk's office to ensure compliance with court rules and statutory requirements; current active caseload is approximately 68,000.

Created training manuals that increased morale and decreased employee turnover.

Implemented the first automated computer system in the District that provided a secure and expeditious method of monitoring and handling our continually increasing caseload.

1975 to 1978

COURT FINANCIAL SPECIALIST

Governmental accounting of accounts payable and receivable.

Assisted in the development of fiscal reports and interpretive presentations on spending patterns.

Purchasing agent for the court and Juvenile Detention Center which included office and food supplies.

1970 to 1975

BUSINESS OWNER

Construction with cost estimating, bidding, scheduling, and supervising of projects.

General office management of finances and personnel.

Compliance with OSHA and state regulations.
• Current holder of New Mexico Real Estate Brokers license.

EDUCATION

Albuquerque Technical and Vocational Institute
Business Management
University of New Mexico
Robert O. Anderson School of Business
Dearborn Financial Institute
Real Estate

SELECTED ACCOMPLISHMENTS

• Bilingual in Spanish.
• Authored professional articles for publication in the areas of the judiciary, management and technical issues.
• Member of team that revised State Court Personnel Rules and Compensation Scales.
• Member of team that envisioned and formulated Metropolitan Court for three court systems of county, state, and municipal.
• Member of committee to establish Rozier E. Sanchez Judicial Educational Training Center.
• Chairman of Statewide Computer Project that oversees case management, electronic filing, realtime court reporting and Differentiated Case Management.
• Built consensus for Court security and implemented system which was a politically sensitive issue.
• Assisted with design and development of Juvenile Justice Center, District Courthouse, Bernalillo County Detention Center, District Attorney’s Office and public remodel projects.
• Consultant for Justice Management Institute and Cosgrove Production for television.
• Listed in International Who’s Who of Professionals; 1999

PUBLIC SPEAKING

• National Center for State Courts, National Association of Court Managers, National Court Reporters Association, University of New Mexico Graduate Class, Rozier E. Sanchez Judicial Training Center, Albuquerque and State Bar Association and High Schools.
REFERENCES

Excellent references available upon request.
MEMORANDUM

To: David Harris, Executive Vice President for Administration

From: Bruce Cherrin, Chief Procurement Officer

Subject: Contract Approval

Date: July 22, 2013

The following is being submitted for approval per Regent Policy 7.4. This will need to proceed to the full Board of Regents.

REQUEST FOR APPROVAL TO USE CONSTRUCTION MANAGER AT RISK for
CLARK HALL – CHEMISTRY BUILDING RENOVATIONS
UNIVERSITY OF NEW MEXICO

REQUESTED ACTION:
As required by NMSA 13-1-124.4, it is recommended that the Board of Regents approve the use of the Construction Manager at Risk (CMAR) project delivery method for the Clark Hall Renovation project.

PROJECT DESCRIPTION:
This $16 million dollar project renovates and modernizes portions of Clark Hall, the primary residence for the Department of Chemistry and Chemical Biology, supporting UNM’s strong efforts to rebuild Chemistry’s teaching and research mission. Clark Hall, constructed in 1951, and added onto in 1967, contains three stories plus a basement with a total of 81,358 gross square feet.

Much of the building has deficiencies which make it difficult for use in either education or research. In addition to the reconstruction of laboratory facilities, a number of infrastructure
upgrades will be required to make the building fully functional and code compliant, including HVAC, information technology, ADA upgrades, elevators, electrical distribution and energy efficiency. Additionally, the replacement of several key pieces of existing equipment necessary for undergraduate and graduate education will be installed. These renovations are essential for the future of the Department and the recruitment of new faculty. More than half of the existing department faculty members are scheduled to retire within the next ten years.

**PROJECT RATIONALE:**
The Construction Management at Risk construction method will contribute to the success of this project as follows:
1. A Construction Management at Risk firm will provide in-depth constructability assistance during design, resulting in a closely aligned scope and budget for the project.
2. CMAR allows the Contractor to build the construction schedule during design, resulting in a very efficient construction schedule for the challenging project logistics. Since the facility will be occupied during construction, CMAR allows opportunities for special sequencing of the work around the facility users.
3. Enhanced collaboration during design results in improved construction efficiency. Adding construction expertise into the development of the 3D BIM (Building Information Model) production documents will reduce conflicts and change orders during construction.
4. The proposed Construction Manager at Risk Selection Committee is:
   a. Mr. Michael Reid, Group Manager, Office of Capital Projects
   b. Mr. Christopher Carian, Senior Project Manager, Office of Capital Projects
   c. Mr. Robert Doran, University Architect
   d. Mr. Robert Notary, Director, UNM Physical Plant Department Engineering
   e. Ms. Louella Phillips, IT Deputy CIO, Information Technologies

**FUNDING:**
The total estimated Project Budget is $16,000,000:

- $16,000,000 is funded from 2012 General Obligation Bonds
MEMORANDUM

TO: Board of Regents of the University of New Mexico

THROUGH: President Robert G. Frank

FROM: Linda Warning, Chair of the Governing Board of the Harwood Foundation of the University of New Mexico

CC: David W. Harris, Executive Vice President for Administration/COO/CFO

DATE: July 15, 2013

RE: Appointment of Dr. David Farmer and Dr. Breda Bova, and reappointment of Phyllis Nottingham to the Harwood Governing Board.

In May, 2007 the Board of Regents (the “Regents”) adopted resolutions (the “Delegation Resolution”) delegating to the Harwood Board certain responsibility for the governance, oversight, management and operation of UNM’s Harwood Museum.

The Delegation Resolution provides that the Regents shall appoint the members of the Harwood Board, including nine (9) members appointed based on recommendations of the Harwood Board.

Ms. Nottingham was appointed to a three term which expired on June 30, 2013. The May 2007 resolution allows members to serve two consecutive three year terms. Dr. Bova replaces Dr. Wynn Goering whose second 3 year term expired June 30, 2013. Dr. Farmer replaces Gus Foster whose second 3 year term expired June 30, 2103. The Harwood Board recommends reappointing Ms. Nottingham and appointing Dr. Bova, and Dr. Farmer to three (3) year terms, expiring June 30, 2016. Information about Dr. Bova and Dr. Farmer is attached.

We respectfully request that the Board of Regents include the reappointment of Ms. Nottingham and the appointment of Dr. Bova and Dr. Farmer to the Harwood Governing Board on the Board of Regents’ agenda for its meeting on August 13, 2013.
Brief Bio for Breda Bova
Breda Bova, Professor and Special Council to the President, University of New Mexico
505-277-2626
bova@unm.edu
Dr. Bova holds a Ph.D. in Adult Education from the University of New Mexico, an M.S. degree in Educational Research from the University of Dayton, Dayton, Ohio, and a Bachelor of Science degree in Mathematics Education from St. Thomas Aquinas College, Sparkhill, New York. She has carried out research with various populations in the areas of mentoring and generations in the workplace.
Some of her publications include Workplace Learning and Generation X, Mentoring Revisited: The African-Woman’s Experience, and Closing the Gap: The Mentoring of Generation X.
She has received the 2011 Distinguished Public Service Award from the State of New Mexico, 2006 Spirit of Achievement Award, Student Services Award-University of New Mexico, 2003, the Zia Award-Outstanding Alumnae from University of New Mexico, 2002, a Distinguished Woman of the Year Award for the State of New Mexico, New Mexico Commission on the Status of Women, 1997, and Woman on the Move Award-YMCA, in the category Educational Administration, 1997. She is a graduate of Leadership New Mexico, class of 1998.
Dr. Bova has also been very active in community organizations. She has chaired the Board of Directors for the United Way of Central New Mexico and is currently serving as Chair of the Board of KNME and is a board member of the Albuquerque Institute of Mathematics and Science @ UNM. Dr. Bova holds academic rank in the Department of Educational Leadership and Organizational Learning. She currently serves as the Faculty Representative to the NCAA and is responsible for the certification of all of the student athletes at the University of New Mexico.
DAVID FARMER  
P.O. Box 1106  
El Prado, NM 87529  
(505) 751-3091 e-mail: cd farmer@laplaza.org

EDUCATION
Trinity University, San Antonio, B.A., 1961
Trinity University, San Antonio, M.A., 1965
The University of Texas at Austin, Ph. D., 1970
The University of Texas at Austin, M.L.S., 1986

EXPERIENCE
Director, DeGolyer Library, Southern Methodist University from 1985 until retirement in 2001. Responsible for budget, personnel, and operation of DeGolyer with major rare book collections in Western Americana, Spanish Borderlands, and railroad history. Previous positions in the administration of special collections at University of Tulsa, University of Houston, and UT Austin Humanities Research Center, 1972-1985; also taught in academic programs at UT Austin. Author or editor of eleven books and exhibition catalogues and more than twenty-five articles and reviews; delivered over thirty lectures at conferences and meetings in the U.S. and abroad; taught frequently in the Master of Liberal Arts program at SMU and Fort Burgwin, Taos, New Mexico from 1986 through 2001.

SELECTED BOOKS

SELECTED ARTICLES
SELECTED LECTURES (many illustrated with slides)
• "From Josiah Gregg to Edward Abbey -- Book Trails Across New Mexico," Fort
• “Destination México: American Tourism South of the Border,” Fort Burgwin
• “Maps in Hidden Places, Travel Brochures on the American West,” Texas Map
• “Images of New Mexico in Literature and Photography,” National Association of
  Corporate Secretaries Annual Meeting, Santa Fe, 1998.
• “Book Collecting,” (Panel Discussion), Santa Fe Book Festival, 1994.
• "The Thief at Work, or Blumberg’s Blunders and Successes," National Conference on
• “Images of Early Tourism in the American West,” Fort Burgwin Colloquium Series,
  Taos, N.M., 1993.
• "New Horizons for Western Ephemera," Western History Association, Tulsa,
  Oklahoma, 1993.
• "Proclaiming New Visions: Techniques of Printing and the Spread of Knowledge,"
• "Diaries, Documents, and Descriptions: Some Printed Rarities of the Santa Fe Trail,"
• “D. H. Lawrence in New Mexico,” Fort Burgwin Colloquium Series, Taos, N.M.,

SEMINARS AND TOURS
• SMU Master of Liberal Arts Seminars (with classes, guest lecturers and field trips
  “The Artists and Writers Colonies of Santa Fe and Taos;” “The Multi-Cultural
  Backgrounds of New Mexico.”
• Five States Petroleum Board of Directors Annual Meeting, 1997, (1-day seminar),
  “History and Culture of Northern New Mexico.”
  Finest Private and Public Rare Book Collections.”
  Mexico.”

MEMBERSHIPS ACTIVE BEFORE RETIREMENT
• The Grolier Club, NYC
• Western History Association
• Texas State Historical Society
• Texas Map Society
• American Library Association

MEMBERSHIPS (CURRENT)
• Texas Institute of Letters
• Harwood Museum of Art (Director’s Circle; Museum Advisory Council)
MEMORANDUM

TO: Members of the Board of Regents’ Finance & Facilities Committee (F&F)

THRU: Robert G. Frank, President
       David W. Harris, EVP for Administration, COO, and CFO

FROM: Andrew Cullen, Associate VP Office of Planning, Budget & Analysis

DATE: August 2, 2013

SUBJECT: Bond Counsel and Financial Advisor Procurements

The University’s contracts with its existing bond counsel and financial advisor have recently expired. With this in mind, the procurement process for these services began several weeks ago and has resulted in the selection of the following two companies.

- Bond Counsel – Modrall Sperling Roehl Harris & Sisk P.A.
- Financial Advisor – First Southwest Company

**Bond Counsel** – There were two respondents to the RFP. Modrall was selected based on their overall qualifications and experience in general and, in particular, their familiarity with UNM’s outstanding debt portfolio. As you may recall, Modrall has provided excellent service to the university as its bond counsel for the past four years, further solidifying the committee’s selection.

**Financial Advisor** – There was only one respondent to the financial advisor RFP, First Southwest Company. In the days leading up to the end date of the procurement, the Purchasing Department reached out to several national/local firms to encourage them to respond to the RFP. All declined for one reason or another. As you know, First Southwest Company has served as the University’s financial advisor for the past eight years and has provided outstanding service during this time which, once again, solidified the committee’s selection.

Thank you for your consideration and I look forward to discussing the two procurements further during the upcoming F&F meeting.
Consistent with President Frank's weekly letter of August 5, 2013 (attached), the nonacademic elements of the University are also engaged in innovation.

Lobo Energy, Incorporated (LEI) has the responsibility to manage energy consumption and utility regulatory issues at UNM, as well as energy-creation projects, including solar and gas-fired cogeneration units. LEI is charged with exploring cutting-edge projects, processes and innovation. As part of the management of that responsibility, LEI and, specifically, Jason Strauss, the Director of Energy Conservation and Development, identified a deficit in information gathering and retention in relation to efficient management of energy consumption. To address the deficit, Jason initiated a "software" project that has had multiple-scope additives and enhancements to the point that, with input from the LEI Board's private-sector members, it was decided to apply for patent protection for this software.

The next step included seeking advice and guidance from Dr. Steve Walsh, UNM Distinguished Professor from the Anderson School of Management, to examine potential business application for the product. This resulted in a business-planning process, including recommendations to license the intellectual property (I.P.) to a private-sector, for-profit company to introduce it to the market. Subsequently, the I.P. was signed over to LEI from the inventor, Jason Strauss, so LEI holds title to the patents. UNM retains the right to continue to use the product and receive upgrades as they become available at no cost to UNM.

Action approved by the LEI Board on July 30, 2013, was to license the product for commercialization to a new for-profit company called Facility Facts, Inc., created by Jason Strauss. All the elements of the transaction were modeled after the STC format by the same attorney used by STC and LEI, Randy McDonald.

All of the above is commonplace and in accordance with how STC conducts their business; however, the reason we are here is that this is not the core business of LEI and we and our Board felt it appropriate to inform the Regents of this activity.

Thank you.

Steven R. Beffort, President/CEO
Lobo Energy, Incorporated
President Frank’s Weekly Perspective – 8.5.13

Good morning.

America is driven by innovation, much of which is in turn driven by research universities such as ours, and the new knowledge we produce. It is important to recognize the significance of the contributions of national research universities to the nation’s future economic successes. UNM, its research faculty, and the next generation of research leaders we graduate all play a critical role the economic competitiveness in high-tech global markets. The education and scientific research that takes place here contributes to the foundation for innovation and sustainable long-term economic growth, and produces discoveries that improve health, fuel new technologies, address our energy needs and environmental challenges, and position us to better comprehend the global community in which we live.

Closing the Innovation Deficit
Congress is due to consider legislation this fall that could significantly affect budgets for higher education and research. Along with more than 160 University and College presidents of Association of American Universities (AAU) and Association of Public and Land-grant Universities (APLU), I signed an open letter to President Obama and Congress, urging them to close the Innovation Deficit, the growing gap between where federal investments in research and higher education are and where they should be. We have collectively expressed concern about cuts to federal investments in research and higher education at a time when other nations are pouring resources into these areas. Every state will experience a significant impact given the catalytic effect research universities have on local and state economies. Closing this gap must be a national imperative. The growing disparity between our nation’s needed and actual spending on research and education – particularly in comparison to the rate of growth of such spending in such countries like South Korea, China and Singapore – is reaching a critical point. We need sustained investments in research and higher education to develop the people, the ideas, and the innovations that drive our economy.

UNM is committed to its mission as a major research institution through which our faculty and students strive to advance scientific and technological progress. Targeted investments in research and higher education can and should be made regardless of overall funding levels because they would be key sources of long-term economic growth and fiscal stability.

NM EPSCoR Grants Benefit Sustainability and Intellectual Leadership
Federally funded research is critical not only to areas of national importance but also at the state level. UNM is a part of the our state’s Experimental Program to Stimulate Competitive Research (NM EPSCoR), which is funded by the National Science Foundation (NSF), and strives to make the state and its research universities competitive with the national research agenda through improving the New Mexico’s scientific capacity and a competitive research infrastructure. UNM has been awarded two EPSCoR grants that will continue to build the state of New Mexico’s research capacity in water resource modeling, and renewable energy and energy efficiency. This month, UNM was awarded a $6 million grant for water resource research. In partnership with the Nevada System of Higher Education and the University of
Idaho, we will create a western consortium dedicated to advancing watershed science, workforce development and education. Given the severe drought and wildfires that have affected New Mexico, this grant will be critical to aiding researchers to better understand and address the environmental challenges we face. UNM also received a $20 Million EPSCoR grant earlier this year that will help to build New Mexico’s research capacity in renewable energy and energy efficiency. We are partnering with colleges and universities statewide, as well as our national labs and other key stakeholders to focus on ways to improve efficiency of sustainable energy resource utilization and to minimize environmental impacts of uranium mining and oil and gas production. Congratulations to University Libraries Professor William Michener, who is the UNM principal investigator on both grants, along with Mary Jo Daniel, associate director of the New Mexico EPSCoR program, who is the co-PI on the energy grant. Together, we are working to improve the research, infrastructure, and the human talent necessary for New Mexico to be competitive and successful.

**Health Sciences Center Recognized as an Innovation Institution**

Innovation is key to the growth of New Mexico’s economy. The Greater Albuquerque Chamber of Commerce has developed a series of events to recognize organizations that drive innovation in New Mexico. The UNM Health Sciences Center was the first honored for making key contributions to New Mexico’s economic and technology development through research and innovation. I would like to congratulate the faculty and staff of the Health Sciences Center along with Dr. Paul Roth. It was highlighted that last year the Clinical and Translational Science Center received more than 900 grants totaling about $150 million in external funding. The Center is key to driving innovation and economic development by guiding and accelerating discoveries in the laboratory to clinical practice in our communities. The UNM Cancer Research and Treatment Center was also lauded as one of only 67 centers nationwide recognized by the National Cancer Institute for their excellence, contributions to cancer research, and delivery of medical advances. The Cancer Center receives over $60 million in federal and private funding and maintains strong collaborations with SNL, LANL, NMSU, and the Lovelace Respiratory Research Institute. This honor is a testament to the excellence of our health sciences faculty and staff and their cutting-edge work in research, education, and patient care. Dr. Roth was also recognized for his role as the chair of the Chamber during the last year – a great example of our leaders, faculty and staff, serving our community.

Increasingly, the link between the knowledge jobs created by our faculty and graduates is recognized as critical to driving the engine of America’s engine of innovation. As yesterday’s *Albuquerque Journal* noted, we are seeing job growth, but many of the jobs are low paying. Research universities, especially those with health science centers providing cutting edge clinical care and innovative research, provide the key to the economic growth need United States. In Albuquerque, we have a great public research university with a world-class health sciences center only a few miles from the very best federal research labs in the country. Few other universities or cities have this combination of resources and we need to make this combination effective.

Have a great week and Go Lobos!

Bob
July 18, 2013

TO: UNM Board of Regents

FROM: Dr. Thomas Williams, Executive Vice Dean

SUBJECT: Summer 2013 Degree Candidates

The Faculty Senate approved the Summer 2013 Degree Candidates at the July 18th, 2013 General Faculty Meeting.

Included is the list of the Summer 2013 Degree Candidates. Please do not publish the candidates that are on the privacy flag list.

Degree Candidate Summary*

<table>
<thead>
<tr>
<th>Degree</th>
<th>Count</th>
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</thead>
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<tr>
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<tr>
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<tr>
<td>Bachelor’s Degree</td>
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<tr>
<td>Associate’s Degree</td>
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<tr>
<td><strong>Total</strong></td>
<td><strong>81</strong></td>
</tr>
</tbody>
</table>

*Final number of conferred degrees will be slightly lower due to changes in student degree status that occur until the end of the semester.

Please place this item on the next Board of Regents meeting agenda for consideration.

Thank you.

Attachments
July 29, 2013

TO:       HSC Board of Directors
FROM:     Lynda Welage, Dean, College of Pharmacy
SUBJECT:  Summer 2013 Degree Candidates

Included is the list of the Summer 2013 Degree Candidates. Please do not publish the candidates that are on the privacy flag list.

<table>
<thead>
<tr>
<th>Degree Candidate Summary*</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Master’s Degree</td>
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</tr>
<tr>
<td>Pharm.D. Degree</td>
<td>1</td>
</tr>
<tr>
<td>Total</td>
<td>2</td>
</tr>
</tbody>
</table>

*Final number of conferred degrees will be slightly lower due to changes in student degree status that occur until the end of the semester.

Please place this item on the next Board of Directors meeting agenda for consideration.

Thank you.

Attachments
July 29, 2013

TO: HSC Board of Directors

FROM: Nancy Ridenour, Dean, College of Nursing

SUBJECT: Summer 2013 Degree Candidates

The CON Faculty approved the Summer 2013 Degree Candidates at the July 15, 2013 Faculty Business Meeting.

Included is the list of the Summer 2013 Degree Candidates. Please do not publish the candidates that are on the privacy flag list.

Degree Candidate Summary*

<table>
<thead>
<tr>
<th>Degree</th>
<th>Number</th>
</tr>
</thead>
<tbody>
<tr>
<td>Doctor of Philosophy</td>
<td>3</td>
</tr>
<tr>
<td>Master’s Degree</td>
<td>32</td>
</tr>
<tr>
<td>Bachelor’s Degree (RN to BSN)</td>
<td>7</td>
</tr>
<tr>
<td>Total</td>
<td>42</td>
</tr>
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</table>

*Final number of conferred degrees will be slightly lower due to changes in student degree status that occur until the end of the semester.

Please place this item on the next Board of Director’s meeting agenda for consideration. I will not be able to attend the meeting on August 2. However, Dr. Melinda Tinkle will be in attendance and will formally present the graduation list.

