



**UNIVERSITY OF NEW MEXICO
BOARD OF REGENTS**

Agenda

**October 17, 2017
Open Session 9:00 AM
SUB, Ballroom C
Executive Session/Luncheon
Approximately 12:00 p.m.
SUB, Cherry Silver Room**

**The Board of Regents of the University of New Mexico
Meeting in Open Session and Executive Session
October 17, 2017, 9:00 AM
Open Session - Student Union Building (SUB), Ballroom C
Executive Session, SUB, Cherry Silver Room**

AGENDA

TAB

I. Call to Order, Confirmation of a Quorum, Adoption of the Agenda
Regent President Rob Doughty..... 1

II. Approval of Minutes: September 12, 2017 regular meeting and October 3, 2017 special meeting 2

Public Comment – comments related to items on the agenda (limit 3 min.)

Regent Advisors – comments related to agenda items (limit 3 min.)

Comments from Regents

III. The President’s Administrative Report, *Interim President Chaouki Abdallah* 3

IV. Regent Committee Reports

Consent Docket

(Action items on Regent’s Committee agendas may move to the Board of Regents’ agenda as consent items; the below items are placed on the consent docket by the respective committee chairs; items on the consent docket received unanimous approval in committee; per Regents’ Policy 1.2, “Any member of the Board of Regents shall have the right upon request to remove any item from the Board’s consent agenda and place the item on the Board’s regular agenda for discussion.”)

1. Finance and Facilities Committee (F&F) Consent Items, Regent Marron Lee, Chair

a. Finance and Facilities Committee Meeting Summary from September 5, 2017.....C-1

b. Approval of Quarterly Financial Actions Report and Certification through June 30, 2017
(Liz Metzger, Univ. Controller).....C-2

c. Affirmation of UNM Five-Year Capital Plan *(Lisa Marbury, Exec. Dir., ISS)*C-3

Finance and Facilities Committee (F&F), Regent Marron Lee, Chair

Action Items

1. Approval FY 17 Main, HSC and Branch Campus Categorization of Reserves Report pursuant to UAP 7000

2. Pre-approval of FY 18 Budget Adjustments to be Incorporated into the master BAR
Norma Allen, Director, OPB&A; Nicole Dopson, Director, Financial Operations, Office of the Provost 4

Academic/Student Affairs and Research Committee, Regent Rob Doughty, Chair

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Audit and Compliance Committee, Regent Tom Clifford, Chair

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1. Meeting Summary Report for the August 25 meeting 7

Public Comment – comments not related to items on the agenda (limit 3 min. per speaker)

V. Advisors’ Reports (limit 3 min.) 8

- VI. Vote to close the meeting and proceed in Executive Session (Cherry Silver Room on 3rd level of SUB)
 - 1. Discussions of personally identifiable information about an individual student as permitted by Section 10-15-1(4), NMSA (1978)
 - 2. Discussions of subject to attorney-client privilege pertaining to threatened or pending litigation as permitted by Section 10-15-1(7), NMSA (1978)
 - 3. Discussion and determination where appropriate of the purchase, acquisition or disposal of real property as permitted by Section 10-15-1.H(8), NMSA (1978)

- VII. Vote to re-open the meeting and certification that only those matters described in Agenda Items VI. were discussed in Closed Session and if necessary, final action with regard to those matters will be taken in Open Session.

- VIII. Adjourn

Minutes of the Regular Meeting of the Board of Regents of the University of New Mexico
September 12, 2017
Student Union Building (SUB) – Main Campus
Executive Session – Cherry Silver Room
Open Session - Ballroom C

Members present

Robert M. Doughty, President; Marron Lee, Vice President; Tom Clifford, Secretary Treasurer; Garrett Adcock; Brad Hosmer; Alex O. Romero; Suzanne Quillen

Administration present

Chaouki Abdallah, Interim President; David Harris, EVP for Administration, CFO, COO; Paul Roth, Chancellor for Health Sciences Center; Elsa Cole, University Counsel; Dorothy Anderson, VP HR; Richard Larson, Exec. Vice Chancellor HSC; Eliseo 'Cheo' Torres, VP Student Affairs; Dana Allen, VP Alumni Relations; Elizabeth 'Libby' Washburn, President's Chief of Staff; Gabriel Lopez, Assoc. VP Research; Liz Metzger, Controller; Steve McKernan, CEO UNM Hospitals

Regents' Advisors present

Pamela Pyle, Faculty Senate President; Danelle Callan, Staff Council President; Donna Smith, Retiree Association President; Noah Brooks, ASUNM President; Trajuan Briggs, GPSA; Laurie Moye, UNM Foundation

Presenters in attendance

Connie Beimer, Director Government and Community Relations Office; Alexandra Benjamin, Chair, Harwood Foundation Governing Board; Richard Toben, Director of the Harwood Museum; Marc Tyndall, Health and Benefits Large Market Account Executive, AON Risk Solutions

Others in attendance

Members of administration, faculty, staff, students, the media and others.

CALL TO ORDER, CONFIRMATION OF A QUORUM, ADOPTION OF THE AGENDA

Regent President Rob Doughty called the meeting to order at 8:15 AM in the Cherry Silver Room on third level of the Student Union Building. A quorum was confirmed. The Regents voted unanimously to adopt an amended agenda; the amended agenda combined the HSC Committee and Finance and Facilities Committee FY19 Research and Public Projects agenda items into one item for presentation and approval. (1st Lee; 2nd Adcock).

VOTE TO CLOSE THE MEETING AND PROCEED IN EXECUTIVE SESSION

**The vote to close the meeting and proceed in Executive Session passed unanimously (1st Romero; 2nd Hosmer).
The meeting closed at 8:15 AM.**

Closed session was held in the Cherry Silver Room on third level of the Student Union Building. The Open Meetings Act exemption for closed session was discussion and determination where appropriate of limited personnel matters as permitted by Section 10-15-1.H(2), NMSA (1978). All members of the board were present during closed session. University Counsel Elsa Cole was also present during closed session.

VOTE TO RE-OPEN THE MEETING AND CERTIFICATION THAT ONLY THOSE MATTERS DESCRIBED IN THE CLOSED SESSION AGENDA WERE DISCUSSED DURING CLOSED SESSION

The vote to re-open the meeting was unanimous (1st Lee; 2nd Clifford). The meeting re-opened at 9:06 AM; the doors to the Cherry Silver room were opened. Regent President Doughty certified only those matters described in the closed session agenda were discussed in closed session, and there were no items upon which action was taken.

- Members and others moved to Ballroom C for the open session portion of the agenda.

APPROVAL OF MINUTES

Regent Doughty asked if there were amendments to the agenda. Regent Lee said there was one amendment, to combine the HSC and F&F RPSF agenda items for presentation and approval.

The motion to approve the agenda as modified passed unanimously (1st Lee; 2nd Adcock)

PUBLIC COMMENT

The following spoke not in favor of the 65+ Senior Medical Plan Premium Rates agenda item; more research needs to be done; the need for a wider survey of those affected; the Retiree Association's efforts toward rate reductions through CMS:

Carol Stephens, UNM Retiree; Fred Rose, UNM Retiree; Steve Borbas, UNM Retiree; Beverly Burris, retired five years ago; Leslie Easom, a pharmacist and retiree; Donna Smith, President of the Retiree Association.

ADVISORS COMMENTS (no comments)

COMMENTS FROM REGENTS

Regent Tom Clifford commented about the recent formation of the New Mexico Statewide Higher Education Master-plan (NM SHEM) Committee, involving a governance review and administrative cost structure review. The LFC is looking at, how can we find economies? Regent Clifford also commented on the recent periodical coverage that included a poll asking the question: Do you feel the cost of Higher Education is worth it?

Regent Rob Doughty commented there will be a Board of Regents special meeting to address the Faculty Senate Resolution of August 25, 2017.

THE PRESIDENT'S ADMINISTRATIVE REPORT

President Abdallah began his report with new information regarding student success and enrollment. In August, UNM welcomed 3,250 first-time freshmen; this number will be used for national reporting of 4-6 year graduation rates. It is approximately 3% less than 2016, and slightly less (less than .5%) than the predicted amount, due mostly to the economy and proposed changes to the lottery. In 2016, UNM graduated more students and a higher percentage of students in 4 and 5 years. The 4-year graduation rate was slightly above 29%, double the 2012 figure (about 14.5%). Also, UNM nearly doubled the on-time, 5-year completion rate, at 43%, close to the 6-year rate recorded a few years ago (44%). These successes are due directly to many ongoing initiatives for student success.

President Abdallah turned to research highlights, which included a new Main Campus National Science Foundation grant awarded to Professors Lee Swanson and Lee Van Horn in the amount of \$2.5 million over four years to improve math problem-solving and working memory growth in English-language learners with math disabilities. UNM has established expertise in this area reflected by the receipt of this highly competitive grant benefiting UNM's students and New Mexico's population. Also, President Abdallah acknowledged Dr. Deretic, Chair of Molecular Biology and Microbiology, who received a prestigious grant of \$11.5 million over 5 years, focusing on Autophagy of Inflammation and Metabolism of Disease, a project that may lead to new treatments for many diseases. The administration is pleased that in the competitive grants arena, faculty are obtaining funding for large projects. President Abdallah noted also that these projects are important because research is a main component of UNM's mission.

President Abdallah outlined next steps in countering current fiscal challenges. In June, the administration began a conversation about reengineering UNM. President Abdallah has engaged in many conversations, an Executive Cabinet presentation, a Faculty Senate presentation, and two Town Halls, one on Main Campus and one at the HSC, that were streamed on Facebook also. Next steps include presentations to the Deans Council, Staff Council, ASUNM and GPSA, and appointing a Steering Committee. Also, as he did with the Academic Strategic Plan, President Abdallah would like to reach out to community leaders and people across the state, as well as host speakers from institutions outside of New Mexico that are experiencing similar issues, to hear how they are finding solutions. Also, he would like to appoint committees to focus on specific areas: 1) demographics; 2) sustainability of business models; 3) private partnerships; and 4) evolving student needs and learning abilities.

President Abdallah reiterated the administration's commitment to reaffirming a culture of respect on campus. Next week is the second Annual UNM Safety Week, with several events scheduled. Also, two new campus websites will be launched: one regarding campus climate, the other for undocumented students. More information can be found at campussafety.unm.edu.

President Abdallah updated the Regents on the UNM 2020 Strategic Plan. He noted that when yearly goals are achieved, they are removed from the plan. The administration has focused on student success; UNM has achieved record graduation rates for 4 and 5 years, and the graduation rate for 6 years is nearing the record currently. UNM has increased its pool of international students, with students from 98 countries on campus. In terms of capital projects, the administration continues to upgrade buildings and facilities; currently there are more than \$216 million in ongoing capital projects. President Abdallah then returned briefly to the topic of research. For the 13th consecutive year, the HSC has received record research funding, at \$204 million. For Main and Branch Campuses, research funding amounts to \$142 million,

representing a 13.5% increase since 2012. Growth has occurred despite \$14.2 million in spending reductions and reallocations on Main Campus. President Abdallah noted that he is beginning to see early warning signs of the negative effects of reductions, which are not always discernible immediately. The signs include prediction of graduation rates and other indicators associated with student support. He cautioned that as the University moves forward, it should be aware that it is much more difficult to start from a rest or a negative slope than it is to continue a positive trajectory.

President Abdallah reported that the UNM Foundation reported a record high of \$91.4 million in Annual Fund fundraising, in a combination of bequests, cash, endowment, and in-kind gifts, among other categories. Cash on hand to spend is much less than the total amount.

President Abdallah returned to the UNM 2020 Strategic Plan slide, stating that UNM was progressing well on several of the goals set for FY17 and FY18, which the administration started working on 3-4 years ago. He assured the Regents the administration would address the less successfully progressing goals as they move forward.

Regent Clifford inquired if the 2017 graduation rates were available yet. President Abdallah stated that the 2016 numbers are indeed the most recent.

Regent Clifford inquired when the Regents would be involved in the strategic planning process. President Abdallah replied that he offered to engage the Regents, initially as part of the Regents' Retreat, but would be happy to engage the Board and present to them at any time.

Regent Lee commended the administration, particularly Terry Babbitt and his team, on the significant accomplishment of doubling graduation rates in four years. President Abdallah reiterated that most UNM students actually graduate in 4.5 to 5 years. The largest graduation rate is 5 years. UNM has been able to shift that number down, so that more who would have graduated in 5 years are now graduating in 4, and more who were graduating in 6 years, are now achieving this in 5. The focus has been internal, meaning that UNM increased rates without filtering students or only accepting students who appear to be able to graduate in 4-5 years. In other words, it has been accomplished by improving the success outcomes of the students UNM has.

APPROVAL OF SUMMER DEGREE CANDIDATES

Faculty Senate President, Pamela Pyle, presented the item. There are 741 summer degree candidates; 82 Doctoral or Terminal degrees; 232 Master's; 386 Bachelor's; and 41 Associate's. The Regents were presented with the list of names and degrees to be awarded.

The motion to approve the Summer Degree Candidates as presented passed by a unanimous vote in favor (1st Hosmer; 2nd Lee).

REGENT COMMITTEE REPORTS

CONSENT DOCKET

Regent Doughty addressed the following consent docket and asked for a motion to approve.

1. Finance and Facilities Committee (F&F) Consent Items
 - a. Approval of the Disposition of Surplus Property for Main Campus for June, July and August 2017
 - b. Approval of UNM Information Technology-Edge Switch Refresh Program Contract
 - c. Approval of Lobo Energy, Incorporated, Board of Directors Appointments
 - d. Approval of Revision to Consolidated Investment Fund Investment Policy
- Approval of AON Hewitt Investment Consulting Contract

The motion to approve the items on the Consent Docket passed by a unanimous vote (1st Lee; 2nd Clifford).

HEALTH SCIENCES CENTER COMMITTEE

Approval of the Appointment of Terry Horn to the UNM Hospitals Board of Trustees

Steve McKernan introduced Mr. Horn, recently nominated to the UNM Hospital Board of Trustees. Mr. Horn is from Roswell, retired and worked for PNM for 20 years. He served on the Anderson School's Foundation Board. The Regents thanked Mr. Horn for his willingness to serve on the UNM Hospital board.

The motion to approve the appointment of Terry Horn to the UNM Hospitals Board of Trustees passed by a unanimous vote in favor (1st Clifford; 2nd Hosmer).

