

Board of Regents' Meeting
September 10, 2013
9:00 a.m.
Student Union Building, Ballroom C

The Board of Regents of the University of New Mexico
Meeting in Open Session and Executive Session
September 10, 2013, 9:00 a.m.
Student Union Building, Ballroom C
Executive Session-Luncheon, Cherry Silver Room
AGENDA

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Audit and Compliance Committee, Regent Gene Gallegos, Chair

No Report (the committee’s next quarterly meeting scheduled for September 19, 2013)

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Randy Royster, President UNM Alumni Association
Carl Alongi, President, UNM Foundation
Don Duszynski, President, UNM Retiree Association
Gene Henley, President, UNM Staff Council
Isaac Romero, President, ASUNM
Priscila Poliana, President, UNM GPSA

VI. Public Comment

VII. Vote to close the Open Session and proceed in Executive Session

Executive Session

1. Discussion and determination where appropriate of limited personnel matters pursuant to Section 10-15-1.H(2), NMSA (1978)
2. Discussion and determination where appropriate of threatened or pending litigation pursuant to Section 10-15-1.H(7), NMSA (1978)
3. Discussion and determination where appropriate of the purchase, acquisition or disposal of real property pursuant to Section 10-15-1.H(8), NMSA (1978)
4. Discussion and determination where appropriate of purchases of more than \$2,500 that can be made from only one source or competitive sealed proposals under the Procurement Code during the contract negotiation process pursuant to Section 10-15-1.H(6), NMSA (1978)

VIII. Vote to re-open the meeting

1. Certification that only those matters described in Agenda Item VII were discussed in Executive Session and if necessary, final action with regard to those matters will be taken in Open Session.

IX. Vote to Adjourn

X. Addendum: Minutes slated for approval at the HSC Board of Directors 9/6/13

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HSC Board of Directors August 2, 2013 Meeting

UNM Hospital Board of Trustees June 28, 2013 Meeting

UNM Medical Group, Inc. Board of Directors June 5, 2013 Meeting

UNM Sandoval Regional Medical Center, Inc. Board of Directors July 17, 2013 Meeting

OMI Board of Directors March 26, 2013 Meeting

Carrie Tingley Hospital Advisory Board May 20, 2013 Meeting

The University of New Mexico
Board of Regents' Meeting
August 13, 2013
Student Union Building, Ballroom C
Meeting Minutes

Members present: President Jack L. Fortner, J.E. Gene Gallegos, Bradley C. Hosmer, Conrad D. James, James H. Koch, Suzanne Quillen (Quorum).

Members absent: Heidi Overton

Administration present: President Robert Frank, Provost Chaouki Abdallah, Executive Vice President for Administration David Harris, Chancellor Paul Roth (HSC), Vice President Josephine de Leon (Equity and Inclusion), Interim Controller Elizabeth Metzger, Sr. Executive Officer Ava Lovell (Finance and Administration), Vice President Eliseo Torres (Student Affairs), Interim Vice President Jewel Washington (Human Resources), Vice President Michael Dougher (Research) University Counsel Elsa Cole.

Regents' Advisors present: President Richard Holder (Faculty Senate), President Gene Henley (Staff Council), President Angi Gonzales-Carver (Parent Association), President Isaac Romero (ASUNM), President Priscila Poliana (GPSA).

Regent Fortner called the meeting to order at 9:11 a.m.

I. Confirmation of a Quorum; Adoption of the Agenda, Regent President Fortner

Motion to adopt the Agenda passed unanimously (1st Hosmer, 2nd Koch).

II. Approval of Summarized Minutes of the June 11, 2013 Regular Meeting, the July 9, 2013 Special Meeting and the July 23, 2013 Special Meeting, Regent President Fortner

Motion to approve the minutes of the June 11, 2013 Regular Meeting, the July 9, 2013 Special Meeting and the July 23, 2013 Special Meeting passed unanimously (1st Gallegos, 2nd Quillen).

III. President's Administrative Report, President Robert Frank

President Frank wanted to update the Board on a few issues moving across the university. The students are beginning to return to campus. In Rio Rancho, there is a great deal of activity regarding the special election the city council has scheduled for August 20. This election could affect UNM West campus and the amount of money allocated to higher education in Rio Rancho. A yes vote will take away some revenue to our campus, and a no vote will keep the GRT as it is currently allocated. There is a great deal of support from the community, the Rio Rancho school board recently voted to support keeping the current higher education tax intact, and the Rio Rancho legislators have been very active writing Op-Ed's in support of keeping the GRT at the same current level, we appreciate their support. Don Chalmers, a former member of this board has been a great community leader and has spoken at a number of forums in favor of keeping the GRT at the current rate. It is important that everyone who can vote will vote.

Recently, Governor Martinez supported a day of conversation in Las Cruces on the state of education in New Mexico. She was specifically interested in K-12 education. She invited Dr. Kati Haycock who is the president of the Education Trust to be her keynote speaker, and three Regents attended. Dr. Haycock's key points focused on the fact that in America, inequality is rising and the earnings among the lowest income families have dropped, while the earnings among the highest income families have soared. Currently the United States of America has the third highest income inequality among OECD (Organisation for Economic Co-operation and Development) nations in the world and this discrepancy is pulling us apart in a way that is very dramatic and challenging to our education system and democracy. One thing she discussed is that we have become a very diverse nation in the ethnicities of our people. We see that students of color are less likely to graduate high school on time, and Katie made the point that it challenges our

democracy. We become worried that this threatens our economy and democracy, and the very things that hold us together as a nation. President Frank presented a chart, which displayed data that students of color are less likely to graduate from high school on time. Another chart presented data that African American and Latino 17-year-olds read at the same levels as white 13-year-olds. Dr. Haycock's key point was that we are putting the least experienced teachers to teach at-risk students where we should be putting our master teachers with the most experience. It is also important to give these new teachers more exposure and experience with master teachers, much like medical students. They need to be master performers, not barely competent before we send them to at-risk schools. Governor Martinez has asked Regent Hosmer to lead the charge among the state universities for how the universities can contribute to innovating/changing K-12 education in the state of New Mexico.

Dr. Kati Haycock's presentation can be found on the Education Trust website, www.edtrust.org.

President Frank provided an update on Innovate ABQ and discussed the continued progress on site identification. At the Mesa del Sol Aperture Center, there will be a Tech Transfer Center, and on at the First Baptist site at Central and Broadway there will be an Innovate ABQ research district. UNM is working on partnerships with local governments and business leaders. The ABQ Chamber of Commerce voted to formally endorse the Innovate ABQ initiative. A grant proposal was submitted to the Economic Development Administration (EDA) to provide grant funds to assist with the purchase of the site, and the University is working with the city and county to identify additional funding commitments.

An update was given on Fall enrollment, and the University is expecting over 4% growth in beginning freshman, which is above the original goal and increased admission requirements. There are several top students registered for the Fall including 19 National Merit Finalists, a 27% increase in one year. There was also a 12% increase in students with a 30+ ACT score, including two with a perfect score, and a 10% increase in 26+ ACT score. There was also a 12% increase in deposits from non-resident students and new international students are up 48% in commitments to attend, an 82% increase for Undergraduate and a 34% increase in Graduate. Graduate enrollment is also increasing and targeted graduate programs in the Anderson School of Management and School of Engineering are up 6% in credit hours. Due to this increase in enrollment campus wide, there is also an increase in housing occupancy up 13% and will increase revenue by \$1.3 million. Finally, graduation rates were up to 47.3%, which is a 1.5% increase from last year. President Frank thanked the many people across campus, including the Provost's team who worked hard to achieve this level of success.

INFORMATION ITEM:

IV. Update on SART (Sexual Assault Response Team)

Kathy Guimond, Chief UNMPD, gave the presentation. Chief Guimond discussed a new program that the University will roll out this semester in the next couple of weeks. The new program is called SART, which stands for Sexual Assault Response Team. Essentially, the Sexual Assault Response Team is a victim oriented and victim controlled response by a multi-disciplinary team working together to any victim of sexual assault or abuse on the campus. SART has two purposes, one is to help the victim recover and become a survivor as quickly and effectively as possible, and the second purpose is to make sure our community is safe from predators and assailants. Confidentiality is one of the hallmarks of SART, and essentially SART is a coordinated professional multi-disciplinary team. It involves Medical – SANE (Sexual Assault Nurses Examiner Unit), there are several community partners coming together with us so that we can provide the most effective services for victims of sexual assault and abuse. They do the entire medical and forensic examinations in sexual assault cases, which becomes critical for court cases. There is also a counseling component that runs the gamete for our community, including Student Health and Counseling Services (SHAC), Counseling and Referral Services (CARS - faculty/staff counseling center). We have also collaborated with the Rape Crisis Center, which is one of our

community partners. UNMPD have specially selected and trained SART officers to do these investigations, and the Dean of Students is involved for a Student/Visitor Code of Conduct perspective. What makes it special is the commitment of the responding agencies to victims and the coordination, each SART component has a coordinator whose job it is to cut through any red tape that persists, and to make sure that services are readily available to victims. SART prioritizes these cases, it is important to get immediate services to victims of sexual assault or abuse. There is also increased collaboration between on and off campus team members. An example of what we have done is established an ongoing steering committee, which will help us when we get to the next step. Protocols from all of the SART members have been completed, filed and approved. We had a multi-disciplinary training session for all SART members because it is critically important that everyone understands what the other components do, which is one of the ways we are effective. We also have a brochure in draft form once reviewed by the Steering Committee; it will be released to campus. A website has been developed, and we are going to be embarking on a media campaign so that people know how to access these services. Services that you cannot access or do not know how to are not very effective, and the foundation of the whole SART process is really the response team. From there we will be able to gather information, which will help us in prevention, awareness and educating our campus population on the contributing factors to sexual assault, and how we attempt to solve those as an entire university community. Then we will have an assessment of processes we have set up.

In response to Regent inquiry, Chief Guimond stated that there are various components to the confidentiality portion. The SANE is covered by HIPPA. We have counseling entities that are covered by different state laws that protect confidentiality to the extent possible. When it comes to Police reports, we have original entry reports are public record the ongoing investigative reports and court documents are not public record. A victim does not have to say, day one, night one, how far they want to go, whether they want to go to court or not. It really is a step process and that hierarchy, medically it is important to know that a victim is physically ok, then counseling is a critical component for recovery. The victim gets to choose what components they will or will not use. One thing that we found when we all got together that it is in everyone's best interest and we are going to encourage victims to choose all of those components. The Dean of Students office has the ability to expel people or send them off campus, which is important. We certainly hope people will follow through with that.

In response to Regent inquiry, Chief Guimond stated the one thing that will flow from the SART team is we are going to have an opportunity to examine where we are as an institution and different types of prevention. An example, prevention for stranger rape, someone who jumps out of the bushes at the duck pond is remarkably different than the prevention mechanisms that have been established for date/acquaintance rape; probably over 90% of all sexual assaults throughout the country are date or acquaintance rapes. There is an awareness of what alcohol does to your system, so we need to target our prevention mechanisms towards the student population. Chief Guimond stated that we have an incredible opportunity to work on prevention. We are going to talk about the differences between stranger and acquaintance assaults, and how the language that men and women speak depending on the age group is not always the same.

In response to Regent inquiry, Chief Guimond stated that she sits on the Compliance Committee and there are a couple of reporting requirements for UNM. Many do not include names or specific cases, but does include cases being investigated. One is through the Cleary Law, which UNM is required to publish every year. There are also Title 9 requirements, which the Office of Equal Opportunity is working on, and we think SART is going to have an impact on those issues. The incidents will also be reported to the Compliance Office, which is responsible for honoring the Cleary Act.

Comments from Regents

Regent Fortner stated that Regent Overton is currently in testing and unable to make the Regents meeting today.

Regent Hosmer expanded on President Frank's comments on the extraordinary successes of the University, Provost, Colleges, Faculty and the Academic Enterprise. Regent Hosmer believes the effectiveness is reflected by the remarkable improvements in recruiting, retention and graduation.

Public Comment, specific to agenda items (none)

V. Regent Committee Reports (Reports are included in BOR E-Book)

Finance and Facilities Committee, Regent James H. Koch, Chair

Consent Items:

Motion to approve consent agenda items 1, 2 & 3 passed unanimously (1st James, 2nd Fortner).

1. Disposition of Surplus Property for Main Campus on lists dated 6/30/2013 and 7/12/2013
2. Approval of:
 - a. Request for Architect Selection for UNM Los Alamos Science Classrooms Renovation
 - b. Request for Capital Project for North Golf Course Renovation
3. Approval of Appointment to the Lobo Energy, Inc. Board

Action Item:

4. Contract Approval for:
 - a. Request to use Construction Manager at Risk (CMAR) for Clark Hall – Chemistry Building Renovations

Motion to approve Construction Manager at Risk (CMAR) for Clark Hall – Chemistry Building Renovations passed unanimously (1st Koch, 2nd James).

Bruce Cherrin, Chief Procurement Officer, and Rick Henrard, Interim Director Capital Projects, gave the presentation. Regents' approval was requested to use the Construction Manager at Risk (CMAR) method for renovations that will be made to the Chemistry building. CMAR is a very common method used by most public entities, it allows the Architect to be selected early, and participate in development. When remodel projects are involved a benefit is that the University can do early work in pieces. It is based on the qualifications of the bidder. The Design Bid Build (RFP) is the traditional method where an Architect is hired and you put it out for bid. In our case, we do an RFP for a construction, we always award by most valuable rather than the lowest bidder. Design Build is a newer method that we do not always use and not a favorable by the university. Job Order Contracting (JOC) is most commonly used for projects under \$1 million in value, all the tasks are predetermined and bidders will bid a deduction against the task list, used mostly in our Branch Campuses where the competition is not as tight. The final Construction Delivery Method is the On-Call Contract, which is most common for projects under \$500K used on campus where we have a number of construction companies on contract and they bid the smaller jobs.

Mr. Henrard discussed the differences and guidelines on how the University chooses the guideline to use. A matrix was developed to provide an analytical process to the decision making. It is viewed as a universal matrix used for any construction project, but can be customized. Research was conducted on other universities to understand various processes. They had a similar matrix and tools. There was a webinar at the Purchasing Department a few months ago from the City of Phoenix that had some great information and a matrix. We combined our research and tools and developed one for UNM. The matrix has evaluation criteria that we felt was important to our way of executing a project:

1. Short Project Schedule
2. Complexity & Innovation
3. Cost
4. Design Aesthetics
5. Quality/UNM Standards
6. Hidden Conditions
7. Code Compliance
8. Non-Adversarial Relationships
9. Owner Control
10. Competition & Contractor Experience
11. Constructability

CMAR allows the contractor to build the construction schedule during design, resulting in a very efficient construction schedule for the challenging project logistics to improve phases for the facility occupants during construction. Total estimated project budget is \$16 million funded by 2012 G.O. Bonds.

In response to Regent inquiry, Mr. Henrard stated that CMAR is not efficient when the projects are relatively small in scale. It makes sense to go the traditional route of Design Bid Build (RFP) to open it up to the community.

Mr. Henrard discussed cost scenarios for CMARs of recently completed projects, which range from .3% to 2.5% in the costs for Pre-Construction Services. The Public School Facilities Authority (PSFA) uses a .6% to .7% range, which is what most contractors work with because they frequently work with the PSFA. There are also savings in having a contractor on board viewing and reducing the schedule, which reduces the overall general conditions and the change order rate. UNM has had good success on the returns from our contractor contingencies on previous projects and put the funds back into the project for furnishings or equipment.

- **Regent Gallegos requested a report of project cost estimates vs. actuals for prior projects to be presented at the upcoming F&F Meeting.**

5. Approval of Appointment and Reappointments to the Harwood Governing Board

Motion to approve the Appointment and Reappointments to the Harwood Governing Board passed unanimously (1st Gallegos, 2nd Quillen).

David Harris, EVP for Administration COO & CFO, gave the presentation. Regents' approval was requested for the reappointment of Phyllis Nottingham and the appointments of Dr. Breda Bova and Dr. David Farmer to the Harwood Museum of Art Governing Board. EVP Harris gave background on the Harwood Museum, which is an art museum in Taos, NM created in the 1930's. It was created to further the art of the original Taos Artists' Colony. There is a priceless collection of original art in the museum, along with new areas of the museum that display other local art. It was later donated to the University of New Mexico. Over the years a governing structure evolved with a very large board of directors and advisory board, which had difficulty functioning. The Harwood Museum came to the Regents six years ago and asked to reduce the size of the board. The Regents requested that three UNM employees and five local members hold seats on the board. The Harwood has improved and is secure; it has a great collection, director, an inventory value between \$30-40 million and is fully insured. The Harwood Museum is currently seeking national accreditation. The UNM Foundation and Friends of the Harwood handle fundraising. EVP Harris has encouraged the Regents to visit the Harwood Museum.

Information Item:

6. Bond Counsel and Financial Advisor Procurement

Andrew Cullen gave the presentation. The contracts the University has with the existing Bond Counsel and Financial Advisor have recently expired. The University began the procurement processes several weeks ago. There were two respondents to the RFP for the Bond Counsel contract and Modrall Sperling Roehl Harris & Sisk P.A. was selected based on their overall qualifications, experience, familiarity with UNM's outstanding debt portfolio and has provided excellent service to the University as its bond counsel for the past four years. There was only one respondent to the RFP for Financial Advisor, First Southwest Company. The Purchasing Department reached out to several national and local firms to encourage them to respond to the RFP, all declined to respond. First Southwest Company was chosen, and has provided excellent services to the university in the past eight years as the University's Financial Advisor. In response to Regent inquiry, Mr. Cullen stated that it was somewhat unusual that there were no other respondents to the Financial Advisor RFP. It is also a relatively focused area of expertise in the banking industry. He believes that many firms are more interested in working with the University in its capacity as Bond Underwriters, perhaps that is a more profitable business for them.

7. Lobo Energy - License Agreement

Steve Beffort, President/CEO Lobo Energy Inc., gave the presentation. President Frank's Weekly Perspective discussed economic development in research universities and the value of those particular elements, most specifically about the educational approach to those values. President Frank stated, "Target investments should be made regardless of overall funding levels because they would be key sources of long term economic growth and physical stability." A lot of the operations at the University that are non-academic also participate in economic development and growth, including a number of the enterprise operations that report to Chris Vallejos, AVP Institutional Support Services.

Lobo Energy, Inc. (LEI) has responsibility to manage energy consumption and utility regulatory issues at UNM, as well as energy creation projects, including solar and gas-fired cogeneration. LEI is charged with exploring cutting-edge projects, processes, innovation related to energy. As part of that process and the management of that responsibility, LEI, and specifically Jason Strauss, Director of Energy Conservation and Development, identified a deficit in information gathering and retention in relation to efficient energy management of energy consumption at the University. To address that deficit, Jason initiated a "software" project that had multiple scope additives and enhancements to the point that with the input from LEI Board's private-sector members, it was decided to apply for patent protection for this software.

The next step included seeking advice and guidance from Dr. Steve Walsh, UNM Distinguished Professor from the Anderson School of Management, to examine potential business application for the product. This resulted in a business-planning process, including recommendations to license the intellectual property (I.P.) to a private sector, for-profit company to introduce it to the market. Subsequently, the I.P. was signed over to LEI from the inventor, Jason Strauss, so LEI holds title to the patents. UNM retains the right to continue to use product and receive upgrades as they become available at no cost to UNM. This is software applicable to the academic support mission at the University.

On July 30, 2013, LEI Board approved action to license the product for commercialization to a new for-profit company called Facility Fact, Inc., created by Jason Strauss. All the elements of the transaction were modeled after the STC format in accordance with how STC conducts their business; by the same attorney, Randy McDonald, used by STC and LEI. This type of activity is not the core business of LEI and thus the LEI Board found it appropriate to inform the Regents of the activity.

Health Sciences Board, Regent Suzanne Quillen, Chair

Action Items:

1. Request for Approval of UNM School of Medicine Degree Candidates

Motion to approve the UNM School of Medicine Degree Candidates passed unanimously (1st Koch, 2nd James).

School of Medicine Degree Candidates	
Doctoral and MFA Degree	34
Master's Degree	30
Bachelor's Degree	17
Associate's Degree	0
Total	148

2. Request for Approval of UNM College of Pharmacy Degree Candidates

Motion to approve the UNM College of Pharmacy Degree Candidates passed unanimously (1st Koch, 2nd James).

College of Pharmacy Degree Candidates	
Master's Degree	1
Pharm.D. Degree	1
Total	2

3. Request for Approval of UNM College of Nursing Degree Candidates

Motion to approve the UNM College of Nursing Degree Candidates passed unanimously (1st Koch, 2nd James).

College of Nursing Degree Candidates	
Doctor of Philosophy	3
Master's Degree	32
Bachelor's Degree (RN to BSN)	7
Total	42

4. Request for Approval of the Nomination of Mr. Jerry McDowell to the UNM Hospitals Board of Trustees

Motion to approve the Nomination of Mr. Jerry McDowell to the UNM Hospitals Board of Trustees passed unanimously (1st Hosmer, 2nd Fortner).

Regent Quillen gave the presentation. Regents' approval was requested for the nomination of Mr. Jerry McDowell to the UNM Hospitals Board of Trustees. Mr. McDowell is the Deputy Laboratories Director and Executive Vice President for National Security Programs at Sandia National Laboratories. Mr. McDowell was approved through the UNM Hospitals Board of Trustees and the Health Sciences Center Board of Directors.

5. Request for Approval of the Nomination of Dr. Brad Cushnyr to the Sandoval Regional Medical Center, Inc. Board of Directors

Motion to approve the Nomination of Dr. Brad Cushnyr to the Sandoval Regional Medical Center, Inc. Board of Directors passed unanimously (1st Koch, 2nd Hosmer).

Regent Quillen gave the presentation. Regents' approval was requested for the nomination of Dr. Brad Cushnyr, Radiologist, to the Sandoval Regional Medical Center, Inc. Board of Directors. Dr. Cushnyr was elected as the new Chief of Staff for the Sandoval Regional Medical Center and per the bylaws; the Chief of Staff sits on the Board of Directors.

6. Resolution Recommending Approval of UNMH Contracts with Medtronic USA, Inc. and KCI USA, Inc.

Motion to approve the UNMH Contracts with Medtronic USA, Inc. passed unanimously (1st Fortner, 2nd Koch).

Regent Quillen gave the presentation. Regents' approval was requested for the UNMH Contracts with Medtronic USA, Inc., which is a supplier of various cardiac equipment needed. This is monitoring systems equipment for Ablations and several of the cardiac surgery procedures. The contract expires soon and the new contract will be valid through December 31, 2015. Total cost, depending on volume, is up to \$2 million per fiscal year.

In response to Regent inquiry, this type device has a competitive market and Medtronic is one of the more widely recognized. Chancellor Paul Roth stated that UNMH does all the purchasing through a national entity called Novation, thus UNMH receives the best pricing on these kinds of contracts and purchases. Novation in turn receives competitive bidding for these types of supplies and equipment. We have had this contract with Novation for about 8 or 10 years. Essentially Novation is a central purchasing organization so many hospitals around the country all contract with this national group to get the quality and pricing that is competitive. Bruce Cherrin, Chief Purchasing Officer, stated that Novation is an option for UNMH it is the main resource for cooperative purchasing and competitive bidding. We do not pay them to be part of their consortium, we receive a small amount of money at the end of the year based on how much business we do with them. They are the biggest hospital procurement consolidator in the country. Novation makes profit from the vendors by receiving a percentage, ½% or 1% from the vendors.

Motion to approve the UNMH Contracts with KCI USA, Inc. passed unanimously (1st Koch, 2nd Hosmer).

Regent Quillen gave the presentation. Regents' approval was requested for the UNMH Contracts with KCI USA, Inc., which deals with wound products this is for the rental equipment for wound V.A.C. Therapy, which promotes healing through Negative Pressure Wound Therapy (NPWT). KCI does not sell their equipment; they only rent their equipment on a per use basis. KCI USA, Inc. is the only company approved in the nation for this product. Total cost is up to \$550,000 with a contract valid through February 28, 2015.

Information Items:

7. UNM Contract – HSC Library

Bruce Cherrin gave the presentation. This contract information is for Elsevier, Clinical Key, which is a database used for medical students and is exempt from procurement code. It contains medical and surgical journals, e-books, point of care information, images, videos, practice guidelines, and patient information to be used by the HSC Library. Total cost over three years is \$447,042.

8. HSC Financial Update

- **Information Item 8, HSC Financial Update, deferred to the next Board of Regents meeting.**

9. Approved minutes of June 7, 2013 HSC Board of Directors' meeting

Regent Quillen presented the approved minutes of the June 7, 2013 HSC Board of Directors' meeting for information only.

Information Items:

1. Update from the Provost

a) Improved Student Advisement

Chaouki Abdallah, Provost & EVP for Academic Affairs, gave the presentation. Provost Abdallah provided an update on the Improved Student Advisement and discussed/demonstrated how to navigate the new website, **degrees.unm.edu**, which contains detailed information, graphs and analytics regarding degree programs at UNM. This website makes all degree programs available online and allows for students and advisors to easily view and track progress for four-year degree plans. It also allows potential students to explore the various UNM degree offerings by keyword search, their interest and by College. The development process for the website took 1600 person-hours and pulled together a team from various entities across campus back in late May. Public release date is set for August 15, 2013. Provost Abdallah stated that this is a major tool to help students understand their degree program and the courses needed to reach graduation. This tool will also be used by advising to help keep students on track.

b) Enrollment Status interim report

Provost Abdallah gave an update on the Honors College. In the year leading up to the formal establishment of the Honors College, Enrollment Management supported and aggressive recruitment strategy to attract top students to UNM and to the Honors College. The strategy included phone calls made to potential recruits, mailing distribution of brochures and post cards, outreach to high school counselors at on-campus events, letters and emails from the Dean of the Honors College and coordinated campus visits with individual faculty meetings. There was an increase of 7% in the number of NM students with an ACT score of 29+, and a 9.5% increase in students (NM and elsewhere) with an ACT score of 26+. There was a 27% increase in the number of students admitted to the Honors College, and a 17.5% increase in the number of students enrolled in a Fall Honors Course. UNM has also collaborated with New Mexico Educators Federal Credit Union (NMEFCU) to develop micro aid opportunity for UNM students, which has received national attention and recognition. Provost Abdallah also provided an update on the 15 Credit Hour Tuition Strategy that restructured UNM's tuition block. There was 15% increase in the number of students registering for 15 hours. The shift to higher loads has resulted in a 2% in undergraduate credit hours with the same headcount. The Summer Early Start Program (ESP) was piloted this summer and students with ACT scores lower than 17 could volunteer to take remedial courses during the summer. This will allow students to gain more preparation for college before beginning a full semester. Beginning in the fall of 2014 it will be a mandatory program for those freshmen who score below a 17 on ACT.

2. Valencia Request to Authorize Certifications

- a) Approval of C1231-New Phlebotomy Technician
- b) Approval of C1232-New Personal Care Attendant
- c) Approval of C1233-New Nursing Assistant
- d) Approval of C1234-New Emergency Medical Services EMT-Basic
- e) Approval of C1235-New Emergency Medical Services EMT-Intermediate

Regent Quillen gave the presentation. Regents' approval requested to authorize UNM Valencia Campus to give certificates of completion for the certifications listed above.

Audit Committee, Regent Gene Gallegos, Chair

1. Meeting Summary from June 20, 2013

Regent Gallegos made the presentation. The Audit Committee has now become the Audit and Compliance Committee, which adds the compliance aspect. One of the aspects of the committee is conformance with the Cleary Act. A background was given on The Cleary Act. The law is named for Jeanne Clery, a 19-year-old Lehigh University freshman who was raped and murdered in her campus residence hall in 1986. The backlash against unreported crimes on numerous campuses across the country led to the Jeanne Clery Disclosure of Campus Security Policy and Campus Crime Statistics Act, signed in 1990. The act requires that all colleges and universities that participate in federal and financial aid programs to keep and disclose information about crime on and near their respective campuses. Compliance is monitored by the United States Department of Education, which can impose civil penalties, up to \$35,000 per violation, against institutions for each infraction and can suspend institutions from participating in federal student financial aid programs. By October 1 of each year, institutions must publish and distribute their Annual Campus Security Report to current and prospective students and employees. The Police Department is required to keep a public log of all crimes reported to them, an 8-year history of crime statistics. It must also indicate if the crime is a "hate crime." It is a serious responsibility and the Audit and Compliance Committee will insure that the requirements are fulfilled. Part of this new compliance responsibility involves the Cleary Act, all conflict of interest filings and undertake review over all litigations. The line of communication will include all campus legal (Main & HSC). It will go to the Compliance Office and then to the Audit and Compliance Committee. The committee will thus have knowledge of the litigation for review and recommendation. All litigation will flow to the Audit & Compliance Committee then through the full Board of Regents. Another area to be the responsibility of the Compliance Office is ethics. This information is referenced in the Audit Committee minutes from the June 20, 2013 meeting.

In response to Regent inquiry, the Compliance Officer currently reports to the President's office, but also separately reports to the Audit & Compliance Committee, such as the Internal Audit Director. There needs to be a separation so that if an issue arises there will not be a conflict of interest problem, and thus that officer will have the independence needed to come to the Audit Committee and Regents to discuss issues. Helen Gonzales, Chief Compliance Officer, has begun assembling a Compliance Committee and will report to the Audit Committee how the committee and office are functioning. The responsibility of the Compliance Office is to ensure enforcement. Regent Koch discussed the functions and policy of the Audit Committee and how it functions in relation to the policies and Open Meeting Act. Adding the Compliance Office to the Audit Committee will enhance the Audit Committee. The open meeting of the Audit Committee typically last an hour, however the closed session will usually last 3 or 4 hours because that is when the audits are discussed. When the audits are approved in open session, the information then becomes public. At the next Audit & Compliance Committee meeting, Regent Gallegos would like to ensure that the Cleary information is obtained and available to the public.

Chancellor Roth commented on the HSC structure, which has a Chief Compliance Officer and the national standard is there needs to be a direct line to governance because of that independence, which is critical. It is important to have a central place to have a sense of what is happening around the university.

Comments from Regents' Advisors (reports received are included in BOR E-Book)

ASUNM, President Isaac Romero President Romero stated that students are arriving back on campus and moving into dorms, as school starts August 19. ASUNM will begin working on a Lottery Scholarship Summit and moving into the Legislative Session, ASUNM would like to emphasize the importance of the Lottery Scholarship. Mr. Romero and Ms. Poliana met with Dr. Torres, Dr. Gutierrez and Melissa Vargas to discuss the new Recreation Center, and would like students to be involved in that conversation. Mr. Romero discussed Green Fund, which is a student fund that gets sustainable initiatives on campus, such as recycling, wind and solar energy to assist with the Climate Action Plan. Welcome back days begin next week, Friday Night Live is an event in the SUB, which has many activities for students and Class Crawl is on Sunday, August 17.

GPSA, President Priscila Poliana President Poliana gave an update on GPSA, which spent the summer organizing priorities. The ultimate goal is to rebrand GPSA and increase participation, and a new logo and webpage is being developed, which will be released soon. Ms. Poliana and Mr. Romero are working with the UNM Administration on a number of important initiatives. One being SART and Ms. Poliana and Mr. Romero are on the steering committee. This is the right direction to move forward to respond to some isolated but serious recent events on campus. We also agree that we should prioritize at this point to ensure we have a response that is victim oriented, and then proceed to create a program that aims to advocate our students to prevent and avoid these types of incidents. Ms. Poliana and Mr. Romero are excited for the possibility of a new Recreation Center for the University and are excited to discuss further. Ms. Poliana and Mr. Romero also met with Tim Cass from Athletics, which was very productive, and will continue to work with Mr. Cass to better inform the Graduate students on how the Athletic Department contributes to the mission of the University. Mr. Cass will also be attending the next GPSA meeting to invite the Graduate students to attend the first football game on August 31.

Staff Council, President Gene Henley President Henley gave an update on the Staff Appreciation Month in July, which was a great success. Staff Council continues its effort to strengthen bonds between Main, North and South Campus, and will look for opportunities to collaborate. July marks the first staff compensation increase in several years, and thanks the Board for their consideration and approval of this increase. Staff Council is working on a comprehensive five-year plan in regards to compensation increases. Staff looks forward to a new semester and supporting the mission of the University.

Faculty Senate, President Richard Holder President Holder gave a brief introduction of himself, as this was his first meeting. Faculty Senate has worked, and gained progress, in shared governance, and will continue to work with North Campus on collaboration. Dr. Holder discussed his goals for the upcoming year and commended the Provost and his team for their work on the degree-tracking website, which will be a useful tool for Faculty. In response to Regent inquiry, Dr. Holder supports moving from a 128 credit hour graduation requirement to a 120 credit hour requirement, and understands that many universities around the country have the 120 credit hour graduation requirement. He does understand that some degree programs will have higher credit hour requirements than others due to accreditation but feels that 120 credit hours would fit into the mission of the University.

VI. Public Comment (none)

VII. Vote to close the meeting and to proceed into Executive Session

Motion to close the meeting and proceed into Executive Session passed unanimously at 11:49 a.m. (1st Hosmer, 2nd Gallegos).

VIII. Executive Session 11:50 a.m. – 1:35 p.m.

1. Discussion and determination where appropriate of limited personnel matters pursuant to Section 10-15-1.H (2), NMSA (1978).
2. Discussion and determination where appropriate of threatened or pending litigation pursuant to Section 10-15-1.H (7), NMSA (1978).
3. Discussion and determination where appropriate of the purchase, acquisition or disposal of real property pursuant to Section 10-15-1.H (8), NMSA (1978)
4. Discussion and determination where appropriate of purchases of more than \$2,500 that can be made from only one source or competitive sealed proposals under the Procurement Code during the contract negotiation process pursuant to Section 10-15-1.H (6), NMSA (1978)

IX. Vote to re-open the meeting.

Motion to return to open session passed at 1:36 p.m. (1st Quillen, 2nd Gallegos).

1. Certification that only the matters described in Agenda Item VIII were discussed in Executive Session and, if necessary, final action with regard to those matters will be taken in Open Session.

Motion to certify that only those matters described in Agenda Item VIII were discussed in Executive Session with one action to be taken and reported in Open Session with a quorum of members present, passed unanimously (1st Fortner, 2nd Koch).

Final action: Approval of a 1% compensation increase for President Robert G. Frank, approved by a show of hands, 4-2, in favor, with Student Regent Overton absent.

X. Adjournment

Motion to adjourn the meeting passed at 1:38 p.m. (1st James, 2nd Hosmer)

Jack L. Fortner, President

Bradley C. Hosmer, Secretary/Treasurer

Materials will be available at the meeting.

DATE: September 10, 2013

TO: The Board of Regents of the University of New Mexico

FROM: The Board of Trustees of the University of New Mexico Foundation

CC: President Robert G. Frank
Mallory Reviere

RE: Revisions to Consolidated Investment Fund Investment Policy

RECOMMENDATION #1

That the Board of Regents considers for approval the following proposed revision to the Consolidated Investment Fund Investment Policy:

Manager Concentration Limits:

Current Policy: All actively managed accounts shall be limited to no more than 5% of total assets.

Proposed Policy: The Investment Consultant shall identify at the close of each quarter any actively managed accounts which are in excess of 5% of total assets. The Investment Committee shall consider whether the concentration in these accounts warrants rebalancing.

RATIONALE

A review of UNM Foundation operations report dated August 14, 2012, noted that two actively managed account balances exceeded 5% of total assets, one was 5.2% of total assets and the other was 5.6%. The Foundation's Investment Committee considered the maximum allocation to be impractical for temporary deviations due to normal fluctuations in market valuations from quarter to quarter. The proposed revision provides for notification if balances exceed the 5% threshold, but allows the Committee to evaluate market trends and take corrective action, as deemed necessary.

RECOMMENDATION #2

That the Board of Regents considers for approval the following proposed revision to the Consolidated Investment Fund Investment Policy:

Asset Allocation:

Current Policy: Assets shall be invested within the following maximum and minimum ranges for each asset class:

Asset Class	Percent of Total Assets	
	Minimum	Maximum
Domestic Equity (1)	10%	50%
International Equity (1)	10%	40%
Fixed Income/Cash	10%	50%
Illiquid Real Assets (2)	0%	0%
Private Equity (2)	0%	0%
Marketable Alternatives	5%	20%

- (1) The combined maximum allocation to domestic and international equities shall not exceed 70% at any point in time.
- (2) The Policy's intent is to allow for no new commitments to either Illiquid Real Assets or Private Equity which will result in no allocation to either asset class in the long term as these investments mature. However, the Policy recognizes that existing commitments to these asset classes will require additional "capital calls" in the short-term.

Proposed Policy: Assets shall be invested within the following maximum and minimum ranges for each asset class:

Asset Class	Percent of Total Assets	
	Minimum	Maximum
Domestic Equity (1)	10%	50%
International Equity (1)	10%	40%
Fixed Income/Cash	10%	50%
Illiquid Real Assets	5%	15%
Private Equity	0%	15%
Marketable Alternatives	5%	20%

- (3) The combined maximum allocation to domestic and international equities shall not exceed 70% at any point in time.

RATIONALE

The policy was changed in July 2010 when liquidity and manager fees related to illiquid real assets and private equity became a major concern. However, illiquid assets are well

suited for institutions with a long-term investment horizon and the proposed ranges are more in line with our peer institutions.

In the opinion of our investment consultant, proceeding to eliminate illiquid real assets and private equity from the portfolio will potentially result in a reduced expected rate of return and an increase in expected risk over the long term.

JOINT APPROVAL REQUIRED

In accordance with the Consolidated Investment Fund Investment Policy, any revisions to the Policy require joint approval by the University of New Mexico Board of Regents and the UNM Foundation Board of Trustees.

On August 9, 2013, the Board of Trustees of the UNM Foundation, Inc. considered and approved these proposed revisions to the Consolidated Investment Fund Investment Policy.



University of New Mexico Foundation | September 10, 2013

Board of Regents' Meeting Annual Investment Program Update

To protect the confidential and proprietary information included in this material, it may not be disclosed or provided to any third parties without the approval of Hewitt EnnisKnupp, Inc.

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Discussion Topics

Page

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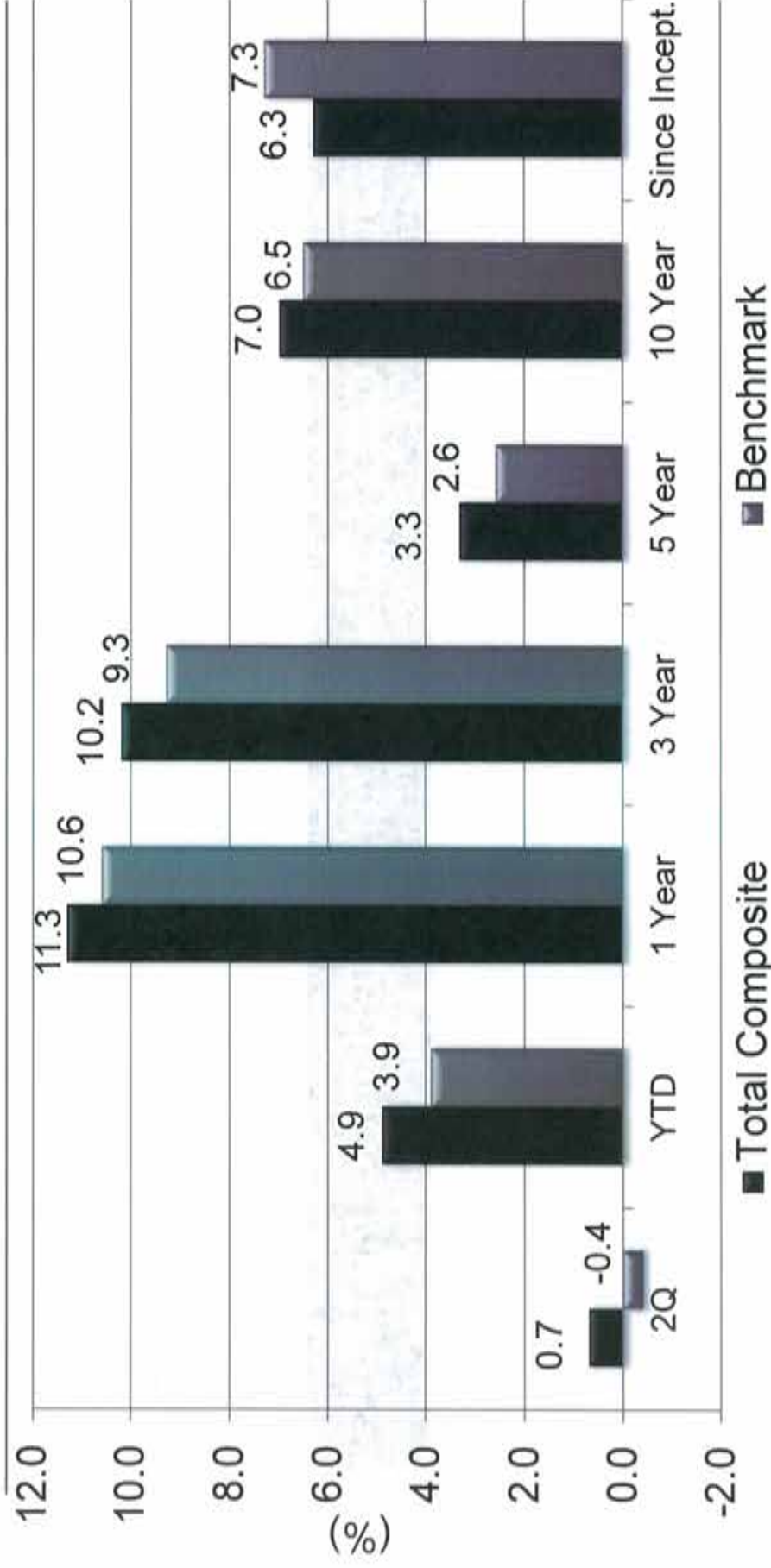
UNM Foundation Investment Performance

7

Background and Review of Private Investments

UNM Foundation Investment Performance

UNM Foundation Investment Performance – June 30, 2013



- Investment returns have exceeded those of the benchmark over long time periods reflecting an effective, risk-controlled implementation

UNM Foundation Asset Allocation Relative to Peers

	UNMF (Actual)	UNMF (0% to Illiquid)	NACUBO** (\$101M-\$500M)	NACUBO** (\$501M-\$1B)
U.S. Equity	21%	29%	25%	18%
Non-U.S. Equity	21%	29%	18%	17%
Fixed Income	16%	18%	16%	12%
Alternatives*	42%	23%	36%	48%
Short-term/Cash	0%	1%	5%	5%

*Alternatives comprise private equity, real estate, and hedge fund investments

**National Association of College and University Business Officers – June 2012 (source: UNMF)

- UNMF's asset allocation – especially to alternatives – is in line with larger funds in the peer group
 - A higher allocation to alternatives explains favorable performance as compared to the \$100-\$500M peer group as shown on the next slide

Peer Performance Comparison and Summary (June 30, 2012)

	1-Year	3-Year	5-Year	10-Year
UNM Foundation (\$360M)	1.1%	9.9%	1.3%	6.2%
NACUBO (\$101M-\$500M)	-0.7%	10.2%	0.7%	6.0%
NACUBO (\$501M-\$1B)	0.4%	10.3%	1.2%	6.6%

- UNMF's portfolio has generated favorable returns over time on an absolute basis, relative to its benchmark, and relative to peers
 - UNMF's higher allocation to alternative investments has contributed to its favorable performance relative to similar sized peers
- We believe that the investment strategy in place today is broadly appropriate
 - In our opinion, it is appropriate to revisit the decision to discontinue new commitments to illiquid, private market investments, such as private equity and real assets
 - We believe an allocation to illiquid, private market investments can improve the odds of meeting the UNM Foundation's investment objectives
 - The UNM Foundation's circumstances support an allocation to these investments

Background and Review of Private Investments

What is Private Equity?

Private Equity is...

an investment in equity capital typically not quoted on a listed exchange.



*For the
road ahead*



▪ Private equity...

- Incorporates many sub-strategies
- Entitles the investor to a share of a company's profits and assets
- Includes companies operating across all industries

▪ Who is private equity best suited for?

- Investors with long-term/perpetual time horizons
- Investors with tolerance for illiquid investments
- Investors with well-resourced capabilities and sufficient asset size

Status of UNM Foundation Private Investments

- **What is the current role of private investments in the UNMF's investment program?**
 - No new commitments to private investments have been made since 2010
 - Exposure to private investments continues to exist due to prior commitments made between 2005 and 2010
 - Based on the existing commitments to illiquid investments, UNMF's private investment allocation would be eliminated over the course of about 10 years

- **Notable characteristics of UNMF's peer institutions based on NACUBO (\$101M-\$500M)**
 - Of the 243 peer institutions within this peer group, only 30 (or 12%) do not allocate funds to private investments
 - Of the 30 institutions not allocating to private investments, 24 (or 80%) have less than \$200M in assets
 - Of the peer group with assets between \$300M and \$500M, only 2 (out of 66) institutions do not allocate to private investments

Private Investments: Positive and Negative Attributes

Positive Attributes

Higher return asset class

Private markets allow opportunities to generate significant value-add relative to public market

Attracts high-caliber talent

Can Improve a Portfolio's Risk-Adjusted Returns

Negative Attributes

Illiquid, long-term investments

Higher manager fees

Wide dispersion in talent

Reduced transparency

Historical Asset Class Performance (12/31/2012)

	Public Equity	Fixed Income	Hedge Funds	Real Estate	Private Equity
3 Year	11.4%	6.2%	3.6%	13.3%	13.7%
5 Year	2.2%	5.9%	1.5%	-2.0%	5.8%
10 Year	7.9%	5.2%	6.7%	5.7%	12.7%
20 Year	8.3%	6.3%	9.8%	7.0%	14.7%
25 Year	9.8%	7.2%	---	5.5%	13.4%

- Over the long term, illiquid investments, such as private equity, have delivered superior returns relative to other asset classes

Asset Class Representatives (Annualized Returns)

Equity: Dow Jones Total Stock Market Index

Fixed Income: Barclays Aggregate

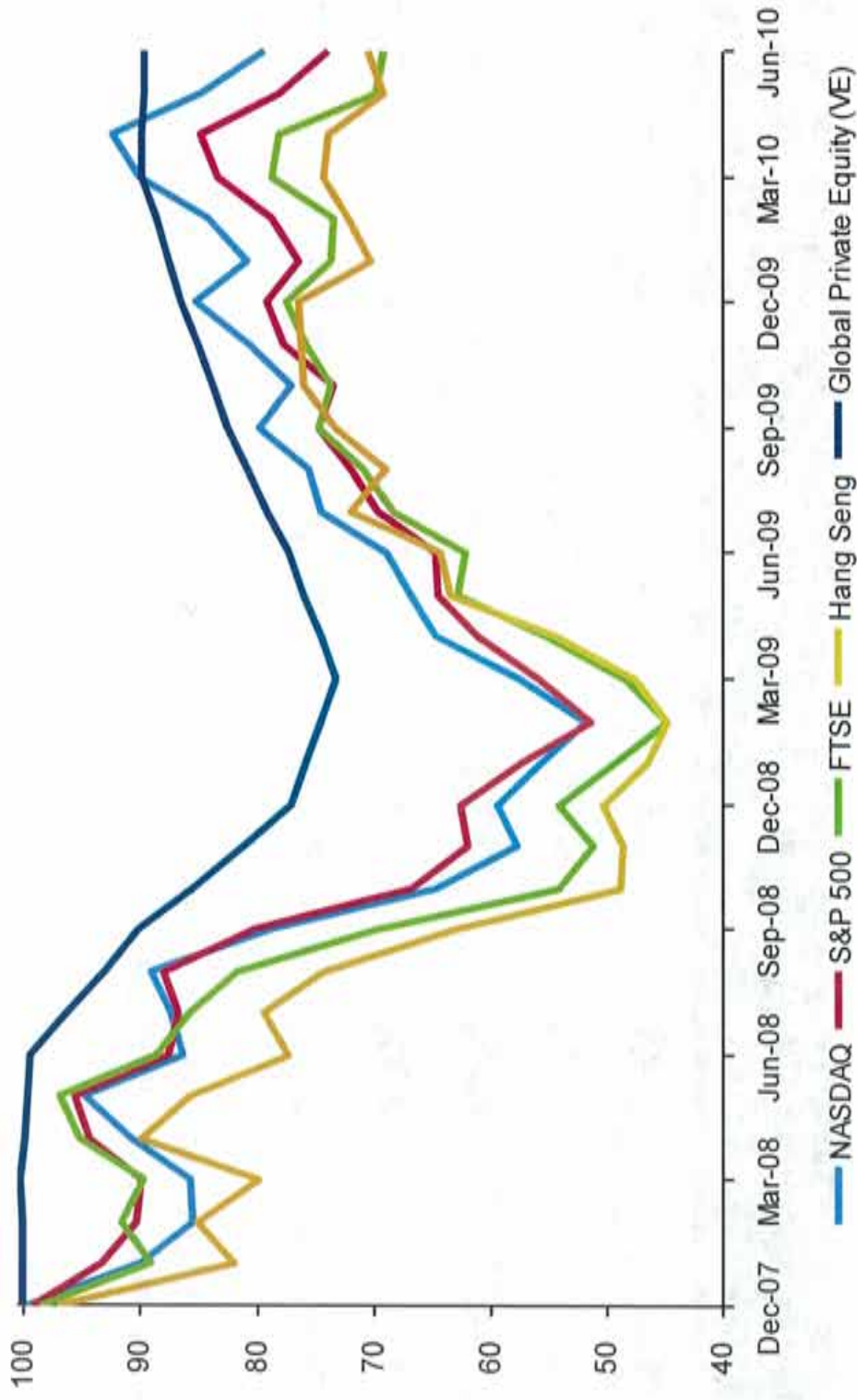
Hedge Funds: HFR Fund Weighted Composite Index

Real Estate: NCREIF ODCE

Private Equity: US Private Equity; Thomson/VentureXpert

Private Equity: Performance Through Financial Crisis

Private Equity vs. Public Performance in Recent Recession



Sources: Bloomberg, Thomson One Venture Economics

Expected Returns from UNM's Asset Allocation

	Current Portfolio	0% to Illiquid Alts	8.0% Return (Maintain Alts)
Total Equity	40.0%	58.0%	44.0%
Total Fixed Income	20.0%	19.0%	15.0%
Alternative Investments			
Hedge Funds Universe	20.0%	19.0%	19.0%
Real Estate (Broad Market)	2.0%	0.0%	2.0%
Commodities/Natural Resources	4.0%	4.0%	6.0%
Private Equity	14.0%	0.0%	14.0%
Total Alternatives	40.0%	23.0%	41.0%
Total	100.0%	100.0%	100.0%
Expected Return	7.6%	7.2%	8.0%
Expected Risk	13.1%	14.0%	14.4%

▪ Return assumptions are based "median" like returns for alternatives.

