



## **BOARD OF REGENTS**

### **Agenda Book**

**August 13, 2019**

**9:00 AM**

**Ballroom C; Student Union Building**

**Main Campus**

**The Board of Regents of the University of New Mexico**  
**August 13, 2019 – Regular Meeting**  
**9:00 AM Open Session - Student Union Building (SUB), Ballroom C**  
**Executive Session-luncheon, Cherry Silver Room, SUB**  
**AGENDA**

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VIII.	Regent Committee Reports	
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	<i>(Action items on Regent’s Committee agendas may move to the Board of Regents’ agenda as consent items; the below items are placed on the consent docket by the respective committee chairs; items on the consent docket received unanimous approval in committee; per Regents’ Policy 1.2, “Any member of the Board of Regents shall have the right upon request to remove any item from the Board’s consent agenda and place the item on the Board’s regular agenda for discussion.”)</i>	
	1. <b><u>Finance and Facilities Committee (F&amp;F) Consent Items</u></b>	
	a. Approval of Disposition of Surplus Property for Main Campus for May, June, and July 2019.....	C-1
	b. Approval of Quarterly Financial Actions Report and Certification through June 30, 2019 .....	C-2
	c. Approval of Athletics’ Enhanced Fiscal Oversight Program Report and Certification through June 30, 2019 and Information on Athletics’ Report on Revenue, Expenditures, and Compliance through June 30, 2019 .....	C-3
	d. Approval of Athletics to Present Quarterly Reports on Revenue, Expenditures and Compliance Beginning October 1, 2019 .....	C-4
	e. Approval of Appointments to the Innovate ABQ, Inc. Board of Directors .....	C-5
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	2. <b><u>Health Sciences Center Committee (HSCC) Consent Items</u></b>	
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**Information Items** *(Contingent on information items to be moved forward from the Aug.12, 2019 HSC Committee meeting.)*

3. Marketing UNM HSC Family Brands, *Melissa Romine, Marketing and Media Director, HSC*..... 14
4. FY2019 HSC Strategic Plan Update, *Richard Larson, Executive Vice Chancellor, HSC* ..... 15

**Public Comment – comments not related to items on the agenda** (limit 3 min. per speaker)

- IX. Advisors' Reports (limit 3 min.) ..... 16

X. Vote to close the meeting and proceed in Executive Session (Roll Call Vote)

1. Discussions subject to attorney-client privilege pertaining to threatened or pending litigation as permitted by Section 10-15-1(7), NMSA (1978)
2. Discussions of bargaining strategy preliminary to collective bargaining and collective bargaining between a policymaking body and an employee bargaining unit, as permitted by Section 10-15-1.H(5), NMSA (1978)
3. Discussion during the contract negotiation process of the contents of a competitive sealed proposal solicited pursuant to the Procurement Code, as permitted by Section 10-15-1.H(6), NMSA (1978)
4. Discussion and determination where appropriate of strategic and long-range business plans or trade secrets of public hospitals, as permitted by Section 10-15-1.H(9), NMSA (1978), as amended
5. Discussion of "limited personnel matters" as defined in and permitted by Section 10-15-1.H(2), NMSA (1978)
  - a. Presidential Evaluation and Compensation
  - b. Two (2) employee appeals

- XI. Vote to re-open the meeting and certification that only those matters described in Agenda Item X. were discussed in Closed Session and if necessary, final action with regard to those matters will be taken in Open Session.

- XII. Adjourn

**Minutes of the Regular Meeting of the  
Board of Regents of the University of New Mexico  
May 9, 2019  
Open Session - Ballroom C, Student Union Building (SUB)  
Executive Session – Cherry Silver Room, SUB  
Main Campus**

Members present

Douglas M. Brown, President; Kim Sanchez Rael, Vice President; Sandra K. Begay, Secretary  
Treasurer; Rob Doughty; Melissa Henry; Marron Lee; Robert Schwartz

Administration present

Garnett S. Stokes, President; Paul Roth, EVP and Chancellor for Health Sciences Center; Craig White, Interim SVP for Finance and Administration; Rich Wood, Interim Provost and EVP for Academic Affairs; Loretta Martinez, Chief Legal Counsel; Richard Larson, Executive Vice Chancellor for HSC; Ava Lovell, Executive Officer for Finance & Administration, HSC; Lawrence Roybal, Interim VP for Equity and Inclusion; Eliseo 'Cheo' Torres, VP Student Affairs; Gabriel Lopez, VP Research; Dorothy Anderson, VP HR; Eddie Nuñez, Athletics Director; Dana Allen, VP Alumni Relations; Terry Babbitt, President's Chief of Staff; Liz Metzger, University Controller

Advisors present

Rob Burford, Staff Council President; Steve Borbas, Retiree Association President; Pamela Pyle, Faculty Senate; Muhammad Afzaal Hussain, GPSA President; Adam Biederwolf, ASUNM President

Presenters in attendance

Norma Allen, Director, University Budget Operations; Lisa Marbury, Executive Director, Institutional Support Services

Others in attendance

Members of administration, faculty, staff, students, the media and others.

CALL TO ORDER, CONFIRMATION OF A QUORUM, ADOPTION OF THE AGENDA

Regent President Douglas M. Brown called the meeting to order at 9:05 AM and confirmed a quorum. All members were present in person. Before adoption of the agenda the following modifications were proposed:

- 1) Take off of the agenda, Finance & Facilities Committee (F&F) information item #3, Tab 7, *Discussion of Regents' Endowment Accounts* – to be discussed at a later date
- 2) Move up on the agenda to after President's Administrative Report, Academic/Student Affairs and Research Committee (ASAR) action item #1, Tab 4, *Approval of Spring 2019 Degree Candidates*. [There was clarification that no action was necessary on the Health Sciences Center Committee (HSCC) action item #3, because the degree candidates approved under ASAR Committee are to be the comprehensive listing of all UNM degree candidates, including HSC candidates.]
- 3) Combine presentations for Main Campus and HSC FY 2019-2020 Budget and FY 2018-1019 Budget Adjustment Requests (Tabs 5 & 8).

**The motion to adopt the agenda with the proposed modifications passed with a unanimous vote in favor (1<sup>st</sup> Schwartz; 2<sup>nd</sup> Sanchez Rael).**

## APPROVAL OF MINUTES

**The motion to approve the minutes of the April 9, 2019 Budget Summit and the April 22, 2019 Special Meeting passed with a unanimous vote in favor (1<sup>st</sup> Begay; 2<sup>nd</sup> Sanchez Rael).**

## PUBLIC COMMENT

John Garcia, with Ski New Mexico, commented the UNM Athletic Department received its request from the Legislature this year with an additional \$1.1 million to restore the teams that were cut, and still the Athletic Department is facing more budget problems. The programs that were cut were the highest academic achievers in athletics, and the financial crisis is a crisis of competence in the Athletic department. The program cuts are now affecting sponsors and attendance in other sports. The community and the state deserve better. Mr. Garcia asked the Regents to bring the teams back and to get the athletic department back on track.

Sharon Argenbright, President of District 1199 Hospital and Healthcare Workers Union, representing 4,000 UNM hospital and healthcare employees. The budget the Regents approve will restrict the amounts that can be negotiated, in the end, the budget has to have sufficient funds for a pay raise. If sufficient funds are not approved, Ms. Argenbright requested the Regents to commit to consider approving a supplemental budget down the road.

REGENT ADVISORS COMMENTS (no comments)

COMMENTS FROM REGENTS (no comments)

## PRESIDENT'S ADMINISTRATIVE REPORT

President Garnett S. Stokes opened her report with recognition of administrators and deans who are transitioning out of their roles and thanked them for their leadership at UNM: Interim Provost Rich Wood; Geraldine Forbes Isais, Dean, School of Architecture and Planning; and Hector Ochoa, Dean, College of Education. President Stokes welcomed the newly-elected and incoming student government leadership, Adam Biederwolf, ASUNM President and Muhammad Afzaal, GPSA President, and affirmed the important role student leadership plays in communicating students' needs. President Stokes addressed the issue of stabilizing enrollment. About half of enrollment is made up of first-time-in-college students who come in as freshmen and move through year to year. The number of incoming freshmen used to be around 3,000 students, and this was a relatively stable number for about 15 years. Last year's enrollment drop was unexpected. President Stokes talked about enrollment projections that would be dependent upon retention and enrollment changes and added that it takes a few years to drive this kind of change. The Enrollment Task Force has outlined initiatives in a report. The focus has to be on first-time freshmen but also on building out additional partnerships that address areas such as education of different learners and online programming. For fall 2019, the goal was to secure an 8% increase in the number of freshmen compared to last year; this would approach a freshmen enrollment of about 2,900. Right now, this looks to be on track. President Stokes spoke about the April 30 General Faculty meeting that was called by the Committee on Governance to discuss the unionization of faculty. The meeting was held in Popejoy where information on the unionization process was presented by union organizers and UNM administration followed by a question and answer period to hear faculty and public comments. President Stokes highlighted recent research, faculty and student successes at UNM. School of Engineering experts are helping to improve ABQ's Balloon Fiesta Access; UNM's Clinical and Translational Science Center and College of Pharmacy have been working to improve Naloxone dispensing and intervention within the state to reduce opioid deaths; a team from the UNM Institute of Meteoritics will be among those studying lunar samples that traveled back to Earth on the Apollo missions. UNM Distinguished faculty, Enrique Lamadrid, was recently recognized for his outstanding work, winning the 2019 North American Academy of the Spanish Language "Enrique Anderson Imbert" national prize. President Stokes highlighted several of the University's programs and schools that rank among the country's

best in the 2020 U.S. News & World Report on graduate school rankings, including, Photography-No.5, Nursing-Midwifery-No.7, Family Medicine-No.8 and Primary Care-No.21. UNM's Medical School ranks 78 out of 100. Other programs that rank among the Top 100: Earth Sciences, History, English, Mathematics, Political Science, and Physics. The Anderson School of Management's MBA and EMBA programs rank as Tier 1 global programs in CEO Magazine. Anderson came in at No.74 for part-time MBA programs on the U.S. News and World Report rankings. President Stokes spoke also about El Centro de La Raza's 50 year anniversary at UNM, the recent spring semester town hall, and the upcoming spring graduation ceremonies.

#### REGENT COMMITTEE REPORTS

##### Approval Spring 2019 Degree Candidates

Pamela Pyle presented the item and gave a breakdown of degree candidates by college.

**The motion to approve the 2019 Spring Degree Candidates passed with a unanimous vote (1<sup>st</sup> Lee; 2<sup>nd</sup> Sanchez Rael).**

#### CONSENT DOCKET

Regent Brown addressed the consent docket; no items were removed from the docket for discussion. Regent Schwartz clarified the correct address under Item 3.c to be 1111 Stanford Dr. NE. Two actions were taken, one to approve the ASAR Committee items and one to approve the F&F and HSCC items.

1. Academic/Student Affairs & Research Committee (ASAR), Regents Sanchez Rael & Henry, Co-Chairs
  - a. Form C - Deletions
    - i. AA Communication & Journalism (UNM-Taos) (delete)
    - ii. AAS Fire Science concentration: Emergency Medical Services (UNM-LA) (delete)
    - iii. CERT Office Communications & Technology (UNM-LA) (delete)
    - iv. AAS Office Communications & Technology (UNM-LA) (delete)
    - v. AAS Construction Technology (UNM-Valencia) (delete)
    - vi. CERT Clinical Chemistry (HSC) (delete)
    - vii. AA Art Studio (UNM-Gallup) (delete)
  - b. Posthumous Degree Request for Mireya Martinez
  - c. Posthumous Degree Request for Chantell Howard
2. Finance and Facilities Committee (F&F) Consent Items, Regent Sandra Begay, Chair
  - a. Approval of Disposition of Surplus Property for Main Campus for March & April 2019
  - b. Approval of Quarterly Financial Actions Report and Certification through March 31, 2019 and Information on Monthly Consolidated Financial Reports for month end March 31, 2019
  - c. Approval of Athletics' Enhanced Fiscal Oversight Program Report and Certification through March 31, 2019 and Information on Athletics' Report on Revenue, Expenditures, and Compliance through March 31, 2019
  - d. Approval of Projects:
    - i. Request for Project Construction Dana C. Wood Formula Society of Automotive Engineering Racing Lab
    - ii. Request for Project Construction Student Health and Counseling Phase 1 Renovation
    - iii. Request for Project Construction Valencia Campus Photovoltaic Solar System Phase 3
    - iv. Request for Demolition of the Building Located at 1705 Mesa Vista Rd., NE
    - v. Request for Tenant Improvements for Sandia National Laboratories' Lease at the Advanced Materials Laboratory at the UNM Science and Technology Park
3. Health Sciences Center Committee (HSCC) Consent Items, Regent Rob Schwartz, Chair

- a. Request for Approval of the Nomination of Gary Hoffman as Parent Member to the Carrie Tingley Hospital Advisory Board
- b. Request for Approval of Disposition of Assets
- c. Request for Approval of Capital Project to Demolish Building at 111 Stanford Dr. NE
- d. Request for Approval of the Biosense Webster Catheter Agreement
- e. Request for Approval of the Pharmacy Coumadin Clinic
- f. Request for Approval – Capital Project – Adult Inpatient–Inpatient BHICU Comfort Rooms
- g. Request for Approval – Capital Project – PES Expansion without IOP Conversion Plan Final
- h. Request for Approval of UH Main Facilities AHU 117 Replacement

**The motion to approve the ASAR consent items as listed passed with a unanimous vote in favor (1<sup>st</sup> Sanchez Rael; 2<sup>nd</sup> Begay).**

**The motion to approve the F&F and HSCC consent items as listed passed with a unanimous vote in favor (1<sup>st</sup> Schwartz; 2<sup>nd</sup> Sanchez Rael).**

#### FINANCE AND FACILITIES COMMITTEE AND HEALTH SCIENCES CENTER COMMITTEE

##### Approval of Main and Branch Campuses' FY 2019-2020 Budget and FY 2018-2019 Budget Adjustment Request (BAR)

Regent Sandra Begay, Chair of Finance and Facilities Committee (F&F), asked Norma Allen to present the budgets for Main and Branch Campuses. Ms. Allen opened with presentations of the operating budgets for fiscal year 2019-2020 (FY20). The overall budget is put together by a lot of people, with a lot of input from the Budget Leadership Team (BLT), as well as participants from Academic Affairs, Health Sciences and the administrative areas. The overall consolidated UNM Budget for FY20 is \$3.16 billion; \$884.1 million for Main Campus; \$2.2 billion for HSC; and \$51.9 million for the Branches. This is an overall 6.8% increase from last year's budget of \$2.96 billion. The increase is driven primarily by the HSC and the Health System. The Main Campus budget is a 2% increase over last year's. The largest pieces of the Main Campus budgeted revenues are Contracts & Grants of \$185 million, tuition & fees of \$182 million, and state appropriations of \$206 million. Bottom line expenditures also total \$884 million with the largest portions being Instruction and General (I&G) at \$331 million and Plant Funds at \$195 million. Other portions of the Main Campus expenditure side include, Student Aid at \$121 million, Research at \$94 million, Public Service at \$51 million, and Athletics at \$32 million. Ms. Allen showed the Main Campus budget broken out by unit: 33% is Academic Affairs at \$295 million and 20% is the Research Mission at \$176 million. The main drivers for the 2% increase in the Main Campus budget, \$17.7 million over last year's budget, are 1) spending on capital projects, 2) increase of \$14.9 million in state appropriation, and 3) compensation increase, increase in group health insurance and employer ERB contributions. **[ATTACHMENT A]**

Norma Allen presented the Branch Campus budgets. Branch revenues are close to \$52 million, 40% of which is state appropriations at \$21 million; 21% from grants & contracts at \$10 million; 17% from local government appropriations at nearly \$9 million. Tuition and fees revenues make up 14% of total revenues, at \$7.3 million. On the expenditure side, the largest portions are, 77% going toward I&G at \$40 million and 14% toward public service at \$7 million. The Branch Campus budgets are projected to be 1.3% lower than last year's, the primary drivers being a decrease in Federal Contract & Grant revenues offset by increases to state appropriations and compensation. **[ATTACHMENT B]**

The detailed budget book was made available to the Regents and online at, <https://budgetoffice.unm.edu/budget/consolidated-budget.html>

Regent Brown asked for a motion to approve the Main Campus and Branch Campus' FY 2019-2020 budgets. Regent Begay motioned; Student Regent Melissa Henry seconded. There was discussion.

Regent Rob Schwartz wanted clarification regarding approval of the budget as a whole versus approving it at a line by line level. There was concern in committee about a proposed \$1.2 million of university funds (freed up debt service funds) going toward the athletics' department projected FY20 deficit, and Regent Schwartz wanted to clarify that that was not part of the budget approval being sought at the meeting. Regent Schwartz reminded the board that the budget includes a 1.5% cut to all units across campus which will have a substantial affect coming after years of similar cuts.

