



**UNIVERSITY OF NEW MEXICO
BOARD OF REGENTS**

Agenda

**December 11, 2015
9:00 AM
SUB Ballroom C**

The Board of Regents of the University of New Mexico
Meeting in Open Session and Executive Session
December 11, 2015, 9:00 AM
Student Union Building, Ballroom C
Executive Session, Cherry Silver Room
***Revised*²AGENDA**

TAB

- I. Call to Order, Confirmation of a Quorum, Adoption of the Agenda.....1
Regent President Jack L. Fortner
- II. Approval of Minutes: November 20, 2015 regular meeting2
Public Comment, specific to agenda items (limit 3 min. per speaker)
- III. Approval of 2015 Regents' Meritorious Service Award Recipients.....3
 Bob Davie
 Joe Franklin
 Arthur Kaufman
 Cheryl Willman

PRESENTATION OF 2015 REGENTS' MERITORIOUS SERVICE AWARDS

- IV. The President's Administrative Report, *President Robert G. Frank*.....4
Comments from Regents
- V. Regent Committee Reports

Finance and Facilities Committee, Regent James H. Koch, Chair

Action Items

- 1. Monthly Financial Report for Main Campus (**information item**), *Liz Metzger, Univ. Controller*5
- Consent 2. Approval of Disposition of Surplus Property for Main Campus for November 20156
Bruce Cherrin, Chief Procurement Officer; Marcos Roybal, Manager, Administrative Operations
- Consent 3. Approval of UNM Golf Course Maintenance - Mountain West GolfScapes, Inc.....7
Bruce Cherrin, Chief Procurement Officer
- Consent 4. Capital Project Approval, *Chris Vallejos, AVP, ISS*.....8
 - a. Ferris Engineering Center Renovation
 - b. Gallup Zollinger Library – Completion of Shell Space
- Consent 5. Requests for Approval, Naming Items:9
 - a. New Anderson School of Management building, "McKinnon Center for Management"
 - b. Naming opportunities and their respective donation requirements for internal spaces within the pending McKinnon Center for Management
 - c. Re-naming of Lobo Baseball Field, *Amy Wohlert, Chair, Naming Committee*
- Consent 6. Approval of Lobo Development Corporation Board of Directors, Reappointment of Louis Abruzzo, Stephen Ciepiela and Maria Griego-Raby10
Chris Vallejos, AVP for ISS, Sec. Treasurer for Lobo Development
- 7. Ground Lease Agreement with 54 Development, *Tom Neale, Dir. Real Estate*.....11

** Revised agenda denotes Finance and Facilities (F&F) Committee consent items #2,3,4,5,&6 and deletion of Audit and Compliance Committee action item. No additions have been made to the originally submitted agenda.*

Information Items

8. FY17 Base Budget Update, *Andrew Cullen, AVP, OPB&A and Constituent Leadership*12
9. UNM Capital Projects Executive Summary Report, *Chris Vallejos, AVP for ISS*13
10. Popejoy Hall, *Chris Vallejos, AVP, ISS; Tom Tkach, Dir. Popejoy Hall; Tom Croston, Pres. Popejoy Board*.....14
11. Branding Initiative Update, *Cinnamon Blair, Chief Marketing and Communications Officer*15

Academic/Student Affairs & Research Committee, Regent Bradley C. Hosmer, Chair

1. Provost's Update, *Chaouki Abdallah*16

Action Items

2. Approval of Honorary Degrees, *Jennifer Thacher, Faculty Senate Honorary Degree Chair* 17
3. Approval of Fall Degrees, *Stefan Posse, Faculty Senate President*18

Health Sciences Center Board of Directors, Regent Robert M. Doughty, Chair

Consent Agenda

- Consent 1. Approval of UNM Hospitals Contract with 3M Consulting Services.....19
- Consent 2. Approval of UNM Hospitals Contract with Precyse Solutions, LLC,.....20
Steve McKernan, CEO UNM Hospitals

Action Items

3. Approval of the UNM Health Sciences Center Degree Candidates for Fall 201521
4. Approval of the Nominations to the UNM Medical Group, Inc. Board of Directors.....22
Mike Richards, Executive Physician-in-Chief, UNM Health Systems

Information Item

5. Chancellor's Report, *Paul Roth*23

Audit and Compliance Committee, Regent Bradley C. Hosmer, Chair

Action Item

1. ~~Approval of FY15 Financial Statements Audit Report~~24

- VI. Comments from Advisors.....25

Michelle Coons, Chair, UNM Foundation Board of Trustees
Jenna Hagenruber, President, ASUNM
Texanna Martin, President, GPSA
Crystal Davis, President, Staff Council
Stefan Posse, President, Faculty Senate
Carol Stephens, President, Retiree Association
Daniel Perea, President, Parent Association
Ann Rhoades, President, Alumni Association

Public Comment (items not on agenda; limit 3 min. per speaker)

- VII. Vote to close the meeting and proceed in Executive Session

Executive Session-Cherry Silver Room

1. Discussion and determination where appropriate of threatened or pending litigation pursuant to Section 10-15-1.H(7), NMSA (1978)
2. Discussion and determination where appropriate of the purchase, acquisition or disposal of real property pursuant to Section 10-15-1.H(8), NMSA (1978)
3. Discussion of purchases that can be made from only one source or competitive sealed proposals under the Procurement Code, pursuant to Section 10-15-1.H(6), NMSA (1978)

- VIII. Vote to re-open the meeting and certification that only those matters described in Agenda Items VII. were discussed in Closed Session and if necessary, final action with regard to those matters will be taken in Open Session.
- IX. Vote to Adjourn
- X. Appendix: Minutes of Health Sciences Center Meetings.....Appendix
 - a. HSC Board of Directors, October 2, 2015 Meeting Minutes
 - b. UNM Hospitals Board of Trustees, October 30, 2015 Meeting Minutes
 - c. Sandoval Regional Medical Center, Inc. Board of Directors, October 28, 2015 Meeting Minutes

Minutes of the Regular Meeting of the Board of Regents of the University of New Mexico
November 20, 2015
Roberts Room, Scholes Hall

Members present

Jack L. Fortner, President; Robert M. Doughty, Vice President; Bradley C. Hosmer, Secretary Treasurer; James H. Koch; Suzanne Quillen; Marron Lee; Ryan Berryman

Administration present

Robert G. Frank, President; David Harris, EVP of Administration, COO, CFO; Chaouki Abdallah, Provost and EVP for Academic Affairs; Paul Roth, Chancellor for Health Sciences; Richard Larson, Exec. Vice Chancellor, HSC; Michael Dougher, VP for Research and Economic Development; Ava Lovell, Sr. Exec. Officer of Finance and Administration, HSC; Elsa Cole, University Counsel; Dorothy Anderson, VP HR; Paul Krebs, VP Athletics; Liz Metzger, University Controller; Helen Gonzales, Chief Compliance Officer; Manu Patel, Dir. Internal Audit

Regents' Advisors present

Daniel Perea, Parent Association; Carol Stephens, Retiree Association; Stefan Posse, Faculty Senate; Crystal Davis, Staff Council; Texanna Martin, GPSA; Jenna Hagengruber, ASUNM

Presenters in attendance

Monica Orozco Obando, VP Extended University; Lisa Kuuttila, CEO and Chief Economic Development Officer, STC.UNM; Tom Neale, Director Real Estate; Bruce Cherrin, Chief Procurement Officer; Chris Vallejos, AVP ISS; Andrew Cullen, AVP OPB&A; Eric Weinstein, Executive Vice President, Aon Risk Solutions; Tanya Baker-Mccue, Director of the Family and Community Partnerships Division at the Center for Development and Disability; Phil Pelleriti, Manager of Recruitment, HSC; Erica Brookes, Education Specialist, Project Search; Emile Nakhleh, Coordinator, UNM National Security Programs

Others in attendance

Members of the administration, faculty, staff, students, the media and others.

CONFIRMATION OF PRIOR SPECIAL MEETING IN EXECUTIVE SESSION

The Board of Regents met in closed session on November 20, 2015 from 7:45 to 8:41 AM in the Executive Conference Room 141 of Scholes Hall for discussion only of threatened litigation and personally identifiable information about an individual student, as permitted by Sections 10-15-1H(7) and 10-15-1H(4) of the Open Meetings Act (NMSA 1978). Members present were Marron Lee, Robert Doughty, Suzanne Quillen, Ryan Berryman, Bradley Hosmer, James Koch, and Jack Fortner. University Counsel was also present. The matters discussed were limited to those specified in the notice and agenda for the meeting.

CALL TO ORDER, CONFIRMATION OF A QUORUM AND APPROVAL OF THE AGENDA

Regent President Fortner called the meeting to order at 9:03 AM. A quorum was confirmed. One modification to the agenda was made. Regent Koch requested to move Finance and Facilities Committee (F&F) agenda item number 8, Proposed Online Delivery Fee Modification, to the beginning of the F&F agenda.

The motion to approve the agenda as modified passed by unanimous vote with a quorum of Regents present and voting. (1st Berryman; 2nd Doughty).

APPROVAL OF MINUTES

Regent Fortner asked for a motion to approve the minutes of the October 9, 2015 meeting.

The motion to approve the minutes of the October 9, 2015 regular Board of Regents meeting passed by unanimous vote (1st Berryman; 2nd Lee).

PUBLIC COMMENT (No Comments)

THE PRESIDENT'S ADMINISTRATIVE REPORT

President Robert G. Frank provided an administrative report to the Board. The University is in the process of building the budget for FY2017 and has significant fiscal challenges as the year progresses. Fiscal challenges

include flat or decreased State funding due to the price of oil decreasing, slight decrease in enrollment, and the desire to keep tuition as low as possible. Despite the challenges, the University has seen a very significant level of success. Graduation rates for 6-year is 49% and the retention rate is at 79.5%, which are the highest figures in UNM's history. The Administration will continue to put emphasis on quality as they begin to slim the budget and address fiscal challenges. There has been a lot of dialogue between the President and the EVPs, as well as with the Deans, Vice Presidents, Chairs, and Directors. The goal is to obtain feedback about critical issues and areas that would be hurt by cuts and discover if there may be areas of opportunity. Three years ago the Board of Regents voted to make tuition less if students took 15 or more credit hours. In 2012, before the change was implemented, 59.1% of students took 15 or more credit hours. In 2013, after the change in block tuition, 68.7% of students took 15 or more credit hours. In 2014 that percentage increased to 72.3%, and in 2015 it was at 78.8%. Regent Fortner commented that part of that shift was due to the new 15 credit hour requirement for the Lottery Scholarship. President Frank agreed and stated students have been responsive to those two changes, which is great for graduation rates, but has had a discernable fiscal impact on the University. The continued shift in course loads has impacted tuition revenue. Last spring the Administration felt it necessary to be cautious coming into the current fiscal year due to the era of unpredictable enrollment. This led to the 5% holdback of money from every unit on campus. After learning where the University stood with enrollment, the Administration recognized that it was only necessary to keep 1.5% of that 5% holdback and returned 3.5%, or \$10 million, back to the units. Of the 1.5% held back from the units, \$1.8 million went to cover the enrollment decrease, \$1.4 million went to cover the enrollment shift to block tuition, and \$1.2 million was set aside as an enrollment reserve in case the University saw another decrease in enrollment for Spring 2016. These are the steps being taken by the University to ensure financial stability. Other budget strategies will include vacancy analysis and hiring review process for FY17 and plans aimed at revenue growth and enrollment stability in FY18. These are ongoing, phased processes to reach budget goals.

President Frank discussed the review of positions and the budget. Administration is analyzing vacancies. Eliminating 100 vacant positions would put the University's budget where it needs to be and would have the least impact on current employees. The University has 787 positions open and the goal is to take 100 positions out of that amount. All units have very lean operating budgets. These vacant positions will be reviewed by a team of people from the Administration, Budget Office, and Academic Affairs. Regent Koch inquired how many positions are currently vacant on Main Campus and how much money that amounts to. To date there are 375 on Main Campus which amounts to \$13 million if they were fully funded; not all are 100% funded.

President Frank stated the University has several strategies in order to increase revenue. Those strategies include increasing the number of freshman, growing out of state and international students, targeting non-traditional students, creating wholly online degree programs, and growing professional master's degree programs. By stabilizing and increasing enrollment, that will increase revenue. Over time, the branding initiative will also impact enrollment.

The F&F committee asked to submit a flat budget for this upcoming year, however due to various hiring obligations, faculty promotions, recruitment efforts, and initiatives within Academic Affairs it is impossible to show a zero-growth flat base budget as these obligations have expenditures tied to them. Healthcare benefits and utility costs continue to inflate every year and are expected to be higher this year. Those commitments equal \$2 million in must funds which a revenue source has not been identified to fund. The budget process has begun and the Budget Leadership Team (BLT) has been meeting to discuss the upcoming budget. There are a number of constituents from across campus that are participating in the BLT, which will make recommendations to the Administration and Board of Regents. The budget is an ongoing dialogue but needs to be finalized by April. A series of informal Town Hall meetings have taken place with faculty and staff to discuss budget concerns. Meetings will continue to take place and the University will work together in building a budget and overcoming fiscal challenges.

COMMENTS FROM REGENTS (No Comments)

REGENT COMMITTEE REPORTS

FINANCE AND FACILITIES COMMITTEE

Proposed Online Delivery Fee Modification (information item)

Andrew Cullen and Monica Orozco, VP of Extended University, presented the item and referred to materials provided in the agenda eBook. Provost Abdallah was also available to answer questions. Dr. Orozco gave some background about online courses at UNM. Some 15 years ago, administration saw where technology was moving and the need to implement a system that would deliver online course. A delivery fee of \$33.33 per student credit hour for fully online courses was developed at that time and is the current business model for fully online courses. Students who pay the online course delivery fee of \$100 for a fully online 3-hour course also pay regular mandatory student fees. Today, online delivery fees generate a little more than \$3 million of revenue, about a third of the Extended Learning annual operating funds. This semester, 1200 students take only fully online courses; they do not come to campus. This population produces about 25,000 credit hours annually and generates about \$4.5 million in tuition revenue. The total number of students taking at least one online course is 6700, that is, 5500 students are taking a mix of traditional and online courses, producing 65,000 credit hours annually and \$11.7 million in tuition revenue.

Regent Fortner asked the student leaders attending the meeting if they have taken online courses. There was brief discussion.

The learning management system for the online courses, called "Learn," (a Blackboard, Inc. trademarked product) has a wide array of educational tools and is therefore utilized in traditional, face-to-face, courses as well, so online utilize only a part of that system. Currently, 87% of courses on Main Campus utilize the learning management system; Branch Campuses also utilize the system. This system has become the technological foundation for instructional support on campus, however only 6700 students are actually paying for it.

The proposal is to eliminate the \$33.33 per credit hour online course fee and implement a mandatory student fee increase of approximately \$5.27 to \$5.48 per credit hour. Dr. Orozco added that as President Frank mentioned, the University has a strategy to reach and better serve non-traditional students as well as increase graduation rates for traditional students by providing even more online flexibility in course loads. Increasing enrollments and revenue are also desirable and one way to do that is to target the non-traditional student who wants to take fully online courses. In order to do that, an increased capacity and competitive pricing are key. The competition in an online market is global. By redistribution of the fee will help improve UNM's competitive edge.

Regent Koch asked Provost Abdallah to discuss enrollment of non-traditional students at the University. Provost said freshmen enrollment this Fall was slightly up however enrollment of non-traditional students was down, and it will probably continue to fall. Non-traditional students are more affected by economic factors, when the economy improves, non-traditional students go back into the workplace. Due to declining enrollments overall, UNM needs to look for new markets. Regent Fortner inquired the revenue difference that will be seen if the \$5.27 to \$5.48 mandatory student fee per credit hour proposal were implemented. Administration response was that it would be a break-even model. Provost added that with the \$100 per 3-hour online course, UNM cannot be competitive in outside markets. There was discussion about competing with ASU and Colorado State for online course enrollment.

Student Regent Berryman added that most students may not know that they do not already pay for the Learn environment, but if the proposal is for all students to pay for the service, Student Regent Berryman requested a breakdown of what the fee revenues from an increased mandatory student fee would fund and how students on Main Campus would be affected. Also, what kind of infrastructure, unrelated to on campus students, needs to be funded. Student Regent added he is not necessarily in agreement to do away with an online course fee altogether, but recommended looking at a reduction from the current \$33.33 per credit hour.

Regent Koch added that if this learning environment system were automatically available to all students, it has the potential to promote 4-year graduation rates by allowing any student to utilize online classes when necessary. President Frank reiterated looking to the future when the concept of 'online' will not be

a unique option, but will be part of every students' education experience. The tools of the Blackboard learning environment will be utilized in various degrees by all faculty.

Regent Lee inquired if the University offers a degree that can be obtained fully online. Dr. Orozco responded there are several degree programs that have been modified to allow for fully online classes; no programs have yet originated as fully online. Regent Lee also requested a demographic breakdown of the students who would be utilizing online courses, including how the Branch Campuses are using the system. Regent Lee commented regarding the Socratic Method with its emphasis on interaction, and there will be a paradigm shift in the future to address how this can be accomplished in an online or virtual environment. There was discussion about flipped classes and hybrid classes that utilize a combination of in-class discussion and online lecture.

Regent Koch emphasized this item came forward as information only at this time so that the student leaders can be aware of it and ask questions and allow time for discussions before it comes back to the Regents.

Fiscal Watch Report and Monthly Financial Report for Main Campus (Information Item)

Regent Jamie Koch asked Liz Metzger to give her report. Ms. Metzger presented the consolidated financial report for month ending September 30. Ms. Metzger also presented the Fiscal Watch Report through September 30, 2015 that will be submitted to the HED. The Fiscal Watch Report contains the following information: a comparison of the approved annual operating and plant fund budgets with the current year-to-date information, a comparison of the most current year to prior-year information, a balance sheet and a statement of cash flow. The Regents voted to approve the Fiscal Watch Report.

The motion to approve the fiscal watch report for month ending September 30, 2015 passed by unanimous vote (1st Lee; 2nd Doughty).

Approval of Consent Agenda, items 2 and 3

Regent Koch read the items on the consent agenda and motioned approval.

2. Approval of Disposition of Surplus Property for Main Campus for October 2015
3. Approval of STC Board of Directors: Appointment of new member Kimberly C. Sawyer

The motion to approve the consent items as stated passed by a unanimous vote (1st Koch; 2nd Lee).

Approval of STC.UNM's Annual Report

Lisa Kuuttila presented the report and referred to several PowerPoint slides that were included in the agenda eBook.

The motion to approve the STC.UNM Annual report passed unanimously (1st Koch; 2nd Berryman).

Approval of Build-to-Lease for UNM Baseball Clubhouse

Tom Neale and Paul Krebs presented the item. This item involves Lobo Development Corporation facilitating the design, construction, and lease of a baseball clubhouse for the University of New Mexico Athletics Department. The proposed clubhouse will contain 5,764 square feet and will be located along the south side of the existing baseball diamond and will include a locker room, player's lounge, training and hydrotherapy rooms, toilets and showers, coaches' offices, and a second floor deck overlooking the playing field. The site will contain 1.56 acres with 95 parking spaces. The proposed transaction structure is a ten (10) year ground lease from the Regents of the University of New Mexico (UNM) to Lobo Development Corporation (LDC) for the land necessary to support the project and related parking area. Lobo Development Corporation will enter into a sub-ground lease with Mexico Nuevo Contractador, LLC, (MNC) a New Mexico limited liability company (MNC). Mr. Neale outlined the details of the sub-ground lease, the projected budget and economics, and building rent terms. Total project cost is \$2,160,632, but pledged and secured donations reduce the total project cost to an estimated \$1,143,352. The total project cost will increase or decrease based upon the actual amount of donations secured. The build-to-lease

clubhouse received approval in the Lobo Development Corporation November 17 meeting and at the F&F November 18 meeting. There was discussion.

The motion to approve the build-to-lease for UNM Baseball Clubhouse passed unanimously (1st Koch; 2nd Doughty).

- Student Regent Berryman left the meeting at 10:25 am. for work-related reasons.

UNMH, CDD, Project Search Program (information item)

Tanya Baker-McCue, Phil Pelleriti, and Erica Brookes presented the item. Ms. McCue thanked the Board of Regents for approving the initial seed funds and UNM Hospital for providing the initial resources and support to the UNM Center for Development and Disability (CDD). The program is in its second year at UNM Hospital. All of the first year cohort of nine (9) interns obtained jobs after graduating from the program. This is now the second year of the Project SEARCH Program at the University of New Mexico Hospital, and eleven departments at the hospital have welcomed the ten (10) new interns. Project SEARCH is a national model with almost 400 replications of the model globally. Goals of the program are to, 1) teach interns transferable, marketable, employability skills; 2) encourage interns to participate in all facets of business culture; 3) provide the opportunity to work in high status organizations; 4) encourage interns to develop independence in areas such as transportation and daily living skills; and 5) gain competitive employment. Ms. McCue reported that the program has been so successful that it is going to be replicated in three (3) other locations within the State – at Gallup, Rio Rancho, and most likely Los Cruces. With the help of the Department of Vocational Rehabilitation, and after UNM obtains its certification license, the UNM team will be able to replicate the program in those areas. Ms. McCue said that the University is getting a lot of positive attention due to the success of the program here. Regent Fortner applauded Regent Koch for spearheading the adoption of the program at UNM Hospital. Regent Koch said that the program has been very well executed.

UNM FY16 Main Campus Budget Overview and FY17 Base Budget (information item)

Andrew Cullen presented the item and referred to materials provided in the agenda eBook. There was discussion. Mr. Cullen said he would come back to the Regents at the December meeting with information that includes other units, such as research, athletics and auxiliary units.

Discussion of Merit Based Faculty Compensation (information item)

Provost Chaouki Abdallah and Stephen Posse, Faculty Senate President, presented the item. Provost clarified that, under Faculty Handbook policy, evaluations are performed every year, and at the department level, if there are any funds available, some part of compensation is allocated based on performance. In principle, there is something in place for merit based compensation, but a more specific model as requested by Regent Koch is being worked on. Dr. Posse emphasized that configuration of any model is critical. He stressed that this is not an easy task, but the goal is to have consensus among the faculty. On an individual basis, there is consensus among the faculty. At the aggregate level, there is not. Dr. Posse put forth addressing budget components and identifying value that is related to market driven responses and putting funds into the winning solutions. There was discussion about successful mentoring programs and peer mentoring that has been funded by external sources. Dr. Posse suggested that if resources were available, integrating pockets of successful programs like mentoring would be valuable.

Discussion of Outsourcing Opportunities in Higher Education (information item)

David Harris briefly presented the item and referred to the memo that was made available in the agenda eBook. There will be discussions and administration will identify potential opportunities for outsourcing. Regent Hosmer requested that administration also come back with areas that should not be outsourced.

Preliminary Healthcare Premiums, Projected for FY17 (information item)

Dorothy Anderson and Eric Weinstein presented the item and referred to the table provided in the agenda eBook. The preliminary projection for FY17 medical plan premiums is an 11% increase. The pre-65 retirees will see an estimated 22% increase because the cost share to the covered individual versus UNM's share will increase by 5% in FY17. There was discussion about the number of retirees who have

been rehired by the University. Regent Doughty stressed the need to look for options in order to mitigate the projected 11% increase in premiums. There was discussion about national trends, effects of wellness programs, and the excise tax that will take effect around 2025. Mr. Weinstein mentioned that premium increases will need to be communicated to employees by March 2016 so they can choose the preferred health plan option before the beginning of the fiscal year on July 1, 2016.

ACADEMIC / STUDENT AFFAIRS & RESEARCH COMMITTEE

Update from the Provost

Provost Chaouki Abdallah provided a report to the Board and highlighted several student achievements. The US Department of State Foreign Service awards 20 internships nationally per year. This year there were over 400 applicants and UNM placed two students; approximately 10% of those internships. The students who received the internships were Jennifer Zafra, a Junior majoring in International Studies and French, and Gabrielle Gallegos, a Sophomore majoring in Political Science and Strategic Communication. This shows that our students are competing at the highest possible levels. Katrina Pacheco, a BA/MD student, is a Rhodes Scholar finalist and completing final interviews in Salt Lake City, UT. It is an extreme honor for a student to be a finalist. The University has had a few Rhodes Scholars over the years and they go on to do great things. The Design Build Institute of America is the only organization that defines, teaches, and promotes the best practices in design build and announced three finalists for the 2015 National Design Build Student Competition; a team from UNM was one of the finalists. On November 3, UNM was announced as the winner of the competition and a celebration will take place at the School of Architecture + Planning (SA+P). This is an important milestones and illustrates collaboration between the School of Engineering and SA+P, which is great for the University. Provost Abdallah highlighted several faculty achievements. Craig White has been serving as interim Dean for the Anderson School of Management, and after obtaining feedback from faculty and staff his interim title has been converted to Dean and extended out three years. Dean Joe Cecchi, School of Engineering, and Dean Mark Peceny, School of Arts and Sciences, will have their terms extended. Finally, Provost Abdallah stated that when we look at students' careers after they graduate they are doing remarkable things and obtaining great jobs, many in STEM areas. Predicting what certain majors will go on to do in the world is difficult, but they are successful.

Approval of Parent Association Bylaws Revision

Daniel Perea, President of the Parent Association, presented the item. The revised bylaws are much more simplified and have a better flow. The Parent Association approved the bylaws at its September 17 meeting. University Counsel reviewed the revisions and had no edits to recommend.

The motion to approve the Parent Association Bylaws Revision passed by unanimous vote (1st Doughty; 2nd Hosmer).

Update on the Academic Plan (Information Item)

Provost Abdallah presented the item. The academic plan, started about 4 years ago has two goals, focus on student success and faculty strength. The challenges for student success are the demographics, their preparation, financial limitations, and the uncertain path within the system once they get in. To address that we focused on investing in student support services and looking at a comprehensive set of interventions, starting with before the student even gets to UNM. This is something that President Frank pushed for here even before he began as president. Included in the comprehensive set of interventions is support after students graduate, in the hopes they will stay in New Mexico. Innovate ABQ addresses this. The outcomes are graduation rates, but we are also measuring other things along the way. Regarding the goal of faculty strength, the challenges were, the number of faculty compared to number of students, compensation, and financial limitations. Long-term targeted hiring plans five years out replaced short-term plans. Outcomes of faculty strength are, graduation rates, number of degrees awarded, research funding, and national and international awards. Projected future numbers of high school graduates within the State looks to be flat. Enrollment could be effected if more students graduate from high school or if more of those who graduate decide to go to college. Provost discussed the various internal and external interventions that target student success. The first year was totally redesigned and advisors were restructured. There is currently a 350 to 1 advisor to student ratio, an improvement to the former 800 to

1 ratio that occurred in some parts of campus. Curriculums were reviewed and reduced to 120 hours if possible. Provost discussed average in-state tuition and fees by state; New Mexico's in-state tuition and fees are the third lowest in the nation. Wyoming and Montana are lower. Provost discussed average debt per graduate by state. New Mexico graduates have the second to lowest average debt in the nation of about \$19K, about the same as Utah. The highest average debt per graduate found in Delaware at about \$33.8K. The most important thing is for a student to graduate in order to be able to pay off any debt, so graduating students should be a focus. Provost discussed costs versus benefits and improved graduation rates. The 4-year graduation rate is expected to continue to go up. Cost per degree is also going down as more degrees are awarded. Provost discussed the amount of grant aid that is awarded versus expected family contribution levels and how those levels compare to required tuition and fee payments. In general, the lower the expected family contribution, the higher the average grant aid, and for some students the amount of grant aid they receive is over their tuition and fees bill, so they can use that for books and other living expenses. Referring to faculty, in 2014, there were 992 faculty. That's about right for a university the size of UNM. The ratios of undergraduates per tenure-track professor is about 24; we would like to have that at 20 to keep the quality of the classroom. The ratio of undergraduate per total faculty is 20, which is a good number. In conclusion, using one number to rank a university is like a doctor using weight to compare various people without regard to their age, height, sex, family history, health, and genetics. There are tens of rankings, and UNM ranks well on some, not so well on others. As examples, UNM ranked 65th best university for veterans, 10th in clinical law, 3rd in rural medicine, and 5th in photography. UNM ranked 204th best global university, out of thousands where 750 were ranked and UNM placed ahead of University of Georgia, NC State and Northeastern.

Global and National Security Policy Institute (Information Item)

Dr. Emile Nakhleh presented the item and referred to material supplied in the agenda eBook. There is a link between national and global security. Global and national security, broadly defined, in a rapidly changing world with interconnected economies and technologies is a fundamental concern in the 21st Century and requires an interdisciplinary model. UNM has a rich array of programs across the board related to national security, broadly defined, in the Health Sciences, Anderson School, Law School, etc. and this recommendation promotes collaboration between these programs. UNM also has collaborative research with the DOE national laboratories. UNM is in a strong position to launch a coordinated educational and research national security program to train future leaders and enhance graduates' competitive edge in the market place. The recommendation will be to establish a UNM university-wide, sustainable, interdisciplinary Global and National Security Policy Institute (GNSPI). This will enhance UNM standing across the country in National Security, help UNM compete for funding, and institutionalize collaboration with the national labs, national security industry, and other academic institutions. The recommendation includes an MA in Global and National Security, which can be offered to non-traditional students, taught in modules primarily targeted at students from the workforce. The recommendation also includes an interdisciplinary undergraduate National Security Certificate, which is already in place at UNM, as well as a graduate National Security Certificate, which is in preliminary stages. Dr. Nakhleh discussed the positive responses received from the State's scientific community and the other major universities that have National Security Program, such as Harvard, MIT, Princeton, Stanford, UCLA and others. Projected revenues, expenses, and enrollment were presented.

HEALTH SCIENCE CENTER BOARD OF DIRECTORS

Approval of UNM Hospitals Contract with Cerner (Software for Population Health Management)

Regent Dougherty presented the item and asked for a motion to approve.

The motion to approve the UNM Hospitals Contract with Cerner passed by unanimous vote (1st Lee; 2nd Hosmer).

Capital Project Approval: UNMH, Eubank, Women's Health Clinic

Regent Dougherty presented the item and asked for a motion to approve.

The motion to approve the capital project, UNMH, Eubank Women's Health Clinic, passed by unanimous vote (1st Lee; 2nd Hosmer).

Chancellor's Report

Regent Doughty asked Chancellor Roth to give his report. The College of Pharmacy celebrated its 70th anniversary. Dr. Arthur Kaufman was elected into the National Academy of Medicine, the most prestigious honor that can be bestowed on any physician in the country. Dr. Phil Eaton was awarded the Living Legend award bestowed by the UNM School of Medicine. Dr. Eaton is emeritus vice president for the UNM Health Sciences Center. His leadership and vision helped elevate the institution to the forefront of cutting-edge medicine. Dr. Roth gave a brief update on the Master Facility Plan and the proposed timeline for the UNM replacement hospital approval process. Regent Quillen asked if the Regents would hear of the outcomes of the workgroups assigned to address aspects of the master facility plan and proposed replacement hospital before this comes to a vote. Dr. Roth mentioned the three workgroups were to look at, 1) financing of the facility, 2) potential locations for the facility, and 3) the data and analysis that went into determining the sizing of the facility. Those workgroups have met. The meetings involved people from the Health Sciences side and people from various state departments. Follow-up meetings are scheduled for early December. Regent Quillen asked if the Regents will get updates from those workgroups before Regents vote on this. Dr. Roth agreed. Regent Hosmer inquired when the Regents will hear of the outcomes of those follow-up meetings. Depending upon meeting dates, the time is estimated to be December, before the holidays or shortly thereafter.

HSC Financial Update (information item)

Ava Lovell presented the item and referred to the graphs and materials provided in the agenda eBook. Medicaid is covering about 33% of the cost of the Medicaid patients. Regent Quillen inquired if the HSC is getting hit with RAC (Recovery Audit Contractor) audits as they did last year. Ms. Lovell said there has not been a RAC audit in over 8 to 10 months.

UNM Health Sciences Center Strategic Plan Update (information item)

Richard Larson presented the item, a quarterly report on the HSC strategic plan. This report depicts the first quarter of the fiscal year. The HSC has six overall strategic goals: 1) improve public health and health care to those we serve, 2) build the workforce of New Mexico by providing a premier education, 3) translate our research and discoveries into clinical or educational practice, 4) provide the environment to enable our people and programs to do their best, 5) deliver high quality clinical care and service while being accessible to all New Mexicans, and 6) nurture and embrace an environment of diversity, integrity and transparency. The overall UNM Health Sciences Center Strategic Plan encompasses the strategic plans of the HSC Academic Units and the HSC Health Systems. Goals are the permanent aspirations; strategies have 3-5 year objectives; and tactics have a 1-year objective. Metrics are identified and utilized to measure outcomes. There was discussion about six 1Q metrics that were completed or surpassed, included in those: the increase in telehealth community engagement events and the approval for the College of Population Health. Dr. Larson handed out to the Regents the, New Mexico HealthCare Workforce Committee 2015 annual report.

AUDIT AND COMPLIANCE COMMITTEE

Meeting Summary, November 5, 2015 (information item)

Regent Hosmer briefly outlined the meeting summary.

COMMENTS FROM REGENTS' ADVISORS

Parent Association – Daniel Perea, Parent Association President, provided a report to the Board. The goal of the Parent Association is to align with UNM and help students. In their mission to provide excellence to UNM's students, the annual Golf Tournament was held and raised \$7,500 toward scholarships. The Parent Association is setting aside money for a crisis fund. Family weekend was held in November and received positive feedback. Parent Day is scheduled for February 13, 2016 and will be held at the Pit. The Parent Association has begun reaching out to High School PTAs and Booster Clubs to discuss UNM's value and benefits. They will meet with NM PTA in order to reach out to high schools throughout NM and the various Deans of Students. Another goal is to get parents more involved this year with the Parent Association. Mr. Perea handed out the 2015 Holiday Ornaments to the Board. They are available for sale at the UNM Bookstore.

Retiree Association – Carol Stephens, Retiree Association President, provided a report to the Board. The Retiree Association is working to increase engagement with Retirees on the various committees and activities. The Healthcare workshop was successful, and they are building wellness into activity and programing. The Association will be active during the upcoming Legislative Session. Ms. Stephens noted that with the potential 11% increase in healthcare premiums, there is a 22% increase in healthcare premiums to the pre-65 retirees.

Faculty Senate – Stefan Posse, Faculty Senate President, provided a report to the Board. The Faculty Senate are privileged to work on budget initiatives for the budget development process. The benefit situation is an area for concern as the package is inadequate and there are concerns on the cost level as it hits differently on the lower end of pay scale. There is uneven risk distribution at UNM with the three-tier system and budget pressures require reconsideration. If we stay together in this enterprise we can all be successful.

Staff Council – Crystal Davis, Staff Council President, provided a report to the Board. Ms. Davis has had several conversations with staff members about the future of their employment at UNM. During her meetings with Leadership, they discuss ways to make life better at UNM for all. There is uncertainty of value of staff to the University. Over the past five years, staff has only received one Cost of Living Increase (COLA) and it was not equal to the amount that faculty received. The University is looking at leaving 100 positions unfilled due to University deficit, but it is important to keep the quality of the product. There is a decrease in morale for staff. The money from vacant positions should be used to fairly compensate staff members that are taking on more responsibilities and workloads due to vacant positions not being filled.

GPSA – Texanna Martin, GPSA President, provided a report to the Board. ASUNM and GPSA is holding a fundraiser to raise money to purchase turkeys to pass out to undergraduate and graduate students for the Thanksgiving holiday. President Frank, Regent Fortner and Regent Doughty all donated money towards the cause.

ASUNM – Jenna Hagengruber, ASUNM President, was unable to stay the duration of the meeting but her written report was included in the BOR eBook; Ms. Hagengruber was on her way to Las Cruces to attend a meeting with undergraduate student body presidents in New Mexico to discuss the future of the Lottery Scholarship.

