



**UNIVERSITY OF NEW MEXICO
BOARD OF REGENTS**

Agenda

**November 14, 2014
9:00 AM
Student Union Building, Ballroom C**

**The Board of Regents of the University of New Mexico
Meeting in Open Session and Executive Session
November 14, 2014, 9:00 AM
Student Union Building, Ballroom C
Executive Session-Cherry Silver Room and Acoma A&B (SUB)
Revised* AGENDA**

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Carl Alongi, Chair, UNM Foundation Board of Trustees
William Miller, President, Retiree Association

VIII. Public Comment (items not on agenda; limit 3 min. per speaker)

- IX. Vote to close the meeting and proceed in Executive Session
Executive Session-Cherry Silver Room
1. Discussion and determination where appropriate of the purchase, acquisition or disposal of real property pursuant to Section 10-15-1.H(8), NMSA (1978)
 2. Discussion and determination where appropriate of threatened or pending litigation pursuant to Section 10-15-1.H(7), NMSA (1978)
 3. Discussion and determination where appropriate of limited personnel matters pursuant to Section 10-15-1.H(2), NMSA (1978)
 4. Proceed to Acoma A&B Room for an open session [item XI.] and further closed sessions [items XIII. and XIV.] and remainder of meeting
- X. Vote to open the meeting
- XI. Oral Presentations – *J. Rioux v. P. Roth, et al.*
- XII. Vote to close the meeting
- XIII. Regents’ deliberations in closed session [Personnel matters, section 10-15-1.H(2), NMSA (1978)]
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- XV. Vote to re-open the meeting and certification that only those matters described in Agenda Items IX., XIII. and XIV. were discussed in Closed Session and if necessary, final action with regard to those matters will be taken in Open Session.
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 - d. Minutes of the Carrie Tingley Hospital Advisory Board meeting of September 22, 2014
 - e. Minutes of the Office of the Medical Investigator meeting of March 11, 2014

**Minutes of the Regular Meeting of the Board of Regents of the University of New Mexico
October 10, 2014
Student Union Building, Ballroom C**

Members present

Jack L. Fortner, President; Conrad D. James, Vice President; Bradley C. Hosmer, Secretary/Treasurer; Heidi Overton; James H. Koch; J.E. 'Gene' Gallegos; (Suzanne Quillen could not attend)

Administration present

Robert G. Frank, President; Chaouki Abdallah, Provost and EVP for Academic Affairs; Elsa Cole, University Counsel; Liz Metzger, University Controller; Dorothy Anderson, VP HR; Paul Krebs, VP for Athletics; Ava Lovell, Sr. Exec. Officer of Finance and Administration, HSC; Eliseo Torres, VP Student Affairs; Michael Dougher, Sr. Vice Provost and VP Research and Economic Development

Regents' Advisors present

Bill Miller, Retiree Association; Reneé Delgado-Riley, Staff Council; Pamela Pyle, Faculty Senate; Rachel Williams, ASUNM; Texanna Martin, GPSA

Presenters in attendance

Amy Wohler, President's Chief of Staff and Chair of Naming Committee; Chris Vallejos, AVP Institutional Support Services; Andrew Cullen, AVP Planning, Budget & Analysis; Terry Babbitt, AVP Enrollment Management; Kevin Stevenson, Dir. Strategic Projects; Amber Dukes, Chair, GPSA Equity and Inclusion; Jenna Hagengruber, VP ASUNM; Earl Shank, ASUNM Chief of Staff; Ava Lovell, HSC Sr. Exec. Officer of Finance and Administration

Others in attendance

Members of the administration, faculty, staff, students, the media and others.

CONFIRMATION OF PRIOR SPECIAL MEETING IN EXECUTIVE SESSION

The Board of Regents met in closed session on October 10, 2014 from 7:40 to 8:44 AM in the Cherry Silver Room of the Student Union Building for discussion purposes only of property matters and personnel matters as permitted under Sections 10-15-1H(8) and 10-15-1H(2) of the Open Meetings Act (NMSA 1978). The members present were Jack Fortner, J.E. 'Gene' Gallegos, James Koch, Heidi Overton, Conrad James and Bradley Hosmer. Regent Suzanne Quillen was not able to attend. The matters discussed were limited only to property and personnel matters as described in the Notice and Agenda for the meeting.

CALL TO ORDER, CONFIRMATION OF A QUORUM AND APPROVAL OF THE AGENDA

Regent President Fortner called the meeting to order at 9:09 AM. A quorum was confirmed. Regent Quillen was not able to attend the meeting. Regent Fortner stated one modification to the agenda, the removal of Health Sciences Center action item number 2. The motion to approve the agenda as modified passed by unanimous vote (1st Gallegos, 2nd Hosmer).

APPROVAL OF MINUTES

Regent Fortner asked for a motion to approve the minutes of the prior meetings. The motion to approve the minutes of the September 12, 2014 regular meeting and the September 20, 2014 special meeting passed by unanimous vote (1st Gallegos, 2nd Overton).

PUBLIC COMMENT (there were no comments)

APPROVAL OF 2015 BOARD OF REGENTS MEETING SCHEDULE

Regent Fortner noted the meeting dates for the January and February meetings might be changed from those listed on the schedule. Regent Koch motioned to approve the 2015 Board of Regent meeting schedule with the January and February dates as tentative. Student Regent Overton seconded. All were in favor. The motion passed (1st Koch; 2nd Overton).

PRESIDENT'S ADMINISTRATIVE REPORT

President Frank provided an update to the Board of Regents. He introduced Dorothy Anderson, the new Vice President for Human Resources. VP Anderson comes from New Mexico State University and has extraordinary experience, specifically in health benefits and is well prepared for the position.

President Frank reviewed results on student success and reported that retention rates for Hispanic students have increased to the highest in UNM's history at 80.1%, which was a 2.6% increase. The four-year graduation rate increased by 0.9%; the five-year graduation rate has seen a 2.1% increase. President Frank congratulated the Provost and his team for their efforts in increasing the retention and graduation rates.

An update was provided on the University's strategic plan, UNM 2020. There has been great progress toward achieving goals and objectives and a full report can be found online at unm2020.unm.edu. President Frank discussed the goals for UNM2020 which include economic development, financial integrity and strength, discovery and innovation, health and health equality, institutional citizenship, lifelong success, and ultimately becoming a destination university. There was some progress made on 3 goals, good progress made on 14 goals, and 10 have exceeded the target or have been completed. One goal that will be difficult for the University is securing federal grant funding which has decreased nationally and become increasingly competitive. President Frank discussed Results Oriented Management (ROM) metrics which are currently being developed. The administration is working with the colleges and schools to make final decisions about quality metrics, which can be difficult to define as they can vary from unit to unit. The Provost will bring the conversations to a close in November to finalize quality metrics.

President Frank provided the Board with an update on the Cleary Report, which is a national requirement of all universities to report on security and crimes on campuses. The report, which will be released in November, will contain information on reported crimes during calendar year 2013. There were 23 reports of crimes and includes reports of dating violence, domestic violence, and stalking which were new requirements this year. Next year, the Cleary Act will require that all faculty, staff, and students obtain training. Crime statistics were discussed and were presented to the Board on a graph, which depicted crimes down in 2013. Sexual Assault crimes were on the rise in 2013, and at UNM this is an important issue. Various groups on campus are working on the issue of sexual assault and prevention, and President Frank commended their efforts and hopes they continue to work together on this issue. The University Police Department currently coordinates the production of the Cleary Report, but President Frank would like to hire a Cleary Act Coordinator next fiscal year to coordinate all efforts regarding the Cleary Act.

COMMENTS FROM REGENTS

Regent Fortner made a comment regarding the recent UNM Sex Week about which he had heard complaints from parents, students, administration, citizens, and legislators. He said the original intent of Sex Week was to raise awareness of sexual assault and make the campus a safer place, but the choice of the titles for the discussion topics led to sensationalizing, and the people who really could have used that kind of education simply chose not to go because of the titles. Regent Fortner further commented that universities are the marketplace of ideas and free speech and not a place for censorship. Regent Fortner urged more care and thoughtfulness about communicating such events and topics.

Regent Hosmer commented regarding the same issue. He said that the most difficult pieces with the whole sexual assault campaign issue is understanding what the facts are on the ground so that the administration can understand if programs are being effective and also what is happening to the trend of actual events. Regent Hosmer looks forward to hearing proposals and approaches that could determine the actual numbers of sexual assaults.

REGENTS COMMITTEE REPORTS

FINANCE AND FACILITIES COMMITTEE

Regent Koch asked Controller Liz Metzger to give the monthly financial report. Ms. Metzger said July, being the first month in the fiscal year, is a light month and there is still activity to close out the prior year. The reports show the approved FY 2014-2015 budget. The first graph shows net results from operations at \$69 million. Enrollment is slightly down causing tuition revenue to be slightly down by \$1 million from last year. Ms. Metzger highlighted the new 2-page executive summary on page 3 and the new debt service schedule on page 12 of the 25-page report.

Approval of proposed naming rights agreement with U.S. Bank

Amy Wohlert presented the agreement for Regents' approval. The agreement pertains to three spaces in the athletics facilities, the Zia levels and End Zone Club in the stadium and the Club area in The Pit. U.S. Bank requests naming rights for \$1.7 million and for a period of 8 years. Dr. Wohlert said the limited time of 8 years somewhat rare for an agreement like this and viewed as a positive for the University. After the 8-year period, the University will be able to renegotiate another deal.

The motion to approve the naming rights agreement with U.S. Bank passed by unanimous vote with a quorum of Regents present and voting (1st Koch; 2nd Gallegos).

Approval of Reports for University Administrative Policies (UAP) 7000 for Main Campus and Branch Campuses for FY 2013 – FY 2014

Andrew Cullen presented the reserve reports for Main Campus and Branch Campuses. The reports depict fiscal year-end reserve balances and exclude capital expenditures, student aid and contracting grants. For Main Campus the FY 2014 end reserves balance of all current unrestricted funds is \$95.7 million, \$8.8 million down from last year's balance. Of the \$95.7 million of unrestricted reserves, \$34.3 million (35.8%) is categorized as Instruction and General (I&G). The Undesignated Instruction and General (I&G) balance is \$11.9 million, an increase from prior year due to the inclusion of the student fee reserves. Academic Affairs, a large part of the \$34.3 million I&G unrestricted reserves, totals \$18.5 million (54.2%), most of these reserves reside in the schools and colleges, but on the whole this balance is down from prior year. Committed reserves are those with firm contracts behind them; dedicated reserves are those that do not have a firm contract. The Branch Campus reserve balances have increased even though enrollment in general has declined. Mr. Cullen spoke about the deficit balances, specifically the \$5.1 deficit balance for UNM Press. There was further discussion.

The motion to approve the reports for University Administrative Policies (UAP) 7000 for Main Campus and Branch Campuses for FY2013-FY2014 passed by unanimous vote with a quorum of Regents present and voting (1st Koch; 2nd Gallegos).

Consent Agenda – Lobo Development Corporation Approvals: Proposed fifth amendment to bylaws of Lobo Development Corporation and Reappointment of Louis Abruzzo, Stephen Ciepiela, and Maria Griego-Raby

Regent Koch read the consent agenda items and motioned approval.

The motion to approve the consent agenda items on the Finance and Facilities Committee agenda, those being the fifth amendment to the bylaws of Lobo Development Corporation and the reappointment of Louis Abruzzo, Stephen Ciepiela and Maria Griego-Raby, passed by unanimous vote with a quorum of Regents present and voting (1st Koch; 2nd Gallegos).

FY 2015- FY 2016 Context for Financial Planning – updated environmental scan

Provost Abdallah introduced the environmental scan document. There was discussion. Terry Babbitt addressed enrollment issues. The budget office is conservative in projecting tuition and fees. The University will continue to look for growth from non-residents and international students.

- Actions requested by Regents: Regent Hosmer requested Administration report back to the Regents on the metric GDP/family, in the State vs. other states. Regent Koch requested Administration look specifically at tuition revenue decrease scenarios and their potential impacts.

Status of Results Oriented Management (ROM)

Kevin Stevenson presented the status of the ROM project. The first phase of ROM was implemented this fiscal year; the next phase will include the incorporation of an array of performance measures that will assess outcomes and goal achievements in colleges and divisions across campus. The majority of future investments will have to come from existing resources. An important element in the ROM process will be to establish baselines and then look for year on year improvement.

FY 2016 – FY 2019 Budget Development Update, 4-year budget plan

Andrew Cullen presented the materials. Projections show a decline in enrollment. Further efficiencies will be important along with efforts toward keeping students coming back. The University will need to look

outside the State and internationally. International students do tend to come back and see their degree through to completion. The funding formula rewards retention and graduation rates. There was further discussion about the funding formula. Mr. Cullen mentioned the three fixed costs to the University that increase every year due to inflation and other factors are Health Insurance, Utilities, and Property and Liability Insurance. Provost Abdallah emphasized the priorities, 1) investments in student success to improve retention rates, graduation rates and quality of education altogether, and 2) strategic investment in faculty and staff. Administration is looking at preemptive retention strategies that are estimated to cost \$1.7 million, and these will be a recurring costs. There was further discussion. President Frank said Administration will present cost reduction and revenue enhancement scenarios to the Regents at the November meeting.

ACADEMIC/STUDENT AFFAIRS AND RESEARCH COMMITTEE

Regent Hosmer asked the Provost to give his update. Provost Abdallah reported that the search for the new Vice President of Research went out publicly. The current VP, Michael Dougher is going to retire. To highlight what research does at UNM, and as a comparison, revenue from tuition and fees is about \$160 million, but our expenditures on Main Campus for research is around \$180-190 million. That is what Main Campus brings in; the Health Sciences Center brings in about the same. Of that \$180 million, the research office runs on about \$20 million, that is F&A dollars, and does not run on I&G funds. Some national models say that for every dollar that is brought in by research, there is a \$4 multiplier for funds that are generated for the State. The Health Sciences Center recently received a grant for \$15 million to three-year grant from the U.S. Department of Health and Human Services to test the expansion of its existing telemedicine network for stroke and brain injury patients. This will be done within the State. Our College of Education teamed up with our School of Business and won an award from the State to train principals and other K-12 leaders. This is a multi-million dollar grant. UNM's Latin American and Iberian Institute (LAI) just won a Title VI National Resource Center (NRC) and Foreign Language and Area Studies (FLAS) Fellowships grants from the US Department of Education. Research at UNM is not just about the research money that comes in, it's about the creative works, about what our faculty do on a day-to-day basis, training graduate and undergraduate students, in writing books, in creating knowledge. Research is usually a long-term venture, we don't get the benefits of research tomorrow. Some of the things that were started here 20 or 30 years ago are now being implemented and resulting in economic and benefit for humanity and for the State. The value of an artist or a lawyer or a poet is not just about their earning potential or workforce development or money they bring to the table, but really in their contributions to New Mexico and to UNM, to the U.S. and to the World.

Posthumous Degree – Phillip D. Sisneros

Regent Hosmer introduced the action item, approval of the posthumous degree for Phillip D. Sisneros. The committee endorsed this enthusiastically and Regent Hosmer moved approval.

The motion to approve the Posthumous Degree for Derek Crook passed by unanimous vote with a quorum of Regents present and voting (1st Hosmer; 2nd Overton).

ASUNM/GPSA 'Start by Believing' proposal – information item

The presenters, Amber Dukes, Jenna Hagengruber and Earl Shank said the Start by Believing is primarily an awareness campaign. The goal is to change the first response when somebody goes for help after a sexual assault incident, to change from a questioning atmosphere to a believing atmosphere.

Regent Hosmer said the Start by Believing proposal was 'approved in principle' at the Academic and Student Affairs and Research Committee with the request that the Provost bring back to the committee in November an integrated plan on how the various campaigns, committees, response teams, offices and training programs addressing these issues fit together.

There was discussion about programs that address prevention versus reactive programs.

Quality Metrics – information item

Provost Abdallah discussed quality metrics. Three areas for applying metrics have been identified: teaching, research and service. At the request of Regent Hosmer, external metrics will also be considered.

An example of an external metric is, how graduates perform after leaving the university. Proposed quality metrics will be presented to the Faculty Senate for its input.

Successful Strategic Investments: MaLL – information item

Greg Heileman presented the update on the Math Learning Lab and its successes. President Frank originated this in the very beginning of his administration. The Emporium Model is about doing math; students can collaborate and there is an assessment center. President Frank said this is a real tribute to UNM and all parts for getting this up and running quickly.

UNM West Update – information item

Regent Hosmer said that Wynn Goering was not able to attend the meeting to give the presentation and this will be presented next month.

MOOC: “Curanderismo - Traditional Medicine” – information item

Dr. Torres spoke about his successful online course, the second UNM course offered on the Coursera website.

HEALTH SCIENCES BOARD

Approval of Resolution Requesting Approval of the Renewal of the Master Services Agreement for Nurse Advice New Mexico

Ava Lovell presented the resolution for approval. The request is for renewal of the contract with CSC CAP-NM, a nonprofit, tax-exempt organization, formed to improve the health of New Mexico residents. HNMMH has contracted with CSC CAP in order to provide telephone nurse triage and referral services by “NurseAdvice” New Mexico for UNM Hospital patients. This is an extension of a previous 4-year contract that was in the amount of \$299.8 K annually. The contract amount will remain at \$300 K until 2017 and will increase by 3% for the years 2017 to 2020 and increase another 3% for the years 2020 to 2022. This is the only New Mexico company. Regent Hosmer said this was approved at the HSC Board of Directors meeting and he moved approval.

The motion to approve the resolution requesting approval of the renewal of the Master Services Agreement for Nurse Advice New Mexico passed by unanimous vote with a quorum of Regents present and voting (1st Hosmer; 2nd Overton).

HSC Financial Update – information item

Ava Lovell provided the HSC financial update.

COMMENTS FROM ADVISORS

Bill Miller, President Retiree Association, provided an update to the Board. The UNM Retiree Association is beginning its second decade of service to UNM retirees. There are currently 700 active members with the goal of increasing membership by 20% this year. The Retiree Association is building connections with other organizations nationwide and involved in the Association of Retiree Organizations in Higher Education. A retreat was held at the Sevilleta Field Station where goals were discussed including growing membership, recognizing the Benefits Committee, creating an Emeritus College, and the transition process to retirement. The Retiree Association is working with the Provost’s Office regarding the transition to retirement and identifying what is best for the employee and the University. There are a large number of people eligible to retire. Peter Winograd, an Emeritus Professor of law from UNM School of Law, is the new vice-chair of the Benefits Committee, as we were invited to have a representative on the UNM Employee Health planning committee.

Rachel Williams, President ASUNM, provided an update. The Student Fee Review Board hearings were held October 3-5 with 32 applicants. There were 7 one-time funding initiatives, 4 new applicants, and 28 reoccurring requests for funding. The Student Regent application closed on September 26 and interviews with candidates are being conducted October 5-12 and recommendations will be submitted on October 15. President Williams discussed the new senate resolution that passed regarding the Lottery Scholarship and advocating for the maintenance of current requirements and funding. Another resolution passed regarding awareness of cyber-bullying on social media applications. ASUNM is working on improving communication with

a goal of improving the website by December and increasing presence on social media. The Joint Council met on October 1 and there were 20 representatives present. The goal is to increase collaboration across campus.

Texanna Martin, GPSA President, provided an update. The Office of Government Affairs and GPSA are working together on capital outlay proposals to the State legislature. Proposals include lighting at the Law School and expanded study areas in Zimmerman Library. A student survey identified libraries as the main concern for graduate and professional students. There has been a collaborative effort between GPSA, GRC, Graduate Studies, and Career Services to host professional and academic workshops. The Fall Grants Cycle closed on October 3 and there are 3 grants and 1 scholarship being offered. GPSA is working on a fundraising project slated for October 30 and November 23 to raise money for scholarships and they will work in collaboration with UNM Foods.

Pamela Pyle, Faculty Senate President, provided an update to the Board on Faculty Senate and thanked Regent Hosmer for being the guest at the September Faculty Senate meeting where he updated the Senate on the workings of the Academic/Student Affairs and Research Committee and participated in discussion about communication between the Regents and the faculty and its importance. This was a significant event for Faculty Senate because very few senators have had contact with a Regent. President Pyle encouraged the Regents to attend either a Faculty Senate meeting or an Operations Committee meeting. Faculty Senate would like to continue the Regents' Adopt a College Day and thanked Regent Koch for his past participation in this effort. Faculty Senate Committee discussed ROM and the process for measuring performance, and the tools used to collect data for productivity. Some concerns among faculty lie with the uncertainty of the metrics that will be used to measure performance because they want the metrics to be understandable and differentiate between units.

Renée Delgado-Riley, Staff Council President, provided an update to the Board on Staff Council and stressed the importance of communication. President Delgado-Riley encouraged the Board to look at staff retention because staff are also targeted by other universities and encouraged to apply elsewhere. Staff Council is planning to release the strategic plan to celebrate the 25th anniversary of Staff Council. Focus Groups were hosted this fall and issues were brought to Staff Council's attention such as low morale, lack of respect felt amongst staff, viable career paths, supervisor and leadership training, and evaluations. Internal recognition programs are being considered as a way to reward staff. Staff Council is working on developing positive relations with Branch Campuses.

PUBLIC COMMENT (there were no comments)

VOTE TO CLOSE THE MEETING AND TO PROCEED IN EXECUTIVE SESSION

Regent Fortner asked for a motion to close the meeting to discuss the agenda items listed on the Executive Session agenda.

The motion to close the meeting and proceed in executive Session passed by unanimous vote (1st James; 2nd Overton)

The meeting closed and proceeded into Executive Session at 12:08 pm.

Executive Session in the Cherry Silver Room of the SUB

1. Discussion and determination where appropriate of the purchase, acquisition or disposal of real property pursuant to Section 10-15-1.H(8), NMSA (1978)
2. Discussion and determination where appropriate of threatened or pending litigation pursuant to Section 10-15-1.H(7), NMSA (1978)
3. Discussion and determination where appropriate of limited personnel matters pursuant to Section 10-15-1.H(2), NMSA (1978)

VOTE TO RE-OPEN THE MEETING

The motion to open the meeting passed unanimously, and there was confirmation that only those matters described in the Executive Session agenda were discussed during closed session and final action on three items needed to be taken in open session (1st Hosmer; 2nd James).

The meeting opened at 12:47 pm. (the doors were opened).

1) The motion to approve the University forming Innovate ABQ, Inc. pursuant to the provisions of the University Research Park and Economic Development Act passed by unanimous vote with a quorum of Regents present and voting (1st James; 2nd Koch).

2) The motion to approve the appointment of seven (7) directors to the initial Innovate ABQ, Inc. board, contingent on their acceptance: Richard Larson, David Harris, Sherman McCorkle, Gig Brummell, Chuck Wellborn, Terry Laudick and the City of Albuquerque's appointee, passed by unanimous vote with a quorum of Regents present and voting (1st Hosmer; 2nd Gallegos).

3) The motion to approve the MOU between the City of Albuquerque and STC.UNM passed by unanimous vote with a quorum of Regents present and voting (1st Gallegos; 2nd Hosmer).

The motion to close the meeting and go back into Executive Session passed by unanimous vote (1st Hosmer; 2nd James).

The meeting closed at 12:49 pm.

The motion to re-open the meeting and certification again that only those matters listed on the Executive Session agenda were discussed during closed session, and there were no items upon which to take action in open session, passed unanimously (1st Hosmer; 2nd James).

The meeting re-opened at 1:33 pm.

VOTE TO ADJOURN

The motion to adjourn the meeting passed by unanimous vote (1st Overton; 2nd Koch).

The meeting adjourned at 1:34 pm.

Approved:

Attest:

Jack L. Fortner, President

Bradley C. Hosmer, Secretary/Treasurer

Minutes of the Special Meeting of the Board of Regents of the University of New Mexico
October 29, 2014
Roberts Room, Scholes Hall

Members present

James H. Koch (in person), Jack L. Fortner (by phone), Suzanne Quillen (by phone), J.E. 'Gene' Gallegos (by phone), Heidi Overton (by phone), Conrad D. James (by phone), Bradley C. Hosmer (by phone)

Administration present

Robert G. Frank, President; David Harris, EVP of Administration, CFO, COO

Others in attendance

Andrew Cullen, AVP Planning, Budget and Analysis; Erik Harrigan and Paul Cassidy, RBC Capital Markets; Katherine Creagan and Duane Brown, Modrall Sperling law firm; John Archuleta, George K. Baum & Co.; George Williford (by phone), First Southwest and UNM's financial advisor; Jason Hughes and Erick Macha, First Southwest; Mark Valenzuela, George K. Baum & Co.; Kim Bell, Deputy University Counsel; Dianne Anderson, Dir. University Communications

CALL TO ORDER

Regent Jamie Koch called the meeting to order at 12:02 PM. Other members attended the meeting by phone. A roll call of members confirmed a quorum. The motion to adopt the agenda was unanimously approved (1st Hosmer, 2nd Fortner).

APPROVAL OF THE PRICING RESOLUTION FOR THE UNM SERIES 2014A AND 2014B BONDS

Andrew Cullen addressed the Regents. The Regents approved, at the August 8, 2014 Board of Regents, the parameters resolution for the refunding of the bonds. Today, the Regents are being asked to approve the pricing resolution for the refunding of the 2003 Series (A, B, & C) bonds which has a tax-exempt (A & B) and a taxable (C) component. As shown in Exhibit A, the final pricing of the bonds, which took place on October 28, 2014, will give a total savings of 3.9 million, a present value savings of almost \$3.0 million, and a present value savings as a percentage of the refunded amount of just under 18%, which is exceptional. Experts in this field say that a net present value savings of over 4% is good. There was discussion and confirmation that the noted savings is net of all costs of issuance. There was discussion about a 2-year decrease in the coupon rate for the 2014A bonds during 2030 and 2031. George Williford explained that the investors who buy the bonds want a certain coupon rate, there is a slight decrease in the coupon rate for the years 2030 and 2031, but the yield to maturity for the whole issuance follows an upward trend. Currently, there are plans to bring to the Regents for approval in November the pricing resolution for the refunding of the 2005 Series bonds.

The motion to approve the pricing resolution for the UNM Series 2014A and 2014B bonds passed by unanimous vote (1st Gallegos, 2nd Hosmer).

APPROVAL OF INNOVATE ABQ, INC. BOARD OF DIRECTORS

Regent Fortner said the Board of Regents approved, after closed session of the October 10, 2014 meeting and contingent upon each person's acceptance, the board of directors for Innovate ABQ, Inc.. Those approved were: Richard Larson, David Harris, Sherman McCorkle, Gig Brummell, Chuck Wellborn, Terry Laudick and the City of Albuquerque's appointee. Regent Fortner said Gig Brummell did not accept a board position. Pat Vincent-Collawn, CEO of PNM Resources, Inc. was put forward as the seventh member. The Regents agreed they needed more time to consider the seventh member.

Regent Fortner made a motion to approve R.J. Berry, David Harris, Richard Larson, Terry Laudick, Sherman McCorkle and Chuck Wellborn as 6 of the 7 members of the Innovate ABQ, Inc. board of directors. Regent Koch seconded the motion. All were in favor. The motion passed.

There was further discussion about Innovate ABQ, Inc. and confirmation that it will be incorporated as a 501(c)3 research park corporation.

VOTE TO ADJOURN

The motion to adjourn the meeting passed by unanimous vote (1st Fortner, 2nd Hosmer).

The meeting adjourned at 12:21 pm.

Approved:

Attest:

Jack L. Fortner, President

Bradley C. Hosmer, Secretary/Treasure

Minutes originated and finalized by: Mallory Reviere

President's Administrative Report

will be presented at the meeting

Dr. Patricia Crown, National Academy of Sciences Member
and Distinguished Professor of Anthropology

*“UNM Research in Chaco Canyon: Chocolate, Cylinder Jars
and Community Rituals”*

Innovate ABQ – Update
Master Plan and Design Guidelines
David Green, Perkins + Will

Innovate ABQ - Action Items

- a. Approval of Patricia Vincent-Collawn to the
Innovate ABQ, Inc. Board of Directors
- b. Approval of Innovate ABQ, Inc. Board expansion




Office of Planning, Budget & Analysis

MEMORANDUM

TO: Members of the Board of Regents

THRU: Robert G. Frank, President
David W. Harris, EVP for Administration, COO, and CFO

FROM: Andrew Cullen, Associate VP Office of Planning, Budget & Analysis 

DATE: November 14, 2014

SUBJECT: Approval of the Pricing Resolution for the UNM Series 2014C Bonds

At the time this memo was written, the UNM Series 2014C Bonds were scheduled to be in the market for pricing/sale on November 13, 2014. We look forward to updating the Pricing Resolution and bringing it to the full Board of Regents for approval during the November 14, 2014 meeting. Thank you for your consideration.



University Controller
1 University of New Mexico
MSCO1 1300
Albuquerque, NM 87131

MEMORANDUM

DATE: October 27, 2014

TO: David W. Harris
Executive Vice President

FROM: Elizabeth Metzger, CPA University Controller

RE: One (1) Action Item and One (1) Information Item for Board of Regents' Finance & Facilities Committee Meeting

Action Item.

The Fiscal Watch Report through September 30, 2014 that is to be submitted to the HED Executive Director will be presented at the November 11, 2014 Finance & Facilities Committee meeting. The Report contains the following information: A comparison of the approved annual operating and plant fund budgets with the current year-to-date information, a comparison of the most current year to prior-year information, a Balance Sheet and a Statement of Cash Flows.

The Report is to be reviewed and approved by the Board of Regents at the November 11, 2014 Finance & Facilities Committee meeting. In order to present the most-timely financial information at this meeting, Financial Reports will be emailed to the committee members no less than 48 hours in advance of the meeting. Handouts will be available the day of the meeting.

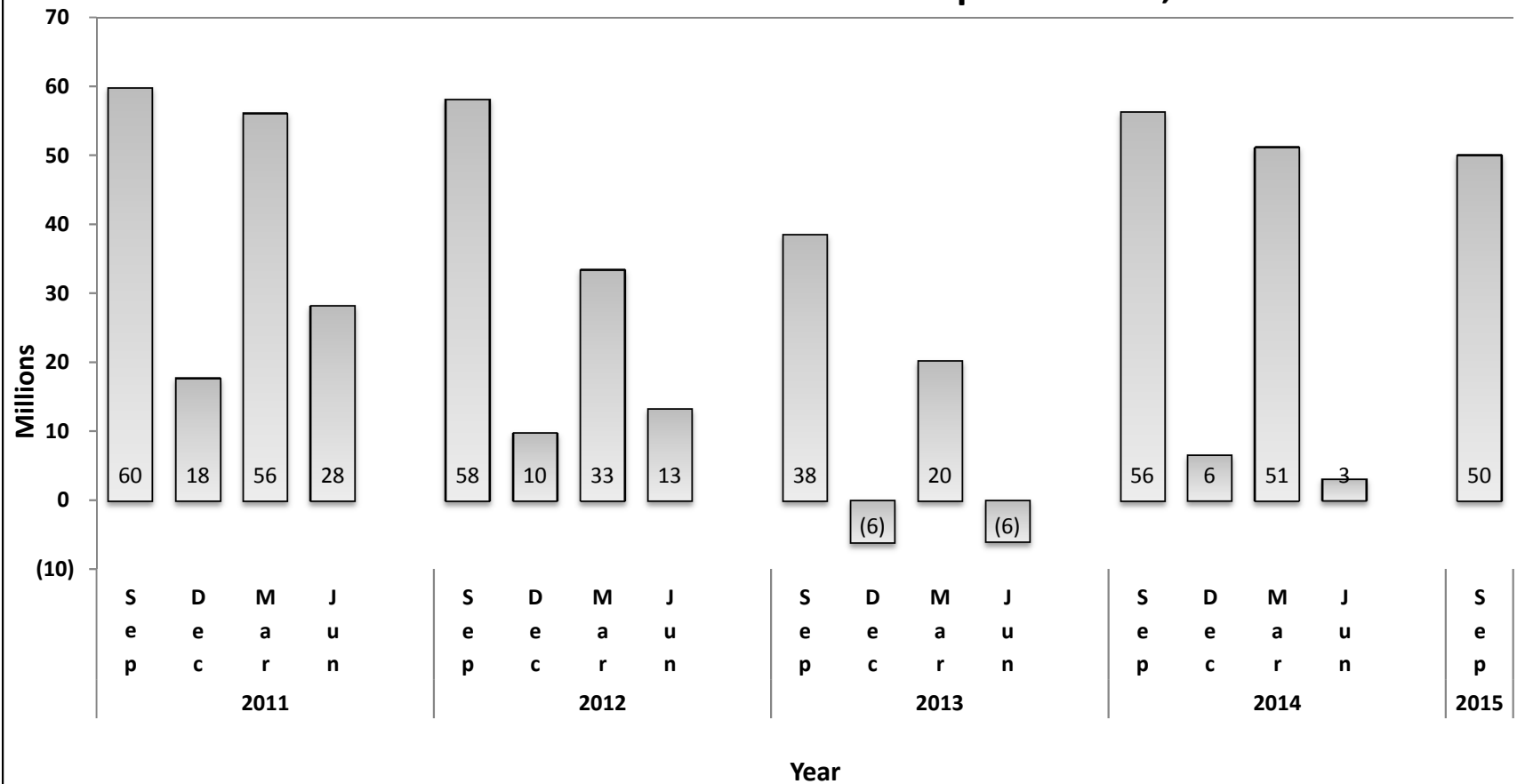
Information Item.

The Monthly Consolidated Financial Report for the month ended September 30, 2014 will be presented at the November 11, 2014 Finance & Facilities Committee meeting. In order to present the most-timely financial information at this meeting, Financial Reports will be emailed to the committee members no less than 48 hours in advance of the meeting. Handouts will be available the day of the meeting.



THE UNIVERSITY of
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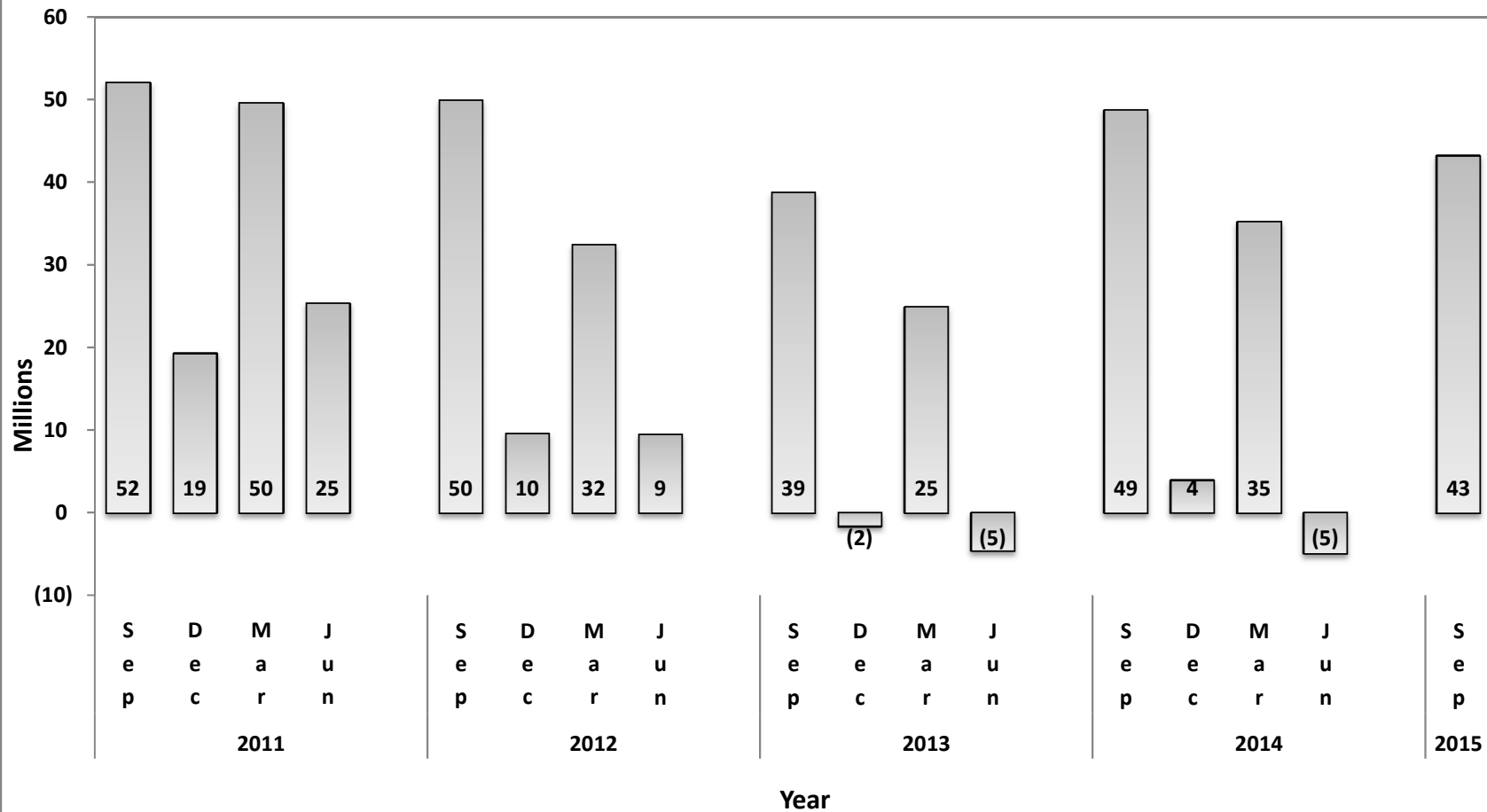
CONSOLIDATED Total Operations - 5 Year Net Revenue / (Expense)
Fiscal Year to Date as of September 30, 2014





THE UNIVERSITY of NEW MEXICO

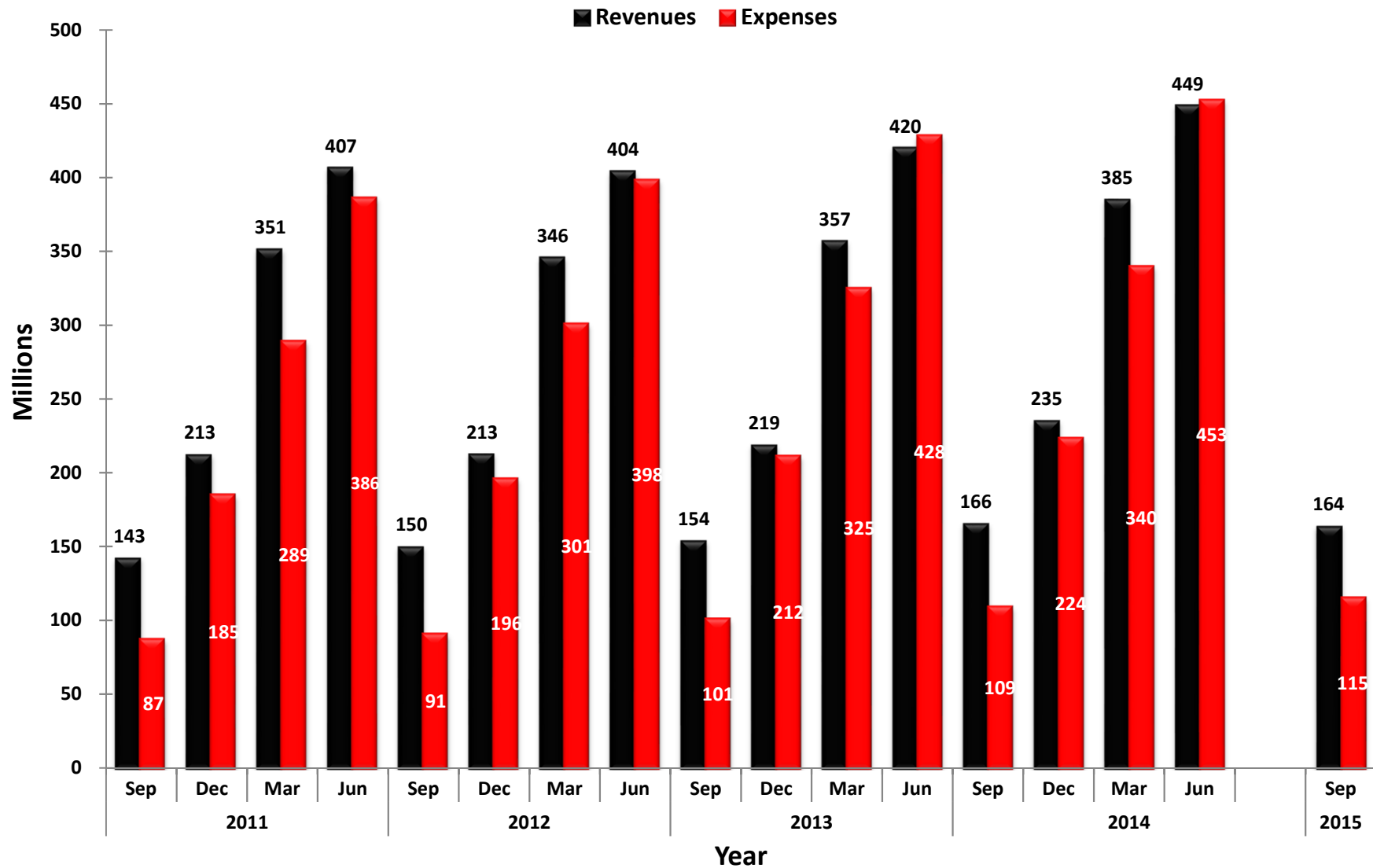
MAIN CAMPUS Operations - 5 Year Net Revenue / (Expense) Fiscal Year to Date as of September 30, 2014





YTD I&G Consolidated Revenues / Expenses (5 Year)

Fiscal Year to Date as of September 30, 2014



Executive Budget Summary

University of New Mexico Consolidated Financial Report

FY 2015 UNM Operating Budget

This report covers current fund operations for the University, including Main Campus, Branch Campuses and HSC Campus.

Instruction and General operations (approximately 85% of this operation resides on Main Campus) projects a use of reserves of \$8.8M for the FY 2015 UNM Operating Budget. The use of reserves of \$8.8M is comprised of \$9.6M use of reserves at the Main Campus, a \$1.7M use of reserves at the Branch Campuses and a favorable net margin of \$2.5M at the HSC Campus. The \$9.6M use of reserves at Main Campus is primarily due to \$1.5M of one-time monies funding the I&G budget, \$432K SFRB one-time use of mandatory student fee reserves and Provost Academic Affairs budgeting \$6.5M of reserves. Within Provost Academic Affairs, Provost Administrative Units, College of Arts and Sciences and Extended University departments budgeted the largest use of reserves.

The next block of information shows our **Unrestricted Research** operations. The FY 2015 UNM Operating Budget shows a use of reserves of \$7.6M, of which a \$3.9M use of reserves is related to Main Campus and a \$3.7M use of reserves is related to HSC Campus. The \$3.9M use of reserves at Main Campus is primarily due to Provost Academic Affairs' departments budgeting reserves. The departments that budgeted the largest use of reserves are, Provost Administrative Units, College of Arts and Sciences, College of Education, School of Engineering, and VP Research & Economic Development. At the HSC Campus approximately \$737K of reserve balances were budgeted by the SOM to support research initiatives. The College of Pharmacy budgeted \$936K for faculty start-up funding, research development and lab support and professional development. The College of Nursing budgeted \$141K for institutional support costs. The HSC VP of Research budgeted \$442K of reserves for consulting services, inter-institution pilots, mini-sabbaticals and a new CTSC scholar and the accompanying start-up package. Additionally, at the HSC \$1.4M is budgeted for backfill renovations for spaces vacated by units moving to 1650 University during FY 2015.

The third business category shown on the first page of this report is **Unrestricted Public Service**. The operations in this category include special projects funded by State Appropriations, for example Project ECHO, and non-endowed gifts flowing from the UNM Foundation to departments and many small events funded by user fees. The FY 2015 UNM Operating Budget shows a use of reserves of \$3.2M. These reserves are comprised of \$2.8M use of reserves at the Main Campus and Branch Campuses and a use of reserves in the amount of \$361K at the HSC Campus. The \$2.8M use of reserves at the Main and Branch Campuses is primarily due to Provost Academic Affairs' departments budgeting reserves. The departments budgeting the largest use of reserves are: Provost Administrative Units, College of Fine Arts, College of Arts and Sciences, College of Education, School of Engineering, School of Law, School of Architecture Planning, University Libraries, and VP Research & Economic Development.

Page 2 of this report begins with the **Student Aid** function. The FY 2015 UNM Operating Budget projects a use of reserves of \$5.5M. These reserves are comprised of a \$4.7M use of reserves at the Main and Branch Campuses and a use of reserves of \$804K at the HSC Campus. The budgeted use of reserves at Main Campus is primarily due to Provost Academic Affairs' departments budgeting reserves in endowed spending indices for the payout of major and departmental scholarships in the new fiscal year. Those departments include: Provost Administrative Units, VP Division of Enrollment Management, College of Fine Arts, College of Education, and School of Law.

Student Activities are the operations of Student Government and Student organizations. The FY 2015 UNM Operating Budget shows a use of reserves of \$165K.

Auxiliaries and Athletics

The FY 2015 UNM Operating Budget for Auxiliaries and Athletics projected a use of reserves of \$681K. These reserves are primarily due to a combination of Athletics budgeting a \$29K use of reserves, VP-Institutional Support Services Debt Services budgeting a use of reserves of \$499K, and Popejoy budgeting a favorable net margin of \$100K. Branch Campuses budgeted a use of reserves of \$252K. *AVP Ops/Student Life, now called Food Service/Dining is included in the VP-Institutional Support Services in FY15. There is no AVP Ops/Student Life in VP-Student Affairs in FY15.*

Sponsored programs operations are our contract and grant research and public service projects funded by outside agencies and companies. These operations will always record a zero net revenue over expense by the nature of their funding. The agency pays for a service or research project, and normally any unspent funds must be returned to the agency.

The next block of numbers on the third page is a summary of our **Clinical Operations**. These operations are essentially all the patient care activities of the HSC Campus, including the UNM Hospitals, SOM physician professional services, Cancer Center operations and Housestaff/Medical Residents who are being trained in the UNM and VA hospitals. The FY 2015 UNM Operating Budget projected a use of reserves \$2.9M. UNM Hospitals budgeted a favorable net margin of \$423K. The School of Medicine budgeted a use of reserves of \$3.3M which is primarily due to the SOM start-up packages for Pediatrics, the Cancer Center and Internal Medicine FY 2015.

