The Board of Regents of the University of New Mexico
Meeting in Open Session and Executive Session
December 10, 2013, 9:00 a.m.
Student Union Building, Ballroom C
Executive Session-Luncheon, Cherry Silver Room
Revised AGENDA

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Randy Royster, President UNM Alumni Association, (Written Report)

V. Public Comment (limit 3 min. per speaker)
VI. Vote to close the meeting and proceed in Executive Session

**Executive Session**

1. Discussion and determination where appropriate of limited personnel matters pursuant to Section 10-15-1.H(2), NMSA (1978)
2. Discussion and determination where appropriate of threatened or pending litigation pursuant to Section 10-15-1.H(7), NMSA (1978)
3. Discussion and determination where appropriate of the purchase, acquisition or disposal of real property pursuant to Section 10-15-1.H(8), NMSA (1978)

VII. Vote to re-open the meeting and certification that only those matters described in Agenda Item VI were discussed in Executive Session and if necessary, final action with regard to those matters will be taken in Open Session.

VIII. Vote to Adjourn

IX. Addendum: Minutes approved at the HSC Board of Directors 12/06/13 Meeting ..........28
   a. HSC Board of Directors November 8, 2013 Meeting
   b. UNM Hospitals Board of Trustees October 25, 2013 Meeting
   c. UNM Sandoval Regional Medical Center, Inc. Board of Directors October 16, 2013 Meeting
   d. UNM Carrie Tingley Hospital Advisory Board September 23, 2013 Meeting
Members present: President Jack L. Fortner, J.E. Gene Gallegos, Bradley C. Hosmer, Conrad D. James, James H. Koch, Suzanne Quillen, Heidi Overton (Quorum).

Administration present: President Robert Frank, Executive Vice President for Administration David Harris, Provost Chaouki Abdallah, Chancellor Paul Roth, Vice President Josephine de Leon (Equity and Inclusion), Vice President Eliseo Torres (Student Affairs), Interim Vice President Jewel Washington (Human Resources), Vice President Paul Krebs (Athletics), University Counsel Elsa Cole, University Controller Elizabeth Metzger, Sr. Executive Officer Ava Lovell (Finance and Administration), Associate Vice President Andrew Cullen (Planning, Budget & Analysis), Associate Vice President Terry Babbitt (Enrollment Management), Director Pamina Deustch (Policy Office), Director Cinnamon Blair (Communications & Marketing), Director Marc Saavedra (Government Relations), Chief Information Officer Gil Gonzales.

Regents’ Advisors present: President Richard Holder (Faculty Senate), President Gene Henley (Staff Council), President Isaac Romero (ASUNM), President Priscila Poliana (GPSA).

Regent Vice President Koch called the meeting to order at 9:00 a.m.

I. Confirmation of a Quorum; Adoption of the Agenda, Regent Vice President Koch

Motion to adopt the Agenda passed unanimously (1st Gallegos, 2nd Hosmer).

II. Approval of the Minutes from the October 5, 2013 Special Meeting, October 8, 2013 Regular Meeting and October 19, 2013 Special Meeting, Regent Vice President Koch

Motion to approve the minutes of the October 5, 2013 Special Meeting, October 8, 2013 Regular Meeting and October 19, 2013 Special Meeting passed unanimously (1st Hosmer, 2nd Gallegos).

III. President’s Administrative Report, President Robert Frank

President Frank provided a presentation on Results Oriented Management (ROM). President Frank stated that generally, faculty members are not positive about changing budgets. President Frank referenced the book, Public No More, by Gary C. Fethke and Andrew J. Policano, which states that the entire landscape in public higher education is changing dramatically therefore, change is necessary. The book states that traditionally, universities budget using Central Administration Management, which simply spreads around the money to various departments as needed. This is what UNM has used as the budgeting process, and overtime the reasons that departments received money and the parameters were forgotten, leading to limited accountability. As a relatively opaque process based on a historical set of deals, there are limited links between generated revenue and resource allocation, which becomes problematic over time.

Many universities across the country, notable started at Indiana, went to a model called Responsibility Centered Management (RCM). RCM is very similar to a business budget, meaning that each unit sits on its own bottom. It is a transparent model that is data driven, which aligns and centers with revenue generation and cost containment. In 2010, the Legislative Finance Committee reviewed all universities in New Mexico, specifically UNM and NMSU, and called for the two universities to move to RCM. Governor Suzanna Martinez is pushing for an outcome-based state Funding Formula. During President Frank’s 120 day listening campaign, budgeting issues came up frequently, which led to formation of an RCM steering committee. This committee met last year from September till May and began looking at moving UNM to an RCM
model. The idea was to create transparency in budgeting with accountability for revenue generation and cost containment.

What is discovered with RCM, like Tipp O’Neill said, all politics are local and every RCM solution comes up with a local solution. The committee came up with a solution that works for UNM, Responsibility Oriented Management (ROM). There are four principles of ROM, those being transparency, performance incentives, focus on revenue and cost, and continual quality improvement. The principles developed in the committee will align responsibility with authority because historically there has been disconnect across campus with the ability of Deans, Department Chairs, and budget managers to make decisions about budget. Ultimately, the goal is for continuous improvement with focus year after year on improving budget targets both on the revenue and expenditure side. Another major focus will be on the net change. All units will have targets for financial and non-financial performance, and administrative units will participate in the same manner as academic units. This is an at-risk model, the entire campus will participate, and money will move from the least performing units to the better performing units. This is a great way to improve performance on campus.

One thing that characterizes the University of New Mexico, specifically on Main Campus, is the College of Arts and Sciences, which is a large college that dominates the UNM landscape with 22 departments. As we go to an RCM model, the size of that college means that you have to work around the large unit. The Health Sciences Center (HSC) has already done a version of the RCM years ago, so these budgeting principles will primarily affect Main Campus and eventually the Branch Campuses.

The popularity of different majors changes over time. The Wall Street Journal stated that what is popular now might not be popular thirty years from now. It is an argument for the University to strive to be nimble when working toward the future to be able to move money around as necessary. There will be initial reduction to base allocation and a risk pool created to house money that will respond to performance incentives and strategic investments. The goal is to increase performance for units while looking for great initiatives, which is where HSC will be involved. There is a goal for initiatives that cross the entire campus, from the North to the South Campus, to bring the entire University together.

ROM will work at UNM in such a way that there will be at-risk money, tuition, and potential state formula workload that will go into a funding pool. The money will go first toward the “must-funds” such as utilities and benefits, and the remainder will go towards the performance fund and strategic investment fund, such as to fulfill UNM 2020 goals. The Performance Fund is where we will return money to our high performers; essentially the low performers will fund the high performers. There will also be an Enrollment Growth fund, which the units that grow will receive money out of that fund. Expenditure targets will be created for all units across campus. With the implementation of the Banner system six years ago allows this process to occur and a Consolidated Data Warehouse was added in September 2012. The Consolidated Data Warehouse allows for input of data in a central spot where users can access it such as student data, enrollment data, HR data and financial data. This allows for transparency in all parts of the system so input managers can pull reports to see performance in ways that had not been able before across the entire system. The hard work put into creating this system with finesse, accuracy was remarkable, and President Frank congratulated the team.

A 1% target for the University will be created based on I&G dollars on Main Campus, which will be pulled into an investment and performance fund as the risk model. As ROM begins to take effect, we will incentivize the University around the creation of student credit hours. As ROM begins to work, people will realize that for the first time there is an alignment of incentives. Units will see a focus on improvement and a return for efforts.
Regent Fortner asked if these at-risk dollars are the same as what the Governor has been talking about regarding the percentage at-risk money.

President Frank stated that it could be similar, but the Governor’s at-risk dollars are controlled by Santa Fe, but the Regents would control the ROM model at-risk money with which the Administration feels more comfortable.

Regent James asked if the “must-funds” includes department or college specific capital expenditures because some departments have equipment that they need to run, whereas other Departments will not have as much overhead.

President Frank deferred to Kevin Stevenson, who stated that most equipment funds fall outside of I&G, thus fall outside the scope of these reallocations.

President Frank stated that they are in the process of refining the driving metrics. The institutional-wide metrics include increasing retention, graduation, and completion rates, while decreasing the cost per degree. Unit-Specific measures are, increased student credit hours, degree completion, increased research expenditures, and scholarly production. We will look at efficiency, such as overhead ratios, cost/unit ratios, peer benchmarks, processing time, turnaround time, and customer satisfaction. The focus is on balancing cost with service levels. Provost Abdallah stated that in terms of metrics, they will try to align as much with the State. There is an internal group looking at metrics, which will be presented to the Board in the future.

Regent Hosmer stated that it would be useful under institution-wide to put a placeholder or a couple of metrics such as quality of degree and post-graduation outcomes for students. A placeholder at this point, but it keeps focus on obtaining that information in the future.

Regent Quillen would like to make sure the metrics are clearly defined. If the units are to be seen as a stand-alone business unit it is important for the units and the Regents to have a clear understanding, specifically what makes you go forward and what makes you go backward.

Regent James stated that understanding the metrics are important because 1% is at-risk, they stand to lose that percent or gain more. What is the floor and what is the ceiling for each unit is critical. However, the “must-funds” put a floor on what some units could lose.

President Frank discussed how ROM could be tied to the State Funding Formula. We have developed a sophisticated set of tools, had diligent conversations with faculty and are poised to implement something that is extremely complex. By January, the team will launch a shadow, not a live system, but a test of the ROM model for half a cycle to see where departments and units would have stood in the ROM system. President Frank is confident that it will be successful, though there is a great deal to learn. EVP Harris stated that the Regents will develop the budget in the Spring working from the ROM model rather than the old model.

In Santa Fe, they want 5% at-risk, but President Frank feels that 1% at-risk is a great starting point that will hopefully not disrupt the ability to operate. The percentage can increase at a slow rate year by year if necessary. At other universities, it had rapid, evolutionary effects because people are motivated when you align incentives and you reward the correct behavior. President Frank believes it will have a very powerful effect here because it does the right things for the University, and does it the right way by leaving Regents’ to control it.

President Frank stated that the Law School is participating and the School of Medicine will contribute to the Strategic Investment Fund separately but will not participate otherwise.

Regent James stated that the State is a sponsor of the University, this is their I&G money, and that is why they have a stake in this. If we come up with good ideas, and can show them that we
are taking the lead on some of these elements, it makes for a much smoother transition on what the State wants to do. If we bring ideas and be forthcoming, it could ease some of the tension. Regent James asked Chancellor Roth for any lessons learned from any experiences on the HSC side regarding this type of change in the budgetary process.

Chancellor Roth stated that HSC had the Central Administration Model up until the early 1990s. It took about two years of working with the faculty and adopting a new methodology, which was controversial with some tension. There were meetings held to get faculty input on ideas to see the reaction or change certain elements. We would try to explain those things we thought were crucial. The outcome was a little bit different than was anticipated but the model has worked. Chancellor Roth’s word of caution is to not give up, even with pushback.

The concept is an extraordinarily effective and a responsible method for managing resources in any institution, specifically managing revenues against expenses in a way that maximizes quantitative productivity and qualitative outcomes. It is a journey filled with lots of challenges and needs to be customized based on the needs of the unit that is in question.

President Frank stated that it is an evolutionary approach. The 1% at-risk is not a huge amount but people will be informed on how this process works and gain the technical skills to learn the system. For the entire campus, the 1% at risk will be a challenge, for smaller units it will have an immediate impact, but what is being designed is continuous quality improvement.

Regent Fortner asked how we protect at-risk money saved from the Legislature who might see the Strategic Investment Fund as excess and decide not to allocate as much to the University.

President Frank stated that it would be spent down through various initiatives; it will not surpass $2 million.

Regent Gallegos asked if the budget is still to be constructed with the 1% across the board allocated to the Foundation, thus Departments could be talking about 2% affect.

President Frank confirmed that money would still need to be allocated to support the Foundation for the future, so it would equal an overall 2% impact.

Provost Abdallah stated that we are engaging the Education Advisory Board to try to do a survey of other institutions on what they do.

- Regent Gallegos would like to hear from the Deans and Faculty Members on what is a dramatic change in the budgeting process.
- Regent Koch would like commitment of a report on ROM in February to the Finance and Facilities Committee.

Comments from Regents (none)

Public Comment, specific to agenda items (none)

IV. Regent Committee Reports (Reports are included in BOR E-Book)

Finance and Facilities Committee, Regent James H. Koch, Chair


Liz Metzger gave the presentation. A financial report was prepared for the first quarter as of September 30, month end. The Instruction and General (I&G) Exhibit shows that tuition and fees
are up from the prior year. The Research Exhibit is being watched closely due to the government shutdown and the reduction of some federal agencies budgets. The Clinical Operations are up from last year. The overall result of the current operations report is an increase in revenue over expenditures of $56 million.

The Fiscal Watch Report, which was approved at the Regents’ Finance and Facilities meeting, is mandated by the Higher Education Department and is submitted quarterly. This report contains the same information as the Regents Consolidated Financial Report but includes Plant Funds and leaves out the Hospital. In response to Regent inquiry, some items are paid up front such as the Land, Building, and Equipment Rent under Plant Funds.

On Regent Quillen’s request for information on the use of balance, Andrew Cullen stated that when the books close on June 30, the remaining funds in any Department become carry forward funds. Many Fiscal Officers in these Departments budget all of those dollars in August, rather than what they anticipate using. This becomes problematic because it overstates the projected revenues and expenditures for that year. Departments are encouraged to only budget what they anticipate spending; however, that message has not gotten across very effectively.

In response to Regent inquiry, Mr. Cullen discussed the Capital Projects and stated that there are currently only two or three capital projects, the Biology facility (3rd and 1st floor build out), renovation of Clark Hall (Chemistry), and Phase 2 of the Math and Science facility. The total between the three projects is just under $30 million in Major Capital expenditures on Main Campus. A vast majority of the dollars lies in Minor Capital (BR&R), an area with anticipation of fully spending the dollars.

Consent Items (2, 3 & 4):
2. Disposition of Surplus Property for Main Campus on list dated 10/11/13
3. Approval of Appointment to Lobo Energy, Incorporated Board of Directors
4. Approval of Lobo Development Corporation Board of Directors

Motion to approve consent agenda items 2, 3 & 4 passed unanimously (1st Koch, 2nd Gallegos).

Action Items:
5. Approval of Capital Projects: Continuing Education South Wing Solar Power System

Jeff Zumwalt gave the presentation. Regents’ approval was requested for the capital project of the Continuing Education South Wing Solar Power System. This project will install an 81 kW solar power system on the roof of the Continuing Education South Wing. The project will cost $360,000, of which half of the funding is coming from Lobo Energy and Rio Grande Solar is donating the other half. The donation is in accordance with an RFP, which solicited matching funds for solar power projects. This will be the fifth solar power system installed at UNM since 2010, and will bring the total capacity to 354kW. The system will provide 32% of the building electrical needs and save $20,000 per year on the PNM electrical bill. Construction is anticipated to begin later this month.

In response to Regent inquiry, the entire campus has been assessed for the possibility of further solar installation. Various aspects need to be considered such as the age and structural integrity of the roof. There is a list of buildings to be considered, and as we replace the roofs, we will examine structural integrity at that time.

Motion to approve the capital project for the Continuing Education South Wing Solar Power System passed unanimously (1st Hosmer, 2nd Fortner).
6. Approval of Rio Grande & Bataan Hall Lease Purchase

Kate O’Neill and Fred Peralta gave the presentation. Regents’ approval was requested for the Rio Grande and Bataan Hall Lease Purchase. The UNM Taos Branch Campus continues to grow with 1857 students enrolled this fall, up 22% over that last 5 years. With the increased enrollment, there is an immediate need for space. The request for a lease of Rio Grande and Bataan Hall, at $1.00 for the first five years with the option to renew for another ten years and includes an option for purchase. During the lease a continued to review the facilities and due diligence will allow UNM Taos to become ready for purchase.

Per the request of Regent Koch, Fred Peralta, Town of Taos Councilmember, provided a background on the formation of the UNM Taos Branch Campus 20 years ago. It has been one of the fastest growing institutions in New Mexico for higher education. The Town of Taos believes that the two facilities next to the downtown campus would be a great asset to UNM Taos in improving their position in the community. The total square footage is 26,200 and previously operated as a convention center for Taos. The buildings no longer work in that capacity for Taos, but have great potential for the University to grow in a way that will enhance the community.

In response to Regent inquiry, the buildings are in good shape, but Bataan Hall would need a roof renovation. The initial remodel was in 1986, and another remodel occurred in 1998. The deferred maintenance is not necessary to do right away, and with the need for space the branch would occupy the buildings immediately. The Med Center facility is currently renting a building, but will ultimately move to this location with a renovation estimate close to $3.6 million to occupy. Any plans for capital projects will be presented to the Regents for approval.

Mr. Peralta stated that there is the local gross receipts tax in the only county in New Mexico that has that tax for educational purposes. These taxes are available to UNM Taos at this time and would cover the $3.6 million for the build-out of the facilities.

President Frank is supportive of this proposal and believes it is a great collaboration with the campus and the city. This branch campus is doing well and has great leadership.

Mr. Peralta stated that this is an important step forward for the University and for the town because it is also assisting the economy.

Motion to approve the Rio Grande & Bataan Hall Lease Purchase passed unanimously (1st Koch, 2nd Gallegos).

Information Item:

7. UNM – Los Alamos Campus Mil Levy Election Analysis and Response

Dr. Cedric Page gave the presentation. Information was provided on the UNM Los Alamos (LA) Campus Mil Levy Election. UNM LA went forward, with approval from the Board, with the Mil Levy Election on September 17. It was unsuccessful but did mark improvement from the previous Mil Levy Election, which is encouraging to UNM LA. An effective campaign was created and informed residents of Los Alamos of the role and impact of higher education in the community. An analysis on the Mil Levy Election was provided in the BOR meeting materials. Through exit polling and letters to the editor in the newspaper, one major setback was the general confusion about how higher education is funded in New Mexico. There was also confusion on the role with UNM Main Campus and the support for branch campuses. There tends to be a standard refrain of, “what is in it for me,” in the community regarding the reason to vote for the Mil Levy. These issues may be around the next time any vote for education arises. Moving forward, UNM LA has been working with the Advisory Board, faculty and staff to revise the strategic plan and readjust priorities. The focus will be on growing the campus and reaching students in Los Alamos and
Northern New Mexico with some very focused and specific degree programs. All in all, UNM LA learned a lot from the process and is thankful of the endorsement of efforts on the Mil Levy Election from the Board.

Health Sciences Board, Regent Suzanne Quillen, Chair

Action Items:

1. Resolution requesting approval of UNMH Contract with GE Healthcare IITS USA Corporation

Ava Lovell gave the presentation. Requesting Regents’ approval for the UNMH Contract with GE Healthcare IITS USA Corporation to purchase NuBoom Surgical Imaging equipment from GE Healthcare, which will replace equipment in Operating Room (OR) #7. This will make Operating Room #7 a fully integrated OR with audio/visual technology allowing physicians to have instant access to previously stored patient radiology images, and be able to record images during surgical procedures. The total cost is $569,000, from Novation Contracts, funded from UNM Hospital Capital Budget.

Motion to approve the UNMH Contract with GE Healthcare IITS USA Corporation passed unanimously (1st Quillen, 2nd James).

2. Resolution requesting Capital Project Approval for the following:

a) UNM Hospital, North Fourth Street Clinic – Architect and Engineering Approval

Ava Lovell gave the presentation. Requesting Regents’ approval for the UNM Hospital, North Fourth Street Clinic architect. This clinic will have 17,000 square feet, 22 exam rooms, and 1 procedure room. The model of care for the clinic is Patient Centered Medical Home, which stems from the Affordable Care Act. The architect, selected by RFP, was Fanning, Bard, and Tatum. The total cost for the funding for architect and engineering fees are $202,688, funded by the UNM Hospital Capital Improvements, which are UNM Hospital dollars set aside for capital improvements.

In response to Regent inquiry, the project is going to the State Board of Finance in November. This is a two-phased process; the first phase was to acquire the land, which is going before the State Board of Finance (SBOF) immediately, and the second phase will be the construction of the building. This is for approval contingent on the land acquisition and approval from the SBOF.

b) UNM Hospital, Laboratory Renovation

Ava Lovell gave the presentation. Requesting Regents’ approval for the architect for the UNM Hospital, Laboratory Renovation. This part of the laboratory was constructed in 1960s, with 11,800 square feet, and upgrades to electrical and HVAC are necessary as recommended by the accrediting body during the last inspection. The Architect, selected by RFP, was Gregory T. Hicks. The total cost for the architecture and engineering fees for the project is $155,000, funded by the UNM Hospital Capital Improvements.

c) UNM Hospital, Outpatient Physical Therapy Rehabilitation Clinic Renovation

Ava Lovell gave the presentation. Requesting Regents’ approval for Architect for the UNM Hospital, Outpatient Physical Therapy Rehabilitation Clinic Renovation. This project is to make the clinic ADA compliant, including new hardware and sink installations. We need to designate a clean holding closet and soiled closet. The Architect selected by RFP was Fanning, Bard, and Tatum. The total cost for the Architecture and
Engineering fees for the project are $50,278, funded by the UNM Hospital Capital Improvements.

- Regent Gallegos requested information as to how the Architects for the projects were selected, based on knowledge or the best price.

All motions to approve the Capital Projects passed unanimously:

a) UNM Hospital, North Fourth Street Clinic – Architect and Engineering Approval (1st Quillen, 2nd James)

b) UNM Hospital, Laboratory Renovation (1st Quillen, 2nd Gallegos).

c) UNM Hospital, Outpatient Physical Therapy Rehabilitation Clinic Renovation (1st Fortner, 2nd Quillen).

3. Request for Approval of the Nomination of Mr. Jerry Geist to the Sandoval Regional Medical Center, Inc. (SRMC, Inc.) Board of Directors, serving as a Class A Director whose term, per the Amended and Restated Bylaws of SRMC, Inc., will expire on June 30, 2016.

Regent Quillen gave the presentation. Requesting Regents’ approval for the nomination to the Sandoval Regional Medical Center Board of Directors. The UNMH Board of Trustees has approved Mr. Jerry Geist as a class A Director with his term expiring on June 30, 2016. His biography is included in the BOR meeting materials.

Motion to approve the nomination of Mr. Jerry Geist to the Sandoval Regional Medical Center, Inc. (SRMC, Inc.) Board of Directors, serving as a Class A Director whose term, per the Amended and Restated Bylaws of SRMC, Inc., will expire on June 30, 2016 passed unanimously (1st James, 2nd Fortner).

Information Items:

4. HSC Financial Update

Ava Lovell gave the presentation. A Health Science Center (HSC) financial update as of September 30 was provided to the Board. The metrics report was included in the BOR meeting materials, which is a high-level look at what is going on at HSC. This does include all units of the HSC including Component Units, the Medical Group, and the SRMC. The Operating Net Margin indicates the health of the operations of each unit and includes a five-year trend of each first quarter. This quarter the Academic part of HSC is about $6.7 million favorable driven by tuition booked on a semester basis. The UNM Medical Group on an operational basis is $952,000 favorable. UNM Hospitals is favorable at just above breakeven at $274,000. In addition, the SRMC is at $5.1 million loss. The report includes information on Net Income, which shows net margin and what we are doing one-time, such as spending use of balance. For Uncompensated Care, UNM Medical Group and Cancer Center is at $11 million, UNMH is at $49 million, and SRMC is at $2.2 million, which is leveling but not going down. Days Cash on Hand for the HSC is 5.93 days, UNM Medical Group is 48.60 days, UNMH is at 50.72 days, and SRMC is at 16.12 days. Accounts receivable greater than ninety days was discussed, HSC is at 3%, UNM Medical Group is at 16%, UNMH is at 28%, and SRMC is at 59%. The research metrics were also discussed.

5. Draft Proposal: Thresholds for HSC Board of Directors/UNM Board of Regents Approvals

Regent James and Ava Lovell gave the presentation. Information was provided to the Board on the draft proposal for new thresholds for HSC Board of Directors/UNM Board of Regents approvals. This is of interest to Regent Hosmer and as well was a topic of interest of former Regent Chalmers to re-look at what types of items need to come before the various Regent committees and full Board of Regents. This draft proposal is first look at possible changes to
thresholds for HSC Board of Directors and UNM Board of Regents approvals, which was last updated in the 1990s.

A table was presented which included the proposed changes to various policies. The first item, Financial Settlements proposed changes to Regents Policy 7.8, specifically on the dollar amount limits for financial settlements and the approvals needed for the various thresholds. Also discussed were the Academic Operations and financials, such as purchases, contracts, and leases.

None of this will affect any policies for the State Board of Finance, such as raising limits. However, Regent Koch stated he would like the Board of Regents to be informed of any item that will be going to the State Board of Finance.

President Frank stated that since he has become President, he has required that all financial settlements, regardless of the dollar amount, receive Presidential approval. President Frank would like to see any further documentation include that requirement, because there have not been cohesive conversations about that at the University.

Academic/Student Affairs & Research Committee, Regent Bradley Hosmer, Chair

1. Update from the Provost

Provost Chaouki Abdallah provided an update on current Academic Affairs initiatives. The Dean’s Retreat, which is an annual retreat, was held three weeks ago. A major topic for discussion was on the budget, specifically the new proposed ROM model. There was discussion on metrics for ROM on how to reallocate dollars while finding efficiencies, and ways to move forward.

There was a meeting in Washington DC for the Association of Public Land Grant Universities and the main discussion item there is about the affordability of higher education. UNM has been dealing with affordability as state funding and tuition have been under stress. The Vice President for Research is currently in Washington DC meeting with delegates regarding opportunities UNM can engage in.

Searches are currently being conducted for the new Dean of the Anderson School of Management, Dean of the College of Education, and Dean of University Libraries.

Action Item:

2. Approval of Posthumous Degree – Jessica S. Pabinquit

Dr. Richard Holder gave the presentation. Regents’ approval requested for the Posthumous Degree for Jessica S. Pabinquit. At the time Ms. Pabinquit passed away on June 5, 2013, she was a currently enrolled student in good standing. She had completed 128 credit hours, with 57 hours in her major of Theater. She was only 3 hours short of the Theater major requirements, and 4 hours short of Core Curriculum requirements. She is also eligible for a minor in Japanese, having completed 9 hours at the 200 level and a semester abroad in Japan. She was on the Dean’s list every semester since 2010. Ms. Pabinquit was a vibrant and active contributor to the Department of Theater and Dance and was exemplary of the finest students.

Motion to approve the Posthumous Degree for Jessica S. Pabinquit passed unanimously (1st Hosmer, 2nd Gallegos).

Information Items:
3. Research Enterprise Update

Dr. Johann van Reenan gave the presentation because Dr. Michael Dougher was in Washington DC to meet with the Secretary of Energy. An update was provided to the Board on the Research Enterprise. Currently, the state of research at UNM is good, and across campus, talented faculty and students are working on remarkable initiatives. A definition of research was provided, stating it refers to the entire range of artistic, literary, scholarly, scientific, engineering, health-related, and community focused creative activity. The term is not limited to just those activities that generate extramural funding or economic development. Over-emphasis on funded research and economic development undervalues the extraordinary accomplishments of a large portion of the University’s faculty.

At UNM, the funding structure for facilitating creative activities of faculty and students is dependent on available Facilities and Administrative (F&A) cost recovery. It is critical that UNM improve advertising accomplishments, remove many non-research obligations from the F&A budget, remove institutional obstacle to research, streamline/facilitate sponsored projects process, and maximize extramural funding opportunities. Since August 1, steps have been taken to accomplish several goals. Carlos Romero from Research Administration has been moved to work with the offices of Government Relations and Communications and Marketing to get the word out on UNM research accomplishments. Metrics will be reported to the Board of Regents. A chart was presented on UNM’s standing in National Science Foundation (NSF) Award trends from fiscal year 2008-2012.

In response to Regent inquiry, some research activities occupy space at the Science and Technology Park, specifically the Advanced Materials Lab, Management Technology Center, and Center for High Performance Materials.

4. Center for Teaching Excellence

Dr. Virginia Scharff and Aeron Haynie gave the presentation. Information was provided to the Board on the Center for Teaching Excellence. Aeron Haynie, the new Director for the Center for Teach Excellence, provided a background on the center. The paradigm change in higher education puts more focus on research and looks towards a new model of teaching excellence and effectiveness. This focuses on collaboration and using the scholarly rigor and methodology of individual disciplines to build evidence based teaching best practices. There is a shift in focus on building a community of teaching scholars, and the Center for Teaching Excellence proposes several initiatives such as the UNM Teaching Fellows (Pilot) Program and improving/expanding the training of graduate Teaching Assistants. Approaches to teaching are changing and innovation is necessary.

5. Update on Projects and Program Statistics, International Programs

MaryAnne Saunders gave the presentation. An update was provided to the Board on projects and program statistics with International Programs, which is now called the Global Education Office (GEO). New responsibilities for the office include recruiting and admitting international students, and providing services for international students here at UNM. Other responsibilities include the Center for English Language and American Culture (CELAC) and international agreements. The Global Education Office is taking guidelines and activities from UNM 2020, which is encourages international student enrollment and study abroad. Studying abroad has a positive impact for the students and the University. Graduation rates for students who have returned from study abroad experiences are higher than those who do not have that experience, specifically for at-risk students. Students tend to enhance their focusing skills during their study abroad experiences, which goes beyond developing foreign language capabilities. Additionally, the experience helps students become more independent, nuanced decision makers and increases employment opportunities.
International students are positive for both the University and the economy. The financial impact of international students in New Mexico in last year’s Open Doors Report was $72.3 million to the state, with a little over 3,200 international students statewide. UNM ranks at 39th out of the 50 states. International students in California brought in approximately $3.2 billion dollars. In Arizona, the economic impact of international students was $321.4 million. In terms of other financial benefits of bringing financial students in, 76% of patents at the top 10 patent producing universities had at least one foreign born inventor, 40% of Fortune 500 companies were founded by foreign born entrepreneurs. A survey conducted by Inc. Magazine reveled that first-generation immigrants were on the founding teams of roughly 52% of all tech companies in California. So bringing them to New Mexico, keeping them here and encouraging them to start companies in New Mexico would be great for the state.

For first year results, it typically takes 18 months to build a good pipeline for bringing international students in and the support services that are required. There was a growth of 21% in our international students, both at the undergraduate and graduate level. As Regent Overton mentioned, there needs to be a focus on services for students to not only bring them here, but also keep them here to graduation, and then maybe onto a graduate degree. There is an increased to our funded Fulbright scholars by 26%. We have brought in 30 students in the Brazilian Scientific Mobility Program, which is high prestige. We have 49 students sponsored by the Saudi Arabian cultural mission, and looking forward to bringing in up to 50 students from a sponsored program from Pakistan in Engineering, these are graduate students. We anticipate a number of students from Oman because of a relationship we have built with the consulate. The primary goals for FY15 are to continue the increase in international enrollments by 8% a year, while concentrating on providing services for them. We also want to improve the overall campus environment and improve the infrastructure here for international students. We intend to increase student mobility via study abroad participation. We intend to do that especially by stressing short-term faculty led programs, which might answer some of the students concerns about the cost of study abroad. Short-term programs are typically easier for students to handle financially. We are looking into many support programs for our students for study abroad.

Regent Koch stated that he has had positive interactions with many international students here at UNM.

6. Financial Aid Update

Terry Babbitt gave the presentation. A brief overview of financial aid distribution and procedures at the University, with a focus on institutional aid, was provided to the Board. The total financial aid picture was provided, amounting to $258 million distributed scholarships by type of aid, scholarships, grants, self-help and loans. Institutional aid is aid that can be administered by the University with some discretion. The overview of federal grants, federal loans, and scholarships, such as the Lottery Scholarship, are dictated to be administered in a certain manner. Goals of institutional aid include contributing to student persistence and completion, support university enrollment goals, and improve academic profile by attracting top students. For need based contributions to students from institutional aid, there is a little over $2 million available which is determined through federal needs analysis. Essentially, 64% of students from traditionally underrepresented groups receive money that we use on a need basis, the need based component. There is a merit based aid component, which are centrally administered at the University. The Academic Profile includes, higher-level scholarships in terms of credentials very high test scores, grade point averages, and high graduation rates. The UNM Bridge to Success program is the largest investment at $2.5 million, which is not merit or need based, and has the lowest academic profile. A goal is to work on getting better outcomes for that investment. There is a mix need between merit and need based in institutional aid. The academic profile goal is to increase the entrance level criteria for students to get to the average of peer institutions. This data is representative of traditional entering students.
Audit Committee, Regent Gene Gallegos, Chair

Information Items: Meeting Summary from October 25, 2013

Regent Gallegos provided a summary of the Audit and Compliance Committee Meeting held on October 25, 2013 and the official minutes were included in the BOR Meeting materials. There was discussion on the Compliance Office and the responsibilities, authorities, and reporting, but were unable to agree on Regent Policies that have been adopted. Work will begin on developing a Code of Ethics for the Main Campus. Regent Hosmer fully endorses the development of a Code of Ethics.

- Regent Gallegos requested that Chief HSC Compliance Officer, Stuart Freedman, along with HSC Compliance Investigator, Monica Wilson, give their presentation, that which was presented at the October 25, 2013 Audit Committee Meeting, on the HSC Code of Ethics at the December Board of Regents Meeting.

Comments from Regents’ Advisors (reports received are included in BOR E-Book)

ASUNM, President Isaac Romero President Romero provided an update on current initiatives for ASUNM. Mr. Romero stated that a meeting would occur Saturday at UNM to bring student leadership from eight colleges to finalize the proposals for the Lottery Scholarship. There has been a proposal on back loading to create solvency and motivate students to be successful, which is one initiative the group is moving toward.

Regent James thanked Mr. Romero for his involvement on the Lottery Scholarship issue.

GPSA, President Priscila Poliana President Poliana gave an update on current initiatives for GPSA. Ms. Poliana stated that GPSA is excited to be working with UNM Administration on the new wellness center. Forums are taking place this semester for students to provide input. Ms. Poliana believes that the new wellness center will add to student life and comradery. Ms. Poliana discussed the Student Account Billing Suite and the issues that students encounter. The hope is that it will be enhanced by the beginning by next semester so that all students can reasonably read their bill. Ms. Poliana stated that graduate students faced a large tuition increase with the elimination of the block, and she would like to the Regents’ to consider the reinstatement of the tuition block for graduate students. A fundraising campaign has been launched for graduate students through the UNM Foundation.

Faculty Senate, President Richard Holder President Holder gave an update on current initiatives for Faculty Senate. Dr. Holder stated that for ROM, he believes that the faculty is progressive in terms of change, but 1% holdback, although small could be detrimental for many departments. Mr. Holder is supportive of ROM but feels that taking money from weaker departments to give to the strong may not be positive, as he believes there should be focus on helping the weaker departments. Dr. Holder also mentioned that with the news that Blue Cross Blue Shield is purchasing Lovelace insurance plans, there are many questions among the faculty, so information sessions would be helpful.

Staff Council, President Gene Henley President Henley gave an update on current initiatives and activities for Staff Council. Mr. Henley stated Staff Council is supportive of the President’s initiative in concept, but a 1% holdback could be detrimental to many departments. Mr. Henley discussed the Sarah Belle Brown Service Awards and mentioned that with the recent acquisition of Lovelace insurance plans by Blue Cross Blue Shield, he is thankful for HR’s quick relay of information to campus.


V. Public Comment (none)

VI. Vote to close the meeting and to proceed into Executive Session

Motion to close the meeting and proceed into Executive Session passed unanimously at 11:47 a.m. (1st Hosmer, 2nd Quillen).

Executive Session (11:48 a.m. – 2:23 p.m.)


2. Discussion and determination where appropriate of threatened or pending litigation pursuant to Section 10-15-1.H (7), NMSA (1978).

3. Discussion and determination where appropriate of the purchase, acquisition or disposal of real property pursuant to Section 10-15-1.H (8), NMSA (1978)

VII. Vote to re-open the meeting and certify that only the matters described in Agenda Item VI were discussed in Executive Session and, if necessary, final action with regard to those matters will be taken in Open Session.

Motion to open the meeting at 2:24pm, there was unanimous approval from Regents to open the meeting and certify that only those matters described in Agenda Item VI were discussed in closed session and there were no items upon which to take final action in open session (1st James, 2nd Hosmer).

VIII. Vote to Adjourn

Motion to adjourn the meeting passed unanimously at 2:25 p.m. (1st Fortner, 2nd James).

__________________________________________  ________________________________
Jack L. Fortner, President               Bradley C. Hosmer, Secretary/Treasurer
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Gene Henley, President, UNM Staff Council
Richard Holder, President, UNM Faculty Senate
Priscila Poliana, President, UNM GPSA
Isaac Romero, President, ASUNM
Randy Royster, President UNM Alumni Association, (Written Report), ...............................B

V. Public Comment (limit 3 min. per speaker)
VI. Vote to close the meeting and proceed in Executive Session

**Executive Session**

1. Discussion and determination where appropriate of limited personnel matters pursuant to Section 10-15-1.H(2), NMSA (1978)
2. Discussion and determination where appropriate of threatened or pending litigation pursuant to Section 10-15-1.H(7), NMSA (1978)
3. Discussion and determination where appropriate of the purchase, acquisition or disposal of real property pursuant to Section 10-15-1.H(8), NMSA (1978)

VII. Vote to re-open the meeting and certification that only those matters described in Agenda Item VI were discussed in Executive Session and if necessary, final action with regard to those matters will be taken in Open Session.

VIII. Vote to Adjourn

IX. Addendum: Minutes approved at the HSC Board of Directors 12/06/13 Meeting ............28
   a. HSC Board of Directors November 8, 2013 Meeting
   b. UNM Hospitals Board of Trustees October 25, 2013 Meeting
   c. UNM Sandoval Regional Medical Center, Inc. Board of Directors October 16, 2013 Meeting
   d. UNM Carrie Tingley Hospital Advisory Board September 23, 2013 Meeting
The President’s Administrative Report
will be presented at the meeting
Executive Budget Summary
University of New Mexico Consolidated Financial Report
FY 2014 UNM Operating Budget

This report covers current fund operations for the University, including Main Campus, Branch Campuses and HSC Campus.

Instruction and General operations (approximately 90% of this operation resides on Main Campus) projected a use of prior year balances of $12.2M for the FY 2014 UNM Operating Budget. This $12.2M use of balance is comprised of a $11.7M use of balance at the Main Campus, a $489K use of balance at the Branch Campuses and a use of balance at the HSC Campus of $21K. The $11.7M use of balance at Main Campus is primarily due to $3.0M of one-time monies funding the I&G Budget, Administrative units budgeting approximately $1.5M of prior year balances and Academic Affairs budgeting approximately $7.2M of prior year balances.

The next block of information shows our Unrestricted Research operations. The activity in these operations is essentially 50% Main Campus and 50% HSC Campus. The FY 2014 UNM Operating Budget showed a use of prior year balances of $10.6M, of which a $5.6M use of prior year balance is related to Main Campus and a $5.0M use of prior year balance is related to HSC Campus. The $5.6M use of balance at Main Campus is primarily due to Academic Affairs departments budgeting prior year balances. At the HSC Campus approximately $203K of balances were budgeted by SOM Departments to support Chairs' Letter of Offer packages. The College of Pharmacy budgeted $348K for faculty bridge funding and faculty start-up funding. Additionally, at the HSC $4.3M is budgeted for various capital projects during FY 2014.

The third business category shown on the first page of this report is Unrestricted Public Service. The operations in this category include special projects funded by State Appropriations, for example Project ECHO, and non-endowed gifts flowing from the UNM Foundation to departments and many small events funded by user fees. The FY 2014 UNM Operating Budget projected a favorable net margin of $1.5M. This favorable budgeted net margin is comprised of a $3.9M use of prior year balances at the Main and Branch Campuses and a favorable net margin of $5.4M at the HSC Campus. The $3.9M use of balance at the Main and Branch Campuses is primarily due to Academic Affairs departments budgeting prior year balances. There are $3.4M of budgeted prior year balances within non-endowed spending indices and approximately $500K of budgeted prior year balances within Academic Affairs General Public Service indices. The favorable net margin budgeted at the HSC Campus is primarily due to Patient Revenues.
Page 2 of this report begins with the **Student Aid** function. The FY 2014 UNM Operating Budget projected a use of prior year balances of $8.8M. This use of prior year balances is comprised of a $7.0M use of balance at the Main and Branch Campuses and a budgeted use of balance of $1.8M at the HSC Campus. The budgeted use of balance at Main Campus is primarily due to Academic Affairs departments and Enrollment Management budgeting prior year balances within endowed spending indices for the payout of major and departmental scholarships in the new fiscal year.

**Student Activities** are the operations of Student Government and Student organizations. The FY 2014 UNM Operating Budget shows a use of prior year balances of $122K.

**Auxiliaries and Athletics**
The FY 2014 UNM Operating Budget for Auxiliaries and Athletics projected a use of prior year balances of $237K. This use of balance is primarily due to a combination of Athletics budgeting a $65K use of balance, AVP Ops/Student Life budgeting a use of balance of $131K and the Branch Campuses budgeting a use of balance of $41K.

**Sponsored programs** operations are our contract and grant research and public service projects funded by outside agencies and companies. These operations will always record a zero net revenue over expense by the nature of their funding. The agency pays for a service or research project, and normally any unspent funds must be returned to the agency.