Regent Brown took a vote on the budgets that were presented; the vote was 6-1 with Regent Rael voting no. Regent Rael clarified she had explained at the last meeting why she was not in favor of the budget. There was discussion about budget details and what the motion included. Regent Brown clarified the budget proposal includes a 3% compensation increase and 3.1% tuition increase, and part of the action includes the University putting in a supplemental request to the Legislature in order to fund across the board a 4% compensation increase. Ms. Allen confirmed the athletics budget that was presented at the prior meeting, that includes the \$1.2 million transfer, was in the budget and added that a budget is always amendable. Regent Schwartz responded he had the understanding the Regents were not approving a line-by-line budget, but the bottom lines of a consolidated budget, and added there can be a very large barrier to changing the budget down the road. Regent Schwartz stated that if that was the case, he would change his vote to no and requested the minutes reflect that his understanding of the motion before was that the Regents were not making a determination as to whether or not the \$1.2 million was going to be transferred to athletics, that there was no decision about where funds would come from to cover the athletic budget shortfall. There was discussion.

Regent Begay commented there had been discussion in F&F Committee about the budget timeline and stressed there are other issues that need further discussion including, classification of funds, how to treat endowments, the athletics budget, funding Grand Challenges, supporting research and faculty, and added all of those are strategic discussions that Regents have to have. Regent Begay explained that the BAR (Budget Adjustment Request) process is an official process that allows for adjusting the budget every year this time of year. Ms. Allen confirmed the May budget deadline for submitting to the state and the submittal includes detailed budget information for each program. Ms. Allen added the BAR process usually happens toward year-end but could happen mid-year if the state requests it. There was discussion.

**The motion to approve the Main and Branch Campuses' FY 2019-2020 Budget passed with a vote of 5-2-0; Regents Begay, Brown, Doughty, Lee, and Henry voted for; Regents Sanchez Rael and Schwartz voted opposed (1<sup>st</sup> Begay; 2<sup>nd</sup> Henry).**

There was discussion about UNM's debt service and funds that have come available since interest rates have moved in UNM's favor. Craig White mentioned it would make sense to put together a working group to look at UNM's needs and opportunities and to propose a process with principals that the Regents can support with the President on determining the use of any available funds to help bridge some of the University's needs. Regent Sanchez Rael inquired if there is still outstanding debt with variable interest rate terms, and if so, does UNM have some exposure there? Mr. White responded there is debt service on variable rate, but there is also refunded debt to which there is no exposure. On the variable piece, interest rates have changed in UNM's favor, and now this should be looked at for potentially locking rates in.

Norma presented the BAR presentation for fiscal year 2018-2019 (FY19). **[ATTACHMENT C]** Budgeted numbers compared to actuals are monitored throughout the year. The University is required to submit

a revised budget to the HED by May 1 if year-end projections show the actual revenues, transfers and expenditures exceed original budget. The HED does allow for a post-May 1 board approval. The primary push for the BAR is to ensure approval of sufficient expenditure authority. The major drivers of the BAR are revenues, expenditures, use of reserves, contract & grant revenues and expenditures, and transfers. For Main Campus, the originally budgeted bottom line was \$866 million, and the revised bottom line is \$859.3 million, a \$7.1 million decrease, or -0.8%, driven primarily by decreased enrollment and several capital projects that did not move forward as quickly as anticipated. Special FY19 general fund capital and operating appropriations offset the overall use of capital project reserves and will roll into the FY19 year-end reserves to be expended in FY20. There were also increases in revenues due to Land and Permanent Fund income as well as Student Aid, Public Service and Auxiliary activities.

For the Branch Campus BARs, UNM-Gallup reports a \$221K increase from the original budget; UNM-Los Alamos a \$120K decrease; UNM-Valencia a \$1 million decrease; and UNM-Taos, a \$462K increase.

The detailed Budget Adjustment Request book was made available to the Regents and also online at, [http://budgetoffice.unm.edu/assets/documents/budget/2018-19\\_Budget\\_Adjustment\\_Request.pdf](http://budgetoffice.unm.edu/assets/documents/budget/2018-19_Budget_Adjustment_Request.pdf)

**The motion to approve the Main and Branch Campuses' FY 2018-2019 Budget Adjustment Request passed with a unanimous vote in favor (1<sup>st</sup> Begay; 2<sup>nd</sup> Schwartz).**

Approval of the FY2020 HSC Budget and the FY2019 Revised Budget (FY2019 Budget Adjustment Request or BAR)

Ava Lovell presented the current year's budget adjustment request first. **[ATTACHMENT D]** For the HSC Academic Enterprise, looking specifically at the expenditure budget, there will be an increase of about 0.5% over the 2019 original budget of \$557.4 million. So the request is for a \$2.9 million increase in expenditure authority which is driven primarily by the 'public service' category due to booking Health System flow-through activity a little differently from before, as well as from independent operations which includes Carrie Tingley Hospital increased revenues and expenditures. Total revenues will increase by \$5.7 million, but the expenditure increase is \$2.9 million. For UNM Hospitals, there is a \$68.5 million net projected revenue increase, attributable to \$46.1 million from patient volumes, which includes increased Medicaid reimbursements and revenue cycle operational improvements, along with a \$15.3 million increase associated with expansion of specialty pharmacy. On the hospital expenditure side, a \$64.5 million net increase in expense is projected due to increased volumes and patient care costs as well as increases in employee compensation, which altogether represents 5.9% of the \$1.09 billion original total budgeted expense for UNM Hospitals. The research park corporations (SRMC and UNMMG) are not required to submit budgets to the state, although their budgets are submitted because they are so integrated with the HSC; the BAR's for those entities are also not required.

**The motion to approve the FY2019 Budget Adjustment Request for the HSC Academic Enterprise and UNM Hospital passed with a unanimous vote in favor (1<sup>st</sup> Sanchez Rael; 2<sup>nd</sup> Begay).**

Ms. Lovell presented the FY2020 HSC budget which includes all components of the Health Sciences Center, including SRMC and the Medical Group (UNMMG). **[ATTACHMENT E]** Total budgeted revenues are \$2.2 billion, a 9.6% increase over the current year's budget. Total budgeted expenses are also \$2.2 billion, of which UNM Hospitals contributes 54%. For the Academic Enterprise, revenues and expenses are increasing close to 6%, primarily due to 3.1% tuition increase for the non-SOM (School of Medicine) programs and the 6.7% overall I&G State funding increase. Year over year, the expense increase due primarily to budgeted compensation increases. The Academic Enterprise bottom line net margin is projected at \$1.5 million, driven primarily by the SOM. Other smaller units'

projected net negative margins, including College of Pharmacy and College of Population Health, will be covered by reserves that will be utilized for one-time expenditures, not for operating deficits.

Budget details for UNM Hospitals were presented. Net revenues are projected to increase by \$16.5 million, driven by revenue cycle and operational improvements as well as volume increases after netting the MCO (managed care organizations) rate reductions. Hospital expenses will increase, matching the volume increases and incorporating inflationary and compensation increases. Also included in the UNM Hospitals budget is a new \$21.5 million Gross Receipts Tax budgeted in both revenue and expense, estimated at this point to be a breakeven proposition. UNM Hospitals has a \$4.4 million net margin budgeted for FY2020, which is 1/3 of 1 percent of net revenues. If one were to look at Moody's and other S&P benchmarks for margins needed to sustain a \$2 billion operation, many not-for-profit hospitals have a 3% margin. For UNM, a 3% net margin would be \$36 million, so UNM runs on a thin margin; however, the margins have been improving since 2017 and continued improvement is projected through 2020.

Ms. Lovell presented FY2020 budget details for the UNM Medical Group, the physician practice organization. For UNMMG, revenues are projected to increase by 18%, of which 3.7% is driven by the wRVUs, measures of physician productivity, and a new Upper Payment Limit (UPL) program which is a Medicaid program. Expenses are projected to increase due to volume increases along with compensation and inflationary supply costs, but will also be offset by Huron operational efficiencies. The FY2020 net margin for UNMMG is budgeted at \$4.9 million.

Ms. Lovell presented FY2020 budget details for the UNM Sandoval Regional Medical Center, a community hospital in Sandoval County in Rio Rancho. Revenues are projected to increase 10% due to volumes and revenue cycle efficiencies, but also due to the addition of the Sandoval County Mil Levy, which makes up the largest part of the revenue increase. Increased expenses will be due to the new Trauma and Behavioral Health programs, increased volumes, and inflationary supply costs.

For the non-academic portion of the Health System, the budget depicts about a \$1.5 billion operation with a \$9.3 million bottom line net margin. The total HSC FY2020 budget, including the academic portion, comes to \$2.2 billion for both revenues and expenses, with a projected \$10.9 million net margin.

**The motion to approve the FY2020 HSC Budget as presented passed with a unanimous vote in favor (1<sup>st</sup> Schwartz; 2<sup>nd</sup> Henry).**

Regent Brown asked Ms. Lovell to present the HSC capital project item.

Approval of the New Mexico Higher Education Capital Projects 5-Year Plan document and HSC Top Three Capital Project Priorities for FY2020 (HSCC item)

The capital budget process this year was done under the new Capital Projects Leadership Team. Under the one-year plan for the Health Sciences Center, the request is for approval of two priorities. The number one priority is for the College of Nursing (CON) and College of Population Health (COPH) Building. After Regents' approval, the request will go to the HED for General Obligation Bonds funding in the amount of \$30 million to fund this project. The CON is growing and is primarily housed in a 1960's building with other parts spread around five to six buildings across campus. The new College of Population Health has great synergies with the CON and is currently house in the basement of the Family and Community Medicine building and needs room to grow. The proposed new building will have classrooms and shared spaces and simulated spaces that allow for student assessments. The second priority under the HSC one-year plan, is the Pete and Nancy Domenici Hall Research Facility, which has also been known as the Mind Institute building and was originally built with the intention

that it would be added onto in the future. Already one wing has been added a few years ago; this would be the second wing. Project cost is estimated at \$8 million, and the proposal is to not ask for state funding, but there is a proposal for a \$4 million NIH grant, and the other \$4 million is proposed to come from HSC research reserves.

The other document for which approval is requested is the 5-year capital plan that goes to the HED annually for approval. The first two items on the list are the projects already mentioned, and following years' include the Children's Psychiatric Hospital Facility and the Adult Behavioral Health Facility, among others. Ms. Lovell mentioned the HSC will be working on an updated Master Plan to present to the Regents, the last one was presented in 2015.

**The motion to approve the Health Sciences Center's top two capital priorities and the HSC 5-Year Capital Plan document passed with a unanimous vote in favor (1<sup>st</sup> Schwartz; 2<sup>nd</sup> Begay).**

Approval of [Main Campus and Branch Campuses] Capital Funding Requests for FY 2019-2020 and Five Year Capital Plans (F&F item)

Lisa Marbury presented the item. The process for capital planning was changed this year; the Capital Planning Leadership Team was modeled after the Budget Leadership Team (BLT) and was co-chaired by Rich Wood and Craig White. The core team covered the different constituency groups around campus, faculty senate, staff council, students, and deans, and there was also a working committee. The process started in September 2018, eight subcommittees presented capital requests to the core team which ranked the projects utilizing a capital evaluation planning criteria and system that was developed. The priorities vetted through the Capital Leadership Team were presented to President Stokes for approval before coming to the Regents for approval.

For Main Campus, the number one priority is the College of Fine Arts Renewal and Replacement project. Total cost is estimated at \$90 million, but the request will be phased in with an initial request for GO Bond funding of \$45 million. The second priority is for the Learning Environment and Technology Support project for wifi and new technology for classrooms estimated at \$3.5 million. For the Branch Campuses, UNM-Gallup Branch is asking for \$4.5 million GO Bond funding for a Center for Career Technologies Education project, with total project cost estimated at \$8 million. The Los Alamos Branch has two priorities, a Workforce Development/CTE Classroom Renovations project, with total estimated project cost at \$2.5 million, but this year's ask will be for \$1.875 million of GO Bond funding. The second UNM-LA Branch request is for Building 7 Renovations, and the request will be for \$225K of Severance Tax Bond (STB) funding, with the total project cost estimated at \$300K. UNM-Taos has a Career Tech Addition project, estimated at \$4.8 million; the request for this year will be \$3.6 million of GO Bond funding. Taos Campus' second priority is a Security and Safety Improvements project; they are asking for \$2.25 million of STB funding, with total project estimated at \$3 million. The UNM-Valencia Branch's capital project is for Fire Safety Improvements; they are asking for \$1.8 million GO Bond funding, with total project cost is estimated at \$2.4 million.

The total one-year FY2020 appropriations request for Main and Branch Campuses is \$92.750 million, \$86.7 for Main Campus and \$6.0 million for the Branches. The 5-year Capital Plan will also be submitted to the HED.

**The motion to approve the Main Campus and Branch Campuses' FY 2019-2020 appropriations requests and the Five Year Capital Plans passed with a vote of 6-1-0; Regents Begay, Brown, Lee, Henry, Schwartz and Sanchez Rael voted for; Regent Doughty voted opposed (1<sup>st</sup> Begay; 2<sup>nd</sup> Sanchez Rael).**

Regent Brown thanked the Budget Leadership Team and the Capital Planning Leadership Team for the tremendous amount of effort and hard work put into the budget and capital planning process.

For the next item, Regent Brown clarified The Meeting of the Member is an annual event that needs to occur for Lobo Development Corporation and Lobo Energy, Incorporated. The Meeting of the Member is stipulated in the bylaws of each entity, the 'Member' being the Board of Regents.

VOTE TO ADJOURN THE BOARD OF REGENTS FOR THE MEETINGS OF THE MEMBER

**The motion to adjourn the Board of Regents for the Board to be able to convene the annual Meeting of the Member of Lobo Development Corporation and of Lobo Energy, Inc. passed unanimously (1<sup>st</sup> Schwartz; 2<sup>nd</sup> Lee).**

Regent Brown asked Regent Lee, Chair of Lobo Development Corporation and the regent member on the Lobo Energy board, to take the gavel. There was a question about why there were two external audits on the agendas for approval. It was explained there was not a meeting of the member last year for either Lobo Development or Lobo Energy, so the 2017 meeting minutes and the FY17 audits are on the agenda for approval.

MEETING OF THE MEMBER – LOBO DEVELOPMENT CORPORATION (LDC)

Regent Lee called to order the LDC Meeting of the Member at 10:30 AM. Regent Lee asked for a motion to approve the minutes of the 2017 LDC Meeting of the Member; Regent Begay motioned; Regent Brown seconded; the motion passed unanimously.

Regent Lee said the audits had been provided to Regents prior to the meeting for review; Regent Lee asked for a motion to accept the FY17 and FY18 external audits; Regent Sanchez Rael motioned; Regent Brown seconded; the motion passed unanimously.

There being no further business, Regent Lee asked for a motion to adjourn the LDC annual meeting of the member; Regent Sanchez Rael motioned; Regent Doughty seconded; the vote to adjourn was unanimous. The meeting adjourned at 10:31 AM.

MEETING OF THE MEMBER – LOBO ENERGY, INC. (LEI)

Regent Lee convened the LEI Meeting of the Member at 10:31 AM. Regent Lee asked for a motion to approve the minutes of the 2017 LEI Meeting of the Member; Regent Schwartz motioned; Regent Doughty seconded; the motion passed unanimously.

Regent Lee asked for a motion to accept the FY17 and FY18 external audit reports; Regent Doughty motioned; Regent Schwartz seconded; motion passed unanimously.

There being no further business, Regent Lee asked for a motion to adjourn; Regent Sanchez Rael motioned; Regent Brown seconded; the vote to adjourn was unanimous. The meeting adjourned at 10:32 AM.

Regent Lee handed the gavel to Regent Brown.

VOTE TO RECONVENE BOARD OF REGENTS

The vote to reconvene the Board of Regents passed unanimously (1<sup>st</sup> Brown; 2<sup>nd</sup> Lee).

PUBLIC COMMENT (no comments)

Advisors' Comments

Adam Biederwolf, introduced himself as the new ASUNM President. Mr. Biederwolf is a junior, studying Business Administration. Regent President Brown welcomed Mr. Biederwolf.

Muhammad Afzaal Hussain, GPSA President, was introduced by Student Regent Melissa Henry on behalf of outgoing GPSA President, Boney Mutabazi. Mr. Hussain is a graduate student in the School of Architecture and Planning. Regent Brown welcomed Mr. Hussain.

Rob Burford, Staff Council President, spoke about the upcoming Staff Appreciation Week and acknowledged the meeting was his last meeting as President. Mr. Burford introduced President-Elect, Ryan Gregg. Regent Brown thanked Mr. Burford for his service and welcomed Mr. Gregg on board as an Advisor to the Regents.