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the three month ended September 30, 2014
Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 25%	FY 2014 Year-to-Date Actual	FY 2015 YTD Actual Change From FY 2014 YTD Actual
Instruction and General						
Tuition and Fees Revenues						
Main Campus	152,453,887	77,020,217	(75,433,670)	51%	82,673,325	(5,653,108)
Branch Campuses	8,121,795	3,815,240	(4,306,555)	47%	4,398,816	(583,576)
HSC Campus	15,138,036	7,819,269	(7,318,767)	52%	6,964,036	855,233
Total Tuition and Fees Revenues	175,713,718	88,654,726	(87,058,992)	50%	94,036,177	(5,381,451)
State/Local Appropriations	283,183,490	70,795,872	(212,387,618)	25%	67,650,286	3,145,586
F & A Revenues	42,500,000	10,560,361	(31,939,639)	25%	11,372,949	(812,588)
Transfers	(48,572,091)	(13,630,364)	34,941,727	28%	(12,360,685)	(1,269,679)
Other Revenues	21,716,302	7,646,186	(14,070,116)	35%	5,149,952	2,496,234
Total Instruction and General Revenues	474,541,419	164,026,781	(310,514,638)	35%	165,848,679	(1,821,898)
Salaries	290,863,062	67,169,648	223,693,414	23%	66,589,296	(580,352)
Benefits	98,041,479	23,748,351	74,293,128	24%	22,405,820	(1,342,531)
Other Expenses	94,437,496	24,518,156	69,919,340	26%	20,330,322	(4,187,834)
Total Instruction and General Expenses	483,342,037	115,436,155	367,905,882	24%	109,325,438	(6,110,717)
Net Instruction and General Revenue/(Expense)	(8,800,618)	48,590,626	57,391,244		56,523,241	(7,932,615)
Research						
State/Local Appropriations	11,723,413	2,977,194	(8,746,219)	25%	3,027,137	(49,943)
Transfers	27,904,500	3,497,947	(24,406,553)	13%	3,991,965	(494,018)
Other Revenues	3,945,218	473,156	(3,472,062)	12%	555,565	(82,409)
Total Research Revenues	43,573,131	6,948,297	(36,624,834)	16%	7,574,667	(626,370)
Salaries and Benefits	27,585,626	6,431,011	21,154,615	23%	6,883,977	452,966
Other Expenses	23,548,312	3,895,265	19,653,047	17%	3,543,124	(352,141)
Total Research Expenses	51,133,938	10,326,276	40,807,662	20%	10,427,101	100,825
Net Research Revenue/(Expense)	(7,560,807)	(3,377,979)	4,182,828		(2,852,434)	(525,545)
Public Service						
State/Local Appropriations	4,590,850	1,147,713	(3,443,137)	25%	916,588	231,125
Sales and Services Revenues	17,003,971	3,627,801	(13,376,170)	21%	3,378,530	249,271
Gifts	8,332,896	2,177,222	(6,155,674)	26%	1,845,526	331,696
Transfers	3,302,676	1,677,418	(1,625,258)	51%	1,105,717	571,701
Other Revenues	5,960,699	1,520,689	(4,440,010)	26%	1,601,406	(80,717)
Total Public Service Revenues	39,191,092	10,150,843	(29,040,249)	26%	8,847,767	1,303,076
Salaries and Benefits	22,332,196	5,747,833	16,584,363	26%	5,095,397	(652,436)
Other Expenses	20,054,837	3,423,077	16,631,760	17%	2,969,812	(453,265)
Total Public Service Expenses	42,387,033	9,170,910	33,216,123	22%	8,065,209	(1,105,701)
Net Public Service Revenue/(Expense)	(3,195,941)	979,933	4,175,874		782,558	197,375

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the three month ended September 30, 2014
Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 25%	FY 2014 Year-to-Date Actual	FY 2015 YTD Actual Change From FY 2014 YTD Actual
Student Aid						
Gifts	4,883,350	1,664,196	(3,219,154)	34%	1,599,077	65,119
State Lottery Scholarship	37,485,948	18,742,974	(18,742,974)	50%	18,742,974	-
Transfers	17,399,491	4,308,611	(13,090,880)	25%	4,328,620	(20,009)
Other Revenues	1,068,509	393,978	(674,531)	37%	12,609	381,369
Total Student Aid Revenues	60,837,298	25,109,759	(35,727,539)	41%	24,683,280	426,479
Salaries and Benefits	3,853,942	1,199,068	2,654,874	31%	1,130,176	(68,892)
Other Expenses	62,462,860	27,457,599	35,005,261	44%	28,985,788	1,528,189
Total Student Aid Expenses	66,316,802	28,656,667	37,660,135	43%	30,115,964	1,459,297
Net Student Aid Revenue/(Expense)	(5,479,504)	(3,546,908)	1,932,596		(5,432,684)	1,885,776
Student Activities						
Fee Revenues	8,237,848	3,882,792	(4,355,056)	47%	3,048,784	834,008
Sales and Services Revenues	1,459,539	364,068	(1,095,471)	25%	447,561	(83,493)
Transfers	(671,279)	380,217	1,051,496	-57%	(46,367)	426,584
Other Revenues	167,484	64,009	(103,475)	38%	15,611	48,398
Total Student Activities Revenues	9,193,592	4,691,086	(4,502,506)	51%	3,465,589	1,225,497
Salaries and Benefits	4,499,398	1,177,019	3,322,379	26%	1,173,912	(3,107)
Other Expenses	4,859,592	1,382,487	3,477,105	28%	955,925	(426,562)
Total Student Activities Expenses	9,358,990	2,559,506	6,799,484	27%	2,129,837	(429,669)
Net Student Activities Revenue/(Expense)	(165,398)	2,131,580	2,296,978		1,335,752	795,828
Auxiliaries and Athletics						
Branch Campuses Auxiliary Revenues	2,484,467	908,673	(1,575,794)	37%	992,884	(84,211)
Main Campus Auxiliaries Revenues	54,242,922	21,096,694	(33,146,228)	39%	22,661,913	(1,565,219)
Athletics Revenues	33,034,361	7,787,502	(25,246,859)	24%	7,611,853	175,649
Total Auxiliaries and Athletics Revenues	89,761,750	29,792,869	(59,968,881)	33%	31,266,650	(1,473,781)
Branch Campuses Auxiliary Expenses	2,736,566	852,863	1,883,703	31%	737,907	(114,956)
Main Campus Auxiliaries Expenses	54,642,339	13,739,530	40,902,809	25%	14,617,683	878,153
Athletics Expenses	33,063,511	9,587,970	23,475,541	29%	9,218,691	(369,279)
Total Auxiliaries and Athletics Expenses	90,442,416	24,180,363	66,262,053	27%	24,574,281	393,918
Net Auxiliaries and Athletics Revenue/(Expense)	(680,666)	5,612,506	6,293,172		6,692,369	(1,079,863)

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the three month ended September 30, 2014
Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 25%	FY 2014 Year-to-Date Actual	FY 2015 YTD Actual Change From FY 2014 YTD Actual
Sponsored Programs						
Federal Grants and Contracts Revenues	245,329,835	72,130,579	(173,199,256)	29%	75,743,169	(3,612,590)
State and Local Grants and Contracts Revenues	29,481,218	6,997,561	(22,483,657)	24%	7,037,781	(40,220)
Non-Governmental Grants and Contracts Revenues	29,108,293	9,693,816	(19,414,477)	33%	9,109,360	584,456
Gifts	-	67,183	67,183	N/A	64,580	2,603
Transfers	4,077,916	1,797,711	(2,280,205)	44%	1,735,354	62,357
Other Revenues	4,000	(300,829)	(304,829)	-7521%	-	(300,829)
Total Sponsored Programs Revenues	308,001,262	90,386,021	(217,615,241)	29%	93,690,243	(3,304,222)
Salaries and Benefits	152,600,879	33,578,926	119,021,953	22%	34,529,576	950,650
Other Expenses	155,400,383	56,807,095	98,593,288	37%	59,160,667	2,353,572
Total Sponsored Programs Expenses	308,001,262	90,386,021	217,615,241	29%	93,690,243	3,304,222
Net Sponsored Programs Revenue/(Expense)	-	-	-		-	-
Clinical Operations						
State/Local Appropriations	26,204,400	6,551,101	(19,653,299)	25%	6,238,951	312,150
Physician Professional Fee Revenues	119,451,776	29,398,842	(90,052,934)	25%	28,041,659	1,357,183
Hospital Facility Revenues	744,679,142	186,571,342	(558,107,800)	25%	167,617,755	18,953,587
Other Patient Revenues, net of Allowance	118,807,000	30,329,507	(88,477,493)	26%	24,056,897	6,272,610
Mil Levy	92,780,044	23,195,011	(69,585,033)	25%	22,775,953	419,058
Investment Income	13,238,733	2,907,194	(10,331,539)	22%	3,312,970	(405,776)
Gifts	3,151,695	1,316,315	(1,835,380)	42%	1,501,120	(184,805)
Housestaff Revenues	33,884,089	9,344,681	(24,539,408)	28%	8,740,718	603,963
Other Revenues	22,873,072	3,905,081	(18,967,991)	17%	6,552,985	(2,647,904)
Total Clinical Operations Revenues	1,175,069,951	293,519,074	(881,550,877)	25%	268,839,008	24,680,066
Salaries and Benefits	652,344,272	163,513,089	488,831,183	25%	149,769,922	(13,743,167)
Interest Expense	8,077,121	2,019,280	6,057,841	25%	2,074,112	54,832
Housestaff Expenses	33,882,767	8,564,708	25,318,059	25%	8,556,059	(8,649)
Other Expenses	483,706,116	119,844,097	363,862,019	25%	109,306,932	(10,537,165)
Total Clinical Operations Expenses	1,178,010,276	293,941,174	884,069,102	25%	269,707,025	(24,234,149)
Net Clinical Operations Revenue/(Expense)	(2,940,325)	(422,100)	2,518,225		(868,017)	445,917
Contingencies						
Total Contingency Revenues	(8,018,123)	-	8,018,123	0%	-	-
Total Contingency Expenses	(1,425,612)	-	1,425,612	0%	-	-
Net Contingencies Revenue/(Expense)	(6,592,511)	-	6,592,511		-	-
Net Current Revenue/(Expense)	(35,415,770)	49,967,658	85,383,428		56,180,785	(6,213,127)

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the three month ended September 30, 2014
Preliminary and Unaudited

University of New Mexico - Main Campus Athletics & Auxiliary Operations

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 25%	FY 2014 Year-to-Date Actual	FY 2015 YTD Actual Change From FY 2014 YTD Actual
Results of Athletics Operations:						
Athletics Revenues	36,421,474	8,697,268	(27,724,206)	24%	8,460,242	237,026
Athletics Transfers	(3,387,113)	(909,766)	2,477,347	27%	(848,389)	(61,377)
Total Athletics Revenues	33,034,361	7,787,502	(25,246,859)	24%	7,611,853	175,649
Athletics Expenses						
Salaries and Benefits	14,261,987	3,846,568	10,415,419	27%	3,669,833	(176,735)
Grant-in-Aid	4,095,878	1,840,674	2,255,204	45%	1,646,099	(194,575)
Other Expenses	14,705,646	3,900,728	10,804,918	27%	3,902,759	2,031
Total Athletics Expenses	33,063,511	9,587,970	23,475,541	29%	9,218,691	(369,279)
Total Net Athletics Revenue/(Expense)	(29,150)	(1,800,468)	(1,771,318)		(1,606,838)	(193,630)
Results of Auxiliary Operations:						
VP for Institutional Support Services						
Bookstore Revenues	15,474,125	6,599,265	(8,874,860)	43%	7,269,869	(670,604)
Bookstore Transfers	(453,860)	(87,500)	366,360	19%	(87,500)	-
Total Bookstore Revenues	15,020,265	6,511,765	(8,508,500)	43%	7,182,369	(670,604)
Total Bookstore Expenses	15,020,265	5,572,029	9,448,236	37%	6,168,117	596,088
Net Bookstore Revenue/(Expense)	-	939,736	939,736		1,014,253	(74,517)
Faculty & Staff Club Revenues	86,200	31,323	(54,877)	36%	28,925	2,398
Faculty & Staff Club Expenses	86,200	16,423	69,777	19%	13,330	(3,093)
Net Faculty & Staff Club Revenue/(Expense)	-	14,900	14,900		15,595	(695)
Food Service/Dining Revenues	3,322,800	808,202	(2,514,598)	24%	988,431	(180,229)
Food Service/Dining Transfers	(569,820)	(12,500)	557,320	2%	(291,592)	279,092
Total Food Service/Dining Revenues	2,752,980	795,702	(1,957,278)	29%	696,839	98,863
Total Food Service/Dining Expenses	2,752,980	397,761	2,355,219	14%	652,798	255,037
Net Food Service/Dining Revenue/(Expense)	-	397,941	397,941		44,040	353,901
Golf Courses Revenues	2,465,517	594,339	(1,871,178)	24%	659,789	(65,450)
Golf Courses Transfers	(39,252)	(9,813)	29,439	25%	(9,813)	-
Total Golf Courses Revenues	2,426,265	584,526	(1,841,739)	24%	649,976	(65,450)
Total Golf Courses Expenses	2,426,265	593,733	1,832,532	24%	612,226	18,493
Net Golf Courses Revenue/(Expense)	-	(9,207)	(9,207)		37,750	(46,957)
Housing	10,914,786	5,642,148	(5,272,638)	52%	5,895,470	(253,322)
Housing Transfers	(2,935,134)	(800,523)	2,134,611	27%	(533,560)	(266,963)
Total Housing Revenues	7,979,652	4,841,625	(3,138,027)	61%	5,361,910	(520,285)
Total Housing Expense	7,979,652	2,140,017	5,839,635	27%	2,032,650	(107,367)
Net Housing Revenue/(Expense)	-	2,701,608	2,701,608		3,329,260	(627,652)
Other	1,000,733	(383,333)	(1,384,066)	-38%	440,000	(823,333)
Other Transfers	(1,500,000)	-	1,500,000	0%	-	-
Total Other Revenues	(499,267)	(383,333)	115,934	77%	440,000	(823,333)
Total Other Expense	150	-	150	0%	7,901	7,901
Net Other Revenue/(Expense)	(499,417)	(383,333)	116,084		432,099	(815,432)

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the three month ended September 30, 2014
Preliminary and Unaudited

University of New Mexico - Main Campus Athletics & Auxiliary Operations

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 25%	FY 2014 Year-to-Date Actual	FY 2015 YTD Actual Change From FY 2014 YTD Actual
Parking and Transportation Revenues	8,618,293	4,109,600	(4,508,693)	48%	4,055,118	54,482
Parking and Trans Transfers	(2,363,987)	(581,883)	1,782,104	25%	(506,789)	(75,094)
Total Parking and Trans Revenues	6,254,306	3,527,717	(2,726,589)	56%	3,548,329	(20,612)
Total Parking and Trans Expenses	6,254,306	1,520,422	4,733,884	24%	1,443,098	(77,324)
Net Parking and Trans Revenue/(Expense)	-	2,007,295	2,007,295		2,105,231	(97,936)
Popejoy Events Revenues	7,674,664	110,822	(7,563,842)	1%	234,775	(123,953)
Popejoy Events Transfers	163,233	15,000	(148,233)	9%	149,730	(134,730)
Total Popejoy Events Revenues	7,837,897	125,822	(7,712,075)	2%	384,505	(258,683)
Total Popejoy Events Expenses	7,737,897	622,065	7,115,832	8%	672,115	50,050
Net Popejoy Events Revenue/(Expense)	100,000	(496,243)	(596,243)		(287,610)	(208,633)
Taos & Lawrence Ranch Revenues	51,873	62,049	10,176	120%	-	62,049
Taos & Lawrence Ranch Expenses	51,873	14,768	37,105	28%	9,950	(4,818)
Net Taos & Lawrence Ranch Revenue/(Expense)	-	47,281	47,281		(9,950)	57,231
Ticketing Services Revenues	956,023	385,130	(570,893)	40%	199,125	186,005
Ticketing Services Transfers	74,595	-	(74,595)	0%	75,348	(75,348)
Total Ticketing Services Revenues	1,030,618	385,130	(645,488)	37%	274,473	110,657
Total Ticketing Services Expenses	1,030,618	208,141	822,477	20%	278,679	70,538
Net Ticketing Services Revenue/(Expense)	-	176,989	176,989		(4,206)	181,195
Total VP for Institutional Support Services Revenues	42,940,789	16,482,326	(26,458,463)	38%	18,567,326	(2,085,000)
Total VP for Institutional Support Services Expenses	43,340,206	11,085,359	32,254,847	26%	11,890,864	805,505
Net VP for Institutional Support Services Revenue/(Expense)	(399,417)	5,396,967	5,796,384		6,676,462	(1,279,495)
VP for Student Affairs						
Lobo Cash Revenues	51,064	51,244	180	100%	26,844	24,400
Lobo Cash Expenses	51,064	32,175	(18,889)	63%	35,562	3,387
Net Lobo Cash Revenue/(Expense)	-	19,069	19,069		(8,718)	27,787
Student Health Center Revenues	7,718,432	3,098,679	(4,619,753)	40%	3,342,336	(243,657)
Student Health Center Expenses	7,718,432	1,799,344	5,919,088	23%	1,873,800	74,456
Net Student Health Center Revenue/(Expense)	-	1,299,335	1,299,335		1,468,536	(169,201)
Student Union Revenues	3,266,124	1,425,524	(1,840,600)	44%	659,616	765,908
Student Union Expenses	3,266,124	757,446	2,508,678	23%	756,841	(605)
Net Student Union Revenue/(Expense)	-	668,078	668,078		(97,226)	765,304
Total VP for Student Affairs Revenues	11,035,620	4,575,447	(6,460,173)	41%	4,028,796	546,651
Total VP for Student Affairs Expenses	11,035,620	2,588,965	8,408,877	23%	2,666,203	77,238
Net VP for Student Affairs Revenue/(Expense)	-	1,986,482	1,986,482		1,362,593	623,889

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the three month ended September 30, 2014
Preliminary and Unaudited

University of New Mexico - Main Campus Athletics & Auxiliary Operations

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 25%	FY 2014 Year-to-Date Actual	FY 2015 YTD Actual Change From FY 2014 YTD Actual
Provost and Other Units						
Art Museum Revenues	5,500	1,635	(3,865)	30%	287	1,348
Art Museum Expenses	5,500	123	5,377	2%	332	209
Net Art Museum Revenue/(Expense)	-	1,512	1,512		(45)	1,557
CE Conference Ctr Revenues	223,488	25,736	(197,752)	12%	46,043	(20,307)
CE Conference Ctr Transfers	(57,475)	(20,740)	36,735	36%	(7,986)	(12,754)
Total CE Conference Ctr Revenues	166,013	4,996	(161,017)	3%	38,057	(33,061)
Total CE Conference Ctr Expenses	166,013	47,313	118,700	28%	43,469	(3,844)
Net CE Conference Ctr Revenue/(Expense)	-	(42,317)	(42,317)		(5,412)	(36,905)
Maxwell Museum Revenues	40,000	10,843	(29,157)	27%	10,088	755
Maxwell Museum Expenses	40,000	4,705	35,295	12%	4,133	(572)
Net Maxwell Museum Revenue/(Expense)	-	6,138	6,138		5,955	183
Other Revenues	55,000	21,447	(33,553)	39%	17,359	4,088
Other Expenses	55,000	13,065	41,935	24%	12,681	(384)
Net Other Revenue/(Expense)	-	8,382	8,382		4,677	3,705
Total Provost and Other Units Revenues	266,513	38,921	(227,592)	15%	65,790	(26,869)
Total Provost and Other Units Expenses	266,513	65,206	201,307	24%	60,616	(4,590)
Net Provost and Other Units Revenue/(Expense)	-	(26,285)	(26,285)		5,175	(31,460)
Auxiliary Totals						
Total Auxiliary & Concessions Revenues	54,242,922	21,096,694	(33,146,228)	39%	22,661,913	(1,565,219)
Total Auxiliary & Concessions Expenses	54,642,339	13,739,530	40,902,809	25%	14,617,683	878,153
Net Auxiliary Revenue/(Expense)	(399,417)	7,357,164	7,756,581		8,044,230	(687,066)
Net Athletics Revenue/(Expense)	(29,150)	(1,800,468)	(1,771,318)		(1,606,838)	(193,630)
Net Auxiliary and Athletics Revenue/(Expense)	(428,567)	5,556,696	5,985,263		6,437,392	(880,696)
Net Branch Campuses Aux Revenue/(Expense)	(252,099)	55,810	307,909		254,977	(199,167)
Net All Auxiliary and Athletics Revenue/(Expense)	(680,666)	5,612,506	6,293,172		6,692,369	(1,079,863)

UNM Debt Service Schedule

As of September 30, 2014

*Includes Hospital Debt

UNM Bond Issue	Fixed or Variable Rate Issue	Original Issue Amount	Outstanding Principal Balance on June 30, 2014	Principal Payment due on June 1, 2015	Interest Payment due on December 1, 2014	Interest Payment due on June 1, 2015	FY 2015 Principal & Interest
Sub Lien System Imp Revenue Bonds (3) Series 2012: Interest Range 2.00% to 5.00% Final Maturity Year 2032	Fixed Rate	\$35,215,000	\$31,890,000	\$1,345,000	\$749,700	\$749,700	\$2,844,400
Sub Lien System Imp Revenue Bonds Series 2007 A&B: Interest Range 4.00% to 5.95% Final Maturity Year 2036	Fixed Rate	\$136,710,000	\$129,780,000	\$1,915,000	\$3,242,812	\$3,242,812	\$8,400,624
Sub Lien System Imp Revenue Bonds Series 2005: Interest Range 3.0% to 5.0% Final Maturity Year 2035	Fixed Rate	\$125,575,000	\$113,480,000	\$2,590,000	\$2,629,226	\$2,629,226	\$7,848,453
(1) FHA Insured Hospital Mortgage Revenue Bonds Series 2004: Interest Range 2.0% to 5.0% Final Maturity Year 2031	Fixed Rate	\$192,250,000	\$159,420,000	\$5,495,000 (\$2,715,000 paid 7/1/2014) (\$2,780,000 due 1/2/2015)	\$3,843,575 (due 1/2/2015)	\$3,908,150 (paid 7/1/2014)	\$13,246,725
Sub Lien System Rfdg Revenue Bonds Series 2003 A: Interest Range 2.0% to 5.25% Final Maturity Year 2018	Fixed Rate	\$21,660,000	\$7,345,000	\$1,195,000	\$192,806	\$192,806	\$1,580,613
Sub Lien System Revenue Bonds Series 2003 B&C: Interest Range 1.35% to 5.625% Final Maturity Years B 2024 & C 2033	Fixed Rate	\$11,805,000	\$9,220,000	\$295,000	\$225,687	\$225,687	\$746,374
Sub Lien Sys Rfdg Revenue Bonds (2) Series 2002 B: Variable Rate Demand Bonds - rates reset weekly Weekly rate as of June 30, 2013 was 0.06% Final Maturity Year 2026	Variable Rate	\$25,475,000	\$20,600,000	\$1,540,000	\$394,490	\$394,490	\$2,328,980
Sub Lien System Rfdg Revenue Bonds (2) Series 2002 C: Variable Rate Demand Bonds - rates reset weekly Weekly rate as of June 30, 2013 was 0.06% Final Maturity Year 2030	Variable Rate	\$37,840,000	\$34,315,000	\$910,000	\$676,006	\$676,006	\$2,262,011
Sub Lien System Imp Revenue Bonds (2) Series 2001: Variable Rate Demand Bonds - rates reset weekly Weekly rate as of June 30, 2013 was 0.06% Ceiling of 12% Final Maturity Year 2026	Variable Rate	\$52,625,000	\$33,190,000	\$2,170,000	\$663,800	\$663,800	\$3,497,600
System Revenue Bonds Series 2000B: Interest Range 5.5% to 6.35% Final Maturity Year 2019	Fixed Rate	\$53,231,671	\$1,775,168	\$442,934	\$0	\$672,066	\$1,115,000
System Revenue Rfdg Bonds Series 1992 A: Interest Range 5.6% to 6.25% Final Maturity Year 2021	Fixed Rate	\$36,790,000	\$15,620,000	\$1,950,000	\$468,600	\$468,600	\$2,887,200
Grand Total		\$729,176,671	\$556,635,168	\$19,847,934	\$13,086,702	\$13,823,343	\$46,757,978

Note: See attached matrix for funding sources.

(1) Source: UNM Hospital - Both UNM Hospital Principal and Interest payments are made on July 1st and January 1st.

(2) Variable Rate bonds reflect the actual synthetically fixed interest rate that UNM pays.

It is noted that all ranges of interest rates and final maturity dates are reflective of Serial bonds.

(3) Series 2012 bonds refunded 2002A bonds,

FY15 UNM Debt Service - Source of Funds

As of September 30, 2014

	Series 2012	Series 2007 A&B	Series 2005	Series 2004 (UNMH Bond)	Series 2003 B&C	Series 2003 A	Series 2002 C	Series 2002 B	Series 2001	Series 1992
Student Fees- Facility	X	X	X			X	X	X		X
Student Fees - IT	X		X							
Parking Services	X	X	X							X
UNM Hospital				X		X		X		X
Bookstore						X				X
Housing & Dining Services	X					X	X			
Building R&R						X		X		X
Real Estate Department		X			X			X		
Physical Plant Department	X	X	X					X	X	
Information Technologies	X		X							
Athletics		X								
KNME										X
Opto Bldg (CHTM Res Park)								X		
CRTC								X		
Continuing Education								X		
Golf Course - North & South							X			
HSC	X	X								
Interest on Reserve Funds						X	X	X		

Statements of Revenues, Expenses and Changes in Net Assets - UNM Regents Format
For the three month period ended September 30, 2014
Preliminary and Unaudited

Detail of State/Local Appropriations
Consolidated - Total Operations Current Funds

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 25%
Instruction and General				
Instruction & General Appropriations	273,828,500	68,457,124	(205,371,376)	25%
State Special Project Appropriations	1,161,200	290,300	(870,900)	25%
Tobacco Settlement Appropriations	1,130,600	282,651	(847,949)	25%
Mill Levy	7,063,190	1,765,797	(5,297,393)	25%
Total Instruction and General Appropriations	<u>283,183,490</u>	<u>70,795,872</u>	<u>(212,387,618)</u>	<u>25%</u>
Research				
State Special Project Appropriations	6,795,050	1,698,763	(5,096,287)	25%
Tobacco Settlement Appropriations	979,800	244,950	(734,850)	25%
Cigarette Tax Appropriations	3,948,563	1,033,481	(2,915,082)	26%
Total Research Appropriations	<u>11,723,413</u>	<u>2,977,194</u>	<u>(8,746,219)</u>	<u>25%</u>
Public Service				
State Special Project Appropriations	4,590,850	1,147,713	(3,443,137)	25%
Total Public Service Appropriations	<u>4,590,850</u>	<u>1,147,713</u>	<u>(3,443,137)</u>	<u>25%</u>
Clinical Operations				
State Special Project Appropriations	25,352,700	6,338,176	(19,014,524)	25%
Tobacco Settlement Appropriations	851,700	212,925	(638,775)	25%
Total Clinical Operations Appropriations	<u>26,204,400</u>	<u>6,551,101</u>	<u>(19,653,299)</u>	<u>25%</u>

Statements of Revenues, Expenses and Changes in Net Assets - UNM Regents Format
For the three month period ended September 30, 2014
Preliminary and Unaudited

Detail of State/Local Appropriations
Main Campus - Total Operations Current Funds

	FY 2015 Full Year Revised Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 25%
Instruction and General				
Instruction & General Appropriations	189,217,900	47,304,475	(141,913,425)	25%
State Special Project Appropriations				
African American Student Services	72,700	18,175	(54,525)	25%
Disabled Student Services	191,900	47,975	(143,925)	25%
ENLACE	64,100	16,025	(48,075)	25%
Hispanic Student Center	158,100	39,525	(118,575)	25%
Minority Graduate Recruitment	118,600	29,650	(88,950)	25%
Native American Studies Intervention	356,400	89,100	(267,300)	25%
Pre-College Minority Student Math & Science	199,400	49,850	(149,550)	25%
Total State Special Project Appropriations	1,161,200	290,300	(870,900)	25%
Total Instruction and General Appropriations	190,379,100	47,594,775	(142,784,325)	25%
Research				
State Special Project Appropriations				
Center for Regional Studies (SW Research Ctr)	988,250	247,063	(741,187)	25%
Drought Study Consortium	99,700	24,925	(74,775)	25%
Manufacturing Engineering	561,900	140,475	(421,425)	25%
Morrissey Hall	47,600	11,900	(35,700)	25%
Resource Geographic Information System	66,300	16,575	(49,725)	25%
Utton Transboundary Resource Center	346,300	86,575	(259,725)	25%
Total State Special Project Appropriations	2,110,050	527,513	(1,582,537)	25%
Total Research Appropriations	2,110,050	527,513	(1,582,537)	25%
Public Service				
State Special Project Appropriations				
Bureau of Business Research (Census)	384,700	96,175	(288,525)	25%
College Prep Mentoring/School of Law	120,800	30,200	(90,600)	25%
College Preparatory Mentoring	171,500	42,875	(128,625)	25%
Corrine Wolfe Law Center/Child Abuse Training	171,900	42,975	(128,925)	25%
Family Development Program	518,600	129,650	(388,950)	25%
ISTEC	48,800	12,200	(36,600)	25%
Judicial Selection	23,000	5,750	(17,250)	25%
KNME-TV	1,177,300	294,325	(882,975)	25%
Land Grant Studies Program	131,800	32,950	(98,850)	25%
N. M. Historical Review	48,000	12,000	(36,000)	25%
Small Business Innovation & Research Outreach	224,400	56,100	(168,300)	25%
Southwest Indian Law Clinic	207,600	51,900	(155,700)	25%
Spanish Colonial Research Center (SW Research Ctr)	148,750	37,188	(111,562)	25%
Spanish Resource Center	41,800	10,450	(31,350)	25%
Substance Abuse Program	138,200	34,550	(103,650)	25%
Wildlife Law Education	96,400	24,100	(72,300)	25%
Total State Special Project Appropriations	3,653,550	913,388	(2,740,162)	25%
Total Public Service Appropriations	3,653,550	913,388	(2,740,162)	25%

Statements of Revenues, Expenses and Changes in Net Assets - UNM Regents Format
For the three month period ended September 30, 2014
Preliminary and Unaudited

Detail of State/Local Appropriations

Branch Campuses - Total Operations Current Funds

	FY 2015 Full Year Revised Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 25%
Instruction and General				
Instruction & General Appropriations				
Gallup	9,481,500	2,370,375	(7,111,125)	25%
Los Alamos	1,905,100	476,275	(1,428,825)	25%
Valencia	5,715,600	1,428,900	(4,286,700)	25%
Taos	3,732,200	933,050	(2,799,150)	25%
Total Instruction & General Appropriations	20,834,400	5,208,600	(15,625,800)	25%
Mill Levy				
McKinley County	2,100,000	525,000	(1,575,000)	25%
Los Alamos County	702,500	175,625	(526,875)	25%
Valencia County	2,580,490	645,122	(1,935,368)	25%
Taos County	1,680,200	420,050	(1,260,150)	25%
Total Mill Levy	7,063,190	1,765,797	(5,297,393)	25%
Total Branch Appropriations	27,897,590	6,974,397	(20,923,193)	25%

Statements of Revenues, Expenses and Changes in Net Assets - UNM Regents Format
For the three month period ended September 30, 2014
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Detail of State/Local Appropriations
Health Sciences Center - Total Operations Current Funds

	FY 2015 Full Year Revised Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 25%
Instruction and General				
Instruction & General Appropriations	63,776,200	15,944,049	(47,832,151)	25%
Tobacco Settlement Appropriations				
Instruction & General	610,524	152,631	(457,893)	25%
Pediatric Specialty Education	260,038	65,010	(195,028)	25%
Trauma Specialty Education	260,038	65,010	(195,028)	25%
Total Tobacco Settlement Appropriations	1,130,600	282,651	(847,949)	25%
Total Instruction and General Appropriations	64,906,800	16,226,700	(48,680,100)	25%
Research				
State Special Project Appropriations				
Cancer Center	2,691,200	672,800	(2,018,400)	25%
Hepatitis C, Project ECHO	1,993,800	498,450	(1,495,350)	25%
Total State Special Project Appropriations	4,685,000	1,171,250	(3,513,750)	25%
Tobacco Settlement Appropriations				
Genomics, Biocomputing, Environmental Health	979,800	244,950	(734,850)	25%
Total Tobacco Settlement Appropriations	979,800	244,950	(734,850)	25%
Cigarette Tax Appropriations	3,948,563	1,033,481	(2,915,082)	26%
Total Research Appropriations	9,613,363	2,449,681	(7,163,682)	25%
Public Service				
State Special Project Appropriations				
Center for Native American Health	274,700	68,675	(206,025)	25%
Out of County Indigent	662,600	165,650	(496,950)	25%
Total State Special Project Appropriations	937,300	234,325	(702,975)	25%
Total Public Service Appropriations	937,300	234,325	(702,975)	25%
Clinical Operations				
State Special Project Appropriations				
Newborn Intensive Care Unit	3,350,200	837,550	(2,512,650)	25%
Office of the Medical Investigator	5,025,300	1,256,325	(3,768,975)	25%
Pediatric Oncology	1,303,500	325,875	(977,625)	25%
Poison and Drug Info Center	1,554,700	388,675	(1,166,025)	25%
Native American Suicide Prevention	99,700	24,925	(74,775)	25%
GME Residencies	902,400	225,600	(676,800)	25%
UNM Hospitals	13,116,900	3,279,226	(9,837,674)	25%
Total State Special Project Appropriations	25,352,700	6,338,176	(19,014,524)	25%
Tobacco Settlement Appropriations				
Pediatric Oncology	261,400	65,350	(196,050)	25%
Poison and Drug Info Center	590,300	147,575	(442,725)	25%
Total Tobacco Settlement Appropriations	851,700	212,925	(638,775)	25%
Total Clinical Operations Appropriations	26,204,400	6,551,101	(19,653,299)	25%

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the three month ended September 30, 2014
Preliminary and Unaudited

Main Campus - Total Operations Current Funds

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 25%	FY 2014 Year-to-Date Actual	FY 2015 YTD Actual Change From FY 2014 YTD Actual
Instruction and General						
Tuition and Fees Revenues	152,453,887	77,020,217	(75,433,670)	51%	82,673,325	(5,653,108)
State/Local Appropriations	190,379,100	47,594,775	(142,784,325)	25%	45,382,614	2,212,161
F & A Revenues	21,000,000	5,456,646	(15,543,354)	26%	6,023,800	(567,154)
Transfers	(55,010,849)	(14,790,413)	40,220,436	27%	(12,446,579)	(2,343,834)
Other Revenues	12,537,004	3,563,172	(8,973,832)	28%	2,874,287	688,885
Total Instruction and General Revenues	321,359,142	118,844,397	(202,514,745)	37%	124,507,446	(5,663,050)
Salaries	196,876,451	44,604,092	(152,272,359)	23%	45,209,406	605,314
Benefits	66,149,505	16,519,210	(49,630,295)	25%	16,028,680	(490,530)
Other Expenses	67,932,187	16,351,664	(51,580,523)	24%	15,212,107	(1,139,557)
Total Instruction and General Expenses	330,958,143	77,474,966	(253,483,177)	23%	76,450,193	(1,024,773)
Net Instruction and General Revenue/(Expense)	(9,599,001)	41,369,431	50,968,432		48,057,253	(6,687,822)
Research						
State/Local Appropriations	2,110,050	527,513	(1,582,537)	25%	485,013	42,500
Transfers	18,266,291	1,259,317	(17,006,974)	7%	1,924,083	(664,766)
Other Revenues	2,726,907	268,429	(2,458,478)	10%	364,281	(95,852)
Total Research Revenues	23,103,248	2,055,259	(21,047,989)	9%	2,773,377	(718,118)
Salaries and Benefits	13,998,518	3,170,538	(10,827,980)	23%	3,432,592	262,054
Other Expenses	12,974,771	2,081,716	(10,893,055)	16%	2,095,632	13,916
Total Research Expenses	26,973,289	5,252,254	(21,721,035)	19%	5,528,224	275,970
Net Research Revenue/(Expense)	(3,870,041)	(3,196,995)	673,046		(2,754,847)	(442,148)
Public Service						
State/Local Appropriations	3,653,550	913,388	(2,740,162)	25%	849,063	(64,325)
Sales and Services Revenues	8,482,495	2,259,761	(6,222,734)	27%	2,004,388	(255,373)
Gifts	6,486,067	1,905,730	(4,580,337)	29%	1,525,879	(379,851)
Transfers	309,403	81,109	(228,294)	26%	736,261	655,152
Other Revenues	4,235,065	1,215,328	(3,019,737)	29%	1,254,949	39,621
Total Public Service Revenues	23,166,580	6,375,316	(16,791,264)	28%	6,370,540	(4,776)
Salaries and Benefits	12,905,947	3,511,222	(9,394,725)	27%	3,368,663	(142,559)
Other Expenses	13,016,978	1,896,367	(11,120,611)	15%	1,888,820	(7,547)
Total Public Service Expenses	25,922,925	5,407,589	(20,515,336)	21%	5,257,483	(150,106)
Net Public Service Revenue/(Expense)	(2,756,345)	967,727	3,724,072		1,113,057	(145,330)

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the three month ended September 30, 2014
Preliminary and Unaudited

Main Campus - Total Operations Current Funds

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 25%	FY 2014 Year-to-Date Actual	FY 2015 YTD Actual Change From FY 2014 YTD Actual
Student Aid						
Private Grants/Gifts	3,191,794	1,209,673	(1,982,121)	38%	1,175,534	(34,139)
State Lottery Scholarships	37,485,948	18,742,974	(18,742,974)	50%	18,742,974	-
Transfers	14,899,612	3,791,343	(11,108,269)	25%	3,648,197	(143,146)
Other Revenues	1,048,509	384,624	(663,885)	37%	2,546	(382,078)
Total Student Aid Revenues	56,625,863	24,128,614	(32,497,249)	43%	23,569,251	(559,363)
Salaries and Benefits	1,711,660	650,399	(1,061,261)	38%	639,407	(10,992)
Other Expenses	59,479,414	27,015,700	(32,463,714)	45%	28,336,504	1,320,804
Total Student Aid Expenses	61,191,074	27,666,099	(33,524,975)	45%	28,975,911	1,309,812
Net Student Aid Revenue/(Expense)	(4,565,211)	(3,537,485)	1,027,726		(5,406,660)	1,869,175
Student Activities						
Fee Revenues	7,992,626	3,757,308	(4,235,318)	47%	2,915,347	841,961
Sales and Services Revenues	1,438,429	350,819	(1,087,610)	24%	439,567	(88,748)
Transfers	(656,957)	394,539	1,051,496	-60%	(13,030)	407,569
Other Revenues	167,484	64,009	(103,475)	38%	15,611	8,594
Total Student Activities Revenues	8,941,582	4,566,675	(4,374,907)	51%	3,357,495	1,169,376
Salaries and Benefits	4,490,338	1,172,306	(3,318,032)	26%	1,169,478	(2,828)
Other Expenses	4,603,954	1,328,920	(3,275,034)	29%	894,637	600,741
Total Student Activities Expenses	9,094,292	2,501,226	(6,593,066)	28%	2,064,115	597,913
Net Student Activities Revenue/(Expense)	(152,710)	2,065,449	2,218,159		1,293,380	772,069
Auxiliaries						
Auxiliaries Revenues	54,242,922	21,096,694	(33,146,228)	39%	22,661,913	(1,565,219)
Athletics Revenues	33,034,361	7,787,502	(25,246,859)	24%	7,611,853	175,649
Total Auxiliaries Revenues	87,277,283	28,884,196	(58,393,087)	33%	30,273,766	(1,389,570)
Auxiliaries Expenses	54,642,339	13,739,530	(40,902,809)	25%	14,617,683	878,153
Athletics Expenses	33,063,511	9,587,970	(23,475,541)	29%	9,218,691	(369,279)
Total Auxiliaries Expenses	87,705,850	23,327,500	(64,378,350)	27%	23,836,374	508,874
Net Auxiliaries and Athletics Revenue/(Expense)	(428,567)	5,556,696	5,985,263		6,437,392	(880,696)

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the three month ended September 30, 2014
Preliminary and Unaudited

Main Campus - Total Operations Current Funds

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 25%	FY 2014 Year-to-Date Actual	FY 2015 YTD Actual Change From FY 2014 YTD Actual
Sponsored Programs						
Federal Grants and Contracts Revenues	141,777,226	49,851,002	(91,926,224)	35%	52,064,307	(2,213,305)
State and Local Grants and Contracts Revenues	15,880,500	3,634,324	(12,246,176)	23%	3,614,362	19,962
Non-Governmental Grants and Contracts Revenues	11,797,000	4,838,550	(6,958,450)	41%	5,051,244	(212,694)
Gifts	-	67,183	67,183	N/A	64,580	2,603
Transfers	2,888,000	683,786	(2,204,214)	24%	677,831	5,955
Other Revenues	-	(284,345)	(284,345)	N/A	-	(284,345)
Total Sponsored Programs Revenues	172,342,726	58,790,500	(113,552,226)	34%	61,472,323	(2,681,824)
Salaries and Benefits	63,156,726	14,924,916	(48,231,810)	24%	15,574,451	649,535
Other Expenses	109,186,000	43,865,584	(65,320,416)	40%	45,897,872	2,032,288
Total Sponsored Programs Expenses	172,342,726	58,790,500	(113,552,226)	34%	61,472,323	2,681,823
Net Sponsored Programs Revenue/(Expense)	-	-	-		-	-
Contingencies						
Total Contingency Revenues	(10,485,021)	-	10,485,021	0%	-	-
Total Contingency Expenses	(2,692,510)	-	2,692,510	0%	-	-
Net Contingencies Revenue/(Expense)	(7,792,511)	-	7,792,511		-	-
Net Current Revenue/(Expense)	(29,164,386)	43,224,823	72,389,209		48,739,575	(5,514,752)

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the three month ended September 30, 2014
Preliminary and Unaudited

Branch Campuses - Total Operations Current Funds

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 25%	FY 2014 Year-to-Date Actual	FY 2015 YTD Actual Change From FY 2014 YTD Actual
Instruction and General						
Tuition and Fees Revenues	8,121,795	3,815,240	(4,306,555)	47%	4,398,816	(583,576)
State/Local Appropriations	27,897,590	6,974,397	(20,923,193)	25%	6,664,747	309,650
Transfers	(1,110,845)	(768,200)	342,645	69%	(1,258,895)	490,695
Other Revenues	494,882	218,014	(276,868)	44%	206,747	11,267
Total Instruction and General Revenues	35,403,422	10,239,451	(25,163,971)	29%	10,011,415	228,036
Salaries	21,759,686	4,549,262	(17,210,424)	21%	4,911,983	362,721
Benefits	6,436,146	1,486,025	(4,950,121)	23%	1,452,612	(33,413)
Other Expenses	8,973,831	1,761,263	(7,212,568)	20%	1,760,535	(728)
Total Instruction and General Expenses	37,169,663	7,796,550	(29,373,113)	21%	8,125,129	328,580
Net Instruction and General Revenue/(Expense)	(1,766,241)	2,442,901	4,209,142		1,886,286	556,615
Public Service						
State/Local Appropriations	-	-	-	N/A	-	-
Sales and Services Revenues	363,830	73,592	(290,238)	20%	215,955	(142,363)
Gifts	103,400	84,152	(19,248)	81%	38,438	45,714
Transfers	(32,000)	15,856	47,856	-50%	(18,207)	34,063
Other Revenues	10,000	3,000	(7,000)	30%	3,733	(733)
Total Public Service Revenues	445,230	176,600	(268,630)	40%	239,919	(63,319)
Salaries and Benefits	334,552	110,349	(224,203)	33%	106,466	(3,883)
Other Expenses	189,233	39,206	(150,027)	21%	41,889	2,683
Total Public Service Expenses	523,785	149,555	(374,230)	29%	148,355	(1,200)
Net Public Service Revenue/(Expense)	(78,555)	27,045	105,600		91,564	(64,519)
Student Aid						
Private Grants/Gifts	116,550	57,415	(59,135)	49%	53,304	4,111
Transfers	413,154	3,948	(409,206)	1%	157,841	(153,893)
Other Revenues	20,000	9,354	(10,646)	47%	10,063	(709)
Total Student Aid Revenues	549,704	70,717	(478,987)	13%	221,208	(150,491)
Salaries and Benefits	-	7,557	7,557	N/A	-	(7,557)
Other Expenses	659,954	193,312	(466,642)	29%	173,208	(20,104)
Total Student Aid Expenses	659,954	200,869	(459,085)	30%	173,208	(27,661)
Net Student Aid Revenue/(Expense)	(110,250)	(130,152)	(19,902)		48,000	(178,152)

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the three month ended September 30, 2014
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Branch Campuses - Total Operations Current Funds

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 25%	FY 2014 Year-to-Date Actual	FY 2015 YTD Actual Change From FY 2014 YTD Actual
Student Activities						
Fee Revenues	245,222	125,484	(119,738)	51%	133,437	(7,953)
Sales and Services Revenues	850	2,931	2,081	345%	1,322	1,609
Transfers	(40,000)	(40,000)	-	100%	(40,000)	-
Other Revenues	-	-	-	N/A	-	-
Total Student Activities Revenues	206,072	88,415	(117,657)	43%	94,760	(6,344)
Salaries and Benefits	9,060	4,713	(4,347)	52%	4,434	(279)
Other Expenses	198,058	47,597	(150,461)	24%	58,970	11,373
Total Student Activities Expenses	207,118	52,310	(154,808)	25%	63,404	11,094
Net Student Activities Revenue/(Expense)	(1,046)	36,105	37,151		31,356	4,749
Auxiliaries						
Bookstore Revenues	2,272,555	849,861	(1,422,694)	37%	909,704	(59,843)
Housing and Food Service Revenues	191,082	51,398	(139,684)	27%	74,627	(23,229)
Transfers	-	-	-	N/A	-	-
Other Auxiliaries Revenues	20,830	7,414	(13,416)	36%	8,553	(1,139)
Total Auxiliaries Revenues	2,484,467	908,673	(1,575,794)	37%	992,884	(84,211)
Bookstore Expenses	2,263,142	813,182	(1,449,960)	36%	688,748	(124,434)
Housing and Food Service Expenses	452,458	35,442	(417,016)	8%	45,411	9,969
Other Auxiliaries Expenses	20,966	4,239	(16,727)	20%	3,749	(490)
Total Auxiliaries Expenses	2,736,566	852,863	(1,883,703)	31%	737,907	(114,955)
Net Auxiliaries Revenue/(Expense)	(252,099)	55,810	307,909		254,977	(199,167)
Sponsored Programs						
Federal Grants and Contracts Revenues	5,735,125	1,426,411	(4,308,714)	25%	1,569,856	(143,445)
State and Local Grants and Contracts Revenues	2,337,411	229,518	(2,107,893)	10%	456,793	(227,275)
Non-Governmental Grants and Contracts Revenues	-	169,845	169,845	N/A	-	169,845
Gifts	-	-	-	N/A	-	-
Transfers	-	17,803	17,803	N/A	-	17,803
Other Revenues	4,000	(16,484)	(20,484)	-412%	-	(16,484)
Total Sponsored Programs Revenues	8,076,536	1,827,093	(6,249,443)	23%	2,026,649	(199,556)
Salaries and Benefits	5,398,756	1,356,417	(4,042,339)	25%	1,431,339	74,922
Other Expenses	2,677,780	470,676	(2,207,104)	18%	595,310	124,634
Total Sponsored Programs Expenses	8,076,536	1,827,093	(6,249,443)	23%	2,026,649	199,556
Net Sponsored Programs Revenue/(Expense)	-	-	-		-	-
Net Current Revenue/(Expense)	(2,208,191)	2,431,709	4,639,900		2,312,183	119,526

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the three month ended September 30, 2014
Preliminary and Unaudited

Health Sciences Center - Total Operations Current Funds

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 25%	FY 2014 Year-to-Date Actual	FY 2015 YTD Actual Change From FY 2014 YTD Actual
Instruction and General						
Tuition and Fees Revenues	15,138,036	7,819,269	(7,318,767)	52%	6,964,036	855,233
State/Local Appropriations	64,906,800	16,226,700	(48,680,100)	25%	15,602,925	623,775
F & A Revenues	21,500,000	5,103,715	(16,396,285)	24%	5,349,149	(245,434)
Transfers	7,549,603	1,928,249	(5,621,354)	26%	1,344,789	583,460
Other Revenues	8,684,416	3,865,000	(4,819,416)	45%	2,068,919	1,796,081
Total Instruction and General Revenues	117,778,855	34,942,933	(82,835,922)	30%	31,329,818	3,613,115
Salaries	72,226,925	18,016,294	(54,210,631)	25%	16,467,907	(1,548,387)
Benefits	25,455,828	5,743,116	(19,712,712)	23%	4,924,528	(818,588)
Other Expenses	17,531,478	6,405,229	(11,126,249)	37%	3,357,680	(3,047,549)
Total Instruction and General Expenses	115,214,231	30,164,639	(85,049,592)	26%	24,750,115	(5,414,524)
Net Instruction and General Revenue/(Expense)	2,564,624	4,778,294	2,213,670		6,579,703	(1,801,409)
Research						
State/Local Appropriations	9,613,363	2,449,681	(7,163,682)	25%	2,542,124	(92,443)
Generated Revenues	573,085	67,658	(505,427)	12%	76,052	(8,394)
Transfers	9,638,209	2,238,630	(7,399,579)	23%	2,067,882	170,748
Other Revenues	645,226	137,069	(508,157)	21%	115,232	21,837
Total Research Revenues	20,469,883	4,893,038	(15,576,845)	24%	4,801,290	91,748
Salaries and Benefits	13,587,108	3,260,473	(10,326,635)	24%	3,451,385	190,912
Other Expenses	10,573,541	1,813,549	(8,759,992)	17%	1,447,492	(366,057)
Total Research Expenses	24,160,649	5,074,022	(19,086,627)	21%	4,898,877	(175,145)
Net Research Revenue/(Expense)	(3,690,766)	(180,984)	3,509,782		(97,587)	(83,397)
Public Service						
State/Local Appropriations	937,300	234,325	(702,975)	25%	67,525	166,800
Sales and Services Revenues	8,157,646	1,294,448	(6,863,198)	16%	1,158,187	136,261
Gifts	1,743,429	187,340	(1,556,089)	11%	281,209	(93,869)
Transfers	3,025,273	1,580,453	(1,444,820)	52%	387,663	1,192,790
Other Revenues	1,715,634	302,361	(1,413,273)	18%	342,724	(40,363)
Total Public Service Revenues	15,579,282	3,598,927	(11,980,355)	23%	2,237,308	1,361,619
Salaries and Benefits	9,091,697	2,126,262	(6,965,435)	23%	1,620,268	(505,994)
Other Expenses	6,848,626	1,487,504	(5,361,122)	22%	1,039,103	(448,401)
Total Public Service Expenses	15,940,323	3,613,766	(12,326,557)	23%	2,659,371	(954,395)
Net Public Service Revenue/(Expense)	(361,041)	(14,839)	346,202		(422,063)	407,224

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the three month ended September 30, 2014
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Health Sciences Center - Total Operations Current Funds

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 25%	FY 2014 Year-to-Date Actual	FY 2015 YTD Actual Change From FY 2014 YTD Actual
Student Aid						
Gifts	1,575,006	397,108	(1,177,898)	25%	370,239	26,869
Investment Income	-	-	-	N/A	-	-
Transfers	2,086,725	513,320	(1,573,405)	25%	522,582	(9,262)
Other Revenues	-	-	-	N/A	-	-
Total Student Aid Revenues	3,661,731	910,428	(2,751,303)	25%	892,821	17,607
Salaries and Benefits	2,142,282	541,112	(1,601,170)	25%	490,769	(50,343)
Other Expenses	2,323,492	248,587	(2,074,905)	11%	476,076	227,489
Total Student Aid Expenses	4,465,774	789,699	(3,676,075)	18%	966,845	177,146
Net Student Aid Revenue/(Expense)	(804,043)	120,729	924,772		(74,024)	194,753
Student Activities						
Fee Revenues	-	-	-	N/A	-	-
Sales and Services Revenues	20,260	10,318	(9,942)	51%	6,672	3,646
Transfers	25,678	25,678	-	100%	6,663	19,015
Other Revenues	-	-	-	N/A	-	-
Total Student Activities Revenues	45,938	35,996	(9,942)	78%	13,335	22,661
Salaries and Benefits	-	-	-	N/A	-	-
Other Expenses	57,580	5,970	(51,610)	10%	2,318	(3,652)
Total Student Activities Expenses	57,580	5,970	(51,610)	10%	2,318	(3,652)
Net Student Activities Revenue/(Expense)	(11,642)	30,026	41,668		11,017	19,009
Sponsored Programs						
Federal Grants and Contracts Revenues	97,817,484	20,853,166	(76,964,318)	21%	22,109,006	(1,255,840)
State and Local Grants and Contracts Revenues	11,263,307	3,133,719	(8,129,588)	28%	2,966,626	167,093
Non-Governmental Grants and Contracts Revenues	17,311,293	4,685,421	(12,625,872)	27%	4,058,116	627,305
Gifts	-	-	-	N/A	-	-
Other Revenues	-	-	-	N/A	-	-
Transfers	1,189,916	1,096,122	(93,794)	92%	1,057,523	38,599
Total Sponsored Programs Revenues	127,582,000	29,768,428	(97,813,572)	23%	30,191,271	(422,843)
Salaries and Benefits	84,045,397	17,297,593	(66,747,804)	21%	17,523,786	226,193
Other Expenses	43,536,603	12,470,835	(31,065,768)	29%	12,667,485	196,650
Total Sponsored Programs Expenses	127,582,000	29,768,428	(97,813,572)	23%	30,191,271	422,843
Net Sponsored Programs Revenue/(Expense)	-	-	-		-	-

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
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Health Sciences Center - Total Operations Current Funds

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 25%	FY 2014 Year-to-Date Actual	FY 2015 YTD Actual Change From FY 2014 YTD Actual
Clinical Operations						
State/Local Appropriations	26,204,400	6,551,101	(19,653,299)	25%	6,238,951	312,150
Physician Professional Fee Revenues	119,451,776	29,398,842	(90,052,934)	25%	28,041,659	1,357,183
Hospital Facility Revenues	744,679,142	186,571,342	(558,107,800)	25%	167,617,755	18,953,587
Other Patient Revenues, net of Allowance	118,807,000	30,329,507	(88,477,493)	26%	24,056,897	6,272,610
Mil Levy	92,780,044	23,195,011	(69,585,033)	25%	22,775,953	419,058
Investment Income	13,238,733	2,907,194	(10,331,539)	22%	3,312,970	(405,776)
Gifts	3,151,695	1,316,315	(1,835,380)	42%	1,501,120	(184,805)
Housestaff Revenues	33,884,089	9,344,681	(24,539,408)	28%	8,740,718	603,963
Other Revenues	22,873,072	3,905,081	(18,967,991)	17%	6,552,985	(2,647,904)
Total Clinical Operations Revenues	1,175,069,951	293,519,074	(881,550,877)	25%	268,839,008	24,680,066
Salaries and Benefits	652,344,272	163,513,089	(488,831,183)	25%	149,769,922	(13,743,167)
Interest Expense	8,077,121	2,019,280	(6,057,841)	25%	2,074,112	54,832
Housestaff Expenses	33,882,767	8,564,708	(25,318,059)	25%	8,556,059	(8,649)
Other Expenses	483,706,116	119,844,097	(363,862,019)	25%	109,306,932	(10,537,165)
Total Clinical Operations Expenses	1,178,010,276	293,941,174	(884,069,102)	25%	269,707,025	(24,234,149)
Net Clinical Operations Revenue/(Expense)	(2,940,325)	(422,100)	2,518,225		(868,017)	445,917
Contingencies						
Total Contingency Revenues	2,466,898	-	(2,466,898)	0%	-	-
Total Contingency Expenses	1,266,898	-	(1,266,898)	0%	-	-
Net Contingencies Revenue/(Expense)	1,200,000	-	(1,200,000)		-	-
Net Current Revenue/(Expense)	(4,043,193)	4,311,126	8,354,319		5,129,029	(817,903)



THE UNIVERSITY of
NEW MEXICO

University Controller
Financial Services, Main Campus
1 University of New Mexico
MSC 01 1300
Albuquerque, New Mexico 87131-0001
Phone: (505) 277-5111
FAX: (505) 277-7662

DATE: November 11, 2014

TO: Mr. Ron Spilman
Director, Institutional Finance
New Mexico Higher Education Department

RE: Financial Status as of September 30, 2014

By signing below, the parties are indicating they are aware that timely and routine processes are in place and being followed and where appropriate, reviewed and approved by the Board of Regents related to:

- Comparison of approved annual operating and plant fund budgets with year-to-date revenues, expenditures and transfers;
- Comparison of current year-to-date to prior year's activities in operating and plant fund revenues, expenditures and transfers;
- Statement of net assets; and
- Statement of cash flow.

