



**UNIVERSITY OF NEW MEXICO  
BOARD OF REGENTS**

**Meeting Agenda**

**April 8, 2014**

**9:00 AM**

**Student Union Building, Ballroom C**

**The Board of Regents of the University of New Mexico  
Meeting in Open Session and Executive Session  
April 8, 2014, 9:00 a.m.  
Student Union Building, Ballroom C / Executive Session-Luncheon in Cherry Silver Room  
Revised AGENDA**

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V. Public Comment (items not on agenda; limit 3 min. per speaker)

VI. Vote to close the meeting and proceed in Executive Session

**Executive Session-Cherry Silver Room**

1. Discussion and determination where appropriate of the purchase, acquisition or disposal of real property pursuant to Section 10-15-1.H(8), NMSA (1978)
2. Discussion and determination where appropriate of threatened or pending litigation pursuant to Section 10-15-1.H(7), NMSA (1978)
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VII. Vote to re-open the meeting and certification that only those matters described in Agenda Item VI. were discussed in Executive Session and if necessary, final action with regard to those matters will be taken in Open Session.

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- a. HSC Board of Directors March 7, 2014 Meeting
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  - e. Office of the Medical Investigator Board September 24, 2014 Meeting

Minutes of the Regular Meeting of the Board of Regents of the University of New Mexico  
March 10, 2014  
Student Union Building, Ballroom C

Members present

Jack L. Fortner, President; Conrad D. James, Vice President; Bradley C. Hosmer, Secretary/Treasurer; James H. Koch; Suzanne Quillen; J.E. Gene Gallegos; Heidi Overton (Quorum)

Administration present

Robert G. Frank, President; Paul Roth, Chancellor for Health Sciences; David Harris, EVP for Administration and COO; Chaouki Abdallah, Provost and EVP for Academic Affairs; Elsa Cole, University Counsel; Elizabeth Metzger, University Controller; Jewel Washington, Interim VP HR; Paul Krebs, VP Athletics; Gil Gonzales, CIO; Helen Gonzales, Chief Compliance Officer; Ava Lovell, Sr. Exec. Officer of Finance and Administration, HSC

Regents' Advisors present

Richard Holder, President, Faculty Senate; Gene Henley, President, Staff Council; Randy Royster, President, Alumni Association; Don Duszynski, President, Retiree Association; Catherine Cullen, VP, Parent Association (for Angi Gonzales Carver, President)

Presenters in attendance

Bruce Cherrin, Chief Procurement Officer; Chris Vallejos, AVP ISS; Leslie Boni, Chairperson ASM; Terry Babbitt, AVP Enrollment Management; Steve McKernan, CEO UNMH; Wynn Goering, Special Assistant Branch Affairs; Kathy Sandoval-Snider, Director Albuquerque Institute for Math and Science; Mark Peceny, Dean of the College of Arts and Sciences; Henry Nemcik, President and CEO, UNM Foundation

Others in attendance

Members of the administration, faculty, staff, students, the media and others.

CONFIRMATION OF PRIOR EXECUTIVE SESSION

The Board of Regents met in executive session from 7:45 am. until 8:47 am. on Monday, March 10, 2014, in the Cherry Silver Room of the SUB for discussion only of limited personnel matters, threatened litigation and the purchase of real property in accordance with Section 10-15-1H(2, 7, and 8) of the Open Meetings Act (NMSA). All of the Regents were present at the meeting and those present certify by approval of these minutes that the matters discussed in the closed meeting were limited only to those described here and the same were specified in the notice of the meeting.

CALL TO ORDER

Regent President Fortner called the meeting to order at 9:06 a.m.; a quorum was confirmed. Regent Fortner asked if there were amendments to the agenda. Regent Hosmer asked for two amendments to the agenda under the Academic and Student Affairs Committee Report, 1) to strike item #3 - Approval of Form D-Professional Practice Doctoral Degree in Occupational Therapy (OTD), because the item needed to go to the HSC Board of Directors for approval before going to the Board of Regents, and 2) to change the wording of agenda item #4 to AIMS West Side Needs Assessment and Proposal. Regent Fortner asked if there were any other amendments to the agenda. There were no other amendments.

**The motion to accept the amendments to the agenda was unanimously approved with a quorum of Regents present and voting. (1<sup>st</sup> Hosmer, 2<sup>nd</sup> Quillen)**

APPROVAL OF MINUTES

**The minutes of the February 11, 2014 regular meeting were unanimously approved (1<sup>st</sup> Gallegos, 2<sup>nd</sup> James).**

ELECTION OF OFFICERS

Regent Fortner asked for nominations for President to the Board of Regents. Regent Koch nominated Regent Jack Fortner for President; Regent James seconded the nomination. Regent Fortner asked if there were any other

nominations. Regent Fortner asked if there was a motion to close the nominations. The motion to close the nominations for President was unanimously approved (1<sup>st</sup> Gallegos, 2<sup>nd</sup> Quillen). The Regents voted on Jack Fortner for President, the vote was unanimous in favor of Regent Fortner for President of the Board of Regents with a quorum of Regents present and voting.

Regent Fortner asked for nominations for Vice President to the Board of Regents. Regent Koch nominated Regent Conrad James for Vice President; Regent Quillen seconded the nomination. Regent Fortner asked if there were any other nominations. Regent Fortner asked if there was a motion to close the nominations. The motion to close the nominations for Vice President was unanimously approved (1<sup>st</sup> Hosmer, 2<sup>nd</sup> Gallegos). The Regents voted on Conrad James for Vice President, the vote was unanimous in favor of Regent James for Vice President of the Board of Regents with a quorum of Regents present and voting.

Regent Fortner asked for nominations for Secretary to the Board of Regents. Regent Quillen nominated Regent Bradley Hosmer for Secretary; Regent Overton seconded the nomination. Regent Fortner asked if there were any other nominations. Regent Fortner asked if there was a motion to close the nominations. The motion to close the nominations for Secretary was unanimously approved (1<sup>st</sup> Gallegos, 2<sup>nd</sup> Overton). The Regents voted on Bradley Hosmer for Secretary, the vote was unanimous in favor of Regent Hosmer for Secretary of the Board of Regents with a quorum of Regents present and voting.

#### PRESIDENT'S ADMINISTRATIVE REPORT

President Frank congratulated the Regents that were voted into office. On February 28, UNM celebrated its 125<sup>th</sup> birthday. He thanked those who worked on putting on this celebration and mentioned the great media coverage. There was a great article in the ABQ Journal, which discussed a theme presented by Provost Abdallah in one of his communiqués, an idea that we come together around the complexity of the University but focus on one University. There have been a number of positive editorials in the ABQ Journal and he thanks Regent Koch for his aid in developing a positive relationship with the editors.

UNM has received a grant from Living Cities Foundation as one of five cities to be awarded. Living Cities is a conglomeration of 22 of the largest foundations in America, they focus on how to address poverty in large cities but they also bring together many resources that can be leveraged. It is a great opportunity for UNM and they were interested in UNM because of the Innovate ABQ project.

Last week, CNM announced they are creating the CNM STEMulus Center downtown and near the Innovate ABQ site. This program will allow for work training and will collaborate with the Innovate program. Again, this shows the value of leveraging the efforts of the University of New Mexico, the City and the County. Innovation Academy is also underway through the Provost's office and Carol Parker is leading the efforts, there is a lot of excitement and support from faculty. Last week, the University held Honors Day to get the best and brightest students from New Mexico to meet with Faculty and view the campus. Enrollment is also up for second semester retention, which is at 90.3% and exceeds our goal of 90%. There is also a 2% increase in returning freshman from last spring. Headcount is also up at UNM West and there is an increase of 11% in transfers from other New Mexico schools.

Dr. Breda Bova who has served as the athletic representative to the NCAA for many years is retiring in June. Dr. Amy Neel, former Faculty Senate President has been selected to succeed Dr. Bova in that role. Dr. Neel will begin her 3-year term beginning July 1.

President Frank commented on the University budget. He stated that the funding from the State has gone down but enrollment has gone up which makes it difficult for the budget as there are less dollars to allocate. Therefore, the money has to be made up somewhere to educate these students and give them the kind of education that we think is necessary for them. As the Regents prepare for the March 25 Budget Summit, we are the largest University in the state, we educate 50% of undergraduates in the state, 80% of the professional students in the state, and professional education is the most expensive education, but we want doctors in this state and we want to have people that have professional education. New Mexico cannot prosper unless we create knowledge. Our salaries are behind our state competitors, we do not benchmark ourselves against Universities in New Mexico because they all have distinct missions. Four year colleges here do not do the research mission that we do, even NMSU, it is

a big school but does not serve in the same niche we serve in. We compare ourselves to the University of Colorado, University of Texas, University of Arizona; those are the schools that are in the same niche as we are in. They are paying much higher salaries and when we lose faculty, we generally lose them to those schools. In the School of Medicine, salaries are set at the 25<sup>th</sup> percentile of the AANC. But nobody wants a doctor that practices at the 25<sup>th</sup> percentile. Our doctors practice at the 110<sup>th</sup> percentile of competence. We have a big discrepancy. We have great practicing doctors but our pay is too low. That is a challenge we currently face as a University.

#### COMMENTS FROM REGENTS

Regent Fortner spoke about his recent trip to Washington D.C. with President Frank.

#### PUBLIC COMMENT (specific to agenda items)

Carol Stephens, commented on the Finance and Facilities agenda item #4, Group Health Insurance Benefits. Ms. Stephens is a UNM retiree and stated that she believes the numbers may be incorrect and more demographic information may be needed. She was happy with the Regents concern that the Retirees were excluded from the RFP process, and she thanked President Frank and the Regents for their commitment to openness and transparency.

Leslie Eason, commented on the Finance and Facilities agenda item #4, Group Health Insurance Benefits. Ms. Eason is a UNM retiree and stated that she believes there are some discrepancies with the numbers and that the retirees would like to meet with actuaries. Her biggest concern is with the experienced rating and how the pre-65 were removed from the pool. She also believes that private health information may have been used inappropriately.

Guy Watson, commented on the Finance and Facilities agenda item #4, Group Health Insurance Benefits. Mr. Watson is a UNM retiree and stated that the real issue is cutting benefits to save money. UNM is operating more like a corporation than a school. Where does the University place a priority on those who have served UNM for many years.

Glen Efferz, commented on the Finance and Facilities agenda item #4, Group Health Insurance Benefits. Mr. Efferz is a UNM retiree and is concerned with the issue of the retiree benefits. There needs to be transparency.

#### REGENTS COMMITTEE REPORTS (presentation materials included in BOR E-Book)

FINANCE AND FACILITIES COMMITTEE, Regent James H. Koch, Chair

1. Monthly Consolidated Financial Report and Fiscal Watch report.

Liz Metzger gave the presentation. The Monthly Consolidated Financial Report is as of month end January 31, 2014. Spring semester tuition and fees have posted. Ms. Metzger reviewed the new metrics for the report, which included bar graphs developed for the Regents. The first graph covers the Consolidated Total Operations and it is a five year representation of our net revenue and net expense, which is our bottom line result of operations. What that graph is showing is going back 5 yrs for each quarter month end. Each quarter month end is reflective for each fiscal year and allows to see how we are in line with prior years. A graph with Main Campus information only was presented and shows net result of operations. A graph of revenues and expenditures for five years displayed Instruction and General results only. The bar graph is the chosen graph from the F&F Committee. At this point in the fiscal year, nothing looked out of the ordinary as to what has been budgeted.

#### Consent Items – agenda items 2 and 3 (Finance and Facilities)

2. Disposition of Surplus Property for Main Campus on list dated 2/14/2014.
3. Approval of Project: Construction Manager at Risk Selection for Chemistry Building renovations (Clark Hall)

**The motion to approve consent agenda items 2 and 3 passed unanimously with a quorum of Regents present and voting (1<sup>st</sup> Koch, 2<sup>nd</sup> Gallegos).**

Action Items – agenda items 4 and 5 (Finance and Facilities)

4. Discussion and Approval of Group Health Insurance Benefits Plan for FY15

Jewel Washington, Mike Duran & Bruce Cherrin gave the presentation. Mike Duran stated that all of the numbers are run through consultants and actuaries and are reviewed by external auditors.

In response to Regent inquiry, the accrued liability is at \$94 million because \$4 million has been contributed to the VEBA. There may be a small adjustment going back up the other way because of trend. I think we are looking at about a 6-7% increase because of trend this year.

EVP Harris would only say that before the Regents authorized the formation of the VEBA last year, our projected liability on our balance sheet was around \$160 million. In forming the VEBA, we have reduced it by \$70 million in one year; our objective is to manage this liability but we have never suggested it would go away entirely. We have to report this on our financials because it is a GASBY rule. GASBY, the Governmental Accounting Standards Board, has adopted policies with respect to future benefits of current employees.

Regent Gallegos stated that he is skeptical as to what has been done to reduce the unfunded liability because we assume for the investment of these funds in which we must reserve the principle a 7% return and instead if you calculate say a more realistic 2-3% return, then the unfunded liability stretches on out there so it is a moving target.

EVP Harris stated that they did go back to the actuaries' and double checked those assumptions after this point was raised. They affirmed their original assumption, but I think just as actuaries do there may be some margin of error there.

Regent Koch stated that the Regents will put this on the table and will discuss this proposal during Executive Session.

President Frank stated that the decision to create the VEBA was foresighted by the Regents in response to federal government regulations. The Regents had made a very important decision to protect the future of the University. It was a tough decision and we are now struggling with some consequences of it, and we are doing our best to work through all of the details.

Bruce Cherrin reported that they went out to bid for Medical Providers and put out RFP #1645. There were 5 respondents to the RFP. The recommendation is for UNM HSC, Presbyterian, and Blue Cross Blue Shield.

Regent Gallegos stated that the financial statements affect whether we can borrow and how much it costs to incur debt. Booking large liabilities can have serious consequences. The intent was not to single out retirees but to look at the whole financial picture of the University.

**The motion to table the approval of Group Health Insurance Benefits Plan for FY15 and discuss further in Executive Session passed unanimously with a quorum of Regents present and voting (1<sup>st</sup> Koch, 2<sup>nd</sup> Gallegos).**

5. UNM Administrative Levels of Authority in the Ordinary Course of Business: Proposed Update of Approval Thresholds.

Ava Lovell gave the presentation. Requesting Regents' approval of the UNM Administrative Levels of Authority. This proposal started a year and a half ago and has been refined many times. At the F&F meeting, Regent Gallegos made a good suggestion that was taken to the HSC Board and approved there. The suggestion was to simplify what we bring to the Board of Regents for approval, bring anything over a million dollars, except if the statute is required by HED or SBOF or if it is a financial and legal settlement.

Regent Hosmer made a suggestion that the Administration come back to Regents in a year with their recommendation for any further refinements after some experience operating under these decisions.

**The motion to approve the proposed update of approval thresholds passed unanimously with a quorum of Regents present and voting (1<sup>st</sup> Gallegos, 2<sup>nd</sup> Hosmer).**

Information Items – (Finance and Facilities)

6. Presentation of FY15 Athletic Department Budget

Paul Krebs gave the presentation. Information was presented to the Regents on the FY15 Athletics Department Budget. The mission statement, core values, and goals were discussed. They are in the final year of a six-year strategic plan. The number one goal was academic success and they have made real accomplishments. There are 38 student athletes who have a 4.0 GPA or better and the graduation rate is at 55% compared to the student body at 45%. For the Learfield Sports Cup UNM ranks 17<sup>th</sup> which may increase with Basketball and Skiing results. The revenues and expenditures were discussed, including ticket sales at \$7.1 million. Student Fees make up 13% of the budget and State Funding makes up 8% of the budget which is less than NMSU. Fundraising makes up about \$9.5 million and they are hoping to close on 2 major gifts. Personnel costs and grant in aid make up most of the expenditures. A major goal of Athletics is to get more students into the football games as there is a great turnout at the student tailgates.

VP Krebs stated that the additional support from student fees has risen from \$1.8 million to \$4 million and Athletics has had great conversations with the Student Fee Review Board. We have 21 varsity sports outside of the Air Force Academy, the largest athletic program and we have over 450 student athletes in our program.

Regent Koch stated that before VP Krebs came on, UNM was about to lose scholarships in Football, Basketball, and Baseball because the problems in academics. He has really increased the academic success. Also, if you take a look at the student fees and state funding, you will notice of all the conference, we are at the bottom. And we are operating a program that is so successful in athletics so when you take a look at that and see the level of state funds that our competition has, we are right at the bottom.

President Frank commended VP Krebs on the success of the Athletic Program, specifically the academic improvement. There is also a vast number of international student athletes which is good for our school. The Athletics program is great for our University because it brings positive publicity and even Governor Martinez has attended Men's Basketball games which engages her with UNM.

Heidi Overton thanked Mr. Krebs for the quality of his presentation and the quality the Athletics program, specifically regarding academics.

Bradley Hosmer drew attention to the graduation rate as a proxy for how effective the Athletic program is and how well integrated it is into the University's objective.

7. Contract information: President's Office – CIRRA, Inc.

Bruce Cherrin was not present to present. Regent Koch stated that Information Item 7 is a contract with the President's office and Cirra, Inc. for \$285,075.

8. Office of Capital Projects Executive Summary Report

Chris Vallejos gave the presentation. Information was provided on the UNM Capital Projects Executive Summary Report. Currently there are 13 major capital projects going on at the University of New Mexico. There are two in programming, eight in design, and three in construction. One project has been put into yellow status, the McKinnon Family Tennis Center due to the temperature not being at 60 degrees to put the final coat on the tennis center. They are on target to complete the final coat in the next few weeks at temperatures rise. In response to Regent inquiry, the UNM Los Alamos Branch Campus Science Labs project is no longer in yellow status as it has received approval from HED and is moving forward.

9. UNM Regents' Endowment Fund Portfolio

Leslie Boni gave the presentation. Information was provided to the Regents on the UNM Regents' Endowment Fund Portfolio. Ms. Boni is pleased to report that for the quarter ending December 31, 2013, the Regents' Portfolio is up 9.7%, and for the first half of FY2014 is up 16.2%. It has been a great time to be in stocks and she thanked the Board for their support. Ms. Boni mentioned that a number of her students are athletes and Carolyn Durbine, a former Women's Basketball player who recently graduated, recommended one of their

best positions. There are a lot of international students, many skiers. This program was established in 2006 and many were involved included EVP Harris and Doug Brown as well as the Regents.

#### 10. Progress Report on Guaranteed Tuition Model

Terry Babbitt gave the presentation. Information was provided to the Regents on the progress of the Guaranteed Tuition Model. This is an initiative from the Tuition and Fee Policy committee in which Mr. Babbitt, Andrew Cullen and Greg Heileman are co-chairs. The committee was tasked to develop a proposal for guaranteed tuition. Several states have this type of model mandatory by legislation and some have a voluntary model. The item before the Regents is a voluntary model in which a student would choose to opt in with a 10% surcharge and they would have the same tuition and fee rate locked in for 4 years. It is contingent on the student graduating in 4 years. Per Regent Koch's request at the F&F meeting, they consulted with the Controller's and Bursars Offices on the expenses of administering the program. Roughly, the estimate is about 1½ FTE which is around \$75K administrative cost. It is not just for one program, but for all of the tuition structures together to make the tuition rules, develop registration rules, and attributes that would identify those students. It may also include a provision that if the individual who selects the volunteered guaranteed tuition in the end pays more than a cohort who went the traditional route, the difference would be refunded. It would not be considered an expense because it would be reimbursed from the student's tuition.

- Regent Fortner asked President Frank and EVP Harris to prepare a budget with two scenarios, one with a 0% tuition increase and one with a 1% tuition increase.

#### HEALTH SCIENCES BOARD, Regent Suzanne Quillen, Chair

##### Action Item – agenda items 1 and 2 (Health Sciences Board)

#### 1. Approval of 2004 Series Bond Resolution.

Steve McKernan gave the presentation. Requesting Regents' approval of the 2004 Series Bond Resolution. In the Regents' packet is a resolution recommendation that this resolution passed that would allow for the pre-funding of the 2004 Bond Issue that financed the Richardson Pavilion at UNMH. Under the official statement, the first date that we could allow for pre-funding of that Bond issue would be on July 1, 2014. One of the requirements in the official statements and the covenants and the indenture is that the Regents' would need to pass a resolution to authorize that to occur. This will give the Regents' and the University ultimate flexibility as it relates to that bond issue and if there were a situation and a condition that existed where it was optimal for the financing of the Hospital to do some pre-funding then we would have that availability. They have run an initial set of pro-formas and it looks very positive principally because it keeps the Hospital's investment in reserves.

**The motion to approve the 2004 Series Bond Resolution passed unanimously with a quorum of Regents present and voting (1<sup>st</sup> Fortner, 2<sup>nd</sup> Hosmer).**

#### 2. Approval of Contracts:

- a. UNM Hospital Contract with Image Stream Medical, Inc.
- b. UNM Hospital Contract with J.M. Smith Corporation, dba QS/1 Data Systems

Steve McKernan gave the presentation. Requesting Regents' approval of two contracts, Image Stream Medical and J M Smith Corporation. These were brought before the HSC Board and recommended for approval. The first item is related to a video imaging system that would be in the Pavilion Operating Rooms which allows for merging of different types of images from X-rays, MRI's. It also allows for somebody outside the operating room to consult on a patient in the operating room without their need to gown. This is the state of the art in all operating rooms in the United States. We have a current package which is dated and does not operate well. This would bring us up to date on that.

The second item is for new outpatient pharmacy information system. We have a very active outpatient pharmacy over at 1209 University where we see in excess of 1000 patients a day, and distribute over 3000 scripts per day. The current software is old and has had a number of comments from Internal Audit about

the insufficiency of the current system and that we need to bring this up to snuff to make sure that we have good compliance in internal controls.

**The motion to approve the UNM Hospital contract with Image Stream Medical, Inc. and the contract with J.m. Smith Corporation passed unanimously with a quorum of Regents present and voting (1<sup>st</sup> James, 2<sup>nd</sup> Overton).**

Information Item – agenda items 3 and 4 (Health Sciences Board)

3. UNM Hospital Surplus Property Disposition

Regent Quillen stated this item will come to the Board of Regents for approval in April.

4. HSC Financial Update.

Ava Lovell gave the presentation. An update was provided to the Regents on the HSC Financials through January 31, 2014. Ms. Lovell discussed highlights and stated that all spring tuition has posted, which leaves the academic side at \$9.7 million favorable. For Cash from Operations, the Medical Group is favorable \$4 million, UNMH is favorable almost \$20 million, but depreciation will bring it down, and SRMC is at \$109K favorable. Operating Net Margins are all favorable except for SRMC which is still carrying an unfavorable balance from its first quarter. All of the other stats are going in the right direction, except for our Uncompensated Care which we have not seen anything go down yet, but it is only one month into the ACA. Otherwise we have very favorable results of operations at the end of January 31, 2014. In response to Regent inquiry, the bed occupancy rate at SRMC is in the 60-65% occupancy ration right now but that number is growing and there has been an increase in surgeries. The demographics are showing significant access to people of Sandoval County who previously had not been served. There is a tremendous latent demand in the community for treatment for people who didn't believe they had prior access to these services.

ACADEMIC/STUDENT AFFAIRS AND RESEARCH COMMITTEE, Regent Bradley Hosmer, Chair

1. Update from the Provost.

Provost Abdallah provided an update on Academic Affairs. Joe Checci was introduced as the new Dean for the College of Engineering. There are three searches underway for Deans of the College of Education, Anderson School of Management and University Libraries.

UNM has put out one MOOC, Massively Open Online Course, and there are currently 10,000 students registered and growing. These are students from all over the world who are registering for a course that is given by one UNM faculty, it will help us in getting our name out there. Soon we will be able to attract some of those students to continue or to get UNM credit.

Provost Abdallah applauded VP Krebs on his successful Athletics Program and positive improvements in academics. If the University had the same ratios that Athletics has, the graduation rates would be at 55-60%. He urges the Regents to look at the value and return on investment rather than immediate costs. If we had done the same thing for athletics, athletics would still be having a very low graduation rate but instead you are wise enough to look at the long term. Our graduation rate is up 2%, which is 65% more degrees. As you help in finalizing our budget, he urges the Regents to look at the long term and the investments and continue in supporting the academic mission.

Provost Abdallah stated that the ratio of students to advisors at UNM is about 800 to 1. They are working on rearranging and will hopefully hire 4 more this year. The ratio should really be at 350 students to 1 advisor. We need about 7 and we had a plan every year, the academic plan, that actually requested 4 per year and we have 2 more years into that plan. This coming year our request was for 4 more and I believe we have 3 more for next year and I believe we will be at the right level and place. Because if you took the average across campus it's misleading, most of the students are at the University College where we have the worst averages. Meanwhile we have colleges or Departments who have a very small, very low average 100 to 150. 350 is the norm and that is what we are shooting for but we also need to do it not just as an average but within each college and program. Since President Frank came along they have added a lot of investments including

tracking software and more staff. The number of credit hours has also been reduced to 120 credit hours. We have the 15 credit hours now, which allows our students to go through the system. Regent James stated that the two-tiered approach of having both professors and advisors is beneficial to our students.

Regent Overton stated that she supports the Provost's Academic Plan but would like the Administration to look for efficiencies and options within the budget where we can support the plan.

Regent Gallegos commended the Provost's office in developing guidelines, now there is a system where there used to be no system.

Action Items – agenda items 2, 3, 4, 5 and 6 (ASAR)

2. Approval of C969 – New Bookkeeping Certificate-Gallup

Coleen Arviso gave the presentation. Requesting Regents' approval for the C969-New Bookkeeping Certificate at UNM Gallup Branch. At UNM Gallup, they have been working diligently in updating the curriculum to address student, local business, and community needs. This certificate ties into the Associate of Arts in Business Administration, which also articulates to the UNM Anderson School of Management Bachelor of Business Administration. Our campus has budgeted for this new certificate and Tim Martin, UNM Gallup Business Operations Director, created the potential budget and has been active in our curriculum discussions.

**The motion to approve the C969 New Bookkeeping Certificate for UNM Gallup passed unanimously with a quorum of Regents present and voting (1<sup>st</sup> Hosmer, 2<sup>nd</sup> James).**

3. Approval of Form D-Professional Practice Doctoral Degree in Occupational Therapy (OTD)

Item 3 was stricken from the agenda.

4. AIMS West Side Needs Assessment, and Proposal

Dr. Wynn Goering and Kathy Sandoval gave the presentation. Requesting Regents' approval of the AIMS West Side Needs Assessment and Proposal. Dr. Goering presented a status report for UNM West. Kathy Sandoval commented that AIMS is in a partnership with UNM, this is a positive thing for UNM because the students already have a vested interest in the University as 80% of the students go to UNM after AIMS. STEM Education was discussed.

Regent Overton thanked them for their work; she is happy to support year one and is looking forward to the next steps.

Senator Ivey-Soto commented that this is a great collaboration. AIMS is positive part of the community and the increased dual enrollment will occur in Sandoval County. He is impressed with the efficiency in operations of UNM and this is part of that collaboration toward excellence.

Regent Hosmer moved a variant motion that the Regents support in principle a partnership of AIMS and UNM West, and requested that a detailed proposal be brought forth to the full Board of Regents in May 2014.

**Motion to approve Regents supporting in principle a partnership between AIMS and UNM West, including a one-year transition starting Fall 2014, in which AIMS is hosted at UNM West, with a detailed proposal to be provided in May 2014 -- the purpose of this support is to provide two months for AIMS and UNM to develop a plan to provide space for AIMS after one year, to fill in details of how this partnership would work, and to assure that AIMS will be welcome in Rio Ranch, passed unanimously with a quorum of Regents present and voting (1<sup>st</sup> Hosmer, 2<sup>nd</sup> James).**

5. Approval of Retiree Association Memorandum of Understanding

Regent Hosmer gave the presentation. Regents' approval was requested for the Retiree Association Memorandum of Understanding. This is a standard MOU between the Retiree Association and the University. President Frank stated that in spirit he supports both proposals. However, he is not in favor of "shared

governance" and would like the wording to be sharper. Regent Gallegos suggested that the MOUs be amended to strike the words "to participate in shared governance and" from section E in the MOU.

**Motion to approve the Retiree Association memorandum of understanding with the amendment to strike, "to participate in shared governance and," from section E. of the document passed unanimously with a quorum of Regents present and voting (1<sup>st</sup> Gallegos, 2<sup>nd</sup> Overton).**

6. Approval of Parent Association Memorandum of Understanding

Regent Hosmer gave the presentation. Regents' approval was requested for the Parent Association Memorandum of Understanding. This is a standard MOU between the Parent Association and the University. President Frank stated that in spirit he supports both proposals. However, he is not in favor of "shared governance" and would like the wording to be sharper. Regent Gallegos suggested that the MOUs be amended to strike the words "to participate in shared governance and" from section E in the MOU.

**Motion to approve the Parent Association memorandum of understanding with the amendment to strike, "to participate in shared governance and," from section E. of the document passed unanimously with a quorum of Regents present and voting (1<sup>st</sup> Gallegos, 2<sup>nd</sup> Overton).**

Information Items – agenda items 7 and 8 (ASAR)

7. STEM Objectives and Outcomes

Tim Schroeder gave the presentation. Information was provided on the STEM program. The efforts to improve stem gateway program was discussed. There are four major areas including the STEM Course Redesign, Peer Learning Facilitators, Courses and Workshops, and finally, Institutional Research. The UNM Cooperative was also discussed, four major areas including, CNM/UNM Curriculum Agreement, Transfer Initiatives, Institutional Research and Student Educational Leaders were discussed. They are tracking data for these programs to find efficiencies. Students enter the courses by registering; there is a marketing process and partnership. This is a five-year program. Regent Hosmer stated that this program serves UNM well.

8. Remedial Course Replacement – Stretch/Studio and MaLL

Mark Peceny gave the presentation. Information was provided to the Regents on the remedial course replacement including the Stretch/Studio and MaLL. The Stretch/Studio Composition was developed for English to replace remedial courses. MaLL was developed as a self-paced learning lab that has several professors available but no lectures as the students use computers. Math 101, 102, and 103 will replace Math 120, which had a very poor pass rate of 35%. With these programs in place, pass rates for English and Math have increased. It has taken a year to understand how to use the MaLL, but it is being utilized for students to pass the former Math 120. This was an initiative to aid in student success, which has been very effective.

AUDIT COMMITTEE, Regent Gene Gallegos, Chair

Regent Gallegos provided a summary of the February 20, 2014 Audit Committee meeting.

COMMENTS FROM REGENTS' ADVISORS

Alumni Association, President Randy Roister. President Roister provided an update to the Regents on current Alumni Association initiatives.

UNM Foundation, President and CEO Henry Nemcik for Chair Carl Alongi. Mr. Nemcik provided information on the UNM Foundation, which was also included in the BOR e-book.

Faculty Senate, President Richard Holder. Dr. Holder presented an update to the Regents on Faculty Senate initiatives. With the upcoming Budget Summit, he advocated compensation increases for Faculty.

Staff Council, President Gene Henley. President Henley presented an update to the Regents on Staff Council initiatives. With the upcoming Budget Summit, he advocated compensation increases for Staff.

PUBLIC COMMENT (there was no public comment during this time)

VOTE TO CLOSE THE MEETING AND TO PROCEED IN EXECUTIVE SESSION

**Motion to close the meeting and proceed into Executive Session passed unanimously with a quorum of Regents present and voting (1<sup>st</sup> Koch, 2<sup>nd</sup> Gallegos). The meeting closed at 12:32 a.m.**

Executive Session 12:33 a.m. –2:00 p.m. in the Cherry Silver Room

1. Discussion of purchases exceeding \$2,500 that can be made from only one source, and competitive sealed proposals solicited pursuant to the Procurement Code [13-1-28 NMSA 1978], pursuant to Section 10-15-1.H(6), NMSA (1978).
2. Discussion and determination where appropriate of the purchase, acquisition or disposal of real property pursuant to Section 10-15-1.H (8), NMSA (1978)
3. Discussion and determination where appropriate of threatened or pending litigation pursuant to Section 10-15-1.H (7), NMSA (1978).
4. Discussion and determination where appropriate of limited personnel matters pursuant to Section 10-15-1.H (2), NMSA (1978).

**Motion to open the meeting passed unanimously, there was certification that only those matters described in the Executive Session agenda were discussed in closed session, and there was one item upon which to take final action in open session (1<sup>st</sup> Quillen, 2<sup>nd</sup> Overton).**

**The meeting opened at 2:01 p.m.**

**Motion to approve the selection by Human Resources of the three claims administrators passed unanimously with a quorum of Regents present and voting (1<sup>st</sup> Gallegos, 2<sup>nd</sup> James).**

VOTE TO ADJOURN

**Motion to adjourn the meeting passed unanimously (1<sup>st</sup> Quillen, 2<sup>nd</sup> Overton).**

**The meeting adjourned at 2:07 p.m.**

**Approved:**

**Attest:**

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**Jack L. Fortner, President**

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**Bradley C. Hosmer, Secretary/Treasurer**

## President's Administrative Report

(will be presented at the meeting)



THE UNIVERSITY *of*  
NEW MEXICO

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University Controller  
Financial Services, Main Campus  
Phone: (505) 277-5111  
FAX: (505) 277-7662

**MEMORANDUM**

**DATE:** March 19, 2014

**TO:** David W. Harris  
Executive Vice President

**FROM:** Elizabeth Metzger, CPA  
University Controller 

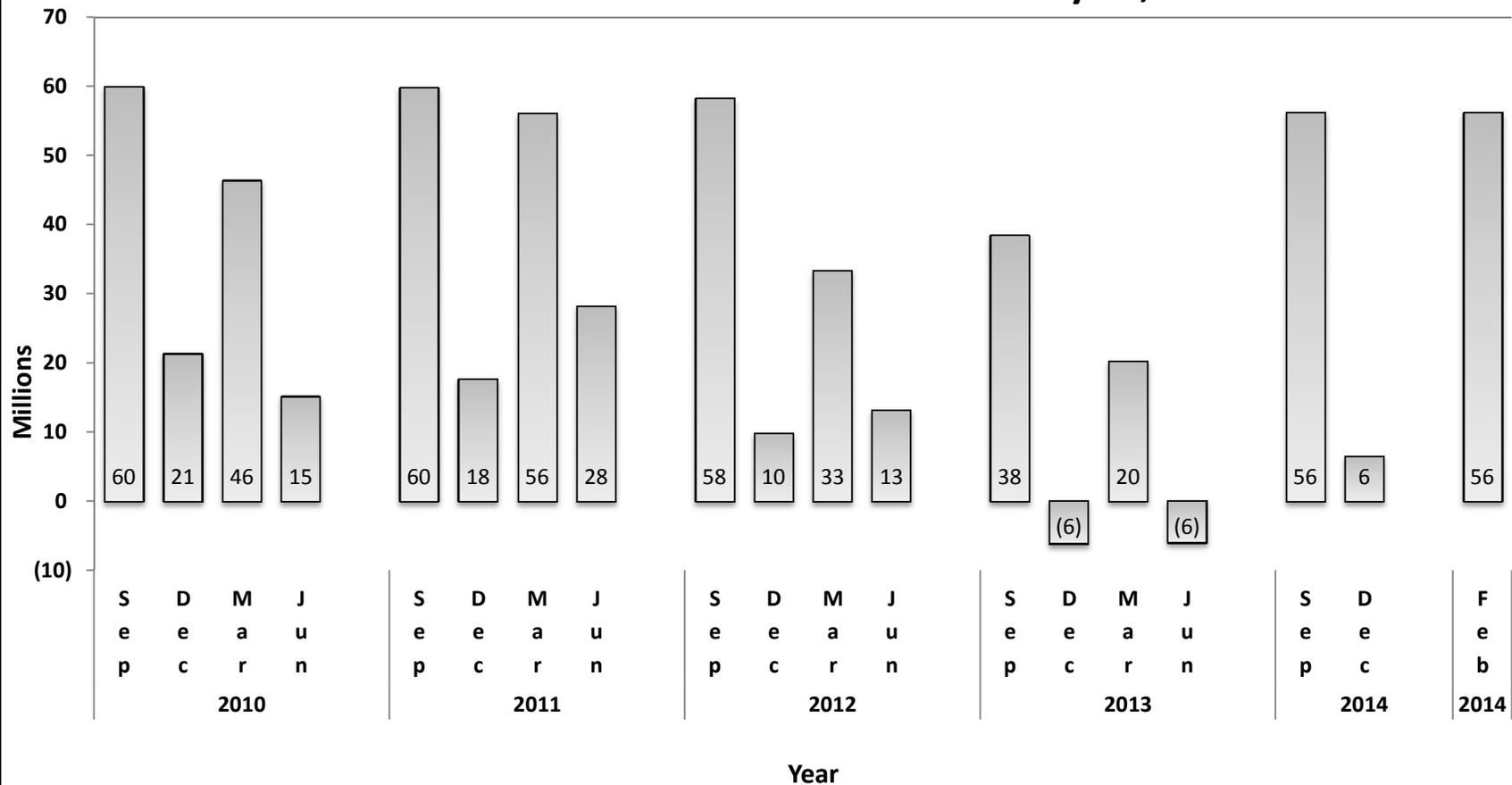
**RE:** Information Item for Board of Regents' Finance & Facilities Committee Meeting

The Monthly Consolidated Financial Report for the month ended February 28, 2014 will be presented at the *April 5, 2014* Finance and Facilities meeting. In order to present the most-timely financial information at this meeting, Financial Reports will be emailed to the committee members no less than 48 hours in advance of the meeting. Handouts will be available the day of the meeting.



# THE UNIVERSITY of NEW MEXICO

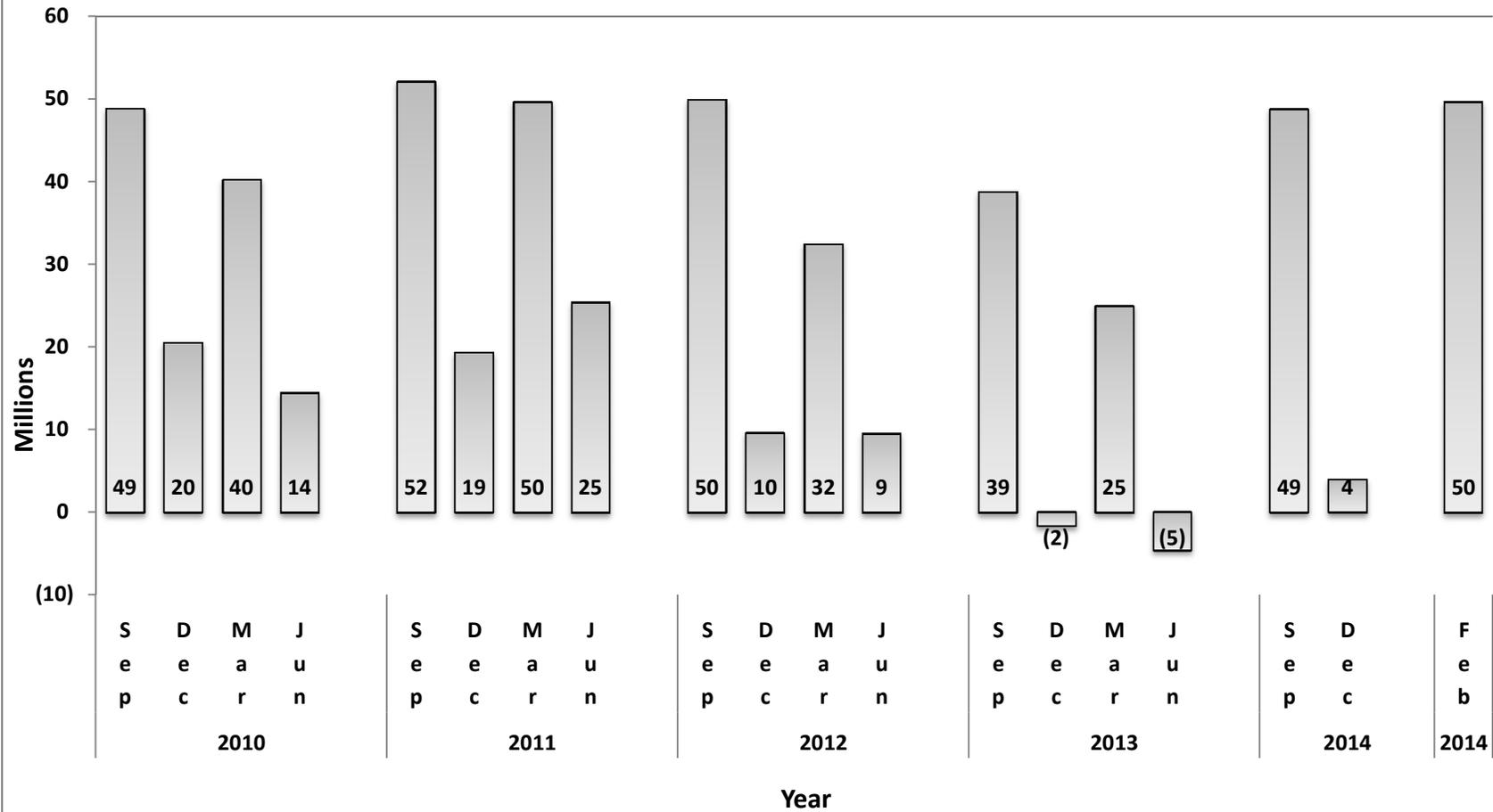
## CONSOLIDATED Total Operations - 5 Year Net Revenue / (Expense) Fiscal Year to Date as of February 28, 2014





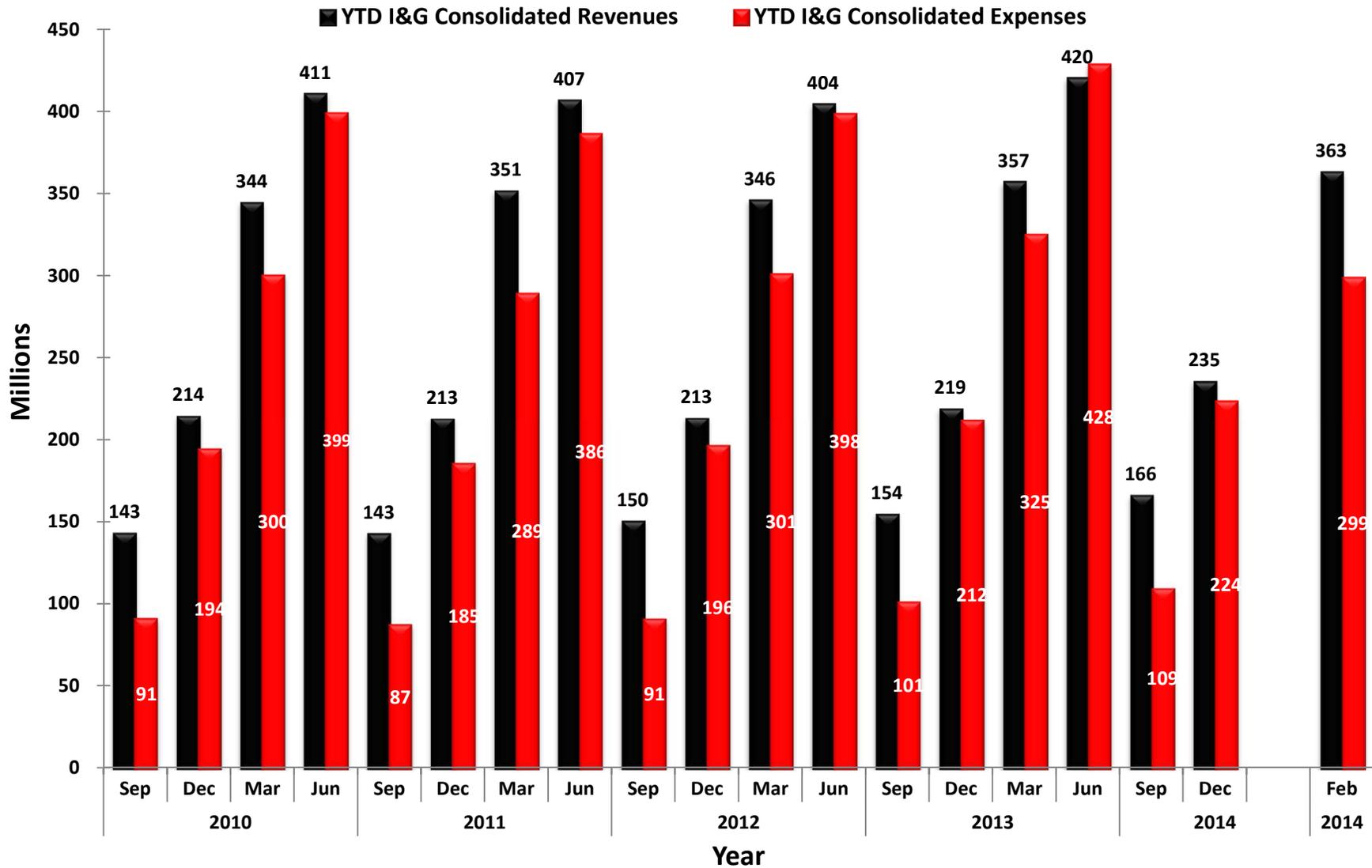
# THE UNIVERSITY of NEW MEXICO

## MAIN CAMPUS Operations - 5 Year Net Revenue / (Expense) Fiscal Year to Date as of February 28, 2014





## YTD I&G Consolidated Revenues / Expenses (5 Year) Fiscal Year to Date as of February 28, 2014



## **Executive Budget Summary**

### **University of New Mexico Consolidated Financial Report**

### **FY 2014 UNM Operating Budget**

This report covers current fund operations for the University, including Main Campus, Branch Campuses and HSC Campus.

**Instruction and General** operations (approximately 90% of this operation resides on Main Campus) projected a use of prior year balances of \$12.2M for the FY 2014 UNM Operating Budget. This \$12.2M use of balance is comprised of a \$11.7M use of balance at the Main Campus, a \$489K use of balance at the Branch Campuses and a use of balance at the HSC Campus of \$21K. The \$11.7M use of balance at Main Campus is primarily due to \$3.0M of one-time monies funding the I&G Budget, Administrative units budgeting approximately \$1.5M of prior year balances and Academic Affairs budgeting approximately \$7.2M of prior year balances.

The next block of information shows our **Unrestricted Research** operations. The activity in these operations is essentially 50% Main Campus and 50% HSC Campus. The FY 2014 UNM Operating Budget showed a use of prior year balances of \$10.6M, of which a \$5.6M use of prior year balance is related to Main Campus and a \$5.0M use of prior year balance is related to HSC Campus. The \$5.6M use of balance at Main Campus is primarily due to Academic Affairs departments budgeting prior year balances. At the HSC Campus approximately \$203K of balances were budgeted by SOM Departments to support Chairs' Letter of Offer packages. The College of Pharmacy budgeted \$348K for faculty bridge funding and faculty start-up funding. Additionally, at the HSC \$4.3M is budgeted for various capital projects during FY 2014.

The third business category shown on the first page of this report is **Unrestricted Public Service**. The operations in this category include special projects funded by State Appropriations, for example Project ECHO, and non-endowed gifts flowing from the UNM Foundation to departments and many small events funded by user fees. The FY 2014 UNM Operating Budget projected a favorable net margin of \$1.5M. This favorable budgeted net margin is comprised of a \$3.9M use of prior year balances at the Main and Branch Campuses and a favorable net margin of \$5.4M at the HSC Campus. The \$3.9M use of balance at the Main and Branch Campuses is primarily due to Academic Affairs departments budgeting prior year balances. There are \$3.4M of budgeted prior year balances within non-endowed spending indices and approximately \$500K of budgeted prior year balances within Academic Affairs General Public Service indices. The favorable net margin budgeted at the HSC Campus is primarily due to Patient Revenues.

