

## **BOARD OF REGENTS**

Agenda Book

June 12, 2018 9:30 AM Open Session UNM-Gallup Campus

## The Board of Regents of the University of New Mexico Meeting in Open Session and Closed Session June 12, 2018

Meeting Location: UNM-Gallup Branch Campus 705 Gurley Avenue, Gallup, New Mexico 87301

Open Session 9:30 AM – Student Services Technical Center Rm. 200, UNM-Gallup Campus Executive Session to follow Open Session - Student Services Technical Center Rm. 200, UNM-Gallup Campus

## **AGENDA**

• 8:45-9:30 AM - UNM Board of Regents Breakfast with UNM-Gallup Local Board in the Director's Conference Room, Gurley Hall Rm. 1216

• 9:30 AM Open Session:

Information Items

	<u>TAB</u>
I.	Call to Order, Confirmation of a Quorum, Adoption of the Agenda
	Regent President Rob Doughty1
II.	Approval of Minutes: May 11, 2018 regular meeting2
	Dublic Commont.
	Public Comment – comments related to items on the agenda (limit 3 min.)
	Regent Advisors – comments related to agenda items (limit 3 min.) Comments from Regents
	Confinence from Regents
III.	The President's Administrative Report, President Garnett S. Stokes
••••	The Trestaeth Sylaminosi active Report, Prestaeth Garnett Stateles IIII
IV.	Approval of new UNM Alumni Association Board Members, Harold Lavender, President, UNM Alumni Association4
V.	Regent Committee Reports
	Consent Docket
	(Action items on Regent's Committee agendas may move to the Board of Regents' agenda as consent items; the below items are placed on
	the consent docket by the respective committee chairs; items on the consent docket received unanimous approval in committee; per Regents' Policy 1.2, "Any member of the Board of Regents shall have the right upon request to remove any item from the Board's consent agenda and
	place the item on the Board's regular agenda for discussion.")
	1. Finance and Facilities Committee (FRF) Consent House Depart Manney Lee Chair
	1. Finance and Facilities Committee (F&F) Consent Items, Regent Marron Lee, Chair a. Approval of Disposition of Surplus Property for Main Campus for May 2018
	b. Approval of Naming Committee request to establish the, "Guido Daub Endowed
	Professorship in Chemistry"
	c. Approval of Naming committee request to establish the, "Robert Wood Johnson Foundation
	Endowed Chair in the College of Arts and Sciences"
	d. Approval of Annual Renewal of the Maui High Performance Computing Center (HPCC) C-4
	e. Approval of Termination of Long-Term Ground Lease for 1111 Stanford Dr., NE (Bernalillo
	County Health Building)
	f. Approval of Harwood Board Appointments: Arif Khan and Nicole Dopson
	Transfer and the second of the

Monthly Athletics Financial Report, Eddie Nuñez; Rob Robinson; et.al.
 Monthly Consolidated Financial Reports through April 30, 2018, Liz Metzger, UNM Controller

Finance and Facilities Committee (F&F), Regent Marron Lee, Chair

	Audit and Compliance Committee (F&F), Regent Tom Clifford, Chair  Information Item  1. Meeting Summary Report – May 17, 2018 meeting, Regent Clifford
VI.	Dr. Malm's Administrative Report on UNM-Gallup Campus, James Malm, CEO, UNM-Gallup Branch Campus8
	Public Comment – comments not related to items on the agenda (limit 3 min. per speaker)
VII.	Advisors' Comments (limit 3 min.)9
VIII.	<ol> <li>Vote to Close the Meeting and Proceed in Executive Session</li> <li>Discussion and determination where appropriate of limited personnel matters as permitted by Section 10-15-1.H(2), NMSA (1978)</li> <li>Discussion and determination where appropriate of strategic and long-range business plans or trade secrets of public hospitals as permitted by Section 10-15-1H(9), NMSA (1978)</li> <li>Discussion regarding internal audit prior to release of audit report as permitted by Regents' Policy 1.2</li> <li>Discussion and determination where appropriate of threatened or pending litigation as permitted by Section 10-15-1.H(7), NMSA (1978)</li> </ol>
IX.	Vote to re-open the meeting and certification that only those matters described in Agenda Items VIII. were discussed in Closed Session and if necessary, final action with regard to those matters will be taken in Open Session.
Χ.	Adjourn
• То	our of UNM-Gallup Campus to Follow

## Minutes of the Regular Meeting of the Board of Regents of the University of New Mexico May 11, 2018

## Student Union Building (SUB) – Main Campus Open Session - Ballroom C; Executive Session - Cherry Silver Room

### Members present

Garrett Adcock; Michael Brasher; Tom Clifford; Rob Doughty; Brad Hosmer; Marron Lee; Suzanne Quillen

## Administration present

Garnett S. Stokes, President; Paul Roth, Chancellor for Health Sciences; Chaouki Abdallah, Provost and EVP for Academic Affairs; David Harris, EVP for Administration, COO, CFO; Elsa Cole, University Counsel; Ava Lovell, HSC Sr. Exec. Officer of Finance and Administration; Dana Allen, VP Alumni Relations; Lawrence Roybal, Interim VP for Equity and Inclusion; Eddie Nuñez, Athletics Director; Cheo Torres, VP Student Affairs; Dorothy Anderson, VP HR; Elizabeth 'Libby' Washburn, President's Chief of Staff; Liz Metzger, Controller; Michael Richards, Vice Chancellor for Clinical Affairs, UNM HSC;

### Regents' Advisors present

Harold Lavender, President, Alumni Association; Pamela Pyle, Faculty Senate President; Danelle Callan, Staff Council President

## Presenters in attendance

Norma Allen, Director for University Budget Operations; Irene Vasquez, Chair, Chicana and Chicano Studies; Maria Lane, Chair, Department of Geography and Environmental Studies; Rob Robinson, CFO, Athletics; Lisa Marbury, Exec. Director, ISS

## Others in attendance

Members of administration, faculty, staff, students, the media and others.

## CALL TO ORDER, CONFIRMATION OF A QUORUM, ADOPTION OF THE AGENDA

Regent President Rob Doughty called the meeting to order at 8:12 AM and confirmed a quorum.

## VOTE TO CLOSE THE MEETING AND PROCEED IN EXECUTIVE SESSION (Cherry Silver Room)

All seven members of the board were present in person during closed session; administration present during closed session: Garnett S. Stokes, Elizabeth Washburn.

- Discussion and determination where appropriate of limited personnel matters as permitted by Section 10-15-1(2), NMSA (1978)
- Discussion and determination where appropriate of threatened or pending litigation permitted by Section 10-15-1.H(7), NMSA (1978)

## **VOTE TO RE-OPEN THE MEETING**

The vote to open the meeting passed unanimously (1st Lee; 2nd Clifford).

Regent Doughty certified that only those matters described in the closed session agenda were discussed during closed session, and there were no items upon which final action was taken.

• Members moved to Ballroom C for Open Session

## **ADOPTION OF THE AGENDA**

Open Session commenced at 9:10 AM; Regent Lee moved to remove from the agenda the Finance and Facilities Action Item #2, Approval of Projects; Regent Tom Clifford seconded the motion; there was discussion; motion passed unanimously. Regents voted to adopt the modified agenda.

The motion to adopt the agenda as modified passed unanimously (1st Adcock; 2nd Brasher).

## APPROVAL OF MINUTES

The motion to approved the minutes of the April 17, 2018 regular meeting passed unanimously (1st Lee; 2nd Adcock).

## **PUBLIC COMMENT**

Victor Padilla, alumnus; Rosa Isela Cervantes, Director, El Centro de la Raza; Joe Garcia, adjunct faculty member in the Chicana/o Studies Program; and Sarah Quevedo, Chicana/o Studies Major; spoke in favor of the graduate Chicana and Chicano Studies Programs on the agenda and urged the regents to give their approval.

## **REGENT ADVISORS COMMENTS** (no comments)

## **COMMENTS FROM REGENTS** (no comments)

## THE PRESIDENT'S ADMINISTRATIVE REPORT

President Stokes acknowledged the upcoming commencement ceremonies, noting 1400 student participants will be in the Saturday ceremony at Dreamstyle Arena, with 3,480 UNM Main and branch campus degrees awarded. Regent Doughty noted the event will include also the presidential installation ceremony. President Stokes updated the Board regarding her listening tour, beginning with completed Town Hall events on Main Campus and at the HSC (recordings are available on Facebook) and her plans to continue on-campus meetings over the summer as well as weekly trips around the state. She is coordinating with alumni, the UNM Foundation, and Branch campuses to organize her tour, beginning with a visit to UNM Los Alamos.

President Stokes updated the Board on her priority initiatives, starting with student veterans. The administration is planning campus-wide training to assist faculty and staff in understanding the special issues associated with veterans and active service members and families. The President will hold a welcoming event in August when student veterans will have a dedicated student advisor. This initiative will see a \$50K allocation in this year's budget, as well as additional funds in FY19. She spoke about the importance of inclusion and diversity on the campus and noted the College of Nursing's expansion at UNM West to help mitigate the shortage of nurses in New Mexico, with an initial cohort of eight students and admissions underway for the program. President Stokes highlighted research achievements, including Professor of Biology, Felicia Smith's, article in *Science* regarding mammal size and how her NSF-funded project collected extensive data on the loss of the largest species on every continent over time. President Stokes noted the upcoming CTSC hackathon on May 18-20, and then stood for questions.

Regent Lee inquired about UNM's hiring policy regarding veterans. Dorothy Anderson, VP for Human Resources, was not present in the room at the time, but Provost Abdallah noted that this information will be provided to the regents in follow up.

## APPROVAL OF FY 2018-2019 CONSOLIDATED BUDGET & FY 2017-2018 BUDGET ADJUSTMENT REQUEST (BAR)

Norma Allen presented the consolidated UNM FY19 budget for approval, a \$2.96 billion overall budget is an increase of 1.7% over last year's original budget. Main campus shows a 3.9% increase, the HSC a 0.7% increase, and the Branches a 4.1% increase. State appropriations, tuition and fees constitute 45% of revenues, with 21% coming from federal contracts and grants, and 16% from auxiliary unit sales and services. Main Campus expenditures increased by 3.9%, with the largest increase in Instruction & General (I&G). Plant fund expenditures comprise approximately 21%. The total main campus increase of \$32.7 million, or 3.9%, is driven mainly by capital projects funding (PAIS and Johnson Center), a \$6.6 million increase in state appropriations, and a 1% compensation increase.

Regent Brasher inquired about the actual dollar figure for the compensation increase. Ms. Allen responded that it is approximately \$4.5 million.

Branch revenues increased 4.1% to \$52.5 million, due to state appropriations of \$19 million, grants and contracts of \$12 million, and \$8.7 million in local government appropriations. Tuition and fees comprise \$7.7 million of revenues. Branch campus expenditures are predominantly I&G, at approximately \$34 million. The overall budgets for each campus increased over last year, with the exception of Gallup due to an enrollment decline.

Ava Lovell, Senior Executive Officer for Finance & Administration, presented the HSC FY19 budget, overall \$2 billion attributing to a 0.7% increase over last year. Patient care comprises approximately 75% of revenue; State funding provides about 5% of revenues; and the Bernalillo Country mil levy provides approximately 5%. Research revenues and expenditures increased by 14%. Budget expenditures are approximately \$2 billion, with UNM Hospital expenditures totaling around \$1 billion. Academic enterprise expenditures total \$700 million, with a break-even budget. The HSC received an increase in state appropriations, with the Office of Medical Investigator receiving the largest increase, and a compensation increase comprising most of the rest.

The Health System budget increase is driven by outpatient volume increases at the hospital, cancer center and pharmacy. Medicare reductions in the 340B are offset by other increases, such as specialty pharmacy; the impact of reductions is smaller than originally indicated. The HSC continues to look at new Medicaid (MCO) contracts mandated by July 1, due to the state's expanded Medicaid program. Expenses will increase approximately \$5.5 million due to inflation and volume-driven cost increases.

The UNM Hospital's budget will remain break-even at \$1.1 billion in revenue and expenses. The Medical Group will remain at \$200 million in revenues and expenses, with a break-even bottom line. The SRMC revenues and expenses will decrease slightly due to the departure of community surgeons, leaving for other venues, with a plan to backfill with UNM surgeries,

with a break-even budget. Overall, the UNM Health System budget for 2019 will be break-even. Ms. Allen and Ms. Lovell stood for questions.

Regent Clifford thanked EVP Harris' staff for their time and assistance. He stressed the process needs more and earlier regent involvement and also inquired about enrollment. Provost Abdallah stated the budget reflects a 2.5% enrollment decline and noted enrollment is monitored weekly. Regent Clifford expressed concern, noting the 2.5% decrease in enrollment coupled with a 4% increase in expenditures is a 6.5% increase in spending for the population UNM serves, or over three times the rate of general inflation; if compounded over time, higher education will be unaffordable for the majority of New Mexicans. Although UNM tries to remain affordable and is comparably so to some degree, Regent Clifford stressed that UNM has a responsibility to manage resources better. He added plant fund expenditures comprise almost 25% of the budget, indicating UNM is top heavy on facilities and that administration needs to develop a thorough capital projects process and strategic plan to ensure right-sizing.

Regent Brasher agreed with Regent Clifford and noted that students and families are also subject to annual tax increases. Regent Brasher stated he wanted to ensure that he abstained from voting on any items relating to public broadcasting. President Doughty indicated there was public broadcasting funding in the budget, and clarified that if Regent Brasher voted in favor of the budget, he was abstaining on items related to public broadcasting. It was also clarified Regent Brasher referred to both television and radio broadcasting funds.

Regent Doughty asked for a motion to approve the FY 2018-2019 Consolidated Budget & FY 2017-2018 Budget Adjustment Request; Regent Lee motioned; Regent Hosmer seconded; the vote was 6-1 in favor; Regents Adcock, Clifford, Doughty, Hosmer, Lee, and Quillen voted for; Regent Brasher voted no; motion passed.

## **REGENT COMMITTEE REPORTS**

## CONSENT DOCKET

Regent Doughty addressed the consent docket. There were no requests to remove any items for discussion. Regent Doughty asked for a motion to approve.

- 1. Academic/Student Affairs and Research Committee (ASAR)
  - a. Posthumous Degree Request for Alexander Blue
- 2. Health Sciences Center Committee (HSC)
  - b. Approval of the Nomination of Ms. Karen Lanin to the Carrie Tingley Hospital Advisory Board
- 3. Finance and Facilities Committee (F&F)
  - c. Approval of Quarterly Financial Actions Report and Certification through March 31, 2018 and Monthly Consolidated Financial Reports through March 31, 2018
  - d. Approval of Disposition of Surplus Property for Main Campus for April 2018
  - e. Approval of Appointment to STC.UNM Board of Directors

The motion to approval the items on the Consent Docket passed unanimously (1st Lee; 2nd Brasher).

## ACADEMIC/STUDENT AFFAIRS AND RESEARCH COMMITTEE

## Spring 2018 Degree Candidates

Faculty Senate President, Pamela Pyle, presented the item and announced the most up-to-date numbers by college and department.

The motion to approve the 2018 degree candidates passed with a vote of 6-0-1; Student Regent Adcock abstained because his name was on the list of candidates (1<sup>st</sup> Lee; 2<sup>nd</sup> Brasher).

## Form D: PhD and MA in Chicana and Chicano Studies

Dr. Irene Vasquez presented the item and referred to slides that were included in the eBook. Much of the content for the MA/PhD Chicana and Chicano Studies program also applies to the graduate certificate, the next item on the agenda for approval. This is a milestone in New Mexican education and in the history of higher education institutions. With the offering of the MA/PhD as well as the Graduate Certificate, UNM will be offering the most comprehensive education in Chicana/o Studies in the country. Over the past five years, the department has increased its undergraduate enrollment by 376%. Many of these students will stay at UNM if the graduate programs are offered. Dr. Vasquez discussed the strong case for the program, including the existing faculty, facility and curriculum capacity, largely resting on 41+ affiliated faculty, The programs teach skills to prepare students for the future, including problem solving, investigation and research, critical thinking, collaboration, information data management, interpersonal skills, and leadership. Dr. Vasquez addressed statewide career placement opportunities, budgetary implications and additional benefits the programs would

bring to the University. Currently, the program is revenue neutral; not seeking funds to establish the program, but to grow the program over the next four years. This will be much the same as Latin American Studies offering its MA/PhD program, and the Information and Library Science PhD is of the same format. It is important to recognize that forty-eight percent of the population of the State is Hispanic and 48% of the population of undergraduate students is Hispanic; however, there are only 24% Hispanic students enrolled in graduate programs.

Regent Clifford inquired about the budget and what commitments may be required in the future. Dr. Vasquez responded the plan is to initially admit, 6 to 10. A core value of this discipline is retention and graduation, which has led to a high success rate for students. Dr. Vasquez emphasized faculty commitment to the students and to supporting the graduate level program. Regent Clifford emphasized the importance of not only preparing students for entering the job market by equipping them with valuable skills, but also guide students by imparting information about the labor market, why the skills are valuable, and what their next steps may be.

## The motion to approve the PhD and MA in Chicana and Chicano Studies passed with a unanimous vote ( $1^{st}$ Brasher; $2^{nd}$ Hosmer).

## Form D: Graduate Certificate in Chicana and Chicano Studies

This is a 12 unit, specialized certificate in Chicana and Chicano Studies that would be offered to currently existing students and many students in graduate programs looking for this specialization.

## The motion to approve the Graduate Certificate in Chicana and Chicano Studies passed with a unanimous vote (1st Brasher; 2nd Hosmer).

## Form D: New Mexico Joint Doctoral Program in Geography

Dr. Marie Lane presented the item and referred to information that was included in the eBook. The program will be the State's first ever PhD program in Geography, it will be offered jointly between UNM and NMSU. The program focuses on integrative human-environment dynamics and is designed to capitalize on existing resources, facilities, faculty, and courses from both institutions and to add research capacity to UNM and the State in all of these areas. By pooling expertise with NMSU, the program will provide top-notch research training that is integrated across different geo-spatial methods and across environmental, cultural, and policy domains. Students will take classes at both campuses, either remotely or in person. Students can live in either city and still participate fully in the program. Both faculties will be involved using creative distance learning approaches.

Dr. Lane discussed why the program is needed in the areas of unmet demand for advanced training in this area, such that people who are looking for interdisciplinary PhD research training that spans geospatial, environmental and cultural domains currently have to go out of state for the training, and secondly, the potential is not being met for a research institution if the highest level research training in Geography is not offered. Faculty are trained to perform doctoral level research and to mentor the next generation of researchers, and currently these talents are not being leveraged. Dr. Lane presented the budget estimates for the first six years of the program; the plan is to start small, with about 5 spots per year and build to 25 students. Surveying current Masters students at UNM, at regional institutions, and organizations, survey results show demand for those 5 slots per year is expected to be robust. Dr. Lane discussed job opportunities within and outside the State, including areas of research leadership, in academics, and in business. NMSU is adding graduate funding lines and library resources, UNM is committed to fund a program coordinator, a faculty line, and 3 graduate funding lines. These are believed to be minimal investments for a large increase in research capacity. Dr. Lane requested regents approval.

There was discussion. The NMSU regents have already approved this program and once UNM regents approve it, the program will go forward as one item accompanied with an MOU.

## The motion to approve the New Mexico Joint Doctoral Program in Geography passed with a unanimous vote $(1^{st}$ Lee; $2^{nd}$ Adcock).

## **HEALTH SCIENCES CENTER COMMITTEE**

## Approval of UNM Health Sciences Center Spring 2018 Graduates

Dr. Roth commented the names for the HSC degree candidates were included in the comprehensive Spring 2018 degree candidate list presented by Faculty President, Pamela Pyle, which was approved earlier in the meeting; therefore, no vote was taken.

## FINANCE AND FACILITIES COMMITTEE

Approval of Enhanced Athletic Fiscal Oversight Program Report and Certification through March 31, 2018

Athletics Director, Eddie Nunez, opened the presentation stating that Athletics had presented the revised budget to the Regents Finance & Facilities committee earlier in the week. He noted that Athletics continues to refine its operations daily in collaboration with a task force developed by President Stokes and expressed enthusiasm regarding several planned graduation celebrations for student athletes, then he stood for questions from the regents.

Regent Lee inquired about ticket sales. AD Nunez stated football tickets are currently on sale and basketball tickets would go on sale the next week. Regent Lee inquired if Monday was the targeted date and Director Nunez confirmed.

Regent Quillen requested an update regarding the development of criteria to determine possible cuts. Regent Doughty reminded her the deadline is July 1. AD Nunez added that Athletics is aggressively pursuing the development of preliminary criteria in discussion with donors, student athletes, coaches, and others. In addition, they will meet with a consultant and with President Stokes before formalizing any criteria. Currently, they are considering Title IX, finances, donor intent, endowments, community influence, and wins and losses, noting financial considerations have many contributing factors, including NCAA and Mountain West support. He continues to meet with staff and community members to ensure due diligence, with a delivery goal of July 1. He expressed appreciation for task force members. Regent Quillen reiterated the need for metrics. Mr. Nunez concurred.

Regent Lee requested Rob Robinson, Athletics Chief Financial Officer, provide an update regarding plans for monthly monitoring and metrics. Mr. Robinson noted that the FY18 breakout by sport will continue in FY19 and act as a point of comparison in order to make midyear adjustments in grants and aid, based on actual figures for dynamic metrics such as spending, ticket sales, and fundraising. Regent Lee confirmed this plan was included in the meeting eBook.

President Doughty inquired if Athletics will be providing actual figures of money raised versus the percentage based on ticket sales. Mr. Robinson confirmed. President Doughty inquired about ticket sales and the coordination between the ticket office and Athletics. EVP Harris noted Athletics funds positions within the ticket office, but expressed the process could be more dynamic. President Doughty reiterated the need to get basketball tickets on sale ASAP.

Regent Adcock reiterated his concern with cutting sports and inquired if there was a need to cut a sport, would the NCAA grant free transfers to student athletes. AD Nunez noted Athletics intends to do everything possible to mitigate issues and is communicating with the NCAA. He stated that if Athletics decides to manage rosters or propose eliminations, students will have the opportunity to remain at UNM with their scholarships honored. UNM will also support athlete transitions to other schools.

Regent Clifford inquired if administration knew when the Higher Education Department planned to respond to submitted reports. EVP Harris noted UNM will be meet soon with HED staff. Regent Brasher inquired if EVP Harris had any sense of the State's status. EVP Harris replied that Deputy Secretary Jacobson indicated delays in reviewing data due to staff limitations, but EVP Harris felt the Regents' efforts to balance the FY18 and FY19 budgets is a large step in satisfying concerns.

Regent Brasher thanked President Doughty for his persistence in obtaining information. He also stated that in his profession his firm has some underwriting with UNM and he wanted to acknowledge this publicly.

EVP Harris acknowledged the efforts of Nicole Dopson, Vahid Staples and Norma Allen in obtaining additional data. He noted President Stokes' efforts as well. Regent Lee expressed her gratitude.

The motion to approve the Enhanced Athletic Fiscal Oversight Program Report for quarter ending March 31, 2018, passed with a unanimous vote (1st Clifford; 2nd Brasher).

Approval of Capital Outlay Request Package for 2019-2020 Projects Submission to the Higher Education Department (Action); Five-year Capital Project Plans (Information)

Lisa Marbury presented the capital outlay request, first responding to Regent Clifford's earlier remark about improving the process for setting forth capital outlay, stating that her office welcomes further conversation.

The capital outlay request includes:

- \$11 million collaboration between the Provost's Office and the CIO, focusing on learning environments and technology upgrades, and includes cameras to address campus safety;
- \$3 million HSC request for a Movement Disorders Clinic; and
- \$19 million in branch requests.

Regent Doughty requested that Chris Vallejos, Associate Vice President for Institutional Support Services, and Provost Chaouki Abdallah expound on an ROTC program request. Mr. Vallejos noted that an ROTC program request has been on the

capital plan for a number of years with the vision of consolidating the Main and branch ROTC programs, which UNM hosts, into a single facility. The five-year plan calls for \$18 million in funds; this year, UNM received \$6.8 million Phase I funds. Mr. Vallejos felt it appropriate to request further appropriations. Provost Abdallah agreed that although a new Fine Arts building is now top priority, in light of available funds, it made sense to seek more ROTC funds, noting that the ROTC consolidation, while different from President Stokes' veterans' initiative, envisions facility space for the Veteran's Resource Center.

President Doughty motioned to amend the capital outlay plan to add \$6 million for ROTC Phase II of the 2019 Capital Outlay Request, accelerating the project by enhancing the ROTC Phase I \$6.81 million appropriation. Regent Brasher seconded the motion to amend. The motion to amend passed unanimously.