Thank you.

Attachments
MEMORANDUM

June 28, 2013

TO:       HSC Board of Directors

FROM:     Michael Olguin
          UNM Hospitals, Board of Trustees, Chair

SUBJECT:  Board Appointment, UNM Hospitals Board of Trustees

The UNM Hospital Board of Trustees respectfully requests the approval of the Governance and Nominating Committee of the HSC Board of Directors, and the HSC Board of Directors, with final approval by the Board of Regents, to appoint Mr. Jerry McDowell to the UNM Hospital Board of Trustees. Mr. McDowell's CV is attached for review.
BIOGRAPHY

Jerry L. McDowell, Ph.D.
Deputy Laboratories Director and Executive Vice President for National Security Programs
Sandia National Laboratories

Effective July 9, 2010, Dr. Jerry L. McDowell is the Deputy Laboratories Director and Executive Vice President (EVP) for National Security Programs at Sandia National Laboratories. He is the senior executive of the Nuclear Weapons Strategic Management Unit (NWSMU) with an annual budget in excess of $1.2B and steward of the Integrated National Security Mission vision and strategy across all National Security Mission areas.

Dr. McDowell also serves as the Senior Intelligence Executive at Sandia.

Previously, Dr. McDowell was the Vice President of Defense Systems & Assessments Division and Strategic Management Unit (DSASMU) at Sandia National Laboratories. The DSASMU engineers and integrates advanced science and technology into pathfinder systems for the Department of Defense (DoD) and other Federal national security agencies. The DSASMU manages programs with an annual operating budget in excess of $700M and involving the support of over 1600 staff. He previously served as Vice President, DoD Programs Division and Military Technologies & Applications Strategic Management Unit (MTASMU); Chief Operating Officer of the MTASMU; Director, DoD Systems Analysis & Concepts Center; Director, Aerospace Systems and Development Center; and Chief of Staff to the Nuclear Weapons Program.

Dr. McDowell’s program responsibilities have spanned Nuclear Deterrence, Conventional Strike (kinetic and non-kinetic); Missile Defense; Information Operations; Intelligence, Surveillance, and Reconnaissance (ISR); Counter-Proliferation of Weapons of Mass Destruction; DoD Transformation Initiatives; Non-Proliferation Technologies; and Intelligence matters. His functional responsibilities spanned design, engineering, development, prototype fabrication, test, and evaluation.

Dr. McDowell has been employed at Sandia since October, 1979. He has also been employed by Vought Missiles and Space Division and the National Aeronautics and Space Administration (NASA). He was a participant in the USAF Scientific Advisory Board Study of Hypersonic Air-Breathing Vehicle Technologies conducted in 1991, and in 2003 was a member of the DoD Defense Science Board summer study related to Strategic Strike. He is an Associate Fellow of the American Institute of Aeronautics and Astronautics, and served a four-year tour as a member of the USAF Scientific Advisory Board.

Dr. McDowell has a B.S., M.S., and Ph.D. in Aerospace Engineering, all from the University of Texas at Austin. He was a Charter Member of the Fellows of the University of Texas Graduate School in 1979.
MEMORANDUM

TO: Paul B. Roth, M.D., M.S., FACEP, Chancellor for Health Sciences and Dean, UNM School of Medicine, and Chair, Board of Directors, UNM Sandoval Regional Medical Center, Inc.  
Stephen McKernan, UNM Health System Chief Operations Officer

FROM: Kevin Rogols, FACHE, President and Chief Executive Officer

CC: Scot Sauder, Esq., Sr. Associate University Counsel and Lead Attorney, Health Law Section

DATE: July 31, 2013

RE: Brad Cushnyr, M.D. – Appointment to the SRMC Board of Directors

As you know, David A. Gonzalez, M.D., who served as the Chief of the Medical Staff at UNM Sandoval Regional Medical Center (“SRMC”) and by virtue of that position was appointed as a member to the SRMC Board of Directors resigned effective July 1, 2013. The Medical Staff at SRMC has now elected Brad Cushnyr, M.D., one of chief radiologists and a member of the faculty at the UNM School of Medicine, as the next Chief of the Medical Staff of SRMC. A copy of his Curriculum Vitae is attached.

As stated previously, pursuant to the Amended and Restated Bylaws of SRMC, the Chief of the Medical Staff is to serve as a Director of SRMC. Accordingly, it is with great pleasure that I forward to you Dr. Cushnyr to serve as a Class C Director, who will assume Dr. Gonzalez’ term as a Director, which term ends on June 30, 2015. If I may, would you please advance Dr. Cushnyr for consideration by the UNM Health Sciences Center Board of Directors and final appointment by the UNM Board of Regents?

Thank you for your thoughtful consideration of these matters.
CURRICULUM VITAE

DATE:    July 15, 2013

NAME:    BRAD W. CUSHNYR, MD

PROFESSIONAL ADDRESS: Department of Radiology
                      MSC 10 5530
                      1 University of New Mexico
                      Albuquerque, NM 87131

MEDICAL LICENSURE: State of New Mexico     2008 - present
                  State Medical Board of Ohio  2003 - present
                  Michigan State Medical Board  2006 – present

CERTIFICATIONS: American Board of Radiology –November 2007
                Certificate of Added Qualification: Neuroradiology

EDUCATIONAL HISTORY:

Magnetic Resonance Fellowship                       July 2006 – June 2007
Michigan State University
East Lansing, MI

Canton Affiliated Hospitals
Northeast Ohio Universities College of Medicine
Canton, OH

Transitional Medicine Internship                   July 2001 – June 2002
Aultman Hospital
Northeast Ohio Universities College of Medicine
Canton, OH

Medical College of Ohio, Degree: M.D.             September 1996 – June 2001
Toledo, OH

Kent State University, Degree: B.A. Chemistry     September 1993 – May 1996
Kent, OH
Graduated Cum Laude
EMPLOYMENT HISTORY:

Sandoval Regional Medical Center  July 2012 – Present
Radiology Medical Director
Chief of Staff (Vice Chief of Staff, July 2012 –July 2013)
Chair: Medical Executive Committee, Quality Committee, Credentials Committee,
Pharmacy & Therapeutics

Associate Professor  July 2013-Present
(Assistant Professor, July 2009 – June 2013)
Department of Radiology
University of New Mexico-HSC

Raymond G. Murphy Medical Center  August 2009-June 2012
Director of Neuroradiology
Co-Director of Cardiac Imaging

Director of Magnetic Resonance Imaging  July 2007 – June 2009
Radiologist
Radiology Associates of Canton
Canton, OH
330-363-2842

Radiology Department Representative: Trauma Committee, Pharmacy and Therapeutics Committee, Aultman Hospital, Canton, Ohio.

Associate Program Director: Radiology Residency Program of Aultman Hospital, Canton Mercy Hospital and Northeastern Ohio Universities College of Medicine.

Barberton Citizens Hospital, Barberton, OH  January 2006 - June 2007
Locum Tenens Radiologist

General Superintendent  1991 - 1993
Gardner Construction Company
Riverside, CA

PROFESSIONAL ACTIVITIES:

MRI Fellow, Michigan State University  July 2006 – June 2007

My MRI Fellowship included training in all aspects of Magnetic Resonance Imaging including physics, protocol development and interpretation. My areas of special interest were Neuroradiology, Breast Imaging/Biopsy and Cardiac imaging.
Chief Resident, Radiology  

President, House Staff  
Aultman Hospital  
1st term:  
January - December 2003  
2nd term:  
January - December 2004

Graduate Medical Education and Research Committee  
Aultman Hospital  
January 2003 - present

Pharmacy and Therapeutics Committee  
Aultman Hospital  

Performance Improvement Committee  
Aultman Hospital  

Professional Affiliations:

American College of Radiology  
2002 – present
Radiological Society of North America  
2002 – present
American Medical Association  
1997 – 2011
Ohio State Medical Association  
1997 – 2009
Stark County Medical Society  
2001 – 2009

Original research or scholarly articles in refereed journals:

Haaland KY, Mutha PK, Rinehart JK, Daniels M, Cushnryr B, Adair JC.  

Cushnryr, B., Kim, B., Wallace, W., Sheppard, M.  
Surgical Correlation of Shoulder MR, Oral presentation, Aultman Research Day  
Aultman Hospital, Canton, OH  
June 10th, 2004


American Chemical Society Research Fellowship, June thru August, 1996. Organic synthesis of Janusene and investigation of its use as an organic metal ion trap.
FINANCE, AUDIT AND COMPLIANCE COMMITTEE

RESOLUTIONS RECOMMENDING APPROVAL OF UNM HOSPITAL (A) PURCHASE OF MEDTRONIC USA, INC. CARDIAC RHYTHM MANAGEMENT PRODUCTS AND ACCESSORIES, AND (B) RENTAL OF KCI USA, INC. WOUND V.A.C. THERAPY EQUIPMENT

WHEREAS, the management of UNM Hospital desires to purchase certain cardiac rhythm management products and accessories from Medtronic USA, Inc. utilizing its Novation GPO contracting as described in the materials submitted to the Finance, Audit and Compliance Committee (the “Committee”) of the UNM Health Sciences Center Board of Directors (the “HSC BoD”), consistent with the New Mexico Procurement Code (the “Cardiac Rhythm Management Purchase”);

WHEREAS, the management of UNM Hospital desires to rent certain wound V.A.C.® Therapy equipment from KCI USA, Inc. utilizing its Novation GPO contracting as described in the materials submitted to the Committee, consistent with the New Mexico Procurement Code (the “Wound VAC Rental”);

WHEREAS, the Finance Committee of the UNM Hospital Board of Trustees previously considered the proposed Cardiac Rhythm Management Purchase and the proposed Wound VAC Rental and determined to recommend approval of the same to the UNM Hospital Board of Trustees;

WHEREAS, the UNM Hospital Board of Trustees considered the proposed Cardiac Rhythm Management Purchase and the proposed Wound VAC Rental and determined to approve and recommend approval of the same to the HSC BoD, by and through this Committee;

WHEREAS, this Committee has considered these prior approvals, the materials provided to the Committee at its meeting relative to the proposed Cardiac Rhythm Management Purchase and the proposed Wound VAC Rental, satisfied itself that the requirements of the New Mexico Procurement Code with respect to the proposed Cardiac Rhythm Management Purchase and the proposed Wound VAC Rental have been complied with, finds and concludes that the proposed Cardiac Rhythm Management Purchase and the proposed Wound VAC Rental are in the best business and operational interest of UNM Hospital, its stakeholders and the UNM Health System and should be approved.

NOW, THEREFORE, BE IT RESOLVED that the Committee hereby recommends to the Board the approval of the proposed Cardiac Rhythm Management Purchase and the proposed Wound VAC Rental on the terms and subject to the conditions described in the materials provided to the Committee.


By: Conrad James, its Chair
UNM Hospital Board of Trustees
Recommendation to HSC Board of Directors Finance and Audit Committee
June 2013

Approval

1) Medtronic USA, Inc.

Ownership: 710 Medtronic Parkway NE
Minneapolis, MN 55432

Officers Information: President: William A. Hawkins
Vice President: Terrance L. Carlson

Source of Funds: UNM Hospitals Operating Budget

Description: Request to purchase Cardiac Rhythm Management products and accessories from Medtronic USA, Inc., through December 31, 2015. Products and accessories that will be purchased include Ablation Products (for Arrhythmias, Atrial Fibrillation and Surgery), Cardiac Monitors, Cardiac Resynchronization Therapy (CRT) Devices, Electrosurgical Products, Implantable Cardioverter Defibrillators (ICDs), Leads and Pacemakers.

Previous Contract: Medtronic USA, Inc.
Previous Term: Three years
Previous Year Operating Cost: $1,841,563

Process: Novation contract MS1403 valid through 12/31/2015

Total cost: depending on volume, up to $2,000,000 per fiscal year.

2) KCI USA, Inc.

Ownership: 8023 Vantage Dr.
San Antonio, TX 78230

Officers Information: President: Joseph F. Woody

Source of Funds: UNM Hospitals Operating Budget

Description: Rental equipment for wound V.A.C.® Therapy which promotes wound healing through Negative Pressure Wound Therapy (NPWT). Delivering negative pressure (a vacuum) at the wound site helps draw wound edges together, removes infectious materials and actively
promotes granulation at the cellular level. Equipment is also used for ABRera which enables healthcare professionals to achieve wound closure when managing a challenging open abdomen.

**Process:** Novation contract MS0490 valid through 02/28/2015

**Total cost:** up to $550,000
MEMORANDUM

To: Ava J. Lovell, Senior Executive Officer for Finance and Administration HSC

From: Bruce Cherrin, Chief Procurement Officer

Subject: Contract Information

Date: June 14, 2013

The following contracts have been submitted for information to the HSC Board of Directors’ Finance, Audit and Compliance Committee per Regents Policy 7.4.

1. HSC Library – Elsevier

Clinical Key is a database which provides bibliographic citations as well as online access to over 500 medical and surgical journals, 1,000 e-books, point of care information, images and videos, over 2,000 practice guidelines, and patient information. Access to the information in Clinical Key is in support of the HSC’s education, research, and clinical missions. Purchase is exempt per 13-1-98E.

Source of Funds: I & G Funds and Student Fees

Total Cost: Total over 3 years is $447,042.00
($137,704 year 1, $148,720 year 2, $160,618 year 3)
# Health Sciences Center

## Metrics

<table>
<thead>
<tr>
<th>Benchmark</th>
<th>FY 2009 3rd Quarter YTD</th>
<th>FY 2010 3rd Quarter YTD</th>
<th>FY 2011 3rd Quarter YTD</th>
<th>FY 2012 3rd Quarter YTD</th>
<th>FY 2013 3rd Quarter YTD</th>
<th>Fiscal Year Trend</th>
<th>FY 2013 April 30th YTD</th>
<th>FY 2013 May 31st YTD</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Net Income</strong></td>
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<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
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</tr>
<tr>
<td>HSC</td>
<td>$7,010</td>
<td>$1,408</td>
<td>$1,350</td>
<td>$2,736</td>
<td>$1,717</td>
<td>[Graph]</td>
<td>($1,910)</td>
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<tr>
<td>UNMMG</td>
<td>(87,121)</td>
<td>$1,082</td>
<td>$16,464</td>
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<td>$949</td>
<td>[Graph]</td>
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<td>UNMH</td>
<td>$8,012</td>
<td>$419</td>
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<td>[Graph]</td>
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<td>$6,670</td>
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<td>SRMC</td>
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<td>NA</td>
<td>NA</td>
<td>NA</td>
<td>$7,218</td>
<td>[Graph]</td>
<td>($7,571)</td>
<td>($8,689)</td>
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**Uncompensated Care**

<table>
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<tr>
<th>Benchmark</th>
<th>FY 2009 3rd Quarter YTD</th>
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<th>FY 2011 3rd Quarter YTD</th>
<th>FY 2012 3rd Quarter YTD</th>
<th>FY 2013 3rd Quarter YTD</th>
<th>Fiscal Year Trend</th>
<th>FY 2013 April 30th YTD</th>
<th>FY 2013 May 31st YTD</th>
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<tbody>
<tr>
<td>HSC - CRTC</td>
<td>$4,515</td>
<td>$2,071</td>
<td>$4,313</td>
<td>$3,808</td>
<td>$4,511</td>
<td>[Graph]</td>
<td>$5,159</td>
<td>$5,761</td>
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<td>UNMH</td>
<td>$93,174</td>
<td>$104,975</td>
<td>$121,869</td>
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<td>[Graph]</td>
<td>$158,123</td>
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<td>SRMC</td>
<td>NA</td>
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<td>NA</td>
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<td>FY 2011 3rd Quarter</td>
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<td>Fiscal Year Trend</td>
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<tr>
<td>-----------------------------------</td>
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<tr>
<td><strong>Days Cash on Hand</strong></td>
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<tr>
<td>Days of Expense in Discretionary</td>
<td>HSC</td>
<td>NA</td>
<td>NA</td>
<td>13.96</td>
<td>13.28</td>
<td>7.35</td>
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<td>Reserve Year End</td>
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<tr>
<td>Benchmark: 30 Days</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
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</tr>
<tr>
<td>ADMG 30 Days</td>
<td>UNMMG</td>
<td>$59.40</td>
<td>$58.10</td>
<td>32.50</td>
<td>$58.50</td>
<td>43.58</td>
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</tr>
<tr>
<td>UHC 75 Days</td>
<td>UNMH</td>
<td>$46.68</td>
<td>$53.71</td>
<td>66.20</td>
<td>$58.62</td>
<td>46.34</td>
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</tr>
<tr>
<td>UHC 75 Days</td>
<td>SRMC</td>
<td>NA</td>
<td>NA</td>
<td>NA</td>
<td>NA</td>
<td>NA</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Accounts Receivable Greater Than Ninety Days as of March 31st</strong></td>
<td></td>
<td></td>
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<td></td>
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</tr>
<tr>
<td>(Amounts are in thousands)</td>
<td></td>
<td></td>
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<td></td>
<td></td>
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</tr>
<tr>
<td>HSC</td>
<td>$1,904</td>
<td>$1,574</td>
<td>$1,160</td>
<td>$1,615</td>
<td>$298</td>
<td></td>
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</tr>
<tr>
<td></td>
<td>13%</td>
<td>13%</td>
<td>20%</td>
<td>15%</td>
<td>2%</td>
<td></td>
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</tr>
<tr>
<td>UNMMG</td>
<td>$56,659</td>
<td>$66,278</td>
<td>$54,797</td>
<td>$6,235</td>
<td>$4,466</td>
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</tr>
<tr>
<td></td>
<td>14%</td>
<td>19%</td>
<td>23%</td>
<td>19%</td>
<td>15%</td>
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<tr>
<td>UNMH</td>
<td>$483,372</td>
<td>$56,006</td>
<td>$570,864</td>
<td>$675,70</td>
<td>$62,862</td>
<td></td>
<td></td>
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</tr>
<tr>
<td></td>
<td>31%</td>
<td>29%</td>
<td>27%</td>
<td>25%</td>
<td>26%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>SRMC</td>
<td>NA</td>
<td>NA</td>
<td>NA</td>
<td>NA</td>
<td>$4,193</td>
<td>47%</td>
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</table>

* American College of Medical Practice Executives
** University HealthSystem Corporation
## Health Sciences Center
### Metrics
(Amounts are in thousands)

<table>
<thead>
<tr>
<th></th>
<th>FY 2009 3rd Quarter YTD</th>
<th>FY 2010 3rd Quarter YTD</th>
<th>FY 2011 3rd Quarter YTD</th>
<th>FY 2012 3rd Quarter YTD</th>
<th>FY 2013 3rd Quarter YTD</th>
<th>Trend</th>
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</thead>
<tbody>
<tr>
<td>Proposals Submitted</td>
<td>$183,355</td>
<td>$178,815</td>
<td>$186,997</td>
<td>$189,292</td>
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<tr>
<td>Awards</td>
<td>$84,891</td>
<td>$98,787</td>
<td>$111,526</td>
<td>$89,579</td>
<td>$93,060</td>
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<td>Earned F&amp;A</td>
<td>$14,392</td>
<td>$15,643</td>
<td>$17,499</td>
<td>$16,711</td>
<td>$15,572</td>
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<tr>
<td>Contract &amp; Grant Revenue/Net Revenue</td>
<td>22.45%</td>
<td>25.05%</td>
<td>26.53%</td>
<td>27.03%</td>
<td>25.49%</td>
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<td>Contract &amp; Grant Expense</td>
<td>$84,058</td>
<td>$87,255</td>
<td>$91,969</td>
<td>$89,970</td>
<td>$92,621</td>
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</tr>
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</table>
## UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER - University HSC Only*

2013 Fiscal Year-to-Date Summary through May 31, 2013 (Preliminary and Unaudited)

(In thousands)