Page 2 of this report begins with the **Student Aid** function. The FY 2014 UNM Operating Budget projected a use of prior year balances of \$8.8M. This use of prior year balances is comprised of a \$7.0M use of balance at the Main and Branch Campuses and a budgeted use of balance of \$1.8M at the HSC Campus. The budgeted use of balance at Main Campus is primarily due to Academic Affairs departments and Enrollment Management budgeting prior year balances within endowed spending indices for the payout of major and departmental scholarships in the new fiscal year.

**Student Activities** are the operations of Student Government and Student organizations. The FY 2014 UNM Operating Budget shows a use of prior year balances of \$122K.

### **Auxiliaries and Athletics**

The FY 2014 UNM Operating Budget for Auxiliaries and Athletics projected a use of prior year balances of \$237K. This use of balance is primarily due to a combination of Athletics budgeting a \$65K use of balance, AVP Ops/Student Life budgeting a use of balance of \$131K and the Branch Campuses budgeting a use of balance of \$41K.

**Sponsored programs** operations are our contract and grant research and public service projects funded by outside agencies and companies. These operations will always record a zero net revenue over expense by the nature of their funding. The agency pays for a service or research project, and normally any unspent funds must be returned to the agency.

The next block of numbers on the third page is a summary of our **Clinical** operations. These operations are essentially all the patient care activities of the HSC Campus, including the UNM Hospitals, SOM physician professional services, Cancer Center operations and Housestaff/Medical Residents who are being trained in the UNM and VA hospitals. The FY 2014 UNM Operating Budget projected a use of prior year balances of \$8.1M. UNM Hospitals budgeted a favorable net margin of \$13K. The major factor contributing to this use of balance is the School of Medicine budgeting a use of balance of \$8.1M for FY 2014. The budgeted use of balance is primarily due to the SOM Chair Packages for FY 2014.

Statements of Revenues, Expenses and Changes in Net Position Format for Regents  
For the eight month period ended February 28, 2014  
Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2014 Full Year Operating Budget	FY 2014 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 67%	FY 2013 Year-to-Date Actual	FY 2014 YTD Actual Change From FY 2013 YTD Actual
Instruction and General						
Tuition and Fees Revenues						
Main Campus	153,710,683	154,656,769	946,086	101%	146,375,904	8,280,865
Branch Campuses	8,328,453	7,952,066	(376,387)	95%	8,464,126	(512,060)
HSC Campus	13,605,343	14,302,843	697,500	105%	12,706,514	1,596,329
Total Tuition and Fees Revenues	175,644,479	176,911,678	1,267,199	101%	167,546,544	9,365,134
State/Local Appropriations	271,068,741	180,868,362	(90,200,379)	67%	172,589,288	8,279,074
F & A Revenues	40,300,000	28,226,377	(12,073,623)	70%	27,376,924	849,453
Transfers	(49,037,357)	(38,367,165)	10,670,192	78%	(41,073,938)	2,706,773
Other Revenues	18,019,852	15,347,454	(2,672,398)	85%	14,433,596	913,858
Total Instruction and General Revenues	455,995,715	362,986,706	(93,009,009)	80%	340,872,414	22,114,292
Salaries	280,556,884	187,605,069	92,951,815	67%	182,523,073	(5,081,996)
Benefits	92,631,618	57,608,389	35,023,229	62%	53,283,532	(4,324,857)
Other Expenses	95,042,322	53,486,036	41,556,286	56%	53,452,017	(34,019)
Total Instruction and General Expenses	468,230,824	298,699,494	169,531,330	64%	289,258,622	(9,440,872)
Net Instruction and General Revenue/(Expense)	(12,235,109)	64,287,212	76,522,321		51,613,792	12,673,420
Research						
State/Local Appropriations	10,292,896	7,499,519	(2,793,377)	73%	7,410,518	89,001
Transfers	25,678,299	12,663,524	(13,014,775)	49%	17,252,186	(4,588,662)
Other Revenues	4,237,463	1,521,235	(2,716,228)	36%	1,502,237	18,998
Total Research Revenues	40,208,658	21,684,278	(18,524,380)	54%	26,164,941	(4,480,663)
Salaries and Benefits	28,682,475	15,605,186	13,077,289	54%	16,042,057	436,871
Other Expenses	22,131,976	11,640,948	10,491,028	53%	11,139,423	(501,525)
Total Research Expenses	50,814,451	27,246,134	23,568,317	54%	27,181,480	(64,654)
Net Research Revenue/(Expense)	(10,605,793)	(5,561,856)	5,043,937		(1,016,539)	(4,545,317)
Public Service						
State/Local Appropriations	3,667,844	2,445,726	(1,222,118)	67%	2,333,968	111,758
Sales and Services Revenues	16,130,010	10,091,898	(6,038,112)	63%	10,493,805	(401,907)
Gifts	8,296,995	7,145,145	(1,151,850)	86%	7,590,895	(445,750)
Transfers	2,082,045	1,721,185	(360,860)	83%	180,123	1,541,062
Other Revenues	5,305,384	4,243,267	(1,062,117)	80%	3,240,552	1,002,715
Total Public Service Revenues	35,482,278	25,647,221	(9,835,057)	72%	23,839,343	1,807,878
Salaries and Benefits	18,400,745	13,965,026	4,435,719	76%	13,457,331	(507,695)
Other Expenses	22,464,594	12,853,614	9,610,980	57%	10,239,572	(2,614,042)
Total Public Service Expenses	40,865,339	26,818,640	14,046,699	66%	23,696,903	(3,121,737)
Net Public Service Revenue/(Expense)	(5,383,061)	(1,171,419)	4,211,642		142,440	(1,313,859)

Statements of Revenues, Expenses and Changes in Net Position Format for Regents  
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University of New Mexico - Consolidated Total Operations Current Funds

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<b>Student Aid</b>						
Gifts	3,898,881	3,083,611	(815,270)	79%	3,478,559	(394,948)
State Lottery Scholarship	37,485,948	37,485,948	-	100%	31,861,170	5,624,778
Transfers	15,276,031	9,956,627	(5,319,404)	65%	13,528,633	(3,572,006)
Other Revenues	1,257,262	1,639,394	382,132	130%	250,835	1,388,559
Total Student Aid Revenues	57,918,122	52,165,580	(5,752,542)	90%	49,119,197	3,046,383
Salaries and Benefits	3,659,692	3,130,032	529,660	86%	2,858,192	(271,840)
Other Expenses	63,022,380	58,054,809	4,967,571	92%	52,772,113	(5,282,696)
Total Student Aid Expenses	66,682,072	61,184,841	5,497,231	92%	55,630,305	(5,554,536)
Net Student Aid Revenue/(Expense)	(8,763,950)	(9,019,261)	(255,311)		(6,511,108)	(2,508,153)
<b>Student Activities</b>						
Fee Revenues	6,266,414	5,429,146	(837,268)	87%	4,645,458	783,688
Sales and Services Revenues	1,448,714	1,158,199	(290,515)	80%	1,251,742	(93,543)
Transfers	458,483	352,998	(105,485)	77%	712,555	(359,557)
Other Revenues	74,024	66,629	(7,395)	90%	96,607	(29,978)
Total Student Activities Revenues	8,247,635	7,006,972	(1,240,663)	85%	6,706,362	300,610
Salaries and Benefits	4,185,076	2,880,856	1,304,220	69%	2,951,075	70,219
Other Expenses	4,184,468	2,501,142	1,683,326	60%	2,556,512	55,370
Total Student Activities Expenses	8,369,544	5,381,998	2,987,546	64%	5,507,587	125,589
Net Student Activities Revenue/(Expense)	(121,909)	1,624,974	1,746,883		1,198,775	426,199
<b>Auxiliaries and Athletics</b>						
Branch Campuses Auxiliary Revenues	2,582,001	1,899,026	(682,975)	74%	2,304,864	(405,838)
Main Campus Auxiliaries Revenues	53,874,662	42,692,190	(11,182,472)	79%	45,908,085	(3,215,895)
Athletics Revenues	31,193,563	24,512,308	(6,681,255)	79%	23,407,787	1,104,521
Total Auxiliaries and Athletics Revenues	87,650,226	69,103,524	(18,546,702)	79%	71,620,736	(2,517,212)
Branch Campuses Auxiliary Expenses	2,623,366	1,732,740	890,626	66%	2,082,750	350,010
Main Campus Auxiliaries Expenses	54,005,162	34,449,116	19,556,046	64%	40,506,937	6,057,821
Athletics Expenses	31,258,763	24,562,533	6,696,230	79%	24,496,047	(66,486)
Total Auxiliaries and Athletics Expenses	87,887,291	60,744,389	27,142,902	69%	67,085,734	6,341,345
Net Auxiliaries and Athletics Revenue/(Expense)	(237,065)	8,359,135	8,596,200		4,535,002	3,824,133

Statements of Revenues, Expenses and Changes in Net Position Format for Regents  
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University of New Mexico - Consolidated Total Operations Current Funds

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<b>Sponsored Programs</b>						
Federal Grants and Contracts Revenues	245,218,468	173,115,937	(72,102,531)	71%	187,131,737	(14,015,800)
State and Local Grants and Contracts Revenues	31,983,112	18,376,373	(13,606,739)	57%	16,273,584	2,102,789
Non-Governmental Grants and Contracts Revenues	29,505,707	21,798,097	(7,707,610)	74%	20,829,060	969,037
Gifts	-	129,161	129,161	N/A	209,840	(80,679)
Transfers	3,740,817	3,242,018	(498,799)	87%	2,970,601	271,417
Other Revenues	4,000	-	(4,000)	0%	-	-
Total Sponsored Programs Revenues	310,452,104	216,661,586	(93,790,518)	70%	227,414,822	(10,753,236)
Salaries and Benefits	144,253,271	87,146,069	57,107,202	60%	85,218,652	(1,927,417)
Other Expenses	166,198,833	129,515,517	36,683,316	78%	142,196,170	12,680,653
Total Sponsored Programs Expenses	310,452,104	216,661,586	93,790,518	70%	227,414,822	10,753,236
Net Sponsored Programs Revenue/(Expense)	-	-	-		-	-
<b>Clinical Operations</b>						
State/Local Appropriations	25,531,808	16,762,208	(8,769,600)	66%	16,261,939	500,269
Physician Professional Fee Revenues	108,032,042	66,603,124	(41,428,918)	62%	65,663,007	940,117
Hospital Facility Revenues	684,748,925	461,451,635	(223,297,290)	67%	424,736,597	36,715,038
Other Patient Revenues, net of Allowance	103,030,503	74,850,507	(28,179,996)	73%	67,017,513	7,832,994
Mil Levy	91,886,992	61,135,876	(30,751,116)	67%	60,651,480	484,396
Investment Income	1,137,891	10,217,807	9,079,916	898%	7,162,707	3,055,100
Gifts	3,435,064	3,024,046	(411,018)	88%	3,056,867	(32,821)
Housestaff Revenues	32,457,691	23,623,544	(8,834,147)	73%	21,412,287	2,211,257
Other Revenues	19,155,866	16,868,478	(2,287,388)	88%	11,094,353	5,774,125
Total Clinical Operations Revenues	1,069,416,782	734,537,225	(334,879,557)	69%	677,056,750	57,480,475
Salaries and Benefits	607,173,020	406,154,199	201,018,821	67%	389,962,226	(16,191,973)
Interest Expense	8,701,516	5,513,254	3,188,262	63%	5,950,654	437,400
Housestaff Expenses	32,457,691	22,894,785	9,562,906	71%	21,470,344	(1,424,441)
Other Expenses	422,389,564	302,334,511	120,055,053	72%	268,827,745	(33,506,766)
Total Clinical Operations Expenses	1,070,721,791	736,896,749	333,825,042	69%	686,210,969	(50,685,780)
Net Clinical Operations Revenue/(Expense)	(1,305,009)	(2,359,524)	(1,054,515)		(9,154,219)	6,794,695
<b>Contingencies</b>						
Total Contingency Revenues	15,130,454	-	(15,130,454)	0%	-	-
Total Contingency Expenses	5,817,161	-	(5,817,161)	0%	-	-
Net Contingencies Revenue/(Expense)	9,313,293	-	(9,313,293)		-	-
<b>Net Current Revenue/(Expense)</b>	<b>(29,338,603)</b>	<b>56,159,261</b>	<b>85,497,864</b>		<b>40,808,143</b>	<b>15,351,118</b>

Statements of Revenues, Expenses and Changes in Net Position Format for Regents  
For the eight month period ended February 28, 2014  
Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2014 Full Year Operating Budget	FY 2014 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 67%	FY 2013 Year-to-Date Actual	FY 2014 YTD Actual Change From FY 2013 YTD Actual
<b>University of New Mexico - Results of Athletics and Auxiliary Operations</b>						
<b>Results of Athletics Operations:</b>						
Athletics Revenues	34,732,446	26,815,271	(7,917,175)	77%	26,984,493	(169,222)
Athletics Transfers	(3,538,883)	(2,302,963)	1,235,920	65%	(3,576,706)	1,273,743
Total Athletics Revenues	31,193,563	24,512,308	(6,681,255)	79%	23,407,787	1,104,521
Athletics Expenses						
Salaries and Benefits	13,671,699	9,695,248	3,976,451	71%	9,764,379	69,131
Grant-in-Aid	3,976,253	3,497,624	478,629	88%	3,228,102	(269,522)
Other Expenses	13,610,811	11,369,661	2,241,150	84%	11,503,566	133,905
Total Athletics Expenses	31,258,763	24,562,533	6,696,230	79%	24,496,047	(66,486)
Total Net Athletics Revenue/(Expense)	(65,200)	(50,225)	14,975		(1,088,260)	1,038,035
<b>Results of Auxiliary Operations:</b>						
<b>VP for Institutional Support Services</b>						
Bookstore Revenues	17,992,468	13,919,069	(4,073,399)	77%	15,657,892	(1,738,823)
Bookstore Transfers	(350,000)	(233,333)	116,667	67%	(196,134)	(37,199)
Total Bookstore Revenues	17,642,468	13,685,736	(3,956,732)	78%	15,461,758	(1,776,022)
Total Bookstore Expenses	17,642,468	12,276,430	5,366,038	70%	13,990,984	1,714,554
Net Bookstore Revenue/(Expense)	-	1,409,306	1,409,306		1,470,774	(61,468)
Faculty & Staff Club Revenues	82,000	67,785	(14,215)	83%	26,881	40,904
Faculty & Staff Club Expenses	82,000	46,362	35,638	57%	20,478	(25,884)
Net Faculty & Staff Club Revenue/(Expense)	-	21,423	21,423		6,403	15,020
Golf Courses Revenues	2,294,163	1,334,653	(959,510)	58%	1,235,968	98,685
Golf Courses Transfers	(39,252)	(25,856)	13,396	66%	(26,168)	312
Total Golf Courses Revenues	2,254,911	1,308,797	(946,114)	58%	1,209,800	98,997
Total Golf Courses Expenses	2,254,911	1,469,109	785,802	65%	1,443,219	(25,890)
Net Golf Courses Revenue/(Expense)	-	(160,312)	(160,312)		(233,419)	73,107
Housing	11,250,500	11,471,531	221,031	102%	10,638,930	832,601
Housing Transfers	(2,485,566)	(2,922,827)	(437,261)	118%	(4,238,726)	1,315,899
Total Housing Revenues	8,764,934	8,548,704	(216,230)	98%	6,400,204	2,148,500
Total Housing Expense	8,764,934	4,971,320	3,793,614	57%	5,424,393	453,073
Net Housing Revenue/(Expense)	-	3,577,384	3,577,384		975,811	2,601,573
Other	950,000	(495,000)	(1,445,000)	-52%	-	(495,000)
Other Transfers	(950,000)	-	950,000	0%	-	-
Total Other Revenues	-	(495,000)	(495,000)	N/A	-	(495,000)
Total Other Expense	-	7,901	(7,901)	N/A	-	(7,901)
Net Other Revenue/(Expense)	-	(502,901)	(502,901)		-	(502,901)

Statements of Revenues, Expenses and Changes in Net Position Format for Regents  
For the eight month period ended February 28, 2014  
Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2014 Full Year Operating Budget	FY 2014 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 67%	FY 2013 Year-to-Date Actual	FY 2014 YTD Actual Change From FY 2013 YTD Actual
Parking and Transportation Revenues	8,442,677	6,910,867	(1,531,810)	82%	6,632,929	277,938
Parking and Trans Transfers	(2,357,537)	(1,269,687)	1,087,850	54%	(1,266,298)	(3,389)
Total Parking and Trans Revenues	6,085,140	5,641,180	(443,960)	93%	5,366,631	274,549
Total Parking and Trans Expenses	6,085,140	3,680,631	2,404,509	60%	3,791,310	110,679
Net Parking and Trans Revenue/(Expense)	-	1,960,549	1,960,549		1,575,321	385,228
Popejoy Events Revenues	4,444,649	2,418,326	(2,026,323)	54%	6,706,367	(4,288,041)
Popejoy Events Transfers	149,730	149,730	-	100%	41,524	108,206
Total Popejoy Events Revenues	4,594,379	2,568,056	(2,026,323)	56%	6,747,891	(4,179,835)
Total Popejoy Events Expenses	4,594,379	2,286,467	2,307,912	50%	6,666,357	4,379,890
Net Popejoy Events Revenue/(Expense)	-	281,589	281,589		81,534	200,055
Taos & Lawrence Ranch Revenues	53,578	-	(53,578)	0%	36,707	(36,707)
Taos & Lawrence Ranch Expenses	53,578	23,592	29,986	44%	22,985	(607)
Net Taos & Lawrence Ranch Revenue/(Expense)	-	(23,592)	(23,592)		13,722	(37,314)
Ticketing Services Revenues	845,295	708,770	(136,525)	84%	846,926	(138,156)
Ticketing Services Transfers	75,348	75,348	-	100%	50,800	24,548
Total Ticketing Services Revenues	920,643	784,118	(136,525)	85%	897,726	(113,608)
Total Ticketing Services Expenses	920,643	636,709	283,934	69%	589,188	(47,521)
Net Ticketing Services Revenue/(Expense)	-	147,409	147,409		308,538	(161,129)
Young Ranch Revenues	-	-	-	N/A	11,802	(11,802)
Young Ranch Expenses	-	-	-	N/A	4,484	4,484
Net Young Ranch Revenue/(Expense)	-	-	-	N/A	7,318	(7,318)
Total VP for Institutional Support Services Revenues	40,398,053	32,109,376	(8,288,677)	79%	36,159,400	(4,050,024)
Total VP for Institutional Support Services Expenses	40,398,053	25,398,521	14,999,532	63%	31,953,398	6,554,877
Net VP for Institutional Support Services Revenue/(Expense)	-	6,710,855	6,710,855		4,206,002	2,504,853
<b>VP for Student Affairs</b>						
AVP Ops/Student Life Revenues	3,311,105	2,406,054	(905,051)	73%	2,626,249	(220,195)
AVP Ops/Student Life Transfers	(127,500)	(312,426)	(184,926)	245%	(455,230)	142,804
Total AVP Ops/Student Life Revenues	3,183,605	2,093,628	(1,089,977)	66%	2,171,019	(77,391)
Total AVP Ops/Student Life Expenses	3,314,105	1,593,968	1,720,137	48%	1,629,665	35,697
Net AVP Ops/Student Life Revenue/(Expense)	(130,500)	499,660	630,160		541,354	(41,694)
Lobo Cash Revenues	33,385	33,127	(258)	99%	28,745	4,382
Lobo Cash Expenses	33,385	36,307	2,922	109%	31,462	(4,845)
Net Lobo Cash Revenue/(Expense)	-	(3,180)	(3,180)		(2,717)	(463)
Student Health Center Revenues	7,465,022	6,104,703	(1,360,319)	82%	5,569,353	535,350
Student Health Center Expenses	7,465,022	5,167,562	2,297,460	69%	4,901,058	(266,504)
Net Student Health Center Revenue/(Expense)	-	937,141	937,141		668,295	268,846
Student Union Revenues	2,537,528	2,173,176	(364,352)	86%	1,819,709	353,467
Student Union Expenses	2,537,528	2,086,653	450,875	82%	1,802,632	(284,021)
Net Student Union Revenue/(Expense)	-	86,523	86,523		17,077	69,446
Total VP for Student Affairs Revenues	13,219,540	10,404,634	(2,814,906)	79%	9,588,826	815,808
Total VP for Student Affairs Expenses	13,350,040	8,884,490	4,471,394	67%	8,364,817	(519,673)
Net VP for Student Affairs Revenue/(Expense)	(130,500)	1,520,144	1,650,644		1,224,009	296,135

Statements of Revenues, Expenses and Changes in Net Position Format for Regents  
For the eight month period ended February 28, 2014  
Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2014 Full Year Operating Budget	FY 2014 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 67%	FY 2013 Year-to-Date Actual	FY 2014 YTD Actual Change From FY 2013 YTD Actual
<b>Provost and Other Units</b>						
Art Museum Revenues	5,500	1,952	(3,548)	35%	922	1,030
Art Museum Expenses	5,500	3,669	1,831	67%	-	(3,669)
Net Art Museum Revenue/(Expense)	-	(1,717)	(1,717)		922	(2,639)
CE Conference Ctr Revenues	200,000	115,222	(84,778)	58%	159,459	(44,237)
CE Conference Ctr Transfers	(43,431)	(8,910)	34,521	21%	(37,531)	28,621
Total CE Conference Ctr Revenues	156,569	106,312	(50,257)	68%	121,928	(15,616)
Total CE Conference Ctr Expenses	156,569	115,042	41,527	73%	145,592	30,550
Net CE Conference Ctr Revenue/(Expense)	-	(8,730)	(8,730)		(23,664)	14,934
Maxwell Museum Revenues	40,000	32,911	(7,089)	82%	26,430	6,481
Maxwell Museum Expenses	40,000	12,509	27,491	31%	10,596	(1,913)
Net Maxwell Museum Revenue/(Expense)	-	20,402	20,402		15,834	4,568
Other Revenues	55,000	37,005	(17,995)	67%	10,579	26,426
Other Expenses	55,000	34,885	20,115	63%	32,534	(2,351)
Net Other Revenue/(Expense)	-	2,120	2,120		(21,955)	24,075
Total Provost and Other Units Revenues	257,069	178,180	(78,889)	69%	159,859	18,321
Total Provost and Other Units Expenses	257,069	166,105	90,964	65%	188,722	22,617
Net Provost and Other Units Revenue/(Expense)	-	12,075	12,075		(28,863)	40,938
<b>Auxiliary Totals</b>						
Total Auxiliary & Concessions Revenues	53,874,662	42,692,190	(11,182,472)	79%	45,908,085	(3,215,895)
Total Auxiliary & Concessions Expenses	54,005,162	34,449,116	19,556,046	64%	40,506,937	6,057,821
Net Auxiliary Revenue/(Expense)	(130,500)	8,243,074	8,373,574		5,401,148	2,841,926
Net Athletics Revenue/(Expense)	(65,200)	(50,225)	14,975		(1,088,260)	1,038,035
Net Auxiliary and Athletics Revenue/(Expense)	(195,700)	8,192,849	8,388,549		4,312,888	3,879,961
Net Branch Campuses Aux Revenue/(Expense)	(41,365)	166,286	207,651		222,114	(55,828)
Net All Auxiliary and Athletics Revenue/(Expense)	(237,065)	8,359,135	8,596,200		4,535,002	3,824,133

UNM Debt Service Schedule

As of February 28, 2014

\*Includes Hospital Debt

UNM Bond Issue	Fixed or Variable Rate Issue	Original Issue Amount	Outstanding Principal Balance on June 30, 2013	Principal Payment due on June 1, 2014	Interest Payment paid on December 1, 2013	Interest Payment due on June 1, 2014	FY 2014 Principal & Interest
Sub Lien System Imp Revenue Bonds (3) <b>Series 2012:</b> Interest Range 2.00% to 5.00% Final Maturity Year 2032	Fixed Rate	\$35,215,000	\$33,200,000	\$1,310,000	\$766,050	\$766,050	\$2,842,100
Sub Lien System Imp Revenue Bonds <b>Series 2007 A&amp;B:</b> Interest Range 4.00% to 5.95% Final Maturity Year 2036	Fixed Rate	\$136,710,000	\$131,620,000	\$1,840,000	\$3,281,441	\$3,281,441	\$8,402,882
Sub Lien System Imp Revenue Bonds <b>Series 2005:</b> Interest Range 3.0% to 5.0% Final Maturity Year 2035	Fixed Rate	\$125,575,000	\$115,950,000	\$2,470,000	\$2,678,626	\$2,678,626	\$7,827,252
(1) FHA Insured Hospital Mortgage Revenue Bonds <b>Series 2004:</b> Interest Range 2.0% to 5.0% Final Maturity Year 2031	Fixed Rate	\$192,250,000	\$164,660,000	\$5,240,000 (\$2,580,000 paid 7/1/2013) (\$2,660,000 paid 1/2/2014)	\$3,963,788 (paid 1/2/2014)	\$4,020,600 (paid 7/1/2013)	\$13,224,387
Sub Lien System Rfdg Revenue Bonds <b>Series 2003 A:</b> Interest Range 2.0% to 5.25% Final Maturity Year 2018	Fixed Rate	\$21,660,000	\$8,480,000	\$1,135,000	\$222,600	\$222,600	\$1,580,200
Sub Lien System Revenue Bonds <b>Series 2003 B&amp;C:</b> Interest Range 1.35% to 5.625% Final Maturity Years B 2024 & C 2033	Fixed Rate	\$11,805,000	\$9,505,000	\$285,000	\$232,954	\$232,955	\$750,909
Sub Lien Sys Rfdg Revenue Bonds (2) <b>Series 2002 B:</b> Variable Rate Demand Bonds - rates reset weekly Weekly rate as of June 30, 2013 was 0.06% Final Maturity Year 2026	Variable Rate	\$25,475,000	\$22,090,000	\$1,490,000	\$420,673	\$423,024	\$2,333,697
Sub Lien System Rfdg Revenue Bonds (2) <b>Series 2002 C:</b> Variable Rate Demand Bonds - rates reset weekly Weekly rate as of June 30, 2013 was 0.06% Final Maturity Year 2030	Variable Rate	\$37,840,000	\$35,190,000	\$875,000	\$689,392	\$693,243	\$2,257,635
Sub Lien System Imp Revenue Bonds (2) <b>Series 2001:</b> Variable Rate Demand Bonds - rates reset weekly Weekly rate as of June 30, 2013 was 0.06% Ceiling of 12% Final Maturity Year 2026	Variable Rate	\$52,625,000	\$35,275,000	\$2,085,000	\$377,757	\$705,500	\$3,168,257
System Revenue Bonds <b>Series 2000B:</b> Interest Range 5.5% to 6.35% Final Maturity Year 2019	Fixed Rate	\$53,231,671	\$2,248,820	\$473,652	\$0	\$641,348	\$1,115,000
System Revenue Rfdg Bonds <b>Series 1992 A:</b> Interest Range 5.6% to 6.25% Final Maturity Year 2021	Fixed Rate	\$36,790,000	\$17,460,000	\$1,840,000	\$523,800	\$523,800	\$2,887,600
<b>Grand Total</b>		<b>\$729,176,671</b>	<b>\$575,678,820</b>	<b>\$19,043,652</b>	<b>\$13,157,080</b>	<b>\$14,189,186</b>	<b>\$46,389,918</b>

Note: See attached matrix for funding sources.

(1) Source: UNM Hospital - Both UNM Hospital Principal and Interest payments are made on July 1st and January 1st.

(2) Variable Rate bonds reflect the actual synthetically fixed interest rate that UNM pays. It is noted that all ranges of interest rates and final maturity dates are reflective of Serial bonds.

(3) Series 2012 bonds refunded 2002A bonds,

## FY14 UNM Debt Service - Source of Funds

As of February 28, 2014

	Series 2012	Series 2007 A&B	Series 2005	Series 2004	Series 2003 B&C	Series 2003 A	Series 2002 C	Series 2002 B	Series 2001	Series 1992
Student Fees- Facility	X	X	X			X	X	X		X
Student Fees - IT	X		X							
Capitalized Interest		X								
Parking Services	X	X	X				X			X
UNM Hospital				X		X		X		X
Bookstore						X	X			X
Housing & Dining Services	X					X	X			
Building R&R	X					X		X		X
Real Estate Department	X	X			X			X		
Physical Plant Department	X	X	X						X	
Telecommunications	X		X							
Athletics		X								
Information & Technology Funds			X				X			
KNME										X
Popejoy Hall							X			
MTTC Bldg.								X		
Opto Bldg (CHTM Res Park)								X		
CRTC								X		
Continuing Education								X		
Equipment R&R			X							
Golf Course - North & South							X			
HSC	X	X								
Interest on Reserve Funds	X					X	X	X		

Statements of Revenues, Expenses and Changes in Net Assets Format for Regents  
For the eight month period ended February 28, 2014  
Preliminary and Unaudited

**Detail of State/Local Appropriations**  
**Consolidated - Total Operations Current Funds**

	FY 2014 Full Year Operating Budget	FY 2014 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 67%
<b>Instruction and General</b>				
Instruction & General Appropriations	261,986,155	174,813,304	(87,172,851)	67%
State Special Project Appropriations	1,055,900	703,933	(351,967)	67%
Tobacco Settlement Appropriations	1,130,600	753,734	(376,866)	67%
Mill Levy	6,896,086	4,597,391	(2,298,695)	67%
Total Instruction and General Appropriations	<u>271,068,741</u>	<u>180,868,362</u>	<u>(90,200,379)</u>	<u>67%</u>
<b>Research</b>				
State Special Project Appropriations	6,086,446	4,065,263	(2,021,183)	67%
Tobacco Settlement Appropriations	979,800	653,200	(326,600)	67%
Cigarette Tax Appropriations	3,226,650	2,781,056	(445,594)	86%
Total Research Appropriations	<u>10,292,896</u>	<u>7,499,519</u>	<u>(2,793,377)</u>	<u>73%</u>
<b>Public Service</b>				
State Special Project Appropriations	3,667,844	2,445,726	(1,222,118)	67%
Total Public Service Appropriations	<u>3,667,844</u>	<u>2,445,726</u>	<u>(1,222,118)</u>	<u>67%</u>
<b>Clinical Operations</b>				
State Special Project Appropriations	24,680,108	16,194,408	(8,485,700)	66%
Tobacco Settlement Appropriations	851,700	567,800	(283,900)	67%
Total Clinical Operations Appropriations	<u>25,531,808</u>	<u>16,762,208</u>	<u>(8,769,600)</u>	<u>66%</u>

Statements of Revenues, Expenses and Changes in Net Assets Format for Regents  
For the eight month period ended February 28, 2014  
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Detail of State/Local Appropriations  
**Main Campus - Total Operations Current Funds**

	FY 2014 Full Year Operating Budget	FY 2014 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 67%
<b>Instruction and General</b>				
Instruction & General Appropriations	180,474,555	120,316,370	(60,158,185)	67%
State Special Project Appropriations				
African American Student Services	22,873	15,248	(7,625)	67%
Disabled Student Services	192,400	128,266	(64,134)	67%
ENLACE	64,329	42,886	(21,443)	67%
Hispanic Student Center	107,143	71,428	(35,715)	67%
Minority Graduate Recruitment	117,646	78,431	(39,215)	67%
Native American Studies Intervention	354,047	236,032	(118,015)	67%
Pre-College Minority Student Math & Science	197,462	131,642	(65,820)	67%
Total State Special Project Appropriations	<u>1,055,900</u>	<u>703,933</u>	<u>(351,967)</u>	<u>67%</u>
Total Instruction and General Appropriations	<u>181,530,455</u>	<u>121,020,303</u>	<u>(60,510,152)</u>	<u>67%</u>
<b>Research</b>				
State Special Project Appropriations				
Center for Regional Studies (SW Research Ctr)	977,850	651,900	(325,950)	67%
Manufacturing Engineering	556,900	371,267	(185,633)	67%
Morrisey Hall	47,500	31,667	(15,833)	67%
Resource Geographic Information System	65,400	43,600	(21,800)	67%
Utton Transboundary Resource Center	292,400	194,933	(97,467)	67%
Total State Special Project Appropriations	<u>1,940,050</u>	<u>1,293,367</u>	<u>(646,683)</u>	<u>67%</u>
Total Research Appropriations	<u>1,940,050</u>	<u>1,293,367</u>	<u>(646,683)</u>	<u>67%</u>
<b>Public Service</b>				
State Special Project Appropriations				
Bureau of Business Research (Census)	378,200	252,133	(126,067)	67%
College Prep Mentoring/School of Law	119,593	79,728	(39,865)	67%
College Preparatory Mentoring	168,107	112,072	(56,035)	67%
Corrine Wolfe Law Center/Child Abuse Training	170,300	113,533	(56,767)	67%
Family Development Program	438,500	292,333	(146,167)	67%
ISTEC	48,595	32,397	(16,198)	67%
Judicial Selection	22,800	15,200	(7,600)	67%
KNME-TV	1,168,900	779,267	(389,633)	67%
Land Grant Studies Program	131,800	87,867	(43,933)	67%
N. M. Historical Review	47,600	31,734	(15,866)	67%
Small Business Innovation & Research Outreach	125,000	83,333	(41,667)	67%
Southwest Indian Law Clinic	208,200	138,800	(69,400)	67%
Spanish Colonial Research Center (SW Research Ctr)	120,650	80,434	(40,216)	67%
Spanish Resource Center	41,105	27,403	(13,702)	67%
Substance Abuse Program	136,500	91,000	(45,500)	67%
Wildlife Law Education	70,400	46,933	(23,467)	67%
Total State Special Project Appropriations	<u>3,396,250</u>	<u>2,264,167</u>	<u>(1,132,083)</u>	<u>67%</u>
Total Public Service Appropriations	<u>3,396,250</u>	<u>2,264,167</u>	<u>(1,132,083)</u>	<u>67%</u>

Statements of Revenues, Expenses and Changes in Net Assets Format for Regents  
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**Detail of State/Local Appropriations**  
**Branch Campuses - Total Operations Current Funds**

	FY 2014 Full Year Operating Budget	FY 2014 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 67%
<b>Instruction and General</b>				
Instruction & General Appropriations				
Gallup	9,118,300	6,078,867	(3,039,433)	67%
Los Alamos	1,876,000	1,250,666	(625,334)	67%
Valencia	5,350,000	3,566,667	(1,783,333)	67%
Taos	3,418,600	2,279,067	(1,139,533)	67%
Total Instruction & General Appropriations	<u>19,762,900</u>	<u>13,175,267</u>	<u>(6,587,633)</u>	<u>67%</u>
<b>Mill Levy</b>				
McKinley County	2,100,000	1,400,000	(700,000)	67%
Los Alamos County	686,500	457,667	(228,833)	67%
Valencia County	2,539,386	1,692,924	(846,462)	67%
Taos County	1,570,200	1,046,800	(523,400)	67%
Total Mill Levy	<u>6,896,086</u>	<u>4,597,391</u>	<u>(2,298,695)</u>	<u>67%</u>
Total Branch Appropriations	<u>26,658,986</u>	<u>17,772,658</u>	<u>(8,886,328)</u>	<u>67%</u>

Statements of Revenues, Expenses and Changes in Net Assets Format for Regents  
For the eight month period ended February 28, 2014  
Preliminary and Unaudited

**Detail of State/Local Appropriations**  
**Health Sciences Center - Total Operations Current Funds**

	FY 2014 Full Year Operating Budget	FY 2014 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 67%
<b>Instruction and General</b>				
Instruction & General Appropriations	61,748,700	41,321,667	(20,427,033)	67%
Tobacco Settlement Appropriations				
Instruction & General	610,524	407,016	(203,508)	67%
Pediatric Specialty Education	260,038	173,359	(86,679)	67%
Trauma Specialty Education	260,038	173,359	(86,679)	67%
Total Tobacco Settlement Appropriations	1,130,600	753,734	(376,866)	67%
Total Instruction and General Appropriations	62,879,300	42,075,401	(20,803,899)	67%
<b>Research</b>				
State Special Project Appropriations				
Cancer Center	2,663,774	1,781,474	(882,300)	67%
Hepatitis C, Project ECHO	1,482,622	990,422	(492,200)	67%
Total State Special Project Appropriations	4,146,396	2,771,896	(1,374,500)	67%
Tobacco Settlement Appropriations				
Genomics, Biocomputing, Environmental Health	979,800	653,200	(326,600)	67%
Total Tobacco Settlement Appropriations	979,800	653,200	(326,600)	67%
Cigarette Tax Appropriations	3,226,650	2,781,056	(445,594)	86%
Total Research Appropriations	8,352,846	6,206,152	(2,146,694)	74%
<b>Public Service</b>				
State Special Project Appropriations				
Center for Native American Health	271,594	181,559	(90,035)	67%
Total State Special Project Appropriations	271,594	181,559	(90,035)	67%
Total Public Service Appropriations	271,594	181,559	(90,035)	67%
<b>Clinical Operations</b>				
State Special Project Appropriations				
Newborn Intensive Care Unit	3,283,396	2,200,296	(1,083,100)	67%
Office of the Medical Investigator	4,797,800	3,210,735	(1,587,065)	67%
Pediatric Oncology	1,282,197	857,997	(424,200)	67%
Poison and Drug Info Center	1,524,915	1,021,515	(503,400)	67%
Native American Suicide Prevention	100,000	66,665	(33,335)	67%
UNM Hospitals	13,691,800	8,837,200	(4,854,600)	65%
Total State Special Project Appropriations	24,680,108	16,194,408	(8,485,700)	66%
Tobacco Settlement Appropriations				
Pediatric Oncology	261,400	174,267	(87,133)	67%
Poison and Drug Info Center	590,300	393,533	(196,767)	67%
Total Tobacco Settlement Appropriations	851,700	567,800	(283,900)	67%
Total Clinical Operations Appropriations	25,531,808	16,762,208	(8,769,600)	66%



**University Services**

University Services Business Operations	UNM Surplus Property
UNM Copy Center	UnivServ Shipping and Receiving
UNM Mailing Systems	UNM Inventory Control
UNM Records Management	Chem Stores/CRLS
<a href="http://www.unm.edu/~univserv/">http://www.unm.edu/~univserv/</a>	Print Management Program

**Date:** March 14, 2014

**To:** Bruce Cherrin, Chief Procurement Officer  
Purchasing Department

**From:** Debra L. Fondino   
Associate Director, University Services

**Subject:** Equipment Disposition – March 2014

Attached for your review and submission to the Board of Regents is the Surplus Property Disposition Detail list for the month of March, 2014.

Consistent with UNM Board of Regents Policy 7.9 and the Disposition of Surplus Property Act, 13-6-1, NMSA 1978, and based upon documentation submitted by the UNM departments responsible for the equipment, I certify that the equipment identified on the list is worn-out, unusable or obsolete to the extent that the items are no longer economical or safe for continued use by the University. I recommend that the items be deleted from UNM's inventory and disposed of in accordance with the above noted Regents Policy and Surplus Property Act.

Surplus Property Department  
Disposition Detail List  
as of 03/14/2014

UNM#	Department surplussing equipment	Description	Manufacturer	Model#	Serial#	Year	Acquisition Cost	NBV	Method Of Disposal	Disposal Date
47832	Safety & Risk Services	DETECTOR RADIATION	Baird	530	12586	1/1/1967	\$7,551.00	\$0.00	Obsolete	3/1/2014
(1) 165889	Safety & Risk Services	POWER SUPPLY	Canberra	SERIES 90	78395	1/1/1984	\$12,324.00	\$0.00	Obsolete	3/1/2014
(1) 165890	Safety & Risk Services	DETECTOR	Canberra	SERIES 90	5832946	1/1/1984	\$12,000.00	\$0.00	Obsolete	3/1/2014
(1) 190061	Safety & Risk Services	DETECTOR RADIATION	Canberra	GC-3018	3902331	5/7/1990	\$14,368.00	\$0.00	Obsolete	3/1/2014
167371	Safety & Risk Services	DETECTOR	EGGOtec	SERIES 90	24-E-93	1/1/1985	\$9,525.00	\$0.00	Obsolete	3/1/2014
183633	Pathology	CENTRIFUGE	Beckman	GPR	8A066	8/4/1988	\$5,890.00	\$0.00	Obsolete	2/21/2014
210989	COP Pharmaceutical Sciences	SAMPLER	HiITech	AS3000	093/02349-5	6/20/1996	\$9,600.00	\$0.00	Cannibalized	3/1/2014
210990	COP Pharmaceutical Sciences	DETECTOR FLUORESCENC	HiITech	FL2000		7/12/1996	\$7,653.00	\$0.00	Cannibalized	3/1/2014
226245	Biology Department	COMPUTER PRINTER/PLO	HewlettPac	C3198A/755C	ESB7305456	6/6/1997	\$8,323.00	\$0.00	Too Costly to Repair	3/1/2014
(2) 234501	UNM Copy Center	BINDING MACHINE	GBC	AP2	4268A1098	12/9/1998	\$15,434.00	\$0.00	Obsolete	3/14/2014
240041	Molecular Genetics Microbiology	COUNTER	Beckman	Threshold	AC48183	1/12/2000	\$9,660.00	\$267.97	Obsolete	3/1/2014
244995	UNM Copy Center	CUTTER	LithoSuppl	4850 Automatic	215900	12/21/2000	\$7,300.00	\$648.22	Obsolete	3/14/2014
252576	UME-ETS Educational Tech Support	PROJECTOR	Panasonic	PTL711	SL1220254	10/10/2001	\$5,550.00	\$0.00	Obsolete	2/21/2014
252578	UME-ETS Educational Tech Support	PROJECTOR	Panasonic	PTL711	SL1220267	10/10/2001	\$5,550.00	\$0.00	Obsolete	2/21/2014
253807	UME-ETS Educational Tech Support	PROJECTOR	Panasonic	XGA Portable-PT	CA1460067	1/18/2002	\$6,668.00	\$0.00	Obsolete	2/21/2014
(3) 256108	UME-ETS Educational Tech Support	PROJECTOR	Epson	2400		6/12/2002	\$11,414.00	\$0.00	Obsolete	2/21/2014
(4) 259136	Biology Department	COMPUTER DISK SYSTEM	SunMicro	A-1000 Rack	247H215C	12/18/2002	\$10,459.00	\$0.00	Obsolete	3/14/2014
N00000734	Ctr Adv Research Computing Gen Ad	Data Storage System	Raid	Falcon 3TB	12916-A	10/14/2004	\$7,550.00	\$0.00	Cannibalized	3/14/2014
N00000735	Ctr Adv Research Computing Gen Ad	Data Storage System	Raid	Falcon 3TB	12916-B	10/14/2004	\$7,550.00	\$0.00	Cannibalized	3/14/2014
N00004371	Ctr Adv Research Computing Gen Ad	DISK DRIVE (STORAGE)	Raid	FS12-3000	13342	1/7/2005	\$7,550.00	\$0.00	Cannibalized	3/14/2014
N00005666	AS LTER Network Faculty #2	Computer	Dell	Precision 380 CMT	F9C6H81	9/27/2005	\$7,920.14	\$0.00	Too Costly to Repair	3/1/2014
N00010300	CREST General Admin	Video Unit	PolyCom	VSX 3000 Series QBI	8206320712A6B1	1/5/2007	\$5,002.00	\$0.00	Obsolete	3/14/2014
(5) N00019984	UNM Copy Center	Scanner	Ikon	FI-5900C	010541	2/19/2009	\$19,508.00	\$0.00	Obsolete	3/14/2014

Disposition value: \$214,349.14

Disposition bookvalue: 916.19

Total Dispositon Items: 23

3/14/14

Additional Notes to Surplus listings dated 3/14/14:

**1 – Assets #165889, #165890 and #190061** are from the department of Safety and Risk Services (SRS). The Radiation Safety Program in SRS was transferred to the Health Sciences Center. All of the Radiation Detector items listed are 14 years old or older, and the HSC Radiation Program does not need the equipment. Therefore, the Radiation Safety Officer in SRS has cleared the equipment for release and disposition.

**2 – Asset# 234501** is an AP-2 binding machine from the UNM Copy Center that is 16 years old and has been used almost to the point of being worn out. Current production copiers now perform the bindery function in-line, so the manual binding machine is no longer needed. Any income generated by the sale of this item will come back to the department to be used in support of ongoing operations.

**3 – Asset# 256108** is a projector from the Office of Undergraduate Medical Education. The projector is 12 years old and is obsolete. It has been replaced with a newer projector that has better functionality with current systems and technology.

**4 – Asset# 259136** is a 12-year old Computer Disk System from the Long Term Ecological Research (LTER) Network Office that has become obsolete. Both the interface, which is no longer supported by LTER computer hardware, and the disks contain a total of 300 Gb +/- for the whole array which is no longer a useful capacity.

**5 – Asset# N00019984** is a high-capacity Fuji FI-5900C scanner purchased in 2009 by the UNM Copy Center. The scanner was purchased after an archiving project was approved by the State. Subsequent to its purchase, Financial Systems Management made it possible to accomplish all scanning and archiving through Banner's Web Extender application. This proved to be a more viable and secure option to the original project.

The software that is in the scanner does not work with the production copiers that are in the Copy Center today. Therefore, this will be sold and any income received from the sale will be used in support of ongoing operations.

----- Note: Any item of value in this list will be re-sold through all means available, i.e.: Request for Bid, Auction house, special auction, online sale, to recover the maximum monies to re-invest in the mission of the requesting department.



The University of New Mexico  
Purchasing Department  
MSC01 1240  
1 University of New Mexico  
Albuquerque, NM 87131-0001  
Telephone (505) 277-2036  
FAX (505) 277-7774

## MEMORANDUM

To: David Harris, Executive Vice President for Administration

From: Bruce Cherrin, Chief Procurement Officer *BC*

Subject: Contract Approval

Date: March 21, 2014

The following is being submitted for approval per Regent Policy 7.4. This will need to proceed to the full Board of Regents.

### 1. UNM Physical Plant - Kone

Proposals were solicited on January 23, 2014 with RFP # 1650-14 for continuation of vertical transportation maintenance. There were a total of five responses received for this proposal. In accordance with the criteria established for the procurement, the evaluation committee recommends awarding the procurement to Kone, Inc, who was both the lowest bidder and the highest scorer.

Kone, Inc. has been UNM's Vertical Transportation Maintenance provider since approximately 2003. They will continue to provide monthly maintenance services for one hundred sixty three (163) elevators on campus. The flat rate will be for a two year period with options to renew bi-annually for a maximum total of eight years.

**Source of Funds:** I & G and Internal Service Unit Operation and Maintenance Funds

**Total Cost:** \$558,825.36/annually



## Memo

**To:** David Harris, EVP for Administration, CFO & COO  
**From:** Thomas Neale, Interim Director of Real Estate  
**Date:** March 21, 2014  
**Re:** Real Property Acquisition - 121 Civic Plaza Drive, Taos, New Mexico.

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Pursuant to Regents' Policy 7.9 PROPERTY MANAGEMENT, the UNM Real Estate Department, on behalf of the UNM Taos Branch, is requesting Board of Regent's Approval to exercise our purchase option to acquire the real property located at 121 Civic Plaza Drive, Taos, New Mexico. The 26,178-square-foot building is currently leased by the Branch and is situated on a site containing 38,363 square feet.