Regent Brasher noted that some of the projects included in the request will actually save money through energy saving components and some are expansion items that will add expense. He inquired if there were calculations related to increased operational expenses. Ms. Marbury explained that as projects are fleshed out more fully through programming and scope work, this information is developed. As they move toward presenting to HED, they focus attention on energy savings and cost reductions. Regent Brasher requested explanation of the "other" category in terms of funding sources. Ms. Marbury stated that most of the projects are STB requests. She explained that the Movement Disorder Clinic has a \$3.5 million matching contribution from the HSC, categorized as "private" and "other." For the branch requests, there is a mandate for each branch to participate with a 25% match for any project. Regent Brasher inquired if all requests had funding, and Ms. Marbury confirmed they would be funded if the State provides appropriations.

The motion to approve the amended Capital Outlay request package for 2019-2020 projects submission to the HED passed unanimously (1st Adcock; 2nd Brasher).

**PUBLIC COMMENT** (no comments)

## ADVISORS' REPORTS

Harold Lavender, UNM Alumni Association President, gave his last advisors' report as his term as president ends in June. John Brown will be the new Association's President. The regents thanked Mr. Lavender for his service to the University. Mr. Lavender called attention to the monthly report provided in the agenda eBook and highlighted that the June 8 board meeting will honor Rep. Jim Smith as the 2018 Higher Education Distinguished Service Legislator. Mr. Lavender stated the Alumni Association has made a strong commitment to support President Stokes in any way it can. This has been discussed in public forums, the Alumni Association wants Dr. Stokes to be successful and to be here for a long time. Regent Clifford requested Mr. Lavender initiate a process to give input to President Stokes and also to the Athletic Director regarding sentiments in the community and what alumni are saying. Mr. Lavender responded he will commence such a process and added UNM has over 180K alumni.

Danalle Callan, Staff Council President, gave her last report as president. Rob Burford will be the president for the next fiscal year. May 23 will be the Staff Appreciation luncheon and elections for Precinct Representative will be held at the end of May. The regents thanked Ms. Callan for her service this past year.

Pamela Pyle, Faculty Senate President, reported on the recent Faculty/Staff-hosted meet and greet with President Stokes and also a recent pre-primary democratic gubernatorial forum; another forum is planned for the Fall to host anyone polling over 10%. Ms. Pyle announced she will continue to serve as Faculty Senate President for the next fiscal year. The regents thanked Ms. Pyle for her service.

There was no closed session following open session.

## **ADJOURN**

There being no further business, Regent Doughty asked for a motion to adjourn; Regent Lee motioned; Regent Brasher seconded; motion passed unanimously; the meeting adjourned at 10:54 AM.

Approved:	Attest:
Robert M. Doughty III, President	Tom Clifford, Secretary/Treasurer

Minutes originated by Mallory Reviere and Emily Morelli; finalized by Mallory Reviere



## THE UNIVERSITY OF NEW MEXICO.

## 100<sup>th</sup> Day as President

- Saturday, June 9<sup>th</sup> marked 100<sup>th</sup> day as president
- Honored to be part of the UNM community
- Thank you to everyone who welcomed me to New Mexico and to The University of New Mexico!



## Making Connections

- Outreach and public input on campus, within our local community and throughout the state will remain the hallmark of my administration
- Listening to and actively engaging faculty, staff and students
  - Listening sessions, attending and hosting events
- Statewide tour of New Mexico
  - Kicked off May 16<sup>th</sup> visited all 4 branch campuses and surrounding communities
  - 4 more trips to cover all 33 counties
- Initial key take-away is the realization that UNM needs to enhance communication and transparency
- At the end of the tour, will charge working groups to study and develop solutions to issues that are brought to light



# Initial Critical Priorities

## • Veteran-Friendly Campus

- Specialized training during the summer for faculty and staff to better serve student veterans
- Campus-wide Green Zone training in the Fall
- New position focused on veteran advisement
- Special event to welcome veterans back to campus in the Fall

## • Campus Safety

- Mobile camera for high traffic areas and special events
- Bicycles to help police quickly navigate our vast campus more easily
- \$10 k to support Campus Safety Week

## Research

- Substantial focus on supporting the research enterprise
- Working with campus leaders to explore ways to further highlight UNM research and its impact both locally and beyond our borders
- Compensation & Retention
- Additional priorities will be identified in the coming months as we start to develop a strategic plan for the University



## Organizing for Success

- Changes in leadership bring both benefits and challenges
- Several key positions within senior administration will need to be filled in the coming months
  - Involved with the selection of the new UNMH CEO
  - Recently launched a search for new Chief Legal Counsel
  - Will soon decide the structure of the Office for Finance and Administration and will launch the search process in early September
  - Will continue to assess leadership team to assure we are functioning effectively and efficiently to serve the needs of UNM in the coming years



## Re-thinking UNM Athletics

- Lobo Athletics
  - Important part of our culture and the student experience
- Athletics Department recently underwent several reviews related to operations, finances and accountability
- This is a defining time in UNM Athletics
  - Priority is to right-size the department, while also supporting our student-athletes



## Re-thinking Athletics

- Difficult decisions in the Lobo athletics community
  - Charged Director of Athletics Eddie Nuñez with developing a plan that will inevitably involve the reduction and/or elimination of some varsity sports
  - Eddie will soon recommend his decision that will be informed by a comprehensive evaluation of our programs, which is currently underway
- Lobo student-athletes are outstanding scholars and community leaders
  - Requires a sound program that supports them at the level they deserve
  - Important for Lobo fans to continue to support our students, coaches and UNM



# The University for New Mexico

- Public research universities were created to serve public interests
- Goal, as president, is to build on the foundation of UNM's current successes, while also seeking innovative ways to educate our students and expand our research in a globally competitive and culturally diverse environment
- This fall, when all students and faculty are back on campus, we will begin to assess our strategic direction and work together to make decisions with intention

I am extremely excited about the future of UNM and honored to serve as your president!



Questions?

Thank you!





## Memo

**To:** Robert M. Doughty, President, Board of Regents, University of New Mexico

From: Harold Lavender, President, Alumni Association

Dana Allen, Vice President Alumni Relations/Executive Director Alumni

Association

**Date:** June 8, 2018

Re: Presentation of new members of Alumni Association Board of Directors

The Alumni Association presents the following individuals as new members of the Alumni Association's Board of Directors for a 3-year term to begin July 1, 2018 and conclude June 30, 2021, after receiving approval by the Association's Board of Directors at its meeting on June 8, 2018.

Yasine Armstrong '05 MA, Roswell, NM



Yasine is the Co-Founder and Chief Operating Officer for BabyPage, an online and mobile platform that allows parents to track the milestones of their children's lives and create a modern baby book. This role complements her career in marketing and small business development consulting which includes serving as the Director of STEMulus Initiatives for Central New Mexico University. She is a founder and

managing partner of the MVM Group and served on the Richardson for President leadership team as the Deputy Regional Finance Director. She is a member of the Board of the United Way of Chaves County and the Roswell Museum and Art Center. She holds an Undergraduate degree from Truman State University in Missouri.

## Debbie Morris '77 BA, '90 MPA, Albuquerque, NM



Debbie recently retired from UNM after serving for more than 30 years in student activities, most recently as the Director of the Student Activities Center. A passionate advocate for student engagement, Debbie advised numerous student organizations during her time at UNM and was a key figure in developing stronger ties between student leaders and the University as a whole. In recognition of her work with students, the Alumni Association honored her with the Lobo Award in 2009.

## Chad Cooper '01 MBA, Albuquerque, NM



Chad is a Financial Advisor with Gateway Financial Advisors. In his 14+ year career in the financial services field he has received numerous recognitions including the Greater Southwest Financial Associates Growth Award, being named a Lifetime member of the Million Dollar Round Table, and named to the New Mexico Business Weekly group of 40 under Forty. He has shared his expertise with numerous boards and non-profits including the African-American Chamber of Commerce Board of Directors, Sandia Prep School and the UNM Alumni Association Board of Directors Finance Committee. Chad volunteers as an alumni admissions representative for his undergraduate alma mater, Middlebury University in Vermont.

## Joseph Dworak '09 BA, '13 JD, Santa Fe, NM



Joe Dworak serves as a Deputy Director for the State of New Mexico office of the Attorney General. During his time in the Attorney General's office, he has served as general counsel to state boards and commissions, and trained numerous state employees on sunshine laws. He is currently completing his term as President of the Young Alumni Board, which he has served on for 4 years, implementing a number of new programs and increasing overall engagement of Young Alumni.

## Gus Pedrotty '17 BA, Albuquerque, NM

Gus works for Free Range Coworking Company as a Technical Author and Research analyst. During his time at UNM, he earned Dean's List status for 6 semester while serving as a Resident Adviser and a Research Assistant with the Department of Psychology. In 2016, Gus started efforts to bring a Taproom to the UNM campus with the goals of enhancing ties to the business community and adding additional options for students to enjoy campus life. These efforts were fully realized in 2018 when the project received approval with a projected opening this Fall.

## Robert Calvani '71 BA, Albuquerque, NM



Bob is the Principal-In-Charge for NCA Architects. An elite leader in the field of facility and urban design, he has overseen numerous projects including both the Judge Steve Herrera Judicial Complex and New Mexico History Museum in Santa Fe, Eddy County Courthouse in Carlsbad, and the Central New Mexico University Bookstore. He has been recognized for his work across the state and in 2014 was the awarded the AGC New Mexico Best Buildings award. Bob contributes to the development of his profession by serving on numerous boards including the New Mexico Board of Examiners for Architects. A long supporter of UNM, he lead alumni engagement efforts in the Southern New Mexico area, and supports the School of Architecture and planning with an endowed scholarship. He holds a Master's Degree in Architecture from UCLA.

## Holley Mariani '09 BA, '17 JD, Albuquerque, NM



Holley is a Project Management supervisor at Sandia National Lab. In this role, she is responsible for a variety of project implementation and completion measures, with a focus on financial analysis and contract management. She holds both the SHRM and PHR certifications, and prior to joining Sandia, performed a number of HR-related duties for Delta Dental Plan of New Mexico. She is a member of the CFA Women's Advocacy Committee and volunteers with the United Way.

## **CONSENT DOCKET**

(Action items on Regent's Committee agendas may move to the Board of Regents' agenda as consent items; the below items are placed on the consent docket by the respective committee chairs; items on the consent docket received unanimous approval in committee; per Regents' Policy 1.2, "Any member of the Board of Regents shall have the right upon request to remove any item from the Board's consent agenda and place the item on the Board's regular agenda for discussion.")

1.	<u>Fin</u>	ance and Facilities Committee (F&F) Consent Items, Regent Marron Lee, Chair
	a.	Approval of Disposition of Surplus Property for Main Campus for May 2018 C-1
	b.	Approval of Naming Committee request to establish, "The Guido Daub Endowed Professorship in Chemistry"
	c.	Approval of Naming committee request to establish the, "Robert Wood Johnson Foundation Endowed Chair in the College of Arts and Sciences"
	d.	Approval of Annual Renewal of the Maui High Performance Computing Center (HPCC) Lease
	e.	Approval of Termination of Long-Term Ground Lease for 1111 Stanford Dr., NE (Bernalillo County Health Building)



**University Services** Marcos Roybal Associate Director

Business Operations 1128 University Blvd NE

Disposition of Surplus Property Approval – May 2018

CRLS

Clark Hall 505.277.5109

505.277.2366

Date:

From:

May 21, 2018

To:

Bruce Cherrin

Copy Center Dane Smith Hall 505.277.8267 Chief Procurement Officer Purchasing Department

Mailing Systems

1128 University Blvd NE 505.277.4124 Marcos Roybal

Associate Director

University Services

Records Management 1128 University Blvd NE 505.277.1136

...

Shipping & Receiving 915 Camino de Salud 505.272.6302

Surplus Property 1128 University Blvd NE 505.277.2923 Attached for your review and submission to the Board of Regents is the Surplus Property Disposition detail list for the month of May 2018.

Consistent with UNM Board of Regents Policy 7.9 and the NM Disposition of Surplus Property Act, 13-6-1, NMSA 1978, and based upon documentation submitted by the UNM departments responsible for the equipment, I certify that the equipment identified on the monthly list is worn-out, unusable or obsolete to the extent that the items are no longer economical or safe for continued use by the University. I recommend that the items be deleted from UNM's inventory and disposed of in accordance with the above noted Regents Policy and NM Surplus Property Act.

University Services – Disposition of Surplus Property
May 2018

## **Board of Regents' Finance & Facilities Committee**

	Surplus Property Disposition - May FY18							
Memo	Asset Tag	Department	Description	Manufacturer	Purchased	Total Cost (\$)	NBV (\$)	Disposal Method
5	N00001827	Physics Astronomy Faculty #110	Data Acquisition Device	DesignNet	1/21/2005	\$2,009,000.00	\$0.00	Obsolete
11	236399	Manufacturing Engineering	ROBOT	Staubli	5/12/1999	\$63,770.00	\$0.00	Obsolete
11	236400	Manufacturing Engineering	ROBOT	Staubli	5/12/1999	\$60,680.00	\$0.00	Obsolete
10	N00008053	UNM Mailing Systems	Mail Folder	PitneyBowe	6/19/2006	\$58,484.04	\$0.00	Obsolete
6	N00002290	KUNM Engineering	Digital Audio Console	HarrisCorp	1/25/2005	\$54,883.61	\$0.00	Beyond Repair
10	N00004687	UNM Mailing Systems	Folder / Inserter	PitneyBowe	6/30/2005	\$46,431.35	\$0.00	Obsolete
6	N00000159	KUNM CBP #1	Digital Audio Console	HarrisCorp	9/3/2004	\$43,203.97	\$0.00	Beyond Repair
8	N00029268	MGM Faculty #15	Phosphorimager	GlobalMech	2/3/2011	\$22,995.00	\$0.00	Obsolete
9	N00020682	IT Computing Platforms	Firewall Blade	INX	4/7/2009	\$20,909.51	\$0.00	Obsolete
3	223105	Chemistry Department	<b>BIO COMP SYSTEM</b>	NewBrunswi	9/9/1997	\$20,780.00	\$0.00	Obsolete
1	240205	Cancer Research Treatment Ctr CRTC	DISPENSER LIQUID	Gilson	3/6/2000	\$16,620.00	\$0.00	Beyond Repair
4	159961	MICROBIOLOGY	Diswasher	N/A	1/1/1981	\$13,790.00	\$0.00	Beyond Repair
7	N00043571	Taos Facilities MngtFacility Rental	SystemsManAppliance	Dell	9/1/2013	\$12,454.40	\$0.00	Obsolete
1	220142	Cancer Research Treatment Ctr CRTC	PUMP PERISTALTIC	Alitea	7/5/1996	\$10,563.00	\$0.00	Cannibalized
2	195105	Molecular Genetics Microbiology	DETECTOR	Burthold	9/13/1991	\$10,519.00	\$0.00	Obsolete
	N00022699	Valencia Dean of Instruction Admin	Color Plotter Energy Efficient	HewlettPac	8/12/2009	\$8,553.15	\$0.00	Obsolete
	N00021186	IT Networks	Catalyst 6500 and 7600 virtual	INXS	6/18/2009	\$7,437.50	\$0.00	Obsolete
	N00021187	IT Networks	Catalyst 6500 and 7600 virtual	INX	6/18/2009	\$7,437.50	\$0.00	Obsolete
	N00041076	Information Security and Privacy	Computer/PrecisionT5600	Dell	5/14/2013	\$7,036.30	\$0.00	Obsolete
	235126	Center for High Tech Materials CHTM	VALVE POSITIONER	EPI	1/28/1999	\$7,000.00	\$0.00	Obsolete
	266412	Taos Branch	VENDING MACHINE	Crane	6/10/2004	\$6,824.00	\$0.00	Obsolete
	N00019832	Taos Facilities MngtFacility Rental	Server	Dell	2/1/2009	\$6,577.04	\$0.00	Obsolete
	244426	Cancer Research Treatment Ctr CRTC	CENTRIFUGE	FisherScien	11/1/2000	\$6,177.00	\$0.00	Beyond Repair
	800129	Physics Astronomy Department	ADC-12 CHANNEL	ConsanStor	4/29/1997	\$5,970.00	\$0.00	Obsolete
						Total Asset Dis		24
					Fallskir	Total Capita	alization (\$)	\$2,528,096.37
						Total Net Boo	ok Value (\$)	\$0.00

University Services



April 19th, 2018

University Services Surplus Department,

## UNM Tag 240205 Gilson 215 Liquid Handler valued at \$16,620

The instrument was purchased more than 15 years ago. It suffered a malfunction several years ago that we were unable to repair. There was and is currently no service contract in place and replacement parts will be difficult to obtain due to instrument age. Newer instruments have been purchased to replace it.

## UNM Tag 220142 Alitea NNT0006 Sequential Injection valued at \$10,563

The instrument was purchased more than a decade ago and has been scavenged for parts. The unit is now non-functional and beyond repair as it is an obsolete product. There is currently no service contract in place and replacement parts will be difficult to obtain due to instrument age.

Larry A. St.lan

Larry A. Sklar

Regents/Distinguished Professor of Pathology

Co-Leader Program in Cancer Therapeutics, UNM Comprehensive Cancer Center

Director, Drug Rescue, Repurposing, and Repositioning Network, UNM CTSC

Director, University of New Mexico Center for Molecular Discovery

Associate Director and Maralyn S. Budke/Robert E. Anderson Endowed Chair in Cancer **Drug Discovery** 

PI, UNM Center of Excellence NCI Experimental Therapeutics Chemical Biology Consortium

Asset	Description	Acq. Cost	NBV	Date
220142	Sequential Injection	\$10,563	0.00	1996
240205	Liquid Handler	\$16,620	0.00	2000

## MEMORANDUM INVENTORY

## Surplus Request - MGM

The item #195105 on the Surplus Request form for MGM was purchased in 1991 by a PI that is no longer with UNM, has not been used in many years, and has a \$0 NBV.

Sally Ann Garcia, Department Administrator

Digitally signed by Sally Ann Garcia, Department Administrator DN: cn=Sally Ann Garcia, Department Administrator, o=University of New Mexico School of Medicine, ou=Department of Molecular Genetics and Microbiology,

email=SanGarcia@salud.unm.edu, c=US Date: 2018.04.06 16:31:39 -06'00'

4/6/18

Sally Ann Garcia, Dept. Administrator

Date

Vojo Deretic, Ph.D., Professor/Chairman

Digitally signed by Vojo Deretic, Ph.D., Professor/Chairman DN: cn=Vojo Deretic, Ph.D., Professor/Chairman, o=Molecular Genetics & Microbiology, ou=Molecular Genetics & Microbiology, email=vderetic@salud.unm.edu, c=US
Date: 2018.04.06 16:32:12 -06'00'

4/6/18

Dr. Vojo Deretic, Ph.D., Chairman

Date

Asset

Description

Acq. Cost

NBV

Date

195105

Detector

\$10,519.00

0.00

1991



## Department of Chemistry and Chemical Biology

To:

**Inventory Control** 

From: Fred Fuchs

Research Engineer

Department of Chemistry and Chemical Biology

To whom it may concern,

We have submitted a surplus form for some old equipment that we no longer have, tag#223105. This item is no longer in useful, for anyone in our department. We need to send this item to surplus.

Thank you Fred Fuchs

Department Chair Stephen Cabaniss Mille

Asset

Description

Acq. Cost

NBV

Date

223105

Fermentor

\$20,780.00

0.00

1997

## INTEROFFICE MEMORANDUM

TO:

UNM INVENTORY AND SURPLUS

FROM:

MICHELLE OTERO

SUBJECT:

SURPLUS DISHWASHER

DATE:

3/23/18

CC:

SALLY ANN GARCIA, DEPT. ADMINISTRATOR

In regards to the large industrial dishwasher currently located in building #211, Fitz Hall, room 384. We are requesting this item to be sent to surplus. The cost was \$13,790, per banner the Net Book Value is \$0. The dishwasher is 37 years old, we have no use for it and requires repairs the dishwasher has not been used in more than 10 years.

Please accept our request to surplus Asset#159961.

Thank you,

Michelle Otero

Sr. Fiscal Services Tech

Sally Ann Garcia

Dept. Administrator

Dr. Vojo Deretic Dept. Chair/Professor

Asset 159961

X

Description Dishwasher Acq. Cost \$13,790 NBV 0.00 Date 1981



## Department of Physics & Astronomy

March 30, 2018

To: Marcus Roybal, Associate Director, University Services

Re: Surplus of UNM Asset #N00001827

Dear Mr. Roybal,

Asset # N00001827 is a custom one of a kind data acquisition device purchased in 2004 for \$2,009,000.00. I understand almost all of the cost was in research and development of the equipment. UNM inventory control shows a NBV of \$0.00 for this asset. This device was used for a very specific purpose in a research project, but is now obsolete. It has been in storage and not been used in at least 7 years. It is no longer of use to our department. We would like to send this item to UNM Surplus Property.

Sincerely,

Wolfgang Rudolph

Professor & Chair

wrudolph@unm.edu

277-1517

Asset Description Acq. Cost NBV Date N00001827 Data Acq Device \$2,009,000 0.00 2005



November 15, 2017

To: UNM Surplus and interested parties

Re: Disposal of Two (2) Audio Consoles

Fm: Richard Towne, KUNM General Manager

KUNM wishes to dispose of two audio consoles purchased from Harris Corporation in 2004. Broadcast consoles serve as the central mixing point for broadcasters to combine microphones, CD players, turntables, satellite feeds and other audio sources so that a consistent radio program is produced for distribution through our radio transmitters and online services.

N00002290 - \$54,883.61 was for KUNM's Control Room.

N00000159 - \$43,023.97 was a smaller version for KUNM's training and production room

The equipment was obtained in 2004 as part of KUNM's massive renovation of the third floor of Onate Hall. KUNM raised \$800,000 in private donations for the purposes of the renovation, including the cost of the equipment.

The equipment was in constant (24/7/365) use by the hundreds of students and volunteers working in our broadcast studios until 2015 when both pieces were replaced by newer, better, cheaper networked technology (copper wire replaced by CAT6 audio over IP). The new consoles cost a total of \$30,000, again from private donations. The technology changes so quickly.

At some point, around 2009 or 2010, Harris Corp. stopped providing parts and service for these consoles. We used parts from the smaller console to replace failed parts in the larger console. At that point we decided to raise the funds to implement the new digital consoles in service today. There is a radio station at APS (KANW) using these consoles. APR may have interest in these.

Let me know if you have questions or need further information. <u>richardtowne@kunm.org</u> or 277-8009. Thanks.

Asset	Description	Acq. Cost	NBV	Date
N00002290	Digital Audio Console	\$54,883.61	0.00	2005
N00000159	Digital Audio Console	\$43,203.97	0.00	2004



## IT Department

March 29, 2018

To: Inventory Control

From: IT Department ORG 022I

I'm in the process of cleaning our campus of old, outdated equipment, which we as IT will no longer need. The asset that is listed (N00043571) is one of the assets that is considered to be an old asset that is no longer needed. Also adding since we have nowhere to store this asset it will need to be taken to surplus on its approval.

If you have questions, please contact me at: 575-779-9686, Juan Manuel Juarez

Signature (Dean/Chair/ or Director)

 Asset
 Description
 Acq. Cost
 NBV
 Date

 N00043571
 Server
 \$12,454.40
 0.00
 2013

## **MEMORANDUM** INVENTORY

## **Surplus Request - MGM**

The item #N00029268 on the Surplus Request form for MGM was purchased in 2011 by a PI that is no longer with UNM, her grant ended at her passing, the machine has not been used in many years, and has a \$0 NBV.