The next block of numbers on the third page is a summary of our **Clinical** operations. These operations are essentially all the patient care activities of the HSC Campus, including the UNM Hospitals, SOM physician professional services, Cancer Center operations and Housestaff/Medical Residents who are being trained in the UNM and VA hospitals. The FY 2014 UNM Operating Budget projected a use of prior year balances of $8.1M. UNM Hospitals budgeted a favorable net margin of $13K. The major factor contributing to this use of balance is the School of Medicine budgeting a use of balance of $8.1M for FY 2014. The budgeted use of balance is primarily due to the SOM Chair Packages for FY 2014.
University of New Mexico - Consolidated Total Operations Current Funds

<table>
<thead>
<tr>
<th></th>
<th>FY 2014 Full Year Operating Budget</th>
<th>FY 2014 Year-to-Date Actual</th>
<th>Fiscal YTD Favorable/(Unfavorable) Budget</th>
<th>Actual to Budget Benchmark Rate</th>
<th>FY 2013 Year-to-Date Actual</th>
<th>FY 2014 YTD Actual Change From FY 2013 YTD Actual</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Instruction and General</strong></td>
<td></td>
<td></td>
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<td></td>
<td></td>
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<tr>
<td>Tuition and Fees Revenues</td>
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<td></td>
<td></td>
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<td></td>
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<tr>
<td>Main Campus</td>
<td>153,710,683</td>
<td>82,654,990</td>
<td>(71,055,693)</td>
<td>54%</td>
<td>79,062,546</td>
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<td>HSC Campus</td>
<td>13,605,343</td>
<td>7,089,856</td>
<td>(6,515,487)</td>
<td>52%</td>
<td>6,474,705</td>
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<td>Total Tuition and Fees Revenues</td>
<td>175,644,479</td>
<td>94,143,046</td>
<td>(81,501,433)</td>
<td>54%</td>
<td>89,061,345</td>
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<td>State/Local Appropriations</td>
<td>271,068,741</td>
<td>90,200,379</td>
<td>(180,868,362)</td>
<td>33%</td>
<td>86,046,926</td>
<td>4,153,453</td>
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<td>F &amp; A Revenues</td>
<td>40,300,000</td>
<td>14,900,525</td>
<td>(25,399,475)</td>
<td>37%</td>
<td>14,387,207</td>
<td>513,158</td>
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<tr>
<td>Transfers</td>
<td>(49,037,357)</td>
<td>(18,968,771)</td>
<td>30,050,586</td>
<td>39%</td>
<td>(22,483,048)</td>
<td>3,496,277</td>
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<td>Other Revenues</td>
<td>18,019,852</td>
<td>7,742,866</td>
<td>(10,276,986)</td>
<td>43%</td>
<td>8,010,355</td>
<td>(267,489)</td>
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<td>Total Instruction and General Revenues</td>
<td>455,995,715</td>
<td>188,000,045</td>
<td>(267,995,670)</td>
<td>41%</td>
<td>175,824,785</td>
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<td>Salaries</td>
<td>280,556,884</td>
<td>91,659,240</td>
<td>188,897,644</td>
<td>33%</td>
<td>86,634,013</td>
<td>(3,025,227)</td>
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<td>Benefits</td>
<td>92,631,618</td>
<td>29,218,199</td>
<td>63,413,419</td>
<td>32%</td>
<td>26,986,137</td>
<td>(2,232,062)</td>
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<td>Other Expenses</td>
<td>95,042,322</td>
<td>28,656,200</td>
<td>66,386,122</td>
<td>30%</td>
<td>27,262,009</td>
<td>(1,394,191)</td>
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<td>Total Instruction and General Expenses</td>
<td>468,230,824</td>
<td>149,533,639</td>
<td>318,697,185</td>
<td>32%</td>
<td>142,882,159</td>
<td>(6,651,480)</td>
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<td>Net Instruction and General Revenue/(Expense)</td>
<td>(12,235,109)</td>
<td>38,466,406</td>
<td>50,701,515</td>
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<td>32,942,626</td>
<td>5,523,780</td>
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<td><strong>Research</strong></td>
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<tr>
<td>State/Local Appropriations</td>
<td>10,292,896</td>
<td>3,679,127</td>
<td>(6,613,769)</td>
<td>36%</td>
<td>3,489,357</td>
<td>189,770</td>
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<td>Transfers</td>
<td>25,678,299</td>
<td>6,233,484</td>
<td>(19,444,815)</td>
<td>24%</td>
<td>9,056,569</td>
<td>(2,823,085)</td>
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<td>Other Revenues</td>
<td>4,237,463</td>
<td>726,263</td>
<td>(3,511,200)</td>
<td>17%</td>
<td>775,684</td>
<td>(49,401)</td>
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<td>Total Research Revenues</td>
<td>40,208,658</td>
<td>10,638,874</td>
<td>(29,569,784)</td>
<td>26%</td>
<td>13,321,590</td>
<td>(2,662,716)</td>
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<td>28,682,475</td>
<td>8,578,352</td>
<td>20,104,123</td>
<td>30%</td>
<td>8,922,793</td>
<td>344,411</td>
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<td>Other Expenses</td>
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<td>5,270,182</td>
<td>16,861,794</td>
<td>24%</td>
<td>5,978,692</td>
<td>708,510</td>
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<td>Total Research Expenses</td>
<td>50,814,451</td>
<td>13,848,534</td>
<td>36,965,917</td>
<td>27%</td>
<td>14,901,485</td>
<td>1,052,951</td>
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<tr>
<td>Net Research Revenue/(Expense)</td>
<td>(10,605,793)</td>
<td>(3,209,660)</td>
<td>7,396,133</td>
<td></td>
<td>(1,579,895)</td>
<td>(1,629,765)</td>
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<tr>
<td><strong>Public Service</strong></td>
<td></td>
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<tr>
<td>State/Local Appropriations</td>
<td>3,667,844</td>
<td>1,222,116</td>
<td>(2,445,728)</td>
<td>33%</td>
<td>1,166,984</td>
<td>55,132</td>
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<td>Sales and Services Revenues</td>
<td>17,443,110</td>
<td>5,001,097</td>
<td>(12,442,013)</td>
<td>29%</td>
<td>5,182,060</td>
<td>(180,963)</td>
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<td>Gifts</td>
<td>6,296,995</td>
<td>2,435,242</td>
<td>(3,861,753)</td>
<td>29%</td>
<td>2,520,528</td>
<td>(85,286)</td>
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<td>Transfers</td>
<td>2,082,045</td>
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<td>(698,965)</td>
<td>67%</td>
<td>539,040</td>
<td>855,040</td>
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<td>Other Revenues</td>
<td>5,305,384</td>
<td>1,879,175</td>
<td>(3,426,209)</td>
<td>35%</td>
<td>1,401,050</td>
<td>478,125</td>
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<td>Total Public Service Revenues</td>
<td>36,795,378</td>
<td>11,931,710</td>
<td>(24,863,668)</td>
<td>32%</td>
<td>10,809,662</td>
<td>1,122,048</td>
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<tr>
<td>Salaries and Benefits</td>
<td>17,842,880</td>
<td>6,935,834</td>
<td>10,907,046</td>
<td>39%</td>
<td>6,830,730</td>
<td>(105,104)</td>
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<td>Other Expenses</td>
<td>17,496,965</td>
<td>5,225,011</td>
<td>12,271,954</td>
<td>30%</td>
<td>4,512,277</td>
<td>(712,734)</td>
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<tr>
<td>Total Public Service Expenses</td>
<td>35,339,845</td>
<td>12,160,845</td>
<td>23,179,000</td>
<td>34%</td>
<td>11,343,007</td>
<td>(817,838)</td>
</tr>
<tr>
<td>Net Public Service Revenue/(Expense)</td>
<td>1,455,533</td>
<td>(229,135)</td>
<td>(1,684,688)</td>
<td></td>
<td>(533,345)</td>
<td>304,210</td>
</tr>
</tbody>
</table>
## University of New Mexico - Consolidated Total Operations Current Funds

### FY 2014 Full Year Operating Budget | FY 2014 Year-to-Date Actual | Fiscal YTD Favorable/(Unfavorable) | Actual to Budget Benchmark Rate
--- | --- | --- | ---
**Student Aid**
Gifts | 3,898,881 | 1,505,531 | (2,393,350) | 39% | 1,718,581 | (213,050)
State Lottery Scholarship | 37,485,948 | 18,742,974 | (18,742,974) | 50% | 15,930,585 | 2,812,389
Transfers | 15,276,031 | 5,430,196 | (9,845,835) | 36% | 5,463,153 | (32,957)
Other Revenues | 1,287,262 | 242,849 | (1,044,413) | 19% | 341,095 | (98,246)
Total Student Aid Revenues | 57,918,122 | 25,921,550 | (31,996,572) | 45% | 23,453,414 | 2,468,136

**Salaries and Benefits**
| 3,659,692 | 1,627,032 | 2,032,660 | 44% | 1,455,179 | (171,853)

**Other Expenses**
| 63,022,380 | 29,671,582 | 33,350,798 | 47% | 27,362,700 | (2,308,882)

Total Student Aid Expenses | 66,682,072 | 31,298,614 | 35,383,458 | 47% | 28,817,879 | (2,480,735)

Net Student Aid Revenue/(Expense) | (8,763,950) | (5,377,064) | 3,386,886 | (5,364,465) | (12,599)

### Student Activities

**Fee Revenues**
| 6,266,414 | 3,197,405 | (3,069,009) | 51% | 2,497,383 | 700,022

**Salaries and Services Revenues**
| 1,448,714 | 594,255 | (854,459) | 41% | 598,351 | (4,096)

Transfers
| 458,483 | 23,727 | (434,756) | 5% | 328,083 | (304,356)

Other Revenues
| 74,024 | 25,602 | (48,422) | 35% | 34,600 | (8,998)

Total Student Activities Revenues | 8,247,635 | 3,840,989 | (4,406,646) | 47% | 3,458,417 | 382,572

**Salaries and Benefits**
| 4,185,076 | 1,539,149 | 2,645,927 | 37% | 1,633,523 | 94,374

**Other Expenses**
| 4,184,468 | 1,308,055 | 2,876,413 | 31% | 1,338,328 | 30,273

Total Student Activities Expenses | 8,369,544 | 2,847,204 | 5,522,340 | 34% | 2,971,851 | 124,647

Net Student Activities Revenue/(Expense) | (121,909) | 993,785 | 1,115,694 | 486,566 | 507,219

### Auxiliaries and Athletics

**Branch Campuses Auxiliary Revenues**
| 2,582,001 | 1,044,617 | (1,537,384) | 40% | 1,310,964 | (266,347)

**Main Campus Auxiliaries Revenues**
| 53,874,662 | 24,772,631 | (29,102,031) | 46% | 26,485,163 | (1,712,532)

**Athletics Revenues**
| 31,193,563 | 10,506,640 | (20,686,923) | 34% | 10,916,779 | (410,139)

Total Auxiliaries and Athletics Revenues | 87,650,226 | 36,323,888 | (51,326,338) | 41% | 38,712,906 | (2,389,016)

**Branch Campuses Auxiliary Expenses**
| 2,623,366 | 896,588 | 1,724,778 | 34% | 1,282,043 | 383,455

**Main Campus Auxiliary Expenses**
| 54,005,162 | 17,942,972 | 36,062,190 | 33% | 22,921,005 | 4,978,033

**Athletics Expenses**
| 31,258,763 | 12,308,659 | 18,950,104 | 39% | 12,329,396 | 20,737

Total Auxiliaries and Athletics Expenses | 87,887,291 | 31,150,219 | 56,737,072 | 35% | 36,532,444 | 5,382,225

Net Auxiliaries and Athletics Revenue/(Expense) | (237,065) | 5,173,669 | 5,410,734 | 2,180,462 | 2,993,207
### University of New Mexico - Consolidated Total Operations Current Funds

#### FY 2014 vs FY 2013

<table>
<thead>
<tr>
<th>Category</th>
<th>FY 2014 Full Year Operating Budget</th>
<th>FY 2014 Year-to-Date Actual</th>
<th>Fiscal YTD Favorable/(Unfavorable) Budget</th>
<th>FY 2013 Year-to-Date Actual</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sponsored Programs</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Federal Grants and Contracts Revenues</td>
<td>245,218,468</td>
<td>91,236,751</td>
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<td>8,976,675</td>
<td>(23,006,437)</td>
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<td>Non-Governmental Grants and Contracts Revenues</td>
<td>29,505,707</td>
<td>11,770,236</td>
<td>(17,735,471)</td>
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<tr>
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<td>-</td>
<td>64,580</td>
<td>64,580</td>
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<tr>
<td>Transfers</td>
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<td>1,846,147</td>
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<td>4,000</td>
<td>(4,000)</td>
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<td></td>
</tr>
<tr>
<td>Total Sponsored Programs Revenues</td>
<td>310,452,104</td>
<td>114,304,578</td>
<td>(196,147,526)</td>
<td>37% 121,530,712</td>
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<tr>
<td>Salaries and Benefits</td>
<td>144,253,271</td>
<td>45,011,515</td>
<td>99,241,756</td>
<td>31% 43,069,912</td>
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<td>Other Expenses</td>
<td>166,198,833</td>
<td>69,293,063</td>
<td>96,905,770</td>
<td>42% 78,460,800</td>
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<td>Total Sponsored Programs Expenses</td>
<td>310,452,104</td>
<td>114,304,578</td>
<td>196,147,526</td>
<td>37% 121,530,712</td>
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<tr>
<td>Net Sponsored Programs Revenue/(Expense)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Clinical Operations</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>State/Local Appropriations</td>
<td>25,531,808</td>
<td>8,318,602</td>
<td>(17,213,206)</td>
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<td>Physician Professional Fee Revenues</td>
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<td>35,193,507</td>
<td>(72,838,535)</td>
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<tr>
<td>Hospital Facility Revenues</td>
<td>684,748,925</td>
<td>229,605,374</td>
<td>(455,143,551)</td>
<td>34% 212,268,111</td>
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<tr>
<td>Other Patient Revenues, net of Allowance</td>
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<td>31,626,839</td>
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<td>Mil Levy</td>
<td>91,886,992</td>
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<td>(61,519,054)</td>
<td>33% 30,325,739</td>
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<td>2,499,811</td>
<td>31% 2,590,871</td>
</tr>
<tr>
<td>Gifts</td>
<td>3,435,064</td>
<td>1,784,551</td>
<td>(1,650,513)</td>
<td>52% 2,021,285</td>
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<tr>
<td>Housestaff Revenues</td>
<td>32,457,691</td>
<td>10,810,897</td>
<td>(21,646,794)</td>
<td>33% 10,981,080</td>
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<tr>
<td>Other Revenues</td>
<td>17,842,766</td>
<td>9,313,642</td>
<td>(8,529,124)</td>
<td>52% 5,324,885</td>
</tr>
<tr>
<td>Total Clinical Operations Revenues</td>
<td>1,066,103,682</td>
<td>360,788,052</td>
<td>(707,315,630)</td>
<td>34% 338,113,339</td>
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<tr>
<td>Salaries and Benefits</td>
<td>607,730,885</td>
<td>199,160,921</td>
<td>408,569,964</td>
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<tr>
<td>Interest Expense</td>
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<td>2,765,483</td>
<td>5,936,033</td>
<td>32% 2,983,498</td>
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<tr>
<td>Housestaff Expenses</td>
<td>32,457,691</td>
<td>11,379,569</td>
<td>21,078,122</td>
<td>35% 10,690,400</td>
</tr>
<tr>
<td>Other Expenses</td>
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<td>148,561,291</td>
<td>278,795,902</td>
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<tr>
<td>Total Clinical Operations Expenses</td>
<td>1,076,247,285</td>
<td>361,867,264</td>
<td>714,380,021</td>
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<tr>
<td>Net Clinical Operations Revenue/(Expense)</td>
<td>(8,143,603)</td>
<td>(1,079,212)</td>
<td>7,064,391</td>
<td>(5,499,509)</td>
</tr>
<tr>
<td>Contingencies</td>
<td></td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>Total Contingency Revenues</td>
<td>15,130,454</td>
<td>-</td>
<td>(15,130,454)</td>
<td>0% -</td>
</tr>
<tr>
<td>Total Contingency Expenses</td>
<td>5,817,161</td>
<td>-</td>
<td>(5,817,161)</td>
<td>0% -</td>
</tr>
<tr>
<td>Net Contingencies Revenue/(Expense)</td>
<td>9,313,293</td>
<td>-</td>
<td>(9,313,293)</td>
<td>-</td>
</tr>
<tr>
<td>Net Current Revenue/(Expense)</td>
<td>(29,338,603)</td>
<td>34,738,789</td>
<td>64,077,392</td>
<td>22,632,440</td>
</tr>
</tbody>
</table>
# University of New Mexico - Consolidated Total Operations Current Funds

## FY 2014

<table>
<thead>
<tr>
<th></th>
<th>FY 2014 Full Year Operating Budget</th>
<th>FY 2014 Year-to-Date Actual</th>
<th>Fiscal YTD Favorable/(Unfavorable)</th>
<th>Actual to Budget Benchmark Rate</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total Athletics Revenues</td>
<td>31,193,563</td>
<td>10,506,640</td>
<td>(20,686,923)</td>
<td>34%</td>
</tr>
<tr>
<td>Athletics Revenues</td>
<td>34,732,446</td>
<td>11,631,285</td>
<td>(23,101,161)</td>
<td>33%</td>
</tr>
<tr>
<td>Athletics Transfers</td>
<td>(3,538,883)</td>
<td>(1,124,645)</td>
<td>2,414,238</td>
<td>32%</td>
</tr>
<tr>
<td>Athletics Expenses</td>
<td>31,258,763</td>
<td>12,308,659</td>
<td>18,950,104</td>
<td>39%</td>
</tr>
<tr>
<td>Salaries and Benefits</td>
<td>13,671,699</td>
<td>4,867,012</td>
<td>8,804,687</td>
<td>36%</td>
</tr>
<tr>
<td>Grant-in-Aid</td>
<td>3,976,253</td>
<td>1,861,614</td>
<td>2,114,639</td>
<td>47%</td>
</tr>
<tr>
<td>Other Expenses</td>
<td>13,610,811</td>
<td>5,580,033</td>
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<td>41%</td>
</tr>
<tr>
<td>Net Athletics Revenue/(Expense)</td>
<td>(65,200)</td>
<td>(1,802,019)</td>
<td>(1,736,819)</td>
<td>(1,412,617)</td>
</tr>
<tr>
<td>Results of Athletics Operations:</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
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<td>8,030,778</td>
<td>41%</td>
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<td>13,610,811</td>
<td>5,580,033</td>
<td>8,030,778</td>
<td>41%</td>
</tr>
<tr>
<td>Net Athletics Revenue/(Expense)</td>
<td>(65,200)</td>
<td>(1,802,019)</td>
<td>(1,736,819)</td>
<td>(1,412,617)</td>
</tr>
<tr>
<td>Results of Auxiliary Operations:</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Bookstore Revenues</td>
<td>17,992,468</td>
<td>7,831,671</td>
<td>(10,160,797)</td>
<td>44%</td>
</tr>
<tr>
<td>Bookstore Transfers</td>
<td>(350,000)</td>
<td>(116,667)</td>
<td>233,333</td>
<td>33%</td>
</tr>
<tr>
<td>Total Bookstore Revenues</td>
<td>17,642,468</td>
<td>7,715,004</td>
<td>(9,927,464)</td>
<td>44%</td>
</tr>
<tr>
<td>Net Bookstore Revenue/(Expense)</td>
<td>-</td>
<td>852,676</td>
<td>852,676</td>
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</tr>
<tr>
<td>Faculty &amp; Staff Club Revenues</td>
<td>82,000</td>
<td>52,061</td>
<td>(29,939)</td>
<td>63%</td>
</tr>
<tr>
<td>Faculty &amp; Staff Club Expenses</td>
<td>82,000</td>
<td>21,515</td>
<td>60,485</td>
<td>26%</td>
</tr>
<tr>
<td>Net Faculty &amp; Staff Club Revenue/(Expense)</td>
<td>-</td>
<td>30,546</td>
<td>30,546</td>
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</tr>
<tr>
<td>Golf Courses Revenues</td>
<td>2,294,163</td>
<td>827,562</td>
<td>(1,466,601)</td>
<td>36%</td>
</tr>
<tr>
<td>Golf Courses Transfers</td>
<td>(39,252)</td>
<td>(12,772)</td>
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<tr>
<td>Total Golf Courses Revenues</td>
<td>2,254,911</td>
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<tr>
<td>Housing Revenues</td>
<td>11,250,500</td>
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<tr>
<td>Housing Transfers</td>
<td>2,485,566</td>
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<td>1,774,153</td>
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<tr>
<td>Total Housing Revenues</td>
<td>8,764,934</td>
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<tr>
<td>Total Housing Expense</td>
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<tr>
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<td>-</td>
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</table>

## FY 2013

<table>
<thead>
<tr>
<th></th>
<th>FY 2013 Year-to-Date Actual</th>
<th>FY 2014 YTD Actual Change From FY 2013 YTD Actual</th>
</tr>
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<tbody>
<tr>
<td>Total Athletics Revenues</td>
<td>13,381,309</td>
<td>(1,750,024)</td>
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<tr>
<td>Athletics Revenues</td>
<td>13,381,309</td>
<td>(1,750,024)</td>
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<tr>
<td>Athletics Transfers</td>
<td>(2,464,530)</td>
<td>1,339,885</td>
</tr>
<tr>
<td>Athletics Expenses</td>
<td>10,916,779</td>
<td>(410,139)</td>
</tr>
<tr>
<td>Salaries and Benefits</td>
<td>4,984,339</td>
<td>117,327</td>
</tr>
<tr>
<td>Grant-in-Aid</td>
<td>1,728,389</td>
<td>(133,225)</td>
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<td>Other Expenses</td>
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<td>Total Bookstore Revenue</td>
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<tr>
<td>Faculty &amp; Staff Club Revenues</td>
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<td>Faculty &amp; Staff Club Expenses</td>
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<td>Golf Courses Revenues</td>
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<td>Golf Courses Transfers</td>
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<tr>
<td>Net Housing Revenue/(Expense)</td>
<td>(331,473)</td>
<td>3,014,151</td>
</tr>
<tr>
<td>Other Revenues</td>
<td>40,000</td>
<td></td>
</tr>
<tr>
<td>Other Transfers</td>
<td>250,000</td>
<td></td>
</tr>
<tr>
<td>Total Other Revenues</td>
<td>290,000</td>
<td></td>
</tr>
<tr>
<td>Total Other Expense</td>
<td>(7,901)</td>
<td></td>
</tr>
<tr>
<td>Net Other Revenue/(Expense)</td>
<td>282,099</td>
<td></td>
</tr>
</tbody>
</table>
### University of New Mexico - Consolidated Total Operations Current Funds

<table>
<thead>
<tr>
<th>FY 2014 Full Year Operating Budget</th>
<th>FY 2014 Year-to-Date Actual</th>
<th>Fiscal YTD Favorable/(Unfavorable)</th>
<th>Actual to Budget Benchmark Rate</th>
<th>FY 2013 Year-to-Date Actual</th>
<th>FY 2014 YTD Actual Change From FY 2013 YTD Actual</th>
</tr>
</thead>
<tbody>
<tr>
<td>Parking and Transportation Revenues</td>
<td>8,442,677</td>
<td>4,884,317</td>
<td>(3,558,360)</td>
<td>58%</td>
<td>4,589,819</td>
</tr>
<tr>
<td>Parking and Transfers</td>
<td>(2,357,537)</td>
<td>(993,989)</td>
<td>1,363,568</td>
<td>29%</td>
<td>(689,815)</td>
</tr>
<tr>
<td>Total Parking and Trans Revenues</td>
<td>6,085,140</td>
<td>4,890,326</td>
<td>(1,194,792)</td>
<td>69%</td>
<td>3,905,004</td>
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<tr>
<td>Total Parking and Trans Expenses</td>
<td>6,085,140</td>
<td>1,964,934</td>
<td>4,120,206</td>
<td>32%</td>
<td>1,953,142</td>
</tr>
<tr>
<td>Net Parking and Trans Revenue/(Expense)</td>
<td>-</td>
<td>-</td>
<td>2,225,414</td>
<td>-</td>
<td>2,225,414</td>
</tr>
<tr>
<td>Popejoy Events Revenues</td>
<td>4,444,649</td>
<td>438,862</td>
<td>(4,005,787)</td>
<td>10%</td>
<td>4,998,924</td>
</tr>
<tr>
<td>Popejoy Transfers</td>
<td>149,730</td>
<td>149,730</td>
<td>-</td>
<td>100%</td>
<td>21,612</td>
</tr>
<tr>
<td>Total Popejoy Events Revenues</td>
<td>4,594,379</td>
<td>588,592</td>
<td>(4,005,787)</td>
<td>13%</td>
<td>5,020,536</td>
</tr>
<tr>
<td>Total Popejoy Events Expenses</td>
<td>4,594,379</td>
<td>795,228</td>
<td>3,799,151</td>
<td>17%</td>
<td>5,026,643</td>
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<td>Net Popejoy Events Revenue/(Expense)</td>
<td>-</td>
<td>(206,836)</td>
<td>(206,836)</td>
<td>-</td>
<td>(5,107)</td>
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<tr>
<td>Taos &amp; Lawrence Ranch Revenues</td>
<td>53,578</td>
<td>-</td>
<td>(53,578)</td>
<td>0%</td>
<td>101</td>
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<tr>
<td>Taos &amp; Lawrence Ranch Expenses</td>
<td>53,578</td>
<td>12,871</td>
<td>40,707</td>
<td>24%</td>
<td>12,077</td>
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<tr>
<td>Net Taos &amp; Lawrence Ranch Revenue/(Expense)</td>
<td>-</td>
<td>(12,871)</td>
<td>(12,871)</td>
<td>-</td>
<td>(11,976)</td>
</tr>
<tr>
<td>Ticketing Services Revenues</td>
<td>845,295</td>
<td>305,780</td>
<td>(539,515)</td>
<td>36%</td>
<td>510,336</td>
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<tr>
<td>Ticketing Services Transfers</td>
<td>75,348</td>
<td>75,348</td>
<td>-</td>
<td>100%</td>
<td>25,116</td>
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<tr>
<td>Total Ticketing Services Revenues</td>
<td>920,643</td>
<td>381,128</td>
<td>(539,515)</td>
<td>41%</td>
<td>535,452</td>
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<tr>
<td>Total Ticketing Services Expenses</td>
<td>920,643</td>
<td>357,570</td>
<td>563,073</td>
<td>37%</td>
<td>314,885</td>
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<td>Net Ticketing Services Revenue/(Expense)</td>
<td>-</td>
<td>43,558</td>
<td>43,558</td>
<td>-</td>
<td>220,567</td>
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<tr>
<td>Young Ranch Revenues</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>N/A</td>
</tr>
<tr>
<td>Young Ranch Expenses</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>N/A</td>
</tr>
<tr>
<td>Net Young Ranch Revenue/(Expense)</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>N/A</td>
</tr>
<tr>
<td>Total VP for Institutional Support Services Revenues</td>
<td>40,398,053</td>
<td>19,313,677</td>
<td>(21,084,376)</td>
<td>48%</td>
<td>21,531,084</td>
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<tr>
<td>Total VP for Institutional Support Services Expenses</td>
<td>40,398,053</td>
<td>13,396,934</td>
<td>27,001,119</td>
<td>33%</td>
<td>18,655,213</td>
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<tr>
<td>Net VP for Institutional Support Services Revenue/(Expense)</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>N/A</td>
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<tr>
<td>VP for Student Affairs</td>
<td>4,884,317</td>
<td>(244,291)</td>
<td>163,896</td>
<td>(408,187)</td>
<td>2,995,888</td>
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<tr>
<td>AVP Ops/Student Life Revenues</td>
<td>3,131,105</td>
<td>1,296,036</td>
<td>(2,015,069)</td>
<td>39%</td>
<td>1,185,327</td>
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<tr>
<td>AVP Ops/Student Life Transfers</td>
<td>(127,500)</td>
<td>(295,759)</td>
<td>(168,259)</td>
<td>232%</td>
<td>(384,513)</td>
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<tr>
<td>Total AVP Ops/Student Life Revenues</td>
<td>3,131,105</td>
<td>1,000,277</td>
<td>(2,183,328)</td>
<td>31%</td>
<td>800,814</td>
</tr>
<tr>
<td>Total AVP Ops/Student Life Expenses</td>
<td>3,131,105</td>
<td>878,439</td>
<td>2,435,666</td>
<td>27%</td>
<td>897,328</td>
</tr>
<tr>
<td>Net AVP Ops/Student Life Revenue/(Expense)</td>
<td>(100,500)</td>
<td>121,838</td>
<td>252,338</td>
<td>(6,514)</td>
<td>128,352</td>
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<tr>
<td>Lobo Cash Revenues</td>
<td>33,385</td>
<td>26,000</td>
<td>(7,385)</td>
<td>78%</td>
<td>2,995</td>
</tr>
<tr>
<td>Lobo Cash Expenses</td>
<td>33,385</td>
<td>35,613</td>
<td>2,228</td>
<td>107%</td>
<td>2,307</td>
</tr>
<tr>
<td>Net Lobo Cash Revenue/(Expense)</td>
<td>-</td>
<td>(9,813)</td>
<td>(9,813)</td>
<td>-</td>
<td>688</td>
</tr>
<tr>
<td>Student Health Center Revenues</td>
<td>7,465,022</td>
<td>3,627,764</td>
<td>(3,837,258)</td>
<td>49%</td>
<td>2,995,988</td>
</tr>
<tr>
<td>Student Health Center Expenses</td>
<td>7,465,022</td>
<td>2,586,018</td>
<td>4,879,004</td>
<td>35%</td>
<td>2,415,648</td>
</tr>
<tr>
<td>Net Student Health Center Revenue/(Expense)</td>
<td>-</td>
<td>1,041,746</td>
<td>1,041,746</td>
<td>-</td>
<td>580,340</td>
</tr>
<tr>
<td>Student Union Revenues</td>
<td>2,537,528</td>
<td>717,868</td>
<td>(1,819,660)</td>
<td>28%</td>
<td>1,104,524</td>
</tr>
<tr>
<td>Student Union Expenses</td>
<td>2,537,528</td>
<td>962,159</td>
<td>1,575,369</td>
<td>38%</td>
<td>940,628</td>
</tr>
<tr>
<td>Net Student Union Revenue/(Expense)</td>
<td>-</td>
<td>(244,291)</td>
<td>(244,291)</td>
<td>-</td>
<td>163,896</td>
</tr>
<tr>
<td>Total VP for Student Affairs Revenues</td>
<td>13,219,540</td>
<td>5,371,909</td>
<td>(7,847,631)</td>
<td>41%</td>
<td>4,904,321</td>
</tr>
<tr>
<td>Total VP for Student Affairs Expenses</td>
<td>13,350,040</td>
<td>4,462,229</td>
<td>8,892,267</td>
<td>33%</td>
<td>4,165,911</td>
</tr>
<tr>
<td>Net VP for Student Affairs Revenue/(Expense)</td>
<td>(130,500)</td>
<td>909,680</td>
<td>1,040,180</td>
<td>-</td>
<td>738,410</td>
</tr>
</tbody>
</table>

For the four month period ended October 31, 2013
Preliminary and Unaudited

Statements of Revenues, Expenses and Changes in Net Position Format for Regents
### University of New Mexico - Consolidated Total Operations Current Funds

<table>
<thead>
<tr>
<th></th>
<th>FY 2014 Full Year Operating Budget</th>
<th>FY 2014 Year-to-Date Actual</th>
<th>Fiscal YTD Favrb/(Unfavrb)</th>
<th>Actual to Budget Benchmark Rate</th>
<th>FY 2013 Year-to-Date</th>
<th>FY 2014 YTD Actual Change From FY 2013 YTD Actual</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Provost and Other Units</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Art Museum Revenues</td>
<td>5,500</td>
<td>1,067</td>
<td>(4,433)</td>
<td>19%</td>
<td>384</td>
<td>683</td>
</tr>
<tr>
<td>Art Museum Expenses</td>
<td>5,500</td>
<td>346</td>
<td>5,154</td>
<td>6%</td>
<td>-</td>
<td>(346)</td>
</tr>
<tr>
<td><strong>Net Art Museum Revenue/(Expense)</strong></td>
<td>-</td>
<td>721</td>
<td>721</td>
<td></td>
<td>384</td>
<td>337</td>
</tr>
<tr>
<td>CE Conference Ctr Revenues</td>
<td>200,000</td>
<td>58,993</td>
<td>(141,007)</td>
<td>29%</td>
<td>39,971</td>
<td>19,022</td>
</tr>
<tr>
<td>CE Conference Ctr Transfers</td>
<td>(43,431)</td>
<td>(10,645)</td>
<td>32,783</td>
<td>25%</td>
<td>-</td>
<td>(10,646)</td>
</tr>
<tr>
<td>Total CE Conference Ctr Revenues</td>
<td>156,569</td>
<td>48,345</td>
<td>(108,224)</td>
<td>31%</td>
<td>39,971</td>
<td>8,374</td>
</tr>
<tr>
<td>Total CE Conference Ctr Expenses</td>
<td>156,569</td>
<td>60,578</td>
<td>95,991</td>
<td>39%</td>
<td>75,226</td>
<td>14,648</td>
</tr>
<tr>
<td><strong>Net CE Conference Ctr Revenue/(Expense)</strong></td>
<td>-</td>
<td>(12,233)</td>
<td>(12,233)</td>
<td></td>
<td>(35,255)</td>
<td>23,022</td>
</tr>
<tr>
<td>Maxwell Museum Revenues</td>
<td>40,000</td>
<td>14,856</td>
<td>(25,144)</td>
<td>37%</td>
<td>9,105</td>
<td>5,751</td>
</tr>
<tr>
<td>Maxwell Museum Expenses</td>
<td>40,000</td>
<td>5,455</td>
<td>34,545</td>
<td>14%</td>
<td>5,136</td>
<td>(319)</td>
</tr>
<tr>
<td><strong>Net Maxwell Museum Revenue/(Expense)</strong></td>
<td>-</td>
<td>9,401</td>
<td>9,401</td>
<td></td>
<td>3,969</td>
<td>5,432</td>
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<tr>
<td>Other Revenues</td>
<td>55,000</td>
<td>22,777</td>
<td>(32,223)</td>
<td>41%</td>
<td>298</td>
<td>22,479</td>
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<tr>
<td>Other Expenses</td>
<td>55,000</td>
<td>17,430</td>
<td>37,570</td>
<td>32%</td>
<td>19,519</td>
<td>2,089</td>
</tr>
<tr>
<td><strong>Net Other Revenue/(Expense)</strong></td>
<td>-</td>
<td>5,347</td>
<td>5,347</td>
<td></td>
<td>(19,221)</td>
<td>24,568</td>
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<tr>
<td>Total Provost and Other Units Revenues</td>
<td>257,069</td>
<td>87,045</td>
<td>(170,024)</td>
<td>34%</td>
<td>49,758</td>
<td>37,287</td>
</tr>
<tr>
<td>Total Provost and Other Units Expenses</td>
<td>257,069</td>
<td>83,809</td>
<td>173,260</td>
<td>33%</td>
<td>99,881</td>
<td>16,072</td>
</tr>
<tr>
<td><strong>Net Provost and Other Units Revenue/(Expense)</strong></td>
<td>-</td>
<td>3,236</td>
<td>3,236</td>
<td></td>
<td>(50,123)</td>
<td>53,359</td>
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<tr>
<td><strong>Auxiliary Totals</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total Auxiliary &amp; Concessions Revenues</td>
<td>53,874,662</td>
<td>24,772,631</td>
<td>(29,102,031)</td>
<td>46%</td>
<td>26,485,163</td>
<td>(1,712,532)</td>
</tr>
<tr>
<td>Total Auxiliary &amp; Concessions Expenses</td>
<td>54,005,162</td>
<td>17,942,972</td>
<td>36,062,190</td>
<td>33%</td>
<td>22,921,005</td>
<td>4,978,033</td>
</tr>
<tr>
<td><strong>Net Auxiliary Revenue/(Expense)</strong></td>
<td>(130,500)</td>
<td>6,829,659</td>
<td>6,960,159</td>
<td></td>
<td>3,564,158</td>
<td>3,265,501</td>
</tr>
<tr>
<td><strong>Net Athletics Revenue/(Expense)</strong></td>
<td>(65,200)</td>
<td>(1,802,019)</td>
<td>(1,736,819)</td>
<td></td>
<td>(1,412,817)</td>
<td>(389,402)</td>
</tr>
<tr>
<td><strong>Net Auxiliary and Athletics Revenue/(Expense)</strong></td>
<td>(193,700)</td>
<td>5,027,640</td>
<td>5,223,340</td>
<td></td>
<td>2,151,541</td>
<td>2,876,099</td>
</tr>
<tr>
<td><strong>Net Branch Campuses Aux Revenue/(Expense)</strong></td>
<td>(41,365)</td>
<td>146,029</td>
<td>187,394</td>
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<td>28,921</td>
<td>117,108</td>
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<tr>
<td><strong>Net All Auxiliary and Athletics Revenue/(Expense)</strong></td>
<td>(237,065)</td>
<td>5,173,669</td>
<td>5,410,734</td>
<td></td>
<td>2,180,462</td>
<td>2,993,207</td>
</tr>
</tbody>
</table>
### UNM Debt Service Schedule

**As of October 31, 2013**

<table>
<thead>
<tr>
<th>UNM Bond Issue</th>
<th>Fixed or Variable Rate Issue</th>
<th>Original Issue Amount</th>
<th>Outstanding Principal Balance on June 30, 2013</th>
<th>Principal Payment due on June 1, 2014</th>
<th>Interest Payment paid on December 1, 2013</th>
<th>Interest Payment due on June 1, 2014</th>
<th>FY 2014 Principal &amp; Interest</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sub Lien System Imp Revenue Bonds</td>
<td>Fixed Rate</td>
<td>$35,215,000</td>
<td>$33,200,000</td>
<td>$1,310,000</td>
<td>$766,050</td>
<td>$766,050</td>
<td>$2,842,100</td>
</tr>
<tr>
<td><strong>(1)</strong> Series 2012: Interest Range 2.00% to 5.00% Final Maturity Year 2032</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Sub Lien System Imp Revenue Bonds</td>
<td>Fixed Rate</td>
<td>$136,710,000</td>
<td>$131,620,000</td>
<td>$1,840,000</td>
<td>$3,281,441</td>
<td>$3,281,441</td>
<td>$6,402,882</td>
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<tr>
<td><strong>Series 2007 A&amp;B:</strong> Interest Range 4.00% to 5.95% Final Maturity Year 2036</td>
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<td></td>
<td></td>
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<tr>
<td>Sub Lien System Imp Revenue Bonds</td>
<td>Fixed Rate</td>
<td>$125,575,000</td>
<td>$115,950,000</td>
<td>$2,470,000</td>
<td>$2,678,627</td>
<td>$2,678,626</td>
<td>$7,827,253</td>
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<tr>
<td><strong>Series 2005:</strong> Interest Range 3.0% to 5.0% Final Maturity Year 2035</td>
<td></td>
<td></td>
<td></td>
<td></td>
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<td></td>
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<tr>
<td>FHA Insured Hospital Mortgage Revenue Bonds</td>
<td>Fixed Rate</td>
<td>$192,250,000</td>
<td>$164,660,000</td>
<td>$5,240,000</td>
<td>$3,963,788</td>
<td>$4,020,600</td>
<td>$13,224,387</td>
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<tr>
<td><strong>Series 2004:</strong> Interest Range 2.0% to 5.0% Final Maturity Year 2031</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>Sub Lien System Rfdg Revenue Bonds</td>
<td>Fixed Rate</td>
<td>$21,660,000</td>
<td>$8,480,000</td>
<td>$1,135,000</td>
<td>$222,600</td>
<td>$222,600</td>
<td>$1,580,200</td>
</tr>
<tr>
<td><strong>Series 2003 A:</strong> Interest Range 2.0% to 2.5% Final Maturity Year 2018</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Sub Lien System Revenue Bonds</td>
<td>Fixed Rate</td>
<td>$11,805,000</td>
<td>$9,505,000</td>
<td>$285,000</td>
<td>$232,955</td>
<td>$232,955</td>
<td>$750,909</td>
</tr>
<tr>
<td><strong>Series 2003 B&amp;C:</strong> Interest Range 1.35% to 5.625% Final Maturity Years B 2024 &amp; C 2033</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Sub Lien Sys Rfdg Revenue Bonds</td>
<td>Variable Rate</td>
<td>$25,475,000</td>
<td>$22,090,000</td>
<td>$1,490,000</td>
<td>$423,024</td>
<td>$423,024</td>
<td>$2,336,047</td>
</tr>
<tr>
<td><strong>(2)</strong> Series 2002 B: Variable Rate Demand Bonds - rates reset weekly Weekly rate as of June 30, 2013 was 0.06% Final Maturity Year 2026</td>
<td></td>
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<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Sub Lien System Rfdg Revenue Bonds</td>
<td>Variable Rate</td>
<td>$37,840,000</td>
<td>$35,190,000</td>
<td>$875,000</td>
<td>$693,243</td>
<td>$693,243</td>
<td>$2,261,486</td>
</tr>
<tr>
<td><strong>(2) Series 2002 C:</strong> Variable Rate Demand Bonds - rates reset weekly Weekly rate as of June 30, 2013 was 0.06% Final Maturity Year 2030</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Sub Lien System Imp Revenue Bonds</td>
<td>Variable Rate</td>
<td>$52,625,000</td>
<td>$35,275,000</td>
<td>$2,085,000</td>
<td>$705,500</td>
<td>$705,500</td>
<td>$3,496,000</td>
</tr>
<tr>
<td><strong>(3) Series 2001: Variable Rate Demand Bonds - rates reset weekly Weekly rate as of June 30, 2013 was 0.06% Ceiling of 12% Final Maturity Year 2026</strong></td>
<td></td>
<td></td>
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</tr>
<tr>
<td>System Revenue Bonds</td>
<td>Fixed Rate</td>
<td>$53,231,671</td>
<td>$2,248,820</td>
<td>$473,652</td>
<td>$320,674</td>
<td>$320,674</td>
<td>$1,115,000</td>
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<tr>
<td><strong>Series 2000B:</strong> Interest Range 5.5% to 6.35% Final Maturity Year 2019</td>
<td></td>
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<tr>
<td>System Revenue Rfdg Bonds</td>
<td>Fixed Rate</td>
<td>$36,790,000</td>
<td>$17,460,000</td>
<td>$1,840,000</td>
<td>$523,800</td>
<td>$523,800</td>
<td>$2,887,600</td>
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<tr>
<td><strong>Series 1992 A:</strong> Interest Range 5.6% to 6.25% Final Maturity Year 2021</td>
<td></td>
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</tbody>
</table>

**Grand Total**

| | $729,176,671 | $575,678,820 | $19,043,852 | $13,911,700 | $13,866,512 | $46,723,864 |

---

**Note:** See attached matrix for funding sources.

(1) Source: UNM Hospital - Both UNM Hospital Principal and Interest payments are made on July 1st and January 1st.

(2) Variable Rate bonds reflect the actual synthetically fixed interest rate that UNM pays. It is noted that all ranges of interest rates and final maturity dates are reflective of Serial bonds.

(3) Series 2012 bonds refunded 2002A bonds.
<table>
<thead>
<tr>
<th></th>
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<tbody>
<tr>
<td>Student Fees- Facility</td>
<td>X</td>
<td>X</td>
<td>X</td>
<td>X</td>
<td>X</td>
<td>X</td>
<td>X</td>
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<tr>
<td>Student Fees - IT</td>
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<td></td>
<td></td>
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<tr>
<td>Capitalized Interest</td>
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<td>Parking Services</td>
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<td>UNM Hospital</td>
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<td>Bookstore</td>
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<td>Housing &amp; Dining Services</td>
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<tr>
<td>Building R&amp;R</td>
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<td>Real Estate Department</td>
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<td>Physical Plant Department</td>
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<tr>
<td>Telecommunications</td>
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<tr>
<td>Athletics</td>
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<td></td>
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<tr>
<td>Information &amp; Technology Funds</td>
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<td>KNME</td>
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<td>Popejoy Hall</td>
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<td>MTTC Bldg.</td>
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<tr>
<td>Opto Bldg (CHTM Res Park)</td>
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<td></td>
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<td>CRTC</td>
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<td>Continuing Education</td>
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<tr>
<td>Equipment R&amp;R</td>
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<tr>
<td>Golf Course - North &amp; South</td>
<td>X</td>
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<td>HSC</td>
<td>X</td>
<td>X</td>
<td></td>
<td></td>
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</tr>
<tr>
<td>Interest on Reserve Funds</td>
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<td></td>
</tr>
</tbody>
</table>
Statements of Revenues, Expenses and Changes in Net Assets Format for Regents
For the four month period ended October 31, 2013
Preliminary and Unaudited

Detail of State/Local Appropriations
Consolidated - Total Operations Current Funds

<table>
<thead>
<tr>
<th></th>
<th>FY 2014 Full Year Operating Budget</th>
<th>FY 2014 Year-to-Date Actual</th>
<th>Fiscal YTD Favorable/(Unfavorable)</th>
<th>Actual to Budget Benchmark Rate</th>
</tr>
</thead>
<tbody>
<tr>
<td>Instruction and General</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Instruction &amp; General Appropriations</td>
<td>261,986,155</td>
<td>87,172,852</td>
<td>(174,813,303)</td>
<td>33%</td>
</tr>
<tr>
<td>State Special Project Appropriations</td>
<td>1,055,900</td>
<td>351,966</td>
<td>(703,934)</td>
<td>33%</td>
</tr>
<tr>
<td>Tobacco Settlement Appropriations</td>
<td>1,130,600</td>
<td>376,866</td>
<td>(753,734)</td>
<td>33%</td>
</tr>
<tr>
<td>Mill Levy</td>
<td>6,896,086</td>
<td>2,298,695</td>
<td>(4,597,391)</td>
<td>33%</td>
</tr>
<tr>
<td>Total Instruction and General Appropriations</td>
<td>271,068,741</td>
<td>90,200,379</td>
<td>(180,868,362)</td>
<td>33%</td>
</tr>
<tr>
<td>Research</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>State Special Project Appropriations</td>
<td>6,086,446</td>
<td>2,021,183</td>
<td>(4,065,263)</td>
<td>33%</td>
</tr>
<tr>
<td>Tobacco Settlement Appropriations</td>
<td>979,800</td>
<td>326,600</td>
<td>(653,200)</td>
<td>33%</td>
</tr>
<tr>
<td>Cigarette Tax Appropriations</td>
<td>3,226,650</td>
<td>1,331,344</td>
<td>(1,895,306)</td>
<td>41%</td>
</tr>
<tr>
<td>Total Research Appropriations</td>
<td>10,292,896</td>
<td>3,679,127</td>
<td>(6,613,769)</td>
<td>36%</td>
</tr>
<tr>
<td>Public Service</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>State Special Project Appropriations</td>
<td>3,667,844</td>
<td>1,222,116</td>
<td>(2,445,728)</td>
<td>33%</td>
</tr>
<tr>
<td>Total Public Service Appropriations</td>
<td>3,667,844</td>
<td>1,222,116</td>
<td>(2,445,728)</td>
<td>33%</td>
</tr>
<tr>
<td>Clinical Operations</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>State Special Project Appropriations</td>
<td>24,680,108</td>
<td>8,034,702</td>
<td>(16,645,406)</td>
<td>33%</td>
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<tr>
<td>Tobacco Settlement Appropriations</td>
<td>861,700</td>
<td>283,900</td>
<td>(577,800)</td>
<td>33%</td>
</tr>
<tr>
<td>Total Clinical Operations Appropriations</td>
<td>25,531,808</td>
<td>8,318,602</td>
<td>(17,213,208)</td>
<td>33%</td>
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</tbody>
</table>
## Detail of State/Local Appropriations

### Main Campus - Total Operations Current Funds

<table>
<thead>
<tr>
<th>FY 2014 Full Year</th>
<th>FY 2014 Year-to-Date</th>
<th>Fiscal YTD</th>
<th>Actual to Budget</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Operating Budget</td>
<td>Actual</td>
<td>Favorable/(Unfavorable)</td>
</tr>
<tr>
<td>Instruction and General</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Instruction &amp; General Appropriations</td>
<td>180,474,555</td>
<td>60,158,186</td>
<td>(120,316,369)</td>
</tr>
<tr>
<td>State Special Project Appropriations</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>African American Student Services</td>
<td>22,873</td>
<td>7,624</td>
<td>(15,249)</td>
</tr>
<tr>
<td>Disabled Student Services</td>
<td>192,400</td>
<td>64,133</td>
<td>(128,267)</td>
</tr>
<tr>
<td>ENLACE</td>
<td>64,329</td>
<td>21,443</td>
<td>(42,886)</td>
</tr>
<tr>
<td>Hispanic Student Center</td>
<td>107,143</td>
<td>35,714</td>
<td>(71,429)</td>
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<tr>
<td>Minority Graduate Recruitment</td>
<td>117,646</td>
<td>39,215</td>
<td>(78,431)</td>
</tr>
<tr>
<td>Native American Studies Intervention</td>
<td>354,047</td>
<td>118,016</td>
<td>(236,031)</td>
</tr>
<tr>
<td>Pre-College Minority Student Math &amp; Science</td>
<td>197,462</td>
<td>65,821</td>
<td>(131,641)</td>
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<tr>
<td>Total State Special Project Appropriations</td>
<td>1,055,900</td>
<td>351,966</td>
<td>(703,934)</td>
</tr>
<tr>
<td>Total Instruction and General Appropriations</td>
<td>181,530,455</td>
<td>60,510,152</td>
<td>(121,020,303)</td>
</tr>
</tbody>
</table>

| Research | | | | |
|-------------------|----------------------|------------|-----------------|
| State Special Project Appropriations | | | | |
| Center for Regional Studies (SW Research Ctr) | 977,850 | 325,950 | (651,900) | 33% |
| Manufacturing Engineering | 556,900 | 185,633 | (371,267) | 33% |
| Morrissey Hall | 47,500 | 15,833 | (31,667) | 33% |
| Resource Geographic Information System | 65,400 | 21,800 | (43,600) | 33% |
| Utton Transboundary Resource Center | 292,400 | 97,467 | (194,933) | 33% |
| Total State Special Project Appropriations | 1,940,050 | 646,683 | (1,293,367) | 33% |
| Total Research Appropriations | 1,940,050 | 646,683 | (1,293,367) | 33% |

| Public Service | | | | |
|-------------------|----------------------|------------|-----------------|
| State Special Project Appropriations | | | | |
| Bureau of Business Research (Census) | 378,200 | 126,067 | (252,133) | 33% |
| College Prep Mentoring/School of Law | 119,593 | 39,864 | (79,729) | 33% |
| College Preparatory Mentoring | 168,107 | 56,035 | (112,072) | 33% |
| Corrine Wolfe Law Center/Child Abuse Training | 170,300 | 56,767 | (113,533) | 33% |
| Family Development Program | 438,500 | 146,167 | (292,333) | 33% |
| ISTECC | 48,595 | 18,198 | (30,397) | 33% |
| Judicial Selection | 22,800 | 7,600 | (15,200) | 33% |
| KNME-TV | 1,168,900 | 389,632 | (779,268) | 33% |
| Land Grant Studies Program | 131,800 | 43,933 | (87,867) | 33% |
| N. M. Historical Review | 47,600 | 15,867 | (31,733) | 33% |
| Southwest Indian Law Clinic | 208,200 | 69,400 | (138,800) | 33% |
| Spanish Colonial Research Center (SW Research Ctr) | 120,650 | 40,217 | (80,433) | 33% |
| Spanish Resource Center | 41,105 | 13,702 | (27,403) | 33% |
| Substance Abuse Program | 136,500 | 45,500 | (91,000) | 33% |
| Total State Special Project Appropriations | 3,396,250 | 1,132,083 | (2,264,167) | 33% |
| Total Public Service Appropriations | 3,396,250 | 1,132,083 | (2,264,167) | 33% |
Statements of Revenues, Expenses and Changes in Net Assets Format for Regents
For the four month period ended October 31, 2013
Preliminary and Unaudited