#### Vote to Close the Meeting and Proceed in Executive Session

Before the meeting closed, Regent Schwartz commented he was surprised and disappointed about the budget decision and questioned the priorities that were demonstrated. There are needs in the academic units, faculty retention being one of them, yet all of the units are to receive a 1.5% cut, and they have to figure out how to absorb those cuts. On the other hand, Athletics has desperate needs as well and \$1.2 million was found and transferred to Athletics when Athletics' needs are no more desperate than the needs in the College of Arts and Sciences or College of Education. Regent Schwartz said he did not like the message that was being sent and that it would stay unless the Regents affirmatively change the budget for next year. Regent Schwartz added he hoped next year's budget discussions would be fuller and urged the Regents to restore the position of academics at the University to its first priority, the place where \$1.2 million ought to go if it is found someplace.

Regent Brown responded these are difficult priority decisions that the President of the University has to cope with every day, shortfalls in departments, for example UNM Press. It is important to send the message that all of the academic activities are vital to the University's mission, are the core of the mission. The University has to find ways to solve deficits in some of the auxiliary and ancillary activities in a way that is successful, and the hard work will continue.

Regent Begay said she appreciated the dialogue but wanted to also recognize the sadness and heavy hearts in the community for the recent loss of two UNM students and wanted to communicate a message of condolence to the families and also to the two families of the students who received the posthumous degrees.

Regent Brown asked for a motion to close the meeting and clarified he would take a roll call vote; the following votes were taken: Regent Lee-yes; Regent Doughty-yes; Regent Schwartz-yes; Regent Brown-yes; Regent Sanchez Rael-yes; Regent Begay-yes; Regent Henry-yes vote. The motion to close the meeting passed. The meeting closed at 10:46 PM. Members relocated to the Cherry Silver Room.

#### Executive Session Agenda

1. Discussions subject to attorney-client privilege pertaining to threatened or pending litigation as permitted by Section 10-15-1.H(7), NMSA (1978)

#### Vote to re-open the meeting and certification that only those matters described in the Closed Session Agenda were discussed in Closed Session, and if necessary, final action with regard to those matters will be taken in Open Session

The meeting re-opened at 12:48 PM; the doors to the Cherry Silver Room were opened. Regent Brown certified that only those matters described in the closed session agenda were discussed and confirmed there were no items upon action would be taken in open session.

**ADJOURN**

There being no further business, Regent Brown asked for a motion to adjourn; Regent Schwartz motioned; Student Regent Henry seconded; motion passed unanimously; the meeting adjourned at 12:49 PM.

**Approved:**

**Attest:**

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**Douglas M. Brown, President**

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**Sandra K. Begay, Secretary/Treasurer**

# ATTACHMENTS TO MINUTES OF THE MAY 9 UNM BOARD OF REGENTS REGULAR MEETING

## UNM Consolidated Budget

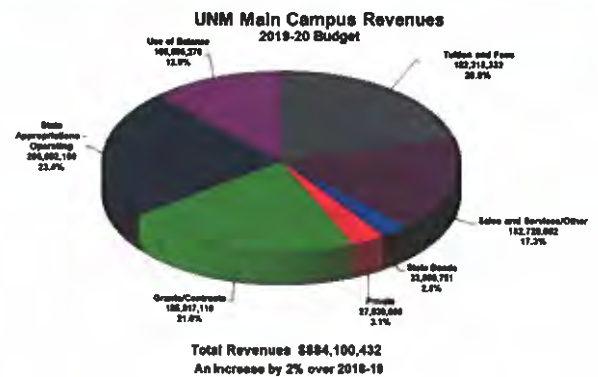
	FY 2019 Original Budget	FY 2020 Original Budget	% Change
UNM Main Campus	896,349,872	894,100,432	-2.0%
UNM Health Sciences Center	2,041,579,385	2,320,505,962	9.1%
UNM Branches	57,574,867	51,878,064	-1.3%
Total	2,995,518,267	3,162,484,098	5.8%

### ATTACHMENT A

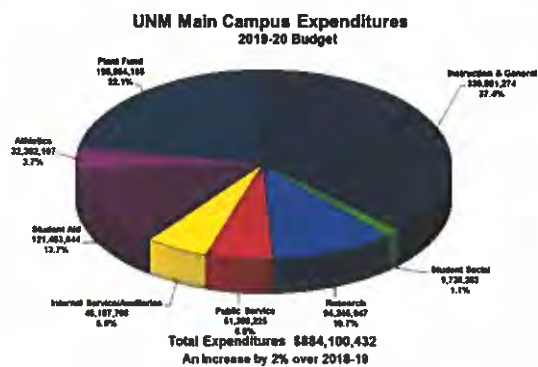
Attachment to Minutes of the May 9, 2019 BOR

Note: Included in your ebook materials is the FY 20 Operating and Capital Book that provides more details about the changes

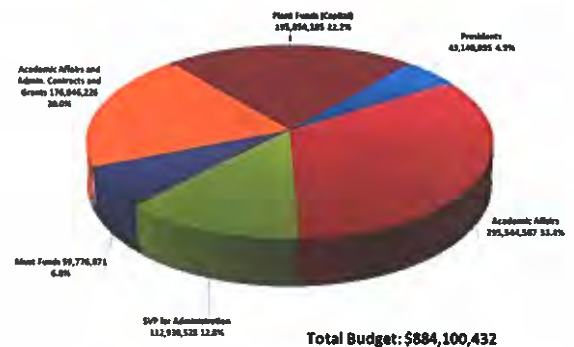
### ATTACHMENT A cont.



### ATTACHMENT A cont.



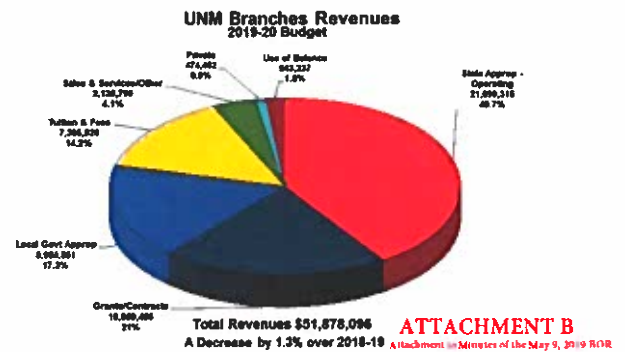
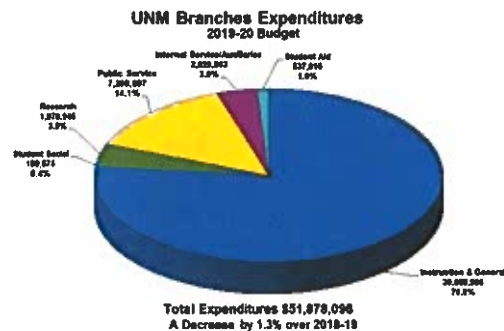
### FY20 MAIN CAMPUS BUDGET BY UNIT



### ATTACHMENT A cont.

Main Campus **ATTACHMENT A cont.**

- Main Campus-\$884.1M
- 2% Increase of \$17.7M
- Primary Drivers:
  - Spending on Capital Projects
  - Increase in State Appropriations \$14.9M
  - Compensation Increase and Increase in Group Health Insurance and Employer ERB contributions

**ATTACHMENT B cont.****Branch Campuses**

- Branch Campuses-\$51.9M
- 1.3% Net Decrease of \$697K
- Primary Drivers:
  - Federal Contract and Grants Revenue Decrease
  - State Appropriation Increase and Compensation Increase

**ATTACHMENT B cont.**

## Budget Adjustment Request (BAR)

- The FY 19 Original Operating and Capital Budget was submitted to HED last year.
- Budget to Actuals are monitored through the Monthly Financial Report presented by the UNM Controller
- The University is required to submit a revised budget to the HED by May 1 if year end projections show that actual revenue, transfers, or expenditure levels will exceed those initially budgeted. Note: HED does allow a post May 1 board approval.
- Must not exceed budget authority by exhibit --- 5.3.4.10 NMAC

### ATTACHMENT C

Attachment to Minutes of the May 9, 2019 BOD

## Budget Adjustment Request (BAR) Drivers

- Change in revenue and expenditure projections
- Use of reserves for one-time expenditures
- Increase in restricted grant and contract revenues and expenditures
- Other changes in transfers

Note: Included in your ebook materials is the FY 19 BAR booklet that provides more details about the changes

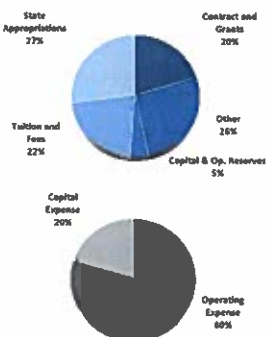
### ATTACHMENT C cont.



## Main Campus (Operating) and All UNM Capital Revenue & Expenses (In Millions)

Fiscal Year 2019	Original	Revised
Revenue	868.4	859.3
Expense	868.4	859.3

Net \$7.1M Decrease or -.8%



### ATTACHMENT C cont.

## Summary of Main Campus Operating and All UNM Capital Changes

- **\$7.1M or -.8% Net Decrease--Primary Drivers Revenues and Expenses:**
  - Tuition and Fees are down due to enrollment decline
  - Several capital projects did not move forward as quickly as anticipated
  - Special FY 19 General Fund Capital and Operating appropriations offset the overall use of capital project reserves. They will roll into the FY 19 year end reserves and be expended in FY 20. No change in overall **Net** revenues or expenses.
  - There were additional increases in revenues due to Land and Permanent Fund income as well as Student Aid, Public Svc and Auxiliary activities

### ATTACHMENT C cont.

### Summary of Branch Campus Changes

- **Gallup \$221K Increase, 1.3%**-primarily I&G expenditure authority.
- **Los Alamos \$120K Decrease, -2.1%**-primarily due to transfers out to BRR for capital projects.
- **Valencia \$1M Decrease, -6.2%**-primarily due to decrease in Restricted Contract and Grant Public Svc activity.
- **Taos \$462K Increase, 3.5%**- primarily due to increases in Restricted Contract and Grant activity and Public Svc digital media, literacy, and scholarships.

ATTACHMENT C cont.

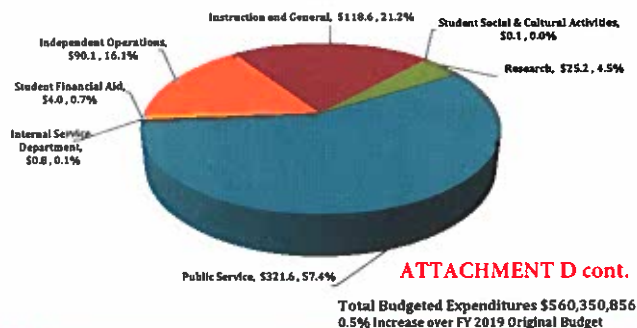
### ATTACHMENT D

#### UNM HSC Budget Adjustment Request (BAR)

- The FY 2019 Original Operating and Capital Budget was submitted to HED on June 1, 2018.
- The University is required to submit a Final Revised Budget to the HED by May 1, 2019.
- Must not exceed budget authority by exhibit --- 5.3.4.10 NMAC

*Note: Included in your ebook materials is the FY19 BAR booklet that provides more details about the changes*

### UNM HSC Expenditures – by Program FY 2019 Revised Budget (In millions)



ATTACHMENT D cont.

Total Budgeted Expenditures \$560,350,856  
0.5% Increase over FY 2019 Original Budget

### ATTACHMENT D cont.

#### UNM HSC Academic Enterprise Year-End Changes

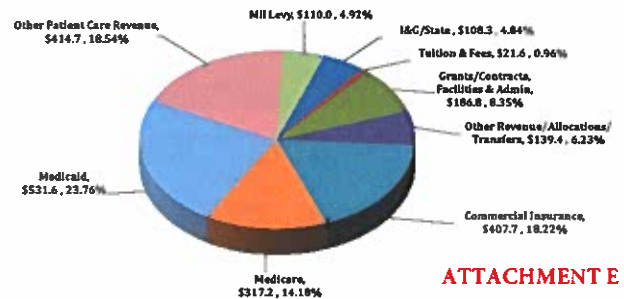
- **\$2.9M Increase** in Expenditure authority for FY 2019  
This increase is primarily due to the following:
  - \$2.9M represents 0.5% of the \$557.4M Original Total Unrestricted expense
  - **Public Service** expense increased primarily due Health System flow through activity
  - **Independent Operations** increased due to Carrie Tingley Hospital.
- **\$5.7M Increase** in Revenue including Transfers to offset expense

## UNM Hospitals Year-End Changes

- **\$88.5M Net Increase** in Revenue due to \$46.1M increase in patient volumes with increases in reimbursement from Medicaid and revenue cycle operational improvements. There is also an increase of \$15.3M associated with expansion of specialty pharmacy.
- This represents 6.3% of the \$1.09 billion original total budgeted revenue
- **\$64.5M Net Increase** in Expense due to increased volumes and patient care costs as well as increases in employee compensation.
- This represents 5.9% of the \$1.09 billion original total budgeted expense

ATTACHMENT D cont.

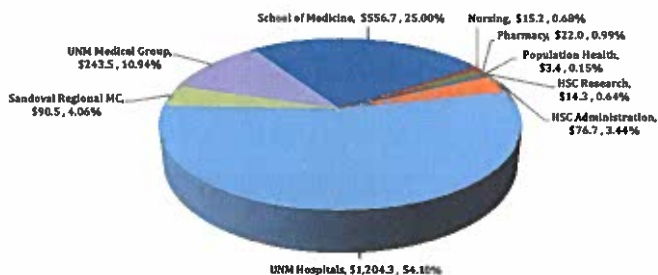
## UNM HSC All Components - Revenues FY 2020 Budget (In millions)



Note: Includes UNM HSC Academic Enterprise and UNM Health System

ATTACHMENT E  
Total Budgeted Revenues \$2,237,372,425  
9.6% Increase over FY 2019

## UNM HSC All Components - Expenditures FY 2020 Budget (In millions)



ATTACHMENT E cont.

Note: Includes UNM HSC Academic Enterprise and UNM Health System

Total Budgeted Expenditures \$2,226,505,425  
9.1% Increase over FY 2019 Original Budget

## UNM HSC Academic Enterprise

Comparative Analysis: Current Year - Next Year  
(In thousands)

	FY 2019 Original Budget	FY 2019 Revised Budget	FY 2020 Original Budget	Percent Change 2019-20
Total HSC Academic				
Revenues	\$660,661	\$653,352	\$689,780	5.6%
Expenses	660,621	649,813	688,232	5.9%
Net Margin	\$40	\$3,539	\$1,548	

- Revenue increase: 3.1% increase in base tuition for all Non-SOM programs and an overall I&G State funding increase of 6.7%
- Expense increase: 3.0% across-the-board compensation increase and approved additional increases of up to 2.0% based upon merit

## UNM HSC Academic Enterprise

FY 2020 Budget  
(In thousands)

	School of Medicine	College of Nursing	College of Pharmacy	College of Population Health	Research & General Admin.	HSC Academic Enterprise FY20 Total
Revenues	\$558,248	\$15,316	\$21,802	\$3,418	\$90,996	\$689,780
Expenses	\$56,669	15,162	21,956	3,432	91,013	\$688,232
Net Margin	\$1,579	\$154	(\$154)	(\$14)	(\$17)	\$1,546

ATTACHMENT E cont.

## UNM Hospitals

Comparative Analysis: Current Year - Next Year  
(In thousands)

	FY 2019 Original Budget	FY 2019 Revised Budget	FY 2020 Original Budget	Percent Change 2019-20
Revenues	\$1,090,730	\$1,158,737	\$1,208,692	4.3%
Expenses	1,090,727	1,154,756	1,204,303	4.3%
Net Margin	\$3	\$3,981	\$4,389	

- Net revenue increase of \$16.5 million driven by revenue cycle, operational improvements and volume increases after netting MCO rate reductions
- Expense increase to match increased volume, market compensation and inflationary increases

- \$21.5 million of Gross Receipts Tax budgeted in both revenue and expense.