Sally Ann Garcia, Department Administrator

Digitally signed by Sally Ann Garcia, Department DN: cn=Sally Ann Garcia, Department Administrator, o=University of New Mexico School of Medicine,

ou=Department of Molecular Genetics and Microbiology, email=SanGarcia@salud.unm.edu, c=US Date: 2018.04.06 16:29:36 -06'00'

4/6/18

Sally Ann Garcia, Dept. Administrator

Date

Vojo Deretic, Ph.D., Professor/Chairman

Digitally signed by Vojo Deretic, Ph.D., Professor/Chairman DN: cn=Vojo Deretic, Ph.D., Professor/Chairman, o=Molecular Genetics & Microbiology, ou=Molecular Genetics & Microbiology, email=vderetic@salud.unm.edu, c=US Date: 2018.04.06 16:30:23 -06'00'

4/6/18

Dr. Vojo Deretic, Ph.D., Chairman

Date

Asset

Description

Acq. Cost

**NBV** 

Date

N00029268

Phosphorimager

\$22,995.00

0.00

2011



TO:

University Services - Inventory Control

FROM:

Paul Chang, Associate Director, Networks - Data

DATE:

05/04/2018

SUBJECT:

Explanatory Memo – Obsolete Network Equipment

To ensure the deposition of inventoried equipment as referenced in the UNM Board of Regents Policy 7.9 "Property Management," the items below have been identified as obsolete or unusable-worn out to use in daily production for the Data Network Group. These items have not been in use for some time and deemed to be obsolete with no market value. The item is:

Reason for Disposal:

Asset #	Model	Serial Number	Reason for Disposal
N00020682	WS-SVC-FWM-1	68-2481-02	Obsolete

Previous arrangement for surplus pick up resulted in the following communication for quick reference:

From: University Services

Sent: Friday, April 27, 2018 11:07:38 AM

To: Matthew Gervasi Subject: RE: Surplus

Hello,

#N00020682 has a Cost of \$20,909.51 and will need a memo saying why you want to dispose of the item. Letterhead and signature. Will delete this item for today's pick up.

Thank You.

If you have any questions regarding our request, please contact Henrietta Vigil at 277-1909.

Asset	Description	Acq. Cost	NBV	Date
N00020682	Firewall Card	\$20,909.51	0.00	2009



Memo #10

University Services Marcos Roybal Associate Director

May 16, 2018

Business Operations 1128 University Blvd NE 505.277.2366

CRLS Clark Hall

Copy Center Dane Smith Hall 505.277.8267

505.277.5109

Mailing Systems 1128 University Blvd NE 505.277.4124

Records Management 1128 University Blvd NE 505.277.1136

Shipping & Receiving 915 Camino de Salud 505.272.6302

Surplus Property 1128 University Blvd NE 505.277.2923 To: Marcos Roybal, Associate Director, University Services

Re: Surplus of UNM Asset #N00004687 & #N00008053

Dear Mr. Roybal,

Asset # N00004687 and #N00008053 Pitney Bowes DI 950 Fold/Inserter was purchased on July 30, 2005 and June 15, 2006. The equipment is worn out and obsolete due to 13 years of department usage. The equipment will be replaced with updated version for better efficiency and production. The equipment is no longer of use to our department. We would like to send this item to UNM Surplus Property.

Sincerely,

Norris Cain

**UNM Mailing Systems** 

Supv-Incoming/Outgoing mail

505-277-4124

ncain@unm.edu

<u>Asset</u>	<u>Description</u>	Acq. Cost	<u>Date</u>
N00004687	Fold/Inserter	\$46,431.35	2005
N00008053	Fold/Inserter	\$58,484.04	2006



5/11/2018

Ashley Wolf Sr. Fiscal Services Tech UNM University Services 505-277-0921 wolfa@unm.edu

# Dear Ashley:

The UNM Manufacturing Engineering Program (MEP) seeks approval to dispose of three robot equipment items that were each over \$10,000. Specifically, these items are a pair of Staubli RX-130 robots that ride on a Robot Transport Unit (RTU) track system, located in 801 University Blvd., room 154. Inventory details are:

- ID 236399 (cost of \$63,770); Staubli industrial robot S/N 599103-01 (PU 5/12/1999)
- ID 236400 (cost of \$60,680); Staubli industrial robot S/N 599104-01 (PU 5/12/1999)
- ID N00001821 (cost of \$117,605): RTU on grant 2R674 S/N 080356 RH (PU 1/21/2005)

These were purchased on a long-running (1995 to 2013) DOE grant (2R674; FRS 3-44571). The robots and RTU have reached their useful research life, and need to be liquidated in order to make way for a new AFRL research project (that began on 9 May 2018).

The robots and RTU are very large (track is 24 ft. long) and heavy (RX-130's weigh 540 lbs each; track might weigh 1,200 lbs or more), and will require riggers, forklift and flat-bed truck for removal from 801-154 Univ. Blvd. If these items go to auction, the successful bidder will need to provide the necessary transportation.

Advise if other info is needed.

John Wood Dir MEP

THE UNIVERSITY OF NEW MEXICO

 Asset
 Description
 Acq. Cost
 NBV
 Date

 236399
 Robot
 \$63,770.00
 0.00
 1999

 236400
 Robot
 \$60,680.00
 0.00
 1999

 N00001821
 Robot Rail Transport Unit
 \$117,605.00
 0.00
 2004

# New Mexico Compilation Commission

# 13-6-1. Disposition of obsolete, worn-out or unusable tangible personal property.

- A. The governing authority of each state agency, local public body, school district and state educational institution may dispose of any item of tangible personal property belonging to that authority and delete the item from its public inventory upon a specific finding by the authority that the item of property is:
  - (1) of a current resale value of five thousand dollars (\$5,000) or less; and
- (2) worn out, unusable or obsolete to the extent that the item is no longer economical or safe for continued use by the body.
- B. The governing authority shall, as a prerequisite to the disposition of any items of tangible personal property:
  - (1) designate a committee of at least three officials of the governing authority to approve and oversee the disposition; and
- (2) give notification at least thirty days prior to its action making the deletion by sending a copy of its official finding and the proposed disposition of the property to the state auditor and the appropriate approval authority designated in Section 13-6-2 NMSA 1978, duly sworn and subscribed under oath by each member of the authority approving the action.
- C. A copy of the official finding and proposed disposition of the property sought to be disposed of shall be made a permanent part of the official minutes of the governing authority and maintained as a public record subject to the Inspection of Public Records Act [Chapter 14, Article 2 NMSA 1978].
- D. The governing authority shall dispose of the tangible personal property by negotiated sale to any governmental unit of an Indian nation, tribe or pueblo in New Mexico or by negotiated sale or donation to other state agencies, local public bodies, school districts, state educational institutions or municipalities or through the central purchasing office of the governing authority by means of competitive sealed bid or public auction or, if a state agency, through the surplus property bureau of the transportation services division of the general services department.
- E. A state agency shall give the surplus property bureau of the transportation services division of the general services department the right of first refusal when disposing of obsolete, worn-out or unusable tangible personal property of the state agency.
- F. If the governing authority is unable to dispose of the tangible personal property pursuant to Subsection D or E of this section, the governing authority may sell or, if the property has no value, donate the property to any organization described in Section 501(c)(3) of the Internal Revenue Code of 1986.

- G. If the governing authority is unable to dispose of the tangible personal property pursuant to Subsection D, E or F of this section, it may order that the property be destroyed or otherwise permanently disposed of in accordance with applicable laws.
- H. If the governing authority determines that the tangible personal property is hazardous or contains hazardous materials and may not be used safely under any circumstances, the property shall be destroyed and disposed of pursuant to Subsection G of this section.
- I. No tangible personal property shall be donated to an employee or relative of an employee of a state agency, local public body, school district or state educational institution; provided that nothing in this subsection precludes an employee from participating and bidding for public property at a public auction.
- J. This section shall not apply to any property acquired by a museum through abandonment procedures pursuant to the Abandoned Cultural Properties Act [18-10-1 to 18-10-5 NMSA 1978].
- K. Notwithstanding the provisions of Subsection A of this section, the department of transportation may sell through public auction or dispose of surplus tangible personal property used to manage, maintain or build roads that exceeds five thousand dollars (\$5,000) in value. Proceeds from sales shall be credited to the state road fund. The department of transportation shall notify the department of finance and administration regarding the disposition of all property.
- L. If the secretary of public safety finds that the K-9 dog presents no threat to public safety, the K-9 dog shall be released from public ownership as provided in this subsection. The K-9 dog shall first be offered to its trainer or handler free of charge. If the trainer or handler does not want to accept ownership of the K-9 dog, then the K-9 dog shall be offered to an organization described in Section 501(c)(3) of the Internal Revenue Code of 1986 free of charge. If both of the above fail, the K-9 dog shall only be sold to a qualified individual found capable of providing a good home to the animal.

History: 1953 Comp., § 6-1-7.1, enacted by Laws 1961, ch. 100, § 1; 1979, ch. 195, § 2; 1984, ch. 47, § 1; 1987, ch. 15, § 1; 1989, ch. 211, § 6; 1995, ch. 181, § 1; 1998, ch. 16, § 1; 2001, ch. 317, § 1; 2007, ch. 57, § 4; 2012, ch. 10, § 1; 2013, ch. 9, § 1.



May 22, 2018

TO: UNM Board of Regents Finance and Facilities Committee

FROM: Rick Holmes, Office of the University Secretary

SUBJECT: Approval of naming requests from the College of Arts and Sciences

Upon recommendation of Dean Mark Peceny, College of Arts and Sciences, the University Naming Committee approved the creation and naming of the endowed positions listed below:

 Request approval to establish the "The Guido Daub Endowed Professorship in Chemistry"

(3.2.6. Endowed Faculty Positions; 2.2 Private Financial Support)

 Request approval to establish the "Robert Wood Johnson Foundation Endowed Chair in the College of Arts and Sciences"

(3.2.6. Endowed Faculty Positions; 2.2 Private Financial Support)

Please place these on the next Finance and Facilities Committee meeting agenda for consideration.

Thank you.

Attachments



# Memo

To: Libby Washburn, Chief of Staff, Chair UNM Naming Committee,

From: Mark Peceny, Dean, UNM College of Arts & Sciences

cc: Dr. Steve Cabaniss, Chair of Chemistry and Chemical Biology &

Rick Holmes, UNM Secretary's Office

Date: March 16, 2018

Re: Named Professorship at the UNM Department of Chemistry and Chemical Biology

The UNM Department of Chemistry would like to request that the Naming Committee approve the establishment of a named professorship in honor of Professor Guido Daub.

The professorship would be established with the existing money in the Daub account. Currently, \$397,612.86. There is also an existing estate gift pledge that when realized will bring the fund up to \$897,613. The fund's use is to attract and support chemical scientists to the department.

Endowed chairs and professorships recognize the expertise and experience of faculty members at the UNM College of Arts & Sciences. Additionally, they are an excellent tool for recruitment.

Professor Guido H. Daub was a key architect in the development of the UNM Chemistry and Chemical Biology Department in the period of 1949-1984 and an internationally recognized synthetic organic and bio-organic chemist. Prof. Daub was born December 16, 1920 in Milwaukee, Wisconsin. He earned his B.S. ('44), M.S. ('47) and PhD ('49) degrees at the University of Wisconsin-Madison, where he studied with the eminent organic chemist, Prof. W.S. Johnson. Upon completion of the PhD, he accepted an Assistant Professorship in Chemistry at the University of New Mexico in 1949.

Joining the department in the days of a small faculty, Prof. Daub assumed a heavy teaching responsibility in the pre-nursing, general chemistry and organic chemistry undergraduate curricula. He instructed many thousands of undergraduates in lectures and laboratories and was loved by all for his dedication and concern for students. His introductory textbook, "Basic Chemistry" (first published in 1972 with William Seese) was noted for its clarity and informal style and remained in print for seven editions into the 1990's. Some General Chemistry students probably better remember him as the professor who rode a burro into the Anthropology building to deliver a lecture the Friday before Spring Fiesta in 1964.

During his career at UNM, Prof. Daub established a prominent research program in synthetic organic chemistry and bio-organic chemistry. He and his research group of more than forty graduate students authored over ninety peer-reviewed journal publications, book chapters and reports. He was especially recognized as a leader in the fields of synthesis of polycyclic hydrocarbons, scintillation solutes, laser dyes and isotopically labeled compounds. The group also actively collaborated in studies on the carcinogenic activity of various classes or organic compounds. His careful training and mentoring of students resulted in the placement of these coworkers in a wide range of professional positions. Prof. Daub also contributed extensively to the chemical profession through dedicated service in the American Chemical Society, the American Association for the Advancement of Science (Fellow), Sigma Xi and Phi Lambda Upsilon, and he served as scientific consultant to Los Alamos and Sandia National Laboratories and as the Director of the UNM Graduate Center at LANL.

As chair, Guido Daub relied on personal relations and "friendly persuasion" to guide the department. During his tenure as chair (1970-1981) the department hired ten new faculty members and began to expand its reputation through national teaching and international research awards. When he stepped down in 1981, one of his first hires ("Flick" Coleman) composed "The Ballad of Guido Daub" and called his chairmanship "the best damned years this department can recall".

Guido Daub's death in 1984 left a hole in Chemistry Department faculty, but also a lasting legacy of UNM colleagues, students and family carrying on a scientific tradition. All three of his children have pursued careers in chemistry, his students have worked and taught in New Mexico and beyond, and his colleagues have continued his work at the university. Family, students and colleagues have all joined together to create the Guido Daub Endowed Professorship in Chemistry.

The UNM Department of Chemistry and Chemical Biology would like to honor Dr. Guido Daub through the establishment of a named professorship.

Thank you for your time and consideration of our request.



# Memo

To: Libby Washburn, Chief of Staff, Chair UNM Naming Committee

From: Mark Peceny, Dean, UNM College of Arts & Sciences

cc: Rick Holmes, UNM Secretary's Office

Date: March 21, 2018

Re: Named Chair in the College of Arts and Sciences

The College of Arts and Sciences would like to request that the Naming Committee approve the establishment of a named chair in the College of Arts and Sciences utilizing the endowment provided by the Robert Wood Johnson Foundation (RWJF).

The professorship would be supported by the endowment originally intended to fund the operations of the UNM RWJF Center for Health Policy. We have approval from the leadership of RWJF to utilize the corpus of the endowment, approximately \$5,000,000, to support the salary of the endowed chair to be named the "Robert Wood Johnson Foundation Endowed Chair in Health Policy at UNM." The faculty member chosen for this professorship will focus on health policy across the disciplines of political science, sociology, economics, and psychology that have been core in the success of the RWJF Center for Health Policy. The RWJF Center at UNM has been very successful in their mission of training PhD students from underrepresented backgrounds in health disparities and policy, graduating over 20 PhD's from UNM. This endowed chair will be charged with continuing this mission in recruiting and mentoring the next generation of leaders in health policy.

Endowed chairs and professorships recognize the expertise and experience of faculty members at the UNM College of Arts & Sciences. Additionally, they are an excellent tool for recruitment. In this particular case, having the opportunity to hire a senior faculty member in the area of health policy will expand the significant investment made in the College of Arts & Sciences in this substantive area of research.

Thank you for your time and consideration of our request.



# Memo

To:

David W. Harris, EVP for Administration, COO & CFO

From:

Thomas M. Neale, Director of Real Estate

Date:

May 31, 2018

Re:

**Annual Renewal of Maui HPCC Lease** 

The terms of the Lease Agreement (the "Lease") for the Maui High Performance Computing Center (the "Center" or "Maui HPCC") located at 550 Lipoa Parkway, Maui Research and Technology Park in Kihei, Maui require that the Regents notify the Trustee ("The Bank of New York Mellon Trust Company, N.A.") of its intention to either renew or not renew the Lease annually for each fiscal year.

On May 31, 2001 the University of Hawaii ("UH") was awarded the contract to operate and manage the Center by the Air Force Research Laboratory beginning October 1, 2001. In conjunction with the award of this contract, the University of New Mexico ("UNM") transferred control of the facility to UH, through its subsidiary, The Research Corporation of the University of Hawaii ("RCUH"), by means of a sublease agreement having ten (10) annual lease terms, expiring on September 30, 2011. Two additional Amendments were executed, extending the term through September 30, 2013, at which point UH and RCUH involvement was concluded.

On October 1, 2013, UNM entered into an occupancy agreement directly with the United States of America ("Government"), expiring May 31, 2014. The term length was dictated to adhere to an authorization ceiling, with the intent to execute a longer-term sublease in response to Occupant's Solicitation for Offer. The procurement process with the Government was completed in February 2015 and a new sublease ("Sublease") was executed. The Sublease has annual renewal options through September 30, 2018.

The Government is in the approval process to enter into a new lease for one-year with four one-year renewal options. The new lease would have a commencement date of October 1, 2018 and the renewal options will extend through September 30, 2024. The Government is behind on their

David W. Harris May 31, 2018 Page 2

approval process for the new lease. Attached is a memorandum indicating the intention of the Government to enter into a new lease. If the new lease is not in place at the end of the current term, the Government will be in holdover status and obligated to pay rent on a month-to-month basis.

Based on the Government's memorandum, we are recommending that the Regents renew the Maui HPCC Lease (in order to maintain the Government Sublease), and to evidence such renewal by the adoption of the following <u>resolution:</u>

The Regents ("Regents") of the University of New Mexico ("UNM"), as Lessee, hereby authorize annual renewal of the Lease Agreement dated October 1, 2000 for the UNM Maui High Performance Computing Center (the "Center") with the Bank of New York Mellon Trust Company, N.A., as Lessor and Trustee, for Fiscal Year 2018-2019 beginning July 1, 2018 and ending June 30, 2019 (the "Lease"), based upon its finding that amounts have been or will be budgeted and authorized for payment of all Base Rentals and such Additional Rentals as are estimated to become due under the terms of the Lease. The Regents further find as follows: (1) United States of America ("Government") has entered into a mutually acceptable arrangement with UNM to provide Government with access to the Center, pursuant to which the amounts necessary to pay Base Rentals and Additional Rentals will become available, budgeted and authorized for such payments; (2) pursuant to the Sublease Agreement between UNM and the Government, Government has the right to occupy the facility through September 2018; and (3) in the event that amounts necessary to pay Base Rentals and Additional Rentals are not otherwise available, then pursuant to Sections 5.2 and 5.4 of the Lease, the Regents will notify Lessor that available funds are insufficient to satisfy UNM's obligations when next due and that amounts necessary to pay Base Rentals and Additional Rentals shall be made from the Supplemental Rentals Reserve Fund.



## DEPARTMENT OF THE AIR FORCE AIR FORCE RESEARCH LABORATORY

23 Mar 2018

MEMORANDUM FOR USACE HONOLULU
ATTN: LTC JAMES D. HOYMAN
DISTRICT ENGINEER
BUILDING 230, ROOM 318
FORT SHAFTER, HI 96858

FROM: AFRL, Detachment 15/CC 550 Lipoa Parkway Kihei, HI 96753

SUBJECT: 550 Lipoa Lease Renewal, DACA84-5-15-0005

- 1. The Air Force Research Laboratory (AFRL), Detachment 15, Maui High Performance Computing Center, a Defense Supercomputing Resource Center for the Department of Defense High Performance Computing Modernization Program is seeking for continued use of approximately 31,141 square feet of administrative office space in the 550 Lipoa facility.
- 2. Construction: No new construction or build-out is required under this lease. Physical maintenance will be conducted by an AFRL contractor.
- 3. Lease Period: 1 year through 30 September 2019, with 4 one-year renewal options not to exceed later than 30 September 2024.
- 4. Lease Future: The AFRL has a long term need past 30 September 2024 and continues to plan and implement a long term acquisition strategy. The short term lease will allow for enough time for AFRL to develop and implement a long term acquisition strategy.
- 5. Lease Funding: Lease payments should be made to the property owner on a schedule agreed to by USACE Honolulu and the property owner. AFRL Detachment 15 plans to provide one or two MIPRs per-year prior to the first scheduled lease payment to cover USACE HNL overhead and the annual lease cost.
- 6. Feel free to contact my installation Base Civil Engineer, Ed McCown at (808) 891-7769 with any questions.

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TEVEN.1236028298 98 Date: 2018.03.23 10:42:07 -10'00'
ERIK S. STOCKHAM, Lt Col, USAF Commander



# Memo

To:

David W. Harris, EVP for Administration, COO & CFO

From:

Thomas M. Neale, Director of Real Estate

Date:

May 31, 2018

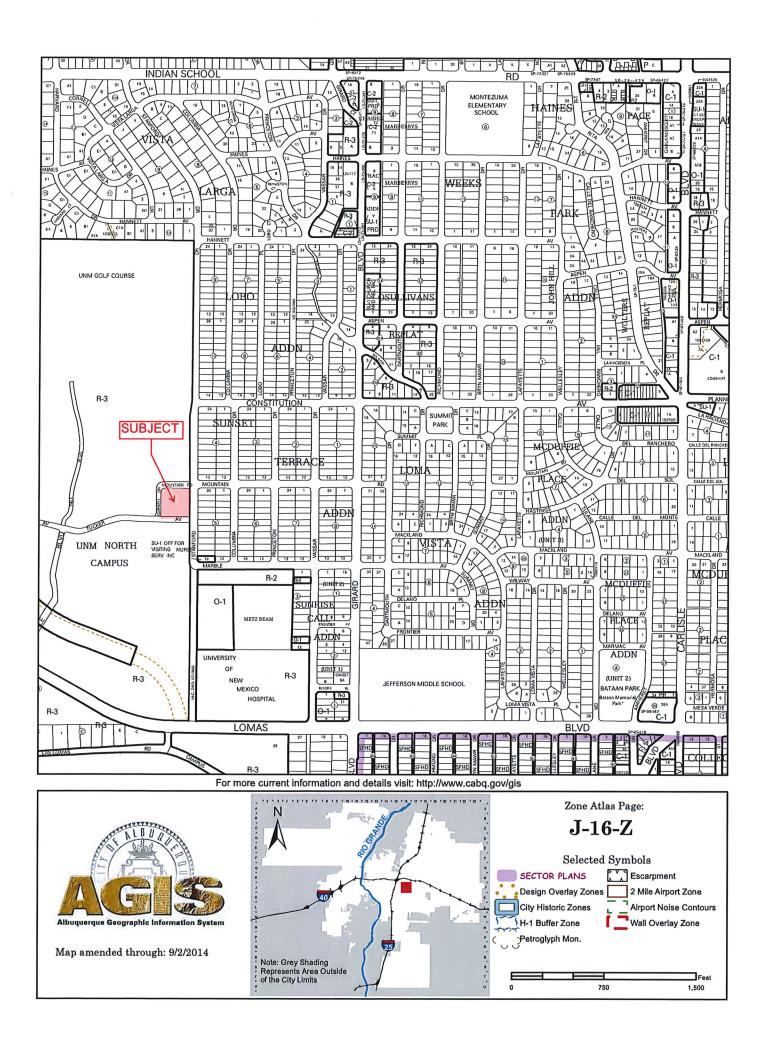
Re:

Termination of Long Term Ground Lease for 1111 Stanford Drive NE

(Bernalillo County Health Building)

On behalf of the University of New Mexico's Health Sciences Center, the Real Estate Department is seeking Regent's approval to terminate the ground lease underlying the Bernalillo County Health Clinic. The site is located at the northwest corner of Stanford Drive and Tucker Avenue on UNM's North Campus. In 1958, the University entered into a 99-year ground lease with Bernalillo County for the 1.3176-acre site. The lease rate is \$1.00 per year and the agreement expires in 2057. The improvements were constructed by the County in 1959 and 1970 and contain 19,395 square feet. The improvements have reached the end of their economic life and the County plans to vacate the property.

The lease termination fee is \$380,000 and is based on an appraisal prepared for Bernalillo County dated November 2017. The cost of this transaction will be funded by the Health Sciences Center. The intended use is to raze the existing improvements and construct a patient-centered medical home clinic.



# Consent Docket Item f. - Tab C-6

# Approval of Harwood Board Appointments: Arif Khan and Nicole Dopson

No Materials are included in the eBook for this item



# Athletics' Revised FY18 Budget and Projected Actuals (Exhibit P21) Updated FY18 Projected Actuals through May 31st, 2018

**Schedule A: (FY18 Revised Budget and Projected Actuals)** This schedule details out pooled revenues and directed revenues by sport for FY18 revised budget and FY18 projected year-end actuals. Please note that a majority of revenues are pooled centrally into Athletics and are not distributed by sport, however ticket sales and game guarantees are distributed by sport.

Projected expenses are based on actual posted expenses through May 31<sup>st</sup>, 2018, including salary and fringe expenses through the fiscal year-end. Additional non-labor expenses will continue to post through fiscal year-end on June, 30<sup>th</sup>, 2018.

**Schedule B: (Expenses by Sports)** This schedule details out FY18 revised expense budget, FY18 projected expense actuals and FY19 expense budget by sport. Grant-in-Aid expenses have been detailed out by sport, as well as current transfers to sport enhancement accounts. Additional transfers will be processed through the end of the fiscal year.

Please note that FY18 projected actuals accounts for all salary and fringe expenses through the fiscal year end, however non-salary expenses are only projected based on <u>actuals through May 31<sup>st</sup>, 2018.</u> Additional non-labor expenses will continue to post through fiscal year-end on June, 30<sup>th</sup>, 2018.

**Schedule C: (Summary by Sport)** This schedule summarizes FY18 revised expense budget and FY18 projected expense budget by sport. Please note that FY18 projected actuals projects all salary and fringe expenses through the fiscal year end, however non-salary expenses are only projected based on actuals through May 31<sup>st</sup>, 2018. Additional non-labor expenses will continue to post through fiscal year-end on June, 30<sup>th</sup>, 2018.