- Achieving returns in excess of 8% can be challenging
 - Allocations to private, illiquid asset classes, such as private equity and real estate, can improve the odds of achieving a return in excess of 8%
- Without an allocation to private investments, the UNM Foundation is expected to produce 0.8% less in returns (i.e., approx. \$3M less per year based on current endowment of \$360M)

Recommendation for UNMF and the Board of Regents' Consideration

- We believe that private investments, such as private equity and real estate, are an integral component of a well structured institutional portfolio
 - An allocation to private investments offer the best odds of meeting UNMF's investment objectives and can help improve the portfolio's risk-adjusted returns
- We encourage UNMF and the Board of Regents to consider allowing new commitments to illiquid investments to maintain an allocation to these asset classes



FY13
Consolidated Total Current Operations
Summary for Month Ended June 2013

1. Total *Net Use of Reserves* at June month end:
\$6,013,370 (bottom of page 2).
2. Favorable Budget Variance Reasons:
 - A. I&G
 - I. Faculty Vacancies, Timing of New Hires, and Related Benefits
 - II. Excess Reserves budgeted in Other Expenses
 - B. Student Aid Revenues
 - I. Conservative Estimate was used for lottery budget
 - II. NM Scholars - \$1M actual vs. budgeted \$500K
 - III. Timing of Endowment Spending Distribution
 - C. Clinical Operations
 - I. UNM Hospitals received larger dividend than was budgeted from Triwest
 - II. Hospital facility revenue received higher than expected
 - III. Positive settlement with HSD New Mexico related to Upper Payment Limit

Statements of Revenues, Expenses and Changes in Net Assets Format for Regents
For the twelve month period ended June 30, 2013
Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2013 Full Year Revised Budget	FY 2013 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 100%
Instruction and General				
Tuition and Fees Revenues				
Main Campus	144,395,583	143,596,188	(799,395)	99%
Branch Campuses	9,149,847	8,381,338	(768,509)	92%
HSC Campus	12,652,330	13,129,613	477,283	104%
Total Tuition and Fees Revenues	166,197,760	165,107,139	(1,090,621)	99%
State/Local Appropriations	259,306,933	259,892,675	585,742	100%
F & A Revenues	43,300,000	43,512,099	212,099	100%
Transfers	(64,739,580)	(70,421,813)	(5,682,233)	109%
Other Revenues	19,141,755	22,011,983	2,870,228	115%
Total Instruction and General Revenues	423,206,868	420,102,083	(3,104,785)	99%
Salaries	275,734,338	268,492,887	7,241,451	97%
Benefits	81,736,568	78,120,851	3,615,717	96%
Other Expenses	89,330,759	81,759,732	7,571,027	92%
Total Instruction and General Expenses	446,801,665	428,373,470	18,428,195	96%
Net Instruction and General Revenue/(Expense)	(23,594,797)	(8,271,387)	15,323,410	
Research				
State/Local Appropriations	9,528,948	9,596,342	67,394	101%
Transfers	26,059,359	29,095,819	3,036,460	112%
Other Revenues	3,635,153	2,780,628	(854,525)	76%
Total Research Revenues	39,223,460	41,472,789	2,249,329	106%
Salaries and Benefits	26,425,576	22,800,217	3,625,359	86%
Other Expenses	25,851,599	16,592,016	9,259,583	64%
Total Research Expenses	52,277,175	39,392,233	12,884,942	75%
Net Research Revenue/(Expense)	(13,053,715)	2,080,556	15,134,271	
Public Service				
State/Local Appropriations	3,500,960	3,500,960	-	100%
Sales and Services Revenues	15,178,525	16,170,609	992,084	107%
Gifts	8,257,413	11,654,095	3,396,682	141%
Transfers	2,521,324	823,306	(1,698,018)	33%
Other Revenues	6,307,812	5,894,550	(413,262)	93%
Total Public Service Revenues	35,766,034	38,043,520	2,277,486	106%
Salaries and Benefits	19,164,790	20,000,648	(835,858)	104%
Other Expenses	22,355,028	16,687,128	5,667,900	75%
Total Public Service Expenses	41,519,818	36,687,776	4,832,042	88%
Net Public Service Revenue/(Expense)	(5,753,784)	1,355,744	7,109,528	
Student Aid				
Gifts	3,776,997	6,356,109	2,579,112	168%
State Lottery Scholarship	31,861,170	34,501,721	2,640,551	108%
Transfers	14,542,924	16,856,843	2,313,919	116%
Other Revenues	1,256,127	1,824,902	568,775	145%
Total Student Aid Revenues	51,437,218	59,539,575	8,102,357	116%
Salaries and Benefits	4,136,283	3,983,496	152,787	96%
Other Expenses	57,686,405	55,177,741	2,508,664	96%
Total Student Aid Expenses	61,822,688	59,161,237	2,661,451	96%
Net Student Aid Revenue/(Expense)	(10,385,470)	378,338	10,763,808	

Statements of Revenues, Expenses and Changes in Net Assets Format for Regents
For the twelve month period ended June 30, 2013
Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2013 Full Year Revised Budget	FY 2013 Year-to-Date Actual	Fiscal YTD Favrbll/(Unfavrbll) Budget	Actual to Budget Benchmark Rate 100%
Student Activities				
Fee Revenues	6,001,442	5,871,382	(130,060)	98%
Sales and Services Revenues	1,400,086	1,830,798	430,712	131%
Transfers	639,971	874,191	234,220	137%
Other Revenues	77,837	189,791	111,954	244%
Total Student Activities Revenues	8,119,336	8,766,162	646,826	108%
Salaries and Benefits	3,824,527	4,280,474	(455,947)	112%
Other Expenses	4,896,585	4,422,939	473,646	90%
Total Student Activities Expenses	8,721,112	8,703,413	17,699	100%
Net Student Activities Revenue/(Expense)	(601,776)	62,749	664,525	
Auxiliaries and Athletics				
Branch Campuses Auxiliary Revenues	2,652,430	2,718,045	65,615	102%
Main Campus Auxiliaries Revenues	53,725,433	56,592,261	2,866,828	105%
Athletics Revenues	28,691,691	31,868,937	3,177,246	111%
Total Auxiliaries and Athletics Revenues	85,069,554	91,179,243	6,109,689	107%
Branch Campuses Auxiliary Expenses	2,710,700	2,635,884	74,816	97%
Main Campus Auxiliaries Expenses	55,758,496	56,943,860	(1,185,364)	102%
Athletics Expenses	29,254,050	32,546,495	(3,292,445)	111%
Total Auxiliaries and Athletics Expenses	87,723,246	92,126,239	(4,402,993)	105%
Net Auxiliaries and Athletics Revenue/(Expense)	(2,653,692)	(946,996)	1,706,696	
Sponsored Programs				
Federal Grants and Contracts Revenues	245,243,113	256,655,039	11,411,926	105%
State and Local Grants and Contracts Revenues	32,955,287	25,707,886	(7,247,401)	78%
Non-Governmental Grants and Contracts Revenues	30,416,629	32,552,478	2,135,849	107%
Gifts	-	316,530	316,530	N/A
Transfers	4,698,680	3,760,610	(938,070)	80%
Other Revenues	-	-	-	N/A
Total Sponsored Programs Revenues	313,313,709	318,992,543	5,678,834	102%
Salaries and Benefits	144,885,864	130,292,700	14,593,164	90%
Other Expenses	168,427,845	188,699,843	(20,271,998)	112%
Total Sponsored Programs Expenses	313,313,709	318,992,543	(5,678,834)	102%
Net Sponsored Programs Revenue/(Expense)	-	-	-	
Clinical Operations				
State/Local Appropriations	24,099,798	24,090,600	(9,198)	100%
Physician Professional Fee Revenues	105,003,415	110,685,617	5,682,202	105%
Hospital Facility Revenues	640,634,658	653,477,496	12,842,838	102%
Other Patient Revenues, net of Allowance	101,593,315	91,086,913	(10,506,402)	90%
Mil Levy	90,977,220	91,229,145	251,925	100%
Investment Income	12,744,062	13,681,081	937,019	107%
Gifts	3,621,665	3,393,708	(227,957)	94%
Housestaff Revenues	32,605,585	31,448,853	(1,156,732)	96%
Other Revenues	25,231,148	20,100,574	(5,130,574)	80%
Total Clinical Operations Revenues	1,036,510,866	1,039,193,987	2,683,121	100%
Salaries and Benefits	585,882,443	581,771,610	4,110,833	99%
Interest Expense	8,443,572	8,445,683	(2,111)	100%
Housestaff Expenses	32,970,461	31,444,613	1,525,848	95%
Other Expenses	417,839,816	418,204,455	(364,639)	100%
Total Clinical Operations Expenses	1,045,136,292	1,039,866,361	5,269,931	99%
Net Clinical Operations Revenue/(Expense)	(8,625,426)	(672,374)	7,953,052	
Contingencies				
Total Contingency Revenues	22,126,624	-	(22,126,624)	0%
Total Contingency Expenses	(3,293,248)	-	3,293,248	0%
Net Contingencies Revenue/(Expense)	25,419,872	-	(25,419,872)	
Net Current Revenue/(Expense)	(39,248,788)	(6,013,370)	33,235,418	

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2013 Full Year Revised Budget	FY 2013 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 100%
University of New Mexico - Results of Athletics and Auxiliary Operations				
Results of Athletics Operations:				
Athletics Revenues	33,325,374	35,930,716	2,605,342	108%
Athletics Transfers	(4,633,683)	(4,061,779)	571,904	88%
Total Athletics Revenues	28,691,691	31,868,937	3,177,246	111%
Athletics Expenses				
Salaries and Benefits	13,013,834	13,923,645	(909,811)	107%
Grant-in-Aid	3,608,377	3,795,912	(187,535)	105%
Other Expenses	12,631,839	14,826,938	(2,195,099)	117%
Total Athletics Expenses	29,254,050	32,546,495	(3,292,445)	111%
Total Net Athletics Revenue/(Expense)	(562,359)	(677,558)	(115,199)	
Results of Auxiliary Operations:				
VP for Institutional Support Services				
Bookstore Revenues	18,161,788	17,459,887	(701,901)	96%
Bookstore Transfers	(1,062,933)	(312,801)	750,132	29%
Total Bookstore Revenues	17,098,855	17,147,086	48,231	100%
Total Bookstore Expenses	17,098,855	17,140,016	(41,161)	100%
Net Bookstore Revenue/(Expense)	-	7,070	7,070	
Public Events Revenues	10,105,996	9,775,667	(330,329)	97%
Public Events Transfers	91,730	78,805	(12,925)	86%
Total Public Events Revenues	10,197,726	9,854,472	(343,254)	97%
Total Public Events Expenses	10,197,726	9,729,851	467,875	95%
Net Public Events Revenue/(Expense)	-	124,621	124,621	
Golf Courses Revenues	2,224,930	2,325,375	100,445	105%
Golf Courses Transfers	(39,252)	(39,252)	-	100%
Total Golf Courses Revenues	2,185,678	2,286,123	100,445	105%
Total Golf Courses Expenses	2,185,678	2,280,445	(94,767)	104%
Net Golf Courses Revenue/(Expense)	-	5,678	5,678	
Parking and Transportation Revenues	8,099,454	8,371,985	272,531	103%
Parking and Trans Transfers	(3,044,088)	(2,748,456)	295,632	90%
Total Parking and Trans Revenues	5,055,366	5,623,529	568,163	111%
Total Parking and Trans Expenses	5,055,366	5,618,428	(563,062)	111%
Net Parking and Trans Revenue/(Expense)	-	5,101	5,101	
Ticketing Services Revenues	990,295	890,383	(99,912)	90%
Ticketing Services Transfers	(224,084)	(24,084)	200,000	11%
Total Ticketing Services Revenues	766,211	866,299	100,088	113%
Total Ticketing Services Expenses	766,211	862,679	(96,468)	113%
Net Ticketing Services Revenue/(Expense)	-	3,620	3,620	
Other Revenues	42,000	709,908	667,908	1690%
Other Expenses	42,000	26,772	15,228	64%
Net Other Revenue/(Expense)	-	683,136	683,136	
Young Ranch Revenues	11,802	11,802	-	100%
Young Ranch Expenses	4,484	4,484	-	100%
Net Young Ranch Revenue/(Expense)	7,318	7,318	-	
Taos & Lawrence Ranch Revenues	53,334	36,892	(16,442)	69%
Taos & Lawrence Ranch Expenses	53,334	40,783	12,551	76%
Net Taos & Lawrence Ranch Revenue/(Expense)	-	(3,892)	(3,892)	
Total VP for Institutional Support Services Revenues	35,410,972	36,536,111	1,125,139	103%
Total VP for Institutional Support Services Expenses	35,403,654	35,703,459	(299,805)	101%
Net VP for Institutional Support Services Revenue/(Expense)	7,318	832,652	825,334	

Statements of Revenues, Expenses and Changes in Net Assets Format for Regents
For the twelve month period ended June 30, 2013
Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2013 Full Year Revised Budget	FY 2013 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 100%
VP for Student Affairs				
AVP Ops/Student Life Revenues	2,806,165	2,799,469	(6,696)	100%
AVP Ops/Student Life Transfers	(720,953)	(471,897)	249,056	65%
Total AVP Ops/Student Life Revenues	2,085,212	2,327,572	242,360	112%
Total AVP Ops/Student Life Expenses	2,518,052	2,483,568	34,484	99%
Net AVP Ops/Student Life Revenue/(Expense)	(432,840)	(155,996)	276,844	
Housing and Food Service Revenues	10,682,675	11,589,571	906,896	108%
Housing Transfers	(4,358,796)	(4,935,705)	(576,909)	113%
Total Housing and Food Service Revenues	6,323,879	6,653,866	329,987	105%
Total Housing and Food Service Expenses	7,660,141	8,206,193	(546,052)	107%
Net Housing and Food Service Revenue/(Expense)	(1,336,262)	(1,552,327)	(216,065)	
Student Health Center Revenues	6,988,287	7,508,916	520,629	107%
Student Health Center Expenses	6,988,287	7,363,828	(375,541)	105%
Net Student Health Center Revenue/(Expense)	-	145,088	145,088	
Student Union Revenues	2,619,234	3,236,592	617,358	124%
Student Union Expenses	2,883,581	2,831,330	52,251	98%
Net Student Union Revenue/(Expense)	(264,347)	405,263	669,610	
Lobo Cash Revenues	45,390	38,417	(6,973)	85%
Lobo Cash Expenses	77,115	47,036	(30,079)	61%
Net Lobo Cash Revenue/(Expense)	(31,725)	(8,619)	23,106	
Total VP for Student Affairs Revenues	18,062,002	19,765,363	1,703,361	109%
Total VP for Student Affairs Expenses	20,127,176	20,931,954	(804,778)	104%
Net VP for Student Affairs Revenue/(Expense)	(2,065,174)	(1,166,591)	898,583	
Provost and Other Units				
CE Conference Ctr Revenues	246,000	233,503	(12,497)	95%
CE Conference Ctr Transfers	(58,492)	(9,039)	49,453	15%
Total CE Conference Ctr Revenues	187,508	224,464	36,956	120%
Total CE Conference Ctr Expenses	187,508	223,544	(36,036)	119%
Net CE Conference Ctr Revenue/(Expense)	-	920	920	
Art Museum Revenues	4,000	2,017	(1,983)	50%
Art Museum Expenses	4,000	-	4,000	0%
Net Art Museum Revenue/(Expense)	-	2,017	2,017	
Maxwell Museum Revenues	27,991	38,495	10,504	138%
Maxwell Museum Expenses	(31,342)	35,241	(66,583)	-112%
Net Maxwell Museum Revenue/(Expense)	59,333	3,253	(56,080)	
Other Revenues	32,960	25,812	(7,148)	78%
Other Expenses	67,500	49,662	17,838	74%
Net Other Revenue/(Expense)	(34,540)	(23,850)	10,690	
Total Provost and Other Units Revenues	252,459	290,787	38,328	115%
Total Provost and Other Units Expenses	227,666	308,447	(80,781)	135%
Net Provost and Other Units Revenue/(Expense)	24,793	(17,660)	(42,453)	
Auxiliary Totals				
Total Auxiliary & Concessions Revenues	53,725,433	56,592,261	2,866,828	105%
Total Auxiliary & Concessions Expenses	55,758,496	56,943,860	(1,185,364)	102%
Net Auxiliary Revenue/(Expense)	(2,033,063)	(351,599)	1,681,464	
Net Athletics Revenue/(Expense)	(562,359)	(677,558)	(115,199)	
Net Auxiliary and Athletics Revenue/(Expense)	(2,595,422)	(1,029,157)	1,566,265	
Net Branch Campuses Aux Revenue/(Expense)	(58,270)	82,161	140,431	
Net All Auxiliary and Athletics Revenue/(Expense)	(2,653,692)	(946,996)	1,706,696	

UNM Debt Service Schedule

As of June 30, 2013

*Includes Hospital Debt

UNM Bond Issue	Fixed or Variable Rate Issue	Original Issue Amount	Outstanding Principal Balance on June 30, 2013	Principal Payment due on June 1, 2014	Interest Payment paid on December 1, 2013	Interest Payment due on June 1, 2014	FY 2014 Principal & Interest
Sub Lien System Rfdg Revenue Bonds ⁽¹⁾ Series 2012: Interest Range 2.00% to 5.00% Final Maturity Year 2032	Fixed Rate	\$35,215,000	\$33,200,000	\$1,310,000	\$766,050	\$766,050	\$2,842,100
Sub Lien System Imp Revenue Bonds Series 2007 A&B: Interest Range 4.096% to 5.28% Final Maturity Year 2036	Fixed Rate	\$136,710,000	\$131,620,000	\$1,840,000	\$3,281,441	\$3,281,441	\$8,402,882
Sub Lien System Imp Revenue Bonds Series 2005: Interest Range 3.0% to 5.0% Final Maturity Year 2035	Fixed Rate	\$125,575,000	\$115,950,000	\$2,470,000	\$2,678,627	\$2,678,626	\$7,827,253
⁽¹⁾ Hospital Mortgage Revenue Bonds Series 2004: Interest Range 2.0% to 5.0% Final Maturity Year 2031	Fixed Rate	\$192,250,000	\$164,660,000	\$5,240,000 (\$2,580,000 paid 7/1/2013) (\$2,660,000 due 1/2/2014)	\$3,963,788 (due 1/2/2014)	\$4,020,600 (paid 7/1/2013)	\$13,224,387
Sub Lien System Rfdg Revenue Bonds Series 2003 A: Interest Range 2.0% to 5.25% Final Maturity Year 2018	Fixed Rate	\$21,660,000	\$8,480,000	\$1,135,000	\$222,600	\$222,600	\$1,580,200
Sub Lien System Rfdg Revenue Bonds Series 2003 B&C: Interest Range 1.35% to 5.625% Final Maturity Years B 2024 & C 2033	Fixed Rate	\$11,805,000	\$9,505,000	\$285,000	\$232,955	\$232,955	\$750,909
Sub Lien Sys Rfdg Revenue Bonds ⁽²⁾ Series 2002 B: Synthetic Fixed Int. Rate 3.83% Final Maturity Year 2026	Variable Rate	\$25,475,000	\$22,090,000	\$1,490,000	\$423,024	\$423,024	\$2,336,047
Sub Lien System Rfdg Revenue Bonds ⁽²⁾ Series 2002 C: Synthetic Fixed Int. Rate 3.94% Final Maturity Year 2030	Variable Rate	\$37,840,000	\$35,190,000	\$875,000	\$693,243	\$693,243	\$2,261,486
Sub Lien System Imp Revenue Bonds Series 2001: 1/2 True Variable Rate ⁽²⁾ Series 2001: 1/2 Synthetic Fixed Interest at 4.16% and 4.19% Final Maturity Year 2026	Variable Rate	\$52,625,000	\$35,275,000	\$2,085,000	\$705,500	\$705,500	\$3,496,000
Sub Lien System Imp Revenue Bonds Series 2000: Interest Range 4.65% to 6.35% Final Maturity Year 2019	Fixed Rate	\$53,231,671	\$2,248,820	\$473,652	\$320,674	\$320,674	\$1,115,000
System Revenue Rfdg Bonds Series 1992 A: Interest Range 5.6% to 6.25% Final Maturity Year 2021	Fixed Rate	\$36,790,000	\$17,460,000	\$1,840,000	\$523,800	\$523,800	\$2,887,600
Grand Total		\$729,176,671	\$575,678,820	\$19,043,652	\$13,811,700	\$13,868,512	\$46,723,864

Note: See attached matrix for funding sources.

(1) Source: UNM Hospital - Both UNM Hospital Principal and Interest payments are made on July 1st and January 1st.

(2) Variable Rate bonds reflect the actual synthetically fixed interest rate that UNM pays.

It is noted that all ranges of interest rates and final maturity dates are reflective of Serial bonds.

(3) Series 2012 bonds refunded 2002A bonds.

FY13 UNM Debt Service - Source of Funds

As of June 30, 2013

	Series 2012	Series 2007A&B	Series 2005	Series 2004	Series 2003B&C	Series 2003A	Series 2002C	Series 2002B	Series 2001	Series 2000A&B	Series 1992A
Student Fees- Facility	X	X	X			X	X	X			X
Student Fees - IT	X		X								
Capitalized Interest		X									
Parking Services	X	X	X				X				X
UNM Hospital				X		X		X			X
Bookstore						X	X				X
Housing & Dining Services	X					X	X				
Building R&R	X					X		X			X
Real Estate Department	X	X			X			X			
Physical Plant Department	X	X	X						X		
Telecommunications	X		X								
Athletics		X									
Information & Technology Funds			X				X				
KNME											X
Popejoy Hall							X				
MTTC Bldg.								X			
Opto Bldg (CHTM Res Park)								X			
CRTC								X			
Continuing Education								X			
Equipment R&R			X								
Golf Course - North & South							X				
HSC	X	X									
UNM Development Revenues		X									
Interest on Reserve Funds	X					X	X	X			

Series 2002A bonds were refunded by the Series 2012 bonds in June 2012.

Statements of Revenues, Expenses and Changes in Net Assets Format for Regents
For the twelve month period ended June 30, 2013
Preliminary and Unaudited

Detail of State/Local Appropriations
Consolidated - Total Operations Current Funds

	FY 2013 Full Year Revised Budget	FY 2013 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 100%
Instruction and General				
Instruction & General Appropriations	250,613,933	250,594,080	(19,853)	100%
State Special Project Appropriations	810,300	810,300	-	100%
Tobacco Settlement Appropriations	1,130,600	1,130,600	-	100%
Mill Levy	6,752,100	7,357,695	605,595	109%
Total Instruction and General Appropriations	<u>259,306,933</u>	<u>259,892,675</u>	<u>585,741</u>	<u>100%</u>
Research				
State Special Project Appropriations	5,253,040	5,253,040	-	100%
Tobacco Settlement Appropriations	979,800	979,800	-	100%
Cigarette Tax Appropriations	3,296,108	3,363,502	67,394	102%
Total Research Appropriations	<u>9,528,948</u>	<u>9,596,342</u>	<u>67,394</u>	<u>101%</u>
Public Service				
State Special Project Appropriations	3,500,960	3,500,960	-	100%
Total Public Service Appropriations	<u>3,500,960</u>	<u>3,500,960</u>	<u>-</u>	<u>100%</u>
Clinical Operations				
State Special Project Appropriations	23,248,098	23,238,900	(9,198)	100%
Tobacco Settlement Appropriations	851,700	851,700	-	100%
Total Clinical Operations Appropriations	<u>24,099,798</u>	<u>24,090,600</u>	<u>(9,198)</u>	<u>100%</u>

Statements of Revenues, Expenses and Changes in Net Assets Format for Regents
For the twelve month period ended June 30, 2013
Preliminary and Unaudited

Detail of State/Local Appropriations

Main Campus - Total Operations Current Funds

	FY 2013 Full Year Revised Budget	FY 2013 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 100%
Instruction and General				
Instruction & General Appropriations	173,076,700	173,079,480	2,780	100%
State Special Project Appropriations				
African American Student Services	22,700	22,700	-	100%
Disabled Student Services	192,400	192,400	-	100%
Hispanic Student Center	105,800	105,800	-	100%
Minority Graduate Recruitment	116,700	116,700	-	100%
Native American Studies Intervention	176,900	176,900	-	100%
Pre-College Minority Student Math & Science	195,800	195,800	-	100%
Total State Special Project Appropriations	810,300	810,300	-	100%
Total Instruction and General Appropriations	173,887,000	173,889,780	2,780	100%
Research				
State Special Project Appropriations				
Center for Regional Studies (SW Research Ctr)	955,240	955,240	-	100%
Manufacturing Engineering	350,300	350,300	-	100%
Morrissey Hall	45,700	45,700	-	100%
Resource Geographic Information System	63,100	63,100	-	100%
Utton Transboundary Resource Center	261,900	261,900	-	100%
Water Rights Ombudsman	23,700	23,700	-	100%
Total State Special Project Appropriations	1,699,940	1,699,940	-	100%
Total Research Appropriations	1,699,940	1,699,940	-	100%
Public Service				
State Special Project Appropriations				
Bureau of Business Research (Census)	369,400	369,400	-	100%
College Prep Mentoring/School of Law	118,500	118,500	-	100%
College Preparatory Mentoring	164,800	164,800	-	100%
Corrine Wolfe Law Center/Child Abuse Training	165,700	165,700	-	100%
ENLACE	63,100	63,100	-	100%
Family Development Program	425,800	425,800	-	100%
ISTEC	48,595	48,595	-	100%
Judicial Selection	22,000	22,000	-	100%
KNME-TV	1,030,800	1,030,800	-	100%
Land Grant Studies Program	30,400	30,400	-	100%
N. M. Historical Review	46,700	46,700	-	100%
Small Business Innovation & Research Outreach	125,000	125,000	-	100%
Southwest Indian Law Clinic	166,500	166,500	-	100%
Spanish Colonial Research Center (SW Research Ctr)	115,460	115,460	-	100%
Spanish Resource Center	39,205	39,205	-	100%
Substance Abuse Program	134,600	134,600	-	100%
Wildlife Law Education	68,200	68,200	-	100%
Other	100,000	100,000	-	100%
Total State Special Project Appropriations	3,234,760	3,234,760	-	100%
Total Public Service Appropriations	3,234,760	3,234,760	-	100%

Statements of Revenues, Expenses and Changes in Net Assets Format for Regents
For the twelve month period ended June 30, 2013
Preliminary and Unaudited

Detail of State/Local Appropriations
Branch Campuses - Total Operations Current Funds

	FY 2013 Full Year Revised Budget	FY 2013 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 100%
Instruction and General				
Instruction & General Appropriations				
Gallup	8,703,700	8,703,700	-	100%
Los Alamos	1,783,500	1,783,500	-	100%
Valencia	5,054,733	5,032,100	(22,633)	100%
Taos	3,036,600	3,036,600	-	100%
Total Instruction & General Appropriations	18,578,533	18,555,900	(22,633)	100%
Mill Levy				
McKinley County	2,000,000	2,368,060	368,060	118%
Los Alamos County	647,700	675,394	27,694	104%
Valencia County	2,487,300	2,487,680	380	100%
Taos County	1,617,100	1,826,561	209,461	113%
Total Mill Levy	6,752,100	7,357,695	605,595	109%
Total Branch Appropriations	25,330,633	25,913,595	582,962	102%

Statements of Revenues, Expenses and Changes in Net Assets Format for Regents
For the twelve month period ended June 30, 2013
Preliminary and Unaudited

Detail of State/Local Appropriations
Health Sciences Center - Total Operations Current Funds

	FY 2013 Full Year Revised Budget	FY 2013 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 100%
Instruction and General				
Instruction & General Appropriations	58,958,700	58,958,700	-	100%
Tobacco Settlement Appropriations				
Instruction & General	610,524	610,524	-	100%
Pediatric Specialty Education	260,038	260,038	-	100%
Trauma Specialty Education	260,038	260,038	-	100%
Total Tobacco Settlement Appropriations	1,130,600	1,130,600	-	100%
Total Instruction and General Appropriations	60,089,300	60,089,300	-	100%
Research				
State Special Project Appropriations				
Cancer Center	2,586,200	2,586,200	-	100%
Hepatitis C, Project ECHO	966,900	966,900	-	100%
Total State Special Project Appropriations	3,553,100	3,553,100	-	100%
Tobacco Settlement Appropriations				
Genomics, Biocomputing, Environmental Health	979,800	979,800	-	100%
Total Tobacco Settlement Appropriations	979,800	979,800	-	100%
Cigarette Tax Appropriations	3,296,108	3,363,502	67,394	102%
Total Research Appropriations	7,829,008	7,896,402	67,394	101%
Public Service				
State Special Project Appropriations				
Center for Native American Health	266,200	266,200	-	100%
Total State Special Project Appropriations	266,200	266,200	-	100%
Total Public Service Appropriations	266,200	266,200	-	100%
Clinical Operations				
State Special Project Appropriations				
Newborn Intensive Care Unit	3,186,800	3,186,800	-	100%
Office of the Medical Investigator	4,445,700	4,445,700	-	100%
Pediatric Oncology	1,155,800	1,155,800	-	100%
Poison and Drug Info Center	1,484,600	1,484,600	-	100%
UNM Hospitals	12,975,198	12,966,000	(9,198)	100%
Total State Special Project Appropriations	23,248,098	23,238,900	(9,198)	100%
Tobacco Settlement Appropriations				
Pediatric Oncology	261,400	261,400	-	100%
Poison and Drug Info Center	590,300	590,300	-	100%
Total Tobacco Settlement Appropriations	851,700	851,700	-	100%
Total Clinical Operations Appropriations	24,099,798	24,090,600	(9,198)	100%

MAIN CAMPUS

(A)										(B)			(B/A)	
Organization Level 3										Total			Burn Rate	
Instruction, Academic and Student Support Services										Expenditures			Net Revenues/ (Expenditures)	
	Pooled Revenues	Transfers	Other Revenues	Total Revenues	Faculty Salaries	Staff Salaries	Student Salaries	Benefits	Other Expenditures	Total Expenditures				
AAC UNM West and Branch Initiatives	1,591,599	21,200	2,784	1,615,583	247,509	202,467	763	143,303	294,473	888,514			727,068	55.00%
ABA Provost/Administrative Units	14,552,292	(14,817)	165,378	14,702,853	1,614,556	5,541,267	1,999,355	204,373	2,151,363	11,510,914			3,191,939	78.29%
ABB University College	2,214,000	29,462	1,612	2,245,074	1,547,922	605,523	108,431	5,031	380,393	2,647,300			(402,226)	117.92%
ABC School of Public Administration	913,626	0	1,200	914,826	806,820	149,048	58,964	21,585	110,840	1,147,258			(232,432)	125.41%
ABD VP for Equity & Inclusion	1,042,175	(1,528)	57	1,040,704	208,262	433,274	122,166	0	143,730	907,431			133,273	87.19%
ABE VP Division of Enrollment Mgmt	6,048,840	(170,000)	125,185	6,004,025	163,100	4,359,727	203,747	0	1,105,536	5,832,110			171,915	97.14%
ABG College of Fine Arts	10,824,036	(15,922)	142,515	10,950,628	7,244,292	2,438,342	923,289	329,357	1,752,427	12,687,707			(1,737,079)	115.86%
ABH College of Arts Sciences	52,855,843	(2,459,651)	58,733	50,454,925	36,773,978	7,953,050	10,151,963	2,360,118	3,542,871	60,781,980			(10,327,055)	120.47%
ABI Anderson Schools of Management	10,350,543	(83,326)	8,036	10,275,254	8,369,983	1,692,176	804,993	394,406	1,394,609	12,656,167			(2,380,913)	123.17%
ABJ College of Education	13,306,488	(634,318)	54,799	12,726,969	9,416,217	2,745,317	1,216,990	279,794	1,535,551	15,293,869			(2,566,900)	120.17%
ABK School of Engineering	14,143,236	(270,170)	10,223	13,883,289	10,382,190	2,213,107	1,086,880	176,276	875,475	14,733,928			(850,639)	106.13%
ABL School of Law	8,988,977	(64,786)	54,076	8,978,267	5,224,541	2,109,858	245,533	1,440	1,775,615	9,356,986			(378,719)	104.22%
ABM School of Architecture Planning	3,382,285	(53,460)	62,431	3,391,256	2,867,816	478,853	269,020	34,031	438,873	4,088,594			(697,338)	120.56%
ABN University Libraries	13,439,270	(16,571)	273,265	13,695,964	3,007,179	4,110,867	522,874	6,943	7,475,321	15,123,183			(1,427,219)	110.42%
ABO Continuing Education	3,578,716	(235,256)	183,425	3,526,884	1,437,653	1,035,378	112,755	(159,034)	1,239,408	3,666,161			(139,277)	103.95%
ABP Extended University	7,221,895	(417,759)	2,202	6,806,337	302,755	3,534,803	248,989	1,308,454	1,012,123	6,407,123			389,214	94.13%
ABQ VP Research & Economic Development	92,193	(24,639)	0	67,554	221,100	51,455	2,730	0	34,929	310,214			(242,660)	459.21%
ACA VP Student Affairs Administration	1,394,490	(28,699)	102,825	1,468,616	222,192	719,011	70,489	0	472,360	1,484,052			(15,436)	101.05%
ACB VP Student Affairs Indprndt Dept	987,826	20,601	22,530	1,030,957	9,500	720,235	13,199	48,273	211,389	1,002,595			28,361	97.25%
ACC Associate VP Student Services	1,839,900	(7,150)	69,847	1,902,597	12,080	1,344,369	182,550	34,479	444,026	2,017,504			(114,907)	106.04%
ACD Associate VP Student Life	861,174	(50,500)	15,465	826,139	6,384	739,679	16,344	0	185,552	947,959			(121,820)	114.75%
Sub-Total	169,629,404	(4,477,289)	1,356,587	166,508,702	90,086,030	43,177,804	18,362,024	5,188,829	26,676,863	183,491,551			(16,982,849)	110.20%
University Support Services														
AAA President Admin Indprnt Office	4,981,518	228,372	78,653	5,288,542	849,124	3,453,261	53,274	0	1,515,900	5,871,559			(583,017)	111.02%
ADA EVP Administration	5,844,511	574,708	343,976	6,763,195	15,000	5,128,763	48,595	5,000	1,114,899	6,312,257			450,938	93.33%
ADD Controller	6,848,957	1,151,101	1,310,527	9,310,585	0	7,470,315	187,580	273,035	1,473,987	9,404,917			(94,331)	101.01%
ADF Human Resources	1,765,100	37,411	345,008	2,147,519	0	1,731,603	33,991	0	322,243	2,087,837			59,682	97.22%
ADG VP Institutional Support Services	34,527,024	(1,529,034)	78,833	33,076,823	0	10,392,282	24,973	3,287,054	20,878,825	34,583,133			(1,506,310)	104.55%
ADI Government & Community Relations	161,053	0	0	161,053	0	165,367	1,857	0	715	167,939			(6,886)	104.28%
ADJ Information Technologies	8,212,265	362,358	14,500	8,589,123	0	592,516	7,417	0	8,000,374	8,600,307			(11,185)	100.13%
AEA VP Institutional Advancement	0	0	441,409	441,409	0	774,213	54,188	0	220,524	1,048,925			(607,515)	237.63%
Sub-Total	62,340,428	824,915	2,612,906	65,778,249	864,124	29,708,319	411,876	3,565,089	33,527,467	68,076,875			(2,298,626)	103.49%
Non-Operational Monitoring Activity														
Fringe Benefit Pool	61,041,226	(60,657,060)	8,646,471	9,030,637	0	0	0	0	(878,723)	(878,723)			9,909,360	
	45,834,933	187,666	0	46,022,599	11,985	0	0	44,939,234	3,505	44,954,724			1,067,874	
Sub-Total	106,876,159	(60,469,395)	8,646,471	55,053,236	11,985	0	0	44,939,234	(875,218)	44,076,001			10,977,234	
TOTAL MAIN CAMPUS	338,845,991	(64,121,769)	12,615,964	287,340,186	90,962,140	72,886,124	18,773,899	53,693,152	59,329,113	295,644,427			(8,304,241)	

INTERCOLLEGIATE ATHLETICS

INTERCOLLEGIATE ATHLETICS																					
(A)					(B)					(B/A)											
Organization Level 3		Pooled Revenues		Other Revenues		Total Revenues		Faculty Salaries		Student Salaries		Benefits		Other Expenditures		Total Expenditures		Net Revenues/ (Expenditures)		Burn Rate	
ADC Intercollegiate Athletics		692,772		325,000		2		1,017,774		0		455,378		0		0		705,111		1,160,489	
TOTAL ATHLETICS		692,772		325,000		2		1,017,774		0		455,378		0		0		705,111		1,160,489	
																		(142,715)		114.02%	
																		(142,715)		114.02%	

BRANCH CAMPUSES

AGA Gallup Branch	11,795,818	(633,129)	169,627	11,332,316	4,607,649	3,215,126	125,551	36,767	2,866,131	10,851,234	481,082	95.75%
AGB Los Alamos Branch	2,829,707	(63,108)	204,902	2,971,501	1,308,628	978,808	7,212	27,359	652,475	2,974,482	(2,981)	100.10%
AGC Taos Branch	5,449,409	(207,205)	237,984	5,480,187	1,944,341	1,484,006	80,900	5,420	1,528,291	5,042,958	437,229	92.02%
AGD Valencia County Branch	8,099,477	(2,619,747)	117,421	5,597,150	2,747,810	2,637,755	177,980	24,438	1,644,152	7,232,135	(1,634,984)	129.21%
Sub-Total	28,174,410	(3,523,189)	729,933	25,381,154	10,608,428	8,315,695	391,653	93,983	6,691,049	26,100,808	(719,654)	102.84%
Fringe Benefit Pool	6,120,524	(33,897)	0	6,086,627	0	0	0	5,338,891	0	5,338,891	747,735	
Sub-Total	6,120,524	(33,897)	0	6,086,627	0	0	0	5,338,891	0	5,338,891	747,735	
TOTAL BRANCH CAMPUSES	34,294,934	(3,557,086)	729,933	31,467,781	10,608,428	8,315,695	391,653	5,432,875	6,691,049	31,439,700	28,081	

HEALTH SCIENCES CENTER

HEALTH SCIENCES CENTER												
(A)					(B)					(B/A)		
Organization Level 3	Pooled Revenues	Transfers	Other Revenues	Total Revenues	Faculty Salaries	Staff Salaries	Student Salaries	Benefits	Other Expenditures	Total Expenditures	Net Revenues/ (Expenditures)	Burn Rate
AFA VP HSC Administration	27,906,993	(10,710,862)	554,673	17,750,804	1,062,174	9,224,352	38,112	41,597	7,091,626	17,457,861	292,944	98.35%
AFB HS Library and Informatics Center	5,272,038	(4,360)	193,003	5,460,681	968,607	1,894,114	49,935	15,553	2,170,274	5,098,483	362,198	93.37%
AFC School of Medicine	53,129,825	(8,519,796)	46,686	44,656,715	26,098,100	13,602,582	1,142,232	272,328	3,305,670	44,420,911	235,803	99.47%
AFD College of Nursing	7,718,002	387,382	(100,000)	8,005,384	4,524,127	1,591,283	181,912	583,868	747,855	7,629,046	376,339	95.30%
AFE College of Pharmacy	8,422,922	(1,923,169)	(148,892)	6,350,861	3,975,356	1,145,457	601,228	378,918	948,743	7,049,702	(698,841)	111.00%
AFI HSC VP Research	84,645	(84,645)	0	0	0	0	0	0	0	0	0	0.00%
Sub-Total	102,534,425	(20,855,449)	545,469	82,224,445	36,628,365	27,457,786	2,013,420	1,292,264	14,264,168	81,656,003	568,442	99.31%
Non-Operational Monitoring Activity	(26,930,039)	17,795,692	9,483,685	349,338	0	0	0	0	770,290	770,290	(420,952)	
Fringe Benefit Pool	19,073,831	(8,200)	(1,363,071)	17,702,560	0	0	0	17,702,560	0	17,702,560	0	
Sub-Total	(7,856,208)	17,787,492	8,120,614	18,051,898	0	0	0	17,702,560	770,290	18,472,849	(420,952)	
TOTAL HEALTH SCIENCES CENTER	94,678,217	(3,067,958)	8,666,083	100,276,342	36,628,365	27,457,786	2,013,420	18,994,824	15,034,458	100,128,852	147,489	
GRAND TOTAL	468,511,913	(70,421,813)	22,011,983	420,102,083	138,198,932	109,114,983	21,178,972	78,120,851	81,759,732	428,373,470	(8,271,387)	

	FY 2013 YTD			FY 2013 YTD			FY 2013 YTD			FY 2013 YTD		FY 2013 Full Year		Benchmark	
	FY 2013 YTD	FY 2013 YTD	FY 2013 YTD	FY 2013 YTD	FY 2013 YTD	FY 2013 YTD	FY 2013 YTD	FY 2013 YTD	FY 2013 YTD	FY 2013 YTD	FY 2013 YTD	FY 2013 Full Year	FY 2013 Full Year	(100%) FY 2013	FY 2
	Compensation	Staff	Compensation	Housestaff	Student	Workstudy	Compensation	Salaries	Salaries	Salaries	Compensation	Compensation	Compensation	YTD Total	Comp
Support Services (Main & Branches)	8,896,333	1,825,266	729,641	-	134,856	3,538	868,035	-	-	-	11,589,634	11,395,412	11,395,412	101.70%	
ent ASM	11,467	3,545,571	71,621	-	949,704	35,880	1,057,205	-	-	-	4,614,243	4,776,570	4,776,570	96.60%	
	12,080	2,011,373	46,275	-	831,296	35,855	913,426	-	-	-	2,936,879	2,952,692	2,952,692	99.46%	
	38,348,737	8,882,245	10,096,214	372,454	504,372	119,053	11,092,093	-	-	-	58,323,076	57,346,469	57,346,469	101.70%	
	9,583,329	3,074,081	1,105,963	157,637	93,616	17,886	1,375,102	-	-	-	14,032,511	14,942,248	14,942,248	93.91%	
	7,427,004	2,613,304	812,938	-	201,288	60,459	1,074,684	-	-	-	11,114,992	10,145,723	10,145,723	109.55%	
	1,459,196	1,316,088	44,580	-	67,495	3,792	115,867	-	-	-	2,891,151	5,125,799	5,125,799	56.40%	
	302,755	3,534,803	106,725	-	130,073	12,191	248,989	-	-	-	4,086,546	4,225,024	4,225,024	96.72%	
	4,607,649	3,324,961	4,290	-	77,875	48,648	130,813	-	-	-	8,063,422	8,366,803	8,366,803	96.37%	
	1,308,628	1,049,466	-	-	2,473	4,739	7,212	-	-	-	2,365,306	2,483,511	2,483,511	95.24%	
	1,762,411	8,001,441	1,748,359	20,000	578,207	103,880	2,450,446	-	-	-	12,234,297	12,178,001	12,178,001	100.46%	
	2,984,485	514,974	208,424	-	65,339	8,098	281,862	-	-	-	3,781,321	3,723,419	3,723,419	101.56%	
	10,983,705	2,653,057	1,331,539	80,552	418,936	11,285	1,842,312	-	-	-	15,479,074	15,568,579	15,568,579	99.43%	
	5,703,026	2,940,834	-	-	244,679	17,822	262,501	-	-	-	8,906,361	8,980,757	8,980,757	99.17%	
	806,820	149,048	48,378	-	12,445	750	61,573	-	-	-	1,017,441	978,722	978,722	103.96%	
	1,966,138	1,638,164	-	-	92,032	42,577	134,609	-	-	-	3,738,911	3,882,239	3,882,239	96.31%	
	1,600,422	606,443	74,607	-	34,089	6,320	115,016	-	-	-	2,321,881	2,295,503	2,295,503	101.15%	
	3,322,626	4,234,467	259,477	-	344,778	129,372	733,628	-	-	-	8,290,720	9,443,824	9,443,824	87.79%	
as	247,509	202,467	-	-	763	-	763	-	-	-	450,738	437,066	437,066	103.13%	
	2,748,454	2,869,618	27,125	-	96,447	80,594	203,166	-	-	-	5,821,238	5,985,393	5,985,393	97.26%	
t	163,100	4,369,827	26,303	-	153,751	49,398	229,452	-	-	-	4,752,379	5,014,526	5,014,526	94.77%	
	208,262	471,163	26,743	93,025	23,644	205,779	885,203	-	-	-	885,203	936,200	936,200	94.65%	
	1,158,168	3,094,616	111,897	1,667	121,601	3,964	239,129	-	-	-	4,491,913	4,885,857	4,885,857	91.94%	
on ID	223,901	739,496	50,522	-	52,858	7,965	111,344	-	-	-	1,074,741	1,231,544	1,231,544	87.27%	
	36,231	4,667,779	1,334	-	327,068	16,895	345,297	-	-	-	5,049,307	4,976,168	4,976,168	101.47%	
nic and Student Support Services (Main & Branches)	105,892,435	68,320,551	16,932,953	725,335	5,597,408	844,606	24,100,302	-	-	-	198,313,288	202,280,049	202,280,049	98.04%	
& Branches)	-	8,306,902	-	-	180,175	11,368	191,543	-	-	-	8,489,445	8,469,568	8,469,568	100.34%	
itions	26,985	5,366,197	-	-	46,444	2,162	48,595	-	-	-	5,441,777	5,658,467	5,658,467	96.17%	
	-	226,670	-	-	5,865	-	5,865	-	-	-	232,535	201,887	201,887	115.18%	
s	-	1,731,603	-	-	33,016	975	33,991	-	-	-	1,765,594	1,650,571	1,650,571	106.97%	
	-	592,516	-	-	87,477	-	87,477	-	-	-	679,993	686,289	686,289	99.08%	
	849,258	3,614,499	-	-	50,575	2,699	53,274	-	-	-	4,517,031	4,588,887	4,588,887	98.43%	
	-	774,213	-	-	54,188	-	54,188	-	-	-	828,401	885,769	885,769	93.52%	
S	1,146	20,320,093	-	-	1,344,594	30,903	1,375,498	-	-	-	21,696,737	22,660,493	22,660,493	95.75%	
Services (Main & Branches)	877,388	40,932,692	-	-	1,802,335	48,097	1,850,432	-	-	-	43,680,513	44,801,921	44,801,921	97.45%	
	51,450	11,257,062	191,845	-	156,395	13,963	362,203	-	-	-	11,670,715	10,516,531	10,516,531	110.97%	
etics	51,450	11,257,062	191,845	-	156,395	13,963	362,203	-	-	-	11,670,715	10,516,531	10,516,531	110.97%	
Branches and Athletics)	106,821,274	120,510,305	17,124,798	725,335	7,556,137	906,666	26,312,936	-	-	-	253,644,515	257,598,501	257,598,501	98.47%	

	FY 2013 YTD		FY 2013 YTD		FY 2013 YTD			FY 2013 YTD		FY 2013 Full Year Compensation Revised to FY 2013 Total Budget	FY 2013 Total Compensation to FY 2013 Total Budget	Benchmark (100%) FY 2013 YTD Total	FY 2013 Total Compensation to FY 2013 Total Budget
	FY 2013 YTD Faculty Compensation	FY 2013 YTD Staff Compensation	Ga Ta Ra Pa Salaries	Housestaff Salaries	Student Employment	Workstudy	FY 2013 YTD Student Compensation	FY 2013 YTD Total Compensation	% of FY 2013 YTD Total				
	5,076,459	1,651,279	150,203	-	30,829	1,777	182,809	6,910,546	2.66%	7,374,297	93.71%		
ter	4,291,787	2,442,849	330,678	186,331	88,894	5,889	611,792	7,346,427	2.83%	7,434,580	98.81%		
	972,507	2,189,393	-	-	41,460	8,476	49,935	3,211,835	1.24%	3,494,024	91.92%		
	146,665,033	47,520,576	958,815	29,254,911	880,874	41,752	31,136,352	225,321,960	86.66%	218,703,301	103.03%		
	3,886,811	11,065,844	19,987	-	77,470	(158)	97,299	15,048,954	5.79%	13,216,665	113.86%		
	576,271	1,525,057	-	47,037	31,662	-	78,699	2,180,026	0.84%	2,651,299	82.22%		
	161,467,867	66,394,996	1,459,683	29,488,279	1,151,189	57,736	32,156,886	260,019,749	100.00%	252,874,166	102.83%		
	36,324,615	44,443,820	12,981,956	5,730,689	3,013,555	3,051,904	24,778,105	105,546,540		111,116,677			
Branches, Athletics, HSC and Sponsored Programs)	304,613,756	231,349,121	31,566,437	35,944,303	11,720,881	4,016,306	83,247,927	619,210,804		621,589,344			

Branches, Athletics, HSC and Sponsored Programs)




University Services

University Services Business Operations	UNM Surplus Property
UNM Copy Center	UnivServ Shipping and Receiving
UNM Mailing Systems	UNM Inventory Control
UNM Records Management	Chem Stores/CRLS
http://www.unm.edu/~univserv/	Print Management Program

Date: August 16, 2013

To: Bruce Cherrin, Chief Procurement Officer
Purchasing Department

From: Debra L. Fondino 
Associate Director, University Services

Subject: Equipment Disposition – August 2013

Attached for your review and submission to the Board of Regents is the Surplus Property Disposition Detail list for the month of August, 2013.

Consistent with UNM Board of Regents Policy 7.9 and the Disposition of Surplus Property Act, 13-6-1, NMSA 1978, and based upon documentation submitted by the UNM departments responsible for the equipment, I certify that the equipment identified on the list is worn-out, unusable or obsolete to the extent that the items are no longer economical or safe for continued use by the University. I recommend that the items be deleted from UNM's inventory and disposed of in accordance with the above noted Regents Policy and Surplus Property Act.

Surplus Property Department
Disposition Detail List
as of 08/16/2013

UNM#	Department surplus equipment	Description	Manufacturer	Model#	Serial#	Year	Acquisition Cost	NBV	Method Of Disposal	Disposal Date
200785	Biology Department	CAMERA SYSTEM	Nikon	HFXDX	631527	1993	5,316.00	0.00	Obsolete	08/16/2013
(1) 219993	Civil Engineering Civil Engr	PUMP GRADIENT DENSIT	Dionex	GP40	96030721	1996	14,404.00	0.00	Obsolete	08/13/2013
231334	AS Biology Museum of SW Biology	COMPUTER PRINTER/PLO	HewlettPac	3198B 755CM	SES87910217	1998	6,926.00	0.00	Obsolete	07/25/2013
238855	UC University Honors Program	LASERJET PRINTER	HewlettPac	COLOR 8500	JPDB018826	1999	7,264.00	0.00	Obsolete	07/25/2013
241694	Neurosciences	CAMERA SYSTEM	RoperSci	CE300	1195	2000	9,175.00	0.00	Obsolete	07/26/2013
244395	Biology Department	LASERJET PRINTER	Kodak	867 THERMAL	X7003721	2000	5,887.00	0.00	Obsolete	08/02/2013
259244	Ctr for Adv. Research Computing	COMPUTER MONITOR	IBM	T221	9800494	2002	7,139.00	0.00	Obsolete	07/25/2013
N00004584	Pediatrics Occupational Therapy	LUMEN PROJECTOR	SharpProf	PG-MB60X	409911113	2005	5,493.43	0.00	Obsolete	08/13/2013
N00010482	LosAlamos Branch	I.D. Card Printer	Sellinc	88201 HDP600 w/ Etr	A6500353	2007	5,662.50	0.00	Obsolete	08/13/2013

Disposition value: 67,066.93

Disposition bookvalue: 0.00

Total Disposition Items: 9

08/16/2013

Additional Notes to Surplus listings dated 8/16/13:

1 –Asset# 219993 is a Dionex Pump from the department of Civil Engineering (CE). The pump is 17 years old and served the purpose it was purchased for; however, it is no longer able to work with the updated instruments that have been purchased in the labs. CE gave it to Earth and Planetary Sciences to use for parts to fix other Dionex pumps; the remaining unit is now being surplussed.

----- Note: Any item of value in this list will be re-sold through all means available, i.e.: Request for Bid, Auction house, special auction, online sale, to recover the maximum monies to re-invest in the mission of the requesting department.



