

## UNIVERSITY OF NEW MEXICO BOARD OF REGENTS

#### **AGENDA**

August 8, 2014 9:00 AM Student Union Building Ballroom C

#### The Board of Regents of the University of New Mexico Meeting in Open Session and Executive Session August 8, 2014, 9:00 AM

#### Student Union Building, Ballroom C / Executive Session-Luncheon in Cherry Silver Room Revised\* AGENDA

TAB Call to Order, Confirmation of a Quorum and Adoption of the Agenda......1 Regent President Jack L. Fortner Approval of Minutes: June 13, 2014 Regular Meeting; July 7, 2014 Special Meeting......2 II. Public Comment, specific to agenda items (limit 3 min. per speaker) The President's Administrative Report, President Robert G. Frank......3 III. Physical Plant Department – APPA Award for Excellence IV. **Comments from Regents Regent Committee Reports** V. Finance and Facilities Committee, Regent James H. Koch, Chair Action Items (contingent on approval in Finance and Facilities Committee) 1. Disposition of Surplus Property for Main Campus on list dated 6/30/2014......5 Consent Bruce Cherrin, Chief Procurement Officer Consent a. Hokona Restroom Renovation b. Addition to Popejoy's Donors' Lounge c. UNM West Solar Power System Chris Vallejos, AVP ISS Consent David W. Harris, Chair Lobo Energy, Inc. 4. Possible Reconsideration of Capital Project for Castetter Hall Biology Phase 3......8 Chris Vallejos, AVP ISS; Mary Vosevich, Dir. PPD; Audra Bellmore, Chair Historic Preservation Committee 5. Approval of Revised Capital Outlay Submission to the NM Higher Education 6. Approval of Potential Refunding of the UNM Series 2003A, 2003B, and 2003C (taxable) Issues and Advance Refunding of UNM Series 2005, Andrew Cullen.......10 7. Approval of Funding Requests for Research and Public Service Projects, Submission to the NM Higher Education Department......11 Mathew Munoz, Government Relations Specialist 8. Approval of Amended Bylaws of the UNM Lobo Club......12 Consent Paul Krebs, VP Athletics; Lee DeLeon, Dir. Lobo Club **Information Items** Leslie Boni, Anderson School of Management Finance International Tech Management 10. FY14 Audit Status Update 14 Liz Metzger, University Controller; KPMG & Moss Adams Auditors Academic/Student Affairs & Research Committee, Regent Bradley C. Hosmer, Chair 

<sup>\*</sup> Revised agenda denotes Finance and Facilities Committee Consent agenda items. No agenda items are added to or deleted from the original submitted agenda.

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	Pamela Pyle, President, Faculty Senate	
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	Rachel Williams, President, ASUNM Texanna Martin, President, GPSA	
	Brian Colón, President Alumni Association (report in eBook)	
	Catherine Cullen, President, Parent Association	
	William Miller, President, Retiree Association	
	Carl Alongi, Chair, UNM Foundation Board of Trustees (next quarterly report will be given at the Sept.	BOR)
VII.	Public Comment (items not on agenda; limit 3 min. per speaker)	
VIII.	Vote to close the meeting and proceed in Executive Session	
	<ul><li>Executive Session-Cherry Silver Room</li><li>1. Discussion and determination where appropriate of the purchase, acquisition or</li></ul>	
	disposal of real property pursuant to Section 10-15-1.H(8), NMSA (1978)	
	2. Discussion and determination where appropriate of limited personnel matters	
	pursuant to Section 10-15-1.H(2), NMSA (1978)	
	4. Discussion and determination where appropriate of threatened or pending litigation	
IX.	pursuant to Section 10-15-1.H(7), NMSA (1978)  Vote to re-open the meeting and certification that only those matters described in	
171.	Agenda Item VIII. were discussed in Executive Session and if necessary, final action	
	with regard to those matters will be taken in Open Session.	
X.	Vote to Adjourn	
XI.	Addendum: Minutes approved or presented as information at the HSC Board of Directors	2.4
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	b. UNM Hospitals Board of Trustees May 30, 2014 Meeting	
	c. Sandoval Regional Medical Center, Inc. Board of Directors May 28, 2014 Meeting	
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**Action Item** 

#### Minutes of the Regular Meeting of the Board of Regents of the University of New Mexico June 13, 2014

#### Student Union Building, Ballroom C

#### Members present

Jack L. Fortner, President; Conrad D. James, Vice President, Bradley C. Hosmer, Secretary; Suzanne Quillen; J.E. Gene Gallegos; James H. Koch; Heidi Overton

#### Administration present

Robert G. Frank, President; Paul Roth, Chancellor for Health Sciences; Chaouki Abdallah, Provost and EVP for Academic Affairs; Elsa Cole, University Counsel; Eliseo Torres, VP Student Affairs; Paul Krebs, VP for Athletics; Elizabeth Metzger, University Controller; Ava Lovell, Sr. Exec. Officer of Finance and Administration, HSC; Helen Gonzales, Chief Compliance Officer; Michael Duran, Chief HR Operations Officer for VP HR

#### Regents' Advisors present

Carl Alongi, Chair UNM Foundation; Renée Delgado-Riley, President Staff Council; Richard Holder, President, Faculty Senate; Rachel Williams, President ASUNM; Texanna Martin, President GPSA

#### Presenters in attendance

Bruce Cherrin, Chief Procurement Officer; Lisa Kuuttila, Pres. & CEO STC.UNM; Andrew Cullen, AVP Planning, Budget & Analysis; Tom Neale, Interim Director of Real Estate; Chris Vallejos, AVP ISS; Terry Babbitt, AVP Enrollment Management; Gabriel Sanchez, Assoc. Prof. Dept. of Political Science and Director of the Robert Wood Johnson Foundation Center for Health Policy at UNM; Loretta Cordova de Ortega, Pediatrics Administration; Paul Herzog, COO UNM Hospitals

#### Others in attendance

Members of the administration, faculty, staff, students, the media and others.

#### CONFIRMATION OF PRIOR SPECIAL MEETINGS IN EXECUTIVE SESSION

The Board of Regents held a special meeting in closed session on May 23, 2014 from 1:11 pm to 4:05 pm in the Hibben Center for Archeology Research on Main Campus. Jack L. Fortner, Bradley C. Hosmer, Suzanne Quillen, Gene Gallegos, James H. Koch, Heidi Overton and Conrad D. James were in attendance. The meeting was for discussion purposes only of limited personnel matters in accordance with Section 10-15-1H (2) of the Open Meetings Act (NMSA). The matters discussed in the closed meeting were limited only to those specified in the official Notice of the meeting.

The Board of Regents had a special meeting in closed session on June 13, 2014 from 8:15 am. to 8:47 am. in the Cherry Silver Room of the Student Union Building located on Main Campus of the University. Jack L. Fortner, Bradley C. Hosmer, Suzanne Quillen, Gene Gallegos, James H. Koch, and Heidi Overton were in attendance. The meeting was for discussion purposes only of limited personnel matters in accordance with Section 10-15-1H (2) of the Open Meetings Act (NMSA). The matters discussed in the closed meeting were limited only to those specified in the official Notice of the meeting.

#### CALL TO ORDER AND APPROVAL OF THE AGENDA

Regent President Fortner called the meeting to order at 9:03 AM. A quorum was confirmed. The motion to approve the agenda was unanimously approved (1st Hosmer, 2nd Gallegos).

#### APPROVAL OF MINUTES

Regent Fortner asked for a motion to approve the minutes on the agenda.

The motion to approve the minutes of the May 9, 2014 regular meeting and the minutes of the May 9, 2014 special meeting with the UNM Gallup Local Board was unanimously approved with a quorum of Regents present and voting (1st Gallegos, 2nd Quillen).

#### PUBLIC COMMENT (no comments)

#### PRESIDENT'S ADMINISTRATIVE REPORT

President Frank spoke about the American Association of American Universities (AAU), an association of 60 U.S. universities founded at the beginning of the 20<sup>th</sup> century, and an article critical of the control the AAU has over the membership, which tends to be focused exclusively on the production of research. UNM aspires to excel in the production of research because it is a critical part of the mission of the University. Also critical to the mission is the education of students, which according to the article, tends to get lost in the AAU premise. The article recalculates the membership status and ranks UNM 39<sup>th</sup> based on diversity and balancing education with research.

President Frank discussed the idea of UNM having two distinct pillars, one being science and engineering and one being arts and humanities. These pillars are what the University and Faculty represent. The University is extending an arch above the two pillars to create innovation. President Frank believes that this concept relates to Innovate ABQ because it will be a dynamic arch that will bring together two great areas of the University to educate students and foster innovation. The goal is to create educated citizens who contribute to their community, and the Faculty and Staff do a great job toward that goal. President Frank thanked the Faculty and Staff for all of their hard work this past year.

President Frank discussed some of the UNM 2020 objectives as the fiscal year is coming to a close. The 6-year graduation rate is at 48.2%, which is the highest in UNM's history and he congratulated the Provost and his team as they worked very hard. The retention rate was at 77%, which was a 1.1% increase over the last year. The 4-year graduation rate was at 15.8%, which was a 0.8% increase.

A UNM 2020 goal is to make UNM a destination university. President Frank congratulated Dean Kate Krause for her success with the Honors College. The Honors College is bringing the best and brightest students to the University and creating a liberal arts campus. There were 773 new freshmen with an ACT of 26 or higher, which was an increase over the prior year of 5.9%. There were 1,115 international students enrolled at UNM, which was an increase of 5.2% over the prior year. The Study Abroad Program also saw an increase of 9.4% with 661 students participating.

Distinguished Professor Patricia Crown from the Department of Anthropology was selected to the National Academy of Sciences. Ms. Crown is one of the foremost scientists in the world on New Mexico's Chaco Canyon. Provost Abdallah also stated that UNM is close to signing another UNM-Sandia jointly appointed faculty member agreement between the two institutions, UNM and Sandia National Labs.

Another accomplishment of the year was Innovate ABQ, in which the University raised \$7.5 million for the project. STC had great success with 115 invention disclosures, 90 patents, and 42 licensing agreements. The UNM Endowment raised over \$400 million, which was a huge accomplishment. President Frank also commented that there was no tuition increase this year, and there was a compensation increase for Faculty and Staff.

The President addressed the budget and budget process. The new Results Oriented Management (ROM) budget process will increase the transparency of the budget and allow for more control within the departments. Phase 2 of ROM will create quality metrics that the departments can work toward. These changes will foster increased collaboration and ultimately create a more participatory process. At the Regents' July meeting, President Frank will discuss proposed changes to the budget cycle. Administration would like to begin having dialogues earlier in the year to discuss priorities and develop budget scenarios. The President would like for the budget to be discussed at the October Regents meeting and again in January. The Higher Education Department has created a work group to address Funding Formula issues. EVP David Harris is chairing the group and it includes many different people from higher education institutions and executive and legislative representatives. President Frank welcomed the new Regent Advisors and looks forward to working with them this year.

#### **REGENTS COMMITTEE REPORTS**

#### FINANCE AND FACILITIES COMMITTEE

Regent Koch asked Liz Metzger to present the monthly consolidated financial report. Ms. Metzger presented results of current operations as of the end of April 2014. The net results of current operations

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is a positive \$33.7 Million, of that \$19 Million is for Main Campus. The main drivers for the positive net results are I&G, Auxiliaries and Clinical Operations. At year-end, positive balances in Auxiliaries are transferred to Plant Funds to cover costs like purchasing vehicles and housing renovations. Clinical Operations shows a net positive of \$10.8 Million at the end of April; proceeds from the TriWest investment, listed as Investment Income, were \$40 Million. Next Fiscal Year final proceeds from the TriWest investment are expected to be \$12 Million.

Regent Koch read the 2 items listed on the consent agenda.

#### **Consent Items**

- 2. Disposition of Surplus Property for Main Campus on list dated 5/23/2014
- 6. Approval of the appointment of Tim Larsen and reappointment of Marcia Winter to the Harwood Governing Board.

The motion to approve the Finance and Facilities Committee Consent Agenda passed unanimously with a quorum of Regents present and voting (1st Koch; 2nd Quillen).

#### Approval of Renewal of Maui HPCC Lease

Tom Neale made the presentation. This is brought to the Regents annually for their approval to renew the lease of the Maui High Performance Computing Center (HPCC). In 1992, the Office of Research won an award to set up, operate, and maintain the Maui HPCC as an Air Force Research Lab initiative. UNM operated that facility for ten years, during that time UNM entered into a long-term lease with the Bank of New York as Trustees under a Certificate of Participation financial structure; that agreement expires in June 2025 and each year until then UNM has an annual renewal option. Subsequent to UNM operating the facility, the University of Hawaii, under a sub-lease agreement won the contract to operate the facility, but in 2011 the University of Hawaii's contract was not renewed for a third time. At that time, SIC, a defense contractor, won the award, but that award was challenged. The operations of the Maui HPCC is being transitioned from the Air Force Research Labs to the Army Corps of Engineers High Performance Computing Program. This past year, UNM had an occupancy agreement with the Army Corps of Engineers and they are in the process of renewing that agreement for another year. UNM is working with the Army Corps of Engineers on a potential 5-year lease agreement. UNM responded to an RFP for the lease in April and was the only respondent; the award for the lease should be forthcoming within the next 30 days. Mr. Neale requested Regents' approval to extend the lease for another year, contingent upon receiving an occupancy agreement from the Army Corps of Engineers that would be coterminus.

Regent Fortner asked to include in the motion that someone from UNM who is familiar with the property or the lease to visit and inspect the facilities on a 2-day trip, at least every three (3) years, and report back to the Regents. Tom Neale said that a UNM project manager representative went to the facilities about 3 years ago to inspect and replace the roof.

The motion to approve the renewal of the Maui HPCC Lease with Regents' stipulation that a representative from UNM who is familiar with the property or the lease visit and inspect the facilities on a 2-day trip at least every three (3) years for the purpose of reporting findings to the Regents after the visit, passed unanimously with a quorum of Regents present and voting (1st Gallegos; 2nd Hosmer).

<u>Approval of Economic Development Initiatives – Institutional Support Funding Request for STC.UNM for Fiscal Year 2014-2015</u>

Regent Fortner said he had discussed with President Frank and Lisa Kuuttila that the Regents want to review the material and address the item at the next meeting. Regent Fortner asked for a motion to table the item for further review.

The motion to table Economic Development Initiatives – Institutional Support Funding Request for STC.UNM for Fiscal Year 2014-2015 for further review passed unanimously with a quorum of Regents present and voting (1st Gallegos; 2nd Quillen).

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#### Approval of Capital Outlay Submission to the Higher Education Department

Regent Koch asked Andrew Cullen to make the presentation. This is a yearly task for submission to the HED. The funds requested for the Ferris Engineering Building, \$4.7 Million, and the Health Education Building, \$8.0 Million, are for completion of the projects. There was discussion about the need for a new Physics and Astronomy Building, labeled as Interdisciplinary Science Building (P&A) on the submission. The project could potentially include lab space for other disciplines, including Biology, Chemistry and Engineering, as a way of taking advantage of economies of scale and providing a much needed intensive research and lab facility. The \$753K funds requested address the planning phase only. President Frank said the P&A building is projected to be a costly project but is critical to the future of the University. Provost Abdallah pointed out future funding will probably from a combination of State appropriations and potential UNM bonds.

Student Regent Overton asked about the timeline for funding for the College of Population Health. The current appropriations request is zero; however, total project cost is estimated at \$46.7 Million. Dr. Roth said the program's current design creates a virtual college that interfaces with multiple colleges on Main Campus as well as in the Health Sciences Center, and it's growth will be gradual such that funding will not be needed until the program becomes more mature, faculty are identified, and a specific curriculum is approved.

The motion to approve the Capital Outlay Submission to the Higher Education Department passed unanimously with a quorum of Regents present and voting (1<sup>st</sup> Gallegos; 2<sup>nd</sup> Koch).

#### Approval/Adoption of Severance Tax Bond (STB) Resolution, Notification and Certification

Andrew Cullen made the presentation referring to a list of projects the State will fund with a Severance Tax Bond issue. These are projects that UNM will move forward so the bond proceeds will be spent. The State requests that UNM incur binding obligations for at least 5% of the appropriation per project and ultimately have 85% of the proceeds spent within 3 years.

The motion to approve the Severance Tax Bond Resolution, Notification and Certification passed unanimously with a quorum of Regent present and voting (1<sup>st</sup> Koch; 2<sup>nd</sup> Hosmer).

#### <u>Information Item – Moody Rating Agency Update Report</u>

Andrew Cullen outlined the highlights in the report. Moody's has affirmed the University's Aa2 bond rating with a stable outlook. Improvements in operations from year-end 2013, primarily on the Health Sciences side, was a positive sign for Moody's. Noted challenges on the Health Sciences side are uncertainties in the ramifications of the Affordable Care Act and overall the heavy reliance on in-state enrollment. Broadening the enrollment base to include more out-of-state and international enrollment would create more year-on-year stability. A strength is strong State support to the University in operations and capital and the State having a AAA Moody's rating, the highest rating achievable. There was discussion about potential refunding of current bonds. It's typical for bond issues to have a 10-year call, so the 2003 bonds were up for refunding without penalties last year and the 2005 bonds will be up for refunding next year.

#### <u>Information Item – Capital Projects Executive Summary Report</u>

Chris Vallejos presented the information. Of the current projects underway, there are 2 in programming, 4 in design, and 5 in construction. The 1650 University Blvd. project is 2.5 - 3 months ahead of schedule; the Biology Building is in its final build-out phase; the Chemistry Building will start this summer.

#### <u>Information Item – Update on 4-Year Guaranteed Tuition Model</u>

Regent Fortner proposed starting discussions on a tuition freeze model. The plan would freeze annual tuition and fees for each cohort for 4 years. Elements of the model that would need to be discussed are 1) defining the 'cohort' and the requirements to remain in the cohort, 2) outlining the premium charged, 3) an escape clause in the event of significant unforeseen negative financial impacts to the University from factors outside the University's control, and 4) whether to cap enrollment in the option. In years past, the University has had zero and up to 15 % tuition increases. The primary benefit of a 4-year Guaranteed

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Tuition would be for students and their families to be able to project out with certainty what they will pay for 4 years. Other Universities have done this. There needs to be discussion among Administration, Students, Faculty and Staff. Regent Fortner asked President Frank to start discussions on best practices among the various constituencies and come up with a model that Administration can live with and one that is good for students. There was discussion about the benefits of increasing the 4-year graduation rate. President Frank said the University will promote summer school attendance and also work to add a clause to the Lottery Scholarship so that it covers summer school. If students graduate faster, this would have a net positive financial impact to the cost of education in the long term. Regent Hosmer urged devising a model with a revenue neutral impact so as to mitigate the need for a cap on enrollment in a flat tuition option. Regent Koch added that a flat tuition model would probably be viewed favorably by the State because it could potentially put less pressure on Lottery Scholarship funds.

#### ACADEMIC/STUDENT AFFAIRS AND RESEARCH COMMITTEE

Regent Hosmer asked the Provost to give his update. Provost Chaouki Abdallah said summer enrollment is down by 3.8% from last year, not as far down as that at the national level or at CNM which is down 9%. UNM is looking at ways to stabilize enrollment. Most students come in as freshmen, but by graduation, 25% of them are non-traditional students. Looking at 4-year graduation rates is important, but it's important to look at other key metrics and the type of students who are graduating. One important metric is number of degrees/100 students and looking at number of degrees in appropriate areas. Dean Hector Ochoa has been hired as the new Dean of the College of Engineering, and the University is nearing the end of the redesign of the College of Engineering. The National Advisory Board has issued a report, and the final plan will be completed during the summer. In the Fall, the University will initiate the search for the VP of Research with the hopes of having the new VP by Fall of 2015. Soon, there will be a new joint hire agreement with Sandia National Labs in the area of materials and energy. This agreement can be used as a model for other joint hires with Sandia National Labs; others potentially being in cyber security and quantum information. Regarding the new AAU (Assoc. of American Universities) report, one of UNM's faculty, Professor of Anthropology Patricia Crown, was elected to the National Academy of Sciences, the highest honor for a professor in the sciences.

Regent Hosmer introduced the Faculty presenter, Dr. Gabriel Sanchez, Associate Professor in the Department of Political Science and Director of the Robert Wood Johnson Foundation Center for Health Policy at UNM, presented his research findings to the Regents. Dr. Sanchez' research explores the relationship between racial/ethnic identity and political engagement, Latino health policy, and minority legislative behavior. His work has been published in a wide range of journals including Political Research Quarterly, Social Science Quarterly, Urban Affairs Review, Political Science and Politics, Journal of Health Care for the Poor and Underserved, American Politics Research, and other peer reviewed outlets.

#### **HEALTH SCIENCES BOARD**

<u>Approval of the Resolutions Authorizing Establishment of UNM School of Medicine Department of Pediatrics Quasi-Endowment Funds</u>

Regent Quillen asked Loretta De Ortega to give a summary of the action item.

The motion to approve the Resolutions Authorizing Establishment of UNM School of Medicine Department of Pediatrics Quasi-Endowment Funds passed unanimously with a quorum of Regents present and voting (1<sup>st</sup> Hosmer; 2<sup>nd</sup> Overton).

Approval of the Resolutions for Purchase of Computerized Inventory Control Equipment and Support from CareFusion Solutions, LLC

Regent Quillen asked Paul Herzog to present the item.

The motion to approve the Resolutions for Purchase of Computerized Inventory Control Equipment and Support from CareFusion Solutions, LLC passed unanimously with a quorum of Regents present and voting (1<sup>st</sup> Quillen; 2<sup>nd</sup> Overton).

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#### Information Item – HSC Financial Update and Sandoval Regional Medical Center, Inc. Monthly Update

Ava Lovell gave the presentation. A Health Sciences Center (HSC) financial update as of April 30, 2014 was provided to the Board. The cash flow from operations was discussed and HSC is \$6.5 million favorable, the Medical Group is \$6.68 million favorable, UNM Hospital (UNMH) is \$1.1 million favorable, and Sandoval Regional Medical Center (SRMC) is \$1.589 million favorable. For the operating net margin, HSC is a governmental unit which does not book depreciation, the Medical Group is \$6.3 favorable, and UNMH is \$25 million unfavorable due to the plant/capital operations. SRMC is \$5.9 unfavorable due to it being a new unit. For net income, the HSC is \$1.6 million unfavorable, the Medical Group is \$2.6 million unfavorable, UNMH is \$14 million favorable due to the Tri-West dividend, and the SRMC had a use of \$5.8 million. Uncompensated Care is flattening out due to the implementation of the Accountable Care Act (ACA) since January 1. The day's cash on hand metric is tracked to ensure the University has enough cash to operate. HSC has 5.61 days, Medical Group has 50.74 days, UNMH has 60.94 days, and SRMC has 35.65 days. The benchmark across the nation for a hospital is 75 days and UNMH is near that with 61 days. It is difficult for the University to reach that due to the amount of Uncompensated Care it receives. Looking at Accounts Receivable 90 Days; HSC is \$292K and Medical Group is at 18%. UNMH is at 26%, which is higher than the last 5 years, due to the ACA. The NM Centennial Care Program is very complex and requires everyone to enroll at one time. The state is currently 120 days behind in processing paperwork. Once those get enrolled they have to pick an MCO, which is one of the 4 managed care organizations. Billing is taking time to get processed but is being worked on daily. The final metric reviews the research organization with proposals at \$236 million, awards at \$110 million, finally Facilities and Administration (F&A), and contracts and grants are up as well.

Regent Quillen stated that it is a statewide problem facing every hospital, and some have not been paid in 120 days. The New Mexico Hospitals Association is heavily involved and holding meetings to discuss the problem. The system is not at the capacity where it can handle the demand. It has not been a smooth transition and smaller hospitals are suffering.

Regent Gallegos inquired about Clinical Operations negative balance of \$8.5 million. The Tri West investment of \$12.6 million was applied in 2013. So in 2014, if it were not for the Tri West cash out, the operations would be \$25 million unfavorable and would require use of balances to cover operations.

Ms. Lovell stated that the ACA was a complete change and they were prepared to use balances to cover expenses but due to the Tri West investment, they did not have to.

Ava Lovell provided an update to the Board on the Sandoval Region Medical Center (SRMC). The SRMC is seeing the seasonal effect of illnesses and is at a census of 50 out of 60 beds, which is the highest it has been. SRMC activity is increasing and surgeries are up and clinic visits and ER visits are also rebounding. Radiology visits are also up as the hospital is trying to relive backlog at UNMH getting much of the work done and caught up at SRMC. The goal of SRMC is to increase volume by 20% over the next 6 months to increase cash flow operations to break even on a total accrual basis on financials. The strategy is to identify community and system needs for surgical capacity, primary care, behavioral health, and other signature services, with providers dedicated to SRMC. The goal is for a net gain of 540 visits or 23% over the current average, to go from about 2265 visits to 2800 visits per month. The goal for surgeries is to increase 27% with a net gain of 85 surgeries per week and 394 surgeries per month.

Chancellor Roth stated there has been very good and relatively rapid improvement in the overall operations at SRMC. Regent Overton commented she is glad there are specific faculty members assigned to SRMC. Chancellor Roth stated they plan to build up the operations with Family Medicine, Internal Medicine, Women's Services, Pediatrics, and increased surgeries. Regent Quillen stated that community physician involvement is increasing for SRMC and is one of the goals as a community academic hospital.

#### <u>Information Item – Quarterly Strategic Performance Report</u>

Dr. Richard Larson provided an update on the HSC Strategic Plan for the Board. The planning process was recreated in 2011 where they developed a new vision, mission, and value statement for the HSC. The overall goal by 2020 is to make more progress in health and health equity. In 2012, HSC undertook a large effort, which incorporated the academic and research enterprise, as well as the health system into one iterated strategic plan. Goals were then established with strategies and tactics and went through a prioritization

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process to establish metrics. This effort was discussed with the HSC Board of Directors several times and received final approval in September 2013. Since 2013, they have worked with the President's team to assure the plan is aligned with the UNM 2020 vision. The six major goals of the strategic plan were discussed, which include improving public health and health care, building a workforce to fill shortages and needs in NM, fostering innovation, enabling our people and programs, deliver a well-integrated academic health center, and nurture environment of diversity. The HSC views the goals as permanent aspirations, and under each goal lists a series of strategies. Every strategy is measured by an indicator of success, each strategy contains a set of tactics, and tactics we really see are 1-year targets. They do not stop at the HSC as it is passed down to all of the units and colleges to align their strategic plan, and ultimately the performance plans of the faculty and staff with the goals incorporated into the incentive payment plans and budget. All of the goals are a part of the President's plan and the HSC will work with his team to continue to stay aligned with UNM 2020. The HSC has 32 prioritized metrics and they meet all but two of them. The first relates to SRMC having a positive monthly net operating margin. The second is a goal to increase the number of patients served by patient navigation by 25% to increase efficiency due to the ACA, which is on track.

In response to Regent inquiry, Dr. Larson stated that patient satisfaction is very high at SRMC based on the surveys they have done. Regent Hosmer commended HSC and Dr. Larson for their strategic plan initiative as it is a very effective tracking device.

#### **AUDIT COMMITTEE**

Regent Gallegos provided information on the last Audit Committee meetings. The Audit Committee met on May 23, 2014 and worked until 1pm, but had to adjourn due to a Regents meeting that afternoon so the agenda was not completed. As a result, a special meeting was held on June 11, 2014 to complete the agenda. The agenda was comprised primarily of the financial and performance audit of the Department of Education.

#### COMMENTS FROM ADVISORS

Chair UNM Foundation Board of Trustees, Carl Alongi, President Alongi provided an update on the UNM Foundation to the Board. The Changing Worlds campaign began in 2006 with a goal to reach \$500 million. President Frank, who tied it into UNM 2020 strategic plan, refreshed the campaign. The original goal has been surpassed and the campaign is, as of June 3, at \$639 million with nearly 60,000 donors. The goal has been elevated to \$1 billion. Mr. Alongi presented some highlights of major gifts received by the Foundation. The Consolidated Investment Fund and the other endowments are on track.

ASUNM President, Rachel Williams, President Rachel Williams introduced herself to the Board of Regents as the new ASUNM President. Ms. Williams was raised in NM and is a senior double-majoring in Political Science and International Studies. She has a lot of experience and a very diverse background in student organizations on campus, including New Student Orientation and ASUNM. Ms. Williams is confident in the new structure of the SFRB and will be working to make the policy more effective and efficient.

<u>GPSA President, Texanna Martin</u>, President Texanna Martin introduced herself to the Board of Regents as the new GPSA President. Ms. Martin is a native New Mexican and graduate student working toward a Masters in Public Administration. GPSA is currently working on the transition and conducting interviews for GPSA executive committee members. They are working on various projects including preparing for the SFRB.

Faculty Senate President, Richard Holder, President Richard Holder discussed accomplishments of the Faculty Senate. Mr. Holder put together a Faculty Senate Annual Report, which was included in the meeting materials. Major accomplishments include changing the minimum credit hour requirement from 128 hours to 120 hours, which is anticipated to increase the graduation rate. Approved the New College of Population Health and passed a new policy on Endowed Chairs and Named Professorships. Mr. Holder introduced Pamela Pyle who is the President-Elect of the Faculty Senate and will assume the role July 1. He thanked the Board and enjoyed working with the Regents.

<u>Staff Council President, Renée Delgado-Riley</u>, President Renée Delgado-Riley introduced herself to the Board as the new Staff Council President. She thanked Mr. Gene Henley for his work as the previous President of Staff Council. UNM has played a vital role in her entire life as she is a native New Mexican and a first generation UNM student. She has been a Staff member for 5 years and had previously been a part time

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instructor and student. Her role on Staff Council is to represent the Staff and address their needs and become their voice. She wants to continue to advocate and support policies and changes that support the lively hood of those working at UNM. She wants to identify tangible ways to improve the work life on campus, and Staff Council is working on their strategic plan. July is Staff Appreciation Month with activities for Staff. She looks forward to working with the Board and seeing positive outcomes.

**PUBLIC COMMENT** (there were no comments)

#### VOTE TO CLOSE THE MEETING AND TO PROCEED IN EXECUTIVE SESSION

The Motion to close the meeting and proceed into Executive Session passed unanimously with a quorum of Regents present and voting (1<sup>st</sup> Koch, 2<sup>nd</sup> Hosmer). The meeting closed at 11:45 am.

Executive Session 11:45 am. to 2:10 pm. in the Cherry Silver Room of the SUB

- 1. Discussion and determination where appropriate of the purchase, acquisition or disposal of real property pursuant to Section 10-15-1.H (8), NMSA (1978)
- 2. Discussion and determination where appropriate limited personnel matters pursuant to Section 10-15-1.H(2), NMSA (1978)
- 3. Discussion and determination where appropriate of strategic and long-range business plans or trade secrets of public hospitals pursuant to Section 10-15-1.H (9), NMSA (1978)
- 4. Discussion and determination where appropriate of threatened or pending litigation pursuant to Section 10-15-1.H (7), NMSA (1978)

The motion to re-open the meeting passed unanimously (1st Hosmer; 2nd James). The meeting opened at 2:10 pm.

There was certification that only those matters described in the executive session agenda were discussed in executive session and final action needed to be taken on 2 items in open session.

The motion to proceed with the closing on the First Baptist Church Property, located at 101 Broadway Blvd., passed unanimously with all Regents present and voting (1st Koch; 2nd Hosmer).

The motion that the Board of Regents approve concluding the contractual relationship with Fairmount Properties, LLC and that the University administration be hereby authorized and directed to deliver both a Notice of Discontinued Parcel with respect to Parcel III and a letter of Termination of the Master Development Agreement, dated December 20, 2012, passed unanimously with all Regents present and voting (1st Koch; 2nd Gallegos).

#### **VOTE TO ADJOURN**

The motion to adjourn the meeting passe	d unanimously (1 <sup>st</sup> James, 2 <sup>nd</sup> Overton).	
The meeting adjourned at 2:16 p.m.		
Approved:	Attest:	
Jack L. Fortner, President	Bradley C. Hosmer, Secretary/Treasu	rer

Minutes originated by: Mallory Reviere and Sara Gurule Minutes finalized by: Mallory Reviere

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## The Board of Regents of the University of New Mexico Minutes of Special Meeting July 7, 2014 UNM Lettermen Lounge, The Pit

#### Members present

Jack L. Fortner, J.E. Gene Gallegos, Suzanne Quillen, James H. Koch, Heidi Overton, Conrad D. James, Bradley C. Hosmer

#### **CALL TO ORDER**

Regent President Fortner called the meeting to order at 8:40 AM. A quorum was confirmed. Regent Fortner asked for a motion to adopt the agenda. The motion to adopt the agenda passed unanimously (1st Hosmer; 2nd Overton).

Regent Fortner asked for a vote to close the meeting and proceed into executive session. All voted in favor to close the meeting. The meeting went into executive session at 8:42 AM.

#### **EXECUTIVE SESSION**

During executive session, the Regents discussed President Frank's performance evaluation pursuant to Section 10-15-1H(2) of NMSA (1978). The Regents discussed and considered options of the Innovate ABQ governance structure and Regents' involvement, pursuant to Sections 10-15-1H(2) and 10-15-1H(8) of NMSA (1978). President Frank joined the meeting at 10:20 AM.

Others in attendance during the Innovate ABQ discussion: David Harris, EVP for Administration, COO and CFO; Elsa Cole, University Counsel; Lisa Kuuttila, CEO STC.UNM; Andrew Cullen, AVP Planning, Budget and Analysis; Maureen McGuire, Associate University Counsel.

Regent Fortner asked for a vote to re-open the meeting and proceed in open session. All were in favor. The meeting opened at 12:44 PM.

#### **OPEN SESSION**

Others in attendance during open session: David Harris, EVP for Administration; Terry Babbitt, AVP Enrollment Management; Paul Krebs, VP for Athletics; Elsa Cole, University Counsel; Andrew Cullen, AVP Planning, Budget and Analysis; Dianne Anderson, Director University Communications and Marketing; Mike Bush, Albuquerque Journal; Pamela Pyle, Faculty Senate President; Liz Metzger, University Controller; Fran Wilkinson, Deputy Dean, University Libraries and Co-Chair of Faculty and Staff Benefits Committee; and others from the public.

#### ACTION ITEM

Regent Fortner asked for a motion to approve a \$2 Million loan toward the purchase of the First Baptist Church Property.

The motion that the Board of Regents approve the withdrawal of \$2,000,000 from the Board of Regents Endowment Fund, specifically, the Mesa Del Sol Account, to be utilized for an interim loan in the principal amount of \$2,000,000 (plus 5% simple interest prorated) to STC.UNM for STC.UNM to apply such funds toward the purchase of the real property located at 101 Broadway Blvd. NE, Albuquerque, NM. STC.UNM shall repay the loan upon its receipt of the forthcoming \$2,000,000 contribution from the City of Albuquerque for the Innovate ABQ Project, passed unanimously with a quorum of Regents present and voting (1st Koch, 2nd Gallegos).

• Regent Fortner stated he would name Sherman McCorkle as Chair of a temporary Task Force that would report to the Board of Regents, and to the University, recommendations for an Innovate ABQ Governance Structure, and contingent upon Mr. McCorkle accepting the Chair position, Regent Fortner would consult with Mr. McCorkle regarding other members on the task force and would consult with Dr. Paul Roth regarding an HSC representative on the task force. The task force will have 5 to 7 members.

#### **INFORMATION ITEMS**

#### 4-Year Guaranteed Tuition Option

There was discussion about the four-year guaranteed tuition option. Regent Fortner put forth several questions that need to be addressed: would the option apply to all incoming freshmen; is there a grade point requirement to qualify and stay in; is progress toward a degree required; at what point (eg. loss of funding from the State) will UNM say it cannot continue with flat tuition; how does the lottery scholarship play into this (eg. if funding is more than flat tuition level); what will be the surcharge; and does the option apply to fees?

Regent Koch said the object of a guaranteed tuition should be to encourage students, and parents, to graduate in four years; there should be a 2.5 GPA requirement; and it should be made an option available to all students with a minimal charge of 2%. Terry Babbitt put forth the example that all Merit Scholarships run out after 8 semesters and they have minimum academic standards. There was discussion as to whether the flat tuition model would apply to summer semesters as well. Mr. Babbitt said it could apply to 12 semesters with summers included. President Frank recommended defining the option as a financial package that included 12 semesters along with the other requirements agreed upon. Adopting the University's current student-in-good-standing requirements would allow the University to utilize existing mechanisms for monitoring student progress.

Regent Hosmer recommended a revenue neutral scenario for UNM that would impose a surcharge based on a best guess of the inflation rate over the next four years. Regent Hosmer recommended polling students and families this year to ask if they would be interested in taking a guaranteed tuition option. Student Regent Overton recommended modeling the outcomes of 20% or 30% of students taking the option. Terry Babbitt presented a few basic scenarios for guaranteed tuition models to the Regents for consideration and discussed what other institutions are doing.

#### **Budget Planning Process**

There was discussion about the budget planning process. President Frank said the budget dialogue will start earlier in the year and put forth a proposed timeline with monthly topics to be presented to the Regents and Regents' guidance requested, starting at the August BOR regular meeting (Attachment A).

During discussions, Regent Hosmer requested that trends be presented at the August meeting and that metrics be identified and brought forward during the September meeting, an example of a metric being voluntary turnover within the faculty. Regent Quillen impressed the importance of the Academic Initiative priorities. President Frank confirmed that is core to the University and identifying distinct academic programs would be discussed at the September meeting. Andrew Cullen said the Provost's Academic plan would be included in the strategic investments that would be part of the 4-year budget projection to be presented in October. Mr. Cullen said the 4-year budget projection will be composed of three large categories: salary issues; strategic initiatives, including the Provost's academic plan; and inflation driven items such as utilities and health benefits. Regent Gallegos asked when the college wish lists come forward; President Frank said the colleges are already working on those and they will have to be produced by the September meeting.

There was discussion about how efficiencies are identified and mention that Results Oriented Management (ROM) is a bottom-up efficiency driver. Regent Quillen mentioned her concern that requests for new degree programs come up after the budget process has been finalized. This happened in the Spring. Regent Fortner agreed and added the process for the differential tuition requests by the colleges also needs to be reviewed. President Frank agreed and said those processes would all be reviewed.

Regent Koch asked how much came from the Legislature for I&G this year. Mr. Cullen confirmed it was \$5.6 million this year and \$9 million last year. There was discussion about timing of budget information and that by December the priorities on the expenditure side will be known. When the expenditure budget is set, then the revenue needs will be known, and revenue sources are appropriations from the State and tuition. In February or March, three significant pieces of the budget become known; Legislative budget appropriations, health premiums (in March), and utilities. Mr. Cullen said 85% of the budget is in salaries. There was more discussion about efficiencies and ROM as a tool to drive internal efficiencies.

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#### **BOR Operations**

President Frank recommended creation of a Regents Governance Committee that would focus on orientation of new regents, election of officers and communications. This is a practice recommended by the Association of Governing Boards. Regents' reply was that the Regents Operations Committee, made up of the President, Vice President and Secretary of the Board of Regents serves the purpose of a governance committee and is sufficient because the University has only seven board members. Other universities have larger boards in which case a governance committee might be needed.

On the topic of direction and content of meetings, Regent James requested there be more focus on long-term strategy in Regents' meetings. Regents discussed alternating months between addressing committee business (working meeting) and addressing long-term strategy issues (planning meeting). There was confirmation to respect the committee process where issues are vetted at the committee level. Regent Hosmer suggested starting with UNM 2020 and assigning each part of the vision to an appropriate committee to address beginning in September. There was agreement that the September meetings at the committee level and at full board should look at 2020 long range goals.

President Frank asked that he be involved in better communication processes during the agenda planning phase of the committees. Regent Fortner requested to President Frank that he have a standing meeting every month with each committee chair and to meet periodically with the Regents Operations Committee on long-term strategy issues.

#### Long-term vision and impact on Enrollment Management

Terry Babbitt addressed the enrollment plan summary document. There was discussion about projected levels of enrollment and student retention. Mr. Babbitt talked about initiatives to increase enrollment and student retention. Regent Quillen urged Administration to set the 4-year and 6-year graduation rate goals higher. The message that UNM sends out to the community and to the State is important. Regents urged Administration to market the initiatives as one big campaign. Regent Fortner said the next step is to go to the community.

#### **Athletics Update**

Paul Krebs spoke to the Regents about national issues facing college athletics.

#### **ADJOURNMENT**

There being no other business or discussion, Regent Fortner asked for a motion to adjourn the meeting. Regent Hosmer motioned adjournment; Regent James seconded; all were in favor.

The meeting adjourned at 3:31 PM.

Approved:	Attest:				
Jack L. Fortner, President	Bradley C. Hosmer, Secretary/Tr	easurer			

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1643 Prince Street Alexandria, VA 22314 703.684.1446 www.appa.org

Contact: Trish St. Michel 202.276.2874, trish@appa.org

#### FOR IMMEDIATE RELEASE

#### University of New Mexico Honored with APPA's Award for Excellence

**July 24, 2014, Alexandria, Va.** – APPA is pleased to announce that University of New Mexico has received the 2014 Award for Excellence in Facilities Management.

The Award for Excellence, which highlights the essential role of facilities operations in the overall institutional mission and vision, is APPA's highest institutional honor. Recipient institutions gain national and international recognition for their outstanding achievements in facilities management. The Award for Excellence designation is valid for a period of five years.

"The campuses that receive the Award for Excellence are true leaders in educational facilities management," said David Cain, APPA's Immediate Past Vice President, Professional Affairs, and the chair of the Awards and Recognition Committee. "These institutions demonstrate a commitment to providing a strong learning environment that supports its educational direction."

The APPA Award for Excellence is designed to recognize and advance excellence in the field of educational facilities. Award for Excellence nominations are in the areas of: leadership; strategic and operational planning; customer focus; information and analysis; development and management of human resources; process management; and performance results. Nominated institutions also submit to a site review conducted by an awards evaluation team.

Other 2014 recipients of the Award for Excellence are University of Michigan, Philadelphia University, University of North Carolina at Charlotte, and Soka University of America.

Award recipients were recognized during the 2014 APPA Annual Conference and 100<sup>th</sup> Anniversary celebration in San Diego, July 21-23, 2014.

#### ####

APPA promotes leadership in educational facilities for professionals seeking to build their careers, transform their institutions, and elevate the value and recognition of facilities in education. APPA is the association of choice for more than 10,000 educational facilities professionals from 1,300 educational institutions in North America. www.appa.org



#### Office of Planning, Budget & Analysis

#### **MEMORANDUM**

TO:

Members of the Board of Regents

THRU:

Robert G. Frank, President

David W. Harris, EVP for Administration, COO, and CFO

FROM:

Andrew Cullen, Associate VP Office of Planning, Budget & Analysis

DATE:

August 8, 2014

**SUBJECT:** 

Innovate ABO Update: Master Plan Presentation Kickoff

With the purchase of the First Baptist site in early July and your subsequent approval to move forward with the Master Planning efforts of the site and broader area, STC.UNM has entered into a contract with Perkins+Will (P+W) and Dekker/Perich/Sabatini (D/P/S) to begin this phase of the Innovate ABQ initiative. This effort comes on the heels of the Planning Framework exercise which ranked potential sites according to characteristics such as: 1) proximity to the University and Downtown, 2) the opportunity to leverage city revitalization projects, arts, and cultural events, and 3) the ability to build upon the existing social environment and identity of historic route 66.

Over the course of the next several months, the Innovate ABQ consultants will complete a detailed Master Plan and Guidelines for the First Baptist site and broader area along Central Avenue and Broadway Boulevard. In addition, it is critical that the Master Plan strategically and comprehensively tie the other planning framework sites, including the University existing Research Park and Lomas Boulevard properties, together in a supportive interrelationship. To do so, the process will rely heavily upon input from all public and private partners, including this Board and its appointed Task Force that is considering governance structures.

On behalf of myself, principals David Green from P+W, and Dale Dekker from D/P/S, we look forward to briefing the Board of Regents on the Master Plan process and hearing your vision and aspirations for Innovate ABQ to move this exciting project forward. Thank you for your time and consideration.

# KICK-OFF MEETING // 08.08.2014 INNOVATE ABQ MASTER PLAN

INNOVATE ABQ /

- 1. Research Districts
- 2. Planning Framework
- 3. Master Plan
- 4. Design Guidelines
- 5. Process
- 6. Next Steps

## RESEARCH DISTRICTS REDEFINING INNOVATION

If you take the major research institutions and tech clusters that are being created, how do you take them and arrange them in a purposeful way with mixed use housing and amenities that attract talent but work for industry?