**Schedule D: (Lobo Club Fundraising Information)** This schedule details Lobo Club fundraising efforts, operating budget, and Lobo Scholarship Fund (LSF) transfer by sport.





# FY18 and FY19 Budget and FY18 Projected Actuals by Exhibit P21

Revenue/Expense Description		FY18 Original Budget	FY18 Revised Budget	FY18 Projected Actuals (1) (2)	FY19 Budget	FY18 Budget to Projected Actuals Variance	FY19 Budget to FY18 Budget Variance
Pooled Revenues (1)							
NCAA/Mountain West Conference		5,200,000	4,638,299	4,766,295	4,750,000	(127,996)	111,701
Media Rights/Sponsorship/Licensing/Commissions/Naming R	lights	5,965,500	5,865,500	5,808,500	6,625,000	57,000	759,500
Student Fees		4,000,000	3,851,603	3,851,603	3,681,708	-	(169,895)
Fundraising		3,100,000	2,200,000	2,200,000	2,400,000	-	200,000
State Appropriation		2,617,300	2,617,300	2,617,300	2,641,500	-	24,200
Facility Rental/Merchandise/GIK		2,681,626	2,540,077	2,498,032	2,400,000	42,045	(140,077)
Special Events and Other Revenues		2,450,000	2,199,000	2,958,294	2,035,000	(759,294)	(164,000)
Transfers to/from Campus		(146,043)	1,504,033	1,588,784	974,000	(84,751)	(530,033)
Land Proceed Transfer		-	814,207	814,207	789,039	-	(25,168)
Su	ıb-total	25,868,383	26,230,019	27,103,016	26,296,247	(872,997)	66,228
Directed Revenues (by Sports)							
Men's Basketball Tickets		4,200,000	3,653,733	3,653,733	3,800,000	-	146,267
Football Tickets		1,900,000	1,539,833	1,539,833	1,200,000	-	(339,833)
Women's Basketball Tickets		330,000	398,630	398,630	375,000	-	(23,630)
Other Sports Tickets (3)		115,000	194,362	144,832	115,000	49,530	(79,362)
Football Game Guarantees		1,100,000	1,543,624	1,600,000	1,100,000	(56,376)	(443,624)
Su	ıb-total	7,645,000	7,330,182	7,337,028	6,590,000	(6,846)	(740,182)
	Total	33,513,383	33,560,201	34,440,043	32,886,247	(879,842)	(673,954)
Expenses (2)							

Expenses (2)						
Salaries	10,717,382	10,986,161	10,959,073	11,272,844	27,088	286,683
Payroll Benefits	3,461,574	3,461,574	3,118,080	3,271,643	343,494	(189,931)
Communication Charges	60,949	60,899	84,338	110,268	(23,439)	49,369
Other Expense	4,150,256	3,336,718	2,961,851	782,164	374,867	(2,554,554)
Patient Care Costs	58,550	53,550	149,079	98,300	(95,529)	44,750
Plant Maintenance	123,750	272,827	270,803	250,457	2,024	(22,370)
Services	3,757,852	3,193,323	4,314,887	4,967,038	(1,121,564)	1,773,715
Student Costs	577,077	1,525,559	1,240,404	894,190	285,155	(631,369)
Grant-in-Aid	5,024,660	4,876,981	4,876,981	4,800,000	-	(76,981)
Supplies	1,477,057	968,343	1,507,598	2,055,696	(539,255)	1,087,353
Travel	3,552,976	4,272,966	3,914,361	3,809,977	358,605	(462,989)
Utilities	551,300	551,300	441,724	573,670	109,576	22,370
Total	33,513,383	33,560,201	33,839,180	32,886,247	(278,979)	(673,954)

Net - - 600,863 - (600,863) -

<sup>(1)</sup> Revenues based on projected actuals through fiscal year-end 6/30/18

<sup>(2)</sup> Expenses based on fiscal year to date on non-salary expenses through 5/31/18, however projects salaries and fringes through 6/30/18

<sup>(3)</sup> Other sports tickets include mens and womens soccer and track, baseball, softball, and volleyball





# FY18-19 Budgeted Expenses and FY18 Projected Actuals by Sport Exhibit P21 (1)

		Football			
Expense Description	FY18 Budget	FY18 Projected Actuals (3)	FY19 Budget	FY18 Budget to Projected Actuals Variance	FY19 Budget to FY18 Budget Variance
Salaries	2,642,615	2,651,220	2,615,585	(8,605)	(27,030)
Payroll Benefits	758,914	793,844	804,797	(34,930)	45,883
Communication Charges	7,300	22,177	28,550	(14,877)	21,250
Other Expense	69,785	49,836	128,776	19,949	58,991
Patient Care Costs	20,500	40,748	18,000	(20,248)	(2,500)
Plant Maintenance	-	109,135	83,407	(109,135)	83,407
Services	887,279	760,671	1,003,600	126,608	116,321
Student Costs	125,000	378,555	-	(253,555)	(125,000)
Grant-in-Aid	1,724,282	1,724,282		-	(1,724,282)
Supplies	329,655	332,344	305,350	(2,689)	(24,305)
Travel	1,381,500	1,402,495	1,275,550	(20,995)	(105,950)
Sport Enhancements (transfers)				-	- 1
Utilities	<u>-</u>	9,658	7,800	(9,658)	7,800
Total	7,946,829	8,274,965	6,271,415	(328,136)	(1,675,414)

	Mei	n's Baseball			
Expense Description	FY18 Budget	FY18 Projected Actuals (3)	FY19 Budget	FY18 Budget to Projected Actuals Variance	FY19 Budget to FY18 Budget Variance
Salaries	357,673	357,934	370,172	(261)	12,499
Payroll Benefits	107,302	107,438	114,609	(136)	7,307
Communication Charges	1,630	140	1,460	1,490	(170)
Other Expense	4,980	6,171	7,852	(1,191)	2,872
Patient Care Costs	-		-	-	-
Plant Maintenance	-		3,900	-	3,900
Services	-	26,164	66,260	(26,164)	66,260
Student Costs	5,000	11,726		(6,726)	(5,000)
Grant-in-Aid	233,545	233,545			(233,545)
Supplies	132,905	115,980	78,230	16,925	(54,675)
Travel	170,675	217,565	168,100	(46,890)	(2,575)
Sport Enhancements (transfers) Utilities	-		_	-	-
Total	1,013,710	1,076,664	810,583	(62,954)	(203,127)

	Wor	nen's Softball			
Expense Description	FY18 Budget	FY18 Projected Actuals (3)	FY19 Budget	FY18 Budget to Projected Actuals Variance	FY19 Budget to FY18 Budget Variance
Salaries	183,877	190,509	180,000	(6,632)	(3,877)
Payroll Benefits	55,058	56,834	65,294	(1,776)	10,237
Communication Charges	1,300	2,419	2,400	(1,119)	1,100
Other Expense	10,028	4,002	3,942	6,026	(6,086)
Patient Care Costs	-		-	-	-
Plant Maintenance	-	6,705	2,300	(6,705)	2,300
Services	39,304	10,993	8,800	28,311	(30,504)
Student Costs	2,000	-	-	2,000	(2,000)
Grant-in-Aid	238,818	238,818		-	(238,818)
Supplies	21,023	35,254	27,300	(14,231)	6,277
Travel	140,588	141,441	140,100	(853)	(488)
Sport Enhancements (transfers)				-	`- ´
Utilities	-		-	-	-
Total	691,996	686,975	430,136	5,020	(261,860)

	Men	's Basketball			
Expense Description	FY18 Budget	FY18 Projected Actuals (3)	FY19 Budget	FY18 Budget to Projected Actuals Variance	FY19 Budget to FY18 Budget Variance
Salaries	1,847,476	1,882,566	1,998,965	(35,090)	151,489
Payroll Benefits	541,784	550,821	402,335	(9,037)	(139,449)
Communication Charges	4,900	8,950	15,560	(4,050)	10,660
Other Expense	79,613	33,591	59,150	46,022	(20,463)
Patient Care Costs	10,000	9,128	7,500	872	(2,500)
Plant Maintenance	6,000	15,901	34,900	(9,901)	28,900
Services	759,164	632,165	746,240	126,999	(12,924)
Student Costs	66,300	91,347	-	(25,047)	(66,300)
Grant-in-Aid	275,374	275,374		- 1	(275,374)
Supplies	69,950	101,184	103,850	(31,234)	33,900
Travel	514,950	558,197	506,500	(43,247)	(8,450)
Sport Enhancements (transfers)				-	- 1
Utilities	-	730	-	(730)	-
Total	4,175,511	4,159,954	3,875,000	15,557	(300,511)

	Wome	n's Basketbal	I		
Expense Description	FY18 Budget	FY18 Projected Actuals (3)	FY19 Budget	FY18 Budget to Projected Actuals Variance	FY19 Budget to FY18 Budget Variance
Salaries	732,613	757,472	768,086	(24,859)	35,473
Payroll Benefits	210,049	217,907	258,590	(7,858)	48,541
Communication Charges	2,800	1,472	2,920	1,328	120
Other Expense	20,064	13,263	16,319	6,801	(3,745)
Patient Care Costs	3,500	245	-	3,255	(3,500)
Plant Maintenance	500	7,070	7,400	(6,570)	6,900
Services	328,846	276,513	290,250	52,333	(38,596)
Student Costs	28,500	25,342	-	3,158	(28,500)
Grant-in-Aid	239,448	239,448	-	-	(239,448)
Supplies	71,800	39,347	48,850	32,453	(22,950)
Travel	337,371	302,011	261,000	35,360	(76,371)
Sport Enhancements (transfers)				-	-
Utilities	-	1,325	-	(1,325)	-
Total	1,975,491	1,881,415	1,653,415	94,076	(322,076)

	Me	n's Soccer			
Expense Description	FY18 Budget	FY18 Projected Actuals (3)	FY19 Budget	FY18 Budget to Projected Actuals Variance	FY19 Budget to FY18 Budget Variance
Salaries	233,268	240,142	246,469	(6,874)	13,201
Payroll Benefits	68,391	71,021	84,310	(2,630)	15,919
Communication Charges	1,900	1,260	2,420	640	520
Other Expense	13,175	2,545	5,628	10,630	(7,547)
Patient Care Costs	-	510	1,000	(510)	1,000
Plant Maintenance	-		-	-	-
Services	42,661	24,947	34,025	17,714	(8,636)
Student Costs	3,750	673	-	3,077	(3,750)
Grant-in-Aid	213,722	213,722		-	(213,722)
Supplies	9,725	25,925	31,125	(16,200)	21,400
Travel	121,024	179,422	128,750	(58,398)	7,726
Sport Enhancements (transfers) Utilities	- -	(66,782)	- -	66,782 -	_
Total	707,615	693,385	533,727	14,231	(173,888)

	Won	nen's Soccer			
Expense Description	FY18 Budget	FY18 Projected Actuals (3)	FY19 Budget	FY18 Budget to Projected Actuals Variance	FY19 Budget to FY18 Budget Variance
Salaries	190,000	200,178	196,000	(10,178)	6,000
Payroll Benefits	57,000	59,301	70,889	(2,301)	13,889
Communication Charges	950	550	1,060	400	110
Other Expense	8,897	2,352	3,491	6,545	(5,406)
Patient Care Costs	-	1,524	-	(1,524)	-
Plant Maintenance	-		-		-
Services	22,462	16,830	4,200	5,632	(18,262)
Student Costs	6,500	3,447	-	3,053	(6,500)
Grant-in-Aid	304,393	304,393		-	(304,393)
Supplies	3,300	11,253	15,250	(7,953)	11,950
Travel	128,770	136,669	123,100	(7,899)	(5,670)
Sport Enhancements (transfers)		(15,318)		15,318	
Utilities	-	,	-	-	-
Total	722,272	721,178	413,990	1,094	(308,282)

	N	len's Golf			
Expense Description	FY18 Budget	FY18 Projected Actuals (3)	FY19 Budget	FY18 Budget to Projected Actuals Variance	FY19 Budget to FY18 Budget Variance
Salaries	163,000	164,153	163,000	(1,153)	-
Payroll Benefits	48,900	49,030	60,816	(130)	11,916
Communication Charges	-	454	320	(454)	320
Other Expense	1,290	1,026	2,534	264	1,244
Patient Care Costs	-		-	-	-
Plant Maintenance	-		1,300	-	1,300
Services	-	4,431	3,450	(4,431)	3,450
Student Costs	1,321	1,207	-	114	(1,321)
Grant-in-Aid	97,381	97,381		-	(97,381)
Supplies	2,692	13,167	21,475	(10,475)	18,783
Travel	62,690	107,212	67,250	(44,522)	4,560
Sport Enhancements (transfers)		(58,191)		58,191	
Utilities	1,800	510	520	1,290	(1,280)
Total	379,074	380,381	320,665	(1,306)	(58,409)

	Wo	men's Golf			
Expense Description	FY18 Budget	FY18 Projected Actuals (3)	FY19 Budget	FY18 Budget to Projected Actuals Variance	FY19 Budget to FY18 Budget Variance
Salaries	139,905	140,464	144,905	(559)	5,000
Payroll Benefits	41,972	42,093	54,064	(122)	12,093
Communication Charges	-	314	560	(314)	560
Other Expense	1,021	1,278	7,319	(257)	6,298
Patient Care Costs	-		-	-	-
Plant Maintenance	-		-	-	-
Services	4,200	15,809	8,800	(11,609)	4,600
Student Costs	568	6,939	-	(6,371)	(568)
Grant-in-Aid	113,191	113,191		-	(113,191)
Supplies	8,428	11,822	13,560	(3,394)	5,132
Travel	55,821	54,522	60,000	1,299	4,179
Sport Enhancements (transfers)		(7,430)		7,430	
Utilities	-	510	350	(510)	350
Total	365,106	379,512	289,558	(14,406)	(75,548)

	Me	n's Tennis			
Expense Description	FY18 Budget	FY18 Projected Actuals (3)	FY19 Budget	FY18 Budget to Projected Actuals Variance	FY19 Budget to FY18 Budget Variance
Salaries	103,000	105,161	104,900	(2,161)	1,900
Payroll Benefits	30,900	31,131	38,577	(231)	7,677
Communication Charges	-	248	290	(248)	290
Other Expense	809	1,275	2,750	(466)	1,941
Patient Care Costs	1,000	-	-	1,000	(1,000)
Plant Maintenance	-	532	-	(532)	-
Services	9,406	18,482	15,810	(9,076)	6,404
Student Costs	3,000	2,029	-	971	(3,000)
Grant-in-Aid	53,071	53,071		-	(53,071)
Supplies	15,248	19,399	28,300	(4,151)	13,052
Travel	48,800	59,224	53,700	(10,424)	4,900
Sport Enhancements (transfers) Utilities	-	(14,378)	-	14,378	-
Total	265,234	276,172	244,327	(10,938)	(20,907)

	Wor	nen's Tennis			
Expense Description	FY18 Budget	FY18 Budget to Projected Actuals Variance	FY19 Budget to FY18 Budget Variance		
Salaries	105,000	105,745	67,000	(745)	(38,000)
Payroll Benefits	31,500	31,691	39,176	(191)	7,676
Communication Charges	100	605	610	(505)	510
Other Expense	2,086	1,245	7,481	841	5,395
Patient Care Costs	-	75	-	(75)	-
Plant Maintenance	-	-	-	- 1	-
Services	7,000	14,953	17,910	(7,953)	10,910
Student Costs	2,000	1,536	-	464	(2,000)
Grant-in-Aid	157,622	157,622		-	(157,622)
Supplies	15,367	13,440	13,615	1,927	(1,752)
Travel	49,538	51,727	50,500	(2,189)	, ,
Sport Enhancements (transfers)				-	-
Utilities	-	-	-	-	-
Total	370,213	378,638	196,292	(8,425)	(173,921)

	Cross	Country (M/W	)		
Expense Description	FY18 Budget	FY18 Projected Actuals (3)	FY19 Budget	FY18 Budget to Projected Actuals Variance	FY19 Budget to FY18 Budget Variance
Salaries	153,188	158,729	153,188	(5,542)	1
Payroll Benefits	45,956	46,739	57,154	(783)	11,198
Communication Charges	-		-	-	-
Other Expense	9,482	3,795	2,885	5,687	(6,597)
Patient Care Costs	-	642	-	(642)	-
Plant Maintenance	-	-	-	-	-
Services	6,710	26,129	6,750	(19,419)	40
Student Costs	4,000	1,699	-	2,301	(4,000)
Grant-in-Aid	314,034	314,034		-	(314,034)
Supplies	15,519	32,068	17,813	(16,549)	2,295
Travel	110,490	103,258	97,600	7,232	(12,890)
Sport Enhancements (transfers)		·	•	-	-
Utilities	-	-	-	-	-
Total	659,378	687,093	335,390	(27,715)	(323,988)

	Tr	ack (M/W)			
Expense Description	FY18 Budget	FY18 Projected Actuals (3)	FY19 Budget	FY18 Budget to Projected Actuals Variance	FY19 Budget to FY18 Budget Variance
Salaries	153,188	158,729	153,188	(5,542)	1
Payroll Benefits	45,956	46,739	57,154	(783)	11,198
Communication Charges	2,540	500	560	2,040	(1,980)
Other Expense	9,482	3,795	3,078	5,687	(6,404)
Patient Care Costs	-	642	-	(642)	-
Plant Maintenance	-	5,505	12,350	(5,505)	12,350
Services	6,710	26,129	6,750	(19,419)	40
Student Costs	4,000	1,699	-	2,301	(4,000)
Grant-in-Aid	314,034	314,034		-	(314,034)
Supplies	15,519	32,068	17,813	(16,549)	2,295
Travel	110,490	103,258	97,600	7,232	(12,890)
Sport Enhancements (transfers)				-	-
Utilities	-	-	-	-	-
Total	661,918	693,097	348,493	(31,179)	(313,425)

	Women's Volleyball													
Expense Description	FY18 Budget	FY18 Projected Actuals (3)	FY19 Budget	FY18 Budget to Projected Actuals Variance	FY19 Budget to FY18 Budget Variance									
Salaries	132,919	138,269	121,514	(5,350)	(11,405)									
Payroll Benefits	39,876	40,545	42,351	(669)	2,475									
Communication Charges	2,100	1,159	1,605	941	(495)									
Other Expense	32,456	2,460	3,372	29,996	(29,084)									
Patient Care Costs	-	-	-	-	-									
Plant Maintenance	-	15,264	15,300	(15,264)	15,300									
Services	49,877	38,732	42,950	11,145	(6,927)									
Student Costs	10,500	7,911	-	2,589	(10,500)									
Grant-in-Aid	229,360	229,360		-	(229,360)									
Supplies	17,600	15,683	25,650	1,917	8,050									
Travel	92,021	97,260	84,650	(5,239)	(7,371)									
Sport Enhancements (transfers)				/	1									
Utilities	-	-	-	-	-									
Total	606,708	586,643	337,392	20,065	(269,316)									

	San	d Volleyball			
Expense Description	FY18 Budget	FY18 Projected Actuals (3)	FY19 Budget	FY18 Budget to Projected Actuals Variance	FY19 Budget to FY18 Budget Variance
Salaries	132,919	132,919	113,514	-	(19,405)
Payroll Benefits	39,876	39,876	42,351	-	2,475
Communication Charges	-	-	-	-	-
Other Expense	5,000	546	1,174	4,454	(3,826)
Patient Care Costs	-	-	-	-	-
Plant Maintenance	-	3,000	3,000	(3,000)	3,000
Services	-	3,150	-	(3,150)	-
Student Costs	-	26	-	(26)	-
Grant-in-Aid	38,957	38,957		-	(38,957)
Supplies	-	505	500	(505)	500
Travel	15,000	31,763	22,750	(16,763)	7,750
Sport Enhancements (transfers)				- '	-
Utilities	-	-	-	-	-
Total	231,751	250,741	183,289	(18,990)	(48,462)

	Sk	(iing (M/W)			
Expense Description	FY18 Budget	FY18 Projected Actuals (3)	FY19 Budget	FY18 Budget to Projected Actuals Variance	FY19 Budget to FY18 Budget Variance
Salaries	132,163	138,616	132,162	(6,453)	(1)
Payroll Benefits	39,649	47,777	49,310	(8,128)	9,661
Communication Charges	-	310	350	(310)	350
Other Expense	165,098	2,387	14,874	162,711	(150,224)
Patient Care Costs	-	-	-	-	-
Plant Maintenance	-	7,524	9,600	(7,524)	9,600
Services	-	7,491	7,000	(7,491)	7,000
Student Costs	-	1,159	-	(1,159)	-
Grant-in-Aid	137,010	137,010		1	(137,010)
Supplies	-	29,999	26,260	(29,999)	26,260
Travel	-	108,536	102,950	(108,536)	102,950
Sport Enhancements (transfers)				-	-
Utilities	-	-	-	-	-
Total	473,920	480,810	342,506	(6,889)	(131,414)

	Swin	nming/Diving			
Expense Description	FY18 Budget	FY18 Projected Actuals (3)	FY19 Budget	FY18 Budget to Projected Actuals Variance	FY19 Budget to FY18 Budget Variance
Salaries	146,000	146,000	146,000	-	-
Payroll Benefits	43,800	43,800	54,473	-	10,673
Communication Charges	550	303	350	248	(200)
Other Expense	2,194	1,938	3,251	256	1,057
Patient Care Costs	-	-	-	-	-
Plant Maintenance	-	-	-	-	-
Services	525	918	1,200	(393)	675
Student Costs	3,800	428	-	3,372	(3,800)
Grant-in-Aid	192,740	192,740		-	(192,740)
Supplies	17,500	31,195	32,195	(13,695)	14,695
Travel	112,708	96,609	95,900	16,099	(16,808)
Sport Enhancements (transfers)				-	-
Utilities	-	-	-	-	-
Total	519,817	513,930	333,369	5,886	(186,448)

		Spirit			
Expense Description	FY18 Budget	FY18 Projected Actuals (3)	FY18 Budget to Projected Actuals Variance	FY19 Budget to FY18 Budget Variance	
Salaries	-	4,533	-	(4,533)	-
Payroll Benefits	-	617	-	(617)	-
Communication Charges	-	225	-	(225)	-
Other Expense	612	1,526	960	(914)	348
Patient Care Costs	-	-	-	-	-
Plant Maintenance	-	300	500	(300)	500
Services	6,856	11,332	11,525	(4,476)	4,669
Student Costs	-	-	-	-	-
Grant-in-Aid				-	-
Supplies	11,000	18,141	19,800	(7,141)	8,800
Travel	20,500	73,843	32,200	(53,343)	11,700
Sport Enhancements (transfers)				- ′	-
Utilities	-	-	-	-	-
Total	38,968	110,516	64,985	(71,548)	26,017

	Pooled Grant	t-in-aid (all spo	orts) (2)		
Expense Description	FY18 Budget	FY18 Projected Actuals (3)	FY19 Budget	FY18 Budget to Projected Actuals Variance	FY19 Budget to FY18 Budget Variance
Salaries	-	-	-	-	-
Payroll Benefits	-	-	-	-	-
Communication Charges	-	325	-	(325)	-
Other Expense	100,000	29,765	88,205	70,235	(11,795)
Patient Care Costs	-	-	-	-	-
Plant Maintenance	-	4,837	-	(4,837)	-
Services	-	20,669	-	(20,669)	-
Student Costs	101,666	372,830	5,880,317	(271,164)	5,778,651
Grant-in-Aid				-	-
Supplies	32,000	16,878	-	15,122	(32,000)
Travel	-	-	-	-	- ′
Sport Enhancements (transfers)				-	-
Utilities	-	-	-	-	-
Total	233,666	445,304	5,968,522	(211,638)	5,734,856

Adm	Administration/Events/Other Operating (4)													
Expense Description	FY18 Budget	FY18 Projected Actuals (3)	FY19 Budget	FY18 Budget to Projected Actuals Variance	FY19 Budget to FY18 Budget Variance									
Salaries	3,437,359	3,285,735	3,598,196	151,624	160,837									
Payroll Benefits	1,254,693	840,878	975,393	413,815	(279,300)									
Communication Charges	34,829	42,928	51,253	(8,099)	16,424									
Other Expense	2,800,647	2,799,055	419,123	1,592	(2,381,524)									
Patient Care Costs	18,550	95,566	71,800	(77,016)	53,250									
Plant Maintenance	266,327	95,029	76,500	171,298	(189,827)									
Services	1,022,323	2,378,380	2,691,518	(1,356,057)	1,669,195									
Student Costs	1,157,654	331,852	-	825,802	(1,157,654)									
Supplies	179,113	179,113	644,631	1,228,760	(465,518)	1,049,647								
Travel	800,030	218,762	255,650	581,268	(544,380)									
Utilities	549,500	428,991	565,000	120,509	15,500									
Total	11,521,025	11,161,806	9,933,193	359,218	(1,587,831)									

Total 33,560,201 33,839,180 32,886,247 (278,979) (673,954)

<sup>(1)</sup> Does not include special events and bowl games

<sup>(2)</sup> A majority of grant-in-aid was pooled into one account for FY18, however has been broken out by sport based on actuals through 5/31/18. In FY19 grant-in-aid was budgeted in a pooled account, however will be allocated by sport based on actuals each semester the expenses post.