<table>
<thead>
<tr>
<th>Category</th>
<th>University HSC FY 2013 Revised Budget</th>
<th>University HSC FY 2013 YTD 5/31/13</th>
<th>University HSC FY 2012 Year End Actual</th>
<th>University HSC FY 13 YTD Actual to FY 2013 Revised Budget Benchmark Rate (92%)</th>
<th>University HSC FY 13 YTD Actual to FY 2012 Year End Actual Benchmark Rate (92%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 UNM MEDICAL GROUP REVENUES</td>
<td>107,442</td>
<td>91,344</td>
<td>100,884</td>
<td>85%</td>
<td>89%</td>
</tr>
<tr>
<td>2 UNM HOSPITALS REVENUES</td>
<td>20,056</td>
<td>16,907</td>
<td>16,935</td>
<td>81%</td>
<td>91%</td>
</tr>
<tr>
<td>3 SIMC REVENUES</td>
<td>4,539</td>
<td>9,799</td>
<td>141</td>
<td>70%</td>
<td>70%</td>
</tr>
<tr>
<td>4 UNM CANCER CENTER CLINICAL REVENUES</td>
<td>29,701</td>
<td>24,540</td>
<td>22,683</td>
<td>83%</td>
<td>100%</td>
</tr>
<tr>
<td>5 TUITION AND FEES</td>
<td>12,729</td>
<td>13,131</td>
<td>12,046</td>
<td>100%</td>
<td>100%</td>
</tr>
<tr>
<td>6 CIGARETTE TAX APPROPRIATIONS</td>
<td>3,846</td>
<td>3,583</td>
<td>3,805</td>
<td>91%</td>
<td>78%</td>
</tr>
<tr>
<td>7 RRSP APPROPRIATIONS</td>
<td>13,924</td>
<td>14,597</td>
<td>14,768</td>
<td>92%</td>
<td>99%</td>
</tr>
<tr>
<td>8 &amp; G APPROPRIATIONS</td>
<td>60,083</td>
<td>55,040</td>
<td>56,358</td>
<td>92%</td>
<td>94%</td>
</tr>
<tr>
<td>9 &amp; G MAIN CAMPUS TRANSFERS</td>
<td>18,615</td>
<td>17,079</td>
<td>16,815</td>
<td>92%</td>
<td>102%</td>
</tr>
<tr>
<td>10 IRA REVENUES (OH RETURN)</td>
<td>28,600</td>
<td>19,722</td>
<td>22,709</td>
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<td>85%</td>
</tr>
<tr>
<td>11 HSC/UNM INTERNAL TRANSFERS</td>
<td>16,743</td>
<td>11,967</td>
<td>12,607</td>
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<td>83%</td>
</tr>
<tr>
<td>12 MIP AWARDS</td>
<td>14,600</td>
<td>5,352</td>
<td>9,211</td>
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<td>100%</td>
</tr>
<tr>
<td>13 OTHER REVENUES</td>
<td>12,495</td>
<td>1,955</td>
<td>13,037</td>
<td>95%</td>
<td>95%</td>
</tr>
<tr>
<td>14 CONTRACT AND GRANT REVENUES</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>TOTAL REVENUES</strong></td>
<td>507,395</td>
<td>464,648</td>
<td>486,442</td>
<td>92%</td>
<td>96%</td>
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<tr>
<td>15 TOTAL COMPENSATION EXPENSES</td>
<td>316,621</td>
<td>290,841</td>
<td>292,270</td>
<td>92%</td>
<td>100%</td>
</tr>
<tr>
<td>16 SUPPLIES/MEDICAL SUPPLIES</td>
<td>12,049</td>
<td>10,031</td>
<td>10,783</td>
<td>79%</td>
<td>93%</td>
</tr>
<tr>
<td>17 UNIVERSITY CLINICIANS PROGRAM</td>
<td>0</td>
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<td>0</td>
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<td>N/A</td>
</tr>
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<td>18 HOUSESTAFF</td>
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<td>N/A</td>
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<td>19 TRAVEL</td>
<td>4,940</td>
<td>4,186</td>
<td>4,162</td>
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<td>101%</td>
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<tr>
<td>20 STUDENT COSTS</td>
<td>3,096</td>
<td>1,937</td>
<td>2,100</td>
<td>63%</td>
<td>90%</td>
</tr>
<tr>
<td>21 PATIENT CARE COSTS</td>
<td>1,042</td>
<td>700</td>
<td>929</td>
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<td>75%</td>
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<td>22 TELEPHONE/COMMUNICATION COSTS</td>
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<td>2,055</td>
<td>2,165</td>
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<td>97%</td>
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<tr>
<td>23 PURCHASED SERVICES</td>
<td>23,804</td>
<td>21,302</td>
<td>22,538</td>
<td>99%</td>
<td>95%</td>
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<td>24 OTHER MEDICAL SERVICES</td>
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<td>0</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
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<tr>
<td>25 SUBCONTRACTS/SERVICE CONTRACTS</td>
<td>1,445</td>
<td>625</td>
<td>1,430</td>
<td>91%</td>
<td>58%</td>
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<tr>
<td>26 OBM BILLS/FEES</td>
<td>7,471</td>
<td>6,256</td>
<td>6,546</td>
<td>84%</td>
<td>96%</td>
</tr>
<tr>
<td>27 UTILITIES</td>
<td>4,499</td>
<td>4,380</td>
<td>4,303</td>
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<td>102%</td>
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<tr>
<td>28 DEPRESSION</td>
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<td>N/A</td>
<td>N/A</td>
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<td>29 OTHER EXPENSES</td>
<td>9,448</td>
<td>3,671</td>
<td>3,87</td>
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<td>948%</td>
</tr>
<tr>
<td>30 CONTRACT AND GRANT EXPENSES</td>
<td>116,454</td>
<td>111,106</td>
<td>126,422</td>
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<td>88%</td>
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<td><strong>TOTAL EXPENSES</strong></td>
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<td>457,340</td>
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<td>97%</td>
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<td>OPERATING NET MARGIN</td>
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<td>87%</td>
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<td>NON-OPERATING ITEMS</td>
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<td></td>
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<td></td>
<td></td>
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<td>31 CAPITAL EXPENDITURES</td>
<td>1,859</td>
<td>1,246</td>
<td>1,180</td>
<td>67%</td>
<td>106%</td>
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<tr>
<td>32 BUDGET AMERICA BONUS SUBSIDY</td>
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<td>0</td>
<td>N/A</td>
<td>N/A</td>
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<td>33 MEANINGFUL USE REVENUE</td>
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<td>0</td>
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<td>N/A</td>
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<tr>
<td>34 INTEREST EXPENSE</td>
<td>2,234</td>
<td>1,985</td>
<td>1,677</td>
<td>89%</td>
<td>119%</td>
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<tr>
<td>35 USE OF DEPARTMENTAL RESERVES</td>
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<td>N/A</td>
<td>N/A</td>
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<tr>
<td>36 RECRUITING/STARTUP/SCHOLARSHIPS</td>
<td>7,065</td>
<td>6,451</td>
<td>529</td>
<td>91%</td>
<td>110%</td>
</tr>
<tr>
<td>37 TRANSFERS TO PLANT</td>
<td>2,921</td>
<td>2,620</td>
<td>11,554</td>
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<td>23%</td>
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<td><strong>TOTAL NON-OPERATING ITEMS</strong></td>
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<td>14,950</td>
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<td>82%</td>
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<td>NET INCOME/USE OF RESERVES</td>
<td>(9,871)</td>
<td>(4,576)</td>
<td>(2,423)</td>
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### Clinical Operations

<table>
<thead>
<tr>
<th>Description</th>
<th>UNM Hospitals FY 2013 Revised Budget</th>
<th>UNM Hospitals FY 2013 YTD 5/31/13 Actual</th>
<th>UNM Hospitals FY 2012 Year-End Actual</th>
<th>UNM Hospitals FY 13 YTD Actual to FY 2013 Revised Budget Benchmark Rate (92%)</th>
<th>UNM Hospitals FY 13 YTD Actual to FY 2012 Year End Actual Benchmark Rate (92%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. UNM Medical Group Revenues</td>
<td>0</td>
<td>0</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
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<tr>
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<td>640,635</td>
<td>603,548</td>
<td>644,244</td>
<td>94%</td>
<td>94%</td>
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<tr>
<td>3. SRMC Revenues</td>
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<td>0</td>
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<td>N/A</td>
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<td>4. UNM Cancer Center Clinical Revenues</td>
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<td>5. Tuition and Fees</td>
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<td>N/A</td>
<td>N/A</td>
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<td>6. Cigarette Tax Appropriations</td>
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<td>N/A</td>
<td>N/A</td>
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<td>7. RPSAP Appropriations</td>
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<td>11,942</td>
<td>12,783</td>
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<td>97%</td>
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<td>9. IGR Main Campus Transfers</td>
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<td>N/A</td>
<td>N/A</td>
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<td>10. F&amp;A Revenues (OH Return)</td>
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<td>N/A</td>
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<td>11. IGR UNM Internal Transfers</td>
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<td>N/A</td>
<td>N/A</td>
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<td>12. Mill Levy</td>
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<td>83,396</td>
<td>90,166</td>
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<td>92%</td>
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<td>13. Other Revenues</td>
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<td>22,538</td>
<td>14,273</td>
<td>91%</td>
<td>158%</td>
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<tr>
<td>14. Contract and Grant Revenues</td>
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<td>4,517</td>
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<td>97%</td>
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<td><strong>Total Revenues</strong></td>
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<td>725,805</td>
<td>765,482</td>
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<td>95%</td>
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<tr>
<td>15. Total Compensation Expenses</td>
<td>390,109</td>
<td>365,220</td>
<td>384,925</td>
<td>92%</td>
<td>93%</td>
</tr>
<tr>
<td>16. Supplies/Medical Supplies</td>
<td>126,480</td>
<td>120,888</td>
<td>119,994</td>
<td>96%</td>
<td>101%</td>
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<tr>
<td>17. University Clinicians Program</td>
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<td>49,101</td>
<td>51,696</td>
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<td>95%</td>
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<tr>
<td>18. Housestaff</td>
<td>25,505</td>
<td>22,673</td>
<td>22,644</td>
<td>92%</td>
<td>100%</td>
</tr>
<tr>
<td>19. Travel</td>
<td>711</td>
<td>615</td>
<td>656</td>
<td>94%</td>
<td>94%</td>
</tr>
<tr>
<td>20. Student Costs</td>
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<td>0</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>21. Patient Care Costs</td>
<td>34,018</td>
<td>35,333</td>
<td>36,247</td>
<td>104%</td>
<td>97%</td>
</tr>
<tr>
<td>22. Telephone/Communication Costs</td>
<td>3,458</td>
<td>3,244</td>
<td>3,463</td>
<td>94%</td>
<td>94%</td>
</tr>
<tr>
<td>23. Purchased Services</td>
<td>26,816</td>
<td>21,201</td>
<td>22,040</td>
<td>95%</td>
<td>96%</td>
</tr>
<tr>
<td>24. Other Medical Services</td>
<td>19,948</td>
<td>19,344</td>
<td>16,600</td>
<td>99%</td>
<td>116%</td>
</tr>
<tr>
<td>25. Sub Awards/Service Contracts</td>
<td>8,032</td>
<td>7,346</td>
<td>8,007</td>
<td>91%</td>
<td>92%</td>
</tr>
<tr>
<td>26. O&amp;M &amp; Leases</td>
<td>14,640</td>
<td>13,460</td>
<td>16,078</td>
<td>93%</td>
<td>84%</td>
</tr>
<tr>
<td>27. Utilities</td>
<td>6,312</td>
<td>5,615</td>
<td>6,240</td>
<td>89%</td>
<td>90%</td>
</tr>
<tr>
<td>28. Depreciation</td>
<td>33,678</td>
<td>29,539</td>
<td>34,242</td>
<td>93%</td>
<td>85%</td>
</tr>
<tr>
<td>29. Other Expenses</td>
<td>20,647</td>
<td>18,684</td>
<td>41,002</td>
<td>90%</td>
<td>46%</td>
</tr>
<tr>
<td>30. Contract and Grant Expenses</td>
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<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td><strong>Total Expenses</strong></td>
<td>769,476</td>
<td>712,263</td>
<td>764,460</td>
<td>93%</td>
<td>93%</td>
</tr>
<tr>
<td><strong>Operating Net Margin</strong></td>
<td>4,137</td>
<td>13,542</td>
<td>1,022</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Non-Operating Items</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>31. Capital Expenditures</td>
<td>0</td>
<td>0</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>32. Build America Bond Subsidy</td>
<td>0</td>
<td>0</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>33. Meaningful Use Revenue</td>
<td>(191)</td>
<td>(255)</td>
<td>(8,791)</td>
<td>133%</td>
<td>3%</td>
</tr>
<tr>
<td>34. Interest Expense</td>
<td>7,768</td>
<td>7,527</td>
<td>7,659</td>
<td>92%</td>
<td>90%</td>
</tr>
<tr>
<td>35. Use of Departmental Reserves</td>
<td>0</td>
<td>0</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>36. Recruitment/Startup/Scholarships</td>
<td>0</td>
<td>0</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>37. Transfers to Plant</td>
<td>0</td>
<td>0</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td><strong>Total Non-Operating Items</strong></td>
<td>7,577</td>
<td>6,772</td>
<td>(832)</td>
<td>91%</td>
<td>&lt;82%</td>
</tr>
<tr>
<td><strong>Net Income</strong></td>
<td>(3,440)</td>
<td>6,670</td>
<td>1,854</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
**UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER - UNM MG Only**

2013 Fiscal Year-to-Date Summary through May 31, 2013 (Preliminary and Unaudited)

(In thousands)

### Clinical Operations

<table>
<thead>
<tr>
<th>Description</th>
<th>UNM MG FY 2013 Revised Budget</th>
<th>UNM MG FY 2013 YTD 5/31/13 Actual</th>
<th>UNM MG FY 2012 Year End Actual</th>
<th>UNM MG FY 13 YTD Actual to FY 2013 Revised Budget Benchmark Rate (92%)</th>
<th>UNM MG FY 13 YTD Actual to FY 2012 Year End Actual Benchmark Rate (92%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 UNM Medical Group Revenues</td>
<td>153,267</td>
<td>139,416</td>
<td>127,701</td>
<td>91%</td>
<td>109%</td>
</tr>
<tr>
<td>2 UNM Hospitals Revenues</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>3 SRMC Revenues</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>4 UNM Cancer Center Clinical Revenues</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>5 Tuition and Fees</td>
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<td>0</td>
<td>0</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>6 Cigarette Tax Appropriations</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>7 RSP Appropriations</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>8 JGG Appropriations</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>9 1&amp;G Main Campus Transfers</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>N/A</td>
<td>N/A</td>
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<tr>
<td>10 F&amp;A Revenues (OH Return)</td>
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<td>0</td>
<td>0</td>
<td>N/A</td>
<td>N/A</td>
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<tr>
<td>11 HSC/UNM Internal Transfers</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>12 Mill Levy</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>13 Other Revenues</td>
<td>2,853</td>
<td>2,238</td>
<td>1,817</td>
<td>78%</td>
<td>123%</td>
</tr>
<tr>
<td>14 Contract and Grant Revenues</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td><strong>Total Revenues</strong></td>
<td><strong>156,120</strong></td>
<td><strong>141,654</strong></td>
<td><strong>129,518</strong></td>
<td><strong>91%</strong></td>
<td><strong>109%</strong></td>
</tr>
<tr>
<td>15 Total Compensation Expenses</td>
<td>16,117</td>
<td>15,409</td>
<td>14,261</td>
<td>96%</td>
<td>106%</td>
</tr>
<tr>
<td>16 Supplies/Medical Supplies</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>N/A</td>
<td>N/A</td>
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<tr>
<td>17 University Clinicians Program</td>
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<td>0</td>
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<td>N/A</td>
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<tr>
<td>18 Housestaff</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>N/A</td>
<td>N/A</td>
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<tr>
<td>19 Travel</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>N/A</td>
<td>N/A</td>
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<tr>
<td>20 Student Costs</td>
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<td>0</td>
<td>0</td>
<td>N/A</td>
<td>N/A</td>
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<tr>
<td>21 Patient Care Costs</td>
<td>11,160</td>
<td>9,853</td>
<td>5,229</td>
<td>108%</td>
<td>188%</td>
</tr>
<tr>
<td>22 Telephone/Communication Costs</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>23 Purchased Services</td>
<td>126,497</td>
<td>112,815</td>
<td>102,511</td>
<td>89%</td>
<td>110%</td>
</tr>
<tr>
<td>24 Other goods/services</td>
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<td>0</td>
<td>0</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>25 Sub Awards/service contracts</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>N/A</td>
<td>N/A</td>
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<tr>
<td>26 OAM &amp; Leases</td>
<td>453</td>
<td>435</td>
<td>473</td>
<td>96%</td>
<td>92%</td>
</tr>
<tr>
<td>27 Utilities</td>
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<td>0</td>
<td>0</td>
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<td>N/A</td>
</tr>
<tr>
<td>28 Depreciation</td>
<td>516</td>
<td>381</td>
<td>488</td>
<td>74%</td>
<td>76%</td>
</tr>
<tr>
<td>29 Other expenses</td>
<td>4,487</td>
<td>4,990</td>
<td>4,600</td>
<td>103%</td>
<td>100%</td>
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<td>30 Contract and Grant Expenses</td>
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<td>N/A</td>
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<tr>
<td><strong>Total Expenses</strong></td>
<td><strong>159,231</strong></td>
<td><strong>143,492</strong></td>
<td><strong>127,562</strong></td>
<td><strong>90%</strong></td>
<td><strong>112%</strong></td>
</tr>
</tbody>
</table>

### Operating Net Margin

<table>
<thead>
<tr>
<th>Description</th>
<th>UNM MG FY 2013 Revised Budget</th>
<th>UNM MG FY 2013 YTD 5/31/13 Actual</th>
<th>UNM MG FY 2012 Year End Actual</th>
<th>UNM MG FY 13 YTD Actual to FY 2013 Revised Budget Benchmark Rate (92%)</th>
<th>UNM MG FY 13 YTD Actual to FY 2012 Year End Actual Benchmark Rate (92%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>31 Capital Expenditures</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>32 Build America Bond Subsidy</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>33 Meaningful Use Revenue</td>
<td>-2,650</td>
<td>-2,699</td>
<td>-1,636</td>
<td>102%</td>
<td>165%</td>
</tr>
<tr>
<td>34 Interest Expense</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>35 Use of Departmental reserves</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>36 Recruitment/StartUp/Scholarships</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>37 Transfers to plant</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td><strong>Total Non-Operating Items</strong></td>
<td><strong>-2,650</strong></td>
<td><strong>-1,446</strong></td>
<td><strong>4,826</strong></td>
<td><strong>55%</strong></td>
<td><strong>-30%</strong></td>
</tr>
</tbody>
</table>

### Net Income

<table>
<thead>
<tr>
<th>Description</th>
<th>UNM MG FY 2013 Revised Budget</th>
<th>UNM MG FY 2013 YTD 5/31/13 Actual</th>
<th>UNM MG FY 2012 Year End Actual</th>
<th>UNM MG FY 13 YTD Actual to FY 2013 Revised Budget Benchmark Rate (92%)</th>
<th>UNM MG FY 13 YTD Actual to FY 2012 Year End Actual Benchmark Rate (92%)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Net Income</strong></td>
<td><strong>-481</strong></td>
<td><strong>-392</strong></td>
<td><strong>2,870</strong></td>
<td><strong>-30%</strong></td>
<td><strong>-30%</strong></td>
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<tr>
<td></td>
<td>SRMC FY 2013 Revised Budget</td>
<td>SRMC FY 2013 YTD 5/31/13 Actual</td>
<td>SRMC FY 2012 Year End Actual</td>
<td>SRMC FY 13 YTD Actual to FY 2013 Revised Budget Benchmark Rate (92%)</td>
<td>SRMC FY 13 YTD Actual to FY 2012 Year End Actual Benchmark Rate (92%)</td>
</tr>
<tr>
<td>-------------------------</td>
<td>-----------------------------</td>
<td>---------------------------------</td>
<td>-------------------------------</td>
<td>---------------------------------------------------------------------</td>
<td>---------------------------------------------------------------------</td>
</tr>
<tr>
<td>1 UNM MEDICAL GROUP REVENUES</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>2 UNM HOSPITALS REVENUES</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>3 SRMC REVENUES</td>
<td>24,350</td>
<td>21,456</td>
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<td>88%</td>
<td>N/A</td>
</tr>
<tr>
<td>4 UNM CANCER CENTER CLINICAL REVENUES</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>5 TUITION AND FEES</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>6 CIGARETTE TAX APPROPRIATIONS</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>7 RSP APPROPRIATIONS</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>8 IGAP APPROPRIATIONS</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>9 IGAP MAIN CAMPUS TRANSFERS</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>10 IGAP REVENUES (CH RETURN)</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>11 HSC/UNM INTERNAL TRANSFERS</td>
<td>0</td>
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<td>0</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>12 MILL LEVY</td>
<td>20,154</td>
<td>19,893</td>
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<td>97%</td>
<td>N/A</td>
</tr>
<tr>
<td>13 OTHER REVENUES</td>
<td>223</td>
<td>244</td>
<td>48</td>
<td>109%</td>
<td>508%</td>
</tr>
<tr>
<td>14 CONTRACT AND GRANT REVENUES</td>
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<td>0</td>
<td>0</td>
<td>N/A</td>
<td>N/A</td>
</tr>
</tbody>
</table>

**TOTAL REVENUES**

<table>
<thead>
<tr>
<th></th>
<th>45,122</th>
<th>41,593</th>
<th>48</th>
<th>92%</th>
<th>-</th>
</tr>
</thead>
</table>

**TOTAL EXPENSES**

<table>
<thead>
<tr>
<th></th>
<th>51,084</th>
<th>45,089</th>
<th>6,561</th>
<th>88%</th>
<th>-</th>
</tr>
</thead>
</table>

**OPERATING NET MARGIN**

<table>
<thead>
<tr>
<th></th>
<th>(5,965)</th>
<th>(3,496)</th>
<th>(6,513)</th>
<th></th>
<th></th>
</tr>
</thead>
</table>

**NON-OPERATING ITEMS**

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<th></th>
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<th>0</th>
<th>0</th>
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<th>N/A</th>
</tr>
</thead>
<tbody>
<tr>
<td>31 CAPITAL EXPENDITURES</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>32 BUILD AMERICA BOND SUBSIDY</td>
<td>(2,100)</td>
<td>(1,051)</td>
<td>(1,227)</td>
<td>50%</td>
<td>45%</td>
</tr>
<tr>
<td>33 MEANINGFUL USE RECEIVED</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>N/A</td>
<td>N/A</td>
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<tr>
<td>34 INTEREST EXPENSE</td>
<td>7,007</td>
<td>6,244</td>
<td>6,228</td>
<td>89%</td>
<td>100%</td>
</tr>
<tr>
<td>35 USE OF DEPARTMENTAL RESERVES</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>36 RECRUITMENT/STARTUP/SCHOLARSHIPS</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>37 TRANSFERS TO PLANT</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>N/A</td>
<td>N/A</td>
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</table>