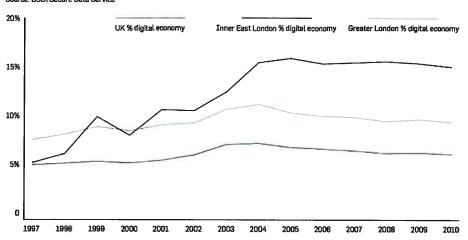
BRUCE KATZ /

Brooking Metropolitan Policy Program Wired Magazine, Feb. 2012

#### GOALS + OBJECTIVES /

Revitalization

Figure 1: Digital economy employment shares 1997–2010 Source: BSD/Secure Data Service



Tale of a Tech City: The Future of Inner East London's Digital Economy Rob Whitehead, Emma Vandore, Max Nathan (2012)

#### PLANNING FRAMEWORK /

Purpose

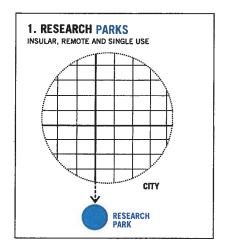
#### **MASTER PLANNING CORE ISSUES**

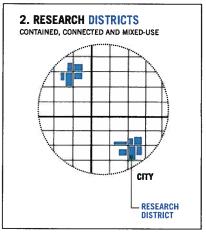


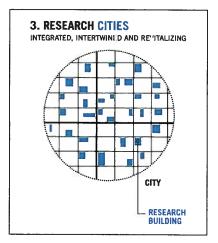
CREATING A PHYSICAL ENVIRONMENT THAT BRINGS TOGETHER THE RIGHT "THINGS" IN THE RIGHT "PLACE"

#### GOALS + OBJECTIVES /

The Evolution of Research + Innovation





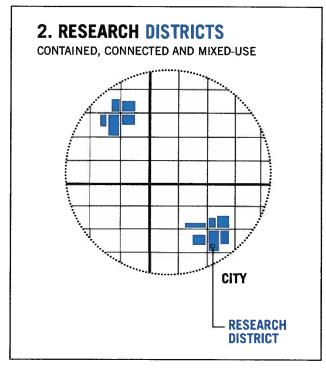


PERKINS+WILL pekinswill.com

#### **GOALS + OBJECTIVES /**

Research + Innovation Districts

Dedicated investment in research has the potential to transform communities. Next generation research centers will thrive off the rich relationships these settings can provide.



PERKINS+WILL

Yesterday's research parks are becoming tomorrow's science towns, uniquely structured to support interdisciplinary research and development within the confines, at least geographically, of a dedicated village.

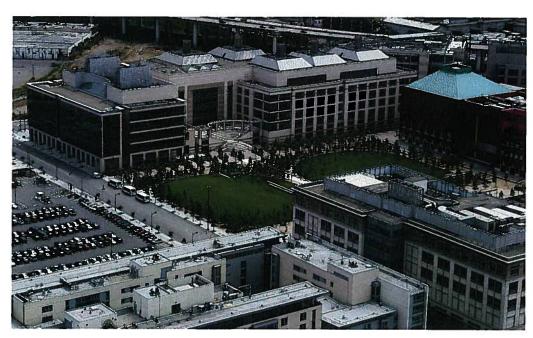
#### DAN WATCH /

Perkins+Will
Research For The Global
Good: Supporting A Better
World For All, 2010

perkinswill.com

PERKINSTWILL

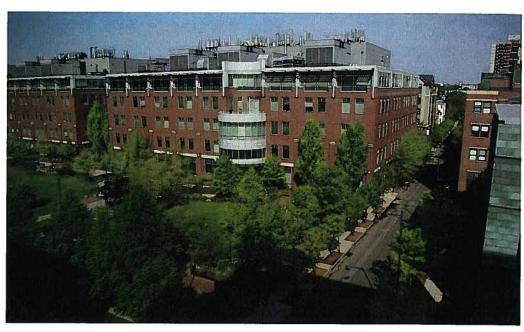
## **GOALS + OBJECTIVES** / Creating a Research District



UCSF Mission Bay / San Francisco, CA

PERKINS+WILL

## **GOALS + OBJECTIVES** / Creating a Research District



MIT University Park / Cambridge, MA

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## **GOALS + OBJECTIVES** / Creating a Research District



UF Innovation Square / Gainesville, FL

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#### **GOALS + OBJECTIVES /**

Creating a Research District



QRDC Research District / Doha, Qatar

PERKINS+WILL

perk inswill.com

100

#### GOALS + OBJECTIVES /

Qualities of Successful Research Districts

# MULTI-DIMENSIONAL. INTERCONNECTED. COLLABORATIVE. INTEGRATED.

## PLANNING FRAMEWORK ENVISIONING INNOVATE ABQ

Albuquerque and UNM find themselves at a crossroads with a new spirit of cooperation and mutual interest to "Innovate ABQ."



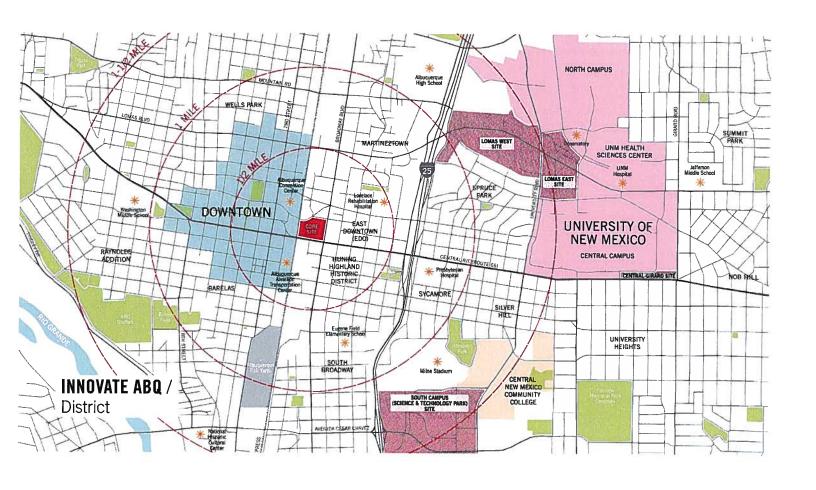
#### **PLANNING FRAMEWORK** /

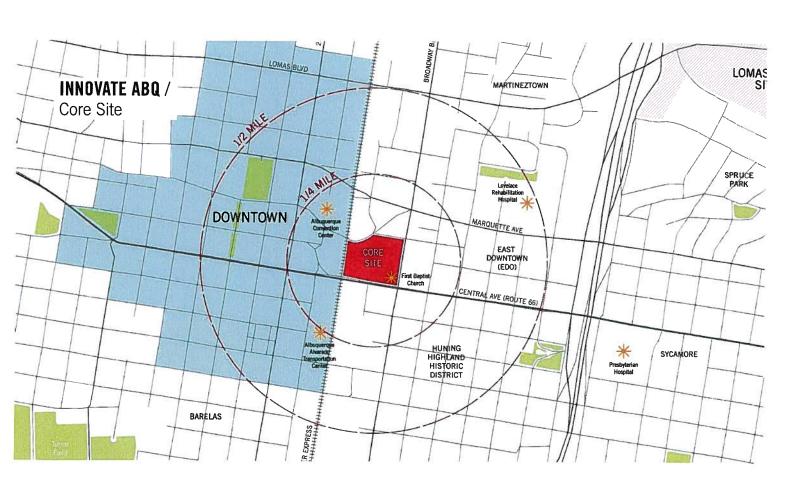
Objectives



Leveraging both the assets of the city and the University, Innovate ABQ will be a catalyst for a new innovation economy in Albuquerque.

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#### **PLANNING FRAMEWORK** /

Site Assessment

	Para Para	CHA!	100	SURABUTY	PROGRAM		PHIL	OUNTITY OF THE PARTY OF THE PAR	DRINGUESARP	TOTAL
SITE 1. South Campus	3	2	0	5	3	2	2	3	5	25
SITE 2. Lomas west	1	0	0	5	3	2	1	1	4	17
SITE 3. Lomas east	3	3	1	5	3	2	3	2	4	26
SITE 4. Central- Girard	5	5	3	2	4	5	3	5	5	37
SITE 5. CENTRAL- Broadway	5	5	5	4	4	5	5	5	1	39
SITE 6. Mesa del sol	2	2	2	4	0	3	2	2	5	22

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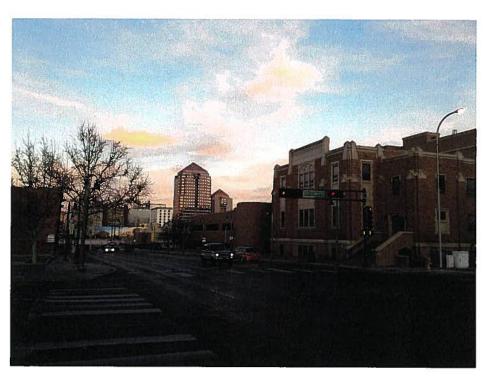
perkinswill.com 21



#### **INNOVATE ABQ /**

Core Site

As the Core Site, the First Baptist Church site will serve as a hub for the Innovate ABQ research + innovation district.

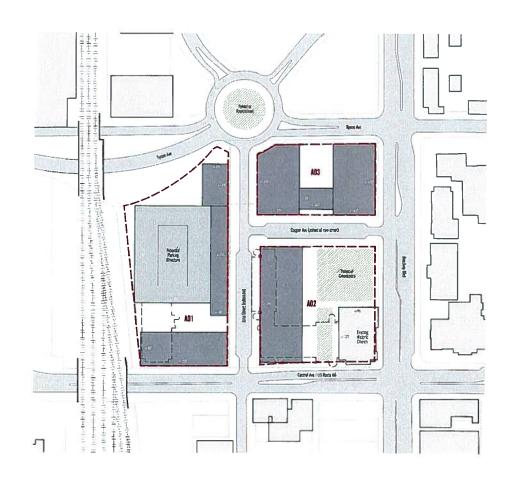


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#### PLANNING FRAMEWORK /

Site Development Testing

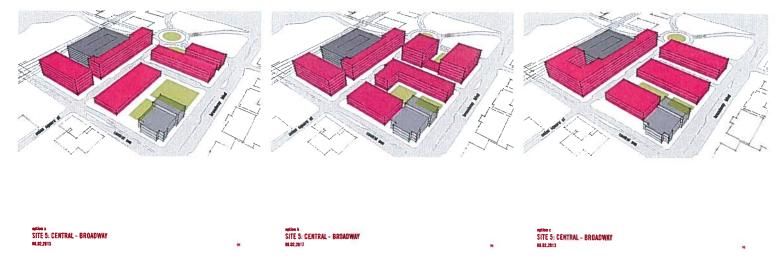
This test fit illustrates just one potential development scenario at the Central-Broadway site, but highlights the site's ability to accommodate research buildings.



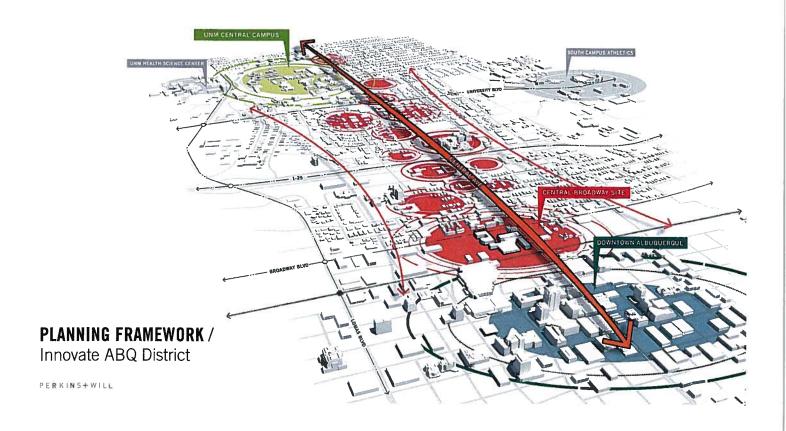
PERKINS+WILL

#### **PLANNING FRAMEWORK** /

Site Development Testing



PERKINS+WILL 25



#### PLANNING FRAMEWORK /

Purpose

- · Define the specific project vision.
- Analyze site conditions and opportunities.
- Advance site and project recommendations.
- Establish strategies for implementation.



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PERKINS+WILL, DEKKER/PERICH/SABATIN

## MASTER PLAN DESIGNING A RESEARCH DISTRICT







#### Principles

- The primary act of city building, whether for a new city or research district, is the creation of the physical public realm.
- This act deals specifically with the conversion of land into an urban framework of streets and infrastructure, public spaces and buildings, and block and lot configurations.
- The urban framework, particularly the configuration of streets and blocks, provides a long-term structure for changing patterns of land use, building form and building occupancies.

How this structure is designed is vital to the performance of a city; its livability, walkability, accessibility, adaptability, and sustainability. "It is not the strongest of the species that survive, nor the most intelligent, but the one most responsive to change."

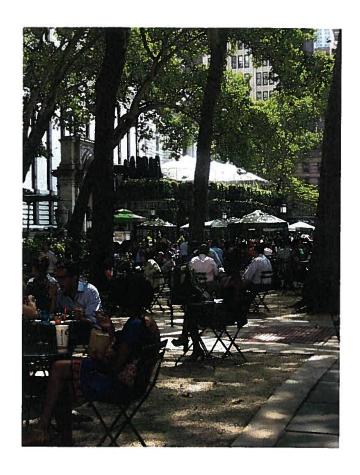
- Charles Darwin

#### Principles / Livability

- It should be as easy as possible to live a rich and interactive life without relying on an automobile for the majority of one's daily routine.
- We should have a highly functional system of moving people and goods
- Development should be focused on the quality of the process, not just the speed at which these events unfold.
- Enhances retention of graduates and provides an environment for the creative class.

Livability is a strategy for creating a place where people want to live, and where they thrive as a result of living in this place.

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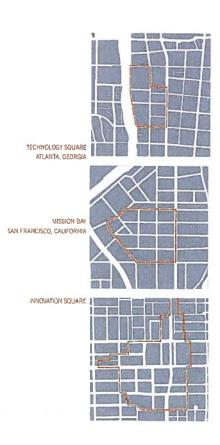


#### **MASTER PLAN** /

#### Principles / Walkability

- Critical to the success of a community and to the health of its citizens.
- Consists of a highly connected system of streets that supports healthy lifestyles and reduces dependency on automobiles.
- Creates conditions that promote the exchange of ideas and success of the community.





#### Principles / Accessibility

- Designing to accommodate as many people as possible as they all move through the city.
- Includes elements ranging from building access to transportation choices.
- Encourages systems that stimulate activity, and creates satisfaction with environment.

Simply put, accessibility is providing people with great options for getting around.

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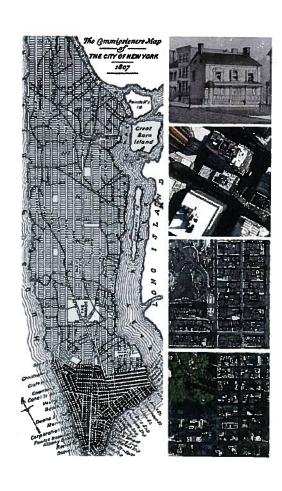


#### **MASTER PLAN** /

#### Principles / Adaptability

- Requires the existence of a framework into which components can be inserted, changed, modified and replaced with minimal impact.
- The framework should be permanent.
- Streets are the single most important element.
- Appropriately sized blocks to accommodate a variety of uses and easy of development.

The goal is to provide a lasting flexible system that will accommodate change long into the future with maximum efficiency.



#### Principles / Sustainability

- We must align our actions with our goals.
- We should create a comprehensive strategy for creating better communities.
- We must constantly experiment and test.
- Courses can be modified to address alternative strategies, tactics, and components.

In highly complex processes simplicity and elegance are key to success.

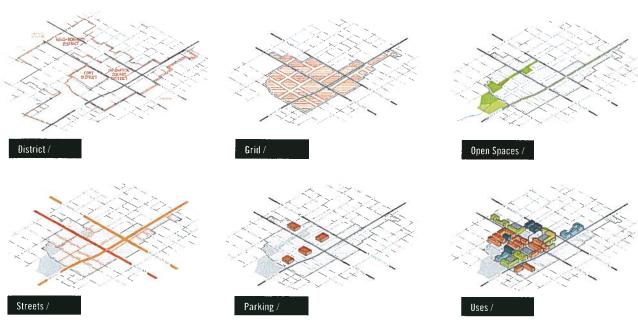
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#### **MASTER PLAN** /



PERKINS+WILL



The plan creates a simple and clear relationship between a lasting public framework and a flexible private development process.

# DESIGN GUIDELINES ACCOMMODATING FLEXIBILITY IN DEVELOPMENT

### **DESIGN GUIDELINES** / Development Guidelines

#### 5.3.2. BUILDING PLACEMENT

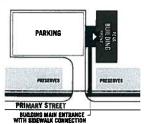
All buildings are required to have a main entrance connecting to a Public Right-of-Way.

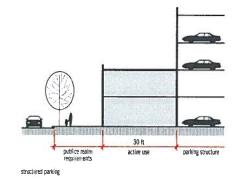
Buildings located on an Urban Street (See \*4.2.1.1. Non-Urben Street, Type A\* on page 70) are required to be built at the Build-to-Line. The Build-to-Line is located at the Property Line or at the Utility Easement edge, in cases where a Utility Easement is present.

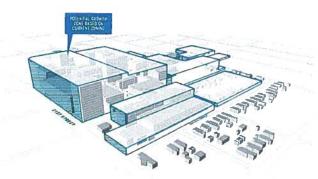
Skiewalk connections to the public skiewalk shell be provided from all main building entrances that face Urban Streets



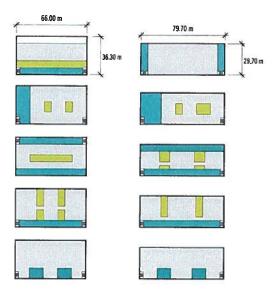








# Development Guidelines / Research Buildings



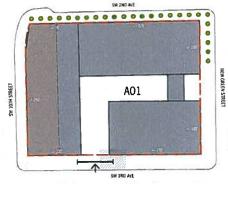
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BLOCK DATA

# **DESIGN GUIDELINES /**

Development Guidelines / Parcel Data





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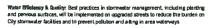
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# Landscape Guidelines

► INTEGRATED SUSTAINABILITY: STREETSCAPES

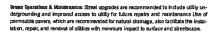
Energy & Cliester The streetcape upgrades included within the Allanta BetLine Subares master plans include provisions for improved bite and pedestrian connectivity as elternatives to the automobile. The inclusion of shade trees in these improved streets will help reduce urban heat sland effect by cooling the aspiratit, and reduce cooling loads in adjacent properties.





Suil & Habitat Quality: No significant impact.

Groun Materials, Design & Cestatrosti in: Street upgrades are recommended to comply with Sustainable Sites inflictive Section 7 (Construction) to minimize waste, emissions and polletton.



Community Nealth, Acroser & Eguity: The streetscape upgrades for the Atlanta Settline Subarre master plans will improve bita? /pedestrian safety and accessibility, with potenbal improvements in community health. The All Dommunity Senetf Sudding Principles (See Appendix) outlines requirements for the equitable distribution of community benefits throughout the Aflants Bellinia Tex-Allopation Sakriu.

Commetivity & Smart Browlst: The sudarnable attentions improvements outlined in this typidigy social are standards outlined in BellLine Subarea master plana, which promote transferoreted development, urban infil. street connectivity, and walkable urban environments in the Altria Bell the stay rea. These standards designed to serve as a bluepoint for streetscape improvements throughout the City of Allanta.





Green Street fact-rologies provide water quality services (the first flush) not typically found associated with repowny dramage systems.

Green Street technologies are best autieu to streets with slopes of 5% or less, however if streets are steeper, chock demis can be started to slow and pool runoff. Space check dams at 25 minimum and reduce specing as street gravel increases, Receiving areas must be located so as to intercept reactiony areast must be located so as to intercept reactiony areast must be located so as to intercept reactiony areast must be located as several integer storm events. Standard reactionly deninger systems must stall casts for larger storm events to collect the byposts must'll. Green Street planners should fully infiltrate challed runoff wight 24 hours.

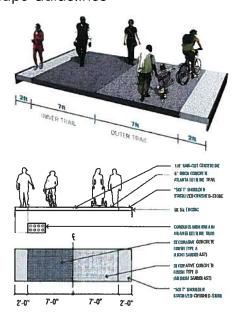


When a curb lane or parallel parking lane is not available, but appropriately fauce costs beyond the curb line, green shrets plantier can be integrated into the slow-all-bifrestrospe in place of traditional time and lareaurape planting once (fypically 6 to 8 botween the custs and sidewalk-innecessity).



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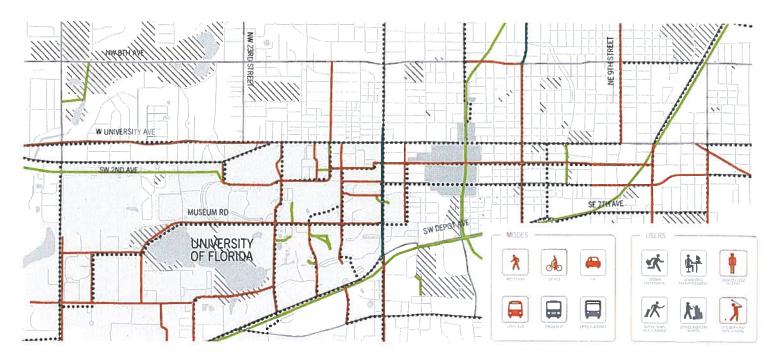
# **DESIGN GUIDELINES** / Landscape Guidelines





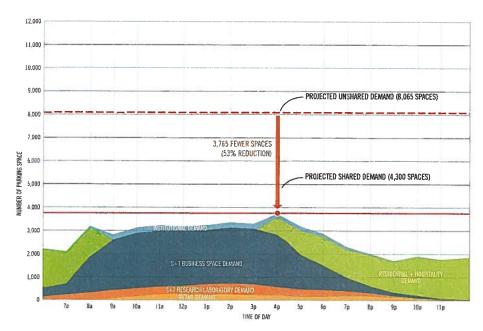
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Transportation Guidelines

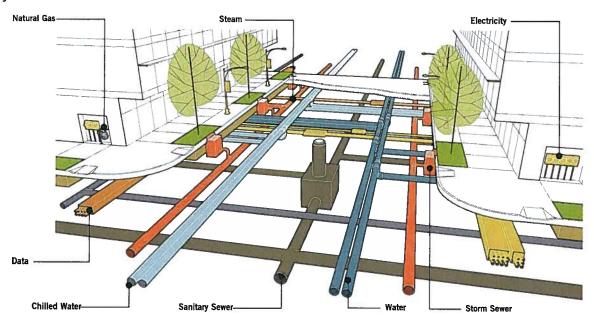


# **DESIGN GUIDELINES /**

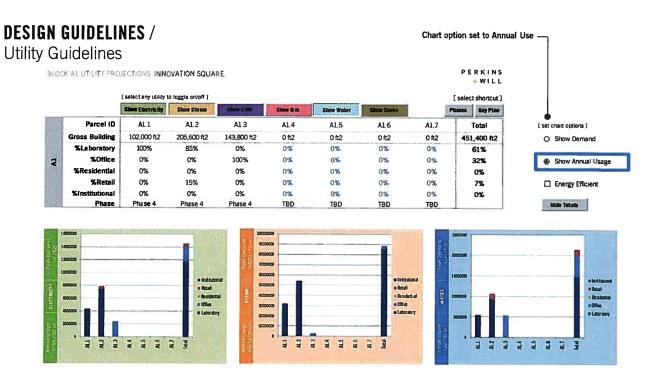
Parking Guidelines



**Utility Guidelines** 



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The goal is to make appropriate development as easy as possible to accomplish; removing roadblocks to doing the right thing.

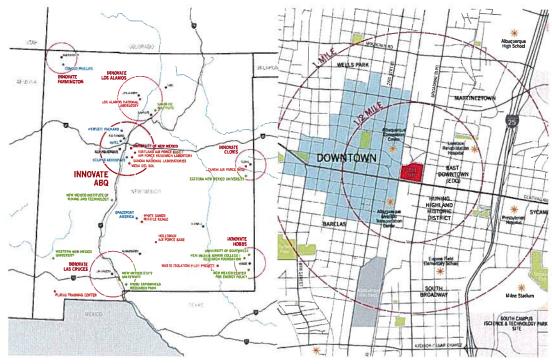
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# PROCESS OUR APPROACH

# PROCESS / Defining Context & Community



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# PROCESS / Defining Market Strength & Competition



# RESEARCH

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# **PROCESS** / Mapping & Analysis



## EXISTING FACILITIES

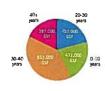
Building infrastructure can become obsolete very quickly as medical technologies evolve and needs change. Flexibility in the most basic building cerestrata, such as column grid size, load capacity and floor-to-floor heights, can increase building lifetime. A healthcare building that is over 40 years old is typically considered for replacement, while buildings that are 80-40 years old are recommended for major renovation.

Today, more than a quarter of St John's facilities are over forty years old, by 2020, more than half of the campus will have passed the benchmark for end of building life expectancy.

Among the aging structures is the 15-story JA Chapman Tower (1876), which hosts the orre medical functions. The tower is flamed by two of the oldest buildings on empass—the Kravis Rulding (1837) and the Heyman Building (1937) the former, in particular, holds great potential for a funne hospital building due 19 its proximity to the JA Chapman Tower Another potential ait for a new hospital is the site of the 1937 Hollman Medical Enilding across 19th Street.

\*By square foot, not encluding parking arruptness





















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# **PROCESS** / Understanding Planning Context



UNM MASTER PLAN UPDATE



UNM HEALTH SCIENCE



CENTRAL AVE CORRIDOR BRT FEASIBILITY ASSESSMENT



LOMAS CORRIDOR
ULI REPORT



DOWNTOWN 2010 SECTOR DEVELOPMENT PLAN



EAST DOWNTOWN (EDO) REGULATORY PLAN

# PROCESS /

Measuring & Benchmarking

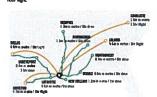
# **REGIONAL ACCESSIBILITY**

THE OLIGINOSIS: The health district is currently well positioned to evolve as a major medical center in the southeast. To live up to its potential as a destination, however, it will need to be better connected to rest of the nation.



200 FLIGHTS PER DAY

32% of GATE SPACE is convexity mused, pointing to reco



20.3 MILLON



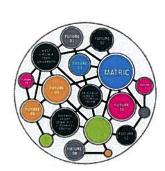
10 TRADES PER DAY

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# PROCESS /

Defining Program & Development Strategy

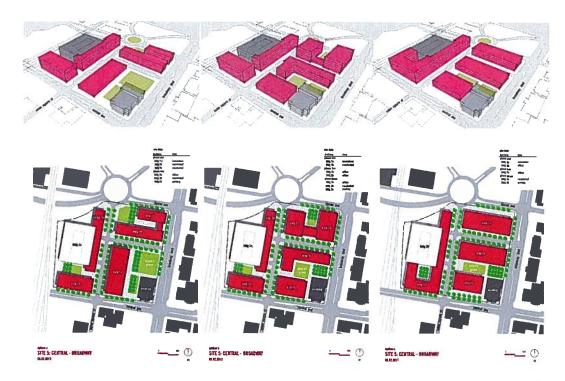






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# **PROCESS** / Testing Alternatives

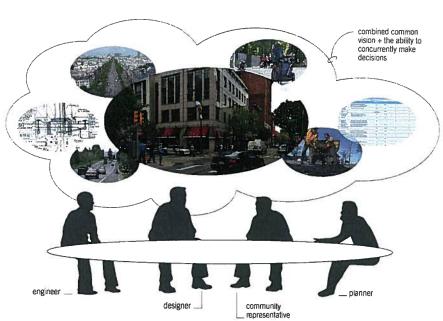


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# PROCESS /

# Collaborating With Stakeholders

- University of New Mexico (UNM)
- UNM Health Sciences Center
- City of Albuquerque
- Bernalillo County
- Mid-Region Council of Governments (MRCOG)
- Architecture 2030
- Arts & Cultural District (ACD)
- Sandia National Laboratories (SNL)
- Board of Regents
- Innovate ABQ Task Force
- Central New Mexico Community College (CNM)
- East Downtown (EDo)
- Martineztown
- Laguna Pueblo
- Greater Albuquerque Chamber of Commerce (GACC)



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# **PROCESS** / Engaging The Public



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**PROCESS** / Design The Plan

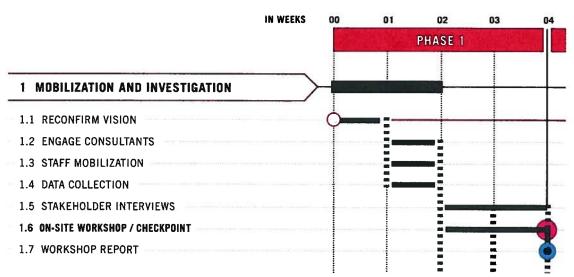


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# NEXT STEPS THE WAY FORWARD

# **NEXT STEPS /**

Phase 1



# **NEXT STEPS** /

Summary of Initial Stakeholder Meetings

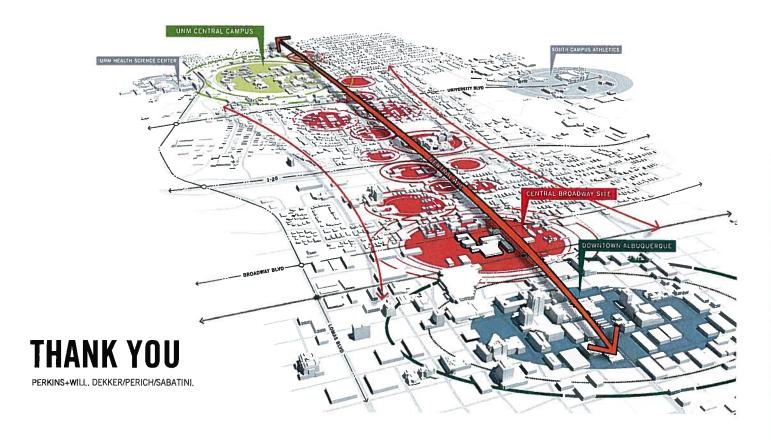
### Innovate ABQ Master Planning Team Visit

### August 6-8, 2014

Team includes: Perkins + Will Dekker/Perich/Sabatini Andrew Cullen-UNM Lead

- 1. Mid-Region Council of Governments (MRCOG )
- 2. Architecture 2030 AND Arts & Cultural District (ACD)
- 3. Sandia National Laboratories (SNL)
- 4. Innovate ABQ Task Force
- . Board of Regents Meeting
- Central New Mexico Community College (CNM)
- City of Albuquerque (Mayor)
- 8. City of Albuquerque (Economic Development, Municipal Development & Planning)
- 9. UNM Faculty Inventors
- 10. UNM Economic Development Forum (EDF)
- 11. Neighborhood Associations
- 12. UNM Health Sciences Center Leadership
- 13. Laguna Pueblo Leaders
- 14. Potential Tenants
- 15 Sandia Envertation
- 16. Special Dean's Meeting
- 17. Greater Albuquerque Chamber of Commerce (GACC)
- 18. UNM Faculty Open Meeting
- 19. UNM Student Organizations (ASUNIA, GPSA, Faculty Senate Op-

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## **University Services**

University Services Business Operations UNM Copy Center UNM Mailing Systems UNM Records Management http://www.unm.edu/~univserv/ UNM Surplus Property UnivServ Shipping and Receiving UNM Inventory Control Chem Stores/CRLS Print Management Program

Date: June 30, 2014

To: Bruce Cherrin, Chief Procurement Officer

**Purchasing Department** 

From: Debra L. Fondino

Associate Director, University Services

Subject: Equipment Disposition – June 2014

Attached for your review and submission to the Board of Regents is the Surplus Property Disposition Detail list for the month of June, 2014.

Consistent with UNM Board of Regents Policy 7.9 and the Disposition of Surplus Property Act, 13-6-1, NMSA 1978, and based upon documentation submitted by the UNM departments responsible for the equipment, I certify that the equipment identified on the list is worn-out, unusable or obsolete to the extent that the items are no longer economical or safe for continued use by the University. I recommend that the items be deleted from UNM's inventory and disposed of in accordance with the above noted Regents Policy and Surplus Property Act.

# Surplus Property Department Disposition Detail List as of 06/30/2014

UNM#	Department surplussing equipment	Description	Manufacturer	Model#	Serial#	Year	Acquisition Cost	NBV Method Of Disposal	Disposal Date
222521	Chemistry Department	TUBE FURNACE	Lindberg	54679	959720	12/1/1996	7,990.00	0.00 Obsolete	6/13/2014
237173	Animal Resource Facility ARF	WORK BENCH	LabProd	6 FOOT	M186730 9903	7/14/1999	9,138.00	100.98 Obsolete	5/30/2014
237370	Pathology	LUMINOMETER	Bayer	340		7/21/1999	38,000.00	0.00 Obsolete	5/30/2014
243442	Peds Prvn and Population Sciences	COMPUTER CPU/SYSTEM	Apple	POWERMAC G4	XB0330ZEK53	8/30/2000	5,789.00	0.00 Obsolete	6/30/2014
256980	Cancer Research Treatment Ctr CRT	PROJECTOR	Epson	XGA 2000	EH20250392M	7/30/2002	7,309.00	0.00 Beyond Repair	6/30/2014
N00005539	PPD Remodel	Computer Server	Micros	4CPU0060/0084 W	or 9JCLB51	10/7/2005	7,027.00	0.00 Obsolete	5/30/2014
N00010317	University College Gen Admin	Server	Dell	PowerEdge 2900	3T439C1	1/8/2007	5,355.25	0.00 Obsolete	6/30/2014
N00010484	Lobo ID Card Office	I.D. Card Printer	Scilnc	88201 HDP600 w/ 8	Etl A6500359	1/18/2007	5,662.50	0.00 Obsolete	6/20/2014

Disposition value: \$86,270.75

Disposition bookvalue: 100,98

Total Dispositon Items: 8

# 6/30/14

# Additional Notes to Surplus listings dated 6/30/14:

1 – Asset# 237370 is from the department of Pathology. This DNA Luminometer was purchased in 1999 and is therefore outdated. It no longer possesses the required specifications necessary for research. Faculty in the department were given the opportunity to claim the machine for research use before surplussing; all declined due to the outdated nature of the machine.

This item will be sold through an online sale or special auction and advertising will be targeted to Health and Research entities.



# MEMORANDUM TO ADVANCE COMMITTEE AGENDA ITEMS TO THE BOARD OF REGENTS THE UNIVERSITY OF NEW MEXICO

DATE: July 23, 2014

TO: David W. Harris, EVP for Administration, COO & CFO

FROM: Chris Vallejos, Associate Vice President, Institutional Support Services

RE: Requested Approvals

# RECOMMENDED ACTION:

Recommend to the Board of Regents Finance and Facilities Committee the following:

- 1. Request for Capital Project Approval for Hokona Restroom Renovation
- 2. Request for Capital Project Approval for Addition to Popejoy's Donors' Lounge
- 3. Request for Capital Project Approval for UNM West Solar Power System

Please see attached summaries and drawings.

cc: Lisa Marbury, Interim Executive Director, Institutional Support Services Vahid Staples, Budget Officer, Office of Planning, Budget & Analysis Amy Coburn, Interim University Architect, Planning & Campus Development Mary Vosevich, Director, Physical Plant Department R. Henrard, C. Martinez, M. Reid, S. Rodgers – OCP REQUEST FOR CAPITAL PROJECT APPROVAL for Hokona Restroom Renovation UNIVERSITY OF NEW MEXICO August 8, 2014

# REQUESTED ACTION:

In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested for Hokona Restroom Renovation, Albuquerque Main Campus.

# PROJECT DESCRIPTION:

This project will renovate 1,388 square feet of existing Men's and Women's restrooms including some adjacent corridors and spaces. The project will address ADA and code compliance issues. The existing Women's and Men's Restrooms have only two stalls each. The current occupancy allowed for the areas utilizing the restrooms is 750 people which can cause lengthy wait times. The space is currently seven fixtures short in the Women's restroom and three fixtures short in the Men's. The project will utilize the existing Men's room and adjacent storage room to enable the addition of seven Women's stalls. The Men's room will be relocated to utilize the existing storage room, prep room and partial vestibule which will allow a total of five Men's stalls.

Both restrooms will receive new plumbing fixtures, partitions, hand dryers, trash receptacles, lighting, wall and floor tile, and paint. The corridor from the newly renovated Cellar, Ballroom, and Theater will be upgraded to include a new drinking fountain, lighting, drywall, paint, ceiling, and flooring. All HVAC, Fire Alarm, Sprinkler, and Voice Data will also be upgraded in this project to meet code and aesthetics.

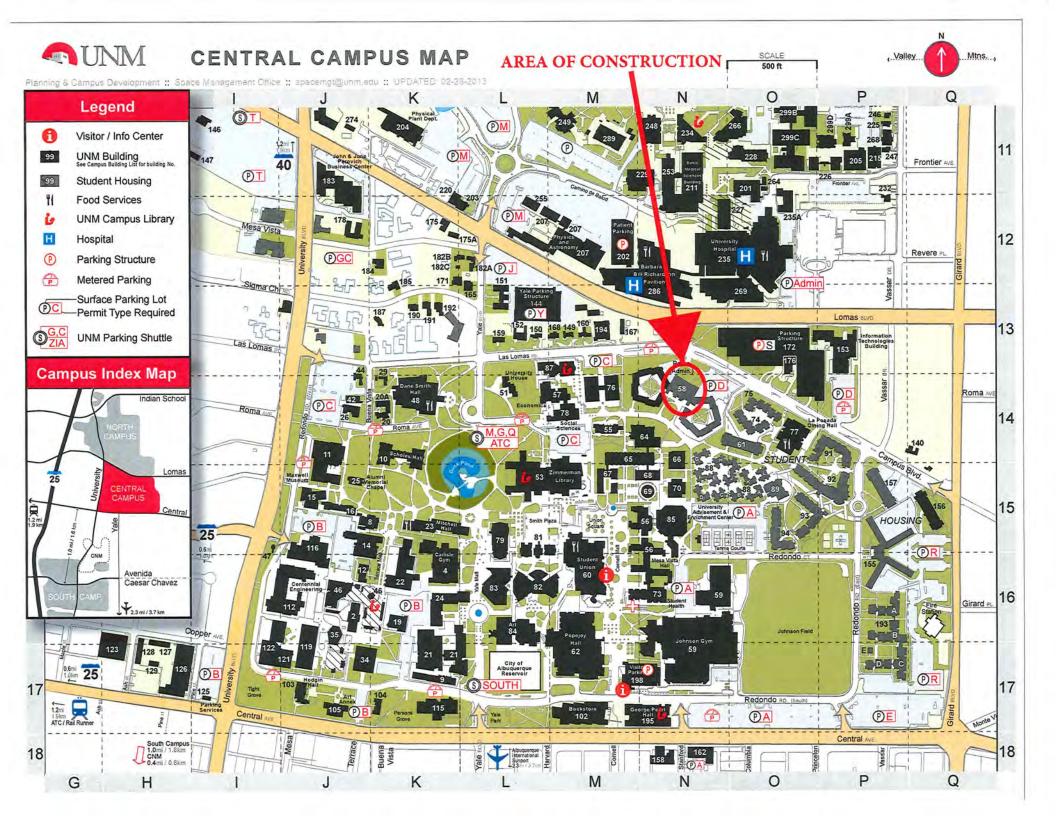
# PROJECT RATIONALE:

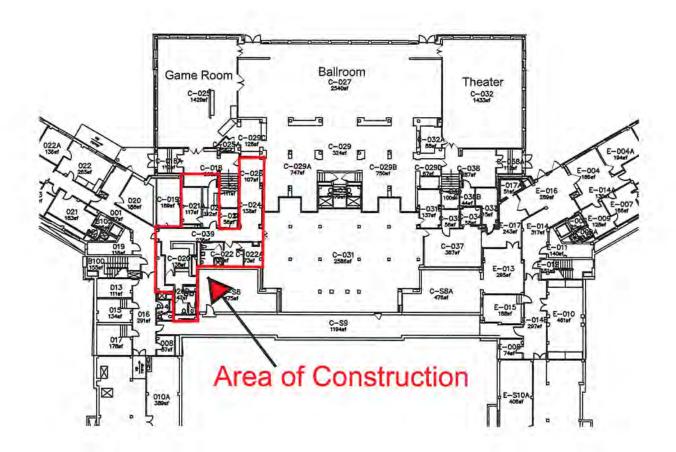
The proposed Hokona Restroom Renovation project will provide additional restroom facilities and will bring the building level into ADA and code compliance. The renovation will make the space use more efficient, functional, and will be easier to maintain. This project also completes, as well as compliments, earlier improvements made to the Hokona Ballroom area. The space is designed for residential students' recreational use, other UNM student groups, and campus guests upon availability. In addition to the contribution to Residence Life and Student Housing annual budget, the improved space will assist in providing additional revenue to the department through space rental.

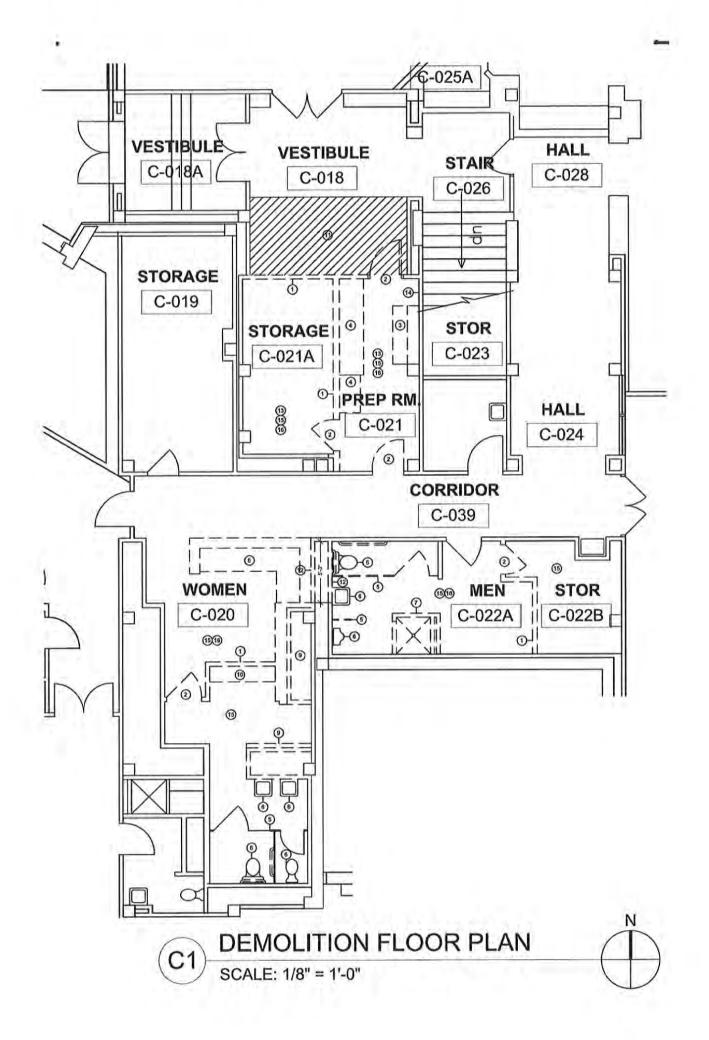
# FUNDING:

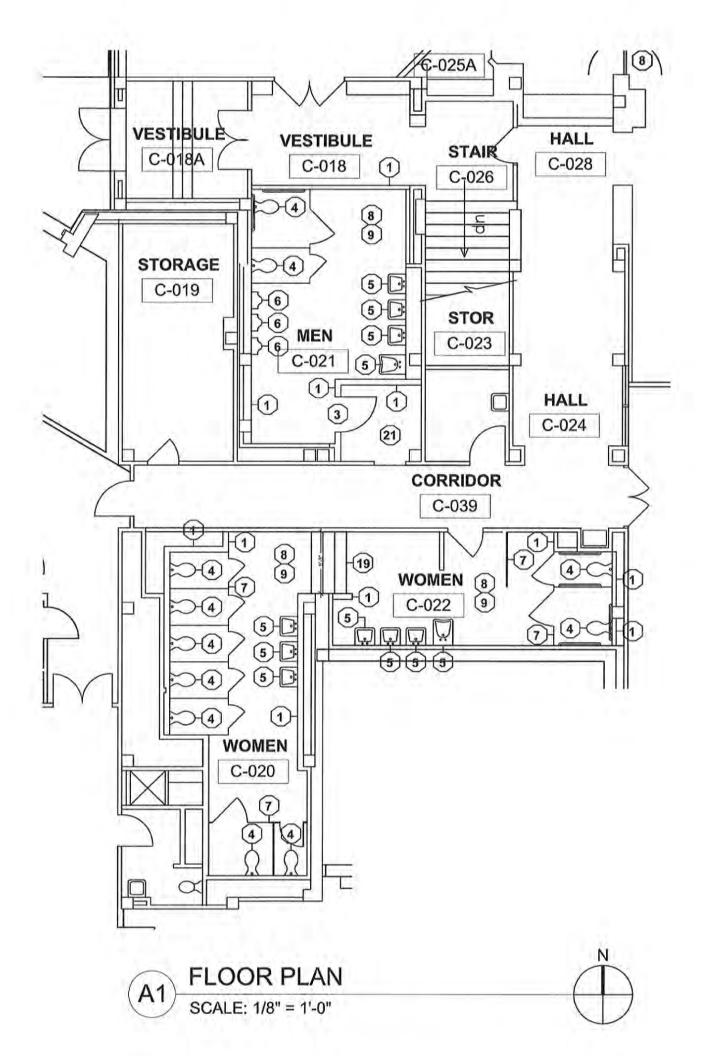
The total estimated Project Budget is: \$397,804:

\$397,804 is funded from Residence Life and Student Housing









REQUEST FOR CAPITAL PROJECT APPROVAL for Addition to Popejoy's Donors' Lounge UNIVERSITY OF NEW MEXICO August 8, 2014

# REQUESTED ACTION:

In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested for the Addition to the Donors' Lounge at Popejoy Hall, on the Albuquerque Main Campus.