<sup>(3)</sup> Fiscal year to date on non-salary expenses through 5/31/18, however projects salaries and fringes through 6/30/18

<sup>(4)</sup> Includes Events Management, Special Events, Bowl Games, Championships, Gifts-in-Kind, Parking, Concessions, Ticket Office, Administration, Business Office, Leagues and Clubs, Compliance, Advisement, Facility Rentals, Sports Camps, Utilities, Student Assistance Funds, Life skills, LobocClub, Pre Season Training, Athletic Vans, Insurance, Marketing and Media Relations





## FY18 Budgeted Expenses and Projected Actuals by Sport Exhibit P21 (1)

									FY18 Revi	sed Budget											
Expense Description	Football	Men's Baseball	Women's Softball	Men's Basketball	Women's Basketball	Men's Soccer	Women's Soccer	Men's Golf	Women's Golf	Men's Tennis	Women's Tennis	Cross Country (M/W)	Track (M/W)	Women's Volleyball	Sand Volleyball	Skiing (M/W)	Swimming/ Diving	Spirit Program	Grant-In Aid (2)	Total	% of Total
Salaries	2,642,615	357,673	183,877	1,847,476	732,613	233,268	190,000	163,000	139,905	103,000	105,000	153,188	153,188	132,919	132,919	132,163	146,000	-		7,548,802	34.25%
Payroll Benefits	758,914	107,302	55,058	541,784	210,049	68,391	57,000	48,900	41,972	30,900	31,500	45,956	45,956	39,876	39,876	39,649	43,800	-	-	2,206,881	10.01%
Communication Charges	7,300	1,630	1,300	4,900	2,800	1,900	950	-	-	-	100	-	2,540	2,100	-	-	550	-	-	26,070	0.12%
Other Expense	69,785	4,980	10,028	79,613	20,064	13,175	8,897	1,290	1,021	809	2,086	9,482	9,482	32,456	5,000	165,098	2,194	612	100,000	536,071	2.43%
Patient Care Costs	20,500	-	-	10,000	3,500	-	-	-	-	1,000	-	-	-	-	-	-	-	-	-	35,000	0.16%
Plant Maintenance	-	-	-	6,000	500	-	-	-	-	-	-	-	-	-	-	-	-	-	-	6,500	0.03%
Services	887,279	-	39,304	759,164	328,846	42,661	22,462	-	4,200	9,406	7,000	6,710	6,710	49,877	-	-	525	6,856	-	2,171,000	9.85%
Student Costs	125,000	5,000	2,000	66,300	28,500	3,750	6,500	1,321	568	3,000	2,000	4,000	4,000	10,500	-	-	3,800	-	101,666	367,905	1.67%
Grant-in-Aid	1,724,282	233,545	238,818	275,374	239,448	213,722	304,393	97,381	113,191	53,071	157,622	314,034	314,034	229,360	38,957	137,010	192,740	-	-	4,876,981	22.13%
Supplies	329,655	132,905	21,023	69,950	71,800	9,725	3,300	2,692	8,428	15,248	15,367	15,519	15,519	17,600	-	-	17,500	11,000	32,000	789,230	3.58%
Travel	1,381,500	170,675	140,588	514,950	337,371	121,024	128,770	62,690	55,821	48,800	49,538	110,490	110,490	92,021	15,000	-	112,708	20,500	-	3,472,936	15.76%
Sport Enhancements (transfers)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.00%
Utilities	-	-	-	-	-	-	-	1,800	-	-	-	-	-	-	-	-	-	-	-	1,800	0.01%
Total	7,946,829	1,013,710	691,996	4,175,511	1,975,491	707,615	722,272	379,074	365,106	265,234	370,213	659,378	661,918	606,708	231,751	473,920	519,817	38,968	233,666	22,039,176	100.00%

					FY	/18 Project	ed Actuals (	FYTD thro	ugh 5/31/1	8 with proje	cted year-	end salary e	expense) (	3)							
Expense Description	Football	Men's Baseball	Women's Softball	Men's Basketball	Women's Basketball	Men's Soccer	Women's Soccer	Men's Golf	Women's Golf	Men's Tennis	Women's Tennis	Cross Country (M/W)	Track (M/W)	Women's Volleyball	Sand Volleyball	Skiing (M/W)	Swimming/ Diving	Spirit Program	Grant-In Aid (2)	Total	% of Total
Salaries	2,651,220	357,934	190,509	1,882,566	757,472	240,142	200,178	164,153	140,464	105,161	105,745	158,729	158,729	138,269	132,919	138,616	146,000	4,533	-	7,673,338	33.84%
Payroll Benefits	793,844	107,438	56,834	550,821	217,907	71,021	59,301	49,030	42,093	31,131	31,691	46,739	46,739	40,545	39,876	47,777	43,800	617	-	2,277,203	10.04%
Communication Charges	22,177	140	2,419	8,950	1,472	1,260	550	454	314	248	605	-	500	1,159	-	310	303	225	325	41,410	0.18%
Other Expense	49,836	6,171	4,002	33,591	13,263	2,545	2,352	1,026	1,278	1,275	1,245	3,795	3,795	2,460	546	2,387	1,938	1,526	29,765	162,796	0.72%
Patient Care Costs	40,748	-	-	9,128	245	510	1,524	-	-	-	75	642	642	-	-	-	-	-	-	53,513	0.24%
Plant Maintenance	109,135	-	6,705	15,901	7,070	-	-	-	-	532	-	-	5,505	15,264	3,000	7,524	-	300	4,837	175,774	0.78%
Services	760,671	26,164	10,993	632,165	276,513	24,947	16,830	4,431	15,809	18,482	14,953	26,129	26,129	38,732	3,150	7,491	918	11,332	20,669	1,936,507	8.54%
Student Costs	378,555	11,726	-	91,347	25,342	673	3,447	1,207	6,939	2,029	1,536	1,699	1,699	7,911	26	1,159	428	-	372,830	908,552	4.01%
Grant-in-Aid	1,724,282	233,545	238,818	275,374	239,448	213,722	304,393	97,381	113,191	53,071	157,622	314,034	314,034	229,360	38,957	137,010	192,740	-	-	4,876,981	21.51%
Supplies	332,344	115,980	35,254	101,184	39,347	25,925	11,253	13,167	11,822	19,399	13,440	32,068	32,068	15,683	505	29,999	31,195	18,141	16,878	895,652	3.95%
Travel	1,402,495	217,565	141,441	558,197	302,011	179,422	136,669	107,212	54,522	59,224	51,727	103,258	103,258	97,260	31,763	108,536	96,609	73,843	-	3,825,014	16.87%
Sport Enhancements (transfers) (4)		-	-	-	-	(66,782)	(15,318)	(58,191)	(7,430)	(14,378)	-	-	-	-	-	-	-	-	-	(162,099)	-0.71%
Utilities	9,658	-	-	730	1,325	-	-	510	510	-	-	-	-	-	-	-	-	-	-	12,733	0.06%
Total	8,274,965	1,076,664	686,975	4,159,954	1,881,415	693,385	721,178	380,381	379,512	276,172	378,638	687,093	693,097	586,643	250,741	480,810	513,930	110,516	445,304	22,677,374	100.00%
Variance	(328,136)	(62,954)	5,020	15,557	94,076	14,231	1,094	(1,306)	(14,406)	(10,938)	(8,425)	(27,715)	(31,179)	20,065	(18,990)	(6,889)	5,886	(71,548)	(211,638)	(638,197)	0.00%

<sup>(1)</sup> Does not include special events and bowl games

<sup>(2)</sup> A majority of grant-in-aid was pooled into one account, however has been broken out by sport based on actuals through 5/31/18

<sup>(3)</sup> Fiscal year to date on non-salary expenses through 5/31/18, however projects salaries and fringes through 6/30/18

<sup>(4)</sup> Account for transfers to sports enhancements accounts through 5/31/18, still pending additional transfers through the end of the fiscal year

## **Lobo Club Fundraising Information and Operating Budget**

	Total Fundraising	
Year	Total	
2016-17	\$14.8 million	
2017-18	\$6 million	*estimated
2018-19	\$7 million	*estimated
Total	\$27.8 million	

The Lobo Club raises funds through a variety of ways:
Lobo Scholarship fund
Gift-in-Kind

- Sport Enhancement Funds
- Major Private Giving
- Suites/Club Seat Sales
- Special Events
- \* Total fundraising on an annual basis is counted towards the University's Changing Worlds 2020 campaign. These amounts include cash gifts, pledges and documented bequest gifts.
- \*\* Two major naming rights gifts are reflected in the 2016-17 total.

Lobo Scho	larship Fund Transfer	
Year	Total	
2016-17	\$2.6 million	
2017-18	\$2.2 million	*estimated
2018-19	\$2.4 million	*estimated
Total	\$7.2 million	

## The Lobo Scholarship Fund:

- The grant-in-aid transfer to Athletics on an annual basis.
- Donations are tied to seating (FB, MBB, WBB) philanthropic giving and special event revenue.
- Recent assessment shows 75% 80% of giving is transactional tied to seating.
- A majority of the funds are received in the time period between May and October.
- The reduction in the 2017-18 total reflects the decrease in basketball season seat sales and negative publicity from the prior season.

Sport	Enhancement Funds	
Year	Total	
2016-17	\$828,236	
2017-18	\$667,135	*as of 3.31.18
2018-19	\$725,000	*estimated
Total	\$2,220,371	

## Sport Enhancement Funds:

- Funds privately raised by the individual sport programs and the Lobo Club.
- The purpose of these funds are to offset expenses and to enhance the sport programs.
- The funds are held by the Lobo Club and expended by sport programs with prior approval from department personnel (compliance office, business office, sport administrator and Director of Athletics).

# Pit Suite/Club Seat Revenue Year Total 2016-17 \$929,378 2017-18 \$856,518 \*as of 3.31.18 2018-19 \$700,000 \*estimated Total \$2,485,896

# Pit Suite and Club Seat Revenues:

- Funds generated by the sale of premium seating in Dreamstyle Arena.
- Funds are transferred to the Pit Renovation Fund.

# Year Total 2016-17 \$1,854,163.00 2017-18 \$1,820,700.00 2018-19 \$1,840,000.00 \*estimated Total \$5,514,863.00

## Gift-in-Kind:

• Community support given to UNM through the Lobo Club, such as goods and services intended to offset operating expenses.

# 2017-18 Lobo Club Operating Budget

OFENALING EXPENSES		Budget
Accounting and Auditing	ς,	30,000.00
Automobile	\$	1,000.00
Bank Charges/Credit Card Fees	\$	65,000.00
Board Stewardship	\$	2,500.00
Equipment	\$	1,000.00
Table Sponsorships	Υ>	5,000.00
Cultivation/Stewardship	s	80,000.00
Employee Recruitment	↔	2,000.00
Staff Travel	Ş	2,000.00
Insurance	\$	1,250.00
Chapter Development	\$	4,500.00
Postage	\$	10,000.00
Telephone	\$	7,000.00
Dues & Membership	\$	2,000.00
Miscellaneous	\$	7,000.00
Marketing	\$	15,000.00
Donor Software	\$	12,000.00
Office Supplies	\$	1,000.00
Website	↔	5,000.00
Ambassador Program	\$	13,000.00
Member Premiums & Benefits	\$	15,000.00
	49	281,250.00

\$ 394.00	1,000.00	\$	Equipment
\$ 146.00	2,000.00	· 45	Employee Recruitment
\$ 17,830.00	15,000.00	\$	Member Premiums & Benefits
\$ 11,078.00	10,000.00	\$	Ambassador Drive
\$ 3,625.00	5,000.00	\$	Website
\$ 672.00	1,000.00	\$	Office Supplies
\$ 12,000.00	12,000.00	\$	NeuLion
\$ 8,150.00	15,000.00	\$	Marketing/Solicitations
\$ 11,667.00	7,000.00	Ş	Miscellaneous
\$ 11.00	2,000.00	\$	Dues and Memberships
\$ 5,750.00	7,000.00	\$	Telephones/Cell Phone
\$ 8,778.00	10,000.00	\$	Postage
	4,500.00	ς,	Chapter Development
\$ 1,144.00	1,250.00	\$	Insurance
\$ 3,581.00	5,000.00	❖	Staff Traveling
\$ 56,538.00	80,000.00	\$	Cultivation and Stewardship
\$ 1,500.00	5,000.00	\$	Table Sponsorships
\$ 5,093.00	2,500.00	\$	Board Stewardship
\$ 53,031.00	65,000.00	\$	Bank Charges & CC Fees
\$ 412.00	1,000.00	\$	Automobile
\$ 29,010.00	30,000.00	\$	Accounting and Auditing
Actuals	Budget		2016-17 Lobo Club Operating Budget OPERATING EXPENSES

TOTALS

S

281,250.00

230,410.00

# Total Fundraising Breakdown

Total Fulldraising Breakdown FY 16/17	<b>FY 16</b>	717
Total	\$14.8	\$14.8 Million
LSF	↔	2,400,000.00
Sport Enhancements	€9	828,236.00
Pit Club Seats	↔	929,378.00
Gift In Kind	↔	1,854,163.00
Special Events	49	175,000.00
Major Giving	↔	8,650,000.00

# Lobo Scholarship Fund (LSF) Breakdown by Sport

	16/17 LSF Paid	2016 GIK Pledged Total	Total
FB Only	\$131,613.40	\$151.081.24	\$282 694 64
MBB Only	\$1 170 538 16	\$240 004 EZ	*101,001.01
		10.01.01	₩1, ±10,010.70
FB & MBB	\$1,085,239.13	\$1,223,964.40	\$1,702.514.37
			4
	\$2,396,390.69	\$1,615,327.21	\$3,405,028.74

	17/18 LSF Paid	2017 GIK Pledged Total	Total
FB Only	7.1	\$188,620,00	\$308 097 11
MBB Only	\$976.518.72	\$214 269 71	\$1 100 788 43
FB & MBB	\$954,646,46	\$1 828 489 35	- 1
		÷ ; 0±0, 100.00	- 1
	\$2,050,642.29	\$2,231,379.06	\$2,997,771.08

<sup>\*\*\*</sup> Numbers represent total giving to the scholarship fund by these groups.

<sup>\*\*\*</sup> Built in these numbers are amounts that are above and beyond giving from what is required



**University Controller** Financial Services, Main Campus

Phone: (505) 277-5111 FAX: (505) 277-7662

# **MEMORANDUM**

DATE:

May 23, 2018

TO:

David W. Harris

Executive Vice President for Administration

FROM:

Elizabeth Metzger, CPA

University Controller

RE:

Information Item for Board of Regents' Finance & Facilities Committee

Meeting

The Monthly Consolidated Financial Reports for the month ended April 30, 2018 will be presented at the June 5, 2018 Finance & Facilities Committee meeting.



# Monthly Financial Report Consolidated Total Operations Current Funds Fiscal Year to Date as of April 30, 2018

# **Contents**

Source of Funds

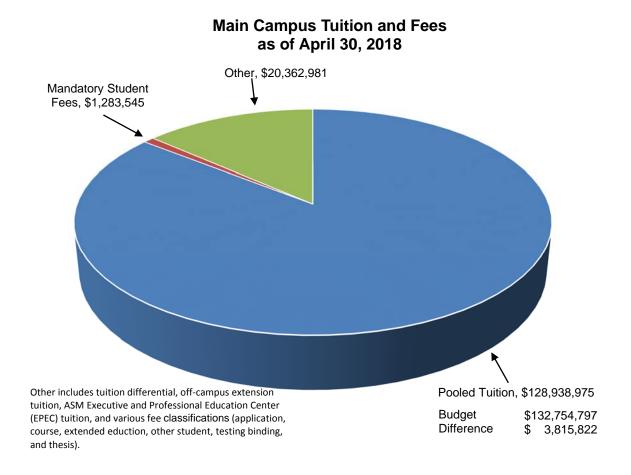
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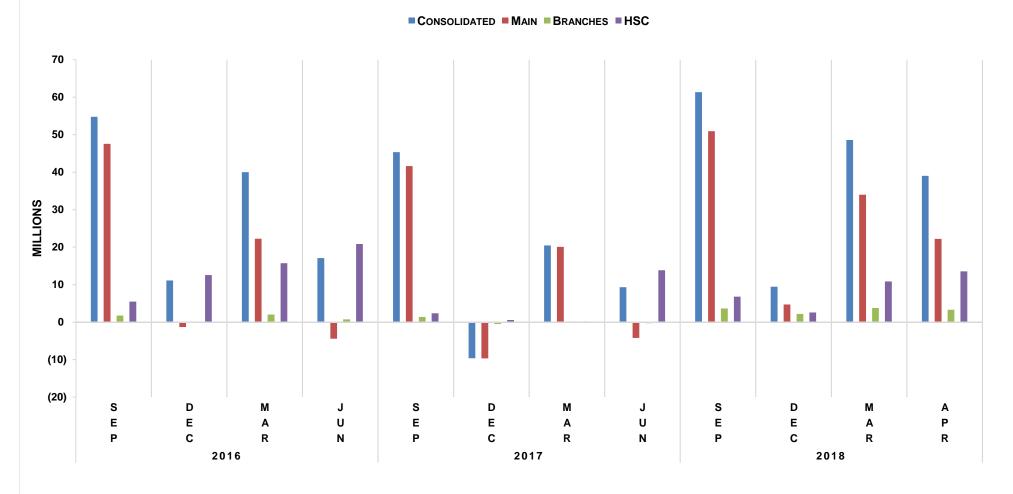
# Summary of Items in the Consolidated Financial Report As of April 30, 2018

Instruction and General (Consolidated page 8, Main Campus page 14): Tuition and Fees - The
graph below indicates the portion of Main Campuses I&G Tuition and Fee revenue that is pooled
and allocated to the departments. The remainder of I&G Tuition and Fee revenue distributed
directly to the units, EX: tuition differential, course fees.

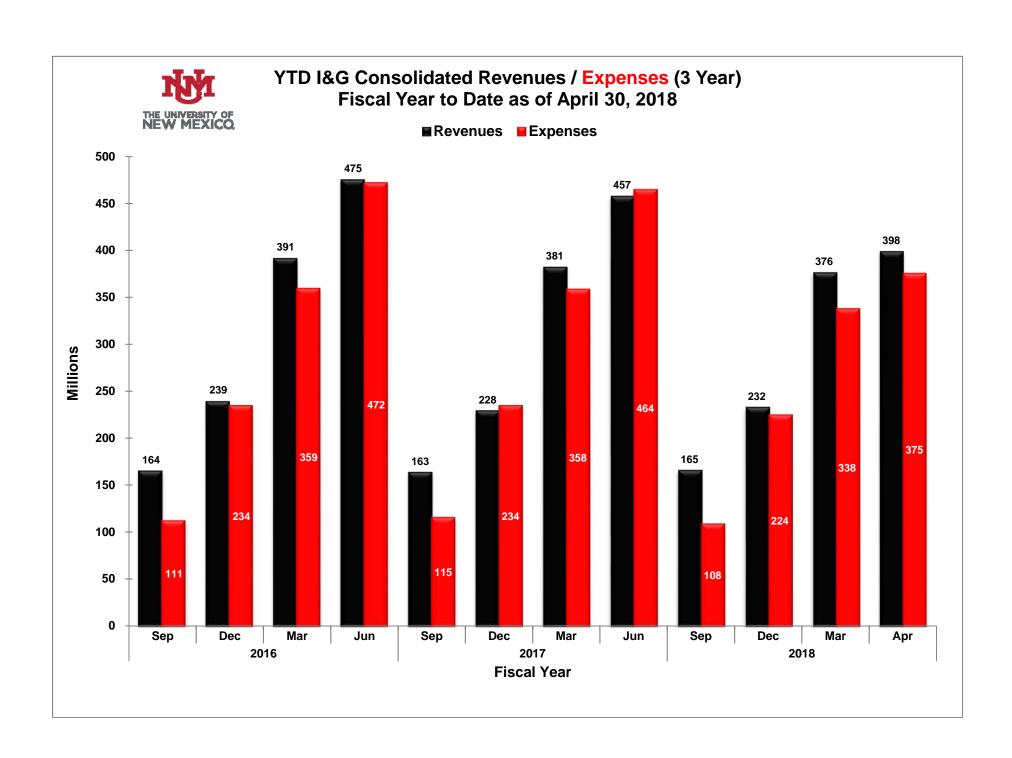




# CONSOLIDATED TOTAL OPERATIONS - 3 YEAR NET REVENUE / (EXPENSE) FISCAL YEAR TO DATE AS OF APRIL 30, 2018



**FISCAL YEAR** 



# Executive Budget Summary University of New Mexico Consolidated Financial Report FY 2018 UNM Revised Budget

This report covers current fund operations for the University, including Main Campus, Branch Campuses, and HSC Campus.

Instruction and General operations projects a use of reserves of \$4.1M for the FY 2018 UNM Revised Budget. The use of reserves of \$4.1M is comprised of \$1.0M use of reserves at the Main Campus, a \$2.4M use of reserves at the Branch Campuses, and a \$617K use of reserves at the HSC Campus. The \$617K use of reserves at the HSC Campus is primarily due to budgeting small portions of the hiring packages for two new Deans during FY 2018.

The next block of information shows the **Unrestricted Research** operations. The FY 2018 UNM Revised Budget projects a use of reserves of \$1.5M, of which Main Campus is projecting a favorable net margin of \$579K and HSC is projecting a \$2.0M use of reserves. At the HSC Campus, the \$2.0M use of reserves for non-recurring expenditures includes CTSC Scholar startup packages, equipment purchases and research supplies.

The third business category shown on the first page of this report is **Unrestricted Public Service**. The operations in this category include special projects funded by State Appropriations and non-endowed gifts flowing from the UNM Foundation to departments and many small events funded by user fees. The FY 2018 UNM Revised Budget projects a favorable net margin of \$4.8M. This favorable net margin is comprised of a combined favorable net margin of \$1.3M at Main and Branch Campuses and a \$3.5M favorable net margin at the HSC Campus. At the HSC Campus, the \$3.5M favorable net margin includes increased revenue due to an increase in private foundation gifts and contracts.

Page 2 of this report begins with the **Student Aid** function. The FY 2018 UNM Revised Budget projects a use of reserves of \$5.6M. These reserves are comprised of \$4.0M use of reserves at the Main and Branch Campuses and a use of reserves of \$1.6M at the HSC Campus.

**Student Activities** are the operations of Student Government and Student organizations. The FY 2018 UNM Revised Budget shows a use of reserves of \$590K.

## **Auxiliaries and Athletics**

The FY 2018 UNM Revised Budget for Auxiliaries and Athletics projects a use of reserves of \$81K.

**Sponsored programs** operations are our contract and grant research and public service projects funded by outside agencies and companies. These operations will always record a zero net revenue over expense by the nature of their funding. The agency pays for a service or research project, and normally any unspent funds must be returned to the agency.

The next block of numbers on the third page is a summary of our **Clinical Operations**. These operations are essentially all the patient care activities of the HSC Campus, including the UNM Hospitals, SOM physician professional services, Cancer Center operations and Housestaff/Medical Residents who are being trained in the UNM and VA hospitals. The FY 2018 UNM Revised Budget projects a favorable net margin of \$2.3M. UNM Hospitals budgeted a favorable net margin of \$179K. The School of Medicine budgeted a favorable net margin of \$2.2M, which is primarily due to increased outpatient clinical volume.