**TOTAL NON-OPERATING ITEMS**

<table>
<thead>
<tr>
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<th>4,907</th>
<th>5,193</th>
<th>3,901</th>
<th>106%</th>
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</table>

**NET INCOME/(USE OF RESERVES)**

<table>
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<tr>
<th></th>
<th>(10,872)</th>
<th>(8,689)</th>
<th>(10,415)</th>
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<th></th>
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### Clinical and Academic Operations

<table>
<thead>
<tr>
<th>Description</th>
<th>Consolidated HSC FY 2013 Revised Budget</th>
<th>Consolidated HSC FY 2013 YTD 5/31/13 Actual</th>
<th>Consolidated HSC FY 2012 Year End Actual</th>
<th>Consolidated HSC FY 13 YTD Actual to FY 2013 Revised Budget Benchmark Rate (92%)</th>
<th>Consolidated HSC FY 13 YTD Actual to FY 2012 Year End Actual Benchmark Rate (92%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 UNM MEDICAL GROUP REVENUES</td>
<td>260,709</td>
<td>230,760</td>
<td>230,585</td>
<td>89%</td>
<td>100%</td>
</tr>
<tr>
<td>2 UNM HOSPITALS REVENUES</td>
<td>732,691</td>
<td>692,755</td>
<td>736,517</td>
<td>94%</td>
<td>94%</td>
</tr>
<tr>
<td>3 SRMC REVENUES</td>
<td>38,289</td>
<td>31,255</td>
<td>141</td>
<td>82%</td>
<td>108%</td>
</tr>
<tr>
<td>4 UNM CAREER CENTER CLINICAL REVENUES</td>
<td>29,703</td>
<td>24,740</td>
<td>22,982</td>
<td>83%</td>
<td>108%</td>
</tr>
<tr>
<td>5 TUITION AND FEES</td>
<td>12,729</td>
<td>13,131</td>
<td>12,046</td>
<td>103%</td>
<td>109%</td>
</tr>
<tr>
<td>6 CIGARETTE TAX APPROPRIATIONS</td>
<td>3,296</td>
<td>3,013</td>
<td>3,885</td>
<td>91%</td>
<td>78%</td>
</tr>
<tr>
<td>7 RPSP APPROPRIATIONS</td>
<td>28,899</td>
<td>26,540</td>
<td>27,071</td>
<td>92%</td>
<td>98%</td>
</tr>
<tr>
<td>8 J&amp;G APPROPRIATIONS</td>
<td>69,089</td>
<td>55,040</td>
<td>58,358</td>
<td>92%</td>
<td>94%</td>
</tr>
<tr>
<td>9 J&amp;G MAIN CAMPUS TRANSFERS</td>
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<td>19,222</td>
<td>22,709</td>
<td>92%</td>
<td>85%</td>
</tr>
<tr>
<td>10 HSA REVENUES (OH RETURN)</td>
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<td>(3,243)</td>
<td>(8,196)</td>
<td>59%</td>
<td>40%</td>
</tr>
<tr>
<td>11 HSC/UNM INTERNAL TRANSFERS</td>
<td>111,526</td>
<td>103,289</td>
<td>90,166</td>
<td>93%</td>
<td>115%</td>
</tr>
<tr>
<td>12 MILL LEVY</td>
<td>35,102</td>
<td>36,687</td>
<td>29,857</td>
<td>105%</td>
<td>123%</td>
</tr>
<tr>
<td>13 OTHER REVENUES</td>
<td>129,345</td>
<td>123,412</td>
<td>138,553</td>
<td>95%</td>
<td>89%</td>
</tr>
<tr>
<td><strong>TOTAL REVENUES</strong></td>
<td><strong>1,482,251</strong></td>
<td><strong>1,373,700</strong></td>
<td><strong>1,381,490</strong></td>
<td><strong>93%</strong></td>
<td><strong>99%</strong></td>
</tr>
<tr>
<td>15 TOTAL COMPENSATION EXPENSES</td>
<td>752,500</td>
<td>692,288</td>
<td>695,464</td>
<td>92%</td>
<td>100%</td>
</tr>
<tr>
<td>16 SUPPLY/BUILDING SUPPLIES</td>
<td>165,512</td>
<td>136,891</td>
<td>208,609</td>
<td>98%</td>
<td>103%</td>
</tr>
<tr>
<td>17 UNIVERSITY CLINICIANS PROGRAM</td>
<td>58,188</td>
<td>51,775</td>
<td>51,696</td>
<td>89%</td>
<td>100%</td>
</tr>
<tr>
<td>18 HOUSESTAFF</td>
<td>25,505</td>
<td>22,696</td>
<td>22,664</td>
<td>89%</td>
<td>100%</td>
</tr>
<tr>
<td>19 TRAVEL</td>
<td>5,611</td>
<td>4,847</td>
<td>4,617</td>
<td>86%</td>
<td>101%</td>
</tr>
<tr>
<td>20 STUDENT COSTS</td>
<td>3,096</td>
<td>1,937</td>
<td>1,706</td>
<td>80%</td>
<td>96%</td>
</tr>
<tr>
<td>21 PATIENT CARE COSTS</td>
<td>49,384</td>
<td>46,188</td>
<td>42,040</td>
<td>98%</td>
<td>114%</td>
</tr>
<tr>
<td>22 TELEPHONE/COMMUNICATION COSTS</td>
<td>5,711</td>
<td>5,410</td>
<td>5,598</td>
<td>95%</td>
<td>97%</td>
</tr>
<tr>
<td>23 PURCHASED SERVICES</td>
<td>180,989</td>
<td>159,158</td>
<td>147,097</td>
<td>88%</td>
<td>108%</td>
</tr>
<tr>
<td>24 OTHER MEDICAL SERVICES</td>
<td>20,266</td>
<td>19,704</td>
<td>16,696</td>
<td>85%</td>
<td>100%</td>
</tr>
<tr>
<td>25 SUB AWARDS/ SERVICE CONTRACTS</td>
<td>9,627</td>
<td>8,520</td>
<td>9,437</td>
<td>89%</td>
<td>90%</td>
</tr>
<tr>
<td>26 O&amp;M &amp; LEASES</td>
<td>22,828</td>
<td>20,574</td>
<td>23,097</td>
<td>90%</td>
<td>89%</td>
</tr>
<tr>
<td>27 UTILITIES</td>
<td>11,368</td>
<td>10,522</td>
<td>10,542</td>
<td>93%</td>
<td>100%</td>
</tr>
<tr>
<td>28 DEPRECIATION</td>
<td>40,352</td>
<td>37,081</td>
<td>35,644</td>
<td>92%</td>
<td>104%</td>
</tr>
<tr>
<td>29 OTHER EXPENSES</td>
<td>35,590</td>
<td>27,479</td>
<td>48,338</td>
<td>77%</td>
<td>57%</td>
</tr>
<tr>
<td>30 CONTRACT AND GRANT EXPENSES</td>
<td>115,459</td>
<td>111,106</td>
<td>126,423</td>
<td>95%</td>
<td>88%</td>
</tr>
<tr>
<td><strong>TOTAL EXPENSES</strong></td>
<td><strong>1,482,982</strong></td>
<td><strong>1,358,184</strong></td>
<td><strong>1,372,499</strong></td>
<td><strong>92%</strong></td>
<td><strong>99%</strong></td>
</tr>
<tr>
<td><strong>OPERATING NET MARGIN</strong></td>
<td><strong>(731)</strong></td>
<td><strong>15,516</strong></td>
<td><strong>8,991</strong></td>
<td><strong>67%</strong></td>
<td><strong>106%</strong></td>
</tr>
<tr>
<td><strong>NON-OPERATING ITEMS</strong></td>
<td><strong>1,859</strong></td>
<td><strong>1,246</strong></td>
<td><strong>1,180</strong></td>
<td><strong>67%</strong></td>
<td><strong>106%</strong></td>
</tr>
<tr>
<td>31 CAPITAL EXPENDITURES</td>
<td>(2,100)</td>
<td>(1,051)</td>
<td>(2,327)</td>
<td><strong>50%</strong></td>
<td><strong>45%</strong></td>
</tr>
<tr>
<td>32 BUILD-AMERICA BOND SUBSIDY</td>
<td>(2,841)</td>
<td>(2,954)</td>
<td>(10,427)</td>
<td><strong>104%</strong></td>
<td><strong>28%</strong></td>
</tr>
<tr>
<td>33 MEANINGFUL USE REVENUE</td>
<td>17,049</td>
<td>15,250</td>
<td>15,864</td>
<td><strong>90%</strong></td>
<td><strong>92%</strong></td>
</tr>
<tr>
<td>34 INTEREST EXPENSE</td>
<td>7,065</td>
<td>6,430</td>
<td>539</td>
<td><strong>91%</strong></td>
<td><strong>119%</strong></td>
</tr>
<tr>
<td>35 USE OF DEPARTMENTAL RESERVES</td>
<td>2,921</td>
<td>2,620</td>
<td>11,554</td>
<td><strong>90%</strong></td>
<td><strong>23%</strong></td>
</tr>
<tr>
<td><strong>TOTAL NON-OPERATING ITEMS</strong></td>
<td><strong>23,913</strong></td>
<td><strong>22,903</strong></td>
<td><strong>22,845</strong></td>
<td><strong>96%</strong></td>
<td><strong>100%</strong></td>
</tr>
<tr>
<td><strong>NET INCOME/USE OF RESERVES</strong></td>
<td><strong>(24,643)</strong></td>
<td><strong>(7,387)</strong></td>
<td><strong>(13,854)</strong></td>
<td><strong>67%</strong></td>
<td><strong>106%</strong></td>
</tr>
<tr>
<td>Category</td>
<td>Description</td>
<td>UNMG</td>
<td>UNM Hospital</td>
<td>SRMC</td>
<td></td>
</tr>
<tr>
<td>----------------------------------------</td>
<td>-----------------------------------------------------------------------------</td>
<td>-----------------------</td>
<td>---------------------------------------------------------</td>
<td>-----------------------</td>
<td></td>
</tr>
<tr>
<td>1. UNM Medical Group Revenues</td>
<td>Clinical revenue primarily based on Physician or Provider efforts and collection through UNMMG</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td></td>
</tr>
<tr>
<td>2. UNM Hospitals Revenues</td>
<td>Revenue from University Hospital to the School of Medicine</td>
<td>N/A</td>
<td>Hospital facility revenue based on patient billings by UNM</td>
<td>N/A</td>
<td></td>
</tr>
<tr>
<td>3. SRMC Revenues</td>
<td>Revenue from SRMC to the School of Medicine</td>
<td>N/A</td>
<td>Hospital facility revenue based on patient billings by SRMC</td>
<td>N/A</td>
<td></td>
</tr>
<tr>
<td>4. UNM Cancer Center Clinical Revenues</td>
<td>Cancer Center patient revenue posted from the global billings and Infusion Suite net income, Clinical Adult Infusion Suite/NO/MO</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td></td>
</tr>
<tr>
<td>5. Tuition and Fees</td>
<td>Tuition &amp; Fees, including tuition differentials</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td></td>
</tr>
<tr>
<td>6. Cigarette Tax Appropriations</td>
<td>Revenues received from the State as a calculated portion of Tax Revenue on the sale of tobacco products for use in Cancer Research</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td></td>
</tr>
<tr>
<td>7. RPSP Appropriations</td>
<td>Revenue received for current operations made available to UNM by act of NM State Legislature on an annual basis for Research, Public Service and Independent Operations</td>
<td>N/A</td>
<td>Revenue received for current operations made available to UNM by act of NM State Legislature on an annual basis for Research, Public Service and Independent Operations</td>
<td>N/A</td>
<td></td>
</tr>
<tr>
<td>8. I&amp;G Appropriations</td>
<td>Revenue received for current operations made available to UNM by act of NM State Legislature on an annual basis for I&amp;G operations</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td></td>
</tr>
<tr>
<td>9. I&amp;G Main Campus Transfers</td>
<td>Partial transfer of tuition and formula workload generated by Nursing, Pharmacy and SOM</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td></td>
</tr>
<tr>
<td>10. F&amp;A Revenues (OH Return)</td>
<td>Revenue from recovery of indirect costs (F&amp;A) incurred by a Contract or Grant also known as overhead return</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td></td>
</tr>
<tr>
<td>11. HSC/UNM Internal Transfers</td>
<td>All transfers to/from HSC Unrestricted Funds excluding the I&amp;G Main Campus Transfer and Transfers for Debt Service</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td></td>
</tr>
<tr>
<td>12. Mill Levy</td>
<td>N/A</td>
<td>N/A</td>
<td>Mill levy taxes collected on behalf of the Bernalillo Country Treasurer and remitted to UNMH as required by the Hospital Funding Act.</td>
<td>Mill levy taxes collected on behalf of the Bernalillo Country Treasurer and remitted to SRMC as required by the Hospital Funding Act.</td>
<td></td>
</tr>
<tr>
<td>13. Other Revenues</td>
<td>All other Revenues - not contained in any other category - Sales &amp; Services (not Internal Service Center P&amp;L sales), Gains on Sponsored Projects, Gifts, Encowment Spending Distributions, Investment Income, etc.</td>
<td>Other operating revenues</td>
<td>All other revenues not contained in any other category: Investment income, equity loss in Tricore, donated revenue, gain/loss on sale of assets, food, nutrition, catering &amp; vending revenue, medical records revenue, rent revenue, all other non-operating revenue</td>
<td>All other revenues not contained in any other category: Investment income, donated revenue, gain/loss on sale of assets, food, nutrition, catering &amp; vending revenue, medical records revenue, rent revenue, all other non-operating revenue</td>
<td></td>
</tr>
<tr>
<td>14. Contract and Grant Revenues</td>
<td>All Restricted Funds Revenue and Unrestricted Contract Revenue for example VA contracts</td>
<td>N/A</td>
<td>Revenue associated with restricted and unrestricted contracts and grants</td>
<td>N/A</td>
<td></td>
</tr>
<tr>
<td>Financial Statement Category</td>
<td>UVM</td>
<td>UNM MG</td>
<td>UVM Hospitals</td>
<td>SRMC</td>
<td></td>
</tr>
<tr>
<td>-----------------------------</td>
<td>-----</td>
<td>--------</td>
<td>---------------</td>
<td>------</td>
<td></td>
</tr>
<tr>
<td><strong>15 TOTAL COMPENSATION EXPENSES</strong></td>
<td>Salary, wages &amp; benefits</td>
<td>Salaries and benefits considered to be operating and certain clinical expenses of UNM MG</td>
<td>Salary, wages &amp; benefits</td>
<td>Salary, wages &amp; benefits</td>
<td></td>
</tr>
<tr>
<td><strong>16 SUPPLIES/MEDICAL SUPPLIES</strong></td>
<td>Office supplies, computer supplies, dues &amp; memberships, postage charges, recruitment expenses, non capital equipment, computers, food, lab supplies, uniforms, training materials, etc.</td>
<td>N/A</td>
<td>Medical supplies for: lab, radiology, blood, pharmaceuticals, biologics, implantable devices, office supplies, computer supplies, photocopier expense, forms, linen, food, uniforms, and training materials.</td>
<td>Medical supplies for: lab, radiology, blood, pharmaceuticals, biologics, implantable devices, office supplies, computer supplies, photocopier expense, forms, linen, food, uniforms, and training materials.</td>
<td></td>
</tr>
<tr>
<td><strong>17 UNIVERSITY CLINICIANS PROGRAM</strong></td>
<td>N/A</td>
<td>N/A</td>
<td>Special clinician program to support SOM</td>
<td>Special clinician program to support SOM</td>
<td></td>
</tr>
<tr>
<td><strong>18 HOUSESTAFF</strong></td>
<td>N/A</td>
<td>N/A</td>
<td>Reimbursement of patient care services provided by residents who are employed by SOM.</td>
<td>Reimbursement of patient care services provided by SOM through UNM MG.</td>
<td></td>
</tr>
<tr>
<td><strong>19 TRAVEL</strong></td>
<td>Travel - in state, out of state, foreign, business meals, rental vehicles, new employee moving expenses, vehicle fuel etc.</td>
<td>N/A</td>
<td>Travel - in state, out of state, business meals, rental vehicles, flights, ground transportation, vehicle fuel etc.</td>
<td>Travel - in state, out of state, business meals, rental vehicles, flights, ground transportation, vehicle fuel etc.</td>
<td></td>
</tr>
<tr>
<td><strong>20 STUDENT COSTS</strong></td>
<td>Student tuition/fee expense, student travel, scholarships/fellowships, housing, insurance for students, student awards, etc.</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td></td>
</tr>
<tr>
<td><strong>21 PATIENT CARE COSTS</strong></td>
<td>Patient Care costs for inpatient, outpatient care &amp; lab, pharmacy and x-ray services for patients</td>
<td>Patient Care costs for inpatient, outpatient care including Tricore laboratory expense, OR instruments, NM Insurance pool premiums, kidney acquisition, step down care (SDF) for IV dependent patients, radiation oncology and patient assistance</td>
<td>Patient Care costs for inpatient, outpatient care including Tricore laboratory expense, OR instruments, NM Insurance pool premiums, kidney acquisition, step down care (SDF) for IV dependent patients, radiation oncology and patient assistance</td>
<td>Patient Care costs for inpatient, outpatient care including Tricore laboratory expense, OR instruments, NM Insurance pool premiums, kidney acquisition, step down care (SDF) for IV dependent patients, radiation oncology and patient assistance</td>
<td></td>
</tr>
<tr>
<td><strong>22 TELEPHONE/COMMUNICATION COSTS</strong></td>
<td>Telephone, voicemail, cellular, long distance, paging and data networking charges</td>
<td>N/A</td>
<td>Telephone, voicemail, cellular, long distance, paging and data networking charges</td>
<td>Telephone, voicemail, cellular, long distance, paging and data networking charges</td>
<td></td>
</tr>
<tr>
<td><strong>23 PURCHASED SERVICES</strong></td>
<td>Alarm fees, internet fees, architectural services, auditing services, printing/copying/binding fees, conference/event fees, honoraria, insurance charges (general liability, professional liability), legal services, electronic journals &amp; books, consultant fees, etc.</td>
<td>Includes payment to UNM SOM for physician and other provider services</td>
<td>Recruitment, professional, legal, auditing, consulting fees, promotional/graphics, IT Hosting Corner, Siemens and PACS, safety and risk services, equifex, laundry, malpractice and liability insurance, etc.</td>
<td>Recruitment, professional, legal, auditing, consulting fees, promotional/graphics, IT Hosting Corner, Siemens and PACS, safety and risk services, equifex, laundry, malpractice and liability insurance, etc.</td>
<td></td>
</tr>
<tr>
<td><strong>24 OTHER MEDICAL SERVICES</strong></td>
<td>N/A</td>
<td>N/A</td>
<td>Pathology contract, physician services, Executive Medical Directors, Medical Directors, dialysis services for UNM Care patients, OMl MOU, Adult Infusion net</td>
<td>Physician services</td>
<td></td>
</tr>
<tr>
<td><strong>25 SUB AWARDS/SERVICE CONTRACTS</strong></td>
<td>Unrestricted Sub Awards, Gain/Loss on Unrestricted Projects</td>
<td>N/A</td>
<td>All service contracts</td>
<td>All service contracts</td>
<td></td>
</tr>
<tr>
<td><strong>26 O&amp;M &amp; LEASES</strong></td>
<td>Plant maintenance and repairs, equipment repairs and maintenance, property insurance, auto insurance, facility rent expense</td>
<td>Building maintenance and repairs, equipment repairs and maintenance, repair parts, equipment rent, property insurance, auto insurance, facility rent expense, and housekeeping supplies</td>
<td>Building maintenance and repairs, equipment repairs and maintenance, repair parts, equipment rent, property insurance, auto insurance, facility rent expense, and housekeeping supplies</td>
<td>Building maintenance and repairs, equipment repairs and maintenance, repair parts, equipment rent, property insurance, auto insurance, facility rent expense, and housekeeping supplies</td>
<td></td>
</tr>
<tr>
<td>FINANCIAL STATEMENT CATEGORY</td>
<td>UNM</td>
<td>UNM:MG</td>
<td>UNM: Hospitals</td>
<td>SRMC</td>
<td></td>
</tr>
<tr>
<td>------------------------------</td>
<td>------</td>
<td>--------</td>
<td>----------------</td>
<td>------</td>
<td></td>
</tr>
<tr>
<td>27 UTILITIES</td>
<td>Natural gas, electricity, steam, sewer, water, chilled water</td>
<td>N/A</td>
<td>Natural gas, electricity, steam, sewer, water, chilled water</td>
<td>Natural gas, electricity, steam, sewer, water, chilled water</td>
<td></td>
</tr>
<tr>
<td>28 DEPRECIATION</td>
<td>N/A</td>
<td>The annual amortization for the furniture and equipment.</td>
<td>The annual amortization for the capital outlay associated with building, building improvement, fixed and moveable equipment which is based on the estimated useful lives of the assets as determined by the AHA &quot;Estimated useful lives of Depreciable Hospital Assets&quot;.</td>
<td>The annual amortization for the capital outlay associated with building, building improvement, fixed and moveable equipment which is based on the estimated useful lives of the assets as determined by the AHA &quot;Estimated useful lives of Depreciable Hospital Assets&quot;.</td>
<td></td>
</tr>
<tr>
<td>29 OTHER EXPENSES</td>
<td>Banking fees, cost of goods sold, research costs, royalties, bad debt expense, other operating costs, Banner tax, etc.</td>
<td>Billings &amp; collections expenses and other operating expenses of UNM:MG</td>
<td>Programming, application, software and maintenance expenses, non capital equipment (less than $5k), signs, dues &amp; memberships, freight, postage, subscriptions, licenses/permits, Gap Tax, Intergovernmental Transfers for the SCI and IME, bond issuance costs, capital initiatives and other non-operating expenses.</td>
<td>Programming, application, software and maintenance expenses, non capital equipment (less than $5k), signs, dues &amp; memberships, freight, postage, subscriptions, licenses/permits, Gap Tax, Intergovernmental Transfers for the SCI and IME, bond issuance costs, capital initiatives and other non-operating expenses.</td>
<td></td>
</tr>
<tr>
<td>30 CONTRACT AND GRANT EXPENSES</td>
<td>All Restricted Funds Expense and Unrestricted Contract Expense for example VA contracts</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td></td>
</tr>
<tr>
<td>31 CAPITAL EXPENDITURES</td>
<td>Equipment/furniture &gt;$5,000, Computer hardware, library acquisitions, etc.</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td></td>
</tr>
<tr>
<td>32 BUILD AMERICA BOND SUBSIDY</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>SRMC receives subsidy payments related to interest payments under the federal Build America Bond program. Under the program SRMC applies for subsidy funds commensurate with each bond payment, so the application for the subsidy is made semiannually.</td>
<td></td>
</tr>
<tr>
<td>33 MEANINGFUL USE REVENUE</td>
<td>N/A</td>
<td>Medicare and Medicaid programs provide incentive payments for hospital and physicians that make meaningful use of certified electronic health record technology.</td>
<td>Medicare and Medicaid programs provide incentive payments for hospital and physicians that make meaningful use of certified electronic health record technology.</td>
<td>N/A</td>
<td></td>
</tr>
<tr>
<td>34 INTEREST EXPENSE</td>
<td>Transfers to cover debt service</td>
<td>N/A</td>
<td>Interest expense associated with the series 2004 FHA Insured Hospital Mortgage Revenue Bonds.</td>
<td>Interest expense associated with the series A &amp; B Bonds.</td>
<td></td>
</tr>
<tr>
<td>35 USE OF DEPARTMENTAL RESERVES</td>
<td>N/A</td>
<td>To fund and support the mission of the Health Sciences Center.</td>
<td>N/A</td>
<td>N/A</td>
<td></td>
</tr>
<tr>
<td>36 RECRUITMENT/STARTUP/ SCHOLARSHIPS</td>
<td>Chair and Startup Packages, Dean's Scholars/Professorships, and Recruitment Costs</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td></td>
</tr>
<tr>
<td>37 TRANSFERS TO PLANT</td>
<td>Transfers from current unrestricted funds to plant funds.</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td></td>
</tr>
<tr>
<td>Assets</td>
<td>University HSC</td>
<td>UNM Hospitals</td>
<td>UNMMG</td>
<td>SRMC</td>
<td></td>
</tr>
<tr>
<td>--------------------------------------------</td>
<td>----------------</td>
<td>---------------</td>
<td>-------</td>
<td>------</td>
<td></td>
</tr>
<tr>
<td>1 Cash and cash equivalents</td>
<td>20,175</td>
<td>76,728</td>
<td>16,658</td>
<td>389</td>
<td></td>
</tr>
<tr>
<td>2 Marketable securities</td>
<td>30,263</td>
<td>34,153</td>
<td>-</td>
<td>-</td>
<td></td>
</tr>
<tr>
<td>Receivables:</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3 Patient, net</td>
<td>1,011</td>
<td>69,493</td>
<td>20,738</td>
<td>13,636</td>
<td></td>
</tr>
<tr>
<td>4 Receivable from Affiliates</td>
<td>44,023</td>
<td>5,671</td>
<td>4,676</td>
<td>-</td>
<td></td>
</tr>
<tr>
<td>5 Estimated third party payor settlements</td>
<td>-</td>
<td>14,510</td>
<td>-</td>
<td>-</td>
<td></td>
</tr>
<tr>
<td>6 County Treasurer</td>
<td>-</td>
<td>18,165</td>
<td>-</td>
<td>6,108</td>
<td></td>
</tr>
<tr>
<td>7 Contracts, Grants and Other</td>
<td>18,516</td>
<td>47,228</td>
<td>-</td>
<td>-</td>
<td></td>
</tr>
<tr>
<td>8 Other</td>
<td>1,204</td>
<td>14,880</td>
<td>1,670</td>
<td>1,917</td>
<td></td>
</tr>
<tr>
<td>Total Current Assets</td>
<td>115,192</td>
<td>280,828</td>
<td>43,742</td>
<td>22,050</td>
<td></td>
</tr>
</tbody>
</table>