# PROJECT DESCRIPTION:

The proposed addition will contain 688 square feet of new space on the ground floor of the Center for the Arts Building. The project will provide additional event space for the Donors' Lounge. The project bid documents will also show additive alternates to include a canopy over an existing entrance to the south of the new addition. This project will be built of steel structure and concrete slab on engineered fill and include new storefront window walls to the North, East and South. New energy efficient LED Lighting feature with adjustable controls will be a part of the new space. The extension of the buildings HVAC & fire suppression systems into the new enclosure will also be included in this project.

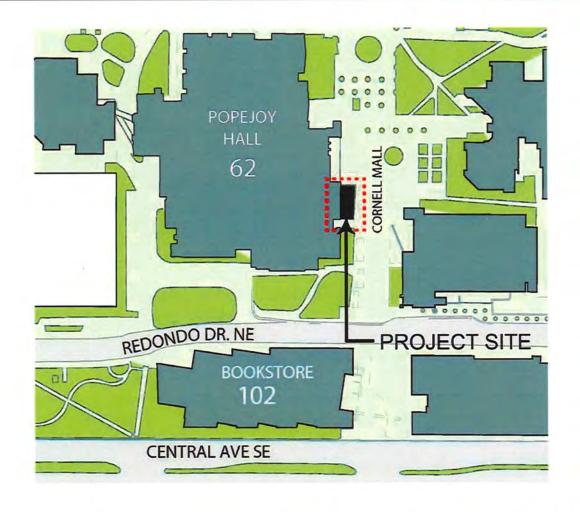
# PROJECT RATIONALE:

The proposed addition to the Popejoy Donors' Lounge will provide much needed space as well as enable Popejoy to further expand its lounge membership program which currently contributes \$463,000 to Popejoy's annual budget. In addition to the contribution to Popejoy's annual budget, the lounge has been instrumental in fostering donor relations that has led to larger bequests for capital improvements to Popejoy totaling \$1,234,534 to date. This includes a received one-time bequest of \$300,000 that will be used towards the construction of the lounge along with an additional \$200,000 from other development funds to complete the project.

# **FUNDING:**

The total estimated Project Budget is \$500,000:

\$500,000 is funded by Popejoy Development Funds



SITE PLAN

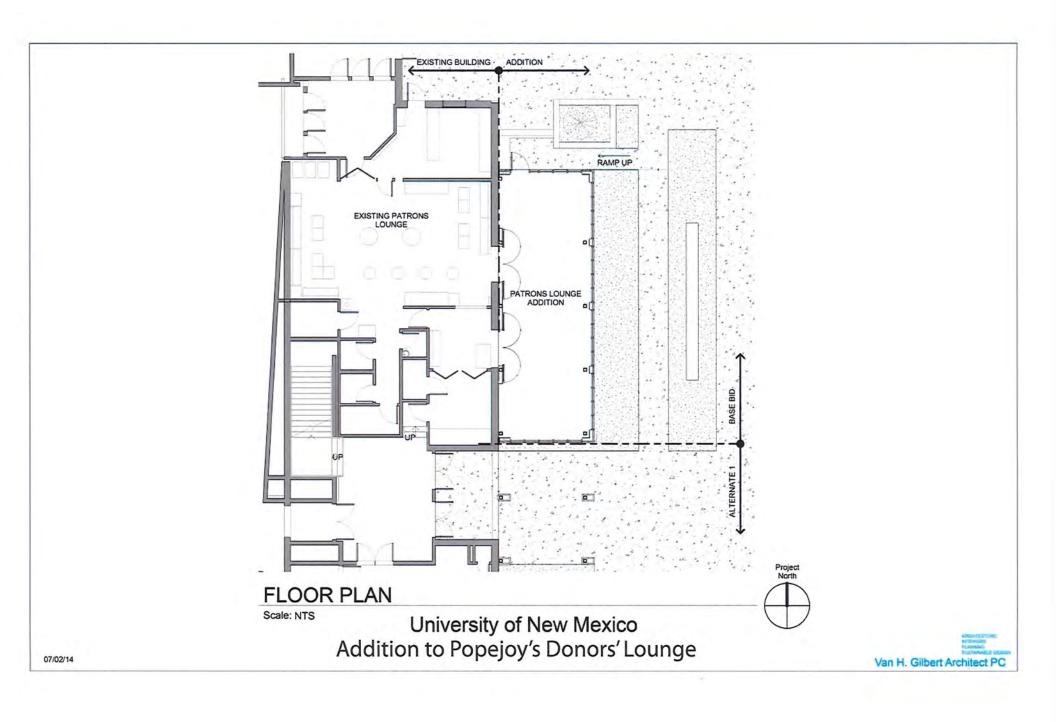
Scale: NTS

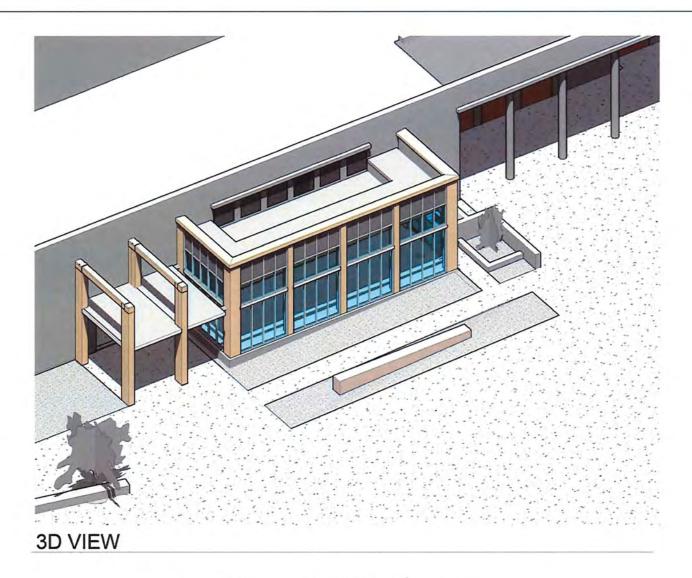
University of New Mexico Addition to Popejoy's Donors' Lounge



ARDITROTIVE
ATTENDED
PLANNING
BLATTERAFIES DEBOY

Van H. Gilbert Architect PC





University of New Mexico Addition to Popejoy's Donors' Lounge





**ELEVATION** 

University of New Mexico Addition to Popejoy's Donors' Lounge REQUEST FOR CAPITAL PROJECT APPROVAL for UNM West Solar Power System UNIVERSITY OF NEW MEXICO August 8, 2014

# REQUESTED ACTION:

In accordance with Section 7.12 of the Board of Regents Policy Manual, project approval is requested for a Solar Power System at UNM West.

# PROJECT DESCRIPTION:

This project will install 113 kW of solar photovoltaic panels on the roof of the existing building. The total project cost is \$465,000. If approved the project is tentatively scheduled to start construction in September and complete in October.

In May of 2013 the Physical Plant Department (PPD) conducted an RFP for solar power installations. The RFP was for solar power companies interested in partnering with the University and Rio Grande Solar, LLC was the only respondent. The company offered to provide matching funds of up to \$500,000 for solar systems installed by their company. This is the second project that will be completed under the RFP. The matching funds from Rio Grande Solar will be provided as a gift through the UNM Foundation. The UNM Foundation has developed a gift agreement with the donor and will transfer funds to PPD for each project. Lobo Energy Incorporated has agreed to fund the UNM portion of the solar system costs with the conditions that the pricing is competitive and the work meets industry standards.

PPD personnel used cost data from RS Means to estimate the cost for this project. This was done to ensure the price from Rio Grande Solar was competitive. The Rio Grande Solar price was considered competitive because it was within 2% the PPD estimate. Lastly, PPD Engineering confirmed that the project conforms to industry and UNM standards.

# PROJECT RATIONALE:

PPD is recommending approval of the project for four reasons. First, the roof is an ideal site for solar power because it was constructed to accept a future solar system. Second, the PV system will generate approximately 200,000 kWh per year of renewable energy which equates to 71% of the total building consumption. This will reduce the utility bill by about \$24,400 per year. The third benefit of this project is that it will qualify every UNM facility for an exemption from PNM's Renewable Energy Rider fees. The savings from this exemption will be about \$550,000. This reduces the payback period to less than six months. Lastly, this project will reduce the UNM carbon footprint by 120 tons (MTCDE) per year.

# **FUNDING:**

The total estimated Project Budget is \$465,000:

- \$232,500 is funded from Rio Grande Solar, LLC
- \$232,500 is funded from Lobo Energy Inc.

# LOBO ENERGY, INC.

June 30, 2014

Mr. David W. Harris
Executive Vice President for Administration, COO, CFO
The University of New Mexico MSC 05-3350
Albuquerque NM 87131-0001

RE: Appointment to Lobo Energy, Inc. Board of Directors

Dear Executive Vice President Harris:

The Lobo Energy Board of Directors seeks to re-appoint a public member. Article IV, Section 1 of the Lobo Energy Bylaws states, "The public members shall be appointed by the Board of Regents." It is also stated in Article IV, Section 1 that "Each public member may serve more than one term." Jon Word has indicated that he is willing to serve a fifth term as a public member. His fourth term of service expired on April 11, 2014, and we request that his appointment be retroactive to that date.

Per the attached Bio, Mr. Word is a twenty-five-plus-year veteran of the paging, cellular phone and wireless telecommunications industries. He is currently President and C.E.O. of SelectPath, Inc., a wireless service provider, paging carrier, and tower management company. Contact Wireless, a SelectPath, Inc. subsidiary, is a paging carrier that provides service in New Mexico, Colorado and Texas. Another SelectPath subsidiary, Wireless Repair, Inc., provides repair and refurbishment services for wireless companies nationwide. From 1985 to 1991, Mr. Word was the co-founder, Director and President of Cellular Information Systems, Inc. (CIS), which is one of the nation's top 20 cellular telephone providers.

In addition to serving on the Lobo Energy Board, Mr. Word serves on other Boards. From September 1998 until September 2008, and again from 2011 until present, Mr. Word has served on the Albuquerque International Airport Board. He served as Chairman of that Board for five years. From 1994 to 2003 he served as Chairman of the New Mexico Mortgage Finance Authority (MFA) Board. He also served on the Wells Fargo Bank Leadership Council from 1995 to 1998 and has served on the Regional Board of Directors for Boys Scouts of America.

Mr. Word's Bio provides further details about his career and service to the community.

Please bring this nomination before The University of New Mexico Board of Regents for approval at the next opportunity.

Sincerely,

Jewel M. Glavey Secretary/Treasurer

Enclosure

Glivez

# JON D. WORD Bio

Jon D. Word, a twenty-five plus year veteran of the paging, cellular phone and wireless telecommunications industries, is currently President and CEO of SelectPath, Inc., a wireless service provider, paging carrier, and tower management company. Contact Wireless, a SelectPath subsidiary is a paging carrier that provides service in the States of New Mexico, Colorado and Texas. Another SelectPath subsidiary, Wireless Repair, Inc. provides repair and refurbishment services for wireless companies nationwide. SelectPath employs over 20 people in their three offices.

From 1994 to 2003 Mr. Word served as Chairman of the New Mexico Mortgage Finance Authority (MFA) Board of Directors. The MFA is a non-profit government enterprise that provides mortgage loans to low income New Mexico residence. The position is appointed by the Governor. The MFA's board directs and oversees the \$1 billion assets of the MFA.

From September 1998 to September of 2008, and again from 2011 to present, Mr. Word served on the Albuquerque International Airport Board of Directors. In 1999 he was elected Chairman and served five years in that position.

In June 1998, Mr. Word was appointed and still serves as a Director of Lobo Energy, a utilities corporation formed to supply all energy needs to the University of New Mexico system.

Mr. Word has previously served on the Wells Fargo Bank Leadership Council from 1995 to 1998. This Committee was formed as an advisory committee to the bank's senior management to review new product and service offerings. From 1993 to 1998, Mr. Word also served as a Director of Specialty Teleconstructors, Inc., an Albuquerque-based publicly traded telecommunications construction company which operated throughout the United States.

From 1985 - 1991, Mr. Word was the co-founder, Director, and President of Cellular Information Systems, Inc. (CIS) one of the nation's top 20 cellular telephone providers. During this period, Mr. Word founded CIS and expanded its operations from a local company in Lubbock, Texas to 26 operations in 13 states and over 250 employees. While at CIS, Mr. Word was responsible for all day-to-day company operations including the company's financing and acquisition activity. In 1989 the company made an initial public offering with a public market value of over \$250 million dollars. The company sold in 1992 to AT&T.

Mr. Word is an Eagle Scout and holds a B.S. degree in Engineering Technology from Texas A&M University. While in college Mr. Word played on the varsity football team where he littered as a senior, owned and operated a flight school, was active as a Board member of the school's Flying Club and started and operated other small businesses including the design and marketing of a specialty board game which still sells today.

Mr. Word was elected Who's Who in Colorado, Telecommunications category, in 1990, National Who's Who in Telecommunications in 1996, and to National Chamber Outstanding Young Men in America in 1985. Mr. Word has participated in many community benefit activities. These include Young Presidents Organization (YPO); Regional Board of Directors for Boy Scouts of America; S.C.O.R.E. a program to promote a drug free Albuquerque; and APS-CG, an annual golf tournament to raise money for Albuquerque mid-school sports, and various booster clubs.

Mr. Word is also an active multi-engine, instrument rated pilot, a licensed Private Investigator in NM, and holds NM and AZ Conceal Carry Permits. Mr. Word has one son, Kyle, age twenty, and a daughter, Taylor, age eighteen.

Tab 8

Materials may be provided at the meeting



Office of Planning, Budget & Analysis

# MEMORANDUM

TO: Members of the Finance and Facilities Committee

THRU: Robert G. Frank, President

David W. Harris, EVP for Administration, COO, and CFO

FROM: Andrew Cullen, Associate Vice President of Planning, Budget and Analysis

DATE: August 5, 2014

SUBJECT: Approval of Revised Capital Outlay Submission to the HED

# RECOMMENDED ACTION

Attached for your approval is the Revised Capital Outlay request package that will construct and/or significantly improve and renew numerous facilities on the University of New Mexico's campuses. Staff recommends approval of the revised 2016 Capital Projects package so that it may be presented to the Higher Education Department (HED) for Fiscal Year 2015-16. The list takes into consideration the state budget outlook, the needs of the institution, and the fact that the request falls in a Non-General Obligation Bond (GOB) year. In total, the attached list is comprised of seventeen projects as listed on the attached spreadsheet.

The revisions to the list are solely related to the Branch Campuses. The revisions were submitted to the Capital Project Review Committee after the submittal deadline; however, they more accurately represent the current capital needs of the Branch Campuses for these specific projects and are endorsed by the University Administration. With your approval the revised state requests will be presented to the HED during their August 11, 2014 hearings. The project changes are as follows:

	Original Request	Revised Request
Gallup Branch Physical Plant Building	\$1,820,000	\$1,500,000
Taos Branch Stem Technical Center—Phase II	\$1,305,000	\$2,175,000
Valencia Branch Chilled Water System Upgrades	\$1,500,000	\$1,950,000

We look forward to discussing the changes on these projects and gaining your approval to move forward with the revised list as attached. Thank you for your consideration.

# UNIVERSITY OF NEW MEXICO CAPITAL OUTLAY PLAN

	ESTIMATED PROJECT COST	2015 APPROPRIATION REQUEST	PREVIOUS APPROPRIATION	PRIVATE FUNDS & OTHER	2016 APPROPRIATION REQUEST	Potential UNM BONDS and/or Other Funds (A)
MAIN CAMPUS & HSC FACILITIES	0.00.004		ACCIDED ASSESS		12.004.	
FARRIS ENGINNERING BUILDING RENOVATION	\$26,001,500	\$4,700,000	\$21,301,500	\$0	\$0	\$0
HEALTH EDUCATION BUILDING - PHASE III	\$30,000,000	\$8,000,000	\$12,000,000	\$10,000,000	\$0	\$0
INTERDISCIPLINARY SCIENCE BUILDING (P & A)	\$77,560,000	\$753,290	\$746,710	\$0	\$26,060,000	\$50,000,000
ANDERSON SCHOOL OF MANAGEMENT	\$48,000,000	\$500,000	\$721,500	\$15,000,000	\$18,000,000	\$13,778,500
CDD AUTISM CENTER - Information Only	\$25,700,000	\$0	\$0	\$0	\$0	\$0
COLLEGE OF POP. HEALTH (PUBLIC HEALTH) - (Info.)	\$46,662,894	\$0	\$0	\$0	\$0	\$0
SUBTOTAL	\$253,924,394	\$13,953,290	\$34,769,710	\$25,000,000	\$44,060,000	\$63,778,500
(A) Assumes Issuing UNM Bonds in FY15 or FY16						
INFRASTRUCTURE						
ADA COMPLIANCE	\$6,800,000	\$250,000	\$4,370,000	\$0	\$0	\$0
REPAVING and ROAD REPAIR (Campus Wide)	\$2,500,000	\$2,500,000	\$0	\$0	\$0	\$0
IT ELECTRICAL UPGRADES	\$2,200,000	\$2,200,000	\$0	\$0	\$0	\$0
DATA CENTER PLANNING	\$210,000	\$210,000	\$0	\$0	\$0	\$0
NORTH CAMPUS WATER DISTRIBUTION	\$9,000,000	\$9,000,000	\$0	\$0	\$0	\$0
CHILLER PLANT EXPANSION	\$3,265,000	\$3,265,000	\$0	\$0	\$0	\$0
SUBTOTAL	\$23,975,000	\$17,425,000	\$4,370,000	\$0	\$0	\$0
ATHLETICS						
LANDSCAPING IMPROVEMENTS - PHASE II	\$500,000	\$500,000	\$0	\$0	\$0	\$0
SUBTOTAL	\$500,000	\$500,000	\$0	\$0	\$0	\$0
GALLUP BRANCH						
CONSTRUCTION & SUSTAINABILITY TECH BUILDING	\$3,860,000	\$2,895,000	\$0	\$0	\$0	\$965,000
PHYSICAL PLANT BUILDING	\$2,000,000	\$1,500,000	\$0	\$0	\$0	\$500,000
TAOS BRANCH						
STEM TECHNICAL CENTER - Phase II	\$2,500,000	\$2,175,000	\$0	\$0	\$0	\$325,000
VALENCIA BRANCH						
CHILLED WATER SYSTEM UPGRADES	\$2,600,000	\$1,950,000	\$0	\$0	\$0	\$650,000
SUBTOTAL	\$10,960,000	\$8,520,000	\$0	\$0	\$0	\$2,440,000
TOTAL	\$289,359,394	\$40,398,290	\$39,139,710	\$25,000,000	\$44,060,000	\$66,218,500



# Office of Planning, Budget & Analysis

## MEMORANDUM

TO: Members of the Board of Regents' Finance & Facilities Committee

THRU: Robert G. Frank, President

David W. Harris, EVP for Administration, COO and CFO

FROM: Andrew Cullen, Associate VP Office of Planning, Budget & Analysis

DATE: August 8, 2014

SUBJECT: Approval of Potential Refunding the UNM Series 2003A, 2003B, and 2003C

(taxable) Issues and Advance Refunding of the UNM Series 2005

Attached please find the University of New Mexico's Financial Advisors, First Southwest Company, financial analysis related to the potential refunding of UNM Series 2003A, 2003B, 2003C, and 2005 bonds. The documents include the following:

o Summary of Potential Savings

Savings Summary by Bond Maturity

o Estimated Issuance Costs

Summary of Bonds Refunded, and

Prospective Alternate Calendars of Events

As part of an ongoing evaluation process, the Office of Planning, Budget & Analysis (OPBA) and First Southwest Company regularly monitor refunding opportunities associated with various university bond issues. Even though interest rates have remained at historically low levels for several years now, refunding opportunities on many of the university's outstanding bonds have not met the fiscal threshold of a present value savings of at least 3% due to the fact that the issues have not been callable within a reasonable time period. With call dates for the 2003 and 2005 Series being 6/1/2013 and 6/1/2015 respectively, current market rates indicate that savings will meet this industry threshold and therefore it is financially beneficial to refund these bond issues.

As a reminder, refunding a bond before its call date as stipulated in the bond indenture results in negative arbitrage, which detracts from potential savings. With the ability to move forward with a "current" refunding of the Series 2003 bonds, and a shorten "arbitrage time frame" related to the refunding of the Series 2005 at current low market rates, significant debt service savings maybe achieved via a refunding for these bond issues.

As the attached analysis from First Southwest Company details, the current Net Present Value Savings for the Series 2003 issues is estimated at \$3.128M or 18.89%, representing an extremely attractive savings opportunity. It is important to note these savings are tied to *current* market rates and cannot be guaranteed months down the line after all other State approvals are secured. With this in mind, the Administration requests approval to move forward with the refunding assuming a minimum Net Present Value savings of \$1.242M or 7.5%. Various specifics regarding this recommended minimum include the relatively short maturities associated with the three series and will be discussed in further detail during the F&F meeting.

Regarding the Series 2005 bonds, the attached Summary of Potential Savings analysis from First Southwest Company shows a current Net Present Value Savings for the Series 2005 issue of approximately \$7.554M or 6.81%, again an attractive savings opportunity. These savings are also tied to *current* rates and cannot be guaranteed over the course of the next several months as the State approvals are secured. Along the same lines as the Series 2003 issues, the Administration requests approval to move forward with the refunding assuming a minimum Net Present Value savings of \$4.990M or 4.5%. The various specifics regarding this recommended minimum also relate to the maturities of the bonds; however, in this instance it is most directly tied to the fact that the 2005 series have maturities further out on the yield curve, which reduces the overall opportunity to achieved savings further out on the yield curve under current market conditions than those maturities on the shorter end of the curve.

With your approval, the Administration will proceed with the development of a Parameters Resolution for the Series 2003 and 2005 bonds for approval by the full Board of Regents during its August 11, 2014 meeting. Thank you for your consideration.



University of New Mexico
Potential Bond Refundings
Series 2003A, B and C
Series 2005



# **Summary of Potential Savings**

Series 2003A, B and	С	100
Refundable Principal	\$	16,565,000
Current Market Scenario		
Total Savings	\$	4,469,000
Present Value Savings	\$	3,128,000
PV Savings as % of Refunded		18.89%
Recommended Minimum		
Total Savings	\$	2,130,000
Present Value Savings	\$	1,242,000
PV Savings as % of Refunded		7.50%

Series 2005	
Refundable Principal	\$ 110,890,000
Current Market Scenario	
Total Savings	\$ 11,034,000
Present Value Savings	\$ 7,554,000
PV Savings as % of Refunded	6.81%
Recommended Minimum	
Total Savings	\$ 7,452,000
Present Value Savings	\$ 4,990,000
PV Savings as % of Refunded	4.50%



#### SAVINGS

#### **University of New Mexico**

#### Refund Series 2003A, 2003B and 2003C (Taxable)

Level Savings - Surety Reserve Fund Based on Recent Market Conditions; Subject to Change

FYE	Prio	r Debt Service		or Reserve d Receipts	Re	funding Debt Service	Sa	vings/(Loss)
6/30/2015	\$	1,908,493	\$	97,275	\$	1,796,332	\$	14,886
6/30/2016	- 3	2,334,204		97,275		1,940,354		296,575
6/30/2017		2,331,981		97,275		1,939,417		295,289
6/30/2018		4,495,589		97,275		4,099,334		298,980
6/30/2019		751,094				626,147		124,947
6/30/2020		750,563		8		627,099		123,464
6/30/2021		748,906		8		621,671		127,235
6/30/2022		746,125		19		619,911		126,214
6/30/2023		747,219		13		622,732		124,487
6/30/2024		746,906		4		474,070		272,836
6/30/2025		746,725		1040		450,750		295,975
6/30/2026		748,725		060		451,250		297,475
6/30/2027		749,575		1.5		451,000		298,575
6/30/2028		749,275				455,000		294,275
6/30/2029		747,825		(.4)		453,000		294,825
6/30/2030		750,825		9		455,250		295,575
6/30/2031		747,475		-		451,500		295,975
6/30/2032		748,000		18		452,000		296,000
6/30/2033		747,175		¥		451,500		295,675
	\$	22,296,679	\$	389,100	\$	17,438,316	\$	4,469,263
					Ne	gative Arbitrage	\$	<u> </u>
			A	verage Coupoi	of Re	efunded Bonds		4.81%
			True Interest Cost of Refunding Bonds					3,35%
				Net P	reser	it Value Savings	\$	3,128,442
					Ref	funded Amount	\$	16,565,000

Present Value Savings as % of Refunded Amount



18.8859%

#### SAVINGS

#### **University of New Mexico**

#### **Refund Series 2005**

Level Savings - Surety Reserve Fund Based on Recent Market Conditions; Subject to Change

FYE	Dric	or Debt Service	Unre	efunded Debt Service	Re	funding Debt Service	S	avings/(Loss)
6/30/2015	\$	5,219,226	\$	2,654,750	\$	2,564,142	\$	334
6/30/2016	Y	7,833,953	Υ.	2,034,730	*	7,280,900	*	553,053
6/30/2017		8,208,703		- 1		7,656,750		551,953
6/30/2018		6,047,953		1/5		5,496,750		551,203
6/30/2019		8,259,353		1.5		7,706,750		552,603
6/30/2020		10,775,353		1,2		10,224,500		550,853
6/30/2021		10,224,503		14		9,674,000		550,503
6/30/2022		10,892,738		8		10,342,000		550,738
6/30/2023		11,212,863		18		10,663,250		549,613
6/30/2024		9,337,263		18		8,787,750		549,513
6/30/2025		9,344,863		(2)		8,793,750		551,113
6/30/2026		7,534,688		-		6,981,000		553,688
6/30/2027		8,950,688		12		8,399,750		550,938
6/30/2028		8,946,125		1/3		8,392,250		553,875
6/30/2029		8,941,875		16		8,390,750		551,125
6/30/2030		8,676,625				8,124,250		552,375
6/30/2031		6,752,025		18		6,200,250		551,775
6/30/2032		6,748,775		12		6,196,500		552,275
6/30/2033		6,942,525		121		6,391,000		551,525
6/30/2034		7,687,775		(6)		7,133,250		554,525
6/30/2035		7,685,975				7,134,750		551,225
	\$	176,223,841	\$	2,654,750	\$	162,534,292	\$	11,034,799
					N	egative Arbitrage	\$	1,660,354
				Average Coupo	n of R	efunded Bonds		4.66%
			True Interest Cost of Refunding Bonds				3.65%	
				Net F	rese	nt Value Savings	\$	7,554,854
					Re	funded Amount	\$	110,890,000

Present Value Savings as % of Refunded Amount





# Estimated Issuance Costs Integrated in Refunding Structure Savings are Net of all Costs

Series 2003A, B and C					
Costs of Issuance	\$	235,000			
Underwriters Discount	\$	104,000			
Surety Premium	\$	67,000			
Total Savings	\$	406,000			

Series 200	5	
Costs of Issuance	\$	485,000
Underwriters Discount	\$	650,000
Surety Premium	\$	220,000
	\$	1,355,000



### **Summary of Bonds Refunded**

Series 2003A, Series 2003B and Series 2003C

	Bond	Maturity Date	Interest Rate	Par Amount	Call Date	Call Price
Sub Lien Sys Imp Rev Bds, Serie	s 2003A	, 2003A:				
BOND		6/1/2015	5.250%	1,195,000.00	12/9/2014	100.000
		6/1/2016	5.250%	1,265,000.00	12/9/2014	100.000
		6/1/2017	5.250%	1,325,000.00	12/9/2014	100.000
		6/1/2018	5.250%	3,560,000.00	12/9/2014	100.000
				7,345,000.00		
Sub Lien Sys Imp Rev Bds, Serie	s 2003B	, 2003B:				
TERM		6/1/2024	4.600%	150,000.00	12/9/2014	100.000
		6/1/2025	4.600%	500,000.00	12/9/2014	100.000
		6/1/2026	4.600%	525,000.00	12/9/2014	100.000
		6/1/2027	4.600%	550,000.00	12/9/2014	100.000
		6/1/2028	4.600%	575,000.00	12/9/2014	100.000
TERM	02	6/1/2029	4.500%	600,000.00	12/9/2014	100.000
		6/1/2030	4.500%	630,000.00	12/9/2014	100.000
		6/1/2031	4.500%	655,000.00	12/9/2014	100.000
		6/1/2032	4.500%	685,000.00	12/9/2014	100.000
		6/1/2033	4.500%	715,000.00	12/9/2014	100.000
				5,585,000.00	T GH ALT	
Sub Lien Sys Imp Rev Bds, Serie	s 2003C	(Taxable), 20	03C:			
TERM		6/1/2015	5.100%	295,000.00	12/9/2014	100.000
		6/1/2016	5.100%	310,000.00	12/9/2014	100.000
		6/1/2017	5.100%	330,000.00	12/9/2014	100.000
		6/1/2018	5.100%	345,000.00	12/9/2014	100.000
TERM	02	6/1/2019	5.625%	365,000.00	12/9/2014	100.000
		6/1/2020	5.625%	385,000.00	12/9/2014	100.000
		6/1/2021	5.625%	405,000.00	12/9/2014	100.000
		6/1/2022	5.625%	425,000.00	12/9/2014	100.000
		6/1/2023	5.625%	450,000.00	12/9/2014	100.000
		6/1/2024	5.625%	325,000.00	12/9/2014	100.000
				3,635,000.00		
				16,565,000.00		





### **Summary of Bonds Refunded**

Series 2005

Bond	Maturity Date	Interest Rate	Par Amount	Call Date	Call Price
Sub Lien Sys Imp Rev Bds, Series 2005,	2005:				
BOND	6/1/2016	5.000%	2,705,000.00	6/1/2015	100.000
	6/1/2017	5.000%	3,215,000.00	6/1/2015	100.000
	6/1/2018	4.000%	1,215,000.00	6/1/2015	100.000
	6/1/2019	4.000%	3,475,000.00	6/1/2015	100.000
	6/1/2020	4.500%	6,130,000.00	6/1/2015	100.000
	6/1/2021	4.300%	5,855,000.00	6/1/2015	100.000
	6/1/2022	4.500%	6,775,000.00	6/1/2015	100.000
	6/1/2023	4.400%	7,400,000.00	6/1/2015	100.000
	6/1/2024	4.400%	5,850,000.00	6/1/2015	100.000
	6/1/2025	4.500%	6,115,000.00	6/1/2015	100.000
	6/1/2026	5.000%	4,580,000.00	6/1/2015	100.00
	6/1/2027	4.250%	6,225,000.00	6/1/2015	100.00
	6/1/2028	5.000%	6,485,000.00	6/1/2015	100.00
	6/1/2029	5.000%	6,805,000.00	6/1/2015	100.00
	6/1/2030	4.500%	6,880,000.00	6/1/2015	100.00
TERM	6/1/2031	5.000%	5,265,000.00	6/1/2015	100.00
	6/1/2032	5.000%	5,525,000.00	6/1/2015	100,000
	6/1/2033	5.000%	5,995,000.00	6/1/2015	100.000
TERM02	6/1/2034	4.500%	7,040,000.00	6/1/2015	100.000
,	6/1/2035	4.500%	7,355,000.00	6/1/2015	100.000
			110,890,000.00		



#### **Prospective Alternate Calendars of Events**

Required time for both HED and SBOF meetings and approval subjects refunding issuance to approximately three months or more of interest rate risk; however, the required time element also puts issuance closer to June 1, 2015 call date for Series 2005. The refunding issue for Series 2005 can be sold February 2015 or after and be a current refunding.

Event	Timeline #1	Timeline #2
HED submission deadline	August 11, 2014	September 8, 2014
HED meeting	September 20, 2014	October 8, 2014
SBOF submission deadline	September 23, 2014	October 18, 2014
SBOF meeting	October 21, 2014	November 18, 2014
Regents meetings - approval of sale *	November 14, 2014	December 12, 2014

<sup>\*</sup>sale and approval of issue refunding series 2005 might be deferred until February 2015



#### **FY 2016 Legislative Priority Request**

**Main Campus Non-Recurring Requests** 

#### Rank #1 Degree Plans FY16 Non-recurring Request: \$300,777

The mission of the Degree Plans: Roadmaps for Higher Education in New Mexico project is to provide a means for prospective students to easily explore the opportunities offered by all institutions of higher education in the State of New Mexico, and to provide clear and unambiguous degree plans for students who decide to pursue a particular degree or certificate. This will involve the creation of a set of cloud-based web services, under the control of individual institutions that contain the degree requirements associated with every associate, bachelor and graduate degree or certificate in the state, organized into semester-by-semester plans. These services will also enable exploration, via the Internet using a web browser that will lead to more informed decision-making on the part of those entering New Mexico's institutions of higher education.

We have built a preliminary system that can be viewed at <a href="http://degrees.unm.edu">http://degrees.unm.edu</a>. This system would be greatly expanded in the envisioned system to include the entire state, along with the incorporation of articulation agreements and cross-institutional degree plans.

This project directly addresses the national and state completion agendas for higher education, by providing easily accessible and understandable tools online that will guide students in their choices of degrees, and inform institutions on the progress of their students. We have found that for many of those who do succeed in graduating, they typically do so with far more credit hours than are actually needed for the degree received. Much of these "wasted" credits occur when students transfer from institution to another – although the receiving institution may accept these credits, they often do not count towards the degree that a student ends up pursuing. The net results are delays in graduation, or more drastically students that drop out, leading to real economic loss and social costs that the state must bear. By providing services that allow students to easily view and follow degree plans, in a manner that crosses institutional boundaries, we will greatly enhance our student's opportunity for success, and directly impact the completion problem in New Mexico

Performance Measures	Target for FY 2016	Expected Results
Detailed design documents	Complete detailed design w/in 3 months of funding	Design documents.
Software development	Completion of software components and web services needed to deploy degree plans application	Deployment of system components, and validations using testing scripts.
Data Ingestion (degree plan creation)	Degree plans available online for all degree programs at UNM). The ability for every institution in the state to create and upload their degree plans, with 20% available online by the end of the first year and the remainder online by the following year.	Web analytics demonstrated a high level of usage, e.g., on the order of 500 hits/day.

The "Brain Safe Project" is an innovative, state-of-the-art sports-related concussion assessment program designed to study and minimize the impact of brain injury on athletes in contact sports. Each year there are over 1.5 million sports related concussions in the United States. This includes 8500 collegiate athletes and over 130,000 high school students. Unfortunately, at present, there are significant knowledge gaps in relationship to even simple questions and challenges that face players, parents, coaches, and schools. For example, even before taking the field, it is important to identify players that have increased medical risk for negative consequences of play. Schools routinely perform blood work and evaluation of cardiac and respiratory systems to rule out conditions like anemia, arrhythmias, and asthma, but heretofore, little attention has been paid to potential brain-related conditions such as aneurysms or existent traumatic brain injury from years of prior play. Likewise, relatively little is known about acute or chronic issues related to actual concussions. Return-to-play decisions are primarily based on subjective reporting of symptoms by athletes – but it is well established that athletes routinely under-report problems. While the clinical signs of concussion typically clear within a week, advanced brain imaging methods indicate that there may be biological disruption beyond this period, with 5-15% of players showing chronic abnormalities.

This project is a collaborative project between The University of New Mexico ("UNM") and the Mind Research Network ("MRN"). It brings together state-of-the-art methods in brain imaging and advanced data analytic strategies that have been pioneered by UNM and MRN faculty. At the start of each athletic season, study participants will receive a noninvasive Magnetic Resonance Imaging ("MRI") evaluation. The evaluation includes an assessment of brain structure, connectivity, biochemistry, and function. Subject data are evaluated with respect to MRN's unique database of more than 2000 control subjects. This will allow for identification of players with medical contraindications to play. If an athlete sustains a concussion, additional serial scans will be performed to identify acute changes and track recovery. Key here is a 'within-subject' design where each subject's initial baseline scan provides a critical comparison point for post-injury scans. Subjects will also obtain yearly follow-up evaluations to examine the impact of concussive and even sub-concussive injuries. The Brain Safe Project is unique in performing both baseline and follow-up evaluations of all subjects. This is especially important because available literature suggests that repetitive sub-concussive blows can have a detrimental impact of brain structure and function.

As part of a pilot study funded jointly by the University of MRN, over UNM athletes have received baseline scans. Abnormal findings of potential clinical import were identified for 29 athletes (12%). In two cases, findings were of such severity that they contributed to decisions to have players discontinue future play, a potentially life-saving measure

	Target for FY	
Performance Measures	2016	<b>Expected Results</b>
Comprehensive neuropsychological testing and		
baseline or annual MRI (covering structure,	200*	We expect to have baseline/annual scans on 200 athletes
function, perfusion and connectivity)	athletes	every fiscal year.
Coordination of scheduling and transportation for	200	We will coordinate scheduling and transportation for all
all athletes	athletes	athletes.
Comprehensive analysis of neuropsychological		
and MRI data conducted by UNM investigators		
Dr. Kent Kiehl and Dr. Vince Calhoun and their	200	We expect to have some manuscripts submitted for
teams.	athletes	publication on the group analysis conducted.
Reading of MRI scans by our team		All athletes will receive a copy of their incidental finding
neuroradiologist and neurological consulting with	200	report and will be given the opportunity to meet with our
athletes and team doctor.	athletes	medical director to discuss their results.
Collect pilot data on high school and middle	50	
school athletes	athletes	

<sup>\* 200</sup> was the original estimate of the number needed. It was arrived at by making sure that females were included (per Title 9) and that the sample number (200) was large enough statistically to detect differences (a formal power analysis was done)

#### **Recurring New and Expansion Requests**

#### Rank #1 VentureSpace FY 16 New Request: \$250,000

The VentureSpace Small Business Incubator connects three space-related constituencies within the state of New Mexico: the University space engineering and science research community, the small space business community, and government labs and other organizations engaged in space research and operations. VentureSpace is a direct partnership between the UNM School of Engineering and the Philips Technology Institute, which arises from and builds on the broader Educational Partnership Agreement that was enacted by UNM and Air Force Research Laboratory in April 2011. New Mexico is well positioned to take a leadership role in the development, deployment and operations of future space systems technologies. Within the state of New Mexico, there are three federal research laboratories with strong space-related research and development missions: Air Force Research Laboratory, Los Alamos National Laboratory, and Sandia National Laboratories. Furthermore SpacePort America is one of the most highly visible elements of the burgeoning commercial spaceflight industry.

VentureSpace will connect that educational experience with the national laboratories, operational sites, and industry. Without this funding, New Mexico will continue to lose ground in the space development enterprise as other states continue to expand.

Performance Measures	Target for FY 2016	Expected Results
Entrepreneurial Space Technology		Stronger collaborative relationships developed between small
Companies Supported	5	companies and UNM researchers
Small Business Startups initiated	1	New companies launched to improve economic development in the space technology fields
Students participating in academic space technology design projects	25	Program prepares graduates to be immediately productive in the space technology enterprise
Students participating in academic space technology research and development	10	Program prepares graduates to be immediately productive in the space technology enterprise, while also furthering development of new technologies for small spacecraft
Students participating in corporate space technology research and development	5	Program prepares graduates to be immediately productive in the space technology enterprise, while also furthering development of new technologies and strengthening relationships between UNM and industry
Students placed in space technology companies	10	Program prepares graduates to be immediately productive in the space technology enterprise
Students placed in space technology government labs	5	Program prepares graduates to be immediately productive in the space technology enterprise
Students recruited to UNM from outside of NM	5	UNM becomes a destination university for research and development of small spacecraft technology

#### Rank #2 Design Planning Assistance Community Collaborative FY 16 New Request: \$300,000

The Design Planning Assistance Community Collaborative (DPACC) is a university-based community design center in the School of Architecture + Planning that provides environmental research, planning, policy development, and design assistance to qualified non-profits, small municipalities, Native American tribes, neighborhoods who are committed to grassroots efforts to improve their physical, social and economic fabric of their communities. The school is committed to an outreach enterprise that brings the considerable expertise and energy of the school to the service neighborhoods, villages/towns and tribal nations throughout the state.

DPACC has evolved as an outreach umbrella organization within the School that has grown to included, CityLab, Indigenous Design and Planning Institute, the Resource Center for Raza Planning, and the School's Neighborhood and MainStreet design and planning engagement.

Since 1969 the Design and Planning Studio has worked with more than 1500 communities throughout New Mexico. More than 2000 students have engaged in experiential, community-based learning, and more than 30 graduate students have been paid to conduct studies. Projects include designs for Santa Rosa and Dona Ana that resulted in funding to rebuild their

public spaces; zoning analysis for Bernalillo that enable passage of zoning regulations; and multiple main street design proposals that supported the development of projects for these business districts. Started in 1996 the Center for Raza Planning has engaged more than 30 communities and held multiple community workshops. IDPI started in 2011 and has initiated over 20 projects, hosted a lecture series of nationally and internationally recognized indigenous designs, and worked with multiple tribes. CityLAb is a collaboration between SA+P and the City of Albuquerque started in 2012. Six design studios have worked in the lab and multiple community events have been hosted by the Lab including PechaKucha presentations, and meetings of non-profit organizations.

Performance Measures	Target for FY 2016	<b>Expected Results</b>
New RPSP - has not been funded before	Increase the number of communities by 10% each	
	year over the next 5 years	3% in the first year
Number of students engaged in	Minimum of 50% of the students in each of the	
outreach activity annually	graduate programs engaged in outreach activity	
	annually	25% in the first year
Number of graduate student		
assistantships generated by outreach	Minimum of 15 graduate student assistantships	6 assistantships in the
activity annually	annually over the next 5 years	first year
Dollar value of contracts generated	Increase contract revenue by 20% per year over	
annually	the next 5 years	10% in the first year
Long term benefits to the communities	50% of communities find funding for project	
	implementation within 5 years of DPACC	
	engagement.	as per target
Number of students recruited into	Target recruitment of 10 students per year into	
degree programs as a result of DPAC	graduate professional degree programs in the	
record and activity	School over the next 5 years	5 in the first year
Number of student credit hours		
generated as a result of outreach	5 new or continuing courses per academic year	
engagement	(including Summer).	2 in the first year
Number of Native American students		10% increase in the
recruited into degree programs		number of Native
	Provide training for tribal leadership on the role of	American Student
	design and planning in tribal communities.	applicants.
Number of Native American students	Implement a tracking system for Native American	
successfully completing degree	students entering the school at both the	
programs	undergraduate and graduate level. Provide	structure for the system in
	intervention when necessary.	place in the first year

Rank #3 Utton Transboundary Resource Center/Ombudsn	nan FY 16 Request: \$306,200
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The Utton Center was established to carry on the work of the late Professor Albert E. Utton, who devoted his career to dialogue across borders and peaceful resolution of conflicts over shared natural resources. Professor Utton introduced and fostered a focus on multidisciplinary research and the resolution of water conflicts through "preventative diplomacy" at the UNM School of Law over 50 years ago.

The Utton Center is the only academic center in New Mexico dedicated to water and natural resource law and policy. The Center strives to identify and develop meaningful law and policy solutions for the real and immediate natural resource challenges facing New Mexico, based on an expert understanding of New Mexico's current and historic natural resource management practices. The Utton Center serves the State's decision-makers, educators, students, and citizenry by providing high quality research, analysis, educational opportunities, and collaborative approaches to support sustainable management of shared natural resources.

The Utton Center is the home of the Joe M Stell Water Ombudsman Program, which was instituted in 2005 by the New Mexico Legislature to honor Representative Joe M Stell, who spent many of his years in the legislature addressing critical water issues. The Ombudsman Program is a statewide program that educates and informs unrepresented parties in water

rights adjudications in order to streamline the adjudication process. The program also responds to members of the public involved.

	Target for FY	
<b>Performance Measures</b>	2015	Expected Results
		Update Water Matters! Articles and add research on recent decisions from
Water & Resource Legal		New Mexico courts and administrative agencies. Research, abstract and
Research & Scholarship: # of		prepare documents for posting to the Native American Water Rights
publications/ documents.	50	Settlement Repository
Water & Resource Legal		
Research & Scholarship: # of		
copies distributed, emails,		600 publications distributed; 1000 newsletters emailed; present to 200
presentation attendees & web hits	7800	attendees; 6000 web hits
Drought Management: # of		
events/ presentations	3	Hold one workshop; present at 2 events
Drought Management: # of copies		
distributed, emails, presentation		600 publications distributed; 1000 newsletters emailed; present to 200
attendees & web hits	2000	attendees; 200 web hits
Ombudsman Water Rights		
Services:# of publications and		
other educational materials		Develop instructional flyers, conference papers, legal forms for
produced	8	unrepresented individuals
Ombudsman Water Rights		Organize & moderate public outreach events; attend hearings; conduct
Services: # of events	14	workshops; speak at conferences
		The number of contacts provided by the Program is influenced by the
Ombudsman Water Rights		number of sub files sent out by the Office of the State Engineer and
Services: # of people contacted,		settlement outreach opportunities scheduled by the court. The total also
receiving publications and other		reflects mailings, presentations at public meetings and conferences and
education materials produced	1800	web hits.
* The performance targets for EV14		identified

<sup>\*</sup> The performance targets for FY14 were never identified.