Approval of FY19 Research and Public Projects (RPSP) (This item was presented with the following action item and a vote was taken as one item.)

FINANCE AND FACILITIES COMMITTEE

Approval of FY19 Research and Public Projects (RPSP)

Connie Beimer, Director, Government and Community Relations, presented the Research and Public Service Projects (RPSP) requests for approval before submission to the Higher Education Department. UNM received \$52.6 million for RPSPs in FY08. In FY15, UNM received \$43.4 million, in FY16, \$43.8 million, and in FY17, \$40.1 million. The request increases funding to FY16 levels of \$43.8 million and reflects what the program leaders believe is needed after sustaining yearly cuts. The 43 listed programs have been cut 8% over the past three years. Although the latest revenue report indicates a small increase, there is no certainty of this. Submission of the RPSP request shows need if indeed there is opportunity for funding.

Regent Clifford indicated he is opposed to the motion, as he has made clear in committee. He noted that the state lacks an additional \$3.8 million for the requested increase, and that the request stands to compete with UNM's I&G requests. He suggested a need to carefully present priorities to the legislature, and stated he disagreed that "asking for the moon knowing it won't be gotten" is the proper way to signal priorities to the legislative and executive branches. He expressed concern about adding to the call for increased taxes, explaining that the state economy is not growing. He stated that requesting additional funding from the state amounts to rearrangement of the economic pie in UNM's favor without adequate rationale.

President Doughty asked Ms. Beimer and Matthew Munoz, Government Relations Manager, to discuss how the RPSP request could potentially compete with I&G requests. Mr. Munoz responded that the request does create competition to an extent; however, I&G is run through the funding formula at the LFC, and the executive decides what amount of money goes into I&G, retaining the rest for dispersion. The RPSP ask comes from whatever funds remain. The funding formula is related, but it is not a direct relation to the RPSP ask.

Regent Hosmer noted that the justifications for funds do not appear to be strong and only restate each organization's mission; they lack description of the result or pay-off. He urged that a description of the payoff of the additional increment as well as the basic function of each organization be included in the documentation. Mr. Munoz stated that extensive detailed information is included in the RPSP forms submitted to the Higher Education Department, the DFC and the LFC, including justification for additional money, and targets, goals and actuals for the coming year. Regent Hosmer requested to see that information and Mr. Munoz agreed to supply it.

President Doughty inquired if faculty were involved in the process, and what kind of input was received. Ms. Beimer stated that in the establishment of RPSPs, the office has asked for no new requests. President Abdallah clarified that the 2017 RPSPs have accumulated over time through input from department chairs, deans, etc. However, this year the administration also initiated collaboration with the Faculty Senate, not specifically about RPSPs, but in relation to legislators' priorities.

Regent Romero asked for further clarification as to how priorities are decided and how the funding is to be utilized. President Abdallah stated the prioritization of RPSPs is updated yearly in collaboration with legislators who have expressed interest in particular types of projects. The current list is an accumulation from previous years, with no new requests. Last year, there was a legislative proposal to remove projects from the RPSP list to ensure they become part of the University budget, where allocation would be based on priorities; that bill was defeated.

President Doughty sought further clarification about faculty involvement and requested Faculty Senate President Pamela Pyle respond. President Pyle stated this is the first year faculty has been offered the opportunity for input and she is awaiting details from the Government Relations office. She noted she understood Regent Clifford's concern regarding limited funds and careful prioritization, but noted legislative experts have cautioned her if UNM does not make requests, legislators do not know of a need. Currently, the Faculty Senate is meeting with legislators to align research projects with

initiatives. She stated that faculty are thrilled to be included, and thanked President Doughty for concurring. President Doughty reiterated that a \$33 million request for funding from the legislature should include faculty input.

Regent Clifford reiterated Regent Hosmer's point about the lack of information regarding how RPSP resources would be allocated and cautioned that faculty should also be concerned that the RPSP request could compete directly with UNM's ability to hire faculty. He submitted that in the future, Mr. Munoz prepare a summary report explaining how the proposed expansion will be used by each requesting entity.

Regent Hosmer stated that in many cases it appears the public benefits from a project, not necessarily UNM, and this should be made clear. Ms. Beimer stated that a more detailed summary would be provided in the future and offered to share information from the RPSP directors regarding the use of requested funds, including increased services and reinstatement of services eliminated due to cuts.

Regent Lee reiterated Regent Clifford's concerns that requests include information on outcomes that align with UNM's mission. She also stated that although she understood the response to specific legislator requests, she shared the concerns that UNM itself needs to drive requests that serve its mission.

The motion to approve the FY19 Research and Public Service Project requests for HSC and Main Campus passed by a vote of 6-1; Regents Romero, Lee, Hosmer, Doughty, Quillen, Lee, Adcock voted for; Regent Clifford voted against (1st Doughty; 2nd Adcock).

Approval of Harwood Foundation Governing Board, Appointment and Re-Appointment

Alexandra Benjamin, Chair of the Harwood Foundation Governing Board, presented the item along with Richard Toben, the Director of the Harwood Museum. They respectfully requested that the Board of Regents approve the appointment of Ms. Diane Reyna and re-appointment of Mr. Tim Larsen to the Harwood Governing Board.

The motion to approve the appointment of Diane Reyna and the re-appointment of Tim Larsen to the Harwood Museum Governing Board passed with a unanimous vote in favor (1st Clifford; 2nd Hosmer).

Approval of +65 Senior Medical Plan Premium Rates

Dorothy Anderson, Vice President for Human Resources, requested approval to move forward with open enrollment for 65+ retirement health plans, scheduled to begin in October to coincide with Medicare coverage enrollment. She introduced Joey Evans, Benefits Manager, and Mark Tindall, a consultant with Aeon, who has extensive experience with administration and financing in the public sector as the former executive director of the New Mexico Retiree Healthcare Authority. Previously, Regents have not reviewed 65+ plan rates, which are highly regulated through Medicare; however, this year, there is a spike in premiums that requires attention. Since the Finance & Facilities meeting, Human Resources and the UNM Retiree Association have met to discuss opportunities to minimize increases, resulting in an agreement that UNM cover an additional 1150 of an ACA fee that will be implemented in 2018. Human Resources is working with Aeon in obtaining future 65+ plan quotes and working with the UNM Retiree Association to look at existing opportunities for 2018. Moving forward, Human Resources will release an RFP, gather preliminary information from carriers, evaluate plan design, and evaluate purchasing power by working with the Retiree Association. The current request is for approval to move forward with current plans and rates offered to retirees in order to ensure the opportunity to educate individuals about available options, and assist them in the selection process.

Mr. Evans continued the presentation and provided more information regarding retiree plans. Medicare retirement plans are fully insured, meaning the insurance carrier assumes the risk for claims, in stark contrast to active and pre-65 plans, which are self-funded, meaning UNM assumes risk on claims. They also have a different revenue source. The revenue for Medicare advantage plans in particular comes from substantial Medicare subsidies that are not increasing with trend in healthcare for Medicare retirees. The difference between the Medicare subsidy paid to the insurer and the actual Advantage Plan cost are passed to the University through premiums, paid 30% by the University and 70% by the Medicare retiree, in contrast to the active and pre-65 plans, which are funded by University and active employee and retiree contributions to premiums. Historically, UNM has offered diverse retiree plans to ensure coverage by all major networks within the state (Lovelace, Presbyterian and UNM), and to meet the various economic needs of retirees; plans vary in types of coverage, co-pays, maximums, prescription drug coverage (Medicare Part D), etc. There sometimes exists a Medicare Part D coverage gap, in which out-of-pocket costs can vary once a certain threshold is met with plan participant costs. For example, in 2017, once the insured/insurer costs reach \$700, there is a gap in coverage where various co-pays and co-

insurance might be paid until the insured reaches \$4,950 in out-of-pocket costs, at which time catastrophic coverage takes over. The amount of coverage during the gap does have an effect on premiums, so UNM offers plans to provide a variety of options for individuals who may need more or less coverage during that coverage gap. The estimate for the increase in cost to UNM based on current enrollment is approximately \$510,000. In addition to that, the ACA fee also will be implemented. This fee was effective in 2014, intended to meet certain revenue targets. The revenue target for the fee in 2018 is \$14.3 billion, which is estimated to be 2.6% of the total fully insured premiums paid. In 2015, Congress passed the Consolidated Appropriations Act, creating a moratorium for 2017. Under current law, that fee will be assessed in 2018. Finally, as mentioned previously, currently UNM's retiree plan costs are funded from the miscellaneous fringe pool (not I&G funded). The Office of Planning, Budget and Analysis will ensure that the miscellaneous fringe pool is funded and able to absorb the radiant increase. In the future, 65+ retiree premium UNM contributions will be funded out of the VEBA. Prior to the adoption of the VEBA in FY14, 65+ retirees paid 57% of the premium and the University funded 43%. As part of VEBA implementation, UNM contribution was reduced over 3 years, until, effective FY17, the retiree contribution became 70%. As a reminder, new employees hired after July 1, 2015 are no longer eligible for retiree health benefits.

Regent Clifford inquired whether the \$500k increase would hit the budget at half in the current fiscal year and half in the next fiscal year. Mr. Evans replied that this is correct. The \$510k budget increase would represent the calendar year of 2018.

Regent Clifford inquired if Mr. Evans could address whether co-pay and co-insurance are changing in some way on each plan. Mr. Evans replied that for 2018, the issue, based on informal feedback from retirees, was that in the previous year UNM had increased premiums and made plan design changes that increased co-pays and co-insurance. Retirees expressed difficulty with both an increase in premiums and an increase in cost-share. Through this feedback, we decided to ask for funding for the increase in premiums, with no plan design changes, so that we are not affecting individual retirees with increased cost-share at the time of service.

Regent Clifford inquired if the Retiree Healthcare Authority made changes in their co-pay and co-insurance. Mr. Tindall stated the New Mexico Retiree Healthcare Authority (NMRHA) did make substantial changes, mostly on the Presbyterian side. They increased co-pays and co-insurance, and included a deductible in front of the Prescription Part D benefit. Medicare Advantage plan rates are rising nationally. NMRHA saw an increase of approximately 40% percent and chose to increase cost sharing, and also absorbed significant increases. The increases are due partly to the ACA fee, but more to actual plan costs. The actual costs associated with plans are \$800 per member per month before Center for Medicare & Medicaid Services subsidies. Since 2014, subsidies have remained flat, while actual plan costs have risen.

Regent Clifford inquired whether CMS reviews plans to ensure they meet minimum requirements. Mr. Tindall responded that Medicare Advantage plans are required by statute to be at least as good as Medicare Part A and Part B. The coverage levels in plans offered by UNM far exceed any standards associated with minimum coverage levels.

Regent Clifford stated that his understanding about retiree healthcare is that it has a serious, unfunded long-term liability. He inquired whether it was fair to state premiums are relatively low because they do not cover the liability in that program.

Mr. Tindall responded that the Retiree Healthcare Authority, like all PED plans, does have long-term liability issues, but stated they are about 15% funded; they started at less than 3% funded and have about \$600 million currently in their trust fund; however, they do face significant long-term challenges associated with unfunded long-term liabilities.

Regent Clifford asked for clarification regarding UNM's fully insured program being fully funded. Mr. Tindall replied that it is fully funded is in as much as there will be no excess liability in addition to what the premium is, but stated 30% liability for each year moving forward still must be calculated.

Executive Vice President David Harris added that another important distinction between Retiree Healthcare Authority and UNM, is that current state employees who are members of Retiree Healthcare are paying a significant amount of their current pay for a future benefit. UNM did not impose that type of a payment on UNM employees until we formed the VEBA three years ago; UNM is building that funding base now, which is at \$31M currently. When it reaches \$50 million, UNM should actually be able to assist retirees more. The total contribution to Retiree Healthcare Authority is 3% of public payroll; there are over 100, 000 employees making payments. UNM's VEBA employee contributions are .75%, matched by the University. The VEBA was started because future liability was becoming so unwieldy, it was impacting UNM's balance sheet.

Regent Hosmer noted that the threshold for Regent involvement is an increase of \$500k, and the actual change is \$400k. David Harris explained that the administration wanted to elevate the level of discussion, so that the retirees and the Regents would understand the situation. Regent Hosmer noted that in addition to the budget increase, the Regents are actually being asked in essence to approve an increase to retirees of approximately \$1 million. VP Anderson clarified that the current FY ask is closer to \$350k. HR will evaluate the taxation that pays for our miscellaneous fringe and determine whether that amount needs to increase to subsidize retiree premiums on a go-forward basis.

Regent Hosmer commented that the entire package is presented as a “fact of life” requirement. He inquired if there are any alternatives. EVP Anderson replied that the greatest concern of the administration is moving forward to ensure retirees have enough time to choose between many complex plans. For CY19, after the RFP process and examining plan changes, UNM will consider reducing the number of plans offered to retirees. There are alternatives, but our preference is not to explore them at this point in time.

Regent Clifford noted UNM can plan for an increase next year by beginning these discussions earlier. Dr. Roth added that UNM has a self-funded program, and that the management of the additional costs, whether passed back to the retirees in the form of increased premiums or absorbed fully or in part by the University, is a business decision. When the administration understood, at a late date, that there was an additional cost to the University, the administration decided not to pass back 100% of that cost to retirees, noting the additional monthly cost is exorbitant for somebody on a fixed and low income. For the current fiscal year, the plan is for UNM to absorb half of those additional costs. Moving forward, the administration will begin conversations now and consider the impact to retirees, the ability of UNM to absorb additional costs, and other possible ways to reduce cost in other aspects of the plans, to best take care of its commitments to retirees and current employees. UNM inadvertently created stress for retirees because it did not communicate adequately and did not develop the plan earlier. Necessary steps to mitigate the full impact to all retirees have been taken. The administration will examine the issue much more seriously moving forward in order to not hurt the people who helped build the University.

Regent Quillen inquired if additional revisions were made. EVP Anderson stated the additional ACA fee was the only revision.

The motion to approve the 65+ Senior Medical Plan Premium Rates passed by a unanimous vote in favor (1st Clifford; 2nd Doughty).

AUDIT AND COMPLIANCE COMMITTEE

Meeting Summary Report for the April 28 Meeting (information item)

Regent Clifford called attention to the meeting summary for the April meeting that was included in the eBook and also commented on the status of the external audit. The external auditors are making excellent progress and will be able to meet an accelerated deadline.