Assets whose use is limited:

<table>
<thead>
<tr>
<th>Assets</th>
<th>University HSC</th>
<th>UNM Hospitals</th>
<th>UNMMG</th>
<th>SRMC</th>
</tr>
</thead>
<tbody>
<tr>
<td>9 Held by trustee for debt service &amp; other</td>
<td>-</td>
<td>41,741</td>
<td>-</td>
<td>14,219</td>
</tr>
<tr>
<td>10 By UNM Hospital Board of Trustees</td>
<td>-</td>
<td>18,913</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>11 Board and Other Designated Assets</td>
<td>81,535</td>
<td>-</td>
<td>30,205</td>
<td>-</td>
</tr>
<tr>
<td>12 Endowments</td>
<td>95,556</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>13 Capital Assets, net of depreciation</td>
<td>284,568</td>
<td>258,029</td>
<td>1,015</td>
<td>145,612</td>
</tr>
<tr>
<td>TOTAL ASSETS</td>
<td>576,851</td>
<td>599,511</td>
<td>74,962</td>
<td>181,681</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Liabilities</th>
<th>University HSC</th>
<th>UNM Hospitals</th>
<th>UNMMG</th>
<th>SRMC</th>
</tr>
</thead>
<tbody>
<tr>
<td>14 Accounts Payable</td>
<td>11,827</td>
<td>31,399</td>
<td>5,103</td>
<td>2,543</td>
</tr>
<tr>
<td>15 Other accrued liabilities</td>
<td>28,787</td>
<td>41,223</td>
<td>-</td>
<td>1,628</td>
</tr>
<tr>
<td>16 Payable to Affiliates</td>
<td>709</td>
<td>26,856</td>
<td>23,363</td>
<td>3,442</td>
</tr>
<tr>
<td>17 Bonds payable - current</td>
<td>856</td>
<td>5,240</td>
<td>-</td>
<td>4,700</td>
</tr>
<tr>
<td>18 Interest payable bonds</td>
<td>569</td>
<td>3,351</td>
<td>-</td>
<td>6,218</td>
</tr>
<tr>
<td>19 Estimated third party payor settlements</td>
<td>-</td>
<td>14,068</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>20 Bonds payable - non current</td>
<td>19,042</td>
<td>159,420</td>
<td>-</td>
<td>135,588</td>
</tr>
<tr>
<td>21 Other liabilities - non current</td>
<td>22,599</td>
<td>7,426</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>22 Sponsored Project Deferred Revenue</td>
<td>8,943</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>TOTAL LIABILITIES</td>
<td>93,332</td>
<td>288,983</td>
<td>28,466</td>
<td>154,119</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Net Position</th>
<th>University HSC</th>
<th>UNM Hospitals</th>
<th>UNMMG</th>
<th>SRMC</th>
</tr>
</thead>
<tbody>
<tr>
<td>23 Restricted - expendable grants, bequests and contributions</td>
<td>97,474</td>
<td>12,641</td>
<td>-</td>
<td>25,599</td>
</tr>
<tr>
<td>24 Restricted - trust indenture and debt agreement</td>
<td>-</td>
<td>24,974</td>
<td>30,205</td>
<td>-</td>
</tr>
<tr>
<td>25 Committed and Dedicated agreements</td>
<td>119,372</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>26 Discretionary Reserve</td>
<td>2,083</td>
<td>162,994</td>
<td>15,276</td>
<td>-</td>
</tr>
<tr>
<td>27 Assets invested in Capital, net of related debt</td>
<td>264,670</td>
<td>109,919</td>
<td>1,015</td>
<td>2,163</td>
</tr>
<tr>
<td>TOTAL NET POSITION</td>
<td><strong>483,519</strong></td>
<td><strong>318,528</strong></td>
<td><strong>46,496</strong></td>
<td><strong>27,762</strong></td>
</tr>
</tbody>
</table>

Current Ratio 2.69  2.30  1.54  2.15
Days Cash on Hand N/A 37.72 44.80 137.00
# Glossary of Report Categories

## Assets

<table>
<thead>
<tr>
<th></th>
<th>University HSC</th>
<th>UNM Hospitals</th>
<th>UNMMG</th>
<th>SRMC</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Cash and cash equivalents</td>
<td>Funds on deposit with Financial Institution with UNM</td>
<td>Funds on deposit with Financial Institution</td>
<td>Funds on deposit with Financial Institution</td>
</tr>
<tr>
<td>2</td>
<td>Marketable securities</td>
<td>Funds invested in securities in accordance with UNM Investment policy</td>
<td>Funds invested in US Treasury</td>
<td>N/A</td>
</tr>
<tr>
<td>3</td>
<td>Patient, net</td>
<td>Pediatric/Newborn Transport Services, net of estimated contractual allowances and estimated bad debt</td>
<td>Patient Receivable after adjustments for discounts and allowances</td>
<td>Patient Receivable for care provided by UNM SOM facility and other practitioners, net of estimated contractual allowances and estimated bad debt</td>
</tr>
<tr>
<td>4</td>
<td>Receivable from Affiliates</td>
<td>Related Party Receivables for goods or services provided to UNM Hospitals, UNMMG and SRMC</td>
<td>Related Party Receivable from University HSC and SRMC</td>
<td>Related Party Receivable for negative arbitrage for SRMC</td>
</tr>
<tr>
<td>5</td>
<td>Estimated third party payor settlements</td>
<td>N/A</td>
<td>Accounts Receivable for IME/GME/DSH</td>
<td>N/A</td>
</tr>
<tr>
<td>6</td>
<td>County Treasurer</td>
<td>N/A</td>
<td>Bernalillo County Mil Levy Receivable</td>
<td>Sandoval County Mil Levy Receivable</td>
</tr>
<tr>
<td>7</td>
<td>Contracts, Grants and Other</td>
<td>Sponsored project receivables and other services invoiced by UNM such as DM1, Resident Physician contracts, etc.</td>
<td>Accounts Receivable from Contracts/UNM MG/Special Billing/Investments</td>
<td>N/A</td>
</tr>
<tr>
<td>8</td>
<td>Other</td>
<td>Prepaid contractual obligations, such as library subscription services and maintenance contacts</td>
<td>Prepaid Expense and Inventory</td>
<td>Pharmacy Inventory</td>
</tr>
<tr>
<td>9</td>
<td>Held by trustee for debt service &amp; other</td>
<td>N/A</td>
<td>Debt/Mortgage reserve funds to include collateral and intangible assets</td>
<td>N/A</td>
</tr>
<tr>
<td>10</td>
<td>By UNM Hospital Clinical Operations Board</td>
<td>N/A</td>
<td>Investment in TriWest/Tricare Reference Laboratory</td>
<td>N/A</td>
</tr>
<tr>
<td>11</td>
<td>Board and Other Designated Assets</td>
<td>Funds dedicated to UNM Hospitals Capital Initiatives and unexpended plant funds</td>
<td>N/A</td>
<td>Department, SOM Investment in UNMMG and Quality Reserves</td>
</tr>
</tbody>
</table>

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The University of New Mexico Health Sciences Center
<table>
<thead>
<tr>
<th>Category</th>
<th>University HSC</th>
<th>UNM Hospitals</th>
<th>UNMMG</th>
<th>SRMC</th>
</tr>
</thead>
<tbody>
<tr>
<td>12 Endowments</td>
<td>Balance of endowed corpus funds generating revenue according to donor intent</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td><strong>LIABILITIES</strong></td>
<td><strong>Unpaid balances owed to vendors for goods and services; amounts owed to employees for expense reimbursements</strong></td>
<td><strong>Amount owed to creditors</strong></td>
<td><strong>Unpaid balances owed to vendors for goods and services; accrued payroll and other payroll benefits and taxes</strong></td>
<td><strong>Unpaid balances owed to vendors for goods and services</strong></td>
</tr>
<tr>
<td>14 Accounts Payable</td>
<td>Unpaid balances owed to vendors for goods and services; amounts owed to employees for expense reimbursements</td>
<td>Amount owed to creditors</td>
<td>Unpaid balances owed to vendors for goods and services; accrued payroll and other payroll benefits and taxes</td>
<td>Unpaid balances owed to vendors for goods and services</td>
</tr>
<tr>
<td>15 Other accrued liabilities</td>
<td>Payroll withholding liabilities and estimated value of compensated absences</td>
<td>Accrued liabilities to include payroll, compensated benefit, and Incurred but not Recognized (IBNR) State Coverage Insurance</td>
<td>N/A</td>
<td>Accrued liabilities to include payroll, compensated benefits and other payroll benefits and taxes</td>
</tr>
<tr>
<td>16 Payable to Affiliates</td>
<td>Unpaid balances for services provided by UNM Hospitals, and UNMMG</td>
<td>Unpaid balances for services provided by University HSC for purchased services, special arrangements, and indigent distribution</td>
<td>Unpaid balances for services provided by University HSC and UNMMG</td>
<td>Unpaid balances for services provided by University HSC and UNMMG</td>
</tr>
<tr>
<td>17 Bonds payable - current</td>
<td>Debt service payment that will be due within the next twelve months</td>
<td>Series 2004 Bonds payable in next year - FHA Insured Hospital Mortgage Revenue Bonds</td>
<td>N/A</td>
<td>Debt service payment that will be due within the next twelve months</td>
</tr>
<tr>
<td>18 Interest payable bonds</td>
<td>Interest Payable on outstanding debt</td>
<td>Interest Payable Series 2004 Bonds (FHA Insured Hospital Mortgage Revenue Bonds)</td>
<td>N/A</td>
<td>Interest Payable on Bonds and Mortgage</td>
</tr>
<tr>
<td>19 Estimated third party payor settlements</td>
<td>N/A</td>
<td>Medicare/Medicaid Settlements</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>20 Bonds payable - non current</td>
<td>Outstanding balance due in the long-term to State of New Mexico for bond funding</td>
<td>Series Bonds Payable in the long-term (FHA Insured Hospital Mortgage Revenue Bonds)</td>
<td>N/A</td>
<td>Outstanding balance due in the long-term for FHA Insured Hospital Revenue Bonds</td>
</tr>
<tr>
<td>21 Other liabilities - non current</td>
<td>Estimated future cost associated with Other Post Retirement Benefits (OPEB) and self-funded health insurance plan</td>
<td>Estimated future cost associated with Other Post Employment Benefits (OPEB) and bond premiums</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>22 Sponsored Project Deferred Revenue</td>
<td>Prepaid contract and grant revenue</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td></td>
<td>University HSC</td>
<td>UNM Hospitals</td>
<td>UNMMG</td>
<td>SRMC</td>
</tr>
<tr>
<td>---</td>
<td>--------------------------------------------------------------------------------</td>
<td>--------------------------------------------------------------------------------</td>
<td>----------------------------------------------------------------------</td>
<td>----------------------------------------------------------------------</td>
</tr>
<tr>
<td>23</td>
<td>Restricted - expendable grants, bequests and contributions</td>
<td>Restricted monies used in accordance with donor intent, contracts and bequests</td>
<td>N/A</td>
<td>Capital contributions from University HSC and UNM Hospitals</td>
</tr>
<tr>
<td>24</td>
<td>Restricted - trust indenture and debt agreement</td>
<td>N/A</td>
<td>Restricted monies for Debt Service, Collateral, and Mortgage Reserve</td>
<td>Department, SOM Investment in UNMMG and Quality Fund Balances</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>N/A</td>
</tr>
<tr>
<td>25</td>
<td>Committed and Dedicated agreements</td>
<td>Funds that have been committed for future expenditures. Dedicated funds have a clear focused purpose with for specific use.</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>26</td>
<td>Discretionary Reserve</td>
<td>Remaining fund balance after accounting for all restricted, committed and dedicated funds</td>
<td>Chief operating fund for all financial resources except those accounted for in another fund</td>
<td>Other operating reserves</td>
</tr>
</tbody>
</table>
Minutes of the Meeting of the
UNM Health Sciences Center Board of Directors
June 7, 2013
Domenici Center for Health Sciences Education Bldg., Room 3010

Board of Directors present: Regents Suzanne Quiller, Brad Hosmer, and Conrad James, and Directors Michael Olguin, Mel Eaves, and Ann Rhoades
UNM Leaders present: Regent Gene Gallegos, Regent James Koch, Regent Heidi Overton, and President Bob Frank
HSC Leaders present: Chancellor Paul Roth, Executive Vice Chancellor Richard Larson and other members of the HSC senior leadership
Others present: members of the HSC faculty and staff

Regent Suzanne Quillen, Chair, called the meeting of the Health Sciences Center Board of Directors (the “Board”) to order at 2:09 p.m. The Chair noted that a quorum of the members of the Board was present and established.

Approval of Agenda

A motion was made to adopt the agenda. Regent Quillen requested that the agenda be amended to move Section VIII, Information Items, to follow the Chancellor’s Administrative Report and that Section VI, Public Comment, be moved to follow the Information Items. A motion was made to adopt the published Agenda, as amended. The motion was seconded. There was no discussion. The Motion passed with a vote of 6-0-0.

Chancellor’s Report

Chancellor Roth announced the death of the first dean of the UNM School of Medicine, Dr. Reginald Fitz and noted that the School of Medicine will be celebrating its 50th anniversary in 2014 and the School has only had four deans over that time span and therefore the School has had the good fortune of having sustainable leadership throughout. He gave brief background on Dr. Fitz’s career and leadership at the School. Working with Dr. Fitz’s family, the School will be honoring his memory. Dr. Roth welcomed the new president of the UNM Staff Council Mr. Gene Henley and recognized Ms. Mary Clark’s work as the immediate past president of Staff Council. He announced that the UNM Hospital earned Top Ten Diversity ranking in the U.S. In Research, the Patient Centered Outcomes Research Institute recently announced it will be distributing grants in the total amount of $88 million and the Health Sciences Center has received three separate grants focusing on how we can improve outcomes for patient care. Dr. Roth added background on NIH and Translational Research; the UNM Health Sciences Center has received $20 million in awards over five years from NIH in support of Translational Research. He announced that with Dr. Richard Larson’s leadership, a new program that created a consortium among
western states, partnering to consolidate data bases and collectively be able to understand how the populations that we serve are managed, helping to make statistically significant assumptions based on outcomes. Dr. Roth introduced Ms. Elsa Cole, the new UNM University Counsel. He concluded by asking Mr. Scot Sauder to update the Board on the Supreme Court case Fisher v. University of Texas, regarding aspects of affirmative action; Mr. Sauder provided a synopsis of this case regarding admissions policies and, depending upon the outcome of the case, preparing any necessary changes to the HSC admissions policies. Discussion.

Regent Quillen welcomed Regents Gallegos and Koch to the meeting. Note: Regent Overton did not arrive until much later in the meeting.

Approval of Minutes of Prior Meeting

Regent Quillen made an amendment to the Minutes that they are inclusive of the Resolutions referred to within the Minutes, copies of which were not originally included but copies of which were added to the Board’s agenda books prior to the meeting. A motion was made to approve the Minutes of the May 3, 2013 meeting of the Board, as amended. The motion was seconded. The motion passed with a vote of 6-0-0.

UNM Health System Strategic Plan Rationale

Dr. Richard Larson introduced a representative of Kurt Salmon & Associates (KSA) and outlined how the Strategic Plans for both the Health System and the Health Sciences Center would be presented. Dr. Roth briefly gave a history of what led to the creation of the plan itself, pointing out that the agenda included the report from The Chartis Group that studied the governance structure of the Health Sciences Center in 2010 and subsequent recommendations they made to the President and Regents that led to the current version of the Regents’ Policies. He noted that, generally speaking, the point of the evolution of the HSC over the past three years has been to better position the HSC for health care reform in order that the institution not only survives in the new environment but flourishes; the intent also to make sure the HSC remains highly successful in the teaching and research missions and that all three missions are balanced, honoring the HSC’s obligation to the State of New Mexico to produce the next generation of the health professional work force; the HSC has a strong obligation to understand the health care needs of the New Mexico populations; a huge part of the HSC’s mission is the delivery of complex and tertiary care services throughout the State of New Mexico and in the provision of comprehensive health care for central New Mexico – preparing for all the changes associated with health care reform we wanted to have a proper governance structure, proper infrastructure, and specific programs that have been initiated to take advantage of the opportunities that the Affordable Care Act will bring academic health center and to New Mexico. Dr. Larson referred to two documents, HSC Strategic Plan Draft, and Measure of Vision 2020 Metrics, and presented an overview of information previously presented to the Board of Regents and HSC Board of Directors which highlights the reminder that the Health Sciences Center is in an era of potential great change and challenge not only because of
the Affordable Care Act but because of the challenges that face us in education and research. He spoke to the extensive strategic planning process in developing a new vision, mission and value statement and goals, strategies and tactics, and incorporating both the Health System and the academics, infrastructure, etc. into one master strategic plan, presented in draft form at today’s meeting. Dr. Larson pointed out that with the initiation of President Frank’s planning process for the University, the HSC has participated and kept HSC goals aligned with the goals, activities, and planning of that process as well. Dr. Larson mentioned vision, mission and values and strategic plans and goals; review. He pointed out that, instead of taking the tack that most institutions take (i.e., be the premiere choice of the communities in New Mexico), the HSC decided it’s vision would be to take some of the responsibility for improving the health of our communities and health care in New Mexico and use the HSC’s education, research and clinical missions in an integrated manner to accomplish it. He commented that, at the special request of Regent Hosmer, metrics were produced and included in the presentation with five major measures of the HSC vision: 1) address work force needs; 2) advancing care; 3) improve the general health of the New Mexico communities; 4) improving immunization; and 5) improving health; how these metrics tie into the Affordable Care Act requirements and reported to CDC, allowing the HSC to have national metrics; reported on how metrics were designed. Discussion. Dr. Larson highlighted the six HSC goals (and strategies, tactics, indicators) related to the vision and mission success and how selected key priorities produce Dr. Roth’s performance plan. He noted that a retreat of HSC leadership is planned for end of June to further finalize.