## UNM Medical Group

Comparative Analysis: Current Year - Next Year  
(In thousands)

	FY 2019 Original Budget	FY 2019 Revised Budget	FY 2020 Original Budget	Percent Change 2019-20
Revenues	\$211,823	\$210,890	\$248,389	17.8%
Expenses	211,709	209,939	243,487	16.0%
Net Margin	\$114	\$951	\$4,902	

- Revenue increases are driven by 3.7% overall increase in wRVUs and new Upper Payment Limit (UPL) program
- Expense increase to match increased volume, market compensation and inflationary supply costs; offset by Huron operational efficiencies

## UNM Sandoval Regional Medical Center

Comparative Analysis: Current Year - Next Year  
(In thousands)

	FY 2019 Original Budget	FY 2019 Revised Budget	FY 2020 Original Budget	Percent Change 2019-20
Revenues	\$78,534	\$81,972	\$90,511	10.4%
Expenses	78,519	81,923	90,483	10.4%
Net Margin	\$16	\$49	\$28	

- Revenue increase is driven by greater volume, revenue cycle efficiencies and the addition of the Sandoval County Mill Levy
- Expense increase for the new Trauma and Behavioral Health programs, market compensation and inflationary supply costs

## UNM Health System

FY 2020 Budget  
(In thousands)

	UNM Hospitals	UNM Medical Group	UNM Sandoval Regional Medical Center	UNM Health System 6/30/2020 Total
Revenues	\$1,208,692	\$248,389	\$90,511	\$1,547,592
Expenses	1,204,303	243,487	90,483	1,538,273
Net Margin	<u>\$4,389</u>	<u>\$4,902</u>	<u>\$28</u>	<u>\$9,319</u>

## UNM Health Sciences Center

FY 2020 Budget  
(In thousands)

	HSC Academic Enterprise	UNM Health System	HSC Total
Revenues	\$689,780	\$1,547,592	\$2,237,372
Expenses	688,232	1,538,273	2,226,505
Net Margin	<u>\$1,548</u>	<u>\$9,319</u>	<u>\$10,867</u>

ATTACHMENT E cont.

Attachment to Minutes of the May 9, 2019 BOR

**Minutes of the Special Meeting of the  
Board of Regents of the University of New Mexico  
June 17, 2019  
Roberts Room, Scholes Hall, Main Campus**

Members present

Douglas M. Brown, President; Kim Sanchez Rael, Vice President; Sandra K. Begay, Secretary  
Treasurer (telephonically); Rob Doughty; Melissa Henry; Marron Lee; Robert Schwartz

Administration present

Garnett S. Stokes, President; Paul Roth, EVP and Chancellor for Health Sciences Center; Loretta Martinez, Chief Legal Counsel; Richard Larson, Executive Vice Chancellor for HSC; Eddie Nuñez, Athletics Director; Dana Allen, VP Alumni Relations; Terry Babbitt, President's Chief of Staff; and others

Presenters in attendance

Bonnie Leigh Reifsteck, Interim Director of the Policy Office; Tom Neale, Director of the Real Estate Office; Bonnie White, UNM Hospitals CFO

Others in attendance

Members of administration, faculty, staff, students, the media and others.

CALL TO ORDER, CONFIRMATION OF A QUORUM, ADOPTION OF THE AGENDA

Regent President Douglas M. Brown called the meeting to order at 9:03 AM and confirmed a quorum. Six members were present in person; Regent Sandra Begay attended telephonically.

**The motion to adopt the agenda passed with a unanimous vote in favor (1<sup>st</sup> Lee; 2<sup>nd</sup> Schwartz).**

PUBLIC COMMENT (no comments)

APPROVAL OF REGENTS' POLICY MANUAL (RPM) REVISIONS:

- A. Revised/Consolidated Policy RPM 2.3 ("Equal Opportunity; Affirmative Action, Anti-Harassment, and Anti-Retaliation")  
[To replace RPM 2.3 ("Equal Opportunity & Affirmative Action for Employees & Students"), and to replace and rescind RPM 2.4 ("Diversity and Campus Climate") and RPM 2.5 ("Sexual Harassment")]
- B. Revised Policy RPM 3.7 ("Health Sciences Center Institutional Compliance Program")
- C. New Policy RPM 3.8 ("Institutional HIPAA Compliance Program")

Bonnie Leigh Reifsteck presented the item. Chief Legal Counsel, Loretta Martinez and Chancellor Roth were available to answer questions. The revisions are part of a full set of proposed Regents' Policy Manual (RPM) revisions, but these particular policies and revisions have more urgent timing requirements for approval as they relate to accreditation or national compliance regulations. Regents' Policy 3.8 regarding HIPAA was discussed at the most recent Regents' Audit and Compliance Committee meeting. Dr. Roth added the policies, being Regents' Policies, apply to the whole university. During the site visit for the School of Medicine's accreditation, it was pointed out that the Regents' Policy on anti-discrimination did not include gender identities, so these changes add that as another category.

Regent Brown asked for one motion to approve all of the proposed revisions.

**The motion to approve the three proposed Regents' Policy Manual revisions passed with a unanimous vote (1<sup>st</sup> Schwartz; 2<sup>nd</sup> Henry).**

#### APPROVAL OF PROPERTY ACQUISITION FROM DONOR

Tom Neale presented the item. In 2016, the administration, dean of the school of architecture and planning, and Antoine Predock, negotiated a donation of a vast amount of archival material as well as a bargain sale for a complex of his residential properties and his office and studio. In May 2017, the Regents were briefed and approved the naming rights for the facility, but there is no record of Regents' approval of the acquisition. This came up when UNM went to HED to request capital project approval, for the studio and office complex, that had been approved by the Board of Regents at its November 2018 meeting. From a real estate perspective, it was a good transaction. The Foundation paid \$700K for \$1.675 million worth of real property. The Foundation sold the balance of the properties and deeded to UNM in 2017 what has become the Predock Center for Design and Research. The request is for official Board of Regents' approval on the property donation that occurred in 2017.

Regent Marron Lee explained that this was not an oversight, because this came to Finance and Facilities Committee about two years ago, and the understanding at the time was that the Regents did not have to approve the acquisition because it was a project that was going to go through the Foundation. Mr. Neale confirmed the original acquisition was done by the Foundation, then the Foundation, after selling some of the properties, deeded the remaining property to UNM. From the HED standpoint, even if title of a property is received through donation, it still needs Regents' approval.

Regent Brown inquired about and requested there will be appropriate signage on the site that will acknowledge Antoine Predock, someone who has made great contributions to the University and the world of architecture.

**The motion to approve the acquisition of the Antoine Predock Studios and Office 300-308 12<sup>th</sup> Street NW, Albuquerque, New Mexico passed with a unanimous vote (1<sup>st</sup> Lee; 2<sup>nd</sup> Henry).**

#### APPROVAL OF ANNUAL RENEWAL OF MAUI HPCC LEASE

Tom Neale presented the item. This lease renewal for the Maui High Performance Computing Center (HPCC) has been done every year since 1991. It's a renewal of the master lease that Regents are asked to approve every June. This started out as an award to UNM to set up and operate the HPCC. In 2001, the Air Force Research Laboratory awarded the contract to the University of Hawaii, and at that point the property was sublet to the Research Corporation of the University of Hawaii until agreement terms ran out in 2013 and in the same year, UNM entered into an occupancy agreement directly with the United States of America (Government). Although the lease document allows UNM to terminate for financial reasons, it is viewed that UNM has a procuring cause and moral obligation to go forward with the lease. The term for the master lease expires in 2025, at which time the equity that has been built up will accrue to the University. The federal government entered into a new sublease effective 2018 for one-year with four annual renewal options through 2023.

Regent Sanchez Rael inquired if there is a net cash cost for the University to maintain this. Mr. Neale responded on average there is about \$5-10K leakage from the University's coffers, but it depends year to year, because the payments under the Master Lease are not level.

Regent Brown inquired if the University is actively utilizing the site for academic purposes. Mr. Neale responded the entire facility is leased to the Government, roughly 30,000 square feet of space with a computer center in the facility, as part of the DOD mission, tracking space objects. There was discussion about the government's commitment to stay at the facility; Mr. Neale said it has invested \$5-6 million in the facility over the last five years. Regent Brown clarified that if this is not being utilized for academic purposes, then for UNM it is more like an investment play. Mr. Neale explained that a

purchase option for the University began in 2000, whereby UNM can purchase the property at any time for \$9.165 million. UNM's net cost however is significantly less and declines with every year of the agreement.

Regent Lee asked Mr. Neale to explain a little more about the time when the DOD came in. Mr. Neale explained that Chaouki Abdallah helped to secure the federal government lease. Since then, the roof has been replaced and other maintenance and significant upgrades have taken place at the Government's cost. Mr. Neale added this is an absolute net lease, where the Government pays all operating expenses. The University's maintenance obligations are limited to the structural components of the building.

Regent Doughty stated that now he has seen this annual renewal for the fifth time, and he has stated every year this was a wrong decision, that the University should not be in the business of investing in real estate in Maui. Regent Doughty said he was not going to vote against this, because UNM's hands are tied, but he urged that if there is a way to get out of this with a positive outcome for the University, the University should do so.

**The motion to approve the renewal of the Maui High Performance Computing Center Lease passed with a unanimous vote in favor (1<sup>st</sup> Lee; 2<sup>nd</sup> Schwartz).**

#### APPROVAL OF JAGGEAR SOFTWARE RENEWAL

Bruce Cherrin presented the item. This is a renewal of one of the major software packages the University utilizes for business operations. This includes such areas as purchasing, accounts payable, and contract management. This company was formerly named SciQuest and procurement was delivered within the Banner proposal by Ellucian (then SCT) in 2006. UNM was one of the first universities to work with SCT to develop the first interface between an ERP and e-procurement system. The proposed contract is for eight (8) years and prices for the next 8 years have been negotiated with minimal increases, with annual costs ranging from \$582K to \$606K. The actual contract does not expire for two years, but negotiations were necessary early on in case they didn't work out and another vender was needed. Mr. Cherrin said the contract if approved will be executed on June 30. Approval is required for any contract amount over \$1 million.

**The motion to approve the Jaggear Software Renewal passed with a unanimous vote in favor (1<sup>st</sup> Lee; 2<sup>nd</sup> Schwartz).**

#### APPROVAL: HEALTH SCIENCES CENTER – UNMH CONTRACTS

Bonnie White presented the item. Chancellor Roth was available to answer questions.

Regent Rob Schwartz recommended approving the HSC items in one motion, because the contracts and capital projects have had a substantive review and reliable review by responsible parties and the Hospital Board of Trustees has also approved them. Dr. Roth confirmed that all items that eventually make it to the Regents for approval, having to do with the clinical enterprise of the health system, all receive previous governance review, whether it's the medical group, SRMC or UNM Hospital. In each of those cases, there is a separate board, for which the Regents approve members, and finance committees of those boards review such items. In these cases, both the contracts and capital projects, the external consultant, Huron, generated these requests so as to reduce the cost to the institution. They have also been reviewed by management. Bonnie White and Kate Becker presented these to the Hospital Board's Finance Committee. Regent Brown said the confirmation that appropriate processes were followed should be the point of concern for the Regents and not whether each item should be purchased or not. (The motion to approve this item is included with the next item)

- A. Stryker/K2M, Medtronic, Nuvasine, DePuy Synthes, Globus Medical Noah America, Inc., Seaspine Sales, LLC, Ulrich Medical USA, Zimmer Biomet US, Inc. - \$5,418,472
- B. Hospital Environmental Supplies - \$1,000,000
- C. Lifeguard Program Rotor Services - \$2,000,000 annually
- D. Cost Accounting Software - \$2,500,000 total
- E. Hospital System Hand Hygiene Project - \$1,000,000
- F. Spinal Cord Stimulation - \$1,200,000 annually
- G. Cochlear Implants - \$1,400,000 annually
- H. Computer Assisted Surgery, Instrumentation and Disposables - \$2,500,000 annually
- I. Pyxis Supply Stations - \$1,004,000 annually
- J. Hospital Waste Management - \$1,000,000 annually
- K. Accounts Receivable Management - \$6,000,000 annually
- L. Mortgage Banker – dependent on HUD mortgage final loan

**APPROVAL: HEALTH SCIENCES CENTER – CAPITAL PROJECTS**

Bonnie White presented the item. Chancellor Roth was available to answer questions.

- A. UH Main-Basement-Server Room HVAC Upgrade - \$633,200
- B. UH Main-Basement Machine Room 8 – Medical Air Compressor Replacement - \$858,700
- C. UH-Main-Cardiac Cath Lab-1 Replacement - \$800,000
- D. UH-Main – OR-Air Handler Upgrades - \$1,265,200
- E. BBRP – Mechanical Room – AHU Upgrade - \$972,900

**The motion to approve the Health Sciences Center UNM Hospital Contracts and Capital Projects as listed passed with a unanimous vote in favor (1<sup>st</sup> Doughty; 2<sup>nd</sup> Schwartz).**

**REQUEST TO INCREASE THE GENERAL OBLIGATION BOND APPLICATION TO HED FOR THE PROPOSED COLLEGE OF NURSING/COLLEGE OF POPULATION HEALTH BUILDING FROM \$30 MILL AS PREVIOUSLY APPROVED BY THE REGENTS, TO \$33 MILLION**

Chancellor Roth presented the item. The Regents had approved at its previous meeting the request for \$30 million for a capital project for the College of Nursing and College of Population Health Building. After further review, it was concluded not enough space was included in the original estimate to accommodate moving a number of faculty that are currently based in another facility. So the request is to increase the project by another \$3 million so that all the faculty from the College of Nursing can be brought together into one building. This is request for an amendment to the initial capital project, from \$30 million, to \$33 million, which will allow for 6,000-7,000 additional square footage.

**The motion to approve the increase from \$30 million to \$33 million the General Obligation Bond application to the HED for the proposed College of Nursing/College of Population Health Building passed with a unanimous vote in favor (1<sup>st</sup> Schwartz; 2<sup>nd</sup> Sanchez Rael).**

**PUBLIC COMMENT** (no comments)

**ADJOURN**

There being no further business, Regent Brown asked for a motion to adjourn; Regent Lee motioned; Regent Sanchez Rael seconded; motion passed unanimously; the meeting adjourned at 9:38 AM.

**Approved:**

**Attest:**

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**Douglas M. Brown, President**

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**Sandra K. Begay, Secretary/Treasurer**

**Minutes of the Special Meeting of the  
Board of Regents of the University of New Mexico  
July 10, 2019  
Domenici Center for Health Sciences Education, North Building, Room 3761,  
North Campus**

Members present

Douglas M. Brown, President; Kim Sanchez Rael, Vice President; Sandra K. Begay, Secretary  
Treasurer; Melissa Henry; Marron Lee (telephonically); Robert Schwartz

Members not present

Rob Doughty

Administration present

Garnett S. Stokes, President; Paul Roth, EVP and Chancellor for Health Sciences Center; Loretta Martinez, Chief Legal Counsel; Richard Larson, Executive Vice Chancellor for HSC; Michael Richards, Vice Chancellor for Clinical Affairs, HSC; Teresa Costantinidis, Senior Vice President for Finance and Administration; Ava Lovell, Sr. Exec. Officer for Finance and Administration, HSC

Presenters in attendance

Cheryl Willman, Director and CEO, UNM Comprehensive Cancer Center

Others in attendance

Members of HSC and UNM Cancer Center administration, deans, staff, faculty and others.

CALL TO ORDER, CONFIRMATION OF A QUORUM, ADOPTION OF THE AGENDA

Regent President Douglas M. Brown called the meeting to order at 8:32 AM and confirmed a quorum. Five members were present in person; Regent Marron Lee attended the meeting telephonically; however, there were audio issues at the meeting location side that could not be resolved. Regent Lee had to disconnect from the meeting before action on the Cancer Center agenda item took place.

**The motion to adopt the agenda passed with a unanimous vote in favor (1<sup>st</sup> Begay; 2<sup>nd</sup> Schwartz).**

Request for Approval UNM Comprehensive Cancer Center Capital Project: Radiation Oncology and GMP Laboratory Expansion

Dr. Cheryl Willman presented the item. The request is for approval to seek general fund surplus support through the State of New Mexico Higher Education Department (HED) and to present this project during the January 2020 Legislative Finance Committee. Dr. Willman opened with a brief overview of the Cancer Center.

Dr. Willman spoke about the challenges and what led to the request. Over a year ago, a federal executive order reduced reimbursement from CMS (Center for Medicare/Medicaid) for public safety-net hospitals and cancer centers that participated in the 340B drug discount program. This led to a \$10 million loss in Cancer Center funding and put difficult financial strains in multiple areas. Additionally, there has been a huge increase in patient volume. The Cancer Center has been running about 525 new patients per month over the last year, and that number has grown in the last 3 months to about 800 per month. Patients are coming from all over the state and the Cancer Center is currently at capacity. These factors have led to an insufficient capacity of radiation oncology. Currently, at capacity about 75 patients per day can be treated, but the need has grown to 95 patients per day. One of the instruments the Cancer Center has, the TomoTherapy Accelerator, which does treat a high-volume number of patients, will no longer be serviced by the company and so will be out of service by 2021. In the area of new modalities, Stereotactic Radiosurgery (SRS), an alternative to invasive

surgery, utilizes radiation beams to treat tumors. This kind of radiation oncology is important to new Neurosurgical Department recruits. Dr. Willman discussed other new opportunities that contribute to the project need, including UNM's bone marrow and stem cell transplantation & cell-based immunotherapies, the only FACT Accredited program in the state, and she talked about the infrastructure needs for laboratories, theranostics, RO/IR interventional radiology, new vault and linear accelerator. Dr. Willman briefly discussed the renovation and new construction plans. The total project cost, including construction and equipment, is \$22 million. It has been proposed the request be for either capital outlay or general surplus funds. [See **Attachment A** - slides presented by Dr. Willman]

This project will provide two new cancer treatment modalities, transplantation immunotherapy and very advanced theranostics and radiosurgery that do not exist in New Mexico and that only UNM can provide. The Cancer Center continues to address huge issues with increased patient volume.