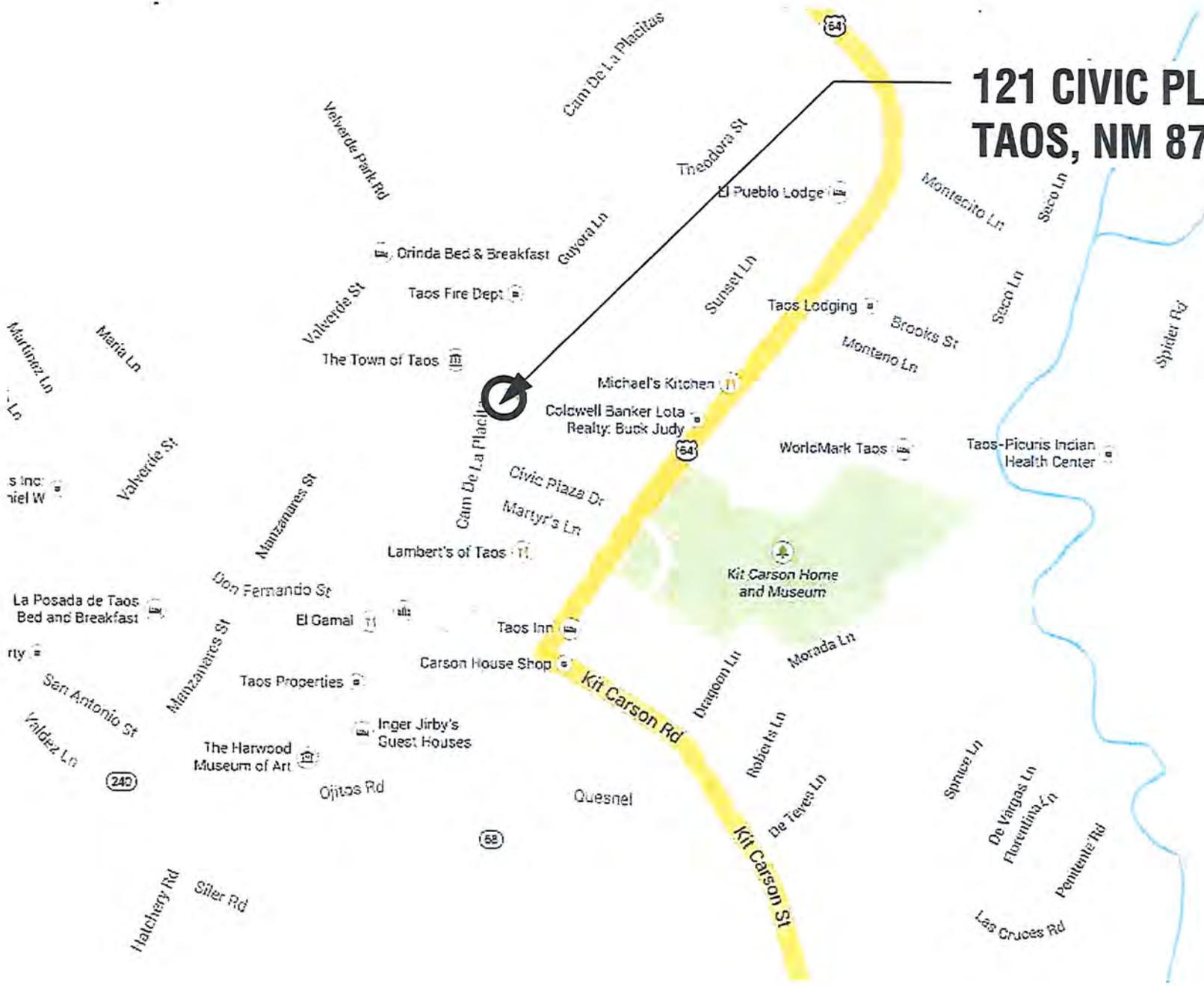
The improvements are owned by the Town of Taos and have been operated as the Taos Convention Center for the past 25 years. The proposed use of the improvements is to consolidate instruction at two locations and provide for expansion to meet demand of healthcare related programs in Northern New Mexico.

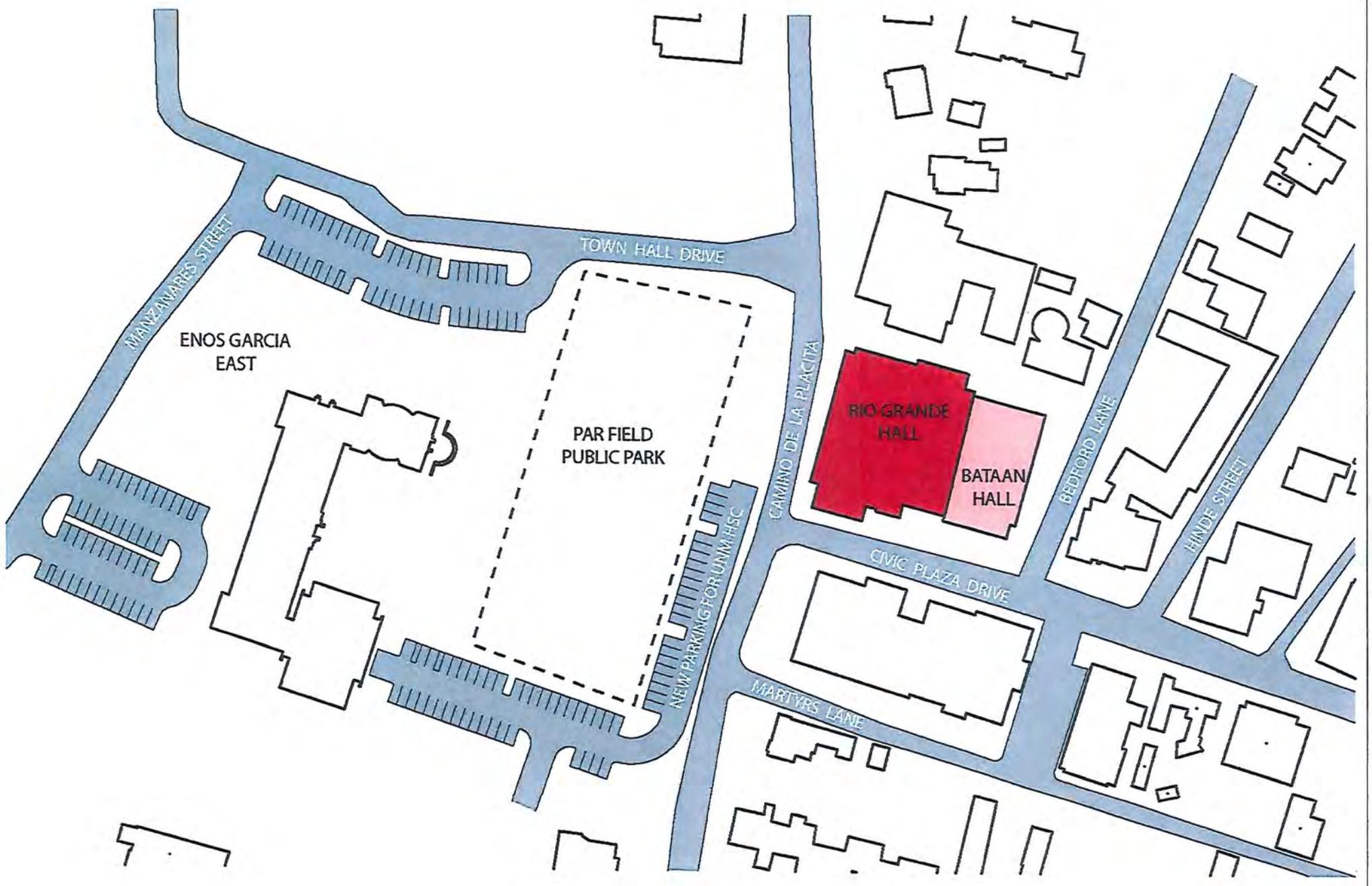
The current lease is for an initial term of five years for \$1.00 per year with ten, one-year renewal options at the same nominal rate. UNM Taos is obligated to maintain the premises and pay all operating costs. The Regents have the right to purchase the property for \$1.00 at any point during the term of the lease.

The improvements will require significant capital expenditures to cure deferred maintenance and convert the space to academic use. Renovation of the building will occur in multiple phases. The first phase of the project will address deferred maintenance items and renovation of approximately 13,400 square feet of the building. Improvements will include a new roof, stucco repairs, security and fire protection upgrades, and conversion of existing meeting space into classrooms, laboratories and offices to support the Taos Campus Health Sciences Education program.

The estimated project budget to renovate and occupy the premises for academic use is \$4,365,000. The capital project for renovation of the property will be submitted to the Regents for approval at a subsequent meeting. The project is funded from 2013 Educational GRT Funds.

# 121 CIVIC PLAZA DRIVE TAOS, NM 87571





MAIN ANWERS STREET

ENOS GARCIA EAST

TOWN HALL DRIVE

PAR FIELD PUBLIC PARK

NEW PARKING FOR UNM/HSC

CAMINO DE LA PLACITA

RIO GRANDE HALL

BATAAN HALL

CIVIC PLAZA DRIVE

BEDFORD LANE

HYDE STREET

MARTYRS LANE



*Policy Office*

## MEMORANDUM

**DATE:** April 4, 2014

**TO:** Board of Regents

**FROM:** Pamina Deutsch, UNM Policy and Administrative Planning Director 

**CC:** Ava J. Lovell CPA,  
Senior Executive Officer for Finance & Administration HSC

**RE:** Action Item for the April 8, 2014 Board of Regents' Meeting  
Regents' Policy Revisions to Implement Approval Threshold Changes

---

On March 10, 2014, the Board of Regents approved the Change in Approval Threshold action item request. This approval item will complete the implementation of that action by revising the following policies to reflect the new monetary thresholds: Regents' Policies 7.4 ("Purchasing"), 7.8 ("Signature Authority for Contracts"), 7.9 ("Property Management"), 7.11 ("Selection of Architects for UNM Projects"), and 7.12 ("Approval of Construction Projects").

In addition, a revision to Regents Policy 7.13 ("Receipt and Investment of Gifts to the University") is presented with a change to match current practice and to be consistent with University Administrative Policy 1030 ("Gifts to the University").

At the Finance and Facilities meeting on April 4, 2014, the Regents requested certain changes to the policy drafts, as follows:

Policy 7.4: "in excess of \$1,000,000" changed to "costing \$1,000,000 or more"

Policy 7.8: "more than \$400,000" changed to "\$400,000 or more"

Policy 7.9: "exceeds \$1,000,000" changed to "\$1,000,000 or more"

Policy 7.11: no changes necessary

Policy 7.12: no changes necessary (based on Higher Education Department and State Board of Finance thresholds)

Policy 7.13: restored deleted language in third paragraph under "Policy" except for item (3)

Documents showing the new set of revisions are attached.

# Regents' Policy Manual - Section 7.4: Purchasing

[DRAFT OF 4-4-14](#)

Adopted Date: 09-12-1996

## Applicability

This policy applies to the purchase of supplies, materials, equipment, and contractual services. It also applies to the reimbursement of employees for expenses incurred in the performance of University business.

## Policy

The University of New Mexico is committed to operating in the most economical and efficient manner possible. The University shall follow the State Procurement Code unless the Board of Regents expressly determines that an alternative procedure is required in a specific area in order to carry out the University's mission. The Board has made no such determination as of the effective date of this policy. All funds received by the University, regardless of their source, are considered to be University funds and all purchases shall be made in accordance with University policies and procedures.

Except as otherwise provided in this manual with regard to real estate matters ([RPM 7.9](#)), ~~architectural services ([RPM 7.11](#))~~, and construction projects ([RPM 7.12](#)), the Regents shall authorize the execution of contracts for the purchase of goods or services ~~in excess of~~ [costing \\$500,000](#), [or more](#) per ~~year~~ [contract](#).

## Implementation

The President shall establish specific procurement policies that assure the procurement of goods and services of acceptable quality at the lowest possible cost. The President shall also establish policies and procedures for the reimbursement of employees for appropriate expense incurred in the performance of University business, such as travel, recruitment and hiring of new employees, hospitality of guests of the University, and business expenses. All such policies and procedures shall ensure compliance with all applicable state and federal laws regarding the procurement and management of goods and services. The President may also establish policies to assure minimum performance standards and compatibility with other University investments in

facilities and equipment.

~~Contracts between \$250,000 and \$500,000 will be submitted to the Regents' Finance and Facilities Committee for information.~~

## Delegation of Authority

UNM's ~~Director of Purchasing~~Chief Procurement Officer shall be its Central Purchasing Officer, under the general direction of the Executive Vice President for ~~Business and Finance~~Administration. The ~~Central Purchasing~~Chief Procurement Officer shall have the sole authority to establish the institutional procedures for obligating the University for the procurement of supplies, materials, equipment, and contractual services.

The purchase of goods and ~~or~~ services for clinical components of the Health Sciences Center may be performed by the University of New Mexico Hospital Purchasing Department, as a separate satellite purchasing office of the University, in compliance with University procurement policies and procedures and under the direction of the Chief Procurement Officer.

## References

The University of New Mexico is exempt from the requirement that all purchasing for state agencies be performed by the State Purchasing Agent. NMSA 1978, § 13-1-98.

# Regents' Policy Manual - Section 7.8: Signature Authority for Contracts

DRAFT of 4-14-14

Adopted Date: 09-12-1996 Amended: 03-10-1997 Amended: 12-06-2007 Amended: 08-12-2008 Amended: 12-14-2010

## Applicability

This policy applies to all members of the Board of Regents, faculty, staff, and students.

## Policy

The Board of Regents must approve and an officer of the Board of Regents must sign the following types of contracts and documents, after due authorization by the Regents:

1. Contracts between the President and the University;
2. Contracts between the Chancellor for Health Sciences and the University;
3. Bond resolution, notification and certification documents, including certification of bond sale; and ~~er~~
4. Any contracts or other documents required by law to be signed by an officer of the Board of Regents.

The ~~Director of Purchasing~~ Chief Procurement Officer or designee must sign contracts for the purchase of goods and services, and the authority to do so is hereby delegated.

The President shall have the authority to sign all other contracts and documents (other than contracts or agreements for the purchase of goods and services) for the operation of the University and may delegate this authority. The President's signature authority as set forth above includes the authority to execute certificates representing stocks, bonds, or other securities in order to buy, sell, assign, or endorse for transfer such securities. The President shall also have authority to require additional signatures on contracts for the purchase of goods and services.

It is the official policy of the University to avoid financial settlements of claims and lawsuits against the University except when appropriate. The University shall not agree to pay a financial settlement without (a) an appropriate risk assessment of the case, and (b) written approval by the [Chancellor for Health Sciences, Provost, or Executive Vice President for Administration](#), and (c) [final approval by cognizant Executive Vice President if the University's settlement payment obligation is less than \\$50,000 or written approval by the President if the University's payment obligations is \\$50,000 or more](#). A financial settlement payment by the University of ~~\$200,000~~ [400,000](#) or more, must also be approved by the Board of Regents.

The Chancellor for Health Sciences is authorized to enter into affiliation agreements with other patient care facilities to provide educational opportunities.

The signature authority delegated in this policy must be exercised in accordance with other Regents' policies, some of which may require approval of the contract or other document by the Board of Regents.

## References

[RPM 1.4](#) ("Appointment of the President of the University,"); ~~RPM 1.4~~; [RPM 7.10](#) ("Borrowing and Bonding Authority"); ~~RPM 7.10~~; [RPM 3.4](#) ("Health Sciences Center and Services"); and [UAP 2010](#) ("Contract Signature Authority and Review"). ~~RPM 3.4; UBPPM Policy 2010.~~

# Regents' Policy Manual - Section 7.9: Property Management

DRAFT of 4-14-14

Adopted Date: 09-12-1996

## Applicability

This policy applies to all property owned, used, loaned, or leased to the University.

## Policy

The University may acquire, maintain, protect, use, and dispose of property required to perform its mission. University property shall be managed according to University policies and applicable state and federal law.

University property includes all equipment purchased by University departments, regardless of the source of funds used to purchase the equipment; U.S. Government-owned equipment used by University departments; components and materials used to make equipment, whether furnished to, acquired by, or fabricated by the University; property donated to the University; and property loaned or leased to the University by outside organizations.

The following types of property management transactions must be approved by the Board of Regents:

1. Purchase, sale, or transfer of real property.
2. Leases of real property, the annual cost of which ~~exceeds~~ is \$100,000, 1,000,000 or more. (Note: If the lease contains an option to purchase the real property, Regents must approve exercising the option.)
- ~~3.~~ The Finance and Facilities Committee or the Health Sciences Board of Directors, as appropriate, must approve the ~~Disposition~~ disposition of surplus property, in accordance with NMSA 1978, §13-6-1 et seq. Such dispositions are not approved by the full Board.
- ~~4.~~ Acceptance of certain gifts to the University (see Policy 7.13).

## Implementation

The President shall adopt administrative policies and procedures to implement this policy.

## References

By statute, purchase of real property must also be approved by the ~~Commission on~~ Higher Education [Department](#) and the State Board of Finance.

Disposition of Surplus Property Act, § 13-6-1, NMSA 1978;~~;~~

[UAP 7710](#) ~~Policy on~~ (“Property Management ~~& and~~ Control”), ~~UBPPM Policy 7710.~~

[RPM 7.13](#) (“Development, Receipt, and Investment of Gifts to the University”).  
~~, RPM 7.13.~~

# Regents' Policy Manual - Section 7.11: Selection of Architects for UNM Projects

DRAFT of 3-18-14

Adopted Date: 09-12-1996

## Applicability

This policy applies to the selection of architects for all University of New Mexico projects.

## Policy

It is the policy of the University to select architects from all areas of the state without regard to race, color, religion, national origin, sex, age, physical or mental handicap, sexual preference, ancestry, or medical condition. The University wishes to employ the best qualified architectural firm for each project and to use a variety of different firms. Only registered architects resident in New Mexico will be designated as the project architect or architect of record, as required by law.

When an addition or remodeling is planned for an existing building, the architect who designed the original building, if still in practice, may be retained as a "sole source," if the earlier work was satisfactory and the ~~Central Purchasing Office~~[Chief Procurement Officer](#) approves the contract pursuant to the New Mexico Procurement Code.

~~The Board of Regents must approve the selection of architects for projects costing \$500,000 or more.~~

## Implementation

~~The Vice President for Business and Finance or his or her designee shall select architects for projects costing less than \$500,000 pursuant to the Procurement Code and other applicable laws and regulations.~~

## References

Architectural Act, § 61-15-1, et seq., NMSA 1978.



# Regents' Policy Manual - Section 7.12:

## Approval of Construction Projects

DRAFT of 3-18-14

Adopted Date: 09-12-1996

### Applicability

This policy applies to University construction projects.

### Policy

~~Any plan for the addition of square footage, for construction of a new building or for any alteration, site improvement or major project exceeding \$300,000 shall be submitted to the Board of Regents for approval of the project concept before any formal project planning begins.~~

In accordance with laws and regulations of the State Commission on Higher Education Department and ~~the~~ Board of Finance as may be amended from time to time, the following construction projects shall be presented to the Board of Regents for approval: ~~prior to issuance of a request for bids:~~

1. Any addition of square footage or construction of new building;
2. Any alterations, site improvement or other major project ~~exceeding costing~~ over \$300,000 (main campus) or, for branches, - over \$50,000 (branches) (Note: Higher Education Department approval is required for major projects costing over \$300,000; Board of Finance approval is required for major projects costing over \$750,000);
3. Any revised, previously approved project, if the total project cost increases by 10% or \$100,000, whichever is greater; or if the size increases by more than 10% or 1,000 NSF, whichever is greater; or if the type or purpose of the space changes by 10% or more.

This policy does not apply to the purchase of equipment.

### Implementation

The President may adopt administrative policies and procedures to implement

this policy and provide for review and approval of all construction projects.

## References

New Mexico Procurement Code, § 13-1-28, et seq., NMSA 1978; § 13-1-21, et seq. (resident preference); § 13-4-1 (public works contracts); § 21-1-21 (capital expenditures).

Expenditures for the construction of or additions to buildings or major projects including major remodeling must be approved by the ~~Commission on~~ Higher Education (~~CHE~~ [Department](#)) and the State Board of Finance, per statute and regulations of the ~~CHE~~ [Higher Education Department](#) and Board of Finance § 21-1-21 NMSA 1978.

~~RPM 7.11 (Selection of Architects for UNM Projects – Board of Regents approval required).~~

# Regents' Policy Manual - Section 7.13: Receipt and Investment of Gifts to the University

DRAFT OF 4-14-14

Adopted Date: 09-12-1996

Amended: 12-08-1998

## Applicability

This policy applies to the receipt and investment of funds and other gifts from private sources to the University and for the benefit of the University. It does not apply to grants or contracts for research or service projects from governmental agencies or other entities.

## Policy

Private support is important to the teaching, research, and service activities of the University. Through private support, the University can enhance current programs and develop resources to support programs for future generations. The University shall employ coordinated efforts to obtain and increase private support.

The Board of Regents recognizes the University of New Mexico Foundation, a non-profit corporation existing solely to promote the University, as a major supporting organization through which private citizens can assist the University with fund-raising efforts. Pursuant to state law (§ 6-5A-1, NMSA 1978), the Board of Regents has approved an agreement to govern the relationship between the University and the UNM Foundation. The University encourages all donors of significant gifts for use in various programs or projects of the University to make them to the UNM Foundation for the benefit of the University.

The Board of Regents' approval shall be required prior to acceptance of: (1) any gift of real property; and (2) any gift of tangible personal property that would require an annual expenditure of more than \$50,000 by the University; ~~or (3) any gift of tangible personal property valued in excess of \$5,000, unless it is artwork; books, periodicals or personal papers; or equipment or supplies used for research, instruction, patient care or support services.~~ Either the University or

the UNM Foundation may accept ~~all other~~ gifts, subject to the University's agreement with the Foundation and University policy. Any gift of tangible personal property that would require an annual expenditure by the University of more than \$25,000 up to and including \$50,000 shall be presented to the Board of Regents' Finance and Facilities Committee for information.

The UNM Foundation shall manage investments within its purview in a manner that will maximize the benefit intended by the donors. The Board of Regents shall approve investment management guidelines recommended by the Foundation. These guidelines shall apply to all endowment and other gift funds managed by the University and under the investment management oversight of the Foundation's Investment Committee, as well as all assets received by the Foundation on behalf of the University.

The Board of Regents shall approve the establishment and purpose of any quasi-endowment, regardless of the source of funds for the quasi-endowment.

## Implementation

The President may adopt administrative policies and procedures regarding the acceptance of gifts and other development matters.

The Foundation shall adopt and recommend investment management guidelines to the Board of Regents for approval. The full text of the investment management guidelines (~~Regents' Investment Management~~ Consolidated Investment Fund Investment Policy) is maintained ~~by the Vice President of Business and Finance and the University Counsel~~ on the UNM Foundation's website. The Memorandum of Agreement between the University and the UNM Foundation is ~~also~~ maintained by ~~those two offices~~ the UNM Foundation and the University Counsel's Office.

## References

§6-5A-1, NMSA 1978 (Requirements for Receiving Funds from [Affiliated] Organizations); § 21-1-38 (Policies for Investing Endowment Funds);

~~Regents' Consolidated Investment Management Fund Investment Policy~~

RPM 7.9 ("Property Management");

RPM 7.19 ("Regents' Endowment Fund");

UAP 1030 ("Gifts to the University")

Memorandum of Agreement between the Regents of UNM and the UNM Foundation, Inc., on file ~~in the UNM Development Office~~ at the UNM Foundation and University Counsel's Office.

## MEMORANDUM

**TO:** Finance and Facilities Committee

**FROM:** Bruce Cherrin, Chief Procurement Officer  
Jewel Washington, Interim Vice President of Human Resources

**DATE:** April 4, 2014

**SUBJECT:** Student Health Benefits RFP: Contract Proposal Approval

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The following is being submitted for approval per Regent Policy 7.4. This will need to proceed to the full Board of Regents.

### History

UNM has offered Student Health Insurance since approximately 1990. In the fall of 2012, responsibility for medical RFP and ACA compliance for Student Health Insurance was transferred to the Human Resources Benefits department. Prior to that change, Student Health Insurance was administered by Student Health and Counseling (SHAC).

### UNM Student Health Benefits

In the 2014-2015 academic year, UNM advertised bids under the following financing option: medical - insured. In accordance with the University of New Mexico Request for Proposal RFP-1653-14, submittals were received by the February 6, 2014, 1:00 p.m. Mountain Standard Time deadline.

Four proposals were received, with three finalists:

1. Blue Cross Blue Shield/Academic Health Plans
2. American International Group, Inc. ("AIG" – current incumbent)
3. Associated Insurance Plans

### Summary of Committee Activity

A committee with broad stakeholder representation met to develop the RFP and evaluate proposals.

Committee consisted of representation from the following areas: Office of Graduate Studies, Global Studies, SHAC, Graduate and Professional Student Association (GPSA), and Human Resources.

### Committee Recommendation

The committee recommends awarding contract to AIG.

### Health Benefits Proposal Rationale

- Saves UNM \$745K over current
- Savings attributable primarily to:
  - Including international students in the pool unless they opt-out
  - Eliminating voluntary enrollment for 440 students
    - SHAC will assist students with enrolling in an Exchange or Medicaid Plan

- Students below the age of 26 can enroll on parents' medical plans
- AIG and UNM/SHAC will mail a letter to current students insured on a voluntary basis to tell them to contact SHAC for assistance

The following chart demonstrates savings for the University.

Current Cost	\$3,060,000
New Cost	\$2,315,000
<b>Total savings:</b>	<b>\$745,000</b>
I&G	\$300,000

\*Note: Current trend 2014-2015 is expected to be 8-10%.

The following chart demonstrates the current enrollment in UNM's Student Medical Plan:

Graduate Students (paid 100% by UNM)	1,604
Medical Students (paid 100% by students)	15
International Students (Not GA/TA) (paid 100% by students)	130
<b>Total Required to Maintain Insurance</b>	<b>1,749</b>
Undergraduate Students and dependents (paid 100% by students)	440
<b>Total</b>	<b>440</b>
<b>Grand Total Enrolled</b>	<b>2,189</b>

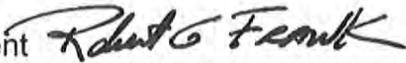
### Calendar of Events

Date	Event
April 2014	<ul style="list-style-type: none"> <li>• Award Contracts (Purchasing)</li> <li>• Begin Negotiating Contract Details</li> </ul>
April-June 2014	<ul style="list-style-type: none"> <li>• Facilitate Vendor Implementation</li> <li>• Banner updates/changes and eligibility file developed and tested</li> <li>• Implement International Student Opt-out process</li> <li>• Finalize vendor marketing materials and plan information</li> </ul>
July 2014	<ul style="list-style-type: none"> <li>• Communicate plan information to new Graduate Assistants and International Students</li> </ul>
August-September 2014	<ul style="list-style-type: none"> <li>• Opt-out window</li> <li>• Coverage effective with the beginning of the semester</li> </ul>
September-October 2014	<ul style="list-style-type: none"> <li>• Complete Post Implementation Audit</li> </ul>



Date: March 26, 2014

To: F&F Committee

From: Dr. Robert G. Frank, President 

Subject: Appointments to the Harwood Museum of Art Board of Directors.

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Due to the impending retirement of Dr. Breda Bova, along with the term expiration of EVP David W. Harris, two vacancies will exist on the Harwood Museum of Art Board of Directors, effective July 1, 2014.

With that in mind, I am recommending the appointment of Dr. Wynn Goering and Dr. Amy Wohlert to fill these two vacancies. Both of these individuals have extensive knowledge of the museum and of Taos, and will be valuable additions to that board.

**DATE:** April 8, 2014

**TO:** The Board of Regents of the University of New Mexico

**FROM:** The Board of Trustees of the University of New Mexico Foundation

**CC:** President Robert G. Frank  
Mallory Reviere

**RE:** Revisions to Consolidated Investment Fund Investment Policy

**RATIONALE FOR REVISION**

The University and STC.UNM have asked the UNM Foundation Investment Committee to consider allowing STC.UNM to invest assets held by the Consolidated Investment Fund in start-up companies developing technologies transferred by STC.UNM. These investments enhance STC.UNM's ability to grant start-up companies rights to exercise UNM Intellectual Property for commercial purposes in support of the institution's mission.

**RECOMMENDATION**

That the Board of Regents approves the following proposed revision to the Consolidated Investment Fund Investment Policy's Asset Allocation:

**Current Policy:**

Asset Class	Percent of Total Assets	
	Minimum	Maximum
Domestic Equity (1)	10%	50%
International Equity (1)	10%	40%
Fixed Income/Cash	10%	50%
Illiquid Real Assets	5%	15%
Private Equity	0%	15%
Marketable Alternatives	5%	20%

- (1) The combined maximum allocation to domestic and international equities shall not exceed 70% at any point in time.

### **Proposed Footnote to Private Equity:**

(2) A net cumulative capital allocation of up to 0.5% of total assets of the Consolidated Investment Fund as measured at the end of the fiscal year may be invested by the University of New Mexico's technology transfer nonprofit corporation, STC.UNM, in qualifying early stage venture capital investments. An investment will be considered a qualifying early stage venture capital investment if:

1. the investment is in a company created to utilize technologies transferred by STC.UNM;
2. the investment is matched on at least a 1 to 1 basis by a co-investor with experience in early stage capital ventures; and
3. STC.UNM has conducted due diligence on its own or in concert with a co-investor; and
4. STC.UNM provides the Investment Committee with reports regarding each specific early stage venture selected and quarterly updates thereafter to monitor the progress of the venture and the performance of the investment.

The University of New Mexico Foundation Investment Committee is not responsible for the evaluation, selection or management of any early stage venture capital investments made by STC.UNM with Consolidated Investment Fund assets.

It is anticipated that long-range returns for early stage venture capital investments will be equal to or better than the long-range expected return for the Consolidated Investment Fund.

### **JOINT APPROVAL REQUIRED**

In accordance with the Consolidated Investment Fund Investment Policy, any revisions to the Policy require joint approval by the University of New Mexico Board of Regents and the UNM Foundation Board of Trustees.

On February 7, 2014, the Board of Trustees of the UNM Foundation, Inc. considered and approved this proposed revision to the Consolidated Investment Fund Investment Policy.

## STC.UNM

### POLICY ON INVESTMENTS FROM CO-INVESTMENT FUND

1. Scope.

This policy applies to the investment by STC.UNM of monies from the Co-Investment Fund in UNM Start-Up Companies.

2. Definitions.

“Angel Group” means one or more persons who are: (a) accredited investors, as such term is defined under Regulation D promulgated by the Securities and Exchange Commission under the under the Securities Act of 1933; and, (2) members of an angel group that is a member in good standing of the Angel Capital Association.

“Co-Investment Committee” means a subcommittee of the STC.UNM Board of Directors created to administer the Co-Investment Fund and to make investments from the Co-Investment Fund under this Policy.

“Co-Investment Fund” means monies provided to STC.UNM from the Consolidated Investment Fund and designated for investment in UNM Start-Up Companies.

“Consolidated Investment Fund” means the investment fund consisting of endowments owned by the University of New Mexico and The University of New Mexico Foundation, Incorporated, a New Mexico nonprofit corporation, which is governed by the Consolidated Investment Fund Investment Policy approved by the UNM Board of Regents and the UNM Foundation Board of Trustees.

“Foundation” means The University of New Mexico Foundation, Incorporated, a New Mexico nonprofit corporation.

“Total Return on Investment” means the return on investment due to interest, dividends, realized gains and losses and unrealized gains and losses.

“Intellectual Property Rights” includes (i) patents, patent applications, patent disclosures and inventions (whether patentable or not), (ii) trademarks, service marks, trade dress, trade names, logos, corporate names, Internet domain names, URLs, and registrations and applications for the registration thereof, together with all of the goodwill associated therewith, (iii) copyrights and copyrightable works (including computer programs) and registrations and applications therefor, (iv) semiconductor chip "mask" works, and registrations and applications for registration thereof, (v) trade secrets, know-how and other confidential information, (vi) unregistered and registered design rights and any applications for registration thereof, (vii) database rights, and (viii) all other forms of

intellectual property, including waivable or assignable rights of publicity or moral rights, and any right to bring suit or collect damages for the infringement, misappropriation or violation of the foregoing, anywhere in the world.

“License Agreement” means an agreement entered into by STC.UNM as licensor and a corporation or a limited liability company as licensee that grants the licensee rights to exercise UNM Intellectual Property Rights for commercial purposes.

“UNM Intellectual Property Rights” means Intellectual Property Rights assigned to STC.UNM in accordance with the University of New Mexico Intellectual Property Policy, as amended.

“UNM Start-Up Company” means a corporation or a limited liability company with its principal office and a majority of its full time employees located in New Mexico and which has executed a License Agreement or an option for a License Agreement with STC.UNM for UNM Intellectual Property Rights.

“Venture Capital Fund” has the meaning ascribed to the term under 17 CFR 275.203(l)(1).

3. **Background.** Pursuant to the terms of the Consolidated Investment Fund Investment Policy, the Foundation will provide to STC.UNM’s Co-Investment Fund the amount of one million dollars (\$1,000,000) from the Consolidated Investment Fund to be used by STC.UNM to provide investment capital for UNM Start-Up Companies. The purpose of this policy is to provide procedures and criteria to be used by STC.UNM’s Co-Investment Committee in making such investments.

4. **Co-Investment Requirements.** The following requirements shall be met with respect to any investment made from the Co-Investment Fund:

- (a) monies from the Co-Investment Fund may only be invested in a UNM Start-Up Company;
- (b) absent unusual circumstances, the total, aggregate investment made by STC.UNM in a UNM Start-Up Company will not exceed the amount of one hundred thousand dollars (\$100,000);
- (c) STC.UNM may make investments in a UNM Start-Up Company only as a co-investor matched on at least a 1 to 1 basis by either: (i) a Venture Capital Fund; or (ii) an Angel Group; and,
- (d) the investment must be reviewed and approved by the Co-Investment Committee.

5. **Procedure.** The Co-Investment Committee shall review each proposed co-investment from the Co-Investment Fund by STC.UNM in a UNM Start-Up Company. In conducting such review, the Co-Investment Committee may request such information as it deems appropriate regarding the technology covered by the License Agreement, the UNM Start-Up Company, and the co-investor. In conducting such review and prior to

making any investment in a UNM Start-Up Company, the Co-Investment Committee shall meet with the management of the UNM Start-Up Company and with the principals of the co-investor.

6. Criteria for Investment. In considering a proposed co-investment, the Co-Investment Committee may review any factors it deems relevant to the proposed investment, which may include the following factors:

- (a) the experience and expertise of the management team of the UNM Start-Up Company;
- (b) the uniqueness of the product of the UNM Start-Up Company;
- (c) the market and market growth for the product of the UNM Start-Up Company;
- (d) the risks associated with the proposed business of the UNM Start-Up Company;
- (e) the likelihood of a projected return on any investment in the UNM Start-Up Company;
- (f) the types of exits likely to be available to liquidate an investment in the UNM Start-Up Company;
- (g) the quality of the proposed deal (equity stake at an attractive price);
- (h) the competition to be faced by the UNM Start-Up Company;
- (i) the experience, track record, and extent of involvement in the UNM Start-Up Company of the co-investor;
- (j) the due diligence conducted by the co-investor;
- (k) the benefit to be derived from public access to the products of the UNM Start-Up Company;
- (l) the benefit to the University of New Mexico, such as additional research and development work that is enabled; and,
- (m) other factors deemed relevant by the Co-Investment Committee.

7. Access to Funds. Upon the approval of a co-investment by the Co-Investment Committee as provided in Section 4, above, STC.UNM shall provide to the Foundation written notice of the approval of the co-investment, the amount of the co-investment, and the date on which the co-investment will be made. The Consolidated Investment Fund will then disburse to STC.UNM the amount needed to make the co-investment, on or before the date specified in the notice.

8. Disposition of Investment Returns.

A positive return on investment actually realized and received due to performance of any and each single Co-Investment Fund investment, without regard to the Total Return on Investment of all Co-Investment Fund investments, shall be distributed as follows:

- (a) 90% returned to the Consolidated Investment Fund
- (b) 10% retained by STC.UNM

A negative return on investment actually realized due to performance of any and each single Co-Investment Fund investment without regard to the Total Return on Investment of all Co-Investment Fund investments, shall be absorbed by the investment and written off by the Co-Investment Fund, and any invested funds from such single Co-Investment Fund investment actually received shall be returned to the Consolidated Investment Fund.

9. Adoption. This Policy was adopted by the STC.UNM Board of Directors on, and is effective as of [date], 2014.

MEMORANDUM

To: Rod Harder, Chief Financial Officer, UNM Foundation  
From: Lisa Kuuttila, President & CEO, STC.UNM  
Date: January 27, 2014  
Subject: STC.UNM Co-Investment Policy

Enclosed please find for your review the current draft of the STC.UNM Co-Investment Policy authored by STC's attorney, Randy McDonald.

At this time, we are proposing that STC's Co-Investment Committee be made up by the following STC Board Members:

Chuck Wellborn (Chair of Co-Investment Committee), David W. Harris, Sandra Begay-Campbell, John Stichman, Lisa Kuuttila, and Gregg Mayer.

Current list of all STC Board Members are as follows:

Sandra Begay-Campbell, Chair	Terri L. Cole, Vice Chair
John H. Stichman, Secretary & Treasurer	Lisa Kuuttila, President & CEO
Chaouki Abdallah	Doug Brown
James D. Cramer	Michael Dougher
Robert Fisher	Robert G. Frank
Gene Gallegos	Maria Griego-Raby
David W. Harris	Richard Larson
Gregg L. Mayer	Cindy McGill
Fred Mondragon	Pope Moseley
Robert Nath	Paul B. Roth
Mansoor Sheik-Bahae	Pedro F. Suarez
Gary Tonjes	Chuck Wellborn
Albert Westwood	

List of STC.UNM Board of Directors' names and their respective bio's may be found at <https://stc.unm.edu/about/boardofdirectors.php>

## Board of Directors

### From the Chair

Diverse, entrepreneurial and innovative are the defining characteristics of STC's Board of Directors. Members of the Board are researchers, inventors, academics, administrators, business executives, lawyers and community leaders, with local, national and international ties. Our board guides STC in its mission to support the University of New Mexico and its partners as the source for innovation management and commercial development in six strategic areas of service to the University:

- Compliance with the Bayh-Dole Act (IP prosecution, maintenance and commercialization)
- Support for economic development (marketing/licensing/start-up companies)
- Support of UNM faculty and staff commercialization (recruitment support, commercialization outreach education and faculty retention)
- Academic impact through support for UNM research (CDAs, MTAs, IIAs and research agreements)
- Academic impact through support for UNM education (student interns, outreach, Joseph L. Cecchi VentureLab)
- Income generation from technology transfer

The STC Board of Directors deals with these strategic STC areas and applies specific expertise, drawn from our members' backgrounds, as needed.

Sandra Begay-Campbell  
Chair, STC.UNM Board of Directors

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### Board Officers



**Ms. Sandra Begay-Campbell**  
Chair, STC.UNM Board of Directors  
Principal Member of the Technical Staff, Tribal Energy Program,  
Sandia National Laboratories



**Ms. Terri L. Cole**  
Vice Chair, STC.UNM Board of Directors  
President & CEO, Greater Albuquerque Chamber of Commerce



**Dr. John H. Stichman**  
Secretary and Treasurer, STC.UNM Board of Directors  
Executive Vice President & Deputy Laboratory Director, Sandia  
National Laboratories, Retired



**Ms. Elizabeth (Lisa) J. Kuuttila**  
CEO & Chief Economic Development Officer, STC

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### Board Members



**Dr. Chaouki Abdallah**  
Provost and Executive Vice President for Academic Affairs, UNM  
Professor, Department of Electrical & Computer Engineering, UNM



**Mr. Douglas M. Brown**  
Dean, Anderson School of Management, UNM



**Dr. James D. Cramer**  
Founder & President of Science & Engineering Associates, Inc.  
(SEA), Retired



**Dr. Michael J. Dougher**  
Senior Vice Provost for Academic Affairs and Vice President for  
Research  
Office of the Vice President for Research, UNM



**Dr. Robert H. Fisher**  
Founder, Fisher Leadership Strategy Execution



**Dr. Robert G. Frank**  
President, UNM



**J.E. (Gene) Gallegos, J.D.**  
President, Gallegos Law Firm, P. C.



**Ms. Maria Griego-Raby**  
President, Principal, Contract Associates, Inc.



**Mr. David W. Harris**  
Executive Vice President for Administration, UNM



**Dr. Richard S. Larson**  
Executive Vice Chancellor  
Vice Chancellor for Research, Health Sciences Center, UNM



**Dr. Gregg L. Mayer**  
President, Gregg L. Mayer & Co., Inc.



**Ms. Cindy McGill**  
Senior Vice President, Human Resources, Presbyterian Healthcare Services, Retired



**Mr. Fred Mondragon**  
Cabinet Secretary, New Mexico Economic Development Department, Retired



**Dr. Pope L. Moseley**  
Chairman, Internal Medicine, Health Sciences Center, UNM



**Mr. Robert Nath**  
Co-Founder and Chairman, Quasar International, Inc. Retired



**Dr. Paul B. Roth**  
Chancellor for Health Sciences, Health Sciences Center, UNM  
Dean, School of Medicine, UNM



**Dr. Mansoor Sheik-Bahae**  
Professor, Department of Physics & Astronomy, UNM



**Pedro F. Suarez, Esq.**  
Partner, Mintz, Levin, Cohn, Ferris, Glovsky and Popeo, P.C.



**Mr. Gary Tonjes**  
President, Albuquerque Economic Development, Inc.



**Mr. Charles I. Wellborn**  
Former President, Science & Technology Corporation @ UNM, Retired



**Dr. Albert R.C. Westwood**  
Vice President Emeritus, Sandia National Laboratories

Materials will be provided at the meeting

Materials will be provided at the meeting

Tab 14

This item deleted from the agenda

**UNM CARRIE TINGLEY HOSPITAL**  
**AMENDED AND RESTATED**  
**BYLAWS OF THE ADVISORY BOARD**

**PREAMBLE**

UNM Carrie Tingley Hospital (“CTH”) is established as a state institution by Article XIV, Section 1 of the New Mexico Constitution. The Regents of the University of New Mexico (the “Regents” or the “University”) are authorized by statute to administer CTH, and to manage, control and govern CTH under rules, regulations or other directives and policies as the Regents may from time to time prescribe, including the full power to enter into contracts or other agreements as the Regents deem necessary or desirable for the operation and management of CTH. Sections 23-2-1 *et seq.* NMSA 1978, as amended. The statutes also require the Regents to appoint and consult with an advisory board consisting of at least three parents of children with a chronic impairment and two health professionals. Section 23-2-2 NMSA 1978, as amended.

Under the terms of a lease agreement (the “Lease Agreement”) with an effective date of July 1, 1999, between the Regents and the County Commissioners of the County of Bernalillo for operation by the Regents of University of New Mexico Hospital and the UNM Mental Health Center, and pursuant to Regents’ Policy Manual Section 3.4 Health Sciences Center and Services, the Regents operate all the clinical facilities of the University’s Health Sciences Center (the “HSC Clinical Facilities”) as an integrated healthcare delivery system. The HSC Clinical Facilities include CTH and all other hospitals and outpatient clinical facilities operated by the HSC (“HSC Clinical Facilities”). Regents’ Policy Manual Section 3.4 Health Sciences Center and Services provides for the delegation of certain powers and authority by the Regents to UNM Hospitals Board of Trustees (“UNMH BOT”). The powers and authorities delegated to the UNMH BOT include, among other powers, (i) coordination with and oversight of any advisory/advocacy boards of the UNM Hospitals for other than research and education purposes, (ii) establishment of reporting mechanisms between those advisory/advocacy boards and the

UNMH BOT, and (iii) review of the bylaws of those advisory/advocacy boards, recommendation for approvals, and submission of the bylaws of those advisory/advocacy boards to the Regents for review and approval in accordance with Regents' policy.

The UNM Hospitals, including CTH, are accredited on a systemwide basis by The Joint Commission (“TJC”) and have a single unified Medical Staff. All Medical Staff members are subject to credentialing, privileging, peer review and other quality assurance provisions of the Medical Staff Bylaws and Rules and Regulations and applicable Regents’ policies, and are required to have appointments in the University’s School of Medicine.

Day-to-day administration of CTH is conducted by an Executive Director, who reports to the Chief Nursing Officer of UNM Hospitals (who, in turn, reports to the Chief Executive Officer of UNM Hospitals). The Executive Director is responsible for the direction, coordination and day-to-day management of CTH, and for administering and managing all clinical operations of CTH in support of delivery of health care at CTH. The Executive Director is appointed by, and may be removed by, the Chief Executive Officer of UNM Hospitals as designee of the Chancellor for Health Sciences, pursuant to Regents' Policy Manual Section 3.4 Health Sciences Center and Services.

The CTH Medical Director is responsible for medical affairs of CTH and for ensuring Medical Staff compliance at CTH with the UNMH Medical Staff Bylaws, Rules and Regulations and applicable and applicable Regents’ Policies, University Business Policies and Procedures, HSC and UNM Hospitals policies and procedures. The UNMH Chief Medical Officer will appoint the CTH Medical Director after consulting with the Chairpersons of the Department of Orthopaedics and the Department of Pediatrics. The CTH Medical Director will report to the UNMH Chief Medical Officer, or designee.

The Advisory Board, duly appointed by the Regents, hereby adopts these Bylaws of the Advisory Board, consistent with applicable Regents' policies, subject to approval by the UNMH BOT and the Regents, and effective on the date of approval of these Bylaws by the Regents.

## **ARTICLE ONE**

### **NAME**

The name of this body is the Advisory Board of Carrie Tingley Hospital (the “Advisory Board”).

## **ARTICLE TWO**

### **PURPOSE AND AUTHORITY**

**Section 1. Authority.** The Advisory Board is authorized to govern itself in accordance with reasonable Bylaws to be adopted by the Advisory Board, and approved by the UNMH BOT and the Regents, and will have all powers reasonably necessary to carry out its express responsibilities. Consistent with constitutional and statutory responsibilities of the Regents, the right is reserved unto the Regents to consider and determine, if in the exercise of sound discretion it is deemed necessary, any matter relating to CTH. The Advisory Board will exercise its authority consistent with:

- a. Applicable state and federal laws and regulations;
- b. Applicable standards of TJC, CMS Conditions of Participation, the Liaison Committee for Medical Education of the American Medical Association and the American Association of Medical Colleges for so long as resources are reasonably available to make compliance with such standards possible;
- c. The Regents’ Delegation, as set forth in Exhibit A to the UNMH BOT Bylaws, as such delegation may be amended and/or restated from time to time;
- d. Applicable policies and other determinations of the UNMH BOT and the Regents, as they may be amended and supplemented from time to time;
- e. The Medical Staff Bylaws, Rules and Regulations.
- f. Applicable policies of the HSC; and
- g. Operational policies specific to CTH as authorized by the Chief Executive Officer of UNM Hospitals.

**Section 2. Purpose.** The purposes of the Advisory Board are to:

- a. Articulate the uniqueness and value of CTH to the HSC, the University, the community and the state.
- b. Advise the CTH administrative staff regarding such issues as strategic planning, financial management, service delivery, and quality of care, related to the CTH as articulated in the CTH mission statement, and consistent with the articulated HSC mission statement, to ensure that the philosophy of care espoused by CTH and the HSC is achieved.
- c. Advocate in the best interests of families served statewide by CTH.

