



## **BOARD OF REGENTS**

### **Agenda eBook**

**October 16, 2018  
9:00 AM Open Session  
Ballroom C, Student Union Building**

**The Board of Regents of the University of New Mexico**  
**Meeting in Open Session and Executive Session**  
**October 16, 2018, 9:00 AM**  
**Open Session - Student Union Building (SUB), Ballroom C**  
**Closed Session-luncheon, Cherry Silver Room, SUB**

**AGENDA**

TAB

- I. Call to Order, Confirmation of a Quorum, Adoption of the Agenda  
*Regent President Rob Doughty* ..... 1
- II. Approval of Minutes: September 11, 2018 regular meeting ..... 2
- Public Comment – comments related to items on the agenda (limit 3 min.)  
Regent Advisors – comments related to agenda items (limit 3 min.)  
Comments from Regents
- III. The President’s Administrative Report, *President Garnett S. Stokes* ..... 3
- IV. Action Item: Board Proclamation Commemorating UNM-Gallup Branch Campus 50-Year Anniversary ..... 4
- V. Regent Committee Reports

**Consent Docket**

*(Action items on Regent’s Committee agendas may move to the Board of Regents’ agenda as consent items; the below items are placed on the consent docket by the respective committee chairs; items on the consent docket received unanimous approval in committee; per Regents’ Policy 1.2, “Any member of the Board of Regents shall have the right upon request to remove any item from the Board’s consent agenda and place the item on the Board’s regular agenda for discussion.”)*

1. Finance and Facilities Committee (F&F) Consent Item, Regent Marron Lee, Chair  
a. Approval of Disposition of Surplus Property for Main Campus for September 2018.....C-1

**Audit and Compliance Committee, Regent Tom Clifford, Chair**

**Information Items**

1. Meeting Summary Report, August 23, 2018 Meeting..... 5  
*Manu Patel, Director, Internal Audit*

**Academic/Student Affairs and Research Committee (ASAR), Regent Rob Doughty, Chair**

**Action Items**

1. Summer 2018 Degree Candidates, *Pamela Pyle, Faculty Senate President*..... 6
2. Honorary Degree Candidates (This item is moved forward from the April 5, 2018 ASAR meeting)..... 7  
(This item will be discussed in closed session due to the necessity to keep candidate names confidential until such time any proposed candidate(s) are approved and have subsequently accepted the honorary degree.)

**Health Sciences Center Committee (HSCC), Regent Marron Lee, Chair**

**Information Items**

1. HSC Financial Update *Ava Lovell, Exec. Officer for Finance and Administration, HSC* ..... 8
2. UNM Health Sciences Rio Rancho Campus Update, *Jamie Silva-Steele, CEO, SRMC* ..... 9

**Finance and Facilities Committee (F&F), Regent Marron Lee, Chair**

**Action Item**

1. Approval of FY18 Main, HSC, and Branch Campuses, Categorization of Reserves Report, Pursuant to UAP 7000 (This item was moved forward without recommendation from the committee.)..... 10  
*Norma Allen, Director, OPBA; Nicole Dopson, Director, Financial Operations; Vahid Staples, Budget Officer OPBA; Ava Lovell, Senior Executive Officer, HSC*

## Information Items

2. Monthly Athletics Report on Revenue, Expenditures and Compliance ..... 11  
*Eddie Nuñez, VP Athletics; Rob Robinson, Sr. Assoc. Dir. Athletics*
  - a. Information on Scholarships and Title IX Compliance
3. Update Regarding 2018-2019 Enrollment Data and Tuition and Fee Implications..... 12  
*Terry Babbitt, Chief of Staff, President's Office*
4. Discussion on Use of Reserves as Mitigation for Tuition Shortfall/BAR, *Rich Wood, Interim Provost* ..... 13
5. Faculty Salary/Retention Update, *Rich Wood, Interim Provost*..... 14

Public Comment – comments not related to items on the agenda (limit 3 min. per speaker)

- VI. Advisors' Comments (limit 3 min.) ..... 15
- VII. Vote to Close the Meeting and Proceed in Executive Session (Cherry Silver Room)
  1. Honorary Degree Candidates – discussion
  2. Discussion and determination where appropriate of potential purchase, acquisition, or disposal of real property, as permitted by Section 10-15-1.H(8), NMSA (1978)
  3. Discussion, and determination where appropriate, of threatened or pending litigation as permitted by Section 10-15-1.H(7), NMSA (1978)
  4. Discussion of "limited personnel matters" as defined in and permitted by Section 10-15-1.H(2), NMSA (1978)
    - a. Discussion of Goals and Metrics for the University President, Garnett Stokes, Ph.D.
  5. Discussion, and determination where appropriate, of strategic and long-range business plans or trade secrets of public hospitals, as permitted by Section 10-15-1.H(9), NMSA (1978), as amended
- VIII. Vote to re-open the meeting and certification that only those matters described in Agenda Items VII. were discussed in Closed Session, and if necessary, final action on matters discussed in Executive Session that are required to be taken in Open Session, as follows:
  - a. Final Action on Honorary Degree Candidate(s) - (names to be kept confidential until prospective recipients accept the degree)
  - b. Final Action, if any, on personnel matters described in Agenda Item VII.4 above; and
  - c. Action on UNM Hospital addendum to the agreement with Huron Consulting
- IX. Adjourn

**Minutes of the Regular Meeting of the Board of Regents of the University of New Mexico**

**September 11, 2018**

**Student Union Building (SUB) – Main Campus**

**Open Session - Ballroom C**

**Executive Session – Cherry Silver Room**

Members present

Garrett Adcock; Michael Brasher; Tom Clifford, Secretary/Treasurer; Rob Doughty, President; Brad Hosmer; Marron Lee, Vice President; Suzanne Quillen

Administration present

Garnett S. Stokes, President; Paul Roth, Chancellor for Health Sciences; David Harris, EVP for Administration, COO, CFO; Rich Wood, Interim Provost and EVP for Academic Affairs; Alfred Mathewson, Interim Chief Legal Counsel; Ava Lovell, HSC Sr. Exec. Officer of Finance and Administration; Liz Metzger, University Controller; Dana Allen, VP Alumni Relations; Lawrence Roybal, Interim VP for Equity and Inclusion; Eddie Nuñez, Athletics Director; Tim Gutierrez, AVP Student Services for Cheo Torres, VP Student Affairs; Dorothy Anderson, VP HR; Terry Babbitt, Interim Chief of Staff to the President; Cinnamon Blair, Chief University Marketing and Communications Officer and Interim Deputy Chief of Staff; Gabriel Lopez, VP Research; Francie Cordova, Interim Chief Financial Officer and Director of OEO; Michael Richards, Vice Chancellor, Clinical Affairs, HSC

Regents' Advisors present

Rob Burford, Staff Council President; Pamela Pyle, Faculty Senate President; Becka Myers, ASUNM President; Boney Mutabazi, GPSA President; Steve Borbas, President, Retiree Association; Laurie Moye, Chair, UNM Foundation Board of Trustees

Presenters in attendance

Francie Cordova, Interim Chief Compliance Officer and Director of OEO; Chamiza Pacheco de Alas, Exec. Project Director, Office of the Chancellor, HSC; Rob Robinson, CFO, Athletics; Nicole Dopson, Director of Financial Operations; Henry Nemcik, Pres. & CEO, UNM Foundation; Kenny Stansbury, CFO, UNM Foundation; Tim McEnery, Associate Partner, AON Hewitt; Tom Neale, Director, Real Estate

Others in attendance

Members of administration, faculty, staff, students, the media and others.

CALL TO ORDER, CONFIRMATION OF A QUORUM, ADOPTION OF THE AGENDA

Regent President Rob Doughty called the meeting to order at 9:11 AM. A quorum was confirmed with all seven members present, in person.

Before the agenda was adopted, the following modifications were put forward:

- 1) Regent Marron Lee clarified the first Finance and Facilities Committee information item, Monthly Athletics Report, would be presented.
- 2) Regent Suzanne Quillen requested the following information items be presented:
  - a. Health Sciences Center Committee, *HSC Financial Update*; and
  - b. Finance and Facilities Committee, *Presentation Regarding a Recent Lobo Development Corporation Action to Relocate the AIMS Program to New Space*.

**The motion to approve the agenda as modified passed with a unanimous in favor (1<sup>st</sup> Lee; 2<sup>nd</sup> Brasher).**

APPROVAL OF MINUTES

**The motion to approve the minutes of the August 17, 2018 special meeting passed unanimously (1<sup>st</sup> Lee; 2<sup>nd</sup> Adcock).**

PUBLIC COMMENT

Eliberto Calderon, President, UNM Student Veterans Association, commented on the F&F action item, and spoke in favor of the RPSP (Research and Public Service Projects) proposal for Veteran Student Services.

Richard Rockett, Student Veteran, spoke in favor of the RPSP proposal for Veteran Student Services and emphasized the need for a Veteran Bridge Program.

Manny Herrera, Student Veteran, spoke in support of UNM Student Veterans Association and its importance to student Veterans on campus and asked the regents to support the RPSP proposal for Veteran Student Services.

Christopher Gutierrez, spoke on behalf of the Student Veteran Retreat Team and the positive impact the annual summer retreat affords the veterans who attend.



REGENT ADVISORS COMMENTS (no comments)COMMENTS FROM REGENTS (no comments)THE PRESIDENT'S ADMINISTRATIVE REPORT

President Garnett S. Stokes opened her report highlighting two research successes: the National Science Foundation's recent award of a \$6.4 million grant to the Sevilleta Long-Term Ecological Research Site, and NIH funding for UNM's Clinical and Translational Science Center, first awarded in 2010 and renewed in 2015. There are only 60 universities across the country that receive this funding, the largest award the NIH provides. President Stokes discussed some of the results of the Community Image and Perception Survey that was conducted this last spring and compared the results to a 2014 survey, done prior to the University's branding campaign. The survey was conducted by random sample telephone of 1,150 people, and questions on perception of quality of education and reputation were ranked on a scale of 1 to 10. Fifty-four percent rate UNM's education quality at high or excellent, a 0.1 increase from the prior survey; 52% believe UNM is New Mexico's best university. What UNM is best known for has changed slightly to now include engineering, research, nursing, and diversity to add to the most prominent notables recognized in both surveys, which are UNM Hospital and Athletics. For overall impression, 66% have a good impression of UNM. One major challenge, not unique to UNM, is an overall questioning of the value of a college degree. Increasingly across the country and in New Mexico is found a sharp decrease in the percentage of people who believe that a college degree is necessary. Only 35% believe it is a necessary component for success; this is an 8% decrease since 2014 and 21% decrease since 2006.

President Stokes chose as key issues for this month's report, trends in faculty counts and faculty compensation. Dr. Stokes presented five-year faculty count trends for Main Campus and for Health Sciences Center (HSC). Main Campus has seen a 10% drop in tenure-track faculty over the last five years. Most concerning is the 26% drop since 2015 in assistant professors, most likely primarily attributable to the serious funding cuts to the academic mission and corresponding decisions to not fill vacant faculty lines. At the HSC, there is more stability and even some growth in the faculty. The faculty are largely clinical educators, but also tenure-track faculty. Numbers show for the HSC the Associate Professor rank is outpacing the Assistant Professor rank, largely due to retirements. The cuts to Academic Affairs on Main Campus have been about \$25 million over a ten-year period and about \$10 million at HSC. One-time rescissions since 2010 equal \$15 million for Main Campus and \$1 million for HSC. President Stokes addressed competitiveness of faculty salaries and displayed graphs of faculty salaries by college below the 25<sup>th</sup> percentile, creating a \$2.2 million shortfall from the 25<sup>th</sup> percentile. From the median, salaries are at a \$6.3 million shortfall. For HSC, there's a \$2.5 million gap below the 25<sup>th</sup> percentile and a \$16.3 million gap below the median. The comparative data is from the CUPA (College and University Professional Association), adjusted to include only Research I & II universities and exclude higher-cost schools in California and the Northeast, so the data should be relatively conservative. If benefits are factored in at around 30%, the gap for both Main and HSC to get to 25<sup>th</sup> percentile is roughly \$6.2 million, in recurring dollars. Dr. Stokes clarified that she wanted to increase the awareness of the extent to which there is work to do to get the University up to nationally competitive salaries for faculty. Recruiting and retaining the very best faculty is one of the most important things UNM can do to best serve the State of New Mexico so to offer students of this state a top level education and also be a driver for economic development in the state. The median salary range is probably what the University should aim for. With regard to retention, Main Campus loses every year through attrition about 3-4% of its continuing faculty, and the HSC loses on average 7-8%. Junior faculty who are more mobile, and tenured mid-career faculty at their highest point of productivity, are the most vulnerable to offers from other institutions.

President Stokes talked about university efforts to address faculty compensation issues, including investments in startup and retention packages, mostly at HSC but also some on Main Campus, as well as Increased funding for faculty promotions and retention; working closely with UNM Advance to improve campus climate; acknowledging faculty accomplishments; and engaging in faculty non-compensation retention efforts.

Dr. Stokes announced she would be delivering her 200<sup>th</sup> Day State of the University address on Monday and reiterated the importance of prioritizing critical issues that need to be addressed for the future of the University, and recruitment and retention of faculty has to be among the highest of priorities.

Regent Clifford thanked President Stokes for the presentation and commented the report is helpful but somewhat of a blind side, because as he had not seen the data beforehand. It is also not the complete picture; the enrollment decline also needs to be considered and revenue is based on enrollment whether it's tuition and fees or I&G funding. The turnover rate is not surprising and is similar to businesses. The only way to fund increased compensation would be to increase tuition and fees and the state's student population is not in a position to take on significantly increasing tuition and fee loads. There are other challenges with regard to the quality of the product and the value we are delivering to our students.

President Stokes agreed and added that in order to understand where we are headed, it's important to know where we are. With regard to turnover rates, it's important to understand where turnovers are occurring; they can be very expensive to the University when there are high upfront investments in faculty members who end up leaving a few years down the road.

Regent Hosmer addressed comments on the declining perception in the value of a college education for one's future. If these kinds of mis-impressions are allowed to stand, they become effectively facts. They are not facts, they are wrong. He encouraged a look at research available, from the OECD at the Hoover Institution at Stanford University and others, which looks at outcomes for like-cohorts, with and without a college education. If none can be found that appear to apply to New Mexico, Regent Hosmer suggested it would be well-put to fund a small study locally, using the New Mexico population. These so-called facts are pernicious, and they cannot be allowed to stand.

Regent Quillen added to Regent Clifford's comments about faculty numbers and stated is easier to look at 'where we are', but we do not often discuss 'where we need to be', based on our business model. It is like looking in a vacuum when looking at the numbers decrease. Some of this was intentional. President Frank had an initiative to right-size the University when the last big drop in enrollment was seen, so not replacing some positions was also strategic. The Higher Education (HE) model is a different business model, but money in and money out is still valid, and if UNM does not have enough students to support faculty, that's a problem. Dr. Stokes concurred and added looking across the University it is clear there have been concerted investments made to at least maintain stability in some key areas associated with success for the University; however, in some colleges, it is not clear why the drops have been as substantial as they are, so there is much more to be understand here. The efforts to right-size are common in HE now, but of concern is that people talk about right-sizing; however, when it comes to actually trying to manage reductions, it's often more across the board than strategic. A process needs to be engaged in to look at effects of decisions made to date.

Regent Quillen agreed and added that discussion of priorities has been lacking in the decision process. There are competing priorities, for example Athletics, faculty, buildings and maintenance. To answer the question: what are the priorities of the University, this needs to be addressed. President Stokes concurred.

Regent Lee inquired with respect to HSC salaries, if the Medical Group portion were included. Dr. Roth responded the Medical Group is just a vehicle to collect money, and the funds flow back to the medical school in the form of faculty salaries.

Regent Doughty inquired with regard to the \$25 million cuts to Academic Affairs over a ten-year period, what part of that can be attributed to tuition revenues and what part to the decrease in funding from the State. David Harris responded the bulk of the cuts were through appropriation reductions. Total cuts incurred by the University are around \$70 million, of which \$25 million would have impacted Academic Affairs. President Stokes clarified the cuts would have been offset by other funds through the years, so the net appears as a lower number. EVP Harris added that over the last six years, any increased tuition has gone almost exclusively to support Academics. Regent Hosmer inquired if the \$25 million over ten years was in actual or real dollars, and if actual, the current real number would be more like \$30 million. Regent Clifford commented over the last four years enrollment is down almost 10%, so personnel cannot be added if enrollment is decreasing. Regent Clifford requested follow-up and input with regard to male enrollment down almost 20% over the last four years. President Stokes responded these numbers are also reflected nationally with regard to who is choosing to get an education.

Presentation (information item): UNM Office of Equal Opportunity Procedures, Staffing, and Annual Data

Francie Cordova presented an overview of UNM's Office of Equal Opportunity, and as the OEO director, and also UNM's Interim Chief Compliance Officer as of September 1, opened with information about her personal career background. Ms. Cordova is a Native New Mexican and obtained her law degree from UCLA and has been practicing law in both New Mexico and California since 1990. The vast majority of her work has been government related, also Civil Rights and compliance, and Ms. Cordova worked as an attorney in the NM AG's office, also with the State's Human Rights Division, and then as director for eight years. More recently, Ms. Cordova was the Assistant Chief Counsel for the California Hospital System and has been at UNM for three years. Three years ago, UNM also hired a full-time Title IX coordinator and a full-time Clery coordinator. The national and local question on sexual harassment and prevention and response has continued to be topic of focus. The Office of Equal Opportunity is the independent and neutral campus entity designated to ensure compliance with all University policies that apply to civil rights including investigations of any allegations of civil rights violations. Ms. Cordova talked about continuing work that started three years ago with efforts to refine OEO processes, including getting the word out about what OEO does and making the OEO office and services accessible to the community. OEO has important partnerships within the community, including with the Dean of Students, the HSC and UNM Police. Ms. Cordova talked about office staffing levels and the importance of recruiting and retaining talented staff, mostly with JD degrees. Data management is now done with a modified EthicsPoint system to capture trends in compliance and show hotspots on campus. Ms. Cordova discussed the wide breadth of OEO activities. It usually gets pigeonholed into the sexual harassment piece, because those are the biggest number of complaints it receives, but OEO investigates and accesses intake claims of discrimination from faculty, staff, students, and even visitors and prospective employees and prospective students on all of the protected statuses that exist in federal and state law and are encompassed in UNM policy. The protected status list is long and includes

among others, age, color, gender, gender identity, physical or mental disability, pregnancy, religion, spousal affiliation and veteran status. Basically, any person on campus falls under at least one of the protected statuses. Ms. Cordova outlined UNM's Civil Rights Policies which include, #2740, Sexual Misconduct; #2720, Equal Opportunity, Non-Discrimination and Affirmative Action; #2200, Reporting Misconduct & Retaliation Policy; #3110, Reasonable Accommodation for Employees with Disabilities; #2310, Academic Adjustments for Students with Disabilities; and #2215, Consensual Relationships and Conflicts of Interest. Whistleblower reporting and EthicsPoint is tied to the OEO. The OEO does not sanction or discipline, and the office does not want to have a say in sanctions, but remain neutral. The OEO does look at whether sanctions remain fair and equal across the board. The sanction function is given to those who have authority: for Students, would be Dean of Students; for Faculty, would be Provost or Deans; and for Staff, would be the Supervisor.

Ms. Cordova discussed office workflow and stressed that almost 100% of the OEO work is done with live people, in contrast to the current mode of operation for the EEOC, which is done mostly by paper. About 90% of the cases originate from persons coming directly to the OEO, via in person, calls, emails, and also the hotline, which is seeing an increase in activity most likely primarily due to more awareness of its availability. When cases come to the OEO, it first has to determine if the case is Civil Rights related involving one of the protected statuses.

Regent Hosmer inquired the actual length of time on average to handle a case versus what according to best practices the OEO would strive for. Ms. Cordova responded the average time is roughly five months, depending upon complexity of the case, scheduling, and staffing; there are about 100 cases open at any given time. There was discussion about best practices and specialization of investigators for Title VII, Title IX, and ADA or disability work, for which the target is 180 days. There are cases that could happen more quickly, so 140 to 180 days would be the general target for Civil Rights cases. Regent Hosmer inquired comparison to peer institutions. Looking at peer institutions, the case turnaround time is similar to UNM's, with even much bigger staffing levels. It was agreed that shortening the case time is better for involved parties.

Ms. Cordova addressed data since 2011 on numbers of total complaints and cases by year. At the end of 2015, there was a concerted outreach to the UNM community, and the number of complaints rose significantly from 2015 to 2016. Last year, saw almost 659 cases, and this year, two-thirds of the way through there have already been 456 cases. Ms. Cordova showed 2017 and 2018 breakdowns of total complaints by allegation type, the most frequent being sexual harassment, which is common amongst peer institutions and nationally. Also shown, was a breakdown of outcomes of formal and informal cases. The OEO tries to resolve some of the formal cases in the informal/formal process which can include educational conferences and monitoring for 90 days. Some formal investigations end up in policy violations and some in no policy violations. UNM is a 'no compel' university, meaning people come into the office voluntarily, the OEO has no subpoena power. Some universities are 'compel' universities.

Ms. Cordova touched on best practices and further vision for the OEO, including an advocacy and support model. When a claimant and respondent come in with support people, for example Advocacy Center, Women's Resource Center, the LGBTQ Resource Center, the process goes much more smoothly. Resource development is also important; such resources as the SHAC and CARS (Counseling Assistance & Referral Services) may warrant expansion. More resources are needed for faculty and staff. Bystander intervention and empowering messaging are also very important.

Regent Quillen inquired how the data now is being used. Ms. Cordova responded there have recently been campus climate surveys among students to set a baseline and outline the more troubling areas on campus or among certain populations. The results are showing there are more vulnerable communities that are more often hit with types of claims like sexual harassment and sexual assault, and there are places where more likely these would happen. Using the results of the data, programming can be built to address certain areas.

Regent Doughty thanked Ms. Cordova for her presentation.

## **REGENT COMMITTEE REPORTS**

### **CONSENT DOCKET**

Regent Doughty addressed the consent docket. There were no requests to remove items for discussion; however, Regent Hosmer requested the board receive an update on the status of the Honors College in due course.

1. Health Sciences Center Committee (HSC) Consent Item
  - a. Request for the Approval of the UNM Hospitals Disposition of Assets
2. Finance and Facilities Committee (F&F) Consent Items
  - a. Approval of Disposition of Surplus Property for Main Campus for August 2018
  - b. Approval of Replacement of UNM Student Family Housing Hydronic Piping
  - c. Approval of Project Construction for Anderson East 1<sup>st</sup> Floor Honors Remodel

**The motion to approval the items on the Consent Docket passed unanimously (1<sup>st</sup> Lee; 2<sup>nd</sup> Hosmer).**

HEALTH SCIENCES CENTER COMMITTEE

Request for Approval of the 2019 HSC Research Projects and Public Service Projects (RPSP)

Chamiza Pacheco de Alas presented the item and referred to material made available in the agenda eBook. This year's process looked for lining up the RPSP requests with the vision and mission of the HSC, addressing the needs of New Mexico. The Vision: to work with community partners to improve the health of New Mexicans more than any other state; The Mission: to provide an opportunity for New Mexicans to obtain an excellent education in Health Sciences, to advance health discovery and innovation in the most important areas of human health, and to ensure that all populations in New Mexico have access to the highest quality health care. The following four proposed RPSPs were chosen and developed to fit within the framework of the HSC's vision and mission and ongoing statewide outreach activities:

1. Comprehensive Movement Disorders Center - \$1.3 million
2. Successful Aging Initiative - \$2 million
3. Child Well-Being Initiative - \$1.8 million
4. Behavioral Health/Substance Abuse Initiative - \$1.7 million

Ms. Pacheco de Alas reviewed each of the proposals [EXHIBIT A] and provided details on cost breakdowns, staffing levels, and partnerships. All of these also have an ECHO component. Regent Hosmer inquired for each of the proposals, the expected outcomes, including for each program numbers of people currently being served and those expected to be served after implementation. Regent Hosmer emphasized the proposals would be much more compelling if the outcomes and incremental outputs are included. Ms. Pacheco responded the proposals going to the Legislature would include more detail.

With regard to the Comprehensive Movement Disorders Center, Regent Hosmer inquired the expected time of completion. Ms. Pacheco responded the plan is to break ground in 2020 and have the center up and running by 2022. In addition to this RPSP request, there is a \$3.5 million capital request that went to HED, and the other \$3.5 million of capital, the HSC would put in.

With regard to the Successful Aging Initiative, estimates are that by 2030, 26% of the New Mexico population will be 65 or older. This will make NM second only to Florida as the second oldest state in the country. This proposal aligns with the State's Alzheimer's Disease Plan that is currently in place. Regent Quillen inquired if this would be a clinic and how it would be comprehensive. Ms. Pacheco responded the Center for Gerontology will be one piece and will be a more academic enterprise. Another piece is more clinical. The Center for Geriatrics, which will also have a research component.

With regard to the Child Well-Being Initiative, Regent Lee commented this falls not only the area of the juvenile justice system but also the foster care system, and inquired if partnerships with other entities like the Corinne Wolfe Law Center have been considered to provide training in legal aspects. Ms. Pacheco responded there is a partnership with UNM's Institute Resilience, Health, and Justice and added a comprehensive package will be put together to summarize what the University is already doing and partnering opportunities with community, state and local partners that will be critical to address this important area. Regent Lee added the New Mexico Sentencing Commission has data that would be useful; it is a neutral entity that has a juvenile subcommittee that looks at these issues. Regent Brasher inquired if there is some gross receipts funding from Bernalillo County for this. Ms. Pacheco responded the ADOBE program has a grant from the mental health gross receipts tax to implement the program in Bernalillo County. Regent Brasher inquired if other counties would have a mil levy program that could provide funding for this. Ms. Pacheco responded she would investigate that. Regent Hosmer reiterated that more detail should go to the Legislature on how the money will be spent.

Regent Clifford commented the topic of RPSPs is more important for HSC than Main Campus because of the much higher funding levels and reiterated his view that RPSP proposals should be managed and prioritized through the University's budget process. It is impossible to know where each of these proposal ranks within the budget. With RPSPs being earmarked funds, they are funds the University does not control. There was discussion about total RPSP funding, new RPSPs versus existing. Chancellor Roth responded Regent Clifford's points were extremely well-taken.

Regent Quillen inquired if RPSP funds compete for I&G funding. There was discussion about funding and the history of RPSPs. The majority of RPSP funding will generally go to faculty and staff salaries to effectively run these programs.

With regard to the Behavioral Health/Substance Abuse Initiative, Regent Brasher inquired if Sandoval County would provide financial support for this. Ms. Pacheco responded that Sandoval County provided financial support to some of the programming that is going up at UNM West campus. Rio Rancho is also building complementary programming in Sandoval County. This specific proposal is not what the HSC would expect Sandoval County to provide support to, and that is why there is an RPSP request for this. The proposal is for a medical home for behavioral health, which flips the behavior health

medical model and so would provide medical services beyond solely behavioral health services. This model would bring the services to where the patients are in their behavioral health home. Ms. Pacheco outlined details of the model with staffing cost breakdowns and details of the ECHO components, including Opioid ECHO and Crisis Intervention Team ECHO. Dr. Roth added that separate from this program, which has a heavy educational component to it, with respect to the Behavioral Outreach Center for Excellence, Sandoval Regional Medical Center (SRMC) and the Sandoval County Commission has voted to place a mil levy on the ballot this November in support of the SRMC to support predominantly two expansion programs out of that hospital: 1) so it can be a level III trauma center to deal with the immediate areas of trauma victims, and 2) to build a behavioral health and opioid programs that will be a specific clinic out of the hospital, and these programs will be working in tandem if there is fortune to receive both the State and the County funding.

Regent Brasher stressed the importance of addressing alcohol problems, as his constituents confirm this remains an overarching area of concern. Dr. Roth and Ms. Pacheco concurred and added alcohol, opioids and methamphetamines are the three primary substance abuse disorders. There was discussion about the difficulties with treating those with the meth substance abuse disorder.

Regent Hosmer motioned approval of the HSC RPSP proposals, subject to the amendments talked about earlier in the discussion, and requested the Regents receive a copy of the submission. There was discussion.

Regent Clifford commented with respect to total funding for existing RPSPs, the LFC's budget table shows total for HSC is about \$30 million, this funding was flat last year, except for \$500K increase to the Office of the Medical Investigator. What we are saying is that all of these programs are going to grow at a rate that comes out of the legislative budget debate on higher education and the question is, how can that be the right rate of growth for each of the programs? That does not make sense as a budget priority-setting process, that there is the request for these new programs and the rest of the programs are to remain the same or grow at an overall incremental rate. Chancellor Roth concurred and added the HSC is simply complying with the State's process. However, since RPSP funds are restricted, UNM is motivated to advocate that RPSP funding be moved over to be a separate line item for the I&G, which would provide more flexibility. In almost all of the RPSPs, the HSC has had to subsidize and bring other sources of revenue into those RPSPs in order to not just allow for growth but to sustain the currently level of output and productivity of those programs. Regent Clifford stressed that UNM is not proposing any changes to existing RPSPs except for an average growth rate, and what UNM needs to do is supply the legislature the information they need to make the proper appropriations to these programs. Chancellor Roth concurred with regard to existing RPSPs and made note of a few, including Carrie Tingley Hospital and the Child Psychiatric Hospital, both of which have many other sources of revenue that help sustain those clinical enterprises, and the Office of the Medical Investigator (OMI), for which there were specific capital requests this year and last for additional support. The Neonatology Program is one that clearly deserves a separate look and the HSC will do so going forward.

Regent Clifford reiterated his point that line items usually are not the right amount of money for the given need and once you get that line item, oftentimes that's all you get and it's very hard to get that number adjusted. These priorities should be set here on HSC campus and should not be part of this process.

**The motion to approve the 2019 HSC Research Projects and Public Service Projects passed with a vote of 5-2-0; Regents Adcock, Doughty, Hosmer, Lee, and Quillen voted for; Regents Brasher and Clifford voted against (1<sup>st</sup> Hosmer; 2<sup>nd</sup> Quillen).**

#### HSC Financial Update

Ava Lovell presented the June 30, year-end numbers for the Health Sciences Center. The materials were provided in the eBook. The financial numbers were presented by unit. The UNM HSC Academic Enterprise (schools, colleges, and research) ended the year with a net margin of \$11.9 million, largely due to one-time occurrences and roll-overs, including a \$2 million gift; F&A revenues were up about \$3 million; in addition, there were cost-containment measures. The net margin for UNM Hospitals ended the year at a positive \$28.4 million. This is a 2.2% margin, shy of the preferred 2.5% margin, and is driven mostly by the specialty contract pharmacy revenue which was a big focus. Behavioral Health operations also had a positive net margin, which hasn't been seen in years, but the team worked hard on revenue enhancement and cost-containments. The mil levy was up about \$3.5 million and there were Medicare and Medicaid cost reports from 2016 that allowed for \$12 million. For the UNM Medical Group, the year-end had about a \$1.5 million positive net margin, which was largely driven by a successful first year for the Lovelace/UNM Hospital Rehabilitation joint venture and salary savings. The Sandoval Regional Medical Center (SRMC) had a net margin of \$924K, even though surgical volumes are lagging behind budget, the hospital received the benefit of Medicaid DSH re-allocation payments relating to uncompensated care provided in prior years. Additionally, Meaningful Use Revenues of \$911K were recorded through June. Overall, the HSC saw a net positive of almost \$43 million for last year.

Ms. Lovell clarified with regard to existing RPSPs for HSC, the total is \$30 million, \$18 million for the academic side and \$12 million for the hospital side.

Regent Quillen thanked Ms. Lovell for the overview and said the news was good about SRMC and asked to hear more about the SRMC. Ms. Lovell responded the strategy has been in some respects to move an entire program there, for example the Total Joint Program. This can also relieve heavy patient loads at UNM. The U.S. News & World Report identified the SRMC as having the best orthopedic program in the State of New Mexico. Dr. Roth added they are developing programs that add comprehensive community health services and hospital services to Sandoval County and are working with the Pueblos and other communities. There is some triage of lower acuity patients, mostly from outside of Bernalillo County, from UNM to SRMC and also to Lovelace, and at this point, SRMC is at full capacity. Regent Quillen commented that

Regent Clifford requested Ms. Lovell explain why the public service, both revenues and expenditures are down about 50%. Ms. Lovell responded public service related funds are oftentimes in the form of unrestricted contracts, for example for Project ECHO and/or grants with private donors, the timing for those expected contracts can be difficult to project. Ms. Lovell agreed to give Regent Clifford more details.

Regent Quillen commented the year-end looks good and inquired how the financials look so far in the new fiscal year. Ms. Lovell responded just now closing out the second month into the year, everything is at about breakeven, and there is preparation for the upcoming more difficult season for healthcare. The budget for this year was done knowing there may need to be a complete re-budget after the first six months, due to Centennial Care 2.0 and contract negotiation outcomes. Dr. Roth added this year's budget has built into it ongoing efforts at reduced costs of healthcare delivery, the numbers were conservative in potential savings, so the current expectation is to be at a 1-2% margin at the end of the year.

#### UNM Health System Report

Michael Richards presented his health system report that was presented at the HSC Committee and included some year-end highlights. First, Dr. Richards introduced Kate Becker, UNM Hospital's new CEO. Ms. Becker has been on the job eight weeks at UNMH, and even in this short tenure has already shown to be a transformative leader for the HSC. Ms. Becker brings with her an outstanding career with great breadth, she came to UNM from St. Louis University where she was president of St. Louis University Hospital. Ms. Becker has a Master's in Public Health Administration from St. Louis University and a Law Degree from Chicago-Kent. Regent Lee welcomed Ms. Becker.

Dr. Richards presented his Health System Activity update representing unaudited year-to-date June 2018 activity compared to audited year to date June 2017 activity. Addressing quality of care, utilizing Vizient data for benchmarking against peers through June 2018 indicates UNMH continues to show improvements in quality with 11 out of 16 metrics improved year over year. At UNMH, Severe Patient Harm Events decreased 20% as compared to prior year. This marks the second year of 20% decreases in those events. Hospital acquired infections and surgical infections, which has been a national issue, decreased 47% compared to prior year. At SRMC, there is improvement in 11 out of 12 of the quality metrics. Severe harm events also had significant improvement with a 33% decrease compared to last year, and hospital acquired infections and surgical site infections have decreased by 40%. This represents focused work on the part of all of the medical providers and staff who have been initiating a whole series of patient improvements. The Medical Group has had a transition in the major metrics used for looking at physician performance. In 2015, there was a new law called MACRA (Medicare Access and CHIP Reauthorization Act) which bundled all of the physician quality programs into one new program called the Merit-Based Incentive Payment System (MIPS). UNM physicians, through the UNM Medical Group reporting system, received a 100% score on that quality program for this last year.

On health system activity levels, total inpatient days for regular admissions has remained flat. This was expected due to being at full capacity on the inpatient platform; however, there has been about a 1.6% increase in the total number of hospital days, in part due to increase in some patients classified as observation status. Adult patients were about 3% increase. Total pediatric days are down 9%, but admissions are up 4%, reflective of being able to provide needed care for that patient group in a shorter number of days. The biggest growth in the health system is in the outpatient clinic: There has been a 6% increase in the overall system activity, almost all attributable to work that has been done in specialty clinics and in the emergency department. If slightly rounded up, the 1 millionth outpatient visit mark was reached this year, a really important milestone for the health system. The emergency department visits saw an increase of 12% in visits through both the ED and Urgent Care sites. Surgical volume had a slight dip, largely due to remodel of one of the UNMH ORs and a decrease in community physician surgical volume at SRMC. Another number that has not been reported as a growth number for quite some time is total births at UNMH which went up 4% this year, even with number of births remaining relatively flat within the community.

On the financial side, overall the clinical delivery system saw a 2% increase in total revenues and total expenses went up only 1% year over year, which led to the overall health system margin of about 2.2%.

Another item presented to the HSC Committee was information on the modern medical facility for UNMH. The multi-disciplinary team has selected the architectural firm that will take UNM into the next phase of the project. The architectural firm that helped with the programming and planning was HDR, and their services will continue to be used over the next

several weeks. Regarding the SRMC mil levy that will be on the November ballot, the SRMC will be the only hospital that will be within this mil levy ballot decision and it will be for 1.9 mil, which would generate approximately \$6 million of revenue. The intent would be to use those revenues to create a Level III Trauma Center at SRMC and to build out behavioral health services.

#### FINANCE AND FACILITIES COMMITTEE

##### Approval of the FY20 Research and Public Service Projects (RPSP)

Interim Provost Rich Wood introduced the Main Campus RPSP proposal and referred to slides updated to those that were provided in the agenda eBook. **[EXHIBIT B]** The request was for approval of six (6) new RPSP and four (4) expansions of existing RPSPs.

##### New RPSP Requests:

1. Veteran Student Services – \$290K
2. UNM Press - \$300K
3. Engineering, Technology, Computer Internship Program - \$159K
4. Honors College EUREKA Program - \$134K
5. New Mexico Communities Collaboration - \$600K
6. Undergraduate Research Center and Statewide Consortium - \$293.5K

##### Expansion Requests on Existing RPSPs:

1. El Centro de la Raza - \$122,993 expansion for total, \$259,293
2. American Indian Student Services - \$67,300 expansion for total, \$400,000
3. Utton Transboundary Resource Center - \$150,000 expansion for total, \$471,900
4. Athletics - \$1,504,300 expansion for total, \$4,145,800

Dr. Wood addressed the process for selecting the Academic Affairs proposals. Under former Provost Chaouki Abdallah's leadership, of the 27 requests that were received, they were reviewed based on five (5) categories: 1) direct link to the University's mission, 2) impact, scope of students served, 3) realistic budget, 4) internal and external impact, to community and state-wide, and 5) coordination with existing programs. The Provost's leadership team ranked submissions and selected top priorities which were submitted to Executive Leadership. Dr. Wood discussed the State's higher education I&G funding formula and the prioritizations built into the formula to reward improvement in outcomes relative to past-performance. The proposed programs will drive success on the performance incentives that are built into the formula. High impact practices are all about engagement: tutors, mentoring, advising structures, and internships. Dr. Wood discussed each of the Academic Affairs proposals, including their mission and plan, the population that will be served, budget and collaborations with other programs. The total Academic Affairs request is \$2,907,639 in funding (excluding the Athletics RPSP request).

Eddie Nunez presented the RPSP for Athletics. Nicole Dopson was also available to answer questions. The focus of this request is to build on long-term sustainability in the Athletics Department and to help align it with the University's mission overall and address national trends. The \$1.5 million additional RPSP request is geared toward the following priorities: first, to address some of the landscape changes within the industry. The National Athletic Trainers Association, in collaboration with the NCAA, has come up with certification requirements and guidelines that raise the stakes for all institutions to address athletic training needs, going away from full-time graduate assistants to full-time employees. One of the main drivers is due to more emphasis on mental health, athletic training, risk, and liabilities. This is an extremely important area of which athletics needs to be aware. The second priority is geared toward long-term sustainability, including rising cost of attendance and the need to address gender equity within the department. The third priority is to look at future grant and aide and how they are changing, with the effort to build opportunity to address areas like summer school costs, which currently are not budgeted for and build other enhancement programs to address athlete well-being. Significant strides have been made in the drug policy and program area, but there needs to be continuing education for both students and coaches in that area and in Title IX areas also. Again, addressing long-term sustainability, team travel and recruiting costs are continually on the rise. Both areas have not been addressed over the last several years, and UNM has continued to fall further behind. The Athletic Department is engaging in fundraising more than ever, but this will be an opportunity to catch up in those areas. Equipment is another area that needs to be addressed.

Dr. Wood added this unified proposal comes from Main Campus, and Nicole Dopson, Director of Financial Operations, was present to answer any questions.

Regent Hosmer requested more information on the Athletics RPSP, including how the current \$2.6 million funds are currently being spent and on what the additional \$1.5 funds would be spent. Regent Hosmer also requested specifics on what the outcomes would be, and added that defining the outcomes may be difficult, but an attempt to outline expected

improvements is warranted. Dr. Wood responded the proposals that will be submitted to the Legislature will include detailed budgets and metrics on which progress will be measured.

Regent Brasher added that type of information would be made available in a normal budget hearing. One of the issues here is the budget process at the University is one where the problems, the needs, the interests of the institution and the community are addressed. And out of that, goals are set to respond to those, and then the budget is developed to make the goals possible. So this budget policy document has already been considered, and so now there is another process about which it is not clear. Regent Brasher inquired if all of the projects have already been released to the Legislature, asking for their approval and support. Dr. Wood responded the projects have not yet been sent to the State because they have not yet been approved by the Regents. Regent Brasher inquired if any of the projects have come from the Legislature for this process. Dr. Wood responded that some of the Main Campus requests, being expansion requests, are already existing RPSPs that the Regents approved in prior years, and then were funded by the Legislature. The first four RPSPs presented are new requests that come from within UNM entirely.

Regent Brasher wanted clarification that, if each of these programs is a direct line-item appropriation, do they remain in the State Budget every year? EVP Harris, responded the appropriation process only applies to a single year. Regent Brasher drew attention to the fact that these programs call for additional staffing, and inquired what happens when a project is no longer funded. Dr. Wood responded the funding is typically rolled over to the next year, unless there are across the board budget cuts. But they are each subject to the will of the Legislature. Regent Brasher asked for clarification, that typically they would continue year after year, if they are a direct line item? EVP Harris said at one time there was a continuing resolution provision in the General Appropriation Act, but a number of years ago that was deleted, so in effect, House Bill 2 only applies to a single year. There are some supplemental appropriations that would apply to the current year, but they would expire at the end of the fiscal year and we would be dependent on new appropriations.

Regent Brasher stated that when we do this policy document as a Board, we should be looking at these things. This is not the preferred process, and the likely outcome is that we are not really taking a good look at budget time, at all the problems and needs, and deciding where the funds need to go. Worthwhile projects, the ones that Dr. Roth talked about, are wonderful projects, and some of these here also, although it is not clear how the money will be spent. Frankly, that's the detail the Regents need: where the money is going to be spent; can you do what you say that you are going to do? What are the metrics, and what are the performance outcomes with the program in place? Regent Brasher reiterated it isn't to say some of these programs aren't wonderful and necessary for the community, the question is whether we have enough money to do it. Dr. Wood responded that each of the proposals has been vetted and more detail can be provided. Regent Brasher said that level of detail could come to the Regents before the meeting, and then there may not be as many questions.

Regent Lee added this is not the first time Regents have asked for more detail regarding the whole RPSP process and input. The Regents do not need to be in the minutia, they need to be briefed.

Regent Hosmer commented the homework has been done, although seeing an example would be useful. But the troublesome thing about the Athletics RPSP is that it has a particular burden of proof, given the recent history with the Athletic Department budget. It can be expected a mandate for evidence justifying this RPSP. Additionally, there is very little in the material about outcomes. Even if the homework has been done, output needs to be addressed in some fashion.

Regent Quillen commented to AD Nunez regarding the Athletic RPSP expansion and the total amount, when referring to quotes from the recent Finance and Facilities Committee meeting, "If we don't get this amount, more sports will be cut," is disappointing. There is nothing about that in the presented material. The whole purpose of the recent decision on sports cuts was to stabilize the department. There is not anything written in this proposal about more cuts being a consequence if these funds are not received. This is a lot of money, \$4 million.

Regent Lee commented to AD Nunez if this would shore up Athletics and how it would do that. The specified needs, for example travel, those costs are going to increase. The department does not even know how much the charters are going to cost this year, nor does it know its recruiting budget. All of these tie into having a successful, thriving, professional, collegiate, athletic enterprise. Regent Lee added it has been her concern, and still remains, that false hope is given. The money cannot be left on the table; we are boxed in, and we need to ask for the money within the confines of what we have been given. But what are the outcomes that we are promising? Again, there is a decline in male population attending college, there are needs due to the \$25 million cut from the institution. These are going to be the pressures, so the hope is that we will be smart and honest in our ask and that we don't make commitments for our ask that we can never keep.

Regent Hosmer inquired if the F&F Committee approved this as presented. Regent Lee responded it was approved with a 2-1 vote. There was discussion about additional information that would be provided to Regents.



Regent Clifford, reiterated his same concerns that he spoke of during the HSC RPSP discussion. These are specific line-item amounts, and with respect to Athletics, it's not enough money and needs to be leveraged with other monies to properly conduct the program. And now we are going to create several new programs, which we are committing to the public that we will operate in an efficient manner. We have no idea if these are the right amount of money, probably not, as these are very large needs. This is just a plug, like a down-payment on an unfunded liability that we have going forward. And we won't get more than these amounts from the Legislature, furthermore, these won't go away. We never recommend that any be removed. That would be a way to save money - to say this program isn't adequately funded, can we take this money and use it someplace else more effectively? That doesn't happen either; these seem to live on in perpetuity. It's just bad budgeting.

Regent Lee asked AD Nunez to respond to Regent Quillen's comment. If we don't figure out a way to run our enterprise appropriately, what is going to happen in 3 to 4 years? AD Nunez responded that part of the process they have been going through in revising the budget, the sport accessing, has been moving in a direction to get to a place that is sustainable. What has been presented at this meeting is addressing those needs that currently exist, as Athletics has been working with the University to revise budgets. More detailed budget numbers are available. With respect to the future, there are national trends that could have a very significant impact on the way UNM does business. Not just today, but in the next 4 to 5 years. There remains uncertainty because some of these issues are still in the court systems. AD Nunez said he would like to do everything possible to get Athletics into a position where it can sustain itself, through all mechanisms possible, including state funding and donors. While there is possibility UNM will be looking at its sports again in the future, the effort now is to do everything possible so to not have to be in that position again. Regent Lee brought up the Title IX compliance issue and the growing gap between male and female attendance at the University and emphasized the importance of treating female athletes on an equal playing field. AD Nunez, responded some of this proposal addresses this with cost of attendance. Some of the deficiencies noted in the Title IX report will be addressed in capital outlay requests.

Dr. Wood addressed Regent Clifford's comment that there is never recommendation to sunset an existing RPSP, and he advocated for creating a process that would look at how to roll some existing RPSPs into I&G, like HSC efforts, and also analyze whether a particular program should be discontinued.

Regent Lee asked for a motion; Regent Doughty motioned approval; Student Regent Adcock seconded.

Regent Brasher inquired if this had to be acted upon at this meeting. EVP Harris said this was already deferred from the last meeting, and the deadline for submission is end of September. EVP Harris added this process performed over the summer was in response to budget instructions received from the Higher Education Department. Initially, Capital Outlay Requests were brought forward; this is the second part, and these are components of the budget ultimately. We are at the front end of the budget appropriation process, trying to put ideas forward that the Executive and the Legislature will consider for funding. There are going to be extensive hearings and much opportunity to talk about these things. At this point, these are not the budget, but just components of the appropriation process.

Regent Hosmer requested that if the Board approve this request that the Regents see a follow-on report which does two things: 1) specifies in more detail, the way in which the funds will be spent, and 2) what the proposer believes will be the outcome of the result, in fairly concrete terms. There was discussion about the request and being up against the calendar to vote. During the vote, Regent Quillen said she would like to see metrics and more information about the Athletics proposal.

**The motion to approve the FY20 Research and Public Service Projects for Main Campus passed with a vote of 4-2-1; Regents Adcock, Doughty, Lee, and Quillen voted for; Regents Brasher and Clifford voted against; Regent Hosmer abstained (1<sup>st</sup> Doughty; 2<sup>nd</sup> Adcock).**

#### Monthly Athletics Report on Revenue, Expenditures and Compliance

Rob Robinson presented an overview of the report that was presented in F&F Committee and referred to materials that were supplied in the agenda eBook. The report supplies actuals through July 31, the first month of the fiscal year and is the proposed template to be used going forward. Schedule A is a summary of revenues and expenses; Schedule B are revenues and expenses broken down by sport. Mr. Robinson discussed Schedule A. The first column is the approved FY19 budget, the second column will be the revised budget as adjustments are made throughout the year. There will also be a month-by-month snapshot of revenues and expenses as they are incurred. Besides showing comparisons of budget to revised projected numbers, there will be comparison of month to prior year same month, and year-to-date versus prior year-to-date numbers. This is in an effort to spot trends and variables and to give more transparency in reporting.

AD Nunez commented about the excitement of a new year and the startup of practices. He mentioned the athletes who were on here before the start of the semester helped out during move-in day at the dorms on Main Campus. Everyone, including coaches, realize the benefits of having those types of connections with our students. AD Nunez talked about efforts to right-size each of the departments and units within Athletics, as well as restructuring Lobo Club, for which he hopes a

proposal will be brought to the Regent the next month. This is an effort to expand the whole area of fundraising and also ticketing. AD Nunez mentioned the restructuring of the drug policy that occurred last year and ongoing efforts to educate in that area and also the department's efforts to work with auditors and comply with all requests.

Regent Quillen thanked Mr. Robinson for his report broken down by sport.

#### UNM Foundation Advisor Report and Consolidated Investment Fund (CIF) Endowment Report

Laurie Moyer, Chair of the UNM Foundation Board, introduced Kenny Stansbury, the Foundation's CFO, and Tim McEnery of AON, who would provide the update. The report for June 30, year-end: total raised for the year was \$87.2 million in gift commitments from over 7,700 generous donors. This brings the total for the Changing Worlds 2020 campaign to over its \$1 billion goal. In total, there were over 75,000 donations that make up the over \$1 billion in private support. Other highlights include a \$4.2 million request pledge to support the Art Department; two endowed gifts, one for the HSC School of Medicine \$145K for an endowed professorship, and the Comprehensive Cancer Center received \$210K realized request that added to the endowed chair for the Cancer Center. Those two gifts were part of \$15.1 million of endowed gifts which help to grow the endowment this year to \$455 million. The endowment also saw 8.2% growth this year. Of the total \$455 million endowment, the Regents institutional endowments total \$110 million. The three Regents institutional endowments provide core scholarship and programmatic support on an annual basis for UNM's operations in the annual amount of about \$4 million.

Tim McEnery of the professional investment consulting firm, AON Hewitt, reported on the performance of the Foundations investment portfolio as of June 30 and provided information on the portfolios asset allocation and the different roles asset allocations play in the context of the overall portfolio. He referred to slides that were made available in the agenda eBook. The portfolio has seen strong performance over 1, 3, 5, and 10-year periods. UNM has ranked in the 26<sup>th</sup> percentile over the last year as compared to 570 peers with endowment and foundation funds less than \$1 billion. Regent Clifford asked for clarification, and there was discussion about hedge funds, asset classes, betas, forecasts, inflation assumptions, and the fund's liquidity. Mr. McEnery said everything, aside from private investments, which are about 20% of the portfolio, everything can be liquidated within a quarter, or in some cases with hedge funds, within a couple of quarters. Regent Clifford thanked Mr. McEnery for his report.

Regent Doughty asked follow-up questions to Mr. Stansbury. First, why the Regents' Endowment, currently valued at around \$27 million, pays such a high DFA (Development Funding Allocation), when the Foundation is not raising money for that corpus, and why the Woodward Endowment does not pay a DFA. Mr. Stansbury responded the DFA is 1.85% on most UNM endowments, with the exception of the Woodward Endowment, funded by the Sandia Foundation. In 2012, the Board of Regents agreed to no longer charge the Sandia Foundation the DFA for the Woodward Endowment. The DFA is an endowment fee that is a common way to fund foundations' operations and is a practice seen nationally with nearly all foundations. Regent Doughty inquired about the University's funding toward the Foundation. Mr. Stansbury said 55% of total funding of the Foundation comes from the endowment fee and provides the foundation of its annual budget. The University provides additional budget funding through a fundraising contract. Regent Doughty said he didn't think it was fair to the University that the University pays the Foundation so much money through the DFA, and the Foundation does not do anything to increase the funds that are in those corpuses. Mr. Stansbury responded the purposes of the Regents' endowments are to provide scholarships and core funding for programs. The Foundation is actively fundraising for those; about \$15.1 million was raised this year to add to UNM's overall endowment, and more than half of the total endowment distribution goes to scholarship funding.

Regent Doughty asked what the Regents' Endowment, which includes proceeds from the sale of Mesa Del Sol, receives in exchange for the DFA that is charged to it. Henry Nemcik responded that what Universities received with these funding models is a fully funded development operation that bring in funds to benefit the University, last year's gifts were \$87 million. Regent Doughty said that part of the funding to the Foundation is paid by the University. Mr. Nemcik explained the Foundation is funded two ways, one is a surcharge, a fee for service the University pays of one-half of one percent of University expenditures, and there is a DFA charged on total endowment, which includes the Regents' Endowments. Regent Doughty inquired that since a DFA is charged to it, what service is provided to the Regents' Endowment. Mr. Nemcik said there is no direct support for that account. Ms. Moyer responded the Foundation provides management of the endowment.

Regent Doughty inquired why the Foundation does not have a dedicated fundraiser for Athletics. Mr. Nemcik responded there are five people currently assigned to Athletics, people assigned to the Lobo Club. Regent Doughty asked who within the Foundation is dedicated for major gifts for Athletics. There was discussion about fundraising efforts by those on Lobo Club and fundraising efforts outside of ticket sales revenues. Regent Doughty said there should be a dedicated person within the Foundation that is specifically tasked with major gifts and fundraising outside of ticket sales funds. Mr. Nemcik concurred, and added the new model will address that, and he would defer to the President and the committee report. Regent Doughty said he wanted a dedicated fundraiser for Athletics over and above the employees the Foundation already

provides, and that was what the committee recommended. Mr. Nemcik said that would take the Foundation's budget into the negative for the year, but he would work with the President to come up with a solution for this.

Presentation Regarding a Recent Lobo Development Corporation Action to Relocate the AIMS Program to New Space

Tom Neale presented the item. The Albuquerque Institute for Mathematics and Science (AIMS) is a Charter School that has been an occupant at the Science and Technology Park since 2010 and is currently split into two locations at the tech park: 15K sq. ft. at 933 Bradbury and 14.7K sq. ft. at 1155 University Boulevard. The 933 Bradbury location is co-occupied with UNMH. Currently, a plan is underway to relocate AIMS out of 1155 University and expand them into 933 Bradbury. The expansion at Bradbury will give AIMS a total of about 32K sq. feet. Total project cost is \$1.5 million and funding will be provided in the form of a loan from Lobo Development to pay for the construction and relocation of AIMS. The redeveloped area will cost around \$102 per sq. foot. The director of AIMS is favorable in co-locating into one facility. The 14.7K sq. foot space that will be freed up at 1155 University will be backfilled for institutional uses. There is a challenge to deliver the completed space to AIMS by the end of the summer, before the beginning of the next academic year. Using the UNM Capital Project process, delivery of the finished space is estimated to be July 15, 2019. This approach requires the approval of the Board of Regents, Higher Education Department and State Board of Finance. Lobo Development Corporation, as a University Research Park and Economic Development Act Corporation, has the ability to streamline the construction approval and delivery process. It is estimated that the project could be delivered by May 15, 2019. Lobo Development also owns the leasehold improvements of 933 Bradbury. If this method is chosen, staff would brief the Higher Education Department.

Regent Quillen inquired the lost rent revenues at Student Success in the AIMS relocation and also the additional costs that will be incurred to renovate the space at 1155 University for Athletics offices. Mr. Neale responded AIMS currently pays about \$15.50 per sq. foot rental rate and will pay roughly the same in the new location, but the new rental will go to amortize the cost of the \$1.5 million debt service for that expansion. Regarding renovations at Student Success for Athletics offices, Mr. Neale said he thought it would be a nominal cost to renovate the spaces for Athletics. Regent Clifford inquired the planned use for the space in the Student Success building. Mr. Neale said he thought it would be to co-locate Athletic offices. Regent Clifford responded the Regents had a long discussion about that last year and were told that could not happen for various reasons, and now all of a sudden that can happen.

Regent Clifford inquired in what sense this is consistent with the purposes and mission of the Lobo Development Corporation. Mr. Neale responded one of the primary missions is to support public-private partnerships to develop underutilized land and other real estate resources for the University. In this instance, 933 Bradbury was acquired by Lobo Development back in 2014. Under the Research Park Act, the entity is allowed to acquire real estate, lease real estate, finance real estate, and assist in public-private partnerships in areas that fall outside the normal operations of the University.

Regent Brasher said that was exactly the problem he has with this whole thing, and if he had the chance to vote on it, he would vote no, but this is an information item.

Regent Lee asked AD Nunez to address some of the issues with recruitment and NCAA rules. AD Nunez said this was originally discussed to consolidate his department. The reality is when the high school was placed there, there are some issues with compliance with having high school students next to student athletes. One side of the building is utilized for academic support for athletes, so there are a lot of student athletes going in and out of the building. Regent Lee clarified this is an NCAA recruitment issue.

Regent Quillen inquired if this had been an action item. Mr. Neale responded that generally, the leasing activity at this level does not come to the Board of Regents for approval but was presented to make the board aware.

Regent Clifford inquired about UNMH moving out of 933 Bradbury. Mr. Neale said there are two critical paths, one is to relocate UNMH occupants currently at 933 Bradbury to an acceptable space. There is space available in the Science and Technology Park that could be suitable and would provide for a low cost relocation. The other critical path is working with AIMS leadership to ensure that what is proposed is acceptable to them. Regent Clifford said State approval is required whether it is done formally or informally. People in Santa Fe need to know what UNM is doing.

Regent Hosmer commented the AIMS school is a jewel in UNM's crown and the University should do everything it can to support it.

Regent Brasher inquired charter school occupancy guidelines and whether they eventually need to acquire their own facility or operate from a public-school facility. David Harris said he would follow-up on that.

Regent Clifford inquired if this is contingent on AIMS approval. Mr. Neale responded in the affirmative.

PUBLIC COMMENT

Karen Brown, Assistant Prof. College of Nursing; Chair of the 2018 Honorary Degree Committee, spoke on behalf of the committee and urged the regents to close out last year's Honorary Degree cycle and put this on the agenda to vote on the candidates that were moved forward in the spring for regents' consideration. The 2019 Honorary Degree cycle has begun with the nomination phase closing out in a month. The regents have many responsibilities and one of those is approving or denying the candidates proposed by the Honorary Degree Committee. Community members spend a lot of time on their nomination packets and are also anxious to know the outcome of the Honorary Degree Committee selection. Dr. Brown urged the regents to consider policy changes if they are not in agreement with the current process.

Regent Doughty responded he has been in contact with President Stokes on this issue; it will be on the next agenda.

Regent Lee said the regents will address the policy issue to look at this not being annual, but something that is less frequent so to not dilute the honor.

Steve Bishop, Associate Professor Foreign Languages Literatures; Member, Honorary Degree Committee, commented this is not the first time the Honorary Degree Committee meetings have been cancelled at the last minute, or rescheduled; recommended the regents consider a process whereby they would pass on the early stages of the process, if incapable of attending, and rely on Board of Regents' final approval for the process.

Eleni Bastea, Regents' Professor of Architecture and Director, International Studies Institute, SAAP Architecture Program, commented about honorary degrees and quoted from the Higher Learning Commission's (HLC) 2009 report about the Board of Regents, the UNM executive team and shared governance concerns. The issue at hand is the award of honorary doctorates according to Regents' Policy 1.6. The first honorary doctorate was awarded in 1924, and it has continued as an institution without interruption of the process since then. Not only is it an honor for the recipient of the degree, it also shines light to UNM that it recognizes these people from New Mexico and beyond. Dr. Bastea demanded the Board comply with the Regents' Policy 1.6 in awarding honorary doctorates once again.

Greg Williams, Alum, UNM School of Law; Immediate Past President, Board of Directors, NM Foundation for Open Government, addressed the Board regarding the recent sports reductions in the Athletic Department. One of the stated reasons for the cuts was to ensure UNM's compliance with Title IX. On August 22, a letter was sent to AD Nunez and Sara Cliffe, the Title IX Coordinator, explaining how the recent decisions actually move UNM further away from Title IX compliance. A separate letter was sent to Mr. Nunez related to the financial aspects of the cuts and provided an analysis on these issues. Not only does UNM's plan not save the \$1.5 million that it purports to, it is several hundred thousand dollars less than that. Mr. Williams called on the Regents to put these issues on the agenda for its next meeting.

#### ADVISORS' COMMENTS

Pamela Pyle, President, Faculty Senate, shared examples of positive collaborative activities happening at the University to address efficiencies or to better the community as a whole. The ingredients for successful collaborations being, shared goals, respect for all voices, a desire to work together, and a belief in a common mission. Some teams are working with the Provost's office to streamline the curricular process; some are working with government relations to align faculty expertise with the needs of the state and provide legislators for pertinent data as they go forward in the session; there are also efforts to increase recognition of faculty successes. There has been collaborative work with the President's Office to organize events in honor of President Stokes' 200 days in office, including the second Faculty Focus. The Honorary Degree process is an area needing better communications; it is an important process involving community members who have a vested interest in the outcomes. Ms. Pyle requested the Regents address reconsidering the process after the Board takes the vote on the current proposed candidates.