#### If funded, this would bring the Utton total General Fund Appropriation to \$612,459

Rank #4	Family Development	FY 16 Request: \$165,300
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Legislative and business leaders in NM are convinced that early childhood education is of vital importance for school success, prioritizing increased investment in evidence based approaches as essential for educational quality and kindergarten readiness. Despite scarce resources to support this important goal, the UNM Family Development Program (FDP) has consistently leveraged public and private dollars to provide statewide community-based professional development services for 30 years. As a Center for Excellence in Early Learning, FDP provides comprehensive statewide outreach as part of UNM's College of Education. The program's reputation for innovative facilitated learning that is tailored to meet unique needs of community programs and/or schools is in high demand and highly respected throughout New Mexico. FDP strategically fills gaps in access for early childhood professional development, working closely with state agencies and a wide range of other programs to align goals for high quality early learning. FDP is particularly responsive to communities and/or services that have limited access to professional development resources, providing required early childhood training that adds value to goals set by the state's Office of Child Development.

FDP seized a national opportunity for NM to be the first state to launch Mind in the Making, a major initiative on brain development that synthesizes research from more than 100 prominent neuroscientists, developmental psychologists, pediatricians and educators on the science of early learning. FDP has strategically aligned Mind in the Making with both NM's Early Learning Standards and the Common Core State Standards, providing evidence-based professional development for parents, early childhood professionals, elementary educators and others to strengthen a continuum of learning from birth into the elementary years. This innovative systems work that crosses boundaries is recognized nationally by other states investing in Mind in the Making where FDP co-leads train the trainer institutes led by Mind in the Making's author, Ellen

Galinsky, President of Families and Work Institute (New York, New York) in 16 other states, returning consultant contract dollars to FDP's work here in NM.

Performance	Completed Targets for	
Measure	FY 2014	Results
Statewide Community Partnerships (Output Measure)	8 Community Partnerships	EXCEEDED: FDP engaged 23 statewide community partnerships in FY14; 14 were supported by RPSP funding and 9 were supported by external funding. FDP consistently receives more requests for statewide training than planned, meeting community demands wherever possible. Unfortunately, targets have been reduced due to 50% budget cuts since economic downturn. External funding offers additional capacity for targeted outreach, yet is compromised by program constraints to to program staff base of support uncertainty.
Community Partner Training Goals & Expected Outcomes (Outcome Measure)	Co-design PD plan with partner to meet their training goals	ON FILE: FY14 Qualitative Summary: Planning reports with community partners document training goals and self-reporting assessments of impact from teachers and families (report on file)
Participant Rating: Overall Training Quality (Outcome Measure)	90% Rating Very Good to Excellent	EXCEEDED: FDP achieved a rating of Very Good to Excellent from 96% of all training participants. FDP sets performance measure at 90% Rating of Very Good to Excellent (5 point scale) as a high standard of excellence which we consistently meet and/or exceed.
Participant Rating: Contribution to Positive Change in Practice (Outcome Measure)	90% Rating Very Good to Excellent	EXCEEDED: FDP achieved a rating of Very Good to Excellent from 96% of these training participants. FDP sets performance measure at 90% Rating of Positive to Very Positive (5 point scale) as a high standard of excellence which we consistently meet and/or exceed.
Number of Participants Served (Output Measure)	1,200 participants	EXCEEDED: Although FY14 targets were reduced due to budget cuts totaling more than 50% in recent years, FDP secured external support and stretched staff resources to serve 2,309 participants in FY14. FDP has improved its participant sign-in process for ongoing participants so as to not duplicate participant counts.
Participant Assessment of Number of Children Impacted (Output Measure)	30,000 children impacted	EXCEEDED: Although statewide targets have been reduced due to budget cuts totaling more than 50% over past 5 years, in FY13 58,811 children were impacted by FDP training activities. The addition of external funding has extended early childhood outreach in Albuquerque.

If funded, this would bring FDP total General Fund Appropriation to \$683,438

Rank #5	Student Mentoring Programs	FY 16 New Request: \$340,538
	(College Prep Mentoring/Mentoring Institute)	

The College Prep Mentoring Program is a program within the Division of Student Affairs and its mission is to increase the retention of students in the K-12 system through mentoring and tutoring, specifically targeting and serving first generation and low income New Mexicans. In addition, the program is designed to prepare students for the successful matriculation from high school into higher education using a college readiness curriculum. The CPM program and outreach services have been negatively impacted by the overall decrease of 33% in state funding to its current appropriation of \$75,054. This funding also supports management in leveraging state funds for grants from the US Department of Education. The purpose for the FY 14 request is to expand the outreach of the program.

College Prep Mentoring	<b>Completed Targets for</b>	
Performance Measures	FY 2014	Results
Increase college readiness and	30 Students Participating	22 students participated in the college
matriculation to college by targeting NM	1: Provide 3 dual credit	readiness program.
High School seniors by connecting them	hours per participant	1: Developed participants' expectations of
with higher education support communities	2: Each participant to	college life through 6 dual credit hours

through the College Readiness Program. Mini-measure 1: Introduce participants to Higher Education culture Mini-measure 2: Develop College Application Skills Mini-measure 3: Develop Scholarship Application Skills	apply to at least 3 universities 3: Each participant to apply to at least 5 scholarships	earned. 2: 22 participants were accepted and enrolled in higher education 3: 22 participants received various scholarship opportunities to begin in the fall semester.
Increase college access through hosting summer residential experiences for at-risk, low-income, and first generation youth to promote college and career readiness.  Mini-measure 1: 9-12 Grade Programs  Mini-measure 2: 6-8 Grade Programs	3 Summer Residential Programs 1: At least one residential program for high school aged students 2: At least one residential program for middle school aged students 3: Incorporate STEM-H	8 summer residential programs were hosted at UNM. 1: 5 9-12 grade programs. (230 students served) 2: 2 6-8 grade programs. (24 students served) 3: 1 STEM-H program (25 students served in this camp and included in the 1 above) This funding is seed funding that serves as the foundation to bring in the above programs. In addition this funding helped in outreaching to 1395 students throughout the year. (366 9-12 grade students, 717 6-8
Mini-measure 3: STEM-H Programs	into all program activities	grade students and 312 3-5 grade students)

Created in 2007 with Legislative funding, the mission of the Mentoring Institute is to facilitate the development of quality mentoring programs by establishing a network of *mentoring partnership*; promoting and pursuing strategically planned and focused interdisciplinary research in mentoring best practices; and applying instructional design standards to training and certification programs. The Mentoring Institute provides the following services to students, faculty and staff at UNM, Albuquerque, and statewide: a) research and application of instructional standards in curriculum design and development; b) training and certification programs in mentoring best practices; c) conferences and support services in mentoring best practices.

Mentoring Institute Performance Measures	Completed Targets for FY 2014	Results
Number of new partnerships with UNM and Community Mentoring Programs	2	Cultivation of three new partnerships, 2 with UNM mentoring programs (ASM Alumni, ASERT) and 1 with an international association EMCC.
Number of partnerships sustained with UNM and Community Mentoring Programs	5	Continuation of partnerships with CEP, HSC, IMA, STEM-UP, FC Mentoring Program
Number of mentors successfully completing short training programs	100	51 mentors trained in short training programs (4 to 8 hrs. of instruction) with evaluation results of at least 4.0 out of 5.0 possible points (Note 1).
Number of mentors successfully completing certificate modules	10	No mentors were trained in certificate training programs (20-40 hrs. of instruction) due to the lack of personnel to conduct long-term training programs
Number of participants in Mentoring Conference	300	600+ participants in the UNM Mentoring Conference with evaluation results of at least 4.0 out of 5.0 possible points (Note 1).
Number of presentations in National/International Conferences	3	Presentations at the Annual International Mentoring Conference, the UNM Mentoring Conference, and the EMCC E-Conference
Publication of peer-reviewed papers	150	Publication of 250+ papers in the UNM Mentoring Conference Proceedings to support research in the field, promotion and dissemination of scholarly production, and achievement of tenure and promotion of junior faculty.

If funded, this would bring the SMP program total General Fund appropriation to \$512,038.

#### FY 2016 Non-Priority Request

**Main Campus** 

### Manufacturing Engineering Program \$300,000

**FY 16 Expansion Request:** 

The UNM Manufacturing Engineering Program (MEP) is a degree-granting academic program that provides high-tech, handson micro-nano-bio and robotics systems prototyping facilities to high-school, community-college, undergraduate, M.S. and Ph.D. students so they can learn manufacturing methods, and/or do research, and likewise provide prototyping capabilities to small (but fee-paying) companies so that they can demonstrate working micro-nano-bio devices to venture capitalists. UNM, the State, federal and industry stakeholders have made substantial, long-term investments in the UNM Manufacturing Training and Technology Center (MTTC), which is home to the Manufacturing Engineering Program, and private tenants, some of whom take advantage of the MTTC cleanroom. The State Research and Public Service Project (RPSP) provides foundational support to the MEP, and the staffing and operations of the MTTC Cleanroom. The UNM MTTC Cleanroom is the only siliconbased cleanroom in New Mexico that supports the three needs of multi-level training (high-school to PhD), research and small companies. From FY10 to FY14 (past 5 years), the MEP has had \$6.6M in Federal funding (awards), \$0.9M in cleanroom user revenue, and \$2.3M from the State RPSP and COR (awards), which is a leverage of 3.3-to-1 from the RPSP investment. Since 1994, the MEP has had \$34M in federal funds, \$3.4M in industry equipment, \$0.5M in industry cash, \$4.3M in UNM funds, and \$1.4M in cleanroom user fees, against \$8.9M in State RPSP funds and \$3.5M in State construction funds (Capital Outlay), which is a leverage of 3.5-to-1 for each State (RPSP plus CO) dollar invested. UNM/MEP rely on State RPSP support for the baseline operation of MEP programs and facilities, to further the mission of State-centric technology, workforce and economic development.

Performance Measures	Completed Targets for FY 2014	Results
No. of students enrolled in MEP	12	Result was 7. Budget cuts (of 50%, from FY10-FY13), have impacted the core courses for the MEP, and thus have made the program less desirable and less competitive. Nationwide, the interest in manufacturing is picking up as it becomes a global imperative to be able to produce things.
No. courses supported by MEP	4	Result was 4. A new course (ME-562, Lean Mfgr) was offered. DFM (ME-586) was offered. Cleanroom support was provided for ECE-574 (Microprocessor), and NSMS-519 (MEMS/NEMS).
No. university students and faculty using MEP hands-on facilities (cleanroom, robotics)	60	Result was 98. Interest in cleanroom, for courses and research, is increasing.
No. of CC and HS students and faculty impacted by hands-on design and fab facilities, kits, webinars, workshops and conferences	75	Result was 358. We do not report tour #'s on this line. We, also, do not report the impact that the MEP has on other university centers, across the US that we work with as grant collaborators. For FY14: Webinars (0), off-site workshops (48), APS teachers (25) participated in the SCME MEMS workshops. Kit promos (90) and sales (34). Micro-Nano Tech Conference (97, SCME/MTTC was host, June 2014), classes (61).
No. of companies using fabrication facilities	15	Result was 8. The recession has curtailed the use of the cleanroom by some companies during FY14. The MTTC Cleanroom continues to be an important source of economic development, by providing a high-tech resource that small companies cannot get elsewhere in New Mexico.
Level of Federal funding (expends)	\$800,000	Result was \$0.941M.
Level of State funding (capital and recurring)	\$556,000	Result was \$0.556M for FY14 (\$561M for FY15). The MEP received \$80k of COR for FY14, which was expended on the purchase of a \$135k chiller #2 for the MTTC Cleanroom.

If funded, this would bring the Manufacturing Engineering Program total General Fund Appropriation to \$1,014,300.

The Southwest Indian Law Clinic (SILC) is a legal clinic that provides law students the educational opportunity to handle Indian law cases and represent native and non-native clients with Indian law issues in fulfillment of their clinical requirement for graduation. Students also work on legal projects with tribal governments and other non-governmental organizations working on Indian Law issues. At present, SILC is housed in the UNM Clinical Law Program at the UNM School of Law. Southwest Indian Law Clinical law students provide legal representation under the supervision of clinical professors to eligible clients, and work with participating community organizations and tribes. SILC faculty produces legal scholarship on lawyering for native peoples and Indigenous communities, tribal law, and federal Indian law and serve as a resource to federal, state, and tribal governments, and academic institutions at the regional, national and international level. The clinic is currently staffed with two full-time tenured clinical law professors. The expansion funds will allow SILC to hire a full-time administrator, increasing the SILC administrative position from half-time. The position was instituted as a half-time position in FY2014 to support SILC's flexibility to operate on-campus or within rural tribal communities across the state. In addition, the requested increase of funds for FY2016 will allow SILC to hire a clinical fellow, potentially in collaboration with existing non-profit legal service providers, to assist with coverage of SILC throughout the state and support SILC's unique focus on skills essential to the practice of Indian law. The mission of SILC is to provide quality clinical instruction of law students through service learning and community engagement while preparing law students for the effective future representation of native peoples and communities in the area of Indian law across New Mexico.

	Completed Targets for	
Performance Measures	FY 2014	Results
Cumulative number of graduates trained in SILC methodology since program established	479	Target substantially met with 477.
Annual number of students who complete the Indian Law Certificate	8	Exceeded with 9 completing the Indian Law Certificate.
Number of communities served	8	Target exceeded with 11 community groups served.
Percentage of client base from NM Tribes	50%	Exceeded. 69% of the client base is from NM tribes.
Number of Tribes worked with	15	Exceeded. Based on Tribal affiliation data provided by clients the clinic served 17 different Tribes.
Percentage of client base in Tribal Courts	15%	Exceeded due to demand. 21.5% of caseload involved Tribal law or tribal jurisdiction.
Number of active cases handled	100	Expectations substantially met at 93 cases handled. Intake carefully monitored in Summer and Spring.
Number of community presentations	10	Target exceeded with 19 community presentations.

If funded, this would bring the Southwest Indian Law Clinic total General Fund Appropriation to \$416,116.

#### **Spanish Resource Center**

FY 16 Expansion Request: \$39,995

This project directly addresses the national and state completion agendas for higher education, by providing easily accessible and understandable tools online that will guide students in their choices of degrees, and inform institutions on the progress of their students. The graduation rates for New Mexico's two- and four-year colleges currently ranks well below the national average. We have also found that for many of those who do succeed in graduating, they typically do so with far more credit hours than are actually needed for the degree received. Much of these "wasted" credits occur when students transfer from institution to another – although the receiving institution may accept these credits, they often do not count towards the degree that a student ends up pursuing. A common student complaint is that they were not aware of their precise degree requirements and therefore were not able to plan accordingly early enough in their student careers. This is easily confirmed by viewing our college catalogs, where information is generally not provided in a way that is uniformly easy for students to understand and apply.

Performance Measures	Completed Targets for FY 2014	Results
		Met: We started as guests in some sense of the activities but we have
Activities organized together		started to host some activities to NMPED so we did more than
with NMPED	4	F
		Exceeded: We strive to collaborate with school districts. We try to
activity collaboration with		work with every district that shows interest in our programs. We have
school districts	5	begun collaboration with on-line programs.
Workshops: Provide		
instructional workshops on a		
yearly basis	15	Not met: We did not meet our goal due to lower budget, less resources.
Materials: Create and provide	created online	Met: this is first online course created for bilingual teachers in the
materials for teachers	course	state.
On anima ativitian for	poetry contest,	
Organize activities for	book club,	
students	spelling bee	Met: we optimized resources by collaborating with other institutions.

If funded, this would bring the Spanish Resource Centers total General Fund Appropriation to \$81,100

#### **Charlie Morrisey Research Hall**

FY 16 Expansion Request: \$35,500

The Charlie Morrisey Research Hall (CMRH) is a component of the Africana Studies Program. The purpose is to research, document, interpret and archive the historical presence of people of African descent in New Mexico and the Southwestern United States. It maintains a collection of books and other materials available to faculty, students and the public for use in research and classes, sponsors exhibits and lectures, and supports research. The program significantly contributes to the ability of Africana Studies to provide an educational program and services that are integral to the state and region, and promotes the increase of minority participation and graduation rates in higher education programs.

	Completed	
	Targets	
Performance	for FY	
Measures	2014	Results
		12 events held. The program presented 3 public lectures and a student forum during
		Black History Month, four faculty colloquiums, and a panel on Civil Rights Cases
Number of exhibits,		in the Supreme Court, supported Africana Studies Annual Black History Brunch,
public lectures and		collaborated with e the Black History Month Organizing Committee and the New
faculty colloquia		Mexico Office of African American Affairs on other programs, and the City of
based on internal		Albuquerque, South Broadway Cultural Center and Outpost Performance Space to
databases	10	commemorate founder of Modern Jazz Quartet who grew up in Albuquerque.
Number of attendees		
at public lectures and		
faculty colloquia		
based on internal		
databases	250	More than 500 attendees
Number of podcasts		
added to website		
based on internal		
databases	5	0
Increase in		
acquisitions of books,		
DVDs and digital		
media	\$2,000	\$2,500

If funded, this would bring the Charlie Morrisey Research Hall total General Fund Appropriation to \$70,000.

The Pre-College Science and Math Program is a community-wide program which services all students in grades K-12 in the Albuquerque metro area as well as the surrounding areas. Students that are identified as underprivileged and/or identified with learning disabilities are given the opportunity to excel to become successful individuals. The rationale for the program is to prepare students to become capable, responsible and contributing citizens into the 21st century. The program is designed to help students develop their social, personal and emotional well-being and their educational futures. The program includes weekend workshops during the school year, regional and national competitions, ACT/SAT preparation, a summer enrichment program and afterschool tutoring programs. Students gather weekly to participate in academic course work, and collaboration of activities related to science and mathematics. Throughout the year activities are provided to the students where students compete in local, regional and national competitions. Students are involved in the National Society of Black Engineers, First LEGO Leagues, MathCounts, Future City, RoboRAVE, and Science Fairs, as well as local workshops and conferences for student involvement.

Performance Measures	Completed Targets for FY 2014	Results
% of seniors who enroll in a 4-year college in Fall 2014	90%	90%
% of seniors who enroll in a 2-year college in Fall 2014	5-10%	5-10%
% of seniors who score 18 or higher on the ACT	90%	90%
Number of staff in summer program	20	19
Number of seniors who participated from K-12	10	10
Number of students tutored in afterschool tutoring program	30	30
Total number of students participating in competitions	50	50
Number of students winning awards in STEM competitions	20	20
Number of females participating in STEM competitions	25	25
Number of students in program enrolling in dual credit courses	10	10
Number of additional students with learning disabilities	2	2
Number of community partnerships	4	5

If funded, this would bring the Pre-College Science and Math Program total General Fund Appropriation to \$256,400.

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#### AMENDED BYLAWS OF THE U.N.M. LOBO CLUB

#### ARTICLE I NAME AND OFFICES

- 1. Name. The name of this corporation is the U.N.M. Lobo Club ("the Lobo Club").
- Offices. The principal office of the Lobo Club will be located in Albuquerque, New Mexico. The Board of Directors may approve chapter organizations whose offices may be located in the locality of the approved chapter.

#### ARTICLE II STATEMENT OF PURPOSE

- Value of Program. The Lobo Club recognizes the value of a comprehensive and successful athletic program to the University of New Mexico (the University), its students, its student-athletes, its alumni, its friends and the citizens of the State of New Mexico. To that end, the Lobo Club will raise funds to provide financial support to the University's athletic program.
- 2. Financial Support. The Lobo Club will provide financial support to the University for the following purposes: (a) student-athlete scholarships; (b) upgrading University athletic facilities; and (c) current and long-term budgetary requirements of the Athletics Department. In all cases, it is the Lobo Club's intention that its financial support will be used in a manner that will permit the University's athletic programs to compete successfully with those of any other NCAA Division I program.
- Athletic Excellence. The Lobo Club will carry out its mission in a spirit of
  cooperation with the University, its Athletics Department and the University of New
  Mexico Foundation, Inc. in an effort to achieve the goal of athletic program
  excellence.
- Program Compliance. The Lobo Club will recognize and comply with all NCAA and conference rules and regulations concerning booster clubs.
- Agreements. The Lobo Club may, at any time, enter into agreements with the University or other entities according to University policy. [See NMSA § 6-5A-1 attached]

### ARTICLE III ACTIVITIES

- Lobo Club Agreements. The Lobo Club and its Board of Directors will operate
  according to its Articles of Incorporation filed September 23, 1968 and Bylaws, as
  amended and any Memorandums of Agreement to which the Lobo Club is a party.
  Furthermore, the Lobo Club agrees to operate in such a manner that will retain the
  Lobo Club's status as a nonprofit, tax-exempt organization pursuant to Section
  501(c)(3) of the Internal Revenue Code of 1986, or any successor statute.
- 2. Fundraising. The Lobo Club will provide solicitation, consultation, and other related services to the Athletics Department for the benefit of the UNM athletic program to maximize private gifts and donations from various sources. To that end, the Lobo Club shall plan, direct, coordinate, and conduct activities for raising funds from private sources, including: (i) fund drive programs, (ii) special events, and (iii) any other programs initiated by the Vice President for Athletics/Director of Athletics or Lobo Club Board of Directors.
  - a. The activities of the Lobo Club will be conducted for the benefit of the University's athletic program and will be consistent with the University's plans, programs and policies. The Lobo Club will coordinate goals, programs, and major activities with the Athletics Department and the University of New Mexico Foundation, Inc. The Lobo Club will not solicit or accept gifts, grants, conveyances, devices, bequests, or otherwise from any source for a use specified by the donor inconsistent with goals and policies of the Athletics Department, the University of New Mexico, the University of New Mexico Foundation, Inc. or with the rules and regulations of the NCAA and any conference with which the University may affiliate.
  - b. The Lobo Club will maintain policies and procedures for the review and acceptance of gifts and donations. The acceptance of any gift or donation that requires a matching obligation of the University of New Mexico or the University of New Mexico Foundation, Inc. or creates a future obligation of the University of New Mexico or the University of New Mexico Foundation Inc. resources must first be approved by the University of New Mexico or the University of New Mexico Foundation, Inc.
  - c. The Lobo Club will maintain a record of all gifts and donations received by the Lobo Club, and make such records available to the University of New Mexico Foundation, Inc. for its donor record keeping responsibilities.
  - d. The Lobo Club will not engage in any instructional, research, or public service activity sponsored, or participated in, by a University of New Mexico department, without the prior approval of the President of the University of New Mexico.

- Management of Gifts and Donations. The Lobo Club will manage contributed funds, using funds as necessary in order to generate contributions to University of New Mexico Athletics. All funds contributed for the Athletics Department, whether through the Lobo Club or University of New Mexico Foundation, Inc., are for the benefit of University of New Mexico athletic programs. The Lobo Club will deposit all funds received in operating accounts as determined by the Board of Directors or in agency accounts established by the University of New Mexico for the Lobo Club. The Lobo Club is responsible for the oversight of such accounts. Checks will require one board signature and one staff signature as designated below. Board signatories include the Lobo Club President, President-Elect, and Vice-President of Finance. Staff signatories include the Executive Director and any other individuals approved by the Lobo Club Executive Committee.
  - a. Disposal of gifts received by the Lobo Club in the form of real or personal property, may be accomplished by the Lobo Club after consultation with the University of New Mexico or the University of New Mexico Foundation, Inc. If the gift is income-producing property, the Lobo Club shall transfer the property to the University of New Mexico Foundation, Inc. to be held by the University of New Mexico Foundation, Inc. to accomplish the donor's gift objective; or, if permitted by the terms of the gift or bequest, the Lobo Club may dispose of the property and establish an endowment in the University of New Mexico Foundation, Inc. to be used as specified by the donor.
  - b. The Lobo Club will transfer all gifts to establish endowments for the benefit of UNM Athletics to the University of New Mexico Foundation, Inc. Such gifts will be invested in the Regent's Consolidated Investment Fund in accordance with the Board of Regents Investment Management Policy. Disbursement of income from such gifts and endowments shall be made in accordance with the requests of the donor and monitored by the Lobo Club.
- Distribution of Funds. The Lobo Club will, in consultation with the University, establish a schedule for transferring funds received by the Lobo Club from its agency accounts to the University.
  - a. The Lobo Club will provide the Athletics Department with an annual budget, including anticipated projects, transfer of funds to support the athletic programs, and anticipated reasonable operating expenses.
  - b. The Lobo Club will not disburse funds to any unit of the Athletics Department either in the form of compensation or gratuity to a University or University of New Mexico Foundation, Inc. employee, coach, or athlete, except as defined by the employee's contract. The Lobo Club will not disburse funds for the purchase of goods and services for a University athletic team. All such funds will be transferred to an Athletics Department account and subsequently disbursed in accordance with donor direction as well as University and

- Athletics Department policies and procedures and NCAA and conference rules and regulations, as amended from time to time.
- c. The Lobo Club may disburse funds maintained by the Lobo Club for those expenses incurred directly by the Lobo Club. The Lobo Club is expected to follow appropriate procedures in the disbursement of funds and the procurement of services. The Lobo Club Board of Directors shall establish policies for the oversight of all disbursements of funds directly by the Lobo Club.
- d. All funds or property transferred to the Athletics Department by the Lobo Club will be subject to all state laws and regulations governing the disbursement and administration of public funds and public property, except to the extent of any specific conditions of the transfer that are acceptable to the University of New Mexico and do not require actions that are punishable as crimes under state law.

#### ARTICLE IV MEMBERSHIP

- Eligibility. Any person who contributes or pledges annually at least the minimum contribution amount ("the contribution amount") as determined by the Board of Directors to the Lobo Club may be a member of the Lobo Club. A corporate member will designate one individual as its voting member. Each new member will be known as a "Lobo Club Member." In addition, Honorary Lifetime Board Members, as defined in Article V, §3, are members.
- 2. **Membership Levels**. The Board of Directors may establish Levels of Membership with each level requiring a minimum contribution amount.
- 3. Collection. The Executive Director is charged with the collection of all contribution amounts. Members must pay contribution amounts by the date set each year by the Executive Committee unless automated payment arrangements, i.e. monthly payments, payroll deductions, regular credit card charges, etc., that will fulfill the pledge by the conclusion of the fiscal year have been pre-arranged.
- 4. Unpaid Contribution Amount. Any member whose account is unpaid for any year will be denied benefits for any subsequent year until all past due accounts are paid in full or otherwise approved by the Executive Director.
- 5. Expulsion. Any member found to have disrupted any function sponsored by the Lobo Club, harassed any Lobo Club officer, Director or employee, found to have violated NCAA rules or conference rules, or subjected the University or the Lobo Club to public ridicule, contempt, or loss of its good reputation, after a reasonable opportunity to appear before the Board of Directors in his or her own behalf, may, at

the discretion of the Board of Directors, be expelled from membership. Such member will be afforded the opportunity to appear, and respond to the charges, at the meeting at which the Board of Directors is addressing whether to expel such member. The member may be represented at such meeting. Upon such action by the Board of Directors, the President shall notify the member in writing of its action and shall not permit such person to become a member thereafter except upon a subsequent decision of the Board of Directors.

- 6. Loss of Rights. Membership ceases at the end of the fiscal year in which a member dies. Any member whose membership in the Lobo Club has ceased or terminated for any reason whatsoever shall forfeit all rights to the use of the Lobo Club's name and to participate in any activity of the Lobo Club to which only members of the Lobo Club or their guests are invited.
- 7. Annual Meeting. The Annual Meeting of the Lobo Club will be held during the months of May or June of each year as determined by the Board of Directors for the purpose of electing Directors and transacting such other business as may properly come before the meeting.
- 8. Special Meetings. Special meetings of the members may be called at any time by the President, or by a majority of the Board of Directors; and shall be called by the President at the written request of 10 percent of the members in good standing as of July 1 of the current fiscal year.
- 9. Notice of Meetings. Written notice of each meeting of the membership, whether annual or special, stating the time, date and place where it is to be held, shall be sent to each member at least ten (10) days prior to such meeting. Notice of a special meeting shall also state the purpose or purposes for which the meeting is called. A special meeting shall be limited to the agenda specified in the notice. Any notice required by this section may be sent by email, regular mail, fax, or by hand delivery.
- 10. Quorum and Voting. At least fifteen members in good standing in attendance shall constitute a quorum at any annual or special membership meeting. Unless otherwise provided by law, the Articles of Incorporation or the Bylaws, actions of the members will be taken by majority vote.
- 11. Chapter Organizations. The Board of Directors, at its discretion, may approve chapter organizations for the Lobo Club, to improve fundraising efforts and encourage participation in the Lobo Club. Each chapter must abide by the Chapter Manual approved by the Board of Directors. The Executive Committee will review chapter organizations annually to verify and validate that these criteria have been met.

#### ARTICLE V BOARD OF DIRECTORS

- Management & Authority. The Board of Directors shall be responsible for the
  control and management of the affairs, activities, property and interests of the Lobo
  Club. It shall set policy for those purposes through the use of customary resolutions
  and actions by the Board.
- Number. The Board of Directors shall consist of no more than forty (40) members, not including Chapter Presidents who qualify in (7) below, Past Presidents who qualify in (8) below, and Honorary Lifetime Members who qualify in (9) below.
  - a. The following will be voting members:
    - (1) At least eighteen (18), but not more than twenty-four (24), will be elected for two-year terms by the Lobo Club membership;
    - (2) No more than three (3) members will be appointed by the President of the Lobo Club, each of whom shall serve a one-year term;
    - (3) No more than ten (10) members will be appointed by the Vice President for Athletics/Director of Athletics, who shall serve a one-year, renewable term. The Vice President for Athletics/Director of Athletics shall appoint, or renew, such members based on the member's financial sponsorship of University athletic events;
    - (4) One (1) member of the annual membership drive to be determined by the Board of Directors at the conclusion of the drive corresponding to the year in which he/she will serve, and who will serve for one year from the conclusion of the drive to the conclusion of the following fiscal year;
    - (5) The Vice President of Athletics/Director of Athletics:
    - (6) The President of the UNM Alumni Lettermen's Association:
    - (7) Chapter Presidents, or their appointees from those chapters;
    - (8) Past Presidents of the Lobo Club;
    - Honorary Lifetime Board Members.
  - In addition, the Executive Director of the Lobo Club shall serve as a nonvoting member of the Board of Directors.
  - c. The Lobo Club will always maintain a membership on its Board of Directors such that no more than 15 percent of the voting members are employees, officers, or Regents of the University or entities controlled by the University.
  - d. All elected Directors and Presidential Appointees must be members of the Lobo Club who agree to contribute a minimum of \$1,000 annually or agree to raise \$5,000 (new money), including special events, annually.

- e. Beginning with the 2014-2015 year, no Board Member may serve for more than six (6) consecutive years. In special circumstances, a Board Member may serve two (2) additional years with approval from the Board.
- 3. **Honorary Lifetime Board Members**. The membership may elect every fifth (5<sup>th</sup>) year beginning with the election of the 2014-2015 Board of Directors, upon nomination by the Board of Directors, one (1) Honorary Lifetime Member of the Board of Directors who shall serve until his or her death or resignation. Honorary Lifetime Board Members will be voting Directors and will be deemed to be members of the Lobo Club in good standing whether or not they shall pay dues.
- 4. Attendance at meetings. The Executive Committee at its discretion, may remove a Board Member who has three or more absences from board meetings during the fiscal year. The Board Member will have the opportunity to come before the Executive Committee to discuss his or her absences.
- 5. Annual Meeting. The annual meeting of the Board of Directors shall be held immediately following the annual meeting of the membership at the place of such annual meeting of the membership for the purpose of electing officers and transacting such other business as may properly come before the Board of Directors.
- Regular Monthly Meetings. The Board of Directors shall meet no less than six (6)
  times during the fiscal year on a regular day to be fixed at its annual meeting or as
  soon thereafter as possible.
- Special Meetings. Special Meeting of the Board of Directors may be called at any time by the President, or upon written call of any ten (10) members of the Board of Directors sent to the Secretary and the Executive Director.
- 8. Notice of Meetings. Notice of the annual or any regular meeting of the Board of Directors will not be required and, if given, need not specify the purpose of the meeting, provided; however, in case the Board of Directors changes the time or place of the annual or any regular meeting, notice of such action shall be given to each Director who was not present at the meeting at which such action was taken. Notice may be sent by email, regular mail, fax, or hand-delivered to each Director, addressed to him or her at his or her residence, last known email address or usual place of business, at least two (2) days before the day on which the meeting is to be held. A notice or waiver of notice need not specify the purpose for the meeting.
- 9. Quorum and Voting: At all meetings of the Board of Directors, the presence of forty percent (40%) of the voting Board Members, excluding Past Presidents and Honorary Lifetime Board Members, constitutes a quorum for the transaction of business. Unless otherwise provided by law, the Articles of Incorporation or the Bylaws, actions of the Board of Directors shall be taken by majority vote.

- 10. Vacancies. Any vacancy on the Board of Directors, except in the case of an Honorary Lifetime Member, occurring by any reason whatsoever, may be filled upon recommendation of the Executive Committee for the unexpired portion by a majority vote of the remaining Directors at any regular meeting or at a special meeting of the Board of Directors called for that purpose.
- 11. Removal. Any Director may be removed for cause by a vote of the majority of the Board of Directors. The Director to be removed shall be afforded the opportunity to appear at such meeting for the purpose of responding to the charges. The Director may be represented at such meeting.

#### ARTICLE VI OFFICERS

- Number of Officers. The officers of the Lobo Club are the President, President-Elect, Immediate Past-President, five (5) Vice-Presidents, and Secretary, which shall be selected from the Board of Directors. All officers shall be members of the Board of Directors.
- Duties of Officers. The officers shall perform the following duties and all other duties as assigned by the President or Board of Directors.
  - a. President. The President shall preside at all meetings of the membership and of the Board of Directors; shall be an ex-officio member of all committees except the Nominating Committee; generally exercise supervision of the affairs of the Lobo Club, and perform such other duties as are ordinarily exercised by a person holding that office, subject to the direction of the Board of Directors. To be elected President, one must serve a minimum of two years on the Executive Committee, one year of which shall be as Vice President of Finance.
  - b. President-Elect. The President-Elect shall assist the President and shall perform the duties of the President in the absence of the President. In the event the President cannot complete his or her term of office for whatever reason, the President-Elect will assume the office of President for the balance of that term and will continue to serve as President for the term for which he or she was elected.
  - c. Immediate Past-President. The Immediate Past-President shall perform such duties as are delegated to him/her by the President or the Board of Directors.
  - d. Vice-Presidents. The five (5) Vice-Presidents shall each have a portfolio of responsibilities to ensure attainment of the goals of the Lobo Club. In the absence of both the President and President-Elect, one of the Vice-Presidents shall be selected by the Board of Directors to act as President.

- Secretary. The Secretary shall perform such duties as required by law or delegated to him/her by the President or Board of Directors.
- 3. Vacancies. In the event that any office other than that of the President becomes vacant for any reason whatsoever, the Board of Directors shall forthwith appoint a member of the Lobo Club to fill the unexpired term of office in which the vacancy exists. Such election shall not disqualify a person elected for such office from election upon the expiration thereof to a full elective term as an officer.
- Term. Officers shall assume their respective offices on the first date of the fiscal year following their election, and their terms of office will expire on the succeeding final day of the fiscal year.

### ARTICLE VII EXECUTIVE DIRECTOR

**Executive Director.** The Executive Director shall be the chief administrative officer of the Lobo Club.

#### ARTICLE VIII ELECTION PROCEDURE

- Nominating Committee. The Nominating Committee shall select at least one (1)
  nominee for each office and expiring directorship, except for the office of President,
  which will be automatically filled by the incumbent President-Elect. Such
  nominations, in writing, shall be in the hands of the President no later than May 1 of
  each year.
- 2. Notice of Nominations. Upon receipt of the report from the Nominating Committee, the Executive Director shall, within fifteen (15) days, deliver by email or regular mail, to each member a notice setting forth the nominations of the Nominating Committee for the expiring directorships, in alphabetical order, and stating the date of the meeting at which the election shall be conducted. This election shall take place at the annual meeting of the Lobo Club, to be conducted during May or June of that fiscal year.
- 3. Other Nominees. Other Lobo Club members in good standing may be nominated for a directorship by two percent (2%) or more members in good standing if a written notice of such person's nomination, together with a consent of such person to serve, is received by the Lobo Club not later than fifteen (15) days after the notice of the nominations of the Nominating Committee shall have been delivered by email or regular mail to Lobo Club members. Each notice shall set forth the name and address on file with the Lobo Club of each nominee proposed in such notice.

- 4. Election of Directors. Members of the Board of Directors shall be elected by the members in attendance at the annual meeting of the Lobo Club. If the number of nominees exceed the number of positions available on the Board of Directors, the highest vote getters shall be elected as Directors. Voting shall be by members in attendance only. Members may not vote by proxy.
- Eligibility to Vote. Only members in good standing are eligible to vote.
- 6. Election of Officers. Immediately after the annual meeting of the Lobo Club, the Board of Directors shall convene for the election of officers for the coming year. Separate balloting shall be conducted for each elective office, except where there is only one nominee for an office, in which case the President shall request a unanimous vote for such nominee. In any contested election, a plurality of votes cast shall be required to elect. Voting shall be by Directors in attendance only. Directors may not vote by proxy.
- Succession of President. A President of the Lobo Club who has served a full term
  as President shall not be eligible to succeed himself or herself, but may be elected as
  President-Elect after serving one (1) year as Past-President.
- 8. Term of Office. All Directors shall assume their elected offices on the first day of the fiscal year immediately following their election for a two-year term ending the final day of the second fiscal year of their appointment. All officers shall assume their respective offices on the first date of the fiscal year immediately following their election for a one-year term ending on the succeeding final day of the fiscal year.

### ARTICLE IX FISCAL YEAR/BONDS/REPORTS AND ACCOUNTING

- 1. **Fiscal Year**. The fiscal year of the Lobo Club shall be from July 1 of each year to the succeeding June 30.
- Reports and Accounting. The Lobo Club shall submit to an annual audit conducted by an independent certified public accounting firm performed in accordance with generally accepted auditing standards.
  - a. The Lobo Club shall provide the University with the report of an annual audit performed by an independent certified public accounting firm selected by the University. This audit shall be in accordance with generally accepted auditing standards. The working papers associated with the Lobo Club's audit shall be maintained and be available to the University for three (3) years after the audit report date.

b. The Lobo Club agrees to provide any information regarding the financial operations of the Lobo Club to the University's internal audit staff upon a formal request to the Lobo Club's Executive Committee.

#### ARTICLE X INSURANCE

The Lobo Club shall at all times maintain adequate insurance coverage for all property held and managed by the Lobo Club for the University, and maintain appropriate liability insurance for its officers and directors, either through coverage by the State's Risk Management insurance program or by separately acquired coverage.

### ARTICLE XI COMMITTEES

#### 1. Executive Committee.

- a. **Membership**. There shall be an Executive Committee consisting of the President, President-Elect, Past-President, five (5) Vice-Presidents, Secretary, and the Vice-President of Athletics and the Executive Director, both of whom shall be ex-officio non-voting members. Regarding the Vice-Presidents, there will be a Vice-President of Finance, who will also serve as Treasurer, and four (4) Vice-Presidents whose duties shall be established by the President and Executive Committee of the Lobo Club.
- b. **Purpose**. The Executive Committee shall meet at the call of the President to review such matters of the Lobo Club as he or she may direct to be brought before it or as specifically directed by the Board of Directors. Any action voted on by the Executive Committee shall be reported to the full Board of Directors at the next regularly scheduled meeting for the Board's ratification.
- c. **Meetings**. Meetings of the Executive Committee shall be held monthly at such time and place as prescribed by the President or a majority of the Executive Committee. Notice by mail, or email, of each meeting of the Executive Committee shall be given not less than forty-eight (48) hours in advance of the meeting by the Executive Director or the President. The required forty-eight (48) hours advance notice may be waived with the consent of the majority of the members of the Executive Committee.
- d. **Minutes**. Minutes of each meeting of the Executive Committee will be maintained by the Secretary and approved by the Executive Committee.
- e. **Quorum**. A majority of the Executive Committee membership shall constitute a quorum for the transaction of all business. The affirmative vote of a majority of those members present shall be required to transact business.

#### 2. Finance Committee.

- a. Membership. The Finance Committee shall consist of the Vice-President of Finance, the President-Elect and not less than two (2) nor more than four (4) additional members appointed by the President and the Vice-President of Finance. In addition, the University of New Mexico Treasurer and the University of New Mexico Foundation, Inc. President and Chief Executive Officer shall each be requested to appoint an individual to sit as an ex-officio non-voting member of the Finance Committee.
- b. Purpose. The purpose of the Finance Committee will be to develop, review and coordinate all financial documents, and to assure that the financial matters of the Lobo Club are properly recorded and financial statements are accurately and timely prepared. The Finance Committee shall prepare and present an annual budget to the Executive Committee for approval and ratification by the Board.
- c. Meetings. Meetings of the Finance Committee shall be held monthly at such time and place as prescribed by the Vice-President of Finance. Notice by email or regular mail of each meeting of the Finance Committee shall be given not less than forty-eight (48) hours in advance of the meeting by the Vice-President of Finance. The required forty-eight (48) hours advance notice may be waived with the consent of the majority of the members of the Finance Committee.
- d. Minutes. Minutes of each meeting of the Finance Committee shall be maintained by the Vice-President of Finance, approved by the Finance Committee and shall be presented to the Board of Directors.
- e. **Quorum**. A simple majority of the Finance Committee membership shall constitute a quorum for the transaction of all business. The affirmative vote of a majority of those members present shall be required to transact business.

#### 3. Nominating Committee.

- a. Membership. The Nominating Committee shall consist of not less than five (5) nor more than seven (7) members. Not later than March 1 of each year, the President-Elect shall, with the approval of the Board of Directors, appoint a Nominating Committee of not less than five (5) nor more than seven (7) members. The President will not be a member of this committee. The President-Elect shall serve as chairperson. If he or she is unwilling or unable to so serve, the Board shall select the chairperson. The President-Elect shall serve as chairperson.
- Purpose. The purpose of the Nominating Committee shall be to select a
  qualified slate of nominees to fill the expired terms for officers and Directors
  as outlined in Article VIII.

- Meetings. The Nominating Committee shall meet per the requirements of Article VIII.
- d. Quorum. A simple majority of the Nominating Committee membership shall constitute a quorum for the transaction of all business. The affirmative vote of a majority of those members present shall be required to transact business.
- Ex-Officio Committees President. The President of the Lobo Club will be an exofficio non-voting member of all committees established, except for the Nominating
  Committee.
- 5. Additional Committees. The President may from time to time designate such other committees as may be necessary to manage the affairs, activities, property and interests of the Lobo Club. Such committees shall consist of two or more members of the Board of Directors. The President may also appoint to a committee individuals who are not members of the Board of Directors. Those individuals will be non-voting members.

#### ARTICLE XII INDEMNIFICATION

The Lobo Club shall, to the extent legally permissible, defend and indemnify each person who may serve or who has served at any time as an officer, Director, or employee of the Lobo Club, including the Executive Director, against all expenses and liabilities, including, without limitation, counsel fees, judgments, fines, excise taxes, penalties and settlement payments, reasonably incurred by or imposed upon such person in connection with any threatened, pending or completed action, suit or proceeding in which he or she may become involved by reason of his or her service in such capacity; provided that no indemnification shall be provided for any such person with respect to any matter as to which he or she shall have been finally adjudicated in any proceeding not to have acted in good faith in the reasonable belief that such action was in the best interests of the Corporation; and further provided that any compromise or settlement payment shall be approved by a majority vote of a quorum of Directors who are not at that time parties to the proceeding.

### ARTICLE XIII DISSOLUTION

The Lobo Club may use its earnings and funds only to accomplish the objective and purposes of the Lobo Club, and no part of said monies shall inure or be distributed to the members of the Lobo Club. If for any reason the Memorandum of Agreement between the University, the University of New Mexico Foundation, Inc. and the Lobo Club is terminated, all accounts of the Lobo Club in the University's custody shall be transferred

to the University or a successor organization. Upon dissolution of the Lobo Club, its net assets shall be distributed to the University or a successor organization.

#### ARTICLE XIV PARLIAMENTARY RULES

All meetings of the Lobo Club, Board of Directors and committees shall be governed by and conducted in accordance with the latest edition of Robert's Rules of Order.

#### ARTICLE XV AMENDMENT

These Bylaws may be amended by a two thirds (2/3) vote of the members present at any membership meeting, provided however, that notice of the proposed amendment, the place, time and date of such meeting shall have been given to the members at least two (2) weeks prior thereto. Notice may be delivered by email, regular mail or fax. It is mutually understood and agreed any alteration or variation of the terms of the Lobo Club's Articles of Incorporation or the Bylaws of the Lobo Club shall be submitted for approval to the Board of Regents of the University.