# **University of New Mexico - Consolidated Total Operations Current Funds**

	FY 2018 Full Year Revised Budget	FY 2018 Year-to-Date Actual	Fiscal YTD Favrbl/(Unfavrbl) Budget	Actual to Budget Benchmark Rate 83%	FY 2017 Year-to-Date Actual	FY 2018 YTD Actual Change From FY 2017 YTD Actual
Instruction and General						
Tuition and Fees Revenues						
Main Campus	155,173,179	150,585,501	(4,587,678)	97%	150,073,577	511,924
Branch Campuses	8,106,160	6,840,245	(1,265,915)	84%	7,642,784	(802,539)
HSC Campus	18,244,768	16,836,031	(1,408,737)	92%	15,918,289	917,742
Total Tuition and Fees Revenues	181,524,107	174,261,777	(7,262,330)	96%	173,634,650	627,127
State/Local Appropriations	263,711,082	219,821,089	(43,889,993)	83%	221,332,785	(1,511,696)
F & A Revenues	46,050,475	39,009,773	(7,040,702)	85%	36,642,254	2,367,519
Transfers	(61,015,573)	(52,770,356)	8,245,217	86%	(37,261,570)	(15,508,786)
Other Revenues	22,090,556	17,927,387	(4,163,169)	81%	18,572,305 (3	
Total Instruction and General Revenues	452,360,647	398,249,670	(54,110,977)	88%	412,920,424	(14,670,754)
Salaries	284,291,744	237,590,292	46,701,452	84%	250,127,287	12,536,995
Benefits	92,932,668	77,473,825	15,458,843	83%	80,115,909	2,642,084
Other Expenses	79,201,683	59,975,037	19,226,646	76%	66,672,942 (3	
Total Instruction and General Expenses	456,426,095	375,039,154	81,386,941	82%	396,916,138	21,876,984
Net Instruction and General Revenue/(Expense)	(4,065,448)	23,210,516	27,275,964		16,004,286	7,206,230
Research						
State/Local Appropriations	11,134,455	9,686,753	(1,447,702)	87%	9,540,450	146,303
Transfers	27,972,391	21,935,188	(6,037,203)	78%	20,317,732	1,617,456
Other Revenues	5,675,967	2,192,687	(3,483,280)	39%	3,526,564	(1,333,877)
Total Research Revenues	44,782,813	33,814,628	(10,968,185)	76%	33,384,746	429,882
Salaries and Benefits	26,734,013	19,575,830	7,158,183	73%	20,112,105	536,275
Other Expenses	19,502,431	13,470,133	6,032,298	69%	14,031,709	561,576
Total Research Expenses	46,236,444	33,045,963	13,190,481	71%	34,143,814	1,097,851
Net Research Revenue/(Expense)	(1,453,631)	768,665	2,222,296		(759,068)	1,527,733
Public Service						
State/Local Appropriations	3,435,250	2,862,708	(572,542)	83%	2,850,158	12,550
Sales and Services Revenues	31,693,657	21,443,334	(10,250,323)	68%	19,601,978	1,841,356
Gifts	13,681,179	14,003,030	321,851	102%	9,418,077	4,584,953
Transfers	(2,686,737)	(3,742,624)	(1,055,887)	139%	(6,392,118)	2,649,494
Other Revenues	9,393,540	4,152,028	(5,241,512)	44%	5,400,829	(1,248,801)
Total Public Service Revenues	55,516,889	38,718,476	(16,798,413)	70%	30,878,924	7,839,552
Salaries and Benefits	31,533,534	17,770,795	13,762,739	56%	14,885,532	(2,885,263)
Other Expenses	19,219,038	15,778,186	3,440,852	82%	16,590,544	812,358
Total Public Service Expenses	50,752,572	33,548,981	17,203,591	66%	31,476,076	(2,072,905)
Net Public Service Revenue/(Expense)	4,764,317	5,169,495	405,178		(597,152)	5,766,647

# **University of New Mexico - Consolidated Total Operations Current Funds**

	FY 2018 Full Year Revised Budget	FY 2018 Year-to-Date Actual	Fiscal YTD Favrbl/(Unfavrbl) Budget	Actual to Budget Benchmark Rate 83%	FY 2017 Year-to-Date Actual	FY 2018 YTD Actual Change From FY 2017 YTD Actual
Student Aid						
Gifts	7,346,429	7,149,971	(196,458)	97%	7,083,128	66,843
State Lottery Scholarship	20,406,700	20,406,700	-	100%	33,700,000	(13,293,300)
Transfers	19,226,459	14,595,517	(4,630,942)	76%	14,925,199	(329,682)
Other Revenues	2,104,967	2,687,244	582,277	128%	172,231	2,515,013
Total Student Aid Revenues	49,084,555	44,839,432	(4,245,123)	91%	55,880,558	(11,041,126)
Salaries and Benefits	4,407,585	3,538,045	869,540	80%	4,125,141	587,096
Other Expenses	50,288,182	45,710,078	4,578,104	91%	57,259,615	11,549,537
Total Student Aid Expenses	54,695,767	49,248,123	5,447,644	90%	61,384,756	12,136,633
Net Student Aid Revenue/(Expense)	(5,611,212)	(4,408,691)	1,202,521		(5,504,198)	1,095,507
Student Social & Cultural Programs						
Fee Revenues	8,219,774	7,772,244	(447,530)	95%	7,604,869	167,375
Sales and Services Revenues	1,024,363	1,090,673	66,310	106%	1,289,311	(198,638)
Transfers	420,770	233,462	(187,308)	55%	317,532	(84,070)
Other Revenues	526,570	162,385	(364,185)	31%	126,490	35,895
Total Student Social & Cultural Programs Revenues	10,191,477	9,258,764	(932,713)	91%	9,338,202	(79,438)
Salaries and Benefits	5,720,321	4,430,863	1,289,458	77%	4,716,496	285,633
Other Expenses	5,060,987	3,550,142	1,510,845	70%	3,487,684	(62,458)
Total Student Social & Cultural Programs Expenses	10,781,308	7,981,005	2,800,303	74%	8,204,180	223,175
Net Student Social & Cultural Programs Revenue/(Expense)	(589,831)	1,277,759	1,867,590		1,134,022	143,737
Auxiliaries and Athletics						
Branch Campuses Auxiliary Revenues	2,829,889	2,052,012	(777,877)	73%	1,853,513	198,499
Main Campus Auxiliaries Revenues	45,030,641	44,544,043	(486,598)	99%	47,673,796	(3,129,753)
Athletics Revenues	35,295,133	29,440,777	(5,854,356)	83%	32,832,767	(3,391,990)
Total Auxiliaries and Athletics Revenues	83,155,663	76,036,832	(7,118,831)	91%	82,360,076	(6,323,244)
Branch Campuses Auxiliary Expenses	2,192,570	1,415,794	776,776	65%	1,929,037	513,243
Main Campus Auxiliaries Expenses	45,749,055	39,627,623	6,121,432	87%	43,478,269	3,850,646
Athletics Expenses	35,295,133	30,858,957	4,436,176	87%	32,685,950	1,826,993
Total Auxiliaries and Athletics Expenses	83,236,758	71,902,374	11,334,384	86%	78,093,256	6,190,882
Net Auxiliaries and Athletics Revenue/(Expense)	(81,095)	4,134,458	4,215,553		4,266,820	(132,362)

#### **University of New Mexico - Consolidated Total Operations Current Funds**

	FY 2018 Full Year Revised Budget	FY 2018 Year-to-Date Actual	Fiscal YTD Favrbl/(Unfavrbl) Budget	Actual to Budget Benchmark Rate 83%	FY 2017 Year-to-Date Actual	FY 2018 YTD Actual Change From FY 2017 YTD Actual
Sponsored Programs						
Federal Grants and Contracts Revenues	272,352,574	208,138,093	(64,214,481)	76%	205,858,578	2,279,515
State and Local Grants and Contracts Revenues	35,235,152	24,840,062	(10,395,090)	70%	29,608,366	(4,768,304)
Non-Governmental Grants and Contracts Revenues	39,994,847	30,227,139	(9,767,708)	76%	31,647,961	(1,420,822)
Gifts	394,600	-	(394,600)	0%	-	-
Transfers	5,621,522	4,539,427	(1,082,095)	81%	4,234,837	304,590
Other Revenues		(666,613)	(666,613)	N/A	(1,145,777)	479,164
Total Sponsored Programs Revenues	353,598,695	267,078,108	(86,520,587)	76%	270,203,965	(3,125,857)
Salaries and Benefits	167,731,901	117,309,435	50,422,466	70%	118,272,938	963,503
Other Expenses	185,866,794	149,768,673	36,098,121	81%	151,931,027	2,162,354
Total Sponsored Programs Expenses	353,598,695	267,078,108	86,520,587	76%	270,203,965	3,125,857
Net Sponsored Programs Revenue/(Expense)	<u> </u>	-	<u> </u>		-	-
Clinical Operations						
State/Local Appropriations	25,017,010	20,882,976	(4,134,034)	83%	21,087,800	(204,824)
Physician Professional Fee Revenues	125,167,046	105,066,052	(20,100,994)	84%	101,442,794	3,623,258
Hospital Facility Revenues	919,303,739	779,166,348	(140,137,391)	85%	752,078,912	27,087,436
Other Patient Revenues, net of Allowance	161,615,985	132,223,390	(29,392,595)	82%	129,624,358	2,599,032
Mil Levy	99,276,634	81,897,196	(17,379,438)	82%	79,981,659	1,915,537
Investment Income	774,892	199,122	(575,770)	26%	50,953	148,169
Gifts	3,075,438	2,772,256	(303,182)	90%	3,283,227	(510,971)
Housestaff Revenues	37,655,871	31,723,413	(5,932,458)	84%	31,705,107	18,306
Transfers	(7,994,796)	(3,850,489)	4,144,307	48%	(10,030,082)	6,179,593
Other Revenues	42,091,081	40,611,978	(1,479,103)	96%	26,030,646	14,581,332
Total Clinical Operations Revenues	1,405,982,900	1,190,692,242	(215,290,658)	85%	1,135,255,374	55,436,868
Salaries and Benefits	776,140,948	646,274,130	129,866,818	83%	620,802,311	(25,471,819)
Interest Expense	3,794,247	3,161,873	632,374	83%	3,205,503	43,630
Housestaff Expenses	37,655,871	31,672,949	5,982,922	84%	31,507,151	(165,798)
Other Expenses	586,059,433	500,727,845	85,331,588	85%	481,176,526	(19,551,319)
Total Clinical Operations Expenses	1,403,650,499	1,181,836,797	221,813,702	84%	1,136,691,491	(45,145,306)
Net Clinical Operations Revenue/(Expense)	2,332,401 (1)	8,855,445 (2	6,523,044		(1,436,117)	10,291,562
Net Current Revenue/(Expense)	(4,704,499)	39,007,647	43,712,146		13,108,593	25,899,054

<sup>(1)</sup> **REVISED BUDGET** - Clinical Operations include the SOM Clinical Departments which have a budgeted consolidated net margin of \$2,153,894 and UNM Hospitals operations which has a budgeted net margin of \$178,507

<sup>(2)</sup> ACTUAL - Clinical Operations include the SOM Clinical Departments which currently have a consolidated net margin of \$1,425,524 and UNM Hospitals operations which currently has a net margin of \$7,429,921

<sup>(3)</sup> Includes prior year adjustment

#### **University of New Mexico - Main Campus Athletics & Auxiliary Operations**

	FY 2018 Full Year Revised Budget	FY 2018 Year-to-Date Actual	Fiscal YTD Favrbl/(Unfavrbl) Budget	Actual to Budget Benchmark Rate 83%	FY 2017 Year-to-Date Actual	FY 2018 YTD Actual Change From FY 2017 YTD Actual
Results of Athletics Operations:			·			
Athletics Revenues	34,779,688	28,266,661	(6,513,027)	81%	34,311,266	(6,044,605)
Athletics Transfers	515,445	1,174,116	658,671	228%	(1,478,499)	2,652,615
Total Athletics Revenues	35,295,133	29,440,777	(5,854,356)	83%	32,832,767	(3,391,990)
Athletics Expenses			, , , ,			
Salaries and Benefits	14,532,065	12,201,117	2,330,948	84%	12,550,932	349,815
Grant-in-Aid	4,942,719	4,742,142	200,577	96%	4,689,101	(53,041)
Other Expenses	15,820,349	13,915,698	1,904,651	88%	15,445,917	1,530,219
Total Athletics Expenses	35,295,133	30,858,957	4,436,176	87%	32,685,950	1,826,993
Total Net Athletics Revenue/(Expense)	-	(1,418,180)	(1,418,180)		146,817	(1,564,997)
Operations		(854,139)			(285,582)	(568,557)
Debt Service		(245,523)			(508,315)	262,792
Endowed Spending Accounts		(318,518)			940,714	(1,259,232)
		(1,418,180)			146,817	(1,564,997)
Results of Auxiliary Operations:						
VP for Institutional Support Services						
Bookstore Revenues	12,632,195	10,966,836	(1,665,359)	87%	12,299,897	(1,333,061)
Bookstore Transfers	(401,610)	(291,667)	109,943	73%	(291,667)	-
Total Bookstore Revenues	12,230,585	10,675,169	(1,555,416)	87%	12,008,230	(1,333,061)
Total Bookstore Expenses	12,430,585	10,479,547	1,951,038	84%	11,597,938	1,118,391
Net Bookstore Revenue/(Expense)	(200,000)	195,622	395,622		410,292	(214,670)
Faculty & Staff Club Revenues	47,850	40,635	(7,215)	85%	36,503	4,132
Faculty & Staff Club Expenses	68,244	44,277	23,967	65%	51,204	6,927
Net Faculty & Staff Club Revenue/(Expense)	(20,394)	(3,642)	16,752		(14,701)	11,059
Food Service/Dining Revenues	2,284,591	1,905,660	(378,931)	83%	1,911,842	(6,182)
Food Service/Dining Transfers	(1,174,000)	(49,667)	1,124,333	4%	(49,267)	(400)
Total Food Service/Dining Revenues	1,110,591	1,855,993	745,402	167%	1,862,575	(6,582)
Total Food Service/Dining Expenses	1,060,909	1,079,597	(18,688)	102%	1,154,440	74,843
Net Food Service/Dining Revenue/(Expense)	49,682	776,396	726,714		708,135	68,261
Golf Courses Revenues	2,463,042	1,690,940	(772,102)	69%	1,575,007	115,933
Golf Courses Transfers	(29,179)	(22,637)	6,542	78%	(20,981)	(1,656)
Total Golf Courses Revenues	2,433,863	1,668,303	(765,560)	69%	1,554,026	114,277
Total Golf Courses Expenses	2,433,863	1,928,587	505,276	79%	1,859,676	(68,911)
Net Golf Courses Revenue/(Expense)	-	(260,284)	(260,284)		(305,650)	45,366
Housing Revenues	10,956,877	12,027,828	1,070,951	110%	11,751,446	276,382
Housing Transfers	(5,647,267)	(4,468,908)	1,178,359	79%	(3,778,533)	(690,375)
Total Housing Revenues	5,309,610	7,558,920	2,249,310	142%	7,972,913	(413,993)
Total Housing Expense	5,309,610	6,258,761	(949,151)	118%	6,467,640	208,879
Net Housing Revenue/(Expense)		1,300,159	1,300,159		1,505,273	(205,114)

#### **University of New Mexico - Main Campus Athletics & Auxiliary Operations**

	FY 2018 Full Year Revised Budget	FY 2018 Year-to-Date Actual	Fiscal YTD Favrbl/(Unfavrbl) Budget	Actual to Budget Benchmark Rate 83%	FY 2017 Year-to-Date Actual	FY 2018 YTD Actual Change From FY 2017 YTD Actual
Lobo Cash Revenues	89,663	76,868	(12,795)	86%	70,913	5,955
Lobo Cash Expenses	89,663	61,103	(28,560)	68%	81,144	20,041
Net Lobo Cash Revenue/(Expense)		15,765	15,765		(10,231)	25,996
Other Revenues	1,770,000	1,131,083	(638,917)	64%	1,090,000	41,083
Other Transfers	(1,786,500)	(1,143,500)	643,000	64%	(1,350,000)	206,500
Total Other Revenues	(16,500)	(12,417)	4,083	75%	(260,000)	247,583
Total Other Expense	236,150	· · · · · · · · ·	236,150	0%	-	-
Net Other Revenue/(Expense)	(252,650)	(12,417)	240,233		(260,000)	247,583
Parking and Transportation Revenues	8,052,751	7,622,261	(430,490)	95%	7,593,965	28,296
Parking and Trans Transfers	(2,467,218)	(1,528,205)	939,013	62%	(1,591,139)	62,934
Total Parking and Trans Revenues	5,585,533	6,094,056	508,523	109%	6,002,826	91,230
Total Parking and Trans Expenses	5,835,533	4,863,896	971,637	83%	4,930,134	66,238
Net Parking and Trans Revenue/(Expense)	(250,000)	1,230,160	1,480,160		1,072,692	157,468
Popejoy Events Revenues	6,285,552	5,486,511	(799,041)	87%	7,613,618	(2,127,107)
Popejoy Events Transfers	15,000	12,121	(2,879)	81%	(11,525)	23,646
Total Popejoy Events Revenues	6,300,552	5,498,632	(801,920)	87%	7,602,093	(2,103,461)
Total Popejoy Events Expenses	6,300,552	5,480,025	820,527	87%	7,575,126	2,095,101
Net Popejoy Events Revenue/(Expense)	<u> </u>	18,607	18,607		26,967	(8,360)
Taos & Lawrence Ranch Revenues	62,000	62,351	351	101%	62,202	149
Taos & Lawrence Ranch Expenses	55,000	41,268	13,732	75%	51,993	10,725
Net Taos & Lawrence Ranch Revenue/(Expense)	7,000	21,083	28,083		10,209	10,874
Ticketing Services Revenues	963,795	1,060,334	96,539	110%	1,026,316	34,018
Ticketing Services Transfers	-	-	-	N/A	-	-
Total Ticketing Services Revenues	963,795	1,060,334	96,539	110%	1,026,316	34,018
Total Ticketing Services Expenses	963,795	875,062	88,733	91%	850,848	(24,214)
Net Ticketing Services Revenue/(Expense)	<u> </u>	185,272	185,272		175,468	9,804
Total VP for Institutional Support Services Revenues	34,117,542	34,578,844	461,302	101%	37,938,597	(3,359,753)
Total VP for Institutional Support Services Expenses	34,783,904	31,112,123	3,671,781	89%	34,620,143	3,508,020
or Institutional Support Services Revenue/(Expense)	(666,362)	3,466,721	4,133,083		3,318,454	148,267

#### **University of New Mexico - Main Campus Athletics & Auxiliary Operations**

	FY 2018 Full Year Revised Budget	FY 2018 Year-to-Date Actual	Fiscal YTD Favrbl/(Unfavrbl) Budget	Actual to Budget Benchmark Rate 83%	FY 2017 Year-to-Date Actual	FY 2018 YTD Actual Change From FY 2017 YTD Actual
VP for Student Affairs						
Student Health Center Revenues	7,445,326	6,977,102	(468,224)	94%	6,910,454	66,648
Student Health Center Expenses	7,534,165	6,106,137	1,428,028	81%	6,242,922	136,785
Net Student Health Center Revenue/(Expense)	(88,839)	870,965	959,804		667,532	203,433
Student Union Revenues	3,140,916	2,771,635	(369,281)	88%	2,597,507	174,128
Student Union Expenses	3,140,916	2,215,773	925,143	71%	2,359,599	143,826
Net Student Union Revenue/(Expense)	- <u> </u>	555,862	555,862		237,908	317,954
Total VP for Student Affairs Revenues	10,586,242	9,748,737	(837,505)	92%	9,507,961	240,776
Total VP for Student Affairs Expenses	10,675,081	8,321,910	2,353,171	78%	8,602,521	280,611
Net VP for Student Affairs Revenue/(Expense)	(88,839)	1,426,827	1,515,666		905,440	521,387
Provost and Other Units						
Art Museum Revenues	2,000	305	(1,695)	15%	1,220	(915)
Art Museum Expenses	2,000	3,216	(1,216)	161%	839	(2,377)
Net Art Museum Revenue/(Expense)	·	(2,911)	(2,911)		381	(3,292)
CE Conference Ctr Revenues	200,000	91,905	(108,095)	46%	188,197	(96,292)
CE Conference Ctr Transfers	36,787	36,787	· · · · · · · · · ·	100%	12,715	24,072
Total CE Conference Ctr Revenues	236,787	128,692	(108,095)	54%	200,912	(72,220)
Total CE Conference Ctr Expenses	200,000	122,817	77,183	61%	194,040	71,223
Net CE Conference Ctr Revenue/(Expense)	36,787	5,875	(30,912)		6,872	(997)
Maxwell Museum Revenues	33,070	35,746	2,676	108%	36,997	(1,251)
Maxwell Museum Expenses	33,070	12,538	20,532	38%	13,796	1,258
Net Maxwell Museum Revenue/(Expense)		23,208	23,208		23,201	7
Other Revenues	55,000	51,719	(3,281)	94%	(11,891)	63,610
Other Expenses	55,000	55,019	(19)	100%	46,930	(8,089)
Net Other Revenue/(Expense)	-	(3,300)	(3,300)		(58,821)	55,521
Total Provost and Other Units Revenues	326,857	216,462	(110,395)	66%	227,238	(10,776)
Total Provost and Other Units Expenses	290,070	193,590	96,480	67%	255,605	62,015
Net Provost and Other Units Revenue/(Expense)	36,787	22,872	(13,915)		(28,367)	51,239
Auxiliary Totals						
Total Auxiliary Revenues Total Auxiliary Expenses	45,030,641 45,749,055	44,544,043 39,627,623	(486,598) 6,121,432	99% 87%	47,673,796 43,478,269	(3,129,753) 3,850,646
Net Auxiliary Revenue/(Expense)	(718,414)	4,916,420	5,634,834		4,195,527	720,893
Net Athletics Revenue/(Expense)	<u> </u>	(1,418,180)	(1,418,180)		146,817	(1,564,997)
Net Auxiliary and Athletics Revenue/(Expense)	(718,414)	3,498,240	4,216,654		4,342,344	(844,104)
Net Branch Campuses Aux Revenue/(Expense)	637,319	636,218	(1,101)		(75,524)	711,742
Net All Auxiliary and Athletics Revenue/(Expense)	(81,095)	4,134,458	4,215,553		4,266,820	(132,362)
Net All Auxiliary and Aurieucs Nevenue/(Expense)	(61,095)	4,134,438	4,210,003		4,200,020	(132,302)

	FY 2018 Full Year	FY 2018 Year-to-Date	Fiscal YTD Favrbl/(Unfavrbl)	Actual to Budget Benchmark Rate	FY 2017 Year-to-Date	FY 2018 YTD Actual Change From
	Revised Budget	Actual	Budget	83%	Actual	FY 2017 YTD Actual
Instruction and General						
Tuition and Fees Revenues	155,173,179	150,585,501	(4,587,678)	97%	150,073,577	511,924
State/Local Appropriations	176,957,600	147,464,667	(29,492,933)	83%	148,806,250	(1,341,583)
F & A Revenues	21,250,475	16,552,569	(4,697,906)	78%	16,285,008	267,561
Transfers	(64,357,065)	(54,276,747)	10,080,318	84%	(42,347,774)	(11,928,973)
Other Revenues	17,232,366	13,710,391	(3,521,975)	80%	13,963,154 (3)	(252,763)
Total Instruction and General Revenues	306,256,555	274,036,381	(32,220,174)	89%	286,780,215	(12,743,834)
Salaries	191,656,251	159,947,751	31,708,500	83%	169,175,695	9,227,944
Benefits	63,665,207	53,373,241	10,291,966	84%	54,913,775	1,540,534
Other Expenses	51,958,501	41,828,890	10,129,611	81%	47,642,092 (3)	
Total Instruction and General Expenses	307,279,959	255,149,882	52,130,077	83%	271,731,562	16,581,680
Net Instruction and General Revenue/(Expense)	(1,023,404)	18,886,499	19,909,903		15,048,653	3,837,846
Research						
State/Local Appropriations	1,844,450	1,537,042	(307,408)	83%	1,552,792	(15,750)
Transfers	15,546,982	12,130,361	(3,416,621)	78%	11,529,794	600,567
Other Revenues	2,081,813	1,061,305	(1,020,508)	51%	1,721,301	(659,996)
Total Research Revenues	19,473,245	14,728,708	(4,744,537)	76%	14,803,887	(75,179)
Salaries and Benefits	10,682,079	8,276,992	2,405,087	77%	9,274,737	997,745
Other Expenses	8,212,554	6,320,533	1,892,021	77%	6,436,676	116,143
Total Research Expenses	18,894,633	14,597,525	4,297,108	77%	15,711,413	1,113,888
Net Research Revenue/(Expense)	578,612	131,183	(447,429)		(907,526)	1,038,709
Public Service						
State/Local Appropriations	3,183,250	2,652,708	(530,542)	83%	2,637,958	14,750
Sales and Services Revenues	7,671,527	6,979,838	(691,689)	91%	6,671,059	308,779
Gifts	8,500,212	9,183,846	683,634	108%	7,540,016	1,643,830
Transfers	(65,901)	(116,046)	(50,145)	176%	(798,285)	682,239
Other Revenues	7,241,594	3,275,318	(3,966,276)	45%	3,542,100	(266,782)
Total Public Service Revenues	26,530,682	21,975,664	(4,555,018)	83%	19,592,848	2,382,816
Salaries and Benefits	12,428,159	9,756,402	2,671,757	79%	10,407,765	651,363
Other Expenses	12,634,496	9,973,049	2,661,447	79%	9,839,590	(133,459)
Total Public Service Expenses	25,062,655	19,729,451	5,333,204	79%	20,247,355	517,904
Net Public Service Revenue/(Expense)	1,468,027	2,246,213	778,186		(654,507)	2,900,720
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Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format For the ten month ended April 30, 2018 Preliminary and Unaudited