Regent Clifford inquired if the faculty has taken a position on the approach to the Athletics budget. Ms. Pyle responded the faculty weighed in behind the scenes because most of the faculty were gone during the summer. Faculty said time and time again, why we don't consider the Holy Grail: belonging to the Mountain West Conference and look at cutting other sports like football. A lot of the faculty wanted to stay with soccer. Regent Clifford clarified his concern is that there has been no public statement by the faculty in support of the effort to have the Athletics program retire its debt which was a specific request made last winter. Ms. Pyle responded she wrote an email to the Regents expressing appreciation for the decision, but did not receive a request to issue a public statement. In general, the faculty is happy that debt is being looked at. Regent Clifford said we are losing this battle in Santa Fe, and it would be helpful if the faculty were to weigh in. Ms. Pyle asked how that could be done constructively, because faculty was not at the table. Regent Clifford responded what was said last winter was honored, and now [leadership] has accepted a great deal of criticism for the decision. For the faculty to dodge now, it is almost cowardly for the faculty to hide from its responsibility and allow [leadership] to take the heat from legislators. The Regents have taken the action that was requested, and the faculty are not stepping up now when difficult choices have had to be made. Ms. Pyle responded the faculty thought it was helping President Stokes by letting her make a decision she thought was important. The faculty did not have the facts and the Senate was not in session during the summer. Ms. Pyle said she is not privileged to speak on behalf of the faculty when she has not spoken to the faculty and added she can take

this back and see if the faculty as a whole would like to make a statement. Regent Clifford asked Ms. Pyle about her contract in representing faculty. Ms. Pyle responded she works the whole year, but her Senators do not. Regent Clifford inquired about email surveys that have been done in the past. Ms. Pyle responded many of the faculty are not in the country during the summer and would not answer emails.

Regent Doughty referred to President Stokes' earlier comments about \$25 million that has been cut from Academic Affairs, and inquired what has been the faculty's discussion on that? Ms. Pyle responded she had been told those cuts were over a 5-year period, and faculty are terrified by the talk of more cuts, because they are at bare bones, and added the loss of UNM's assistant professors as reported by President Stokes is a red flag.

Regent Brasher commented this is a serious point and said he would like to hear from faculty.

Vote to Close the Meeting and Proceed in Executive Session

**Regent Doughty drew attention to the Regents' closed session agenda, asked for a motion to close the meeting, and said he would take a roll call vote; Regent Lee motioned to close the meeting; Regent Brasher seconded the motion; the following votes were taken: Regent Adcock-yes; Regent Hosmer-yes; Regent Clifford-yes; Regent Quillen-yes; Brasher-yes; Regent Lee-yes; Regent Doughty was a yes vote also. Motion passed.**

Executive Session agenda:

1. Discussion re appeal of administrative action that involves personally identifiable information about an individual student, as permitted by Section 10-15-1.H(4), NMSA (1978);
2. Discussion subject to the attorney-client privilege, and determination where appropriate, pertaining to threatened or pending litigation in which the University of New Mexico is or may become a participant, as permitted by Section 10-15-1.H(7), NMSA (1978);
3. Discussion of "limited personnel matters" as defined in Section 10-15-1.H(2), NMSA (1978), as permitted by Section 10-15-1.H(2), NMSA (1978) as follows:
  - a) Discussion of Goals and Metrics for the University President, Garnett Stokes, Ph.D.; and
  - b) Appeal of Elimination of Manager, Information Systems/Student Services, Accessibility Resource Center Position;
4. Discussion, and determination where appropriate, of strategic and long-range business plans or trade secrets of public hospitals, as permitted by Section 10-15-1.H(9), NMSA (1978), as amended.

\* Regent Hosmer and Regent Clifford had to leave the meeting before end of closed session; Regents Adcock, Brasher, Doughty, Lee, and Quillen were present for discussion of all items and for the votes after the meeting re-opened.

Vote to re-open the meeting and certification that only those matters described in the Closed Session Agenda were discussed in Closed Session, and if necessary, final action on matters discussed in Executive Session that are required to be taken in Open Session

Regent Doughty asked for a motion to re-open the meeting; Regent Lee motion; Regent Brasher seconded; all were in favor; motion passed. The meeting re-opened at 4:01pm; the doors to the Cherry Silver Room were opened.

Regent Doughty certified that only those matters described in the closed session agenda were discussed and final action with regard to three (3) matters would be taken in open session:

- a) Final action on student appeal;

**The motion to remand the case (regarding a student) to OEO passed unanimously with Regents Adcock, Brasher, Doughty, Lee, and Quillen voting in favor (1<sup>st</sup> Lee; 2<sup>nd</sup> Quillen).**

- b) Final action, if any on limited personnel matters;

**The motion to decline consideration of the appeal of the elimination of the Manager, Information Systems/Student Services, Accessibility Resource Center Position passed unanimously with Regents Adcock, Brasher, Doughty, Lee, and Quillen voting in favor (1<sup>st</sup> Lee; 2<sup>nd</sup> Adcock).**

- c) Action on UNM Hospital consulting agreement with Huron Consulting.

**The motion to accept the UNM Hospital consulting agreement with Huron Consulting passed unanimously with Regents Adcock, Brasher, Doughty, Lee, and Quillen voting in favor (1<sup>st</sup> Lee; 2<sup>nd</sup> Adcock).**

ADJOURN

**There being no further business, Regent Doughty asked for a motion to adjourn; Regent Lee motioned; Regent Adcock seconded; motion passed unanimously; the meeting adjourned at 4:04 PM.**

**Approved:**

**Attest:**

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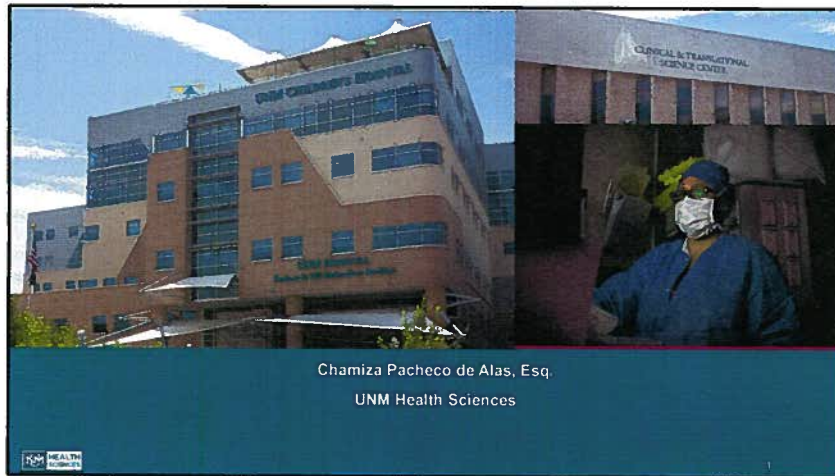
**Robert M. Doughty III, President**

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**Tom Clifford, Secretary/Treasurer**

# EXHIBIT A

(exhibit to Minutes of the Sep.11 BOR)



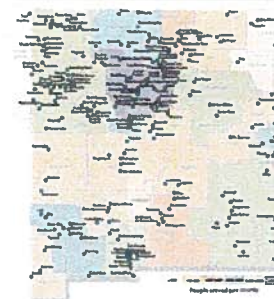
## UNM Health Sciences Center Proposed New RPSPs

SEPTEMBER 2018

 VISION	 MISSION	 VALUES
<p>THE UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER WILL WORK WITH COMMUNITY PARTNERS TO HELP NEW MEXICO MAKE MORE PROGRESS IN HEALTH AND HEALTH EQUITY THAN ANY OTHER STATE.</p>	<ul style="list-style-type: none"><li>• PROVIDE AN OPPORTUNITY FOR NEW MEXICANS TO OBTAIN AN EXCELLENT EDUCATION IN HEALTH SCIENCES.</li><li>• ADVANCE HEALTH DISCOVERY AND INNOVATION IN THE MOST IMPORTANT AREAS OF HUMAN HEALTH.</li><li>• ENSURE THAT ALL POPULATIONS IN NEW MEXICO HAVE ACCESS TO THE HIGHEST QUALITY HEALTH CARE.</li></ul>	<ul style="list-style-type: none"><li>• EXCELLENCE IN EDUCATION, PATIENT CARE AND RESEARCH.</li><li>• COMMITMENT TO SERVICE, QUALITY AND SAFETY.</li><li>• INTEGRITY AND ACCOUNTABILITY.</li><li>• RESPECT AND COMPASSION FOR ALL PEOPLE.</li><li>• TEAMWORK AND COLLABORATION.</li><li>• PROVIDING HOPE FOR THOSE WE SERVE.</li></ul>

The image shows a three-column layout for the UNM Health Sciences Center's Vision, Mission, and Values. Each column has a header with an icon and a title, followed by a text box containing the respective statement or list. The Vision statement is in a blue box, the Mission statement is in a grey box, and the Values list is in a red box. A small UNM Health Sciences logo is in the bottom left corner.

## HSC OUTREACH



In 2015 we had **579** activities in **246** communities that included:

- Education
- Patient care
- Community research
- Telehealth sites

# EXHIBIT A cont.

(exhibit to Minutes of the Sep.11 BOR)

## Proposals

- Comprehensive Movement Disorders Center \$1.3M
- Successful Aging \$2M
- Child-Well Being Initiative \$1.8M
- Behavioral Health/Substance Abuse Initiative \$1.7M



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## Comprehensive Movement Disorders Center

- Approximately 5,000 New Mexicans with Parkinson's, another 5,000 with other type of movement disorder requiring clinical treatment.
- Programmatic funding to establish a comprehensive movement disorders center to provide curriculum to train medical trainees in movement disorders and to provide treatment for those afflicted with movement disorders.
- Types of movement disorders include Ataxia, Dystonia, Essential Tremor, Parkinson's Disease, Dyskinesia, Tics, Restless leg syndrome, Huntington's Disease, Tourette's syndrome, among others



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## Successful Aging



- Population served includes everyone in the state, either as they age, or as they care for loved ones who are aging
- Prepares students and trainees to deal with the demands of a rapidly aging population
- Plan to adequately support New Mexico's quickly aging population through a multi-faceted and evidence-based approach with cross-campus collaboration. The HSC and UNM Main Campus have formed an integrated effort to address the geriatric need.
- This proposal builds our geriatric care, including physicians and nurse practitioners, in our outpatient geriatric clinics and those needing specialized dementia care.
- It extends these clinical and educational efforts throughout the state using Project ECHO and includes new collaborative effort with the UNM West campus to build our geriatric research and workforce to keep geriatric patients at home longer.
- The gerontology center will utilize resources across all UNM, including the Colleges and Schools of Architecture and Planning, Business, Engineering and Education.



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## Child Well-Being



- NM consistently ranks last or second to last in child well-being.
- Approximately 350 children seen each year by Child Abuse Response Team, which currently consists of one doctor. Other consults turned away because of capacity.
- 50% of cases are medically screened out as not being abuse/neglect
  - Families still need services and navigation
- Approximately 150 at risk children seen by the ADOBE program at the YDDC, provided wrap-around services to address trauma and ACEs and to reduce recidivism and future criminal behavior, including child abuse
  - Expand program to Valencia and Sandoval counties, see another 100-150 children
- Project ECHO-Build a state of the art Child Well-Being ECHO to train providers statewide in recognizing the signs and risk factors for abuse/neglect and treating trauma in children.



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# EXHIBIT A cont.

(exhibit to Minutes of the Sep.11 BOR)

## Behavioral Health/Substance Abuse

- The Behavioral Health Outreach Center of Excellence focuses on capacity building for behavioral health care in rural NM and providing expertise to enhance existing services. This initiative will be housed at the UNM West.
- Will be a model behavioral health home and leading entity in psychiatry outreach in Sandoval County.
- The Center of Excellence will expand our ECHO efforts to include: the Opioid ECHO program that will teach rural providers to prevent and to treat opioid use disorder in their own communities in order to improve the health of patients and communities and reduce overdose deaths and the Crisis Intervention Team (CIT) ECHO which teaches law enforcement officers de-escalation techniques in order to avoid officer-involved shootings and to improve relations between law enforcement officers and the community.



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## THANK YOU

## QUESTIONS?



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# EXHIBIT A cont.

Program	New FY20 Request	Description	Population Currently Served	Projected Populations Served	Planned Outcomes	Potential University and Community Partners
<b>Comprehensive Movement Disorders Center</b>	<b>\$1.3M</b>	Programmatic funding to establish a comprehensive movement disorders center to provide curriculum to train medical trainees in movement disorders and to provide treatment for those afflicted with movement disorders. Types of movement disorders include Ataxia, Dystonia, Essential Tremor, Parkinson's Disease, Dyskinesia, Tics, Restless leg syndrome, Huntington's Disease, Tourette's syndrome, among others	FY 18: 800 patients with movement disorders with .5 physician time allocated. Each patient seen approximately 1-2 times per year.	800 new patients seen approximately 2-3 times per year (total of 1600 total patients)	1. Increase number of clinical and translational research projects and trials conducted at UNM for patients with Movement Disorders 2. Increase number of grant proposals submitted for program support 3. Increase number of movement disorders related health care professionals trained and practicing in NM 4. Increase Number of community presentations and educational programs provided 5. Increase the number of publications and presentations of scholarly work as measured by percentage points. 6. Increase of number of patients treated	1. Department of Neurology 2. UNM Hospital 3. Parkinson's Association
<b>Successful Aging</b>	<b>\$2M</b>	This proposal requests funding from the State to adequately support New Mexico's quickly aging population through a multi-faceted and evidence-based approach with cross-campus collaboration. The HSC and UNM Main Campus have formed an integrated effort to address the geriatric need. This proposal builds our geriatric care, including physicians and nurse practitioners, in our outpatient geriatric clinics and those needing specialized dementia care. It extends these clinical and educational efforts throughout the state using Project ECHO and includes new collaborative effort with the UNM Health Sciences Rio Rancho campus to build our geriatric research and workforce to keep geriatric patients at home longer. The gerontology center will utilize resources across all UNM, including the colleges of architecture, engineering and education.	Center for Geriatrics: 1600 patients. ECHO-0 Center on Memory and Aging: 1000	Center for Geriatrics: 3200 new patients (total of 4800) ECHO- 60 additional learners Center on Memory and Aging: 800 new patients (total of 1800)	1. improved quality of care, health, and well-being of citizens and communities in NM, resulting in reduced incremental per capita health care costs 2. Decrease the serious statewide shortage of geriatrics caregivers and thereby enhance access and quality of care for elderly cognitively impaired New Mexicans 3. create and implement new technologies to enhance the ability for our Seniors to live longer and, "age in place." 4. Improvement in NM economy through the development of multiple small and large healthcare and non-healthcare businesses 5. convene regularly scheduled Geriatrics tele-ECHO sessions to aid in te co-management of geriatric patients.	UNM Colleges of: Medicine, Nursing, Pharmacy, Population Health, Engineering, Architecture, Arts and Sciences 1. Project ECHO 2. Community organizations serving the elderly 3. state and city agencies 4. UNM Health Sciences Rio Rancho Campus 5. The Alzheimer's Association
<b>Child Well-Being</b>	<b>\$1.8M</b>	Children in New Mexico experience some of the lowest levels of child well-being in the country and the highest levels of mistreatment. Per the 2018 Kids Count Data Book, New Mexico ranks 50 <sup>th</sup> in Well-Being. Our kids experience higher rates and more types of Adverse Childhood Experiences (ACEs), including child abuse. In Fiscal Year 2017, there were over 8,500 substantiated cases of child-maltreatment in New Mexico and 2,100 children were survivors of domestic violence. Science has taught us that childhood trauma can have both immediate and lasting health effects on a person's life. Given the Health Science's Center's vision to improve the health of all New Mexicans, addressing the critical state of child well-being in New Mexico is an important step in meeting our vision and the needs of the state. In designing the initiative, we examined successful programs already in existence at the HSC and then re-imagined how these programs could work in lockstep unison as part of a new initiative to improve child well-being. The focus of the initiative will be on treating children who are victims of abuse and neglect and ensuring that the 50% of children and families who are medically screened out for abuse and neglect receive the services they need from community providers; addressing trauma in children and juveniles who have experienced abuse, neglect and other ACEs; and using Project ECHO as a modality to replicate expertise in these two areas statewide amongst doctors, nurses, teachers and others that interact regularly with children, and thus improve the well-being of children across New Mexico.	FY18: 350 patients seen by CART; FY18 150 served by ADOBE	CART: 750 new patients (1100 total) seen for abuse and neglect evaluations. Approximately 350 screened out patients will also be seen by additional social workers. ADOBE program: 100 new youth Project ECHO: 60 participants. In addition, Project ECHO will effectively highlight areas for system improvement thus strengthening the quality of the learning for both the specialist team as well as the learners.	1. to protect children and youth that are at-risk due to adverse childhood experiences with measurable improvement in outcomes 2. to protect children and youth from abuse and neglect with measureable improvement in outcomes	1. UNM Department of Pediatrics 2. Para Los Niño's 3. Project ECHO 4. UNM Hospitals 5. College of Education 6. All Faith's 7. CYFD 8. DVRC 9. SafeHouse 10. Barrett House 11. NM Sentencing Commission 12. Institute for Health, Resiliency, and Justice 13. Foster Care 14. UNM Law School 15. county, city, state agencies
<b>Behavioral Health Outreach Center of Excellence</b>	<b>\$1.7M</b>	The Behavioral Health Outreach Center of Excellence focuses on capacity building for behavioral health care in rural NM and providing expertise to enhance existing services. This initiative will be housed at the UNM Health Sciences Rio Rancho Campus. It will be a model behavioral health home and leading entity in telebehavioral health and other psychiatry outreach in Sandoval County. The center of excellence will expand our ECHO efforts to include: the Opioid ECHO program that will teach rural providers to prevent and to treat opioid use disorder in their own communities in order to improve the health of patients and communities and reduce overdose deaths and the Crisis Intervention Team (CIT) ECHO which teaches law enforcement officers de-escalation techniques in order to avoid officer-involved shootings and to improve relations between law enforcement officers and the community. The Behavioral Health Outreach Center of Excellence focuses on capacity building for behavioral health care in rural NM and providing expertise to enhance existing services.	Currently serving zero patients as the clinic is brand new	In the first year the clinic would be able to see approximately 7400 primary care patients. Through this program and the support of the legislature, we believe that we can serve 200 unique behavioral health patients in the first 12 months of operations. Along with an additional 60 learners through Project ECHO.	1. support current efforts to integrate behavioral health and primary care by partnering with the SRMC Family Practice Clinic 2. address behavioral health workforce shortages by capacity building through Project ECHO	1. Department of Psychiatry 2. UNM Hospitals 3. Sandoval Regional Medical Center 4. City of Albuquerque 5. City of Rio Rancho 6. Bernalillo County 7. UNM Health Sciences Rio Rancho Campus 8. Project Echo

# EXHIBIT B

(exhibit to Minutes of the Sep.11 BOR)



## RESEARCH & PUBLIC SERVICE PROJECTS REQUESTS FOR FY 20

RICHARD L. WOOD, INTERIM PROVOST

## UNM Mission

*The University will engage students, faculty, and staff in its comprehensive educational, research, and service programs.*

*UNM will provide students the values, habits of mind, knowledge, and skills that they need to be enlightened citizens, to contribute to the state and national economies, and to lead satisfying lives.*

*Faculty, staff, and students create, apply, and disseminate new knowledge and creative works; they provide services that enhance New Mexicans' quality of life and promote economic development; and they advance our understanding of the world, its peoples, and cultures.*

*Building on its educational, research, and creative resources, the University provides services directly to the City and State, including health care, social services, policy studies, commercialization of inventions, and cultural events.*



## UNM Vision

*UNM will build on its strategic resources:*

- to offer New Mexicans access to a comprehensive array of high quality educational, research, and service programs.*
- to serve as a significant knowledge resource for New Mexico, the nation, and the world; and*
- to foster programs of international prominence that will place UNM among America's most distinguished public research universities.*



## The University for New Mexico

*Pursuing excellence and equity in everything we do*



# EXHIBIT B cont.

(exhibit to Minutes of the Sep.11 BOR)

## RPSP Process

Campus call for priorities (new and expansion requests) – total of 27 requests rec'd

Provost's leadership reviewed based on these criteria:

1. Direct link to University mission (values, knowledge, skills to contribute to the national and state economies)
  - UNM 2020 (recruitment, retention, graduation)
  - Grow targeted undergraduate/graduate populations
  - Integrate community engaged teaching, learning & scholarship
  - Enhance UNM's culture of entrepreneurship through innovation (workforce development)
2. Impact (scope of students served)
3. Realistic budget
4. Internal & external impact (community & state-wide)
5. Coordination with existing programs

Provost's leadership team ranked submissions and selected top priorities

Recommendations were submitted to Provost who submitted them to Executive Leadership



## State Funding Formula Prioritization

*Incentives reward improvement in outcomes relative to past performance (improvement over time)*

### State Outcomes/Performance Incentives

1	25%	End of course student credit hours
2	28%	Number of degrees awarded
3	13.5%	Number of STEMH degrees awarded
4	13.5%	Completion of degrees by at-risk students
5	20%	Mission-specific measures (for UNM: essentially research)
	100%	



## Summary Chart

RPSP	Request	State Performance Outcomes Met
Veterans Student Services	\$290,000	Direct: 1,2,4 Indirect: 3
UNM Press	\$300,000	Direct: 5 Indirect: Increased production of trade books, including textbooks, improvement of state student outcomes, state profile and identity
Engineering, Technology Computer Intern Program	\$159,000	Direct: 3 Indirect: 1, 2, 4, 5
EUREKA Program	\$134,000	Direct: 3, 5 Indirect: 1, 2, 4



## Summary Chart

RPSP	Request	State Performance Outcomes Met
New Mexico Communities Collaborative	\$600,000	Direct: 1, 2, 3, 4
Undergraduate Research Center & Statewide consortium	\$293,500	Direct: 3, 5 Indirect: 4, studies of high impact practices like undergraduate research indicate higher retention and improved outcomes for at-risk students
Hispanic Student Services	Expansion: \$112,993 Total: \$259,293	Direct: 1, 2, 4 Indirect: 3
Native American Student Services	Expansion: \$63,700 Total: \$400,000	Direct: 1, 2, 4 Indirect: 3



# EXHIBIT B cont.

(exhibit to Minutes of the Sep.11 BOR)

## Summary Chart

RPSP	Request	State Performance Outcomes Met
Utton Transboundary Resource Center	Expansion: \$150,000 Total: \$471,900	Direct: 1, 5 Indirect: 4, studies indicate that programs with regional and community connections engage at-risk students and contribute to retention and degree completion
<b>Total (Academic Affairs)</b>	<b>\$2,907,693</b>	
Athletics	Expansion: \$1,504,300 Total: \$4,145,800	Direct: 5



## Athletics:

Expansion of \$1,504,300 (Total \$4,145,800)

**Mission/Strategic Plan:** Develop student-athletes as future leaders from recruitment throughout the student-athlete experience to graduation and beyond. Provide support academically, athletically and socially to student-athletes through a variety of intercollegiate programs. Aim to lead the conference in academic and athletic achievement and market the university in a positive light both nationally and internationally.

**Population Served:** Provide opportunities for more than 450 student-athletes in a wide array of NCAA Division I intercollegiate programs. Strive to serve as a source of pride for the university, community, and State of New Mexico.

**Budget:** Additional cost of attendance funding to address gender inequities, certification of athletic trainers to serve as full-time employees, escalating travel costs associated with team travel and recruiting travel, equipment renewal/replacement, initiatives geared towards student-athlete success and overall development.

**Collaboration/Coordination with other programs:** The Department impacts the State of New Mexico economically, culturally and socially. Offers a sense of community and provides entertainment opportunities for New Mexicans, the alumni base, and students. Additional collaborators include: Governor's office (True Summer Reading Program); APS, NM Activities Assoc., Special Olympics, United Way, Toys for Tots, etc.

**These additional resources will enhance the experience of the student athletes and represent progress towards sustainability.**



Thank you!

Questions?



## Veteran Student Services

New request: \$290,000

**Mission/Strategic Plan:** Improved education, quality of life, research opportunities, graduation rates for our student veterans.

**Population Served:** 1,200+ self-identified veterans (not including family and affiliates)

**Budget:** Program design, support, staff and equipment; Wellbeing program support including academic and holistic transition program; Professional development funding

**Collaboration/Coordination with other programs:** VSS will integrate programs and services:

- Veterans Resource Center (Veteran community events)
- Accessibility Resource Center (mitigating students with disabilities)
- CAPS (academic support)
- Career Services (transition from UNM to professional life)
- Organization, Information and Learning Sciences (academic program design)
- New Mexico Department of Veteran Services (Veteran services)
- New Mexico Division of Vocational Rehabilitation (Disabled person services)
- New Mexico Veteran Integration Center (Housing)





## EXHIBIT B cont.

(exhibit to Minutes of the Sep.11 BOR)

### University Libraries, UNM Press:

New request: \$300,000

**Mission/Strategic Plan:** Considered the "Press of New Mexico," publish significant scholarship/research from faculty at UNM, the State and the World. Provide education and excellent on-the-job training opportunities in all phases of publishing

**Population Served:** Prior to FY18: ~75 new titles published/year; FY18, UNMP "right sized" to ~50 new titles/year

**Budget:** Support for the "Trade Book" publishing program of more broadly marketable books. Budget is primarily for staff salaries, costs directly associated with publishing, additional titles, and administrative costs

**Collaboration/Coordination with other programs:** UNMP regularly publishes books that preserve and examine the cultures, languages, arts, histories, and natural resources of the State.

UNMP NM history textbooks to satisfy the State's mandatory 4th, 7th, and 9th grade requirements

There are many areas of potential collaboration with the Department of Cultural Affairs and the Indian Pueblo Cultural Center, to produce children's books in native Pueblo languages, which do not exist.



### Engineering, Technology, Computer Internship Program: New request: \$159,000

**Mission/Strategic Plan:** Student success and workforce development via 50 paid internships/year with an additional goal of 12 degrees completed/year. Economic development in the State as many tech and engineering employers prefer to train interns before hiring. Lessen the technical-talent brain drain for New Mexico

**Population Served:** 50 students/year (~half female and underrepresented minorities)

**Budget:** Stipends for students to participate, staff support and related costs

**Collaboration/Coordination with other programs:** Coordination across six departments in the School of Engineering and the UNM Career Services department



### Honors College: EUREKA Program:

New request: \$134,000

**Mission/Strategic Plan:** STEM pipeline program designed to increase the number and diversity of students (especially from rural NM communities). Better prepare students for the rigors of STEM fields. Entice students from wider backgrounds into honors, thus diversifying Honors College

**Population Served:** 100 participants per year. These students would be high school juniors, primarily from rural and student populations traditionally underrepresented in STEM fields.

**Budget:** Scholarships. Salaries, instructional materials, residence costs

**Collaboration/Coordination with other programs:** Leverages existing resources within Honors (leadership, administrative support, facilities) and the STEM academic disciplines. Also, Student Affairs departments to collaborate as needed, including ethnic centers, Accessibility Resources Center, and Women's Resource Center.



### New Mexico Communities Collaborative: New request: \$600,000

**Mission/Strategic Plan:** Better connect/strengthen relations among UNM and the wider communities it serves and from which its students come. Support student success through academic leadership and community engagement opportunities.

**Populations Served:** Diverse student, community and business partners across the State

**Budget:** Student and faculty stipends, grad student mentors, staff, supplies/equipment, travel

**Collaboration/Coordination with other programs:** UNM departments including Native American Studies (NAS), Chicano/Chicana Studies (CCS), and Africana Studies (AS).

CCS/CPP: the College Preparatory Program

NAS/EPPE: Deep collaboration with tribal communities in NM.

GHSGC: Builds and extends community partnerships with key community institutions including, but not limited to UNM's Ethnic Studies and Student Services Programs, Enrollment Management/Recruitment and Admissions, College Enrichment Program, The Division for Equity and Inclusion/Men of Color Initiative, Albuquerque and Rio Rancho Public Schools, government agencies and religious and civic organizations.



## Undergraduate Research Center and Statewide Consortium: New request: \$293,500

**Mission/Strategic Plan:** Improve pathways to undergraduate research for underrepresented and transfer students; increase the number of undergraduate research opportunities, including those with national labs and regional employers; expand New Mexico's STEM workforce through improved retention and STEM-persistence rates, especially for students traditionally underrepresented in STEM.

**Population Served:** ~3,000 UNM students annually through classroom options, and ~500 UNM students annually through co-curricular options (including internships, mentorships, and industry research placements). Through the statewide consortium, increase the number of two-year students who transfer to NM four-year colleges by 150 in the first two years.

**Budget:** Staff (3 positions); faculty and staff stipends; supplies

**Collaboration/Coordination with other program:** URC will help to coordinate the many silo-based research programs at UNM and across the state, and create more consistent and user-friendly pathways for students. URC is a joint project of OVPR, OSA and Honors.



## Hispanic Student Services: El Centro De La Raza: Expansion of \$112,993 (Total \$259,293)

**Mission/Strategic Plan:** El Centro is central to recruitment & retention of Hispanic/Latino students (~42% of UNM student body), the majority of whom fall under the categories of "at risk" and underrepresented students. They focus on student success and a sustainable statewide impact via three of the four UNM identified cornerstones of purpose: Educate and encourage students to develop the values, habits of mind, knowledge, and skills needed to contribute to the state and national economies; Lead satisfying lives; Discover and disseminate new knowledge, and creative endeavors that will enhance life for all New Mexicans

**Population Served:** Serve ~1,100 students annually. Made over 14,000 contacts with students and their families through outreach and retention initiatives at UNM and across New Mexico. Goal to increase to ~15,000 contacts in 2020

**Budget:** Staff, scholarships, program expenses, supplies, equipment. Additional funds can restore critical programs such as the freshman mentoring program, and further support initiatives such as our undergraduate and graduate research programs including allocation of resources to El Centro's data collection objectives and outreach strategies including an improved online presence

**Collaboration/Coordination with other programs:** Campus partners include the Division of Equity and Inclusion, Enrollment Management and the Office for Diversity at Health Sciences. Off-campus partners include El Puente de Encuentros, La Plaza Institute and the New Mexico Dream Team. The expansion request will further facilitate and grow these collaborations

Helps compete for funding from the U.S. Department of Education such as the High School Equivalency Program and the College Assistance Migrant Program



## Native American Student Services: Expansion of \$67,300 (Total of \$400,000)

**Mission/Strategic Plan:** Provide Native American programs through the American Indian Summer Bridge (AISB) Program; Targeted American Indian Outreach & Recruitment; Student Development/Student Success. Goals are to increase participation and success of these programs and students.

**Population Served:** American Indian Summer Bridge (AISB) Program: To date, 389 participants from 14 states and representing 51 Tribal Nations have been served. Targeted American Indian Outreach & Recruitment: FY18 resulted in 105 school/community/tribal visits & events state-wide -- direct engagement with 5,000+ students & parents. Annual American Indian Junior Day and American Indian Senior Day programs yield approximately 600 student attendees and direct engagement at UNM Student Development/Student Success: AISS Sidekicks Mentorship and the AISS Ambassador Programs engage 150 students annually.

**Budget:** Funds to expand outreach/student support by approximately 20 percent per program; Existing allocation funds staff, mentors/coaches and program expenses

**Collaboration/Coordination with other programs:** Campus-wide partners (Enrollment Management & Admissions, New Student Orientation, Registrar's Office, Residential Life & Student Housing, Academic Affairs, etc.)



## Utton Transboundary Resource Center: Expansion of \$150,000 (Total \$471,900)

**Mission/Strategic Plan:** The Utton Center uses a community-based approach to work across international, state, tribal, local and disciplinary boundaries to advance the sustainable and equitable management of water, land, and other resources in New Mexico and across the West. It conducts policy and legal research, convenes experts, fosters collaborative problem-solving, conflict resolution, and provides UNM students with significant educational and career development opportunities.

Building upon existing relationships with New Mexico Tech, NMSU, WNMU and Highlands, the Utton Center will expand and strengthen connections among New Mexico's water experts.

**Population Served:** Attracts students to the UNM School of Law who want to pursue a career in natural resources law. It currently engages eighteen research assistants from across the UNM campus (e.g. Chemical Engineering, Geography, and Water Resources). The Utton Center supports traditional New Mexico communities.

**Budget:** Expansion funding will allow the Utton Center to employ an additional ten research assistants and fill a vacant staff attorney position, that will enable the Utton Center to fulfill pending requests for assistance from the New Mexico Land Grant Council, the Taos Valley Acequia Association, Western Landowners Alliance, among others.

**Collaboration/Coordination with other programs:** The Utton Center currently collaborates with Civil Engineering, the Water Resources Program, the Center for Water and the Environment, the Land Grant Studies Program, the American Indian Law Center, and Community and Regional Planning



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**University of New Mexico Main Campus FY20 RPSP Project Highlights**

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**New RPSP Projects:****1. Veteran Student Services, Student Affairs**

*New Requested Amount \$290,000.00*

**Mission/Strategic Plan:**

- **Veteran Bridge Program (VBP):** create and operate a summer program that initiates the transition of student veterans and their affiliates into university life.
- **Professional development/empowerment of student veterans and their affiliates by:**
  - **Education:** develop and conduct workshops that guide the transition into academic life (veteran orientation day, buddy-up tutoring and camaraderie-building events).
  - **Quality of life:** promote healthy and holistic lifestyles by conducting programs focused on camaraderie, mindfulness, and self-care. In Collaboration with the Student Veterans Retreat.
  - **Research:** initiate research to identify the needs of the veteran population and design programs to address those needs.
  - **Graduation rates:** doubling the graduation rate is a priority

**Population Served:**

- There are 858 student veterans utilizing federal VA education benefits certified by the Veteran Resource Center.
- Self-identified veterans that are utilizing other education funding sources or paying out of pocket; not including veteran affiliates (family members: spouses and children) on the UNM campus.

**Budget:**

- Program design and support
- Staff positions: VBP Program Operations Director VBP Planning Officer/Coordinator and Program Coordinator/Workshop Curriculum Designer.
- Well-being program support: UNM Student Veteran Retreat (academic and holistic transition program).
- Professional development funding: conferences and training.
- Professional equipment: projector, projector screen (conference, symposium and workshop equipment).

**Collaboration/Coordination with other programs:**

- VSS will work with existing university resource and service programs in addition to community organizations to integrate programs and services, including: Accessibilities Resource Center (mitigating students with disabilities), CAPS (academic support), Career Services (transition from



UNM to professional life), Organization, Information and Learning Sciences (academic program design), New Mexico Department of Veteran Services (Veteran services), New Mexico Division of Vocational Rehabilitation (Disabled person services), New Mexico Veteran Integration Center (Housing).

### **Integrate, Enhance and Expand Existing Programs**

- UNM's Veteran's Resource Center provides functional services and events to student Veterans that will be augmented and complemented by this student-driven program linking quality of life strategies to academic retention goals.

## **2. University Libraries, UNM Press**

*New Requested Amount \$300,000*

### **Mission/Strategic Plan:**

- The core mission of UNMP is to publish significant and important scholarship and research from faculty at UNM, across the state, the nation, and around the world. Another important mission has developed over the decades to publish popular books about the history and culture of New Mexico. This has widened to include all kinds of books about New Mexico, its geography, its people, and its cultures, often beautifully illustrated. Today, UNMP publishes well over half its books in this role as the State's publisher, or the "Press of New Mexico."
- UNMP provides education and excellent on-the-job training opportunities and real-life work experience for students and interns who are employed in all phases of publishing including editorial, book design, marketing, business, information technology, and fulfillment

### **Population Served:**

- Prior to FY18: ~75 new titles published/year
- FY18, UNMP "right sized" organization and staffing; now 50 new titles/year
- 500+ UNMP titles received national, regional, and local reviews over the past three years
- As the State's largest book publisher, UNMP provides publishing opportunities for New Mexico writers, artists, photographers, and poets that they may not have otherwise

### **Budget:**

- The RPSP funding will support the "Trade Book" publishing program of more broadly marketable books and expand it to create a fully realized "Press of New Mexico." The project will enable UNMP to produce about 15 Trade books a year of popular interest to the people of New Mexico, and increase that number in coming years. Funding primarily for staff salaries, costs directly associated with publishing the additional titles, and some UNMP administrative costs

### **Collaboration/Coordination with other programs:**

- UNMP regularly publishes books that preserve and examine the cultures, languages, arts, histories, and natural resources of the State. For example, UNMP published New Mexico history textbooks to satisfy the State's mandatory 4th, 7th, and 9th grade requirements, including a

Spanish edition of the 4th grade text. A closer relationship with the Public Education Department could also prove fruitful. There are many areas of potential collaboration with the Department of Cultural Affairs. Beyond State government, there are many local entities that may prove to be productive partners. Recently, a suggestion came forward to partner with local Pueblo governments, and in particular the Indian Pueblo Cultural Center, to produce children's books in native Pueblo languages, which do not exist. The possibilities for statewide engagement are limitless. If the RPSP is funded, UNM will mandate that the Press pursue such engagement.

**Integrate, Enhance and Expand Existing Programs**

- The RSPS funding for the UNM Press will not only enable the Press to establish a Trade division to publish books of popular interest concerning New Mexico, but will strengthen, enhance and improve the editorial and publishing infrastructure of the whole organization to support research in areas where UNM is a leader (Anthropology, Geography, etc.) and hone the public service role of the press.

**3. School of Engineering, Engineering, Technology, Computer Internship Program**

*New Requested Amount \$159,000*

**Mission/Strategic Plan:**

- RPSP focuses on student success and workforce development via 50 paid internships for students each year
- 50%-70% of those interns should get a repeat internship on their own and land a permanent position in the same company
- Allows for a minimum of 12 degrees completed per year; It will help retain 50 undergraduate students in the School of Engineering (SOE)
- Bears a direct impact on economic development in the City, County, and even the State as many tech and engineering employers prefer to hire and train an intern before making grander decisions on a permanent hire.
- Helps reduce technical-talent brain drain from New Mexico.

**Population Served:**

- 50 students per year, with approx. 50% underrepresented minority and female students

**Budget:**

- The requested funds pay student interns a stipend to participate in an internship, staffing cost with fringe benefits and other miscellaneous project costs

**Collaboration/Coordination with other program:**

- Coordination across 6 departments in the SOE and will be managed centrally under the SOE Dean's office Engineering Student Success (ESS)
- Collaboration with Career Services

**Integrate, Enhance and Improve Existing Programs**

- The ETC (Engineering, Technology and Computer) Internship Program will integrate with and enhance existing efforts by the six engineering departments at UNM to improve retention, graduation, and academic success of the SOE undergraduate students. This Internship Program builds on the successful Science, Technology, Engineering, and Mathematics Talent Expansion Program (STEP) funded by the National Science Foundation (and which is coming to an end).
- This program also supports economic development in the State. The program provides small businesses with interns for 2 months (businesses pay for one month; no other entity at UNM or in the State fills this need. This program helps small businesses develop better products as students get real-world experience, especially students from underrepresented groups. In addition, we strengthen our corporate relations with these same businesses for, once they become successful, they hire more UNM students. This addresses brain drain from the state.

**4. Honors College, EUREKA Program**

*New Requested Amount \$134,000*

**Mission/Strategic Plan:**

- STEM pipeline program designed to increase the number and diversity of students (especially from rural NM communities) who apply to and complete STEM degrees at UNM
- Designed to increase and diversify the STEM workforce. At the same time, this program will better prepare students for the rigors of STEM fields by introducing them to research, critical thinking and academic success skills, thus improving UNM's retention and graduation rates
- Entices more students from wider backgrounds into honors, thus diversifying Honors College

**Population Served:**

- 100 participants per year; high school juniors, primarily from rural populations and from student populations traditionally underrepresented in STEM fields.
- Program prepares 100 NM students per year to enroll in and eventually graduate from high-demand STEAM-H degree programs in New Mexico, including at least 75 underrepresented NM students and encourages Honors College freshman year participation.
- Goals: within two years, 80% of participants will enroll in STEAM-H degree programs at colleges or universities in New Mexico; within six years, 70% of participants will graduate with STEAM-H degrees from colleges or universities in New Mexico; within eight years, 60% of participants will attend grad school or be employed in STEAM-H jobs within New Mexico

**Budget:**

- Funds will be used for salaries (primarily program coordination, faculty summer contracts, honorariums for guest speakers and student resident assistant salaries); scholarships (50% of participants to receive full scholarship for the program, and 25% to receive travel scholarships to attend); supplies and instructional materials; and residence costs (room and board)

**Collaboration/Coordination with other programs:**

- Proposed program leverages existing resources within Honors (leadership, administrative support, facilities) and the STEM academic disciplines (labs and tech-based resources) to achieve its goals. Honors will also reach out to Student Affairs departments to collaborate as needed, including ethnic centers, Accessibility Resources Center, and Women's Resource Center.

**Integrate, Enhance and Expand Existing Programs**

- The overall goal for the EUREKA Program will be to increase the number of underserved and rural population students who choose to attend UNM rather than other institutions. The EUREKA Program will partner with UNM colleges, schools and academic departments to develop content for summer bridge experiences that target rising high school sophomores and juniors. EUREKA will also work with Enrollment Management and Student Affairs departments to better recruit and support students underrepresented in STEM fields. EUREKA will work with regional STEM employers to provide introductory research experiences for participants once they have enrolled at UNM. EUREKA will be coordinated by Honors College, which will leverage its highly-collaborative and interdisciplinary network to strengthen and expand UNM's STEM-H outreach experiences, especially for underrepresented and rural students.

**5. New Mexico Communities Collaborative**

*New Requested Amount \$600,000*

**Mission/Strategic Plan:**

- Three academic units sponsor this request: Native American Studies (NAS), Chicano/Chicana Studies (CCS), and Africana Studies (AS). Project will better connect UNM to the wider communities it serves and from which its students come. Collaboratively, UNM will strengthen its relationships with communities and organizations to support student success through academic leadership and community engagement:
- NAS: The External Partnerships in Projects of Excellence (EPPE) program will establish strong and on-going partnerships with ten institutions around the state that serve Native American communities, groups, organizations and the general public. Institutions may include Navajo Nation Human Rights Commission, Diné Policy Institute, Eastern Navajo Diné Against Uranium Mining, Tesuque Pueblo Farm, New Mexico Indian Affairs Department, All Pueblo Council of Governors, Department of Diné Education, Southwest Tribal Institutional Review Board, and any of the 23 tribal governments located in New Mexico. Agreement between the institution and NAS will allow students to create, conduct, and share projects
- CCS: The College Preparation Program (CPP) builds pathways for New Mexico high school students to colleges and universities in the state, leading to greater post-baccalaureate academic success, retention and graduation rates. In addition, the program enhances

participants' leadership development, student-to-student relationships, and student-to-faculty mentoring relationships across six Albuquerque high schools and UNM

- Africana Studies: Go To High School Go To College STEM Education Initiative (GHSGC-STEM): Establishes institutional and community partnerships throughout the state of New Mexico to improve retention, graduation rates, and economic success of this under-represented group

**Population Served:**

- CCS/CPP: The project completed the 2016-2017 and 2017-2018 academic years with a 95% completion rate, which is higher than the general reported UNM completion average. Participants reported a positive increase of knowledge related to the content and their awareness of college curriculum. In addition, the initiative enriched the teaching and learning environment at participating high school campuses and at UNM and created greater academic synergies among staff and students at public high schools and UNM. 112 students completed a post-program survey: 83% reported that this is their first time taking a college credit curriculum, 91% visited the UNM campus for the first time, and 63% had never visited a college classroom before the program. 91% reported increased knowledge of the historical development of communities of color in the U.S.
- NAS/EPPE: The project will have impact for Native American students at UNM, Native communities, groups, and organizations, and the general public statewide. With these external partnerships, Native communities, groups, and organizations will work with other entities at the university and on state-wide level to address challenges faced by Native communities. Native American undergraduate and graduate students will want to participate and help student projects as well as maintain the relations that have been developed. Community involvement is a recognized high impact practice helping students stay in school to completion of degree.
- GHSGC: By building and engaging university and community partnerships, GHSGC provides this underrepresented group "the values, habits of mind, knowledge, and skills they need to be enlightened citizens, to contribute to the state economies, and to lead satisfying lives" (from UNM mission). Furthermore, this project "provides services that enhance students' quality of life by creating economic opportunities that advance their understanding of the world, its peoples and cultures."

**Budget:**

- Travel and lodging for faculty, students, and others for research work
- Supplies for research work involving surveying, coding, interviewing, etc.
- Equipment for research work such as laptops, software, licensure, etc.
- Student stipends and graduate student mentors
- Faculty summer stipends and a staff program specialist

**Collaboration/Coordination with other programs:**

- CCS/CPP: Public high schools—the College Preparatory Program has led to greater enrollment in academic programs at UNM and contributes to increasing the number of high school students enrolling at UNM. As the cohort progresses through the educational pipeline, we aim to

measure and outline how the College Preparation Program Initiative has qualitatively impacted their college retention and graduation.

- NAS/EPPE: Deep collaboration with tribal communities in NM. The Native American Studies program at UNM has been recognized as one of the best programs among Native, Indigenous, or American Indian Studies programs across the United States by national publications. It is one of a few national programs with both a B.A. and M.A. degree delivered through multiple formats: in-person, synchronous online, and fully online. It is also a department made up of entirely Native American faculty and staff, who represent communities in the Southwest. Project will allow greater collaboration beyond NAS.
- GHSGC: Builds and extends community partnerships with key community institutions

#### **Integrate, Enhance and Improve Existing Programs**

- NM Communities Collaboration enhances and improves our existing departments by creating new linkages and expanding them to diverse NM communities across the state. The proposal establishes new partnerships and builds on existing ones between UNM students, faculty, and staff and the communities based on the communities' needs and interests. By creating greater connectivity through existing networks and programs to public constituencies, the RPSP will improve graduation outcomes among students who benefit from community engagement. The partnerships also strengthen a pipeline for students from diverse communities to enter UNM and enhances their connection to UNM through this initiative and through our departments' existing programming efforts. The partnership shores up the existing resources and develops new links between UNM academic units and social and cultural institutions throughout the state. New resources will enable personnel to reach out and support the academic aspirations of New Mexicans.

#### **6. Student Affairs, Undergraduate Research Center and Statewide Consortium**

*New Requested Amount \$293,500*

##### **Mission/Strategic Plan:**

- Creates a UNM undergraduate research center that will increase the number of undergraduate research opportunities with national labs and regional STEM employers. This proposal will also expand New Mexico's STEM workforce and increase retention and STEM-persistence rates, especially for students traditionally underrepresented in STEM (rural, low-income, first-generation, students of color).
- By building a *statewide* undergraduate research consortium, this proposal will improve mobility of students between NM colleges and universities, and create stronger pathways for community college students to engage earlier in cutting-edge research.
- Creates model to build statewide capacity in undergraduate research

##### **Population Served:**

- Will serve more than 3,000 students annually through classroom options, and more than 500 students annually through co-curricular options (including internships, mentorships, and industry research placements). We anticipate that these research engagements will improve

retention STEM degree persistence rates for participants by 3% in the first year, and 6% in the second and third years. Since undergraduate research strategies have been demonstrated as particularly effective for underrepresented students, we anticipate improving retention and STEM-persistence rates for these participants by 5% in the first year, and 10% in the second and third years. Through the collaborative efforts of the consortium members, we anticipate increasing the number of two-year students who transfer to NM four-year colleges by 150 in the first two years

**Budget:**

- Project funds three positions:
  - Executive director to build collaborative programming at UNM, and to network with other colleges to build the consortium
  - Training coordinator to create statewide undergraduate research training modules that better prepare students to participate in research, especially those in regions without large research infrastructures
  - Part-time data coordinator to work with NM colleges and universities to collect and analyze impact on retention, STEM-persistence, transfer and graduation.

Project will also fund faculty and staff stipends throughout NM's colleges and universities to contribute content to the training modules, as well as travel, for staff and administrators to build the consortium + supplies for hosting annual statewide meetings

**Collaboration/Coordination with other program:**

- URC will help to coordinate the many siloed research programs at UNM and across the state, and create more consistent and user-friendly pathways for students to find their way to those programs

**Integrate, Enhance and Expand Existing Programs**

- URC will contribute to and be informed by numerous cross-institutional initiatives, including the undergraduate student research conference, the OVPR undergraduate research resource website, the Provost general education faculty fellows initiative and the Provost STEM-H workgroup. By working with UNM's divisions (including Student Affairs and Enrollment Management) and UNM's student and academic success departments, URC will help build better pathways to research for undergraduates. By collaborating with UNM's colleges, schools, research centers and individual faculty labs, UNM will increase the number of on-campus co-curricular research engagements available to students. URC will also work closely with national labs and regional STEM employers to increase the number of off-campus research engagements for students. Finally, URC will partner with New Mexico's two-year colleges, four-year colleges and universities, and branch campuses to establish a statewide undergraduate research consortium. Through these connections, URC will ensure that services are not duplicated, and that successful initiatives are improved and expanded to provide more students with quality research experiences.



**Expansion RPSP Projects:****1. Student Affairs, Hispanic Student Services (El Centro de la Raza)**

*Expansion Requested Amount \$112,993; Total Amount Requested \$259,293*

**Mission/Strategic Plan:**

- El Centro is central to UNM's recruitment & retention of Hispanic/Latino students (~42% of UNM student body), the majority of whom fall under the categories of "at risk" and underrepresented students. This is accomplished via strengthening their academic and professional development opportunities, support services, research, mentoring and strategic relationships within academia and community stakeholders. We focus on student success and a sustainable statewide impact via three of the four UNM identified cornerstones of purpose:
  - Educate and encourage students to develop the values, habits of mind, knowledge, and skills needed to contribute to the state and national economies
  - Lead satisfying lives
  - Discover and disseminate new knowledge and creative endeavors that will enhance life for all New Mexicans

**Population Served:**

- Served over 1,100 students with one on one support, projected to increase to 1,200 by 2020
- Made over 14,000 contacts with students and their families through outreach and retention initiatives at UNM and across New Mexico. Projected to increase to 15,000 contacts in 2020
- ~75% of the students served are New Mexico residents and they hail from across the state representing 25 out of 33 counties

**Budget:**

- Funding pays for full time and student staff, fringe, student scholarships and programming expenses, including operational costs such as supplies and equipment
- Restore critical programs such as our freshman mentoring program, and further support initiatives such as our undergraduate and graduate research programs
- Bolster El Centro's data collection objectives and outreach strategies including our online presence

**Collaboration/Coordination with other program:**

- El Centro works with various partners on and off campus to maximize and leverage resources to serve students. Campus partners include the Division of Equity and Inclusion, Enrollment Management and the Office for Diversity at Health Sciences. Off-campus partners include El Puente de Encuentros, La Plazita Institute and the New Mexico Dream Team. The expansion request will further facilitate and grow these collaborations
- Works for co-sponsorship by variety of other programs. For example, the Division for Equity and Inclusion works co-funds the Summa Academia Fellowship to support graduate students
- Helps compete for funding from the US. Department of Education such as the High School Equivalency Program and the College Assistance Migrant Program



**Integrate, Expand and Enhance Existing Programs**

- El Centro de la Raza is asking for an expansion request both to maintain current programs and to serve more students. Specifically, the funding will allow the hiring of an FTE who can focus on improved outreach to facilitate connecting with campus and community partners, leverage programming for our students, facilitate data collection and assessment, and increase grant and foundation development. Further, this expansion will enhance the first-year experience of students by reestablishing a mentoring program which could not be sustained due to completion of grants funds ending and budget cuts. These areas combined will allow us to increase the number of UNM students served to over 1,200, and to expand contacts across the state to 15,000.
- El Centro provides meaningful and personalized services, which include financial support, programmatic support, extracurricular activities, academic guidance and advocacy, professional development, networking and academic enrichment in a way that welcomes and appreciates Latino students and their diverse cultural background(s). As the Latino/Hispano population continues to grow, the need to expand services such as those provided by El Centro will be instrumental to ensure that universities, UNM in particular, retain and graduate students who are predicted to play a key role in our state and nation's advancement. This is critical especially at UNM since, according to the spring 2018 Enrollment Data, 42.63% (10,194) of students identified as Hispanic. Overall, UNM continues to be successful in enrolling large numbers of Hispanic students, however, there is still a high need to provide intentional support to retain, graduate, and transition Latino/Hispanic students.

**2. Student Affairs, Native American Student Services**

*Expansion Requested Amount \$67,300; Total Amount Requested \$400,000*

**Mission/Strategic Plan:**

- **American Indian Summer Bridge (AISB) Program:** AISB is the University's only premier retention initiative for American Indian students. The AISB participant retention rate is 82% as compared to 72% for non-AISB participants. The average AISB GPA is 3.73 (2015-2017).
- **Targeted American Indian Outreach & Recruitment:** AISS leads the only comprehensive targeted American Indian recruitment effort on behalf of the University
- **Student Development/Student Success:** AISS implements comprehensive holistic academic & student support services; advanced leadership opportunities; integrated service-learning; and expansive networking/mentorship initiatives

**Population Served:**

- **American Indian Summer Bridge (AISB) Program:** To date, 389 participants from 14 states and representing 51 Tribal Nations have been served. FY20 Expansion Request will support an annual cohort of 30 students, doubling the number of students served from the previous year

- **Targeted American Indian Outreach & Recruitment:** FY18 resulted in 105 school/community/tribal visits & events state-wide – direct engagement with 5,000+ students & parents. FY20 Expansion Request will elevate prospective student engagement by 30%. Annual American Indian Junior Day and American Indian Senior Day programs yield approximately 600 student attendees and direct engagement at UNM –Main Campus. FY20 Expansion Request will increase student attendance by 20%
- **Student Development/Student Success:** AISS Sidekicks Mentorship and the AISS Ambassador Programs engage 150 students annually. FY20 Expansion Request will accommodate an increase in student participation of 20%

**Budget:**

- **Operational Components of the American Indian Summer Bridge (AISB):** Cohort recruitment, campus room & board, credit-bearing course instruction, academic advisement, student support services, program supplies, student development & student success initiatives
- **Staff Positions & Salaries:** (1) Program Manager, (1) Program Analyst, (1) Student Programs Specialist, (1) Student Recruitment Specialist, (2) AISB Faculty, (4) AISB Residential Advisors, and (2) AISB Tutors/Academic Coaches

**Collaboration/Coordination with other programs:**

- AISS collaborates with campus-wide partners (Enrollment Management & Admissions, New Student Orientation, Registrar's Office, Residential Life & Student Housing, Academic Affairs, etc.) but initiates, implements, and finances 100% of the AISB Program operations

**Integrate, Enhance and Expand Existing Programs**

- Incorporating UNM's Four Strands of Priority (Student Success, Systemic Excellence, Healthy Communities, and Economic & Community Development) that connect, align, and activate the University's mission, vision, values, and strategies is deliberate and intentional. AISS places particular priority in the area of Student Success. The Sidekicks Mentoring Program, AISS Ambassador Program, Student Success Leader Program, AISS Transfer Student Outreach, High School Junior & Senior Visitation Recruitment/Outreach programming, American Indian Heritage Month activities, and Nizhoni Week activities sustain and demonstrate the department's commitment to enhancing our student's abilities and opportunities to experience success. Programming efforts focus on improving the access, retention, and graduation rates of the UNM American Indian student community. The American Indian Summer Bridge (AISB) Program is the primary example of the department's focus on establishing academic and research opportunities for students. American Indian students at UNM represent significant range with respect to tribal affiliation, abilities, perspectives, and aspirations.

**3. Utton Transboundary Resource Center**

*Expansion Requested Amount \$150,000; Total Amount Requested \$471,900*

**Mission/Strategic Plan:**

- Utton Center conducts policy and legal research, convenes experts, fosters collaborative problem-solving, conflict resolution, and provides UNM students with significant educational and career development opportunities
- The Utton Center uses a community-based approach to work across international, state, tribal, local and disciplinary boundaries to advance the sustainable and equitable management of water, land, and other resources in New Mexico and across the West

**Population Served:**

- The Utton Center helps to attract students to the UNM School of Law who want to pursue a career in natural resources law. All of our recently graduated research assistants have been offered jobs in their field of choice and have stayed here in New Mexico
- The Utton Center currently engages eighteen research assistants from across the UNM campus (e.g. Chemical Engineering, Geography, and Water Resources). Expansion funding will allow the Utton Center to employ an additional ten research assistants from UNM
- The Utton Center works closely with the State Legislature, judiciary, and multiple state and federal agencies. The Utton Center will expand the research and policy development services it provides to include facilitation and mediation services for major water disputes
- The Utton Center supports traditional New Mexico communities. Funding our vacant staff attorney position will enable the Utton Center to fulfill pending requests for assistance from the New Mexico Land Grant Council, the Taos Valley Acequia Association, Western Landowners Alliance, and others

**Budget:**

- Funds will be used to fill a vacant staff attorney position, a vacant administrative assistant III position, and to hire an additional ten research assistants

**Collaboration/Coordination with other programs:**

- The Utton Center currently collaborates with Civil Engineering, the Water Resources Program, the Center for Water and the Environment, the Land Grant Studies Program, the American Indian Law Center, and Community and Regional Planning
- Funding the vacant staff attorney and administrative assistant position will allow the Utton Center to expand its role as a nexus for water experts working throughout higher education. Building upon existing relationships with New Mexico Tech, NMSU, WNMU and Highlands, the Utton Center will expand and strengthen connections among New Mexico's water experts

**Integrate, Enhance and Improve Existing Programs**

- The Utton Transboundary Resources Center serves as a nexus for UNM and other HED programs and academics who focus on natural resource management issues, particularly water management. As the only law and policy center focused on natural resources within HED, the Utton Center provides support to and partners with a wide variety of other programs and departments. The Utton Center has an established partnership with the Water Resources Program, Civil Engineering, and the Center for Water and the Environment. Together we host a biennial water conference that draws hundreds of participants. The Utton Center provides support to Community and Regional Planning through annual lectures. The Utton Center is currently working with the Land Grant Studies Program and Chicano/Chicana Studies to develop cross-cutting curriculum about land grant and acequia issues.
- At the law school, the Utton Center provides consultation services to the new Environmental Law Clinic and guest lecture services in water and administrative law. The Utton Center also hires approximately eighteen research assistants from across campus every semester. Our RA's have come from Geography, the Water Resources Program, the new Masters in Law Program, and even Chemical Engineering.
- Outside of UNM, the Utton Center has partnered on projects with NMSU's Water Resources Research Institute, the Bureau of Geology at New Mexico Tech, and is exploring a new partnership with the Santa Fe Community College. The Utton Center is also currently exploring a partnership with Albuquerque Public Schools to establish a pipeline summer program to help disadvantaged students develop an understanding of the value of attending UNM. The current expansion request will allow the Utton Center to provide more of these types of services and engage in even further reaching partnerships to enhance the educational opportunities for our students and the scholarship opportunities for our faculty.

**4. Department of Athletics**

*Expansion Requested Amount \$1,504,300; Total Amount Requested \$4,145,800*

**Mission/Strategic Plan-**

- The mission of our athletics department is to "Develop Lobos for Life!" Our universal approach to developing future leaders from the first recruitment, to the experience as a student-athlete, to the graduation, and then to the continued to support of our program upon graduation. We assist our student-athletes academically, athletically and socially through a number of programs during their time at UNM. Our overall athletics program has both a national and international reach with respect to exposure and recruitment. Additional resources will bring parity with NMSU's appropriation, which will generate resources needed for Title IX compliance and increases in rising student costs, which include cost of attendance, equipment renewal and replacements, and team travel

**Population Served-**

- The department sponsors multiple Division I intercollegiate athletic programs for men and women
- Provides opportunities for over 450 student athletes
- The department set a program record with 200 Academic All-Mountain West selections for the 2017-2018 school year
- UNM had a 3.19 S GPA for the Spring 2018 semester, and 11 programs recorded top-five program GPAs. This marked the 21st semester running that we have, as a department, been at or above 3.0.
- UNM won one National Championship in women's country and won one MW conference championship in women's cross country

**Budget-**

- Additional cost of attendance to address gender inequities
- Certification of athletic trainers as full-time employees
- Equipment renewal and replacement for student training rooms and other student related equipment needs
- Address rising travel costs associated with team travel and recruiting travel
- Student-Athlete success/development initiatives

**Collaboration/Coordination with other program-**

- The University of New Mexico Athletics adds tremendous value to our University, the City of Albuquerque and the State of New Mexico. Our program is a great source of pride and spirit for the students, faculty, staff and our entire state. On an annual basis, hundreds of television and radio hours are dedicated to broadcasting Lobo games and promoting the University as a whole
- The UNM Athletic Department has an annual economic impact of nearly \$30 million that directly affects local businesses
- The core of UNM's academic mission is community service through institutional support of social, cultural and economic development to enhance the quality of life for all New Mexicans. UNM Athletics supports and promotes the Governor's New Mexico True Summer Reading Challenge. Also, the Athletic Department offers a variety of services which are unique to the University. This includes hosting special events for free or by offering reduced rental rates valued at over \$200,000 annually for the following:
  - Hosting graduation ceremonies for UNM, CNM, all APS, and Los Lunas high schools
  - Hosting NM Activities Association State Championship events at The Pit, Lobo Field, Track Stadium
  - Hosting events for non-profit organizations like Special Olympics, United Way, Susan G. Komen Run, and Toys for Tots

# President's Administrative Report

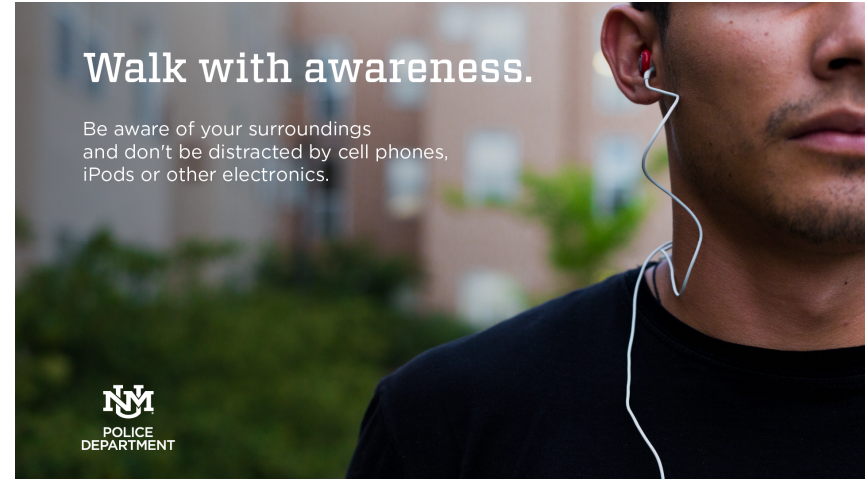
Garnett S. Stokes, President  
Tuesday, October 16, 2018



UNM is an open campus with a strong community presence in an urban area.