PUBLIC COMMENT (No comments)

VOTE TO CLOSE THE MEETING AND PROCEED IN EXECUTIVE SESSION

The motion to close the meeting and proceed in Executive Session passed by unanimous vote (1st Lee; 2nd Doughty). The meeting closed at 1:25 pm.

Executive Session-Conference Room 141, Scholes Hall

1. Discussion and determination where appropriate of threatened or pending litigation pursuant to Section 10-15-1.H(7), NMSA (1978)
2. Discussion and determination where appropriate of the purchase, acquisition or disposal of real property pursuant to Section 10-15-1.H(8), NMSA (1978)
3. Discussion of purchases that can be made from only one source or competitive sealed proposals under the Procurement Code, pursuant to Section 10-15-1.H(6), NMSA (1978)

VOTE TO RE-OPEN THE MEETING

The motion to re-open the meeting passed by unanimous vote (1st Hosmer; 2nd Doughty).

The meeting opened at 2:19 pm.

There was certification that only those matters described in the executive session agenda were discussed in closed session and two items required final action in open session.

The motion to adopt the Letter of Intent (LOI) for the UNM Sports and Entertainment District passed unanimously (1st Doughty; 2nd Koch)

The motion to approve the sale of the Los Alamos 9th Street Apartments passed unanimously (1st Lee; 2nd Doughty).

VOTE TO ADJOURN

The motion to adjourn passed unanimously (1st Lee; 2nd Doughty).

The meeting adjourned at 2:22 pm.

Approved:

Attest:

Jack L. Fortner, President

Bradley C. Hosmer, Secretary/Treasurer

2015 Regents' Meritorious Service Award

announcement of recipients and presentation of awards

President's Administrative Report

will be presented at the meeting



THE UNIVERSITY of
NEW MEXICO

University Controller
Financial Services, Main Campus
Phone: (505) 277-5111
FAX: (505) 277-7662

MEMORANDUM

DATE: November 23, 2015

TO: David W. Harris
Executive Vice President

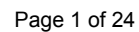
FROM: Elizabeth Metzger, CPA
University Controller *lfm*

RE: Information Item for Board of Regents' Finance & Facilities Committee Meeting

The Monthly Consolidated Financial Report for the month ended October 31, 2015 will be presented at the *December 10, 2015* Finance and Facilities meeting. In order to present the most-timely financial information at this meeting, Financial Reports will be emailed to the committee members no less than 48 hours in advance of the meeting. Handouts will be available the day of the meeting.

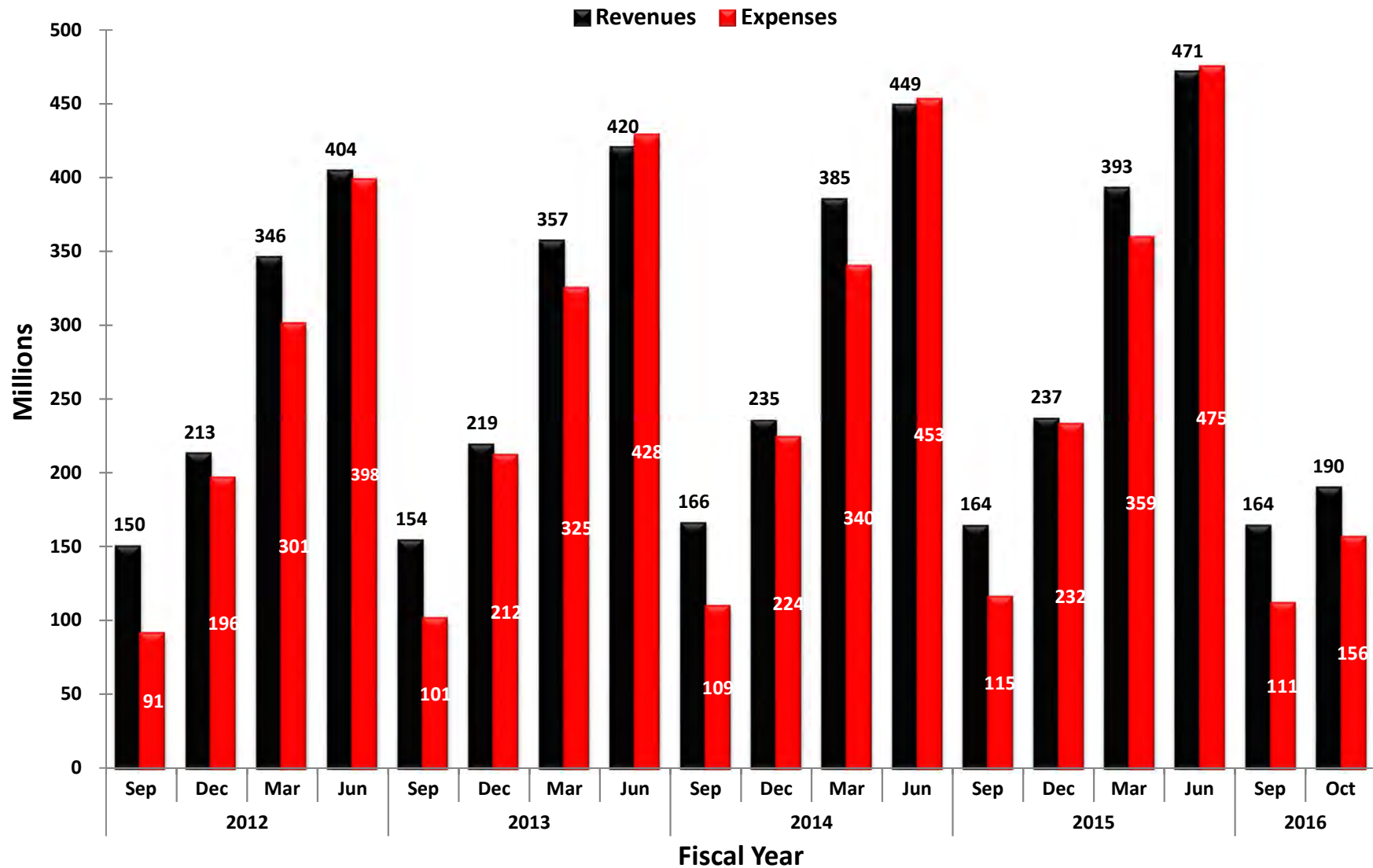


■ **CONSOLIDATED** ■ **MAIN** ■ **BRANCHES** ■ **HSC**





YTD I&G Consolidated Revenues / Expenses (5 Year) Fiscal Year to Date as of October 31, 2015



Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the four month ended October 31, 2015
Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2016 Full Year Operating Budget	FY 2016 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 33%	FY 2015 Year-to-Date Actual	FY 2016 YTD Actual Change From FY 2015 YTD Actual
Instruction and General						
Tuition and Fees Revenues						
Main Campus	157,063,135	79,938,857	(77,124,278)	51%	77,265,982	2,672,875
Branch Campuses	7,553,002	4,073,073	(3,479,929)	54%	3,826,139	246,934
HSC Campus	15,614,629	7,558,630	(8,055,999)	48%	7,819,713	(261,083)
Total Tuition and Fees Revenues	180,230,766	91,570,560	(88,660,206)	51%	88,911,834	2,658,726
State/Local Appropriations	285,598,700	95,199,677	(190,399,023)	33%	94,394,496	805,181
F & A Revenues	45,000,000	14,430,298	(30,569,702)	32%	14,513,128	(82,830)
Transfers	(53,519,793)	(22,296,910)	31,222,883	42%	(18,535,181)	(3,761,729)
Other Revenues	27,619,295	11,055,726	(16,563,569)	40%	8,939,546	2,116,180
Total Instruction and General Revenues	484,928,968	189,959,351	(294,969,617)	39%	188,223,823	1,735,528
Salaries	297,302,894	95,073,596	202,229,298	32%	92,153,793	(2,919,803)
Benefits	98,759,007	32,406,502	66,352,505	33%	32,883,384	476,882
Other Expenses	99,473,318	28,525,463	70,947,855	29%	30,955,686	2,430,223
Total Instruction and General Expenses	495,535,219	156,005,561	339,529,658	31%	155,992,863	(12,698)
Net Instruction and General Revenue/(Expense)	(10,606,251)	33,953,790	44,560,041		32,230,960	1,722,830
Research						
State/Local Appropriations	11,724,371	3,961,690	(7,762,681)	34%	3,957,550	4,140
Transfers	30,901,268	6,346,883	(24,554,385)	21%	5,941,995	404,888
Other Revenues	1,941,008	980,810	(960,198)	51%	1,504,716	(523,906)
Total Research Revenues	44,566,647	11,289,383	(33,277,264)	25%	11,404,261	(114,878)
Salaries and Benefits	27,988,312	8,621,702	19,366,610	31%	8,313,257	(308,445)
Other Expenses	21,446,412	6,059,646	15,386,766	28%	5,306,131	(753,515)
Total Research Expenses	49,434,724	14,681,348	34,753,376	30%	13,619,388	(1,061,960)
Net Research Revenue/(Expense)	(4,868,077)	(3,391,965)	1,476,112		(2,215,127)	(1,176,838)
Public Service						
State/Local Appropriations	4,083,250	1,361,085	(2,722,165)	33%	1,530,283	(169,198)
Sales and Services Revenues	18,838,530	7,317,320	(11,521,210)	39%	5,038,257	2,279,063
Gifts	8,932,054	2,867,241	(6,064,813)	32%	2,740,006	127,235
Transfers	5,028,654	2,146,048	(2,882,606)	43%	1,773,992	372,056
Other Revenues	5,645,622	1,621,359	(4,024,263)	29%	1,892,988	(271,629)
Total Public Service Revenues	42,528,110	15,313,053	(27,215,057)	36%	12,975,526	2,337,527
Salaries and Benefits	23,144,606	6,893,380	16,251,226	30%	7,470,549	577,169
Other Expenses	24,499,966	7,333,435	17,166,531	30%	5,562,678	(1,770,757)
Total Public Service Expenses	47,644,572	14,226,815	33,417,757	30%	13,033,227	(1,193,588)
Net Public Service Revenue/(Expense)	(5,116,462)	1,086,238	6,202,700		(57,701)	1,143,939

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the four month ended October 31, 2015
Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2016 Full Year Operating Budget	FY 2016 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 33%	FY 2015 Year-to-Date Actual	FY 2016 YTD Actual Change From FY 2015 YTD Actual
Student Aid						
Gifts	5,688,885	1,814,626	(3,874,259)	32%	1,972,071	(157,445)
State Lottery Scholarship	35,000,000	17,500,000	(17,500,000)	50%	18,742,974	(1,242,974)
Transfers	18,774,229	5,630,005	(13,144,224)	30%	5,457,932	172,073
Other Revenues	995,641	321,185	(674,456)	32%	394,933	(73,748)
Total Student Aid Revenues	60,458,755	25,265,816	(35,192,939)	42%	26,567,910	(1,302,094)
Salaries and Benefits	4,769,561	1,460,321	3,309,240	31%	1,538,207	77,886
Other Expenses	64,406,293	28,482,736	35,923,557	44%	28,107,945	(374,791)
Total Student Aid Expenses	69,175,854	29,943,057	39,232,797	43%	29,646,152	(296,905)
Net Student Aid Revenue/(Expense)	(8,717,099)	(4,677,241)	4,039,858		(3,078,242)	(1,598,999)
Student Social & Cultural Programs						
Fee Revenues	8,373,771	3,921,033	(4,452,738)	47%	4,054,202	(133,169)
Sales and Services Revenues	1,533,630	578,416	(955,214)	38%	543,915	34,501
Transfers	594,632	123,655	(470,977)	21%	421,085	(297,430)
Other Revenues	170,264	69,413	(100,851)	41%	85,470	(16,057)
Total Student Social & Cultural Programs Revenues	10,672,297	4,692,517	(5,979,780)	44%	5,104,672	(412,155)
Salaries and Benefits	6,177,002	2,041,527	4,135,475	33%	1,617,842	(423,685)
Other Expenses	4,767,709	1,646,346	3,121,363	35%	1,820,534	174,188
Total Student Social & Cultural Programs Expenses	10,944,711	3,687,873	7,256,838	34%	3,438,376	(249,497)
Net Student Social & Cultural Programs Revenue/(Expense)	(272,414)	1,004,644	1,277,058		1,666,296	(661,652)
Auxiliaries and Athletics						
Branch Campuses Auxiliary Revenues	2,635,955	909,713	(1,726,242)	35%	1,025,038	(115,325)
Main Campus Auxiliaries Revenues	52,022,638	23,393,243	(28,629,395)	45%	26,432,438	(3,039,195)
Athletics Revenues	35,042,424	10,136,576	(24,905,848)	29%	10,053,847	82,729
Total Auxiliaries and Athletics Revenues	89,701,017	34,439,532	(55,261,485)	38%	37,511,323	(3,071,791)
Branch Campuses Auxiliary Expenses	2,758,149	1,014,404	1,743,745	37%	1,062,298	47,894
Main Campus Auxiliaries Expenses	52,574,144	17,956,456	34,617,688	34%	20,497,789	2,541,333
Athletics Expenses	35,071,674	12,413,327	22,658,347	35%	12,787,212	373,885
Total Auxiliaries and Athletics Expenses	90,403,967	31,384,187	59,019,780	35%	34,347,299	2,963,112
Net Auxiliaries and Athletics Revenue/(Expense)	(702,950)	3,055,345	3,758,295		3,164,024	(108,679)

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the four month ended October 31, 2015
Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2016 Full Year Operating Budget	FY 2016 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 33%	FY 2015 Year-to-Date Actual	FY 2016 YTD Actual Change From FY 2015 YTD Actual
Sponsored Programs						
Federal Grants and Contracts Revenues	248,435,860	89,853,138	(158,582,722)	36%	88,663,208	1,189,930
State and Local Grants and Contracts Revenues	31,171,793	10,446,603	(20,725,190)	34%	9,172,894	1,273,709
Non-Governmental Grants and Contracts Revenues	33,595,771	11,240,713	(22,355,058)	33%	14,193,757	(2,953,044)
Gifts	-	-	-	N/A	67,183	(67,183)
Transfers	4,845,780	1,661,583	(3,184,197)	34%	2,213,496	(551,913)
Other Revenues	-	(430,449)	(430,449)	N/A	(16,484)	(413,965)
Total Sponsored Programs Revenues	318,049,204	112,771,588	(205,277,616)	35%	114,294,054	(1,522,466)
Salaries and Benefits	144,030,709	46,610,390	97,420,319	32%	44,769,528	(1,840,862)
Other Expenses	174,018,495	66,161,198	107,857,297	38%	69,524,526	3,363,328
Total Sponsored Programs Expenses	318,049,204	112,771,588	205,277,616	35%	114,294,054	1,522,466
Net Sponsored Programs Revenue/(Expense)	-	-	-		-	-
Clinical Operations						
State/Local Appropriations	27,559,400	9,353,131	(18,206,269)	34%	8,734,801	618,330
Physician Professional Fee Revenues	130,642,341	40,428,439	(90,213,902)	31%	41,004,925	(576,486)
Hospital Facility Revenues	892,825,169	300,601,246	(592,223,923)	34%	259,015,293	41,585,953
Other Patient Revenues, net of Allowance	143,856,372	44,898,302	(98,958,070)	31%	39,625,599	5,272,703
Mil Levy	93,616,856	31,072,036	(62,544,820)	33%	30,926,681	145,355
Investment Income	1,454,334	1,359,352	(94,982)	93%	4,134,697	(2,775,345)
Gifts	869,905	1,239,057	369,152	142%	1,606,730	(367,673)
Housestaff Revenues	35,162,474	12,597,897	(22,564,577)	36%	11,200,767	1,397,130
Other Revenues	26,790,511	7,665,441	(19,125,070)	29%	5,687,065	1,978,376
Total Clinical Operations Revenues	1,352,777,362	449,214,901	(903,562,461)	33%	401,936,558	47,278,343
Salaries and Benefits	715,017,193	230,681,694	484,335,499	32%	220,887,448	(9,794,246)
Interest Expense	3,869,811	1,289,938	2,579,873	33%	2,692,374	1,402,436
Housestaff Expenses	34,900,534	12,249,158	22,651,376	35%	11,334,931	(914,227)
Other Expenses	596,488,529	204,564,049	391,924,480	34%	167,216,052	(37,347,997)
Total Clinical Operations Expenses	1,350,276,067	448,784,839	901,491,228	33%	402,130,805	(46,654,034)
Net Clinical Operations Revenue/(Expense)	2,501,295	430,062	(2,071,233)		(194,247)	624,309
Contingencies						
Total Contingency Revenues	(3,099,103)	-	3,099,103	0%	-	-
Total Contingency Expenses	(3,227,340)	-	3,227,340	0%	-	-
Net Contingencies Revenue/(Expense)	128,237	-	(128,237)		-	-
Net Current Revenue/(Expense)	(27,653,721)	31,460,873	59,114,594		31,515,963	(55,090)

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the four month ended October 31, 2015
Preliminary and Unaudited

University of New Mexico - Main Campus Athletics & Auxiliary Operations

	FY 2016 Full Year Operating Budget	FY 2016 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 33%	FY 2015 Year-to-Date Actual	FY 2016 YTD Actual Change From FY 2015 YTD Actual
Results of Athletics Operations:						
Athletics Revenues	38,443,436	11,247,046	(27,196,390)	29%	11,282,784	(35,738)
Athletics Transfers	(3,401,012)	(1,110,470)	2,290,542	33%	(1,228,937)	118,467
Total Athletics Revenues	35,042,424	10,136,576	(24,905,848)	29%	10,053,847	82,729
Athletics Expenses						
Salaries and Benefits	14,271,253	4,880,463	9,390,790	34%	5,110,167	229,704
Grant-in-Aid	4,283,719	2,289,757	1,993,962	53%	2,047,146	(242,611)
Other Expenses	16,516,702	5,243,107	11,273,595	32%	5,629,899	386,792
Total Athletics Expenses	35,071,674	12,413,327	22,658,347	35%	12,787,212	373,885
Total Net Athletics Revenue/(Expense)	(29,250)	(2,276,751)	(2,247,501)		(2,733,365)	456,614
Results of Auxiliary Operations:						
VP for Institutional Support Services						
Bookstore Revenues	14,654,739	6,533,958	(8,120,781)	45%	7,139,382	(605,424)
Bookstore Transfers	(398,500)	(116,667)	281,833	29%	(116,667)	-
Total Bookstore Revenues	14,256,239	6,417,291	(7,838,948)	45%	7,022,715	(605,424)
Total Bookstore Expenses	14,256,239	5,775,611	8,480,628	41%	6,338,713	563,102
Net Bookstore Revenue/(Expense)	-	641,680	641,680		684,002	(42,322)
Faculty & Staff Club Revenues	83,000	34,414	(48,586)	41%	34,479	(65)
Faculty & Staff Club Expenses	83,000	20,408	62,592	25%	21,317	909
Net Faculty & Staff Club Revenue/(Expense)	-	14,006	14,006		13,162	844
Food Service/Dining Revenues	2,705,776	849,024	(1,856,752)	31%	1,090,086	(241,062)
Food Service/Dining Transfers	(297,287)	(86,667)	210,620	29%	(16,667)	(70,000)
Total Food Service/Dining Revenues	2,408,489	762,357	(1,646,132)	32%	1,073,419	(311,062)
Total Food Service/Dining Expenses	2,408,489	541,203	1,867,286	22%	522,462	(18,741)
Net Food Service/Dining Revenue/(Expense)	-	221,154	221,154		550,957	(329,803)
Golf Courses Revenues	2,457,250	739,400	(1,717,850)	30%	754,323	(14,923)
Golf Courses Transfers	(39,252)	(13,083)	26,169	33%	(13,084)	1
Total Golf Courses Revenues	2,417,998	726,317	(1,691,681)	30%	741,239	(14,922)
Total Golf Courses Expenses	2,417,998	808,755	1,609,243	33%	793,229	(15,526)
Net Golf Courses Revenue/(Expense)	-	(82,438)	(82,438)		(51,990)	(30,448)
Housing	10,468,800	5,700,974	(4,767,826)	54%	5,762,893	(61,919)
Housing Transfers	(2,508,937)	(1,061,413)	1,447,524	42%	(1,107,366)	45,953
Total Housing Revenues	7,959,863	4,639,561	(3,320,302)	58%	4,655,527	(15,966)
Total Housing Expense	7,959,863	2,672,491	5,287,372	34%	2,830,745	158,254
Net Housing Revenue/(Expense)	-	1,967,070	1,967,070		1,824,782	142,288
Other	1,093,164	(221,112)	(1,314,276)	-20%	(511,111)	289,999
Other Transfers	(1,578,520)	-	1,578,520	0%	-	-
Total Other Revenues	(485,356)	(221,112)	264,244	46%	(511,111)	289,999
Total Other Expense	66,150	-	66,150	0%	-	-
Net Other Revenue/(Expense)	(551,506)	(221,112)	330,394		(511,111)	289,999

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the four month ended October 31, 2015
Preliminary and Unaudited

University of New Mexico - Main Campus Athletics & Auxiliary Operations

	FY 2016 Full Year Operating Budget	FY 2016 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 33%	FY 2015 Year-to-Date Actual	FY 2016 YTD Actual Change From FY 2015 YTD Actual
Parking and Transportation Revenues	8,655,293	4,460,215	(4,195,078)	52%	4,889,310	(429,095)
Parking and Trans Transfers	(2,246,289)	(710,951)	1,535,338	32%	(726,691)	15,740
Total Parking and Trans Revenues	6,409,004	3,749,264	(2,659,740)	58%	4,162,619	(413,355)
Total Parking and Trans Expenses	6,409,004	2,033,446	4,375,558	32%	2,076,306	42,860
Net Parking and Trans Revenue/(Expense)	-	1,715,818	1,715,818		2,086,313	(370,495)
Popejoy Events Revenues	6,321,392	1,488,495	(4,832,897)	24%	3,479,610	(1,991,115)
Popejoy Events Transfers	-	-	-	N/A	143,233	(143,233)
Total Popejoy Events Revenues	6,321,392	1,488,495	(4,832,897)	24%	3,622,843	(2,134,348)
Total Popejoy Events Expenses	6,321,392	1,976,115	4,345,277	31%	3,708,421	1,732,306
Net Popejoy Events Revenue/(Expense)	-	(487,620)	(487,620)		(85,578)	(402,042)
Taos & Lawrence Ranch Revenues	49,404	38,267	(11,137)	77%	62,049	(23,782)
Taos & Lawrence Ranch Expenses	49,404	27,219	22,185	55%	18,629	(8,590)
Net Taos & Lawrence Ranch Revenue/(Expense)	-	11,048	11,048		43,420	(32,372)
Ticketing Services Revenues	1,077,131	351,279	(725,852)	33%	385,130	(33,851)
Ticketing Services Transfers	-	-	-	N/A	74,595	(74,595)
Total Ticketing Services Revenues	1,077,131	351,279	(725,852)	33%	459,725	(108,446)
Total Ticketing Services Expenses	1,077,131	332,770	744,361	31%	368,310	35,540
Net Ticketing Services Revenue/(Expense)	-	18,509	18,509		91,415	(72,906)
Total VP for Institutional Support Services Revenues	40,497,164	17,986,133	(22,511,031)	44%	21,323,504	(3,337,371)
Total VP for Institutional Support Services Expenses	41,048,670	14,188,018	26,860,652	35%	16,678,132	2,490,114
Net VP for Institutional Support Services Revenue/(Expense)	(551,506)	3,798,115	4,349,621		4,645,372	(847,257)
VP for Student Affairs						
Lobo Cash Revenues	91,540	47,259	(44,281)	52%	54,415	(7,156)
Lobo Cash Expenses	91,540	6,999	(84,541)	8%	90,844	83,845
Net Lobo Cash Revenue/(Expense)	-	40,260	40,260		(36,429)	76,689
Student Health Center Revenues	7,833,295	3,618,088	(4,215,207)	46%	3,434,843	183,245
Student Health Center Expenses	7,833,295	2,533,136	5,300,159	32%	2,566,301	33,165
Net Student Health Center Revenue/(Expense)	-	1,084,952	1,084,952		868,542	216,410
Student Union Revenues	3,270,139	1,640,938	(1,629,201)	50%	1,514,117	126,821
Student Union Expenses	3,270,139	1,128,507	2,141,632	35%	1,071,697	(56,810)
Net Student Union Revenue/(Expense)	-	512,431	512,431		442,420	70,011
Total VP for Student Affairs Revenues	11,194,974	5,306,285	(5,888,689)	47%	5,003,375	302,910
Total VP for Student Affairs Expenses	11,194,974	3,668,642	7,357,250	33%	3,728,842	60,200
Net VP for Student Affairs Revenue/(Expense)	-	1,637,643	1,637,643		1,274,533	363,110

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the four month ended October 31, 2015
Preliminary and Unaudited

University of New Mexico - Main Campus Athletics & Auxiliary Operations

	FY 2016 Full Year Operating Budget	FY 2016 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 33%	FY 2015 Year-to-Date Actual	FY 2016 YTD Actual Change From FY 2015 YTD Actual
Provost and Other Units						
Art Museum Revenues	5,500	1,234	(4,266)	22%	2,234	(1,000)
Art Museum Expenses	5,500	20	5,480	0%	131	111
Net Art Museum Revenue/(Expense)	-	1,214	1,214		2,103	(889)
CE Conference Ctr Revenues	225,000	89,700	(135,300)	40%	85,827	3,873
CE Conference Ctr Transfers	-	(33,100)	(33,100)	N/A	(25,530)	(7,570)
Total CE Conference Ctr Revenues	225,000	56,600	(168,400)	25%	60,297	(3,697)
Total CE Conference Ctr Expenses	225,000	71,064	153,936	32%	64,798	(6,266)
Net CE Conference Ctr Revenue/(Expense)	-	(14,464)	(14,464)		(4,501)	(9,963)
Maxwell Museum Revenues	40,000	12,165	(27,835)	30%	14,336	(2,171)
Maxwell Museum Expenses	40,000	3,741	36,259	9%	6,015	2,274
Net Maxwell Museum Revenue/(Expense)	-	8,424	8,424		8,321	103
Other Revenues	60,000	30,826	(29,174)	51%	28,692	2,134
Other Expenses	60,000	24,971	35,029	42%	19,871	(5,100)
Net Other Revenue/(Expense)	-	5,855	5,855		8,821	(2,966)
Total Provost and Other Units Revenues	330,500	100,825	(229,675)	31%	105,559	(4,734)
Total Provost and Other Units Expenses	330,500	99,796	230,704	30%	90,815	(8,981)
Net Provost and Other Units Revenue/(Expense)	-	1,029	1,029		14,744	(13,715)
Auxiliary Totals						
Total Auxiliary Revenues	52,022,638	23,393,243	(28,629,395)	45%	26,432,438	(3,039,195)
Total Auxiliary Expenses	52,574,144	17,956,456	34,617,688	34%	20,497,789	2,541,333
Net Auxiliary Revenue/(Expense)	(551,506)	5,436,787	5,988,293		5,934,649	(497,862)
Net Athletics Revenue/(Expense)	(29,250)	(2,276,751)	(2,247,501)		(2,733,365)	456,614
Net Auxiliary and Athletics Revenue/(Expense)	(580,756)	3,160,036	3,740,792		3,201,284	(41,248)
Net Branch Campuses Aux Revenue/(Expense)	(122,194)	(104,691)	17,503		(37,260)	(67,431)
Net All Auxiliary and Athletics Revenue/(Expense)	(702,950)	3,055,345	3,758,295		3,164,024	(108,679)

Executive Budget Summary

University of New Mexico Consolidated Financial Report

FY 2016 UNM Operating Budget

This report covers current fund operations for the University, including Main Campus, Branch Campuses and HSC Campus.

Instruction and General operations projects a use of reserves of \$10.6M for the FY 2016 UNM Operating Budget. The use of reserves of \$10.6M is comprised of \$8.4M use of reserves at the Main Campus, a \$2.4M use of reserves at the Branch Campuses, and a favorable net margin of \$172.3K at the HSC Campus. The \$8.4M use of reserves at Main Campus is primarily due to Provost Academic Affairs budgeting \$6.7M of reserves, and Executive VP for Administration budgeting \$1.4M use of reserves. Within Provost Academic Affairs, Provost Administrative Units, College of Arts and Sciences, and Extended University budgeted the largest use of reserves.

The next block of information shows our **Unrestricted Research** operations. The FY 2016 UNM Operating Budget shows a use of reserves of \$4.9M, of which \$4.5M use of reserves is related to Main Campus and a \$367.4K use of reserves is related to HSC Campus. The \$4.5M use of reserves at Main Campus is primarily due to Provost Academic Affairs' departments budgeting reserves. The departments that budgeted the largest use of reserves are, College of Arts and Sciences, College of Education, School of Engineering, and VP Research & Economic Development. At the HSC Campus, the \$367.4K use of reserves includes faculty start-up for the SOM and CTSC promotion and equipment & maintenance contracts for the VP for Research.

The third business category shown on the first page of this report is **Unrestricted Public Service**. The operations in this category include special projects funded by State Appropriations, for example Project ECHO, and non-endowed gifts flowing from the UNM Foundation to departments and many small events funded by user fees. The FY 2016 UNM Operating Budget shows a use of reserves of \$5.1M. These reserves are comprised of \$4.5M use of reserves at the Main and Branch Campuses and a use of reserves of \$621.8K at the HSC Campus. The \$4.5M use of reserves at the Main and Branch Campuses is primarily due to Provost Academic Affairs' departments budgeting reserves. The departments budgeting the largest use of reserves are: Provost Administrative Units, College of Fine Arts, College of Arts and Sciences, School of Engineering, University Libraries, and VP Research & Economic Development. The primary use of reserves at the HSC campus includes faculty salaries for the College of Nursing to compensate for Extended Learning and non-endowed (gifts) for UNM Cancer Center building & facilities

Page 2 of this report begins with the **Student Aid** function. The FY 2016 UNM Operating Budget projects a use of reserves of \$8.7M. These reserves are comprised of \$8.2M use of reserves at the Main and Branch Campuses and a use of reserves of \$514K at the HSC Campus. The budgeted use of reserves at Main Campus is primarily due to Provost Academic Affairs' departments budgeting reserves in endowed spending indices for the payout of major and departmental scholarships in the new fiscal year. Those departments include: VP Division of Enrollment Management, College of Fine Arts, College of Arts and Sciences, College of Education, and School of Law. The primary use of reserves at the HSC Campus includes student scholarships for the SOM and visiting faculty salaries for the College of Nursing.

Student Activities are the operations of Student Government and Student organizations. The FY 2016 UNM Operating Budget shows a use of reserves of \$272.4K.

Auxiliaries and Athletics

The FY 2016 UNM Operating Budget for Auxiliaries and Athletics projected a use of reserves of \$702.9K. These reserves are primarily due to a combination of Athletics budgeting a \$29.2K use of reserves, VP for Institutional Support Services budgeting a \$551.5K use of reserves, and the branch campuses budgeting a use of reserves of \$122.2K.

Sponsored programs operations are our contract and grant research and public service projects funded by outside agencies and companies. These operations will always record a zero net revenue over expense by the nature of their funding. The agency pays for a service or research project, and normally any unspent funds must be returned to the agency.

The next block of numbers on the third page is a summary of our **Clinical Operations**. These operations are essentially all the patient care activities of the HSC Campus, including the UNM Hospitals, SOM physician professional services, Cancer Center operations and Housestaff/Medical Residents who are being trained in the UNM and VA hospitals. The FY 2016 UNM Re-Forecasted Budget projected a favorable net margin of \$2.5M. UNM Hospitals budgeted a favorable net margin of \$463K. The School of Medicine budgeted a favorable net margin of \$2.0M which is primarily due to continued increases in Cancer Center volumes.

FY16 UNM Debt Service Schedule

As of October 31, 2015

*Includes Hospital Debt

UNM Bond Issue	Fixed or Variable Rate Issue	Original Issue Amount	Outstanding Principal Balance on June 30, 2015	Principal Payment due on June 1, 2016	Interest Payment paid on December 1, 2015	Interest Payment due on June 1, 2016	FY 2016 Principal & Interest
(1) GNMA Collateralized Taxable Hospital Revenue Bonds* Series 2015: Interest Range .484% to 3.532% Final Maturity Year 2032	Fixed Rate	\$115,000,000	\$115,000,000	\$6,035,000 (due 6/20/2016)	\$1,920,713 (due 12/20/2015)	\$1,600,594 (due 6/20/2016)	\$9,556,307
Sub Lien System Imp Revenue Bonds (19) Series 2014 A: Interest Range 3.0% to 5.0% Final Maturity Year 2033	Fixed Rate	\$10,980,000	\$9,715,000	\$1,240,000	\$204,125	\$204,125	\$1,648,250
Sub Lien System Rfdg Revenue Bonds (19) Series 2014 B: Interest Range 0.496% to 3.280% Final Maturity Year 2024	Fixed Rate	\$3,710,000	\$3,365,000	\$360,000	\$39,216	\$39,216	\$438,432
Sub Lien System Rfdg Revenue Bonds (19) Series 2014 C: Interest Range 1.5% to 5.0% Final Maturity Year 2035	Fixed Rate	\$100,085,000	\$97,615,000	\$2,140,000	\$2,440,375	\$2,440,375	\$7,020,750
Sub Lien System Imp Revenue Bonds (3) Series 2012: Interest Range 2.0% to 5.0% Final Maturity Year 2032	Fixed Rate	\$35,215,000	\$30,545,000	\$1,380,000	\$729,525	\$729,525	\$2,839,050
Sub Lien System Imp Revenue Bonds Series 2007 A&B: Interest Range 4.096% to 5.302% Final Maturity Year 2036	Fixed Rate	\$136,710,000	\$127,865,000	\$2,000,000	\$3,200,997	\$3,200,997	\$8,401,994
Sub Lien Sys Rfdg Revenue Bonds (2) Series 2002 B: Variable Rate Demand Bonds - rates reset weekly Weekly rate as of June 30, 2014 was 0.05% Final Maturity Year 2026	Variable Rate	\$25,475,000	\$19,060,000	\$1,610,000	\$364,999	\$364,999	\$2,339,998
Sub Lien System Rfdg Revenue Bonds (2) Series 2002 C: Variable Rate Demand Bonds - rates reset weekly Weekly rate as of June 30, 2014 was 0.05% Final Maturity Year 2030	Variable Rate	\$37,840,000	\$33,405,000	\$945,000	\$658,079	\$658,079	\$2,261,158
Sub Lien System Imp Revenue Bonds (2) Series 2001: Variable Rate Demand Bonds - rates reset weekly Weekly rate as of June 30, 2014 was 0.07% Ceiling of 12% Final Maturity Year 2026	Variable Rate	\$52,625,000	\$31,020,000	\$2,265,000	\$620,400	\$620,400	\$3,505,800
System Revenue Bonds Series 2000 B: Interest Range 5.50% to 6.35% Final Maturity Year 2019	Fixed Rate	\$6,621,671	\$1,332,234	\$410,532	\$0	\$704,468	\$1,115,000
System Revenue Rfdg Bonds Series 1992 A: Interest Range 6.0% to 6.25% Final Maturity Year 2021	Fixed Rate	\$36,790,000	\$13,670,000	\$2,070,000	\$410,000	\$410,000	\$2,890,000
Grand Total		\$561,051,671	\$482,592,234	\$20,455,532	\$10,588,429	\$10,972,778	\$42,016,739

Note: See attached matrix for funding sources.

(1) Source: UNM Hospital - UNM Hospital Principal payment is due on June 20; interest payments are due on December 20 and June 20.

(2) Variable Rate bonds reflect the actual synthetically fixed interest rate that UNM pays.

It is noted that all ranges of interest rates and final maturity dates are reflective of Serial bonds.