Mr. Steve McKernan noted that at the last HSC Board of Directors’ meeting, the Health System Strategic Plan was presented but today’s presentation provided more detail on rationale. He introduced Mr. Farzan Bharucha, representative of Kurt Salmon Associates (KSA) who presented the draft UNM Health System Strategic Plan, concluded in 2012 – process review (KSA’s experience, objectives, participation (more than 150 interviews, town halls, meetings with leadership, retreats, focus groups, etc.)); ACA national trends (transition, national trends, New Mexico dynamics); situation assessment summary (conclusions, providers in New Mexico, state’s health statistics, state’s use rates, future patient demand, UNM Health System patient origin, inpatient focus, bed constraints, practice variation, ambulatory platform); strategic framework (integration with University, overview, guiding principles, vision and goals, high priority strategies, impact); and financial implications (baseline with no interventions, incremental to baseline, payer mix resulting from Reform, incremental to baseline). Discussion on the rapid increase in Diabetes, obesity, contributing factors, etc. Dr. Roth commented on the need to concentrating on lowering childhood obesity and the resulting benefits derived from addressing childhood obesity (lowering cases of heart disease, stroke, cancer, Diabetes, etc.); the HSC will be paying special attention to this in the future. Discussion on uncompensated care and how New Mexico compares to other states – New Mexico rates ‘high’; mil levy in 2016, communicating to the state’s population the dollar amount of how much uncompensated care UNM provides. President Frank recommended a strategy that looks more at uncompensated care, adding that “Presbyterian seems to be doing well in the compensated care market....” Discussion on data on uncompensated care – access to specialists, ability of service, facilities, and other components are critical, along with the insurance piece. Mr. Bharucha stated, “Most academic medical centers do not exist in markets in which the competitor institutions all have their own health plans and, therefore, have a relatively locked-in base of
commercially insured patients.” Discussion. Need a proactive communication/media effort to clarify that UNMH is not a public hospital. Discussion on patient access, ability of compensated patients to penetrate the system due to volume of uncompensated care patients. Mr. McKernan added that competitiveness and uncompensated care goals are included in the strategic plan, just not shown in the depth of today’s high level overview.

Reports from HSC Board of Directors Committees

Finance, Audit, and Compliance Committee (FACC)

Regent Conrad James, FACC Chair provided an introduction.

FACC Information Items

Ms. Ava Lovell presented metrics on the financial status of the Health Sciences Center through the end of April 2013 on Net Income, Uncompensated Care, Days Cash on Hand, Accounts Receivable Greater Than 90 Days as of March 31, 2013.

Update on Sandoval Regional Medical Center, Inc.

Mr. Steve McKernan provided an update on the recovery plan, adding that a detailed update had been provided at the FACC meeting; moving in positive direction.

Due to time constraints and with regrets extended, Regent Quillen tabled the SEARCH Project information item, of great personal interest to Regent Koch, until the next meeting.

FACC Action Items

Regent James, Chair, provided an introduction on the two action items coming forward from FACC.

Request for Capital Project Approval for UNMH Main – Safety – Pharmacy Ordering and Picking Area

Mr. McKernan provided rationale on the request for the Capital Project approval of the UNMH Main – Safety – Pharmacy Ordering and Picking Area (in an older portion of the Hospital); approximately $655,000 and necessary to bring the Hospital up to Code; Resolution provided. A Motion was made to approve; second; Motion passed with a vote of 6-0-0.

Request for Approval for UNMH Contracts with Covidien, Healthcare Laundry, Inc., United Parcel Service, City of Albuquerque, and Ricoh USA, Inc.
Mr. McKernan provided rationale on the contracts with Covidien, Healthcare Laundry, Inc., United Parcel Service, City of Albuquerque, and Ricoh USA, Inc. A Resolution provided. A motion was made to approve; second; Motion passed with a vote of 6-0-0.

Public Comment

Two members of the community gave public comment on the topics of UNMH negotiations with medical transcriptionists, UNMH as a business in the community, UNMH budget and wages/benefits.

The HSC Board of Directors gathered for a group photo.

A motion was made to close the open session and move into executive session; second; with a vote of 5-0-0 in favor. Note: Director Olguin was not in the room and did not vote. Motion passed and the open meeting was adjourned.

The HSC Board of Directors then met in Executive Session.

Following the Executive Session, a motion was made to reconvene in open meeting session and certification that only those items described in X of the agenda were discussed in Executive Session; second; with a vote of 6-0-0 in favor.

Minutes were prepared by Patrice Martin on June 12, 2013.

Approval of Minutes:

\[ Signature \]
Regent Suzanne Quillen, Chair

\[ Date \]
8-2-13

Attachments:

1. Resolution Recommending Approval of UNM Hospitals’ Capital Project Approval for Main Hospital – Safety – Pharmacy Order & Picking Area.
2. Resolutions Recommending Approval of UNM Hospitals’ Proposed Purchase Transactions.
WHEREAS, the management of UNM Hospital seeks approval to renovate approximately 1,223 square feet of its existing pharmacy compounding area located on fourth floor of the Main Hospital, all as described on Exhibit A attached to these Resolutions (the "Proposed Capital Project"), consistent with Regents Policy 7.12 and as required by the New Mexico Higher Education Department and State Board of Finance;

WHEREAS, the Finance Committee of the UNM Hospital Board of Trustees previously considered each of the Proposed Capital Project and determined to recommend approval of the same to the UNM Hospital Board of Trustees;

WHEREAS, the UNM Hospital Board of Trustees considered the Proposed Capital Project and determined to approve and recommend approval of the same to the UNM Health Sciences Center Board of Directors (the "Board"), by and through the UNM Health Center's Board of Directors' Finance, Audit, and Compliance Committee ("FACC");

WHEREAS, the FACC considered each of these prior approvals and considered the Proposed Capital Project and determined to approve and recommend approval of the same to the Board;

WHEREAS, the Board has considered these prior approvals and recommendations for approval, the materials and information provided to the Board relative to the Proposed Capital Project, finds and concludes that the Proposed Capital Project is in the best business and operational interest of UNM Hospital, its stakeholders and the UNM Health System and should be approved.

NOW, THEREFORE, BE IT RESOLVED that the Board hereby approves and recommends to the Board of Regents the approval of UNM Hospital's Proposed Capital Project on the terms and subject to the conditions described in the Exhibit A materials provided to this Board.

ADOPTED BY A VOTE OF THE MEMBERS OF THE HEALTH SCIENCES CENTER BOARD OF DIRECTORS AT A MEETING HELD ON JUNE 7, 2013

By: Suzanne Quillen, Its.Chair
REQUEST FOR CAPITAL PROJECT APPROVAL for
UNMH MAIN -SAFETY - PHARMACY ORDER & PICKING AREA
JUNE 11, 2013, UNM BOARD OF REGENTS.

REQUESTED ACTION:
In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the
New Mexico Higher Education Department and New Mexico State Board of Finance, project
approval is requested for UH Main – Safety –Pharmacy Order & Picking Area- Renovation.

PROJECT DESCRIPTION:
This is a 1,223 sq. ft., project, located on the fourth floor of the Main Hospital at 2211 Lomas NE
in Albuquerque. The project includes demolition of the old compounding area, to create space
for new Order and Picking equipment and fixtures. New mechanical, electrical and plumbing
infrastructure will be constructed to support new equipment and fixtures. The new technologies
this project provides for will: Improve patient safety by preventing medication errors, improve
overall pharmacy efficiency, and reduce labor associated with stocking, filling prescriptions,
checking prescriptions and inventory, in addition to reducing drug inventory.

PROJECT RATIONALE:
This project is necessary to improve patient safety and process efficiency with automated
medication dispensing. The technology prevents medication errors due to distraction and fatigue,
reduces pharmacy labor and lowers drug inventory. The hospital pharmacy carousel automates;
medication storage, selection, returns and restocks for over 90% of regular hospital’s daily
medication volume. This form of automation increases medication filling accuracy to 99.9%,
cuts pharmacist checking labor by 90%, reduces technician labor by 72%, trims inventory
between 10% and 20%, and reduces expired medication cost by 54%.

FUNDING:
The total estimated Project Budget is $655,000:

FY-14 Construction Renovation $655,000

$655,000 is funded from UNM Hospital FY-14 Capital Budget.
WHEREAS, the management of UNM Hospital desires to enter into the purchase transactions identified and described on Exhibit A attached to these Resolutions (each, a "Proposed Purchase" and, collectively, the "Proposed Purchases"), the methodology for each of which appears to be consistent with the New Mexico Procurement Code;

WHEREAS, the Finance Committee of the UNM Hospital Board of Trustees previously considered each of the Proposed Purchases and determined to recommend approval of the same to the UNM Hospital Board of Trustees;

WHEREAS, the UNM Hospital Board of Trustees considered each of the Proposed Purchases and determined to approve and recommend approval of the same to the UNM Health Sciences Center Board of Directors (the "Board"), by and through the UNM Health Center's Board of Directors' Finance, Audit, and Compliance Committee ("FACC");

WHEREAS, the FACC considered each of these prior approvals and considered each of the Proposed Purchases and determined to approve and recommend approval of the same to the Board;

WHEREAS, the Board has considered each of these prior approvals and recommendations for approval, the materials and information provided to the Board relative to the Proposed Purchases, satisfied itself that the requirements of the New Mexico Procurement Code with respect to each of the Proposed Purchases have been complied with, finds and concludes that each of the Proposed Purchases is in the best business and operational interest of UNM Hospital, its stakeholders and the UNM Health System and should be approved.

NOW, THEREFORE, BE IT RESOLVED that the Board hereby approves and recommends to the Board of Regents the approval of UNM Hospital's Proposed Purchases on the terms and subject to the conditions described in the Exhibit A materials provided to this Board.


By: [Signature]

Suzanne Quillen, its Chair
UNM Hospital Board of Trustees
Recommendation to HSC Board of Directors Finance and Audit Committee
May 2013

Approval

(1) Covidien

Ownership:
Covidien Holding Inc.
15 Hampshire Street
Mansfield, MA 02048

Officers Information:
John W. Kapples
Matthew J. Nicolella
Geoffrey Kupferschmid

Source of Funds: UNM Hospitals Operating Budget

Description
Request for three and a half year agreement to purchase Covidien Customer Optimization Plus Program for patient monitoring equipment and consumable supplies (Nellcor brand sensors and oximetry sensors). This contract will allow for purchase of patient monitoring equipment via ‘Award Credits’ earned when commitment of 85% of consumable products are purchased. UNM Hospital currently purchases these consumables under a similar agreement. This agreement is to acquire the additional, upgraded monitoring equipment.

Process
Novation MS0681

Total Consumable Supplies Cost: Annual cost range for consumable supplies range from $1,350,000 to $1,600,000.

Total Equipment Cost: Equipment to be acquired through awards credits is as follows:
- BIS VISTA Bilateral monitoring system (quantity 17) $62,900
- INVOS 5100C Cerebral/Somatic Oximeter System (quantity 4) $80,000
- Capno2stream 20 Nellcor No Printer (quantity 25) $98,750
- GCX Roll Stand (quantity 1) $412
- Mounting Plate (quantity 1) $7
- Carryover from previously contract pulse oximetry machines $131,074
Total Equipment to be acquired through awards credits $373,206
(2) Healthcare Laundry Inc.

Ownership:
Healthcare Laundry Services, LLC
7600 Los Volcanes Road NW, Suite A
Albuquerque, NM  87121

Officers Information:
Timothy M. Sheehan

Source of Funds: UNM Hospitals Operating Budget

Description

Request for a four year agreement with Healthcare Laundry Inc. to provide laundry services for UNM Hospitals through October 31, 2017. Services provide for all medical laundry including: sheets, pillow cases, blankets, towels, patient gowns and surgical scrubs. The new agreement has a price increase from $0.40 to $0.41 cents per pound, resulting in an estimated annual increase of $50,000.

Previous Contract: Healthcare Laundry Services
Previous Term: Four Years
Previous Operating Contract Amount: $1,519,276

Process
Sole Source

Total Cost: Dependent on volumes, ranges from $1,500,000 to $1,600,000 per fiscal year.

(3) United Parcel Service

Ownership:
United Parcel Service, Inc.
55 Glenlake Parkway
Atlanta, GA  30328

Officers Information:
D. Scott Davis
Kurt P. Kuehn
Teri P. McClure

Source of Funds: UNM Hospitals Operating Budget

Previous Contract: United Parcel Service
Previous Approval: Three Years
Previous Year Expenditure: $600,000

Description

Requesting three year approval for United Parcel Services to provide all incoming and outgoing freight services.

Process
Federal Contract adopted by State of New Mexico GSD. Freight is also exempt under the State Procurement Code 13-1-98F.

Total Cost: Dependent on volumes, ranges from $600,000 to $750,000 per fiscal year.
(4) City of Albuquerque

Source of Funds: UNM Hospitals Operating Budget

Description
Request for three year approval for water and sewer services for all of UNM Hospitals and outpatient clinics.

Process
Public Utilities, exemption

Total Cost: Depends on usage, ranges from $580,000 to $625,000 per fiscal year.

(5) Ricoh USA, Inc. (previously Ikon Office Solutions)

Ownership:
Ricoh USA, Inc.
P.O. Box 834
Valley Forge, PA 19482

Officers Information:
President: Jeffrey W. Hickling
Vice President: Henry M. Miller
Secretary: Mark A. Hershey
Treasurer: Dennis Dispenziere

Source of Funds: UNM Hospitals Operating Budget

Previous Contract: Ikon Office Solutions
Previous Term: Three Years
Previous Operating Contract Amount: $500,000 to $625,000 per fiscal year

Description
Request for agreement through March 20, 2017 (with option to renew annually through March 20, 2020) with Ricoh USA to distribute, manage, and supply copier machines and supplies for UNM Hospitals and off site clinics.

Process
University of New Mexico RFP 4165-12

Total Cost: Dependent on volumes, ranges from $500,000 to $625,000 per fiscal year.
Two major items:

A) Improved student advisement
B) Enrollment status Interim report (Honors College Update, Microaid, 15 CR Tuition Strategy, Summer Early Start).

A) Improved student advisement:

Task: given to Academic Affairs (AP Heileman, AVP Babbitt) by President Frank on May 15, 2013 to build a fully functional Degree Plans web application by Bastille Day (July 14, 2013). The goals of the project are to:

- Make all degree plans available online (previously stored in spreadsheets).
- Allow students and advisors to easily view and track progress against 4-year plans.
- Allow potential students to explore the various degree offerings we have by:
  > Their interests
  > College
  > Keyword search

Skunkworks team (Wild Weasel) pulled together from various entities across campus in late May. Followed an agile development process that involved:

- Initial web application and database design - late May, early June
- Styling of web pages – June
- Cloud-based deployment – June
- Data entry (programs, degree plans, courses, degree requirements, interests, etc.) and continued refinement – June/July/Aug.
- Additional functionality, e.g., curriculum graphs, analytics, student progress overlaid on degree plans – going forward.

Total man-hours to date: 1600

The web application is available “unofficially” at: degrees.unm.edu. Site Analytics are being collected.

Future plans:

- Work with units to provide all program descriptions, crucial courses and missing degree plans.
- Create degree plans for transfer students, study abroad programs, etc.
- Tool tips for all courses listed in degree plans.
- Leverage analytics capabilities we now have to inform student success activities.

B) Enrollment Status Interim Report:

1) Honors College Update, August 2013

Recruitment

In the year leading up to formal establishment of the Honors College and in anticipation of its approval, Enrollment Management supported a multiple contact, aggressive recruitment strategy to attract top students to UNM generally and to Honors in particular. Those contacts included:

- Phone calls by current Honors students to potential recruits,
- Brochure, post card and “mini-card” distribution,
- Outreach to high school counselors at their fall on-campus event,
- Dean letter (or email) to approximately 1000 high-achieving UNM applicants,
- Coordinated campus visits, including individual faculty meetings.

Enrollment Objectives

Our two complementary objectives are to (1) increase the number of high-achieving students who enroll at UNM, and (2) increase the participation rates of students in Honors. Our numbers are preliminary because students are still enrolling. Final counts will be available after the fall semester census.

(1) Enrollment of high-achieving students at UNM generally.

Preliminary enrollment numbers indicate:

- 7% increase in the number of entering New Mexico students with ACT of 29+;
- 9.5% increase in students (NM and elsewhere) with ACT of 26+.

(2) Enrollment in Honors

Prior to creation of the Honors College, approximately 1000 students (~5% of our undergraduate student body) participated in Honors; typically fewer than 100 (about 2.5% of UNM graduates) graduated each year from the Honors Program. We set a long-term goal of doubling the number of Honors students, achievable if we recruit more than 500 freshmen and retain them over their undergraduate years.

Preliminary freshman numbers (students continue to enroll through mid-August):

- **Admitted to Honors:**
  
  Fall 2012 (final): 439  
  Aug 1, 2013: 558  
  Change: +119, +27%

- **Enrolled in a Fall Honors Course:**
  
  Fall 2012 (final): 325  
  Aug 1, 2013: 382  
  Change: +57, +17.5%
2) Microaid, 15 CR Tuition Strategy, Summer Early Start:

**Microaid**
UNM has partnered with NMEFCU to develop microaid opportunity for UNM students. Key components include:
- Easy application and short turnaround
- Academic progress based forgiveness incentives
- Financial capability course required to obtain funding (4 session program coinciding with ½ terms - provided by NMEFCU)

The UNM/NMEFCU concept has gained national attention and exposure winning a national award from a prominent national consulting group specializing in improving governmental services such as financial aid delivery.

The initial phase will have $150,000 available for approx. 100 students in year one.

**15 Credit Hour Tuition Strategy**
The restructuring of UNM's tuition block to allow 15-18 hour course loads at a less expensive cost than 12-14 hour course loads has been successful to this point (August 1, 2013) as measured by an increase of 15% in the number of students registering for 15 hours. The shift to higher loads has resulted in a 2% increase in undergraduate credit hours with the same headcount (see Figure 1).

**Summer Early Start Program**
The Summer ESP was piloted on a voluntary basis for students with an ACT test score below a 17 which would in most instances place them in three remedial courses. The idea is to encourage them to get more preparation for college course work before beginning a full semester. These students have a six-year graduation rate of 20% and we are failing them if we do not require extra preparation as well as assistance.

UNM data fr students in this category who take summer courses prior to their initial fall semester gain early momentum with a 6-8% increase in retention to the second semester and an increase of 4-7 credit hours accumulated by the end of their first year.

The plan for beginning freshmen of 2014 will require students below a 17 composite ACT to begin in summer and take appropriate course work.
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Figure 1: Point in Time Comparison of Fall 2012 and Fall 2013 Enrollment, July 29, 2013.
Phlebotomy

Program Description

a. What is the program and why should we offer it? (Include the program’s major goals).

Certified Phlebotomy Technician PBT 101L (8 cr):

This course prepares students to become an American Society for Clinical Pathology (ASCP) certified Phlebotomy Technician (PBT). Topics include anatomy, safety, blood-drawing techniques, preparation of patient specimens, equipment maintenance, clerical duties, HIPAA (Health Insurance Portability and Accountability Act) regulations as well as customer service, work and job retention skills.

Course Objectives: Certification is granted upon successful completion of an apprentice program at a clinical worksite. Instruction is geared toward preparation for the national certification exam, however, completion of the course qualifies students for immediate employment.

b. How does the program fit within the Branch’s mission?

The program provides an example of the Branch Mission Statement: a quality education and a lifetime of success. Students gain both a viable skill which prepares them for employment upon completion, and an entry level requirement for future education in the PBT field.

c. How does the program fit within the UNM mission and strategic plan?

This program is consistent with the UNM mission in that the PBT program offers the student a comprehensive educational program in a high demand occupational field. It is also affords a significant contribution to the quality of life in New Mexico by providing a selected service to the public.

As part of the UNM strategic plan, the PBT program fills a vital role in fulfilling our responsibility to the public. In the implementation phase of the plan, the PBT program meets the objective of applying the University’s education abilities for the advancement of the interests and aspirations of people of New Mexico by providing a career pathway for trained healthcare workers. Consistent with UNM-Valencia’s Strategic Plan, we are creating new short-term certificate programs and courses based on community and industry needs and interests.

d. How does the program fit with related offerings at other UNM branch campuses?
UNM-Division of Continuing Education offers a Phlebotomy program upon which the program at UNM-Valencia was modeled.

e. If it is a vocational program, describe plans to involve potential employers in its development.

The PBT program at UNM-Valencia is well established at this time. Consistent with other career-technical programs at UNM-Valencia Campus, employer advisory councils have been established to solicit feedback on the program from experts in the field and to keep abreast of the latest employer needs.

f. If it is a transfer program, describe its intended articulation to UNM four-year programs. Does it contemplate articulation with institutions other than UNM?