Detail of State/Local Appropriations
Branch Campuses - Total Operations Current Funds

<table>
<thead>
<tr>
<th></th>
<th>FY 2014 Full Year</th>
<th>FY 2014 Year-to-Date</th>
<th>Fiscal YTD Favorable/(Unfavorable)</th>
<th>Actual to Budget</th>
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<tr>
<td></td>
<td>Operating Budget</td>
<td>Actual</td>
<td>Budget</td>
<td>Benchmark Rate</td>
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<td>Operating Budget</td>
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<tr>
<td>Instruction and General Appropriations</td>
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<tr>
<td>Gallup</td>
<td>9,118,300</td>
<td>3,039,433</td>
<td>(6,078,867)</td>
<td>33%</td>
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<tr>
<td>Los Alamos</td>
<td>1,876,000</td>
<td>625,334</td>
<td>(1,250,666)</td>
<td>33%</td>
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<tr>
<td>Valencia</td>
<td>5,350,000</td>
<td>1,783,333</td>
<td>(3,566,667)</td>
<td>33%</td>
</tr>
<tr>
<td>Taos</td>
<td>3,418,600</td>
<td>1,139,533</td>
<td>(2,279,067)</td>
<td>33%</td>
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<tr>
<td>Total Instruction &amp; General Appropriations</td>
<td>19,762,900</td>
<td>6,587,633</td>
<td>(13,175,267)</td>
<td>33%</td>
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<tr>
<td>Mill Levy</td>
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</tr>
<tr>
<td>McKinley County</td>
<td>2,100,000</td>
<td>700,000</td>
<td>(1,400,000)</td>
<td>33%</td>
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<tr>
<td>Los Alamos County</td>
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<td>228,833</td>
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<tr>
<td>Valencia County</td>
<td>2,539,386</td>
<td>846,462</td>
<td>(1,692,924)</td>
<td>33%</td>
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<tr>
<td>Taos County</td>
<td>1,570,200</td>
<td>523,400</td>
<td>(1,046,800)</td>
<td>33%</td>
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<td>Total Mill Levy</td>
<td>6,896,086</td>
<td>2,298,695</td>
<td>(4,597,391)</td>
<td>33%</td>
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<tr>
<td>Total Branch Appropriations</td>
<td>26,658,986</td>
<td>8,886,328</td>
<td>(17,772,658)</td>
<td>33%</td>
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</table>
## Detail of State/Local Appropriations

### Health Sciences Center - Total Operations Current Funds

<table>
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<th>FY 2014 Full Year Operating Budget</th>
<th>FY 2014 Year-to-Date Actual</th>
<th>Fiscal YTD Favor/(Unfavor)</th>
<th>Actual to Benchmark Rate</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Instruction and General</strong></td>
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<td></td>
</tr>
<tr>
<td>Instruction &amp; General Appropriations</td>
<td>61,748,700</td>
<td>20,427,033</td>
<td>(41,321,667)</td>
<td>33%</td>
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<td>Tobacco Settlement Appropriations</td>
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<td></td>
</tr>
<tr>
<td>Instruction &amp; General Appropriations</td>
<td>610,524</td>
<td>203,508</td>
<td>(407,016)</td>
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<tr>
<td>Pediatric Specialty Education Appropriations</td>
<td>260,038</td>
<td>86,679</td>
<td>(173,359)</td>
<td>33%</td>
</tr>
<tr>
<td>Trauma Specialty Education Appropriations</td>
<td>260,038</td>
<td>86,679</td>
<td>(173,359)</td>
<td>33%</td>
</tr>
<tr>
<td>Total Tobacco Settlement Appropriations</td>
<td>1,130,600</td>
<td>376,866</td>
<td>(753,734)</td>
<td>33%</td>
</tr>
<tr>
<td>Total Instruction and General Appropriations</td>
<td>62,879,300</td>
<td>20,803,899</td>
<td>(42,075,401)</td>
<td>33%</td>
</tr>
<tr>
<td><strong>Research</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>State Special Project Appropriations</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Cigarette Tax Appropriations</td>
<td>3,226,650</td>
<td>1,331,344</td>
<td>(1,895,306)</td>
<td>41%</td>
</tr>
<tr>
<td>Total Research Appropriations</td>
<td>8,352,846</td>
<td>3,032,444</td>
<td>(5,320,402)</td>
<td>36%</td>
</tr>
<tr>
<td><strong>Public Service</strong></td>
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<td></td>
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<tr>
<td>State Special Project Appropriations</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Center for Native American Health</td>
<td>271,594</td>
<td>90,033</td>
<td>(181,561)</td>
<td>33%</td>
</tr>
<tr>
<td>Total Public Service Appropriations</td>
<td>271,594</td>
<td>90,033</td>
<td>(181,561)</td>
<td>33%</td>
</tr>
<tr>
<td><strong>Clinical Operations</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>State Special Project Appropriations</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Newborn Intensive Care Unit</td>
<td>3,283,396</td>
<td>1,083,100</td>
<td>(2,200,296)</td>
<td>33%</td>
</tr>
<tr>
<td>Office of the Medical Investigator</td>
<td>4,797,800</td>
<td>1,587,067</td>
<td>(3,210,733)</td>
<td>33%</td>
</tr>
<tr>
<td>Pediatric Oncology</td>
<td>1,282,197</td>
<td>424,200</td>
<td>(857,997)</td>
<td>33%</td>
</tr>
<tr>
<td>Poison and Drug Info Center</td>
<td>1,524,915</td>
<td>503,400</td>
<td>(1,021,515)</td>
<td>33%</td>
</tr>
<tr>
<td>Native American Suicide Prevention</td>
<td>100,000</td>
<td>33,333</td>
<td>(66,667)</td>
<td>33%</td>
</tr>
<tr>
<td>UNM Hospitals</td>
<td>13,691,800</td>
<td>4,403,602</td>
<td>(9,288,198)</td>
<td>32%</td>
</tr>
<tr>
<td>Total State Special Project Appropriations</td>
<td>24,080,108</td>
<td>8,034,702</td>
<td>(16,045,406)</td>
<td>33%</td>
</tr>
<tr>
<td>Tobacco Settlement Appropriations</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Pediatric Oncology</td>
<td>261,400</td>
<td>87,133</td>
<td>(174,267)</td>
<td>33%</td>
</tr>
<tr>
<td>Poison and Drug Info Center</td>
<td>990,300</td>
<td>196,767</td>
<td>(793,533)</td>
<td>33%</td>
</tr>
<tr>
<td>Total Tobacco Settlement Appropriations</td>
<td>851,700</td>
<td>283,900</td>
<td>(567,800)</td>
<td>33%</td>
</tr>
<tr>
<td>Total Clinical Operations Appropriations</td>
<td>25,531,808</td>
<td>8,318,602</td>
<td>(17,213,206)</td>
<td>33%</td>
</tr>
</tbody>
</table>
November 15, 2013

To: Bruce Cherrin, Chief Procurement Officer
    Purchasing Department

From: Debra L. Fondino
       Associate Director, University Services

Subject: Equipment Disposition – November 2013

Attached for your review and submission to the Board of Regents is the Surplus Property Disposition Detail list for the month of November, 2013.

Consistent with UNM Board of Regents Policy 7.9 and the Disposition of Surplus Property Act, 13-6-1, NMSA 1978, and based upon documentation submitted by the UNM departments responsible for the equipment, I certify that the equipment identified on the list is worn-out, unusable or obsolete to the extent that the items are no longer economical or safe for continued use by the University. I recommend that the items be deleted from UNM’s inventory and disposed of in accordance with the above noted Regents Policy and Surplus Property Act.
<table>
<thead>
<tr>
<th>UNM#</th>
<th>Department surplusing equipment</th>
<th>Description</th>
<th>Manufacturer</th>
<th>Model#</th>
<th>Serial#</th>
<th>Year</th>
<th>Acquisition Cost</th>
<th>NBV</th>
<th>Method Of Disposal</th>
<th>Disposal Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>(1) 105729</td>
<td>IM Div of Geriatrics</td>
<td>ULTRACENTRIFUGE/PREF</td>
<td>Beckman</td>
<td>501006</td>
<td>1976</td>
<td>$15,675.00</td>
<td>$0.00</td>
<td>Obsolete</td>
<td>11/1/2013</td>
<td></td>
</tr>
<tr>
<td>(1) 152301</td>
<td>IM Div of Geriatrics</td>
<td>CENTRIFUGE</td>
<td>Beckman</td>
<td>460M</td>
<td>1983</td>
<td>$20,670.00</td>
<td>$0.00</td>
<td>Obsolete</td>
<td>11/1/2013</td>
<td></td>
</tr>
<tr>
<td>183596</td>
<td>IM Div of Rheumatology</td>
<td>SPECTROPHOTOMETER</td>
<td>MiltonRoy</td>
<td>1001</td>
<td>1988</td>
<td>$6,762.00</td>
<td>$0.00</td>
<td>Obsolete</td>
<td>11/1/2013</td>
<td></td>
</tr>
<tr>
<td>186454</td>
<td>IM Div of Rheumatology</td>
<td>CENTRIFUGE</td>
<td>Soval</td>
<td>RT6000</td>
<td>1989</td>
<td>$8,802.00</td>
<td>$0.00</td>
<td>Obsolete</td>
<td>11/1/2013</td>
<td></td>
</tr>
<tr>
<td>187078</td>
<td>Center for High Tech Materials</td>
<td>ANALYZER GAS</td>
<td>Leybold</td>
<td>017 010 G1</td>
<td>1989</td>
<td>$8,973.00</td>
<td>$0.00</td>
<td>Obsolete</td>
<td>11/15/2013</td>
<td></td>
</tr>
<tr>
<td>214726</td>
<td>Communication Journalism</td>
<td>MIXER DIGITAL AV</td>
<td>Panasonic</td>
<td>WJMX50</td>
<td>1996</td>
<td>$5,111.00</td>
<td>$0.00</td>
<td>Obsolete</td>
<td>11/8/2013</td>
<td></td>
</tr>
<tr>
<td>246243</td>
<td>Cancer Research Treatment Ct</td>
<td>WATER PURIFIER</td>
<td>Megapure</td>
<td>6 Liter</td>
<td>2001</td>
<td>$5,024.00</td>
<td>$586.24</td>
<td>Obsolete</td>
<td>11/8/2013</td>
<td></td>
</tr>
<tr>
<td>(2) 267135</td>
<td>Batcave</td>
<td>LAPAROSCOPE</td>
<td>MedTecInc</td>
<td>MIST Trainer1</td>
<td>90BZP0J26131</td>
<td>2004</td>
<td>$42,925.00</td>
<td>$0.00</td>
<td>Obsolete</td>
<td>11/15/2013</td>
</tr>
<tr>
<td>267685</td>
<td>Pathology Department</td>
<td>Microfuge &amp; Rotor Assembly</td>
<td>Beckman</td>
<td>22R-120V</td>
<td>2004</td>
<td>$5,833.00</td>
<td>$0.00</td>
<td>Obsolete</td>
<td>10/27/2013</td>
<td></td>
</tr>
<tr>
<td>N00002434</td>
<td>Speech Hearing Science Facul</td>
<td>Speech-Output System</td>
<td>DynavoxSys</td>
<td>DV4-E-USA</td>
<td>2005</td>
<td>$7,295.00</td>
<td>$0.00</td>
<td>Obsolete</td>
<td>11/1/2013</td>
<td></td>
</tr>
<tr>
<td>N00002472</td>
<td>Speech Hearing Science Facul Communication (AAC)</td>
<td>Devic AssisTech</td>
<td>Mercury Silver (Option 1)</td>
<td>2005</td>
<td>$7,942.12</td>
<td>$0.00</td>
<td>Obsolete</td>
<td>11/1/2013</td>
<td></td>
<td></td>
</tr>
<tr>
<td>N00003779</td>
<td>Pharmacy Practice Faculty #10</td>
<td>DESKTOP COMPUTER W/</td>
<td>Apple</td>
<td>PMG5 Q87 CTO 2.7 G85185W8RU0 / 2</td>
<td>2005</td>
<td>$5,258.00</td>
<td>$0.00</td>
<td>Cannibalized</td>
<td>11/1/2013</td>
<td></td>
</tr>
</tbody>
</table>

Disposition value: $138,270.12

Disposition bookvalue: 586.24

Total Disposition Items: 12
Additional Notes to Surplus listings dated 11/15/13:

1 - Assets # 105729 and # 152301 are two centrifuges from the SOM Department of Internal Medicine that are 30-plus years old, and as such have become obsolete. The department has offered the equipment to other researchers within Internal Medicine as well as to external departments; however, due to their obsolescence they were declined.

2 - Asset # 267135 is a Laparoscopic Trainer purchased for training in the UNMH BATCAVE/Community Training Center. The 2004 software is outdated and does not have an updated version. Replacement parts cannot be found since they are no longer being made. The laparoscope has been replaced with a unit with the latest technology.

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Note: Any item of value in this list will be re-sold through all means available, i.e.: Request for Bid, Auction house, special auction, online sale, to recover the maximum monies to re-invest in the mission of the requesting department.
MEMORANDUM

TO: Members of the Board of Regents Finance & Facilities Committee

THROUGH: David W. Harris, Executive Vice President Administration, COO/CFO

FROM: Jewel Washington, Interim Vice President of Human Resources

DATE: November 21, 2013

SUBJECT: Appointment to the UNM Labor Management Relations Board

The University of New Mexico (UNM) Labor Management Relations Resolution adopted by the Board of Regents in May 2006 created the University of New Mexico Labor Management Relations Board and provided for the annual appointment of three Labor Management Relations Board members to serve annual terms. One member is appointed by the Board of Regents on the recommendation of individuals representing Labor, one member is appointed by the Board of Regents on the recommendation of Management, and the third member is appointed by the Board of Regents on the recommendation of those first two members.

Pursuant to the Labor Management Relations Resolution, labor has recommended the appointment of Joseph Allotta, Esq. as the labor representative. Mr. Allotta’s information is attached.

Labor recommends that the Board of Regents appoint Joseph Allotta, Esq. to the Labor Management Relations Board.
Kevin G. Gick  
Assistant University Counsel  
Office of University Counsel  
MSC05 3440  
1 University of New Mexico  
Albuquerque, NM 87131-0001

Re: University of New Mexico Labor Management Relations Board

Dear Mr. Gick,

With the retirement of Carol Oppenheimer as the Employee Representative on the University of New Mexico Labor Management Relations Board, the time has come to appoint a new Employee Representative.

Enclosed please find letters of endorsement from District 1199NM, National Union of Hospital and Health Care Employees, United Staff UNM, and Communications Workers of America, to appoint Joseph Allotta as the Employee Representative to the Board. Mr. Allotta’s current resume is also enclosed.

Please present this nomination to the UNM Board of Regents at the next scheduled Regents meeting.

If you have any questions, please contact me. Thank you.

Sincerely,

[Signature]

Shane Youtz

Enclosures

cc: Ryan Randall, Labor Relations Director, UNM Hospitals
    Magdalena Vigil-Tular, Employee Relations Director, UNM
    Rita Siegel, Counsel for UNM Labor Management Relations Board
    Fonda Osborn, President, District 1199NM, NUHHCE
    Robin Gould, CWA Staff Representative
    Doris Williams, President, US-UNM
    UNM POA, c/o Fred Mowrer
    Bill Bradley, Organizer, CIR/SEIU
JOSEPH J. ALLOTTA

Business Address:

2 Black Mesa Trail
Placitas, New Mexico 87043
Ph. (505) 867-9452
E-Mail: 1attorneyjoe@gmail.com

PERSONAL DATA

Married: July 17, 1971
Three Adult Children

EDUCATION

American University - Graduated in 1969, Bachelor of Arts
Major: Government and Public Administration
Minor: Business
Activities: Involved in numerous aspects of Student Government.
Honors: Selected: Outstanding Fraternity Man; Who's Who in American College and University; Omicron Delta Kappa, National Honor Society

Case Western Reserve University - Graduated 1972, Juris Doctor
Articles Editor - Law Review, 3rd year
Associate - Law Review, 2nd year
Associate - International Law Journal, 2nd & 3rd years

EMPLOYMENT EXPERIENCE

June 2008 – Present: Of Counsel, Allotta, Farley & Widman Co., L.P.A.
2008-2012: General Counsel, United Food and Commercial Workers, Local 1564

1974 - 1979: Associate - Gallon, Kalniz & Iorio
1972 - 1974: Law Clerk - United States District Court, The Honorable Don J. Young
BAR ADMISSIONS

Ohio Supreme Court - 1972

United States Court of Appeals for the Fourth, Sixth and Seventh Circuits - 1990, 1973 and 1995, respectively

United States District Court for the Northern District of Ohio - 1972

United States District Court for the Southern District of Ohio - 1995

PUBLISHED ARTICLES


PROFESSIONAL MEMBERSHIPS

Ohio State Bar Association, Labor Law Section - Governor, 1978 to 1988

American Bar Association, Labor and Employment Law Section - Chair of Subcommittee on Employee Benefits (1990) and a prior Member of Labor Arbitration and Collective Bargaining

Toledo Bar Association, Federal Court Committee; Labor Law Committee 1980 to 2008

International Foundation of Employee Benefits – 1991 to Current

Lawyers Coordinating Committee – 1985 to Current
PROFESSIONAL ACTIVITIES AND AWARDS

Selected by National Law Journal as one of 2013 Top Rated Lawyers

Selected as one of 2013 Top Rated Lawyer in Labor and Employment Law by Martindale and Hubbell and American Lawyer Media


Covington’s Who’s Who – 2012/2013 Registry


The Best Lawyers in America in the practice area of Labor and Employment Law – 2011 edition


College of Labor and Employment Lawyers - Inducted as Fellow – 1996 To Present


Lecturer, Business Law, University of Toledo - 1973 to 1974

Marquis Who’s Who in America – 61st & 62nd Editions


Marquis Who’s Who in Finance and Business - 36th Edition

Metropolitan Registries – Honors and Award 2004 Edition
Ohio Bar College Member - 1991 through 2008
Ohio Patrolmen's Benevolent Association Award of Merit - 1986
Ohio State Bar Association Certificate of Merit - 1985
Ohio Union of Patrolmen's Association Distinguished Service Award - 1989
Collection Law From Start to Finish Seminar January 29, 2007 Speaker
Toledo Christian Legal Association
Contributing Editor, How Arbitration Works, 6th Ed., 2008 Supplement
Speaker at Numerous Legal and Non-Legal Conferences and Seminars
OTHER ACTIVITIES

Precinct Committee person - 1983 to 2008
Vestry Member, St. Matthew's Episcopal Church (OH) - 1986 to 1989
Manager, Pacesetter Soccer Club - 1990 to 1993
President, St. Matthews Foundation - 1989 to 1999 and 2001 to 2008
Finance Committee, St. Chad's Albuquerque - 2008 to present
Vestry Member, St. Chad's Episcopal Church (NM) - 2009 to 2012
ATHOA, Homeowners Assoc.- Director 2009, Vice-President 2010 to 2013,
Secretary 2013 to present.
Outreach Programs - 1980 to Present
November 8, 2013

Kevin G. Gick  
Assistant University Counsel  
Office of University Counsel  
Employment Law Group  
Scholes Hall 208, MSC05 3440  
1 University of New Mexico  
Albuquerque, NM 87131-0001

Re: University of New Mexico Labor Management Relations Board

Dear Mr. Gick,

Please accept this letter as the official endorsement by National Union of Hospital and Healthcare Employees, District 1199NM/AFSCME of Joseph Allotta, Esq., as the Employee Representative on the University of New Mexico Labor Management Relations Board.

Sincerely,

Fonda Osborn  
President  
District 1199NM
November 14, 2013

Kevin G. Gick
Assistant University Counsel
Office of University Counsel
Employment Law Group
Scholes Hall 208, MSC05 3440
1 University of New Mexico
Albuquerque, NM 87131-0001

Re: University of New Mexico Labor Management Relations Board

Dear Mr. Gick,

Please accept this letter as the official endorsement by United Staff UNM Union of Joseph Allotta, Esq., as the Employee Representative on the University of New Mexico Labor Management Relations Board.

Sincerely,

Doris Williams, President of United Staff UNM Union
November 8, 2013

Kevin G. Gick
Assistant University Counsel
Office of University Counsel
Employment Law Group
Scholes Hall 208, MSC05 3440
1 University of New Mexico
Albuquerque, NM 87131-0001

Re: University of New Mexico Labor Management Relations Board

Dear Mr. Gick,

Please accept this letter as the official endorsement by the Communications Workers of America of Joseph Allotta, Esq., as the Employee Representative on the University of New Mexico Labor Management Relations Board.

Sincerely,

Brenda Roberts
Administrative Assistant to the Vice President
CWA District 7

BR:ek opeiu 30  afl-cio
TAB 7

This agenda item has been removed.
November 22, 2013

TO: UNM Board of Regents Finance and Facilities Committee

FROM: Selena Salazar, Office of the University Secretary

SUBJECT: Approval of naming request for new Lobo Baseball clubhouse

Upon recommendation of the Assistant Athletics Director for Major Gifts Ed Manzanares, the University Naming Committee approved the naming of the item listed below:

1. New Lobo Baseball clubhouse, The R.D. and Joan Dale Hubbard Clubhouse. This request for regent approval is pursuant to Regent's Policy 2.11. The space is part of the exterior environment and requires Board of Regent approval.

Please place these items on the next Finance and Facilities meeting agenda for consideration.

Thank you.

Attachment
MEMORANDUM

Date: November 22, 2013

To: Dr. Robert Frank, President, The University of New Mexico

From: Dr. Breda Bova, Chair, UNM Naming Committee

Re: UNM Naming Committee Approval from November 20, 2013

The Naming Committee has reviewed and voted on the following naming request and recommends your approval. A copy of the departmental request along with UNM Policy 1020 is attached for your reference.

1. Naming Request from Athletics
   - Request to name, "The R.D. and Joan Dale Hubbard Clubhouse".
     UNM Policy 1020 Section 3.2.1 Class 1

Please call me at 277-2915, if you have any questions or wish to discuss in further detail.

Approved

Robert G. Frank
November 26, 2013
MEMORANDUM
Paul Krebs, Vice-President, Athletics
University of New Mexico, Department of Athletics
1 University of New Mexico, MSC04 2680
Albuquerque, NM 87131-0001
Phone: 505/925-5510 Fax: 505/925-5534

TO: Breda Bova
FROM: Paul Krebs, Vice President for Athletics
RE: Naming Rights for Baseball Clubhouse
DATE: November 14, 2013

The UNM Department of Intercollegiate Athletics respectfully requests the approval for naming the new Lobo Baseball Clubhouse in honor of R.D. and Joan Dale Hubbard. R.D. and Joan Dale Hubbard made a commitment of $500,000 to the Lobo Field renovation project, which includes a new clubhouse.

The total cost of the project is expected to be $1,000,000 so the $500,000 gift is 50% of the project therefore; qualifying under the current naming policy. The official name would be the R.D. and Joan Dale Hubbard Clubhouse.

We appreciate your consideration of this request.
THE UNIVERSITY of NEW MEXICO - LOBO BASEBALL CLUBHOUSE CONCEPT
Albuquerque, New Mexico  October 18, 2013
1. General

The University of New Mexico Board of Regents adopted RPM 2.11 "Naming Facilities, Spaces, Endowments and Programs" on November 13, 2001, and instructed the President to develop this policy to describe the process for obtaining approval to name a facility, space, endowment, or program in honor of an individual, corporation, or other organization. The University welcomes the opportunity to honor those who have rendered extraordinary service or support to UNM. The criteria listed in this policy may change with economic conditions and University priorities. Their purpose is to set reasonable guidelines which may need to be adapted to each specific situation. The guidelines in this policy on naming in honor of benefactors applies to all prospective donors to any University college, program, campus, or organization and to the UNM Foundation.

2. Criteria for Selection of Honorees

Naming a facility, space, endowment, or program for an individual, organization, or corporation is one of the highest honors that the University can bestow. This recognition is a lasting and powerful affirmation of the honoree's connection to the University's mission. As such, honorees shall have exemplary character, an unqualified reputation for honesty, personal integrity and the highest standards of personal and professional ethics.

2.1. Extraordinary University Service

Honorees who have been employed by the University shall have given extraordinary service to the institution in a teaching, research, service, or administrative field with such exceptional distinction that their contributions are widely recognized by their peers, both at the University and elsewhere. The recognition afforded the honoree may also include private financial contributions related to the naming opportunity. Honorees may not be current employees, individuals in active service at the University, or those holding elected office at the time of the naming, unless the circumstances are exceptional and approved by the Board of Regents.

2.2. Private Financial Support

Individuals who have not been University employees, corporations, and other organizations may be considered for such recognition if they have made significant financial contributions to the University. A bequest or legacy gift from a
donor who is still alive will not normally be considered for a naming opportunity. Determination of what constitutes a significant financial contribution is made on a case-by-case basis taking into consideration the total cost of the project, the availability of other funds, and the following guidelines:

- New facilities -- fifty percent (50%) of new construction costs or three million dollars whichever is greater.
- Renovated facilities -- seventy-five percent (75%) of the cost of renovating a facility.
- Existing facilities without renovation -- seventy-five percent (75%) of the fair market value of the facility.
- Portable items -- donation of the collection or at least fifty percent (50%) of the value of the collection.
- Tribute markers -- fifty percent (50%) of the cost or value of associated items (e.g. trees, gardens).
- Endowed chairs and professorships -- full funding of the endowment.
- Programmatic Entities -- determined on a case-by-case basis.

Costs for the determination are defined by the University Office for Capital Projects for physical facilities and by the UNM Foundation for endowed positions.

3. Approval Process

Approval requirements for naming UNM facilities, spaces, endowments, and programs are based on the impact of such action on the University of New Mexico. Whenever there is a fundraising effort for a major facility, a plan must be developed which lists naming criteria and funding amounts for various components of the facility (e.g., rooms, laboratories, offices). This plan must be submitted to the Regents' Finance and Facilities Committee as an information item before specific individuals or organizations are approached for specific naming recognition. Whenever possible for other types of naming opportunities, a plan should be developed before specific donors are approached. Plans must include realistic goals, objective analysis of fund raising potential, lists of prospective donors, timelines specifying when the campaigns will begin and end, budgets for all fundraising activities, and contingency plans if the fundraising goals are not met.

All plans and specific requests for naming must be submitted by the appropriate dean/director or Branch Campus Advisory Committee through the dean's/director's vice president to the Committee on Naming Facilities, Spaces, Endowments, and Programs. If the request involves private funds, the vice president will submit the request to the Associate Vice President for Development who will forward it to the Committee. The Committee Chair will forward the Committee's recommendation and obtain the necessary approvals. Gift commitments must be signed by the donor and the Associate Vice President for Development and submitted in writing to the Committee. Every attempt will be made to make a named gift opportunity decision within sixty (60) days from submission of the plan to the Committee. In the best interest of the University and prospective honorees, information relating to any naming request or plan should remain confidential until appropriate approvals have been obtained.

3.1. Committee on Naming Facilities, Spaces, Endowments, and Programs

The Committee shall have the following members: Provost/Executive Vice President for Academic Affairs, Executive Vice President for Administration, Vice President for Advancement, Vice President for Student Affairs, Executive Vice President for the Health Sciences Center, Associate Vice President for Development, University Secretary (official record-keeper), the Senior Advisor to the President, and a faculty member appointed by the President. The President will name the Chair. The Committee is responsible for:

- reviewing naming opportunities and associated plans to ensure adequacy/appropriateness of funding proposal;
• reviewing specific naming requests to ensure they comply with Regents’ policy and are in the best interests of the University;

• ensuring adequacy of funding proposals; and

• making recommendations to the President or the vice president with approval authority for the specific classification.

3.2. Request for Approval

The level of approval for naming UNM facilities, spaces, endowments, and programs depends on the classification of the item. The plan and each specific naming opportunity must be approved according to the following criteria.

3.2.1. Class I

Class I includes facilities and spaces that are part of the outside environment of the University; for example, buildings, complexes of buildings, roads, walkways, playing fields, parks, gardens, and agricultural or forestry plots. Names in this class must be approved by the Board of Regents upon recommendation of the President following consultation with the Committee on Naming Facilities, Spaces, Endowments, and Programs.

3.2.2. Class II

Class II includes facilities and spaces that are part of interior space of the University; for example, library/reading rooms, laboratories, seminar rooms, galleries, recreational courts, and lounges. Names in this class must be approved by the President following consultation with the Committee on Naming Facilities, Spaces, Endowments, and Programs. Depending on the specific Class II facility (for example, large public spaces) the President may decide to submit the request to the Board of Regents for approval.

3.2.3. Class III

Class III includes collections or groups of portable items, which are identifiable because of a specific focus or purpose; for example, collections of art and/or artifacts. Names in this class must be approved by the Provost/Executive Vice President of Academic Affairs or the Executive Vice President for Health Sciences, depending on the location of the Class III material, following consultation with the Committee on Naming Facilities, Spaces, Endowments, and Programs.

3.2.4. Class IV

Class IV addresses tribute markers, which include plaques, medallions or other markers usually in association with such features as trees, benches, or small monuments. The display of tribute markers must be approved by the Executive Vice President for Administration following consultation with the Committee on Naming Facilities, Spaces, Endowments, and Programs.

3.2.5. Endowed Chairs and Professorships

The Board of Regents, following consultation with the President and the Committee on Naming Facilities, Spaces, Endowments, and Programs shall approve the establishment of endowed chairs and professorships based on:

• the endowment levels established by the UNM Foundation;

• the appropriateness of the specific naming consistent with UNM policy;
• a plan for raising the full amount required to fund the endowment including the length of time for raising the funds and alternate arrangements if the funds are not raised within the specified time;

• guidelines for spending prior to full funding of the endowment; and

• a process for monitoring spending to assure conformance with intent.

3.2.6. Programmatic Entities

Naming of programmatic entities (academic and non-academic programs) whether separate organizational units or not (departments, schools, colleges, institutes, centers, conferences, symposia, activities, organizational units, etc.) will only take place in exceptional circumstances. The naming of programmatic entities is a sensitive matter and must include acceptance and agreement from many different constituencies on campus with final approval required from the Board of Regents upon recommendation of the President following consultation with the Committee on Naming Facilities, Spaces, Endowments, and Programs. Before recommending any such naming, the President must ensure:

• the proposed name is consistent with the University policy regarding honorees;

• the autonomy of the programmatic entity and the academic freedoms to which UNM is committed will be safeguarded; and

• the level of private financial support has been taken into consideration.

The Board of Regents reserves the right to review for approval, on a case-by-case basis, any naming request not specifically addressed above and not otherwise delegated by the Board of Regents to the President of the University.

4. Formal Recognition

When name recognition has been extended for a gift received, it must be honored in accordance with a written gift agreement signed by University officials and the donor(s). In the event of significant changes in circumstances, the University reserves the right to determine the form of name recognition, in consultation with the donor when possible. The University Secretary is responsible for recording the official decisions on all naming requests and reporting those decisions to the appropriate UNM officials with a copy to University Archives.

5. Duration of Name

Naming of facilities, spaces, endowments, and programs in honor of individuals is generally expected to last the lifetime of the facility, space, endowment, or program. Naming of facilities, spaces, endowments, and programs in honor of corporations or other organizations will have a set number of years attached to the naming which will be determined on a case-by-case basis and listed in the signed gift agreement; typically the duration of such naming shall not exceed twenty-five (25) years. Naming associated with a particular facility, space, endowment, or program does not preclude further naming within the facility, space, endowment, or program.

6. Implementation

This policy does not apply to any arrangements that are in existence at the date this policy was adopted, nor to arrangements that may be made pursuant to discussions with donors that had commenced at the date the policy was adopted, even though the arrangements do not conform to this policy.
November 14, 2013

Mr. David W. Harris
EVP for Administration, COO & CFO
The University of New Mexico
Albuquerque NM 87131

RE: Regents’ Finance & Facilities Committee Agenda Item
Lobo Development Corporation Income/Expenses, March 2008-Sept. 2013

Dear EVP Harris,

The Lobo Development Corporation Board of Directors respectfully requests that you place the attached Lobo Development Corporation Income/Expenses, March 28, 2008 through September 30, 2013 information item on the agenda for the December 6, 2013 meeting of the Board of Regents’ Finance & Facilities Committee.

Sincerely,

Chris Vallejos
Secretary/Treasurer
# Lobo Development Corporation
## Income/Expenses
### March 28, 2008 through September 30, 2013

### REVENUE/INCOME
- Main Campus ACC/Student Housing: $2,129,713
- South Campus ACC/Student Housing: $945,144
- Gibson Fire Station Sale: $827,229
- Mesa del Sol: $959,652
- 933 Bradbury, 1312 Basehart, UNM West: $1,066,933
- RED Reimbursements: $7,191
- Misc. Interest Income: $82,826
- **Total Revenue/Income**: $6,018,688

### SOUTH CAMPUS EXPENSES
- Gibson Corridor: $1,565,441
- Gibson-City Fire Station: $85,974
- Fairmount Properties: $239,907
- South Campus Student Housing: $605,792
- Other South Campus Expenses* *(see below)*: $232,701
- **Total South Campus Expenses**: $2,729,815

### OTHER EXPENSES
- Other Project Expenses** *(see below & page 2)*: $942,270
- LDC: $957,119
- UNM Budget Assessment: $587,500
- Misc. Interest Expense: $5,499
- **Total Other Expenses**: $2,492,388

### TOTAL EXPENSES: $5,222,203
### NET REVENUE: $796,485

*Other South Campus Expenses: Athletics, Golf Course, Mesa del Sol/Aperture, Econ Dev, Clinic, Retail MP, Vagabond Hotel

**Other Project Expenses: First Baptist, Central Campus Student Housing, Comm Dev-CC, Lomas Corridor, Central & University, Lomas & University, Lomas Hotel, Camino del Salud @ Univ, Comm Dev-NC, Continuing Care, Sandia Foundation bldgs, Innovation Square, Master Plan, Rio Rancho
**Other Project Expenses** breakdown

<table>
<thead>
<tr>
<th>Project Name</th>
<th>Cost</th>
</tr>
</thead>
<tbody>
<tr>
<td>First Baptist Church</td>
<td>$22,038</td>
</tr>
<tr>
<td>Lomas Corridor</td>
<td>$28,588</td>
</tr>
<tr>
<td>Central &amp; University</td>
<td>$2,573</td>
</tr>
<tr>
<td>Comm Dev-Central Campus</td>
<td>$5,165</td>
</tr>
<tr>
<td>Lomas &amp; University</td>
<td>$105,973</td>
</tr>
<tr>
<td>Lomas Hotel</td>
<td>$39,992</td>
</tr>
<tr>
<td>Camino de Salud @ University</td>
<td>$15,300</td>
</tr>
<tr>
<td>Comm Dev-North Campus</td>
<td>$167</td>
</tr>
<tr>
<td>Commercial Development</td>
<td>$180,879</td>
</tr>
<tr>
<td>Continuing Care</td>
<td>$1,002</td>
</tr>
<tr>
<td>Sandia Foundation Purchases</td>
<td>$11,144</td>
</tr>
<tr>
<td>Student Housing-Central Campus</td>
<td>$502,236</td>
</tr>
<tr>
<td>Student Support-Central Campus</td>
<td>$9,745</td>
</tr>
<tr>
<td>Innovation Square</td>
<td>$723</td>
</tr>
<tr>
<td>UNM Master Plan</td>
<td>$2,669</td>
</tr>
<tr>
<td>Rio Rancho</td>
<td>$14,078</td>
</tr>
</tbody>
</table>

$ 942,270
Lobo Development Corporation
South Campus Commercial Development Expense Report
March 2008 through September 2013

GIBSON CORRIDOR

$741,255 Balance of Fire Station Sale
-$508,460 Consulting Services
Amy Coburn $-158,951
Forest City Commercial Management, Inc. $-317,000
Steve Befort $-32,509
-$225,429 Engineering Services
Bohannan Huston $-134,739
City of Albuquerque $-17,206
Wilson & Company, Inc. $-73,484
-$57,181 Architects
Dekker/Perich/Sabatini $-44,523
KA Inc. $-12,658
-$10,134 Legal
Modrall Sperling Roehl Harris & Sisk, PA $-3,381
Rodey Law Firm $-6,753
-$653,766 Construction
New Mexico Gas Company $-4,405
Public Service Company of New Mexico $-9,108
Salls Brothers Construction, Inc. $-579,423
Southwest Line & Cable Company $-60,831
-$30,000 Study
Retail GeoScore LLC $-30,000
-$80,471 Misc
Interns $-40,717
Environmental Inspections $-15,630
Other $-24,124

-$1,565,441 Total Expenses

-$824,186 Net

$313,610 Revenue from South Campus Student Housing
$510,576 Revenue from Central Campus Student Housing
$0

GIBSON-CITY FIRE STATION

$827,229 Gibson Fire Station Sale Proceeds
-$17,716 Engineering
Bohannan Huston Inc. $-15,997
Alpha Professional Surveying Inc. $-1,719
-$11,878 Appraisal/Study
  Dekker/Perich/Sabatini - $7,170
  Joshua Cannon & Assoc., Inc. - $2,622
  Vinyard & Associates, Inc. - $2,087

-$55,286 Legal
  Rodey Law Firm - $55,286

-$1,094 Misc

-$85,974 Total Expenses

$741,255 Net Revenue

-$741,255 Transfer to Gibson Corridor Costs

$0

FAIRMOUNT PROPERTIES COSTS

$97,315 Consulting Services
  Altura Real Estate LLC - $30,687
  FC Asset Services, LLC - $44,645
  Steve Beffort - $21,983

$141,030 Legal
  Rodey Law Firm - $141,030

$1,562 Misc

$239,907 Total Expenses

SOUTH CAMPUS STUDENT HOUSING

$945,144.44 SC Student Housing Ground Lease Payments to date

-$221,107 Consulting Services
  Amy K. Coburn - $118,024
  Harry N. Relkin - $103,083

-$8,814 Engineering Services
  Bohannan Huston Inc. - $8,246
  Lea Environmental LLC - $568

-$50,997 Architects
  Dekker/Perich/Sabatini - $50,997

-$322,200 Legal
  Rodey Law Firm - $322,200

-$2,674 Misc

-$605,792 Total Expenses

$339,353 Net Revenue

-$339,353 Transfer to Gibson Corridor Costs

$0
MEMORANDUM TO ADVANCE COMMITTEE AGENDA ITEM TO THE BOARD OF REGENTS THE UNIVERSITY OF NEW MEXICO

DATE: November 21, 2013

TO: David W. Harris, EVP for Administration, COO & CFO

FROM: Chris Vallejos, Associate Vice President, Institutional Support Services

RE: Office of Capital Projects Executive Summary Report

INFORMATION ITEM:

The attached report is submitted for information only.


cc: Lisa Marbury, Interim Executive Project Director, Institutional Support Services
Rick Henrard, Interim Director, Office of Capital Projects
Tony Sanchez, Associate Project Manager, Office of Capital Projects
Christina Martinez, Unit Administrator, Office of Capital Projects
# UNM Capital Projects
## Executive Summary Report

### All Projects

<table>
<thead>
<tr>
<th>Category</th>
<th>Count</th>
<th>Funding</th>
<th>Cost</th>
<th>New Projects</th>
<th>Completed Projects</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total Active Projects</td>
<td>35</td>
<td>$44,726,248</td>
<td>$67,674,748</td>
<td>3</td>
<td>1</td>
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<tr>
<td>Total SF</td>
<td>349,576</td>
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<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Major Capital (Projects > $500,000)

<table>
<thead>
<tr>
<th>Category</th>
<th>Count</th>
<th>Funding</th>
<th>Cost</th>
<th>New Projects</th>
<th>Completed Projects</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total Active Projects</td>
<td>12</td>
<td>$42,079,647</td>
<td>$64,978,147</td>
<td>1</td>
<td>0</td>
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<tr>
<td>Total SF</td>
<td>307,115</td>
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</tr>
</tbody>
</table>

### Minor Capital (Projects between $1000 and $500,000)

<table>
<thead>
<tr>
<th>Category</th>
<th>Count</th>
<th>Funding</th>
<th>Cost</th>
<th>New Projects</th>
<th>Completed Projects</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total Active Projects</td>
<td>23</td>
<td>$2,646,601</td>
<td>$2,696,601</td>
<td>2</td>
<td>1</td>
</tr>
<tr>
<td>Total SF</td>
<td>42,461</td>
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<td></td>
<td></td>
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</table>
## Major Capital Report

<table>
<thead>
<tr>
<th>Schedule Info</th>
<th>Project Name</th>
<th>Architect</th>
<th>Contractor</th>
<th>SF</th>
<th>Project Funding</th>
<th>Est. Project Cost</th>
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</thead>
<tbody>
<tr>
<td><strong>Planning</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1</td>
<td>Planning Start / Finish: 11/6/2012 - 6/15/2013</td>
<td>Chemistry Building Renovations (Clark Hall)</td>
<td></td>
<td>81339</td>
<td>$16,000,000</td>
<td>$16,000,000</td>
</tr>
<tr>
<td></td>
<td>Design Start / Finish: 1/31/2014 - 2/20/2015</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Design Start / Finish: 5/1/2014 - 5/1/2015</td>
<td>McNamara Architecture</td>
<td>TBD</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>Planning Start / Finish:</td>
<td>Taos Klauser Campus Renovations and Upgrades</td>
<td></td>
<td></td>
<td>$1,768,212</td>
<td>$1,768,212</td>
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<tr>
<td></td>
<td>Design Start / Finish: 10/1/2013 - 5/30/2014</td>
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<tr>
<td>4</td>
<td>Planning Start / Finish: 5/1/2013 - 11/22/2013</td>
<td>Taos Klauser Campus Student Services Center Renovations</td>
<td></td>
<td>12141</td>
<td>$2,231,788</td>
<td>$2,231,788</td>
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<tr>
<td>Schedule Info</td>
<td>Project Name</td>
<td>Architect</td>
<td>Contractor</td>
<td>SF</td>
<td>Project Funding</td>
<td>Est. Project Cost</td>
</tr>
<tr>
<td>--------------</td>
<td>--------------------------------------------------</td>
<td>-------------------------</td>
<td>------------</td>
<td>-----</td>
<td>-----------------</td>
<td>-------------------</td>
</tr>
<tr>
<td>Planning Start / Finish:</td>
<td>6/1/2012 - 6/1/2013</td>
<td>Zimmerman Library Combined Service Point (CSF) Ph 2</td>
<td>PCG Robert Doran</td>
<td>OCP - GC</td>
<td>18000</td>
<td>$940,000</td>
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<tr>
<td>Const. Start / Finish:</td>
<td>5/12/2014 - 8/1/2014</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Total Projects</strong></td>
<td><strong>5</strong></td>
<td><strong>Total SF</strong></td>
<td><strong>188,717</strong></td>
<td><strong>Total Cost</strong></td>
<td><strong>$44,640,000</strong></td>
<td></td>
</tr>
</tbody>
</table>

**Programming**

| Planning Start / Finish: |  |  |  |  |  |  |
| Design Start / Finish: | 12/16/2013 - 3/31/2014 | HSC IDTC 3rd Floor Lab Renovation DolM | FBT Architects | 2315 | $507,147 | $507,147 |
| **Total Projects** | **1** | **Total SF** | **2,315** | **Total Cost** | **$507,147** |

**Design**

| Planning Start / Finish: |  |  |  |  |  |  |
| Design Start / Finish: | 6/1/2013 - 10/14/2013 | 1650 University Tenant Build-Out | FBT Architects | 104897 | $7,400,000 | $7,400,000 |
| Const. Start / Finish: | 12/16/2013 - 10/17/2014 |  |  |  |  |  |

<p>| Planning Start / Finish: | 3/1/2013 - 5/1/2013 | Biology Building Completion (Castetter Hall) | FBT Architects | 11000 | $3,850,000 | $3,850,000 |</p>
<table>
<thead>
<tr>
<th>Schedule Info</th>
<th>Project Name</th>
<th>Architect</th>
<th>Contractor</th>
<th>SF</th>
<th>Project Funding</th>
<th>Est. Project Cost</th>
</tr>
</thead>
</table>

**Total Projects** | **Total SF** | **Total Cost**
---|---|---
5 | 130,489 | $18,500,000

### Construction

<table>
<thead>
<tr>
<th>Schedule Info</th>
<th>Project Name</th>
<th>Architect</th>
<th>Contractor</th>
<th>SF</th>
<th>Project Funding</th>
<th>Est. Project Cost</th>
</tr>
</thead>
<tbody>
<tr>
<td>12</td>
<td>Planning Start / Finish:</td>
<td>McKinnon Family Tennis Center Molzen-Corbin</td>
<td>OCP</td>
<td>5/1/2012 – 6/28/2013</td>
<td>$1,331,000</td>
<td>$1,331,000</td>
</tr>
</tbody>
</table>

**Total Projects** | **Total SF** | **Total Cost**
---|---|---
1 | | $1,331,000 |
## Projects Completed

Project Location: **HSC**

<table>
<thead>
<tr>
<th>Project Start/End</th>
<th>Project Name</th>
<th>Project Mgr.</th>
<th>Architect</th>
<th>Contractor</th>
<th>SF</th>
<th>Project Cost</th>
</tr>
</thead>
<tbody>
<tr>
<td>1/4/2013</td>
<td>COP B-12 Office Renovation</td>
<td>Brian Scharmer</td>
<td>Devendra Contractor</td>
<td>875</td>
<td>$278,196</td>
<td></td>
</tr>
<tr>
<td>11/27/2013</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Totals for HSC**

<table>
<thead>
<tr>
<th>Total Projects</th>
<th>Total SF: 875</th>
<th>Total Cost: $278,196</th>
</tr>
</thead>
</table>

**Grand Total SF:** 875  
**Grand Total:** $278,196
## New Projects

### Project Location: HSC

<table>
<thead>
<tr>
<th>Project Start/End</th>
<th>Project Name</th>
<th>OCP PM</th>
<th>PCD PM</th>
<th>Project Type</th>
<th>SF</th>
<th>Project Cost</th>
</tr>
</thead>
<tbody>
<tr>
<td>7/31/2013</td>
<td>HSC IDTC 3rd Floor Lab Renovation</td>
<td>Brian Scharmer</td>
<td></td>
<td>TI</td>
<td>2315</td>
<td>$507,147</td>
</tr>
<tr>
<td></td>
<td>DoIM</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Totals for HSC**

- **Total Projects**: 1
- **Total SF**: 2,315
- **Total Cost**: $507,147

### Project Location: Main Campus

<table>
<thead>
<tr>
<th>Project Start/End</th>
<th>Project Name</th>
<th>OCP PM</th>
<th>PCD PM</th>
<th>Project Type</th>
<th>SF</th>
<th>Project Cost</th>
</tr>
</thead>
<tbody>
<tr>
<td>1/14/2013</td>
<td>Bookstore Lighting Upgrade</td>
<td>Sharon Rodgers</td>
<td></td>
<td>Repair/Replace</td>
<td>27456</td>
<td>$273,816</td>
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<tr>
<td>1/3/2014</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5/16/2013</td>
<td>Honors College Renovation</td>
<td>Kim Sylvester</td>
<td>Ben Savoca</td>
<td>Remodel</td>
<td></td>
<td>$249,170</td>
</tr>
<tr>
<td>1/3/2014</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Totals for Main Campus**

- **Total Projects**: 2
- **Total SF**: 27,456
- **Total Cost**: $522,986

**Grand Total Projects**: 3
# OCP Major Capital Monthly Exception Report

## Executive Summary

| Total Yellow Projects | 2 | Total Red Projects | 0 |

<table>
<thead>
<tr>
<th>Project Start/End</th>
<th>Issue Status</th>
<th>Project Name</th>
<th>Architect</th>
<th>Contractor</th>
<th>SF</th>
<th>Project Cost</th>
</tr>
</thead>
<tbody>
<tr>
<td>12/4/2012 - 2/28/2014</td>
<td>Yellow</td>
<td>Los Alamos Science Labs (Project on Hold per req'd. HED doc's.)</td>
<td>DNCA Architect</td>
<td>TBD</td>
<td>2142</td>
<td>$750,000</td>
</tr>
<tr>
<td>12/3/2012 - 3/28/2014</td>
<td>Yellow</td>
<td>North Golf Course</td>
<td>Sites Southwest</td>
<td>TBD</td>
<td>0</td>
<td>$1,500,000</td>
</tr>
</tbody>
</table>
Los Alamos Science Labs (Project on Hold per req'd. HED doc's.)