(3) Series 2012 bonds refunded 2002A bonds,

(4) Series 2014A bonds refunded 2003A, 2003B bonds,

(5) Series 2014B bonds refunded 2003C bonds,

(6) Series 2014C bonds refunded 2005A bonds,

FY16 UNM Debt Service - Source of Funds

As of October 31, 2015

	Series 2015 (UNMH Bond)	Series 2014A	Series 2014B	Series 2014C	Series 2012	Series 2017A&B	Series 2002B	Series 2002C	Series 2001	Series 2000B	Series 1992
Student Fees- Facility	X		X	X	X	X	X		X	X	
Student Fees - IT			X	X							
Parking Services			X	X	X						X
UNM Hospital	X	X				X					X
Bookstore		X									X
Housing & Dining Services		X		X			X		X		
Building R&R		X				X					X
Real Estate Department		X	X		X	X					
Physical Plant Department				X	X	X		X			
Information Technologies				X	X						
Athletics					X						
KNME											X
Opto Bldg (CHTM Res Park)						X					
CRTC						X					
Continuing Education						X					
Golf Course - North & South							X		X		
HSC				X	X						
Interest on Reserve Funds	X					X	X		X		

Statements of Revenues, Expenses and Changes in Net Assets - UNM Regents Format
For the four month period ended October 31, 2015
Preliminary and Unaudited

Detail of State/Local Appropriations
Consolidated - Total Operations Current Funds

	FY 2016 Full Year Operating Budget	FY 2016 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 33%
Instruction and General				
Instruction & General Appropriations	275,560,700	91,853,699	(183,707,001)	33%
State Special Project Appropriations	1,236,200	412,067	(824,133)	33%
Tobacco Settlement Appropriations	1,130,600	376,844	(753,756)	33%
Mill Levy	7,671,200	2,557,067	(5,114,133)	33%
Total Instruction and General Appropriations	<u>285,598,700</u>	<u>95,199,677</u>	<u>(190,399,023)</u>	<u>33%</u>
Research				
State Special Project Appropriations	6,845,350	2,281,784	(4,563,566)	33%
Tobacco Settlement Appropriations	979,800	326,600	(653,200)	33%
Cigarette Tax Appropriations	3,899,221	1,353,306	(2,545,915)	35%
Total Research Appropriations	<u>11,724,371</u>	<u>3,961,690</u>	<u>(7,762,681)</u>	<u>34%</u>
Public Service				
State Special Project Appropriations	4,083,250	1,361,085	(2,722,165)	33%
Total Public Service Appropriations	<u>4,083,250</u>	<u>1,361,085</u>	<u>(2,722,165)</u>	<u>33%</u>
Clinical Operations				
State Special Project Appropriations	26,707,700	9,069,231	(17,638,469)	34%
Tobacco Settlement Appropriations	851,700	283,900	(567,800)	33%
Total Clinical Operations Appropriations	<u>27,559,400</u>	<u>9,353,131</u>	<u>(18,206,269)</u>	<u>34%</u>

Statements of Revenues, Expenses and Changes in Net Assets - UNM Regents Format
For the four month period ended October 31, 2015
Preliminary and Unaudited

Detail of State/Local Appropriations
Main Campus - Total Operations Current Funds

	FY 2016 Full Year Operating Budget	FY 2016 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 33%
Instruction and General				
Instruction & General Appropriations	191,264,000	63,754,666	(127,509,334)	33%
State Special Project Appropriations				
African American Student Services	72,700	24,233	(48,467)	33%
Degree Mapping	75,000	25,000	(50,000)	33%
Disabled Student Services	191,900	63,967	(127,933)	33%
ENLACE	64,100	21,367	(42,733)	33%
Hispanic Student Center	158,100	52,700	(105,400)	33%
Minority Graduate Recruitment	118,600	39,533	(79,067)	33%
Native American Studies Intervention	356,400	118,800	(237,600)	33%
Pre-College Minority Student Math & Science	199,400	66,467	(132,933)	33%
Total State Special Project Appropriations	1,236,200	412,067	(824,133)	33%
Total Instruction and General Appropriations	192,500,200	64,166,733	(128,333,467)	33%
Research				
State Special Project Appropriations				
Center for Regional Studies (SW Research Ctr)	988,250	329,416	(658,834)	33%
Manufacturing Engineering	561,900	187,300	(374,600)	33%
Morrisey Hall	47,600	15,867	(31,733)	33%
Resource Geographic Information System	66,300	22,100	(44,200)	33%
Utton Transboundary Resource Center	346,300	115,433	(230,867)	33%
Total State Special Project Appropriations	2,010,350	670,116	(1,340,234)	33%
Total Research Appropriations	2,010,350	670,116	(1,340,234)	33%
Public Service				
State Special Project Appropriations				
Athlete Brain Safe Program	175,000	58,333	(116,667)	33%
Bureau of Business Research (Census)	384,700	128,233	(256,467)	33%
College Prep Mentoring/School of Law	120,800	40,267	(80,533)	33%
College Preparatory Mentoring	171,500	57,167	(114,333)	33%
Corrine Wolfe Law Center/Child Abuse Training	171,900	57,300	(114,600)	33%
Family Development Program	568,600	189,533	(379,067)	33%
FinAid Pell Administration	70,000	23,334	(46,666)	33%
ISTEC	48,800	16,267	(32,533)	33%
Judicial Selection	23,000	7,667	(15,333)	33%
KNME-TV	1,177,300	392,434	(784,866)	33%
Land Grant Studies Program	131,800	43,933	(87,867)	33%
N. M. Historical Review	48,000	16,000	(32,000)	33%
Small Business Innovation & Research Outreach	84,400	28,133	(56,267)	33%
Southwest Indian Law Clinic	207,600	69,200	(138,400)	33%
Spanish Colonial Research Center (SW Research Ctr)	148,750	49,583	(99,167)	33%
Spanish Resource Center	41,800	13,933	(27,867)	33%
Substance Abuse Program	138,200	46,067	(92,133)	33%
Wildlife Law Education	96,400	32,133	(64,267)	33%
Total State Special Project Appropriations	3,808,550	1,269,517	(2,539,033)	33%
Total Public Service Appropriations	3,808,550	1,269,517	(2,539,033)	33%

Statements of Revenues, Expenses and Changes in Net Assets - UNM Regents Format
For the four month period ended October 31, 2015
Preliminary and Unaudited

Detail of State/Local Appropriations
Branch Campuses - Total Operations Current Funds

	FY 2016 Full Year Operating Budget	FY 2016 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 33%
Instruction and General				
Instruction & General Appropriations				
Gallup	9,531,500	3,177,167	(6,354,333)	33%
Los Alamos	1,886,000	628,667	(1,257,333)	33%
Valencia	5,765,700	1,921,900	(3,843,800)	33%
Taos	3,788,800	1,262,932	(2,525,868)	33%
Total Instruction & General Appropriations	20,972,000	6,990,666	(13,981,334)	33%
Mill Levy				
McKinley County	2,600,000	866,667	(1,733,333)	33%
Los Alamos County	667,000	222,333	(444,667)	33%
Valencia County	2,624,000	874,667	(1,749,333)	33%
Taos County	1,780,200	593,400	(1,186,800)	33%
Total Mill Levy	7,671,200	2,557,067	(5,114,133)	33%
Total Branch Appropriations	28,643,200	9,547,733	(19,095,467)	33%

Statements of Revenues, Expenses and Changes in Net Assets - UNM Regents Format
For the four month period ended October 31, 2015
Preliminary and Unaudited

Detail of State/Local Appropriations
Health Sciences Center - Total Operations Current Funds

	FY 2016 Full Year Re-Forecasted Budget	FY 2016 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 33%
Instruction and General				
Instruction & General Appropriations	63,324,700	21,108,367	(42,216,333)	33%
Tobacco Settlement Appropriations				
Instruction & General	607,800	203,496	(404,304)	33%
Pediatric Specialty Education	261,400	86,674	(174,726)	33%
Trauma Specialty Education	261,400	86,674	(174,726)	33%
Total Tobacco Settlement Appropriations	1,130,600	376,844	(753,756)	33%
Total Instruction and General Appropriations	64,455,300	21,485,211	(42,970,089)	33%
Research				
State Special Project Appropriations				
Cancer Center	2,691,200	897,068	(1,794,132)	33%
Hepatitis C, Project ECHO	2,143,800	714,600	(1,429,200)	33%
Total State Special Project Appropriations	4,835,000	1,611,668	(3,223,332)	33%
Tobacco Settlement Appropriations				
Genomics, Biocomputing, Environmental Health	979,800	326,600	(653,200)	33%
Total Tobacco Settlement Appropriations	979,800	326,600	(653,200)	33%
Cigarette Tax Appropriations	3,899,221	1,353,306	(2,545,915)	35%
Total Research Appropriations	9,714,021	3,291,574	(6,422,447)	34%
Public Service				
State Special Project Appropriations				
Center for Native American Health	274,700	91,568	(183,132)	33%
Out of County Indigent	-	-	-	N/A
Total State Special Project Appropriations	274,700	91,568	(183,132)	33%
Total Public Service Appropriations	274,700	91,568	(183,132)	33%
Clinical Operations				
State Special Project Appropriations				
Newborn Intensive Care Unit	3,350,200	1,116,732	(2,233,468)	33%
Office of the Medical Investigator	5,025,300	1,675,100	(3,350,200)	33%
Pediatric Oncology	1,303,500	434,500	(869,000)	33%
Poison and Drug Info Center	1,554,700	518,232	(1,036,468)	33%
Native American Suicide Prevention	99,700	33,232	(66,468)	33%
Native American Suicide Prevention (from IEF Balances)	200,000	66,667	(133,333)	33%
3D Mammography	250,000	250,000	-	100%
GME Residencies	1,807,400	602,468	(1,204,932)	33%
UNM Hospitals	13,116,900	4,372,300	(8,744,600)	33%
Total State Special Project Appropriations	26,707,700	9,069,231	(17,638,469)	34%
Tobacco Settlement Appropriations				
Pediatric Oncology	261,400	87,132	(174,268)	33%
Poison and Drug Info Center	590,300	196,768	(393,532)	33%
Total Tobacco Settlement Appropriations	851,700	283,900	(567,800)	33%
Total Clinical Operations Appropriations	27,559,400	9,353,131	(18,206,269)	34%

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the four month ended October 31, 2015
Preliminary and Unaudited

Main Campus - Total Operations Current Funds

	FY 2016 Full Year Operating Budget	FY 2016 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 33%	FY 2015 Year-to-Date Actual	FY 2016 YTD Actual Change From FY 2015 YTD Actual
Instruction and General						
Tuition and Fees Revenues	157,063,135	79,938,857	(77,124,278)	51%	77,265,982	2,672,875
State/Local Appropriations	192,500,200	64,166,733	(128,333,467)	33%	63,459,700	707,033
F & A Revenues	20,500,000	6,625,304	(13,874,696)	32%	7,002,921	(377,617)
Transfers	(54,284,161)	(18,954,905)	35,329,256	35%	(20,164,061)	1,209,156
Other Revenues	15,612,079	5,955,067	(9,657,012)	38%	3,889,621	2,065,446
Total Instruction and General Revenues	331,391,253	137,731,056	(193,660,197)	42%	131,454,163	6,276,893
Salaries	200,571,473	63,830,781	(136,740,692)	32%	62,755,049	(1,075,732)
Benefits	66,766,292	21,877,470	(44,888,822)	33%	21,669,884	(207,586)
Other Expenses	72,440,919	20,255,016	(52,185,903)	28%	20,923,197	668,181
Total Instruction and General Expenses	339,778,684	105,963,267	(233,815,417)	31%	105,348,130	(615,137)
Net Instruction and General Revenue/(Expense)	(8,387,431)	31,767,789	40,155,220		26,106,033	5,661,756
Research						
State/Local Appropriations	2,010,350	670,116	(1,340,234)	33%	703,350	(33,234)
Transfers	17,200,071	2,002,477	(15,197,594)	12%	2,877,684	(875,207)
Other Revenues	738,644	371,655	(366,989)	50%	432,309	(60,654)
Total Research Revenues	19,949,065	3,044,248	(16,904,817)	15%	4,013,343	(969,095)
Salaries and Benefits	12,832,630	4,011,968	(8,820,662)	31%	3,893,254	(118,714)
Other Expenses	11,617,086	3,197,224	(8,419,862)	28%	2,866,407	(330,817)
Total Research Expenses	24,449,716	7,209,192	(17,240,524)	29%	6,759,661	(449,531)
Net Research Revenue/(Expense)	(4,500,651)	(4,164,944)	335,707		(2,746,318)	(1,418,626)
Public Service						
State/Local Appropriations	3,808,550	1,269,517	(2,539,033)	33%	1,217,850	(51,667)
Sales and Services Revenues	8,277,563	2,854,441	(5,423,122)	34%	2,859,697	5,256
Gifts	7,070,395	2,095,797	(4,974,598)	30%	2,311,716	215,919
Transfers	36,165	555,796	519,631	1537%	137,541	(418,255)
Other Revenues	3,868,591	1,404,023	(2,464,568)	36%	1,505,043	101,020
Total Public Service Revenues	23,061,264	8,179,574	(14,881,690)	35%	8,031,847	(147,727)
Salaries and Benefits	12,725,005	4,123,388	(8,601,617)	32%	4,542,340	418,952
Other Expenses	14,684,393	4,655,038	(10,029,355)	32%	3,227,560	(1,427,478)
Total Public Service Expenses	27,409,398	8,778,426	(18,630,972)	32%	7,769,900	(1,008,526)
Net Public Service Revenue/(Expense)	(4,348,134)	(598,852)	3,749,282		261,947	(860,799)

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the four month ended October 31, 2015
Preliminary and Unaudited

Main Campus - Total Operations Current Funds

	FY 2016 Full Year Operating Budget	FY 2016 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 33%	FY 2015 Year-to-Date Actual	FY 2016 YTD Actual Change From FY 2015 YTD Actual
Student Aid						
Private Grants/Gifts	3,934,944	1,356,952	(2,577,992)	34%	1,517,298	160,346
State Lottery Scholarships	35,000,000	17,500,000	(17,500,000)	50%	18,742,974	1,242,974
Transfers	15,990,247	4,847,204	(11,143,043)	30%	4,704,242	(142,962)
Other Revenues	967,341	295,875	(671,466)	31%	384,953	89,078
Total Student Aid Revenues	55,892,532	24,000,031	(31,892,501)	43%	25,349,467	1,349,436
Salaries and Benefits	2,099,249	925,350	(1,173,899)	44%	855,637	(69,713)
Other Expenses	61,772,036	27,528,834	(34,243,202)	45%	27,508,537	(20,297)
Total Student Aid Expenses	63,871,285	28,454,184	(35,417,101)	45%	28,364,174	(90,010)
Net Student Aid Revenue/(Expense)	(7,978,753)	(4,454,153)	3,524,600		(3,014,707)	(1,439,446)
Student Social & Cultural Programs						
Fee Revenues	8,143,771	3,796,598	(4,347,173)	47%	3,928,382	(131,784)
Sales and Services Revenues	1,502,317	547,892	(954,425)	36%	518,967	28,925
Transfers	627,995	163,655	(464,340)	26%	435,407	(271,752)
Other Revenues	166,998	68,513	(98,485)	41%	84,820	8,594
Total Student Social & Cultural Programs Revenues	10,441,081	4,576,658	(5,864,423)	44%	4,967,576	(366,017)
Salaries and Benefits	6,169,162	2,032,432	(4,136,730)	33%	1,610,324	(422,108)
Other Expenses	4,519,274	1,548,918	(2,970,356)	34%	1,750,472	600,741
Total Student Social & Cultural Programs Expenses	10,688,436	3,581,350	(7,107,086)	34%	3,360,796	178,633
Net Student Social & Cultural Programs Revenue/(Expense)	(247,355)	995,308	1,242,663		1,606,780	(611,472)
Auxiliaries						
Auxiliaries Revenues	52,022,638	23,393,243	(28,629,395)	45%	26,432,438	(3,039,195)
Athletics Revenues	35,042,424	10,136,576	(24,905,848)	29%	10,053,847	82,729
Total Auxiliaries Revenues	87,065,062	33,529,819	(53,535,243)	39%	36,486,285	(2,956,466)
Auxiliaries Expenses	52,574,144	17,956,456	(34,617,688)	34%	20,497,789	2,541,333
Athletics Expenses	35,071,674	12,413,327	(22,658,347)	35%	12,787,212	373,885
Total Auxiliaries Expenses	87,645,818	30,369,783	(57,276,035)	35%	33,285,001	2,915,218
Net Auxiliaries and Athletics Revenue/(Expense)	(580,756)	3,160,036	3,740,792		3,201,284	(41,248)

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the four month ended October 31, 2015
Preliminary and Unaudited

Main Campus - Total Operations Current Funds

	FY 2016 Full Year Operating Budget	FY 2016 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 33%	FY 2015 Year-to-Date Actual	FY 2016 YTD Actual Change From FY 2015 YTD Actual
Sponsored Programs						
Federal Grants and Contracts Revenues	143,073,888	54,874,334	(88,199,554)	38%	57,102,455	(2,228,121)
State and Local Grants and Contracts Revenues	15,187,338	5,366,127	(9,821,211)	35%	4,598,115	768,012
Non-Governmental Grants and Contracts Revenues	12,397,000	5,609,417	(6,787,583)	45%	5,518,353	91,064
Gifts	-	-	-	N/A	67,183	(67,183)
Transfers	2,888,000	643,916	(2,244,084)	22%	903,137	(259,221)
Other Revenues	-	(430,449)	(430,449)	N/A	-	(430,449)
Total Sponsored Programs Revenues	173,546,226	66,063,345	(107,482,881)	38%	68,189,243	(2,125,898)
Salaries and Benefits	64,460,226	19,359,172	(45,101,054)	30%	19,354,313	(4,859)
Other Expenses	109,086,000	46,704,173	(62,381,827)	43%	48,834,930	2,130,757
Total Sponsored Programs Expenses	173,546,226	66,063,345	(107,482,881)	38%	68,189,243	2,125,898
Net Sponsored Programs Revenue/(Expense)	-	-	-		-	-
Contingencies						
Total Contingency Revenues	(6,994,011)	-	6,994,011	0%	-	-
Total Contingency Expenses	(7,072,248)	-	7,072,248	0%	-	-
Net Contingencies Revenue/(Expense)	78,237	-	(78,237)		-	-
Net Current Revenue/(Expense)	(25,964,843)	26,705,184	52,670,027		25,415,019	1,290,165

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the four month ended October 31, 2015
Preliminary and Unaudited

Branch Campuses - Total Operations Current Funds

	FY 2016 Full Year Operating Budget	FY 2016 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 33%	FY 2015 Year-to-Date Actual	FY 2016 YTD Actual Change From FY 2015 YTD Actual
Instruction and General						
Tuition and Fees Revenues	7,553,002	4,073,073	(3,479,929)	54%	3,826,139	246,934
State/Local Appropriations	28,643,200	9,547,733	(19,095,467)	33%	9,299,197	248,536
Transfers	(1,158,551)	(1,153,820)	4,731	100%	(684,874)	(468,946)
Other Revenues	591,236	277,136	(314,100)	47%	322,823	(45,687)
Total Instruction and General Revenues	35,628,887	12,744,122	(22,884,765)	36%	12,763,285	(19,163)
Salaries	22,023,294	6,711,242	(15,312,052)	30%	6,462,123	(249,119)
Benefits	7,065,579	2,063,113	(5,002,466)	29%	2,044,462	(18,651)
Other Expenses	8,931,142	2,668,522	(6,262,620)	30%	2,424,633	(243,889)
Total Instruction and General Expenses	38,020,015	11,442,877	(26,577,138)	30%	10,931,218	(511,659)
Net Instruction and General Revenue/(Expense)	(2,391,128)	1,301,245	3,692,373		1,832,067	(530,822)
Public Service						
State/Local Appropriations	-	-	-	N/A	-	
Sales and Services Revenues	362,657	192,279	(170,378)	53%	102,155	90,124
Gifts	75,750	36,818	(38,932)	49%	111,313	(74,495)
Transfers	28,000	47,953	19,953	171%	15,856	32,097
Other Revenues	10,000	121	(9,879)	1%	3,000	(2,879)
Total Public Service Revenues	476,407	277,171	(199,236)	58%	232,324	44,847
Salaries and Benefits	344,644	151,803	(192,841)	44%	131,392	(20,411)
Other Expenses	278,268	79,104	(199,164)	28%	51,836	(27,268)
Total Public Service Expenses	622,912	230,907	(392,005)	37%	183,228	(47,679)
Net Public Service Revenue/(Expense)	(146,505)	46,264	192,769		49,096	(2,832)
Student Aid						
Private Grants/Gifts	142,450	27,175	(115,275)	19%	57,415	(30,240)
Transfers	380,860	(2,636)	(383,496)	-1%	3,948	(6,584)
Other Revenues	20,000	8,818	(11,182)	44%	9,327	(509)
Total Student Aid Revenues	543,310	33,357	(509,953)	6%	70,690	(37,333)
Salaries and Benefits	-	16,147	16,147	N/A	7,557	(8,590)
Other Expenses	767,660	326,040	(441,620)	42%	234,450	(91,590)
Total Student Aid Expenses	767,660	342,187	(425,473)	45%	242,007	(100,180)
Net Student Aid Revenue/(Expense)	(224,350)	(308,830)	(84,480)		(171,317)	(137,513)

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the four month ended October 31, 2015
Preliminary and Unaudited

Branch Campuses - Total Operations Current Funds

	FY 2016 Full Year Operating Budget	FY 2016 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 33%	FY 2015 Year-to-Date Actual	FY 2016 YTD Actual Change From FY 2015 YTD Actual
Student Social & Cultural Programs						
Fee Revenues	230,000	124,435	(105,565)	54%	125,820	(1,385)
Sales and Services Revenues	6,550	5,465	(1,085)	83%	6,019	(554)
Transfers	(40,000)	(40,000)	-	100%	(40,000)	-
Other Revenues	-	-	-	N/A	150	(150)
Total Student Social & Cultural Programs Revenues	196,550	89,900	(106,650)	46%	91,989	(2,089)
Salaries and Benefits	7,840	9,095	1,255	116%	7,518	(1,577)
Other Expenses	195,958	76,667	(119,291)	39%	58,451	(18,216)
Total Student Social & Cultural Programs Expenses	203,798	85,762	(118,036)	42%	65,969	(19,793)
Net Student Social & Cultural Programs Revenue/(Expense)	(7,248)	4,138	11,386		26,020	(21,882)
Auxiliaries						
Bookstore Revenues	2,038,258	837,772	(1,200,486)	41%	948,462	(110,690)
Housing and Food Service Revenues	147,175	60,864	(86,311)	41%	67,768	(6,904)
Transfers	-	(10,000)	(10,000)	N/A	-	(10,000)
Other Auxiliaries Revenues	450,522	21,077	(429,445)	5%	8,808	12,269
Total Auxiliaries Revenues	2,635,955	909,713	(1,726,242)	35%	1,025,038	(115,325)
Bookstore Expenses	2,038,258	818,731	(1,219,527)	40%	1,005,612	186,881
Housing and Food Service Expenses	147,175	50,230	(96,945)	34%	51,397	1,167
Other Auxiliaries Expenses	572,716	145,443	(427,273)	25%	5,289	(140,154)
Total Auxiliaries Expenses	2,758,149	1,014,404	(1,743,745)	37%	1,062,298	47,894
Net Auxiliaries Revenue/(Expense)	(122,194)	(104,691)	17,503		(37,260)	(67,431)
Sponsored Programs						
Federal Grants and Contracts Revenues	6,909,647	2,516,970	(4,392,677)	36%	2,035,480	481,490
State and Local Grants and Contracts Revenues	2,286,045	514,542	(1,771,503)	23%	320,445	194,097
Non-Governmental Grants and Contracts Revenues	-	19,353	19,353	N/A	225,050	(205,697)
Gifts	-	-	-	N/A	-	-
Transfers	-	188,366	188,366	N/A	17,803	170,563
Other Revenues	-	-	-	N/A	(16,484)	16,484
Total Sponsored Programs Revenues	9,195,692	3,239,231	(5,956,461)	35%	2,582,294	656,937
Salaries and Benefits	5,611,967	1,892,461	(3,719,506)	34%	1,863,068	(29,393)
Other Expenses	3,583,725	1,346,770	(2,236,955)	38%	719,226	(627,544)
Total Sponsored Programs Expenses	9,195,692	3,239,231	(5,956,461)	35%	2,582,294	(656,937)
Net Sponsored Programs Revenue/(Expense)	-	-	-		-	-
Net Current Revenue/(Expense)	(2,891,425)	938,126	3,829,551		1,698,606	(760,480)

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the four month ended October 31, 2015
Preliminary and Unaudited

Health Sciences Center - Total Operations Current Funds

	FY 2016 Full Year Re-Forecasted Budget	FY 2016 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 33%	FY 2015 Year-to-Date Actual	FY 2016 YTD Actual Change From FY 2015 YTD Actual
Instruction and General						
Tuition and Fees Revenues	15,614,629	7,558,630	(8,055,999)	48%	7,819,713	(261,083)
State/Local Appropriations	64,455,300	21,485,211	(42,970,089)	33%	21,635,599	(150,388)
F & A Revenues	24,500,000	7,804,994	(16,695,006)	32%	7,510,207	294,787
Transfers	1,922,919	(2,188,185)	(4,111,104)	-114%	2,313,754	(4,501,939)
Other Revenues	11,415,980	4,823,523	(6,592,457)	42%	4,727,102	96,421
Total Instruction and General Revenues	117,908,828	39,484,173	(78,424,655)	33%	44,006,375	(4,522,202)
Salaries	74,708,127	24,531,573	(50,176,554)	33%	22,936,621	(1,594,952)
Benefits	24,927,136	8,465,919	(16,461,217)	34%	9,169,038	703,119
Other Expenses	18,101,257	5,601,925	(12,499,332)	31%	7,607,856	2,005,931
Total Instruction and General Expenses	117,736,520	38,599,417	(79,137,103)	33%	39,713,515	1,114,098
Net Instruction and General Revenue/(Expense)	172,308	884,756	712,448		4,292,860	(3,408,104)
Research						
State/Local Appropriations	9,714,021	3,291,574	(6,422,447)	34%	3,254,200	37,374
Generated Revenues	460,200	136,323	(323,877)	30%	116,148	20,175
Transfers	13,701,197	4,344,406	(9,356,791)	32%	3,064,311	1,280,095
Other Revenues	742,164	472,832	(269,332)	64%	956,259	(483,427)
Total Research Revenues	24,617,582	8,245,135	(16,372,447)	33%	7,390,918	854,217
Salaries and Benefits	15,155,682	4,609,734	(10,545,948)	30%	4,420,003	(189,731)
Other Expenses	9,829,326	2,862,422	(6,966,904)	29%	2,439,724	(422,698)
Total Research Expenses	24,985,008	7,472,156	(17,512,852)	30%	6,859,727	(612,429)
Net Research Revenue/(Expense)	(367,426)	772,979	1,140,405		531,191	241,788
Public Service						
State/Local Appropriations	274,700	91,568	(183,132)	33%	312,433	(220,865)
Sales and Services Revenues	10,198,310	4,270,600	(5,927,710)	42%	2,076,405	2,194,195
Gifts	1,785,909	734,626	(1,051,283)	41%	316,977	417,649
Transfers	4,964,489	1,542,299	(3,422,190)	31%	1,620,595	(78,296)
Other Revenues	1,767,031	217,215	(1,549,816)	12%	384,945	(167,730)
Total Public Service Revenues	18,990,439	6,856,308	(12,134,131)	36%	4,711,355	2,144,953
Salaries and Benefits	10,074,957	2,618,189	(7,456,768)	26%	2,796,817	178,628
Other Expenses	9,537,305	2,599,293	(6,938,012)	27%	2,283,282	(316,011)
Total Public Service Expenses	19,612,262	5,217,482	(14,394,780)	27%	5,080,099	(137,383)
Net Public Service Revenue/(Expense)	(621,823)	1,638,826	2,260,649		(368,744)	2,007,570

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the four month ended October 31, 2015
Preliminary and Unaudited

Health Sciences Center - Total Operations Current Funds

	FY 2016 Full Year Re-Forecasted Budget	FY 2016 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 33%	FY 2015 Year-to-Date Actual	FY 2016 YTD Actual Change From FY 2015 YTD Actual
Student Aid						
Gifts	1,611,491	430,499	(1,180,992)	27%	397,358	33,141
Investment Income	-	-	-	N/A	-	-
Transfers	2,403,122	785,437	(1,617,685)	33%	749,742	35,695
Other Revenues	8,300	16,492	8,192	199%	653	15,839
Total Student Aid Revenues	4,022,913	1,232,428	(2,790,485)	31%	1,147,753	84,675
Salaries and Benefits	2,670,312	518,824	(2,151,488)	19%	675,013	156,189
Other Expenses	1,866,597	627,862	(1,238,735)	34%	364,958	(262,904)
Total Student Aid Expenses	4,536,909	1,146,686	(3,390,223)	25%	1,039,971	(106,715)
Net Student Aid Revenue/(Expense)	(513,996)	85,742	599,738		107,782	(22,040)
Student Social & Cultural Programs						
Fee Revenues	-	-	-	N/A	-	-
Sales and Services Revenues	24,763	25,059	296	101%	18,929	6,130
Transfers	6,637	-	(6,637)	0%	25,678	(25,678)
Other Revenues	3,266	900	(2,366)	28%	500	400
Total Student Social & Cultural Programs Revenues	34,666	25,959	(8,707)	75%	45,107	(19,148)
Salaries and Benefits	-	-	-	N/A	-	-
Other Expenses	52,477	20,761	(31,716)	40%	11,611	(9,150)
Total Student Social & Cultural Programs Expenses	52,477	20,761	(31,716)	40%	11,611	(9,150)
Net Student Social & Cultural Programs Revenue/(Expense)	(17,811)	5,198	23,009		33,496	(28,298)
Sponsored Programs						
Federal Grants and Contracts Revenues	98,452,325	32,461,834	(65,990,491)	33%	29,525,273	2,936,561
State and Local Grants and Contracts Revenues	13,698,410	4,565,934	(9,132,476)	33%	4,254,334	311,600
Non-Governmental Grants and Contracts Revenues	21,198,771	5,611,943	(15,586,828)	26%	8,450,354	(2,838,411)
Gifts	-	-	-	N/A	-	-
Other Revenues	-	-	-	N/A	-	-
Transfers	1,957,780	829,301	(1,128,479)	42%	1,292,556	(463,255)
Total Sponsored Programs Revenues	135,307,286	43,469,012	(91,838,274)	32%	43,522,517	(53,505)
Salaries and Benefits	73,958,516	25,358,757	(48,599,759)	34%	23,552,147	(1,806,610)
Other Expenses	61,348,770	18,110,255	(43,238,515)	30%	19,970,370	1,860,115
Total Sponsored Programs Expenses	135,307,286	43,469,012	(91,838,274)	32%	43,522,517	53,505
Net Sponsored Programs Revenue/(Expense)	-	-	-		-	-

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the four month ended October 31, 2015
Preliminary and Unaudited

Health Sciences Center - Total Operations Current Funds

	FY 2016 Full Year Re-Forecasted Budget	FY 2016 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 33%	FY 2015 Year-to-Date Actual	FY 2016 YTD Actual Change From FY 2015 YTD Actual
Clinical Operations						
State/Local Appropriations	27,559,400	9,353,131	(18,206,269)	34%	8,734,801	618,330
Physician Professional Fee Revenues	130,642,341	40,428,439	(90,213,902)	31%	41,004,925	(576,486)
Hospital Facility Revenues	892,825,169	300,601,246	(592,223,923)	34%	259,015,293	41,585,953
Other Patient Revenues, net of Allowance	143,856,372	44,898,302	(98,958,070)	31%	39,625,599	5,272,703
Mil Levy	93,616,856	31,072,036	(62,544,820)	33%	30,926,681	145,355
Investment Income	1,454,334	1,359,352	(94,982)	93%	4,134,697	(2,775,345)
Gifts	869,905	1,239,057	369,152	142%	1,606,730	(367,673)
Housestaff Revenues	35,162,474	12,597,897	(22,564,577)	36%	11,200,767	1,397,130
Other Revenues	26,790,511	7,665,441	(19,125,070)	29%	5,687,065	1,978,376
Total Clinical Operations Revenues	1,352,777,362	449,214,901	(903,562,461)	33%	401,936,558	47,278,343
Salaries and Benefits	715,017,193	230,681,694	(484,335,499)	32%	220,887,448	(9,794,246)
Interest Expense	3,869,811	1,289,938	(2,579,873)	33%	2,692,374	1,402,436
Housestaff Expenses	34,900,534	12,249,158	(22,651,376)	35%	11,334,931	(914,227)
Other Expenses	596,488,529	204,564,049	(391,924,480)	34%	167,216,052	(37,347,997)
Total Clinical Operations Expenses	1,350,276,067	448,784,839	(901,491,228)	33%	402,130,805	(46,654,034)
Net Clinical Operations Revenue/(Expense)	2,501,295	430,062	(2,071,233)		(194,247)	624,309
Contingencies						
Total Contingency Revenues	3,894,908	-	(3,894,908)	0%	-	-
Total Contingency Expenses	3,844,908	-	(3,844,908)	0%	-	-
Net Contingencies Revenue/(Expense)	50,000	-	(50,000)		-	-
Net Current Revenue/(Expense)	1,202,547	3,817,563	2,615,016		4,402,338	(584,775)



University Services

University Services Business Operations

UNM Surplus Property

UnivServ Shipping and Receiving

UNM Mailing Systems

UNM Records Management

<http://www.unm.edu/~univserv/>

Date: November 24, 2015

To: Bruce Cherrin, Chief Procurement Officer
Purchasing Department

From: Marcos Roybal 
Manager, Administrative Operations, University Services

Subject: Equipment Disposition – November 2015

Attached for your review and submission to the Board of Regents are the Surplus Property Disposition Detail lists for the month of November, 2015.

Consistent with UNM Board of Regents Policy 7.9 and the NM Disposition of Surplus Property Act, 13-6-1, NMSA 1978, and based upon documentation submitted by the UNM departments responsible for the equipment, I certify that the equipment identified on the monthly list is worn-out, unusable or obsolete to the extent that the items are no longer economical or safe for continued use by the University. I recommend that the items be deleted from UNM's inventory and disposed of in accordance with the above noted Regents Policy and NM Surplus Property Act.

(University Services - Surplus Property (November))

Memo	Tag Number	Org Description	Asset Description	Manufacturer	Model	Serial Number	Capitalization Date	Total Cost	NBV	Disposal Method	Disposal Date
3	150794	KNME Operations	TELEVISION TOWER	Rohn	N/A	N/A	1/1/1983	\$16,340.00	\$0.00	Obsolete	11/16/2015
1	227047	MTS Distance Education Coordination	TRANSMITTER	Sse	20 WATTSSPA	001238	7/30/1997	\$14,500.00	\$0.00	Obsolete	11/6/2015
2	N00011363	Neo Transport Admin.	Ambulance Cot	Stryker	Power Pro IT	070340136	4/16/2007	\$12,796.00	\$0.00	Obsolete	11/6/2015
	232772	Safety & Risk Services	PORTACOUNT	TSI	UNIVERSAL	40349	8/8/1998	\$9,863.00	\$0.00	Obsolete	11/6/2015
	207871	Biology Department	INCUBATOR SHAKER	Innova	4300	491141293	7/23/1995	\$9,185.00	\$0.00	Obsolete	11/20/2015
	N00028279	MTS Distance Education Coordination	Video Conferencing Unit	Polycom	HDX 7000 - 720 HD Codec	880944410E54CN	11/17/2010	\$7,469.17	\$0.00	Cannibalized	11/6/2015
	N00013446	LAIL General Administrative	Server	PcClub	Empower 592 Series	C7H160001NMAQ	10/16/2007	\$5,659.00	\$0.00	Obsolete	11/16/2015
	N00011646	SOM Neurosurgery Gen Admin	Printer	HewlettPac	Color Laserjet 5550 HDN	sjpfc651035	5/10/2007	\$5,647.71	\$0.00	Obsolete	11/16/2015
								Disposition Value		\$81,459.88	
								Disposition Net Book Value		\$0.00	
								Total Dispositon Items:		8	



Extended Learning

MSC03 2190, 1 University of New Mexico

Albuquerque, NM 87131-0001

Phone: (800) 345-1807 | Fax: (505) 277-6499

November 10, 2015

RE: Extended Learning Surplus Property Disposal:

Ms. Dora Dominguez:

The satellite transceiver, UNM Asset Tag # 227047, has a present value of zero dollars as UNM no longer broadcasts closed circuit televised classes. We use the internet now, mostly in conjunction with software called Zoom. I am told by Baram Saba, who leads Media Technology Services, that this particular item is not only obsolete, but also broken. It was purchased at least 20 years ago.