Adam Harrington, President	Date	Wanda Easley-Small, Secretary	Date
UNM LOBO CLUB		UNM LOBO CLUB	

New Mexico Statutes > Chapter 6 > Article  $5A > \S$  6-5A-1 - Definitions; requirements for governmental entities that receive funds or property from certain organizations

Current as of: 2010 Check for updates

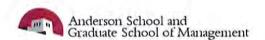
#### A. As used in this section:

- (1) "agency" means any state agency, department or board, any public institution of higher education or public post-secondary educational institution and any county, municipality or public school district;
- (2) "organization" means an organization that has been granted exemption from the federal income tax by the United States commissioner of internal revenue as an organization described in Section 501(c) of the Internal Revenue Code of 1986, as amended or renumbered, and whose principal and authorized purpose is to complement, contribute to and support, aid the function of or forward the purposes of a single agency through financial support, the contribution of services, goods, data or information that help or aid the agency in carrying out its statutory purpose and goals, including, but not limited to, the provision of scholarships to students of educational institutions and the provision of grants to supplement ongoing research or to provide funds for research and programs being carried out by an agency;
- (3) "post-secondary educational institution" means an educational institution designated in Article 12, Section 11 of the constitution of New Mexico, and any post-secondary educational institution, which term includes, but is not limited to, an academic, vocational, technical, business, professional or other school, college or university or other organization or person offering or purporting to offer courses, instruction, training or education through correspondence or in person, to any individual within this state over the compulsory school attendance age, if that post-secondary educational institution is directly supported in whole or in part by state or local taxation; and

- (4) "transferred" means given or otherwise transferred, with or without consideration.
- B. Prior to an agency accepting property or funds that have been transferred to an agency by an organization, the agency and the organization shall enter into a written agreement that includes at least the following:
- (1) a concise statement of the organization's purpose and of how that purpose is supportive of the agency's statutory responsibilities and authority;
- (2) provisions explicitly describing the relationship of the agency to the organization in connection with such issues as authority, autonomy and information sharing and reporting;
- (3) provisions defining the extent to which the organization may complement and support functions that are the statutory responsibility of the agency;
- (4) requirements that the organization:
- (a) if its gross annual income exceeds one hundred thousand dollars (\$100,000), have a financial accounting system considered adequate under customarily and currently accepted accounting standards and that the financial affairs of the organization be audited annually in accordance with generally accepted governmental auditing standards by an independent professional auditor who would be required to furnish to the agency copies of his annual audit, which, exclusive of any lists of donors or donations, shall be a public record, and to make the associated working papers available to the agency for review upon its written request for a period of three years after the audit report date; or
- (b) if its gross annual income is one hundred thousand dollars (\$100,000) or less, file a statement with the agency in the form of a balance sheet showing the assets of the organization, its liabilities, its income, classified by general source, and its expenditures, classified by object;
- (5) a provision requiring that any funds or property transferred to an agency by an organization be considered subject to all state laws and regulations governing the disbursement and administration of public funds and public property, except to the

extent of any specific conditions of the transfer that are acceptable to the agency and do not require actions that are punishable as crimes under state law;

- (6) a provision stating that the agency has reviewed the bylaws of the organization and found them acceptable and a provision requiring that the organization furnish copies of the bylaws to the agency;
- (7) a provision requiring specification of the consideration that the agency received from the organization for any agency services provided in support of the organization; and
- (8) a provision requiring the application by the organization of the standard described in Section 6-8-10 NMSA 1978 as the standard for evaluating investments of the organization.
- C. The written agreement required by Subsection B of this section is not required for each transfer but is a pre-condition of an agency's acceptance of any transfers. The agreement may be amended by mutual written agreement of the agency and the organization.
- D. Nothing in this section subjects an organization to the provisions of the Open Meetings Act [10-15-1.1 NMSA 1978] or makes its records, other than the annual audit required under this section, public records within the purview of Sections 14-2-1 through 14-2-3 NMSA 1978.



## The UNM Regents' Endowment Fund Portfolio Quarterly Report for 4th Quarter of Fiscal Year 2014

[4/1/2014 - 6/30/2014]

Total Portfolio Value (6/30/2014): \$2,497,306.08

**Investment Total Returns** 

	4th Quarter FY 2014	FY 2014	% Return Since Inception (2006)	
Regents' Portfolio	5.2%	23.9%	92.4%	
S&P 500 Index	5.2%	24.6%	86.9%	
Active Return	0.0%	-0.7%	5.5%	

#### Portfolio Dollar Value Weights (6/30/2014):

As individual equity securities: 43.8%
As exchange-traded funds (ETFs): 55.6%
As cash: 0.6%
100.0%

#### Transactions during quarter

Please see Appendix 2.

#### Disbursements during quarter

\$77,880.22 to the UNM Foundation on May 14, 2014.

The Regents' Portfolio value on June 30 was \$2,497,306.08. U.S. corporations generally continued their modest but stable revenue expansion with little cost inflation and very low costs of debt. The UNM Regents' Portfolio's return for the quarter was 5.2%, which equaled that of the S&P 500 benchmark. The portfolio's return for fiscal year 2014 was 23.9%. The portfolio underperformed the benchmark by 0.7% for fiscal year 2014. The portfolio has a 92.4% total return since inception in 2006, which exceeds the benchmark by 5.5%.

The portfolio's sector weights (see page 2) are approximately equal to the S&P 500 benchmark's sector weights. The most underweighted sector relative to the benchmark sector weight is the financial sector. The portfolio managers continue to expect the profitability of the financial sector to lag the other sectors given the low interest rate environment.

On May 8, 1 legacy stock was liquidated per the security analysts' sell recommendation (Rio Tinto). Three stocks were purchased for an expected growth in U.S. energy production (Cabot, Continental Resources and Tesoro). The portfolio's technology and e-commerce holdings were further diversified with the purchase of 8 stocks (Adobe, Altera, Mercalibre, Micron Technology, Priceline, Qualcomm, Sandisk, and Sony). Details of the portfolio's holdings are listed in the Appendix 1, and transaction details for the quarter are given in Appendix 2.

#### Sector Dollar-Weights (6/30/2014):

	Regents' Portfolio	S&P 500	Delta
Consumer	Red week	100	10.00
Discretionary	10.3%	11.9%	-1.6%
Consumer Staples	10.5%	9.6%	0.9%
Energy	10.5%	10.7%	-0.2%
Financials	13.5%	16.0%	-2.5%
Health Care	13.7%	13.4%	0.3%
Industrials	10.0%	10.5%	-0.5%
Information			
Technology &	2303		
Telecommunication	22.8%	21.4%	1.4%
Materials	5.2%	3.5%	1.7%
Utilities	2.9%	3.0%	-0.1%
Cash	0.6%	0.0%	0.6%

**Investment Center Director and Instructor** 

Leslie Boni

(Email: lboni01@unm.edu)

Instructor, Securities Analysis

Mary Anne Majadillas (Email: maj@unm.edu)

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Portfolio Managers

Michael Asmar

Jeffrey Baker

Loren Brooks

Edwina Cisneros

Marin Yves Decaudeveine

Lydia Eggert

Chase Eggleston

Linh Chi Le

Manuel Miramontes

David Nelson

Eric Rivera

Gabriela Teixeira De

Lucena

Devlet Ucar

Benjamin Wagner

James Winter

Michael Camp

Cody Cravens

Cory Dean

Saied Ghaffari

Matthew Loehman

Dominic Sategna

MJ Schmidt

Securities Analysts

Garett Mahoney

Marin Yves Decaudeveine

Edward Weagel

Benjamin Wang

Robert Nagaki

Chase Eggleston

Jordan Fuentes

Bryan Deshayes

Kathy Hollingsworth

Thai Yaparwong

Appendix 1: Detailed Holdings (6/30/2014) page 1 of 2

	Symbol	Description	Quantity (Shares)	Price (\$/Share)	Value (\$)	Weight
1	CASH	Cash	15,181.94		\$ 15,181.94	0.6%
2	AAPL	APPLE INC	231	92.93	21,466.83	0.9%
3	ABC	AMERISOURCEBERGEN CORP	133	72.66	9,663.78	0.4%
4	ACXM	ACXIOM CORP	341	21.69	\$ 7,396.29	0.3%
5	ADBE	ADOBE SYS INC	93	72.36	\$ 6,729.48	0.3%
6	ADM	ARCHER DANIELS MIDLAND	238	44.11	\$ 10,498.18	0.4%
7	AGU	AGRIUM INC COM NPV ISIN #CA 0089161081 SEDOL#	64	91.63	\$ 5,864.32	0.2%
8	ALTR	ALTERA CORP	187	34.76	\$ 6,500.12	0.3%
9	AMZN	AMAZON,COM INC	38	324.78	\$ 12,341.64	0.5%
10	BIDU	BAIDU INC SPONS ADS REPR 0.10 ORD CLS A US0.00	88	186.81	\$ 16,439.28	0.7%
11	CA	CA INC COM	248	28.74	\$ 7,127.52	0.3%
12	CAH	CARDINAL HEALTH INC	144	68.56	\$ 9,872.64	0.4%
13	CHKP	CHECK POINT SOFTWARE TECHNOLOGIES ORD ILSO	330	67.03	\$ 22,119.90	0.9%
14	CLR	CONTINENTAL RES INC OKLA COM	187	158.04	\$ 29,553.48	1.2%
15	COG	CABOT OIL & GAS CP COM	646	34.14	\$ 22,054.44	0.9%
16	CTXS	CITRIX SYSTEMS INC	301	62.55	\$ 18,827.55	0.8%
17	CVX	CHEVRON CORP NEW	294	130.55	\$ 38,381.70	1.5%
18	DE	DEERE & COMPANY	289	90.55	\$ 26,168.95	1.0%
19	EBAY	EBAYINC	175	50.06	\$ 8,760.50	0.4%
20	FCX	FREEPORT MCMORAN COPPER & GOLD INC.	330	36.5	\$ 12,045.00	0.5%
21	FDX	FEDEX CORP	200	151.38	\$ 30,276.00	1.2%
22	GOOG	GOOGLE INC CL C	26	575.28	\$ 14,957.28	0.6%
23	GOOGL	GOOGLE INC CL A	26	584.67	\$ 15,201.42	0.6%
24	HON	HONEYWELL INTL INC	445	92.95	\$ 41,362.75	1.7%
25	INFA	INFORMATICA CORP	200	35.65	\$ 7,130.00	0.3%
26	ΓΥW	ISHARES US TECHNOLOGY ETF	1,243	96.88	\$ 120,421.84	4.8%
27	KMP	KINDER MORGAN ENERGY PARTNERS L P	416	82.21	\$ 34,199.36	1.4%
28	MCK	MCKESSON CORP	57	186.21	\$ 10,613.97	0.4%
29	MELI	MERCADOLIBRE INC	65	95.4	\$ 6,201.00	0.2%
30	MON	MONSANTO CO NEW	134	124.74	\$ 16,715.16	0.7%

Appendix 1: Detailed Holdings (6/30/2014) page 2 of 2

	Symbol	Description	Quantity (Shares)	Price (\$/Share)	Value (\$)	Weight
31	MSFT	MICROSOFT CORP	1,450	41.7	\$ 60,465.00	2.4%
32	MSTR	MICROSTRATEGY INC CL A NEW	77	140.62	\$ 10,827.74	0.4%
33	MU	MICRON TECHNOLOGY	673	32.95	\$ 22,175.35	0.9%
34	NDAQ	NASDAQ OMX GROUP INC	1,215	38.62	\$ 46,923.30	1.9%
35	NTAP	NETAPP INC COM	200	36.52	\$ 7,304.00	0.3%
36	ORCL	ORACLE CORPORATION	245	40.53	\$ 9,929.85	0.4%
37	PCLN	PRICELINE GROUP INC THE	10	1203	\$ 12,030.00	0.5%
38	PEP	PEPSICO INC	537	89.34	\$ 47,975.58	1.9%
39	POT	POTASH CORP OF SASKATCHEWAN COM NPV ISIN	159	37.96	\$ 6,035.64	0.2%
40	PPG	PPG INDUSTRIES	94	210.15	\$ 19,754.10	0.8%
41	QCOM	QUALCOMM INC	456	79.2	\$ 36,115.20	1.4%
42	SLB	SCHLUMBERGER LIMITED COM USD0.01	363	117.95	\$ 42,815.85	1.7%
43	SNDK	SANDISK CORP	135	104.43	\$ 14,098.05	0.6%
44	SNE	SONY CORP ADR-EACH CNV INTO 1 ORD NPV	592	16.77	\$ 9,927.84	0.4%
45	SPLK	SPLUNK INC COM USD0.001	120	55,33	\$ 6,639.60	0.3%
46	SYK	STRYKER CORP	635	84.32	\$ 53,543,20	2.1%
47	SYMC	SYMANTEC CORP	386	22.9	\$ 8,839.40	0.4%
48	T	AT&T INC COM	1,029	35.36	\$ 36,385.44	1.5%
49	TDC	TERADATA CORP DEL COM	131	40.2	\$ 5,266.20	0.2%
50	TSO	TESORO CORP COM FORMERLY TESORO PETE CORF	448	58.67	\$ 26,284.16	1.1%
51	UNP	UNION PACIFIC CORP	530	99.75	\$ 52,867.50	2.1%
52	VZ	VERIZON COMMUNICATIONS	509	48.93	\$ 24,905.37	1.0%
53	WAG	WALGREEN COMPANY	869	74.13	\$ 64,418,97	2.6%
54	XBI	SPDR SER TR S&P BIOTECH ETF	516	153.99	\$ 79,458.84	3.2%
55	XLB	SELECT SECTOR SPDR TR SHS BEN INT MATERIALS	665	49.64	\$ 33,010.60	1.3%
56	XLE	SECTOR SPDR TR SHS BEN INT ENERGY	686	100.1	\$ 68,668.60	2.7%
57	XLF	SECTOR SPDR TR SHS BEN INT FINANCIAL	12,721	22.74	\$ 289,275.54	11.6%
58	XLI	SECTOR SPDR TR SHS BEN INT INDUSTRIAL	1,841	54.06	\$ 99,524.46	4.0%
59	XLK	SECTOR SPDR TR SHS BEN INT TECHNOLOGY	2,050	38.35	\$ 78,617.50	3.1%
60	XLP	SECTOR SPDR TR SHS BEN INT CONSUMER STAPLES	3,142	44.62	\$ 140,196.04	5.6%
61	XLU	SECTOR SPDR TR SHS BEN INT UTILITIES	1,647	44.26	\$ 72,896.22	2.9%
62	XLV	SELECT SECTOR SPDR TR HEALTH CARE FORMERLY	2,934	60.83	\$ 178,475.22	7.1%
63	XLY	SELECT SECTOR SPDR TR CONSUMER DISCRETIONA	3,410	66.74	227,583.40	9.1%
					\$ 2,497,306.08	100.0%

Appendix 2: Transactions During the Quarter Ending 6/30/2014

Symbol	Description	Quantity (Shares)	The State of the S		Value (\$)	
Purchases (5/		V	7			
ADBE	ADOBE SYS INC	93	\$	59.86	\$	(5,574.92
ALTR	ALTERA CORP	187	\$	32.31	\$	(6,049.90
CLR	CONTINENTAL RES INC OKLA COM	187	\$	129.35	\$	(24,195.58
COG	CABOT OIL & GAS CP COM	557	\$	37.52	\$	(20,906.59
COG	CABOT OIL & GAS CP COM	89	\$	37.53	\$	(3,340.17
FAN	FIRST TR ISE GLOBAL WIND ENERGY INDEX F	775	\$	12.43	\$	(9,644.61
LIT	GLOBAL X FDS GLOBAL X LITHIUM ETF	740	\$	12.97	\$	(9,604.27
MELI	MERCA DOLIBRE INC	65	\$	84.73	\$	(5,515.39
MU	MICRON TECHNOLOGY	673	\$	27.02	\$	(18,194.83
PCLN	PRICELINE GROUP INC THE	10	\$	1,134.24	\$	(11,350.34
QCOM	QUALCOMM INC	456	\$	79.64	\$	(36,322.10
SNDK	SANDISK CORP	135	\$	88.98	\$	(12,020.30
SNE	SONY CORP ADR-EACH CNV INTO 1 ORD NPV	592	\$	17.59	\$	(10,418.98
TSO	TESORO CORP COM FORMERLY TESORO PETE CO	348	\$	54.00	\$	(18,792.28
TSO	TESORO CORP COM FORMERLY TESORO PETE CO	100	\$	54.00	\$	(5,408.45
XLB	SELECT SECTOR SPDR TR SHS BEN INT MATER	665	\$	48.17	\$	(32,043.39
XLB	SELECT SECTOR SPDR TR SHS BEN INT MATER	100	\$	48.00	\$	(4,800.36
XLB	SELECT SECTOR SPDR TR SHS BEN INT MATER	100	\$	48.00	\$	(4,808.45
XLU	SECTOR SPDR TR SHS BEN INT UTILITIES	225	\$	42.91	\$	(9,663.51
XLV	SELECT SECTOR SPDR TR HEALTH CARE FORME	453	\$	58.08	\$	(26,318.14
Sales (5/8/201	4)					
AHS	AMN HEALTHCARE SVCS INC COM	375	\$	10.69	\$	4,000.75
ASGN	ON ASSIGNMENT INC	213	\$	35.43	\$	7,539.43
CCRN	CROSS COUNTRY HEALTHCARE INC	418	\$	5.75	\$	2,395,16
CHKP	CHECK POINT SOFTWARE TECHNOLOGIES ORD I	75	\$	64.05	\$	4,795.69
EBAY	EBAYINC	110	\$	50.50	\$	5,546.92
FAN	FIRST TR ISE GLOBAL WIND ENERGY INDEX F	275	\$	12.39	\$	3,407.20
FAN	FIRST TR ISE GLOBAL WIND ENERGY INDEX F	500	\$	12.39	\$	6,188.31
FDX	FEDEX CORP	91	\$	136.93	\$	12,452.40
IPCM	IPC THE HOSPITALIST CO INC COM	121	\$	41.57	\$	5,021.90
IYW	ISHARES US TECHNOLOGY ETF	806	\$	89.81	\$	72,373.76
LIT	GLOBAL X FDS GLOBAL X LITHIUM ETF	740	\$	12.92	\$	9,552.63
PPG	PPG INDUSTRIES	94	\$	198.05	\$	18,608.34
RIO	RIO TINTO ADR EACH REP 1 ORD	248	\$	54.25	\$	13,446.67
SYMC	SYMANTEC CORP	582	\$	20.37	\$	11,845.02
TMH	TEAM HEALTH HOLDINGS INC COM USD0.01	151	\$	48.89	\$	7,374.21
XLB	SELECT SECTOR SPDR TR SHS BEN INT MATER	200	\$	48.19	\$	9,629.83
XLE	SECTOR SPDR TR SHS BEN INT ENERGY	833	\$	94.43	\$	78,647.50
XLU	SECTOR SPDR TR SHS BEN INT UTILITIES	225	\$	43.05	\$	9,678.10
XLY	SELECT SECTOR SPDR TR CONSUMER DISCRETI	852		63.74	\$	54,301.49



University Controller
1 University of New Mexico
MSCO1 1300
Albuquerque, NM 87131

### MEMORANDUM

DATE:

July 18, 2014

TO:

David W. Harris

**Executive Vice President** 

FROM:

Elizabeth Metzger, CPA, University Controller

RE:

Information Item for Board of Regents' Finance & Facilities Committee

Meeting

The University's external auditors will present a status report on the Fiscal Year 2014 audit at the August 5, 2014 Finance and Facilities meeting. Any handouts or presentation materials will be available the day of the meeting.



### **KPMG – Main Campus and Non-Clinical Component Units**

### Planning and interim testwork was completed in May and June

- Tested internal controls
  - Expenses, revenue, capital assets, journal entries
- Conducted IT testwork
- Reviewed significant transactions
- Conducted Single Audit (federal grant) testwork

#### Final fieldwork

- Teams will enter the field between July 28 and August 9
- Anticipate delivering component unit financial statements to UNM by September 15
- Anticipate sending complete reporting package to State Auditor by late October

#### Items of interest

Mandelman – Ribak art donation

### **Moss Adams - Clinical Operations**

### Planning and interim testwork was completed in May and June

- Tested internal controls
  - Revenue, receipts, disbursements, payroll, capital assets
- Conducted IT testwork
- Reviewed significant transactions

#### Final fieldwork

- Teams will enter the field between August 4 and August 11
- Anticipate delivering all clinical operations financial statements to UNM by September 15



# Tab 15 Update from the Provost (will be provided at the meeting)

### Tab 16 (Materials under Tab 11)



July 22, 2014

TO:

**UNM Board of Regents** 

FROM:

Dr. Thomas Williams, Executive Vice Dean

SUBJECT:

**Summer 2014 Degree Candidates** 

The School of Medicine Faculty approved the Summer 2014 Degree Candidates at the July 15, 2014 General Faculty Meeting.

Included are the lists of the Summer 2014 Degree Candidates. Please do not publish the candidates that are on the privacy flag list.

### **Degree Candidate Summary\***

<b>Doctoral Degree</b>	32	
Master's Degree	31	
Bachelor's Degree	6	
Associate's Degree	0	
Total	69	

<sup>\*</sup>Final number of conferred degrees will be slightly lower due to changes in student degree status that occur until the end of the semester.

Please place this item on the next Board of Regents meeting agenda for consideration.

Thank you.

**Attachments** 

### Since of the Dean

Date:

July 23, 2014

TO:

**HSC Board of Directors** 

FROM:

Nancy Ridenour, PhD, RN, APRN, BC, FAAN

Dean, College of Nursing

SUBJECT:

**Summer 2014 Degree Candidates** 

The CON Faculty approved the Summer 2014 Degree Candidates at the July 21, 2014 Faculty Business Meeting.

Included is the list of the approved Degree Candidates. Please do not publish the candidates that are on the privacy flag list.

Degree Candidate Summary\*

Doctor of Philosophy	0
Master's Degree	0
Post-Master's Certificate	0
Bachelor's Degree (RN to BSN)	19
Bachelor's Degree (Basic Entry BSN)	0
Total	19

<sup>\*</sup>Final number of conferred degrees will be slightly lower due to changes in student degree status that occur until the end of the semester.

Please place this item on the next Board of Director's meeting agenda for consideration. I will not be able to attend the meeting on August 1. However, Dr. Beth Rodgers, Interim Research Chair will be in attendance.

Thank you.

**Attachments** 

Date: July 24, 2014

TO: HSC Board of Directors

FROM: Lynda S. Welage

Dean, College of Pharmacy

SUBJECT: Summer 2014 Degree Candidates

The COP Faculty approved the Summer 2014 Degree Candidates.

Included is the list of the approved Degree Candidates. Please do not publish the candidates that are on the privacy flag list.

Degree Candidate Summary\*

PharmD	1
Doctor of Philosophy	0

Please place this item on the next Board of Director's meeting agenda for consideration.

Thank you.

### **University of New Mexico Health Sciences Center**

### **Proposed Legislative Funding Requests for FY 2016**

### **Presented to HSC Board of Directors**

### August 1, 2014

Preliminary Ranking	Request	FY16 Requested Amount
1	Medical School I&G	TBD
2	SOM-GME Residencies General Surgery & Family Medicine \$168,000 Internal Medicine \$535,000 Psychiatry \$202,000	\$905,000
3	OMI – Utilities	\$280,000
4	Center for Childhood Maltreatment Current Estimated Total Multi-Year \$1,700,000 Request	\$779,000
5	UNM Pain Center Multi-year Request	\$3,482,600
6	New Mexico Health Workforce Analysis Program	\$275,000
7	BA/DDS Degree Planning Funds	\$440,000
8	Project ECHO	\$3,210,000
9	Expanded Dental Hygienist Faculty, Staff, Travel & Equip  Capital - Novitski Hall Remodel \$500,000 Non-Recurring Must be completed to expand the Education program.  Currently not a Capital Request.	\$762,000
10	College of Population Health	\$999,800
11	SOM Rural Medical Education Program Current Estimated Total Multi-Year \$907,500 Request	\$302,500
	Total without SOM I&G	\$11,435,900

## University of New Mexico Health Sciences Center Proposed Legislative Funding Requests for FY 2016 Presented to HSC Board of Directors

### August 1, 2014

### **Project ECHO Detail**

FY15 Existing Funding \$1,993,800

FY16 Expansion Request \$3,210,000

<u>Part A</u> \$710,000

**DOH Contract** 

Part B \$2,500,000

Improve Mental Health \$463,526

Improve Care for Diabetes & Obesity \$455,207

Building & Support CHW Training Programs \$308,372

Child Abuse & Child Trauma \$68,476

System of Support for Healthcare Workforce \$1,204,419

### UNM HSC FY 2016 Legislative Request Needs of New Mexico and Our Attempts to Address Them

Rank	Request	Amount	Description	Proposed Performance Measures/ Proposed Targets for FY 2016
1	Medical School I&G	TBD	In order to have faculty physicians who can serve the residents of New Mexico while training the new generation of doctors, the School of Medicine must hire new faculty at competitive salaries. This creates significant salary inequities with our existing faculty physicians.  The HSC is requesting funding to address the inequities related to I&G funding and also to help the SOM get all medical faculty to between the 25th and the 50th percentile of the AAMC salary schedule.	School of Medicine Faculty Retention.
2	SOM-GME Residencies (Medical Pipeline)  General Surgery & Family Medicine, \$168,000  Internal Medicine, \$535,000  Psychiatry \$202,000	\$905,000	Resident physicians are considered learners who have successfully completed medical school.	Increase the number of graduate medical education residents in the fields of General Surgery, Internal Medicine, Internal Medicine, and Psychiatry at UNM. The goal is to increase the number of physicians in the State of New Mexico. The FY2014 appropriation provided for 9 new residents. The 2015 session request, if funded, will add 9 more.  FY2014 General Fund Appropriation: \$902,400

Rank	Request	Amount	Description	Proposed Performance Measures/ Proposed Targets for FY 2016
3	Office of the Medical Investigator - Utilities	\$280,000	The OMI is the centralized, statewide medical examiner for the state of New Mexico. It is charged with performing medicolegal death investigation on all reportable deaths in the state for the purpose of determining cause and manner of death.  The OMI moved from an 18,000 sq ft	<ol> <li>During the 2013 calendar year 2,346 autopsies and 410 pathologist external examinations were performed. For calendar year 2014 it is projected that 2,158 autopsies and 843 pathologist external examinations will be performed, a 9% increase in total pathologist examinations. The projected number of autopsies for calendar year 2015 is currently being projected similar to 2014, with an estimate of 2,158 autopsies and 843 pathologist external examinations.</li> <li>During the 2013 calendar year 5,799 reportable deaths were</li> </ol>
			facility with inadequate ventilation systems and very limited equipment to a 60,000 sq ft facility. Though the building is certified as a LEED silver facility, the utilities are more than double what the cost was in the older inadequate facility.  For FY2015 utilities for OMI are budgeted to cost \$358,381.	<ul> <li>investigated. The projected number of investigations during calendar year 2014 is expected to total 6,526, a 12.5% increase. The projected number of investigations on reportable deaths for calendar year 2015 is currently being projected similar to 2014, with an estimate of 6,526 reportable death investigations.</li> <li>3. During the 2013 calendar year there were 268 paid consult autopsies performed. The projected number of paid consult autopsies performed during calendar year 2014 is expected to total 214. For calendar year 2015, the OMI expects to perform 214 paid consult autopsies.</li> </ul>
				Maintain full NAME (National Association of Medical Examiners) accreditation.  FY2014 Appropriation: \$5,025,300
4	Center for Childhood Maltreatment Current Estimate Total Multi-Year Request up to \$1,700,00	\$779,000	The establishment of a comprehensive child maltreatment program at UNM is necessary to provide excellent care to patients, and service to healthcare providers and stakeholders in multidisciplinary agencies throughout the state.	This proposal seeks to phase in the program over three years to produce the following outcomes:  1. Increase number of children receiving expert guided evaluation for child maltreatment, particularly outside metropolitan areas. Goal is more than 700 patient evaluations annually. Currently approxiamately 600 patients are evaluated between CART and Para los Ninos.
			This proposal supports the establishment of a comprehensive child maltreatment program at the University of New Mexico Children's	Increase the number of healthcare providers and others vested in child wellbeing who receive education from medical experts in child maltreatment. Goal is to document teaching efforts of faculty

Rank	Request	Amount	Description	Proposed Performance Measures/ Proposed Targets for FY 2016
	Center for Childhood Maltreatment (continued)		Hospital. It builds upon two existing clinical services: the Para los Ninos sexual abuse clinic and the Child Abuse Response Team (CART), a physical abuse and neglect service.  The program will also support the primary prevention endeavors of the New Mexico-Child Abuse Prevention Partnership (NM-CAPP). Support is necessary to fund additional staff and faculty positions enabling the program to achieve long term sustainability. The program will provide high quality clinical care, education, scholarly work, and professional collaboration essential for a multidisciplinary response to the epidemic of child maltreatment.	and all professional staff members of the child maltreatment program and establish a baseline of monthly teaching at UNM and via outreach.  3. Establishment of a comprehensive center of excellence in child maltreatment (per NACHRI guidelines) to include service, education, and capacity to identify effective strategies and programs that contribute to existing knowledge on prevention, screening, identification, and treatment of child abuse and neglect. The goal is to meet the National Association of Children's Hospitals and Related Institutions (NACHRI) standards for a center of excellence in child maltreatment.  New Request.
5	UNM Pain Center	\$3,482,600	Both chronic pain and addiction to prescription opioids are two of the largest public health crises in the United States and especially in New Mexico. For the last six years, New Mexico has been either the Number 1 or the Number 2 state in the country for unintentional opioid overdose deaths. Most patients starting opioid therapy first obtain this medication from one physician. Education in chronic pain management has been lacking in medical school, nursing school, and pharmacy school education. Most recently-graduated clinicians leave their respective trainings without the competency or self-efficacy in caring for patients with chronic pain and addiction.	<ol> <li>Provide pain and addiction continuing medical education to all New Mexico clinicians. UNM Faculty plan to educate an additional 1,500 clinicians, which would total 3,500 (50% of 7,000) clinicians statewide. UNM Pain Center Faculty and UNM HSC Faculty will educate up to 50% of all clinicians in statewide education regarding pain and addiction in New Mexico. Currently, we have educated approximately 29% (2,000 clinicians out of 7,000 statewide). Pain and Addiction training involves a multi-modal approach: live CME sponsored courses, education of referring physicians once their patients have been evaluated at the UNM Pain Center, and clinician outreach to all four corners of the state.</li> <li>Monitor health outcomes related to chronic pain and unintentional, opiate-related overdoses. We anticipate a stretch goal of 10% reduction in morphine equivalents prescribed in FY16. In order to achieve this goal in FY16 the UNM Pain Center will continue to collaborate with New Mexico Medical and Nursing Boards, the NM Departments of Pharmacy and Health regarding reduction in opioid prescribing and unintentional opioid overdoses. January-June 2013, there has been a 10% decrease in morphine milligram</li> </ol>

Rank	Request	Amount	Description	Proposed Performance Measures/ Proposed Targets for FY 2016
	UNM Pain Center (continued)		During the 2012 New Mexico State Legislative Session, Senate Bill 215 was passed. This Bill revised the Pain Relief Act and required all medical licensing boards to mandate continuing medical education (CME) training specific to Pain and Addiction. New Mexico is now one of only six states requiring CME specific to pain and addiction and requires this training for all clinicians, regardless of licensure.	equivalent dosing prescribed compared to previously reported twelve month period.,  3. Decrease the number of unintentional opiate overdose deaths. In order to achieve this goal in FY16 the UNM Pain Center will analyze data that reflecting downward trend of unintentional opiate-related death rates in New Mexico. There has not been an increase in the number of unintentional opiate-related deaths over the past year (2013), and the New Mexico Department of Health Director of Epidemiology (Dr. Michael Landen) is collaborating with the UNM Pain Center Faculty to provide the most current data. (Decreases in opiate-related overdose death rates should follow the opiate prescribing decreases.)  New Request
6	New Mexico Health Workforce Analysis Program	\$275,000	The New Mexico Health Workforce Analysis Program was created with the passage of House Bill 19 during the 2012 legislative session. HB19 authorizes the transfer of health professional licensure and survey data from the New Mexico Department of Health and the New Mexico Regulation and Licensing Department to the UNM Health Sciences Center for analysis to be used to support state-wide policies and efforts to expand the health professional workforce, and increase access to care in New Mexico.	<ol> <li>Maintain &amp; build New Mexico's healthcare, receive data from Regulation and Licensing Department, Medical Board and the Board of Nursing. Build capability to receive data from other health care boards.</li> <li>Report on demographics, practice status, active practices by locations, practice type, practice settings, education and training, patient care effort, practice plans, and barriers to practice. This will be accomplished by working with 4 more health care boards to develop the workforce survey for their board and provide support to the boards as they implement the survey and collect the data; fully analyze pipeline production of primary care providers and care providers in order to make recommendations on expansion</li> <li>Recommendation reports for New Mexico health workforce needs with particular focus on mental health in FY16</li> <li>Produce an annual report on health care providers as mandated</li> </ol>
			Our analysis in FY16 will focus on analyzing the mental health care workforce needs, recommendations for training and recruiting this workforce to areas in New Mexico that most need them, and modeling the different elements of the mental	4. Produce an annual report on health care providers as mandated by the Health Care Workforce Data Collection, Analysis, and Policy Act (24-14C-1 NMSA 1978, House Bill 19 from the 2012 Session). Provide demographic data to the State of New Mexico as stipulated in the Health Care Work Force Data Collection, Analysis, and Policy Act and bring in data on 4 additional licensed health care professions

Amount	Description	Proposed Performance Measures/ Proposed Targets for FY 2016
	health care workforce team that will be needed in each area in the future in order to improve mental health in New Mexico.	<ul> <li>5. Determine adequacy of the New Mexico Health Workforce by geography and specialty. Provide a county-by-county analysis of the adequacy of the existing health workforce compared to national averages of comparable population size</li> <li>6. Provide recommendations to the State of New Mexico on how to best meet workforce needs as stipulated in the Health Care Work Force Data Collection, Analysis, and Policy Act. Add 4 additional health care professions. Add depth to the family practice physicians, nurses and dentists to include pipeline and productivity information</li> </ul>
		New Request
\$440,000	According to an informal analysis conducted by the DOH Office of Oral Health, 26% of surveyed New Mexico third graders had active tooth decay. Tooth decay is preventable; access to dental care is a proven strategy.  New Mexico:  • ranks in the bottom quartile in the number of dentists per 1,000 population.  • has a mal-distribution of dentists with 70% practicing within a 4 county area.  • 42% of our population reside in a dental designated Health Professional Shortage Area.  The Combined BA/DDS Degree Program is designed to help address	<ol> <li>Increase the cumulative number of Dental Residents since the inception of the residency program in 2004 that practice in New Mexico upon graduation to 46 residents.</li> <li>Increase the cumulative percentage of Dental Resident since the inception of the residency program in 2004 that practice in New Mexico upon graduation to 60%.</li> <li>Increase annually the number of Dental Residents who practice in New Mexico after graduation to 7 out of a class of 10.</li> <li>Increase the annual percentage of Dental Residents who practice in New Mexico after graduation to 70%.</li> </ol>
	\$440,000	\$440,000  According to an informal analysis conducted by the DOH Office of Oral Health, 26% of surveyed New Mexico third graders had active tooth decay. Tooth decay is preventable; access to dental care is a proven strategy.  New Mexico:  • ranks in the bottom quartile in the number of dentists per 1,000 population.  • has a mal-distribution of dentists with 70% practicing within a 4 county area.  • 42% of our population reside in a dental designated Health Professional Shortage Area.  The Combined BA/DDS Degree

Rank	Request	Amount	Description are most likely to return to New	Proposed Performance Measures/ Proposed Targets for FY 2016
			Mexico to practice dentistry, as well as to encourage greater diversity of students trained as dentists.	
	BA/DDS Degree Planning Funds (continued)		Funds are requested in order to start the Combined BA/DDS program, this program will be modeled on the BA/MD program, with a few program specific adjustments. This will be a multi-year request.	
8	Project ECHO	\$3,210,000	Project ECHO (Extension for Community Healthcare Outcomes)	Current measures encompass:
			improves access to specialty care for underserved patients in urban, rural	Expand the availability of diabetes care – Target for 2016 is 60
			and underserved areas in New Mexico. The program has been very successful in providing care to rural	<ol> <li>Expand the availability of addictions and mental illness care – Target for 2016 is 50</li> </ol>
			and underserved New Mexicans.	3. Expand the availability of obesity care. Target for 2016 is 50
			Telehealth consultation between experts at the University of New	4. Expand the availability of prevention care. Target for 2016 is 50
			Mexico Health Sciences Center and primary care clinicians working in community-based clinics and	<ol> <li>Increase the knowledge base of Prisoners in HIV, Hepatitis C (HCV) and addictions. Target for 2016 is 1000.</li> </ol>
			Federally Qualified Health Centers enables the delivery of complex specialty care to patients with	<ol> <li>Increase the number of educational opportunities for providers / staff. Target for 2016 is 2,500</li> </ol>
			hepatitis C, complex care, diabetes, HIV/AIDS, chronic pain, substance use disorders, rheumatoid arthritis,	7. Provide best care for the six disease models. Target for 2016 is 11.
			palliative care, dementia, and woman's health.	Expand clinician knowledge base for chronic diseases. Total for 2016 is 4000
			Understanding the future needs of	9. Expand the availability for chronic disease care. Target for 2016 is

Rank	Request	Amount	Description	
Rank	Project ECHO (continued)	Amount	the State, Project ECHO seeks to expanded its mission and is requesting additional funding to address 1) short fall of funding from an existing DOH contract, and 2) expand services.  Project ECHO will divide its request for FY2016 into 2 parts. Part A will consist of maintaining ECHO's  current teleECHO clinic schedule with full recurring funding which had previously been reduced. Part B will consist of a new recurring funding request to expand the reach of Project ECHO in New Mexico in five target areas:  1. System of support for healthcare workforce	Proposed Performance Measures/ Proposed Targets for FY 2016 400.  Expansion Request measures entail:  1. Increase the number of Community Health Workers newly trained as Specialists in Diabetes. Target for 2016 is 60  2. Increase the number of Community Health Worker (CHW)  Specialists newly trained in Addictions & treatment of mental illness. Target for 2016 is 50.  3. Increase the number of Community Health Worker (CHW) newly trained as Specialists in Obesity. Target for 2016 is 50.  4. Increase the number of Community Health Worker (CHW) newly trained as Specialists in Prevention. Target for 2016 is 50.  5. Increase the number of Prisoners newly trained by Prison Peer Educators in HIV, Hepatitis C (HCV), and Substance Abuse
			<ol> <li>Improve mental health</li> <li>Improve care for diabetes and obesity</li> <li>Build and support CHW training programs</li> <li>Provide technical support for a new program for Childhood Abuse and Trauma Prevention in the pediatric population</li> <li>Build a technological infrastructure to support an expanded Project ECHO</li> </ol>	<ol> <li>Disorders. Target for 2016 is 1000</li> <li>Increase the number of clinician/staff attending educational events. Target for 2016 is 2500.</li> <li>Increase the number of best practice protocols established for six disease models. Target for 2016 is 11.</li> <li>Increase the number of continuing medical education credits for professional training sessions. Target for 2016 is 4000.</li> <li>Increase the number of teleECHO clinics held. Target for 2016 is 400.</li> <li>FY2014 Appropriation: \$1,993,800</li> </ol>
9	Expanded Dental Hygienist Faculty & Staff	\$412,500	Regulatory language has been developed by the New Mexico Board of Dental Health Care to implement a new law allowing dental hygienists and dental assistants to provide	Provide an educational program for Dental Hygienist & Dental Assistants to become competent in expanded functions as permitted by state statute

Rank	Request	Amount	Description	Proposed Performance Measures/ Proposed Targets for FY 2016
	Capital – Novitski Hall Remodel & Equipment \$800,000 Non- Recurring		expanded care functions. By meeting the appropriate training and certification, dental hygienists and dental assistants in the State of New Mexico will be permitted to place, pack and carve direct permanent restorations under the direct supervision of a dentist. This newly	<ol> <li>Collaborative Care provided between Dental Residents &amp; Hygienists</li> <li>Improve access to care in health care setting &amp; rural areas</li> <li>Improve Dental Hygiene Education in NM with advanced technologies</li> </ol>
	Expanded Dental Hygienist (continued)		introduced scope of practice for dental hygienists and dental assistants was enacted to increase access to care for the dentally underserved. Therefore UNM is interested in incorporating an expanded functions component into their existing curriculum for their students and offering this training for community dental hygienists and dental assistants in New Mexico.	New Request
10	College of Population Health	\$999,800	The purpose of the FY16 Legislative request for the College of Population Health (CPH) is to provide resources for the College to move forward to the implementation phase. This request provides resources for faculty and staff positions.  The new College will continue to offer the three master of public health programs currently offered by the SOM and it will expand course offerings to undergraduate students in two new courses: Introduction to Public Health and Global Health.  During the FY16 fiscal year, the CPH will pursue the development, approval and implementation of two new degrees: a Bachelor of Science	<ol> <li>Provide resources for the College of Population Health to move forward with the implementation phase. This will be accomplished by hiring faculty and staff, providing financial support for existing faculty who will move into the College from the School of Medicine. Additionally, the request covers funds for day-to-day efforts, outreach and development.</li> <li>Develop curriculum and implement an Introduction to Population Health course. Develop a course to expose students early on in their career pathway to the role population health plays in our state's well-being. In 2003 the Institute of Medicine recommended that "all undergraduates have access to education in public health".</li> <li>Develop curriculum and implement a Global Health course. Develop a course that provides an understanding of health issues from a global perspective and introduces students to theories and methods to understand the roots of social, economic, political and environmental determinants of health, with a focus on the nature of</li> </ol>

Tank 11	College of Population Health (continued)  SOM Rural Medical Education Program Fully implemented multi-year cost \$907,500	\$302,500	In Public Health (BSPH) and a PhD. in Public Health Practice.  The UNM School of Medicine Rural Medical Education Program is designed to assist with the costs associated with student travel, student housing, preceptor stipends and provide for a program coordinator.  This program will provide students the opportunity to spend time in rural areas of our state. Rural hubs will include Farmington, Hobbs, Silver City, and Taos.	Proposed Performance Measures/ Proposed Targets for FY 2016 health inequalities.  4. Develop curriculum and implement a Bachelor of Science in Public Health (BSPH). Develop a course to expose students early on in their career pathway to the role population health plays in our state's well-being. In 2003 the Institute of Medicine recommended that "all undergraduates have access to education in public health".  5. Develop and implement a PhD in Public Health Practice. Develop and seek approval for a PhD program that prepares students for the growing number of advanced professional positions in the public health, management and research arenas, to be positioned for leadership roles in health promotion and disease prevention, and to train those who in the future will staff and support public health programs in the state and nation.  New Request  Program design for years 1 thru 4  Year 1  Number of Rural Hubs = 2  Fifteen 1st year student will spend 6 weeks at hub  Year 2  Number of Rural Hubs increase to 3  Twenty 1st year students will spend 2 weeks as hub  Year 3  Number of Rural Hubs increase to 4  Twenty-five 1st year students will spend 6 weeks at hub  Twenty 2nd year students will spend 2 weeks at hub  Fifteen 3rd year students will spend 2 weeks at hub  Fifteen 3rd year students will spend 2 weeks at hub

Rank	Request	Amount	Description	Proposed Performance Measures/ Proposed Targets for FY 2016
	SOM Rural Medical Education Program (continued)			Year 4  Number of Rural Hubs increase to 5 Thirty 1st year students will spend 6 weeks at hub Twenty-five 2nd year students will spend 2 weeks at hub Twenty 3rd year students will spend 24 weeks at hub Fifteen 4th year students will spend 8 weeks at hub  New Request
	HSC FY16 Requests ithout SOM I&G	<u>\$11,435,900</u>		



### FINANCE, AUDIT, AND COMPLIANCE COMMITTE

### Resolutions Recommending Approval of Purchase of Cerner Corporation – Support and Maintenance Agreement for Software Components of the Electronic Medical Record

WHEREAS, the UNM Hospital (the "UNM Hospital") desires to purchase from Cerner Corporation a support and maintenance agreement for software components of the Electronic Medical Record. The Electronic Medical Record involves standardized physician documentation relative to UNM Hospital 's electronic health record known as PowerChart and consistent with the New Mexico Procurement Code and the University of New Mexico Purchasing Policies, and pursuant to Regents Policy 7.12, such retention must be approved by governance of the University of New Mexico (the "University"), including, without limitation, the Health Sciences Center Board of Directors (the "HSC BoD"), and the Board of Regents. The purchase of the Cerner Corporation Support and Maintenance Agreement for software components of the Electronic Medical Record is described more fully in Exhibit A attached to these Resolutions and incorporated herein as though fully set forth in these Resolutions;

WHEREAS, this Finance, Audit, and Compliance Committee (the "Committee") of the HSC BoD, having considered the Exhibit A materials and the presentations made relative to the proposed purchase of the Cerner Corporation Support and Maintenance Agreement for software components of the Electronic Medical Record and having been satisfied that the process for the purchase from Cerner Corporation, meets the requirements of the New Mexico Procurement Code, finds and concludes that the purchase from Cerner Corporation Support and Maintenance Agreement for software components of the Electronic Medical Record identified above and as described in Exhibit A should be approved.

NOW THEREFORE, BE IT RESOLVED that the Committee hereby recommends to the HSC BoD that the UNM Hospital's proposed purchase of Cerner Corporation Support and Maintenance Agreement for software components of the Electronic Medical Record as identified above and as described in Exhibit A should be approved.

ADOPTED BY A VOTE OF THE MEMBERS OF THE FINANCE, AUDIT AND COMPLIANCE COMMITTEE OF THE HEALTH SCIENCES CENTER BOARD OF DIRECTORS AT A MEETING HELD ON August 1, 2014.