Office of Capital Projects

**MEMORANDUM TO ADVANCE
COMMITTEE AGENDA ITEMS TO
THE BOARD OF REGENTS
THE UNIVERSITY OF NEW MEXICO**

DATE: August 23, 2013
TO: David W. Harris, EVP for Administration, COO & CFO
FROM: Chris Vallejos, Associate Vice President, Institutional Support Services
RE: Requested Approvals

RECOMMENDED ACTION:

Recommend to the Board of Regents Finance and Facilities Committee the following:

1. Request for Capital Project Approval for Castetter Hall Biology Phase 3
2. Request for Capital Project Approval for Science and Mathematics Learning Center Phase 2
3. Request for Capital Project Approval for UNM Gallup Campus Career Education Building HVAC Replacement
4. Request for Capital Project Approval for UNM Valencia Campus Sustainability and Infrastructure
5. Request for Capital Project Approval for UNM Los Alamos Science Classrooms Renovation

Please see attached summaries and drawings.

cc: Vahid Staples, Budget Officer, Office of Planning, Budget & Analysis
Robert Doran, University Architect, Planning & Campus Development
Mary Vosevich, Director, Physical Plant Department
R. Henrard, C. Martinez, C. Carian, M. Dion, T. Sanchez – OCP

**REQUEST FOR CAPITAL PROJECT APPROVAL for
CASTETTER HALL BIOLOGY PHASE 3
UNIVERSITY OF NEW MEXICO
September 10, 2013**

REQUESTED ACTION:

In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested for Castetter Hall Biology Phase 3.

PROJECT DESCRIPTION:

This project will complete the remaining 11,000 sf of shell space of the Phase 2 addition. The first floor will finish-out four labs, seven offices and other support areas. The third floor will finish-out three laboratories with associated support spaces, and nine offices. (The second floor was completed in Phase 2). Also included in this project will be demolition of the old Biology Annex (UNM Building #19) which is an old inefficient building.

PROJECT RATIONALE:

The project will accommodate growth in research and teaching in the Biology Department. The department generates approximately \$13,000,000 per year in the research grant funding, the highest at the University of New Mexico, and has seen large growth in students and faculty. The Biology Department Master Plan requires the creation of more new research laboratories, offices, and support since at over 1,000 students the department has the largest number of Majors in the College of Fine Arts and Sciences; University of New Mexico; The State of New Mexico. Biology Majors increased approximately 275% in the last 10 years, and more than 200 of them participate in the research mission, and ninety percent are from New Mexico. In 2011 alone, 36 Biology Undergraduates co-authored papers published in peer-reviewed scientific journals. In addition graduate program in Biology has over 120 Ph.D. and M.S. students, all engaged in research activities.

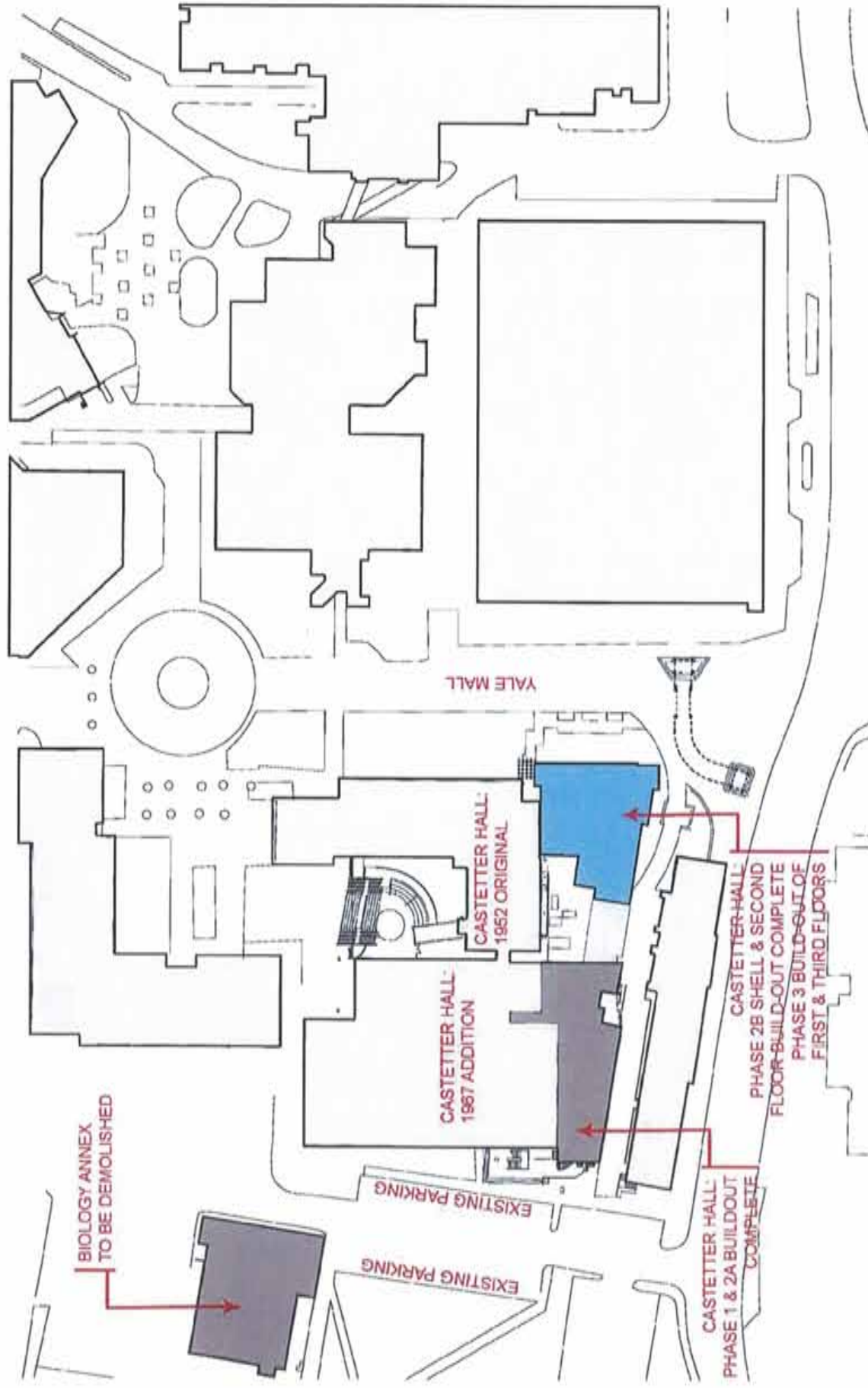
FUNDING:

The total estimated Project Budget is \$3,850,000:

- \$3,000,000 is funded from 2012 General Obligation Bonds
- \$850,000 is funded from 2013 Severance Tax Bonds

UNM CASTETTER HALL PHASE 3

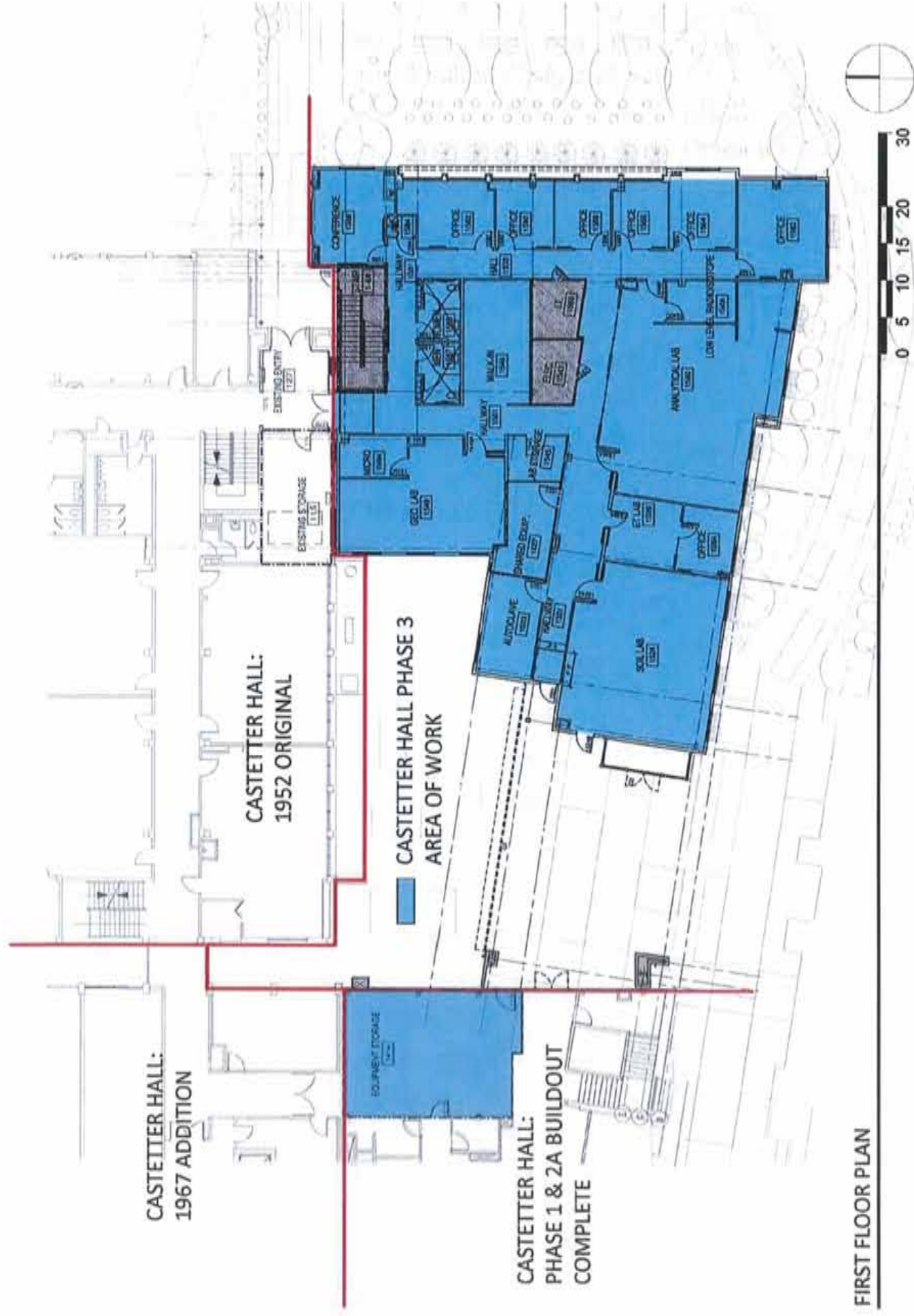
fbt architects



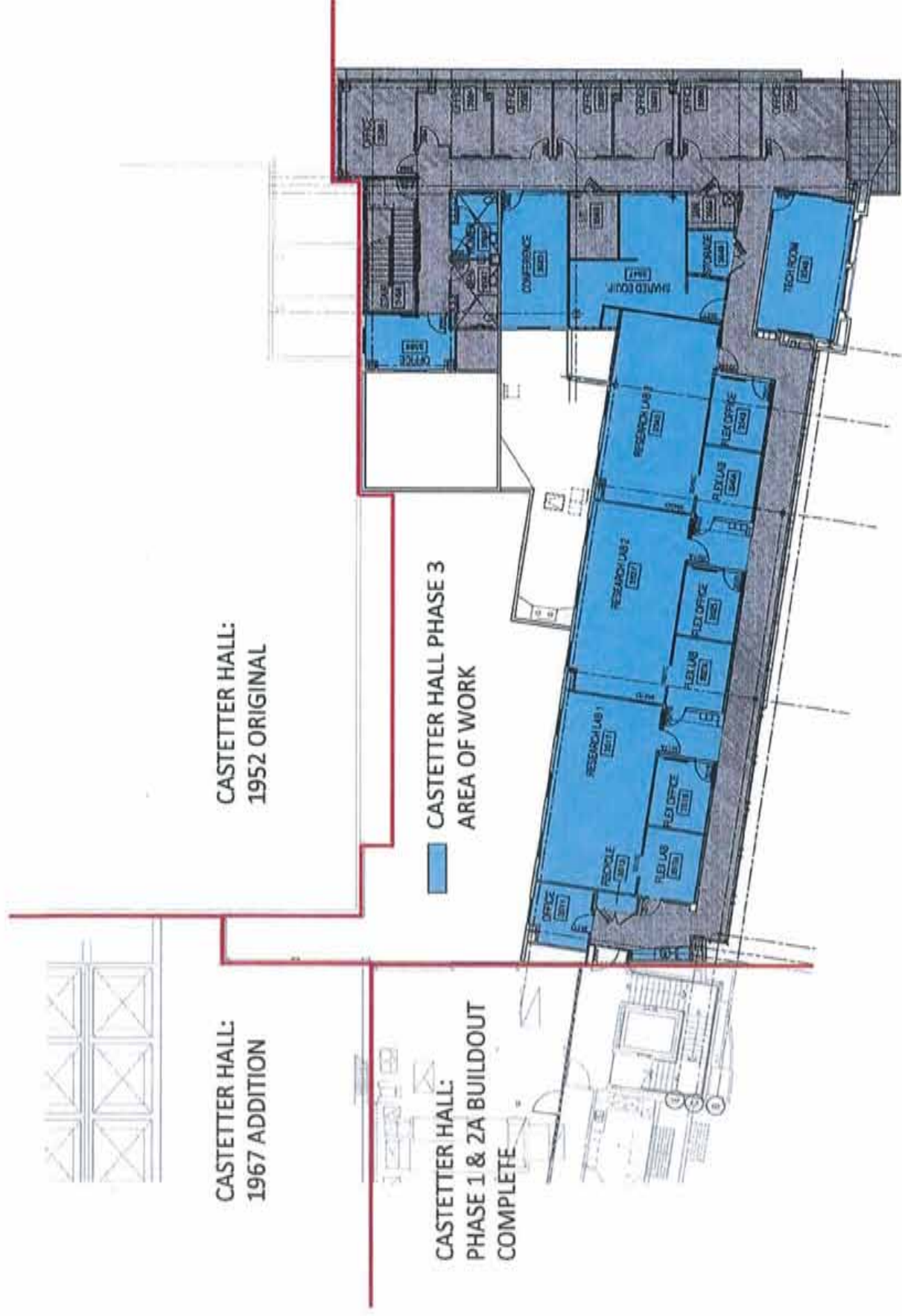
SITE PLAN



UNM CASTETTER HALL PHASE 3



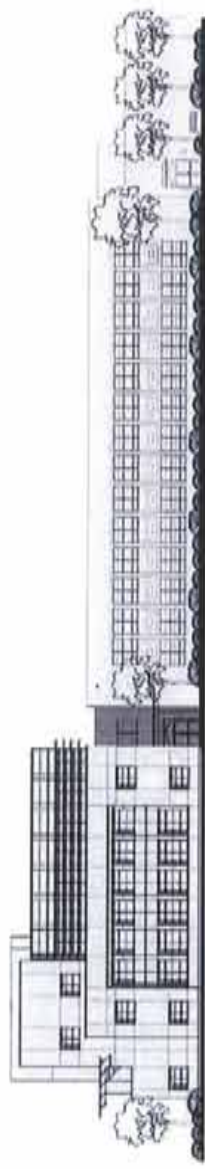
UNM CASTETTER HALL PHASE 3



UNM CASTETTER HALL PHASE 3



EXISTING PHASE 2B SHELL



EAST ELEVATION



EXISTING SECOND FLOOR BUILDOUT



SECTION AT YALE MALL

SECTION ELEVATION AND PHOTOGRAPHS

NOT TO SCALE

**REQUEST FOR CAPITAL PROJECT APPROVAL for
SCIENCE AND MATHEMATICS LEARNING CENTER PHASE 2
UNIVERSITY OF NEW MEXICO
September 10, 2013**

REQUESTED ACTION:

In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested for Science and Mathematics Learning Center Phase 2.

PROJECT DESCRIPTION:

The proposed addition will contain 12,450 square feet of space on four floors (including the basement and mechanical penthouse). The facility will provide two state-of-the-art teaching science laboratories for Organic Chemistry on the 1st and 2nd floors. The project bid documents will include additive alternates to include build-out of eleven offices for faculty in the Department of Mathematics and Statistics on the third floor, and build out of a state-of-the-art teaching science laboratory for Earth and Planetary Sciences in the lower floor. Also included will be associated support offices and storage, in the basement.

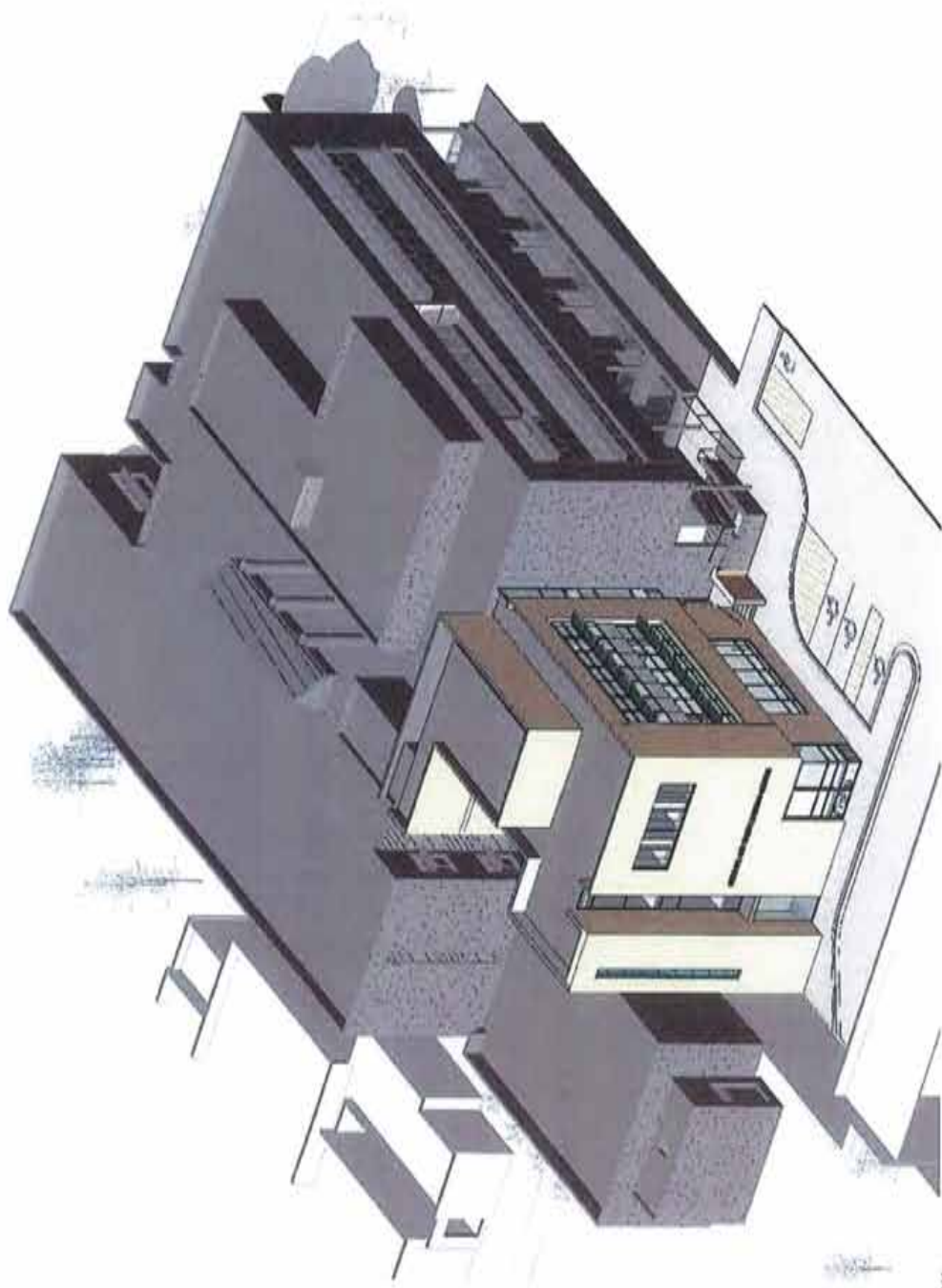
PROJECT RATIONALE:

The new Science and Mathematics Learning Center Addition will provide interactive teaching laboratories and Mathematics offices aimed at engaging freshmen in courses taught by faculty in the Departments of Chemistry, Earth and Planetary Sciences, and Mathematics, which will further foster interactions between freshmen and science faculty and the development of interdisciplinary programs. These divisions of the College of Arts and Sciences consistently make up the highest enrollments for freshmen and underclassman-course study at the University. The addition will allow UNM to carry out its academic mission by providing much-needed science labs, targeted at serving undergraduate students.

FUNDING:

The total estimated Project Budget is \$5,000,000:

- \$5,000,000 is funded from 2013 Severance Tax Bonds

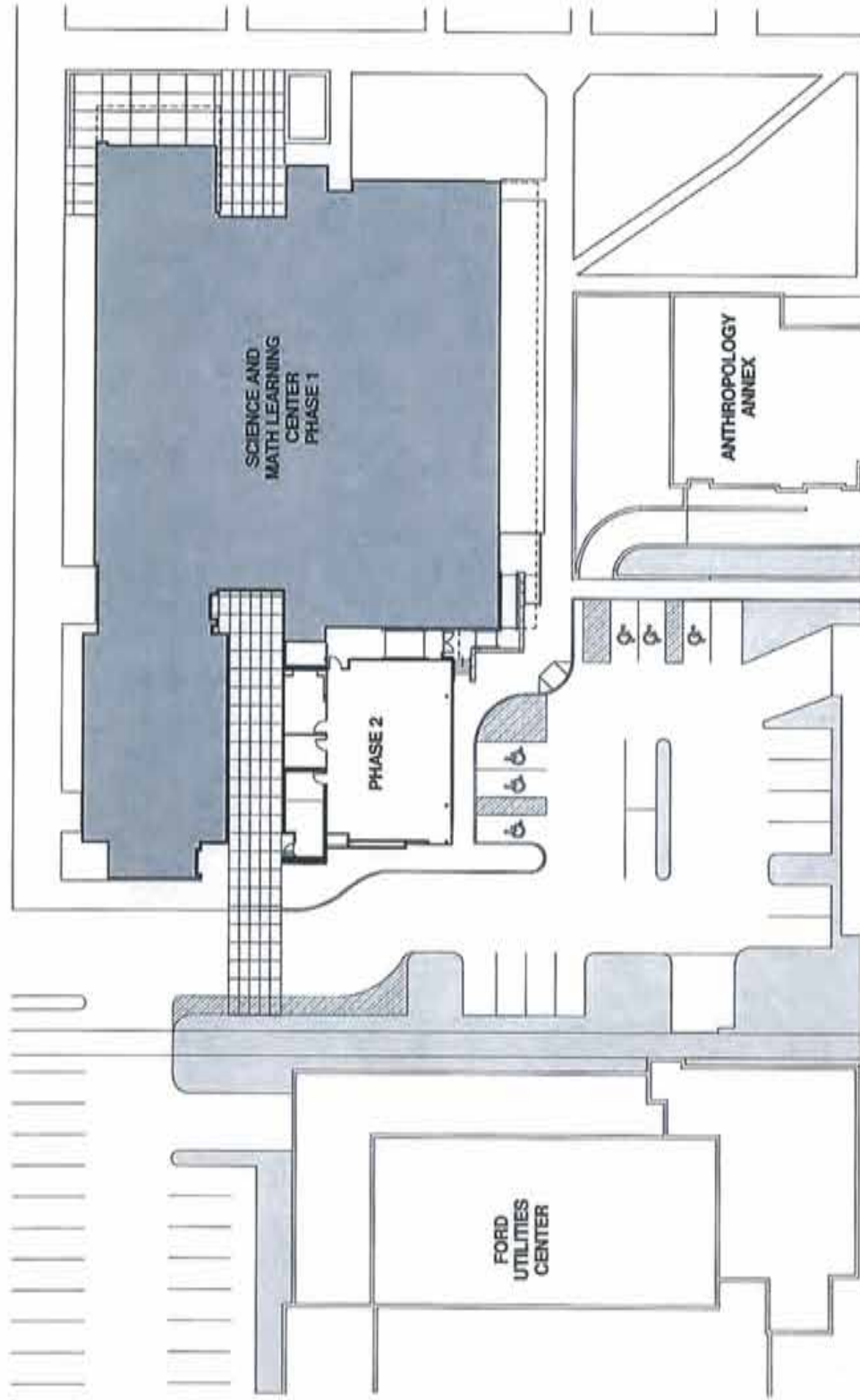


3D VIEW

SCALE:
07/30/13

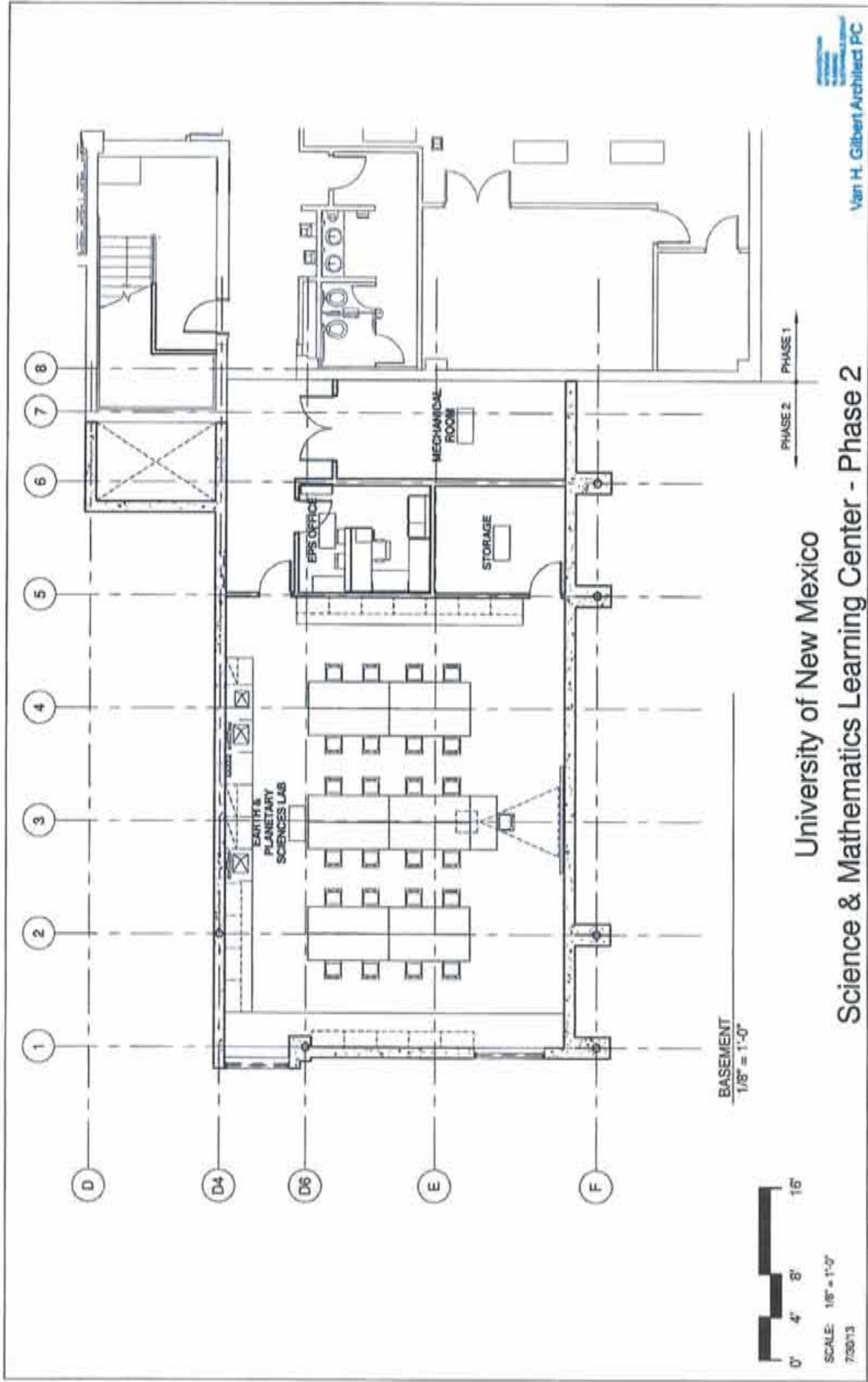
University of New Mexico Science & Mathematics Learning Center - Phase 2

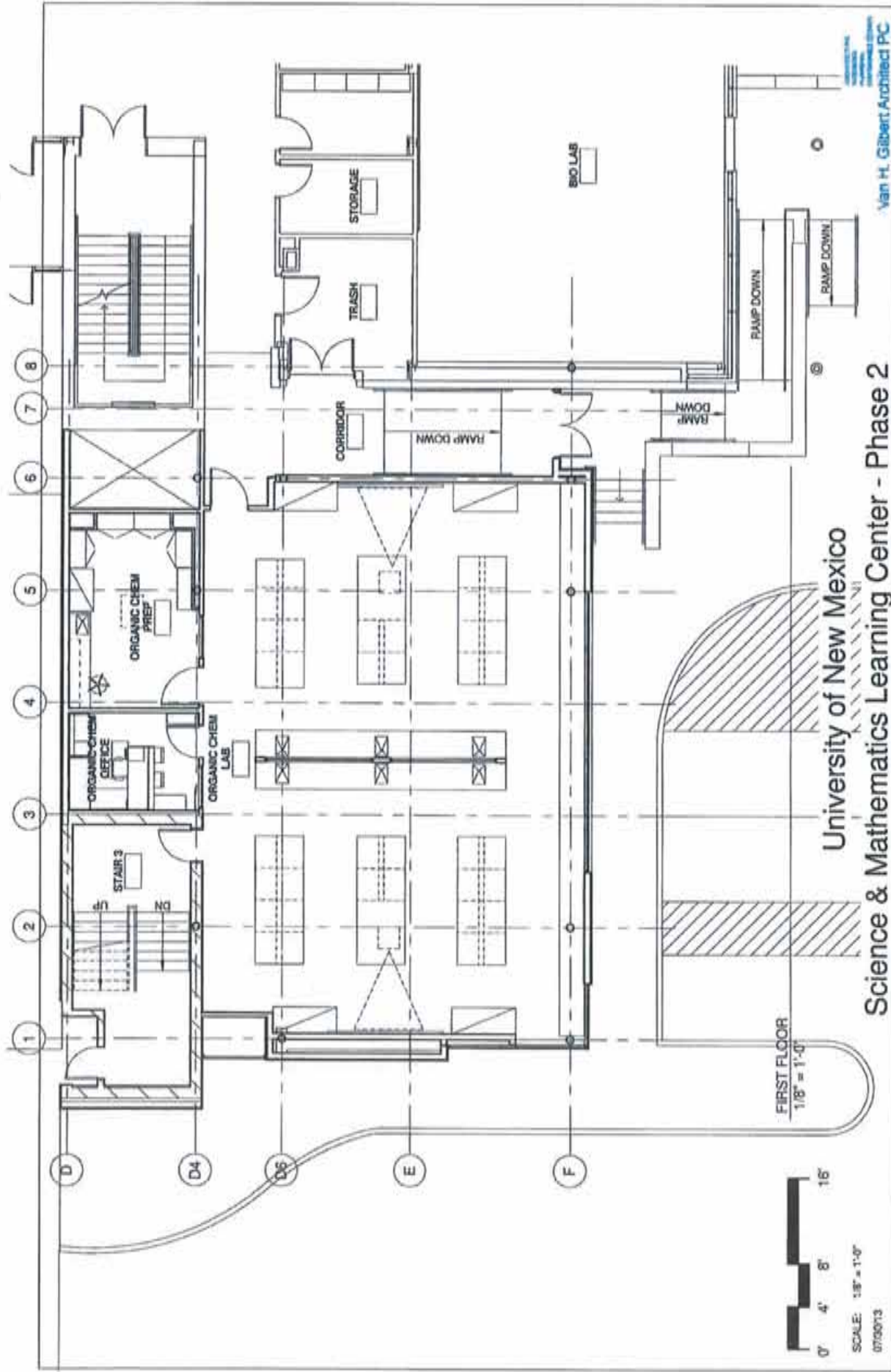
Van H. Gilbert Architect PC
ARCHITECTS
PLANNERS
INTERIORS
LANDSCAPE ARCHITECTS

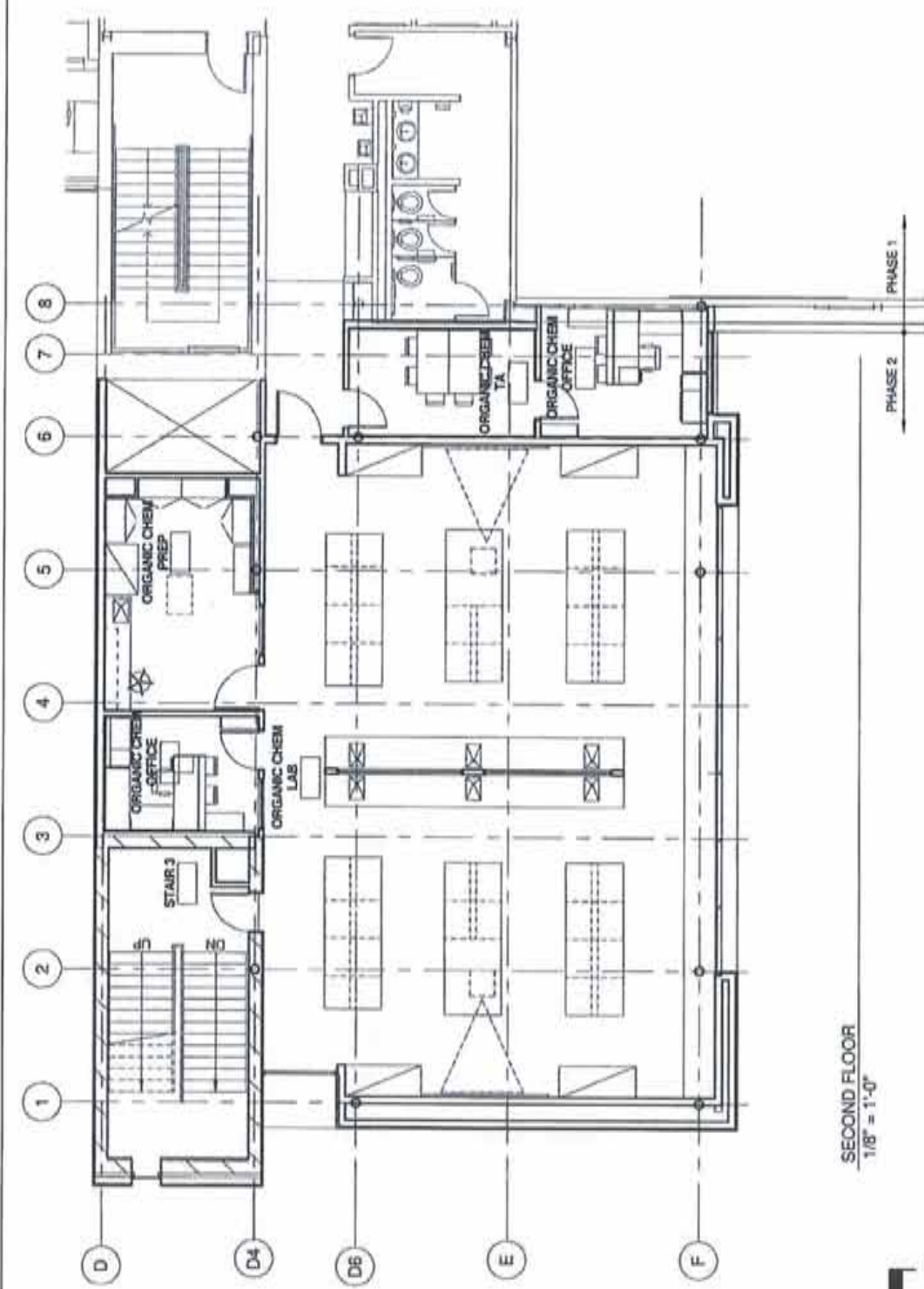


University of New Mexico
Science & Mathematics Learning Center - Phase 2

Van H. Gilbert Architect PC
ARCHITECT
UNIVERSITY OF NEW MEXICO
07/30/13





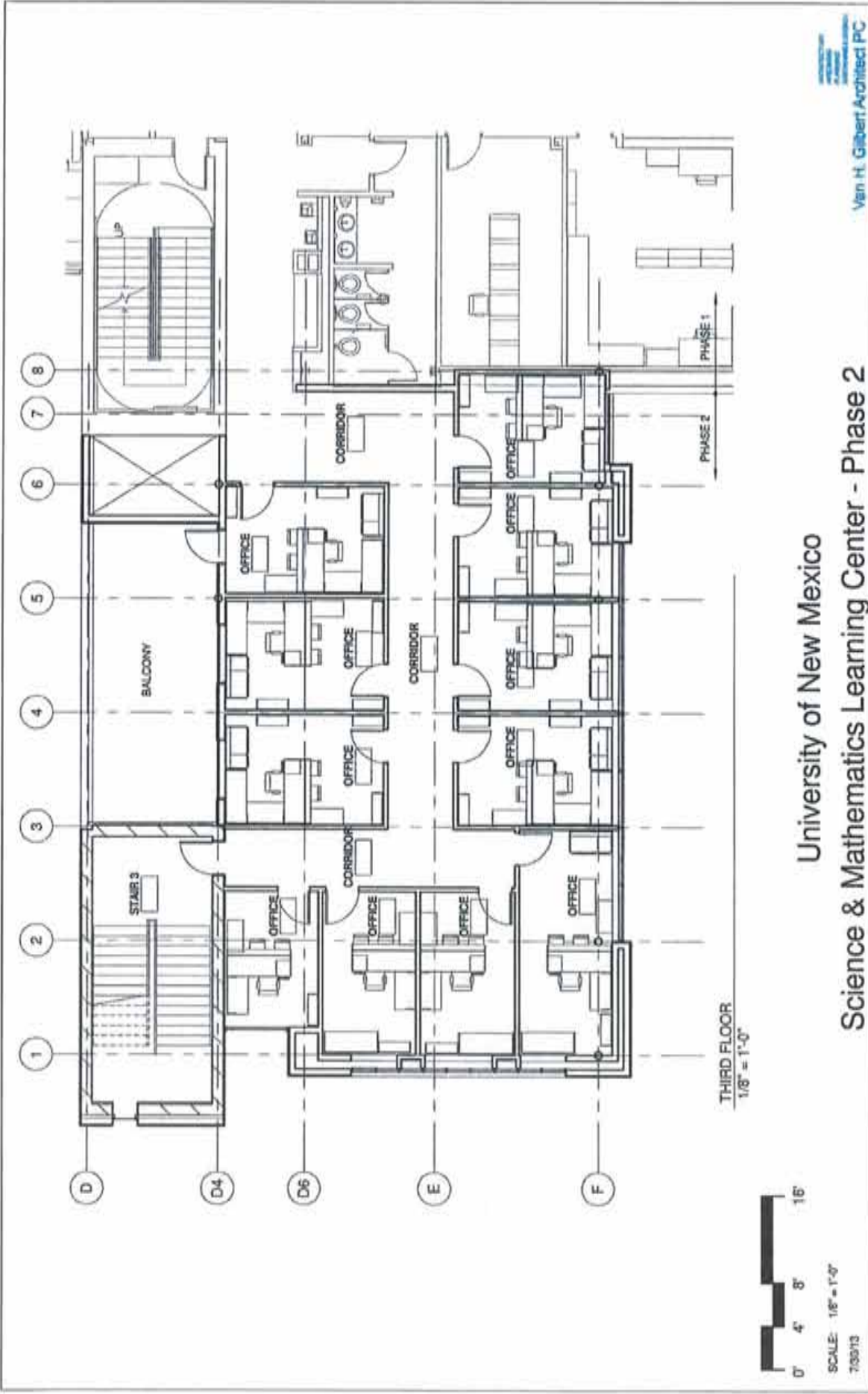


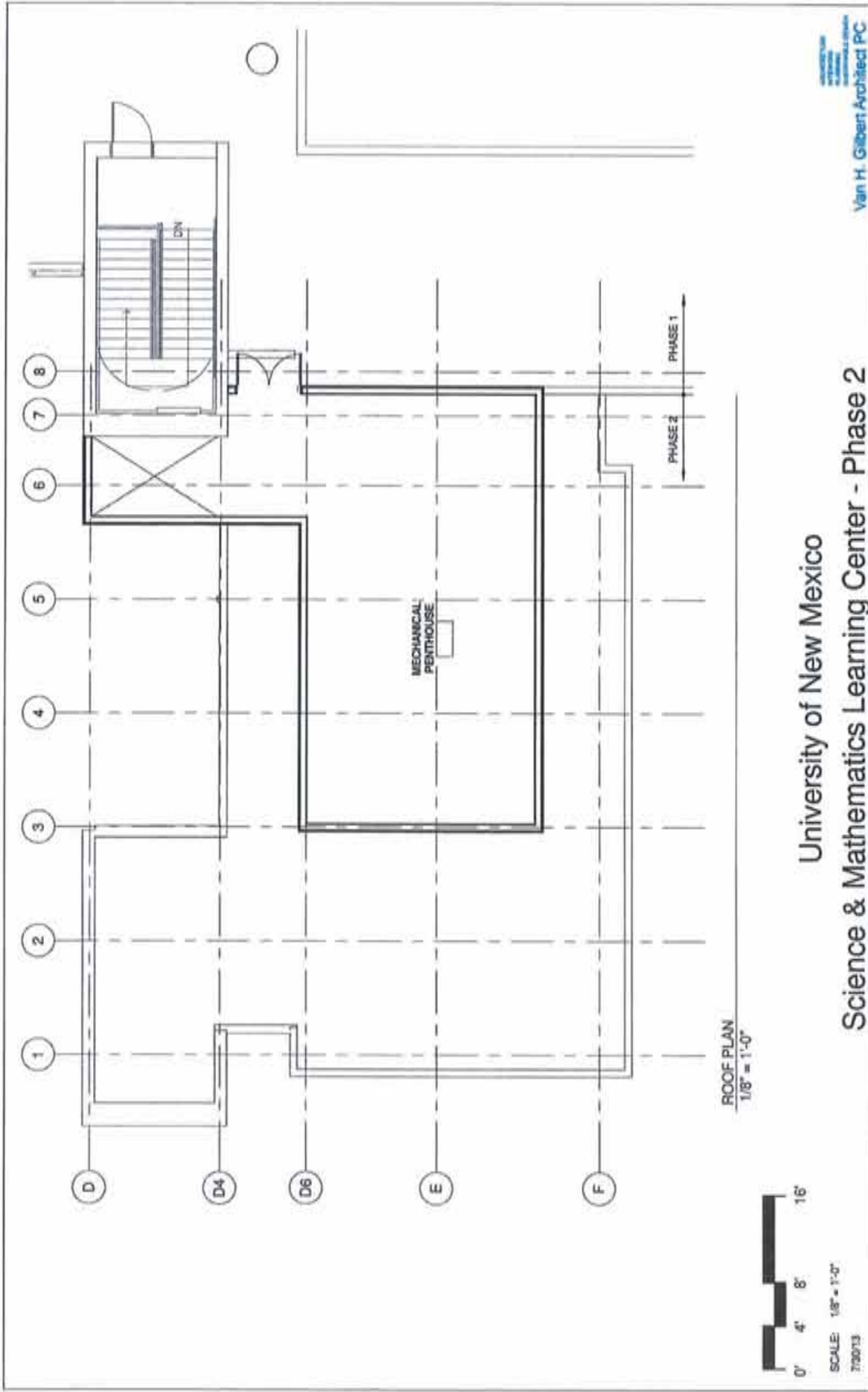
SECOND FLOOR
1/8" = 1'-0"



SCALE: 1/8" = 1'-0"
9700/13

University of New Mexico Science & Mathematics Learning Center - Phase 2





**REQUEST FOR CAPITAL PROJECT APPROVAL for
GALLUP CAMPUS CAREER EDUCATION BUILDING HVAC REPLACEMENT
UNIVERSITY OF NEW MEXICO
September 10, 2013**

REQUESTED ACTION:

In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested for the Career Education Building HVAC Replacement Project at the UNM Gallup Campus.

PROJECT DESCRIPTION:

The project will replace the existing heating, cooling and ventilation systems, which are beyond their useful service life. Equipment including boilers, pumps, fans and air conditioning units will be replaced with new high efficiency models. Digital controls for occupant comfort will replace the existing pneumatic controls. Inefficient lighting and ceilings will be replaced with new energy efficient fixtures. The fire protection system will be expanded to cover areas in the original building not currently protected. Finally some minor cosmetic items will also be addressed within the replacement.

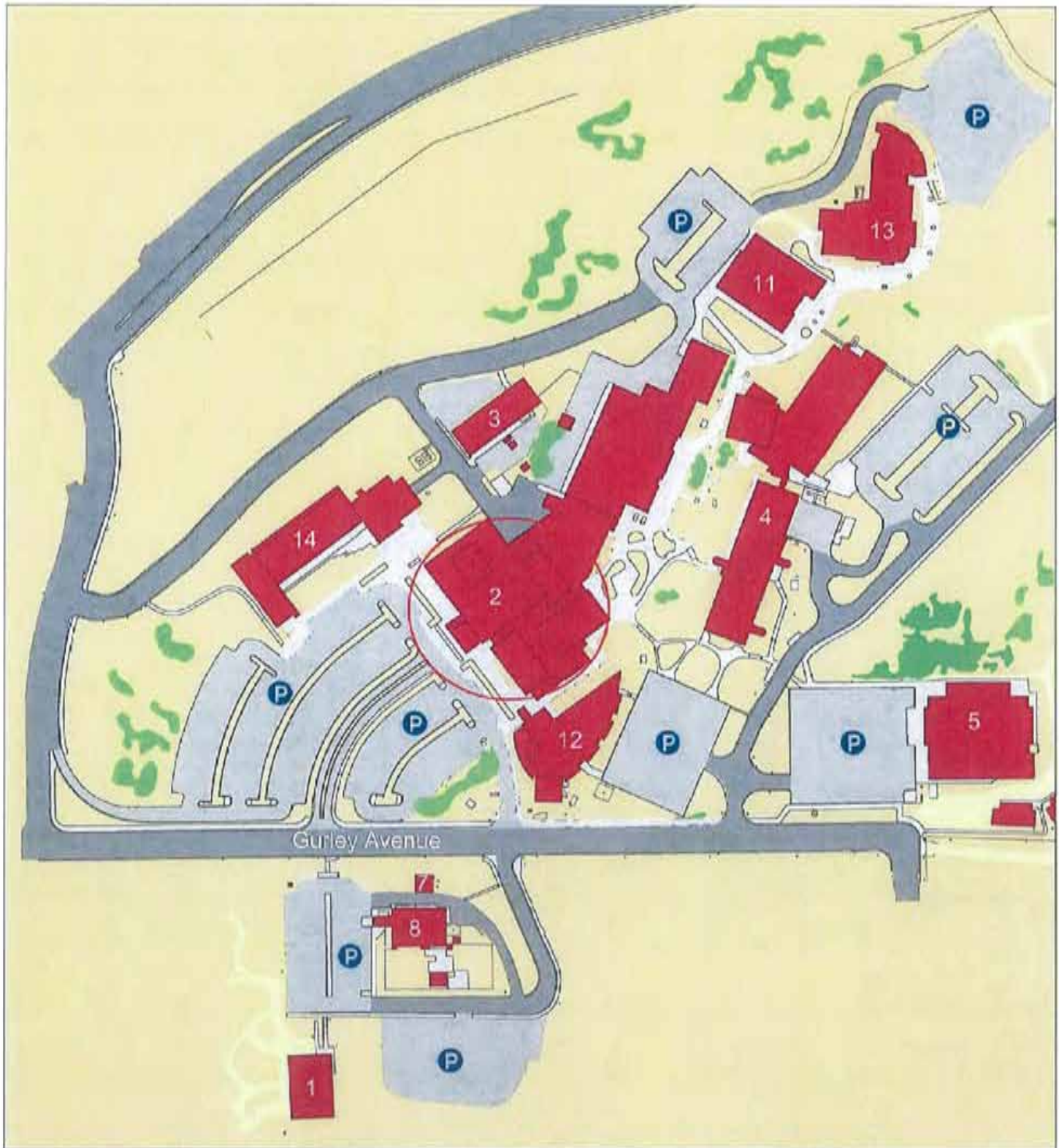
PROJECT RATIONALE:

The heating, cooling, ventilation and lighting systems currently serving the Career Education Building are original to the building's 1978 construction and are beyond their useful service life. The majority of the existing controls systems are pneumatic which provide poor control over occupant comfort. A new digital control system will improve occupant comfort and improve the teaching and learning environment. Inadequate cooling and ventilation also exist for the cosmetology teaching spaces. The new ventilation systems will improve air distribution which is critical to controlling odor from the cosmetology spaces. It is anticipated that this project will result in energy savings of approximately \$7,000/per year.

FUNDING:

The total estimated Project Budget is \$1,200,000:

- \$1,200,000 is funded from 2012 McKinley County General Obligation Bonds



- | | |
|---------------------------|----------------------------|
| 1 Lions Hall | 12 Zellinger Library |
| 2 Gurley Hall | 13 Health Lab 2 |
| 3 Construction Technology | 14 Student Services Center |
| 4 Calvin Hall Center | |
| 5 Physical education | |
| 7 Pump House | |
| 8 Childcare Facility | |
| 11 Health Lab | |

- P** Parking
■ UNM Building

EXHIBIT #1

Planning and Campus Development / Office of Space Management
 spm@unm.edu (Last Updated: 06 March 2013)



**REQUEST FOR CAPITAL PROJECT APPROVAL for
VALENCIA CAMPUS SUSTAINABILITY AND INFRASTRUCTURE
UNIVERSITY OF NEW MEXICO**

September 10, 2013

REQUESTED ACTION:

In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested for Sustainability and Infrastructure Project at the UNM Valencia Campus.

PROJECT DESCRIPTION:

The project will convert the Building Automation Systems (BAS) in all of the Buildings on the Valencia Campus from INET to Automated Logic Controls (ALC).

Additionally the project will restructure the campus Chilled Water distribution and Ice Storage system to improve capacity, functionality, and redundancy.