Campus safety for all students, faculty, staff and visitors is a top priority.

Our campus is a diverse higher education environment, which requires a proactive approach to potential safety concerns.





## Campus Safety Initiatives – Immediate Steps



- Due to recent safety concerns, the UNM Police Department has:
  - Added additional officers, on an overtime basis
  - Enhanced officer presence
    - Officers will be highly visible and interactive with the campus community at all times while on shift
  - Deployed campus mobile video surveillance system
- UNM Police will continue to use social media to interact with the campus community, providing safety tips, available resources and situational awareness



## Campus Safety Initiatives – Current Actions in Progress



### ***I SECURED CAMPUS.***

I got the call that a business on-campus had been broken into. The video surveillance showed a clear picture of the burglar, who I later recognized while on patrol and arrested.

I secured campus with the help of our community.

Visit [campussafety.unm.edu](http://campussafety.unm.edu)  
for safety tips and info.

- UNM Security Task Force is guiding purchase and strategic installation of video cameras
- Camera surveillance allows law enforcement to:
  - effectively monitor/record activity
  - gather information/evidence
  - act as a deterrent to unlawful activity
- Initial areas selected are high traffic areas that directly impact student life on campus
- Camera coverage will expand as allowed by available funding and in consideration of privacy concerns

- UNM Security Task Force proposes installation of additional surveillance cameras on all university parking lots
- Proposal identified 235 cameras to cover 39 parking lots
- The University is seeking Capital Outlay funding to cover the cost of this initiative
- HED has recommended approval to fund this request, which will be considered during the next legislative session

- Request for proposals to evaluate and identify campus security concerns for the built environment
- Crime Prevention Through Environmental Design (CPTED) Certification
- Security Master Plan Report will include:
  - Vulnerability assessment and campus security evaluation
  - Prioritized project list and associated cost estimates
  - Assist in development of campus safety guidelines

## Campus Safety Initiatives – Ideas for Future Consideration

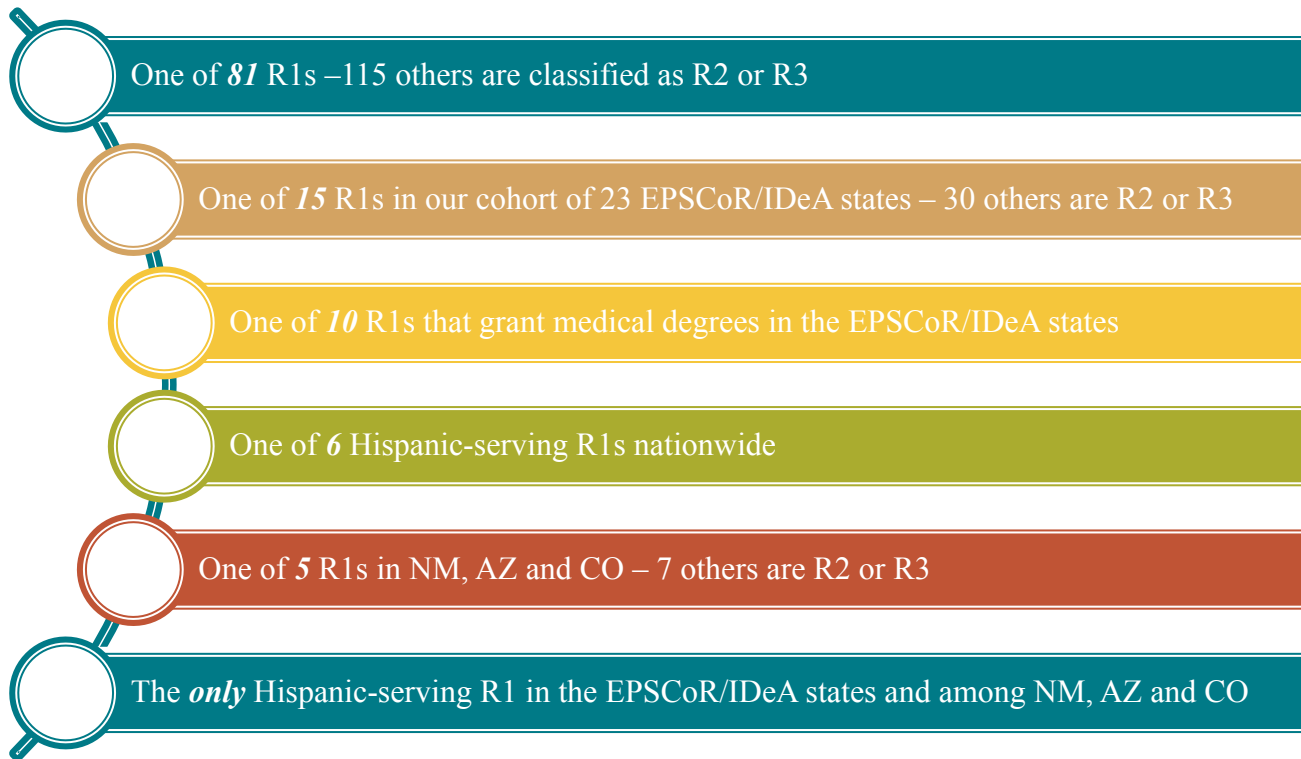


- Consider campus accessibility hours for visitors
- Hire additional police officers
- Campus security master plan report and additional consulting will provide solutions that integrate built environment, community resources, and best practices
- Partner with ABQ administration

- UNM Police Department (UNMPD) officers:
  - attend weekly Albuquerque Police Department (APD) briefing
  - attend monthly APD Multi Disciplinary Team meeting regarding metro area sexual assault cases
  - are members of the APD Metro Auto Theft Task Force, working together to address auto theft issues
- APD deploys bait vehicles on campus in coordinated effort to apprehend offenders
- Chief Kevin McCabe, retired APD Deputy Chief, maintains close ties with APD
- Most UNMPD officers are retired from either APD or BCSO (Bernalillo County Sheriff's Office) – this familiarity supports close relationships between local law enforcement agencies

R1 classification  
indicates  
doctoral-granting  
universities with  
the highest  
research activity.

Among US public, doctoral-granting universities, UNM is ...



# State of the University Address: Research

UNM President Garnett Stokes | Sept 17, 2018

“... an investment of new funds will be critical to maintaining UNM’s status as a first-class research university that can lead the nation in ensuring the success of an increasingly diverse student body while generating new knowledge and understanding to benefit all. We will be seeking major funding to support our research endeavor, in part driven by a new initiative to define ‘Grand Challenges’ facing New Mexico, the nation, and the world that our broad research expertise can help to solve.”



Photo credit: Daniel Jiron

# Grand Challenges



Addresses a problem that when solved will have a significant positive impact on people and society



Is large in scale, ambitious in scope and multi-disciplinary



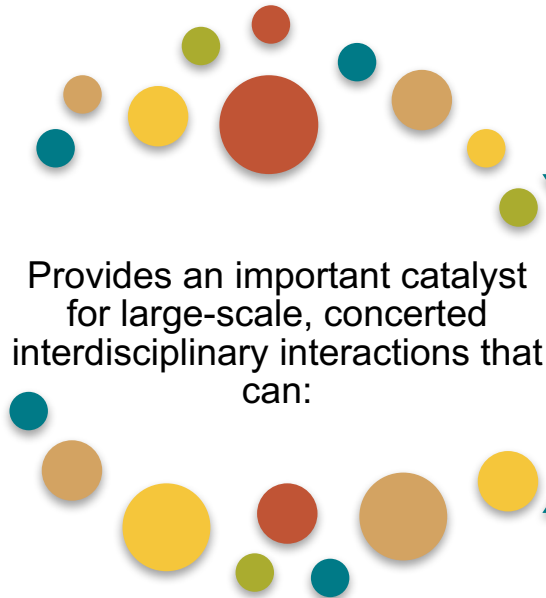
Has a carefully developed goal that enables multiple paths toward solutions and is relevant across varied disciplines and communities



Requires convergence of disciplines, approaches, technologies, people and policies to arrive at solutions



# Benefits of UNM Grand Challenges



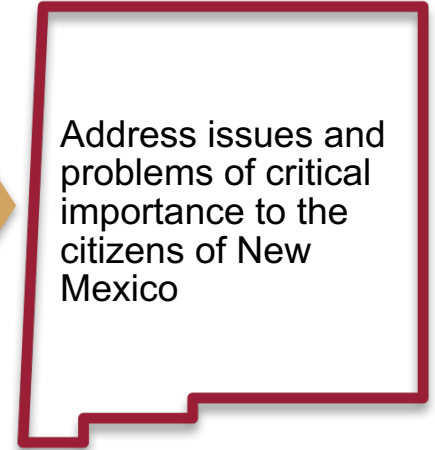
Garner significant  
extramural funding;

Enhance student,  
staff, and faculty  
success and morale;

Magnify the  
prominence of UNM  
as a research  
institution



Address issues and  
problems of critical  
importance to the  
citizens of New  
Mexico



# Research Grand Challenges Initiative

- Dr. López and Dr. Larson, co-chairs
- General timeline:
  - Fall 2018 – Communication and solicitation of faculty proposals for grand challenge topics
  - Spring 2019 – Selection and development of grand challenge plans
  - Fall 2019 – Implementation of UNM Grand Challenges





***A Proclamation by the Board of Regents of the University of New Mexico  
in Honor of  
the 50<sup>th</sup> Anniversary of the University of New Mexico – Gallup***

**Whereas**, The University of New Mexico – Gallup (UNM-Gallup) was established as a branch campus of The University of New Mexico in 1968 in response to community desire for a local college; and

**Whereas**, The University of New Mexico-Gallup, the largest of the four UNM branch campuses, serves approximately 2,200 students annually and tens of thousands throughout its history; and

**Whereas**, Ninety-four percent of UNM-Gallup students identify as American Indian, multiethnic, or a racial minority; and

**Whereas**, UNM-Gallup is a comprehensive career technical and transfer institution that offers certificates in 16 disciplines and Associate's degrees in 18 programs, and also offers diverse programs designed to meet the needs of the local population, including:

- Center for Career and Technical Education – which draws students from local high schools to enroll in high school and college classes in a variety of career and technical education areas;
- Adult Education Center – which provides instruction in high school equivalency preparation, basic skills and English as a second language;
- Workforce Development programs – which provide professionally designed classes in response to industry and community needs;

**Whereas**, UNM-Gallup strongly embraces its mission of preparing people to achieve their educational and professional goals in a context of respect for the traditions and values of the many groups it serves; and

**Whereas**, UNM-Gallup offers affordable higher educational opportunities to all students with an emphasis on open access to associate's degrees, certificates, adult education, dual and concurrent high school opportunities and lifelong learning options; and

**Whereas**, the college has a strong plan of service for the next 50 years;

**Now therefore**, we the Regents of the University of New Mexico hereby recognize the fifty-year progress of the campus as a symbol of opportunity and achievement in higher education in this important community in the State of New Mexico.

**Further**, we do hereby recognize the long-time commitment to UNM-Gallup's success by McKinley County, its residents, and the leadership of the Navajo Nation, Zuni Pueblo, and other tribal entities; and hereby express our on-going support for branch/main campus collaborations that will further the mission of both linked institutions.

ADOPTED AND APPROVED this \_\_\_\_th day of October, 2018.

The Regents of the University of New Mexico

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# CONSENT DOCKET

*(Action items on Regent's Committee agendas may move to the Board of Regents' agenda as consent items; the below items are placed on the consent docket by the respective committee chairs; items on the consent docket received unanimous approval in committee; per Regents' Policy 1.2, "Any member of the Board of Regents shall have the right upon request to remove any item from the Board's consent agenda and place the item on the Board's regular agenda for discussion.")*

1. Finance and Facilities Committee (F&F) Consent Item, Regent Marron Lee, Chair

- a. Approval of Disposition of Surplus Property for Main Campus for September 2018.....C-1



THE UNIVERSITY OF  
NEW MEXICO®

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UNIVERSITY SERVICES – DISPOSITION OF SURPLUS PROPERTY

SEPTEMBER 2018



### Surplus Property Disposition - September FY19

Memo	Asset Tag	Department	Description	Manufacturer	Purchased	Total Cost (\$)	NBV (\$)	Disposal Method
1	N00010866	Ctr for Adv. Research Computing	Server	Dell	2/28/2007	\$144,408.29	\$0.00	Cannibalized
4	221930	FM Automotive	MV VAN	Ford	2/28/1997	\$22,176.00	\$0.00	Obsolete
4	N00000089	FM Automotive	MV Sport Utility Vehicle	Ford	8/4/2004	\$21,606.00	\$0.00	Obsolete
4	263136	FM Automotive	MV VAN	Dodge	9/19/2003	\$20,598.00	\$0.00	Obsolete
4	263137	FM Automotive	MV VAN	Dodge	9/19/2003	\$20,598.00	\$0.00	Obsolete
4	263138	FM Automotive	MV VAN	Dodge	9/19/2003	\$20,598.00	\$0.00	Obsolete
4	N00023694	FM Automotive	Ford Fusion Sedan Base	Ford	12/11/2009	\$16,813.00	\$0.00	Obsolete
4	N00023695	FM Automotive	Ford Fusion Sedan Base	Ford	12/11/2009	\$16,813.00	\$0.00	Obsolete
2	214368	FM Automotive	MV VAN	Dodge	2/15/1996	\$14,690.00	\$0.00	Obsolete
3	157882	Civil Engineering	PUMP TUTOR	Gilkes	1/1/1983	\$13,863.00	\$0.00	Obsolete
4	202867	FM Automotive	MV TRK UNDER 1 TON	Ford	11/3/1993	\$13,844.00	\$0.00	Obsolete
	265704	University Press UP	Computer Server	Dell	4/2/2004	\$9,105.00	\$0.00	Obsolete
	260371	FM Automotive	MV Utility (Electric)	Ford	4/3/2003	\$8,044.00	\$0.00	Obsolete
	N00008202	FM Automotive	Vehicle - Ambulance	Dodge	6/30/2006	\$7,675.00	\$0.00	Obsolete
	255761	FM Automotive	MV Utility	Ford	5/30/2002	\$6,652.00	\$0.00	Obsolete
	255763	FM Automotive	MV Utility	Ford	5/30/2002	\$6,652.00	\$0.00	Obsolete
	256465	FM Special Activities	MV PASSENGER CAR	Ford	6/27/2002	\$6,652.00	\$0.00	Obsolete





### Surplus Property Disposition - September FY19

Memo	Asset Tag	Department	Description	Manufacturer	Purchased	Total Cost (\$)	NBV (\$)	Disposal Method
	256466	FM Automotive	MV PASSENGER CAR	Ford	6/27/2002	\$6,652.00	\$0.00	Obsolete
	260369	FM Automotive	MV PASSENGER CAR	Ford	4/3/2003	\$6,570.00	\$0.00	Obsolete
	235546	Gallup Health Careers	OPERATING UNIT/DENT	Nevin	3/5/1999	\$6,375.00	\$0.00	Obsolete
	235547	Gallup Health Careers	OPERATING UNIT/DENT	Nevin	3/5/1999	\$6,375.00	\$0.00	Obsolete
	235548	Gallup Health Careers	OPERATING UNIT/DENT	Nevin	3/5/1999	\$6,375.00	\$0.00	Obsolete
	235550	Gallup Health Careers	OPERATING UNIT/DENT	Nevin	3/5/1999	\$6,375.00	\$0.00	Obsolete
	235551	Gallup Health Careers	OPERATING UNIT/DENT	Nevin	3/5/1999	\$6,375.00	\$0.00	Obsolete
	235552	Gallup Health Careers	OPERATING UNIT/DENT	Nevin	3/5/1999	\$6,375.00	\$0.00	Obsolete
	N00039464	Pediatrics General Administration	Projector/NP-PA500X	NEC	1/25/2013	\$5,991.05	\$0.00	Beyond Repair
<b>Total Asset Disposition (#)</b>								<b>26</b>
<b>Total Capitalization (\$)</b>								<b>\$428,250.34</b>
<b>Total Net Book Value (\$)</b>								<b>\$0.00</b>



**University Services**  
Marcos Roybal  
Associate Director

**Business Operations**  
1128 University Blvd NE  
505.277.2366

**CRLS**  
Clark Hall  
505.277.5109

**Copy Center**  
Dane Smith Hall  
505.277.8267

**Mailing Systems**  
1128 University Blvd NE  
505.277.4124

**Records Management**  
1128 University Blvd NE  
505.277.1136

**Shipping & Receiving**  
915 Camino de Salud  
505.272.6302

**Surplus Property**  
1128 University Blvd NE  
505.277.2923

## Disposition of Surplus Property Approval – September 2018

**Date:** September 25, 2018

**To:** Bruce Cherrin  
Chief Procurement Officer  
Purchasing Department

**From:** Marcos Roybal  
Associate Director  
University Services

Attached for your review and submission to the Board of Regents is the Surplus Property Disposition detail list for the month of September 2018.

Consistent with UNM Board of Regents Policy 7.9 and the NM Disposition of Surplus Property Act, 13-6-1, NMSA 1978, and based upon documentation submitted by the UNM departments responsible for the equipment, I certify that the equipment identified on the monthly list is worn-out, unusable or obsolete to the extent that the items are no longer economical or safe for continued use by the University. I recommend that the items be deleted from UNM's inventory and disposed of in accordance with the above noted Regents Policy and NM Surplus Property Act.





# CENTER FOR ADVANCED RESEARCH COMPUTING

Memorandum

Memo #1

TO: Marcos Roybal, Associate Director, University Services

FROM: Patrick G. Bridges, Director, Center for Advanced Research Computing

DATE: 27 August 2018

RE: Ongoing large-scale surplusing of computer equipment with original cost > \$10,000 at the UNM Center for Advanced Research Computing - N00010866 - Dell PowerEdge 1950 - 19 Node Cluster (SN#: 696D0F1) with value of \$144,408.29.

\_\_\_\_\_

This is to clarify the rationale for the ongoing large-scale surplusing of supercomputing equipment and workstations at the Center for Advanced Research Computing. As expressed by "Moore's Law" ([http://en.wikipedia.org/wiki/Moore's\\_Law](http://en.wikipedia.org/wiki/Moore's_Law)), compute capabilities double approximately every 18 months. As a result, a supercomputer originally purchased 5 years ago will have lost 90% of its effective compute power  $[(.5)/(5/1.5) = 10\%]$ , and thus most of its value, during that time. For example, the Wheeler system currently housed at CARC was originally acquired by Los Alamos National Labs for in excess of \$3,000,000. Its donation value to UNM after 5 years of use had a gifted value significantly less than this due to the depreciation rate of modern large-scale computing systems.

CARC currently seeks to surplus the Nano cluster system and, in the coming months, I anticipate that the Center will seek to surplus additional systems, for example elements of the "RSC" HP Enterprise storage system, each of which has original costs each in excess of \$100,000. We are surplusing Nano and will be surplusing these additional systems because they no longer have financial or research value to UNM. In addition, surplusing them frees the physical space, power, cooling, and staffing resources necessary to house and manage new research computing system that do benefit the UNM research community.

Thank you in advance for your assistance as we move forward with these extensive surplusing efforts, which will greatly enhance the advanced computational capabilities available to students, faculty, and researchers at UNM.

Asset	Description	Acq. Cost	NBV	Date
N00010866	Server	\$144,408.29	0.00	2007

University Services  
Marcos Roybal  
Associate Director

Memo -#2

Date: August 29, 2018

Business Operations  
1128 University Blvd NE  
505.277.2366

To: Marcos Roybal, Associate Director, University Services

CRLS  
Clark Hall  
505.277.5109

From: UNM Mailing Services

Copy Center  
Dane Smith Hall  
505.277.8267

Subject: Surplus Property Vehicle Disposition

Mailing Systems  
1128 University Blvd NE  
505.277.4124

Re: Surplus of UNM Asset # 214368

Asset cost: \$14,690.00

Records Management  
1128 University Blvd NE  
505.277.1136

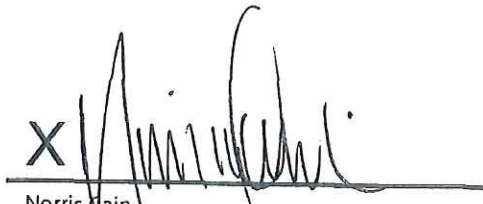
Dear Mr. Roybal,

Shipping & Receiving  
915 Camino de Salud  
505.272.6302

Asset # 214368 Dodge B1500 was purchased on February 15, 1996 by Mailing Systems. The vehicle is no longer used due to improved route efficiency and will save money on fuel cost, high maintenance cost due to being an older vehicle, and repair cost. Mailing Systems would like to send this vehicle to UNM Surplus Property.

Surplus Property  
1128 University Blvd NE  
505.277.2923

Sincerely,

  
Norris Gain  
Supervisor-Incoming/Outgoing Mail

Asset	Description	Acq. Cost	NBV	Date
214368	Vehicle	14,690.00	0.00	1996



CIVIL, CONSTRUCTION  
& ENVIRONMENTAL  
ENGINEERING

Memo - #3

Date: August 27, 2018

To: UNM Surplus Property

From: Yolanda Sanchez, Dept. Administrator

Subject: Request to Surplus Erskine Tutor (157882)

The department is requesting the items listed below be surplus and deleted from our inventory. Both items have become obsolete and are no longer being used.

Erskine Tutor UNM #157882

Please feel free to contact me if you have any questions or need any further information.

Thank you.

Approved:

A handwritten signature in black ink, appearing to read 'R. Taha', is written over a horizontal line.

Mahmoud R. Taha, Chair

Asset	Description	Acq. Cost	NBV	Date
157882	Turbine/Stand	\$13,863.00	0.00	1983



## MEMO

**Date:** 9/19/2018

**TO:** UNM Board of Regents

**From:** Jeff Brumfield, Supervisor, Automotive Dept.

**Re:** 2018 Surplus vehicle auction – 202867, 221930, 263136, 263137, 263138.  
N00000089, N00023694, N0023695

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**This is a new auction for all of the vehicles from UNM and may include electric carts, mules, and riding mowers.**

**All vehicles have been used to the point of exhaustion, whether the reason for disposal is old age or now too expensive to repair.**

Asset	Description	Acq. Cost	NBV	Date
202867	MV Truck	\$13,844.00	0.00	1993
221930	MV Van	\$22,176.00	0.00	1997
263136	MV Van	\$20,598.00	0.00	2003
263137	MV Van	\$20,598.00	0.00	2003
263138	MV Van	\$20,598.00	0.00	2003
N00000089	MV Sport Utility	\$21,606.00	0.00	2004
N00023694	Vehicle	\$16,813.00	0.00	2009
N00023695	Vehicle	\$16,813.00	0.00	2009



# New Mexico Compilation Commission

## 13-6-1 . Disposition of obsolete, worn-out or unusable tangible personal property.

A. The governing authority of each state agency, local public body, school district and state educational institution may dispose of any item of tangible personal property belonging to that authority and delete the item from its public inventory upon a specific finding by the authority that the item of property is:

- (1) of a current resale value of five thousand dollars (\$5,000) or less; and
- (2) worn out, unusable or obsolete to the extent that the item is no longer economical or safe for continued use by the body.

B. The governing authority shall, as a prerequisite to the disposition of any items of tangible personal property:

- (1) designate a committee of at least three officials of the governing authority to approve and oversee the disposition; and
- (2) give notification at least thirty days prior to its action making the deletion by sending a copy of its official finding and the proposed disposition of the property to the state auditor and the appropriate approval authority designated in Section 13-6-2 NMSA 1978, duly sworn and subscribed under oath by each member of the authority approving the action.

C. A copy of the official finding and proposed disposition of the property sought to be disposed of shall be made a permanent part of the official minutes of the governing authority and maintained as a public record subject to the Inspection of Public Records Act [Chapter 14, Article 2 NMSA 1978].

D. The governing authority shall dispose of the tangible personal property by negotiated sale to any governmental unit of an Indian nation, tribe or pueblo in New Mexico or by negotiated sale or donation to other state agencies, local public bodies, school districts, state educational institutions or municipalities or through the central purchasing office of the governing authority by means of competitive sealed bid or public auction or, if a state agency, through the surplus property bureau of the transportation services division of the general services department.

E. A state agency shall give the surplus property bureau of the transportation services division of the general services department the right of first refusal when disposing of obsolete, worn-out or unusable tangible personal property of the state agency.

F. If the governing authority is unable to dispose of the tangible personal property pursuant to Subsection D or E of this section, the governing authority may sell or, if the property has no value, donate the property to any organization described in Section 501(c)(3) of the Internal Revenue Code of 1986.

G. If the governing authority is unable to dispose of the tangible personal property pursuant to Subsection D, E or F of this section, it may order that the property be destroyed or otherwise permanently disposed of in accordance with applicable laws.

H. If the governing authority determines that the tangible personal property is hazardous or contains hazardous materials and may not be used safely under any circumstances, the property shall be destroyed and disposed of pursuant to Subsection G of this section.

I. No tangible personal property shall be donated to an employee or relative of an employee of a state agency, local public body, school district or state educational institution; provided that nothing in this subsection precludes an employee from participating and bidding for public property at a public auction.

J. This section shall not apply to any property acquired by a museum through abandonment procedures pursuant to the Abandoned Cultural Properties Act [18-10-1 to 18-10-5 NMSA 1978].

K. Notwithstanding the provisions of Subsection A of this section, the department of transportation may sell through public auction or dispose of surplus tangible personal property used to manage, maintain or build roads that exceeds five thousand dollars (\$5,000) in value. Proceeds from sales shall be credited to the state road fund. The department of transportation shall notify the department of finance and administration regarding the disposition of all property.

L. If the secretary of public safety finds that the K-9 dog presents no threat to public safety, the K-9 dog shall be released from public ownership as provided in this subsection. The K-9 dog shall first be offered to its trainer or handler free of charge. If the trainer or handler does not want to accept ownership of the K-9 dog, then the K-9 dog shall be offered to an organization described in Section 501(c)(3) of the Internal Revenue Code of 1986 free of charge. If both of the above fail, the K-9 dog shall only be sold to a qualified individual found capable of providing a good home to the animal.

History: 1953 Comp., § 6-1-7.1, enacted by Laws 1961, ch. 100, § 1; 1979, ch. 195, § 2; 1984, ch. 47, § 1; 1987, ch. 15, § 1; 1989, ch. 211, § 6; 1995, ch. 181, § 1; 1998, ch. 16, § 1; 2001, ch. 317, § 1; 2007, ch. 57, § 4; 2012, ch. 10, § 1; 2013, ch. 9, § 1.

# **Summary Meeting Report (Not Approved Minutes)**

## **Regents' Audit and Compliance Committee**

### **August 23, 2018**

The **Regents' Audit and Compliance Committee (Committee)** held a Regular Meeting on August 23, 2018.

#### **ACTION ITEMS:**

- The Committee approved the prior meeting minutes from May 17, 2018.

The Committee unanimously approved the following audits:

- Audit of UNM College of Nursing Dean's Scholars Award, Report #2018-02-A
- University President's Travel, Entertainment, and Other Expenses, Report 2017-10
- Audit of Internet Native Banner User Access, Report # 2018-06

#### **INFORMATION ITEMS:**

- **Director of Internal Audit Status Report.** Director Patel reviewed the schedule of current external audits. The most important is the National Science Foundation. They contracted with a private CPA firm to review about \$79 million in grant expenditures. Of that, they identified \$73,000 in questioned costs. That is a very insignificant portion. The University's Contract and Grants Department and PIs should be credited for that. There is also a contract audit through CMS for Medicare/Medicaid. For the first six months in 2017, they looked at \$1.3 million in expenditures that got reimbursed. Of that, \$150,000 were repaid.
- **Audit Recommendations Follow-up.** Chien-chih Yeh, Internal Audit Manager provided a review of prior audit recommendations. At the last meeting, there were eight (8) outstanding recommendations. After the completion of some new audits, there are now 32 new items added to this meeting's report. Some of the 40 total recommendations have been implemented. Rob Robinson, Fiscal Operations Director for Athletics provided the Committee with detailed information on recommendations. Mr. Robinson stated all fundraising activities are housed in the Lobo Club. There is a separation of public and private funds. That is in place and is the model moving forward. Internal Audit verified these activities. Director Patel added Internal Audit will be able to verify future transactions using the quarterly cost reporting. Additionally, Internal Audit can do another in depth follow-up audit on the high risk areas in the next 18-24 months.

Athletics now has all personnel who are recipients sign agreements with the providers of courtesy cars. Internal Audit is also reviewing mileage reports.

Mr. Robinson stated all year end budget process journal vouchers have adequate supporting documentation, including endowment journal vouchers. All new indices must now have a fund establishment form that tracks the purpose. A complete review of the Banner system was performed. Any duplicate indices were removed.

There is a process and procedures manual, but it is outdated due to new systems implementation and restructured practices, etc., and it is being updated. They expect a fall completion time. Expenses can be adjusted in real time based on ticket revenues, as they have restructured the budgeting.

All PCard activity is now reviewed by the fiscal shared services' office with Mr. Robinson's involvement. He is provided monthly reports for each index. Athletics has moved away from cash advances whenever possible. They are using per diem debit cards for team travel. All PCard holders complete cash management training.

Mr. Griego stated that the Memorandum of Agreement to define the roles and duties of the Foundation and the Lobo Club should be complete by the end of September. There is a committee that reviews donor instructions for endowments and makes sure funds are used in compliance with donor intent.

All outstanding collections for suite sale payments have been received. The Lobo Club houses the payments. The final pending item is the Memorandum of Agreement. Mr. Griego noted they made a lot of improvements on their processes. People can pay in installments, but tickets are not released until payment is made in full. Staff NCAA Mountain West tournament tickets are now purchased through Athletics' index, and donors purchase through Lobo Club to keep the transactions separated. Internal Audit will go in and test the purchases.

Athletics is coordinating with HR shared services on payroll processing for event management staff. Issues occur when there is a Monday deadline for processing time and an event occurred the previous Thursday, Friday or Saturday.

Chrome River items, such as standardized PCard training, approval group updates, and supervisor approvals of expenses, particularly travel, are implemented. Ms. Metzger stated most of the remaining Chrome River items have a completion date of October 1, 2018 and are partially completed. Since this is a system programmed by the vendor, in some cases UNM has to reach out to them to see what changes can be made. Director Patel stated this is another case where Internal Audit will go back in after a period of time to take another look. Mr. Patel stated the accountable plan policy updates were approved by the President. Multiple communications have gone out campus-wide about the updates and complying with the policies.

The meeting adjourned at 12:06 PM.



September 24, 2018

TO: Board of Regents Academic Student Affairs and Research Committee

FROM: Rick Holmes, Office of the University Secretary

SUBJECT: Summer 2018 Degree Candidates

The Faculty Senate approved the Summer 2018 Degree Candidates at the August 28, 2018 Faculty Senate meeting.

Included is the list of the Summer 2018 Degree Candidates. Please do not publish the candidates that are on the privacy flag list (last page).

Degree Candidate Summary*	
Doctoral or Terminal	84
Master's	282
Bachelor's	378
Associate's	72
Total	816

*\*Final number of conferred degrees will be slightly lower due to changes in student degree status that occur until the end of the semester.*

Please place this item on the next Board of Regents ASAR Committee meeting agenda for consideration.

Thank you.

Attachments

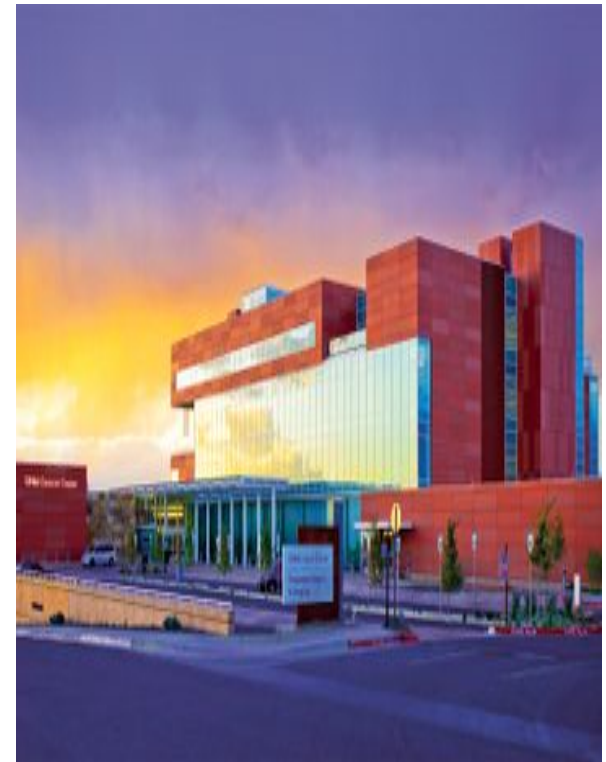
## TAB 7

### Approval of Honorary Degree Candidates

*(Candidate names are kept confidential until  
prospective recipients have been notified.)*

# UNM Health Sciences Center

**Monthly Financial Report  
Total Operations – Current Funds  
Fiscal Year to Date as of August 31, 2018**



**HEALTH  
SCIENCES**

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HEALTH  
SCIENCES

# UNM Health Sciences Center

## Executive Summary – BUDGETARY BASIS FORMAT

The following financial statements for the UNM Health Sciences Center are presented in accordance with the Budgetary Basis of Reporting as submitted to the New Mexico Higher Education Department. The Statements of Revenues, Expenses and Changes in Net Position are presented for two (2) entities: UNM HSC Academic Enterprise and UNM Hospitals in a consolidated format.

The total UNM Health Sciences Center net margin was \$6.6M as of August 31, 2018 compared to \$6.0M as of August 31, 2017. The UNM HSC Academic Enterprise net margin is \$6.6M as of August 31, 2018. The net margin for UNM Hospitals through August 31, 2018 is \$(55K).

### ***Instruction & General (I&G)***

The net margin for I&G for FY 2019 year to date is \$6.6M. The entire fall semester's tuition is included in this balance, which adds approximately \$5.5M to the net margin.

### ***Research***

The HSC Research operation has a net margin of \$(678K) as of 8/31/18. Expenses tend to ramp up slowly through the first quarter of the fiscal year. A full year of Cost Share transfers have already been booked for the year. The Operating Budget reflects a use of reserves for non-recurring expenditures that includes CTSC Scholar startup packages, equipment purchases and research supplies.

# UNM Health Sciences Center

## Executive Summary – BUDGETARY BASIS FORMAT cont...

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### ***Public Service***

Public Service had a net margin of \$(600K) as of August 31, 2018. Revenues are lagging behind budget due to timing of transfers, gift revenue and intercompany billings.

### ***Student Aid***

The net margin is \$(104K) as of August 2018.

### ***Clinical Operations***

The School of Medicine (SOM) Clinical Departments have a favorable net margin of \$1.5M as of August 31, 2018 and UNM Hospitals currently has a net margin of \$(55K) for a combined net margin of \$1.4M. The School of Medicine net margin is up from the prior year due to cost reduction initiatives in several of the larger departments. At UNM Hospitals, net patient service revenues are \$4.2M above budget and other operating revenues from contract pharmacy are up \$2.1M from budget. The operating expenses are \$7.5M higher than budget due to increased volumes/revenues as well as the salary increase that went into effect on July 1<sup>st</sup>.

Statements of Revenues, Expenses and Changes in Net Position Format for Regents  
For the two month period ended August 31, 2018  
Preliminary and Unaudited

**Health Sciences Center - Total Operations Current Funds**

	FY 2019 Full Year Operating Budget	FY 2019 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 17%	FY 2018 Year-to-Date Actual	FY 2019 YTD Actual Change From FY 2018 YTD Actual
Instruction and General						
Tuition and Fees Revenues	18,634,587	8,652,367	(9,982,220)	46%	8,438,109	214,258
State/Local Appropriations	60,256,900	9,865,660	(50,391,240)	16%	9,868,221	(2,561)
F & A Revenues	25,000,000	4,006,959	(20,993,041)	16%	3,710,717	296,242
Transfers	9,433,666	2,683,523	(6,750,143)	28%	2,859,294	(175,771)
Other Revenues	4,198,425	617,800	(3,580,625)	15%	647,706	(29,906)
Total Instruction and General Revenues	117,523,578	25,826,309	(91,697,269)	22%	25,524,047	302,262
Salaries	75,934,032	12,194,574	(63,739,458)	16%	11,912,669	(281,905)
Benefits	22,865,088	3,777,049	(19,088,039)	17%	3,731,940	(45,109)
Other Expenses	19,365,032	3,298,456	(16,066,576)	17%	2,900,513	(397,943)
Total Instruction and General Expenses	118,164,152	19,270,079	(98,894,073)	16%	18,545,122	(724,957)
Net Instruction and General Revenue/(Expense)	(640,574)	6,556,230	7,196,804		6,978,925	(422,695)
Research						
State/Local Appropriations	9,446,475	1,773,077	(7,673,398)	19%	1,770,737	2,340
Generated Revenues	388,221	13,464	(374,757)	3%	46,600	(33,136)
Transfers	15,793,498	721,912	(15,071,586)	5%	632,517	89,395
Other Revenues	1,034,107	139,710	(894,397)	14%	71,696	68,014
Total Research Revenues	26,662,301	2,648,163	(24,014,138)	10%	2,521,550	126,613
Salaries and Benefits	15,231,343	2,165,712	(13,065,631)	14%	2,303,115	137,403
Other Expenses	12,559,867	1,160,864	(11,399,003)	9%	1,215,966	55,102
Total Research Expenses	27,791,210	3,326,576	(24,464,634)	12%	3,519,081	192,505
Net Research Revenue/(Expense)	(1,128,909)	(678,413)	450,496		(997,531)	319,118
Public Service						
State/Local Appropriations	255,700	42,000	(213,700)	16%	42,000	-
Sales and Services Revenues	15,926,604	2,324,251	(13,602,353)	15%	2,757,502	(433,251)
Gifts	2,870,748	174,527	(2,696,221)	6%	368,961	(194,434)
Transfers	(4,567,328)	(1,253,816)	3,313,512	27%	(1,164,022)	(89,794)
Other Revenues	2,180,579	156,906	(2,023,673)	7%	133,539	23,367
Total Public Service Revenues	16,666,303	1,443,868	(15,222,435)	9%	2,137,980	(694,112)
Salaries and Benefits	7,848,772	1,206,367	(6,642,405)	15%	796,155	(410,212)
Other Expenses	8,397,776	837,212	(7,560,564)	10%	1,137,569	300,357
Total Public Service Expenses	16,246,548	2,043,579	(14,202,969)	13%	1,933,724	(109,855)
Net Public Service Revenue/(Expense)	419,755	(599,711)	(1,019,466)		204,256	(803,967)

Statements of Revenues, Expenses and Changes in Net Position Format for Regents  
For the two month period ended August 31, 2018  
Preliminary and Unaudited

**Health Sciences Center - Total Operations Current Funds**

	FY 2019 Full Year Operating Budget	FY 2019 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 17%	FY 2018 Year-to-Date Actual	FY 2019 YTD Actual Change From FY 2018 YTD Actual
Student Aid						
Gifts	1,997,389	17,545	(1,979,844)	1%	-	17,545
Investment Income	-	-	-	N/A	-	-
Transfers	2,126,022	499,549	(1,626,473)	23%	464,462	35,087
Other Revenues	-	-	-	N/A	100	(100)
Total Student Aid Revenues	4,123,411	517,094	(3,606,317)	13%	464,562	52,532
Salaries and Benefits	1,533,082	276,047	(1,257,035)	18%	245,078	(30,969)
Other Expenses	2,695,624	344,955	(2,350,669)	13%	269,094	(75,861)
Total Student Aid Expenses	4,228,706	621,002	(3,607,704)	15%	514,172	(106,830)
Net Student Aid Revenue/(Expense)	(105,295)	(103,908)	1,387		(49,610)	(54,298)
Student Activities						
Fee Revenues	-	-	-	N/A	-	-
Sales and Services Revenues	55,943	5,959	(49,984)	11%	6,146	(187)
Transfers	1,422	1,422	-	100%	264	1,158
Other Revenues	-	-	-	N/A	-	-
Total Student Activities Revenues	57,365	7,381	(49,984)	13%	6,410	971
Salaries and Benefits	-	-	-	N/A	-	-
Other Expenses	61,186	7,393	(53,793)	12%	3,053	(4,340)
Total Student Activities Expenses	61,186	7,393	(53,793)	12%	3,053	(4,340)
Net Student Activities Revenue/(Expense)	(3,821)	(12)	3,809		3,357	(3,369)
Sponsored Programs						
Federal Grants and Contracts Revenues	122,514,828	15,640,206	(106,874,622)	13%	14,563,636	1,076,570
State and Local Grants and Contracts Revenues	18,461,138	2,379,861	(16,081,277)	13%	2,185,214	194,647
Non-Governmental Grants and Contracts Revenues	26,852,565	3,348,664	(23,503,901)	12%	3,521,689	(173,025)
Gifts	-	-	-	N/A	-	-
Other Revenues	-	-	-	N/A	-	-
Transfers	1,964,504	1,096,950	(867,554)	56%	1,180,016	(83,066)
Total Sponsored Programs Revenues	169,793,035	22,465,681	(147,327,354)	13%	21,450,555	1,015,126
Salaries and Benefits	93,386,169	12,894,245	(80,491,924)	14%	12,889,971	(4,274)
Other Expenses	76,406,866	9,571,436	(66,835,430)	13%	8,560,584	(1,010,852)
Total Sponsored Programs Expenses	169,793,035	22,465,681	(147,327,354)	13%	21,450,555	(1,015,126)
Net Sponsored Programs Revenue/(Expense)	-	-	-		-	-



Statements of Revenues, Expenses and Changes in Net Position Format for Regents  
For the two month period ended August 31, 2018  
Preliminary and Unaudited

**Health Sciences Center - Total Operations Current Funds**

	FY 2019 Full Year Operating Budget	FY 2019 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 17%	FY 2018 Year-to-Date Actual	FY 2019 YTD Actual Change From FY 2018 YTD Actual
Clinical Operations						
State/Local Appropriations	26,530,000	4,374,640	(22,155,360)	16%	4,174,516	200,124
Physician Professional Fee Revenues	133,242,851	22,100,908	(111,141,943)	17%	20,230,603	1,870,305
Hospital Facility Revenues	939,105,744	161,187,406	(777,918,338)	17%	152,186,099	9,001,307
Other Patient Revenues, net of Allowance	173,015,985	26,386,208	(146,629,777)	15%	24,335,084	2,051,124
Mil Levy	100,269,401	16,711,567	(83,557,834)	17%	16,379,439	332,128
Investment Income	(82,916)	333,557	416,473	-402%	170,253	163,304
Gifts	3,138,141	252,881	(2,885,260)	8%	605,293	(352,412)
Housestaff Revenues	37,950,860	6,583,784	(31,367,076)	17%	6,776,170	(192,386)
Transfers	(6,525,332)	(1,659,749)	4,865,583	25%	(1,311,772)	(347,977)
Other Revenues	44,586,523	10,117,550	(34,468,973)	23%	5,967,571	4,149,979
Total Clinical Operations Revenues	1,451,231,257	246,388,752	(1,204,842,505)	17%	229,513,256	16,875,496
Salaries and Benefits	809,851,210	134,736,164	(675,115,046)	17%	126,295,058	(8,441,106)
Interest Expense	3,799,848	633,308	(3,166,540)	17%	632,375	(933)
Housestaff Expenses	37,950,860	6,394,102	(31,556,758)	17%	6,232,884	(161,218)
Other Expenses	598,128,166	103,229,923	(494,898,243)	17%	96,498,315	(6,731,608)
Total Clinical Operations Expenses	1,449,730,084	244,993,497	(1,204,736,587)	17%	229,658,632	(15,334,865)
Net Clinical Operations Revenue/(Expense)	1,501,173	(1) 1,395,255	(2) (105,918)		(145,376)	1,540,631
Contingencies						
Total Contingency Revenues	-	-	-	N/A	-	-
Total Contingency Expenses	-	-	-	N/A	-	-
Net Contingencies Revenue/(Expense)	-	-	-		-	-
<b>Net Current Revenue/(Expense)</b>	<b>42,329</b>	<b>6,569,441</b>	<b>6,527,112</b>		<b>5,994,021</b>	<b>575,420</b>

(1) **OPERATING BUDGET** - Clinical Operations include the SOM Clinical Departments which have a budgeted consolidated net margin of \$1,498,537 and UNM Hospitals operations which has a budgeted net margin of \$2,636

(2) **ACTUAL** - Clinical Operations include the SOM Clinical Departments which currently have a consolidated net margin of \$1,450,499 and UNM Hospitals operations which currently has a net margin of \$(55,244)

**Statements of Revenues, Expenses and Changes in Net Position Format for Regents**  
**For the two month ended period August 31, 2018**  
**Preliminary and Unaudited**

**Detail of State/Local Appropriations**  
**Health Sciences Center - Total Operations Current Funds**

	<b>FY 2019 Full Year Operating Budget</b>	<b>FY 2019 Year-to-Date Actual</b>	<b>Fiscal YTD Favrb/(Unfavrb) Budget</b>	<b>Actual to Budget Benchmark Rate 17%</b>
<b>Instruction and General</b>				
Instruction & General Appropriations	59,175,400	9,685,400	(49,490,000)	16%
Tobacco Settlement Appropriations				
Instruction & General	581,500	97,340	(484,160)	17%
Pediatric Specialty Education	250,000	41,460	(208,540)	17%
Trauma Specialty Education	250,000	41,460	(208,540)	17%
Total Tobacco Settlement Appropriations	1,081,500	180,260	(901,240)	17%
Total Instruction and General Appropriations	60,256,900	9,865,660	(50,391,240)	16%
<b>Research</b>				
State Special Project Appropriations				
Cancer Center	2,549,000	411,500	(2,137,500)	16%
Hepatitis C, Project ECHO	2,046,100	336,200	(1,709,900)	16%
Total State Special Project Appropriations	4,595,100	747,700	(3,847,400)	16%
Tobacco Settlement Appropriations				
Genomics, Biocomputing, Environmental Health	937,400	156,221	(781,179)	17%
Total Tobacco Settlement Appropriations	937,400	156,221	(781,179)	17%
Cigarette Tax Revenues	3,913,975	869,156	(3,044,819)	22%
Total Research Appropriations	9,446,475	1,773,077	(7,673,398)	19%
<b>Public Service</b>				
State Special Project Appropriations				
Center for Native American Health	255,700	42,000	(213,700)	16%
Total State Special Project Appropriations	255,700	42,000	(213,700)	16%
Total Public Service Appropriations	255,700	42,000	(213,700)	16%
<b>Clinical Operations</b>				
State Special Project Appropriations				
Newborn Intensive Care Unit	3,145,800	511,300	(2,634,500)	16%
Office of the Medical Investigator	5,313,400	868,200	(4,445,200)	16%
Pediatric Oncology	1,220,900	199,100	(1,021,800)	16%
Poison and Drug Info Center	1,493,000	242,200	(1,250,800)	16%
Native American Suicide Prevention	92,800	15,400	(77,400)	17%
GME Residencies	1,690,700	276,200	(1,414,500)	16%
UNM Hospitals	12,733,200	2,122,200	(10,611,000)	17%
Total State Special Project Appropriations	25,689,800	4,234,600	(21,455,200)	16%
Tobacco Settlement Appropriations				
Pediatric Oncology	250,000	41,670	(208,330)	17%
Poison and Drug Info Center	590,200	98,370	(491,830)	17%
Total Tobacco Settlement Appropriations	840,200	140,040	(700,160)	17%
Total Clinical Operations Appropriations	26,530,000	4,374,640	(22,155,360)	16%

Regent's Report Analysis for Ava Lovell			
August 31, 2018			
<b>* I&amp;G Other Revenue Actuals Detail</b>	<b>8/31/2018</b>	<b>8/31/2017</b>	<b>Variance</b>
Misc. Revenue	515.00	500.00	15.00
Faculty Practice Income	548,098.00	548,098.00	-
Billings to UH - Custodial & Nursing Deans Teaching Support	(346.88)	60,191.46	(60,538.34)
Billings to SRMC	-	-	-
Billings to UNMMG (Library Collections, Legal Counsel, etc.)	12,625.00	25,000.00	(12,375.00)
Sales & Services (Custodial Services, PPD Lock Shop, PPD Landscaping, etc.)	56,908.98	13,916.16	42,992.82
<b>TOTAL</b>	<b>617,800.10</b>	<b>647,705.62</b>	<b>(29,905.52)</b>
<b>* I&amp;G Other Expense Actuals Detail</b>	<b>8/31/2018</b>	<b>8/31/2017</b>	<b>Variance</b>
Capital Expenditures	166,831.62	201,859.70	(35,028.08)
Communication Charges	61,635.94	56,860.03	4,775.91
Bad Debt Expense	-	-	-
Other Expenses	(183,987.11)	(270,072.00)	86,084.89
Patient Care Costs	9,571.38	6,636.13	2,935.25
Plant Maintenance	229,104.68	161,283.61	67,821.07
Research Costs	5,163.66	8,479.04	(3,315.38)
Purchased Services	895,268.85	736,763.46	158,505.39
Special Grant & Contract Expense	5,010.50	-	5,010.50
Student Costs	733,275.26	670,394.16	62,881.10
Supplies	323,097.18	282,565.82	40,531.36
Travel	66,665.05	59,393.83	7,271.22
Utilities	986,819.04	986,350.06	468.98
<b>TOTAL</b>	<b>3,298,456.05</b>	<b>2,900,513.84</b>	<b>397,942.21</b>
<b>* I&amp;G Other Expense Actuals Detail - by Org Level 5</b>	<b>8/31/2018</b>	<b>8/31/2017</b>	<b>Variance</b>
Continuing Medical Educ Department	-	-	-
VP Health Sciences Office	11,659.88	4,962.29	6,697.59
Poison Control	-	-	-
HSC Office of Community Affairs	-	-	-
School of Medicine Deans Office	107.45	95.08	12.37
VP HSC Monitoring (General Liability Insurance)	278,550.00	(32,631.59)	311,181.59
AS BA/MD Program (Scholarships/Fellowships)	436,603.31	404,294.26	32,309.05
SOM Faculty Affairs	171.10	10.10	161.00
Family Community Medicine Dept.	28,140.19	12,321.98	15,818.21
Interprofessional Education	334.98	145.09	189.89
Office of Emergency Management	24.09	-	24.09
VP for Community Health	4,315.04	6,842.33	(2,527.29)
Batcave	-	-	-
HSC Academic Affairs	428.01	150.48	277.53
HSC Registrar	662.12	514.75	147.37
Senior Assoc. Dean Office of Educ.	2,822.83	3,938.26	(1,115.43)
Pathology Dept.	28,802.21	2,305.91	26,496.30
HSC Wellness	4,195.04	4,839.15	(644.11)
Unrestricted Accounting HSC Office	2,601.21	836.85	1,764.36
HSC Professionalism Office	349.54	1,578.99	(1,229.45)
HSC Financial Aid	-	-	-
CNAH	-	-	-
HSC Faculty Contracts	1,067.70	1,234.51	(166.81)
HSC Faculty Council	-	89.86	(89.86)
HSC Development Office	4,831.16	10,537.66	(5,706.50)
Inst Indigenous Knowledge & Devl	-	-	-
COP Clinical Affairs	-	198.98	(198.98)
COP Radiopharmacy	285.10	-	285.10
Vice Chancellor for Diversity	118,214.91	64,174.16	54,040.75
COPH Deans Office	44,668.16	5,153.28	39,514.88
HSC Budget Office Monitoring	(229,100.00)	-	(229,100.00)
Exec Vice Chancellor -Operations	4,013.09	-	4,013.09
UNM HSC West Operations	67,979.02	4,483.12	63,495.90
Exec Vice Chancellor -IT	81,377.77	56,586.32	24,791.45
HSC Education Space Scheduling	1,928.81	-	1,928.81

Pharmacy Deans Office (Supplies, Scholarship/Fellowships, Professional Services, etc.)	131,402.54	134,516.20	(3,113.66)
HSC Financial Services	212.10	334.35	(122.25)
Psychiatry Psych	14.53	829.27	(814.74)
HS Library and Informatics Ctr. (Electronic Journals & Perpetuals)	241,557.72	275,451.83	(33,894.11)
Neurology	77.96	46.90	31.06
Orthopaedics	46,526.21	27,341.27	19,184.94
Radiology Dept.	1,093.94	1,433.49	(339.55)
COP Pharmacy Practice & Admin.	10,983.76	14,966.13	(3,982.37)
SOM Surgery	-	-	-
Undergrad Med Educ Dept. (Curriculum fees, supplies, student costs, etc.)	154,478.24	140,842.29	13,635.95
SOM BA/MD Program	22,301.65	21,108.63	1,193.02
Department of Dental Medicine	21,407.42	17,173.74	4,233.68
COP Pharmaceutical Sciences	3,429.01	12,519.92	(9,090.91)
Emergency Medicine Dept.	7,783.30	424.20	7,359.10
Molecular Genetics Microbiology	6,219.56	2,259.67	3,959.89
HSC Facility Planning	212.10	19,045.64	(18,833.54)
Cell Biology	340.47	2,538.78	(2,198.31)
Dermatology	-	-	-
Nursing Deans Office (Computers, instructional materials, foundation surcharge, etc.)	306,658.11	311,526.25	(4,868.14)
CTSC Administration	-	-	-
HSC Budget Office	1,715.14	661.76	1,053.38
HSC Operation Plant Maintenance (Custodial services & supplies, property insurance, utilities, etc.)	1,283,781.01	1,228,451.29	55,329.72
HSC Human Resources	271.23	10,566.84	(10,295.61)
Anesthesiology Dept.	-	-	-
HSC Communications (Promotional expenses, etc.)	69,484.48	50,654.51	18,829.97
Obstetrics Gynecology OB GYN	-	-	-
Biochemistry Molecular Biology	18,764.12	10,946.05	7,818.07
HSC Compliance	5,472.72	1,706.29	3,766.43
Internal Medicine IM	1,459.55	-	1,459.55
Sr Exec Officer - Finance & Admin	16,834.02	8,292.13	8,541.89
SOM Student Services	8,496.90	3,388.07	5,108.83
HSC Legal Counsel Office	12,706.40	9,713.88	2,992.52
Pre Award Services HSC	1,626.62	2,475.31	(848.69)
Contract Grant Accounting HSC	2,673.77	2,448.14	225.63
Neurosciences	9,076.12	18,408.93	(9,332.81)
Biomedical Rsrch Edu Prog	-	-	-
Pediatrics	16,362.63	17,780.26	(1,417.63)
<b>TOTAL</b>	<b>3,298,456.05</b>	<b>2,900,513.84</b>	<b>397,942.21</b>
<b>* Research Other Revenue Actuals Detail</b>	<b>8/31/2018</b>	<b>8/31/2017</b>	<b>Variance</b>
Misc. Revenue	1,680.00	16,991.07	(15,311.07)
Gain on Sponsored Projects	104,560.80	-	104,560.80
Gain on Unrestricted Projects	-	-	-
Billings to UH (CRTC Faculty Research Support, CTSC Rent, HSC Radiation Safety, etc.)	32,764.58	53,365.82	(20,601.24)
Billings to SRMC (HSC Radiation Safety)	704.17	-	704.17
Billings to UNMMG (HSC Radiation Safety)	-	1,339.16	(1,339.16)
<b>TOTAL</b>	<b>139,709.55</b>	<b>71,696.05</b>	<b>68,013.50</b>
<b>* Research Other Expense Actuals Detail</b>	<b>8/31/2018</b>	<b>8/31/2017</b>	<b>Variance</b>
Capital Expenditures	21,129.76	-	21,129.76
Communication Charges	34,852.54	37,151.99	(2,299.45)
Bad Debt Expense	8,330.00	6,666.00	1,664.00
Debt Service	172,916.94	172,805.98	110.96
Other Expenses	107,859.29	89,220.51	18,638.78
Patient Care Costs	(130.68)	345.49	(476.17)
Plant Maintenance	87,932.43	235,113.34	(147,180.91)
Research Costs	12,698.81	39,103.07	(26,404.26)
Purchased Services	382,454.60	242,592.15	139,862.45
Special Grant & Contract Expense	3,636.17	5,467.54	(1,831.37)
Student Costs	20,053.60	25,167.38	(5,113.78)
Supplies	170,540.44	205,155.10	(34,614.66)
Travel	61,639.39	75,884.45	(14,245.06)

Utilities	76,950.55	81,292.99	(4,342.44)
<b>TOTAL</b>	<b>1,160,863.84</b>	<b>1,215,965.99</b>	<b>(55,102.15)</b>
<b>* Research Other Expense Actuals Detail - by Org Level 5</b>	<b>8/31/2018</b>	<b>8/31/2017</b>	<b>Variance</b>
VP Health Sciences Office	221.20	265.64	(44.44)
Poison Control	-	-	-
School of Medicine Deans Office	24,670.26	24,670.26	-
Cancer Research Treatment Center CRTC (Lab supplies, travel, equipment warranties & maint., debt service, etc.)	162,287.16	205,722.27	(43,435.11)
SOM Faculty Affairs	10,956.15	6,380.29	4,575.86
Family Community Medicine Dept.	-	-	-
HSC O/R Administration (Equipment, supplies, etc.)	19,613.87	16,565.95	3,047.92
HSC Radiation Safety	34,477.35	12,472.22	22,005.13
VP for Community Health	-	-	-
Batcave	-	20,018.20	(20,018.20)
Senior Assoc. Dean Office of Educ.	268.55	1,396.02	(1,127.47)
Pathology Dept.	22,129.95	13,787.09	8,342.86
The ECHO Institute (Computers, travel, supplies, professional services, etc.)	61,952.29	63,973.70	(2,021.41)
Vice Chancellor for Diversity	-	-	-
COPH Deans Office	3,852.78	3,028.44	824.34
Unrestricted Acctg HSC Monitoring	1,541.30	6,019.71	(4,478.41)
Exec Vice Chancellor-Operations	2,123.08	6,073.08	(3,950.00)
Brain and Behavioral Hlth Inst	1,816.70	2,039.39	(222.69)
Pharmacy Deans Office	2,607.22	10,428.40	(7,821.18)
AVP FA Monitoring (Top Slice, Plant Repairs, debt service, utilities, etc.)	604,759.30	599,972.38	4,786.92
Psychiatry Psych	6,934.23	5,454.67	1,479.56
HS Library and Informatics Ctr	229.97	189.58	40.39
Neurology	8,387.01	28,196.32	(19,809.31)
Orthopaedics	10,905.29	23,779.17	(12,873.88)
Radiology Department	-	-	-
COP Pharmacy Practice & Admin Scien	23,467.06	5,784.21	17,682.85
SOM Surgery	-	4,847.78	(4,847.78)
Undergrad Med Educ Department	8,298.03	6,841.80	1,456.23
COP Pharmaceutical Sciences	29,506.08	16,484.13	13,021.95
Emergency Medicine Dept.	546.43	-	546.43
Molecular Genetics Microbiology	5,246.60	2,843.02	2,403.58
Nursing Academic Affairs	-	-	-
Cell Biology	17,450.90	2,568.97	14,881.93
Dermatology	151.50	-	151.50
Nursing Deans Office	2,107.77	11,435.89	(9,328.12)
CTSC Administration (Lab supplies, office supplies, equipment, etc.)	51,043.24	35,066.05	15,977.19
SR Assoc Deans Office	1,641.77	2,639.97	(998.20)
Psychology Dept.	-	-	-
Anesthesiology Department	-	56.25	(56.25)
Obstetrics Gynecology OB GYN	27.04	3,536.09	(3,509.05)
Biochemistry Molecular Biology	1,467.45	661.94	805.51
Internal Medicine IM	10,521.45	9,291.87	1,229.58
Center for Infectious Disease	1,132.09	2,042.64	(910.55)
Neurosciences	3,000.00	471.03	2,528.97
Biomedical Rsrch Edu Prog	22,170.90	21,700.72	470.18
Pediatrics	3,351.87	39,260.85	(35,908.98)
<b>TOTAL</b>	<b>1,160,863.84</b>	<b>1,215,965.99</b>	<b>(55,102.15)</b>
<b>* Public Service Other Revenue Actuals Detail</b>	<b>8/31/2018</b>	<b>8/31/2017</b>	<b>Variance</b>
Student Fees	43,190.52	43,190.52	-
Allocations	102,921.69	82,257.78	20,663.91
Gain on Sponsored Projects	1,663.46	8,697.92	(7,034.46)
Gain on Unrestricted Projects	9,116.24	(607.42)	9,723.66
Misc. Revenue	-	-	-
Investment Income	13.85	-	13.85
<b>TOTAL</b>	<b>156,905.76</b>	<b>133,538.80</b>	<b>23,366.96</b>
<b>* Public Service Other Expense Actuals Detail</b>	<b>8/31/2018</b>	<b>8/31/2017</b>	<b>Variance</b>
Capital Expenditures	-	-	-