Building: Building 3 & 5  
Building No: 2142

Project Team

- Project Mgr: Maria Dion  
- Construction Mgr: Mike Reid  
- Architect/Engineer: DNCA Architect  
- General Contractor: TBD  
- ITS:  
- PPD:  
- PCD: Taudy Miller

Budget

- Est. Construction$: $522,700  
- A/E Est. MACC  
- Est. Project$: $750,000  
- Spent to Date:  
- Funding To Date: $750,000  
- Budget Confidence:

Project Description

Provide 2,142 SF of improvements to teaching wet lab 515, chemical storage room 310, as well as update plumbing, audio-visual and furniture in teaching wet lab 312.

Timeline

<table>
<thead>
<tr>
<th>Phase</th>
<th>Planned Start</th>
<th>Planned End</th>
<th>Revised Start</th>
<th>Revised End</th>
</tr>
</thead>
<tbody>
<tr>
<td>Programming</td>
<td>3/1/2013</td>
<td>5/31/2013</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Design</td>
<td>8/5/2013</td>
<td>10/18/2013</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Construction</td>
<td>12/9/2013</td>
<td>2/28/2014</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Stage of Development

Current Phase: Design

1. 11/20/13 - Project on hold while required HED documentation is being collected.

Approvals

- Scope Approval Date: 8/21/2013
- Programming Approval: 6/12/2013
- Design Approval:  
- Regents Approval: 9/6/2013
- CDAC Approval:  
- HED Approval: 10/9/2013
- SBOF Approval: 11/14/2013
- Bid Approval:  

Issues

Issue Status: Yellow

- □ Budget Issue  ✓ Schedule Issue  Trend: □ Better □ Worse
North Golf Course

Project Description
Renovation of the irrigation system, minor changes to the fairway, tee and green layout as needed, improvements to the public trail system.

Project Team
- Project Mgr: Maria Dion
- Construction Mgr: Eric Schwaner
- Architect/Engineer: Sites Southwest
- General Contractor: TBD
- ITS: TBD
- PPD: Gary Smith
- PCD: Sue Mortier

Timeline

<table>
<thead>
<tr>
<th>Phase</th>
<th>Planned Start</th>
<th>Planned End</th>
<th>Revised Start</th>
<th>Revised End</th>
</tr>
</thead>
</table>

Budget
- Est. Construction $1,042,135
- A/E Est. MACC
- Est. Project $1,500,000
- Spent to Date:
- Funding To Date: $1,500,000
- Budget Confidence:

Construction Metrics
- Percent Complete: 0%
- Contingency Burn Rate: 0%

Stage of Development
Current Phase: Design
1. 11/20/2013 - Project delayed to rebid and acquire additional local contractor competition.

Approvals
- Scope Approval Date: 7/10/2013
- Design Approval: 10/11/2013
- Programming Approval: CDAC Approval: Regent Approval: 8/2/2013
- HED Approval: 9/11/2013
- SBOF Approval: 10/15/2013
- Bid Approval:

Issues
- Issue Status: Yellow
- Budget Issue: ✓ Schedule Issue: ✓ Trend: ✓ Better □ Worse

Capital Projects
<table>
<thead>
<tr>
<th>PROJECT NAME</th>
<th>Total Project Budget</th>
<th>MACC Estimate (HED)</th>
<th>% + / - Delivery Method</th>
<th>Prime Contractor</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>Baseball Stadium</td>
<td>3,329,500</td>
<td>2,583,482</td>
<td>5.41% HED MACC v Contract</td>
<td>Bradbury/Starrm</td>
<td>(Included separate Landscape, elec. &amp; IT contracts)</td>
</tr>
<tr>
<td>Castetter Ph 2</td>
<td>9,899,000</td>
<td>7,793,347</td>
<td>4.32% RFF</td>
<td>Jaynes</td>
<td>(Included Early Work, Landscape, Elec. &amp; IT contracts)</td>
</tr>
<tr>
<td>Chemistry</td>
<td>2,579,000</td>
<td>1,459,360</td>
<td>12.84% OCP-GC</td>
<td>OCP-GC</td>
<td></td>
</tr>
<tr>
<td>CTiB</td>
<td>9,487,481</td>
<td>6,813,174</td>
<td>-0.05% CMAR</td>
<td>McCarthy</td>
<td>(Included VE efforts)</td>
</tr>
<tr>
<td>Dental Clinic</td>
<td>9,000,000</td>
<td>6,152,644</td>
<td>4.10% RFF</td>
<td>Klinger</td>
<td>(Included Built-in Med &amp; IT Eqps.)</td>
</tr>
<tr>
<td>FACE</td>
<td>2,400,000</td>
<td>1,346,500</td>
<td>-12.07% JOC</td>
<td>Centennial</td>
<td>(MACC Scope was added by User. Includes IT, Early Demo and Hazmat packages contracts)</td>
</tr>
<tr>
<td>Gallup Tech</td>
<td>13,623,890</td>
<td>11,566,340</td>
<td>6.07% RFF</td>
<td>Flintco</td>
<td></td>
</tr>
<tr>
<td>IDTC 3rd Floor</td>
<td>2,187,105</td>
<td>1,719,297</td>
<td>-0.36% RFF</td>
<td>Bradbury/Starrm</td>
<td>(Included IT, Early Demo and Hazmat contracts)</td>
</tr>
<tr>
<td>IDTC CMD</td>
<td>9,586,673</td>
<td>5,139,130</td>
<td>3.78% RFF</td>
<td>Bradbury/Starrm</td>
<td>(Includes IT, Early Demo and Hazmat contracts)</td>
</tr>
<tr>
<td>IDTC EMS</td>
<td>4,803,497</td>
<td>3,302,483</td>
<td>2.72% RFF</td>
<td>Bradbury/Starrm</td>
<td>(Includes IT, Early Demo and Hazmat contracts)</td>
</tr>
<tr>
<td>Laguna/DeVargas</td>
<td>2,470,000</td>
<td>1,408,348</td>
<td>14.90% OCP-GC</td>
<td>OCP-GC</td>
<td></td>
</tr>
<tr>
<td>Logan Hall</td>
<td>5,584,723</td>
<td>3,072,638</td>
<td>5.88% RFF</td>
<td>Klinger</td>
<td>(Rebid RF Sheilding, Included IT contract)</td>
</tr>
<tr>
<td>MaLL</td>
<td>1,137,000</td>
<td>726,825</td>
<td>14.17% OCP-GC</td>
<td>OCP-GC</td>
<td>(Had $70K in IT savings. Included Post &amp; Beam System contract)</td>
</tr>
<tr>
<td>Nantechology</td>
<td>2,000,000</td>
<td>987,125</td>
<td>16.76% OCP-GC</td>
<td>OCP-GC</td>
<td></td>
</tr>
<tr>
<td>Science &amp; Math Ph 1</td>
<td>21,557,321</td>
<td>19,657,776</td>
<td>28.77% RFF</td>
<td>McCarthy</td>
<td>(Designed in high economy, bid in low economy)</td>
</tr>
<tr>
<td>University Arena (PIT)</td>
<td>58,864,749</td>
<td>49,236,934</td>
<td>7.28% CMAR</td>
<td>Flintco</td>
<td>(Included Landscape and built-in exps. contracts)</td>
</tr>
<tr>
<td>Yale Parking Structure</td>
<td>17,622,000</td>
<td>14,603,550</td>
<td>9.29% RFF</td>
<td>McCarthy</td>
<td>(Included solar panel contracts)</td>
</tr>
</tbody>
</table>

Note: 'Other Cost' are Architect/Engineer (A/E) fees, Project Admin fees, Surveys, Testing, Furniture, Equipment etc.
September 30, 2013

TO: HSC Board of Directors

FROM: Lynda Welage, Dean, College of Pharmacy

SUBJECT: Fall 2013 Degree Candidates

Included is the list of the Fall 2013 Degree Candidates. Please do not publish the candidates that are on the privacy flag list.

Degree Candidate Summary*

<table>
<thead>
<tr>
<th>Degree Candidate Summary*</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Master's Degree</td>
<td>1</td>
</tr>
<tr>
<td>Ph.D. Degree</td>
<td>2</td>
</tr>
<tr>
<td>Total</td>
<td>3</td>
</tr>
</tbody>
</table>

*Final number of conferred degrees will be slightly lower due to changes in student degree status that occur until the end of the semester.

Please place this item on the next Board of Directors meeting agenda for consideration.

Thank you.

Attachments
December 2, 2013

TO: HSC Board of Directors
FROM: Nancy Ridenour, Dean, College of Nursing
SUBJECT: Fall 2013 Degree Candidates

The CON Faculty approved the Fall 2013 Degree Candidates at the November 18, 2013 Faculty Business Meeting.

Included is the list of the Fall 2013 Degree Candidates. Please do not publish the candidates that are on the privacy flag list.

Degree Candidate Summary*

<table>
<thead>
<tr>
<th>Degree Type</th>
<th>Number</th>
</tr>
</thead>
<tbody>
<tr>
<td>Doctor of Philosophy</td>
<td>3</td>
</tr>
<tr>
<td>Master's Degree</td>
<td>12</td>
</tr>
<tr>
<td>Post-Master's Certificate</td>
<td>1</td>
</tr>
<tr>
<td>Bachelor's Degree (RN to BSN)</td>
<td>18</td>
</tr>
<tr>
<td>Bachelor's Degree (Basic Entry BSN)</td>
<td>43</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>77</strong></td>
</tr>
</tbody>
</table>

*Final number of conferred degrees will be slightly lower due to changes in student degree status that occur until the end of the semester.

Please place this item on the next Board of Director's meeting agenda for consideration. I will not be able to attend the meeting on December 6. However, Dr. Amy Levi, Interim Executive Associate Dean will be in attendance and will formally present the graduation list.

Thank you.

Attachments
December 5, 2013

TO: UNM Board of Regents
FROM: Dr. Thomas Williams, Executive Vice Dean
SUBJECT: Fall 2013 Degree Candidates

The School of Medicine Faculty approved the Fall 2013 Degree Candidates at the December 5, 2013 General Faculty Meeting.

Included are the lists of the Fall 2013 Degree Candidates. Please do not publish the candidates that are on the privacy flag list.

Degree Candidate Summary*

<table>
<thead>
<tr>
<th>Degree Type</th>
<th>Count</th>
</tr>
</thead>
<tbody>
<tr>
<td>Doctoral and MFA Degree</td>
<td>6</td>
</tr>
<tr>
<td>Master’s Degree</td>
<td>27</td>
</tr>
<tr>
<td>Bachelor’s Degree</td>
<td>24</td>
</tr>
<tr>
<td>Associate’s Degree</td>
<td>0</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>57</strong></td>
</tr>
</tbody>
</table>

*Final number of conferred degrees will be slightly lower due to changes in student degree status that occur until the end of the semester.

Please place this item on the next Board of Regents meeting agenda for consideration.

Thank you.

Attachments
UNM Hospital Board of Trustees
Recommendation to HSC Board of Directors Finance and Audit Committee
November 2013

Approval

(1) Cerner Corporation – PowerChart Physician Documentation

Mailing Address: Officers Information:
2800 Rock Creek Parkway President and CEO: Neal L. Patterson
Kansas City, MO 64117 Vice Chair Co-founder: Clifford W. Illig
Executive VP Client Organization: Zane M. Burke

Source of Funds: UNM Hospitals Information Technology Capital Budget

Description
Request for license software purchase and professional services implementation agreement with Cerner Corporation. This project is to purchase and implement a software tool to allow for standardized Physician documentation for all care areas. This product allows for the ability to extract and incorporate information contained in the Electronic Medical Record directly to a clinician’s documentation. In addition, an ambulatory process improvement assessment and workflow functionality is included to provide a methodology to improve efficiency and process for the data capture so as to make the documentation a by-product of the patient care process. This tool will be available as an integrated part of Powerchart, the Electronic Medical Record for patients of the UNM Health System.

Previous Contract: None; this is a new contract.

Process: UNMH RFP #P272-13
RFP Respondents: Single respondent.
Selection Reason: Selection was based upon: 35% functionality, 25% ease of integration, 15% pricing, 10% Information Technology security and 15% support and experience.

Term: Request for three year contract with option to renew annually for four additional years.

Total Cost: One time perpetual license software purchase of $789,200. One time professional services implementation fee of $430,004. First year maintenance and support fee of $143,472. Total first year cost will be $1,362,676.

Annual maintenance and support fee will be $143,472. Total potential cost (if renewed annually for the four additional years) will be $2,223,508.
(2) Intuitive Surgical, Inc.

Mailing Address: 186 Wood Ave South
Iselin, NJ 00830

Officers Information:
President and CEO: Gary S. Guthart, Ph.D.
Chairman of the Board: Lonnie M. Smith

Source of Funds: UNM Hospitals Operating Budget

Description:
Request for a 48 month service agreement with Intuitive Surgical to provide all Clinical and Technical support, maintenance service, parts, and software updates for a da Vinci S Surgical Robot System located in UNM Hospitals, Main Hospital, Operating Room Department.

Previous Contract: Intuitive Surgical
Previous Term: 5 years
Previous Contract Amount: $149,000, plus NM gross receipts tax

Process:
Sole Source posting period met without protest on October 17, 2013 on UNM Sunshine Portal. The new service agreement resulted in no increase to previous contract.

Cost:
Annual maintenance is $156,637 for fiscal year 2014.
Annual maintenance is $156,637 for fiscal year 2015.
Annual maintenance is $156,637 for fiscal year 2016.
Annual maintenance is $156,637 for fiscal year 2017.
Total contract value is $626,548 for 48 months. All totals include NMGRT.
MEMORANDUM TO ADVANCE COMMITTEE AGENDA ITEM TO THE BOARD OF REGENTS THE UNIVERSITY OF NEW MEXICO

DATE: November 12, 2013

TO: Dr. Paul B. Roth, Chancellor for Health Sciences Center

FROM: Mary Gauer, Group Manager, Office of Capital Projects

RE: Requested Approval

RECOMMENDED ACTION:

Request for Approval of Architect Selection and Capital Project Approval for IDTC Third Floor Department of Internal Medicine Project

Please see attached summary and drawings.

cc: Chris Vallejos, Associate Vice President, Institutional Support Services
    Robert Fondino, Chief Budget & Facilities Officer, HSC Finance & Administration
    Vahid Staples, Budget Officer, Office of Planning, Budget & Analysis
    Robert Doran, University Architect, Planning & Campus Development
    R. Henrard, C. Martinez, B. Scharmer, T. Sanchez – OCP
REQUEST for ARCHITECT SELECTION and CAPITAL PROJECT APPROVAL for
IDTC THIRD FLOOR DEPARTMENT OF INTERNAL MEDICINE PROJECT
UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER
December 10, 2013

RECOMMENDED FIRM:
FBT Architects
Albuquerque, New Mexico

ARCHITECT SELECTION RATIONALE:
This recommendation is based on an internal UNM-OCP sole source selection process in accordance with State of NM Procurement Regulations. The selected architectural firm performed the extensive design and construction administration work for the 2011-2012 Tri-Services Renovation Projects, which converted the former Tri-Services building into laboratory, classroom and administrative space. FBT has unique IDTC facility and infrastructure knowledge that is needed for this design effort. A fee of $43,000 is anticipated, based on the scope of consultant work.

PROJECT DESCRIPTION:
This project will renovate 2,056 NSF of labs and 259 NSF of office space on the 3rd Floor of the IDTC for the Department of Internal Medicine. Total renovated space is 2,315 NSF. All spaces will meet the design standards established for the existing 3rd Floor labs and offices.

PROJECT RATIONALE:
The labs currently contain the utilities, casework and finishes original to the 1976 New Mexico Scientific Laboratories construction. This area of the IDTC was previously assigned to the Department of Pathology which chose to not occupy the space. In an effort to efficiently and fully utilize research space, the Department of Internal Medicine will make all improvements needed to meet their specific research requirements. These renovated spaces will be used to retain current faculty and recruit new faculty.

FUNDING:
The total estimated Project Budget is $507,147:

- $507,147 is funded from Department of Internal Medicine funding.
Materials will be available at the meeting.
Foundations of Excellence® Implement for University of New Mexico-Main Campus
Phase I Implement

Part A. General Information
Steering Committee (FoE Implement Steering Committee)

<table>
<thead>
<tr>
<th>Name</th>
<th>Title</th>
<th>Email Address</th>
<th>Committee Roles (Liaison or Member)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Carolina Aguirre</td>
<td>Director: STEM UP CNM/UNM Cooperative</td>
<td><a href="mailto:caguirre@unm.edu">caguirre@unm.edu</a></td>
<td>Subcommittee Chair</td>
</tr>
<tr>
<td>Tomas Aguirre</td>
<td>Dean of Students</td>
<td><a href="mailto:taaguirre@unm.edu">taaguirre@unm.edu</a></td>
<td>Member</td>
</tr>
<tr>
<td>Terry Babbitt</td>
<td>Associate Vice President Enrollment Management</td>
<td><a href="mailto:tbabbitt@unm.edu">tbabbitt@unm.edu</a></td>
<td>Liaison</td>
</tr>
<tr>
<td>Jennifer Gomez-Chavez</td>
<td>Director, Student Academic Success</td>
<td><a href="mailto:jengomez@unm.edu">jengomez@unm.edu</a></td>
<td>Member</td>
</tr>
<tr>
<td>Tim Gutierrez</td>
<td>AVP, Student Services</td>
<td><a href="mailto:tgutierrez@unm.edu">tgutierrez@unm.edu</a></td>
<td>Member</td>
</tr>
<tr>
<td>Vanessa Harris</td>
<td>Director, University Advisement Center</td>
<td><a href="mailto:vgharris@unm.edu">vgharris@unm.edu</a></td>
<td>Member</td>
</tr>
<tr>
<td>Greg Heileman</td>
<td>Associate Provost</td>
<td><a href="mailto:heileman@unm.edu">heileman@unm.edu</a></td>
<td>Liaison, Co-chair</td>
</tr>
<tr>
<td>Kate Krause</td>
<td>Dean of UC and Honors</td>
<td><a href="mailto:Kkrause@unm.edu">Kkrause@unm.edu</a></td>
<td>Liaison, Co-chair</td>
</tr>
<tr>
<td>Diane Marshall</td>
<td>Associate Dean, College of Arts &amp; Sciences</td>
<td><a href="mailto:marshall@unm.edu">marshall@unm.edu</a></td>
<td>Member</td>
</tr>
<tr>
<td>Amy Neel</td>
<td>President, Faculty Senate</td>
<td><a href="mailto:atneel@unm.edu">atneel@unm.edu</a></td>
<td>Member</td>
</tr>
<tr>
<td>Sonia Rankin</td>
<td>Associate Dean, University College</td>
<td><a href="mailto:sgrankin@unm.edu">sgrankin@unm.edu</a></td>
<td>Subcommittee Chair</td>
</tr>
<tr>
<td>Tim Schroeder</td>
<td>Director, STEM Gateway Program</td>
<td><a href="mailto:timschroeder@unm.edu">timschroeder@unm.edu</a></td>
<td>Subcommittee Chair</td>
</tr>
<tr>
<td>Joe Suilmann</td>
<td>Program Coordinator, Foundations of Excellence</td>
<td><a href="mailto:suilmann@unm.edu">suilmann@unm.edu</a></td>
<td>Liaison, Program Coordinator</td>
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</table>
### Part B. Summary of Major Action Items within Overall Plan

<table>
<thead>
<tr>
<th>Item #</th>
<th>Major Action Item</th>
<th>Goals/Objectives</th>
<th>Responsibility</th>
<th>Projected Start Date</th>
<th>Projected Completion Date</th>
<th>Connection to Major Institutional Initiatives</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>Front Door - Recruiting</td>
<td>Publicize UNM's unique and value-added qualities through a variety of media to appeal to potential students.</td>
<td>Terry Babbitt</td>
<td>10-1-2012</td>
<td>Ongoing</td>
<td>UNM 2020 - Perceived Reputation of UNM</td>
</tr>
<tr>
<td>2.</td>
<td>Front Door - First-Year Communication</td>
<td>Develop structures and processes for timely, coordinated, and effective communication with students and their families from admissions to second fall.</td>
<td>Carolina Aguirre</td>
<td>11-13-2013</td>
<td>4-1-2014</td>
<td>UNM 2020 - Perceived Reputation of UNM</td>
</tr>
<tr>
<td>3.</td>
<td>Front Door - New Student Orientation Observation</td>
<td>Form a committee responsible for observing the current operation of New Student Orientation and make recommendations for improvement.</td>
<td>Greg Heileman</td>
<td>4-1-2013</td>
<td>9-1-2013</td>
<td>President Frank's commitment to combine the multiple orientation events into a single UNM New Student Orientation. FoE; Regent's goals: student success, Providing a clear picture of students' costs, providing a clear picture of the research enterprise; UNM2020: G2. Prepare Lobos for Lifelong Success</td>
</tr>
<tr>
<td>4.</td>
<td>Front Door - New Student Orientation Redesign</td>
<td>Our mission is to welcome students to the UNM community and introduce them to their role in the creation of new knowledge. We will do this by sharing resources and strategies that encourage students to reach ambitious academic goals and exceed their own expectations.</td>
<td>Sonia Rankin</td>
<td>9-1-2013</td>
<td>4-20-2013</td>
<td>FoE; Regent's goals: student success, Providing a clear picture of students' costs, providing a clear picture of the research enterprise; UNM2020: G2. Prepare Lobos for Lifelong Success</td>
</tr>
<tr>
<td></td>
<td>HIPs - Implement effective placement and supportive programs for less well-prepared students</td>
<td>Appropriately place and support students so that virtually all students complete college-level work in their first semester.</td>
<td>Diane Marshall Terry Babbitt</td>
<td>10-1-2013</td>
<td>4-1-2016</td>
<td>UNM 2020 - Prepare Lobos for Lifelong Success. Regents goals - Student Success. Improve graduation and retention rates.</td>
</tr>
<tr>
<td>---</td>
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</tr>
<tr>
<td></td>
<td>HIPs - Increase Freshman Learning Communities, First-Year Seminars</td>
<td>Identify current offerings to determine whether all students have access to a HIP in their first year. Expand number of offerings that meet HIP criteria. Refine curricula to align with learning outcomes.</td>
<td>Kate Krause</td>
<td>9-1-2013</td>
<td>5-31-2014</td>
<td>FoE, UNM 2020 Objective: Improve retention and graduation rates</td>
</tr>
<tr>
<td></td>
<td>HIPs - Data Collection</td>
<td>Design a system to help UNM identify and understand which first-year engagements are most connected to student achievement, and disseminate recommendations and findings to University leaders, academic units, and other stakeholders.</td>
<td>Tim Schroeder</td>
<td>9-1-2013</td>
<td>3-31-2014</td>
<td>FoE; UNM2020,Improve retention and graduation rates; UNM2020 Objective: Implement process for continuous evaluation of programs....</td>
</tr>
<tr>
<td></td>
<td>HIPs - Matching Students to Practices - System Development</td>
<td>To ensure that all UNM students receive at least one high-impact practice during their first year, and to match students as much as possible with the kinds of practices best suited to their particular needs.</td>
<td>Tim Schroeder Jennifer Gomez-Chavez Vanessa Harris</td>
<td>Contingent on previous Action Item completion</td>
<td>UNM 2020 (President Frank has declared the importance of ensuring that every freshman has a high-impact first-year experience).</td>
<td></td>
</tr>
<tr>
<td></td>
<td>HIPs - Improve Writing in the Curriculum</td>
<td>To coordinate and strengthen the writing and writing support programs that impact first-year students.</td>
<td>Daniel Sanford Aeron Haynie Kate Krause</td>
<td>11-11-2013</td>
<td>3-31-2014</td>
<td>UNM 2020 - Teaching and Learning, Regent's Goals - Student Success</td>
</tr>
<tr>
<td></td>
<td>Curriculum - Financial Capability</td>
<td>Include financial capability programming where it can impact vulnerable students including the curriculum in UNIV 101 courses. Offer workshops and interventions for students facing financial risk. Develop programs that incentivize financial planning and incentives for study abroad and other activities.</td>
<td>Terry Babbitt</td>
<td>6-1-2013</td>
<td>6-30-2014</td>
<td>Regent's goals: Student Success, Providing a clear picture of students' costs, UNM2020: G2. Prepare Lobos for Lifelong Success</td>
</tr>
<tr>
<td>11.</td>
<td>Curriculum - Service Learning and Community Engagement</td>
<td>Expand opportunities for students to engage with the community for academic credit and as part of degree programs.</td>
<td>Kate Krause</td>
<td>9-1-2013</td>
<td>5-31-2014</td>
<td>Effort to obtain Carnegie designation; UNM2020: Create opportunities members of UNM community to serve local, state, regional…. ; UNM2020: Create structures &amp; process that support collaborative and interdisciplinary research and scholarship</td>
</tr>
<tr>
<td>12.</td>
<td>Curriculum - Offer challenging curriculum for high-achieving students</td>
<td>Establish the Honors College and develop Honors tracks that complement all degrees.</td>
<td>Kate Krause</td>
<td>10-1-2011</td>
<td>5-31-2013</td>
<td>UNM2020: Fully implement Honors College; UNM2020: Improve retention and graduation rates</td>
</tr>
</tbody>
</table>
| 13. | Curriculum - Develop and Adopt Student Learning Outcomes | To bolster and ensure quality of education across the curriculum, the FYSC will develop, adopt, and assess specific and overarching Student Learning Outcomes (SLO) for the first year. Suggestions for SLOs are: 
1. Financial literacy (coupled with cost and financial aid information) 
2. Collaborative learning 
3. Civic literacy 
4. Diversity | Kate Krause | 1-31-2014 | 6-30-2014 | UNM2020: Lifelong Learning, degree completion |
<p>| 14. | Curriculum - Lobo Reading Experience | The goals of the UNM Lobo Reading Experience are to create a common academic experience for incoming freshmen, as well as a common academic and cultural experience for the University community, to introduce students to the high academic expectations of UNM, and to provide students with a better awareness and appreciation for diversity. | Jennifer Gomez-Chavez | 11-1-2012 | 4-1-2014 | FoE; UNM2020; Improve retention and graduation rates; UNM2020 Objective: Implement process for continuous evaluation of programs. |
| 15. | Curriculum - Best Teachers in First-Year Classrooms | Recruiting the best teachers in each department to teach high freshman enrollment courses will contribute to the creation of a culture that values the first year, connects first-year students with faculty members who exemplify the methods of their disciplines, can model critical thinking skills, and guide students in their own ideological exploration. | Amy Neel | 6-1-2014 | 5-20-2015 | Regent's goals: Student Success, Providing a clear picture of the research enterprise; UNM2020: G2. Prepare Lobos for Lifelong Success. |
| 16. | Student Support - Degree Maps for Majors | Collect and streamline degree program curricula, including semester-by-semester maps and identification of crucial courses, and present the information for students in an easily searchable format. | Greg Heileman Vanessa Harris | 6-1-2013 | 1-20-2014 | UNM 2020 - Teaching and Learning, Regent's Goals - Student Success |
| 17. | Student Support - Electronic First-Year Newsletter | Distribute monthly electronic newsletter to first-year students that includes important academic dates, student resources, and semester appropriate study tips. | Tomas A. Aguirre | 11-18-2013 | 1-21-2014 | FoE: UNM2020 - 2.1.1 Empower students with tools to assist in selecting, planning and successful completion of a UNM (customized) degree; Regent's Goals - 1. Student Success |
| 18. | Student Support - Front Line Culture for Faculty and Staff | Provide comprehensive and easily-accessed information to faculty and staff about resources for students. | Amy Neel Tomas A. Aguirre Jennifer Gomez-Chavez | 12-6-2013 | 5-20-2014 | Regent's goals: Student Success; UNM2020: G2. Prepare Lobos for Lifelong Success. |
| 19. | Student Support - Communication - Advertising Campus Resources | Develop a communication model dedicated to increasing student, faculty, and staff awareness of important campus resources. | Joe Suilmann Renee Delgado | 1-20-2014 | 5-15-2014 | UNM 2020 - Teaching and Learning, Regent's Goals - Student Success |
| 20. | Student Support - Advising Portal: LoboAchieve | The purpose of the LoboAchieve project is to implement a campus-wide advising system that will standardize how student notes are captured, as well as establish a campus-wide Early Alert System and integrated tutoring system. | Vanessa Harris | 8-2013 | Ongoing | Connected to UNM2020 - The Students: The Lobo Experience and Technology. |
| 21. | Student Support - Reduce Student-Advisor Ratio in University College | To address concerns raised during the Reaffirmation of Accreditation visit in 2009 about the high student-advisor ratio in University College, an initiative has been started to restructure advising by shifting more advising duties to college-specific and major-specific advisers. | Vanessa Harris Greg Heileman | 10-2013 | 2-2014 | UNM 2020 - Teaching and Learning, Regent's Goals - Student Success |
| 22. | Student Support - Volunteer Academic Coaching Program | Academic coaching is an effort to help increase retention and student success for first year full-time freshmen students to the following fall semester. Academic coaching is a process that provides students with guidance and support to achieve success. By utilizing coaching techniques such as active listening, observation, and asking powerful questions, academic coaches help students reach their academic and personal aspirations. | Jennifer Gomez-Chavez Vanessa Harris | 8-2012 | Ongoing | Regent focus on student success. Increase opportunities for students to connect with resources and to develop the necessary skills to help them problem-solve and to think critically. |</p>
<table>
<thead>
<tr>
<th></th>
<th>Student Support - HIPs Matching Implementation</th>
<th>Using the model developed by the FYSC - Data subcommittee, determine resources needed to use and maintain a high impact practices matching system, including intrusive support and other interventions, and determine responsibility for implementing the system.</th>
<th>Tim Schroeder Jennifer Gomez-Chavez Vanessa Harris</th>
<th>Contingent on other Action Items</th>
<th>Create structures and processes that allow for student degree customization by 4Q15.</th>
</tr>
</thead>
<tbody>
<tr>
<td>24.</td>
<td>Student Support - Develop an Electronic One-Stop for Students</td>
<td>Develop a student landing page (students.unm.edu) with information on resources, programs, and services to aid in their college success.</td>
<td>Jennifer Gomez-Chavez</td>
<td>11-1-2012</td>
<td>4-1-2014</td>
</tr>
<tr>
<td>25.</td>
<td>Student Support - Create a Physical Student One-Stop</td>
<td>Create a Student Success Center - Student One Stop to efficiently answer students' questions or assist them with any academic or non-academic challenges by providing individual support, and seamless transitions to programs and services.</td>
<td>Alex Gonzalez Jennifer Gomez-Chavez</td>
<td>1-1-2013</td>
<td>8-28-2013</td>
</tr>
<tr>
<td>26.</td>
<td>Faculty/Staff Support - Disseminate data to those who work with first-year students.</td>
<td>Provide faculty and staff who work closely with first-year students with the demographic data needed to properly support those students.</td>
<td>Tim Schroeder Melissa Binder</td>
<td>Contingent on other Action Items</td>
<td>Regent's goals: Student Success; UNM2020: G2. Prepare Lobos for Lifelong Success.</td>
</tr>
<tr>
<td>27.</td>
<td>Faculty Support - Create a new Center for Teaching Excellence</td>
<td>Increase institutional investment in teaching and learning excellence by creating a new Center for Teaching Excellence and hiring a new center director.</td>
<td>Greg Heileman</td>
<td>1-7-2013</td>
<td>6-25-2013</td>
</tr>
<tr>
<td>#</td>
<td>Section</td>
<td>Description</td>
<td>Responsible Party</td>
<td>Start Date</td>
<td>End Date</td>
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</tr>
<tr>
<td>29</td>
<td>Faculty Support - Increase Development Opportunities for Faculty</td>
<td>Provide the resources that faculty need to improve teaching skills.</td>
<td>Aeron Haynie, Amy Neel, Terry Turner, Virginia Scharff</td>
<td>9-1-2013</td>
<td>Ongoing</td>
</tr>
<tr>
<td>30</td>
<td>Faculty Support - Approve Career Path for Lecturers</td>
<td>Provide incentives for excellence among lecturers by adopting a career path for lecturers.</td>
<td>Amy Neel</td>
<td>5-1-2013</td>
<td>9-1-2013</td>
</tr>
<tr>
<td>31</td>
<td>Faculty/Staff Support - Increase Faculty and Staff Adoption of LoboAchieve</td>
<td>Promote widespread faculty adoption, especially among those instructors in high-failure rate courses, of LoboAchieve.</td>
<td>Vanessa Harris, Aeron Haynie</td>
<td>10-2013</td>
<td>Ongoing</td>
</tr>
<tr>
<td>32</td>
<td>Graduate Student Support - Increase training and development opportunities for graduate student teaching assistants</td>
<td>Develop a transcripted certificate program in college teaching for graduate teaching assistants that will include the following: a. a course on best-practices that includes an introduction to the scholarship of teaching and learning b. an introduction to online teaching best practices c. training in discipline-based best practices</td>
<td>Aeron Haynie</td>
<td>1-1-2014</td>
<td>1-1-2015</td>
</tr>
<tr>
<td>33</td>
<td>Campus Quality and Safety - Themed Residence Floors</td>
<td>Residence Life has introduced themed residence floors, called the Living and Learning Program, which provide students with the opportunity to live with peers, form study groups and interact with faculty and staff from academic programs. A primary purpose for these communities is to facilitate a smooth transition from high school to the University. AY 2013-14: 12 themed floors, most fully occupied; AY 14-15 the goal is to have 15 floors.</td>
<td>Kate Krause, Tomas A. Aguirre</td>
<td>9-1-2013</td>
<td>12-31-2014</td>
</tr>
<tr>
<td></td>
<td>Faculty/Student Support - Increase Research Opportunities in the Area of Effective Teaching and Learning</td>
<td>The Center for Teaching Excellence will pilot a “UNM Teaching Fellows” program to foster the scholarship of teaching and learning. One fellow from each college will be selected to participate in this 1-year program. Each fellow will receive two course releases and will be expected to develop an evidence-based, researched teaching project.</td>
<td>Aeron Haynie</td>
<td>1-2014</td>
<td>6-2015</td>
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<tr>
<td>35.</td>
<td>Campus Quality and Safety - Provide incentives for including SLOs in out-of-classroom activities</td>
<td>Provide increased funding and administrative support for the development and assessment of out-of-classroom activities that meet SLO criteria and reward Residence Life and Student Groups that participate in them.</td>
<td>Tomas A. Aguirre Wayne Sullivan</td>
<td>1-1-2014</td>
<td>9-1-2014</td>
</tr>
<tr>
<td>36.</td>
<td>Data and Assessment: Coordinating and Centralizing Survey Data Collection</td>
<td>A committee has been formed to streamline student surveying, since currently students are surveyed far too often, and there is a lack of central oversight. The committee will collect all surveys and combine them into simpler survey tools to be administered at intervals throughout the school year.</td>
<td>Tim Schroeder</td>
<td>1-1-2013</td>
<td></td>
</tr>
<tr>
<td>37.</td>
<td>Data and Assessment - Assessment of First-Year Steering Committee</td>
<td>The FYSC will review evaluations of first-year efforts, including academic, co-curricular, and other activities through the Offices of Institutional Analytics and Assessment. Units that work with first-year students will be provided with models of good assessment plans and rubrics.</td>
<td>Tim Schroeder Joe Sullman Kate Krause Greg Heileman</td>
<td>9-1-2013</td>
<td>Ongoing</td>
</tr>
<tr>
<td>38.</td>
<td>Data and Assessment - First-Year Experience Analytics</td>
<td>Measure the success of the FoE Implementation project.</td>
<td>Tim Schroeder</td>
<td>9-1-2013</td>
<td>Ongoing</td>
</tr>
<tr>
<td></td>
<td>Communication - Internship for &quot;Telling the Student Story&quot; Videos</td>
<td></td>
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<td>---</td>
<td>---------------------------------------------------------------</td>
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<tr>
<td>39.</td>
<td>In place of the annual institutional spot internship, UCAM has decided to offer six undergraduates the chance to tell the student story of UNM through four thematic 2-minute videos. Students from Communication &amp; Journalism, Interdisciplinary Film &amp; Digital Media and Fine Arts will be challenged to find diverse stories from all over campus and weave these stories together to represent the culture on campus. Those themes will address UNM's diversity, the roles and purposes of higher education in students' lives, the unique opportunities available to students at a research university, and the features that make UNM a destination university.</td>
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<tr>
<td></td>
<td>Joe Suilmann, Tomas A. Aguirre</td>
<td></td>
<td></td>
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<td></td>
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<tr>
<td></td>
<td>10-1-2013, 5-15-2014</td>
<td></td>
<td></td>
<td></td>
<td></td>
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<tr>
<td></td>
<td>Regent's goals: Student Success; UNM2020: G2, Prepare Lobos for Lifelong Success</td>
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<td></td>
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<table>
<thead>
<tr>
<th></th>
<th>Statement of Philosophy for the First College Year</th>
</tr>
</thead>
<tbody>
<tr>
<td>40.</td>
<td>The following statement will be used as an initial philosophy of the first year at UNM, taking into consideration the UNM 2020 vision, and feedback from campus constituencies:</td>
</tr>
<tr>
<td></td>
<td>As this state's flagship research university serving a highly diverse student body, the University of New Mexico is committed to offering a high-quality education marked by a challenging and supportive environment that provides all students with the foundation for academic and personal success in the first year and beyond.</td>
</tr>
<tr>
<td></td>
<td>Joe Suilmann</td>
</tr>
<tr>
<td></td>
<td>11-15-2013</td>
</tr>
<tr>
<td></td>
<td>Regent's goals: Student Success; UNM2020: G2, Prepare Lobos for Lifelong Success</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th></th>
<th>Operation Registration</th>
</tr>
</thead>
<tbody>
<tr>
<td>41.</td>
<td>A campus wide effort to assist Freshmen students with Spring registration from 10 pm - 2 am the night prior and day of registration providing advisement, on-line chat, bursar questions, registration inquiries, etc. Locations are held throughout residence halls.</td>
</tr>
<tr>
<td></td>
<td>Jennifer Gomez-Chavez, Tonya Bryant, Vanessa Harris, The Operation Registration Committee</td>
</tr>
<tr>
<td></td>
<td>10-15-2012, 12-2-2013</td>
</tr>
<tr>
<td></td>
<td>FoE; UNM2020, Improve retention and graduation rates; UNM2020 Objective: Implement process for continuous evaluation of programs.</td>
</tr>
</tbody>
</table>
## Part C. Sharing and Approval of Overall Implement Action Plan

<table>
<thead>
<tr>
<th>Group / Person</th>
<th>Projected Date for Sharing</th>
<th>Projected Date for Approval (When Needed)</th>
<th>Notes</th>
</tr>
</thead>
<tbody>
<tr>
<td>Provost's Committee on Academic Success</td>
<td>12-18-2013</td>
<td></td>
<td>This will likely be a similar presentation as the one given to the Regents on December 5, which is a more robust presentation than the monthly First Year Steering Committee updates given regularly.</td>
</tr>
<tr>
<td>Deans' Council</td>
<td>12-12-2013</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Board of Regents</td>
<td>12-5-2013</td>
<td>Projected Date for Approval (When Needed)</td>
<td>Provost Abdallah presenting the Action Plan, Progress, and UNM Implement website to the Regents.</td>
</tr>
<tr>
<td>ASUNM</td>
<td></td>
<td>Update - 11-12-2013</td>
<td>J.S. - Arranging a meeting with ASUNM President Isaac Romero in order to get the FoE Implement Plan onto the agenda of one of their meetings.</td>
</tr>
<tr>
<td>GPSA</td>
<td></td>
<td>Update - 11-12-2013</td>
<td>Arranging a meeting with GPSA President Priscila Poliana. We will discuss a possible FoE presentation during a GPSA council meeting.</td>
</tr>
<tr>
<td>Advisors Institute</td>
<td>5-22-2013</td>
<td></td>
<td>At the Spring Advisor Institute on May 22, the Provost and Associate Provost for Curriculum introduced advisors to the importance of the initiative to improve the student-to-advisor ratio, and to get advisors to &quot;buy in&quot; to the new LoboAchieve advising and student-tracking system.</td>
</tr>
</tbody>
</table>
## Part D. Communication Plan

<table>
<thead>
<tr>
<th>Topic of Communication</th>
<th>Audience</th>
<th>Person(s) Responsible</th>
<th>Communication Channel</th>
<th>Date to be Distributed / Published</th>
</tr>
</thead>
<tbody>
<tr>
<td>President's Convocation Address</td>
<td>New and returning students, faculty</td>
<td>President Robert Frank</td>
<td>Speech</td>
<td>08-16-2013</td>
</tr>
<tr>
<td>FoE information in the President's Weekly Perspective</td>
<td>Campus</td>
<td>President Robert Frank</td>
<td>Email message to campus</td>
<td>08-09-2013</td>
</tr>
<tr>
<td>Release of FoE Final Report</td>
<td>Campus</td>
<td>Provost Chaouki Abdallah</td>
<td>Provost's Wednesday Communique</td>
<td>07-17-2013</td>
</tr>
<tr>
<td>Student Success Center Ribbon Cutting</td>
<td>Local press, administrators, faculty, students</td>
<td>Jennifer Gomez-Chavez, Director, Student Academic Success Office</td>
<td>Campus event</td>
<td>09-03-2013</td>
</tr>
<tr>
<td>FoE Information for Parents of UNM Students</td>
<td>Parents of Students</td>
<td>Joe Suilmann</td>
<td>Parent Association Newsletter</td>
<td>01-21-2014</td>
</tr>
<tr>
<td>Student Success Summit III</td>
<td>UNM Community</td>
<td>Joe Suilmann</td>
<td>Large Event</td>
<td>05-01-2014</td>
</tr>
<tr>
<td>PCAS Implementation Plan</td>
<td>Provost's Committee on Academic Success</td>
<td>Joe Suilmann</td>
<td>PCAS Meeting</td>
<td>12-18-2013</td>
</tr>
<tr>
<td>New Student Orientation Redesign</td>
<td>Campus</td>
<td>Joe Suilmann</td>
<td>Provost's Wednesday Communique</td>
<td>01-22-2014</td>
</tr>
<tr>
<td>Campus FoE Update</td>
<td>Campus</td>
<td>Joe Suilmann</td>
<td>Provost's Wednesday Communique</td>
<td>03-12-2014</td>
</tr>
<tr>
<td>Email to FoE Self-Study Task Force</td>
<td>FoE Task Force</td>
<td>Joe Suilmann</td>
<td>FoE Listserv</td>
<td>12-6-2013</td>
</tr>
<tr>
<td>Message to Parents</td>
<td>Parents and Families of UNM Students</td>
<td>Joe Suilmann</td>
<td>Parent Association Newsletter</td>
<td>08-22-2014</td>
</tr>
</tbody>
</table>
Part E. Phase I Summary Report

1. What steps have you already taken to implement your major action items?

1. The First Year Steering Committee has been created and charged with the implementation of the Foundations of Excellence action plan.