PUBLIC COMMENT

Following gave public comment in support of the continuation of the MFA studios in the Art Annex:

Amy Johnson, Art and Art History; Monica Kennedy, Art and Art History, and Alivia Magaña, Art and Art History.

Following Faculty Senators gave public comment in support of the Faculty Senate Resolution of August 25:

Tim Ross, Regents’ Lecturer, School of Engineering; Jason Wilby, Senior Lecturer, Foreign Languages and Literatures; Marieken Shaner, Senior Lecturer, Department of Biology; Scott Hughes, Professor, School of Law; Kathy Powers, Political Science; Coffee Brown, School of Medicine; David Hanson, Biology; Claudia Valdes, College of Fine Arts; Lee Brown, School of Medicine; Magdalena Avila, College of Education; Pamela Pyle, College of Fine Arts; Kathryn Watkins, College of Education.

ADVISORS’ REPORTS

Danelle Callan, Staff Council President, spoke about the recent retirement of Staff Council Administrator, Kathy Meadows; Staff Council town halls; support of Interim President Abdallah; and invited the Regents to attend Staff Council meetings.

Trajuan Briggs, GPSA , Chief of Staff, spoke about the UNM Mission and the importance of leadership, cooperation and trust.

Noah Brooks, ASUNM President, commented faculty, staff, and students are in agreement in their support of Interim President Abdallah; the recent ASUNM resolution in support of DACA students was sent to the Regents and also to U.S. President Trump.

VOTE TO CLOSE THE MEETING AND PROCEED IN EXECUTIVE SESSION

The vote to close the meeting and proceed in Executive Session passed unanimously (1st Lee; 2nd Clifford). The meeting closed at 11:54 AM. Members proceeded to the Cherry Silver Room on third level of the SUB.

1. Discussion and determination where appropriate of limited personnel matters as permitted by Section 10-15-1(2), NMSA (1978)

The vote to re-open the meeting was unanimous (1st Lee; 2nd Clifford). The meeting re-opened at 2:00 PM. Regent Doughty certified that only those matters described in Executive Session agenda were discussed in closed session and there was no item upon which members took action in closed session or were to take action in open session.

ADJOURN

There being no further business, the motion to adjourn passed unanimously (1st Romero; 2nd Lee). The meeting adjourned at 2:00 PM.

Approved:

Attest:

Robert M. Doughty III, President

Tom Clifford, Secretary/Treasurer

Minutes of the Special Meeting of the Board of Regents of the University of New Mexico
October 3, 2017
Ballroom C, Student Union Building, Main Campus
Closed Session in Lettermen's Lounge, Dreamstyle Arena

Members present

Robert Doughty, President; Marron Lee, Vice President; Tom Clifford, Secretary Treasurer; Brad Hosmer, Suzanne Quillen, Alex Romero, Garrett Adcock

Others in attendance

Elsa Cole, University Counsel; Gabriel Lopez, VP for Research; Dana Allen, VP Alumni Relations; Elizabeth 'Libby' Washburn, Chief of Staff, Office of the President; Pamina Deutsch, Director Policy Office Faculty, Staff, Students, the media, and others

CALL TO ORDER, CONFIRMATION OF A QUORUM AND ADOPTION OF THE AGENDA

Regent President Rob Doughty called the special meeting to order at 10:34 AM. A quorum was confirmed; the agenda adopted.

President Doughty opened the meeting by thanking the Faculty Senate for their commitment to the University and reiterated that their voice is critical within the collective campus community. He thanked Faculty Senate President Pamela Pyle for her representation of constituents and continued discussion with the Regents. He appreciated their invitation to their last meeting and noted the resulting dialogue has been spectacular. He expressed appreciation for continued discussion on shared governance.

He thanked the presidential search committee, comprised of approximately 22 individuals representing stakeholders at the University, as well as outside of UNM. He stated that the committee did an excellent job and expressed that it was an honor to work with them. He stated the committee felt the process was open and transparent, with dialogue and debate on each interviewed candidate. Every committee member had an opportunity to speak about the positives and negatives of candidates and from that discussion, a group of finalists emerged.

President Doughty thanked President Abdallah for his dedication to the University, his excellence as an individual, and his outstanding service to UNM as Provost and interim President. President Doughty stated his appreciation for President Abdallah's commitment to the University and wanted to make clear the Regents agree President Abdallah has done a fantastic job. He thanked President Abdallah for Town Halls and a recent twitter Town Hall, expressing that UNM is blessed to have President Abdallah. President Doughty also thanked the Regents for their dedication and hard work.

ACTION ON THE FACULTY SENATE RESOLUTION OF AUGUST 25, 2017

Regent Doughty read the resolution's resolve: *"In view of the overwhelming sentiment of the Faculty Senate in favor of delaying the recruitment and hiring of a new president of UNM by one year, the Faculty Senate requests in the strongest possible terms, that the UNM Board of Regents hold a formal vote on the Faculty Senate Resolution delaying the hiring of the new president by one year."*

Regent Doughty asked if there was a motion adopting the Faculty Senate Resolution delaying the hiring of the new president by one year.

There being no motion, Regent Doughty asked if there was an alternative motion.

Regent Marron Lee moved to affirm the work done by the Search Committee and for the Board to move forward with the next steps; Regent Tom Clifford seconded the motion; there was discussion.

There being no further discussion, Regent Doughty asked for a vote on the motion:

The motion to affirm the work done by the Search Committee and for the Board to move forward with the next steps passed by a vote of 6:1; Doughty, Romero, Adcock, Lee, Quillen, Clifford voted for; Regent Hosmer voted against (1st Lee; 2nd Clifford).

After the vote, Faculty Senate President, Pamela Pyle, clarified the request from Faculty Senate was for the Regents to vote on the resolution. There was discussion about whether the Regents voted on the resolution. There was discussion about taking a vote on the resolution and about following

Roberts Rules of Order. Regent Doughty asked University Counsel, Elsa Cole, to comment. Ms. Cole clarified that if there was not a motion to adopt the resolution, it was the same as a no vote.

EXECUTIVE SESSION

Vote to close the meeting to discuss candidates for the position of President as permitted by Section 10-15-1.H(2), NMSA 1978

Regent Doughty asked for a motion to go into closed session. Regent Lee motioned to close the meeting; Regent Clifford seconded; the motion passed unanimously; the meeting closed at 10:57 AM.

- Members moved to the Lettermen's Lounge to continue the meeting in closed session.

Vote to re-open the meeting

Regent Lee motioned to open the meeting; Regent Tom Clifford seconded; the motion passed unanimously. The meeting opened at 1:37 PM. Regent Doughty confirmed that only candidates for the position of President as described in the meeting agenda were discussed in closed session and there was one item upon which the board would take action:

The motion to accept the full recommendation of candidates made by the Presidential Search Committee passed by a unanimous vote (1st Clifford; 2nd Romero).

ADJOURN

Regent Doughty asked for a motion to adjourn. Regent Suzanne Quillen motioned to adjourn the meeting; Student Regent Garrett Adcock seconded; all were in favor. The meeting adjourned at 1:37 PM.

Approved:

Attest:

Robert M. Doughty III, President

Thomas Clifford, Secretary/Treasurer

President's Administrative Report

will be presented at the meeting

Consent Docket

(Action items on Regent’s Committee agendas may move to the Board of Regents’ agenda as consent items; the below items are placed on the consent docket by the respective committee chairs; items on the consent docket received unanimous approval in committee; per Regents’ Policy 1.2, “Any member of the Board of Regents shall have the right upon request to remove any item from the Board’s consent agenda and place the item on the Board’s regular agenda for discussion.”)

1. Finance and Facilities Committee (F&F) Consent Items, Regent Marron Lee, Chair

- a. Finance and Facilities Committee Meeting Summary from September 5, 2017....C-1
- b. Approval of Quarterly Financial Actions Report and Certification through June 30, 2017 *(Liz Metzger, Univ. Controller)*.....C-2
- c. Affirmation of UNM Five-Year Capital Plan *(Lisa Marbury, Exec. Dir., ISS)*C-3

THE UNIVERSITY OF NEW MEXICO
Board of Regents' Finance and Facilities Committee (F&F)
September 5, 2017 – Meeting Summary
<<DRAFT>>

Committee Members Present: Regent Marron Lee, Regent Tom Clifford, and Regent Alex Romero.

Administration Present: Chaouki Abdallah, Interim President, David W. Harris, EVP for Administration.

Presenters in Attendance: Brenda De La Pena, UNM Benefits; Bruce Cherrin, Chief Procurement Officer; Connie Beimer, Director, Government Relations; Dorothy Anderson, Vice President, Human Resources; Duane Arruti, Chief Information Officer, IT; Jason Strauss, President/CEO, Lobo Energy; Joey Evans, Manager, Human Resources; Kenny Stansbury, Controller, UNM Foundation; Laurie Moye, Chair, UNM Foundation Board; Liz Metzger, University Controller; Matt Munoz, Manager, Government Relations; Max Kotary, AON Hewitt, Ryan Mummert, Chair, UNM Foundation Investment Committee; Tim McEnery, AON Hewitt.

ACTION ITEMS:

1. **Call to Order, Confirmation of a Quorum, and Adoption of Agenda.** Chairperson Marron Lee called the meeting to order at 12:38 p.m. in Scholes Hall, Roberts Room and confirmed that a quorum was established. Chairperson Lee recommended moving Information items 12 and 13 above Action item 8 since these items will help determine the decision of Action items 8 and 9. **Chairperson Marron Lee moved to adopt the agenda and Regent Clifford seconded. The motion passed by unanimous vote with a quorum of committee members present and voting.**
2. **Finance and Facilities Committee Meeting Summary from August 8, 2017.** Chairperson Lee recommended condensed future meeting summaries with the understanding that all Regent requests be included. **Regent Romero moved to approve and Regent Clifford seconded. The motion passed by unanimous vote with a quorum of committee members present and voting.**
3. **Approval of Disposition of Surplus Property for Main Campus for June, July, and August 2017.** Bruce Cherrin presented one item of book value for approval.

Regent Clifford moved to approve and Regent Romero seconded. The motion passed by unanimous vote with a quorum of committee members present and voting.

4. **Approval of UNM Information Technology – Edge Switch Refresh Program Contract.** Bruce Cherrin and Duane Arruti made the presentation, requesting approval on a bid for 11 different Cisco switches that are constantly being upgraded. Thirty-eight vendors received the request for proposals, and of them, 5 responded. The selection committee recommended awarding the contract to Advanced Network Management. The total cost will be \$800,000 annually for 4 years.

Regent Clifford inquired whether the cost of contract is a flat rate or if the cost changes depending on the bidder.

Mr. Cherrin responded that depending on what is needed at the time, there are 11 different switches and a bid for each one of them. The department will keep a close eye on new technology being released.

Regent Clifford moved to approve and Regent Romero seconded. The motion passed by unanimous vote with a quorum of committee members present and voting.

- 5. Approval of FY19 Research and Public Service Projects (RPSP).** Connie Beimer and Matt Munoz made the presentation. Approval by the Regents is required prior to submitting the request to the Higher Education Department. Main Campus RPSP's totaled \$15.6M in 2008, \$9.8M in FY 15, and \$10M in FY16. This request is for an increase back to the FY16 budget level of \$10M for Main Campus.

Regent Clifford expressed concern about a 20% increase, \$1.3M of additional spending on top of \$3.4M discussed earlier that morning at the HSC Board meeting, because there will not be this type of funding from I&G. Regent Clifford commented that we are not showing the legislature where the university's priorities lie.

Regent Romero agreed with Regent Clifford's concern about our priorities and also inquired about what the next steps would be with these justifications for an increase.

Regent Lee also agreed with Regent Clifford and Regent Romero's concern.

Ms. Beimer replied this request requires a larger conversation with legislators and other universities, however, the approval from the Regents was required in order to move forward.

The motion to approve the FY 19 Research and Public Service Project Requests passed by a vote of 2-1; Regents Romero and Lee voted for; Regent Clifford voted against (1st Romero, 2nd Lee).

- 6. Approval of Harwood Foundation Governing Board, Appointment and Re-Appointment.** No action was taken because a representative was not present.
- 7. Approval of Lobo Energy, Incorporated, Board of Directors Appointments.** Jason Strauss made the presentation. Bruno Carrara and Thomas Ruiz were recommended for reappointment.

Regent Clifford expressed concern about the Public Regulation Commission's rate setting policy with PNM and how a contract agent will work on UNM's behalf. Regent Clifford expressed discomfort about turning over University priorities to a contractor without having an understanding of what outside counsel is doing. Regent Clifford asked for reassurance on this matter.

Mr. Harris commented that UNM had a contract with an individual lawyer that represented UNM exclusively, but because rate actions of the PRC were continuous, the representation became expensive. With further research, the NMIEC group was found. There are about 5 to 6 large industrial users, and the Board opted to join this group for a low price. Mr. Gould represents the interests and priorities established by the coalition and does not advocate for the University.

Regent Clifford stated Mr. Carrara is seen as a representative of the University, and there is concern our priorities will pile on whatever priorities have been given to him by that group. This concern came from prior conversation with PNM about improvements in channels of internships and employment for graduates at PNM. Regent Clifford emphasized the importance of the relationship with PNM as rate cases continue to burden that relationship.

Mr. Strauss stated there is a natural conflict within these two parameters. We want to place our UNM graduates at PNM because they are one of the New Mexico's largest employers. At the same time, we want to do our due-diligence to ensure UNM gets a fair deal from one of the largest industrial consumers.

Regent Clifford responded with an understanding of the different priorities and requested that a summary of the presentation, made on the group's behalf, be brought to the Lobo Energy Board Meeting.

Mr. Harris commented the University never advocated for 0% rate increase, PNM is always guaranteed a certain profit margin. A balance in rate actions is what is advocated for. The university does not receive funding for utilities even though we pay up to \$20M a year in utilities.

Regent Clifford moved to approve and Regent Romero seconded. The motion passed by unanimous vote with a quorum of committee members present and voting.

12. **UNM Foundation Regent Advisor Report.** Laurie Moye presented on the Foundation's fundraising report. A \$5,000 endowment was created at the College of Fine Arts, Music Department, for student scholarships in the music program. The endowment was a gift from the "Friends of Music" organization. In addition to the endowment, the Foundation raised \$91.4M for FY16-17.