Communication Charges	10,037.47	23,362.29	(13,324.82)
Bad Debt Expense	-	(45.00)	45.00
Debt Service	114,420.84	114,547.16	(126.32)
Other Expenses	23,796.73	(8,612.77)	32,409.50
Patient Care Costs	10,664.79	838.96	9,825.83
Plant Maintenance	48,961.46	25,996.03	22,965.43
Research Costs	(7,075.14)	6,777.49	(13,852.63)
Purchased Services	318,661.75	717,779.09	(399,117.34)
Special Grant & Contract Expense	13,384.58	518.35	12,866.23
Student Costs	85,074.18	55,290.49	29,783.69
Supplies	172,171.76	153,542.60	18,629.16
Travel	24,715.65	28,333.00	(3,617.35)
Utilities	22,397.88	19,240.92	3,156.96
<b>TOTAL</b>	<b>837,211.95</b>	<b>1,137,568.61</b>	<b>(300,356.66)</b>
<b>* Public Service Other Expenses Actuals Detail - By Org Level 5</b>	<b>8/31/2018</b>	<b>8/31/2017</b>	<b>Variance</b>
HSC Clinical Contracting	-	-	-
Continuing Medical Educ Dept. (Various courses & conferences costs, etc.)	56,834.00	85,416.52	(28,582.52)
VP Health Sciences Office	168.68	119.17	49.51
College of Pharmacy Continuing Educ.	738.14	22.36	715.78
Poison Control	-	-	-
School of Medicine Deans Office	19,982.06	602,381.82	(582,399.76)
VP HSC Monitoring	-	-	-
Cancer Research Treatment Ctr CRTC	2,120.39	25,239.06	(23,118.67)
Family Community Medicine Dept.	212.87	927.77	(714.90)
BBI Administration	-	-	-
HSC O/R Administration	6,205.30	929.20	5,276.10
VP for Community Health (Pathways - UHP, Care NM, etc.)	41,083.12	50,606.50	(9,523.38)
Senior Assoc. Dean Office of Educ.	1,043.04	1,014.54	28.50
Pathology Dept.	0.66	462.33	(461.67)
The ECHO Institute	104,602.97	17,640.94	86,962.03
CNAH	-	17,634.85	(17,634.85)
Inst Indigenous Knowledge & Devl	-	-	-
COP Clinical Affairs	-	-	-
Vice Chancellor for Diversity	61,320.39	22,991.02	38,329.37
EVC Education	-	-	-
COPH Deans Office	825.93	841.44	(15.51)
Unrestricted Acctg HSC Monitoring	-	(45.00)	45.00
Office of the Medical Investigator	-	-	-
Exec Vice Chancellor-Operations	47,892.36	12,640.97	35,251.39
Exec Vice Chancellor-IT	-	-	-
Pharmacy Deans Office	36,906.68	28,134.46	8,772.22
Psychiatry Psych	2.78	906.00	(903.22)
HS Library and Informatics Ctr.	402.10	1,062.63	(660.53)
Neurology	1,014.59	169.41	845.18
Orthopaedics	-	2,283.61	(2,283.61)
COP Pharmacy Practice & Admin.	-	989.74	(989.74)
Radiology	3,742.39	-	3,742.39
SOM Surgery	2,101.76	-	2,101.76
SOM Neurosurgery	1,534.83	-	1,534.83
Undergrad Med Educ Dept.	72.11	(1,095.56)	1,167.67
Department of Dental Medicine	4,354.31	4,925.79	(571.48)
COP Pharmaceutical Sciences	1,304.41	528.35	776.06
Molecular Genetics Microbiology	-	-	-
Emergency Medicine Dept.	41,357.05	25,121.97	16,235.08
Cell Biology	3,342.72	5,764.69	(2,421.97)
Dermatology	24.10	-	24.10
Nursing Deans Office	19,157.70	20,175.85	(1,018.15)
HSC Budget Office (1650 Utilities, Debt Service for 1650 University & Elks Lodge)	140,355.74	134,424.38	5,931.36
SR Assoc Deans Office	-	-	-
SOM Institute for Ethics	-	39.06	(39.06)
Anesthesiology Dept.	-	840.82	(840.82)
HSC Communications (HSC Radio/TV Promotions, HSC Cancer Center Promotions, etc.)	184,160.03	-	184,160.03
Obstetrics Gynecology OB/GYN	676.08	383.68	292.40
Biochemistry Molecular Biology	-	-	-
Internal Medicine IM	2,211.33	50.68	2,160.65
Sr Exec Officer - Finance & Admin	13,687.29	1,927.65	11,759.64

SOM Student Services	10,250.00	43,912.08	(33,662.08)
Neurosciences	123.62	-	123.62
Pediatrics	27,400.42	28,199.83	(799.41)
<b>TOTAL</b>	<b>837,211.95</b>	<b>1,137,568.61</b>	<b>(300,356.66)</b>
<b>* Student Aid Other Expense Actuals Detail</b>	<b>8/31/2018</b>	<b>8/31/2017</b>	<b>Variance</b>
Capital Expenditures	-	60.49	(60.49)
Communication Charges	310.00	314.29	(4.29)
Other Expenses	55,078.21	60,375.50	(5,297.29)
Patient Care Costs	-	6,379.00	(6,379.00)
Plant Maintenance	439.90	6,049.97	(5,610.07)
Research Costs	23,965.13	7,012.72	16,952.41
Purchased Services	4,581.01	11,638.53	(7,057.52)
Special Grant Contract Expense	-	-	-
Student Costs	227,519.45	135,563.67	91,955.78
Supplies	31,445.66	40,366.58	(8,920.92)
Travel	1,615.17	1,332.96	282.21
<b>TOTAL</b>	<b>344,954.53</b>	<b>269,093.71</b>	<b>75,860.82</b>
<b>* Student Aid Other Expenses Actuals Detail - By Org Level 5</b>	<b>8/31/2018</b>	<b>8/31/2017</b>	<b>Variance</b>
School of Medicine Dean's Office	-	252.53	(252.53)
VP HSC Monitoring	117,335.06	60,329.37	57,005.69
Cancer Research Treatment Ctr CRTC	26,297.22	30,602.17	(4,304.95)
SOM Monitoring	-	-	-
Family Community Medicine Dept.	1,035.25	-	1,035.25
HSC O/R Administration	-	-	-
VP for Community Health	-	-	-
Pathology Department	3,334.92	5,315.76	(1,980.84)
Vice Chancellor for Diversity	10,000.00	5,000.00	5,000.00
Unrestricted Acctg HSC Monitoring	53,232.84	58,574.39	(5,341.55)
General Clinical Research Ctr GCRC	426.18	438.72	(12.54)
Pharmacy Deans Office	-	-	-
Psychiatry Psych	2,330.58	1,965.46	365.12
Scholarships Foundation Funds	-	-	-
HS Library and Informatics Ctr	-	61.09	(61.09)
Neurology	(17,196.46)	0.48	(17,196.94)
Orthopaedics	-	-	-
SOM Surgery	-	-	-
SOM Neurosurgery	-	-	-
SOM BA/MD Program	-	-	-
Radiology Department	35,077.30	-	35,077.30
Department of Dental Medicine	5,168.99	6,040.65	(871.66)
Emergency Medicine Department	761.40	-	761.40
Molecular Genetics Microbiology	1,105.81	5,033.04	(3,927.23)
School of Law	125.00	350.00	(225.00)
Cell Biology	-	323.64	(323.64)
Dermatology	749.42	866.63	(117.21)
Nursing Deans Office	2,977.50	14,269.34	(11,291.84)
SR ASSOC DEANS OFFICE	-	-	-
SOM Institute for Ethics	-	2,186.75	(2,186.75)
Anesthesiology Department	-	-	-
Obstetrics Gynecology OB GYN	8,761.01	10,721.64	(1,960.63)
Biochemistry Molecular Biology	1,050.08	2,298.79	(1,248.71)
Internal Medicine	3,771.58	1,664.31	2,107.27
SOM Student Services	88,439.15	54,000.00	34,439.15
Neurosciences	171.70	8,798.95	(8,627.25)
Pediatrics	-	-	-
VP Research	-	-	-
<b>TOTAL</b>	<b>344,954.53</b>	<b>269,093.71</b>	<b>75,860.82</b>
<b>* Clinical Operations Other Revenues Actuals Detail</b>	<b>8/31/2018</b>	<b>8/31/2017</b>	<b>Variance</b>
Allocations	(102,921.69)	(82,257.78)	(20,663.91)



Faculty Practice Income	(548,098.00)	(548,098.00)	-
Grants & Contracts	417,436.16	17,320.76	400,115.40
Gain on Sponsored Projects	543,209.05	297,457.47	245,751.58
Gain on Unrestricted Projects	(9,116.24)	607.42	(9,723.66)
Misc. Revenue	(69,524.45)	3,115.62	(72,640.07)
Sales & Services Revenue (COP Radiopharmacy, OMI, CDD Peds - Fit Medicaid Billing, etc.)	1,150,121.11	914,579.50	235,541.61
Student Fees	-	-	-
UH- Grants & Contracts	377,067.00	428,266.00	(51,199.00)
UH - Other operating revenues	1,391,064.00	1,250,127.00	140,937.00
UH - 340B Revenues	6,500,804.00	3,681,480.00	2,819,324.00
UH - Equity (Loss) in Tricare Invest	323,246.00	(145,925.00)	469,171.00
UH - Other Non operating Revenues	144,264.00	150,898.00	(6,634.00)
<b>TOTAL</b>	<b>10,117,550.94</b>	<b>5,967,570.99</b>	<b>4,149,979.95</b>
<b>* Clinical Operations Other Expense Actuals Detail</b>	<b>8/31/2018</b>	<b>8/31/2017</b>	<b>Variance</b>
Capital Expenditures	-	19,108.98	(19,108.98)
Communication Charges	249,619.10	238,921.54	10,697.56
Bad Debt Expense	-	-	-
Other Expenses (Foundation Surcharge, Banner Tax, etc.)	409,686.63	573,530.44	(163,843.81)
Patient Care Costs	192,850.22	309,049.41	(116,199.19)
Plant Maintenance	408,262.88	457,359.45	(49,096.57)
Research Costs	40,500.50	41,145.28	(644.78)
Purchased Services ( FY 2019 Cancer Center \$681K, OMI \$237K, Peds \$54K, Neurology \$11K and Health System \$4K/ FY 2018 Cancer Center \$563K, OMI \$225K, Peds \$44K, Neurology \$21K and Health System \$65K)	1,451,309.46	1,529,341.46	(78,032.00)
Special Grant & Contract Expense	163,227.90	35,207.88	128,020.02
Student Costs	10,966.29	11,231.63	(265.34)
Supplies (FY 2019 Cancer Center \$180K, Internal Medicine \$61K, Peds \$52K, Surgery \$35K/ FY 2018 Cancer Center \$258K, Internal Medicine \$103K, Peds \$50K, Surgery \$43K)	862,077.72	959,592.24	(97,514.52)
Travel	485,261.24	394,209.72	91,051.52
Utilities	96,864.99	71,088.52	25,776.47
UH- Supplies/Medical Supplies	35,207,384.00	30,562,364.00	4,645,020.00
UH - UCP	14,848,471.00	14,955,683.00	(107,212.00)
UH - Housestaff	5,504,564.00	5,142,331.00	362,233.00
UH - Travel	143,798.00	142,494.00	1,304.00
UH - Patient Care Costs	8,097,161.00	7,486,873.00	610,288.00
UH - Telephone/Communication Costs	667,961.00	655,512.00	12,449.00
UH - Purchased Services	9,650,568.00	8,481,474.00	1,169,094.00
UH - Other Medical Services	7,065,155.00	6,875,096.00	190,059.00
UH - Sub Awards/Service Contracts	956,990.00	2,509,738.00	(1,552,748.00)
UH - O&M & Leases	3,721,878.00	2,835,624.00	886,254.00
UH - Utilities	1,211,522.00	1,354,434.00	(142,912.00)
UH - Depreciation	5,182,231.00	5,238,750.00	(56,519.00)
UH - Other Expenses	6,601,613.00	5,618,156.00	983,457.00
<b>TOTAL</b>	<b>103,229,922.93</b>	<b>96,498,315.55</b>	<b>6,731,607.38</b>

# UNM Health Sciences Center

## Executive Summary - GASB FORMAT

The following financial statements for the UNM Health Sciences Center are presented in accordance with the GASB financial reporting model. The Statements of Revenues, Expenses and Changes in Net Position are presented for four (4) entities: UNM HSC Academic Enterprise, UNM Hospitals, UNM Medical Group and Sandoval Regional Medical Center. There are also Consolidated UNM Health Sciences Center statements – one that excludes intercompany eliminations and one including intercompany eliminations.

### ***UNM HSC Academic Enterprise***

The net margin is \$6.6 as of August 2018. The entire fall semester's tuition is included in this balance, which adds approximately \$5.5M to the net margin.

### ***UNM Hospitals***

The net margin for FY 2019 year to date is \$(55K). At UNM Hospitals, net patient service revenues are \$4.2M above budget and other operating revenues from contract pharmacy are up \$2.1M from budget. The operating expenses are \$7.5M higher than budget due to increased volumes/revenues as well as the salary increase that went into effect on July 1<sup>st</sup>.

# UNM Health Sciences Center

## Executive Summary - GASB FORMAT cont...

### ***UNM Medical Group***

The net margin as of August 31, 2018 is \$525K. While revenues are up \$1.7M over last year, purchased services are up as well, with the net gain of \$525K coming from earnings in the Lovelace/UNM joint venture.

### ***Sandoval Regional Medical Center***

The operations of the SRMC had a net margin of \$(718K) through August 31, 2018. Although revenues and expenses are tracking with budget, historically, the first month of the fiscal year produces a net loss due to the seasonality of healthcare. In July 2018, SRMC had a net margin of \$(834K) and in August 2018 the net margin was \$116K.

\* UNM HSC ACADEMIC ENTERPRISE ONLY INCLUDES: SOM, CON, COP, HSLIC, ADMIN, RESEARCH, COPH

	University HSC FY 2019 Original Budget 8/31/2018	University HSC FY 2019 YTD 8/31/2018 Actual	University HSC FY 2018 Year End Actual	University HSC FY 2019 YTD Actual to FY 2019 Original Budget Benchmark Rate (17%)	University HSC FY 2019 YTD Actual to FY 2018 Year End Actual Benchmark Rate (17%)
1 UNM MEDICAL GROUP REVENUES	133,243	22,041	127,960	17%	17%
2 UNM HOSPITALS REVENUES	183,078	29,540	181,948	16%	16%
3 SRMC REVENUES	22,171	3,139	11,778	14%	27%
4 DIRECT TUITION AND FEES	18,689	8,696	17,991	47%	48%
5 CIGARETTE TAX REVENUES	3,914	869	4,028	22%	22%
6 RPSP APPROPRIATIONS	19,585	3,198	18,648	16%	17%
7 I&G APPROPRIATIONS	60,257	9,896	59,285	16%	17%
8 I&G MAIN CAMPUS TRANSFERS	9,797	1,633	9,759	17%	17%
9 HSC I&G TUITION TRANSFER	11,855	1,976	11,477	17%	17%
10 F&A REVENUES (OH RETURN)	25,000	4,007	27,756	16%	14%
11 HSC/UNM INTERNAL TRANSFERS	(5,762)	(2,288)	(8,727)	40%	26%
12 MILL LEVY	0	0	0	N/A	N/A
13 MEANINGFUL USE REVENUE	0	0	0	N/A	N/A
14 EQUITY IN INCOME OF LOVELACE/UNM JOINT VENTURE	0	0	0	N/A	N/A
15 OTHER REVENUES	32,913	3,367	35,674	10%	9%
16 CONTRACT AND GRANT REVENUES	181,543	23,303	162,334	13%	14%
<b>TOTAL REVENUES</b>	<b>696,284</b>	<b>109,377</b>	<b>659,910</b>	<b>16%</b>	<b>17%</b>
17 TOTAL COMPENSATION EXPENSES	446,201	70,651	419,211	16%	17%
18 SUPPLIES/MEDICAL SUPPLIES	13,670	1,592	12,781	12%	12%
19 UNIVERSITY CLINICIANS PROGRAM	0	0	0	N/A	N/A
20 HOUSESTAFF	0	0	0	N/A	N/A
21 PATIENT CARE COSTS	2,223	213	1,693	10%	13%
22 PURCHASED SERVICES	24,954	3,180	23,864	13%	13%
23 OTHER MEDICAL SERVICES	0	0	0	N/A	N/A
24 SUB AWARDS/SERVICE CONTRACTS	477	185	1,635	39%	11%
25 OCCUPANCY	13,458	1,959	10,943	15%	18%
26 DEPRECIATION	957	333	6,443	35%	5%
27 CAPITAL EXPENDITURES	1,592	188	1,637	12%	11%
28 USE OF UNMMG RESERVES	0	0	0	N/A	N/A
29 OTHER EXPENSES	22,919	2,993	18,389	13%	16%
30 CONTRACT AND GRANT EXPENSES	169,793	21,458	151,342	13%	14%
<b>TOTAL EXPENSES</b>	<b>696,244</b>	<b>102,752</b>	<b>647,938</b>	<b>15%</b>	<b>16%</b>
<b>NET INCOME/(USE OF RESERVES)</b>	<b>40</b>	<b>6,625</b>	<b>11,972</b>		

**Clinical Operations**

	<b>UNM Hospitals FY 2019 Original Budget 8/31/2018</b>	<b>UNM Hospitals FY 2019 YTD 8/31/2018 Actual</b>	<b>UNM Hospitals FY 2018 Year End Actual</b>	<b>UNM Hospitals FY 2019 YTD Actual to FY 2019 Original Budget Benchmark Rate (17%)</b>	<b>UNM Hospitals FY 2019 YTD Actual to FY 2018 Year End Actual Benchmark Rate (17%)</b>
1 UNM MEDICAL GROUP REVENUES	0	0	0	N/A	N/A
2 UNM HOSPITALS REVENUES	939,106	161,187	958,283	17%	17%
3 SRMC REVENUES	0	0	0	N/A	N/A
4 DIRECT TUITION AND FEES	0	0	0	N/A	N/A
5 CIGARETTE TAX REVENUES	0	0	0	N/A	N/A
6 RPSP APPROPRIATIONS	12,733	2,122	12,037	17%	18%
7 I&G APPROPRIATIONS	0	0	0	N/A	N/A
8 I&G MAIN CAMPUS TRANSFERS	0	0	0	N/A	N/A
9 HSC I&G TUITION TRANSFER	0	0	0	N/A	N/A
10 F&A REVENUES (OH RETURN)	0	0	0	N/A	N/A
11 HSC/UNM INTERNAL TRANSFERS	0	0	0	N/A	N/A
12 MILL LEVY	100,269	16,712	101,793	17%	16%
13 MEANINGFUL USE REVENUE	0	0	0	N/A	N/A
14 EQUITY IN INCOME OF LOVELACE/UNM JOINT VENTURE	0	0	0	N/A	N/A
15 OTHER REVENUES	35,911	8,880	37,048	25%	24%
16 CONTRACT AND GRANT REVENUES	2,711	377	2,738	14%	14%
<b>TOTAL REVENUES</b>	<b>1,090,730</b>	<b>189,279</b>	<b>1,111,898</b>	<b>17%</b>	<b>17%</b>
17 TOTAL COMPENSATION EXPENSES	523,489	89,954	505,286	17%	18%
18 SUPPLIES/MEDICAL SUPPLIES	198,977	35,207	197,708	18%	18%
19 UNIVERSITY CLINICIANS PROGRAM	91,355	14,848	88,997	16%	17%
20 HOUSESTAFF	31,907	5,505	30,476	17%	18%
21 PATIENT CARE COSTS	45,829	8,097	46,756	18%	17%
22 PURCHASED SERVICES	49,795	9,651	52,481	19%	18%
23 OTHER MEDICAL SERVICES	36,404	7,065	38,653	19%	18%
24 SUB AWARDS/SERVICE CONTRACTS	11,810	957	11,863	8%	8%
25 OCCUPANCY	25,092	4,933	29,596	20%	17%
26 DEPRECIATION	31,938	5,182	32,322	16%	16%
27 CAPITAL EXPENDITURES	0	0	0	N/A	N/A
28 USE OF UNMMG RESERVES	0	0	0	N/A	N/A
29 OTHER EXPENSES	44,134	7,933	49,385	18%	16%
30 CONTRACT AND GRANT EXPENSES	0	0	0	N/A	N/A
<b>TOTAL EXPENSES</b>	<b>1,090,728</b>	<b>189,334</b>	<b>1,083,522</b>	<b>17%</b>	<b>17%</b>
<b>NET INCOME/(USE OF RESERVES)</b>	<b>3</b>	<b>(55)</b>	<b>28,376</b>		

**Clinical Operations**

	<b>UNMMG FY 2019 Original Budget 8/31/2018</b>	<b>UNMMG FY 2019 YTD 8/31/2018 Actual</b>	<b>UNMMG FY 2018 Year End Actual</b>	<b>UNMMG FY 2019 YTD Actual to FY 2019 Original Budget Benchmark Rate (17%)</b>	<b>UNMMG FY 2019 YTD Actual to FY 2018 Year End Actual Benchmark Rate (17%)</b>
1 UNM MEDICAL GROUP REVENUES	209,656	33,897	201,113	16%	17%
2 UNM HOSPITALS REVENUES	0	0	0	N/A	N/A
3 SRMC REVENUES	0	0	0	N/A	N/A
4 DIRECT TUITION AND FEES	0	0	0	N/A	N/A
5 CIGARETTE TAX REVENUES	0	0	0	N/A	N/A
6 RPSP APPROPRIATIONS	1,149	193	1,160	17%	17%
7 I&G APPROPRIATIONS	0	0	0	N/A	N/A
8 I&G MAIN CAMPUS TRANSFERS	0	0	0	N/A	N/A
9 HSC I&G TUITION TRANSFER	0	0	0	N/A	N/A
10 F&A REVENUES (OH RETURN)	0	0	0	N/A	N/A
11 HSC/UNM INTERNAL TRANSFERS	0	0	0	N/A	N/A
12 MILL LEVY	0	0	0	N/A	N/A
13 MEANINGFUL USE REVENUE	69	0	86	0%	0%
14 EQUITY IN INCOME OF LOVELACE/UNM JOINT VENTURE	0	541	1,462	N/A	37%
15 OTHER REVENUES	1,080	453	1,345	42%	34%
16 CONTRACT AND GRANT REVENUES	0	0	0	N/A	N/A
<b>TOTAL REVENUES</b>	<b>211,954</b>	<b>35,085</b>	<b>205,166</b>	<b>17%</b>	<b>17%</b>
17 TOTAL COMPENSATION EXPENSES	20,612	2,999	17,235	15%	17%
18 SUPPLIES/MEDICAL SUPPLIES	0	0	0	N/A	N/A
19 UNIVERSITY CLINICIANS PROGRAM	0	0	0	N/A	N/A
20 HOUSESTAFF	0	0	0	N/A	N/A
21 PATIENT CARE COSTS	34,401	5,615	32,771	16%	17%
22 PURCHASED SERVICES	148,552	24,658	143,174	17%	17%
23 OTHER MEDICAL SERVICES	0	0	0	N/A	N/A
24 SUB AWARDS/SERVICE CONTRACTS	0	0	0	N/A	N/A
25 OCCUPANCY	535	86	519	16%	17%
26 DEPRECIATION	400	49	354	12%	14%
27 CAPITAL EXPENDITURES	0	0	0	N/A	N/A
28 USE OF UNMMG RESERVES	0	106	2,689	N/A	4%
29 OTHER EXPENSES	7,340	1,046	6,973	14%	15%
30 CONTRACT AND GRANT EXPENSES	0	0	0	N/A	N/A
<b>TOTAL EXPENSES</b>	<b>211,839</b>	<b>34,559</b>	<b>203,715</b>	<b>16%</b>	<b>17%</b>
<b>NET INCOME/(USE OF RESERVES)</b>	<b>115</b>	<b>525</b>	<b>1,451</b>		

**Clinical Operations**

	<b>SRMC FY 2019 Original Budget 8/31/2018</b>	<b>SRMC FY 2019 YTD 8/31/2018 Actual</b>	<b>SRMC FY 2018 Year End Actual</b>	<b>SRMC FY 2019 YTD Actual to FY 2019 Original Budget Benchmark Rate (17%)</b>	<b>SRMC FY 2019 YTD Actual to FY 2018 Year End Actual Benchmark Rate (17%)</b>
1 UNM MEDICAL GROUP REVENUES	0	0	0	N/A	N/A
2 UNM HOSPITALS REVENUES	0	0	0	N/A	N/A
3 SRMC REVENUES	74,926	12,566	83,720	17%	15%
4 DIRECT TUITION AND FEES	0	0	0	N/A	N/A
5 CIGARETTE TAX REVENUES	0	0	0	N/A	N/A
6 RPSP APPROPRIATIONS	0	0	0	N/A	N/A
7 I&G APPROPRIATIONS	0	0	0	N/A	N/A
8 I&G MAIN CAMPUS TRANSFERS	0	0	0	N/A	N/A
9 HSC I&G TUITION TRANSFER	0	0	0	N/A	N/A
10 F&A REVENUES (OH RETURN)	0	0	0	N/A	N/A
11 HSC/UNM INTERNAL TRANSFERS	0	0	0	N/A	N/A
12 MILL LEVY	0	11	183	N/A	6%
13 MEANINGFUL USE REVENUE	101	0	911	0%	0%
14 EQUITY IN INCOME OF LOVELACE/UNM JOINT VENTURE	0	0	0	N/A	N/A
15 OTHER REVENUES	1,132	187	1,001	17%	19%
16 CONTRACT AND GRANT REVENUES	432	69	414	16%	17%
<b>TOTAL REVENUES</b>	<b>76,592</b>	<b>12,833</b>	<b>86,229</b>	<b>17%</b>	<b>15%</b>
17 TOTAL COMPENSATION EXPENSES	35,800	6,644	38,852	19%	17%
18 SUPPLIES/MEDICAL SUPPLIES	14,953	2,954	20,510	20%	14%
19 UNIVERSITY CLINICIANS PROGRAM	807	66	419	8%	16%
20 HOUSESTAFF	526	84	502	16%	17%
21 PATIENT CARE COSTS	4,014	629	4,371	16%	14%
22 PURCHASED SERVICES	3,304	526	3,699	16%	14%
23 OTHER MEDICAL SERVICES	320	33	394	10%	8%
24 SUB AWARDS/SERVICE CONTRACTS	1,914	316	1,963	17%	16%
25 OCCUPANCY	1,857	269	1,845	14%	15%
26 DEPRECIATION	6,508	987	6,106	15%	16%
27 CAPITAL EXPENDITURES	0	0	0	N/A	N/A
28 USE OF UNMMG RESERVES	0	0	0	N/A	N/A
29 OTHER EXPENSES	6,578	1,042	6,645	16%	16%
30 CONTRACT AND GRANT EXPENSES	0	0	0	N/A	N/A
<b>TOTAL EXPENSES</b>	<b>76,581</b>	<b>13,551</b>	<b>85,305</b>	<b>18%</b>	<b>16%</b>
<b>NET INCOME/(USE OF RESERVES)</b>	<b>11</b>	<b>(718)</b>	<b>924</b>		



**UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER - Consolidated HSC**  
**Fiscal Year 2019 Year-to-Date Summary through August 31, 2018 (Preliminary and Unaudited)**  
(In thousands)

**DOES NOT INCLUDE INTERCOMPANY ELIMINATIONS**

**Clinical and Academic Operations**

	<b>Consolidated HSC FY 2019 Original Budget 8/31/2018</b>	<b>Consolidated HSC FY 2019 YTD 8/31/2018 Actual</b>	<b>Consolidated HSC FY 2018 Year End Actual</b>	<b>Consolidated HSC FY 2019 YTD Actual to FY 2019 Original Budget Benchmark Rate (17%)</b>	<b>Consolidated HSC FY 2019 YTD Actual to FY 2018 Year End Actual Benchmark Rate (17%)</b>
1 UNM MEDICAL GROUP REVENUES	342,899	55,939	329,072	16%	17%
2 UNM HOSPITALS REVENUES	1,122,184	190,728	1,140,231	17%	17%
3 SRMC REVENUES	97,097	15,706	95,498	16%	16%
4 DIRECT TUITION AND FEES	18,689	8,696	17,991	47%	48%
5 CIGARETTE TAX REVENUES	3,914	869	4,028	22%	22%
6 RPSP APPROPRIATIONS	33,467	5,514	31,845	16%	17%
7 I&G APPROPRIATIONS	60,257	9,896	59,285	16%	17%
8 I&G MAIN CAMPUS TRANSFERS	9,797	1,633	9,759	17%	17%
9 HSC I&G TUITION TRANSFER	11,855	1,976	11,477	17%	17%
10 F&A REVENUES (OH RETURN)	25,000	4,007	27,756	16%	14%
11 HSC/UNM INTERNAL TRANSFERS	(5,762)	(2,288)	(8,727)	40%	26%
12 MILL LEVY	100,269	16,722	101,975	17%	16%
13 MEANINGFUL USE REVENUE	170	0	997	0%	0%
14 EQUITY IN INCOME OF LOVELACE/UNM JOINT VENTURE	0	541	1,462	N/A	37%
15 OTHER REVENUES	71,036	12,887	75,069	18%	17%
16 CONTRACT AND GRANT REVENUES	184,686	23,749	165,486	13%	14%
<b>TOTAL REVENUES</b>	<b>2,075,560</b>	<b>346,573</b>	<b>2,063,203</b>	<b>17%</b>	<b>17%</b>
17 TOTAL COMPENSATION EXPENSES	1,026,102	170,249	980,584	17%	17%
18 SUPPLIES/MEDICAL SUPPLIES	227,600	39,754	230,999	17%	17%
19 UNIVERSITY CLINICIANS PROGRAM	92,161	14,915	89,416	16%	17%
20 HOUSESTAFF	32,432	5,588	30,977	17%	18%
21 PATIENT CARE COSTS	86,466	14,554	85,591	17%	17%
22 PURCHASED SERVICES	226,605	38,014	223,218	17%	17%
23 OTHER MEDICAL SERVICES	36,724	7,098	39,047	19%	18%
24 SUB AWARDS/SERVICE CONTRACTS	14,201	1,459	15,460	10%	9%
25 OCCUPANCY	40,942	7,248	42,902	18%	17%
26 DEPRECIATION	39,803	6,551	45,225	16%	14%
27 CAPITAL EXPENDITURES	1,592	188	1,637	12%	11%
28 USE OF UNMMG RESERVES	0	106	2,689	N/A	4%
29 OTHER EXPENSES	80,970	13,015	81,392	16%	16%
30 CONTRACT AND GRANT EXPENSES	169,793	21,458	151,342	13%	14%
<b>TOTAL EXPENSES</b>	<b>2,075,392</b>	<b>340,197</b>	<b>2,020,479</b>	<b>16%</b>	<b>17%</b>
<b>NET INCOME/(USE OF RESERVES)</b>	<b>168</b>	<b>6,376</b>	<b>42,724</b>		

**UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER - Consolidated HSC**  
**Fiscal Year 2019 Year-to-Date Summary through August 31, 2018 (Preliminary and Unaudited)**  
(In thousands)

\* INCLUDES INTERCOMPANY ELIMINATIONS

**Clinical and Academic Operations**

	<b>Consolidated HSC FY 2019 Original Budget 8/31/2018</b>	<b>Consolidated HSC FY 2019 YTD 8/31/2018 Actual</b>	<b>Consolidated HSC FY 2018 Year End Actual</b>	<b>Consolidated HSC FY 2019 YTD Actual to FY 2019 Original Budget Benchmark Rate (17%)</b>	<b>Consolidated HSC FY 2019 YTD Actual to FY 2018 Year End Actual Benchmark Rate (17%)</b>
1 UNM MEDICAL GROUP REVENUES *	209,656	33,897	201,113	16%	17%
2 UNM HOSPITALS REVENUES *	942,069	161,374	959,796	17%	17%
3 SRMC REVENUES *	74,926	12,566	83,720	17%	15%
4 DIRECT TUITION AND FEES	18,689	8,696	17,991	47%	48%
5 CIGARETTE TAX REVENUES	3,914	869	4,028	22%	22%
6 RPSP APPROPRIATIONS	33,467	5,514	31,845	16%	17%
7 I&G APPROPRIATIONS	60,257	9,896	59,285	16%	17%
8 I&G MAIN CAMPUS TRANSFERS	9,797	1,633	9,759	17%	17%
9 HSC I&G TUITION TRANSFER	11,855	1,976	11,477	17%	17%
10 F&A REVENUES (OH RETURN)	25,000	4,007	27,756	16%	14%
11 HSC/UNM INTERNAL TRANSFERS	(5,762)	(2,288)	(8,727)	40%	26%
12 MILL LEVY	100,269	16,722	101,975	17%	16%
13 MEANINGFUL USE REVENUE	170	0	997	0%	0%
14 EQUITY IN INCOME OF LOVELACE/UNM JOINT VENTURE	0	541	1,462	N/A	37%
15 OTHER REVENUES *	58,630	11,886	61,849	20%	19%
16 CONTRACT AND GRANT REVENUES	184,686	23,749	165,486	13%	14%
<b>TOTAL REVENUES</b>	<b>1,727,624</b>	<b>291,038</b>	<b>1,729,811</b>	<b>17%</b>	<b>17%</b>
17 TOTAL COMPENSATION EXPENSES *	701,197	118,347	670,282	17%	18%
18 SUPPLIES/MEDICAL SUPPLIES	227,600	39,754	230,999	17%	17%
19 UNIVERSITY CLINICIANS PROGRAM	92,161	14,915	89,416	16%	17%
20 HOUSESTAFF	32,432	5,588	30,977	17%	18%
21 PATIENT CARE COSTS	86,466	14,554	85,591	17%	17%
22 PURCHASED SERVICES *	203,574	34,381	200,127	17%	17%
23 OTHER MEDICAL SERVICES	36,724	7,098	39,047	19%	18%
24 SUB AWARDS/SERVICE CONTRACTS	14,201	1,459	15,460	10%	9%
25 OCCUPANCY	40,942	7,248	42,902	18%	17%
26 DEPRECIATION	39,803	6,551	45,225	16%	14%
27 CAPITAL EXPENDITURES	1,592	188	1,637	12%	11%
28 USE OF UNMMG RESERVES	0	106	2,689	N/A	4%
29 OTHER EXPENSES	80,970	13,015	81,392	16%	16%
30 CONTRACT AND GRANT EXPENSES	169,793	21,458	151,342	13%	14%
<b>TOTAL EXPENSES</b>	<b>1,727,456</b>	<b>284,661</b>	<b>1,687,087</b>	<b>16%</b>	<b>17%</b>
<b>NET INCOME/(USE OF RESERVES)</b>	<b>168</b>	<b>6,376</b>	<b>42,724</b>		

# GLOSSARY OF REPORT CATEGORIES

FINANCIAL STATEMENT CATEGORY		UNM	UNMMG	UNM Hospitals	SRMC
1	UNM MEDICAL GROUP REVENUES	Clinical revenue primarily based on Physician or Provider efforts and collection through UNMMG	Net patient services revenue including SCI & UPL from UNMMG Clinical operations	N/A	N/A
2	UNM HOSPITALS REVENUES	Revenue from University Hospital to the School of Medicine	N/A	Hospital facility revenue based on patient billings by UNMH	N/A
3	SRMC REVENUES	Revenue from SRMC to the School of Medicine	N/A	N/A	Hospital facility revenue based on patient billings by SRMC
4	DIRECT TUITION AND FEES	Tuition & Fees, including tuition differentials	N/A	N/A	N/A
5	CIGARETTE TAX REVENUES	Revenues received from the State as a calculated portion of Tax Revenue on the sale of tobacco products for use in Cancer Research	N/A	N/A	N/A
6	RPSP APPROPRIATIONS	Revenue received for current operations made available to UNM by act of NM State Legislature on an annual basis for Research, Public Service and Independent Operations	Revenue received for current operations made available to UNMMG by act of NM State Legislature on an annual basis	Revenue received for current operations made available to UNMH by act of NM State Legislature on an annual basis	N/A
7	I&G APPROPRIATIONS	Revenue received for current operations made available to UNM by act of NM State Legislature on an annual basis for I&G operations	N/A	N/A	N/A
8	I&G MAIN CAMPUS TRANSFERS	Partial transfer of tuition and formula workload generated by Nursing, Pharmacy and SOM	N/A	N/A	N/A
9	HSC I&G TUITION TRANSFER	Incremental tuition transfer from Main Campus.	N/A	N/A	N/A
10	F & A REVENUES (OH RETURN)	Revenue from recovery of indirect costs (F&A) incurred by a Contract or Grant also known as overhead return	N/A	N/A	N/A
11	HSC/UNM INTERNAL TRANSFERS	All transfers to/from HSC Unrestricted Funds excluding the I&G Main Campus Transfer and Transfers for Debt Service	N/A	N/A	N/A
12	MILL LEVY	N/A	N/A	Mil levy taxes collected on behalf of the Bernalillo County Treasurer and remitted to UNMH as required by the Hospital Funding Act.	Mil levy taxes collected on behalf of the Sandoval County Treasurer and remitted to SRMC as required by the Hospital Funding Act.
13	MEANINGFUL USE REVENUE	N/A	Medicare and Medicaid programs provide incentive payments for hospital and physicians that make meaningful use of certified electronic health record technology.	Medicare and Medicaid programs provide incentive payments for hospital and physicians that make meaningful use of certified electronic health record technology.	Medicare and Medicaid programs provide incentive payments for hospital and physicians that make meaningful use of certified electronic health record technology.
14	EQUITY IN INCOME OF LOVELACE/UNM JOINT VENTURE	NA	Lovelace Health System and UNM Medical Group, Inc., are partnering to deliver enhanced state-of-the-art rehabilitation services to New Mexico patients recovering from health care conditions such as stroke, brain injury and musculoskeletal disorders. Under an agreement, Lovelace and UNM physicians and providers will deliver services at Lovelace Rehabilitation Hospital and its outpatient therapy clinics starting in 2017.	NA	NA

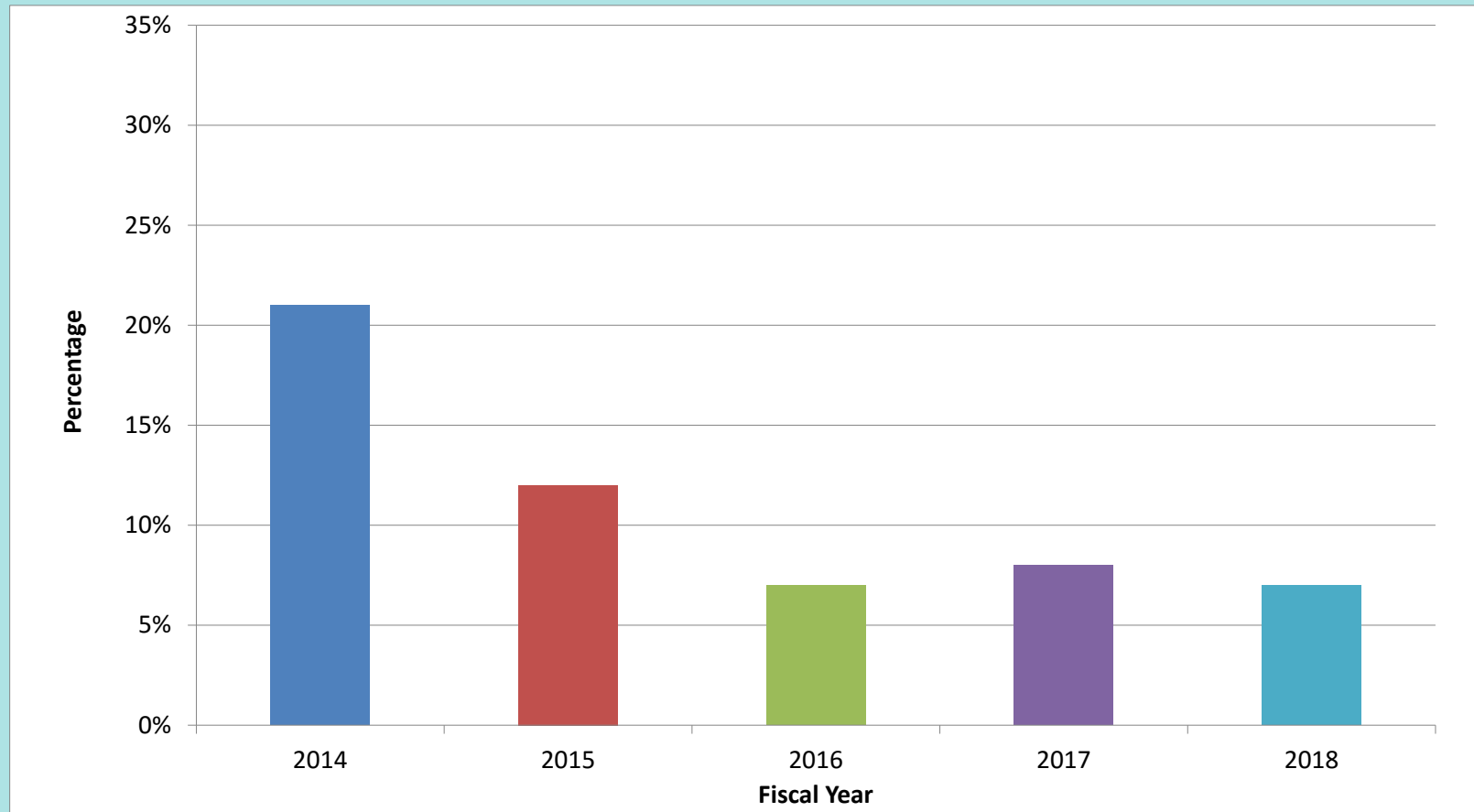
## GLOSSARY OF REPORT CATEGORIES

FINANCIAL STATEMENT CATEGORY		UNM	UNMMG	UNM Hospitals	SRMC
15	OTHER REVENUES	All other Revenues - not contained in any other category - Sales & Services (not Internal Service Center P18 sales), Gains on Sponsored Projects, Gifts, Endowment Spending Distributions, Investment Income, etc.	Other operating revenues and revenue received from UNM Hospitals to cover SRMC physician costs.	All other revenues not contained in any other category: Investment income, equity loss in Tricare, donated revenue, gain/loss on sale of assets, food, nutrition, catering & vending revenue, medical records revenue, rent revenue, all other non-operating revenue	All other revenues not contained in any other category: Investment income, donated revenue, gain/loss on sale of assets, food, nutrition, catering & vending revenue, medical records revenue, rent revenue, all other non-operating revenue
16	CONTRACT AND GRANT REVENUES	All Restricted Funds Revenue and Unrestricted Contract Revenue for example VA contracts	N/A	Revenue associated with restricted and unrestricted contracts and grants	N/A
17	TOTAL COMPENSATION EXPENSES	Salary, wages & benefits	Salaries and benefits considered to be operating and certain clinical expenses of UNMMG	Salary, wages & benefits	Salary, wages & benefits
18	SUPPLIES/MEDICAL SUPPLIES	Office supplies, computer supplies, dues & memberships, postage charges, recruitment expenses, non capital equipment, computers, food, lab supplies, uniforms, training materials, etc.	N/A	Medical supplies for: lab, radiology, blood, pharmaceuticals, biologics, implantable devices, office supplies, computer supplies, photocopy expense, forms, linen, food, uniforms, and training materials.	Medical supplies for: lab, radiology, blood, pharmaceuticals, biologics, implantable devices, office supplies, computer supplies, photocopy expense, forms, linen, food, uniforms, and training materials.
19	UNIVERSITY CLINICIANS PROGRAM	N/A	N/A	Special clinician program to support SOM	Special clinician program to support SOM
20	HOUSESTAFF	N/A	N/A	Reimbursement of patient care services provided by residents who are employed by SOM.	Reimbursement of patient care services provided by SOM through UNMMG.
21	PATIENT CARE COSTS	Patient Care costs for inpatient, outpatient care & lab, pharmacy and x-ray services for patients	Patient Care costs for inpatient, outpatient care & lab, pharmacy and x-ray services for patients	Patient Care costs for inpatient, outpatient care including Tricare laboratory expense, OR instruments, NM Insurance pool premiums, kidney acquisition, step down care (snf) for IV dependent patients, radiation oncology and patient assistance	Patient Care costs for inpatient, outpatient care including Tricare laboratory expense, OR instruments, NM Insurance pool premiums, kidney acquisition, step down care (snf) for IV dependent patients, radiation oncology and patient assistance
22	PURCHASED SERVICES	Alarm fees, internet fees, architectural services, auditing services, printing/copying/binding fees, conference/event fees, honoraria, insurance charges (general liability, professional liability), legal services, electronic journals & books, consultant fees, etc.	Includes payment to UNM SOM for physician and other provider services	Recruitment, professional, legal, auditing, consulting fees, promotional/graphics, IT Hosting Center, Siemens and PACS, safety and risk services, equifax, laundry, malpractice and liability insurance, etc.	Recruitment, professional, legal, auditing, consulting fees, promotional/graphics, IT Hosting Center, Siemens and PACS, safety and risk services, equifax, laundry, malpractice and liability insurance, etc.
23	OTHER MEDICAL SERVICES	N/A	N/A	Pathology contract, physician services, Executive Medical Directors, Medical Directors, dialysis services for UNM Care patients, OMI MOU, Adult Infusion net	Physician services
24	SUB AWARDS/SERVICE CONTRACTS	Unrestricted Sub Awards, Gain/Loss on Unrestricted Projects	N/A	All service contracts	All service contracts
25	OCCUPANCY	Plant maintenance and repairs, equipment repairs and maintenance, property insurance, auto insurance, facility rent expense & utilities costs ( natural gas, electricity, steam, sewer, water, chilled water)	Building maintenance and repairs, equipment repairs and maintenance, repair parts, equipment rent, property insurance, auto insurance, facility rent expense, and housekeeping supplies	Building maintenance and repairs, equipment repairs and maintenance, repair parts, equipment rent, property insurance, auto insurance, facility rent expense, and housekeeping supplies & utilities costs (natural gas, electricity, steam, sewer, water, chilled water)	Building maintenance and repairs, equipment repairs and maintenance, repair parts, equipment rent, property insurance, auto insurance, facility rent expense, and housekeeping supplies & utilities costs (natural gas, electricity, steam, sewer, water, chilled water)

## GLOSSARY OF REPORT CATEGORIES

FINANCIAL STATEMENT CATEGORY		UNM	UNMMG	UNM Hospitals	SRMC
26	DEPRECIATION	The annual amortization for the capital outlay associated with building, building improvement, fixed and moveable equipment which is based on the estimated useful lives of the assets.	The annual amortization for the furniture and equipment.	The annual amortization for the capital outlay associated with building, building improvement, fixed and moveable equipment which is based on the estimated useful lives of the assets as determined by the AHA "Estimated useful lives of Depreciable Hospital Assets".	The annual amortization for the capital outlay associated with building, building improvement, fixed and moveable equipment which is based on the estimated useful lives of the assets as determined by the AHA "Estimated useful lives of Depreciable Hospital Assets".
27	CAPITAL EXPENDITURES	Equipment/furniture >\$5,000, Computer hardware, library acquisitions, etc.	N/A	This is capital initiatives in the case of UNM Hospitals.	N/A
28	USE OF UNMMG RESERVES	N/A	To fund and support the mission of the Health Sciences Center.	N/A	N/A
29	OTHER EXPENSES	Banking fees, cost of goods sold, research costs, royalties, bad debt expense, other operating costs, Banner tax, etc. Travel - in state, out of state, foreign, business meals, rental vehicles, new employee moving expenses, vehicle fuel, etc. Student costs - student tuition/fees, student travel, scholarships/fellowships, housing, insurance for students, student awards, etc. Telephone/communication costs - telephone, voicemail, cellular, long distance, paging and data networking charges. Interest expense (transfers to cover debt service)	Billings & collections expenses and other operating expenses of UNMMG	Programming, application, software and maintenance expenses, non capital equipment (less than \$5k), signs, dues & memberships, freight, postage, subscriptions, licenses/permits, Gap Tax, Intergovernmental Transfers for the SCI and IME, bond issuance costs, capital initiatives and other non-operating expenses. Travel - in state, out of state, etc. Telephone/communication costs - telephone, voicemail, cellular, long distance, paging and data networking charges. Interest expense associated with the Insured Hospital Mortgage Revenue Bonds.	Programming, application, software and maintenance expenses, non capital equipment (less than \$5k), signs, dues & memberships, freight, postage, subscriptions, licenses/permits, Gap Tax, Intergovernmental Transfers for the SCI and IME, bond issuance costs, capital initiatives and other non-operating expenses. Travel - in state, out of state, etc. Telephone/communication costs - telephone, voicemail, cellular, long distance, paging and data networking charges. Interest expense - SRMC receives subsidy payments related to interest payments under the federal Build American Bond program. Under the program SRMC applies for subsidy funds commensurate with each bond payment, so the application for the subsidy is made semiannually. Also includes interest expense on the Series A & B Bonds.
30	CONTRACT AND GRANT EXPENSES	All Restricted Funds Expense and Unrestricted Contract Expense for example VA contracts	N/A	N/A	N/A

## UNM HEALTH SYSTEM



**FY 2014**

**FY 2015**

**FY 2016**

**FY 2017**

**FY 2018**

**FY 2019  
August 31st YTD**

21%

12%

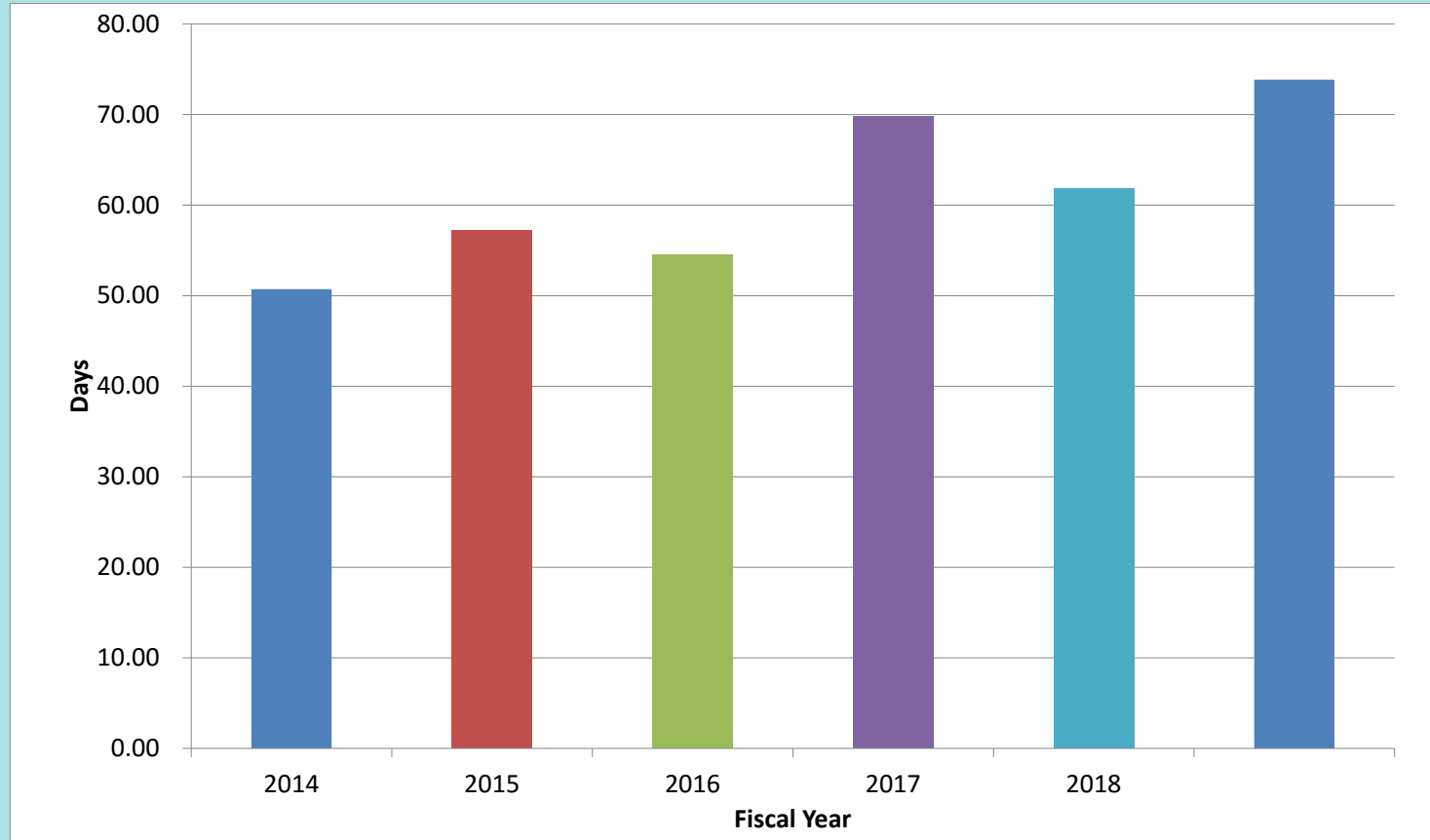
7%

8%

7%

6%

## UNM HOSPITALS



**FY 2014  
1st Quarter**

50.72

**FY 2015  
1st Quarter**

57.18

**FY 2016  
1st Quarter**

54.53

**FY 2017  
1st Quarter**

69.79

**FY 2018  
1st Quarter**

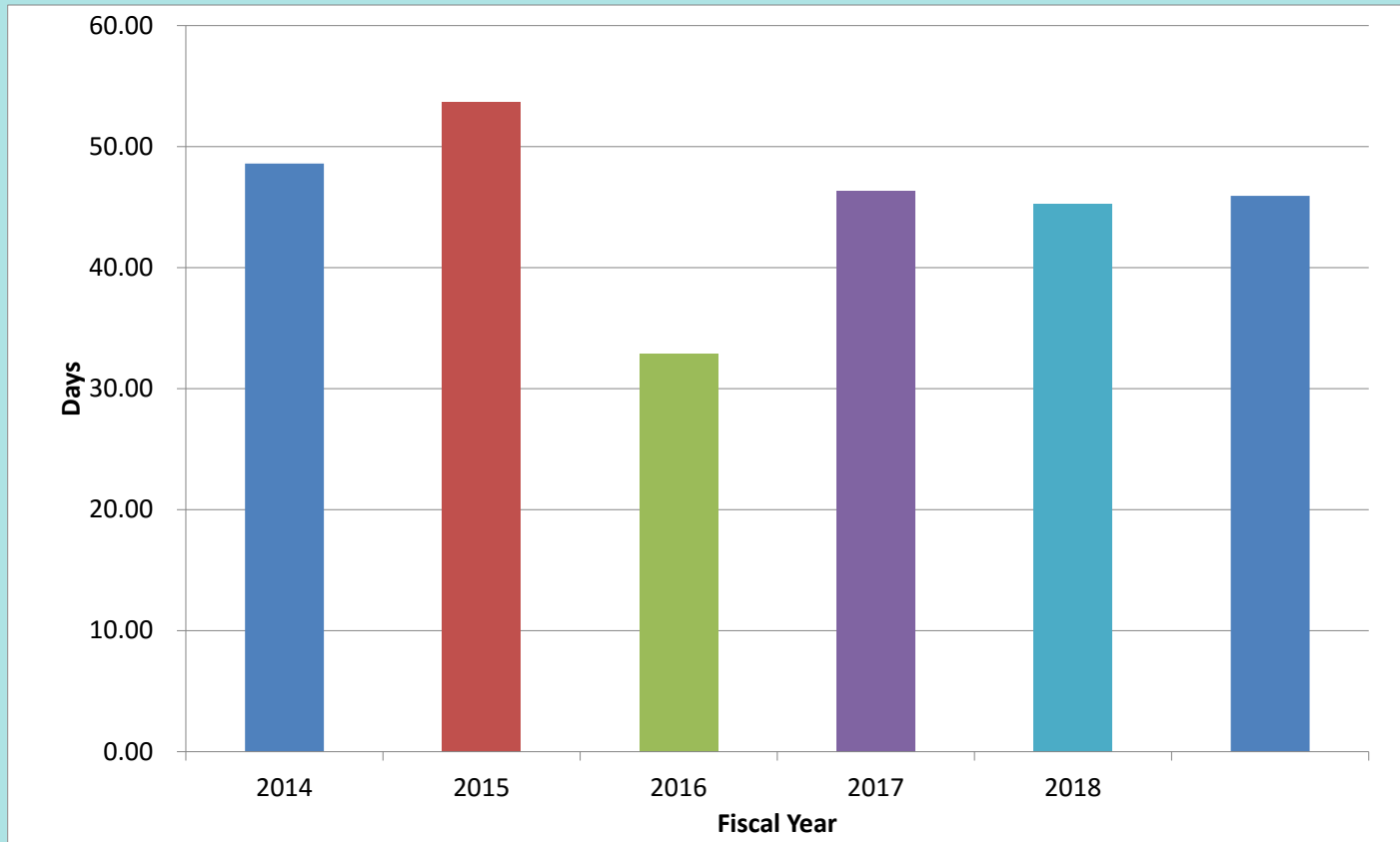
61.87

**FY 2019  
August 31st**

73.86

Per S&P Global Ratings, "U.S. Not-for-Profit Health Care System Median Financial Ratios - 2016 vs. 2015" report, the "AA" credit rating group has a benchmark of 316.1 days cash on hand for 2016.