University of New Mexico

James H. Koch, Chair, Board of Regents-F&F

Date

Robert G. Frank, President

Date

David W. Harris, Chief Financial Officer

Date

The University of New Mexico

Consolidated

Summary of Operating and Plant Funds (Unadjusted and Unaudited)

Fiscal Year 2014-2015 as of September 30, 2014

Operating Funds	FY 2014-2015 Original Budget	FY 2014-2015 Actuals as of September 30, 2014	Percentage Earned/Spent
REVENUES			
Tuition and Mandatory Fees	\$ 181,992,378	\$ 88,912,241	48.9%
Student Fees	14,579,875	3,882,791	26.6%
State Appropriations	322,234,263	79,433,256	24.7%
Local Appropriations	7,063,190	223,315	3.2%
Endowment Income	1,344,385	-	N/A
Land & Perm Fund	8,228,869	1,982,049	24.1%
Gifts, Grants & Contracts	392,448,301	93,614,367	23.9%
Indirect Cost Recovery	42,563,382	10,632,437	25.0%
Sales & Services	340,492,599	94,462,883	27.7%
Other	42,461,676	11,820,417	27.8%
Use of Balance	9,908,056	-	0.0%
Total Revenue	\$ 1,363,316,974	\$ 384,963,756	28.2%
BEGINNING BALANCE	\$ 87,079,425	\$ 152,420,432	175.0%
TOTAL AVAILABLE	\$ 1,450,396,399	\$ 537,384,188	37.1%
EXPENDITURES			
Instruction	\$ 294,612,469	\$ 65,165,802	22.1%
Student Social & Cultural	9,553,029	2,456,621	25.7%
Research	196,047,502	50,229,719	25.6%
Public Service	344,669,576	83,292,220	24.2%
Academic Support	60,375,229	13,137,728	21.8%
Student Services	35,752,086	7,279,966	20.4%
Institutional Support	66,316,369	17,017,235	25.7%
Operations and maintenance of plant	50,793,366	12,304,142	24.2%
Student Aid	138,177,034	58,392,745	42.3%
Internal Services	1,631,713	-	0.0%
Auxiliary Enterprises	56,459,021	13,333,838	23.6%
Intercollegiate Athletics	31,671,746	9,324,487	29.4%
Independent Operations (clinical)	78,232,074	12,544,718	16.0%
Total Expenditures	\$ 1,364,291,214	\$ 344,479,221	25.2%
Net Transfers	\$ 30,844,831	\$ 13,096,336	42.5%
TOTAL EXPENDITURES & TRANSFERS	\$ 1,395,136,045	\$ 357,575,557	25.6%
ENDING FUND BALANCE	\$ 55,260,354	\$ 179,808,631	325.4%

Plant Funds	FY 2014-2015 Original Budget	FY 2014-2015 Actuals as of September 30, 2014	Percentage Earned/Spent
REVENUES AND TRANSFERS			
Student Fees	\$ 14,388,000	\$ 7,746,043	53.8%
UNM Bond Proceeds	100,000	-	0.0%
Gifts, Grants and Contracts	-	500	N/A
Interest Income	-	134,044	N/A
State/Local Bonds	27,012,850	1,903,034	7.0%
Land, Bldg and Equipment Rent	2,010,871	729,926	36.3%
Other	23,279,353	1,192,498	5.1%
Use of Balance	-	-	N/A
Total Revenues	\$ 66,791,074	\$ 11,706,045	17.5%
Plant Transfers	38,060,837	13,096,336	34.4%
Total Revenues and Transfers	\$ 104,851,911	\$ 24,802,381	23.7%
BEGINNING BALANCE	\$ 127,386,355	\$ 172,731,963	N/A
TOTAL AVAILABLE	\$ 232,238,266	\$ 197,534,344	85.1%
EXPENDITURES			
Capital Projects	\$ 46,310,839	\$ 12,733,128	27.5%
Building Renewal	27,000,000	3,838,412	14.2%
Equipment Renewal	11,600,000	188,813	1.6%
Auxiliary and Internal Service Renewal/Replacement	-	823,727	N/A
Debt Retirement	35,511,253	4,913,929	13.8%
Total Expenditures	\$ 120,422,092	\$ 22,498,009	18.7%
ENDING FUND BALANCE	\$ 111,816,174	\$ 175,036,335	N/A
CURRENT OPERATING & PLANT FUNDS	(47,389,252)	29,692,571	

The University of New Mexico

Consolidated

Comparison of Operating and Plant Funds (Unadjusted and Unaudited)

Fiscal Year 2014-2015 as of September 30, 2014

Operating Funds	FY 2014-2015 Actuals as of September 30, 2014	FY 2013-2014 Actuals as of September 30, 2013	% Variance Increase/ (Decrease)
REVENUES			
Tuition and Mandatory Fees	\$ 88,912,241	\$ 90,016,841	-1.2%
Student Fees	3,882,791	3,048,784	27.4%
State Appropriations	79,433,256	72,634,867	9.4%
Local Appropriations	223,315	239,702	-6.8%
Land & Perm Fund	1,982,049	1,475,413	34.3%
Gifts, Grants & Contracts	93,614,367	96,506,869	-3.0%
Indirect Cost Recovery	10,632,437	11,452,249	-7.2%
Sales & Services	94,462,883	86,671,640	9.0%
Other	11,820,417	10,749,509	10.0%
Total Revenue	\$ 384,963,756	\$ 372,795,874	3.3%
BEGINNING BALANCE	152,420,432	165,120,220	-7.7%
TOTAL AVAILABLE	537,384,188	537,916,094	-0.1%
EXPENDITURES			
Instruction	\$ 65,165,802	\$ 65,046,173	0.2%
Student Social & Cultural	2,456,621	2,053,850	19.6%
Research	50,229,719	53,423,272	-6.0%
Public Service	83,292,220	77,256,345	7.8%
Academic Support	13,137,728	12,065,813	8.9%
Student Services	7,279,966	7,256,315	0.3%
Institutional Support	17,017,235	14,623,031	16.4%
Operations and maintenance of plant	12,304,142	12,147,870	1.3%
Student Aid	58,392,745	61,377,735	-4.9%
Auxiliary Enterprises	13,333,838	14,352,389	-7.1%
Intercollegiate Athletics	9,324,487	8,975,457	3.9%
Independent Operations (clinical)	12,544,718	12,765,483	-1.7%
Total Expenditures	\$ 344,479,221	\$ 341,343,733	0.9%
Net Transfers	13,096,336	9,666,727	35.5%
TOTAL EXPENDITURES & TRANSFERS	\$ 357,575,557	\$ 351,010,460	1.9%
ENDING FUND BALANCE	\$ 179,808,631	\$ 186,905,634	-3.8%

Plant Funds	FY 2014-2015 Actuals as of September 30, 2014	FY 2013-2014 Actuals as of September 30, 2013	% Variance Increase/ (Decrease)
REVENUES AND TRANSFERS			
Student Fees	\$ 7,746,043	\$ 8,782,846	-11.8%
UNM Bond Proceeds	-	-	N/A
Gifts, Grants and Contracts	500	365,064	-99.9%
Interest Income	134,044	209,405	-36.0%
State Bonds	1,903,034	462,259	311.7%
Land, Bldg and Equipment Rent	729,926	1,416,820	-48.5%
Other	1,192,498	496,196	140.3%
Total Revenues	\$ 11,706,045	\$ 11,732,590	-0.2%
Plant Transfers	13,096,336	9,666,727	35.5%
Total Revenues and Transfers	\$ 24,802,381	\$ 21,399,317	15.9%
BEGINNING BALANCE	172,731,963	157,981,669	9.3%
TOTAL AVAILABLE	197,534,344	179,380,986	10.1%
EXPENDITURES			
Capital Projects	\$ 12,733,128	\$ 8,613,158	47.8%
Building Renewal	3,838,412	4,670,566	-17.8%
Equipment Renewal	188,813	455,535	-58.6%
Auxiliary and Internal Service Renewal/Replacement	823,727	346,060	138.0%
Debt Retirement	4,913,929	5,076,917	-3.2%
Total Expenditures	\$ 22,498,009	\$ 19,162,236	17.4%
ENDING FUND BALANCE	\$ 175,036,335	\$ 160,218,750	9.2%
CURRENT OPERATING & PLANT FUNDS	29,692,571	24,022,495	

The University of New Mexico

Statement of Net Assets (Unaudited and Unadjusted) As of September 30, 2014

Assets

Current assets		
Cash and cash equivalents	\$	41,716,178
Short term investments		314,750,378
Accounts receivable, net		63,538,800
Patient receivables, net		1,367,219
Notes receivable, net		6,703,434
Due from related parties		71,365,229
Inventories		7,249,518
Other Receivables		1,250,175
Prepaid expenses and other assets		24,497,984
Total current assets	\$	532,438,915
Noncurrent assets		
Notes receivable - noncurrent	\$	10,359,726
State Investment Council		208,507,871
Investments		431,044,165
Derivative instruments-interest rate swaps overlay note		1,905,994
Due From Component Units		26,520,098
Other noncurrent assets		1,403,705
Endowed fine art		6,008,807
Capital assets, net		929,701,306
Total noncurrent assets	\$	1,615,451,672
Total Assets		2,147,890,587

Deferred Outflow of Resources

Deferred outflow of resources-interest rate swaps	\$	10,581,948
Loss on defeased	\$	2,415,589
Total deferred flow of resources		12,997,537

Liabilities

Current liabilities		
Accounts payable	\$	84,900,641
Accrued compensated absences		27,878,835
Other accrued liabilities		13,346,095
Deferred revenue		33,701,160
Bonds payable - current		14,352,934
Deposits and funds held for others		10,447,145
Due to fiduciary fund		5,756,496
Due to Component Units		1,002,563
Total current liabilities	\$	191,385,869
Noncurrent liabilities		
Bonds payable - noncurrent	\$	389,075,372
Equipment loaned to UNM		3,863,541
Due to component units		190,888,929
Student loan program		12,496,755
OPEB Obligation		26,636,000
Derivative instruments-interest rate swaps		10,581,948
Deferred annuities payable		224,079
Total noncurrent liabilities	\$	633,766,624
Total Liabilities		825,152,493

Net Assets

Invested in Capital Assets, net of Related Debt	\$	536,951,537
Restricted for:		
Nonexpendable:		
Scholarships		119,404,531
State Investment Council		207,068,445
Grants, bequests and contributions		6,008,807
Expendable:		
Scholarships and other		2,720,350
Grants, bequests and contributions		4,078,998
Capital Projects		6,782,118
Debt Service		17,049,164
Unrestricted		405,979,110
Year-to-date change in net assets		29,692,571

Total Net Assets **\$ 1,335,735,631**

The University of New Mexico

Cash Flow

(Unaudited and Unadjusted)

For the three months ended September 30, 2014

Cash flow from operating activities:

Payments from tuition and fees	\$	84,336,622
Payments from grants and contracts		105,900,671
Payments from insurance and patients		58,580,285
Payments to suppliers		(108,942,459)
Payments for utilities		(6,951,004)
Payments to employees		(146,445,278)
Payments for benefits		(40,778,759)
Payments for scholarships and fellowships		(61,760,915)
Loans issued/collected to students and employees		(4,529,119)
Payments from sales and services		35,007,195
Other receipts		<u>12,356,112</u>
Net cash used by operating activities	\$	<u>(73,226,649)</u>

Cash flow from noncapital financing activities:

State appropriations	\$	79,527,471
Local appropriations		223,315
Other non operating payments		<u>(650,412)</u>
Net cash provided by noncapital financing activities	\$	<u>79,100,374</u>

Cash flow from capital financing activities:

Interest pmts on bonds	\$	127,460
Capital gifts & grants		2,575,885
Capital Asset activity, net		(267,933)
Other payments		<u>(197,806)</u>
Net cash used by capital financing activities	\$	<u>2,237,606</u>

Cash flow from investing activities:

Proceeds from sale & maturity of investments	\$	53,858,521
Purchase of investments		(104,933,829)
Investment income		<u>721,644</u>
Net cash used by investing activities	\$	<u>(50,353,664)</u>

Net decrease from cash and cash equivalents

	\$	(42,242,333)
Cash and cash equivalents at beginning of year		<u>83,958,511</u>
Cash and cash equivalents end of the first quarter	\$	<u><u>41,716,178</u></u>




University Services

University Services Business Operations
UNM Copy Center
UNM Mailing Systems
UNM Records Management
<http://www.unm.edu/~univserv/>

UNM Surplus Property
UnivServ Shipping and Receiving
UNM Inventory Control
Chem Stores/CRLS
Print Management Program

Date: October 24, 2014

To: Bruce Cherrin, Chief Procurement Officer
Purchasing Department

From: Debra L. Fondino 
Associate Director, University Services

Subject: Equipment Disposition – September 2014 & October 2014 / Annual Surplus Summary Report -FY14

Attached for your review and submission to the Board of Regents are the Surplus Property Disposition Detail lists for the month of September, 2014 and October, 2014. Also attached is the Annual “UNM Surplus Property: Who we are” report for FY14.

Consistent with UNM Board of Regents Policy 7.9 and the Disposition of Surplus Property Act, 13-6-1, NMSA 1978, and based upon documentation submitted by the UNM departments responsible for the equipment, I certify that the equipment identified on the monthly list is worn-out, unusable or obsolete to the extent that the items are no longer economical or safe for continued use by the University. I recommend that the items be deleted from UNM’s inventory and disposed of in accordance with the above noted Regents Policy and Surplus Property Act.

The Annual report discusses the basics of what is done in Surplus Property. It includes information on processes and services. Financial data is provided from different perspectives relating to income, expenses and sales. We have also provided statistics on Departmental Sales as well as informational statistics related to recycling efforts and the Surplus Department daily activities.

Surplus Property Department
Disposition Detail List
as of 09/19/2014

UNM#	Department surplussing equipment	Description	Manufacturer	Model#	Serial#	Year	Acquisition Cost	NBV	Method Of Disposal	Disposal Date
(1) 201204	New Mexico Union	FRYER	Pitco	145L	SILVER	11/23/1993	11,927.00	0.00	Obsolete	9/12/2014
(2) 214390	Physics Astronomy Department	COMPUTER CPU/SYSTEM	Digital	PB550A9	NI61300972	9/2/1996	28,854.00	0.00	Obsolete	9/12/2014
216021	Physics Astronomy Department	COMPUTER TAPE BACK-U	TransTech	14GB 8000XL	211	4/11/1996	7,498.00	0.00	Obsolete	9/12/2014
(3) 241555	Radiology Department	COMPUTER FILE SERVER	HewlettPac	C3600	US10176775	5/16/2000	10,986.00	0.00	Obsolete	8/29/2014
264130	Valencia County Branch	PROJECTOR	Mitsubishi	XL250U	4856	12/4/2003	5,440.00	0.00	Obsolete	9/19/2014
N00013040	ASM Info Sys Mngt Faculty	Server	Dell	Dual Core Xeon 5150 2LP1FD1		8/16/2007	6,433.03	0.00	Obsolete	9/12/2014
N00013041	ASM Info Sys Mngt Faculty	Server	Dell	Dual Core Xeon 5150 3NZ2FD1		8/16/2007	6,433.03	0.00	Obsolete	9/12/2014
N00013042	ASM Info Sys Mngt Faculty	Server	Dell	Dual Core Xeon 5150 4NZ2FD1		8/16/2007	6,433.03	0.00	Obsolete	9/12/2014

Disposition value: \$84,004.09

Disposition bookvalue: 0.00

Total Dispositon Items: 8

9/19/14

Additional Notes to Surplus listings dated 9/19/14:

1 – Asset# 201204 is a Pitco Fryer from the New Mexico Student Union that is 21 years old and very obsolete. It has been serviced 15 times in the past two years, totaling just over \$3,800 in repair costs. With the fryer requiring so many expensive repairs, it is no longer feasible to keep.

2 – Asset# 214390 is an Alpha Station computer server, manufactured by Digital, in the department of Physics & Astronomy. Although it was once used as a data analysis machine for several experiments from the AGS at Brookhaven National Lab and experiments at FermiLab and CERN, it has been obsolete for many years. The machine was purchased on a DOE grant and is UNM property, therefore allowing for its disposition.

3 – Asset# 241555 is an old HP-UX C3600 workstation utilized in the Radiology Department. Although its useful life is approximately 5 years, it is now 14 years old and is obsolete. Further, the operating system it runs (HP-UX 11.0) reached end of factory support in December 2006.

----- Note: Any item of value in this list will be re-sold through all means available, i.e.:
Request for Bid, Auction house, special auction, online sale, to recover the maximum monies to re-
invest in the mission of the requesting department.

Surplus Property Department
Disposition Detail List
as of 10/24/2014

UNM#	Department surplussing equipment	Description	Manufacturer	Model#	Serial#	Year	Acquisition Cost	NBV	Method Of Disposal	Disposal Date
186071	Center for High Tech Materials CHTM	AMPLIFIER	Mitech	AMF 8018	148882	4/28/1989	6,002.00	0.00	Obsolete	10/24/2014
198539	Center for High Tech Materials CHTM	SAMPLING HEAD	Tektronix	SD30	B010160	8/24/1992	6,024.00	0.00	Obsolete	9/26/2014
204611	Johnson Center	WEIGHT MACHINE	LifeFitness	P9344	9762	2/17/1994	7,040.00	0.00	Obsolete	10/17/2014
204612	Johnson Center	WEIGHT MACHINE	LifeFitness	FLY9344	9753	2/17/1994	7,040.00	0.00	Obsolete	10/17/2014
204613	Johnson Center	WEIGHT MACHINE	LifeFitness	SP9338	9568	2/17/1994	7,040.00	0.00	Obsolete	10/17/2014
204614	Johnson Center	WEIGHT MACHINE	LifeFitness	LAT9340	9640	2/17/1994	7,040.00	0.00	Obsolete	10/17/2014
204615	Johnson Center	WEIGHT MACHINE	LifeFitness	SR9322	9199	2/17/1994	7,040.00	0.00	Obsolete	10/17/2014
204616	Johnson Center	WEIGHT MACHINE	LifeFitness	AC9343	9731	2/17/1994	7,040.00	0.00	Obsolete	10/17/2014
204617	Johnson Center	WEIGHT MACHINE	LifeFitness	TRI9344	9721	2/17/1994	7,040.00	0.00	Obsolete	10/17/2014
204618	Johnson Center	WEIGHT MACHINE	LifeFitness	ABD9343		2/17/1994	7,040.00	0.00	Obsolete	10/17/2014
204619	Johnson Center	WEIGHT MACHINE	LifeFitness	BE9325	9303	2/17/1994	7,040.00	0.00	Obsolete	10/17/2014
204620	Johnson Center	WEIGHT MACHINE	LifeFitness	LE9343	9727	2/17/1994	7,040.00	0.00	Obsolete	10/17/2014
204621	Johnson Center	WEIGHT MACHINE	LifeFitness	LC9338	9572	2/17/1994	7,040.00	0.00	Obsolete	10/17/2014
204622	Johnson Center	WEIGHT MACHINE	LifeFitness	LP9327	9372	2/17/1994	7,040.00	0.00	Obsolete	10/17/2014
(1) 207491	Chemistry Department	DIFFRACTOMETER	Siemens	KRISTALLOFLEX	001505	12/12/1994	240,753.00	0.00	Too Costly to Repair	10/12/2014
217077	Theatre and Dance	LIGHTING SYSTEM	StrandLigh	64051	0235075	11/17/1995	6,475.00	0.00	Obsolete	10/12/2014
(1) 223078	Chemistry Department	X-RAY DIFFRACTOMETER	Siemens	D5000	C79298A3136	7/7/1997	101,211.00	0.00	Too Costly to Repair	10/12/2014
(2) 234140	Civil Engineering Civil Engr	SPECTROPHOTOMETER	Varian	ATOMIC	94101395	11/17/1998	35,112.00	0.00	Obsolete	10/6/2014
(3) 236645	Civil Engineering Civil Engr	CHROMATOGRAPH	Dionex	DX500	99040287	5/26/1999	36,962.00	0.00	Obsolete	10/6/2014
244181	OMI Medical/Academic	COMPUTER FILE SERVER	Dell	POWEREDGE 4400	190P30B	10/19/2000	9,496.00	0.00	Obsolete	10/12/2014
244182	OMI Medical/Academic	COMPUTER FILE SERVER	Dell	POWEREDGE 2400	60SH30B	10/19/2000	6,078.00	0.00	Obsolete	10/12/2014
245680	Center for High Tech Materials CHTM	OSCILOSCP-UNT/PLUGIN	Tektronix	Triggered Head	B010387	2/22/2001	5,280.00	293.72	Obsolete	9/26/2014
257560	Pathology Department	FREEZER	ThermoForm	8529	800 800-205	9/10/2002	8,463.00	0.00	Too Costly to Repair	10/6/2014
257561	Pathology Department	FREEZER	ThermoForm	8529	800 800-207	9/10/2002	8,463.00	0.00	Too Costly to Repair	10/6/2014
(4) 263481	Nursing Research	ANALYZER	UNKNOWN/OTHE	LIFESHIRT100	VIVOMETRICS 0708	10/17/2003	12,510.00	0.00	Obsolete	10/6/2014
265814	MTS Distance Education Coordination	Video Telephone	WireOneTec	Tanberg 880IP	3061993	4/22/2004	7,685.00	0.00	Obsolete	10/17/2014
N00005178	Nursing Research	Data Acquisition Vest	VivoMet	LifeShirt Model 200		8/23/2005	5,520.00	0.00	Obsolete	10/6/2014
N00005179	Nursing Research	Data Acquisition Vest	VivoMet	LifeShirt Model 200	0358	8/23/2005	5,520.00	0.00	Obsolete	10/6/2014
N00006622	IT Deputy CIO	Copier	Sharp	AR-M550U Digital	55015749	1/31/2006	9,485.00	0.00	Obsolete	10/12/2014
N00007139	CRTC New Mexico Tumor Registry NMTR	Server	Dell	PowerEdge 1850	97FQL91	4/1/2006	5,803.20	0.00	Obsolete	10/12/2014
N00007476	E PS Natural Sciences	Computer Workstation	Dell	Precision 670 MT	1J2HY91	5/15/2006	6,473.95	0.00	Obsolete	9/26/2014
N00013039	ASM Info Sys Mngt Faculty	Server	Dell	PowerVault MD1000	BZ21FD1	8/16/2007	9,145.72	0.00	Obsolete	10/24/2014
N00020833	OMI Faculty #7	SDR-S4301-T08-Data Storage Apr	Intel	SDR-S4301-T08	SDR-S4301-T08-01	5/4/2009	5,034.00	0.00	Obsolete	10/12/2014
N00020834	OMI Faculty #7	SDR-S4301-T08-Data Storage Apr	Intel	SDR-S4301-T08	SDR-S4301-T08-02	5/4/2009	5,034.00	0.00	Obsolete	10/12/2014
N00020835	OMI Faculty #7	SDR-S4301-T08-Data Storage Apr	Intel	SDR-S4301-T08	SDR-S4301-T08-03	5/4/2009	5,034.00	0.00	Obsolete	10/12/2014

Disposition value: \$632,043.87

Disposition bookvalue: 293.72

Total Dispositon Items: 35

10/24/14

Additional Notes to Surplus listings dated 10/24/14:

1 – Assets# 207491 and 223078 are Siemens Diffractometers in the Chemistry department.

These diffractometers utilize powder x-ray diffraction, a technique that uses x-rays scattered off of a powder or poly-crystalline sample to characterize the structure of the components of the sample. It is most useful in analyzing mixtures of known compounds. Each compound has a specific spectrum of the scattered x-rays. By comparison of the final spectrum the amount of each of the known components can be determined.

Note: powder X-ray diffraction is not used to determine the structure of a newly synthesized compound. Considering that these were purchased approximately 20 years ago, this may limit resale value to a potential buyer. A base fee of \$5,000 would be charged for a visit from Bruker AXS, along with \$2000/day plus travel and parts to perform service calls. Given how long the instruments have been unused, there is no guarantee that they can be made functional. It is not feasible to follow that avenue.

Both have been cleared for removal and sale by Export Control and Safety & Risk Services. Removal costs have been guesstimated at \$20,000; it may be possible to negotiate removal services in exchange for the instruments with the buyer assuming all liability and cost related to removal.

2 – Asset# 234140 is a Varian graphite furnace atomic absorption spectrophotometer purchased 16 years ago using funds from a research grant awarded to Dr. Thomson. The technology is obsolete and has not been operational since Civil Engineering moved into the new Centennial Engineering Center five years ago. Two years ago, Dr. Thomson led a team that submitted a proposal to NSF and acquired a \$400,000 HPLC-ICP-MS system that has replaced this instrument.

3 – Asset# 236645 is a Dionex ion Chromatography system, purchased 15 years ago using funds from a research grant. The instrument is no longer functioning and is no longer supported by the manufacturer. It is also obsolete and has been replaced by a new ion chromatograph.

4 – Asset# 263481 is a Vivometric Lifeshirt 100 that are obsolete and no longer of use to the College of Nursing. The Lifeshirts were originally donated to the College, being used as a multi-function monitoring system to monitor heart rates and temperature.

----- Note: Any item of value in this list will be re-sold through all means available, i.e.: Request for Bid, Auction house, special auction, online sale, to recover the maximum monies to re-invest in the mission of the requesting department.



University Services

University Services Business Operations
UNM Copy Center
Print Management Program
UNM Mailing Systems
<http://www.unm.edu/~univserv/>

UNM Surplus Property
UnivServ Shipping and Receiving
UNM Records Management
UNM Inventory Control
Chem Stores/CRLS

Surplus Property has three income sources: Internal Sales, External Sales and I&G Funding.

	Fiscal Year 2014	Fiscal Year 2013	Fiscal Year 2012
Bentley's Auction	87,090.10	70,813.75	77,952.28
**Departmental Sales, incl vehicles	14,486.50	20,184.81	22,213.44
Showroom Sales (internal)	10,600.00	13,014.20	13,667.00
Total Sales	112,176.60	104,012.76	113,832.72
I&G Funding	130,336.00	124,803.00	124,803.00
Total Net Revenue	242,512.60	228,815.76	238,635.72

**** includes vehicles and separate online auctions from Bentley's.**

Sales income, both external and internal, is unpredictable, dependent on actions of the University.

- 1 -Bentley's Auction conducts sales to the general public for items no longer of value to any department on campus. It accounts for the bulk of non-funded income.
- 2 –Departmental sales are conducted through online auctions and special events. In FY14, fewer technical assets were disposed of, yet with the onset of the Public Surplus online website the number of auctions rose. A note: the Public Surplus online site is maintained by UNM Surplus personnel to achieve maximum return to recycling efforts and reduction of waste. This requires structured processes and processing, including preparation for auction, and tracking from manifest to sale to consignment report.
- 3 –Surplus showroom sales continue to decline. These sales are meant to recycle useable equipment back into the departments to reduce the overall spending of the University. Although it does bring in monies to help support the pickup and delivery costs, its main purpose is not to generate income. Items for sale are offered at less than thrift store pricing, while supporting the true spirit of sustainability at UNM.

Notable statistics:

	FY14	FY13	FY12
Pickup Trips	1,185	1,340	1,400
Items Dropped off at Warehouse	1,597	1,999	1,762
Total Items Surplussed	14,934	18,363	9,831
Inventory / Non-Inventory Items	4,683 / 10,731	2,383 / 15,980	3,333 / 6,498

UNM Surplus Property: Who we are

UNM Surplus Property focuses on supporting the University of New Mexico Community while safeguarding confidentiality, state regulations, and safety and export control issues. Surplus Property's goal is to assist the departments in determining the best venue or method for the disposition of UNM's property that is worn-out, unusable or obsolete to the extent that the items are no longer economical or safe for continued use by the University.

Disposition Options/Resources

Surplus Property explores and utilizes a number of resources and methods when assessing the disposition of UNM property:

- RFP issue through UNM's Purchasing Department: UNM retains all proceeds, but may not have the industry-specific access for the best exposure.
- Auction through Bentley's Auction: Bentley's provides expert advice, online auctions with feeds to industry-specific sites and monthly onsite auctions. UNM pays a 25% commission fee.
- Public Surplus Government Surplus Auctions Website/GovDeals.com.
 - Surplus Property customized its own Public Surplus.com website for weekly and ad-hoc online auctions. This enables us to fully manage the sale process at virtually no cost, and to quickly post items approved for disposition. Its most practical use is to sell old furniture and items not generally sold through Bentley's Auction. Not only does it recycle assets and save them from the landfills, a great expense is avoided in paying for their disposal.
 - Surplus Property also customized its own GovDeals.com site. It is mainly used for difficult un-sold items to reach a new audience. Both PublicSurplus and GovDeals have been used on an almost-free basis, for example: chairs and tables picked up through a 'free' bidding process to use in churches, student orgs, and various non-profit entities.
- Charities, Native American tribes and Non-Profit Organizations through donation and/or low cost sale, only as permitted following State regulations.
- Industry/field related organizations: with UNM being a research university, many departments are aware of other organizations that may be interested and/or may be able to use the property.
- Other UNM departments: Surplus Property has served as a liaison and process facilitator in the recycling of property into other UNM departments. During the daily showroom hours, Surplus Property invites UNM departments to purchase, at very reasonable prices, used office furniture, office supplies, re-initialized computers, and basic medical equipment or supplies to name a few. The showroom is open five days per week, half days to allow for delivery and pickup schedules as well as warehouse cataloguing and organization and processing items for disposal.
- Recycle: utilizing UNM's Recycling department and/or outside recycling facilities weekly.
- On-site auction or sale. These normally occur when the removal costs would be expensive and takes place with departmental moves or when removing huge pieces of built-in equipment.
- Special Event Sales: Surplus personnel assist and monitor event sales for departments with over-stocked, used and/or donated items. Example: Both the Women's Volleyball and Golf departments hold 'side-walk sales' that enable them to clear out excess donated or discontinued items while receiving funds to purchase much-needed equipment for the next season.

Continued...

Departmental Sales

Another service we provide for UNM departments is to facilitate the sale of large, highly technical, medical, large quantity, high value, or otherwise unique items. Initially the current value of the property is determined by researching the original cost, net book value, and current market value; then, understanding the existing condition and functionality of the property. This is facilitated by obtaining a memo and Surplus Disposition Form from the department that explains the reasons for disposition, the property's condition, description and perceived value. The department provides pictures and a list of potentially interested parties.

In support of the UNM community, Surplus Property takes the necessary steps to ensure UNM property is handled safely in all respects. This is accomplished by working with both UNM Safety and Risk department and Export Control. Safety and Risk evaluates any potential safety hazards and/or advises on proper handling. Export Control determines any export restrictions that need to be enforced when advertising and selling property and ultimately in determining its final destination and use.

Determining the best method and/or venue of sale is the next step. Often this is a community effort, especially when dealing with highly technical property like lasers, medical, research and engineering equipment. There are a number of options to consider: advertise and sell in part or as a functioning unit, sell as scrap metal, donate to a responsible group or charity, break down and recycle, etc. In some cases, the cost for UNM to decommission or remove property is more than the value of the property. Finding a resource that is able to safely extract the property in exchange for any return that may be acquired via recycling is the best option for disposition. In this scenario, UNM avoids the costly expenses of disposition.

Surplus Property *rarely* pays e-waste or disposal costs. Every attempt is made to dispose of property and materials in an environmentally and community conscience, safe and cost effective manner. All possible resources are considered and new resources are continually researched.

Other Services and Tools

Surplus Property works in connection with the UNM IT department to ensure the security of UNM's electronic data. This is accomplished through the Hard Drive Erasure program. At the Surplus Property site, UNM Information Technology (IT) provides staff to erase the hard drives from surplus computers before they are sent to auction. Any computers less than four years old are re-initialized with a new operating system in order to be resold to a UNM department at the very reasonable price of \$50. This has proven to be valuable to departments needing an extra computer for a new temp employee or when a unit has been corrupted within their department, yet do not have the budget to purchase a new computer.

Surplus Property is also involved in the hard drive erasure process to UNM departments who are removing copiers and printers that do not have data security software in place because of the age of the equipment.

Process

Surplus Property works in conjunction with the Inventory Control department to thoroughly research equipment submitted for disposition. Assets are reviewed *before* being picked up from the requesting Department to ensure that full documentation has been obtained. The more that is known about an asset, the better the plan for its disposition and maximization of return. Assets are handled differently when sold, recycled or donated. There is also a 'holding' area for assets requiring additional documentation or awaiting approval for disposition.

SURPLUS PROPERTY

FY14:

Total Income 242,512.60

Total Expense 235,587.82

Net Income 6,924.78

FY13:

Total Income 228,815.76

Total Expense 191,056.16

Net Income 37,759.60

FY12:

Total Income 238,635.72

Total Expense 180,484.31

Net Income 58,151.41

Net Income comments:

Surplus Property will be moving out of the Elks building when a new facility is found. At that time, it is expected to need a temporary warehouse operation to accommodate operations until a new permanent home is found. Surplus and Purchasing are working with Real Estate and Capital Projects to research the options.

Diesel delivery trucks from February 2004 are now 10 years old. The estimated replacement value with lift gates and rails is approximately \$28,000 each. Surplus is considering the purchase of a used box truck for bigger pickups, e.g. remodeling projects or moves.

The net income is still in the fund balance. It has not been used.

SURPLUS PROPERTY: Funding, Sales and Expenses

		FY14 YTD	FY13 YTD	FY12 YTD	COMMENTS
I&G funding:	Budget :	130,336.00	124,803.00	124,803.00	
Sales:	Bentley's Trailers	87,090.10	70,813.75	77,952.28	
	Net Departmental Sales (including vehicles)	14,486.50	20,184.81	22,213.44	<i>Note: our commission averages 15%</i>
	Internal Sales (Showroom). Includes sales to UNMH	10,600.00	13,014.20	13,667.00	<i>Includes insurance recapture of \$1,379.20 in FY13</i>
	Total Sales	112,176.60	104,012.76	113,832.72	
	Total Net Revenue	242,512.60	228,815.76	238,635.72	
Expenses:					
	Salaries & wages	198,398.63	158,338.08	151,197.01	<i>1.5 net new FTE in FY14, paid out of sales, not I&G.</i>
	Computer equip	988.71	5,225.21	9,617.39	<i>Kronos implementation in FY12; FY13 & FY14 fees paid in FY13.</i>
	Communication	2,628.33	2,292.21	1,779.45	
	Auto Expenses: gas, repair, insurance	13,136.86	11,912.98	11,943.10	<i>Repairs and fuel increased in FY14. Paid truck loss of \$1,379.20 - recaptured.</i>
	Refuse	8,198.72	4,579.59	NA	<i>85% increase in Waste Management pick-ups in FY14. Not paid by Surplus in FY12.</i>
	Other	12,236.57	8,708.09	5,947.36	<i>FY14 includes cost of security fence for auction items, additional uniforms, hand trucks and barcode scanner.</i>
	Total Expenses	235,587.82	191,056.16	180,484.31	
Vehicles					
	Number of vehicles	4	4	3	<i>Used vehicle purchased/transferred from Recycling Dept.</i>
Staff					
	Number of staff when fully staffed	6	7		<i>Includes Temps and summer students. 6 permanent positions in 6 FY14; no additional temps.</i>
Notes	<p>> All Fiscal Years had a shortage in the budget for labor, which is made up from Bentley's Auction sales. The amounts were: FY14: 68,062.63; FY13: 33,535.08; and FY12: 26,394.01.</p> <p>> Communication expense contains voice mail, fax & phone lines, cell phones for delivery personnel and the alarm system charges.</p> <p>> Other expense includes office supplies, uniforms, personal safety equipment, professional fees, other repairs, operating costs and NMGR.</p>				

Reasons for disposition

Assets are submitted to the Board of Regents for approval of disposition for various reasons. The types of equipment include highly technical, specialized, medical and building contents. The more common reasons for disposal include:

- No longer functioning; too costly to repair or beyond repair
- Cannibalized for parts
- No longer utilized and department needs the space for expansion of labs
- End of service life and no longer compatible with other systems
- Inadequate system not compatible with newer components and programs
- No longer meets departmental needs
- Remodels and Departmental moves create surplus

There will be instances when assets will not be fully depreciated according to the service life assigned and will have a net book value remaining. Some reasons for a remaining book value at time of disposition have included:

- Item is broken and inoperable; too costly to repair or maintain
- Equipment is discontinued and cannot obtain compatible parts
- Technology is obsolete; therefore
 - Unit or system is no longer supported by manufacturer
 - Unit or system is corrupted and cannot be upgraded
- Warranty has expired
- No longer meets departmental needs
- The original years in the item's asset life was incorrectly assigned in Banner and the item was never intended to last for as long as the depreciation is set for.
- Research labs or grant projects are completed or terminate for various reasons

Surplus: FY14 Department Sales

Departmental Auction Sales FY14

Departmental Auction Sales FY14										Number of Sales Attempts			
Sold Date	Purchase Price	Net Book Value	Item	Department	Sold Amt	Total Items Sold	Commission Amt (if applicable)	Net Sale Amt	Surplus Portion	Department Portion	Public Auction Website	GovDeals Website	Bentley's
5/6/2013	N/A	N/A	79 Tables	Surplus	1,418.82	79	0.00	1,418.82	1,418.82	0.00	1		
7/26/2013	N/A	N/A	37 Assorted Chairs	Surplus Property	5.89	37	0.00	5.89	5.89	0.00	1		
8/9/2013	N/A	N/A	1972 Office Trailer Public Surp	Physical Plant	597.69	1	0.00	597.69	597.69	0.00	1		
8/9/2013	N/A	N/A	1972 Office Trailer Bentley'sII	Physical Plant	5.00	1	0.50	4.50	4.50	0.00			1
8/9/2013	6,005.00	N/A	Welding Equipment Los Alamos	Los Alamos	330.00	4	33.00	297.00	44.55	252.45			1
8/10/2013	N/A	N/A	PIT Air Handling Unit	Physical Plant	500.00	1	50.00	450.00	67.50	382.50			1
8/10/2013	N/A	N/A	Leibert Down Draft Cooling Unit	Physical Plant	500.00	1	50.00	450.00	67.50	382.50			1
2/18/2014	N/A	N/A	38 Rectangle Folding Tables	Surplus Property	652.70	38	0.00	652.70	652.70	0.00	1		
2/18/2014	N/A	N/A	31 Oval Folding Tables	Surplus Property	326.35	31	0.00	326.35	326.35	0.00	1		
2/18/2014	N/A	N/A	27 Rectangle Folding Tables	Surplus Property	390.55	27	0.00	390.55	390.55	0.00	1		
3/11/2014	N/A	N/A	5 Stool Chairs	Surplus Property	97.37	5	0.00	97.37	97.37	0.00	1		
3/11/2014	N/A	N/A	12 Rose-Tinted Chairs	Surplus Property	1.07	12	0.00	1.07	1.07	0.00	1		
3/12/2014	N/A	N/A	28 Purple Fabric Chairs	Surplus Property	202.50	28	0.00	202.50	202.50	0.00	1		
3/12/2014	N/A	N/A	24 Plastic Stackable Chairs	Surplus Property	41.00	24	0.00	41.00	41.00	0.00	1		
3/12/2014	N/A	N/A	6 Office Chairs	Surplus Property	1.07	6	0.00	1.07	1.07	0.00	1		
3/13/2014	N/A	N/A	4 Square Table	Surplus Property	22.47	4	0.00	22.47	22.47	0.00	1		
3/13/2014	N/A	N/A	7 Round Tables	Surplus Property	54.57	7	0.00	54.57	54.57	0.00	1		
3/13/2014	N/A	N/A	8 Brown Patterned Fabric Chairs	Surplus Property	22.47	8	0.00	22.47	22.47	0.00	1		
3/17/2014	N/A	N/A	20 Herman Miller Chairs	Surplus Property	2,113.25	20	0.00	2,113.25	2,113.25	0.00	1		
3/17/2014	N/A	N/A	14 Desk Chairs	Surplus Property	21.94	14	0.00	21.94	21.94	0.00	1		
3/17/2014	N/A	N/A	8 Folding Tables	Surplus Property	87.74	8	0.00	87.74	87.74	0.00	1		
3/19/2014	N/A	N/A	Sentry Safe Model R3822	Surplus Property	224.70	1	0.00	224.70	224.70	0.00		1	
3/21/2014	N/A	N/A	2 Krueger Black Chairs	Surplus Property	6.42	2	0.00	6.42	6.42	0.00	1		
3/24/2014	N/A	N/A	Roll-Top Desk with Hutch	Surplus Property	239.15	1	0.00	239.15	239.15	0.00	1		
3/24/2014	N/A	N/A	40 Plastic Stackable Chairs	Surplus Property	131.08	40	0.00	131.08	131.08	0.00	1		
3/24/2014	N/A	N/A	6 Fabric Chairs	Surplus Property	38.52	6	0.00	38.52	38.52	0.00	1		
3/31/2014	N/A	N/A	6 Rectangle Folding Tables	Surplus Property	44.93	6	0.00	44.93	44.93	0.00	1		
3/31/2014	N/A	N/A	4 Rectangle Foldilg Tables	Surplus Property	50.28	4	0.00	50.28	50.28	0.00	1		
4/10/2014	N/A	N/A	Metal Table with Drawers	Surplus Property	81.32	1	0.00	81.32	81.32	0.00	1		
4/10/2014	N/A	N/A	90 Plastic Blue Stackable Chairs	Surplus Property	157.83	90	0.00	157.83	157.83	0.00	1		
4/10/2014	N/A	N/A	10 Purple Vinyl Chairs	Surplus Property	67.41	10	0.00	67.41	67.41	0.00	1		
4/10/2014	N/A	N/A	6 Cream Vinyl Chairs	Surplus Property	42.80	6	0.00	42.80	42.80	0.00	1		
4/10/2014	N/A	N/A	Storage Metal Cabinet	Surplus Property	43.87	1	0.00	43.87	43.87	0.00	1		
4/11/2014	\$3,995.00	\$1,153.82	Asset Tag #266121 - Drill	Copy Center	250.00	1	25.00	225.00	225.00	0.00			1
4/11/2014	\$7,300.00	\$648.22	Asset Tag #244995 - Cutter	Copy Center	600.00	1	60.00	540.00	540.00	0.00			1
4/11/2014	\$19,508.00	\$0.00	Asset Tag #N00019984 - Scanner	Copy Center	1,700.00	1	170.00	1,530.00	1,530.00	0.00			1
4/11/2014	\$15,434.00	\$0.00	Asset Tag #234501 - Binding Machine	Copy Center	1,000.00	1	100.00	900.00	900.00	0.00			1
4/11/2014	\$46,997.95	\$29,373.70	Jampro Antenna	KUNM	150.00	1	37.50	112.50	16.87	95.63			1
4/21/2014	N/A	N/A	Multimedia Cabinet	Surplus Property	54.57	1	0.00	54.57	54.57	0.00	1		
4/21/2014	N/A	N/A	3 Sets of Storage Lockers	Surplus Property	155.15	3	0.00	155.15	155.15	0.00	1		
4/22/2014	N/A	N/A	2 Grey Patterned Office Chairs	Surplus Property	1.07	2	0.00	1.07	1.07	0.00	1		
4/22/2014	N/A	N/A	100 Assorted Chairs	Surplus Property	56.18	100	0.00	56.18	56.18	0.00	1		
4/22/2014	N/A	N/A	3 Burgundy Fabric Chairs	Surplus Property	3.48	3	0.00	3.48	3.48	0.00	1		
4/22/2014	N/A	N/A	5 Turquoise Fabric Chairs	Surplus Property	11.24	5	0.00	11.24	11.24	0.00	1		
3/10/2014	688,166.00	37,033.63	41 Vehicles	PPD Automotive	42,625.00	41	6,393.75	36,231.25	3,623.13	32,608.13			1
\$787,405.95		\$68,209.37	Totals		\$55,127.45	\$684.00	\$6,919.75	\$48,207.70	\$14,486.50	\$33,721.21	34	1	10

Surplus Stats FY 14

Period of Regents' List	Pickup Trips Completed by Surplus Movers	# of items delivered to Surplus from Departments	# of items sold to Depts	Total Number of Items Surplussed	Inventory Items	Non-Inventory Items	Total Value of Items Listed on Regents Report	# of items sold with OS Installed	Bentley Auction			Trailer Weights (Bentley)			Waste Management			Metal Recycling		
									# of items Sold	Month of Auction	Amount of Sale	Net weight/lbs	Date	Trailer#	Tonnage	Period	weight/lbs	Period		
July 1 thru July 12	62	42	21	754	643	111	\$ 302,490.00	1	769	June13	8,711.44	22,080	07/23/13	28	7.73	tons	07-13	2840	lbs	07/09/13
July 15 thru Aug 16	180	131	65	2292	2030	262	\$ 67,066.93	1	943	July13	6,413.63	19,880	08/21/13	29	13.19	tons	08-13	3580	lbs	07/22/13
Aug 19 thru Sept 20	157	113	59	1255	195	1060	\$ 327,494.54	0	1528	Aug & Sept	10,100.47	9,180	09/05/13	30	7.77	tons	09-13	4,280	lbs	07/30/13
Sept 23 thru Oct 11	89	481	37	1526	343	1183	\$ 121,792.35	0	406	Oct13	5,390.85	15,540	09/19/13	31	11.24	tons	10-13	5,860	lbs	08/07/13
Oct 14 thru Nov 15	157	23	51	865	153	712	\$ 138,270.12	0	755	Nov13	8,152.73	19,540	10/08/13	32	4.10	tons	11-13	4,420	lbs	08/14/13
Nov 18 thru Dec 20	103	397	24	1771	365	1406	\$ 1,497,482.26	0	1163	Dec13	9,398.82	20,360	11/12/13	33	3.89	tons	12-13	3620	lbs	08/16/13
Jan 1 thru Jan 17	47	2	12	610	83	527	\$ 98,437.94	2	587	Jan14	7,621.65	15,560	12/02/13	34	3.10	tons	01-14	3,900	lbs	08/22/13
Jan 20 thru Feb 14	94	67	44	943	160	783	\$ 109,793.12	0	520	Feb14	7,447.29	18,920	01/08/14	35	8.08	tons	02-14	2,560	lbs	08/26/13
Feb 17 thru Mar 14	115	214	10	2179	381	1798	\$ 214,349.14	0	674	Mar14	6,305.76	17,800	01/29/14	36	3.36	tons	03-14	900	lbs	10/02/13
Mar 17 thru Apr 14	71	40	21	1023	82	941	\$ 174,133.10	2	484	Apr14	5,695.74	18,060	02/25/14	37	3.38	tons	04-14	3,380	lbs	10/02/13
Apr 21 thru May 23	110	87	31	1716	248	1948	\$ 139,801.98	2	1219	May 14	11,761.74	17,480	03/13/14	38	0.00	tons	05-14	3680	lbs	10/22/13
										Sep-14	89.99	21,180	04/15/14	39	3.48	tons	06-14	320	lbs	10/23/13
												19,980	05/06/14	40				860	lbs	11/21/13
												18,020	05/27/14	41				3,560	lbs	01/24/14
												11,260	06/18/14	42				2,700	lbs	02/17/14
																		3,180	lbs	02/28/14
																		4,620	lbs	03/14/14
																		4,340	lbs	04/03/14
																		7,480	lbs	05-30-14
																		2,980	lbs	06/30/14
Totals	1,185	1,597	375	14,934	4,683	10,731	\$ 3,191,111.48	8	9048		87,090.11	264,840			69.32			69,060	lbs	

UNM ID #	Description	Cost	NBV	Sales Price	Bentley Commission (15%)	Net Proceeds	University Services (10%)	PPD Expenses Related to Sale	Department's Portion	Lot	Notes
140111	1980 Ford F-150 Pickup W/ Liftgate ~ 109,236 Miles	\$ 6,400.00	\$0.00	\$ 750.00	\$ 112.50	\$ 637.50	\$ 63.75	\$ 437.14	\$ 136.61	5039	
206124	1994 Ford Crown Victoria ~ 32,663 Miles	14,166.00	0.00	1,200.00	180.00	1,020.00	102.00	217.32	\$ 700.68	5026	
173598	1986 Ford E-350 Club Wagon ~ 170,022 Miles	15,139.00	0.00	550.00	82.50	467.50	46.75	217.32	\$ 203.43	5001	
184500	1989 Ford E-350 Club Wagon ~ 25,006 Miles	17,691.00	0.00	550.00	82.50	467.50	46.75	217.32	\$ 203.43	5021	
172999	1985 Chevrolet C/K 20 Pickup ~ 54,036 Miles	11,002.00	0.00	1,800.00	270.00	1,530.00	153.00	217.32	\$ 1,159.68	5016	
207542	1977 Dodge W20 Utility 4x4 Ambulance ~ 48,312 Miles	1,400.00	0.00	750.00	112.50	637.50	63.75	217.32	\$ 356.43	5037	
207543	1977 Dodge W20 Utility 4x4 Ambulance ~ 92,950 Miles	1,400.00	0.00	900.00	135.00	765.00	76.50	217.32	\$ 471.18	5030	
206719	1994 Ford Crown Victoria (Cng) ~ 58,470 Miles	16,671.00	0.00	900.00	135.00	765.00	76.50	217.32	\$ 471.18	5022	
170230	1985 Chevrolet C/K 20 Pickup ~ 79,816 Miles	7,666.00	0.00	675.00	101.25	573.75	57.38	217.32	\$ 299.06	5012	
215596	1996 Ford F-150 Pickup ~ 156,555 Miles	14,609.00	0.00	1,100.00	165.00	935.00	93.50	217.32	\$ 624.18	5017	
217993	1996 Dodge B3500 Ram Wagon ~ 141,675 Miles	18,936.00	0.00	1,600.00	240.00	1,360.00	136.00	217.32	\$ 1,006.68	5025	
222041	1996 Chevrolet Lumina ~ 69,982 Miles	17,794.00	0.00	1,500.00	225.00	1,275.00	127.50	217.32	\$ 930.18	5014	
221928	1997 Ford Aerostar Minivan ~ 96,432 Miles	14,970.00	0.00	1,050.00	157.50	892.50	89.25	217.32	\$ 585.93	5011	
170210	1985 Chevrolet C/K 20 Pickup ~ 83,484 Miles	8,801.00	0.00	1,050.00	157.50	892.50	89.25	217.32	\$ 585.93	5013	
235228	1999 Ford Taurus ~ 95,508 Miles	15,493.00	0.00	1,000.00	150.00	850.00	85.00	217.32	\$ 547.68	5027	
244170	2000 Ford Crown Victoria ~ 50,498 Miles	20,044.00	0.00	1,700.00	255.00	1,445.00	144.50	217.32	\$ 1,083.18	5023	
246393	1994 Dodge Grand Caravan ~ 86,871 Miles	7,495.00	832.44	700.00	105.00	595.00	59.50	217.32	\$ 318.18	5038	4
*	2002 Dodge B3500 Ram Wagon ~ 33,406 Miles	Not Available	Not Available	3,000.00	450.00	2,550.00	255.00	217.32	\$ 2,077.68	5024	1, 2
260335	2003 Ford Crown Victoria ~ 96,310 Miles	20,487.00	0.00	1,100.00	165.00	935.00	93.50	217.32	\$ 624.18	5002	
265580	2004 Ford Crown Victoria ~ 67,911 Miles	20,487.00	0.00	1,700.00	255.00	1,445.00	144.50	217.32	\$ 1,083.18	5015	
140110	1980 GMC WX-64 Xpedito2 Trash Truck ~ 151,575 Miles	62,802.00	0.00	2,250.00	337.50	1,912.50	191.25	217.32	\$ 1,503.93	5036	
231109	1998 Ford F-450 Econoline Bus ~ 194,586 Miles	47,909.00	0.00	1,000.00	150.00	850.00	85.00	217.32	\$ 547.68	5033	
243675	2000 Bluebird 30 Passenger Bus ~ 29,300 Hub-O-Mete	72,624.00	8,069.58	3,150.00	472.50	2,677.50	267.75	217.32	\$ 2,192.43	5040	4, 5
243676	2000 Bluebird 30 Passenger Bus ~ 192,143 Miles	72,624.00	8,069.58	2,000.00	300.00	1,700.00	170.00	217.32	\$ 1,312.68	5032	4, 6
244495	2000 Bluebird 48 Passenger Bus ~ 26,903 Hub-O-Mete	118,884.00	13,209.58	3,000.00	450.00	2,550.00	255.00	217.32	\$ 2,077.68	5041	4, 7
253699	2001 Chevrolet Express 3500 Cargo Bus ~ 116,588 Mi	38,545.00	6,852.45	1,400.00	210.00	1,190.00	119.00	217.32	\$ 853.68	5029	4, 8
*	2003 Ford E-450 Super Duty Bus ~ 152,040 Miles	Not Available	Not Available	800.00	120.00	680.00	68.00	217.32	\$ 394.68	5031	1, 2
*	2003 Ford E-450 Econoline Bus ~ 150,576 Miles	Not Available	Not Available	600.00	90.00	510.00	51.00	217.32	\$ 241.68	5028	1, 2
*	2006 Bluebird 53 Passenger Bus ~ Digital Odometer	0.00	0.00	700.00	105.00	595.00	59.50	217.32	\$ 318.18	5043	1, 3
*	2006 Bluebird 53 Passenger Bus ~ Digital Odometer	0.00	0.00	700.00	105.00	595.00	59.50	217.32	\$ 318.18	5042	1, 3
*	2006 Bluebird 53 Passenger Bus ~ Digital Odometer	0.00	0.00	700.00	105.00	595.00	59.50	217.32	\$ 318.18	5044	1, 3
*	1994 E-Z-Go Golf Cart, 1,126 Hours, W/ Toolbox,	Not Available	Not Available	225.00	33.75	191.25	19.13	217.32	\$ (45.20)	5005	1
220108	1996 E-Z-Go Golf Cart, 5,135 Hours, W/ Toolbox	6,378.00	0.00	175.00	26.25	148.75	14.88	217.32	\$ (83.45)	5004	
*	1996 E-Z-Go Golf Cart, 1,037 Hours, W/ Toolbox	Not Available	Not Available	150.00	22.50	127.50	12.75	217.32	\$ (102.57)	5003	1
*	1999 Club Car Golf Cart, Unknown Hours, Gas Engine	Not Available	Not Available	600.00	90.00	510.00	51.00	217.32	\$ 241.68	5008	1
*	2001 Club Car Golf Cart, 2,576 Hours, Gas Engine	Not Available	Not Available	150.00	22.50	127.50	12.75	217.32	\$ (102.57)	5006	1
130283	Accutrak 8' Box Trailer, Single Axle N/A Miles	1,102.00	0.00	500.00	75.00	425.00	42.50	0.00	\$ 382.50	5018	
194514	1977 Park Hurst Van Trailer N/A Miles	16,647.00	0.00	500.00	75.00	425.00	42.50	0.00	\$ 382.50	5020	
*	1994 Toro Groundmaster 224 Lawn Mower, Power Steering	Not Available	Not Available	150.00	22.50	127.50	12.75	0.00	\$ 114.75	5007	1
*	1994 Toro Groundmaster 224 Lawn Mower, Power Steering	Not Available	Not Available	150.00	22.50	127.50	12.75	0.00	\$ 114.75	5009	1
*	1994 Toro Groundmaster 224 Lawn Mower, Power Steering	Not Available	Not Available	150.00	22.50	127.50	12.75	0.00	\$ 114.75	5010	1
TOTALS		\$ 688,166.00	\$37,033.63	\$ 42,625.00	\$ 6,393.75	\$ 36,231.25	\$ 3,623.13	\$ 8,043.34	\$ 24,564.79	41	

Vehicle Auction was held March 14, 2014

Notes:

¹ Non-inventoried vehicle; no financial information available in Banner.

² UH Vehicle.

³ Vehicles donated to Parking & Transportation from the Los Lunas School Buses.

⁴ Depreciation stated in Banner as "Conversion 15 years". Currently depreciation is recognized for 7 years, instead of 15 years, for this type of vehicle.

⁵ Needed transmission overhaul and suspension overhaul; estimated cost to repair \$7k-\$9k.

⁶ Needed radiator replacement, cooling system flush and suspension overhaul; estimated cost to repair \$7k-\$8k.

⁷ Needed engine overhaul and Compressed Natural Gas tank replacement; estimated cost to repair \$50k-\$60k.

⁸ Needed exhaust work, new catalytic converters, suspension repair and alignment; estimated cost to repair \$3,500.




Office of Planning, Budget & Analysis

MEMORANDUM

TO: Members of the Board of Regents' Finance & Facilities Committee

THRU: Robert G. Frank, President
David W. Harris, EVP for Administration, COO, and CFO

FROM: Andrew Cullen, Associate VP Office of Planning, Budget & Analysis 

DATE: November 14, 2014

SUBJECT: Approval of the Innovate ABQ Inc. Budget/Regent Loan Payback

There has been significant progress made since the purchase of the First Baptist Church site on July 11, 2014. Along with the finalization of legal milestones, the existing facilities have been fully secured to prevent vandalism, roof repairs have been completed, and progress has been made with the Master Plan and Design Guidelines after numerous meetings with stakeholders and community representatives.

With the support of the Board of Regents, significant environmental testing and legal analysis occurred prior to closing on the property. These efforts, and specifically the legal reviews, resulted in a budget overrun of approximately \$174K. As the spreadsheet on the following page depicts, additional "post-acquisition costs" have also been incurred totaling approximately \$136K. These additional expenses have been partially offset with revenues from the Lovelace parking lease, which generates approximately \$5,200 per month.

As you recall, the Regents approved the use of \$2.0 million in Regent Endowment funds while awaiting the transfer of Innovate ABQ funds from the City of Albuquerque. The funds from the City are scheduled to be transferred to Innovate ABQ Inc. during the first or second week of December, 2014.

In accordance with the wishes of the Board of Regents' Finance & Facilities Committee, the University will return the \$2.0 million in loan proceeds, plus interest of approximately \$42,000, to the UNM Foundation once the City funds are received. With the return of the loan proceeds, interest and funding of the cost overruns referenced above the use of the Railroad Settlement funds that were received when the First Baptist Church property acquisition closed will be required. As the attached spreadsheet details, the use of approximately \$290,000 of the \$500,000 in settlement proceeds will be used to fund expenditures to date, with the balance of funds being retained as working capital for the Innovate ABQ Inc. Board of Directors.