PROJECT RATIONALE:

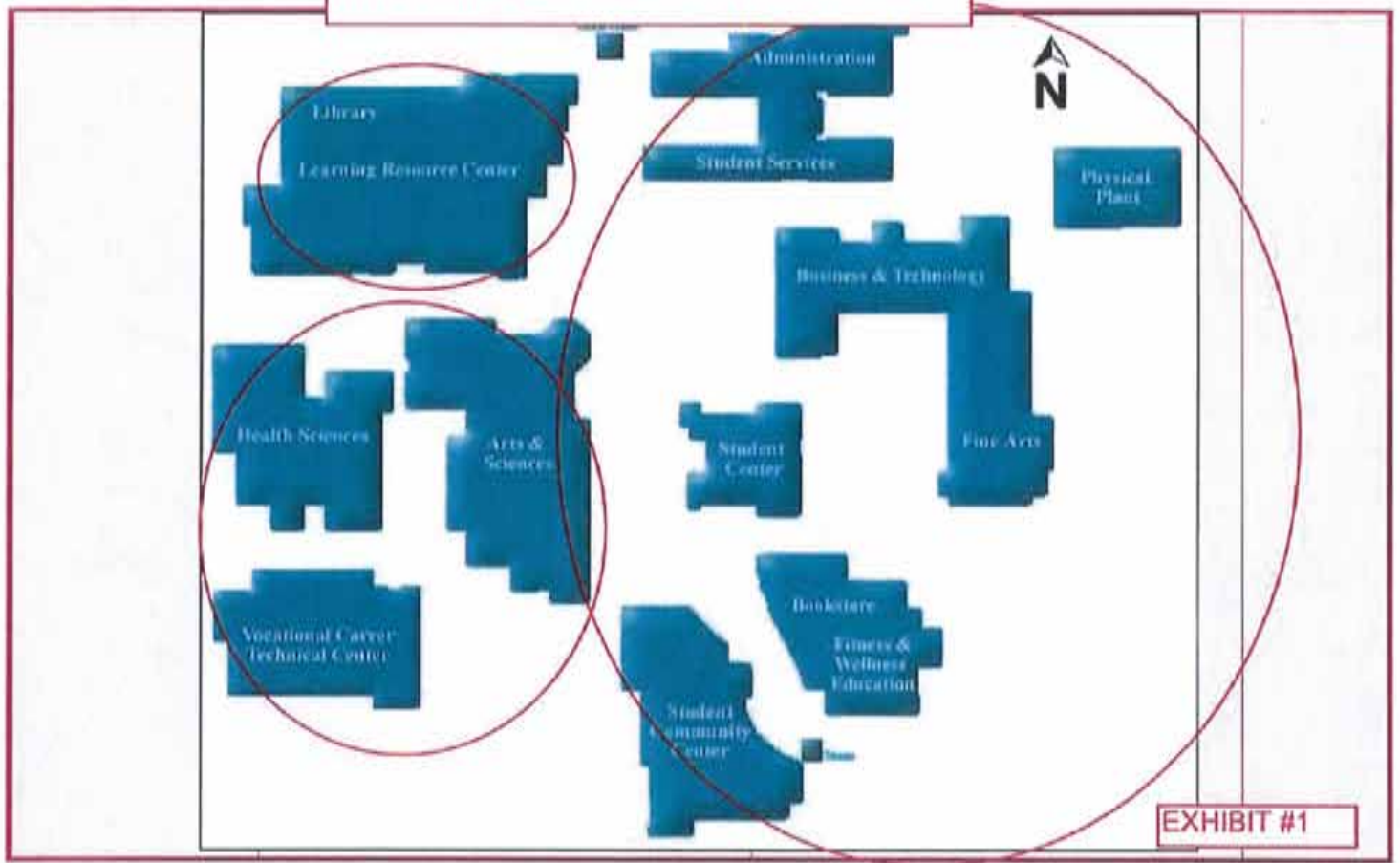
The Valencia Campus Building Automation System is obsolete, no-longer supported, and incompatible with either of the two standard BAS systems installed at the main campus. The current controls do not permit internet connectivity and remote control adjustment of the mechanical and HVAC systems. Installing new controllers, sensors, and actuators will provide standardization and the capability for internet control, troubleshooting, as well as provide for the commissioning of the Mechanical and HVAC systems in these buildings.

There are currently two separate Ice Storage Chilled Water systems which serve the Valencia campus by shifting power consumption to off-peak demand times. The systems have a combination of different chillers, with ice storage tanks that are not distributed or sized for optimal chiller loading. The chilled water distribution systems are currently segregated and are not configured to provide redundancy between them. Reconfiguring the chillers and distribution piping, installing instrumentation and controls, and upgrading the ice storage tanks will result in improved performance and redundancy. It is anticipated that this project will result in energy cost savings of approximately \$9,000 per year.

FUNDING:

The total estimated Project Budget is \$700,000:

- \$700,000 is funded from 2012 State General Obligation Bonds



**REQUEST FOR CAPITAL PROJECT APPROVAL for
UNM LOS ALAMOS SCIENCE CLASSROOMS RENOVATION
UNIVERSITY OF NEW MEXICO
September 10, 2013**

REQUESTED ACTION:

In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested for the renovation of Science Classrooms at the UNM Los Alamos Campus.

PROJECT DESCRIPTION:

The Science Classrooms renovation would provide 2,142 SF of improvements to teaching wet labs and a chemical storage room in Buildings 3 and 5, which are used for Geology, Physics, Nano Science and Environmental Science as well as Biology. The proposed scope of work will include the following:

1. New casework and sink, storage, flooring, lighting, code-approved eyewash and safety shower, lab seating, audio-visual, data and relocation of existing power.
2. Updated audio-visual, hot water at each of 11 lab sinks, a new ice maker and lab seating.
3. Repurposed and new compact shelving, chemical and flammable storage cabinets, and ceiling work will be provided.

PROJECT RATIONALE:

The outdated room configuration and equipment do not support an optimal learning environment nor enough storage and bench top space to support the varied courses and class loads of students. There is no specified ADA bench access, and general classroom visibility to the instructor is impaired by equipment. Additionally, the chemical storage space is over-crowded and does not comply with current guidelines for storage of toxic chemicals and their disposal.

FUNDING:

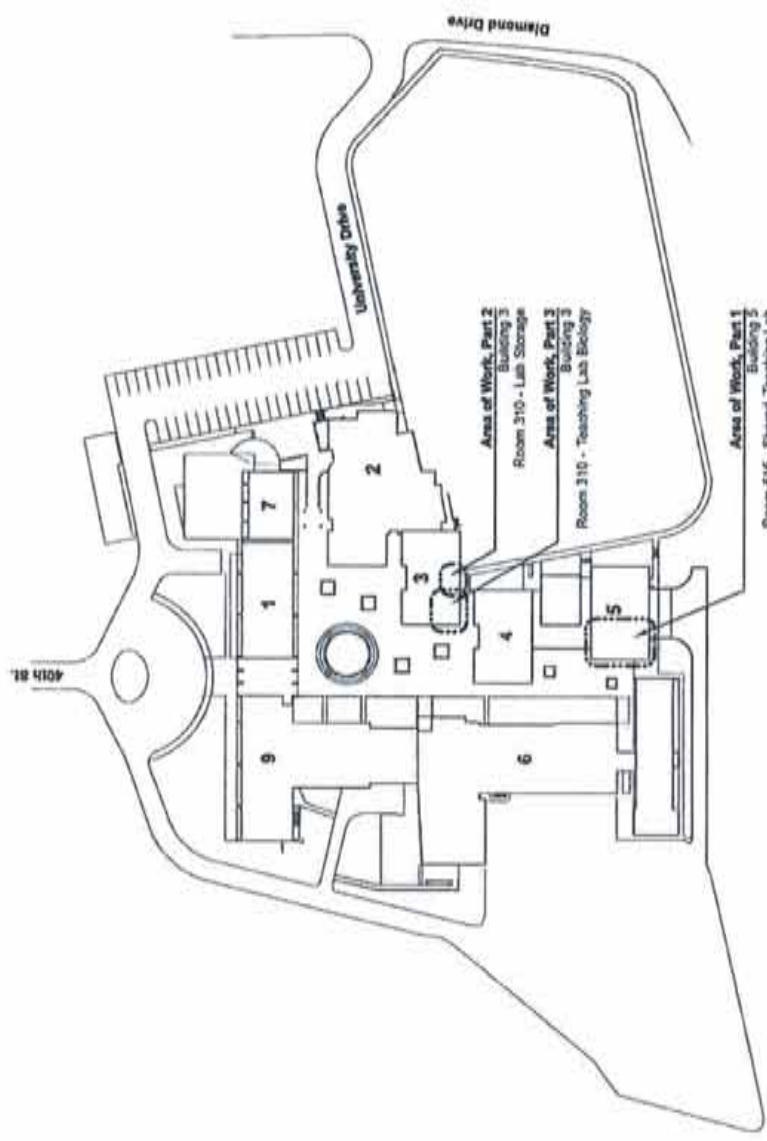
The total estimated Project Budget is \$750,000:

- \$500,000 is funded from 2012 General Obligation Bonds
- \$250,000 is funded from local funds and donations

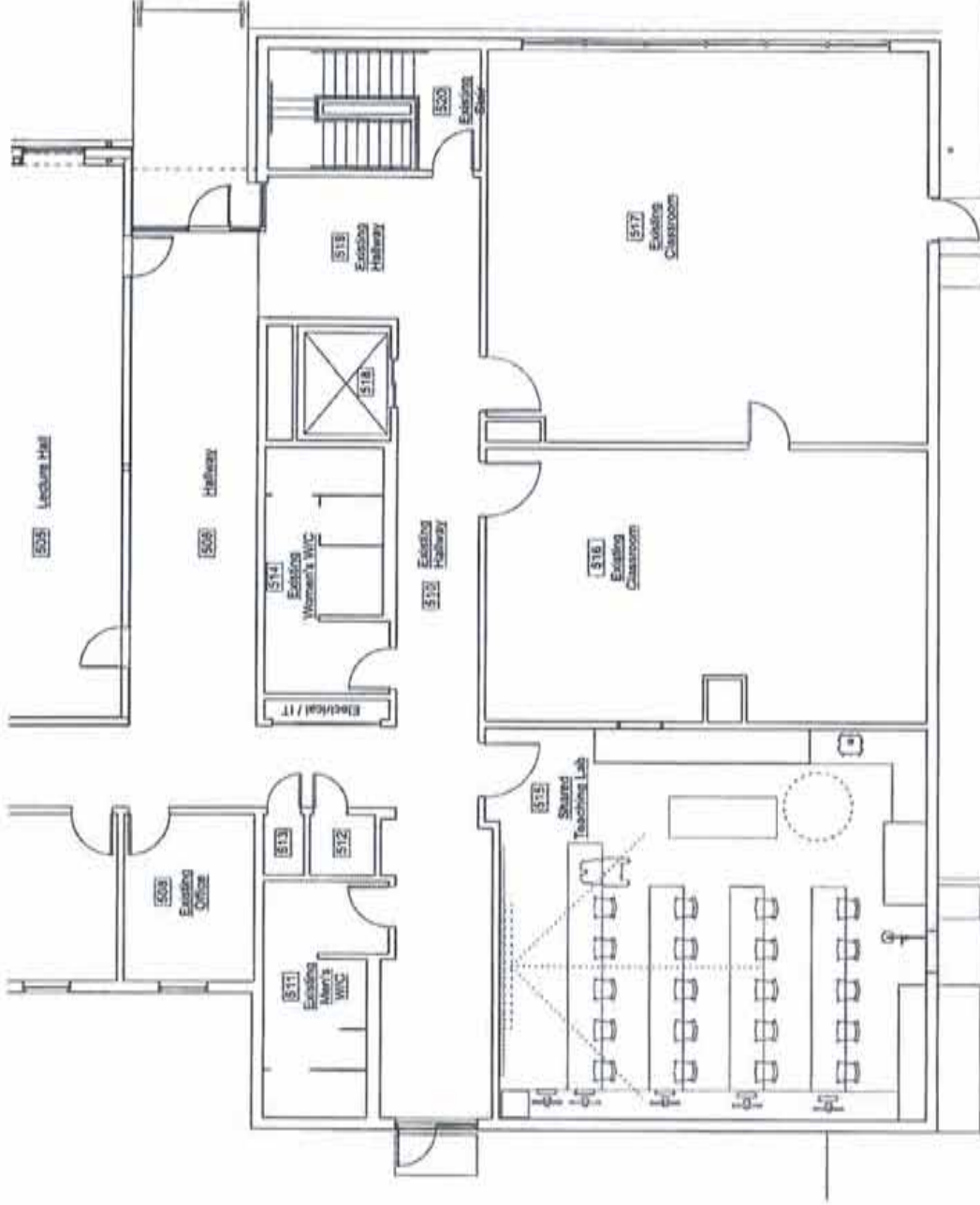
Job Title

August 16th, 2013
 Schematic
 Design
A1
 Site Plan

NOT FOR CONSTRUCTION



N PROJECT NORTH
 UNM Los Alamos Campus : Area of Work Plan
 A1 NTS



1 Plan : Room 515
 A1.0 Scale: 1/8" = 1'-0"



NOT FOR CONSTRUCTION

August 18th, 2013
 Schematic
 Design

A2
 Building 5

BUILDINGS 3 & 5 - SCIENCE LABS RENOVATION PHASE I
 University of New Mexico - Los Alamos Campus
 4000 University Drive, Los Alamos, NM 87544

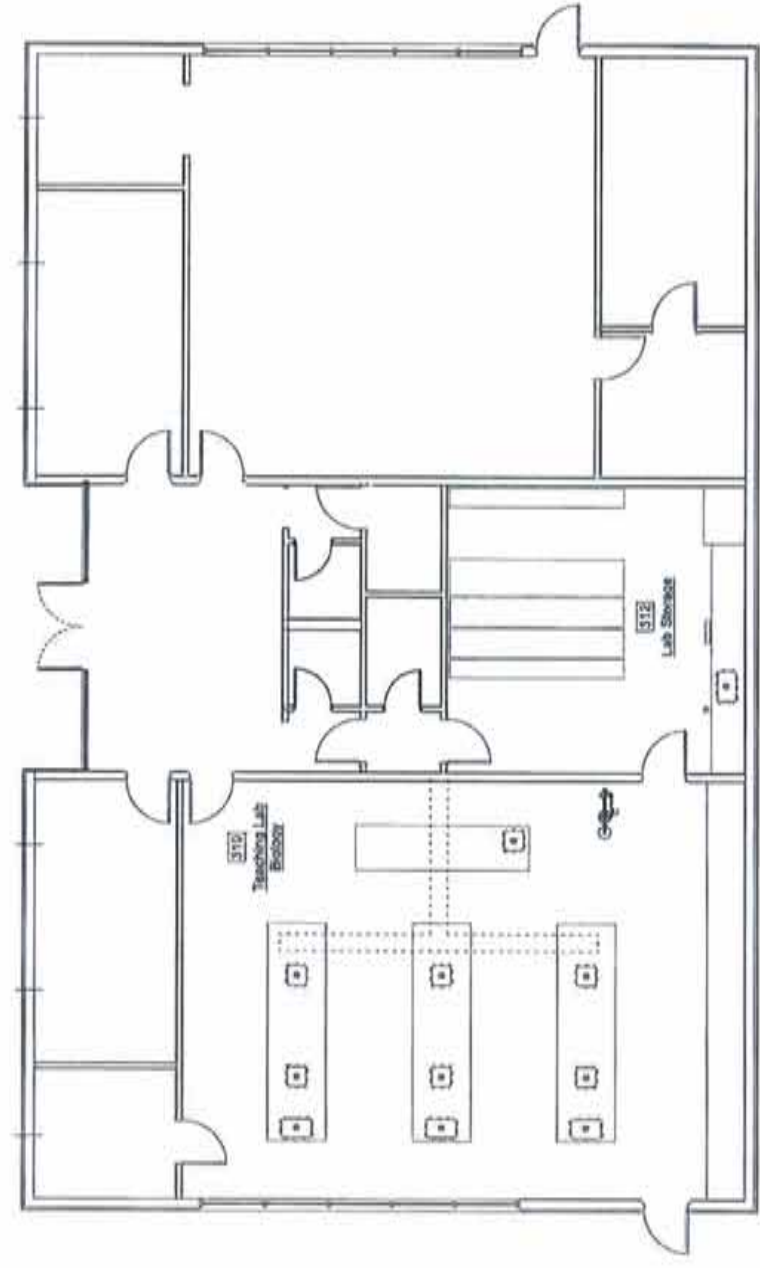
dnca
 218 River St., Albuquerque, NM 87106
 P (505) 255-4033 F (505) 255-0251

Scale 1/8" = 1'-0"

August 16th, 2013
 Schematic
 Design

A3
 Building 3

NOT FOR CONSTRUCTION



1

PLAN : Rooms 310 & 312

A1.0

Scale 1/8" = 1'-0"

N

PROJECT NORTH

Materials will be available at the meeting.



Office of Capital Projects

**MEMORANDUM TO ADVANCE
COMMITTEE AGENDA ITEM TO
THE BOARD OF REGENTS
THE UNIVERSITY OF NEW MEXICO**

DATE: August 23, 2013

TO: David W. Harris, EVP for Administration, COO & CFO

FROM: Chris Vallejos, Associate Vice President, Institutional Support Services

RE: Office of Capital Projects Executive Summary Report

INFORMATION ITEM:

The attached report is submitted for information only.

1. Office of Capital Projects Executive Summary Report dated August 2013

cc: Rick Henrard, Interim Director, Office of Capital Projects
Tony Sanchez, Associate Project Manager, Office of Capital Projects
Christina Martinez, Unit Administrator, Office of Capital Projects

UNM Capital Projects Executive Summary Report

As of August 2013

All Projects

Total Active Projects	35	Total Funding	\$42,726,138	New Projects	1
Total SF	373,280	Total Cost	\$65,624,638	Completed Projects	7

Major Capital

Projects > \$500,000

Total Active Projects	10	Total Funding	\$40,263,838	New Projects	0
Total SF	338,761	Total Cost	\$63,162,338	Completed Projects	1

Minor Capital

Projects between \$1000 and \$500,000

Total Active Projects	25	Total Funding	\$2,462,300	New Projects	1
Total SF	34,519	Total Cost	\$2,462,300	Completed Projects	6

Capital Projects

Capital Projects

Major Capital Report

Schedule Info	Project Name	Architect	Contractor	SF	Project Funding	Est. Project Cost
Planning						
1 Planning Start / Finish: 11/6/2012 – 6/15/2013	Clark Hall Renovations (Chemistry)			81000	\$16,000,000	\$16,000,000
Design Start / Finish: 10/25/2013 – 8/29/2014						
Const. Start / Finish: 9/29/2015 – 10/20/2015						
2 Planning Start / Finish: 6/1/2013 – 3/15/2014	Farris Hall Renovations Planning	Rohde May Keller McNamara Architecture		77237	\$801,500	\$23,700,000
Design Start / Finish: –						
Const. Start / Finish: –						
3 Planning Start / Finish: 5/1/2013 – 8/30/2013	Taos Klauer Campus Student Services Center Renovations			12141	\$2,796,000	\$2,796,000
Design Start / Finish: 10/1/2013 – 12/20/2013						
Const. Start / Finish: 2/3/2014 – 12/12/2014						
4 Planning Start / Finish: 6/1/2012 – 6/1/2013	Zimmerman Library Combined Service Point (CSP)			8000	\$900,000	\$900,000
Design Start / Finish: –						
Const. Start / Finish: –						
Total Projects				4	Total SF	178,378
					Total Cost	\$43,396,000

Capital Projects

Schedule Info	Project Name	Architect	Contractor	SF	Project Funding	Est. Project Cost
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Design

5	Planning Start / Finish: —					
	Design Start / Finish: 6/1/2013 — 9/18/2013	1650 University Tenant Build-Out	FBT Architects	104897	\$7,400,000	\$7,400,000
	Const. Start / Finish: 11/18/2013 — 9/19/2014					
6	Planning Start / Finish: 3/1/2013 — 5/1/2013					
	Design Start / Finish: 7/17/2013 — 11/19/2013	Biology Bldg. Renovation (Caster Hall - Phase 3)	FBT Architects	13000	\$3,850,000	\$3,850,000
	Const. Start / Finish: 1/15/2014 — 9/24/2014					
7	Planning Start / Finish: 3/1/2013 — 8/1/2013					
	Design Start / Finish: 8/5/2013 — 10/18/2013	Los Alamos Science Labs	DNCA Architect	2142	\$750,000	\$750,000
	Const. Start / Finish: 12/9/2013 — 2/14/2014					
8	Planning Start / Finish: —					
	Design Start / Finish: 5/1/2012 — 6/28/2013	McKinnon Family Tennis Center	Molzen-Corbin	40000	\$1,266,338	\$1,266,338
	Const. Start / Finish: 9/9/2013 — 1/15/2014		OCP			

Capital Projects

Schedule Info	Project Name	Architect	Contractor	SF	Project Funding	Est. Project Cost
9	Planning Start / Finish: 10/30/2013 -- 2/21/2013 Design Start / Finish: 5/17/2013 -- 9/16/2013 Const. Start / Finish: 11/8/2013 -- 2/8/2014	North Golf Course	Sites Southwest	TBD	0	\$1,500,000
10	Planning Start / Finish: 5/14/2013 -- 6/14/2013 Design Start / Finish: 8/28/2013 -- 5/14/2014 Const. Start / Finish: 7/16/2014 -- 5/6/2015	Science and Mathematics Learning Center Phase 2	Van Gilbert	14750	\$5,000,000	\$5,000,000
Total Projects		6	Total SF	174,789	Total Cost	\$19,766,338

Capital Projects

Projects Completed

Project Location: HSC

Project Start/End	Project Name	Project Mgr.	Architect	Contractor	SF	Project Cost
1 8/6/2012 6/14/2013	ACC 4th Floor Backfill Department of Internal Medicine	Mary Gauer	Vigil and Associates	Consolidated Builders	2205	\$255,212
2 8/6/2012 6/14/2013	ACC 4th Floor Backfill Department of Pediatrics	Mary Gauer	Vigil and Associates	Consolidated Builders	1684	\$182,000
3 5/22/2012 6/23/2013	ARF Facility Improvement	Mary Gauer	A/Sed Engineering	Klinger Constructors	2479	\$499,886
4 3/14/2013 8/16/2013	CRF 3rd Floor Office/Lab Remodel	Mary Gauer	Darren Sowell Architects	Centennial Contractors	5536	\$117,506
Totals for HSC					Total Projects: 4	Total SF: 11,904
					Total Cost:	\$1,054,604

Project Location: Main Campus

Project Start/End	Project Name	Project Mgr.	Architect	Contractor	SF	Project Cost
5 1/1/2008 7/22/2013	Collaborative Teaching and Learning Building	Christopher Carian	Dekker/Perich/Sa bafini	McCarthy	50000	\$9,502,603
6 3/1/2013 6/15/2013	Logan Hall Lobbies	Tony Sanchez	Design Plus LLC.	3B Builders	1200	\$145,500
7 5/8/2012 7/3/2013	UAEC/UCAM Backfill	Mike Reid	PCD/PPD Engineering	OCP - GC	5400	\$487,000
Totals for Main Campus					Total Projects: 3	Total SF: 56,600
					Total Cost:	\$10,135,103
					Grand Total SF:	68,504
					Grand Total:	\$11,189,707

New Projects

Project Start/End	Project Name	OCP PM	PCD PM	Project Type	SF	Project Cost
7/15/2013	BRF G31, G31A, G32, G32A, G36, G36A Brian Scharmer Lab Renovation			Remodel	1932	\$129,500
Totals for North Campus		Total Projects <input type="text" value="1"/>	Total SF: <input type="text" value="1,932"/>	Total Cost: <input type="text" value="\$129,500"/>		
Grand Total Projects <input type="text" value="1"/>						

Capital Projects

The UNM Regents' Endowment Fund Portfolio

**Quarterly Report for
3rd Quarter of Fiscal Year 2013
[1/1/2013 – 3/31/2013]**

Total Portfolio Value (3/31/2013): \$2,099,220.27

Investment Returns

	3rd Quarter FY 2013	Fiscal YTD 2013	% Return Since Inception (2006)
Regents' Portfolio	11.4%	16.7%	51.2%
S&P 500 Index	10.6%	17.1%	45.8%
Active Return	0.8%	-0.4%	5.4%

Portfolio Dollar Value Weights (3/31/2013):

As individual equity securities:	48.5%
As exchange-traded funds (ETFs):	50.5%
As cash:	<u>1.0%</u>
	100.0%

Transactions during quarter

None.

Disbursements during quarter

None.

The Regents' Portfolio value on March 31 was \$2,099,220.27. The S&P 500 total return for the quarter was 10.6%. With a quarterly return of 11.4%, the UNM Regents' Portfolio exceeded the benchmark, and we are pleased to report the fund has had a 51.2% total return since inception in 2006.

No cash disbursements or transactions were made during the quarter.

The portfolio's sector weights (page 2) still closely mimic the S&P 500 benchmark's sector weights. The exception is the financial sector, which is under-weighted by 3.0 %. The portfolio managers expect the relative flatness in the yield curve and challenges to dealing with European debt to continue to limit the upside for financial firms.

Details of holdings are provided in Appendix 1.

Sector Dollar-Weights (3/31/2013):

	Regents' Portfolio	S&P 500	Delta
Consumer Discretionary	10.8%	11.8%	-1.0%
Consumer Staples	10.8%	11.4%	-0.6%
Energy	10.9%	10.4%	0.5%
Financials	12.9%	15.9%	-3.0%
Health Care	14.7%	13.0%	1.7%
Industrials	9.9%	9.9%	0.0%
Information Technology	19.1%	17.4%	1.7%
Materials	3.5%	3.3%	0.2%
Telecommunication	3.0%	3.2%	-0.2%
Utilities	3.3%	3.7%	-0.4%
Cash	1.0%	0.0%	1.0%

Investment Center Director

Sul Kassiech (Email: sul@unm.edu)

Instructors

Leslie Boni (Email: lbni01@unm.edu)

Mary Anne Majadillas (Email: maj@unm.edu)

Mailing Address

Robert O. Anderson School of Management
MSC05 3090
1 University of New Mexico
Albuquerque, NM 87131

Student Management Team

(Portfolio Managers)

Greg Barnes
Carla Caraveo
Natalie Chiha
Kenneth Cintas
Gilberto Cortes Martinez
Collin Gillespie
Alexander Heubeck
Midori Lambert
Amir Lara
Robert Latimer
Jordan Levy
Gabriel Loures Araujo
Larissa Ramirez
John Ross
Mathew Roybal
Robert Rush
Salbu, Chriss
Thomas Schwab
Tripti Srivastava
Noel Szczepanski
Sangeetha Tripuraneni
Taylor Trodden
Kenndra Wells
Bryan Wilfon

(Securities Analysts)

Gilberto Cortes Martinez
Taiming Fu
Ariel Brunn
Dasom Youn
Hardo Teets
Gabriel Loures Araujo
Joe Coca
Olavo Arthuzo Duarte
Jazmine Ruiz

Appendix 1: Detailed Holdings (3/31/2013)

Description	Symbol	Quantity (Shares)	Price (\$/Share)	Value (\$)	Weight
1 APPLE INC	AAPL	33	\$ 442.66	\$ 14,607.78	0.7%
2 ARCHER DANIELS MIDLAND	ADM	238	\$ 33.73	\$ 8,027.74	0.4%
3 AGRIUM INC COM NPV ISIN #CA0089161081 SEDOL #2213538	AGU	64	\$ 97.50	\$ 6,240.00	0.3%
4 AMN HEALTHCARE SVCS INC COM	AHS	751	\$ 15.83	\$ 11,888.33	0.6%
5 AUTOLIV INC	ALV	500	\$ 69.14	\$ 34,570.00	1.6%
6 ON ASSIGNMENT INC	ASGN	427	\$ 25.31	\$ 10,807.37	0.5%
7 CROSS COUNTRY HEALTHCARE INC	CCRN	836	\$ 5.31	\$ 4,439.16	0.2%
8 CHECK POINT SOFTWARE TECHNOLOGIES ORD ILS0.01	CHKP	405	\$ 46.99	\$ 19,030.95	0.9%
9 CITRIX SYSTEMS INC	CTXS	301	\$ 72.15	\$ 21,717.15	1.0%
10 CHEVRON CORP NEW	CVX	294	\$ 118.82	\$ 34,933.08	1.7%
11 DEERE & COMPANY	DE	289	\$ 85.98	\$ 24,848.22	1.2%
12 DISNEY WALT CO	DIS	915	\$ 56.80	\$ 51,972.00	2.5%
13 E M C CORP MASS	EMC	1,357	\$ 23.89	\$ 32,418.73	1.5%
14 FREEPORT MCMORAN COPPER & GOLD INC.	FCX	330	\$ 33.10	\$ 10,923.00	0.5%
15 FEDEX CORP	FDX	291	\$ 98.20	\$ 28,576.20	1.4%
16 GENERAL ELECTRIC CO	GE	1,338	\$ 23.12	\$ 30,934.56	1.5%
17 GOOGLE INC CL A	GOOG	26	\$ 794.19	\$ 20,648.89	1.0%
18 HONEYWELL INTL INC	HON	445	\$ 75.35	\$ 33,530.75	1.6%
19 INTEL CORP	INTC	1,628	\$ 21.84	\$ 35,547.38	1.7%
20 IPC THE HOSPITALIST CO INC COM	IPCM	221	\$ 44.48	\$ 9,830.08	0.5%
21 ISHARES TR DOW JONES US TECHNOLOGY SECTOR INDEX FD	IYW	2,049	\$ 73.31	\$ 150,212.19	7.2%
22 JPMORGAN CHASE & CO	JPM	574	\$ 47.46	\$ 27,242.04	1.3%
23 KINDER MORGAN ENERGY PARTNERS L P	KMP	416	\$ 89.77	\$ 37,344.32	1.8%
24 LINEAR TECHNOLOGY CORP	LLTC	1,095	\$ 38.37	\$ 42,015.15	2.0%
25 MONSANTO CO NEW	MON	134	\$ 105.63	\$ 14,154.42	0.7%
26 MICROSOFT CORP	MSFT	1,450	\$ 28.61	\$ 41,477.25	2.0%
27 NASDAQ OMX GROUP INC	NDAQ	1,215	\$ 32.30	\$ 39,244.50	1.9%
28 PEPSICO INC	PEP	537	\$ 79.11	\$ 42,482.07	2.0%
29 POTASH CORP OF SASKATCHEWAN COM NPV ISIN #CA73755L107	POT	159	\$ 39.25	\$ 6,240.75	0.3%
30 PPG INDUSTRIES	PPG	188	\$ 133.94	\$ 25,180.72	1.2%
31 RIO TINTO ADR EACH REP 1 ORD	RIO	248	\$ 47.08	\$ 11,675.84	0.6%
32 SCHLUMBERGER LIMITED COM USD0.01	SLB	363	\$ 74.89	\$ 27,185.07	1.3%
33 STRYKER CORP	SYK	635	\$ 65.24	\$ 41,427.40	2.0%
34 SYMANTEC CORP	SYMC	968	\$ 24.68	\$ 23,890.24	1.1%
35 AT&T INC COM	T	1,029	\$ 36.69	\$ 37,754.01	1.8%
36 TEAM HEALTH HOLDINGS INC COM USD0.01	TMH	301	\$ 36.38	\$ 10,950.38	0.5%
37 UNION PACIFIC CORP	UNP	265	\$ 142.41	\$ 37,738.65	1.8%
38 VERIZON COMMUNICATIONS	VZ	509	\$ 49.15	\$ 25,017.35	1.2%
39 WALGREEN COMPANY	WAG	869	\$ 47.68	\$ 41,433.92	2.0%
40 WELLS FARGO & CO NEW	WFC	1,100	\$ 36.99	\$ 40,689.00	1.9%
41 SPDR SER TR S&P BIOTECH ETF	XBI	1,050	\$ 99.88	\$ 104,874.00	5.0%
42 SECTOR SPDR TR SHS BEN INT ENERGY	XLE	1,643	\$ 79.31	\$ 130,306.33	6.2%
43 SECTOR SPDR TR SHS BEN INT FINANCIAL	XLF	8,971	\$ 18.21	\$ 163,352.94	7.8%
44 SECTOR SPDR TR SHS BEN INT INDUSTRIAL	XLI	1,266	\$ 41.76	\$ 52,868.16	2.5%
45 SECTOR SPDR TR SHS BEN INT CONSUMER STAPLES	XLP	3,397	\$ 39.77	\$ 135,098.69	6.4%
46 SECTOR SPDR TR SHS BEN INT UTILITIES	XLU	1,781	\$ 39.10	\$ 69,637.10	3.3%
47 SELECT SECTOR SPDR TR HEALTH CARE FORMERLY CONSUMER XLV		2,481	\$ 46.01	\$ 114,148.33	5.4%
48 SELECT SECTOR SPDR TR CONSUMER DISCRETIONARY FORMERLY XLY		2,642	\$ 53.00	\$ 140,026.00	6.7%
49 Cash		20,062.08	\$ 1.00	\$ 20,062.08	1.0%

The UNM Regents' Endowment Fund Portfolio

Quarterly Report for 4th Quarter of Fiscal Year 2013 [3/31/2013 – 6/30/2013]

Total Portfolio Value (6/30/2013): \$2,079,114.99

Investment Returns

	4th Quarter FY 2013	Fiscal Year 2013	% Return Since Inception (2006)
Regents' Portfolio	2.7%	19.9%	55.3%
S&P 500 Index	2.9%	20.5%	50.0%
Active Return	-0.2%	-0.6%	5.3%

Portfolio Dollar Value Weights (6/30/2013):

As individual equity securities:	52.1%
As exchange-traded funds (ETFs):	47.6%
As cash:	<u>0.3%</u>
	100.0%

Transactions during quarter

Please see Appendix 2.

Disbursements during quarter

\$77,694.34 to the UNM Foundation on May 7, 2013.

The Regents' Portfolio value on June 30 was \$2,079,114.99. The S&P 500 total return for the quarter was 2.9%. With a return for the quarter of 2.7%, the UNM Regents' Portfolio just missed the benchmark by 0.2%. We are pleased to report the fund had a return for the 2013 fiscal year of 19.9% and has had a 55.3% total return since inception in 2006.

A cash disbursement of \$77,694.34 was made to the UNM Foundation on May 7, 2013.

On April 30, 6 new stocks were added to the portfolio to position the portfolio to take advantage of the forecast long-term trend in "big data". Sector ETF positions were reduced to generate the funds to purchase the new stocks as well as cash for the disbursement to the UNM Foundation. The portfolio's sector weights (page 2) closely mimic the S&P 500 benchmark's sector weights. The exceptions are the overweighting of information technology for big data and cyber security positions and underweighting in the financial sector. The portfolio managers expect the relative flatness in the yield curve to continue to limit the upside for financial firms.

Details of holdings are provided in Appendix 1. Transaction details are provided in Appendix 2.

Sector Dollar-Weights (6/30/2013):

	Regents' Portfolio	S&P 500	Delta
Consumer Discretionary	11.3%	12.3%	-1.0%
Consumer Staples	10.3%	10.5%	-0.2%
Energy	10.4%	10.6%	-0.2%
Financials	13.9%	16.6%	-2.7%
Health Care	12.7%	12.6%	0.0%
Industrials	10.1%	10.1%	0.0%
Information Technology & Telecommunication	24.7%	20.8%	3.9%
Materials	3.4%	3.3%	0.2%
Utilities	3.0%	3.3%	-0.3%
Cash	0.2%	0.0%	0.2%

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 Collin Gillespie
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 Midori Lambert
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 Robert Latimer
 Jordan Levy
 Gabriel Loures Araujo
 Larissa Ramirez
 John Ross
 Mathew Roybal
 Robert Rush
 Chriss Salbu
 Thomas Schwab
 Tripti Srivastava
 Noel Szczepanski
 Sangeetha Tripuraneni
 Taylor Trodden
 Kenndra Wells
 Bryan Wilfon

(Securities Analysts)

Gilberto Cortes Martinez
 Taiming Fu
 Ariel Brunn
 Dasom Youn
 Hardo Teets
 Gabriel Loures Araujo
 Joe Coca
 Olavo Arthuzo Duarte
 Jazmine Ruiz

Appendix 1: Detailed Holdings (6/30/2013)

	Description	Symbol	Quantity (Shares)	Price (\$/Share)	Value (\$)	Weight
1	FCASH	Cash			\$ 5,131.93	0.2%
2	AAPL	APPLE INC	33	396.53	\$ 13,085.49	0.6%
3	ACXM	ACXIOM CORP	341	22.68	\$ 7,733.88	0.4%
4	ADM	ARCHER DANIELS MIDLAND	238	33.91	\$ 8,070.58	0.4%
5	AGU	AGRIUM INC COM NPV ISIN #CA0089161081 SEI	64	86.96	\$ 5,565.44	0.3%
6	AHS	AMN HEALTHCARE SVCS INC COM	751	14.32	\$ 10,754.32	0.5%
7	ALV	AUTOLIV INC	500	77.39	\$ 38,695.00	1.9%
8	ASGN	ON ASSIGNMENT INC	427	26.72	\$ 11,409.44	0.5%
9	CA	CA INC COM	248	28.62	\$ 7,097.76	0.3%
10	CCRN	CROSS COUNTRY HEALTHCARE INC	836	5.16	\$ 4,313.76	0.2%
11	CHKP	CHECK POINT SOFTWARE TECHNOLOGIES OF	405	49.68	\$ 20,120.40	1.0%
12	CTXS	CITRIX SYSTEMS INC	301	60.36	\$ 18,168.36	0.9%
13	CVX	CHEVRON CORP NEW	294	118.34	\$ 34,791.96	1.7%
14	DE	DEERE & COMPANY	289	81.25	\$ 23,481.25	1.1%
15	DIS	DISNEY WALT CO	915	63.15	\$ 57,782.25	2.8%
16	EMC	E M C CORP MASS	1,357	23.62	\$ 32,052.34	1.5%
17	FCX	FREEMPORT MCMORAN COPPER & GOLD INC.	330	27.61	\$ 9,111.30	0.4%
18	FDX	FEDEX CORP	291	98.58	\$ 28,686.78	1.4%
19	GE	GENERAL ELECTRIC CO	1,338	23.19	\$ 31,028.22	1.5%
20	GOOG	GOOGLE INC CL A	26	880.37	\$ 22,889.62	1.1%
21	HON	HONEYWELL INTL INC	445	79.34	\$ 35,306.30	1.7%
22	INFA	INFORMATICA CORP	200	34.98	\$ 6,996.00	0.3%
23	INTC	INTEL CORP	1,628	24.23	\$ 39,446.44	1.9%
24	IPCM	IPC THE HOSPITALIST CO INC COM	221	51.36	\$ 11,350.56	0.5%
25	IYW	ISHARES TR DOW JONES US TECHNOLOGY SE	2,049	73.63	\$ 150,867.87	7.3%
26	JPM	JPMORGAN CHASE & CO	574	52.79	\$ 30,301.46	1.5%
27	KMP	KINDER MORGAN ENERGY PARTNERS L P	416	85.4	\$ 35,526.40	1.7%
28	LLTC	LINEAR TECHNOLOGY CORP	1,095	36.84	\$ 40,339.80	1.9%
29	MON	MONSANTO CO NEW	134	98.8	\$ 13,239.20	0.6%
30	MSFT	MICROSOFT CORP	1,450	34.545	\$ 50,090.25	2.4%
31	MSTR	MICROSTRATEGY INC CL A NEW	77	86.96	\$ 6,695.92	0.3%
32	NDAQ	NASDAQ OMX GROUP INC	1,215	32.79	\$ 39,839.85	1.9%
33	NTAP	NETAPP INC COM	200	37.78	\$ 7,556.00	0.4%
34	PEP	PEPSICO INC	537	81.79	\$ 43,921.23	2.1%
35	POT	POTASH CORP OF SASKATCHEWAN COM NPV	159	38.13	\$ 6,062.67	0.3%
36	PPG	PPG INDUSTRIES	188	146.41	\$ 27,525.08	1.3%
37	RIO	RIO TINTO ADR EACH REP 1 ORD	248	41.08	\$ 10,187.84	0.5%
38	SLB	SCHLUMBERGER LIMITED COM USD0.01	363	71.66	\$ 26,012.58	1.3%
39	SYK	STRYKER CORP	635	64.68	\$ 41,071.80	2.0%
40	SYMC	SYMANTEC CORP	968	22.48	\$ 21,760.64	1.0%
41	T	AT&T INC COM	1,029	35.4	\$ 36,426.60	1.8%
42	TDC	TERADATA CORP DEL COM	131	50.23	\$ 6,580.13	0.3%
43	TMH	TEAM HEALTH HOLDINGS INC COM USD0.01	301	41.07	\$ 12,362.07	0.6%
44	UNP	UNION PACIFIC CORP	265	154.28	\$ 40,884.20	2.0%
45	VZ	VERIZON COMMUNICATIONS	509	50.34	\$ 25,623.06	1.2%
46	WAG	WALGREEN COMPANY	869	44.2	\$ 38,409.80	1.8%
47	WFC	WELLS FARGO & CO NEW	1,100	41.27	\$ 45,397.00	2.2%
48	XBI	SPDR SER TR S&P BIOTECH ETF	516	104.26	\$ 53,798.16	2.6%
49	XLE	SECTOR SPDR TR SHS BEN INT ENERGY	1,519	78.3	\$ 118,937.70	5.7%
50	XLF	SECTOR SPDR TR SHS BEN INT FINANCIAL	8,971	19.445	\$ 174,441.10	8.4%
51	XLI	SECTOR SPDR TR SHS BEN INT INDUSTRIAL	1,166	42.64	\$ 49,718.24	2.4%
52	XLP	SECTOR SPDR TR SHS BEN INT CONSUMER ST	3,142	39.67	\$ 124,643.14	6.0%
53	XLU	SECTOR SPDR TR SHS BEN INT UTILITIES	1,647	37.63	\$ 61,976.61	3.0%
54	XLV	SELECT SECTOR SPDR TR HEALTH CARE	2,481	47.61	\$ 118,120.41	5.7%
55	XLV	SELECT SECTOR SPDR TR CONSUMER DISCRE	2,442	56.4	\$ 137,728.80	6.6%

Appendix 2: Transaction Details (4/30/2013)

Description	Symbol	Quantity (Shares)	Price (\$/Share)	Value (\$)
Purchases (12/07/2012)				
ACXIOM CORP	ACXM	341	19.76	\$ (6,738.16)
CA INC COM	CA	248	26.98	\$ (6,691.04)
INFORMATICA CORP	INFA	200	33.26	\$ (6,652.00)
MICROSTRATEGY INC CL A NEW	MSTR	77	88.78	\$ (6,836.06)
NETAPP INC COM	NTAP	200	34.75	\$ (6,950.00)
TERADATA CORP DEL COM	TDC	131	51.04	\$ (6,686.24)
				\$ (40,553.50)
Sales (12/07/2012)				
SPDR SER TR S&P BIOTECH ETF	XBI	-534	105.78	\$ 56,486.52
SECTOR SPDR TR SHS BEN INT ENERGY	XLE	-124	77.92	\$ 9,662.08
SECTOR SPDR TR SHS BEN INT INDUSTRIAL	XLI	-100	41.41	\$ 4,141.00
SECTOR SPDR TR SHS BEN INT CONSUMER STA	XLP	-136	40.92	\$ 5,565.12
SECTOR SPDR TR SHS BEN INT CONSUMER STA	XLP	-119	40.92	\$ 4,869.48
SECTOR SPDR TR SHS BEN INT UTILITIES	XLU	-134	41.38	\$ 5,544.92
SELECT SECTOR SPDR TR CONSUMER DISCRET	XLY	-200	54.59	\$ 10,918.00
				\$ 97,187.12
Memo: Change in Cash				\$ 56,633.62




Office of Planning, Budget & Analysis

MEMORANDUM

TO: Members of the Board of Regents' Finance & Facilities Committee

THRU: Robert G. Frank, President
David W. Harris, EVP for Administration, COO, and CFO

FROM: Andrew Cullen, Associate VP Office of Planning, Budget & Analysis 

DATE: September 6, 2013

SUBJECT: FY13 Year-End Undesignated Reserve Report

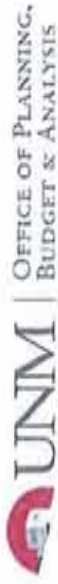
In accordance with Board of Regent's Policy 7.2, Budgets and Reserve Balances, attached please find various reports that detail the University's Main Campus FY13 Instruction and General (I&G) Undesignated Reserve as of June 30, 2013.

The year-end reserve for FY13 of \$9.780 million represents an increase of \$1.048 million over the FY12 year-end reserve of \$8.731 million. It more closely addresses the targeted Higher Education Department (HED) 3% reserve amount of \$9.776. As you recall, the HED recommends a minimum 3% reserve of total I&G budgeted funds, with a 5% reserve more strongly recommended during uncertain economic conditions and forecasts. At this time, the University's central reserves at 6/30/2013 meet the HED minimum recommended reserve.

I look forward discussing the report with the F&F Committee and Board of Regents. Thank you for your consideration.

**UNM**OFFICE OF PLANNING,
BUDGET & ANALYSIS**Summary of Main Campus I&G Undesignated Reserves
as of June 30, 2013****Index 054006**

FY13 Banner Beginning Balance	20,989,095	
FY13 Pooled I&G Sweep	(4,650,830)	
FY13 Adjusted Beginning Balance		16,338,265
FY 13 I&G One-Time Supplemental Compensation (Main Campus)	(2,445,115)	
FY13 Pooled I&G Revenue and Expense (Attachment 1)	(2,695,653)	
FY13 Contingency Allocations (Attachment 2)	(1,117,096)	
Subtotal		(6,557,865)
FY13 Banner Ending Balance		9,780,400
Dedicated - HED Required Reserve for I&G at 3% (Attachment 3)		(9,776,230)
Net FY14 Discretionary Reserve (after HED dedication)		4,171



Attachment 1 - Summary of Main Campus I&G Undesignated Reserves
I&G Pooled Detail
As of June 30, 2013

	FY 2013 Original Budget	FY 2013 Proposed BAR Adjustment	FY 2013 Proposed Revised Budget	FY 2013 Actuals	(Shortfall)/ Surplus
Revenues & Transfers:					
State Appropriations	172,464,800	-	172,464,800	172,464,800	-
Tuition (net of bad debt allowances and credit card fees) (4)	110,859,815	-	106,351,256	109,548,791	(1,311,024)
EU Tuition Balance Forward	-	-	-	1,151,955	1,151,955
State Land Income	512,008	87,992	600,000	956,302	444,294
State Permanent Fund Income	7,716,861	483,139	8,200,000	8,037,448	320,587
Fees (application fees, transcripts, thesis binding, etc.)	128,500	-	128,500	142,358	13,858
Interest Income	728,000	(51,000)	-	(540,023)	(1,268,023)
Winrock Investment Transfer	1,128,674	(75,213)	1,053,461	1,053,461	(75,213)
Student Aid Administrative Fee	176,326	-	176,326	197,222	20,896
Foundation Income	300,000	-	300,000	300,000	-
Sales & Services	1,000	-	1,000	1,178	178
F&A Revenues	19,500,000	3,000,000	22,500,000	22,052,795	2,552,795
Transfer to Research (F&A)	(19,500,000)	(3,000,000)	(22,500,000)	(22,052,795)	(2,552,795)
Budgeted Transfers (except F&A) (5)	(39,856,716)	30,000	(39,826,716)	(39,855,482)	1,234
One-Time Funding Sources-Unbudgeted Tuition/Academic Affairs	3,000,000	-	3,000,000	0	(3,000,000)
Total Sources of Funds	257,159,268	474,918	252,448,627	253,458,010	(3,701,258)
Expenses:					
Fringe Benefits (6)	45,834,933	-	45,834,933	45,072,331	762,602
Property and Liability Insurance	2,919,384	-	2,919,384	2,982,317	(62,933)
Music License	29,113	-	29,113	23,178	5,935
Administrative Overhead Reimbursed Expense (7)	(4,006,056)	-	(4,006,056)	(4,006,056)	-
Total Expenses	44,777,374	-	44,777,374	44,071,770	705,604
Pooled I&G Detail Total:					(2,995,653)

**Attachment 2 - Summary of Main Campus I&G Undesignated Reserves
FY 13 Allocations from Contingency Detail
as of June 30, 2013**

VP Unit	Description	Amount
	Athletics Receivable (Reverse FY2012 Entry)	1,250,000
	Libraries	(463,697)
	Center for Educational Research	(100,000)
	UNM Foundation	(600,000)
	Arts & Sciences - Biology	(183,113)
	LGBTQ Salaries	(20,430)
	President's Office	(378,500)
	Inauguration Events	(70,988)
	Computer Engineering Endowed Chair	(65,000)
	Council of University President's Funding	(36,500)
	HSC Adjustment - Permanent Base	(190,726)
	STC Gap Funding	(5,000)
	EMBA Program Support	(14,951)
	WICHE - Long Term Deficit Funding	(19,927)
	Freshman Learning Communities Funding	(150,000)
	Gerald May Staff Award	(8,500)
	College of Pharmacy - Differential Tuition for Foreign Students	(12,792)
	One time 1.75% increase for TA/GA per Regents	(280,000)
	Academic Leadership Academy Fellowship Program	(17,500)
	Contract Management System	(2,588)
	Global Initiatives	(31,000)
	Caribbean Studies Program	(10,000)
	Regent's Gowns	(8,100)
	VPR - Long Term Deficit Funding	(433,036)
	Police Security	(86,985)
	PPD funds for One-Time Pay Supplement	1,000,000
	Virtual Environment Infrastructure - OIA/IT	(24,100)
	Museum Consulting Fees - Harwood	(23,000)
	ASM Tuition Differential	(105,520)
	Science & Technology Corp - UNM Economic Development	(25,144)
		(1,117,096)

**Attachment 3 - Summary of Main Campus I&G Undesignated Reserves
as of June 30, 2013**

NM Higher Education Department Required Reserve

	FY 14 Budgeted Expenditures	3%	5%
Instruction & General	325,874,320	9,776,230	16,293,716
Total Current Unrestricted	541,573,011	16,247,190	27,078,651

Ending Fund Balances:

Ending fund balances of both Instruction & General and Total Unrestricted Current Funds must be at least 3% of respective expenditures, but a higher percentage is generally better, especially for institutions that experience unpredictable fluctuations in mil levy and land & permanent fund revenue, for example. Higher fund balances are also recommended in periods of uncertain economic conditions and forecasts.