**Section 3. Conflict of Interest.** No member of the Advisory Board will use his or her position on the Advisory Board for the purpose of obtaining undue advantage or personal advantage for the Advisory Board member or for any person or organization in or with which the Advisory Board member is interested or associated. Advisory Board members will exercise their best judgment for and on behalf of the UNM Hospital, to the exclusion of any personal interest.

**Section 4. Reports to UNMH BOT.** Representatives of the Advisory Board designated by the Chairperson will make reports to the UNMH BOT at least quarterly regarding matters of concern to the Advisory Board within its scope of responsibility. In addition, the Advisory Board will provide minutes of its meetings to the UNMH BOT.

### **ARTICLE THREE MEMBERSHIP AND APPOINTMENTS**

**Section 1. Appointment.** The Advisory Board is composed of up to nine (9) members, at least three of whom are parents of children with disabilities and/or special chronic health care needs and at least two of whom are health professionals. One (1) ex-officio member shall be a member of the Regents and one (1) member shall be a member of the UNM Carrie Tingley Hospital Foundation Board of Directors appointed by the Board of Regents. All members of the Advisory Board are appointed, and may be removed, by the Regents with cause or as provided in Section 5 of this Article.

Advisory Board members will be appointed for staggered terms of three years or less, and will be appointed in such a manner that the terms of not more than three members expire on June 30 of each year. Advisory Board members who have served one full three-year term may be reappointed for not more than one additional consecutive three-year term. Each member of the Advisory Board will serve until his or her successor is duly appointed and qualified. Vacancies on the Advisory Board will be filled by appointment by the Regents, upon recommendation of the UNMH BOT. A person appointed to fill a vacancy on the Advisory Board will serve for the remainder of the term of the Advisory Board member whose position has become vacant and until his or her successor is duly appointed and qualified.

**Section 2. Ex-Officio Members.** The CTH Medical Director, the CTH Executive Director, the Executive Medical Director of UNM Children’s Hospital, the Chief Executive Officer of UNM Hospitals, and the Chair of the Department of Pediatrics of UNM Hospitals will serve as ex-officio members of the Advisory Board without vote.

**Section 3. Meetings.** The Advisory Board will meet at least quarterly at the time and place established by the Advisory Board for regular meetings. Special meetings may be called by the Chairperson of the Advisory Board or at the request of a majority of the Advisory Board members then in office, upon written notice of the time, date, place and purpose of the meeting, delivered to the Advisory Board members at least three business days in advance of the meeting. Meetings will be publicized, conducted, and documented by minutes in compliance with the New Mexico Open Meetings Act, Sections 10-15-1 *et seq.* NMSA (the “Open Meetings Act”), and consistent with an annual resolution adopted by the Advisory Board pursuant to the Open Meetings Act.

**Section 4. Attendance and Removal.** Advisory Board members are expected to attend regularly all meetings of the Advisory Board and of their respective assigned committees. If an Advisory Board member has three consecutive unexcused absences,

the Advisory Board will recommend in writing the removal of that Advisory Board member to the Regents, and will so notify the Advisory Board member.

**Section 5. Quorum, Voting.** A majority of the members of the Advisory Board then in office will constitute a quorum at Advisory Board meetings. A quorum once attained continues until adjournment despite voluntary withdrawal of enough Advisory Board members to leave less than a quorum. The Advisory Board members may act only as an Advisory Board with each member having one vote. The act of a majority of the Advisory Board members present at a meeting at which a quorum is present will be the act of the Advisory Board.

**Section 6. Orientation.** All new Advisory Board members will receive an initial orientation to CTH, and will be provided additional educational programs on the operation of CTH by the Administrator, the Medical Director, and other CTH staff, as indicated.

**Section 7. Performance.** The Advisory Board will periodically evaluate its performance and provide a report on its evaluation to the UNMH BOT, who will, in turn, report the same to the Regents.

## **ARTICLE FOUR**

### **OFFICERS**

**Section 1. Number, Tenure, Qualification, Election.** The Officers of the Advisory Board will be a Chairperson, a Vice Chairperson, and a Secretary, who will be elected annually by the Advisory Board at the regular meeting in July of each year, to begin their term of office upon election and to serve until their successors are elected and qualified. Vacancies will be filled by the Advisory Board. Officers may serve no more than three (3) terms in succession.

**Section 2. Chairperson.** The Chairperson will preside at all meetings of the Advisory Board. With the approval of the Advisory Board by a majority vote of a quorum of those members present at a meeting, the Chairperson will have the power to

appoint committees and committee chairpersons of the Advisory Board as necessary and appropriate. The Chairperson will represent the Advisory Board in its relations with officials of CTH and the HSC, the UNMH BOT, and with governmental and civic organizations and agencies.

**Section 3. Vice Chairperson.** The Vice Chairperson will serve in the absence of the Chairperson and perform other duties as assigned by the Chairperson.

**Section 4. Secretary.** The Secretary will supervise the recording of minutes and perform other duties as assigned by the Chairperson.

## **ARTICLE FIVE**

### **COMMITTEES**

**Section 1. Ad Hoc Committees.** With the approval of the Advisory Board by a majority vote of a quorum of those members present at a meeting, the Chairperson will have the power to appoint members and chairpersons of ad hoc committees of the Advisory Board as necessary and appropriate, at any time for special purposes, including, but not limited to, committees to evaluate the performance of the Advisory Board and to evaluate and resolve conflict of interest issues.

## **ARTICLE SIX**

### **TELEPHONIC PARTICIPATION AT MEETINGS**

Members of the Advisory Board or any committee designated by the Advisory Board may participate in a meeting of the Advisory Board or committee by means of a conference phone or similar communications equipment by means of which all persons participating in the meeting can hear each other at the same time, and participation by such means will constitute presence in person at the meeting.

**ARTICLE SEVEN**

**ADOPTION; AMENDMENT**

**Section 1. Adoption.** These Bylaws will become effective upon approval of a majority of the members then in office of the Advisory Board, the UNMH BOT, and the Regents.

**Section 2. Amendments.** These Bylaws may be altered, amended, or repealed or new Bylaws may be adopted by an affirmative vote of a majority of the members of the Advisory Board then in office, provided that notice of such alteration, amendment or repeal is included in the notice of such meeting. Any proposed repeal, amendment or alteration will not become effective until approval by the UNMH BOT and the Regents.

**Section 3. Review.** These Bylaws will be reviewed by the Advisory Board at least three years from the date of enactment or revision.

**OFFICERS' CERTIFICATE**

The undersigned Officers of the Advisory Board certify that these Bylaws of the Advisory Board were adopted by the affirmative vote of a majority of the Advisory Board at a meeting held on \_\_\_\_\_, 2014, at which a quorum was present, and were approved by affirmative vote of a majority of the UNMH BOT at a meeting held on \_\_\_\_\_, 2014, at which a quorum was present, and by affirmative vote of a majority of the Regents at a meeting held on \_\_\_\_\_, 2014, at which a quorum was present.

By: \_\_\_\_\_  
Sarah Nelson-Howse  
CHAIRPERSON



THE UNIVERSITY OF NEW MEXICO • HEALTH SCIENCES CENTER

**UNIVERSITY HOSPITAL**

**SURPLUS PROPERTY DEPARTMENT DISPOSITION DETAIL LIST**

Asset#	Department turning in Equipment	Description	Acquisition Date	Acquisition Cost	Net Book Value	Proposed Method of Disposal	Disposal Date
3036	Depreciation	Hypo-Hyperthermia Unit	6/1/1980	3,100.00	-	broken beyond repair	March 10, 2014
4402	Medical/Cardiac ICU	I-Med Infusion Pump	1/1/1983	1,568.00	-	beyond useful life	March 10, 2014
4413	storage	I-Med Infusion Pump	1/1/1983	1,568.00	-	beyond useful life	March 10, 2014
4424	storage	I-Med Infusion Pump	1/1/1983	1,568.00	-	beyond useful life	March 10, 2014
6657	Newborn ICU	Imed Infusion Pumps #9270	11/28/1983	1,611.00	-	beyond useful life	March 10, 2014
6670	storage	Imed Infusion Pumps #9270	11/28/1983	1,611.00	-	beyond useful life	March 10, 2014
7169	storage	N293 Neuro Drive	4/2/1984	1,264.00	-	beyond useful life	March 10, 2014
7480	Family Practice Clinic	I.V. Infusion Pump Stand	5/14/1984	264.00	-	beyond useful life	March 10, 2014
7646	Radiology - Ultrasound	300 Rev Collimator For Ca	6/22/1984	4,746.00	-	beyond useful life	March 10, 2014
10019	Trauma/Surgical ICU	Ice Dispenser W Drain	1/21/1985	2,041.00	-	broken beyond repair	March 10, 2014
10021	Trauma/Surgical ICU	Ice Dispenser W Drain	1/21/1985	2,041.00	-	broken beyond repair	March 10, 2014
10906	storage	IV Infusion Pump	9/30/1985	2,356.00	-	beyond useful life	March 10, 2014
10465	Depreciation	Zenith Pc Desk Sys	12/27/1985	2,362.00	-	broken beyond repair	March 10, 2014
10465	storage	Zenith Pc Desk Sys	12/27/1985	2,362.00	-	beyond useful life	March 10, 2014
10500	Pediatrics Clinic	Refrigerator Right Hand	3/3/1986	425.00	-	beyond useful life	March 10, 2014
10501	Pediatrics Clinic	Refrigerator Right Hand	3/3/1986	425.00	-	beyond useful life	March 10, 2014
10502	Pediatrics Clinic	Refrigerator Right Hand	3/3/1986	425.00	-	beyond useful life	March 10, 2014
10734	Pediatrics Clinic	Welch-Allyn Transducer	5/29/1986	1,599.00	-	beyond useful life	March 10, 2014
10658	storage	Medifuge-Centrifuge	6/2/1986	525.00	-	beyond useful life	March 10, 2014
10680	Pediatrics Clinic	Centrifuge - Blood Fisher	6/13/1986	773.00	-	beyond useful life	March 10, 2014
10702	ED-North	Auto Patient Infusion Pump	6/18/1986	2,356.00	-	beyond useful life	March 10, 2014
11451	Newborn Nursery/Level 1	Infusion Pump/Razel	8/8/1986	414.00	-	beyond useful life	March 10, 2014
11452	Newborn Nursery/Level 1	Infusion Pump/Razel	8/8/1986	414.00	-	beyond useful life	March 10, 2014
11064	Newborn Nursery/Level 1	Hazel Infusion Pump	12/18/1986	414.00	-	beyond useful life	March 10, 2014
11066	Newborn Nursery/Level 1	Hazel Infusion Pump	12/18/1986	414.00	-	beyond useful life	March 10, 2014
11264	Depreciation	Washer Sterilizer	3/1/1987	492.00	-	beyond useful life	March 10, 2014

Department turning in			Acquisition	Acquisition	Net Book	Proposed Method of	
Asset#	Equipment	Description	Date	Cost	Value	Disposal	Disposal Date
11356	storage	Centrifuge/Labofuge B	5/7/1987	380.00	-	beyond useful life	March 10, 2014
11384	Food and Nutrition	Groen Kettle 40 Gal	5/21/1987	3,366.00	-	broken beyond repair	March 10, 2014
11386	Satellite Fac-Plant Op & Maint	Bed w/Acc Hill-Rom	5/26/1987	2,154.00	-	beyond useful life	March 10, 2014
11362	Newborn ICU	Infusion Pump	5/26/1987	1,534.00	-	beyond useful life	March 10, 2014
11363	Newborn ICU	Infusion Pump	5/26/1987	1,534.00	-	beyond useful life	March 10, 2014
11364	Newborn ICU	Infusion Pump	5/26/1987	1,534.00	-	beyond useful life	March 10, 2014
11366	Newborn ICU	Infusion Pump	5/26/1987	1,534.00	-	beyond useful life	March 10, 2014
11367	Newborn ICU	Infusion Pump	5/26/1987	1,534.00	-	beyond useful life	March 10, 2014
11368	Newborn ICU	Infusion Pump	5/26/1987	1,534.00	-	beyond useful life	March 10, 2014
11369	Newborn ICU	Infusion Pump	5/26/1987	1,534.00	-	beyond useful life	March 10, 2014
11370	Newborn ICU	Infusion Pump	5/26/1987	1,534.00	-	beyond useful life	March 10, 2014
11371	Newborn ICU	Infusion Pump	5/26/1987	1,534.00	-	beyond useful life	March 10, 2014
11372	Newborn ICU	Infusion Pump	5/26/1987	1,534.00	-	beyond useful life	March 10, 2014
11373	Newborn ICU	Infusion Pump	5/26/1987	1,534.00	-	beyond useful life	March 10, 2014
11374	Newborn ICU	Infusion Pump	5/26/1987	1,534.00	-	beyond useful life	March 10, 2014
11375	Newborn ICU	Infusion Pump	5/26/1987	1,534.00	-	beyond useful life	March 10, 2014
11377	Newborn ICU	Infusion Pump	5/26/1987	1,534.00	-	beyond useful life	March 10, 2014
11378	Newborn ICU	Infusion Pump	5/26/1987	1,534.00	-	beyond useful life	March 10, 2014
11379	Newborn ICU	Infusion Pump	5/26/1987	1,534.00	-	beyond useful life	March 10, 2014
11380	Newborn ICU	Infusion Pump	5/26/1987	1,534.00	-	beyond useful life	March 10, 2014
11381	Newborn ICU	Infusion Pump	5/26/1987	1,534.00	-	beyond useful life	March 10, 2014
11382	Newborn ICU	Infusion Pump	5/26/1987	1,534.00	-	beyond useful life	March 10, 2014
11383	Newborn ICU	Infusion Pump	5/26/1987	1,534.00	-	beyond useful life	March 10, 2014
11427	storage	Medex Mini Infusion Pump	6/29/1987	1,073.00	-	beyond useful life	March 10, 2014
11428	storage	Medex Mini Infusion Pump	6/29/1987	1,073.00	-	beyond useful life	March 10, 2014
11569	storage	Centrifuge Microhematorcri	1/19/1988	2,199.00	-	beyond useful life	March 10, 2014
12263	Medicine Clinic	Imed Model 960 (Infusion	2/24/1988	2,356.00	-	beyond useful life	March 10, 2014
12212	General Surgery (6-S)	Infusion Pump	3/3/1988	2,152.00	-	beyond useful life	March 10, 2014
12219	CRC/CTC (5-E)	Infusion Pump	3/3/1988	2,152.00	-	beyond useful life	March 10, 2014
12196	Pediatric ICU	Infusion Pump	3/4/1988	4,519.00	-	beyond useful life	March 10, 2014
12582	storage	Aspirator 2	5/12/1989	2,974.00	-	beyond useful life	March 10, 2014

Department turning in			Acquisition	Acquisition	Net Book	Proposed Method of	
Asset#	Equipment	Description	Date	Cost	Value	Disposal	Disposal Date
11644	Anesthesia	B-D Rate Infuser	6/28/1989	1,450.00	-	beyond useful life	March 10, 2014
11645	Anesthesia	B-D Rate Infuser	6/28/1989	1,450.00	-	beyond useful life	March 10, 2014
11646	Anesthesia	B-D Rate Infuser	6/28/1989	1,450.00	-	beyond useful life	March 10, 2014
11647	Anesthesia	B-D Rate Infuser	6/28/1989	1,450.00	-	beyond useful life	March 10, 2014
11700	Depreciation	Imed Pump	1/1/1990	1,200.00	-	broken beyond repair	March 10, 2014
11733	Sterile Processing	Imed Pump	1/1/1990	1,200.00	-	beyond useful life	March 10, 2014
12386	Intermediate Care Nursery	Infusion Pump W/Auto Syri	6/28/1990	1,945.00	-	beyond useful life	March 10, 2014
12388	Intermediate Care Nursery	Infusion Pump W/Auto Syri	6/28/1990	1,945.00	-	beyond useful life	March 10, 2014
12389	Intermediate Care Nursery	Infusion Pump W/Auto Syri	6/28/1990	1,945.00	-	beyond useful life	March 10, 2014
12390	Intermediate Care Nursery	Infusion Pump W/Auto Syri	6/28/1990	1,945.00	-	beyond useful life	March 10, 2014
12391	Intermediate Care Nursery	Infusion Pump W/Auto Syri	6/28/1990	1,945.00	-	beyond useful life	March 10, 2014
12392	Intermediate Care Nursery	Infusion Pump W/Auto Syri	6/28/1990	1,945.00	-	beyond useful life	March 10, 2014
12393	Intermediate Care Nursery	Infusion Pump W/Auto Syri	6/28/1990	1,945.00	-	beyond useful life	March 10, 2014
12394	Intermediate Care Nursery	Infusion Pump W/Auto Syri	6/28/1990	1,945.00	-	beyond useful life	March 10, 2014
12404	Pediatric ICU	Infusion Pump W/Auto Syri	6/29/1990	1,951.00	-	beyond useful life	March 10, 2014
12405	Pediatric ICU	Infusion Pump W/Auto Syri	6/29/1990	1,951.00	-	beyond useful life	March 10, 2014
12406	Pediatric ICU	Infusion Pump W/Auto Syri	6/29/1990	1,951.00	-	beyond useful life	March 10, 2014
12407	Pediatric ICU	Infusion Pump W/Auto Syri	6/29/1990	1,951.00	-	beyond useful life	March 10, 2014
12408	Pediatric ICU	Infusion Pump W/Auto Syri	6/29/1990	1,951.00	-	beyond useful life	March 10, 2014
11864	General Pediatrics Unit	Infusion Pump	7/25/1990	2,156.00	-	beyond useful life	March 10, 2014
12545	Operating Room	Infusion Pump	1/22/1991	1,455.00	-	beyond useful life	March 10, 2014
17187	Operating Room	Insufflator Co2 Hi Flow. (Iapa	1/31/1991	5,937.50	-	beyond useful life	March 10, 2014
17198	Operating Room	Light Source, Fiberoptic	1/31/1991	5,605.00	-	broken beyond repair	March 10, 2014
17387	Endoscopy Center	Medical Tv Camera	3/7/1991	9,900.00	-	broken beyond repair	March 10, 2014
15021	ED-North	Infusion Pump	5/1/1991	2,019.00	-	beyond useful life	March 10, 2014
15243	Childrens Hosp Heart Cntr	Ultrasound System	5/22/1991	30,000.00	-	broken beyond repair	March 10, 2014
18108	General Pediatrics Unit	Infusion Pump	6/1/1991	2,019.00	-	beyond useful life	March 10, 2014
18154	General Pediatrics Unit	Infusion Pump	6/1/1991	2,019.00	-	beyond useful life	March 10, 2014
18075	Intermediate Care Nursery	Infusion Pump	6/4/1991	2,019.00	-	beyond useful life	March 10, 2014
18086	Intermediate Care Nursery	Infusion Pump	6/4/1991	2,019.00	-	beyond useful life	March 10, 2014
18097	General Pediatrics Unit	Infusion Pump	6/4/1991	2,019.00	-	beyond useful life	March 10, 2014

Asset#	Department turning in Equipment	Description	Acquisition Date	Acquisition Cost	Net Book Value	Proposed Method of Disposal	Disposal Date
18220	Endoscopy Center	Endoscope	6/18/1991	12,700.00	-	broken beyond repair	March 10, 2014
15542	Pediatric Cardiology	Software	7/16/1991	36,000.00	-	broken beyond repair	March 10, 2014
12023	Operating Room	Video Camera/Light Box	1/28/1992	9,369.00	-	beyond useful life	March 10, 2014
12057	Labor and Delivery	Lighting Fixture	2/1/1992	1,543.00	-	beyond useful life	March 10, 2014
16187	ED-North	Infusion Pump	3/25/1992	2,024.50	-	beyond useful life	March 10, 2014
12097	Family Practice Clinic	Procedure Light	4/1/1992	1,935.00	-	beyond useful life	March 10, 2014
16487	storage	Infusion Pump	6/11/1992	2,027.50	-	beyond useful life	March 10, 2014
16542	Pediatric ICU	Infusion Pump - Syringe	6/11/1992	2,019.00	-	beyond useful life	March 10, 2014
12073	CRC/CTC (5-E)	Infusion Pump	6/17/1992	2,226.00	-	beyond useful life	March 10, 2014
12074	CRC/CTC (5-E)	Infusion Pump	6/17/1992	2,226.00	-	beyond useful life	March 10, 2014
12075	CRC/CTC (5-E)	Infusion Pump	6/17/1992	2,226.00	-	beyond useful life	March 10, 2014
12641	Operating Room	Aspirator - Ultrasonic - Optha	7/1/1992	13,225.00	-	broken beyond repair	March 10, 2014
12657	Intermediate Care Nursery	Pump - Infusion	7/1/1992	2,027.50	-	beyond useful life	March 10, 2014
12736	Medical/Cardiac ICU	Infusion Pump - Volumetric	1/18/1993	2,350.00	-	beyond useful life	March 10, 2014
12724	Operating Room	Cell Saver - Autotransfusion M	1/22/1993	25,000.00	-	broken beyond repair	March 10, 2014
12805	Operating Room	Defibrillator Monitor W/Pacema	3/2/1993	7,427.32	-	beyond useful life	March 10, 2014
12839	ED-North	Pump - Infusion	3/3/1993	2,025.00	-	beyond useful life	March 10, 2014
12819	Operating Room	Laser Bronchoscope Co2	4/29/1993	6,956.22	-	beyond useful life	March 10, 2014
12863	Medical/Cardiac ICU	Pressure Infusion System	5/5/1993	1,500.00	-	beyond useful life	March 10, 2014
12857	Operating Room	CO2 Laser 40-Watt	5/6/1993	33,517.43	-	broken beyond repair	March 10, 2014
12940	Operating Room	Table - Surgical	6/1/1993	10,171.95	-	trade in	March 10, 2014
12941	Operating Room	Light - Surgical Fixed	6/1/1993	8,000.00	-	beyond useful life	March 10, 2014
12942	Operating Room	Light - Surgical Fixed	6/1/1993	8,000.00	-	beyond useful life	March 10, 2014
12929	Operating Room	Electrosurgical Unit - General	6/1/1993	5,915.36	-	beyond useful life	March 10, 2014
12930	Operating Room	Electrosurgical Unit - General	6/1/1993	5,915.35	-	beyond useful life	March 10, 2014
12909	Physical Therapy	Arm Ergometer	6/14/1993	1,090.00	-	beyond useful life	March 10, 2014
12905	Intermediate Care Nursery	Infusion Pump - Syringe	6/18/1993	1,894.00	-	beyond useful life	March 10, 2014
12906	Intermediate Care Nursery	Infusion Pump - Syringe	6/18/1993	1,894.00	-	beyond useful life	March 10, 2014
12951	Pediatric ICU	Infusion Pump - Syringe	6/24/1993	1,896.16	-	beyond useful life	March 10, 2014
12980	Newborn ICU	Infusion Pump	7/1/1993	1,894.00	-	beyond useful life	March 10, 2014
12981	Newborn ICU	Infusion Pump	7/1/1993	1,894.00	-	beyond useful life	March 10, 2014

Department turning in			Acquisition	Acquisition	Net Book	Proposed Method of	
Asset#	Equipment	Description	Date	Cost	Value	Disposal	Disposal Date
12982	Newborn ICU	Infusion Pump	7/1/1993	1,894.00	-	beyond useful life	March 10, 2014
12983	Newborn ICU	Infusion Pump	7/1/1993	1,894.00	-	beyond useful life	March 10, 2014
12984	Newborn ICU	Infusion Pump	7/1/1993	1,894.00	-	beyond useful life	March 10, 2014
12985	Newborn ICU	Infusion Pump	7/1/1993	1,894.00	-	beyond useful life	March 10, 2014
12986	Newborn ICU	Infusion Pump	7/1/1993	1,894.00	-	beyond useful life	March 10, 2014
12988	Newborn ICU	Infusion Pump	7/1/1993	1,894.00	-	beyond useful life	March 10, 2014
12989	Newborn ICU	Infusion Pump	7/1/1993	1,894.00	-	beyond useful life	March 10, 2014
12990	Newborn ICU	Infusion Pump	7/1/1993	1,894.00	-	beyond useful life	March 10, 2014
13118	Operating Room	Defibrillator (also see asset	1/1/1994	8,828.00	-	broken beyond repair	March 10, 2014
13117	Operating Room	Defibrillator	1/1/1994	7,656.50	-	broken beyond repair	March 10, 2014
13116	Operating Room	Defibrillator	1/1/1994	7,463.32	-	beyond useful life	March 10, 2014
13088	Pulmonary Diagnostics	Massage/Percussion Machine	1/1/1994	2,060.00	-	broken beyond repair	March 10, 2014
13126	Anesthesia	Blood Warmer	2/1/1994	4,162.30	-	beyond useful life	March 10, 2014
13157	Anesthesia	Fluid Warmer & Infuser	2/1/1994	4,000.00	-	beyond useful life	March 10, 2014
13402	General Surgery (6-5)	General Surgery Refrigerator	3/1/1994	1,168.00	-	broken beyond repair	March 10, 2014
13616	Perfusionists	Perfusion System	6/1/1994	91,532.26	-	trade in	March 10, 2014
13640	Operating Room	Bed Neonate	6/1/1994	8,950.00	-	broken beyond repair	March 10, 2014
13611	Pulmonary Services	Blender - Bird Sentry	6/1/1994	2,652.00	-	broken beyond repair	March 10, 2014
13577	Information Technology	Printer - Zebra Barcode	6/1/1994	1,692.44	-	beyond useful life	March 10, 2014
13772	Operating Room	Coagulator - Argon Beam	10/1/1994	11,335.00	-	broken beyond repair	March 10, 2014
13803	Operating Room	Coagulator - Argon Beam	1/1/1995	11,335.00	-	broken beyond repair	March 10, 2014
13807	Lab - Hematology	Plasma Thawer	1/1/1995	4,860.00	-	broken beyond repair	March 10, 2014
13853	Operating Room	Sterilizer - Gravity	3/1/1995	15,062.00	-	beyond useful life	March 10, 2014
14627	NE Heights Clinic	Stretcher Hydraulic	2/1/1996	2,574.25	-	beyond useful life	March 10, 2014
14708	storage	Siemens Minimed III Infusion P	4/1/1996	2,510.00	-	beyond useful life	March 10, 2014
20710	Depreciation	MONITORING SYSTEM	5/1/1996	9,278.00	-	broken beyond repair	March 10, 2014
14422	Operating Room	Microlaryngeal Laset Set	5/1/1996	6,802.49	-	beyond useful life	March 10, 2014
14406	Speech/Language Pathology	JVC VCR	5/1/1996	2,044.00	-	broken beyond repair	March 10, 2014
14655	Pediatric Cardiology	MAC 8 ECG Analysis System	6/1/1996	9,288.98	-	broken beyond repair	March 10, 2014
14485	Operating Room	Light Source, Xenon, 300 Watt	6/1/1996	5,132.57	-	beyond useful life	March 10, 2014
14486	Operating Room	Light Source, Xenon, 300 Watt	6/1/1996	5,132.57	-	beyond useful life	March 10, 2014

Department turning in			Acquisition	Acquisition	Net Book	Proposed Method of	
Asset#	Equipment	Description	Date	Cost	Value	Disposal	Disposal Date
14488	Operating Room	Light Source, Xenon, 300 Watt	6/1/1996	5,132.57	-	beyond useful life	March 10, 2014
14489	Operating Room	Light Source, Xenon, 300 Watt	6/1/1996	5,132.57	-	beyond useful life	March 10, 2014
14490	Operating Room	Light Source, Xenon, 300 Watt	6/1/1996	5,132.57	-	beyond useful life	March 10, 2014
14491	Operating Room	Light Source, Xenon, 300 Watt	6/1/1996	5,132.57	-	beyond useful life	March 10, 2014
14492	Operating Room	Light Source, Xenon, 300 Watt	6/1/1996	5,132.56	-	beyond useful life	March 10, 2014
14857	storage	Uprgrade Trams 400SL to 450SL	8/1/1996	1,087.50	-	beyond useful life	March 10, 2014
10174	CTH Rehab-Ortho Unit	Pump Infusion Withdr	8/2/1996	1,925.00	-	beyond useful life	March 10, 2014
10177	CTH Rehab-Ortho Unit	Pump Infusion Withdr	8/2/1996	1,925.00	-	beyond useful life	March 10, 2014
15142	Labor and Delivery	Rapid Infuser Blood Warmer	2/1/1997	4,960.00	-	beyond useful life	March 10, 2014
15144	Medical/Cardiac ICU	Rapid Infuser Blood Warmer	2/1/1997	4,960.00	-	beyond useful life	March 10, 2014
15457	Operating Room	Vac Steam Processor	5/1/1997	20,357.00	-	beyond useful life	March 10, 2014
15480	Sterile Processing	Sterile Drier	5/1/1997	6,838.95	-	broken beyond repair	March 10, 2014
15480	Sterile Processing	Sterile Drier	5/1/1997	6,838.95	-	beyond useful life	March 10, 2014
15440	Rehabilitation Services	Lift Walker - Hydraulic	5/1/1997	4,019.00	-	beyond useful life	March 10, 2014
15488	Operating Room	Surgistool with Motorcycle Sea	5/1/1997	1,600.00	-	beyond useful life	March 10, 2014
15601	Operating Room	Table, Battery Operated OR, 10	6/1/1997	25,976.00	-	trade in	March 10, 2014
15602	Operating Room	Table, Battery Operated OR, 10	6/1/1997	25,976.00	-	trade in	March 10, 2014
15668	Endoscopy Center	Eagle 400N Color Patient Monit	6/1/1997	16,653.65	-	broken beyond repair	March 10, 2014
15671	Operating Room	Surgery ann Imaging Table	7/1/1997	30,293.60	-	broken beyond repair	March 10, 2014
15734	Pulmonary Services	Bipap, Ventilator	7/1/1997	4,681.70	-	broken beyond repair	March 10, 2014
15735	Pulmonary Services	Bipap, Ventilator	7/1/1997	4,681.69	-	broken beyond repair	March 10, 2014
15757	Operating Room	Laser Diode Photocoagulator	8/1/1997	24,225.00	-	beyond useful life	March 10, 2014
20788	Parking and Transport	1997 Ford Aerostar Plate #G-31	8/1/1997	14,394.00	-	broken beyond repair	March 10, 2014
15968	Urology	Flex Cysto-Nephroscope	3/1/1998	7,613.75	-	beyond useful life	March 10, 2014
16097	Perfusionists	Heart-Lung Machine - Perfusion	5/1/1998	79,852.00	-	beyond useful life	March 10, 2014
16157	Fixed Wing Transport	Pump Syringe with Charger	6/1/1998	1,897.43	-	broken beyond repair	March 10, 2014
20321	storage	COMPUTER CPU/SYSTEM	6/1/1998	1,337.00	-	beyond useful life	March 10, 2014
16204	Surgical Specialties	Microscope w/Stand	7/1/1998	1,420.96	-	beyond useful life	March 10, 2014
16207	Surgical Specialties	M5 Microscope Body 5 Step w/St	8/1/1998	27,202.50	-	beyond useful life	March 10, 2014
16382	Operating Room	Orthopedic Table System	3/1/1999	81,511.00	-	broken beyond repair	March 10, 2014
16341	Labor and Delivery	C300 Infant Incubator	3/1/1999	7,722.40	-	beyond useful life	March 10, 2014

Department turning in			Acquisition	Acquisition	Net Book	Proposed Method of	
Asset#	Equipment	Description	Date	Cost	Value	Disposal	Disposal Date
16390	ED-North	System 1025 Fluid Warmer Infus	3/1/1999	4,800.00	-	beyond useful life	March 10, 2014
16340	Rehabilitation Services	Tectrix Bikemax - R3000	3/1/1999	2,502.11	-	broken beyond repair	March 10, 2014
16474	Sterile Processing	Sonic Cleaner Rinser/Dryer	5/1/1999	21,943.50	-	broken beyond repair	March 10, 2014
16475	Sterile Processing	Sonic Cleaner Rinser/Dryer	5/1/1999	21,943.50	-	broken beyond repair	March 10, 2014
16538	PACU (Recovery Room 1)	Zoll M Series Basic Defibrilla	5/1/1999	7,624.11	-	broken beyond repair	March 10, 2014
16528	Pediatrics Clinic	Zoll M Series Basic Defibrilla	5/1/1999	7,624.11	-	beyond useful life	March 10, 2014
16530	Endoscopy Center	Zoll M Series Basic Defibrilla	5/1/1999	7,624.11	-	broken beyond repair	March 10, 2014
16507	Anesthesia	Ambulatory APII Pump	5/1/1999	3,589.53	-	beyond useful life	March 10, 2014
16508	Anesthesia	Ambulatory APII Pump	5/1/1999	3,589.53	-	beyond useful life	March 10, 2014
16510	Anesthesia	Ambulatory APII Pump	5/1/1999	3,589.53	-	beyond useful life	March 10, 2014
16511	Anesthesia	Ambulatory APII Pump	5/1/1999	3,589.53	-	beyond useful life	March 10, 2014
16512	Anesthesia	Ambulatory APII Pump	5/1/1999	3,589.53	-	beyond useful life	March 10, 2014
16513	Anesthesia	Ambulatory APII Pump	5/1/1999	3,589.53	-	beyond useful life	March 10, 2014
16514	Anesthesia	Ambulatory APII Pump	5/1/1999	3,589.53	-	beyond useful life	March 10, 2014
16515	Anesthesia	Ambulatory APII Pump	5/1/1999	3,589.53	-	beyond useful life	March 10, 2014
16516	Anesthesia	Ambulatory APII Pump	5/1/1999	3,589.53	-	beyond useful life	March 10, 2014
16517	Anesthesia	Ambulatory APII Pump	5/1/1999	3,589.53	-	beyond useful life	March 10, 2014
16518	storage	Ambulatory APII Pump	5/1/1999	3,589.52	-	beyond useful life	March 10, 2014
16519	Anesthesia	Ambulatory APII Pump	5/1/1999	3,589.52	-	beyond useful life	March 10, 2014
16521	Anesthesia	Infusion OR Pump	5/1/1999	1,869.43	-	beyond useful life	March 10, 2014
16522	Anesthesia	Infusion OR Pump	5/1/1999	1,869.43	-	beyond useful life	March 10, 2014
16523	Anesthesia	Infusion OR Pump	5/1/1999	1,869.42	-	beyond useful life	March 10, 2014
16524	Anesthesia	Infusion OR Pump	5/1/1999	1,869.42	-	beyond useful life	March 10, 2014
16525	Anesthesia	Infusion OR Pump	5/1/1999	1,799.68	-	beyond useful life	March 10, 2014
16526	Anesthesia	Infusion OR Pump	5/1/1999	1,799.68	-	beyond useful life	March 10, 2014
17210	Endoscopy Center	SIF-100 Video Push Enteroscope	8/1/1999	22,657.82	-	broken beyond repair	March 10, 2014
17225	Endoscopy Center	TJF Duodenovideoscope	8/1/1999	19,963.26	-	broken beyond repair	March 10, 2014
17226	Endoscopy Center	TJF Duodenovideoscope	8/1/1999	19,963.26	-	broken beyond repair	March 10, 2014
17204	Endoscopy Center	CF-Q140 Colonvideoscope	8/1/1999	16,206.64	-	broken beyond repair	March 10, 2014
17205	Endoscopy Center	CF-Q140 Colonvideoscope	8/1/1999	16,206.64	-	broken beyond repair	March 10, 2014
17208	Endoscopy Center	GIF-2T100 Therapeutic Gastrosc	8/1/1999	15,223.22	-	broken beyond repair	March 10, 2014

Asset#	Department turning in Equipment	Description	Acquisition Date	Acquisition Cost	Net Book Value	Proposed Method of Disposal	Disposal Date
17201	Endoscopy Center	GIF-Q140 Evis Gastrovideoscope	8/1/1999	15,144.55	-	broken beyond repair	March 10, 2014
17218	Endoscopy Center	GIF-P140 Evis Video Gastroscop	8/1/1999	14,947.87	-	broken beyond repair	March 10, 2014
17197	Endoscopy Center	GIF Q-140 Evis Gastrovideoscop	8/1/1999	12,990.00	-	broken beyond repair	March 10, 2014
18140	Family Practice Clinic	Workstations - Family Practice	9/1/1999	9,428.43	-	beyond useful life	March 10, 2014
17654	Endoscopy Center	GF-UC30P GI Ultrasound Fibersc	1/1/2000	56,006.25	-	beyond useful life	March 10, 2014
17651	Endoscopy Center	CF-Q140L Colonovideoscope	1/1/2000	18,444.00	-	broken beyond repair	March 10, 2014
17659	Pulmonary Services	T-Bird Ventilator AVS II Trans	1/1/2000	17,526.00	-	broken beyond repair	March 10, 2014
17650	Endoscopy Center	GIF-Q140 Evis Gastrovideoscope	1/1/2000	16,747.50	-	broken beyond repair	March 10, 2014
17657	Pulmonary Services	T-Bird Ventilator AVS II Trans	1/1/2000	16,601.00	-	broken beyond repair	March 10, 2014
17658	Pulmonary Services	T-Bird Ventilator AVS II Trans	1/1/2000	16,601.00	-	broken beyond repair	March 10, 2014
20816	Depreciation	WATER HEATER	1/1/2000	6,898.00	-	broken beyond repair	March 10, 2014
18167	Pediatrics Clinic	Monitor, Multiparameter	1/1/2000	2,794.34	-	beyond useful life	March 10, 2014
17956	IT - Patient Financial Svcs	March Consulting CIP 1567 - SM	3/1/2000	16,377.63	-	beyond useful life	March 10, 2014
17749	Depreciation	Bili-Lite Model 34 w/Timer	3/1/2000	1,858.02	-	beyond useful life	March 10, 2014
17750	Depreciation	Bili-Lite Model 34 w/Timer	3/1/2000	1,858.02	-	beyond useful life	March 10, 2014
17827	Operating Room	Printer, Video	4/1/2000	5,450.77	-	beyond useful life	March 10, 2014
17828	Operating Room	Printer, Video	4/1/2000	5,450.77	-	beyond useful life	March 10, 2014
18156	ENT Clinic	"S" Chair w/Motorized Base CIP	4/1/2000	3,037.47	354.37	beyond useful life	March 10, 2014
18157	ENT Clinic	"S" Chair w/Motorized Base CIP	4/1/2000	3,037.47	354.37	beyond useful life	March 10, 2014
628	Truman St. Clinic	Modular Furniture - ID Clinic	7/1/2000	22,285.35	-	beyond useful life	March 10, 2014
18203	Medical Records/Support Svcs	Increase Voicewriter Voice Sto	7/1/2000	13,040.00	-	beyond useful life	March 10, 2014
617	Truman St. Clinic	Chairs & Table - ID Clinic Cap	7/1/2000	5,374.62	895.68	beyond useful life	March 10, 2014
20832	Depreciation	Dishwasher	7/12/2000	6,280.54	-	broken beyond repair	March 10, 2014
18334	Clinical Neuroscience Center	Actplus2.5 (NIBP monitor)	10/1/2000	4,233.00	-	beyond useful life	March 10, 2014
20828	Depreciation	Dell 733 GX110 PC	11/15/2000	27,863.46	-	broken beyond repair	March 10, 2014
20829	Depreciation	Dell 733 GX110 PC	11/16/2000	27,863.46	-	broken beyond repair	March 10, 2014
18727	Urology	Falcon Ultrasound System	1/1/2001	67,918.90	-	beyond useful life	March 10, 2014
197	Endoscopy Center	Endostat II Bipolar/Monopolar	5/1/2001	6,000.00	-	broken beyond repair	March 10, 2014
19098	Pediatric ICU	AS50 Infusion Pump	5/1/2001	2,250.00	-	beyond useful life	March 10, 2014
19099	Newborn ICU	AS50 Infusion Pump	5/1/2001	2,250.00	-	beyond useful life	March 10, 2014
19100	Intermediate Care Nursery	AS50 Infusion Pump	5/1/2001	2,250.00	-	beyond useful life	March 10, 2014

Department turning in			Acquisition	Acquisition	Net Book	Proposed Method of	
Asset#	Equipment	Description	Date	Cost	Value	Disposal	Disposal Date
19101	Intermediate Care Nursery	AS50 Infusion Pump	5/1/2001	2,250.00	-	beyond useful life	March 10, 2014
19102	General Pediatrics Unit	AS50 Infusion Pump	5/1/2001	2,250.00	-	beyond useful life	March 10, 2014
19103	General Pediatrics Unit	AS50 Infusion Pump	5/1/2001	2,250.00	-	beyond useful life	March 10, 2014
19104	General Pediatrics Unit	AS50 Infusion Pump	5/1/2001	2,250.00	-	beyond useful life	March 10, 2014
19105	Sterile Processing	AS50 Infusion Pump	5/1/2001	2,250.00	-	beyond useful life	March 10, 2014
19106	Sterile Processing	AS50 Infusion Pump	5/1/2001	2,250.00	-	beyond useful life	March 10, 2014
19107	Sterile Processing	AS50 Infusion Pump	5/1/2001	2,250.00	-	beyond useful life	March 10, 2014
19109	Sterile Processing	AS50 Infusion Pump	5/1/2001	2,250.00	-	beyond useful life	March 10, 2014
19110	Sterile Processing	AS50 Infusion Pump	5/1/2001	2,250.00	-	beyond useful life	March 10, 2014
19111	Pediatric ICU	AS50 Infusion Pump	5/1/2001	2,250.00	-	beyond useful life	March 10, 2014
5	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
6	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
7	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
8	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
9	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
10	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
11	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
12	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
13	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
14	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
16	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
17	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
18	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
20	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
21	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
22	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
23	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
24	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
25	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
27	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014





Department turning in			Acquisition	Acquisition	Net Book	Proposed Method of	
Asset#	Equipment	Description	Date	Cost	Value	Disposal	Disposal Date
96	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
97	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
98	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
99	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
100	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
101	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
102	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
104	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
105	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
106	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
107	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
108	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
109	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
110	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
111	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
112	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
113	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
116	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
117	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
118	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
119	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
120	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
121	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
122	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
123	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
124	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19112	Pediatric Specialty Care	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19113	Pediatric Specialty Care	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19114	Pediatric Specialty Care	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19115	Pediatric Specialty Care	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19116	Pediatric Specialty Care	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014

Department turning in			Acquisition	Acquisition	Net Book	Proposed Method of	
Asset#	Equipment	Description	Date	Cost	Value	Disposal	Disposal Date
19117	Pediatric Specialty Care	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19118	General Pediatrics Unit	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19121	Pediatric Specialty Care	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19122	Pediatric Specialty Care	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19123	Pediatric Specialty Care	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19124	Pediatric Specialty Care	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19125	Pediatric ICU	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19126	Pediatric Specialty Care	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19127	Pediatric Specialty Care	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19128	Pediatric Specialty Care	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19129	General Pediatrics Unit	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19130	Pediatric Specialty Care	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19132	Pediatric Specialty Care	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19133	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19134	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19135	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19136	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19137	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19138	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19139	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19140	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19141	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19143	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19144	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19145	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19146	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19147	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19148	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19149	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19150	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19151	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014





Department turning in			Acquisition	Acquisition	Net Book	Proposed Method of	
Asset#	Equipment	Description	Date	Cost	Value	Disposal	Disposal Date
19221	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19222	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19223	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19224	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19225	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19226	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19227	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19228	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
19229	Sterile Processing	Horizon NXT Infusion Pump	5/1/2001	1,200.00	-	beyond useful life	March 10, 2014
444	Operating Room	Landmark Ent Module for Stealt	6/1/2001	40,000.00	-	beyond useful life	March 10, 2014
312	General Pediatrics	Apnea Monitor	6/1/2001	2,522.50	-	beyond useful life	March 10, 2014
795	Sterile Processing	Vacuum Sterilizer	7/1/2001	60,945.00	14,220.57	broken beyond repair	March 10, 2014
800	Urology	Duet Multiple Clinical System	7/1/2001	35,524.24	-	beyond useful life	March 10, 2014
2168	Marketing	Workstations CIP 1587	7/1/2001	19,847.03	-	broken beyond repair	March 10, 2014
1952	Newborn ICU	Blender, Gas (O2/Air)	7/1/2001	1,411.00	-	beyond useful life	March 10, 2014
1954	Newborn ICU	Blender, Gas (O2/Air)	7/1/2001	1,411.00	-	beyond useful life	March 10, 2014
1957	Newborn ICU	Blender, Gas (O2/Air)	7/1/2001	1,411.00	-	beyond useful life	March 10, 2014
1959	Newborn ICU	Blender, Gas (O2/Air)	7/1/2001	1,411.00	-	beyond useful life	March 10, 2014
1960	Newborn ICU	Blender, Gas (O2/Air)	7/1/2001	1,411.00	-	beyond useful life	March 10, 2014
1961	Newborn ICU	Blender, Gas (O2/Air)	7/1/2001	1,411.00	-	beyond useful life	March 10, 2014
1963	Newborn ICU	Blender, Gas (O2/Air)	7/1/2001	1,411.00	-	beyond useful life	March 10, 2014
1967	Newborn ICU	Blender, Gas (O2/Air)	7/1/2001	1,411.00	-	beyond useful life	March 10, 2014
1968	Newborn ICU	Blender, Gas (O2/Air)	7/1/2001	1,411.00	-	beyond useful life	March 10, 2014
1970	Newborn ICU	Blender, Gas (O2/Air)	7/1/2001	1,411.00	-	beyond useful life	March 10, 2014
1971	Newborn ICU	Blender, Gas (O2/Air)	7/1/2001	1,411.00	-	beyond useful life	March 10, 2014
1972	Newborn ICU	Blender, Gas (O2/Air)	7/1/2001	1,411.00	-	beyond useful life	March 10, 2014
1974	Newborn ICU	Blender, Gas (O2/Air)	7/1/2001	1,411.00	-	beyond useful life	March 10, 2014
1975	Newborn ICU	Blender, Gas (O2/Air)	7/1/2001	1,411.00	-	beyond useful life	March 10, 2014
997	Anesthesia	DSH40 Clr 2 Batt IBP 7020 12SL	8/1/2001	11,722.73	-	beyond useful life	March 10, 2014
998	Anesthesia	DSH40 Clr 2 Batt IBP 7020 12SL	8/1/2001	11,722.73	-	beyond useful life	March 10, 2014
1976	Newborn ICU	AS50 Infusion Pump	8/1/2001	2,250.00	-	beyond useful life	March 10, 2014