2. The NSO Redesign committee has been created, the subcommittee chair has formed the committee, and has made considerable progress in understanding existing logistics, has developed a mission statement and set of learning outcomes for NSO, and has set established a schedule for Summer 2014. This group was formed immediately due to the timing of many of the logistics decisions that needed to occur soon.

3. Two efforts to reduce remediation were included in the FoE Action Plan, the Math Learning Lab for Math 120 students, and the English 101 "Summer Stretch" and "Studio Courses." The MaLL has just finished its first year as the sole form of Math 120. The curricula for the English Stretch and Studio courses has been completed and piloted. Elimination or reduction of ISE100 is awaiting the identification of funding.

4. The number of Freshman Learning Communities was doubled this year (from roughly 30 to 60) with the goal of making high-impact practices more widely available in course work.

5. University College is offering five new Freshman Year Seminars (in addition to those offered in athletics) targeting Pell-eligible students. Another Freshman Year Seminar will be offered by Accessibility Resources and will include curricula on financial competency (the number one reason students cite for leaving UNM), critical thinking, the Lobo Reading experience, and research skills.

6. Curriculum for the new Honors College has been approved, and students have been recruited.

7. The degrees.unm.edu website has been launched, which shows "road maps" for degree programs. The ultimate goal will be to have 4-year plans for every program, as well as variable start times depending on student readiness. The road maps identify crucial courses. They are searchable by academic college, interest area, and keyword. While the degree maps are useful for many on campus, they are especially useful for incoming freshman students and those who advise them.

8. As part of the plan to increase research service-learning opportunities for students, including freshmen, the Faculty Senate created the Community-Engaged Scholarship Task Force.

9. A subcommittee of the Provost's Committee on Academic Success (PCAS), to which the First Year Steering Committee (FYSC) reports, is developing rubrics for evaluating teaching effectiveness. Those rubrics are part of an effort to make effective teaching a bigger part of the promotion and tenure process and other evaluative processes, and to find ways to recognize and celebrate excellent teaching.

10. A second subcommittee of the PCAS, the Provost's Committee on Writing, has been charged with exploring the formation of a Writing Across the Curriculum (WAC) program, and this committee will oversee the implementation of Foundations of Excellence outcomes as they pertain to writing.

11. A career path for lecturers, many of whom teach high-enrollment freshman courses at UNM, was approved.
12. A new Center for Teaching Excellence has been formed and a new director, Aeron Haynie, was hired. The center will provide the professional development needed to support faculty in first-year courses, formalize training for graduate student teaching assistants, and increase research opportunities in the area of effective teaching and learning.

13. As part of the plan to restructure advising, and to shift more advising duties to college-specific and major-specific advisors, a pilot program in the School of Engineering has been established to begin moving engineering students to begin seeing engineering advisors earlier. Two more colleges have been identified to continue the project. A forthcoming report from the Office of Academic Affairs will describe the next steps and expected outcomes of this project.

14. The LoboAchieve advising system went "live" on August 1, 2013, and is now open to the 2013 cohort of freshmen. The system allows students to sign up for faculty office hours, allows for a centralized location for recording advising notes, and has an Early Alert feature that will allow instructors to alert advisors and other support services to potential issues with student behavior and academic performance.

15. For the first time in over ten years, the University Advisement Center gave advising awards as part of an overall effort to heighten the profile of advising on campus.

16. The grand opening of the new Student Success Center, a one-stop student resource center, was held on September 3, 2013. The center has already helped a large number of students since it opened its doors in August, 2013.

17. The students.unm.edu website, an electronic one-stop for students, is up and available, though it is still under development.

18. A committee was formed and has been working on improving the Lobo Reading Experience, and they have been trying to create much wider campus involvement than has been present in the past. Part of that will include making the book available electronically to incoming students, and then integrating the book into orientation and the high-enrollment freshman courses English 101 and 102. A call for book nominations for the 2014 Lobo Reading Experience went out to campus with a deadline of December 9, 2013.

19. The Volunteer Academic Coaching program has shown promising early results and is in its second year. Plans to expand the program are under development.

20. A subcommittee of the FYSC has been formed to streamline and coordinate communication with students from admission to the second fall semester. The first step was to collect and inventory all first-year communication. The Office of Student Academic Success completed those early collection efforts.

21. Finally, as part of the effort to ensure that all students are receiving at least one high-impact practice during their first year, a subcommittee of the FYSC has been formed to coordinate with the Student Affairs Office, the Office of Institutional Analytics, and other areas around campus, to design a system of institutional research to help UNM to identify and understand which first-year engagements are most connected to student achievement. That committee has also been charged with establishing a First Year Experience Student Achievement Dashboard, updated automatically from the Office of Institutional Analytics student DataMart each semester, to demonstrate UNM's First Year Experience performance using Key Performance Indicators (KPI's), drillable by ethnicity, gender, age, and socio-economic standing.

2. What steps will you take between now and the submission of the next status report? The FYSC will proceed to Phase II of the Implement process, which includes the formation of intermediate milestones and action steps, dates for the completion of those steps, identification of one-time and ongoing costs associated with the Action Item, and other information. A Foundations of Excellence website will be created on the
Provost's Cloud, which will host FYSC committee and subcommittee information, as well as information on participating in the FoE program. The FYSC will be reaching out to original FoE Task Force members for participation in the implementation process.

3. Based on your efforts to date what challenges or barriers do you foresee in implementing the FoE action plan? These could include finances, personnel, space, institutional politics, and others. Is there help you need from the Gardner Institute in addressing these challenges?

Funding: The First Year Steering Committee has begun to identify funding obstacles with regards to critical student success efforts. For instance, curricula for English Stretch and Studio courses are completed and have been piloted, but efforts to reduce reliance on Introductory Studies English 100 are contingent on the identification of funding. Reducing reliance on the Introductory Studies courses is part of the goal to improve placement and supportive programs for less well-prepared students.

New Student Orientation: In order to implement the initiatives based on FoE and UNM2020, it will be necessary for campus partners to provide in-kind contributions to shared goals such as New Student Orientation. This will be in the form of adopting shared visions and providing supplies, skilled personnel, financial contributions, and facilities. Without this outward show of in-kind support, it will be impossible for the recommendations of FYSC to begin to create an institutional cultural change.

Campus Silos: The success of many of the Foundations of Excellence action items will depend on identifying and resolving obstacles due to campus fragmentation, or lack of coordination and communication between administrative offices and colleges and departments on campus.
Agenda item will be discussed in Closed Session
Agenda item will be discussed in Closed Session
November 27, 2013

TO: Board of Regents Academic Student Affairs and Research Committee
FROM: Selena Salazar, Office of the University Secretary
SUBJECT: Fall 2013 Degree Candidates

The Faculty Senate approved the Fall 2013 Degree Candidates at the November 26, 2013 Faculty Senate meeting.

Included is the list of the Fall 2013 Degree Candidates. Please do not publish the candidates that are on the privacy flag list.

Degree Candidate Summary*

<table>
<thead>
<tr>
<th>Degree</th>
<th>88</th>
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<tbody>
<tr>
<td>Doctoral and MFA</td>
<td>88</td>
</tr>
<tr>
<td>Master's Degree</td>
<td>391</td>
</tr>
<tr>
<td>Bachelor's Degree</td>
<td>1488</td>
</tr>
<tr>
<td>Associate's Degree</td>
<td>142</td>
</tr>
<tr>
<td>Total</td>
<td>2109</td>
</tr>
</tbody>
</table>

*Final number of conferred degrees will be slightly lower due to changes in student degree status that occur until the end of the semester.

Please place this item on the next Board of Regents ASAR Committee meeting agenda for consideration.

Thank you.

Attachments
TO: Cheo Torres, Vice President for Student Affairs
FROM: Debbie Morris, Director of Student Activities
DATE: November 15, 2013
RE: ASUNM Constitutional Amendments Fall 2013 Election

The amendments listed below were approved in the ASUNM Election held on November 13, 2013.

**Amendment 2**
Amendment 2 would require that the status of Good Standing be clarified to state that students must be enrolled in, maintain and complete at least six (6) credit hours during their time in office.

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<thead>
<tr>
<th></th>
<th>Yes</th>
<th>No</th>
<th>Approved</th>
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<tr>
<td></td>
<td>1456</td>
<td>223</td>
<td>86.7%</td>
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</table>

**Amendment 3**
Move the composition requirements of the ASUNM Elections Commission from the ASUNM Constitution to the ASUNM Law Book

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<thead>
<tr>
<th></th>
<th>Yes</th>
<th>No</th>
<th>Approved</th>
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</thead>
<tbody>
<tr>
<td></td>
<td>1215</td>
<td>429</td>
<td>73.9%</td>
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</tbody>
</table>

Melanie Baise, Associate Legal Counsel, has already reviewed the amendments and found no legal concerns.

If this could be placed on the agenda for the December 1st Academic/Student Affairs/ & Research committee meeting that would allow these qualifications to be in place for the spring 2013 semester. Isaac will be able to address any questions the Regents may have concerning the amendments.

Please let me know if I can provide you with any further information.

cc: Melanie Baise, Associate University Legal Counsel
    Isaac Romero, ASUNM President
    Brandon Meyers, ASUNM Vice President
November 27, 2013

TO: Board of Regents Academic, Student Affairs, and Research Committee
FROM: Selena Salazar, Office of the University Secretary
SUBJECT: Form D - MS in Information Systems and Assurance Program Proposal

The Faculty Senate approved Form D - MS in Information Systems and Assurance Program Proposal at the Tuesday, November 26, 2013 meeting.

Please place this item on the December 5, 2013 Board of Regents Academic, Student Affairs, and Research Committee meeting agenda for consideration.

Thank you.

Attachment
NEW GRADUATE DEGREE OR GRADUATE CERTIFICATE
FORM D

Date: March 22, 2013

Stephen D. Burd
(Name of individual initiating Graduate Degree or Graduate Certificate)

Associate Professor - 505-277-6418
(Title, position, telephone number)

burd@unm.edu
(E-mail address)

Anderson School of Management - Department of Marketing, Information, and Decision Sciences
(Department/Division/Program)

UNIT PREPARES IN QUADRUPLEDICATE
Route as indicated below under approvals. Return to the Registrar's Office once all signatures have been obtained.

*Allow up to one year for the process to be completed for a certificate, and 18 months for a degree.

Note: Proposals for new graduate degrees or graduate certificates need to follow an approved format. Please call the Office of Graduate Studies and ask for an outline. Revisions of graduate degrees and some new certificates also may need state approval, depending on the extent of changes proposed. Please consult the Office of the Provost for advice prior to initiating this form.

Attach the following required documents:
1. Executive Summary.
2. Program Proposal (in the approved format).
3. Catalog Description (to include program curriculum).
4. Graduate Program Projected Costs (only for new degrees).

Does this new degree affect any existing program? Yes ☐ No ☐ If yes, attach statement.

Proposed date to admit new students: Term Spring Year 2014

Required Signatures:

Department Chair

College Curricula Committee

College or School Dean

Dean of Library Services

Office of the Registrar—Catalog

FS Graduate Committee

Dean of Graduate Studies

FS Curricula Committee

Office of the Provost

Faculty Senate

Board of Regents

Date 3/23/13
Date 3/25/13
Date 6/13
Date 8/16/13
Date 9/6/13
Date 9/9/13
Date 10/16/13
Date 11/7/13

Additional Approvals for Degrees:

Board of Regents

Council of Graduate Deans

Academic Council of Higher Education

Higher Education Department

State Board of Finance

Date

Date

Date

Date

Date

Date

THE UNIVERSITY OF NEW MEXICO OFFICE OF THE REGISTRAR (Revised 08/2007)
Executive Summary

The Anderson School of Management (Anderson) proposes an MS program in Information Systems and Assurance (MS-ISA) to begin in the Spring or Fall 2014 semester. The MS-ISA program is targeted to students holding a BBA with an accounting or MIS specialization or a Bachelor’s degree in computer science or computer-related engineering. The program will prepare such students for advanced career positions in information systems development, system administration, computer and network security, forensic investigations, risk assessment and mitigation, and IT auditing. The program will be 32 credit hours in length and include a mix of courses tailored to specific student backgrounds and needs. A thesis option is included for students pursuing a research-oriented career.

The program represents a natural evolution of IS- and IA-related graduate programs at UNM. It follows in the footsteps of the MS in Accounting (MAACT) program by providing a more specialized graduate degree than the MBA to meet specialized student and employer needs. The MS-ISA program also enhances UNM degree offerings within the scope of its designation as a National Center of Academic Excellence (CAE) in Information Assurance Education and Research (CAE-R). The program will also serve students who participate in the recently funded UNM Scholarship for Service program in cyber-security. The MS-ISA program incorporates content from Anderson, computer science (CS), and electrical and computer engineering (ECE) thus enabling students to pursue multidisciplinary IA studies. The program’s thesis option provides a pathway for greater IA-related research collaboration across UNM.

The program responds to feedback from employers and students about the strengths and weaknesses of Anderson’s current MBA program with an MIS or IA concentration and to the anticipated knowledge and skill requirements for future employees. The MS-ISA program is better targeted to IS- and IA-related skill and knowledge requirements than is the MBA program with a concentration in MIS and/or IA. Compared to the MBA program, the MS program is shorter, requires fewer general management courses, and provides greater flexibility and technical depth.

The MS-ISA program can be offered with existing resources. Existing courses comprise the bulk of the program though a handful of new courses will be created over the next two years to support the MS-ISA and a revised MBA program. Current faculty resources are sufficient to offer all needed courses while maintaining current MBA courses. The program should attract most/all of the students who currently pursue the MBA with an MIS and/or IA concentration. MIS and IA courses will continue to be available to MBA students as electives though the formal MIS and IA concentrations will be discontinued.

Though the program can operate with existing resources it could provide greater capacity and support more technical breadth and depth if additional adjunct or full-time faculty resources are added. The IA portion of the program occupies a unique national niche and has significant potential to attract new out-of-state students, especially if the entire program is offered online.
Anderson has offered concentrations in management information systems (MIS) within the BBA and MBA degree programs since the early 1980s. These concentrations prepare students for careers in information systems development, system administration, and related positions. Anderson has offered a concentration in information assurance (IA) within the MBA program since 2009 and a related concentration within the Master of Accounting program since 2011. Information assurance is a broad field encompassing computer, network, and data security, related behavioral and organizational design issues, forensic investigations, risk assessment and mitigation, and accounting-related subareas including auditing and internal control.

The proposed MS in Information Systems and Assurance (MS-ISA) program is targeted to students holding a BBA with an accounting or MIS specialization or a BS in computer science or computer-related engineering. The program will be 32 credit hours in length and include management courses of no more than 12 credit hours, a common technical core of no more than 11 credit hours, and advanced technical courses in information systems (IS) and information assurance (IA) totaling 9-24 credit hours. The precise mix of courses will be tailored to specific student backgrounds and needs. A thesis option is included though it is anticipated that the majority of students will not write a thesis.

The motivation to propose a new MS program is partly a reaction to planned changes in Anderson’s MBA program, partly a response to market forces, and part of the broader effort to modernize and streamline related curricula. The MBA MIS concentration was last updated in 2006. That update did not incorporate IA and was limited in scope due to constrained faculty resources and weak student demand. Since that time, additional faculty have been hired and IS enrollment and hiring have rebounded both locally and nationally. The MBA IA concentration was started in 2009 and has enjoyed considerable success, reflecting both the program’s strength and strong local and national demand for IA graduates.

The program also represents an evolutionary step in Anderson’s efforts to redefine its MBA program and to broaden its graduate program offerings with more focused Masters programs. The first step in that evolution was the development of the Master of Accounting program in the mid-2000s. Creation of this program represents the second step. Subsequent steps will include revising the MBA program and developing additional specialized MS programs.

The goals of the MS-ISA are to:

- Modernize content to address existing coverage gaps and anticipated trends.
- Attract students with computer-related undergraduate degrees from management, computer science, and engineering.
• Provide sufficient technical depth to ensure that program graduates are competitive for a wide
variety of jobs in the local and national job markets.

• Enable faculty and students to tailor programs of study to individual student backgrounds and
interests.

• Respond to anticipated changes in the MBA program by developing a strong stand-alone
program that will attract students "lost" due to anticipated MBA program revisions.

**Underserved Market Segments**

Though the current MBA concentrations appear well-suited to students holding a bachelor’s degree in
computer science (CS) or engineering, few such students enroll in the program. The primary reason
appears to be the length of the MBA program – 48 credit hours, and the balance of managerial and
technical content. Potential students are unwilling to devote 2 years of full-time study and generally
prefer a degree program with a balance of technology and managerial emphases. Also, employers of IS
and IA graduates tend to view an MS program more positively because it has more technical depth than
an MBA program. Attracting CS and engineering students to Anderson for an IS- or IA-related graduate
MS degree will require a smaller management core, shorter overall program, greater technical depth,
and content that evolves more readily as the fields of IS and IA continue to change at accelerating rates.

**Pending MBA Program Revisions**

A proposal is currently under development to significantly alter the existing MBA program. Anticipated
changes include:

• Eliminating general management courses waivers based on similar undergraduate course-work
• Splitting the program into a full-time cohort program and a part-time non-cohort program
• De-emphasizing concentrations by reducing non-core credit hours

**Revised MBA Impact on IS/IA Students and Enrollment**

The potential impact of the proposed MBA program changes on IS and IA enrollment is
substantial. Students completing a BBA and returning for an MBA will no longer have a large number of
"free" courses into which they can fit a dual concentration. Thus, students will either be forced to scale
back their specialized studies or to pursue a specialized degree at another institution. Preventing the
anticipated reduction in MBA enrollment while meeting the needs of BBA alumni is a significant impetus
for the proposed program.

**How does the program fit within the UNM mission and strategic plan?**

The MS-ISA fits within several themes of the 2008 UNM Strategic Framework, including:

• *Excellence through relevance and Research for a better world* – In the post-9/11 world,
  information assurance has become a national priority. UNM was already a leader in CS and
  engineering-oriented security-related research prior to 9/11. Development of an IA
  concentration within the Anderson MBA and related faculty hires increased UNM’s capabilities
in this area in the late 2000s. The MS-ISA program is an evolutionary step that further strengthens UNM’s IA-related research and teaching capabilities.

- **Synergistic partnerships** – The MS-ISA program will continue a long tradition of partnerships between UNM, the national labs, and other employers within New Mexico. The national labs currently higher many graduates from UNM programs in IS, IA, CS, and ECE. The MS-ISA program was developed with significant input from labs to better serve their current and emerging need for a technology-related workforce. Those same characteristics will benefit other employers within the state – large and small. The MS-ISA program will also enhance the partnership between UNM and the federal government. UNM is designated as a Center of Academic Excellence in Information Assurance Education and Research. The MS-ISA program better fits the CAE designation requirements than the existing MBA program, while maintaining unique characteristics that provide competitive advantage.

- **Infrastructure for student success** – A key element of existing IS and IA programs in Anderson has been the development of virtual computer laboratory facilities and related course content in both IS and IA. The MS-ISA program will provide additional opportunities for building and testing this type of educational infrastructure.

- **Community engagement** – IA students within the current MBA develop and deliver educational modules for a larger audience outside of UNM including K-12 students and the business community. The MS-ISA program will increase the intensity of those efforts and add a student-centered research component to them.

**How does the program fit with related offerings at UNM?**

UNM has strong related programs in computer science and electrical & computer engineering. The MS-ISA fits with these programs in the following ways:

- It provides a natural graduate pathway for students who receive a BS in CS or ECE while avoiding duplication with existing MS programs in those areas.
- It will increase the number of students pursuing cross-disciplinary studies between Anderson and the School of Engineering by incorporating some CS and ECE courses in an Anderson degree and by providing additional graduate course options to students pursuing an MS in CS or ECE.
- It will increase interaction between Anderson, CS, and ECE with similar and overlapping teaching and research interests. Anticipated benefits include a stronger curriculum in all departments and increased success in obtaining interdisciplinary research funding.

**Assuming timely approval, what is the program development and implementation timeline?**

Since the program requires few new resources, the implementation timeline is short:

- Spring 2013 – Obtain all UNM-related approvals including the Board of Regents. Concurrently, develop a marketing and recruitment plan for the program.
- Fall 2013 – Obtain all state-related approvals. Concurrently implement the marketing and recruitment plan and accept applications starting in mid-semester.
Description of the curriculum (including coursework and other degree requirements). Discuss any new courses and the impact of the curriculum on existing courses, including courses in other departments. (Draft catalog copy will be required for full proposal.)

Draft Catalog Copy

Master of Science in Information Systems and Assurance (section title)
The Master of Science in Information Systems and Assurance (MS-ISA) program is targeted to students holding a BBA or similar degree with an accounting specialization, a BBA or similar degree with a specialization in information systems or technology, or a BS in computer science or computer-related engineering. The program prepares students for advanced positions in information system management, information system development, and information assurance.

Admission Requirements
- Completed bachelor's degree with at least 30 credit hours of courses in management, computer science, or computer-related engineering.
- A grade point average of 3.0 for the last 60 hours of college coursework including any post baccalaureate work.
- A score equal to or greater than 500 on the Graduate Management Admission Test (GMAT) or a Graduate Record Examination (GRE) score that is equal to or greater than 500 on the quantitative section and a score equal to or greater than 500 on the verbal section.

Advisement and Plan of Study
Advisement prior to first-semester enrollment and a formal plan of study is mandatory. Individual students will be advised to enroll in specific classes that match their intended career path and complement their bachelor's degree and work experience. Plan of study approvals will be granted by a designated faculty advisor or committee.

Program Requirements
Students must complete a minimum of 32 credit hours of courses within the following groups:

- **Core Group** — 11 credit hours — MGMT 533 (Professional communications), MGMT 631 (Project management), MGMT 636 (IS security), and MGMT 637 (Database management) — Courses may be waived based on similar undergraduate/graduate courses or experience.
- **Management Group** — 12 credit hours minimum selected from MGMT 502 (Financial accounting), MGMT 503 (Managerial accounting), MGMT 506 (Organizational behavior), MGMT 508 (Law/ethics), MGMT 520 (Operations management), MGMT 522 (Marketing), MGMT 526 (Finance), and MGMT 633 (Vendor contract management) — Up to 12 credit hours may be waived based on similar undergraduate/graduate courses or experience.
- **Technology Group** — 9 credit hour minimum selected from MGMT 594 (Internal auditing), MGMT 630 (Management of information systems), MGMT 632 (Web application development), MGMT 634 (Systems analysis and design), MGMT 635 (Business intelligence and decision...
support), MGMT 639 (Advanced IS/IA topics), MGMT 641 (Forensic accounting), MGMT 642 (Fraud examination), MGMT 646 (Digital forensics), MGMT 647 (System and network administration), MGMT 648 (Advanced IS security), MGMT 649 (IA projects), MGMT 599 (thesis), computer science and engineering courses (CS/ECE 515, CS 544, CS 565, CS 581, ECE 595, and other graduate-level CS and ECE courses with advance approval), and problems and special topics courses (MGMT 551/552 and MGMT 594).

**Sample student study plans (not included in catalog copy)**

**BBA/MIS specializing in IS**

- **Core Group** – 5 credit hours (636/637 waived based on UG courses):
  - MGMT 533 (Professional communications)
  - MGMT 631 (Project management)
- **Management Group** – 3 credit hours (others waived based on BBA core):
  - MGMT 633 (Vendor contract management)
- **Technology Group** – 24 credit hours
  - MGMT 630 (Management of information systems)
  - MGMT 632 (Web application development)
  - MGMT 635 (Business intelligence and decision support)
  - MGMT 639 (Advanced database management)
  - MGMT 646 (Digital forensics)
  - MGMT 647 (System and network administration)
  - MGMT 648 (Advanced IS security)
  - MGMT 649 (IA projects)

**BBA/MIS specializing in IA**

- **Core Group** – 5 credit hours (636/637 waived based on UG courses):
  - MGMT 533 (Professional communications)
  - MGMT 631 (Project management)
- **Management Group** – 3 credit hours (others waived based on BBA core):
  - MGMT 633 (Vendor contract management)
- **Technology Group** – 24 credit hours
  - MGMT 635 (Business intelligence and decision support)
  - MGMT 639 (Advanced database management)
  - MGMT 641 (Forensic accounting)
  - MGMT 642 (Fraud examination)
  - MGMT 646 (Digital forensics)
  - MGMT 647 (System and network administration)
  - MGMT 648 (Advanced IS security)
  - MGMT 649 (IA projects)

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1 New IS/IA courses providing additional technical depth will be initially offered as MGMT 639 sections and later converted to stand-alone courses if needed. High priority offerings anticipated in the next two years include advanced database management, healthcare IT, and forensic data analysis.
**BBA/Accounting specializing in IA**

- Prerequisite programming – no graduate credit - MGMT 330 or CS 152L
- Core Group – 11 credit hours:
  - MGMT 533 (Professional communications)
  - MGMT 631 (Project management)
  - MGMT 636 (IS security)
  - MGMT 637 (Database management)
- Management Group – 3 credit hours (others waived based on BBA core):
  - MGMT 633 (Vendor contract management)
- Technology Group – 24 credit hours
  - MGMT 594 (Internal auditing)
  - MGMT 641 (Forensic accounting)
  - MGMT 642 (Fraud examination)
  - MGMT 646 (Digital forensics)
  - MGMT 648 (Advanced IS security)
  - MGMT 649 (IA projects)

**BS CS or Engineering specializing in IS**

- Core Group – 11 credit hours:
  - MGMT 533 (Professional communications)
  - MGMT 631 (Project management)
  - MGMT 636 (IS security)
  - MGMT 637 (Database management)
- Management Group – 12 credit hours:
  - MGMT 502 (Financial accounting)
  - MGMT 503 (Managerial accounting)
  - MGMT 506 (Organizational behavior)
  - MGMT 522 (Marketing)
- Technology Group – 9 credit hours:
  - MGMT 630 (Management of information systems)
  - MGMT 635 (Business intelligence and decision support)
  - MGMT 647 (System and network administration)

**BS CS or Engineering specializing in IA**

- Core Group – 11 credit hours:
  - MGMT 533 (Professional communications)
  - MGMT 631 (Project management)
  - MGMT 636 (IS security)
  - MGMT 637 (Database management)
- Management Group – 12 credit hours:
  - MGMT 502 (Financial accounting)
  - MGMT 503 (Managerial accounting)
  - MGMT 506 (Organizational behavior)
  - MGMT 522 (Marketing)
- Technology Group – 9 credit hours
  - MGMT 642 (Fraud examination)
  - MGMT 646 (Digital forensics)
  - MGMT 648 (Advanced IS security)
Justification for the Program

Evidence of Need

Strong evidence of need for the program can be garnered from the placement success of existing IS and IA programs. At the graduate level, Anderson has placed all recent graduates in both MBA concentrations, despite a weak overall economy. Despite recent placement success, Anderson faculty sought employer and student feedback to identify strengths and weaknesses of current programs and opportunities for improvement via the MS-ISA program.

Employer and Student Focus Groups

MIS and IA faculty members hosted focus groups sessions for students and employers in December, 2011. Two sessions were held for students and two more for employers with 7-10 participants per session. Employers were selected from a variety of local organizations including the City of Albuquerque, Sandia and Los Alamos Labs, the FBI, US Department of Homeland Security, Intel, PNM, UNM, APS, Albuquerque Heath Partners, and New Mexico Technet. Three employer participants are Anderson graduates. Students were selected from both undergraduate and graduate MIS/IA students at all stages of program completion.

Employer Feedback

Employers were asked about their experiences with Anderson and non-Anderson graduates in IS and IA positions, their current and anticipated knowledge and skill requirements for those positions, and the desirability of an MBA vs. an MS in IS and/or IA. No specific MS proposal was provided, though the outlines of typical MS programs at UNM and elsewhere were discussed.

There was a consensus regarding knowledge and skills desired in an employee holding or pursuing a Master’s degree. Beyond depth of knowledge in technical subjects, employers expressed a strong desire for skills in communication, project management, budgeting, and interacting with clients, vendors, co-workers, and non-technical managers. There wasn’t a strong consensus for within which degree program (undergraduate or graduate) those skills should be placed. An employee who acquires technical skills as an undergraduate and then acquires managerial skills as a graduate student was considered equally desirable as an employee who reversed the order in which the skills and knowledge were acquired. What was important to the employers was that a Master’s graduate must have the entire portfolio of technical and managerial skills. Employers also expressed a preference for students who had applied those skills through complex academic assignments, projects with real world scope, and on-the-job experience.

Student Feedback

Students were asked to evaluate their experience at Anderson in terms of instructional quality, preparation for the job market, and the match of existing and proposed programs to their needs and
desires. As with employers, only the outline of a generic MS program was discussed without specific content or requirements.

Students were nearly unanimous in their support for an MS program and several MBA students stated that they would have enrolled in such a program at Anderson (if it were available) instead of the MBA due its presumed better match to their needs. Students were generally satisfied with the technical education they were receiving though several expressed a desire for more technical depth in graduate courses and a greater choice of courses and topics.

Reaction to recent and ongoing movement of MIS and IA courses to an online format was mixed. Most students liked the flexibility of online courses and felt that content and depth had not suffered. A few disagreed and expressed either a strong preference for face-to-face instruction or a more consistent and interactive format for online courses.

Provide evidence of student demand.

The MS-ISA is intended to replace the existing MIS and IA concentrations within the MBA program. As such, we will use recent enrollment in those concentrations to estimate student demand for the MS-ISA. Since the current MBA MIS and IA concentrations recruit the majority of current students from the BBA program, graduation statistics for the BBA with MIS concentration are also relevant.

Anderson currently offers IS and IA programs in seven forms:

- BBA with a concentration in MIS
- MBA with a concentration in MIS
- MBA with a concentration in IA
- MBA with a dual concentration in MIS and IA
- Masters in Accounting with a concentration in IA
- Post-Masters Certificate in MIS
- Post-Masters Certificate in IA

The BBA and MBA programs require general management course work (approximately 10 courses in each program) and the concentrations require at least 5 additional courses in specialized IS/IA topics. The Master in Accounting (MACCT) with an IA concentration requires 11 courses of which 5 are part of the IA concentration. The Post-Masters Certificate programs are essentially 5-course MBA concentrations decoupled from the MBA degree. They're intended for students who already hold an MBA or similar degree and want to expand their specialized knowledge. Approximate current graduation rates are as follows:

<table>
<thead>
<tr>
<th>Program</th>
<th>Estimated graduates in academic year 2011-2012</th>
</tr>
</thead>
<tbody>
<tr>
<td>BBA with a concentration in MIS</td>
<td>41</td>
</tr>
<tr>
<td>MBA with dual concentrations in MIS and IA</td>
<td>25</td>
</tr>
<tr>
<td>MBA with an MIS concentration (excludes dual MIS/IA concentrations)</td>
<td>8</td>
</tr>
</tbody>
</table>
Program | Estimated graduates in academic year 2011-2012
--- | ---
MBA with an IA concentration (excludes dual MIS/IA concentrations) | 16
MACCT with an IA concentration concentration added Fall 2011 |
Post-Masters Certificate in MIS | 1
Post-Masters Certificate in IA | 2

Table 1. Current enrollments in MIS and IA programs and program concentrations.

Current MBA program rules enable many students who hold a BBA to waive most MBA course requirements in general management and complete their degree with 11 courses. Due to the waivers and due to some overlap among courses in the MBA MIS and IA concentrations, it is possible for many students to pursue a dual concentration. Since 2009, the majority of students pursing/completing MIS and IA concentrations are actually pursing/completing both concentrations at the same time. A much smaller group of students are pursing or have completed dual concentrations in Accounting and IA. The majority of all students pursing dual concentrations are UNM BBA graduates.

UNM has recently been funded by the National Science Foundation to implement the UNM Scholarship for Service (SFS) program starting in 2014. This program provides full scholarships for 6 students per year to pursue a Master’s degree at ASM specializing in IA. In exchange, students participate in a mandatory summer internship with a Federal agency and agree to work for a Federal agency for at least 2 years after graduation. Eligible graduate programs for SFS students include the MBA with an IA concentration and the proposed MS-ISA program. Availability of SFS scholarships will further stimulate student demand for the MS-ISA program.

Provide evidence of demand for program graduates.

We anticipate that an MS program will absorb most or all students who would otherwise enroll in the MBA program with the intention of pursing an MIS and/or IA concentration. We also expect the program to attract recent BS graduates in computer science and computer-related engineering. There are approximately 80 such graduates per year at UNM with many accepting jobs in New Mexico. We expect to attract at least 10 of those students per year. Thus, we anticipate enrollment in the MS-ISA program that equals or exceeds current MBA enrollment and a graduation rate of 30-40 students per year.

US Department of Labor Estimates

(For full proposal, an in-depth needs assessment is required. Department of Labor statistics or surveys of likely employers are potential mechanisms for this.)

Data and estimates in this section are derived from the US Department of Labor, Bureau of Labor Statistics, Occupational Outlook Handbook for 2010-2020. Careers for program graduates are described in two handbook sections: Management Occupations and Computer and Information Technology Occupations. The subsections below summarize data and estimates for the most applicable occupations.
for program graduates. Note that although each career lists a Bachelor's degree as the entry-level educational requirement, graduate degrees are typically held by persons in more advanced positions.

**Computer and Information System Managers**
Computer and information systems managers, often called information technology managers (IT managers or IT project managers), plan, coordinate, and direct computer-related activities in an organization. They help determine the information technology goals of an organization and are responsible for implementing the appropriate computer systems to meet those goals.

**Quick Facts: Computer and Information Systems Managers**

<table>
<thead>
<tr>
<th>2010 Median Pay</th>
<th>$115,780 per year</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$55.67 per hour</td>
</tr>
<tr>
<td>Entry-Level Education</td>
<td>Bachelor's degree</td>
</tr>
<tr>
<td>Work Experience in a Related Occupation</td>
<td>More than 5 years</td>
</tr>
<tr>
<td>On-the-job Training</td>
<td>None</td>
</tr>
<tr>
<td>Number of Jobs, 2010</td>
<td>307,900</td>
</tr>
<tr>
<td>Job Outlook, 2010-20</td>
<td>18% (About as fast as average)</td>
</tr>
<tr>
<td>Employment Change, 2010-20</td>
<td>55,800</td>
</tr>
</tbody>
</table>

**Database Administrators**
Database administrators use software to store and organize data, such as financial information and customer shipping records. They make sure that data are available to users and are secure from unauthorized access.

**Quick Facts: Database Administrators**

<table>
<thead>
<tr>
<th>2010 Median Pay</th>
<th>$73,490 per year</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$35.33 per hour</td>
</tr>
<tr>
<td>Entry-Level Education</td>
<td>Bachelor's degree</td>
</tr>
<tr>
<td>Work Experience in a Related Occupation</td>
<td>1 to 5 years</td>
</tr>
<tr>
<td>On-the-job Training</td>
<td>None</td>
</tr>
<tr>
<td>Number of Jobs, 2010</td>
<td>110,800</td>
</tr>
<tr>
<td>Job Outlook, 2010-20</td>
<td>31% (Much faster than average)</td>
</tr>
<tr>
<td>Employment Change, 2010-20</td>
<td>33,900</td>
</tr>
</tbody>
</table>

**Information Security Analysts, Web Developers, and Computer Network Architects**
Information security analysts, web developers, and computer network architects all use information technology (IT) to advance their organization's goals. Security analysts ensure a firm's information stays safe from cyberattacks. Web developers create websites to help firms have a public face. Computer network architects create the internal networks all workers within organizations use.

**Quick Facts: Information Security Analysts, Web Developers, and Computer Network Architects**
Network and Computer Systems Administrators
Network and computer systems administrators are responsible for the day-to-day operation of an organization’s computer networks. They organize, install, and support an organization’s computer systems, including local area networks (LANs), wide area networks (WANs), network segments, intranets, and other data communication systems.

Quick Facts: Network and Computer Systems Administrators
| 2010 Median Pay       | $69,160 per year |
|                      | $33.25 per hour  |
| Entry-Level Education| Bachelor’s degree|
| Work Experience in a Related Occupation | None |
| On-the-job Training  | None |
| Number of Jobs, 2010 | 347,200 |
| Job Outlook, 2010-20 | 28% (Faster than average) |
| Employment Change, 2010-20 | 96,600 |

Software Developers
Software developers are the creative minds behind computer programs. Some develop the applications that allow people to do specific tasks on a computer or other device. Others develop the underlying systems that run the devices or control networks.

Quick Facts: Software Developers
| 2010 Median Pay       | $90,530 per year |
|                      | $43.52 per hour  |
| Entry-Level Education| Bachelor’s degree|
| Work Experience in a Related Occupation | None |
| On-the-job Training  | None |
| Number of Jobs, 2010 | 913,100 |
| Job Outlook, 2010-20 | 30% (Much faster than average) |
| Employment Change, 2010-20 | 270,900 |

(For full proposal, a discussion of the program’s relationship to workforce development is also required.)
Although a Bachelor's degree is the entry-level education for each occupation, higher-level degrees are typical for holders of advanced positions. Thus, the program provides workforce development for employees in many computer-related positions in New Mexico. Large employers in New Mexico who typically require graduate degrees for advanced positions include Sandia and Los Alamos Laboratories, the University of New Mexico, state and local governmental agencies, Hewlett-Packard, and Intel.

Include any other information as appropriate that will support evidence of need for the university, state, or region.

ABQ Ranks 6th for Secure Data Center Sites (http://www.unm.edu/news/2012/march/26data.html). Secure data centers typically employ persons with advanced degrees in information assurance. Albuquerque is also home to an FBI regional computer forensics lab. Technology and white collar crime forensic skills are a key focus of the program and are in demand at the forensics lab and in law enforcement agencies, prosecutor’s offices, and private law firms.

**Duplication**

Identify, if any, similar programs offered at New Mexico public or private institutions of higher learning. Also identify comparable programs in other states through which New Mexico students have access via the WICHE professional student exchange or WICHE regional graduate program.

If similar programs are offered within the state, describe how the proposed program will meet needs that are currently not met by existing programs already being offered (e.g., programmatic considerations, geographic needs, economic development factors, student demand, etc.).

**New Mexico**

Three programs related to IS and IA exist in New Mexico though all are quite different from the proposed program. Details are provided below for completeness but the programs are not considered similar or duplicative for other purposes within this proposal.

- **New Mexico Highlands University School of Business, Media & Technology** (http://www.nmhu.edu/academics/undergraduate/business/index.aspx) has an MS program in Software-driven Systems Design building on their undergraduate program in that area. The program includes courses in software development, data representation and visualization, and systems science and theory. Key differences from the program include having no courses in general management, project management, professional communications, and information assurance. As such, it is targeted to a much narrower audience of students and employers – those focused on internal development of complex software and related systems.

- **New Mexico Tech** (https://cs.nmt.edu/~IA/index.html) offers information assurance courses and BS/MS/PhD degrees in its Computer Science department which solely focus on technical aspects of information assurance. Key differences from the program include the purely technical focus and a lack of courses in information systems, project management, professional communications, and general management topics.
UNM also offers an MS degree in computer science, which can include some IA-related courses. Key differences from the program include a lack of courses in management, project management, professional communications, and information systems. IA-related courses offered by the UNM CS and ECE departments are included within the program proposed herein.

Other in-state higher education institutions do not offer MS programs in information systems and assurance. As such, the proposed program will be unique within the state in fulfilling employer and student demand for a program that integrates managerial and technological aspects of both information systems and assurance.

WICHE and Southwest Region

In the southwest region including Arizona, California, Colorado, Texas, Oklahoma, Utah, and Nevada, MS degree programs in information management or management information systems are offered by 14 schools including Arizona State University, BYU, Baylor University, San Diego State University, Texas A&M University, Texas Tech University, University of Arizona, University of Colorado-Denver, University of Nevada-Reno, University of Nevada-Las Vegas, University of Oklahoma, University of Texas-Arlington, University of Utah, and University of Dallas. All of their MS degrees are in general information systems and have a number of optional courses about information assurance, rather than a particular emphasis on information systems and assurance, as we propose.

Only a limited number of peer institutions, such as University of Texas in San Antonio, University of Louisville, and Temple University offer MS degree in information systems & assurance in the college/school of business or management, while most of MS degrees are offered by colleges engineering. An information systems & assurance business degree is fundamentally different from and prepares students for different careers than an engineering degree.

The only WICHE-member institution offering a degree with any significant overlapping content in the University of Nevada at Las Vegas. As with most other programs in the region, their program is a general MIS degree with limited IA content. In addition, the program is not available online nor via other distance education methods. Thus, it is unavailable to working students in New Mexico who would complete the MS-ISA program part-time.

(For full proposal, data must be provided for each program within the state for three consecutive years of number of students admitted, number of degrees awarded, and current capacity to support justification of need for proposed program.)

N/A – no similar programs exist in the state.

(For full proposal, attach statements from representatives of existing programs in the state or from WICHE institutions regarding their position on the proposed program, if available.)
Inter-institutional Collaboration and Cooperation

If applicable, describe opportunities for collaborative relationships with other institutions for shared instruction, faculty arrangements, or student experiences. (For full proposal, outline specific details of any planned collaborative relationships with other institutions and provide supporting documentation if available. Address the governance structure for the collaboration in Section 9.)

N/A – needed faculty and other resources are unique to the University of New Mexico

Clientele and Projected Enrollments

Clientele

Describe the population of students that will be recruited for the program.

The student populations that will be recruited for the program include:

- Students who hold a BBA or similar degree with an emphasis in information systems or accounting
- Students who hold a Bachelor's degree in computer science, computer engineering, or a closely-related field

Indicate how you plan to recruit students. (For full proposal, demonstrate how recruitment plan addresses underrepresented student populations within the state and contributes to preparing a diverse workforce.)

Initially, students will be recruited primarily from within New Mexico. Since the majority of New Mexico students with appropriate undergraduate degrees earn them from UNM, UNM students will be the primary target group. However, we will also actively recruit from other in-state institutions including NMSU, NM Tech, and NM Highlands, all of which have at least one undergraduate program matching the target student background.

If sufficient resources exist, we will recruit nationally and internationally for the program. Though the program content in MIS is not unique within the nation, the program content in IA is unique within the nation and internationally. Only a handful of management schools in the world offer a Master’s degree with significant IA-related content and only UNM enables students to combine accounting- and computing-related content. In addition, the MS-ISA program will be one of a small number of IA-related programs that can be completed online. As such, we anticipate that the program could attract significant numbers of national and international students if sufficient resources were available to meet the demand.

Describe the admission requirements for the program.
Admission Requirements

- Completed bachelor’s degree with at least 30 credit hours of courses in management, computer science, or computer-related engineering.
- A grade point average of 3.0 for the last 60 hours of college coursework including any post baccalaureate work.
- A score equal to or greater than 500 on the Graduate Management Admission Test (GMAT) or a Graduate Record Examination (GRE) score that is equal to or greater than 500 on the quantitative section and a score equal to or greater than 500 on the verbal section.

Projected Enrollment

Provide a five-year projection of enrollments. (For full proposal, detailed tables of enrollment projections are required and should include new student headcount, returning student headcount, the number of students expected to enroll full-time and part-time, and the total number of student credit hours expected to be generated for the first five years. A description of the methodology used to arrive at these projections should also be provided.)

We anticipate admitting 35 FTE students to the program annually. That level will be achieved immediately since the program will replace the existing MBA program with concentrations in MIS and IA. There will be no gradual ramp up of capacity since the existing MBA concentrations are already at capacity and since we anticipate that all of current students pursuing those concentrations will transition into the MS-ISA program in its first year.

Anticipated admission and enrollment levels are based on existing MBA enrollments and graduations over the last 4 years. Approximately 40% of each admitted class will be full-time students who will normally graduate in three semesters. Part-time students are assumed to graduate in four years, thus completing an average of eight credit hours each year. A 5 year enrollment projection incorporating these assumptions appears in the table below.

<table>
<thead>
<tr>
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</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>20</td>
<td>45</td>
<td>20</td>
<td>135</td>
<td>88</td>
<td>480</td>
<td>360</td>
<td>160</td>
<td>1080</td>
<td>2080</td>
</tr>
<tr>
<td>2</td>
<td>20</td>
<td>45</td>
<td>20</td>
<td>135</td>
<td>88</td>
<td>480</td>
<td>360</td>
<td>160</td>
<td>1080</td>
<td>2080</td>
</tr>
<tr>
<td>3</td>
<td>20</td>
<td>45</td>
<td>20</td>
<td>135</td>
<td>88</td>
<td>480</td>
<td>360</td>
<td>160</td>
<td>1080</td>
<td>2080</td>
</tr>
<tr>
<td>4</td>
<td>20</td>
<td>45</td>
<td>20</td>
<td>135</td>
<td>88</td>
<td>480</td>
<td>360</td>
<td>160</td>
<td>1080</td>
<td>2080</td>
</tr>
<tr>
<td>5</td>
<td>20</td>
<td>45</td>
<td>20</td>
<td>135</td>
<td>88</td>
<td>480</td>
<td>360</td>
<td>160</td>
<td>1080</td>
<td>2080</td>
</tr>
</tbody>
</table>

Table 2. Five year head count and credit estimates assuming no enrollment growth.

Institutional Readiness for the Program

How many faculty are necessary for program delivery?
How will this program affect the workload of current faculty and support staff?

Will additional faculty or staff be required? What is the cost?