### UNM MEDICAL GROUP



**FY 2014  
1st Quarter**

48.60

**FY 2015  
1st Quarter**

53.71

**FY 2016  
1st Quarter**

32.90

**FY 2017  
1st Quarter**

46.31

**FY 2018  
1st Quarter**

45.31

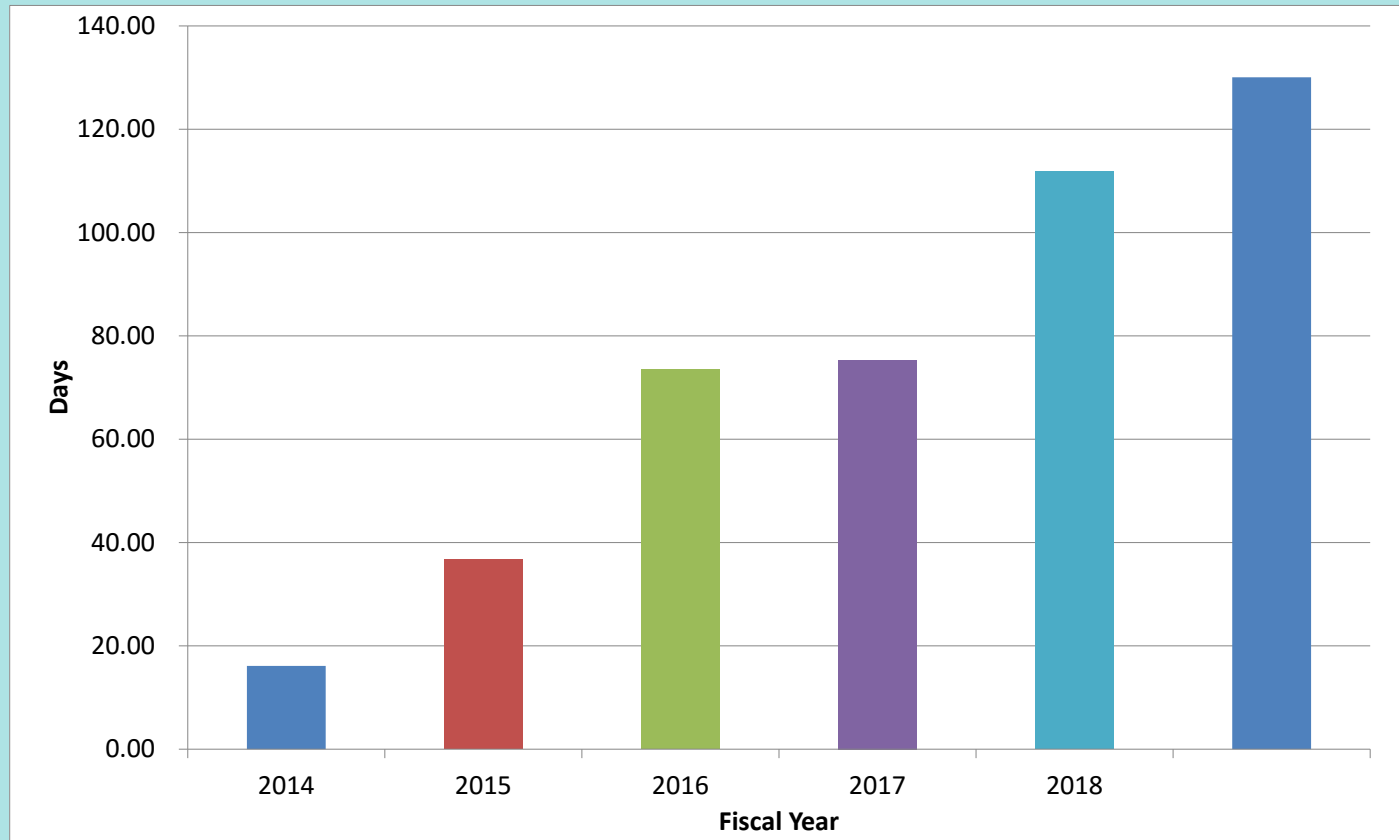
**FY 2019  
August 31st**

45.93

Per S&P Global Ratings, "U.S. Not-for-Profit Health Care System Median Financial Ratios - 2016 vs. 2015" report, the "AA" credit rating group has a benchmark of 316.1 days cash on hand for 2016.



## SANDOVAL REGIONAL MEDICAL CENTER



**FY 2014  
1st Quarter**

16.12

**FY 2015  
1st Quarter**

36.76

**FY 2016  
1st Quarter**

73.52

**FY 2017  
1st Quarter**

75.31

**FY 2018  
1st Quarter**

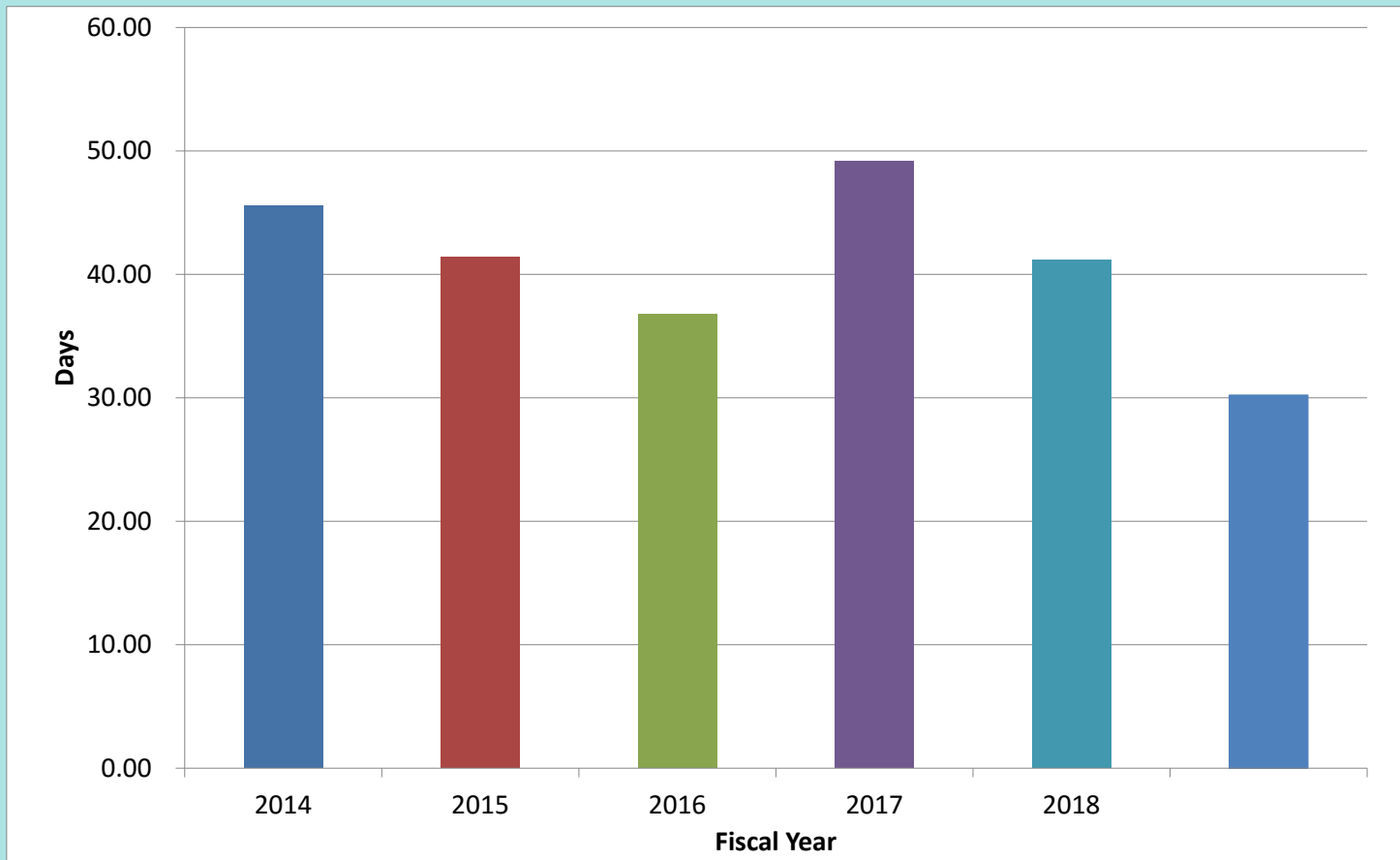
111.86

**FY 2019  
August 31st**

130.06

Per S&P Global Ratings, "U.S. Not-for-Profit Health Care System Median Financial Ratios - 2016 vs. 2015" report, the "AA" credit rating group has a benchmark of 316.1 days cash on hand for 2016.

### UNIVERSITY HSC



**FY 2014**

**FY 2015**

**FY 2016**

**FY 2017**

**FY 2018**

**FY 2019  
Aug 31st**

45.57

41.40

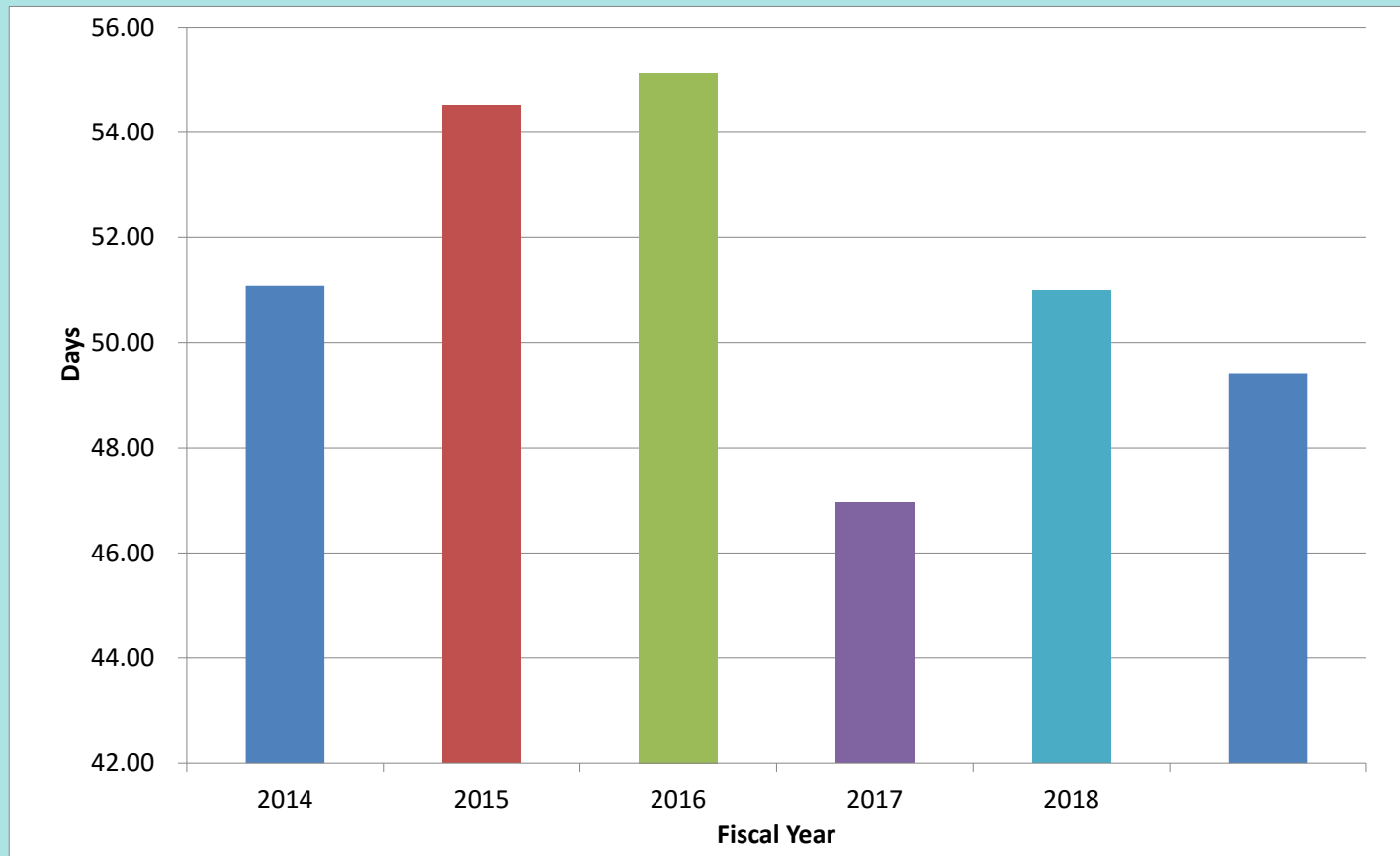
36.76

49.18

41.17

30.23

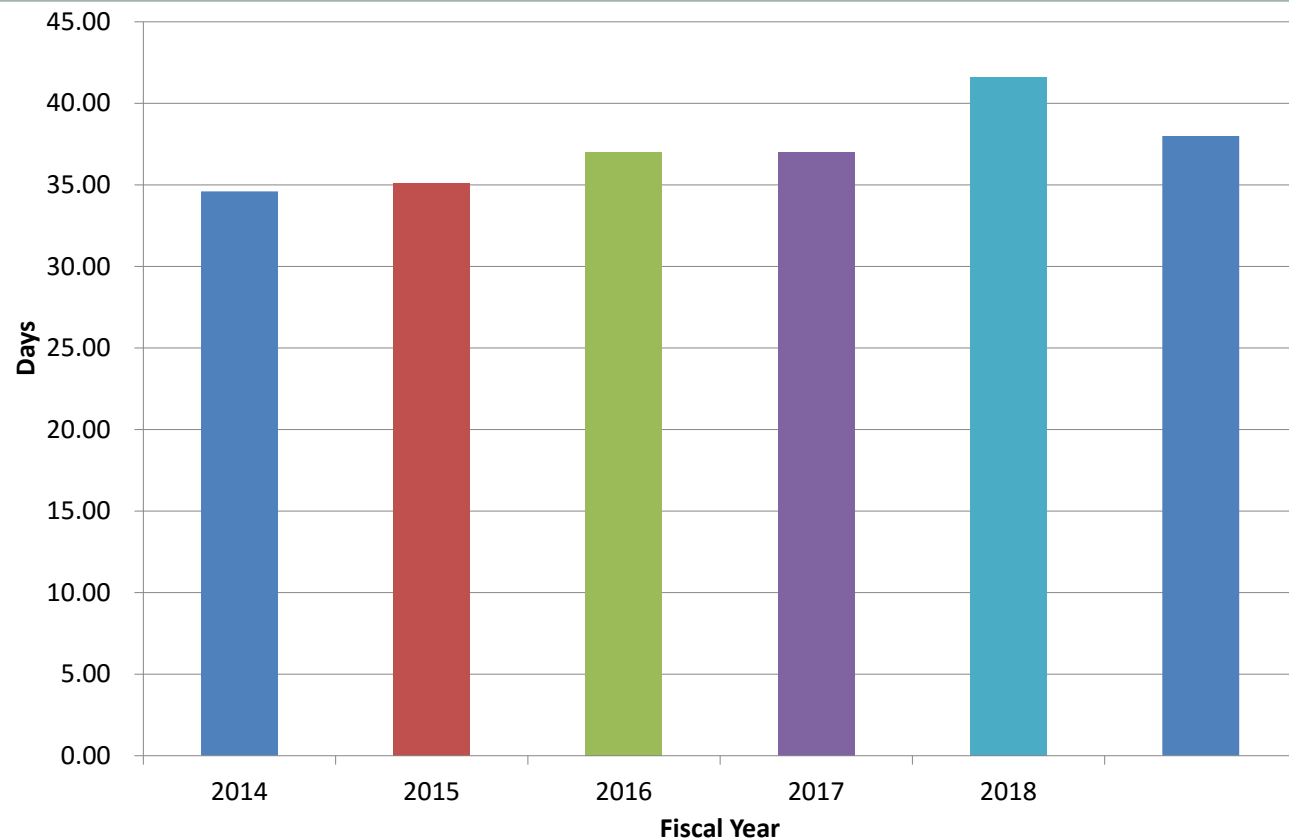
### UNM HOSPITALS



FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019 Aug 31st
51.09	54.52	55.13	46.96	51.00	49.42

Per S&P Global Ratings, "U.S. Not-for-Profit Health Care System Median Financial Ratios - 2016 vs. 2015" report, the "AA" credit rating group has a benchmark of 51 days in accounts receivable for 2016.

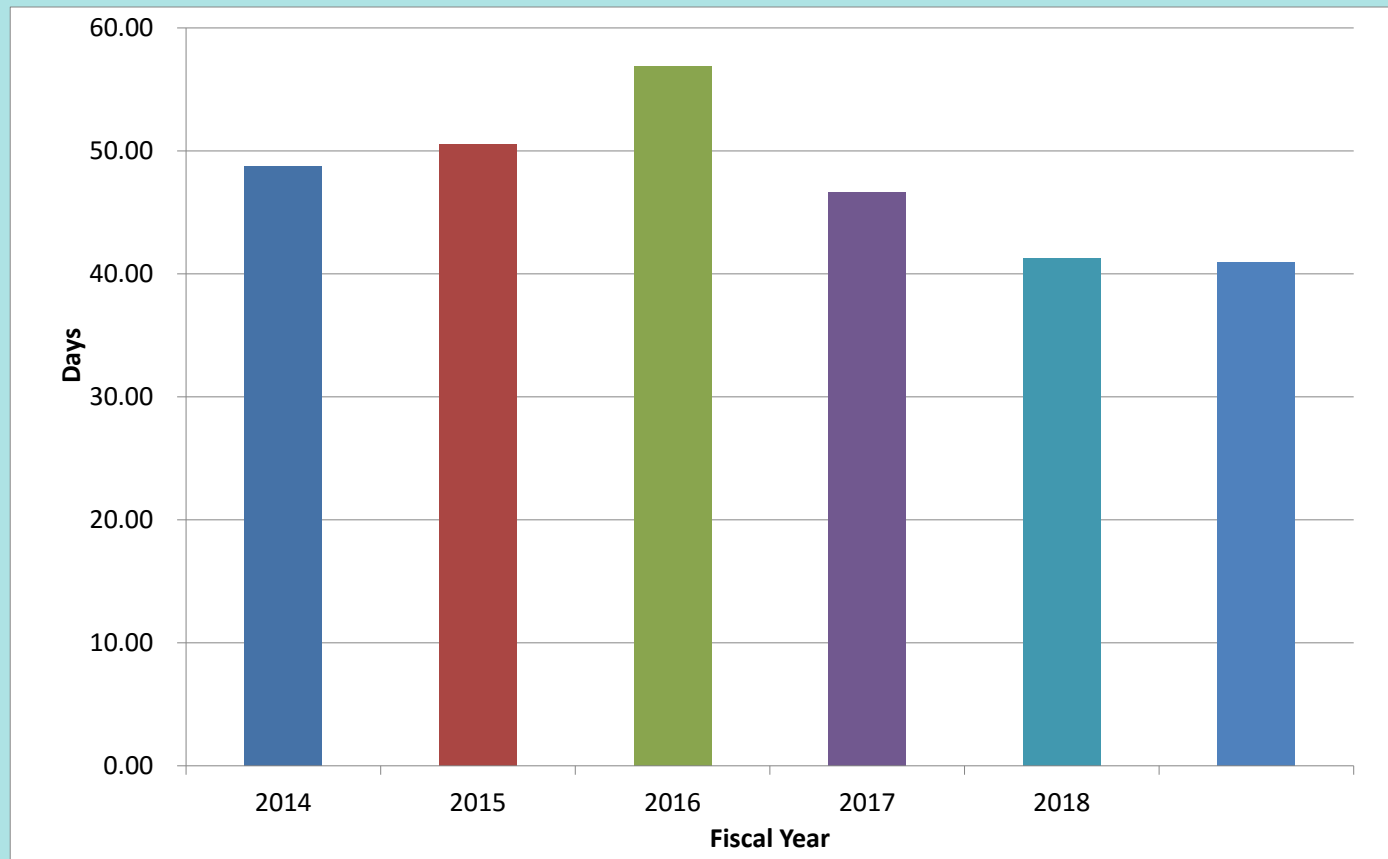
### UNM MEDICAL GROUP



FY 2014	FY 2015	FY 2016	FY 2017	FY 2018	FY 2019 Aug 31st
34.60	35.10	37.00	37.00	41.60	38.00

Per S&P Global Ratings, "U.S. Not-for-Profit Health Care System Median Financial Ratios - 2016 vs. 2015" report, the "AA" credit rating group has a benchmark of 51 days in accounts receivable for 2016.

### SANDOVAL REGIONAL MEDICAL CENTER



**FY 2014**

**FY 2015**

**FY 2016**

**FY 2017**

**FY 2018**

**FY 2019**  
**Aug 31st**

48.71

50.50

56.90

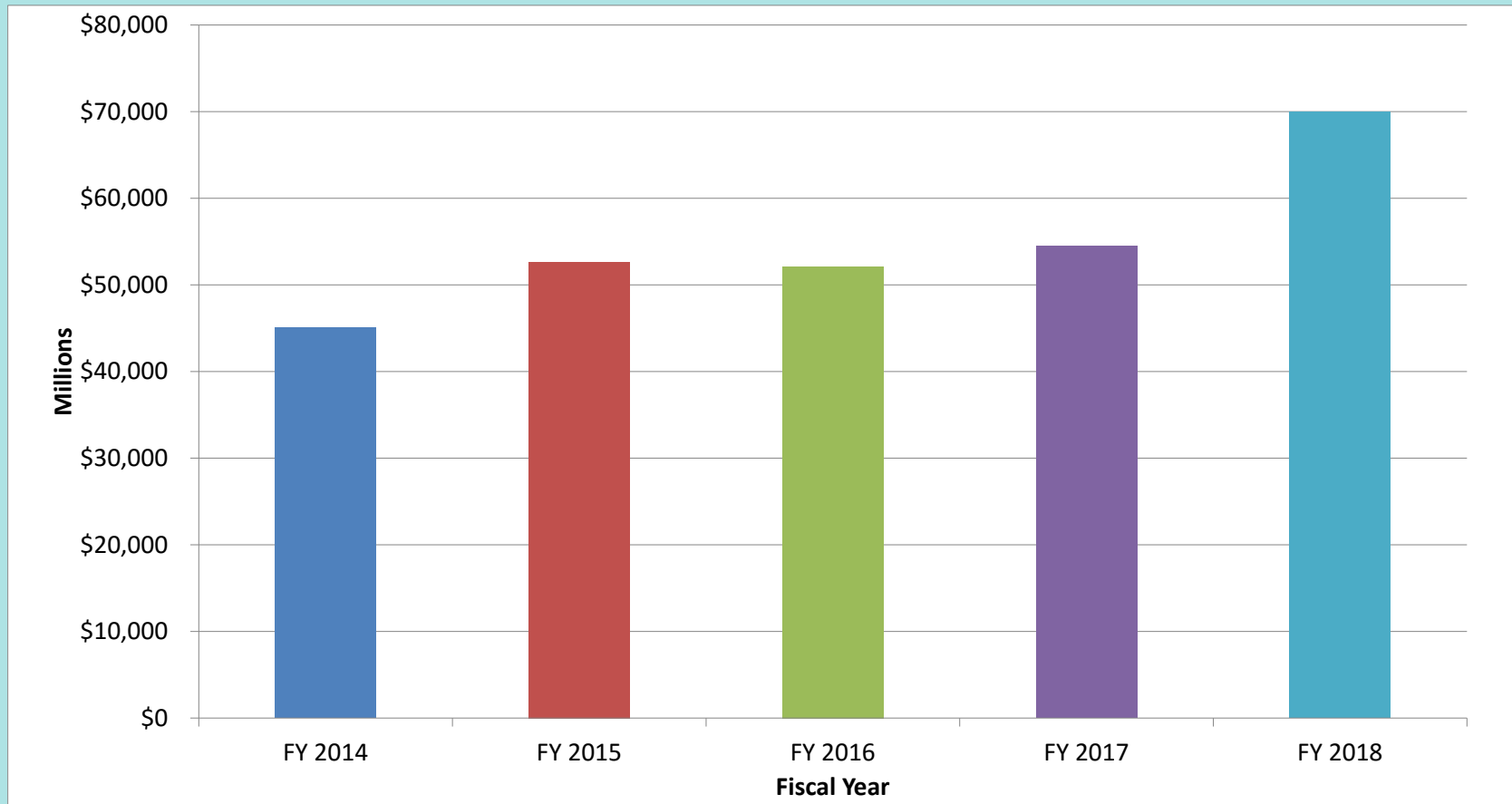
46.63

41.24

40.96

Per S&P Global Ratings, "U.S. Not-for-Profit Health Care System Median Financial Ratios - 2016 vs. 2015" report, the "AA" credit rating group has a benchmark of 51 days in accounts receivable for 2016.

## UNIVERSITY HSC



**FY 2014  
1st Quarter**

\$45,039

**FY 2015  
1st Quarter**

\$52,630

**FY 2016  
1st Quarter**

\$52,107

**FY 2017  
1st Quarter**

\$54,495

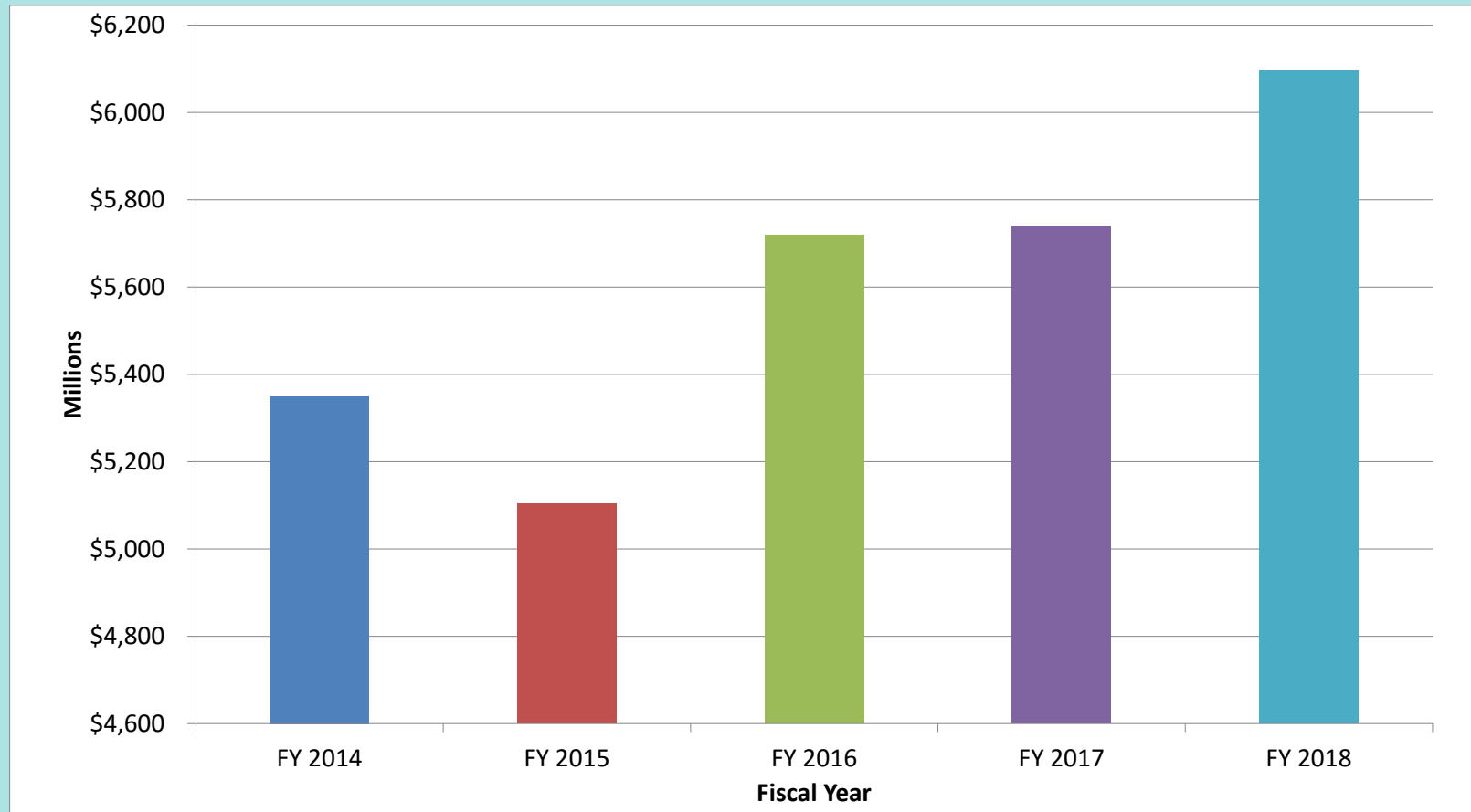
**FY 2018  
1st Quarter**

\$69,960

**FY 2019  
August 31st YTD**

\$31,586

## UNIVERSITY HSC



**FY 2014  
1st Quarter**

\$5,349

**FY 2015  
1st Quarter**

\$5,104

**FY 2016  
1st Quarter**

\$5,719

**FY 2017  
1st Quarter**

\$5,740

**FY 2018  
1st Quarter**

\$6,096

**FY 2019  
August 31 YTD**

\$4,007



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UNM HEALTH SCIENCES  
RIO RANCHO CAMPUS

UNM HEALTH SCIENCES CENTER COMMITTEE  
OCTOBER 9, 2018

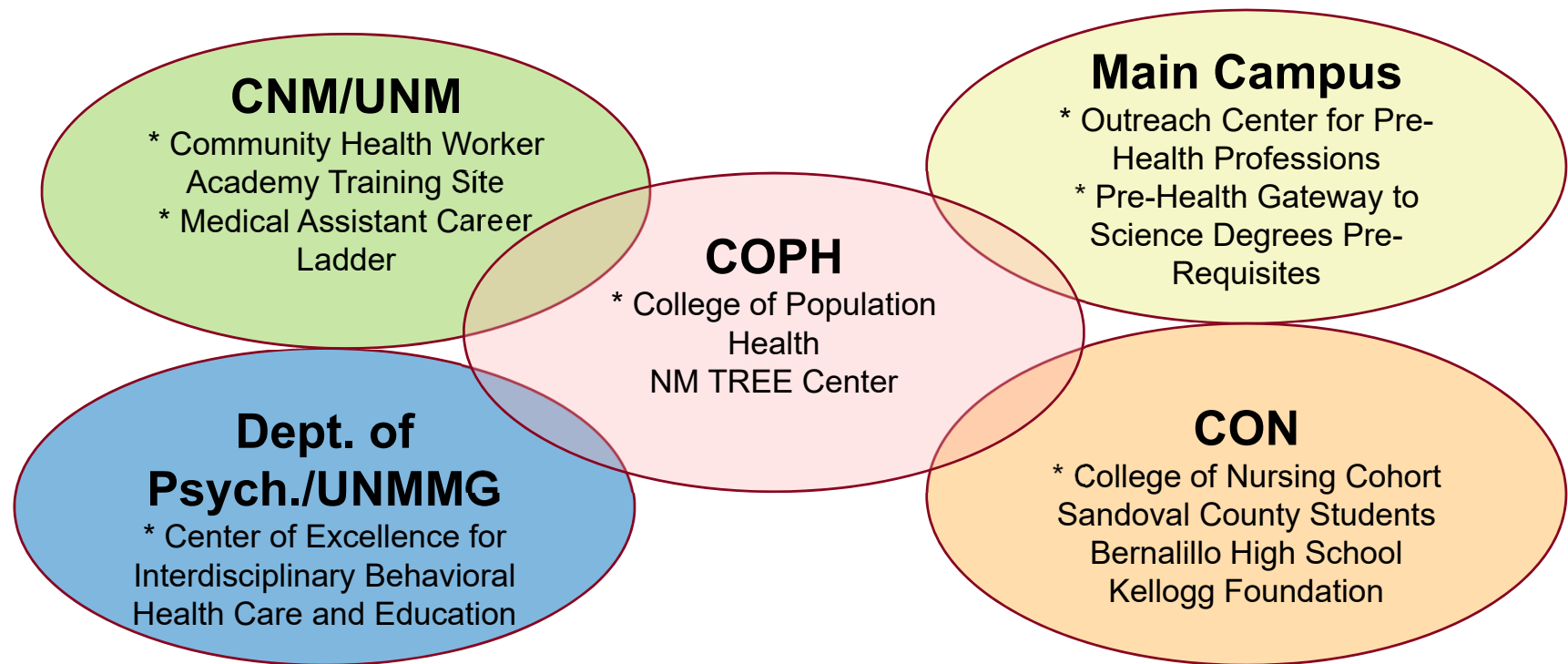


# Current State

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# Phase 1 Initial Programs – Building #1

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# Phase 2

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# UNM Health Sciences Rio Rancho Campus: Building #2

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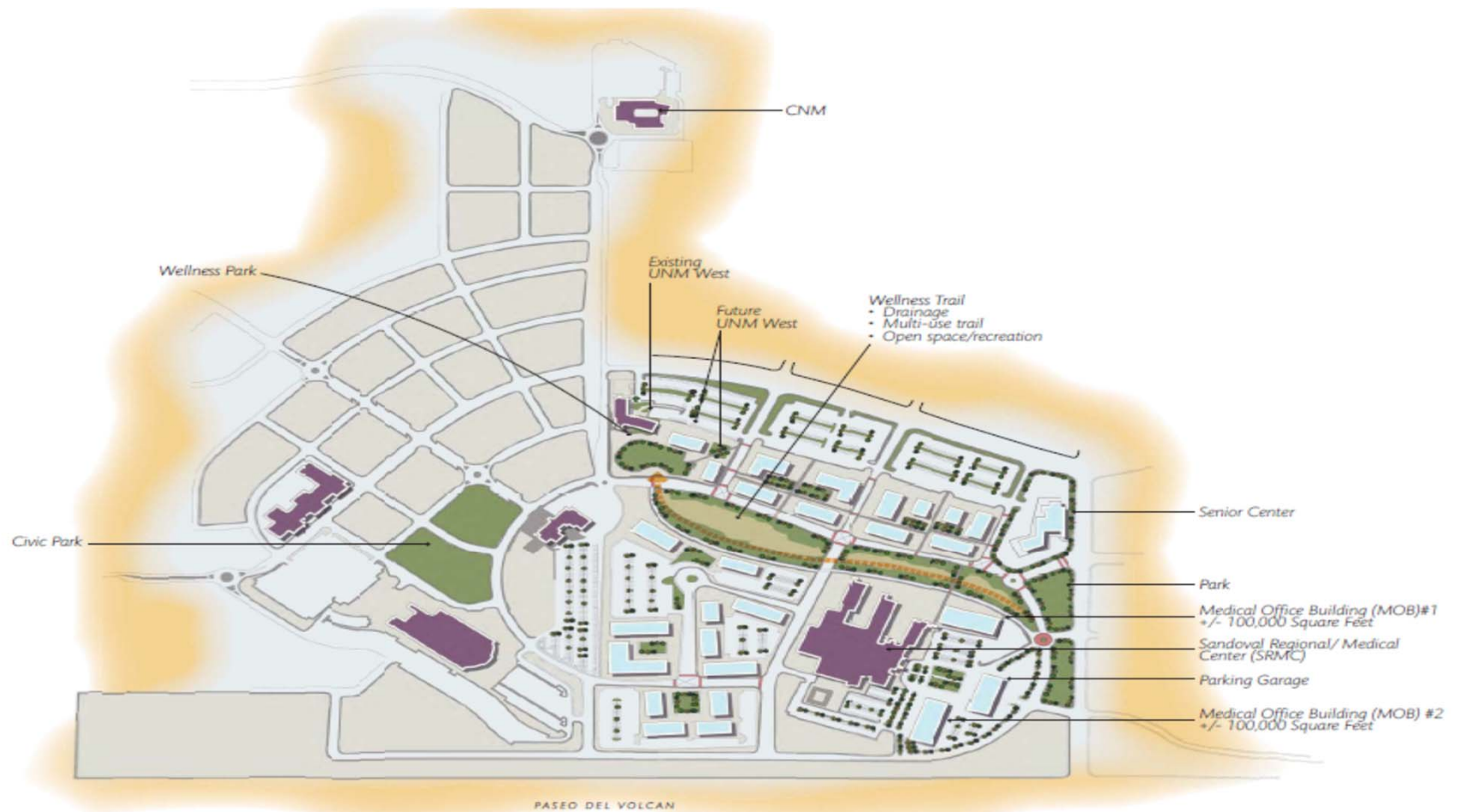
Total project budget: \$20,000,000

Estimated \$/GSF: \$350/GSF

Estimated GSF: 57,000 GSF

- Biology and Chemistry Labs
- Simulation Labs
- Problem Based Learning Classrooms
- Computer Labs
- Faculty Offices

Centers of Excellence – Academic and Clinical compliments to SRMC



# Center of Excellence for Arthroplasty & Rehabilitation

---

UNM Health Sciences Rio Rancho Campus and UNM Sandoval Regional Medical Center in collaboration with the UNM Department of Orthopedics, plans to develop an offsite outpatient orthopedic center for all outpatient arthroplasty clinical care, as well as academic and research activities into one comprehensive care center of excellence.

# Academic Teaching, Research and Laboratory

---

Academic classroom space

Cadaver and Anatomy Labs

Education of practitioners, Residents, Fellows, Medical Students, Undergraduate Students, and High School Students

Educational and research laboratory space which supports medical students, residents, undergraduate and graduate engineering students studying Orthopedic Biomechanics and Biomaterials

Total square footage need is projected to be: 12,500 sq. ft.

Projected dollar amount: \$5,600,000

# Center of Excellence for Clinic for Arthroplasty

---

Adult Reconstruction for Total Hip, Total Knee, Foot and Ankle, Total Shoulder, Total Elbow, and Total Wrist

Add Pain Management Services

48 exam rooms and complement spaces to support clinic activity to promote efficiency

Innovative imaging platform (EOS) for orthopedics and musculoskeletal pathologies

- Based on a unique imaging system and associated software solution
- A full view of the patient 's skeleton in life size, weight bearing in 3D, with a significant low dose and micro-dose radiation

Space will include appropriate education space for learners

Total square footage need is approximately to be: 25,500 sq. ft.

Projected dollar amount: \$7,000,000



# Rehabilitation and Wound Care Services

---

Includes Occupational/Physical Therapy and Wound Care Services dedicated to outpatient rehabilitation for Orthopedics and other hospital service lines

Total square footage need is projected to be: 11,500 sq. ft.

Projected dollar amount: \$3,080,000)

# Total program

---

49,500square feet

Total project budget to date is estimated to be \$15,680,000

Medical and Education Destination

Additional Considerations adjacent to Center of Excellence

Hotel for Patient/Family/Learner accommodations

Other amenities: gas station, food services, retail, etc.

# What we hope to see happen

Regional Education and Training – Health Sciences, Health IT, Health Innovations

Wellness and Prevention – growers market, nutrition, gym, walking and cycling trails

Community Health Services

Clinical Centers of Excellence

Multigenerational Centers (Seniors/Children)

Transportation Center/Services

Digital Health and Telehealth Education

Private Development Partnerships

Retail and Support Services

Housing and Hotel Services

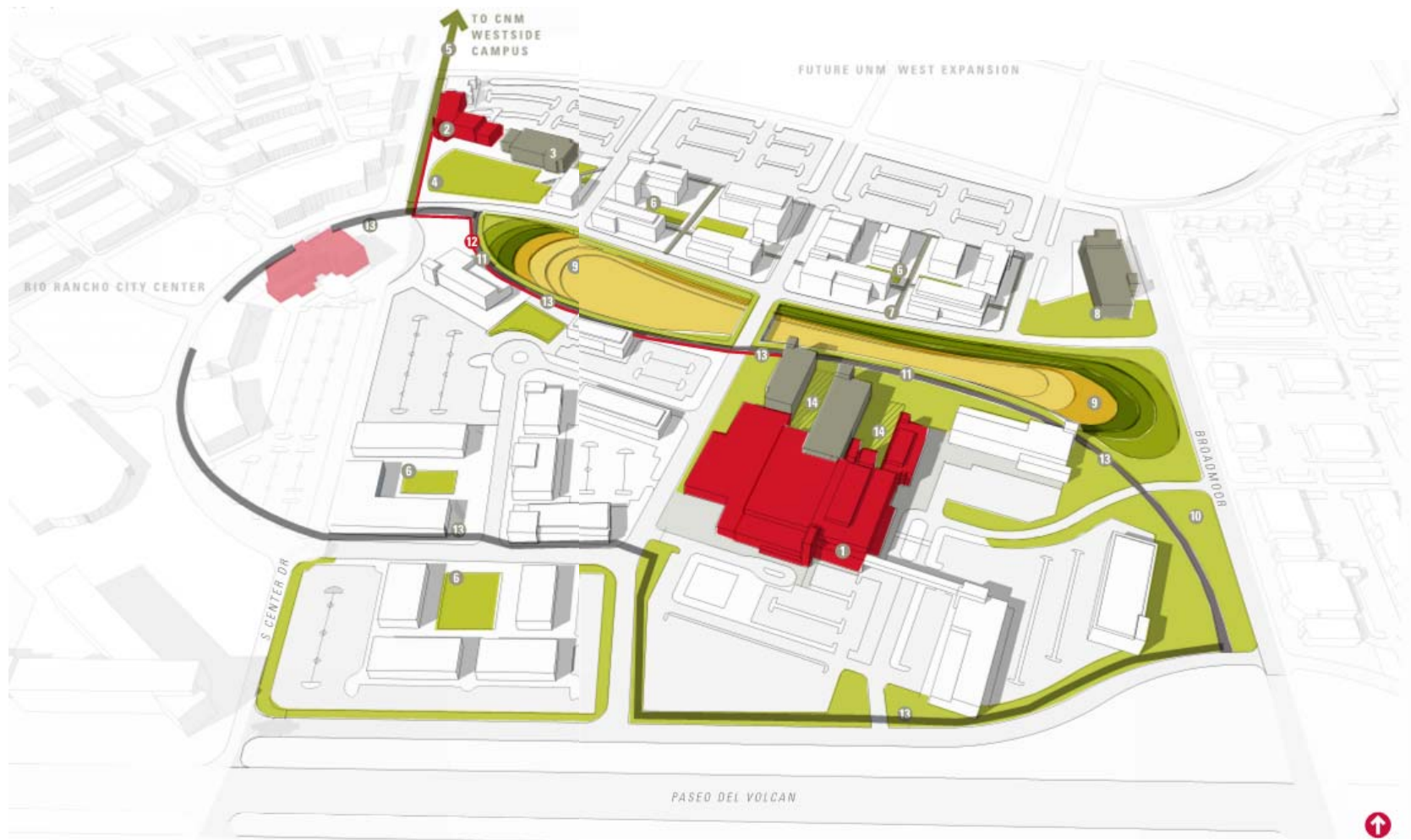






THE UNIVERSITY OF NEW MEXICO HEALTH SCIENCES





# Next Steps

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- Present to UNM Health Sciences Center Committee
- Engage HSC Academic Vice Chancellors
- Engage Main Campus Department of Engineering
- Finalize Work Plan with Department of Orthopedic Leadership
- Present to SRMC Board of Directors
- Present to City of Rio Rancho
- Submit RFP for Architect



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## UAP 7000 CATEGORIZATION OF RESERVES

TUESDAY, OCT 16, 2018

# The Importance of University Reserves

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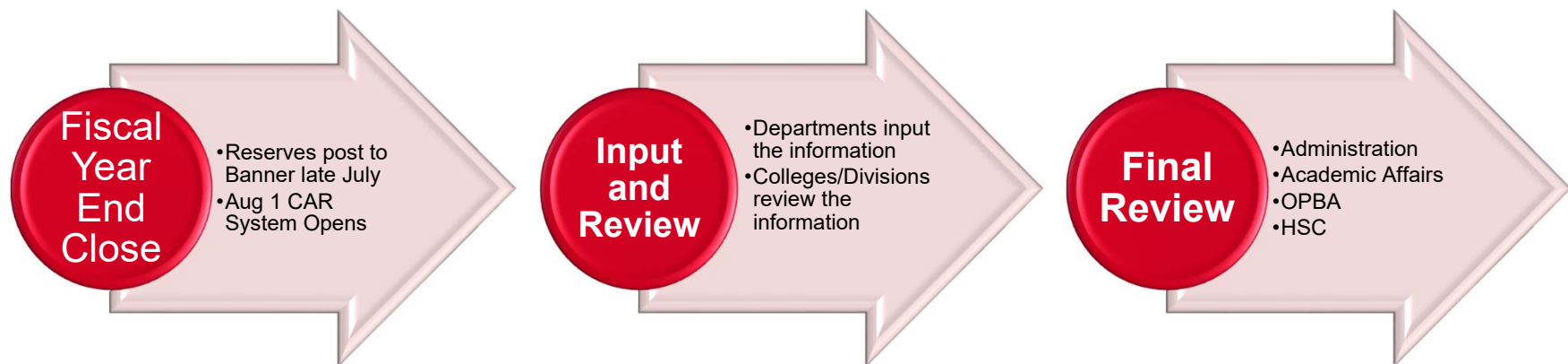
- University Reserves provide liquidity which is a foundational element of the University Bond Rating. *“Per Moody’s credit opinion that occurred in 2017 and resulted in the University being placed on negative watch, one of the University’s credit challenges is that it has thin unrestricted liquidity.”*
- The University uses reserves to mitigate unplanned budget reductions, adapt to changes and challenges such as health care reform or enrollment reductions, and to invest in mission critical initiatives.
- Reserves are non-recurring resources used as a bridge to avoid actions that damage our mission, such as un-planned layoffs, cuts in student or community services, or lapses in infrastructure maintenance.
- Cash reserves are necessary because research and clinical care are cost reimbursable and universities do not have access to unsecured commercial lines of credit to bridge timing gaps between collecting revenues and operating expenditures.



# How the University Plans for Multiyear Expenditures

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## Categorization of Reserves Process

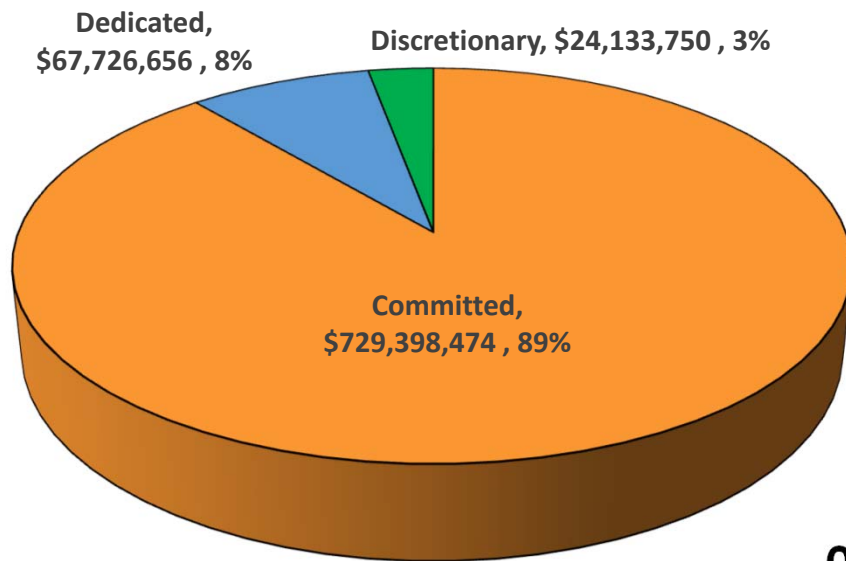


# Reserve Categories

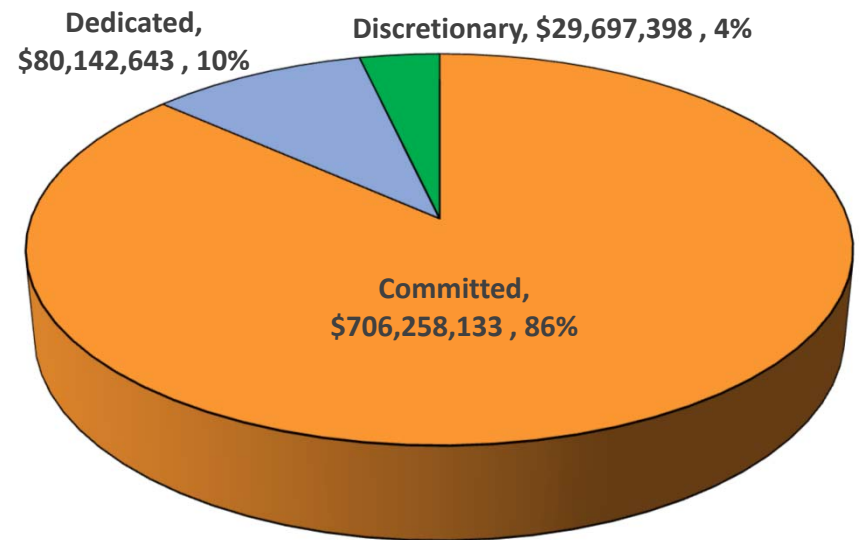
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- **Committed:** this includes funds where a formal, legally binding obligation exists
  - Purchase orders
  - Signed offer letters
  - Documented cost share requirements
  - All endowed and non-endowed funds
- **Dedicated:** this includes funds with a clear, focused purpose and documented description that identifies the entity or individual initiating the dedication.
  - Reserves dedicated by deans for specific purpose
  - Purchases of equipment
  - Bridge funding
- **Discretionary:** this includes remaining reserves after accounting for all committed and dedicated funds.

# UNM Campus Operations and Plant Fund Ending Reserves



**FY17 Total: \$821,258,880**

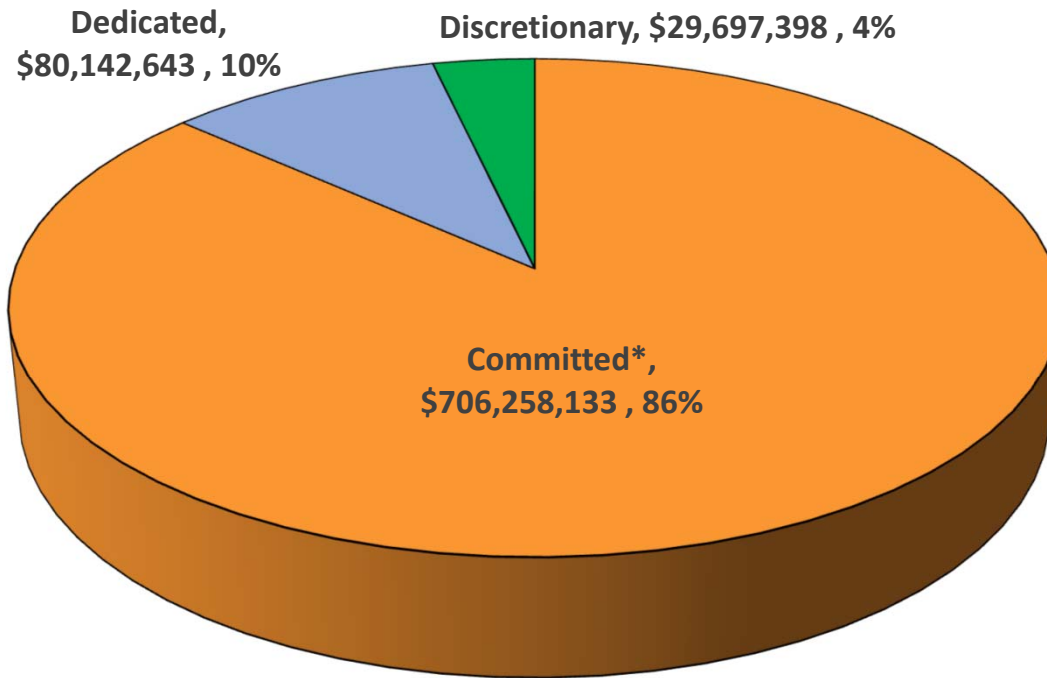


**FY18 Total: \$816,098,174**

**0.63% Decrease**

# UNM FY 18 Campus Operations and Plant Fund Ending Reserves

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**Total: \$816,098,174**

\* Note that committed includes contractual obligations as well as reserves that have a restricted use

## FY18 Total Ending Reserves by Campus

Total Reserves Reconciliation	Committed*	Dedicated	Discretionary	Total Reserves
Main Campus Operations	65,739,343	12,522,901	12,448,182	90,710,426
HSC Operations	46,223,279	23,759,120	2,969,478	72,951,877
Branch Operations	4,597,440	2,995,399	11,699,386	19,292,225
<b>Subtotal Campus Operations</b>	<b>116,560,063</b>	<b>39,277,420</b>	<b>27,117,045</b>	<b>182,954,528</b>
Hospital Capital Initiatives	201,004,912	-	-	201,004,912
Main Campus Plant	126,956,163	24,522,609	2,335,412	153,814,184
HSC Plant	24,560,240	8,221,294	21,389	32,802,923
Branch Plant	8,087,801	8,121,320	223,552	16,432,673
<b>Subtotal Plant</b>	<b>360,609,116</b>	<b>40,865,223</b>	<b>2,580,353</b>	<b>404,054,692</b>
Hospital Operations	229,088,954	-	-	229,088,954
<b>Grand Total</b>	<b>\$706,258,133</b>	<b>\$80,142,643</b>	<b>\$29,697,398</b>	<b>\$816,098,174</b>

- Note that committed includes contractual obligations as well as reserves that have a restricted use
- Branch campus reserves designated to cover Branch related operations and strategic initiatives. Per Branch Campus Operating Agreement between the Board of Regents and Local Branch Campus Boards, funding between campuses shall not be reallocated, except for the payment of the administrative services fee defined in the agreement.

## FY18 Ending Reserves

### Summary of All Committed Reserves by Category Type

Committed Category	Total
Hospital Operations <sup>1</sup>	229,088,954
Hospital Capital Initiatives <sup>1</sup>	201,004,912
Campus Plant Funds <sup>2</sup>	159,604,204
Donor Designated and Scholarships	53,223,720
Faculty Startup	14,755,176
HED 3% Reserve	9,544,946
Other <sup>3</sup>	8,915,301
State Appropriations	8,169,320
Miscellaneous Fringe Benefit Reserves	5,364,296
Purchase Orders & Service Contracts	5,274,346
Cost Share & Research Awards	4,495,484
Capital Equipment	2,159,261
Faculty Contracts/ Chair & Dean Packages	1,932,536
Course Fees	1,590,836
IT Initiatives	1,134,841
<b>Total Department Commitments</b>	<b>\$706,258,133</b>

1. UNM Hospital Reserves (which are significantly less than the total reserves of similar hospitals with comparable licensed number of beds) are completely committed under the HUD Mortgage contract and the Bernalillo County lease agreement.
2. Plant Funds is comprised of the 2016 & 2017 UNM Bonds which will be used for large projects, Local Bonds issued by the Branch Campuses, Renewal and Replacement funds and funds held for Debt Service payments.
3. Other includes commitments such as: mandatory student fees, debt service, and one time University initiatives.

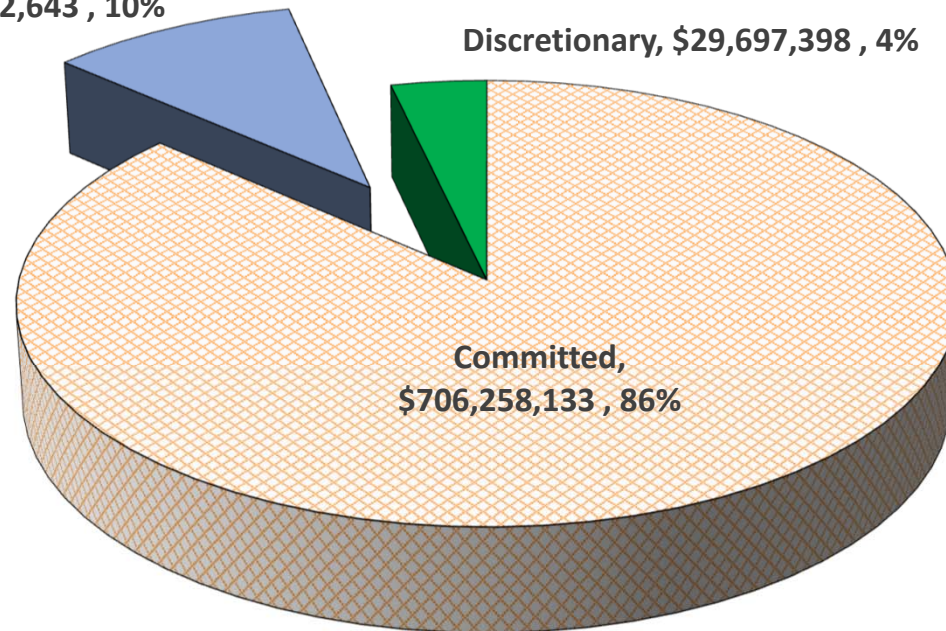
For detail please refer to pages 22-24 in e-book

# UNM FY 18 Campus Operations and Plant Fund Ending Reserves

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Dedicated, \$80,142,643 , 10%

Discretionary, \$29,697,398 , 4%



**Total: \$816,098,174**

## FY18 Ending Reserves

### Summary of All Dedicated Reserves by Category Type

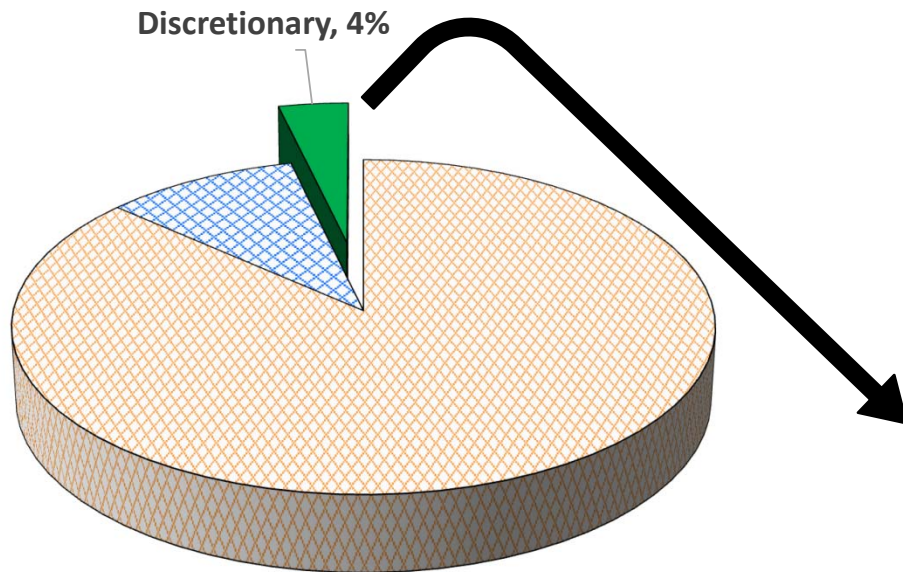
Dedicated Category	Total
Campus Plant Funds <sup>1</sup>	40,865,223
Startup for Researchers and Chair Initiatives	17,152,400
Research Bridge Funding	15,137,239
Professional Development	8,487,531
Other <sup>2</sup>	7,833,728
Department Continuing Initiatives	7,111,949
Capital Equipment	5,239,620
Faculty Initiatives	2,081,574
Professional Service Contracts	1,796,353
Safety and Risk Services	1,089,782
Deficit Reduction Plan <sup>3</sup>	(26,652,757)
<b>Total Department Dedications</b>	<b>\$ 80,142,643</b>

1. Plants Funds is comprised of infrastructure projects, renewal and replacement projects, equipment replacement, funds for Auxiliary units like Housing, Bookstore, PATS, Branch cost share for capital projects, potential Valencia Campus Westside Workforce Training Center.
2. Other includes dedications such as: Part-time Instruction, Undesignated Scholarships and Department Initiatives.
3. Deficit Reduction Plan is comprised of departmental deficits that will be covered from future year operating budgets.

For detail please refer to pages 22-24 in e-book



# UNM FY 18 Campus Operations and Plant Fund Discretionary Reserves



Campus	Total
Main Campus Operations	12,448,182
Branch Operations	11,699,386
HSC Operations	2,969,478
Plant Funds	2,580,353
<b>Total Discretionary</b>	<b>\$ 29,697,398</b>

For detail please refer to pages 22-24 in e-book

Thank you!

Questions?

## Fiscal Year Ending - 2018

### Attachment I- Summary of Reserves

	Reserve June 30 2017	Reserve June 30 2018	Change FY 2017-2018	% Change
<b>The University of New Mexico</b>				
Main Campus Operations	84,930,513.49	90,710,425.65	5,779,912.16	6.81%
Branch Operations	15,916,737.35	19,292,224.69	3,375,487.34	21.21%
HSC Academic Operations	60,995,983.90	72,951,877.05	11,955,893.15	19.60%
Plant Funds	235,304,550.00	203,049,780.00	(32,254,770.00)	-13.71%
UNMHs Capital Initiatives	202,538,207.00	201,004,912.00	(1,533,295.00)	-.76%
UNMHs Operations	221,572,887.00	229,088,954.00	7,516,067.00	3.39%
Grand Total	821,258,878.74	816,098,173.39	(5,160,705.35)	-0.63%

<b>Component Units</b>				
Anderson School Foundation	2,677,535.00	3,086,009.00	408,474.00	15.26%
Innovate ABQ, Inc.	1,054,538.00	612,101.00	(442,437.00)	-41.96%
Lobo Development Corporation	3,527,036.00	5,283,794.00	1,756,758.00	49.81%
Lobo Energy, Inc.	590,605.00	499,214.00	(91,391.00)	-15.47%
STC.UNM	977,752.00	1,101,368.00	123,616.00	12.64%
UNM Alumni Association	8,896,304.00	8,853,672.00	(42,632.00)	-.48%
UNM Foundation, Inc.	2,661,909.00	3,405,109.00	743,200.00	27.92%
UNM Lobo Club	75,107.00	1,001,953.00	926,846.00	1,234.03%
UNM Medical Group, Inc.	58,003,303.00	60,155,688.00	2,152,385.00	3.71%
UNM SRMC	20,299,254.00	20,639,748.00	340,494.00	1.68%

Note: For component units, amounts shown for reserves are the net asset amounts.