Department turning in			Acquisition	Acquisition	Net Book	Proposed Method of	
Asset#	Equipment	Description	Date	Cost	Value	Disposal	Disposal Date
1977	Newborn ICU	AS50 Infusion Pump	8/1/2001	2,250.00	-	beyond useful life	March 10, 2014
1978	Newborn ICU	AS50 Infusion Pump	8/1/2001	2,250.00	-	beyond useful life	March 10, 2014
1042	Adult Oncology Med/Surg	Clinical Information Center V2	9/1/2001	9,893.30	-	beyond useful life	March 10, 2014
1271	Operating Room	Stryker Power Equipment (Drill	10/1/2001	62,404.09	-	beyond useful life	March 10, 2014
1990	Pediatric ICU	Pump, Infusion Syringe	10/1/2001	2,250.00	-	beyond useful life	March 10, 2014
2000	Pediatric Specialty Care	Monitor CPU & Communication	11/1/2001	2,666.46	-	beyond useful life	March 10, 2014
2004	Pediatric Specialty Care	Monitor CPU & Communication	11/1/2001	2,666.45	-	beyond useful life	March 10, 2014
2005	Pediatric Specialty Care	Monitor CPU & Communication	11/1/2001	2,666.45	-	beyond useful life	March 10, 2014
2006	Pediatric Specialty Care	Monitor CPU & Communication	11/1/2001	2,666.45	-	beyond useful life	March 10, 2014
1385	Sterile Processing	Re: Assets 18542-18757 capital	12/1/2001	54,000.00	-	broken beyond repair	March 10, 2014
2076	General Pediatrics	Photo-Therapy Lamp, Free Stand	2/1/2002	3,471.14	-	broken beyond repair	March 10, 2014
1813	Operating Room	Fluoroscan Premier Imaging Sys	4/1/2002	63,500.00	-	beyond useful life	March 10, 2014
1816	Endoscopy Center	CF-Q160AL Video Colonoscope Ad	4/1/2002	24,600.00	-	beyond useful life	March 10, 2014
2048	Newborn ICU	Case Cart/Trash Linen	5/1/2002	2,669.00	-	broken beyond repair	March 10, 2014
1843	Operating Room	Dual 650 Surgical Light	6/1/2002	12,886.15	-	broken beyond repair	March 10, 2014
1844	Operating Room	650 Ceiling Mounted Light	6/1/2002	7,635.50	-	broken beyond repair	March 10, 2014
2488	Endoscopy Center	Therapeutic Video Duodenoscope	7/1/2002	27,850.00	-	beyond useful life	March 10, 2014
19241	Newborn ICU	Lactina Breast Pumps (2)	7/1/2002	1,524.40	-	beyond useful life	March 10, 2014
2539	Endoscopy Center	CF Q1602L zoom video colonosco	8/1/2002	23,529.68	-	beyond useful life	March 10, 2014
2563	Operating Room	linear t-probe	10/1/2002	10,155.21	-	beyond useful life	March 10, 2014
2596	Operating Room	Kit Tri Cam SL IPM	11/1/2002	14,041.32	-	broken beyond repair	March 10, 2014
2600	Operating Room	Kit 300W Xenon LS	11/1/2002	5,176.49	-	beyond useful life	March 10, 2014
2598	Operating Room	Kit 300W Xenon LS	11/1/2002	5,176.48	-	beyond useful life	March 10, 2014
2599	Operating Room	Kit 300W Xenon LS	11/1/2002	5,176.48	-	beyond useful life	March 10, 2014
2621	Endoscopy Center	SSD-5000-OLY Digital Ultrasoun	12/1/2002	88,736.00	-	beyond useful life	March 10, 2014
2622	Endoscopy Center	Lightweight Video Ultrasound C	12/1/2002	55,800.00	-	beyond useful life	March 10, 2014
2620	Endoscopy Center	Probe Drive For EU-M60 Compati	12/1/2002	11,600.00	-	broken beyond repair	March 10, 2014
2713	Development 700 Lomas Ste 10	Furnishings	12/1/2002	7,468.84	-	broken beyond repair	March 10, 2014
2631	Operating Room	Maquet CMAX Universal Surgical	2/1/2003	24,000.00	8,133.43	beyond useful life	March 10, 2014
2633	Operating Room	Radiolucent Imaging Top (500#)	2/1/2003	15,300.00	-	beyond useful life	March 10, 2014
3850	OSIS PACU	Narkomed GS Anesthesia Unit Ca	5/1/2003	38,027.51	-	beyond useful life	March 10, 2014

Asset#	Department turning in Equipment	Description	Acquisition Date	Acquisition Cost	Net Book Value	Proposed Method of Disposal	Disposal Date
3851	OSIS PACU	Narkomed GS Anesthesia Unit Cap	5/1/2003	38,027.50	-	beyond useful life	March 10, 2014
3852	OSIS PACU	Narkomed GS Anesthesia Unit Cap	5/1/2003	38,027.50	-	beyond useful life	March 10, 2014
3853	OSIS PACU	Narkomed GS Anesthesia Unit Cap	5/1/2003	38,027.50	-	beyond useful life	March 10, 2014
3866	OSIS PACU	Monitor, Central Nurse's Stati	5/1/2003	8,810.40	-	beyond useful life	March 10, 2014
3867	OSIS PACU	Monitor, Central Nurse's Stati	5/1/2003	8,810.40	-	beyond useful life	March 10, 2014
3854	OSIS Operating Room	Cryostar Cryosurgical System C	5/1/2003	5,975.00	-	beyond useful life	March 10, 2014
19304	Endoscopy Center	Insight EFT Software Bundle	7/1/2003	46,753.34	-	beyond useful life	March 10, 2014
3979	Endoscopy Center	Therapeutic Video Duoendoscope	8/1/2003	24,120.00	-	beyond useful life	March 10, 2014
3981	Endoscopy Center	Therapeutic Video Duoendoscope	8/1/2003	24,120.00	-	beyond useful life	March 10, 2014
3983	Endoscopy Center	GIF-Q160 Video Gastrovideoscop	8/1/2003	18,400.00	-	broken beyond repair	March 10, 2014
3856	OSIS Operating Room	APC2 Argon Plasma Coag Unit Ca	8/1/2003	16,313.00	-	beyond useful life	March 10, 2014
3990	Endoscopy Center	CLV-160 Light Source Fiberopti	8/1/2003	8,896.00	-	broken beyond repair	March 10, 2014
19293	Operating Room	Printer, Video, Instant Hard C	8/1/2003	6,631.25	-	beyond useful life	March 10, 2014
3996	Endoscopy Center	Sony 18 Inch Flat Panel LCD Ca	8/1/2003	5,260.00	-	broken beyond repair	March 10, 2014
2771	Anesthesia	Pump, Epidural Ambulatory PCA	8/1/2003	2,872.50	23.93	beyond useful life	March 10, 2014
2905	Endoscopy Center	Lightweight Video Radial Ultra	9/1/2003	65,250.00	-	beyond useful life	March 10, 2014
2897	Operating Room	NIMS Muting System	9/1/2003	22,500.00	-	beyond useful life	March 10, 2014
2853	Anesthesia	SLR8M Sngl Card	9/1/2003	10,002.72	-	beyond useful life	March 10, 2014
3229	Operating Room	Uretero-Nephroscope, Semi-Rigi	9/1/2003	9,751.16	-	beyond useful life	March 10, 2014
3230	Operating Room	Uretero-Nephroscope, Semi-Rigi	9/1/2003	9,751.15	-	beyond useful life	March 10, 2014
2893	Operating Room	Camera Head Tri	9/1/2003	9,458.88	-	broken beyond repair	March 10, 2014
2916	Lab - Blood Bank	Thermoline Plasma Thawer	9/1/2003	5,460.00	-	broken beyond repair	March 10, 2014
3016	Operating Room	Leadpoint 4 Electromyograph	11/1/2003	48,271.89	-	beyond useful life	March 10, 2014
3031	Operating Room	Leksell Stereotactic System	12/1/2003	48,355.65	-	beyond useful life	March 10, 2014
3233	Operating Room	Cystoscope	1/1/2004	31,959.09	-	broken beyond repair	March 10, 2014
3234	Operating Room	Resectoscope	1/1/2004	16,374.49	-	beyond useful life	March 10, 2014
3090	Operating Room	OPMI Sensera ENT Microscope	2/1/2004	51,825.96	-	beyond useful life	March 10, 2014
3099	Operating Room	Flex-X Uretero-Reno Fiberscope	2/1/2004	14,092.98	-	broken beyond repair	March 10, 2014
4160	Operating Room	Shelving	3/1/2004	26,499.19	14,795.61	broken beyond repair	March 10, 2014
3416	Sterile Processing	Watkins Pro Wash/Disinfectior	6/1/2004	58,863.99	16,759.77	broken beyond repair	March 10, 2014
3468	OSIS Operating Room	System Elite Premium Gold	6/1/2004	10,738.76	-	beyond useful life	March 10, 2014

Asset#	Department turning in Equipment	Description	Acquisition Date	Acquisition Cost	Net Book Value	Proposed Method of Disposal	Disposal Date
3460	Radiology - Ultrasound	P4-2 Broadband Phased Array	6/1/2004	9,750.00	-	beyond useful life	March 10, 2014
4819	Operating Room	Modular Furniture - Capitalize	10/1/2004	6,225.65	1,089.51	beyond useful life	March 10, 2014
4350	Operating Room	Innomed Hip Retractor System	12/1/2004	14,942.00	-	beyond useful life	March 10, 2014
4487	Anesthesia	Acuson CV70, 115V Ultrasound	2/1/2005	75,621.00	-	trade in	March 10, 2014
5562	Endoscopy Center	Work Stations - Capitalize CIP	7/1/2005	23,082.12	5,770.58	broken beyond repair	March 10, 2014
19388	Childrens Hosp Heart Cntr	MAC 5000 System (EKG)	7/1/2005	10,335.50	-	beyond useful life	March 10, 2014
4978	Clinical Engineering	DINAMAP 410 Monitor	8/1/2005	4,398.50	-	beyond useful life	March 10, 2014
5004	OSIS Operating Room	Tricam Three-Chip Zoom, Autocl	10/1/2005	9,591.03	-	beyond useful life	March 10, 2014
5005	OSIS Operating Room	Tricam Three-Chip Zoom, Autocl	10/1/2005	9,591.03	-	beyond useful life	March 10, 2014
5006	OSIS Operating Room	Tricam Three-Chip Zoom, Autocl	10/1/2005	9,591.03	-	beyond useful life	March 10, 2014
4994	Labor and Delivery	Microscope Trinoc Plan Obj	10/1/2005	1,037.68	-	broken beyond repair	March 10, 2014
5599	Operating Room	Adult Cysto Instrument Set	11/1/2005	37,809.83	-	beyond useful life	March 10, 2014
5124	Endoscopy Center	Evis Exera II Gastrovideoscope	11/1/2005	22,590.00	-	broken beyond repair	March 10, 2014
5133	Operating Room	Zimmer Skin Graft Mesher	11/1/2005	11,512.74	-	broken beyond repair	March 10, 2014
5119	Urology	Microflo, Digital Automatic Ur	11/1/2005	3,199.00	-	beyond useful life	March 10, 2014
5117	Pulmonary Services	Vest Airway Clearance System A	11/1/2005	3,195.00	-	broken beyond repair	March 10, 2014
5118	Pulmonary Services	Vest Airway Clearance System A	11/1/2005	3,195.00	-	broken beyond repair	March 10, 2014
5146	Sterile Processing	Mini-Pasteurmatic 120V/60	12/1/2005	6,104.25	2,501.09	broken beyond repair	March 10, 2014
5157	Operating Room	Xenon Light Source - AHBI Syst	1/1/2006	10,121.64	5,398.29	beyond useful life	March 10, 2014
5186	Medicine Clinic	Microscope w/4X, 10X, 40X, 100	1/1/2006	4,157.04	-	beyond useful life	March 10, 2014
5222	Operating Room	Sony Color Printer (part of as	3/1/2006	6,450.00	-	beyond useful life	March 10, 2014
5260	Endoscopy Center	Evis Exera II Colonovideoscope	4/1/2006	19,765.42	-	broken beyond repair	March 10, 2014
5300	Sterile Processing	Washer/Disinfector	6/1/2006	52,591.41	29,509.67	broken beyond repair	March 10, 2014
5370	Labor and Delivery	HDI 5000 Upgrade for PACS	6/1/2006	4,125.00	-	broken beyond repair	March 10, 2014
5917	Development 700 Lomas Ste 10	Modular Furniture - Capitalize	7/1/2006	8,748.45	3,062.01	broken beyond repair	March 10, 2014
20533	UPC Inpatient/Geriatrics	Hoyer Chrome Power Patient Lif	8/1/2006	1,710.00	-	broken beyond repair	March 10, 2014
5772	Operating Room	Neuro Micro Instrument Set	9/1/2006	9,242.76	-	broken beyond repair	March 10, 2014
6065	Operating Room	ISM Medical Video Producer Sof	9/1/2006	5,315.00	-	beyond useful life	March 10, 2014
6067	Operating Room	Navigations System Upgrade Ste	10/1/2006	60,859.20	-	beyond useful life	March 10, 2014
5872	Endoscopy Center	Evis Exera II Light Source	10/1/2006	11,397.50	-	broken beyond repair	March 10, 2014
5865	Urology	Olympus Video System Center	12/1/2006	57,035.35	-	beyond useful life	March 10, 2014

Asset#	Department turning in Equipment	Description	Acquisition Date	Acquisition Cost	Net Book Value	Proposed Method of Disposal	Disposal Date
5856	Anesthesia	Blood Gas Analyzer	3/1/2007	6,100.00	-	beyond useful life	March 10, 2014
5857	Anesthesia	Blood Gas Analyzer	3/1/2007	6,100.00	-	beyond useful life	March 10, 2014
5912	Finance & Accounting	5K Folder Sealer	4/1/2007	6,190.11	3,817.21	beyond useful life	March 10, 2014
6046	Arterial Blood Gas Lab	Roche Omni 6 Display Analyzerr	6/1/2007	10,000.00	-	broken beyond repair	March 10, 2014
7243	Operating Room	CO2 Surgical Laser Capitalize	7/1/2007	78,162.18	-	beyond useful life	March 10, 2014
19510	Operating Room - BBRP	BV Pulsera Mobile X-Ray Unit (	7/1/2007	70,000.00	-	beyond useful life	March 10, 2014
7049	Operating Room - BBRP	BV Pulsera Mobile X-Ray Unit (	7/1/2007	56,750.00	-	beyond useful life	March 10, 2014
6686	Operating Room - BBRP	System 15-XPS 3000 Bone Drill	7/1/2007	16,269.70	-	beyond useful life	March 10, 2014
6603	Operating Room - BBRP	Harmonic Scalpel Generator Cap	7/1/2007	13,174.48	-	beyond useful life	March 10, 2014
7872	Endoscopy	Dash 3000	7/1/2007	5,172.76	-	broken beyond repair	March 10, 2014
6359	Administration	2-Projector Presentation Syste	8/1/2007	48,551.18	-	broken beyond repair	March 10, 2014
6243	Operating Room	MFI Convex Array Transducer	8/1/2007	11,749.30	-	beyond useful life	March 10, 2014
6282	Operating Room	Force Fx-C Generator	9/1/2007	7,251.33	-	beyond useful life	March 10, 2014
6272	Operating Room	Force FX-C Generator	9/1/2007	7,251.32	-	beyond useful life	March 10, 2014
6321	Anesthesia	LMA C-Trach Kit	11/1/2007	8,195.00	2,902.41	beyond useful life	March 10, 2014
20108	CTH Physical Therapy	RT300 System with FES for Lowe	12/1/2007	16,645.01	8,183.74	broken beyond repair	March 10, 2014
6799	Anesthesia	v7m Transducer - Transesophage	2/1/2008	37,900.00	631.66	beyond useful life	March 10, 2014
6789	Operating Room	4-Way Laparoscopic Transducer	2/1/2008	15,017.95	250.29	beyond useful life	March 10, 2014
7789	OSIS Operating Room	Image 1 S3 Three-Chip Camera H	3/1/2008	11,210.38	373.67	beyond useful life	March 10, 2014
7790	OSIS Operating Room	Image 1 S3 Three-Chip Camera H	3/1/2008	11,210.38	373.67	beyond useful life	March 10, 2014
7097	Operating Room	Karl Storz Video Mediastinosco	4/1/2008	59,141.09	-	beyond useful life	March 10, 2014
7149	General Medicine (5-W)	16 Bed SPO2 Central Station	4/1/2008	49,396.93	2,469.85	beyond useful life	March 10, 2014
19808	Depreciation	Pump, Medfusion	4/1/2008	2,926.00	1,389.93	broken beyond repair	March 10, 2014
19645	storage	Pump, Medfusion	4/1/2008	2,926.00	1,292.39	beyond useful life	March 10, 2014
19684	storage	Pump, Medfusion	4/1/2008	2,926.00	1,292.39	beyond useful life	March 10, 2014
19686	storage	Pump, Medfusion	4/1/2008	2,926.00	1,292.39	beyond useful life	March 10, 2014
7109	Operating Room	Shadow Line Retractor w/Distra	5/1/2008	26,287.11	1,752.46	broken beyond repair	March 10, 2014
7795	OSIS Operating Room	Image 1 H3 Three-Chip Camera H	5/1/2008	12,900.00	860.00	beyond useful life	March 10, 2014
7548	Endoscopy Center	Evis Exera II HHD Colonovideos	6/1/2008	31,365.92	-	beyond useful life	March 10, 2014
7549	Endoscopy Center	Evis Exera II HHD Colonovideos	6/1/2008	31,365.92	-	beyond useful life	March 10, 2014
7600	Anesthesia	LF-V Tracheal Intubation Video	6/1/2008	15,322.00	1,276.82	beyond useful life	March 10, 2014

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7601	Anesthesia	LF-V Tracheal Intubation Video	6/1/2008	15,322.00	1,276.82	beyond useful life	March 10, 2014
7818	Operating Room	Image 1 H3 Three-Chip Camera H	6/1/2008	13,118.96	1,093.23	broken beyond repair	March 10, 2014
7819	Operating Room	Image 1 H3 Three-Chip Camera H	6/1/2008	13,118.96	1,093.23	broken beyond repair	March 10, 2014
7820	Operating Room	Image 1 H3 Three-Chip Camera H	6/1/2008	13,118.96	1,093.23	broken beyond repair	March 10, 2014
7821	Operating Room	Image 1 H3 Three-Chip Camera H	6/1/2008	13,118.96	1,093.23	broken beyond repair	March 10, 2014
7822	Operating Room	Image 1 H3 Three-Chip Camera H	6/1/2008	13,118.96	1,093.23	broken beyond repair	March 10, 2014
7823	Operating Room	Image 1 H3 Three-Chip Camera H	6/1/2008	13,118.96	1,093.23	broken beyond repair	March 10, 2014
7824	Operating Room	Image 1 H3 Three-Chip Camera H	6/1/2008	13,118.96	1,093.23	broken beyond repair	March 10, 2014
7826	Operating Room	Image 1 H3 Three-Chip Camera H	6/1/2008	13,118.96	1,093.23	broken beyond repair	March 10, 2014
7816	Operating Room	Image 1 H3 Three-Chip Camera H	6/1/2008	13,118.95	1,093.23	broken beyond repair	March 10, 2014
7817	Operating Room	Image 1 H3 Three-Chip Camera H	6/1/2008	13,118.95	1,093.23	broken beyond repair	March 10, 2014
7603	Anesthesia	OTV-SI Office Video System	6/1/2008	8,435.78	702.97	beyond useful life	March 10, 2014
7604	Anesthesia	OTV-SI Office Video System	6/1/2008	8,435.78	702.97	beyond useful life	March 10, 2014
7988	Anesthesia	FourSight TEE Software Upgrade	7/1/2008	39,800.00	-	beyond useful life	March 10, 2014
8062	OR - Intuitive Surgical	daVinci Instrument Trays & Ins	9/1/2008	17,216.34	-	broken beyond repair	March 10, 2014
8060	OR - Intuitive Surgical	Endoscope, Stereo, 0 Deg, Scho	9/1/2008	15,000.00	-	broken beyond repair	March 10, 2014
8061	OR - Intuitive Surgical	Endoscope, Stereo, 30 Deg, Sch	9/1/2008	15,000.00	-	broken beyond repair	March 10, 2014
8054	Radiology - Admin	EasyAccess Orthopaedic Bundle	10/1/2008	37,440.00	-	beyond useful life	March 10, 2014
8055	Radiology - Admin	EasyAccess Orthopaedic Bundle	10/1/2008	37,440.00	-	beyond useful life	March 10, 2014
8056	Radiology - Admin	EasyAccess Orthopaedic Bundle	10/1/2008	37,440.00	-	beyond useful life	March 10, 2014
8057	Radiology - Admin	EasyAccess Orthopaedic Bundle	10/1/2008	37,440.00	-	beyond useful life	March 10, 2014
8033	Radiology - Admin	EasyAccess Diagnostic & Report	10/1/2008	24,750.00	-	beyond useful life	March 10, 2014
8034	Radiology - Admin	EasyAccess Diagnostic & Report	10/1/2008	24,750.00	-	beyond useful life	March 10, 2014
8035	Radiology - Admin	EasyAccess Diagnostic & Report	10/1/2008	24,750.00	-	beyond useful life	March 10, 2014
8017	Surgical Specialties	Maxi Move 2008 - No Scale	10/1/2008	6,164.19	3,030.68	beyond useful life	March 10, 2014
19928	Operating Room	CP-5328 Polycom Video Unit wit	1/1/2009	5,503.95	1,100.82	beyond useful life	March 10, 2014
19931	Operating Room	CP-5328 Polycom Video Unit wit	1/1/2009	5,503.95	1,100.82	beyond useful life	March 10, 2014
19912	Kidney Transplant Svcs	Silver SVGA 256MB Computer	2/1/2009	6,300.00	1,365.00	broken beyond repair	March 10, 2014
8375	Orthopedics (3-S)	BVI 3000 Bladderscan	3/1/2009	16,773.63	-	beyond useful life	March 10, 2014
8412	Operating Room	Evis Exera II Video Bronchosco	5/1/2009	19,680.00	-	beyond useful life	March 10, 2014
8525	Anesthesia	Portable GVL System w/Mobile S	6/1/2009	14,868.95	-	beyond useful life	March 10, 2014

Department turning in			Acquisition	Acquisition	Net Book	Proposed Method of	
Asset#	Equipment	Description	Date	Cost	Value	Disposal	Disposal Date
8654	Operating Room	Image 1 H3 HD 3-Chip Camera He	7/1/2009	14,708.25	4,412.44	beyond useful life	March 10, 2014
8655	Operating Room	Image 1 H3 HD 3-Chip Camera He	7/1/2009	14,708.25	4,412.44	beyond useful life	March 10, 2014
8661	Operating Room	Image 1 H3 HD 3-Chip Camera He	7/1/2009	13,104.43	3,931.29	broken beyond repair	March 10, 2014
8662	Operating Room	Image 1 H3 HD 3-Chip Camera He	7/1/2009	13,104.43	3,931.29	broken beyond repair	March 10, 2014
8663	Operating Room	Image 1 H3 HD 3-Chip Camera He	7/1/2009	13,104.43	3,931.29	broken beyond repair	March 10, 2014
8664	Operating Room	Image 1 H3 HD 3-Chip Camera He	7/1/2009	13,104.43	3,931.29	broken beyond repair	March 10, 2014
8665	Operating Room	Image 1 H3 HD 3-Chip Camera He	7/1/2009	13,104.43	3,931.29	broken beyond repair	March 10, 2014
8650	Operating Room	Hopkins II 10MM 0 Degree Teles	7/1/2009	6,081.64	-	beyond useful life	March 10, 2014
8651	Operating Room	Hopkins II 10MM 30 Degree Tele	7/1/2009	6,081.63	-	beyond useful life	March 10, 2014
8652	Operating Room	Hopkins II 5MM 0 Degree Telesc	7/1/2009	6,081.63	-	beyond useful life	March 10, 2014
8653	Operating Room	Hopkins II 5MM 30 Degree Teles	7/1/2009	6,081.63	-	beyond useful life	March 10, 2014
8637	storage	IQ Kinetic Slide Stainer	8/1/2009	8,202.00	3,222.25	beyond useful life	March 10, 2014
8697	Anesthesia	Trans-Esophageal Transducer	9/1/2009	25,600.00	8,533.30	beyond useful life	March 10, 2014
9087	Operating Room - BBRP	PCF-H180AL Evis Exera II Colon	6/1/2010	34,917.11	4,849.60	beyond useful life	March 10, 2014
9086	Operating Room - BBRP	GIF-N180 Evis Exera II Gastro	6/1/2010	24,085.61	3,345.22	beyond useful life	March 10, 2014
9084	Operating Room	Ultra 360 Patient Positioning	6/1/2010	9,704.25	4,690.36	beyond useful life	March 10, 2014
9085	Operating Room	Ultra 360 Patient Positioning	6/1/2010	9,704.25	4,690.36	beyond useful life	March 10, 2014
9328	Digestive Disease Procedures	Evis Exera II Colonoscope	7/1/2010	32,622.58	5,437.11	beyond useful life	March 10, 2014
9320	Digestive Disease Procedures	Evis Exera II Endoscope	7/1/2010	27,671.34	4,611.87	beyond useful life	March 10, 2014
9321	Digestive Disease Procedures	Evis Exera II Endoscope	7/1/2010	27,671.34	4,611.87	beyond useful life	March 10, 2014
9322	Digestive Disease Procedures	Evis Exera II Endoscope	7/1/2010	27,671.34	4,611.87	beyond useful life	March 10, 2014
9323	Digestive Disease Procedures	Evis Exera II Endoscope	7/1/2010	27,671.33	4,611.87	beyond useful life	March 10, 2014
9542	Operating Room	Dual Trigger Rotary	7/1/2010	5,363.84	893.97	beyond useful life	March 10, 2014
9543	Operating Room	Dual Trigger Rotary	7/1/2010	5,363.84	893.97	beyond useful life	March 10, 2014
9544	Operating Room	Dual Trigger Rotary	7/1/2010	5,363.84	893.97	beyond useful life	March 10, 2014
9545	Operating Room	Dual Trigger Rotary	7/1/2010	5,363.84	893.97	beyond useful life	March 10, 2014
9547	Operating Room	Dual Trigger Rotary	7/1/2010	5,363.84	893.97	beyond useful life	March 10, 2014
9548	Operating Room	Dual Trigger Rotary	7/1/2010	5,363.84	893.97	beyond useful life	March 10, 2014
9549	Operating Room	Dual Trigger Rotary	7/1/2010	5,363.84	893.97	beyond useful life	March 10, 2014
9550	Operating Room	Dual Trigger Rotary	7/1/2010	5,363.84	893.97	beyond useful life	March 10, 2014
9551	Operating Room	Dual Trigger Rotary	7/1/2010	5,363.84	893.97	beyond useful life	March 10, 2014

Asset#	Department turning in Equipment	Description	Acquisition Date	Acquisition Cost	Net Book Value	Proposed Method of Disposal	Disposal Date
9552	Operating Room	Dual Trigger Rotary	7/1/2010	5,363.84	893.97	beyond useful life	March 10, 2014
9553	Operating Room	Dual Trigger Rotary	7/1/2010	5,363.84	893.97	beyond useful life	March 10, 2014
9554	Operating Room	Dual Trigger Rotary	7/1/2010	5,363.84	893.97	beyond useful life	March 10, 2014
9555	Operating Room	Dual Trigger Rotary	7/1/2010	5,363.84	893.97	beyond useful life	March 10, 2014
9562	Operating Room	System 6 Sagittal Saw	7/1/2010	5,210.00	868.35	beyond useful life	March 10, 2014
9563	Operating Room	System 6 Sagittal Saw	7/1/2010	5,210.00	868.35	beyond useful life	March 10, 2014
9564	Operating Room	System 6 Sagittal Saw	7/1/2010	5,210.00	868.35	beyond useful life	March 10, 2014
9565	Operating Room	System 6 Sagittal Saw	7/1/2010	5,210.00	868.35	beyond useful life	March 10, 2014
9566	Operating Room	System 6 Sagittal Saw	7/1/2010	5,210.00	868.35	beyond useful life	March 10, 2014
9567	Operating Room	U Driver	7/1/2010	5,210.00	868.35	beyond useful life	March 10, 2014
9568	Operating Room	U Driver	7/1/2010	5,210.00	868.35	beyond useful life	March 10, 2014
9556	Operating Room	System 6 Recip	7/1/2010	5,010.00	834.99	beyond useful life	March 10, 2014
9559	Operating Room	System 6 Recip	7/1/2010	5,010.00	834.99	beyond useful life	March 10, 2014
9560	Operating Room	System 6 Recip	7/1/2010	5,010.00	834.99	beyond useful life	March 10, 2014
9561	Operating Room	System 6 Recip	7/1/2010	5,010.00	834.99	beyond useful life	March 10, 2014
9253	Operating Room	Image 1 H3-Z HD 3-Chip Camera	8/1/2010	13,589.23	7,021.08	broken beyond repair	March 10, 2014
9533	Operating Room	Dual Trigger Rotary	8/1/2010	5,753.60	1,118.77	broken beyond repair	March 10, 2014
9534	Operating Room	Dual Trigger Rotary	8/1/2010	5,753.60	1,118.77	broken beyond repair	March 10, 2014
9536	Operating Room	Dual Trigger Rotary	8/1/2010	5,753.60	1,118.77	broken beyond repair	March 10, 2014
9537	Operating Room	Dual Trigger Rotary	8/1/2010	5,753.60	1,118.77	broken beyond repair	March 10, 2014
9538	Operating Room	Dual Trigger Rotary	8/1/2010	5,753.60	1,118.77	broken beyond repair	March 10, 2014
9540	Operating Room	U Driver	8/1/2010	5,203.61	1,011.81	beyond useful life	March 10, 2014
9541	Operating Room	U Driver	8/1/2010	5,203.61	1,011.81	beyond useful life	March 10, 2014
9539	Operating Room	System 6 Sagittal Saw	8/1/2010	5,203.60	1,011.81	beyond useful life	March 10, 2014
9430	Digestive Disease Procedures	AccuTrac pH-Z Recorder	3/1/2011	8,629.00	7,047.00	beyond useful life	March 10, 2014
26239	ED-North	Stretcher, Hydraulic	8/1/2012	5,545.75	4,555.44	beyond useful life	March 10, 2014

<b>Disposal count:</b>	<b>702</b>
<b>Disposal Acquisition Cost:</b>	<b>\$ 5,877,587.80</b>
<b>Disposition Book Value:</b>	<b>\$ 281,229.97</b>



## FINANCE, AUDIT, AND COMPLIANCE COMMITTEE

### Resolutions Recommending Approval of Disposition of Surplus Property

WHEREAS, the UNM Hospital (the "UNM Hospital") proposes to dispose of its surplus property and pursuant to Regents Policy 7.12, such disposition must be approved by governance of the University of New Mexico (the "University"), including, without limitation, the Health Sciences Center Board of Directors (the "HSC BoD"), and the Board of Regents. The disposition of surplus property of the University of New Mexico Hospital is described more fully in Exhibit C attached to these Resolutions and incorporated herein as though fully set forth in these Resolutions:

WHEREAS, this Finance, Audit, and Compliance Committee (the "Committee") of the HSC BoD, having considered the Exhibit A materials and the presentations made relative to the proposed disposition of surplus property of the University of New Mexico Hospital and having been satisfied that the process for the disposition of surplus property of the University of New Mexico Hospital, meets the requirements of the New Mexico Law, finds and concludes that the disposition of surplus property of the University of New Mexico Hospital identified above and as described in Exhibit C should be approved.

NOW THEREFORE, BE IT RESOLVED that the Committee hereby recommends to the HSC BoD that the UNM Hospital's proposed disposition of surplus property of the University of New Mexico Hospital as identified above and as described in Exhibit C should be approved.

ADOPTED BY A VOTE OF THE MEMBERS OF THE FINANCE, AUDIT AND COMPLIANCE COMMITTEE OF THE HEALTH SCIENCES CENTER BOARD OF DIRECTORS AT A MEETING HELD ON March 31, 2014.

By:   
Conrad James, its Chair



**UNM Hospital Board of Trustees  
Recommendation to HSC Board of Directors Finance and Audit Committee  
March 2014**

**Approval**

**(1) Cardinal Health Pharmaceutical Distributor**

**Mailing Address:**  
7000 Cardinal Place  
Dublin, OH 43017

**Officers Information:**  
Chairman and CEO: George S. Barrett  
Senior Vice President: Nick Augustinos

**Source of Funds:** UNM Hospital Operational Funds

**Description**

Request for agreement for the purchase of pharmaceuticals from Cardinal Health which offers more than 900 brand and generic pharmaceutical items including controlled substances, radioactive pharmaceuticals, topicals, injectables, liquids, powders, tablets and capsules.

**Previous Contract:** This is an existing contract that was approved by the Board of Regents on 6/12/2012 for two years. We are seeking approval for the duration of the current contract through 4/30/2015.

**Process:** Novation ID: DPCARD

**Total Cost:** Dependent on patient volumes, up to \$40,000,000 per fiscal year

**(2) TeleTracking Technologies, Inc.**

**Mailing Address:**  
336 Fourth Avenue, The Times Building  
Pittsburgh, PA 15222

**Officers Information:**  
Chairman and CEO: Michael Zamagias  
President: Michael Gallup

**Source of Funds:** UNM Hospital Operational and Capital Funds

**Description**

Request for approval of eight year contract with TeleTracking, a bed board solution that will be used by the UNM Health System. TeleTracking will maximize the use of hospital beds at UNMH and SRMC and improve the efficiency of patient placement in beds. The bed board solution will facilitate rapid patient entry into the UNM Health System and improve our reputation and relationships with outside providers. TeleTracking provides real-time analysis of patient volume and average length of stay, visualization of bed openings, pending discharges,

and room cleaning status. The housekeeping process is fully managed within the program, sending notifications to the Environmental Services Department as soon as a patient is discharged from a room and allowing the housekeeper to report once a room is clean and available for the next patient. TeleTracking provides dashboards of the entire health system patient capacity (both UNMH and SRMC) on one screen. The contract includes professional services for the transfer center design and operations.

**Previous Contract:** This is a new contract, providing new services.

**Process:** RFP #: P236-13

**RFP Respondents:** There were two respondents to the RFP; Cerner and TeleTracking.

**Selection Criteria:** Selection was based upon:

10% business profile, financial qualifications and references,  
10% Information Technology security,  
40% product functionality,  
20% services, ongoing support model and  
20% price proposal.

**Selection Reason:** TeleTracking was the highest scoring vendor with 86.47 points.

**Total Cost:**

Capital component:

Software License One Time Fee: \$725,148,

Implementation Fee: \$280,378,

Professional Services: \$120,300

Operational component:

Maintenance Fee: \$123,888 per year or \$991,104 for eight years.

Total operational and capital costs: \$2,116,930.



## **FINANCE, AUDIT, AND COMPLIANCE COMMITTEE**

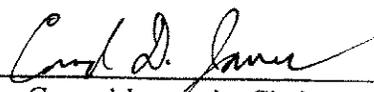
### **Resolutions Recommending Approval of Extension of Cardinal Health Pharmaceutical Distribution Contract**

WHEREAS, the UNM Hospital (the "UNM Hospital") proposes to extend the terms of the existing Cardinal Health Pharmaceutical Distribution Contract through April 30, 2015, at the University of New Mexico Hospital and pursuant to Regents Policy 7.12, such action must be approved by governance of the University of New Mexico (the "University"), including, without limitation, the Health Sciences Center Board of Directors (the "HSC BoD"), and the Board of Regents. The extension of the existing contract is described more fully in Exhibit A attached to these Resolutions and incorporated herein as though fully set forth in these Resolutions;

WHEREAS, this Finance, Audit, and Compliance Committee (the "Committee") of the HSC BoD, having considered extending the terms of the existing Cardinal Health Pharmaceutical Distribution Contract through April 30, 2015, the Exhibit A materials and the presentations made relative to the proposed extension of the terms of the existing Cardinal Health Pharmaceutical Distribution Contract through April 30, 2015, and having been satisfied that the process for extending the terms of the existing Cardinal Health Pharmaceutical Distribution Contract through April 30, 2015, meets the requirements of the New Mexico Procurement Code, finds and concludes that the extension of the terms of the existing Cardinal Health Pharmaceutical Distribution Contract through April 30, 2015, identified above and as described in Exhibit A should be approved.

NOW THEREFORE, BE IT RESOLVED that the Committee hereby recommends to the HSC BoD that the UNM Hospital's proposed extension of the terms of the existing Cardinal Health Pharmaceutical Distribution Contract through April 30, 2015, as identified above and as described in Exhibit A should be approved.

ADOPTED BY A VOTE OF THE MEMBERS OF THE FINANCE, AUDIT AND COMPLIANCE COMMITTEE OF THE HEALTH SCIENCES CENTER BOARD OF DIRECTORS AT A MEETING HELD ON March 31, 2014.

By:   
Conrad James, its Chair



**FINANCE, AUDIT, AND COMPLIANCE COMMITTEE**

**Resolutions Recommending Approval of Purchase of  
TeleTracking from TeleTracking Technologies, Inc.**

WHEREAS, the UNM Hospital (the "UNM Hospital") proposes to purchase TeleTracking from TeleTracking Technologies, Inc., a bed board solution for use by the UNM Health System to maximize the use of hospital beds at UNMH and SRMC to improve the efficiency of patient placement in beds. Pursuant to Regents Policy 7.12, such retention must be approved by governance of the University of New Mexico (the "University"), including, without limitation, the Health Sciences Center Board of Directors (the "HSC BoD"), and the Board of Regents. The purchase of TeleTracking from TeleTracking Technologies, Inc., is described more fully in Exhibit A attached to these Resolutions and incorporated herein as though fully set forth in these Resolutions;

WHEREAS, this Finance, Audit, and Compliance Committee (the "Committee") of the HSC BoD, having considered the Exhibit A materials and the presentations made relative to the proposed purchase of TeleTracking from TeleTracking Technologies, Inc., and having been satisfied that the process for the purchase from JM Smith Corporation, d/b/a QS/1 Data Systems, meets the requirements of the New Mexico Procurement Code, finds and concludes that the purchase from TeleTracking Technologies, Inc. for the proposed TeleTracking software identified above and as described in Exhibit A should be approved.

NOW THEREFORE, BE IT RESOLVED that the Committee hereby recommends to the HSC BoD that the UNM Hospital's proposed purchase of TeleTracking from TeleTracking Technologies, Inc., as identified above and as described in Exhibit A should be approved.

ADOPTED BY A VOTE OF THE MEMBERS OF THE FINANCE, AUDIT AND COMPLIANCE COMMITTEE OF THE HEALTH SCIENCES CENTER BOARD OF DIRECTORS AT A MEETING HELD ON March 28, 2014.

By:   
Conrad James, its Chair

Approval of Regents' Policy revisions to implement approval of thresholds changes in UNM levels of authority

This item came through both the Health Sciences Center Board of Directors and the Board of Regents Finance and Facilities Committee (See F&F action item 5)



## **FINANCE, AUDIT, AND COMPLIANCE COMMITTEE**

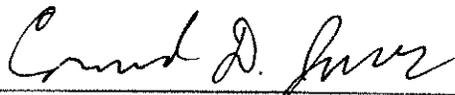
### **Resolutions Recommending Approval of Revision to Regents' Policies to Implement Approval Threshold Changes**

WHEREAS, the Management of University of New Mexico (the "Management") proposes to revise Regents' Policies 7.4 ("Purchasing"), 7.8 ("Signature Authority for Contracts"), 7.9 ("Property Management"), 7.11 ("Selection of Architects for UNM Projects") and 7.12 ("Approval of Construction Projects") and pursuant to Regents Policy 7.12, and such amendments must be approved by governance of the University of New Mexico (the "University"), including, without limitation, the Health Sciences Center Board of Directors (the "HSC BoD"), and the Board of Regents. The revision to Regents' Policies 7.4, 7.8, 7.9, 7.11 and 7.12 is described more fully in Exhibit B attached to these Resolutions and incorporated herein as though fully set forth in these Resolutions:

WHEREAS, this Finance, Audit, and Compliance Committee (the "Committee") of the HSC BoD, having considered the Exhibit B materials and the presentations made relative to the revision to Regents' Policies 7.4, 7.8, 7.9, 7.11 and 7.12 finds and concludes that the revision to Regents' Policies 7.4, 7.8, 7.9, 7.11 and 7.12 identified above and as described in Exhibit B should be approved.

NOW THEREFORE, BE IT RESOLVED that the Committee hereby recommends to the HSC BoD that Management's proposed revision to Regents' Policies 7.4, 7.8, 7.9, 7.11 and 7.12 as identified above and as described in Exhibit B should be approved.

ADOPTED BY A VOTE OF THE MEMBERS OF THE FINANCE, AUDIT AND COMPLIANCE COMMITTEE OF THE HEALTH SCIENCES CENTER BOARD OF DIRECTORS AT A MEETING HELD ON March 31, 2014.

By:   
Conrad James, its Chair



## MEMORANDUM

To: Ava J. Lovell, CPA, Senior Executive Officer for Finance and Administration

From: Douglas P. Clark, MD, Chair and Professor 

RE: Request to establish quasi-endowment at UNM Foundation

Date: March 7, 2014

The Department of Pathology requests permission to establish a new quasi-endowed fund with the UNM Foundation. This quasi-endowment will supplement the existing true endowed funds already held at the UNM Foundation in establishment of the "Maralyn S. Budke and Robert E. Anderson Endowed Chair in Cancer Drug Discovery." These additional funds from the Department of Pathology will serve to complete the required fund balance of \$1.5M for this endowed Chair.

Jointly the Cancer Center and Department of Pathology agreed to designate this new endowment in support of the research of the Center for Molecular Discovery as well in recognition of the significant achievements of the Director, Distinguished Professor Larry Sklar, PhD, over his nearly 25 years with UNM. This investment for the Center will allow continued growth in cancer research in drug discovery at the UNM Health Sciences Center.

To achieve this Distinguished Endowed Chair designated fund, the Cancer Center portioned \$1.1M of the Maralyn S. Budke endowment and the Department of Pathology reallocated the Robert E. Anderson endowment, augmented with \$377K from clinical revenue collections, in order to complete the designated endowed Chair total of \$1.5M. Once these clinical funds are approved by the UNM Regents to establish a quasi-endowment our shared investment will be fully realized.

Please contact Nancy Risenhoover, Department Administrator, at 272-5797 if you require any additional information.

THE UNIVERSITY OF NEW MEXICO  
THE UNIVERSITY OF NEW MEXICO FOUNDATION, INC.

REQUEST TO ESTABLISH A QUASI-ENDOWMENT FUND

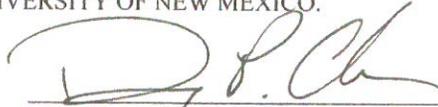
REQUESTING COLLEGE/SCHOOL: School of Medicine  
REQUESTING DEPARTMENT: Department of Pathology  
REQUESTED BY: Douglas P. Clark  
SUGGESTED FUND TITLE: Maralyn S. Budke and Robert E. Anderson Endowed Chair  
in Cancer Drug Discovery - Quasi  
AMOUNT TO BE DEPOSITED \$377,000  
(include all planned transfers):  
SOURCE OF FUNDS (Banner index Clinical Consults 254571 and FOM 254037, or UNMMG reserve funds  
numbers): Clinical revenues: transfer to 254037  
GENERAL PURPOSE: To support the Center for Molecular Discovery Research  
Center in recognition of the accomplishments of  
Distinguished Professor Larry Sklar, PhD

ACKNOWLEDGEMENT

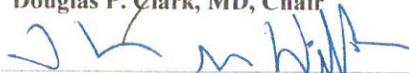
I/WE ACKNOWLEDGE THAT THE REQUESTED FUND WILL BE INVESTED AND INCOME  
DISTRIBUTED IN ACCORDANCE WITH THE REGENTS OF THE UNIVERSITY OF NEW MEXICO  
"CONSOLIDATED INVESTMENT FUND ENDOWMENT INVESTMENT MANAGEMENT POLICY",  
ADOPTED IN 1989 AND PERIODICALLY AMENDED THEREAFTER.

AS A QUASI-ENDOWMENT, WITHDRAWALS OF PRINCIPAL MAY BE ALLOWABLE ONLY UPON THE  
APPROVAL OF THE REGENTS OF THE UNIVERSITY OF NEW MEXICO.

ACKNOWLEDGED:

 2/17/14  
\_\_\_\_\_  
Douglas P. Clark, MD, Chair Date

COLLEGE/SCHOOL APPROVAL:

 3/3/14  
\_\_\_\_\_  
Thomas M. Williams, MD, Exec Vice Dean SOM Date

CHANCELLOR FOR HSC APPROVAL:

 for  
\_\_\_\_\_  
Paul B. Roth, M.D., FACEP Date  
Chancellor for Health Sciences  
Dean of the School of Medicine





## **FINANCE, AUDIT, AND COMPLIANCE COMMITTEE**

### **Resolutions Authorizing Establishment of UNM School of Medicine Department of Pathology Quasi-Endowment Funds**

WHEREAS, the Department of Pathology (the "Department") in the UNM School of Medicine has proposed to establish a quasi-endowment fund from the Department's operational reserves, described and funded with initial contributions as follows:

<i>Name of Quasi-Endowment</i>	<i>Amount of Initial Contribution</i>
Department of Pathology Endowed Chair in Cancer Drug Discovery	\$377,000

In this Resolution, this quasi-endowment funds shall be referred to collectively as the "Department of Pathology Quasi-Endowment Fund." A description of intended purposes of the Department of Pathology Quasi-Endowment Fund as presented to the Finance, Audit, and Compliance Committee (the "Committee") of the UNM Health Sciences Center Board of Directors (the "HSC BoD") is attached to these Resolutions as Exhibit "D" and incorporated in these Resolutions as though set forth fully herein.

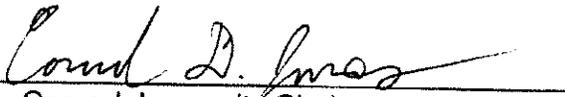
WHEREAS, the UNM School of Medicine proposes to establish the Department of Pathology Quasi-Endowment Fund and to govern the use, management and disposition of the initial contributions to the Department of Pathology Quasi-Endowment Fund in accordance with all applicable Regents Policies and with University Administrative Policy Manual, Policy 7500 governing "Endowments" and as described more fully in Exhibit "D."

WHEREAS, the Committee, having considered the Exhibit "D" materials and the presentations made relative to the Department of Pathology Quasi-Endowment Fund, finds and concludes that establishment of the proposed Department of Pathology Quasi-Endowment Fund together with the use, management and disposition of the initial contributions to the Department of Pathology Quasi-Endowment Fund described in Exhibit "D" further academic and research missions of the UNM School of Medicine and of the University as a whole and, therefore, should be approved.

NOW THEREFORE, BE IT RESOLVED that the Committee hereby recommends to HSC BoD that the Department be authorized to establish the Department of Pathology Quasi-Endowment Fund and further recommends to the HSC BoD that the govern-

ance of the use, management and disposition of the initial contribution to the Department of Pathology Quasi-Endowment Fund should be in accordance with all applicable Regents Policies and with the requirements of University Administrative Policy Manual, Policy 7500 governing "Endowments" with the uses, management and disposition of the initial contributions to the Department of Pathology Quasi-Endowment Fund as described in Exhibit "D."

ADOPTED BY A VOTE OF THE MEMBERS OF THE COMMITTEE AT A MEETING HELD ON MARCH 31, 2014.

By:   
Conrad James, its Chair

## **Executive Summary: Professional Practice Doctoral Degree in Occupational Therapy**

### **Program Description and Purpose**

Currently the UNM Occupational Therapy Graduate Program (OTGP) offers an entry-level Master of Occupational Therapy (MOT) degree. The OTGP now proposes to offer a professional practice doctoral degree in Occupational Therapy (OTD) in addition to the MOT degree. The OTD degree will be offered using a hybrid approach to learning. The proposed OTD program will prepare occupational therapists including those in rural areas to assume leadership roles that will improve the health and quality of life of our citizens. Program goals include building capacity so that therapists become:

- innovators in traditional and emerging areas of occupational therapy practice to better meet the health care needs of *all* of the people of New Mexico including underserved populations;
- scholars who analyze and create evidence for best practice;
- educators who can effectively train the next generation of therapists;
- leaders in business, education, and policy who can help advocate for, and develop systems that effectively serve individuals and communities throughout the region.