**UNM**OFFICE OF PLANNING,
BUDGET & ANALYSIS

Attachment 4 - Summary of Main Campus I&G Undesignated Reserves
Tuition Revenues
as of June 30, 2013

Semester	Tuition Category	Original Budget FY 2013	Actuals	Difference	% Change Budget/ Actuals
Summer 2012/2013	Undergraduate	4,268,193	3,247,710	(1,020,483)	
	Graduate	1,726,852	1,904,815	175,963	
	Law	130,550	120,420	(10,130)	
	ASM Graduate Summer	184,352	93,279	(91,073)	
	Pharmacy	5,183	5,016	(167)	
	High School Dual Credit	(21,142)	(85,157)	(44,015)	
	Bad Debt Allowance	(129,395)	(119,187)	10,208	
	Credit Card Fees	(51,688)	(44,847)	6,839	
	Architecture Graduate Summer	-	4,278	4,278	
	EU Summer Portion to Pool	-	(745,519)	(745,519)	
	Other Tuition	-	-	-	
	Subtotal Summer 2012	6,114,907	4,480,808	(1,714,099)	-28.03%
Fall 2012	Undergraduate	44,920,311	43,753,856	(1,166,455)	
	Graduate	8,048,663	7,697,806	(351,057)	
	Law	1,044,209	1,053,571	9,362	
	ASM Graduate Fall	1,005,915	955,707	(50,208)	
	Pharmacy	1,662,838	1,606,689	(56,149)	
	High School Dual Credit	(184,139)	(180,731)	3,408	
	Bad Debt Allowance	(857,379)	(787,753)	69,626	
	Credit Card Fees	(516,877)	(404,813)	112,064	
	EU Fall portion to pool	-	1,439,675	1,439,675	
	Other Tuition	-	(66,422)	(66,422)	
	Subtotal Fall 2012	55,123,541	55,067,385	(56,156)	-0.10%
Spring 2013	Undergraduate	40,201,405	38,689,827	(1,511,578)	
	Graduate	7,516,046	7,069,023	(447,023)	
	Law	954,443	942,456	(11,987)	
	ASM Graduate Spring	1,022,821	942,083	(80,738)	
	Pharmacy	1,616,881	1,608,715	(8,166)	
	High School Dual Credit	(386,813)	(283,469)	103,344	
	Bad Debt Allowance	(838,229)	(766,388)	81,841	
	Credit Card Fees	(465,187)	(364,526)	100,661	
	EU Spring Portion to Pool	-	2,232,877	2,232,877	
	Other Tuition	-	-	-	
	Subtotal Spring 2013	49,621,367	50,080,598	459,231	0.93%
Grand Total Tuition		110,859,815	109,548,791	(1,311,024)	-1.18%

Attachment 5 - Summary of Main Campus I&G Undesignated Reserves
FY 13 Transfer Detail
as of June 30, 2013

	FY 2013 Original Budget	FY 2013 Actuals	(Shortfall)/ Surplus
Transfer From HSC	2,081,200	2,081,200	-
Transfer to HSC from Main Campus	(18,423,892)	(18,423,892)	-
Transfer to Student Aid	(9,536,800)	(9,536,800)	-
Transfer to Plant Bldg Ren/Rep	(9,767,405)	(9,767,405)	-
Transfer to Plant Fund Minor	(1,774,773)	(1,774,773)	-
Transfer to Internal Services	(1,932,000)	(1,932,000)	-
Transfer to Student Social Cultural	(150,868)	(149,634)	1,234
Transfer to Athletics	(54,100)	(54,100)	-
Transfer to Public Service	(73,000)	(73,000)	-
Transfer to Auxiliaries	(225,078)	(225,078)	-
Transfer Adjustment	-	-	-
	(39,856,716)	(39,855,482)	1,234

**Attachment B - Summary of Main Campus I&O Undesignated Reserves
Pooled Fringes
as of June 30, 2013**

	Description	Original Budget	Revised Budget	Actuals	Difference
Instruction 2U10	Salaries General	-	-	-	-
	Fica	6,556,835	6,500,000	6,520,871	36,956
	Retirement	9,277,005	9,500,000	9,553,934	(26,934)
	Group Insurance	8,353,997	8,100,000	8,170,088	376,911
	Unemployment Compensation	435,000	206,000	270,489	156,511
	Workers Compensation	235,000	178,000	172,226	62,775
	Other Staff Benefits	3,105,000	3,216,728	3,173,745	11,255
	Accrued Annual Leave	25,000	25,000	(80,185)	105,185
	Catastrophic Leave	50,000	50,000	21,300	28,700
	Contract Services	-	-	-	-
	Contingency/Other	-	-	60,000	(60,000)
	Subtotal Instruction 2U10	28,327,827	27,854,728	27,889,071	437,756
Academic Support 2U11	Salaries General	-	-	-	-
	Fica	1,398,474	1,416,000	1,387,717	10,737
	Retirement	2,105,825	2,100,000	2,001,187	44,838
	Group Insurance	1,700,000	1,650,000	1,802,850	(102,850)
	Unemployment Compensation	85,000	61,000	58,439	25,501
	Workers Compensation	30,000	35,000	32,663	6,447
	Other Staff Benefits	500,000	750,000	670,569	(70,569)
	Accrued Annual Leave	30,000	30,000	18,034	14,966
	Catastrophic Leave	40,000	30,000	20,637	19,003
	Contract Services	-	-	-	-
	Contingency/Other	-	-	-	-
	2U11	5,888,299	6,202,000	6,669,292	(61,903)
Student Services 2U12	Salaries General	-	-	-	-
	Fica	585,030	602,000	578,160	6,636
	Retirement	952,430	852,000	831,440	70,556
	Group Insurance	970,000	952,000	961,481	6,519
	Unemployment Compensation	50,000	21,000	23,560	26,134
	Workers Compensation	25,000	12,000	13,260	6,540
	Other Staff Benefits	302,000	392,000	301,550	7,444
	Accrued Annual Leave	30,000	15,000	21,336	8,682
	Catastrophic Leave	30,000	15,000	11,751	18,699
	Contract Services	-	-	-	-
	Contingency/Other	-	-	-	-
	2U12	2,895,490	2,767,000	2,742,342	154,358
Institutional Support 2U13	Salaries General	12,000	12,000	11,865	15
	Fica	1,748,000	1,700,000	1,854,501	63,439
	Retirement	2,767,797	3,000,000	2,884,303	(127,202)
	Group Insurance	2,144,000	2,100,000	2,100,480	57,320
	Unemployment Compensation	115,000	74,000	73,034	41,966
	Workers Compensation	94,000	94,000	90,115	885
	Other Staff Benefits	1,031,000	873,000	872,420	58,574
	Accrued Annual Leave	60,000	60,000	35,425	44,575
	Catastrophic Leave	70,000	40,000	33,144	36,856
	Contract Services	65,000	60,000	3,638	81,493
	Contingency/Other	-	(37,000)	37,800	(37,800)
	Subtotal Instl. Support 2U13	8,116,797	7,967,000	7,935,723	189,384
Operations & Maintenance 2U14	Salaries General	-	-	-	-
	Fica	60,000	65,000	65,630	(5,630)
	Retirement	150,300	113,000	121,590	17,810
	Group Insurance	189,000	178,000	180,388	18,612
	Unemployment Compensation	4,500	4,000	3,659	1,001
	Workers Compensation	16,000	16,000	16,597	(597)
	Other Staff Benefits	46,000	43,000	43,809	4,380
	Accrued Annual Leave	5,000	3,000	905	4,095
	Catastrophic Leave	1,000	2,000	1,664	2,466
	Contract Services	-	-	-	-
	Contingency/Other	-	-	-	-
	Subtotal Ops & Maint 2U14	465,760	443,000	484,202	41,468
Grand Totals Pooled Fringes					
Grand Total	Salaries General	12,000	12,000	11,865	15
	Fica	10,374,304	10,301,000	10,260,143	112,161
	Retirement	16,162,132	16,502,000	16,433,664	(250,932)
	Group Insurance	13,568,997	13,174,000	13,230,469	236,507
	Unemployment Compensation	623,500	449,000	430,209	210,291
	Workers Compensation	404,000	336,000	327,559	76,441
	Other Staff Benefits	5,172,000	5,101,728	5,101,605	11,105
	Accrued Annual Leave	170,000	123,000	(7,484)	177,484
	Catastrophic Leave	164,000	107,000	66,377	105,723
	Contract Services	65,000	69,000	3,505	61,455
	Contingency/Other	-	(37,000)	117,606	(117,606)
	Grand Total	45,814,833	46,307,728	46,072,331	782,662

**Attachment 7 - Summary of Administrative Overhead Reimbursed Expense
from Main Campus & Branches
as of June 30, 2013**

Description	Budget FY 13	Actuals FY 13	Difference FY 13
PPD - Electrical Distribution	131,918	131,918	-
PPD - Utilities Domestic Water	9,155	9,155	-
PPD - Utilities Chilled Water	69,032	69,032	-
PPD - Utilities Steam	95,677	95,677	-
PPD - Remodeling	25,000	25,000	-
IT Campus Voice Services	192,688	192,688	-
Total Main Campus Internal Svcs.	523,470	523,470	-
Student Health Center	423,900	423,900	-
NM Union	150,000	150,000	-
Student Family Housing	40,000	40,000	-
Residence Halls	349,000	349,000	-
Dining Services	20,000	20,000	-
Bookstore	697,850	697,850	-
Parking Services	338,408	338,408	-
UNM Ticketing	59,611	59,611	-
Total Main Campus Auxiliaries	2,078,769	2,078,769	-
Athletic Business Office	131,000	131,000	-
Total Main Campus Athletics	131,000	131,000	-
Gallup - General Academic	301,603	301,603	-
Gallup - Student Services	33,783	33,783	-
Gallup - Bookstore	39,072	39,072	-
Gallup - PPD	55,141	55,141	-
Gallup - Overhead	69,413	69,413	-
Total Gallup Branch	499,012	499,012	-
Los Alamos - General	151,581	151,581	-
Total Los Alamos Branch	151,581	151,581	-
Valencia - Student Services	40,690	40,690	-
Valencia - Instruction	61,034	61,034	-
Valencia - Institutional Support	122,067	122,067	-
Valencia - Academic Support	122,067	122,067	-
Valencia - PPD	61,034	61,034	-
Total Valencia Branch	406,892	406,892	-
Taos - General Academic	215,332	215,332	-
Total Taos Branch	215,332	215,332	-
Total Main Campus and Branches	4,006,056	4,006,056	-

Note, the Admin Overhead from HSC is reflected on the Transfers Schedule



Purchasing Department
MSC01 1240
1 University of New Mexico
Albuquerque, NM 87131-0001
Telephone (505) 277-2036
FAX (505) 277-7774

MEMORANDUM

To: David Harris, Executive Vice President for Administration

From: Bruce Cherrin, Chief Procurement Officer

Subject: Contract Information

Date: August 23, 2013

The following contracts have been submitted for information to the Regents Finance and Facilities Committee per Regents Policy 7.4.

1. UNM Athletics / Baseball Field Lighting – DKD Electric LLC

RFP #1615-14 was issued to provide and install UNM baseball field lighting. We received six responses. The project includes installation of new lights around the Lobo baseball field, and is scheduled to be completed by February, 2014. Some of the requirements include:

- Meet NCAA TV lighting requirements (National championship quality)
- Design the pole placement to fit in our current layout and for future press box/bleacher
- Upgrade current transformer to 1000 kVA

Source of Funding: \$100,000 from Capital Outlay
\$297, 840 from private fundraising

Total Cost: The award is being made to DKD Electric LLC for \$397,840, which includes a sponsorship of \$174,770.00.

2. UNM Ticketing Services – Enta Software

Enta USA provides the ticketing software to UNM Ticketing Services, and we have been live with the software since 2006. We ticket 400-500 events annually, supporting all events at UNM, including Athletics, Popejoy and CFA. The Enta software provides us the tool to effectively manage all of our ticketed events.

Since 2006, we have implemented a successful business model that has generated net profits of \$2.7 million. Of that amount, we've been able to distribute over \$1.8 million to various UNM initiatives the past seven years. We own a perpetual license of the Enta software, so ongoing costs are extremely low. We look to continue with this successful business model, continue to generate significant revenues, and continue to provide outstanding service for all our events.

Total cost: We are projecting to spend \$71,100 per year moving forward. \$36,300 for annual support; \$34,800 for hosting our server environment.

MEMORANDUM

TO: UNM Board of Regents

FROM: Jewel Washington, Interim VP: Human Resources

DATE: September 10, 2013

SUBJECT: Status Update

I was asked to provide a status update on the following information at the September Board of Regents meeting:

- The VEBA Advisory Board
- Actuarial Data
- GAP Insurance RFP Status

Attached is the documentation that I will cover on the aforementioned topics.

VEBA ADVISORY BOARD

The VEBA Advisory Board was established to administer the Retiree Welfare Benefit Plan, is the Plan's administrator, with the authority, among other actions, to construe the terms of the Plan, establish contribution rates, and determine eligibility for benefits (including eligibility for participation and distribution, as well as the timing, amount and form thereof). The VEBA Advisory Board may appoint a designee to provide guidance to the Trustee.

Role of the VEBA Advisory Board

The VEBA Advisory Board, subject to the Funding Policy and the Investment Guidelines, is responsible for the administration of investments for the Trust Fund. The Board had its first meeting on Wednesday, August 21, 2013. During the meeting, the Board discussed the following responsibilities:

- Identifying an investment institution to manage funds
 - UNM Investment Vendor
 - Send out for RFPs (Recommended)
- Obtaining the services of the Debt Advisement Investment Committee (DAIC) or bid on outside Consulting Firm that will oversee the funds
- Having an Investment Policy developed to define limitations of Trust
- Election of VEBA Advisory Board Officers: Chairperson, Treasurer and Secretary

VEBA Advisory Board Membership

As designated in the Retiree Welfare Benefit Trust Document, the VEBA Advisory Board shall consist of the following individuals:

- Elizabeth Metzger, University Controller (Treasurer)
- Jewel Washington, Interim Vice President of Human Resources (Secretary)
- Leslie Boni, Chairperson: ASM Finance Intl Tech Mngt FIT (Chairperson)
- Hans Barsun, University Utilities Engineer
- Michael Schwantes, Chief Financial Services Officer
- Dr. John Leggott, Clinician Ed – Professor Family Community Medicine FCM
- Dorothy Rodriguez, Executive Assistant to President & Chief
- David Harris, Executive Vice President
- Leslie Jones Easom, Retiree

GASB 45 ACTUARIAL VALUATION

Healthcare Analytics

Healthcare Analytics is a division of Gallagher Benefit Services, Inc., which is part of one of the largest commercial insurance and risk management companies in the world. They provide actuarial services and data analysis services for UNM.

Examples of services they provide include:

- GASB 45 Actuarial Valuation
- Diagnostic Analysis of UNM's medical and pharmacy data
- Analysis of UNM Medical Group professional fee schedule
- UNMH charges analysis

Assumptions

The participation assumption is the assumed percentage of future retirees that participate and enroll in the health plan. The participation assumption is 90% for pre 65 future retirees that opted to contribute to the VEBA Trust with 75% choosing to continue coverage post Medicare.

It is assumed that 10% of the 834 employees who opted-out will elect coverage within the five year window to opt-in to post-retirement benefits.

Liabilities

Healthcare Analytics prepared a previous valuation for the University effective July 1, 2011. The actuarial accrued liability (AAL) reported in that valuation was \$153 million. If the results of the valuation were rolled forward to July 1, 2012 using the valuation assumptions, the University's expected AAL is \$158 million. The AAL of the University's post-retirement benefits plan as of July 1, 2013 is \$94,156, which is \$64 million lower than the previous year.

The following chart summarizes the net effect to the AAL and the Other Post-Employment Benefits (OPEB) liability and expense reflected on the University's financial statements.

Post-Retirement Benefits Plan			
	Past	Current	Reduction
Accrued Actuarial Liability	\$158,000,000	\$94,156,000	\$63,844,000
Increase in OPEB Obligation	\$5,589,000	\$7,000	\$5,582,000

The University is now funding 99.93% of the annual OPEB obligation with the changes made to retiree premiums and the implementation of the VEBA Trust.

As VEBA contributions are collected, the AAL will continue to be reduced by the value of those contributions.

GAP INSURANCE RFP STATUS

Background

UNM's Human Resources Benefits department (Benefits) was directed by the Board of Regents to evaluate the cost effectiveness of implementation of a High Deductible Health Plan (HDHP) as the exclusive pre-65 retiree health plan effective July 1, 2014. In conjunction with that evaluation, Benefits was directed to post a Request for Proposal (RFP) for GAP insurance, which will cover the deductible for a limited number of conditions. The GAP insurance policies will be individual policies that the retiree purchases directly through a broker on a voluntary basis.

A contract will be negotiated between UNM and the broker, but UNM will not compensate the broker. The broker will provide access to one or more GAP insurance policies if a pre-65 retiree chooses to purchase GAP insurance. The Regents have directed Benefits to make a recommendation before January 1, 2014 as to whether a HDHP should be implemented effective July 1, 2014. If the recommendation is to implement a HDHP as the exclusive pre-65 retiree health plan for fiscal year 2015, pre-65 retirees will have access to GAP insurance through the broker.

The GAP Insurance RFP initiative requested by the Board of Regents was divided into two phases:

- Request for Information (RFI)
- Request for Proposal (RFP)

The Request for Information was drafted in May and released in June. Responses were received from four vendors, one of which could not meet requirements outlined in the RFI.

Based on the responses to the RFI, Benefits drafted an RFP in July and finalized the RFP in August. A copy of the RFP was given to the Faculty/Staff Benefits Committee for questions and comments.

Gallagher Benefit Services is currently developing a preliminary cost projection of pre-65 claims under a HDHP design and under the current active employee medical plan design to determine potential savings related to implementation of a pre-65 HDHP. The results of that

analysis will in large part determine whether Benefits will recommend implementation of a HDHP for pre-65 retirees for FY15.

Materials will be available at the meeting.



**FINANCE, AUDIT & COMPLIANCE COMMITTEE
OF THE
BOARD OF DIRECTORS**

**RESOLUTIONS RECOMMENDING APPROVAL OF
UNM HOSPITALS' PROPOSED
PURCHASE TRANSACTIONS**

WHEREAS, the management of UNM Hospital desires to enter into the purchase transactions identified and described on Exhibit A attached to these Resolutions (each, a "Proposed Purchase " and, collectively, the "Proposed Purchases"), the methodology for each of which appears to be consistent with the New Mexico Procurement Code;

WHEREAS, the Finance Committee of the UNM Hospital Board of Trustees previously considered each of the Proposed Purchases and determined to recommend approval of the same to the UNM Hospital Board of Trustees;

WHEREAS, the UNM Hospital Board of Trustees considered each of the Proposed Purchases and determined to approve and recommend approval of the same to the UNM Health Sciences Center Board of Directors (the "Board"), by and through the UNM Health Center's Board of Directors' Finance, Audit, and Compliance Committee ("FACC");

WHEREAS, the FACC has considered these prior approvals and recommendations for approval, the materials and information provided to the FACC at its meeting relative to the Proposed Purchases, satisfied itself that the requirements of the New Mexico Procurement Code with respect to each of the Proposed Purchases have been complied with, finds and concludes that the Proposed Purchases is in the best business and operational interest of UNM Hospital, its stakeholders and the UNM Health System and should be approved.

NOW, THEREFORE, BE IT RESOLVED that the FACC hereby approves and recommends to the Board the approval of UNM Hospital's Proposed Purchases on the terms and subject to the conditions described in the Exhibit A materials provided to this FACC.

ADOPTED BY A VOTE OF THE MEMBERS OF THE HEALTH SCIENCES CENTER BOARD OF DIRECTORS' FINANCE, AUDIT & COMPLIANCE COMMITTEE AT A MEETING HELD ON SEPTEMBER 3, 2013.

By: _____
Conrad James, its Chair



**UNM Hospital Board of Trustees
Recommendation to HSC Board of Directors Finance and Audit Committee
August 2013**

Approval

1) Pacific Interpreters™, Inc.

Ownership:

One Lower Ragsdale Drive, Building Two
Monterey, CA 93940

Officers Information:

Chairman: Dennis G. Dracup
President & CEO: Scott Klein

Source of Funds: UNM Hospitals Operating Budget

Description

Request for one year agreement (with an option to renew annually for three years) for telephonic and video interpreting in over 200 languages. Pacific Interpreters™, Inc., is a comprehensive language services provider, helping to eliminate language barriers for Limited English Proficient (LEP) and Deaf individuals.

Previous Contract: Pacific Interpreters™, Inc.

Previous Term: Two years

Previous Operating Contract Amount: \$520,000 per fiscal year. Telephone interpretation at \$1.08/minute and medical document translation at \$0.22/word with a \$100/minimum.

Process: UNMH RFP #P231-12

RFP Respondents: Pacific Interpreters™, Inc., Language Line Services, Inc., Interpreters Unlimited, Universe Technical Translation, Inc., Certified Languages International (CLI), Spring Institute for Intercultural Learning, AVAZA Language Services Corporation, CTS Language Link, The Bridge Interpreter Referral Service, Inc., Catholic Community Services of AZ d.b.a. Community Outreach Program for the Deaf NM, Geneva Worldwide, Inc., Optimal Phone Interpreters, Language Select, LLC and Language Services Associates.

Selection Reason: Pacific Interpreters™, Inc. proposal was the most advantageous for UNM Hospitals both with their cost and with their expertise in over 200 languages.

Total Cost: Dependent on volumes, ranges up to \$550,000 per fiscal year. Telephone interpretation at \$0.90/minute for Spanish, \$0.95/minute for all other languages. Medical document translation at \$.14/word for Spanish and \$0.19/word for all other languages with a \$65/minimum.

2) Press Ganey Associates, Inc.

Ownership:

404 Columbia Place
South Bend, IN 46601

Officers Information:

Chief Executive Officer: Patrick T. Ryan
President: Joe Greskoviak

Source of Funds: UNM Hospitals Operating Budget

Description

Requesting three year approval for Press Ganey Associates to administer patient satisfaction surveys that provide valuable insight into every aspect of patient satisfaction from inpatient and outpatient to ambulatory and emergency department. The survey results help UNM Hospitals understand patients' perceptions of their care experience so that the appropriate steps can then be taken to drive improvement efforts based on meaningful and actionable data.

Process: Novation Contract #U0921X

Total Cost: Dependent on volume of surveys, ranges from \$500,000 to \$540,000 per fiscal year.

HSC Legislative Funding Requests for FY 2015

Rank	Request	Narrative	Amount
1	Medical School I&G	The School of Medicine must hire new faculty at competitive salaries. This creates significant salary inequities with our existing faculty physicians. The HSC is requesting funding to address the inequities related to I&G funding and also to enable the SOM to get all medical faculty to the 33rd percentile of the AAMC salary schedule.	\$ 3,994,700
2	Nurse Practitioner Education	<p>New Mexico is seriously underserved in terms of access to primary care providers, and the lack of primary care services will become more drastic in 2014 as more New Mexicans become insured. Family Nurse Practitioners (FNP), Pediatric Nurse Practitioners (PNP), and Certified Nurse Midwives (CNM) will help alleviate the primary care shortage in the state. If additional medical care providers are not produced, the demand for healthcare driven in the near-term by expansion of the insured population and in the longer term by population growth and aging will not be met.</p> <p>Funding of this program will help educate and graduate 24 additional FNP and CNM students annually. By producing more nurse practitioners, this will lead to increased access to primary care that will help address some of the population needs throughout New Mexico.</p>	\$ 1,504,800
3	BA/DDS Degree Planning Funds	<p>The Combined BA/DDS Degree Program is designed to help address the critical dental shortage in New Mexico by providing educational opportunities to those students who are most likely to return to New Mexico to practice dentistry, as well as to encourage greater diversity of students trained as dentists.</p> <p>Funds are requested in order to start the Combined BA/DDS program, this program will be modeled on the BA/MD program, with a few program</p>	\$ 400,000
4	Project ECHO	Project ECHO (Extension for Community Healthcare Outcomes) improves access to specialty care for underserved patients in urban, rural and underserved areas in New Mexico. Telehealth consultation between experts at the University of New Mexico Health Sciences Center and primary care clinicians working in community-based clinics and Federally Qualified Health Centers enables the delivery of complex specialty care to patients with hepatitis C, complex care, diabetes, HIV/AIDS, chronic pain, substance use disorders, rheumatoid arthritis, palliative care, dementia, and woman's	\$ 1,100,000
5	Center for Health Workforce Analysis	<p>The Health Care Workforce Data Collection, Analysis and Policy Act was signed by the Governor in February 2012. The Act authorized the transfer of health care professional licensure and survey data from the Department of Health to the UNM Health Sciences Center. The Center is housed in the UNM Office for Community Health, the data is housed in the Clinical Translation Science Center.</p> <p>In order to provide the necessary analysis on the status of New Mexico's health care workforce and to provide meaningful recommendations to stakeholders, state support is required.</p>	\$ 322,600

6	Health Extension Rural Offices (HEROs)	<p>The HEROs program builds on the highly effective cooperative extension model, bringing the diffusion of innovation, the transmission of best practices, and on-the-ground assistance to communities across the state, especially to those with low health outcomes. New Mexico's HEROs model is an important vehicle for primary care practices and community health throughout New Mexico.</p> <p>Currently, New Mexico has ten HERO agents serving various regions and minority groups in NM. This request would fund 5 additional HEROs and 2.5 FTE HEROs administrative support in communities throughout New Mexico.</p>	\$	496,600
7	OMI Utilities	<p>The OMI is the centralized, state-wide medical examiner for the state of New Mexico. It is charged with performing medicolegal death investigation on all reportable deaths in the state for the purpose of determining cause and manner of death. The OMI is organizationally placed in the Department of Pathology, UNM School of Medicine.</p> <p>The OMI moved from an 18,000 sq ft facility with inadequate ventilation systems and very limited equipment to a 60,000 sq ft facility that provides room for growth and significantly enhanced safety for staff and the public. All the upgraded safety features and imaging equipment use significantly more power and water to operate. Though the building is certified as a LEED silver facility, the utilities are more than double what the cost was in the older inadequate facility.</p>	\$	302,000
8	UNM Pain Center	<p>UNM Pain Center requests \$1 Million dollars in recurring funding for Pain and Addiction Education and Health Outcomes for New Mexico.</p> <p>Given that New Mexico is the number two state in the US for unintentional opiate overdoses, with doctors being the primary source of acquisition of this prescription medication, the UNM Pain Center's function is to educate all clinicians throughout New Mexico regarding safe opiate prescribing, the public health crises of Chronic Pain and addiction, as well as continue robust health outcomes research</p>	\$	1,000,000

Total HSC FY15 Requests	\$	9,120,700
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FIRST AMENDED AND RESTATED BYLAWS OF THE UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER BOARD OF DIRECTORS

PREAMBLE

WHEREAS, under and pursuant to the authority granted to the Regents of the University of New Mexico, a state educational institution named in Article 11, Section 12 of the New Mexico Constitution (the "**University**" or the "**Regents**"), the Regents adopted Regents Policies 3.4, 3.5, and 3.6 under which the Regents determined to reorganize the governance and management of the UNM Health Sciences Center ("**UNM HSC**") to ensure that the governance structure for the UNM HSC's clinical, education, and research enterprises is designed to best govern and administer the distinct operations of the UNM HSC and is adaptable to the ever changing, highly-regulated health care environment and scalable to future growth in service of health care needs of the State of New Mexico;

WHEREAS, in Regents Policies 3.4 and 3.5, the Regents created, designated and empowered a seven-member board of directors to oversee and govern the clinical, operational, financial, research, educational affairs of the UNM HSC and pursuant thereto delegated to this Board, on a continuing basis, the responsibility and authority for overseeing, managing, and governing the clinical, operational, financial, research, educational affairs of the UNM HSC to the maximum extent permitted by the Constitution and laws of the State of New Mexico, as interpreted, from time to time, by the courts; and

WHEREAS, the Board (as defined in Article One below), duly appointed by the University, hereby adopts these First Amended and Restated Bylaws (these "**Bylaws**"), consistent with the provisions of Regents Policies 3.4 and 3.5, effective on the date of approval of these Bylaws by the Regents.

ARTICLE ONE

NAME

The name of this Board of Directors is the "University of New Mexico Health Sciences Center Board of Directors" (hereinafter called the "**Board**").

ARTICLE TWO

PURPOSE AND AUTHORITY

Section I. Authority. While the Regents have the exclusive responsibility and authority to control and manage the operations of the UNM HSC and its subsidiary corporations, the Regents have the authority to delegate responsibility for handling the oversight of the clinical, operational, financial, research, educational affairs of the UNM HSC in accordance with the constitutional and statutory responsibilities of the Regents. The Regents reserve the right to consider and determine, if in the exercise of sound discretion it is deemed necessary, any matter relating to the UNM HSC.

Pursuant to Regents Policies 3.4 and 3.5, the Board is authorized by the Regents to provide oversight for, governance and authority relative to the clinical, operational, financial, research, and educational affairs of the UNM HSC to the maximum extent permitted by the Constitution and laws of the State of New Mexico, as interpreted, from time to time, by the courts. In this connection, the clinical operations of the component schools, colleges, and other component units including, without limitation, the UNM Hospital and its clinics, programs and centers, UNM Medical Group, Inc. and its clinics, programs, and centers, UNM Sandoval County Regional Medical Center, Inc., and its clinics, programs, and centers (and all other and future clinical operations of the foregoing) shall be operated as an integrated health care delivery system and shall be known as the "UNM Health System." The specific authorities granted to the Board are set forth in Regents Policy 3.5.

Accordingly, the Board will have all powers reasonably necessary to carry out its express responsibilities, as described in Regents Policy 3.5 and will exercise its authority in accordance with:

- a. Applicable state and federal laws, rules, and regulations;
- b. The standards of the The Joint Commission, Det Norske Veritas, Inc., the Liaison Committee for Medical Education of the American Medical Association, the American Association of Medical Colleges, the Accreditation Council for Graduate Medical Education, the National Cancer Institute, the Accreditation Council for Pharmacy Education, the Commission on Collegiate Nursing Education, the Accreditation Review Commission on Education for the Physician Assistant, the National Accrediting Agency for Clinical Laboratory Services, Accreditation Council for Occupational Therapy Education, the Commission on Accreditation in Physical Therapy Education, and such other accreditation agencies that accredit the various centers, programs, and services provided at the UNM HSC, for so long as resources are reasonably available to make compliance with such standards possible; and
- c. Applicable policies and other determinations of the Regents, as they may be amended and supplemented from time to time.

Section 2. Purpose. The purpose of the Board will be to provide oversight of the clinical, operational, financial, research, educational affairs of the UNM HSC in accordance with and in support of the constitutional and statutory responsibilities of the Regents.

Section 3. Conflict of Interest. Board members are subject to conflict of interest policies adopted by the Regents and by the University, and state statutes applicable to conduct of public officials. No member of the Board will use his or her position on the Board for the purpose of obtaining undue advantage for the Board member or for any person or organization in or with which the Board member holds a financial interest or is associated. Board members will exercise their best judgment for and on behalf of the HSC and the UNM Health System, to the exclusion of any personal interest. In this connection, the Board will adopt a conflict of interest policy that implements applicable Regents Policies and state statutes applicable to the conduct of public officials and will provide for annual certification by Board members of their compliance with such policies.

Section 4. Administration.

(a) Identification of Chief Officer for UNM HSC. Day-to-day administration of the UNM HSC is, pursuant to Regents Policy 3.4 conducted by the Chancellor for Health Sciences who will serve in the role of the chief executive officer for the UNM HSC. The Chancellor for Health Sciences will also serve as the Chief Academic Officer for the educational programs at the HSC. The Board recognizes that the Chancellor for Health Sciences shall have such authorities and responsibilities as are set forth in Regents Policy 3.4 and as are delegated to him/her by the Board. The Chancellor for Health Sciences reports to the Board, the University President and to the Regents.

(b) Hiring/Termination/Evaluation of Chancellor for Health Sciences. The decision as to hiring, terminating, and/or evaluating the performance of the Chancellor for Health Sciences shall be as set forth in Regents Policy 3.4.

Section 5. Policies. The Board will adopt such specific policies in furtherance of its oversight responsibilities of UNM HSC as the Board deems necessary, consistent with applicable Regent and University policies and applicable standards, laws and regulations. The Board will not adopt policies that conflict with applicable Regent and/or University policies.

Section 6. Reports to Regents. The Chairperson of the Board or his/her designee shall attend the regular meetings of the Regents and will report to the Regents on actions taken and/or recommended by the Board under and pursuant to its delegated responsibility.

ARTICLE THREE MEMBERSHIP AND APPOINTMENTS

Section 1. Appointment. Pursuant to Regents Policy 3.5, the Board is comprised of ~~seven (7)~~ five (5) voting members as follows: Three (3) members shall be members of the Board of Regents, one of which will be a member of the Regents' Finance & Facilities Committee described in Regents Policy 1.2, and ~~four (4)~~ two (2) members shall be individuals who are not employees or officers of any of the component schools, colleges, units or subsidiary corporations of the UNM HSC having the following characteristics: an established record of success in business, education, his

or her profession, or research; an understanding of, an appreciation for, and a desire to support the non-profit, educational, and safety-net principles of an academic health center; and, recognized leadership on either a regional, statewide, or nationwide basis in areas of focus of the UNM HSC. ~~In this regard, one of these community members of the Board shall be the sitting Chair of the UNM Hospital Board of Trustees. The sitting Chair of the UNM Hospital Board of Trustees shall serve as an ex officio, non-voting member of the Board.~~ All members of the Board are appointed by the President of the Regents in accordance with the procedures described in Regents Policy 1.2.

The initial community members of the Board shall be nominated to the Regents by a formation nominating committee (the "**Formation Nominating Committee**") consisting of the Chancellor for Health Sciences, a member designated by the Regents, and a member designated by the UNM Hospital Board of Trustees.

Section 2. Term of Service on the Board. Board members, other than the Regent members of the Board, will be appointed for staggered terms of three (3) years or less. In this connection, the Directors shall be divided into three classes, designated Class A, Class B, and Class C. The Regents members may continue to serve so long as they continue to serve as Regents of the University, subject to the decision of the President of the Regents as to appointment or reappointment as provided in Regents Policy 1.2. The Regent members shall be Class C Directors. The remaining Directors shall be divided equally between Classes A and B. Each class shall consist of one-third of the Directors or as close an approximation as possible with not more than three members having their terms expire on June 30 of each year. Subject to the provisions of Article Three, Section 5 of these Bylaws, the initial term of office of the Directors of Class A shall expire at the annual meeting to be held during fiscal year 2011, the initial term of office of the directors of Class B shall expire at the annual meeting to be held during fiscal year 2012, and the initial term of office of the directors of Class C shall expire at the annual meeting to be held during fiscal year 2013. Subject to the provisions of Article Three, Section 5 of the Bylaws, each member of the Board will serve until his or her successor is duly appointed and qualified.

Section 3. Vacancies on the Board. Vacancies on the Board will be filled by appointment by the Regents utilizing the processes identified in Regents Policy 1.2, based upon the nomination of the Board nominating committee defined and described in Article Five, Section 2 of these Bylaws. A vacancy shall occur upon the death or in- capacity of a Director, or his or her resignation or removal as hereinafter provided. A person appointed to fill a vacancy on the Board will serve for the remainder of the term of the Board member whose position has become vacant and until his or her successor is duly appointed and qualified. In light of the requirement that one of the Regents members of the Board be a member of the Regents Finance & Facilities Committee, if such Regent member is “deemed” to have resigned as described in Article Three, Section 5 of these Bylaws, the vacancy shall be filled by utilizing the processes identified in Regents Policy 1.2, with a Regent member who is a member of the Regents Finance & Facilities Committee.

Section 4. Meetings. The Board will meet at least ten (10) times per fiscal year at a time and place established by the Board for regular meetings. Special meetings may be called by the Chairperson of the Board or at the request of a majority of the Board members then in office, upon written notice of the time, date, place and purpose of the meeting, delivered to the Board members at least three (3) business days in advance of the meeting. Meetings will be publicized, conducted and documented by minutes in compliance with the New Mexico Open Meetings Act, Sections 10-15-1 *et seq.* NMSA (the “**Open Meetings Act**”), and consistent with an annual resolution adopted by the Board pursuant to the Act. Additionally, the records of the Board will be subject to the New Mexico Inspection of Public Records Act, Sections 14.2.1 *et seq.*, NMSA (“**IPRA**”) and the Regents and University Policies with respect thereto.

Section 5. Attendance, Resignation, and Removal. Board members are expected to attend regularly all meetings of the Board and of their respective assigned committees. With respect to non-Regent members of the Board, the Regents may re- move any one or more of such community members at any time with or without cause.

With respect to the Regent members of the Board, each such Director may be removed by a majority vote of the Regents at any time with or without cause; provided, however, that the President of the Regents shall have the right to make an annual appointment of Regent members of the Board as set forth in Regents Policy 1.2. Such a Regent Director may resign at any time upon providing written notice to the Chair of the Board, to the President of the Board of Regents, and to the Chancellor for Health Sciences. In this connection, if any time during his or her term as the Regent Director, the Regent Director shall for any reason cease to be a duly appointed, qualified and acting Regent of the University, such fact shall be considered to be a “deemed resignation” from the Board.

Section 6. Quorum, Voting. A majority of the voting members of the Board then in office will constitute a quorum at Board meetings. A quorum once attained continues until adjournment despite voluntary withdrawal of enough Board members to leave less than a quorum. The Board members may act only as a Board with each member having one vote; individual Board members are without power to act separately in the transaction of Board business, except when one of the Board's officers is specifically authorized to act on behalf of the Board. The act of a majority of the Board members present at a meeting at which a quorum is present will be the act of the Board.

Section 7. Orientation. All new Board members will receive an initial orientation to the UNM HSC, as well as additional educational programs on the operation of UNM HSC.

Section 8. Performance. The Board will evaluate its performance from time to time, but not less than once annually.

ARTICLE FOUR OFFICERS

Section 1. Number, Tenure, Qualification, Election. The Officers of the Board will be a Chairperson, a Vice Chairperson, and a Secretary, who (other than the Chairperson who will be appointed as provided Article Four, Section 2 below) will be elected annually by the Board at the first regular meeting each fiscal year, to

begin their term of office upon election and to serve until their successors are elected and qualified. Vacancies in these offices will be filled by the Board. Officers may serve no more than three terms in succession.

Section 2. Chairperson. The Chairperson will preside at all meetings of the Board. The Chairperson shall be appointed by the Regents consistent with the processes identified in Regents Policy 1.2. With the approval of the Board by a majority vote of a quorum of those members present at a meeting, the Chairperson will have the power to appoint committees and committee chairpersons of the Board as necessary and appropriate. The Chairperson and/or his or her designee will represent the Board in its relations with University officials, and with governmental and civic organizations and agencies.

Section 3. Vice Chairperson. The Vice Chairperson will serve in the absence of the Chairperson and perform other duties as assigned by the Chairperson.

Section 4. Secretary. The Secretary will supervise the recording of minutes and perform other duties as assigned by the Chairperson.

ARTICLE FIVE COMMITTEES

Section 1. Standing Committees. With the approval of the Board by a majority vote of a quorum of those members present at the first regular meeting of the Board of each fiscal year, the Chairperson will have the power to appoint members and chairpersons of standing committees of the Board as necessary and appropriate. Members of standing committees of the Board will be appointed at a regular meeting of the Board to serve for a term of one year. The President of the Regents may appoint one Regent to attend meetings of Standing Committees of the Board to serve as a non-voting liaison representative of the Regents on such committees.

Section 2. Nominating Committee. The Nominating Committee to fill vacancies on the Board as described hereinabove created by term expiration, term limitation, resignation and/or removal, shall consist of two Members of the Board and the Chancellor for Health Sciences. The duties of the Nominating Committee will be to identify, recruit, and nominate to the Regents candidates to serve as community

members of the Board that meet the qualifications set forth in Article Three, Section 1 of these Bylaws.

Section 3. *Ad Hoc* Committees. With the approval of the Board by a majority vote of a quorum of those members present at a meeting, the Chairperson will have the power to create *ad hoc* committees of the Board and appoint members and chairpersons of *ad hoc* committees of the Board as necessary and appropriate, at any time for special purposes, including, but not limited to, committees to evaluate the performance of the Board and to evaluate and resolve conflict of interest issues.

Section 4. Committees for UNM HSC. The Board may establish standing or *ad hoc* committees of the Board to address issues specific to the business, operational, educational, and research needs of the UNM HSC or for other purposes, as it deems appropriate, and may appoint non-voting advisory members to those committees.

ARTICLE SIX TELEPHONIC PARTICIPATION AT MEETINGS

Members of the Board or any committee designated by the Board may participate in a meeting of the Board or committee by means of a conference phone or similar communications equipment by means of which all persons participating in the meeting can hear each other at the same time, and participation by such means will constitute presence in person at the meeting.

ARTICLE SEVEN ADOPTION; AMENDMENT

Section 1. Adoption. These Bylaws will become effective upon approval of a majority of the members then in office of the Board and the Regents.

Section 2. Amendments. These bylaws may be altered, amended, or repealed or new Bylaws may be adopted by an affirmative vote of a majority of the members of the Board then in office, provided that notice of such alteration, amendment or repeal is included in the notice of such meeting. Any proposed repeal, amendment or alteration will not become effective until approval by the Regents.

Section 3. Review. These Bylaws will be reviewed by the Board at least three years from the date of enactment or revision.

OFFICERS' CERTIFICATE

The undersigned Officers of the Board certify that these Bylaws of the Board were adopted by the affirmative vote of a majority of the Board at a meeting held on September, 20134, at which a quorum was present, and were approved by affirmative vote of a majority of the Regents at a meeting held on September, 20134, at which a quorum was present.

CHAIRPERSON

SECRETARY

Regents' Policy Manual - Section 3.5: UNM Health Sciences Center Board of Directors



Adopted Date: 12-14-2010

Amended: 02-14-2012

Amended: 02-11-2013

Applicability

This policy applies to the clinical, operational, financial, research, and educational affairs of the UNM Health Sciences Center ("HSC") and the UNM Health System established under [RPM 3.4](#). This policy defines the roles and responsibilities of the UNM Health Sciences Center Board of Directors ("HSC Board of Directors").

Policy

The Regents hereby create and designate the HSC Board of Directors to oversee and govern the clinical, operational, financial, research, and educational affairs of the HSC and the UNM Health System. The members of the HSC Board of Directors shall act only as a Board, and individual directors shall have no power as such. In all cases, the HSC Board of Directors shall conduct its meetings and affairs in compliance with the New Mexico Open Meetings Act, NMSA 1978 § 10-15-1 et seq., and its records shall be subject to the New Mexico Inspection of Public Records Act, NMSA 1978 § 14-2-1 et seq.

1. Composition

The HSC Board of Directors shall consist of ~~seven (7)~~ five (5) voting members appointed by the President of the Board of Regents as provided in [RPM 1.2](#) and shall consist of the following members:

- Three (3) of the members of the HSC Board of Directors shall be Regents; and
- ~~Four (4)~~ Two (2) of the voting members of the HSC Board of Directors shall be individuals who are not employees or officers of UNM or any of the component schools, colleges, or units of the HSC having the following characteristics: an established record

of success in business, education, his or her profession, or research; an understanding of, an appreciation for, and a desire to support the non-profit, educational, and safety-net principles of an academic health center; and, recognized leadership on either a regional, statewide, or nationwide basis in areas of focus of the HSC. In this regard, one of the community members of the HSC Board of Directors shall be the sitting Chair of the UNM Hospitals Board of Trustees. The sitting Chair of the UNM Hospital Board of Trustees shall serve as an ex officio, non-voting member of the HSC Board of Directors.

The specific terms of office of each member of the HSC Board of Directors and the method and manner for appointment and/or reappointment to the HSC Board of Directors shall be as specified in the HSC Board Bylaws.

2. Bylaws

Bylaws for the operation of the HSC Board of Directors ("HSC Board Bylaws"), and any and all amendments thereto, shall be subject to Regent review and approval.

3. Scope of Authority

The Regents hereby delegate, on a continuing basis, to the HSC Board of Directors the responsibility and authority for overseeing and governing the affairs of the HSC and the UNM Health System to the maximum extent permitted by the Constitution and laws of the State of New Mexico, as interpreted, from time to time, by the courts; provided, however, that consistent with the Regents' constitutional and statutory responsibilities, the Regents retain the right to consider, determine, and act upon any matter relating to the HSC and its component units in the exercise of their sound discretion. Subject to the foregoing, the Regents hereby delegate to the HSC Board of Directors as follows:

3.1. The HSC Board of Directors shall have authority to act upon the following:

- a. Compliance by the HSC and each of the component schools, colleges, and units within the HSC and the employees thereof with applicable federal and state health care regulatory requirements as well as HSC-wide policies with respect thereto.
- b. Compliance by the HSC and each of the component schools, colleges, and units within the HSC and the employees thereof with the Health Insurance Portability and Accountability Act ("HIPAA"), the Health Information Technology for Economic and Clinical Health Act, the HIPAA Regulations, and the University's HIPAA compliance program as well as HSC-wide policies with respect thereto.
- c. The licensure, accreditation, planning, patient care, medical staff matters, quality assurance, and relationship with the component schools and colleges within the HSC and the other component units of the HSC. This shall include monitoring the outcomes of accreditation reviews and other peer evaluations. As to the UNM Hospital, the UNM Cancer Center, and UNM Sandoval Regional Medical Center ("SRMC"), review at least annually reports relating to licensure, including special services, accreditation, planning,

- patient care, medical staff matters including quality assurance, and any appropriate corrective action, and relationships with the schools and colleges comprising the HSC.
- d. At appropriate intervals to be determined by the HSC Board of Directors, reports of financial matters relating to each school or college comprising the HSC as well as the Office of Research for the HSC, the UNM Hospital, UNM Cancer Center, UNM Medical Group (“UNMMG”), UNM SRMC (and such other and future clinical enterprises and/or subsidiaries as may exist as a part of the HSC) including expenses and revenue by source, patient days and visits, and other pertinent financial data and information and financial planning.
 - e. The substantive aspects of policies and programs related to the educational philosophy and objectives of the HSC; assessing the HSC’s educational and student activities, research activities, and associated support programs for their relationship to the University’s mission, vision, and values, as well as quality measures, productivity, and cost; oversight of the manner in which the University’s policies are administered as they affect the HSC and HSC faculty.
 - f. The HSC’s strategies in enrollment management, retention, and student diversity at each of its component schools and colleges and in their respective educational programs.
 - g. Assuring effective input from the HSC student, faculty, and staff advisors to the HSC Board of Directors.
 - h. Subject to RPM 7.8 (describing financial settlements), claims and/or lawsuits involving the HSC and/or the schools and colleges comprising the HSC, the UNM Hospital, UNMMG, UNM SRMC (and such other and future clinical enterprises and/or subsidiaries as may exist as a part of the HSC) and the development of a loss control program with respect thereto.

3.2. Matters as to which the HSC Board of Directors shall review and make recommendations to the Regents for final approval:

- a. Strategic planning and master facility planning for HSC clinical, educational, and research enterprise activities. In this connection, the HSC shall coordinate any such strategic plans and/or master facility planning with those under the purview of the President of the University.
- b. Developments, including pending legislation, involving significant changes in health care delivery and financing.
- c. New degrees, courses, and curriculum changes required by State law or desired by the colleges and schools comprising the HSC to promote academic excellence at the HSC.
- d. Tuition and fees to be charged at the colleges and schools comprising the HSC.
- e. The establishment or disestablishment of colleges, schools, and graduate divisions, organized multi-campus research units and other major research activities, special training programs, and public service undertakings.
- f. Establishing an annual HSC educational and research enterprise strategic plan for the University.
- g. Proposing changes to Regents’ policies relative to HSC academic and student affairs.
- h. The HSC faculty tenure and promotion process to ensure expected outcomes are achieved in attracting and retaining outstanding faculty at the HSC and making recommendations to the Regents with respect to potential improvements thereto.

- i. The appointment of individuals to serve as members of the Boards of Directors of UNMMG and UNM SRMC, and such other and future subsidiary corporations formed to support the HSC consistent with the provisions of the University Research Park and Economic Development Act (“URPEDA”).
- j. The formation of one or more corporations in the future under the URPEDA to support the strategic plans and mission of the HSC and its component units.
- k. The transfer of money, personal property, and real property to any one or more URPEDA corporations formed by the Regents to support the HSC and its component units and the proposed terms thereof.
- l. Review budget requests and proposed legislation.

4. Relationship and Reporting to the Board of Regents

With the exception of matters within the jurisdiction of the Regents’ Audit Committee, the HSC Board of Directors shall report their recommendations for approval and actions taken to the Board of Regents, as a committee of the whole, at the Regents’ next regularly scheduled meeting. The HSC, the HSC Board of Directors, and the Chancellor for Health Sciences shall coordinate their activities in the area of capital and operational budgeting, proposed legislation, strategic planning, transfer of assets, and master facility planning with those of the Board of Regents and its applicable committees and the Office of the President of the University. In this connection, actions and/or recommendations of the HSC Board of Directors in respect to annual capital and/or operational budgeting for the HSC shall be reported to the Board of Regents for normal consideration consistent with procedures established by the Board of Regents relative to the annual capital and/or operation budget of the remainder of the University. The Chancellor for Health Sciences, and/or designee(s), shall no less than semi-annually present a detailed financial report and budget status concerning all of its units and business to the Regents’ Finance & Facilities Committee.

Similarly, the HSC, the HSC Board of Directors, and the Chancellor for Health Sciences shall cooperate and coordinate their activities with those of the Regents’ Audit Committee and of the University’s Internal Audit Department.

All Regent committees established by the Regents for the oversight of the University as a whole shall, if the matter affects or impacts the HSC or the UNM Health System, or is otherwise subject to the jurisdiction of the HSC Board of Directors as set forth in this Policy, shall be presented for review and approval by the HSC Board of Directors prior to presentation to the Regents for final approval.

5. Relationship to Subsidiary Corporations

The respective Boards of Directors of UNMMG, UNM SRMC and any and all other future subsidiary corporations formed by the University to support the mission of the HSC under and pursuant to the URPEDA or any successor statute thereto, shall have authority to manage the affairs of that subsidiary corporation within the parameters established by a Memorandum of Agreement to be executed by and between the HSC and each such subsidiary corporation and

approved by the Board of Regents to ensure the alignment of the strategic and long-term plans of each of the component units of the HSC. In this connection, the HSC Board of Directors shall oversee, provide strategic guidance, and monitor the clinical, business, research, educational, and development operations of the subsidiary corporations as described more fully above.

References

New Mexico Open Meetings Act, NMSA 1978 § 10-15-1 et seq.; New Mexico Inspection of Public Records Act, NMSA 1978 § 14-2-1 et seq.; University Research Park and Economic Development Act, NMSA 1978 § 21-28-1 et seq.; [RPM 3.4](#) “Health Sciences Center and Services”; [RPM 3.6](#) “UNM Hospital Board of Trustees”; [RPM 3.7](#) “Institutional Compliance Program”



THE UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER

UNM HOSPITALS

MEMORANDUM

August 27, 2013

TO: HSC Board of Directors

FROM: Michael Olguin
UNM Hospitals, Board of Trustees, Chair

SUBJECT: Board Appointment, UNM Hospital Board of Trustees

At the August 23, 2013, meeting of the UNMH Board of Trustees, the trustees approved the nomination of Dr. Erich Marchand, to the Carrie Tingley Hospital Board of Directors. The UNMH Board of Trustees requests the approval of the Governance and Nominating Committee of the HSC Board of Directors, and the HSC Board of Directors, with final approval by the Board of Regents. Dr. Marchand's CV is attached for review.

Curriculum Vitae

Erich P. Marchand, M.D.

ADDRESSES: Home:

TELEPHONE: Office:
Home:

E-MAIL: Office:
Home:

DATE OF BIRTH: September 23,

PLACE OF BIRTH: Concord, Massachusetts

CITIZENSHIP: USA

MARITAL STATUS: Married
Spouse, Meryl D. Marchand

EDUCATION:

05/1976:	BSc Biology – Honors BA Chemistry University of New Mexico Albuquerque, NM
05/1980:	MD University of New Mexico Albuquerque, NM

**POST-GRADUATE
EDUCATION**

07/1980-06/1981	Intern (PGY-1) General Surgery Bridgeport General Hospital Bridgeport, CT
06/1981-07/1986	Resident in Neurosurgery McGill University Montreal, Quebec, Canada

EMPLOYMENT:	07/1986-06/1987	Assistant Professor of Surgery Department of Neurosurgery UNM School of Medicine Albuquerque, NM
	07/1987-06/1989	Private Practice of Neurosurgery Farmington, NM
	07/1989-06/1995	Assistant Professor of Neurosurgery Neurosurgery & Pediatrics – tenured UNM School of Medicine Albuquerque, NM
	07/1995-08/2004	Private Practice of Neurosurgery Santa Fe, NM
	09/2005 – 07/2007	Presbyterian Medical Group – Neurosurgery Santa Fe and Albuquerque, NM
	09/2007- Present	Department of Neurosurgery- UNM Albuquerque, NM

CERTIFICATION:	1981	National Board of Medical Examiners Parts I, II, III
	1989	Diplomate - American Board of Neurological Surgery

STATE LICENSES:	1981	New York – inactive
	1986	New Mexico

PROFESSIONAL ORGANIZATIONS

American Association of Neurological Surgeons
New Mexico Medical Society

COMMITTEES:	NM State Trauma Advisory Committee, 2000 - present
	Trauma Committee, St. Vincent Hospital, 1998-2000, 2003 - present
	OR Advisory Committee, St. Vincent Hospital, 1998-2003
	Risk Management Committee, University Hospital, 1990 - 2003
	Utilization Review Committee, University Hospital, 1992-1993

New Mexico Head Injury Task Force 1990-1992

AWARDS	1976	Phi Beta Kappa
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OFFICES HELD

Lead Physician, PMG Neurosurgery, 2001-2006
Chief, Section of Neurosurgery, St. Vincent Hospital, 1997 - 2002
New Mexico Delegate to the Council of State Neurosurgical Societies, 1992 - present
Member, Board of Directors, New Mexico Donor Services, 2001 - present
Member, Physician Strategic Leadership Group, St. Vincent Hospital 2003 - present
Medical Director, Neurosciences, St. Vincent Hospital – 2001
Member, Board of Directors, La Familia Medical Center 2000 - 2001
Member, Board of Directors, Santa Fe HealthCare 1997 - 2001
New Mexico Neurosurgical Society Vice President 1992 - 1995
Donornet, Vice President 1990 - 1995
San Juan County Medical Society Secretary/Treasurer 1988 - 1989
Member, Board of Directors, Montreal Neurological Hospital, 1984 - 1985

INVITED LECTURER

Lectures in Neuro Intensive Care, St. Vincent Hospital
Carotid Endarterectomy, Grand Rounds, St. Vincent; Los Alamos, 2003
Neurotrauma, Durango and Alamosa, Colorado 2001
Surgical Navigation, Durango, Colorado, 2002
Pediatric Neurotrauma, Texas Tech, El Paso, 2000
Hydrocephalus and CSF Shunts, Los Alamos, 1996

PAPERS PRESENTED

Subarachnoid and intracerebral hemorrhage from skull fracture through durocerebral AVM: A case report. Presented to New England and Quebec Neurosurgical Societies Joint Meeting, February 1981 (Stowe, Vermont).