By: Connad James, its Chair



### UNM Hospital Board of Trustees Recommendation to HSC Board of Directors Finance and Audit Committee August 2014

### **Approval**

(1) Cerner Corporation

Mailing Address: 2800 Rockcreek Parkway Kansas City, MO 64117 Officer Information:
Executive Vice President and CFO:
Marc G Naughton

Source of Funds: UNM Hospital Operating Funds

#### Description

Request for seven year agreement with Cerner Corporation for support and maintenance of software components of the Electronic Medical Record. This maintenance agreement is required to allow us to continue to upgrade and enhance the Electronic Medical Record (EMR) functionality and to continue to improve our Information Technology support for patient care. patient safety, patient satisfaction and process improvement. The modules to be supported by Cerner consist of all of the contracted Cerner proprietary clinical software solutions for which UNM HSC already retains the license to use, including Patient EMR Portal, Patient Scheduling, Registration, Order Management, Pharmacy Management, electronic Prescription writing and transmittal, Radiology Management, Emergency Department (ED) Tracking and Triage, ED Coding, ED Physician Documentation, Nursing Documentation of Care, Surgery Case Documentation and management, Surgery charge capture, Anesthesia medication tracking and documentation, Core Measure reporting, Lighthouse Quality management, HealthSentry Data Services, Cerner Device Manager Driver Library, Interface capability to both import and export information to and from the EMR, Global Charge entry, Intelligent Medical Objects for Acute Care and Ambulatory problems and diagnosis documentation, Lexicomp pediatric order sentences and dose range checking, ExitCare for ED and I/P, Krames, and AORN Syntegrity Content. Cerner Millennium software and any Cerner-developed content are proprietary and solely owned by Cerner Corporation. Licensed Software maintenance fees for Cerner Millennium software cover many aspects of support which include enhancements, upgrades and access to their support center services.

**Previous Contract**: This agreement reflects a renewal of the previous master maintenance agreement with Cerner Corporation that is expiring.

Previous Term: Eight years.

Previous Contract Amount: \$2,992,720 annually.

**Process:** Sole Source Procurement

Notice was posted to the New Mexico Sunshine Portal for 30 days and was uncontested. There are no other vendors that can support the Cerner based software systems currently in use.

### **Total Cost:**

Maintenance beginning Year 1	\$3,001,046
Additional Maintenance for Software to be implemented during Term	\$245,211
Escalator Years 5-7 to be no greater than 3%	\$301,016
Total cost over 7 year term	\$22,829,477

			Me	Health Science trics (Preliminary	and Unaudited)				
Benchmark		Expected	FY 2010 3rd Quarter YTD	FY 2011 3rd Quarter YTD	FY 2012 3rd Quarter YTD	FY 2013 3rd Quarter YTD	FY 2014 3rd Quarter YTD	Fiscal Year Trend	FY 2014 May 31st YTI
oproximate Cash Flow/(Use) from Operations * mounts are in thousands/	HSC	•	\$12,014	\$8,574	\$7,718	\$5,329	\$10,061		\$7,363
	UNMMG	•	\$1,888	\$17,435	\$2,632	(\$1,199)	\$5,594		\$5,332
	UNMH	•	\$25,141	\$29,007	\$22,009	\$12,533	(\$2,310)		\$6,906
	SRMC	•	NA.	NA NA	NA	(\$1,648)	\$1,032		\$2,072
proximate Cash Flow/(Use) from Operations - Operating Net I	Margin plus Depreci	ation Expense	\$12,014	\$8,574	\$7,718	\$5,329	\$10,061		\$7,363
ounts are an unusumus/									
	UNMMG	•	\$1,582	\$17,064	\$2,209	(\$1,601)	\$5,286		\$4,964
	UNMH	4	\$419	\$2,882	(\$3,749)	(\$11,124)	(\$25,995)		(\$22,383)
	SRMC	•	NA NA	NA NA	NA NA	(\$7,219)	(\$5,686)		(\$6,201)

	Health Sciences Center  Metrics (Preliminary and Unaudited)										
Benchmark	No. of the property of the	Expected	FY 2010 3rd Quarter YTD	FY 2011 3rd Quarter YTD	FY 2012 3rd Quarter YTD	FY 2013 3rd Quarter YTD	FY 2014 3rd Quarter YTD	Fiscal Year Trend	FY 2014 May 31st YTD		
et Income/(Use of Reserves) mounts are in thousands)	HSC	•	\$1,808	\$1,150	(\$3,736)	(\$1,717)	(\$413)		(\$1,791)		
	UNMMG	1	\$1,082	\$16,464	\$1,511	\$949	(\$3,198)		(\$4,360)		
	UNMR	•	\$419	\$2,882	\$349	(\$3,695)	\$13,878		\$17,490		
	SRMC	•	NA NA	NA NA	NA	(\$7,21 <b>9)</b>	(\$5,686)		(\$6,201)		
ncompensated Care mounts are in thousands)	UNIMMG & UNIMCC		\$22,980	\$29,651	\$29,366	\$36,126	\$31,903		\$35,978		
	UNMH	•	\$104,975	\$121,869	\$123,917	\$142,376	\$133,816		\$157,311		
	SRMC		NA NA	NA .	NA .	\$1,239	\$9,911		\$10,900		

		M	etrics (Prelim	inary and Una	udited)				
Benchmark		Expected	FY 2010 3rd Quarter	FY 2011 3rd Quarter	FY 2012 3rd Quarter	FY 2013 3rd Quarter	FY 2014 3rd Quarter	Fiscal Year Trend	FY 2014 May 31s
ys Cash on Hand Days of Expense in Discretionary Reserves Year End Benchmark - 10 Days	HSC	•	NA.	13.96	13.28	7.39	4.76		6.52
ACMPE 30 Days *	UNMMG	•	53.10	32.50	58.50	43.58	43.76		48.91
UHC 75 Days **	UNMH	•	63.71	66.20	58.62	46.34	61.52		57.15
UHC 75 Days ** verican College of Medical Practice Executives niversity HealthSystem Consortium	SRMC	•	NA	NA	NA	NA	34.90		29.25
ounts Receivable Greater Than Ninety Days unts are in thousands)	HSC	•	\$1,574 13%	\$1,160 20%	\$1,615 15%	\$248 2%	\$275 18%		\$221 16%
	UNMMG	•	\$5,278 19%	\$3,479 13%	\$6,235 19%	\$4,466 15%	\$6,394 17%		\$7,982 23%
	UNMH***	*	\$41,950 22%	\$60,776 26%	\$58,369 24%	\$51,080 23%	\$66,130 24%		\$74,865 29%
	SRMC ****	+	NA	NA	NA NA	NA	\$852		\$999

Health Sciences Center  Metrics (Preliminary and Unaudited)  (Amounts are in thousands)									
	Expected	FY 2010 3rd Quarter YTD	FY 2011 3rd Quarter YTD	FY 2012 3rd Quarter YTD	FY 2013 3rd Quarter YTD	FY 2014 3rd Quarter YTD	Fiscal Year Trend	FY 2014 May 31st YTD	
Proposals Submitted	•	\$178,815	\$186,997	\$189,292	\$185,768	\$211,353		\$258,429	
lwards	•	\$98,787	\$111,526	\$89,579	\$94,171	\$91,320		\$118,567	
arned F&A	•	\$15,643	\$17,506	\$16,770	\$15,568	\$16,338		\$20,201	
ontract & Grant Expense schules Cost Share, Program Income and F&A Expense)	•	\$87,652	\$92,694	\$89,619	\$90,558	\$88,518		\$110,070	



### UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER - University HSC Only\* 2014 Fiscal Year-to-Date Summary through May 31, 2014 (Preliminary and Unaudited) (In thousands)

* University MSC only includes: Som, Con, Cop, HSLIC, Admin, Research	University HSC FY 2014 Revised Budget	University HSC FY 2014 YTD 5/31/14 Actual	University HSC FY 2013 Year End Actual	University HSC FY 2014 YTD Actual to FY 2014 Revised Budget Benchmark Rate (92%)	University HSC FY 2014 YTD Actual to FY 2013 Year End Actual Benchmark Rate (92%)
1 UNM MEDICAL GROUP REVENUES	113,721	95,153	440 404		
2 UNM HOSPITALS REVENUES	120.678	121,476	110,686	84%	86%
3 SRMC REVENUES	17,010	14,467	100,233	101%	121%
4 UNM CANCER CENTER CLINICAL REVENUES	0		11,848	85%	122%
5 TUITION AND FEES	14,586	14,410	13,300	ŊA	DEC 100
6 CIGARETTE TAX APPROPRIATIONS	3,227	3,653	13,177 3,363	99%	109%
7 RPSP APPROPRIATIONS	17,238	15,811	15,924	113%	109%
8 IBG APPROPRIATIONS	62,381	57,678	60,089	92%	99%
9 I&G MAIN CAMPUS TRANSFERS	18,518	16,975	18,615	92% 92%	96%
10 F&A REVENUES (OH RETURN)	22,400	20,201	21,459	90%	91%
11 HSC/UNM INTERNAL TRANSFERS	(5,007)	(7,547)	(3,270)	151%	94%
12 MILL LEVY	0	A STATE OF THE PARTY OF THE PAR	(5,270)	N/A	231%
13 OTHER REVENUES	19,951	13,834	13,316	69%	N/A
14 CONTRACT AND GRANT REVENUES	128,092	117,849	131,070	92%	104%
			202/07	The Package Triver 3470 Can Spranning as	90%
TOTAL REVENUES —	532,795	483,960	509,810	91%	95%
15 TOTAL COMPENSATION EXPENSES					
	337,504	308,833	319,157	92%	97%
16 SUPPLIES/MEDICAL SUPPLIES	11,841	10,084	11,723	85%	86%
17 UNIVERSITY CLINICIANS PROGRAM	0	0	O	N/A	N/A
18 HOUSESTAFF 19 TRAVEL	0.5	0	0	NA NA	NA NA
	5,217	4,175	4,656	80%	90%
20 STUDENT COSTS	3,539	2,931	2,123	83%	138%
21 PATIENT CARE COSTS	893	718	852	80%	84%
22 TELEPHONE/COMMUNICATION COSTS 23 PURCHASED SERVICES	2,064	2,077	2,294	101%	91%
	23,060	21,552	24,091	93%	89%
24 OTHER MEDICAL SERVICES	0			N/A	N/A
25 SUB AWARDS/SERVICE CONTRACTS	1,250	841	1,499	67%	56%
26 OBM & LEASES	7,960	6,726	6,900	84%	97%
27 UTILITIES	5,007	4,292	4,874	86%	88%
28 DEPRECIATION	<b>一种一种一种</b>		0.00	NA THE RESERVE	NA NA
29 INTEREST EXPENSE 30 OTHER EXPENSES	2,169	1,988	2,169	92%	92%
	8,818	2,310	297	26%	778%
31 CONTRACT AND GRANT EXPENSES	119,394	110,070	122,407	92%	90%
TOTAL EXPENSES	528,716	476,597	503,042	90%	95%
OPERATING NET MARGIN	4,079	7,363	6,768		
Non-recurring items					
32 CAPITAL EXPENDITURES	2,870	1,865	find the same	Control of the Contro	F G 70 TH P G 1 P C P C P C P C P C P C P C P C P C P
33 MEANINGFUL USE REVENUE	0	1,603	1,386 0	ATT TO SEE SEAS 65% TEMPORE STREET	135%
34 RETURN ON INVESTMENT - TRIWEST		o de la companya de		N/A	N/A
35 USE OF UNMING RESERVES	Contraction of the second seco		THE PROPERTY OF THE PARTY OF TH	WA	N/A
36 RECRUITMENT/STARTUP/SCHOLARSHIPS	6,352	5,844	6,995	N/A 92%	N/A
37 NON-RECURRING TRANSFERS	864	1,445	4,478	92% 167%	84% 32%
TOTAL NON-RECURRING ITEMS	10,086	9,154	12,859	91%	71%
NET INCOME/(USE OF RESERVES)	(6,007)	(1,791)	(6,091)		



### UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER - UNM Hospitals Only 2014 Fiscal Year-to-Date Summary through May 31, 2014 (Preliminary and Unaudited) (In thousands)

#### **Clinical Operations**

	UNM Hospitals FY 2014 Revised Budget	UNM Hospitals FY 2014 YTD 5/31/14 Actual	UNM Hospitals FY 2013 Year End Actual	UNM Hospitals FY 2014 YTD Actual to FY 2014 Revised Budget Benchmark Rate (92%)	UNM Hospitals FY 2014 YTD Actual to FY 2013 Year End Actual Benchmark Rate (92%)
1 UNM MEDICAL GROUP REVENUES	0	0	0		
2 UNM HOSPITALS REVENUES	681,483	627,162	653,478	N/A	N/A
3 SRMC REVENUES	0	0	03,770	92%	96%
4 UNM CANCER CENTER CLINICAL REVENUES	0	OF PERSON		N/A	N/A
5 TUITION AND FEES	0	0		N/A	NA NA
6 CIGARETTE TAX APPROPRIATIONS		0		N/A N/A	N/A
7 RPSP APPROPRIATIONS	13,185	12,140	12,966	N/A 92%	NA NA
8 IAG APPROPRIATIONS	是一个人的一个人的一个人的一个人的一个人的一个人的一个人的一个人的一个人的一个人的	0	12,500	92% NA	94%
9 I&G MAIN CAMPUS TRANSFERS	0	0	O STATE OF THE PROPERTY OF THE PARTY OF THE	N/A	WA .
10 F&A REVENUES (OH RETURN)	0.5	0	EATER STATE OF THE STATE OF	N/A N/A	N/A
11 HSC/UNM INTERNAL TRANSFERS	0	O the second of	0		NA NA
12 MILL LEVY	91,861	84,630	91,229	N/A	N/A
13 OTHER REVENUES	7,192	9,130	11,521	92%	93%
14 CONTRACT AND GRANT REVENUES	16,731	16,305		127%	79%
		CHECOMORPH COCK OF THE PROPERTY OF	5,798	97%	281%
TOTAL REVENUES	810,452	749,367	774,992	92%	97%
15 TOTAL COMPENSATION EXPENSES	410,897	374 770	800		
16 SUPPLIES/MEDICAL SUPPLIES	139.574	374,778	392,663	91%	95%
17 UNIVERSITY CLINICIANS PROGRAM	59,793	129,267	130,787	93%	99%
18 HOUSESTAFF	59,793 27,035	54,723	53,208	92%	103%
19 TRAVEL	692	23,862	24,861	88%	96%
20 STUDENT COSTS	045	611	674	88%	91%
21 PATIENT CARE COSTS	45,101	41,000	0.00	N/A	N/A
22 TELEPHONE/COMMUNICATION COSTS	45,101 3,496	41,002	40,001	91%	103%
23 PURCHASED SERVICES	34,480	3,187	3,554	91%	90%
24 OTHER MEDICAL SERVICES	23,159	29,554	23,715	86%	125%
25 SUB AWARDS/SERVICE CONTRACTS	9,203	25,343	23,680	109%	107%
26 O&M & LEASES	9,203 16, <b>329</b>	8,501	7,947	92%	107%
27 UTILITIES		14,794	15,039	91%	98%
28 DEPRECIATION	6,171	5,740	6,118	93%	94%
29 INTEREST EXPENSE	32,230	29,289	32,885	91%	89%
30 OTHER EXPENSES	7,593	6,941	7,770	91%	89%
31 CONTRACT AND GRANT EXPENSES	22,843	24,158	20,578	106%	117%
- ·	0	0	0	N/A	N/A
TOTAL EXPENSES	838,598	771,750	783,480	92%	99%
OPERATING NET MARGIN	(28,146)	(22,383)	(8,488)		
NON-RECURRING ITEMS					
32 CAPITAL EXPENDITURES	0.00	ERVENIEND DES SON AUG	0	NA SERVICE	PAGE 17 TO THE PAGE 18 TO THE PAGE 1
33 MEANINGFUL USE REVENUE	0	0	(255)	N/A	N/A
34 RETURN ON INVESTMENT - TRIWEST	(39,873)	(39,873)	(12,678)	100%	0%
35 USE OF UNMMG RESERVES	0	0	0	N/A	315%
36 RECRUITMENT/STARTUP/SCHOLARSHIPS	0	THE THE PERSON OF THE CHARLES	0.000	N/A N/A	N/A
37 NON-RECURRING TRANSFERS	Ō	0	0	N/A	N/A N/A
TOTAL NON-RECURRING ITEMS	(39,873)	(39,873)	(12,933)	100%	
	(5-)	(03/013)	(12,933)	100%	308%
NET INCOME/(USE OF RESERVES)	11,727	17,490	4,445		
•		-/   -/		<u> </u>	<u> </u>



### UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER - UNMMG Only 2014 Fiscal Year-to-Date Summary through May 31, 2014 (Preliminary and Unaudited) (In thousands)

### **Clinical Operations**

	UNMMG FY 2014 Revised Budget	UNMMG FY 2014 YTD 5/31/14 Actual	UNMMG FY 2013 Year End Actual	UNMMG FY 2014 YTD Actual to FY 2014 Revised Budget Benchmark Rate (92%)	UNMMG FY 2014 YTD Actual to FY 2013 Year End Actual Benchmark Rate (92%)
1 UNM MEDICAL GROUP REVENUES 2 UNM HOSPITALS REVENUES	163,686	146,205	155,686	89%	94%
3 SRMC REVENUES		0	0	N/A	NA
4 UNM CANCER CENTER CLINICAL REVENUES		U	0	N/A	N/A
5 TUITION AND FEES	O COMPANY OF THE CONTRACT OF THE PROPERTY OF THE CONTRACT OF T	A CONTRACTOR OF THE PARTY OF TH	TENER DE MARKET	NA NA	N/A
6 CIGARETTE TAX APPROPRIATIONS		as take away of the area service	0 0	N/A	N/A
7 RPSP APPROPRIATIONS	O STATE OF THE PROPERTY OF THE OWNER OWNER OWNER OF THE OWNER OW		0	NA NA	N/A
8 I&G APPROPRIATIONS	0.00	THE PLANTAGE OF THE PARTY OF THE		N/A N/A	N/A
9 I&G MAIN CAMPUS TRANSFERS	Ō	O			N/A
10 F&A REVENUES (OH RETURN)	0 10 20 20 20 20 20 20 20 20 20 20 20 20 20	0.00		N/A N/A	N/A
11 HSC/UNM INTERNAL TRANSFERS	0	0		NA NA	N/A
12 MILL LEVY	0	TO THE OWNER OF THE PARTY OF TH			N/A
13 OTHER REVENUES	1,192	2,401	4,046	201%	NA FOO
14 CONTRACT AND GRANT REVENUES	0	0	0	NA NA	59% N/A
TOTAL REVENUES	164,878	148,606	159,732	90%	93%
15 TOTAL COMPENSATION EXPENSES	45.007	44.000			
16 SUPPLIES/MEDICAL SUPPLIES	15,907 0	14,339	16,233	90%	88%
17 UNIVERSITY CLINICIANS PROGRAM		0	0	N/A	N/A
18 HOUSESTAFF	OSSESSAL IN CONTROL AND COMPANIES AND COMPANIES.	Califfic and Constitution and Company	0	N/A	N/A
19 TRAVEL	O CONTRACTOR OF THE PARTY OF TH		E ESTE BENEVILLE POR SERVICE PROPERTY OF THE P	NA NA	ARTON CONTRACTOR OF THE STATE O
20 STUDENT COSTS			MARKET MONEYARES	N/A	N/A
21 PATIENT CARE COSTS	15,060	17,591	10,940	NA PROPERTY	NA NA
22 TELEPHONE/COMMUNICATION COSTS		0	10,570	117% N/A	161%
23 PURCHASED SERVICES	117,168	105,624	117,399	90%	NA NA
24 OTHER MEDICAL SERVICES	O O	0	Company of the Compan	9079 N/A	90%
25 SUB AWARDS/SERVICE CONTRACTS	0	0	Ō	N/A	N/A N/A
26 O&M & LEASES	474	400	496	84%	81%
27 UTILITIES 28 DEPRECIATION	0	0	0	N/A	N/A
29 INTEREST EXPENSE	545	368	412	68%	89%
30 OTHER EXPENSES	0	0	0	N/A	N/A
31 CONTRACT AND GRANT EXPENSES	11,428	5,320	4,439	47%	120%
	0	0	0	N/A	N/A
TOTAL EXPENSES	160,582	143,642	149,919	89%	96%
OPERATING NET MARGIN	4,296	4,964	9,813		
NON-RECURRING ITEMS				· —————————	
32 CAPITAL EXPENDITURES	0	0	0	NA COLUMN	N/A
33 MEANINGFUL USE REVENUE	0	(106)	(2,699)	N/A	4%
34 RETURN ON INVESTMENT - TRIWEST	0	0	0	NA NA	NA NA
35 USE OF UNMMG RESERVES	8,500	9,430	12,406	111%	76%
36 RECRUITMENT/STARTUP/SCHOLARSHIPS 37 NON-RECURRING TRANSFERS	No. of the contract of the con		0	N/A	NA
	0	0	0	N/A	N/A
TOTAL NON-RECURRING ITEMS	8,500	9,324	9,707	110%	96%
NET INCOME/(USE OF RESERVES)	(4,204)	(4,360)	106		· · · · · · · · · · · · · · · · · · ·
•		(-1,000)	744		



### UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER - SRMC Only 2014 Fiscal Year-to-Date Summary through May 31, 2014 (Preliminary and Unaudited) (In thousands)

**Clinical Operations** 

	SRMC	SRMC	SRMC	- Chus	
	FY 2014	FY 2014	FY 2013	SRMC FY 2014 YTD Actual	SRMC
	Revised Budget	YTD 5/31/14	Year End	to FY 2014 Revised Budget	FY 2014 YTD Actual to FY 2013 Year End Actual
		Actual	Actual	Benchmark Rate (92%)	Benchmark Rate (92%)
1 UNM MEDICAL GROUP REVENUES	0		_		
2 UNM HOSPITALS REVENUES		0 5	0 0	N/A	N/A
3 SRMC REVENUES	48,514	46,333	18,927	NA NA	NA NA
4 UNM CANCER CENTER CLINICAL REVENUES	作。 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1	0	10,927	96%	245%
5 TUITION AND FEES	0	O the second sec		NATE OF THE PARTY	NA NA
6 CIGARETTE TAX APPROPRIATIONS	0.00	SAFERINE ORGANISA	Unit Market I o Alexandra	N/A N/A	N/A
7 RPSP APPROPRIATIONS	0	O CONTRACTOR OF THE PROPERTY O	O CONTRACTOR OF THE PROPERTY O	N/A	N/A
8 I&G APPROPRIATIONS	0		O ALLES	NA WA	N/A
9 I&G MAIN CAMPUS TRANSFERS	0	0	0	N/A	NVA NVA
10 F&A REVENUES (OH RETURN)	10 to 10	0	ALEXANDRAL OSCIVIOSORS	NA NA	nya Nya
11 HSC/UNM INTERNAL TRANSFERS	0	0	0	N/A	N/A N/A
12 MILL LEVY 13 OTHER REVENUES	7,542	6,913	20,833	92%	33%
	661	395	272	60%	35% 145%
14 CONTRACT AND GRANT REVENUES		9 To Tile 10 (19 19 19 19 19 19 19 19 19 19 19 19 19 1	0.0	N/A	N/A
TOTAL REVENUES	56,717	53,641	40.500	The second second in the second second second in the second second second in the second secon	CONTRACTOR OF THE PROPERTY OF
		33,041	40,032	95%	134%
15 TOTAL COMPENSATION EXPENSES	24,994	23,801	22.24	_	
L6 SUPPLIES/MEDICAL SUPPLIES	12,041	11,196	23,364	95%	102%
17 UNIVERSITY CLINICIANS PROGRAM	1,709	944	<b>6,980</b> 117	93%	160%
18 HOUSESTAFF	110	63	44	55%	807%
19 TRAVEL	24	18	52	<b>57%</b> 76%	143%
20 STUDENT COSTS				/6% N/A	35%
1 PATIENT CARE COSTS	4,268	3,803	2,544	89%	NA NA
22 TELEPHONE/COMMUNICATION COSTS	<b>建设在2000年,1400年</b>	71	110	96%	149%
3 PURCHASED SERVICES	2,928	3,042	3,944	104%	65%
4 OTHER MEDICAL SERVICES	280	442	905	158%	77% 49%
5 SUB AWARDS/SERVICE CONTRACTS	1,140	1,140	429	100%	266%
6 O&M & LEASES	417	421	443	101%	200 % 95%
7 UTILITIES	791	715	599	90%	119%
8 DEPRECIATION	8,953	8,273	7,958	92%	104%
9 INTEREST EXPENSE	4,342	3,740	3,675	86%	102%
O OTHER EXPENSES	2,753	2,173	2,866	79%	76%
1 CONTRACT AND GRANT EXPENSES	0	0	0	N/A	N/A
TOTAL EXPENSES	64,823	59,842	54,030	92%	111%
OPERATING NET MARGIN	(8,107)	(6,201)	(13,998)		
NON-RECURRING ITEMS					<del></del>
2 CAPITAL EXPENDITURES	Consider the value of the property and			and the second s	
MEANINGFUL USE REVENUE		O MISSING IN SECTION IN SECTION IN SEC		N/A	NA NA
RETURN ON INVESTMENT - TRIWEST	TENTRE LES LA COLONIA DE LA CO			N/A	N/A
USE OF UNMMG RESERVES	O	U TOTAL STATE OF THE PARTY OF T	0	NA NA	N/A
RECRUITMENT/STARTUP/SCHOLARSHIPS			Market Sense Lorenses	N/A	N/A
NON-RECURRING TRANSFERS	O CONTRACTOR OF THE CONTRACTOR	0	0	NVA NVA	N/A N/A
TOTAL NON-RECURRING ITEMS	0	0	0	N/A	
	<del></del>		<del></del>	WA	N/A



### UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER - Consolidated HSC 2014 Fiscal Year-to-Date Summary through May 31, 2014 (Preliminary and Unaudited) (In thousands)

**Clinical and Academic Operations** 

	Consolidated HSC	Consolidated HSC	Consolidated HSC	Consolidated HSC	
	FY 2014	FY 2014	FY 2013	EV 2014 VCD 4-1-1	Consolidated HSC
	Revised Budget	YTD 5/31/14	Year End	FY 2014 YTD Actual	FY 2014 YTD Actual
		Actual	Actual	to FY 2014 Revised Budget Benchmark Rate (92%)	to FY 2013 Year End Actual
1 UNM MEDICAL GROUP REVENUES	277 407				Benchmark Rate (92%)
2 UNM HOSPITALS REVENUES	277,407	241,358	266,372	87%	91%
3 SRMC REVENUES	802,161	748,638	753,711	93%	99%
4 UNM CANCER CENTER CLINICAL REVENUES	65,524	60,800	30,775	93%	198%
5 TUITION AND FEES		0	13,300	N/A	0%
6 CIGARETTE TAX APPROPRIATIONS	14,586	14,410	13,177	99%	109%
7 RPSP APPROPRIATIONS	3,227	3,653	3,363	113%	109%
8 I&G APPROPRIATIONS	30,423	27,951	28,890	92%	97%
9 I&G MAIN CAMPUS TRANSFERS	62,381	57,678	60,089	92%	96%
	18,518	16,975	18,615	92%	91%
10 F&A REVENUES (OH RETURN)	22,400	20,201	21,459	90%	
11 HSC/UNM INTERNAL TRANSFERS	(5,007)	(7,547)	(3,270)	151%	94%
12 MILL LEVY	99,403	91,543	112,062	92%	231%
13 OTHER REVENUES	28,996	25,760	29,155		82%
14 CONTRACT AND GRANT REVENUES	144,823	134,154	136,868	89% 93%	88%
TOTAL REVENUES			230,000	4.2763.2742.435.3376.0365.544.527.54	98%
TOTAL REVENUES	1,564,842	1,435,574	1,484,566	92%	97%
15 TOTAL COMPENSATION EXPENSES	789,302	724 754			
16 SUPPLIES/MEDICAL SUPPLIES	163,457	721,751	751,417	91%	96%
17 UNIVERSITY CLINICIANS PROGRAM	61,502	150,547	149,490	92%	101%
18 HOUSESTAFF	27,145	55,667	53,325	91%	104%
19 TRAVEL		23,925	24,905	88%	96%
20 STUDENT COSTS	5,933	4,804	5,382	81%	89%
21 PATIENT CARE COSTS	3,539	2,931	2,123	83%	138%
22 TELEPHONE/COMMUNICATION COSTS	65,323	63,114	54,337	97%	116%
23 PURCHASED SERVICES	5,634	5,335	5,958	95%	90%
24 OTHER MEDICAL SERVICES	177,636	159,772	169,149	90%	94%
	23,438	25,785	24,585	110%	105%
25 SUB AWARDS/SERVICE CONTRACTS	11,592	10,482	9,875	90%	106%
26 O&M & LEASES	25,180	22,341	22,878	89%	9896
27 UTILITIES	11,969	10,747	11,591	90%	93%
28 DEPRECIATION	41,728	37,930	41,255	91%	
29 INTEREST EXPENSE	14,104	12,669	13,614	90%	92%
30 OTHER EXPENSES	45,842	33,961	28,180	74%	93%
31 CONTRACT AND GRANT EXPENSES	119,394	110,070	122,407	92%	121 <b>%</b> 90%
TOTAL EXPENSES	1,592,720	1,451,831	1,490,471	91%	97%
OPERATING NET MARGIN	(27,878)	(16,257)	(5,905)		21.70
NON DECIDENTIA TELEC		<u> </u>	(5,363)		**************************************
NON-RECURRING ITEMS					
32 CAPITAL EXPENDITURES	2,870	1,865	1,386	65%	135%
33 MEANINGFUL USE REVENUE	0	(106)	(2,954)	N/A	4%
34 RETURN ON INVESTMENT - TRIWEST	(39,873)	(39,873)	(12,678)	100%	470 315%
85 USE OF UNMMG RESERVES	8,500	9,430	12,406	111%	
6 RECRUITMENT/STARTUP/SCHOLARSHIPS	6,352	5,844	6,995	92%	76%
37 NON-RECURRING TRANSFERS	864	1,445	4,478	167%	84% 32%
TOTAL NON-RECURRING ITEMS	(21,287)	(21,394)	9,633		
		(/)	3/033	101%	-222%
NET INCOME/(USE OF RESERVES)	(6,591)	5,137	(15,538)		
			(13,330)		



### **GLOSSARY OF REPORT CATEGORIES**

GLUSSARY OF REPORT CATEGORY					
1 UNM MEDICAL GROUP REVENUES	UNM	UNMMG	UNM Hospitals	SRMC	
	Clinical revenue primarily based on Physician or Provider efforts and collection through UNMMG	Net patient services revenue including SCI & UPL from UNMMG Clinical operations	N/A	N/A	
2 UNM HOSPITALS REVENUES	Revenue from University Hospital to the School of Medicine	N/A	Hospital facility revenue based on patient billings by UNMH	N/A	
3 SRMC REVENUES	Revenue from SRMC to the School of Medicine	N/A	N/A	Hospital facility revenue based on pat billings by SRMC	
4 UNM CANCER CENTER CLINICAL REVENUES	Cancer Center patient revenue posted from the global billings and Infusion Suite net income. Clinical Adult Infusion Suite/RO/MO	N/A	N/A	N/A	
5 TUITION AND FEES	Tuition & Fees, including tuition differentials	N/A	N/A	NA	
6 CIGARETTE TAX APPROPRIATIONS	Revenues received from the State as a calculated portion of Tax Revenue on the sale of tobacco products for use in Cancer Research	N/A	N/A	N/A	
7 RPSP APPROPRIATIONS	Revenue received for current operations made available to UNM by act of NM State Legislature on an annual basis for Research, Public Service and Independent Operations		Revenue received for current operations made available to UNMH by act of NM State Legislature on an annual basis for Research, Public Service and Independent Operations	N/A	
8 I&G APPROPRIATIONS	Revenue received for current operations made available to UNM by act of NM State Legislature on an annual basis for I&G operations	N/A	N/A	WA	
9 I&G MAIN CAMPUS TRANSFERS	Partial transfer of tuition and formula workload generated by Nursing, Pharmacy and SOM	N/A	N/A	N/A	
LO F & A REVENUES (OH RETURN)	Revenue from recovery of indirect costs (F&A) incurred by a Contract or Grant also known as overhead return	N/A	N/A	N/A	
11 HSC/UNM INTERNAL TRANSFERS	All transfers to/from HSC Unrestricted Funds excluding the I&G Main Campus Transfer and Transfers for Debt Service	N/A	N/A	N/A	
2 MILL LEVY	N/A		Bernaillio Country Treasurer and remitted to UNMH as required by the Hospital	Mil levy taxes collected on behalf of the Sandoval Country Treasurer and remit to SRMC as required by the Hospital Funding Act.	
3 OTHER REVENUES	All other Revenues - not contained in any other category - Sales & Services (not Internal Service Center P18 sales), Gains on Sponsored Projects, Gifts, Endowment Spending Distributions, Investment Income, etc.		other category: Investment Income, equity loss in Tricore, donated revenue, pain/loss on sale of assets, food, nutrition, catering & vending revenue, medical	All other revenues not contained in any other category: Investment income, donated revenue, gain/loss on sale of assets, food, nutrition, catering & vend revenue, medical records revenue, rent revenue, all other non-operating revenue.	
4 CONTRACT AND GRANT REVENUES	All Restricted Funds Revenue and		Revenue associated with restricted and	N/A	
CONTRACT AND GRANT REVENUES	Unrestricted Contract Revenue for example VA contracts		unrestricted contracts and grants		



#### **GLOSSARY OF REPORT CATEGORIES**

FINANCIAL STATEMENT CATEGORY	DIT FOR THE STATE OF THE STATE	UNMMG	UNM Hospitals	SRMC
15 TOTAL COMPENSATION EXPENSES	Salary, wages & benefits	Salaries and benefits considered to be	Salary, wages & benefits	Salary, wages & benefits
		operating and certain clinical expenses of UNMMG		
		ONMING		
16 SUPPLIES/MEDICAL SUPPLIES	Office supplies, computer supplies, dues 8	N/A	Medical supplies for: lab, radiology, blood,	Medical supplies for lab radiology bloc
	memberships, postage charges,		pharmaceuticals, biologics, implantable	pharmaceuticals, biologics, implantable
	recruitment expenses, non capital		devices, office supplies, computer	devices, office supplies, computer
	equipment, computers, food, lab supplies,		supplies, photocopy expense, forms, linen,	supplies, photocopy expense, forms, line
	uniforms, training materials, etc.		food, uniforms, and training materials.	food, uniforms, and training materials.
17 UNIVERSITY CLINICIANS PROGRAM	N/A	N/A	Canadal distance and the second	
			Special clinician program to support SOM	Special clinician program to support SO!
18 HOUSESTAFF	N/A	N/A		
	77	IN/A	Reimbursement of patient care services	Reimbursement of patient care services
	1		provided by residents who are employed by SOM.	provided by SOM through UNMMG.
19 TRAVEL	Towns In all to the last of th		<u></u>	
- I III	Travel - in state, out of state, foreign, business meals, rental vehicles, new	N/A	Travel - In state, out of state, business	Travel - in state, out of state, business
	employee moving expenses, vehicle fuel		meals, rental vehicles, flights, ground	meals, rental vehicles, flights, ground
1	etc.		transportation, vehicle fuel etc.	transportation, vehicle fuel etc.
20 077 12 77				
20 STUDENT COSTS	Student tuition/fee expense, student	N/A	N/A	N/A
	travel, scholarships/fellowships, housing,			17
	insurance for students, student awards,			
	etc.			
			1	
21 PATIENT CARE COSTS	Patient Care costs for Inpatient, outpatient	Patient Care costs for inpatient, outpatient	Patient Care costs for inpatient, outpatient	Patient Care costs for innatient outnation
	care & lab, pharmacy and x-ray services	care & lab, pharmacy and x-ray services	care including Tricore laboratory expense.	care including Tricore laboratory expense
	for patients	for patients	OR Instruments, NM Insurance pool	OR Instruments, NM Insurance pool
			premiums, kidney acquisition, step down	premiums, kidney acquisition, step down
			care (snf) for IV dependent patients,	care (snf) for IV dependent patients,
			radiation oncology and patient assistance	radiation oncology and patient assistance
22 TELEPHONE COMMUNICATION COMM				
22 TELEPHONE/COMMUNICATION COSTS		N/A	Telephone, voicemail, cellular, long	Telephone, voicemail, cellular, long
	distance, paging and data networking charges		distance, paging and data networking	distance, paging and data networking
	Charges		charges	charges
23 PURCHASED SERVICES	Alarm fees, Internet fees, architectural	Includes payment to UNM SOM for	Docuitment professional target access	
	services, auditing services,	physican and other provider services	Recruitment, professional, legal, auditing,	Recruitment, professional, legal, auditing
	printing/copying/binding fees.	priyarean and other provider activities	consulting fees, promotional/graphics, IT Hosting Cerner, Siemens and PACS, safety	consulting fees, promotional/graphics, IT
	conference/event fees, honoraria,			and risk services, equifax, laundry,
	Insurance charges (general liability,		I &	malpractice and liability insurance, etc.
	professional liability), legal services,		, , , , , , , , , , , , , , , , , , , ,	maprocace and nability insulaires, each
	electronic journals & books, consultant			
24 OTHER MEDICAL SERVICES	fees, etc.			
ATTO THER MEDICAL SERVICES	N/A	N/A	Pathology contract, physician services,	Physician services
			Executive Medical Directors, Medical	
	il il		Directors, dialysis services for UNM Care	
		<u></u>	patients, OMI MOU, Adult Infusion net	
25 SUB AWARDS/SERVICE CONTRACTS	Unrestricted Sub Awards, Gain/Loss on	N/A	All service contracts	All sandra contracts
	Unrestricted Projects	·	, Jan vice contracts	All service contracts
26 O&M & LEASES	Plant maintenance and repairs, equipment	Building maintenance and renaire	Ruilding maintenance and master	Dutlette a malebana and
				Building maintenance and repairs,
				equipment repairs and maintenance,
				repair parts, equipment rent, property
		Insurance, auto insurance, facility rent	insulance, auto insulance, tacillo ment 💛	ngirance auto ingurance facilità met
	expense			insurance, auto insurance, facility rent expense, and housekeeping supplies
27 UTILITIES	expense	expense, and housekeeping supplies	expense, and housekeeping supplies	insurance, auto insurance, facility rent expense, and housekeeping supplies Natural gas, electricity, steam, sewer,



#### **GLOSSARY OF REPORT CATEGORIES**

FINANCIAL STATEMENT CATEGORY	THE RESERVE THE PROPERTY OF THE PERSON OF TH	UNMMG	UNM Hospitals	
28 DEPRECIATION  29 INTEREST EXPENSE	N/A	The annual amortization for the furniture and equipment.	The annual amortization for the capital outlay associated with building, building improvement, fixed and moveable equipment which is based on the estimated useful lives of the assets as determined by the AHA "Estimated useful lives of Depreciable Hospital Assets".	The annual amortization for the capital outlay associated with building, building improvement, fixed and moveable equipment which is based on the estimated useful lives of the assets as determined by the AHA "Estimated useful lives of Depreciable Hospital Assets".
30 OTHER EXPENSES	Transfers to cover debt service	N/A	Interest expense associated with the series 2004 FHA Insured Hospital Mortgage Revenue Bonds.	SRMC receives subsidy payments related to interest payments under the federal Build America Bond program. Under the program SRMC applies for subsidy funds commensurate with each bond payment, so the application for the subsidy is made semiannually. Also includes interest expense on the Series A & B Bonds.
	Banking fees, cost of goods sold, research costs, royalties, bad debt expense, other operating costs, Banner tax, etc.	Billings & collections expenses and other operating expenses of UNMMG	Programming, application, software and maintenance expenses, non capital equipment (less than \$5k), signs, dues & memberships, freight, postage, subscriptions, licenses/permits, Gap Tax, Intergovernmental Transfers for the SCI and IME, bond issuance costs, capital initiatives and other non-operating expenses.	Programming, application, software and maintenance expenses, non capital equipment (less than \$5k), signs, dues & memberships, freight, postage, subscriptions, licenses/permits, Gap Tax, Intergovernmental Transfers for the SCI and IME, bond issuance costs, capital initiatives and other non-operating expenses.
31 CONTRACT AND GRANT EXPENSES	All Restricted Funds Expense and Unrestricted Contract Expense for example VA contracts	N/A	N/A	N/A
32 CAPITAL EXPENDITURES	Equipment/furniture >\$5,000, Computer hardware, library acquisitions, etc.	N/A	NA	N/A
33 MEANINGFUL USE REVENUE	N/A	Medicare and Medicaid programs provide incentive payments for hospital and physicians that make meaningful use of certified electronic health record technology.	Medicare and Medicald programs provide incentive payments for hospital and physicians that make meaningful use of certified electronic health record technology.	N/A
34 RETURN ON INVESTMENT - TRIWEST	NA	NA	Dividend payments from investment in TriWest	NA
35 USE OF UNMMG RESERVES	N/A	To fund and support the mission of the Health Sciences Center,	N/A	N/A
36 RECRUITMENT/STARTUP/SCHOLARSHIPS	Chair and Startup Packages, Dean's Scholars/Professorships, and Recruitment Costs		N/A	N/A
37 NON-RECURRING TRANSFERS	Transfers from current unrestricted funds to plant funds or any other non-recurring transfers	N/A	N/A	N/A



# UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER Balance Sheet for the Health Sciences Center 2014 Fiscal Year as of May 31, 2014 (Preliminary and Unaudited) (In thousands)

		University HSC	UNM Hospitals	UNMMG	SRMC
4 Cook and soil, and the	ASSETS				
1 Cash and cash equivalents 2 Marketable securities		19,955	125,213	21,181	4,090
Receivables:		29,928	34,366	,	.,050
3 Patient, net		4 200			
4 Receivable from Affiliates		1,200 50,985	77,740	22,527	6,036
5 Estimated third party payor settlements		30,303	4,446 26,646	4,690	1,047
6 County Treasurer		-	20,040 17,517	-	1,367
7 Contracts, Grants and Other		9,793	4,75 <del>4</del>	-	1,024
8 Other		1,040	14,666	1,113	1,066 2,627
	Total Current Assets	112,901	305,348	49,511	17,257
Assets whose use is limited:					
9 Held by trustee for debt service & other		-	45,302		22 502
10 By UNM Hospital Board of Trustees		-	22,166	-	23,593
10 Board and Other Designated Assets		71,709		23,047	_
12 Endowments 13 Capital Assets, net of depreciation		105,476	-		_
Capital Assets, fiet of depreciation	TOTAL ACCETS	264,498	244,111	2,122	128,702
	TOTAL ASSETS	554,584	616,927	74,680	169,552
A Assessable Dec. of L	LIABILITIES	£			
4 Accounts Payable 5 Other accrued liabilities		4,959	32,622	4,691	4,479
6 Payable to Affiliates		<b>35,666</b>	38,395	•	2,898
17 Bonds payable - current		745	29,847	27,354	3,222
8 Interest payable bonds		843	5,240	-	4,700
9 Estimated third party payor settlements		663	3,257	-	2,710
0 Bonds payable - non current		18,182	20,050 154,180	-	420 725
1 Other liabilities - non current		24,276	7,543	-	138,725
2 Sponsored Project and Other Deferred Revenue		9,881	,,J-15 -	-	_
	TOTAL LIABILITIES	95,215	291,134	32,045	156,734
	NET POSITION				
3 Restricted - expendable grants, bequests and contributions		107,163	14,173	_	2
4 Restricted - trust indenture and debt agreement		• -	28,570	-	13,524
5 Committed and Dedicated agreements 5 Discretionary Reserve		102,062	•	23,047	,
7 Assets invested in Capital, net of related debt		4,671	185,391	17 <b>,46</b> 6	(708)
A ASSEST IN CORP. IN Corp. Inc. of Telated George	TOTAL NET POSITION	245,473 <b>459,369</b>	97,659 <b>325,793</b>	2,122 <b>42,635</b>	12.010
	U.S.		100		12,818
	Current Ratio Days Cash on Hand	2.63 N/A	2.36	1.55	2.57
	Days Cash on Hand	λι/Δ	57.15	48.91	29.25

### UNIVERSITY OF NEW ICO HEALTH SCIENCES CENTER Glossary Report Categories

			The same of the sa			
		University HSC	UNM Hospitals	UNMMG	SRMC	
	ASSETS					
1	Cash and cash equivalents	Funds on deposit with Financial Institution with UNM	Funds on deposit with Financial Institution	Funds on deposit with Financial Institution	Funds on deposit with Financial Institution, plus the remaining balance of the \$46m	
2	Marketable securities	Funds invested in securities in accordance with UNM Investment policy	Funds invested in US Treasury	N/A	N/A	
3	Patient, net	Pediatric/Newborn Transport Services, net of estimated contractual allowances and estimated bad debt	Patient Receivable after adjustments for discounts and allowances	Patient Receivable for care provided by UNM SOM facility and other practitioners, net of estimated contractual allowances and estimated bad debt	Patient Receivable for facility costs, net of estimated contractual allowances and estimated bad debt	
4	Receivable from Affiliates	Related Party Receivables for goods or services provided to UNM Hospitals, UNMMG and SRMC	Related Party Receivable from University HSC and SRMC	Related Party Receivable for negative arbitrage for SRMC	Related Party Receivable from UNMMG and UNMH	
5	Estimated third party payor settlements	N/A	Accounts Receivable for IME/GME /DSH	N/A		
6	County Treasurer	N/A	Bernalillo County Mil Levy Receivable	N/A	Sandoval County Mil Levy Receivable	
7	Contracts, Grants and Other	Sponsored project receivables and other services invoiced by UNM such as OMI, Resident Physician contracts, etc.	Accounts Receivable from Contracts/UNM MG/Special Billing/Investments	N/A	Other receivable balances. As of year end, a receivable was recorded for the insurance proceeds associated with the damage in the OR due to the	
8	Other	Prepaid contractual obligations, such as library subscription services and maintenance contacts	Prepaid Expense and Inventory	Pharmacy Inventory	flood Prepaid Expense and Inventory	
9	Held by trustee for debt service & other	N/A	Debt/Mortgage reserve funds to include collateral and intangible assets	N/A	Funds held in Trustee Accounts, Mortgage reserve funds, bond debt service accounts	
10	By UNM Hospital Clinical Operations Board	N/A	Investment in TriWest/Tricore Reference Laboratory	N/A	N/A	
11	Board and Other Designated Assets	Funds dedicated to UNM Hospitals Capital Initiatives and unexpended plant funds	14 N/A	Department, SOM Investment in UNMMG and Quality Reserves	The original bond proceeds were used to purchase GNMA's which collateralize the mortgage with Prudential	



### UNIVERSITY OF NEW CO HEALTH SCIENCES CENTER Glossary Report Categories

		University HSC	UNM Hospitals	UNMMG	SRMC
12	Endowments	Balance of endowed corpus funds generating revenue according to donor intent	N/A	N/A	N/A
13	Capital Assets, net of depreciation	Property, Plant and Equipment	Land, Property, Plant and Equipment	Furniture and Equipment	Land, Property, Plant and Equipment
	LIABILITIES				
14	Accounts Payable	Unpaid balances owed to vendors for goods and services; amounts owed to employees for expense reimbursements	Amount owed to creditors	Unpaid balances owed to vendors for goods and services; accrued payroll and other payroll benefits and taxes	Unpaid balances owed to vendors for goods and services
15	Other accrued liabilities	Payroll withholding liabilities and estimated value of compensated absences	Accrued liabilities to include payroli, compensated benefit, and Incurred but not Recognized (IBNR) State Coverage Insurance	N/A	Accrued liabilities to include payroll, compensated benefits and other payroll benefits and taxes
16	Payable to Affiliates	Unpaid balances for services provided by UNM Hospitals, and UNMMG	Unpaid balances for services provided by University HSC	Unpaid balances for services provided by University HSC for purchased services, special arrangements, and indigent distribution	Unpaid balances for services provided by University HSC, UNMMG and UNMH
17	Bonds payable - current	Debt service payment that will be due within the next twelve months	Series 2004 Bonds payable in next year - FHA Insured Hospital Mortgage Revenue Bonds	N/A	Debt service payment that will be due within the next twelve months
18	Interest payable bonds	Interest Payable on outstanding debt	Interest Payable Series 2004 Bonds (FHA Insured Hospital Mortgage Revenue Bonds)	N/A	Interest Payable on Bonds
19	Estimated third party payor settlements	N/A	Medicare/Medicald Settlements	N/A	N/A
20	Bonds payable - non current	Outstanding balance due in the long-term for bond funding	Series Bonds Payable in the long-term (FHA Insured Hospital Mortgage Revenue Bonds)	N/A	Outstanding balance due in the long-term for FHA Insured Hospital Revenue Bonds
21	Other liabilities - non current	Estimated future cost associated with Other Post Retirement Benefits (OPEB) and self-funded health insurance plan	Estimated future cost associated with Other Post Employment Benefits (OPEB) and bond premiums	N/A	The balance of the mortgage payable
22	Sponsored Project and Other Deferred Revenue	Prepaid contract and grant revenue, tuition, and other prepaid student fees	15 N/A	N/A	N/A



#### UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER Glossary of Report Categories

		University HSC		UNMMG	SRMC
	NET POSITION				
23	Restricted - expendable grants, bequests and contributions	Restricted monies used in accordance with donor intent, contracts and bequests	Restricted monies used in accordance with donor intent, contracts and bequests	N/A	Restricted monies used in accordance with donor intent, contracts and bequests
24	Restricted - trust indenture and debt agreement	N/A	Restricted monies for Debt Service, Collateral, and Mortgage Reserve	N/A	Restricted monies for Mortgage reserve, Bond Debt Service
25	Committed and Dedicated agreements	Funds that have been committed for future expenditures. Dedicated funds have a clear focused purposed with for specific use.	N/A	Department, SOM Investment in UNMMG and Quality Fund Balances	N/A
26	Discretionary Reserve	Remaining fund balance after accounting for all restricted, committed and dedicated funds	Chief operating fund for all financial resources except those accounted for in another fund	Other operating reserves	Chief operating fund for all financial resources except those accounted for in another fund
27	Assets invested in Capital, net of related debt	Property, Plant and Equipment after adjustment for related bond debt	Property, Plant and Equipment after adjustment for related bond debt	Furniture and Equipment after adjustment for related bond debt	Property, Plant and Equipment after adjustment for related bond debt



#### **MEMORANDUM**

TO:

**UNM HSC Board of Directors** 

FROM:

Jamie Silva-Steele, RN, BSN, MBA, President and Chief Executive Officer

RE:

**UNM SRMC Monthly Hospital Update** 

DATE:

July 24, 2014

#### **Operational Summary:**

- In the month of June, the average daily census (ADC) was 37.5. Thus far for July the ADC is average is 43.3. Census trended down at the beginning of June but has rebounded since the end of June and has remained high and fairly consistent for the month of July thus far. Peak census was 56 on July 22nd.
- Admissions are averaging about 9 a day in June and July. The primary source of our admissions is the Emergency Department at 60%, followed by Operating Room at 34%. The remainder is direct admissions to Behavioral Health at 5%, and the Medical floor at 3%.
- Surgical cases are exceeding targets in June and thus far for July. Outpatient Surgeries and Inpatient Surgeries exceeding targets since mid-month of June. Forty-one percent of our surgical cases are from Community Providers. GI procedures are also exceeding targets.
- Emergency Room visits are also up in June and very close to target. Transfers between UNM
  Hospital and SRMC are becoming less of a priority or need as we continue to have patients coming
  to us from within Sandoval County and more specialties in place to support the patients presenting
  to us.
- Radiology continues to exceed targets. In July we are continuing to exceed targets.
- Clinic visits in June did not meet target, however since the 4<sup>th</sup> of July holiday, we have been exceeding targets primarily because of Specialty clinic visits.
- FTE utilization remains flat while continuing to recruit and fill open positions. Our turnover from January to June is at 14.5%. This is very much improved overall from the prior six months (36%).
- Cash targets are strong for June with \$2.079M related to Mil Levy proceeds and \$682K related to SCI Allocation true up. Net Accounts Receivable (AR) > 90 days positive and exceeding target.
- We had a successful initial Joint Commission Accreditation visit at the end of May. We have been working on action plans to address indirect and direct findings. Final action plans are to be submitted July 24<sup>th</sup>.