I am told that I am in fact the "authorized person" to sign the surplus property forms. If you do not agree, please indicate which specific person you need to sign which specific form. Thank you.

Marcus White
LAN Administrator
New Media & Extended Learning
University of New Mexico
505-277-8144

A handwritten signature in black ink, appearing to read "Marcus White", is written over the typed name. To the right of the signature, the date "11/10/15" is handwritten in black ink.

Asset#	Description	Acq. Cost	NBV	Date
227047	Transmitter	\$14,500.00	0.00	1997

Date: October 26, 2015

To: Dora Dominguez, Accountant I

From: Cynthia Suniga, Administrative Assistant 3

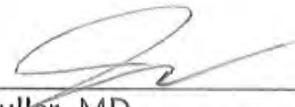
Subject: Property to Surplus over \$10,000.00 - Gurney, S/N 070340136, Tag# N00011363

This gurney is being surplussed because it is wearing out and is two years past its serviceable life. Acquired in 2007, it is now eight years that the Transport program has been using it to transport infants within an isolette that is placed on top of the gurney so that the gurney is under the strain of 280 lbs or more.

The program has purchased a new gurney to replace the gurney that is wearing out.



Ann Chavez, Admin Support Supervisor



Janell Fuller, MD
Neonatology Division Chief

Asset#	Description	Acq.Cost	NBV	Date
N00011363	Ambulance Cot	\$12,796.00	0.00	2007

Mailing Address:

MSC 10 5590
1 University of New Mexico
Albuquerque, NM 87131-0001

Phone (505) 272-5551 Fax (505) 272-6845

Location:

2211 Lomas Blvd NE
ACC Third Floor
Albuquerque, NM 87131-0001

Memo #3

To: Bruce Cherrin, Chief Procurement Officer

From: Franz Joachim, General Manager and CEO

Re: Disposition of property in Las Vegas, NM

Date: November 9, 2015

This memo serves as a request to remove items below from our inventory as well as to sell the property to the landowner on which the property is currently installed.

<u>Asset #</u>	<u>Description</u>	<u>Acquisition Cost</u>	<u>Acquisition Date</u>	<u>NBV</u>
150794	Television Tower	\$16,340	1/1/83	\$0
147101	Storage Building	\$3,601	1/1/82	\$0

Both the tower on which our television antenna was installed as well as the shelter which houses the electronic broadcasting equipment are now fully depreciated. The antenna and electronic equipment have been moved to another site nearby which will better serve our needs. To dispose of the tower and the shelter, we request approval to offer the items to the landowner for a nominal amount. We estimate the cost of removal to be thousands of dollars, which is considerably more than the NBV or the amount we might receive from the land owner. When we give notice to the landowner, we would like to be able to offer him the existing equipment.

We appreciate your help with this matter. If you have questions or need additional information, please contact me at 379-0338.

knm 

knm 

1130 University Blvd. NE

Albuquerque, NM 87102

Tel 505.277.2121

Fax 505.277.2191

New Mexico Compilation Commission

13-6-1 . Disposition of obsolete, worn-out or unusable tangible personal property.

A. The governing authority of each state agency, local public body, school district and state educational institution may dispose of any item of tangible personal property belonging to that authority and delete the item from its public inventory upon a specific finding by the authority that the item of property is:

- (1) of a current resale value of five thousand dollars (\$5,000) or less; and
- (2) worn out, unusable or obsolete to the extent that the item is no longer economical or safe for continued use by the body.

B. The governing authority shall, as a prerequisite to the disposition of any items of tangible personal property:

- (1) designate a committee of at least three officials of the governing authority to approve and oversee the disposition; and
- (2) give notification at least thirty days prior to its action making the deletion by sending a copy of its official finding and the proposed disposition of the property to the state auditor and the appropriate approval authority designated in Section 13-6-2 NMSA 1978, duly sworn and subscribed under oath by each member of the authority approving the action.

C. A copy of the official finding and proposed disposition of the property sought to be disposed of shall be made a permanent part of the official minutes of the governing authority and maintained as a public record subject to the Inspection of Public Records Act [Chapter 14, Article 2 NMSA 1978].

D. The governing authority shall dispose of the tangible personal property by negotiated sale to any governmental unit of an Indian nation, tribe or pueblo in New Mexico or by negotiated sale or donation to other state agencies, local public bodies, school districts, state educational institutions or municipalities or through the central purchasing office of the governing authority by means of competitive sealed bid or public auction or, if a state agency, through the surplus property bureau of the transportation services division of the general services department.

E. A state agency shall give the surplus property bureau of the transportation services division of the general services department the right of first refusal when disposing of obsolete, worn-out or unusable tangible personal property of the state agency.

F. If the governing authority is unable to dispose of the tangible personal property pursuant to Subsection D or E of this section, the governing authority may sell or, if the property has no value, donate the property to any organization described in Section 501(c)(3) of the Internal Revenue Code of 1986.

G. If the governing authority is unable to dispose of the tangible personal property pursuant to Subsection D, E or F of this section, it may order that the property be destroyed or otherwise permanently disposed of in accordance with applicable laws.

H. If the governing authority determines that the tangible personal property is hazardous or contains hazardous materials and may not be used safely under any circumstances, the property shall be destroyed and disposed of pursuant to Subsection G of this section.

I. No tangible personal property shall be donated to an employee or relative of an employee of a state agency, local public body, school district or state educational institution; provided that nothing in this subsection precludes an employee from participating and bidding for public property at a public auction.

J. This section shall not apply to any property acquired by a museum through abandonment procedures pursuant to the Abandoned Cultural Properties Act [18-10-1 to 18-10-5 NMSA 1978].

K. Notwithstanding the provisions of Subsection A of this section, the department of transportation may sell through public auction or dispose of surplus tangible personal property used to manage, maintain or build roads that exceeds five thousand dollars (\$5,000) in value. Proceeds from sales shall be credited to the state road fund. The department of transportation shall notify the department of finance and administration regarding the disposition of all property.

L. If the secretary of public safety finds that the K-9 dog presents no threat to public safety, the K-9 dog shall be released from public ownership as provided in this subsection. The K-9 dog shall first be offered to its trainer or handler free of charge. If the trainer or handler does not want to accept ownership of the K-9 dog, then the K-9 dog shall be offered to an organization described in Section 501(c)(3) of the Internal Revenue Code of 1986 free of charge. If both of the above fail, the K-9 dog shall only be sold to a qualified individual found capable of providing a good home to the animal.

History: 1953 Comp., § 6-1-7.1, enacted by Laws 1961, ch. 100, § 1; 1979, ch. 195, § 2; 1984, ch. 47, § 1; 1987, ch. 15, § 1; 1989, ch. 211, § 6; 1995, ch. 181, § 1; 1998, ch. 16, § 1; 2001, ch. 317, § 1; 2007, ch. 57, § 4; 2012, ch. 10, § 1; 2013, ch. 9, § 1.



The University of New Mexico
Purchasing Department

MEMORANDUM

To: David Harris, Executive Vice President for Administration

From: Bruce Cherrin, Chief Procurement Officer

Subject: Contract Approval

Date: December 9, 2015

The following is being submitted for approval per Regent Policy 7.4. This will need to proceed to the full Board of Regents.

1. UNM Golf Course Maintenance – Mountain West GolfScapes, Inc.

In the fall of 2015 RFP 1706-16 was submitted for the maintenance of the UNM Golf Courses, which is comprised of the Championship Course and the North Course. The RFP contained requirements to perform and uphold the exceptional standards as required by the University. Scope of services include: Turf management, irrigation management, pond management, tree care, cart paths and USGA standards. Emphasis was placed on the Championship course for utilization by our athletic golf teams and to enhance the course conditions for all of our customers. The course has been selected as a destination for NCAA Events and will host the Women's Regionals in 2017 and the Men's Regionals in 2018.

The RFP Committee had representation from the Golf Course, Office of Planning, Budget & Analysis, UNMPPD Grounds, Athletic Golf Teams and Business Manager. Two proposals were received and in accordance with the criteria established for the procurement, and the evaluation committee unanimously recommends proceeding with Mountain West GolfScapes, Inc.

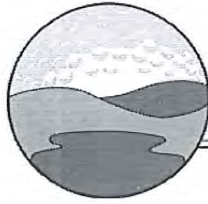
UNM plans to enter into a four-year (4) agreement with the option of two (2) two-year extensions (not to exceed 8 years). If we elect the option year there will be a 3% increase.

Source of Funds: Golf Course Operating Budget

Cost:

Championship Course - \$801,748.00/per year
North Course - \$383,758.00/per year
*TOTAL: \$1,185,506.00/per year

***If we choose to have the vendor maintain both courses the total is discounted to \$999,138.00.**



Mountain West GolfScapes, Inc.

P.O. BOX 1630

PERALTA, NM 87042

505.869.9019

FAX 505.869.9035

COST PROPOSAL UNM CHAMPIONSHIP GOLF COURSE

Price per year for years one and two as per attached budget sheet \$801,748.00 plus tax

The price is based on the standards outlined in the RFP. The standards can be negotiated to some degree based on your budgetary needs but we hesitate to compromise the quality we have provided for the last eight years and look forward to continuing to provide.

**MEMORANDUM TO ADVANCE
COMMITTEE AGENDA ITEMS TO
THE BOARD OF REGENTS
THE UNIVERSITY OF NEW MEXICO**

DATE: November 20, 2015

TO: David W. Harris, EVP for Administration, COO & CFO

FROM: Chris Vallejos, Associate Vice President, Institutional Support Services

RE: Requested Approvals

RECOMMENDED ACTION:

Recommend to the Board of Regents Finance and Facilities Committee the following:

1. Request for Capital Project Approval for Farris Engineering Center Renovation
2. Request for Capital Project Approval for Gallup Zollinger Library – Completion of Shell Space

Please see attached summaries and renderings.

cc: Lisa Marbury, Executive Director, Institutional Support Services
R. Henrard, A. Coburn, M. Dion, M. Reid, B. Scharmer, C. Martinez,
T. Murray Allred – PDC

**REQUEST FOR CAPITAL PROJECT APPROVAL for
FARRIS ENGINEERING CENTER RENOVATION
UNIVERSITY OF NEW MEXICO**

December 11, 2015

REQUESTED ACTION:

In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested for the Farris Engineering Center Renovation on the Albuquerque Main campus.

PROJECT DESCRIPTION:

Farris Engineering Center Renovation (FEC) provides for comprehensive renovation of the existing 67,319 gross square foot (GSF) Farris Engineering Center building and provides for an additional 9,959 GSF to house Computer Science, Chemical and Biological Engineering and Nuclear Engineering programs. Project scope includes offices, computational (dry) laboratories, computer research rooms, administrative suites and student study and event areas, with additional shelled basement space prepared for future office, dry lab and experimental (wet) lab infill. A data learning lab/server room will be designed and constructed in FEC to provide rack space for Computer Sciences data and research experimentation. The project requires the relocation of the wet labs currently in the basement of Farris Engineering Center prior to FEC renovation. This relocation will be a 12,875 S.F. build out of the basement shell space in Centennial Engineering Center. The renovation of the current Farris Engineering Center will be designed and executed as a “LEED Silver” certified facility.

PROJECT RATIONALE:

Originally constructed in 1967-8, Farris Engineering Center no longer meets the needs of its occupants. In addition to housing Engineering faculty and administrative staff, the building accommodates several research laboratories. These labs require infrastructure that Farris can no longer supply. In addition to the inability of the facility to meet its mission, the building suffers extensively from numerous code violations and other building discrepancies across broad categories such as fire risk, emergency egress, old infrastructure, mechanical, electrical, plumbing and handicap accessibility. Over the years, the building has been modified to include expanding numbers of offices. Larger spaces have been divided into multiple smaller spaces, which has resulted in a confusing floor plan with meandering corridors and oddly-shaped rooms. In many cases, offices accommodate more bodies than they can comfortably support, inhibit collaboration among work groups, and even restrict student access to faculty members.

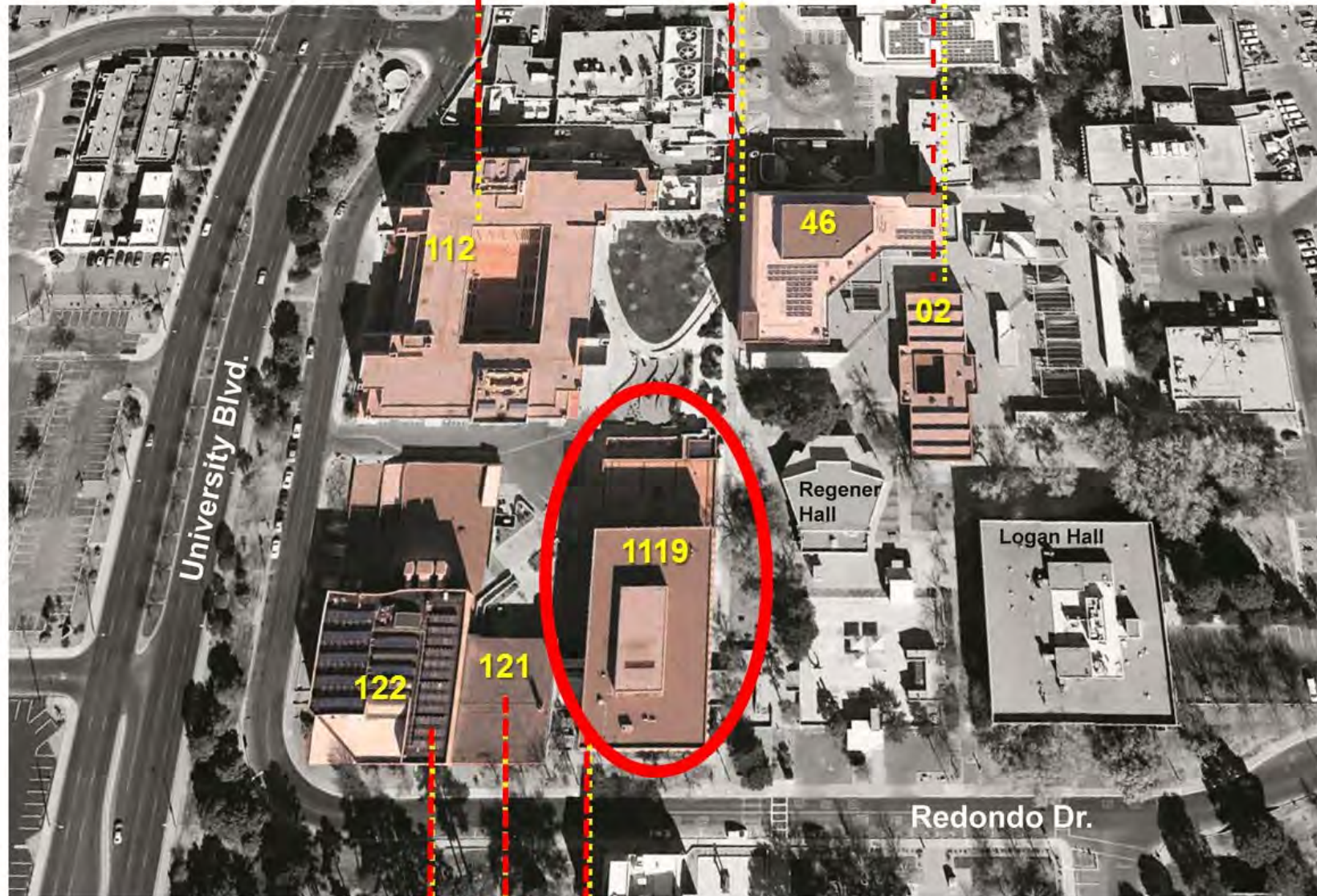
FUNDING:

The total estimated Project Budget is \$23,269,500:

- \$ 801,500 is funded from 2013 Severance Tax Bonds (13-1917)
- \$20,500,000 is funded from 2014 General Obligation Bonds
- \$ 1,968,000 is funded from 2015 Special Session Severance Tax Bonds

Centennial Engineering Center
Civil Engineering

**Engineering and Science
Computer Pod - 02**



Mechanical Engineering
Nuclear Engineering

Farris Engineering
Computer Science
Chemical and Biological Engineering
Nuclear Engineering

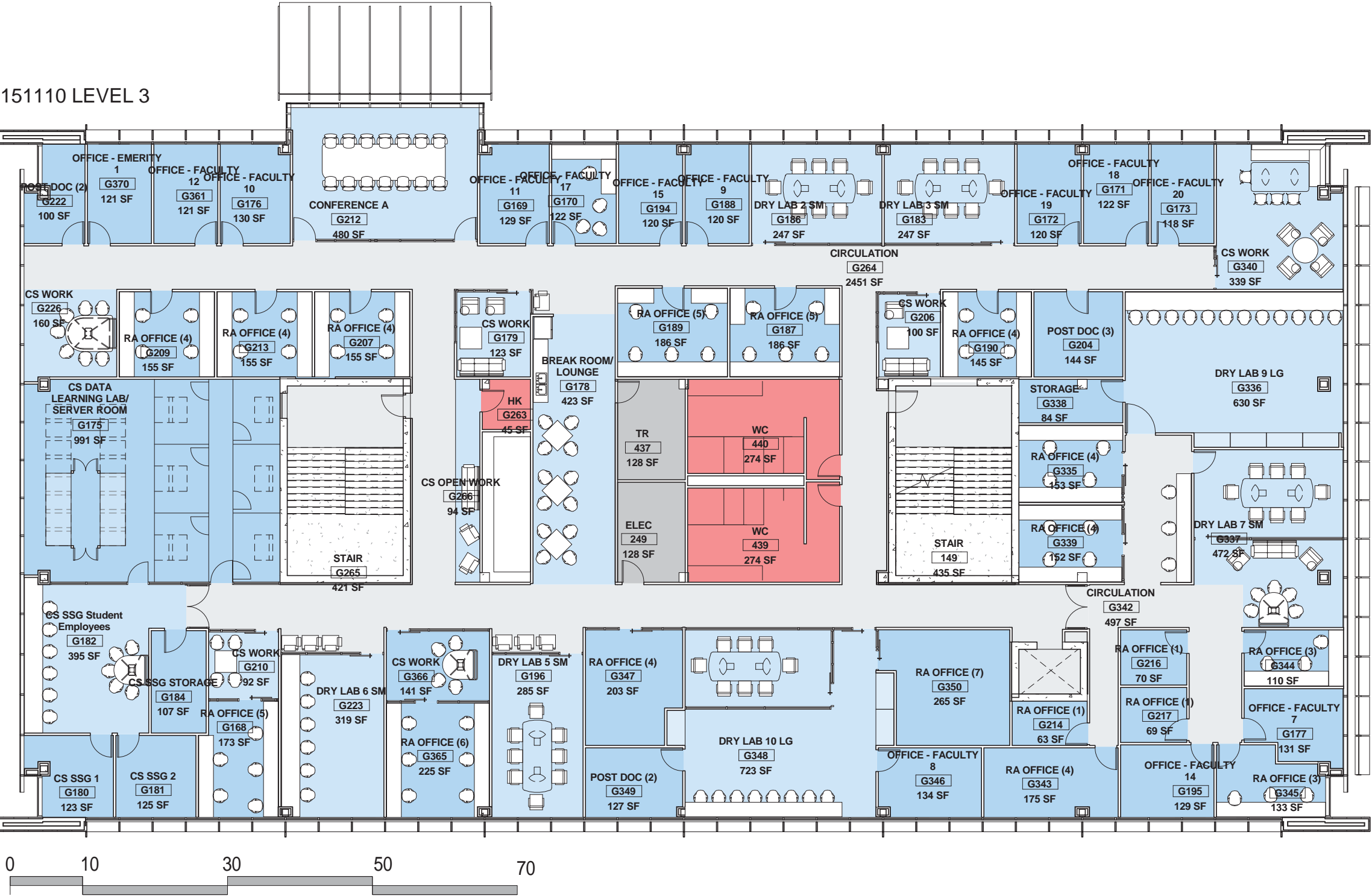


151110 LEVEL 1

NORTH
PLAZA



151110 LEVEL 3



151110 LEVEL 2



**REQUEST FOR CAPITAL PROJECT APPROVAL for
ZOLLINGER LIBRARY – COMPLETION OF SHELL SPACE
UNIVERSITY OF NEW MEXICO**

DECEMBER 11, 2015

REQUESTED ACTION:

In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested for the Zollinger Library – Completion of Shell Space Project at the UNM-Gallup Branch Campus.

PROJECT DESCRIPTION:

To plan, design, renovate, construct, equip and furnish the Zollinger Library lower level shell space at the Gallup Branch Campus of the University of New Mexico in McKinley County. The Zollinger Library project provides for the renovation of 8,250 square feet total of space largely within the existing library building. The project includes the replacement of the building's mechanical system as well as improvements to accessibility including installing a new elevator and fire-rated staircase between the upper and lower floors.

Also included is the relocation of electrical and data services to accommodate a new programmatic layout, including IT connectivity and upgrades. Programmatic improvements include a new learning commons on the upper floor, relocation of library stacks from the upper floor to the lower floor, a new reference desk on the upper floor and group study rooms on the lower floor.

PROJECT RATIONALE:

Zollinger Library is a regional resource and the physical space is at capacity. Additional stacks, student study and support space are needed to build out the Library's existing lower level shell space.

The building's existing mechanical system has, for many years, experienced ongoing performance issues. The air handler unit has had multiple parts replaced and the system is in need of replacement.

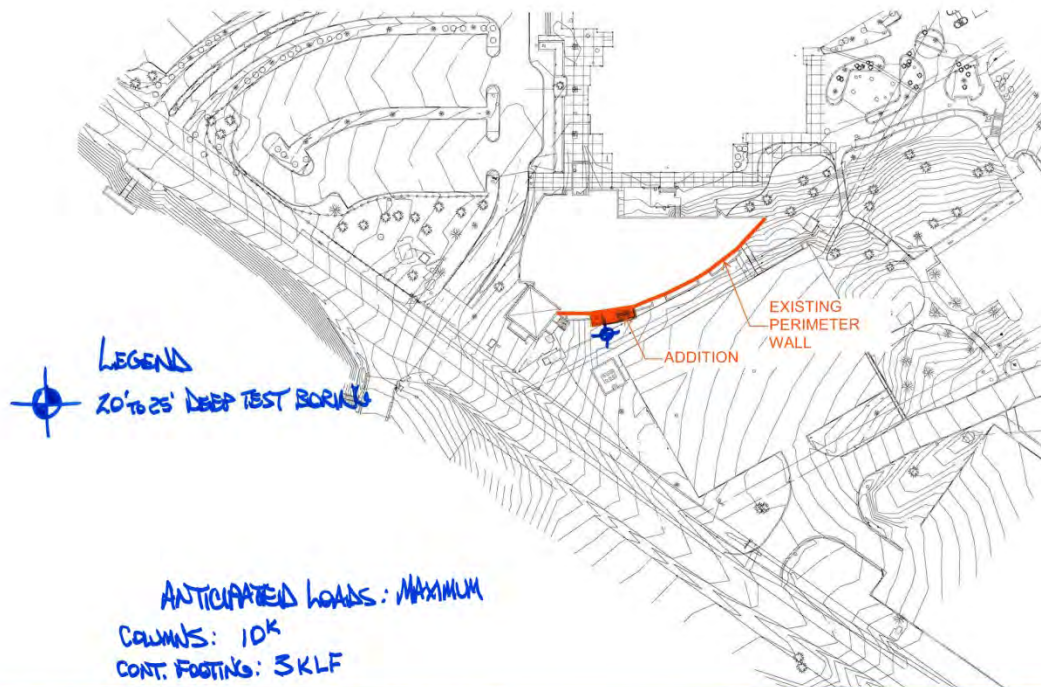
The existing lower level shell space is being used for storage. The Gallup administration is reviewing options to purge and relocate current stored materials.

FUNDING:

The Zollinger Library Project Budget is \$1,350,000:

Funding sources are as follows:

- \$1,000,000 is funded from 2014 General Obligation Bonds
- \$ 350,000 if funded from Gallup Campus Matching Funds

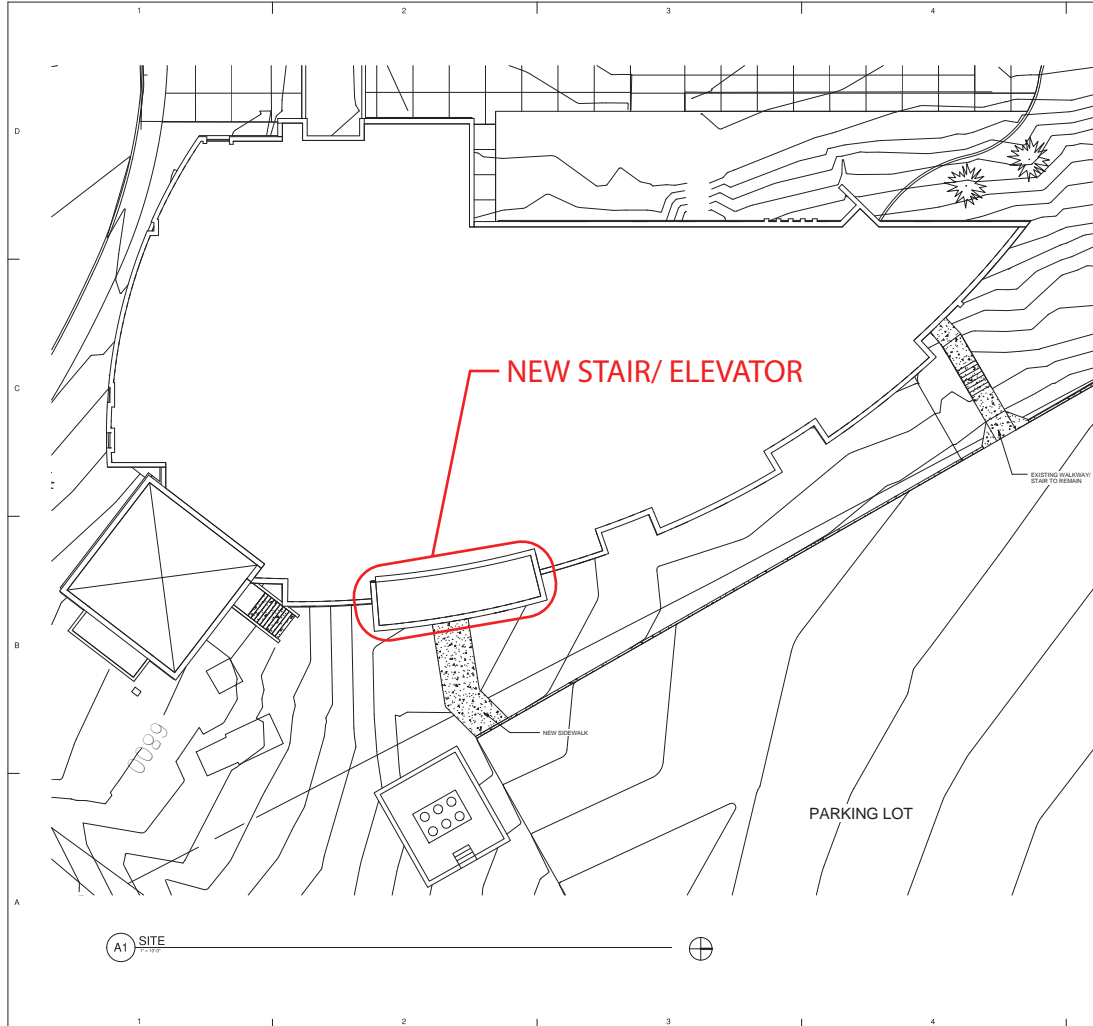


UNIVERSITY OF NEW MEXICO - GALLUP ZOLLINGER LIBRARY
SITE PLAN

fbt | architects
October 7, 2015

UNM GALLUP ZOLLINGER

10/19/2015 12:53:10 PM



fbt | architects

MAIL: 6501 American Plaza NE Suite 300
Albuquerque, NM 87110

PH: 505.883.2200
FAX: 505.884.2200
WEB: www.fbtarch.com

CONSULTANT

ARCHITECT

UNM GALLUP ZOLLINGER

705 GURLEY AVE
GALLUP, NM 87301

PROGRAMMING

October 16, 2015

MARK	DATE	DESCRIPTION
ISSUE: October 16, 2015		
DATE: 10/19/2015 12:53:10 PM		
PROJECT NO: 999		
BIM FILE:		
DRAWN BY: CDT		
CHECKED BY: SBJ		

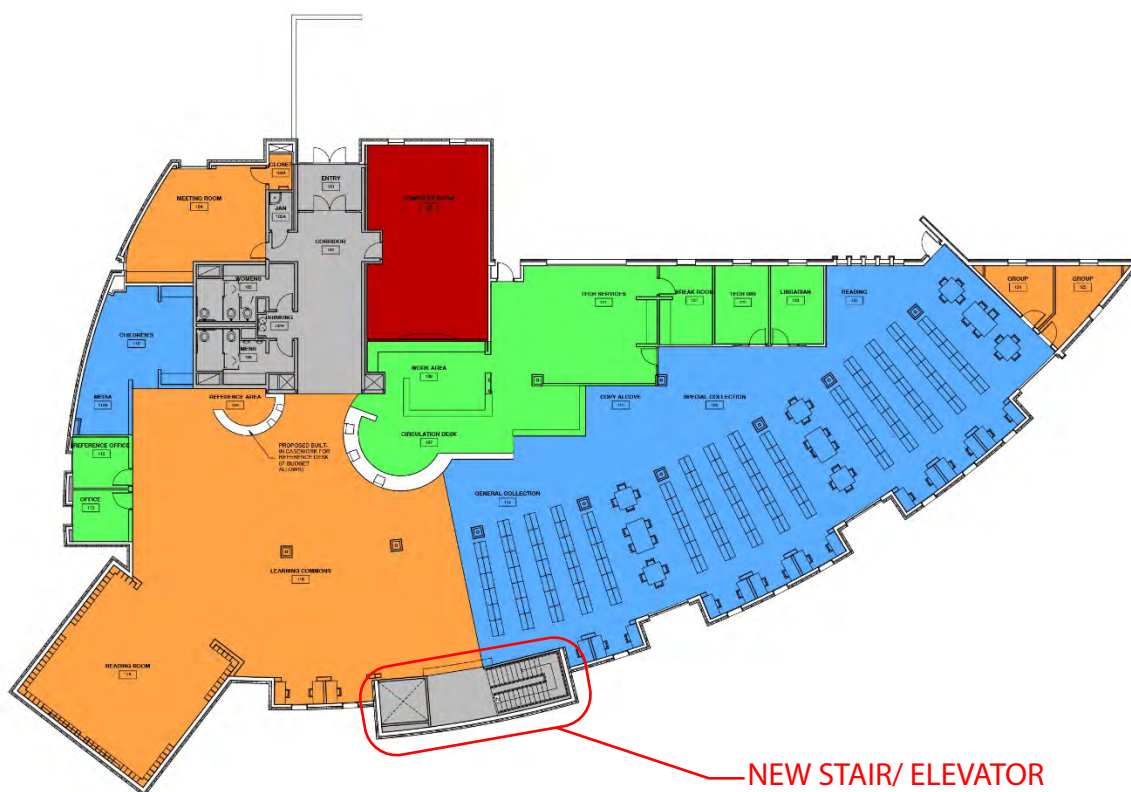
SHEET TITLE

SITE

AS-101

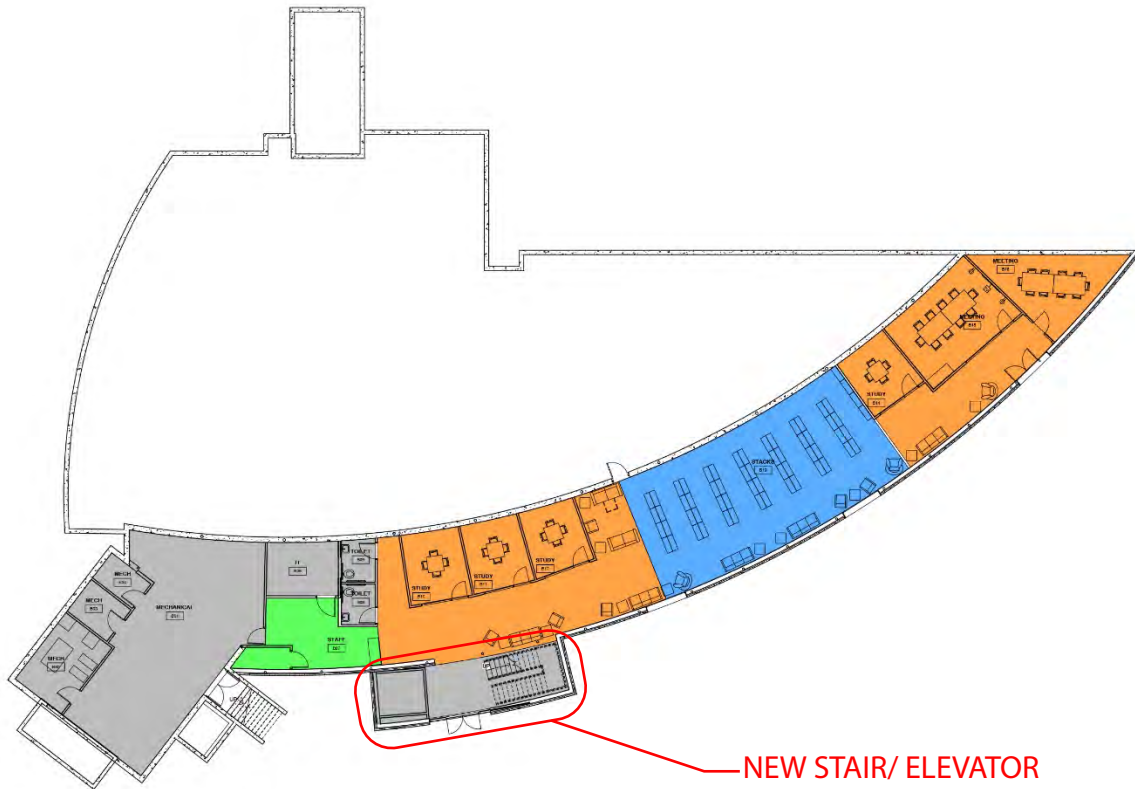
Schematic Design Diagrams

Ground Level Floor Plan



- Meeting/ Study
- Stacks
- Office and Office Support
- Computer Lab
- Tare

Lower Level Floor Plan



- Meeting/ Study
- Stacks
- Office and Office Support
- Computer Lab
- Tare



NEW STAIR/ ELEVATOR



December 7, 2015

TO: UNM Board of Regents Finance and Facilities Committee

FROM: Selena Salazar, Office of the University Secretary

SUBJECT: Approval of naming requests for Anderson School of Management and Athletics

Upon recommendation of Dean Craig White, the University Naming Committee approved the following:

Naming request from Anderson School of Management

- Approval for the new Anderson School of Management building, “**McKinnon Center for Management**”. The request for regent approval is pursuant to Regent’s Policy 2.11. The space is part of the exterior environment and requires Board of Regent approval.
- Approval for naming opportunities and their respective donation requirements for internal spaces within the pending McKinnon Center for Management. The request for regent approval is pursuant to Regent’s Policy 2.11. The respective donation requirements for internal spaces requires Board of Regent approval.