### **Evidence of Need**

The need and interest for an OTD program has been expressed by students in the Occupational Therapy Graduate Program and occupational therapists in New Mexico. In a recent survey with current students and practicing therapists in New Mexico, 71% of the respondents were “interested” or “very interested” in obtaining an OTD degree. The primary reasons listed by both groups were professional stimulation, career advancement, to become evidence based practitioners, to develop skills to influence policy in education or health care systems, and to gain professional leadership skills. Preferred method of delivery was a hybrid/blended format with both face –to-face and on-line dimensions of education (72%).

There are no professional doctoral degree programs for occupational therapists in the state of NM. As the flagship university in New Mexico, it is appropriate that UNM be responsible for education and training of occupational therapists at the doctoral level. There are 18 professional practice OTD programs in the United States but none in New Mexico. Only 5 universities in the southwest or mountain states offer doctoral degrees for occupational therapists; the only public institution in the mountain states to offer the OTD is the University of Utah which is more than 600 miles from central New Mexico. Because there are no OTD programs in New Mexico, occupational therapists interested in obtaining advanced or doctoral degrees must enroll in programs at out-of-state universities or on-line private programs at considerable cost.

### **Program Content**

The OTD program ranges from 48 credits for occupational therapists who enter the program with masters degrees to 63 credits (48 + 15 additional prerequisite credits) for occupational therapists whose highest level of education is the bachelor’s degree. All students in the OTD program must take 6 core courses (18 credits), 12 credits of electives and complete 18 credits of a professional residency/integrated project. For the 12 elective credits students can design their own specialty track from courses offered in the OTGP or from courses from other Programs and

Colleges at UNM. Allowing students to design their own specialty tracks is student centered and will meet the needs of therapists in diverse practice and clinical areas across the state.

### Evaluation and Assessment

The table below describes the types of evaluations and assessments that will be completed and the assessment timetable to determine the outcomes and success of the program.

Methods	Timetable
Formative course evaluations	Every semester
Summative course evaluations	Yearly
Student Progression	Yearly
Graduation Rates	At the time of the first graduate and yearly
Faculty workloads	Yearly
# of faculty student publications/presentations	Yearly after the first student graduates
OTD Alumni survey	At the end of 1st 5 years and thereafter

### Required Resources

The program will not significantly impact faculty workload. There will be 6 new OTD classes to be taught by senior faculty and class sizes will be small. Some students in the OTD program may be interested in teaching, so they could become involved in teaching some of existing entry-level MOT courses. All faculty members may be involved in supervising OTD residency projects. In addition, existing courses from many different UNM academic units will be suitable as electives for OTD students.

One current faculty member will assume additional responsibilities as Associate Director of the OTD Program. In addition, an existing staff member will dedicate .25 FTE to support faculty and students. We anticipate that the services of a .25 FTE instructional technology person will be needed to help faculty develop the distant learning component of the courses.

### Enrollment, Costs and Revenue

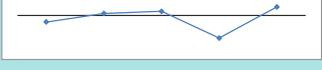
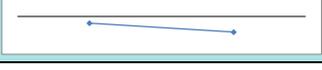
Projected enrollment will begin with 4 students the first year and reach 12 in the third year. Since we assume that most students will be working occupational therapists who will be part time students and take 1-2 courses each semester (3-6 credits), we assume that it will take about 3 years to finish. Students who pursue full time status can complete the requirements in 2 years.

Projected costs are in the following table:

	Year 1	Year 2	Year 3	Year 4	Year 5
SAC for Associate Director	\$10,000	\$10,000	\$10,000	\$10,000	\$10,000
.25 Staff + fringe	11,749	12,007	12,271	12,542	12,818
.25 IT/support + fringe	13,160	13,450	13,745	14,048	14,357
<b>Total</b>	<b>34,909</b>	<b>35,457</b>	<b>36,016</b>	<b>36,590</b>	<b>37,175</b>

The UNM OTGP is receiving tuition differential to cover the operating costs of the existing entry-level program. The OTD will also generate tuition differential to cover the operating cost of the OTD. Application fees and curriculum fees will also help to support the OTD. By the fifth year and thereafter, the program will be self-sufficient and bring in additional revenue.

**Health Sciences Center  
Metrics (Preliminary and Unaudited)**

Benchmark	Expected	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	Fiscal Year Trend	FY 2014	
		2nd Quarter YTD		February 28th YTD					
<b>Approximate Cash Flow/(Use) from Operations *</b> <i>(Amounts are in thousands)</i>	HSC	↑	\$8,793	\$3,718	\$3,767	\$4,557	\$5,039		\$7,945
	UNMMG	↑	\$1,984	\$5,325	\$730	(\$525)	\$1,971		\$6,238
	UNMH	↑	\$15,140	\$17,731	\$17,513	\$12,411	\$17,125		\$22,478
	SRMC	↑	NA	NA	NA	\$1,142	(\$664)		\$744
<b>* Approximate Cash Flow/(Use) from Operations = Operating Net Margin plus Depreciation Expense</b>									
<b>Operating Net Margin</b> <i>(Amounts are in thousands)</i>	HSC	↑	\$8,793	\$3,718	\$3,767	\$4,557	\$5,039		\$7,945
	UNMMG	↑	\$1,780	\$5,078	\$448	(\$793)	\$1,768		\$5,965
	UNMH	↑	(\$1,056)	\$317	\$650	(\$3,651)	\$1,372		\$1,419
	SRMC	↑	NA	NA	NA	(\$2,226)	(\$5,112)		(\$5,216)

**Health Sciences Center  
Metrics (Preliminary and Unaudited)**

Benchmark	Expected	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	Fiscal Year Trend	FY 2014	
		2nd Quarter YTD		February 28th YTD					
<b>Net Income/(Use of Reserves)</b> <i>(Amounts are in thousands)</i>	HSC	↑	\$2,609	(\$1,097)	(\$1,371)	(\$1,180)	\$710		\$2,015
	UNMMG	↑	\$1,280	\$5,078	(\$226)	\$864	(\$4,144)		(\$1,335)
	UNMH	↑	(\$1,056)	\$317	\$647	(\$3,481)	\$1,372		\$1,419
	SRMC	↑	NA	NA	NA	(\$2,226)	(\$5,112)		(\$5,216)
<b>Uncompensated Care</b> <i>(Amounts are in thousands)</i>	UNMMG & UNMCC	↓	\$15,480	\$18,991	\$18,280	\$23,423	\$21,261		\$28,930
	UNMH	↓	\$72,081	\$81,828	\$82,647	\$94,331	\$102,164		\$126,142
	SRMC	↓	NA	NA	NA	\$187	\$5,577		\$9,031

**Health Sciences Center  
Metrics (Preliminary and Unaudited)**

Benchmark	Expected	FY 2010 2nd Quarter	FY 2011 2nd Quarter	FY 2012 2nd Quarter	FY 2013 2nd Quarter	FY 2014 2nd Quarter	Fiscal Year Trend	FY 2014 February 28th
<b>Days Cash on Hand</b> Days of Expense in Discretionary Reserves Year End Benchmark - 10 Days	<b>HSC</b> ↑	NA	13.96	13.28	7.39	5.93		5.93
ACMPE 30 Days *	<b>UNMMG</b> ↑	37.30	45.50	16.20	35.90	45.30		41.68
UHC 75 Days **	<b>UNMH</b> ↑	45.34	63.43	50.96	46.41	46.86		53.06
UHC 75 Days **	<b>SRMC</b> ↑	NA	NA	NA	NA	16.25		26.69

\* American College of Medical Practice Executives

\*\* University HealthSystem Consortium

**Accounts Receivable Greater Than Ninety Days**

(Amounts are in thousands)

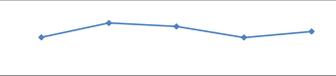
<b>HSC</b> ↓	\$1,116 9%	\$1,931 13%	\$2,367 25%	\$764 6%	\$894 7%		\$702 10%
<b>UNMMG</b> ↓	\$4,912 18%	\$3,368 14%	\$5,826 20%	\$4,466 16%	\$5,728 21%		\$6,180 17%
<b>UNMH***</b> ↓	\$44,747 28%	\$63,020 28%	\$52,470 28%	\$48,061 24%	\$64,069 28%		\$64,514 27%
<b>SRMC****</b> ↓	NA	NA	NA	NA	\$1,251 27%		\$948 15%

\*\*\* UNMH Account Receivable Greater Than Ninety Days excludes liens

\*\*\*\* SRMC Account Receivable Greater Than Ninety Days reflects Net Accounts Receivable - all other receivables are shown at Gross

**Health Sciences Center  
Metrics (Preliminary and Unaudited)**

(Amounts are in thousands)

	Expected	FY 2010 2nd Quarter YTD	FY 2011 2nd Quarter YTD	FY 2012 2nd Quarter YTD	FY 2013 2nd Quarter YTD	FY 2014 2nd Quarter YTD	Fiscal Year Trend	FY 2014 February 28th YTD
<b>Proposals Submitted</b>	↑	\$103,234	\$98,221	\$88,644	\$101,386	\$107,012		\$186,229
<b>Awards</b>	↑	\$61,755	\$85,599	\$69,091	\$71,338	\$67,626		\$80,522
<b>Earned F&amp;A</b>	↑	\$10,148	\$11,414	\$11,109	\$10,142	\$10,664		\$14,508
<b>Contract &amp; Grant Expense</b> <i>(Includes Cost Share, Program Income and F&amp;A Expense)</i>	↑	\$54,764	\$59,426	\$58,895	\$60,411	\$56,805		\$77,560



**UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER - University HSC Only\***  
**2014 Fiscal Year-to-Date Summary through February 28, 2014 (Preliminary and Unaudited)**  
(In thousands)

\* UNIVERSITY HSC ONLY INCLUDES: SOM, CON, COP, HSLIC, ADMIN, RESEARCH

	University HSC FY 2014 Re-Forecasted Budget	University HSC FY 2014 YTD 2/28/14 Actual	University HSC FY 2013 Year End Actual	University HSC FY 2014 YTD Actual to FY 2014 Re-Forecasted Budget Benchmark Rate (67%)	University HSC FY 2014 YTD Actual to FY 2013 Year End Actual Benchmark Rate (67%)
1 UNM MEDICAL GROUP REVENUES	124,203	66,603	110,686	54%	60%
2 UNM HOSPITALS REVENUES	108,975	88,739	100,233	81%	89%
3 SRMC REVENUES	16,136	10,518	11,848	65%	89%
4 UNM CANCER CENTER CLINICAL REVENUES	0	0	13,300	N/A	0%
5 TUITION AND FEES	13,738	14,347	13,177	104%	109%
6 CIGARETTE TAX APPROPRIATIONS	3,227	2,781	3,363	86%	83%
7 RPSP APPROPRIATIONS	17,736	11,532	15,924	65%	72%
8 I&G APPROPRIATIONS	62,879	42,075	60,089	67%	70%
9 I&G MAIN CAMPUS TRANSFERS	17,666	12,345	18,615	70%	66%
10 F&A REVENUES (OH RETURN)	20,800	14,508	21,459	70%	68%
11 HSC/UNM INTERNAL TRANSFERS	(2,071)	(4,742)	(3,270)	229%	145%
12 MILL LEVY	0	0	0	N/A	N/A
13 OTHER REVENUES	12,381	9,166	13,316	74%	69%
14 CONTRACT AND GRANT REVENUES	123,387	83,367	131,070	68%	64%
<b>TOTAL REVENUES</b>	<b>519,057</b>	<b>351,240</b>	<b>509,810</b>	<b>68%</b>	<b>69%</b>
15 TOTAL COMPENSATION EXPENSES	336,181	224,427	319,157	67%	70%
16 SUPPLIES/MEDICAL SUPPLIES	9,544	7,209	11,723	76%	61%
17 UNIVERSITY CLINICIANS PROGRAM	0	0	0	N/A	N/A
18 HOUSESTAFF	0	0	0	N/A	N/A
19 TRAVEL	4,895	2,805	4,656	57%	60%
20 STUDENT COSTS	2,610	1,815	2,123	70%	85%
21 PATIENT CARE COSTS	871	497	852	57%	58%
22 TELEPHONE/COMMUNICATION COSTS	2,002	1,516	2,294	76%	66%
23 PURCHASED SERVICES	19,954	14,575	24,091	73%	61%
24 OTHER MEDICAL SERVICES	0	0	0	N/A	N/A
25 SUB AWARDS/SERVICE CONTRACTS	515	753	1,499	146%	50%
26 O&M & LEASES	6,323	4,608	6,900	73%	67%
27 UTILITIES	4,262	3,150	4,874	74%	65%
28 DEPRECIATION	0	0	0	N/A	N/A
29 INTEREST EXPENSE	2,168	1,446	2,169	67%	67%
30 OTHER EXPENSES	12,377	2,934	297	24%	988%
31 CONTRACT AND GRANT EXPENSES	114,996	77,560	122,407	67%	63%
<b>TOTAL EXPENSES</b>	<b>516,699</b>	<b>343,295</b>	<b>503,042</b>	<b>66%</b>	<b>68%</b>
<b>OPERATING NET MARGIN</b>	<b>2,358</b>	<b>7,945</b>	<b>6,768</b>		
<b>NON-RECURRING ITEMS</b>					
32 CAPITAL EXPENDITURES	1,720	1,320	1,386	77%	95%
33 MEANINGFUL USE REVENUE	0	0	0	N/A	N/A
34 USE OF UNMMG RESERVES	0	0	0	N/A	N/A
35 RECRUITMENT/STARTUP/SCHOLARSHIPS	5,695	3,759	6,995	66%	54%
36 NON-RECURRING TRANSFERS	520	851	4,478	164%	19%
<b>TOTAL NON-RECURRING ITEMS</b>	<b>7,935</b>	<b>5,930</b>	<b>12,859</b>	<b>75%</b>	<b>46%</b>
<b>NET INCOME/(USE OF RESERVES)</b>	<b>(5,577)</b>	<b>2,015</b>	<b>(6,091)</b>		



**UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER - UNM Hospitals Only**  
**2014 Fiscal Year-to-Date Summary through February 28, 2014 (Preliminary and Unaudited)**  
**(In thousands)**

Clinical Operations

	UNM Hospitals FY 2014 Re-Forecasted Budget	UNM Hospitals FY 2014 YTD 2/28/14 Actual	UNM Hospitals FY 2013 Year End Actual	UNM Hospitals FY 2014 YTD Actual to FY 2014 Re-Forecasted Budget Benchmark Rate (67%)	UNM Hospitals FY 2014 YTD Actual to FY 2013 Year End Actual Benchmark Rate (67%)
1 UNM MEDICAL GROUP REVENUES	0	0	0	N/A	N/A
2 UNM HOSPITALS REVENUES	681,483	461,452	653,478	68%	71%
3 SRMC REVENUES	0	0	0	N/A	N/A
4 UNM CANCER CENTER CLINICAL REVENUES	0	0	0	N/A	N/A
5 TUITION AND FEES	0	0	0	N/A	N/A
6 CIGARETTE TAX APPROPRIATIONS	0	0	0	N/A	N/A
7 RPSP APPROPRIATIONS	13,241	8,837	12,966	67%	68%
8 I&G APPROPRIATIONS	0	0	0	N/A	N/A
9 I&G MAIN CAMPUS TRANSFERS	0	0	0	N/A	N/A
10 F&A REVENUES (OH RETURN)	0	0	0	N/A	N/A
11 HSC/UNM INTERNAL TRANSFERS	0	0	0	N/A	N/A
12 MILL LEVY	91,861	61,136	91,229	67%	67%
13 OTHER REVENUES	47,009	16,427	24,199	35%	68%
14 CONTRACT AND GRANT REVENUES	16,731	11,569	5,798	69%	200%
<b>TOTAL REVENUES</b>	<b>850,325</b>	<b>559,421</b>	<b>787,670</b>	<b>66%</b>	<b>71%</b>
15 TOTAL COMPENSATION EXPENSES	410,897	271,998	392,663	66%	69%
16 SUPPLIES/MEDICAL SUPPLIES	139,574	93,050	130,787	67%	71%
17 UNIVERSITY CLINICIANS PROGRAM	59,793	39,812	53,208	67%	75%
18 HOUSESTAFF	27,035	17,859	24,861	66%	72%
19 TRAVEL	692	465	674	67%	69%
20 STUDENT COSTS	0	0	0	N/A	N/A
21 PATIENT CARE COSTS	45,101	31,629	40,001	70%	79%
22 TELEPHONE/COMMUNICATION COSTS	3,496	2,333	3,554	67%	66%
23 PURCHASED SERVICES	34,480	21,221	23,715	62%	89%
24 OTHER MEDICAL SERVICES	23,159	16,993	23,680	73%	72%
25 SUB AWARDS/SERVICE CONTRACTS	9,203	6,175	7,947	67%	78%
26 O&M & LEASES	16,329	10,904	15,039	67%	73%
27 UTILITIES	6,171	4,210	6,118	68%	69%
28 DEPRECIATION	32,230	21,059	32,885	65%	64%
29 INTEREST EXPENSE	7,593	5,062	7,770	67%	65%
30 OTHER EXPENSES	22,843	15,232	20,578	67%	74%
31 CONTRACT AND GRANT EXPENSES	0	0	0	N/A	N/A
<b>TOTAL EXPENSES</b>	<b>838,598</b>	<b>558,002</b>	<b>783,480</b>	<b>67%</b>	<b>71%</b>
<b>OPERATING NET MARGIN</b>	<b>11,727</b>	<b>1,419</b>	<b>4,190</b>		
<b>NON-RECURRING ITEMS</b>					
32 CAPITAL EXPENDITURES	0	0	0	N/A	N/A
33 MEANINGFUL USE REVENUE	0	0	(255)	N/A	0%
34 USE OF UNMMG RESERVES	0	0	0	N/A	N/A
35 RECRUITMENT/STARTUP/SCHOLARSHIPS	0	0	0	N/A	N/A
36 NON-RECURRING TRANSFERS	0	0	0	N/A	N/A
<b>TOTAL NON-RECURRING ITEMS</b>	<b>0</b>	<b>0</b>	<b>(255)</b>	<b>N/A</b>	<b>0%</b>
<b>NET INCOME/(USE OF RESERVES)</b>	<b>11,727</b>	<b>1,419</b>	<b>4,445</b>		



**UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER - UNMMG Only**  
**2014 Fiscal Year-to-Date Summary through February 28, 2014 (Preliminary and Unaudited)**  
(In thousands)

Clinical Operations

	UNMMG FY 2014 Re-Forecasted Budget	UNMMG FY 2014 YTD 2/28/14 Actual	UNMMG FY 2013 Year End Actual	UNMMG FY 2014 YTD Actual to FY 2014 Re-Forecasted Budget Benchmark Rate (67%)	UNMMG FY 2014 YTD Actual to FY 2013 Year End Actual Benchmark Rate (67%)
1 UNM MEDICAL GROUP REVENUES	162,919	104,331	155,686	64%	67%
2 UNM HOSPITALS REVENUES	0	0	0	N/A	N/A
3 SRMC REVENUES	0	0	0	N/A	N/A
4 UNM CANCER CENTER CLINICAL REVENUES	0	0	0	N/A	N/A
5 TUITION AND FEES	0	0	0	N/A	N/A
6 CIGARETTE TAX APPROPRIATIONS	0	0	0	N/A	N/A
7 RPSP APPROPRIATIONS	0	0	0	N/A	N/A
8 I&G APPROPRIATIONS	0	0	0	N/A	N/A
9 I&G MAIN CAMPUS TRANSFERS	0	0	0	N/A	N/A
10 F&A REVENUES (OH RETURN)	0	0	0	N/A	N/A
11 HSC/UNM INTERNAL TRANSFERS	0	0	0	N/A	N/A
12 MILL LEVY	0	0	0	N/A	N/A
13 OTHER REVENUES	2,726	1,826	4,046	67%	45%
14 CONTRACT AND GRANT REVENUES	0	0	0	N/A	N/A
<b>TOTAL REVENUES</b>	<b>165,645</b>	<b>106,158</b>	<b>159,732</b>	<b>64%</b>	<b>66%</b>
15 TOTAL COMPENSATION EXPENSES	16,520	10,278	16,233	62%	63%
16 SUPPLIES/MEDICAL SUPPLIES	0	0	0	N/A	N/A
17 UNIVERSITY CLINICIANS PROGRAM	0	0	0	N/A	N/A
18 HOUSESTAFF	0	0	0	N/A	N/A
19 TRAVEL	0	0	0	N/A	N/A
20 STUDENT COSTS	0	0	0	N/A	N/A
21 PATIENT CARE COSTS	16,600	10,358	10,940	62%	95%
22 TELEPHONE/COMMUNICATION COSTS	0	0	0	N/A	N/A
23 PURCHASED SERVICES	128,220	75,359	117,399	59%	64%
24 OTHER MEDICAL SERVICES	0	0	0	N/A	N/A
25 SUB AWARDS/SERVICE CONTRACTS	0	0	0	N/A	N/A
26 O&M & LEASES	474	291	496	61%	59%
27 UTILITIES	0	0	0	N/A	N/A
28 DEPRECIATION	705	273	412	39%	66%
29 INTEREST EXPENSE	0	0	0	N/A	N/A
30 OTHER EXPENSES	7,925	3,634	4,439	46%	82%
31 CONTRACT AND GRANT EXPENSES	0	0	0	N/A	N/A
<b>TOTAL EXPENSES</b>	<b>170,444</b>	<b>100,193</b>	<b>149,919</b>	<b>59%</b>	<b>67%</b>
<b>OPERATING NET MARGIN</b>	<b>(4,799)</b>	<b>5,965</b>	<b>9,813</b>		
<b>NON-RECURRING ITEMS</b>					
32 CAPITAL EXPENDITURES	0	0	0	N/A	N/A
33 MEANINGFUL USE REVENUE	0	0	(2,699)	N/A	0%
34 USE OF UNMMG RESERVES	0	7,300	12,406	N/A	59%
35 RECRUITMENT/STARTUP/SCHOLARSHIPS	0	0	0	N/A	N/A
36 NON-RECURRING TRANSFERS	0	0	0	N/A	N/A
<b>TOTAL NON-RECURRING ITEMS</b>	<b>0</b>	<b>7,300</b>	<b>9,707</b>	<b>N/A</b>	<b>75%</b>
<b>NET INCOME/(USE OF RESERVES)</b>	<b>(4,799)</b>	<b>(1,335)</b>	<b>106</b>		



**UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER - SRMC Only**  
**2014 Fiscal Year-to-Date Summary through February 28, 2014 (Preliminary and Unaudited)**  
(In thousands)

Clinical Operations

	SRMC FY 2014 Re-Forecasted Budget	SRMC FY 2014 YTD 2/28/14 Actual	SRMC FY 2013 Year End Actual	SRMC FY 2014 YTD Actual to FY 2014 Re-Forecasted Budget Benchmark Rate (67%)	SRMC FY 2014 YTD Actual to FY 2013 Year End Actual Benchmark Rate (67%)
1 UNM MEDICAL GROUP REVENUES	0	0	0	N/A	N/A
2 UNM HOSPITALS REVENUES	0	0	0	N/A	N/A
3 SRMC REVENUES	48,514	32,896	18,927	68%	174%
4 UNM CANCER CENTER CLINICAL REVENUES	0	0	0	N/A	N/A
5 TUITION AND FEES	0	0	0	N/A	N/A
6 CIGARETTE TAX APPROPRIATIONS	0	0	0	N/A	N/A
7 RPSP APPROPRIATIONS	0	0	0	N/A	N/A
8 I&G APPROPRIATIONS	0	0	0	N/A	N/A
9 I&G MAIN CAMPUS TRANSFERS	0	0	0	N/A	N/A
10 F&A REVENUES (OH RETURN)	0	0	0	N/A	N/A
11 HSC/UNM INTERNAL TRANSFERS	0	0	0	N/A	N/A
12 MILL LEVY	7,542	5,028	20,833	67%	24%
13 OTHER REVENUES	661	272	272	41%	100%
14 CONTRACT AND GRANT REVENUES	0	0	0	N/A	N/A
<b>TOTAL REVENUES</b>	<b>56,717</b>	<b>38,195</b>	<b>40,032</b>	<b>67%</b>	<b>95%</b>
15 TOTAL COMPENSATION EXPENSES	24,994	17,243	23,364	69%	74%
16 SUPPLIES/MEDICAL SUPPLIES	12,041	8,005	6,980	66%	115%
17 UNIVERSITY CLINICIANS PROGRAM	1,709	944	117	55%	807%
18 HOUSESTAFF	110	73	44	67%	166%
19 TRAVEL	24	16	52	67%	30%
20 STUDENT COSTS	0	0	0	N/A	N/A
21 PATIENT CARE COSTS	4,268	2,819	2,544	66%	111%
22 TELEPHONE/COMMUNICATION COSTS	74	49	110	67%	45%
23 PURCHASED SERVICES	2,928	2,236	3,944	76%	57%
24 OTHER MEDICAL SERVICES	280	239	905	85%	26%
25 SUB AWARDS/SERVICE CONTRACTS	1,140	759	429	67%	177%
26 O&M & LEASES	417	237	443	57%	53%
27 UTILITIES	791	527	599	67%	88%
28 DEPRECIATION	8,953	5,960	7,958	67%	75%
29 INTEREST EXPENSE	4,342	2,754	3,675	63%	75%
30 OTHER EXPENSES	2,753	1,551	2,866	56%	54%
31 CONTRACT AND GRANT EXPENSES	0	0	0	N/A	N/A
<b>TOTAL EXPENSES</b>	<b>64,823</b>	<b>43,411</b>	<b>54,030</b>	<b>67%</b>	<b>80%</b>
<b>OPERATING NET MARGIN</b>	<b>(8,107)</b>	<b>(5,216)</b>	<b>(13,998)</b>		
<b>NON-RECURRING ITEMS</b>					
32 CAPITAL EXPENDITURES	0	0	0	N/A	N/A
33 MEANINGFUL USE REVENUE	0	0	0	N/A	N/A
34 USE OF UNMMG RESERVES	0	0	0	N/A	N/A
35 RECRUITMENT/STARTUP/SCHOLARSHIPS	0	0	0	N/A	N/A
36 NON-RECURRING TRANSFERS	0	0	0	N/A	N/A
<b>TOTAL NON-RECURRING ITEMS</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>N/A</b>	<b>N/A</b>
<b>NET INCOME/(USE OF RESERVES)</b>	<b>(8,107)</b>	<b>(5,216)</b>	<b>(13,998)</b>		



**UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER - Consolidated HSC**  
**2014 Fiscal Year-to-Date Summary through February 28, 2014 (Preliminary and Unaudited)**  
(In thousands)

Clinical and Academic Operations

	Consolidated HSC FY 2014 Re-Forecasted Budget	Consolidated HSC FY 2014 YTD 2/28/14 Actual	Consolidated HSC FY 2013 Year End Actual	Consolidated HSC FY 2014 YTD Actual to FY 2014 Re-Forecasted Budget Benchmark Rate (67%)	Consolidated HSC FY 2014 YTD Actual to FY 2013 Year End Actual Benchmark Rate (67%)
1 UNM MEDICAL GROUP REVENUES	287,122	170,934	266,372	60%	64%
2 UNM HOSPITALS REVENUES	790,458	550,191	753,711	70%	73%
3 SRMC REVENUES	64,650	43,414	30,775	67%	141%
4 UNM CANCER CENTER CLINICAL REVENUES	0	0	13,300	N/A	0%
5 TUITION AND FEES	13,738	14,347	13,177	104%	109%
6 CIGARETTE TAX APPROPRIATIONS	3,227	2,781	3,363	86%	83%
7 RPSP APPROPRIATIONS	30,976	20,369	28,890	66%	71%
8 I&G APPROPRIATIONS	62,879	42,075	60,089	67%	70%
9 I&G MAIN CAMPUS TRANSFERS	17,666	12,345	18,615	70%	66%
10 F&A REVENUES (OH RETURN)	20,800	14,508	21,459	70%	68%
11 HSC/UNM INTERNAL TRANSFERS	(2,071)	(4,742)	(3,270)	229%	145%
12 MILL LEVY	99,403	66,164	112,062	67%	59%
13 OTHER REVENUES	62,776	27,691	41,833	44%	66%
14 CONTRACT AND GRANT REVENUES	140,119	94,936	136,868	68%	69%
<b>TOTAL REVENUES</b>	<b>1,591,743</b>	<b>1,055,014</b>	<b>1,497,244</b>	<b>66%</b>	<b>70%</b>
15 TOTAL COMPENSATION EXPENSES	788,592	523,946	751,417	66%	70%
16 SUPPLIES/MEDICAL SUPPLIES	161,160	108,263	149,490	67%	72%
17 UNIVERSITY CLINICIANS PROGRAM	61,502	40,756	53,325	66%	76%
18 HOUSESTAFF	27,145	17,932	24,905	66%	72%
19 TRAVEL	5,611	3,286	5,382	59%	61%
20 STUDENT COSTS	2,610	1,815	2,123	70%	85%
21 PATIENT CARE COSTS	66,841	45,303	54,337	68%	83%
22 TELEPHONE/COMMUNICATION COSTS	5,572	3,898	5,958	70%	65%
23 PURCHASED SERVICES	185,582	113,392	169,149	61%	67%
24 OTHER MEDICAL SERVICES	23,438	17,232	24,585	74%	70%
25 SUB AWARDS/SERVICE CONTRACTS	10,858	7,687	9,875	71%	78%
26 O&M & LEASES	23,543	16,040	22,878	68%	70%
27 UTILITIES	11,225	7,886	11,591	70%	68%
28 DEPRECIATION	41,887	27,291	41,255	65%	66%
29 INTEREST EXPENSE	14,103	9,261	13,614	66%	68%
30 OTHER EXPENSES	45,898	23,352	28,180	51%	83%
31 CONTRACT AND GRANT EXPENSES	114,996	77,560	122,407	67%	63%
<b>TOTAL EXPENSES</b>	<b>1,590,564</b>	<b>1,044,901</b>	<b>1,490,471</b>	<b>66%</b>	<b>70%</b>
<b>OPERATING NET MARGIN</b>	<b>1,179</b>	<b>10,113</b>	<b>6,773</b>		
<b>NON-RECURRING ITEMS</b>					
32 CAPITAL EXPENDITURES	1,720	1,320	1,386	77%	95%
33 MEANINGFUL USE REVENUE	0	0	(2,954)	N/A	0%
34 USE OF UNMMG RESERVES	0	7,300	12,406	N/A	59%
35 RECRUITMENT/STARTUP/SCHOLARSHIPS	5,695	3,759	6,995	66%	54%
36 NON-RECURRING TRANSFERS	520	851	4,478	164%	19%
<b>TOTAL NON-RECURRING ITEMS</b>	<b>7,935</b>	<b>13,230</b>	<b>22,311</b>	<b>167%</b>	<b>59%</b>
<b>NET INCOME/(USE OF RESERVES)</b>	<b>(6,756)</b>	<b>(3,117)</b>	<b>(15,538)</b>		

**GLOSSARY OF REPORT CATEGORIES**

FINANCIAL STATEMENT CATEGORY		UNM	UNMMG	UNM Hospitals	SRMC
1	UNM MEDICAL GROUP REVENUES	Clinical revenue primarily based on Physician or Provider efforts and collection through UNMMG	Net patient services revenue including SCI & UPL from UNMMG Clinical operations	N/A	N/A
2	UNM HOSPITALS REVENUES	Revenue from University Hospital to the School of Medicine	N/A	Hospital facility revenue based on patient billings by UNMH	N/A
3	SRMC REVENUES	Revenue from SRMC to the School of Medicine	N/A	N/A	Hospital facility revenue based on patient billings by SRMC
4	UNM CANCER CENTER CLINICAL REVENUES	Cancer Center patient revenue posted from the global billings and Infusion Suite net income. Clinical Adult Infusion Suite/RO/MO	N/A	N/A	N/A
5	TUITION AND FEES	Tuition & Fees, including tuition differentials	N/A	N/A	N/A
6	CIGARETTE TAX APPROPRIATIONS	Revenues received from the State as a calculated portion of Tax Revenue on the sale of tobacco products for use in Cancer Research	N/A	N/A	N/A
7	RPSP APPROPRIATIONS	Revenue received for current operations made available to UNM by act of NM State Legislature on an annual basis for Research, Public Service and Independent Operations	N/A	Revenue received for current operations made available to UNMH by act of NM State Legislature on an annual basis for Research, Public Service and Independent Operations	N/A
8	I&G APPROPRIATIONS	Revenue received for current operations made available to UNM by act of NM State Legislature on an annual basis for I&G operations	N/A	N/A	N/A
9	I&G MAIN CAMPUS TRANSFERS	Partial transfer of tuition and formula workload generated by Nursing, Pharmacy and SOM	N/A	N/A	N/A
10	F & A REVENUES (OH RETURN)	Revenue from recovery of indirect costs (F&A) incurred by a Contract or Grant also known as overhead return	N/A	N/A	N/A
11	HSC/UNM INTERNAL TRANSFERS	All transfers to/from HSC Unrestricted Funds excluding the I&G Main Campus Transfer and Transfers for Debt Service	N/A	N/A	N/A
12	MILL LEVY	N/A	N/A	Mil levy taxes collected on behalf of the Bernalillo Country Treasurer and remitted to UNMH as required by the Hospital Funding Act.	Mil levy taxes collected on behalf of the Sandoval Country Treasurer and remitted to SRMC as required by the Hospital Funding Act.
13	OTHER REVENUES	All other Revenues - not contained in any other category - Sales & Services (not Internal Service Center P18 sales), Gains on Sponsored Projects, Gifts, Endowment Spending Distributions, Investment Income, etc.	Other operating revenues	All other revenues not contained in any other category: Investment income, equity loss in Tricare, donated revenue, gain/loss on sale of assets, food, nutrition, catering & vending revenue, medical records revenue, rent revenue, all other non-operating revenue	All other revenues not contained in any other category: Investment income, donated revenue, gain/loss on sale of assets, food, nutrition, catering & vending revenue, medical records revenue, rent revenue, all other non-operating revenue
14	CONTRACT AND GRANT REVENUES	All Restricted Funds Revenue and Unrestricted Contract Revenue for example VA contracts	N/A	Revenue associated with restricted and unrestricted contracts and grants	N/A

### GLOSSARY OF REPORT CATEGORIES

FINANCIAL STATEMENT CATEGORY	UNM	UNMMG	UNM Hospitals	SRMC
15 TOTAL COMPENSATION EXPENSES	Salary, wages & benefits	Salaries and benefits considered to be operating and certain clinical expenses of UNMMG	Salary, wages & benefits	Salary, wages & benefits
16 SUPPLIES/MEDICAL SUPPLIES	Office supplies, computer supplies, dues & memberships, postage charges, recruitment expenses, non capital equipment, computers, food, lab supplies, uniforms, training materials, etc.	N/A	Medical supplies for: lab, radiology, blood, pharmaceuticals, biologics, implantable devices, office supplies, computer supplies, photocopy expense, forms, linen, food, uniforms, and training materials.	Medical supplies for: lab, radiology, blood, pharmaceuticals, biologics, implantable devices, office supplies, computer supplies, photocopy expense, forms, linen, food, uniforms, and training materials.
17 UNIVERSITY CLINICIANS PROGRAM	N/A	N/A	Special clinician program to support SOM	Special clinician program to support SOM
18 HOUSESTAFF	N/A	N/A	Reimbursement of patient care services provided by residents who are employed by SOM.	Reimbursement of patient care services provided by SOM through UNMMG.
19 TRAVEL	Travel - in state, out of state, foreign, business meals, rental vehicles, new employee moving expenses, vehicle fuel etc.	N/A	Travel - in state, out of state, business meals, rental vehicles, flights, ground transportation, vehicle fuel etc.	Travel - in state, out of state, business meals, rental vehicles, flights, ground transportation, vehicle fuel etc.
20 STUDENT COSTS	Student tuition/fee expense, student travel, scholarships/fellowships, housing, insurance for students, student awards, etc.	N/A	N/A	N/A
21 PATIENT CARE COSTS	Patient Care costs for inpatient, outpatient care & lab, pharmacy and x-ray services for patients	Patient Care costs for inpatient, outpatient care & lab, pharmacy and x-ray services for patients	Patient Care costs for inpatient, outpatient care including Tricare laboratory expense, OR instruments, NM Insurance pool premiums, kidney acquisition, step down care (snf) for IV dependent patients, radiation oncology and patient assistance	Patient Care costs for inpatient, outpatient care including Tricare laboratory expense, OR instruments, NM Insurance pool premiums, kidney acquisition, step down care (snf) for IV dependent patients, radiation oncology and patient assistance
22 TELEPHONE/COMMUNICATION COSTS	Telephone, voicemail, cellular, long distance, paging and data networking charges	N/A	Telephone, voicemail, cellular, long distance, paging and data networking charges	Telephone, voicemail, cellular, long distance, paging and data networking charges
23 PURCHASED SERVICES	Alarm fees, internet fees, architectural services, auditing services, printing/copying/binding fees, conference/event fees, honoraria, insurance charges (general liability, professional liability), legal services, electronic journals & books, consultant fees, etc.	Includes payment to UNM SOM for physician and other provider services	Recruitment, professional, legal, auditing, consulting fees, promotional/graphics, IT Hosting Cerner, Siemens and PACS, safety and risk services, equifax, laundry, malpractice and liability insurance, etc.	Recruitment, professional, legal, auditing, consulting fees, promotional/graphics, IT Hosting Cerner, Siemens and PACS, safety and risk services, equifax, laundry, malpractice and liability insurance, etc.
24 OTHER MEDICAL SERVICES	N/A	N/A	Pathology contract, physician services, Executive Medical Directors, Medical Directors, dialysis services for UNM Care patients, OMI MOU, Adult Infusion net	Physician services
25 SUB AWARDS/SERVICE CONTRACTS	Unrestricted Sub Awards, Gain/Loss on Unrestricted Projects	N/A	All service contracts	All service contracts
26 O&M & LEASES	Plant maintenance and repairs, equipment repairs and maintenance, property insurance, auto insurance, facility rent expense	Building maintenance and repairs, equipment repairs and maintenance, repair parts, equipment rent, property insurance, auto insurance, facility rent expense, and housekeeping supplies	Building maintenance and repairs, equipment repairs and maintenance, repair parts, equipment rent, property insurance, auto insurance, facility rent expense, and housekeeping supplies	Building maintenance and repairs, equipment repairs and maintenance, repair parts, equipment rent, property insurance, auto insurance, facility rent expense, and housekeeping supplies

**GLOSSARY OF REPORT CATEGORIES**

FINANCIAL STATEMENT CATEGORY		UNM	UNMMG	UNM Hospitals	SRMC
27	UTILITIES	Natural gas, electricity, steam, sewer, water, chilled water	N/A	Natural gas, electricity, steam, sewer, water, chilled water	Natural gas, electricity, steam, sewer, water, chilled water
28	DEPRECIATION	N/A	The annual amortization for the furniture and equipment.	The annual amortization for the capital outlay associated with building, building improvement, fixed and moveable equipment which is based on the estimated useful lives of the assets as determined by the AHA "Estimated useful lives of Depreciable Hospital Assets".	The annual amortization for the capital outlay associated with building, building improvement, fixed and moveable equipment which is based on the estimated useful lives of the assets as determined by the AHA "Estimated useful lives of Depreciable Hospital Assets".
29	INTEREST EXPENSE	Transfers to cover debt service	N/A	Interest expense associated with the series 2004 FHA Insured Hospital Mortgage Revenue Bonds.	SRMC receives subsidy payments related to interest payments under the federal Build America Bond program. Under the program SRMC applies for subsidy funds commensurate with each bond payment, so the application for the subsidy is made semiannually. Also includes interest expense on the Series A & B Bonds.
30	OTHER EXPENSES	Banking fees, cost of goods sold, research costs, royalties, bad debt expense, other operating costs, Banner tax, etc.	Billings & collections expenses and other operating expenses of UNMMG	Programming, application, software and maintenance expenses, non capital equipment (less than \$5k), signs, dues & memberships, freight, postage, subscriptions, licenses/permits, Gap Tax, Intergovernmental Transfers for the SCI and IME, bond issuance costs, capital initiatives and other non-operating expenses.	Programming, application, software and maintenance expenses, non capital equipment (less than \$5k), signs, dues & memberships, freight, postage, subscriptions, licenses/permits, Gap Tax, Intergovernmental Transfers for the SCI and IME, bond issuance costs, capital initiatives and other non-operating expenses.
31	CONTRACT AND GRANT EXPENSES	All Restricted Funds Expense and Unrestricted Contract Expense for example VA contracts	N/A	N/A	N/A
32	CAPITAL EXPENDITURES	Equipment/furniture >\$5,000, Computer hardware, library acquisitions, etc.	N/A	N/A	N/A
33	MEANINGFUL USE REVENUE	N/A	Medicare and Medicaid programs provide incentive payments for hospital and physicians that make meaningful use of certified electronic health record technology.	Medicare and Medicaid programs provide incentive payments for hospital and physicians that make meaningful use of certified electronic health record technology.	N/A
34	USE OF UNMMG RESERVES	N/A	To fund and support the mission of the Health Sciences Center.	N/A	N/A
35	RECRUITMENT/STARTUP/SCHOLARSHIPS	Chair and Startup Packages, Dean's Scholars/Professorships, and Recruitment Costs	N/A	N/A	N/A
36	NON-RECURRING TRANSFERS	Transfers from current unrestricted funds to plant funds or any other non-recurring transfers	N/A	N/A	N/A



**UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER**  
**Balance Sheet for the Health Sciences Center**  
**2014 Fiscal Year as of February 28, 2014 (Preliminary and Unaudited)**  
**(In thousands)**

	University HSC	UNM Hospitals	UNMMG	SRMC
<b>ASSETS</b>				
1 Cash and cash equivalents	19,967	115,859	15,892	3,732
2 Marketable securities	29,950	34,294	-	-
Receivables:				
3 Patient, net	1,278	83,702	24,771	7,109
4 Receivable from Affiliates	44,634	6,226	4,535	485
5 Estimated third party payor settlements	-	26,779	-	1,025
6 County Treasurer	-	7,714	-	273
7 Contracts, Grants and Other	16,970	3,847	-	1,626
8 Other	3,283	15,164	938	2,564
Total Current Assets	<u>116,082</u>	<u>293,585</u>	<u>46,136</u>	<u>16,814</u>
Assets whose use is limited:				
9 Held by trustee for debt service & other	-	41,933	-	20,714
10 By UNM Hospital Board of Trustees	-	19,418	-	-
11 Board and Other Designated Assets	89,084	-	22,839	-
12 Endowments	100,797	-	-	-
13 Capital Assets, net of depreciation	268,538	247,848	1,522	131,169
<b>TOTAL ASSETS</b>	<u><b>574,501</b></u>	<u><b>602,784</b></u>	<u><b>70,497</b></u>	<u><b>168,697</b></u>
<b>LIABILITIES</b>				
14 Accounts Payable	4,338	30,848	6,154	5,160
15 Other accrued liabilities	30,127	41,448	-	2,398
16 Payable to Affiliates	1,346	33,274	18,433	2,827
17 Bonds payable - current	843	5,240	-	4,700
18 Interest payable bonds	331	1,303	-	1,084
19 Estimated third party payor settlements	-	19,150	-	-
20 Bonds payable - non current	18,182	154,180	-	138,725
21 Other liabilities - non current	28,029	7,618	-	-
22 Sponsored Project and Other Deferred Revenue	11,074	-	-	-
<b>TOTAL LIABILITIES</b>	<u>94,270</u>	<u>293,061</u>	<u>24,587</u>	<u>154,894</u>
<b>NET POSITION</b>				
23 Restricted - expendable grants, bequests and contributions	102,804	14,148	-	2
24 Restricted - trust indenture and debt agreement	-	25,416	-	11,445
25 Committed and Dedicated agreements	119,438	-	22,839	-
26 Discretionary Reserve	8,476	169,168	21,549	2,356
27 Assets invested in Capital, net of related debt	249,513	100,991	1,522	-
<b>TOTAL NET POSITION</b>	<u><b>480,231</b></u>	<u><b>309,723</b></u>	<u><b>45,910</b></u>	<u><b>13,803</b></u>
Current Ratio	3.14	2.29	1.88	2.50
Days Cash on Hand	N/A	53.06	41.68	26.69



**UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER**  
**Glossary of Report Categories**

	University HSC	UNM Hospitals	UNMMG	SRMC
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**ASSETS**

1	Cash and cash equivalents	Funds on deposit with Financial Institution with UNM	Funds on deposit with Financial Institution	Funds on deposit with Financial Institution	Funds on deposit with Financial Institution, plus the remaining balance of the \$46m
2	Marketable securities	Funds invested in securities in accordance with UNM Investment policy	Funds invested in US Treasury	N/A	N/A
3	Patient, net	Pediatric/Newborn Transport Services, net of estimated contractual allowances and estimated bad debt	Patient Receivable after adjustments for discounts and allowances	Patient Receivable for care provided by UNM SOM facility and other practitioners, net of estimated contractual allowances and estimated bad debt	Patient Receivable for facility costs, net of estimated contractual allowances and estimated bad debt
4	Receivable from Affiliates	Related Party Receivables for goods or services provided to UNM Hospitals, UNMMG and SRMC	Related Party Receivable from University HSC and SRMC	Related Party Receivable for negative arbitrage for SRMC	Related Party Receivable from UNMMG and UNMH
5	Estimated third party payor settlements	N/A	Accounts Receivable for IME/GME /DSH	N/A	
6	County Treasurer	N/A	Bernalillo County Mil Levy Receivable	N/A	Sandoval County Mil Levy Receivable
7	Contracts, Grants and Other	Sponsored project receivables and other services invoiced by UNM such as OMI, Resident Physician contracts, etc.	Accounts Receivable from Contracts/UNM MG/Special Billing/Investments	N/A	Other receivable balances. As of year end, a receivable was recorded for the insurance proceeds associated with the damage in the OR due to the flood
8	Other	Prepaid contractual obligations, such as library subscription services and maintenance contacts	Prepaid Expense and Inventory	Pharmacy Inventory	Prepaid Expense and Inventory
9	Held by trustee for debt service & other	N/A	Debt/Mortgage reserve funds to include collateral and intangible assets	N/A	Funds held in Trustee Accounts, Mortgage reserve funds, bond debt service accounts
10	By UNM Hospital Clinical Operations Board	N/A	Investment in TriWest/Tricare Reference Laboratory	N/A	N/A
11	Board and Other Designated Assets	Funds dedicated to UNM Hospitals Capital Initiatives and unexpended plant funds	14	N/A	Department, SOM Investment in UNMMG and Quality Reserves
					The original bond proceeds were used to purchase GNMA's which collateralize the mortgage with Prudential



**UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER**  
**Glossary of Report Categories**

	University HSC	UNM Hospitals	UNMMG	SRMC
12 Endowments	Balance of endowed corpus funds generating revenue according to donor intent	N/A	N/A	N/A
13 Capital Assets, net of depreciation	Property, Plant and Equipment	Land, Property, Plant and Equipment	Furniture and Equipment	Land, Property, Plant and Equipment
<b>LIABILITIES</b>				
14 Accounts Payable	Unpaid balances owed to vendors for goods and services; amounts owed to employees for expense reimbursements	Amount owed to creditors	Unpaid balances owed to vendors for goods and services; accrued payroll and other payroll benefits and taxes	Unpaid balances owed to vendors for goods and services
15 Other accrued liabilities	Payroll withholding liabilities and estimated value of compensated absences	Accrued liabilities to include payroll, compensated benefit, and Incurred but not Recognized (IBNR) State Coverage Insurance	N/A	Accrued liabilities to include payroll, compensated benefits and other payroll benefits and taxes
16 Payable to Affiliates	Unpaid balances for services provided by UNM Hospitals, and UNMMG	Unpaid balances for services provided by University HSC	Unpaid balances for services provided by University HSC for purchased services, special arrangements, and indigent distribution	Unpaid balances for services provided by University HSC, UNMMG and UNMH
17 Bonds payable - current	Debt service payment that will be due within the next twelve months	Series 2004 Bonds payable in next year - FHA Insured Hospital Mortgage Revenue Bonds	N/A	Debt service payment that will be due within the next twelve months
18 Interest payable bonds	Interest Payable on outstanding debt	Interest Payable Series 2004 Bonds (FHA Insured Hospital Mortgage Revenue Bonds)	N/A	Interest Payable on Bonds
19 Estimated third party payor settlements	N/A	Medicare/Medicaid Settlements	N/A	N/A
20 Bonds payable - non current	Outstanding balance due in the long-term for bond funding	Series Bonds Payable in the long-term (FHA Insured Hospital Mortgage Revenue Bonds)	N/A	Outstanding balance due in the long-term for FHA Insured Hospital Revenue Bonds
21 Other liabilities - non current	Estimated future cost associated with Other Post Retirement Benefits (OPEB) and self-funded health insurance plan	Estimated future cost associated with Other Post Employment Benefits (OPEB) and bond premiums	N/A	The balance of the mortgage payable
22 Sponsored Project and Other Deferred Revenue	Prepaid contract and grant revenue, tuition, and other prepaid student fees	15 N/A	N/A	N/A



**UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER**  
**Glossary of Report Categories**

**University HSC**

**UNM Hospitals**

**UNMMG**

**SRMC**

**NET POSITION**

23	Restricted - expendable grants, bequests and contributions	Restricted monies used in accordance with donor intent, contracts and bequests	Restricted monies used in accordance with donor intent, contracts and bequests	N/A	Restricted monies used in accordance with donor intent, contracts and bequests
24	Restricted - trust indenture and debt agreement	N/A	Restricted monies for Debt Service, Collateral, and Mortgage Reserve	N/A	Restricted monies for Mortgage reserve, Bond Debt Service
25	Committed and Dedicated agreements	Funds that have been committed for future expenditures. Dedicated funds have a clear focused purposed with for specific use.	N/A	Department, SOM Investment in UNMMG and Quality Fund Balances	N/A
26	Discretionary Reserve	Remaining fund balance after accounting for all restricted, committed and dedicated funds	Chief operating fund for all financial resources except those accounted for in another fund	Other operating reserves	Chief operating fund for all financial resources except those accounted for in another fund
27	Assets invested in Capital, net of related debt	Property, Plant and Equipment after adjustment for related bond debt	Property, Plant and Equipment after adjustment for related bond debt	Furniture and Equipment after adjustment for related bond debt	Property, Plant and Equipment after adjustment for related bond debt

**MEMORANDUM**

**TO:** UNM HSC, UNMH, UNMMG and UNM SRMC Leadership  
**FROM:** Jamie Silva-Steele, Interim President and Chief Executive Officer  
**RE:** Recovery Plan Dashboard Week ending March 15, 2014  
**DATE:** March 18, 2014

**Recovery Plan Implementation Update/Target:**

<b>Action Item #1: Enhance/Expand Medical Specialty Services and Physician Capacity</b>							
	<b>2/8</b>	<b>2/15</b>	<b>2/22</b>	<b>3/1</b>	<b>3/8</b>	<b>3/15</b>	<b>Target</b>
Admissions	60	49	64	70	56	44	<b>102/week; 440/month;</b>
Census	284	298	361	328	316	254	<b>380/week; 1,650/month</b>
GI Procedures	25	25	6	11	9	10	<b>13/week; 54/month</b>
<b>Action Item #2: Enhance/Expand Surgical Services and Physician Capacity</b>							
	<b>2/8</b>	<b>2/15</b>	<b>2/22</b>	<b>3/1</b>	<b>3/8</b>	<b>3/15</b>	<b>Target</b>
Total Surgeries	73	86	90	80	71	65	<b>87/week; 375/month</b>
Inpatient Surgeries	20	23	12	23	24	21	<b>35/week; 150/month</b>
Outpatient Surgeries	53	63	78	57	47	48	<b>52/week; 225/month</b>
<b>Action Item #3: Embrace the Open Medical Staff Model</b>							
	<b>2/8</b>	<b>2/15</b>	<b>2/22</b>	<b>3/1</b>	<b>3/8</b>	<b>3/15</b>	<b>Target</b>
% Community Surgeries	20.5%	35%	29%	36%	24%	29%	<b>40%</b>
<b>Action Item #4: Maximize Inpatient Capacity between UNMH and SRMC</b>							
	<b>2/8</b>	<b>2/15</b>	<b>2/22</b>	<b>3/1</b>	<b>3/8</b>	<b>3/15</b>	<b>Target</b>
SRMC to UNMH	6	9	7	4	11	7	<b>&lt; 7/week; 30/month</b>
UNMH to SRMC	8	3	10	5	4	0	<b>&gt; 14/week; 60/month</b>
ED Visits	264	244	263	289	276	262	<b>332/week; 1,440/month</b>
Radiology Proc.	551	554	504	618	530	553	<b>462/week; 2,004/month</b>
Clinic Visits	558	507	531	585	463	600	<b>641/week; 2,780/month</b>
<b>Action Item #5: Decrease SRMC Operating Expenses</b>							
	<b>2/8</b>	<b>2/15</b>	<b>2/22</b>	<b>3/1</b>	<b>3/8</b>	<b>3/15</b>	<b>Target</b>
Worked FTEs	321.02	NA	337.73	NA	347.98	NA	<b>368.45 FTEs</b>
Paid FTEs	353.12	NA	359.66	NA	368.88	NA	<b>409.39 FTEs</b>
<b>Action Item #6: Achieve Sustainable Profitability and Positive Cash Flow</b>							
	<b>2/8</b>	<b>2/15</b>	<b>2/22</b>	<b>3/1</b>	<b>3/8</b>	<b>3/15</b>	<b>Target</b>
Patient Cash Collected	.7119	.6939	.7164	.7169	1.565	1.413	<b>\$1.185/week; \$5.136/month</b>
Total Cash Collected	.7168	1.955	1.054	.8449	1.572	1.414	<b>\$1.327/week; \$5.838/month</b>
AR > 90 days	50.3%	49.1%	49.2%	46.7%	44.1%	44%	<b>&lt;20%</b>
Total DNFB PFS	3.06	2.938	3.22	3.071	3.209	3.55	<b>&lt; \$2.532 million</b>

Update from the Provost

(will be provided at the meeting)

**UNM-Los Alamos**  
Associate of Applied Science in Environmental Technology  
Executive Summary  
Dawn Venhaus, Department Chair of Science  
March 25, 2014

The Environmental Technology program goal is to provide an opportunity for students in Northern New Mexico to gain the education, certification, and experience to qualify for entry level technician jobs in a variety of environmental science specialties. The program would enable them to specialize in biological, geological, or chemical based environmental sampling and testing. This would be achieved through traditional coursework combined with hands on experience with industry standard techniques and equipment. Many of the jobs in this area require specialized training that proves a barrier to interested and otherwise qualified individuals. This program would provide a path for students to overcome these challenges and be successful in a lucrative and fulfilling career.

The Associate of Applied Science in Environmental Technology program fulfills the mission of both UNM and UNM-Los Alamos through its strong partnerships with regional employers, high quality instruction, and an emphasis on experiential learning through mentorships and internships. This program would allow students to gain direct experience working with experts in the field prior to seeking employment as technicians. The vision for this program is that it will remain responsive both to student goals and the changing job market.

This is a unique opportunity that fills a need which is indicated by the number of jobs available, the Bureau of Labor Statistics' projected 15-24% job growth in targeted fields, the regional employers willing to partner with UNM-LA, letters of support from LANL, House Joint Memorial 7, and the lack of programs that offer a certificate or AAS in environmental technology. Los Alamos National Laboratory, Bandelier National Monument, New Mexico Consortium, New Mexico Environmental Department, DOE Oversight Bureau, and Portage, Inc. are represented on the Advisory Board. In order to meet this need, we plan an aggressive recruitment campaign prior to the 2014/2015 academic year targeting traditional students, returning veterans who are interested in retraining, those seeking jobs who may be encountering obstacles to employment. This last group will be identified through contacts with the program partners and non-profits and state organizations that provide services to the unemployed. The following table represents the projected enrollment figures for the program:

<b>Year</b>	<b>Projected Enrollment</b>
1	Minimum 10 students
2	Minimum 20 students
Following years	Minimum 20 students

In order to make this program financially sustainable and provide the highest quality of coursework for students, we have drawn heavily on existing courses, created partnerships that would supplement UNM-LA's capabilities, and identified opportunities for students to gain experience in their field. Much of the lab coursework will be conducted in the field with professionals working in their jobs. Students will have the opportunity to observe and participate in these activities. This partnership will increase student

learning and drastically reduce the amount of new equipment needed. This strategy will enhance student's hands on experience, but will not replace the required internship. If equipment is needed in the classroom, the program coordinator and advisory board will reach out to regional partners for donation of equipment.

This program draws heavily on existing UNM-LA courses, and requires a minimum of 63 credit hours. The curriculum consists of courses designed to enhance each student's academic capabilities including writing and communication, mathematical reasoning, and scientific methods in the physical sciences. All the courses were carefully chosen with extensive input from the Advisory Board in order to give graduates the best background for careers as a technician. Eight new courses were created for the program, six of which are required for graduation. They include Environmental Policy, Field Methods I & II, HAZWOPR, Maps and Geospatial Laboratory, Fermentation and Bio-Production, Internship, and Topics. While the program is science and math based it includes courses in writing, philosophy, business technology, statistics, economics, and computer technology which provides the student with critical thinking, problem solving, and communication skills needed for a successful career. This coursework will meet the program goals of:

1. Students will be proficient in verbal and written communication skills
2. Students will have proficiency with industry standard equipment and techniques including GIS, ArcGis, HAZWOPR, water, soil and biological sampling, field methods, sample analysis, and chain of custody
3. Students will be familiar and able to comply with laws and policies that apply to their job
4. Students will have had at least a one semester internship directly related to their desired job
5. Students will understand the Biology, Chemistry, Geology, and Physics related to their job as an environmental technician

In order to maintain a high quality and relevant program, the advisory board and program coordinator will review the program on a yearly basis and making suggestions on changes that will meet regional needs. In addition, the program will be assessed on financial sustainability and educational effectiveness through analysis of imbedded course outcomes assessment, review of student performance in internships, student satisfaction, student job placement, and program costs.

New resources for this program are minimal and include: 3-5 additional TPT faculty for new classes, additional time commitment for program coordinator, supplies for labs which will be covered by lab fees comparable to existing fees, and oversight of partnerships with local employers providing internships, equipment, and mentoring for students in the program. Below is a table that outlines the new monetary needs of the program over the next three years.

	Year 1	Year 2	Year 3
Faculty	\$12,000	\$12,000	\$12,000
Student Outreach	\$1,000	\$1,000	\$1,000
Miscellaneous	\$750	\$750	\$750
Total Expenses	\$13,750	\$13,750	\$13,750

# MASTER'S IN PUBLIC POLICY AT UNM

**Departments of Economics, Political Science and Sociology,  
and the School of Public Administration**

## EXECUTIVE SUMMARY

Economic uncertainty, high poverty rates, low graduation rates and drought are among the challenges facing the state of New Mexico. The proposed Master's in Public Policy (MPP) program would train a new generation of analysts who can serve the state by identifying and championing data-driven policy options. The applied research, critical thinking, oral presentation and writing skills of MPP graduates will contribute to improved decision-making in local and state government agencies, in Native communities, and in the private and non-profit sectors.

1. Program Description: The MPP will be administered jointly by the School of Public Administration and the Departments of Economics, Political Science and Sociology, and will use existing courses in these departments to build an academically demanding and individually specialized curriculum to train professionals who can rigorously analyze policy, budget, and program questions. The curriculum will emphasize data analysis and interpretation, critical thinking, and oral and written communication. Students completing the MPP will have the skills to become professional policy analysts for government agencies, non-profit advocacy and research groups, and private firms. Representatives from these organizations will serve on an advisory board that will help align the program with community and employer needs.

2. Evidence of Need: At present, there is no degree program available within the state of New Mexico for students seeking graduate-level training in public policy. Both UNM and NMSU offer the Master in Public Administration (MPA) degree, but these differ in notable ways from the proposed MPP degree. The key difference between the two degrees is that the MPP is designed to train policy analysts for both the public and private sectors, while the MPA's major goal is to train public and nonprofit managers.

Potential employers in State and Local governments have identified a strong need for professionals who can rigorously analyze policy, budget, and program questions. Desired skills include critical thinking, data analysis and interpretation, and oral and written communication. Officials in State agencies are keenly interested in developing a pipeline of well-trained students from this program to support the work of state government. Letters of support accompany this proposal.

Although "Policy Analyst" does not appear as an occupation category in the NM Workforce Solutions database, some of our targeted jobs might be categorized as "Budget Analyst" and "Management Analyst." New Mexico's Department of Workforce Solutions projects that growth in Analyst positions will exceed average job growth, and that employers will need to fill 570 new Analyst positions in the next ten years. (See table in Appendix I.) Taken together with the interest of government officials, and the small size of the program, we foresee robust demand for MPP graduates.

3. Program Content: The MPP will be a 2-year, 48-credit program in which students acquire the methodological and statistical skills and the substantive knowledge necessary to enter the workforce as qualified policy analysts. Students will pursue a core curriculum that includes advanced training in applied econometrics, economic analysis of public policy, public policy and the political process, organizational behavior, policy and diversity, public management and ethics, and financial management. Students will also develop expertise in a substantive policy area such as Social Welfare, Economic Development, Health, Education, the Environment, Security, Criminology and Native American communities. A required internship that forms the basis for a comprehensive policy report will give students practical policy analysis experience. An ongoing public policy seminar will develop leadership, writing, presentation and other professional skills by, in part, hosting policy analysts from across the state as guest presenters.

4. Evaluation and Assessment: A successful MPP program will produce students who can: (1) evaluate research literature pertaining to policies and programs, (2) analyze data with appropriate statistical methods and commonly used statistical software, (3) produce well-argued and technically rigorous policy reports that provide politically feasible and institutionally sensitive recommendations, and (4) effectively communicate the content of these reports to general and diverse audiences verbally and in writing. The comprehensive policy report will serve as the basis for evaluation of these learning outcomes.

After four years of operation, the program would be eligible for accreditation by the Network of Schools of Public Policy, Affairs, and Administration (NASPAA).

5. Required Resources: The program will rely primarily on existing courses. Two new courses will provide the a the policy seminar and internship. A part-time faculty director will teach these courses and coordinate the program with the assistance of a .5FTE program coordinator and a .25 FTE graduate assistant. The program will also require resources to develop a website, recruit students and send a representative to the annual NASPAA meeting.

6. Projected Enrollment: The program will enroll (and graduate) about 10 students each year. At any given time, there will be about 20 students enrolled.

7. Costs: Total costs are about \$55,000 annually. The Dean of Arts and Sciences and the Director of the School of Public Administration have agreed to cover the estimated \$10,000 annual cost for the MPP Director's course releases. The Provost's Office has committed the remaining \$45,000. See documentation in the Budget tab of this proposal.

At full enrollment, revenues from tuition will be in the \$135,000 to \$247,000 range, depending on the number of students paying out-of-state tuition. Revenues will therefore more than cover costs, and the program could conceivably offer more programming and student support under a revenue-sharing arrangement.

# Office of the Provost

## EL FUTURO ES NUESTRO Imagining the Future of the University of New Mexico College of Education



## Goals of the project:



Advance the profile of the UNM College of Education to national stature



Focus on teacher and leadership preparation that meets the unique needs of New Mexicans



Renew commitment to graduate-level education that contributes to the field

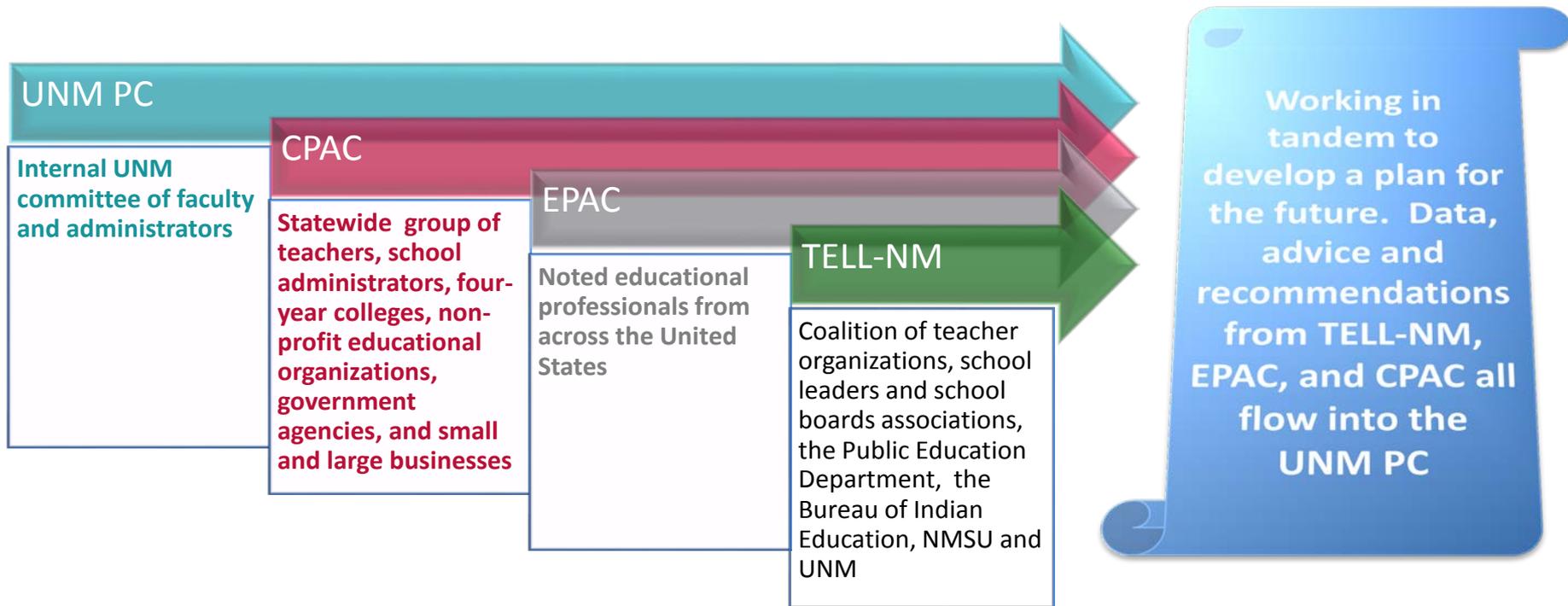
# Office of the Provost



## HOW?

- Based on the best research-based knowledge from a variety of disciplines, plus survey of New Mexico teachers & school leaders in public & tribal schools
- Incorporating the knowledge and expertise of a broad range of professionals from across the country, across New Mexico, and from within the UNM Colleges of Education and Arts & Sciences to develop a plan for the future.

# Office of the Provost



Materials will be provided at the meeting

Tab 28

This item deleted from the agenda

Tab 29

This item deleted from the agenda

KUNM Radio Board Annual Report to the Board of Regents  
January 2013 - December 2013

The KUNM Radio Board was established by the UNM Board of Regents to make recommendations to station management on programming issues and other important station policies and practices. The KUNM Radio Board, referred to in this report as the Board, provides a vital forum where KUNM staff and volunteers as well as members of the KUNM listening community are free to voice their views and concerns at monthly meetings. It is the Board's duty, along with hearing volunteer grievances, to review the issues brought before it and to act accordingly to ensure that KUNM is operated according to the Board of Regents Policy on KUNM.

The Board operates under bylaws approved by the Board of Regents. The bylaws require that the Board prepare an annual report for the Board of Regents that covers all actions taken, concerns raised, and recommendations made. This January 2013 – December 2013 report is supported by the Board's meeting minutes, which are posted on the radio station's web page.

### **KUNM Displacement**

#### Issues

- § The Board continues to be concerned about the status of the scheduled demolition of Oñate Hall, KUNM's current location, under Phase III of the University's plans for new resident housing. Careful planning must be done in the event of a KUNM displacement to avoid any loss of service to our listeners. If the demolition plans are still underway, an action plan should be created as soon as possible to identify a new location and to prepare for a smooth transition from Oñate Hall to the station's new facility.

#### Actions Taken

- § Discussions among Board members, volunteers, and KUNM staff are ongoing regarding this important matter.

#### Recommendations

- § The Board will request an update from the Board of Regents regarding the displacement issue and ask that a contingency plan be developed in collaboration between the University and KUNM to assist with the transition to a new location.
- § The Board will be proactive and exercise whatever powers it may have to ensure that there is a smooth transition, if and when KUNM moves to a new base of operation.

### **KUNM Strategic Plan**

#### Issues and Actions taken

- § The Strategic Plan for 2011-2015 is in its fourth year. The board continues to work with the station staff, volunteers, and Board members to assume its role in the plan's implementation.

#### Recommendations

- § The Board will work on its assignments and continue to make periodic inquiries with station staff regarding the implementation of the plan.

### **Role of the Radio Board**

#### Issues

- § The Board wanted to clarify to new and existing members its role as an advisory board and compare it to the roles of other boards that have a governing mandate. Several Board members discussed how the Board could be more effective in its advisory capacity while still remaining within its mandated boundaries.

#### Actions Taken

- § A two-hour training session on the roles and impacts of advisory boards was conducted in

August of 2013 by Tina Ludutsky-Taylor, a community member with experience in Board development and governance. Rebecca Phillips, a Board member, organized the training and helped facilitate it. After the training, the Board established new committees and started the development of an annual work plan calendar. Committees formed were Membership, Programming, Governance & Grievance, and Fundraising.

#### Recommendations

- § It was recommended and implemented that the Board should alternate its monthly meetings between regular business meetings and ones that include work sessions for the different committees. It was also recommended and implemented that the Board meet at Oñate Hall to accommodate committee work sessions in separate rooms. This venue change will also give the Board more opportunities to interact with KUNM staff and volunteers.

### **Board Membership**

#### Issues

- § New members join the Board at different times of the year. This ensures good overlap between new and exiting members but also leads to a lot of time being spent in meetings introducing and updating new members instead of focusing on the issues at hand. The Board is currently working on an election/appointment schedule that would have half of the Board members elected/appointed in September and seated in October and the other half elected/appointed in March and seated in April.
- § The Board should diversify its membership to more closely represent the demographics of the community. The board should also reach out to the KUNM Board constituent groups to ensure that vacancies are filled as soon as possible.

#### Actions taken

- § The Membership Committee has started reaching out to the different Board constituent groups to inquire about changing election/appointment and to fill vacancies as needed.
- § A new informational document was finalized that will assist with Board member recruitment.

#### Recommendations

- § The Board will take a more active role in encouraging the constituent groups to recruit/select a more diverse Board and to request that vacancies are filled as needed.

### **Programming**

#### Issues

- § The Board has not undertaken a KUNM program review in the past few years as mandated by its bylaws.

#### Actions taken

- § The Programming Committee has devised a work plan for the development of a yearlong program review that will start in 2014.

#### Recommendations

- § The Board will strive to improve its impact on KUNM and its listening community through its more focused and engaged Programming Committee work.

### **Fundraising**

#### Issues

- § The Board needs to take a more active role in assisting KUNM's fundraising goals.

#### Actions taken

- § The Fundraising Committee has met with the KUNM Development team to determine how the Board can assist with pledge drives, auctions, and general fundraising.

#### Recommendations

- § The Board will continue to work with KUNM management and its development team to assist

the station as needed to help diversify and increase KUNM's funding sources.

### **Board Governance**

#### Issues

- § The Governance and Grievance Committee started looking at the Board's bylaws to see if edits were necessary. They noticed that simple editing and formatting changes, and also substantive changes, needed to be made.

#### Actions taken

- § The Governance and Grievance Committee compiled suggested bylaw edits and brought them up for discussion at the December 2013 general Board meeting. One of the sections under review is the programming section. Discussions have been focused on ways to streamline the process whereby station management consults with the Board on programming changes.

#### Recommendations

- § The Board will continue to work on suggested changes to the bylaws and will make final recommendations to the Board of Regents for approval later in 2014.

### **Grievances**

#### Issues

- § One of the Board's duties is to hear and decide on volunteers' grievances. No grievances were presented to the Board in 2013.

#### Action Taken and Recommendations

- § New Board members must receive grievance training, as required by the KUNM Radio Board bylaws. John Walker, an attorney and former Board member, conducted a grievance training in September of 2013. The next one is tentatively scheduled for October of 2014.

### **Current Board Membership**

#### Current Board roster

- § Election of four community representatives took place in March of 2013. Willis Duff, Dixie Cole, Marc Robert, and John Brown currently hold the four seats. The two alternates, Bob Davis and Brian Neal, have been attending and participating in committee meetings. Having willing and engaged alternates participate in Board activities has been a bonus to the Board.
- § Undergraduate Students: Pauly Denetclaw, one vacancy
- § Graduate/ Professional Student: Benjamin Jones
- § Faculty Members: David Weiss, one vacancy
- § University Staff Member: Elmo Riggs
- § Elected Community Members: Willis Duff (Secretary), Dixie Cole, Marc Robert, and John R. Brown. Alternates: Bob Davis and Brian Neale.
- § Provost Appointed at Large Members: Armelle Casau (Vice Chair), Rebecca Phillips, one vacancy
- § Elected KUNM Volunteer Representative: Henry Gonzales (Chair)

This report was prepared by the KUNM Radio Board and was reviewed and recommended for approval by KUNM Management on January 13, 2014. It was reviewed and approved by motion of the KUNM Radio Board on January 14, 2014. It was forwarded to UNM's Deputy Provost for the Board of Regents' information on February 7, 2014.

**UNIVERSITY OF NEW MEXICO PARENTS ASSOCIATION**

**ONE-YEAR ACTION PLAN**

<b>Key Components of the Vision</b>	<b>Summer 2013</b>	<b>Fall 2013</b>	<b>Spring 2014</b>	<b>Yearend Accomplishment</b>
<i>Dialogue</i>	<ul style="list-style-type: none"> <li>Identify resources to create infrastructure</li> <li>Build a compelling case for creation of website, etc.</li> <li>Get website and other systems up and running</li> </ul>	<ul style="list-style-type: none"> <li>Assess suitability of resources and identify gaps</li> <li>Market and advertise</li> </ul>	<ul style="list-style-type: none"> <li>Tweak and maintain systems</li> </ul>	Email system, social media, blog developed and updated on regular basis
<i>Engagement</i>	<ul style="list-style-type: none"> <li>Form a membership team to identify added value of PA</li> </ul>	<ul style="list-style-type: none"> <li>Develop a 1-year recruitment strategy</li> </ul>	<ul style="list-style-type: none"> <li>Implement strategy</li> <li>Assess results</li> </ul>	Active membership; Family Weekend, Parent Day at the Pit, FAFSA seminars
<i>Student Achievement</i>	<ul style="list-style-type: none"> <li>Research processes for registration and class schedules to inform ourselves</li> </ul>	<ul style="list-style-type: none"> <li>Analyze pressure points for exerting influence</li> </ul>	<ul style="list-style-type: none"> <li>Exert influence</li> </ul>	Assessment of registration and scheduling processes, allies and resources
<i>Student Achievement</i>	<ul style="list-style-type: none"> <li>Research and inform ourselves on how offices can work with us on student pathways</li> </ul>	<ul style="list-style-type: none"> <li>Survey parents on opportunities for student mentorships and employment</li> </ul>	<ul style="list-style-type: none"> <li>Assess preliminary results and do additional planning</li> </ul>	Parent survey conducted on Lottery Scholarship, activities at the Legislature Scholarship program reinstated for fall semester



UNM

*Retiree Association*

## Retirees in Higher Ed:

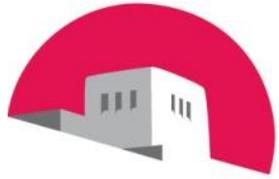
**“An Enormous Untapped  
Resource & Money in the Bank”**



UNM

*Retiree Association*

- 
- **Continue to serve & advocate for all retired faculty & staff (see; <http://retassoc.unm.edu/>)**
  - **Promote a culture that recognizes, encourages & values retired faculty & staff contributions to all aspects of campus & community life**
  - **Protect UNM retirees benefits by working with Regents & Administrators via service on Faculty-Staff Benefits Committee, Educational Retirement Board & by interacting with NM Legislature on retirement issues.**



UNM

*Retiree Association*

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## **ACTIVITIES SINCE OUR LAST REPORT:**

- **Service on ERB Stakeholders Board**
- **Active Participants on Retirement Health Care Issues, when allowed**
- **Monthly Educational Activities (e.g., guided museum tours, lectures, etc.)**
- **Gala Holiday Party & Business Meeting**
- **New, improved web site (<http://retassoc.unm.edu/>)**
- **College for Emeriti Faculty & Staff**
- **Plans for May Business Meeting**

**UNIVERSITY OF NEW MEXICO  
ALUMNI ASSOCIATION  
BOARD OF REGENTS REPORT  
April 8, 2014**

**Vision** (Why we exist): *The Alumni Association is a vital partner in the continued excellence of the University of New Mexico through the significant engagement of alumni.*

**Mission** (What we are striving for): *To serve as a bridge between alumni and the university ensuring the continued success of the university and enriching the lives of alumni.*

<p>Strengthen collaboration with campus constituents to meet the needs of UNM.</p>	<p>The <b>Lobos for Legislation Committee</b> is currently reviewing legislators to be honored for the <b>2014 Higher Education Distinguished Legislator Award</b>. The honoree will be recognized at the June 6 Alumni Volunteer Event at the Albuquerque Country Club. Mark your calendars.</p> <p>The Alumni Association’s <b>Lobos for Legislation Committee</b> and <b>Government Relations Office</b> are working on organizing a special event this spring to honor nine state representatives (Kiki Saavedra, Tom Taylor, Rick Miera, Nate Cote, Ed Sandoval, Ernest Chavez, William Grey, Tom Bratton and Anna Crook) who will not seek election or another term in the New Mexico State Legislature.</p> <p>The Alumni Association emailed the <b>Alumni Attitude Survey</b> to alumni on 08/08/13 with 2591 responding. The survey, an analytical tool, has allowed the Alumni Association and the University to receive direct alumni feedback in better understanding the needs and attitudes of our alumni. On Tuesday, Wednesday, and Thursday, March 18, 19, and 20, Rob Shoss, Managing Consultant, Performance Enhancement Group, came to campus and shared the survey results. The following groups were invited to attend: President Frank’s Senior Leadership Group, the Alumni Association’s Executive Committee, College Deans, and representatives from the Foundation/Development Office , Executive Cabinet, Student Affairs, Academic Affairs, Communication, and Athletic Departments.</p>
<p>Foster enduring involvement with, pride in and commitment to UNM, its colleges, schools and programs.</p>	<p>Graduation events are being planned for UNM’s <b>Golden Graduates</b> in the <b>classes of 1954 and 1964</b>. The Alumni Relations Office will be working with the Alumni Collaborative group to coordinate graduation and homecoming reunions/receptions for this special group of alumni. Additional efforts are being focused on Branch Campus involvement.</p>
<p>Engage students in ways that will develop lifetime ties to UNM.</p>	<p>The <b>Young Alumni Advisory Board</b> is planning spring and summer events, including <b>Spring Storm, Grad Fair</b>, and the <b>New Graduate Wine and Cheese Reception</b> on Thursday, May 15<sup>th</sup> at Hodgin Hall Alumni Center. In late May, the <b>Community Service Committee</b> is planning a camping trip to clean various trails and wildlife areas in the east mountains.</p>

	<p>In June, the <b>Young Alumni Professional Development Committee</b> has plans to host a speed networking event with local employers. They will address some of the results from the Alumni Attitude Survey in an attempt to develop a plan to engage young alumni in other career service and skills development projects.</p> <p><b>Trailblazers</b> held a successful <b>Student Engagement and Philanthropy Day</b> on Wednesday and Thursday, February 26 and 27, as part of a national event hosted by CASE ASAP. The event was called “<b>PAWSitive Appreciation for Donors</b>” where students could thank a donor on a Lobo Paw card in exchange for cookies. Over 300 paw cards were on display at the SUB during the week of the 125<sup>th</sup> Anniversary celebration.</p> <p>After two months of recruitment, <b>fourteen new members</b> were added to the Trailblazer roster. The class includes a Regent Scholar, Presidential Scholars, ASUNM leaders, Emerging and Advanced Lobo Leaders, residence hall leaders, and members of the honor and cultural societies.</p>
<p>Expand the tradition of philanthropy toward UNM among alumni.</p>	<p>The Alumni Association <b>Scholarship Subcommittee</b> are in the process of selecting students who have applied for the Association’s <b>13 Undergraduate</b> and <b>3 Graduate Scholarships</b> for the 2014-15 school year. There were 75 applicants this year. The amount of Association endowed scholarship funds awarded this year is \$20K.</p>
<p>Communicate effectively with our diverse group of alumni.</p>	<p>The <b>Alumni Association</b> joined forces with the <b>Lobo Club</b> and the <b>Alumni Lettermen</b> to host receptions at the <b>Mountain West Conference Basketball Championship Tournament</b> in Las Vegas. A capacity crowd of 500 Alumni and Lobo fans attended each of the two receptions. Across the country at game watching parties, alums gathered to watch the Lobos in the NCAA Tournament game on March 21<sup>st</sup>.</p> <p><b>Lobo Day</b> season for Chapters ended in mid-March with the <b>Washington, DC</b> and <b>Austin Chapters</b> holding their annual events. UNM Professors Miguel Gandert (Washington, DC) and Kevin Comerford (Austin) were the guest speakers for the events.</p>
<p>Develop and steward resources to ensure a sustained and dynamic association.</p>	<p>Plans are under way for celebrating the <b>50<sup>th</sup> and 60<sup>th</sup> anniversaries</b> of UNM’s 1954 and 1964 graduates. Events on Friday, May 16<sup>th</sup> include a walking tour of campus, robe fittings, a reunion reception, and the <b>50 Year Heritage Club</b> induction dinner at the Hodgin Hall Alumni Center. On Saturday, May 17<sup>th</sup>, the <b>Golden Grads</b> will lead the Commencement procession onto the floor of the PIT. Several Golden Grads will be hosted by their prospective school or college</p>

	<p>for a lunch or dinner and/or participation in their convocation ceremonies.</p> <p>On Thursday, February 20<sup>th</sup>, the Alumni Association honored 24 of the Association's <b>Past Presidents</b> and their spouses at a dinner at Hodgin Hall. It was an evening of reflection, camaraderie and the unveiling of the Presidents' Boardroom named in their honor. The 125<sup>th</sup> Anniversary trailer was shown and proved one of the highlight of the evening. That evening, if one was to add the number of volunteer years represented by the Past Presidents in attendance, the total number of <b>volunteer years of service</b> to the University would be <b>851</b>.</p>
<p>Encourage community service and leadership among alumni and students.</p>	<p>Spring <b>College Fair</b> season has begun. Over the next couple of months alums from every chapter and area around the country will take part in assisting to hos college fairs for UNM.</p> <p>Each year the UNM Alumni Association presents awards to a select number of seniors who have demonstrated outstanding leadership skills and have provided continued service to the University and the community through their endeavors, helpfulness, good spirit, and cooperation. The <b>2014 Trailblazer of the Year Award</b> recipient is Kate Ayala. The <b>2014 Citizenship Award</b> winners are: Adrian Avila, Patrick Coronel, Malika Ladha, Arturo Lemos, Bianca Martinez, and Cecilia Velasco. The Award will be presented by the Alumni Association, at the <b>Student Recognition Reception</b> on Friday, April 4, 2014 at 6:30 p.m. in the Student Union Building (SUB) Ballrooms. <b>Mayor Richard Berry</b> will deliver the key note address. The Student Recognition Reception is sponsored by the UNM Alumni Association.</p>
<p>Garner greater recognition and visibility for Alumni Association programs and service to the university and community.</p>	<p>The Alumni Association hosted its annual <b>Washington, DC Congressional Reception</b> on Capitol Hill on Tuesday, March 4<sup>th</sup> amid government closures and snow storms. The reception took place in the Hart Senate Office building with 91 in attendance. Among them were Senators Tom Udall and Martin Heinrich and Representative Jerry McNerney. Congressman McNerney, representing California's District 9, is a 3 time graduate of the University of New Mexico.</p> <p>On Thursday, April 24, 2014 at 6:00 pm the <b>Lobo Living Room</b> will present a "behind the scenes" look at the world of Public Television at <b>KNME</b>. Attendees will see what it takes to bring Public Television to screens, followed by a tour of the facility (including the Studio and Master Control Room).</p> <p><b>Lobo Living Room</b> presents <b>A Night at the Santa Fe Opera</b> on Thursday, May 29 starting with a tour of the Santa Fe Opera facilities, costume and design studios at 5:30pm; 6:30 pm the performance of "Avastar", a family friendly</p>

	<p>performance; and concluding at 7:15 pm with a reception sponsored by the <b>UNM Alumni Association, the UNM Foundation and the College of Fine Arts.</b></p>
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**Minutes of the Meeting of the  
UNM Health Sciences Center Board of Directors  
March 7, 2014  
Domenici Center for Health Sciences Education Bldg., Room 3010**

UNM Health Sciences Center Board of Directors (the “Board”) members present: Suzanne Quillen, Chair, Michael Olguin, Conrad James, Mel Eaves

UNM Leaders present: President Bob Frank

UNM Health Sciences Center (“HSC”) Leaders present: Chancellor Paul Roth and other members of the Health System and Health Sciences Center leadership

Others present: members of the HSC faculty and staff; community members

Chair Suzanne Quillen called the meeting of the Board to order at 2:04 p.m. The Chair established that a quorum of the members of the Board was present.

Approval of Agenda

A motion was made to adopt the published agenda. The motion was seconded. There was no discussion. The motion passed with a vote of 3-0-0.

Approval of Minutes of Prior Meeting

A motion was made to approve the minutes of the February 7, 2014 meeting of the Board. The motion was seconded. There was no discussion. The motion passed with a vote of 3-0-0.

Chancellor’s Report

Chancellor Roth announcements included:

- The Health Sciences Center hosted a national meeting with the Association of American Medical Colleges (AAMC) and Urban Universities for Health Consortium, and the National Institutes of Health (NIH) in looking at health care workforce projections.
- Dr. Vojo Deretic, Chair, UNM School of Medicine Molecular Genetics and Microbiology Department, received a \$3.3 million grant from NIH to perform research on Infectious Diseases.
- Along with Dr. Valerie Romero-Leggott, Dr. Roth introduced Dr. Brian Gibbs who was recently hired as the inaugural HSC Associate Vice Chancellor for Diversity.
- Dr. Roth will conduct an HSC Town Hall next week to provide an update to faculty and staff on the recent State Legislative Session.
- The Health Sciences Center was invited to join one of only 13 institutions to participate in an initiative entitled “AAMC Advancing Academic Health Systems for the Future.” The outcomes of

this initiative is an effort to represent what would be viewed as vanguard institutions that demonstrate dramatic and sustainable changes in organization and program that would allow academic health centers to flourish in the new health care reform era.

#### UNM Health System Update Including SRMC Update

Mr. Steve McKernan and Dr. Mike Richards provided an overview of the UNM Health System that included:

- Activity levels through January have been very strong
- Health System finances are stable
- Building medical practice at Sandoval Regional Medical Center, Inc. with significant growth in inpatient volumes, increase in number of surgeries, building service lines, continuing to build community relationships with physician groups, etc.

#### Public Comment

Members affiliated with the 1199 New Mexico National Union of Hospital and Health Care Employees, AFSCME, AFL-CIO provided input regarding lack of UNM Hospital employee salary increases, inequities, cost to replace nurses who leave, and request to plan for future. Discussion.

#### Reports from Board Committees

##### Finance, Audit, and Compliance Committee ("FACC")

##### FACC Action Items

##### Request for Approval of 2004 Series Bond Resolution

FACC Chair Conrad James provided an overview of the FACC's deliberations on the recommendation that the HSC Board of Directors approve the 2004 Series Bond Resolution. Mr. McKernan provided background on the Resolution that would allow the prepayment of the 2004 Bond issue. A motion was made to approve the 2004 Series Bond Resolution. The motion was seconded. The motion passed with a vote of 3-0-0 in favor. Discussion.

##### Request for Approval of Proposed Thresholds for HSC Board of Directors and UNM Board of Regents

FACC Chair James provided an overview of the FACC's deliberations that resulted in its recommendation that the HSC Board of Directors approve the Proposed Thresholds for HSC Board of Directors and UNM Board of Regents. Ms. Lovell provided background that included highlighting the changes made to the revised documents distributed at the meeting that reflected requested edits resulting from the FACC's review. A motion was made to approve the Proposed Thresholds for HSC Board of Directors and UNM Board of Regents. The motion was seconded. The motion passed with a vote of 3-0-0 in favor.

### Request for Approval of UNM Hospitals Contract with Image Stream Medical, Inc.

FACC Chair James provided an overview of the FACC's deliberations that resulted in its recommendation that the HSC Board of Directors approve the UNM Hospitals Contract with Image Stream Medical, Inc. and Mr. McKernan provided background. A motion was made to approve the UNM Hospital Contract with Image Stream Medical, Inc. The motion was seconded. The motion passed with a vote of 3-0-0 in favor.

### Request for Approval of UNM Hospitals Contract with J.M. Smith Corporation, d/b/a QS/1 Data Systems

FACC Chair James provided an overview of the FACC's deliberations that resulted in its recommendation that the HSC Board of Directors approve of the UNM Hospitals contract with J.M. Smith Corporation, d/b/a QS/1 Data Systems and Mr. McKernan provided background. The motion was seconded. The motion passed with a vote of 3-0-0 in favor.

### FACC Information Items

#### UNM Hospitals Surplus Property Disposition

Mr. Steve McKernan and Ms. Ava Lovell noted that this item was entered onto the agenda as an Information Item but it is an Action Item. Mr. McKernan provided a brief overview of the UNM Hospital Surplus Property.

#### HSC Preliminary Budget for FY15

Ms. Ava Lovell provided an overview of the HSC Preliminary Budget for FY15 to provide the current status of the budget, leading up to the UNM Budget Summit on March 25<sup>th</sup>, changes due to ACA, collaborations and alignments, detailing the budget in an effort to control expenses, prioritizing on issues such as faculty compensation, etc. Discussion.

#### HSC Financial Update

Ms. Ava Lovell presented an HSC financial update on metrics that included Cash Flow from Operations, Depreciation, Days Cash on Hand, Accounts Receivable Greater than 90 Days, Reserves, Research, etc. Discussion was held on uncompensated care at Sandoval Regional Medical Center, Inc. (SRMC). Ms. Jamie Silva-Steele provided additional input on efforts being made at SRMC and President Frank recognized her for her good work.

### General Information Items

#### Update: National SBIR Conference

Dr. Richard Larson provided a brief update on plans for the Health Sciences Center to host the 16<sup>th</sup> Annual National Small Business Innovation and Research Conference (SBIR) and the opportunities it provides to the Health Sciences Center.

Update: Quarterly HSC Strategic Plan

Dr. Richard Larson provided a quarterly update on the status of the Strategic Planning process including the “stop light” document that includes approximately 50 metrics that are tracked. The trajectory on all is favorable except for three and Dr. Larson provided details on the three exceptions. Discussion.

Review: HSC Board of Directors Action Required Log

The Board reviewed the current Board Action Required Log. There was no discussion.

Regent Quillen noted that the remaining items (UNM Hospitals Board of Trustees January 31, 2014 Meeting Minutes and the Sandoval Regional Medical Center, Inc. Board of Directors January 22, 2014 Meeting Minutes) were included in the agenda for informational purposes.

A motion was made to adjourn the open meeting and to convene in executive session. The motion was seconded. No discussion; with a vote of 3-0-0 in favor. Motion passed.

A motion was made for the Board to reconvene in open session and to certify that only those matters described in agenda item X were discussed in executive session. The motion was seconded. No discussion; with a vote of 3-0-0 in favor. Motion passed.

A motion was made to adjourn the meeting. The motion was seconded. No discussion; with a vote of 3-0-0 in favor. Motion passed.

Minutes were prepared by Patrice Martin and finalized on March 13, 2014.

Approval of Minutes:

\_\_\_\_\_  
Regent Suzanne Quillen, Chair

\_\_\_\_\_  
Date

Attachment:

“Resolution Relating to Redemption of the Regent’s FHA Insured Hospital Mortgage Revenue Bonds (University of New Mexico Hospital Project) Series 2004”

**THE REGENTS OF THE UNIVERSITY OF NEW MEXICO**

**RESOLUTION RELATING TO REDEMPTION OF THE REGENT'S FHA INSURED HOSPITAL MORTGAGE REVENUE BONDS (UNIVERSITY OF NEW MEXICO HOSPITAL PROJECT) SERIES 2004**

A RESOLUTION OF THE REGENTS OF THE UNIVERSITY OF NEW MEXICO AUTHORIZING THE FULL OR PARTIAL REDEMPTION OF THE REGENT'S FHA INSURED HOSPITAL MORTGAGE REVENUE BONDS (UNIVERSITY OF NEW MEXICO HOSPITAL PROJECT) SERIES 2004 AND FULL OR PARTIAL PREPAYMENT OF THE SERIES 2004 MORTGAGE NOTE AND MORTGAGE RELATED TO THE BONDS; REPEALING ALL ACTION INCONSISTENT WITH THIS RESOLUTION; AUTHORIZING THE TAKING OF OTHER ACTIONS IN CONNECTION WITH THE REDEMPTION OF THE BONDS AND THIS RESOLUTION.