Regent Brown inquired what the replacement plan for the outgoing TomoTherapy machine was. Dr. Willman responded the plan is to replace it with a standard linear accelerator that can do high volume and serve those patients who will not need stereotactic treatment. The challenge with the SRS machines is the treatment is very low volume, treating only 1-2 patients per day.

There was clarification the meeting was noticed as a full Board of Regents meeting. Regent President Brown asked for a motion to approve the agenda item.

**The motion to approve the Cancer Center capital project, Radiation Oncology and GMP Laboratory Expansion, passed with a unanimous vote (1<sup>st</sup> Begay; 2<sup>nd</sup> Schwartz).**

Regent Rob Schwartz commented the Cancer Center is a treasure in New Mexico. There are probably few people in the state who have not had contact with the UNM Cancer Center in one form or another. It is a truly remarkable place and an extraordinary institution, from front to back.

ADJOURN

There being no further business, Regent Brown asked for a motion to adjourn; Regent Begay motioned; Student Regent Melissa Henry seconded; motion passed unanimously; the meeting adjourned at 8:53 AM.

**Approved:**

**Attest:**

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**Douglas M. Brown, President**

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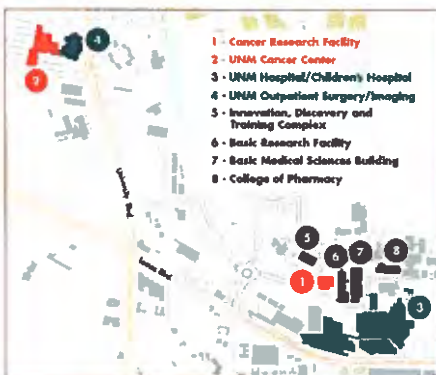
**Sandra K. Begay, Secretary/Treasurer**

**Request for Approval:**

**UNM Cancer Treatment & Clinical Research Facility  
Phase III: Radiation Oncology and GMP Laboratory Expansion**

**Collaboration Between UNM Comprehensive Cancer Center,  
UNM College of Pharmacy, UNM Hospital, and School of Medicine  
Departments of Radiology, Internal Medicine, and Pathology**

**UNM Cancer Treatment & Clinical Research Facility  
Phase III Expansion: Radiation Oncology and GMP Laboratories**



**UNM Cancer Treatment & Clinical Research Facility  
History & Function**

- **Phase I:** Completed 2009; 206,432 sq. ft.
- **Cost:** \$140 million; Fully funded by State GO Bonds (Cigarette Tax), Capital Outlay, Institutional, Donor Funds
- **Phase II:** Completed 2016 (Finish Out of Shelled Space); 2<sup>nd</sup> Floor: Expanded Clinics; 4<sup>th</sup> Floor: Infusion Suite Expansion and Suite for Transplantation/Immunotherapy
- **Cost:** \$12 Million (UNMCCC Reserves)
- **Function:** Fully integrated cancer clinical practice with multidisciplinary clinics for medical, gynecologic, radiation, surgical, and dermatologic oncology specialties; 2 infusion suites (72 chairs/bays); UNM Women's Cancer Center; 3 ORs /minor procedure rooms; Cyclotron; Cancer Clinical Trials Program; Adjacency to OSIS Imaging
- **Service:** 7 Days Per Week
- **Exemplary Accreditations:** NCI, TJC, ACR, ASCO QOPI, Commission on Cancer, USN&WR

## UNM Cancer Treatment & Clinical Research Facility Phase III Expansion: Radiation Oncology and GMP Laboratories

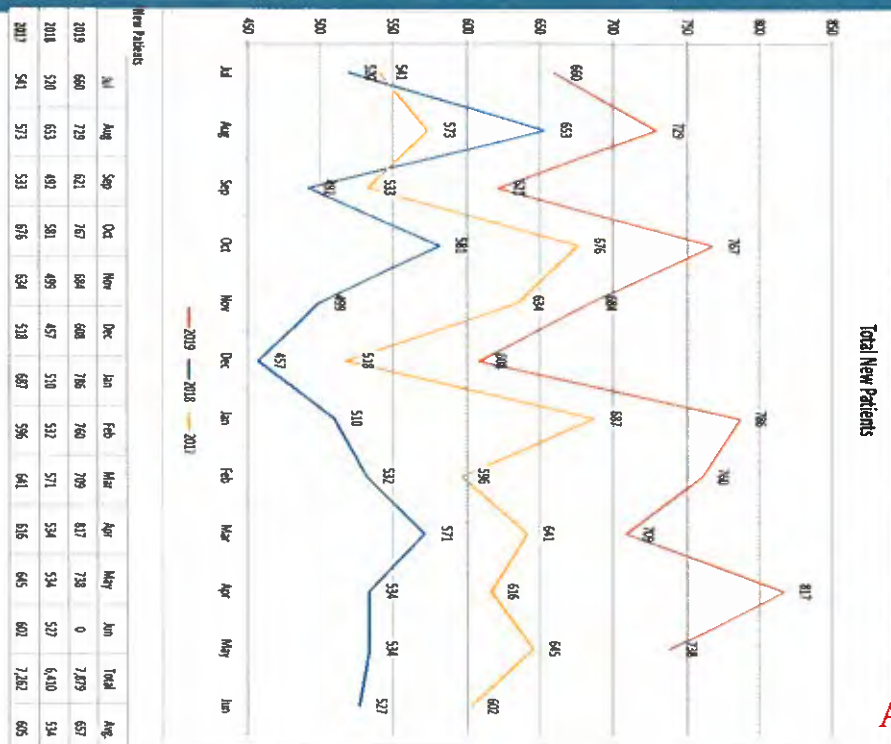


### UNM Cancer Treatment & Clinical Research Facility The Need: Challenges

- **CMS Reimbursement Reductions for Cancer Drugs (340B):** \$10 Million Annual Revenue Loss
- **Significant Increase in Patient Volumes: 525 vs. 800 New Patients Per Month (External Referrals, VA, Dermatology)**
- **Insufficient Radiation Oncology (RO) Capacity (4 Vaults: 3 Accelerators; 1 HDR):** Capacity: 75 Pts/Day; Demand: 95 Pts/Day; RO Clinics: 7:00 AM to 9:30 PM
- **Tomotherapy Accelerator (IMRT; 25 Pts/Day):** Recently Informed at End of Life in 2021 / No Further Service Contracts
- **Lack of State of the Art Stereotactic Radiosurgery Capabilities (Integrated RO/Neurosurgery Practice):** Required Sending Patients to Lovelace (Gamma Knife) or Out of State
- **UNMCCC:** Fully Occupied Office/Administrative Space

Attachment A cont.

## UNM Cancer Treatment & Clinical Research Facility Phase III Expansion: Radiation Oncology and GMP Laboratories



Attachment A cont.

## UNM Cancer Treatment & Clinical Research Facility Phase III Expansion: Radiation Oncology and GMP Laboratories



Matthew Fero, MD, PhD



Leslie Andritsos, MD



Heloisa Soares, MD, PhD



Reed Selwyn, PhD



Joanna Fair, MD

### UNM Cancer Treatment & Clinical Research Facility

#### The Need: New Opportunities

#### Building Cancer Service Lines Not Currently Available for New Mexico's Cancer Patients

- **Bone Marrow and Stem Cell Transplantation & Cell-Based Immunotherapies (Only FACT Accredited Program in NM)**
- **Infrastructure Need:** GMP Cell Processing Laboratory for Clinical Program and Access to New Therapies from Industry
- **Targeted Radioisotopes / Theranostics**
- **Infrastructure Need:** GMP Radiochemistry and Radiopharmacy Laboratory for Isotope Synthesis/Handling
- **Infrastructure Need:** Theranostics Shielded Infusion Suite
- **Infrastructure Need:** RO/IR Interventional Radiology Room
- **Stereotactic Radiosurgery (Brain & Spinal Cord Tumors; Organ Metastases; Vascular Malformations)**
- **Infrastructure Need:** New Vault and Linear Accelerator

Attachment A cont.

## UNM Cancer Treatment & Clinical Research Facility Phase III Expansion: Radiation Oncology and GMP Laboratories

### UNM Cancer Treatment & Clinical Research Facility Process

- UNMCCC Approved to Contract with Huitt-Zollars, Inc. (Approved UNM Vendor) for Assessment and Program Planning
- UNMCCC Formed Planning and Advisory Committee:

#### UNM Comprehensive Cancer Center:

- Richard Lauer, MD, CMO
- Thomas Schroeder, MD, RO
- Heloisa Soares, MD, Theranostics
- Rodney Martinez, CFO
- Stewart Livsie, UNMCCC Facilities

#### SOM: Radiology

- Gary Mlady, MD, Chair
- William Schaeffer, MD, IR
- Reed Selwyn, Ph.D.
- Saeed Elojeimy, MD

#### SOM: Department of Pathology

- Douglas Clark, MD, Chair
- Jay Raval, MD, Transfusion Med

#### SOM Chair of Neurosurgery

#### SOM: Department of Internal Medicine

- Leslie Andritsos, MD
- Matthew Fero, MD
- Jigar Trivedi, PharmD

#### College of Pharmacy:

- Don Godwin, PharmD, Dean
- Jay Simon, PhD, PharmD
- Kristina Wittstrom

#### UNMH:

- Michael Chicarelli, DNP, COO
- Enrico Volpato

#### UNM Health Sciences Center:

- Ava Lovell, MA
- Ryan Reynolds

- Scope and Plan Presented to and Approved by Unanimously by HSC Operations (4/3/19) and HSC Executive Committee (4/12/19)

Attachment A cont.

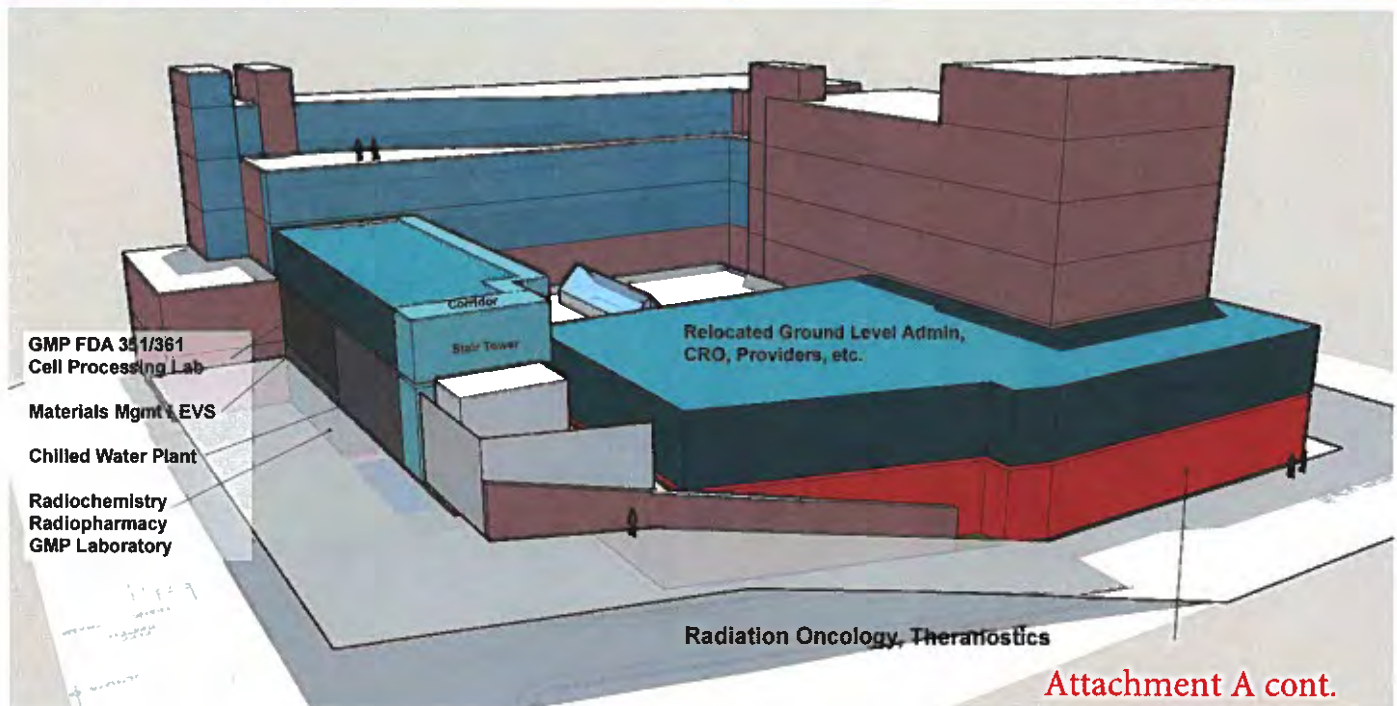
## UNM Cancer Treatment & Clinical Research Facility Phase III Expansion: Radiation Oncology and GMP Laboratories

### UNM Cancer Treatment & Clinical Research Facility Facility Plan and Program

- 1. Radiation Oncology Expansion / Theranostics (New 11,060 GSF; Ground Floor)**
  - New Radiation Oncology Vault: State of the Art Linear Accelerator for *Sub-millimeter* Tolerance Stereotactic RadioSurgery (SRS).
  - Replacement Linear Accelerator for end-of-life Tomotherapy (Renovating Existing Vault).
  - New Theranostics Therapy Suite: Shielded Suite for Intravenous Delivery of Therapeutic Radioisotopes Targeted to Specific Receptors on Cancer Cells (NET, Pancreas, Prostate)
  - Interventional Radiology Room for Intra-Body/Organ Delivery of Targeted Radioisotopes
- 2. GMP Radiopharmacy / Radiochemistry Laboratory for Theranostics**
  - Renovation of Existing Shelled 1410 GSF for GMP Laboratory to Locally Produce, Synthesize, Package, and Distribute Innovative New Particle Therapies (FDA approved; Clinical Trials)
- 3. GMP Cell Based Processing Laboratory for Transplantation & Immunotherapy**
  - New 2700 GSF, FDA 351/361 certified cGMP Laboratory: Support FACT Accredited Marrow and Stem Cell Transplant Program; Processing of New Cell Based Immunotherapies (CAR-T Cells; Cancer Vaccines)
- 4. Administrative / Office Space (11,060 GSF): Clinical Research Office; Administration, IT**

Attachment A cont.

## Phase III – Radiation Oncology and GMP Laboratory Expansion Site Massing Diagrams



Attachment A cont.

Ground, First Floor of Proposed North Expansion



COMPREHENSIVE  
CANCER CENTER

## Phase III – Radiation Oncology and GMP Laboratory Expansion

### Proposed Floor Plan – Ground Floor

#### GROUND FLOOR

##### Renovation

- 3,870 Level G entry and expanded Lobby Services
- Replace Tomotherapy Equipment in Renovated Vault
- 1,410 SF GMP Radiochemistry & Radiopharmacy Laboratory

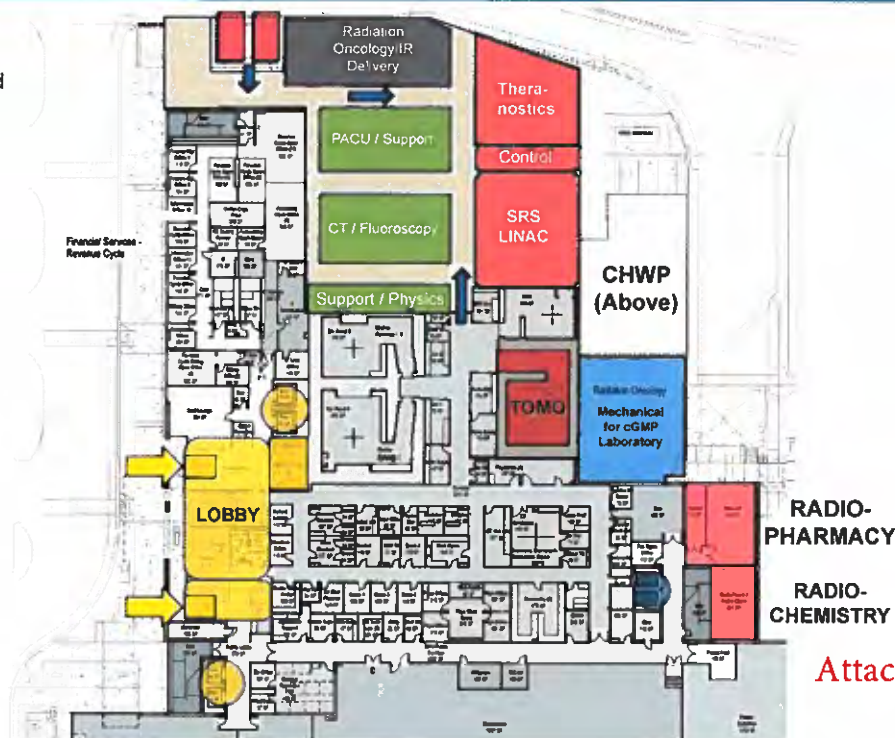
##### New Construction

- 9,450 SF Radiation Oncology Expansion; 1 New Vault
- 1,610 SF Interventional Radiology/Radiation Oncology
- Relocated Ambulance Entry
- 1,950 SF GMP FDA 351/361 Cellular Therapy Lab

#### LEVEL 1

##### New Construction

- 1,950 SF Warehouse, Materials Management, and EVS
- New Chilled Water Plant
- New Cooling Towers



Attachment A cont.