Upon recommendation of Vice President of Athletics Paul Krebs, the University Naming Committee approved the following:

Naming request from Athletics

- Approval to re-name the Lobo Baseball Field, “**Santa Ana Star Field**”. The request for regent approval is pursuant to Regent’s Policy 2.11. The space is part of the exterior environment and required Board of Regent approval.

Please place these items on the December 10, 2015 Finance and Facilities Committee meeting agenda for consideration.

Thank you.

Attachment



MEMORANDUM

December 3, 2015

TO: Dr. Robert Frank, President, The University of New Mexico

FROM: Dr. Amy Wohlert, Chair, UNM Naming Committee

RE: UNM Naming Committee Approval from December 2, 2015

approved
Robert G. Frank

The Naming Committee has reviewed and voted on the following naming request and recommends your approval. A copy of the departmental request along with UNM Policy 1020 is attached for your reference.

1. Naming Request from Anderson School of Management:

- Approval to name the new Anderson School of Management building, "McKinnon Center for Management,"
UNM Policy 1020 Section 3.2.1 Class I-Exterior Space

Please let me know if you have any questions or wish to discuss in further detail.



THE UNIVERSITY *of* NEW MEXICO

MEMORANDUM

Date: November 18, 2015

To: Dr. Robert Frank, President, The University of New Mexico

From: Dr. Amy Wohler, Chair, UNM Naming Committee

Re: UNM Naming Committee Approvals from November 18, 2015

The Naming Committee has reviewed and voted on the following naming requests and recommends your approval. A copy of the departmental request along with UNM Policy 1020 is attached for your reference.

1. Naming Request from Anderson School of Management:

- Approval of naming opportunities and their respective donation requirements for internal spaces within the pending McKinnon Family Building.
UNM Policy 1020 Section 3.2.2 Class II-Interior Space

2. Naming Request from Athletics:

- Approval to re-name the Lobo Baseball Field, "Santa Ana Star Field"
UNM Policy 1020 Section 3.2.1. Class I-Exterior Space

Please let me know if you have any questions or wish to discuss in further detail.



UNM ANDERSON SCHOOL
of MANAGEMENT

Office of the Dean

December 3, 2015

TO: UNM Naming Committee

FROM: Anderson School of Management, Building Campaign Committee
Craig White, Dean *CW*

RE: Request to Change Proposed Name from *McKinnon Family Buildings* to
McKinnon Center for Management

We are submitting a request to change the proposed name of the new facility for the Anderson School from *McKinnon Family Buildings* to *McKinnon Center for Management*. This requested change is at the behest of the donors. Their feeling is that the name *McKinnon Center for Management* more accurately conveys the objective of the gift – a state of the art facility for management education.

We ask for your approval in accordance with the *UNM Administrative Policies and Procedures Manual*, Policy 1020; Naming Facilities, Spaces, Endowments, and Programs.



Anderson School of Management at University of New Mexico

<i>Naming Opportunities</i>	<i>Sq. Ft.</i>	<i>Total Cost</i>		<i># of Rms</i>	<i>Naming Amount</i>	<i>Total</i>
		<i>per sf. @\$335</i>	<i>50% of cost per sf.</i>			
Atrium	6,600	2,211,000	1,105,500	1	1,000,000	1,000,000
Classrooms (Case Study)	2,048	686,080	343,040	3	350,000	1,050,000
Classrooms (Innovative-Hybrid)	1,296	434,160	217,080	1	200,000	200,000
Classrooms (Hybrid Studio)	1,540	515,900	257,950	9	250,000	2,250,000
Advisement & Career Center	3,073	1,029,455	514,727	1	500,000	500,000
Department Chair Offices	175	58,625	29,312	4	30,000	120,000
T/TT, lecturer, visiting faculty	120	40,200	20,100	74	20,000	1,480,000
PT faculty, Gas (cluster of 4)	600	201,000	100,500	2	100,000	200,000
Outdoor Spaces				1	200,000	200,000
Admin Staff Cluster	788	263,980	131,990	1	150,000	150,000
UNMF Devel/Mkt Cluster (7 offices)	688	230,480	115,240	1	100,000	100,000
Labs						
Accounting Lab	480	160,800	80,400	1	100,000	100,000
Finance Lab	480	160,800	80,400	1	100,000	100,000
Behavioral Lab (4 spaces)	1,260	422,100	211,050	1	200,000	200,000
IT Services and Lab	3,250	1,088,750	544,375	1	550,000	550,000
Information Assurance Lab	400	134,000	67,000	1	65,000	65,000
Total						8,265,000



MEMORANDUM
Paul Krebs, Vice-President, Athletics
University of New Mexico, Department of Athletics
1 University of New Mexico, MSC04 2680
Albuquerque, NM 87131-0001
Phone: 505/925-5510 Fax: 505/925-5534



TO: University of New Mexico Naming Committee

FROM: Paul Krebs, Vice President for Athletics

A handwritten signature in cursive script, appearing to read "Paul Krebs", is written over the printed name.

RE: Naming Lobo Baseball Field

DATE: November 11, 2015

I am requesting that we re-name Lobo Field, home of the Lobo Baseball team, Santa Ana Star Field. Tamaya Enterprises is making a \$1 million gift to be paid over ten-years for the rights to naming the baseball field Santa Ana Star Field.

The cost of the field was \$90,000 and was installed in 2013. The donation will be used to pay for overall improvements to the Lobo baseball stadium including a new clubhouse.



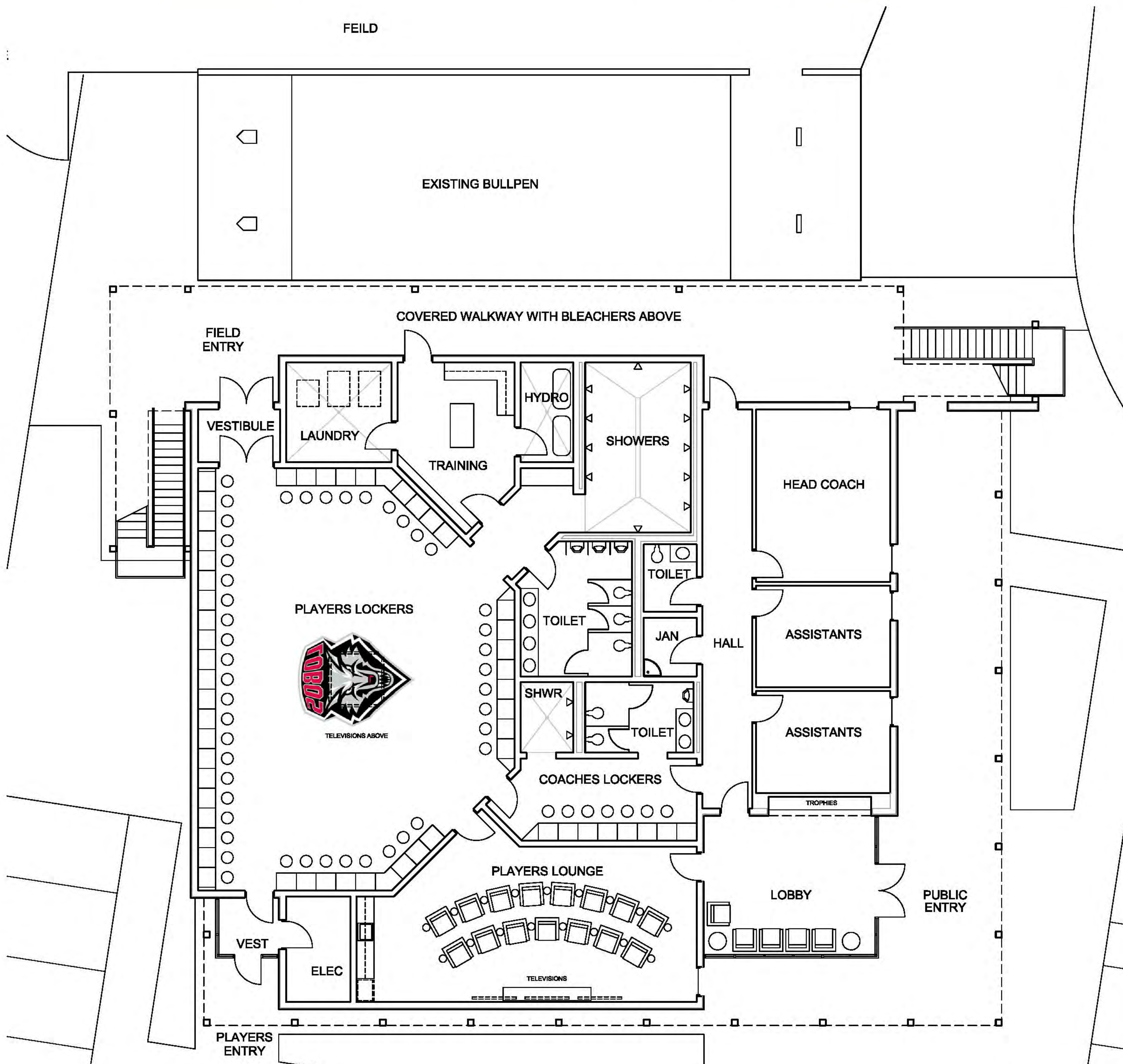
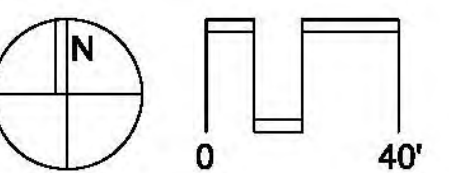


THE UNIVERSITY OF NEW MEXICO LOBOS

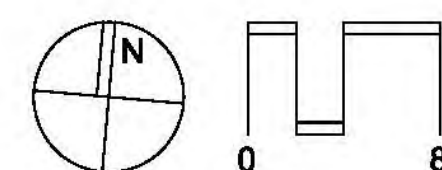




SITE PLAN
SCALE: 1" = 40'



FLOOR PLAN
SCALE: 1/8" = 1'-0"



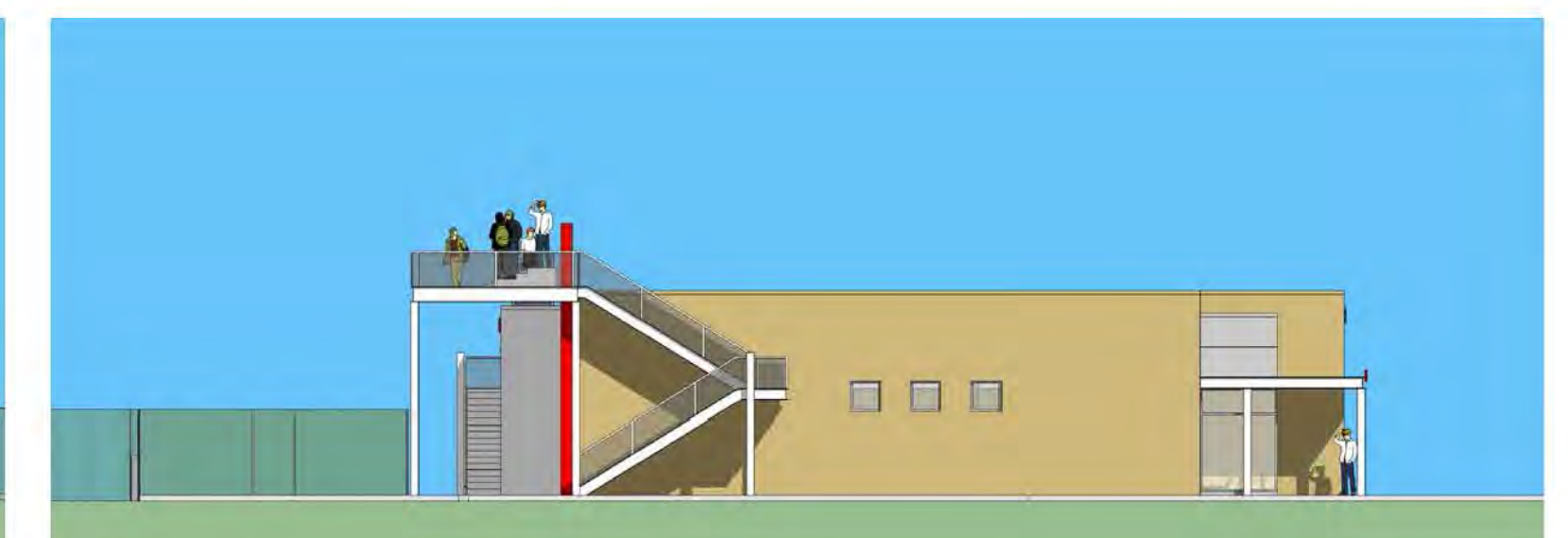
SOUTH ELEVATION



EAST ELEVATION

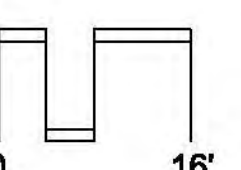


NORTH ELEVATION

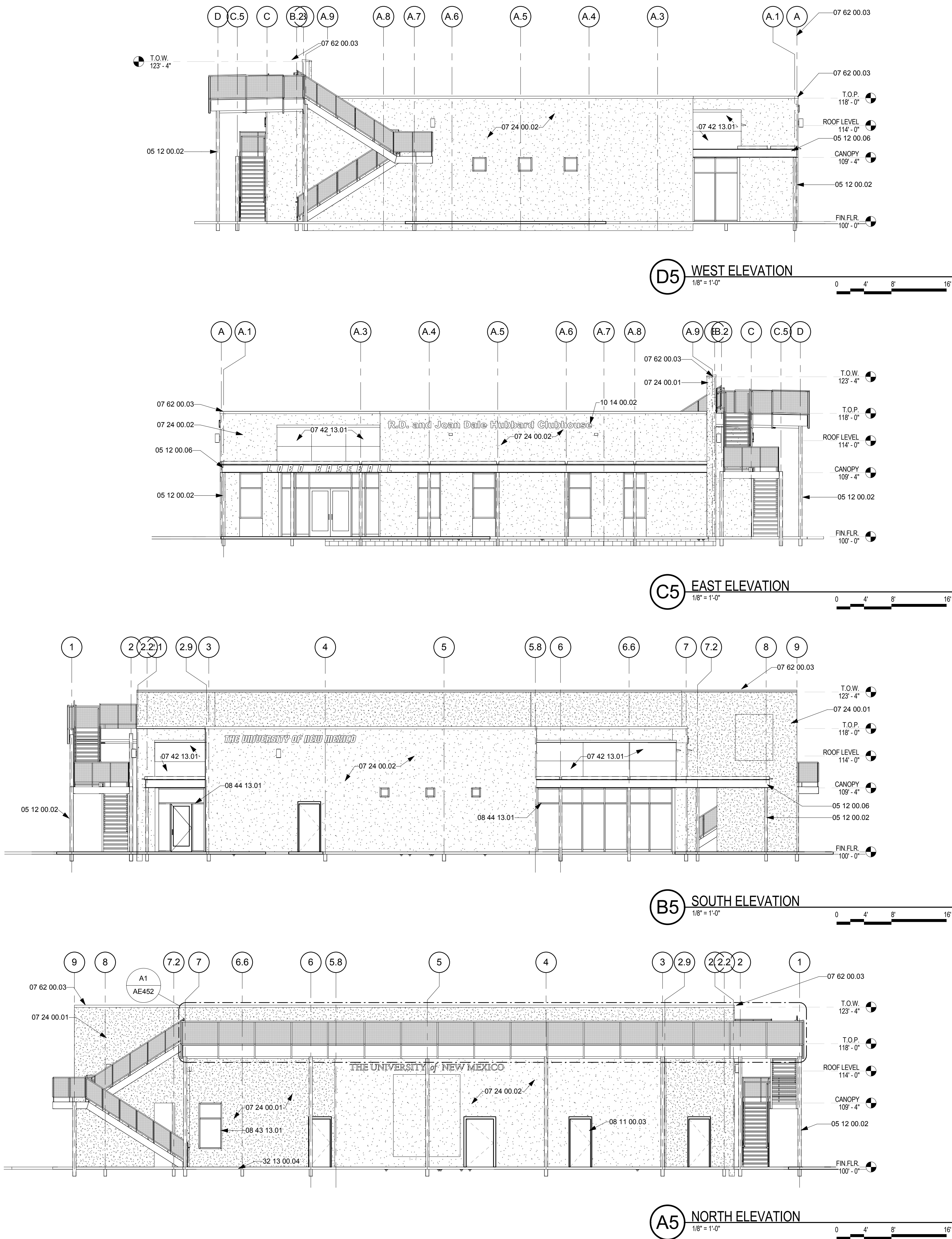


WEST ELEVATION

ELEVATIONS
SCALE: 1/16" = 1'-0"



7/9/2014 6:18:11 PM



GENERAL SHEET NOTES

- A. REFER TO SHEET AE621 FOR OPENING TYPES
B. ALL EXPOSED STEEL TO BE PAINTED P-X, UNLESS NOTED OTHERWISE

REFERENCE KEYNOTES

05 12 00.02	STEEL COLUMN; SEE STRUCTURAL
05 12 00.06	STEEL CHANNEL; SEE STRUCTURAL
07 24 00.01	2" EIFS - COLOR CHERRY RED SELECTED BY ARCHITECT
07 24 00.02	2" EIFS - COLOR TAN SELECTED BY ARCHITECT
07 42 13.01	INSULATED METAL WALL PANEL SYSTEM
07 62 00.03	PREFINISHED METAL COPING/CUSTOMCOLOR TO MATCH ADJACENT WALL
08 11 00.03	INSULATED HOLLOW METAL DOOR
08 43 13.01	ALUMINUM STOREFRONT SYSTEM
08 44 13.01	ALUMINUM CURTAIN WALL SYSTEM
10 14 00.02	CLEAR ANODIZED ALUMINUM LETTERS, FONT HELVETICA MEDIUM 12" HIGH
32 13 00.04	CONCRETE SIDEWALK

SHEET KEYNOTES

LEGEND

	COLOR: CHERRY (RED) EXTERIOR INSULATION FINISH SYSTEM
	COLOR: TAN EXTERIOR INSULATION FINISH SYSTEM
	METAL PANEL - MP-1

ARCHITECTURE / DESIGN / INSPIRATION

DEKKER
PERICH
SABATINI

7601 JEFFERSON NE, SUITE 100
ALBUQUERQUE, NM 87109

505.761.9700 / DPSDESIGN.ORG

ARCHITECT

ENGINEER

PROJECT

LOBO BASEBALL CLUBHOUSE
Albuquerque, NM

ISSUED FOR
PERMIT

REVISIONS
△ DATE DESCRIPTION

DRAWN BY

REVIEWED BY

DATE 07.08.2014

PROJECT NO. 14-0028.001

DRAWING NAME

EXTERIOR
ELEVATIONS

SHEET NO.

AE201

November 17, 2015

Mr. David W. Harris
EVP for Administration, COO & CFO
The University of New Mexico
Albuquerque NM 87131

RE: Lobo Development Corporation Board of Directors
Reappointment of Louis Abruzzo, Stephen Ciepiela, and Mario Griego-Raby

Dear EVP Harris,

Per the terms of the corporate Bylaws, Lobo Development Corporation's non-positional board members are to be appointed by the Board of Regents of The University of New Mexico for terms of one year. The terms of three non-positional board members have expired.

The Lobo Development Corporation Board of Directors request that you place the reappointment of Louis Abruzzo, Stephen Ciepiela, and Maria Griego-Raby on the agenda for the December 2015 meeting of the Board of Regents' Finance & Facilities Committee.

Sincerely,



Chris Vallejos
Secretary/Treasurer

Materials will be available at the meeting.



Office of Planning, Budget & Analysis

MEMORANDUM

TO: Members of the Board of Regents' Finance & Facilities Committee

THRU: Robert G. Frank, President
David W. Harris, EVP for Administration, COO and CFO

FROM: Andrew Cullen, Associate VP Office of Planning, Budget & Analysis

DATE: December 10, 2015

SUBJECT: **FY17 Main Campus Base Budget Update**

During the November 20, 2015 Board of Regents meeting the Administration was asked to provide a FY17 Main Campus Base Budget Update which would include not only I&G funds but all Main Campus unrestricted, restricted, and plant funds. The attached documentation provides that overview, along with other more specific information regarding the development of the FY17 Main Campus budget. This FY17 budget information can be summarized as follows:

- Budget Development Timeline
- Preliminary Budget Comparison
- Updated Budget Scenario
- Administration Budget Reductions

In an effort to gather information from various UNM departments and constituency groups, numerous overarching priorities and/or concerns were raised regarding the ability to fully develop and discuss the FY17 budget in the spirit of past budget development cycles. These concerns are summarized in an effort to discuss more fully the tradeoffs of developing a FY17 budget before all revenue and expenditure projections are finalized and before these issues are brought before the Budget Leadership Team (BLT) for recommendation and approval.

- **Faculty Senate** – Appreciates the opportunity to be an active partner in the budget development process but acknowledges that many issues will require a concerted effort/analysis through the spring and over multiple fiscal years
- **Staff Council** – Regarding compensation, believes there should remain a focus on the historical partnership between faculty & staff and that benefit packages should remain intact for active employees, with significant changes more directly impacting new employees. Additionally, ***new initiatives should be funded with new revenues.***

- **GPSA & ASUNM** - Strongly believe that the proposed SRFB allocation of Mandatory Student Fees, and more specifically the proposed Online Student Fee, should be fully discussed on campus and with the BLT. Student leadership expressed the need for more statistical data related to the online fee, the desire to have town hall meetings regarding this fee and the need to extend this discussion into the spring semester to allow for broader student input.
- **Human Resources** – The University's benefit consultants have projected an 11% increase in premiums (approximately \$1.85 million) by relying upon 3 months of current fiscal year claims and 9 months of the previous fiscal year. This means the projection is not reflective of current year claims, and thus does not include potential cost saving related to the Dependent Verification Audit and/or Pharmacy cost saving initiatives implemented for FY16.

The feeling of most constituency groups is that the FY16 budget development process was successful from the standpoint of inclusion. All constituency groups felt that their voices were heard, even if their priorities were not fully funded in the final budget. As we face another difficult budget development process with the need to factor in budget reductions resulting from enrollment declines and the possibility of flat State and Tuition & Fee revenue support, the need to fully discuss all priorities and solutions takes on considerably more weight. Thank you for your consideration.



FY17 Budget Development Timeline

Date	Description
January 4, 2016	Budget Planner Projections System Opens
TBD	ROM Academic Forecasting Tool Opens
January 15, 2016	Board of Regents
January 19, 2016	NM Legislative Session Begins
February 2016	Main Campus Mid-Year Reviews
February 9, 2016	Finance and Facilities Committee
February 12, 2016	Board of Regents
February 18, 2016	NM Legislative Session Ends
March 8, 2016	Finance and Facilities Committee
March 9, 2016	Governor Veto Deadline
March 14, 2016	Board of Regents
March 14, 2016	Budget Planner System Opens
March 22, 2016	Board of Regents - UNM Budget Summit*
March 22, 2016	Budget Guidelines and Allocations Released
April 5, 2016	Finance and Facilities Committee
April 15, 2016	Departments Locked in Budget Planner
April 21, 2016	Colleges/Divisions Locked in Budget Planner
April 25, 2016	Final Lock of Budget Planner
May 1, 2016	Budgets Due to NMHED
May 10, 2016	Finance and Facilities Committee
May 13, 2016	Board of Regents - Regents Approve Budgets

* Please note that the Budget Summit is a special purpose meeting of the full Board of Regents

**ESTIMATED**

Main Campus			
FY 17 Preliminary Budget Comparative Analysis (In Thousands)			
	FY 2016 Original	FY 2017 Original	% Change FY 16 to FY 17
Revenues			
State Appropriations	201,101	201,101	0%
Tuition and Fees	191,920	189,978	-1%
Federal Appropriations/Grants/Contracts	194,357	194,357	0%
Private Gifts and Contracts/Grants	26,061	26,061	0%
State, Local and Institutional Bonds	22,498	38,050	69%
Sales, Services and Other Revenues	149,560	149,895	0%
Total Revenues	785,497	799,442	2%
Expenses			
Faculty Salaries	100,159	100,865	1%
GA/TA/RA/PA Salaries	27,971	27,971	0%
Staff/Student/Other Salaries	204,357	200,126	-2%
Fringe Benefits	112,438	114,735	2%
Total Labor Expenses	444,925	443,697	0%
Scholarships/Awards	133,251	133,251	0%
Utilities	32,293	33,262	3%
Equipment	8,107	8,107	0%
Supplies and Other Expenses	101,837	98,514	-3%
Total Non-Labor Expenses	275,488	273,134	-1%
Capital Projects and Maintenance	84,737	81,875	-3%
Debt Service	33,943	36,482	7%
Total Expenses	839,093	835,188	0%
Net Margin	(53,596)	(35,746)	-33%

**UNM Main Campus
Budget Development
Fiscal Year 2017
Summary (in thousands) - Initial Base Budget**

Category	% Increase 12 Hr	% Increase 15 Hr	Undergrad Resident		
			Annual Cost	12 HR	15 HR
Tuition	0.00%	0.00%	Tuition	\$0.00	\$0.00
Fees	10.64%	10.65%	Fees	\$133.68	\$160.50
Tuition & Fees	1.89%	2.41%	Tuition & Fees	\$133.68	\$160.50

Sources of Funds:	Projected			Proposed	
	FY 16 Original	Changes	FY16 Revised	Changes	FY17 Original
State I&G General Fund:					
State Appropriation I&G Pooled Base	190,722	0	190,722	0	190,722
Main Campus State Appropriation Change: HB2	0	0	0	0	0
HSC State Appropriation Change: HB2	0	0	0	0	0
Subtotal State I&G General Fund - FY 16 Budget	190,722	0	190,722	0	190,722
Tuition:					
Starting Base	136,035	0	136,035	0	131,573
Adjustment: Enrollment Decrease	0	-1,850	-1,850	0	0
Adjustment: Enrollment Shift to 15-18 Hour Tuition Block	0	-1,400	-1,400	0	0
Adjustment: Spring 2016 Enrollment Reserve ¹	0	-1,212	-1,212	0	0
Net Tuition Increase	0	0	0	0	0
Subtotal Tuition	136,035	-4,462	131,573	0	131,573
Miscellaneous					
F&A Revenues	20,500	0	20,500	0	20,500
Land and Permanent Fund Revenue	8,800	0	8,800	0	8,800
Interest Income	800	0	800	0	800
Miscellaneous Fee Revenues and Transfers	-40,780	0	-40,780	0	-40,780
Subtotal Miscellaneous	-10,680	0	-10,680	0	-10,680
Health Sciences Center Transfers:					
Health Sciences Center Base ²	-18,314	0	-18,314	0	-18,627
Adjustment: Tuition True-Up	-264	-49	-313	0	0
Health Sciences Center Formula Workload/Outcomes	0	0	0	0	0
Health Sciences Center Tuition - Estimate	0	0	0	0	0
Subtotal Transfers to Health Sciences Center from I&G Base	-18,578	-49	-18,627	0	-18,627
Mandatory Student Fees:					
Starting Base	32,607	0	32,607	0	32,157
Adjustment: Enrollment Decline	0	-450	-450	0	0
Net Mandatory Student Fee Increase	0	0	0	2,980	2,980
Subtotal Mandatory Student Fees	32,607	-450	32,157	2,980	35,137
Total Sources of Funds:	330,106	-4,961	325,145	2,980	328,125
Uses of Funds:					
I&G Allocations and Requests:					
Expenditure Base	297,499	0	297,499	0	293,077
Expenditure Base Reductions - President	0	-110	-110	-88	-88
Expenditure Base Reductions - Academic Affairs	0	-2,625	-2,625	-2,111	-2,111
Expenditure Base Reductions - Administration	0	-919	-919	-740	-740
Other Base Adjustments:					
Academic Affairs	0	5	5	0	0
Administration	0	35	35	0	0
Fixed Costs:					
Health Care	0	0	0	1,842	1,842
Utilities	0	0	0	564	564
Property & Liability Insurance	0	-400	-400	0	0
Pooled Fringe Benefits	0	-409	-409	-462	-462
Funding Priorities:					
New Faculty Lines	0	0	0	426	426
Student Recruitment Enhancement	0	0	0	200	200
Distinguished Professors	0	0	0	40	40
Faculty/Lecturer Promotions	0	0	0	240	240
GA/TA Tuition Walvers - Tied to a Tuition Increase	0	0	0	0	0
Subtotal Allocations and Requests	297,499	-4,422	293,077	-89	292,988
Mandatory Student Fee Allocations and Requests:					
Expenditure Base	32,607	0	32,607	0	32,157
Expenditure Base Reduction	0	-450	-450	0	0
Net Funding Requests	0	0	0	-9	-9
Debt Service	0	0	0	2,989	2,989
Subtotal Mandatory Student Fee Allocations and Requests	32,607	-450	32,157	2,980	35,137
Total Uses of Funds	330,106	-4,872	325,234	2,891	328,125
Balance	0	-89	-89	89	0

Footnotes

1) Assumes Student Load Shift Approximate to FY16.

2) The HSC transfer base is net of a \$2.1M transfer from HSC to Main campus and a (\$20.4M) transfer to HSC from Main Campus.

The transfer to HSC includes HSC generated tuition revenue and State funding formula dollars per agreed upon amounts between HSC and Main campus and does not reflect total dollars generated by HSC. The transfer to HSC could increase or decrease each year per agreement due to incremental changes in tuition generated and/or in funding formula outcomes compared to the previous fiscal year.



**UNM Main Campus
Budget Development
Fiscal Year 2017
Summary (in thousands) - Initial Base Budget**

Category	% Increase 12 Hr	% Increase 15 Hr	Undergrad Resident		
			Annual Cost	12 HR	15 HR
Tuition	0.00%	0.00%	Tuition	\$0.00	\$0.00
Fees	10.64%	10.65%	Fees	\$133.68	\$160.50
Tuition & Fees	1.89%	2.41%	Tuition & Fees	\$133.68	\$160.50

Current Estimates - FY 16	1% Numbers
Fees	280
Tuition - Detail Below	1,182
Net Tuition and Fees	1,462
Compensation Increase (Salaries and Fringe) - Detail Below	2,612
	5,535
Compensation Detail	
Faculty	1,219
GA/TA	175
Staff	1,149
Students	69
Total 1% Increase - Compensation	2,612
Tuition Detail	
Student Financial Aid 20%	236
GA/TA Tuition Waivers 3%	34
HSC Tuition 7%	88
Pooled Revenue - Must Funds/Initiatives 70%	823
Total 1% Tuition Increase	1,182



Main Campus EVP for Administration Budget Reductions

Organization	FY 16 I&G One-Time Cut	FY 17 I&G Permanent Cut	FY 17 % Budget
Board of Regents	\$ 4,000	\$ 4,000	2.50%
Office of Planning, Budget and Analysis	\$ 35,858	\$ 37,000	4.17%
EVP for Administration Office	\$ 2,650	\$ 2,650	0.50%
Police Department	\$ 18,405	\$ 23,452	0.79%
Safety & Risk Services	\$ 32,805	\$ 32,805	2.50%
UNM Policy Office	\$ 792	\$ 792	0.50%
VP Institutional Support Services	\$ 526,842	\$ 526,842	1.50%
Athletics	\$ 13,316	\$ 13,316	1.50%
Financial Services	\$ 180,437	\$ 182,266	2.62%
Human Resources	\$ 55,000	\$ 55,000	3.12%
Government & Community Relations	\$ 827	\$ 827	0.50%
Office of CIO	\$ 4,998	\$ 4,998	1.50%
Information Technologies	\$ 250,000	\$ 250,000	2.56%
Property Insurance	\$ 250,000	\$ 250,000	16.23%
Liability Insurance	\$ 150,000	\$ 150,000	9.63%
Fringes - Instruction	\$ 252,637	\$ 252,637	0.74%
Fringes - Academic Support	\$ 58,918	\$ 58,918	0.80%
Fringes - Student Services	\$ 31,467	\$ 31,467	0.87%
Fringes - Institutional Support	\$ 62,803	\$ 62,803	0.67%
Fringes - O&M	\$ 2,771	\$ 2,771	0.59%
Grand Total	\$ 1,934,526	\$ 1,942,544	1.69%

Note: Overall % of Budget value is total FY 17 cut divided by budget of \$115.2 million

	FY 16 One-Time	FY 17 Permanent
Total Cuts	\$ 1,934,526	\$ 1,942,544
Less Tuition Shortfall Commitment 1.5%	\$ 1,728,088	\$ 1,728,088
Dollars Available for Reallocation	206,438	214,456

**MEMORANDUM TO ADVANCE
COMMITTEE AGENDA ITEM TO
THE BOARD OF REGENTS
THE UNIVERSITY OF NEW MEXICO**

DATE: November 20, 2015

TO: David W. Harris, EVP for Administration, COO & CFO

FROM: Chris Vallejos, Associate Vice President, Institutional Support Services

RE: UNM Capital Projects Executive Summary Report

INFORMATION ITEM:

The attached report is submitted for information only.