There are currently eight full-time faculty within Anderson that cover MIS and IA courses and a handful of adjunct and emeritus faculty teaching specialized MIS/IA courses. Full-time faculty members teach between 4 and 6 course sections per year, depending on their rank and research activities.

Additional adjunct faculty members teach MGMT 450 (BBA MIS core course), which frees other faculty members to cover specialized MIS/IA courses. No new full-time faculty resources are anticipated in the near future, though it is possible to add additional adjunct faculty to cover specialized courses. Existing faculty also staff undergraduate MIS courses (approximately 19 sections/year).

<table>
<thead>
<tr>
<th>Name</th>
<th>Status</th>
<th>Graduate Courses</th>
<th>Specialization Areas</th>
</tr>
</thead>
<tbody>
<tr>
<td>Bose</td>
<td>Full-time, tenured</td>
<td>634, 635</td>
<td>Systems analysis/design, object-oriented methods, business intelligence</td>
</tr>
<tr>
<td>Brody</td>
<td>Full-time, tenured</td>
<td>594, 641, 642</td>
<td>Fraud and forensic accounting, internal and external auditing</td>
</tr>
<tr>
<td>Burd</td>
<td>Full-time, tenured</td>
<td>637, 647</td>
<td>Database management, computer hardware/software, accounting information systems, systems analysis/design, application development</td>
</tr>
<tr>
<td>Flor</td>
<td>Full-time, tenured</td>
<td>632</td>
<td>Application development, marketing applications, digital media, social networking</td>
</tr>
<tr>
<td>French</td>
<td>Full-time, tenure-</td>
<td>None at present</td>
<td>Database management, application development, IS strategy and policy, project management, systems analysis/design</td>
</tr>
<tr>
<td>Jurkat</td>
<td>Adjunct, 2-3</td>
<td>634, 635</td>
<td>Systems analysis/design, database management, data mining</td>
</tr>
<tr>
<td>Luo</td>
<td>Full-time, tenured</td>
<td>630, 636, 646</td>
<td>Security, digital forensics, application development, multinational and behavioral IA issues, IS management</td>
</tr>
<tr>
<td>Pickard</td>
<td>Full-time tenure-</td>
<td>549, 635, 637, 639</td>
<td>Database management and mining, advanced application development</td>
</tr>
<tr>
<td>Rooney</td>
<td>Staff, max 2</td>
<td>632</td>
<td>Application development</td>
</tr>
<tr>
<td>Saiz</td>
<td>Staff, max 2</td>
<td>647, 637</td>
<td>System/network administration, database management, application development</td>
</tr>
<tr>
<td>Schatzberg</td>
<td>Emeritus</td>
<td>630, 631</td>
<td>IS strategy and policy, project management, systems analysis/design</td>
</tr>
<tr>
<td>Seazzu</td>
<td>Full-time, lecturer</td>
<td>636, 646, 648, 649</td>
<td>Security, IS resource management</td>
</tr>
</tbody>
</table>

Table 3. Current faculty pool for MIS and IA courses.

Based on existing teaching loads – full-time and emeritus faculty can cover 30 course sections per year. Adjuncts currently cover approximately 15 sections per year. Total capacity is approximately 45 sections.
per year which is a few sections less than the current number of sections offered. One possible scheduling scenario is shown in Figure 1.

<table>
<thead>
<tr>
<th>Course</th>
<th>Instructor</th>
<th>Course</th>
<th>Instructor</th>
</tr>
</thead>
<tbody>
<tr>
<td>MGMT 450-1</td>
<td>Bose</td>
<td>MGMT 450-1</td>
<td>Adjunct</td>
</tr>
<tr>
<td>MGMT 450-2</td>
<td>Adjunct</td>
<td>MGMT 450-2</td>
<td>Flor</td>
</tr>
<tr>
<td>MGMT 450-3</td>
<td>Adjunct</td>
<td>MGMT 450-3</td>
<td>Saiz</td>
</tr>
<tr>
<td>MGMT 450-4</td>
<td>Luo</td>
<td>MGMT 450-4</td>
<td>Adjunct</td>
</tr>
<tr>
<td>MGMT 450-5</td>
<td>French</td>
<td>MGMT 450-5</td>
<td>French</td>
</tr>
<tr>
<td>MGMT 329</td>
<td>French</td>
<td>MGMT 329</td>
<td>French</td>
</tr>
<tr>
<td>MGMT 330</td>
<td>Flor</td>
<td>MGMT 330</td>
<td>Flor</td>
</tr>
<tr>
<td>MGMT 331</td>
<td>Flor</td>
<td>MGMT 336</td>
<td>Luo</td>
</tr>
<tr>
<td>MGMT 336</td>
<td>Luo</td>
<td>MGMT 337</td>
<td>Burd</td>
</tr>
<tr>
<td>MGMT 459</td>
<td>Bose</td>
<td>MGMT 459</td>
<td>Bose</td>
</tr>
<tr>
<td>MGMT 533 (Prof Comm)</td>
<td>Burd</td>
<td>MGMT 633 (V/Ctr Mgmt)</td>
<td>Burd</td>
</tr>
<tr>
<td>MGMT 630</td>
<td>Schatzberg</td>
<td>MGMT 594</td>
<td>Adjunct</td>
</tr>
<tr>
<td>MGMT 635</td>
<td>Jurkat</td>
<td>MGMT 631</td>
<td>Adjunct</td>
</tr>
<tr>
<td>MGMT 636</td>
<td>Seazzu</td>
<td>MGMT 632</td>
<td>Flor</td>
</tr>
<tr>
<td>MGMT 637</td>
<td>Burd</td>
<td>MGMT 634</td>
<td>Bose</td>
</tr>
<tr>
<td>MGMT 639 (Adv DB)</td>
<td>Burd</td>
<td>MGMT 636</td>
<td>Seazzu</td>
</tr>
<tr>
<td>MGMT 642-1</td>
<td>Brody</td>
<td>MGMT 639 (IA Data Anal)</td>
<td>Pickard</td>
</tr>
<tr>
<td>MGMT 642-2</td>
<td>Brody</td>
<td>MGMT 639 (Scripting)</td>
<td>Luo</td>
</tr>
<tr>
<td>MGMT 647</td>
<td>Saiz</td>
<td>MGMT 641</td>
<td>Brody</td>
</tr>
<tr>
<td>MGMT 648</td>
<td>Seazzu</td>
<td>MGMT 646</td>
<td>Seazzu</td>
</tr>
<tr>
<td>MGMT 649</td>
<td>Seazzu</td>
<td>MGMT 648</td>
<td>Seazzu</td>
</tr>
</tbody>
</table>

Total Sections/semester: 21 (Fall) 22 (Spring)

Faculty Sections

- Adjunct: 6
- Bose: 4
- Brody: 3
- Burd: 5
- Flor: 5
- French: 4
- Jurkat: 1
- Luo: 4
- Pickard: 1
- Rooney: 1
- Saiz: 2
- Schatzberg: 1
Table 4. One possible scheduling scenario for undergraduate and graduate MIS and IA courses (assuming no enrollment growth)

<table>
<thead>
<tr>
<th>Course</th>
<th>Instructor</th>
<th>Course</th>
<th>Instructor</th>
</tr>
</thead>
<tbody>
<tr>
<td>Seazzu</td>
<td>6</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total Sections/AY</td>
<td>43</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

There are a few issues that could make faculty course coverage tighter than is shown in Figure 1. The first is sabbatical and other leaves. With seven full-time tenured or tenure-track faculty members, one sabbatical leave per year is expected. If the sabbatical is for one semester then coverage for 2 sections is lost. If sabbatical is for a year, 4-5 sections are lost. Full-year sabbaticals normally free up one third of the faculty member’s salary which is sufficient to pay adjuncts but not sufficient to hire a visitor.

Another issue that significantly impacts faculty course coverage is enrollment growth. Only a handful of courses in the existing undergraduate and graduate programs are offered multiple times per year. Current enrollment fills the majority of IA class and MIS core class (MGMT 450) sections to capacity. Most MIS concentration class sections are filled to at least 75% of capacity.

If enrollment in the MS-ISA doesn’t exceed current MBA enrollment and if BBA enrollment doesn’t increase then sufficient faculty capacity exists. However, any significant increase in enrollment in the BBA program as a whole (thus increasing required MGMT 450 sections), the BBA MIS concentration, or graduate MIS and IA enrollments will requiring adding additional sections, thus stretching full-time faculty beyond capacity. Such an outcome would require either additional full-time faculty or more part-time faculty coverage. Given the difficulty in securing new permanent faculty lines and the riskiness of building a program on part-time faculty, admissions may have to be capped to prevent over-extending current faculty.

A third issue that impacts faculty course coverage is the number of preparations per faculty member. Preparation load is uniformly high with many faculty covering 3 or 4 different courses per year. Some content overlap between graduate and undergraduate courses partly alleviates the challenge, although pending course content changes will reduce content overlap. Maintaining content currency is difficult for many full-time faculty given service and research demands, rapidly changing technology, and the large number of preparations. While larger enrollments would make it feasible to offer more courses multiple times per year, they would also exacerbate the overall faculty shortage unless additional faculty members were added.

In sum, the existing programs fully utilize existing full-time faculty resources. We expect the new MS program to absorb all current graduate enrollments in MBA-related concentrations. Thus, there is minimal room for enrollment growth over current levels without additional faculty resources. This fact constrains our ability to attract new cadres of local, national, or international students.

Will any GA/TA positions be used to assist graduate faculty in the program?
Existing GA lines will be used to support existing faculty teaching in the program, one for each full-time tenured or tenure-track faculty member.

What faculty and staff development services will be needed?

No development services beyond those already in place at Anderson are required for the program.

What technology, media, equipment and instructional supplies are needed to support the program’s intended outcomes? Are these resources available? What is the estimated cost?

Existing and proposed courses in the program extensively use computer labs and related software resources. These resources are already in place to support existing programs and they are funded by technology fees for Anderson courses. Since the current funding mechanism scales with enrollment, any increase in program enrollment above the existing enrollment levels will generate new revenue to pay for additional computing resources.

The specific computing resources that support the program include:

- Anderson physical computing lab (ASM #1002)
- Anderson virtual computing lab
- Anderson IA computing lab
- Software licenses primarily covered under Anderson’s Microsoft Academic Alliance membership

Are there any needs for additional or renovated space?

Additional or renovated space will not be required unless enrollment is significantly larger than current enrollment.

What, if any, existing external facilities will be used? (For full proposal, discuss any agreements that have been or will be entered into for use of the facility.)

Current programs use facilities at the FBI Regional Computer Forensics Lab, which is located on the UNM south campus. UNM already has an agreement for use of this facility and that agreement extends to all UNM IA-related programs.

Projected Cost of the Program

Provide a five-year projection of program costs including the new costs for program start up and recurring costs to sustain the program including any new costs identified in Sections 5 or 7.

Incremental costs are zero as long as enrollment does not exceed current enrollment or is capped at present levels.

If applicable, describe anticipated sources of new revenue required for the program.

N/A
Provide a rationale for any course fees or other expenses (in addition to tuition) that students will be expected to cover.

Current Anderson students pay technology fees of $10 per credit hour and an online class fee of $100 per course. These fees will apply to all courses within the MS-ISA program. Technology fees provide funding for computing hardware, software, and related services which are intensively used across Anderson courses but especially within MIS and IA courses. Online fees cover incremental costs of online course delivery including some related technology, faculty training, and support staff dedicated to online course support.

(For full proposal, a detailed five-year program budget is required outlining programs costs and anticipated state support based on the state funding formula and other areas of support.)

Two budget scenarios are provided in the table below:

A. Same enrollment as existing MBA concentration in MIS and IA
B. Double enrollment of existing MBA concentrations in MIS and IA

<table>
<thead>
<tr>
<th>Program Costs</th>
<th>Same enrollment (A)</th>
<th>Double enrollment (B)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>1 Year</td>
<td>5 Year</td>
</tr>
<tr>
<td></td>
<td>$610,000</td>
<td>$3,300,000</td>
</tr>
<tr>
<td>Full-time faculty lines</td>
<td>$40,000</td>
<td>$200,000</td>
</tr>
<tr>
<td>Adjunct faculty</td>
<td>$50,536</td>
<td>$252,680</td>
</tr>
<tr>
<td>Graduate assistants</td>
<td>$26,250</td>
<td>$131,250</td>
</tr>
<tr>
<td>Administrative support</td>
<td>$20,880</td>
<td>$104,400</td>
</tr>
<tr>
<td>Technology costs</td>
<td>$1,000</td>
<td>$5,000</td>
</tr>
<tr>
<td>Total Program Costs</td>
<td>$798,666</td>
<td>$3,993,330</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th></th>
<th>1 Year</th>
<th>5 Year</th>
</tr>
</thead>
<tbody>
<tr>
<td>Tuition</td>
<td>$1,036,901</td>
<td>$5,184,504</td>
</tr>
<tr>
<td>State formula funding</td>
<td>$1,387,640</td>
<td>$6,838,200</td>
</tr>
<tr>
<td>Technology fees</td>
<td>$20,880</td>
<td>$104,400</td>
</tr>
<tr>
<td>Online course fees</td>
<td>$70,400</td>
<td>$352,000</td>
</tr>
<tr>
<td>Total Program Funding</td>
<td>$2,495,821</td>
<td>$12,479,104</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th></th>
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<th>Excess or (Deficit)</th>
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<tbody>
<tr>
<td></td>
<td></td>
<td>$1,077,155</td>
</tr>
<tr>
<td></td>
<td></td>
<td>$8,485,774</td>
</tr>
</tbody>
</table>

Table 5. Program costs and funding assuming current enrollment and doubled enrollment.

Estimated program costs include salary and benefits for full-time faculty, adjunct faculty, graduate assistants, and administrative support. Technology costs for support provided by Anderson are included and are assumed equal to funding generated by the technology fee. Costs not considered include buildings/space and administrative support outside of Anderson (e.g., OGS and NMEL). Marketing costs
are small if program capacity matches current MBA levels. Marketing costs increase disproportionately in scenario B because the marketing effort would be national in scope.

Key assumptions underlying the budget scenarios include:

- Sections taught and average enrollments are based on MIS and IA concentration courses in the MBA program with additional sections for 2 new courses and an average of one general management course per student
- Full-time faculty teach an average of 4 sections per year
- The proportion of sections taught by full-time and adjunct faculty is the same as current proportions for MIS and IA courses in the MBA program
- All salary cost projections include indirect costs (benefits)
- Each full-time faculty member has one graduate assistant
- 10% of students pay out-of-state tuition in scenario A, 40% in scenario B
- State formula funding is $653 per credit hour
- Enrollment level per year is constant for the 5-year budget
- No inflation assumptions are incorporated into costs or funds

**Quality of the Program**

Describe the qualifications of the faculty and how the expertise and experience will contribute to the quality of the program.

<table>
<thead>
<tr>
<th>Faculty Member</th>
<th>Teaching Areas</th>
<th>Research Areas</th>
</tr>
</thead>
<tbody>
<tr>
<td>Rich Brody, PhD, CPA, CFE</td>
<td>Fraud examination, forensic accounting, internal and external auditing</td>
<td>Corporate and financial fraud, identify theft, cross-cultural issues in fraud and Internet banking</td>
</tr>
<tr>
<td>Ranjit Bose, PhD</td>
<td>Systems analysis/design, object-oriented methods, business intelligence</td>
<td>Object-oriented software development, IS strategy &amp; policy</td>
</tr>
<tr>
<td>Stephen Burd, PhD, CPA</td>
<td>Systems architecture, databases, system and network administration, accounting information systems</td>
<td>Virtualization, systems architecture, auditing, health information technology</td>
</tr>
<tr>
<td>Nick Flor, PhD</td>
<td>Application development, user-interface design</td>
<td>User-interface design, mobile applications, social media, digital marketing</td>
</tr>
<tr>
<td>Aaron French, PhD</td>
<td>Application development, databases, IS strategy, project management</td>
<td>Information security, social networks, web development</td>
</tr>
<tr>
<td>Xin Luo, PhD</td>
<td>Information system security, computer forensics, application development</td>
<td>Information security, E-commerce/M-commerce, Internet banking, global IT adoption and management</td>
</tr>
<tr>
<td>Matthew Pickard, PhD</td>
<td>Forensic accounting, accounting information systems, data mining, database management</td>
<td>Forensic accounting, cyber-infrastructure, machine learning, computational linguistics</td>
</tr>
<tr>
<td>Laurie Schatzberg, PhD</td>
<td>IS policy, project management, systems analysis &amp; design</td>
<td>Subset of teaching areas</td>
</tr>
<tr>
<td>Alex Seazzu, MBA</td>
<td>Computer and network security, information assurance</td>
<td>Computer and network security, internal auditing, virtualization, cloud computing</td>
</tr>
</tbody>
</table>
Show how the proposed admission standards (identified in Section 1) compare with those of other institutions offering a similar program.

<table>
<thead>
<tr>
<th>Institution and program</th>
<th>Admission standards</th>
</tr>
</thead>
</table>
| UNM – MS in ISA          | • Completed bachelor’s degree with at least 30 credit hours of courses in management, computer science, or computer-related engineering.  
                          • A grade point average of 3.0 for the last 60 hours of college coursework including any post baccalaureate work.  
                          • A score equal to or greater than 500 on the Graduate Management Admission Test (GMAT) or a Graduate Record Examination (GRE) score that is equal to or greater than 500 on the quantitative section and a score equal to or greater than 500 on the verbal section.  
                          • International students from a country where English is not the native language and who did not receive a degree from a university where English is the language of the institution need to submit a TOEFL score (minimum score of 550 for the paper-based test, 213 for the computerized test, or 80 for the internet-based test) or IELTS score (minimum score of 6.0) |
| University of Nevada     | • Undergraduate degree completed at time of enrollment in the Master of Science program (successful applicants typically have at least a GPA 3.0 on a four-point scale or equivalent)  
                          • GMAT or GRE score (successful applicants typically have a score of 550 or higher on the GMAT or a score of 1050 or higher on the GRE). The combined portfolio of test score, undergraduate GPA, and other materials will be considered to make an admission recommendation.  
                          • Two letters of recommendation uploaded to the application system or submitted in sealed envelopes (letters may be from professional and academic references)  
                          • International students from a country where English is not the native language and who did not receive a degree from a university where English is the language of the institution need to submit a TOEFL score (minimum score of 550 for the paper-based test, 213 for the computerized test, or 80 for the internet-based test). |
| Las Vegas – MS in MIS    | For admission to the M.S.I.T. program, applicants must meet University-wide graduate admission requirements. Applicants are further considered on the basis of demonstrated potential for success in graduate study in information technology as indicated by a combination of prior academic achievement, Graduate Management Admission Test (GMAT) scores, personal statement, résumé (optional), and references (optional). The M.S.I.T. Graduate Program Committee evaluates each applicant individually based on the complete package of submitted materials. |

MS in IS/IA Form D Attachment 3/22/2013 Page 23 of 30
Institution and program | Admission standards
---|---
University of Colorado at Denver – MS in MIS | • Your complete academic records, including GPAs and previous coursework are considered. Undergraduate degrees do not have to be in business, but they must be from regionally accredited colleges or universities.
• The Business School uses the test as one predictor of academic performance in the graduate business programs. The average GMAT for admitted students is 560.
• If you are an International applicant and your first language is not English you must meet this requirement in one of the following ways:
  o Submit TOEFL score that meets the minimum requirements: MBA: 83 (IBT) or 560 (PBT), 11-Month MBA: 90 (IBT) or 575 (PBT), all other programs: 71 (IBT) or 525 (PBT).
  o Submit IELTS score that meets the minimum requirements: MBA and 11-Month MBA: 6.5, all other programs: 6.0.
  o The TOEFL/IELTS will not be required, if the applicant has completed a baccalaureate or graduate-level degree program at an English speaking college or university or have completed at least 2 semesters at a college or university in the Unites States as a full-time student and obtained a “B” average (3.0 GPA) or higher.
  o The TOEFL/IELTS can also be waived if the applicant successfully completes CU Denver’s English as a Second Language (ESL) Program (http://esl.ucdenver.edu) and submits a recommendation letter from the program.
• Relevant background and a history of increasing responsibility are viewed positively, but are not required for admission.

Table 7. Summary of admission standards for similar degree programs at peer institutions.

**What instructional model(s) will be used in presenting the program? What types of technology will be used for delivery of instruction?**

Content will be delivered via a combination of face-to-face lecture, experiential, and online learning.

**What types of opportunities for experiences outside of the classroom will be available to students and utilized in the program (e.g., internships, service learning projects, research-based service learning)?**

The MS-ISA program includes strong experiential and research elements that are interwoven through multiple IA courses. These elements enable the program to achieve synergistic goals including enhancing student knowledge and skills, projecting IA knowledge and capabilities into the larger community, and enhancing IA-related research. These activities support current IA students and will form a significant part of the learning and professional development experience for students.

*The RCFL at UNM* is a partnership among the university, national labs, and national, state, and local law enforcement agencies. While there are 15 other RCFL sites, UNM is only the second participating university and the facility is located on campus. Unlike most RCFLs, the RCFL at UNM also has a unique
research and training mission that combines academic, national lab, and law enforcement expertise and resources. An MOU between UNM and the FBI provides three specific experiential opportunities for IA students: regular internships, the FBI’s Honors summer internships and year-round volunteer internships. The primary focus areas of RCFL-related activities for IA students are digital forensics and live detection and analysis of network intrusions.

**Center for Cyber Defenders.** Sandia National Laboratories extended its Center for Cyber Defenders (CCD) program onto the UNM campus in 2008 through an onsite satellite office housed at CIARE. SNL provides training materials, guidance through regular interaction, and internship opportunities for students in the MS-ISA program. Having a CCD program dedicated to management students allows SNL to help UNM build the program specifically around the management aspects of IA. These include hands-on project experience and research and managerial areas on IA. Current research by students and faculty include the adoption of and resistance to information security policies and the management of the discovery process in litigation.

**Tracer FIRE (Forensic and Incident Response).** Sandia and Los Alamos National Labs conduct two day training sessions with leading experts in the field of computer forensics and incident response in the spring and fall semesters. Through these hands-on exercises IA students are immersed in the cognitive skills needed to perform forensic analysis and reverse engineering of malicious code in a hostile networked environment. The first day is designated to provide training sessions while the second day hands on application and competition.

**Collegiate Cyber Defense Competition.** A team of students from the IA program participates in a controlled, competitive environment to assess their depth of understanding and operational competency in managing the challenges inherent in protecting a corporate network infrastructure and business information systems.

**Computer and Network Vulnerability Assessment Simulation (CANVAS).** Developed through the Air Force Academy, IA students from the program participate in CANVAS which shares some elements with a typical “Capture the Flag” exercise, but differs from other security competitions in the overall approach to the exercise, in the exercise objectives, in team makeup, and in the evaluation criteria. Teams are formed at the exercise and combine students from different backgrounds.

**Fraud Prevention Audits.** Students enrolled in Fraud Examination (MGMT 642) form teams to conduct fraud prevention audits for non-profit organizations in New Mexico. The audits analyze existing internal control systems, identify weaknesses, and recommend improvements to prevent fraud. The audits encompass both accounting and information security topics including asset custody, maintenance of accounting records, segregation of duties, authorization and authentication for automated systems, and the security of workstations, servers, databases, and networks. When the audit is completed, students produce a final report distributed to appropriate parties in the organization.

**White Collar Crime Internship.** Students who have completed MGMT 641 and 642, including SFS recipients, have an opportunity to work with the white collar crime units of the Secret Service, the Albuquerque Police Department (APD), the Bernalillo County Sheriff’s Department (BSC), or the NM
State District Attorney's (DA) office. Students work up to nine hours a week at their respective agency during each semester and assist detectives investigating crimes of embezzlement, fraud, and forgeries that occur in organizations of all sizes.

**K-12 Outreach.** Every semester, students enrolled in the introductory IA course (MGMT 636) are required to complete an outreach project for a local or state K-12 school. The presentations educate our younger population about the properties of digital information, the risks of not properly caring for what belongs to the individual or the group, and the importance of securing the transmission, processing and storage of information. Projects have reached over 1000 students in 30 schools with topics that range from “Protecting Your Information in Social Networks” to “Digital Rights Management”.

**Courseware Development.** Students in MGMT 646 and 648 develop hands on modules for different student populations, from K-12 to graduate, that demonstrate different technical risks present in protecting information systems and digital forensics. The VLAB serves as the virtual sandbox where diverse environments and scenarios are presented to demonstrate systems and network vulnerabilities. Additional information about the labs developed by our students is available at http://ia.unm.edu/labs.asp.

*What student support services are likely to be needed and to what extent (CAPS, library, ITS, advising, etc.)? What is the estimated cost?*

No additional student support services are anticipated beyond those already provided for Anderson graduate students.

*What student support will be needed (GA & TA positions, scholarships, internships, etc.)?*

No additional GA/TA positions, scholarships, or internships are required unless enrollment exceeds current MBA enrollment. A grant proposal has been submitted to the NSF under the CyberDefenders Scholarship for Service program. If the proposal is funded, it will provide full scholarship and limited administrative support for 33 MS-ISA students over a 5 year period.

*What are the expected student learning outcomes for the program? What will the students know and what will they be able to do when they complete this program?*

Graduates will combine knowledge and skills in computer and network security, individual and organizational behavior, accounting and finance, information technology, and a wide range of supporting disciplines to address the requirements of careers in criminal investigation, intelligence, national security, auditing, information system design, and management of critical information technology infrastructure.

*How will the program’s learning outcomes be measured? (For full proposal, also describe any final integrating experiences in the program that will be used to assure graduates have acquired the knowledge and skills expected for the degree/certificate awarded.)*
Building upon successful implementation of Assurance of Learning for Anderson’s international accrediting body (AACSB), the faculty will use a portfolio review process to assess mastery of program learning goals. A sampling of student projects or papers from the program core MS-ISA classes (MGMT 533, 631, 636, and 637) will be collected during the academic year. MS-ISA faculty will conduct portfolio reviews to determine the effectiveness of teaching, gaps in learning and/or course content, and to develop suggestions for revising curricula and syllabi to close noted gaps.

Based on experiences in other Anderson graduate programs and input from employers, we will pay particular attention to critical thinking, problem analysis and solution, and written and verbal communications in addition to specific technical content skills.

The MS-ISA faculty will maintain documentation of the review process, findings, and subsequent remedial actions.

[Prior to submission to the NMHED and NMGDC, the proposal should UNM is institutionally accredited by the Higher Learning Commission of the North Central Association and has approval to offer any degree program appropriate to UNM’s mission.]

If applicable, describe any accreditation issues, including the following:

Will accreditation be sought for the program? If so, describe the process and expenses involved.

How does the program affect any existing accreditation and licensure requirements?

Anderson is accredited by the AACSB and all programs offered by the school must be accredited. Accreditation requirements for the MS-ISA program will be similar to those for the extant MS in Accounting program. Accreditation expenses for all Anderson programs are covered by its annual AACSB membership fee.

Assessment of Operations and Impact

In addition to student learning outcomes, what other measures to evaluate program effectiveness are contemplated?

As for all Anderson programs, program effectiveness will be measured by admissions rates, graduation rates, placement rates, and satisfaction surveys of students, alumni, and external constituents. Measurement, evaluation, and follow-up programs are well-established within Anderson as required by UNM and AACSB accreditation standards.

[For full proposal, a long-range plan (at least 5 years) for program assessment and evaluation must be included.]
Anderson has formal ongoing assessment programs for all academic programs which will be extended to the MS-ISA program. Key assessment program elements performed on an annual basis include:

- Student surveys
- Employer surveys
- Review of enrollments and graduations
- Merit reviews of program faculty

In addition, Anderson is scheduled for an accreditation review by the AACSB in academic year 2015/2016 covering all degree programs including the MS-ISA.

Administrative Responsibility for the Program and Institutional Commitment

What is the proposed governance structure of the program? (For full proposal, a thorough discussion is especially important for interdepartmental and intercollegiate programs or when entering into collaborative agreements with other institutions.)

MS-ISA program governance will be the same as for other Anderson graduate programs. Primary responsibility for the program rests with the Dean and the faculty as a whole. Administrative governance will be implemented by the Dean, Associate Dean, department chairs, and Director of Advisement and Placement Services. Faculty will provide evaluation and oversight through the Anderson Graduate Curriculum & Programs Committee.

[Prior to submission to the NMHED and NMGCD, documentation outlining the institution’s priority for the proposed program should be obtained from the Provost’s Office to include with the proposal.]

Additional Information

Provide any additional information needed to make the case for development of a full proposal. (For full proposal, provide any additional information to support the request for the proposed degree program.)

Working Definitions of Information Systems and Information Assurance

The field of Information systems (IS), also called management information systems (MIS), is the study of how computer hardware, software, networks, and related technologies are best applied to the management and operation of organizations. Subtopics include:

- Applications development, implementation, deployment, operation, and maintenance
- Information and technical resource management
- System and network administration
• Applying technology to achieve greater efficiency, improved quality, and competitive advantage
• Management of projects charged with developing, integrating, and de-commissioning systems and applications, including user involvement and training

Information systems is distinct from the related fields of computer science and computer engineering. Differences include:

• IS has a bias toward applications in business, governmental, and non-profit organizations as compared to scientific and engineering applications
• IS emphasizes application of existing and emerging technologies rather than development of new technologies

Despite their differences, the fields share many common characteristics that arise from using computer and related technologies to solve real-world problems.

The field of Information assurance (IA) is the study of "measures that protect and defend information and information systems by ensuring their availability, integrity, authentication, confidentiality, and non-repudiation. These measures include providing for restoration of information systems by incorporating protection, detection, and reaction capabilities." [source] Though broadly inclusive, this definition emphasizes traditional computer, network, and information security and obscures some significant subfields commonly included within information assurance including:

• Forensic investigations - particularly those that employ computer technology
• Risk assessment at all organizational levels
• Penetration testing and other methods of assessing vulnerability to external threats
• Auditing, internal control, and other behavioral subfields normally associated with accounting

As with information systems, the study of information assurance in a managerial context differs from similar study in computer science and engineering primarily in that the management context:

• Emphasizes application of existing and emerging technologies rather than development of new technologies
• Includes of subject such as law, policy, procedure, and human factors

Attachments full proposal only

Department of Labor documentation, if applicable.

Incorporated into proposal body

Formal Needs Assessment.

Incorporated into proposal body

MS in IS/IA Form D Attachment 3/22/2013 Page 29 of 30
List of similar programs (state and regional).
Incorporated into proposal body

List of potential employers.
Incorporated into proposal body

List of advisory committee or board members, if applicable. Minutes of advisory committee or board meetings, if applicable.

Mary Adams - Sandia National Labs
Mary Adkins - Federal Bureau of Investigation
Elisha Allen - UNM New Media Ext Learning NMEL
Terry Boulanger - New Mexico Technet
Matthew Deller - Sandia National Labs
Jenna Esparza - Los Alamos Labs
Lynn Harris - Albuquerque Public Schools
Stephen Howard - Los Alamos Labs
Clint Hubbard - Albuquerque Police Department
Justin Johnson - Sandia National Labs
Darrin E. Jones - Federal Bureau of Investigation
John Larson - Sandia National Labs
Stephen Lee - SL Consulting
Barbara Lopez - PNM Resources, Business Technology Services
Robert Mayer - Albuquerque Health Partners
Andrew Sloan - Department of Homeland Security
Kelcey Tietjen - Los Alamos National Labs
John C. Woods - Intel

Letters of support from external partners or stakeholders.
See attachments

Additional Attachments for submission to NMHED and NMGDC (supplied by Provost’s Office)

Minutes from the Board of Regents meeting, noting approval.

Documentation of institution’s priority for the proposed program.
September 4, 2012

Re: UNM Anderson School of Management Proposal for MS in Information Systems and Assurance

Dear Program Review Committees:

I’m writing to support the UNM Anderson School of Management proposal for a MS degree in Information Systems and Assurance.

New Mexico State University’s College of Business does not offer a similar program and we see the value to New Mexico of launching this program. As noted in the UNM proposal, students who earn the MS/ISA degree will be positioned to enter the New Mexico workforce in both the private and public sectors, taking on technical roles in IT security or information systems management.

Yours truly,

Garrey E. Carruthers
Dean
Dear Program Review Committee,

The Computer Science and Engineering Department of New Mexico Tech has read a proposal for an MS program in 'Information Systems and Assurance' prepared by the UNM Anderson School of Management.

We do not see any appreciable overlap with our programs in technical content.

I am therefore pleased to offer our support to the UNM Anderson School of Management for its proposed MS degree in Information Systems and Assurance.

The students entering the NM workforce with this degree should be able to fill important Information Technology roles in both the private and public sectors.

Yours sincerely,

Subhasish Mazumdar

Subhasish Mazumdar, Ph.D.
Associate Professor & Chair,
Dept of Computer Science and Engineering,
Adjunct Faculty, Dept of Management.
New Mexico Tech
801 Leroy Place, Socorro, NM 87801
Work: (575) 835-5288
Fax: (575) 835-5587
www.cs.nmt.edu/~mazumdar
10/8/2012

Subject: Re: MS in Information Systems and Assurance program proposal

Dear Program Review Committee,

Faculty from the graduate Software-driven Systems Design and the graduate Media Arts and Computer Science programs in the Department of Media and Technology, School of Business, Media, and Technology at New Mexico Highlands University have read a proposal for an MS program in 'Information Systems and Assurance' prepared by the UNM Anderson School of Management.

We do not see any appreciable overlap with our programs in technical content.

We are therefore pleased to offer our support to the UNM Anderson School of Management for its proposed MS degree in Information Systems and Assurance.

The students entering the NM workforce with this degree should be able to fill important Information Technology roles in both the private and public sectors.

Sincerely,

[Signature]

Prof. David M. West
Software-driven Systems Design, Media and Technology Dept.
New Mexico Highlands University
Dr. Stephen Burd
Anderson Schools of Management
MSC05 3090
University of New Mexico
Albuquerque, NM 87131-0001

September 26, 2012

Dear Dr. Burd:

I’d like to take this opportunity to express my enthusiastic support to establish a Master’s degree program in Management of Information Systems and Assurance. Your Program targets a unique area of growing need to National security: information assurance to protect our financial and business interests. Over the last several years, the Nation has witnessed the emergence and rapid growth of sophisticated criminal organizations that have transformed computer compromise into a lucrative business. Technology alone cannot address these threats; our greatest opportunities to manage national cyber security risks require policy analysis, security metrics, identity management, and business processes. Without these skills, it’s entirely possible to spend billions of dollars on technology and end up with a less secure system. Your proposed Program that couples fraud accounting and financial investigations with digital forensics and computer security will likely have a greater impact on these criminal organizations than will pure technical programs.

Our organization has recently recognized the importance of Master of Science programs that include all aspects of Information Management and security and has restructured our employment designations in order to enable a more aggressive hiring program for these critical skills. We will look to University of New Mexico to help meet these needs. In addition to helping satisfy our staffing needs, I look forward to continuing our strong partnership through our internship Programs. Your Program is unique and necessary to prepare a workforce capable of addressing evolving threats to National security.

Sincerely,

Bob Hutchinson
Senior Manager
Information Security Sciences
Sandia National Laboratories

Exceptional Service in the National Interest
Dr. Stephen Burd  
Anderson Schools of Management  
MSC05 3090  
University of New Mexico  
Albuquerque, NM 87131-0001  

October 16, 2012  

Dear Dr. Burd;  

I’d like to take this opportunity to express my enthusiastic support to establish an MS degree program in Information Systems and Assurance. Having been a recent graduate of the Information Assurance MBA program, I can attest to the value and necessity of the program in an increasingly competitive and dynamic field.  

Given the numerous facets in the IT/IA/IS world today, the proposal of different groupings will better equip program graduates in the field according to their strengths and interests. Additionally, making the program a Master of Science will position students more competitively in the challenging job market. Although the breadth of information I gained through my program has been an invaluable starting point for my career, the proposed program and study plans are more congruous with what I’ve encountered in the last few years. One of the many benefits of this program is combining the institutional and managerial level perspective with a broad technical understanding of the field. However, by having a MS program dedicated to ISA, students will have the opportunity to further broaden and refine their skills by focusing on courses more relevant to their specialization.  

As organizations around the world grow more connected and the reliance on technology increases, so will the demand for qualified professionals. However, the most effective and best situated to solve the issues we face today (and will face in the future), are those that have a unique but tightly integrated combination of management and technical skills. This program targets a critical need in national, regional, and local security and for businesses and government of all sizes. As the sophistication of emerging threats grows, so do those who are protecting the assets whether it is for the financial, government, or healthcare sector. This program will help prepare graduates to make the fine distinctions between weighing business and mission impacts with costs—something that a purely technical degree typically does not offer. As budgets continue to tighten, the demand for qualified employees to make those tough decisions will be valuable.  

In addition to helping satisfy our staffing needs, I look forward to continuing our strong partnership through our internship programs and educational outreach. The MS-ISA program is unique and necessary to prepare a workforce capable of addressing evolving threats to national security. Please do not hesitate to contact me with any questions.  

Sincerely,  

Jenna M. Esparza  
Office of the Chief Information Officer  
Information Security Group  
Los Alamos National Laboratory  
jesperza@lanl.gov
Mr. Stephen Burd  
Anderson Schools Management  
MSCo5 3090  
University of New Mexico  
Albuquerque, NM 87131  

Dear Mr. Stephen Burd:

I am writing to offer my support for the formation of the MS graduate program, Information Systems and Assurance. Our organization is particularly interested in this masters program as it provides a forum for students from engineering and computer science backgrounds to work in partnership with one another, further advancing the technical depth of the work force that the Federal Bureau of Investigation (FBI) pulls from. The collaboration of our New Mexico Regional Computer Forensics Laboratory’s Computer Forensic Examiners and the Anderson Schools of Management Interns has provided positive exchanges of real-world applications and theory. With MS-ISA graduate students, we can continue to build on that relationship, with goal being to provide employment opportunities.

The FBI and its partners are leading the national effort to investigate high-tech crimes, including cyber-based terrorism, computer intrusions, online sexual exploitation, and major crime-frauds. To stay in front of emerging trends, it is absolutely essential that we have employees with advanced technical capabilities and knowledge. The proposed MS graduate program will assist in modernizing content to address the world’s progression into the cyber-world.

Currently there are key areas applicants can qualify under for an Agent or Support position within the FBI, with the MS-ISA degree satisfying the Computer Science/Information Technology qualifications. Because of the breadth and scope of the FBI's
mission and our ongoing development of cyber programs, the MS-ISA degree will remain a relevant and versatile area of expertise.

I strongly support UNM's already nationally recognized efforts to expand their recruitment and training of men and women into the field of MS in Information Systems and Assurance.

Sincerely,

Carol K. Lee
Special Agent in Charge
Dear Dr. Burd:

I would like to thank UNM for providing the opportunity to participate in the development of the MS in Information Systems and Assurance program. Having worked in both the technical implementation and operations and oversight roles, I have seen a need for individuals that have both reasonably strong technical skills as well as strong management, leadership and communication skills.

As Los Alamos National Laboratory moves from a compliance based security model to one based on risk, the skill balance provided by your program will be beneficial. A risk based approach requires individuals that can analyze risk, understand the impact of security on mission, be able to balance the two and finally, communicate recommendations to management. Successful graduates of your program will very likely become the managers themselves, in which case a solid understanding of both the technical issues as well as business operations would be very valuable.

During my review of your program it is clear to me you have taken suggestions provided during your focus groups to heart and developed a program that will produce individuals suited for work in areas such as the LANL Office of the CIO. I support your establishment of an MS in Information Systems and Assurance program.

Sincerely,

Steven G. Howard
Team Lead, OCIO Risk Management Team

SGH:sgh
October 5, 2012

Dear Dr. Burd;

I would like to show my support for the creation a master’s degree program in management of information systems and assurance. The Anderson Schools of Management (ASM) program addresses a unique area of growing needs to national and global security that is not currently met by demand for these trained individuals. For the past several years I have personally seen companies, our nation and many others being targeted by state sponsored intruders, organized crime and activists transforming computer intrusions into a actualized problem. Even though technology has emerged to attempt to tackle these problems, highly skilled analysts are still needed to engineer, maintain and analyze the data from these systems. I know your proposed program that combines fraud and financial investigations, digital forensics and computer security will likely have a greater impact on these types of attacks than any pure technical programs; producing true leaders in this space that will have the knowledge of business impact and technical expertise. We have and will continue to hire students and support interns from ASM information security programs.

Sincerely,

Kelcey Tietjen
Global Information Security Operations Manager
Bechtel Corporation
Thank you for the opportunity to sit on the focus group for Anderson’s proposed MS program in Information Systems and Assurance (MS-ISA), and for the recent materials you sent relating to this proposal. In my professional opinion as an IT Manager at UNM, this program would be helpful in preparing students for the IT Workforce. In my own selection of applicants to fill technology positions, I look for candidates with the right balance of technical competence, functional problem solving, and communication skills. This combination is not always easy to find, as formal computer science curriculum does not always include the requisite managerial competence and communication skills for effective operation in an organization that needs employees with technical and functional competencies.

Similarly, traditional management degrees can lack sufficient depth in technical issues related to technology implementation, planning and management. The proposed curriculum offers critical skills in both the technical and functional areas, and would be an attractive credential when I am reviewing job applicants. Please feel free to contact me if you have any questions, and I look forward to reviewing applicants with degrees in this program in years to come.

Sincerely,

Elisha Allen
Associate Director, New Media & Extended Learning
August 29, 2013

Dear Dr. Burd,

Faculty from the UNM Computer Science graduate program committee have reviewed the proposal for a MS degree in Information Systems and Assurance to be offered by Anderson School of Management. We enthusiastically offer our support to ASM for this proposed degree program.

We see many benefits of such a program to students who have obtained an undergraduate degree in Computer Science. A key benefit being the opportunity for these students to continue their educational pursuits so that they are better prepared for advanced career positions in information systems development, system administration, computer and network security, forensic investigations, risk assessment and mitigation, and IT auditing. We also support the incorporation of existing CS courses and the opportunity for students to utilize additional CS courses in this program since course requirements are customized on a per-student basis.

We look forward to educational collaborations through the Information Systems and Assurance MS program at Anderson School of Management. Again, we offer our strongest support for this proposal.

Respectfully,

Michalis Faloutsos
Professor and Chair
Computer Science Department
Office of the Dean

September 3, 2013

Dr. Stephen Burd
Anderson School of Management
University of New Mexico
Albuquerque, NM 87131

Prof. Burd,

You have my strongest support for the establishment of an MS degree in Information Systems and Assurance (ISA). This program is an excellent next step for many students earning their BS from the School of Engineering in computer science or computer engineering who want their next venture in higher education to be a combination of business and technical skills. This also sets the stage for vital future collaboration between our schools, and will only enhance the pool of technically competent and business savvy students available in the New Mexico job market, thus enhancing our state’s economic development.

Please don’t hesitate to contact me for any additional support of this important initiative.

Regards,

Catalin Roman
Proposed MS Degree in Information Systems and Assurance
Library Impact Statement

Overview

The impact of the proposed MS degree in Information Systems and Assurance (MSISA) will be minimal for the following reasons:

- Most of the program’s courses are existing courses already supported by existing UNM library resources
- Program enrollments in the first several years will supplant existing program enrollment in the MBA program Management Information Systems (MIS) and Information Assurance (IA) concentrations

The primary impact on UNM library resources will be from students completing the optional thesis course MGMT 599. Students completing this course will require library resources to conduct research. However, the required resources are essentially the same as those that support MIS and IA faculty in their research activities.

Details

Salient details of the proposed MSISA program and their impact on UNM library resources are discussed in detail in the following sections.

Program Content

The MSISA program essentially repackages existing courses and adds three new courses. The repackaging produces a shorter, streamlined, and more focused program that will be more attractive to students than the existing MBA with MIS and/or IA concentrations. To the extent that existing courses rely on existing UNM library resources there is no net impact on those resources. It should be noted that most of the existing courses are not research or literature-oriented and make minimal use of UNM library resources at present. The courses are highly technical in nature and the majority of their reference materials beyond textbooks is from online sources not hosted by UNM.

The proposed program does include three new courses. Two of them are described here with their library impacts and the third (MGMT 599) is described in a separate section below.

- **MGMT 533 (Professional Communications):** This course is essentially a 2 credit version of the existing MGMT 712 course oriented to the needs of MS students rather than Executive MBA
students. As with MGMT 712, textbooks and online sources will support students in learning material and completing assignment. This course will not use UNM library resources.

- **MGMT 633 (Vendor & Contract Management):** This course is a continuation of MGMT 631 (Project Management) with specific emphasis on managing multiples types of IT projects, evaluating and controlling project risk, developing RFPs and contracts, and vendor selection and relationship management.

### Number of Students
No new faculty resources are allocated to the proposed program. Since the proposed program is better attuned to the needs of students pursuing careers in MIS and IA, we anticipate a rapid migration of students from the MBA program and its MIS and IA concentrations to the new MS program. Once that migration is complete, the related MBA concentrations will be discontinued. As such, the number of students pursuing this area of study will not change significantly in the next 3 years. If additional enrollments are enabled by adding new faculty lines then enrollment may increase over current enrollments. However, this is not anticipated in the near future.

### MS Theses (MGMT 599)
The MSISA program creates a research-oriented alternative to more traditional professional study by creating MGMT 599 (a variable credit thesis course) and incorporating it as an optional program component. We anticipate small enrollment in this course since it is primarily intended for students working closely with faculty members on publishable research projects. In the past, few students have pursued such studies through a problems course or independent study alternative to traditional courses. Based on past experience and anticipated student profiles, we anticipate no more than 5 students per year will enroll in MGMT 599.

Students enrolled in MGMT 599 are expected to heavily use library and other research-oriented resources to complete course requirements. Resources used by students will mirror those used by faculty members in their research activities. Examples are listed below. All except those listed in red are currently available through the UNM libraries.