N/A

g. Assuming timely approval, what is the program development and implementation timeline?

Immediate

2. Evidence of Need

a. Indicate how you plan to recruit students.

Recruiting will be consistent with UNM-VC outreach and sponsored events to include recruiting functions and dual credit offerings at the local high schools, a web presence, events (Health Fair) and coordination with the public affairs officer.

b. How does the program fit with similar and related offerings in the state and region?

UNM-Division of Continuing Education offers Phlebotomy program upon which the program at UNM-Valencia was modeled. PBT programs are also offered at Central NM Community College in Albuquerque, Eastern NM University-Portales, Dona Ana Community College in Las Cruces, San Juan College in Farmington, Santa Fe Community College, and several for-profit proprietary schools.

c. Provide evidence of demand for program graduates.

Employment of phlebotomy technicians is expected to grow by 20 percent from 2010 to 2020 (U.S. Bureau of Labor Statistics, Employment Projections program,
http://www.bls.gov/ooh/healthcare/nursing-assistants.htm#tab-6). Per the New Mexico Workforce Connection web page, the employment for healthcare technologists and technicians has a “bright outlook statewide.”


3. Program Content and Quality

   a. Describe the curriculum.

   PBT 101 and PBT 102L include lectures, group learning, video instruction, workbook exercises, instructor demonstrations, hands-on skills practice, exams and clinical experience.

   b. What are the expected student learning outcomes for the program?

   Certification is granted upon successful completion of an apprentice program at a clinical worksite. Instruction is geared toward preparation for the national certification exam; however, completion of the course qualifies students for immediate employment.

   c. What instructional model(s) will be used to deliver the program?

   Teaching/Learning Strategies: Lecture, discussion, work groups, work book exercises and clinical skills practice. The clinical portion of this course will include demonstration of practical skills. This practical experience will take place in a ‘student to patient’ situation in the clinical facility setting.

   d. If applicable, describe any accreditation issues:

   N/A

4. Evaluation and Assessment

   a. How will the program’s learning outcomes be measured?

   Upon successful completion, students are prepared to sit for the American Society for Clinical Pathology (ASCP) exam and obtain employment as certified Phlebotomy Technicians.

   b. What other measures to evaluate program effectiveness are contemplated?

   The program will track success rates of students on the certification exam.

   c. A plan for learning outcomes assessment at the course and program level will be required for the full proposal.

   Please see attached syllabi.

5. Required Resources
a. How many faculty are necessary for program delivery and what are their qualifications?

One Certified Phlebotomy Technician faculty member is required for every 12 students in the course.

b. How will this program affect the workload of current faculty and support staff?

Qualified adjunct faculty are identified from the pool of applicants through UNM Jobs.

c. Will additional faculty or staff be required? What is the cost?

No.

d. What faculty and staff development services will be needed?

None.

e. What technology, media, equipment and instructional supplies are needed to support the program’s intended outcomes? Are these resources available? What is the estimated cost?

The existing PBT lab space at UNKM-Valencia is already equipped to support instructional objectives, including patient simulation and associated health care equipment and assistive devices.

f. Are there any needs for additional or renovated space?

No.

g. What student support services are likely to be needed and to what extent (tutoring, library, ITS, advising, etc.)? What is the estimated cost?

Students can access a full array of student support services including tutoring, advising, financial aid information, at no additional cost.

h. What student support will be needed (scholarships, student employment, work study, internships, etc.)? Students may qualify for various scholarships, Pell Grants, student employment, work study. As part of the class, students are required to complete clinical rotations at a medical diagnostic lab.

i. Provide a rationale for any course fees or other expenses (in addition to tuition) that students will be expected to cover.

A $75.00 course fee is required to offset the cost of consumables used during lab training. Students are also required to complete the American Heart Association Healthcare Providers
CPR; caregiver background screening; fingerprinting; NM and FBI screening/approval to work as a healthcare worker, and current immunization record.

6. Projected Enrollment and Costs
Cap on each class is 12, and classes consistently fill to capacity. The adjunct faculty pay scale applies.

a. Provide a three-year projection of enrollments and program costs. Not a full proposal
I. (For full proposal, a detailed table of enrollment projections is required.)
ii. (For full proposal, a detailed program budget is required.)

b. If applicable, describe anticipated sources of new revenue required for the program.

N/A

7. Additional Information N/A

a. Provide any additional information needed to make the case for development of a full proposal. (For full proposal, provide any additional information to support the request for the proposed degree program.)

8. Attachments (for full proposal only)

a. Department of Labor documentation, if applicable.

b. Formal Needs Assessment.

c. List of similar programs (state and regional).

d. List of potential employers.

e. List of advisory committee or board members, if applicable. Minutes of advisory committee or board meetings, if applicable.

f. Letters of support from external partners or stakeholders.

g. If applicable, letters of support from related UNM programs from other campuses.

9. Additional Attachments for submission to NMHED (supplied by Provost’s Office)

a. Minutes from the Board of Regents meeting, noting approval.
Personal Care Attendant

Program Description

a. What is the program and why should we offer it? (Include the program’s major goals).

Personal Care Attendant  PCA 101L (5 cr.):  
This course prepares students to provide patient care in a home or assisted-living center, The course prepares students for the National Direct Care Givers Coalition certification exam.

Course Objectives:  Also referred to as Home Health Aide, this course prepares students to work as Personal Care Attendants in assisted-living center, home healthcare settings as independent contractors or to provide care for a relative.

b. How does the program fit within the Branch’s mission?

As stated in our Branch Mission statement, this program provides a quality education and a lifetime of success. Students gain both a viable skill which prepares them for employment upon completion and an entry-level requirement for future education in the home healthcare field.

c. How does the program fit within the UNM mission and strategic plan?

This program is consistent with the UNM mission in that the Personal Care Attendant (PCA) program offers the student a comprehensive educational program in a high demand occupational field. It is also affords a significant contribution to the quality of life in New Mexico by providing a selected service to the public.

As part of the UNM strategic plan, the PCA program fills a vital role in fulfilling our responsibility to the public. In the implementation phase of the plan, the PCA program meets the objective of applying the University’s education abilities for the advancement of the interests and aspirations of people of New Mexico by providing a career pathway for trained healthcare workers. Consistent with UNM-Valencia’s Strategic Plan, we are creating new short-term certificate programs and courses based on community and industry needs and interests.

d. How does the program fit with related offerings at other UNM branch campuses?

This program is unique to UNM and is not offered at the other branch campuses.

e. If it is a vocational program, describe plans to involve potential employers in its development.
Employer advisory councils have been established to solicit feedback on the program from experts in the field and to keep abreast of the latest employer needs.

f. If it is a transfer program, describe its intended articulation to UNM four-year programs. Does it contemplate articulation with institutions other than UNM?

N/A

g. Assuming timely approval, what is the program development and implementation timeline?

Immediate

2. Evidence of Need

a. Indicate how you plan to recruit students.

Recruiting will be consistent with UNM-VC outreach and sponsored events to include recruiting functions and dual credit offerings at the local high schools, all in coordination with the public affairs officer. In addition, we work closely with the NM Direct Care Givers Association which administers the national certification exam. Students are recruited from other campus programs, including the Adult Education Center.

b. How does the program fit with similar and related offerings in the state and region?

PCA and Home Health Aide programs are offered at community colleges around the state, but there are no other alternatives in Valencia County and northern Socorro Counties, our primary Geographic Areas of Responsibility (GAR).

c. Provide evidence of demand for program graduates.

Personal and home care aides are the second fastest-growing occupation both nationally and in New Mexico. Figures from the U.S. Department of Labor’s Bureau of Labor Statistics indicate that in 2006, there were 21,520 New Mexicans whose primary occupation was nursing assistant, home health aide or personal care/home care aide.\(^1\) Furthermore, annual job openings for these occupations are expected to increase 58% between 2006 and 2016. This figure translates to 6,190 additional job openings in New Mexico for personal and home care aides by the year 2016. The long-term goal of the New Mexico Direct Caregivers Coalition is to reach the 21,520+ individuals in all parts of New Mexico in the field of direct care and those entering the

\(^1\) Current and future workforce projections seriously understate the need. State agency data from the Developmental Disabilities Planning Council and University of New Mexico indicate our state’s workers often hold a second job often as a direct caregiver. Second occupations are not counted in occupational data. State Department of Health agency estimates of family and professional caregivers in New Mexico are upwards of 40,000.
workforce; the approval of this new short-term certificate should help to address this need and this goal.

3. Program Content and Quality
   a. Describe the curriculum.
      The course includes lectures, group learning, video instruction, workbook exercises, instructor demonstrations, hands-on skills practice and exams. Students must be certified in American Heart Association healthcare provider CPR. There are 64 contact hours of skills lab/lecture; and 16 hours of clinical/job shadowing in a home healthcare or assisted-living facility.

   b. What are the expected student learning outcomes for the program?
      At the end of this course, students will possess the knowledge to secure employment in a home healthcare or assisted living setting.

   c. What instructional model(s) will be used to deliver the program?
      Teaching/Learning Strategies: Lecture, discussion, work groups, work book exercises and clinical skills practice. The clinical/job shadowing portion of this course will include demonstration of practical skills in a clinical facility setting.

   d. If applicable, describe any accreditation issues:
      N/A

4. Evaluation and Assessment
   a. How will the program’s learning outcomes be measured?
      Student learning outcomes are measured through written tests as well as through skills demonstration training which includes hands-on practice in how to provide assistance to individuals in need of home healthcare or assisted living.

   b. What other measures to evaluate program effectiveness are contemplated?
      The Direct Care Alliance Personal Care and Support Credential (DCA Credential) is administered through the New Mexico Direct Caregivers Coalition. We will begin administering the exam in Fall 2014 and will track the success rate of students on the exam. The DCA Credential is a competency-based exam that tests for the skills and knowledge family and professional caregivers need to do their job well. It is not based on any agency-specific or consumer-specific training program. Rather, the exam is a standards-based credential.

   b. What other measures to evaluate program effectiveness are contemplated?
      The program will also track the attempts of students on the certification exam.
c. A plan for learning outcomes assessment at the course and program level will be required for the full proposal.

N/A

5. Required Resources

a. How many faculty are necessary for program delivery and what are their qualifications?

One Certified Nursing Assistant (CNA) is required to teach a course limited to 12 students.

b. How will this program affect the workload of current faculty and support staff?

CNAs are already available and teaching this content at the Valencia Campus.

c. Will additional faculty or staff be required? What is the cost?

No.

d. What faculty and staff development services will be needed?

None.

e. What technology, media, equipment and instructional supplies are needed to support the program’s intended outcomes? Are these resources available? What is the estimated cost?

The Nursing Lab space at UNM-Valencia is already sufficiently equipped to provide full instruction for this course.

f. Are there any needs for additional or renovated space?

No.

g. What student support services are likely to be needed and to what extent (tutoring, library, ITS, advising, etc.)? What is the estimated cost?

Students can access a full array of student support services including tutoring, advising, financial aid information, at no additional cost.

h. What student support will be needed (scholarships, student employment, work study, internships, etc.)?

As part of the class, students are required to complete job shadowing with various employers in home healthcare and assisted living facilities.

i. Provide a rationale for any course fees or other expenses (in addition to tuition) that students will be expected to cover.
A $40.00 course fee is required to offset the cost of consumables used during lab training.

6. Projected Enrollment and Costs
The cap on each class is 12. The adjunct faculty pay scale applies.

a. Provide a three-year projection of enrollments and program costs. Not a full proposal
   I. (For full proposal, a detailed table of enrollment projections is required.)
   ii. (For full proposal, a detailed program budget is required.)

b. If applicable, describe anticipated sources of new revenue required for the program.
   N/A

7. Additional Information: Not a full proposal.
   a. Provide any additional information needed to make the case for development of a full proposal. (For full proposal, provide any additional information to support the request for the proposed degree program.)

8. Attachments (for full proposal only)
   a. Department of Labor documentation, if applicable.
   b. Formal Needs Assessment.
   c. List of similar programs (state and regional).
   d. List of potential employers.
   e. List of advisory committee or board members, if applicable. Minutes of advisory committee or board meetings, if applicable.
   f. Letters of support from external partners or stakeholders.
   g. If applicable, letters of support from related UNM programs from other campuses.

9. Additional Attachments for submission to NMHED (supplied by Provost’s Office)
   a. Minutes from the Board of Regents meeting, noting approval.
Elements to include in Preliminary Review and Full Proposal

1. Program Description
   a. What is the program and why should we offer it?

   Certified Nursing Assistant (CNA) CNA 101L: This course prepares students to provide patient care in a home, health care center, or hospital under the supervision of a professional health care provider (RN). The course prepares students for the NM Nurse Aide Competency Evaluation (NACEP) exam.

   Course Objectives: An introduction to basic nursing skills with an opportunity for students to explore a variety of patient needs. Basic nursing skills will be applicable for patients in need of acute or chronic long care. Patient care for those in Home Health, Hospice, Assisted Living, or Mental Health facilities will also be introduced. After successful completion of the course, students are permitted to take the NM Nursing Assistant certification exam.

   The 96 hours of classroom and lab skills practice enables students to obtain introductory knowledge of basic theoretical areas: Anatomy & Physiology, Aging, Patient Safety, Communication skills, Cultural Competency, Medical Terminology and a variety of other subjects. This course will also permit students to learn and practice basic nursing skills in a laboratory and healthcare setting. A 32 hour supervised clinical experience in a health care facility permits students to perform safe, basic, patient care skills and utilize the basic nursing knowledge attained.

   b. How does the program fit within the Branch’s mission?

   The CNA program provides students with both a viable skill set for employment upon completion, but also an entry level requirement for future education in health care (i.e. nursing).

   c. How does the program fit within the UNM mission and strategic plan?

   This program is consistent with dimension one of the UNM mission in that the CNA program offers the student a comprehensive educational program in a popular occupational field. It is also consistent with dimension three by making a significant contribution to the quality of life in New Mexico by providing a selected service to the public.

   As part of the UNM strategic plan, the CNA program fills a vital role in fulfilling our responsibility to the public. In the implementation phase of the plan, the CNA program meets the objective of applying the University’s education abilities for the advancement of the interests and aspirations of people of New Mexico by provide a career pathway and trained healthcare workers.

   d. How does the program fit with related offerings at other UNM branch campuses?

   The CNA program is consistent with those offered at UNM-Gallup and UNM-Taos.
e. If it is a vocational program, describe plans to involve potential employers in its development.

This program is well established at this time. Affiliation agreements for training purposes with local employers are an invaluable method for collecting potential employer feedback to the program.

f. If it is a transfer program, describe its intended articulation to UNM four-year programs.

N/A

g. Assuming timely approval, what is the program development and implementation timeline?

Immediate

2. Evidence of Need

a. Indicate how you plan to recruit students.

Recruiting will be consistent with UNM-VC outreach and sponsored events to include recruiting functions and dual credit offerings at the local high schools, a web presence, events (Health Fair) and coordination with the public affairs officer.

b. How does the program fit with similar and related offerings in the state and region?

Upon completion the students of the program are qualified to take the state certification exam and demonstrate a standardized level of proficiency as defined by the New Mexico Department of Health.

c. Provide evidence of demand for program graduates.

Employment of nursing aides, orderlies, and attendants is expected to grow by 20 percent from 2010 to 2020 (U.S. Bureau of Labor Statistics, Employment Projections program, http://www.bls.gov/ooh/healthcare/nursing-assistants.htm#tab-6). Per the New Mexico Workforce Connection web page, the CNA positions have a “bright outlook statewide”. They site high replacement needs for nursing and psychiatric aides with a limited number of people looking to enter the occupation. Further they state that many leave the occupation for further training or related fields. As a result they forecast excellent job opportunities.


3. Program Content and Quality

a. Describe the curriculum.

Lecture/skills lab: 96 hours total, clinical: 32 hours total. Prerequisites: Satisfactory score on placement tests for writing, reading, and mathematics or completion of ENGL 100 and MATH 099 with a grade of “CR”. Prior to entering the clinical setting in the final week of class, students must be certified in
American Heart Association Basic Life Support for Healthcare Providers CPR, have a current TB test and be able to lift a minimum of 50 lbs. Employers in the healthcare setting will require a finger print background check and drug testing prior to hiring. A UNM—Valencia Campus Certificate of Completion is awarded upon successful completion of this course.

b. What are the expected student learning outcomes for the program?
At the end of this course, students will possess the knowledge to demonstrate:
1. Basic nursing skills with an emphasis on patient safety.
2. Knowledge of basic medical terminology.
3. Knowledge of basic anatomy and physiology.
4. Knowledge of basic pathophysiology and the correlation with CNA standards and practices.
5. The professionalism and competence commensurate with a basic nursing care provider.
6. The Nursing Process and the basic fundamentals of Nursing Science.

c. What instructional model(s) will be used to deliver the program?
Teaching/Learning Strategies: Lecture, discussion, work groups, work book exercises and clinical skills practice. The clinical portion of this course will include demonstration of practical skills. This practical experience will take place in a ‘student to patient’ situation in the clinical facility setting.

d. If applicable, describe any accreditation issues:
The program has earned approval from the Department of Health to offer this program.

4. Evaluation and Assessment
a. How will the program’s learning outcomes be measured?
Student outcomes will be measured via written testing, return demonstration and a skills practicum.

b. What other measures to evaluate program effectiveness are contemplated?
The program tracks the attempts and success rate of students on the state certification exam.

5. Required Resources
a. How many faculty are necessary for program delivery and what are their qualifications?
One Registered Nurse faculty member is required for every 12 students in the course.

b. How will this program affect the workload of current faculty and support staff?
Faculty RN'S have already been recruited as adjunct and are teaching this content.

c. Will additional faculty or staff be required? What is the cost?
No.

d. What faculty and staff development services will be needed?
e. What technology, media, equipment and instructional supplies are needed to support the program’s intended outcomes? Are these resources available? What is the estimated cost?

The CNA lab space is already equipped for full instruction to include patient simulation and associated health care equipment and assistive devices.

g. What student support services are likely to be needed and to what extent (tutoring, library, ITS, advising, etc.)? What is the estimated cost?

Students will have access to all university resources to include academic counseling, financial aid counseling, full tutoring via the Learning Resource Center, career counseling, job placement, and adult basic education. These resources are budgeted and funded by the university.

h. What student support will be needed (scholarships, student employment, work study, internships, etc.)?

Qualified students have access to all financial aid resources, grants and scholarships. They may participate in work study and seek student employment on campus.

i. Provide a rationale for any course fees or other expenses (in addition to tuition) that students will be expected to cover.

A $40.00 course fee is required to offset the cost consumables used during lab training. A $65.00 fee is required for the Department of Health to conduct the caregiver background screening required by law in New Mexico. Fee’s may be required for students who do not have current immunizations or Cardio Pulmonary Resuscitation for Healthcare providers.

6. Projected Enrollment and Costs

a. Provide a three-year projection of enrollments and program costs.

Based on an annual offering of 7 courses (Fall=3, Spring=3, Summer=1), enrollment will be 84 per year or 252 in three years. Adjunct faculty cost will be $39,200 over three years (8 cr/hrs x $700/cr x 7 courses offered).

7. Additional Information

a. Provide any additional information needed to make the case for development of a full proposal. (For full proposal, provide any additional information to support the request for the proposed degree program.)
Exact title and requirements as they should appear in the catalog. Submit proposed catalog text.

Emergency Medical Services: EMT-Basic (11 cr.)
Includes EMS 113 EMT-Basic (8); EMS 142 EMT-Basic Lab (2); EMS 141 EMT-Basic Clinical and Field Experience (1)
Meets the 1998 EMT-Basic National Standard curriculum requirements and incorporates NM EMT-B scope of practice. Provides lecture, lab and clinical experience. UNM-EMS Academy (EMS A) courses. Catalog text is subject to change per EMSA.

Emergency Medical Services: EMT-Intermediate (8 cr.)
Includes EMS 180 EMT-Intermediate (5); EMS 143 EMT-Intermediate Lab (1); EMS 151 EMT-Intermediate Clinical and Field Experience (2)
Meets the 1998 EMT-Basic National Standard curriculum requirements and incorporates NM EMT-B scope of practice. Provides lecture, lab and clinical experience. UNM-EMS Academy (EMS A) courses. Catalog text is subject to change per EMSA.

The reason for request: Provide short-term workforce training certificates that meet industry standards and earn an industry-recognized credential.

Library Impact Statement: No impact on Library.

Budgetary & Faculty Load Implications: Courses are currently taught by adjunct faculty are approved by UNM-EMS. UNM-Valencia adjunct faculty policies apply.

Long-range Planning Statement: The EMS program at UNM-Valencia was funded for 4 years (Fall 2009 – Spring 2013) through the Carl Perkins grant. Perkins provided all necessary equipment to operate the program for EMS-Basic and EMS-Intermediate. The equipment provided by Perkins is the same equipment required to take the program to the next level which is the Bachelor of Science in EMS (Paramedic) which is scheduled to begin at UNM-Valencia in Fall 2013.

Effect on Other Departmental Program/Branch Campuses. EMS programs exist at all UNM branch campuses. Because these courses are UNM-EMS Academy courses, a strong relationship with UNM-EMS A is ongoing.

Effective date of Proposed Change: PER UNM approval.
Program Description

a. What is the program and why should we offer it? (Include the program's major goals).

The EMS program provides students with quality instruction to facilitate mastery of the knowledge, skills and behaviors necessary to become licensed and to practice in the EMS field at the Basic and Intermediate levels and to continue their studies with an end to completing a bachelor’s degree in the emergency medical services paramedic program.

b. How does the program fit within the Branch’s mission?