1. Planning, Design & Construction Executive Summary Report dated December 2015

cc: Lisa Marbury, Executive Director, Institutional Support Services
R. Henrard, A. Coburn, L. Chance, C. Martinez, T. Murray Allred – PDC

UNM Capital Projects Executive Summary Report

9/8/2015 through 12/8/2015

All Projects

Total Active Projects 22

Total Funding \$84,198,646

New Projects 3

Total SF 510,656

Total Cost \$214,245,146

Completed Projects 2

Major Capital

Projects >= \$500,000

Total Active Projects 14

Total Funding \$82,704,922

New Projects 0

Total SF 493,756

Total Cost \$212,751,422

Completed Projects 1

Minor Capital

Projects between \$1,000 and \$500,000

Total Active Projects 8

Total Funding \$1,493,724

New Projects 3

Total SF 16,900

Total Cost \$1,493,724

Completed Projects 1

CAPITAL PROJECTS

Major Capital Report

Schedule Info	Project Name	Architect	Contractor	SF	Project Funding	Est. Project Cost
Planning						
1	Programming Start / Finish:					
	-					
	Design Start / Finish:	Johnson Center Expansion and Renewal			\$150,000	\$35,000,000
	-					
	Const. Start / Finish:					
	-					
2	Programming Start / Finish:					
	2/24/2016 - 5/24/2016					
	Design Start / Finish:	Physics/Astronomy Interdis Science	TBD	TBD	137,000	\$1,446,710
	6/16/2016 - 7/17/2017					
	Const. Start / Finish:					
	9/12/2017 - 6/19/2019					
3	Programming Start / Finish:					
	-					
	Design Start / Finish:	Smith Plaza / Union Square		100,000	\$50,000	\$6,000,000
	-					
	Const. Start / Finish:					
	-					
Total Project		3	Total SF	237,000	Total Cost	\$107,414,710

<i>Schedule Info</i>	<i>Project Name</i>	<i>Architect</i>	<i>Contractor</i>	<i>SF</i>	<i>Project Funding</i>	<i>Est. Project Cost</i>
----------------------	---------------------	------------------	-------------------	-----------	------------------------	--------------------------

Programming

4	Programming Start / Finish:					
	2/2/2015 – 4/3/2015					
	Design Start / Finish:	Anderson School of Management	TBD	TBD	65,000	\$721,500
	1/4/2016 – 12/30/2016					
	Const. Start / Finish:					
	1/2/2017 – 3/30/2018					

Total Project **1**

Total SF **65,000**

Total Cost **\$25,000,000**

<i>Schedule Info</i>	<i>Project Name</i>	<i>Architect</i>	<i>Contractor</i>	<i>SF</i>	<i>Project Funding</i>	<i>Est. Project Cost</i>
----------------------	---------------------	------------------	-------------------	-----------	------------------------	--------------------------

Design

5	Programming Start / Finish: 6/3/2013 – 6/3/2015 Design Start / Finish: 8/12/2015 – 7/29/2016 Const. Start / Finish: 3/17/2016 – 12/15/2017	Farris Engineering Center Renovation	Rohde May Keller McNamara	TBD	88,889	\$23,269,500	\$23,269,500
6	Programming Start / Finish: 3/2/2015 – 8/10/2015 Design Start / Finish: 6/22/2015 – 3/25/2016 Const. Start / Finish: 4/25/2016 – 7/28/2017	Health Education Bldg Ph 3	Dekker/Perich/Sabatini	TBD	76,445	\$27,300,000	\$27,300,000
7	Programming Start / Finish: 2/12/2015 – 3/6/2015 Design Start / Finish: 6/25/2015 – 10/22/2015 Const. Start / Finish: 1/21/2016 – 6/1/2016	L A EMS Classroom/Lab	McClain-Yu Architects		2,416	\$625,000	\$625,000
8	Programming Start / Finish: 1/23/2015 – 5/13/2015 Design Start / Finish: 9/14/2015 – 3/10/2016 Const. Start / Finish: 5/24/2016 – 11/29/2016	Zollinger Library Shell Space	FBT Architects		8,250	\$1,350,000	\$1,350,000
Total Project		4	Total SF	176,000	Total Cost	\$52,544,500	

Schedule Info	Project Name	Architect	Contractor	SF	Project Funding	Est. Project Cost
----------------------	---------------------	------------------	-------------------	-----------	------------------------	--------------------------

Construction

9	Programming Start / Finish: 11/6/2012 – 10/15/2013 Design Start / Finish: 3/6/2014 – 12/4/2014 Const. Start / Finish: 9/4/2014 – 1/29/2016	Chemistry Bldg Reno (Clark Hall)	Vigil and Associates	Jaynes Corp (CMAR)	81,339	\$16,500,000	\$16,500,000
10	Programming Start / Finish: – Design Start / Finish: 12/11/2014 – 3/9/2015 Const. Start / Finish: 5/31/2015 – 6/30/2016	Gallup Infrastructure & Fire Protection	DePauli Engineering	Dallago Corporatoin		\$3,000,000	\$3,000,000
11	Programming Start / Finish: – Design Start / Finish: 2/23/2015 – 6/30/2015 Const. Start / Finish: 9/28/2015 – 4/29/2016	Taos Drainage/Landscape/Light	Living Design Group	HB Construction	61,000	\$701,250	\$701,250
12	Programming Start / Finish: 12/19/2013 – 3/14/2014 Design Start / Finish: 11/10/2014 – 8/28/2015 Const. Start / Finish: 11/2/2015 – 6/10/2016	Taos Health Career Training Center	Living Design Group	HB Construction	13,369	\$4,624,000	\$4,624,000

<i>Schedule Info</i>	<i>Project Name</i>	<i>Architect</i>	<i>Contractor</i>	<i>SF</i>	<i>Project Funding</i>	<i>Est. Project Cost</i>
----------------------	---------------------	------------------	-------------------	-----------	------------------------	--------------------------

Construction

13	Programming Start / Finish:					
	-					
	Design Start / Finish:	Taos Infrastructure Reno's/Upgrades	Various	Various	\$1,066,962	\$1,066,962
	10/1/2013 - 5/30/2014					
	Const. Start / Finish:					
	6/1/2014 - 5/1/2016					
14	Programming Start / Finish:					
	-					
	Design Start / Finish:	Valencia Chilled Water Phase II	Bridgers & Paxton Engineers	RMCI Inc.	\$1,900,000	\$1,900,000
	5/11/2015 - 8/24/2015					
	Const. Start / Finish:					
	10/5/2015 - 2/29/2016					
Total Project		6	Total SF	155,708	Total Cost	\$27,792,212

Projects Completed

Project Location: **Branch Campus**

<i>Project Start/End</i>	<i>Project Name</i>	<i>Project Mgr</i>	<i>Architect</i>	<i>Contractor</i>	<i>SF</i>	<i>Project Cost</i>
1 9/16/2015	Valencia Campus Loop Road	Maria Probasco	Walla Engineering Ltd.	Albuquerque Asphalt, Inc.		\$700,000

Totals for Branch Campus	<i>Total Projects</i>	1	<i>Total SF:</i>		<i>Total Cost:</i>	\$700,000
---------------------------------	-----------------------	---	------------------	--	--------------------	-----------

Project Location: **HSC**

<i>Project Start/End</i>	<i>Project Name</i>	<i>Project Mgr</i>	<i>Architect</i>	<i>Contractor</i>	<i>SF</i>	<i>Project Cost</i>
2 10/16/2015	HSC Animal Resource Facility Improvements	Tony Sanchez	Darren Sowell Architects	BCH Construction	1,660	\$490,983

Totals for HSC	<i>Total Projects</i>	1	<i>Total SF:</i>	1,660	<i>Total Cost:</i>	\$490,983
-----------------------	-----------------------	---	------------------	-------	--------------------	-----------

<i>Grand Total SF:</i>	1,660	<i>Grand Total:</i>	\$1,190,983
------------------------	-------	---------------------	-------------

New Projects

<i>Project Start/End</i>	<i>Project Name</i>	<i>PDC1 PM</i>	<i>PDC2 PM</i>	<i>Project Type</i>	<i>SF</i>	<i>Project Cost</i>
------------------------------	---------------------	----------------	----------------	---------------------	-----------	-------------------------

Project Location: Main Campus

1	10/8/2015	Chartwell ICE Project	Sharon Rodgers	Sue Mortier	Utility	\$70,000
2	10/26/2015 2/19/2016	American Indian Student Services (AISS) Office Remodel	Tony Sanchez		Remodel	783 \$93,737
3	9/8/2015 7/31/2015	Centennial & Parish Libraries Student Space Refresh	Kim Sylvester		Furniture/Equip	\$49,000

Totals for Main Campus	<i>Total Projects</i>	3	<i>Total SF:</i>	783	<i>Total Cost:</i>	\$212,737
-------------------------------	-----------------------	---	------------------	-----	--------------------	-----------

<i>Grand Total Projects</i>	3	<i>Grand Total SF:</i>	783	<i>Grand Total:</i>	\$212,737
-----------------------------	---	------------------------	-----	---------------------	-----------



POPEJOY HALL
1966-2015

UNM Regents Presentation • December 10, 2015



Albuquerque Symphony Orchestra performances in Carlisle Gym and the Civic Auditorium





Thomas L. Popejoy

President of the University of New Mexico 1948-1968

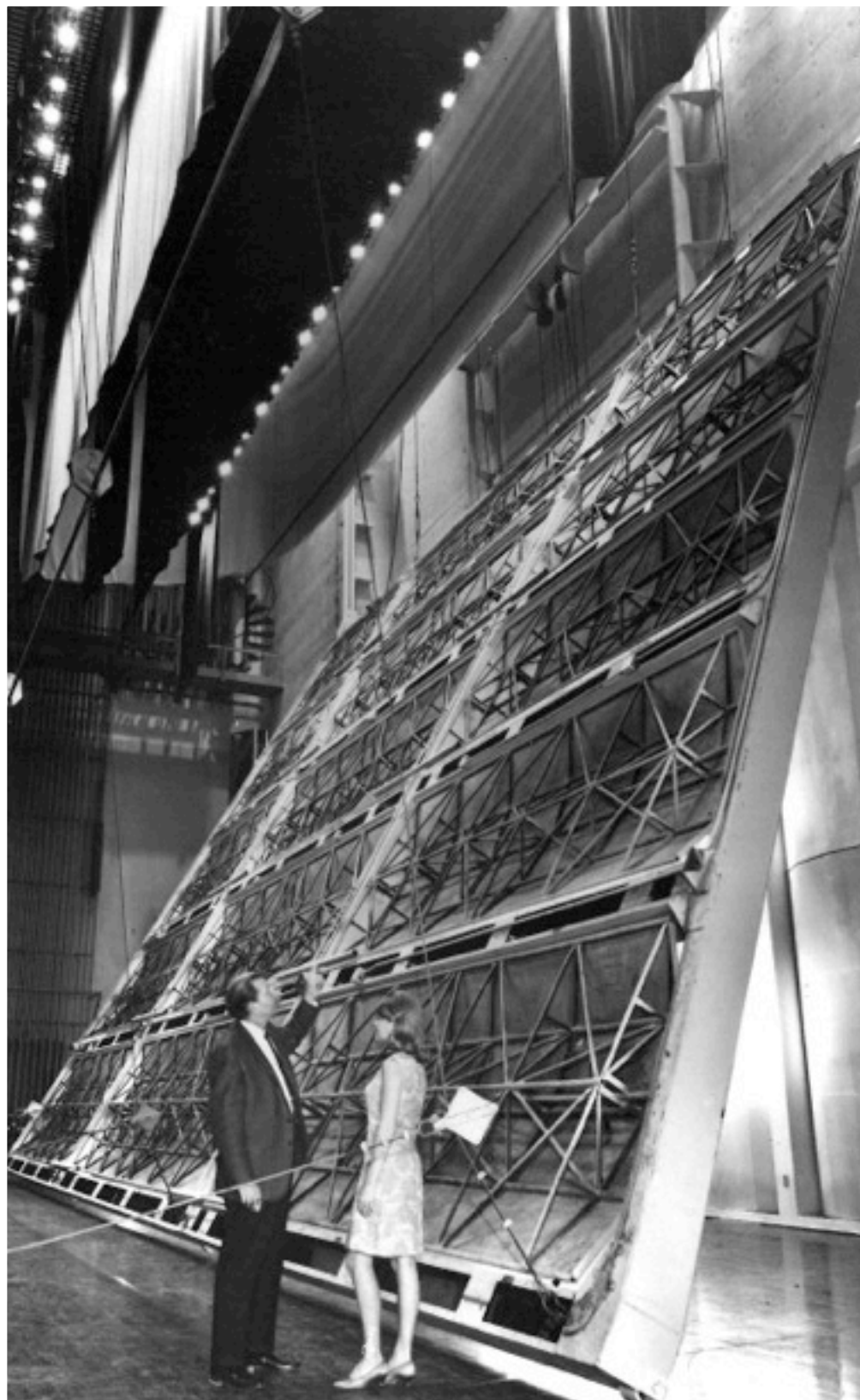


Please click on image above to view video.

Video Link: <https://youtu.be/NW7WiTgyfno>







Popejoy's first director, Bill Martin, shows the original orchestra shell to a student





Main Objective

1. To optimize revenue from ticket sales by introducing new programming.
2. To maintain a state-of-the-art facility in order to attract great performances and audiences.
3. To make Popejoy accessible to all New Mexicans.
4. To convince the University that Popejoy needed to start fundraising.

Objective I

To optimize revenue from ticket sales by introducing new programming.

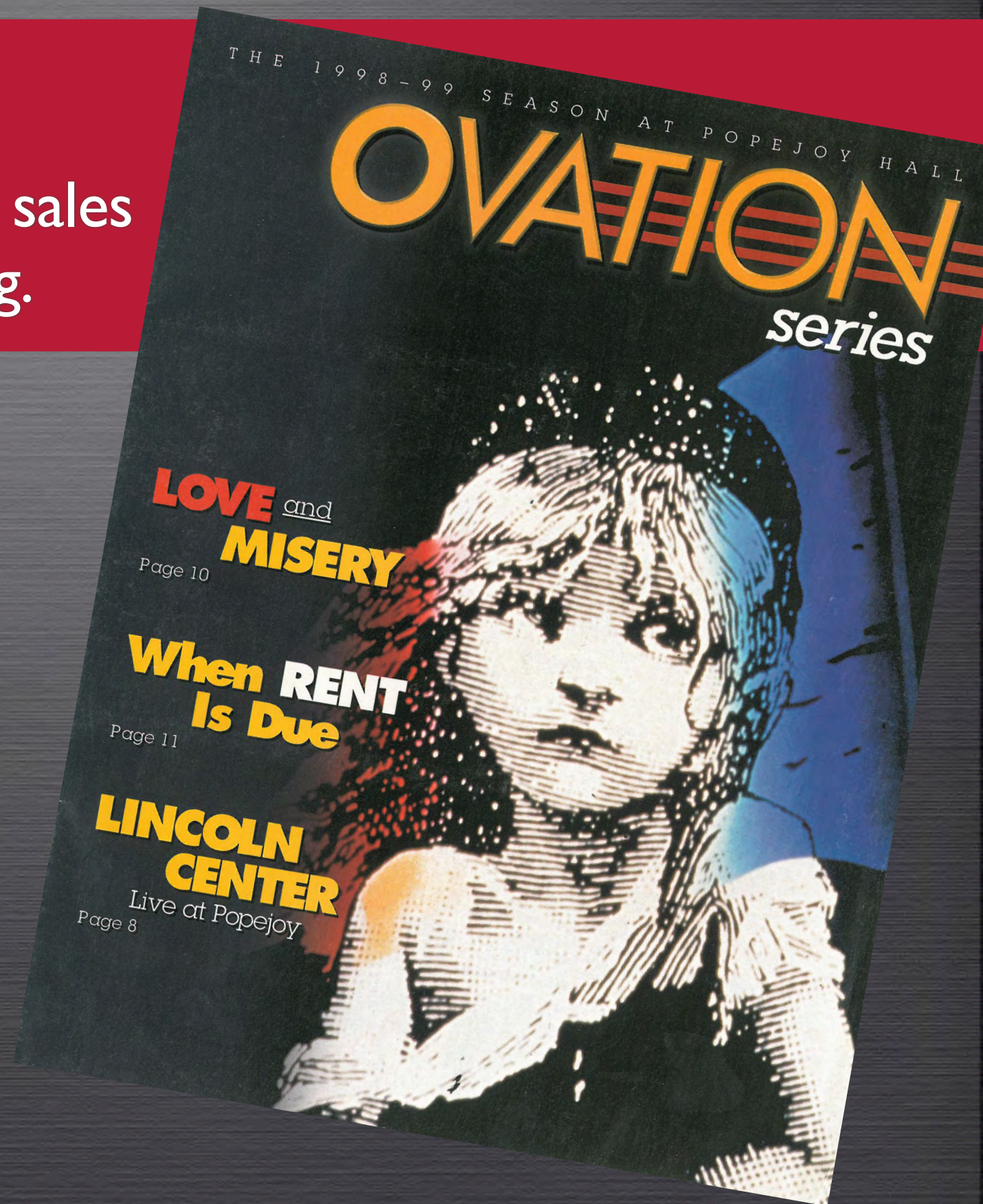
Broadway Nights 1998-1999

The King and I

Rent

*A Funny Thing Happened
on the Way to the Forum*

Les Miserables



Objective 2

To maintain a state-of-the-art facility in order to attract great performances and audiences.



2007 Seat renovation \$1,000,000



2008 Sound system upgrade \$585,000



2014 Acoustic Curtains \$94,000



Objective 3

To make Popejoy accessible
to all New Mexicans.

58,500 students attended the
2014-15 Schooltime season

Research & Polling, Inc.

*Popejoy Hall
Focus Group Research
February 2000*



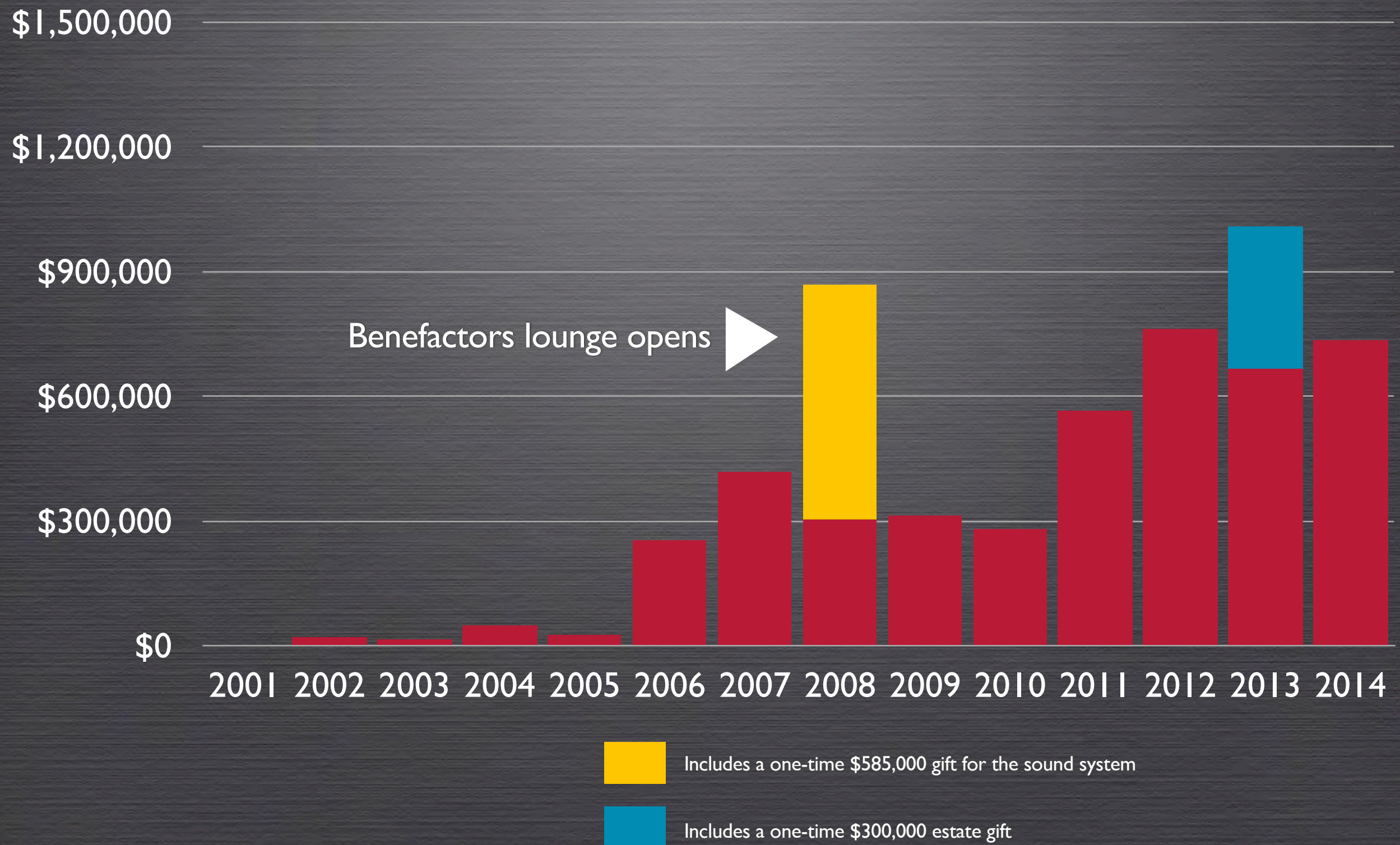
Objective 4

To convince the University that Popejoy needed to start fundraising.

Money Raised
our first year (2001)

\$856

Popejoy Fundraising History



The Benefactors Lounge



Feasibility Study

Information to be gathered in a feasibility study

Why is Popejoy important to them?

How do they feel about Popejoy's vision and mission?

What part of the case for support and the proposed capital improvement projects are the most attractive/unattractive to them?

What level of investment would they make if we move forward?

Popejoy's Vision

To create an exceptional experience for artist and audience alike by maintaining a state-of-the-art facility in order to attract great performances.

Popejoy's Mission

To make the performing arts accessible to all New Mexicans

Popejoy's Mission

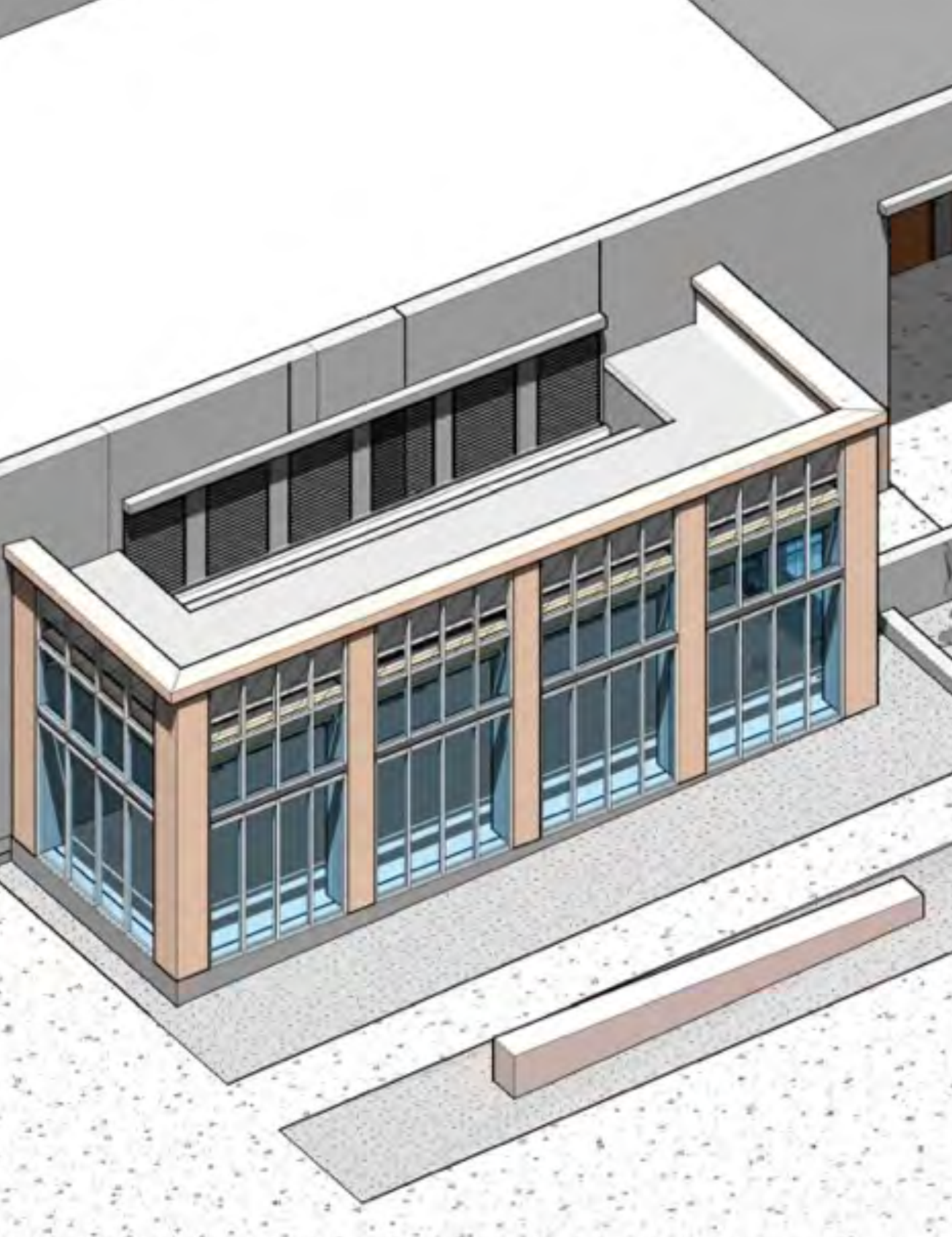
To bring great performances to you

The question

What makes Popejoy Hall
a unique and special
place in our community?

The answer

Popejoy Hall is the only theater in the state that can accommodate the large touring productions. People would not be able to see these shows in New Mexico if Popejoy did not exist.



Benefactor Lounge Expansion \$450,000





Lower Level Lounge renovations \$775,000



Improved balcony and public lounge \$200,000



Upgraded artist facilities \$550,000

The Numbers

225 Household membership in the Benefactors Lounge (affinity group)

42 Feasibility study interviews

\$530,000 Amount over 5 years.
Includes a \$250,000 gift from Southwest Capital Bank

Kick-off of the Public Campaign popejoyfund.com

Popejoy Hall

University Founded ~ Community Funded



Act One

Improved lower lobby and
expanded public restrooms



Act One

Improved lower lobby and
expanded public restrooms



Act One

Improved lower lobby and
expanded public restrooms

We are working to enhance your experience with Popejoy.

Popejoy Hall has launched a \$1.5 million dollar campaign to provide a number of significant improvements including expanded bathrooms, renovated public lounges and artist support facilities.

Thanks to generous donations of \$250,000 from both Southwest Capital Bank and Margaret & Turner Branch, the campaign will coincide with Popejoy's 50th Anniversary Season.

We invite you to invest and become part of this very important campaign for Popejoy Hall's continued success.



POPEJOY HALL

1966-2015

University Founded ~ Community Funded

Materials will be available at the meeting.

Provost's Report
will be presented at the meeting

Approval of Honorary Degrees

(names are kept confidential until
recipients have been notified)



December 7, 2015

TO: Board of Regents

FROM: Selena Salazar, Office of the University Secretary

SUBJECT: Fall 2015 Degree Candidates

The Faculty Senate approved the Fall 2015 Degree Candidates at the November 24, 2015 Faculty Senate meeting and the Board of Regents Academic/Student Affairs & Research Committee approved the Fall 2015 Degree Candidates at the December 3, 2015 meeting.

Included is the list of the Fall 2015 Degree Candidates. Please do not publish the candidates that are on the privacy flag list.

Degree Candidate Summary*

Degree Candidate Summary*	
Doctoral and MFA Degree	162
Master's Degree	526
Bachelor's Degree	1529
Associate's Degree	154
Total	2371

*Final number of conferred degrees will be slightly lower due to changes in student degree status that occur until the end of the semester.

Please place this item on the next Board of Regents Committee meeting agenda for consideration.

Thank you.

Attachments



**UNM Hospital Board of Trustees
Recommendation to HSC Board of Directors
December 2015**

Approval

(1) 3M Consulting Services

Ownership:

575 West Murray Boulevard
Murray, UT 84123-4611

Officers Information:

Inge G. Thulin
Chairman of the Board, President and CEO

Source of Funds: UNM Hospital Operating Budget

Description: Request for a three year agreement (with the option to renew annually for an additional five years) with 3M Consulting Services to provide outpatient ICD-10 and CPT Coding Overflow Services on an “as needed” basis. It is imperative that UNM HSC maintain timely coding functions in order to submit claims as quickly as possible to receive appropriate reimbursement and report on the care provided to our patients. As coding staff fluctuations and regulatory events, such as ICD-10, impact the ability to code patient records, engaging supplemental certified coding support can ease the backlogs and provide accurate coded data for timely claims submission. Supplemental coding services are needed to support in-house staff for hospital-based coding and physician-based coding. Supplemental coding staff will use domestic (U.S.) based coders only, and fees are based on a per chart / per hour basis by work type (Inpatient, outpatient, etc.) as outlined in pricing attachment to the RFP and vendor contract. Contract was awarded to 3M Consulting Services as the first vendor. ICD-10 coder shortage necessitated the need for an additional vendor contract with Precyse Solutions, LLC.

Process: UNMH RFP P312-15:

Multiple award: 3M Consulting Services, Precyse Solutions, LLC and an additional award is being processed to Navigant on similar terms, conditions and cost.

Previous contract: No previous overflow vendor for outpatient coding services.

RFP Respondents: There were twelve respondents to the RFP:

3M Consulting Services	Precyse Solutions, LLC	Maxim Healthcare
Harmony Healthcare	MedPartners	United Audit Systems
GeBB	Navigant	Coding Aid
HIImagine	Peak	Edifics

Selection Criteria:

10% Vendor Information	35% Staff Competency/Experience
35% Management and Performance Measures	20% Cost

Selection Reason: Based upon above criteria, the RFP committee members reviewed and scored all vendors, completed scheduled reference site conference calls, and selected via highest score the vendor that brought the best value to UNM Hospitals. Final scoring by the RFP committee

awarded this RFP to 3M Consulting Services; supplemental vendor was awarded to Precyse Solutions, LLC.

Total Cost: Services will be provided on an on-call, as needed basis.

Total contract costs estimated to be \$1,014,000. FY 16 costs estimated to be \$700,000. FY 17 costs estimated to be \$314,000. Dollar split based on based on available coders from each company as of 10/1/2015.



**UNM Hospital Board of Trustees
Recommendation to HSC Board of Directors
December 2015**

Approval

(2) Precyse Solutions, LLC

Ownership:

1275 Drummers Lane, Suite 200
Wayne, PA 19087

Officers Information:

Chris Powell
Chief Executive Officer

Source of Funds: UNM Hospital Operating Budget

Description: Request for a three year agreement (with the option to renew annually for an additional five years) with Precyse Solutions, LLC to provide outpatient ICD-10 and CPT Coding Overflow Services on an “as needed” basis. It is imperative that UNM HSC maintain timely coding functions in order to submit claims as quickly as possible to receive appropriate reimbursement and report on the care provided to our patients. As coding staff fluctuations and regulatory events, such as ICD-10, impact the ability to code patient records, engaging supplemental certified coding support can ease the backlogs and provide accurate coded data for timely claims submission. Supplemental coding services are needed to support in-house staff for hospital-based coding and physician-based coding. Supplemental coding staff will use domestic (U.S.) based coders only, and fees are based on a per chart / per hour basis by work/specialty type as outlined in pricing attachment to the RFP and vendor contract. Contract was awarded to 3M Consulting Services as the first vendor. ICD-10 coder shortage necessitated the need for an additional vendor contract with Precyse Solutions, LLC.

Process: UNMH RFP P312-15:

Multiple award: 3M Consulting Services, Precyse Solutions, LLC and an additional award is being processed to Navigant on similar terms, conditions and cost.

Previous contract: No previous overflow vendor for outpatient coding services.

RFP Respondents: There were twelve respondents to the RFP:

3M Consulting Services	Precyse Solutions, LLC	Maxim Healthcare
Harmony Healthcare	MedPartners	United Audit Systems
GeBB	Navigant	Coding Aid
HImagine	Peak	Edifics

Selection Criteria:

10% Vendor Information	35% Staff Competency/Experience
35% Management and Performance Measures	20% Cost

Selection Reason: Based upon above criteria, the RFP committee members reviewed and scored all vendors, completed scheduled reference site conference calls, and selected via highest score the vendor that brought the best value to UNM Hospitals. Final scoring by the RFP committee awarded this RFP to 3M Consulting Services; supplemental vendor was awarded to Precyse Solutions, LLC.

Total Cost: Services will be provided on an on-call, as needed basis.

Total contract costs estimated to be \$1,696,625. FY 16 costs estimated to be \$989,698 and FY 17 costs estimated to be \$706,927. Dollar split based on based on available coders from each company as of 10/1/2015.



November 17, 2015

TO: Health Science Center Board of Directors
FROM: Selena Salazar, Office of the University Secretary
SUBJECT: Fall 2015 Health Science Center Degree Candidates

Included is the list of the Fall 2015 Health Science Center Degree Candidates. Please do not publish the candidates that are on the privacy flag list.

Degree Candidate Summary*

Doctoral Degree	22
Master's Degree	42
Bachelor's Degree	42
Total	106

*Final number of conferred degrees will be slightly lower due to changes in student degree status that occur until the end of the semester.

Please place this item on the next Health Science Center Board of Directors meeting agenda for consideration.

Thank you.

Attachments

UNM Medical Group, Inc. Board of Directors – Full Membership¹

Class C Directors – Term Ending 12/31/16

Members from the UNM School of Medicine Committee of Chairs:

Loretta Cordova de Ortega, M.D. Chair, Department of Pediatrics, UNM School of Medicine.

Cheryl Willman, M.D., CEO and Director, UNM Cancer Center, Professor, Department of Pathology, UNM School of Medicine.

Nominee from the Physician Advisory Group - Chair:

Elizabeth Steele M.D., Vice Chair for Education, Associate Professor, Department of Anesthesiology, UNM School of Medicine

Nominee from the Physician Advisory Group – Chair (interim while Dr. Steele on sabattical):

John Brandt, M.D., Professor, Department of Pediatrics, UNM School of Medicine

Class A Directors – Term Ending 12/31/17

Positional Director:

Michael Richards, M.D., Chairman of the Board, Executive Physician-in-Chief
UNM Health System, Professor, Department of Emergency Medicine, UNM School of Medicine.

Nominee from the UNM School of Medicine Committee of Chairs:

Martha McGrew, M.D., Executive Vice-Dean of the UNM School of Medicine, Committee of Chairs member.

Nominee from the Physician Advisory Group – Vice Chair:

To Be Nominated by the PAG.

Nominee for Community Member:

Chris Pacheco – Community Member.

Class B Directors – Term Ending 12/31/18

Positional Director:

Steve W. McKernan, UNM Health System, Chief Operations Officer, CEO, UNM Hospitals.

Nominees from the UNM School of Medicine Committee of Chairs:

Steve McLaughlin, M.D., Chair, Department of Emergency Medicine, UNM School of Medicine.

Robert Schenck, M.D., Chair, Department of Orthopaedics, UNM School of Medicine

¹ This list includes those members being nominated today for approval by the Regents.

Nominations to the UNMMG Board of Directors

December 9, 2015

Presented by:

Michael E. Richards, M.D.
Executive Physician In Chief, UNM Health System
Chairman, UNM Medical Group Board

CURRENT NOMINEES

PAG Vice Chair (To be nominated by the PAG in late December (Class A – Term Expires 12/31/17))

Martha McGrew, M.D. (Class A – Term Expires 12/31/17)



Dr. McGrew is the Executive Vice Dean of the School of Medicine and is responsible for the day to day operations of the School of Medicine as well as working with Dean Roth on strategic planning and execution for the School of Medicine.

Dr. McGrew joined the faculty of the University of New Mexico School of Medicine in 1990. She is a graduate of Louisiana State University School of Medicine in Shreveport where she also completed her Family Medicine Residency. Following residency, Dr. McGrew completed a faculty development fellowship at the University of California-San Francisco Department of Family Medicine. She joined the faculty at UNM-SOM in 1990.

Dr. McGrew has served a number of leadership roles in the School of Medicine including Family Medicine Clerkship Director, Director of Tutor Training, Vice-chair for Education and Associate Chair. Dr. McGrew was the Chair of the Department of Family and Community Medicine from 2008-2015, when she became the Executive Vice Dean. She was selected for the prestigious Executive Leadership in Academic Medicine Fellowship (ELAM) which she completed in 2005. Her department is nationally and internationally known for its innovations in education, primary care, and community oriented research. She is committed to increasing access to care for the citizens of New Mexico through the education of Family Practice residents and other learners in the Health Sciences Center.

Dr. McGrew consults nationally and internationally on Problem-based Learning and Family Medicine Education. She has received numerous teaching awards from the School of Medicine and her Department. Dr. McGrew was named the Albuquerque Top Docs in Family Medicine by Albuquerque Magazine in 2008.

Dr. McGrew continues a very active practice in Family Medicine, with a focus on maternal-child health. Her favorite clinical time is being with residents in labor and delivery and delivering babies.

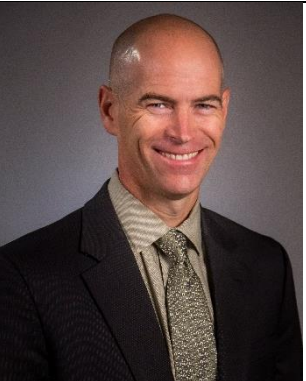
Christopher M. Pacheco, Esq. (Class A – Term Expires 12/31/17)



Christopher M. Pacheco is General Counsel and Executive Vice President for Titan Development. Mr. Pacheco also remains Of Counsel to Lastrapes, Spangler & Pacheco, P.A. He obtained his BA in Accounting and Political Science from New Mexico Highlands University in 1993 and his JD from the University of New Mexico School of Law in 1996. Mr. Pacheco has extensive experience in structuring, negotiating and consummating multi-million dollar business and real estate transactions. Mr. Pacheco handles every aspect of complicated real estate and development transactions including acquisition, sale, leasing, financing, construction, and governmental entitlements. Mr. Pacheco has been recognized in the Best Lawyers in America in the specialty of real estate every year since 2008 and, since 2002, has been included in Strathmore's Who's Who.