I look forward to discussing the Innovate ABQ Inc. budget with the Board of Regents. Thank you for your consideration.

INNOVATE ABQ DOWNTOWN BUDGET

	Original Budget	Projected Actuals (1)
Revenues		
Economic Development Administration (EDA)	1,498,048	1,498,048
New Mexico Educators Federal Credit Union (NMEFCU)	3,000,000	3,000,000
City of Albuquerque	-	2,000,000
UNM Foundation Funds (Regent's Endowment "Loan")	2,000,000	-
UNM Foundation Funds (Regent's Endowment - Initial)	800,000	800,000
Railroad Settlement Funds	-	500,000
Lovelace Parking Lease	-	41,400
Total Revenues	7,298,048	7,839,448
Expenditures		
<i>Property Acquisition</i>		
Property Purchase Price	6,650,000	6,650,000
Real Estate Transaction Costs	119,900	115,616
Environmental Reports	75,000	63,421
Legal Fees	85,000	275,072
Subtotal	6,929,900	7,104,109
<i>Site Planning / Architectural</i>		
Master Plan	187,250	187,250
Design Guidelines	160,500	160,500
Subtotal	347,750	347,750
<i>Post Acquisition Costs</i>		
Roof Repairs & Protection of Asset	-	86,328
Property Insurance and Security	-	45,971
Other Consultant Fees	15,000	3,595
Regents Loan - Estimated Interest (153 Days @ 5%)	-	41,918
Contingency	5,398	-
Subtotal	20,398	177,812
Total Expenditures	7,298,048	7,629,671
Balance (2)	-	209,777

(1) Projected Actual Revenues & Expenditures through 3/31/2015

(2) Working Capital



Office of Capital Projects

**MEMORANDUM TO ADVANCE
COMMITTEE AGENDA ITEM TO
THE BOARD OF REGENTS
THE UNIVERSITY OF NEW MEXICO**

DATE: October 29, 2014
TO: David W. Harris, EVP for Administration, COO & CFO
FROM: Chris Vallejos, Associate Vice President, Institutional Support Services
RE: Requested Approval

RECOMMENDED ACTION:

Recommend to the Board of Regents Finance and Facilities Committee the following:

1. Request for Capital Project Approval for UNM Taos Health Career Training Center

Please see attached summary and drawings.

cc: Lisa Marbury, Executive Director, Institutional Support Services
Vahid Staples, Budget Officer, Office of Planning, Budget & Analysis
Amy Coburn, Interim University Architect, Planning & Campus Development
R. Henrard, C. Martinez. E. Schwaner – OCP

**REQUEST FOR CAPITAL PROJECT APPROVAL for
UNM Taos Health Career Training Center
UNIVERSITY OF NEW MEXICO
November 14, 2014**

RECOMMENDED ACTION:

In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested for the UNM Taos Health Career Training Center.

PROJECT DESCRIPTION:

The project will create the Taos Health Career Training Center for the University of New Mexico Taos Branch and will include renovations to the existing Rio Grande Hall portion of the Taos Civic Plaza and Convention Center building. The project will provide for corrections of building deficiencies, both performance of deferred maintenance work, and outfitting the renovated portion with furniture, fixtures and equipment for use by the Education program.

Redevelopment of the Taos Civic Center is broken into distinct phases of deferred maintenance and renovation projects to maximize the use of existing and new UNM-Taos funds and resources. Building renewal projects and a remodel of 13,369 SF of the Rio Grande Hall portion of the building will provide classrooms and labs for the full Health Sciences program with additional STEM classrooms ready for occupancy in Fall 2015.

Scope # 1 – Correct building deficiencies and perform deferred maintenance functions such as new roofing, utility upgrades, stucco repair, windows, surface drainage, additional insulation, update security, and fire protection.

Scope # 2 – The tenant improvement will include teaching space for a Simulation Lab, Massage Therapy, EMT Training, Chemistry Lab, Biology Lab, General Classrooms, and support spaces. It will also include faculty offices, conference areas, student study and gathering areas, and a new IT closet. In addition, minor HVAC, plumbing, energy control systems, power upgrades and Information Technology will be addressed. Furthermore, furniture, fixtures and equipment will be included to provide the facilities with the needed design specifications and programs, including the use of modular wall partitions to facilitate rapid construction and future flexibility as programs change or grow.

RATIONALE:

Health Services professions are the fastest growing employment sector in Taos County, and UNM Taos' ability to fill these particular needs is currently challenged by the fact that UNM-Taos current leased facility is overcrowded, non-functional and over scheduled.

The Health Education program is currently being taught at the Med Center in approximately 4,500 sf. of leased space. The Med Center has not been able to keep up with the multi-discipline programs growth. Classes are overcrowded, and the demand has them scheduling the facility 7 days per week, scheduling is complex and frustrating. Class sizes are limited by the space

available, storage is a major issue with equipment often being stored in the open, causing a further strain on space. Some Nursing and Emergency Medical Technician training sessions are often conducted by laying sheets on the floors of the hallways.

The need for additional classroom, laboratory and office space is critical to the future accreditation of the UNM Taos Nursing Program (ADN-RN) and to future growth of UNM Taos Health Services Career Programs.

Conversion of The Taos Civic Center facilities present a unique opportunity to:

Significantly reduce the Capital Cost of building a new facility.

Significantly shorten the time frame to acquire and occupy a facility.

Reduce the number of facilities under management by UNM Taos from three to two.

Remove the threat to the Nursing Program Accreditation.

Remove the restriction to Health Services Career Programs growth.

The Taos Civic Center Facilities Condition Report shows the facilities to be structurally sound and more than adequate for the program needs outlined.

Repurposing and equipping the existing facilities provides a substantial cost savings to the alternative of developing a new building to meet UNM-Health Sciences space shortage and programmatic needs.

Repurposing the facilities offer a faster timeline to respond to accreditation and student growth issues.

FUNDING:

The total estimated Project Budget is: \$4,624,000:

- \$4,000,000 is funded from 2014 General Obligation Bonds
- \$624,000 is funded from Taos Educational Gross Receipts Tax

UNIVERSITY OF NEW MEXICO

[illegible]

121 GNVC PLU
TAOs, NM 87

0	AT
1	ARCHITECTURAL FINISH-POUR
2	CONCRETE
3	CONCRETE FINISHED & INSTALLED
4	CONCRETE MASONRY UNIT
5	ELECTRIC
6	EXTERIOR
7	FOUNDATION
8	PIPE EXTERIOR SURFACE
9	FIRST FLOOR
10	INTERIOR
11	METAL
12	NO
13	NOT TO SCALE
14	NOT IN CONFLICT
15	NOT FINISHABLE
16	ON CENTER
17	ORIENTED 1/2" THICK BOARD
18	OTHER FINISHED CONCRETE INSTALLED
19	OTHER FINISHED, DENSELY INSTALLED
20	PRESSURE TREATED
21	SHAPED
22	SPRIGGING, SEE PROJECT MANUAL
23	STRUCTURAL, SEE STRUCTURAL
24	TOP OF
25	TRIM
26	UNLESS NOTED OTHERWISE
27	UP IN FIELD
28	W/IN

OWNER:
UNIVERSITY OF NEW MEXICO - KLAUER CAMPUS
1157 COUNTY ROAD 110
RANCHOS DE TACO, NM 87507
CONTACT: JIM POLLARD

ARCHITECT:
LYING DESIGN GROUP ARCHITECTS
1224 DONA LUZ ST
TACO, NM 87571
CONTACT: DOUGLAS PATTERSON, AIA
T: 505.751.0481

GENERAL	
G-100	COVER SHEET
EXISTING CONDITIONS	
AE-101	EXISTING FLOOR PLAN
ARCHITECTURAL	
A-101	OVERALL FLOOR PLAN
A-102	ENLARGED FLOOR PLAN

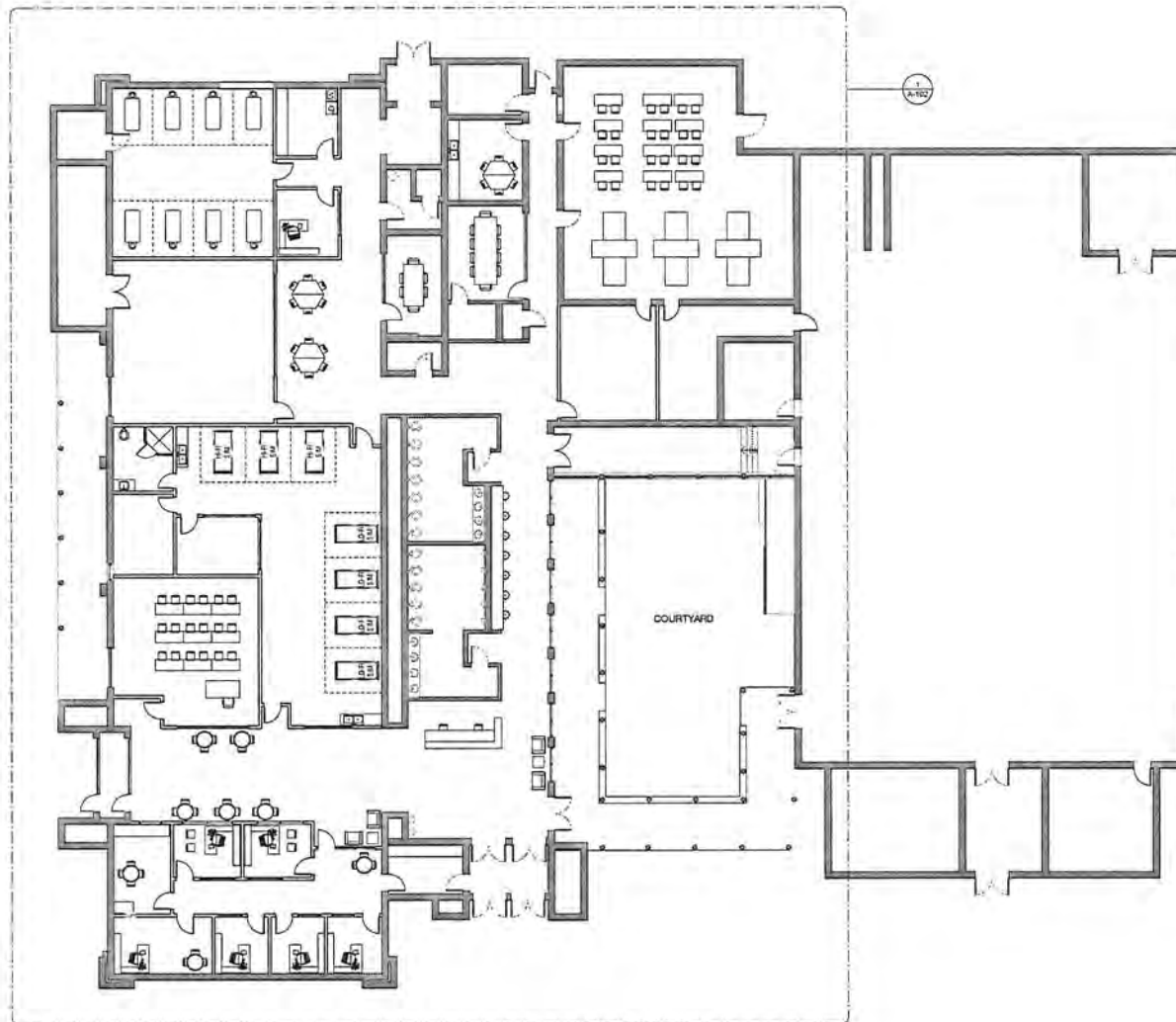
**NOT FOR
CONSTRUCTION**

TAOS CIVIC PLAZA RENOVATION - PHASE 1
UNIVERSITY OF NEW MEXICO
RIO GRANDE HALL - 121 CIVIC PLAZA DRIVE, TAOS, NM 87571

PROJ. NO. 2013-840		
ISSUE DATE 03.31.14		
ISSUED FOR FEASIBILITY STUDY		
REVISIONS:		
NO.	DATE	DESCRIPTION
DRAFTED BY:		RD
APPROVED BY:		LDO
SCALE		

COVER SHEET

G-100



① OVERALL FLOOR PLAN
3/32" = 1'-0"

AREAS:

RIO GRANDE HALL
16,179 G.S.F.

-HEALTH SERVICES CAREER PROGRAM
7,522 N.S.F.

-COMMON AREA
3,659 N.S.F.

-LABS
2,690 N.S.F.

BATAAN HALL
8,868 G.S.F.
6,155 N.S.F.

LEGEND:

- DOOR TAG
- EXISTING CONSTRUCTION TO REMAIN
- NEW CONSTRUCTION



122A DOÑA LUZ
TAOS, NM 87571
t: 575.751.9481
f: 575.751.9483
www.ldgtecos.com

NOT FOR
CONSTRUCTION

TAOS CIVIC PLAZA RENOVATION - PHASE 1
UNIVERSITY OF NEW MEXICO
RIO GRANDE HALL - 121 CIVIC PLAZA DRIVE, TAOS, NM 87571

PROJ. NO. 2013-040
ISSUE DATE 03.31.14
ISSUED FOR:
FEASIBILITY STUDY

REVISIONS	NO.	DATE	DESCRIPTION

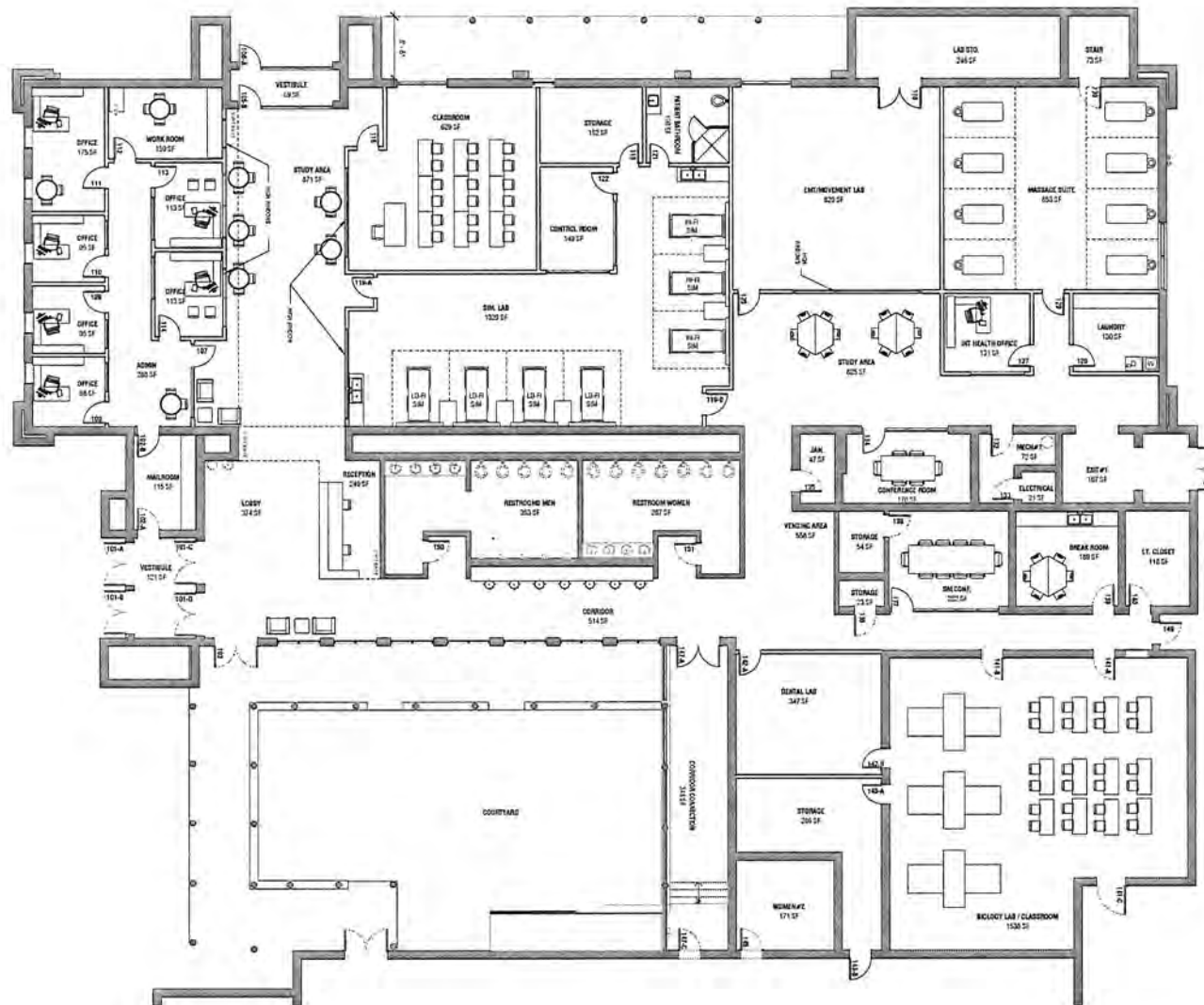
DRAWN BY: LDG
APPROVED BY: LDG

SCALE: As indicated

**OVERALL FLOOR
PLAN**

A-101

2:00 ACTIVE PROJECT, 2013-2014, UNIV. OF NEW MEXICO, TAOS, NM, CIVIC PLAZA DRIVE, TAOS, NM 87571, PHASE 1, RENOVATION, RIO GRANDE HALL, BATAAN HALL, 121 CIVIC PLAZA DRIVE, TAOS, NM 87571



1 PROPOSED FLOOR PLAN
1/8" = 1'-0"

AREAS:

RIO GRANDE HALL
16,179 G.S.F.

- HEALTH SERVICES CAREER PROGRAM
7,522 N.S.F.

- COMMON AREA
3,659 N.S.F.

- LABS
2,690 N.S.F.

BATAAN HALL
8,888 G.S.F.
8,155 N.S.F.



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NOT FOR
CONSTRUCTION

TAOS CIVIC PLAZA RENOVATION - PHASE 1

UNIVERSITY OF NEW MEXICO
RIO GRANDE HALL- 121 CIVIC PLAZA DRIVE, TAOS, NM 87571

PROJ. NO. 2013-040
ISSUE DATE 03.31.14
ISSUED FOR FEASIBILITY STUDY

REVISIONS	NO.	DATE	DESCRIPTION

DRAWN BY: RIG
APPROVED BY: LDG

SCALE: 1/8" = 1'-0"

ENLARGED FLOOR
PLAN

A-102

LEGEND:

- DOOR TAG
- EXISTING CONSTRUCTION TO REMAIN
- NEW CONSTRUCTION

Z:\PROJECTS\2013\2013-040\PHASE 1\Taos Civic Plaza Renovation - Phase 1.dwg

LOBO DEVELOPMENT

PARTNERSHIP + INVESTMENT + COMMUNITY

801 University Blvd. SE, Suite 207
Albuquerque, NM 87106
505-925-1600
<http://lobodevelopment.org>

October 28, 2014

Mr. David W. Harris
EVP for Administration, COO & CFO
The University of New Mexico
Albuquerque NM 87131

RE: Appointment of J. "Mel" Eaves as Non-Positional Board Member

Dear EVP Harris,

Per the terms of the corporate Bylaws, Lobo Development Corporation's non-positional board members are to be appointed by the Board of Regents of The University of New Mexico for terms of one year.

The Lobo Development Corporation Board of Directors request that you place the appointment of J. "Mel" Eaves as a non-positional board member on the agenda for the November 11, 2014 meeting of the Board of Regents' Finance & Facilities Committee.

Sincerely,



Chris Vallejos
Secretary/Treasurer




Office of Planning, Budget & Analysis

MEMORANDUM

TO: Members of the Board of Regents' Finance & Facilities Committee

THRU: Robert G. Frank, President
David W. Harris, EVP for Administration, COO, and CFO

FROM: Andrew Cullen, Associate VP Office of Planning, Budget & Analysis 

DATE: November 11, 2014

SUBJECT: FY 2015/2016 Budget Development Update

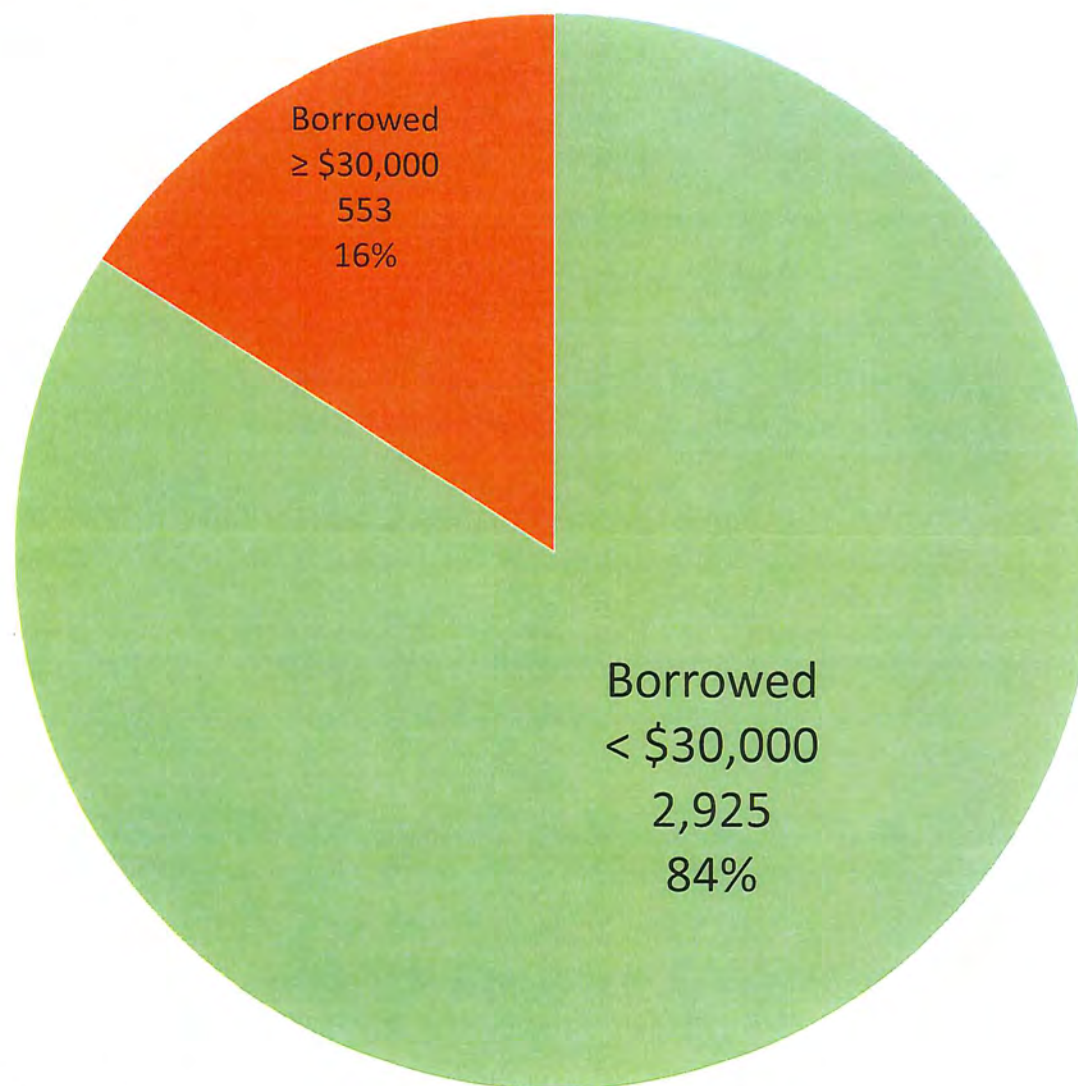
In the spirit of the approved budget development schedule, Academic Affairs and the Administration offer the following documents for your consideration. These documents build upon the previously presented Environmental Scan and feedback from the Board of Regents to date.

As we have discussed, university officials fully understand the FY16 budget development process must consider the three primary revenue drivers in balancing any new expenditure initiatives: 1) level of state appropriation, 2) operational efficiencies, and lastly 3) tuition & fee increases. Understanding the importance of keeping UNM affordable by minimizing tuition & fee increases, the division of Enrollment Management has prepared an analysis that puts into context debt for UNM students. The slides quantify amounts borrowed for graduates and beginning freshmen and also compare UNM to other national public 4-year institutions in terms of student debt.

Additionally, the Administration has developed three initial budget scenarios which depict various expenditure programs in an effort to solicit feedback from the Regents regarding their priorities and to quantify known budget constraints. In summary:

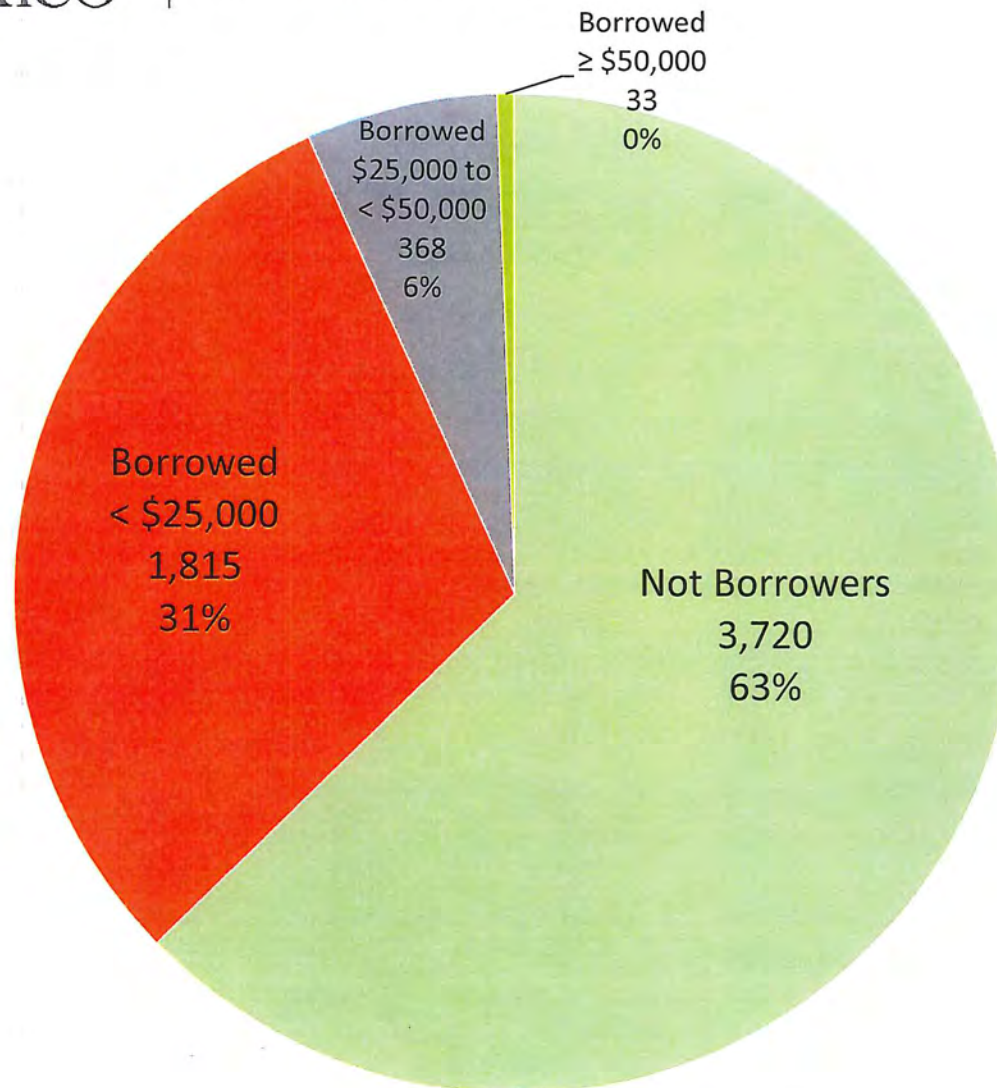
- Scenario 1 is the base scenario that includes the loss of revenue from the recent enrollment decline, estimated state formula funds, must-funds and inflationary costs
- Scenario 2 incorporates the "priority 1" expenses from both Academic Affairs and the Administration, outlined in broad categories in the box below at the bottom of the page
- Scenario 2A has the same investments as Scenario 2, but also includes a 1% cola

On behalf of Provost Abdallah and AVP Babbitt, we look forward discussing the data and scenarios with the F&F Committee and Board of Regents. Thank you for your consideration.



Average among ay 2013 graduating borrowers = \$23,071

Average among all ay 2013 graduates = \$12,867

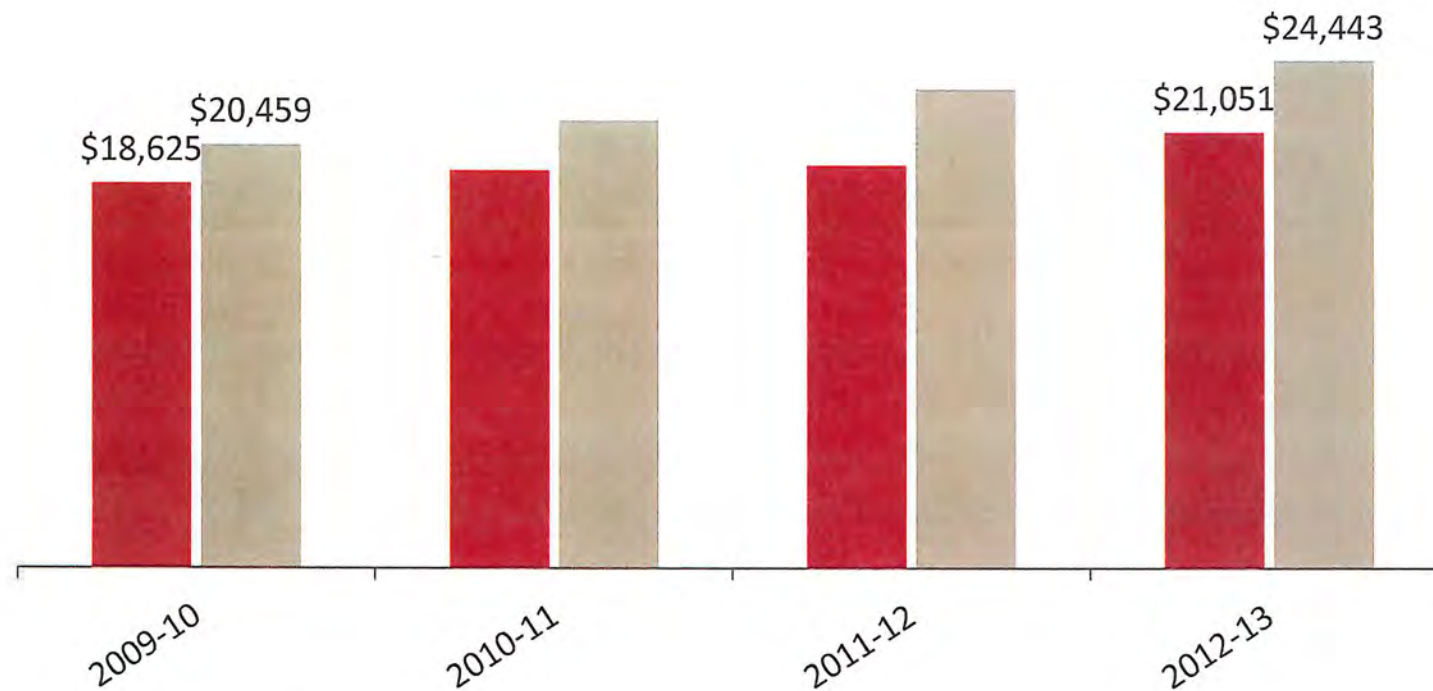


Borrowing data for 5,936 beginning freshmen of 2006 and 2007 through Summer 2014



Avg. Debt of UNM Grads Compared to Nat'l 4-year Public

■ UNM ■ National 4-year Public Avg.



UNM graduates have less debt than the national average for public 4-year and above institutions. This average includes only those borrowing.

**UNM Main Campus
Budget Development
Fiscal Year 2015-2016
(Rounded to Thousands)**

Scenario 1
Base

	Fiscal Year 2015 Starting Base	Change	Fiscal Year 2016 Estimated	% Change FY 15 to FY 16
Revenues				
State Appropriations - Main (Estimate)	188,606	3,684	192,290	2.0%
State Appropriations - HSC (Estimate)	0	864	864	N/A
Tuition Revenue - Enrollment Adjustment	134,007	-2,529	131,479	-1.9%
Mandatory Student Fees - Enrollment Adjustment	32,202	-885	31,317	-2.7%
Subtotal Revenues	354,816	1,134	355,950	0.3%
Health Sciences Center Transfer	-17,503	-1,169 ¹	-18,672	6.7%
Other Revenues and Transfers	-9,274	-433 ²	-9,707	4.7%
Total Sources of Funds	328,039	-468	327,571	-0.1%
Expenses				
Expenditure Base	295,837	403 ³	296,240	0.1%
Fixed Costs	0	1,962 ⁴	1,962	N/A
Mandatory Student Fee Allocation and Requests	32,202	0	32,202	0.0%
Total Use of Funds	328,039	2,365	330,404	0.7%
Balance			-2,833	

Notes:

- 1) HSC Transfer - \$305K FY 14 tuition true up, \$864K State Appropriation
- 2) Other Revenues and Transfers - elimination of one-time use of reserves, payoff of accumulated tuition bad debt, reduction in transcript fee revenue
- 3) Expenditure Base - permanent adjustments: \$300K UNM West, \$53K Academic Affairs, \$50K Administration
- 4) Fixed Costs - Health Care, Utilities, Insurances

**UNM Main Campus
Budget Development
Fiscal Year 2015-2016
(Rounded to Thousands)**

Scenario 2
Priority 1

	Fiscal Year 2015 Starting Base	Change	Fiscal Year 2016 Estimated	% Change FY 15 to FY 16
Revenues				
State Appropriations - Main (Estimate)	188,606	3,684	192,290	2.0%
State Appropriations - HSC (Estimate)	0	864	864	N/A
Tuition Revenue - Enrollment Adjustment	134,007	-2,529	131,479	-1.9%
Mandatory Student Fees - Enrollment Adjustment	32,202	-885	31,317	-2.7%
Subtotal Revenues	354,816	1,134	355,950	0.3%
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Other Revenues and Transfers	-9,274	-433 ²	-9,707	4.7%
Total Sources of Funds	328,039	-468	327,571	-0.1%
Expenses				
Expenditure Base	295,837	403 ³	296,240	0.1%
Fixed Costs	0	1,962 ⁴	1,962	N/A
Funding Priorities (see detail below)	0	6,073 ⁵	6,073	N/A
Mandatory Student Fee Allocation and Requests	32,202	0	32,202	0.0%
Total Use of Funds	328,039	8,438	336,477	2.6%
Balance			-8,906	

Notes:

- 1) HSC Transfer - \$305K FY 14 tuition true up, \$864K State Appropriation
- 2) Other Revenues and Transfers - elimination of one-time use of reserves, payoff of accumulated tuition bad debt, reduction in transcript fee revenue
- 3) Expenditure Base - permanent adjustments: \$300K UNM West, \$53K Academic Affairs, \$50K Administration
- 4) Fixed Costs - Health Care, Utilities, Insurances

5) Detail - Funding Priorities	
Academic Affairs	
New Faculty Positions	500
Faculty Support and Retention Initiatives	2,190
UNM Press	250
Advising/Tutoring and GA/TA Support	140
Other Student Support Initiatives	150
Veterans Resource Center	100
Academic Software	200
	3,530
Administrative Service Organizations	
Compensation Compaction	143
Strategic Investments (Compliance and IT Security)	874
Fringe Benefits	1,486
Fixed Cost Increases (Operations)	40
	2,543
Total FY16 Funding Priorities (Priority 1)	6,073

**UNM Main Campus
Budget Development
Fiscal Year 2015-2016
(Rounded to Thousands)**

Scenario 2a
Priority 1 + 1% COLA

	Fiscal Year 2015 Starting Base	Change	Fiscal Year 2016 Estimated	% Change FY 15 to FY 16
Revenues				
State Appropriations - Main (Estimate)	188,606	3,684	192,290	2.0%
State Appropriations - HSC (Estimate)	0	864	864	N/A
Tuition Revenue - Enrollment Adjustment	134,007	-2,529	131,479	-1.9%
Mandatory Student Fees - Enrollment Adjustment	32,202	-885	31,317	-2.7%
Subtotal Revenues	354,816	1,134	355,950	0.3%
Health Sciences Center Transfer	-17,503	-1,169 ¹	-18,672	6.7%
Other Revenues and Transfers	-9,274	-433 ²	-9,707	4.7%
Total Sources of Funds	328,039	-468	327,571	-0.1%
Expenses				
Expenditure Base	295,837	403 ³	296,240	0.1%
Fixed Costs	0	1,962 ⁴	1,962	N/A
Funding Priorities (see detail below)	0	6,073 ⁵	6,073	N/A
1% COLA for Faculty/Staff/GA/TAs	0	2,520 ⁶	2,520	N/A
Mandatory Student Fee Allocation and Requests	32,202	0	32,202	0.0%
Total Use of Funds	328,039	10,958	338,997	3.3%
Balance			-11,426	

Notes:

- 1) HSC Transfer - \$305K FY 14 tuition true up, \$864K State Appropriation
- 2) Other Revenues and Transfers - elimination of one-time use of reserves, payoff of accumulated tuition bad debt, reduction in transcript fee revenue
- 3) Expenditure Base - permanent adjustments: \$300K UNM West, \$53K Academic Affairs, \$50K Administration
- 4) Fixed Costs - Health Care, Utilities, Insurances

5) Detail - Funding Priorities

Academic Affairs

New Faculty Positions	500
Faculty Support and Retention Initiatives	2,190
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Administrative Service Organizations

Compensation Compaction	143
Strategic Investments (Compliance and IT Security)	874
Fringe Benefits	1,486
Fixed Cost Increases (Operations)	40
	2,543
Total FY16 Funding Priorities (Priority 1)	6,073

6) Compensation Increase Estimates as of 9/30/14

	1% Number
Faculty	1,161
GA/TA	175
Staff	1,184
Students	45
Total	2,565



UNM WEST UPDATE

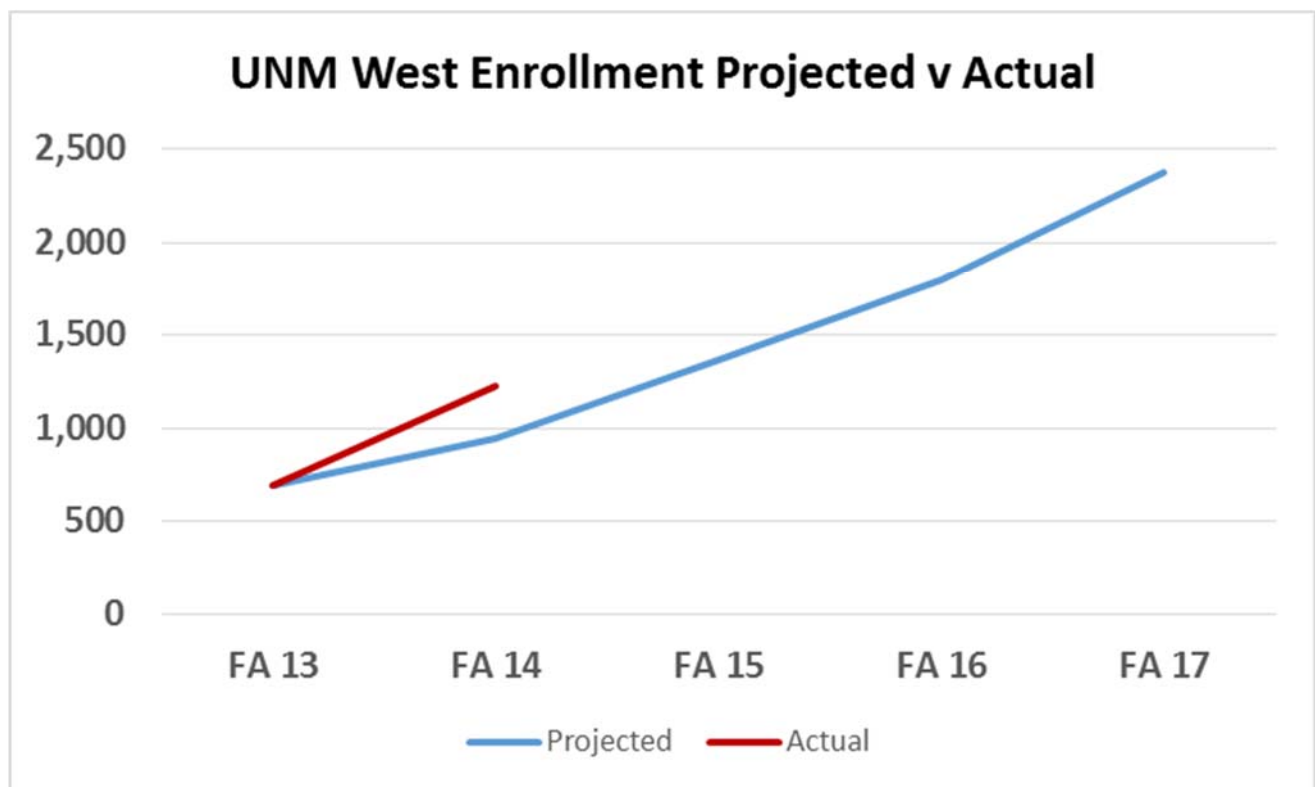
NOVEMBER 2014

UNM Board of Regents Finance and Facilities Committee

In March 2014, the UNM West report provided to the Board of Regents outlined the campus's plans for "a sustainable academic and business operation to fulfill promises made to the Rio Rancho community." The following is a status report on that implementation. Unless otherwise noted, all data is as of the first day of the Fall 2014 semester.

Enrollment

The primary driver for both the academic and business plan of UNM West continues to be to increase enrollment by means of effective curriculum planning. The initial success of that effort is seen clearly in the 72% increase in UNM West's Fall 2014 course enrollments compared to Fall 2013. (The March 2014 projection was for a 40% increase.) 780 individual students made up that total – a 59% increase, which means that on average each student is taking more of their classes at UNM West.





Finances

In a business model where revenues are based on enrollment, it is critical to hit targeted projections for growth. As noted above FY 15 actuals are running well ahead of the plan's revenue assumptions. With the curriculum now well in place, subsequent average annual projections are set at 25% based primarily on increased per course enrollments.

UNM West Budget Model

	FY14 Budget	FY15 Plan	FY15 Projected	FY16 Plan	FY17 Plan	FY18 Plan
<u>Revenues:</u>						
Tuition & Fees	0	1,921,850	2,193,995	2,692,470	3,238,188	3,895,198
State Appropriations	0	0		0	0	0
University Allocation	1,598,916	0	0	0	0	0
Other	0	0		0	0	0
Total Revenues	1,598,916	1,921,850	2,193,995	2,692,470	3,238,188	3,895,198
<u>Wages/Salaries</u>						
Executive	411,827	411,827	427,579	517,579	517,579	517,579
Administrative Professional	101,056	101,056	101,533	121,533	221,533	341,533
Support Staff	107,155	122,155	109,690	124,690	139,690	109,690
Faculty (FT)	112,500	352,500	250,000	310,000	310,000	310,000
Faculty PT	650,000	649,920	926,600	977,850	1,031,663	1,088,166
FT Faculty Admin Overhead (12%)		42,300	30,000	37,200	37,200	37,200
Total Wages/Salaries	1,382,538	1,679,758	1,850,795	2,088,852	2,257,665	2,404,168
<u>Staff Benefits:</u>						
Insurance, Retirement, Other	136,816	296,261	266,641	322,141	356,641	383,641
<u>Current Expenses:</u>						
Travel	6,000	6,000	6,000	6,000	6,000	6,000
Supplies	28,000	30,000	30,000	30,000	30,000	30,000
Telephone	34,704	35,512	6,000	6,000	6,000	6,000
IT	30,000	30,600	58,704	58,704	58,704	58,704
Marketing	32,500	30,000	40,000	40,000	40,000	40,000
Promotion and Outreach	42,500	40,000	40,000	40,000	40,000	40,000
Utilities	22,009	3,000	3,000	3,000	3,000	3,000
Security	60,000	60,000	60,000	60,000	60,000	60,000
Miscellaneous	312	1,588	15,000	15,000	15,000	15,000
Banner	2,265	2,443	3,000	3,000	3,000	3,000
Foundation Surcharge	5,700	7,579	7,579	7,579	7,579	7,579
Total Current Expenses	263,990	246,722	269,283	269,283	269,283	269,283
Total Expenditures	1,783,344	2,222,741	2,386,719	2,680,276	2,883,588	3,057,091
Administrative Overhead	0	0	0	8,078	16,157	32,314
ADJ Expenditures	1,783,344	2,222,741	2,386,719	2,688,354	2,899,745	3,089,405
Revenue over Expenditures	(184,428)	(300,891)	(192,724)	4,116	338,443	805,793
Balance forward (end of year)	349,384	48,493	156,660	160,776	499,219	1,305,012



Program

As reported in March, UNM West is now offering the following programs in their entirety:¹

Communications	Business Administration (BBA)
Sociology	Public Administration (MPA)
Criminology	Nursing (BSN)
Psychology	Emergency Medical Training (EMT Basic)
Liberal Arts	Special Education Dual Licensure

With the addition of four new instructors this fall, fully half the programs above are staffed by full-time faculty in residence at UNM West. We continue to explore further program development and faculty growth for FY 16.

One new initiative in the character of UNM West programming is community-based learning. Our goal is for every student who completes one of the above programs at UNM West to have some form of community engagement built into their program of study.

Looking ahead, the commitment of Rio Rancho and Sandoval County to health-related services as the anchor of their economic development strategy will also shape UNM West's academic programming. In educational terms that translates to the STEM disciplines. This will also drive facilities planning (below) in the next phase of UNM West's campus development.

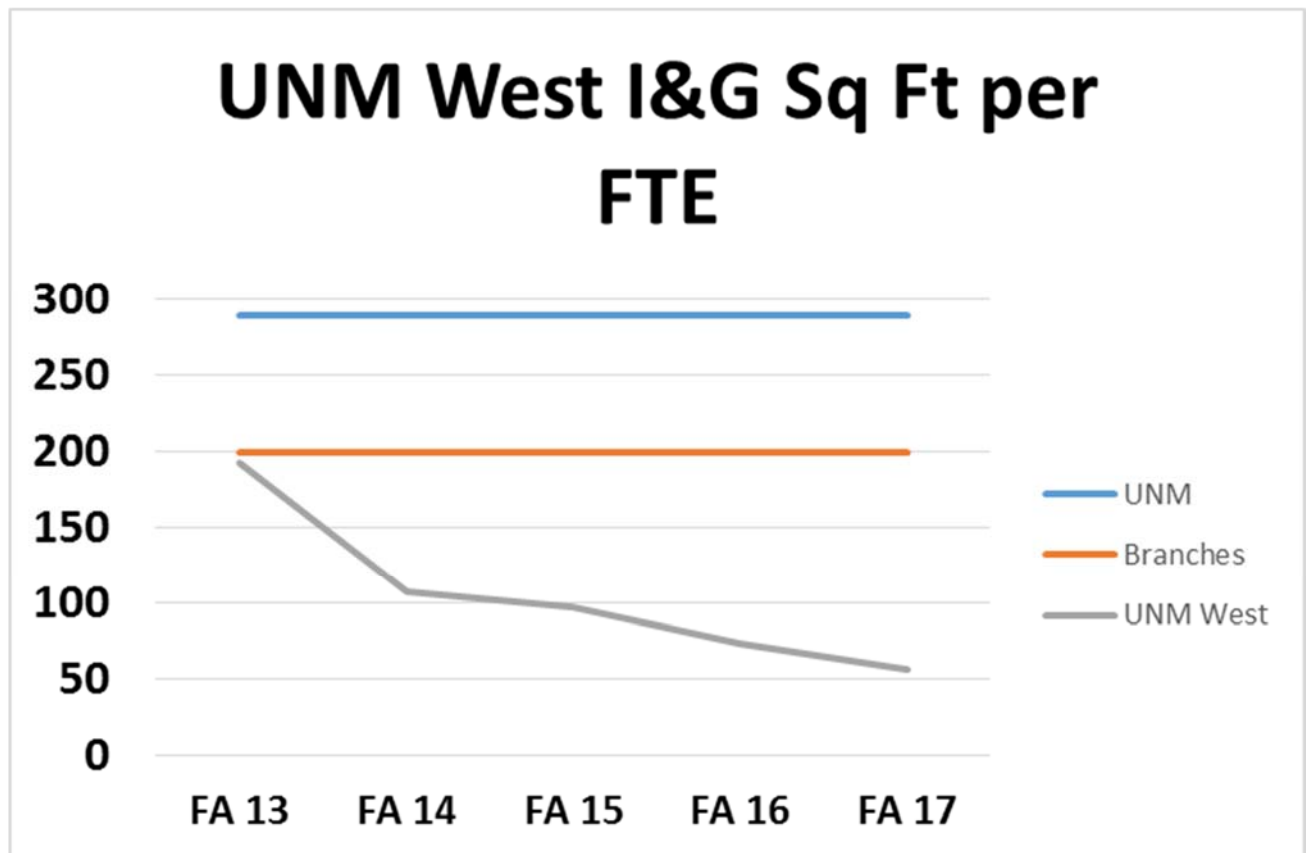
Facilities

The need for facilities with teaching laboratories for biology, chemistry and physics is driven by the academic programs required to support an expanding healthcare system in the community. Capacity issues, however, are more complex. How should the overall space requirements of a university campus be determined?

For purposes of its ongoing development and campus planning, UNM West has adopted a metric that compares the total square footage devoted to instructional and general activities (i.e., "I&G" space) to the total number of student credit hours produced at any one time (SCH). The basic unit of the latter is the "FTE," which is the 15 credit hour load assumed for a full-time student. The usefulness of this metric is that it is (a) understandable – the smaller the number, the more efficient the campus; (b) longitudinal, so we can track the effect of new facilities and the efficiency of the campus over time; and (c) public, as it is reported annually for every campus in the state, so we can benchmark our efficiency compared to actual norms.

¹ Some of these programs involved courses available online but not yet onsite at UNM West. As a working definition we're using a figure of at least 80% onsite delivery before we market a program as "available" on campus.

In the most recent data released by the HED in June 2014, UNM's main campus reported 289 square feet of net I&G space per student FTE.² UNM's four branch campuses, on average, listed 200 square feet per FTE. UNM West, with approximately 26,500 square feet of I&G space, and opening day course enrollments of 1,230, comes in at just over 100 square feet per FTE for Fall 2014.



As program demands become more complex, the amount of space required per student increases – that is why the main campus appears less “efficient” than the branches. Nevertheless these are helpful benchmarks. If UNM West continues to meet or exceed its enrollment targets it will need additional space simply to accommodate the current programming by 2017-18.

² Credit hours generated by online instruction are excluded from these calculations.

Two projects on the UNM West Facilities Plan submitted to the Board of Regents in March 2014 have coequal importance for the next phase of campus development:

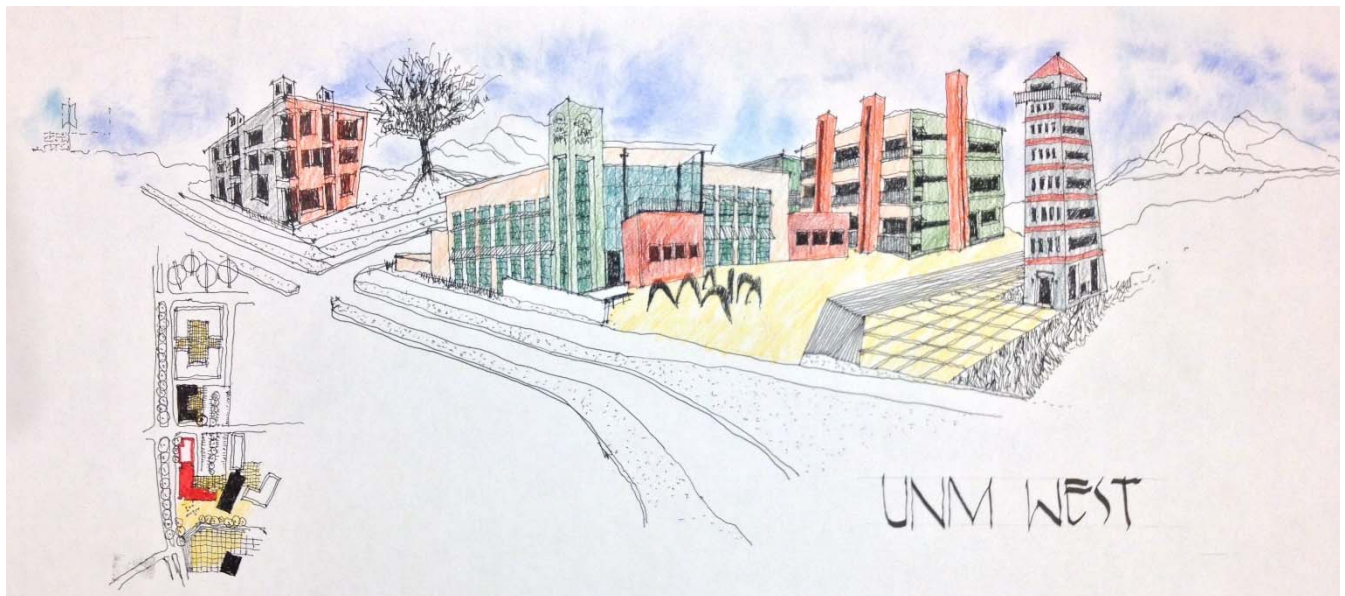
1. Building #2 – \$12,000,000

Plan, design, site prep, construct, equip and furnish a 33,000 sf classroom building. Building #2 at the UNM West campus will include multipurpose classrooms, teaching laboratories and infrastructure preparation, building construction, materials testing, testing and balancing, IT, furnishing, equipment and construction administration costs.

2. Campus Core infrastructure – \$3,500,000

Paving and landscaping parking lots, providing integrated walkways and conjoining landscaping. Developing paved road access through campus, constructing a continuous redundant utility loop for phase I campus development including ducts for future expansion, and increasing fiber connectivity.