Regent Clifford requested a few minutes to go through the specifics of the Fundraising Performance Report.

Kenny Stansbury made the next presentation and gave a detailed overview of the Fundraising Performance report, starting with a summary of the CASE Campaign.

Regent Clifford inquired if the summary of financials was a result of the Foundation's campaign and not from its total resources.

Mr. Stansbury responded that the total Foundation's resources are endowments. The endowment gifts are accounted for, but the current financial summary is the result of the campaign only.

Regent Clifford stated the breakdown of proceeds from donations between Main Campus, HSC, Athletics, and others must be used for specific areas on campus.

Mr. Stansbury responded that this requirement is similar to most gifts received; about 99% of gifts are restricted. Mr. Stansbury proceeded to explain the next few reports on gift commitments, pledges, metrics on donors and gifts, and the amount of money raised. The Foundation received an 11.8% endowment growth for the year, totaling \$425M.

Regent Clifford clarified that the \$15M is from the beginning balance of approximately \$400M and also inquired about policies that would set up a band between 4-6%.

Mr. Stansbury responded with the current band at 4-6%, the change in policy would clarify approval of a certain dollar amount that is within the band and use unit averaging rather than straight dollar averaging. Mr. Stansbury also noted each year at the February meeting, the Investment Committee of the Foundation sets the approved spending distribution one fiscal year in advance.

Regent Clifford asked if the total market value is below the 4% or if the 4% is something other than the total market value.

Mr. Stansbury responded that the market value is below 4% because it is based on an average of the prior 20 quarters (5 years). There was some growth in the endowment for the past 20 quarters, which is why the percentage of the ending value is below the 4%. \$15M is sitting at 4.2% of that average. \$15.5M was already approved by the Board, and it is also near the bottom of the approved ban of around 4%.

Mr. Stansbury continued with the last section of the report which covered endowment funding. Endowment funding is a component of the Foundation funding and different institutions fund the Foundation either through direct support or through an endowment funding allocation fee.

13. Consolidated Investment Fund Performance Update. Ryan Mummert, Tim McEnergy, Max Kotary, and Kenny Stansbury made presentation.

Tim McEnergy gave an informational update on investment performance. Two graphs were presented comparing investment performance to the policy benchmark. The performance measurement criteria showed the Foundation did very well on endowments within the last year. Policy benchmark is looked at as a passive representation, and the portfolio is comprised of both passive and active benchmarks. The issue with the policy benchmark is investments from private markets have no available public proxy. In that case, public markets plus a premium is usually taken over a long term. It is expected that the private market investments pay out to the public market indices plus premiums. In short term, when public market performance is strong, private markets lag particularly when public market premium benchmarks are at 2-3%. There are two reasons why the foundation is behind the benchmark: 1) public market plus premium benchmarks are very hard to beat, and 2) the Foundation did not invest in private equity between 2010 and 2015. The other

performance metric is based on information taken from the National Association of College and University Business Officers (NACUBO) report.

Regent Clifford requested to see the data that illustrates our underperformance was not due to private equity.

Mr. McEnery responded data was not present at the current meeting but if we looked at the data from investment committee reviews, the private equity allocation is compared to a public market benchmark plus a 2-3% premium. Even though they received good, absolute returns out of the private equity portfolio, they fell behind in the public market. It is believed it will even-out after a full market cycle.

Regent Clifford responded that the question about private equity came from uncertainty of what the equity is worth now. Even though it is a popular strategy, Regent Clifford expressed concern with what private equity does for the University. Some people turn to private equity to stabilize their portfolio.

Mr. McEnery responded with the 10% of equity on the portfolio, the hope is to get the premium over the public markets, which has not been done in recent years because public markets have had a strong return. It is hard to build a public portfolio based on any assumptions for the traditional market asset class, which will preserve purchasing power over fees. The hope is the private market allocation, in the long term, will outperform public equities.

8. Approval of Revision to Consolidated Investment Fund Investment Policy. Ryan Mummert, Tim McEnery, Max Kotary, and Kenny Stansbury made the presentation.

Tim McEnery provided a detailed overview for the proposed revision to the consolidated investment fund policy's asset allocation and spending distribution sections. He also provided detailed rationale for the proposed changes.

Regent Clifford inquired about the lender for the private debt and about the risks involved with this debt.

Mr. McEnery responded the regulatory framework drove the interest of these investments. Banks are unable to lend to smaller to big market companies.

Regent Clifford stated there is an active discussion in Washington, D.C. about revisiting the Volcker Rule and questioned what would happen to the market if banks were able to re-enter the market.

Mr. McEnery stated if banks are able to re-enter the market, there would be increased competition. We are looking for managers that are active in making these types of loans. The Foundation is currently looking at the fund manager, Golub Capital, who is both a private equity and private debt manager.

Regent Clifford inquired about the structure of the investment, specifically from cash flow compared to private equity since they have fairly long lock-ins and draw-downs.

Mr. Mummert responded the draw-downs are faster because the loans are being written. This fund is expected to be fully drawn in 5 years. Mr. McEnery also stated these loans are similar to a private equity structure, but everything is expedited. Cash flow starts quickly so the J-Curve is shallow. These loans pay out within the first quarter of investment bonds received.

Mr. Mummert stated that within these terms, the debt can be refinanced. The rate might be for a longer time period depending on if the market goes soft on a company or when a buyer comes into the market.

Regent Clifford inquired if the target policy tolerance range for international equity is 40%. He further inquired if this included international or domestic equity or both.

Mr. McEnery responded the vast majority is domestic. The private equity portfolio shows a ratio of 80/20 or 75/25 for real domestic assets and market alternatives versus international.

Regent Clifford inquired about the international allocation for the portfolio, as the current allocation for international equity is 21%, and if there are other international assets in other categories.

Mr. McEnery responded that some are domestic and others are international, but a total allocation could be created using percentage points from domestic and international.

Regent Clifford expressed concern with 40% international policy tolerance and wondered how long the policy has been in place and if it has been revisited in recent years. Regent Clifford also understood the policy tolerance's flexibility and emphasized its importance to the Board.

Regent Romero inquired if this process was vetted through the Investment Committee.

Mr. Stansbury responded the recommendation was approved by the Investment Committee and then approved by the Foundation.

Regent Clifford moved to approve and Regent Romero seconded. The motion passed by unanimous vote with a quorum of committee members present and voting.

9. Approval of AON Hewitt Investment Consulting Contract. Ryan Mummert and Kenny Stansbury made the presentation.

Mr. Mummert presented the request for consideration of a 2-year contract extension for AON Hewitt for \$265,000 that will begin after the current contract is up. He stated AON Hewitt is very cost competitive and added there was a modest increase to the contract.

Regent Clifford inquired about the contract procurement process and about other offers that were made on the original contract. Regent Clifford called Bruce Cherrin to the table.

Mr. Cherrin stated the original investment contract went through a normal procurement process with a request for proposal (RFP).

Henry Nemcik added there were 25 original offers, and the committee narrowed it down to three offers. Each of three finalists were interviewed individually by the committee, which included Regents.

Mr. Mummert also noted the Board's intent was to go through the full RFP process. The Foundation was comfortable extending AON Hewitt's 2-year investment consulting contract because the committee has been pleased with the level of expertise and service they have provided.

Regent Romero moved to approve and Regent Clifford seconded. The motion passed by unanimous vote with a quorum of committee members present and voting.

10. Recommendations for Consent Agenda Items on Full Board of Regents' Agenda.

Chairperson Marron Lee recommended items 3, 4, 7, 8, and 9 to be placed on the full Board of Regents' consent agenda.

Regent Clifford moved to approve and Regent Romero seconded. The motion passed by unanimous vote with a quorum of committee members present and voting.

INFORMATION ITEMS:

11. Monthly Consolidated Financial Report for Main Campus Month of June 2017. Liz Metzger made the presentation.

Ms. Metzger detailed the preliminary monthly financial report. Since the audit is still underway, there is potential for additional adjustments. The summary information discussed in previous meetings related to the revised budget. A summary graph represents the report on I&G tuition and fees for Main Campus. Line item details of the report are comprised of not only centrally pooled tuition and fees that are distributed to various colleges, but also tuition and fee amounts that come in, such as course fees, and go directly to the different colleges. The second section shows that the main campus tuition pool at the end of the year totaled \$132.9M against a revised budget of \$132.8M. The second summary graph represented final results of operations for Athletics.

Regent Clifford wanted clarification on whether line-items running a surplus for the year are indicated on the chart.

Ms. Metzger responded that is correct. When previous calculations were made to see where Athletics operations would end the year, a \$200,000 deficit was projected. The audit discovered there were some adjustments that needed to be made for the year-end, which increased the adjustments by \$160,000. That increased the overall operations deficit to the displayed total of \$372.7 M.

Mr. Harris asked Ms. Metzger to explain the discovered adjusting entries that totaled the \$160,000 of which Athletics was unaware.

Ms. Metzger responded that when the books are being closed every year, accruals are done on receivables that need to be booked. There were some receivables booked in the prior year end that had not been revised in the current fiscal year end. Overpayments to some individuals were correct for this fiscal year end, which changed the deficit.

The next part of the monthly financial report goes over bottom line balances. Consolidated total operations were \$9.3M for the year, and Main Campus' use of balance totaled \$4.2M. All branches broke even for the year. HSC's surplus at the end of the year totaled \$13.8M.

Ending the presentation was a report of the ending balance of I&G funding, including state appropriations. This report showed I&G broke even and the difference of revenues and expenses was \$850,000.

Regent Clifford commented that from these charts, cost containment efforts were successfully implemented, and Santa Fe should be informed of this. It is very important to articulate how the university was able to achieve that and also helpful to understand how it impacts the budget. The F&F Committee and Board of Regents' would like to know how the savings were achieved and if this indicates sustainability moving forward. Lawmakers need to know our campus is paying attention to such things. Regent Clifford also inquired what the "Other Expenses" are in reference to the Main Campus breakdown.

Ms. Metzger responded that this specific line item is inclusive of things such as utilities and equipment and not anything related to salaries and benefits.

Regent Romero noted that the university's mission is impacted when staff is reduced or rehire is slow. However, from a financial point, this report is good news.

Mr. Harris commented the FY18 budget will be presented in the next meeting, along with a report that was pledged earlier on balances. Once the audit is complete and numbers are finalized, it will be brought forward to the Board.

14. 65+ Senior Medical Plan Premium Renewal Rates. Dorothy Anderson, Brenda De La Pena, and Joey Evans made the presentation

Ms. Anderson provided information about why this item was brought to the Committee meeting. Typically, renewal for post-65 coverage is not brought forward to the Board since increases have been minimal and the premium splits are 30% UNM, 70% retiree, funded by non-I&G dollars. However, when this information was being analyzed, it was discovered the cost to UNM would increase by about \$500K.

Ms. De La Pena presented detailed information regarding the plan. Traditionally, the insurance options for 65+ is Medicare Advantage and supplement plans for retirees and eligible dependents. We do provide various plan coverage to address access with major networks in New Mexico, and the varying levels of coverage through Medicare, part D, prescription coverage gap and also providing options for out-of-state coverage. These plans

are subsidized by Centers for Medicare and Medicaid (CMS) and have historically been at a relatively low cost to UNM.

Regent Clifford asked if this means our employees are not eligible for retiree healthcare.

Mr. Evans responded these plans are specific to Medicare eligible retirees. Employees who were hired on July 1, 2015 or later, will no longer be eligible for retiree benefits. These plans are for those who are eligible to retire currently and hired prior to July 1, 2015. Rather than being a part of our pre-65 group which are a part of the self-insured plan, these individuals are Medicare eligible and therefore are eligible for supplemental and Medicare Advantage type plans.

Ms. De La Pena provided a detailed presentation on the five Medicare Advantage plans that are being offered.

Regent Clifford inquired who designs these benefit packages for Medicare and asked what it covers.

Mr. Evans responded the monthly premiums are the focus. The Medicare Advantage plan is a plan that cannot be offered with HMO or PPO type plans. There are various plan designs, but Part D is what provides prescription/drug coverage.

President Abdallah wanted to know if these plans are vastly different enough to justify the price range.

Ms. De La Pena responded the utilization is similar amongst all plans, and the differences between the HMOs or PPOs is higher level of coverage. Ms. De La Pena also stated that the impact on UNM totaled \$591K, which is 30%.

Regent Romero inquired if the 30% is a benefit provided by UNM for those retirees hired before 2015. He also wanted know the timeframe for the increase to take place.

Mr. Evans responded I&G funding is not used to cover these costs. Funding is provided through a miscellaneous pool of funds. VEBA is in the process of taking over funding so the money would no longer come from UNM directly. The estimated time to implement VEBA funding would be 2028.

COMMENTS:

Donna Smith, UNM Retiree, provided comments on the 65+ Senior Medical Plan Premium Renewal Rates.

EXECUTIVE SESSION:

There was no executive session.

Regent Lee moved to adjourn at 2:08 p.m., and Regent Clifford seconded. The motion passed by unanimous vote with a quorum of Committee members present and voting.



THE UNIVERSITY of
NEW MEXICO

University Controller
Financial Services, Main Campus
Phone: (505) 277-5111
FAX: (505) 277-7662

MEMORANDUM

DATE: September 26, 2017

TO: David W. Harris
Executive Vice President for Administration

FROM: Elizabeth Metzger, CPA
University Controller 

RE: One (1) Action Item Board of Regents' Finance & Facilities Committee Meeting

Action Item.

The New Mexico Higher Education Department, Institutional Finance Division, Quarterly Financial Actions Report and Certification through June 30, 2017 needs to be approved at the October 10, 2017 Finance & Facilities Committee meeting.

New Mexico Higher Education Department
Institutional Finance Division
Quarterly Financial Certification Report Template

Please complete and sign the following Financial Certification Report and submit with the Quarterly Financial Actions Report.

To the best of my knowledge, I certify that the information provided in the attached Financial Actions Report for the:

1st _____ 2nd _____ 3rd _____ 4th X _____ Quarter, FY 2017

is correct as of the signature dates noted below, and that

The University of New Mexico

has a functioning financial accounting system that captures assets, liabilities, revenues, and expenditures on a timely basis, and the Governing Board receives timely notification of any significant actual or projected variances between budgeted and actual revenues and expenditures.