Please let me know if you have any questions and thank you for your on-going support.



#### **MEMORANDUM**

TO:

**UNM HSC Board of Directors** 

FROM:

Jamie Silva-Steele, Interim President and Chief Executive Officer

RE:

Recovery Plan Dashboard Months End, January-June 2014

DATE:

July 23, 2014

#### **Recovery Plan Implementation Update/Target:**

Action Item #1: Enhance	e/Expand Me	edical Spec	cialty Servi	ces and Ph	ysician Ca	pacity		
-	Jan	Feb	Mar	Apr	May	June		Target
Admissions	225	240	225	271	256	262		<b>102</b> /week; <b>440</b> /month;
Census	1282	1213	1245	1192	1164	1125		<b>380</b> /week; <b>1,650</b> /month
GI Procedures	51	67	55	67	68	65		13/week; 54/month
Action Item #2: Enhance	e/Expand Su	rgical Ser	vices and P	hysician C	apacity			
	Jan	Feb	Mar	Apr	May	June		Target
Total Surgeries	299	308	300	344	327	370		<b>87</b> /week; <b>375</b> /month
Inpatient Surgeries	100	99	99	112	103	129		<b>35</b> /week; <b>150</b> /month
Outpatient Surgeries	199	209	201	232	224	241		<b>52</b> /week; <b>225</b> /month
Action Item #3: Embrac	e the Open	Medical St	aff Model		N. V. SWIII	TE WITH THE		
	Jan	Feb	Mar	Apr	May	June		Target
% Community Surgeries	36%	33%	34%	36%	37%	41%		40%
Action Item #4: Maxim	ize Inpatient	Capacity	between U	NMH and	SRMC	21 12 3		
	Jan	Feb	Mar	Apr	May	June		Target
SRMC to UNMH	32	26	28	35	31	40		< <b>7</b> /week; <b>30</b> /month
UNMH to SRMC	23	23	14	20	13	14		> 14/week; 60/month
ED Visits	1336	1057	1219	1228	1297	1295		<b>332</b> /week; <b>1,440</b> /month
Radiology Proc.	2297	2208	2299	2535	2477	2391		<b>462</b> /week; <b>2,004</b> /month
Clinic Visits	2318	2188	2259	2620	2521	2302		<b>641</b> /week; <b>2,780</b> /month
		( E O S ISS		THE WAY	E Blight		H ty	
	Jan	Feb	Mar	Apr	May	June		Target
Worked FTEs	298.59	342.86	341.45	340.99	353.49	318.70	Ž,	<b>368.45</b> FTEs
Paid FTEs	334.32	364.27	366.94	370.68	379.04	369.69		<b>409.39</b> FTEs
Action Item #6: Achi	eve Sustain	able Pro	fitability a	and Posit	ive Cash F	low		
	Jan	Feb	Mar	Apr	May	June		Target
Patient Cash Collected	4.032	4.093	4.680	4.531	4.649	4.932		\$1.185/week; \$5.136/month
Total Cash Collected	9.091	4.571	6.866	4.878	5.453*	7.781**		\$1.327/week; \$5.838/month
Net AR > 90 days	20%	14.9%	14.0%	12.8%	16%	13%		<20%
Total DNFB PFS	2.431	3.071	3.310	3.529	4.02	3,937	11.8	< \$2.532 million

<sup>\*</sup>Includes 765K Mil Levy

<sup>\*\*\$2.079</sup> M Mil Levy and \$682K SCI Allocation

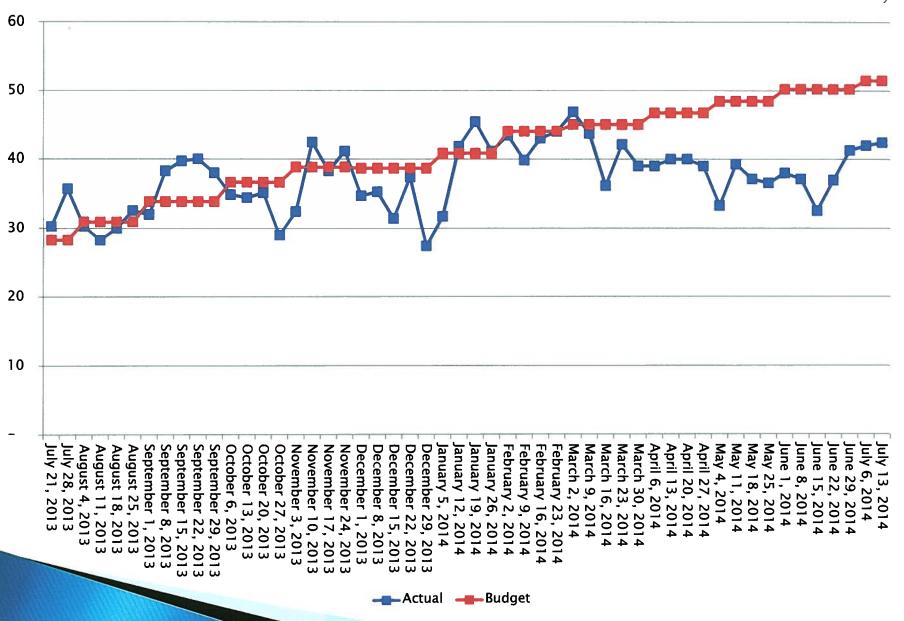


# SRMC Update

UNM HSC Board of Directors
June 2014 YTD

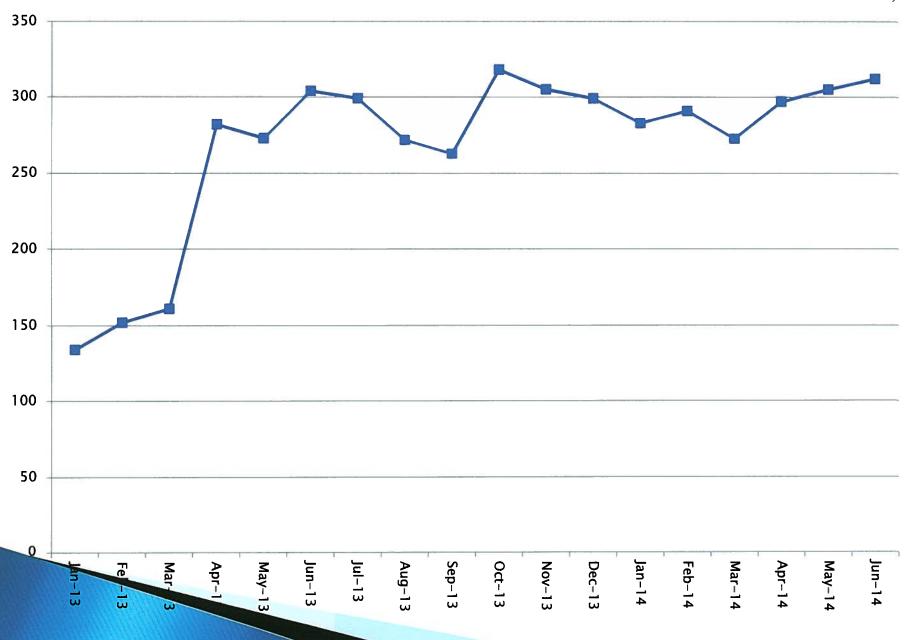


### SRMC - Average Daily Census by week



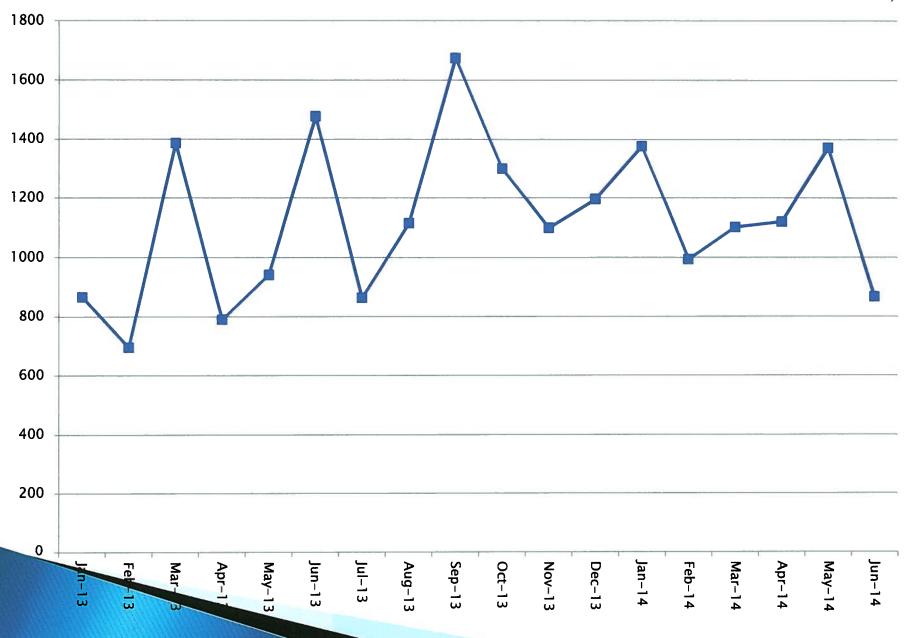


### **SRMC** – Monthly Surgeries



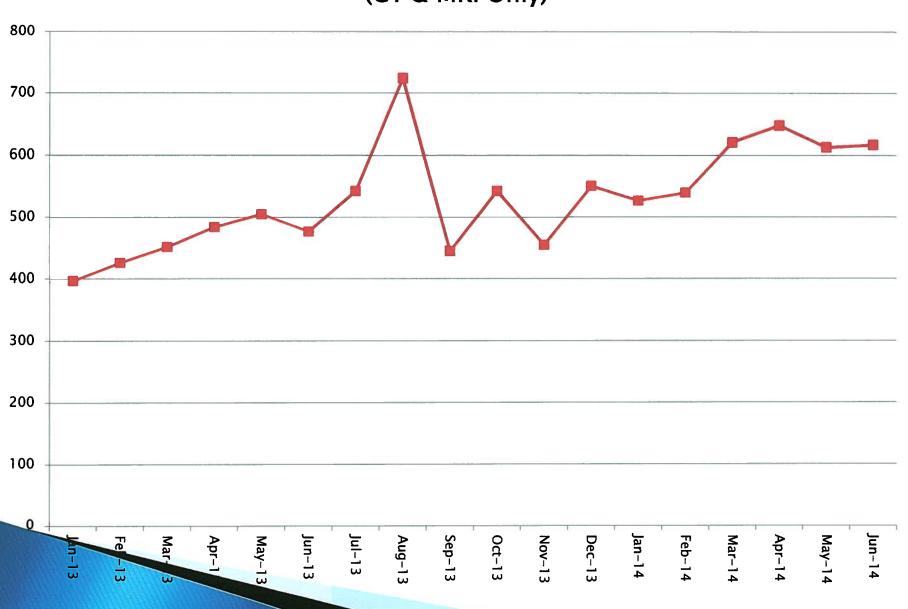


### **SRMC - Monthly ER Visits**



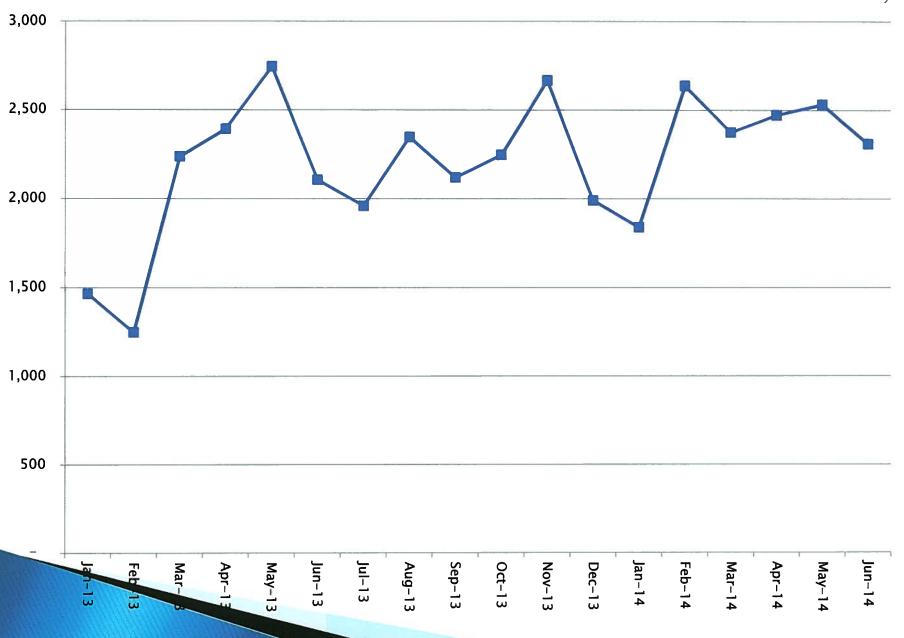


# SRMC – Monthly Radiology Visits (CT & MRI Only)





### **SRMC - Monthly Clinic Visits**



#### Report on Regents' Audit Committee Meeting June 11, 2014

The **Regents' Audit Committee** (**Committee**) held a Meeting on June 11, 2014, and took the following action:

#### **ACTION ITEMS:**

- The Committee unanimously approved the minutes.
- The Committee unanimously approved Review of UNM College of Education Teacher Preparation, Report # 2013-29.
- The Committee unanimously approved, as amended, Regents' Policy Section 3.9 Benefits of the University President (Attachment A & B).

#### **INFORMATION ITEMS:**

- <u>Advisor's Comments</u>. Dr. Richard Holder, Faculty Senate President thanked the Committee for its courtesy and noted that the Committee does important work and does it well.
- **FY15 Audit Committee Meeting Calendar**. The Committee confirmed August 21, 2014 and October 16, 2014 meeting dates.

# DRAFT OF JUNE 30, 2014 (Track changes show changes from version previously presented to the Audit Committee)

## REGENTS' POLICY MANUAL - SECTION 3.9: BENEFITS OF THE UNIVERSITY PRESIDENT

#### **Applicability**

This policy applies to the University President and spouse.

#### **Policy**

The University President is eligible to receive the same <u>employee</u> benefits provided by policy for all other University employees, including life insurance, health and accident insurance, retirement, and tuition waivers. The Board of Regents may further authorize supplemental life insurance, deferred compensation, or other <u>benefits or</u> salary supplements as part of the Regents' goal to maintain peer equity in the University President's compensation.

If expressly authorized by the Regents in furtherance of the University President's responsibilities, additional benefits such as sabbatical privileges, leaves of absence, individual professional association memberships, <u>and</u> club memberships, and dues may be provided for use in carrying out the role of University President.

The terms of the University President's compensation and benefits package are set forth in an employment agreement Agreement between the University President and the Regents. In the event of a conflict between the employment Employment agreement Agreement and the provisions of this policy, the employment Employment agreement Agreement governs.

#### **Term Life Insurance**

The University will pays reimburses the cost of the premiums onpurchase a term life insurance policy for on the life of the University President. The employment Employment agreement Agreement between the University President and the Regents specifies that the University must be named as a partial beneficiary under the policy. The premium cost for the percentage of the policy for which the University President specifies the beneficiary is to be included in the taxable compensation of the University President. The In the event that the University is the owner of the policy, the premium cost for the percentage of the policy for which the University is named as a beneficiary would is not to be included in the taxable compensation of the University President.

#### **Automobile Use**

The University President may receive an automobile allowance, payable monthly for the reimbursement of the use of personal vehicles for University purposes. The University will reimburse the University President's business-related gasoline expenses. The automobile allowance shall be set by a vote of the Board of Regents and included in the University President's contractspecified in the Employment Agreement.

#### **Travel**

The University President will receive reimbursement for reasonable travel, hotel, and other proper expenses for official University business, in accordance with UAP Policy 4030 ("Travel"). The Board of Regents will approve any requests for exceptions to the requirements of UAP 4030 on a case-by-case basis.

#### **Professional Growth**

The University will pay or reimburse the University President for reasonable expenses incurred to attend educational conferences, conventions, courses, seminars, and other similar professional growth activities.

#### Official Residence

The University President's official residence is located at 1901 Roma NE on the University campus. As required by the Board of Regents as a condition of employment, the residence will be used for <a href="work-relatedUniversity">work-relatedUniversity</a> purposes, both administrative and social. The University will provide repair and maintenance services, utilities (electricity, gas, water, sewer, <a href="cable television">cable television</a> or <a href="similar service">similar service</a>, and telephone service) for the residence. Any remodeling or major repairs in excess of twenty thousand dollars (\$20,000) must be reviewed by the Board of Regents' Finance and Facilities Committee and approved by the full Board.

In the public parts of the official residence\_the University President shall entertain visiting dignitaries and community leaders, hold receptions, meetings, fundraisers, or otherwise host a variety of events for mixed business and social purposes benefiting the interests of the University. In such instances, cooking, catering, and housekeeping services may be provided or otherwise paid for by the University at the University President's request.

#### **Discretionary Funds**

In order to assist the University President in carrying out presidential duties, the University President will have a reasonable discretionary fund made available from private funds raised by the UNM Foundation for such miscellaneous expenses as retirement or recognition gifts, purchase of tables at receptions sponsored by community organizations, and other similar expenses judged appropriate for the benefit of the University.

#### **Alcoholic Beverages**

The rReimbursement of the purchase of alcoholic beverages is prohibited except when incurred in the performance of University business, such as a hospitality event for guests of the University. Payment or reimbursement for such purchases of alcoholic beverages shall be made with University of New Mexico Foundation funds. The University President is expected to comply with UAP Policy 4000 ("Allowable and Unallowable Expenditures").

#### **Spousal Benefits**

The Regents recognize that the University President's spouse is expected to participate in the activities and operations of the University. The spouse often makes a large and uncompensated contribution to the affairs of the University. In such cases, the capacity to representBy representing and often substitute substituting for the University President at functions within the University and the community, and individual through involvement in University events, fundraising, alumni, and other activities, the spouse can provide an important function forbenefit to the University. In order for the reimbursement of a spouse's expenses to be non-taxable and excluded from the University President's gross income, the spouse must have been engaged in an activity that has a bona fide University business purpose.

Actual expenses for travel, lodging, and meals for the spouse may be paid by the University when the spouse participates in meetings, conferences, and workshops specifically related to the presidential role, and when participating in official functions such as alumni development, fundraising, and University advancement. Any <u>such University</u> related travel expenses incurred will be reimbursed according to UAP 4030 ("Travel") and other established policies and procedures <u>and be considered non-taxable</u>.

When the spouse accompanies the University President on trips and does not have a bona fide business purpose or has no specific and significant involvement in the business activity, the amount paid for these purposes such travel is taxable and must be included in the President's gross income.

#### **Annual Audit Report**

Internal Audit shall prepare and submit to the Board of Regents an annual audit report detailing the University President's travel and entertainment expenses.

# Exhibit A: Taxes Related to the Expenses of the University President's Spouse

The Internal Revenue Service (IRS) requires a spouse to have a bona fide business purpose, business activity, and significant involvement with these business activities, in order for the spouse's expenses to be non-taxable. Below are a few examples of the events that the spouse of a University President ("Spouse") may attend.

#### **Fundraising Events**

The Spouse may participate in a bona fide fundraising event; however, the Spouse must demonstrate that there is a specific and significant involvement reason for the fundraising event. It is not sufficient for the Spouse to merely state that attendance was required or presence was necessary.

An event that is not entirely a fundraising event, such as a Bowl Game or athletic event, may be treated as a bona fide fundraising event if significant business activities occur, such as entertaining current or prospective donors, or hosting University guests and other dignitaries. Additionally, the University may hold Bowl Games or athletic events at which the spouse is expected to participate in hosting.

The Spouse will provide documentation reflecting the following information:

- Names of donors at fundraising event;
- Specific actions that the Spouse performed for the fundraising event purpose, e.g., presentations or spoke with specific donors; and
- Length of time at the fundraising event.

#### **Conferences, Seminars, and Conventions**

The Spouse may attend conferences with the University President; however, mere presence or networking at conferences with other colleagues or partners will not justify a bona fide business purpose. Some conferences may offer educational programs for spouses of the University President, attending such educational programs would be treated as a bona fide business activity and the Spouse should provide sufficient documentation of registration and attendance.

When no such educational programs are offered to the Spouse, the Spouse must provide sufficient documentation of engaging in substantial business activities at the conference. Sufficient documentation may include a description of the business activity and an estimate of time spent on such activities during the conference.

#### **Community Events**

The Spouse should provide the following documentation or records for community events:

- Description of community event;
- Specific business activities engaged at community event for promotion of the University; and
- Length of time at community event.

#### **Recruitment Events**

The Spouse's participation in a dinner or other event where the purpose is the recruitment of one or more potential University employees is a legitimate business activity for which related expenses may be reimbursed, provided that the "significantly involved" standard is met. An event may be a bona fide "recruitment" event if recruitment is not the event's primary purpose, so long as significant recruitment activities are conducted at the event.

It is not sufficient to simply attend the event. Rather, the Spouse should provide written records showing:

- The names of the persons being recruited;
- A description of the manner in which the Spouse participated in the recruitment of the persons; and
- The length of time of the event and the approximate amount of time that the Spouse spent at the event on specific recruitment discussions. At least 50% of the Spouse's time should be devoted to such discussions.

#### **Award Presentations**

The University President often receives awards and is required to travel to out-of-town locations where the award is presented. In these situations, the IRS presumption is that there is not a significant business purpose for the Spouse to travel to the presentation with the President; therefore, any travel expenses of the Spouse related to the University President's receipt of an award may not be reimbursed by the University.would be taxable and included in the University President's gross income.

#### **Personal Travel Expenses**

If, in connection with any fundraising, recruitment, or other trip where the Spouse is serving a bona fide business function, the Spouse incurs personal travel expenses (for example, days when the Spouse is not engaged in University related business activities), the travel expenses related to the personal days shall not be charged to the University.

#### **Exhibit B: Documentation Form for Spousal Expenses**

This form should be used for allowable travel and non-travel expenses incurred by a University President's spouse ("Spouse") for an employee's spouse pursuant to the employee's University President's contract with the University. The form serves to document the business purpose of the spouse's participation in an activity in accordance with the policies and procedures of the University and IRS regulations. If the spouse has no specific and significant involvement in the activity, the allowable expenses paid by the University will be treated as the employee's University President's taxable income subject to W-2 reporting.

Employee Name/Title (please print)	Spouse's Name
Department	Travel Date(s)
Location(s)	Event(s)
<b>Business Purpose of Spouse's Attendance:</b>	
1. <b>Business purpose is for fundraising, recru</b> : Please indicate the names of donors or persons <u>Spouse's</u> specific actions (e.g., presentation to a persons) that furthered the fundraising, recruitn	being recruited, and describe the spouse's a group or spoke with different donors or other
	am in conferences, seminars, and conventions: and attendance; if no such educational program was activity the spouse engaged in during the

3. Length of time spouse spent at conference of	or other event:
Employee Signature	Date
Approval Signature	Date
UNRESTRICTED ACCOUNTING USE ON	LY
☐ Non-Taxable  The expenses reported on this form were necessary for University of New Mexico business purposes business purpose are in sufficient detail to suppose business expense for the University of New Mexico	ort that the expenses are a non-taxable bona fide
☐ <b>Taxable</b> The spousal expenses are allowable, but do not rethe University business; hence the expenses will withholding and W-2 reporting requirements per	be treated as taxable income subject to
Signature	Date

#### **DRAFT OF JUNE 30, 2014**

## REGENTS' POLICY MANUAL - SECTION 3.9: BENEFITS OF THE UNIVERSITY PRESIDENT

#### **Applicability**

This policy applies to the University President and spouse.

#### **Policy**

The University President is eligible to receive the same employee benefits provided by policy for all other University employees, including life insurance, health and accident insurance, retirement, and tuition waivers. The Board of Regents may further authorize supplemental life insurance, deferred compensation, or other benefits or salary supplements as part of the Regents' goal to maintain peer equity in the University President's compensation.

If expressly authorized by the Regents in furtherance of the University President's responsibilities, additional benefits such as sabbatical privileges, leaves of absence, individual professional association memberships, and club memberships and dues may be provided for use in carrying out the role of University President.

The terms of the University President's compensation and benefits package are set forth in an Employment Agreement between the University President and the Regents. In the event of a conflict between the Employment Agreement and the provisions of this policy the Employment Agreement governs.

#### **Term Life Insurance**

The University will purchase a term life insurance policy on the life of the University President. The Employment Agreement between the University President and the Regents specifies that the University must be named as a partial beneficiary under the policy. The premium cost for the percentage of the policy for which the University President specifies the beneficiary is to be included in the taxable compensation of the University President. The premium cost for the percentage of the policy for which the University is named as a beneficiary is not to be included in the taxable compensation of the University President.

#### **Automobile Use**

The University President may receive an automobile allowance, payable monthly for the reimbursement of the use of personal vehicles for University purposes. The University will reimburse the University President's business-related gasoline expenses. The automobile allowance shall be specified in the Employment Agreement.

#### **Travel**

The University President will receive reimbursement for reasonable travel, hotel, and other proper expenses for official University business, in accordance with UAP Policy 4030 ("Travel"). The Board of Regents will approve any requests for exceptions to the requirements of UAP 4030 on a case-by-case basis.

#### **Professional Growth**

The University will pay or reimburse the University President for reasonable expenses incurred to attend educational conferences, conventions, courses, seminars, and other similar professional growth activities.

#### Official Residence

The University President's official residence is located at 1901 Roma NE on the University campus. As required by the Board of Regents as a condition of employment, the residence will be used for University purposes, both administrative and social. The University will provide repair and maintenance services, utilities (electricity, gas, water, sewer, cable television or similar service, and telephone service) for the residence. Any remodeling or major repairs in excess of twenty thousand dollars (\$20,000) must be reviewed by the Board of Regents' Finance and Facilities Committee and approved by the full Board.

In the public parts of the official residence the University President shall entertain visiting dignitaries and community leaders, hold receptions, meetings, fundraisers, or otherwise host a variety of events for mixed business and social purposes benefiting the interests of the University. In such instances, cooking, catering, and housekeeping services may be provided or otherwise paid for by the University at the University President's request.

#### **Discretionary Funds**

In order to assist the University President in carrying out presidential duties, the University President will have a reasonable discretionary fund made available from private funds raised by the UNM Foundation for such miscellaneous expenses as retirement or recognition gifts, purchase of tables at receptions sponsored by community organizations, and other similar expenses judged appropriate for the benefit of the University.

#### **Alcoholic Beverages**

Reimbursement of the purchase of alcoholic beverages is prohibited except when incurred in the performance of University business, such as a hospitality event for guests of the University. Payment or reimbursement for such purchases of alcoholic beverages shall be made with University of New Mexico Foundation funds. The University President is expected to comply with UAP Policy 4000 ("Allowable and Unallowable Expenditures").

#### **Spousal Benefits**

The Regents recognize that the University President's spouse is expected to participate in the activities and operations of the University. The spouse often makes a large and uncompensated contribution to the affairs of the University. By representing and often substituting for the University President at functions within the University and the community and through involvement in University events, fundraising, alumni, and other activities, the spouse can provide an important benefit to the University. In order for the reimbursement of a spouse's expenses to be non-taxable and excluded from the University President's gross income, the spouse must have been engaged in an activity that has a bona fide University business purpose.

Actual expenses for travel, lodging, and meals for the spouse may be paid by the University when the spouse participates in meetings, conferences, and workshops specifically related to the presidential role, and when participating in official functions such as alumni development, fundraising, and University advancement. Any such University related travel expenses incurred will be reimbursed according to UAP 4030 ("Travel") and other established policies and procedures and be considered non-taxable.

When the spouse accompanies the University President on trips and does not have a bona fide business purpose or has no specific and significant involvement in the business activity, the amount paid for such travel is taxable and must be included in the President's gross income.

#### **Annual Audit Report**

Internal Audit shall prepare and submit to the Board of Regents an annual audit report detailing the University President's travel and entertainment expenses.

# **Exhibit A: Taxes Related to the Expenses of the University President's Spouse**

The Internal Revenue Service (IRS) requires a spouse to have a bona fide business purpose, business activity, and significant involvement with these business activities, in order for the spouse's expenses to be non-taxable. Below are a few examples of the events that the spouse of a University President ("Spouse") may attend.

#### **Fundraising Events**

The Spouse may participate in a bona fide fundraising event; however, the Spouse must demonstrate that there is a specific and significant involvement reason for the fundraising event. It is not sufficient for the Spouse to merely state that attendance was required or presence was necessary.

An event that is not entirely a fundraising event, such as a Bowl Game or athletic event, may be treated as a bona fide fundraising event if significant business activities occur, such as entertaining current or prospective donors, or hosting University guests and other dignitaries. Additionally, the University may hold Bowl Games or athletic events at which the spouse is expected to participate in hosting.

The Spouse will provide documentation reflecting the following information:

- Names of donors at fundraising event;
- Specific actions that the Spouse performed for the fundraising event purpose, e.g., presentations or spoke with specific donors; and
- Length of time at the fundraising event.

#### **Conferences, Seminars, and Conventions**

The Spouse may attend conferences with the University President; however, mere presence or networking at conferences with other colleagues or partners will not justify a bona fide business purpose. Some conferences may offer educational programs for spouses of the University President, attending such educational programs would be treated as a bona fide business activity and the Spouse should provide sufficient documentation of registration and attendance.

When no such educational programs are offered to the Spouse, the Spouse must provide sufficient documentation of engaging in substantial business activities at the conference. Sufficient documentation may include a description of the business activity and an estimate of time spent on such activities during the conference.

#### **Community Events**

The Spouse should provide the following documentation or records for community events:

- Description of community event;
- Specific business activities engaged at community event for promotion of the University; and
- Length of time at community event.

#### **Recruitment Events**

The Spouse's participation in a dinner or other event where the purpose is the recruitment of one or more potential University employees is a legitimate business activity for which related expenses may be reimbursed, provided that the "significantly involved" standard is met. An event may be a bona fide "recruitment" event if recruitment is not the event's primary purpose, so long as significant recruitment activities are conducted at the event.

It is not sufficient to simply attend the event. Rather, the Spouse should provide written records showing:

- The names of the persons being recruited;
- A description of the manner in which the Spouse participated in the recruitment of the persons; and
- The length of time of the event and the approximate amount of time that the Spouse spent at the event on specific recruitment discussions. At least 50% of the Spouse's time should be devoted to such discussions.

#### **Award Presentations**

The University President often receives awards and is required to travel to out-of-town locations where the award is presented. In these situations, the IRS presumption is that there is not a significant business purpose for the Spouse to travel to the presentation with the President; therefore, any travel expenses of the Spouse related to the University President's receipt of an award would be taxable and included in the University President's gross income.

#### **Exhibit B: Documentation Form for Spousal Expenses**

This form should be used for allowable travel and non-travel expenses incurred by a University President's spouse ("Spouse") pursuant to the University President's contract with the University. The form serves to document the business purpose of the spouse's participation in an activity in accordance with the policies and procedures of the University and IRS regulations. If the spouse has no specific and significant involvement in the activity, the allowable expenses

Employee Signature	Date
Employee Name/Title (please print)	Spouse's Name
Department	Travel Date(s)
Location(s)	Event(s)
	recruitment, or community events: sons being recruited, and describe the Spouse's
Business purpose is for fundraising, r Please indicate the names of donors or per	recruitment, or community events: sons being recruited, and describe the Spouse's up or spoke with different donors or other persons)
1. Business purpose is for fundraising, r Please indicate the names of donors or per specific actions (e.g., presentation to a gro that furthered the fundraising, recruitment  2. Business purpose is for educational p Please provide documentation of registrations.	recruitment, or community events: sons being recruited, and describe the Spouse's up or spoke with different donors or other persons)
1. Business purpose is for fundraising, r Please indicate the names of donors or per specific actions (e.g., presentation to a gro that furthered the fundraising, recruitment  2. Business purpose is for educational p Please provide documentation of registratio offered, please list a description of the bus	recruitment, or community events: sons being recruited, and describe the Spouse's up or spoke with different donors or other persons) , or community event purpose.  rogram in conferences, seminars, and conventions: on and attendance; if no such educational program was

paid by the University will be treated as the University President's taxable income subject to W-2 reporting.

Approval Signature	Date
University of New Mexico business p	vere necessary and proper and have been incurred for burposes. The description and length of time of the business port that the expenses are a non-taxable bona fide business exico.
-	but do not meet the bona fide business purpose to promote penses will be treated as taxable income subject to rements per IRS rules.
 Signature	Date

#### UNIVERSITY OF NEW MEXICO ALUMNI ASSOCIATION BOARD OF REGENTS REPORT August 8, 2014

**Vision** (Why we exist): The Alumni Association is a vital partner in the continued excellence of the University of New Mexico through the significant engagement of alumni.

**Mission** (What we are striving for): To serve as a bridge between alumni and the university ensuring the continued success of the university and enriching the lives of alumni.

Strengthen collaboration with campus constituents to meet the needs of UNM.

Over the summer, the **Lobos for Legislation Committee** members represented UNM by attending interim legislative committee hearings. The committee hearings included Water and Natural Resources, NM Finance Authority, Economic and Rural Development, Tobacco Settlement Oversight, and the Legislative Health and Human Services.

The Lobos for Legislation Committee is working to ensure the passage of **GO Bond "C"** for higher education through advocating and marketing strategies.

Future dates of events:

Tuesday, September 9: **Reception for Retiring Legislators**, 6:00 pm, location to be determined.

Monday, February 9: **2015 UNM Alumni Association Legislator Appreciation Reception**, La Fonda, Santa Fe, 6:00 -7:30 pm.

Karen Abraham, Executive Director, UNM Alumni Association, has been meeting with UNM Deans to discuss the results of the **2013 Alumni Attitude Survey**. The meetings are being used to determine (in light of the survey results) how the Alumni Relations Office and Alumni Association can best partner with the colleges and schools to increase alumni engagement.

The Executive Committee of the Alumni Association and the Executive Committee of the Foundation held their second **Joint Meeting** this week. Methods to enhance supporting each other's efforts on behalf of the University were discussed and planned.

Foster enduring involvement with, pride in and commitment to UNM, its colleges, schools and programs.

**'HOWL@125'** is the theme for the 2014 Homecoming activities in celebration of UNM's 125<sup>th</sup> Anniversary.

Key Homecoming Alumni events include:

- Sunday, September 21 Men's soccer game with a pre-game tailgate,
   3:00pm
- Tuesday, September 23 **Movie premiere of "UNM@125"**, Hispanic Cultural Center, 6:00 pm

Wednesday, September 24 – Faculty/Staff Alumni Appreciation Luncheon, UNM SUB Thursday, September 25 – All Alumni Open House/Silent Auction, Hodgin Hall Alumni Center, 5:30 - 8:00 pm Friday, September 26 - Alumni Tailgate and Lobo Football Game, Tailgate, Corporate lot, northwest corner of University Stadium, 3:30 pm; UNM vs Fresno State, 6:00 pm. ESPN will provide national coverage of the game. Saturday, September 27 – All University Breakfast, honoring Zia, Lobo and Inspirational Young Alumnus Award Recipients, Hotel Albuquerque, 800 Rio Grande Boulevard NW. Lobo Award: Tim Cass '87 BBA o Zia Award: John Draper '75 JD Charles Lanier '43 BA Damon Martinez '89 BA, '92 JD, '93 MBA Don Power '71 BAED '03 EMBA Ruth Schifani '70 BA '76 JD Barbara Vigil '85 JD o Inspiration Young Alumnus: Michael Chicarelli '97 BSN '11 MSN The Alumni Association and representative from the Honors College are meeting to form an Honors College Alumni Chapter. Once established, the Chapter by-laws will be presented to the Alumni Association Executive Committee for approval. Engage students in ways The Alumni Association awarded approximately \$20K in scholarships to UNM that will develop lifetime Graduate and Undergraduate students for the 2014-15 academic year. ties to UNM. The Leadership of the Alumni Association had a half day retreat with Rachel Williams, ASUNM President, Texanna Martin, GPSA President, and their respective Chiefs of Staff to discuss collaborative projects and additional ways to engage more students in campus life. Communicate effectively The Alumni Association Chapter Chile Roast Season begins this month. The San with our diverse group of Diego Chapter will hold their event on August 16 at McGregor's Grill and Ale alumni. House. McGregor's will feature a special New Mexico Green Chile Burger to commemorate the day. The Los Angeles Chapter 24th annual event will take place on August 27<sup>th</sup>. Proceeds from the Chile Roasts help to fund many Chapters' Endowed Scholarships; providing just under \$14,000 in scholarships last year. Additionally, the following chapters will hold their chili roasts between August and October - Austin, Las Vegas, Washington, DC, Chicago, and Atlanta.

Develop and steward	The Young Alumni Professional Development subcommittee hosted a young
resources to ensure a	alumni career networking event with local employers and alumni mentors on
sustained and dynamic	July 30. Volunteers conducted mock interviews and critiqued resumes. Over 50
association.	attended the first time event.
	The Young Alumni Professional Development subcommittee will partnered with
	UNM's Project for New Mexico Graduates of Color (PNMGC) and Kaplan to host
	a workshop titled, "The Path to Graduate School", on September 10.
	The Young Alumni Chapter's Social/Networking committee held its annual
	Beer and Baseball event on June 28, with over 100 in attendance. They
	partnered with Bosque Brewing Company for a microbrew tasting event. They
	are planning a networking reception at the All Alumni Open House during
	Homecoming, on Thursday, September 25, 5:30 pm.
Encourage community	The Greater Albuquerque Area Alumni Chapter (GAAAC) volunteered at the
service and leadership	Albuquerque Storehouse and distributed food and personal items to needy
among alumni and	Albuquerque residents. The Chapter provided meals for 60 residents at the
students.	Albuquerque Ronald McDonald House.
	On Thursday, October 16, the GAAAC will organize community leaders to
	initiate the <b>Professional Development Networking series</b> from 6:00 – 7:30 pm,
	Hodgin Hall Alumni Center.
	The Young Alumni Community Service Committee attended the <b>100</b> <sup>th</sup>
	Anniversary of the Albuquerque Wildlife Federation at the Valle de Oro
	Wildlife Refuge on Saturday, July 19 <sup>th</sup> .
Garner greater	The Alumni Association's <i>Lobo Living Room</i> Series continues on Thursday,
recognition and visibility	August 14 at 6:00 pm with UNM Distinguished Professor Paul Hutton
for Alumni Association	discussing Geronimo, the most famous of all the American Indian leaders. The
programs and service to	event will feature area <b>food trucks</b> outside Hodgin Hall Alumni Center. Over
the university and	200 are expected to attend.
community.	

# Minutes of the Meeting of the UNM Health Sciences Center Board of Directors June 6, 2014

#### Domenici Center for Health Sciences Education Bldg., Room 3010

UNM Health Sciences Center Board of Directors (the "Board") members present: Suzanne Quillen, Chair, Ann Rhoades, Michael Olguin, Lt. Gen. Brad Hosmer, Mel Eaves (by phone), Conrad James

UNM Leaders present: President Bob Frank

UNM Health Sciences Center ("HSC") Leaders present: Chancellor Paul Roth and other members of the

Health System and Health Sciences Center leadership

Others present: members of the HSC faculty and staff; community members

Chair Suzanne Quillen called the meeting of the Board to order at approximately 9:30 a.m. The Chair established that a quorum of the members of the Board was present.