Careful planning and investment in the latter project will be crucial not only to Building #2, but to the entire character of the evolving campus. Probable sources of funding for both projects would be a mix of monies from the Rio Rancho Higher Education GRT, and state capital outlay funds. Regent approval will be required for the initial request for capital project funding in spring 2015, and a more detailed plan will be submitted at that time.



Update from Health Plan Committee

Dorothy Anderson, VP HR

Michael Richards, Exec. Physician-in-Chief, UNM Health Systems

David Harris, EVP for Administration, COO, CFO

Paul Roth, Chancellor for Health Sciences

(update will be presented at the meeting)



HEALTH SCIENCES CENTER BOARD OF DIRECTORS

RESOLUTION APPROVING APPOINTMENT OF JOANNA BOOTHE TO THE UNM SANDOVAL REGIONAL MEDICAL CENTER, INC. BOARD OF DIRECTORS

WHEREAS, pursuant to Article III, Section 8(g) of the Second Amended and Restated Bylaws of UNM Sandoval Regional Medical Center, Inc., a New Mexico non-profit and University Research Park and Economic Development Act corporation ("UNM SRMC"), the Board of Directors of UNM SRMC (the "Corporation"), is entitled to nominate to the Board of Regents of the University of New Mexico (the "Regents") an individual whom is a resident of Sandoval County, New Mexico and is not an employee of the Corporation to serve on the Board of Directors of UNM SRMC, and subject to the Regents' Conflict of Interest Policies;

WHEREAS, the Corporation, having considered the potential nominees, desires to nominate Ms. Joanna Boothe to serve as a member of the Board of Directors of UNM SRMC, as described in Exhibit A attached to these Resolutions and incorporated herein by reference;

WHEREAS, pursuant to Regents Policy 3.5 and the Charter for this Governance and Nominating Committee (the "Committee") of the Health Sciences Center Board of Directors ("HSC BoD"), the nominees to the UNM SRMC Board of Directors must then be presented for consideration to this Committee, and then to the HSC BoD with a recommendation for approval to the Board of Regents;

WHEREAS, the Committee having considered the Exhibit A and the presentation made to the Committee in respect of Ms. Joanna Boothe's nomination to serve as a member of the UNM SRMC Board of Directors, finds and concludes that such nomination and nominee is reasonable and approval of the same is in the best interest of UNM SRMC and its stakeholders,

WHEREAS, the HSC BoD also having considered the Exhibit A and the conclusions of the Corporation and the Committee, does find and conclude that such nomination and nominee is reasonable and approval of the same is in the best interest of UNM SRMC and its stakeholders.

NOW, THEREFORE, BE IT RESOLVED, that the HSC BoD hereby approves nominates to the Board of Regents that Ms. Joanna Boothe be, and she hereby is, elected as Community Member Director of UNM SRMC.

ADOPTED BY A VOTE OF THE MEMBERS OF THE HEALTH SCIENCES
CENTER BOARD OF DIRECTORS AT A MEETING HELD ON NOVEMBER 7, 2014.

By: _____

Suzanne Quillen
Suzanne Quillen, its Chair



UNM


Sandoval Regional
Medical Center, Inc.

"The Service of a Community Hospital with the Expertise of the University of New Mexico."

MEMORANDUM

September 25, 2014

TO: HSC Board of Directors

FROM: Dr. Paul Roth 
UNM Sandoval Regional Medical Center, Board of Directors, Chair

SUBJECT: Board Appointment, UNM SRMC Board of Directors

On October 22, the UNM Sandoval Regional Medical Center Board of Directors approved the assignment of Ms. Joanna Boothe, a Business Professional in Sandoval County, to serve on the UNM Sandoval Regional Medical Center Board of Directors. She will be holding a currently vacant Community member position.

The UNM SRMC Board of Directors requests the approval of the Governance and Nominating Committee of the HSC Board of Directors, and the HSC Board of Directors, with final approval by the Board of Regents. Mr. Boothe's CV is attached for review.

JOANNA DEBLASSIE BOOTHE

5714 Eakes Ct NW, Los Ranchos, NM 87107 | C: 505-362-1300 | joanna@joannaboothe.com

Executive Profile

Owned and operated State Farm Insurance Agency since 1982.

Skill Highlights

- Licensed in Property Casualty, Life & Health,
 - Series 6/63 & 26
 - Bank Certified
 - Education -BBA University of New Mexico
Anderson School of Management 1978
 - Education-MBA University of New Mexico
Anderson School of Management 1985
-

Core Accomplishments

Honors and Awards:

President Club State Farm (Top 50 Agencies Nationally)

Crystal of Excellence State Farm (Consecutive yrs of Profit)

MDRT

Awarded Viccioni Award for outstanding community service

Voted Best Westside Insurance Agency (Rio Rancho Observer)

Voted Most Philanthropic (Rio Rancho Observer)

Leadership Positions :

Sandoval County Leadership

St Pius Board of Directors

Catholic Foundation Board of Directors

Albuquerque Children's Museum Board of Directors

NM Symphony Guild Board of Directors

Equestrian Order of Holy Sepulcher

National Association of Life Underwriters & Financial Advisors

Rio Rancho Chamber of Commerce Member

Personal Details

Married 34 years to William Sterling Boothe, President Ditch Witch NM

Daughter Stephanie Boothe Sponder, State Farm Agent Denver, Colorado

Daughter Caroline Boothe, Press Secretary Congressman Pete Sessions Washington, DC



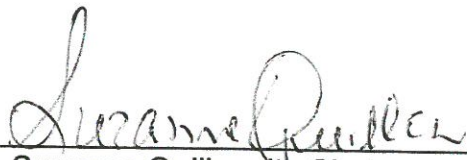
HEALTH SCIENCES CENTER BOARD OF DIRECTORS

Resolutions Recommending Approval of HSC Budget Report per UAP 7000

WHEREAS, management of the UNM Health Sciences Center presented the proposed Report pursuant to University Administrative Policy and Procedures 7000, attached as Exhibit A, and recommends its approval to this Board;

WHEREAS, the Board, having considered the materials and information presented at the meeting, finds and concludes that the proposed HSC Budget Report should be approved and thereby recommended the approval of the same to this Board;


WHEREAS, management of the UNM Health Sciences Center presented the proposed HSC Budget Report to this Board for its consideration, approval, and recommendation of approval to the Board of Regents

By: 
Suzanne Quillen, Its Chair



Office of the Senior Executive Officer
Finance & Administration
Health Science Center
1 University of New Mexico
MSC09 5300
Albuquerque, NM 87131

TO: Paul B. Roth, M.D., M.S., FACEP
Chancellor for Health Sciences
Dean School of Medicine

FROM: Ava J. Lovell, CPA 
Senior Executive Officer for Finance & Administration, HSC

DATE: September 29, 2014

SUBJECT: Submission of HSC Report – UAP 7000

Enclosed are the reports as required by University Administrative Policies and Procedures 7000 (Budgets and Reserves). The reports show HSC unrestricted financial activity for fiscal year ending June 30, 2014. The HSC unrestricted Instruction & General (I&G) expense budget is approximately 25% of the total HSC unrestricted operating expense budget. The first attachment shows activity by HSC unit (academic and administration) for I&G funds.

HSC I&G reserves of \$4,491,485 are 4.03% of expense budget which is within the State of New Mexico's recommended reserve range of 3% to 5%. There was an overall Instruction & General decrease in reserves for FY 2014 of \$599,231. The net decrease in reserves is primarily attributable to planned use of reserves for Capital Projects and one time Equipment purchases.

Combined HSC unrestricted reserves total \$45,696,430 (attachment #2) which represents 10.25% of an expense budget totaling \$445,635,321. The decrease in reserves for FY 2014 for all HSC University funds is \$3,811,746. This decrease is mainly due to the School of Medicine use of reserves for Chair Packages and Capital Projects.

The \$45,696,430 reserves (attachment #3) have been categorized by the units within the Health Sciences Center as committed, dedicated, or discretionary. In the category of committed are purchase order encumbrances, which total \$1,798,727. The remaining committed reserves are \$22,558,016 and consist largely of Donor Designated Endowed and Non-endowed funds, Faculty Contracts and Startup Packages, Cost Share and Scholarships.

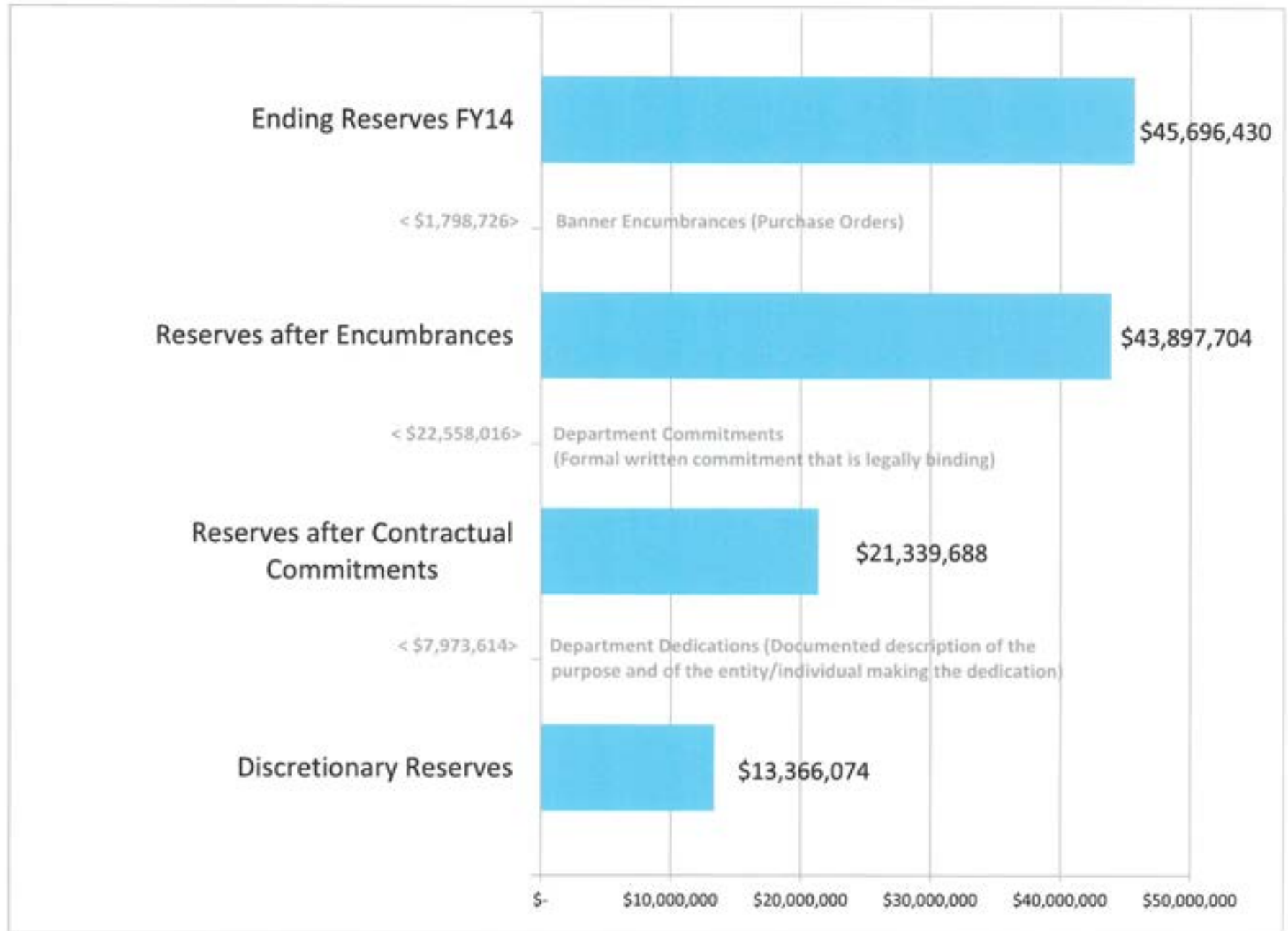
There are \$7,973,614 of funds which have been dedicated by units within the HSC. Discretionary reserves are \$13,366,074 or 3.00% of the total expense budget.

If you would like more information, please contact Ava at Alovell@unm.edu

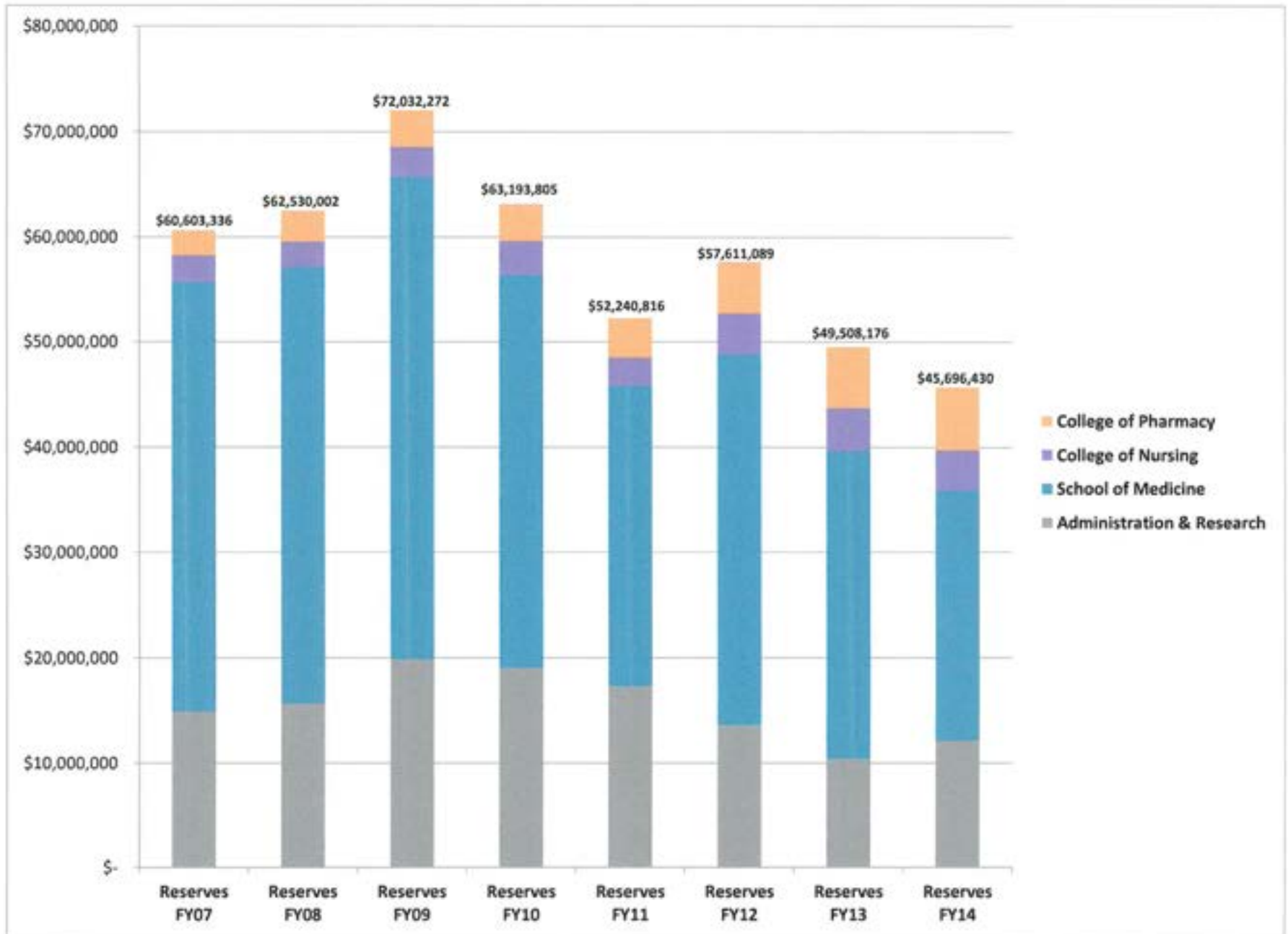
cc

Bob Fondino, Chief Budget & Facilities Officer, HSC
Vanessa Hawker, Chief Strategic Advisor: UNM Health Sciences Center

UNM Health Sciences
FY 2014 Categorization of Reserves



UNM Health Sciences Center
Ending Reserves by Year



UNM Health Sciences Center
Instruction and General
Unrestricted Reserves Summary
Fiscal Year Ended June 30, 2014 (Unaudited)
Does not include Main Campus Funds Under HSC Organization Codes, UNM Hospitals, or UNM Medical Group

		Revised Budget	Beginning Reserves	Revenue	Transfers	Expense	Ending Reserves	% of Budget	Change in Reserves	% of Budget	Commitments	Net Reserves Less: Commitments
AFA	VP HSC Administration	44,268,673	78,240.05	30,575,416.60	9,036,114.24	39,594,299.53	695,471.36	1.57%	917,231.31	1.38%	412,176.15	283,295.21
AFB	HS Library and Informatics Center	5,753,558	1,436,149.19	5,851,554.15	(653,868.74)	5,393,303.02	1,040,530.58	18.08%	(395,619.61)	-6.88%	233,841.17	806,689.41
AFC	School of Medicine	49,601,247	641,573.78	54,391,833.31	(8,391,363.10)	45,198,655.80	454,889.19	1.00%	(196,184.58)	-0.41%	510,082.68	(55,293.50)
AFD	College of Nursing	7,780,891	685,951.29	8,495,554.33	(1,320,216.71)	7,411,186.61	750,182.30	9.64%	(330,648.99)	-3.03%	423,296.07	326,846.23
AFE	College of Pharmacy	8,148,292	1,948,350.88	8,861,585.28	(1,700,374.69)	7,555,069.59	1,550,491.86	19.03%	(399,689.30)	-4.90%	464,659.57	1,085,832.09
AFI	HSC VP Research	-	(49.32)	73,912.24	(73,962.46)	0.56	(0.00)		49.32		0.00	(0.00)
Total		111,583,861	8,090,716	108,349,665	(13,768,670)	106,148,515	4,691,465	4.83%	(399,370)	-0.94%	2,044,626	2,447,469

UNM HEALTH SCIENCES CENTER
ENDING RESERVES BY ORG LEVEL 3 & FUND
FY 2014
(Unaudited)

Exhibit	Fund	AFA VP HSC Admin	AFB HSUC	AFC School of Medicine	AFD College of Nursing	AFE College of Pharmacy	AFI HSC VP Research	Grand Total
Instruction and General	SU0040 HU 1 and G	695,471.36	3,040,530.58	454,889.19	750,102.30	1,550,491.66		4,491,485.09
	Instruction and General Total	695,471.36	3,040,530.58	454,889.19	750,102.30	1,550,491.66	0.00	4,491,485.09
Student Social and Cultural	SU0038 HU Student Social & Cultural Gen					57,245.11		57,245.11
	Student Social and Cultural Total	0.00	0.00	0.00	0.00	57,245.11	0.00	57,245.11
Research	SU0003 HU Endowed Spending Education			98.94				98.94
	SU0008 HU HSC Monitoring	(240.00)						(240.00)
	SU0029 HU Research General	3,602,773.51	83,181.27	470,332.45	386,190.81	1,184,406.38	2,534,212.96	8,463,189.38
	SU0047 HU F&A	11,879.55		3,185,011.25	237,364.34	1,706,731.13	370,051.10	5,200,037.17
	SU0081 HU Endowed Spending Research			351.75				351.75
	SU0083 HU Research State Appropriations			1,448.47				1,448.47
	SU0298 NM Tumor Registry (SEER) Billing			13,766.76				13,766.76
	SU0299 HU Research Residuals			652,176.49			286,905.43	939,081.92
	SU0312 HU CRTIC State Appropriations			40,198.22				40,198.22
	Research Total	3,614,413.06	83,181.27	4,558,364.33	623,554.95	2,585,226.51	3,191,169.49	14,655,992.81
Public Service	SU0001 HU Non Endowed Spending Research			4,161,928.61		26,010.40	118,816.04	4,306,755.05
	SU0002 HU Non Endowed Spending Education	341,397.58	23,599.36	6,082,509.25	531,507.19	359,687.16		7,318,700.54
	SU0008 HU HSC Monitoring	1,013,236.69						1,013,236.69
	SU0011 HU UNMH AR & AP General	(202,211.80)		301,950.65				99,738.85
	SU0029 HU Research General			(9.02)				(9.02)
	SU0029 HU Clinical Residuals	684,465.92	641,838.53	7,688,409.02	445,950.72	248,517.81		9,718,623.00
	SU0030 HU Larum Temera Billing							0.00
	SU0031 HU Specialty Ext Services							0.00
	SU0032 HU UNMAG Purchased Services	45,306.13	7,879.33	(1,792,811.49)				(1,739,726.03)
	SU0033 HU UNMAG Special Arrangements			638,121.36		17,233.10		655,354.46
	SU0034 HU UNMH SOM General	35,474.55		1,284,364.00				1,319,738.55
	SU0038 HU Neomatology Transport Billing			(2,496,236.29)				(2,496,236.29)
	SU0050 HU CME Conferences	8,640.97		238,890.22				247,531.19
	SU0051 HU VA Contracts			41,362.80				41,362.80
	SU0064 HU CRTIC Patient Billing			(8,885,472.47)				(8,885,472.47)
	SU0068 VA Clinical Contracts e-Verify			37,870.05				37,870.05
	SU0070 HU Sandoz Regional M/C	(761.28)		(338,359.02)				(339,120.30)
	SU0085 HU Clinical/Public Svcs State Approp	138,464.07		27,240.33		238,868.92		405,593.32
	SU0087 HU SRMC Hospital Operations			(234,320.41)				(234,320.41)
	SU0299 HU Research Residuals		894.81	326,998.00				326,998.00
Internal Service	SU0300 HU Education General	89,377.71		858,807.27	917,492.89	165,270.91	3,651.13	2,035,294.52
	SU0301 HU Non Endow Spending Clinical Svcs	25,515.90		648,175.53				674,691.43
	SU0303 HU Clinical Service General	272,588.45		979,005.17	161,950.09	493,300.11		1,904,843.82
	SU0315 HU Strategic Resources	(25,493.87)						(25,493.87)
	Public Service Total	2,425,821.10	478,112.03	12,558,328.06	7,054,341.89	1,547,688.41	122,467.17	19,387,718.66
Internal Service	SU0014 HU Program Evaluation			127.34				127.34
	SU0016 HU Flow Cytometry							0.00
	SU0017 HU Kugr Genomics							0.00
	SU0020 HU Animal Resource Facility							0.00
	SU0022 HU Fluorescent Microscopy							0.00
	SU0025 HU Internal Services Contingency	0.00						0.00
	SU0036 HU PPD Maintenance and Planning	(14,169.01)						(14,169.01)
	SU0040 HU Electron Microscopy			7,226.79				7,226.79
	SU0059 HU Ctr for Development & Disability			2,390.00				2,390.00
	SU0060 HU UMS Assessment and Learning							0.00
	SU0069 HU CC Animal Cores							0.00
	SU0072 HU CEHP Cool Center					4,147.65		4,147.65
	SU0086 HU Vitoys Install Access							0.00
	SU0314 HU Radio-Path Ctr for Forensic Imag			6,701.12				6,701.12
	Internal Services Total	(14,169.01)	0.00	16,445.25	0.00	4,147.65	0.00	(5,576.11)
Student Aid	SU0001 HU Endowed Spending Education	37,738.06	24,205.11	2,511,706.08	409,819.49	218,345.64	1,056.25	3,202,770.65
	SU0081 HU Endowed Spending Research	103,539.00	863.45	5,479,350.29	8,622.72		81,772.99	5,676,948.45
	SU0106 HU Student Aid	0.00		1,067,534.72				1,067,534.72
	SU0302 HU Endowed Spending Clinical Svcs	19,527.17		124,906.90				144,434.07
Independent Operations	Student Aid Total	160,804.23	25,068.56	8,182,697.09	419,442.21	218,345.64	84,829.24	10,091,087.89
	SU0004 HU Medical Investigator Billing			(1,471,346.01)				(1,471,346.01)
	SU0005 HU Housestaff Operations			1,590.82				1,590.82
	SU0011 HU UNMH AR & AP General			(1,887,225.72)				(1,887,225.72)
	SU0129 HU Housestaff UNMH AR			373,298.23				373,298.23
	Independent Operations Total	0.00	0.00	(2,984,782.68)	0.00	0.00	0.00	(2,984,782.68)
	Grand Total	6,872,940.79	1,824,897.46	23,788,241.89	8,494,441.15	5,363,047.98	8,398,465.90	45,694,430.08



HEALTH SCIENCES CENTER BOARD OF DIRECTORS

Resolutions Recommending Approval of Contract with New Mexico Cancer Care Alliance (NMCCA) for Services in Connection with NCORP Grant Assistance

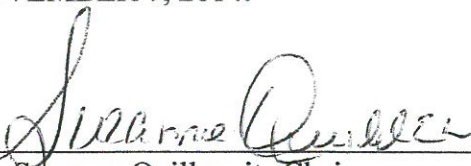
WHEREAS, the UNM Cancer Center ("UNMCC") desires to purchase services from the New Mexico Cancer Care Alliance ("NMCCA") to assist the UNMCC in implementing the UNMCC's NCORP grant in continuing to develop and support affiliate sites, including but not limited to annual training of research staff, coordinating the development and submission of new study IRB applications, and maintenance of cooperative group trials and consistent with the New Mexico Procurement Code and the University of New Mexico Purchasing Policies, and pursuant to Regents Policy 7.12, such retention must be approved by governance of the University of New Mexico (the "University"), including, without limitation, the Health Sciences Center Board of Directors (the "HSC BoD"), and the Board of Regents. The proposed transaction with NMCCA is described more fully in Exhibit A attached to these Resolutions and incorporated herein as though fully set forth in these Resolutions;

WHEREAS, the Health Sciences Center Board of Directors, having considered the Exhibit A materials and the presentations made relative to the UNMCC's proposed transaction with NMCCA and having been satisfied that the process for the purchase of services from NMCCA meets the requirements of the New Mexico Procurement Code, finds and concludes that the UNMCC's purchase of services from NMCCA identified above and as described in Exhibit A should be approved.

NOW THEREFORE, BE IT RESOLVED that the Board hereby recommends to the Board of Regents that the UNMCC's proposed purchase of services from NMCCA as identified above and as described in Exhibit A should be approved.

ADOPTED BY A VOTE OF THE MEMBERS OF THE HEALTH SCIENCES BOARD OF DIRECTORS AT A MEETING HELD ON NOVEMBER 7, 2014.

By: _____


Suzanne Quillen, its Chair



The University of New Mexico
Purchasing Department
MSC01 1240
1 University of New Mexico
Albuquerque, NM 87131-0001

MEMORANDUM

To: Ava J. Lovell, Senior Executive Officer for Finance and Administration HSC

From: Bruce Cherrin, Chief Procurement Officer 

Date: October 24, 2014

Re: Action item for HSC Finance, Audit & Compliance Committee and HSC Board of Directors

The following contract has been submitted for approval to the HSC Board of Regents Finance, Audit and Compliance Committee per Regents Policy 7.4.

1. UNM Cancer Center – New Mexico Cancer Care Alliance (NMCCA)

The NMCCA is a joint not-for-profit 501c3 university-community statewide cancer clinical trials organization and clinical research network. The purchase order is for services that NMCCA will provide to assist the NCORP grant in continuing to develop and support our affiliate sites. This includes annual training of research staff at affiliate sites, coordinating the development and submission of new study IRB applications as well as ongoing maintenance of cooperative group trials. The New Mexico Cancer Care Alliance will pay the affiliate sites for their enrollments on cooperative group trials as data is entered into Velos. The funds for each affiliate site are provided exclusively for the support of staff members who are engaged in screening, enrolling and following subjects enrolled to NCI-sponsored treatment and prevention trials coordinated through the NCORP grant. Duties for the Affiliate Site staff include screening patients for eligibility, obtaining required pre-study information, explaining studies to patients, insuring proper documentation of protocol-specified data in primary records and working with investigators to schedule protocol-required assessments and assure protocol compliance. The Affiliate Sites currently supported by this mechanism are listed below.

Albuquerque: Lovelace Health Systems, Presbyterian Healthcare Services, Hematology Oncology Associates and Southwest Gynecologic Oncology Associates

Las Cruces: Memorial Medical Center

Santa Fe: Christus St Vincent Regional Medical Center

This list may be updated during the course of the year if Affiliates are added.

Per Procurement Code 13.1.88.1 Hospital Health Care Exemption, this is exempt from competitive bidding, however a sole source was posted 10/15/14 on the Sunshine Portal for the required 30 days.

Source of funds: Federal Grant, Cooperative Agreement with National Cancer Institute

Total cost: \$1,325,000 over a five year period



HEALTH SCIENCES CENTER BOARD OF DIRECTORS

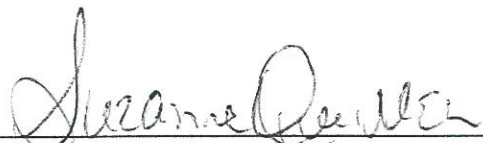
Resolutions Recommending Approval of Purchase of 3M Health Information Systems Software for Upgraded Coding Software

WHEREAS, the UNM Hospital (the "UNM Hospital") desires to purchase from 3M Health Information Systems certain software components for upgrading UNM Hospital's medical billing coding software to meet new ICD-10 coding requirements and consistent with the New Mexico Procurement Code and the University of New Mexico Purchasing Policies, and pursuant to Regents Policy 7.12, such retention must be approved by governance of the University of New Mexico (the "University"), including, without limitation, the Health Sciences Center Board of Directors (the "HSC BoD"), and the Board of Regents. The purchase of the 3M health Information System Software is described more fully in Exhibit A attached to these Resolutions and incorporated herein as though fully set forth in these Resolutions;

WHEREAS, the Health Sciences Center Board of Directors, having considered the Exhibit A materials and the presentations made relative to the proposed purchase of the 3M Health Information Systems software and having been satisfied that the process for the purchase from 3M Health Information Systems, meets the requirements of the New Mexico Procurement Code, finds and concludes that the purchase from 3M Health Information Systems identified above and as described in Exhibit A should be approved.

NOW THEREFORE, BE IT RESOLVED that the Board hereby recommends to the Board of Regents that the UNM Hospital's proposed purchase of 3M Health Information System Coding Software as identified above and as described in Exhibit A should be approved.

ADOPTED BY A VOTE OF THE MEMBERS OF THE HEALTH SCIENCES BOARD OF DIRECTORS AT A MEETING HELD ON NOVEMBER 7, 2014.

By: 
Suzanne Quillen, its Chair



**UNM Hospital Board of Trustees
Recommendation to HSC Board of Directors Finance and Audit Committee
October 2014**

Approval

(1) 3M Health Information Systems

Mailing Address:

575 West Murray Blvd.
Salt Lake City, UT 84123

Officers Information:

Chairman and CEO: Inge Thulin
Senior Vice President & CFO: Nicholas Gangestad

Source of Funds: UNM Hospital Operating Budget

Description:

Request for a three-year purchasing agreement for the UNM Health Systems with 3M Health Information Systems to provide upgraded coding software products to meet ICD-10 coding requirements. Concurrent coding upgrades will improve compliance, assist with early identification of quality issues identified through diagnosis coding Hospital Acquired Conditions and Patient Safety indicators. Software upgrades will encompass Computer Assisted Coding/Natural Language Processing to more accurately capture the presence of patient comorbidities and disease complications to reflect appropriate severity of illness and risk of mortality indicators for the patient population. The addition of Outpatient coding software functionality for facility and professional fee coding and billing will move UNMHS to an integrated charge capture system. Software enhancements will provide a single electronic coding platform for all hospital based services, inpatient and outpatient, and for both facility and professional fee coding and billing. Enhanced software functionality will allow prompt resolution of pre-billing edits for facility and professional code resolution prior to submission of the codes to the billing system. The compliance tools provide the means to monitor legal and regulatory requirements for coding, medical necessity and clinical indicators for both inpatient and outpatient visits. Software enhancements will allow automation and integration necessary for ICD-10 implementation effective October 2015.

Previous Contract: 3M HDM software coding platform

Previous Term: Eight (8) Years

Previous Contract Amount: \$475,000 per fiscal year

Process: RFP #:P299-14

RFP Respondents: There were five respondents to the RFP; 3M, Optum, Nuance, Dolbey and Cerner.

Selection Criteria: Selection was based upon:

40% Product Functionality

25% Integration/Implementation Work Plan

15% Price

10% Services, Ongoing Support Model

5% Experience, quality, stability, and reputation of the Offeror

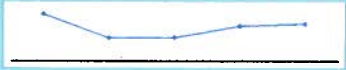
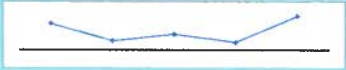
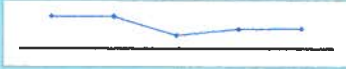

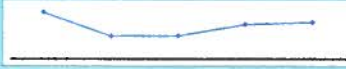



5% IT Security requirements

Selection Reason:

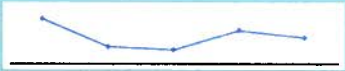








Based upon above criteria, the six member RFP committee reviewed and scored all vendors, brought the top two vendors on-site for software demonstrations of product functionality, and completed scheduled reference site conference calls. Final scoring by the RFP committee awarded this RFP to 3M.

Cost: Base system cost is \$1,259,348.93 per fiscal year. First year cost of \$1,544,748.93 includes system implementation and travel fees of \$285,100. Eight year cost of \$10,360,191.44







**Health Sciences Center
Metrics (Preliminary and Unaudited)**

Benchmark		Expected	FY 2011 1st Quarter	FY 2012 1st Quarter	FY 2013 1st Quarter	FY 2014 1st Quarter	FY 2015 1st Quarter	Fiscal Year Trend
Approximate Cash Flow/(Use) from Operations * <i>(Amounts are in thousands)</i>	HSC	↑	\$9,066	\$4,404	\$4,517	\$6,734	\$7,142	
	UNMMG	↑	\$4,171	\$1,344	\$2,399	\$1,049	\$5,393	
	UNMH	↑	\$8,683	\$8,563	\$3,448	\$5,099	\$5,278	
	SRMC	↑	NA	NA	\$6,676	(\$2,938)	\$2,407	
* Approximate Cash Flow/(Use) from Operations = Operating Net Margin plus Depreciation Expense								
Operating Net Margin <i>(Amounts are in thousands)</i>	HSC	↑	\$9,066	\$4,404	\$4,517	\$6,734	\$7,142	
	UNMMG	↑	\$4,048	\$1,203	\$2,265	\$952	\$5,308	
	UNMH	↑	\$79	\$85	(\$4,819)	(\$2,730)	(\$2,455)	
	SRMC	↑	NA	NA	\$5,407	(\$5,145)	\$62	

**Health Sciences Center
Metrics (Preliminary and Unaudited)**





Benchmark		Expected	FY 2011 1st Quarter	FY 2012 1st Quarter	FY 2013 1st Quarter	FY 2014 1st Quarter	FY 2015 1st Quarter	Fiscal Year Trend
Net Income/(Use of Reserves) <i>(Amounts are in thousands)</i>	HSC	↑	\$6,630	\$2,417	\$1,927	\$4,855	\$3,758	
	UNMMG	↑	\$4,048	\$1,203	\$2,456	(\$1,326)	\$3,392	
	UNMH	↑	\$79	\$82	(\$4,819)	\$274	\$553	
	SRMC	↑	NA	NA	\$5,407	(\$5,145)	\$62	
Uncompensated Care <i>(Amounts are in thousands)</i>	UNMMG	↓	\$8,822	\$9,823	\$12,735	\$11,101	\$4,534	
	UNMH	↓	\$38,428	\$43,037	\$48,105	\$49,555	\$34,633	
	SRMC	↓	NA	NA	NA	\$2,243	\$1,697	
Uncompensated Care as a Percentage of Total Patient Care	UNM HEALTH SYSTEM	↓	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015 1st Quarter	
			22%	24%	23%	21%	14%	
Contractual Adjustments Contractual Adjustments as a Percentage of Total Patient Care	UNM HEALTH SYSTEM	↓	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015 1st Quarter	
			\$600,927	\$617,308	\$743,047	\$865,171	\$220,944	
			34%	34%	36%	38%	41%	

**Health Sciences Center
Metrics (Preliminary and Unaudited)**

Benchmark		Expected	FY 2011 1st Quarter	FY 2012 1st Quarter	FY 2013 1st Quarter	FY 2014 1st Quarter	FY 2015 1st Quarter	Fiscal Year Trend
Days Cash on Hand								
Days of Expense in Discretionary Reserves Year End Benchmark - 10 Days	HSC	↑	13.96	13.28	7.39	5.93	11.54	
ACMPE 30 Days *	UNMMG	↑	67.80	48.50	49.25	48.60	53.71	
UHC 75 Days **	UNMH	↑	75.69	53.01	42.02	50.72	57.18	
UHC 75 Days **	SRMC	↑	NA	NA	N/A	16.12	36.76	
* American College of Medical Practice Executives								
** University HealthSystem Consortium								
Accounts Receivable Greater Than Ninety Days								
(Amounts are in thousands)	HSC	↓	\$780 9%	\$1,380 13%	\$937 4%	\$217 3%	\$146 9%	
	UNMMG	↓	\$3,746 14%	\$4,348 16%	\$3,415 13%	\$4,544 16%	\$9,768 22%	
	UNMH***	↓	\$50,926 27%	\$57,457 27%	\$50,418 24%	\$53,251 26%	\$83,190 35%	
	SRMC ****	↓	NA	NA	NA	\$1,315 19%	\$559 9%	
*** UNMH Account Receivable Greater Than Ninety Days excludes liens								
**** SRMC Account Receivable Greater Than Ninety Days reflects Net Accounts Receivable - all other receivables are shown at Gross								

**Health Sciences Center
Metrics (Preliminary and Unaudited)**

(Amounts are in thousands)

	Expected	FY 2011 1st Quarter	FY 2012 1st Quarter	FY 2013 1st Quarter	FY 2014 1st Quarter	FY 2015 1st Quarter	Fiscal Year Trend
Proposals Submitted	↑	\$46,155	\$45,546	\$42,262	\$63,733	\$49,433	
Awards	↑	\$53,813	\$38,114	\$31,225	\$45,039	\$52,153	
Earned F&A	↑	\$5,551	\$5,337	\$5,211	\$5,349	\$5,104	
Contract & Grant Expense <i>(Includes Cost Share, Program Income and F&A Expense)</i>	↑	\$27,976	\$27,917	\$31,726	\$28,724	\$28,873	



UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER - University HSC Only*
2015 Fiscal Year-to-Date Summary through September 30, 2014 (Preliminary and Unaudited)
(In thousands)

* UNIVERSITY HSC ONLY INCLUDES: SOM, CON, COP, HSLIC, ADMIN, RESEARCH

	University HSC FY 2015 Re-Forecasted Budget 9/30/2014	University HSC FY 2015 YTD 9/30/14 Actual	University HSC FY 2014 Year End Actual	University HSC FY 2015 YTD Actual to FY 2015 Re-Forecasted Budget Benchmark Rate (25%)	University HSC FY 2015 YTD Actual to FY 2014 Year End Actual Benchmark Rate (25%)
1 UNM MEDICAL GROUP REVENUES	120,457	29,399	106,656	24%	28%
2 UNM HOSPITALS REVENUES	140,089	36,232	136,977	26%	26%
3 SRMC REVENUES	20,331	4,848	16,024	24%	30%
4 TUITION AND FEES	15,189	7,869	14,806	52%	53%
5 CIGARETTE TAX APPROPRIATIONS	3,949	1,033	3,932	26%	26%
6 RPSP APPROPRIATIONS	19,690	4,922	17,902	25%	27%
7 I&G APPROPRIATIONS	64,907	16,227	61,566	25%	26%
8 I&G MAIN CAMPUS TRANSFERS	19,900	5,204	18,518	26%	28%
9 F&A REVENUES (OH RETURN)	21,500	5,104	22,595	24%	23%
10 HSC/UNM INTERNAL TRANSFERS	(3,837)	(1,065)	(6,229)	28%	17%
11 MILL LEVY	0	0	0	N/A	N/A
12 OTHER REVENUES	11,881	2,818	13,759	24%	20%
13 CONTRACT AND GRANT REVENUES	136,083	31,042	132,502	23%	23%
TOTAL REVENUES	570,138	143,632	539,008	25%	27%
14 TOTAL COMPENSATION EXPENSES	367,689	90,381	337,729	25%	27%
15 SUPPLIES/MEDICAL SUPPLIES	12,255	2,332	11,916	19%	20%
16 UNIVERSITY CLINICIANS PROGRAM	0	0	0	N/A	N/A
17 HOUSESTAFF	0	0	0	N/A	N/A
18 TRAVEL	5,540	1,091	4,700	20%	23%
19 STUDENT COSTS	3,415	898	2,422	26%	37%
20 PATIENT CARE COSTS	1,985	319	1,431	16%	22%
21 TELEPHONE/COMMUNICATION COSTS	2,106	549	2,257	26%	24%
22 PURCHASED SERVICES	23,972	7,138	26,891	30%	27%
23 OTHER MEDICAL SERVICES	0	0	0	N/A	N/A
24 SUB AWARDS/SERVICE CONTRACTS	536	256	1,134	48%	23%
25 O&M & LEASES	8,333	2,071	7,461	25%	28%
26 UTILITIES	4,831	1,226	4,801	25%	26%
27 DEPRECIATION	0	0	0	N/A	N/A
28 INTEREST EXPENSE	2,166	542	2,169	25%	25%
29 OTHER EXPENSES	4,898	813	2,958	17%	27%
30 CONTRACT AND GRANT EXPENSES	127,582	28,873	124,136	23%	23%
TOTAL EXPENSES	565,308	136,490	530,005	24%	26%
OPERATING NET MARGIN	4,830	7,142	9,003		
NON-RECURRING ITEMS					
31 CAPITAL EXPENDITURES	2,948	731	2,030	25%	36%
32 MEANINGFUL USE REVENUE	0	0	0	N/A	N/A
33 RETURN ON INVESTMENT - TRIWEST	0	0	0	N/A	N/A
34 USE OF UNMMG RESERVES	0	0	0	N/A	N/A
35 RECRUITMENT/STARTUP/SCHOLARSHIPS	6,180	1,360	6,225	22%	22%
36 NON-RECURRING TRANSFERS	170	1,294	4,440	762%	29%
TOTAL NON-RECURRING ITEMS	9,297	3,384	12,695	36%	27%
NET INCOME/(USE OF RESERVES)	(4,467)	3,758	(3,692)		



UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER - UNM Hospitals Only
2015 Fiscal Year-to-Date Summary through September 30, 2014 (Preliminary and Unaudited)
(In thousands)

Clinical Operations

	UNM Hospitals FY 2015 Re-Forecasted Budget 9/30/2014	UNM Hospitals FY 2015 YTD 9/30/14 Actual	UNM Hospitals FY 2014 Year End Actual	UNM Hospitals FY 2015 YTD Actual to FY 2015 Re-Forecasted Budget Benchmark Rate (25%)	UNM Hospitals FY 2015 YTD Actual to FY 2014 Year End Actual Benchmark Rate (25%)
1 UNM MEDICAL GROUP REVENUES	0	0	0	N/A	N/A
2 UNM HOSPITALS REVENUES	735,636	186,571	709,437	25%	26%
3 SRMC REVENUES	0	0	0	N/A	N/A
4 TUITION AND FEES	0	0	0	N/A	N/A
5 CIGARETTE TAX APPROPRIATIONS	0	0	0	N/A	N/A
6 RPSP APPROPRIATIONS	13,117	3,279	12,520	25%	26%
7 I&G APPROPRIATIONS	0	0	0	N/A	N/A
8 I&G MAIN CAMPUS TRANSFERS	0	0	0	N/A	N/A
9 F&A REVENUES (OH RETURN)	0	0	0	N/A	N/A
10 HSC/UNM INTERNAL TRANSFERS	0	0	0	N/A	N/A
11 MILL LEVY	92,780	23,195	92,020	25%	25%
12 OTHER REVENUES	8,512	2,130	8,768	25%	24%
13 CONTRACT AND GRANT REVENUES	21,165	5,335	17,863	25%	30%
TOTAL REVENUES	871,210	220,510	840,608	25%	26%
14 TOTAL COMPENSATION EXPENSES	435,169	109,559	408,780	25%	27%
15 SUPPLIES/MEDICAL SUPPLIES	143,385	35,775	141,923	25%	25%
16 UNIVERSITY CLINICIANS PROGRAM	61,512	17,657	59,387	29%	30%
17 HOUSESTAFF	28,316	7,218	25,933	25%	28%
18 TRAVEL	596	150	691	25%	22%
19 STUDENT COSTS	0	0	0	N/A	N/A
20 PATIENT CARE COSTS	35,579	9,171	46,357	26%	20%
21 TELEPHONE/COMMUNICATION COSTS	3,512	885	3,598	25%	25%
22 PURCHASED SERVICES	42,181	10,504	33,106	25%	32%
23 OTHER MEDICAL SERVICES	36,865	8,012	27,692	22%	29%
24 SUB AWARDS/SERVICE CONTRACTS	8,989	2,266	9,322	25%	24%
25 O&M & LEASES	16,004	3,996	16,498	25%	24%
26 UTILITIES	6,847	1,726	6,316	25%	27%
27 DEPRECIATION	30,684	7,733	31,831	25%	24%
28 INTEREST EXPENSE	7,404	1,851	7,567	25%	24%
29 OTHER EXPENSES	25,674	6,462	52,478	25%	12%
30 CONTRACT AND GRANT EXPENSES	0	0	0	N/A	N/A
TOTAL EXPENSES	882,718	222,965	871,479	25%	26%
OPERATING NET MARGIN	(11,508)	(2,455)	(30,871)		
NON-RECURRING ITEMS					
31 CAPITAL EXPENDITURES	0	0	0	N/A	N/A
32 MEANINGFUL USE REVENUE	0	0	0	N/A	N/A
33 RETURN ON INVESTMENT - TRIWEST	(11,932)	(3,007)	(39,873)	25%	8%
34 USE OF UNMMG RESERVES	0	0	0	N/A	N/A
35 RECRUITMENT/STARTUP/SCHOLARSHIPS	0	0	0	N/A	N/A
36 NON-RECURRING TRANSFERS	0	0	0	N/A	N/A
TOTAL NON-RECURRING ITEMS	(11,932)	(3,007)	(39,873)	25%	8%
NET INCOME/(USE OF RESERVES)	424	553	9,002		



UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER - UNMMG Only
2015 Fiscal Year-to-Date Summary through September 30, 2014 (Preliminary and Unaudited)
(In thousands)

Clinical Operations

	UNMMG FY 2015 Re-Forecasted Budget 9/30/2014	UNMMG FY 2015 YTD 9/30/14 Actual	UNMMG FY 2014 Year End Actual	UNMMG FY 2015 YTD Actual to FY 2015 Re-Forecasted Budget Benchmark Rate (25%)	UNMMG FY 2015 YTD Actual to FY 2014 Year End Actual Benchmark Rate (25%)
1 UNM MEDICAL GROUP REVENUES	180,462	47,115	162,835	26%	29%
2 UNM HOSPITALS REVENUES	0	0	0	N/A	N/A
3 SRMC REVENUES	0	0	0	N/A	N/A
4 TUITION AND FEES	0	0	0	N/A	N/A
5 CIGARETTE TAX APPROPRIATIONS	0	0	0	N/A	N/A
6 RPSP APPROPRIATIONS	815	203	815	25%	25%
7 I&G APPROPRIATIONS	0	0	0	N/A	N/A
8 I&G MAIN CAMPUS TRANSFERS	0	0	0	N/A	N/A
9 F&A REVENUES (OH RETURN)	0	0	0	N/A	N/A
10 HSC/UNM INTERNAL TRANSFERS	0	0	0	N/A	N/A
11 MILL LEVY	0	0	0	N/A	N/A
12 OTHER REVENUES	7,928	768	3,546	10%	22%
13 CONTRACT AND GRANT REVENUES	0	0	0	N/A	N/A
TOTAL REVENUES	189,205	48,086	167,196	25%	29%
14 TOTAL COMPENSATION EXPENSES	20,470	4,165	15,533	20%	27%
15 SUPPLIES/MEDICAL SUPPLIES	0	0	0	N/A	N/A
16 UNIVERSITY CLINICIANS PROGRAM	0	0	0	N/A	N/A
17 HOUSESTAFF	0	0	0	N/A	N/A
18 TRAVEL	0	0	0	N/A	N/A
19 STUDENT COSTS	0	0	0	N/A	N/A
20 PATIENT CARE COSTS	25,427	5,829	19,065	23%	31%
21 TELEPHONE/COMMUNICATION COSTS	0	0	0	N/A	N/A
22 PURCHASED SERVICES	134,131	31,056	115,568	23%	27%
23 OTHER MEDICAL SERVICES	0	0	0	N/A	N/A
24 SUB AWARDS/SERVICE CONTRACTS	0	0	0	N/A	N/A
25 O&M & LEASES	834	117	429	14%	27%
26 UTILITIES	0	0	0	N/A	N/A
27 DEPRECIATION	404	85	393	21%	22%
28 INTEREST EXPENSE	0	0	0	N/A	N/A
29 OTHER EXPENSES	3,899	1,527	5,614	39%	27%
30 CONTRACT AND GRANT EXPENSES	0	0	0	N/A	N/A
TOTAL EXPENSES	185,164	42,778	156,602	23%	27%
OPERATING NET MARGIN	4,040	5,308	10,594		
NON-RECURRING ITEMS					
31 CAPITAL EXPENDITURES	0	0	0	N/A	N/A
32 MEANINGFUL USE REVENUE	0	0	(120)	N/A	0%
33 RETURN ON INVESTMENT - TRIWEST	0	0	0	N/A	N/A
34 USE OF UNMMG RESERVES	4,000	1,916	11,455	48%	17%
35 RECRUITMENT/STARTUP/SCHOLARSHIPS	0	0	0	N/A	N/A
36 NON-RECURRING TRANSFERS	0	0	0	N/A	N/A
TOTAL NON-RECURRING ITEMS	4,000	1,916	11,335	48%	17%
NET INCOME/(USE OF RESERVES)	40	3,392	(741)		



UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER - SRMC Only
2015 Fiscal Year-to-Date Summary through September 30, 2014 (Preliminary and Unaudited)
(In thousands)

Clinical Operations

	SRMC FY 2015 Re-Forecasted Budget 9/30/2014	SRMC FY 2015 YTD 9/30/14 Actual	SRMC FY 2014 Year End Actual	SRMC FY 2015 YTD Actual to FY 2015 Re-Forecasted Budget Benchmark Rate (25%)	SRMC FY 2015 YTD Actual to FY 2014 Year End Actual Benchmark Rate (25%)
1 UNM MEDICAL GROUP REVENUES	0	0	0	N/A	N/A
2 UNM HOSPITALS REVENUES	0	0	0	N/A	N/A
3 SRMC REVENUES	74,414	16,242	53,651	22%	30%
4 TUITION AND FEES	0	0	0	N/A	N/A
5 CIGARETTE TAX APPROPRIATIONS	0	0	0	N/A	N/A
6 RPSP APPROPRIATIONS	0	0	0	N/A	N/A
7 I&G APPROPRIATIONS	0	0	0	N/A	N/A
8 I&G MAIN CAMPUS TRANSFERS	0	0	0	N/A	N/A
9 F&A REVENUES (OH RETURN)	0	0	0	N/A	N/A
10 HSC/UNM INTERNAL TRANSFERS	0	0	0	N/A	N/A
11 MILL LEVY	5,592	1,398	7,983	25%	18%
12 OTHER REVENUES	551	126	441	23%	28%
13 CONTRACT AND GRANT REVENUES	233	59	0	25%	N/A
TOTAL REVENUES	80,790	17,824	62,075	22%	29%
14 TOTAL COMPENSATION EXPENSES	35,548	7,134	25,732	20%	28%
15 SUPPLIES/MEDICAL SUPPLIES	16,605	3,630	12,044	22%	30%
16 UNIVERSITY CLINICIANS PROGRAM	0	0	0	N/A	N/A
17 HOUSESTAFF	208	53	117	25%	45%
18 TRAVEL	13	3	20	25%	17%
19 STUDENT COSTS	0	0	0	N/A	N/A
20 PATIENT CARE COSTS	4,813	1,038	4,346	22%	24%
21 TELEPHONE/COMMUNICATION COSTS	80	20	85	25%	24%
22 PURCHASED SERVICES	3,057	771	3,902	25%	20%
23 OTHER MEDICAL SERVICES	406	178	369	44%	48%
24 SUB AWARDS/SERVICE CONTRACTS	1,598	403	1,377	25%	29%
25 O&M & LEASES	894	225	621	25%	36%
26 UTILITIES	901	227	785	25%	29%
27 DEPRECIATION	9,304	2,345	9,112	25%	26%
28 INTEREST EXPENSE	4,443	1,020	4,090	23%	25%
29 OTHER EXPENSES	2,845	715	2,040	25%	35%
30 CONTRACT AND GRANT EXPENSES	0	0	0	N/A	N/A
TOTAL EXPENSES	80,716	17,762	64,640	22%	27%
OPERATING NET MARGIN	74	62	(2,565)		
NON-RECURRING ITEMS					
31 CAPITAL EXPENDITURES	0	0	0	N/A	N/A
32 MEANINGFUL USE REVENUE	0	0	0	N/A	N/A
33 RETURN ON INVESTMENT - TRIWEST	0	0	0	N/A	N/A
34 USE OF UNMMG RESERVES	0	0	0	N/A	N/A
35 RECRUITMENT/STARTUP/SCHOLARSHIPS	0	0	0	N/A	N/A
36 NON-RECURRING TRANSFERS	0	0	0	N/A	N/A
TOTAL NON-RECURRING ITEMS	0	0	0	N/A	N/A
NET INCOME/(USE OF RESERVES)	74	62	(2,565)		



UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER - Consolidated HSC
2015 Fiscal Year-to-Date Summary through September 30, 2014 (Preliminary and Unaudited)
(In thousands)

Clinical and Academic Operations

	Consolidated HSC FY 2015 Re-Forecasted Budget 9/30/2014	Consolidated HSC FY 2015 YTD 9/30/14 Actual	Consolidated HSC FY 2014 Year End Actual	Consolidated HSC FY 2015 YTD Actual to FY 2015 Re-Forecasted Budget Benchmark Rate (25%)	Consolidated HSC FY 2015 YTD Actual to FY 2014 Year End Actual Benchmark Rate (25%)
1 UNM MEDICAL GROUP REVENUES	300,919	76,514	269,491	25%	28%
2 UNM HOSPITALS REVENUES	875,725	222,803	846,414	25%	26%
3 SRMC REVENUES	94,745	21,090	69,675	22%	30%
4 TUITION AND FEES	15,189	7,869	14,806	52%	53%
5 CIGARETTE TAX APPROPRIATIONS	3,949	1,033	3,932	26%	26%
6 RPSP APPROPRIATIONS	33,622	8,405	31,237	25%	27%
7 I&G APPROPRIATIONS	64,907	16,227	61,566	25%	26%
8 I&G MAIN CAMPUS TRANSFERS	19,900	5,204	18,518	26%	28%
9 F&A REVENUES (OH RETURN)	21,500	5,104	22,595	24%	23%
10 HSC/UNM INTERNAL TRANSFERS	(3,837)	(1,065)	(6,229)	28%	17%
11 MILL LEVY	98,372	24,593	100,003	25%	25%
12 OTHER REVENUES	28,871	5,841	26,514	20%	22%
13 CONTRACT AND GRANT REVENUES	157,481	36,435	150,365	23%	24%
TOTAL REVENUES	1,711,343	430,053	1,608,887	25%	27%
14 TOTAL COMPENSATION EXPENSES	858,875	211,239	787,774	25%	27%
15 SUPPLIES/MEDICAL SUPPLIES	172,246	41,737	165,883	24%	25%
16 UNIVERSITY CLINICIANS PROGRAM	61,512	17,657	59,387	29%	30%
17 HOUSESTAFF	28,524	7,271	26,050	25%	28%
18 TRAVEL	6,149	1,245	5,411	20%	23%
19 STUDENT COSTS	3,415	898	2,422	26%	37%
20 PATIENT CARE COSTS	67,804	16,358	71,199	24%	23%
21 TELEPHONE/COMMUNICATION COSTS	5,698	1,455	5,940	26%	24%
22 PURCHASED SERVICES	203,340	49,468	179,467	24%	28%
23 OTHER MEDICAL SERVICES	37,272	8,189	28,061	22%	29%
24 SUB AWARDS/SERVICE CONTRACTS	11,124	2,924	11,833	26%	25%
25 O&M & LEASES	26,064	6,409	25,009	25%	26%
26 UTILITIES	12,580	3,179	11,902	25%	27%
27 DEPRECIATION	40,392	10,163	41,336	25%	25%
28 INTEREST EXPENSE	14,013	3,413	13,826	24%	25%
29 OTHER EXPENSES	37,316	9,518	63,090	26%	15%
30 CONTRACT AND GRANT EXPENSES	127,582	28,873	124,136	23%	23%
TOTAL EXPENSES	1,713,905	419,995	1,622,726	25%	26%
OPERATING NET MARGIN	(2,563)	10,057	(13,839)		
NON-RECURRING ITEMS					
31 CAPITAL EXPENDITURES	2,948	731	2,030	25%	36%
32 MEANINGFUL USE REVENUE	0	0	(120)	N/A	0%
33 RETURN ON INVESTMENT - TRIWEST	(11,932)	(3,007)	(39,873)	25%	8%
34 USE OF UNMMG RESERVES	4,000	1,916	11,455	48%	17%
35 RECRUITMENT/STARTUP/SCHOLARSHIPS	6,180	1,360	6,225	22%	22%
36 NON-RECURRING TRANSFERS	170	1,294	4,440	762%	29%
TOTAL NON-RECURRING ITEMS	1,366	2,293	(15,843)	168%	-14%
NET INCOME/(USE OF RESERVES)	(3,928)	7,765	2,004		

GLOSSARY OF REPORT CATEGORIES

FINANCIAL STATEMENT CATEGORY		UNM	UNMMG	UNM Hospitals	SRMC
1	UNM MEDICAL GROUP REVENUES	Clinical revenue primarily based on Physician or Provider efforts and collection through UNMMG	Net patient services revenue including SCI & UPL from UNMMG Clinical operations	N/A	N/A
2	UNM HOSPITALS REVENUES	Revenue from University Hospital to the School of Medicine	N/A	Hospital facility revenue based on patient billings by UNMH	N/A
3	SRMC REVENUES	Revenue from SRMC to the School of Medicine	N/A	N/A	Hospital facility revenue based on patient billings by SRMC
4	TUITION AND FEES	Tuition & Fees, including tuition differentials	N/A	N/A	N/A
5	CIGARETTE TAX APPROPRIATIONS	Revenues received from the State as a calculated portion of Tax Revenue on the sale of tobacco products for use in Cancer Research	N/A	N/A	N/A
6	RPSP APPROPRIATIONS	Revenue received for current operations made available to UNM by act of NM State Legislature on an annual basis for Research, Public Service and Independent Operations	N/A	Revenue received for current operations made available to UNMH by act of NM State Legislature on an annual basis for Research, Public Service and Independent Operations	N/A
7	I&G APPROPRIATIONS	Revenue received for current operations made available to UNM by act of NM State Legislature on an annual basis for I&G operations	N/A	N/A	N/A
8	I&G MAIN CAMPUS TRANSFERS	Partial transfer of tuition and formula workload generated by Nursing, Pharmacy and SOM	N/A	N/A	N/A
9	F & A REVENUES (OH RETURN)	Revenue from recovery of Indirect costs (F&A) incurred by a Contract or Grant also known as overhead return	N/A	N/A	N/A
10	HSC/UNM INTERNAL TRANSFERS	All transfers to/from HSC Unrestricted Funds excluding the I&G Main Campus Transfer and Transfers for Debt Service	N/A	N/A	N/A
11	MILL LEVY	N/A	N/A	Mill levy taxes collected on behalf of the Bernalillo County Treasurer and remitted to UNMH as required by the Hospital Funding Act.	Mill levy taxes collected on behalf of the Sandoval County Treasurer and remitted to SRMC as required by the Hospital Funding Act.
12	OTHER REVENUES	All other Revenues - not contained in any other category - Sales & Services (not Internal Service Center P18 sales), Gains on Sponsored Projects, Gifts, Endowment Spending Distributions, Investment Income, etc.	Other operating revenues	All other revenues not contained in any other category: Investment income, equity loss in Tricare, donated revenue, gain/loss on sale of assets, food, nutrition, catering & vending revenue, medical records revenue, rent revenue, all other non-operating revenue	All other revenues not contained in any other category: Investment income, donated revenue, gain/loss on sale of assets, food, nutrition, catering & vending revenue, medical records revenue, rent revenue, all other non-operating revenue
13	CONTRACT AND GRANT REVENUES	All Restricted Funds Revenue and Unrestricted Contract Revenue for example VA contracts	N/A	Revenue associated with restricted and unrestricted contracts and grants	N/A
14	TOTAL COMPENSATION EXPENSES	Salary, wages & benefits	Salaries and benefits considered to be operating and certain clinical expenses of UNMMG	Salary, wages & benefits	Salary, wages & benefits

GLOSSARY OF REPORT CATEGORIES

FINANCIAL STATEMENT CATEGORY		UNM	UNMMG	UNM Hospitals	SRMC
15	SUPPLIES/MEDICAL SUPPLIES	Office supplies, computer supplies, dues & memberships, postage charges, recruitment expenses, non capital equipment, computers, food, lab supplies, uniforms, training materials, etc.	N/A	Medical supplies for: lab, radiology, blood, pharmaceuticals, biologics, implantable devices, office supplies, computer supplies, photocopy expense, forms, linen, food, uniforms, and training materials.	Medical supplies for: lab, radiology, blood, pharmaceuticals, biologics, implantable devices, office supplies, computer supplies, photocopy expense, forms, linen, food, uniforms, and training materials.
16	UNIVERSITY CLINICIANS PROGRAM	N/A	N/A	Special clinician program to support SOM	Special clinician program to support SOM
17	HOUSESTAFF	N/A	N/A	Reimbursement of patient care services provided by residents who are employed by SOM.	Reimbursement of patient care services provided by SOM through UNMMG.
18	TRAVEL	Travel - in state, out of state, foreign, business meals, rental vehicles, new employee moving expenses, vehicle fuel etc.	N/A	Travel - in state, out of state, business meals, rental vehicles, flights, ground transportation, vehicle fuel etc.	Travel - in state, out of state, business meals, rental vehicles, flights, ground transportation, vehicle fuel etc.
19	STUDENT COSTS	Student tuition/fee expense, student travel, scholarships/fellowships, housing, insurance for students, student awards, etc.	N/A	N/A	N/A
20	PATIENT CARE COSTS	Patient Care costs for inpatient, outpatient care & lab, pharmacy and x-ray services for patients	Patient Care costs for inpatient, outpatient care & lab, pharmacy and x-ray services for patients	Patient Care costs for Inpatient, outpatient care including Tricore laboratory expense, OR Instruments, NM Insurance pool premiums, kidney acquisition, step down care (snf) for IV dependent patients, radiation oncology and patient assistance	Patient Care costs for inpatient, outpatient care including Tricore laboratory expense, OR Instruments, NM Insurance pool premiums, kidney acquisition, step down care (snf) for IV dependent patients, radiation oncology and patient assistance
21	TELEPHONE/COMMUNICATION COSTS	Telephone, voicemail, cellular, long distance, paging and data networking charges	N/A	Telephone, voicemail, cellular, long distance, paging and data networking charges	Telephone, voicemail, cellular, long distance, paging and data networking charges
22	PURCHASED SERVICES	Alarm fees, internet fees, architectural services, auditing services, printing/copying/binding fees, conference/event fees, honoraria, insurance charges (general liability, professional liability), legal services, electronic journals & books, consultant fees, etc.	Includes payment to UNM SOM for physician and other provider services	Recruitment, professional, legal, auditing, consulting fees, promotional/graphics, IT Hosting Cerner, Siemens and PACS, safety and risk services, equifax, laundry, malpractice and liability insurance, etc.	Recruitment, professional, legal, auditing, consulting fees, promotional/graphics, IT Hosting Cerner, Siemens and PACS, safety and risk services, equifax, laundry, malpractice and liability insurance, etc.
23	OTHER MEDICAL SERVICES	N/A	N/A	Pathology contract, physician services, Executive Medical Directors, Medical Directors, dialysis services for UNM Care patients, OMI MOU, Adult Infusion net	Physician services
24	SUB AWARDS/SERVICE CONTRACTS	Unrestricted Sub Awards, Gain/Loss on Unrestricted Projects	N/A	All service contracts	All service contracts
25	O&M & LEASES	Plant maintenance and repairs, equipment repairs and maintenance, property insurance, auto insurance, facility rent expense	Building maintenance and repairs, equipment repairs and maintenance, repair parts, equipment rent, property insurance, auto insurance, facility rent expense, and housekeeping supplies	Building maintenance and repairs, equipment repairs and maintenance, repair parts, equipment rent, property insurance, auto insurance, facility rent expense, and housekeeping supplies	Building maintenance and repairs, equipment repairs and maintenance, repair parts, equipment rent, property insurance, auto insurance, facility rent expense, and housekeeping supplies
26	UTILITIES	Natural gas, electricity, steam, sewer, water, chilled water	N/A	Natural gas, electricity, steam, sewer, water, chilled water	Natural gas, electricity, steam, sewer, water, chilled water

GLOSSARY OF REPORT CATEGORIES

FINANCIAL STATEMENT CATEGORY		UNM	UNMMG	UNM Hospitals	SRMC
27	DEPRECIATION	N/A	The annual amortization for the furniture and equipment.	The annual amortization for the capital outlay associated with building, building improvement, fixed and moveable equipment which is based on the estimated useful lives of the assets as determined by the AHA "Estimated useful lives of Depreciable Hospital Assets".	The annual amortization for the capital outlay associated with building, building improvement, fixed and moveable equipment which is based on the estimated useful lives of the assets as determined by the AHA "Estimated useful lives of Depreciable Hospital Assets".
28	INTEREST EXPENSE	Transfers to cover debt service	N/A	Interest expense associated with the series 2004 FHA Insured Hospital Mortgage Revenue Bonds.	SRMC receives subsidy payments related to interest payments under the federal Build America Bond program. Under the program SRMC applies for subsidy funds commensurate with each bond payment, so the application for the subsidy is made semiannually. Also includes interest expense on the Series A & B Bonds.
29	OTHER EXPENSES	Banking fees, cost of goods sold, research costs, royalties, bad debt expense, other operating costs, Banner tax, etc.	Billings & collections expenses and other operating expenses of UNMMG	Programming, application, software and maintenance expenses, non capital equipment (less than \$5k), signs, dues & memberships, freight, postage, subscriptions, licenses/permits, Gap Tax, Intergovernmental Transfers for the SCI and IME, bond issuance costs, capital initiatives and other non-operating expenses.	Programming, application, software and maintenance expenses, non capital equipment (less than \$5k), signs, dues & memberships, freight, postage, subscriptions, licenses/permits, Gap Tax, Intergovernmental Transfers for the SCI and IME, bond issuance costs, capital initiatives and other non-operating expenses.
30	CONTRACT AND GRANT EXPENSES	All Restricted Funds Expense and Unrestricted Contract Expense for example VA contracts	N/A	N/A	N/A
31	CAPITAL EXPENDITURES	Equipment/furniture >\$5,000, Computer hardware, library acquisitions, etc.	N/A	N/A	N/A
32	MEANINGFUL USE REVENUE	N/A	Medicare and Medicaid programs provide incentive payments for hospital and physicians that make meaningful use of certified electronic health record technology.	Medicare and Medicaid programs provide incentive payments for hospital and physicians that make meaningful use of certified electronic health record technology.	N/A
33	RETURN ON INVESTMENT - TRIWEST	NA	NA	Dividend payments from Investment In TriWest	NA
34	USE OF UNMMG RESERVES	N/A	To fund and support the mission of the Health Sciences Center.	N/A	N/A
35	RECRUITMENT/STARTUP/SCHOLARSHIPS	Chair and Startup Packages, Dean's Scholars/Professorships, and Recruitment Costs	N/A	N/A	N/A
36	NON-RECURRING TRANSFERS	Transfers from current unrestricted funds to plant funds or any other non-recurring transfers	N/A	N/A	N/A

UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER
Balance Sheet for the Health Sciences Center
2015 Fiscal Year as of September 30, 2014 (Preliminary and Unaudited)
(In thousands)

	University HSC	UNM Hospitals	UNMMG	SRMC
ASSETS				
1 Cash and cash equivalents	14,947	132,309	24,530	5,643
2 Marketable securities	22,418	33,947	-	-
Receivables:				
3 Patient, net	1,367	88,977	28,842	6,092
4 Receivable from Affiliates	56,401	7,520	3,992	1,061
5 Estimated third party payor settlements	-	23,531	-	2,753
6 County Treasurer	-	22,275	-	1,318
7 Contracts, Grants and Other	17,678	8,078	-	682
8 Other	8,250	15,693	657	2,994
Total Current Assets	121,061	332,330	58,021	20,543
Assets whose use is limited:				
9 Held by trustee for debt service & other	-	40,019	-	11,275
10 By UNM Hospital Board of Trustees	-	21,193	-	-
11 Board and Other Designated Assets	127,668	-	21,648	-
12 Endowments	109,827	-	-	-
13 Capital Assets, net of depreciation	268,360	243,216	2,915	128,162
TOTAL ASSETS	626,916	636,758	82,584	159,980
LIABILITIES				
14 Accounts Payable	4,177	50,118	6,309	4,419
15 Other accrued liabilities	37,373	43,975	-	2,830
16 Payable to Affiliates	825	40,359	26,629	1,161
17 Bonds payable - current	922	5,240	-	1,635
18 Interest payable bonds	415	1,922	-	1,539
19 Estimated third party payor settlements	-	21,222	-	-
20 Bonds payable - non current	17,681	151,465	-	131,880
21 Other liabilities - non current	24,855	7,774	-	-
22 Sponsored Project and Other Deferred Revenue	15,785	-	-	-
TOTAL LIABILITIES	102,033	322,075	32,938	143,464
NET POSITION				
23 Restricted - expendable grants, bequests and contributions	111,322	14,707	-	61
24 Restricted - trust indenture and debt agreement	-	26,506	-	10,990
25 Committed and Dedicated agreements	146,680	-	21,648	-
26 Discretionary Reserve	17,124	174,045	25,083	5,465
27 Assets invested in Capital, net of related debt	249,757	99,425	2,915	-
TOTAL NET POSITION	524,883	314,683	49,646	16,516
Current Ratio	2.77	2.06	1.76	2.47
Days Cash on Hand	N/A	59.78	53.32	44.87

	University HSC	UNM Hospitals	UNMMG	SRMC
ASSETS				
1 Cash and cash equivalents	Funds on deposit with Financial Institution with UNM	Funds on deposit with Financial Institution	Funds on deposit with Financial Institution	Funds on deposit with Financial Institution, plus the remaining balance of the \$46m
2 Marketable securities	Funds invested in securities in accordance with UNM Investment policy	Funds Invested in US Treasury	N/A	N/A
3 Patient, net	Pediatric/Newborn Transport Services, net of estimated contractual allowances and estimated bad debt	Patient Receivable after adjustments for discounts and allowances	Patient Receivable for care provided by UNM SOM facility and other practitioners, net of estimated contractual allowances and estimated bad debt	Patient Receivable for facility costs, net of estimated contractual allowances and estimated bad debt
4 Receivable from Affiliates	Related Party Receivables for goods or services provided to UNM Hospitals, UNMMG and SRMC	Related Party Receivable from University HSC and SRMC	Related Party Receivable for negative arbitrage for SRMC	Related Party Receivable from UNMMG and UNMH
5 Estimated third party payor settlements	N/A	Accounts Receivable for IME/GME /DSH	N/A	
6 County Treasurer	N/A	Bernalillo County Mil Levy Receivable	N/A	Sandoval County Mil Levy Receivable
7 Contracts, Grants and Other	Sponsored project receivables and other services invoiced by UNM such as OMI, Resident Physician contracts, etc.	Accounts Receivable from Contracts/UNM MG/Special Billing/Investments	N/A	Other receivable balances. As of year end, a receivable was recorded for the Insurance proceeds associated with the damage in the OR due to the flood
8 Other	Prepaid contractual obligations, such as library subscription services and maintenance contracts	Prepaid Expense and Inventory	Pharmacy Inventory	Prepaid Expense and Inventory
9 Held by trustee for debt service & other	N/A	Debt/Mortgage reserve funds to include collateral and intangible assets	N/A	Funds held in Trustee Accounts, Mortgage reserve funds, bond debt service accounts
10 By UNM Hospital Clinical Operations Board	N/A	Investment in TriWest/Tricare Reference Laboratory	N/A	N/A
11 Board and Other Designated Assets	Funds dedicated to UNM Hospitals Capital Initiatives and unexpended plant funds	14 N/A	Department, SOM Investment in UNMMG and Quality Reserves	The original bond proceeds were used to purchase GNMA's which collateralize the mortgage with Prudential

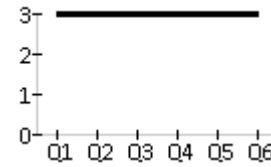
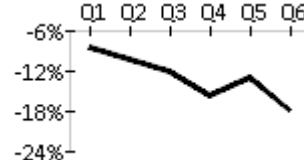
	University HSC	UNM Hospitals	UNMMG	SRMC
12 Endowments	Balance of endowed corpus funds generating revenue according to donor intent	N/A	N/A	N/A
13 Capital Assets, net of depreciation	Property, Plant and Equipment	Land, Property, Plant and Equipment	Furniture and Equipment	Land, Property, Plant and Equipment
LIABILITIES				
14 Accounts Payable	Unpaid balances owed to vendors for goods and services; amounts owed to employees for expense reimbursements	Amount owed to creditors	Unpaid balances owed to vendors for goods and services; accrued payroll and other payroll benefits and taxes	Unpaid balances owed to vendors for goods and services
15 Other accrued liabilities	Payroll withholding liabilities and estimated value of compensated absences	Accrued liabilities to include payroll, compensated benefit, and Incurred but not Recognized (IBNR) State Coverage Insurance	N/A	Accrued liabilities to include payroll, compensated benefits and other payroll benefits and taxes
16 Payable to Affiliates	Unpaid balances for services provided by UNM Hospitals, and UNMMG	Unpaid balances for services provided by University HSC	Unpaid balances for services provided by University HSC for purchased services, special arrangements, and indigent distribution	Unpaid balances for services provided by University HSC, UNMMG and UNMH
17 Bonds payable - current	Debt service payment that will be due within the next twelve months	Series 2004 Bonds payable in next year - FHA Insured Hospital Mortgage Revenue Bonds	N/A	Debt service payment that will be due within the next twelve months
18 Interest payable bonds	Interest Payable on outstanding debt	Interest Payable Series 2004 Bonds (FHA Insured Hospital Mortgage Revenue Bonds)	N/A	Interest Payable on Bonds
19 Estimated third party payor settlements	N/A	Medicare/Medicaid Settlements	N/A	N/A
20 Bonds payable - non current	Outstanding balance due in the long-term for bond funding	Series Bonds Payable in the long-term (FHA Insured Hospital Mortgage Revenue Bonds)	N/A	Outstanding balance due in the long-term for FHA Insured Hospital Revenue Bonds
21 Other liabilities - non current	Estimated future cost associated with Other Post Retirement Benefits (OPEB) and self-funded health insurance plan	Estimated future cost associated with Other Post Employment Benefits (OPEB) and bond premiums	N/A	The balance of the mortgage payable
22 Sponsored Project and Other Deferred Revenue	Prepaid contract and grant revenue, tuition, and other prepaid student fees	15 N/A	N/A	N/A



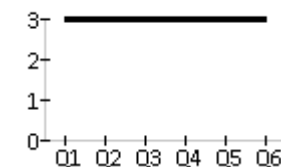
UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER
Glossary of Report Categories

	University HSC	UNM Hospitals	UNMMG	SRMC
NET POSITION				
23 Restricted - expendable grants, bequests and contributions	Restricted monies used in accordance with donor intent, contracts and bequests	Restricted monies used in accordance with donor intent, contracts and bequests	N/A	Restricted monies used in accordance with donor intent, contracts and bequests
24 Restricted - trust indenture and debt agreement	N/A	Restricted monies for Debt Service, Collateral, and Mortgage Reserve	N/A	Restricted monies for Mortgage reserve, Bond Debt Service
25 Committed and Dedicated agreements	Funds that have been committed for future expenditures. Dedicated funds have a clear focused purposed with for specific use.	N/A	Department, SOM Investment in UNMMG and Quality Fund Balances	N/A
26 Discretionary Reserve	Remaining fund balance after accounting for all restricted, committed and dedicated funds	Chief operating fund for all financial resources except those accounted for in another fund	Other operating reserves	Chief operating fund for all financial resources except those accounted for in another fund
27 Assets invested in Capital, net of related debt	Property, Plant and Equipment after adjustment for related bond debt	Property, Plant and Equipment after adjustment for related bond debt	Furniture and Equipment after adjustment for related bond debt	Property, Plant and Equipment after adjustment for related bond debt

FY14

Goal 1	Improve public health and health care to the populations we serve with community-wide solutions.	Q1	Q2	Q3	Q4	Q5	Q6	Trend Chart	Notes
Strategy 1.1	Strengthen external partnerships to improve health in New Mexico by developing broader networks of affiliated organizations and health care providers.								
	Tactic 1 Establish an Office of Health Policy.								
	Metric Establish an Office of Health Policy.	●	●	●	●	●	●		Completed
Strategy 1.2	Explore strategic partnerships to achieve market growth and align resources to better serve the continuum of care.								
	Tactic 1 Create preferred partnerships with local providers for preventative and post-acute care, and begin discussions around joint protocols and data sharing.								
	Metric 20% reduction in adult patient average length of stay greater than 25 days.	●	●	●	●	●	●		18.2% reduction.
Goal 2	Build the workforce of New Mexico by providing a premier education and transformative experience that prepares students to excel in the workplace.	Q1	Q2	Q3	Q4	Q5	Q6	Trend Chart	Notes
Strategy 2.1	Measurably increase the production and retention of health care professionals in New Mexico in the needed professions and geographic areas.								
	Tactic 1 Build mechanisms to measure and evaluate workforce needs in New Mexico.								

Metric Create a Center for Health Workforce Analysis and establish a statewide advisory group.



Completed

Tactic 2 Evaluate and plan for a College of Public Health.

Metric Evaluate and plan for a College of Public Health.



Good progress

Strategy 2.2

Transform health profession education across the Health Sciences Center (HSC) to meet the needs of our diverse learners, our professions, and our state.

Tactic 1 Continue efforts to expand our class sizes to help address health professional workforce shortages in New Mexico (NP, BA/DDS, GME).

Metric Develop a plan to increase class enrollments in specified health professions.



Completed analysis, producing summary.

Goal 3

Foster innovation, discovery and creativity, and translate our research and discoveries into clinical or educational practice.

Q1 Q2 Q3 Q4 Q5 Q6

Trend Chart

Notes

Strategy 3.1

Develop and/or foster our nationally recognized areas of excellence that address national and state health priorities.

Tactic 1 Build our capabilities in clinical informatics, health outcomes, and comparative effectiveness research.

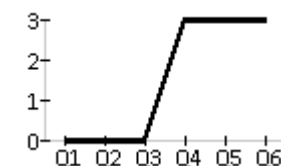
Metric Recruit faculty leader in comparative effectiveness research.



Interviewing

Tactic 2 Continue our growth in the sciences basic to health.

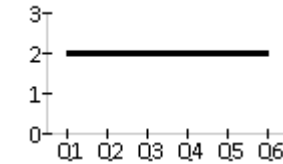
Metric Recruit one human stem cell or basic science researcher per year for 3 years.



Recruited new faculty into Biochemistry.

Tactic 3 Support information and sustainment of interdisciplinary programs that foster integrative and collaborative scholarship.

Metric Successful renewal of Cancer Center and Clinical & Translational Science Award (CTSA) grants; support development of Brain & Behavioral Health Institute (BBHI).

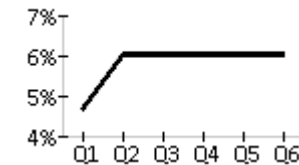


CC & CTSC renewals delayed to Fall 2014 and Spring 2015. BBHI on target with strategy plan.

Strategy 3.2 Enhance economic activity by expanding biotechnology transfer.

Tactic 1 Develop an economically sustainability model for offering telehealth options/opportunities to the community that considers impact to those living outside the greater Albuquerque metro area, as well as cost, and return on investment.

Metric Increase the number of communities using telehealth programs by 5%.



Goal 4 Provide the environment and resources to enable our people and programs to do their best.

Q1 Q2 Q3 Q4 Q5 Q6

Trend Chart

Notes

Strategy 4.1 Create an organization that will easily take advantage of the changing landscapes in our three missions.

Tactic 1 Develop a comprehensive IT strategic plan for the UNM Health System (HS) and HSC, which identifies existing gaps and sets priorities for IT/system investments to enable greater clinical, research, education and financial integration.

Metric Establish new IT governance and comprehensive IT strategic plan.



Complete

Strategy 4.2 Promote HSC professional development of faculty and staff.

Tactic 1 Continue to enhance recruitment and retention processes, with particular emphasis on minority groups.

Metric Measure and establish baseline of under-represented minority (URM) applicants and attrition per year and set benchmarks.



Baseline and data collection protocol were established for student and faculty information.

Goal 5 Deliver a well-integrated academic health center that provides high quality of care and service while being accessible to all New Mexicans.

Q1 Q2 Q3 Q4 Q5 Q6

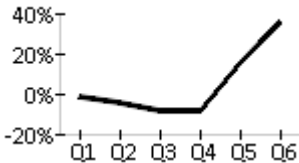
Trend Chart

Notes

Strategy 5.1 Improve the health and health equity of UNM HSC patient populations by managing their care across the continuum, and position us to be successful in a post Health Reform environment.

Tactic 1 Establish patient navigation and care team capabilities to allow for the care management of select high-risk, chronically ill patients.

Metric Increase the number of patients served by patient navigation by 25%.

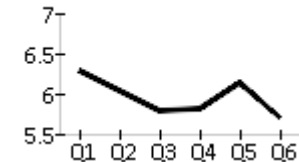


Completed

Strategy 5.2 Grow programs that leverage UNM Hospital's capabilities in delivering high-intensity care, while improving coordination across all services.

Tactic 1 Redeploy long-stay inpatient beds to establish dedicated inpatient capacity for growth in high-complexity programs at UNM Hospital (UNMH).

Metric Reduce average length of stay to 6.2 days.

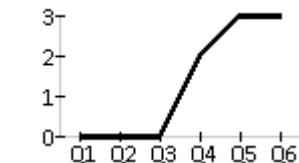


5.7 days

Strategy 5.3 Simplify the governance decision-making structure relative to the HSC.

Tactic 1 Establish a task force to study and make recommendations.

Metric Reduce the number of governance approvals for action.

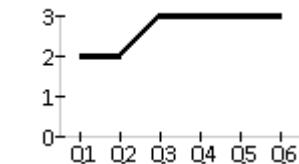


Board of Regents approved.
New approval thresholds enacted.

Strategy 5.4 Integrate the Health System/Health Sciences Center strategic plans, services, approaches and policies.

Tactic 1 Coordinate and align funds flow among various HSC entities to optimize resource utilization and strategic investment.

Metric Assessment completed with findings.

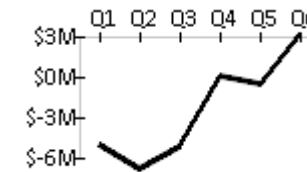


Completed

Strategy 5.5 Create greater access to specialty care, acute-care beds, primary care clinics, and post-acute services.

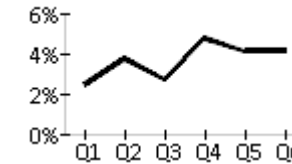
Tactic 1 Improve access through utilization of Sandoval Regional Medical Center (SRMC).

Metric SRMC has a positive monthly net operating margin by fiscal year's end.



Tactic 3 Improve ambulatory access, efficiency, and coordination.

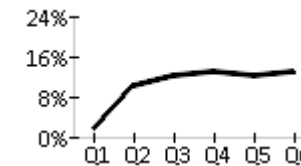
Metric Increase Health System clinic visits by 5%.



2% increase.

Tactic 4 Become a mature lean organization.

Metric Increase surgical procedures by 5%.



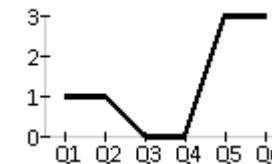
12.7% increase.

Strategy 5.6

Maintain financial strength for reinvestment in ongoing operations, investment in strategic growth, and ability to provide greater levels of patient care to all members of the community.

Tactic 1 Utilize system integration to improve operational efficiency and strengthen the balance sheet.

Metric To meet budget projections.



Includes SRMC, UNMMG, and UNMH

Strategy 5.7

Improve New Mexico's health care outcomes through solutions that are based on evidence.

Tactic 1 Establish an integrated, provider-driven health system quality committee to promote shared quality standards, goals and processes across the HS.

Metric Obtain UHC four star quality rating.



Improving monthly in core measures.

Goal 6	Nurture and embrace an environment of diversity, integrity and transparency.	Q1	Q2	Q3	Q4	Q5	Q6	Trend Chart	Notes
Strategy 6.1	Promote our academic depth and capabilities, while maintaining our mission to the underserved.								

Tactic 1

Create communication campaigns that actively promote UNM HSC's vital role as New Mexico's only academic medical center, safety-net provider and children's hospital, and emphasize the capabilities of key clinical services.

Metric Launch of campaign.



Completed.

Strategy 6.2

Enhance the representation of under-represented groups in our faculty, staff and students.






Tactic 1 *Develop a new plan to target recruitment of under-represented groups.*

Metric Plan presented and accepted by core group.



Plan presented to HSC leadership in April, and planning to present to Core Group.

Legend:

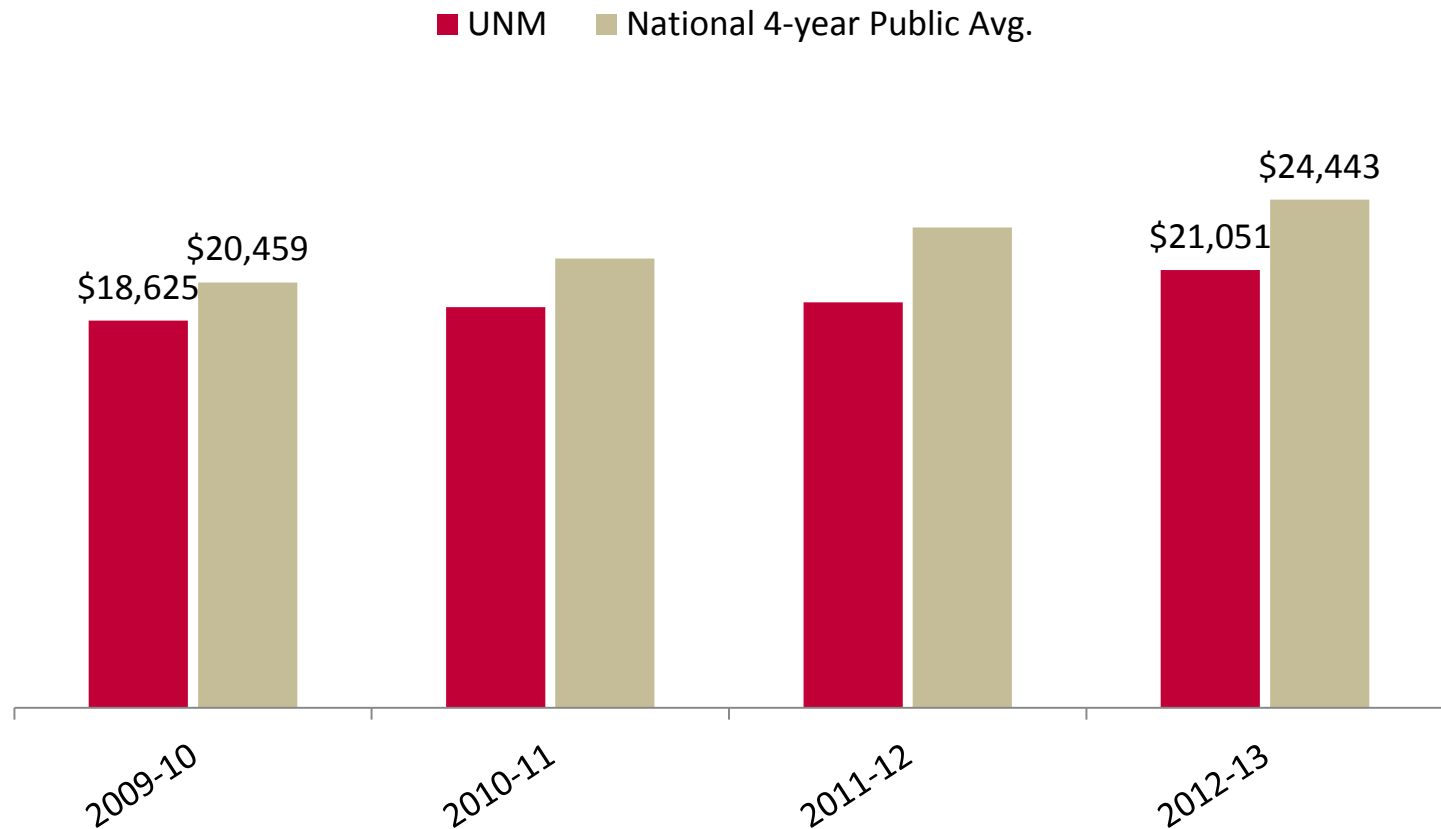
- : No information
- : Little or no progress
- : Some progress
- : Good progress
- : Met measure and / or complete

Update from the Provost
(will be provided at the meeting)

UNM Student Debt and Defaults

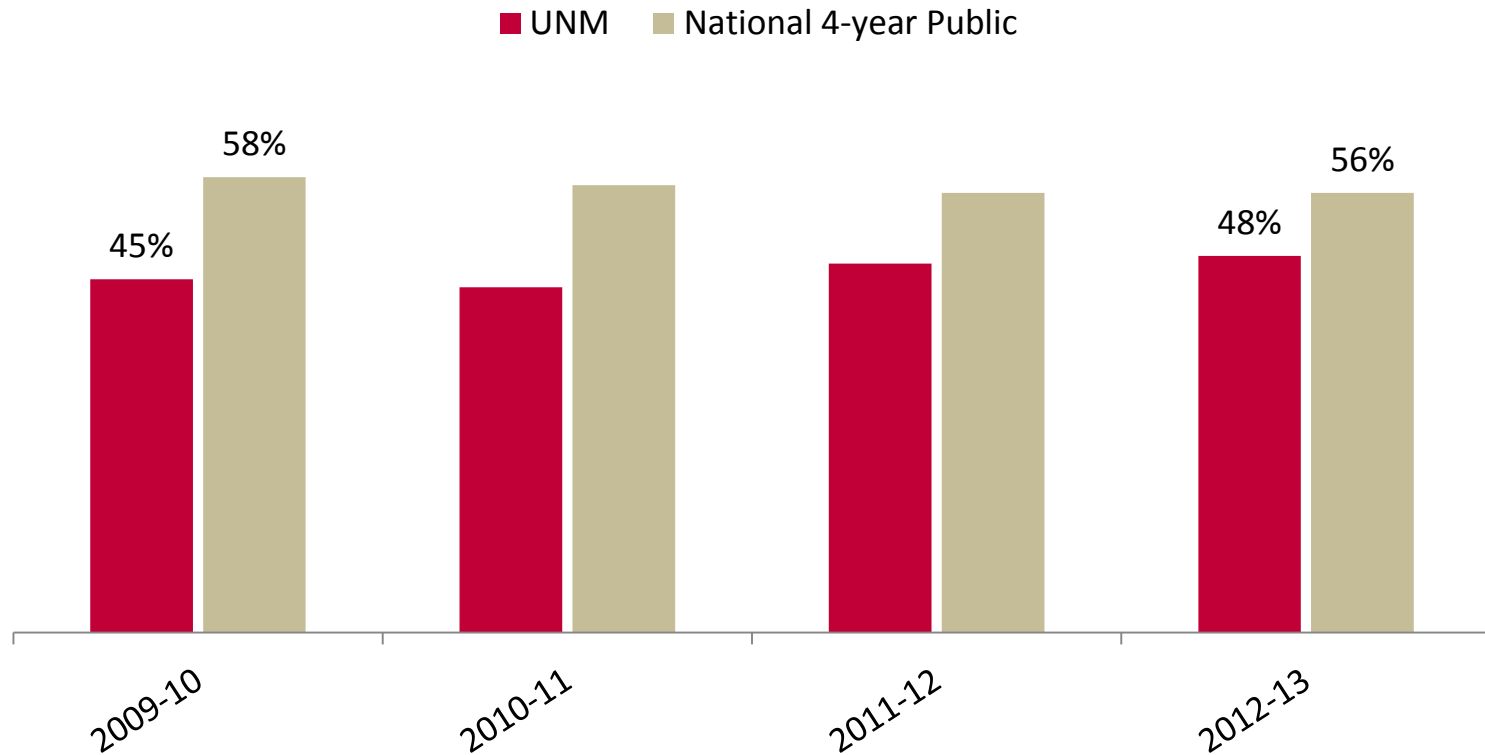
- Debt levels are not the problem.
- The number of students borrowing are not the problem.
- Why have loan defaults increased?
- What can be done about it?

Avg. Debt of UNM Grads Compared to Nat'l 4-year Public



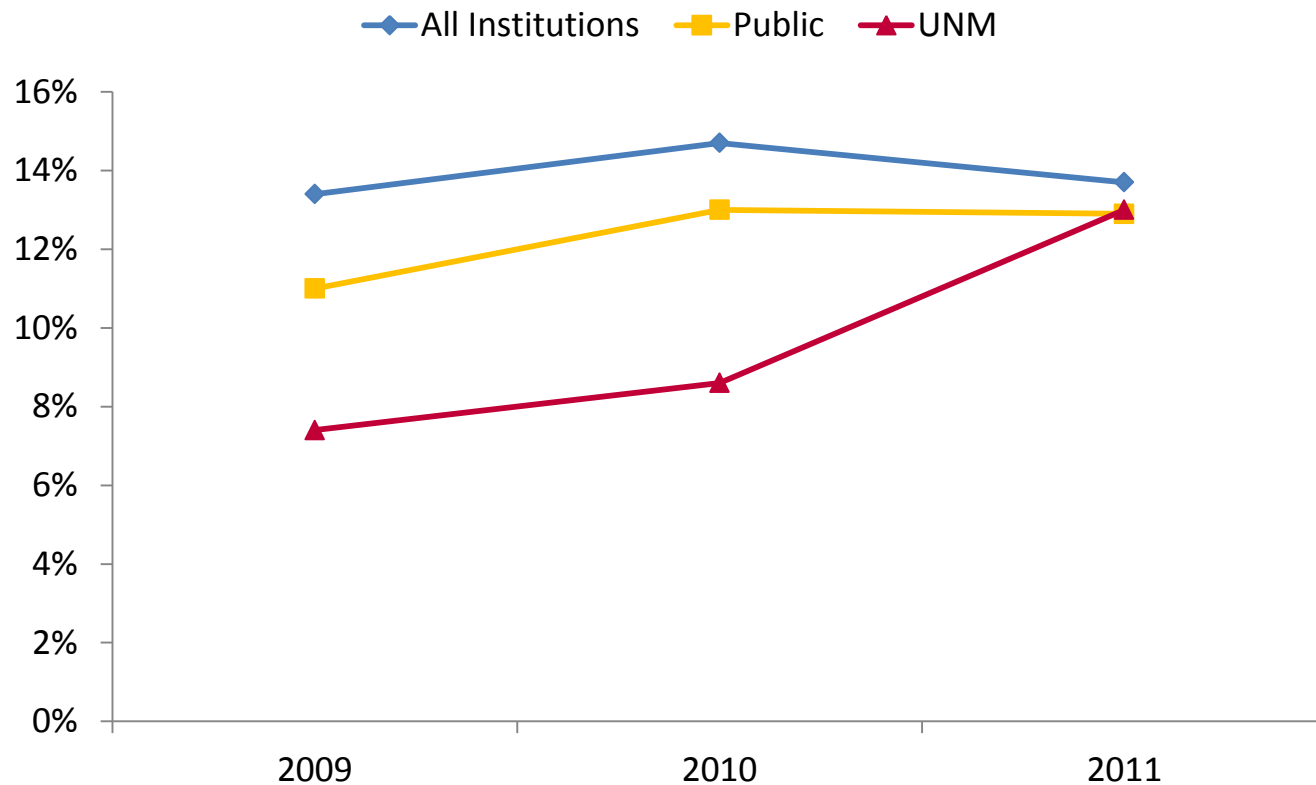
UNM graduates have less debt than the national average for public 4-year and above institutions. This average includes only those borrowing.

Percentage of Graduates Who Borrowed



A smaller percentage of UNM graduates borrow than the national average for public 4-year and above institutions.

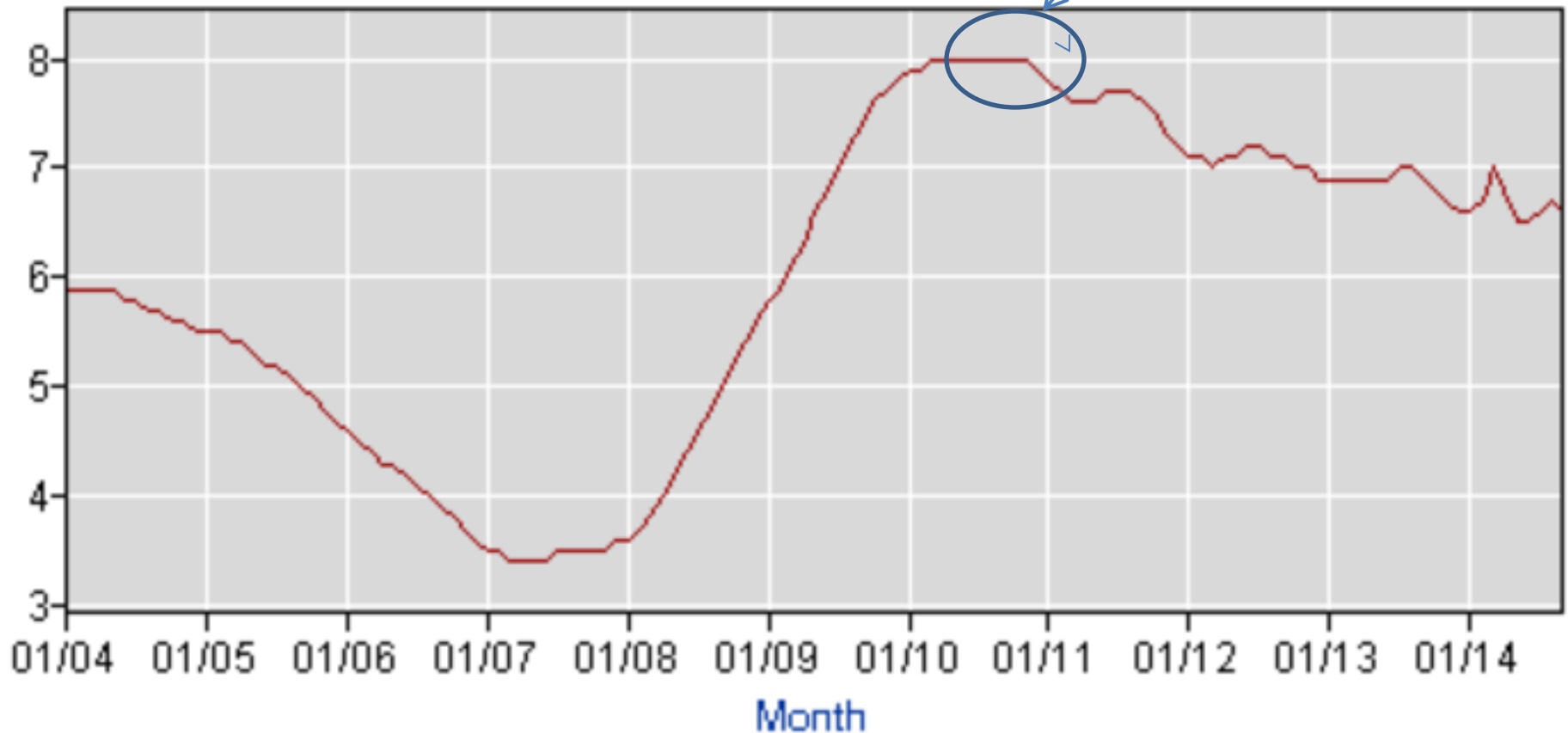
Student Loan 3-year Cohort Default Rates



Student loan default rates have increased substantially. The 2011 cohort was larger and there were more defaults. Main Campus had about 4 defaults for every 10 cohort additions and branches had approximately 8 defaults for every 10 students added.

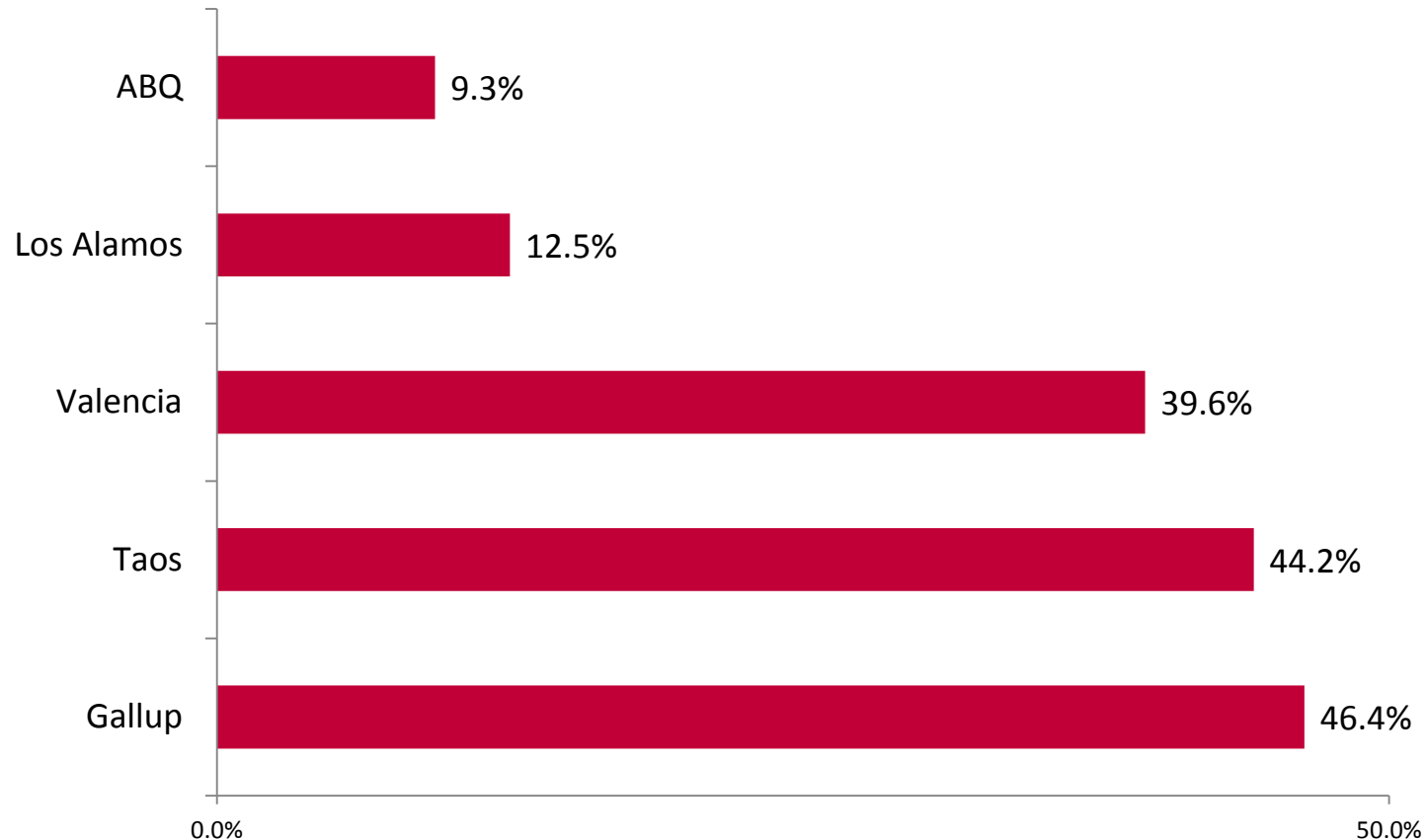
unemployment rate

2011 cohort went into
repayment



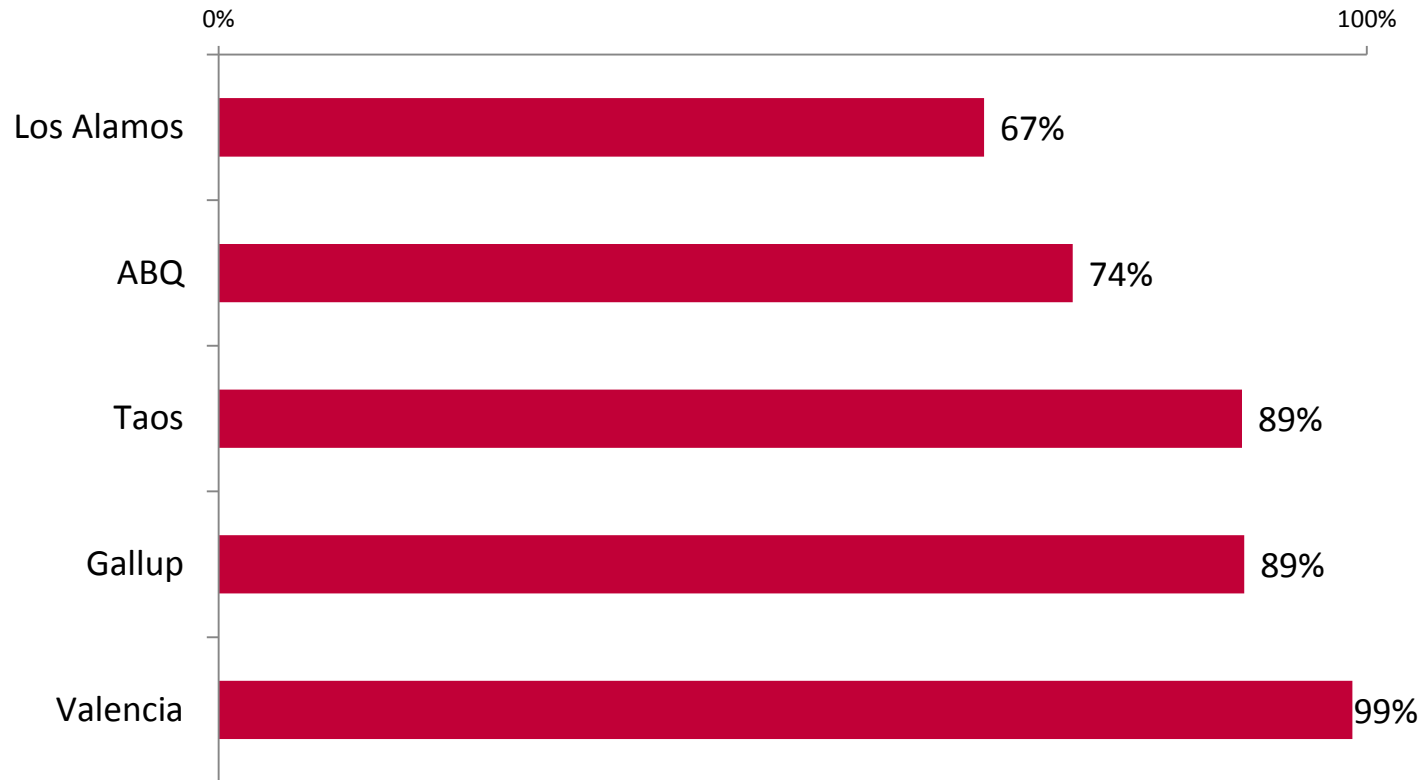
Actual chart of New Mexico unemployment by the Bureau of Labor Statistics at
<http://data.bls.gov/timeseries/LASST3500000000000003>

Student Loan Default Rate by UNM Campus



Branch campus defaults follow a trend of relatively low numbers of borrowers with high default rates. Branch financial aid is part of the UNM Title IV participation agreement and are therefore calculated in the overall UNM default rate.