- **Databases**
  - Computer Database
  - Computers & Applied Sciences Complete
  - Library, information Science, and Technology Abstracts
  - ScienceDirect
- **Digital Library Collections**
  - Association for Computing Machinery (ACM) Digital Library
  - Institute for Electrical and Electronics Engineers (IEEE) Digital Library
- **Journals (not included in digital library collections)**
  - Artificial Intelligence
  - AI Expert
  - AI Magazine
  - Communications of the AIS
- Computers and Operations Research
- Computers and Security
- Computer Supported Cooperative Work
- DATABASE for Advances in Information Systems
- Database
- Database Programming and Design
- Decision Sciences
- Decision Support Systems
- European Journal of Information Systems
- European Journal of Operations Research
- Expert Systems
- Expert Systems with Applications
- Harvard Business Review
- Human-Computer Interaction
- Information & Management
- International Journal of Electronic Commerce
- International Journal of Human-Computer Interaction
- International Journal of Human-Computer Studies
- International Journal of Information Management
- International Journal of Information Security
- Informing Science
- Interface: The Computer Education Quarterly
- Information Resources Management Journal
- Information Systems Frontiers
- Information Systems Research
- Information and Software Technology
- Information Technology and Management
- Journal of the AIS
- Journal of Database Administration
- Journal of Database Management
- Journal of Information Technology Education
- Journal of Management Information Systems
- Knowledge Based Systems
- MIS Quarterly
- Management Science
- Operations Research
- Sloan Management Review
- Telemedicine and eHealth
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<td>New</td>
<td>Existing</td>
</tr>
<tr>
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<td>$2404K</td>
<td>$2404K</td>
<td>$2404K</td>
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<tr>
<td>External Grants and Contracts</td>
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<td>$91K</td>
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<td>$91K</td>
<td>$91K</td>
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<tr>
<td>TOTAL REVENUE</td>
<td>$2496K</td>
<td>$2496K</td>
<td>$2496K</td>
<td>$2496K</td>
<td>$2496K</td>
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<table>
<thead>
<tr>
<th>ESTIMATED EXPENSES</th>
<th>Year 1</th>
<th>Year 2</th>
<th>Year 3</th>
<th>Year 4</th>
<th>Year 5</th>
<th>Year 6</th>
</tr>
</thead>
<tbody>
<tr>
<td>Existing</td>
<td>New</td>
<td>Existing</td>
<td>New</td>
<td>Existing</td>
<td>New</td>
<td>Existing</td>
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<td>$726K</td>
<td>$726K</td>
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<td>$21K</td>
<td>$21K</td>
<td>$21K</td>
<td>$21K</td>
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<td>$21K</td>
<td>$21K</td>
<td>$21K</td>
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<tr>
<td>Facilities &amp; modifications</td>
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<td>$1K</td>
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<td>$1K</td>
<td>$51K</td>
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<th>IMPACT OF NEW PROGRAM</th>
<th>Year 1</th>
<th>Year 2</th>
<th>Year 3</th>
<th>Year 4</th>
<th>Year 5</th>
<th>Year 6</th>
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<tbody>
<tr>
<td>FTE Enrollment</td>
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<tr>
<td>Projected Annual Credits Generated</td>
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**Institution:** University of New Mexico  
**Proposed Program:** MS in Information Systems and Assurance  
**Projected Graduate Program Cost Estimates and Resources – Scenario 2 (2X Enrollment With New Resources)**

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<thead>
<tr>
<th>ESTIMATED REVENUES</th>
<th>Year 1</th>
<th>Year 2</th>
<th>Year 3</th>
<th>Year 4</th>
<th>Year 5</th>
<th>Year 6</th>
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<tbody>
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<table>
<thead>
<tr>
<th>External Grants and Contracts</th>
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<th>New</th>
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<td>$91K</td>
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<th>Year 2</th>
<th>Year 3</th>
<th>Year 4</th>
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<th>Year 6</th>
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<table>
<thead>
<tr>
<th>ESTIMATED EXPENSES</th>
<th>Year 1</th>
<th>Year 2</th>
<th>Year 3</th>
<th>Year 4</th>
<th>Year 5</th>
<th>Year 6</th>
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<tbody>
<tr>
<td>Salaries and/or benefits (Faculty &amp; Staff)</td>
<td>$726K</td>
<td>$545K</td>
<td>$726K</td>
<td>$726K</td>
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<tr>
<td>Equipment</td>
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<td>Facilities &amp; modifications</td>
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<table>
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<th>TOTAL EXPENSES</th>
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<th>Year 2</th>
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<th>Year 4</th>
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<table>
<thead>
<tr>
<th>DIFFERENCE (Rev.-Exp.)</th>
<th>Year 1</th>
<th>Year 2</th>
<th>Year 3</th>
<th>Year 4</th>
<th>Year 5</th>
<th>Year 6</th>
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</thead>
</table>

<table>
<thead>
<tr>
<th>ESTIMATED IMPACT OF NEW PROGRAM</th>
<th>Year 1</th>
<th>Year 2</th>
<th>Year 3</th>
<th>Year 4</th>
<th>Year 5</th>
<th>Year 6</th>
</tr>
</thead>
<tbody>
<tr>
<td>FTE Enrollment</td>
<td>132</td>
<td>154</td>
<td>176</td>
<td>176</td>
<td>176</td>
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<tr>
<td>Projected Annual Credits Generated</td>
<td>3120</td>
<td>3640</td>
<td>4160</td>
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</table>

| Tuition Generated | $1942K | $2394K | $2846K | $2846K | $2846K | $2846K |
TAB 21

This agenda item removed
TAB 22

This agenda item removed
KATHARINA SANDOVAL-SNIDER, DIRECTOR
Kathy Sandoval has a long history in the Albuquerque Educational community as an innovative educator. Under her leadership, AIMS@UNM has changed its name, partnered with UNM, amended its Charter to include a college bound mission, added a middle school, increased the rigor of the curriculum including AP classes, secured a beautiful facility on UNM campus, more than doubled the enrollment, tripled the dual credit enrollment and secured a global staff, curriculum and student body. Currently, the students at AIMS@UNM in dual enrollment outperform the general population of both UNM and CNM and the school exceeds AYP goals.

With a background in science and engineering, Ms. Sandoval specializes in math and science schools, particularly those associated with post secondary institutions. AIMS@UNM is the second school of its kind she has founded. Prior to coming to AIMS@UNM, Ms. Sandoval was the Principal of the Career Enrichment Center and the Early College Academy.

A member of the Zoning Board of Appeals for the City of Albuquerque, Ms. Sandoval is the recipient of the 2007-2008 Outstanding Educator of the Year. Ms. Sandoval has taught all levels of Math, Chemistry, Physics, AP Physics, AP Biology, Pre-medical Science, Advanced Pre-Medical Research Techniques, and Astronomy. As a Principal, she continues to teach math and science.

Originally from the Catskill Mts of New York state, Ms. Sandoval is a long term resident of New Mexico. She is married to Jerald Snider and has one daughter, Maggie.

An avid speaker and prolific writer, Ms. Sandoval actively promotes not only AIMS@UNM, but also a wide variety of educational topics. If you would like Ms. Sandoval to speak to your organization, please email Kathy at ksandoval@aims-unm.org.
DOE honors 3 N.M. schools for excellence

- New Mexico News
- News

By Rick Nathanson / Journal Staff Writer | Wed, Sep 25, 2013

Charter school in Albuquerque is one honoree

Students leave Anthony Elementary School, one of three New Mexico schools named to the 2012-2013 list of Blue Ribbon schools by the U.S. Department of Education.

Three schools in New Mexico – Anthony Elementary School in Anthony, Albuquerque Institute for Math and Science at UNM and Holloman Middle School in Alamogordo – have been named to the 2012-2013 list of Blue Ribbon schools by the U.S. Department of Education.

The National Blue Ribbon Schools Program, founded in 1982, recognizes public and private elementary, middle, and high schools at which students demonstrate outstanding performance or show significant academic improvement.

Linda Perez, principal at Anthony Elementary, said she was “floored” upon hearing the news. “For an educator, it’s the biggest dream” and it shows that “language and socioeconomic conditions are no excuse for not learning and not being successful.”

The Albuquerque Institute for Mathematics and Science meets on the UNM campus.

She noted that 98 percent of the school’s students are economically disadvantaged, and for 70 percent of them, English is not their primary language.

The teachers at the school, she said, use an individualized approach in which “they look at data and teach the students at the level they need, rather than teaching to the whole class.”
Perez and her staff have fostered a culture in which all students expect and plan to go to college, and everyone is held accountable. Teachers post their photos outside their classroom with details about their degrees and the university from which they graduated, and Perez has posted signs showing the school’s standards-based assessment scores in math and reading for the last six years.

A banner hanging in the school’s foyer displays the Anthony Elementary motto: “No excuses! We’re a College-Bound Campus!”

At AIMS charter school in Albuquerque, principal Kathy Sandoval said she was “thrilled” with the Blue Ribbon designation.

“It’s been a long time coming. When we first started, we were 38 percent proficient in reading and 42 percent proficient in math. We knew we had to do something and buckled down and started a new teacher evaluation system that we implemented in 2009. It just so happens that it’s pretty close to the system that the state has recently proposed.”

Today, reading proficiency at AIMS is 94 percent and math proficiency is 96 percent.

Every AIMS student must take five advanced placement courses and 32 hours in dual enrollment courses at Central New Mexico Community College or the University of New Mexico.

By the time they graduate, most students have an average of 50 dual enrollment hours, Sandoval said.

AIMS, established seven years ago, was also singled out for other honors earlier this year, Sandoval said.

Based on the rigor of its curriculum, Newsweek ranked it among the top 25 percent of private and public high schools; the Washington Post ranked it 42nd in the nation; and the state Public Education Department ranked AIMS first in the state based on students’ scores and proficiencies.

George Straface, superintendent of Alamogordo Public Schools, said the Blue Ribbon award for Holloman Middle School “is an honor for the school, our school district and our community.”

Further, he said, it reflects “our students’ motivation and dedication to learning, as well as the faculty, staff and principal’s guidance and instruction.”
FY13 External Financial Audit Report
may be found at
http://fsd.unm.edu/annual-reports.html
HSC Code of Ethics: A New Vision

Monica Wilson, JD, CHC
Goal

- Create a value-based, best-in-class, Code of Ethics for the UNM Health Sciences Center.
Process

Establish and implement best practices
Best-in-Class Codes

General Mills

Baptist Health South Florida

MAYO CLINIC

GE

eBay
Best Practices

- Format
- Readability
- Ethics-driven
- Personal
1.7 Social Media

Social media websites like Facebook, LinkedIn, Twitter, YouTube, and Google+ provide people with many opportunities to connect with friends, family, and colleagues. Although social media is personal in nature, it is not private. That means that your personal communications, such as posts or tweets, might be seen by people you did not intend to share them with.

As members of the HSC community, we have the responsibility to be professional at work and online. Here are some tips:

- **Think before you post.** If you wouldn’t want your family or boss to see it, don’t post it.
- **Protect yourself.** Many social media sites give you some control over who can see your posts and it’s a good idea to take advantage of it.
- **Be honest about who you are.** Your online identity should be yours, not someone else’s.
- **Include a disclaimer.** Unless you are posting about the HSC as part of your job, you should make sure your readers know that you are not representing UNM or the HSC.
- **Protect patient privacy.** In order to protect the privacy of our patients, you must never post information about patients on social networking sites.

Remember that because social media is new, it is also changing fast. All of us are still learning how best to deal with it. Because of that, be careful about what you post.

**Q:** I do my best to make my patients feel comfortable. Sometimes they search for me on Facebook and send me friend requests. Should I accept them?

**A:** No. Remember that the relationship you have with your patients is a special one. They come to you because you are a professional. As a professional, you must maintain a professional, business-like relationship with patients. This is much harder if you allow them to “friend” you.

In order to maintain a professional image, you should only make social networking connections with patients you know in another context.
Awareness

- Preview presentations to HSC faculty and staff
- Develop new training with help from end users
  - Live and Online
Content Highlights

Major changes and additions
Testimonials

- Introduction to the Code from Dr. Roth
- Introduction to each major chapter from people who work in each area
  - Professionalism: Jonathon Bolton, MD
  - Patient Care: Stephanie Sanderson, RN, MSN
  - Medical Documentation and Billing: Catherine Porto, MPA
  - Research: Corey Ford, MD, PhD
Professionalism

New Topic Areas:
- Social Media
- Reporting and Asking Questions
- Professional Diversity
Patient Care

- Significant Updates:
  - Care Management
  - Special Considerations for Children
Medical Documentation

Significant Updates:
- Medical Necessity
- Major changes to fraud and abuse coverage
New Topic Areas:
- Research Integrity
- Human Research
- Animal Research
- Biohazard Compliance

Updated Topic Areas:
- Grants
- Research Billing
- Conflicts of Interest
Availability

- HSC Compliance website: [http://hsc.unm.edu/admin/compliance/docs/Code%20of%20Ethics.pdf](http://hsc.unm.edu/admin/compliance/docs/Code%20of%20Ethics.pdf)
- UNM HSC Policy Portal
Changing Worlds: The Campaign for UNM – an eight-year, $675 million campaign, is making excellent progress towards the campaign goal. The Campaign began in 2006.

As of November 26, 2013, over 58,983 donors have gifted $589,700,118 million in support of the University’s mission.

Specific fundraising highlights:

- **The College of Fine Arts** received a gift of $1,000,000.
- **General Scholarship Fund** received a gift of $348,000.
- **The College of Arts and Sciences** received a gift of $200,000.
- **The School of Architecture & Planning** received a gift of $200,000.
- **The Cancer Research & Treatment Center and UNM Athletics** received a split gift of $120,000.
- **The School of Medicine** received a gift of $120,000 and another for $100,000.
- **UNM Children’s Hospital** received a gift of $247,526.
- **Innovate ABQ** received a gift of $3,000,000.

The Foundation commends President Frank on his earnest efforts towards donor and solicitation visits. His participation has been instrumental in securing gifts to the University.

The Development/Alumni Strategic Task Force charged by President Frank to evaluate and recommend strategies for enhanced collaboration between the Foundation and Alumni Association/Alumni Relations Office presented final recommendations to Dr. Frank in October. Both organizations are prepared to
implement and create collaborative initiatives upon Dr. Frank’s final review and acceptance of those recommendations.
# Fundraising Performance Report

**FY 13/14, September 30, 2013**

<table>
<thead>
<tr>
<th>Changing Worlds Campaign</th>
<th>CASE Campaign Standards</th>
<th>VSE Standards</th>
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</thead>
<tbody>
<tr>
<td></td>
<td>Campaign to Date</td>
<td>GOAL</td>
</tr>
<tr>
<td>Gift Commitments</td>
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<td>- Pledges</td>
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<td>Pledges Due</td>
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<td>Testamentary Gifts Due</td>
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</table>

Council for Advancement and Support of Education (CASE) reporting is on an "accrual basis" and Voluntary Support of Education (VSE) reporting is on a "cash basis".

## Gift Commitments (Fiscal Year)

<table>
<thead>
<tr>
<th>Gift Commitments (Fiscal Year)</th>
<th>This Quarter</th>
<th>FYTD 13/14</th>
<th>GOAL</th>
<th>FY 12/13</th>
</tr>
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<tbody>
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<td><strong>Main Campus</strong></td>
<td></td>
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<td></td>
</tr>
<tr>
<td>- Cash/Cash Equivalents</td>
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<td>- Pledges</td>
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<td>N/A</td>
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<td>- Testamentary</td>
<td>3,005,000</td>
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<tr>
<td><strong>Sub-Total</strong></td>
<td>$ 5,253,365</td>
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<td>$ 20,950,000</td>
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<tr>
<td><strong>HSC</strong></td>
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<tr>
<td>- Cash/Cash Equivalents</td>
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<td>- Pledges</td>
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<td>$ 27,950,000</td>
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<td><strong>Athletics</strong></td>
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<td>- Cash/Cash Equivalents</td>
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<td>- Testamentary</td>
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<td><strong>Sub-Total</strong></td>
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<td>$ 2,666,996</td>
<td>$ 10,500,000</td>
<td>$ 10,310,811</td>
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<td><strong>Other Campus Units</strong></td>
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<td>- Cash/Cash Equivalents</td>
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<td>N/A</td>
<td>8,000</td>
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<td>- Pledges</td>
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<td>- Testamentary</td>
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<td><strong>Sub-Total</strong></td>
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<td>$ 2,948,527</td>
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<tr>
<td><strong>Total</strong></td>
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<td>$ 18,881,013</td>
<td>$ 80,000,000</td>
<td>$ 81,598,617</td>
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</tbody>
</table>

* Other campus units include KNME, KUNM, UNM Branch Campuses, President's Office, Provost's Office, Enrollment Services, Student Affairs and numerous other units not classified as main campus, HSC or athletics.

## Gift Destinations

<table>
<thead>
<tr>
<th>Gift Destinations</th>
<th>This Quarter</th>
<th>FYTD 13/14</th>
<th>FY 12/13</th>
<th>FY 11/12</th>
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<tbody>
<tr>
<td>UNM Foundation</td>
<td>$ 12,461,931</td>
<td>$ 12,461,931</td>
<td>$ 48,385,834</td>
<td>$ 38,894,922</td>
</tr>
<tr>
<td>Reported Gifts *</td>
<td>$ 6,419,082</td>
<td>$ 6,419,082</td>
<td>$ 33,212,783</td>
<td>$ 45,020,517</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>$ 18,881,013</td>
<td>$ 18,881,013</td>
<td>$ 81,598,617</td>
<td>$ 83,915,439</td>
</tr>
</tbody>
</table>

* Reported Gifts = gifts made directly to KNME, KUNM, Lobo Club, and OVPR, but reported by UNM Foundation per MOA.
Fundraising Performance Report  
FY 12/13, September 30, 2012

<table>
<thead>
<tr>
<th>Gift Commitments (Fiscal Year)</th>
<th>FYTD 13/14</th>
<th>FY 12/13</th>
<th>FY 11/12</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cash/Cash Equivalents</td>
<td>$ 9,768,040</td>
<td>$ 49,811,524</td>
<td>$ 40,563,624</td>
</tr>
<tr>
<td><strong>Pledges</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>- Beginning Balance Pledges</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Receivable</td>
<td>$ 28,290,140</td>
<td>$ 28,115,352</td>
<td>$ 14,502,782</td>
</tr>
<tr>
<td>- Add: New Pledges</td>
<td>$ 752,834</td>
<td>$ 5,034,503</td>
<td>$ 26,205,415</td>
</tr>
<tr>
<td>- Less: Pledges Written Off</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>$ 28,847,838</td>
<td>$ 28,290,140</td>
<td>$ 28,115,352</td>
</tr>
<tr>
<td>In Kind</td>
<td>$ 1,247,245</td>
<td>$ 9,831,358</td>
<td>$ 8,484,460</td>
</tr>
<tr>
<td>Testamentary</td>
<td>$ 7,112,894</td>
<td>$ 17,008,982</td>
<td>$ 9,520,683</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>$ 18,881,013</td>
<td>$ 81,598,617</td>
<td>$ 83,915,439</td>
</tr>
</tbody>
</table>

Performance Measures  
This Quarter  
FYTD 13/14  FY 12/13  FY 11/12
Gift Commitment Income  $ 18,881,013  $ 18,881,013  $ 81,598,617  $ 83,915,439
# of Gifts 7,258 7,258 33,902 33,261
# of Donors 3,898 3,898 14,932 13,323

<table>
<thead>
<tr>
<th>Efficiency Measures</th>
<th>FYTD 13/14</th>
<th>FY 12/13</th>
<th>FY 11/12</th>
<th>FY 10/11</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cost per Dollar Raised *</td>
<td>$0.13</td>
<td>$0.12</td>
<td>$0.11</td>
<td>$0.11</td>
</tr>
</tbody>
</table>

* Compares UNM Foundation budget expenditures to gift commitments.

Consolidated Investment Fund - Investment Performance  
FY 13/14, September 30, 2013

<table>
<thead>
<tr>
<th>Investment Performance Results</th>
<th>Market Value</th>
<th>FYTD 13/14 1-Year</th>
<th>3-Year</th>
<th>5-Year</th>
</tr>
</thead>
<tbody>
<tr>
<td>FY 13/14 (September 30, 2013)</td>
<td>$ 372,665,226</td>
<td>4.23%</td>
<td>11.90%</td>
<td>9.42%</td>
</tr>
<tr>
<td>Custom Benchmark *</td>
<td></td>
<td>5.26%</td>
<td>11.64%</td>
<td>8.22%</td>
</tr>
<tr>
<td>FY 12/13 (June 30, 2013)</td>
<td>$ 360,159,802</td>
<td>N/A</td>
<td>11.30%</td>
<td>10.24%</td>
</tr>
<tr>
<td>Custom Benchmark *</td>
<td></td>
<td>N/A</td>
<td>10.55%</td>
<td>9.31%</td>
</tr>
<tr>
<td>NACUBO/Commonfund **</td>
<td></td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
</tr>
</tbody>
</table>

* Policy Benchmark is a blended benchmark consisting of indices for all asset classes.
** NACUBO/Commonfund Endowment Study ($101 million to $500 million) - Not Yet Available

Consolidated Investment Fund - Asset Allocation  
FY 13/14, September 30, 2013

<table>
<thead>
<tr>
<th>Investment Class</th>
<th>Current Allocation</th>
<th>Target Allocation</th>
<th>Investment Policy Ranges</th>
</tr>
</thead>
<tbody>
<tr>
<td>Domestic Equity</td>
<td>21.3%</td>
<td>20%</td>
<td>10% - 50%</td>
</tr>
<tr>
<td>International Equity</td>
<td>21.8%</td>
<td>20%</td>
<td>10% - 40%</td>
</tr>
<tr>
<td>Fixed Income/Cash</td>
<td>15.9%</td>
<td>20%</td>
<td>10% - 50%</td>
</tr>
<tr>
<td>Real Assets - Liquid</td>
<td>3.8%</td>
<td>5%</td>
<td>0% - 5%</td>
</tr>
<tr>
<td>Real Assets - Illiquid</td>
<td>4.8%</td>
<td>5%</td>
<td>0% - 10%</td>
</tr>
</tbody>
</table>
### Marketable Alternatives

<table>
<thead>
<tr>
<th></th>
<th>19.4%</th>
<th>20%</th>
<th>0% - 20%</th>
</tr>
</thead>
<tbody>
<tr>
<td>Private</td>
<td>13.0%</td>
<td>10%</td>
<td>0% - 15%</td>
</tr>
</tbody>
</table>

### Consolidated Investment Fund - Spending Distribution

**FY 13/14, September 30, 2013**

<table>
<thead>
<tr>
<th>CIF Spending Distribution</th>
<th>FY 13/14</th>
<th>FY 12/13</th>
<th>FY 11/12</th>
<th>FY 10/11</th>
</tr>
</thead>
<tbody>
<tr>
<td>Spending Distribution %</td>
<td>N/A</td>
<td>4.50%</td>
<td>4.50%</td>
<td>4.65%</td>
</tr>
<tr>
<td>Total $ Distribution (millions)</td>
<td>N/A</td>
<td>$13,489,948</td>
<td>$13,984,508</td>
<td>$14,869,261</td>
</tr>
<tr>
<td>Total $ Distribution/Unit</td>
<td>N/A</td>
<td>$10.47</td>
<td>$10.58</td>
<td>$10.98</td>
</tr>
</tbody>
</table>

### Consolidated Investment Fund - Development Funding Allocation

**FY 13/14, September 30, 2013**

<table>
<thead>
<tr>
<th>Development Funding Allocation</th>
<th>Basis Points</th>
<th>Dev Funding Allocation</th>
<th>Total Budget Expenditures</th>
<th>% Overall Budget</th>
</tr>
</thead>
<tbody>
<tr>
<td>FYTD 13/14</td>
<td>1.40%</td>
<td>$1.1</td>
<td>$2.4</td>
<td>46%</td>
</tr>
<tr>
<td>FY 12/13</td>
<td>1.85%</td>
<td>$5.6</td>
<td>$9.8</td>
<td>58%</td>
</tr>
<tr>
<td>FY 11/12</td>
<td>1.85%</td>
<td>$5.9</td>
<td>$9.1</td>
<td>65%</td>
</tr>
<tr>
<td>FY 10/11</td>
<td>1.85%</td>
<td>$5.7</td>
<td>$9.5</td>
<td>60%</td>
</tr>
<tr>
<td>FY 09/10</td>
<td>1.85%</td>
<td>$5.4</td>
<td>$9.4</td>
<td>57%</td>
</tr>
</tbody>
</table>

### UNM Foundation Budget vs. Actual

**FY 13/14, September 30, 2013**

#### Sources of Budget

<table>
<thead>
<tr>
<th>Sources of Budget</th>
<th>Budget</th>
<th>FYTD</th>
<th>% Used</th>
</tr>
</thead>
<tbody>
<tr>
<td>UNM Support</td>
<td>$5,522,722</td>
<td>$1,744,034</td>
<td>31.6%</td>
</tr>
<tr>
<td>Development Funding Allocation</td>
<td>$4,218,765</td>
<td>$1,106,054</td>
<td>26.2%</td>
</tr>
<tr>
<td>Short-Term Investment Income</td>
<td>$850,000</td>
<td>$213,534</td>
<td>25.1%</td>
</tr>
<tr>
<td>Cost Sharing Reimbursement</td>
<td>$660,780</td>
<td>$135,021</td>
<td>20.4%</td>
</tr>
<tr>
<td>Unrestricted Gifts &amp; Other Revenue</td>
<td>$150,000</td>
<td>$32,458</td>
<td>N/A</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>$11,402,267</td>
<td>$3,231,101</td>
<td>28.3%</td>
</tr>
</tbody>
</table>

#### Uses of Budget

<table>
<thead>
<tr>
<th>Uses of Budget</th>
<th>Budget</th>
<th>FYTD</th>
<th>% Used</th>
</tr>
</thead>
<tbody>
<tr>
<td>Salaries/Fringe Benefits</td>
<td>$8,813,621</td>
<td>$1,923,273</td>
<td>21.8%</td>
</tr>
<tr>
<td>Operating Expenditures</td>
<td>$2,517,885</td>
<td>$507,147</td>
<td>20.1%</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>$11,331,506</td>
<td>$2,430,420</td>
<td>21.4%</td>
</tr>
</tbody>
</table>

#### Reserve Balances

<table>
<thead>
<tr>
<th>Reserve Balances</th>
<th>Budget</th>
<th>FYTD</th>
<th>% Used</th>
</tr>
</thead>
<tbody>
<tr>
<td>Surplus/(Deficit) from Operations</td>
<td>$70,761</td>
<td>$800,681</td>
<td>N/A</td>
</tr>
<tr>
<td>Beginning Reserve Balances</td>
<td>$1,246,426</td>
<td>$1,246,426</td>
<td>N/A</td>
</tr>
<tr>
<td>Ending Reserve Balances</td>
<td>$1,317,187</td>
<td>$2,047,107</td>
<td>N/A</td>
</tr>
</tbody>
</table>

Revised Reports Approved by Board of Regents
## THE UNIVERSITY OF NEW MEXICO

**MR. AND MRS. HUGH B. AND HELEN K. WOODWARD ENDOWMENT**

**FUNDED BY THE SANDIA FOUNDATION**

<table>
<thead>
<tr>
<th></th>
<th>2009-10</th>
<th>2010-11</th>
<th>2011-12</th>
<th>2012-13</th>
<th>through 9/30/2013</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>PRINCIPAL/CORPUS</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>BEGINNING MARKET VALUE, JULY 1:</td>
<td>$30,698,788</td>
<td>$32,422,373</td>
<td>$37,282,001</td>
<td>$36,545,942</td>
<td>$40,213,741</td>
</tr>
<tr>
<td>ADDITIONS</td>
<td>877,500</td>
<td>1,125,000</td>
<td>1,260,000</td>
<td>1,327,500</td>
<td>-</td>
</tr>
<tr>
<td>INVESTMENT EARNINGS</td>
<td>3,238,163</td>
<td>6,040,036</td>
<td>132,283</td>
<td>4,119,725</td>
<td>1,693,346 (1)</td>
</tr>
<tr>
<td>DEVELOPMENT FUNDING ALLOCATION</td>
<td>(623,475)</td>
<td>(643,802)</td>
<td>(603,057)</td>
<td>-</td>
<td>- (3)</td>
</tr>
<tr>
<td>SPENDING DISTRIBUTION</td>
<td>(1,768,603)</td>
<td>(1,661,606)</td>
<td>(1,525,285)</td>
<td>(1,779,426)</td>
<td>(444,057) (2)</td>
</tr>
<tr>
<td>ENDING MARKET VALUE, JUNE, 30:</td>
<td>$32,422,373</td>
<td>$37,282,001</td>
<td>$36,545,942</td>
<td>$40,213,741</td>
<td>$41,463,030</td>
</tr>
</tbody>
</table>

(1) **FY 2013-14 Net investment Earnings:** Represents the actual net investment earnings through September 30, 2013. Net investment earnings for the period of July 1, 2013, through September 30, 2013, were 4.2% (net of manager fees).

(2) **FY 2013-14 Net investment Earnings:** Represents the quarterly spending distributions from July 1, 2013, to September 30, 2013.

(3) **FY 2013-14 Net investment Earnings:** The Development Funding Allocation is not assessed on the Woodward endowment.
<table>
<thead>
<tr>
<th></th>
<th>2009-10</th>
<th>2010-11</th>
<th>2011-12</th>
<th>2012-13</th>
<th>through 9/30/2013</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>PRINCIPAL/CORPUS</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>BEGINNING MARKET VALUE,</td>
<td>$24,076,065</td>
<td>$24,767,866</td>
<td>$27,587,901</td>
<td>$26,069,492</td>
<td>$27,420,035</td>
</tr>
<tr>
<td>JUNE 1:</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>ADDITIONS</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>INVESTMENT EARNINGS</td>
<td>2,544,475</td>
<td>4,553,291</td>
<td>97,208</td>
<td>2,900,008</td>
<td>1,154,360</td>
</tr>
<tr>
<td>DEVELOPMENT FUNDING</td>
<td>(483,585)</td>
<td>(485,153)</td>
<td>(486,943)</td>
<td>(496,004)</td>
<td>(95,970)</td>
</tr>
<tr>
<td>ALLOCATION</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>SPENDING DISTRIBUTION</td>
<td>(1,369,089)</td>
<td>(1,248,103)</td>
<td>(1,128,674)</td>
<td>(1,053,461)</td>
<td>(258,054)</td>
</tr>
<tr>
<td>ENDING MARKET VALUE,</td>
<td>$24,767,866</td>
<td>$27,587,901</td>
<td>$26,069,492</td>
<td>$27,420,035</td>
<td>$28,220,371</td>
</tr>
<tr>
<td>JUNE, 30:</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

(1) **FY 2013-14 Net investment Earnings:** Represents the actual net investment earnings through September 30, 2013. Net investment earnings for the period of July 1, 2013, through September 30, 2013, were 4.2% (net of manager fees).

(2) **FY 2013-14 Spending Distribution:** Represents the quarterly spending distributions from July 1, 2013, to September 30, 2013.
## THE UNIVERSITY OF NEW MEXICO

### REGENTS' ENDOwenMENT

<table>
<thead>
<tr>
<th></th>
<th></th>
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</tr>
</thead>
<tbody>
<tr>
<td>ADDITIONS:</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>MESA DEL SOL PROPERTY SALE</td>
<td>8,045,923</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>WITHDRAWALS:</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>ASM STUDENT INVESTMENT PROGRAM</td>
<td>(2,000,000)</td>
<td></td>
<td></td>
<td></td>
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<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>GIBSON/MULBERRY PROPERTY PURCHASE</td>
<td>(1,645,435)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2011 CAMPUS PROPERTY PURCHASE</td>
<td>(242,798)</td>
<td></td>
<td></td>
<td></td>
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<td></td>
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<td></td>
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<tr>
<td>SCHOLARSHIP FUNDING</td>
<td>-</td>
<td>(880,525) (3)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>BASEBALL FIELD CAPITAL PROJECT</td>
<td>-</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>INVESTMENT EARNINGS</td>
<td>2,789,695</td>
<td>6,123,941</td>
<td>284,643</td>
<td>(7,060,716)</td>
<td>2,659,659</td>
<td>4,759,412</td>
<td>101,509</td>
<td>2,917,311</td>
</tr>
<tr>
<td>SPENDING DISTRIBUTION</td>
<td>(1,170,486)</td>
<td>(1,445,081)</td>
<td>(1,542,062)</td>
<td>(1,576,006)</td>
<td>(1,431,065)</td>
<td>(1,304,603)</td>
<td>(1,179,768)</td>
<td>(1,055,967)</td>
</tr>
</tbody>
</table>

(1) FY 2013-14 Net investment Earnings: Represents the actual net investment earnings through September 30, 2013. Net investment earnings for the period of July 1, 2013, through September 30, 2013, were 4.2% (net of manager fees).

(2) FY 2013-14 Spending Distribution: Represents the quarterly spending distributions from July 1, 2013, to September 30, 2013.

(3) FY08-09 Withdrawal for scholarship funding: $1,000,000 was authorized to be withdrawn from the Regents’ Endowment for FY08-09 scholarship funding. Of the authorized $1,000,000, $880,525 was withdrawn from the endowment.

(4) The Regents’ Endowment includes proceeds from the “Mesa del Sol” property sale. Total proceeds of this sale were $8,045,923 and the proceeds were added to the Regents’ endowment in June of 2006. The Mesa del Sol contribution is not tracked separately, but an estimated value based on net investment earnings, spending distributions, withdrawals, and development funding allocations for the Regents’ Endowment since that time, is $6,409,335.
THE UNIVERSITY OF NEW MEXICO  
REGENTS’ ENDOWMENT - MESA DEL SOL ADDITION  

2013-14  

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>BEGINNING MARKET VALUE, JUNE 1:</td>
<td>$ -</td>
<td>$ 8,045,923</td>
<td>$ 9,128,444</td>
<td>$ 8,716,297</td>
<td>$ 6,483,107</td>
<td>$ 6,669,392</td>
<td>$ 7,428,760</td>
<td>$ 7,019,889</td>
</tr>
<tr>
<td>ADDITIONS:</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>MESA DEL SOL PROPERTY SALE</td>
<td>8,045,923</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>WITHDRAWALS:</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>WITHDRAWAL - MERIT-BASED SCHOLARSHIPS</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>GIBSON/MULBERRY PROPERTY PURCHASE</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>2811 CAMPUS PROPERTY PURCHASE</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>BASEBALL FIELD CAPITAL PROJECT</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>(1,100,000)</td>
</tr>
<tr>
<td>INVESTMENT EARNINGS</td>
<td>-</td>
<td>1,524,281</td>
<td>70,849</td>
<td>(1,757,450)</td>
<td>665,166</td>
<td>1,226,092</td>
<td>28,176</td>
<td>660,479</td>
</tr>
<tr>
<td>DEVELOPMENT FUNDING ALLOCATION</td>
<td>(62,073)</td>
<td>(98,168)</td>
<td>(83,484)</td>
<td>(130,218)</td>
<td>(130,640)</td>
<td>(131,122)</td>
<td>(113,733)</td>
<td>(21,796)</td>
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<tr>
<td>SPENDING DISTRIBUTION</td>
<td>-</td>
<td>(359,668)</td>
<td>(383,827)</td>
<td>(392,276)</td>
<td>(368,663)</td>
<td>(336,084)</td>
<td>(303,925)</td>
<td>(239,071)</td>
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<tr>
<td>ENDING MARKET VALUE, JUNE 30:</td>
<td>$ 8,045,923</td>
<td>$ 9,128,444</td>
<td>$ 8,716,297</td>
<td>$ 6,483,107</td>
<td>$ 6,669,392</td>
<td>$ 7,428,760</td>
<td>$ 7,019,889</td>
<td>$ 6,227,564</td>
</tr>
</tbody>
</table>

(1) FY 2013-14 Net Investment Earnings: Represents the actual net investment earnings through September 30, 2013. Net investment earnings for the period of July 1, 2013, through September 30, 2013, were 4.2% (net of manager fees).

(2) FY 2013-14 Spending Distribution: Represents the quarterly spending distributions from July 1, 2013, to September 30, 2013.

(3) The Regents' Endowment includes proceeds from the "Mesa del Sol" property sale. Total proceeds of this sale were $8,045,923 and the proceeds were added to the Regents' endowment in June of 2008. The Mesa del Sol contribution is not tracked separately, but an estimated value based on net investment earnings, spending distributions, withdrawals, and development funding allocations for the Regents' Endowment since that time, is $6,409,335.

NOTE: This statement which reports additions and withdrawals from the Regents' Endowment attributable to funding provided by the Mesa Del Sol Addition has been modified since the June 30, 2013 statement. Previously, the Gibson/Mulbery Property Purchase ($1,845,435) and the 2811 Campus Property Purchase ($242,798) were reported as disbursements from the Mesa Del Sol Addition proceeds. However, a thorough review of minutes from the Finance & Facilities Committee meetings and the Board of Regents' meetings indicated no specific reference to utilizing funds from the Mesa Del Sol Addition proceeds. Therefore, these purchases were removed from this report, investment earnings and development funding allocations were re-calculated and the ending market value adjusted accordingly.
**Vision** (Why we exist): *The Alumni Association is a vital partner in the continued excellence of the University of New Mexico through the significant engagement of alumni.*

**Mission** (What we are striving for): *To serve as a bridge between alumni and the university ensuring the continued success of the university and enriching the lives of alumni.*

| Strengthen collaboration with campus constituents to meet the needs of UNM. | The Alumni Association Lobos for Legislation will host a reception for the **Legislative Finance** and **Legislative Education Study Committees** on Wednesday, December 11, 2013, 5:30-7:30 pm Rio Chama Steakhouse, Santa Fe.  
  
  Additionally, the Lobos for Legislation will host the Alumni Association **Legislative Appreciation Reception** on February 4, 2014, 6:00-7:30 pm at Hotel La Fonda, Santa Fe.  
  
  The Lobos for Legislation will host a **Legislative Home reception** at the home of alum, Coleman Travelstead, on Tuesday, January 7, 2014, 6:00-8:00 pm. |
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Foster enduring involvement with, pride in and commitment to UNM, its colleges, schools and programs.</td>
<td>The Alumni Association is working with the UNM Veteran’s Resource Center to create a <strong>Veteran’s Alumni Chapter</strong>. A <strong>“Call for Veteran Volunteers”</strong> to assist in the Chapter’s creation was made in the December Howler.</td>
</tr>
</tbody>
</table>
| Engage students in ways that will develop lifetime ties to UNM. | The UNM Young Alumni Chapter is hosting the **New Graduate Wine and Cheese Reception** at Hodgin Hall on Thursday, December 12, 2013, 5:30-7:00 p.m.  
  
  The **Official Ring Ceremony** took place on Wednesday, December 4, 2013, at 6:00 pm in the SUB Ballroom. Over 90 students purchased the official UNM ring and received them during the special ceremony. The ceremony was hosted by the UNM Alumni Association and Student Spirit Organization. |
| Expand the tradition of philanthropy toward UNM among alumni. | **Alumni Chapters** and UNM friends from around the country volunteered this past fall to attend area **college fairs** in their communities and to assist in recruiting promising young high school students to attend UNM. These volunteers return season after season to promote UNM year-round; not only ‘changing student’s lives’, but changing their own as well. |
| Communicate effectively with our diverse group of alumni. | The Alumni Association, the Young Alumni Chapter, the Lobo Club, and Kansas City area alumni are coordinating a **basketball game watch event** for the UNM vs. Kansas game on December 14, 2013. The event will take place at the appropriately named, Bar Louie. Chapters around the country will continue to support Lobo Athletics by hosting **game watch parties** for Lobo basketball through the New Year. The **Austin and Seattle Chapters** will hold their traditional Holiday Pot Luck events in December offering a perfect opportunity for alumni to showcase their best green chili recipes using the chilies they bought at the chapter Chile Roasts in the fall. |
| Develop and steward resources to ensure a sustained and dynamic association. | The newly formed **Greater Albuquerque Area Alumni Chapter** (GAAAC) elected leaders are as follows: |
| Develop and steward resources to ensure a sustained and dynamic association. | **Officers:** Chair, Clara Padilla-Silver, Vice-Chair, Stephen Eiland, Secretary, Abby Ritz, and Treasurer, Kathy Suzanne Bell-Pena |
| Develop and steward resources to ensure a sustained and dynamic association. | **Board of Directors:** Liz Davenport, Janelle Garcia, Gene Henley, John Shelton, Tammy Jo (TJ) Martinez and Coleman Travelstead |
| Develop and steward resources to ensure a sustained and dynamic association. | **Committee Chairs and Co-Chairs:** Community Service, Shiame Okunor and Melissa Smith; Marketing Membership, Mary Clark and Jennifer Riordan; Professional Development and Recognition, Bich-Hanh Nguyen and Michael Rowan; Social and Networking, Lou Ann Graham and Catherine Jaramillo. |
| Develop and steward resources to ensure a sustained and dynamic association. | Currently, over 250 alumni have joined the GAAAC. |
| Encourage community service and leadership among alumni and students. | The GAAAC has begun planning activities and programs for Community Service and Philanthropy, Networking and Programming, Professional Development and Recognition and Social activities. |
| Garner greater recognition and visibility for Alumni Association programs and service to the university and community. | The UNM Alumni Association held a **Lobo Living event** on November 14, 2013 at Hodgin Hall Alumni Center which featured **Anne Hillerman**, noted author and daughter of Tony Hillerman. The event was at capacity with 92 in attendance. The Alumni Association hosted the last home **tailgate** of the UNM football season on November 16, 2013. Over 350 **proud UNM alumni stickers** were distributed prior to kickoff. Featured guests were the leaders of the GAAAC and alumni who attended the Behind the Ball Lobo Living Room in April. The Lobos for Legislation will participate in **UNM Day at the Roundhouse** on February 5, 2014, 8:00 a.m. -5:00 p.m. |
Minutes of the Meeting of the
UNM Health Sciences Center Board of Directors
November 8, 2013
Domenici Center for Health Sciences Education Bldg., Room 3010

Board of Directors present: Suzanne Quillen, Chair, Lt. Gen. Brad Hosmer, Conrad James, Michael Olguin, Ann Rhoades (via phone), and Mel Eaves
UNM Leaders present: President Bob Frank
UNM Health Sciences Center ("HSC") Leaders present: Chancellor Paul Roth and other members of the HSC senior leadership
Others present: members of the HSC faculty and staff

The Chair, Director Suzanne Quillen, called the meeting of the UNM Health Sciences Center Board of Directors (the “Board”) to order at approximately 1:10 p.m. The Chair established that a quorum of the members of the Board was present.

Approval of Agenda

A motion was made to adopt the published agenda. The motion was seconded. There was no discussion. The motion passed with a vote of 4-0-0. Director Eaves was not in the room and did not vote.

Approval of Minutes of Prior Meeting

A motion was made to approve the minutes of the October 4, 2013 meeting of the Board. The motion was seconded. There was no discussion. The motion passed with a vote of 4-0-0. Director Eaves was not in the room and did not vote.

Comments from Directors

Director Hosmer commented on Sandoval Regional Medical Center, Inc. (SRMC) and his hope that the Board will see good indicators of a turnaround. Discussion.

Chancellor’s Report

Chancellor Roth addressed Director Hosmer’s comment regarding SRMC, noting that there is an effective plan that has been implemented with early indicators that reflect significant progress. He added that detailed information is planned during the UNM Health System Update on the agenda. Dr. Roth then reported the completion of the Fifth Annual Excellence in Faculty Research Awards and recognized the recipients: Dr. Nora Perrone-Bizzozero (Neurosciences), Dr. Kathleen Haaland
(Psychiatry), Dr. Deborah Helitzer (Family and Community Medicine), and Dr. Pamela Hall (College of Pharmacy). He also announced that Dr. Angela Wandinger-Ness (Pathology) has been chosen by the German Academic Exchange Service to serve as a research ambassador. Action Required: the Directors requested that the names and addresses of the awardees be shared with the Board in order to send notes of recognition.

Regent/Director James recognized the HSC leadership regarding a letter of thanks received from a local physician on the excellent care he had received as a patient in the Emergency Room. Discussion.

UNM Health System Update Including SRMC Update

Mr. Steve McKernan brought the Board’s attention to the written report provided in the Board agenda books that included information on the status of the Rehoboth-McKinley project (in Gallup), strategic planning and quality initiatives, reviewed the Health System aggregate reports, and did a review of the status of SRMC. He reported that we are still in the due diligence phase on the Rehoboth-McKinley project with Legacy Health Partners receiving baseline data on referral patterns, identifying practicing physicians in Gallup, and what hospital model of operation would be most beneficial (struggles with geographic referral, sole community provider issues, Centennial Care, etc.).

On strategic planning, Mr. McKernan noted that a number of initiatives are underway. Work is being performed on the information strategic plan with Dr. Richard Larson leading that effort with retreats being held among the components (to set operating plan for the new calendar year). It is estimated that a report will be presented to the Board in January 2014. Regarding the impact of the Affordable Care Act (“ACA”), major focuses are hiring temporary employees to help patients navigate the new requirements, preparing for the impacts of Medicaid to the state, implementation of Centennial Care, and managing contracts with providers. Discussion of impact of high deductibles and possibility that UNM Hospital (“UNMH”) be allowed to offer financial assistance for out of pocket expenses to encourage patients to sign up for the exchanges.

Mr. McKernan summarized information on the UNM Health System (includes UNMH, SRMC, and UNM Medical Group (“UNMMG”)), reviewing total net patient revenues, total operating expenses, total operating loss, total increase/decrease in net position, and Sandoval County mill levy. Discussion. He reviewed UNM Health System statistics including patient days, length of stay, increase in volumes, outpatient visits, urgent care and emergency room statistics, total operations, and balance sheet. Discussion.