#### Approval of Agenda

A motion was made to adopt the published agenda. The motion was seconded with a request to amend the agenda to include Governance and Nominating Committee recommendations. Lt.Gen. Brad Hosmer, GNC Chair, advised that the GNC considered a personnel action in Closed Session that will be pertinent to an item for the HSC Board of Directors' Closed Session. He alerted the Board that the GNC has a motion to recommend at the appropriate time. The motion to adopt the agenda, as amended, passed with a vote of 3-0-0. Directors James and Eaves were not available and did not vote.

#### Approval of Minutes of Prior Meeting

A motion was made to approve the minutes of the May 2, 2014 meeting of the Board. The motion was seconded. There was no discussion. The motion passed with a vote of 4-0-0. Director James was not yet present and did not vote.

#### Chancellor's Report

Chancellor Roth's report included that the UNM School of Medicine has been recognized to receive the 2014 Aspire Award of Excellence and Social Accountability of Medical Schools, that UNM Hospitals received recognition as one of the Top 50 Companies for Diversity, Kathryn Sanchez (medical student) received a national award from the American Society of Clinical Pathology, and he recognized staff who participated in the successful FitBit project as part of the Health Sciences Center Wellness Program. Discussion. Dr. Roth announced that Governor Martinez has provided a letter of support endorsing our

submittal of a special grant. Dr. Larson provided details on the special national Health Care Workforce conference we will host in August 13, 2014 at the invitation of the National State Legislators Council and noted that the award of this grant is up to \$3 Million.

#### UNM Health System Update Including a Sandoval Regional Medical Center, Inc. ("SRMC") Update

Dr. Michael Richards provided overall Health System highlights on the more detailed information provided in the agenda book. Highlights included statistics on patient days, outpatient visits, total surgeries, births, physician work RVUs, total gross patient revenues, total operating expenses. The SRMC update included information on the steady growth with inpatient volume, a plateau in the number of surgeries, a plateau on clinic visits, ER growth is stable, Radiology visit continue to increase. He discussed the building of additional physician services, productivity, and the strategy for adding new services at SRMC. Discussion was held on local market. Dr. Richards closed with an update on Health System strategic planning efforts that highlighted KSA and the Children's' Hospital. Dr. Roth noted that the Board will be invited to participate and will be kept informed on the Strategic Plan. Discussion was held on the ebb and flow of faculty staffing at SRMC, developing relationships with community practices, and increases in referral practices. Ms. Jamie Silva-Steele provided additional comment on the status of SRMC which was that overall it is going well, i.e., FTEs are stable, the first Joint Commission accreditation visit was successful, and patient satisfaction rebounding.

Dr. Roth provided input on recent VA backlog issues that have surfaced in the press recently. He noted that the Health Sciences Center continues to have a very close relationship with the VA and that the backlog is due more to staff issues rather than physician issues. Dr. Roth added that the Health Sciences Center has reached out to the VISN director and offered our services to accommodate some of the backlog. He noted that a survey of the School of Medicine faculty at the VA reflected no concerns from the faculty. Discussion.

#### **Public Comment**

There was no public comment at the meeting.

A motion was made to adjourn the open session and to convene in closed session. The motion was seconded. There was no discussion. The motion passed with a vote of 3-0-0.

Director James joined the meeting at approximately 11:30 a.m.

At the end of closed session, a motion was made to reconvene in open session, a certification that only those matters described in agenda item VIII were discussed, and that the Board vote to approve proposals discussed in closed session as to the limited personnel matters presented related to the

performance evaluation of the Chancellor. The motion was seconded. There was no discussion. The motion passed with a vote of 4-0-0.

Finance, Audit, and Compliance Committee ("FACC")

**FACC Action Items** 

**Consent Agenda Items** 

As consent agenda items, a motion was made to approve the Request for the Approval of the Resolutions Authorizing the Establishment of UNM School of Medicine Department of Pediatrics Quasi-Endowment Funds and the Request for the Approval of the Resolutions for Approval of Purchase of Computerized Inventory Control Equipment and Support from CareFusion Solutions, LLC. The motion was seconded. There was no discussion. The motion passed with a vote of 4-0-0.

**FACC Information Items** 

Quarterly Update: HSC Strategic Plan and Dr. Paul Roth's Performance Plan

Dr. Richard Larson provided a quarterly update on the HSC Strategic Plan with a positive overall trajectory and noted that in August a report will be provided on the end of the fiscal year. Discussion. Two items that are currently reflected as "red" are SRMC obtaining a positive monthly net operating margin and increasing the number of patients served by patient navigation.

Discussion was held on the remaining information items as well as on the format of today's meeting.

A motion was made to adjourn the meeting. The motion was seconded. No discussion; with a vote of 4-0-0 in favor. Motion passed.

Minutes were prepared by Patrice Martin and fi	nalized on June 11, 2014.
Approval of Minutes:	
Regent Suzanne Quillen, Chair	 Date

#### Attachments:

"Resolutions Recommending Approval for the Authorization of the Establishment of UNM School of Medicine Department of Pediatrics Quasi-Endowment Funds"

"Resolutions for the Recommendation of Approval of the Purchase of Computerized Inventory Control Equipment and Support from CareFusion Solutions, LLC"



UNM Hospital Board of Trustees May 30, 2014 Meeting Minutes Pavilion Conference Room 1500

Agenda Item	Subject/Discussion	Action/Responsible Person
Voting Members Present:	Michael Olguin, William Lang, Christine Glidden, Michelle Coons, Nick Estes, Debbie Johnson, Warren Laskey, Raymond Loretto, Jerry McDowell	
Ex-Officio Members Present:	Stephen McKernan, Michael Richards, Donna Sigl, Paul Roth, David Pitcher	
County Officials Present:	Emily Madrid	
I. Call to Order	A quorum being established, Michael Olguin, Chair, called the meeting to order at 9:12 a.m.	
II. Adoption of Agenda	The Chair, Mr. Michael Olguin, requested a motion to adopt the agenda, as submitted.	Ms. Michelle Coons made a motion to adopt the agenda. Ms. Debbie Johnson seconded the motion. There being no objections, the motion carried.
III. Public Input	Nicola Trevisan, Staff Representative 1199, stated that for five years, the hospital has informed employees wage increases have not been budgeted and that last week, wage negotiations were reinstated. The amount offered to employees is considered offensive. She further noted the other hospitals in town remain committed to their employees and that the board should be concerned about this because our employees are upset.	
IV. Announcements	Mr. Stephen McKernan announced the hospital had received a designation received from DiversityInc.	
V. Approval of Minutes	UNM Hospitals Board of Trustees meeting minutes for:	

	Agenda Item	Subject/Discussion	Action/Responsible Person
		April 25, 2014 Board of Trustees Meeting	Ms. Debbie Johnson made a motion to approve the minutes of the April 25, 2014, Board meeting. Mr. William Lang seconded the motion. There being no objections, the motion carried.
		May 16, 2014 Nominating Committee Meeting	Ms. Michelle Coons made a motion to approve the minutes of the May 16, 2014 Nominating Committee meeting. Ms. Debbie Johnson seconded the motion. There being no objections, the motion carried.
VI.	Consent Agenda	Following review of the consent item, the Chair, Mr. Michael Olguin, requested a motion to approve the consent item.	Dr. Warren Laskey made a motion to approve the item on the consent agenda. Mr. Bill Lang seconded the motion. There being no objections, the motion passed unanimously.
VII.	Board Initiatives	The Chair, Mr. Michael Olguin presented the nominations identified by the Nominating Committee to be approved in July.	
		Mr. Stephen McKernan requested the board members review and sign the annual Conflict of Interest Certification.	
		Mr. Jim Pendergast presented on Human Resources Turnover Rates.	
		Ms. Darlyne Hawkins presented on Patient Satisfaction scores.	
VIII.	Administrative Reports	HSC Chancellor Report – Dr. Roth reported we will begin seeking support for 2 healthcare policies we are interested in securing legislative support on. Strategic Planning begins around the fall, but we will begin modifying the strategic plan over the next 12 months.	Dr. Paul Roth
		CEO Report – The CEO report is in the packet.	Mr. Stephen McKernan
		CMO Report - The CMO report is in the packet.	Dr. David Pitcher
IX.	Updates	Financial Report Card – The financial dashboard was in the book.	
L			

	Agenda Item	Subject/Discussion	Action/Responsible Person
X.	Committee Reports	Performance Oversight & Community Engagement Committee The Performance Oversight & Community Engagement Committee met on May 23, 2014.	Mr. William Lang
		Finance and Audit Committee  The Finance and Audit Committee report is in the Board book. The Committee Chair, Ms. Michelle Coons, noted the presentation by Moss Adams on the scope of audit for UNMH.	Ms. Michelle Coons
XI.	Other Business	None	
XII.	Closed Session	At 9:24AM, the Chair, Mr. Michael Olguin, requested a motion to close the open session of the meeting to the public for purposes of discussion and determination, where appropriate, of limited personnel matters per Section 10-15-1.H (2); and discussion and determination, where appropriate of matters subject to the attorney-client privilege regarding pending or threatened litigation in which UNMH is or may become a participant, pursuant to Section 10-15-1.H (7); and discussion of matters involving strategic and long-range business plans or trade secrets of UNMH pursuant to Section 10-15-1.H (9), NMSA.	Mr. William Lang made a motion to move to closed session. Mr. Jerry McDowell seconded the motion. The motion passed unanimously.
XIII.	Certification	After discussion and determination where appropriate, of limited personnel matters per Section 10-15-1.H (2); and discussion and determination, where appropriate of matters subject to the attorney-client privilege regarding pending or threatened litigation in which UNMH is or may become a participant, pursuant to Section 10-15-1.H (7); and discussion of matters involving strategic and long-range business plans or trade secrets of UNMH pursuant to Section 10-15-1.H (9), NMSA, the Board certified that no other items were discussed, nor were actions taken.	
XIV.	Vote to Re-Open meeting	At 11:46AM, the Chair, Mr. Michael Olguin, requested a motion to be made to return the meeting to open session.	Mr. William Lang made a motion to return to open session. Ms. Debbie Johnson seconded the motion. The motion passed unanimously.
		The Chair, Mr. Michael Olguin, requested a motion to be made that the Board accept the minutes of the meeting of those committees that were presented in Closed Session to acknowledge for the record that those minutes were, in fact, presented to, reviewed, and accepted by the Board and for the Board to accept and approve the recommendations of those Committees as set forth in the	Ms. Michelle Coons made a motion to accept the minutes presented by the committees. Ms. Debbie Johnson seconded the motion. The motion passed unanimously.

Agenda Item	Subject/Discussion minutes of those committees meetings.	Action/Responsible Person
XV. Adjournment	The next scheduled Board meeting will be July 25, 2014 @ 9:00 am. There being no further business, the Chair, Mr. Michael Olguin, adjourned the meeting. The meeting was adjourned at 11:A7M.	

Michelle Coons, Secretary
UNM Hospital Board of Trustees



## **SRMC Board of Directors Meeting Minutes**

May 28, 2014

8:00 a.m. - 11:00 a.m.

UNM Sandoval Regional Medical Center, 4th Floor Board Room Teleconference 1-877-820-7831 Passcode 565350# Rio Rancho, New Mexico

1.0	CALL TO ORDER AND CONFIRMATION OF QUORUM	
	Dr. Paul Roth called the meeting to order and a quorum was confirmed	Accept as information
	at 8:03 a.m.	
2.0	VOTE TO APPROVE THE AGENDA	
	Dr. Paul Roth presented the Agenda to the Board for review.	Upon a motion and a
		second, the Board
		voted to approve the
		agenda. Motion passed
		unanimously.
3.0	VOTE TO APPROVE THE MINUTES OF APRIL 23, 2014 MEETING	
	Dr. Paul Roth presented the Minutes to the Board for approval.	Upon a motion and a
		second, the Board
		voted to approve the
		minutes. Motion
		passed unanimously.
4.0	ANNOUNCEMENTS FROM DIRECTORS	
4.1	Dr. Roth thanked SRMC Leadership for a successful Joint Commission	Accept as information
	(TJC) survey.	
4.2	Ms. Silva-Steele thanked Drs Williams and McGrew for their service and	
4.2	dedication to the SRMC Board as this is their last meeting.	
	dedication to the Shirie board as this is their last meeting.	
4.3	Ms. Silva-Steele stated that Mr. RainBird (absent) extended his thanks to	
	Ms. Joyce Naseyowma-Chalan and Mr. McKernan for representing the	
	UNM Health System at the recent tribal health summit.	
5.0	PUBLIC COMMENT	
	None	
6.0	OLD BUSINESS	
6.1	Marketing Update	Accept as information
	Ms Melissa Romine provided an update on all ongoing marketing	
	activities.	
	<ul> <li>Press release has been sent out announcing the Community</li> </ul>	
	Speakers Bureau.	
	Mailers sent to Rio Rancho Elementary School students	
	advertising Family Pracitice Clinic/ER and hours of operation.	
	SRMC representation at the Cuba Senior Health Center health	
	fair and Wylder Lecture Series sponsored by the NM Pediatrics	
	Society.	
	Ongoing Native American Outreach.	
	Physician Outreah meeting with SRMC Leadership confirmed	
	for June 26th.	<u> </u>



7.0	REPORTS FROM SRMC COMMITTEES	
7.1	Finance/Audit Committee	Upon a motion and a
7	· · · · · · · · · · · · · · · · · · ·	second, the Board
	Mr. McKernan gave a verbal update on the May 15, 2014 Finance/Audit Committee meeting and presented the minutes of April 17, 2014 for	·
	<del>*</del> '	voted to accept the
	acceptance by the Board.	minutes. Motion
		passed unanimously.
7.2	Ms. Darlene Fernandez presented the 2012 IRS 990 Resolution for	Upon a motion and a
	approval.	second, the Board
		voted to approve the
		2012 IRS 990. Motion
		passed unanimously.
7.3	Ms. Darlene Fernandez presented the following consent items for	Upon a motion and a
	approval.	second, the Board
	<ul> <li>Remaining 2013 Purchases over \$250k</li> </ul>	voted to approve all
	<ul> <li>FY 2014 Operational Purchases over \$250k</li> </ul>	consent items . Motion
	FY 2014 Revised Capital Listing	passed unanimously.
8.0	CEO REPORT	
8.1	Ms. Jamie Silva-Steele stated that in the month of April, the average	Accept as information
	daily census (ADC) was slightly higher than last month. Med-Surg ADC	WWw.
	trended down with Behavioral Health trending up.	
	Inpatient Surgical cases were steady from last month with Outpatient	
	Surgeries up for the month. Clinic volumes were up in Primary Care.	
	Emergency Room visits were also up from prior month. Radiology	
	exceeded last month's target at 648 cases. FTE utilization remains flat	
	while continuing to recruit and fill open positions.	
8.2	Ms. Silva-Steele announced that SRMC offered the CFO position to	
	Darlene Fernandez who has been at SRMC in the interim CFO role since	Ananayee
	August.	
8.3	SRMC just completed a very successful Joint Commission site visit.	
-	Management worked very hard to prepare for this visit.	
8.4	The Bariatric Surgery program was surveyed on May 2nd by the	
0.4	American College of Surgeons (ACS). The site visit was very successful	
	and we anticipate notice any day on our attainment of ACS Level 2-New	
	Accredited Bariatric Center.	
0 =	Board Comments/Questions	
8.5	None to report	
9.0	CMO REPORT	
9.1	Dr. Ogburn presented a PowerPoint on strategies to increase volumes at	Accept as information
J.1	SRMC.	
	➢ Goal	
	<ul> <li>Increase clinical volume 20% in next 6 months</li> </ul>	



Cal CCI	ucr, inc.	***************************************
	Strategies	
	<ul> <li>Identify community and system needs</li> </ul>	
	<ul> <li>Surgical capacity</li> </ul>	
	<ul> <li>Primary care</li> </ul>	
	<ul> <li>Behavioral Health</li> </ul>	
	<ul> <li>Signature Services</li> </ul>	
	<ul> <li>Providers dedicated to SRMC</li> </ul>	
	Increasing admissions	
	IR lab	
	<ul> <li>Renal, Surgical Services</li> </ul>	
	<ul> <li>Teleneurology</li> </ul>	
	<ul> <li>Infectious Disease/Outpatient Antibiotic therapy (OPAT)</li> </ul>	
	Sleep lab	
	<ul> <li>Increasing to 7 nights/week</li> </ul>	
	Behavioral Health	
	<ul> <li>Expanded outpatient services</li> </ul>	
	<ul> <li>Flexibility on age for admissions</li> </ul>	
10.0	FINANCIAL REPORT	
10.1	Ms. Darlene Fernandez reviewed the SRMC financials through April 30,	Accept as information
	2014. Please refer to the May 28, 2014 financial presentation for	
	detailed information.	Ms. Fernandez included
		the Financial Indicator
		Ratio Definitions as a
		reference for members
		of the Board.
THE RESIDENCE OF THE PARTY OF T	SRMC BOARD OF DIRECTORS INITIATIVES	
11.1	Amended Bylaws and Committee Structure	Ms. Silva-Steele asked
	Ms. Silva-Steele mentioned that the SRMC amended bylaws were	for recommendations
	recently approved by the HSC Board of Directors (BoD) and UNM Board	for the two additional
	of Regents. As such, she reviewed and explained the new composition	Community member
	of the SRMC BoD which includes two additional Community members.	positions.
	The terms of Board of Director positions were also reviewed.	Dr. Roth asked that the
	The terms of board of offector positions were also reviewed.	Board make a
		recommendation for a
		Vice-Chair of the SRMC
		BoD at the next
		meeting.
		meeting.
11.2	Ms. Silva-Steele made a recommendation for Approval of Re-	Upon a motion and a
11.2	appointment of the Finance & Strategic Planning and Audit &	second, the Board
	Compliance Committee Chair, Mr. Steve McKernan.	voted to approve re-
	Compliance Committee Chan, W. Steve Wellerhan.	appointment of Mr.
		McKernan.
-		1
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11.3	Ms. Silva-Steele made a recommon formed Patient Safety and Qualit Richards.	Upon a motion and a second, the Board voted to approve Dr. Richards as chair of the Patient Safety and Quality Committee.		
12.0	CLOSED SESSION			
12.1	Motion was made to close the O	oen Session and convene in Close	d	
	Session; the motion was seconde	d and passed unanimously.		
12.2	Dr. Roth presented the Closed Se	ssion Agenda to the Board for rev	/iew	Upon a motion and a
				second, the Board voted
				to approve the agenda.  Motion passed
				unanimously.
13.0	RECONVENE IN OPEN SESSION			
13.1	Certification that those matters of	liscussed in Closed Session and is:	suance	
	of final action of such items inclu	ding:		
13.2	· ·	closed session to include but not	limited	
	to Medical Staff Privileges and Co	ommittee meeting minutes.		
13.3	Now Applicants			Unon a motion and a
13.3	New Applicants Arnold, John P., AAC	Hospital Based / Anesthesiology	UNM	Upon a motion and a second, the Board
	, , , , , , , , , , , , , , , , , , , ,	, and the same of	<b>-</b>	voted to approve those
	Gutierrez, Theresa CNP	Surgery / Surgical Oncology	UNM	providers requesting
	Hallstrom, Jon J., MD	Hospital Based / Radiology	UNM	Medical Staff Privileges
				and Committee
	Kraai, Erik P., MD	Medicine / Critical Care	UNM	meeting minutes.
	Leachman, David P., MD	Hospital Based / Anesthesiology	UNM	Motion passed unanimously.
	McCormick, Anita K., CRNA	Hospital Based / Anesthesiology	UNM	
	Rajput, Sunita, DO	Surgery / Physical Med & Rehab	UNM	
	Shunick, Jennifer A. CRNA	Hospital Based / Anesthesiology	UNM	
	Spassil, Nicholas R., AAC	Hospital Based / Anesthesiology	UNM	
	Watson, Ryan Stephen, AAC	Hospital Based / Anesthesiology	UNM	
	Young, Elizabeth K., MD	Hospital Based / Radiology	UNM	
, , ,	Reappointments			Upon a motion and a
13.4	Abriola, Sergio MD	Medicine	ABQ HP	second, the Board voted to approve
	Amour Alogo CND	Madicina	11818.6	reappointments for
	Anaya, Alace CNP	Medicine	UNM	Abriola-White. Motion
				passed unanimously.
L				L 1-2222 21.21.11110 43171



NLCF, INC. Arizaga, Maria MD	Medicine/ Psychiatry	UNM
Bakkar, Rania MD	Hospital Based/ Pathology	UNM
Beauchamp, Mark L., MD	Surgery/ Otolaryngology	UNM
Beckley, Akinlolu S., MD	Surgery/General Surgery	UNM
Belmamoun, Maher MD	Medicine/Internal Medicine	ABQ HP
Boivin, Michel A., MD	Medicine/Critical Care	UNM
Brown, Lee K., MD	Medicine/Sleep Medicine	UNM
Busby, Helen K. MD	Medicine/Pulmonary Medicine	UNM
Chang, Betty MD	Medicine/Pulmonary Medicine	UNM
Crook, Joy E., MD	Hospital Based/Emergency Medicine	UNM
Damron, James R., MD	Hospital Based/ Radiology	UNM
Dettmer, Todd MD	Hospital Based/Emergency Medicine	UNM
Doezema, David MD	Hospital Based/Emergency Medicine	UNM
Duhigg, Daniel DO	Medicine/ Psychiatry	UNM
Espey, Eve L., MD	Surgery/ Gynecology	UNM
Fields, Roderick A. MD	Medicine/ Rheumatology	UNM
Franklin, Barbara A., CNP	Medicine/Family Practice	SRMC
Gabrys, Kirby D., MD	Medicine/ Nephrology	RMA
Hartshorne, Michael F., MD	Hospital Based/ Radiology	UNM
lerides, Loutsios K., MD	Medicine/ Cardiology	ссс
Khan, Fazal M., MD	Medicine/ Psychiatry	UNM
Komesu, Yuko M., MD	Surgery/ Gynecology	UNM
Kundeling, Sonam P., MD	Medicine/ Nephrology	RMA
Mandeville, Katherine MD	Hospital Based/Emergency Medicine	UNM
McLean, Alan Robb MD	Hospital Based/Emergency Medicine	UNM
Montanez, Lauri L., CNP	Medicine/Pulmonary Medicine	ABQ HP
Price, Christina MD	Hospital Based/Emergency Medicine	UNM
Rangel, Mia, CRNA	Hospital Based/ Anesthesiology	UNM
Rendon, Patrick MD	Medicine/ Hospitalist	UNM



(43 - 5./5	HELF, HIK.			
	Rodgers, Sophia C., CNP	Medicine/ Hospitalist	UNM	
	Romero, Leonard MD	Medicine/ Nephrology	RMA	
	SantaCruz, Karen MD	Hospital Based/ Pathology	UNM	***************************************
	Shetty, Anil K., MD	Surgery/Plastic Surgery	UNM	A CONTRACTOR OF THE CONTRACTOR
	Thomas, Kari J., PAC	Surgery/ Orthopedics	ABQ HP	
	Valdez, Celia B., MD	Medicine/Family Practice	UNM	
	Vandergon, Dirk MD	Medicine/ Cardiology	ABQ HP	
	Vazquez Gullamet, Rodrigo MD	Medicine/Pulmonary & CC	UNM	
	Wascher, Daniel MD	Surgery/ Orthopedics	UNM	
	Webb, Heather MD	Hospital Based/Emergency Medicine	UNM	
	White, Jenna M., MD	Hospital Based/Emergency Medicine	UNM	
	Evnancione			Upon a motion and a
13.5	Expansions Abriela Sergio MD	Medicine/IM	ABQ HP	second, the Board
	Abriola, Sergio MD	•	ABUTIP	voted to approve
		Ventilator Management		expansions for Abriola-
		2. Ventilator Management Complex,		· ·
		including BiPAP		Vazquez Gullamet.
	Beckley, Akinlolu S., MD	Surgery/General Surgery	UNM	Motion passed
		<ol> <li>Laparoscopic nissen</li> </ol>		unanimously.
		fundoplication (Antireflux		unaiminously.
		surgery)		
		<ol> <li>Advanced Laparoscopic</li> </ol>		
		procedures		
	Boivin, Michel A., MD	Medicine/CC	UNM	The state of the s
	, , , , , , , , , , , , , , , , , ,	Internal Medicine Core, not to		
		include: Brest Cyst aspiration,		
		Remove non-penetrating corneal		
		foreign body, nasal foreign body,		
		Venous Cutdown		
		2. Lumbar Puncture		
		3. Ventilator Management		
		4. Ventilator Management Complex,		
		including BiPAP Insertion and		
		Management of Pulmonary		1
		Artery Catheters		
	Busby, Helen K., MD	Medicine/Pulmonary Med	UNM	
		1. EKG Interpretation		**************************************
		2. Lumbar Puncture		Parameter Annie Parameter Anni
		3. Exercise testing-treadmill		
		Ultra Sound Guided Procedures		]
	Chang, Betty MD	Medicine/Pulmonary Med	UNM	
	Chang, octty MD	Limited Ultra Sound Guided	Q14141	
		Procedures		
	Dubing Daniel DO	•	UNM	
	Duhigg, Daniel DO	Medicine/Psychiatry  1. Telemedicine	OMM	
		1. Telemedicine		
				1



MINUTES RECORDED BY: Ms. Geraldine Vallejos

rai ec	THEF, THE.					
	Gabrys, Kirby D., MD	Medicine/Nep	hrology		RMA	
		<ol> <li>internal f</li> </ol>	Medicine Cor	е		
	lerides, Loutsios K., MD	Medicine/Cardiology (			CCC	
		<ol> <li>EKG Inter</li> </ol>	pretation			
	Kundeling, Sonam P., MD	Medicine/Nep			RMA	
			vledicine Cor	e		
	Romero, Leonard MD	Medicine/Nep			RMA	
			Medicine Cor			
	Vazquez Gullamet, Rodrigo MD	Medicine/Pulr			UNM	
12.0			ry Medicine (	Core		tafannatian Oak
13.6	Resignations & Application					Information Only
	McGlasson, Nolan J., PAC	Medicine/Cardiology	ccc	Resignation fr	om CCC	
	Mock, Cyndia CRNA	Hospital	UNMH	Resignation fr	om UNMH	
		Based/Anesthesiology				
-	Marine Kentener T. Dl. D.	Medicine/Psychology	Southwest	Resignation fr	am SDNAC	
	Wiggins, Kathryn T., Ph D	Medicine/Fsychology	Cares	Medical Staff	OIII SHIVIC	
			Cares			
	   Motion was made to approv	va ganeral ratifica	ition of ite	ms in clase	Ч	
***************************************		-	icion or icc	1113 111 01030	u .	
	session, second; unanimous					
14.0	ADJOURNMENT/NEXT MEE	A HALLO DE LA CASA DEL CASA DE LA CASA DEL CASA DE LA C				
	Motion was made to adjour	n the meeting, se	cond; una	nimously		
1	approved. Meeting adjourn	ed at 10:56 a.m.				
	'					
	The next meeting will be he	ld on lung 25, 20	1.4 at 8:00.	.11:00am a	+ 118184	
	,	•				
	SRMC, Board Room or Telec	conterence at 1-8	//-820-/8	31 PC 2023	5U#	
\$105.410.4551 navitabiliti22840				DERANGEN MEDITANERA DECEMBER	201202124022022222	
		MEMBERS/GUES		NDANCE		
Board	Members Present:	Staff Members P			Guests F	
Dr. Pau	ul Roth	Ms. Jamie Silva-S	teele		Ms. Rosa	alyn Nguyen
Mr. Sto	eve McKernan	Dr. Tony Ogburn			Mr. Sterling Fluharty	
Ms. Ch	narlotte Garcia	Ms. Darlene Fern	andez		Ms. Mel	issa Romine
Mr. Je	rry Geist	Ms. Pam Demare	''''		Ms. Laur	a Cicarella
l l	ad Cushnyr	Ms. Correen Bale				
1	chael Richards					
121 . IVII	CHUCI MCHULUS	<u> </u>			SKI Petindan Grandan Almani	



## CARRIE TINGLEY HOSPITAL ADVISORY BOARD Meeting Minutes March 24, 2014 CTH Outpatient Board Room – 1<sup>st</sup> floor

Agenda/Subject#	Discussion				Status	Due Date	Responsible Party
Attendance 3/24/14	CTH Advisory Board: Sarah Nelson-Howse, Neal Doren, Dana Allen, Nancy Greger, MD, Phil Prevender, Erich Marchand, MD, Michael Shannon. UNMH: Maribeth Thornton, Dale Hoekstra, MD; Edward Rose, MD; Denise Taylor, MD; Paul Herzog, COO Excused: Doris Tinagero Absent: Heidi Overton, Regent				N/A		
I. Call to Order	Meeting was call	ed to order l	y Sarah Nelso	n-Howse at 4:06 pm.			
II. Approval of the January 27, 2014 Minutes.	Action: Sarah Nelson Howse moved to approve the 1/27/14, Michael Shannon seconded the motion. No opposition, vote was unanimous.				1/27/14 meeting minutes were approved as written.	NA	NA
III. Public Input	No public input.						
IV. Reports A.	YTD through December 31, 2013	YDT	YTD Budget	Prior YTD	Maribeth Thornton reported to Dr. Hoekstra that Finance is		
	Orthopaedics	411	301	315	still working on the		
	Rehabilitation	166	193	130	breakdown of surgery		
	Newborns	399	270	299	billing. For years not all		
	Pediatrics	924	1,340	1,356	physicians who see		
	Average LOS	4.39	5.06	6.09	patients at CTH have been counted (it gets		
	Discharges	433	416	345	counted on the UNMH side), therefore we do		
	Outpatient Clinic Visits				not look as busy as we are.		
	2 <sup>nd</sup> Floor Peds	3,220	3,637	3,663	Doris to follow-up at		
	Ortho	6,151	6,332	6,308	233 to 100 w ap at		



	1 <sup>st</sup> Floor Peds	4,801	4,828	4,828	the May Advisory	
	Outreach	218	194	194	Board meeting.	
	Operations	Inpt: 106	93	79		
		Outpt: 132	139	153		
	Implants	184	176	175		
	YTD through	YDT	YTD	Prior YTD		
	January 31,		Budget			
	2014					
	Orthopaedics	448	351	339		
	Rehabilitation	235	225	177		
	Newborns	482	315	347		
	Pediatrics	1,081	1,563	1,525		
	Average LOS	4.47	5.06	5.58		
	Discharges	502	485	428		
	Outpatient Clinic Visits					
	2 <sup>nd</sup> Floor Peds	3,654	4,243	4,193		
	Ortho	7,154	7,387	7,419		
	1 <sup>st</sup> Floor Peds	5,512	5,632	5,613		
	Outreach	239	226	235		
	Operations	Inpt: 114	101	83		
		Outpt: 143	151	169		
	Implants	211	205	190		
B. Medical Director	Dr. Hoekstra sub	mitted a writt	en report pric	or to today; he went	The Shrine hospitals will	
Peds Orthopaedic	into further deta	il on the Shrin	e Hospital. As	Dr. Hoekstra	get a plan together and	
Dale Hoekstra, MD	indicated, the Sh	rine Hospital I	raise money fo	or patients who are in	Dr. Hoekstra will bring it	
	need of services	(now using P	resbyterian lo	cally) but Shrine feels	to the CTH Advisory	
		,		riolation. Their Los	Board.	
	Angeles Hospita					
	Shrine hospital h					
	Silling Hospital I	ias to problem	SOIVE LITERIISE	-11.		



Denise Taylor, MD Physical Medicine and Rehabilitation	Second item was Dr. Erica Bennett, who does cleft surgeries would like to incorporate Child Life and Social Work into her clinics and would like support from CTH.  Dr. Hoekstra thanked the CTH Foundation for purchasing the Riser table.  A discussion ensued regarding the Child Life staff: for clarification we have one staff members who is a CTH employee and 1 staff who is a UNMH employee.  Dr. Hoekstra indicated that CTH parking continues to be an issue. There are times parking services cannot distinguish between patient cars and employees or students parking in the lot since there are no longer parking passes given out. A short discussion ensured.  Dr. Taylor updated on Michele Raddish, MD, FAAP, she recently joined the Department of Pediatrics and is .15 at CTH where she helps with the neurodevelopmental and TBI clinics.  Dr. Azizi is also .15 at CTH, Department of Neurosurgery, also helps with the neurodevelopmental clinics.  Dr. Taylor continues her participation in the UNM Pain Task Force, community effort to educate healthcare providers throughout the state on the Management of Chronic Pain with controlled substances in the pediatric population.  Parain Injury Awareness Walk and Roll 2014: Tiguex Park (near Old Town) Saturday March 29, 2014 from 9:00 am to 12:00 noon,	Dr. Bennett will come up with a proposal for her cleft pallet/lip clinic and how CTH can help and submit to Dr. Hoekstra, he will share with the Advisory Board in May. Dr. Marchand made an informal motion to explore Child Life services, what would it take to provide these services to the surgery/cleft palate area for a 1 day/month service.  Information only. No follow-up needed.
	The same of the sa	



	and the state of t		
	organized by the Brain Injury Alliance of New Mexico.		
	► April is Child Abuse Prevention month		
	▶11 <sup>th</sup> Annual Governors' Ball for NM Children: Isleta Resort and		
	Casino, Saturday, April 5, 2014, 5:30 pm to 11:00 pm; hosted by		
	the Rotary Club of Albuquerque Del Sol		
	▶ Precious Gems Gala, Saturday May 10, 2014, Hotel		
	Albuquerque in Old Town; 5:30 pm to 10:30 pm. Organized by		
	the UNM NM Child Abuse Prevention Partnership.		
Edward Rose, MD	Update of Children's Hospital/Issues	Continue to recruit and	
Medical Director, Children's Services	Pediatric Specialty Staffing Bimonthly Update:	report on bi-monthly basis.	
	GI: have hired both Chief and junior faculty to start this summer.		
	Currently interviewing for third full time position and scheduling possible applicants for 2015 fourth position. Also working on part		
	time permanent person to start in fall		
	<u>Cardiology</u> : Chief candidate has come for fourth visit and nearly		
	certain to sign. Still waiting to hear about junior faculty person		
	who interviewed several months ago and he is awaiting his		
	contract offer		
	Pulmonary: started recruiting and locums search. Prior Chief		
	might return to position. Still awaiting word		
	Endocrinology: per Dr. Greger.		
	Rehab: per Dr. Taylor in her report.		
	1		



	<u>Urology/ENT</u> : still trying to hire second/third person respectfully  General thoughts: extremely cautious optimism at this time c/w prior months.	
	Strategic Planning: RFP (Request for Proposals) is being written at this time under auspices of Dr. Mike Richards. Contributions have been solicited from Chairs of Pediatrics/Ob Gyn and myself. Goal range from planning over governance/leadership, facility planning, finances, staffing, 5 and 10 years strategic goals, etc. Current hope is select consultant within next month or two and start process sometime this summer.	
C). Executive Directors	People: Paul F. Herzog has been named Chief Operating Officer at University of New Mexico Hospital overseeing clinical issues including inpatient, outpatient and ancillary areas. Herzog has over 20 years of executive level healthcare experience in a variety of settings including rural district, inner-city and community hospitals.  Quality Report Card: Attached is the Quality Report Card for the Children's and CTH area (Discussion by Maribeth).  Ambulatory Best Practices: The ambulatory "chassis" represents a supporting frame of core processes that will be implemented across all outpatient clinics in a standard fashion. By implementing these Health System best practices developed by ambulatory staff, the organization will ensure a consistent patient experience across ambulatory clinics. This "chassis" reflects processes from the point of scheduling through discharge. The Pediatric areas will be starting the rollout in May and all of these sites will be completed by mid-June. Below is the high flow diagram that shows the	Maribeth will talk to Doris about  1). When query with all the surgeons is completed by finance, will they go back and correct the numbers from previous months?  2). Occasional physicians at CTH, do the surgeries that are scheduled as a result of a clinic visit does CTH get credit?  3). Dr. Bennett clinics and f/u with Child Life and Social Work. Report back at the May CTH Advisory Board meeting.



	standardized pr	ocesses.				
D). CTH Foundation Phil Prevender	Foundation Mud Albertsons. The team for CTH sta will focus on the revenues from the the children of C 2). Beyond limit	Id Volleyball Tou CTH Foundation off and Beachfro mission of the O me event go to e TH and their far ats Adaptive Spor coundation expect the camp expositive activities in ass. Some of the acting, tennis, sw TH Advisory boa akfast at Camp V	CTH Foundation a quipment and promities. The Camp: Is scheets 70 campers to eschildren with a corder to encouractivities at camping and when ard members will which Is an opposi-	ted by omplimentary This year's event and the fact that ograms that help duled for June 2-participate in special needs to age life-long or include elchair receive an	Continue to follow and monitor area of impacts.	CTHF
	TOTAL	287	\$105,095			
E). Chair	Sarah Nelson-Ho Member, has off Her position will BOT and Regents	icially resigned a be vacant. Cha	as of last meeting rlie Lopez, once a	g January 2014.	Follow-up on nominations in May	



V. Old Business	A. Charlie Lopez, potential member approval. Charlie Lopez, was he has gone through the approval process can replace parent member, Lisa Rossignol.  By-Laws were emailed to the CTH Advisory Board members and approved, no material changes. The will go on through the approval process and in July come back to CTH Advisory Board.	Once By-Laws are approved Diane will file a new set in your Advisory Board binder.	
VI. New Business	N/A		
VII. Vote to Close	N/A		
VIII. Vote to Re- Open Session	N/A		
IX. Adjournment	Motion was made and seconded to adjourn tonight's meeting.  No opposition, vote was unanimous.	Meeting was adjourned at 5:40 pm	

Rest	ectfully	/ Submitted	bv:

Diane J. Romero, Administrative Assistant

APPROVED:

()() Chair



## CARRIE TINGLEY HOSPITAL ADVISORY BOARD Meeting Minutes May 19, 2014 CTH Board Room – 1<sup>st</sup> floor

Agenda/Subject #	Discussion				Status	Due Date	Responsible Party
Attendance 5/19/14	Erich Marchand, Maribeth Thornt Herzog, COO Excused: Phil Pro MD Absent: Heidi O	MD, Michae on, Dale Hoe evender, Da verton, Stud	el Shannon. <b>U</b> ekstra, MD; De na Allen, Neal ent Regent	Nancy Greger, MD, , NMH: Doris Tinagero, enise Taylor, MD; Paul I Doren, Edward Rose,	N/A		
I. Call to Order	Meeting was called to order by Sarah Nelson-Howse at 4:05 pm.				Approval of 3/24/14		210
II. Approval of the March 24, 2014 Minutes.	Action: No Quorum for a	<u>Action:</u> No Quorum for approval of minutes.				NA	NA
III. Public Input	No public input.						
IV. Reports A.	YTD through March 31, 2014	YDT	YTD Budget	Prior YTD	Ella Watt will be invited to attend the September 22, 2014	9/22/14	
	Orthopaedics	559	451	418	meeting so that she can		
	Rehabilitation	253	289	270	report on the FY14		
	Newborns	562	405	390	wrap up.		
	Pediatrics	1,595	2,009	2,052	The FY15 budget is		
	Average LOS	4.64	5.06	5.62	completed by UNMH in March so that it can go		
	Discharges	640	623	557	to the Regents for approval.		
	Outpatient Clinic Visits				Dr. Hoekstra		



	2 <sup>nd</sup> Floor Peds	4,667	5,455	5,511	commented that a		
	Ortho	8,990	9,497	9,463	census by a resident	351	
	1 <sup>st</sup> Floor Peds	6,890	7,240	7,313	revealed we bring in		
8	Outreach	294	290	310	50% more May –		
	Operations	Inpt: 154	121	105	October than October –		
		Outpt: 253	182	198	May.		
	Implants	261	262	247		(4	
B. Medical Director	Dr. Hoekstra indi	cated that all	was going wel	l, nothing new to			
Peds Orthopaedic	report to the boa	ard at this time	e.				
Dale Hoekstra, MD							
Denise Taylor, MD Physical Medicine and Rehabilitation	• Grant funce Brindle F  The Nation Abuse Ame May 20-22 the State of NMCAPP from the Nation This Gala Saturday attended expendit to be defined by the State of the State of Nation The Nation Abuse Ame May 20-22 the State of Nation The Nation May 20-22 the State of Nation The Nation Abuse Ame May 20-22 the State of Nation The Nation May 20-22 the State of Nation The Nation May 20-22 the State of Nation This Nation The Nation May 20-22 the State of Nation This Nation The Nation May 20-22 the State of Nation The Nation The Nation May 20-22 the State of Nation The Nation The Nation May 20-22 the State of Nation The Nation The Nation May 20-22 the State of Nation The Nation The Nation May 20-22 the State of Nation The Nation The Nation May 20-22 the State of Nation The Nation The Nation The Nation May 20-22 the State of Nation The Nation The Nation The Nation May 20-22 the State of Nation The Na	received a characteristics of the Rotary Club of Ro	April 5, 2014 eck for \$21,00 f Albuquerque  ACAPP had 400 f, which was use tyear's Galar and this year'  for Child Abus place in Jacks PP has been cl	was well attended. O on May 8, 2014 Del Sol. O in attendance on up from 197 raised (after s Gala funds are yet	Information only. No follow-up needed.		



UNM HOS	PHALS	*		
C). Executive	Doris Tinagero presented the report that was given by Sarah to	Continue to report and		
Directors	the Board of Trustees;	monitor. No follow-up		
	New elevators are being installed/updated, this should take	Two follow up	2	
	approximately 12-weeks.			
ý.	■Patient Centered Medical Home: Update – The pediatric practices (Pediatric Clinic at the Main, CTH Pediatric Clinic, and			
	Young Children's) all received Level III Accreditation through the			
	National Committee for Quality Assurance.			
	Awards: CTH Primary Care was honored at an Awards Dinner by			
Ÿ	the NM Immunization Coalition for achieving immunization rates			· ·
	of 97% this year.			
	1 <sup>st</sup> Quarter requests were submitted to the CTH Foundation (6),	,		
	there were (3) approved.			
	Request #1: CTH Rehab- Dynavision D2 \$17,320.00. This item was			
	tabled by the CTHF while we clarified some Computer interface issues.			,
*	Will resubmit for Quarter 2.			
	Request #2 CTH Child life- Child Development PKG \$ 1,311.26- Items			
	approved by CTHF			
	Request #3 Dr Taylor- CFL funding\$ 21,600.00 Tabled by the CTHF until			
	Dr. Taylor can attend a meeting to further explain the program.			
	Request #4: CTH OP- Hearing and Vision \$ 18,732.40 Exec Committee			
	denied (felt it was a duplicate of an earlier request)			
	Request #5: Dr. Pedrotty- Behavioral Cognitive Therapy \$ 2,738-Item			
	approved by CTHF			
	Request # 6: CTH Rehab- Tilt table \$ 1,580.00- Item approved by CTHF			
	request in or errit herida. The table 9 2,300,000 frem approved by errit			



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D). CTH Foundation Phil Prevender	Phil Prevender submitted a written report prior to today's meeting, he reported:  ▶ June 7 <sup>th</sup> is the 20 <sup>th</sup> Carrie Tingley Hospital Foundation Mudd Volleyball Tournament presented by Albertsons. The CTHF is providing a complimentary team for CTH staff and Beachfront package.  ▶ The CTHF's Beyond limits Adaptive Sports Camp is scheduled for June 2 <sup>nd</sup> − 13 <sup>th</sup> at West Mesa High school. All CTH Advisory Board members are invited to the Sports Camp Breakfast on June 5 <sup>th</sup> .  ▶ CTHF partnered with Albertsons in the month of May, every Albertsons throughout the State of New Mexico will be selling Pin-ups to support the CTHF. Please stop by your local Albertsons and support the kids of Carrie Tingley.	Continue to report on bi-monthly basis.		
	Equipment and Support YTD \$7,767 Patient &Family Assistance YTD\$47,593 Quality of Life YTD \$31,523			
E). Chair	Sarah Nelson-Howse gave a presentation to UNMH Board of Trustees on Friday April 25, 2014 which included inpatient/ outpatient stats, surgical services, payer mix, and outreach services. She indicated that it went well with very little questions. <a href="May-Laws: By-Laws: Sarah indicated that the Carrie Tingley Hospital By-Laws have been approved by all boards">By-Laws</a> have been approved by all boards.	Diane will copy the newly approved by-laws and file in your board binder.		
V. Old Business	A. Charlie Lopez, CTH Advisory Board nominee: Mr. Lopez has been approved by the Board of Trustees and the HSC Board, the Regents met on May 9 <sup>th</sup> and the approval was on their agenda, but no official approval as of yet. Mr. Lopez more than likely will be approved at the Regents June 9 <sup>th</sup> meeting.	Once Mr. Lopez is approved by Regents, Sarah can communicate with him and invite him to his first CTH Advisory Board in July.		



VI. New Business	No new business discussed.		
VII. Vote to Close	N/A	P. Control of the Con	
VIII. Vote to Re-	N/A		
Open Session			
IX. Adjournment	Meeting was adjourned at 4:35 pm.	-	

Respectfully	Submitted	by:
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Dlene	1.	Nam Eco	
Diane J. Romero, A	dninis	trative Assistant	

APPROVED:	
Smal Howse	
Chair	
Mod 4 Don P.A.B	