Marron Lee, Chair, Board of Regents-F&F

Date

Chaouki Abdallah, Acting President

Date

David Harris, EVP for Administration, COO, and CFO

Date

University of New Mexico

Quarterly Financial Actions Report

Fiscal year: 2017 Date: 9/15/2017

Period (check one)

Quarter 1 Quarter 2 Quarter 3 Quarter 4

During the period of time covered by this report; did your institution:

- | | | | | |
|---|-----|--------------------------|----|-------------------------------------|
| (1) Request an advance of state subsidy? | Yes | <input type="checkbox"/> | No | <input checked="" type="checkbox"/> |
| (2) Fail to make its required payments, as scheduled, to appropriate retirement system(s)? | Yes | <input type="checkbox"/> | No | <input checked="" type="checkbox"/> |
| (3) Fail to make its payroll payments, as scheduled? | Yes | <input type="checkbox"/> | No | <input checked="" type="checkbox"/> |
| (4) Fail to make its scheduled debt service payments? | Yes | <input type="checkbox"/> | No | <input checked="" type="checkbox"/> |
| (5) Fail to make payments to vendors, as scheduled, due to a cash deficiency or a substantial deficiency in the payment processing system? | Yes | <input type="checkbox"/> | No | <input checked="" type="checkbox"/> |
| (6) Relative to the original fiscal year budget, experience any significant actual or anticipated financial changes that are not reflected in a submitted Budget Adjustment Request (BAR). Significant financial changes refers to fiscal activity that will result in a substantially reduced year-end fund balance or any increase in a fund balance deficit. | Yes | <input type="checkbox"/> | No | <input checked="" type="checkbox"/> |

If the answer to any of the above questions is "Yes," please describe in a separate document:

- (i) the reason for the occurrence,
- (ii) the actions taken by your institution to resolve this particular occurrence, and
- (iii) the actions taken by your institution to prevent events such as this from occurring again.

In addition, if the answer to number 6 is "Yes," please describe in a separate document the nature of the financial changes and describe and assess the impact that the changes will have on your institution's planned year-end financial position.

**MEMORANDUM TO ADVANCE
COMMITTEE AGENDA ITEM TO
THE BOARD OF REGENTS
THE UNIVERSITY OF NEW MEXICO**

DATE: September 27, 2017

TO: David W. Harris, EVP for Administration, COO & CFO

FROM: Lisa Marbury, Executive Director, Institutional Support Services

RE: UNM Five-Year Capital Plan

INFORMATION ITEM:

Attached is the Five-Year Capital Plan, detailing projects which will construct and/or significantly improve and renew numerous facilities on The University of New Mexico Campuses.

cc: R. Henrard, A. Coburn, L. Chance, C. Martinez, T. Murray Allred, Planning Design & Construction

Five-Year Capital Plan

Central Campus

INSTITUTION'S 5 YEAR CAPITAL OUTLAY FUNDING PLAN

The University of New Mexico - **REVISED**

DATE: 8/8/2017

INSTITUTION:

Institution acronym	FY18 Funding Priority #	Overall Funding Priority #	Project Title	Description	Month/Year Project to be started	Month/Year Project to be finished	Cost of Project or Phase	Percentage to be funded from Legislative Funding (list % and describe funding)	Other funding sources (list % and describe funding)	New Construction	Renovation	Square Footage (GSF)
UNM	1	1	College of Fine Arts Renewal & Replacement	Renewal and replacement of College of Fine Arts facilities	Jul-19	Jun-21	\$ 30,000,000	90% GF/STB/GOB	10% Private Funds	Yes	Yes	TBD
UNM	2	2	Chemistry Renovation - Phase II	Design & Construction of Phase II of the building renewal for Chemistry	Jul-19	Jun-21	\$ 16,000,000	100% GF/STB/GOB				76000
UNM		3	ROTC Complex	Construct or remodel a facility to host all three branches of the ROTC programs hosted at UNM	Jul-19	Dec-20	\$ 7,000,000	100% GF/STB/GOB	100%	Yes	No	TBD
UNM		4	Art Annex, Biology Annex Improvements	Facility Improvements and Renewal for Art Annex and Biology Annex buildings			\$ 6,100,000		Institutional Bond	No	Yes	TBD
UNM		5	Main Campus Wide Wireless Initiative - Phase 1	Provide campus wide coverage for Main Campus, including intra building copper cabling and wireless infrastructure. The wireless initiative would be a 3 year effort.	Jul-18	Dec-19	\$ 2,300,000	90% GF/STB	10% UNM Minor Capital 50% Student	No	No	N/A
UNM		6	Child Care Center Expansion	Expansion of Child Care Facility to meet student waiting list demand for the services	Jul-18	Dec-20	\$ 10,000,000			Yes		
UNM		7	Classroom Technology Upgrades (Centrally Scheduled Classrooms)	Upgrade audio visual system and computing infrastructure in 118 UNM IT managed centrally scheduled classrooms.	Jul-18	Jun-19	\$ 816,000	100% GF/STB		No	Yes	
UNM		8	Edge Switch Refresh/Upgrade	Provide network refresh with enhanced capabilities for wireless and VoIP access.	Jul-18	Dec-19	\$ 2,500,000	100% GF/STB		No	No	N/A
UNM		9	IT Electrical Upgrades	Electrical Infrastructure: Replace aging infrastructure and provide redundancy in case of power failure for the IT Building	Jul-18	Dec-19	\$ 2,200,000	100% GF/STB		No	Yes	N/A
UNM		10	Olympic Sports Weight Room	Construct new facilities to provide enhanced capability	Jul-18	Dec-20	\$ 6,000,000	100% GF		Yes	No	
UNM			Parking Lots Improvements	Improvement within the Athletic Complex	Jul-18	Dec-19	\$ 1,000,000	100% GF/STB		No	Yes	N/A
UNM			New Sound System	Replace the existing sound system in Wise Pies Arena	Jul-18	Dec-19	\$ 1,000,000	100% GF/STB		No	Yes	N/A
UNM			Facility Planning to relocate KUNM	Planning funding for relocating KUNM to be adjacent to KNME	Jul-18	Aug-19	\$ 300,000		100% Other	No	No	TBD
UNM			IT Electrical Upgrades	Electrical Infrastructure: Replace aging infrastructure and provide redundancy in case of power failure for the IT Building	Jul-18	Jun-20	\$ 2,200,000	100% GF/STB		No	Yes	N/A

INSTITUTION'S 5 YEAR CAPITAL OUTLAY FUNDING PLAN

The University of New Mexico - **REVISED**

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Institution acronym	FY18 Funding Priority #	Overall Funding Priority #	GOB or STB Project	Project Title	Description	Month/Year Project to be started	Month/Year Project to be finished	Cost of Project or Phase	Percentage to be funded from Legislative Funding (list % and describe funding)	Other funding sources (list % and describe funding)	New Construction	Renovation	Square Footage (GSF)
UNM				Zimmerman Fire Protection - Phase 2	Upgrade fire protection and sprinkler system in Zimmerman Library Phase 2	Jul-18	Jun-19	\$ 2,500,000	100% GF/STB		No	Yes	N/A
UNM				Housing Heating and Cooling Renewal	Heating and cooling distribution piping renewal at Student Family Housing	Jul-18	Jun-19	\$ 500,000		100% Utilities Funded	No	Yes	N/A
UNM				New Roof for Wise Pies Arena AKA the Pit	New roof for Wise Pies Arena AKA The Pit Build a 2,400 sf Taproom that will feature NM community	Jul-18	Dec-18	\$ 500,000	100% GF/STB		No	Yes	N/A
UNM				Student Union Building - Taproom	Brewing companies and services the UNM community	May-18	Aug-18	\$ 1,300,000		100% Other	Yes	Yes	2400
UNM				Coronado Hall Bathroom Remodel - Phase 2	Remodel 11 remaining communal bathrooms to contain fully enclosed and lockable showers and toilets and add disabled accessible unit for each one	May-18	Aug-18	\$ 2,000,000		100% Housing Capital Funds	No	Yes	
UNM				Coronado Lobby Resident Activity Center	Create a central recreational space for Coronado Hall residents by restoring the lobby to be the architectural focus of the building, adding lounge and recreation furnishings and move the office suite to the side area. It will also increase accessibility for the building by adding ramps for access to resident living spaces	May-18	Aug-18	\$ 600,000		100% Housing Capital Funds	Yes	Yes	
UNM				Replace Domestic Water System	Replace domestic hot water system at Student Residence Center	Jul-18	Jun-19	\$ 1,500,000	100% GF/STB		No	Yes	N/A
UNM				Golf Course Electrical System	Golf Course electrical system	Jul-18	Dec-18	\$ 1,000,000	100% GF/STB		No	Yes	N/A
UNM				Facility Improvements	Branch Stadium lighting and elevator modernization	Jul-18	Jun-19	\$ 2,750,000	100% GF/STB		No	Yes	N/A
UNM				Natorium HVAC Upgrades	Install dehumidification system	Jul-18	Jun-19	\$ 800,000	100% GF/STB		No	Yes	N/A
UNM				Photovoltaic System	Valencia Campus Photovoltaic System Renewal and upgrade of outdated facilities to support research and instruction in Bandelier Hall West	Jul-18	Jun-19	\$ 300,000		100% Utilities Funded	Yes	No	N/A
UNM			STB	Bandelier Hall West Renewal	Provide campus wide coverage for Main Campus, including intra building copper cabling and wireless infrastructure. The wireless initiative would be a 3 year effort	Jul-19	Dec-21	\$ 4,056,000	100% GF/STB		No	Yes	15600
UNM				Main Campus Wide Wireless Initiative - Phase 2		Jul-19	Dec-20	\$ 2,300,000	90% GF/STB	10% UNM Minor Capital	No	No	N/A

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UNM				Campus Fiber (Zones 1 and 2)	UNM Fiber Zones are aging and in some cases, at least 20 years old. UNM's Research Network has low speed connectivity in some areas since the old fiber can't support faster throughput, which hampers UNM's research activity.	Jul-19	Dec-20	\$ 2,000,000	100% GF/STB	Evaluating STB options and common good debt service for fiber	Yes	Yes	N/A
UNM				Classroom Technology Upgrades (Departmentally Scheduled Classrooms) (Request 1 of 2)	Upgrade audio visual systems and computing infrastructure in 50 departmentally scheduled classrooms (out of 100 total).	Jul-19	Dec-20	\$ 825,000	100% GF/STB		No	Yes	N/A
UNM				Sand Volleyball Courts	Design and construct 4 sand volleyball courts this was used athletic needs override for a new efficient irrigation system and new turf. The path around the edge would benefit from better definition and surfacing. A line of shade trees around the perimeter would add to the precious shade provided.	Jul-19	Jun-20	\$ 1,125,707	100% GF/STB		Yes	Yes	
UNM				Johnson Field Improvements	Develop recreational tennis on south campus at address the continued growth of Residential housing and Recreational programming for Competitive Sport, Sports Clubs and Student Activities Events	Jul-19	Jun-20	\$ 4,000,000	100% GF/STB				N/A
UNM				Recreational Fields South Campus		Jul-19	Jun-20	\$ 5,000,000	GF/STB/GOB	100%	Yes		N/A
UNM				KNIME Upgrades	Renew Infrastructure	Jul-19	Aug-20	\$ 750,000		100% Institutional	Yes	Yes	N/A
UNM				Student Health Center	SHAC expansion to Plaza Level	Feb-19	Dec-20	\$ 2,400,000		50% SHAC Reserves; 50% Institutional	No	Yes	15081
UNM				Student Health Center	SHAC remodel of 1st & 2nd Floor	Feb-19	Dec-21	\$ 7,630,500		95% Institutional	No	Yes	25435
UNM				Electrical Distribution - Phase 1	Electrical Infrastructure: Main Gear and Emergency Generators Replacement	Jul-19	Aug-20	\$ 2,000,000	75% GF/STB	Sustainability Surcharge	No	Yes	N/A
UNM				Sewer System Upgrades - Phase 1	Civil Infrastructure: Correction of Sanitary and Storm Sewer System Issues	Jul-19	Aug-20	\$ 1,000,000	100% GF/STB		No	Yes	N/A
UNM				Infrastructure & Site Improvements	Provide parking and drainage infrastructure to meet growing demand	Jul-19	Dec-20	\$ 1,500,000	100% GF/STB		Yes	No	N/A

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UNM				Surface Restorations	Surface restorations from prior year utility projects at Student Residence Center	Jul-19	Dec-20	\$ 500,000	100% GF/STB		No	Yes	N/A
UNM				Chiller Expansion	Add additional chiller capacity for projected new building expansion	Jul-19	Dec-20	\$ 2,000,000		100% Utilities Funded	Yes	No	N/A
UNM				Photovoltaic Project	Location TBD	Jul-19	Dec-20	\$ 300,000		100% Utilities Funded	Yes	No	N/A
UNM				Gas Turbine #2	Gas Turbine Generator #2 Overhaul	Jul-19	Dec-20	\$ 2,000,000		100% Utilities Funded	No	No	N/A
UNM			GOB	College of Fine Arts Renewal & Replacement	Renewal and replacement of College of Fine Arts facilities	Jul-20	Dec-23	\$ 50,000,000	90% GF/STB/GOB	10% Private Funds	Yes	Yes	TBD
UNM			GOB	Anthropology Facility Renewal and Upgrade - Phase 1	Renewal and upgrade of outdated facilities to support research and instruction	Jul-20	Dec-22	\$ 15,000,000	100% GF/STB/GOB		No	Yes	TBD
UNM				Main Campus Wide Wireless Initiative - Phase 3	Provide campus wide coverage for Main Campus, including intra building copper cabling and wireless infrastructure. The wireless initiative would be a 3 year effort	Jul-20	Dec-21	\$ 2,300,000	90% GF/STB	10% UNM Minor Capital	No	No	N/A
UNM				Classroom Technology Upgrades (Departmentally Scheduled Classrooms) (Request 2 of 2)	Upgrade audio visual systems and computing infrastructure in 50 departmentally scheduled classrooms (remaining 50 should funding 2019 request above get funded).	Jul-20	Dec-22	\$ 825,000	100% GF/STB		No	Yes	N/A
UNM				Media & Information Technology	Construct a new information Technologies Center	Jul-20	Dec-22	\$ 36,378,000	100% GF/STB		Yes	No	TBD
UNM				Finish Baseball Renovation	Seating, Plaza, Concessions, Press Box, Club House	Jul-20	Dec-22	\$ 5,000,000	100% GF				
UNM				SUB Expansion	Expand the Student Union Building (SUB) to accommodate the growth and needs of the students	Jul-20	Jun-22	\$ 25,000,000		100% Student Facility Fees	Yes	Yes	TBD
UNM				Electrical Distribution - Phase 2	Electrical Infrastructure: Main Gear and Emergency Generators Replacement	Jul-20	Dec-22	\$ 2,000,000	75% GF/STB	25% Sustainability Surcharge	No	Yes	N/A
UNM				Re-Roof Center for Fine Arts	Re-roof Popejoy Hall includes relocating pump house, new pumps and filters, replace liner, increase depth, replace bridge, replace sides, replace path around pond and landscape enhancements	Jul-20	Dec-22	\$ 400,000	100% GF/STB		No	Yes	N/A
UNM				Duck Pond Renovation		Jul-20	Dec-22	\$ 5,000,000	100% GF/STB		No	Yes	N/A