Subdural fluid collection following shunting for hydrocephalus in adults. Presented to New England and Quebec Neurosurgical Societies Joint Meeting, October 1985 (Montreal, Quebec).

Marchand, E.P., Lewine J., Orrison, W.: Magneto-encephalography and Localization of Function and Interictal Spiking. Presented to Fifth International Cleveland Clinic-Bethel Epilepsy Symposium, May 1994 (Cleveland, Ohio).

BOOK CHAPTERS

1 - Marchand E.P.: Cerebrospinal Fluid Shunt Infections: chapter in Surgical Infections. Ed Fry DE. (Little, Brown & Company) 1995.

PUBLICATIONS

1. Marchand E.P., Villemure J.G., Robin J., Robitaille Y., Ethier R.: Solitary Osteochondroma of the Thoracic Presenting as Spinal Cord Compression: A case report, Spine 11 (10); 1033 - 1034, 1986.

PUBLICATIONS (continued)

2. Peters T.M., Clark J.A., Oliver A., Marchand E.P., Mawko G., Dieumgarde M., Muresan L., Ethier R.: Integrated Stereotaxic Imaging with CT, MRI Imaging, and Digital Subtraction Angiography, *Radiology*, 161: 821 - 826, 1986.
3. Olivier A., Marchand E.P., Peters T.M., Tyler J.: Depth Electrode Implantation at the Montreal Neurological Hospital & Institute in Surgical Treatment of Epilepsy, J. Engle Jr., Editor: Raven Press, New York, 1987.
4. Olivier A., Peters T.M., Clark J.A., Marchand E.P., Bertrand G., Vanier M., Ethier R., Tyler J. De Lotbiniere A.: Integration de Angiography Numerique, de la Resonance magnetique, Regul de la Tomodesiometry, a de la Tomography par Emission of de positron Stereotaxy. *Revue Neurophysiology Clinique* 17, 25 - 43, 1987.
5. Villemure J.G., Marchand E.P., Peters T.M., Leroux G., Olivier A.: Magnetic Resonance Imaging Stereotaxy: Recognition and Utilization of the Comissures, *Appl. Neurophysiology*, 50 - 63, 1987.
6. Benzel E.C., Kesterson L., Marchand E.P.: Texas Scottish Rite Hospital Rod Instrumentation for Thoracic and Lumbar Spine Traum. *J Neurosurg* 75: 382 - 387, 1991.
7. Orrison W., Rose D.F., Marchand E.P., et al: Noninvasive Preoperative Cortical Localization by Magnetic Source Imaging. *Am J Neuroradiology* 13 (4): 1124 - 1128, 1992.
8. Benzel E.C., Ball P.A., Baldwin N.G., Marchand E.P.: The Sequential Hook Insertion Technique for Universal Spine Instrumentation Application. *J Neurosurg* 79: 608 - 611, 1993.
9. Anson J.A., Marchand E.P.: Bovine Pericardium for Dural Grafts: Clinical Results in 35 Patients. *Neurosurgery* 1996: 39: 4; 764 - 768.

LETTERS

Marchand E.P.: Delayed Traumatic Cranial Nerve Palsy. *J Neurosurg* 75 (1): 168 -, 1991.

Benzel E.C., Kesterson L., Marchand E.P.: Instrumentation for Spinal Trauma. *J Neurosurg* 76: 560 - 561, 1992.

Ball P.A., Marchand E.P., Benzel E.C., Willis B.K.: Stereotactic Biopsy in AIDS Patients. *British J Neurosurg* 6: 505- 506, 1992.

ABSTRACTS

1. MRI and Acute Spine Trauma. Presented as a poster (E-368) at the American Association of Neurosurgical Surgeons annual meeting. Nashville, April 1990. Benzel E.C., Kesterson L., Marchand E.P., Willis B.K., Orrison W.
2. Cranial Emergency Room Ultra Low-field MRI. Presented as a poster (E-372) at the American Association of Neurological Surgeons annual meeting. Nashville, April 1990. Kesterson L., Benzel E.C., Marchand E.P., Willis B.K., Orrison W.
3. Obligatory Negative Nitrogen Balance Following Spine Cord Injury. Presented as a poster (E-360) at the American Association of Neurosurgical Surgeons annual meeting. Nashville, April 1990. Clevenger F.W., Rodriguez D.J., Fry D.E., Benzel E.C., Kesterson L., Marchand E.P., Willis B.K.

ABSTRACTS (continued)

4. Correlation of Magnetoencephalography with 3-D Acquisition and Display MRI. Presented as a poster (E-370) at the American Association of Neurosurgical Surgeons annual meeting. Nashville, April 1990. Orrison W. Marchand E.P., Kesterson L., Willis B.K., Benzel E.C.
5. Magnetic Resonance Imaging in Acute Cranial and Cervical Spine Trauma. Presented at the Southern Neurosurgical Society annual meeting. (Neurosurgery 26: 1073, 1990) Key West, March 1990. Kesterson L., Benzel E.C., Marchand P.E., Willis B.K., Orrison W.
6. Obligatory Negative Nitrogen Balance Following Spinal Cord Injury. Presented at the Southern Neurosurgical Society annual meeting. (Neurosurgery 26: 1079, 1990) Key West, March 1990. Clevenger F.W., Rodriguez D.J., Fry D.E., Benzel E.C., Kesterson L., Marchand E.P., Willis B.K.
7. MRI and Acute Spine Trauma. Presented at the American Paraplegia Society annual meeting. Las Vegas, September 1990. Kesterson L., Benzel E.C., Marchand E.P., Willis B.K. Orrison W.
8. Obligatory Negative Nitrogen Balance Following Spinal Cord Injury. Presented at the American Paraplegia Society annual meeting. Las Vegas, September 1990. Clevenger F.W., Rodriguez D.J., Fry D.E., Benzel E.C., Kesterson L., Marchand E.P., Willis B.K.
9. Texas Scottish Rite Hospital Spine Instrumentation for the Treatment of Thoracic and Lumbar Spine Trauma. Presented at the Joint Section on Disorders of the Spine annual meeting, February 1991. Benzel E.C., Kesterson L., Marchand E.P.
10. TSRH Rod Instrumentation for Thoracic and Lumbar Spine Trauma. Presented at the 17th annual Richard Lende Neurosurgical winter conference, February 1991. Benzel E.C., Kesterson L., Marchand E.P.
11. The Incidence of Vertebral Artery Injury Following Mid-cervical Spine Subluxation and Foramen Transversarium Fractures. Presented as a poster (1276) at the AANS 59th annual meeting, New Orleans, April 1991. Willis B.K., Benzel E.C., Kesterson L., Marchand E.P.
12. Preoperative Functional Brain Mapping. Radiology 181, 1991. Orrison W., Hardy T., Sanders J.A., Benzel E.C., Marchand, E.P., Brynildson L.
13. Pre-operative Functional Brain Mapping with MEG and MR Imaging. Presented at RSNA 1991, Chicago, Illinois, December 1991. Orrison W., Hardy T.L. Sanders J.A., Benzel E.C., Marchand E.P., Brynildson L.
14. Stereotactic Pre-operative Functional Brain Mapping by Magnetic Source Imaging. Presented as a poster (B48) at the CNS annual meeting, Washington D.C., November 1992. Orrison W., Hardy T.L. Sanders J.A., Benzel E.C., Marchand E.P., Brynildson L.
15. The Sequential Hook Insertion (SHI) Technique for Universal Spinal Instrumentation Application. Presented as a poster (30, session 1) at the Joint Section on Disorders of the Spina annual meeting, Tucson, February 1993. Benzel E.C., Ball P.A., Baldwin N.G., Marchand E.P.
16. Clinical Application of Magnetic Source Imaging. Presented as a poster (1206) at AANS annual meeting Boston, April 1993. Lerner B.R., Ball P.A., Marchand E.P., Maher D., Benzel E.C.
17. Conservative Management of Large Epidural Hematomas. Presented as a poster (1233) at AANS annual Meeting Boston, April 1993. Lerner B.R., Ball P.A., Marchand E.P., Maher D., Benzel E.C.

ABSTRACTS (continued)

18. Clinical Applications of Magnetic Source Imaging. Presented as a poster (818) at the Congress of Neurological Surgeons annual meeting, Vancouver, October 1993. Orrison W., Baldwin N.G., Lewine J.D., Marchand E.P., Benzel E.C.
19. Three-Pointed Star Closure of Lumbar Myelomeningocele. Presented at the Congress of Neurological Surgeons annual meeting, Chicago, October 1994.
20. Anaplastic Glioma Presenting as an Isolated Oculomotor Palsy in the Child-MRI Signs. Presented at the North American Neuro-Ophthalmology Society meeting, March 1998. Thomas J. Carlow, M.D., E.P. Marchand, M.D. M. Kornfeld, M.D.

**UNM Hospital Board of Trustees
Recommendation to HSC Board of Directors Finance and Audit Committee
August 2013**

Informational

1) Owens and Minor Distribution, Inc.

Ownership:

9120 Lockwood Blvd
Mechanicsville, VA 23116

Officers Information:

President & CEO: Craig R. Smith
Executive Vice President: James L. Bierman

Source of Funds: UNM Hospitals Capital Budget

Description: Request to purchase Implant Purchasing Manager (IPM) software system from Owens & Minor. IPM is an automated, web-based application that will help streamline the implant purchasing process for Orthopedic, Spine, Cardiac Cath and other critical areas. The software will reduce the time staff requires to manually enter or correct transactions and increase control with customizable dashboard views and data-rich reports by product, procedure, physician and more. IPM will also maximize savings with real-time automated pricing management functions that account for capitation and other complex, case-specific discounts.

Previous Contract: This is a new contract for Implant Purchasing Manager (IPM) software system.

Process: UNMH RFP #P247-13

RFP Respondents: Owens and Minor Distribution, Inc. was the only respondent.

Selection Reason: Scoring criteria is as follows:

- Business profile, financial and references qualifications
- IT security and controls
- Product features - web based, automation of transactions, ability to upload data, pricing methodologies, routing and creation of requisitions and POs, Lawson interface
- Services and ongoing support
- Price

The Operating Room staff scored the RFP against the above criteria and determined that the IPM software system met all of the criteria.

Total Cost: \$330,000

Health Sciences Center							
Metrics (Preliminary and Unaudited)							
Benchmark	Expected	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013	Fiscal Year Trend
Net Income (Amounts are in thousands)							
HSC	↑	\$5,452	(\$7,391)	(\$9,081)	(\$2,423)	(\$6,091)	
UNMMG	↑	\$4,626	\$5,875	\$23,744	(\$2,870)	\$106	
UNMH	↑	\$35,524	\$8,116	\$9,412	\$1,854	\$4,445	
SRMC	↑	NA	NA	NA	NA	(\$11,945)	
Uncompensated Care (Amounts are in thousands)							
HSC - CRTG	↓	\$6,064	\$3,561	\$5,461	\$5,464	\$6,302	
UNMMG	↓	\$25,440	\$27,844	\$34,482	\$35,734	\$42,692	
UNMH	↓	\$149,355	\$147,904	\$158,351	\$180,411	\$184,590	
SRMC	↓	NA	NA	NA	NA	\$7,872	

Health Sciences Center							
Benchmark	Expected	Metrics (Preliminary and Unaudited)					Fiscal Year Trend
		FY 2009	FY 2010	FY 2011	FY 2012	FY 2013	
Days Cash on Hand							
Days of Excess in Discretionary Resources Year End Benchmark - 10 Days	↑	NA	NA	13.96	13.28	5.93	
ACMPE 30 Days *	↑	34.70	47.30	3.70	46.40	51.00	
UNMH 75 Days **	↑	63.62	57	62.51	56.85	50.56	
UNMC 75 Days **	↑	NA	NA	NA	NA	47.90	
Accounts Receivable Greater Than Ninety Days as of June 30th							
HSC	↓	\$964 13%	\$587 7%	\$609 8%	\$239 4%	\$153 3%	
UNMHMG	↓	\$4,642 12%	\$3,902 14%	\$3,898 13%	\$5,444 21%	\$4,109 14%	
UNMH	↓	\$54,532 31%	\$61,977 26%	\$73,827 28%	\$69,609 27%	\$58,824 26%	
SRMC	↓	NA	NA	NA	NA	\$18,413 51%	

* American College of Medical Practice Executives

** University Health System Consortium

* American College of Medical Practice Executives
** University HealthSystem Consortium



THE UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER

UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER - University HSC Only* 2013 Fiscal Year-to-Date Summary through June 30, 2013 (Preliminary and Unaudited) (In thousands)

* UNIVERSITY HSC ONLY INCLUDES: SON, CON, COP, HSLIC, ADMIN, RESEARCH

	University HSC FY 2013 Revised Budget	University HSC FY 2013 Year End Actual	University HSC FY 2012 Year End Actual	University HSC FY 2013 Year End Actual to FY 2013 Revised Budget Benchmark Rate (100%)	University HSC FY 2013 Year End Actual to FY 2012 Year End Actual Benchmark Rate (100%)
1 UNM MEDICAL GROUP REVENUES	123,253	110,686	102,884	90%	108%
2 UNM HOSPITALS REVENUES	93,056	100,233	92,272	108%	109%
3 SONC REVENUES	13,939	11,848	141	85%	-
4 UNM CANCER CENTER CLINICAL REVENUES	13,892	13,300	22,983	96%	58%
5 TUITION AND FEES	12,729	13,177	12,046	104%	109%
6 CIGARETTE TAX APPROPRIATIONS	3,296	3,363	3,885	102%	87%
7 RSPC APPROPRIATIONS	15,924	15,924	14,788	100%	108%
8 JAG APPROPRIATIONS	60,089	60,089	58,358	100%	103%
9 JAG MAIN CAMPUS TRANSFERS	18,615	18,615	16,815	100%	111%
10 FBA REVENUES (ON RETURN)	20,860	21,459	22,769	103%	94%
11 HSC/UNM INTERNAL TRANSFERS	(543)	(3,270)	(8,196)	602%	40%
12 MILL LEVY	0	0	0	N/A	N/A
13 OTHER REVENUES	7,391	13,316	13,720	180%	97%
14 CONTRACT AND GRANT REVENUES	124,554	131,070	134,037	105%	98%
TOTAL REVENUES	507,395	509,810	486,442	100%	105%
15 TOTAL COMPENSATION EXPENSES	316,621	319,157	292,270	101%	109%
16 SUPPLIES/MEDICAL SUPPLIES	12,549	11,773	10,783	93%	108%
17 UNIVERSITY CLINICIANS PROGRAM	0	0	0	N/A	N/A
18 HOUSES/STAFF	0	0	0	N/A	N/A
19 TRAVEL	4,340	4,656	4,162	96%	112%
20 STUDENT COSTS	3,696	2,123	2,810	69%	106%
21 PATIENT CARE COSTS	1,042	852	929	82%	92%
22 TELEPHONE/COMMUNICATION COSTS	2,118	2,294	2,136	108%	107%
23 PURCHASED SERVICES	23,804	24,091	22,538	101%	107%
24 OTHER MEDICAL SERVICES	0	0	0	N/A	N/A
25 SUB AWARDS/SERVICE CONTRACTS	1,145	1,499	1,430	131%	105%
26 OSH & LEASES	7,471	6,900	6,546	92%	105%
27 UTILITIES	4,499	4,874	4,303	108%	113%
28 DEPRECIATION	0	0	0	N/A	N/A
29 OTHER EXPENSES	9,448	297	387	3%	77%
30 CONTRACT AND GRANT EXPENSES	116,454	122,407	125,423	105%	97%
TOTAL EXPENSES	503,187	500,873	473,915	100%	106%
OPERATING NET MARGIN	4,208	8,937	12,527		
NON-OPERATING ITEMS					
31 CAPITAL EXPENDITURES	1,859	1,386	1,180	75%	117%
32 BUILD AMERICA BOND SUBSIDY	0	0	0	N/A	N/A
33 MEANINGFUL USE REVENUE	0	0	0	N/A	N/A
34 INTEREST EXPENSE	2,234	2,169	1,677	97%	129%
35 USE OF DEDICATED RESERVES	0	0	0	N/A	N/A
36 RECRUITMENT/STARTUP/SCHOLARSHIPS	7,065	6,985	539	99%	128%
37 TRANSFERS TO PLANT	2,921	4,478	11,554	153%	39%
TOTAL NON-OPERATING ITEMS	14,079	15,028	14,950	107%	101%
NET INCOME/(USE OF RESERVES)	(9,871)	(6,091)	(2,423)		

UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER - UNM Hospitals Only
2013 Fiscal Year-to-Date Summary through June 30, 2013 (Preliminary and Unaudited)

(In thousands)

Clinical Operations

	UNM Hospitals FY 2013 Revised Budget	UNM Hospitals FY 2013 Year End Actual	UNM Hospitals FY 2012 Year End Actual	UNM Hospitals FY 2013 Year End Actual to FY 2013 Revised Budget Benchmark Rate (100%)	UNM Hospitals FY 2013 Year End Actual to FY 2012 Year End Actual Benchmark Rate (100%)
1 UNM MEDICAL GROUP REVENUES	0	0	0	N/A	N/A
2 UNM HOSPITALS REVENUES	640,635	653,478	644,244	102%	101%
3 SRMC REVENUES	0	0	0	N/A	N/A
4 UNM CANCER CENTER CLINICAL REVENUES	0	0	0	N/A	N/A
5 TUITION AND FEES	0	0	0	N/A	N/A
6 CIGARETTE TAX APPROPRIATIONS	0	0	0	N/A	N/A
7 RPSF APPROPRIATIONS	12,975	12,966	12,283	100%	106%
8 I&G APPROPRIATIONS	0	0	0	N/A	N/A
9 I&G MAIN CAMPUS TRANSFERS	0	0	0	N/A	N/A
10 F&A REVENUES (OH RETURN)	0	0	0	N/A	N/A
11 HSC/UNM INTERNAL TRANSFERS	90,977	91,229	90,166	100%	N/A
12 MELLILEVY	24,635	24,199	14,273	98%	170%
13 OTHER REVENUES	4,391	5,798	4,517	132%	128%
14 CONTRACT AND GRANT REVENUES					
TOTAL REVENUES	773,613	787,670	765,482	102%	103%

15 TOTAL COMPENSATION EXPENSES	398,109	392,663	384,935	99%	102%
16 SUPPLIES/MEDICAL SUPPLIES	126,440	130,787	119,894	103%	109%
17 UNIVERSITY CLINICIANS PROGRAM	53,562	53,208	51,696	99%	103%
18 HOUSESTAFF	25,505	24,861	22,664	97%	110%
19 TRAVEL	711	674	656	95%	103%
20 STUDENT COSTS	0	0	0	N/A	N/A
21 PATIENT CARE COSTS	34,018	40,001	36,247	118%	110%
22 TELEPHONE/COMMUNICATION COSTS	3,458	3,463	3,463	103%	103%
23 PURCHASED SERVICES	26,816	23,715	22,048	88%	108%
24 OTHER MEDICAL SERVICES	19,548	23,680	16,690	121%	142%
25 SUB AWARDS/SERVICE CONTRACTS	8,032	7,947	8,007	99%	99%
26 O&M & LEASES	14,640	15,039	16,078	103%	94%
27 UTILITIES	6,312	6,118	6,240	97%	98%
28 DEPRECIATION	31,678	32,885	34,842	104%	94%
29 OTHER EXPENSES	20,647	20,578	41,002	100%	50%
30 CONTRACT AND GRANT EXPENSES	0	0	0	N/A	N/A
TOTAL EXPENSES	769,476	775,710	764,460	101%	101%

OPERATING NET MARGIN

OPERATING NET MARGIN	4,137	11,960	1,022		
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NON-OPERATING ITEMS

31 CAPITAL EXPENDITURES	0	0	0	N/A	N/A
32 BUILD AMERICA BOND SUBSIDY	0	0	0	N/A	N/A
33 MEANINGFUL USE REVENUE	(191)	(255)	(8,791)	133%	3%
34 INTEREST EXPENSE	7,768	7,770	7,959	100%	98%
35 USE OF DEDICATED RESERVES	0	0	0	N/A	N/A
36 RECRUITMENT/STARTUP/SCHOLARSHIPS	0	0	0	N/A	N/A
37 TRANSFERS TO PLANT	0	0	0	N/A	N/A

TOTAL NON-OPERATING ITEMS

TOTAL NON-OPERATING ITEMS	7,577	7,515	(832)	99%	-903%
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NET INCOME

NET INCOME	(3,440)	4,445	1,854		
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UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER - UNMMG Only
2013 Fiscal Year-to-Date Summary through June 30, 2013 (Preliminary and Unaudited)

(In thousands)

Clinical Operations

	UNMMG FY 2013 Revised Budget	UNMMG FY 2013 Year End Actual	UNMMG FY 2012 Year End Actual	UNMMG FY 2013 Revised Budget to FY 2012 Year End Actual Benchmark Rate (100%)	UNMMG FY 2013 Year End Actual to FY 2012 Year End Actual Benchmark Rate (100%)
1 UNM MEDICAL GROUP REVENUES	153,267	155,686	127,701	102%	122%
2 UNM HOSPITALS REVENUES	0	0	0	N/A	N/A
3 SRMC REVENUES	0	0	0	N/A	N/A
4 UNM CANCER CENTER CLINICAL REVENUES	0	0	0	N/A	N/A
5 TUITION AND FEES	0	0	0	N/A	N/A
6 CIGARETTE TAX APPROPRIATIONS	0	0	0	N/A	N/A
7 RPSD APPROPRIATIONS	0	0	0	N/A	N/A
8 I&G APPROPRIATIONS	0	0	0	N/A	N/A
9 I&G MAIN CAMPUS TRANSFERS	0	0	0	N/A	N/A
10 F&A REVENUES (OH RETURN)	0	0	0	N/A	N/A
11 HSC/UNM INTERNAL TRANSFERS	0	0	0	N/A	N/A
12 MILL LEVY	0	0	0	N/A	N/A
13 OTHER REVENUES	2,853	4,046	1,817	142%	223%
14 CONTRACT AND GRANT REVENUES	0	0	0	N/A	N/A
TOTAL REVENUES	156,120	159,732	129,518	102%	123%

15 TOTAL COMPENSATION EXPENSES	16,117	16,233	14,261	101%	114%
16 SUPPLIES/MEDICAL SUPPLIES	0	0	0	N/A	N/A
17 UNIVERSITY CLINICIANS PROGRAM	0	0	0	N/A	N/A
18 HOUSESTAFF	0	0	0	N/A	N/A
19 TRAVEL	0	0	0	N/A	N/A
20 STUDENT COSTS	0	0	0	N/A	N/A
21 PATIENT CARE COSTS	11,160	10,940	5,229	98%	209%
22 TELEPHONE/COMMUNICATION COSTS	0	0	0	N/A	N/A
23 PURCHASED SERVICES	126,497	117,399	102,511	93%	115%
24 OTHER MEDICAL SERVICES	0	0	0	N/A	N/A
25 SUB AWARDS/SERVICE CONTRACTS	0	0	0	N/A	N/A
26 O&M & LEASES	453	496	473	109%	105%
27 UTILITIES	0	0	0	N/A	N/A
28 DEPRECIATION	516	412	488	80%	84%
29 OTHER EXPENSES	4,487	4,439	4,600	99%	97%
30 CONTRACT AND GRANT EXPENSES	0	0	0	N/A	N/A
TOTAL EXPENSES	159,231	149,919	127,562	94%	118%

OPERATING NET MARGIN

	159,231	149,919	127,562	94%	118%
	(3,111)	9,813	1,956		

NON-OPERATING ITEMS

31 CAPITAL EXPENDITURES	0	0	0	N/A	N/A
32 BUILD AMERICA BOND SUBSIDY	0	0	0	N/A	N/A
33 MEANINGFUL USE REVENUE	(2,650)	(2,699)	(1,636)	102%	165%
34 INTEREST EXPENSE	0	0	0	N/A	N/A
35 USE OF DEDICATED RESERVES	0	12,406	6,462	N/A	192%
36 RECRUITMENT/STARTUP/SCHOLARSHIPS	0	0	0	N/A	N/A
37 TRANSFERS TO PLANT	0	0	0	N/A	N/A
TOTAL NON-OPERATING ITEMS	(2,650)	9,707	4,826	-366%	201%

NET INCOME

	(461)	106	(2,670)		
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UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER - SRMC Only
2013 Fiscal Year-to-Date Summary through June 30, 2013 (Preliminary and Unaudited)
(In thousands)

Clinical Operations

	SRMC FY 2013 Revised Budget	SRMC FY 2013 Year End Actual	SRMC FY 2012 Year End Actual	FY 2013 Year End Actual to FY 2013 Revised Budget Benchmark Rate (100%)	SRMC FY 2013 Year End Actual to FY 2012 Year End Actual Benchmark Rate (100%)
1 UNM MEDICAL GROUP REVENUES	0	0	0	N/A	N/A
2 UNM HOSPITALS REVENUES	0	0	0	N/A	N/A
3 SRMC REVENUES	24,350	20,895	0	86%	N/A
4 UNM CANCER CENTER CLINICAL REVENUES	0	0	0	N/A	N/A
5 TUITION AND FEES	0	0	0	N/A	N/A
6 CIGARETTE TAX APPROPRIATIONS	0	0	0	N/A	N/A
7 ROSP APPROPRIATIONS	0	0	0	N/A	N/A
8 I&G APPROPRIATIONS	0	0	0	N/A	N/A
9 I&G MAIN CAMPUS TRANSFERS	0	0	0	N/A	N/A
10 F&A REVENUES (OH RETURN)	0	0	0	N/A	N/A
11 HSC/UNM INTERNAL TRANSFERS	0	0	0	N/A	N/A
12 MILL LEVY	20,549	20,833	0	101%	N/A
13 OTHER REVENUES	223	297	48	133%	619%
14 CONTRACT AND GRANT REVENUES	0	0	0	N/A	N/A
TOTAL REVENUES	45,122	42,025	48	93%	-

15 TOTAL COMPENSATION EXPENSES	21,653	23,291	3,997	108%	583%
16 SUPPLIES/MEDICAL SUPPLIES	6,423	7,009	0	109%	N/A
17 UNIVERSITY CLINICIANS PROGRAM	4,525	118	0	3%	N/A
18 HOUSESTAFF	0	44	0	N/A	N/A
19 TRAVEL	60	52	0	87%	N/A
20 STUDENT COSTS	0	0	0	N/A	N/A
21 PATIENT CARE COSTS	3,164	2,544	0	80%	N/A
22 TELEPHONE/COMMUNICATION COSTS	135	110	0	81%	N/A
23 PURCHASED SERVICES	3,872	4,390	0	113%	N/A
24 OTHER MEDICAL SERVICES	718	418	0	58%	N/A
25 SUB AWARDS/SERVICE CONTRACTS	450	429	0	95%	N/A
26 O&M & LEASES	264	443	0	168%	N/A
27 UTILITIES	558	599	0	107%	N/A
28 DEPRECIATION	8,158	7,958	214	98%	3714%
29 OTHER EXPENSES	1,008	2,564	2,350	254%	109%
30 CONTRACT AND GRANT EXPENSES	0	0	0	N/A	N/A
TOTAL EXPENSES	51,088	49,969	6,561	98%	-

OPERATING NET MARGIN	(5,965)	(7,944)	(6,513)		
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NON-OPERATING ITEMS					
31 CAPITAL EXPENDITURES	0	0	0	N/A	N/A
32 BUILD AMERICA BOND SUBSIDY	(2,100)	(2,225)	(2,327)	106%	96%
33 MEANINGFUL USE REVENUE	0	0	0	N/A	N/A
34 INTEREST EXPENSE	7,007	6,226	6,228	89%	100%
35 USE OF DEDICATED RESERVES	0	0	0	N/A	N/A
36 RECRUITMENT/STARTUP/SCHOLARSHIPS	0	0	0	N/A	N/A
37 TRANSFERS TO PLANT	0	0	0	N/A	N/A

TOTAL NON-OPERATING ITEMS	4,907	4,001	3,901	82%	103%
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NET INCOME/(USE OF RESERVES)	(10,872)	(11,945)	(10,415)		
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UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER - Consolidated HSC
2013 Fiscal Year-to-Date Summary through June 30, 2013 (Preliminary and Unaudited)
(In thousands)

Clinical and Academic Operations

	Consolidated HSC FY 2013 Revised Budget	Consolidated HSC FY 2013 Year End Actual	Consolidated HSC FY 2012 Year End Actual	Consolidated HSC FY 2013 Year End Actual to FY 2013 Revised Budget Benchmark Rate (100%)	Consolidated HSC FY 2013 Year End Actual to FY 2012 Year End Actual Benchmark Rate (100%)
1 UNM MEDICAL GROUP REVENUES	276,520	266,372	230,585	96%	116%
2 UNM HOSPITALS REVENUES	733,691	753,711	736,517	103%	102%
3 SMC REVENUES	38,289	32,743	141	86%	-
4 UNM CANCER CENTER CLINICAL REVENUES	13,892	13,300	22,983	96%	58%
5 TUITION AND FEES	12,729	13,177	12,046	104%	105%
6 CIGARETTE TAX APPROPRIATIONS	3,296	3,363	3,885	102%	87%
7 PSPD APPROPRIATIONS	28,899	28,890	27,071	100%	107%
8 I&G APPROPRIATIONS	60,089	60,089	58,358	100%	103%
9 I&G MAIN CAMPUS TRANSFERS	18,615	18,615	16,815	100%	111%
10 F&A REVENUES (OH RETURN)	20,800	21,459	22,709	103%	94%
11 HSC/UNM INTERNAL TRANSFERS	(543)	(3,270)	(8,196)	602%	40%
12 MILL LEVY	111,526	112,062	90,166	100%	129%
13 OTHER REVENUES	35,102	41,858	29,857	119%	140%
14 CONTRACT AND GRANT REVENUES	119,345	136,868	138,553	106%	99%
TOTAL REVENUES	1,482,251	1,499,237	1,381,490	101%	109%

15 TOTAL COMPENSATION EXPENSES	752,500	751,344	695,464	100%	108%
16 SUPPLIES/MEDICAL SUPPLIES	145,512	149,519	130,676	103%	114%
17 UNIVERSITY CLINICIANS PROGRAM	58,188	53,326	51,696	92%	103%
18 HOUSESTAFF	25,505	24,905	22,664	98%	110%
19 TRAVEL	5,611	5,382	4,817	96%	112%
20 STUDENT COSTS	3,096	2,123	2,010	69%	106%
21 PATIENT CARE COSTS	49,384	54,337	42,404	110%	128%
22 TELEPHONE/COMMUNICATION COSTS	5,711	5,958	5,958	104%	106%
23 PURCHASED SERVICES	180,989	169,595	147,097	94%	115%
24 OTHER MEDICAL SERVICES	20,266	24,098	16,690	119%	144%
25 SUB AWARDS/SERVICE CONTRACTS	9,627	9,875	9,437	103%	105%
26 O&M & LEASES	22,828	22,878	23,097	100%	99%
27 UTILITIES	11,368	11,591	10,542	102%	110%
28 DEPRECIATION	40,352	41,255	35,544	102%	116%
29 OTHER EXPENSES	35,590	27,878	48,338	78%	58%
30 CONTRACT AND GRANT EXPENSES	116,454	122,407	126,423	105%	97%
TOTAL EXPENSES	1,482,982	1,476,471	1,372,499	100%	108%
OPERATING NET MARGIN	(731)	22,766	8,991		

NON-OPERATING ITEMS					
31 CAPITAL EXPENDITURES	1,859	1,386	1,180	75%	117%
32 BUILD AMERICA BOND SUBSIDY	(2,100)	(2,225)	(2,327)	106%	96%
33 MEANINGFUL USE REVENUE	(2,841)	(2,954)	(10,427)	104%	28%
34 INTEREST EXPENSE	17,009	16,165	15,664	95%	102%
35 USE OF DEDICATED RESERVES	0	12,406	6,462	N/A	132%
36 RECRUITMENT/STARTUP/SCHOLARSHIPS	7,065	6,995	539	99%	1298%
37 TRANSFERS TO PLANT	2,921	4,478	11,554	153%	39%
TOTAL NON-OPERATING ITEMS	23,913	36,251	22,845	152%	159%

NET INCOME/(USE OF RESERVES)	(24,643)	(13,485)	(13,854)		
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GLOSSARY OF REPORT CATEGORIES

FINANCIAL STATEMENT CATEGORY		UNMH	UNMHC	UNM Hospitals	SRMC
1	UNMH MEDICAL GROUP REVENUES	Clinical revenue primarily based on Physician or Provider efforts and collection through UNMHC	Net patient services revenue including SCI & UPL from UNMHC Clinical operations	N/A	N/A
2	UNMH HOSPITALS REVENUES	Revenue from University Hospital to the School of Medicine	N/A	Hospital facility revenue based on patient billings by UNMH	N/A
3	SRMC REVENUES	Revenue from SRMC to the School of Medicine	N/A	N/A	Hospital facility revenue based on patient billings by SRMC
4	UNMH CANCER CENTER CLINICAL REVENUES	Cancer Center patient revenue posted from the global billings and Infusion Suite net income, Clinical Adult Infusion Suite (RO/IMO)	N/A	N/A	N/A
5	TUITION AND FEES	Tuition & Fees, including tuition differentials	N/A	N/A	N/A
6	CIGARETTE TAX APPROPRIATIONS	Revenues received from the State as a calculated portion of Tax Revenue on the sale of tobacco products for use in Cancer Research	N/A	N/A	N/A
7	RPSP APPROPRIATIONS	Revenue received for current operations made available to UNMH by act of NM State Legislature on an annual basis for Research, Public Service and Independent Operations	N/A	Revenue received for current operations made available to UNMH by act of NM State Legislature on an annual basis for Research, Public Service and Independent Operations	N/A
8	I&G APPROPRIATIONS	Revenue received for current operations made available to UNMH by act of NM State Legislature on an annual basis for I&G operations	N/A	N/A	N/A
9	I&G MAIN CAMPUS TRANSFERS	Partial transfer of tuition and formula workload generated by Nursing, Pharmacy and SOM	N/A	N/A	N/A
10	F & A REVENUES (OH RETURN)	Revenue from recovery of indirect costs (F&A) incurred by a Contract or Grant also known as overhead return	N/A	N/A	N/A
11	HSC/UNMH INTERNAL TRANSFERS	All transfers to/from HSC Unrestricted Funds excluding the I&G Main Campus Transfer and Transfers for Debt Service	N/A	N/A	N/A
12	MILL LEVY	N/A	N/A	Mill levy taxes collected on behalf of the Bernalillo County Treasurer and remitted to UNMH as required by the Hospital Funding Act.	Mill levy taxes collected on behalf of the Sandoval County Treasurer and remitted to SRMC as required by the Hospital Funding Act.
13	OTHER REVENUES	All other Revenues - not contained in any other category - Sales & Services (not Internal Service Center P18 sales), Gains on Sponsored Projects, Gifts, Endowment Spending Distributions, Investment Income, etc.	Other operating revenues	All other revenues not contained in any other category: Investment income, equity loss in Tricare, donated revenue, gain/loss on sale of assets, food, nutrition, catering & vending records revenue, medical non-operating revenue	All other revenues not contained in any other category: Investment income, donated revenue, gain/loss on sale of assets, food, nutrition, catering & vending revenue, medical records revenue, all other non-operating revenue
14	CONTRACT AND GRANT REVENUES	All Restricted Funds Revenue and Unrestricted Contract Revenue for example VA contracts	N/A	Revenue associated with restricted and unrestricted contracts and grants	N/A

GLOSSARY OF REPORT CATEGORIES

FINANCIAL STATEMENT CATEGORIES			UNM		UNMHC		SRMC	
15 TOTAL COMPENSATION EXPENSES			Salary, wages & benefits		Salaries and benefits considered to be operating and certain clinical expenses of UNMHC		Salary, wages & benefits	
16 SUPPLIES/MEDICAL SUPPLIES			Office supplies, computer supplies, dues & memberships, postage charges, recruitment expenses, non capital equipment, computers, food, lab supplies, uniforms, training materials, etc.	N/A	N/A	Medical supplies for: lab, radiology, blood, pharmaceuticals, biologics, implantable devices, office supplies, computer supplies, photocopy expense, forms, linen, food, uniforms, and training materials.	Special clinician program to support SOM	Medical supplies for: lab, radiology, blood, pharmaceuticals, biologics, implantable devices, office supplies, computer supplies, photocopy expense, forms, linen, food, uniforms, and training materials.
17 UNIVERSITY CLINICIANS PROGRAM			N/A	N/A	N/A	Special clinician program to support SOM	Special clinician program to support SOM	Special clinician program to support SOM
18 HOUSESTAFF			N/A	N/A	N/A	Reimbursement of patient care services provided by residents who are employed by SOM.	Reimbursement of patient care services provided by SOM through UNMHC.	Reimbursement of patient care services provided by SOM through UNMHC.
19 TRAVEL			Travel - in state, out of state, foreign, business meals, rental vehicles, new employee moving expenses, vehicle fuel etc.	N/A	N/A	Travel - in state, out of state, business meals, rental vehicles, flights, ground transportation, vehicle fuel etc.	Travel - in state, out of state, business meals, rental vehicles, flights, ground transportation, vehicle fuel etc.	Travel - in state, out of state, business meals, rental vehicles, flights, ground transportation, vehicle fuel etc.
20 STUDENT COSTS			Student tuition/fee expense, student travel, scholarships/fellowships, housing, insurance for students, student awards, etc.	N/A	N/A	N/A	N/A	N/A
21 PATIENT CARE COSTS			Patient Care costs for inpatient, outpatient care & lab, pharmacy and x-ray services for patients	Patient Care costs for inpatient, outpatient care & lab, pharmacy and x-ray services for patients	Patient Care costs for inpatient, outpatient care including Tricare laboratory expense, OR instruments, NM Insurance pool premiums, kidney acquisition, step down care (srf) for IV dependent patients, radiation oncology and patient assistance	Patient Care costs for inpatient, outpatient care including Tricare laboratory expense, OR instruments, NM Insurance pool premiums, kidney acquisition, step down care (srf) for IV dependent patients, radiation oncology and patient assistance	Patient Care costs for inpatient, outpatient care including Tricare laboratory expense, OR instruments, NM Insurance pool premiums, kidney acquisition, step down care (srf) for IV dependent patients, radiation oncology and patient assistance	Patient Care costs for inpatient, outpatient care including Tricare laboratory expense, OR instruments, NM Insurance pool premiums, kidney acquisition, step down care (srf) for IV dependent patients, radiation oncology and patient assistance
22 TELEPHONE/COMMUNICATION COSTS			Telephone, voicemail, cellular, long distance, paging and data networking charges	N/A	N/A	Telephone, voicemail, cellular, long distance, paging and data networking charges	Telephone, voicemail, cellular, long distance, paging and data networking charges	Telephone, voicemail, cellular, long distance, paging and data networking charges
23 PURCHASED SERVICES			Alarm fees, internet fees, architectural services, auditing services, printing/copying/binding fees, conference/event fees, honoraria, insurance charges (general liability, professional liability), legal services, electronic journals & books, consultant fees, etc.	Includes payment to UNM SOM for physician and other provider services	Recruitment, professional, legal, auditing, consulting fees, promotional/graphics, IT Hosting Center, Siemens and PACS, safety and risk services, equifax, laundry, malpractice and liability insurance, etc.	Recruitment, professional, legal, auditing, consulting fees, promotional/graphics, IT Hosting Center, Siemens and PACS, safety and risk services, equifax, laundry, malpractice and liability insurance, etc.	Recruitment, professional, legal, auditing, consulting fees, promotional/graphics, IT Hosting Center, Siemens and PACS, safety and risk services, equifax, laundry, malpractice and liability insurance, etc.	Recruitment, professional, legal, auditing, consulting fees, promotional/graphics, IT Hosting Center, Siemens and PACS, safety and risk services, equifax, laundry, malpractice and liability insurance, etc.
24 OTHER MEDICAL SERVICES			N/A	N/A	N/A	Pathology contract, physician services, Executive Medical Directors, Medical Directors, dialysis services for UNM Care patients, OMI MDU, Adult Infusion net	Physician services	Physician services
25 SUB AWARDS/SERVICE CONTRACTS			Unrestricted Sub Awards, Gain/Loss on Unrestricted Projects	N/A	All service contracts	All service contracts	All service contracts	All service contracts
26 O&M & LEASES			Plant maintenance and repairs, equipment repairs and maintenance, property insurance, auto insurance, facility rent expense	Building maintenance and repairs, equipment repairs and maintenance, repair parts, equipment rent, property insurance, auto insurance, facility rent expense, and housekeeping supplies	Building maintenance and repairs, equipment repairs and maintenance, repair parts, equipment rent, property insurance, auto insurance, facility rent expense, and housekeeping supplies	Building maintenance and repairs, equipment repairs and maintenance, repair parts, equipment rent, property insurance, auto insurance, facility rent expense, and housekeeping supplies	Building maintenance and repairs, equipment repairs and maintenance, repair parts, equipment rent, property insurance, auto insurance, facility rent expense, and housekeeping supplies	Building maintenance and repairs, equipment repairs and maintenance, repair parts, equipment rent, property insurance, auto insurance, facility rent expense, and housekeeping supplies

GLOSSARY OF REPORT CATEGORIES

FINANCIAL STATEMENT CATEGORY				UNM	UNM/MG	UNM Hospitals	SRMC
27 UTILITIES				Natural gas, electricity, steam, sewer, water, chilled water	N/A	Natural gas, electricity, steam, sewer, water, chilled water	Natural gas, electricity, steam, sewer, water, chilled water
28 DEPRECIATION				N/A	The annual amortization for the furniture and equipment.	The annual amortization for the capital outlay associated with building, building improvement, fixed and moveable equipment which is based on the estimated useful lives of the assets as determined by the AHA "Estimated useful lives of Depreciable Hospital Assets".	The annual amortization for the capital outlay associated with building, building improvement, fixed and moveable equipment which is based on the estimated useful lives of the assets as determined by the AHA "Estimated useful lives of Depreciable Hospital Assets".
29 OTHER EXPENSES				Banking fees, cost of goods sold, research costs, royalties, bad debt expense, other operating costs, Banner tax, etc.	Billings & collections expenses and other operating expenses of UNM/MG	Programming, application, software and maintenance expenses, non capital equipment (less than \$5K), signs, dues & memberships, freight, postage, subscriptions, licenses/permits, Gap Tax, Intergovernmental Transfers for the SCI and IMC, bond issuance costs, capital initiatives and other non-operating expenses.	Programming, application, software and maintenance expenses, non capital equipment (less than \$5K), signs, dues & memberships, freight, postage, subscriptions, licenses/permits, Gap Tax, Intergovernmental Transfers for the SCI and IMC, bond issuance costs, capital initiatives and other non-operating expenses.
30 CONTRACT AND GRANT EXPENSES				All Restricted Funds Expense and Unrestricted Contract Expense for example VA contracts	N/A	N/A	N/A
31 CAPITAL EXPENDITURES				Equipment/furniture > \$5,000, Computer hardware, library acquisitions, etc.	N/A	N/A	N/A
32 BUILD AMERICA BOND SUBSIDY				N/A	N/A	N/A	SRMC receives subsidy payments related to interest payments under the federal Build America Bond program. Under the program SRMC applies for subsidy funds commensurate with each bond payment, so the application for the subsidy is made semiannually.
33 MEANINGFUL USE REVENUE				N/A	Medicare and Medicaid programs provide incentive payments for hospital and physicians that make meaningful use of certified electronic health record technology.	Medicare and Medicaid programs provide incentive payments for hospital and physicians that make meaningful use of certified electronic health record technology.	N/A
34 INTEREST EXPENSE				Transfers to cover debt service	N/A	Interest expense associated with the series 2004 FHA Insured Hospital Mortgage Revenue Bonds.	Interest expense associated with the series A & B Bonds.
35 USE OF DEDICATED RESERVES				N/A	To fund and support the mission of the Health Sciences Center.	N/A	N/A
36 RECRUITMENT/STARTUP/SCHOLARSHIPS				Chair and Startup Packages, Dean's Scholars/Professorships, and Recruitment Costs	N/A	N/A	N/A
37 TRANSFERS TO PLANT				Transfers from current unrestricted funds to plant funds.	N/A	N/A	N/A



UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER
Balance Sheet for the Health Sciences Center
2013 Fiscal Year as of June 30, 2013 (Preliminary and Unaudited)
(In thousands)

	University HSC	UNM Hospitals	UNMMG	SRMC
ASSETS				
1 Cash and cash equivalents	26,920	102,654	21,396	8,967
2 Marketable securities	37,762	34,139	-	-
Receivables:				
3 Patient, net	938	70,940	23,072	9,559
4 Receivable from Affiliates	33,414	2,732	6,623	3,797
5 Estimated third party payor settlements	-	27,478	-	-
6 County Treasurer	-	1,467	-	126
7 Contracts, Grants and Other	14,618	2,634	-	634
8 Other	469	14,933	922	2,774
Total Current Assets	114,121	256,977	52,013	25,857
Assets whose use is limited:				
9 Held by trustee for debt service & other	-	44,134	-	12,011
10 By UNM Hospital Board of Trustees	-	19,098	-	138,687
11 Board and Other Designated Assets	94,651	-	26,465	-
12 Endowments	93,272	-	-	-
13 Capital Assets, net of depreciation	279,314	261,850	1,039	136,486
TOTAL ASSETS	581,358	582,059	79,517	313,041
LIABILITIES				
14 Accounts Payable	6,677	32,570	3,003	4,419
15 Other accrued liabilities	30,811	39,114	-	1,978
16 Payable to Affiliates	3,157	10,950	29,519	2,940
17 Bonds payable - current	844	5,240	-	4,700
18 Interest payable bonds	110	4,021	-	3,252
19 Estimated third party payor settlements	-	15,418	-	-
20 Bonds payable - non current	17,760	159,420	-	138,725
21 Other liabilities - non current	23,033	7,023	-	135,926
22 Sponsored Project Deferred Revenue	9,652	-	-	-
TOTAL LIABILITIES	92,044	273,756	32,522	291,940
NET POSITION				
23 Restricted - expendable grants, bequests and contributions	95,193	12,358	-	1
24 Restricted - trust indenture and debt agreement	-	27,396	26,465	12,011
25 Committed and Dedicated agreements	132,487	-	-	-
26 Discretionary Reserve	923	155,852	19,491	8,070
27 Assets invested in Capital, net of related debt	260,711	112,697	1,039	1,019
TOTAL NET POSITION	489,314	308,303	46,995	21,101
Current Ratio	2.80	2.40	1.60	1.50
Days Cash on Hand	N/A	50.56	51.00	42.90

University HSC	UNM Hospitals	UNMMG	SRMC
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ASSETS

1	Cash and cash equivalents	Funds on deposit with Financial Institution with UNM	Funds on deposit with Financial Institution	Funds on deposit with Financial Institution, plus the remaining balance of the \$46m
2	Marketable securities	Funds invested in securities in accordance with UNM Investment policy	Funds invested in US Treasury	N/A
3	Patient, net	Pediatric/Newborn Transport Services, net of estimated contractual allowances and estimated bad debt	Patient Receivable after adjustments for discounts and allowances	Patient Receivable for care provided by UNM SOM facility and other practitioners, net of estimated contractual allowances and estimated bad debt
4	Receivable from Affiliates	Related Party Receivables for goods or services provided to UNM Hospitals, UNMMG and SRMC	Related Party Receivable from University HSC and SRMC	Related Party Receivable from UNMMG and UNMH
5	Estimated third party payor settlements	N/A	Accounts Receivable for IME/GME /DSH	N/A
6	County Treasurer	N/A	Bernalillo County Mil Levy Receivable	Sandoval County Mil Levy Receivable Other receivable balances. As of year end, a receivable was recorded for the insurance proceeds associated with the damage in the OR due to the flood
7	Contracts, Grants and Other	Sponsored project receivables and other services invoiced by UNM such as OMI, Resident Physician contracts, etc.	Accounts Receivable from Contracts/UNM MG/Special Billing/Investments	N/A
8	Other	Prepaid contractual obligations, such as library subscription services and maintenance contracts	Prepaid Expense and Inventory	Pharmacy Inventory Prepaid Expense and Inventory
9	Held by trustee for debt service & other	N/A	Debt/Mortgage reserve funds to include collateral and intangible assets	N/A Funds held in Trustee Accounts, Mortgage reserve funds, bond debt service accounts
10	By UNM Hospital Clinical Operations Board	N/A	Investment in TriWest/Tricore Reference Laboratory	N/A
11	Board and Other Designated Assets	Funds dedicated to UNM Hospitals Capital Initiatives and unexpended plant funds	N/A	Department, SOM Investment in UNMMG and Quality Reserves The original bond proceeds were used to purchase GNMA's which collateralize the mortgage with Prudential

	University HSC	UNM Hospitals	UNMMG	SRMC
12 Endowments	Balance of endowed corpus funds generating revenue according to donor intent	N/A	N/A	N/A
13 Capital Assets, net of depreciation	Property, Plant and Equipment	Land, Property, Plant and Equipment	Furniture and Equipment	Land, Property, Plant and Equipment
LIABILITIES				
14 Accounts Payable	Unpaid balances owed to vendors for goods and services; amounts owed to employees for expense reimbursements	Amount owed to creditors	Unpaid balances owed to vendors for goods and services; accrued payroll and other payroll benefits and taxes	Unpaid balances owed to vendors for goods and services
15 Other accrued liabilities	Payroll withholding liabilities and estimated value of compensated absences	Accrued liabilities to include payroll, compensated benefit, and Incurred but not Recognized (IBNR) State Coverage Insurance	N/A	Accrued liabilities to include payroll, compensated benefits and other payroll benefits and taxes
16 Payable to Affiliates	Unpaid balances for services provided by UNM Hospitals, and UNMMG	Unpaid balances for services provided by University HSC	Unpaid balances for services provided by University HSC for purchased services, special arrangements, and indigent distribution	Unpaid balances for services provided by University HSC, UNMMG and UNMH
17 Bonds payable - current	Debit service payment that will be due within the next twelve months	Series 2004 Bonds payable in next year - FHA Insured Hospital Mortgage Revenue Bonds	N/A	Debit service payment that will be due within the next twelve months
18 Interest payable bonds	Interest Payable on outstanding debt	Interest Payable Series 2004 Bonds (FHA Insured Hospital Mortgage Revenue Bonds)	N/A	Interest Payable on Bonds
19 Estimated third party payor settlements	N/A	Medicare/Medicaid Settlements	N/A	N/A
20 Bonds payable - non current	Outstanding balance due in the long-term to State of New Mexico for bond funding	Series Bonds Payable in the long-term (FHA Insured Hospital Mortgage Revenue Bonds)	N/A	Outstanding balance due in the long-term for FHA Insured Hospital Revenue Bonds
21 Other liabilities - non current	Estimated future cost associated with Other Post Retirement Benefits (OPEB) and self-funded health insurance plan	Estimated future cost associated with Other Post Employment Benefits (OPEB) and bond premiums	N/A	The balance of the mortgage payable
22 Sponsored Project Deferred Revenue	Prepaid contract and grant revenue	N/A	N/A	N/A

UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER
Glossary of Report Categories

	University HSC	UNM Hospitals	UNMMG	SRMC
23 Restricted - expendable grants, bequests and contributions	Restricted monies used in accordance with donor intent, contracts and bequests	Restricted monies used in accordance with donor intent, contracts and bequests	N/A	Restricted monies used in accordance with donor intent, contracts and bequests
24 Restricted - trust indenture and debt agreement	N/A	Restricted monies for Debt Service, Collateral, and Mortgage Reserve	Department, SOM Investment in UNMMG and Quality Fund Balances	Restricted monies for Mortgage reserve, Bond Debt Service
25 Committed and Dedicated agreements	Funds that have been committed for future expenditures. Dedicated funds have a clear focused purposed with for specific use.	N/A	N/A	N/A
26 Discretionary Reserve	Remaining fund balance after accounting for all restricted, committed and dedicated funds	Chief operating fund for all financial resources except those accounted for in another fund	Other operating reserves	Chief operating fund for all financial resources except those accounted for in another fund
27 Assets invested in Capital, net of related debt	Property, Plant and Equipment after adjustment for related bond debt	Property, Plant and Equipment after adjustment for related bond debt	Furniture and Equipment after adjustment for related bond debt	Property, Plant and Equipment after adjustment for related bond debt

Materials may be presented at the meeting.