Mr. Pacheco remains active in his community. He is the Vice Chairman of the New Mexico Human Right Commission. He has served on the Haven House Board of Directors for many years, is a member and past director of the Rio Rancho Rotary Club, and is a member and past legal counsel to the Rio Rancho Regional Chamber of Commerce. In addition, Mr. Pacheco serves as a Sandoval County Employee Grievance/Discipline Hearing Officer and is a member of the Thirteenth Judicial District Court Pro Bono Committee.

Steve McLaughlin, M.D. (Class B – Term Expires 12/31/18)



Dr. Steve McLaughlin is a Regents' Professor and Chair of the Department of Emergency Medicine, University of New Mexico Health Sciences Center. He received a BS with Honors in Molecular Biology and Economics in 1991 from California Institute of Technology and his M.D. from Mayo Medical School in 1995. Dr. McLaughlin completed his residency in Emergency Medicine from the University of New Mexico in 1998 and then joined the faculty in the Department of Emergency Medicine that same year.

Dr. McLaughlin had been primarily involved with the educational missions of the department as clerkship director and residency program director. His additional roles at UNM have included Assistant Dean for Simulation, and Associate Dean for Continuing Medical Education. In 2012, he became Chair of the Department of Emergency Medicine. He is Board certified in Emergency Medicine and a Fellow of the American College of Emergency Medicine.

Dr. McLaughlin is heavily engaged in education/curriculum development with specific focus on procedural sedation, airway management, toxicology, environmental medicine, simulation and feedback and periodically teaches in Japan. He was the recipient of the prestigious UNM Alumni Association Faculty Teaching Award in 2015. He won the Apple for the Teacher Award in 2014 and was named the *Albuquerque The Magazine* Top Doc EM Physician in 2009, 2010, 2012 and was a third place winner in 2015.

Robert Schenck, M.D. (Class B – Term Expires 12/31/18)



Bob Schenck is Professor and Chair in the Department of Orthopaedics and Rehabilitation at the University of New Mexico School of Medicine. He received an MD degree from Johns Hopkins University (1984), and completed his Orthopaedic Surgery residency at Hopkins (1989). Dr. Schenck received fellowship training in Sports Medicine (Noyes Sports, Cincinnati, 1990) and Foot and Ankle Surgery (Coughlin F&A, Boise, 1999). He joined UNM in 2000 as Full Professor and Division Chief of Sports Medicine, functioning as head team physician for the Lobos. Bob was named Chair of Orthopaedics at UNM in 2006. Bob was raised on the Western Slope of Colorado and attended the University of Colorado for undergraduate studies. Bob & Trish have five children and recently celebrated their 29th anniversary.

Dr. Schenck served as CMO of SRMC during finance and construction of the hospital and still practices Orthopaedic Sports Medicine on the Westside. He recently collaborated with the Department of Surgery/Division of Vascular Surgery to create a comprehensive Diabetic Wound service (CHILE) at UNMH. Additionally, Bob began groundwork for the creation of a PMR (Physical Medicine and Rehabilitation) Department in the School of Medicine. Since becoming chair, the Orthopaedic Department has continued to grow adding a seven day a week trauma room, two fellowship programs, increasing research and creation of the UNM Orthopaedic Research Journal, and tripling the number of faculty members, all the while focusing on resident education and departmental happiness.

Bob's personal clinical expertise surrounds the knee and shoulder and he has published extensively on the diagnosis and management on multi-ligamentous injuries of the knee and continues to lecture internationally on various sports medicine topics.

Elizabeth Steele, M.D. (Class C – Term Expires 12/31/16)



Elizabeth A. Steele, MD, Associate Professor, is Vice Chair for Education in the Department of Anesthesiology. She began working at UNM in 2009. Within a year of her appointment, she assumed directorship of the Residency Program; a position she held for five years. She is heavily involved in Graduate Medical Education within the institution, having chaired the Duty Hours Task Force, is current Chair of the Program Review Committee and sits on the Survey Task Force, Rightsizing Committee and the Graduate Medical Education Committee. She has been recognized for her work with a special recognition certificate in 2012 and "Outstanding Educator" award in 2013. Within her Department, she coordinates the efforts of the various educational programs – paraprofessional to post-graduate.

Dr. Steele completed her undergraduate degree (Sociology with distinction) at the University of Michigan in 1991. After four years living in the greater Yellowstone area, Dr. Steele attended the University of North Carolina for medical school. She was a Distinguished Medical Scholar and graduated with Highest Honors. She completed her residency in Anesthesiology at Stanford University Hospital. She practiced for five years at Cleveland's public safety-net hospital, MetroHealth Medical Center, where she was an Assistant Professor at Case Western.

EXISTING BOARD MEMBERS

John Brandt, M.D. (Class C – Term Expires 12/31/16)



John Brandt, MD, MPH is the Vice Chair of the Department of Pediatrics. He received his BS in Biology from the College of William and Mary and his MD from the Eastern Virginia School of Medicine in Norfolk, Va. He completed his Pediatric Residency with the University of New Mexico in 1991, followed by Nephrology Fellowship training and MPH from the University of Washington in Seattle in 1995. He is board certified in Pediatric Nephrology.

Dr. Brandt returned to UNM in 1997 where he started the Pediatric Nephrology program and initiated the pediatric dialysis and renal transplant programs. He served as the Division Chief of Pediatric nephrology until 2013. Dr. Brandt was a UNMSOM Medical Education Scholar in 2005. He remains actively involved in the UNMSOM educational curriculum and has received multiple UNMSOM teaching awards. He is involved in multiple clinical leadership roles including the UNMMG Physician Advisory Group, as the Medical Director of the Pediatric Specialty clinics and as the Medical Director of the Pediatric Dialysis unit.

Loretta Cordova de Ortega, M.D., FAAP (Class C – Term Expires 12/31/16)



Dr. Cordova de Ortega is the Chair of the Department of Pediatrics at the University of New Mexico Health Sciences Center. Dr. Cordova de Ortega is a General Pediatrician and has devoted the majority of her practice to children with special health care needs, chronic medical conditions, and Spanish speaking families.

Dr. Cordova de Ortega received her bachelor's degree in Human Biology and Spanish from Stanford University and her M.D. from the University of New Mexico School of Medicine. She completed her residency at the UCLA Medical Center before returning to New Mexico to join the faculty in the Department of Pediatrics in 1991.

Dr. Cordova de Ortega has worked at UNM as the medical director of all pediatric ambulatory clinics and vice chair of the Department of Pediatrics. She has served on numerous department, hospital and Health Sciences Center committees and leadership positions. Within the School of Medicine, she is the co-chair of the Minority Women in Medicine Committee and is a member of the selection committee for the BA/MD Program.

Dr. Cordova de Ortega has been the Chair of the Department of Pediatrics since 2006. She has assisted the School of Medicine in making great strides to promoting and supporting pediatric research, and has worked to strengthen all of the department's clinical and academic programs, while providing excellent services to the children of New Mexico.

Michael Richards, M.D. (Class A – Term Expires 12/31/17)



Dr. Richards is the Executive Physician-in-Chief for the New Mexico Health System and is also a professor and former chair of the Department of Emergency Medicine and chief of staff at UNM Hospital. He has an extensive background as both an administrator and physician leader, having previously served as director of the UNM Center for Disaster Medicine and medical director of the EMS Bureau at the New Mexico Department of Health.

Dr. Richards is focused on translating the health system's strategic plan and vision into structure, function and operational plans. He is also responsible for helping the health system achieve greater alignment and integration across all of its operational elements.

Michael Richards has a Masters of Public Administration from the University of North Carolina, Med School from East Carolina University and internship/residency from the University of New Mexico, School of Medicine.

Steve McKernan (Class B – Term Expires 12/31/18)



Stephen McKernan made New Mexico his home in the late seventies, after graduating from St. Bonaventure University. He worked for the accounting firm of Deloitte, Haskins, and Sells and attained his CPA. His tenure with UNM Hospital began in 1980. While working at the UNM Hospital, he attained his Master's degree in Economics from the University of New Mexico.

Mr. McKernan is the Chief Executive Officer for the University of New Mexico Hospitals, Albuquerque, New Mexico, a position that he has held since 1996. Under his leadership, the hospital has expanded significantly in the volume of patients it serves and the breadth of the programs it supports.

Mr. McKernan serves as a board member of New Mexico Hospital Association, TriWest, American's Essential Hospitals, UHC, and the Great Southwest Council of the Boy Scouts. He is also an active member of the Rotary Club.

Cheryl L. Willman, M.D. (Class C – Term Expires 12/31/16)



Cheryl L. Willman, MD is the Director and CEO of the University of New Mexico Cancer Center and a Professor of Pathology and Internal Medicine at the UNM School of Medicine where she holds the Maurice and Marguerite Liberman Distinguished Chair in Cancer Research. Born in Indiana and raised in the Midwest, Dr. Willman has lived in New Mexico for nearly 30 years. She received her B.A. in chemistry from St. Olaf College in Northfield, Minnesota and her M.D. in 1981 from The Mayo School of Medicine in Rochester, Minnesota. Awarded one of the first NIH Physician Scientist Awards in 1984, Dr. Willman completed her residency and post-doctoral training in pathology and cancer research at the NIH in Washington DC, UNM, and the University of Washington in Seattle.

Over the past 12 years, as Director and CEO of the UNM Cancer Center, Dr. Willman has led the development of the clinical, research, education, and outreach programs of the Cancer Center, culminating in the formal designation of the Center as a National Cancer Institute (NCI)-Designated Center in 2005, its recent 5 year competitive NCI renewal and re- designation in 2010, and, a ranking as one of “America’s Best Cancer Hospitals” by *U.S. News & World Report* in 2006. The 101 oncology physicians, 127 researchers, and more than 500 staff of the UNM Cancer Center are dedicated to providing outstanding cancer treatment, conducting world class research, training the next generation of cancer healthcare professionals, and delivering community outreach programs throughout New Mexico’s urban, rural, and tribal communities. The UNM Cancer Center provides care for more than 15,800 cancer patients each year, nearly 60% of the adults and virtually all of the children in New Mexico affected by cancer.

Dr. Willman is also an internationally recognized leukemia researcher and her own laboratories at UNM are supported by over \$16 million in funding from the NCI and the Leukemia & Lymphoma Society. She has published more than 220 scientific articles in prestigious journals and several books and reviews and holds 11 international patents or patents pending. She leads a consortium of investigators from UNM, Sandia National Laboratories, and the U PENN/Children’s Hospital of Philadelphia who are applying advances from the human genome project to improve leukemia diagnosis and discover new and more effective drugs and therapies, including nanotherapeutic approaches.

Throughout her career, Dr. Willman has received numerous research grants and awards from NCI, Howard Hughes Medical Institute and The W.M. Keck Foundation. She received The Stowell-Orbison Award, The Benjamin Castleman Award, and The Young Investigator Award from the International Academy of Pathology. Dr. Willman was also a founder and President of the Association of Molecular Pathology and she is a member of the U.S. Department of Energy Women in Science Hall of Fame. Dr. Willman currently serves as a Member of the NCI Board of Scientific Counselors and several NCI committees and boards, focused on science, national clinical trials, and cancer health disparities. She also serves on the Board of Directors of 8 other NCI Cancer Centers. Dr. Willman has received numerous public service awards, including the 16th Governor’s Award for Outstanding New Mexico Women (2001), The New Mexico Distinguished Public Service Award (2005), and The La Estrella Award (2013).

Chancellor's Report
will be presented at the meeting

Advisor Comments/Reports



**UNM Foundation, Inc.
UNM Regent's Advisor Report
December 2015**

Changing Worlds 2020: The Campaign for UNM

The University of New Mexico launched *Changing Worlds: The Campaign for UNM* in 2006. Since then, nearly 66,725 generous donors have made this campaign the largest campaign in UNM history, with more than \$780 million in gifts in support of scholarships, patient care, research, and more.

Building on the UNM 2020 goals, but with the same emphasis on student success and opportunity, faculty support and research, campus programs, and capital projects and facilities, President Robert G. Frank has announced that the campaign has been refreshed and extended. *Changing Worlds 2020: The Campaign for UNM* will continue to energize alumni and friends to make meaningful gifts.

Folding in the current campaign results, the goal has been set to strive for a total of \$1 billion in private support by the end of 2020.

Specific fundraising highlights:

School of Medicine received two gifts totaling \$613,000.

The Cancer Research & Treatment Center received a gift of \$850,000.

School of Medicine – received a two gifts of \$2.3 million.

University College & College of Engineering received a gift of \$200,000

College of Arts & Sciences secured a gift of \$125,000

We received \$1 million from Jason Aryeh.....

**THE UNIVERSITY OF NEW MEXICO
MR. AND MRS. HUGH B. AND HELEN K. WOODWARD ENDOWMENT
FUNDED BY THE SANDIA FOUNDATION**

	2011-12	2012-13	2013-14	2014-15	2015-16
<u>PRINCIPAL/CORPUS</u>					
BEGINNING MARKET VALUE, JULY 1:	\$ 37,282,001	\$ 36,545,942	\$ 40,213,741	\$ 46,217,372	\$ 46,457,996
ADDITIONS	1,260,000	1,327,500	1,046,250	1,800,000	403,313
INVESTMENT EARNINGS	132,283	4,119,725	6,788,018	438,314	(2,089,918) (1)
DEVELOPMENT FUNDING ALLOCATION	(603,057)	-	-	-	- (3)
SPENDING DISTRIBUTION	(1,525,285)	(1,779,426)	(1,830,637)	(1,997,690)	(517,479) (2)
ENDING MARKET VALUE, JUNE, 30:	<u>\$ 36,545,942</u>	<u>\$ 40,213,741</u>	<u>\$ 46,217,372</u>	<u>\$ 46,457,996</u>	<u>\$ 44,253,912</u>

(1) FY 2015-16 Net Investment Earnings:	Represents the actual net investment earnings through September 30, 2015. Net investment earnings for the period of July 1, 2015, through September 30, 2015, were -4.1%, net of manager fees.
--	--

(2) FY 2015-16 Spending Distribution:	Represents the quarterly spending distributions from July 1, 2015, through September 30, 2015.
--	--

(3) FY 2015-16 Development Funding Allocation:	The Development Funding Allocation is not assessed on the Woodward endowment.
---	---

**THE UNIVERSITY OF NEW MEXICO
WINROCK LAND SALE ENDOWMENT**

	2011-12	2012-13	2013-14	2014-15	2015-16
<u>PRINCIPAL/CORPUS</u>					
BEGINNING MARKET VALUE, JULY 1:	\$ 27,587,901	\$ 26,069,492	\$ 27,420,035	\$ 30,541,208	\$ 29,296,021
ADDITIONS	-	-	-	-	-
INVESTMENT EARNINGS	97,208	2,900,008	4,564,443	284,506	(1,316,238) (1)
DEVELOPMENT FUNDING ALLOCATION	(486,943)	(496,004)	(400,496)	(435,063)	(117,167)
SPENDING DISTRIBUTION	(1,128,674)	(1,053,461)	(1,042,774)	(1,094,630)	(278,112) (2)
ENDING MARKET VALUE, JUNE, 30:	<u>\$ 26,069,492</u>	<u>\$ 27,420,035</u>	<u>\$ 30,541,208</u>	<u>\$ 29,296,021</u>	<u>\$ 27,584,504</u>

(1) FY 2015-16 Net Investment Earnings:	Represents the actual net investment earnings through September 30, 2015. Net investment earnings for the period of July 1, 2015, through September 30, 2015, were -4.1%, net of manager fees.
--	--

(2) FY 2015-16 Spending Distribution:	Represents the quarterly spending distributions from July 1, 2015, through September 30, 2015.
--	--

**THE UNIVERSITY OF NEW MEXICO
REGENTS' ENDOWMENT**

	2005-06	2006-07	2007-08	2008-09	2009-10	2010-11	2011-12	2012-13	2013-14	2014-15	2015-16
<u>PRINCIPAL/CORPUS</u>											
BEGINNING MARKET VALUE, JULY 1:	\$26,548,336	\$32,325,235	\$36,674,360	\$35,018,525	\$25,165,952	\$25,889,070	\$28,836,764	\$27,249,619	\$27,508,609	\$30,639,864	\$28,643,719
ADDITIONS:											
MESA DEL SOL PROPERTY SALE	8,045,923	-	-	-	-	-	-	-	-	-	-
INNOVATE ABQ REPAYMENT	-	-	-	-	-	-	-	-	-	2,038,082	-
WITHDRAWALS:											
ASM STUDENT INVESTMENT PROGRAM	(2,000,000)	-	-	-	-	-	-	-	-	-	-
INNOVATE ABQ	-	-	-	-	-	-	-	-	-	(2,800,000)	-
GIBSON/MULBERRY PROPERTY PURCHASE	(1,645,435)	-	-	-	-	-	-	-	-	-	-
2811 CAMPUS PROPERTY PURCHASE	(242,798)	-	-	-	-	-	-	-	-	-	-
SCHOLARSHIP FUNDING	-	-	-	(880,525)	(3)	-	-	-	-	-	-
BASEBALL FIELD CAPITAL PROJECT	-	-	-	-	-	-	-	(1,100,000)	-	-	-
INVESTMENT EARNINGS	2,789,695	6,123,941	284,643	(7,060,716)	2,659,659	4,759,412	101,609	2,917,311	4,579,187	256,330	(1,286,931) (1)
DEVELOPMENT FUNDING ALLOCATION		(329,734)	(398,416)	(335,326)	(505,476)	(507,115)	(508,986)	(502,354)	(401,790)	(420,815)	(114,558)
SPENDING DISTRIBUTION	(1,170,486)	(1,445,081)	(1,542,062)	(1,576,006)	(1,431,065)	(1,304,603)	(1,179,768)	(1,055,967)	(1,046,142)	(1,069,742)	(271,919) (2)
ENDING MARKET VALUE, JUNE 30:	<u>\$32,325,235</u>	<u>\$36,674,360</u>	<u>\$35,018,525</u>	<u>\$25,165,952</u>	<u>\$25,889,070</u>	<u>\$28,836,764</u>	<u>\$27,249,619</u>	<u>\$27,508,609</u>	<u>\$30,639,864</u>	<u>\$28,643,719</u>	<u>\$26,970,310</u> (4)

(1) FY 2015-16 Net Investment Earnings:	Represents the actual net investment earnings through September 30, 2015. Net investment earnings for the period of July 1, 2015, through September 30, 2015, were -4.1%, net of manager fees.
(2) FY 2015-16 Spending Distribution:	Represents the quarterly spending distributions from July 1, 2015, through September 30, 2015.
(3) FY08-09 Withdrawal for scholarship funding:	\$1,000,000 was authorized to be withdrawn from the Regents' Endowment for FY08-09 scholarship funding. Of the authorized \$1,000,000, \$880,525 was withdrawn from the endowment.
(4) Mesa Del Sol Property Sale:	The Regents' Endowment includes proceeds from the "Mesa del Sol" property sale. Total proceeds of this sale were \$8,045,923 and the proceeds were added to the Regents' endowment in June of 2006. The Mesa del Sol contribution is not tracked separately, but an estimated value based on net investment earnings, spending distributions, withdrawals, and development funding allocations for the Regents' Endowment since that time, is \$6,295,779.

THE UNIVERSITY OF NEW MEXICO
REGENTS' ENDOWMENT - MESA DEL SOL ADDITION

	2005-06	2006-07	2007-08	2008-09	2009-10	2010-11	2011-12	2012-13	2013-14	2014-15	2015-16
<u>PRINCIPAL/CORPUS</u>											
BEGINNING MARKET VALUE, JULY 1:	\$ -	\$ 8,045,923	\$ 9,128,444	\$ 8,716,297	\$ 6,483,107	\$ 6,669,392	\$ 7,428,760	\$ 7,019,889	\$ 6,227,564	\$ 6,936,437	\$ 6,686,409
ADDITIONS:											
MESA DEL SOL PROPERTY SALE	8,045,923	-	-	-	-	-	-	-	-	-	-
INNOVATE ABQ REPAYMENT	-	-	-	-	-	-	-	-	-	2,038,082	-
WITHDRAWALS:											
MERIT-BASED SCHOLARSHIPS	-	-	-	-	-	-	-	-	-	-	-
INNOVATE ABQ	-	-	-	-	-	-	-	-	-	(2,000,000)	-
GIBSON/MULBERRY PROPERTY PURCHASE	-	-	-	-	-	-	-	-	-	-	-
2811 CAMPUS PROPERTY PURCHASE	-	-	-	-	-	-	-	-	-	-	-
BASEBALL FIELD CAPITAL PROJECT	-	-	-	-	-	-	-	(1,100,000)	-	-	-
INVESTMENT EARNINGS	-	1,524,281	70,849	(1,757,450)	685,166	1,226,092	26,176	660,479	1,036,664	59,836	(300,413) (1)
DEVELOPMENT FUNDING ALLOCATION	-	(82,073)	(99,168)	(83,464)	(130,218)	(130,640)	(131,122)	(113,733)	(90,960)	(98,232)	(26,742)
SPENDING DISTRIBUTION	-	(359,688)	(383,827)	(392,276)	(368,663)	(336,084)	(303,925)	(239,071)	(236,832)	(249,714)	(63,475) (2)
ENDING MARKET VALUE, JUNE 30:	<u>\$ 8,045,923</u>	<u>\$ 9,128,444</u>	<u>\$ 8,716,297</u>	<u>\$ 6,483,107</u>	<u>\$ 6,669,392</u>	<u>\$ 7,428,760</u>	<u>\$ 7,019,889</u>	<u>\$ 6,227,564</u>	<u>\$ 6,936,437</u>	<u>\$ 6,686,409</u>	<u>\$ 6,295,779 (4)</u>

(1) FY 2015-16 Net Investment Earnings:	Represents the actual net investment earnings through September 30, 2015. Net investment earnings for the period of July 1, 2015, through September 30, 2015, were -4.1%, net of manager fees.
(2) FY 2015-16 Spending Distribution:	Represents the quarterly spending distributions from July 1, 2015, through September 30, 2015.
(4) Mesa Del Sol Property Sale:	The Regents' Endowment includes proceeds from the "Mesa del Sol" property sale. Total proceeds of this sale were \$8,045,923 and the proceeds were added to the Regents' endowment in June of 2006. The Mesa del Sol contribution is not tracked separately, but an estimated value based on net investment earnings, spending distributions, withdrawals, and development funding allocations for the Regents' Endowment since that time, is \$6,295,779.

Fundraising Performance Report

FY 15/16, September 30, 2015

Changing Worlds 2020 Campaign	CASE Campaign Standards			VSE Standards
	Campaign to Date	GOAL	% OF GOAL	To Date
Gift Commitments	\$ 770,382,513	\$1,000,000,000	77.0%	\$ 589,404,099
- Cash/Cash Equivalents	454,293,982	N/A	N/A	589,404,099
- In-Kind	71,081,820	N/A	N/A	N/A
- Pledges	101,570,109	N/A	N/A	N/A
- Testamentary	143,436,602	N/A	N/A	N/A
Pledges Due	19,155,611	N/A	N/A	N/A
Testamentary Gifts Due	117,298,802	N/A	N/A	N/A

Council for Advancement and Support of Education (CASE) reporting is on an "accrual basis" and Voluntary Support of Education (VSE) reporting is on a "cash basis".

Gift Commitments (Fiscal Year)	This Quarter	FYTD 15/16	GOAL	FY 14/15
Main Campus				
- Cash/Cash Equivalents	\$ 3,015,404	\$ 3,015,404	N/A	\$ 8,702,251
- In-Kind	19,769	19,769	N/A	4,086,222
- Pledges	290,000	290,000	N/A	2,616,000
- Testamentary	124,800	124,800	N/A	7,257,380
Sub-Total	\$ 3,449,973	\$ 3,449,973	\$ 20,550,000	\$ 22,661,853
HSC				
- Cash/Cash Equivalents	\$ 8,926,082	\$ 8,926,082	N/A	\$ 20,635,290
- In-Kind	132,978	132,978	N/A	1,804,878
- Pledges	1,466,000	1,466,000	N/A	1,235,000
- Testamentary	2,126,600	2,126,600	N/A	1,724,000
Sub-Total	\$ 12,651,660	\$ 12,651,660	\$ 24,000,000	\$ 25,399,168
Athletics				
- Cash/Cash Equivalents	\$ 1,657,295	\$ 1,657,295	N/A	\$ 7,803,906
- In-Kind	-	-	N/A	1,863
- Pledges	50,000	50,000	N/A	6,850,000
- Testamentary	150,000	150,000	N/A	100,000
Sub-Total	\$ 1,857,295	\$ 1,857,295	\$ 10,000,000	\$ 14,755,769
Other Campus Units *				
- Cash/Cash Equivalents	\$ 3,247,969	\$ 3,247,969	N/A	\$ 20,925,750
- In-Kind	35	35	N/A	7,372
- Pledges	-	-	N/A	7,250
- Testamentary	40,000	40,000	N/A	6,928,794
Sub-Total	\$ 3,288,004	\$ 3,288,004	\$ 25,450,000	\$ 27,869,166
Total	\$ 21,246,932	\$ 21,246,932	\$ 80,000,000	\$ 90,685,956

* Other campus units include KNME, KUNM, UNM Branch Campuses, President's Office, Provost's Office, Enrollment Services, Student Affairs and numerous other units not classified as main campus, HSC or athletics.

Gift Destinations	This Quarter	FYTD 15/16	FY 14/15	FY 13/14
UNM Foundation	\$ 12,291,456	\$ 12,291,456	\$ 51,048,398	\$ 51,480,258
Reported Gifts *	\$ 8,955,476	\$ 8,955,476	\$ 39,637,558	\$ 36,150,262
Total	\$ 21,246,932	\$ 21,246,932	\$ 90,685,956	\$ 87,630,520

* Reported Gifts = gifts made directly to KNME, KUNM, Lobo Club, and OVPR, but reported by UNM Foundation per MOA.

Fundraising Performance Report

FY 15/16, September 30, 2015

Gift Commitments (Fiscal Year)	FYTD 15/16	FY 14/15	FY 13/14
Cash/Cash Equivalents	\$ 16,846,750	\$ 58,067,197	\$ 43,210,456
Pledges			
- Beginning Balance Pledges Receivable	\$ 18,819,529	\$ 27,119,237	28,290,140
- Add: New Pledges	\$ 1,806,000	\$ 10,810,709	7,843,202
- Less: Pledge Payments	\$ (1,271,515)	\$ (18,803,040)	\$ (8,920,688)
- Less: Pledges Modified/Written Off	\$ -	\$ (102,459)	(93,417)
- Ending Balance Pledges Receivable	\$ 19,354,014	\$ 18,819,529	27,119,237
In Kind	\$ 152,782	\$ 5,900,335	19,754,882
Testamentary	\$ 2,441,400	\$ 16,010,174	16,915,397
Total	\$ 21,246,932	\$ 90,685,956	\$ 87,630,520

Performance Measures	This Quarter	FYTD 15/16	FY 14/15	FY 13/14
Gift Commitment Income	\$ 21,246,932	\$ 21,246,932	\$ 90,685,956	\$ 87,630,520
# of Gifts	6,491	6,491	34,205	34,885
# of Donors	3,200	3,200	13,934	14,322

Efficiency Measures	FYTD 15/16	FY 14/15	FY 13/14	FY 12/13
Cost per Dollar Raised *	\$0.11	\$0.12	\$0.13	\$0.12

* Compares UNM Foundation budget expenditures to gift commitments.

Consolidated Investment Fund - Investment Performance

FY 15/16, September 30, 2015

Investment Performance Results	Market Value	FYTD 15/16	1-Year	3-Year	5-Year
FY 15/16 (September 30, 2015)	\$ 388,093,378	-4.10%	-3.70%	6.40%	7.00%
Custom Benchmark *		-5.20%	-2.60%	5.70%	6.30%
FY 13/14 (June 30, 2015)	\$ 405,799,240	N/A	0.50%	9.30%	9.50%
Custom Benchmark *		N/A	1.60%	9.30%	9.10%
NACUBO/Commonfund **		N/A	N/A	N/A	N/A

* Custom Benchmark is a blended benchmark consisting of indices for all asset classes.

** NACUBO/Commonfund Endowment Study (\$101 million to \$500 million)

Consolidated Investment Fund - Asset Allocation

FY 15/16, September 30, 2015

Investment Class	Current Allocation	Target Allocation	Investment Policy Ranges
Domestic Equity	21.5%	20%	10% - 50%
International Equity	19.4%	20%	10% - 40%
Fixed Income/Cash	20.1%	20%	10% - 50%
Real Assets - Liquid	2.6%	5%	0% - 5%
Real Assets - Illiquid	4.7%	5%	0% - 10%
Hedge Funds	20.6%	20%	0% - 20%
Private Equity	11.1%	10%	0% - 15%

Consolidated Investment Fund - Spending Distribution

FY 15/16, September 30, 2015

CIF Spending Distribution	FYTD 15/16 (estimate)	FY 14/15	FY 13/14	FY 12/13
Spending Distribution %	4.50%	4.50%	4.50%	4.50%
Total \$ Distribution	\$ 3,826,148	\$ 14,969,781	\$ 13,843,961	\$ 13,489,948
Total \$ Distribution/Unit	\$12.57	\$12.04	\$10.92	\$10.47

Consolidated Investment Fund - Development Funding Allocation

FY 15/16, September 30, 2015

Development Funding Allocation	%	Dev Funding Allocation	Total Budget Expenditures	% Overall Budget
FYTD 15/16	1.60%	\$1,424,380	\$2,441,924	58%
FY 14/15	1.4/1.6%	\$5,184,050	\$11,309,689	46%
FY 13/14	1.40%	\$4,655,028	\$11,367,397	41%
FY 12/13	1.85%	\$5,574,795	\$9,757,964	57%
FY 11/12	1.85%	\$5,906,418	\$9,106,051	65%

UNM Foundation Budget vs. Actual

FY 15/16, September 30, 2015

Sources of Budget	Budget	FYTD	% Used	Projected
UNM Support	\$ 4,621,000	\$ 1,379,950	29.86%	\$ 4,621,000
Development Funding Allocation	5,723,175	1,424,380	24.89%	5,615,850
Short-Term Investment Income	450,000	543,614	120.80%	551,114
Cost Sharing Reimbursement	854,155	193,983	22.71%	854,155
Unrestricted Gifts & Other Revenue	100,000	18,544	18.54%	103,653
Total	11,748,330	3,560,471	30.31%	11,745,772

Uses of Budget	Budget	FYTD	% Used	Projected
Salaries/Fringe Benefits	\$ 9,304,064	\$ 2,038,942	21.91%	\$ 9,263,339
Operating Expenditures	2,415,947	402,982	16.68%	2,426,431
Total	11,720,011	2,441,924	20.84%	11,689,770

Reserve Balances	Budget	FYTD	% Used	Projected
Surplus/(Deficit) from Operations	\$ 28,319	\$ 1,118,547	N/A	\$ 56,002
Beginning Reserve Balances	1,219,859	1,219,859	N/A	1,219,859
Ending Reserve Balances	1,248,178	2,338,406	N/A	1,275,861



Board of Regent Report

UNM Alumni Association & Alumni Relations Office

December 11, 2015

Strategic Goals:

Goal 1: Maximize alumni and student engagement

December 4, Hodgkin Hall proudly hosted one of UNM's oldest tradition, the **2015 Hanging of the Greens**. With a beautiful, clear night, there was record attendance and great joy for the holiday season. Utilizing Hodgkin Hall for the gathering and reception site, has been successful and allows the students, alumni, staff, the community and their families to explore The University's history. Hodgkin Hall is also host to many other special events and meetings in our various event spaces. Year-to-date Hodgkin Hall has held 234 events.

The last **Donut Wednesday** of 2015 was December 2 in front of Hodgkin Hall with 388 students and 162 staff, faculty and community enjoying 67 dozen donuts and 9 gallons of coffee and 3 gallons of hot cocoa on a cold, frosty morning.

The student **Birthday Cake Program** continues to be a popular program for parents as they celebrate their student's birthday with a cake, cupcakes, or cookie cake. November and December were busy with returning students, an international student, and a fraternity initiation celebration.

The Young Alumni Chapter hosted a successful Networking/Mock Interview Career Night at Hodgkin Hall Alumni Center on Thursday, November 19. Students benefit from this event by being able to practice interview skills with professionals and receive immediate feedback. Some professionals also recruit for their companies. About 20 Human Resource professionals were on hand to meet with over 25 students and young alums.



Board of Regent Report

UNM Alumni Association & Alumni Relations Office

December 11, 2015

The Young Alumni Board reached out to current students and alumni at the Hanging of the Greens on Friday, December 4th by hosting a table at the UNM Bookstore gathering location to hand out ear warmers. They also tabled with information at Hodgin Hall during the festivities. The organization will welcome new grads at the Young Alumni Wine and Cheese Reception on Wednesday, December 9, at Hodgin Hall Alumni Center. Staff and the Young Alumni Board will assist with registering new grads to receive a wallet sized replica of their diplomas, and an embossed portfolio as a gift from the Alumni Association.

Hodgin Hall Alumni Center receives daily visitors but many groups also plan tours of the building. Since July of 2015 we have given tours to over 360 alumni, students, and other visitors. The visitors learned about the history of New Mexico, Albuquerque, UNM and Hodgin Hall and come away with a sense of the importance and pride of UNM and Hodgin Hall. This number is a 65% increase over last year for this same time period.

The UNM **Alumni Memorial Chapel** has also hosted some very special ceremonies and events including the Veterans Day celebration, memorials for distinct UNM faculty, ROTC commissioning's, Jewish High Holiday services, Fraternity initiation ceremonies and many student and alumni wedding matrimonomies. Year-to-date the Alumni Chapel has held 206 chapel events.

The UNM Alumni Association **2015 - 2016 Travel Program** includes educational trips both international and domestic for UNM alumni and friends. Currently, several alums are enjoying **Old Time Holidays in the South** aboard the luxurious *American Queen*, *Traveling to Tennessee*, Mississippi, and Louisiana. Travel opportunities for the 2017 Travel Program are currently being selected. Upcoming tours include:

Jewels of Central America



Board of Regent Report

UNM Alumni Association & Alumni Relations Office

December 11, 2015

January 22-31, 2016 – (San Jose/Puerto Caldera to Cartagena) **GoNext**

Portraits of the Past

May 9-20, 2016 – (Italy, France, Monaco, Spain) **GoNext**

Scottish Highlands & English Lakes

May 23-June 3, 2016 – (Scotland & England) **AHI Travel**

Coastal Maine & New Brunswick

June 22-29, 2016 – (Maine & Canada)

AHI Travel

Goal 2: Increase alumni advocacy for UNM

Many alumni chapters will be hosting Lobo football game watch parties for the **New Mexico Gildan Bowl** on Saturday, December 19, as the Lobo Football team plays its first bowl game in 7 years. The **Denver Chapter** has partnered with Dion's Pizza, opening a new restaurant in their area, to donate 10% proceeds to the Denver Alumni Chapter Scholarship Fund from an event held on December 2. The **Austin** and **Seattle Chapters** will host holiday pot luck dinners for area alums.



Board of Regent Report

UNM Alumni Association & Alumni Relations Office

December 11, 2015

Goal 3: Maximize benefits of technology

The new online platform, **UNM Alumni Connect** was launched December 3. The online platform is the new Broadcast Email tool and is already in use by the entire university for contacting alumni. The platform is also the event registration tool available for alumni events. The online community, “Alumni Connect” allows alumni to review and update their information – including their current employment. This information then transfers directly to the Advance Alumni Database available to University entities wishing to contact their alumni. Alumni can also use the tool as an online directory to find classmates and people in their geographic community. Provided by iModules, this new tool will enable increased interaction and updates with our alumni. The Alumni Association and the UNM Foundation closely partnered on this successful launch.

With the introduction of the new UNM Alumni Connect, the Alumni Association has **redesigned the unmalumni.com web site**. The new site was launched December 3 and has a dynamic, creative look and more space for up-to-date news.

In addition to these new sites, the Alumni Association’s permanent email forwarding service has transitioned to a **permanent email address** through the Google Apps for Education program. This free service allows UNM Alumni to show their pride across the internet with a “@unmalumni.com” address.