% of Students in Default Without a Credential



80% of students who default did not earn a credential at UNM. The Branch impact of not completing a credential is more devastating with the exception of Los Alamos who has a very small n .

Variables Impacting Default Rates

- Not completing a credential
 - Over 80% of defaulters did not complete a credential at UNM
- More students borrowing more money
 - 12% increase in cohort size
 - +\$30,000,000 in loans disbursed during cohort time in school
- Difficult economy
 - 2011 cohort went into repayment around fall 2010
- Borrowers with high need
 - 87% of defaulters received Pell Grant at some point
- Beginning freshmen not retained
 - 35% of defaulters did not return for their 2nd year

What action have we taken?

- Completion is #1 priority
- Communication with students before, during and after lending process
- More financial support for Pell eligible students
- Cohort management including alerts for delinquency and outreach with information on how to avoid default
- Develop a motivated and prepared freshman class
- Targeted strategies for the most vulnerable students
- Enhanced entrance and exit counseling
- Interim communication during enrollment
- Consideration of various disbursement strategies

Update on Sexual Assault and Awareness Efforts

Helen Gonzales, Chief Compliance Officer

(the update will be presented at the meeting)

Report on Regents' Audit and Compliance Committee Meeting Regular Meeting October 16, 2014

The **Regents' Audit and Compliance Committee (Committee)** held a Regular Meeting on October 16, 2014, and took the following action:

ACTION ITEMS:

- The Committee unanimously approved the minutes from the meeting of August 21, 2014.
- The Committee unanimously consented to postpone Agenda Item #3 regarding Regents' Policy 1.8. Committee members discussed having a Special Meeting on December 4, 2014.
- The Committee approved, by unanimous consent, the FY14 External Financial Audit of the University's Main and Branch Campuses, UNM Hospitals, and Component Units performed by the audit firms of KPMG and Moss Adams.
- The Committee approved the following UNM audits by unanimous consent:

Review of University of New Mexico Children's Campus, #2014-10;
The Center on Alcoholism, Substance Abuse, and Addictions Audit of
Compliance Issues, #2014-15; and
Cancer Center Portable Devices: Investigation of Allegations, #2015-14

INFORMATION ITEMS:

- There were no Advisors' Comments.
- UNM 2014 Annual Security and Fire Safety Report. Christine Chester, Interim University Clery Coordinator provided the committee with information on the 2014 Annual Security and Fire Safety Report, published October 1, 2014. The report was sent to all students, staff and faculty members. According to the report, burglary on Main Campus decreased. Sexual assault increased due to more reporting and the awareness program. Los Alamos and Gallup Campuses reported no crimes in 2013. Taos and Valencia each reported one crime. Branch Campuses reported to the Department of Education with their own unique ID numbers. UNM West is included with Main Campus.

Regent Hosmer asked Ms. Chester what her confidence level is regarding the amount of reporting on sexual crimes. Ms. Chester stated more incidents occur than are reported, but they do receive information from outside entities such as practitioners that examine victims. Elsa Cole, University Counsel, stated that they have tried to assess prevalence of sexual assault on campus and will have more information in a couple of months. There will be a voluntary, anonymous survey, and focused training. This will assist in legal requirements. It will also be helpful in targeting and coordinating education efforts. Ms. Chester finished by noting that the Clery Coordinator should be a funded, full time position. She has been trying to do the immense Clery work plus her police duties since August. Chairman Gallegos stated her point is well taken.

- Special Administrative Compensation (SAC). Provost Abdallah provided an update on SACs and STCs (Special Administrative Components and Special Teaching Components). The Provost's office revised Policy C180, completed a study of peer institution compensation, and asked all academic units to prepare and submit their SAC policies to Academic Affairs

for approval. They refined the data collection to make better reporting possible and make sure they are compliant with the newly revised policy. In 2014, they completed and submitted an annual report. The SAC policies are posted on the website. It is not practical to standardize amounts, because a chair of a large department would usually receive a different SAC than a small department as the duties and responsibilities differ.

The policy that governs STC is C140. There are guidelines published for this policy on the website. The Provost reported this was a useful exercise. They did find that most of the peer institutions were “in the same boat – all over the place” on this issue. The STCs have now decreased on Main Campus from 38 (36 of which were part of the Executive MBA program) to two.

- Recovery Audit Contractor (RAC) Audits. Ella Watt, UNMH Chief Financial Officer, and Purvi Mody, Executive Director, Audit and Compliance, provided updated information regarding RAC program as it relates to the Hospital. Ms. Watt stated they compared their results to those of their peers in the region for over/underpayments. They are at the 23 percent level, performing better than peers. The reason for amounts reclaimed was disagreement with physicians’ decision-making process. They have added more staff to educate treating clinicians. The average reimbursement is different whether a patient is admitted or if the patient was treated as an outpatient. Ms. Mody noted the number of records audited is trending downward.
- Main Campus Code of Ethics. Pamina Deutsch, Director, Policy Office, and Melissa Bokovoy, Chair, History Department, presented the Committee with an update on the Main Campus Code of Ethics. Ms. Bokovoy reported they now have a 79 word document that is a set of four guiding principles. The information was taken from multiple vision, mission, and value statements from UNM and all across the United States that will inform behavior. The Chairman asked about timeline for finalizing the information. Ms. Bokovoy stated it could perhaps be adopted by December. It is currently being vetted by various campus constituents. President Frank asked if it could be done by Thanksgiving. Ms. Bokovoy confirmed that timeline.
- HSC Chief Compliance Officer Report. Stuart Freedman, Chief Compliance Officer for the Health Sciences Center provided a report. Mr. Freedman addressed the seven elements that govern compliance programs: policies, person responsible, training and education, open lines of communication, monitoring and auditing, disciplinary action, and follow up. Examples of policies include code of conduct, compliance plan, required training, social media, investigations, etc. You need to have a single focal point, person of contact/facilitator who has unfettered access to leadership. This person is Mr. Freedman for the Hospital. It is Helen Gonzales on Main Campus. Compliance is involved in monitoring. They are also involved in auditing and investigations. Chairman Gallegos asked about illegal conduct; i.e. sexual misconduct, etc. Mr. Freedman stated other entities get involved if it is a violation of law, but the complaint could go through him. They make sure they help facilitate corrective action. Like internal audit, compliance also develops work plans. They ultimately manage the business risk. Compliance is driven by laws and regulations.
- Main Campus Quarterly Compliance Report. Helen Gonzales, Chief Compliance Officer for Main Campus provided an update for her area. She has been working on the area of sexual

misconduct and the President tasked her with convening a group on the issue of minors on campus. This should be accomplished by the first quarter of next year.

Ms. Gonzales prepared and presented a PowerPoint presentation on the issue of sexual misconduct/assault. Most institutions have spent significant time and energy addressing the issue of sexual misconduct/assault. Title IX is administered through a Title IX Coordinator in the Office of Equal Opportunity at UNM. This is a very common practice. There is also the Clery Act with a Clery Coordinator at the police department. This is also common. There is a new climate survey that the White House is recommending, as well as a new campaign. There are currently many national awareness campaigns. We need to identify which ones we want to endorse. At UNM we have a lot of departments that address the issue.

Ms. Gonzales introduced Tomas Aguirre, Dean of Students. She has been working with him a lot on the issue of sexual misconduct/assault over the last few weeks. In addition, Ms. Gonzales stated that Melissa Vargas from the Provost's Office is very involved in the plan for coordinated response. Carla Prando from Safety and Risk Services and Elsa Cole, University Counsel have important roles. There are also committees and task forces such as the Clery committee and Title IX committee. They started with separate goals, but now some work is starting to overlap. The "SMART" team – Sexual Misconduct and Assault Response Team – is a very important team that is a victim-centered, coordinated response. The Presidential Task Force on Sexual Violence aims to identify and implement high-impact practices that improve knowledge, policies, and responses (includes a Lobo Respect program). The goal is to bring it all together. The Provost has tasked Ms. Gonzales, Ms. Vargas, and Mr. Aguirre to do this by November 26, 2014. Regent Hosmer proposed two objectives: 1) the services available to a victim are so assuring that victims increasingly come forward; and, 2) the processes identify ground truth as closely as possible so the University will know if it is effective and is not guessing. Dianne Anderson, Director of University Communication, stated that the easier it is to report, the more numbers will go up. So it may look like there are more cases out there but might just be effective reporting. Regent Hosmer replied that is exactly why we need to get a ground truth. The Chairman added it may start out that way, but over time, if the measures are effective and streamlined it should even out.

- Audit Recommendations Follow-up. Chien-chih Yeh, Internal Audit Manager, reviewed audit recommendation status reports with the Committee. Since the last follow up report, we have added several new recommendations for a total of 72. But 12 items were implemented. The Chairman noted it looks like the Law School is on track with their implementation of recommendation.
- Internal Audit Director's Report. Internal Audit Director Manu Patel provided his Director's report to the Committee. Director Patel stated we are changing hotline providers, effective December 1, 2014. The new provider should have a better system that over 1,000 other institutions are using and it should help us better track the data. There is not much difference in cost. For now, Internal Audit will continue to monitor and administer it. Perhaps down the road, the Compliance Office will take over that task. The Department's current budget is fully-funded.

Advisors' Comments

(comments will be heard during the meeting)

**UNM PARENT ASSOCIATION ACTION PLAN
2014-15**

	Launch (By 8/21/14)	Fall Semester	Spring Semester	Summer Semester	Accomplishment (By 8/15/14)
<i>Volunteer Recruitment</i>	<ul style="list-style-type: none"> Identify Fall committees Using current data pool, send out update request to membership* Call for volunteers (Dorm Move In; Taste of NM; family weekend) 	<ul style="list-style-type: none"> Select volunteer coordinator If short on volunteers, ask parents to identify a friend to participate Board members to select a committee to join Draft a charge for each committee Identify chair for each committee; get their commitment Committees to start meeting and set a meeting schedule Work with committee chairs to identify volunteer assignments 	<ul style="list-style-type: none"> Recruit additional volunteers, as needed Assess results 	<ul style="list-style-type: none"> Revisit, tweak process, identify new committee chair if needed, etc. 	Populated committees (Events, Bylaws, Nominating, and Scholarship)
<i>Member Communication</i>	<ul style="list-style-type: none"> Establish committee with about four members 	<ul style="list-style-type: none"> Identify a communication coordinator Create template for communication Email distribution with listserv Complete website 	<ul style="list-style-type: none"> Incorporate social media Assess and tweak 	<ul style="list-style-type: none"> Complete integration—publication, website, and social media Assess results 	Regular, consistent communication

*Confirm contact information; list events, interest participant survey, and committee openings; ask for updated student status.

Graduate & Professional Student Association (GPSA)

November 2014 Board of Regents Update

SFRB

- Deliberations were held over the weekend of October 25th and 26th
- We had a final deliberation this past Saturday, November 8th
- Our preliminary recommendations to the BLT were submitted today, Friday, November 14th
- We are quite pleased with our recommendations and take our decisions very seriously in the best interest of our constituents

Professional and Academic Workshops

- Workshops ended on Wednesday with 'Understanding and Finding Assistantships'.
- We have seen increased attendance numbers over the semester and hope to improve on these in the Spring

GPSA Grants

- Our grant awardees have been notified and we are pleased to report that we have funded more than \$125,000 in grants this semester
- Close to 130 students were awarded: SRG(57), PDG(27), NMRG(22), GSF(23)

Food for Thought Fundraising Event

- Our first event took place on Thursday, October 30 outside the SUB.
- We had tremendous support and will be doing this again on Wednesday, November 25th

- We look forward to more events in the Spring

Summer Scholarship Fund

- Our Foundation page has been launched and we will be sending donor letters out over the coming weeks
- The main driver of funding will be the Food for Thought events, along with support from the Provost and Enrollment Services offices

Capital Outlay Projects

- We are trying to finalize a suitable quiet space in Zimmerman Library for our major project
- We are collaborating with ASUNM on the Law School lighting project

Legislative Session

- We are working in earnest with Lobos for Legislation to make our trip to Santa Fe over the 60 day session a success
- We have the full support of that group and are very excited to be a part of the University's team

College of Education

- COE GPSA held a successful Pumpkin Carving Party on 10.19.14 at the Duck Pond and COE Night at the SUB Theater with a showing of Girl Rising in an effort to build a sense of community within the various different departments in the College of Education.
- COE GPSA also has an active voice on the GPSA council with 11 votes this semester and strong attendance this year at Council meetings.

Health Sciences Student Council

- Interprofessional Drive Thru Flu clinics: HSC students from Nursing, Pharmacy, Medical Doctorate, Emergency Medical Services Academy and Physician Assistant programs vaccinated over 4,000 community members at 3 separate clinics.
- Raised over \$2,000 for the Healthy and Fit Children's Clinic at Carrie Tingley Hospital by hosting the 2nd Annual Fit For Fun 5k run.
- Hosted a panel discussion entitled *Reaching Our Potential: The Future of Interprofessionalism in Healthcare in NM*. One of our panelists was Regent Quillen, who talked about her experience as a leader of a clinical site.

**UNIVERSITY OF NEW MEXICO
ALUMNI ASSOCIATION
BOARD OF REGENTS REPORT
November 14, 2014**

Vision (Why we exist): *The Alumni Association is a vital partner in the continued excellence of the University of New Mexico through the significant engagement of alumni.*

Mission (What we are striving for): *To serve as a bridge between alumni and the university ensuring the continued success of the university and enriching the lives of alumni.*

Strengthen collaboration with campus constituents to meet the needs of UNM.	<p>On October 8, Lobos for Legislation organized a Retiring Legislator Reception hosted by President and Mrs. Frank's home at the University House. Representatives Kiki Saavedra, Ed Sandoval and Rick Miera were present to accept a gift on behalf of UNM for their many years of support. Over 90 people – including former legislators, UNM regents, deans, vice presidents and alumni were present to thank the legislators for their service. Other legislators being recognized by not present were Representatives Ernest Chavez, Nate Cote, William Gray, Don Bratton, Tom Taylor, and Anna Crook.</p> <p>Lobos for Legislation hosted their annual Legislative Briefing on October 28. President Frank and the Government Relations team (Connie Beimer, Matt Munoz, Vanessa Hawker, Joe Thompson, and Art Hull) addressed the group of more than 50 alumni about UNM's priorities for the 2015 legislative session.</p> <p>Lobos for Legislation organized Home Receptions in Gallup and Farmington. A Home Reception was held in Gallup on November 12 at the home of Executive Director of UNM Gallup, Dr. Christopher Dyer's home. On November 13, President of the UNM Board of Regents, Jack Fortner and his wife, Terri, hosted a Home Reception at their home. Alumni were able to converse with their legislators and express their support for higher education in general and UNM in particular.</p> <p>On December 9, Lobos for Legislation will again host the Legislative Finance and Legislative Education Study Committees at Rio Chama in Santa Fe. The event will follow the higher education hearings held that day in Santa Fe. Regents are invited to attend. Invitations will follow in the mail.</p>
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	<p>College Fair/Recruitment season continues through November. Alumni from chapter areas around the country have been assisting with fall college fairs in their regional areas. Chapter volunteers have given us feedback that this is one of the most rewarding experiences they have been involved in. By the end of the season, 100+ College Fairs will be hosted by area alumni and over 3700 student contact cards will have been collected.</p>
<p>Foster enduring involvement with, pride in and commitment to UNM, its colleges, schools and programs.</p>	<p>On November 1, the Greater Albuquerque Area Alumni Chapter (GAAAC) coordinated a football game watch party at Altitudes in Albuquerque. A lively group of area alumni gathered to watch the Lobos victory over UNLV.</p> <p>The Annual Hanging of the Greens Celebration will be held on Friday, December 5, 6:00 – 8:00 pm at Hodgin Hall. The Alumni Association will help host the reception following the hanging of the wreath at University House. The lighting of the luminarias campus wide is being coordinated by the Mortar Board Honor Society.</p> <p>A Lobo Prowl with the Women’s Volleyball team was held on November 8. Alumni were offered a discounted ticket rate of \$3.00 for the UNM vs. SDSU game. Coach Nelson talked with alumni and friends prior to the game and shared his knowledge about recruitment of players and his strategy for the game.</p>
<p>Engage students in ways that will develop lifetime ties to UNM.</p>	<p>The Alumni Association hosted the Student Transition & Engagement Program (STEP) class for an Etiquette Luncheon on Thursday, October 23rd. The luncheon serves as part of the educational plan for students and alumni volunteers. The Association will host the last class of STEP for the fall semester in December.</p>
<p>Communicate effectively with our diverse group of alumni.</p>	<p>On October 16 GAAAC hosted its first professional development event. The goal of the professional development program is to offer new ideas, tools, resources, and inspiration to mid-career UNM alums and graduate and undergraduate students who want to build or expand upon their careers especially in New Mexico. Speakers included Dr. Robert DelCampo, Associate Dean of Anderson School of Management; Mr. Stacy Saaco, Lecturer I, Department of Finance, International, Technology and Entrepreneurial Studies at Anderson School of Management; Ms. Robin Seydel, Owner of La Montanita Food Co-op’s of New Mexico; and Tim Nisly, Foounder of TEDxABQ and Chief Executive Officer of the Rio Grande Community Development Corproation. Over 50 people were in attendance and they were able to connect to resources in economic</p>

	<p>development, the small business community and alternative business models to further their success.</p> <p>Over 50 international students experienced best practice in networking in October 17th as UNM Alumni Association President Brian Colon presented, “There are no Strangers in the Room when Everyone’s a Lobo!” Most of the students were Graduate or PhD students with a few one-year exchange students. The Career Development Workshop is part of a series the UNM Alumni Association and the Global Education Office offer throughout the year. The next workshop will address cover letters and resumes and will, again, include alumni presenters demonstrating best practices.</p>
Develop and steward resources to ensure a sustained and dynamic association.	<p>The San Diego Chapter held a Dia de los Lobos New Mexican Potluck Dinner on November 1st. The chapter has been actively planning new and different activities for alumni in their area. They hope that this will become a yearly event.</p> <p>On November 16, the Young Alumni Chapter will host a workshop, “Hosting the Perfect Thanksgiving,” through Pottery Barn and Williams-Sonoma.</p>
Encourage community service and leadership among alumni and students.	<p>The Young Alumni Chapter held their annual Halloween Costume Drive for Cuidandos Los Ninos Kids at the Apothecary Lounge at Hotel Parq Central on Friday, October 24th. They collected over 100 costumes for children who are challenged with homeless issues. The organization also assisted Special Olympics of NM at the Special Olympics Bowling Championships which was held on November 1.</p> <p>On October 17, 2014, GAAAC collaborated with the Coral Community Charter School to help brighten the lives of children at UNM’s Carrie Tingly Hospital. The 4th and 5th grade boys (Coral is the only single gender school in the state) painted wooden cars and boats. GAAAC purchased the paint and brushes for the students. The painted toys will be delivered to children at Carrie Tingly Hospital in early December.</p> <p>On Saturday October 25, GAAAC participated in National Make a Difference Day, “A Day of the Tread” at Expo New Mexico, Convention Center. Chapter volunteers assisted Casa Esperanza to register walking, running and biking participants for the event.</p> <p>GAAAC and the Young Alumni Chapter will volunteer on December 10, 2014 for Toys for Tots. The annual event will be held at Hodgin Hall and then, on December 31, 2014, the Greater Albuquerque Area Alumni Chapter will sponsor a meal at the Ronald McDonald House of New Mexico.</p>

Garner greater recognition and visibility for Alumni Association programs and service to the university and community.	The Lobo Living Room program on November 13 was titled, “Cooperation: A Story of Ants, Robots, and Your Own Cells”. The presenter was UNM Assistant Professor, Dr. Melanie Moses, from the Department of Computer Science. The presentation compared information systems from ants to humans to robots and introduced iAnts, robotic devices with iPod heads. The event was held at the Centennial Engineering Auditorium and featured the College of Engineering.
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**Minutes of the Meeting of the
UNM Health Sciences Center Board of Directors
August 1, 2014
Domenici Center for Health Sciences Education Bldg., Room 3010**

UNM Health Sciences Center Board of Directors (the "Board") members present: Suzanne Quillen, Chair, Ann Rhoades, Michael Olguin, Lt. Gen. Brad Hosmer, John "Mel" Eaves, Conrad James
Additional UNM Board of Regents present: Heidi Overton
UNM Health Sciences Center ("HSC") Leaders present: Chancellor Paul Roth and other members of the Health System and Health Sciences Center leadership
Others present: members of the HSC faculty and staff; community members

Chair Suzanne Quillen called the meeting of the Board to order at approximately 9:35 a.m. The Chair established that a quorum of the members of the Board was present.

Approval of Agenda

A motion was made to adopt the published agenda. The motion was seconded with a request to amend the agenda to move the information item "Research Awards and Completed Research Inspections and Accreditations" up on the agenda. The motion passed, as amended, with a vote of 4-0-0. Director James had not arrived to the meeting and did not vote.

Research Awards and Completed Research Inspections and Accreditations

Dr. Larson gave an informational summary on research awards and completed research inspections and accreditations including that this year has been the ninth year in a row that the Health Sciences Center has record research funding and that this year has been one of the most significant increases in recent years. He provided information on audits on research inspections and noted that in any given year we have up to 35 different agencies that either inspect, review, or accredit the institution for the research mission alone (statistics provided). Discussion. *Action Required: request for Dr. Larson to provide data on total and higher impact publications as a result of HSC research.*

Approval of Minutes of Prior Meeting

A motion was made to approve the minutes of the June 6, 2014 meeting of the Board. The motion was seconded. There was no discussion. The motion passed with a vote of 4-0-0.

Comments from Directors

Director Hosmer, as chair of the Governance and Nominating Committee (GNC), informed the Board that the GNC had met earlier today, and initiated a review of the governance of the HSC with changes that were made in 2010. At the next regularly scheduled Board meeting, the GNC will bring a list of recommendations.

Director Rhoades commented on the UNM School of Medicine's (SoM) 50th Anniversary events. Chair Quillen called upon Dr. Holly Buchanan to give a brief description of the SoM exhibit in the lobby of Domenici Center that provides a history of the SoM.

Chancellor's Report

Chancellor Roth's report included an overview of SoM 50th Anniversary events that have occurred and will continue to occur over this year.

Dr. Roth announced that Dr. Lee Brown, Internal Medicine, has been named the chair of the HSC Faculty Council and noted that the HSC Faculty Council is a group of HSC Faculty Senators who represent their individual colleges but approximately three years ago the HSC Faculty Council was created from the UNM Faculty Senate that would permit the HSC Faculty Senators to meet and review relevant issues that would then move forward to the UNM Faculty Senate. Dr. Roth recognized Dr. Tom Long, the outgoing Chair, of the HSC Faculty Council.

Dr. Roth recognized and thanked Ms. Mary Vosevich who is departing UNM to accept a position at the University of Kentucky after 20 years of service.

Dr. Roth then highlighted two collaborations: 1) with the vision of Dean Nancy Ridenour, the UNM College of Nursing has partnered with the San Juan Community College regarding a BS degree in Nursing; and 2) there have been successful discussions with Holy Cross Hospital in Taos and a number of collaborative programs are being developed with that institution.

UNM Health System Update Including a Sandoval Regional Medical Center, Inc. ("SRMC") Update

Mr. Steve McKernan provided an update with statistical information on HS patient days, HS discharges, and HS length of stay. He recognized Dr. Irene Agostini's leadership of the "Getting to Yes" project to

benefit patients in rural New Mexico. Discussion on how length of stay equates to quality. Mr. McKernan continued the update with statistics on HS urgent care visits, ER visits, HS operations, births at UNMH, UNMMG Work RVUs, net patient revenues, HS operating revenues, HS non-operating revenues; SRMC statistics included number of surgeries, ER visits, and Radiology diagnostic visits. The HS finances are stable, at year end going from a \$5 million loss to a \$2.5 million loss. He also noted that a big impact to the organization is the implementation of the ACA under the New Mexico Centennial Care Program, with major problems revolving around the lag of the eligibility approval of patients into Medicaid. Dr. Roth provided information on the national perspective and the difficulty to find an analogy with New Mexico and other states because there are so many variables and dynamics occurring but added that there are lessons to be learned about what seems to be working effectively and what does not. This is being tracked through national organizations and the HSC continues to study the data to discern what will work best here. A discussion was held on the importance of reserves and the differences in markets nationally versus the market environment in New Mexico. Ms. Jamie Silva-Steele, CEO and President of SRMC, provided an update on the Joint Commission survey outcomes and the budget for average daily census. The Joint Commission review occurred at the end of May 2014 and SRMC submitted action plans for direct and indirect findings with the expectation to hear from the Joint Commission soon on full accreditation. Ms. Silva-Steele added that the average budgeted daily census is running at an average of approximately 47 patients on inpatient med-surge and an average of approximately 8 – 10 for behavioral health; an uptrend on inpatient surgeries and emergency room visits are stable. Discussion was held on the exchanges, lags and anticipated losses in revenues (due to issues with Medicaid), poverty levels in New Mexico; Dr. Willman's efforts to seek comprehensive cancer center designation with the National Institutes of Health (NIH) versus a general designation.

Public Comment

Representatives from Dist. 1199 NM National Union of Hospitals and Health Care Employees provided public input regarding negotiations on UNM Hospitals wages and disparities in pay.

ACTION ITEMS

Request to Approve the UNM School of Medicine Graduates

Dean Paul Roth summarized the proposed graduates within the UNM School of Medicine programs and requested that the identified graduates be approved. A motion was made to approve the UNM School of Medicine graduates. The motion was seconded. The motion passed with a vote of 4-0-0 in favor.

Request to Approve the UNM College of Nursing Graduates

Dr. Beth Rodgers summarized the proposed graduates within the UNM College of Nursing and requested approval of the graduates. A motion was made to approve the UNM College of Nursing graduates. The motion was seconded. The motion passed with a vote of 4-0-0 in favor.

Request to Approve the UNM College of Pharmacy Graduate

Dean Lynda Welage summarized the proposed graduate within the UNM College of Pharmacy and requested approval of degree for the graduate. A motion was made to approve the UNM College of Pharmacy graduate. The motion was seconded. The motion passed with a vote of 4-0-0 in favor.

Request for Approval of the UNM Health Sciences Center 2015 Legislative Requests

Dr. Vanessa Hawker introduced Mr. Matt Munoz who accompanied her and then provided an overview of the UNM Health Sciences Center 2015 Legislative Requests (handouts distributed at the meeting). One handout showed projects being proposed to the Board for consideration and a handout of matrices and measures. Dr. Roth then summarized each item, in order of priority. Discussion was held on the UNM School of Medicine I&G request and the GME Residencies. A motion was made to approve the UNM Health Sciences Center 2015 Legislative Requests. The motion was seconded. Further discussion included suggested language for the list of priorities, lobbyists, residency slots, ways to address large issues, etc. The motion was passed with a vote of 4-0-0 in favor.

Request for Approval of the Resolutions Recommending Approval of the UNM Hospitals Contract with Cerner Corporation

Mr. Steve McKernan provided background on the request for approval of the Resolutions recommending approval of the UNM Hospitals contract with the Cerner Corporation. Discussion. A motion was made to approve the Resolutions recommending approval of the UNM Hospitals Contract with Cerner Corporation. The motion was seconded. The motion passed with a vote of 4-0-0.

INFORMATION ITEMS

UNM College of Population Health

Dr. Deborah Helitzer provided an overview of the UNM College of Population Health that included a history of the development, a definition of "population health," degree programs, public health certificates, curriculum, research possibilities, work force needs in the state, interest in collaboration and joint certificates/degrees, interprofessional opportunities, alternate paths for applicants. Discussion

included past interactions with New Mexico State University (NMSU) on possible collaborations with Population Health, political interest, and need to formulate plans for collaboration prior to submitting the HSC Legislative Request on this college; concerns with the request not including collaborations with NMSU in any way as presented; Dr. Roth mentioned his dialogue with President Frank on the President's interactions with President Carruthers and NMSU plans being delayed; that although efforts will move forward with two separate programs, they will be linked programmatically with NMSU focusing on two or three areas of emphasis and UNM would emphasize different areas (*i.e.*, MPH program), level of interest, etc.

Director James joined the meeting.

Discussion continued to include Board concerns with the value proposition for this new college that is not being met by current majors or degrees offered, fiscal responsibility, administrative overhead costs required to sustain a college; Board concerns and reconsideration over earlier approval of this item in the list of 2015 HSC legislative requests; projections on future budget and sources of future funding; decision to keep earlier vote in place; prepare for presentation to HED; budget. *Action Required: Dr. Helitzer to return with value proposition and outcomes including data on the demand and how many students go out of state to pursue these Bachelor degrees.*

Update on Reliability of Electrical Systems at Clinical Facilities

Mr. Steve McKernan introduced the topic providing background and then he introduced Ms. Rhonda Reynolds, Electrical Engineer, who presented information to the Board on the reliability of the electrical systems at the clinical facilities including sources of power, back-up generators system, procedures and training for managing power outages, and challenges with old buildings. Discussion on accreditation mandates on electrical capacity/systems, New Mexico Department of Health requirements, recent loss of power in operating room, fuel capacity and levels, frequency of testing on back-up generators, how a new hospital will be up to current standards, training in the hospital, etc.

HSC Financial Update

Ms. Ava Lovell provided a summary of the HSC financial metrics through May 31; favorable report; year-end results will be presented at the next meeting. Discussion.

UNM School of Medicine Admissions

Dr. Paul Roth introduced the topic and provided background regarding the UNM School of Medicine (SoM) admission practices. He then introduced Dr. Marlene Ballejos, Assistant Dean for SoM Admissions, who provided a presentation that included membership information on the Admissions

Committee, and the admissions process, *i.e.*, prescreening for New Mexico residency, minimum MCAT scores, required prerequisite courses, special consideration given to Native Americans, etc. Discussion was held on how applicants are screened, racial considerations, striving toward a diverse student body, number of SoM students that actually complete the program, scoring of applicants, training requirements for Admissions Committee members, etc. Dr. Ballejos continued the presentation with information on the Admissions process workflow, holistic review, contributing factors, alternate list and prioritization to break ties, etc. Discussion was held on special priority to create critical mass for Native Americans. Dr. Ballejos' presentation continued with information on interview application trends, percent of applicants by region, trend in minority applicants, statistics on entering classes, MCAT averages, matriculated students, residency status of new entrants, statistics in medically-underserved communities by physician characteristics; notification process to applicants and follow-up meetings with applicants who are denied on ways they can improve their application packet if they wish to reapply; the broad scope taken to holistically review each applicant; policy revisions made to break ties. Discussion on why Native American applicants are given priority over Hispanic applicants (belonging to a sovereign nation is considered separate from the issue of race); residency in New Mexico and retaining SoM graduating physicians in the state. Dr. Ballejos' presentation continued with information on applicants who matriculate to all programs, degrees and certificates awarded, and first employment data. Discussion was held on continued evaluation and checks on our admissions process; possibility of holding active recruitment of applicants; challenges in having to compete with institutions who, unlike the SoM, are wealthy enough to give top applicants a full scholarship; BA/MD Program successes, etc.

Review of Action Required Log

The current Action Required log was reviewed. Discussion included providing information to the Board so that they will have the ability to respond to public data and correcting wrong data that is prevalent throughout the community and state; "Hospital Compare" web site to compare local hospitals.

A motion was made to adjourn the open meeting and to convene in executive session. The motion was seconded. The motion passed with a vote of 5-0-0 in favor.

Following the executive session, a motion was made for the Board to reconvene in open session and to certify that only those matters described in agenda item X were discussed in executive session. The motion was seconded. The motion passed with a vote of 4-0-0 in favor. Director Rhoades was not in the room and did not vote.

A motion was made to adjourn the meeting. The motion was seconded. No discussion; with a vote of 4-0-0 in favor. Motion passed.

Minutes were prepared by Patrice Martin and finalized on August 8, 2014.

Approval of Minutes:

Regent Suzanne Quillen, Chair

Date

Attachment:

"Resolutions Recommending Approval for the Authorization of the Purchase of Cerner Corporation – Support and Maintenance Agreement for Software Components of the Electronic Medical Record"



FINANCE, AUDIT, AND COMPLIANCE COMMITTEE

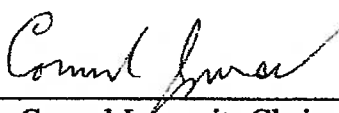
Resolutions Recommending Approval of Purchase of Cerner Corporation – Support and Maintenance Agreement for Software Components of the Electronic Medical Record

WHEREAS, the UNM Hospital (the “UNM Hospital”) desires to purchase from Cerner Corporation a support and maintenance agreement for software components of the Electronic Medical Record. The Electronic Medical Record involves standardized physician documentation relative to UNM Hospital’s electronic health record known as PowerChart and consistent with the New Mexico Procurement Code and the University of New Mexico Purchasing Policies, and pursuant to Regents Policy 7.12, such retention must be approved by governance of the University of New Mexico (the “University”), including, without limitation, the Health Sciences Center Board of Directors (the “HSC BoD”), and the Board of Regents. The purchase of the Cerner Corporation Support and Maintenance Agreement for software components of the Electronic Medical Record is described more fully in Exhibit A attached to these Resolutions and incorporated herein as though fully set forth in these Resolutions;

WHEREAS, this Finance, Audit, and Compliance Committee (the “Committee”) of the HSC BoD, having considered the Exhibit A materials and the presentations made relative to the proposed purchase of the Cerner Corporation Support and Maintenance Agreement for software components of the Electronic Medical Record and having been satisfied that the process for the purchase from Cerner Corporation, meets the requirements of the New Mexico Procurement Code, finds and concludes that the purchase from Cerner Corporation Support and Maintenance Agreement for software components of the Electronic Medical Record identified above and as described in Exhibit A should be approved.

NOW THEREFORE, BE IT RESOLVED that the Committee hereby recommends to the HSC BoD that the UNM Hospital’s proposed purchase of Cerner Corporation Support and Maintenance Agreement for software components of the Electronic Medical Record as identified above and as described in Exhibit A should be approved.

ADOPTED BY A VOTE OF THE MEMBERS OF THE FINANCE, AUDIT AND COMPLIANCE COMMITTEE OF THE HEALTH SCIENCES CENTER BOARD OF DIRECTORS AT A MEETING HELD ON August 1, 2014.

By: 
Conrad James, its Chair



**UNM Hospital Board of Trustees
Recommendation to HSC Board of Directors Finance and Audit Committee
August 2014**

Approval

(1) Cerner Corporation

Mailing Address:

2800 Rockcreek Parkway
Kansas City, MO 64117

Officer Information:

Executive Vice President and CFO:
Marc G Naughton

Source of Funds: UNM Hospital Operating Funds

Description

Request for seven year agreement with Cerner Corporation for support and maintenance of software components of the Electronic Medical Record. This maintenance agreement is required to allow us to continue to upgrade and enhance the Electronic Medical Record (EMR) functionality and to continue to improve our Information Technology support for patient care, patient safety, patient satisfaction and process improvement. The modules to be supported by Cerner consist of all of the contracted Cerner proprietary clinical software solutions for which UNM HSC already retains the license to use, including Patient EMR Portal, Patient Scheduling, Registration, Order Management, Pharmacy Management, electronic Prescription writing and transmittal, Radiology Management, Emergency Department (ED) Tracking and Triage, ED Coding, ED Physician Documentation, Nursing Documentation of Care, Surgery Case Documentation and management, Surgery charge capture, Anesthesia medication tracking and documentation, Core Measure reporting, Lighthouse Quality management, HealthSentry Data Services, Cerner Device Manager Driver Library, Interface capability to both import and export information to and from the EMR, Global Charge entry, Intelligent Medical Objects for Acute Care and Ambulatory problems and diagnosis documentation, Lexicomp pediatric order sentences and dose range checking, ExitCare for ED and I/P, Krames, and AORN Syntegrity Content. Cerner Millennium software and any Cerner-developed content are proprietary and solely owned by Cerner Corporation. Licensed Software maintenance fees for Cerner Millennium software cover many aspects of support which include enhancements, upgrades and access to their support center services.

Previous Contract: This agreement reflects a renewal of the previous master maintenance agreement with Cerner Corporation that is expiring.

Previous Term: Eight years.

Previous Contract Amount: \$2,992,720 annually.

Process: Sole Source Procurement

Notice was posted to the New Mexico Sunshine Portal for 30 days and was uncontested. There are no other vendors that can support the Cerner based software systems currently in use.

Total Cost:

Maintenance beginning Year 1	\$3,001,046
Additional Maintenance for Software to be implemented during Term	\$245,211
Escalator Years 5-7 to be no greater than 3%	\$301,016
Total cost over 7 year term	\$22,829,477

**Minutes of the Meeting of the
UNM Health Sciences Center Board of Directors
September 5, 2014
The Pete McDavid Lounge, University Arena
1414 University Blvd., SE
Albuquerque, New Mexico**

UNM Health Sciences Center Board of Directors (the "Board") members present: Suzanne Quillen, Chair, Ann Rhoades, Michael Olguin, Lt. Gen. Brad Hosmer, John "Mel" Eaves, Conrad James
Additional UNM Board of Regents present: Jack Fortner, Heidi Overton, Jamie Koch
UNM Leadership: Bob Frank
UNM Health Sciences Center ("HSC") Leaders present: Chancellor Paul Roth and other members of the Health System and Health Sciences Center leadership
Others present: members of the HSC faculty and staff; community members

Chair Suzanne Quillen called the meeting of the Board to order at approximately 8:15 a.m. The Chair established that a quorum of the members of the Board was present.

Approval of Agenda

A motion was made to adopt the published agenda. The motion was seconded. The motion passed with a vote of 3-0-0. Directors James and Hosmer had not yet arrived to the meeting and did not vote.

Public Comment

There was no public comment.

ACTION ITEMS

Request to Approve the Appointment of Mr. Donald Leonard to the Sandoval Regional Medical Center, Inc. Board of Directors

Ms. Jamie Silva-Steele provided background on Mr. Donald Leonard. Mr. Leonard then spoke briefly on his interest in Sandoval County, health care, and his long history with the county and the ultimate construction of the hospital in Sandoval County. A motion was made to approve the appointment of

Mr. Donald Leonard to the Sandoval County Regional Medical Center, Inc. Board of Directors. The motion was seconded. The motion passed with a vote of 3-0-0 in favor.

INFORMATION ITEMS

HSC Financial Update

Ms. Ava Lovell provided a summary of the UNM Health Sciences Center and Health System finances. The following discussion included the mill levy, etc.

Director Hosmer joined the meeting.

Director James joined the meeting.

A motion was made to adjourn the open meeting and to convene in executive session. The motion was seconded. The motion passed with a vote of 5-0-0 in favor.

Following the executive session, a motion was made for the Board to reconvene in open session and to certify that only those matters described in agenda item VI were discussed in executive session. The motion was seconded. The motion passed with a vote of 4-0-0 in favor. Director Eaves was not in the room and did not vote.

A motion was made to adjourn the meeting. The motion was seconded. No discussion; with a vote of 4-0-0 in favor. Motion passed.

Minutes were prepared by Patrice Martin and finalized on September 10, 2014.

Approval of Minutes:

Regent Suzanne Quillen, Chair

Date

**Minutes of the Meeting of the
UNM Health Sciences Center Board of Directors**

October 9, 2014

Domenici Center for Health Sciences Education Bldg., Room 3010

UNM Health Sciences Center Board of Directors (the "Board") members present: Lt. Gen. Brad Hosmer, Vice Chair, John "Mel" Eaves, and Conrad James

UNM President Bob Frank

UNM Health Sciences Center ("HSC") Leaders present: Chancellor Paul Roth and other members of the Health System and Health Sciences Center leadership

Others present: members of the HSC faculty and staff

Vice Chair Hosmer called the meeting of the Board to order at approximately 8:45 a.m. The Chair established that a quorum of the members of the Board was present. Directors Eaves and James attended by phone.

Public Comment

There was no public comment.

ACTION ITEMS

Resolution Requesting Approval of the Renewal of the Master Services Agreement for Nurse Advice New Mexico

Mr. Paul Herzog provided background on the proposed renewal of the contract for the Nurse Advice New Mexico and background on the program. Discussion. A motion was made to approve Renewal of the Master Services Agreement for Nurse Advice New Mexico. The motion was seconded. The motion passed with a vote of 3-0-0 in favor.

Resolution Requesting Approval of the Proposed Sole Source of Audio Visual Equipment for Telemedicine and Telehealth Services – Net Medical Xpress

Vice Chair Hosmer tabled this item and noted that it will be evaluated at the November 7, 2014 HSC Board of Directors' meeting.

A motion was made to adjourn the meeting. The motion was seconded. No discussion; with a vote of 3-0-0 in favor. Motion passed.

Minutes were prepared by Patrice Martin and finalized on October 9, 2014.

Approval of Minutes:

Regent Suzanne Quillen, Chair

Date

Attachment:

"Resolutions Recommending Approval of the Renewal of the Master Services Agreement for Nurse Advice New Mexico."



HEALTH SCIENCES CENTER BOARD OF DIRECTORS

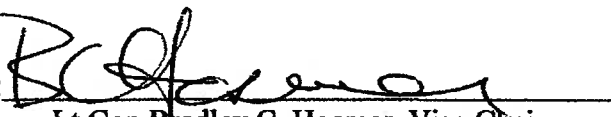
Resolutions Recommending Approval of a Renewal of an Existing Master Services Agreement with Nurse Advice NM

WHEREAS, the UNM Health Sciences Center (the "UNMHSC") desires to renew an existing Master Services Agreement with CSC CAP-NM ("CSC CAP") under which CSC CAP, through its Nurse Advice service, it will provide UNMHSC with telephone nurse triage and referral services for UNM Hospital patients, and pursuant to Regents Policies 3.5 and 7.12, respectively, renewing the existing Master Services Agreement with CSC CAP for those services must be approved by governance of the University of New Mexico (the "University"), including, without limitation, this Health Sciences Center Board of Directors (the "Board"), and the Board of Regents. The UNMHSC's proposed renewal of the existing Master Services Agreement with CSC CAP is described more fully in Exhibit A attached to these Resolutions and incorporated herein as though fully set forth fully in these Resolutions;

WHEREAS, this Board, having considered the Exhibit A materials and the presentations made relative to the proposed renewal of the existing Master Services Agreement with CSC CAP for the purchase of telephone nurse triage and referral services for UNM Hospitals patients through CSC CAP's Nurse Advice service and having been satisfied that the process for renewing the existing Master Services Agreement with CSC CAP meets the requirements of the New Mexico Procurement Code, finds and concludes that the renewal of the existing Master Services Agreement with CSC CAP for the provision of services through its Nurse Advice service as described in Exhibit A should be approved.

NOW THEREFORE, BE IT RESOLVED that the Board hereby recommends to the Board of Regents that the UNMHSC's proposed renewal of the existing Master Services Agreement with CSC CAP for the provision of services through its Nurse Advice service as identified above and as described in Exhibit A should be approved.

ADOPTED BY A VOTE OF THE MEMBERS OF THE HEALTH SCIENCES CENTER BOARD OF DIRECTORS AT A MEETING HELD ON OCTOBER 9, 2014.

By: 
Lt Gen Bradley C. Hosmer, Vice Chair

Exh-A



**UNM Hospital Board of Trustees
Recommendation to HSC Board of Directors Finance and Audit Committee
September 2014**

Approval

(1) Nurse Advice New Mexico

Mailing Address:

4206 Louisiana Blvd NE
Albuquerque, NM 87109

Officers Information:

President: David Antle
Vice President: Michael Marchi

Source of Funds: UNM Hospital Operational Funds

Description

Request to renew the contract with CSC CAP-NM, a nonprofit, tax-exempt organization, formed to improve the health of New Mexico residents. UNMH has contracted with CSC CAP in order to provide telephone nurse triage and referral services by "NurseAdvice" New Mexico for UNM Hospital patients.

Previous Contract: This agreement reflects a renewal of the previous master services agreement with Nurse Advice NM that is expiring.

Previous Term: Four years

Previous Contract Amount: \$299,784 annually

Process: RFP# P297-14

Total Cost:

Monthly/Annual Cost for October 1, 2014 - September 30, 2017			
Description	Monthly	UNM Ext Price	Total Cost
Telephone Nurse Triage Services	\$ 25,000.00	\$ 300,000.00	\$ 900,000.00
Monthly Cost for October 1, 2017 - September 30, 2020 - 3% Increase			
Description	UNM Ext Price	UNM Ext Price	Total Cost
Telephone Nurse Triage Services	\$ 26,250.00	\$ 315,000.00	\$ 945,000.00
Monthly Cost for October 1, 2020 - September 30, 2022 - 3% Increase			
Description	UNM Ext Price	UNM Ext Price	Total Cost
Telephone Nurse Triage Services	\$ 27,562.50	\$ 330,750.00	\$ 661,500.00
		Grand Total	\$ 2,506,500.00

Agenda Item	Subject/Discussion	Action/Responsible Person
Voting Members Present:	Michael Olguin, William Lang, Nick Estes, Christine Glidden, Raymond Loretto,	
Ex-Officio Members Present:	Stephen McKernan, Paul Herzog, Paul Roth, Michael Richards, David Pitcher, Donna Sigl	
County Officials Present:	None	
I. Call to Order	A quorum being established, Michael Olguin, Chair, called the meeting to order at 9:13 a.m.	
II. Adoption of Agenda	The Chair, Mr. Michael Olguin, requested a motion to adopt the agenda, as submitted.	Mr. William Lang made a motion to adopt the agenda. Mr. Nick Estes seconded the motion. There being no objections, the motion carried.
III. Public Input	None	
IV. Announcements	Mr. Stephen McKernan announced the groundbreaking of the North 4 th Street clinic, scheduled for September 2 nd at 1:00PM. Mr. Stephen McKernan acknowledged Dr. Edward Rose for his service and congratulated him on his retirement. Mr. Stephen McKernan acknowledged the recent recognition the hospital received, by Health Equality Institute, for services provided.	
V. Approval of Minutes	UNM Hospitals Board of Trustees meeting minutes for July 25, 2014.	Mr. Nick Estes made a motion to approve the minutes of the July 25, 2014, Board meeting. Mr. William Lang seconded the

Agenda Item	Subject/Discussion	Action/Responsible Person
		motion. There being no objections, the motion carried.
VI. Consent Agenda	No Consent Items to be approved.	
VII. Board Initiatives	<p>Ms. Erin Doles presented the UNM Hospitals Quality and Safety Performance Improvement Plan. The Chair, Mr. Michael Olguin, requested a motion to approve the Quality and Safety Performance Improvement Plan.</p> <p>Mr. Barry Bader, consultant to boards on best practices in governance, presented an overview of current best practices</p>	Mr. William Lang made a motion to approve. Mr. Nick Estes seconded the motion. There being no objections, the motion passed unanimously.
VIII. Administrative Reports	<p>CEO Report – The CEO report is in the packet.</p> <p>CMO Report – The CMO report is in the packet. Dr. Pitcher highlighted two recent key recruitments to fill the positions of Chief of Pediatric Gastroenterology and the Chief of Pediatric Cardiology.</p>	<p>Mr. Stephen McKernan</p> <p>Dr. David Pitcher</p>
IX. Updates	Financial Report Card – The financial dashboard is in the packet.	
X. Committee Reports	<p>Performance Oversight & Community Engagement Committee The Performance Oversight & Community Engagement Committee met on July 18, 2014.</p> <p>Finance and Audit Committee The Finance and Audit Committee report is in the Board book.</p>	Mr. William Lang
XI. Other Business	None	
XII. Closed Session	At 11:07AM, the Chair, Mr. Michael Olguin, requested a motion to close the open session of the meeting to the public.	Mr. William Lang made a motion to move to closed session. Mr. Nick Estes seconded the motion. The motion passed

Agenda Item	Subject/Discussion	Action/Responsible Person
		unanimously.
XIII. Certification	After discussion and determination where appropriate, of limited personnel matters per Section 10-15-1.H (2); and discussion and determination, where appropriate of matters subject to the attorney-client privilege regarding pending or threatened litigation in which UNMH is or may become a participant, pursuant to Section 10-15-1.H (7); and discussion of matters involving strategic and long-range business plans or trade secrets of UNMH pursuant to Section 10-15-1.H (9), NMSA, the Board certified that no other items were discussed, nor were actions taken.	
XIV. Vote to Re-Open meeting	<p>At 12:30PM, the Chair, Mr. Michael Olguin, requested a motion to be made to return the meeting to open session.</p> <p>The Chair, Mr. Michael Olguin, requested a motion to be made that the Board accept the minutes of the meeting of those committees that were presented in Closed Session to acknowledge for the record that those minutes were, in fact, presented to, reviewed, and accepted by the Board and for the Board to accept and approve the recommendations of those Committees as set forth in the minutes of those committees meetings.</p>	<p>Mr. William Lang made a motion to return to open session. Dr. Raymond Loretto seconded the motion. The motion passed unanimously.</p> <p>Mr. Nick Estes made a motion to accept the minutes presented by the committees. Ms. Christine Glidden seconded the motion. The motion passed unanimously.</p>
XV. Adjournment	The next scheduled Board meeting will be September 26, 2014 @ 9:00 am. There being no further business, Mr. Michael Olguin, adjourned the meeting at 12:31PM.	

Michelle Coons, Secretary
UNM Hospital Board of Trustees

SRMC Board of Directors Meeting Minutes

June 25, 2014

8:00 a.m. - 11:00 a.m.