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UNM			Sewer System Upgrades - Phase 2	Civil Infrastructure: Correction of Sanitary and Storm Sewer System Issues	Jul-20	Dec-22	\$ 1,000,000	100% GF/STB		No	Yes	N/A
UNM			Demolition of Buildings	Demolition of buildings #125, #207, Triclock Surface restorations from prior year utility projects at Student Family Housing	Jul-20	Dec-22	\$ 2,825,000	100% GF/STB		No	No	N/A
UNM			Surface Restorations	Surface restorations from prior year utility projects at Student Family Housing	Jul-20	Dec-22	\$ 500,000	100% GF/STB	100% Utilities Funded	No	Yes	N/A
UNM			Photovoltaic Project	Location TBD	Jul-20	Dec-22	\$ 300,000			Yes	No	N/A
UNM			Campus Water and Fire Protection System Improvements	Connection between North and Central Campuses, UNMH Improvements and New North Campus well, reservoirs and pump station	Jul-20	Dec-22	\$ 16,500,000	100% GF/STB		Yes	No	N/A
UNM		STB	Honors College Phase I	Honors College expansion	Jul-21	Dec-23	\$ 5,000,000	GF/STB/GOB				TBD
UNM		STB	Logan Hall - Phase II	Renewal to modernize building to support student enrollment and faculty/student retention	Jul-21	Dec-23	\$ 5,000,000	100% GF/STB			Yes	TBD
UNM			IT Fiber Connections	Provide new connections at UNM West	Jul-21	Dec-22	\$ 650,000	100% GF/STB	25% Sustainability Surcharge	No	Yes	N/A
UNM			Electrical Distribution - Phase 3	Electrical Infrastructure: Main Gear and Emergency Generators Replacement	Jul-21	Dec-23	\$ 2,000,000	75% GF/STB		No	Yes	N/A
UNM			Repaving and Road Repair Sewer System Upgrades Phase 3	Civil Infrastructure: Repave tailing roads, milling, overlays, seal coat, crack seal curb and gutter replacement and stripping on both Main and HSC campus	Jul-21	Dec-22	\$ 1,800,000	100% GF/STB		No	Yes	N/A
UNM			Sewer System Upgrades Phase 3	Civil Infrastructure: Correction of Sanitary and Storm Sewer System Issues	Jul-21	Jun-23	\$ 1,000,000	100% GF/STB		No	Yes	N/A
UNM			Johnson Field Renovation	Complete renovation including irrigation, grading, track, turf and landscape enhancements	Jul-21	Dec-23	\$ 3,100,000	100% GF/STB		No	Yes	N/A
UNM			Photovoltaic Project	Location TBD	Jul-21	Jun-22	\$ 300,000		100% Utilities Funded	Yes	No	N/A
UNM		GOB	College of Fine Arts Renewal & Replacement	Renewal and replacement of College of Fine Arts facilities	Jul-22	Dec-24	\$ 36,000,000	90% GF/STB/GOB	10% Private Funds	Yes	Yes	TBD
UNM		GOB	Anderson School of Management Phase II	Design & Construction of Phase II of the Anderson School of Management	Jul-22	Jun-24	\$ 20,000,000	GF/STB/GOB	50% Other	Yes	No	TBD
UNM			Track Team Room	Construct a Track team room which will also function as a Soccer half time room	Jul-22	Jun-23			100% Other	Yes	No	TBD
UNM			Photovoltaic Solar Power Panels	Generate solar power for use on campus	Jul-22	Dec-23	\$ 5,000,000	100% GF/STB		Yes	No	N/A

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The University of New Mexico - **REVISED**

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UNM				Electrical Distribution Phase 4	Electrical Infrastructure: Main Gear and Emergency Generators Replacement	Jul-22	Dec-23	\$ 2,000,000	75% GF/STB	25% Sustainability Surcharge	No	Yes	N/A
UNM				Photovoltaic Project	Location TBD	Jul-22	Jun-23	\$ 300,000		100% Utilities Funded	Yes	No	N/A
UNM			STB	Bandelier Hall Renewal and Upgrade (Geography)	Renewal and upgrade of historic and outdated Bandelier Hall to support instruction and research for Geography in Bandelier Hall East	Jul-23	Dec-24	\$ 2,860,000	100% GF/STB		No	Yes	11,000
UNM			GOB	Northrop Hall Renovation	Renewal and renovation for Earth & Planetary Sciences building in Northrop Hall	Jul-24	Jun-26	\$ 20,000,000					
UNM				Electrical Distribution Phase 5	Electrical Infrastructure: Main Gear and Emergency Generators Replacement	Jul-24	Dec-25	\$ 2,000,000	75% GF/STB	25% Sustainability Surcharge	No	Yes	N/A
UNM				Photovoltaic Project	Location TBD	Jul-24	Jun-25	\$ 300,000		100% Utilities Funded	Yes	No	N/A

Five-Year Capital Plan

Health Sciences Center

INSTITUTION'S 5 YEAR PLAN for STATE FUNDING

University of New Mexico Health Sciences Center - **REVISED**

DATE:

8/8/2017

Institution acronym	FY18 Funding Priority #	Overall Funding Priority #	GOB or STB Project #	Project Title	Description	Month/Year Project to be started	Month/Year Project to be finished	Cost of Project or Phase	Percentage to be funded from Legislative Funding (list % and describe funding)	Other funding sources (list % and describe funding)	New Construction	Renovation	Square Footage (GSF)
UNM HSC		1		Replacement Adult Hospital Phase I of III	Replacement hospital due to outdated facilities and unsustainable, inefficient infrastructure. Replacement will be highly functional and adequately sized.	Jul-17	Jan-20	\$ 220,000,000		100% Other Funds	Yes	No	TBD
UNM HSC		2		Demolition of Elks Lodge	The systems in this building have been deteriorating over the years. According to the State Fire Marshall's Office, the building does not meet existing fire code requirement. The roof needs to be replaced and HVAC, Mechanical, Electrical, Plumbing needs to be updated and brought to code. Asbestos abatement also need to be done. Investing to upgrade systems to meet all code requirements including ADA will be cost prohibitive.	Apr-17	Sep-17	\$ 700,000		100% Other Funds	No	No	56,000
		1		Pre-Anesthesia Care Clinic	Clinic with 20 exam rooms and diagnostic capabilities for laboratory testing and imaging capability.	Sep-17	Sep-18	\$ 6,000,000	100% GOB			Yes	15,000
		2		Community Based Comprehensive Primary Care Clinic	Clinic with 30 exam and treatment rooms and would include diagnostic imaging capability and have a pharmacy. Additional clinic would serve as an educational venue for medical students, medical residents, nurses, pharmacists and students.	Sep-17	Sep-18	\$ 8,000,000	100% GOB			Yes	20,000
UNM HSC		3	STB	Replace outdated OMI Imaging Equipment	Purchase of imaging equipment for the Office of the Medical Investigator (OMI). \$3.4 million which includes one computed tomography (CT) scanner for approximately \$1.4 million and one Magnetic Imaging Resonance (MRI) machine for approximately \$2.0 million.	Mar-18	Jan-19	\$ 3,400,000	100% STB/GF		No	No	NA

INSTITUTION'S 5 YEAR PLAN for STATE FUNDING

University of New Mexico Health Sciences Center - **REVISED**

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Institution acronym	FY18 Funding Priority #	Overall Funding Priority #	Project Title	Description	Month/Year Project to be started	Month/Year Project to be finished	Cost of Project or Phase	Percentage to be funded from Legislative Funding (list % and describe funding)	Other funding sources (list % and describe funding)	New Construction	Renovation	Square Footage (GSF)
UNM-HSC		6	Health & Educational Careers Facility and Equipment	Partnering with both UNM Hospitals and Sandoval Regional Medical Center to grow the professional healthcare workforce by coordinating with Central New Mexico Community College, Bernalillo County, Sandoval Health Collaborative, and secondary education institutions in Central New Mexico. The workforce positions needed include Nurse, Physical Therapy, Occupational Therapy to name a few.	Nov-18		\$ 10,000,000	100% GF/STB/GOB				NA
		7	Brain and Behavioral Health Institute Phase I of III	Construct an addition to Domenici Hall to study and treat brain disorders such as Alzheimer's, autism, epilepsy, fetal alcohol syndrome, mental illness.	Dec-18	Dec-20	\$ 11,020,000		100% Other Funds			23,200
		8	Community-Based Clinic	Similar to North 4th Street and SW Clinics to	Jul-18	Jul-19	\$ 6,000,000		100% Other			17,000
UNM-HSC	1	9	Nursing/Pharmacy Building	Construct a modern, efficient building to house wet and dry labs, and faculty and staff offices.	Dec-18	Dec-20	\$ 22,750,000	100% GF/STB/GOB		Yes		75,800
		10	Family Community Medicine Building	Renovate, refurbish, and repurpose the Family Community Medicine Clinic into HSC Academic Space	Mar-19	Nov-20	\$ 4,100,000	100% GF/STB/GOB		No	Yes	16,200
UNM-HSC		11	School of Medicine Building 2 (Med II)	Renovate, refurbish and re-purpose the first School of Medicine building which was originally a 7-UP bottling plant. To house HSC health professional programs	Mar-18		\$ 15,000,000	100% GF/STB/GOB			Yes	37,500
UNM-HSC		12	UNM HSC West Health Care Education Building	This is an intensive science education laboratory building to meet the health care needs of New Mexico, the UNM Health Sciences Center will be expanding healthcare workforce degree offerings on the UNMHSC West Campus	Mar-18	Jan-20	\$ 16,000,000	50% GOB	50% Other Funds (\$10,000,000 Sandoval County Higher Education GRT)	Yes	No	35,000

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University of New Mexico Health Sciences Center - **REVISED** DATE: 8/8/2017

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UNM-HSC		13	Health & Educational Careers Facility and Equipment	Partnering with both UNM Hospitals and Sandoval Regional Medical Center to grow the professional healthcare workforce by coordinating with Central New Mexico Community College, Bernalillo County, Sandoval Health Collaborative, and secondary education institutions in Central New Mexico. The workforce positions needed include Nurse, Physical Therapy, Occupational Therapy to name	Jul-18		\$ 10,000,000	100% GF/STB/GOB				NA
		14	Community-Based Clinic	Similar to North 4th Street and SW Clinics to expand Primary Care Services to the Community to increase access to health care	Jul-18	Jul-19	\$ 6,000,000		100% Other Funds			17,000
		15	Community-Based Clinic	Similar to North 4th Street and SW Clinics to expand Primary Care Services to the Community to increase access to health care	Jul-19	Jul-20	\$ 6,000,000		100% Other Funds			17,000
		16	HSC Campus Parking Structure	The UNM HSC Core Campus has a total of 4,006 parking spaces, including 1,298 garage parking spaces and 2,708 surface parking lot spaces. This new structure will alleviate the parking constraints for HSC faculty, students, staff and visitors who arrive and leave daily. This 800 space structure will be similar to the UNM Structure located at Lomas & Yale.	Jan-19	Jan-20	\$ 18,400,000		TBD	Yes		300,000
UNM-HSC		17	Child and Elder Care Facility	This facility is highly valued by UNM Faculty and Staff who cover 24-hour operations at the Clinical facilities of the Health System. It may be located in the backfill space at the old hospital.	Nov-19	Jan-21	\$ 35,000,000	50% GF/STB/GOB	50% Other Funds	Yes	No	TBD

INSTITUTION'S 5 YEAR PLAN for STATE FUNDING

INSTITUTION:		UNIVERSITY of New Mexico Health Sciences Center - REVISED										DATE:	
Institution acronym	FY18 Funding Priority #	Overall Funding Priority #	Project Title	Description	Month/Year Project to be started	Month/Year Project to be finished	Cost of Project or Phase	Percentage to be funded from Legislative Funding (list % and describe funding)	Other funding sources (list % and describe funding)	New Construction	Renovation	Square Footage (GSF)	
UNM-HSC		18	Health & Educational Careers Facility and Equipment	Partnering with both UNM Hospitals and Sandoval Regional Medical Center to grow the professional healthcare workforce by coordinating with Central New Mexico Community College, Bernalillo County, Sandoval Health Collaborative, and secondary education institutions in Central New Mexico. The workforce positions needed include Nurse, Physical Therapy, Occupational Therapy to name	Jul-19	Jul-20	\$ 10,000,000	100% GF/STB/GOB				NA	
UNM-HSC		19	Re-purpose, remodel old UNM Hospital for teaching/faculty offices	Some of the 1954, 1966 and 1977 in-patient and operating facilities, can be renovated to provide intermediate behavioral health services, teaching and research space for patients, faculty, students and research.	Jan-21	Aug-23	\$ 25,000,000	100% GF/STB/GOB		No	Yes	TBD	
UNM-HSC		20	Replacement Adult Hospital Phase II of III	Replacement hospital due to outdated facilities and unsustainable, inefficient infrastructure. Replacement will be highly functional and adequately sized.	Mar-21	Dec-23	\$ 200,000,000		100% Other Funds	Yes	No	TBD	
UNM-HSC		21	Renovate existing Nursing/Pharmacy Building	This building is 41 years old and building systems are failing on a weekly basis. To continue to maintain is becoming cost prohibitive. Renovation will include wet and dry labs and faculty/staff offices.	Dec-20	Dec-22	\$ 43,816,000	100% GF/STB/GOB		Yes		96,300	
UNM-HSC		22	Health & Educational Careers Facility and Equipment	Partnering with both UNM Hospitals and Sandoval Regional Medical Center to grow the professional healthcare workforce by coordinating with Central New Mexico Community College, Bernalillo County, Sandoval Health Collaborative, and secondary education institutions in Central New Mexico. The workforce positions needed include Nurse, Physical Therapy, Occupational Therapy to name	Jul-20	Jul-21	\$ 10,000,000	100% GF				NA	
		23	Community-Based Clinic	Similar to North 4th Street and SW Clinics to expand Primary Care Services to the Community to increase access to health care	Jul-20	Jul-21	\$ 6,000,000		100% Other Funds			17,000	