COMPREHENSIVE  
CANCER CENTER

## Phase III – Radiation Oncology and GMP Laboratory Expansion

### Proposed Floor Plan – Second Floor

#### LEVEL 2

##### New Construction

- 2,700 SF Cellular Therapy Lab – 361/cGMP 351



Attachment A cont.

**Total Project Cost: \$22 Million**

### **Potential Project Funding**

- **Capital Outlay**
- **General Fund Surplus**

### **Project Benefits**

- **Delivers New Cancer Treatment Modalities Not Available in New Mexico that Only UNM Can Provide**
- **Addresses Increasing Cancer Patient Volumes and Demands**
- **Provides New Advanced and Enhanced Medical (Physician) and Technical (Health Professions) Education and Training Programs in Pharmacy and Medicine**
- **Provides Infrastructure for Significant Clinical/Translational Research Opportunities and UNM Main Campus Collaborations (Engineering)**

**Attachment A cont.**

The AGB Consulting logo is displayed in white text against a dark purple background. The letters 'AGB' are in a bold, sans-serif font, followed by 'CONSULTING' in a regular weight. A thin white horizontal line is positioned beneath the word 'CONSULTING'.

AGB CONSULTING

## University of New Mexico

Kevin Reilly, Ph.D.

AGB Senior Consultant

Monday, July 8, 2019

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1. An effective board understands and respects the vital difference between governing and managing, and it nurtures and supports presidential leadership.

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## Consequential Boards and Committees: Hallmarks of an Effective Board

2. An effective public board always balances the institution's interests and welfare with the needs and priorities of the state.



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## Consequential Boards and Committees: Hallmarks of an Effective Board

3. An effective public board balances advocacy and oversight.



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## Consequential Boards and Committees: Hallmarks of an Effective Board

4. An effective board observes and imposes the highest ethical standards and avoids even an appearance of conflict of interest.

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## Consequential Boards and Committees: Hallmarks of an Effective Board

5. An effective board, even when sharply divided, speaks with one voice.

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## Consequential Boards and Committees: Hallmarks of an Effective Board

6. An effective board listens to and learns from the institution's constituencies without giving any of them a veto.

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## Consequential Boards and Committees: Hallmarks of an Effective Board

7. An effective board nurtures and enhances the legacy of the institution.

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## Consequential Boards and Committees: Hallmarks of an Effective Board

8. An effective board recognizes its special responsibility to students for the quality and value of their educational experience.

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## Consequential Boards and Committees: Hallmarks of an Effective Board

9. An effective board represents and advocates for the institution in the larger community.

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## Consequential Boards and Committees: Hallmarks of an Effective Board

10. An effective board commits itself and the institution to due process and academic freedom for faculty and students.

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## Consequential Boards and Committees: Hallmarks of an Effective Board

11. An effective board commits adequate time and energy not only to its basic tasks but also to the enjoyment of the board experience.

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## Board Culture

### HEALTHY

- Team players
- Distributed influence
- Collective wisdom
- Engaged listeners
- Constructive dissent
- Transparency
- Confidentiality
- Diligence
- Mutual accountability

### UNHEALTHY

- Huddle of quarterbacks
- Dominant inner circle
- Individual convictions
- Assertive speakers
- Back channel sabotage
- Obfuscation
- Leaks
- Disengagement
- Collective impunity

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## Management vs. Governance

### MANAGEMENT

- What do we pay out teachers?
- Who got a raise this year?
- Will you hire my brother-in-law?

### GOVERNANCE

- Do we have a compensation philosophy and how is the resulting policy administrated?
- Are you satisfied with our employee and turnover performance? Why?

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Source: Texas State Technical College, 2016

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# Management vs. Governance

## MANAGEMENT

- How many gallons of gas do we use in our police cars?
- What is our kilowatt/hour rate?
- Do we have low-flush toilets?

## GOVERNANCE

- Tell me about our emergency management policies? How do we know that they result in prudent use of energy?
- What obstacles should we address that prevent us from employing helpful conservation methods?
- What's the next time we refresh our master plan & should we consider green standards?

# Management vs. Governance

## MANAGEMENT

- What is enrollment in "Program x" this semester?
- How many recruiters do we have?
- How many dual-credit classes did we teach?

## GOVERNANCE

- What are our growth markets in the future & how will we sell into those segments?
- Are you happy with our product mix? Why?
- How do we assess the viability of our programs? What role does dual credit play in program growth?
- How's our growth compared to the state rates & to the growth in our local high school graduation classes?

# Management vs. Governance

## MANAGEMENT

- Why is the grass so tall?
- Can we please paint the boardroom?
- How much will we raise our tuition rates?

## GOVERNANCE

- How do we prioritize our capital?
- Are there functions we should consider outsourcing? Why?
- How does our revenue mix compare to our peer institutions?
- What is our long-term dependence on tuition & what are the alternatives we could consider?
- What is the students' sensitivity to tuition increases? How do we know?

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Source: Texas State Technical College, 2016.

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# Management vs. Governance

## MANAGEMENT

- Why didn't we name Smith as our starting pitcher last night?
- What's the theme of the Awards Banquet this year?
- What's the gross margin of the Bookstore?

## GOVERNANCE

- How do graduation and placement rates of our student athletes compare with the rest of the student body? Why?
- What could the Board do to improve the level of donations made to the college?
- Why do we operate these various auxiliary functions? What are the fiscal & non-fiscal benefits & cost? Are there alternatives?

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Source: Texas State Technical College, 2016.

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# Management vs. Governance

## MANAGEMENT

- When can we start the Anthropology Program?
- Some of my family members are into Yoga-could you start a course?
- What good is a course in x?

## GOVERNANCE

- What are the major strategies contained in our academic plan & how is our progress going?
- How is our academic plan enabled or constrained in that by our facilities? By our staffing levels?
- How do we assess whether our programs are relevant to the career aspirations of our students? How often do we measure that?

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Source: Texas State Technical College, 2016.

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## AGB CONSULTING

# Kevin P. Reilly

President Emeritus and Regent Professor, University of Wisconsin System



Dr. Kevin P. Reilly is president emeritus and regent professor with the 26-campus University of Wisconsin (UW) System, having served as president from 2004-2013.

In collaboration with the UW Board of Regents and the campus chancellors, he developed the "Growth Agenda for Wisconsin," a long-term vision and strategic framework for what the university needed to do to help Wisconsin and the nation be more competitive in the global knowledge economy. Under his leadership, enrollment grew to 182,000—an all-time high—and sponsored research continued to expand beyond \$ 1 billion annually. Both transfer students and the number of degrees awarded rose by 13 percent, with privately donated need-based student aid increasing by 124 percent. At the same time, the National Center for Higher Education Management Systems ranked the UW System among the five "most productive state systems and public sectors of higher education, relative to their resources."

Dr. Reilly served as chancellor of UW-Extension from 2000 to 2004 and as provost and vice chancellor from 1996 to 2000. A native of New York City, Dr. Reilly came to Wisconsin from the State University of New York (SUNY) System, where he was associate provost for academic programs and then secretary of the university. Earlier in his career, he led the New York State Board of Regents office that evaluated and accredited all public, private, and for-profit colleges and universities in the state.

At the American Council on Education (ACE), he has been a member and officer of the board of directors, presidential advisor for leadership, and chair of the ACE Commission on Adult Learning and Educational Credentials. Dr. Reilly has served as the president of the National Association of System Heads, as well as on the steering committee for four Wisconsin statewide economic summits. At AGB, he holds an appointment as a senior fellow. In that capacity, he is currently working on AGB's Guardians Initiative to empower university and college trustees to be more vocal, effective advocates for the value of American higher education

Dr. Reilly has been a member of the Higher Education Working Group on Global Issues for the Council on Foreign Relations. He has advised the University of Nizwa in Oman, Qatar University, and the United Arab Emirates University on the development of their institutions.

Among his awards and honors are the Signature of Excellence Award from the University Continuing Education Association, the Chancellor's Medallion from UW-Oshkosh, recognition by the *Irish Voice* weekly as one of the top 100 Irish-American educators, the Friend of Education Award from the Wisconsin Superintendent of Public Instruction, and a commendation from the Senate of Wisconsin for his contributions to the state.

He is author and editor of books and articles on higher education leadership, policy, accreditation, and board governance, among other topics in literature and Irish studies. He has been a regular contributor to AGB's *Trusteeship* magazine. At UW-Madison, he has taught the undergraduate course on James Joyce and a graduate seminar on major challenges in American higher education. One of his final innovations as president was to establish competency-based degree programs in the UW System, the first of their kind offered by a public university system.

Dr. Reilly earned his B.A. at the University of Notre Dame, and his M.A. and Ph.D. at the University of Minnesota.

## KEVIN P. REILLY ON AGB.ORG

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### BLOG POST

A Winter of Discontent: Tax Policy, Trust, and the Future of Higher Education

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### TRUSTEESHIP ARTICLE

A Winter of Discontent

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### BLOG POST

Introducing AGB's Higher Education Nation

---

### TRUSTEESHIP ARTICLE

Too Big to Fail

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### BLOG POST

Board Integrity and Advocacy

---

### TRUSTEESHIP ARTICLE

What Should Boards Know about Teaching?

© AGB

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Fax 202.223.7053

## **David Alexander Paul**

David Paul is the founder and President of the Fiscal Strategies Group, a financial advisory firm specializing in municipal and project finance. He also has deep domain expertise in both operating and fiscal strategy for higher education institutions.

Dr. Paul received a Bachelor of Arts degree, cum laude, from Yale University in 1977, with honors in Economics. He received a Masters of Business Administration in Finance, awarded with Distinction, from the Wharton School of the University of Pennsylvania in 1982. In 2004, he received a doctorate in Higher Education Management from the Graduate School of Education of the University of Pennsylvania. His doctorate was awarded with distinction for his dissertation work on turnarounds in higher education.

Dr. Paul served as a Senior Policy Fellow and lecturer at the University of Delaware, and lecturer in microfinance at the Wharton School. He is the author of *When the Pot Boils: The decline and turnaround of Drexel University*, published by SUNY Press. Dr. Paul currently serves as a member of the board of directors of CareerWise Colorado, and of the advisory board of the University of Pennsylvania Executive Doctoral Program in Higher Education Management.

Prior to founding the Fiscal Strategies Group, Dr. Paul was a Managing Director and member of the Board of Directors of Public Financial Management, Inc., a national financial advisory firm. Dr. Paul also served as the Vice Provost of Drexel University, and as the CEO of Mathforum.com, a mathematics and math education website and virtual community that is now part of the National Council of Teachers of Mathematics.

As a public finance strategist, Dr. Paul's clients have included the States of Florida, California, Colorado, Virginia and New Mexico; the Cities of San Francisco, Atlanta, St. Louis, and Denver; the Counties of New Castle, Broward, Chester, Montgomery, Delaware; school districts in Denver, Colorado and Broward and Dade counties in Florida; and the Government of the U.S. Virgin Islands.

Dr. Paul also built an international finance practice under the auspices of Hong Kong-Shanghai Bank. His clients included the French tollroad operating company Transroute, the Mexican Secretaria de Comunicaciones y Transportes, the Mexican development bank Nafinsa, N.A., the Mexican national water company, Confederacion Nacional del Agua, and the World Bank. And he worked with the International Organization for Migration after the 2004 tsunami in Aceh, Indonesia to create a sharia compliant micro lending program targeted to the rebuilding of the domestic brick industry.

Dr. Paul works with legislative bodies on an ongoing basis on behalf of his clients with respect to budget and financial matters requiring legislative approval.

Dr Paul is an accomplished author, whose works include: *We Are Not in Kansas Anymore: Implications of the Global Financial Crisis*, presented to the New Mexico Tax Research Institute; the screenplay *Breakpoint*; and regular commentaries on politics in economics published on The Huffington Post and Medium, and at [appalled.blogspot.com](http://appalled.blogspot.com).

## **Regents' Retreat, July 8-9, 2019, Taos, NM**

**Locations:** El Monte Sagrado, Taos, NM; Harwood Museum; and UNM-Taos Branch Campus

### **Attendees:**

#### Regents:

Sandra K. Begay  
Douglas M. Brown  
Melissa Henry  
Marron Lee  
Kim Sanchez Rael  
Robert Schwartz

#### Advisors/Consultants:

David Paul, Pres./Founder, Fiscal Strategies Group  
Kevin Reilly, Assoc. of Governing Boards

#### Support Staff:

Mallory Reviere, Sp. Asst. to the Board of Regents

#### President Stokes and Leadership Team:

Garnett Stokes, UNM President  
Paul Roth, Chancellor for Health Sciences  
Teresa Costantinidis, SVP Finance & Administration  
James Holloway, Provost & EVP for Academic Affairs  
Loretta Martinez, Chief Legal Counsel  
Dorothy Anderson, VP HR  
Terry Babbitt, Chief of Staff  
Cinnamon Blair, Chief Marketing & Comm. Officer  
Eddie Nunez, Athletics Director  
Chamiza Pacheco de Alas, Chief of Staff, HSC

## **July 8 (PM):**

**Governance:** Kevin Reilly, AGB Consultant and President Emeritus University of Wisconsin System

**AGB Slides;** Balance Advocacy with Oversight

"It takes a long time to develop a positive reputation and longer to repair it."

Collaboration; work together for the benefit of the people of New Mexico

Discussion 'governance criteria met with concerns' on HLC report

- code of conduct
- regent selection process
- AGB governance input; board performance evaluation

September all-NM HE institution meetings – an opportunity to collaborate at state level

**Strategic Landscape:** David Paul – Founder and President of the Fiscal Strategies Group

Doctoral research on the decline of Drexel University in the 90's.

There are patterns of decline in HE institutions; today is similar to the 80's: increased college participation with lower graduation rates.

Findings at Drexel:

- autocratic president; resistance to sunset programs and instigate new ones
- deliverance of subpar services to the customer, ie. the discerning students; "The Drexel Shaft"
- external landscape shift (1995 was 10 years after the largest class in Drexel's history!)

Common Issues, Board Governance

Sectors: vastly different; for profit: clear objectives – maximize shareholder value; public Institutions: contradictory metrics for measures of success; shared governance; implementation of change is more difficult; responsibility-centered management

UNM: Urgency of change; currency: program offerings; put attention to program services; attention to external landscape; the transferability of credits within NM—action by the State—is it good for UNM?

Questions for Consideration:

- What is UNM's role in the HE landscape?
- What is management level of involvement for regents?
- How should regents address enrollment challenges?
- What is UNM BOR role in changing HE state policy?
- What is the role of strategic planning for UNM?

- Who are the University's stakeholders? ("The future" is also a stakeholder)

## **Tour of Harwood Museum and meet the Harwood Board**

**July 9 (AM):**

### **Regents' Endowment**

For consideration: What are the priorities; process for determining allocation of funds; how to incorporate into annual budget process.

### **Enrollment**

Factors affecting:

- Promoting 4-yr graduation
- Block credit-hour pricing
- State's common-course policy
- Lottery Scholarship changes!
  - make it desirable to attend 2-yr community colleges for first semester
  - must take 15 cr-hrs at 4-yr and only 12 cr-hrs at 2-yr institution
  - Lottery does not cover first semester!
- Leveraging/optimizing financial aid; FAFSA interventions
- What do students want? Survey results to better understand behavior and choices
  - quality teachers
  - availability of classes
- Price Elasticity – *less* elastic for in-state students
- NM HE institutions are pro-UNM and preparing their students for UNM
- Partnerships
- Private schools are dropping tuition to match or go below UNM's
- Branding campaign has been crucial to UNM
  - marketing to segments

**Important to keep in mind:** Who are the customers; customer service, utilization of new technologies; recruiters at the college level; out of state and international population, currently 20% and needs to be 25-30%

#### **CRISIS:**

- Net revenues are what drive the budgets
- Institutions show growth at the expense of revenue!