Consistent with the Branch Mission statement, these certificate programs provide a quality education and a lifetime of success. Students gain both a viable skill which prepares them for employment upon completion and an entry-level requirement for future education in the EMS field.

c. How does the program fit within the UNM mission and strategic plan?

This program is consistent with the UNM mission in that the EMS program offers the student a comprehensive educational program in a high demand occupational field. It also affords a significant contribution to the quality of life in New Mexico by providing a selected service to the public.

As part of the UNM strategic plan, the EMS program fills a vital role in fulfilling our responsibility to the public. In the implementation phase of the plan, the EMS program meets the objective of applying the University’s education abilities for the advancement of the interests and aspirations of people of New Mexico by provide a career pathway for trained healthcare workers. Consistent with UNM-Valencia’s Strategic Plan, we are creating new short-term certificate programs and courses based on community and industry needs and interests.

d. How does the program fit with related offerings at other UNM branch campuses?

The UNM-EMS Academy program is consistent with those offered at all four (4) UNM branch campuses.

e. If it is a vocational program, describe plans to involve potential employers in its development.

The EMS program at UNM-Valencia was established in the early 1990s and articulated with UNM-EMSA around 1995, so it is well established at this time. As required by the Carl Perkins grant which funded the program for the past four years, and consistent with other career-technical programs at UNM-Valencia Campus, employer advisory councils have been
established to solicit feedback on the program from experts in the field and to keep abreast of the latest employer needs.

f. If it is a transfer program, describe its intended articulation to UNM four-year programs. Does it contemplate articulation with institutions other than UNM?

UNM-Valencia offers an Associate of Science Degree in EMS which fully articulates to the UNM-EMSA Bachelor of Science in EMS Paramedic program. UNM-EMSA, through UNM Extended University, is piloting a transfer program at three community college sites around the state, including UNM-Valencia.

g. Assuming timely approval, what is the program development and implementation timeline?

PER UNM approval.

2. Evidence of Need

a. Indicate how you plan to recruit students.

Recruiting will be consistent with UNM-VC outreach and sponsored events to include recruiting functions and dual credit offerings at the local high schools, a web presence, events (Health Fair) and coordination with the public affairs officer. In addition, we work closely with the Valencia County EMS system and train all of their EMS staff. In fact, Valencia County EMS is part of our EMS Advisory Council.

b. How does the program fit with similar and related offerings in the state and region? Emergency Medical Services are offered at colleges throughout New Mexico. Each college holds its own national accreditation, or in the case of UNM, the branches are under the umbrella of the UNM-EMS Academy. The EMS system in New Mexico is licensed by the New Mexico EMS Bureau, and programs are approved by the Joint Organizations of Education (JOE) which includes representatives from each of the accredited programs. Beginning in 2012, New Mexico became part of the National Registry.

c. Provide evidence of demand for program graduates.

Employment of emergency medical technicians is expected to grow by 28% from 2010 to 2020 (U.S. Bureau of Labor Statistics, Employment Projections program, http://www.bls.gov/ooh/healthcare/nursing-assistants.htm#tab-6). Per the New Mexico Workforce Connection web page, the EMS field has a “bright outlook statewide.”

3. Program Content and Quality
a. Describe the curriculum.

Emergency Medical Services: EMT-Basic (total of 11 credit hours)
Includes EMS 113 EMT-Basic (8); EMS 142 EMT-Basic Lab (2); EMS 141 EMT-Basic Clinical and Field Experience (1)
Meets the 1998 EMT-Basic National Standard curriculum requirements and incorporates NM EMT-B scope of practice. Provides lecture, lab and clinical experience. UNM-EMS Academy (EMSA) courses.

Emergency Medical Services: EMT-Intermediate (total of 8 credit hours)
Includes EMS 180 EMT-Intermediate (5); EMS 143 EMT-Intermediate Lab (1); EMS 151 EMT-Intermediate Clinical and Field Experience (2)
Meets the 1998 EMT-Basic National Standard curriculum requirements and incorporates NM EMT-B scope of practice. Provides lecture, lab and clinical experience. UNM-EMS Academy (EMSA) courses.

b. What are the expected student learning outcomes for the program?

At the end of this course, students will demonstrate the ability to:

1. Recognize medical and traumatic emergencies and to intervene and stabilize patients while in transport to an advanced care facility;

2. Pre-hospital field techniques, including airway management and patient assessment and administration of intravenous fluids.

3. Have a working knowledge of pharmacology, the history of emergency medical services, the development of EMS systems and current trends and issues in EMS.

c. What instructional model(s) will be used to deliver the program?

Teaching/Learning Strategies: Lecture, discussion, work groups, work book exercises and clinical skills practice. The clinical portion of this course will include demonstration of practical skills. This practical experience will take place in a ‘student to patient’ situation in the clinical facility setting.

d. If applicable, describe any accreditation issues:

The program is accredited under the umbrella of the UNM-EMS Academy and follows the EMSA curriculum.

4. Evaluation and Assessment

a. How will the program’s learning outcomes be measured?
Student learning outcomes are measured through written tests; skills demonstration training which includes hands-on practice in how to recognize and treat specific injuries and/or medical conditions;

b. What other measures to evaluate program effectiveness are contemplated?
The program tracks the attempts and success rate of students on the state certification exam.

c. A plan for learning outcomes assessment at the course and program level will be required for the full proposal.

N/A

5. Required Resources

a. How many faculty are necessary for program delivery and what are their qualifications?

All EMS instructors are approved by the UNM-EMS Academy. The program delivery requires a minimum of one approved faculty, or the delivery can be divided into didactic, skills and clinicals, in which case it can be 1 – 3 faculty.

b. How will this program affect the workload of current faculty and support staff?

Adjuncts are hired to deliver the curriculum, so this program does not affect current faculty. As for support staff, the program is administered by existing staff through the UNM-Valencia Community Education Services.

c. Will additional faculty or staff be required? What is the cost?

No.

d. What faculty and staff development services will be needed?

Faculty must recertify their EMS licensure and their American Heart Association Healthcare Providers CPR every 2 years.

e. What technology, media, equipment and instructional supplies are needed to support the program’s intended outcomes? Are these resources available? What is the estimated cost? All resources are available, including classroom capture technology in the EMS lab.

f. Are there any needs for additional or renovated space?

No.

g. What student support services are likely to be needed and to what extent (tutoring, library, ITS, advising, etc.)? What is the estimated cost?
Students can access a full array of student support services including tutoring, advising, financial aid information, at no additional cost.

h. What student support will be needed (scholarships, student employment, work study, internships, etc.)? Students may qualify for various scholarships, Pell Grants, student employment, work study. As part of the class, they are required to complete clinical rotations with various employers, including hospitals, clinics, ambulance services, fire departments and other providers of emergency medical services.

i. Provide a rationale for any course fees or other expenses (in addition to tuition) that students will be expected to cover.
The UNM-EMS Academy requires a $65 program fee per class which includes a CD with class materials; Fingerprinting is $15; Background Check is $65; NREMT exam fee for EMT-Basic is $70; for Intermediate $100.

6. Projected Enrollment and Costs
Cap on each class is 24, and classes consistently fill to capacity. The adjunct faculty pay scale applies.

a. Provide a three-year projection of enrollments and program costs. N/A—not a full proposal.
I. (For full proposal, a detailed table of enrollment projections is required.)
ii. (For full proposal, a detailed program budget is required.)

b. If applicable, describe anticipated sources of new revenue required for the program.

N/A

7. Additional Information

a. Provide any additional information needed to make the case for development of a full proposal. (For full proposal, provide any additional information to support the request for the proposed degree program.) Not a full proposal.

8. Attachments (for full proposal only) Not a full proposal.

a. Department of Labor documentation, if applicable.

b. Formal Needs Assessment.

c. List of similar programs (state and regional).
d. List of potential employers.

e. List of advisory committee or board members, if applicable. Minutes of advisory committee or board meetings, if applicable.

f. Letters of support from external partners or stakeholders.

g. If applicable, letters of support from related UNM programs from other campuses.

9. Additional Attachments for submission to NMHED (supplied by Provost’s Office)

a. Minutes from the Board of Regents meeting, noting approval.
Report on Regents’ Audit Committee Meeting
Regular Meeting June 20, 2013

The Regents’ Audit Committee (Committee) held a Regular Meeting on June 20, 2013, and took the following action:

ACTION ITEMS:

- The Committee approved the minutes from April 18, 2013 (Motion: Regent Koch, Second: Vice Chairman Hosmer).

- The Committee approved the following UNMH audit: (Motion: Vice Chairman Hosmer, Second: Chairman Gallegos).
  - Outpatient Pharmacy, dated June 2012.

- The Committee approved the following UNM audits: (Motion: Vice Chairman Hosmer, Second: Chairman Gallegos).
  - Physical Plant Department Review of Contracting Services for Maintenance and Improvements #2013-09, dated June 14, 2013; and

INFORMATION ITEMS:

- Chairman Gallegos announced he will be retiring from the Board of Regents in a year and a half. Chairman asked Cynthia Reinhart from KPMG to speak about what kind of beneficial training they offer. Ms. Reinhart stated that annual conference will be held somewhere on the east coast in 2015. There will also be a quarterly audit committee webcast (about an hour in length) on June 27th and one in September. There are multiple publications available on the Institute’s website. Helen Gonzales, Chief Compliance Officer stated that there is also training available for Board members on audit and compliance areas. One place is through the Association of Governing Boards.

- Ms. Gonzales provided a presentation on compliance and the new Compliance Office. She discussed the context and landscape that created compliance functions, and although she discussed other universities, she focused on Penn State. As a result of what happened at Penn State, compliance functions have really popped up at universities. She attended a higher education compliance conference in early June and met compliance officers from universities all across the country. One thing Penn State did was to hire a new full-time Clery coordinator. More and more universities are doing so. The Clery Act spurred an incredible amount of regulations and increases of requirements for reporting.

Ms. Gonzales discussed what the compliance function is as there has been confusion. The presentation includes information on next steps, goals, ideas, and what the office has accomplished so far. She discussed the Louis Freeh Report. it is a very large, independent report on the child sexual abuse incidents committed at Penn State. The report goes into the details
of all of the charges, including criminal, and the resulting recommendations. There is a big focus on ethics and campus culture. The recommendations include training. For the Athletics Department at Penn State, there were recommendations on organizational structure, lines of authority, reporting, security, access to minors, etc. For the police, the report recommended they review policies and have better records management and retention.

The Freeh Report recommends establishing a Chief Compliance Officer who heads an independent office. One of the key collaborations is with Internal Audit. In a lot of ways it is similar to Internal Audit’s independence to be able to identify, investigate, and report on risks.

Ms. Gonzales talked about the structure of the UNM Compliance Office and the role of a Chief Compliance Officer. The office needs to coordinate compliance, develop the compliance philosophy, and establish a compliance council. There is a compliance council now in place. The office will identify partners and coordinate with University Counsel, Risk Management, and Internal Audit. It will establish training and a code of ethics. Internal Audit just sent out a risk assessment. Ms. Gonzales will work with Internal Audit to review and prioritize those risks.

Chairman Gallegos noted that there is a specific Regent’s policy about reporting structure for Internal Audit. We need to have the same type of policy in place for the Compliance Office. Ms. Gonzales stated that it is a model that makes sense. Catholic University has done phenomenal work in cataloging the laws that relate to compliance. It is a great resource and the link is referenced on UNM Compliance Office’s website.

Hotline reporting is a critical component. The Compliance Office and Internal Audit are working on the transfer, over time, of administration of the hotline complaints to the Compliance Office.

Compliance is front and center now for universities. Clery fines have also been in the news of late. Several universities have recently received fines. Universities are being targeted by federal regulators. Organizations can be found guilty of criminal conduct. They cannot be imprisoned but they can be fined. What can be done proactively to prevent compliance failures? Implementation of the Sentencing Guidelines can help minimize fines. Only about 60% of UNM’s employees take the mandatory ethics training. That’s an area that needs improvement. If an institution has a strong compliance structure in place, it can potentially help mitigate fines as well.

The Compliance Office works proactively as a change agent to facilitate and assure that management is addressing key risk areas. She is working to create a program that focuses on preventing and uncovering misconduct, and will act as a neutral fact-finder. She will work with compliance personnel across campus. Ms. Gonzales showed the Committee that there is a new website for the Compliance Office and that website contains a compliance directory that is still being assembled. The website has a hotline poster that can be downloaded. The posters will also be distributed to all the building coordinators. The anonymous hotline has been available for a long time, but there is little awareness of it on campus.
Chairman Gallegos stated that Regents’ Policy 1.8 addresses conflict of interest. The Regents fill out forms and return them to the University, but where do they end up? Are they reviewed and checked? Ms. Gonzales stated they go to University Counsel. The University also needs to consider how deep the conflict of interest review goes. Director Patel stated UNM Policy 3720 also addresses conflict of interest, but it is for high-level administration. Regent Hosmer asked if the task falls to the Compliance Office when internal policies like this are found to be incomplete. Chairman Gallegos stated he feels the Compliance Office needs to take possession of the forms and review them. Litigation is theoretically the responsibility of the Audit Committee. Often, the first time the Regents hear about a lawsuit is in the paper. The Compliance Office provides an opportunity for complete assembly of information. Data can be collected and could connect indicators for early mitigation. In litigation against the University, the Regents are named as defendants but they do not feel informed.

Chairman Gallegos asked about the clinical areas. What is the Compliance Office’s responsibility there? Ms. Gonzales stated there is an HSC Compliance Office (Stuart Freedman is the Compliance Officer) and they are responsible for that side. The Chairman asked if Stuart Freedman should be added to the Compliance Committee. Chairman Gallegos feels that there should be a flow of reporting. Will the HSC Compliance Office report to the Audit Committee on progress as well as to their Board of Directors?

Amy Neel, Faculty Senate President, stated she is concerned because there was no representation from Academic Affairs, Vice President of Research, or the Faculty Senate. There would likely be ethics and compliance violation complaints that involve faculty. There is a faculty senate ethics committee but it has not been functional over the last several years; they are working to remedy that. There have been a lot of gray areas where complaints are not legal, but perhaps ethical, and they are not always sure what to do with those complaints. Ms. Gonzales reported that the Provost, Vice Provost, and Vice President for Research are members of the Compliance Committee. She was not aware of the ethics committee. Ms. Neel asked if they could consider adding someone from Faculty Senate. Ms. Gonzales said that is a good point.

In August 2012, President Frank commissioned a review of the compliance function. How can the University create this compliance function without adding additional administrative burden? The compliance function should advance the culture of ethical conduct and compliance. The University needs to develop meaningful policies that encourage appropriate behavior. Stanford University’s model for compliance in a decentralized environment is a matrix approach. Decentralized institutional offices and officers are coordinated by a small central compliance function that reports to the institution’s governing board. They conduct regular risk assessments. Regent Hosmer stated that the language of the policies is very important but what we are after is the results of these policies that are meant to develop meaningful compliance behaviors and practices. Chairman Gallegos asked what the Compliance Office will do if policy violations are found. She replied that it would depend on the severity. She would work with management to resolve issues and report to an executive compliance committee that would include the President and his direct reports. They are the ones that can make a change happen if one is required. She will also track the issues and report them to this Audit Committee.
Ms. Gonzales explained that the Compliance function will help Compliance Partners identify processes that need to be improved and proactive measures that are missing. Chairman Gallegos stated there are going to be violations and there needs to be enforcement. The Compliance Office is important. He sees the role as more than just processes and training; they should get their hands on violations.

Regent Hosmer noted that there is a supervisory responsibility – the supervisor is not relieved of responsibility, and that should be emphasized. Ms. Gonzales stated that Jewel Washington, Interim Vice President of Human Resources is responsible for making sure that discipline is consistent for staff violations. Mike Dougher, Senior Vice Provost would be responsible on the faculty side. The Compliance Office will make sure there is reporting.

Chairman Gallegos asked Ms. Gonzales to attend the Audit Committee meeting in September. The Committee will need updates. Regent Hosmer inquired if there will be information about recommended reports, such as the Legislative Finance Committee report (outside recommendations). Identifying follow-up has been scattered. The Regents have discussed if the Compliance Office could be involved in assuring something is going on in response to those outside recommendations. Ms. Gonzales replied that is something she wants to discuss through the administrative function with the President’s Office. Chairman Gallegos stated that the University needs a policy for reporting of the Compliance Office by the September meeting. Internal Audit Director Patel stated that a recommendation for that policy change was part of the external Quality Assessment Review.

- Audit Manager Yeh reviewed the status of audit recommendations from previous audits. There is a new template now that was approved at the last Audit Committee meeting. There are three types of items on this report: Implemented, Open, and Pending. Mr. Yeh stated there are two implemented items; everything else is open or pending.

- Director Patel reviewed his Director’s report. The proposed dates for FY14 are as follows: September 19, 2013, October 17, 2013, February 20, 2014, and May 15, 2014. Chairman Gallegos agreed to leave those dates in place for now. Internal Audit completed 10 audits this year. Two additional audits are in the report-writing stage; two are in fieldwork. Four more are in planning, and only one audit is unassigned. Internal Audit is working with a new complaint tracking system. So far, there have been 103 complaints received this fiscal year. Some are duplicates, as on occasion multiple people reported the same complaint. About half of those are resolved. Regent Hosmer asked about trends for complaint hotline information. Is the total number increasing or decreasing? Is a certain area increasing or decreasing? Director Patel stated that the total number is going up and 70-80% of complaints are human resources-related. The Human Resources number is increasing along with the total. Regent Hosmer requested a presentation (to include the Human Resources Department) on this trend at the next meeting.
August 2013

Regent President Fortner, President Frank and members of the Board

As you know, July was Staff Appreciation Month at UNM. This is the time of year when staff are recognized for their endless contributions to the University. A series of events such as the Loborrito Breakfast, the Book Exchange, the Ice Cream Social, and the Lobo Picnic at the Albuquerque Isotopes game were open to staff from all campuses to say thank you and show our appreciation.

While staff are dispersed across different campuses, we all share the Lobo spirit. And it is this spirit of unity that we seek to support. Staff Council aims to work as much as possible with North and South campus staff to continue to build collaboration amongst campuses and offer future events in various locations. We will continue to look for opportunities that benefit UNM and UNM staff.

In Staff Council’s continuing effort to strengthen the bonds between all campuses, we hold regular monthly office hours on North, South and Main Campus so any staff member can come by to discuss what we as Staff Council can do to support staff or bring a voice to their concerns. We welcome and encourage staff to share their thoughts with their Council Representatives as well.

I wish to say thank you to President Frank for his strong support of staff as demonstrated by his attendance at several events during Staff Appreciation month. I also wish to thank Dr. Paul Roth for his support of staff on the North campus. It is times such as these that visible support and opportunities to talk with leadership are greatly appreciated by staff and welcomed.

July also marked the first staff compensation increase in several years. Staff Council extends a sincere thank you to the UNM Administration and Board of Regents for consideration and approval of this increase. We also wish to thank the Legislature and Governor Martinez for their support and action in helping to make this possible.

Together with the one-time payment, the one percent increase represents an important first step in what we hope will be continued work by UNM Administration towards improving salary parity for UNM staff.

Staff Council is aware of the financial challenges that we all currently face. This new fiscal year promises to be one filled with challenges, but also promises to be one that together - Administration, Staff, Faculty, students and the UNM community – will meet and exceed.

Gene V. Henley
UNM Staff Council President
August 13, 2013

Remarks for UNM Board of Regents Meeting
Richard W. Holder, Faculty Senate President

Let me begin with a brief self-introduction. I came to UNM as an Assistant Professor of Chemistry 39 years ago this month. Except for a year at the National Science Foundation as a Program Director, and a sabbatical year as Visiting Scholar at Indiana and Harvard, I have been at UNM continuously. My time at NSF introduced me to the charms of administration, so when I returned from Washington I sought out administrative posts here. Since then I have been Chair of my department, Dean of Graduate Studies, Dean of University College, and Associate Vice President, Associate Provost, and Deputy Provost. I was also Acting Provost on many occasions, once for nearly a full semester. Two years ago I left the Provost’s office after 25 years there to return to the faculty and teach, aiming for eventual retirement. However, a strange thing happened on the way to retirement and here I am again attending Regents’ meetings (but in another role entirely). It is good to be back.

With the leadership of the last several Faculty Senate Presidents I believe some significant progress in achieving “shared governance” has occurred. We certainly want to continue along that trajectory during my year in office. In addition, the Faculty Senate will engage the notion that HSC faculty and main campus faculty are somehow so different they really constitute two separate bodies. I believe that is not true since all of us believe in and practice teaching, scholarly work, and service. The actual duties and relative importance of these three traditional dimensions of faculty life may vary from one individual to another, but we remain one faculty. We will have that discussion this Fall term. I would also like to address the issues measured by the Chronicle of Higher Education in selecting the colleges and universities in the US that are the best places to work. We did not make the list, although the University of Mississippi did. Salaries and collaborative governance were significant metrics in this analysis.

In addition there are many curricular matters to discuss and resolve, several policies awaiting final action, and we stand ready to participate in working with the administration and the Regents on budgetary matters, legislative relations, fund raising with the UNM Foundation, etc. There are too many individual activities to summarize here, but I do expect the Faculty Senate will be busy. It is my hope and intention that we will work collaboratively and fruitfully with all other parties, including the students and the staff, to assist in moving UNM forward.

Respectfully submitted,

Richard W. Holder
Faculty Senate President