Mr. McKernan reviewed SRMC statistics including available cash, outstanding vendor payables, required monthly mortgage payment, reserve fund, losses, depreciation, cash burn rate, cash available on hand, average daily census, patient days and reimbursements, supply costs, increased utilization of operating room, revenue cycle improvements, and income statement. Discussion on tracking denial rate and the reasons and goals to increase length of stay, referrals from rural New Mexico, methodology being created to properly reimburse SRMC for UNM Care patients taken care of on an elective basis at SRMC,
specialty care and community physician involvement, appropriate use of mill levy funds, elective surgeries, mix of patients, subspecialty care success, UNM Care services versus Centennial Care and impact. Mr. McKernan’s review continued. He reviewed SRMC’s income statement (depreciation discussed), cash flow statement, and balance sheet. Discussion on explanation of depreciation, average equipment life, possible cash infusion, putting SRMC’s growth in context over last month due to the implementation of the recovery plan, noted that strategies are already showing positive results with great potential in the future. Mr. McKernan provided information on required vaccines and options. Discussion.

Director Eaves joined the meeting.

**Update: HSC Student Council**

President Kyle Leggott gave a brief update on activities of the HSC Student Council noting that two full Council meetings have been held with 24 representatives attending each, in October the HSC Student Council in conjunction with the NM Medical Reserve Corps and the UNM Family Practice Clinics coordinated two free drive-through vaccine clinics, enjoyed good collaboration, also held a Fit for Fun 5K to benefit the UNM Healthy & Fit Children’s Clinic. The HSC Student Council is currently working on a partnership with the Albuquerque Opportunity student managed health clinic, and an ACA lecture series is planned. Discussion.

**Public Comment**

There was no public comment.

**Reports from Board Committees**

**Governance and Nominating Committee (“GNC”)**

**GNC Action Item**

**Request for Approval of the Nomination of Mr. Jerry Geist to the SRMC Board of Directors, Serving as a Class A Director Whose Term, per the Amended and Restated Bylaws of SRMC, Inc., will expire on June 30, 2016**

Chair Hosmer presented the recommended approval of the nomination of Mr. Jerry Geist to the SRMC Board of Directors, serving as a Class A director whose term, per the Amended and Restated Bylaws of SRMC, Inc., will expire on June 30, 2016.
A motion was made to approve the nomination of Mr. Jerry Geist to the SRMC Board of Directors, serving as a Class A director whose term, per the Amended and Restated Bylaws of SRMC, Inc., will expire on June 30, 2016. The motion was seconded. There was no discussion. The motion passed with a vote of 5-0-0.

Finance, Audit, and Compliance Committee (“FACC”)

FACC Action Items

Resolution Recommending Approval of UNMH Capital Projects: UNMH North Fourth Street Clinic Architect and Engineering Approval, UNMH Laboratory Renovation, and UNMH Outpatient Physical Therapy Rehabilitation Clinic Renovation.

Chair James introduced the UNMH Capital Projects and Mr. Steve McKernan provided rationale on the three architecture requests on North Fourth Street Clinic, Laboratory Renovation, and Outpatient Physical Therapy Rehabilitation Clinic Renovation.

A Motion was made to approve the Resolution for Approval of the UNMH Capital Projects: UNMH North Fourth Street Clinic Architect and Engineering Approval, UNMH Laboratory Renovation, and UNMH Outpatient Physical Therapy Rehabilitation Clinic Renovation, a copy of which is attached and made a part of the official Minutes. The motion was seconded. A vote was held with an outcome of 5-0-0 in favor. Motion passed.

Request for Approval of Resolution for UNMH Contract with GE Healthcare IITS USA Corporation

Chair James introduced the UNMH Contract with GE Healthcare IITS USA Corporation and Mr. McKernan provided the rationale on the diagnostic equipment for the operating rooms.

A Motion was made to approve the Resolution for UNMH Contract with GE Healthcare IITS USA Corporation. The motion was seconded. A vote was held with an outcome of 5-0-0 in favor. Motion passed.

FACC Information Items

HSC Financial Update

With Chair James’ introduction, Ms. Ava Lovell presented an HSC financial update that included metrics on operating net margin, total net income, use of cash. Discussion on faculty at SRMC and support of their salaries. Ms. Lovell continued by presenting on uncompensated care and how to track it, days cash on hand and depreciation reserves, uncommitted reserves (10 days). Discussion. The presentation continued on accounts receivable greater than 90 days, research proposals and awards are up and greater than the national trend. Discussion. Ms. Lovell continued with a summary on the academic
units, tuition revenue, I&G appropriation, RPSPs (discussion), state cigarette tax, expenditures, and Consolidated HSC 2014 Fiscal Year-to-Date Summary including operating margin, SRMC losses. The Board recognized Ms. Lovell for her initiative on providing clear documentation reflecting metrics. Discussion on depreciation and question on whether HSC depreciation is being recorded in the standard accounting manner. Action Required: The Board requested including data that would include depreciation to better account for true net operating margin that reflects the health of the UNM Health System – “Income Depreciation” and then “Depreciation.”

Proposal: Threshold for HSC Board/UNM Board of Regents Approvals

Chair James introduced the discussion item before the Board on proposed thresholds for HSC Board and UNM Board of Regents approvals and Dr. Paul Roth provided a brief history. Ms. Ava Lovell provided an update on proposed thresholds, an analysis of thresholds at peer institutions, the definitions page included, Regents Policy 7.8. Discussion on peer institutions and questions on why the proposed thresholds are still substantially below what is employed at peer institutions. Mr. Scot Sauder provided rationale of levels chosen. Action Required: The Board requested that a column be added to the final Threshold document that reflects the average of peers for each item. Ms. Lovell continued her presentation that included information on recommended changes. Discussion of power, constitutionally, of Regents in the states of peer institutions (i.e., University of Florida), and comfort level and trust of Regents and the Board have with leadership, and getting feedback from Regents and confirmation of final decision resting with the Regents. Responding to a question from Regent Hosmer, President Frank stated that he was comfortable with the draft document and felt it was fairly conservative and recommended “we take the big step rather than a baby step.” President Frank added that he has asked Marc Saavedra “to investigate tagging with this a proposal that we would take to the legislature about having our inventory process updated so we’re not inventorying objects that cost ten cents...” and noted that the only caveat he would ask for is “that the President should approve all legal settlements, no matter the amount, before they go forward.” He asked that this be reflected on the draft document and offered his appreciation for the work the HSC has done to push this issue forward. Discussion among the Directors on suggestion of doubling the thresholds under finance and discussion of placing a cap of any items more than $5 million be approved by the Regents on items approved in the budget; request to keep Regents informed on items approved at lower levels. Decision reached was that the draft document should go to the Regents, as an information item at the Regents’ November 12, 2013 regularly scheduled meeting.

Ms. Lovell continued by discussing capital projects, comfort levels of FACC and the Board, and the need to clarify the definition of “capital projects.” Discussion.
General Information Items

UNM School of Medicine 50th Anniversary Plans

Dr. Jeff Griffith presented highlights of current plans for celebrating the UNM School of Medicine’s 50th Anniversary and to bring awareness to what the UNM School of Medicine does, i.e., book being published (authored by Dr. Dora Wong, Psychiatry, and published by UNM Press), a faculty memorial (designed by Ms. Kristy Williams, 2012 graduate of UNM School of Architecture and Planning), reunion for entering class, traveling exhibits, Living Legends Award, fundraising gala to raise funds for endowed scholarships, event for Legislators, membership drive to La Tierra Sagrada Society providing student scholarships, etc. Future updates will be provided.

Review: HSC BoD Action Required Log

The Board reviewed and made edits to the current Board Action Required Log provided in the agenda book. Discussion.

Chair Quillen noted that copies of the Minutes from the UNMH Board of Trustees, the UNMMG Board of Directors, and the UNM SRMC Board of Directors were provided as informational documents.

A motion was made to adjourn the open meeting and to convene in executive session. The motion was seconded. No discussion; with a vote of 5-0-0 in favor. Motion passed.

A motion was made for the Board to reconvene in open session and to certify that only those matters described in agenda item XII were discussed in executive session. The motion was seconded. No discussion; with a vote of 5-0-0 in favor. Motion passed.

A motion was made to adjourn the meeting. The motion was seconded. No discussion; with a vote of 5-0-0 in favor. Motion passed.

Minutes were prepared by Patrice Martin and finalized on November 19, 2013.

Approval of Minutes:

__________________________________________
Regent Suzanne Quillen, Chair

__________________________________________
Date
Attachments:

1. Resolution Recommending Approval of UNMH Capital Projects: UNM Hospital North Fourth Street Clinic Architect and Engineering Approval, UNM Hospital Laboratory Renovation, and UNM Hospital Outpatient Physical Therapy Rehabilitation Clinic Renovation.
2. Resolution Recommending Approval of UNMH Contract with GE Healthcare IITS USA Corporation.
FINANCE, AUDIT, AND COMPLIANCE COMMITTEE

Resolutions Authorizing Retention of Architects for UNM Hospital Capital Projects

WHEREAS, the UNM Hospital proposes to retain certain architects with respect to three (3) capital projects (each, a "Capital Project," and, collectively the "Capital Projects") proposed by UNM Hospital and pursuant to Regents Policy 7.12, such retention must be approved by governance of the University of New Mexico (the "University"), including, without limitation, the Health Sciences Center Board of Directors (the "HSC BoD"), and the Board of Regents. The Capital Projects are described more fully in Exhibits A, B, and C, respectively, attached to these Resolutions and incorporated herein as though fully set forth in these Resolutions. The Capital Projects, the respective proposed architecture firm, the request for proposal under which the proposed retention would occur, and the cost of the engagement are summarized as follows:

<table>
<thead>
<tr>
<th>Capital Project</th>
<th>Architect</th>
<th>RFP No.</th>
<th>Cost</th>
</tr>
</thead>
<tbody>
<tr>
<td>North Fourth Street Clinic</td>
<td>FBT Architects</td>
<td>1616-14</td>
<td>$202,688</td>
</tr>
<tr>
<td>UNM Hospital Laboratory Renovation</td>
<td>Gregory T. Hicks and Associates, P.C.</td>
<td>1565-13</td>
<td>$155,000</td>
</tr>
<tr>
<td>Outpatient Physical Therapy Rehabilitation Clinic Renovation</td>
<td>FBT Architects</td>
<td>1587-13</td>
<td>$50,278</td>
</tr>
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</table>

WHEREAS, the Committee, having considered the Exhibits A, B, and C materials and the presentations made relative to the Capital Projects, the previous approval of the retention of these architects for the Capital Projects by the UNM Hospital Board of Trustees, and having been satisfied that the process for such retention meets the requirements of the New Mexico Procurement Code, finds and concludes that the retention of the architects for the Capital Projects identified above and as described in Exhibits A, B, and C, should be approved.
NOW THEREFORE, BE IT RESOLVED that the Committee hereby recommends to HSC BoD that UNM Hospital's proposed retention of the architects for the Capital Projects as identified above and as described in Exhibits A, B, and C, should be approved.


By: ________________

Conrad James, its Chair
REQUEST FOR CAPITAL PROJECT ARCHITECT APPROVAL for
UNM Hospital, North Fourth Street Clinic - UNIVERSITY OF NEW MEXICO
October 29, 2013

REQUESTED ACTION:
In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested for UNM Hospital, North Fourth Street Clinic – Architect and Engineering Approval.

PROJECT DESCRIPTION:
This project is scheduled to go to the New Mexico State Board of Finance in October 2013. The Clinic building will be similar in design to the SW Mesa Clinic completed in November 2011. It is 17,000 square feet and has 22 exam rooms and 1 procedure room. The model of care delivered in this clinic is based on “Patient Centered Medical Home”. In addition to increased exam room capacity, the new clinic will include an outpatient pharmacy, laboratory, radiology, physical therapy services, Behavioral Health care, and financial counseling.

UNMH worked closely with the Near North Valley Neighborhood Association to integrate the site/building design into the Neighborhood. The site plan includes landscaped staff and visitor parking which can be used after hours and on weekends for neighborhood events. The building includes a conference room which can also be scheduled for use by the Neighborhood. The project will be designed to meet LEED Silver Certification requirements. This project is scheduled to be completed in FY14.

PROCESS:
The Architect was selected by UNMH RFP #1616-14:
   FBT Architects
   6501 Americas Parkway NE, suite 300
   Albuquerque, NM 87110

PROJECT RATIONALE:
UNMH has identified improved access to care as one of its top priorities as it responds to and aligns itself with healthcare reform mandates, National Committee of Quality Assurance Patient Centered Medical Home standards and patient and community feedback. We believe that expanding UNMH Primary Care Services into the Albuquerque Community is an important step in improving access. The North Valley of Albuquerque has a large patient population with the highest: visit rates to the hospital, income disparities, rates of asthma, hepatitis, HIV/AIDS, and highest infant and adult death rates. This project will purchase land to construct a new clinic in the North Valley. It is expected that by developing a new location with adjacent services such as pharmacy, lab, behavioral health, and financial services this will result in increased access to health care in this community. It is estimated that the clinic visits will double within 5 years. In addition, this location was chosen for its strong community support, proximity to a busy bus line and easy access on foot.

FUNDING:
The A/E Fees for the North Fourth Street Clinic are $202,688. This will be funded by UNM Hospital Capital Improvement Projects Funding.
REQUEST FOR CAPITAL PROJECT ARCHITECT APPROVAL for
UNM Hospital, Laboratory Renovation - UNIVERSITY OF NEW MEXICO
October 29, 2013

REQUESTED ACTION:
In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested for UNM Hospital, Laboratory – Architect and Engineering Approval.

PROJECT DESCRIPTION:
This project will include program validation, planning and complete architectural and engineering design for a mechanical and electrical system upgrade. The laboratory currently does not meet the standards as required by the College of American Pathologist Certification.

The current location of the hospital laboratory exists in the wing constructed in the 1960’s. The space is approximately 11,800 square feet. Due to the electrical and HVAC required upgrades the project will need to be done in phases so the laboratory can remain functional during the renovation.

The project is scheduled to be completed in FY 15.

PROCESS:
The Architect was selected by UNMH RFP #1565-13:
Gregory T. Hicks and Associates P. C.
110 2nd St. S.W. #204
Albuquerque, New Mexico, 87102

PROJECT RATIONALE:
UNM Hospital Laboratory is located in the wing constructed in the 1960’s. Technology has become more advanced by utilizing computers which run the instruments and automation for laboratory testing. Mechanical and electrical upgrades in the area are needed to keep equipment and staff cool and to remove all electrical cords from the floor. During the laboratory’s last College of American Pathologist Certification in 2013, it was noted that the current HVAC and electrical system was a safety issue. The required upgrades will need to be phased because the laboratory will need to be open 24 hours/day to meet the patient care needs of a Level I Trauma Center and the Children’s Hospital.

FUNDING:
The A/E fees for the Laboratory project are $155,000. This will be funded by UHM Hospital Capital Improvement Projects Funding.
REQUEST FOR CAPITAL PROJECT ARCHITECT APPROVAL for
UNM Hospital, Outpatient Physical Therapy Rehabilitation Clinic Renovation –
UNIVERSITY OF NEW MEXICO
October 29, 2013

REQUESTED ACTION:
In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the
New Mexico Higher Education Department and New Mexico State Board of Finance, project
approval is requested for UNM Hospital, Out-Patient Physical Therapy Rehabilitation Clinic
Renovation – Architect and Engineering Approval.

PROJECT DESCRIPTION:

Architectural and Design Services for Physical Therapy Rehabilitation Clinic located at 1025
Medical Arts NE, Albuquerque, NM. This clinic needs to be brought up to current clinic code
standards as required by the Department of Health. This includes, but is not limited to: ADA
compliant restrooms, ADA compliant hardware, sink installations, designated clean holding
closet, soiled holding closet, general storage issues.

In addition to the Code corrective issues electrical services need to be upgraded to meet current
code requirements and provide adequate power to the entire facility. The HVAC system needs to
be upgraded to meet the needs of a physical therapy rehabilitation clinic. The HVAC and
electrical issues require mechanical and electrical engineering design.

This project will be completed in FY15.

PROCESS:
The Architect selected by UNMH RFP #1587-13:

FBT Architects
6501 Americas Parkway NE, Suite 300
Albuquerque, NM 87110

PROJECT RATIONALE:

The Department of Health has provided UNMH off site clinics with current standards necessary
to maintain clinic licenses. The 1025 Medical Arts Out-Patient Physical Therapy Clinic had the
largest scope of deficiencies that needs correction and is a priority for UNM Hospitals.

FUNDING:

The A/E fees for the Out-Patient Physical Therapy Rehabilitation Clinic Renovation are
$50,278.00. This will be funded by UHM Hospital Capital Improvement Projects Funding.
FINANCE, AUDIT, AND COMPLIANCE COMMITTEE
OF THE HSC BOARD OF DIRECTORS

RESOLUTIONS RECOMMENDING APPROVAL OF
GE HEALTHCARE IITS USA CORPORATION
NUBOOM SURGICAL OPERATING ROOM IMAGING EQUIPMENT

WHEREAS, the management of UNM Hospital desires to purchase NuBoom Surgical Imaging equipment sold by GE Healthcare IITS USA Corporation for Operating Room #7 to create a hybrid operating room that is capable of accommodating a wider range of procedures for a wider range of surgical specialties and to improve patient care;

WHEREAS, consistent with the New Mexico Procurement Code and University of New Mexico Purchasing Policies (each, a “Purchasing Policy” and, collectively, the “Purchasing Policies”), the management of UNM Hospital desires to purchase the GE NuBoom under Novation Contract #XRO181 (the “Selected Vendor”);

WHEREAS, the Finance Committee of the UNM Hospital Board of Trustees previously considered the selection of the Selected Vendor and the proposed purchase and determined to recommend approval of the same to the UNM Hospital Board of Trustees;

WHEREAS, the UNM Hospital Board of Trustees considered the selection of the Selected Vendor and the proposed purchase and determined to approve and recommend approval of the same to the UNM Health Sciences Center Board of Directors (the “HSC Board”), by and through this Committee;

WHEREAS, the Committee considered the selection of the Selected Vendor and the proposed purchase and determined to recommend approval of the same to the HSC Board;

WHEREAS, the Board has considered these prior approvals and recommendations for approval, the materials provided to the Committee at its meeting relative to this proposed purchase, satisfied itself that the requirements of the New Mexico Procurement Code with respect to the Selected Vendor and the proposed purchase have been complied with, finds and concludes that the proposed purchase of the Selected Vendor’s equipment is in the best business and operational interest of UNM Hospital, its stakeholders and the UNM Health System and should be approved.

NOW, THEREFORE, BE IT RESOLVED that the Committee hereby approves and recommends to the HSC Board the approval of UNM Hospital’s proposed purchase of the Selected Vendor’s equipment on the terms and subject to the conditions described in the materials provided to this Committee.

By: [Signature]

Conrad James, its Chair
UNM Hospital Board of Trustees
Recommendation to HSC Board of Directors Finance and Audit Committee
October 2013

Approval

1) GE Healthcare, Inc.

Owenership:
GE Healthcare IITS USA Corporation
3135 Easton Turnpike
Fairfield, CT 06828

Officers Information:
President and CEO: John Dineen
President and CEO, Healthcare Systems: Tom Gentile
Vice President and Chief Marketing Officer: Karim Karti

Source of Funds: UNM Hospitals Capital Budget

Description:
Request to purchase NuBoom Surgical Imaging equipment from GE Healthcare to replace the imaging equipment in Operating Room (OR) #7. The current equipment can only be used for limited types of procedures in very few surgical specialties. The GE NuBoom will create a hybrid OR with the latest technology that is capable of accommodating a wide range of procedures in specialties such as Vascular, Urology, Neurosurgery, Orthopedics, Pain/Spine and (Ear, Nose and Throat) ENT. This hybrid conversion will make OR #7 completely video integrated so that physicians will have instant access to previously stored patient radiology images and be able to record images during surgical procedures so that our physicians can provide the best patient care possible.

Process: Novation Contract #XRO181

Total Cost: $569,065
## Agenda Item | Subject/Discussion | Action/Responsible Person
--- | --- | ---
Voting Members Present: | Michael Olguin, William Lang, Michelle Coons, Debbie Johnson, Nick Estes, Dr. Warren Laskey | 
Ex-Officio Members Present: | Michael Richards, Stephen McKernan, Donna Sigl, | 
County Officials Present: | 
I. Call to Order | A quorum being established, Michael Olguin, Chair, called the meeting to order at 8:48 a.m. | Mr. William Lang made a motion to adopt the agenda as submitted. Mr. Nick Estes seconded the motion. There being no objections, the motion carried.

II. Adoption of Agenda | The Chair, Mr. Michael Olguin, requested a motion to adopt the agenda, as submitted. | 
III. Announcements | None | 
IV. Public Input | None | 
V. Approval of Minutes | UNM Hospitals Board of Trustees meeting minutes for September 27, 2013. | Mr. Nick Estes made a motion to approve the minutes of the September 27, 2013, Board meeting. Mr. William Lang seconded the motion. There being no objections, the motion carried.

VI. Consent Agenda | Following review of the consent items, the Chair, Mr. Michael Olguin requested a motion to approve the consent items. | Ms. Michelle Coons made a motion to approve the consent agenda. Mr. William
<table>
<thead>
<tr>
<th>Agenda Item</th>
<th>Subject/Discussion</th>
<th>Action/Responsible Person</th>
</tr>
</thead>
<tbody>
<tr>
<td>VII. Board Initiatives</td>
<td></td>
<td>Lang seconded the motion. There being no objections, the motion passed unanimously.</td>
</tr>
</tbody>
</table>
| VIII. Administrative Reports | **HSC Chancellor Report** – A Chancellor’s report was not presented as this meeting was an abbreviated meeting during the Board Retreat.  
**CEO Report** – A CEO report was not presented as this meeting was an abbreviated meeting during the Board Retreat. | Mr. William Lang |
| IX. Updates          | **Financial Report Card** – The financial dashboard was not presented as this meeting was an abbreviated meeting during the Board Retreat. | Mr. William Lang |
| X. Committee Reports | **Performance Oversight & Community Engagement Committee**  
The Performance Oversight & Community Engagement Committee met on October 18, 2013 for credentialing purposes only.  
**Finance and Audit Committee**  
The Finance and Audit Committee did not present as this was an abbreviated meeting during the Board Retreat. | Mr. William Lang |
<p>| XI. Other Business   | None                                                                                | Mr. William Lang made a motion to move to closed session. Ms. Michelle Coons seconded the motion. The motion passed unanimously. |
| XII. Closed Session  | At 8:52 am, Mr. Michael Olguin, Chair, requested a motion to close the open session of the meeting to the public for purposes of discussion and determination, where appropriate, of limited personnel matters per Section 10-15-1.H (2); and discussion and determination, where appropriate of matters subject to the attorney-client privilege regarding pending or threatened litigation in which UNMH is or may become a participant, pursuant to Section 10-15-1.H (7); and discussion of matters involving strategic and long-range business plans or trade secrets of UNMH pursuant to Section 10-15-1.H (9), NMSA. | Mr. William Lang |
| XIII. Certification | After discussion and determination where appropriate, of limited personnel matters per Section 10-15-1.H (2); and discussion and determination, where appropriate of matters subject to the attorney-client privilege regarding pending or threatened litigation in which UNMH is or may become a participant, pursuant to Section 10-15-1.H (7); and discussion of matters involving strategic and long-range business plans or trade secrets of UNMH pursuant to Section 10-15-1.H (9), NMSA, the Board certified that no other |</p>
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<thead>
<tr>
<th>Agenda Item</th>
<th>Subject/Discussion</th>
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</tr>
</thead>
<tbody>
<tr>
<td>XIV. Vote to Re-Open meeting</td>
<td>At 2:57pm, the Chair, Michael Olguin, requested a motion be made to return to open session. Mr. Michael Olguin asked for a motion to be made that the Board accept the Minutes of the meeting of those committees that were presented in Closed Session to acknowledge for the record that those minutes were, in fact, presented to, reviewed, and accepted by the Board and for the Board to accept and approve the recommendations of those Committees as set forth in the Minutes of those Committees meetings.</td>
<td>Mr. William Lang made a motion to move to closed session. Dr. Warren Laskey seconded the motion. The motion passed unanimously. Mr. William Lang made a motion to accept the minutes presented by the committees. Dr. Warren Laskey seconded the motion. The motion passed unanimously.</td>
</tr>
<tr>
<td>XV. Adjournment</td>
<td>The next scheduled Board meeting will be November 22, 2013, @ 9:00 am. There being no further business, Mr. Michael Olguin adjourned the meeting at 2:59 pm.</td>
<td></td>
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Michelle Coons, Secretary
UNM Hospital Board of Trustees
# SRMC Board of Directors Meeting Minutes

October 16, 2013

8:30 a.m.-10:30 a.m.

UNM Sandoval Regional Medical Center, 4th Floor Board Room
Teleconference 1-888-557-8511 Passcode 2502228#

Rio Rancho, New Mexico

<table>
<thead>
<tr>
<th>Section</th>
<th>Description</th>
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<tbody>
<tr>
<td><strong>1.0 CALL TO ORDER AND CONFIRMATION OF QUORUM</strong></td>
<td>Dr. Paul Roth called the meeting to order and a quorum was confirmed at 8:41 a.m. Accept as information</td>
</tr>
<tr>
<td><strong>2.0 VOTE TO APPROVE THE AGENDA</strong></td>
<td>Dr. Paul Roth presented the Agenda to the Board for review Upon a motion and a second, the Board voted to approve the agenda. Motion passed unanimously.</td>
</tr>
<tr>
<td><strong>3.0 VOTE TO APPROVE THE MINUTES OF SEPTEMBER 18, 2013 MEETING</strong></td>
<td>Dr. Paul Roth presented the Minutes to the Board for approval Upon a motion and a second, the Board voted to approve the minutes. Motion passed unanimously.</td>
</tr>
<tr>
<td><strong>4.0 COMMENTS FROM DIRECTORS</strong></td>
<td>Ms. Charlotte Garcia thanked Mr. Rogols and SRMC Leadership for hosting the Tribal Leadership meeting on October 3, 2013.</td>
</tr>
<tr>
<td><strong>5.0 PUBLIC COMMENT</strong></td>
<td>None</td>
</tr>
<tr>
<td><strong>6.0 OLD BUSINESS</strong></td>
<td>Ms. Melissa Romine provided an update on the current marketing and communication plan for SRMC. In addition, she stated that the Sandoval County Research and Polling began last week and will be completed later this week. Results should be finalized next week. Over the past few weeks Ms. Romine met with SRMC Faculty, Staff and Community Members to gather insights that will help in coming up with a theme. In addition, Ms. Romine sat in and observed the meeting with the Sandoval County Pueblo Governors and gathered insights there as well. Ms. Erica Hamilton provided an update on Case Management. Ms. Hamilton has met with case management staff and together have come up with a plan to be more efficient in this area. Social workers in Behavioral Health will help supplement case management within the hospital. Ms. Correen Bales added that recruitment for Case Managers has been a high priority. As such, SRMC has signed on a couple of recruiters to help with this recruitment. Accept as information</td>
</tr>
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</table>


| 6.3 | Mr. Rogols stated that the SRMC Strategic Planning Committee met last week and discussed the SRMC retreat. In addition, Mr. Rogols and Ms. Silva-Steele met with Dr. Sara Frasch to coordinate with UNMH and the Health System to bring SRMC a planning initiative on December 11th to the Winter Retreat.  

Dr. Frasch will interview HSC leadership, organizational leadership and community leadership to gather thoughts and comments. The two questions that need to be answered are “What are we?” and “What can SRMC do to support the Health System and achieve the goals of the Health System but also serve the needs of the community?” | Dr. Roth suggested that Dr. Frasch meet with the Core Group to gain a better understanding of the Winter Retreat. |
| 6.4 | Mr. Rogols suggested that the November Board meeting be expanded to a six hour session, to include SOM Chairs and HSC leadership, where Dr. Frasch could present and have a discussion to gain more understanding on what SRMC’s role is in the Health System. The SRMC Strategic Planning Committee was supportive of this idea. | The Board endorses Mr. Rogols suggestion for a Board Retreat in November. |
| 7.0 | **REPORT FROM SRMC COMMITTEES** | Accept as information |
| 7.1 | Ms. Watt gave a verbal update on the October 10, 2013 Finance Committee meeting and stated that the FY13 external audit and capital budget were presented. She added that the resolution was signed for final endorsement of the mortgage bond loan.  

Ms. Watt presented the minutes of September 12, 2013 for acceptance by the Board. | Upon a motion and a second, the Board voted to accept the minutes striking section 3.1 “see attached presentation.” Motion passed unanimously. |
| 7.2 | Through verbal report, SRMC Strategic Planning and Finance Committee recommend approval of CATH/IR lab services. | Upon a motion and a second, the Board voted to implement the proposed CATH/IR lab service to entail capital expenditures with service projected to begin July 2014. Motion passed unanimously. |
| 8.0 | **CEO’s REPORT** | Accept as information |
| 8.1 | Mr. Rogols stated that Ms. Bales has performed an evaluation of the economic benefit of moving to self-insured. The estimation of savings is $125/year with a $65K reinsurance rate. | Accept as information |
| 8.2 | **Outreach**  
On October 3, 2013 UNM SRMC hosted Sandoval County Pueblos to discuss areas of potential collaboration. Leadership from Jemez, Santa Ana and Cochiti Pueblos were present in addition to representation from Sandoval County Commission, Chairman Darryl Madalena. One |
result of the discussion was to schedule quarterly meetings to continue dialog. Mr. Rogols thanked members of the SRMC Board of Directors, Ms. Charlotte Garcia and Mr. Manu Rainbird, for their participation in the discussion as well.

8.3 **Service Development**

*Sleep Clinic*

Mr. Rogols reported that during its first month of operation, 36 studies were performed in the lab generating $108,000 in charges.

8.4 **Recovery Activities**

*Capacity Management between UNMH and SRMC*

Intensive Health System effort continues to focus on coordinating capacity between UNMH and SRMC. Meetings are held every Tuesday morning with senior UNMH, SOM and SRMC leadership to put in place processes that will better utilize capacity between all clinical entities. Interim efforts continue to focus on coordinating PALS, Emergency Admissions, and direct clinic admissions through the evolving role of the “doctor of the day.” Weekly census has increased from a low of 28 to a high of 40 over the past 90 days. While growth continues, we remain a long way from our target of 55. That said, it appears to be the consensus of such parties that UNM Health System needs to invest in the creation of a centralized bed control process and technology and bring such service on line as soon as possible. Dr. David Pitcher will lead a discussion with Mr. McKernan and Dr. Richards regarding how to move forward with this important initiative.

*Operating Expense Management*

Management identified over $1.5MM in annual savings each month.

- Transition of medical staff credentialing to in house - $165,000/year
- Transition surgical instrumentation support to in house - $80,000/year
- Transition of the SRMC Ambulance/transport service to UNM Health System - $240,000/year
- Eliminate external organizational development support - $40,000/year
- Elimination of external patient satisfaction support - $60,000/year
- Management re-organization savings – $927,700/year
- Sub-total - $1,512,700/year

*Financial Services Initiatives*

- Transitioning from manual budget to Lawson should be complete by the end of October.
- Implementation of the Siemens denials management should be complete by the end of October.
- Transitioning from Wells Fargo to Bank of America to capture the ability to post EOBs and remittance information electronically should be complete by the end of November.
- Three charge capture Rapid Improvement Events focus on Surgical Services, Inpatient and Radiology charge capture. The Surgical Services RIE has been completed. Finance is auditing charges on a weekly basis and providing feedback to OR.
- Ella Watt will provide the Board with a progress report regarding AR management and collections.

**Increasing Bed Capacity**
Many actions/processes have been put into place to both temporarily and permanently increase SRMC’s inpatient capacity.

**Award**
UNM Sandoval Regional Medical Center was recently recognized as the 2013 Healthcare Project of the Year by the Engineering News-Record.

Mr. Rogols reported that most areas of Patient Satisfaction continue to perform very well and expressed that SRMC continues to provide a high level of service. Those departments that have shown a decrease are been addressed.

**Board Comments/Questions**
Dr. Roth asked SRMC Management to review the efficiency of clinic utilization and decide what the benchmark should be and stated that this data should be discussed at the Board level. Ms. Garcia stated that she thought the information presented in Mr. Rogols report was very helpful for community board members.

Mr. RainBird stated that the first visit has to be very rewarding for the patient. Mr. Rogols replied that SRMC has a process in place, that was approved by the Board in March 2012 which describes how patient complaints and grievances will be handled. Our goal is to identify patient complaints before discharge so that we can do everything that we can to create a satisfying experience before the patient leaves our facility. Those that cannot be resolved prior to discharge receive personalized follow up through the Quality management Department. Significant complaints/grievances are then reviewed by the Joint Conference Committee.

**FINANCIAL REPORT**
- **Recovery Plan Dashboard**
  Mr. Rogols reported that there was a decrease in admissions and surgery in the month of September. Transfers between SRMC and UNMH have leveled off.

  The initial CMI included in the feasibility study was 1.86. HUD felt this target was too high therefore, the target was decreased 1.62.

  Mr. Rogols introduced Ms. Darlene Fernandez, Interim Director of Finance
Mr. Rogols discussed FTEs and is concerned that SRMC is staffing up, per HSC request, to accommodate 55 inpatients and 6 operating rooms, when activity levels are not increasing. While opportunity exists for expense management, only a small portion of the average monthly operating loss will be offset. Activity levels and revenue will need to increase quickly to achieve financial targets. Mr. Rogols further reported that the academic model engaged at SRMC is very expensive and will require activity to offset those expenses.

Ms. Fernandez reviewed the financial portion of the dashboard. It was stated that uncompensated care for the month exceeded 20% for inpatient and 24% for outpatient care which is much, much higher than was estimated. A significantly better payor mix will be needed for collections to increase.

Total net income loss increased from $1.5MM to $1.7MM in September. Patient cash collections decreased from $3.5MM to $3.3MM. Total cash collections increased from $5MM to $6.4MM. Bank days cash on hand increased to 16.12 for September.

Ms. Fernandez reported that the issue with charge capture still remains but has improved and added that seven areas are working through LEAN events for charge capture.

Ms. Watt stated that while cash balances have improved, they will likely decrease in the next three months to pay down payables. The strategy to increase revenue must be given the highest priority she said. Additionally, management is critically reviewing cost savings opportunities.

Upon a motion and a second, the Board voted to approve the Resolutions Authorizing Final Endorsement of UNM Sandoval Regional Medical Center Mortgage Bond Loan and Authorizing Execution of Loan Documents, Certificates, and Applications in Connection Therewith. Motion passed unanimously.

<table>
<thead>
<tr>
<th>10.0</th>
<th>CLOSED SESSION</th>
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<tbody>
<tr>
<td>10.1</td>
<td>Motion was made to close the Open Session and convene in Closed Session; the motion was seconded and passed unanimously.</td>
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</table>

Dr. Paul Roth presented the Closed Session Agenda to the Board for review

Upon a motion and a second, the Board voted to approve the agenda. Motion passed unanimously.

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<thead>
<tr>
<th>11.0</th>
<th>RECONVENE IN OPEN SESSION</th>
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<tr>
<td></td>
<td>Certification that those matters discussed in Closed Session and issuance of final action of such items including:</td>
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</tbody>
</table>
Motion that the Board accept the Minutes of the meeting of the Committees that were presented in Closed Session and to acknowledge for the record that those minutes were, in fact, presented to, reviewed and accepted by the Board and for the Board to accept and approve the recommendations of those Committees as set forth in the Minutes of those Committee meetings.

Upon a motion and a second, the Board voted to approve the minutes. Motion passed unanimously.

Dr. Roth asked the Board to approve the list of Providers requesting medical staff membership and privileges identified and discussed in Closed Session including:

- Ruddell, Jeremy AAC Anesthesiology UNMH
- Reyes, Kathleen MD Anesthesiology UNMH
- Arndt, Christopher MD Anesthesiology UNMH
- Vender, Sally MD Anesthesiology UNMH
- Elliott, James MD Pathology UNMH
- Elwood, Hillary MD Pathology UNMH
- McConnell, Thomas MD Pathology UNMH
- Reynolds, Samuel Pathology UNMH
- Pene-Ayla MD Medicine UNMH
- Rivers, William DO Medicine/PM&R UNMH
- Stromberg, Shannon MD Medicine/Psychiatry UNMH
- Bhatt, Snehal MD Medicine/Psychiatry UNMH
- Cullen, Chandra MD Medicine/Psychiatry UNMH
- Coman, Ellen PhD Medicine/Psychology UNMH
- Thoma, Robert PhD Medicine/Psychology UNMH
- Stewart, Sybol SA-C Surgery/Ortho ABQHP
- Antony, Antony MD Surgery/Ortho UNMH
- Mitnik, Michael MD Surgery/Ortho Private Practice

Motion was made to approve general ratification of items in closed session, second; unanimously approved.

Motion was made and seconded to approve the list of Providers for membership and privileges. Motion passed unanimously.

12.0 ADJOURNMENT/NEXT MEETING

Motion was made to adjourn the meeting, second; unanimously approved. Meeting adjourned at 11:29 a.m.

The next meeting will be held on November 20, 2013 at 8:30-10:30 a.m. at UNM SRMC, Board Room // Teleconference at 1-888-557-8511 Passcode 2502228#

MEMBERS/GUESTS IN ATTENDANCE

Board Members Present:
Dr. Paul Roth, Chair
Dr. Carolyn Voss
Dr. Martha McGrew
Dr. Brad Cushnyr
Ms. Charlotte Garcia (phone)
Mr. Manu RainBird

Staff Members Present:
Mr. Kevin Rogols
Ms. Jamie Silva-Steele
Ms. Ella Watt
Ms. Erica Hamilton
Ms. Correen Bales
Dr. Tony Ogburn
Ms. Darlene Fernandez

Board Members Absent:
Mr. Steve McKernan
Dr. Tom Williams

Guests Present:
Mr. Scot Sauder
Ms. Rosalyn Nguyen
Ms. Melissa Romine
Mr. Michael Haederle
# CARRIE TINGLEY HOSPITAL ADVISORY BOARD

## Meeting Minutes

**September 23, 2013**

**CTH Outpatient Board Room – 1st floor**

<table>
<thead>
<tr>
<th>Agenda/Subject #</th>
<th>Discussion</th>
<th>Status</th>
<th>Due Date</th>
<th>Responsible Party</th>
</tr>
</thead>
</table>
| **Attendance**  
9/23/13          | **CTH Advisory Board:** Sarah Nelson-Howse, Neall Doren, David Schifani, Frederick Sherman, MD, Lisa Rossignol, Dana Allen, Nancy Greger, MD, Michael Shannon, Phil Prevender and Heidi Overton.  **UH:** Doris Tinagero, Maribeth Thornton, Dale Hoekstra, MD, Edward Rose, MD, and Denise Taylor, MD. | N/A     |          |                   |
| **I. Call to Order** | Meeting was called to order by Sarah Nelson-Howse at 4:07 pm. |          |          |                   |
| **II. Approval of the July 22, 2013 Minutes.** | **Action:** Dana Allen moved to approve the 7/22/13 meeting minutes; David Schifani seconded the motion. Vote was unanimous, no opposition. | 7/22/13 meeting minutes were approved as written. | NA | NA |
| **III. Public Input** | No public input. |          |          |                   |
| **IV. Reports**  
A. | | | | |
| | **YDT** | **YTD Budget** | **Prior YTD** | |
| Orthopaedics      | 602 | 914 | 880 |
| Rehabilitation    | 385 | 504 | 484 |
| Newborns          | 532 | 396 | 381 |
| Pediatrics        | 2,662 | 2,084 | 2,010 |
| Average length of stay | 5.62 | 6.64 | 4.98 |
| Discharges        | 744 | 587 | 754 |
| **Outpatient Clinic Visits** | | | | |
| 2nd Floor Peds    | 7,346 | 7,034 | 7,119 |
| Ortho             | 12,778 | 14,128 | 14,316 |
| 1st Floor Peds    | 9,766 | 10,038 | 9,866 |
| | | | Dr. Hoekstra would like to follow-up with:  
1). Income Statement sheet under “Medical Services”, 2.2 million dollars” where does this money go? Who receives it?  
2). How surgeries are counted, are they orthopaedic or pediatrics? We need to track them separately, There are many surgeons who see CTH | 11/25/13 | Ella Watts |
<table>
<thead>
<tr>
<th>Outreach</th>
<th>430</th>
<th>400</th>
<th>441</th>
<th>patients. Update at the next meeting scheduled for November.</th>
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<tbody>
<tr>
<td>Operations</td>
<td>I/P 150</td>
<td>223</td>
<td>175</td>
<td></td>
</tr>
<tr>
<td>O/P 263</td>
<td>335</td>
<td>322</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Implants</td>
<td>346</td>
<td>398</td>
<td>362</td>
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Ella Watt attended the meeting and reviewed the Income Statement ending June 30, 2013 and the Dash Board report ending June 30, 2013.

Ella expanded on the State Appropriation monies and donations that CTH receives.

Dr. Hoekstra asked Ella to clarify the surgeries reported; are you reporting all physicians that see patients at CTH? She responded that it was all surgeons who have a code (mainly the pediatric ortho surgeons) Dr. Hoekstra also asked about the Medical Services 2.2 million dollars? Where does this come from and who gets this money?

| B. Medical Director Peds Orthopaedic | Dr. Hoekstra submitted his written report for review. He added that he will be attending a conference titled “Confronting Uncertainty” in New Orleans, LA and hosted by the Children’s Hospital Association. Dr. Hoekstra congratulated Selina Silva, MD, Pediatric Orthopaedic Surgeon. As of July 24, 2013 she is certified in Orthopaedic Surgery with a sub-specialty in Pediatric Orthopaedics. | No further questions and/or comments |
|-------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Dr. Rose, Executive Medical Director of Children’s Hospital and Services. | Dr. Rose reported that an ongoing concern has been the loss of valuable members of pediatric specialties. Pediatric Specialties has openings in GI, PICU, Cardiology, Urology, ENT, and Pulmonary. A lengthy discussion ensued on recruitment and retention. | Continue to recruit specialty physicians. Will report regularly on the status |
| Physical Medicine and Rehabilitation | Dr. Taylor reviewed a power chart presentation on program statistics and comparisons made to previous years. | |
The 2nd Annual NMCAPP 5K Walk/Run was held on September 14, 2013. The event had a good turn out.

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<th>C). Executive Directors</th>
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<td>Doris Tiangero and Maribeth Thornton reported on the Inpatient and Outpatient statistics, inpatient score was average (89.6), but indicated there are two areas for improvement which are pain management and promptness to give pain medications for inpatient. Outpatient had 20 surveys returned (89.5). Lowest scores for outpatient were informing patients about delays during their visit and wait time during the clinic visit. Facility update: The elevator renovation is awaiting approval for the install from purchasing.</td>
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- The rehabilitation department completed training for the new Functional Electrical Stim (FES) Cycle for legs. This equipment is used for patients with spinal cord injury, or other diagnoses that result in impaired lower extremity functioning.

- The Flu shot initiative began. Flu shots are available for staff, visitors and patients free of charge. The goal is for 100% staff compliance in turning in the flu vaccination form.

- CTH Ambulatory clinics are part of the Children's Initiative with 7-Quality projects in conjunction with the Children's Pediatric Clinics and Young Children’s Health Center. Projects include the monitoring and improvement in the following areas:

  1. Lead Screening for children by age 16mo.
  2. Hemoglobin Screening for children by age 16 mo.
  3. Hearing and Vision screening by age 7 yrs.
  4. Annual Screening for Asthma control
  5. Obesity Screening and education
  6. Tobacco Cessation- screening and education
  7. Patient Satisfaction

-Doris reviewed the 2013 quarterly equipment approved by the CTH Foundation.

| Doris will generate a report on next available appointments (or 3rd available appointments) for the November meeting. |
A power point presentation was given to educate the CTH Advisory Board on the Patient Portal. Patient Portal is the ability for a patient to manage their reports from home. The roll out was approximately 1-year ago, still working on the system. At this time individuals 13-17 cannot access their records. UNMH is still working on messaging and prescription refill.

Mr. Schifani inquired about appointment scheduling. He would like Administration to bring a report on how long it is taking to get into see a physician in ortho clinics and specialty pediatrics.

Doris indicated she attended the last Cerebral Palsy Parent Association meeting. She addressed where CTH is now, where we came from and where she sees CTH heading in the future. She said it was a very good meeting and very informative for both parties.

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<tr>
<th>D). CTH Foundation</th>
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<tr>
<td>Phil Prevender submitted a written report as he could not attend today’s meeting.</td>
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<tr>
<td>- CTH Foundation’s annual Jiffy Lubathon is scheduled for October 19, 2013. The participating locations are in Albuquerque, Santa Fe, Rio Rancho, Bosque Farms and Pojoaque areas. Have a signature oil change performed and receive a $305.00 prize package.</td>
</tr>
<tr>
<td>- Mark Pardo Salon and spa is holding their Annual “Do Hair, Do Good” event November 9, 2013 at the Albuquerque Convention Center. Tickets are $20 with all proceeds to benefit the CTH Foundation.</td>
</tr>
<tr>
<td>- 6th Annual CTH Foundation Festival of Trees is scheduled for December 6 – December 8, 2013 at Sandia Resorts with our Jingle and Mingle event to take place December 5, 2013. CTH Foundation is anticipating 55 trees this year, hourly holiday entertainment, Santa and his workshop for kids to create their</td>
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</table>
E). Chair
Ms. Howse presented Frederick Sherrman, MD with a plaque and thanked him for his years of dedication to CTH as a Medical Director and Advisory Board member. She indicated that he will be missed on the board. No follow-up

V. Old Business
Bylaw final approval – Deferred until November. Approve Bylaws at the November 25, 2013 meeting.

VI. New Business
A). Approval of Erich Marchand, MD to the CTH Advisory Board. Action: Dana Allen moved to approve Erich Marchand as a Health Care Member to the CTH Advisory Board. Neall Doren seconded the motion. No opposition, vote was unanimous. Erich Marchand unanimously approved 9/23/13. He will be contacted by the CTH Advisory Chair.

VII. Vote to Close
N/A

VIII. Vote to Re-Open Session

IX. Adjournment

Respectfully Submitted by:

Diane J. Romero, Administrative Assistant

APPROVED:

Chair