**Need an assessment of installed asset base:** Utilization of unused capacity; facility needs, upkeep, maintenance

**Critical Question: What is optimal enrollment?** Faculty capacity; Facility capacity; Advisor capacity

**Regarding Presidential Evaluation:** Timing of evaluation should be after more data is known (eg. enrollment), ie. Sometime in August/September.

## **Tour of UNM-Taos Branch Campus and meet the Taos Branch leadership, staff, and faculty**

[End of Retreat]

## **Establishment of Ad Hoc Governance Committee and Charge**

Regent Policy Manual Section 1.2 concerns Ad Hoc Committees of the Board of Regents, and provides that from time to time the President of the Board may appoint ad hoc committees consisting of two or three Regent members to gather information and make recommendations to the Board of Regents about specified matters.

Accordingly, an Ad Hoc Governance Committee is established to review and make recommendations regarding Board operations and institutional governance, including but not limited to Regent policies, Board meetings, retreats and orientation, the Board budget, Regent responsibilities and opportunities for continuing education, and any other policy matters essential to the effective operation of the Board of Regents.

The Committee shall be composed of at least two members of the Board of Regents appointed by the President of the Board and other such individuals with knowledge useful to the effective discharge of the Committee's duties. The President of the University shall assign staff to assist the Committee in its work. The Committee shall meet as necessary in accordance with RPM 1.2, and shall report its recommendations to the Board.

University of New Mexico Board of Regents  
Resolution Concerning Regents' Policy 1.3.2 Regular Meetings

WHEREAS, Regents' Policy 1.3 concerns Public Notice of Regents meetings; and

WHEREAS, Regents' Policy 1.3.2 states that regular meetings of the Board will be held on the second Monday of March and at regular pre-announced intervals, which are generally monthly, unless otherwise announced; and

WHEREAS, the Regents affirm their responsibility to meet regularly to discharge their fiduciary duties and obligations; and

WHEREAS, the Regents understand the significant cost of time and effort involved on behalf of the President and her leadership team in planning and conducting monthly committee and Board meetings; and

WHEREAS, the Regents desire their meetings to focus on the key strategic initiatives of the university, fulfillment of the academic mission and assurance of financial sustainability versus routine reports and transaction approvals;

WHEREAS, the Regents believe that less frequent more substantive meetings will allow the Board to more effectively discharge their governance responsibilities while at the same time allowing the President and her leadership team more time to actualize the vision, mission and goals of the University;

THEREFORE, BE IT RESOLVED THAT, the Regents will reduce its number of regular meetings annually to six, increasing the duration of such meetings as needed; and be it

FURTHER RESOLVED, that Regent committee meetings will be scheduled to correspond with a reduced meeting schedule and shall be held no later than one week prior to regular meetings; and be it

FURTHER RESOLVED, that a revised Notice of Regular Meetings will be published prior to the next regularly scheduled Regent meeting.

# President's Administrative Report

Garnett S. Stokes, President  
Tuesday, August 13, 2019



# UNM Leadership



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# Welcome New Leadership!



- Provost and Executive Vice President for Academic Affairs James Holloway



- Senior Vice President for Finance and Administration Teresa Costantinidis



- Vice President for Equity and Inclusion Assata Zerai

# New VP of Enrollment Management & Foundation CEO



- Provost Holloway announced Dan D. García as our new Vice President for Enrollment Management
  - Starting September 2



- UNM Foundation announced Jeff Todd as its new president and CEO
  - Starting September 1

# Update on Deans Searches



**STUDENT  
AFFAIRS**

School	Search Committee Chair
Anderson School of Management	College of Arts and Sciences Dean Mark Peceny
College of Education	Graduate Studies Dean Julie Coonrod
College of Fine Arts	Honors College Dean Greg Lanier
School of Architecture + Planning	School of Engineering Dean Christos Christodoulou

# Celebrating Success



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## Women in STEM Awardees



- Four UNM scientists received the 2019 Women in STEM Award
  - Dr. Darcy Barron, assistant professor in the Department of Physics and Astronomy
  - Dr. Sakineh Chabi, assistant professor in the Department of Mechanical Engineering
  - Dr. Elizabeth Korver-Glenn, assistant professor in the Department of Sociology
  - Dr. Hannah Mattson, assistant professor in the Department of Anthropology
- Hosted by Advance at UNM in cooperation with the Office of Academic Affairs

# HIVE Project Wins Competition and \$100,000 Grant



- The UNM-Taos Education and Career Center (TECC) received a \$100,000 grant for its Hub of Internet-Based Vocations and Education (HIVE) project, to a nationwide competition
- TECC was one of only two small-town finalists competing against eight big-city entrants, all of which presented their projects at the three-day Minds that Move Us conference in Miami





- Jack Kent Cooke Foundation's Undergraduate Transfer Scholarship awardee Shawna Yellowhair
- ASM's Johnson Marketing Lab's student-run advertising agency won first place in Acura ILX "Made You Look" marketing challenge
- Mechanical Engineering's Lobo Launch team took part in the Spaceport America Cup
- School of Engineering's Solar Splash team placed fourth overall in the national solar-powered boat competition

## Student-Athlete Success



- Weini Kelati – first Lobo to win the NCAA 10,000 meter title
- Lobo Student-Athletes Shine in the Classroom
  - Eighth consecutive year UNM has had the highest number of Mountain West Scholar-Athlete recipients in our conference
  - Top GPA in the NCAA Division I men's golf
  - Women's golf team recipient of the 2018-19 WGCA All-Scholar Team GPA Award

# UNM Alumna Named Nation's 23rd Poet Laureate



- Joy Harjo, former UNM student and faculty member, named the new United States Poet Laureate
- Harjo is the first Native American to hold the prestigious position
- She has published eight award-winning books of poetry and five CDs of music and poetry

*Photo by Shawn Miller,  
Library of Congress*

# Rare George Orwell Collection Donated to UNM



- Professor and Curator Emeritus Russ Davidson, longtime advocate and employee of UNM Libraries, is donating his collection of rare George Orwell books
- His extensive Orwell collection includes first editions of “Animal Farm” and “1984”
- The collection will be part of an exhibit in Zimmerman Library
- Davidson worked at University Libraries for 25 years

# Happenings on Campus



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## Happenings on Campus



- Staff Appreciation Week
  - Events included a Maxwell Museum visit, a free showing of Mary Poppins Returns for staff and their families, an appreciation luncheon and more



- 8<sup>th</sup> Annual Tech Days
  - Brings technology concepts, tools and applications to UNM's students, faculty and staff

# Welcome Back Days



- Resident Lobo Move-In
- Freshman Day
- Friday Night Live
- Class Crawl
- Movie on the Field
- President's Ice Cream Social
- Safety, Health, Wellness & Recreation Day
- UNM Communities Day
- Student Organization Day
- Campus Employment and Community Service Day

# Update on University Initiatives



## Growing Enrollment



- New student orientation participation data (a leading indicator of new student enrollment) up 3% compared to last year
- Freshman retention tracking positively
- Enrollment Management Initiatives – \$350k request from Regent’s Endowment
  - Analysis of communications pipeline
  - Creation of communications assets
  - Prospect identification
  - Modeling of financial assistance awards
  - Recruitment efforts and events
  - Support high school counselors to attend familiarization events at UNM

# Creating Ethics Task Force

- The President's Task Force on Institutional Ethics and Integrity will formulate a campus-wide proposal of ethics-related initiatives, training and best practices with the goal of creating and maintaining an ethically inspired environment at UNM based on strong moral conduct and compliance with applicable laws, rules, and guidance
- The Task Force will initially serve as an advisory committee for the president on present and future issues concerning the University's ethical culture, values, and decision-making practices

# Ethics Task Force Members

Francie Cordova, Interim Chief Compliance Officer

Sarah Smith, Anderson School of Management

Rob Burford, Compliance Director

Victor Griego, Internal Audit

Josh Kastenbergh, School of Law

Ana Tomlinson, Research Compliance

Representative from Faculty Senate – Steve Bishop

Jessica Martinez, School of Law Student

Representative from Staff Council – Lisa Beachene-Lawson

Arthur Culpepper, HSC Compliance

Representative from ASUNM – Xavier Torres

Janice Ruggiero, Athletics Department

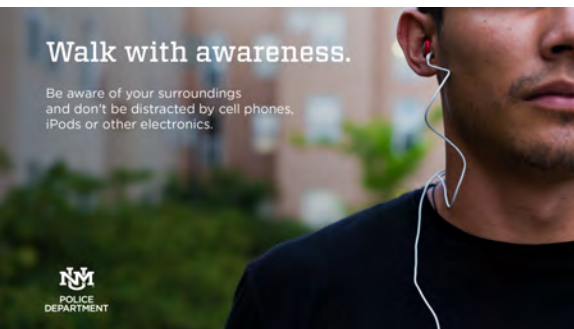
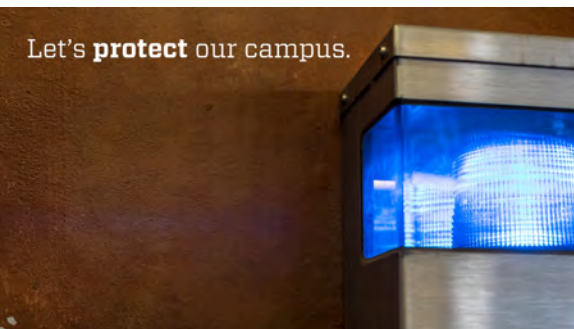
Representative from GPSA – Muhammad Afzaal Hussain

Bill Slease, Community Member

Patrick Hart, Office of University Counsel

Marleen Martinez, Human Resources

# Campus Safety



- Additional campus security
  - 6 additional police officers approved to hire
    - 4 hired, 2 pending hire
  - 3 additional campus security officers (previously student patrol positions)
  - 80 new cameras were added in FY18-19
  - Continuing to partner with the city and surrounding neighborhoods
- Campus Safety Council
  - Dean of Students and UNMPD aligning resources and programs
- Campus Safety Week
  - Events include Coffee With a Cop, Campus Safety Walks, CPR, Bystander Training and more



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# Strengthening UNM's Research Profile



- Grand Challenges – \$650k request from Regent's Endowment
  - Faculty startups
  - Operations, seed funding and team support
  - Student support

## Strengthening Partnerships



- Sandia Labs and UNM Sign Collaborative National Security Agreement and join forces to bolster national security and advance science and engineering
- The Cooperative Research and Development Agreement (CRADA) allows the labs and University to explore research collaborations in several areas
- The umbrella CRADA covers multiple projects and technologies and will be a five-year project with the possibility of renewal

# Improving Campus Climate for Diversity and Inclusion



- SOE recognized for diversity by the American Society of Engineering Education (ASEE) Diversity Recognition Program
- STEM-H Center received 2019 Inspiring Programs in STEM Award from INSIGHT Into Diversity magazine
- Division for Equity and Inclusion
  - Diversity Requirement
  - Seal Committee
  - Applying to join the NSF-Includes IChange initiative
  - ENLACE
  - LBGTQ

# Strengthening Branch Campus Relations



- Communication with advisory boards
- New leadership visiting campuses
- CEO title change to chancellor
- Degree delivery at branches
- Work to improve local procurement opportunities
- President's Taos visit
  - UNM-Taos Branch Campus
  - Harwood Museum of Art
  - Regents Retreat

# Building Community



- National Senior Games
- Hobbs: JF Maddox Foundation
- Cloudcroft: LFC Meetings
- National Night Out
- Summer Town Hall
- Traveling Office Hours

## Enhancing Club Sports



- Club sports enrich student experiences at the University
- Provides opportunity to compete at a very high level; promote UNM and the State of New Mexico locally, regionally and nationally; and engage communities with integrated sport and college prep activities
- Currently enhancing program capacity with a sports club coordinator who starts work in August and providing additional administrative space
- Club sports planned:
  - Women's Rugby
  - Men's Rugby
  - Men's Soccer
  - Men's Hockey
  - Women's Ski
  - Men's Ski

Thank you!

Questions?

# Memo

To: University of New Mexico, Board of Regents

From: Alexis Tappan, President, University of New Mexico Alumni Association  
Harold Lavender, Chair, Board Development Committee 2018-2019

Date: August 13, 2019

Re: Alumni Association Board of Directors Slate and Officers

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On Friday, June 7, 2019, the UNM Alumni Association approved the following individuals to join the Board of Directors for 3 year terms to run from July 1, 2019 – June 30, 2022.

Xochitl Campos Biggs '08 BA

Darrell Garcia '07 MA; '17 MBA

Shammara Henderson '07 JD

Mark Herman '91 BA

Rene Matison '69 BA

Roberta Ricci '06 BA; '09 MA

## **Proposed Slate of New Board Members**

**Terms to Run July 1, 2019 – June 30, 2022**



**Xochitl Campos Biggs '08**

Xochitl Campos Biggs, LMSW is a licensed social worker who works in the Downtown Public Safety District, a multidisciplinary team of City of Albuquerque employees who work to increase safety in the downtown area by collaborating efforts among their respective teams and creating community partnerships. In her role, Xochitl developed and coordinates the Downtown Public Safety ECHO, a first-of-its-kind effort to bring downtown stakeholders together in an online meeting to share best practices and solve problems in an effort to find solutions to downtown safety concerns.

Xochitl began her work at the city as a constituent services representative. Xochitl joined Mayor Keller's office after several years working for Santa Fe Mayor Javier Gonzales serving as his Executive Administrative Assistant where she specialized in coordinating special projects and community outreach. Prior to that she worked for Senator Tom Udall as a Constituent Services Representative in his Albuquerque office. With a background in Social Work, Xochitl comes to the City of Albuquerque with experience in case management, community organizing, service/outreach coordination and advocacy for our at-risk youth and immigrant communities.

A native New Mexican, Xochitl earned her B.A. in Journalism & Communications and Spanish from the University of New Mexico as well as a Master's degree in Social Work from New Mexico Highlands University. She is grateful for the opportunity to serve her city and bring her social service background to promote projects targeted at enhancing our community.



**Darrell Garcia '07 MA, '17 MBA**

Darrell Garcia has dedicated much of his professional career to improving education in the state of New Mexico. As a Level 3A licensed instructor and 3b licensed administrator, Darrell has taught and experienced public education across the entire continuum (kindergarten through college). After earning his MA in Education from the University of New Mexico School of Education, Darrell began teaching at Chaparral Elementary School on Albuquerque's west side. Soon after, he had the unique opportunity to become a founding instructor at what has become one of New Mexico's premier public charter schools, Cottonwood Classical Preparatory School. During his tenure at Cottonwood Classical, Darrell worked with faculty, staff, and Governing Council members to write curriculum and develop programs all in an effort to educate the whole child for the whole world. As Darrell began to serve in more administrative roles, he decided to return to school and earned his MBA from the UNM Anderson School of Management. After serving as the school's first Athletic and Activities Director, Darrell decided to make a change and move into higher education. For the past 5 years, Darrell served as the Director of Alumni and Constituent Relations at the Anderson School of Management where he oversaw alumni relations, annual giving, and board operations for the Anderson Alumni Council and Anderson Foundation Board. During this time, Darrell also continued his teaching by serving as a professional faculty member for the Anderson School teaching MGMT 398 Career Management Skills. He also continued his service to Cottonwood Classical by joining their Governing Council, serving 3 of his 4 years as President. In his current position, Darrell serves as the Assistant Vice President of Community Relations and Financial Capability for Nusenda Credit Union. In this role, Darrell oversees all of the credit union's financial literacy education programs across the state. This includes financial literacy classes through partnerships with school districts, workshops, dual credit programs, and Nusenda's Financial Services Career Exploration class, which is a precursor to Nusenda's high school internship program. Nusenda's deep commitment to education provides Darrell the opportunity to impact education across all levels throughout the entire state.