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INSTITUTION:		University of New Mexico Health Sciences Center - REVISED										DATE:
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		24	Low Cost HSC Graduate Student Housing	Provide close proximity and affordable housing for students in the health professions due to their challenging schedules	Jul-20	Jul-21	TBD		Public/Private Partnership	Yes		TBD
UNM-HSC		25	Health & Educational Careers Facility and Equipment	Partnering with both UNM Hospitals and Sandoval Regional Medical Center to grow the professional healthcare workforce by coordinating with Central New Mexico Community College, Bernalillo County, Sandoval Health Collaborative, and secondary education institutions in Central New Mexico. The workforce positions needed include Nurse, Physical Therapy, Occupational Therapy to name	Jul-21	Jul-22	\$ 10,000,000	100% GF/STB/GOB				NA
		26	Replacement Adult Hospital Phase III of III	Replacement hospital due to outdated facilities and unsustainable, inefficient infrastructure. Replacement will be highly functional and adequately sized	Mar-22	Dec-24	\$ 200,000,000		100% Other Funds	Yes	No	TBD
		27	Brain and Behavioral Health Institute Phase II of III	Construct an addition to Domenici Hall to study and treat brain disorders such as Alzheimer's, autism, epilepsy, fetal alcohol syndrome, mental illness, stroke and trauma.	Dec-21	Dec-22	\$ 5,510,000		100% Other Funds			11,600
		28	Child Psychiatry Inpatient Facility	Remodel, renovate, repurpose portions of old UNMH for Child Psychiatry.	Jan-22	Aug-24	\$ 20,000,000		100% Other Funds	No	Yes	TBD
		29	Child Psychiatry Center	Demolish Child Psychiatry.	Aug-24	Aug-17	\$ 2,000,000		100% Other Funds	No	No	

Five-Year Capital Plan

UNM Gallup Branch

INSTITUTION'S 5 YEAR PLAN FOR STATE FUNDING

University of New Mexico Gallup Branch

INSTITUTION:

DATE: 3/15/2017

Institution acronym	FY18 Funding Priority #	Overall Funding Priority #	GOB or STB Project #	Project Title	Description	Month/Year Project to be started	Month/Year Project to be finished	Cost of Project or Phase	Percentage to be funded from Legislative Funding (list % and describe funding)	Other funding sources (list % and describe funding)	New Construction	Renovation	Square Footage (GSF)
UNM-G	1	1	GOB	Multi Purpose Facility/Career Technology Education Center	Career Technology Education Renovation of Facilities/Roofing/HVAC, Energy Efficiency improvements and Technology improvements campus-wide	Nov-18	Dec-20	\$ 2,000,000	75% GF/STB/GOB	25% Local Match			8,000
UNM-G	1	2	STB	Classroom Renovation/ Site Improvements	Repair, upgrade, and construct primary utilities, drainage and roadway system	Jul-18	Dec-19	\$ 500,000	75% GF/STB	25% Local Match	No	Yes	NA
UNM-G	2	3	STB	Upgrades	Planning, design, construct and equip a facility to house Career Technology Education programs. This building will have the flexibility to house a mechanical industrial technology program, technical allied health green technology programs, and other workforce training efforts	Jul-18	Dec-19	\$ 500,000	75% GF/STB	25% Local Match	Yes	Yes	NA
UNM-G				Career Technologies Education Center Phase II		Jul-19	Jul-22	\$ 6,000,000		100% Other Funds	Yes	No	13000

Five-Year Capital Plan

**UNM Los Alamos
Branch**

INSTITUTION:		INSTITUTION'S 5 YEAR PLAN for STATE FUNDING										DATE:	
UNIM-LA		University of New Mexico Los Alamos Branch										3/16/2017	
Institution acronym	FY18 Funding Priority #	Overall Funding Priority #	Project Title	Description	Month/Year Project to be started	Month/Year Project to be finished	Cost of Project or Phase	Percentage to be funded from Legislative Funding (list % and describe funding)	Other funding sources (list % and describe funding)	New Construction	Renovation	Square Footage (GSF)	
UNIM-LA	1	1	Sustainability, Infrastructure, and Classroom Updates	Renew, replace and upgrade existing facilities infrastructure at the Los Alamos Campus. This includes energy savings components not fully funded in the 2016 GO Bond and the classrooms in building 6. This building has two non-compliant ADA restrooms, several classrooms configured for old ITV delivery, others with compromised acoustics, poor lighting and outdated learning environments unchanged since the 1980s.	Jan-18	19-Jan	\$ 1,000,000	75%GF/STB/GOB	25% other funds	no	yes	11,399	
UNIM-LA	2	2	Library and Loft Renovations	Renew, replace and upgrade existing space in the library to establish a comprehensive testing center and upgrade the loft area to maximize staffing opportunities and space utilization.	Jan-19	Jul-19	\$ 500,000	75% GF/STB/GOB	25% other funds	No	Yes	7,302	
UNIM-LA	3	3	Dedicated STEM Labs and Classrooms, an Academy Transitional Center and Workforce Development Lab	Renew, replace and upgrade space dedicated to programs with STEM labs, classrooms and a transitional center for Dual Credit, Early College Academy and a Workforce development lab.	Jul-18	Jul-19	\$ 1,500,000	75% GF/STB/GOB	25% other funds	No	Yes	10,000+	

Five-Year Capital Plan

UNM Taos Branch

INSTITUTION'S 5 YEAR PLAN for STATE FUNDING

University of New Mexico Taos Branch

DATE: 3/2/2017

Institution acronym	FY19 Funding Priority #	Overall Funding Priority #	GOB or STB Project #	Project Title	Description	Month/Year Project to be started	Month/Year Project to be finished	Cost of Project or Phase	Percentage to be funded from Legislative Funding (list % and describe funding)	Other funding sources (list % and describe funding)	New Construction	Renovation	Square Footage (GSF)
UNM-T	1	1	GOB	Natural Resources Center	Plan, design, construct, renovate, equip, furnish Center for Natural Resources to include classrooms, laboratories, computer simulation/modeling facilities, and research lab.	Nov-18	Dec-20	\$ 4,000,000	75% GF/STB	25% Other Funds	No	Y	13,000
UNM-T			STB	Site and Parking Lot Repair and Improvements	Site improvements, including repair, resurface, and upgrade of campus entry road and parking lot with wayfinding and safety signage at the UNM-Taos Klauer campus that will address safety and drainage issues.	Jul-19	Aug-21	\$ 750,000	75% GF/STB	25% Other Funds	No	Y	
UNM-T			GOB	Center for Academic Success, and Achievement	Plan, design, construct, equip and furnish a Student Center for Academic Success, Retention and Achievement	Nov-20	Dec-22	\$ 4,000,000	75% GF/STB	25% Other Funds	Y	N	8,000
UNM-T			STB	Site infrastructure and road improvements	Engineer, design and construct fire and safety circulation roadways infrastructure, utility feeds and accessible parking with phased construction	Jul-21	Aug-23	\$ 1,500,000	75% GF/STB	25% Other Funds	Y	Y	
UNM-T			GOB	Construction Technologies & Education and Facilities Management Center	Plan, design, construct, equip and furnish a dual use center for Construction Technologies education and Facilities Management with shared site improvements, storage and infrastructure	Nov-21	Dec-24	\$ 4,000,000	75% GF/STB	25% Other Funds	Y		10,500sf
UNM-T			STB	Site infrastructure and road improvements	Engineer, design and construct utilities, infrastructure, roadways and building safety upgrades	Jul-22	Aug-24	\$ 1,500,000	75% GF/STB	25% Other Funds	Y	Y	

Five-Year Capital Plan

UNM Valencia Branch

INSTITUTION:		INSTITUTION'S 5 YEAR PLAN for STATE FUNDING										DATE:	
		University of New Mexico Valencia Branch										3/15/2017	
Institution acronym	FY18 Funding Priority #	Overall Funding Priority #	GOB or STB Project #	Project Title	Description	Month/Year Project to be started	Month/Year Project to be finished	Cost of Project or Phase	Percentage to be funded from Legislative Funding (list % and describe funding)	Other funding sources (list % and describe funding)	New Construction	Renovation	Square Footage (GSF)
UNM-V		1		Westside Expansion	Project will provide a facility to meet service demands on the county's west side. The development will include 3 general classrooms, 3 computer classrooms/labs, a library/learning center, Admin/Faculty Offices and a Student Center.	Jul-19	Jul-20	\$ 5,600,000		100% Local Funds	Yes	No	18,000
UNM-V		2		Site and Facility Renewal/Remodeling	Classroom and office remodeling and site renewal updates	Jul-19	Dec-20	\$ 2,700,000	75% GF/STB/GOB	25% Local Funds	No	Yes	14,000
UNM-V		3		Sustainability Improvements	Replace high water use plumbing fixtures /install building integrated photovoltaic (BIPV) solar modules at existing facilities	Jul-20	Jul-21	\$ 600,000	75% GF/STB/GOB	25% Local Funds			N/A
UNM-V		4		Student Center Facility Renewal/Remodeling	Classroom and office remodeling	Jul-20	Dec-22	\$ 2,500,000	75% GF/STB/GOB	25% Local Funds	Yes	No	3,900
UNM-V		5		Academic Program Expansion	A new wellness/fitness facility will be constructed to meet increased demands. Bookstore and gallery will expand into space vacated. The Digital Media Arts program will require the expansion of the Arts Facility	Jul-21	Dec-23	\$ 8,580,000	75% GF/STB/GOB	25% Local Funds	Yes	No	28,400

Key Goals for 2017-2018

Richard L. Wood

Senior Vice Provost for Academic Mission
(interim)

Presentation to Academic, Student Affairs & Research

UNM Board of Regents

October 5, 2017

Background:

Born & raised in New Mexico

A: Organic Chemistry: 1982 (early-generation DNA sequencing)

Taught in Mexico & Central America: 1983-1987

M.A. Theology: 1989

Ph.D. Sociology 1995

Office of Academic Affairs

Work at UNM:

Assistant to Associate to Full Professor 1996-now

Books

(both University of Chicago Press):

Faith in Action (2002)

*A Shared Future: Faith-Based Organizing for Racial Equity
& Ethical Democracy* (2015)

Teaching:

Sociology of Religion, Undergraduate Honors, Policing,
Community Organizing, Research Methods, Social Theory

Office of Academic Affairs

Other roles at UNM:

Director of Religious Studies Program

Chair of (faculty) Committee on Governance

President of Faculty Senate

Chairperson of Department of Sociology

Special Advisor to the Provost (CoEd redesign)

various search committees, advisory committees, task forces

Best role of all:

research & teaching w/ young people from New Mexico!



Office of Academic Affairs

Nature of Senior Vice Provost Role at UNM:

- Advise the Provost on academic matters
- Keep 'trains running' despite thin staffing
- Legal & disciplinary side of faculty matters
 - Faculty hiring
- Tenure & Promotion: final recommendation

Other portfolios: Int'l, community engagement,
equity & inclusion, strategic initiatives

Office of Academic Affairs

Key goals for 2017-2018:

1. Reinvigorate research/creative works side of academic mission:

[by lower barriers to faculty thriving, in collaboration with ADVANCE, an NSF-funded initiative at UNM]

Linked to Strategic Plan Objective 1.2.4:

Continue to develop and implement low-cost or non-monetary rewards and recognition programs to improve faculty morale

Office of Academic Affairs

2. Lead Task Force to recommend goals & new organizational structure for Division for Equity & Inclusion

Linked to Strategic Plan Objective 3.1.3:

Complete assessment and begin implementation of Equity & Inclusion structure and objectives

3. Facilitate reinvigoration & systematization of Community Engagement efforts at UNM

Linked to Strategic Plan Objective 3.2.1:

Assess structure and develop an assessment tool for effectiveness of our community internship programs

Office of Academic Affairs

4. Advise Provost regarding new structural and organizational solutions at UNM

Linked to Strategic Plan Objective 7.2.1:
Evolve and develop a proposal for the Innovation Academy structure in conjunction with re-envisioning University College

Office of Academic Affairs

**5. Coordinate work of
Global Education Office
(directed by Dr. Nicole Tami)**

&

**Latin American & Iberian Institute
(directed by Dr. Bill Stanley)**

Linked to Strategic Plan Objective 1.1.2:

Develop international student recruitment strategic plan.

Office of Academic Affairs

6. HLC accreditation:

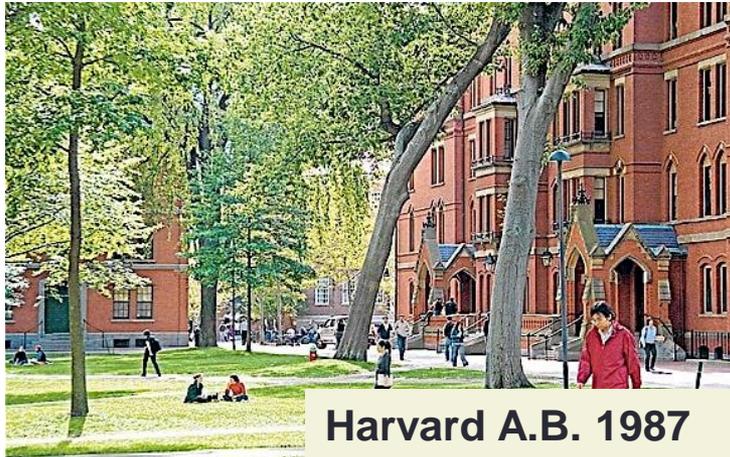
- a. Spearheading solutions to new ‘Faculty Credentials’ reporting burden**
- b. Co-chairing (with Nicole Dopson): HLC Criterion 5: Resources, Planning and Institutional Effectiveness**

Linked to “other duties as assigned”

INTERIM ASSOCIATE PROVOST FOR CURRICULUM AND ASSESSMENT

Dr. Pamela Cheek

A career devoted to delivering a research-based education in the humanities at a diverse public university:



At the University of New Mexico

Courses: from beginning French to graduate literary theory.