WHEREAS, the University of New Mexico, at Albuquerque, New Mexico (the "University"), is declared to be and is confirmed as a state educational institution by Section 11 of Article XII of the Constitution of the State of New Mexico (the "State"), as amended; and

WHEREAS, the Regents of the University of New Mexico (the "Regents") are a body corporate in which is vested the management and control of the University; and

WHEREAS, the Regents, pursuant to a Trust Indenture dated as of November 1, 2004, (the "Indenture") between the Regents and Wells Fargo Bank, National Association (the "Trustee") issued a series of bonds entitled Regents of the University of New Mexico FHA Insured Hospital Mortgage Revenue Bonds (University of New Mexico Hospital Project), Series 2004 (the "Bonds"), in part for the purpose of making a mortgage loan (the "FHA Mortgage Loan") to the Regents to finance the construction of the Project (defined below) and, in connection therewith, the Regents delivered to Trustee a Mortgage Note in the original principal amount of \$183,399,000.00 (the "FHA Note"), a Mortgage given to secure the FHA Note (the "FHA Mortgage"), a Security Agreement granting a security interest in certain fixtures, equipment and other personalty of the Regents (the "Security Agreement"), a Building Loan Agreement relating to the construction and development of the Project (the "Building Loan Agreement"), a Regulatory Agreement (the "Regulatory Agreement") between the Regents and FHA (defined below), and a Department of Housing and Urban Development Supplementary Agreement (the "Supplementary Agreement") between the Regents and FHA, each such instrument being dated as of November 18, 2004 (the FHA Note, the FHA Mortgage, the Security Agreement, the Building Loan Agreement, the Regulatory Agreement, the Supplementary Agreement, and all documents executed in connection with the FHA Mortgage Loan are collectively referred to as the "FHA Loan Documents"); and

WHEREAS, the Regent's obligation under the FHA Note and the FHA Mortgage are insured by the Department of Housing and Urban Development ("HUD") acting through the Federal Housing Commissioner ("FHA") under Section 242 of the National Housing Act, as amended (the "FHA Insurance"), pursuant to the terms of that certain Commitment for

Insurance, as amended, (the "FHA Commitment") dated September 30, 2004, as amended, issued by FHA to Mortgage Servicer (defined below), and assigned to Trustee; and

WHEREAS, the proceeds of the FHA Mortgage Loan were used by University of New Mexico Hospital (the "Hospital") to finance the cost of the expansion of the Regent's hospital facilities designated as FHA Project No. 116-13001, and commonly referred to as the University of New Mexico Children's Hospital and Critical Care Pavilion (together, the "Project"), which Project is more fully described in the FHA Loan Documents, and pursuant to the FHA Loan Documents, the Regents agreed to make payments to provide sufficient funds, together with funds held under the Indenture, to pay the principal of and interest on the Bonds; and

WHEREAS, payment of principal of and interest on the Bonds is guaranteed by a municipal bond insurance policy issued by FINANCIAL SECURITY ASSURANCE INC. (the "Bond Insurer"); and

WHEREAS, to evidence and provide for the repayment of the FHA Mortgage Loan to be made to it, the Regents delivered to Trustee (an FHA-approved Mortgagee), as FHA mortgagee, the FHA Loan Documents, which documents will reflect the financial terms of the Bonds; and

WHEREAS, Prudential Huntoon Paige Associates, Ltd., a Delaware Corporation, (the "Mortgage Servicer") is duly qualified and authorized and has been approved by FHA to act as mortgagee under the National Housing Act; and

WHEREAS, in addition to the Indenture and the FHA Loan Documents, the Regents entered into a Debt Service Account Forward Delivery Agreement dated as of November 18, 2004 (the "Debt Service Forward Delivery Agreement") by and among the Trustee, the Regents and Wachovia Bank, National Association (the "Provider") for investment of debt service payments related to the Bonds; and

WHEREAS, in addition to the Indenture, the FHA Documents and the Debt Service Forward Delivery Agreement, a Master Repurchase Agreement dated as of November 18, 2004 (the "Master Repurchase Agreement"), between AIG Matched Funding Corporation ("AIG") and the Trustee was entered into for investment of the debt service reserve fund related to the Bonds; and

WHEREAS, the Hospital has requested that all or a portion of the Bonds be redeemed as soon as practicable in accordance with the provisions of the Indenture, the FHA Documents, the Debt Service Forward Delivery Agreement, and the Master Repurchase Agreement with appropriate notice to the Trustee, FHA, the Mortgage Servicer, the Bond Insurer, the Provider, AIG, (or their successors, if any) and other interested parties.

NOW, THEREFORE, BE IT RESOLVED BY THE REGENTS OF THE UNIVERSITY OF NEW MEXICO, AS FOLLOWS:

Section 1. Ratification. All action heretofore taken (not inconsistent with the provisions of this Resolution and the Indenture) by the Regents and officers, staff, employees and contractors of the University and the Hospital directed toward the redemption of the Bonds, expenditure of available funds for redemption of the Bonds, prepayment of the FHA Note, and modification of the FHA Loan Documents, the Debt Service Forward Delivery Agreement, and the Master Repurchase Agreement, is hereby ratified, approved and confirmed.

Section 2. Redemption of the Bonds. Pursuant to Article V of the Indenture, the Bonds shall be redeemed, in whole or in part, on the earliest practicable redemption date after adoption of this Resolution. Such redemption shall occur only upon receipt of all required consents from the Trustee, the Bond Insurer, the Mortgage Servicer, the Provider, AIG, FHA (or their successors, if any) and any other interested party.

Section 3. Expenditure of Funds. The Regents hereby authorize the expenditure of up to \$100,000,000 of cash on hand for the full or partial redemption of the Bonds. The exact final amount of funds to be used for redemption of Bonds shall be determined by officers and employees of the University and the Hospital, provided, that, such officers of the University and the Hospital shall provide the Chair of the Health Sciences Center Board of Directors and the President of the Board of Regents not less than thirty (30) days' prior written notice of the exact final amount of funds to be used for redemption of Bonds as contemplated by these Resolutions.

Section -4. Amendment or Modification of Documents. The FHA Loan Documents, the Debt Service Forward Delivery Agreement, the Master Repurchase Agreement, and any other relevant document shall be amended or modified as necessary to comply with this Resolution and to reflect the partial or full redemption of the Bonds.

Section -5. Authorization of Officers and Execution of Documents. The officers of the Regents (President of the Board of Regents, Vice President of the Board of Regents, Secretary of the Board of Regents), the University (University President, Executive Vice President for Finance & Administration, and/or Chancellor for Health Sciences) and the Hospital (CEO, UNM Hospitals and/or CFO, UNM Hospitals), on behalf of the Regents, are hereby authorized and directed to execute and deliver any modification of or amendments to any of the Indenture, the FHA Loan Documents, the Debt Service Forward Delivery Agreement, and the Master Repurchase Agreement, as may be necessary to carry out, comply with, and effectuate the purposes of this Resolution. From and after the adoption of this Resolution, the officers, agents and employees of the Regents, the University and the Hospital are hereby authorized, empowered, and directed to do all such acts and things and to execute all such documents as may be necessary to carry out and comply with the provisions of this Resolution, the Indenture, the FHA Loan Documents, the Debt Service Forward Delivery Agreement, and the Master Repurchase Agreement.

Section -6. Severability. If any section, paragraph, clause or provision of this Resolution shall for any reason be held to be invalid or unenforceable, the invalidity or unenforceability of such section, paragraph, clause or provision shall not affect any of the remaining provisions of this Resolution.

Section -7. Repealer Clause. All bylaws, orders, and resolutions of the Regents or the University, or parts thereof, inconsistent herewith are hereby repealed to the extent only of such inconsistency. This repealer shall not be construed to revive any bylaw, order or resolution, or part thereof, heretofore repealed.

Section -8. Governing Law. This Resolution shall be governed by the laws of the State without reference to choice of law principles.

Section 9. Effective Date. This resolution shall take immediate effect.

ADOPTED AND APPROVED this \_\_\_ day of \_\_\_\_\_, 2014.

THE REGENTS OF THE UNIVERSITY OF NEW  
MEXICO

[SEAL]

By \_\_\_\_\_  
President

Attest:

By \_\_\_\_\_  
Secretary

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Agenda Item	Subject/Discussion	Action/Responsible Person
Voting Members Present:	Michael Olguin, Christine Glidden, Michelle Coons, Nick Estes, Debbie Johnson, Warren Laskey, Raymond Loretto	
Ex-Officio Members Present:	Michael Richards, Stephen McKernan, Donna Sigl, Paul Roth, David Pitcher	
County Officials Present:	Maggie Hart-Stebbins, Kevin Kinzie	
I. Call to Order	A quorum being established, Michael Olguin, Chair, called the meeting to order at 9:13 a.m.	
II. Adoption of Agenda	The Chair, Mr. Michael Olguin, requested a motion to adopt the agenda, as submitted.	Ms. Debbie Johnson made a motion to adopt the agenda. Dr. Raymond Loretto seconded the motion. There being no objections, the motion carried.
III. Public Input	None	
IV. Announcements	Mr. Stephen McKernan announced the successful completion of the COO search and indicated Mr. Paul Herzog would begin his employment March 3, 2014.	
V. Approval of Minutes	UNM Hospitals Board of Trustees meeting minutes for February 28, 2014.	Mr. Nick Estes made a motion to approve the minutes of the February 28, 2014, Board meeting. Ms. Debbie Johnson seconded the motion. There being no objections, the motion carried.
VI. Consent Agenda	Following review of the consent items, the Chair, Mr. Michael Olguin, requested a	Mr. Nick Estes made a motion to approve

Agenda Item	Subject/Discussion	Action/Responsible Person
	<p>motion to approve the consent items, which were recommended for approval by the Finance Committee.</p> <p>Following review of the amendments to the 403b and 457b, the Chair, Mr. Michael Olguin, requested a motion to approve as submitted, per recommendation by the Finance and Audit Committee.</p> <p>Following review of the Clinical Privileging descriptions, the Chair, Mr. Michael Olguin, requested a motion to approve as submitted, as recommended for approval by the POCEC.</p> <p>Following review of the updated Committee Assignments, the Chair, Mr. Michael Olguin, requested a motion to approve as submitted.</p>	<p>the purchase items on the consent agenda. Ms. Debbie Johnson seconded the motion. There being no objections, the motion passed unanimously.</p> <p>Ms. Debbie Johnson made a motion to approve. Dr. Raymond Loretto seconded the motion. There being no objections, the motion passed unanimously.</p> <p>Mr. Nick Estes made a motion to approve. Ms. Debbie Johnson seconded the motion. There being no objections, the motion passed unanimously.</p> <p>Dr. Warren Laskey made a motion to approve. Ms. Christine Glidden seconded the motion. There being no objections, the motion passed unanimously.</p>
<p>VII. Board Initiatives</p>	<p>Ms. Darlyne Hawkins, Manager Customer Service, presented on Patient Satisfaction Reports.</p> <p>Ms. Karen Ellingboe, Executive Director of Primary Care and Community Health, presented on Patient Centered Medical Home. She noted 7 of our clinics recently received Level II re-recognition from NCQA. This was based on a four year effort to increase quality outcomes and improvement of patient experience.</p> <p>Ms. Gloria Doherty, Executive Director of Advanced Practice Providers and Pulmonary Services, presented HLQAT (Hospital Leadership Quality Assessment Tool) results from America's Essential Hospitals Fellowship program.</p>	
<p>VIII. Administrative Reports</p>	<p><b><u>HSC Chancellor Report</u></b> – Dr. Roth reported we are currently in budget season. He referenced the legislative session and noted this was a good year for the HSC. Our focus has been on healthcare policy, specifically on the workforce. We did receive funding to include the NP class size, as well as 3 memorials: 1) to expand our current scope of services for our existing work analysis, 2) authorized continuation of early childhood analysis in the state of New Mexico, and 3) to review the role of non-licensed health professionals in the work force and how they may be helpful in our community.</p>	<p>Dr. Paul Roth</p>

Agenda Item	Subject/Discussion	Action/Responsible Person
	<b>CEO Report</b> – The CEO report is in the packet, but noted the hospital has been extraordinarily busy the past few weeks.	Mr. Stephen McKernan
IX. Updates	<b>Financial Report Card</b> – The financial dashboard was presented by Ms. Ella Watt.	Ms. Ella Watt
X. Committee Reports	<p><b>Performance Oversight &amp; Community Engagement Committee</b> The Performance Oversight &amp; Community Engagement Committee met on February 21, 2014.</p> <p><b>Finance and Audit Committee</b> The Finance and Audit Committee report is in the Board book. The Committee Chair, Ms. Michelle Coons, noted the recent discussion of the Finance and Audit Committee as related to the update from UNM Foundation regarding the fulfillment of the Development Officer position as being a top priority.</p>	<p>Dr. Warren Laskey</p> <p>Ms. Michelle Coons</p>
XI. Other Business	None	
XII. Closed Session	At 11:33AM, the Chair, Mr. Michael Olguin, requested a motion to close the open session of the meeting to the public for purposes of discussion and determination, where appropriate, of limited personnel matters per Section 10-15-1.H (2); and discussion and determination, where appropriate of matters subject to the attorney-client privilege regarding pending or threatened litigation in which UNMH is or may become a participant, pursuant to Section 10-15-1.H (7); and discussion of matters involving strategic and long-range business plans or trade secrets of UNMH pursuant to Section 10-15-1.H (9), NMSA.	Ms. Debbie Johnson made a motion to move to closed session. Dr. Raymond Loretto seconded the motion. The motion passed unanimously.
XIII. Certification	<b>After discussion and determination where appropriate, of limited personnel matters per Section 10-15-1.H (2); and discussion and determination, where appropriate of matters subject to the attorney-client privilege regarding pending or threatened litigation in which UNMH is or may become a participant, pursuant to Section 10-15-1.H (7); and discussion of matters involving strategic and long-range business plans or trade secrets of UNMH pursuant to Section 10-15-1.H (9), NMSA, the Board certified that no other items were discussed, nor were actions taken.</b>	
XIV. Vote to Re-Open meeting	At 12:07PM, the Chair, Mr. Michael Olguin, requested a motion to be made to	Ms. Michelle Coons made a motion to return

Agenda Item	Subject/Discussion	Action/Responsible Person
	<p>return the meeting to open session.</p> <p>The Chair, Mr. Michael Olguin, requested a motion to be made that the Board accept the minutes of the meeting of those committees that were presented in Closed Session to acknowledge for the record that those minutes were, in fact, presented to, reviewed, and accepted by the Board and for the Board to accept and approve the recommendations of those Committees as set forth in the minutes of those committees meetings.</p>	<p>to open session. Mr. Nick Estes seconded the motion. The motion passed unanimously.</p> <p>Dr. Raymond Loretto made a motion to accept the minutes presented by the committees. Ms. Debbie Johnson seconded the motion. The motion passed unanimously.</p>
XV. Adjournment	The next scheduled Board meeting will be March 28, 2014 @ 9:00 am. There being no further business, Mr. Michael Olguin, requested a motion to adjourn the meeting. The meeting was adjourned at 12:08PM.	Dr. Warren Laskey made a motion to adjourn. Ms. Michelle Coons seconded the motion. There being no objections, the motion passed unanimously.

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Michelle Coons, Secretary  
UNM Hospital Board of Trustees

**SRMC Board of Directors Meeting Minutes**

February 26, 2014

8:00 a.m. - 11:00 a.m.

UNM Sandoval Regional Medical Center, 4th Floor Board Room

Teleconference 1-888-557-8511 Passcode 2502228#

Rio Rancho, New Mexico

<b>1.0</b>	<b>CALL TO ORDER AND CONFIRMATION OF QUORUM</b>	
	Mr. Steve McKernan called the meeting to order and a quorum was confirmed at 8:12 a.m.	Accept as information
<b>2.0</b>	<b>VOTE TO APPROVE THE AGENDA</b>	
	Mr. Steve McKernan presented the Agenda to the Board for review. The <i>CEO Selection Process</i> agenda item currently on the Closed Session agenda will be discussed in Open Session under <i>SRMC Board of Directors Initiatives</i> .	Upon a motion and a second, the Board voted to approve the agenda with the change. Motion passed unanimously.
<b>3.0</b>	<b>VOTE TO APPROVE THE MINUTES OF JANUARY 22, 2014 MEETING</b>	
	Mr. Steve McKernan presented the Minutes to the Board for approval.	Upon a motion and a second, the Board voted to approve the minutes. Motion passed unanimously.
<b>4.0</b>	<b>ANNOUNCEMENTS FROM DIRECTORS</b>	
	Mr. Steve McKernan welcomed Dr. Michael Richards as a voting Board member.  Ms. Jamie Silva-Steele announced that UNM SRMC achieved "American College of Surgeons Provisional Approval" accreditation status on February 21, 2014 with full approval contingent on a successful site review.	Accept as information
<b>5.0</b>	<b>PUBLIC COMMENT</b>	
	None	
<b>6.0</b>	<b>OLD BUSINESS</b>	
	<u>Marketing Update</u> Ms Melissa Romine provided an update on all ongoing marketing activities. <ul style="list-style-type: none"> <li>• The new website will go live in a few weeks.</li> <li>• Working with the Waite Company, a strategic organization company in Rio Rancho helping to organize outreach efforts focusing highly on referring physician groups.</li> <li>• Internal newsletter; 1st edition went out last week.</li> <li>• Ad in RR Observer announcing March dates for Centennial Care events throughout Sandoval County.</li> <li>• Continuing 15 second radio spots on five stations.</li> <li>• Purchased five digital boards throughout Rio Rancho that will go live this week. This supports our static boards.</li> </ul>	

8.0	REPORT FROM SRMC COMMITTEES	
8.1	<p><u>Academic Enagagement Committee</u></p> <ul style="list-style-type: none"> <li>No meeting held</li> </ul>	
8.2	<p><u>Finance/Audit Committee</u></p> <p>Mr. McKernan gave a verbal update on the February 20, 2014 Finance Committee meeting and presented the minutes of January 16, 2014 for acceptance by the Board.</p> <ul style="list-style-type: none"> <li>Looked at Levels of Authority for Transactions in the Ordinary Course of Business</li> <li>Financial Assistance Policy was presented</li> <li>IR/Cath Lab update</li> </ul> <p>Ms. Jamie Silva- Steele added that an offer has been extended to an IR Provider who will join UNM SRMC this coming summer.</p> <p>Ms. Silva-Steele reviewed the Financial Assitance Policy.</p> <p>Ms. Silva reviewed the Resolution Establishing Certain Levels of Authority for Transactions in the Ordinary Course of Business with Exhibit A.</p>	<p>Upon a motion and a second, the Board voted to accept the minutes. Motion passed unanimously.</p> <p>Upon a motion and a second the Board voted to approve the Financial Assistance Policy. Motion passed unanimously.</p> <p>Upon a motion and a second the Board voted to approve the Resolution Establishing Certain Levels of Authority for Transactions in the Ordinary Course of Business with Exhibit A. Motion passed unanimously.</p>
9.0	CEO REPORT	
9.1	<p>Ms. Jamie Silva-Steele stated that the month of January started out with an influx of flu and respiratory illnesses and added that the New Years and MLK holidays impacted overall volumes this month. However, total patient days were the highest that they have been.</p>	Accept as information
9.2	<p>Nursing vacancies are being filled and that has allowed us the ability to increase our census on the Medical Surgical units.</p>	
9.3	<p>Ms. Silva- Steele reported that Leadership Rounding has been implemented to help improve communication, address employee concerns and recognize staff efforts. As such, turnover rates have dropped 3%.</p>	

9.4	Interim Director and Executive roles are posted and being recruited for anticipating positions being filled by March.	
9.5	Ms. Silva-Steele mentioned that UNM SRMC is focusing on community outreach in partnership with Sandoval County and Sandoval County Health Council to get people signed up for Medicaid or the Exchanges. She added that SRMC Care will be extended through December 2014 for patients that have applied and not qualified for the Exchange or Medicaid.	
9.6	Development of Service Line programs are underway as well as recruitment for additional providers.	
9.7	<u>Board Comments/Questions</u> <ul style="list-style-type: none"> <li>• None to report</li> </ul>	
<b>10.0</b>	<b>CMO REPORT</b>	
10.1	Dr. Richards provided an update on provider recruitment and development of services.  Eight providers are slated to begin work at UNM SRMC between now and July 2014. As such, all eight physicians will be dedicated to SRMC thus helping to build a stable professional services base.  Mr. Geist stated how important it is to report back to the County and patients how SRMC is affecting health outcomes in a positive way.  Dr. McGrew added that we we need to look at what the County's needs are and to be able to show measureable improvement in health.	Accept as information
<b>11.0</b>	<b>FINANCIAL REPORT</b>	
11.1	Ms. Silva-Steele presented the dashboard and noted that there was an increase in volumes in the month of February and added that admissions and activity are coming from within the community. As we get busier we will reevaluate the goal for transfers to/from UNMH.	Accept as information
11.2	Ms. Darlene Fernandez noted that progress continues to improve from prior months and that January was a breakeven month.	Accept as information
11.3	Ms. Fernandez reviewed the SRMC financials through January 31, 2014. Please refer to the February 26, 2014 financial presentation for detailed information.	
<b>12.0</b>	<b>SRMC BOARD OF DIRECTORS INITIATIVES</b>	
12.1	Ms. Silva-Steele presented the red line version of the SRMC Bylaws and reviewed the proposed changes.	The Board members will review in further detail and bring back any changes to the next Board meeting.

12.2	Ms. Silva-Steele presented the <i>DRAFT</i> SRMC Board of Directors Committee Structure and reviewed the proposed changes.	
12.3	Mr. McKernan reviewed the process for the CEO selection process. Dr. Williams asked to consider adding an active chair of the SOM on the committee. Dr. McGrew suggested that the director included in the selection committee be a community director. Mr. Geist agreed and recommended adding two community directors to the committee.	The selection process will move forward with recommended changes. The timeline is approximately four months.
<b>13.0</b>	<b>CLOSED SESSION</b>	
13.1	Motion was made to close the Open Session and convene in Closed Session; the motion was seconded and passed unanimously.	
13.2	Mr. McKernan presented the Closed Session Agenda to the Board for review	Upon a motion and a second, the Board voted to approve the agenda. Motion passed unanimously.
<b>14.0</b>	<b>RECONVENE IN OPEN SESSION</b>	
14.1	Certification that those matters discussed in Closed Session and issuance of final action of such items including:	
14.2	Motion that the Board accept the Minutes of the meeting of the Committees that were presented in Closed Session and to acknowledge for the record that those minutes were, in fact, presented to, reviewed and accepted by the Board and for the Board to accept and approve the recommendations of those Committees as set forth in the Minutes of those Committee meetings.	Upon a motion and a second, the Board voted to approve the minutes. Motion passed unanimously.
14.3	Mr. McKernan asked the Board to approve the list of Providers requesting medical staff membership and privileges identified and discussed in Closed Session including: <ul style="list-style-type: none"> <li>• Aries, Richard MD                      Medicine/Internal Medicine           UNMH</li> <li>• Arenella, Pamela B., MD            Medicine/Psychiatry                    UNMH</li> <li>• Chapman, Niels N., MD               Hospital Based/Anesthesiology       UNMH</li> <li>• Clark, Douglas P., MD                Hospital Based/Pathology               UNMH</li> <li>• Dempsey, Glenn M., MD               Medicine/Psychiatry                    UNMH</li> <li>• Fahy, Bridget MD                       Surgery/Surgical Oncology            UNMH</li> <li>• Ferreira, Giselle D., MD              Medicine/Psychiatry                    UNMH</li> <li>• Fleg, Anthony N., MD                 Medicine/Family Medicine            UNMH</li> <li>• Haughton, Jeffrey W., PA-C         Surgery/Orthopedic                    NM Ortho Assoc.</li> <li>• Hollinger, Gail, RNFA                 Surgery/General Surgery              Community</li> <li>• Khashaei, Sepehr MD                 Medicine/Internal Medicine           UNMH</li> <li>• Lundy, Shannon L., PhD               Medicine/Psychology                    UNMH</li> <li>• Morris, Katherine T., MD             Surgery/Surgical Oncology            UNMH</li> <li>• Parsons, Jeremy C. MD               Hospital Based/Pathology               UNMH</li> <li>• Rajput, Ashwani MD                    Surgery/Surgical Oncology            UNMH</li> <li>• Reyna, Jose R., MD                    Surgery/orthopedics                    NM Ortho Assoc.</li> </ul>	Motion was made and seconded to approve the list of Providers for membership and privileges. Motion passed unanimously.

	<ul style="list-style-type: none"> <li>• Shin, Peter C. MD                      Surgery/Neurosurgery                      UNMH</li> <li>• Starr, Brian M., MD                      Hospital Based/Anesthesiology                      UNMH</li> </ul> <p><b><u>Reappointments</u></b></p> <ul style="list-style-type: none"> <li>• Abbott, Christopher MD                      Medicine/Psychiatry                      UNMH</li> <li>• Alas, Eduardo A., MD                      Medicine/Internal Medicine                      UNMH</li> <li>• Bhatt, Snehal R., MD                      Medicine/Psychiatry                      UNMH</li> <li>• Billstrand, Mary M., MD                      Hospital Based/Anesthesiology                      UNMH</li> <li>• Bray, Macey DO                      Hospital Based/ Radiology                      UNMH</li> <li>• Carroll, Scott MD                      Medicine/ Psychiatry                      UNMH</li> <li>• Carter, Scott C., MD                      Hospital Based/ Radiology                      UNMH</li> <li>• Doherty, Gloria S., CNP                      Medicine/ Hospitalists                      UNMH</li> <li>• Foucar, Mary K., MD                      Hospital Medicine/ Pathology                      UNMH</li> <li>• Gehlert, Rick MD                      Surgery/ Orthopedics                      UNMH</li> <li>• Gibb, James I., MD                      Medicine/Internal Medicine                      UNMH</li> <li>• Graeber, David A., MD                      Medicine/ Psychiatry                      UNMH</li> <li>• Griego, Janet E., MD                      Hospital Based/ Pathology                      UNMH</li> <li>• Haarhues, Patrick MD                      Medicine/ Internal Medicine                      ABQHP</li> <li>• Harrell, Andrew J. MD                      Hospital Based/Emergency Medicine                      UNMH</li> <li>• Harris, Steven PA-C                      Surgery/ Otolaryngology                      ENT Assoc.</li> <li>• Hjelle, Brian L., MD                      Hospital Based/ Pathology                      UNMH</li> <li>• Huser, Jeffrey W., MD                      Hospital Based/ Patholgy                      UNMH</li> <li>• Joste, Nancy MD                      Hospital Based/ Pathology                      UNMH</li> <li>• Lowell, Seth MD                      Surgery/Otolaryngology                      UNMH</li> <li>• Moore, Brian R., MD                      Hospital Based/ Emergency Medicine                      UNMH</li> <li>• Nazon, Daniel MD                      Medicine/Pulmonary                      ABQHP</li> <li>• Rael, Stephanie J., MD                      Surgery/General Surgery                      ABQHP</li> <li>• Rogers, Rebecca G., MD                      Surgery/Gynecology                      UNMH</li> <li>• Rollstin, Amber MD                      Medicine/Emergency Medicine                      UNMH</li> <li>• Rosenbaum, Lizabeth S., MD                      Hospital Based/ Pathology                      UNMH</li> <li>• Saiz, Bernadette L., DO                      Medicine/Internal Medicine                      ABQHP</li> <li>• Schaefer, William MD                      Hospital Based/ Radiology                      UNMH</li> <li>• Singh, Pooja MD                      Medicine/ Internal Medicine                      UNMH</li> <li>• Soneru, Codruta N., MD                      Hospital Based/ Anesthesiology                      UNMH</li> <li>• Tawil, Isaac MD                      Hospital Based/ Emergency Medicine                      UNMH</li> <li>• Valenzuela, Robert T., MD                      Medicine/Internal Medicine                      ABQHP</li> <li>• Wilson, Carla MD                      Hospital Based/ Pathology                      UNMH</li> </ul> <p><b><u>Resignations &amp; Application Withdraws</u></b></p> <ul style="list-style-type: none"> <li>• Armijo, Natalie MD                      Medicine/Psychiatry                      no reason given</li> <li>• Batty, John MD                      Medicine/Cardiology                      relocated to Artesia NM</li> <li>• Burton, Erik V., MD                      Medicine/Neurology                      no reason given</li> <li>• Boyd, Eva MD                      Hospital Based/Anesthesiology                      no reason given</li> <li>• Hayek, Reyaad MD                      Hospital Based/Radiology                      leaving HS</li> <li>• Libson, Emilie G., AAC                      Hospital Based/Anesthesiology                      leaving HS</li> <li>• Ruder, Matthew CRNA                      Hospital Based/Anesthesiology                      leaving HS</li> </ul> <p>Motion was made to approve general ratification of items in closed session, second; unanimously approved.</p>	<p>Upon a motion and a second, the Board voted to approve reappointments for Abbott-Wilson. Motion passed unanimously.</p>
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15.0 ADJOURNMENT/NEXT MEETING	
	<p>Motion was made to adjourn the meeting, second; unanimously approved. Meeting adjourned at 10:11 a.m.</p> <p>The next meeting will be held on March 26, 2014 at 8:00-11:00am at UNM SRMC, Board Room // Teleconference at 1-888-557-8511 Passcode 2502228#</p>
MEMBERS/GUESTS IN ATTENDANCE	
<p><b>Board Members Present:</b>            Mr. Steve McKernan            Dr. Tom Williams            Dr. Martha McGrew            Ms. Charlotte Garcia            Mr. Jerry Geist            Dr. Brad Cushnyr            Mr. Manu RainBird            Dr. Michael Richards</p> <p><b>Staff Members Present:</b>            Ms. Jamie Silva-Steele            Dr. Tony Ogburn (phone @ 10:02am)            Ms. Darlene Fernandez            Ms. Pam Demarest            Ms. Correen Bales</p>	<p><b>Board members Absent:</b>            Dr. Paul Roth, Chair</p> <p><b>Guests Present:</b>            Mr. Scot Sauder            Ms. Rosalyn Nguyen            Ms. Roberta Houchen            Mr. Sterling Fluharty</p>



**CARRIE TINGLEY HOSPITAL ADVISORY BOARD**

**Meeting Minutes**

**January 27, 2014**

**CTH Outpatient Board Room – 1<sup>st</sup> floor**

Agenda/Subject #	Discussion	Status	Due Date	Responsible Party																																
<b>Attendance 1/27/14</b>	<b>CTH Advisory Board:</b> Sarah Nelson-Howse, Neal Doren, Dana Allen, Nancy Greger, MD, Lisa Rossingnol, Phil Prevender, Erich Marchand, MD, Michael Shannon. <b>UNMH:</b> Doris Tinagero, Maribeth Thornton, Dale Hoekstra, MD; Edward Rose, MD; Denise Taylor, MD <b>Absent:</b> Heidi Overton, Regent	N/A																																		
<b>I. Call to Order</b>	Meeting was called to order by Sarah Nelson-Howse at 4:02 pm.																																			
<b>II. Approval of the January 27, 2014 Minutes.</b>	<u>Action:</u> Sarah Nelson Howse moved to approve the 11/25/13 meeting minutes; Michael Shannon seconded the motion. Vote was unanimous, no opposition.	11/25/13 meeting minutes were approved with the change of approval date from November minutes to September date.	NA	NA																																
<b>III. Public Input</b>	No public input.																																			
<b>IV. Reports A.</b>	<table border="1"> <thead> <tr> <th>YTD through October 31</th> <th>YTD</th> <th>YTD Budget</th> <th>Prior YTD</th> </tr> </thead> <tbody> <tr> <td>Orthopaedics</td> <td>271</td> <td>200</td> <td>217</td> </tr> <tr> <td>Rehabilitation</td> <td>118</td> <td>128</td> <td>79</td> </tr> <tr> <td>Newborns</td> <td>272</td> <td>180</td> <td>262</td> </tr> <tr> <td>Pediatrics</td> <td>568</td> <td>892</td> <td>1,006</td> </tr> <tr> <td>Average length of stay</td> <td>4.15</td> <td>5.07</td> <td>7.17</td> </tr> <tr> <td>Discharges</td> <td>296</td> <td>276</td> <td>218</td> </tr> <tr> <td>Outpatient Clinic Visits</td> <td></td> <td></td> <td></td> </tr> </tbody> </table>	YTD through October 31	YTD	YTD Budget	Prior YTD	Orthopaedics	271	200	217	Rehabilitation	118	128	79	Newborns	272	180	262	Pediatrics	568	892	1,006	Average length of stay	4.15	5.07	7.17	Discharges	296	276	218	Outpatient Clinic Visits				Dr. Hoekstra continues to ask that Ella Watt to separate numbers so that he knows how surgeries are counted (which doctors do they include in the stats) he indicated that the numbers presented are not of help to him.		
YTD through October 31	YTD	YTD Budget	Prior YTD																																	
Orthopaedics	271	200	217																																	
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	<b>2<sup>nd</sup> Floor Peds</b>	2,329	2,424	2,375			
	<b>Ortho</b>	4,145	4,220	4,433			
	<b>1<sup>st</sup> Floor Peds</b>	3,092	3,216	2,975			
	<b>Outreach</b>	145	128	149			
	<b>Operations</b>	I/P - 74	70	60			
		O/P - 89	106	116			
	<b>Implants</b>	133	116	117			
	<b>YTD through November 30, 2013</b>	<b>YDT</b>	<b>YTD Budget</b>	<b>Prior YTD</b>			
	Orthopaedics	337	250	272			
	Rehabilitation	126	160	95			
	Newborns	340	225	283			
	Pediatrics	772	1,115	1,202			
	Average length of stay	4.36	5.07	6.57			
	Discharges	361	345	282			
	<b>Outpatient Clinic Visits</b>						
	<b>2<sup>nd</sup> Floor Peds</b>	2,820	3,030	3,052			
	<b>Ortho</b>	5,076	5,275	5,408			
	<b>1<sup>st</sup> Floor Peds</b>	3,923	4,020	3,952			
	<b>Outreach</b>	165	160	170			
	<b>Operations</b>	I/P - 89	82	73			
		O/P - 114	122	131			
	<b>Implants</b>	155	145	151			
<b>B. Medical Director Peds Orthopaedic Dale Hoekstra, MD</b>	Dr. Hokestra reported on the CTH Winter conference held January 24 and 25, 2014. He indicated that they had a good turnout and was "well rounded". Dana Allen stated that she attended and was pleased with the conference. Lisa indicated that the conference was not advertised to family community, but						



	Dr. Hoekstra indicated that the intent of the conference was directed to physicians, nurses and therapists, though families are welcome.			
<b>Denise Taylor, MD Physical Medicine and Rehabilitation</b>	Dr. Taylor gave a verbal report on the UNM Child Abuse Prevention program and briefly on rehabilitation inpatient statistics.	Continue to monitor and report.		
<b>Edward Rose, MD Medical Director, Children's Services</b>	For the past few months Dr. Rose has had concerns about physicians leaving UNMH for various reasons, he reviewed the pediatric physician open positions and indicated what department are stabilized.			
<b>C). Executive Directors</b>	<p>Doris and Maribeth reported:</p> <p><b>People:</b> Denise Keller-Merrell has accepted the Unit Director position for Carrie Tingley Inpatient Unit. Denise is an RN with a bachelor's degree and a certification in Rehabilitation Nursing. She is currently enrolled in an MSN program. Denise has experience as a staff RN and most recently was the Unit Based Educator at Carrie Tingley Hospital. In this role, she was responsible for evaluating the staff's clinical competence, designing educational programs and skills assessment for nursing and nursing tech staff. Denise brings experience in a leadership capacity outside of healthcare as well as her experience as a Unit Based Educator.</p> <p><b>Patients:</b> Overall Inpatient patient satisfaction score for nursing was 88.8 with individual items ranging from 82.5 to 93.2. The lowest score, 82.5 is related to pain management and the unit is working on this with their MD colleagues to educate parents as well as ensure adequate pain management strategies are utilized. Outpatient 6 month average nursing score was 89.6. The overall mean score for patient satisfaction was 86.4 with the lowest score being 78.6 in the category of moving our patients through their visit. This category includes questions about information of clinic delays, and waits time in the clinic.</p>	Orient new employees on the inpatient so that they cross train for (3) different pediatric floors.		



	<p><b>Service:</b> Denise Keller-Merrell participated with other Unit Based educators to hold the first annual Pediatric Conference in December 2013. Attendance was a little over 50 participants for the first year. Feedback on the venue and the conference was good.</p> <p><b>Facility Update:</b> Elevator renovation- parts have all been received and ThyssenKrupp will be completing the project planning and Environment of Care Training.</p> <p><b>Patient Centered Medical Home:</b> The pediatric practices (Pediatric Clinic at the Main, CTH Pediatric Clinic, and Young Children's ) have all submitted their application for Level III Accreditation through the National Committee for Quality Assurance. The patient –centered medical home is a way of organizing primary care that emphasizes care coordination and communication to transform primary care into “what patients want it to be.” Medical homes can lead to higher quality and lower costs, and can improve patients’ and providers’ experience of care. We should receive our results in 60 days.</p>			
<p><b>D). CTH Foundation Phil Prevender</b></p>	<p>1). The 6<sup>th</sup> Annual Carrie Tingley Hospital Foundation Festival of Trees held at the Sandia Resort, December 5<sup>th</sup> through December 8<sup>th</sup> brought in 15,000 visitors over the weekend. Guests were able to view over 50 uniquely decorated trees, wreaths, centerpieces and also enjoy holiday entertainment.</p> <p>2). Please save the date, for the 20<sup>th</sup> annual Carrie Tingley Hospital Foundation Mudd Volleyball Tournament on Saturday, June 7<sup>th</sup> 2014.</p> <p>Below is an update, outlining the CTH Foundation program areas of impact in 2013:</p>	<p>No additional comments or concerns.</p>		



	Support to Carrie Tingley Hospital	15,500	\$ 187,000			
	Patient & Family Assistance	250	\$ 200,200			
	Quality of Life Programs	260	\$ 49,221			
	<b>Totals</b>	<b>16,010</b>	<b>\$ 596,421</b>			
<b>E). Chair</b>	<p>Sarah Howse indicated that she has a potential Advisory Board candidate submitted by Michael Shannon. Charlie Lopez, retired APD. Mr. Lopez has a special needs child who had been seen at CTH. He has been involved with wheelchair basketball, hand cycling etc. and would love to be on the CTH Advisory Board. Advisory Board consists of 3 Parents, 2 Healthcare professionals, 2 Community, 1 CTH Foundation Member and 1 Regent. Doris indicated that the medical staff reporting has been the section that has been time consuming.</p>			<p>Diane will send out Charlie Lopez CV to members for review. The candidate will be put on the March agenda for action. Next meeting agenda should include: Bylaws, Charlie Lopez, CV and Legislative update.</p>		
<b>V. Old Business</b>	<p><u>CTH Bylaw Discussion</u> – Doris Tinagero distributed a “draft” copy of the CTH Advisory Board Bylaws. Members reviewed and asked that they be sent to them electronically so they can take more time reviewing and comparing to the 2009 copy.</p>			<p>Doris will send out electronically, but indicated that this is a legal document and not finalized. She asked that they do not forward or print.</p>		
<b>VI. New Business</b>	N/A					
<b>VII. Vote to Close</b>	N/A					
<b>VIII. Vote to Re-Open Session</b>						
<b>IX. Adjournment</b>						



THE UNIVERSITY OF NEW MEXICO • HEALTH SCIENCES CENTER  
**UNM HOSPITALS**

**Respectfully Submitted by:**

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Diane J. Romero, Administrative Assistant

**APPROVED:**

*Sarah N. House*

Chair

*Neel M. M.*

**Office of the Medical Investigator  
State of New Mexico**

**September 24, 2013**

Board Meeting Minutes

**PRESENT:**

Retta Ward	Cabinet Secretary, New Mexico Department of Health, Board Chair
Paul Roth, M.D.	Chancellor for the HSC; Dean of SOM, Board Vice Chair
Sam Baca (Telephonically)	Chairman of the Board of Thanatopractice/Board of Funeral Services
Pete Kassetas	Chief, New Mexico State Police
Duane Duffy	Representative on behalf of Arthur Allison, Cabinet Secretary, New Mexico Department of Indian Affairs

**ABSENT:**

None

**GUESTS:**

Ross Zumwalt, M.D.	Chief Medical Investigator, OMI
Keith Pinckard, M.D.	Deputy Chief Medical Investigator, OMI
Clarissa Krinsky, M.D.	Forensic Pathologist, OMI
Lori Proe, D.O.	Forensic Pathologist, OMI
Hannah Kastenbaum, M.D.	Forensic Pathologist, OMI
Yvonne Gomez	Administrative Assistant, OMI
Amy Wyman	Central Office Investigations Manager, OMI
Yvonne Villalobos	Senior Operations Manager, OMI
Olivier Uyttebronck	Journal Staff Writer, Albuquerque Journal
Michelle Aurelius, M.D.	Assistant Chief Medical Investigator, OMI
Chandra Gerrard	Imaging Supervisor, OMI
Natalie Adolphi	Research Assistant Professor, OMI
Kurt Nolte, M.D.	Forensic Pathologist, OMI
Amy Boule	Director of Operations, OMI
Ariadna Vazquez	Assistant University Counsel, HSC
Kerri Ashburn	Unit Administrator, OMI

Minutes taken by: Kerri Ashburn, Unit Administrator, OMI

1. Call to order and Confirmation of a Quorum: Chairperson Retta Ward
  - a. Meeting was called to order at 3:22 pm.
2. Adoption of the Agenda: Chairperson Retta Ward
  - a. Dr. Roth moved the adoption of the agenda and Mr. Kassetas seconded. The board adopted the agenda.
3. Approval of the Minutes from the June 25, 2013 meeting: Chairperson Retta Ward
  - a. Dr. Roth moved the approval of the minutes and Mr. Kassetas seconded. The board approved the minutes unanimously.

4. Chief Medical Investigator Report: Dr. Ross Zumwalt
  - a. Dr. Zumwalt introduced Dr. Keith Pinckard, who is the Deputy Chief Medical Investigator and Associate Professor of Pathology at OMI. Dr. Pinckard is certified by the American Board of Pathology in Anatomic, Clinical, and Forensic Pathology and is a Fellow of the American Board of Medicolegal Death Investigators. Dr. Pinckard is also the Editor-in-Chief and co-founder of Academic Forensic Pathology: The Official Publication of the National Association of Medical Examiners (NAME), he has served on the editorial board of the Journal of Forensic Sciences, and is a peer reviewer for the National Institute of Justice's (NIJ) forensic Science Research and Development (R&D) Program. He serves on the Board of Directors of NAME and on the Executive Committee of the NIJ's Scientific Working Group on Medicolegal Death Investigation. Dr. Pinckard spent ten years as a medical examiner at the Southwestern Institute of Forensic Sciences in Dallas, Texas before coming to the OMI in 2013. Dr. Pinckard is the Director of the Forensic Pathology Fellowship Program at OMI.
  - b. OMI recently was invited by the HSC Board of Directors to present an update about OMI at an HSC Board Meeting, and to submit approved OMI Board Minutes for review. By doing this, OMI is able to keep the School of Medicine informed of what is happening at OMI.
  - c. OMI faculty meet at a strategic planning retreat on September 13, 2013. The faculty approved standard operating guidelines (SOGs) for case consistency. OMI has had an increase in autopsies, most recently calculated at a 14.5% increase over last year.
  - d. OMI will be presenting a legislative request for next year. The HSC BOD approved the legislative request for a \$325k base adjustment. These funds will help offset increased utility costs. The initial hearings are set to occur during first week of October.
  - e. An All-OMI meeting was held for staff on September 23, 2013. During this meeting, OMI staff were updated on mass fatality incidence planning, the upcoming accreditation visit from NAME, and strategic plans.
  - f. On September 25, 2013, OMI will be hosting an open house for members of the community. This event is sponsored by the UNM Alumni foundation, and has been largely successful in the past.
  - g. The OMI is accredited by NAME and CAP, but is up for re-accreditation with NAME. There will be a site visit in the next month, and OMI has submitted the necessary materials. The site visitor has been named, but a firm date has not yet been established.
  - h. The OMI is facing significant budget constraints this year and is currently reviewing budget deficits. The number of toxicology tests being sent out of state has been decreased. OMI has increased testing with SLD, which may result in decreased turnaround time for results and for death certificates .
5. Public Comment
  - a. There was no public comment.
6. Adoption of New Open Meeting Resolution: Ms. Ariadna Vazquez
  - a. During the last legislative session, a change was made in regard to the public meetings act. As such, the HSC legal office has made the changes to the Policy on Public Notice of Meetings of the Board of Medical Investigators of the Office of the Medical Investigator and are presenting these changes to the Board for approval. Notable changes include section 2.(b)., which indicates that the notice of regular meeting will be given at least seventy-two (72) hours prior to the meeting. In addition, section 2.(d). was added, and notes that OMI will provide reasonable accommodations to individuals who request

audio and/or video recording of the meetings. Section 8. Agendas, indicates that a copy of the agenda will be available on the OMI website 72 hours before the meeting. Section 10.(e). is a new addition to the policy and states that audio tapes of the Board's meetings shall be kept for three years. Because section 10.(e). is not required by the public meetings act, OMI is at liberty to keep or modify the current policy. Chief Kassetas moved to delete section 10.(e)., seconded by Dr. Roth. All were in favor of deleting 10.(e). from the document. Dr. Roth then moved to adopt the open meeting resolution, and Mr. Baca seconded. All were in favor; the motion passed to adopt the open meeting resolution with the deletion of section 10.(e).

7. New Business

i. Presentation on Progress of the Death Investigation Reporting Tool (DIRT): Dr. Keith Pinckard

i. DIRT went live on August 14, 2012 and has greatly affected turnaround times in the morgue and for finalized autopsy reports. Dr. Pinckard reported that web based relational databases are good for remote users in that there is no need to download software; users are able to simply log into a website. As OMI continues trending toward paperless systems, the advantages of using DIRT are numerous. DIRT promotes high quality and accurate reporting, enhances efficiency while decreasing turnaround times, and ensures compliance with accreditation standards/current evolving best practice principles of NAME, CAP, and SWGMDI. Predominantly, OMI produces autopsy reports for medicolegal entities. Families are interested in understanding what happened as well as the cause and manner of death. Often times, family inquiries can be solved by producing the death certificate and a summary of the findings. DIRT offers a report of findings that provides a summary and opinion that are written in lay terms. This report effectively provides a description of what was found, the cause and manner of death, and important issues for families—including toxicology or other ancillary studies. OMI provides reports pertaining to the legal system by receipt of subpoena. In these circumstances, the entire record is given. Objective reports allow other pathologists to come to their own conclusions and be responsive to medicolegal systems by testifying to their own opinion based on the objective data.

b. Presentation on Status of Prescription Drug Overdose Deaths and Other Toxicology Issues in a Medical Examiner's Office: Dr. Clarissa Krinsky

i. Dr. Krinsky indicated that prescription drug overdoses are a State epidemic, and are the third leading cause of death for New Mexico, and fifth leading cause in the nation. Poisoning and overdoses by prescription medications have surpassed automobile accidents. Additionally, the pharmaceutical industry has recently seen a surge in prescription narcotics, with hydrocodone being the most prescribed drug in the US. Dr. Krinsky showed that, statistically, 4.8% of persons 12 years or older had consumed a prescription pain medication for nonmedical reasons. In 2009, New Mexico ranked #1 in the nation for drug caused deaths. The National Association of Medical Examiners (NAME) guidelines state that a complete autopsy and scene investigation must be done, including toxicology testing, recording a list of all contributing drugs, and indicating poisoning intoxication toxicity or overdose as cause of death. Drug overdoses are a long-term problem for New Mexico. The data that OMI

receives coupled with proper toxicological testing are essential in combating this significant public health challenge.

- c. Determine Dates for the next Board Meetings
  - i. The meeting on December 17, 2013 has been confirmed.
  - ii. Proposed meeting dates for 2013 are: March 18, June 17, September 16, and December 16, 2014. Each of the meetings will be held at the Office of the Medical Investigator from 3:00 to 5:00 pm.
  - iii. Dr. Roth moved to accept the 2014 meeting dates and Mr. Kassetas seconded. All were in favor; the meeting dates for 2014 were accepted.
8. Closed Session
  - a. There were no items for closed session.
9. Adjournment
  - a. Dr. Roth moved to adjourn the meeting and Mr. Kassetas seconded. All were in favor, the motion carried. The meeting adjourned at 4:27 pm.