August 28, 2013

TO: Board of Regents Academic Student Affairs and Research Committee
FROM: Selena Salazar, Office of the University Secretary
SUBJECT: Summer 2013 Degree Candidates

The Faculty Senate approved the Summer 2013 Degree Candidates at the August 27, 2013 Faculty Senate meeting.

Included is the list of the Summer 2013 Degree Candidates. Please do not publish the candidates that are on the privacy flag list.

Degree Candidate Summary*

Doctoral and MFA Degree	90
Master's Degree	418
Bachelor's Degree	264
Associate's Degree	58
Total	830

*Final number of conferred degrees will be slightly lower due to changes in student degree status that occur until the end of the semester.

Please place this item on the next Board of Regents ASAR Committee meeting agenda for consideration.

Thank you.

Attachments



UNM

Division of Enrollment Management

Enrollment, Course Load and Cost

Fall 2013 Enrollment Summary
Tuition Strategy Impact on Course Load Behavior
Net Price of Peer Institutions



Division of Enrollment Management

ALBUQUERQUE CAMPUS – 10th DAY OF CLASSES

	HEADCOUNT			
	Fall 2012	Fall 2013	CHANGE	%CHANGE
TOTAL HEADCOUNT	29,318	28,927	-391	-1.33%
STUDENT CREDIT HOURS				
	Fall 2012	Fall 2013	CHANGE	%CHANGE
LOWER DIVISION	184,201	183,998	-203	-0.11%
UPPER DIVISION	105,187	108,296	3,109	2.96%
GRADUATE	59,079	58,267	-812	-1.37%
TOTAL CREDIT HOURS	348,467	350,561	2,094	.60%

	HEADCOUNT			
	Fall 2012	Fall 2013	CHANGE	%CHANGE
NEW FRESHMEN	3,403	3,519	116	3.41%
GRADUATE PROGRAMS	4,497	4,431	-66	-1.47%
ONLINE COURSES	6,786	7,822	1,036	15.27%



Division of Enrollment Management

UNM WEST AND BRANCHES – 10th DAY OF CLASSES

HEADCOUNT

	Fall 2012	Fall 2013	CHANGE	%CHANGE
UNM WEST	538	493	-45	-8.36%
GALLUP BRANCH	2,635	2,576	-59	-2.24%
LOS ALAMOS BRANCH	727	769	42	5.78%
TAOS BRANCH	1,340	1,706	366	27.31%
VALENCIA BRANCH	2,407	2,164	-243	-10.10%

STUDENT CREDIT HOURS

	Fall 2012	Fall 2013	CHANGE	%CHANGE
UNM WEST	2,165	2,191	26	1.20%
GALLUP BRANCH	26,006	25,357	-649	-2.50%
LOS ALAMOS BRANCH	4,665	5,339	674	14.45%
TAOS BRANCH	12,275	13,505	1,230	10.02%
VALENCIA BRANCH	22,119	19,640	-2,479	-11.21%

Enrollment Summary Nexus and Key Points

- Increase in beginning freshmen and total credit hours are big successes
- Declining enrollment is the national trend

2012 Enrollment Results			
	Total Enrollment	First-time Students	Graduate
22 Peer Avg.	-0.2%	2.3%	-2.7%
UNM	0.4%	2.5%	-1.1%

- Graduate enrollment is stable
- Part-time students account for $\frac{3}{4}$ of the headcount decrease
- Branches in larger communities often struggle with enrollment fluctuations during economic recovery



Division of Enrollment Management

Tuition Strategy Incentivizing 15 Credit Hour Loads

Credit Hour Load	Point in Time Comparison 29-Aug-13 Fall 2012 Fall 2013			Point in Time Comparison 29-Aug-13 Fall 2012 Fall 2013		
	Headcount		% Change	Credit Hours		% Change
0	132	138		0	0	
1	33	29		33	29	
2	19	17		38	34	
3	759	707		2,277	2,121	
4	123	144		492	576	
5	29	32		145	160	
6	1373	1236		8,238	7,416	
7	287	301		2,009	2,107	
8	129	105		1,032	840	
9	952	927		8,568	8,343	
10	267	268		2,670	2,680	
11	135	159		1,485	1,749	
12	3480	2654	-23.7%	41,760	31,848	
13	1542	1145	-25.7%	20,046	14,885	
14	1134	742	-34.6%	15,876	10,388	
15	5053	5917	17.1%	75,795	88,755	
16	2525	2984	18.2%	40,400	47,744	
17	1244	1424	14.5%	21,148	24,208	
18	1824	1961	7.5%	32,832	35,298	
19	115	121		2,185	2,299	
20	46	31		920	620	
21	40	42		840	882	
22	4	5		88	110	
23	1	4		23	92	
24	1	1		24	24	
Total Undergrad	21,247	21,094	-0.7%	278,924	283,208	1.5%

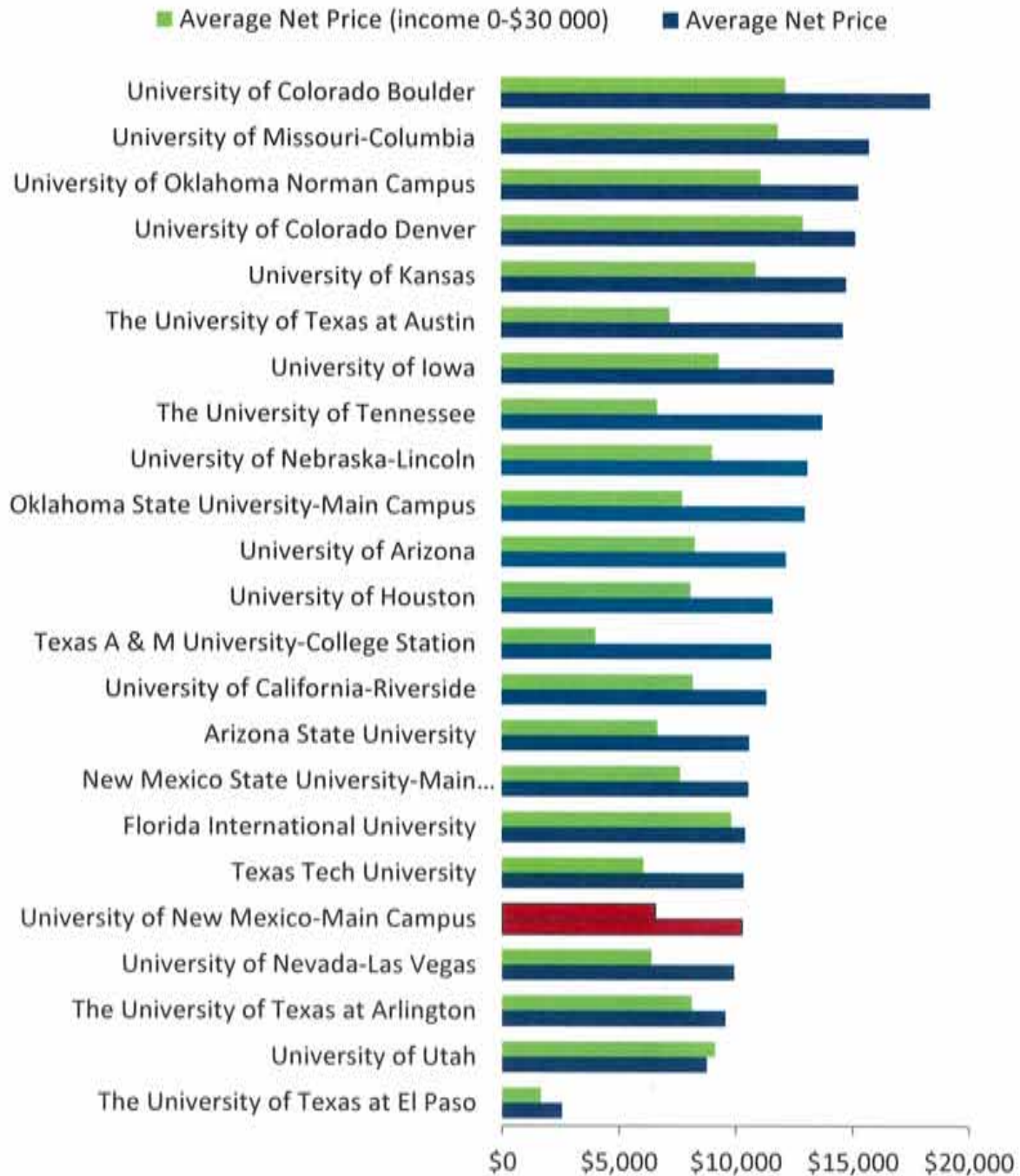


Division of Enrollment Management

Tuition Strategy Impact on Graduate Enrollment

Credit Hour Load	Point in Time Comparison 29-Aug-13 Fall 2012 Fall 2013			Point in Time Comparison 29-Aug-13 Fall 2012 Fall 2013		
	Headcount		% Change	Credit Hours		% Change
0	4	3		0	0	
1	124	145		124	145	
2	17	15		34	30	
3	570	548		1,710	1644	
4	58	61		232	244	
5	37	35		185	175	
6	1429	1462	2.3%	8,574	8772	
7	185	186	0.5%	1,295	1302	
8	116	92	-20.7%	928	736	
9	1245	1266	1.7%	11,205	11394	
10	216	237		2,160	2370	
11	54	69		594	759	
12	572	542		6,864	6504	
13	82	65	-20.7%	1,066	845	
14	26	13	-50.0%	364	182	
15	135	103	-23.7%	2,025	1545	
16	99	147	48.5%	1,584	2352	
17	35	48	37.1%	595	816	
18	101	23	-77.2%	1,818	414	
19	2	7		38	133	
20	1	0		20	21	
21		1			21	
22		0				
23		0				
24		1			24	
Total Grad	5108	5069	-0.8%	41,415	40,428	-2.4%

Peer Comparison of Net Price



Source: National Center for Educational Statistics

Materials will be available at the meeting.

Student Affairs Initiatives
Reported by Vice President for Student Affairs
Eliseo “Cheo” Torres

1. Recognize director of El Centro de la Raza, Veronica Mendez-Cruz who will be retiring after 27 years of service at UNM.
2. Director Mendez-Cruz will introduce UNM student Jaen Emmanuel Ugalde, who won the Hispanic Association of Colleges and Universities (HACU) Hispanic College Quiz TV game show contest in Chicago on August 24th. His coach was El Centro's staff, Jorge Garcia.
3. The beginning of the fall semester was successful with **Operation Lobo Move In, Friday Night Live** which had over 1000 students participating, **Class Crawl** with students volunteers offering campus tours to almost 1200 students plus parents and family members.
4. Two successful scholarship golf tournaments raised \$100,000. The 7th Annual American Indian Student Services Golf Scholarship Program raised \$48,000 while the 5th Annual Mezquite Golf Scholarship Tournament raised \$52,000. This scholarship will be matched by the Mexican Consul through the Mexican government.

We are also coordinating the Rosemont Leadership Scholarship Program for 31 students in conjunction with New Mexico State University. Each student receives a \$25,000 scholarship.

The Daniel's Opportunity Scholarship Program is funding \$42,000 for need-based scholarships.

Lastly, the Bernard Osher Foundation Scholarship funds \$50,000 for non-traditional, need based students re-entering college.

5. Summer initiatives included hosting 70 high school students enrolled in math and English courses through the Upward Bound Program, six summer residential programs for pre-college students with a concentration on college readiness, law

camp, the Hispanic Youth Institution and Los Angeles county work-study program and the Hispanic Youth Research Leadership Program.

6. We have received 5 year grants for two STEM Programs: STEM UP for \$3.5 million in conjunction with Central New Mexico (CNM) and STEM GATEWAY for \$3.8 million to improve graduation rates within science, engineering and math.

Civil Campus Council

"The Civil Campus Council is a campus initiative spearheaded by UNM President, Dr. Robert Frank. This project seeks to create a more comfortable atmosphere where the University community can freely exchange ideas. It is a comprehensive program that seeks to address all acts of civility and incivility. Managed by the Dean of Students, this initiative involves three different work groups including 1) housing and student activities, 2) student resource centers and 3) the overall campus community (undergraduate and graduate students, UNM police, Faculty Senate, Staff Council, the Law School and Health Science Center, Student Affairs, and the Offices of the President and Provost).

UNM Dean of Students, Tomás A. Aguirre, will use a Prezi to explain the mandates of each group and how they will work together to cultivate a culture of civility on campus while addressing all acts of incivility."

Student Housing Occupancy Update for Fall 2013

as of 8/21/13

UNM Owned System

Current Fall Contracts

	Total UNM	Residence Halls	Student Family Housing
Total rentable capacity	2280	2085	195
Current fall contracts	2113	1921	192
Current % Occupied	93%	92%	98%

Residence Hall Contract Breakout and Prior Year Comparison

	2013	2012	Variance
New contracts	1324	1144	16%
Renewal contracts	597	538	11%
Total	1921	1682	14%

Target Goal Occupancy

90% Target Goal	2052
Current fall contracts	2113
Needed to meet Goal	NA/Goal Met
<i>NOTE: Working on 0 additional contracts for completion</i>	

ACC Owned Properties

Current Fall Applications & Leases

	TOTAL ACC	Casas del Rio	Lobo Village
Total capacity	1833	990	843
Current fall applications	1768	985	783
Current fall leases	1726	974	752
Current % Occupied	94%	98%	89%

Lobo Village Lease Breakout and Prior Year Comparison

	2013	2012	Variance
New applications	459	446	3%
Renewal applications	324	431	-25%
New leases	428	412	4%
Renewal leases	324	431	-25%
Total leases	752	843	-11%

Casas del Rio Lease Breakout and Prior Year Comparison

	2013	2012	Variance
New applications	806	999	-19%
Renewal applications	179	NA*	
New leases	795	995	-20%
Renewal leases	179	NA*	
Total	974	995	-2%

* First year property

TOTAL OF UNM & ACC

Total Capacity	4113
Current Fall Contracts	3839
Current % Occupied	93%



LLP Assignment Report

8/21/2013

RA Staff Rooms Not Included

Community	Building	Totals			Gender Break Out					
		Total Beds	Total Assigned	Percent Filled	Total Beds		Assigned Beds		Open Beds	
					Male	Female	Male	Female	Male	Female
Business	Hokona	29	28	97%	10	19	9	19	1	0
EcoLiving	Coronado	10	10	100%	4	6	4	6	0	0
Engineering	LDV	54	50	93%	30	24	29	21	1	3
Fine Arts	LDV	52	39	75%	23	29	20	19	3	10
Gender Neutral	LDV	16	9	56%	12	4	7	2	5	2
Global Focus	Santa Clara	48	45	94%	20	28	17	28	3	0
Graduate	RVA	24	19	79%	16	8	12	7	4	1
Health Sciences	Alvarado	59	51	86%	35	24	31	20	4	4
Outdoor Activity	Coronado	34	31	91%	17	17	17	14	0	3
Scholars Wing	Hokona	110	110	100%	59	51	59	51	0	0
Second Year Exp.	SRC	35	32	91%	17	18	15	17	2	1
		471	424	90%	243	228	220	204	23	24

Assignments By Building

Style	Hall	Spaces Assigned			Spaces Available		
		Rentable Capacity	Currently Assigned	Percent Occupied	Total	Male	Female
Traditional	Alvarado	150	139	93%	11	1	10
	Coronado	370	337	91%	34	9	25
	Hokona	299	288	96%	10	4	6
	Santa Clara	132	121	92%	11	0	11
Suites	Laguna-DeVargas	338	303	90%	35	12	23
Apartments	Redondo Village	388	364	94%	24	0	24
	Student Residence Ctr	408	369	90%	39	2	37
Pending Assignment							
Total		2085	1921	92%	164	28	136

Pending Assignment Breakout	
Males	0
Females	0
Total	0

**UNIVERSITY OF NEW MEXICO
ALUMNI ASSOCIATION
BOARD OF REGENTS REPORT
9/10/13**

Vision (Why we exist): *The Alumni Association is a vital partner in the continued excellence of the University of New Mexico through the significant engagement of alumni.*

Mission (What we are striving for): *To serve as a bridge between alumni and the university ensuring the continued success of the university and enriching the lives of alumni.*

Strengthen collaboration with campus constituents to meet the needs of UNM.	<p>The Alumni Association conducted an Attitude Survey on 8-8-13 to receive direct alumni feedback to better understand alumni needs for the Association and the University at large.</p>
Foster enduring involvement with, pride in and commitment to UNM, its colleges, schools and programs.	<p>Plans are under way for the 2013 Homecoming events set for September 23-28. “A Howllywood Homecoming” is the theme to shine a light on super alumni stars. There are over 57 events scheduled for that week with a record number of groups (31) participating from different UNM constituencies. There 10 educational sessions scheduled as well. There will be an online and live auction during the Southwest Fiesta Tailgate at the PIT starting at 3:00 pm on Saturday, September 28.</p> <p>The Association will host 300 members of the UNM Faculty and Staff for a luncheon the Wednesday of Homecoming.</p>
Expand the tradition of philanthropy toward UNM among alumni.	<p>Close to 8 tons of green chili is expected to be supplied for the Chapter’s Annual Chili Roasts. Proceeds from the chili sales will benefit the Chapter’s Endowment Scholarship funds.</p>
Communicate effectively with our diverse group of alumni.	<p>Special Reunions during Homecoming include:</p> <ul style="list-style-type: none"> • Daily Lobo Staffers • Heritage Club and Class of ’63 Brunch • UNM Trailblazers, Student Alumni Ambassadors • University Honors Department • UNM School of Law 25th Anniversary of Class of 1988 <p>The Mirage Magazine was mailed to 160K alumni, donors and friends in August.</p> <p>A letter was sent from the Alumni Association to Rio Rancho alumni regarding the special election that if passed would cut funding for future higher education construction by over \$1M per year. The letter encouraged alumni to vote on this important issue.</p>

Develop and steward resources to ensure a sustained and dynamic association.	<p>The Association will sponsor 3 Lobo Prowls and tailgates during the 2013 Lobo football season. Special groups will be selected as the Association's guests for each tailgate.</p> <p>The Greater Albuquerque Area Alumni Chapter (GAAAC) was established to provide community among UNM alumni and the Greater Albuquerque area. The Chapter will serve as a bridge between the Young Alumni Chapter and the broader, overarching Alumni Association.</p>
Encourage community service and leadership among alumni and students.	<p>The Young Alumni Chapter will campout in September 14-16 in Limestone Canyon/San Mateo Mountains with the ABQ Wildlife Federation. Their service project will include cleaning trails and other tasks the Federation requests.</p> <p>The chapters around the country are involved in manning over 35 college fairs and the recruitment of incoming freshman to UNM.</p>
Garner greater recognition and visibility for Alumni Association programs and service to the university and community.	<p>Lobo Living Room-</p> <ul style="list-style-type: none"> The July series of the Lobo Living Room featured "Curanderismo" demonstrations presented by various Mexican and African healers who came to attend classes at UNM. It was held on the Hodgkin Hall Alumni Center Plaza and throughout the Alumni Center on Thursday, July 25 from 6-8 p.m. Approximately 200 alumni and friends attended the event. The August series featured "3D Printing by UNM's Architecture and Planning Dept. at George Pearl Hall. Presentations included how objects are printed in 3D and their potential uses, followed by tours of the Fab Lab and a reception. Awards and Recognition <ul style="list-style-type: none"> The following are the recipients of the 2013 Alumni Awards for their leadership and contributions to the University and the community at large: Lobo Award – Chris Schueler; Zia Award – Gig Brummell, Leslie Hoffman, Madeline King, Jay Rosenblum, Frank Sedillo, and Peter Sanchez; Inspirational Young Alumnus (new this year) – Abigail Eaves. College of Nursing Alumni Awards Black Alumni Awards



**UNM Foundation, Inc.
UNM Regent's Advisor Report
September 2013**

***CHANGINWORLDS
The Campaign for UNM***

Changing Worlds: The Campaign for UNM – an eight-year, \$675 million campaign, is making excellent progress towards the campaign goal. The Campaign began in 2006.

As of June 30, 2013, over 57,500 donors have gifted \$570,819,105 million in support of the University's mission.

Specific fundraising highlights:

The Robert Wood Johnson Center for Health Policy received a gift of \$661,374.

UNM Children's Hospital - Carrie Tingley Hospital Foundation received a gift of \$80,000.

College of Engineering – Configurable Space Microsystems Innovations & Applications Center (COSMIAC) received a gift of \$560,000.

University Athletics – Baseball Renovation Fund received a gift of \$500,000.

The College of Fine Arts – Land Arts Program received a gift of \$445,000 and another gift of \$250,000.

The Foundation is pleased to announce that the University raised \$81,598,617 in private support this past fiscal year ending June 30, 2013 -- thanks to the generosity of 14,932 donors.

Of the \$81.5 million raised this past year, \$13.5 million was added to the Consolidated Investment Fund. Also, \$37.5 million was added as a result of investment performance (an 11.3% return). \$13.5 million was transferred to the University for scholarships and programs, while \$8.6 million was distributed for other University priorities.

Fundraising Performance Report

FY 12/13, June 30, 2013

Changing Worlds Campaign	CASE Campaign Standards			VSE Standards
	Campaign to Date	GOAL	% OF GOAL	To Date
Gift Commitments	\$ 570,819,105	\$ 675,000,000	84.6%	\$ 431,920,188
- Cash/Cash Equivalents	336,169,579	N/A	N/A	431,920,188
- In-Kind	45,273,822	N/A	N/A	N/A
- Pledges	81,306,073	N/A	N/A	N/A
- Testamentary	108,069,631	N/A	N/A	N/A
Pledges Due	28,862,142	N/A	N/A	N/A
Testamentary Gifts Due	97,387,240	N/A	N/A	N/A

Council for Advancement and Support of Education (CASE) reporting is on an "accrual basis" and Voluntary Support of Education (VSE) reporting is on a "cash basis".

Gift Commitments (Fiscal Year)	This Quarter	FYTD 12/13	GOAL	FY 11/12
Main Campus				
- Cash/Cash Equivalents	\$ 2,349,460	\$ 9,283,110	N/A	\$ 6,951,500
- In-Kind	2,428,677	4,924,882	N/A	3,412,295
- Pledges	102,289	356,789	N/A	18,186,472
- Testamentary	565,457	6,692,957	N/A	6,936,712
Sub-Total	\$ 5,445,883	\$ 21,257,738	\$ 20,950,000	\$ 35,486,979
HSC				
- Cash/Cash Equivalents	\$ 3,315,698	\$ 15,724,317	N/A	\$ 15,185,985
- In-Kind	683,997	3,165,722	N/A	2,950,867
- Pledges	29,000	2,294,000	N/A	6,238,000
- Testamentary	444,025	3,531,025	N/A	1,185,000
Sub-Total	\$ 4,472,720	\$ 24,715,064	\$ 27,950,000	\$ 25,559,852
Athletics				
- Cash/Cash Equivalents	\$ 1,718,508	\$ 4,948,093	N/A	\$ 4,574,747
- In-Kind	1,510,901	1,732,754	N/A	1,809,908
- Pledges	100,000	2,294,964	N/A	886,000
- Testamentary	75,000	1,335,000	N/A	193,971
Sub-Total	\$ 3,404,409	\$ 10,310,811	\$ 10,500,000	\$ 7,464,626
Other Campus Units *				
- Cash/Cash Equivalents	\$ 4,079,850	\$ 19,856,004	N/A	\$ 13,851,392
- In-Kind	1,325	8,000	N/A	311,390
- Pledges	-	1,000	N/A	36,200
- Testamentary	-	5,450,000	N/A	1,205,000
Sub-Total	\$ 4,081,175	\$ 25,315,004	\$ 20,600,000	\$ 15,403,982
Total	\$ 17,404,187	\$ 81,598,617	\$ 80,000,000	\$ 83,915,439

* Other campus units include KNME, KUNM, UNM Branch Campuses, President's Office, Provost's Office, Enrollment Services, Student Affairs and numerous other units not classified as main campus, HSC or athletics.

Gift Destinations	This Quarter	FYTD 12/13	FY 11/12	FY 10/11
UNM Foundation	\$ 6,420,191	\$ 48,385,834	\$ 38,894,922	\$ 56,008,821
Reported Gifts *	\$ 10,983,996	\$ 33,212,783	\$ 45,020,517	\$ 25,941,860
Total	\$ 17,404,187	\$ 81,598,617	\$ 83,915,439	\$ 81,950,681

* Reported Gifts = gifts made directly to KNME, KUNM, Lobo Club, and OVPR, but reported by UNM Foundation per MOA.

Fundraising Performance Report

FY 12/13, June 30, 2013

Gift Commitments (Fiscal Year)	FYTD 12/13	FY 11/12	FY10/11
Cash/Cash Equivalents	\$ 49,811,524	\$ 40,563,624	\$ 41,991,819
Pledges			
- Beginning Balance Pledges Receivable	\$ 29,958,444	\$ 16,345,874	13,271,272
- Add: New Pledges	\$ 5,034,503	\$ 26,205,415	13,426,797
- Less: Pledge Payments	\$ (4,771,965)	\$ (11,734,102)	(6,932,158)
- Less: Pledges Written Off	\$ (87,750)	\$ (858,743)	(3,420,037)
- Ending Balance Pledges Receivable	\$ 30,133,232	\$ 29,958,444	16,345,874
In Kind	\$ 9,831,358	\$ 8,484,460	6,154,602
Testamentary	\$ 17,008,982	\$ 9,520,683	23,797,500
Total	\$ 81,598,617	\$ 83,915,439	\$ 81,950,681

Performance Measures	This Quarter	FYTD 12/13	FY 11/12	FY 10/11
Gift Commitment Income	\$ 17,404,187	\$ 81,598,617	\$ 83,915,439	\$ 81,950,681
# of Gifts	9,760	33,902	33,261	39,075
# of Donors	5,690	14,932	13,323	16,843

Efficiency Measures	FYTD 12/13	FY11/12	FY10/11	FY09/10
Cost per Dollar Raised *	\$0.12	\$0.11	\$0.11	\$0.12

* Compares UNM Foundation budget expenditures to gift commitments.

Consolidated Investment Fund - Investment Performance

FY 12/13, June 30, 2013

Investment Performance Results	Market Value	FYTD 12/13	1-Year	3-Year	5-Year
FY 12/13 (June 30, 2013)	\$ 360,159,802	11.30%	11.30%	10.24%	3.31%
Custom Benchmark *		10.55%	10.55%	9.31%	2.61%
FY 11/12 (June 30, 2012)	\$ 329,541,207	N/A	1.05%	9.92%	1.29%
Custom Benchmark *		N/A	-0.72%	9.32%	0.47%
NACUBO/Commonfund **		N/A	-0.70%	10.20%	0.70%

* Policy Benchmark is a blended benchmark consisting of indices for all asset classes.

** NACUBO/Commonfund Endowment Study (\$101 million to \$500 million)

Consolidated Investment Fund - Asset Allocation

FY 12/13, June 30, 2013

Investment Class	Current Allocation	1-Year Policy Allocation	5-Year Policy Allocation	L-Term Policy Allocation
Domestic Equity	20.7%	20%	22%	27%
International Equity	20.7%	20%	22%	28%
Fixed Income/Cash	16.8%	20%	21%	20%
Real Assets - Liquid	3.7%	5%	5%	5%
Real Assets - Illiquid	6.7%	5%	4%	0%
Marketable Alternatives	19.6%	20%	20%	20%
Private Equity	11.8%	10%	6%	0%

Consolidated Investment Fund - Spending Distribution

FY 12/13, June 30, 2013

CIF Spending Distribution	FY12/13	FY11/12	FY10/11	FY09/10
Spending Distribution %	4.50%	4.50%	4.65%	4.65%
Total \$ Distribution (millions)	\$ 13,489,948	\$ 13,984,508	\$ 14,869,261	\$ 15,409,388
Total \$ Distribution/Unit	\$10.47	\$10.58	\$10.98	\$11.05

Consolidated Investment Fund - Development Funding Allocation

FY 12/13, June 30, 2013

Development Funding Allocation	Basis Points %	Dev Funding Allocation	Total Budget Expenditures	% Overall Budget
FY 12/13	1.85%	\$5.6	\$9.8	58%
FY 11/12	1.85%	\$5.9	\$9.1	65%
FY 10/11	1.85%	\$5.7	\$9.5	60%
FY 09/10	1.85%	\$5.4	\$9.4	57%
FY 08/09	1.15%	\$3.2	\$8.3	39%

UNM Foundation Budget vs. Actual

FY 12/13, June 30, 2013

Sources of Budget	Budget	FYTD	% Used	Variance
UNM Support	\$ 2,909,000	\$ 2,944,601	101.2%	\$ 35,601
Development Funding Allocation	5,622,486	5,574,795	99.2%	(47,691)
Short-Term Investment Income	750,000	504,697	67.3%	(245,303)
Cost Sharing Reimbursement	660,780	715,114	108.2%	54,334
Unrestricted Gifts & Other Revenue	-	153,505	N/A	153,505
Total	9,942,266	9,892,712	99.5%	(49,554)

Uses of Budget	Budget	FYTD	% Used	Variance
Salaries/Fringe Benefits	\$ 7,655,963	\$ 7,610,214	99.4%	\$ 45,749
Operating Expenditures	2,242,366	2,147,750	95.8%	94,616
Total	9,898,329	9,757,964	98.6%	140,365

Reserve Balances	Budget	FYTD	% Used	Variance
Surplus/(Deficit) from Operations	\$ 43,937	\$ 134,748	N/A	\$ 90,811
Beginning Reserve Balances	1,111,678	1,111,678	N/A	-
Ending Reserve Balances	1,155,615	1,246,426	N/A	90,811

THE UNIVERSITY OF NEW MEXICO
MR. AND MRS. HUGH B. AND HELEN K. WOODWARD ENDOWMENT
FUNDED BY THE SANDIA FOUNDATION

	2008-09	2009-10	2010-11	2011-12	2012-13
<u>PRINCIPAL/CORPUS</u>					
BEGINNING MARKET VALUE, JULY 1:					
ADDITIONS	\$ 39,456,137	\$ 30,698,788	\$ 32,422,373	\$ 37,282,001	\$ 36,545,942
INVESTMENT EARNINGS	1,395,000	877,500	1,125,000	1,260,000	1,327,500
DEVELOPMENT FUNDING ALLOCATION	(7,962,327)	3,238,163	6,040,036	132,283	4,119,725 (1)
SPENDING DISTRIBUTION	(383,681)	(623,475)	(643,802)	(603,057)	- (3)
	(1,806,341)	(1,768,603)	(1,661,606)	(1,525,285)	(1,779,426) (2)
ENDING MARKET VALUE, JUNE, 30:	\$ 30,698,788	\$ 32,422,373	\$ 37,282,001	\$ 36,545,942	\$ 40,213,741

- (1) FY 2012-13 Net investment Earnings: Represents the actual net investment earnings through June 30, 2013. Net investment earnings for the period of July 1, 2012, through June 30, 2013, were 11.3% (net of manager fees).
- (2) FY 2012-13 Spending Distribution: Represents the quarterly spending distributions from July 1, 2012, to June 30, 2013.
- (3) FY 2012-13 Development Funding Allocation. The Development Funding Allocation is not assessed on the Woodward endowment.

**THE UNIVERSITY OF NEW MEXICO
WINROCK LAND SALE ENDOWMENT**

	2008-09	2009-10	2010-11	2011-12	2012-13
<u>PRINCIPAL/CORPUS</u>					
BEGINNING MARKET VALUE, JULY 1:	\$ 32,369,380	\$ 24,076,065	\$ 24,767,866	\$ 27,587,901	\$ 26,069,492
ADDITIONS	-	-	-	-	-
INVESTMENT EARNINGS	(6,526,574)	2,544,475	4,553,291	97,208	2,900,008 (1)
DEVELOPMENT FUNDING ALLOCATION	(309,959)	(483,585)	(485,153)	(486,943)	(496,004)
SPENDING DISTRIBUTION	(1,456,782)	(1,369,089)	(1,248,103)	(1,128,674)	(1,053,461) (2)
ENDING MARKET VALUE, JUNE, 30:	<u>\$ 24,076,065</u>	<u>\$ 24,767,866</u>	<u>\$ 27,587,901</u>	<u>\$ 26,069,492</u>	<u>\$ 27,420,035</u>

(1) FY 2012-13 Net investment Earnings: Represents the actual net investment earnings through June 30, 2013. Net investment earnings for the period of July 1, 2012, through June 30, 2013, were 11.3% (net of manager fees).

(2) FY 2012-13 Spending Distribution: Represents the quarterly spending distributions from July 1, 2012, to June 30, 2013.

THE UNIVERSITY OF NEW MEXICO
REGENTS' ENDOWMENT - MESA DEL SOL ADDITION

	2005-06	2006-07	2007-08	2008-09	2009-10	2010-11	2011-12	2012-13
BEGINNING MARKET VALUE, JULY 1:	\$ -	\$ 6,157,690	\$ 6,986,162	\$ 6,670,739	\$ 4,961,638	\$ 5,104,206	\$ 5,685,364	\$ 5,372,448
ADDITIONS:								
MESA DEL SOL PROPERTY SALE	8,045,923	-	-	-	-	-	-	-
WITHDRAWALS:								
WITHDRAWAL - MERIT-BASED SCHOLARSHIPS	-	-	-	-	-	-	-	-
GIBSON/MULBERRY PROPERTY PURCHASE	(1,645,435)	-	-	-	-	-	-	-
2811 CAMPUS PROPERTY PURCHASE	(242,798)	-	-	-	-	-	-	-
BASEBALL FIELD CAPITAL PROJECT	-	-	-	-	-	-	-	(1,100,000)
INVESTMENT EARNINGS	-	1,166,560	54,222	(1,345,008)	524,370	938,350	20,033	476,644 (1)
DEVELOPMENT FUNDING ALLOCATION	-	(62,812)	(75,895)	(63,877)	(99,658)	(99,981)	(100,350)	(82,077)
SPENDING DISTRIBUTION	-	(275,276)	(293,750)	(300,216)	(282,144)	(257,211)	(232,599)	(172,529) (2)
ENDING MARKET VALUE, JUNE 30:	\$ 6,157,690	\$ 6,986,162	\$ 6,670,739	\$ 4,961,638	\$ 5,104,206	\$ 5,685,364	\$ 5,372,448	\$ 4,494,486 (4)

(1) FY 2012-13 Net investment Earnings: Represents the actual net investment earnings through June 30, 2013. Net investment earnings for the period of July 1, 2012, through June 30, 2013, were 11.3% (net of manager fees).

(2) FY 2012-13 Spending Distribution: Represents the quarterly spending distributions from July 1, 2012, to March 31, 2013.

(4) The Regents' Endowment includes proceeds from the "Mesa del Sol" property sale. Total proceeds of this sale were \$8,045,923. Of the total proceeds, \$1.9 million was used to fund two property purchases. The "Gibson/Mulberry" property was purchased for \$1,645,435 and the "2811 Campus Blvd" property was purchased for \$242,798. The remaining \$6,157,690 was added to the Regents' endowment in June of 2006. The Mesa del Sol contribution is not tracked separately, but an estimated value based on net investment earnings, spending distributions, withdrawals, and development funding allocations for the Regents' Endowment since that time, is \$4,494,486.

THE UNIVERSITY OF NEW MEXICO
REGENTS' ENDOWMENT

	2005-06	2006-07	2007-08	2008-09	2009-10	2010-11	2011-12	2012-13
PRINCIPAL/CORPUS								
BEGINNING MARKET VALUE, JULY 1:	\$ 26,548,336	\$ 32,325,235	\$ 36,674,360	\$ 35,018,525	\$ 25,165,952	\$ 25,889,070	\$ 28,836,764	\$ 27,249,619
ADDITIONS:								
MESA DEL SOL PROPERTY SALE	8,045,923	-	-	-	-	-	-	-
WITHDRAWALS:								
ASM STUDENT INVESTMENT PROGRAM	(2,000,000)	-	-	-	-	-	-	-
GIBSON/MULBERRY PROPERTY PURCHASE	(1,645,435)	-	-	-	-	-	-	-
2811 CAMPUS PROPERTY PURCHASE	(242,798)	-	-	-	-	-	-	-
SCHOLARSHIP FUNDING	-	-	-	(880,525)	(3)	-	-	-
BASEBALL FIELD CAPITAL PROJECT	-	-	-	-	-	-	-	(1,100,000)
INVESTMENT EARNINGS	2,789,895	6,123,941	284,643	(7,060,716)	2,659,659	4,759,412	101,609	2,917,311
DEVELOPMENT FUNDING ALLOCATION	-	(329,734)	(398,416)	(335,326)	(505,476)	(507,115)	(508,986)	(502,354)
SPENDING DISTRIBUTION	(1,170,486)	(1,445,081)	(1,542,062)	(1,576,006)	(1,431,065)	(1,304,603)	(1,179,768)	(1,055,967)
ENDING MARKET VALUE, JUNE 30:	\$ 32,325,235	\$ 36,674,360	\$ 35,018,525	\$ 25,165,952	\$ 25,889,070	\$ 28,836,764	\$ 27,249,619	\$ 27,508,609

(1) **FY 2012-13 Net investment Earnings:** Represents the actual net investment earnings through June 30, 2013. Net investment earnings for the period of July 1, 2012, through June 30, 2013, were 11.3% (net of manager fees).

(2) **FY 2012-13 Spending Distribution:** Represents the quarterly spending distributions from July 1, 2012, to June 30, 2013.

(3) **FY08-09 Withdrawal for scholarship funding:** \$1,000,000 was authorized to be withdrawn from the Regents' Endowment for FY08-09 scholarship funding. Of the authorized \$1,000,000, \$880,525 was withdrawn from the endowment.

(4) The Regents' Endowment includes proceeds from the "Mesa del Sol" property sale. Total proceeds of this sale were \$8,045,923. Of the total proceeds, \$1.9 million was used to fund two property purchases. The "Gibson/Mulberry" property was purchased for \$1,645,435 and the "2811 Campus Blvd" property was purchased for \$242,798. The remaining \$6,157,690 was added to the Regents' endowment in June of 2006. The Mesa del Sol contribution is not tracked separately, but an estimated value based on net investment earnings, spending distributions, withdrawals and development funding allocations for the Regents' Endowment since that time, is \$4,494,486.

University of NM Retirees Association (UNMRA) Report to the Regents September 10, 2013

Our report will address 5 activities in which we are currently involved:

- Save ERB-COLA
- Current participation on ERB Stakeholder's Board
- Upcoming UNMRA Retreat for Board members
- Update on retirement health care issues (Barb Gabaldon)
- "Best Practices" of other universities that embrace, support and use the untapped resource of their retirees

1. Save ERB-Cost of Living Allowance (COLA)

The 2013 NM Legislature cut ERB-COLA by 20%. Four ERB petitioners shared their personal information in a public petition & were granted an oral argument before the NM Supreme Court, September 4th, on their writ challenging the retirees' COLA reduction; if successful, a positive ruling would benefit all 37,000 ERB retirees. An update on the September 4th action will be given during our presentation.

ESTIMATE: HOW MUCH 20% COLA REDUCTION WILL COST YOU

IF YOUR ANNUAL GROSS PENSION IS:	TOTAL YOU WILL LOSE OVER 25 YEARS:
\$15,000	\$26,092
\$20,000	\$34,790
\$25,000	\$43,489
\$30,000	\$52,185
\$40,000	\$69,580
\$50,000	\$86,975
\$60,000	\$104,370

Attorneys who have agreed to represent the "Save ERB-COLA" initiative have prepared a "vested property" writ and oral arguments. ERB-COLA has currently raised \$11,615 through 90 private donations, but this is far short (61%) of the amount needed to pay the set fee agreed to by their attorneys (\$30,000). Unfortunately, "Save ERB-COLA" has no access to public school and/or NMERA member lists. UNMRA supports the "Save ERB-COLA" effort philosophically and is helping to get the word out to all UNM retirees in our database (462 at present), but it also is woefully inadequate.

If anyone on the Board or in the Administration is willing to help this effort you can do so by:
(1) spreading the word to every retiree you know; (2) visiting their web site for the latest updates

and information (www.ERBCOLA.com); (3) considering a contribution (NOT tax-deductible) to: SAVE ERB-COLA, c/o Lee Brown, 3200 El Toboso NW, Albuquerque, NM 87104.

2. Current participation on ERB Stakeholder's Board

We still have active membership on the Board. Beulah Woodfin is our participating representative and Beth Lehman is her alternate when Beulah cannot attend a meeting. The ERB Stakeholder's Board is not currently considering looking again at the COLA issue, nor will they be making any changes to the Solvency Plan endorsed last year by the Board members.

3. Upcoming UNMRA Retreat for Board members, 13-15 September

The retreat will be held at the Biology Department's LTER Field Station on the Sevilleta National Wildlife Refuge, ~56 miles south of UNM, just off I-25. During this retreat we will clarify our identity, establish our personality, and define our missions as an organization, within and beyond the University of New Mexico for the next FY.

Topics that may be discussed at our 2013 Retreat may include, but are not limited to:

A. Our participation in the Medicare Vendor Event, organized by UNM-HR, Retirement Services Division (Dawn Lafleur), to be held October 30, 11 a.m.– 2 p.m., @ UNM Continuing Education Conference Center, Room B.

B. Explore ideas to be able to advertise our services more widely and ways that we can communicate them to the UNM and Retiree communities in the most effective way(s). Among these we might consider: (1) sponsoring a spot on KANW or KUNM; (2) Getting information to faculty and staff who are nearing retirement by visiting every department and college office on campus.

C. Explore ways to secure the data we need on the age and years of service of all faculty and staff who may be within 2 years of retirement.

D. Try to determine what currently-retired UNMRA members think and feel about their association with UNM. Among perceived needs and feelings brought up in the past include at least the following:

- Few or no opportunities to remain involved after retirement, even though ~75% of retired faculty nationally want to stay connected to their institution in some capacity;
- Since UNM has worked with faculty/staff to manage their careers up to retirement, shouldn't the administration continue to reach out to support them in their retirement transition as well?;
- A better understanding of what our relationship will be with UNM after retirement and how we can stay involved;
- UNM recognition for what we have accomplished; most retirees do not feel they are respected and valued by UNM;
- Modest support (counseling) for psychological and social aspects of retirement, if needed;
- Help with financial planning that is independent of retirement funds through university-supported programs;

- Help with health care: how can UNM help us to understand Medicare/Medicaid/Social Security issues? What can UNM do to demystify these issues?
- Office and/or research space when we need/want it;
- A list of services and support systems available to retirees during their transition to retirement;
- Emeriti faculty and staff ID cards;
- Free (or greatly reduced) parking fees and those to athletic, artistic, and other campus events;
- Use of university computer and IT services, both for purchase and repair.
- UNM raises money from retirees, but no one has thought about raising money for retirees (e.g., to support an Emeritus Center)?

E. Any traction with UNM toward support of UNMRA will require funding both from within and outside the University. We need to think hard about how we can add to this list of possible funding avenues:

- Annual \$ amount (e.g., \$20/person) from UNM for each retiree (cash & in-kind for rent, utilities)
- Annual donations through the Foundation or United Way [if 501 (c) (3)];
- Annual dedicated amount from Provost's or President's office;
- Member dues;
- Silent auctions and raffles;
- Develop a "Friends of the Emeriti" at the UNM Foundation;
- Start a "We are One (1) UNM" or "Continuing to Serve" campaign; ask retirees to donate \$1 for each year they spent at UNM, to be part of the future as well as the past;
- Work with the Foundation to send out letters on UNMRA stationery with any returns going into the Retirees account.

4. Update on retirement health care issues

UNMRA Board member, Barb Gabaldon, will give a brief update on this topic of acute interest to all UNM retirees.

5. "Best Practices" of other universities that embrace and support their retirees

At our retreat we will gain a sense of what our retiree needs are (or are perceived to be). Then we want to explore what **other** universities do to support and take advantage of the experience, wisdom and energy of their retired faculty and staff (i.e., their "Best Practices"). From our (DWD) participation last year in the AROHE meeting in Chapel Hill, NC, we know what many of these support services encompass. Here is a partial list that we hope we will have time to discuss and to decide which might be feasible to achieve at UNM in the near future:

- Emeriti Center, Emeriti College, or an Intellectual Transition Center, staffed by retired faculty/staff*;
- Phased Retirement Program/Option to allow senior colleagues to phase gradually into retirement (75% - 50% - 25%); many universities continue to reach out to support their retirees in a structured retirement transition option;

- Sanctioned Mentoring Programs: partnering retired faculty/staff with new/junior colleagues as well as those approaching retirement (e.g., pre- and post-retiree retirement workshops);
- Life planning seminars;
- On-line tools for evaluating financial plans;
- When early retirement packages are being considered and negotiated, IRS regulations are considered and retirees are informed of their early retirement tax consequences;
- Dedicated private office and lab space;
- Administrative and technical (IT) support staff available to retirees, or their center, along with affordable computer/IT service, equipment and maintenance;
- Transition grants to faculty to complete specific research projects before retirement;
- A Center to make post-retirement opportunities available both on campus and within the community;
- Formalized opportunities for involvement such as service on college and university committees;
- Bookstore and other retail discounts;
- Health facilitator staff available to help retirees and discounted on-campus health services (vision, hearing clinics);
- Emeriti faculty and staff ID cards;
- Free (or greatly reduced) parking fees as well as fees to athletic, artistic, and other on-campus events;
- Annual dedicated, line-item funds (Provost's or President's office) to facilitate retirement activities.
- Preserve retiree intellectual property (publications, art work) and history (field notes, memoirs, etc.) and seek their help in writing university, college, departmental, and unit histories;
- Host Retiree Recognition Day, receptions, Emeriti of the Year Awards, etc.;
- Host national and international meetings with relevant groups (AROHE, ACE) to gain visibility for the university and advertise its support of retirees.

*Emory University, Wesleyan University, U.C. Berkeley, University of Pennsylvania, Winona State University, USC, UCLA (and others) all have Emeritus Colleges. In fact, in an AROHE (2010-2012) Survey of College & University Retiree Organizations, 31 of 115 (27%) responding organizations had Emeriti College, Scholars Academy or University-sponsored offices or centers.

**Minutes of the Meeting of the
UNM Health Sciences Center Board of Directors
August 2, 2013
Domenici Center for Health Sciences Education Bldg., Room 3010**

Board of Directors present: Suzanne Quillen, Chair, Lt.Gen.Brad Hosmer, Conrad James, Michael Olguin, Mel Eaves, and Ann Rhoades

UNM Leaders present: Regent James Koch and President Bob Frank

HSC Leaders present: Chancellor Paul Roth other members of the HSC senior leadership

Others present: members of the HSC faculty and staff

Regent Suzanne Quillen, Chair, called the meeting of the Health Sciences Center Board of Directors (the "Board") to order at 2:09 p.m. The Chair noted that a quorum of the members of the Board was present and established.

Approval of Agenda

A motion was made to adopt the published revised agenda. The motion was seconded. There was no discussion. Regent Hosmer was not in the room and did not vote. The Motion passed with a vote of 5-0-0.

Approval of Minutes of Prior Meeting

A motion was made to approve the Minutes of the June 7, 2013 meeting of the HSC Board of Directors. The motion was seconded. There was no discussion. Regent Hosmer was not in the room and did not vote. The Motion passed with a vote of 5-0-0.

Chancellor's Report

Chancellor Roth's report included an announcement of the July 27th ribbon cutting ceremony for Atrisco Heritage Center, a project involving UNM Hospitals and the UNM College of Nursing, in the management of an outpatient clinic embedded within Atrisco Heritage High School. This will give high school students, interested in careers in health care, service learning opportunities. Dr. Roth announced that UNM Hospitals was reaccredited for their Stroke and Heart Failure programs by the Joint Commission for Hospitals.

UNM Staff Council Report

Gene Henley, President, UNM Staff Council, provided a brief update on Staff Appreciation month – the month of July each year – where UNM staff are recognized for their contributions to the University.

Information Items

Project SEARCH

Regent Quillen recognized Regent Koch who was in attendance and whose daughter, Ms. Amy Koch, was highlighted on a video produced on Project SEARCH. Dr. Cate McClain and Tanya Baker-McCue, from the UNM Center for Development and Disability, then provided information and a video showing an update on the positive outcomes of Project SEARCH – a statewide initiative to provide jobs for citizens with intellectual disabilities. The presentation included information on how the program works, job task examples, benefits to the hospital, how Project SEARCH works, and a request to UNM for \$100,000 to support the development of a plan of action. Discussion.

HIPAA Omnibus Rule Highlights

Sophia Collaros, JD, UNM-HSC Privacy Officer, provided information on the final ruling of the U.S. Department of Health and Human Services, Office of the Secretary: “Modifications to the HIPAA Privacy, Security, Enforcement, and Breach Notification Rules Under the Health Information Technology for Economic and Clinical Health Act and the Genetic Information Nondiscrimination Act; Other Modifications to the HIPAA Rules.” Information presented included definitions (*i.e.*, business associate, disclosure), request for restrictions, fundraising, breach of unsecured PHI, genetic information both GINA and HIPAA, civil money penalties, and compliance dates. Discussion of electronic health records and third party entities who must demonstrate how they can protect patient health information before contracting.

Other Hospital Initiative Update

Mr. Steve McKernan commented that he would defer this update to later in today’s agenda.

Public Comment

Two members of the District 1199NM National Union of Hospital and Health Care Employees provided comment and handouts to the HSC Board of Directors on concerns regarding wages and benefits at UNMH, UNMH budget, layoffs, job outsourcing, employee morale, working conditions and wages of nurses at UNMH.