Service: from organizing cultural studies lecture series to chairing a department.

Support: from mentoring to helping the UNM Foundation fund and administer Bilinski Humanities fellowships.

Research: from travel in the age of Enlightenment to networks of writers.

Advocacy: from fundraising for these Commons to collaborating on General Education redesign.



World Languages Commons in Ortega Hall

2017-18 Goals:

- ◆ Strengthen
General
Education at
UNM



- ◆ Streamline Academic
Assessment

- ◆ Prepare for Higher
Learning Commission
Reaccreditation



GENERAL EDUCATION SNAPSHOT

Keeping momentum: ease of transfer & improving 4-year graduation rate.

Teaching and repeating skills across General Education in LEAP areas;

Distinguishing UNM's general education for its coherence, variety and quality;

Retaining and funding high impact practices in GE so that students don't fall behind.

Summary Meeting Report (Not Approved Minutes) Regents' Audit and Compliance Committee August 25, 2017

The **Regents' Audit and Compliance Committee (Committee)** held a Regular Meeting on August 25, 2017.

ACTION ITEMS:

- The Committee approved the meeting minutes from the meetings of April 28, 2017.

The Committee unanimously approved the following UNM Hospital audits:

- UNMH Patient Accounts Receivables, Report 2017-01
- SRMC Patient Accounts Receivables 2017-01
- UNM Medical Group Scorecard Audit, Report 2017-01
- UNMH Patient Financial Services, Report 2017-02
- SRMC Patient Financial Services, Report 2017-02
- UNM Cancer Center, Report 2017-03
- UNM Behavioral Health Operations, Report 2017-04

INFORMATION ITEMS:

- **Main Campus Quarterly Compliance Report.** Libby Washburn, Chief Compliance Officer, provided her departmental report and updated the Committee regarding the status of the Department of Justice (DOJ) recommendations. They submitted four status reports in the ten months that UNM has been under the DOJ agreement, with the fourth status report filed on June 30th. The fifth status report is due September 30th. Big things to highlight include effort to train every student in person. They have created a training called "Grey Area Training." A majority of UNM's 27,000 students are required to take it. That leaves approximately 23,000 who need to take the training. So far, approximately 15,000 have taken the training in more than 90 sessions. The training deadline is December 31, 2017. The DOJ is amazed at what they have done so far.

Ms. Washburn informed the committee that they have really ramped up effort in the area of minors on campus. The Regents recently adopted a new policy on minors on campus and now the task force is moving in to the next phase. This summer, they created a new training with the Office of Equal Opportunity (OEO) to provide to camp counselors. Chairman Clifford asked where UNM stands with its liability insurers. Pamina Deutsch, UNM Policy and Administrative Planning Director stated that she believes that non-UNM sponsored camps are required to carry their own policies with one million dollars in federal liability insurance and UNM as an additional insured. Internal Audit Director Patel stated UNM-sponsored camps would be covered by the policies under UNM's risk management.

Ms. Peggy Davis, Administrative Officer, addressed the Committee regarding the latest incident report/benchmarking data. This report focused on the time period of January 1 through June 30 of this year. During that time, they received 110 hotline cases. These do

not include OEO-related cases. The data includes Main Campus, the Health Sciences Center, the Hospital, UNM Medical Group, Sandoval Regional Medical Center (SRMC), and all branch campuses. A majority of the cases, 61 of the 110 – or 55 percent – are from UNM Main Campus. The Health Sciences Center portion makes up 19 cases, or 18 percent. SRMC has the lowest reporting percentage for this time period.

Substantiation data is also included in the materials. Currently, the rate of unsubstantiated cases is 52 percent. Some are partially substantiated, and the rate of substantiated cases seems to be holding at 21 percent. Actions taken on substantiated cases include 10 cases where there was discipline delivered.

A major focus of this report, at the prior request of this Committee, is retaliation. Of all 439 cases to date, there were 47 cases, or just over ten percent, that state retaliation. Twelve of those listed retaliation as a primary concern. Seven of the cases stated they had a secondary concern involving retaliation. The remaining 28 cases did not report that there was a retaliation concern happening, but were concerned they may be retaliated against for filing a report. The first half of 2017 appears to be running in line with 2016. Of the 12 cases where it was a primary concern, only one was found to be substantiated. Two were partially substantiated, six were unsubstantiated, and one resolved without a finding. Two are still in process. All seven of the secondary concerns were found to be unsubstantiated. Of the 28 fear and concern cases, 23 were found to be unsubstantiated.

Regent Romero asked how long it actually takes to close a case. Ms. Davis replied she did a case comparison between this report, all of 2016, and the Ethics Point benchmarking data. Ethics Point reported that their system-wide average is 46 days. In 2016, our average time was 82 days. Right now, it is 96 days. Chairman Clifford asked who is doing all this work? Ms. Davis replied there are investigators all across the University in different units and departments such as Human Resources, Provost's Office, Internal Audit, Health Sciences Center, etc. Ms. Washburn added that the Compliance Office administers the hotline, but there are around 50 people working cases.

Ms. Washburn informed the Committee that her office is starting to work with Francie Cordova, Director of OEO, to focus more on ADA issues. They have reinstated a prior task force. The Policy Office finalized the new Whistleblower policy. That took about a year of work. Chairman Clifford asked if UNM is governed by the State's whistleblower Protection Act.

- **Internal Audit Director's Report.** Director Patel provided his Internal Audit Director's Report. The Committee reviewed upcoming dates and there were no changes to the proposed meeting dates of February 15, 2018 and May 17, 2018. Internal audit closed fiscal year 2017 with \$85,000 in reserves. Of that \$85,000, the department is using \$34,000 in this current year's budget.
- The meeting adjourned at 4:35 PM.

Advisor Reports



Board of Regent Report

UNM Alumni Association & Alumni Relations Office

October 17, 2017

Strategic Goals

Goal: Maximize alumni and student engagement

Student Engagement

- Birthday Cakes – 6 cakes sold in September/October
- Donut Days (October) – collaboration with United Way Campaign. Served to over 197 students, gave away 130 new punch cards.
- Free Grad Photos at the U have been scheduled for November 14, 15 and December 6 to coincide with the Ring Ceremony.

Alumni Engagement

Regional Chapters and Alumni Outreach Calendar

- Atlanta Chapter Green Chile Roast, October 7, Decatur Square Pub, > 1000 pounds of chile roasted, 68 alums and guests participated
- Black Alumni Chapter's Board Retreat was September 26 -8 attended. From Sept 21-23 – Participated in Black Cultural Conference with UNM African American Student Services
- Chicago Chapter hosted a Homecoming Game Watch, Sept. 30, Shoeless Joe's – 3 attended. They have scheduled a Brewery Tour at Goose Island Brewery on November 18
- Dallas Chapter Homecoming Game Watch, September 30, Social House, Addison – 10 attended.
- Las Vegas Chapter Homecoming Mixer, Sept. 28, Public Works Coffee Bar – 8 attended.
- Los Angeles Chapter is planning an event on October 15 to attend the Western Music Showcase at the Autry
- NorCal/Sacramento Homecoming Game Watch – 2 attended
- Salt Lake City Chapter is planning an event at the MLS Game, Real Salt Lake vs. KC, October 22

Greater Albuquerque Area Chapter

- GAAAC board members organized a tailgate before the NM State game. 37 alumni and guests attended.
- Chapter members volunteered for a number of events throughout the Homecoming week

UNM Homecoming 2017

- September 25 – 30, “Living la Vida Lobo”
- Close to 50 events scheduled, see www.unmalumni.com/homecoming
- Monday – Homecoming Kickoff Rally, Karen Abraham Courtyard, 200 alums picked up tickets to Faculty and Staff Alumni Lunch. Participation by Staff Council, UNM Athletics.
- Wednesday – Faculty and Staff Alumni Appreciation Luncheon, 280 attended.
- Wednesday – Hope Macintosh leadership lecture, 34 attended
- Friday – Diner en Rouge Experience, Karen Abraham Courtyard, 150 attended
- Saturday – All University Breakfast and Awards, Embassy Suites, 140 attended
- Saturday – Homecoming Tailgate, Dreamstyle Stadium Howl Zone, @400 attended
- 2017 saw more college, school, department and program reunions, lectures and other activities than ever before with the Alumni Relations Office assisting with activities scheduled for alumni from: Albuquerque Chapter (17), American Studies (28), Architecture & Planning (58), Black alumni (81), Chemistry (46), College of Education (90), Daily Lobo (38), Office of Equity & Inclusion (31), Geography (93), History (24), Health Sciences Staff (@200), Honors College (85), Native American alumni (57), Office of the Medical Investigator (87), Young Black Alumni & African American Student Services (17), Center for Southwest Research (31), Veterans (23), Women’s Studies and Women’s Resource Center (23). More than 1,029 alumni and community members attended these events.
- Additionally, Maxwell Museum, College of Fine Arts, College of Nursing, College of Engineering, Anderson School of Management and UNM Alumni Lettermen hosted Homecoming week tours, lectures, alumni recognition and other events.
- The Homecoming Planning Committee and volunteers worked at the Albuquerque Store House and coordinated a protein food drive to help Albuquerque’s hungry.

Statewide Outreach

October 11. Santa Fe, NM.

The UNM Alumni Association sponsored 62 alumni to attend the Lannan Foundation lecture at the Lensic Theatre in Santa Fe with Roxanne Dunbar-Ortiz and UNM doctoral student, Nick Estes.

October 21. Taos, NM.

The UNM Alumni Association in partnership with the Tamarind Institute and the Harwood Museum of Art in Taos are hosting, *66 Mile Radius*, at 2 p.m. at the Harwood Museum. Three New Mexico artists – Nina Elder, Tom Miller and Judy Tuwaletstiwa – offer glimpses into the creative practice of art & filmmaking. The 45-minute documentary film directed by Melinda Frame, a Regional Emmy Award winning producer, director, cinematographer, writer and editor, will be followed by a Q & A session with the New Mexico artists, and students and administrators from UNM. A free reception will be held prior to the event.

Lobo Living Room

- October 19 – An Evening on Mars, Earth and Planetary Sciences, 171 registered

Alumni Group Development

- Three new alumni groups are committed to the process to become a Chapter: Native American Alumni, Daily Lobo Alumni, American Studies Alumni. These groups hosted successful Homecoming events and will host at least one other alumni event before May, 2018.

Lobos for Legislation

Legislative Home Reception

October 4.

- A Legislative Home Reception was held at the home of alumni Leba Freed and Elliot Pierce, MD, in the northeast heights.
- 2 New Mexico State Senators, 2 Representatives, the New Mexico State Auditor, many former legislators, the Chair of the Education Committee, UNM Deans and Vice Presidents, ASUNM President and GPSA President and student government leaders attended.
- 52 UNM alumni attended.

Lobo Links.

- Lobos for Legislation is currently recruiting alumni as Lobo Links to be advocates for UNM's legislative priorities.

Legislative Briefing

- Lobos for legislation and the Office of Government Relations will hold a legislative briefing to apprise UNM alumni and administrators of the upcoming UNM priorities for the 2018 legislative session on Nov. 7 at 5:30 in Hodgkin Hall.

Goal: Maximize benefits of technology

Website *Sept. 1 to Sept. 30*

Pages	Unique Views
Total Page Views	18,289
1. Homecoming	2,940
2. Home Page	1,859
3. HC Schedule	1,191
4. Diner en Rouge	672
5. DC Chile Roast	558
New Visitors	8,054
Returning Visitors	3,970

Online Community *Sept. 1 to Sept. 30*

New registered members	79
New members	728
New non-members	532
Unique profile updates	113
Unique logins	95
Total in Community	252,934

Broadcast Emails

In September, the Alumni Relations Office sent 28 emails, including the Howler on Sept. 7 and reminder emails for events.

No. of addressees*	Opens	Clicks	Unique Clicks	Bounces	Unsubscribes
447,221	71,625	3,307	2,531	329	468

* Total number of people sent to (people can receive multiple emails to comprise this total)

Social Media

Social media statistics for September 2017:

	Facebook	Twitter	Instagram
Followers (as of 9/30/17)	4,833	2,268	708
Posts	56	60	47
Total impressions for the month	84,042	49,207	19,644
Link clicks			—

Goal: Invest in a strategic and collaborative communications and marketing program

Howler Statistics

The Howler monthly e-newsletter statistics Sept. 1 to Sept. 30:

Delivered: 95,436 Opens: 14,392 Clicks: 1,125 Bounced: 135
Unopened: 81,300 Unsubscribed: 110 Spam Flagged: 26

Mirage Magazine

In the Fall 2017 edition, and the communications for its promotion, we asked alumni to opt-in to digital delivery. The Alumni Association is also moving to a digital delivery, via email, only for all on-campus faculty and staff at the University. Mirage mailing preference updates continue to come in.

Mirage Delivery Preference Submissions Sept. 1 to Sept. 30

	Alums	Faculty	Staff	Non-Alum
Email	28	0	2	0
Print	31	0	4	0
Both Print/Email	15	0	2	0
REPLIES for Sept.	74	0	8	0

Mirage Delivery Preference TOTALS

	Alums	Faculty	Staff	Non-Alum
Email	300	12	39	8
Print	60	1	9	3
Both Print/Email	30	1	7	1
TOTAL REPLIES	464	14	63	12

Traffic Sources Sept. 1 to Sept. 30

Source	No. of Visits
Mirage Web Page	135
Sept. Howler	96
Delivery Preferences	16
UNM News Minute	2

Marketing & Communications

September 2017 had 20 active integrated marketing and communications plans created and executed – including graphic design of collateral pieces and website updates and forms to take RSVPs, etc – in support of events and programs, including:

1. Homecoming 2017 (and its 10 supporting sub-plans for specific events)
2. Green Chile Roasts – active plans for 3 chapter events
3. Donut Days 2017-18
4. Mirage Magazine Fall 2017
5. Alumni Travel Program
6. Traveling Tailgates
7. Lobo Living Room: An Evening on Mars
8. Santa Fe Outreach
9. Taos Outreach