**Fiscal Year Ending - 2018**
**Attachment II- Summary of Reserves by College/School/Division**

	Reserve June 30 2017	Reserve June 30 2018	Net Change
College/School/Division			
Presidents Office	142,355.22	462,297.59	319,942.37
Office of Equal Opportunity	1,052.63	81,879.27	80,826.64
University Counsel Office	179,177.06	143,515.26	(35,661.80)
Univ Communication & Marketing	549,286.65	172,409.71	(376,876.94)
Compliance Office - Main Campus	10,093.93	71,794.30	61,700.37
VP Inst Advancement Alumni Relation	323,571.77	263,251.47	(60,320.30)
Dispute Resolution	18,969.34	1,914.61	(17,054.73)
Development Office	1,180,512.54	899,638.78	(280,873.76)
Internal Audit Department	85,355.86	102,655.88	17,300.02
<b>Subtotal President</b>	<b>2,490,375.00</b>	<b>2,199,356.87</b>	<b>(291,018.13)</b>
Global Education Office	558,619.33	807,519.47	248,900.14
Harwood Foundation	459,248.82	500,891.66	41,642.84
OSE Optical Science and Engineering	1,467.33	17,984.11	16,516.78
Center for Teaching Excellence CTE	34,618.65	40,473.29	5,854.64
NSMS Nano Science & Micro Systems	33,385.31	40,264.32	6,879.01
Parent Association - EM	59,342.43	55,845.66	(3,496.77)
Undergraduate Education Support	117,142.00	124,942.00	7,800.00
Institutional Research	112.89	22,924.86	22,811.97
KUNM	276,005.16	231,966.94	(44,038.22)
Univ Lbry CRS	316,909.62	282,753.20	(34,156.42)
University Press UP	(7,049,033.03)	(6,778,340.76)	270,692.27
Graduate Studies GS	1,515,287.85	1,042,062.84	(473,225.01)
CAPS	58,862.62	58,290.16	(572.46)
Latin American Iberian Institute	402,851.45	364,763.14	(38,088.31)
Provost Office Staff	1,785,247.64	2,251,291.60	466,043.96
CARS	15,409.52	17,318.05	1,908.53
Ofc of the University Secretary	94,120.03	78,159.86	(15,960.17)
UNM West and Branch Initiatives	97,706.87	32,006.18	(65,700.69)
University College UC	87,211.85	348,286.63	261,074.78
VP for Equity & Inclusion	105,840.64	185,737.22	79,896.58
VP Division of Enrollment Mgmt	781,097.97	(1,572,122.95)	(2,353,220.92)
College of Fine Arts CFA	3,064,119.67	3,131,277.10	67,157.43
College of Arts & Sciences A&S	15,266,955.07	16,371,104.75	1,104,149.68
Anderson Schools of Management ASM	2,653,023.03	2,796,334.32	143,311.29
College of Education COE	4,491,010.79	4,572,375.59	81,364.80
School of Engineering SOE	10,445,032.40	12,384,270.45	1,939,238.05
School of Law LAW	5,095,938.09	5,883,492.61	787,554.52

**Fiscal Year Ending - 2018**
**Attachment II- Summary of Reserves by College/School/Division**

	Reserve June 30 2017	Reserve June 30 2018	Net Change
College/School/Division			
School of Architecture & Planning	1,775,320.11	1,694,560.72	(80,759.39)
College of Univ Libry & Learning Sci	1,048,696.44	1,134,924.30	86,227.86
Continuing Education Cont Ed	(391,686.22)	(192,240.66)	199,445.56
Extended University Ext Univ	3,086,313.02	1,718,719.27	(1,367,593.75)
Vice President for Research	5,543,614.30	5,906,552.26	362,937.96
Academic Affairs Monitoring	(319,461.08)	1,518,795.87	1,838,256.95
Honors College	210,682.80	157,221.44	(53,461.36)
Student Affairs	2,821,484.37	2,845,373.90	23,889.53
<b>Subtotal Academic &amp; Student Affairs</b>	<b>54,542,497.74</b>	<b>58,075,779.40</b>	<b>3,533,281.66</b>
Police Department	(31,889.96)	2,109.67	33,999.63
EVP of Administration Office	1,493,075.56	1,718,183.14	225,107.58
Safety & Risk Services	829,980.83	992,167.08	162,186.25
Budget Office	3,770.03	1,402.04	(2,367.99)
UNM Policy Office	50,279.31	43,472.69	(6,806.62)
Board of Regents	111,725.42	70,200.49	(41,524.93)
Information Technology Services	26.87	27.87	1.00
EVP Admin Independent Offices	16,594,216.94	17,965,421.14	1,371,204.20
Intercollegiate Athletics	(2,053,078.55)	(2,415,257.97)	(362,179.42)
Controller	1,657,754.00	1,320,342.39	(337,411.61)
Associate VP for Facilities Mgmt	6,346.45	800.00	(5,546.45)
Human Resources HR	378,218.52	785,113.87	406,895.35
VP Institutional Support Services	6,958,063.75	7,632,425.25	674,361.50
Government & Community Relations	54,797.88	82,932.76	28,134.88
Information Technologies	1,586,765.18	2,012,341.14	425,575.96
<b>Subtotal Administration</b>	<b>27,640,052.23</b>	<b>30,211,681.56</b>	<b>2,571,629.33</b>
VP HSC Administration	(10,479.95)	1,739.64	12,219.59
School of Medicine	211,308.73	154,582.82	(56,725.91)
College of Nursing	38,060.58	44,232.80	6,172.22
College of Pharmacy	.00	3,080.96	3,080.96
Branches	18,699.16	19,971.60	1,272.44
<b>Subtotal Other HSC &amp; Branches</b>	<b>257,588.52</b>	<b>223,607.82</b>	<b>(33,980.70)</b>
<b>Total Main Campus</b>	<b>84,930,513.49</b>	<b>90,710,425.65</b>	<b>5,779,912.16</b>
Gallup Branch	5,381,002.23	7,030,855.74	1,649,853.51
Los Alamos Branch	1,413,071.81	1,934,540.17	521,468.36
Taos Branch	5,162,504.80	5,409,386.94	246,882.14
Valencia Branch	3,960,158.51	4,917,441.84	957,283.33
<b>Total Branches</b>	<b>15,916,737.35</b>	<b>19,292,224.69</b>	<b>3,375,487.34</b>

**Fiscal Year Ending - 2018**
**Attachment II- Summary of Reserves by College/School/Division**

	Reserve June 30 2017	Reserve June 30 2018	Net Change
College/School/Division			
VP HSC Administration	13,822,556.75	22,883,033.47	9,060,476.72
HS Library and Informatics Center	482,965.46	206,933.11	(276,032.35)
School of Medicine	32,854,966.54	36,478,517.31	3,623,550.77
College of Nursing	5,077,703.41	5,181,347.03	103,643.62
College of Pharmacy	5,250,905.02	4,886,388.86	(364,516.16)
College of Population Health	359,041.34	757,609.66	398,568.32
HSC VP Research	3,147,844.94	2,557,998.31	(589,846.63)
UNM HSC West Campus	.44	50.00	49.56
<b>Total HSC Academic Operations</b>	<b>60,995,983.90</b>	<b>72,951,877.75</b>	<b>11,955,893.85</b>
<b>Total Campus Operations</b>	<b>161,843,234.74</b>	<b>182,954,528.09</b>	<b>21,111,293.35</b>
Plant Funds	235,304,550.00	203,049,780.00	(32,254,770.00)
UNMHs Capital Initiatives	202,538,207.00	201,004,912.00	(1,533,295.00)
UNMHs Operations	221,572,887.00	229,088,954.00	7,516,067.00
<b>Grand Total</b>	<b>821,258,878.74</b>	<b>816,098,174.09</b>	<b>(5,160,704.65)</b>

Component Units			
Anderson School Foundation	2,677,535.00	3,086,009.00	408,474.00
Innovate ABQ, Inc.	1,054,538.00	612,101.00	(442,437.00)
Lobo Development Corporation	3,527,036.00	5,283,794.00	1,756,758.00
Lobo Energy, Inc.	590,605.00	499,214.00	(91,391.00)
STC.UNM	977,752.00	1,101,368.00	123,616.00
UNM Alumni Association	8,896,304.00	8,853,672.00	(42,632.00)
UNM Foundation, Inc.	2,661,909.00	3,405,109.00	743,200.00
UNM Lobo Club	75,107.00	1,001,953.00	926,846.00
UNM Medical Group, Inc.	58,003,303.00	60,155,688.00	2,152,385.00
UNM SRMC	20,299,254.00	20,639,748.00	340,494.00



## Fiscal Year Ending - 2018

### Attachment V- Main Campus Summary of Deficits \$100,00 or More

College/School/Division	Reserve June 30 2017	Reserve June 30 2018	Change FY 2017-2018
VP Division of Enrollment Mgmt	781,097.97	(1,572,122.95)	(2,353,220.92)
University Press UP	(7,049,033.03)	(6,778,340.76)	270,692.27
Continuing Education Cont Ed	(391,686.22)	(192,240.66)	199,445.56
Athletics	(4,713,999.45)	(4,492,378.59)	221,620.86

Given the reduction in Lottery scholarship resources, FY18 reserve balances were utilized to support Lottery recipients with the highest need. This was a one-time initiative, FY19 and FY20 awarding will be adjusted to offset the deficit.

In FY18 UNM Press was reorganized under the College of University Libraries and Learning Sciences, which included the outsourcing of the Press warehouse and fulfillment operations to a third party vendor, while reducing 5.25 staff FTEs and operational expenses. In addition to the reorganization, the University committed a \$350K annual subsidy, which was implemented in FY18. Through these changes the UNM Press has established a balanced budget FY19 forward. In FY18 sales revenues were slightly up and expenses were slightly down due to vacancy savings, which accounts for the positive net change from FY18 to FY19. Per deficit reduction plan positive net balances will be set aside to pay down the accumulated deficit for the Press.

Positive net change in reserves is due to gains on prior year grants and current year F&A revenues on grants used to reduce overall CE deficit. Additional cost containment measures were also put in place, such as reduction to administrative staff positions and operational overhead costs for public programs. Through these efforts the accumulated deficit for CE is projected to be paid off by FY20.

Positive net change in reserves is due to one-time institutional support through transfer of land-sale proceeds and staff vacancy savings. A deficit reduction plan has been submitted and approved for implementation beginning FY20 to address the recurring and accumulated deficit forward.

## Fiscal Year Ending - 2018

### Attachment V- HSC Academic Summary of Deficits \$100,000 or More

College/School/Division	Reserve June 30 2016	Reserve June 30 2017	Reserve June 30 2018	Change FY 2017-2018
SOM Surgery	(1,629,705.75)	(2,655,669.70)	(3,649,664.23)	(993,994.53)
Internal Medicine IM	(2,373,098.07)	(2,987,598.91)	(3,187,398.00)	(199,799.09)
Office of the Medical Investigator	(958,968.24)	(1,031,757.62)	(720,725.24)	311,032.38
Obstetrics Gynecology OB GYN	(976,156.45)	(1,242,011.57)	(690,617.98)	551,393.59
Department of Dental Medicine	(816,756.70)	(851,141.93)	(448,691.13)	402,450.80
COP Radiopharmacy	(120,980.12)	(68,951.12)	(168,859.73)	(99,908.61)

SOM Surgery, FY18 deficit <\$3,649,664.23>; the SOM Dean will be paying \$300,000 annually and the Chancellor will be paying \$300,000 annually to pay off this deficit. The department leadership is required to work with the Dean's office to ensure the remaining deficit is resolved through operations. The commitment begins in FY19. The total operations of this department are being re-engineered through the SOM Dean's office to allow the department to contribute to reducing the debt.

Internal Medicine, FY18 deficit <\$3,187,398.00>: the SOM Dean will be paying \$800,000 each year for three years to begin repayment of this deficit. The department leadership is required to work with the Dean's office to ensure the remaining deficit is resolved through operations. This commitment begins in FY19. The total operations of this department are being re-engineered through the SOM Dean's office to allow the department to contribute to reducing the debt.

Office of the Medical Investigator, FY18 deficit <\$720,725.24>; The deficit occurred primarily as a result of increased costs in the new state lab building. The new state appropriations and streamlined operations are allowing OMI to pay \$183,000 annually on the debt.

Obstetrics/Gynecology, FY18 deficit <\$690,617.98>; The deficit occurred primarily due to a long-term contract termination. The chair has cut the deficit in half by increasing productivity and operational efficiencies. The deficit will be eliminated in FY19.

Department of Dental Medicine, FY18 deficit <\$448,691.13>; The deficit occurred primarily as a result of opening the Dental Residency Clinic. The chair has improved productivity and efficiencies, reducing the deficit to 1/3 the original amount. The deficit will continue to be paid down by \$100,00 per year.

COP Radiopharmacy, FY18 deficit <\$168,859.73>; funds transferred in in FY19 by College of Pharmacy to completely cover the deficit.



**Fiscal Year Ending - 2018**  
**Attachment VII- Summary of Reserves as a Percentage of Budget by**  
**College/School/Division**

College/School/Division	FY 2018 Budget	FY 2018 Ending Reserve	Reserve as a % of Budget
Presidents Office	1,472,117.00	462,297.59	31.40%
Office of Equal Opportunity	749,439.00	81,879.27	10.93%
University Counsel Office	1,318,941.00	143,515.26	10.88%
Univ Communication & Marketing	2,652,736.00	172,409.71	6.50%
Compliance Office - Main Campus	271,869.00	71,794.30	26.41%
VP Inst Advancement Alumni Relation	814,145.00	263,251.47	32.33%
Dispute Resolution	273,876.00	1,914.61	.70%
Development Office	565,951.00	899,638.78	158.96%
Internal Audit Department	824,922.00	102,655.88	12.44%
<b>Subtotal President</b>	<b>8,943,996.00</b>	<b>2,199,356.87</b>	<b>24.59%</b>
Global Education Office	3,335,359.00	807,519.47	24.21%
Harwood Foundation	1,082,559.00	500,891.66	46.27%
OSE Optical Science and Engineering	71,186.00	17,984.11	25.26%
Center for Teaching Excellence CTE	.00	40,473.29	.00%
NSMS Nano Science & Micro Systems	83,556.00	40,264.32	48.19%
Parent Association - EM	500.00	55,845.66	11,169.13%
Undergraduate Education Support	.00	124,942.00	.00%
Institutional Research	329,890.00	22,924.86	6.95%
KUNM	1,770,192.00	231,966.94	13.10%
Univ Lbry CRS	1,033,798.00	282,753.20	27.35%
University Press UP	2,746,343.00	(6,778,340.76)	-246.81%
Graduate Studies GS	4,526,150.00	1,042,062.84	23.02%
CAPS	1,599,958.00	58,290.16	3.64%
Latin American Iberian Institute	1,252,878.00	364,763.14	29.11%
Provost Office Staff	4,368,532.00	2,251,291.60	51.53%
CARS	486,344.00	17,318.05	3.56%
Ofc of the University Secretary	656,150.00	78,159.86	11.91%
UNM West and Branch Initiatives	7,539.00	32,006.18	424.54%
University College UC	2,442,634.00	348,286.63	14.26%
VP for Equity & Inclusion	1,069,469.00	185,737.22	17.37%
VP Division of Enrollment Mgmt	49,237,562.00	(1,572,122.95)	-3.19%
College of Fine Arts CFA	16,366,273.00	3,131,277.10	19.13%
College of Arts & Sciences A&S	76,522,065.00	16,371,104.75	21.39%
Anderson Schools of Management ASM	15,935,464.00	2,796,334.32	17.55%
College of Education COE	15,413,983.00	4,572,375.59	29.66%
School of Engineering SOE	22,420,868.00	12,384,270.45	55.24%
School of Law LAW	13,437,421.00	5,883,492.61	43.78%

**Fiscal Year Ending - 2018**  
**Attachment VII- Summary of Reserves as a Percentage of Budget by**  
**College/School/Division**

College/School/Division	FY 2018 Budget	FY 2018 Ending Reserve	Reserve as a % of Budget
School of Architecture & Planning	4,873,668.00	1,694,560.72	34.77%
College of Univ Lbry & Learning Sci	15,721,501.00	1,134,924.30	7.22%
Continuing Education Cont Ed	4,521,284.00	(192,240.66)	-4.25%
Extended University Ext Univ	3,112,000.00	1,718,719.27	55.23%
Vice President for Research	13,697,996.00	5,906,552.26	43.12%
Academic Affairs Monitoring	730,017.00	1,518,795.87	208.05%
Honors College	1,767,523.00	157,221.44	8.90%
Student Affairs	25,615,185.00	2,845,373.90	11.11%
<b>Subtotal Academic &amp; Student Affairs</b>	<b>306,235,847.00</b>	<b>58,075,779.40</b>	<b>18.96%</b>
Police Department	3,107,005.00	2,109.67	.07%
EVP of Administration Office	918,086.00	1,718,183.14	187.15%
Safety & Risk Services	4,957,374.00	992,167.08	20.01%
Budget Office	710,596.00	1,402.04	.20%
UNM Policy Office	161,646.00	43,472.69	26.89%
Board of Regents	175,235.00	70,200.49	40.06%
Information Technology Services	.00	27.87	.00%
Intercollegiate Athletics	35,942,441.00	(2,415,257.97)	-6.72%
Controller	17,118,591.00	1,320,342.39	7.71%
Associate VP for Facilities Mgmt	.00	800.00	.00%
Human Resources HR	5,594,930.00	785,113.87	14.03%
VP Institutional Support Services	115,341,121.00	7,632,425.25	6.62%
Government & Community Relations	830,609.00	82,932.76	9.98%
Information Technologies	40,654,685.00	2,012,341.14	4.95%
<b>Subtotal Administration</b>	<b>225,512,319.00</b>	<b>12,246,260.42</b>	<b>5.43%</b>
Institutional Monitoring & Fringe Benefits	53,748,545.00	17,965,421.14	33.42%
<b>Subtotal Monitoring Accounts</b>	<b>53,748,545.00</b>	<b>17,965,421.14</b>	<b>33.42%</b>
VP HSC Administration	193,480.00	1,739.64	.90%
School of Medicine	1,058,335.00	154,582.82	14.61%
College of Nursing	35,137.00	44,232.80	125.89%
College of Pharmacy	8,300.00	3,080.96	37.12%
Branches	16,933.00	19,971.60	117.94%
<b>Subtotal Other HSC and Branches</b>	<b>1,312,185.00</b>	<b>223,607.82</b>	<b>17.04%</b>
<b>Total Main Campus</b>	<b>595,752,892.00</b>	<b>90,710,425.65</b>	<b>15.23%</b>
Gallup Branch	17,058,616.00	7,030,855.74	41.22%
Los Alamos Branch	4,457,564.00	1,934,540.17	43.40%
Taos Branch	8,707,003.00	5,409,386.94	62.13%
Valencia Branch	11,284,260.00	4,917,441.84	43.58%

**Fiscal Year Ending - 2018**  
**Attachment VII- Summary of Reserves as a Percentage of Budget by**  
**College/School/Division**

College/School/Division	FY 2018 Budget	FY 2018 Ending Reserve	Reserve as a % of Budget
<b>Total Branches</b>	<b>41,507,443.00</b>	<b>19,292,224.69</b>	<b>46.48%</b>
VP HSC Administration	79,607,160.00	22,883,033.47	28.74%
HS Library and Informatics Center	3,995,328.00	206,933.11	5.18%
School of Medicine	393,249,287.00	36,478,517.31	9.28%
College of Nursing	12,436,197.00	5,181,347.03	41.66%
College of Pharmacy	11,908,692.00	4,886,388.86	41.03%
College of Population Health	1,673,889.00	757,609.66	45.26%
HSC VP Research	6,709,557.00	2,557,998.31	38.12%
HSC West Campus	610,945.00	50.00	.01%
<b>Total HSC Academic Operations</b>	<b>510,191,055.00</b>	<b>72,951,877.75</b>	<b>14.30%</b>
<b>Total Campus Operations</b>	<b>1,147,451,390.00</b>	<b>182,954,528.09</b>	<b>15.94%</b>
Plant Funds	N/A	203,049,780.00	N/A
UNMHs Capital Initiatives	N/A	201,004,912.00	N/A
UNMHs Operations	1,090,727,859.00	229,088,954.00	21.00%
<b>Grand Total</b>		<b>816,098,174.09</b>	

Component Units	2018 Expenses		
Anderson School Foundation	2,960,350.00	3,086,009.00	104.24%
Innovate ABQ, Inc.	848,704.00	612,101.00	72.12%
Lobo Development Corporation	991,043.00	5,283,794.00	533.15%
Lobo Energy, Inc.	1,677,588.00	499,214.00	29.76%
STC.UNM	4,440,054.00	1,101,368.00	24.81%
UNM Alumni Association	918,058.00	8,853,672.00	964.39%
UNM Foundation, Inc.	43,040,158.00	3,405,109.00	7.91%
UNM Lobo Club	4,779,019.00	1,001,953.00	20.97%
UNM Medical Group, Inc.	203,823,174.00	60,155,688.00	29.51%
UNM SRMC	80,728,183.00	20,639,748.00	25.57%

**Fiscal Year Ending - 2018**
**Attachment IX-Summary of Categorization of Reserves by College/School/Division by Committed, Dedicated and Discretionary**

College/School/Division	RESERVE	COMMITTED	DEDICATED	DISCRETIONARY
Presidents Office	462,297.59	152,817.60	277,404.62	32,075.37
Office of Equal Opportunity	81,879.27	61,680.00	17,012.00	3,187.27
University Counsel Office	143,515.26	3,166.93	97,000.00	43,348.33
Univ Communication & Marketing	172,409.71	.00	172,408.10	1.61
Compliance Office - Main Campus	71,794.30	52,468.00	16,450.00	2,876.30
VP Inst Advancement Alumni Relation	263,251.47	156,612.70	57,350.00	49,288.77
Dispute Resolution	1,914.61	.00	.00	1,914.61
VP Advancement Administration	.00	.00	.00	.00
Development Office	899,638.78	899,638.78	.00	.00
Internal Audit Department	102,655.88	5,265.00	48,500.00	48,890.88
<b>Subtotal President</b>	<b>2,199,356.87</b>	<b>1,331,649.01</b>	<b>686,124.72</b>	<b>181,583.14</b>
Global Education Office	807,519.47	271,352.95	509,010.72	27,155.80
Harwood Foundation	500,891.66	447,431.87	53,459.79	.00
OSE Optical Science and Engineering	17,984.11	1,450.00	16,534.11	.00
Center for Teaching Excellence CTE	40,473.29	40,462.93	.00	10.36
NSMS Nano Science & Micro Systems	40,264.32	10,108.40	28,085.00	2,070.92
Parent Association - EM	55,845.66	50,819.53	.00	5,026.13
Undergraduate Education Support	124,942.00	.00	124,252.00	690.00
Institutional Research	22,924.86	.00	20,000.00	2,924.86
KUNM	231,966.94	231,966.94	.00	.00
Univ Libry CRS	282,753.20	282,746.42	.00	6.78
University Press UP	(6,778,340.76)	47,802.64	(6,826,737.94)	594.54
Graduate Studies GS	1,042,062.84	245,816.18	792,181.95	4,064.71
CAPS	58,290.16	20,461.97	37,828.19	.00
Latin American Iberian Institute	364,763.14	184,043.00	8,940.55	171,779.59
Provost Office Staff	2,251,291.60	340,382.09	1,264,925.08	645,984.43
CARS	17,318.05	.00	16,200.00	1,118.05
Ofc of the University Secretary	78,159.86	101,860.22	(23,700.36)	.00
UNM West and Branch Initiatives	32,006.18	.00	32,006.18	.00
University College UC	348,286.63	104,630.53	213,588.54	30,067.56
VP for Equity & Inclusion	185,737.22	58,606.96	119,277.51	7,852.75
VP Division of Enrollment Mgmt	(1,572,122.95)	3,811,677.24	(5,383,800.19)	.00
College of Fine Arts CFA	3,131,277.10	2,264,808.24	398,884.91	467,583.95
College of Arts & Sciences A&S	16,371,104.75	10,082,891.96	1,823,585.81	4,464,626.98
Anderson Schools of Management ASM	2,796,334.32	2,686,482.32	109,852.00	.00
College of Education COE	4,572,375.59	1,534,317.91	1,781,321.43	1,256,736.25
School of Engineering SOE	12,384,270.45	8,849,534.10	872,280.63	2,662,455.72
School of Law LAW	5,883,492.61	4,332,058.62	926,502.07	624,931.92
School of Architecture & Planning	1,694,560.72	1,442,132.52	67,971.27	184,456.93
College of Univ Libry & Learning Sci	1,134,924.30	736,991.14	384,450.12	13,483.04
Continuing Education Cont Ed	(192,240.66)	32,073.94	(277,974.93)	53,660.33
Extended University Ext Univ	1,718,719.27	40,600.00	1,636,596.84	41,522.43
Vice President for Research	5,906,552.26	854,752.63	3,482,311.11	1,569,488.52

**Fiscal Year Ending - 2018**
**Attachment IX-Summary of Categorization of Reserves by College/School/Division by Committed, Dedicated and Discretionary**

College/School/Division	RESERVE	COMMITTED	DEDICATED	DISCRETIONARY
Academic Affairs Monitoring	1,518,795.87	.00	7,270,743.85	(5,751,947.98)
Honors College	157,221.44	126,878.72	(12,744.87)	43,087.59
Student Affairs	2,845,373.90	1,052,487.59	887,775.15	905,111.16
<b>Subtotal Academic &amp; Student Affairs</b>	<b>58,075,779.40</b>	<b>40,287,629.56</b>	<b>10,353,606.52</b>	<b>7,434,543.32</b>
Police Department	2,109.67	2,109.67	.00	.00
EVP of Administration Office	1,718,183.14	853,539.45	859,191.48	5,452.21
Safety & Risk Services	992,167.08	.00	970,493.43	21,673.65
Budget Office	1,402.04	.00	.00	1,402.04
UNM Policy Office	43,472.69	.00	9,500.00	33,972.69
Board of Regents	70,200.49	.00	.00	70,200.49
Information Technology Services	27.87	.00	27.87	.00
EVP Admin Independent Offices	17,965,421.14	13,589,309.36	136,466.37	4,239,645.41
Intercollegiate Athletics	(2,415,257.97)	2,048,850.73	(4,442,171.23)	(21,937.47)
Controller	1,320,342.39	1,085,708.16	(135,276.04)	369,910.27
Associate VP for Facilities Mgmt	800.00	800.00	.00	.00
Human Resources HR	785,113.87	334,548.75	347,146.24	103,418.88
VP Institutional Support Services	7,632,425.25	4,214,172.19	3,415,564.98	2,688.08
Government & Community Relations	82,932.76	29,315.96	48,000.00	5,616.80
Information Technologies	2,012,341.14	1,719,266.29	293,074.85	.00
<b>Subtotal Administration</b>	<b>30,211,681.56</b>	<b>23,877,620.56</b>	<b>1,502,017.95</b>	<b>4,832,043.05</b>
VP HSC Administration	1,739.64	14,157.45	(12,417.81)	.00
School of Medicine	154,582.82	160,997.45	(6,427.34)	12.71
College of Nursing	44,232.80	44,232.80	.00	.00
College of Pharmacy	3,080.96	3,080.96	.00	.00
Branches	19,971.60	19,975.11	(3.51)	.00
<b>Subtotal Other HSC &amp; Branches</b>	<b>223,607.82</b>	<b>242,443.77</b>	<b>(18,848.66)</b>	<b>12.71</b>
<b>Total Main Campus</b>	<b>90,710,425.65</b>	<b>65,739,342.90</b>	<b>12,522,900.53</b>	<b>12,448,182.22</b>
Gallup Branch	7,030,855.74	1,869,761.02	(41.86)	5,161,136.58
Los Alamos Branch	1,934,540.17	551,197.98	941,439.26	441,902.93
Taos Branch	5,409,386.94	1,442,598.80	1,877,690.15	2,089,097.99
Valencia Branch	4,917,441.84	733,882.64	176,311.05	4,007,248.15
<b>Total Branches</b>	<b>19,292,224.69</b>	<b>4,597,440.44</b>	<b>2,995,398.60</b>	<b>11,699,385.65</b>
VP HSC Administration	22,883,033.67	19,115,178.77	3,055,284.87	712,570.03
HS Library and Informatics Center	206,932.51	2,543.74	204,388.77	.00
School of Medicine	36,478,517.31	22,789,848.35	12,099,468.47	1,589,200.49
College of Nursing	5,181,347.03	1,784,736.57	3,396,610.46	.00
College of Pharmacy	4,886,388.86	1,701,268.70	3,102,312.13	82,808.03
College of Population Health	757,609.66	393,768.67	166,129.33	197,711.66
HSC VP Research	2,557,998.31	435,934.66	1,734,926.34	387,137.31
UNM HSC West Campus	50.00	.00	.00	50.00
<b>Total HSC Academic Operations</b>	<b>72,951,877.35</b>	<b>46,223,279.46</b>	<b>23,759,120.37</b>	<b>2,969,477.52</b>
<b>Total Campus Operations</b>	<b>182,954,527.69</b>	<b>116,560,062.80</b>	<b>39,277,419.50</b>	<b>27,117,045.39</b>

## Fiscal Year Ending - 2018

### Attachment IX-Summary of Categorization of Reserves by College/School/Division by Committed, Dedicated and Discretionary

College/School/Division	RESERVE	COMMITTED	DEDICATED	DISCRETIONARY
Plant Funds	203,049,780.00	159,604,204.00	40,865,223.00	2,580,353.00
UNMHs Capital Initiatives	201,004,912.00	201,004,912.00	.00	.00
UNMHs Operations	229,088,954.00	229,088,954.00	.00	.00
<b>Grand Total</b>	<b>816,098,173.69</b>	<b>706,258,132.80</b>	<b>80,142,642.50</b>	<b>29,697,398.39</b>

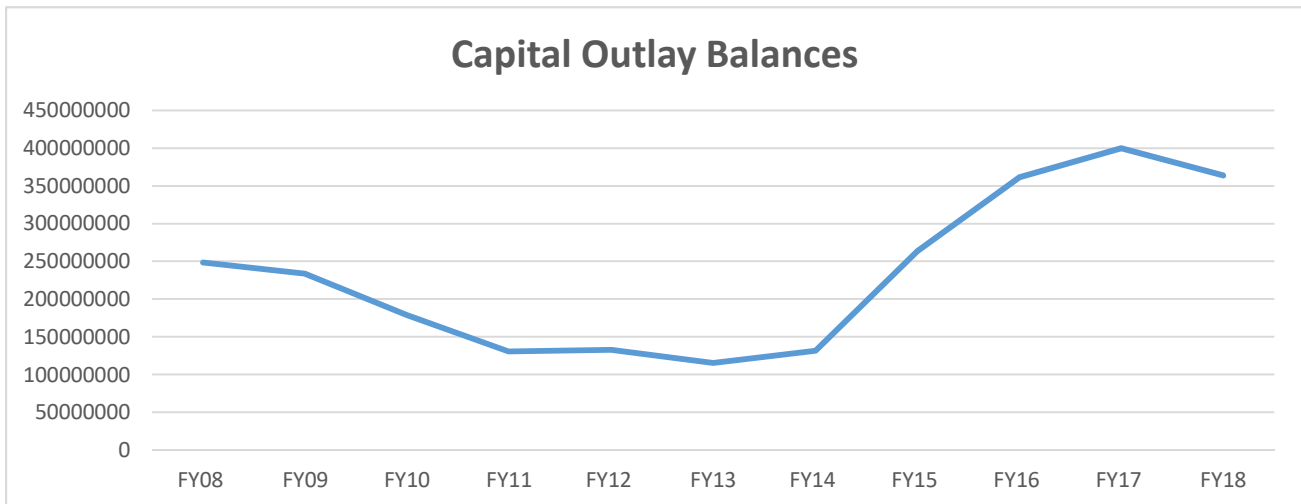
Component Units				
Anderson School Foundation	3,086,009.00	3,086,009.00	.00	.00
Innovate ABQ, Inc.	612,101.00	612,101.00	.00	.00
Lobo Development Corporation	5,283,794.00	5,283,794.00	.00	.00
Lobo Energy, Inc.	499,214.00	499,214.00	.00	.00
STC.UNM	1,101,368.00	1,101,368.00	.00	.00
UNM Alumni Association	8,853,672.00	8,853,672.00	.00	.00
UNM Foundation, Inc.	3,405,109.00	3,405,109.00	.00	.00
UNM Lobo Club	1,001,953.00	1,001,953.00	.00	.00
UNM Medical Group, Inc.	60,155,688.00	60,155,688.00	.00	.00
UNM SRMC	20,639,748.00	20,639,748.00	.00	.00

## Attachment X - Summary of Plant Fund Reserves June 30, 2018

Main Campus	Reserve June 30, 2017	Reserve June 30, 2018	Change FY17 to FY18
Building Renewal and Replacement	10,532,794	11,987,059	1,454,265
Retirement of Indebtedness	27,182,203	28,340,888	1,158,686
Capital Outlay <sup>(1)</sup>	400,127,760	363,726,745 <sup>(2)</sup>	(36,401,016)
<b>Total</b>	<b>437,842,757</b>	<b>404,054,692</b>	<b>(33,788,065)</b>

(1)

Please see graph below which shows a ten year history of capital outlay balances. Although there are fluctuations, the peaks are usually attributable to new University bonds being sold. For FY15 through FY17 the increase in those year was also largely attributable to a build up of balances for a new hospital.



(2)

- For FY18 approximately \$83M of this balance is attributable to the 2016 and 2017 UNM Bond issuance which will be spent down over the next 2-3 years on large projects like Physics Astronomy and Interdisciplinary Science(PAIS); Johnson Center Renovation; Honors College Renovation and Natural History Science Center.(AKA Bio Annex)
- Additionally during FY18 approximately \$200M was being reserved for the Modern Medical Facility for the UNM Hospitals.
- Lastly it is important to note that major capital projects typically span multiple fiscal years. They build up balances during the initial phases of the projects and then spend those balances down as the project moves through construction and completes.



**Attachment XI--Summary of Plant Funds by College/School/Division  
FYE 2018**

	Capital Outlay					
College/School/Division	FY 17 YE Reserve	FY 18 YE Reserve	Change	Committed	Dedicated	Discretionary
President's Office	145,937.95	130,010.25	(15,927.70)	0.00	130,010.25	0.00
University Counsel Office	285,652.41	235,652.41	(50,000.00)	0.00	235,652.41	0.00
Office of Equal Opportunity	17,346.22	4,352.33	(12,993.89)	0.00	4,352.33	0.00
University Communication & Marketing	0.00	0.00	0.00	0.00	0.00	0.00
Compliance Office	0.00	0.00	0.00	0.00	0.00	0.00
Alumni Relations	0.00	0.00	0.00	0.00	0.00	0.00
Dispute Resolution	0.00	0.00	0.00	0.00	0.00	0.00
Internal Audit Department	0.00	0.00	0.00	0.00	0.00	0.00
<b>President Subtotal</b>	<b>448,936.58</b>	<b>370,014.99</b>	<b>(78,921.59)</b>	<b>0.00</b>	<b>370,014.99</b>	<b>0.00</b>
RWJ Center for Health Policy	0.00	0.00	0.00	0.00	0.00	0.00
International Programs Studies	3,382.04	4,604.40	1,222.36	0.00	4,604.40	0.00
Harwood Foundation	121.20	0.00	(121.20)	0.00	0.00	0.00
Optical Science and Engineering	0.00	0.00	0.00	0.00	0.00	0.00
UC Advisement Center	0.00	0.00	0.00	0.00	0.00	0.00
Office of Support for Effective Teaching	0.00	0.00	0.00	0.00	0.00	0.00
Nano Science & Micro Systems	0.00	0.00	0.00	0.00	0.00	0.00
Parent Association	0.00	0.00	0.00	0.00	0.00	0.00
Undergraduate Education Support	0.00	0.00	0.00	0.00	0.00	0.00
Institutional Research	0.00	0.00	0.00	0.00	0.00	0.00
KUNM	10,000.06	0.00	(10,000.06)	0.00	0.00	0.00
University Press	0.00	0.00	0.00	0.00	0.00	0.00
Graduate Studies	63.02	28.22	(34.80)	0.00	0.00	28.22
CAPS	(504.66)	(504.66)	0.00	0.00	(504.66)	0.00
Latin American Iberian Institute	0.00	0.00	0.00	0.00	0.00	0.00
Provost Office Staff	31,657.27	362,505.62	330,848.35	0.00	362,505.62	0.00
Spanish Colonial Res Center	0.00	0.00	0.00	0.00	0.00	0.00
CARS	0.00	0.00	0.00	0.00	0.00	0.00
Office of the University Secretary	0.00	0.00	0.00	0.00	0.00	0.00
University College	0.00	(100.99)	(100.99)	0.00	(100.99)	0.00
School of Public Administration	0.00	0.00	0.00	0.00	0.00	0.00
VP for Equity & Inclusion	0.00	0.00	0.00	0.00	0.00	0.00
VP Division of Enrollment Mgmt	213,077.40	146,411.16	(66,666.24)	0.00	102,000.00	44,411.16
College of Fine Arts	44,309.14	33,021.26	(11,287.88)	33,021.26	0.00	0.00



**Attachment XI--Summary of Plant Funds by College/School/Division  
FYE 2018**

	Capital Outlay					
College/School/Division	FY 17 YE Reserve	FY 18 YE Reserve	Change	Committed	Dedicated	Discretionary
College of Arts Sciences	1,394,981.37	928,080.07	(466,901.30)	326,394.00	508,561.00	93,125.07
Anderson Schools of Management	160,108.72	8,050.21	(152,058.51)	0.00	8,050.21	0.00
College of Education COE	370,820.46	548,856.24	178,035.78	548,856.24	0.00	0.00
School of Engineering	710,448.39	921,489.93	211,041.54	610,381.89	151,792.45	159,315.59
School of Law	85,821.69	75,103.50	(10,718.19)	10,000.00	65,103.50	0.00
School of Architecture Planning	36,324.43	84,683.34	48,358.91	84,683.34	0.00	0.00
University Libraries	589,834.67	197,633.27	(392,201.40)	0.00	197,633.27	0.00
Continuing Education	0.00	0.00	0.00	0.00	0.00	0.00
Extended University	364,341.36	237,673.20	(126,668.16)	237,673.20	0.00	0.00
VP Research & Economic Development	500,751.85	544,158.78	43,406.93	0.00	544,158.78	0.00
Provost Monitoring	0.00	0.00	0.00	0.00	0.00	0.00
Honors College	0.00	0.00	0.00	0.00	0.00	0.00
UNM West	0.00	0.00	0.00	0.00	0.00	0.00
<b>Academic Affairs Subtotal</b>	<b>4,515,538.41</b>	<b>4,091,693.55</b>	<b>(423,844.86)</b>	<b>1,851,009.93</b>	<b>1,943,803.58</b>	<b>296,880.04</b>
VP Student Affairs Administration	0.00	0.00	0.00	0.00	0.00	0.00
VP Student Affairs Independent Depts.	1,515,026.10	1,537,864.95	22,838.85	1,537,864.95	0.00	0.00
Associate VP Student Services	1,417.57	86,077.36	84,659.79	0.00	86,077.36	0.00
Associate VP Student Life	324,335.99	358,539.01	34,203.02	183,084.00	146,237.00	29,218.01
<b>Student Affairs Subtotal</b>	<b>1,840,779.66</b>	<b>1,982,481.32</b>	<b>141,701.66</b>	<b>1,720,948.95</b>	<b>232,314.36</b>	<b>29,218.01</b>
EVP of Administration Office	161,169.43	173,976.43	12,807.00	0.00	173,976.43	0.00
Board of Regents	0.00	0.00	0.00	0.00	0.00	0.00
Undesignated I&G and Other Monitoring	0.00	0.00	0.00	0.00	0.00	0.00
Police Department	546,631.36	232,906.92	(313,724.44)	232,906.92	0.00	0.00
Risk Management	89,971.75	117,333.93	27,362.18	0.00	117,333.93	0.00
Budget Office	78,044.23	99,387.23	21,343.00	35,000.00	64,387.23	0.00
UNM Policy Office	0.00	0.00	0.00	0.00	0.00	0.00
Intercollegiate Athletics	115,906.30	535,366.55	419,460.25	535,366.55	0.00	0.00
Financial Services	109,124,038.42	88,519,009.53	(20,605,028.89)	82,788,136.21	3,931,748.79	1,799,124.53
Associate VP for Facilities Mgmt	4,995,044.45	2,004,787.64	(2,990,256.81)	809,749.26	990,803.00	204,235.38
Human Resources	0.00	0.00	0.00	0.00	0.00	0.00
VP Institutional Support Services	21,340,086.31	14,946,471.13	(6,393,615.18)	3,734,627.45	11,205,889.26	5,954.42
Government & Community Relations	0.00	0.00	0.00	0.00	0.00	0.00
Information Technologies	1,271,080.07	412,808.36	(858,271.71)	118,619.85	294,188.51	(0.00)

**Attachment XI--Summary of Plant Funds by College/School/Division  
FYE 2018**

	Capital Outlay					
College/School/Division	FY 17 YE Reserve	FY 18 YE Reserve	Change	Committed	Dedicated	Discretionary
<b>Administration Subtotal</b>	<b>137,721,972.32</b>	<b>107,042,047.72</b>	<b>(30,679,924.60)</b>	<b>88,254,406.24</b>	<b>16,778,327.15</b>	<b>2,009,314.33</b>
Advancement	0.00	0.00	0.00	0.00	0.00	0.00
HSC Administration	221,822,836.12	216,209,257.18	(5,613,578.94)	213,142,362.83	3,045,505.35	21,389.00
School of Medicine	15,102,677.85	13,913,850.40	(1,188,827.45)	9,390,062.18	4,523,788.22	0.00
College of Nursing	2,215,783.71	2,487,780.98	271,997.27	2,092,364.00	395,416.98	0.00
College of Pharmacy	1,368,791.80	1,196,946.36	(171,845.44)	940,362.76	256,583.60	0.00
<b>HSC Subtotal</b>	<b>240,510,089.48</b>	<b>233,807,834.92</b>	<b>(6,702,254.56)</b>	<b>225,565,151.77</b>	<b>8,221,294.15</b>	<b>21,389.00</b>
Gallup Branch	2,040,873.93	1,014,124.94	(1,026,748.99)	827,184.70	0.00	186,940.24
Los Alamos Branch	338,632.46	376,360.01	37,727.55	0.00	376,360.01	0.00
Taos Branch	812,603.24	952,956.55	140,353.31	116,345.06	800,000.00	36,611.49
Valencia County Branch	11,898,334.38	14,089,230.72	2,190,896.34	7,144,271.12	6,944,959.60	0.00
<b>Branch Subtotal</b>	<b>15,090,444.01</b>	<b>16,432,672.22</b>	<b>1,342,228.21</b>	<b>8,087,800.88</b>	<b>8,121,319.61</b>	<b>223,551.73</b>
<b>Total Plant Funds</b>	<b>400,127,760.46</b>	<b>363,726,744.72</b>	<b>(36,401,015.74)</b>	<b>325,479,317.77</b>	<b>35,667,073.84</b>	<b>2,580,353.11</b>

# FY 2018 - UAP 7000

## Appendices

**DATE:** August 23, 2018

**TO:** Chaouki Abdallah, Provost and EVP for Academic Affairs

**FROM:** Nicole Dopson, Director of Financial Operation for Academic Affairs

**CC:** Richard Clement, Dean of University Libraries and Learning Sciences  
Fran Wilkinson, Sr. Associate Dean University Libraries and Learning Sciences

**SUBJECT:** Deficit Reduction Plan for UNM Press

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Over many years the UNM Press has accumulated a (\$7,110,832) deficit ending balance for FY17. Deficit funding was used in lieu of an annual University subsidy. Each year this deficit has received an approved exemption per University Administrative Policy (UAP) 7000 for major deficits. Revisions to UAP 7000, section 4.2 no longer allows exemptions from deficit reduction plans. Given this revision, UNM Press has developed the following proposed plan to fulfill this requirement.

**Recurring Deficit-**

In order to address the recurring deficit the UNM Press was reorganized under the College of University Libraries and Learning Sciences (ULLS) in FY18. This reorganization included the outsourcing of the Press warehouse and fulfillment operations to a third-party vendor. In addition to the outsourcing, the Press reduced 5.25 staff FTEs, and reduced conference and travel expense budgets. Given these changes and a committed \$350,000 recurring I&G subsidy the UNM Press has established a balanced recurring budget forward.

**Accumulated Deficit-**

Although the recurring deficit has been addressed, a deficit reduction plan for the accumulated deficit of over \$7M will need to be established. The plan is to address the deficit in the following ways:

1. 50% of all positive net balances from the UNM Press will be contributed to pay down the deficit.
2. The Provost Office will contribute \$100K per year to pay down the deficit (subject to change dependent on budget challenges in Academic Affairs).

3. Additional cost saving efforts and potential new revenues from special state appropriations, donor funds, etc. that could potentially off-set administrative costs could free-up resources to contribute towards the deficit.

The table below details this reduction plan:

UNM Press (Fund 2U218)	FY18	FY19	FY20	FY21	FY22	Forward (3)
Prior Year Ending Balance	(7,110,832)	(6,968,488)	(6,768,488)	(6,568,488)	(6,368,488)	(6,168,488)
UNM Press Contribution(1) (2)	142,344	100,000	100,000	100,000	100,000	2,056,163
Provost Office Contribution	-	100,000	100,000	100,000	100,000	4,112,325
<b>Net Deficit</b>	<b>(6,968,488)</b>	<b>(6,768,488)</b>	<b>(6,568,488)</b>	<b>(6,368,488)</b>	<b>(6,168,488)</b>	<b>-</b>

*(1) UNM Press will contribute 50% of all positive reserve balances toward the deficit at the beginning of the fiscal year.*

*(2) FY19-forward contribution is subjected to change depending of net sales, expenses and other fiscal activities*

*(3) Timeframe for complete pay-off of deficit is subjected to change due to UNM Press's contribution and potential additional contributions towards reduction plan*

In order to ensure the accumulated deficit is managed according to this plan and not consolidated with the ULLS balances, the deficit will be moved centrally to the Provost Monitoring organization. This move is permanent and underlies the agreement to move the Press into ULLS and create a sustainable plan for the future operation of the Press. This will also allow for the ability to better track and report the progress of this plan. This move will happen at the beginning of FY19. Any changes to this plan will be communicated to the Provost Office in writing, and ultimately approved by the Dean of ULLS and the Provost.



## MEMORANDUM

**To:** Nicole Dopson, Financial Officer of Academic Affairs  
**From:** Audrey Arnold, Interim Director for Continuing Education  
**Subject:** Deficit Reduction Plan for Continuing Education FY19  
**CC:** Kara Camp, Accountant III for Fiscal Shared Services  
**Date:** August 24, 2018

UNM Continuing Education ended FY18 with a non-recurring I&G deficit balance of (\$66,623.32). The net deficit reduction in FY18 totaled \$304,742.29 from the FY17 overall non-recurring deficit of (\$371,365.61). This deficit reduction was due to staff reductions, operational cost containment, utilization of F&A contract revenues, and increase Public Program revenues through strategic initiatives. In FY19, Continuing Education plans to use projected F&A Revenue totaling \$300,000 to fully pay the remaining deficit balance and bring public programs into a positive net revenue position.

Non-Recurring Deficit	FY18	FY19
Beginning Deficit	\$(371,365.61)	\$ (66,623.32)
FY17 Deficit Reduction	\$ 304,742.29	
F&A Revenues		\$ 300,000.00
<b>Total</b>	<b>\$ (66,623.32)</b>	<b>\$ 233,376.68</b>

UNM Continuing Education also ended FY18 with a non-recurring F&A deficit balance of (\$179,362.72). This net deficit was due to operational expenses incurred by Restricted Programs prior to the official start date of September 1, 2017 for the New FY18 CYFD Grants. These expenses were necessary to continue Restricted Operations during the final negotiations of the CYFD RFP beginning in FY18 thru FY22 resulting in grants totaling \$27.9 million. The deficit balance will be resolved in FY19 with expected non-CYFD gains on sponsored projects.

## MEMORANDUM

TO: Board of Regents

FROM: Garnett S. Stokes, President

DATE: April 17, 2018

SUBJECT: FY18 Adjustment Request (BAR) for Main Campus Athletics Exhibit 21  
FY19 Main Campus Athletics Exhibit 21 Budget Proposal including overall elimination of deficit and satisfying the NM Higher Education Department (HED) Enhanced Fiscal Oversight Program

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In consensus with the request made by the Board of Regents at the Budget Summit held on March 22, 2018, I established the UNM Athletics Budget Task Force on March 27, 2018 to address the UNM Athletics' financial situation and the on-going concerns of the New Mexico Higher Education Department. This committee was tasked with determining adequate expenditure authority for the FY18 budget, developing a balanced FY19 budget, and addressing the recurring and accumulated deficit through a sustainable deficit reduction plan to ultimately eliminate these recurring fiscal issues going forward.

The committee has met multiple times since the formation of the task force, and through these discussions and additional analysis the committee has validated current revenues and expenses for FY18, has proposed a budget for FY19 based on realistic revenue and expenditure projections and proposed a balanced budget for FY20 forward. The projected budgets/actuals for FY18 and FY19 are summarized below and are detailed in **Attachment A**.

	<b>FY18 Revised Budget</b>	<b>FY18 Projected Actuals</b>	<b>Net Change</b>	<b>FY19 Proposed Budget</b>	<b>Net Change</b>
Revenue	33,276,861	32,745,994	(530,867)	32,097,208	(648,786)
Expenses	33,276,861	33,564,697	287,836	34,373,643	808,946
<b>Net Margin</b>	<b>-</b>	<b>(818,703)</b>	<b>(818,703)</b>	<b>(2,276,435)</b>	<b>(1,457,732)</b>

### Recurring Deficit:

After investigating all fiscal activities for Athletics it was important to first address the recurring deficit and then to address the accumulated deficit forward. Below is the breakdown of the projected recurring deficit, which includes a projected 1% increase accounting for potential inflationary costs, such as expenditure increases, compensation, taxes, tuition and fee increases, etc.

**Recurring Deficit**

FY20 Projected Recurring Deficit (1)	(2,600,435)
Inflationary costs (1% on total expenses)	<u>(337,236)</u>
<b>Recurring deficit</b>	<b><u>(2,937,671)</u></b>

**Cost containment**

Reduction in sports	1,900,000
Fiscal Shared Services	150,000
Advising Shared Services	150,000
Other Shared Services	100,000
Expenditure reductions/controls	150,000

**Grant-aid subsidies**

Tuition waivers	725,000
Room and board discounts	<u>250,000</u>

<b>Total Recurring Reductions</b>	<b><u>3,425,000</u></b>
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<b>Net Change (2)</b>	<b><u>487,329</u></b>
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(1) See Attachment A

(2) \$487K would be used for the deficit reduction plan

The committee looked closely at opportunities to collaborate across campus by reallocating resources directly related to student needs, such as grant-in-aid subsidies; find ways to consolidate administrative functions to reduce costs; develop procedures to better manage expenditures; and assess athletic programs for long-term sustainability. The recurring deficit reduction plan proposed above incorporates these strategies collectively, which will generate \$3.4M in cost reduction/reallocation resources. This amount will allow Athletics to no longer operate in a recurring deficit, while freeing up additional resources to contribute to a deficit reduction plan.

If approved, implementation of these reduction/reallocation strategies would be fully implemented in FY20, however to address these strategies within a reasonable timeframe, bridge funding for FY18 and FY19 will be needed in order to balance these budgets.

**Deficit Reduction Plan:**

After reviewing multiple deficit reduction plan options the committee proposed, each taking a balanced approach by assessing athletic programs and expenses, centralizing core administrative functions through central control, and providing tuition and other student cost waivers to our student athletes, I propose the following plan in order to eliminate the accumulated deficit. The proposed plan's fiscal impact is detailed in **Attachment B** and summarized below.

**Proposed 10 Year Deficit Reduction Plan (FY20-FY29):** The proposed plan utilizes funding from the land sale proceeds to bridge Athletics through FY18 and FY19, until the recurring deficit is resolved in FY20. In FY20 Athletics begins to pay down the remaining accumulated deficit by \$487,329 per year until the accumulated deficit is paid off in FY29. In total the land sale proceeds would contribute **\$1,603,246** to this reduction plan.



**ATTACHMENT A**

	<b>FY18 Revised Budget</b>	<b>FY18 Projected Actuals</b>	<b>FY19 Proposed Budget</b>	<b>FY20 Proposed Budget (Recurring)</b>
<b>Revenue</b>				
NCAA/Mountain West Conference	5,200,000	4,638,299	4,750,000	4,750,000
Media Rights/Sponsorship/Licensing/Commissions/Naming Rights	5,965,500	5,865,500	6,625,000	6,625,000
Ticket Sales/Game Guarantees	7,222,000	7,330,182	6,590,000	6,590,000
Student Fees	3,883,402	3,851,603	3,681,708	3,681,708
Fundraising	3,072,800	2,200,000	2,400,000	2,400,000
State Appropriation	2,617,300	2,617,300	2,641,500	2,641,500
Facility Rental/Merchandise/Misc/GIK/other revenues	4,591,826	4,739,077	4,435,000	4,435,000
Transfers to/from Campus	1,074,033	1,504,033	974,000	-
Deficit Reduction	(350,000)	-	-	-
<b>Total</b>	<b>33,276,861</b>	<b>32,745,994</b>	<b>32,097,208</b>	<b>31,123,208</b>
<b>Expenses</b>				
Personnel	14,178,956	14,452,231	15,281,877	14,631,877
Supplies/Services/Fees	9,132,400	7,475,983	7,469,683	7,469,683
Travel	2,955,745	3,543,489	3,540,989	3,540,989
Recruiting	686,823	812,777	812,777	812,777
Grant-in-Aid	5,024,660	5,352,532	5,340,632	5,340,632
Student/Food Costs	630,627	1,103,558	1,103,558	1,103,558
Plant Maintenance	667,650	824,127	824,127	824,127
<b>Total</b>	<b>33,276,861</b>	<b>33,564,697</b>	<b>34,373,643</b>	<b>33,723,643</b>
<b>Net Balance (1)</b>	<b>-</b>	<b>(818,703)</b>	<b>(2,276,435)</b>	<b>(2,600,435)</b>

(1) Does not include current accumulated deficit or proposed 10 year deficit reduction plan. See attachment B for details regarding proposed deficit reduction plan.

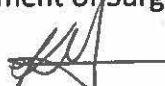
**Proposed 10 Year Deficit Reduction Plan: FY20-FY29 (includes \$1.7M land sale proceeds contribution)**

<b>Accumulated Deficit</b>	<b>FY18</b>	<b>FY19</b>	<b>FY20</b>	<b>FY21</b>	<b>FY22</b>	<b>FY23</b>	<b>FY24</b>	<b>FY25</b>	<b>FY26</b>	<b>FY27</b>	<b>FY28</b>	<b>FY29</b>
Beginning balance	(4,713,999)	(4,713,999)	(4,713,999)	(4,226,670)	(3,739,342)	(3,252,013)	(2,764,685)	(2,277,356)	(1,790,028)	(1,302,699)	(815,370)	(328,042)
Net balance	(818,703)	(2,276,435)	(2,600,435)	-	-	-	-	-	-	-	-	-
<b>Projected ending balance</b>	<b>(5,532,702)</b>	<b>(6,990,434)</b>	<b>(7,314,434)</b>	<b>(4,226,670)</b>	<b>(3,739,342)</b>	<b>(3,252,013)</b>	<b>(2,764,685)</b>	<b>(2,277,356)</b>	<b>(1,790,028)</b>	<b>(1,302,699)</b>	<b>(815,370)</b>	<b>(328,042)</b>
<b>One-time Resources</b>												
Land sale proceeds	814,207	789,039										
Athletics vacancy savings	4,496	96,396										
Institutional support		641,000										
Grant-aid subsidies		750,000										
<b>Recurring Reductions/Resources (1)</b>												
Cost containment			2,112,764									
Grant-aid subsidies			975,000									
Deficit reduction plan				487,329	487,329	487,329	487,329	487,329	487,329	487,329	487,329	328,042
<b>Ending balance</b>	<b>(4,713,999)</b>	<b>(4,713,999)</b>	<b>(4,226,670)</b>	<b>(3,739,342)</b>	<b>(3,252,013)</b>	<b>(2,764,685)</b>	<b>(2,277,356)</b>	<b>(1,790,028)</b>	<b>(1,302,699)</b>	<b>(815,370)</b>	<b>(328,042)</b>	<b>0</b>
<b>Land sale proceeds contribution</b>	<b><u>1,603,246</u></b>											

(1) Includes contingency for inflationary costs at 1% of expenses and funding to pay down the accumulated deficit.

*Office of the Executive Vice Dean School of Medicine*

To: John Russell, MD  
Chair, Department of Surgery

From: Kristin Gates   
SOM Director of Finance

Date: April 2, 2018

Ref: Follow-up items from budget meeting

On behalf of Drs. Roth, Richards and McGrew, this summarizes the action items from your budget meeting March 21.

- Continue to align fte and production targets to the UHC 50<sup>th</sup> %
- Aggressively pursue new provider ramp up to hit the UHC 50<sup>th</sup> %
- Fully implement 80/20
- Work with UNMMG to analyze the variance in \$ per wRVU and maximize capture and coding opportunities
- Move additional labor to SRMC for expansion of services to support SRMC
- Follow-up meeting to finalize the locums coverage of NMHR and Pediatrics
- Review OMFS proforma to ensure breakeven
- Finalize compensation for Drs. Rana and Rajput
- Continue to work with Cancer Center on clinical effort
- Target margin for FY19 is breakeven

*Office of the Executive Vice Dean School of Medicine*

To: Mark Unruh, MD  
Chair, Department of Internal Medicine

From: Kristin Gates, MBA   
Director of Finance, School of Medicine

Date: June 30, 2018

Ref: FY19 Budget Request and Continued Debt Reduction Commitment

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On behalf for Drs. Roth and McGrew, the following budget requests have been approved:

Start-up Bradfute \$70,000 for each of 2 years

Lab Tech support for Byrd \$50,000 for each of 2 years

These funds should result in grant submission for each investigator.

Unutilized funds will revert to the Dean's Office.

Based on discussions during your annual budget meeting, Dr. Roth is pleased with the progress you have made in reducing the department's annual operating loss. In FY19 he will cover \$800K of your department debt.

cc:

Paul B. Roth, MD, MS  
Chancellor, Health Sciences  
Dean, School of Medicine

Martha C. McGrew, MD,  
Executive Vice Dean, School of Medicine

SOM Finance Office

*Office of the Executive Vice Dean School of Medicine*

To: Ross Zumwalt, Chief, OMI

From: Kristin Gates, Director of Finance, SOM 

Date: March 12, 2015

Ref: Debt repayment FY16

On behalf of Dr. Roth, this memo confirms conversations held during your annual budget review. Your progress towards retiring your debt, which hit an all-time high of \$1.8M has now decreased to \$1.3M, is commendable. Dr. Roth has requested you build into your operating budget \$183K annually as a debt repayment starting in FY16.

Dr. Roth recognizes the cuts you have received from the State, and we will monitor the impacts on your ability to continue the payments at this rate. We anticipate the debt retirement in FY22.

If you have questions or concerns, please let us know. We will meet with you semi-annually to review your results and budgets.

*Office of the Executive Vice Dean School of Medicine*


To: Gary Cuttrell  
Chair, Department of Dental Medicine

From: Kristin Gates   
Director of Finance, School of Medicine

Date: October 18, 2015

Ref: Debt Repayment

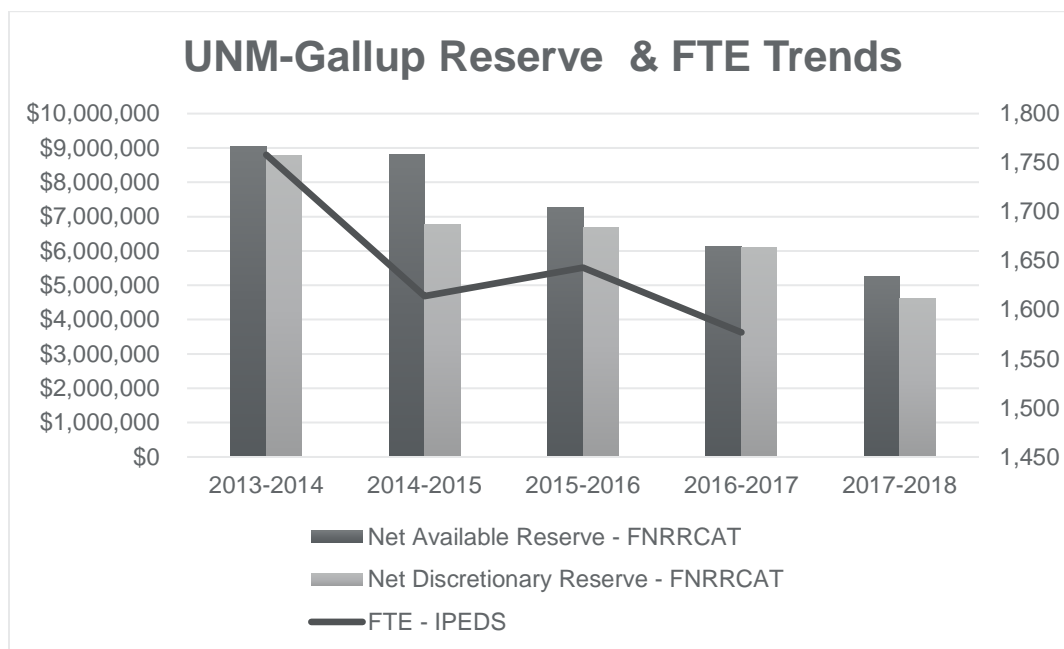
On behalf of Dr. Roth, this letter finalizes discussions held at your year-end budget review this month. Again, Dr. Roth appreciates your tenacity in retiring \$1.2M of your debt over the last four years. With the debt payment on your building, he recognizes you will not be able to sustain this level of payback. As such, in addition to your clinic debt payment, your operating budget revised repayment is \$100K annually to be paid off by FY25.