Board of Regent Report

UNM Alumni Association & Alumni Relations Office

December 11, 2015

Goal 4: Invest in a strategic and collaborative communications and marketing program

Social Media platforms continue to thrive with planned and targeted communications going out on Facebook, Twitter, and Instagram. Increased likes, shares and comments have led to increased engagement on Facebook, and the Alumni Association's Instagram account has officially doubled its followers since September.

Facebook has received 70 new followers in November and is seeing a great growth in engagement. **Twitter** has received 30 new followers in November and is seeing excellent engagement through retweets and favorite posts.

The Howler continues to inform alumni of all the activities and events offered by the ARO and Alumni Association. The Howler open rate continues at a strong percentage. The Howler was last sent out on Dec. 3, and included the announcement of the new website and UNM Alumni Connect.

We continue to streamline and coordinate our program and chapter marketing efforts through the creation of marketing plans, creative briefs and social media schedules. These plans have been created for Alumni programs and events. As we begin confirming dates for our 2016 events, we are also working to back out collateral and media production plans. We have also created a "tool kit" to further expand our marketing and communications reach through strategic partnerships and placement.



Board of Regent Report

UNM Alumni Association & Alumni Relations Office

December 11, 2015

The UNM **Alumni Memorial Chapel** has purchased ad space in the February 2016 Wedding Edition of Albuquerque the Magazine. This has provided the opportunity to reach out to our alumni, students and staff, through another platform and remind them that they can utilize the chapel as a benefit of having a relationship to the university.

The UNM **Alumni Collaborative** continues to build partnerships and relationships across campus. The last meeting of the Collaborative, on November 12, included December Graduation plans, Regional Outreach opportunities across the nation, an introduction to the new iModules online community, “Alumni Connect” and shared information on alumni outreach on main, north, south and branch campuses. With this group continued outreach to colleges, schools, departments and programs has increased awareness of the benefits of alumni contact and the affordable, easy to use tools available to campus entities.



Regents Meeting

Staff Salary Estimates FY2009-Today

Estimated 50K Salary

	FY09							
	Base year	FY10	FY11	FY12	FY13	FY14	FY15	FY16
Nominal Salary	\$ 50,000.00	\$ 51,000.00	\$ 51,000.00	\$ 51,000.00	\$ 51,000.00	\$ 51,000.00	\$ 51,510.00	\$ 52,797.75
State salary incr	2%	0%	0%	0%	0%	1%	2.50%	0%
New Nominal Salary	\$ 51,000.00	\$ 51,000.00	\$ 51,000.00	\$ 51,000.00	\$ 51,000.00	\$ 51,510.00	\$ 52,797.75	\$ 52,797.75
CPI (1)	<u>219.964</u>	<u>215.351</u>	<u>218.011</u>	<u>225.922</u>	<u>229.104</u>	<u>233.596</u>	<u>238.25</u>	<u>238.654</u>
Inflation Adjusted Salary	<u>\$ 51,000.00</u>	<u>\$ 52,092.46</u>	<u>\$ 51,456.87</u>	<u>\$ 49,655.03</u>	<u>\$ 48,965.38</u>	<u>\$ 48,504.02</u>	<u>\$ 48,745.45</u>	<u>\$ 48,662.94</u>
Expenses								
ERB Contribution	\$4,029.00	\$4,794.00	\$4,794.00	\$5,686.50	\$4,794.00	\$5,202.51	\$5,649.36	\$5,649.36
VEBA	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$257.55	\$395.98	\$395.98
Health Care Prem (2)	\$3,791.52	\$3,791.52	\$3,897.60	\$3,744.00	\$4,060.80	\$4,060.80	\$4,180.80	\$4,387.20
Parking (surface) (3)	\$439.00	\$439.00	\$439.00	\$475.00	\$475.00	\$475.00	\$475.00	\$475.00
Total Deductions	<u>\$8,259.52</u>	<u>\$9,024.52</u>	<u>\$9,130.60</u>	<u>\$9,905.50</u>	<u>\$9,329.80</u>	<u>\$9,995.86</u>	<u>\$10,701.14</u>	<u>\$10,907.54</u>
Estimated Net	<u>\$ 42,740.48</u>	<u>\$ 43,067.94</u>	<u>\$ 42,326.27</u>	<u>\$ 39,749.53</u>	<u>\$ 39,635.58</u>	<u>\$ 38,508.16</u>	<u>\$ 38,044.31</u>	<u>\$ 37,755.39</u>
Percentage salary increase/(decrease) from base year								
	0.00%	0.77%	-0.97%	-7.00%	-7.26%	-9.90%	-10.99%	-11.66%

1) CPI as of July each year: FY09 utilizes July 2008 CPI.
Assume base year Jul 1, 2008. Information provided by:
http://inflationdata.com/Inflation/Consumer_Price_Index/HistoricalCPI.aspx?reloaded=true

2) Annual rate for a married family

3) Assume surface lot tag

Estimated 30K Salary

	FY09							
	Base year	FY10	FY11	FY12	FY13	FY14	FY15	FY16
Nominal Salary	\$ 30,000.00	\$ 30,600.00	\$ 30,600.00	\$ 30,600.00	\$ 30,600.00	\$ 30,600.00	\$ 30,906.00	\$ 31,678.65
State salary incr	2%	0%	0%	0%	0%	1%	2.50%	0%
New Nominal Salary	\$ 30,600.00	\$ 30,600.00	\$ 30,600.00	\$ 30,600.00	\$ 30,600.00	\$ 30,906.00	\$ 31,678.65	\$ 31,678.65
CPI (1)	<u>219.964</u>	<u>215.351</u>	<u>218.011</u>	<u>225.922</u>	<u>229.104</u>	<u>233.596</u>	<u>238.25</u>	<u>238.654</u>
Inflation Adjusted Salary	<u>\$ 30,600.00</u>	<u>\$ 31,255.48</u>	<u>\$ 30,874.12</u>	<u>\$ 29,793.02</u>	<u>\$ 29,379.23</u>	<u>\$ 29,102.41</u>	<u>\$ 29,247.27</u>	<u>\$ 29,197.76</u>
Expenses								
ERB Contribution	\$2,417.40	\$2,876.40	\$2,876.40	\$3,411.90	\$2,876.40	\$3,121.51	\$3,389.62	\$3,389.62
VEBA	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$154.53	\$237.59	\$237.59
Health Care Prem (2)	\$2,843.52	\$2,843.52	\$2,923.20	\$2,808.00	\$2,030.40	\$2,030.40	\$2,090.40	\$2,193.60
Parking (surface) (3)	\$364.00	\$364.00	\$364.00	\$400.00	\$400.00	\$400.00	\$400.00	\$400.00
Total Deductions	<u>\$5,624.92</u>	<u>\$6,083.92</u>	<u>\$6,163.60</u>	<u>\$6,619.90</u>	<u>\$5,306.80</u>	<u>\$5,706.44</u>	<u>\$6,117.61</u>	<u>\$6,220.81</u>
Estimated Net	<u>\$ 24,975.08</u>	<u>\$ 25,171.56</u>	<u>\$ 24,710.52</u>	<u>\$ 23,173.12</u>	<u>\$ 24,072.43</u>	<u>\$ 23,395.98</u>	<u>\$ 23,129.67</u>	<u>\$ 22,976.96</u>
Percentage salary increase/(decrease) from base year								
	0.00%	0.79%	-1.06%	-7.22%	-3.61%	-6.32%	-7.39%	-8.00%

1) CPI as of July each year: FY09 utilizes July 2008 CPI.
Assume base year Jul 1, 2008. Information provided by:
http://inflationdata.com/Inflation/Consumer_Price_Index/HistoricalCPI.aspx?reloaded=true

2) Annual rate for a married family

3) Assume surface lot tag

**Minutes of the Meeting of the
UNM Health Sciences Center Board of Directors
October 2, 2015**

UNM Health Sciences Center Board of Directors (the "Board") members present: Robert Doughty, III, Chair, Jack Fortner, Vice Chair, Marron Lee, James Koch, Ryan Berryman, Ann Rhoades, Mel Eaves.

Board members not present: Deborah Johnson

UNM Leadership present: Bob Frank, PhD

UNM HSC Leadership present: Paul Roth, MD, MS, Chancellor for Health Sciences, and additional members of the Health System and Health Sciences Center leadership

Others present: members of the UNM faculty and staff

Chair Robert Doughty called the meeting of the Board to order at 9:20 a.m. The Chair announced that a quorum of the members of the Board was present. The Chair announced that flu vaccines were available in the lobby, provided by the UNM Hospitals.

Approval of Agenda

A motion was made to approve the agenda. The motion was seconded. The motion passed with a vote of 7-0-0.

Approval of Minutes of Prior Meeting

A motion was made to approve the minutes of the September 4, 2015 meeting of the Board. The motion was seconded. There was no discussion. The motion passed with a vote of 7-0-0.

Comments from Directors

There were no Director comments.

Chancellor's Administrative Report

Dr. Paul Roth announced that Dr. Mike Richards has been invited to serve on the Presbyterian Hospital Plan Board, that it had been reviewed with and supported by President Frank. He noted that this is an example of major efforts to partner with other hospitals and that discussions with Lovelace are under way as well. Dr. Roth gave an overview of this year's Health Care Work Force Report to the Legislature.

He added that several years ago responsibility for this report shifted from the Department of Health to the Health Sciences Center (HSC). This report provides an analysis of data that studies all health professions in New Mexico to determine how many active providers there are and to calculate needs. This year the work force looked at eight professions: Primary Care, Nurse Practitioners, OB/GYN, General Surgeons, Psychiatrists, Physician Assistants, Dentists and Pharmacists. As predicted there are significant shortages, and there are unique problems for the State of New Mexico in the dramatic maldistribution of the state's health professionals. Dr. Richard Larson added that a surprising finding this year was that New Mexico has the oldest average age for physicians (average age of 54). Dr. Roth added that with the ACA, we demonstrated at least a 10% increase in average demand across every county in the state which compounds the need for more health professionals. He announced that the CDC came to campus to inspect our Level Three laboratories and the HSC scored very high on that evaluation. He announced that the College of Nursing is celebrating its 60th anniversary this year and the College of Pharmacy is celebrating its 70th anniversary. The Governor and Mayor have proclaimed that the month of October to be American Pharmacists' Month and Dr. Roth added that Gabriela Cabanilla, a PharmD student, made the initiative of drafting the proclamation. Dr. Roth announced that the Office of the Medical Investigator (OMI) Board of Directors met a few weeks ago and is asking the HSC increase the legislative request for funding of OMI utilities in the amount of \$451,000; an action item will be brought forward in November. Dr. Roth continued that the UNM School of Medicine reunion is underway for the Classes of 1970 through 2010. He ended his report announcing that the HSC will have a tent at the Balloon Fiesta Park and that flu shots are available today.

Dr. Roth presented on the HSC Master Facilities Plan (MFP), stating that a draft of the MFP had been distributed widely, meetings have been held with a wide range of individuals for input and comments, and that the draft will be posted on the web page in an effort to gain broad input from the general public on a replacement hospital. Information he provided included an overview, educational mission, research enterprise (expected growth of approximately 20% over next 10 years), clinical care and associated projections on demands, administration and infrastructure, priorities, financial overview, and district maps; why facilities matter to New Mexico, top priorities that include an adult acute care and behavioral health replacement facility, buildout at UNM West, 5-6 new clinics throughout the community, to form public-private partnerships to build new post-acute care facilities, and the backfill and repurpose other facilities. Dr. Roth noted that there was awareness that the HSC will need to partner with other community entities in order to address the full continuum of health care for all patients. He said there is a very rapidly growing and successful research program in Neurosciences that is housed in the Brain and Behavioral Health Institute physically located in Domenici Hall. It is expected that in the next few years there will be a need to add another wing to that research facility. Dr. Roth then presented a north campus map and pointed out the Galles property at the intersection of Lomas and University. He continued that the land is owned by the Sandia Foundation, that the lease for the property ends in 2017, and that the HSC would like to either purchase this property or to enter into a long-term lease agreement of that land in order to complete the full concept associated with the HSC

Master Facility Plan. Directors' discussions included Regent affiliation on the Sandia Foundation Board, Dr. Roth's discussions with President Frank and Mr. Harris regarding the likelihood of the engagement of conversations with Sandia about this purchase or lease arrangement, Directors' belief that Sandia Foundation wanted to trade the Galles property for vacant land on Lomas and Lobo Development's rejection of this trade, that the Regents' Finance & Facilities Committee past consideration of such a trade that resulted as not a viable option for the University, the potential of existing ground contamination of the Galles land, that dedication to UNM by the Sandia Foundation Board has reduced in recent years, the possibility that Sandia Foundation may be placing the land up for sale, and Sandia Foundation's consideration that this land be long-term income producing. The proposal would be for a purchase of the Sandia Foundation property on the north side of Lomas, place it in the HSC inventory for future development, with the HSC developing the land north side of Lomas and that main campus develop the land on the south side of Lomas and that the result would be a business-friendly, engaged part of the community. The Directors studied the map for clarification. Dr. Roth requested approval to pursue negotiations with Sandia Foundation, working in conjunction with Dr. Frank and Mr. Harris, to attempt to acquire, either through lease or purchase, the Galles property on the north side of Lomas for the HSC. Dr. Roth clarified that the HSC Master Facility Plan remains currently in draft form and that that work is underway to arrange many meetings to receive input from a wide range of community members, meetings with the County Commission, neighborhood associations, and advocacy groups. Dr. Roth added that the tentative schedule is to bring the MFP and replacement hospital project items to the HSC Board of Directors for approval in November or December 2015. Dr. Roth showed graphics on the current lack of capacity issues at UNM Hospital for adult beds, requests for patients to be admitted from all over the state, patient access, patient processing and patient discharge barriers related to post-acute care (*i.e.*, not enough skilled nursing facilities, rehabilitation facilities, etc.) to accommodate patients. He provided data on diverted patients. Discussion of psychiatric care facilities and problems with current old facilities. He presented UNM Hospitals "tomorrow" with separate call center to streamline appropriate routing of patients, smoother transition for patients through the hospital, post-acute care, early draft plans show a new replacement hospital will be approximately 408 beds, review of current mix of patients for care only the UNM Hospitals can provide, and future anticipated shift in patient mix. Dr. Roth emphasized that statistics used (from KSA) are very conservative regarding the complexity of care for critically ill patients and the need for teams of physicians, special hospital rooms, etc. Information on patient diverts: number of transfers and diverts from Lovelace since January, number of transfers and diverts from Presbyterian, and all other transfers from New Mexico hospitals since January (turning away about 500 patients). UNM Hospital is not currently able to meet the needs of New Mexicans. Discussion on request for an outside auditing firm regarding occupancy rates; report will be available soon to verify the manner in which we derive occupancy rates. Drs. Roth and Richards presented information on the Emergency Department diverts and how this would also be tied into the proposed call center for streamlining Emergency Department patients appropriately. Discussion on what this currently means for patients, public perception, that all hospitals are having problems with

lack of beds, training of emergency personnel, patient choice for what hospital they wish to be taken to, past divert practices, communities that have “best practices,” etc.

UNM Health System (HS) Update

Dr. Mike Richards provided an update on the UNM Health System that included total patient days, total discharges, length of stay, behavioral health days, total outpatient, total surgeries, total births, total RVUs, overall HS financial status, transfers and diverts, UNM Hospitals average patients in adult licensed beds, Emergency Department wait times, etc. Ms. Ella Watt presented an overview of finances regarding replacement hospital to include project costs, assumptions, statistics, adult occupancy, pediatrics, operations, births, case mix index and adjusted patient days, income statement with debt, balance sheet with debt, cash flows with debt, debt covenants with debt, bond projections. Discussion during this update included instances of flu, equity contribution, projecting interest rates (delays have resulted in missing out on historically low interest rates), assumptions on average length of stay and projections on needs of an aging population, length of stay and CMI and consideration of complexity of patient needs, and building use and utility costs.

Public Comment

There was no public comment.

Action Items

Request for the Approval of the UNM Hospitals Contracts with Siemens Medical Solutions, USA, Inc. and Standard Insurance Company

A motion was made to approve the UNM Hospitals contracts with Siemens Medical Solutions, USA, Inc. and Standard Insurance Company. The motion was seconded. The motion passed with a vote of 7-0-0 in favor.

Request for the Approval of HSC Reserve Categorization Report Pursuant to Administrative Policies and Procedures Policy 7000

Dr. Roth commented that this will reflect how the HSC funds are obligated and restricted. A motion was made to approve the HSC Reserve Categorization Report Pursuant to Administrative Policies and Procedures Policy 7000. The motion was seconded. The motion passed with a vote of 7-0-0 in favor.

Information Items

HSC Financial Update

The decision was made not to hear a full review since only two months of data in the current Fiscal Year are available and that an in depth review is planned for the November 2015 meeting.

Regent Doughty noted that the UNM Hospitals Board of Trustees Meeting Minutes for August 28, 2015 and the SRMC Board of Directors Meeting Minutes for August 26, 2015 were included as information items. No discussion.

Executive Session

A motion was made to close the open portion of the meeting and for the Board to convene in executive session for the reasons specified in the published agenda. The motion was seconded. The motion passed with a vote of 7-0-0 in favor.

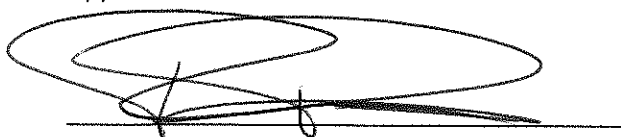
Following the executive session, a motion was made to reconvene in open session and a certification that only those matters described in agenda item X were discussed in executive session. The motion was seconded. The motion passed with a vote of 7-0-0 in favor.

Adjournment

A motion was made to adjourn the meeting. The motion was seconded. The motion passed with a vote of 7-0-0 in favor.

Minutes were prepared by Patrice Martin and finalized on October 14, 2015.

Approval of Minutes:



Regent Robert Doughty, III, Chair

11-17-15
Date

Agenda Item	Subject/Discussion	Action/Responsible Person
Voting Members Present:	Jerry McDowell, Michael Olguin, Christine Glidden, Nick Estes, Dr. Donna Sigl, Joseph Alarid, Raymond Loretto	
Ex-Officio Members Present:	Stephen McKernan, Dr. Paul Roth, Dr. Irene Agostini, Dr. Michael Richards, Dr. Aimee Smidt, Ryan Berryman	
County Officials Present:	Mario Ruiz, Clay Campbell	
Call to Order	A quorum being established, the acting Chair, Mr. Jerry McDowell, called the meeting to order at 9:03AM.	
I. Adoption of Agenda	The acting Chair, Mr. Jerry McDowell, requested a motion to adopt the agenda.	Dr. Donna Sigl made a motion to adopt the agenda. Mr. Joseph Alarid seconded the motion. There being no objections, the motion carried.
II. Public Input	None	
III. Announcements	The Acting Chair, Mr. Jerry McDowell, noted the efforts of the hospital staff during this difficult week with the passing of Officer Webster and Lilly. Other members of the board concurred. Mr. Stephen McKernan expressed his gratitude to the board for their comments and appreciation.	
IV. Approval of Minutes	The acting Chair, Mr. Jerry McDowell, requested a motion to approve the UNM Hospitals Board of Trustees meeting minutes for September 25, 2015.	Dr. Raymond Loretto made a motion to approve the minutes of the September 25, 2015, Board of Trustees meeting. Dr. Donna Sigl seconded the motion. There being no objections, the motion carried.
V. Consent Agenda	<p>Following review of the Consent Approval items, the acting Chair, Mr. Jerry McDowell, requested a motion to approve.</p> <p>Following review of the Construction Approval items, the acting Chair, Mr. Jerry McDowell, requested a motion to approve.</p>	<p>Following a brief discussion regarding the consent items, Ms. Christine Glidden made a motion to approve the Consent Approval items, as submitted. Dr. Raymond Loretto seconded the motion. There being no objections, the motion passed unanimously.</p> <p>Following a brief discussion regarding the construction approval items, Ms. Christine Glidden made a motion to approve the Construction Approval items, as submitted. Dr. Raymond Loretto seconded the motion. There</p>

Agenda Item	Subject/Discussion	Action/Responsible Person
	Following review of the Clinical Privileging descriptions, the acting Chair, Mr. Jerry McDowell, requested a motion to approve, as submitted.	being no objections, the motion passed unanimously. Dr. Donna Sigl made a motion to approve the Clinical Privileging descriptions, as submitted. Dr. Raymond Loretto seconded the motion. There being no objections, the motion passed unanimously.
VI. Board Initiatives	Mr. McKernan discussed the revision of the Financial Assistance Policy and the Patient Payment Policy. Following a discussion with the board, the Acting Chair, Mr. Jerry McDowell, requested a motion to approve the revision of the two policies. Dr. McLean presented an overview of the Medical Staff Credentialing & Privileging Process, as requested by the board.	Dr. Ray Loretto made a motion to approve the revised policies, as submitted. Ms. Christine Glidden seconded the motion. There being no objections, the motion passed unanimously.
VII. Administrative Reports	<u>Chancellor's Report</u> – Dr. Roth noted the Annual Research Awards Ceremony took place recently and further noted a record number of awards were received. We are very proud of the achievements of Cancer Center for receiving the renewal for the 5 year period award to the CTSC. <u>CEO Report</u> – The CEO Report is in the packet. Mr. Stephen McKernan informed the board that the results from the Press Ganey Pulse survey results and would be reviewed in an upcoming meeting. Mr. McKernan advised the board the Leapfrog results were recently released and acknowledged Amanda Crick for the tremendous amount of work she put into helping us raise our score. <u>CMO Report</u> – The CMO report is in the packet.	
VIII. Updates	<u>Financial Report Card</u> – The financial dashboard for September was in the packet.	
IX. Committee Reports	<u>Performance Oversight & Community Engagement Committee</u> The Performance Oversight & Community Engagement Committee met on September 18, 2015. <u>Finance and Audit Committee</u> The Finance and Audit Committee met on September 23, 2015.	
X. Other Business	None	
XI. Closed Session	At 10:57AM, the Acting Chair, Mr. Jerry McDowell, requested a motion to close the open session of the meeting to the public.	Dr. Donna Sigl made a motion to move to closed session. Dr. Raymond Loretto seconded

Agenda Item	Subject/Discussion	Action/Responsible Person
		the motion. The motion passed unanimously.
XII. Certification	<p>After discussion and determination where appropriate, of limited personnel matters per Section 10-15-1.H (2); and discussion and determination, where appropriate of matters subject to the attorney-client privilege regarding pending or threatened litigation in which UNMH is or may become a participant, pursuant to Section 10-15-1.H (7); and discussion of matters involving strategic and long-range business plans or trade secrets of UNMH pursuant to Section 10-15-1.H (9), NMSA, the Board certified that no other items were discussed, nor were actions taken.</p>	
XIII. Vote to Re-Open meeting	<p>At 11:58AM, the Acting Chair, Mr. Jerry McDowell, requested a motion to be made to return the meeting to open session.</p> <p>The Acting Chair, Mr. Jerry McDowell, requested a motion to be made that the Board accept the minutes of the meeting of those committees that were presented in Closed Session to acknowledge, for the record, that those minutes were, in fact, presented to, reviewed, and accepted by the Board and for the Board to accept and approve the recommendations of those Committees as set forth in the minutes of those committees meetings and to ratify the actions taken in closed session.</p>	<p>Mr. Michael Olguin made a motion to return to open session. Dr. Donna Sigl seconded the motion. The motion passed unanimously.</p> <p>Mr. Michael Olguin made a motion to accept the minutes presented by the committees. Dr. Donna Sigl seconded the motion. The motion passed unanimously.</p> <p>Mr. Michael Olguin made a motion to ratify the actions taken. Ms. Christine Glidden seconded the motion. The motion passed unanimously.</p>
XIV. Adjournment	<p>The next scheduled Board meeting will be November 20, 2015 @ 9:00AM. There being no further business, the Acting Chair, Mr. Jerry McDowell, adjourned the meeting at 12:00PM.</p>	



Christine Glidden, Secretary
 UNM Hospital Board of Trustees

SRMC Board of Directors Meeting Minutes

October 28, 2015

8:00 a.m. - 11:00 a.m.

UNM Sandoval Regional Medical Center, 4th Floor Board Room

Teleconference 505-994-7187

Rio Rancho, New Mexico

1.0	CALL TO ORDER AND CONFIRMATION OF QUORUM	
	Dr. Roth called the meeting to order and a quorum was confirmed at 8:07 a.m.	Accept as information
2.0	VOTE TO APPROVE THE AGENDA	
	Dr. Roth presented the Agenda to the Board for review.	Upon a motion and a second, the Board voted to approve the agenda. Motion passed unanimously.
3.0	VOTE TO APPROVE THE MINUTES OF SEPTEMBER 23, 2015	
	Dr. Roth presented the Minutes to the Board for approval.	Upon a motion and a second, the Board voted to approve the minutes. Motion passed unanimously.
5.0	PUBLIC COMMENT	
	None	
6.0	PROGRAMMATIC UPDATES	
6.1	<p><u>Total Joints</u></p> <p>Dr. Briggs provided a presentation on Total Joints and provided a detailed explanation of this service.</p> <ul style="list-style-type: none"> This is a joint effort Orthopedics Adult Reconstruction program that consists of SRMC Hospitalists, Anesthesia (OR and pain service), OR staff (PACU, Pre-op, Circulators, Scrub techs), RN staff (Inpatient, Clinic, Coordinators), PT/OT, Case Management, Implant Representatives. Joints surgeons: Chris Hanosh, Rick Gehlert, Dustin Briggs SRMC 1.0 FTE: Charlotte Orr, Urvij Modhia, Dustin Briggs, PA Kat McDonald UNM mentors: Bob Schenck, Dan Wascher, Paul Echols, Jerry Becker, Dennis Rivero The team provides Total Joint Arthroplasty (Arthritis doctors/surgeons), Adult Reconstruction: Joint preservation (Osteotomies, cartilage transplant, arthroscopy), Partial knee replacements, Primary hip and knee replacements, Complex revision hip and knee replacements. 2014: 372 Joint Replacements 2015: 434 to date (418 by UNM providers), projected 560 (~150%) The Rule of 2's: 2-HOUR surgery, 2-NIGHTS in the hospital, 2-WEEKS of severe pain and swelling, 2-MONTHS before back to 	Accept as information

	<p>normal activities, 2-YEARS for the final result, 2% revision rate per year (50/50 at 25 years).</p> <ul style="list-style-type: none"> • SRMC has been receptive to the Total Joint/Adult Reconstruction Program. The transition has happened very quickly without compromising patient care. <p>Please refer to the PowerPoint for detailed information.</p>	
6.2	<p><u><i>UNM Sandoval Regional Medical Center, Inc. - University Research Park and Economic Development Act Corporation.</i></u></p> <p>Mr. Scot Sauder provided a detailed explanation of this act. The University Research Park and Economic Development Act is a special New Mexico law that allows a public university to form corporations with most of the university's legal benefits and few of its burdens.</p> <ul style="list-style-type: none"> • The following Corporations can be formed under the URPEDA: Nonprofit corporations (IRC §§ 501(c)(3)'s, 501(e)'s, etc.) and For-profit corporations. • Permitted Purposes for a URPEDA corporation include Health care facilities, Offices, Related businesses. • Relationship to Parent University <ul style="list-style-type: none"> ○ Regents appoint Board of Directors ○ Board members may include regents, officers, and employees ○ URPEDA corporation Board ○ elects its own chairman and other officers ○ adopts bylaws for the URPEDA Corporation ○ Board members, officers and employees have no liability for actions taken in scope of employment/appointment ○ Bylaws may provide for indemnity of directors, officers and employees • Relationship to HSC Board of Directors and Board of Regents <ul style="list-style-type: none"> ○ Section 5 of Regents Policy 3.5 describes role of the HSC Board of Directors vis-à-vis the URPEDA corporations that support and are component units of the UNMHSC: <ul style="list-style-type: none"> - UNMMG Board and SRMC Board have authority to manage their respective affairs within parameters - HSC Board of Directors is to oversee, provide strategic guidance, and monitor the clinical, business, research, educational, and development operations of the subsidiary corporations • Section 3.2 of Regents Policy 3.5 describes the role of HSC Board of Directors vis-à-vis the Board of Regents' ultimate oversight of governance of the URPEDA corporations that support and are component units of the UNMHSC. HSC Board recommends and Board of Regents provides final approval for: <ul style="list-style-type: none"> - Appointment of Board members - Formation of future URPEDA corporations - Transfer of University money, personal property, and 	

	real property to URPEDA corporations formed by the Regents and the proposed terms thereof	
	Please refer to the PowerPoint for detailed information.	
7.0	REPORTS FROM SRMC COMMITTEES	
7.1	<u><i>Finance Committee</i></u> Dr. Richards provided an update from the October 23 rd meeting and presented the minutes of August 20, 2015 for acceptance.	Upon a motion and a second, the Board voted to accept the minutes. Motion passed unanimously.
7.2	<u><i>Medical Staff and Hospital Quality Committee</i></u> Ms. Moseley presented the Clinical Service Contract Evaluations for approval. It is the expectation of CMS and TJC for this committee to have oversight of these contracts.	Upon a motion and a second, the Board voted to approve the contracts. Motion passed unanimously.
8.0	FINANCIAL REPORT	
8.1	Ms. Fernandez reviewed the SRMC Financial Dashboard for month ended September 2015 and the financials for FY16 three months ending September 2015. Please refer to the October 28, 2015 financial presentation for detailed information.	Accept as information
9.0	CEO REPORT	
9.1	Ms. Silva-Steele presented the CEO report and provided a verbal update. The full report is in the packet.	Accept as information
9.2	Ms. Silva-Steele an update on the cause of the flood in Behavioral Health. Leadership reviewed the checklist to ensure patient safety.	
9.3	We are proposing a Strategic Planning Board Retreat to include the RFP vendor on November 25th.	
9.4	Employee Engagement Survey was conducted in July, with a 61% response rate. Management has received the final report and is now working on department specific action planning.	Ms. Silva-Steele will review the full report at the November meeting.
10.0	CMO REPORT	
10.1	Dr. Cushnyr provided a verbal summary on the CMO hiring process. We had seven (7) applicants of which three (3) were moved forward to the next interviews.	Dr. Cushnyr continues to fill the CMO role as needed.
	<u>Board Comments/Questions</u> • None	
11.0	CLOSED SESSION	
	Motion was made to close the Open Session and convene in Closed Session; the motion was seconded and passed unanimously.	Accept as information
12.0	RECONVENE IN OPEN SESSION	
	Certification that those matters discussed in Closed Session and issuance of final action of such items.	

New Applicants			Upon a motion and a second, the Board voted to approve those providers requesting Medical Staff Privileges Andrews thru Takacs and committee meeting minutes. Motion passed unanimously.
Andrews, Nicholas	Surgery/Gynecology	UNM	
Cain, Kimothi MD	Medicine/Psychiatry	UNM	
Grandhe, Radhika	Hospital Based/Anesthesiology	UNM	
Hedna,	Medicine/Neurology	UNM	
Pflanzer, Harvey MD	Medicine/Hospital Medicine	UNM	
Sinha, Neelema MD	Hospital Based/Anesthesiology	UNM	
Sladen, Jamie PA-C	Surgery/Neurosurgery	UNM	
Sorte, Danielle MD	Hospital Based/Radiology	UNM	
Takacs, Leslie AAC	Hospital Based/Anesthesiology	UNM	
Reappointments			Upon a motion and a second, the Board voted to approve reappointments for Antony thru Williamson. Motion passed unanimously.
Adair, John MD	Medicine/Neurology	UNM	
Adams, Gabrielle MD	Medicine/Gastroenterology	SWG	
Almquist, Elaine AUD	Hospital Based/Audiology	ABQ Hearing	
Apfeldorf, William MD	Medicine/Psychiatry	UNM	
Bakhirev, Alexei MD	Hospital Based/Pathology	UNM	
Barry, Marc MD	Hospital Based/Pathology	UNM	
Chafey, David MD	Surgery/Orthopedics	UNM	
Clifford, Carol AUD	Medicine/Audiology	ABQ Hearing	
Coleman, Matthew	Hospital Based/Anesthesiology	UNM	
Crook, Joy MD	Hospital Based/Emergency	UNM	Upon a motion and a second, the Board voted to approve expansions for Cullen thru Dettmer. Motion passed unanimously.
Demarest, Gerald MD	Surgery/General Surgery	UNM	
Eberhardt, Steven MD	Hospital Based/Radiology	UNM	
Ehret, Cindy AA-C	Hospital Based/Anesthesiology	UNM	
Gogel, Howard MD	Medicine/Gastroenterology	SWG	
Harkins, Michelle MD	Medicine/Pulmonary	UNM	
Hensley, Paula MD	Medicine/Psychiatry	UNM	
Horvath, Andrew MD	Hospital Based/Pathology	UNM	
Huser, Jeffrey MD	Hospital Based/Pathology	UNM	
Jaiswal, Anju MD	Medicine/Psychiatry	UNM	
Joste, Nancy MD	Hospital Based/Pathology	UNM	Accept as information
Mason, Mindy CNP	Medicine/Critical Care	UNM	
McMichael, Jessica	Surgery/Orthopedics	UNM	
Miller, Miryam MD	Hospital Based/Emergency	UNM	
Mlady, Gary MD	Hospital Based/Radiology	UNM	
Parker-Ray, Nikki MD	Medicine/Gastroenterology	SWG	
Parkhurst, Gregory	Hospital Based/Anesthesiology	UNM	
Samedi, Von MD	Hospital Based/Pathology	UNM	
Sapien, Robert MD	Hospital Based/Emergency	UNM	
Schenck, Robert MD	Surgery/Orthopedics	UNM	
Simpson, Pedro MD	Medicine/Psychiatry	UNM	
Spigel, James MD	Hospital Based/Pathology	UNM	
Thompson, William	Hospital Based/Radiology	UNM	
Tohen, Mauricio MD	Medicine/Psychiatry	UNM	

	Upham, Bryan MD	Hospital Based/Emergency	UNM	
	White, Chelsea MD	Hospital Based/Emergency	UNM	
	Williams, Jessica MD	Hospital Based/Radiology	UNM	
	Wu, Eugene MD	Surgery/Plastic Surgery	UNM	
	Zolyomi, Arpad MD	Hospital Based/Anesthesiology	UNM	
	Resignations & Application Withdraws			
	Farney, Aaron MD	Hospital Based/Emergency	UNM	Resigned
	Harrington, James	Surgery/Neurosurgery	UNM	Resigned
	Hjelle, Brian MD	Hospital Based/Pathology	UNM	Resigned
	Dr. Roth, Chairman of the Board, stated for the record that only the categories listed in Item X of the Open Agenda were discussed and/or determined in Closed Session and asked for a Motion to be made that the Board ratify the actions taken in Closed Session, which includes, but is not limited to, the Medical Staff Privileges and Committee Meeting Minutes, as presented.			
13.0	ADJOURNMENT/NEXT MEETING			
	Motion was made to adjourn the meeting, second; unanimously approved. Meeting adjourned at 11:13 a.m. The next meeting will be held on November 25, 2015 at 8:00-11:00 a.m. at UNM SRMC, Board Room or Teleconference at 505-994-7187			
MEMBERS/GUESTS IN ATTENDANCE				
Board Members Present: Dr. Paul Roth Mr. Steve McKernan Dr. Michael Richards Mr. Donnie Leonard Mr. Jerry Geist Dr. Brad Cushnyr Ms. Joanna Boothe Mr. Manu RainBird		Staff Members Present: Ms. Jamie Silva-Steele Ms. Pam Demarest Ms. Darlene Fernandez Ms. Correen Bales		Guests Present: Ms. Rosalyn Nguyen Ms. Melissa Romine Dr. Dustin Briggs Mr. Scot Sauder Mr. Brian Sanderoff Mr. Billy Sparks
Minutes Recorded By: Ms. Geraldine Vallejos				