UNM Sandoval Regional Medical Center, 4th Floor Board Room

Teleconference 1-877-820-7831 Passcode 565350#

Rio Rancho, New Mexico

1.0	CALL TO ORDER AND CONFIRMATION OF QUORUM	
	Dr. Paul Roth called the meeting to order and a quorum was confirmed at 8:17 a.m.	Accept as information
2.0	VOTE TO APPROVE THE AGENDA	
	Dr. Paul Roth presented the Agenda to the Board for review.	Upon a motion and a second, the Board voted to approve the agenda. Motion passed unanimously.
3.0	VOTE TO APPROVE THE MINUTES OF MAY 28, 2014 MEETING	
	Dr. Paul Roth presented the Minutes to the Board for approval.	Upon a motion and a second, the Board voted to approve the minutes. Motion passed unanimously.
4.0	ANNOUNCEMENTS FROM DIRECTORS	
4.1	Ms. Silva-Steele announced that SRMC will be hosting a Community Provider Meet and Greet tomorrow, June 26 th .	Accept as information
4.2	Mr. RainBird announced that a Native American Veterans Townhall is planned for June 19, 2014 at the Indian Cultural Pueblo Center and asked for Health System representation.	Mr. McKernan and Ms. Silva-Steele assured Mr. RainBird that the Health System will send representation.
5.0	PUBLIC COMMENT	
	None	
6.0	OLD BUSINESS	
	<ul style="list-style-type: none"> None to report 	
7.0	REPORTS FROM SRMC COMMITTEES	
7.1	<i>Finance/Audit Committee</i> Ms. Darlene Fernandez gave a verbal update on the June 19, 2014 Finance/Audit Committee meeting and presented the minutes of May 15, 2014 for acceptance by the Board.	Upon a motion and a second, the Board voted to accept the minutes. Motion passed unanimously.
7.2	Ms. Darlene Fernandez stated that the cost certification and final endorsement of mortgage bond loan was completed on June 18 th .	Accept as information
8.0	FINANCIAL REPORT	
8.1	Ms. Darlene Fernandez reviewed the SRMC financials through May 31, 2014. Please refer to the June 25, 2014 financial presentation for detailed information.	Accept as information

9.0	CEO REPORT	
9.1	Ms. Jamie Silva-Steele stated that in the month of May, the average daily census (ADC) remained flat at an average of 37.54. The Memorial Day holiday impacted outpatient business lines. Ms. Silva Steele noted that inpatient units trended up in the past part of the month with a high of 50 on June 2nd and added that we are still experiencing swings in census.	Accept as information
9.2	Surgical cases are up from prior month, with Outpatient Surgeries exceeding targets mid-month. Emergency Room visits are also up from prior month, as are clinic visits. Radiology continues to exceed targets but slight decrease from last month.	
9.3	FTE utilization remains flat while continuing to recruit and fill open positions. Vacancy rate for May is 13% and stable, with Turnover at 1.7% for the month.	
9.4	Ms. Silva Steele mentioned that we have had a couple of management changes in the last month and are in the process of posting and recruiting for replacements.	
9.5	Patient Satisfaction scores are improved from prior quarter.	Ms. Silva-Steele will provide a quarterly report.
9.6	Action planning on our Joint Commission findings continue.	
9.7	Ms. Silva-Steele requested nominations for the two additional Community Board members and asked that Board members submit names directly to her. The goal is to have these positions filled by August.	Accept as information
9.8	<u>Board Comments/Questions</u> <ul style="list-style-type: none"> None to report 	
10.0	CMO REPORT	
10.1	Dr. Ogburn provided a verbal report on recent, new, and expanded services at SRMC. <ul style="list-style-type: none"> Mary Jacintha, Orthopaedics Manager has been helping with data collection and dashboard creation in terms of service level, productivity compared to budget, etc. which will be a standing report every month. Continued task force meetings on signature services that are being developed. UNM Program is now seeing Bariatric clinic patients at SRMC. 60% of Total Joint Replacement cases are being performed at SRMC and working on bringing 100% of cases here. Working on building a robust pre-op clinic. Annual Medical Staff meeting scheduled for July. Meeting with PMS to further the relationship w/SRMC. Steady growth in ENT. Family Medicine provider starting in August. General ENT will shift practice here in August. 	Accept as information

	<ul style="list-style-type: none"> Working hard on increasing educational opportunities. In July a total of 7 residencies will be rotating here. There is an Affiliation agreement in place that covers all UNM Colleges. Initiative within the HS to start a call transfer center. This is called Teletracking. 							
11.0	SRMC BOARD OF DIRECTORS INITIATIVES							
11.1	<p><u>Recommendation for Approval of Board of Directors Vice Chair</u> Dr. Roth made a recommendation for Approval of Board of Directors Vice Chair, Mr. Steve McKernan.</p>	Upon a motion and a second, the Board voted to approve Mr. McKernan as Vice Chair. Motion passed unanimously.						
11.2	<p><u>Resolution for Permanent Appointment of President and CEO</u> Ms. Correen Bales reviewed the nominating and interviewing process for appointing the President and Chief Executive Officer (CEO).</p>	Upon a motion and a second, the Board voted to accept the appointment of Ms. Jamie Silva-Steele as President and CEO per the resolution presented today. Motion passed unanimously.						
12.0	CLOSED SESSION							
12.1	Motion was made to close the Open Session and convene in Closed Session; the motion was seconded and passed unanimously.							
12.2	Dr. Roth presented the Closed Session Agenda to the Board for review	Upon a motion and a second, the Board voted to approve the agenda. Motion passed unanimously.						
13.0	RECONVENE IN OPEN SESSION							
13.1	Certification that those matters discussed in Closed Session and issuance of final action of such items including:							
13.2	Motion to ratify actions taken in closed session to include but not limited to Medical Staff Privileges and Committee meeting minutes as presented.							
13.3	<p><u>New Applicants</u></p> <table border="0"> <tr> <td>Calder, Christopher S., MD</td> <td>Medicine/Neurology</td> <td>UNM</td> </tr> <tr> <td>Hanosh, Christopher R., MD</td> <td>Surgery/Orthopedic Surgery</td> <td>UNM</td> </tr> </table>	Calder, Christopher S., MD	Medicine/Neurology	UNM	Hanosh, Christopher R., MD	Surgery/Orthopedic Surgery	UNM	Upon a motion and a second, the Board voted to approve those providers requesting Medical Staff Privileges and Committee meeting minutes. Motion passed unanimously.
Calder, Christopher S., MD	Medicine/Neurology	UNM						
Hanosh, Christopher R., MD	Surgery/Orthopedic Surgery	UNM						

13.4	<u>Reappointments</u>			Upon a motion and a second, the Board voted to approve reappointments for Adams-Zhang. Motion passed unanimously.
	Adams, Kenneth DO	Surgery/Ophthalmology	UNM	
	Brennan, Mary E., MD	Medicine/Family Medicine	UNM	
	Charles, Matthew John, DO	Hospital Based/Anesthesiology	UNM	
	Crawford, Mark K., MD	Surgery/Orthopedic Surgery	ABQ HP	
	Dork, Leslie A., CNP	Medicine/Nephrology	RMA	
	Feddersen, Richard M., MD	Hospital Based/Pathology	UNM	
	Grigg-Damberger, Madeleine M., MD	Medicine/Sleep Medicine	UNM	
	Hai, Hamid A., MD	Medicine/Cardiology	UNM	
	Harkins, Michelle S., MD	Medicine/Pulmonary	UNM	
	Hensley, Paula L., MD	Medicine/Psychiatry	UNM	
	Holmes, Richard B., MD	Surgery/ General Surgery	ABQ HP	
	Huisa Garate, Branko N., MD	Medicine/Neurology	UNM	
	Iwamoto, Gary K., MD	Medicine/Pulmonary	UNM	
	Jeppson, Peter C., MD	Surgery/Gynecology	UNM	
	Kambe, Joseph C., MD	Surgery/Gen Surgery/Critical Care	UNM	
	Lorenzo, Gamaliel MD	Hospital Based/Radiology	UNM	
	Miller, Miryam E., MD	Hospital Based/Emergency Medicine	UNM	
	Nagaraju, Sivakumar MD	Medicine/Pulmonary/Critical Care	UNM	
	Ogburn, Joseph A., MD	Surgery/Gynecology	UNM	
	Padin-Rosado, Jose A., MD	Medicine/Neurology	UNM	
	Pickett, Bradley P., MD	Surgery/Otolaryngology	UNM	
	Saeed, Ali I., MD	Medicine/Pulmonary	UNM	
	Samedi, Von G., MD	Hospital Based/Pathology	UNM	
	Sanchez, Marianne D., CNP	Medicine/Pulmonary	UNM	
	Sood, Akshay MD	Medicine/Pulmonary	UNM	
	Spigel, James H., MD	Hospital Based/Pathology	UNM	
	Upham, Bryan Douglas, MD	Hospital Based/Emergency Medicine	UNM	
	Zamora, Eleana M. MD	Medicine-ID/Critical Care	UNM	

	Zhang, Qian-Zhang MD	Hospital Based/Pathology	UNM	Price, Christina I
13.5	Expansions Grigg-Damberger, Madeleine M., MD Medicine/Sleep Medicine UNM 1. Sleep study interpretation, including via telemedicine Hai, Hamis A., MD Medicine/Cardiology UNM 1. TEE Harkins, Michelle S., MD Medicine/Pulmonary UNM 1. Ventilator Management Complex 2. Insertion and Management of Pulmonary Artery Catheters Nagaraju, Sivakumar, MD Medicine/Pulmonary & Critical Care UNM 1. Internal Medicine Core Saeed, Ali I., MD Medicine/Pulmonary UNM 1. EKG 2. Exercise Testing Sood, Akshay MD Medicine/Pulmonary UNM 1. Limited Ultra Sound Guided Procedures Zamora, Eleana M., MD Medicine/ID & Critical Care UNM 1. EKG Interpretation 2. Limited Ultrasound Guided Privileges			Upon a motion and a second, the Board voted to approve expansions for Grigg-Damberger thru Zamora. Motion passed unanimously.
13.6	Resignations & Application Withdraws McGlasson, Nolan J., PAC Medicine/Cardiology CCC Mock, Cyndia CRNA Hospital Based/Anesthesiology UNMH Wiggins, Kathryn T., Ph D Medicine/Psychology Southwest Cares			Information Only
	Motion was made to approve general ratification of items in closed session, second; unanimously approved. Upon a motion and a second, the Board voted to cancel the July Board meeting due to Year End Close. Motion passed unanimously.			
14.0	ADJOURNMENT/NEXT MEETING			
	Motion was made to adjourn the meeting, second; unanimously approved. Meeting adjourned at 10:43 a.m. The next meeting will be held on August 27, 2014 at 8:00-11:00am at UNM SRMC, Board Room or Teleconference at 1-877-820-7831 PC 565350#			

MEMBERS/GUESTS IN ATTENDANCE		
Board Members Present: Dr. Paul Roth Mr. Steve McKernan (phone) Mr. Jerry Geist Dr. Michael Richards Mr. Manu RainBird	Staff Members Present: Ms. Jamie Silva-Steele Dr. Tony Ogburn Ms. Darlene Fernandez Ms. Pam Demarest Ms. Correen Bales	Guests Present: Ms. Rosalyn Nguyen Mr. Sterling Fluharty Ms. Melissa Romine
MINUTES RECORDED BY: Ms. Geraldine Vallejos		

SRMC Board of Directors Meeting Minutes

August 27, 2014

8:00 a.m. - 11:00 a.m.

UNM Sandoval Regional Medical Center, 4th Floor Board Room

Teleconference 1-877-820-7831 Passcode 565350#

Rio Rancho, New Mexico

1.0	CALL TO ORDER AND CONFIRMATION OF QUORUM																			
	Dr. Paul Roth called the meeting to order and a quorum was confirmed at 8:02 a.m.	Accept as information																		
2.0	VOTE TO APPROVE THE AGENDA																			
	Dr. Paul Roth presented the Agenda to the Board for review. Mr. McKernan asked for a modification to the agenda to begin the meeting in Closed Session for purposes of discussing a personnel matter.	Upon a motion and a second, the Board voted to approve the agenda with the modification. Motion passed unanimously.																		
3.0	VOTE TO APPROVE THE MINUTES OF JUNE 25, JULY 10 AND AUGUST 5, 2014 MEETINGS																			
	Dr. Paul Roth presented the Minutes to the Board for approval.	Upon a motion and a second, the Board voted to approve the minutes. Motion passed unanimously.																		
4.0	ANNOUNCEMENTS FROM DIRECTORS/LEADERSHIP																			
4.1	None to report.	Accept as information																		
5.0	PUBLIC COMMENT																			
	None																			
6.0	OLD BUSINESS																			
	• None to report																			
7.0	CLOSED SESSION																			
7.1	Motion was made to close the Open Session and convene in Closed Session; the motion was seconded and passed unanimously.	Accept as information																		
8.0	RECONVENE IN OPEN SESSION																			
8.1	Certification that those matters discussed in Closed Session and issuance of final action of such items including:																			
8.2	Motion to ratify actions taken in closed session to include but not limited to Medical Staff Privileges and Committee meeting minutes as presented.																			
8.3	<u>New Applicants</u> <table border="0"> <tr> <td>Afek, Paul MD</td> <td>Medicine/Family Medicine</td> <td>UNM</td> </tr> <tr> <td>Argyropoulos, Christos MD</td> <td>Medicine/Nephrology</td> <td>UNM</td> </tr> <tr> <td>Beduya, Dino MD</td> <td>Medicine/Gastroenterology</td> <td>UNM</td> </tr> <tr> <td>Bogenschutz, Michael P., MD</td> <td>Medicine/Psychiatry</td> <td>UNM</td> </tr> <tr> <td>Carlston, Cory MD</td> <td>Surgery/Orthopedics</td> <td>UNM</td> </tr> <tr> <td>Curtis, Andrew B., MD</td> <td>Surgery/Anesthesiology</td> <td>UNM</td> </tr> </table>	Afek, Paul MD	Medicine/Family Medicine	UNM	Argyropoulos, Christos MD	Medicine/Nephrology	UNM	Beduya, Dino MD	Medicine/Gastroenterology	UNM	Bogenschutz, Michael P., MD	Medicine/Psychiatry	UNM	Carlston, Cory MD	Surgery/Orthopedics	UNM	Curtis, Andrew B., MD	Surgery/Anesthesiology	UNM	Upon a motion and a second, the Board voted to approve those providers requesting Medical Staff Privileges and Committee meeting minutes. Motion passed unanimously.
Afek, Paul MD	Medicine/Family Medicine	UNM																		
Argyropoulos, Christos MD	Medicine/Nephrology	UNM																		
Beduya, Dino MD	Medicine/Gastroenterology	UNM																		
Bogenschutz, Michael P., MD	Medicine/Psychiatry	UNM																		
Carlston, Cory MD	Surgery/Orthopedics	UNM																		
Curtis, Andrew B., MD	Surgery/Anesthesiology	UNM																		

	Cichowski, Sara B., MD	Surgery/Gynecology	UNM	
	Foster, Bellelizabeth MD	Medicine/Psychiatry	UNM	
	Gutjahr, Christopher J., MD	Hospital Based/Radiology	UNM	
	Holman, George A., MD	Surgery/Gastroenterology	SWGA	
	Huerta, Sergio A. II, MD	Medicine/Internal Medicine	UNM	
	Huyler, Frank D. IV, MD	Hospital Based/Emergency Medicine	UNM	
	Keller-Green, Ashley MD	Hospital Based/Emergency Medicine	UNM	
	Kerr, Hannah R., MD	Surgery/Urology	UNM	
	Kesler, Denece O., MD	Medicine/Preventive Medicine	UNM	
	Kieper, Kelly T., MD	Hospital Based/Radiology	UNM	
	Lockhart, Evelyn L., MD	Hospital Based/Pathology	UNM	
	Macias, Darryl J., MD	Hospital Based/Emergency Medicine	UNM	
	Mahan-Riska, Kaaren L., PA	Medicine/Family Medicine	UNM	
	McMichael, Jessica C., MD	Surgery/Orthopedics	UNM	
	Orr, Charlotte E., MD	Surgery/Orthopedics	UNM	
	Pack, Jeffrey D., MD	Surgery/Anesthesiology	UNM	
	Tohen, Mauricio MD	Medicine/Psychiatry	UNM	
	Warden, Meredith M., MD	Surgery/Gynecology	UNM	
8.4	<u>Reappointments</u>			
	Barrantes-Ramirez, Thelmo Fidel MD	Medicine/Nephrology	UNM	Upon a motion and a second, the Board voted to approve reappointments for Barrantes-Ramirez thru Wilson. Motion passed unanimously.
	Barry, Marc MD	Hospital Based/Pathology	RMA	
	Coman, Ellen PhD	Medicine/Psychology	UNM	
	Cowan, Andrew T., MD	Surgery/Otolaryngology	UNM	
	Deuble, Elizabeth L. CRNA	Surgery/Anesthesiology	UNM	
	Doss, Charlie M., MD	Surgery/General Surgery	UNM	
	Fraser, Kathryn J., MD	Medicine/Psychiatry	ABQ HP	
	George, Tracy I., MD	Hospital Based/Pathology	UNM	
	Gullapalli, Ramachandra R., MD	Hospital Based/Pathology	UNM	
	Gurule, Michael E., MD	Medicine/Cardiology	CCC	
	Hernandez, Camille CNP	Medicine/Critical Care	UNM	
	Imerman, Kenneth W. MD	Medicine/Neurology	UNM	
	Koenig, Sara MD	Hospital Based/Pathology	CCC	
	Lam, Chee K., MD	Hospital Based/Anesthesiology	ABQ HP	
	Langsfeld, Mark MD	Surgery/Vascular Surgery	UNM	
	Lopez, Christina MD	Medicine/Cardiology	ABQ HP	
	Rai, Vinay K., MD	Surgery/General Surgery	UNM	
	Rask, John P., MD	Hospital Based/Anesthesiology	UNM	
	Reynolds, Samuel J., MD	Hospital Based/Pathology	UNM	
	Riley, Julie M., MD	Surgery/Urology	UNM	
	Shaffi, Saeed K., MD	Medicine/Nephrology	UNM	
	Simpson, Pedro B., MD	Medicine/Psychiatry	UNM	
	Szabo, Eva E., MD	Hospital Based/Anesthesiology	UNM	
	Teicher, Joel R., MD	Surgery/Gynecology	UNM	
	Wilson, Lance T., MD	Hospital Based/Emergency Medicine	UNM	

8.5	<u>Expansions</u> Fraser, Kathryn J., MD Medicine/Psychiatry • Telemedicine Gurule, Michael E., MD Medicine/Cardiology • EKG Interpretation • Exercise Testing	UNM CCC	Upon a motion and a second, the Board voted to approve expansions for Fraser-Gurule. Motion passed unanimously.
8.6	<u>Resignations & Application Withdraws</u> Aries, Richard MD Medicine/Internal Medicine Austin, John MD Surgery/Orthopedics Baker, Shawn M., MD Surgery/Orthopedics Becker, Jeremy MD Surgery/Orthopedics Boncher, Nicholas MD Surgery/Urology Dixon, Ashleigh MD Hospital Based/Anesthesiology Garman, Doris K CNP Medicine/Family Medicine Hodnick, Ryan DO Hospital Based/Emergency Medicine Malkoff, Marc D., MD Medicine/Neurology Martinez, Cathleen, Path. Asst. Hospital Based/Pathology Meredick, Richard MD Surgery/Orthopedics Rohloff, Walter MD Medicine/Internal Medicine Selde, William MD Hospital Based/Emergency Medicine Warfield, Paul MD Medicine/Gastroenterology Whigham, Thomas MD Medicine/Gastroenterology	UNM UNM UNM UNM UNM UNM SRMC UNM UNM UNM ABQ HP ABQ HP UNM ABQ HP ABQ HP	Information Only
	Motion was made to approve general ratification of items in closed session, second; unanimously approved.		
9.0	REPORTS FROM SRMC COMMITTEES		
9.1	<u>Finance/Strategic Planning, and Audit Committees</u> Ms. Darlene Fernandez gave a verbal update on the August 21, 2014 Finance/Strategic Planning, and Audit Committees meeting and presented the minutes of June 19, 2014 for acceptance by the Board.		Upon a motion and a second, the Board voted to accept the minutes. Motion passed unanimously.
10.0	FINANCIAL REPORT		
10.1	Ms. Darlene Fernandez reviewed the SRMC financials for Twelve Months ended June 30, 2014 and the One Month ended July 31, 2014 and Financial Dashboards June 30 and July 31, 2014. Please refer to the August 27, 2014 financial presentation for detailed information.		Accept as information
11.0	CEO REPORT		
	Ms. Jamie Silva-Steele provided a verbal update on the following:		
11.1	Operational Summary: <ul style="list-style-type: none"> Census trended down at the beginning of June but has rebounded since the end of June and has remained high and fairly consistent for the month of July thus far. Peak census was 56 on July 22nd. The primary source of our admissions is the Emergency Department at 60%, followed by Operating Room at 34%. The 		Accept as information

	<p>remainder is direct admissions to Behavioral Health at 5%, and the Medical floor at 3%.</p> <ul style="list-style-type: none"> • Surgical cases are exceeding targets in June and thus far for July. Forty-one percent of our surgical cases are from Community Providers. GI procedures are also exceeding targets. • Emergency Room visits are also up in June and very close to target. Transfers between UNM Hospital and SRMC are becoming less of a priority or need as we continue to have patients coming to us from within Sandoval County and more specialties in place to support the patients presenting to us. • Clinic visits in June did not meet target, however since the 4th of July holiday, we have been exceeding targets primarily because of Specialty clinic visits. • FTE utilization remains flat while continuing to recruit and fill open positions. Our turnover from January to June is at 14.5%. This is very much improved overall from the prior six months (36%). • We had a successful initial Joint Commission Accreditation visit at the end of May. We have been working on action plans to address indirect and direct findings. Final action plans are to be submitted July 24th. 	
<p>11.2</p>	<p>Quality:</p> <p>Ms. Silva-Steele discussed the following accreditation surveys:</p> <ul style="list-style-type: none"> • On August 14th, SRMC received notice from the Joint Commission of our accreditation visit. SRMC was accredited for all services surveyed! The next anticipated site visit will be in 2017. • The Sleep Disorders Center had a site visit on August 8, 2014 and received full Accreditation from the American Academy of Sleep Medicine, and passed with no provisos! Only about 5% of surveyed Sleep Programs get a pass with no provisos. • The American College of Surgeons Bariatric Surgery Center Network (ACS BSCN) Accreditation Program Advisory Committee conducted a site visit on May 2, 2014. With the recent transition to the new joint Metabolic and Bariatric Surgery Accreditation and Quality Improvement Program (MBSAQIP), the former designations under ACS BSCN were retired effective May 30, 2014. SRMC was granted "Full Approval" as a Comprehensive MBSAQIP Accredited Center. <p>Ms. Silva-Steele is very proud of the management, staff and providers for these three very large quality initiatives.</p>	
<p>11.3</p>	<p>Growth:</p> <p>Ms. Silva Steele provided a verbal update on the following initiatives:</p> <ul style="list-style-type: none"> • The Interventional Radiology Lab will open to see patients September 15th. We are planning a ribbon cutting on the 	

	<p>afternoon of September 8th.</p> <ul style="list-style-type: none"> The Health System is implementing a new software program called "Teletracking" which be part of a new Call Transfer Center. The Call Transfer Center will assist the Health System at ensuring increased access to services at SRMC (and UNM Hospitals). The official go-live is slated for October 2014. This fall SRMC will have 16 nursing students doing clinical rotations. Eight students will be from UNM College of Nursing and eight will be from CNM. The number of Medical Residents will be expanding this fall as well. SRMC continues to play an active role in the efforts through the Sandoval County Health Council as it relates to planning around Community Prevention/Wellness, Economic Development, and Allied Health workforce development. SRMC is working with other health care providers/hospitals, business leaders and community stakeholders in this important initiative for the County. 	
11.4	<p><u>Board Comments/Questions</u></p> <ul style="list-style-type: none"> Mr. RainBird thanked leadership for attending the meeting with the Education Department at Santa Ana. He also extended an invitation to the upcoming NM Indian Council of Aging meeting. 	Mr. RainBird will send more detailed information.
10.0	CMO REPORT	
10.1	<p>Dr. Ogburn provided a verbal report on recent, new, and expanded services at SRMC.</p> <ul style="list-style-type: none"> Dr. Betty Newville has stepped down from Chief of Hospital Medicine and Dr. Rebecca Bair has been named Interim Chief of Hospital Medicine. ICD10 Training has been provided to Medical Staff. Infectious Disease provider on board Full time in early November. Endocrinology Services in early October. UNM Bariatrics provider will begin performing surgery on UNM patients in early October. Breast Surgeon, Dr. Stephanie Fine is now onboard. SWGA now performing ERCP's in house. Orthopaedics program continuing to expand. 13 cases are being performed today. <p>In the future, Dr. Ogburn will provide a dashboard to include clinic volume projections looking ahead 3 and 6 months.</p> <p>Dr. Ogburn presented a PowerPoint on Academic Activities and talked about the academic mission at SRMC.</p> <p>Anesthesia and Internal Medicine Grand Rounds are now being streamed for SRMC providers. Five to seven providers are participating per week.</p>	Accept as information

	Dr. Ogburn discussed the challenges with bringing learners onboard at SRMC and stressed the need for an Education Director/Liaison and Administrative Support to develop these services at SRMC.	Dr. Roth supports and agrees moving forward with recruitment of a faculty member to assist with educational support.
11.0	SRMC BOARD OF DIRECTORS INITIATIVES	
11.1	<u>Recommendation for Approval of Board of Director Community Member</u> Ms. Jamie Silva-Steele made a recommendation for Approval of Board of Director Community member, Mr. Donnie Leonard.	Upon a motion and a second, the Board voted to approve Mr. Leonard's Board membership. Motion passed unanimously.
11.2	<u>Recommendation for Approval of the Board of Directors Executive Committee</u> Ms. Silva-Steele made a recommendation for Approval of the Board of Directors Executive Committee, Dr. Roth, Mr. McKernan, Dr. Richards and Mr. Geist	Upon a motion and a second, the Board voted to approve the Executive Committee as mentioned. Motion passed unanimously.
14.0	ADJOURNMENT/NEXT MEETING	
	Motion was made to adjourn the meeting, second; unanimously approved. Meeting adjourned at 11:05 a.m. The next meeting will be held on September 24, 2014 at 8:00-11:00am at UNM SRMC, Board Room or Teleconference at 1-877-820-7831 PC 565350#	
MEMBERS/GUESTS IN ATTENDANCE		
Board Members Present: Dr. Paul Roth Mr. Steve McKernan Dr. Michael Richards Mr. Manu RainBird Ms. Charlotte Garcia Dr. Brad Cushnyr	Staff Members Present: Ms. Jamie Silva-Steele Dr. Tony Ogburn Ms. Darlene Fernandez Ms. Pam Demarest Ms. Correen Bales	Guests Present: Ms. Rosalyn Nguyen Ms. Melissa Romine Mr. Sterling Fluharty Mr. Donnie Leonard
MINUTES RECORDED BY: Ms. Geraldine Vallejos		

SRMC Board of Directors Meeting Minutes

September 24, 2014

8:00 a.m. - 11:00 a.m.

UNM Sandoval Regional Medical Center, 4th Floor Board Room

Teleconference 1-877-820-7831 Passcode 565350#

Rio Rancho, New Mexico

1.0	CALL TO ORDER AND CONFIRMATION OF QUORUM	
	Mr. Steve McKernan called the meeting to order and a quorum was confirmed at 8:07 a.m.	Accept as information
2.0	VOTE TO APPROVE THE AGENDA	
	Mr. Steve McKernan presented the Agenda to the Board for review.	Upon a motion and a second, the Board voted to approve the agenda. Motion passed unanimously.
3.0	VOTE TO APPROVE THE MINUTES OF AUGUST 27, 2014 MEETING	
	Mr. Steve McKernan presented the Minutes to the Board for approval.	Upon a motion and a second, the Board voted to approve the minutes. Motion passed unanimously.
4.0	ANNOUNCEMENTS/COMMENTS FROM DIRECTORS/LEADERSHIP	
4.1	Mr. McKernan welcomed Mr. Leonard as a Board member and reported that as a Sandoval County Commissioner, Mr. Leonard was instrumental in the opening of the hospital.	Accept as information
4.2	Mr. RainBird announced that Santa Ana will be hosting a Health Fair on October 14 th and invited SRMC to participate.	
5.0	PUBLIC COMMENT	
	None	
6.0	OLD BUSINESS	
	<ul style="list-style-type: none"> None to report 	
7.0	UNM HEALTH SYSTEM UPDATES	
7.1	<u>Quality Overview</u> Dr. Richard Crowell provided a Health System Quality update. A lengthy discussion ensued on patient and staff safety. Please refer to handout for detailed information.	Accept as information
7.2	<u>Bariatric Update</u> Dr. Ryan Tyner provided a Bariatric update and stated that SRMC is New Mexico's only Comprehensive Center of Excellence for Bariatric Surgery which is the highest level of COE offered by MBSAQIP with World Class Outcomes. To date volumes are as such: <ul style="list-style-type: none"> 2013: 58 Bariatric Surgeries 2014: 114 Bariatric Surgeries to date Total: 172 Please refer to handout for detailed information.	

	Mr. RainBird invited Dr. Tyner to provide a presentation to the Santa Ana community. Dr. Tyner is very willing to partner with the Santa Ana community in the future and talk to patients in their facility.	UNM SRMC will help coordinate this initiative.
8.0	SRMC PROGRAMMATIC UPDATES	
8.1	<p><u>Access UNM (PALS)</u></p> <p>Dr. Irene Agostini provided an update on Access UNM.</p> <ul style="list-style-type: none"> Access UNM will streamline patient throughput by improving our bed management process. Better bed management will provide the opportunity to accept more referral patients. All patient hospitalizations go through Access UNM for placement. <p>Please refer to handout for detailed information.</p>	Ms. Vallejos will email all presentations to Board members.
9.0	REPORTS FROM SRMC COMMITTEES	
9.1	<p><u>Finance/Strategic Planning Committee</u></p> <p>Ms. Darlene Fernandez gave a verbal update on the September 18, 2014 Finance/Strategic Planning Committee meeting.</p> <p>The Defined Contribution Plan Investment Policy was approved by the Committee with recommendation for approval by the Board of Directors.</p> <p>Purchasing contracts for vendors greater than \$250K were approved by the Committee with recommendation for approval by the Board of Directors.</p> <p>Ms. Silva-Steele reviewed the Sleep Center Diagnostic expansion and operations and discussed the continued focus on the cost of production and FTE utilization.</p>	<p>Accept as information.</p> <p>Upon a motion and a second, the Board unanimously voted to approve the policy.</p> <p>Upon a motion and a second, the Board unanimously voted to approve the contracts.</p>
10.0	FINANCIAL REPORT	
10.1	Ms. Darlene Fernandez reviewed the SRMC Financial Dashboard for month ended August 31, 2014. Please refer to the September 24, 2014 financial presentation for detailed information.	Accept as information
11.0	CEO REPORT	
11.1	<p>Ms. Jamie Silva-Steele provided a verbal update on the following:</p> <p>Operational Summary:</p> <ul style="list-style-type: none"> Admissions flat from prior month Overall census down from July Surgical cases slightly behind for the month due to providers on leave. Last week of August all Surgical indicators exceeding target. Community surgeries exceeding target except for first week in August. GI procedures also exceeded target except first week in August. 	Accept as information

	<ul style="list-style-type: none"> Emergency Room visits slightly lower than prior month and very close to targets. Radiology continues to exceed targets. Clinics are behind on targets due to provider vacancies and leaves. Primary Care recruiting a midlevel provider. New MD starting in October. Rehab down from prior month. FTE utilization remains flat to prior month while continuing to recruit and fill open positions. Total FTEs under budget. Cash indicators exceeding monthly targets. 	
11.2	Human Resources: <ul style="list-style-type: none"> Turnover for August is 1.8% or 21.6% annualized. Overall vacancy rate is 10%. Career Advancement Program (CAP) underway. Retreat scheduled for all Supervisors on October 1st. Management Retreat scheduled October 23rd and 24th. Planning Board Retreat in November to help review and update SRMC Strategic Plan which will then tie key areas of focus to Staff and Management Performance Plans. 	
11.3	Growth: <ul style="list-style-type: none"> Expanded Sleep Diagnostics to seven days a week as of September 8th. The Interventional Radiology Lab opened to see patients on September 15th. GI expanded services on September 15th to start including ERCPs (Endoscopic Retrograde Cholangiopancreatography) – a study to examine the liver, gallbladder and pancreas. Planning for the implementation of Access UNM in November. 	
11.4	Board Comments/Questions <ul style="list-style-type: none"> The Board agreed that the Health System and Programmatic presentations are very helpful and informative. 	
12.0	CMO REPORT	
12.1	<p>Dr. Ogburn provided a verbal report on recent, new, and expanded services at SRMC.</p> <ul style="list-style-type: none"> Bariatric Surgery <ul style="list-style-type: none"> UNM Program – first surgery planned for 10/2014 Ear, Nose & Throat <ul style="list-style-type: none"> Seth Lowell – 1-2015 Endocrinology <ul style="list-style-type: none"> Dr. Christina Lovato 11/2014 Family Medicine <ul style="list-style-type: none"> Dr. Afek – 10/2014 <u>Offer to NP</u> Gastroenterology <ul style="list-style-type: none"> ERCP capability Mon -Friday 	Accept as information

	<ul style="list-style-type: none"> • General Surgery <ul style="list-style-type: none"> ◦ Breast Disease – Drs. Fine and Voltura • Gynecology • Infectious Disease – OPAT clinic <ul style="list-style-type: none"> ◦ Dr. Vasquez-Guillamet – 11/2014 																																											
12.2	<u>Academic and Research Efforts</u> <ul style="list-style-type: none"> • Developing Position Description for Education Director • Developing a program for shadowing for high school students not at a Dream Makers school 																																											
12.3	<u>Other Items/Service Developing</u> <ul style="list-style-type: none"> • Cardiology Clinic move adjacent to Heart Station <ul style="list-style-type: none"> ◦ Should improve efficiency • Women's Services – "Center of Excellence" <ul style="list-style-type: none"> ◦ Urogyn, Gyn, Physical Therapy, Breast Disease, Imaging • Pre-Anesthesia clinic • Joint Program • Clinical Documentation Improvement • Medical Director of Quality 																																											
13.0	CLOSED SESSION																																											
	Motion was made to close the Open Session and convene in Closed Session; the motion was seconded and passed unanimously.	Accept as information																																										
14.0	RECONVENE IN OPEN SESSION																																											
	<p>Certification that those matters discussed in Closed Session and issuance of final action of such items including:</p> <p>Motion to ratify actions taken in closed session to include but not limited to Medical Staff Privileges and Committee meeting minutes as presented.</p> <p>New Applicants</p> <table border="1"> <tr><td>Carpenter, Michael</td><td>Medicine/Cardiology</td><td>CCC</td></tr> <tr><td>Degner, Courtney</td><td>Hospital Based/Anesthesiology</td><td>UNM</td></tr> <tr><td>Kopacz, Kevin</td><td>Hospital Based/Anesthesiology</td><td>UNM</td></tr> <tr><td>Lucero, Liza</td><td>Medicine/Nephrology</td><td>RMA</td></tr> <tr><td>Novinc-Antes, Kate</td><td>Hospital Based/Anesthesiology</td><td>UNM</td></tr> <tr><td>Nuara, Michael</td><td>Surgery/Otolaryngology</td><td>UNM</td></tr> <tr><td>Robertson, Jennifer</td><td>Hospital Based/Emergency</td><td>UNM</td></tr> <tr><td>Sharick, Joel</td><td>Hospital Based/Anesthesiology</td><td>UNM</td></tr> <tr><td>Sowar, Kristina</td><td>Medicine/Psychiatry</td><td>UNM</td></tr> <tr><td>Terpenning, Silanath</td><td>Hospital Based/Radiology</td><td>UNM</td></tr> <tr><td>Waldorf, Virginia</td><td>Medicine/Psychology</td><td>UNM</td></tr> <tr><td>Lovato, Christina</td><td>Medicine/Endocrinology</td><td>UNM</td></tr> <tr><td>Seidenberg, Philip</td><td>Hospital Based/Emergency</td><td>UNM</td></tr> <tr><td>Ten Brook, Julie</td><td>Medicine/Psychiatry</td><td>UNM</td></tr> </table>	Carpenter, Michael	Medicine/Cardiology	CCC	Degner, Courtney	Hospital Based/Anesthesiology	UNM	Kopacz, Kevin	Hospital Based/Anesthesiology	UNM	Lucero, Liza	Medicine/Nephrology	RMA	Novinc-Antes, Kate	Hospital Based/Anesthesiology	UNM	Nuara, Michael	Surgery/Otolaryngology	UNM	Robertson, Jennifer	Hospital Based/Emergency	UNM	Sharick, Joel	Hospital Based/Anesthesiology	UNM	Sowar, Kristina	Medicine/Psychiatry	UNM	Terpenning, Silanath	Hospital Based/Radiology	UNM	Waldorf, Virginia	Medicine/Psychology	UNM	Lovato, Christina	Medicine/Endocrinology	UNM	Seidenberg, Philip	Hospital Based/Emergency	UNM	Ten Brook, Julie	Medicine/Psychiatry	UNM	<p>Upon a motion and a second, the Board voted to approve those providers requesting Medical Staff Privileges and Committee meeting minutes. Motion passed unanimously.</p>
Carpenter, Michael	Medicine/Cardiology	CCC																																										
Degner, Courtney	Hospital Based/Anesthesiology	UNM																																										
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Ten Brook, Julie	Medicine/Psychiatry	UNM																																										

Reappointments			Upon a motion and a second, the Board voted to approve reappointments for Abrams thru Rajput. Motion passed unanimously.
Abrams, Swala	Medicine/Psychiatry	UNM	
Alexander, Ian	Surgery/Otolaryngology	ENT of NM	
Alfaro-Andrick, Claudia	Medicine/Family Practice	PMS	
Begay, Beth	Medicine/Internal Medicine	ABQ HP	
Bellows, Charles	Surgery/General Surgery	UNM	
Bushnell, Kristen	Surgery/General Surgery	ABQ HP	
Coleman, Matthew	Hospital Based/Anesthesiology	UNM	
Czuchlewski, David	Hospital Based/Pathology	UNM	
Dresden, George	Medicine/Internal Medicine	UNM	
Fahy, Bridget	Surgery/Surgical Oncology	UNM	
Gaffney, Robyn	Hospital Based/Pathology	UNM	
Gavin, Michael	Medicine/Gastroenterology	UNM	
Gomez, Ricardo	Hospital Based/Anesthesiology	UNM	
Heynekamp, Theresa	Medicine/Pulmonary	UNM	
Jaiswal, Anju	Medicine/Psychiatry	UNM	
Katzman, Jeffrey	Medicine/Psychiatry	UNM	
Kumar, Jayant	Medicine/Nephrology	RMA	
Lee, Joseph	Hospital Based/Pathology	UNM	
Meier, Patrick	Hospital Based/Anesthesiology	UNM	
Morris, Katherine	Surgery/Surgical Oncology	UNM	
Palac, Susan	Medicine/Neurology	Impulse Mon	
Sapient, Robert	Hospital Based/Emergency Med	UNM	
Thoma, Robert	Medicine/Psychiatry	UNM	
Treme, Gehron	Surgery/Orthopedics	UNM	
Unruh, Mark	Medicine/Nephrology	UNM	
Vernon, Lori	Hospital Based/Anesthesiology	UNM	
Weil, Elizabeth	Medicine/Psychiatry	UNM	
Williamson, Jonathan	Surgery/Podiatry	Podiatry of NM	
Zhang, Dahua	Hospital Based/Pathology	UNM	
Morehouse, Jeffrey	Surgery/Plastic Surgery	ABQ HP	
Rajput, Ashwani	Surgery/Surgical Oncology	UNM	
Expansions			Upon a motion and a second, the Board voted to approve expansions for Heynekamp. Motion passed unanimously.
Heynekamp, Theresa	Medicine/Pulmonary <ul style="list-style-type: none"> • Internal Medicine Core • EKG Interpretation • Lumbar Puncture • Ventilator Management • Ventilator Management Complex, including BiPAP • Insertion and Management of Pulmonary Artery Catheters • Critical Care • Moderate Sedation 	UNM	

	Katzman, Jeffrey	Medicine/Psychiatry		UNM		
		<ul style="list-style-type: none">• Telemedicine				
	Thoma, Robert	Medicine/Psychiatry		UNM		
		<ul style="list-style-type: none">• Psychology core, not to include Family assessment therapy, Group therapy, Marital or Couple therapy, Psychotherapy				
	Treme, Gehron	Surgery/Orthopedics		UNM		
		<ul style="list-style-type: none">• Autologous Chondrocyte Implantation				
	Unruh, Mark	Medicine/Nephrology		UNM		
		<ul style="list-style-type: none">• Internal Medicine Core				
					Information Only	
Resignations & Application Withdraws						
Baca, Janet, CRNFA	Surgery/Ortho	Ortho/Joint Bone Specilaists	Retired			
Motion was made to approve general ratification of items in closed session, second; unanimously approved.						
14.0	ADJOURNMENT/NEXT MEETING					
	Motion was made to adjourn the meeting, second; unanimously approved. Meeting adjourned at 11:07 a.m. The next meeting will be held on October 22, 2014 at 8:00-11:00am at UNM SRMC, Board Room or Teleconference at 1-877-820-7831 PC 565350#					
MEMBERS/GUESTS IN ATTENDANCE						
Board Members Present:		Staff Members Present:		Guests Present:		
Mr. Steve McKernan		Ms. Jamie Silva-Steele		Ms. Rosalyn Nguyen		
Dr. Michael Richards		Dr. Tony Ogburn		Ms. Melissa Romine		
Mr. Manu RainBird		Ms. Darlene Fernandez		Dr. Rick Crowell		
Ms. Charlotte Garcia		Ms. Pam Demarest		Dr. Irene Agostini		
Mr. Donnie Leonard		Ms. Correen Bales		Dr. Ryan Tyner		
Mr. Jerry Geist						
MINUTES RECORDED BY: Ms. Geraldine Vallejos						



The University of New Mexico Health Sciences Center

UNM HOSPITALS

CARRIE TINGLEY HOSPITAL ADVISORY BOARD

Meeting Minutes

September 22, 2014

CTH Board Room – 1st floor

Agenda/Subject #	Discussion				Status	Due Date	Responsible Party
Attendance July 28, 2014	CTH Advisory Board: Sarah Nelson-Howse, Nancy Greger, MD, Erich Marchand, MD, Michael Shannon, Neall Doren, Phil Prevender. UNMH: Doris Tinagero, Maribeth Thornton, Dale Hoekstra, MD; Denise Taylor, MD; Paul Herzog, COO Excused: Dana Allen, MD Absent: Heidi Overton, Student Regent				N/A		
I. Call to Order	Meeting was called to order by Sarah Nelson-Howse at 4:05 pm.						
II. Approval of the July 28, 2014 Minutes.	<u>Action:</u> Neall Doren moved to approve the July 28, 2014 meeting minutes as written; Phil Prevender seconded the motion. No opposition, minutes were approved as written.				Approval of 7/28/14 meeting minutes unanimously approved	NA	NA
III. Public Input	No public input.						
IV. Reports A.	YTD through May 31, 2014	YDT	YTD Budget	Prior YTD	Ella Watt will be invited to attend the September 22, 2014 meeting so that she can report on the FY14 wrap up. The FY15 budget is completed by UNMH in March so that it can go to the Regents for approval. Dr. Hoekstra commented that a census by a resident	9/22/14	
	Orthopaedics	651	551	520			
	Rehabilitation	357	353	334			
	Newborns	627	495	494			
	Pediatrics	1,987	2,455	2,464			
	Average LOS	4.45	5.06	5.60			
	Discharges	813	761	680			
	Outpatient Clinic Visits						
	2 nd Floor Peds	5,648	6,458	6,272			
	Ortho	10,992	11,816	11,765			
	1 st Floor Peds	8,450	8,848	8,852-			



	<table><tr><td>Outreach</td><td>371</td><td>354</td><td>361</td></tr><tr><td rowspan="2">Operations</td><td>Inpt: 189</td><td>147</td><td>128</td></tr><tr><td>Outpt: 353</td><td>220</td><td>210</td></tr><tr><td>Implants</td><td>327</td><td>320</td><td>306</td></tr></table>	Outreach	371	354	361	Operations	Inpt: 189	147	128	Outpt: 353	220	210	Implants	327	320	306	revealed we bring in 50% more May – October than October – May.		
Outreach	371	354	361																
Operations	Inpt: 189	147	128																
	Outpt: 353	220	210																
Implants	327	320	306																
B. Medical Director Peds Orthopaedic Dale Hoekstra, MD	<p>Dr. Hoekstra indicated that all was going well.</p> <p>-Reviewed upgrades to the clinic including new exam tables, computers and furniture. All this has made the work environment much more pleasant.</p> <p>Support staff has changed considerably including nurses, case manager, medical assistants, the change has been good.</p> <p>-There has been an interest in adult cerebral palsy clinic (continuity) at CTH.</p> <p>- Talked about adding a Gallup outreach clinic and upgrading the Santa Fe outreach. A discussion ensued around the outreach sites, Dr. Hoekstra believes that numbers are dwindling.</p>	<p>Discussion regarding adults seen at CTH. It is written in the Constitution that CTH is strictly a pediatric clinic (with the exception of orthotics and prosthetics)</p> <p>A meeting will be scheduled to discuss our current outreach sites and how to market the clinics. Will report back at the Sept. meeting.</p>																	
Denise Taylor, MD Physical Medicine and Rehabilitation	<p>No written report. Dr. Taylor said that her areas are doing well. Continue to work on the Brain Injury Awareness initiatives.</p>	No follow-up																	
C). Executive Directors	<p>Doris Tinagero and Maribeth Thornton reported on CTH inpatient and outpatient Patient Satisfaction scores= Medical Practice, 84.5; Inpatient 89.3; Outpatient 89.0</p> <p>Facility Update: Elevator installation continues. The cooling units in the Orthopedic Clinic have been successfully replaced. On July 8th,</p>	Continue to monitor																	



	<p>we had a water leak that affected several of our areas at CTH Outpatient. A restoration company was secured to do the cleanup and infection control monitoring and the project should be completed in the next few days.</p> <p>Baby Friendly Survey-UNMH was recently survey by Baby-Friendly USA which is part of a global initiative of the World Health Organization and the United Nations Children's Fund. This designation illustrates the importance of breastfeeding infants which has been shown to improve health outcomes for both mothers and babies. We expect to hear the results of this survey (which included the Pediatric and CTH inpatient and outpatient area) by mid-August.</p> <p>Nursing Portfolio Database: CTH Inpatient unit has made tremendous progress in the education and certification levels of the nursing on the unit. Their BSN rate has improved from 27% to 45% and Certification rates are at 25%. The CTH Outpatient Clinic has a BSN rate of 50%, Masters prepared is 11%, and Certification rate of 28%.</p> <p>Doris reviewed the latest quarterly items that were approved by the CTH Foundation. No questions or comments.</p>			
D). CTH Foundation Phil Prevender	<p>The 20th annual Carrie Tingley Hospital Foundation Mudd Volleyball Tournament presented by Albertsons was a tremendous success again this year raising over \$550,000 for the programs and services that the Carrie Tingley Hospital Foundation provides.</p> <p>Beyond Limits Adaptive Sports Camp This summer marked the 14th year of the Carrie Tingley Hospital Foundation's Adaptive Sports Camp. 45 campers attended camp with the support of over 100 volunteers. This year's camp featured the addition of an Olympic-style competition day and an aquatics day that included kayaking and scuba diving.</p> <p>CTHF Partners with Albertsons In the month May, every Albertsons throughout the State of New Mexico sold Pin - ups</p>	No follow-up		



	to support the Carrie Tingley Hospital Foundation. The pin-up campaign raised over \$85,000 and is scheduled for May 2015.						
CTH Foundation Program Areas of impact year-to-date (YTD)	Area of Impact	Number Served YTD	Amount Funded YTD	Notes	Continue to monitor		
	Equip. & Support to CTH	4,205	\$84,943				
	Patient & Family Assist	101	\$103,205				
	Quality of Life Programs	170	\$51,160				
	10-year, \$1.6 million Pledge		\$80,000				
	TOTAL	4,476	\$31,308				
E). Chair	Sarah Nelson Howse indicated that Charlie Lopez was approved by the Regents for a CTH Advisory Board/Parent Member, but is moving out of the country. She also indicated that Dr. Greber will be retiring and her position will be vacant. Sarah and Neall's position as Community Member has expired and will need to be renewed.				CTH has a vacant positions for parent member and physician. Also, Sarah Nelson Howse and Neall Doren's community member terms expired in May 2014. There is a possibility of nominating them for 2 nd 3-year term if they are interested.		
V. Old Business	N/A						
VI. New Business	No new business discussed.						
VII. Vote to Close	N/A						
VIII. Vote to Re-Open Session	N/A						
IX. Adjournment	Meeting was adjourned at 5:35 pm.						



THE UNIVERSITY OF NEW MEXICO • HEALTH SERVICES CENTER

UNM HOSPITALS

Respectfully Submitted by:

Diane J. Romero

Diane J. Romero, Administrative Assistant

APPROVED:

Sharon Nelson House

9/22/14

Chair

[Signature]

The Office of the Medical Investigator State of New Mexico

March 11, 2014

Board Meeting Minutes

PRESENT:

Retta Ward	Cabinet Secretary, New Mexico Department of Health, Chair
Paul Roth, M.D.	Chancellor, HSC; Dean, SOM, Vice Chair
Arthur Allison	Cabinet Secretary, New Mexico Department of Indian Affairs
Sam Baca (Telephonically)	Chairman of the Board of Thanatopractice/Board of Funeral Services
Pete Kassetas (Telephonically)	Chief, New Mexico State Police

ABSENT:

None

GUESTS:

Ross Zumwalt, M.D.	Chief Medical Investigator, OMI
J. Keith Pinckard, M.D., PhD	Deputy Chief Medical Investigator, OMI
Kerri Ashburn	Unit Administrator, OMI
Amy Boule	Director of Operations, OMI
Michelle Aurelius, M.D.	Assistant Chief Medical Investigator, OMI
Lori Proe, D.O.	Forensic Pathologist, OMI
Hannah Kastenbaum, M.D.	Forensic Pathologist, OMI
Aaron Tegard	Accountant III, OMI
Yvonne Villalobos	Senior Operations Manager, OMI
Kurt Nolte, M.D.	Forensic Pathologist, OMI
Michelle Redmond	New Mexico Department of Indian Affairs

1. Call to Order and Confirmation of a Quorum:
 - a. The meeting was called to order by Chairperson Ward at 3:15 pm.
2. Adoption of the Agenda:
 - a. Chairperson Ward called for the adoption of the agenda. Dr. Roth so moved, Mr. Allison seconded; all were in favor.
3. Approval of the Minutes of the September 24, 2013 Meeting:
 - a. Chairperson Ward called for approval of the minutes of the last meeting. Dr. Roth so moved, Mr. Baca seconded; all were in favor.
4. Chief Medical Investigator Report:
 - a. Dr. Zumwalt reported that OMI has undergone two inspections for accreditation since the last Board meeting. The first inspection was by the College of American Pathologists (CAP) with zero deficiencies, and a second inspection by the National Association of Medical Examiners (NAME), also with zero deficiencies. OMI has been reaccruited for the full term by both associations. Dr. Zumwalt personally thanked Dr. Michelle Aurelius and Amy Boule for their roles in the inspection process.

- b. Dr. Zumwalt regretfully reported the resignation of two faculty members from OMI, Dr. Clarissa Krinsky and Dr. Ian Paul. OMI is in the process of recruiting for one faculty member, as budget does not allow recruiting two doctors. Interviews are scheduled for late March.
 - c. Dr. Zumwalt also reported that Sharon Pruitt, OMI's long term accountant, was promoted to a higher level position within the University. Sharon will be replaced by Aaron Tegard.
 - d. Lastly, on Sunday, March 9th, the Governor held a press release to sign the anti-texting and driving bill at the OMI. The event created very positive publicity for OMI, and demonstrated that OMI is continually looking for other ways to serve the community and constituents.
- 5. Deputy Chief's Report:
 - a. Dr. Pinckard reported budgetary issues to the Board, stating that a balanced budget has been one of his primary goals for strategy planning since his arrival at the OMI in July of 2013. OMI has a substantial budget deficit. The office has been working hard to reduce the deficit, including: laying off one administrative staff position, delaying recruitment of faculty position, reducing the number of specimens sent to NMS and sending more specimens to SLD, as well as cutting one of the service contracts for the lights in autopsy. Dr. Pinckard further noted that it is projected by the end of FY14, the budget will be balanced and back in the black. OMI is now moving forward into FY15 legislative appropriations. The biggest concern is the ability to maintain advanced imaging techniques. OMI is the only facility in the United States to use on-site CT and MRI and these services are very valuable in increasing the standard of care. Furthermore, these modalities allow the office to be more sensitive to cultural beliefs. OMI is currently able to retain the CT and MRI services. The MRI is partially funded, with the rest of the cost being picked up by grants. Appropriations were just signed by the Governor and OMI received \$150k for increased utilities and a 1.5% raise for staff. OMI received total appropriations in the amount of \$5,025,300. OMI will continue to promote the importance of the CT and MRI, and seek full funding.
- 6. Public Comment:
 - a. There was no public comment.
- 7. New Business:
 - a. By Laws:
 - i. The draft By Laws last came before the Board in December, 2012. At that time, suggestions were made for alterations to the draft, but changes were never acted upon. As such, the By Laws need to be re-reviewed by the Board. According to the draft By Laws, the Board is required to meet 4 times a year, but the statute requires that the Board meet once each year. A change was suggested on Page 1, Section B1, under 'regular meetings.' The change should read: "Regular meetings of the BMI should meet at least once annually." Furthermore, it was noted that the By Laws should state that the BMI endeavors to meet quarterly. Another change was suggested for the first line on first page. It should be modified to read "The Board of Medical Investigations ("BMI") is established in accordance with Section 24-11-2, NMSA, and charged to:." Additionally, the Board discussed the presence of designees at a Board Meeting, asking if the designee has power to vote. The By Laws do not address designees, but the Board determined that designees do not have the power to vote. After discussion, Secretary Allison moved for approval of the changes and

Dr. Roth seconded. All were in favor, motion passed. Scot Sauder in the HSC Legal Office will make the changes and redistribute.

b. Modification of the OMI Fee schedule:

- i. Amy Boule presented a proposal to change the OMI fee schedule. Three areas are recommended for change: 1. Autopsy reports package (printed or electronic) \$10.00, 2. Autopsy reports package (CD) \$15.00, and 3. CT, MRI or X-ray series (DVD) \$50.00. Autopsy reports are now longer and costs more to reproduce.
- ii. Secretary Allison moved in favor of the fee schedule change and Dr. Roth seconded. All were in favor, motion carried.
- iii. Secretary Allison asked which fees are passed to the family and which are funeral home fees. Ms. Boule responded that there are no fees to the family themselves, OMI only charges non-family members. However, the body bag handling fees and cremation permit fees are charged to the funeral homes, which then pass those fees on to the family.

c. Outside activities of OMI Pathologists Report- Dr. Proe:

- i. Dr. Proe reported to the Board the outside activities of the OMI Pathologists. There are several educational activities that the Forensic Pathologists participate in to advance the field. Some of these activities include involvement with: The AFP Journal, authoring the Forensic Pathology Principles and Practices text, NAME, SWGMDI, The Ronald McDonald House, The United Way of Central New Mexico Community Fund, NM Donor Services Advisory Board, in addition to community service and outreach. Dr. Aurelius has played an active role in the Mass Fatality Incident Plan as well as participating in media outreach through the ABQ Journal, discussing the preventability of cervical cancer. Dr. Pinckard also participates in media outreach, recently discussing with KOAT news the preventability of certain infant deaths. Forensic pathologists work within the community as well. Dr. Zumwalt recently worked with the Del Norte Rotary Club to explain the determination of cause and manner of death for death certificates, and Dr. Proe attended the Indian Country Infant and Child Death Investigation Training in Gallup. Dr. Nolte also holds a national position as the EVP of NAME and spends approximately 1/3 of his time fulfilling those responsibilities.
- ii. Recently, Governor Martinez signed an anti-texting and driving bill at OMI. Lastly, OMI participated in the UNM Homecoming events, the Lobo Living Room, which offered a special tour and information session about the OMI.
- iii. Furthermore, Dr. Proe reported that about 15,000 individuals pass away in NM each year. Of these deaths, around 5,000 are reported to OMI. OMI then investigates about 2,600 cases and autopsies about 2,000 cases. Last year OMI did approximately 2,300 autopsies. 12-13% of all deaths in NM result in autopsy.

8. Vote to close for Executive Session:

- a. Dr. Roth moved to close for Executive Session, and Secretary Allison seconded. Move into closed session.

9. Vote to open in regular session:

- a. Dr. Roth moved to open in regular session, and Secretary Allison seconded. Move into regular session.

- b. Secretary Ward indicated that the Board recommended a national search take place in order to identify Dr. Zumwalt's replacement as Chief Medical Investigator. Dr. Roth so moved and Secretary Allison seconded. All were in favor, motion carried.
- 10. Motion to adjourn:
 - a. Dr. Roth moved to adjourn the meeting and Secretary Allison seconded. All were in favor, motion carried. Meeting adjourned at 4:47 pm.