To: Regents President Robert Doughty, Members of the Board  
 From: Dr. James Malm   
 Date: October 11, 2018  
 Ref: UNM Gallup Campus Analysis of Reserves

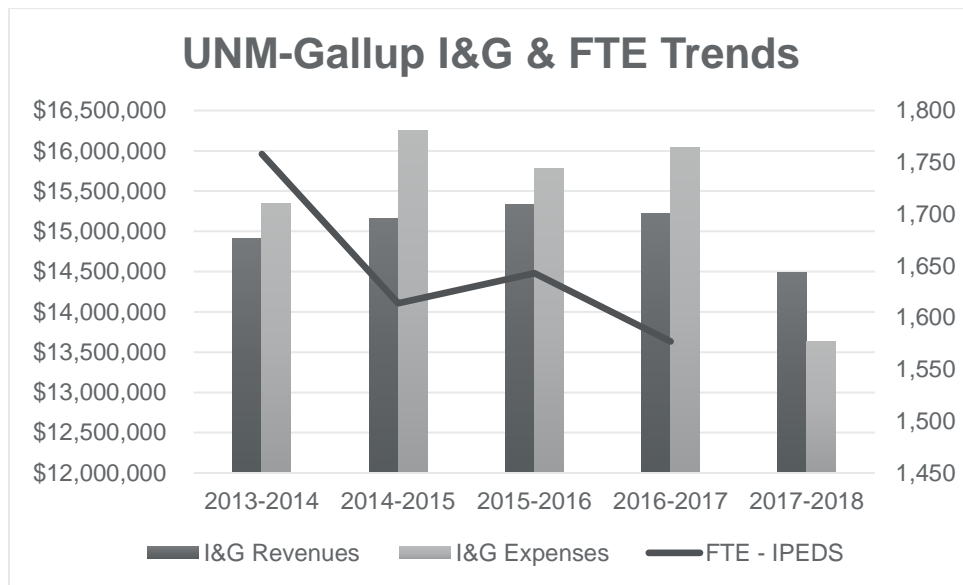
Thank you for the opportunity to report to the Board of Regents on our reserves and plans we have for the future of our campus, along with what we need to finance these plans.

The operational reserve balances for the Gallup campus have steadily decreased from 2013 - 2018 and are primary the result of the following:

1. Funding the Branch's Child Care Center auxiliary enterprise for multiple-year deficits. The Center was subsequently shut down and no longer operates on campus.
2. Funding the refurbishment of Lions Hall. Lions Hall was subsequently moth-balled and slated for demolition upon an HED capital project denial. The funding has since been transferred back to reserves, posting and increase to the discretionary accounts at the beginning of FY19, resulting in an increase to I&G net for the first time in 5-years.
3. One time funding of faculty equity initiatives that brought salaries into alignment with national average.
4. Funding the 25% cost share of the Physical Plant Department building.



	FTE - IPEDS	Net Available Reserve - FNRRCAT	Net Discretionary Reserve - FNRRCAT
2013-2014	1,758	\$9,028,517	\$8,789,867
2014-2015	1,614	\$8,809,716	\$6,766,355
2015-2016	1,643	\$7,257,840	\$6,680,195
2016-2017	1,577	\$6,118,592	\$6,103,961
2017-2018		\$5,259,242	\$4,604,047



	FTE - IPEDS	I&G Revenues	I&G Expenses	I&G Net
2013-2014	1,758	\$ 14,908,044	\$ 15,343,068	\$ (435,024)
2014-2015	1,614	\$ 15,159,988	\$ 16,247,650	\$ (1,087,662)
2015-2016	1,643	\$ 15,332,593	\$ 15,783,146	\$ (450,553)
2016-2017	1,577	\$ 15,223,184	\$ 16,037,544	\$ (814,360)
2017-2018		\$ 14,492,557	\$ 13,631,259	\$ 861,298

Future plans for reserves can be described under four primary circumstances, as follows:

1. 25% Local Match Requirement for \$11,250,000 New Mexico Higher Education Department Five-Year Capital Project Funding Plan 2018-2022.
2. Clear existing deficits.
3. Unforeseen emergencies.
4. One-time expenditures.

We look forward to your questions.

Copy: R. Wood, Interim Provost and EVP  
N. Dopson, Director, Financial Operations  
N. Allen, Director, OPBA





TO: Regents President Robert Doughty, Members of the UNM Board of Regents  
 FROM: Dr. Cindy Rooney, CEO, UNM-Los Alamos  
 DATE: October 11, 2018  
 REF: UNM-Los Alamos, Analysis of Reserves

As of June 30, 2018, UNM-LA had a total of \$2,310,900.18 in reserves, including \$1,934,540.17 in Current Unrestricted Reserves and \$376,360.01 in Plant Reserves.

Details of UNM-LA Reserve Balances

The following chart summarizes our reserve balances, subdivided into Committed, Dedicated, or Discretionary categories. Notations indicate references to the October 9, 2018 UNM Regents F&F EBook (F&F EBook).

	Total Reserves	Committed	Dedicated	Discretionary
<b>Current Unrestricted Reserves</b>				
Instruction and General (Attachment VIII of F&F EBook)	\$1,031,645.52	\$375,531.64	\$247,020.19	\$409,093.69
Non-Instruction and General (Attachment VIII of F&F EBook)	\$902,894.65	\$175,666.34	\$694,419.07	\$32,809.24
<b>Total Current Unrestricted Reserves</b> (Attachments VII and IX of F&F EBook)	<b>\$1,934,540.17</b>	\$551,197.98	\$941,439.26	\$441,902.93
<b>Plant Reserves</b> (Attachment XI in F&F EBook)	<b>\$ 376,360.01</b>	\$0.00	\$376,360.01	\$0.00

Total Current Unrestricted Reserves can also be reviewed by type of activity. For UNM-LA, as of June 30, 2018, the following information ties to Attachment II of the F&F EBook.

	Total Reserves
Instruction and General	\$1,031,645.52
Student Social and Cultural	\$12,776.83
Public Service	\$88,292.12
Student Financial Aid	\$108,236.69
Auxiliary Enterprises	\$693,589.01
<b>Total Current Unrestricted Reserves</b>	<b>\$1,934,540.17</b>

### Source of the Reserves

Instruction and General operational reserves exist due to the strong commitment on campus by each unit to function within their budget, control costs, and be good stewards of the public funding.

In addition to the establishment of reserves that result from revenues in excess of expenditures over the years, there are two significant items to highlight regarding the source of reserves. In 2016, the housing units in Los Alamos were sold and \$637,650.57 was the portion of the sale that our campus received. The proceeds were dedicated to be spent on future revenue producing projects. The majority of the proceeds are still in reserves, and are classified as Dedicated Non-Instruction and General, more specifically as Auxiliary Enterprises.

In fiscal year 2018, our total reserves increased by \$521,468.36 (Attachment II of F&F EBook). This was a direct result of our campus's conservative approach to an increase in our mil levy revenues. In January of 2017, the voters approved an increase in funding and even though an increase in revenues was budgeted, there were concerns about how much would be realized during FY18. Because of concerns as to the timing of collections and the total amount that would be received, we were very cautious in our expenditures. The actual amount of the increase in revenues realized in FY18 for the increase in the mil levy was \$714,729.41. Because of our conservative approach and commitment to controlling costs, we delayed implementing many of the initiatives such as hiring of additional faculty in key areas which are now being implemented this year.

For the Plant reserves, the primary sources of funds for our campus are the annual transfer from I&G (\$47,545 in FY18) and a transfer from print management fees (\$6,000 in FY18). In years when expenditures related to plant improvements could be financed from other sources, such as grant funding for computers or GO bond expenditures for HVAC units, the reserve balance in the plant fund has increased.

### Future Plans for Reserves

A portion of the reserves for Instruction and General are related to the mandate by NMHED to maintain both a cash-flow strategy as well as fiscal safeguards. The general guidance notes a 3-5% level. Our past experience, as well as potential future challenges, would suggest a higher reserve level is needed. For example, when our campus was closed during the fire of 2000, we still had ongoing operational costs and during the next semester, we had a drop in enrollment. Our leadership team believes that a base level of 15-20% is a more appropriate goal with the uncertainty that exists in funding from grants, state and local sources, and tuition/enrollment. Because of the increase in reserves from mil levy revenues in FY18 (as explained above), we are currently at 24.9% (Attachment VI of F&F EBook). We expect our budget to increase in future years, and we would like to maintain at least \$1,000,000 in I&G reserves.

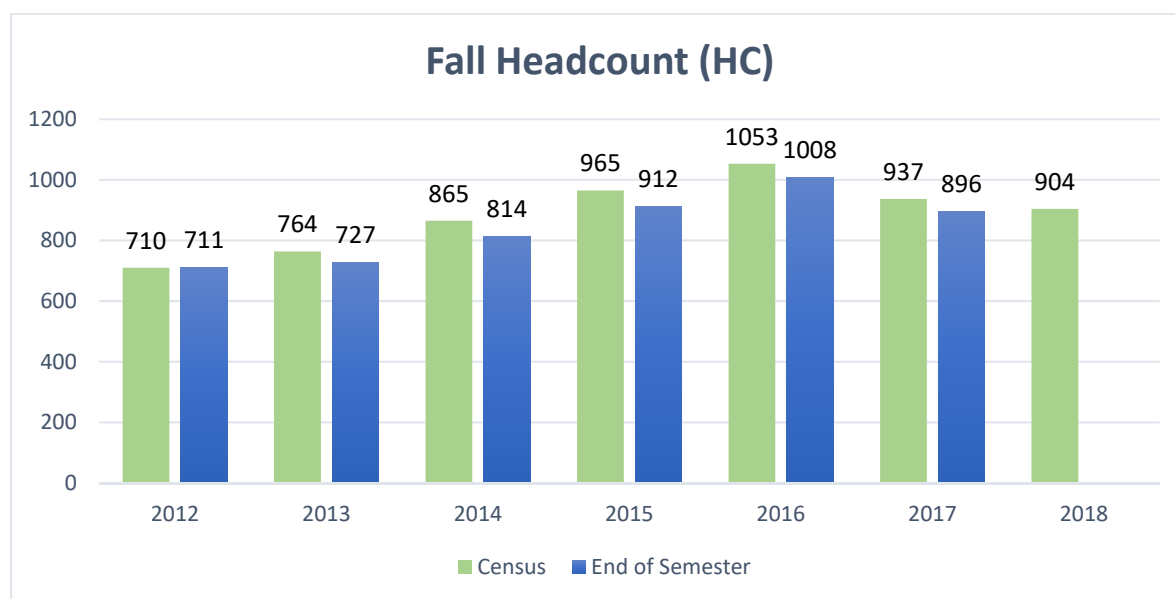
As noted earlier, the proceeds from the sale of the housing units is dedicated for future revenue producing projects. These include, but are not limited to plans for a strong Workforce Development Program, implementation of an Innovate Los Alamos program similar to Innovate ABQ, and campus improvements that enhance our facilities for our use and also for rentals

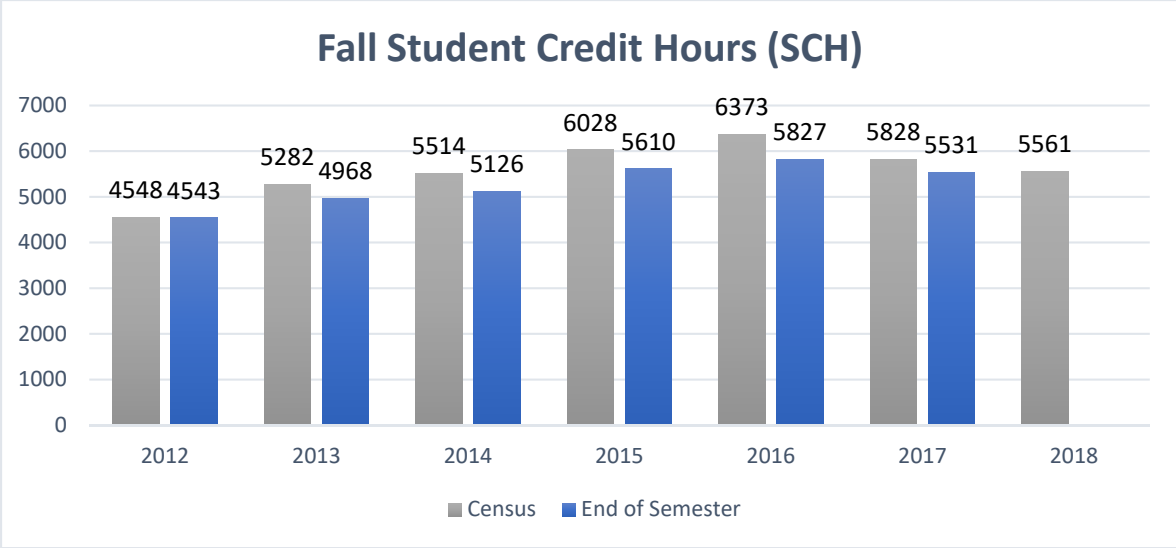
when we are not using the space. We anticipate all of these projects will be implemented within the next 3-5 years.

The reserves in the Plant fund are not sufficient to cover the costs that have been requested for infrastructure, sustainability, and ADA compliance projects that we have identified for Building 6, our primary classroom facility. A Capital Projects request for \$1,000,000 for this building was ultimately not approved last year. A similar request has been submitted this year. Building 6 is our highest priority, but other needs have also been identified on campus. Both Building 6 and Building 1 were built in 1948 and have ongoing expenditure needs. For example, replacing a failed HVAC unit on an emergency basis last year cost approximately \$8,000. These types of expenditures are ongoing until we are able to adequately address the infrastructure and sustainability needs in the older buildings. We are currently participating in the Sightlines and Capital Projects Prioritization projects with UNM. The availability of alternate funding and the results of the prioritization project will guide the spending. We do hope to maintain a balance in this account, but with the uncertainty of other funding sources, the majority of these funds could be spent for basic facilities improvements on campus within the next 3-5 years.

### Enrollment Trends

In general, enrollment has increased over the last several years. Both headcount and student credit hours have shown overall increases, despite decreases in the last two years. As shown on the following tables, from 2012 to 2018, Fall semester headcount at census has increased by 27.3% and headcount at end of the semester from 2012-2017 increased by 26.0%. Similar increases have been seen for Fall semester student credit hours, with 22.7% overall increase at census and 21.7% increase at end of the semester. Over this period of time, we have had an average growth of over 4% per year.







To: Regents President Doughty, Members of the Board

From: Dr. Patrick L. Valdez, UNM-Taos CEO

Date: October 11, 2018

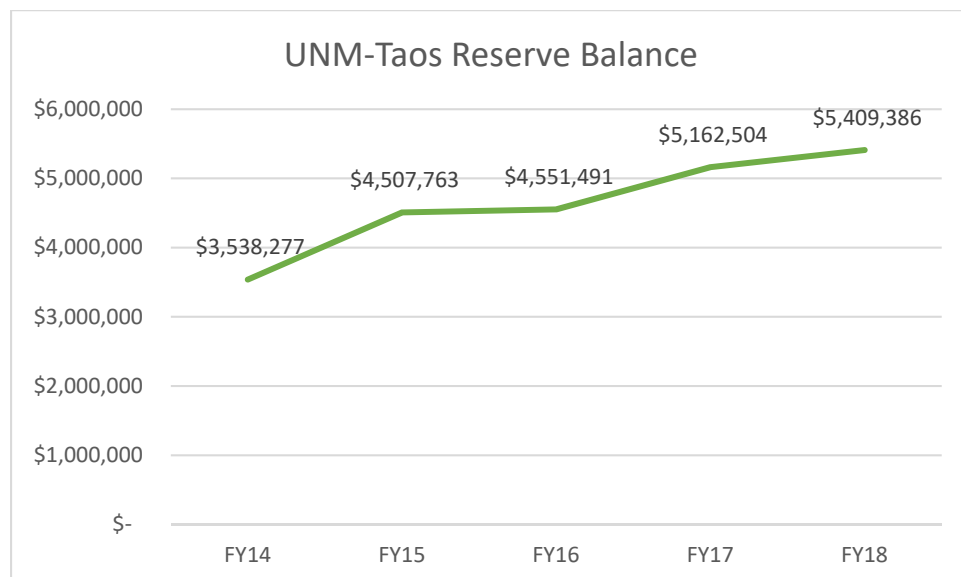
Ref: UNM-Taos Campus Analysis of Reserves

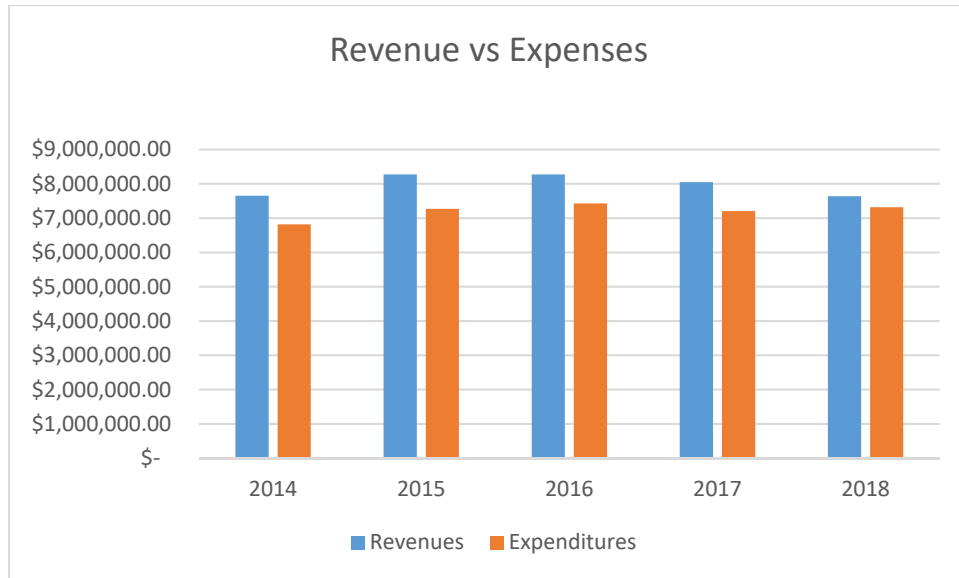
Attached please find the trend lines for Categorization of Reserves for UNM-Taos from Fiscal Year 2014 through Fiscal Year 2018. Additionally, please find our narrative related to the future use of these reserve balances.

### Reserves History

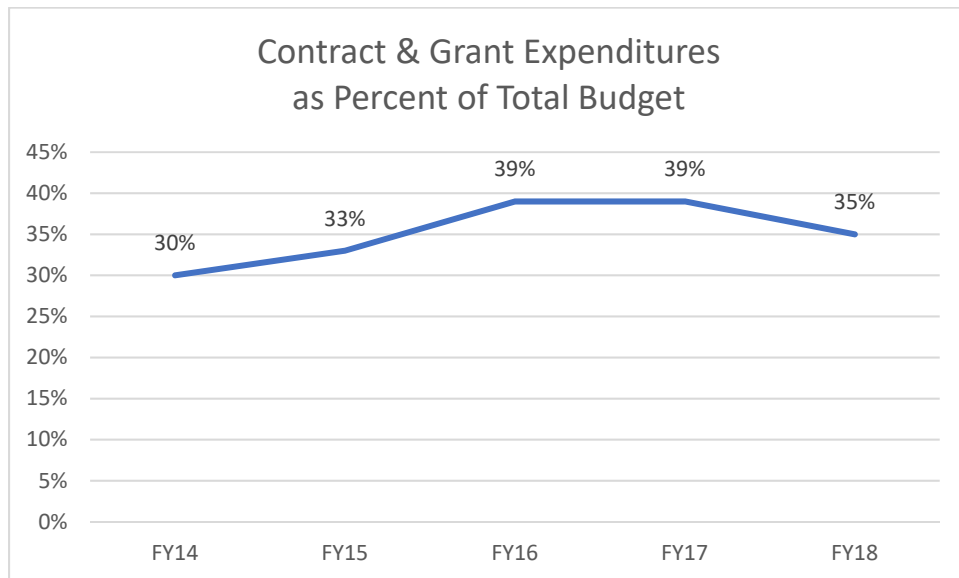
The operational reserve balances for UNM-Taos have grown steadily since 2014.

	Actuals FY14	Actuals FY15	Actuals FY16	Actuals FY17	Actuals FY18
I&G	\$ 3,118,134.00	\$ 3,884,554.26	\$ 3,874,823.83	\$ 4,424,354.96	\$ 4,796,629.21
Student Social	\$ 218,859.00	\$ 203,264.81	\$ 193,335.65	\$ 211,324.45	\$ 205,142.11
Public Service	\$ 60,413.00	\$ 251,234.27	\$ 331,519.36	\$ 317,390.93	\$ 217,191.73
Student Aid	\$ 131,190.00	\$ 138,101.51	\$ 100,834.21	\$ 142,694.14	\$ 112,779.86
Auxiliaries	\$ 9,681.00	\$ 30,608.73	\$ 50,978.13	\$ 66,740.32	\$ 77,644.03
<b>Total Reserves</b>	<b>\$ 3,538,277.00</b>	<b>\$ 4,507,763.58</b>	<b>\$ 4,551,491.18</b>	<b>\$ 5,162,504.80</b>	<b>\$ 5,409,386.94</b>





The I&G Reserve Balance grew as a result of expenditure control and salary savings realized from Contract and Grant awards. These grants allowed UNM-Taos to build capacity and fund additional faculty and staff positions as part of the stated grant objectives in the grant proposals. Grant funding has been instrumental in upgrading classroom technology campus-wide, thus reducing the need to expend institutional funds. During this time period Contract & Grant expenditures represented 30-39% of the total Institutional Budget. While we have been successful at being awarded federal grants, each one has a set funding period, which requires us to commit future usage of reserves. All but one current Title V grants are expected to sunset by September 2020.



Our Capital Outlay reserve balance of \$953,000 largely consists of \$800,000 of Cost Share for the GO Bond Funded STEM building currently under construction. The remaining balance represents our Building Renewal and Replacement fund to address facility maintenance issues.

### **Future Plans**

Future plans for reserves can be described under the following categories:

1. Base Instruction and General Reserves are required by HED to maintain both a cash-flow strategy as well as fiscal safeguard, and is recommended at 3-5% level. In recent years we experienced Mid-Year rescissions of our state appropriation which makes this percentage insufficient to maintain required operations. UNM-Taos administration believes that a larger base level of 8-10% better safeguards the institution from funding challenges and emergency situations that will require immediate cash flow.
2. Planning for future institutionalization of faculty and staff in critical need areas as current grants sunset. In Fiscal Year 2019 UNM-Taos anticipates use of reserves to cover six (6) positions for a total of \$305,000 including fringe benefits. In Fiscal Year 2020 UNM-Taos anticipates to absorb an additional nine (9) key positions at an estimated cost of \$457,000 including fringe benefits. In Fiscal Year 2022 an additional seven (7) positions could possibly be absorbed at an estimated cost of \$395,000 including fringe benefits.
3. Given the rural location of our Klauer Campus, providing reliable food services for students, faculty and staff has been a challenge. In an effort to address this need UNM-Taos anticipates the use of reserves to design, develop and construct a commercial kitchen, which will be available to provide food services as well as enhance opportunities for students in the Culinary Arts Program. The estimated cost of this project is \$200,000.
4. To address safety and security concerns, UNM-Taos anticipates use of reserves for repairs and maintenance of parking lots, drainage issues, purchase and installation of additional lighting and security cameras at an estimated cost of \$1,060,000.

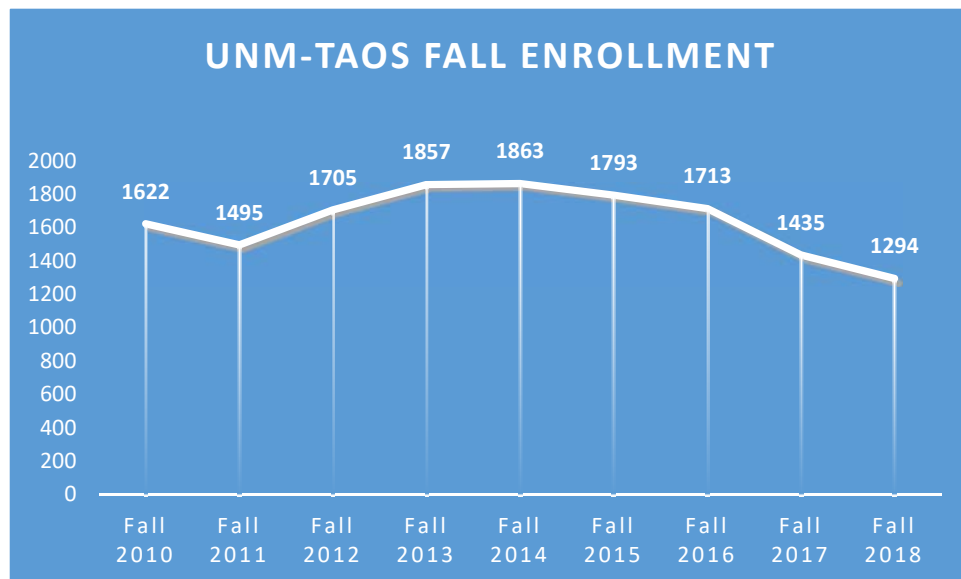
### **ENROLLMENT**

While there has been a decline in enrollments since 2013, this fall we saw an increase in four key enrollment areas: First time beginning freshmen (+8); Readmits (+23); Transfers (+6); Level change w/in 3 terms (+10). The decline in enrollments this year were due in large part to fewer dual credit enrollments (-67) and Continuing (-122) students; Note: students who graduate (receive a certificate or two-year degree) and/or transfer, two key success measures/functions of the community college, reduce the continuing student number and overall headcount. Thus increases in the four key areas identified are instrumental to offsetting student graduation and transfer rates.

Increases in the four key enrollment areas are a result of new recruitment practices and marketing strategies effectuated in fall 2017, under new CEO effective July 1, 2017, aimed at increasing awareness of academic degree offerings, financial aid awards, pathways to transferring to UNM, and workforce opportunities to certificate/degree holding students.

## Fall Enrollment

Fall 2010	1622
Fall 2011	1495
Fall 2012	1705
Fall 2013	1857
Fall 2014	1863
Fall 2015	1793
Fall 2016	1713
Fall 2017	1435
Fall 2018	1294







TO: Regents President Robert Doughty, Members of the Board  
FROM: Dr. Alice Letteney  
DATE: October 10, 2018  
REF: UNM Valencia Campus Analysis of Reserves

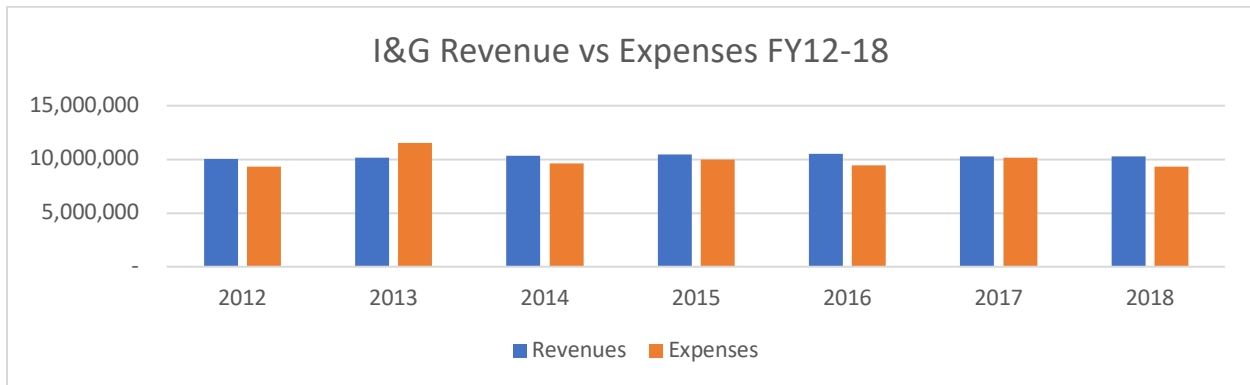
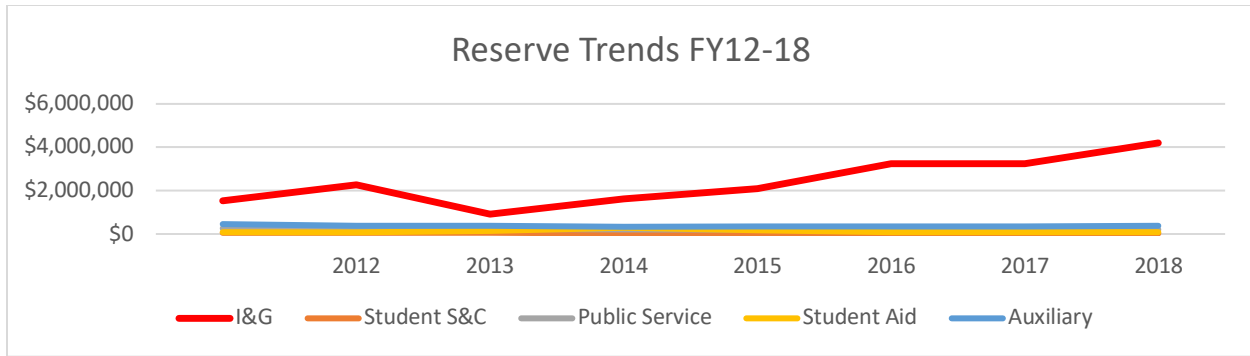
Thank you for the opportunity to report to the Board of Regents on our reserves and plans we have for the future of our campus, along with what we need to finance these plans.

The detail of our current reserve balances as of June 30, 2018, are as follows:

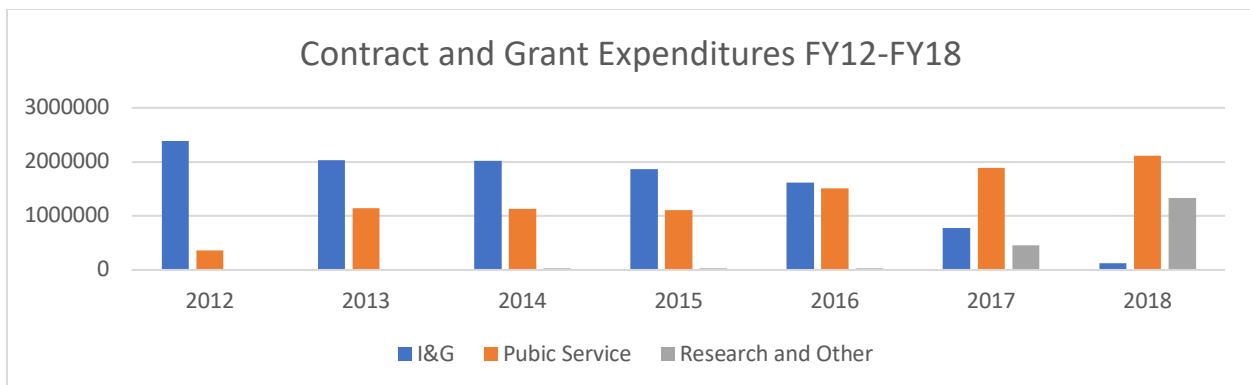
- I&G reserves, which are unspent state appropriation/local mil levy/tuition and fee revenues accumulated over many years are \$4,199,820.65.
- Student Social and Cultural reserves are accumulated and unspent student fees that students elected to charge themselves to run their student groups and activities, are \$33,919.48.
- Public Service are revenues from non-endowed donations to the branch, and from fees charged through the NMACC, which Valencia is the fiscal agent. These reserves are \$214,783.79.
- Internal Service reserves are accumulated fees from internal activities that different programs reimburse for fleet and other internal services. These reserves are \$13,514.90.
- Student Aid reserves are unspent revenues from Endowments and other scholarship accounts. These reserves typically are a result of the timing of revenues (quarterly) to student awards (by semester). These reserves are \$90,702.78.
- Auxiliary reserves are the “net accumulated income” from the operation of the bookstore and cafeteria, and are accumulated to replace equipment and facilities within these two areas. These reserves are \$364,700.78.

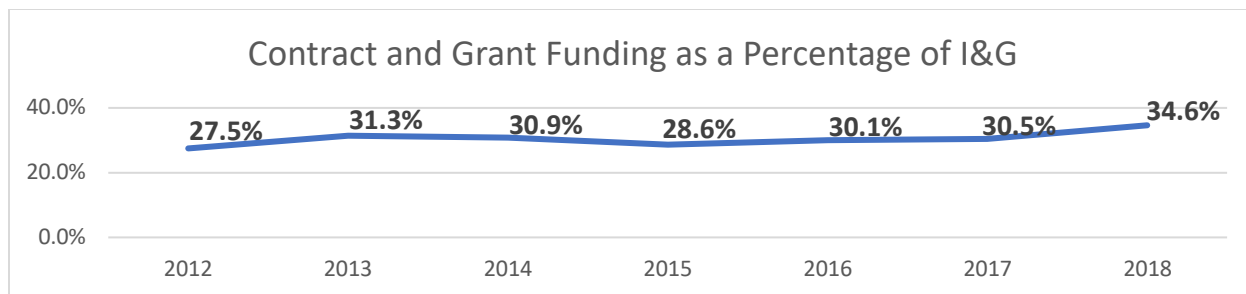
The operational reserve balances for the Valencia campus have steadily increased since 2013, with the exception of 2016, and are primary the result of the following:

1. Expenditure controls have been in place to control the cost of operations and assure a strong fiduciary stewardship of public funding. Cost controls are fundamental to our business model, and expenditure levels as compared to our revenue sources reflect our efforts. This has resulted in a long-term accumulation of reserves, as shown below:



2. Strong grant and contract activity has aided in the classroom and technology areas on campus, reducing the need to upgrade and expand our classroom technology.





3. Local bond funding has assisted in campus upgrades and renewals, reducing the need to use institutional funding to make major repairs to plant and facilities.
4. Strong campus leadership has utilized their experience to keep efficiencies high, and has anticipated the future need to institutionalize the programs that are currently funded by federal grants.

Capital outlay reserve balances are the result of two primary sources.

1. Schedule XI reflects a \$7,144,271.12 reserve level which represents bond funding for campus renewal. The Valencia campus issued a \$7M bond issue that sold in May 2018, which sold at a premium, and is entirely committed to the uses described in the bond sale, as follows; "Proceeds of the Bonds will be used for the purpose of erecting, furnishing, constructing, purchasing, remodeling, and equipping buildings and utility facilities, exclusive of stadiums, making other real property improvements, purchasing grounds, and purchasing and installing computer hardware and software, or any combination of these purposes". This current local bond funding is designated for renovations, solar, access control, structural rehabilitations, and finishes as approved by the regents, HED and DFA. The premium on the sale will be used to off-set the cost of issuance per federal law.
2. Also listed on Schedule XI is \$6,944,959.40 dedicated to the Westside Workforce Training project. This project has been the priority of the Valencia Campus leadership for many years, and legislation approving the project was approved by the Legislature in 2014 but pocket vetoed by the Governor. The business community of Los Lunas and Valencia County consider this project to be a high priority due to the boom in economic activity in the area, and we have been asked to resubmit legislation by both the community and the LFC. Once approved, UNM Valencia will use these funds, which have been accumulated over many years from operational budget transfers, reflected in budget adjustments which received approval by the Regents and HED.

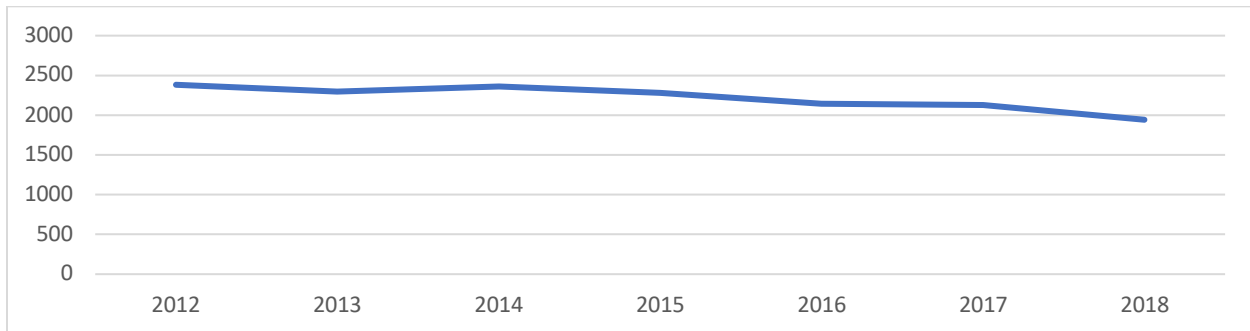
Future plans for reserves can be described under three primary categories, as follows:

1. Base Instruction and General reserves are required by HED to maintain both a cash-flow strategy as well as fiscal safeguards, and is recommended at a 3-5% level. As we have all experienced in the recent past, both statewide revenue challenges that impact appropriations, as well as enrollment decreases that effect tuition revenue levels, a 3% to 5% reserve level is insufficient. This would represent a reserve of

- \$280,095 to \$466,826 in reserves for the Valencia Campus. State recessions of funding can easily approach these levels, and appropriate stewardship of an institution would suggest a level much higher than 3-5%. UNM Valencia administration believes that a base level closer to 15-20% better safeguards the institution from funding challenges as well as emergency situations that will require immediate cashflows. As a result, \$1,400,477 to \$1,867,302 is our target for normal reserves for a \$10M I&G activity level.
2. The Westside Workforce Training Center will require support during its first three years, and a thorough analysis of this project estimates a loss on operations of approximately \$350,000 its first year, \$200,000 the second and \$100,000 the third. The Valencia campus intends to support the operation using reserves totaling \$650,000.
  3. The remaining reserves will be used to support operations through the purchase of computer equipment, which is cycled every three years, and is expected to require \$750,000 over two years. In addition, furniture and fixtures in our classrooms are approaching the end of their life-expectancies, and bond funding has been committed to other projects.

Enrollment trends for UNM Valencia are reflected as follows:

Headcount:



We look forward to your questions.



THE UNIVERSITY OF  
NEW MEXICO



**Athletics' FY19 Budget and Actuals (Exhibit P21)**

**Updated through August 31, 2018 (YTD)**

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**Schedule A: (FY19 Budget and Actuals)-** This schedule details out pooled revenues and directed revenues by sport for FY19 budget and actuals year-to-date through August 31, 2018. Please note that a majority of revenues are pooled centrally into Athletics and are not distributed by sport, however ticket sales and game guarantees are distributed by sport.

This report also compares FY19 budget to monthly actuals, and FY19 year-to-date monthly actuals to prior year-to-date monthly actuals. Major variances are due to the restructure of the chart of accounts, timing on when revenue accruals and expenses were posted in the prior year, and differences in institutional transfers.

**Schedule B: (Expenses by Sports)-** This schedule details out FY19 expense budget and year-to-date expense actuals through August 31, 2018. Please note grant-in-aid expenses have been budgeted based on prior year actuals, FY19 actuals will be allocated to individual sports as expenses post throughout the fiscal year.



# Schedule A

## FY19 Budget and Monthly Actuals by Exhibit P21

Revenue/Expense Description (2)	FY19 Original Budget	FY19 Revised Budget	Fiscal Year 2018-19 (FY19)												FY19 YTD	FY19 Budget to Projected Actuals Variance	Actuals % of Revised Budget	FY18 YTD Actuals (August)	FY19 YTD vs. FY18 YTD Actuals
			July	August	September	October	November	December	January	February	March	April	May	June					
<b>Pooled Revenues</b>																			
NCAA/Mountain West Conference	4,750,000	4,750,000	532,639	395,833											928,473	(3,821,527)	19.5%	1,073,670	(145,197)
Media Rights/Sponsorship/Licensing	5,625,000	5,625,000	413,042	413,042											826,083	(4,798,917)	14.7%	756,708	69,375
Commissions	800,000	800,000	73,333	73,333											146,667	(653,333)	18.3%	-	146,667
Naming Rights	200,000	200,000	-	-											-	(200,000)	0.0%	-	-
Student Fees	3,681,708	3,681,708	211,580	402,038											613,618	(3,068,090)	16.7%	613,618	-
Fundraising	2,400,000	2,400,000	200,000	200,000											400,000	(2,000,000)	16.7%	525,000	(125,000)
State Appropriation	2,641,500	2,641,500	218,200	218,100											436,300	(2,205,200)	16.5%	436,300	-
Facility Rental/Merchandise/GIK	2,400,000	2,400,000	5,003	8,318											13,321	(2,386,679)	0.6%	192,313	(178,992)
Special Events and Other Revenues	2,035,000	2,035,000	96,898	126,009											222,907	(1,812,093)	11.0%	185,992	36,915
Transfers to/from Campus	974,000	974,000	973,806	(10,011)											963,795	(10,205)	99.0%	176,761	787,034
Land Proceed Transfer	789,039	789,039	-	-											-	(789,039)	0.0%	-	-
<b>Sub-total</b>	<b>26,296,247</b>	<b>26,296,247</b>	<b>2,724,502</b>	<b>1,826,662</b>	-	-	-	-	-	-	-	-	-	-	<b>4,551,164</b>	<b>(21,745,083)</b>	<b>17.3%</b>	<b>3,960,362</b>	<b>590,802</b>
<b>Directed Revenues (by Sports)</b>																			
Men's Basketball Tickets	3,800,000	3,800,000	-	-											-	(3,800,000)	0.0%	-	-
Football Tickets	1,200,000	1,200,000	-	-											-	(1,200,000)	0.0%	-	-
Women's Basketball Tickets	375,000	375,000	-	-											-	(375,000)	0.0%	-	-
Other Sports Tickets (1)	115,000	115,000	-	13,180											13,180	(101,820)	11.5%	-	13,180
Football Game Guarantees	1,100,000	1,100,000	-	-											-	(1,100,000)	0.0%	-	-
<b>Sub-total</b>	<b>6,590,000</b>	<b>6,590,000</b>	<b>-</b>	<b>13,180</b>	-	-	-	-	-	-	-	-	-	-	<b>13,180</b>	<b>(6,576,820)</b>	<b>0.2%</b>	<b>-</b>	<b>13,180</b>
<b>Total</b>	<b>32,886,247</b>	<b>32,886,247</b>	<b>2,724,502</b>	<b>1,839,842</b>	-	-	-	-	-	-	-	-	-	-	<b>4,564,344</b>	<b>(28,321,903)</b>	<b>13.9%</b>	<b>3,960,362</b>	<b>603,982</b>
<b>Expenses</b>																			
Salaries	11,272,844	11,272,844	865,392	849,356											1,714,748	(9,558,096)	15.2%	1,814,470	(99,722)
Payroll Benefits	3,271,643	3,271,643	225,618	225,833											451,451	(2,820,192)	13.8%	527,249	(75,798)
Communication Charges	110,268	110,268	4,993	5,337											10,330	(99,938)	9.4%	11,988	(1,657)
Other Expense	782,164	782,164	34,757	79,947											114,704	(667,460)	14.7%	362,960	(248,256)
Patient Care Costs	98,300	98,300	26,137	15,400											41,537	(56,763)	42.3%	18,489	23,049
Plant Maintenance	250,457	250,457	33,972	6,235											40,207	(210,250)	16.1%	16,850	23,357
Services	4,967,038	4,967,038	107,701	181,741											289,442	(4,677,596)	5.8%	415,102	(125,660)
Student Costs (includes Grant-in-Aid)	5,694,190	5,694,190	108,655	1,737,125											1,845,780	(3,848,410)	32.4%	2,135,180	(289,400)
Supplies	2,055,696	2,055,696	287,004	251,795											538,799	(1,516,897)	26.2%	417,257	121,542
Travel	3,809,977	3,809,977	680,575	212,352											892,927	(2,917,050)	23.4%	896,867	(3,939)
Utilities	573,670	573,670	(13,936)	51,768											37,832	(535,838)	6.6%	64,057	(26,226)
<b>Total</b>	<b>32,886,247</b>	<b>32,886,247</b>	<b>2,360,868</b>	<b>3,616,891</b>	-	-	-	-	-	-	-	-	-	-	<b>5,977,759</b>	<b>(26,908,488)</b>	<b>18.2%</b>	<b>6,680,468</b>	<b>(702,710)</b>
<b>Net</b>	<b>-</b>	<b>-</b>	<b>363,634</b>	<b>(1,777,048)</b>	-	-	-	-	-	-	-	-	-	-	<b>(1,413,415)</b>	<b>(1,413,415)</b>	<b>-4.3%</b>	<b>(2,720,107)</b>	<b>1,306,692</b>

(1) Other sports tickets include men's and women's soccer and track, baseball, softball, and volleyball

(2) Actuals through August 31, 2018

**FY19 Budgeted Expenses and Year-to-Date (YTD) Actuals by Sport Exhibit P21 (1)**

<b>Football</b>			
<b>Expense Description</b>	<b>FY19 Budget</b>	<b>FY19 YTD Actuals</b>	<b>FY19 Budget to Actuals Variance</b>
Salaries	2,615,585	399,044	2,216,541
Payroll Benefits	804,797	99,059	705,738
Communication Charges	28,550	1,943	26,607
Other Expense	79,908	14,077	65,831
Patient Care Costs	18,000	1,410	16,590
Plant Maintenance	83,407	12,044	71,363
Services	1,003,600	44,830	958,770
Student Costs/Grant-in-Aid (2)	1,724,282	558,156	1,166,125
Supplies	305,350	31,239	274,111
Travel	1,275,550	626,049	649,501
Utilities	7,800	-	7,800
<b>Total</b>	<b>7,946,829</b>	<b>1,787,851</b>	<b>6,158,978</b>

<b>Men's Baseball</b>			
<b>Expense Description</b>	<b>FY19 Budget</b>	<b>FY19 YTD Actuals</b>	<b>FY19 Budget to Actuals Variance</b>
Salaries	357,672	62,445	295,227
Payroll Benefits	107,609	14,528	93,081
Communication Charges	1,460	90	1,370
Other Expense	7,852	574	7,278
Patient Care Costs	-	-	-
Plant Maintenance	-	-	-
Services	55,760	1,135	54,625
Student Costs/Grant-in-Aid (2)	233,545	91,911	141,634
Supplies	78,230	517	77,713
Travel	168,100	10,867	157,233
Utilities	-	-	-
<b>Total</b>	<b>1,010,228</b>	<b>182,068</b>	<b>828,160</b>

Women's Softball			
Expense Description	FY19 Budget	FY19 YTD Actuals	FY19 Budget to Actuals Variance
Salaries	183,000	26,983	156,017
Payroll Benefits	65,294	8,817	56,477
Communication Charges	2,400	135	2,265
Other Expense	3,942	271	3,671
Patient Care Costs	-	-	-
Plant Maintenance	-	-	-
Services	28,800	657	28,143
Student Costs/Grant-in-Aid (2)	238,818	73,225	165,593
Supplies	27,300	1,915	25,385
Travel	140,100	3,418	136,682
Utilities	-	-	-
<b>Total</b>	<b>689,654</b>	<b>115,421</b>	<b>574,233</b>

Men's Basketball			
Expense Description	FY19 Budget	FY19 YTD Actuals	FY19 Budget to Actuals Variance
Salaries	2,018,137	262,098	1,756,039
Payroll Benefits	373,163	44,228	328,935
Communication Charges	15,560	917	14,643
Other Expense	59,150	2,941	56,209
Patient Care Costs	7,500	2,011	5,489
Plant Maintenance	34,900	-	34,900
Services	746,240	15,949	730,291
Student Costs/Grant-in-Aid (2)	275,374	68,810	206,564
Supplies	103,850	20,989	82,861
Travel	541,500	33,202	508,298
Utilities	-	-	-
<b>Total</b>	<b>4,175,374</b>	<b>451,147</b>	<b>3,724,227</b>

Women's Basketball			
Expense Description	FY19 Budget	FY19 YTD Actuals	FY19 Budget to Actuals Variance
Salaries	768,086	111,090	656,996
Payroll Benefits	258,590	32,892	225,698
Communication Charges	2,920	255	2,665
Other Expense	16,319	1,522	14,797
Patient Care Costs	-	-	-
Plant Maintenance	7,400	4	7,396
Services	290,250	822	289,428
Student Costs/Grant-in-Aid (2)	239,448	94,677	144,770
Supplies	48,850	8,404	40,446
Travel	343,628	42,604	301,024
Utilities	-	-	-
<b>Total</b>	<b>1,975,491</b>	<b>292,272</b>	<b>1,683,219</b>



Men's Soccer			
Expense Description	FY19 Budget	FY19 YTD Actuals	FY19 Budget to Actuals Variance
Salaries	225,969	36,976	188,993
Payroll Benefits	63,810	12,857	50,953
Communication Charges	2,420	235	2,185
Other Expense	5,628	755	4,873
Patient Care Costs	1,000	1,199	(199)
Plant Maintenance	-	-	-
Services	34,025	6,894	27,131
Student Costs/Grant-in-Aid (2)	213,722	76,194	137,528
Supplies	19,825	630	19,195
Travel	120,750	24,184	96,566
Utilities	-	-	-
<b>Total</b>	<b>687,149</b>	<b>159,925</b>	<b>527,224</b>

Women's Soccer			
Expense Description	FY19 Budget	FY19 YTD Actuals	FY19 Budget to Actuals Variance
Salaries	196,000	31,922	164,078
Payroll Benefits	70,889	11,097	59,792
Communication Charges	1,060	100	960
Other Expense	3,491	652	2,839
Patient Care Costs	-	152	(152)
Plant Maintenance	-	-	-
Services	4,200	-	4,200
Student Costs/Grant-in-Aid (2)	304,393	102,472	201,921
Supplies	15,250	11,062	4,188
Travel	127,100	17,369	109,731
Utilities	-	-	-
<b>Total</b>	<b>722,383</b>	<b>174,825</b>	<b>547,558</b>

Men's Golf			
Expense Description	FY19 Budget	FY19 YTD Actuals	FY19 Budget to Actuals Variance
Salaries	163,000	27,235	135,765
Payroll Benefits	50,816	9,823	40,993
Communication Charges	320	90	230
Other Expense	2,534	409	2,125
Patient Care Costs	-	-	-
Plant Maintenance	-	-	-
Services	450	172	278
Student Costs/Grant-in-Aid (2)	97,381	30,337	67,044
Supplies	2,475	2,198	277
Travel	61,250	12,432	48,818
Utilities	520	-	520
<b>Total</b>	<b>378,746</b>	<b>82,695</b>	<b>296,051</b>

Women's Golf			
Expense Description	FY19 Budget	FY19 YTD Actuals	FY19 Budget to Actuals Variance
Salaries	144,905	24,217	120,688
Payroll Benefits	41,064	9,454	31,610
Communication Charges	560	-	560
Other Expense	2,319	578	1,741
Patient Care Costs	-	-	-
Plant Maintenance	-	-	-
Services	3,800	7,172	(3,372)
Student Costs/Grant-in-Aid (2)	113,191	33,118	80,073
Supplies	8,560	6,147	2,413
Travel	56,000	13,973	42,027
Utilities	350	-	350
<b>Total</b>	<b>370,749</b>	<b>94,659</b>	<b>276,090</b>

Men's Tennis			
Expense Description	FY19 Budget	FY19 YTD Actuals	FY19 Budget to Actuals Variance
Salaries	103,000	16,033	86,967
Payroll Benefits	24,698	3,531	21,167
Communication Charges	290	45	245
Other Expense	2,750	628	2,122
Patient Care Costs	-	-	-
Plant Maintenance	-	-	-
Services	15,810	224	15,586
Student Costs/Grant-in-Aid (2)	53,071	23,644	29,427
Supplies	15,300	6,284	9,016
Travel	48,700	2,260	46,440
Utilities	-	-	-
<b>Total</b>	<b>263,619</b>	<b>52,648</b>	<b>210,971</b>

Women's Tennis			
Expense Description	FY19 Budget	FY19 YTD Actuals	FY19 Budget to Actuals Variance
Salaries	105,000	16,312	88,688
Payroll Benefits	30,729	6,296	24,433
Communication Charges	610	110	500
Other Expense	2,481	216	2,265
Patient Care Costs	-	-	-
Plant Maintenance	-	-	-
Services	12,910	79	12,831
Student Costs/Grant-in-Aid (2)	157,622	38,576	119,046
Supplies	13,615	3,911	9,704
Travel	50,500	2,785	47,715
Utilities	-	-	-
<b>Total</b>	<b>373,467</b>	<b>68,284</b>	<b>305,183</b>

Cross Country (M/W) (3)			
Expense Description	FY19 Budget	FY19 YTD Actuals	FY19 Budget to Actuals Variance
Salaries	153,188	25,650	127,538
Payroll Benefits	57,154	8,834	48,320
Communication Charges		-	-
Other Expense	2,885	184	2,701
Patient Care Costs		-	-
Plant Maintenance		-	-
Services	6,750	800	5,950
Student Costs/Grant-in-Aid (2)	314,034	93,109	220,925
Supplies	17,813	-	17,813
Travel	107,555	-	107,555
Utilities		-	-
<b>Total</b>	<b>659,379</b>	<b>128,577</b>	<b>530,802</b>

Track (M/W) (3)			
Expense Description	FY19 Budget	FY19 YTD Actuals	FY19 Budget to Actuals Variance
Salaries	153,188	25,650	127,538
Payroll Benefits	57,154	8,834	48,320
Communication Charges	560	100	460
Other Expense	3,078	582	2,496
Patient Care Costs	-	425	(425)
Plant Maintenance	12,350	-	12,350
Services	6,750	-	6,750
Student Costs/Grant-in-Aid (2)	314,034	336,361	(22,327)
Supplies	17,813	6,610	11,203
Travel	97,600	20,185	77,415
Utilities	-	-	-
<b>Total</b>	<b>662,527</b>	<b>398,747</b>	<b>263,780</b>

Women's Volleyball (4)			
Expense Description	FY19 Budget	FY19 YTD Actuals	FY19 Budget to Actuals Variance
Salaries	132,914	18,979	113,935
Payroll Benefits	42,351	5,995	36,356
Communication Charges	1,605	210	1,395
Other Expense	3,372	440	2,932
Patient Care Costs	-	-	-
Plant Maintenance	15,300	-	15,300
Services	52,950	4,728	48,222
Student Costs/Grant-in-Aid (2)	229,360	70,382	158,978
Supplies	33,650	4,047	29,603
Travel	94,650	11,823	82,827
Utilities	-	-	-
<b>Total</b>	<b>606,152</b>	<b>116,605</b>	<b>489,547</b>

Sand Volleyball (4)			
Expense Description	FY19 Budget	FY19 YTD Actuals	FY19 Budget to Actuals Variance
Salaries	113,514	18,959	94,555
Payroll Benefits	42,351	5,993	36,358
Communication Charges	-	-	-
Other Expense	1,174	125	1,049
Patient Care Costs	-	-	-
Plant Maintenance	3,000	-	3,000
Services	-	-	-
Student Costs/Grant-in-Aid (2)	38,957	5,361	33,596
Supplies	500	-	500
Travel	32,250	-	32,250
Utilities	-	-	-
<b>Total</b>	<b>231,746</b>	<b>30,438</b>	<b>201,308</b>

Skiing (M/W)			
Expense Description	FY19 Budget	FY19 YTD Actuals	FY19 Budget to Actuals Variance
Salaries	132,162	18,398	113,764
Payroll Benefits	49,310	8,087	41,223
Communication Charges	350	55	295
Other Expense	14,874	244	14,630
Patient Care Costs	-	-	-
Plant Maintenance	9,600	-	9,600
Services	7,000	1,412	5,588
Student Costs/Grant-in-Aid (2)	137,010	41,545	95,466
Supplies	21,260	2,484	18,776
Travel	102,950	2,038	100,912
Utilities	-	-	-
<b>Total</b>	<b>474,516</b>	<b>74,262</b>	<b>400,254</b>

Swimming/Diving			
Expense Description	FY19 Budget	FY19 YTD Actuals	FY19 Budget to Actuals Variance
Salaries	146,000	24,468	121,532
Payroll Benefits	54,473	8,517	45,956
Communication Charges	350	55	295
Other Expense	3,251	273	2,978
Patient Care Costs	-	-	-
Plant Maintenance	-	-	-
Services	1,200	-	1,200
Student Costs/Grant-in-Aid (2)	192,740	86,759	105,980
Supplies	26,195	1,445	24,750
Travel	95,900	5,694	90,206
Utilities	-	-	-
<b>Total</b>	<b>520,109</b>	<b>127,211</b>	<b>392,897</b>

Spirit			
Expense Description	FY19 Budget	FY19 YTD Actuals	FY19 Budget to Actuals Variance
Salaries		-	-
Payroll Benefits		-	-
Communication Charges	-	55	(55)
Other Expense	960	602	358
Patient Care Costs	-	-	-
Plant Maintenance	500	-	500
Services	11,525	4,810	6,715
Student Costs/Grant-in-Aid (2)	-	-	-
Supplies	8,800	1,419	7,381
Travel	17,200	33,835	(16,635)
Utilities	-	-	-
<b>Total</b>	<b>38,985</b>	<b>40,721</b>	<b>(1,736)</b>

Administration/Events/Other Operating (5)			
Expense Description	FY19 Budget	FY19 YTD Actuals	FY19 Budget to Actuals Variance
Salaries	3,561,524	568,288	2,993,236
Payroll Benefits	1,077,391	152,608	924,783
Communication Charges	51,253	5,936	45,317
Other Expense	566,196	89,633	476,563
Patient Care Costs	71,800	36,340	35,460
Plant Maintenance	84,000	28,158	55,842
Services	2,685,018	199,758	2,485,260
Student Costs/Grant-in-Aid (2)	817,209	21,142	796,067
Supplies	1,291,060	429,497	861,563
Travel	328,694	30,210	298,484
Utilities	565,000	37,832	527,168
<b>Total</b>	<b>11,099,145</b>	<b>1,599,402</b>	<b>9,499,743</b>

<b>Total</b>	<b>32,886,247</b>	<b>5,977,758</b>	<b>26,908,489</b>
--------------	-------------------	------------------	-------------------

(1) Does not include special events and bowl games; Actuals through August 31, 2018

(2) Grant-in-Aid was budgeted based on FY18 actuals, FY19 actuals will be allocated to individual sports as expenses post

(3) M/W track and cross-country coaches salaries split 50/50 between sports.

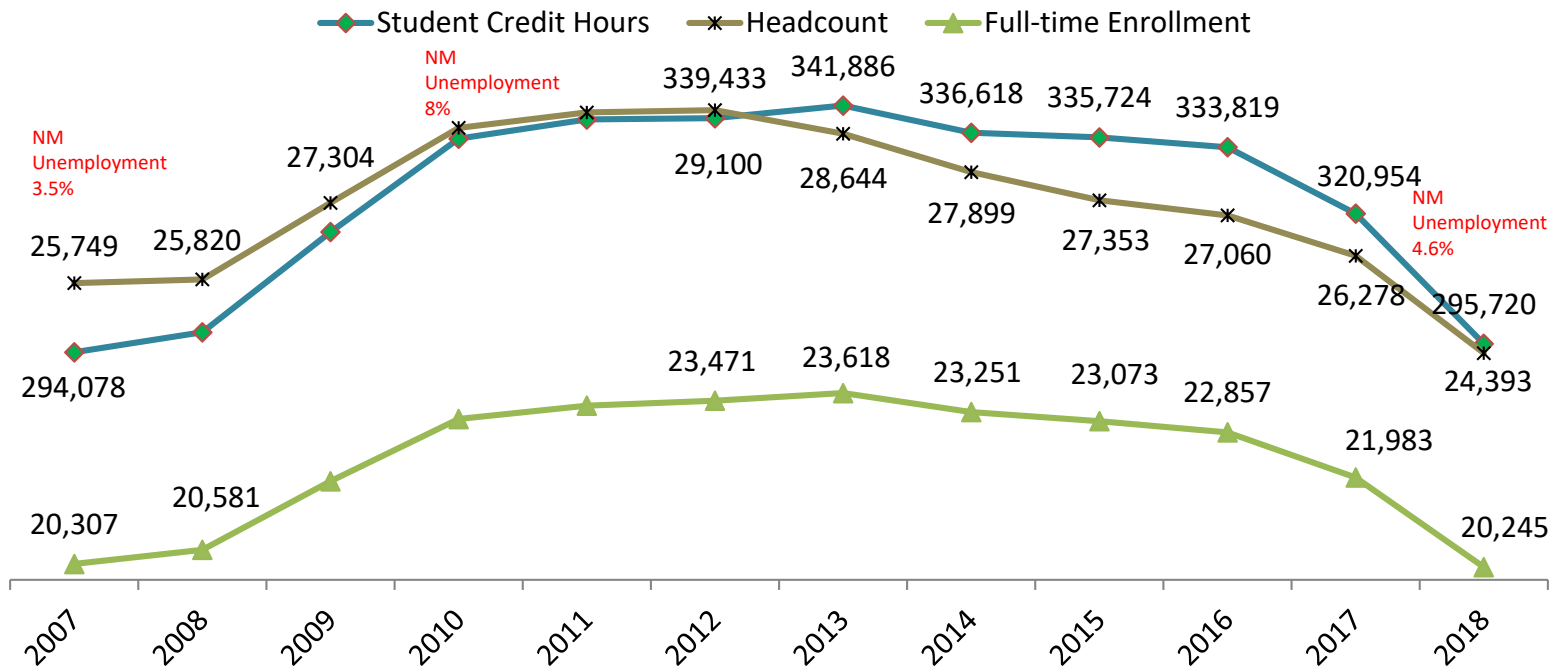
(4) Women's volleyball and sand volleyball's coaches salaries split 50/50 between sports.