	FY 2018 Full Year	FY 2018 Year-to-Date	Fiscal YTD Favrbl/(Unfavrbl)	Actual to Budget Benchmark Rate	FY 2017 Year-to-Date	FY 2018 YTD Actual Change From
	Revised Budget	Actual	Budget	83%	Actual	FY 2017 YTD Actual
Student Aid						
Private Grants/Gifts	5,277,487	5,571,977	294,490	106%	5,520,446	51,531
State Lottery Scholarships	20,406,700	20,406,700	-	100%	33,700,000	(13,293,300)
Transfers	17,561,551	13,695,639	(3,865,912)	78%	12,801,151	894,488
Other Revenues	2,087,967	2,671,698	583,731	128%	156,391	2,515,307
Total Student Aid Revenues	45,333,705	42,346,014	(2,987,691)	93%	52,177,988	(9,831,974)
Salaries and Benefits	2,618,286	2,250,492	367,794	86%	2,372,172	121,680
Other Expenses	46,714,413	43,859,085	2,855,328	94%	55,444,919	11,585,834
Total Student Aid Expenses	49,332,699	46,109,577	3,223,122	93%	57,817,091	11,707,514
Net Student Aid Revenue/(Expense)	(3,998,994)	(3,763,563)	235,431		(5,639,103)	1,875,540
Student Social & Cultural Programs						
Fee Revenues	7,987,274	7,565,510	(421,764)	95%	7,374,739	190,771
Sales and Services Revenues	968,034	1,029,319	61,285	106%	1,217,451	(188,132)
Transfers	461,295	298,987	(162,308)	65%	366,892	(67,905)
Other Revenues	524,570	161,829	(362,741)	31%	123,615	38,214
Total Student Social & Cultural Programs Revenues	9,941,173	9,055,645	(885,528)	91%	9,082,697	(27,052)
Salaries and Benefits	5,712,481	4,429,860	1,282,621	78%	4,710,762	280,902
Other Expenses	4,807,324	3,430,390	1,376,934	71%	3,222,986	(207,404)
Total Student Social & Cultural Programs Expenses	10,519,805	7,860,250	2,659,555	75%	7,933,748	73,498
Net Student Social & Cultural Programs Revenue/(Expense)	(578,632)	1,195,395	1,774,027		1,148,949	46,446
Auxiliaries						
Auxiliaries Revenues	45,030,641	44,544,043	(486,598)	99%	47,673,796	(3,129,753)
Athletics Revenues	35,295,133	29,440,777	(5,854,356)	83%	32,832,767	(3,391,990)
Total Auxiliaries Revenues	80,325,774	73,984,820	(6,340,954)	92%	80,506,563	(6,521,743)
Auxiliaries Expenses	45,749,055	39,627,623	6,121,432	87%	43,478,269	3,850,646
Athletics Expenses	35,295,133	30,858,957	4,436,176	87%	32,685,950	1,826,993
Total Auxiliaries Expenses	81,044,188	70,486,580	10,557,608	87%	76,164,219	5,677,639
Net Auxiliaries and Athletics Revenue/(Expense)	(718,414)	3,498,240	4,216,654		4,342,344	(844,104)

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format For the ten month ended April 30, 2018 Preliminary and Unaudited

	FY 2018 Full Year	FY 2018	Fiscal YTD	Actual to Budget	FY 2017	FY 2018 YTD Actual
	Revised Budget	Year-to-Date Actual	Favrbl/(Unfavrbl) Budget	Benchmark Rate 83%	Year-to-Date Actual	Change From FY 2017 YTD Actual
Sponsored Programs						
Federal Grants and Contracts Revenues	144,553,888	112,339,986	(32,213,902)	78%	117,679,283	(5,339,297)
State and Local Grants and Contracts Revenues	17,087,338	10,479,312	(6,608,026)	61%	14,900,088	(4,420,776)
Non-Governmental Grants and Contracts Revenues	12,600,000	10,591,031	(2,008,969)	84%	11,026,741	(435,710)
Gifts	-	-	-	N/A	-	-
Transfers	2,605,000	1,471,732	(1,133,268)	56%	1,688,094	(216,362)
Other Revenues	-	(654,567)	(654,567)	N/A	(1,146,914)	492,347
Total Sponsored Programs Revenues	176,846,226	134,227,494	(42,618,732)	76%	144,147,292	(9,919,798)
Salaries and Benefits	67,960,226	43,206,553	24,753,673	64%	46,599,214	3,392,661
Other Expenses	108,886,000	91,020,941	17,865,059	84%	97,548,078	6,527,137
Total Sponsored Programs Expenses	176,846,226	134,227,494	42,618,732	76%	144,147,292	9,919,798
Net Sponsored Programs Revenue/(Expense)	<u> </u>	<u> </u>			-	-
Net Current Revenue/(Expense)	(4,272,805)	22,193,967	26,466,772		13,338,810	8,855,157

<sup>(3)</sup> Includes prior year adjustment

	FY 2018 Full Year	FY 2018 Year-to-Date	Fiscal YTD Favrbl/(Unfavrbl)	Actual to Budget Benchmark Rate	FY 2017 Year-to-Date	FY 2018 YTD Actual Change From
	Revised Budget	Actual	Budget	83%	Actual	FY 2017 YTD Actual
Instruction and General						
Tuition and Fees Revenues	8,106,160	6,840,245	(1,265,915)	84%	7,642,784	(802,539)
State/Local Appropriations	27,615,042	23,012,535	(4,602,507)	83%	22,716,933	295,602
Transfers	(1,049,970)	(1,061,531)	(11,561)	101%	(3,357,271)	2,295,740
Other Revenues	504,101	871,163	367,062	173%	1,020,572	(149,409)
Total Instruction and General Revenues	35,175,333	29,662,412	(5,512,921)	84%	28,023,018	1,639,394
Salaries	21,063,717	16,601,383	4,462,334	79%	17,237,454	636,071
Benefits	6,843,585	5,236,050	1,607,535	77%	5,360,648	124,598
Other Expenses	9,693,152	5,312,751	4,380,401	55%	5,853,345	540,594
Total Instruction and General Expenses	37,600,454	27,150,184	10,450,270	72%	28,451,447	1,301,263
Net Instruction and General Revenue/(Expense)	(2,425,121)	2,512,228	4,937,349		(428,429)	2,940,657
Public Service						
State/Local Appropriations	-	-	-	N/A	-	
Sales and Services Revenues	399,448	303,014	(96,434)	76%	282,455	20,559
Gifts	179,025	191,796	12,771	107%	283,392	(91,596)
Transfers	74,490	74,489	(1)	100%	(1,184)	75,673
Other Revenues	28,861	151,468	122,607	525%	143,534	7,934
Total Public Service Revenues	681,824	720,767	38,943	106%	708,197	12,570
Salaries and Benefits	446,582	504,709	(58,127)	113%	423,533	(81,176)
Other Expenses	390,148	199,038	191,110	51%	220,809	21,771
Total Public Service Expenses	836,730	703,747	132,983	84%	644,342	(59,405)
Net Public Service Revenue/(Expense)	(154,906)	17,020	171,926		63,855	(46,835)
Student Aid						
Private Grants/Gifts	140,625	75,585	(65,040)	54%	68,704	6,881
Transfers	370,610	376,802	6,192	102%	361,256	15,546
Other Revenues	17,000	15,546	(1,454)	91%	15,840	(294)
Total Student Aid Revenues	528,235	467,933	(60,302)	89%	445,800	22,133
Salaries and Benefits	-	15,081	(15,081)	N/A	13,852	(1,229)
Other Expenses	589,610	389,626	199,984	66%	375,119	(14,507)
Total Student Aid Expenses	589,610	404,707	184,903	69%	388,971	(15,736)
Net Student Aid Revenue/(Expense)	(61,375)	63,226	124,601		56,829	6,397

FY 2018 Full Year	FY 2018 Year-to-Date	Fiscal YTD Favrbl/(Unfavrbl)	Actual to Budget Benchmark Rate	FY 2017 Year-to-Date	FY 2018 YTD Actual Change From
Revised Budget	Actual	Budget	83%	Actual	FY 2017 YTD Actual
232,500	206,734	(25,766)	89%	230,130	(23,396)
7,499	9,861	2,362	131%	13,064	(3,203)
			161%		(25,000)
					331
199,999	151,151	(48,848)	76%	202,419	(51,268)
7,840	1,003	6,837	13%	5,268	4,265
					153,176
175,879	80,697	95,182	46%	238,138	157,441
24,120	70,454	46,334		(35,719)	106,173
1,824,560	1,302,157	(522,403)	71%	1,628,540	(326,383)
140,000	117,157	(22,843)	84%	119,554	(2,397)
596,879	(20,000)	(616,879)	-3%	(62,500)	42,500
268,450	652,698	384,248	243%	167,919	484,779
2,829,889	2,052,012	(777,877)	73%	1,853,513	198,499
1,804,560	1,262,685	541,875	70%	1,398,122	135,437
140,000	103,029	36,971	74%	139,930	36,901
248,010	50,080	197,930	20%	390,985	340,905
2,192,570	1,415,794	776,776	65%	1,929,037	513,243
637,319	636,218	(1,101)		(75,524)	711,742
10,161,991	6,489,591	(3,672,400)	64%	6,708,537	(218,946)
2,033,198	717,589	(1,315,609)	35%	1,246,103	(528,514)
· · · · · -	425,875	425,875	N/A	(10,402)	436,277
394,600	-	(394,600)	0%	-	-
-	(8,439)	(8,439)	N/A	153,691	(162,130)
<u></u> _	(12,046)	(12,046)	N/A	1,137	(13,183)
12,589,789	7,612,570	(4,977,219)	60%	8,099,066	(486,496)
7,840,574	4,503,241	3,337,333	57%	5,126,579	623,338
4,749,215	3,109,329	1,639,886	65%	2,972,487	(136,842)
12,589,789	7,612,570	4,977,219	60%	8,099,066	486,496
				-	
(1,979,963)	3,299,146	5,279,109		(418,988)	3,718,134
	Full Year Revised Budget  232,500 7,499 (41,000) 1,000 199,999  7,840 168,039 175,879  24,120  1,824,560 140,000 596,879 268,450 2,829,889  1,804,560 140,000 248,010 2,192,570  637,319  10,161,991 2,033,198	Full Year Revised Budget         Year-to-Date Actual           232,500 7,499 (41,000) 1,000 1,000 1,000 1,000 1556 199,999 151,151         206,734 (66,000) (66,000) 1,000 1556 199,999 151,151           7,840 175,879 80,697         1,003 79,694 175,879 80,697           24,120 70,454         70,454           1,824,560 140,000 268,450 2,829,889 2,052,012         1,302,157 140,000 117,157 596,879 (20,000) 268,450 652,698 2,829,889 2,052,012           1,804,560 140,000 248,010 2,192,570 1,415,794         1,262,685 140,000 103,029 248,010 50,080 2,192,570 1,415,794           637,319 636,218         636,218 10,161,991 2,033,198 717,589 425,875 394,600 	Full Year Revised Budget         Year-to-Date Actual         Favrbl/(Unfavrbl) Budget           232,500         206,734         (25,766)           7,499         9,861         2,362           (41,000)         (66,000)         (25,000)           1,000         556         (444)           199,999         151,151         (48,848)           7,840         1,003         6,837           168,039         79,694         88,345           175,879         80,697         95,182           24,120         70,454         46,334           1,824,560         1,302,157         (522,403)           140,000         117,157         (22,843)           596,879         (20,000)         (616,879)           268,450         652,698         384,248           2,829,889         2,052,012         (777,877)           1,804,560         1,262,685         541,875           140,000         103,029         36,971           248,010         50,080         197,930           2,192,570         1,415,794         776,776           637,319         636,218         (1,101)           10,161,991         6,489,591         (3,672,400)	Full Year Revised Budget         Year-to-Date Actual         Favrbl/(Unfavrbl) Budget         Benchmark Rate 83%           232,500         206,734         (25,766)         89%           7,499         9,861         2,362         131%           (41,000)         (66,000)         (25,000)         161%           1,000         556         (4444)         56%           199,999         151,151         (48,848)         76%           7,840         1,003         6,837         13%           186,039         79,694         88,345         47%           175,879         80,697         95,182         46%           24,120         70,454         46,334         46%           1,824,560         1,302,157         (522,403)         71%           140,000         117,157         (22,843)         84%           268,450         652,698         384,248         243%           2,829,889         2,052,012         (777,877)         73%           1,804,560         1,262,685         541,875         70%           1,804,560         1,262,685         541,875         70%           1,40,000         103,029         36,971         74%           2,19	Full Year         Year-to-Date Actual         Favrbl/(Unfavrbi) Budget         Benchmark Rate 83%         Year-to-Date Actual           232,500         206,734         (25,766)         89%         230,130           7,499         9,861         2,362         131%         13,064           (41,000)         (66,000)         (25,000)         161%         (41,000)           1,000         556         (444)         56%         225           199,999         151,151         (48,688)         76%         202,419           7,840         1,003         6,837         13%         5,268           168,039         79,694         88,345         47%         232,870           175,879         80,697         95,182         46%         238,138           24,120         70,454         46,334         (35,719)           1,824,560         1,302,157         (522,403)         71%         1,628,540           140,000         117,157         (22,843)         84%         119,554           56,879         (20,000)         (616,879)         -3%         (62,500)           2,829,889         2,052,012         (777,877)         73%         1,853,512           1,804,560         1,26

## **Health Sciences Center - Total Operations Current Funds**

Year-to-Date Actual  16,836,031 49,343,887 22,457,204 2,567,922 3,345,833 94,550,877  61,041,158 18,864,534	Favrbl/(Unfavrbl) Budget  (1,408,737) (9,794,553) (2,342,796) (1,823,540) (1,008,256) (16,377,882)  10,530,618	92% 83% 91% 58% 77% 85%	Year-to-Date Actual 15,918,289 49,809,602 20,357,246 8,443,475 3,588,579 98,117,191	Change From FY 2017 YTD Actual 917,742 (465,715) 2,099,958 (5,875,553) (242,746)
16,836,031 49,343,887 22,457,204 2,567,922 3,345,833 94,550,877 61,041,158	(1,408,737) (9,794,553) (2,342,796) (1,823,540) (1,008,256) (16,377,882)	92% 83% 91% 58% 77%	15,918,289 49,809,602 20,357,246 8,443,475 3,588,579	917,742 (465,715) 2,099,958 (5,875,553)
49,343,887 22,457,204 2,567,922 3,345,833 94,550,877 61,041,158	(9,794,553) (2,342,796) (1,823,540) (1,008,256) (16,377,882)	83% 91% 58% 77%	49,809,602 20,357,246 8,443,475 3,588,579	(465,715) 2,099,958 (5,875,553)
49,343,887 22,457,204 2,567,922 3,345,833 94,550,877 61,041,158	(9,794,553) (2,342,796) (1,823,540) (1,008,256) (16,377,882)	83% 91% 58% 77%	49,809,602 20,357,246 8,443,475 3,588,579	(465,715) 2,099,958 (5,875,553)
22,457,204 2,567,922 3,345,833 94,550,877 61,041,158	(2,342,796) (1,823,540) (1,008,256) (16,377,882)	91% 58% 77%	20,357,246 8,443,475 3,588,579	2,099,958 (5,875,553)
2,567,922 3,345,833 94,550,877 61,041,158	(1,823,540) (1,008,256) (16,377,882)	58% 77%	8,443,475 3,588,579	(5,875,553)
3,345,833 94,550,877 61,041,158	(1,008,256) (16,377,882)	77%	3,588,579	( , , , ,
94,550,877 61,041,158	(16,377,882)			(242.746)
61,041,158	( , , ,	85%	98,117,191	
	10 530 619			(3,566,314)
19 964 534		85%	63,714,138	2,672,980
	3,559,342	84%	19,841,486	976,952
12,833,396	4,716,634	73%	13,177,505	344,109
92,739,088	18,806,594	83%	96,733,129	3,994,041
1,811,789	2,428,712		1,384,062	427,727
8,149,711	(1,140,294)	88%	7,987,658	162,053
354,055	(45,623)	89%	356,851	(2,796)
	(2,620,582)	79%	8,787,938	1,016,889
	(2,417,149)	24%	1,448,412	(671,085)
19,085,920	(6,223,648)	75%	18,580,859	505,061
	4,753,096	70%	10,837,368	(461,470)
				445,433
18,448,438	8,893,373	67%	18,432,401	(16,037)
) 637,482	2,669,725		148,458	489,024
210,000	(42,000)	83%	212,200	(2,200)
	(9,462,200)	60%	12,648,464	1,512,018
			, ,	3,032,719
	(1,005,741)	137%	(5,592,649)	1,891,582
				(989,953)
16,022,045	(12,282,338)	57%	10,577,879	5,444,166
	11,149,109	40%	4,054,234	(3,455,450)
		91%		924,046
13,115,783	11,737,404	53%	10,584,379	(2,531,404)
2,906,262	(544,934)		(6,500)	2,912,762
3 53 63 17 3 02 6 5 3 17	8,149,711 3	3)     1,811,789     2,428,712       3)     8,149,711     (1,140,294)       4)     354,055     (45,623)       6)     9,804,827     (2,620,582)       777,327     (2,417,149)       6)     19,085,920     (6,223,648)       8     11,298,838     4,753,096       9     7,149,600     4,140,277       18,448,438     8,893,373       3)     637,482     2,669,725       10     210,000     (42,000)       12     14,160,482     (9,462,200)       13     4,627,388     (374,554)       13     725,242     (1,397,843)       14     5,606,099     588,295       13,115,783     11,737,404	8)       1,811,789       2,428,712         6       8,149,711       (1,140,294)       88%         8       354,055       (45,623)       89%         9       9,804,827       (2,620,582)       79%         6       777,327       (2,417,149)       24%         8       19,085,920       (6,223,648)       75%         8       11,298,838       4,753,096       70%         7       7,149,600       4,140,277       63%         8       637,482       2,669,725         8       637,482       2,669,725         9       2,669,725         10       210,000       (42,000)       83%         12       14,160,482       (9,462,200)       60%         13       4,627,388       (374,554)       93%         13       (1,005,741)       137%         13       16,022,045       (12,282,338)       57%         13       7,509,684       11,149,109       40%         14       5,606,099       588,295       91%         13,115,783       11,737,404       53%	3)       1,811,789       2,428,712       1,384,062         6       8,149,711       (1,140,294)       88%       7,987,658         3       354,055       (45,623)       89%       356,851         9       9,804,827       (2,620,582)       79%       8,787,938         6       7777,327       (2,417,149)       24%       1,448,412         8       19,085,920       (6,223,648)       75%       18,580,859         4       11,298,838       4,753,096       70%       10,837,368       7,595,033         7       7,149,600       4,140,277       63%       7,595,033         1       18,448,438       8,893,373       67%       18,432,401         8)       637,482       2,669,725       148,458         9)       210,000       (42,000)       83%       212,200         2       14,160,482       (9,462,200)       60%       12,648,464         2       4,627,388       (374,554)       93%       1,594,669         3       725,242       (1,397,843)       34%       1,715,195         3       16,022,045       (12,282,338)       57%       10,577,879         3       7,509,684       11,149,109 <th< td=""></th<>

## **Health Sciences Center - Total Operations Current Funds**

	FY 2018 Full Year Revised Budget	FY 2018 Year-to-Date Actual	Fiscal YTD Favrbl/(Unfavrbl) Budget	Actual to Budget Benchmark Rate 83%	FY 2017 Year-to-Date Actual	FY 2018 YTD Actual Change From FY 2017 YTD Actual
Student Aid						
Gifts	1,928,317	1,502,409	(425,908)	78%	1,493,978	8,431
Investment Income	· · · · -	, , , <u>-</u>	-	N/A	-	· -
Transfers	1,294,298	523,076	(771,222)	40%	1,762,792	(1,239,716)
Other Revenues	-	· -	-	N/A	-	-1
Total Student Aid Revenues	3,222,615	2,025,485	(1,197,130)	63%	3,256,770	(1,231,285)
Salaries and Benefits	1,789,299	1,272,472	516,827	71%	1,739,117	466,645
Other Expenses	2,984,159	1,461,367	1,522,792	49%	1,439,577	(21,790)
Total Student Aid Expenses	4,773,458	2,733,839	2,039,619	57%	3,178,694	444,855
Net Student Aid Revenue/(Expense)	(1,550,843)	(708,354)	842,489		78,076	(786,430)
Student Social & Cultural Programs						
Fee Revenues	-	-	-	N/A	-	-
Sales and Services Revenues	48,830	51,493	2,663	105%	58,796	(7,303)
Transfers	475	475	-	100%	(8,360)	8,835
Other Revenues	1,000	-	(1,000)	0%	2,650	(2,650)
Total Student Social & Cultural Programs Revenues	50,305	51,968	1,663	103%	53,086	(1,118)
Salaries and Benefits	·	<u>.</u>	<u>-</u>	N/A	466	466
Other Expenses	85,624	40,058	45,566	47%	31,828	(8,230)
Total Student Social & Cultural Programs Expenses	85,624	40,058	45,566	47%	32,294	(7,764)
Net Student Social & Cultural Programs Revenue/(Expense)	(35,319)	11,910	47,229		20,792	(8,882)
Sponsored Programs						
Federal Grants and Contracts Revenues	117,636,695	89,308,516	(28,328,179)	76%	81,470,758	7,837,758
State and Local Grants and Contracts Revenues	16,114,616	13,643,161	(2,471,455)	85%	13,462,175	180,986
Non-Governmental Grants and Contracts Revenues	27,394,847	19,210,233	(8,184,614)	70%	20,631,622	(1,421,389)
Gifts	-	-	-	N/A	-	-
Other Revenues			-	N/A		
Transfers	3,016,522	3,076,134	59,612	102%	2,393,052	683,082
Total Sponsored Programs Revenues	164,162,680	125,238,044	(38,924,636)	76%	117,957,607	7,280,437
Salaries and Benefits	91,931,101	69,599,641	22,331,460	76%	66,547,145	(3,052,496)
Other Expenses	72,231,579	55,638,403	16,593,176	77%	51,410,462	(4,227,941)
Total Sponsored Programs Expenses	164,162,680	125,238,044	38,924,636	76%	117,957,607	(7,280,437)
Net Sponsored Programs Revenue/(Expense)		-			-	-

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format For the ten month ended April 30, 2018 Preliminary and Unaudited

#### **Health Sciences Center - Total Operations Current Funds**

	FY 2018 Full Year	FY 2018 Year-to-Date	Fiscal YTD Favrbl/(Unfavrbl)	Actual to Budget Benchmark Rate	FY 2017 Year-to-Date	FY 2018 YTD Actual Change From
	Revised Budget	Actual	Budget	83%	Actual	FY 2017 YTD Actual
Clinical Operations						
State/Local Appropriations	25,017,010	20,882,976	(4,134,034)	83%	21,087,800	(204,824)
Physician Professional Fee Revenues	125,167,046	105,066,052	(20,100,994)	84%	101,442,794	3,623,258
Hospital Facility Revenues	919,303,739	779,166,348	(140,137,391)	85%	752,078,912	27,087,436
Other Patient Revenues, net of Allowance	161,615,985	132,223,390	(29,392,595)	82%	129,624,358	2,599,032
Mil Levy	99,276,634	81,897,196	(17,379,438)	82%	79,981,659	1,915,537
Investment Income	774,892	199,122	(575,770)	26%	50,953	148,169
Gifts	3,075,438	2,772,256	(303,182)	90%	3,283,227	(510,971)
Housestaff Revenues	37,655,871	31,723,413	(5,932,458)	84%	31,705,107	18,306
Transfers	(7,994,796)	(3,850,489)	4,144,307	48%	(10,030,082)	6,179,593
Other Revenues	42,091,081	40,611,978	(1,479,103)	96%	26,030,646	14,581,332
Total Clinical Operations Revenues	1,405,982,900	1,190,692,242	(215,290,658)	85%	1,135,255,374	55,436,868
Salaries and Benefits	776,140,948	646,274,130	129,866,818	83%	620,802,311	(25,471,819)
Interest Expense	3,794,247	3,161,873	632,374	83%	3,205,503	43,630
Housestaff Expenses	37,655,871	31,672,949	5,982,922	84%	31,507,151	(165,798)
Other Expenses	586,059,433	500,727,845	85,331,588	85%	481,176,526	(19,551,319)
Total Clinical Operations Expenses	1,403,650,499	1,181,836,797	221,813,702	84%	1,136,691,491	(45,145,306)
Net Clinical Operations Revenue/(Expense)	2,332,401 (1)	8,855,445 (2)	6,523,044		(1,436,117)	10,291,562
Net Current Revenue/(Expense)	1,548,269	13,514,534	11,966,265		188,771	13,325,763