Action Items

UNM School of Medicine Degree Candidates

Dr. Tom Williams presented the UNM School of Medicine Summer 2013 degree candidates for approval. A motion was made to approve the UNM School of Medicine Summer 2013 degree candidates. The motion was seconded with a vote of 6-0-0 in favor. Motion passed.

UNM College of Pharmacy Degree Candidates

Dr. Donald W. Godwin presented the UNM College of Pharmacy Summer 2013 degree candidates for approval. A motion was made to approve the UNM College of Pharmacy Summer 2013 degree candidates. The motion was seconded with a vote of 6-0-0 in favor. Motion passed.

UNM College of Nursing Degree Candidates

Dr. Melinda Tinkle presented the UNM College of Nursing Summer 2013 degree candidates for approval. A motion was made to approve the UNM College of Nursing Summer 2013 degree candidates. The motion was seconded with a vote of 6-0-0 in favor. Motion passed. Discussion on number of graduates associated with Robert Wood Johnson.

Reports from HSC Board of Directors Committees

Governance and Nominating Committee (GNC)

Nomination of Mr. Jerry McDowell to UNMH Board of Trustees

Regent Lt.Gen. Hosmer, GNC Chair, provided an introduction and noted that the GNC has deliberated on and recommends approval of the nomination of Mr. Jerry McDowell to the UNMH Board of Trustees and he made a motion to approve. The motion was seconded with a vote of 6-0-0 in favor. Motion passed.

Nomination of Dr. Brad Cushnyr to the Sandoval Regional Medical Center, Inc. (SRMC) Board of Directors

Regent Lt.Gen. Hosmer provided information, noting that the GNC has deliberated on and approves the nomination of Dr. Brad Cushnyr to the SRMC Board of Directors and he made a motion to approve the nomination. The motion was seconded with a vote of 6-0-0 in favor. Motion passed.

Recommendation on Proposed Evaluation

Regent Hosmer recommended that this item be discussed in executive session.

Review of The Chartis Group Report

Regent Hosmer commented that The Chartis Group Report was made available to the Board as background documentation regarding the Regents' Policies defining the current structure of the HSC Board of Directors in relation to the UNM Board of Regents.

Finance, Audit, and Compliance Committee (FACC)

FACC Action Item

Resolution Recommending Approval of UNMH Contracts with Medtronic USA, Inc. and KCI USA, Inc.

Regent Conrad James, FACC Chair, provided background information on the Resolution that recommends approval of the UNMH contracts with Medtronic USA, Inc. and KCI USA, Inc. Motion was made to approve the Resolution for Approval of UNMH contracts with Medtronic USA, Inc. and KCI USA, Inc. The motion was seconded with a vote of 6-0-0 in favor. Motion passed.

FACC Information Items

UNM Contract: HSC Library – Elsevier

Regent James provided background information on the cost of the proposed contract between the HSC Library and Elsevier, approximately \$450,000. Mr. Bruce Cherrin added that the contract is for a database for research.

HSC Financial Update

Ms. Ava Lovell presented an HSC financial update that included metrics on net income, uncompensated care, days cash on hand, accounts receivable greater than 90 days as of March 31; 2013 Fiscal Year-to-Date Summary through May 31, 2013. Discussion. *Action Required: request for Lovell to add a horizontal line for zero.*

Sandoval Regional Medical Center, Inc. (SRMC) Update

Mr. Steve McKernan presented an update on SRMC that included information on admissions, daily census, ED visits, total surgical cases, total radiology procedures, and total clinic visits. He added that SRMC year-end finances were expected to be \$8-9 million loss but that a more accurate rendering shows a \$14-15 million loss. Explanation included receipt of a provider number, collectible accounts versus uncollectible accounts, a lack of controls in place to catch this problem – a team has been sent to SRMC to correct the situation. Discussion on revenues tagged as collectible when they are in reality uncollectible, amount of time that lapsed between the start of this problem versus when it was discovered, need for revisions to Agreements, how soon the Board will know the corrections are working, ways to resolve, environment in the SRMC region, patient mix, etc. *Action Required: Mr. McKernan asked to return with a report at the September 2013 meeting of the HSC Board of Directors.* Dr. Roth added that a centralization/integration of the HSC/Health System finances (and also other systems such as Human Resources and IT) is underway to achieve standardization in accounting processes. Dr. Roth added that corrective personnel actions are underway. Discussion on concerns with lack of oversight, possibility of collecting unpaid accounts at SRMC.

A motion was made to close the open session and move into executive session; second; with a vote of 6-0-0 in favor. Motion passed and the open meeting was adjourned.

The HSC Board of Directors then met in Executive Session.

Following the Executive Session, a motion was made to reconvene in open meeting session and certification that only those items described in XII of the agenda were discussed in Executive Session; second; with a vote of 5-0-0 in favor. Regent Quillen was not in the room and did not vote.

Minutes were prepared by Patrice Martin on August 7, 2013.

Approval of Minutes:

Regent Suzanne Quillen, Chair

Date

Attachment:

1. Resolution Recommending Approval of UNMH Contracts with Medtronic USA, Inc., and KCI USA, Inc.

Agenda Item		Subject/Discussion	Action/Responsible Person
Voting Members Present:		Michael Olguin, Jerry Geist, William Lang, Michelle Coons, Jane Wishner, Debbie Johnson	
Ex-Officio Members Present:		Michael Richards, Stephen McKernan, Paul Roth, Donna Sigl, Carolyn Voss	
County Officials Present:		Emily Madrid	
I. Call to Order		A quorum being established, Michael Olguin, Chair, called the meeting to order at 9:07 a.m.	
II. Adoption of Agenda		The Chair, Mr. Michael Olguin, requested a motion to adopt the agenda, as submitted.	Ms. Debbie Johnson motioned to adopt the agenda as submitted. Mr. Jerry Geist seconded the motion. There being no objections, the motion carried.
III. Announcements		Mr. Stephen McKernan made an announcement that the hospital received the honor of being named one of the Top 10 Hospital Systems by Diversity Inc.	
IV. Public Input		No public input.	
V. Approval of Minutes		UNM Hospitals Board of Trustees meeting minutes for May 31, 2013.	Mr. Jerry Geist motioned to approve the minutes of the May 31, 2013, Board meeting. Ms. Debbie Johnson seconded the motion. The motion passed unanimously.
VI. Consent Agenda		Following review of the consent items, the Chair, Mr. Michael Olguin requested a	Mr. Jerry Geist motioned to approve the

Agenda Item	Subject/Discussion	Action/Responsible Person
	motion to approve all consent items.	consent agenda. Ms. Jane Wishner seconded the motion. The motion passed unanimously.
VII. Board Initiatives	<p>An update on the Cancer Center was presented by Dr. Cheryl Willman.</p> <p>A Nursing Division report was presented by Sheena Ferguson, CNO.</p> <p>A Quality Assessment & Performance Improvement Plan was presented by Erin Doles. -- The Board discussed setting some goals to have an initiative to reduce harm in our hospital, by focusing on the systemic challenges, especially around infection. Agreed to discuss at the Strategic Planning Committee meeting.</p>	<p>Ms. Jane Wishner motioned to approve the Quality Assessment & Performance Improvement Plan. Ms. Michelle Coons seconded the motion. The motion passed unanimously.</p>
	Due to the length of the presentations on Self-Pay/Collections Referrals, North 4 th Street Clinic, and Value Based Purchasing were deferred to a future meeting.	
VIII. Administrative Reports	<p><u>HSC Chancellor Report</u> – Dr. Paul Roth noted he has been preparing for the upcoming legislative session. He's asked that we focus on health policy as well as our internal needs. A Health Policy Council, chaired by Dean Ridenour, has been set up and is currently looking at issues which affect the health of the New Mexico population and that of our children.</p> <p><u>CEO Report</u> – Mr. Stephen McKernan noted the CEO Report is in the board book. He provided an update on the transcriptionist issue and relayed information on how many retired, accepted other positions within the hospital, accepted positions with Nuance, returned to school, etc. He further noted the Upper Payment Limit has been finalized by the state.</p>	<p>Dr. Paul Roth, Chancellor for Health Sciences</p> <p>Mr. Stephen McKernan, CEO UNM Hospital</p>
IX. Updates	<u>Financial Report Card</u> –The financial dashboard was presented by Ms. Ella Watt.	Ms. Ella Watt
X. Committee Reports	<u>Performance Oversight & Community Engagement Committee</u> The Performance Oversight & Community Engagement Committee met on June 21, 2013; the report is available in the book.	Mr. William Lang

Agenda Item	Subject/Discussion	Action/Responsible Person
	<p>Finance and Audit Committee The Finance and Audit Committee report is in the Board book.</p>	Ms. Michelle Coons
XI. Other Business	None	
XII. Closed Session	<p>At 11:42 am, Mr. Michael Olguin, Chair, requested a motion to close the open session of the meeting to the public for purposes of discussion and determination, where appropriate, of limited personnel matters per Section 10-15-1.H (2); and discussion and determination, where appropriate of matters subject to the attorney-client privilege regarding pending or threatened litigation in which UNMH is or may become a participant, pursuant to Section 10-15-1.H (7); and discussion of matters involving strategic and long-range business plans or trade secrets of UNMH pursuant to Section 10-15-1.H (9), NMSA.</p>	<p>Mr. William Lang made a motion to move to closed session. Ms. Michelle Coons seconded the motion. The motion passed unanimously.</p>
XIII. Certification	<p>After discussion and determination where appropriate, of limited personnel matters per Section 10-15-1.H (2); and discussion and determination, where appropriate of matters subject to the attorney-client privilege regarding pending or threatened litigation in which UNMH is or may become a participant, pursuant to Section 10-15-1.H (7); and discussion of matters involving strategic and long-range business plans or trade secrets of UNMH pursuant to Section 10-15-1.H (9), NMSA</p>	
XIV. Vote to Re-Open meeting	<p>At 11:59 am, the Chair, Michael Olguin asked for a motion to re-open the meeting and to ratify the items discussed in closed session.</p> <p>Mr. Michael Olguin asked for a motion to accept approval of the minutes of the POCEC as reviewed during Closed Session. The information related to POCEC reviewed in Closed Session is protected under ROAA.</p>	<p>Mr. Jerry Guest motioned to return to open session and ratify the items discussed in closed session. Mr. William Lang seconded the motion. Motion passed unanimously.</p> <p>Mr. William Lang motioned to accept the approval of the minutes of the POCEC as reviewed in closed session. Ms. Michelle Coons seconded the motion. The motion passed unanimously.</p>
XV. Adjournment	The next scheduled Board meeting will be August 23, 2013, @ 9:00 am. There	

Agenda Item	Subject/Discussion	Action/Responsible Person
	being no further business, Mr. Michael Olguin adjourned the meeting at 11:59	

Michelle Coons, Secretary
UNM Hospital Board of Trustees

**Regular Meeting of the Board of Directors
Minutes
June 05, 2013
10:00 a.m. – 12:00 p.m.**

DIRECTORS PRESENT: Michael Richards, Chair
Bob Avery, M.D.
Loretta Cordova De Ortega, M.D.
Chris Pacheco
Martha McGrew, M.D.
Tom Williams, M.D.
Robb McLean, M.D.
Pope Moseley, M.D.

DIRECTORS ABSENT: John Russell, M.D.
Carolyn Voss, M.D.
Cheryl Willman, M.D.

OFFICERS PRESENT: Anthony Masciotra, CEO
Robert Fritch, D.O., CMO
Frederick Rupp, M.D., Secretary
Marjorie Goldstein, CFO

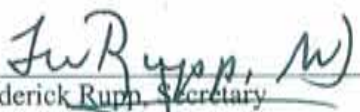
OFFICERS ABSENT:


OTHERS PRESENT: Ari Vazquez
Angela Hawthorne
Rosella Villalobos

1.0	Called To Order and Confirmation of Quorum	
	Chairman, Michael Richards called the meeting to order at 10:05 a.m. A quorum was confirmed at 10:20 p.m.	
2.0	Vote to Approve the Minutes of April 10, 2013 Meeting	
	Chairman, Michael Richards called for a motion to approve the minutes from the April 10, 2013 Board of Directors meeting, as submitted.	Upon a motion and a second, the minutes were approved by the Board members.
3.0	Public Comment	
	None	
4.0	Report from the Finance Committee	
4.1	Acceptance of the May 29, 2013 FC meeting minutes. Dr. Moseley called for a motion to accept the FC meeting minutes as presented.	Upon a motion and a second, the FC meeting minutes were approved by the Board members.
4.2	<p><u>April 2013 Financials</u> - Marjorie Goldstein reported on the Financial Highlights:</p> <ul style="list-style-type: none"> - April collections highest ever at \$14.7M. YTD higher than last year by 15.4%; Core collection up to 2.7% and Cancer Center accounts for \$9.3M of the increase. - WRVU's higher YTD at 11.3%; Core wRVU's up 3.4% over last year although still behind budget. - SRMC wRVU's had a highest month ever in April; wRVU's=14,861 and collections=\$530,000. - Gross Collection Rate – is still down compared to last year, but improving. The Commercial payor mix is negatively impacted in the collection rate. - Purchased Services per wRVU for core – at \$37.74 this year compared to \$38.58 in FY12. <p>Marjorie and her team are working on a new financial analysis that would help to identify and communicate the impacted variances in volume, payor mix and reimbursements.</p> <p>Financial Indicators: SRMC - significant jump in wRVU's. Days in A/R – is holding steady. Total Collections – slight drops in core and clinics compared to prior month.</p> <p>Other data/graphs reviewed were: Collections Trend Graph, SRMC wRVUs & Collections, Statement of Revenues, expenses & changes in net, Comparative balance sheet, Schedule of reserves and Physician support at SRMC.</p>	<p>Upon a motion and a second, the Board members approved the following as presented:</p> <ul style="list-style-type: none"> ▪ April 2013 Financials ▪ Truman Business Plan ▪ Compliance Cost Allocation ▪ Investment Policy. <p>Motion carried for each item.</p>
4.3	<u>Truman Business Plan</u> – Robert Fritch presented the Truman Street business plan for approval by the Board. The business plan shows a breakdown of \$1.8M the use of the net Truman pharmacy funds to reinvest in UNM and UNMMG including expansion of HIV services, support for existing services, add new services and other initiatives, such as, renovations, equipment, admin support, etc. A Pharmacy Funds Reinvestment slide was presented that showed a list of professional services. This business plan was approved at the Finance Committee meeting. A motion was made to approve the Truman Street Business Plan as presented.	

4.4	<u>Compliance Cost Allocation</u> – Anthony Masciotra reviewed the proposed Compliance Refund Allocation Model, based upon total payments made by the Medical Group to Medicare, Medicaid and TriWest. These payments were based on a review of a statistically valid sample of cases conducted by an external compliance auditing firm over a 4.5yr period. The Model was approved at the Finance Committee meeting and presented to the Board for approval. A motion was made to approve the Compliance Refund Allocation Model as presented.	
4.5	<u>Investment Policy</u> – Marjorie Goldstein reviewed the proposed UNMMG Investment Policy modifications. 1) Expansion of ability to invest in BBB rated corporate bonds starting with a range of 0% to a maximum of 15%. 2.) Increase the maximum purchase price for a single issue from 104 to 108. A suggestion was made at the last Finance Committee mtg to add a social responsibility policy to the MG portfolio that investments are not made in tobacco and alcohol companies or others that may cause detrimental health issues (this will be discussed further at future FC mtg). A motion was made to approve the Investment changes as proposed.	
5.0	Report from the Executive Committee	
	Chairman, Michael Richards gave a report of activities by the Executive Committee: <ul style="list-style-type: none"> – May 8th meeting discussion around recommended changes to the Open Meetings Policy of the Medical Group. Changes dealt mainly with timing of notifications. The Executive Committee approved these changes. – May 29th meeting discussion was around approval to purchase the Lawson General Ledger software and associated costs. Additionally, requests from the Health System to create and hire a communications director position within the Medical Group who will work largely for the HS. The Executive Committee approved both of these issues. Dr. Richards shared these discussions and minutes will be provided at the next MG BoD meeting. Dr. Richards called for a motion to accept the minutes from May 08, 2013 EC meetings. 	Upon a motion and a second, the EC meeting minutes were approved by the Board members.
6.0	Chief Executive Officer Report	
	Anthony Masciotra presented the CEO report. <ul style="list-style-type: none"> – Revenue Cycle activities; working with Anesthesia around charge capture process. Developed a report that would reconcile system-level generated census tracking report that shows what has been billed and what's still open. – Working on General Ledger transition. – Health System strategy; determination of appropriate metrics and timing of reports. – AR>90 Days – at 14.1% lower than the UHC Benchmark of 25%. Denials have improved significantly. An upward trend in denials, eligibility and registration. 	
7.0	Chief Medical Officer Report	
	Robert Fritch, C.O. presented the CMO report. Report of activities: <ul style="list-style-type: none"> – Running the Clinic Incentive Awards Program as a pilot through June 2013. A sample dashboard was reviewed for Cardiology Clinic and Vein & Cosmetic Clinic. – Formation of MG Credentials Committee – processing sufficient privileging. – Leadership Academy session is scheduled on June 11. – Book Club – share as information. 	
8.0	Physician Advisory Group Report	
	Dr. Robb McLean gave a report on the PAG activities: <ul style="list-style-type: none"> – Cerner IT demo – KSA Advisory meeting – Looking into the next month, plan to have Value Stream updates and updates on credentialing & hiring process as on-boarding of providers. 	

9.0	Executive Session	
	Chairman Richards called for a motion to close the Open Session and convene in Executive Session.	It was moved and properly seconded to move the mtg into the Executive Session. Motion carried.
10.0	Vote to Reconvene in Open Session	
	Chairman Richards called for a motion to reconvene the Open Session of the Board meeting. It was noted for the minutes that the Board reviewed, accepted and approved items listed by the Practice Oversight Committee as presented by Robert Fritch, CMO. Only those matters described in section 9.0 were discussed in Executive Session.	It was moved and properly seconded to reconvene in Open Session of the Board meeting. Motion carried.
11.0	Other Business	
	None.	
12.0	Adjournment/Next Meeting	
	Chairman, Michael Richards called for a motion to adjourn the meeting, seconded; unanimously approved. Meeting was adjourned at 11:30 a.m. Next Board meeting will be held on July 10, 2013 at 10:00 a.m. - 12:00 p.m. at Domenici Center-Room 3010.	


 Dr. Frederick Rupp, Secretary


 Rosella Villalobos, Minute Taker

SRMC Board of Directors Meeting Minutes

July 17, 2013

8:30 a.m.-10:30 a.m.

UNM Sandoval Regional Medical Center, 4th Floor Board Room

Teleconference 1-888-557-8511 Passcode 2502228#

Rio Rancho, New Mexico

1.0	CALL TO ORDER AND CONFIRMATION OF QUORUM	
	Dr. Paul Roth called the meeting to order and a quorum was confirmed at 8:34 a.m.	Accept as information
2.0	VOTE TO APPROVE THE AGENDA	
	Dr. Paul Roth presented the Agenda to the Board for review	Upon a motion and a second, the Board voted to approve the agenda. Motion passed unanimously
3.0	VOTE TO APPROVE THE MINUTES OF JUNE 27, 2013 MEETING	
	Dr. Paul Roth presented the Minutes to the Board for approval	Upon a motion and a second, the Board voted to approve the minutes. Motion passed unanimously
4.0	COMMENTS FROM DIRECTORS	
	None	
5.0	PUBLIC COMMENT	
	None	
6.0	OLD BUSINESS	
	None to report	
7.0	REPORT FROM SRMC COMMITTEES	
7.1	Dr. Leslie Morrison gave a verbal update on the June 26, 2013 Academic Engagement Committee meeting and presented the minutes of April 25, 2013 for acceptance by the Board.	Upon a motion and a second, the Board voted to accept the minutes. Motion passed unanimously
7.2	Mr. McKernan gave a verbal update on the July 16, 2013 Finance Committee meeting and presented the minutes of June 14, 2013 for acceptance by the Board.	Upon a motion and a second, the Board voted to accept the minutes. Motion passed unanimously
8.0	CEO's REPORT	
8.1	<u>2013 Fiscal Year End Summary</u> Mr. Rogols announced that SRMC celebrated its one year anniversary last week. Mr. Rogols thanked the Board for the opportunity to provide an overview of UNM SRMC achievements and challenges this past year and added that it has been a monumental year for UNM SRMC	Accept as information

	<p>highlighted by the opening of the hospital, medical pavilion and commencement of service. The annual summary will be attached to the original set of minutes located in the Administrative Office.</p> <p>Mr. Rogols also thanked our colleagues at UNMH, UNMMG, UNM HSC, and UNM SOM whose support and encouragement were instrumental in SRMC's success. The Board thanked Mr. Rogols and the Executive Staff for their efforts throughout the hospital's first year of service.</p>	
8.2	<p><u>Recovery Plan Dashboard</u></p> <p>At the March Board of Directors meeting Administration presented a "Recovery Plan" to bring SRMC much closer to targeted performance levels. The Recovery Plan consists of nine (9) areas of action. Mr. Rogols gave a brief progress update for each.</p> <p>Mr. Rogols reported that extra steps have been taken to ensure the need for patient transfers from SRMC to other hospitals. The new processes will focus on educating providers regarding SRMC's greater capability to retain higher acuity patients with the addition of several medical specialties over the past months.</p>	Accept as information
8.3	<p><u>Operational Summary</u></p> <p>Mr. Rogols Introduced Dr. Cushnyr as the new Chief of Staff and welcomed him to the Board.</p> <p>Mr. Rogols reported that surgical cases increased by 18.8% in the month of June to the highest level of the year. Inpatient surgeries increased by nearly 42.9% in June. Outpatient surgeries increased by 17.2%. UNM surgeons contributed 65% of cases while community physicians contributed 35%.</p> <p>Upon review of clinic utilization, by specialty, the following specialties demonstrating growth over the past six months include:</p> <ul style="list-style-type: none"> ○ Orthopedic Surgery ○ Rheumatology ○ ENT ○ Cardiology ○ Gastroenterology <p>The following specialties have been stable, but do not necessarily demonstrate growth over the past six months:</p> <ul style="list-style-type: none"> ○ General Surgery ○ Urology <p>The following specialties demonstrate decreasing utilization over the past six months:</p> <ul style="list-style-type: none"> ○ Family Medicine ○ Uro-gynecology ○ Gynecology 	Accept as information

	<p>In addition, the month of July may be the strongest month to date.</p> <p>Mr. Rogols reported that all areas of Patient Satisfaction continue to perform very well and expressed that SRMC continues to provide a high level of service.</p>	
8.4	<p><u>Service Development</u></p> <p>Mr. Rogols mentioned that the development of expanded patient care services took great steps in the month of June. As such, he summarized expanded Inpatient and Outpatient/Clinic Services.</p>	Accept as information
8.5	<p><u>Human Resources</u></p> <p>Turnover for the past twelve months is recorded at 20%. While higher than we would like, this rate is significantly below national averages for employees within the first two years of employment.</p>	Accept as information
8.6	<p><u>Board Comments/Questions</u></p> <p>Ms. Charlotte Garcia asked if we are receiving referrals from the Pueblos SRMC has signed Agreements with. Mr. Rogols stated that we are receiving referrals from those Pueblos. Mr. Rogols added that IHS has found our contract and are making recommendations that are being evaluated.</p>	Accept as information
9.0	FINANCIAL REPORT	
9.1	<p>Mr. Troy Clark reviewed the Draft June 2013 Balance Sheet and Income Statements.</p> <p>Mr. Clark provided accounts receivable (A/R) data to show what is still outstanding and needing to be collected. 42.3% of A/R is over 120 days or \$15.3MM. As such A/R is a high priority and will be added into the recovery plan. Significant discussion ensued regarding AR and the hospital's billing cycle.</p> <p>Mr. Clark informed the Board that the construction cost certification process continues and will keep the Board apprised to changes, if any, as a result of that process.</p>	Accept as information
10.0	CLOSED SESSION	
10.1	<p>Motion was made to close the Open Session and convene in Closed Session; the motion was seconded and passed unanimously.</p> <p>Dr. Paul Roth presented the Closed Session Agenda to the Board for review</p>	<p>Upon a motion and a second, the Board voted to approve the agenda. Motion passed unanimously.</p>

11.0	EXECUTIVE SESSION	
	Motion was made to convene in Executive Session; the motion was seconded and passed unanimously.	
12.0	RECONVENE IN OPEN SESSION	
	Certification that those matters discussed in Closed Session and issuance of final action of such items including:	
12.1	Motion that the Board accept the Minutes of the meeting of the Committees that were presented in Closed Session and to acknowledge for the record that those minutes were, in fact, presented to, reviewed and accepted by the Board and for the Board to accept and approve the recommendations of those Committees as set forth in the Minutes of those Committee meetings.	Upon a motion and a second, the Board voted to approve the minutes. Motion passed unanimously.
12.2	Dr. Roth asked the Board to approve the list of Providers requesting medical staff membership and privileges identified and discussed in Closed Session including:	Motion was made and seconded to approve the list of Providers for membership and privileges with the exception of David Heard. Motion passed unanimously.
	<ul style="list-style-type: none"> Boyd, Eva MD Hosp. Based/Anesthesiology UNM Derly, Lev MD Hosp. Based/Anesthesiology UNM Libson, Emilie AA-C Hosp. Based/Anesthesiology UNM Selde, William MD Hosp. Based/Emergency UNM Saline, Jennifer MD Hosp. Based/Radiology UNM Reddy, Dheeraj MD Medicine UNM Doherty, Gloria CNP Medicine/CC UNM Horowitz, Bruce MD Medicine/Nephrology UNM Imerman, Kenneth MD Medicine/Neurology UNM Oommen, Lauren DO Medicine/Neurology UNM Oberg, Christine CNP Medicine/Renal Medicine Community Sutherland, Nicholas MD Surgery/General Surgery UNM Singh, Rameet MD Surgery/GYN UNM Teicher, Joel MD Surgery/GYN ABQHP Clark, James MD Surgery/Orthopaedic UNM Mayberry, Aaron MD Surgery/Plastic Community Morehouse, Jeffrey MD Surgery/Plastic ABQHP 	Accept as information
	<p>Resignation</p> <ul style="list-style-type: none"> Marchand, Chad AA Anesthesia UNM Himmel, Peter MD Emergency Medicine UNM Kilgo, Robert MD Emergency Medicine UNM Mulcahy, Allison MD Emergency Medicine UNM Stegelmeier, Kyrnn MD Radiology UNM Starr, Matthew MD Medicine/Neurology UNM Cichowski, Sara MD Surgery/GYN UNM McFadden, Brooke MD Surgery/GYN UNM Zahrai, Ali, MD Surgery/Orthopaedic UNM 	
	Dr. Roth asked for administration to modify the proposed 2014 Goals and Objectives as discussed in closed session.	
	The Board performed and accepted Mr. Rogols Annual Performance Evaluation, congratulated and thanked Mr. Rogols for his service to SRMC for the past three years.	

	Motion was made to approve general ratification of items in closed session, second; unanimously approved.	
13.0	ADJOURNMENT/NEXT MEETING	
	<p>Motion was made to adjourn the meeting, second; unanimously approved. Meeting adjourned at 11:50 a.m.</p> <p>The next meeting will be held on August 21, 2013 at 8:30-10:30 a.m. at UNM SRMC, Board Room // Teleconference at 1-888-557-8511 Passcode 2502228#</p>	
MEMBERS/GUESTS IN ATTENDANCE		
<p>Board Members Present:</p> <p>Dr. Paul Roth, Chair Mr. Steve McKernan Dr. Thomas Williams Dr. Brad Cushnyr Dr. Martha McGrew Ms. Charlotte Garcia</p> <p>Staff Members Present:</p> <p>Mr. Kevin Rogols Mr. Troy Clark Mrs. Erica Hamilton Mrs. Correen Bales</p>		<p>Board members Absent:</p> <p>Dr. Carolyn Voss Mr. Manu Rainbird</p> <p>Guests Present:</p> <p>Ms. Cindy Foster Ms. Rosalyn Nguyen Mr. Bob Anthony Mr. Dick Mason Dr. Michael Richards Ms. Kimberly Bell</p>

The Office of the Medical Investigator State of New Mexico

March 26, 2013

Board Meeting Minutes

PRESENT:

Paul Roth, M.D.	Chancellor, HSC; Dean, SOM, Board Chairman
Arthur Allison	Cabinet Secretary, New Mexico Department of Indian Affairs
Sam Baca	Chairman of the Board of Thanatopractice/Board of Funeral Services
Retta Ward	Cabinet Secretary, New Mexico Department of Health

ABSENT:

Robert Shilling	Chief, New Mexico State Police
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GUESTS:

Ross Zumwalt, M.D.	Chief Medical Investigator, OMI
Kerri Ashburn	Unit Administrator, OMI
Amy Boule	Director of Operations, OMI
Michelle Aurelius, M.D.	Assistant Chief Medical Investigator, OMI
Stephen Adams	Morphology Technician, OMI
Lori Proe, D.O.	Forensic Pathologist, OMI
Ian Paul, M.D.	Forensic Pathologist, OMI
Amy Wyman	Manager, Central Office Investigations, OMI
Yvonne Gomez	Administrative Assistant, Central Office Investigations, OMI
Kurt Nolte, M.D.	Forensic Pathologist, OMI
Scot Sauder	Senior Associate, University Counsel Office
Mary Salazar-Tafoya	Administrative Assistant, OMI

Minutes taken by: Kerri Ashburn, Unit Administrator, OMI

1. Call to Order and Confirmation of Quorum: Dr. Paul Roth
 - a. Meeting was called to order at 3:06 pm.
2. Introduction: Ms. Retta Ward, Cabinet Secretary of the NM Department of Health
 - a. Dr. Roth introduced Ms. Retta Ward, Cabinet Secretary of the Department of Health.
 - b. Ms. Ward attended an information session about the OMI this afternoon where she was introduced to the organization.
 - c. Ms. Ward is excited to be in the role of Cabinet Secretary of Health. Public health is her passion and background; she is very excited to contribute by serving on the OMI Board.
3. Adoption of the Agenda: Dr. Paul Roth
 - a. Mr. Baca moved adoption of the agenda and Ms. Ward seconded. The Board adopted the agenda.
4. Approval of the Minutes from the December 13, 2012 Meeting: Dr. Paul Roth
 - a. Mr. Allison moved approval of the minutes and Dr. Roth seconded. The minutes were approved unanimously.

5. Chief Medical Investigator Administrative Report: Dr. Ross Zumwalt

a. Back Transport

- i. Recent legislative session has approved \$250,000 increased appropriations for payment to transporting bodies back to funeral homes after autopsy. The new appropriations take effect July 1, 2013. Once the budget is approved by the Governor, OMI can institute the return transportation prior to July, if possible. Return transportation will be very beneficial for rural citizens.

b. Budget

- i. OMI is very appreciative of the legislative approval of \$250,000. Of this funding, 5% goes to the School of Medicine for overhead costs. As such, OMI will be short in covering expenses and may have a net decrease of approximately \$20,000 with the budget.
- ii. OMI had asked for additional \$320,000 of funding to support increases in utilities and services as there has been trouble meeting the budget due to increases these areas. Unfortunately, the increase in funding was not approved by the legislature. A budget review session between OMI and the School of Medicine will be held to determine how to decrease the deficits. In the meantime, OMI will work to reduce costs by decreasing the supply budget and IT expenses. It is anticipated that OMI will have a difficult budget next year and will have to make some significant cuts such as not funding the forensic anthropologist position.
- iii. OMI will also be sending more toxicology testing to SLD instead of utilizing outside labs. There may be some delay to turnaround time due to increase in volume. There is approximately \$200,000 spent per year for toxicology testing at an outside lab while SLD does not charge for toxicology testing. With the increase in testing sent to SLD, OMI will reduce spending by about \$100,000. Dr. David Mills of SLD has met with OMI recently and has agreed to ramp up the cases that are sent to their laboratory. According to Dr. Mills, SLD has increased its capacity and the flow has been changed in the lab. Turnaround times at SLD are improved to being within National Association of Medical Examiner (NAME) standards. The last quarter's average turnaround time was 28 days. OMI and SLD will collaborate and ramp up slowly and carefully to ensure there are not any negative effects to OMI or SLD.
- iv. OMI plans to decrease expenses that were to be used for IT programming, specifically the conversion to paperless autopsy system.
- v. OMI will also be cutting the travel budget considerably. Dr. Roth indicated that the Department of Pathology may be able to pay for travel funding that OMI will not be able to cover for faculty.
- vi. The Board of Regents has mandated an additional 1% pay increase for staff that will also be hitting the OMI budget, which is for higher education increases and the cost of living adjustment.
- vii. Although OMI will struggle with the budget, OMI is hopeful in its ability to meet or be close to the budget for next year with the decreases stated above. Dr. Roth mentioned that OMI should be kept on the priority list for the university for any other possible funding opportunities. While OMI has received some support from the legislature, the increase in utilities was unexpected, leaving funding at a level that sufficient for OMI state-mandated operation.. OMI will

also be applying for additional grant funding, but it is uncertain if OMI will be awarded. There are potential problems if OMI loses some grant funding.

c. Camino de Salud

- i. Dr. Zumwalt noted that the building was designed to be accessible from the frontage road, but was never intended to have an exit out to the frontage road. The roadway is a one way road into the medical center, and many drivers have decided that it is a shortcut to utilize the frontage road, often going the wrong way on the road. This creates a potential safety issue.
- ii. OMI anticipates that there will be some design changes to ensure that it is a one-way road. These design changes may result in fencing the dirt lot, installation of tire shredders, barriers, or other means to stop drivers from going the wrong way.
- iii. The south side of Camino de Salud is owned by the university, whereas the north side is owned by the state. An agreement needs to be reached.
- iv. In 2007, a permit was issued by NMDOT that stipulated that the visitor gate be locked on the east side. By 2010, the knowledge of the stipulation was lost and the gates were opened. The 2007 decision was uncovered approximately two weeks ago and the gates have been relocked to comply.
- v. Mr. Allison recommended that the new Secretary of the Department of Transportation should review the plans and be aware of the increased traffic and the growth in the area, and determine if Camino de Salud can be extended, as it is clear that it should be redesigned.
- vi. It was further noted that it will take the interplay of the federal government to finish the project, and currently all of the interested parties are at play. The dirt road that goes south was made to connect Camino de Salud to Lomas and will be the main entry/exit to this particular area. Larry Barker recently investigated the traffic situation on Camino de Salud and documented a number of accidents and other issues. The goal is to limit or eliminate traffic to the access road.

6. Public Comment

- a. There was no public comment

7. Discussion: New Business

a. Mass Fatality Discussion: Dr. Michelle Aurelius

- i. Information was presented by Dr. Aurelius on the Mass Fatality Incident Planning for the State of New Mexico.
- ii. Going forward, OMI would like the opportunity for the Board to get to know the forensic pathologists and the positive workings of the office.
- iii. The Mass Fatality Incident Plan was initiated by Dr. Nolte in 2009. Dr. Aurelius became involved in 2012 along with the Department of Health. OMI has received funding for state mass fatality planning. OMI's plan is available on the website, and is just over 300 pages in length.
- iv. By definition, a mass fatality incident occurs when the local resources are exceeded. Statistics show that this occurs usually when there are over 10 fatalities in a single incident; however OMI is able to handle 21 autopsies a day.
- v. Dr. Aurelius indicated that the average number of deaths per mass fatality incident is 84, but without the inclusion of hurricane Katrina and the events of 9/11, the average is 31.

- vi. New Mexico has had experience with mass fatalities. The NM Prison Riot of 1980 left 33 dead and the BioCare incident of 2010 identified the remains of 45 individuals.
 - vii. OMI has an internal mass fatality incident response plan that includes the recent acquisition of refrigerated trucks. The Mass Fatality Plan was updated in 2012, and the state grant awarded \$500,000 to obtain equipment. OMI has purchased a victim identification software program, respirators, body lifts, stretchers, safety equipment, and a CO2 gas detector.
 - viii. In addition, the State Emergency Coalition has held meetings throughout the state for active participation. Dr. Aurelius has been traveling around the state to help others develop their own mass fatality plans for their specific institutions. To date there have been 63 participants across the state.
 - ix. There are three mobile morgues that can be utilized to transport up to 20 bodies at a time. One mobile morgue is located in Farmington, one in Las Cruces, and one in Albuquerque. In a mass fatality event, OMI can quickly get to the site to manage decedents OMI is part of the emergency reporting team at the state level.
 - x. Mr. Allison noted that he would like to work with Dr. Aurelius to establish an emergency plan for Indian Affairs, and would like the Sovereign Nations to work with OMI. Dr. Aurelius will get in touch with Mr. Allison.
 - xi. Lastly, Mr. Baca reported that the Silver City funeral homes have capacity for 30 individuals, Deming has capacity for 20 in case of such an event.
- b. Modification of Digital Photography Fees
- i. OMI presented a new fee schedule in the spring of 2012, which was scheduled to take effect on July 1, 2012. The OMI Board agreed with the proposed fee schedule and it took effect. However, the cost of digital photography discs seems too high. As such, OMI would like propose the elimination of the cost of \$1 per photo, and simply charge the \$30 fee for the disc.
 - ii. It was noted that in the field the investigators take the photos, but photography done inside of OMI is performed by the morphology technicians and photography technicians during autopsy.
 - iii. Mr. Baca moved approval of the OMI digital photography fees as presented and Ms. Ward seconded.
 - iv. The modification of the OMI digital photography fees were approved, motion carried.
8. Vote to close for Executive Session: Dr. Paul Roth 3:51 pm.
9. Vote to reconvene in General Session: Dr. Paul Roth 3:51 pm.
- a. There was no other discussion.
10. Adjournment: Dr. Paul Roth
- a. Meeting adjourned at 3:52 pm.

CARRIE TINGLEY HOSPITAL ADVISORY BOARD
AGENDA

July 22, 2013
1st Floor Boardroom/CTH
4:00 pm

I. Call to Order

II. Approval of the May 20, 2013 Meeting Minutes

III. Public Input

IV. Reports

All reports will be submitted prior to meeting

- | | | |
|-----|---------------------------|-----------------------------------|
| A). | Finance | Ella Watt, CFO |
| B). | Medical Directors | Dale Hoekstra, MD |
| | Rehab & Physical Medicine | John Brandt, MD/Ed Rose, MD |
| C). | Executive Director(s) | Denise Taylor, MD, Division Chief |
| D). | CTH Foundation | D. Tinagero/ M. Thornton |
| E). | Chair | Phil Prevender |
| | | Sarah Nelson-Howse |

V. Old Business

A).

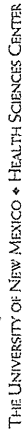
VI. New Business

A).

VII. Vote to Close Session.

If the vote to close the meeting is carried, the meeting will close in accordance with Section 10-15-H NMSA 1978, Comp, as amended for purpose of strategic planning, litigation and confidential Personnel matters.

	<table><tr><td>Ortho</td><td>9,463</td><td>10,595</td><td>10,620</td></tr><tr><td>1st Floor Peds</td><td>7,313</td><td>7,527</td><td>7,484</td></tr><tr><td>Outreach</td><td>310</td><td>299</td><td>331</td></tr><tr><td rowspan="2">Operations</td><td>INP: 105</td><td>166</td><td>143</td></tr><tr><td>OUTPT: 198</td><td>250</td><td>273</td></tr><tr><td>Implants</td><td>247</td><td>298</td><td>282</td></tr></table>	Ortho	9,463	10,595	10,620	1 st Floor Peds	7,313	7,527	7,484	Outreach	310	299	331	Operations	INP: 105	166	143	OUTPT: 198	250	273	Implants	247	298	282		
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B. Medical Director Peds Orthopaedic	<p>Dr. Hoekstra attended a conference in Toronto on changing the way that residents are educated, a change from being in a residency for a period of time vs. competency based. "A practical approach to competency-based education in your residency training program: from concept to implementation" . They are hoping that this program will evolve within 5-10years. University of Toronto has pioneered this program in orthopaedics, they had 9 residents in pilot program, 3 finished in normal time, 3 finished more rapidly and 1 finished in 5 years and 2 finished in 2 ½ years.</p> <p>Dr. Szalay will be returning on a part-time basis to see patients in mid-June.</p> <p>Dr. Silva participated in Peri-Initiative outreach program hosted by the UNM/HSC Department of Orthopaedics. The program involved 39 local women (high school age) immersing themselves in the challenging fields of Orthopaedic surgery and Engineering.</p>	Residents thought this was a good way to educate/train.																								
Dr. Rose	<p>Joint Operating Plan/Strategic Goals: as part of the KSA strategic planning initiative a set of goals for the Joint Operating Plan of the UNM Health System was developed. These included items in 5 Pillars of Growth, Quality, Service, People and Finance. The UNM Children’s Hospital Medical Directors of all levels along with their administrative partners have identified items that they could work on together. Also the we attempted to align goals of the medical directors with the Exec Med Dir and myself. Majority of our goals revolve around further development of the</p>	Brief discussion ensued regarding recruitment of specialized pediatric physicians. Lisa suggested that it might be beneficial to put a task force together to look at our recruitment procedures.																								



	The UNM NM-CAPP Gala was held April 20, 2013. This was a fundraising event and to raise awareness of child abuse in the state of New Mexico. The event was very successful raising \$25,000.			
C). Executive Directors	<p>Update on Patient Satisfaction: Inpatient continues to receive low responses with CTH Inpatient 95.8 with 6 total responding to the survey and CTH Rehab ended at 79.2 with 6 surveys returned and CTH outpatient clinics had a score of 85.5 with 32 patients completing the survey.</p> <p>» The CTH kitchen area is scheduled for renovation late May as CTH continues with an abatement of mold in some areas. They will replace wall sections as well as new flooring and cabinets in the food serving area. Meals will continue to be available for staff and patients during the renovation with a more limited selection.</p> <p>» Parts for the elevator project are on order. Timeline for the repair will be arranged once the items have been received.</p> <p>» All of the last quarterly requests to the CTH Foundation were approved. For the May Foundation meeting they will be considering providing funding for a Shade structure addition to the outdoor rehab area.</p>	Continue to monitor and report at July meeting.		
D). CTH Foundation	<p>Phil Prevender submitted a written report. CTH Foundation has a new Executive Director, Jeffrey Hoehn.</p> <p>-June 8th is the date for the signature fundraiser, the 19th Annual - Aaron's Mudd Volleyball Tournament to benefit the CTH Foundation. The fundraiser raised \$585,000 in 2012!</p> <p>-The Foundation's Beyond Limits Summer Wheelchair Sports Camp is heading into its 13th year and over fifty children are expected to participate with over 100 volunteers to help with the camp. Camp is scheduled for June 3rd – June 14th with the first week giving the kids a full day of indoor and outdoor activities and the second week with a half day of activities.</p>	Information only. Follow-up at the July 2013 meeting.		

	have insurance by January 1, 2014. Mr. McKernan believes that CTH is the least effected, the Children's Hospital will have some impact, and adult side more so. Brief discussion on the Insurance "Exchange" program. Mr. McKernan indicated that the state has all capital programs for UNM on hold now. The state is reviewing the adult hospital extension. There will be another GO Bond in 2014 in which CTH will be put on their own ballot for the children's building.			
VII. Vote to Close				
VIII. Vote to Re-Open Session	N/A			
IX. Adjournment	Action:			

Respectfully Submitted by:

Diane J. Romero
Diane J. Romero, Administrative Assistant

APPROVED:

[Signature]
Chair

[Signature]
Member

CARRIE TINGLEY HOSPITAL ADVISORY BOARD
AGENDA

July 22, 2013
1st Floor Boardroom/CTH
4:00 pm

I. Call to Order

II. Approval of the May 20, 2013 Meeting Minutes

III. Public Input

IV. Reports

All reports will be submitted prior to meeting

- | | | |
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| A). | Finance | Ella Watt, CFO |
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| | | Sarah Nelson-Howse |

V. Old Business

A).

VI. New Business

A).

VII. Vote to Close Session.

If the vote to close the meeting is carried, the meeting will close in accordance with Section 10-15-H NMSA 1978, Comp, as amended for purpose of strategic planning, litigation and confidential Personnel matters.

CARRIE TINGLEY HOSPITAL ADVISORY BOARD

Meeting Minutes

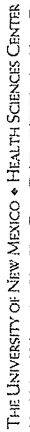
May 20, 2013

CTH Outpatient Board Room – 1st floor

Agenda/Subject #		Discussion			Status	Due Date	Responsible Party
Attendance 5/20/13	CTH Advisory Board: Sarah Nelson-Howse, Neall Doren, David Schifani, Frederick Sherman, MD, Lisa Rossignol, Dana Allen, Nancy Greger, MD, Michael Shannon Excused: Phil Prevender, CTHF Absent: Heidi Overton, Regent UH: Doris Tinagero, Maribeth Thornton, Dale Hoekstra, MD, Edward Rose, MD , Loretta Cordova, MD, Denise Taylor			N/A			
I. Call to Order	The meeting was called to order at 4:03 pm by Chair, Sarah Nelson-Howse.				NA	NA	
II. Approval of the March 25, 2013 Minutes.	Review of the March 2013 meeting minutes. Motion was made and seconded to approve the March 2013 meeting minutes.			Minutes were approved as written.		NA	
III. Public Input	N/A						
IV. Reports A. Finance: Year to Date through October 2012			YTD	YTD Budget	Prior YTD	Continue to track statistics and report bi-monthly. Ella Watt is scheduled to attend the CTH Advisory Board meeting 2x/year. She will attend July's meeting.	
	Orthopaedics	418		685	625		
	Rehabilitation	270		378	402		
	Newborns	390		297	317		
	Pediatrics	2,052		1,561	1,551		
	Average length of stay	5.62		6.67	5.28		
	Discharges	557		438	548		
	Outpatient Clinic Visits						
2 nd Floor Peds	5,511		5,275	5,424			

	<table><tr><td>Ortho</td><td>9,463</td><td>10,595</td><td>10,620</td></tr><tr><td>1st Floor Peds</td><td>7,313</td><td>7,527</td><td>7,484</td></tr><tr><td>Outreach</td><td>310</td><td>299</td><td>331</td></tr><tr><td>Operations</td><td>INP: 105 OUTPT: 198</td><td>166 250</td><td>143 273</td></tr><tr><td>Implants</td><td>247</td><td>298</td><td>282</td></tr></table>	Ortho	9,463	10,595	10,620	1 st Floor Peds	7,313	7,527	7,484	Outreach	310	299	331	Operations	INP: 105 OUTPT: 198	166 250	143 273	Implants	247	298	282			
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B. Medical Director Peds Orthopaedic	<p>Dr. Hoekstra attended a conference in Toronto on changing the way that residents are educated, a change from being in a residency for a period of time vs. competency based. "A practical approach to competency-based education in your residency training program: from concept to implementation" . They are hoping that this program will evolve within 5-10years. University of Toronto has pioneered this program in orthopaedics, they had 9 residents in pilot program, 3 finished in normal time, 3 finished more rapidly and 1 finished in 5 years and 2 finished in 2 ½ years.</p> <p>Dr. Szalay will be returning on a part-time basis to see patients in mid-June.</p> <p>Dr. Silva participated in Peri-Initiative outreach program hosted by the UNM/HSC Department of Orthopaedics. The program involved 39 local women (high school age) immersing themselves in the challenging fields of Orthopaedic surgery and Engineering.</p>	Residents thought this was a good way to educate/train.																						
Dr. Rose	<p>Joint Operating Plan/Strategic Goals: as part of the KSA strategic planning initiative a set of goals for the Joint Operating Plan of the UNM Health System was developed. These included items in 5 Pillars of Growth, Quality, Service, People and Finance. The UNM Children's Hospital Medical Directors of all levels along with their administrative partners have identified items that they could work on together. Also the we attempted to align goals of the medical directors with the Exec Med Dir and myself. Majority of our goals revolve around further development of the</p>	Brief discussion ensued regarding recruitment of specialized pediatric physicians. Lisa suggested that it might be beneficial to put a task force together to look at our recruitment procedures.																						

	<p>Children's Service Line, focus on quality and safety, and patient satisfaction. At this stage initial expectations are at the Chair and my level but will soon go to the individual unit med directors, division chiefs and eventually all faculty</p> <p>Quality Initiatives continue and include:</p> <ul style="list-style-type: none"> -Looking into issues of high Length of Stay and mortality indicies in NBICU. Most of the time this is due to documentation (at least for mortality). The unit medical director will be working with coding to determine best ways to document. Other issue is difficulty with transfers of babies back to home hospitals. Unit medical director, Chief of division and Care Management are meeting with CMO's of the Saluds to address this issue -As part of our CMS Core Measures, inpatient asthma training is mandated and compared nationally. We have at times been #1 in the country but difficulties exist with timely teaching prior to discharge. A group is looking at this and includes physicians, nursing RT and others. -Pain medication ordering (ie opioids) continues to be addressed. Pain task force is meeting (both for adults and peds) chaired by Dr. Nelson and myself to further improve ordering processes New hires, we believe that we will have new GI, renal and cardiology faculty by this summer. If so that will help these three division 			
Physical Medicine and Rehabilitation	<p>Dr. Denise Taylor reported: Mark Pedrotty, PhD was promoted to Professor. Dr. Pedrotty is a board certified psychologist and has been with CTH since 1998.</p>			



	The UNM NM-CAPP Gala was held April 20, 2013. This was a fundraising event and to raise awareness of child abuse in the state of New Mexico. The event was very successful raising \$25,000.			
C). Executive Directors	<p>Update on Patient Satisfaction: Inpatient continues to receive low responses with CTH Inpatient 95.8 with 6 total responding to the survey and CTH Rehab ended at 79.2 with 6 surveys returned and CTH outpatient clinics had a score of 85.5 with 32 patients completing the survey.</p> <p>» The CTH kitchen area is scheduled for renovation late May as CTH continues with an abatement of mold in some areas. They will replace wall sections as well as new flooring and cabinets in the food serving area. Meals will continue to be available for staff and patients during the renovation with a more limited selection.</p> <p>» Parts for the elevator project are on order. Timeline for the repair will be arranged once the items have been received.</p> <p>» All of the last quarterly requests to the CTH Foundation were approved. For the May Foundation meeting they will be considering providing funding for a Shade structure addition to the outdoor rehab area.</p>	Continue to monitor and report at July meeting.		
D). CTH Foundation	<p>Phil Prevender submitted a written report. CTH Foundation has a new Executive Director, Jeffrey Hoehn.</p> <p>-June 8th is the date for the signature fundraiser, the 19th Annual - Aaron's Mudd Volleyball Tournament to benefit the CTH Foundation. The fundraiser raised \$585,000 in 2012!</p> <p>-The Foundation's Beyond Limits Summer Wheelchair Sports Camp is heading into its 13th year and over fifty children are expected to participate with over 100 volunteers to help with the camp. Camp is scheduled for June 3rd – June 14th with the first week giving the kids a full day of indoor and outdoor activities and the second week with a half day of activities.</p>	Information only. Follow-up at the July 2013 meeting.		

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	have insurance by January 1, 2014. Mr. McKernan believes that CTH is the least effected, the Children's Hospital will have some impact, and adult side more so. Brief discussion on the Insurance "Exchange" program. Mr. McKernan indicated that the state has all capital programs for UNM on hold now. The state is reviewing the adult hospital extension. There will be another GO Bond in 2014 in which CTH will be put on their own ballot for the children's building.		
VII. Vote to Close			
VIII. Vote to Re-Open Session	N/A		
IX. Adjournment	Action:		

Respectfully Submitted by:

Diane J. Romero
Diane J. Romero, Administrative Assistant

APPROVED:

[Signature]
Chair

[Signature]
Member