(5) Includes Events Management, Special Events, Bowl Games, Championships, Gifts-in-Kind, Parking, Concessions, Ticket Office, Administration, Business Office, Leagues and Clubs, Compliance, Advisement, Facility Rentals, Sports Camps, Utilities, Student Assistance Funds, Life skills, LobocClub, Pre Season Training, Athletic Vans, Insurance, Marketing and Media Relations



# Enrollment Trends

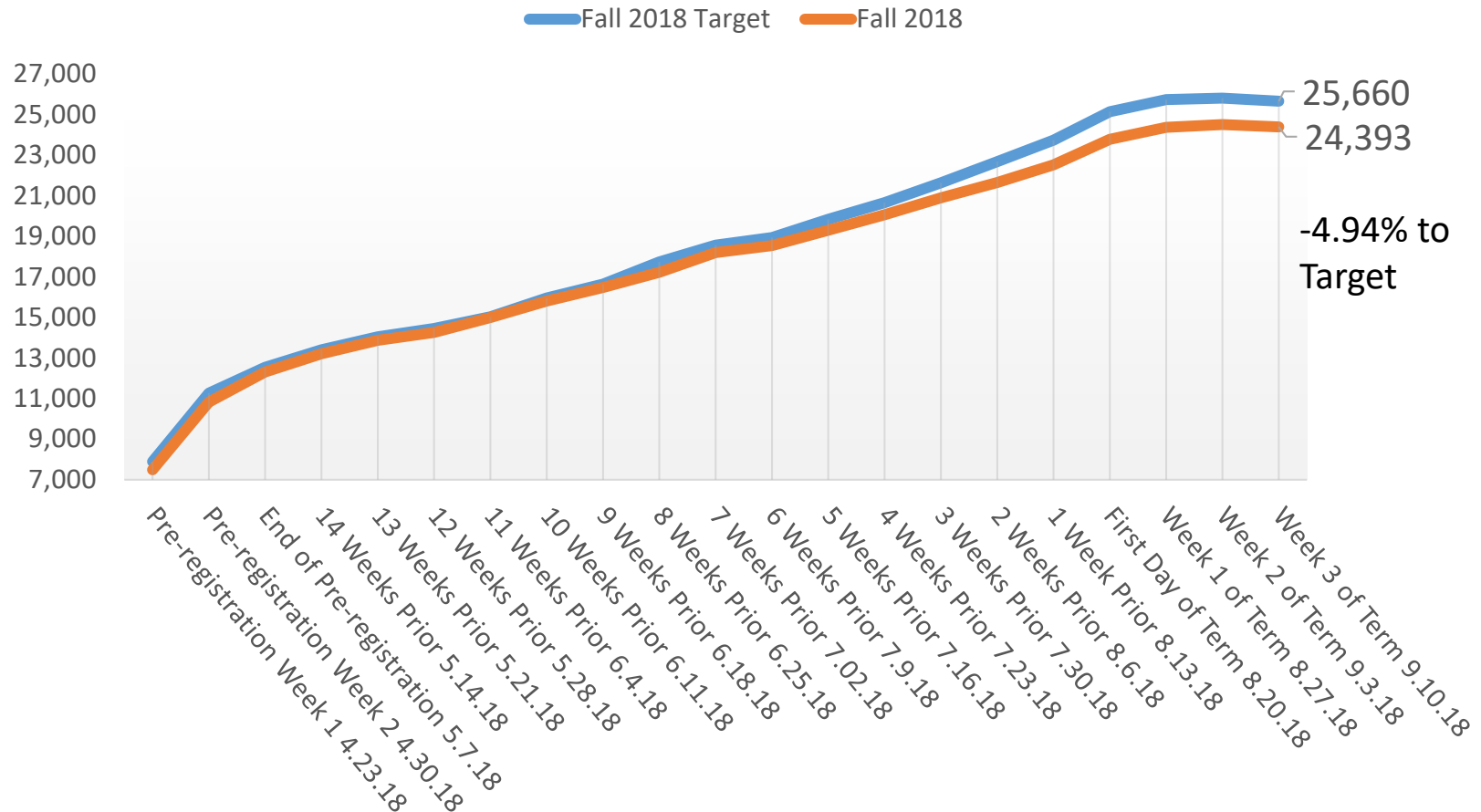
## UNM Main Campus Headcount and SCH Over Time





# Enrollment vs. Target Time Series

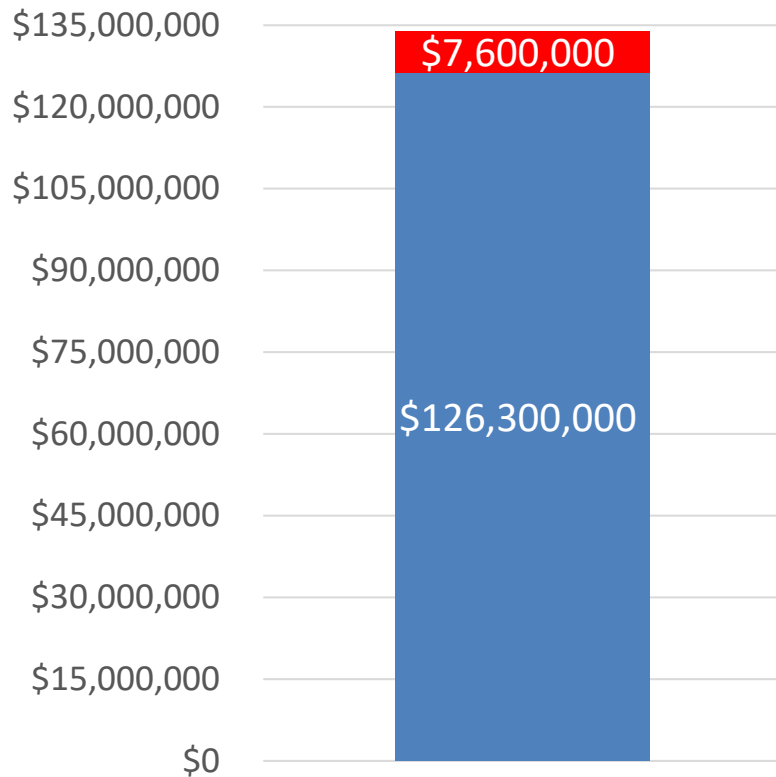
Fall 2018 Targets vs. 2018 Headcount



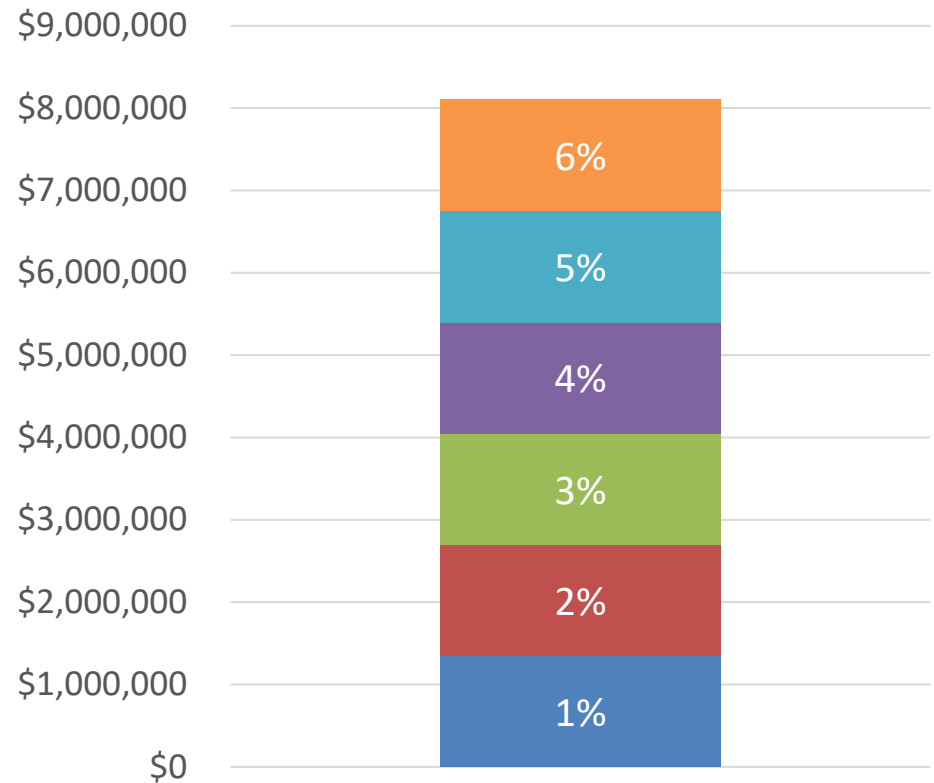


# Tuition Revenue Implications

FY 19 Projected Tuition Revenue



Tuition Revenue Per 1% of Enrollment



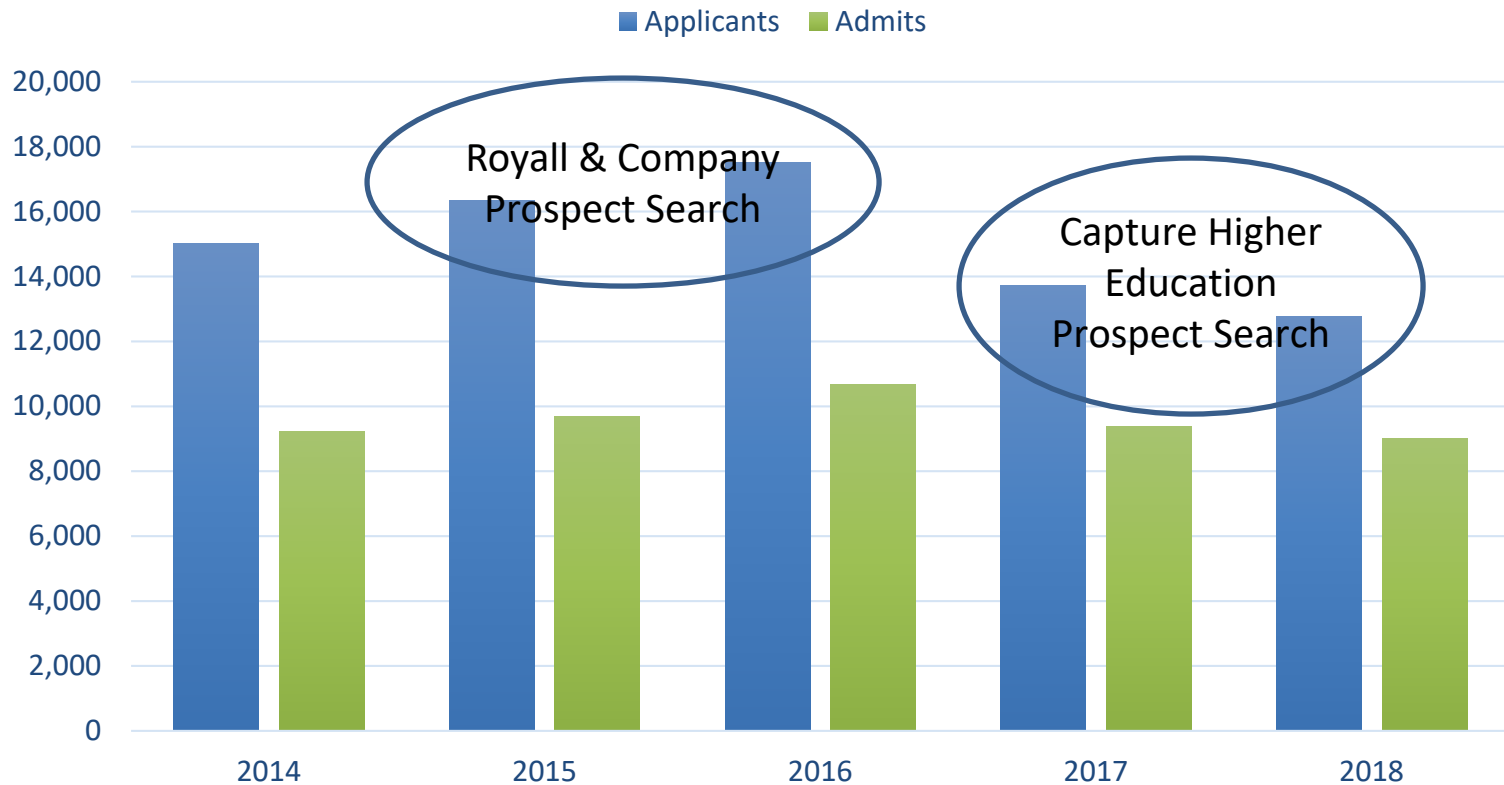


# Enrollment Action Plan

- Comprehensive Enrollment Task Force
  - Retention and recruitment strategies
- Develop larger applicant pool
- Yield enhancements
  - Additional parental and family communications
  - Financial aid leveraging evaluation
  - Campus safety
- Strategic personnel changes
  - Regional recruitment staff
  - College recruiters
- Re-engage stopouts

# Change in Prospect Development

## Top of the Enrollment Funnel



# Survey of Admitted Not Attending

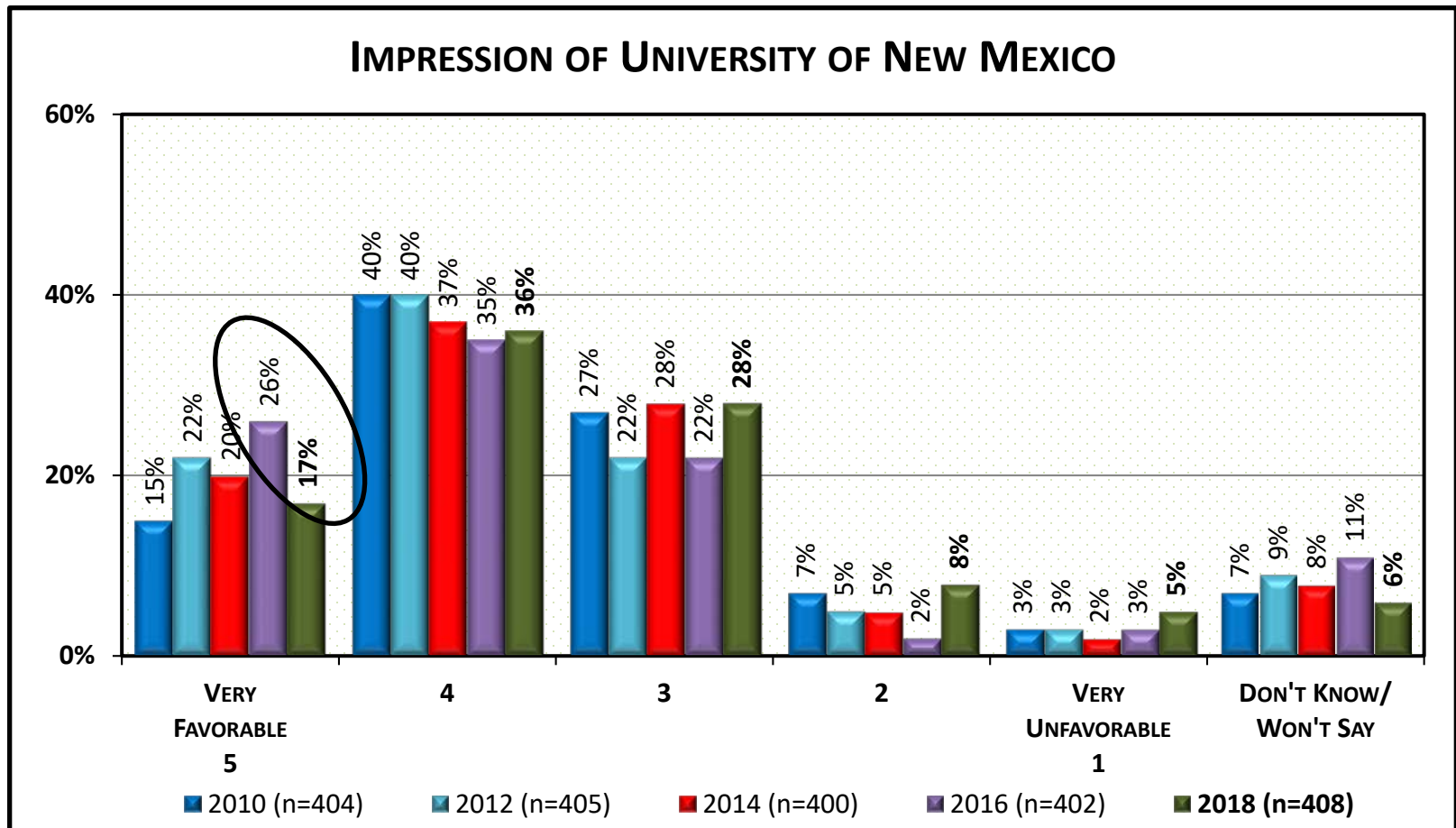
Why did you decide to not attend UNM?

Please rate each reason 0 (no influence) to 4 (most influence).

	0 "NO INFLUENCE"	1	2	3	4 "MOST INFLUENCE"	3 or 4
Received better scholarship/financial aid elsewhere	35.00%	5.83%	10.83%	6.67%	41.67%	48.34%
Good recruitment by another school	28.33%	10.83%	13.33%	19.17%	28.33%	47.50%
Tuition is too high	41.53%	7.63%	14.41%	12.71%	23.73%	36.44%
Distance from home	39.17%	11.67%	13.33%	12.50%	23.33%	35.83%
Attending a community college because of lower cost	64.17%	3.33%	5.00%	3.33%	24.17%	27.50%



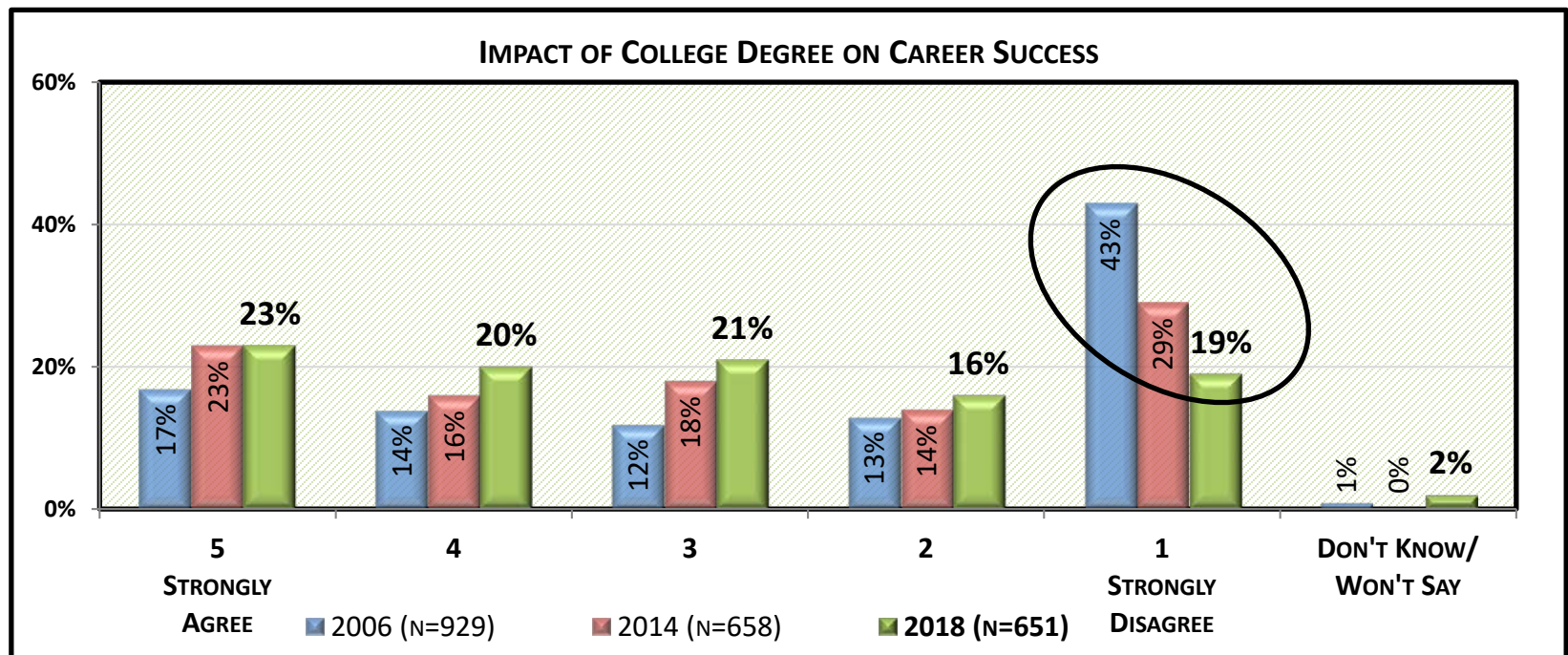
# Perception of UNM





## Perception of UNM (cont'd)

*“Having a college degree is useful, but not necessary, for most young adults to succeed in the workplace.”*



RESEARCH & POLLING UNM COMMUNITY IMAGE AND PERCEPTION SURVEY, JUNE 2018



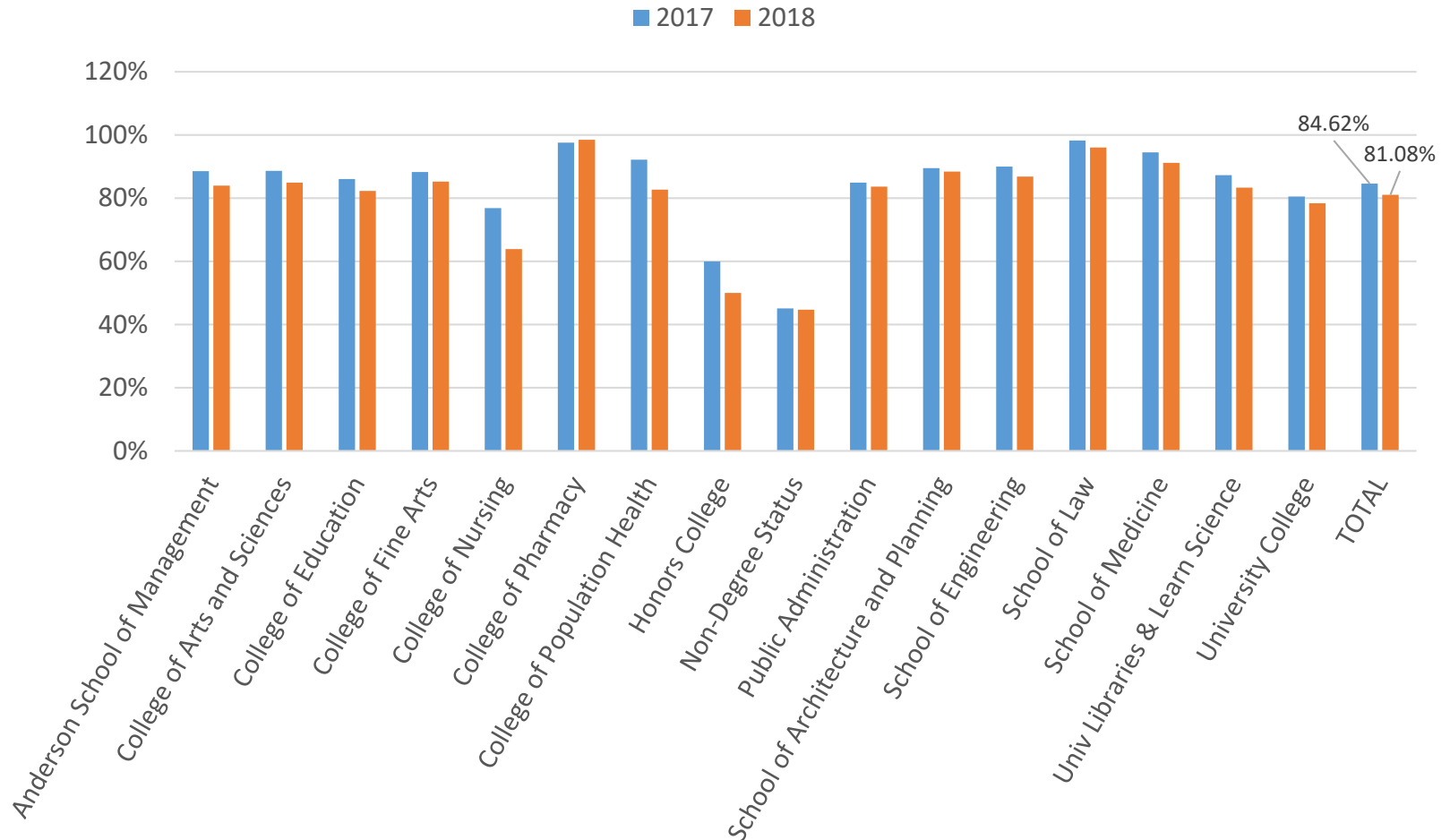
# Perception of UNM (cont'd)

<b>COLLEGE OR UNIVERSITY HOUSEHOLD MEMBER IS PLANNING TO ATTEND</b>			
AMONG THOSE WHO ARE PLANNING OR WHO HAVE A HOUSEHOLD MEMBER WHO IS PLANNING TO ATTEND A COLLEGE IN THE NEXT FOUR YEARS			
TOP 8 UNAIDED RESPONSES			
	2006 (N=311)	2014 (N=192)	2018 (N=199)
<b>UNIVERSITY OF NEW MEXICO</b>	29%	33%	<b>37%</b>
<b>CENTRAL NEW MEXICO COMMUNITY COLLEGE</b>	5%	12%	<b>16%</b>
<b>NEW MEXICO STATE UNIVERSITY</b>	22%	17%	<b>15%</b>
<b>EASTERN NEW MEXICO UNIVERSITY</b>	4%	5%	<b>4%</b>
<b>WESTERN NEW MEXICO UNIVERSITY/WNMU</b>	2%	1%	<b>4%</b>
<b>ANY COLORADO COLLEGE/UNIVERSITY</b>	6%	6%	<b>4%</b>
<b>SAN JUAN COMMUNITY COLLEGE</b>	3%	2%	<b>3%</b>
<b>DON'T KNOW/WON'T SAY</b>	14%	17%	<b>18%</b>



# Student Retention

Retention of All Students from Spring to Fall



# Comparative Retention Data Spring to Fall

Returners from Spring Data Table					
Total Spring Enrollment	23,911	25,015			
Total Not Returned	2,957	3,203			
% Not Returned	16.2%	12.8%			
Avg. Owed All	\$660.00	\$488.00	P(T<=t) two-tail	0.000017	Significant at .01
# Who Owe	933	804			
Avg. Owed per Capita	\$2,091.00	\$1,928.00	P(T<=t) two-tail	0.346742	Not significant
Past Due Hold	690	516			
# of Students w Lottery Paid	352	365			
% Lottery Paid Not Returning	11.9%	11.4%			



## **BOARD OF REGENTS FINANCE & FACILITIES COMMITTEE**

### **Discussion on Use of Reserves as Mitigation for Tuition Shortfall and Faculty Salary/Retention Issues**

**Richard L. Wood, Interim Provost  
Tuesday, October 9, 2018**

# Impact of Enrollment Shortfalls in Fall 2018:

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- \$7.6 million lower tuition revenue (i.e. core I&G budgets)
- \$2.1 million in student fee unrealized revenue
- If passed directly on to funded units, would equate to:
  - nearly 6% cuts for I&G-funded budgets
  - approximately 12% cuts to student fee-driven budgets(must protect fee-funded bond payments)

Note: In many academic units, 90-95% of budget is committed to staff, faculty, and student salaries

# Principles Driving Discussions of Budget Rescissions

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- Need: Absorb unexpected revenue shortfalls while minimizing damage to academic mission
- Following past cuts, further across-the-board cuts risk serious damage
- Approach the current decisions with combination of:
  - Prudent fiscal planning: build in reasonable assumptions about future budgets and ongoing impacts of lower enrollment
  - Strategic decision-making: Protect budgets most critical to academic mission
  - Consider a *mix* of immediate strategic cuts + cushioning via use of reserves
- Communicate early re: broad direction, in order to minimize budget panic, reassure stakeholders, and lay groundwork for hard decisions

What these principles might imply for decision-making has been discussed in a variety of venues:

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- Budget Leadership Team
- Deans Council
- Central administration meetings
- Etc.

**Main Campus**  
**DRAFT Budget Leadership Team (BLT) Budget Scenario**  
**FY 19 Revised Budget (In Thousands)**

	Fiscal Year 2019 Original	Change Amount	Fiscal Year 2019 Re-Forecasted	% Change
<b>Revenues</b>				
State Appropriations	181,793	0	181,793	0.0%
Projected Tuition Revenue	133,900	-7,600	126,300	-5.7%
Mandatory Student Fees	36,257	-2,100	34,157	-5.8%
Miscellaneous Revenues and Transfers	-10,073	1,000	-9,073	-9.9%
One-Time Use of Reserve	500	3,000	3,500	600.0%
<b>Subtotal Revenues</b>	<b>342,377</b>	<b>-5,700</b>	<b>336,677</b>	<b>-1.7%</b>
Health Sciences Center Transfer	-17,955	0	-17,955	0.0%
<b>Total Sources of Funds</b>	<b>324,423</b>	<b>-5,700</b>	<b>318,723</b>	<b>-1.8%</b>
<b>Expenses</b>				
Base-President/Administration	6,988	-100	6,887	-1.4%
Base-Academic Affairs	167,274	-2,590	164,684	-1.5%
Base-EVP for Administration	42,588	-919	41,669	-2.2%
Base-Must Funds (Fringes, Insurance, etc.)	54,132	-691	53,441	-1.3%
Utilities	17,185	-300	16,885	-1.7%
Units receiving Mandatory Student Fees	36,257	-1,100	35,157	-3.0%
<b>Total Use of Funds</b>	<b>324,423</b>	<b>-5,700</b>	<b>318,723</b>	<b>-1.8%</b>
<b>Balance</b>	<b>0</b>	<b>0</b>	<b>0</b>	

## Key Take-aways

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As of now, no decisions made or contemplated immediately

Best mix of immediate cuts and use of reserves undetermined

Thank you

Questions?

Up next: Faculty Salary/Retention Issues

## Faculty Salary/Retention Issues:

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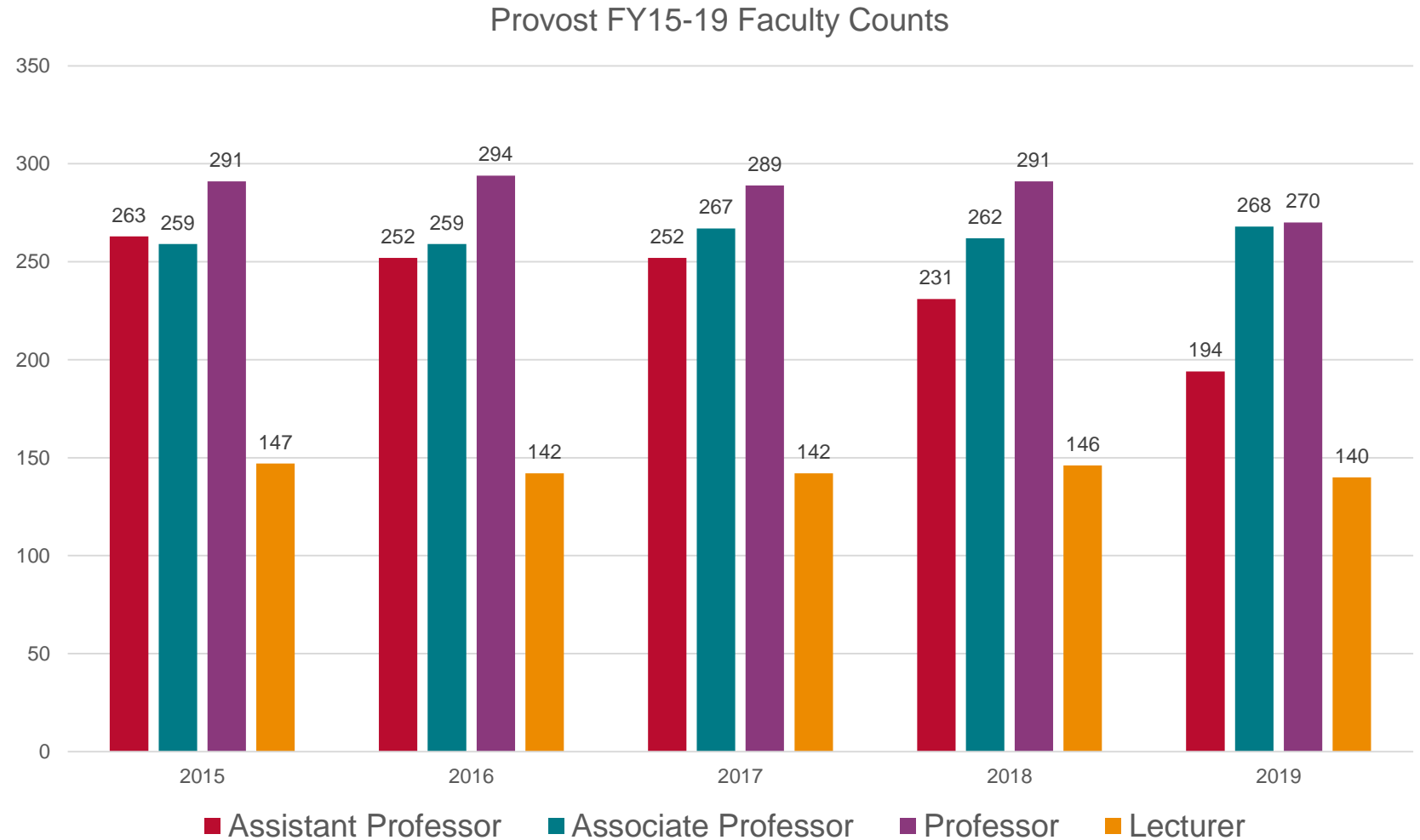
Previous Data



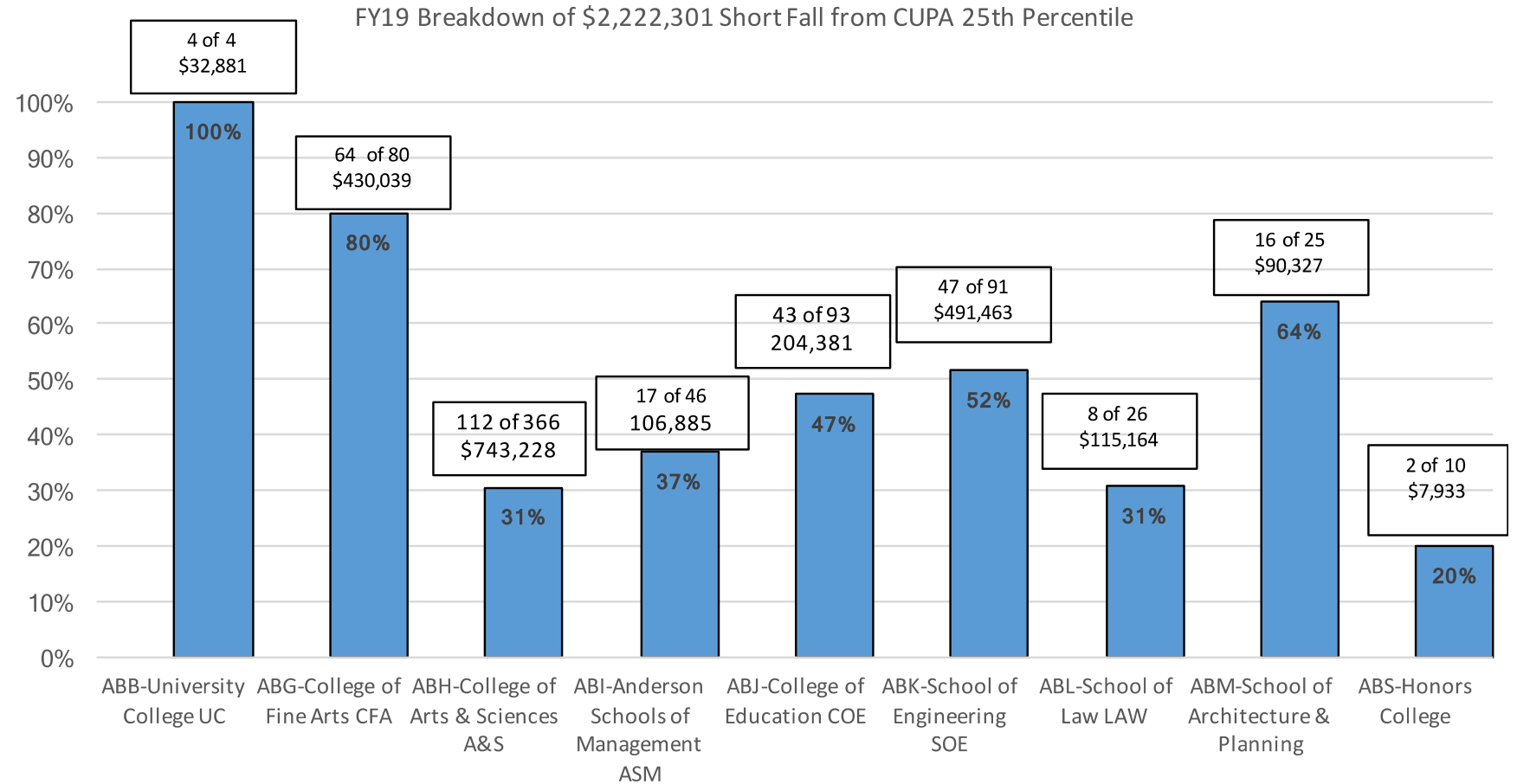
# Trends in Faculty Counts by Rank - Main Campus

<b>FY</b>	<b>2015</b>	<b>2016</b>	<b>2017</b>	<b>2018</b>	<b>2019</b>
Assistant Professor	263	252	252	231	194
Associate Professor	259	259	267	262	268
Professor	291	294	289	291	270
Lecturer	147	142	142	146	140
<b>Grand Total</b>	<b>960</b>	<b>947</b>	<b>950</b>	<b>930</b>	<b>872</b>

# Trends in Faculty Counts by Rank - Main Campus



# Faculty Salaries Below 25<sup>th</sup> Percentile – Main Campus

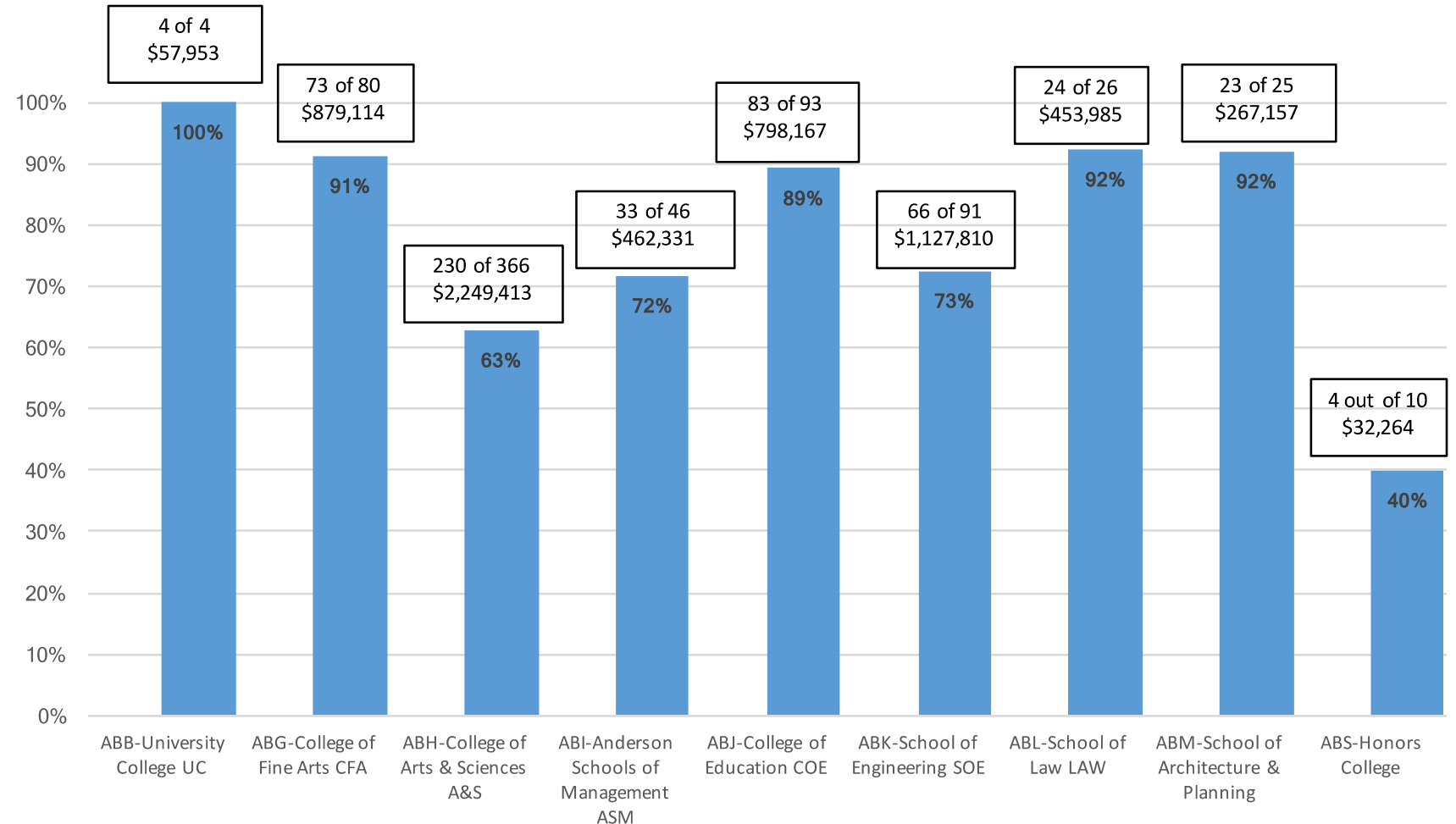


**\$2,222,301** short fall from 25<sup>th</sup> Percentile

\*For the last 3 fiscal years the short fall has remained relatively the same

# Faculty Salaries Below Median – Main Campus

FY19 Breakdown of \$6,328,193 Short Fall from CUPA Median by College



**\$6,328,193** short fall from Median

\*For the last 3 fiscal years the short fall has remained relatively the same

## Summary of Data

- Above data only for assessing UNM faculty compensation vis-à-vis national means—a *conservative* assessment, given comparison group (R1 & R2, excludes CA & NE)
- Gives one picture of scale & location of our compensation challenges
- Diagnostic, not prescriptive
- No intention to simply raise all salaries to some % of CUPA

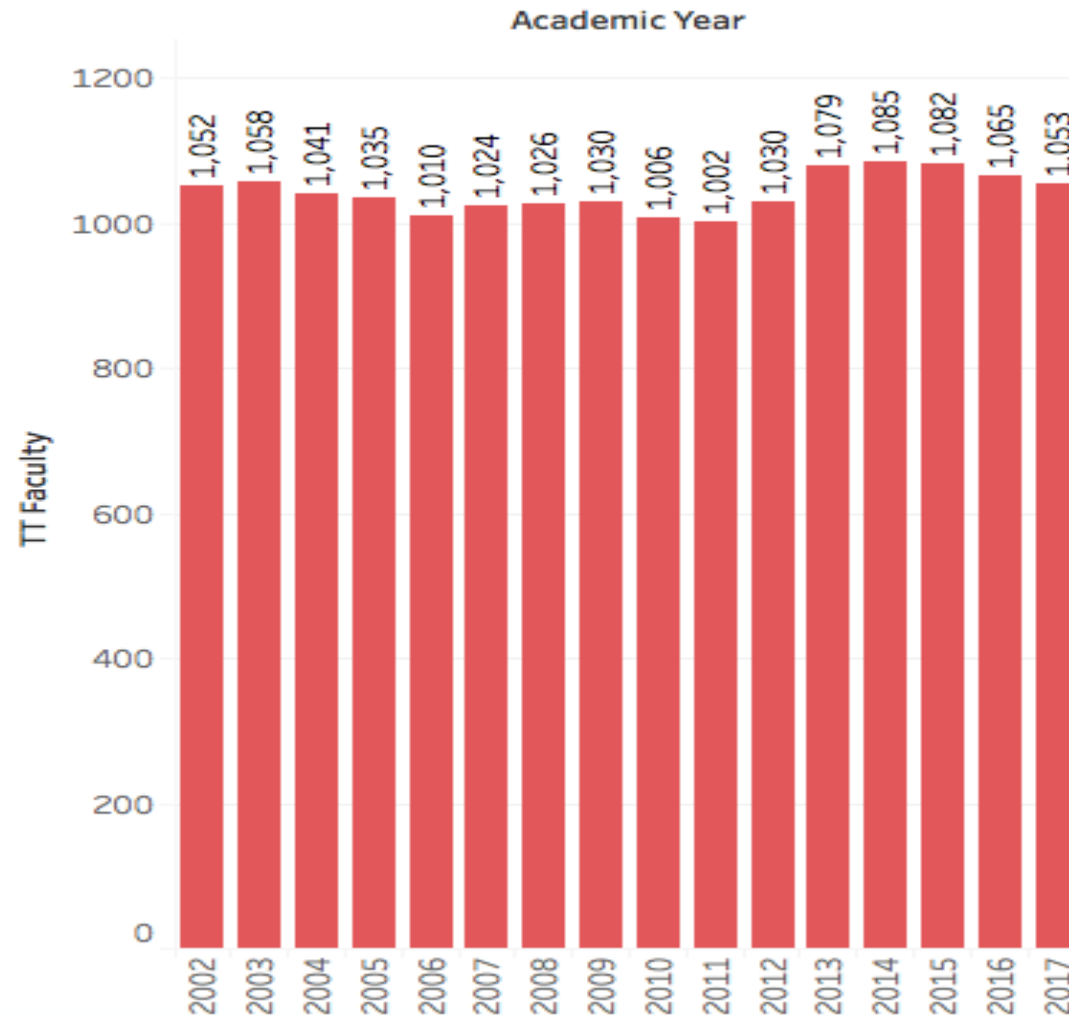
New Data:

# Tenure Track Faculty Trends UNM Main Campus

Note: Fall since 2013, back to 2002 levels

But what if we compare to enrollments?

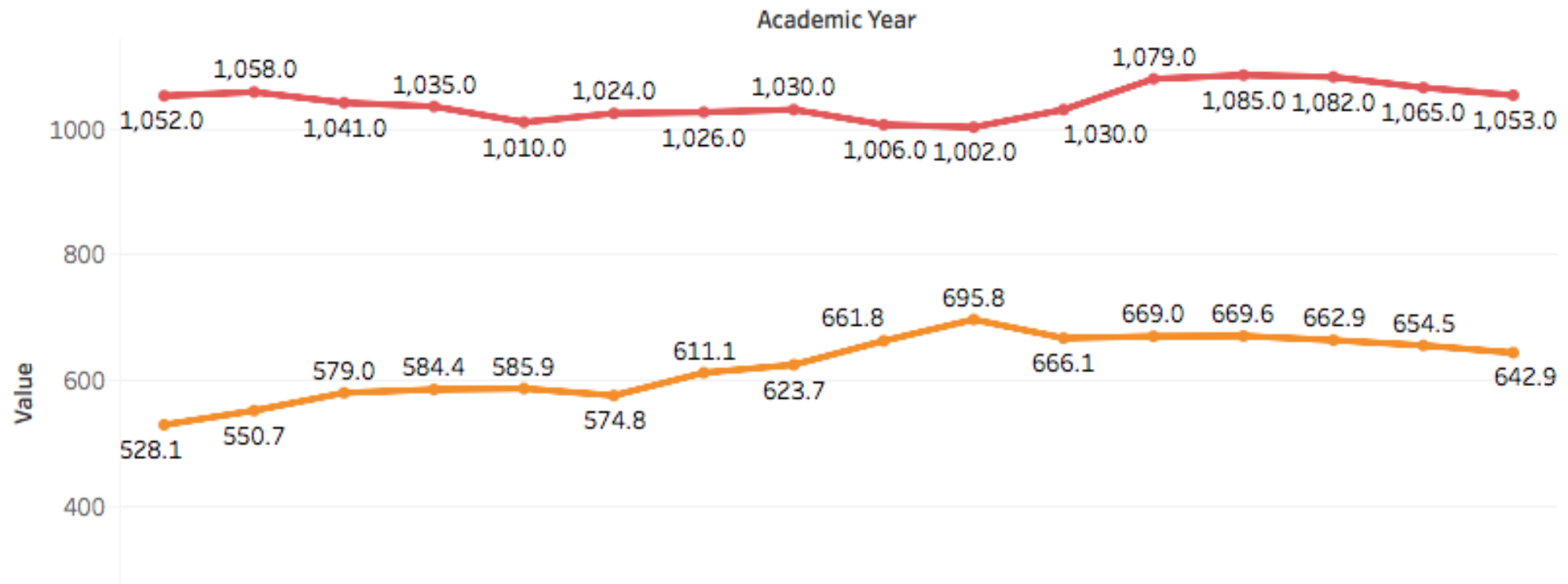
## TT Faculty 15 years Trend



# Tenure Track Faculty

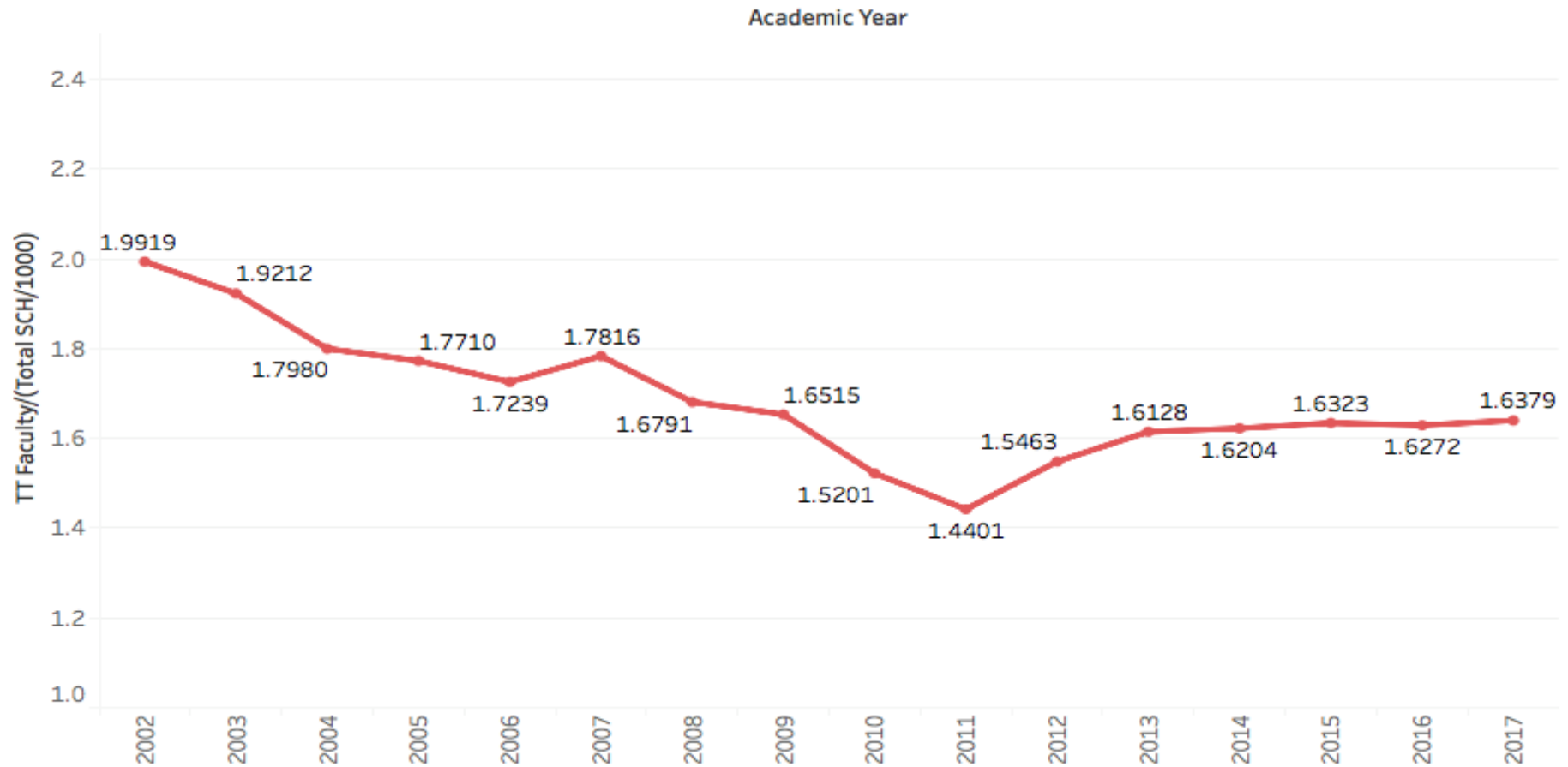
[SCH = Student Credit Hours, best measure]

## TT Faculty / Total SCH



# 15 Year Trends in **Ratio** of Faculty: SCH

TT Faculty / (Total SCH/1000)





# Principles driving UNM Discussions of Faculty Compensation

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- UNM has long track record of only *limited* across-the-board raises (below CPI)
- Focus on rewarding excellence plus assuring equity
- Some general raise possible, but limited; excellence-and-equity as priority
- Equity raises, especially at low end, contingent on performance evaluation
- Approach salary decisions with combination of priorities:
  - Reward excellence
  - Counteract any evidence of inequity: gender, race/ethnicity, inversion within rank\  
—but always while simultaneously assessing merit
  - Assure our systems do not *regenerate* inequities
  - Salaries assessed vis-à-vis national discipline-specific labor markets
- Communicate

Thank you!

Questions?

## TAB 15

### Regent Advisors' Comments/Reports

Comments will be made at the meeting

Reports are included in the agenda eBook



## Board of Regent Report UNM Alumni Association & Alumni Relations Office October 2018

### Strategic Goals

#### Goal: Maximize alumni and student engagement

##### Student Engagement

- Trailblazers added 10 new members for Fall 2018
- Donut Wednesday, October 3, 126 students attended.

##### Alumni Engagement

- Regional Chapters and Alumni Outreach Calendar
  - Atlanta Chapter  
October 6 - Green Chile Roast
  - Dallas/Fort Worth Chapter  
Happy Hour at Shannon's Brewery, Keller, TX; 20 attended
  - Las Vegas Chapter  
October 6 – UNM vs. UNLV Football Game and Tailgate; 30 attended
  - NorCal Chapter  
Sept. 22 – Green Chile Roast, Mountain View, CA; 35 attended
  - Phoenix Chapter  
October 13 – Green Chile Event, Carlsbad Tavern
  - Salt Lake City Chapter  
October 27 – UNM vs. USU Tailgate and Game
  - San Diego Chapter  
October 19 – Happy Hour at Stone Brewing Co., Point Loma

- Campus and Constituent Chapters/Groups

The Alumni Association Board of Directors unanimously approved bylaws for new Native American Alumni Chapter and College of Education Chapter.

#### HOMEcomings 2018 – September 24 -29

##### ALUMNI RECOGNITIONS:

- Picnic on the Plaza at Smith Plaza, 245 purchased lunch; gave away 200 alumni t-shirts to recognize alumni faculty and staff
- UNM Alumni Association Zia, Lobo and Inspirational Young Alumnus at the All University Breakfast, Saturday, Sept. 29. 120 attended
- Honors College and Honors Alumni Chapter hosted their Annual Reception in the Honors Forum and recognized Bill Pickens ('68 BA, '71 MA)

- Black Alumni Chapter recognized Barbara Brown Simmons and Sam WD Johnson with their Trailblazer Award and Dr. Juan Carlos with their Living Legend Award. Josephus Long was recognized posthumously with the Trailblazer award.

#### **TOURS:**

- Zimmerman Library Tower Tours, All Week
- Main Campus Sculpture Walking Tour, Monday, Sept. 24, 3 attended
- Newest to Oldest Building Tour (Farris to Hodgkin Hall), Tuesday, Sept. 25, 12 attended
- Office of the Medical Investigator Tour, Wednesday, Sept. 26, 106 attended
- Maxwell Museum "Hidden Collections" Tour, Wednesday, Sept. 26; N/A
- College of Fine Arts Tours- Tamarind, Art Museum, Graduate Art Studios, and Maddox Sculpture Center, Thursday, Sept. 27, 140 attended

#### **LECTURES:**

- Geography of Cannabis Lecture & Reception – Monday, Sept. 24; 71 attended
- Earth and Planetary Sciences Research Showcase, Friday, Sept. 28; 39 attended
- Department of History Lecture, Meet & Greet, Friday, Sept. 28; 18 attended
- College of Education Research Showcase, Friday, Sept. 29; 116 attended
- Anthropology's 90<sup>th</sup> Anniversary Lecture, "Chaco Canyon," Saturday, Sept. 29, 56 attended

#### **ALUMNI HAPPY HOURS:**

- Latin@ & Hispan@ at Canvas Artistry, Monday, Sept. 24; 12 attended
- Alumni Veterans at Bombs Away Brewing, Monday, Sept. 24; 18 attended
- Women's Studies & WRC at Bow and Arrow Brewing Co., Monday, Sept. 24; 14 attended
- Young Alumni at Canteen Brewhouse, Tuesday, Sept. 25; 54 attended
- Native American Alumni at Bow and Arrow Brewing Co., Tuesday, Sept. 25; 42 attended
- Daily Lobo at Dialogue Brewing, Tuesday, Sept. 25; 24 attended
- Rainbow Alumni at Albuquerque Social Club, Tuesday, Sept. 25; 13 attended

#### **OTHER Homecoming EVENTS:**

- Peace, Love and Puppies, SW Canine Corps of Volunteers; 12 pet therapy canines, plus handlers; estimate 100 students, community members stopped by to pet canines.
- Homecoming Soccer Tailgate, Wednesday, Sept. 26; 71 attended
- Diner en Rouge, Hodgkin Hall Alumni Center, Friday, Sept. 28; 120 attended
- Homecoming Tailgate, Dreamstyle Stadium, Sat. Sept. 29;

#### **Other Alumni Outreach**

- Lobo Living Room - September 27 – Science of Beer, UNM SUB and Draft & Table; 165 attended
- American Studies Inaugural Joel Jones Endowed Lecture – September 4, 2018; 163 attended
- Re-dedication of NROTC USS NM Bell on Smith Plaza – September 13, 2018; 58 attended

### New Mexico Statewide Outreach

- Farmington, NM, September 27. A reception with UNM Basketball Coach Paul Weir was held at the San Juan Country Club for UNM alumni in Farmington to meet the coach. The reception hosted by 2 UNM alumni, Tucker and Karen Bayless, of Farmington. 35 people attended total, 19 UNM alumni, as well as the Mayor and City Councilor of Farmington.
- Coach Weir and staff visited Farmington High School and met with coaches, councilors, and students prior to the reception
- Las Cruces, NM, September 15. A pregame tailgate was held prior to the NMST football game in collaboration with the Lobo Club and Athletics. Approximately 125 were in attendance.

### Lobo Living Room

***Rare Treasures and Fine Wine*** will take place on November 1, 2018 from 5:30 to 7:30 p.m. on the UNM campus at Zimmerman Library.

- Peruse the historic halls of UNM's Zimmerman Library, glimpse rare treasures and artifacts not normally seen, visit stations where researchers and experts on the matter will speak about Latin American artifacts, old books, manuscripts and maps, and learn about the Works Progress Administration (WPA) furniture and architecture. A sampling of fine wines and light hors d'oeuvres will be offered. The event is free and is sponsored by the UNM Alumni Association, UNM Libraries, and Kendall Jackson wineries of California

### Greater Albuquerque Area Alumni Chapter (GAAAC)

GAAAC board members volunteered during Homecoming for the Lobo Living Room, *The Science of Beer* at UNM's new taproom.

### Goal: Increase alumni advocacy for UNM

#### Lobos for Legislation

- A key priority is sharing information about the 2018 General Obligation Bond D. The Lobos for Legislation committee is involved in the campaign for Higher Education and has shared Information and brochures to create an awareness to pass the GO Bond.
- Legislative Briefing to be held in November, date TBA