<sup>(1)</sup> **REVISED BUDGET** - Clinical Operations include the SOM Clinical Departments which have a budgeted consolidated net margin of \$2,153,894 and UNM Hospitals operations which has a budgeted net margin of \$178,507

<sup>(2)</sup> ACTUAL - Clinical Operations include the SOM Clinical Departments which currently have a consolidated net margin of \$1,425,524 and UNM Hospitals operations which currently has a net margin of \$7,429,921

Statements of Revenues, Expenses and Changes in Net Assets - UNM Regents Format For the ten month period ended April 30 , 2018 Preliminary and Unaudited

#### **Detail of State/Local Appropriations**

# **Consolidated - Total Operations Current Funds**

	FY 2018 Full Year Adopted Budget	FY 2018 Year-to-Date Actual	Fiscal YTD Favrbl/(Unfavrbl) Budget	Actual to Budget Benchmark Rate 83%
Instruction and General				
Instruction & General Appropriations	252,878,300	210,747,550	(42,130,750)	83%
State Special Project Appropriations	1,134,400	945,334	(189,066)	83%
Tobacco Settlement Appropriations	1,026,040	901,254	(124,786)	88%
Mill Levy	8,672,342	7,226,951	(1,445,391)	83%
Total Instruction and General Appropriations	263,711,082	219,821,089	(43,889,993)	83%
Research				
State Special Project Appropriations	6,331,150	5,275,942	(1,055,208)	83%
Tobacco Settlement Appropriations	889,330	781,171	(108,159)	88%
Cigarette Tax Appropriations	3,913,975	3,629,640	(284,335)	93%
Total Research Appropriations	11,134,455	9,686,753	(1,447,702)	87%
Public Service				
State Special Project Appropriations	3,435,250	2,862,708	(572,542)	83%
Total Public Service Appropriations	3,435,250	2,862,708	(572,542)	83%
Clinical Operations				
State Special Project Appropriations	24,219,900	20,182,816	(4,037,084)	83%
Tobacco Settlement Appropriations	797,110	700,160	(96,950)	88%
Total Clinical Operations Appropriations	25,017,010	20,882,976	(4,134,034)	83%

#### **Detail of State/Local Appropriations**

	FY 2018 Full Year Adopted Budget	FY 2018 Year-to-Date Actual	Fiscal YTD Favrbl/(Unfavrbl) Budget	Actual to Budget Benchmark Rate 83%
Instruction and General				
Instruction & General Appropriations	175,823,200	146,519,333	(29,303,867)	83%
State Special Project Appropriations				
African American Student Services	66,800	55,667	(11,133)	83%
Degree Mapping	68,800	57,333	(11,467)	83%
Disabled Student Services	176,100	146,750	(29,350)	83%
ENLACE	58,800	49,000	(9,800)	83%
Hispanic Student Center	145,100	120,917	(24,183)	83%
Minority Graduate Recruitment	108,800	90,667	(18,133)	83%
Native American Studies Intervention	327,000	272,500	(54,500)	83%
Pre-College Minority Student Math &Science	183,000	152,500	(30,500)	83%
Total State Special Project Appropriations	1,134,400	945,334	(189,066)	83%
Total Instruction and General Appropriations	176,957,600	147,464,667	(29,492,933)	83%
Research State Special Project Appropriations				
Center for Regional Studies (SW Research Ctr)	906,850	755,708	(151,142)	83%
Manufacturing Engineering	515,500	429,583	(85,917)	83%
Morrisey Hall	43,600	36,334	(7,266)	83%
Resource Geographic Information System	60,800	50,667	(10,133)	83%
Utton Transboundary Resource Center	317,700	264,750	(52,950)	83%
Total State Special Project Appropriations	1,844,450	1,537,042	(307,408)	83%
Total Research Appropriations	1,844,450	1,537,042	(307,408)	83%
Public Service				
State Special Project Appropriations				
Bureau of Business Research (Census)	353,000	294,167	(58,833)	83%
College Prep Mentoring/School of Law	110,700	92,250	(18,450)	83%
College Prepatory Mentoring	157,400	131,166	(26,234)	83%
Corrine Wolfe Law Center/Child Abuse Training	157,700	131,417	(26,283)	83%
Family Development Program	521,800	434,832	(86,968)	83%
ISTEC	44,700	37,250	(7,450)	83%
Judicial Selection	21,000	17,500	(3,500)	83%
KNME-TV	1,080,200	900,167	(180,033)	83%
Land Grant Studies Program	120,900	100,750	(20,150)	83%
N. M. Historical Review	44,000	36,667	(7,333)	83%
SFAO / Student Activities Administration	50,000	41,667	(8,333)	83%
Southwest Indian Law Clinic	190,500	158,750	(31,750)	83%
Spanish Colonial Research Center (SW Research Ctr)	136,450	113,708	(22,742)	83%
Spanish Resource Center Substance Abuse Program	38,400	32,000	(6,400)	83%
Wildlife Law Education	68,100 88,400	56,750 73,667	(11,350) (14,733)	83% 83%
Total State Special Project Appropriations	3,183,250	2,652,708	(530,542)	83%
Total Public Service Appropriations	3,183,250	2,652,708	(530,542)	83%

Statements of Revenues, Expenses and Changes in Net Assets - UNM Regents Format For the ten month period ended April 30 , 2018 Preliminary and Unaudited

#### **Detail of State/Local Appropriations**

	FY 2018 Full Year Adopted Budget	FY 2018 Year-to-Date Actual	Fiscal YTD Favrbl/(Unfavrbl) Budget	Actual to Budget Benchmark Rate 83%
Instruction and General				
Instruction & General Appropriations				
Gallup	8,599,200	7,166,000	(1,433,200)	83%
Los Alamos	1,710,400	1,425,333	(285,067)	83%
Valencia	5,135,200	4,279,334	(855,866)	83%
Taos	3,497,900	2,914,917	(582,983)	83%
Total Instruction & General Appropriations	18,942,700	15,785,584	(3,157,116)	83%
Mill Levy				
McKinley County	2,480,000	2,066,667	(413,333)	83%
Los Alamos County	1,342,600	1,118,833	(223,767)	83%
Valencia County	2,891,900	2,409,916	(481,984)	83%
Taos County	1,957,842	1,631,535	(326,307)	83%
Total Mill Levy	8,672,342	7,226,951	(1,445,391)	83%
Total Branch Appropriations	27,615,042	23,012,535	(4,602,507)	83%

# Detail of State/Local Appropriations Health Sciences Center - Total Operations Current Funds

	FY 2018 Full Year Adopted Budget	FY 2018 Year-to-Date Actual	Fiscal YTD Favrbl/(Unfavrbl) Budget	Actual to Budget Benchmark Rate 83%
Instruction and General	50.440.400	40.440.000	(0.000.707)	2001
Instruction & General Appropriations	58,112,400	48,442,633	(9,669,767)	83%
Tobacco Settlement Appropriations Instruction & General	551,680	486,678	(65,002)	88%
Pediatric Specialty Education	237,180	207,288	(29,892)	87%
Trauma Specialty Education	237,180	207,288	(29,892)	87%
Total Tobacco Settlement Appropriations	1,026,040	901,254	(124,786)	88%
Total Instruction and General Appropriations	59,138,440	49,343,887	(9,794,553)	83%
Research				
State Special Project Appropriations				
Cancer Center	2,469,500	2,057,900	(411,600)	83%
Hepatitis C, Project ECHO	2,017,200	1,681,000	(336,200)	83%
Total State Special Project Appropriations	4,486,700	3,738,900	(747,800)	83%
Tobacco Settlement Appropriations				
Genomics, Biocomputing, Environmental Health	889,330	781,171	(108,159)	88%
Total Tobacco Settlement Appropriations	889,330	781,171	(108,159)	88%
Cigarette Tax Revenues	3,913,975	3,629,640	(284,335)	93%
Total Research Appropriations	9,290,005	8,149,711	(1,140,294)	88%
Public Service				
State Special Project Appropriations				
Center for Native American Health	252,000	210,000	(42,000)	83%
Total State Special Project Appropriations	252,000	210,000	(42,000)	83%
Total Public Service Appropriations	252,000	210,000	(42,000)	83%
Clinical Operations				
State Special Project Appropriations				
Newborn Intensive Care Unit	3,074,300	2,561,700	(512,600)	83%
Office of the Medical Investigator	4,707,200	3,922,600	(784,600)	83%
Pediatric Oncology	1,196,100	996,700	(199,400)	83%
Poison and Drug Info Center	1,456,200	1,213,400	(242,800)	83%
Native American Suicide Prevention GME Residencies	91,400 1,658,200	76,200 1,381,800	(15,200) (276,400)	83% 83%
UNM Hospitals	12,036,500	10,030,416	(2,006,084)	83%
Total State Special Project Appropriations	24,219,900	20,182,816	(4,037,084)	83%
Tobacco Settlement Appropriations			-	
Pediatric Oncology	237,180	208,330	(28,850)	88%
Poison and Drug Info Center	559,930	491,830	(68,100)	88%
Total Tobacco Settlement Appropriations	797,110	700,160	(96,950)	88%
Total Clinical Operations Appropriations	25,017,010	20,882,976	(4,134,034)	83%

#### FY18 UNM Debt Service Schedule

As of April 30, 2018	*Includes Hospital Debt
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AS OF APRIL 30, 2018							includes Hospital I	Debt
UNM Bond Issue		Fixed or Variable Rate Issue	Original Issue Amount	Outstanding Principal Balance on June 30, 2017	Principal Payment due on June 1, 2018	Interest Payment paid on December 1, 2017	Interest Payment due on June 1, 2018	FY 2018 Principal & Interest
Sub Lien System Improvement Revenue Bonds, Series 2017  (7) Series 2017: Interest Range 3.25% to 5.0%  Final Maturity Year 2047		Fixed Rate	\$40,900,000	\$40,900,000	\$315,000	\$1,347,224	\$997,944	\$2,660,168
Sub Lien System Rfdg Revenue & Improvement Bonds <sup>(7)</sup> Series 2016 A: Interest Range 2.0% to 4.5% Final Maturity Year 2046		Fixed Rate	\$160,290,000	\$157,450,000	\$1,005,000	\$3,100,000	\$3,100,000	\$7,205,000
Sub Lien System Rfdg Revenue Bonds <sup>(8)</sup> Series 2016 B: Interest Range .72% to 2.48% Final Maturity Year 2024		Fixed Rate	\$8,215,000	\$7,870,000	\$915,000	\$76,598	\$76,598	\$1,068,196
(1) GNMA Collateralized Taxable Hospital Revenue Bonds* Series 2015: Interest Range .484% to 3.532% Final Maturity Year 2032		Fixed Rate	\$115,000,000	\$103,425,000	\$5,605,000 (due 6/20/2018)	\$1,560,311 ) (due 12/20/2017)	\$1,560,311 (due 6/20/2018)	\$8,725,623
Sub Lien System Imp Revenue Bonds <sup>(4)</sup> Series 2014 A: Interest Range 3.0% to 5.0% Final Maturity Year 2033		Fixed Rate	\$10,980,000	\$7,195,000	\$3,500,000	\$153,725	\$153,725	\$3,807,450
Sub Lien System Rfdg Revenue Bonds <sup>(9)</sup> Series 2014 B: Interest Range 0.496% to 3.280% Final Maturity Year 2024		Fixed Rate	\$3,710,000	\$2,635,000	\$370,000	\$34,915	\$34,915	\$439,829
Sub Lien System Rfdg Revenue Bonds <sup>(9)</sup> <b>Series 2014 C</b> : Interest Range 1.5% to 5.0% Final Maturity Year 2035		Fixed Rate	\$100,085,000	\$92,855,000	\$590,000	\$2,321,375	\$2,321,375	\$5,232,750
Sub Lien System Imp Revenue Bonds  (3) Series 2012: Interest Range 2.0% to 5.0% Final Maturity Year 2032		Fixed Rate	\$35,215,000	\$27,715,000	\$1,525,000	\$658,775	\$658,775	\$2,842,550
Sub Lien System Imp Revenue Bonds (portion refunded 03/08/2016) Series 2007 A&B: Interest Range 4.096% to 5.302% Final Maturity Year 2036		Fixed Rate	\$7,010,000	\$2,920,000	\$1,430,000	\$58,400	\$58,400	\$1,546,800
Sub Lien Sys Rfdg Revenue Bonds  (2) Series 2002 B: Variable Rate Demand Bonds - rates reset weekly Weekly rate as of June 30, 2014 was 0.05% Final Maturity Year 2026		Variable Rate	\$25,475,000	\$16,150,000	\$1,345,000	\$309,273	\$309,273	\$1,963,545
Sub Lien System Rfdg Revenue Bonds  (2) Series 2002 C: Variable Rate Demand Bonds - rates reset weekly Weekly rate as of June 30, 2014 was 0.05%  Final Maturity Year 2030		Variable Rate	\$37,840,000	\$31,475,000	\$1,030,000	\$620,058	\$620,058	\$2,270,115
Sub Lien System Imp Revenue Bonds <sup>(2)</sup> Series 2001: Variable Rate Demand Bonds - rates reset weekly Weekly rate as of June 30, 2014 was 0.07% Ceiling of 12% Final Maturity Year 2026		Variable Rate	\$52,625,000	\$26,390,000	\$2,465,000	\$527,800	\$527,800	\$3,520,600
System Revenue Bonds Series 2000 B: Interest Range 5.50% to 6.35% Final Maturity Year 2019		Fixed Rate	\$6,621,671	\$538,733	\$356,923	\$0	\$758,077	\$1,115,000
System Revenue Rfdg Bonds Series 1992 A: Interest Range 6.0% to 6.25% Final Maturity Year 2021		Fixed Rate	\$36,790,000	\$9,410,000	\$2,320,000	\$282,300	\$282,300	\$2,884,600
Note: See attached matrix for funding sources.	Grand Total		\$640,756,671	\$526,928,733	\$22,771,923	\$11,050,753	\$11,459,550	\$45,282,226

(1) Source: UNM Hospital - UNM Hospital Principal payment is due on June 20; interest payments are due on December 20 and June 20.
(2) Variable Rate bonds reflect the actual synthetically fixed interest rate that UNM pays.

It is noted that all ranges of interest rates and final maturity dates are reflective of Serial bonds.

<sup>(3)</sup> Series 2012 bonds refunded 2002A bonds,

<sup>(4)</sup> Series 2014A bonds refunded 2003A, 2003B bonds,

<sup>(5)</sup> Series 2014B bonds refunded 2003C bonds,

<sup>(6)</sup> Series 2014C bonds refunded 2005A bonds,

<sup>(7)</sup> Series 2016A bonds refunded a portion of 2007A bonds, March 2016

<sup>(8)</sup> Series 2016B bonds refunded a portion of 2007B bonds, March 2016

#### FY18 UNM Debt Service - Source of Funds

As of April 30, 2018

Student Fees- Facility

Student Fees - IT Parking Services **UNM Hospital** Bookstore Housing & Dining Services Building R&R Real Estate Department Physical Plant Department Information Technologies Athletics KNME Opto Bldg (CHTM Res Park) CRTC Continuing Education Golf Course - North & South HSC Interest on Reserve Funds

Student Health and Counseling (SHAC)

					Ø								/
				Series 2 (mm.)									
	Series 2	Series	<b>168</b>	T. COM	Series	Series		<b>%</b> /	Series Series	Series Page		Series	300
Veries 3							Series 25				Series		Series
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# Summary Meeting Report (Not Approved Minutes) Regents' Audit and Compliance Committee May 17, 2018

The **Regents' Audit and Compliance Committee (Committee)** held a Regular Meeting on May 17, 2018.

#### **ACTION ITEMS:**

The Committee approved the minutes from March 15, 2018.

The Committee unanimously approved the following UNM Hospital audits:

- UNM Health System Timely Documentation Report, Report 2018-07
- UNMMG Clinic Medication Administration and Billing, Report 2018-04
- UNMMG Guarantor Set-up and Billing, Report 2018-05

The Committee unanimously approved the following UNM audits:

- Review of UNM Chrome River, Report 2017-11
- Audit of Athletics Administration, Report 2017-15

#### **INFORMATION ITEMS:**

• Entrance Conference with External Audit Firms. Moss Adams and KPMG presented the FY18 Financial Statements' Audit Entrance Conference.

Lisa Todd, Partner, Moss Adams, introduced her team for the audit. They are auditing main campus and non-clinical operations. This is Ms. Todd's second year in the role of audit partner on this audit. Mark McComb presented the team members for KPMG, stating he is based in San Francisco, but was the concurring partner on this audit last year. KPMG will audit the clinical operations. Chairman Clifford inquired as to what the concurring partners do. Mr. McComb stated they look at all the key judgements and estimates and the key audit areas that they conclude on. They read the financial statements and provide comments.

Each team talked about the scope and approach to the audit. They focus on specific material audit risks, based on multiple factors. The audit is performed in phases. They begin with internal controls, gain an understanding to determine how much they can rely on the controls, and determine if they are designed and are operating effectively. There is a lot of time spent on IT work. They bring in IT specialists. They also look at compliance and controls over Federal grant requirements. They conclude their work with final audit testing, testing June 30, 2018 balances and financial statement disclosures, and providing an audit opinion regarding whether the financial statements for all units, areas, and branches are materially correct. They use governmental auditing standards and uniform guidance to guide the audit. The auditors detailed in depth what they will look at and also detailed the single audit review process.

Chairman Clifford stated he has had questions from the Hospital's Board of Trustees regarding the structure of the audit. The legal relationships among the entities are confusing, especially regarding how to explain and understand the transactions between them. He stated he needs to be comfortable that the scope includes this; he is not sure it has been tested in the past to the extent he would like for it to be this year. Mr. McComb replied they do not anticipate that it

would require a lot of extra effort to include the queries, and UNM's Internal Audit is doing a lot of the heavy lifting on that.

Chairman Clifford asked the State Auditor's Office (SAO) about changes to the 2018 State Audit Rule. The Deputy Auditor reported there should be only minor changes, and they have already been in contact with Ms. Todd regarding rule changes. Chairman Clifford also asked the SAO about timeliness of this year's audit. The Deputy Auditor responded they believe they have some solutions to speed up the process. Ms. Todd stated they are aware that the SAO completed their audit of Athletics and that they are doing a follow up audit this year. The audit team will work with them directly to stay on top of it throughout the audit process. The SAO stated they are very interested in what has been done to correct deficiencies.

Chairman Clifford stated there have been issues – certainly with regard to some of the component units. There is a complicated structure in terms of how UNM manages its finances. Ms. Metzger replied that as they identify issues in departments or areas of the University, they do their best to correct control issues that may be occurring or recommend solutions. Chairman Clifford stated he is not sure if UNM as an entity is addressing the structure of how to do this.

David Harris, Executive Vice President for Administration stated he agrees with that and it may be more of a legal analysis (in particular with Research Park corporations) than a financial analysis. We would need to find out if we want to standardize the structures and if we would gain effectiveness from that. It is something that would come from the Regents. Regent Clifford agreed, but he said he was also speaking about internal budget units. He would like a better understanding of who is managing the books and how, assessing the risks, and how we could better organize internally.

• Main Campus Chief Compliance Officer Status Report. Libby Washburn, Chief Compliance Officer provided the Committee with her Status Report that includes the 2018 Compliance Plan, current as of this month. Ms. Washburn provided an updated schedule of DOJ deliverables, and a progress report on Minors on Campus including tracking the information and background checks done through UNM Human Resources (HR).

Regent Hosmer asked if there is anything being done within the University community to publish hotline activity and results. Ms. Washburn stated they have provided presentations to some individual units around campus, but it is not published on the website. Regent Hosmer stated he would like to do whatever possible to publicize it University-wide.

• Director of Internal Audit Status Report. Director Patel provided his Internal Audit Director's Report including the status of audits on the FY18 audit plan. The department recently lost a staff member, and is in the process of filling that position. This year, Mr. Patel requested reinstatement of a prior Auditor 2 position and the Department will post the position soon. The Chairman noted an anticipated shortfall in FY19. Mr. Patel replied there is no shortfall for FY19; the department should have a fund balance at the end of FY18 due to vacancy savings. The additional position that was approved will be funded 50% from additional general pool funds and 50% from FY18 reserves for FY19. Mr. Patel updated the Committee on the status of external audits, most of which are audits of grants and contracts.

The meeting adjourned at 1:59 PM.

# Tab 8

# Dr. Malm's Administrative on UNM-Gallup Campus

(materials will be presented at the meeting)



# Board of Regents Report UNM Alumni Association & Alumni Relations Office June 12, 2018

#### **Strategic Goals**

Goal: Maximize alumni and student engagement

#### **Student Engagement**

- New Grad Bash, May 10, Karen Abraham Courtyard
- May 2 Free Grad Photos at the U 61 grads participated with 8 volunteers
- May 5 Dental Hygiene Graduation Celebration at Hodgin Hall 103 participants

#### **Alumni Engagement**

- Regional Chapters and Alumni Outreach Calendar
  - June 7-8 Annual Regional and Constituent Chapter Leaders Meeting and Training, includes Recruitment Workshop, Campus Tour, Thank You Reception
  - Atlanta Chapter

May 10 – Tour of Centers for Disease Control and Prevention, 22 attended

June 16 – Wine in My Belly @ Sun in My Belly, summer cooking

Austin Chapter

July 22- Ice Cream Social

Chicago Chapter

June 2 - Bats and Brews at Chicago White Sox, 15 attended

June 23 – Architecture River Cruise

Denver Chapter

June 1 - Alumni Night at the Colorado Rockies, 25 attended

Dallas/Fort Worth Chapter

June 22- Happy Hour in Las Colinas, Mi Cocina

Los Angeles Chapter

July 14 – Hollywood Bowl

San Diego Chapter

June 23 – Happy Hour at Ballast Point Brewery, Miramar

July 29 - San Diego Padres Game

Washington, DC Chapter

June 16 – Dana Tai Soon Burgess event with College of Fine Arts

- Campus and Constituent Chapters/Groups
  - Black Alumni Chapter

Black Alumni Chapter Officers announced.

Honors Alumni Chapter - New Honors Graduate Reception - June 21 at Matanza

SAP Alumni

May 8 - Welcome New Grads event, Bosque Brewing – 36 attendees June 22 – AIA Reception at Murphy Burnham & Buttrick, New York

• Golden Grads and Heritage Club

May 11-12 – Class of 1968 inducted into the Heritage Club (anyone who graduated 50 or more years from UNM). Robing, Campus Tour, Department Convocations and General Commencement. 23 attended various events, 17 at General Commencement

Lobo Living Room

August 30 – UNM Men's and Women's Golf

#### May 17-26. UNM Alumni Travel:

## Earth & Planetary Sciences Alumni Field Trip, Grand Canyon, AZ

24 UNM Geology alumni and guests from all over the United States traveled to the Grand Canyon for a 9-day raft trip down the Colorado River. UNM Distinguished Professor, Karl Karlstrom, and Professor Laura Crossey from Earth and Planetary Sciences lead the geology tour though the Grand Canyon. A seminar was held each night informing the group about geological layers, recent controversies of the age of the canyon and rock stratum, and new Grand Canyon discoveries. Alums and guests hiked to places that revealed noted rock layers and were also involved in the daily field work of collecting water samples and identifying geological layers.

#### **Greater Albuquerque Area Alumni Association**

#### May 8. UNM Baseball tailgate and game

31 Albuquerque area alumni came out to support the UNM Baseball team take on the NM Aggies. A fun tailgate was followed by the Lobo baseball game.

June 7. UNM Regional Chapter meeting. GAAAC will host a special social and dinner reception for all UNM Regional Chapter leaders on the evening of June 7 at the Albuquerque Press Club.

Goal: Increase alumni advocacy for UNM
2018 GO Bond campaign for Higher Education. This year's GO Bond for Higher Education is Bond D. The Lobos for Legislation committee will play a role in creating awareness and passing the GO Bond.