**Shammara Henderson '07 JD**

Shammara H. Henderson practices in the areas of criminal defense, plaintiff's injury, employment discrimination, civil rights, and victim representation. After graduating from law school, Shammara clerked for Justice Charles W. Daniels at the New Mexico Supreme Court. She then became a prosecutor with the Second Judicial District Attorney's Office in Albuquerque, N.M., She later became the Associate General Counsel for the Office of Governor Bill Richardson. In 2011, Shammara joined the United States Attorney's Office for the District of New Mexico. In 2017, Shammara co-founded her own law firm Henderson & Grohman, PC. Henderson & Grohman, PC recently joined Freedman, Boyd, Hollander, Goldberg, Urias, & Ward, PA. Shammara received a bachelor's degree in political science and philosophy from American University and her J.D. from the University of New Mexico School of Law. Shammara has received the following awards: Albuquerque Business First 40 Under 40 (2016); National Bar Association Nation's Best Advocate 40 Lawyers Under 40 Award (2018); University Of New Mexico School Of Law Alumni Promise Award (2018); State Bar Outstanding Young Lawyer Of The Year Award (2018); National Bar Association Presidential Award (2018). Shammara's community involvement includes: President, UNM School of Law Alumni/ae Board; Board Member-At-Large, National Bar Association; Treasurer (Former President), New Mexico Black Lawyers Association; Member, NM Board Of Bar Examiners; Member, Proactive Attorney Regulation Committee; and a Fellow of the American Bar Foundation.



**Mark Herman '91**

Born and raised in Albuquerque, Mark started working as a pizza maker at Dion's after graduating from high school in 1987. In 1991 he graduated from the University of New Mexico with a BA in Economics. After a short stint with MassMutual, Mark accepted a General Manager position with Dion's in 1992. Over the years, he took on various roles in the company and earned his unofficial MBA from The School of Hard Knocks. In 2006, Mark became COO and focused on developing Dion's marketing, management

talent, and systems to prepare for successful regional expansion. Mark became Chief Executive Officer in December 2013, leading the company's strategic growth plan and financial recapitalization.

Mark has a passion for helping others to be the best they can be. He is a lifetime member of Leadership New Mexico and is a member of Vistage International. He serves on the Boards of Horizons Albuquerque and the Golden Apple Foundation of New Mexico. Mark has two children and enjoys running, mountain biking, and skiing through the mountains of New Mexico and Colorado



**Rene Matison '69**

Rene Matison first laid eyes on New Mexico in the Fall of 1964 when he was recruited to run track for the Lobos. He was a superstar on the track and helped to lead the Lobos to many track & field victories, earning All American twice and being a 4-year letterman. Rene also lettered one year playing football for UNM. He was inducted into UNM's Athletic Hall of Honor in 2009 for his accomplishments.

After graduating, Rene moved around as was a 13th round draft choice of the Dallas Cowboys and played semi professional football for the Las Vegas Casinos, served our country in the US Army, and then started his career in the field of human resources and labor relations. It wasn't till 2005 that Rene found himself back in New Mexico after retiring from being a director of human resources in San Diego, California. Throughout all of these years Rene has always been energetic in his community. Rene was very active in his home community of San Diego for nearly 20 years, as a coach for the youth in soccer, track, and basketball. He also served as the vice president on the board for the San Diego Elementary Institute of Science and has been honored with the title of lifetime member due to his extensive work with the institution.

Since returning to New Mexico, Rene has never stopped giving back to UNM and education. Rene volunteers his time to mentor African American students in athletics through the Zest for Excellence in Athletics & Learning program, also known as ZEAL. Rene continues to make a huge difference at UNM.

Other ways Rene has given back to the community is by being a member of Omega Psi Phi's graduate fraternity, being on the board and secretary for UNM's Black Alumni Association, and participating annually in UNM's Step Up program to help transition graduating seniors to the workforce. Rene is engaged in the greater Albuquerque area as the vice president of the Buffalo Soldiers Motorcycle Club. This club aims to educate the community about the rich history of the Buffalo Soldiers' 9th and 10th Cavalry, as this organization provides scholarships for high school students to attend college. In addition, Rene makes presentations at local middle schools to help prepare students for their futures by getting students to focus on the importance of education and life after sports.



**Roberta Ricci '06, '09 MA**

Roberta Ricci is the Director for the National Hispanic Cultural Center Foundation. In this role, Ricci is tasked with overseeing, directing and implementing all facets of the NHCC Foundation's operations; executing all fundraising efforts to support the NHCC Foundation's operating expenses; and coordinating fundraising efforts to support the NHCC's programs and operational needs, including capital campaigns, equipment needs, and staffing needs.

Ricci was previously the Director of Development for the Central New Mexico Community College (CNM) Foundation, focusing on raising over \$2M to support student scholarships and programs throughout CNM. Prior to this role, she worked as the Senior Alumni Director for the Anderson School of Management at the University of New Mexico (UNM), concentrating on community outreach through alumni engagement and career services that included a mentorship program and ways to build and strengthen relationships between industry and student programs. Ricci started her career in higher education as the Alumni Relations Officer for the UNM Alumni Association and worked at the university for more than eight years.

Ricci is a graduate of the UNM where she has earned a Master's Degree in Educational Leadership with a concentration in Higher Education and a Bachelor's Degree in Business Administration. She is a Board Member of the Atrisco Companies, Advisory Council Member of the South Valley Economic Development Center, past-chair of the Hispanic Philanthropic Society through United Way of Central New Mexico and former Board Secretary of Mark Armijo Academy, a charter high school in the South Valley. Ricci also has done volunteer work for the UNM Alumni Association's Homecoming Committee, Lobo Living Room Committee and Scholarship Selection Committee.

Ricci is married to Keith and they have two daughters, Marissa (27) and Elena (22). She was born and raised in Albuquerque's South Valley.

# CONSENT DOCKET

*(Action items on Regent's Committee agendas may move to the Board of Regents' agenda as consent items; the below items are placed on the consent docket by the respective committee chairs; items on the consent docket received unanimous approval in committee; per Regents' Policy 1.2, "Any member of the Board of Regents shall have the right upon request to remove any item from the Board's consent agenda and place the item on the Board's regular agenda for discussion.")*

## 1. Finance and Facilities Committee (F&F) Consent Items

- a. Approval of Disposition of Surplus Property for Main Campus for May, June, and July 2019 ..... C-1
- b. Approval of Quarterly Financial Actions Report and Certification through June 30, 2019 ..... C-2
- c. Approval of Athletics' Enhanced Fiscal Oversight Program Report and Certification through June 30, 2019 and Information on Athletics' Report on Revenue, Expenditures, and Compliance through June 30, 2019 ..... C-3
- d. Approval of Athletics to Present Quarterly Reports on Revenue, Expenditures and Compliance Beginning October 1, 2019..... C-4
- e. Approval of Appointments to the Innovate ABQ, Inc. Board of Directors ..... C-5
- f. Approval of Appointments to the Harwood Foundation Governing Board ..... C-6

## 2. Health Sciences Center Committee (HSCC) Consent Item

- a. *(Contingent on approval at the August 12, 2019 HSC Committee meeting, actions items may be placed on the Consent Docket.)*

**University Services**  
Marcos Roybal  
Associate Director

**Business Operations**  
1128 University Blvd NE  
505.277.2366

**CRLS**  
Clark Hall  
505.277.5109

**Copy Center**  
Dane Smith Hall  
505.277.8267

**Mailing Systems**  
1128 University Blvd NE  
505.277.4124

**Records Management**  
1128 University Blvd NE  
505.277.1136

**Shipping & Receiving**  
915 Camino de Salud  
505.272.6302

**Surplus Property**  
1128 University Blvd NE  
505.277.2923

## **Disposition of Surplus Property Approval May 2019, June 2019 & July 2019**

**Date:** July 22, 2019

**To:** Bruce Cherrin  
Chief Procurement Officer  
Purchasing Department

**From:** Marcos Roybal  
Associate Director  
University Services

Attached for your review and submission to the Board of Regents is the Surplus Property Disposition detail list for the month of May 2019, June 2019 and July 2019.

Consistent with UNM Board of Regents Policy 7.9 and the NM Disposition of Surplus Property Act, 13-6-1, NMSA 1978, and based upon documentation submitted by the UNM departments responsible for the equipment, I certify that the equipment identified on the monthly list is worn-out, unusable or obsolete to the extent that the items are no longer economical or safe for continued use by the University. I recommend that the items be deleted from UNM's inventory and disposed of in accordance with the above noted Regents Policy and NM Surplus Property Act.



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UNIVERSITY SERVICES – DISPOSITION OF SURPLUS PROPERTY

MAY 2019

### Surplus Property Disposition - May FY19

Memo	Asset Tag	Department	Description	Manufacturer	Purchased	Total Cost (\$)	NBV (\$)	Disposal Method
4	252235	Mailing Systems	Ford E350 Van	Ford	9/14/2001	\$24,649.00	\$0.00	Obsolete
3	181809	Center for High Tech Materials CHTM	CAVITY DUMPER SYSTEM	SpectraPhy	10/22/1987	\$21,375.00	\$0.00	Obsolete
1	260363	Mailing Systems	Dodge Caravan	Dodge	4/3/2003	\$16,590.00	\$0.00	Obsolete
2	N00016869	IT Computing Platforms	Blade Server Enclosure	Dell	6/18/2008	\$10,960.01	\$0.00	Obsolete
	N00026832	IT Academic Technologies	222-4818-PowerVault	Dell	6/30/2010	\$9,533.30	\$0.00	Cannibalized
	N00026833	IT Academic Technologies	222-4818-PowerVault	Dell	6/30/2010	\$9,533.30	\$0.00	Cannibalized
	N00024619	Nursing Deans Teaching Support	LCD Flat Panel Display	Samsung	2/24/2010	\$9,004.10	\$0.00	Obsolete
	N00024620	Nursing Deans Teaching Support	LCD Flat Panel Display	Samsung	2/24/2010	\$9,004.10	\$0.00	Obsolete
	261121	Chemistry Department	SPECTROPHOTOMETER	Varian	5/23/2003	\$8,160.00	\$0.00	Too Costly to Repair
	N00005176	Electrical Engr PI #22	Blackbody Calibration Source	Omega	8/16/2005	\$7,915.00	\$0.00	Obsolete
	N00020538	Center for Biomedical Engineering	FACSVantage SE cell sorter	CalInsttec	4/1/2009	\$7,000.00	\$0.00	Cannibalized
	N00011663	FM Utility Main	Server	Dell	5/1/2007	\$6,575.80	\$0.00	Obsolete
	N00008735	Bkst Main Campus Administration	Server	Dell	8/2/2006	\$5,461.50	\$0.00	Obsolete
	N00030000	Chemistry General Administrative	Nanopure - Water Purification System	ThermoScien	4/1/2011	\$5,353.66	\$0.00	Too Costly to Repair
	214478	Chemistry Department	GENERATOR FUNCTION	HewlettPac	4/24/1996	\$5,000.00	\$0.00	Obsolete
<b>Total Asset Disposition (#)</b>								<b>15</b>
<b>Total Capitalization (\$)</b>								<b>\$156,114.77</b>
<b>Total Net Book Value (\$)</b>								<b>\$0.00</b>



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Marcos Roybal  
Associate Director

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### Disposition of Surplus Property – UNM Tag #260363

May 17, 2019

To: Marcos Roybal  
From: UNM Mailing Systems  
Subject: Vehicle Disposition

Dear Mr. Roybal:

UNM Tag #260363 (Dodge Caravan SE) was purchased on October, 18 2002 by UNM Mailing Systems for \$16,590. The vehicle was used for mail and parcel delivery throughout the UNM campus and has a net book value (NBV) of \$0. The vehicle has had extremely high maintenance costs throughout the past five years and is no longer used due to improved route efficiency.

We believe our decision to send this vehicle to UNM Surplus Property will result in monthly cost savings, which include insurance expenses, fuel expenses, and the aforementioned repair and maintenance expenses. Thank you for your consideration.

Sincerely,



Tonya Rintala  
Operations Manager

Asset#	Description	Acq. Cost	NBV	Date
260363	Dodge Caravan	\$16,590.00	\$0	04/03/2003



# INFORMATION TECHNOLOGIES

TO: UNM Inventory Control

FROM: Kirsten Martinez, IT Fiscal Operations Director

DATE: April 22, 2019

SUBJECT: Request to Surplus Item – Blade Server Enclosure N00016869

This memo responds to a request for additional information and approval pertaining to a request for the surplus of assets dated 12/7/18, for the high dollar asset listed below. The Director of Fiscal Operations is requesting to surplus the following asset. The blade server enclosure is one part of a system that is composed of a minimum of two parts. A blade server cannot function without the blade center. The hardware is computer equipment in a production environment that holds servers that facilitates the operation of the servers within it. It has a computer component that allows management of the servers. It is part of a system of multiple parts that are tracked individually. The blade server enclosure is a server even though it by-itself is missing some of the parts that a “traditional” server would have.

The equipment has reached its “End of Life” which means the vendor will no longer provide support the equipment or provide replacement parts. There are no longer any updates or patches offered on the equipment which can limit the ability to use it with new hardware and secure it against new threats. The hardware is now obsolete.

<u>UNM Tag#</u>	<u>Acquisition Date</u>	<u>UNM Serial #</u>	<u>Asset Desc</u>	<u>MFG</u>	<u>Model</u>	<u>Acquisition Cost</u>	<u>Net Book Value</u>
N00016869	6/30/08	BS9PFG1	Blade Server Enclosure	Dell	PowerEdge M1000E	\$ 10,960.01	0.00

Thank you.

Kirsten Martinez, Fiscal Operations Director

Asset	Description	Acq. Cost	NBV	Date
N00016869	Blade Server Enclosure	\$10,960.01	\$0	6/30/2008



UNM

CENTER for HIGH TECHNOLOGY MATERIALS

**Disposition of Surplus Property**

April 17, 2019

To: UNM Surplus Property

From: Center for High Tech Materials

Subject: RDA for asset: 181809

To whom it may concern,

We at CHTM, request to delete asset: 181809 because it is taking up extra space in the labs and is not being used. The technology is very old, and new equipment is being used in replacement of this item which was being used as a cavity dumper system. The acquisition cost was \$21,375.00, has a net book value of \$0.00, and was acquired on October 22, 1987. To clear up space for the professors, we are asking this be taken to surplus.

Sincerely,

Nina Morales (CHTM Inventory Specialist)

  
Arash Mafi, Director of CHTM

Asset#	Description	Acq. Cost	NBV	Date
181809	Cavity Dumpster System	\$21,375.00	\$0	10/22/1987

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**Disposition of Surplus Property – UNM Tag #252235**

May 17, 2019

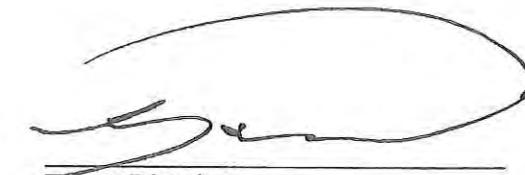
To: Marcos Roybal  
From: UNM Mailing Systems  
Subject: Vehicle Disposition

Dear Mr. Roybal:

UNM Tag #252235 (Ford E 350 Express Cutaway Van) was purchased on September 17, 2001 by UNM Mailing Systems for \$24,649. The vehicle was used for mail and parcel delivery throughout the UNM campus and has a net book value (NBV) of \$0. The vehicle has had extremely high maintenance costs throughout the past five years and is no longer used due to improved route efficiency.

We believe our decision to send this vehicle to UNM Surplus Property will result in monthly cost savings, which include insurance expenses, fuel expenses, and the aforementioned repair and maintenance expenses. Thank you for your consideration.

Sincerely,



Tonya Rintala  
Operations Manager

Asset#	Description	Acq. Cost	NBV	Date
252235	Ford E350 Van	\$24,649.00	\$0	09/14/2001



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UNIVERSITY SERVICES – DISPOSITION OF SURPLUS PROPERTY

JUNE 2019



### Surplus Property Disposition - June FY19

Memo	Asset Tag	Department	Description	Manufacturer	Purchased	Total Cost (\$)	NBV (\$)	Disposal Method
1	N00023984	Project ECHO	Polycorn	BTConf	1/13/2010	\$44,832.89	\$0.00	Obsolete
2	252235	UNM Mailing Systems	MV VAN	Ford	9/14/2001	\$24,649.00	\$0.00	Cannibalized
3	259045	Biology Department	ANALYZER	AgilentTech	12/6/2002	\$19,586.00	\$0.00	Obsolete
4	N00030881	IT Academic Technologies	Server	Dell	6/13/2011	\$18,312.40	\$0.00	Obsolete
4	N00030397	IT Academic Technologies	Server	Dell	4/1/2011	\$16,925.22	\$0.00	Obsolete
5	260363	UNM Mailing Systems	MV VAN	Dodge	4/3/2003	\$16,590.00	\$0.00	Cannibalized
6	N00010965	Sch Arch Planning Gen Admin	Large Format Scanner	Ideal	3/1/2007	\$14,579.32	\$0.00	Beyond Repair
7	N00014604	Institute for Public Health	Color Copier	Canon	1/3/2008	\$12,300.00	\$0.00	Obsolete
	259512	HSC CIO Office	COMPUTER SYSTEM UNIT	APC	1/30/2003	\$9,866.00	\$0.00	Obsolete
	N00017342	ASM MIDS Administration	Computer	HewlettPac	7/22/2008	\$9,255.00	\$0.00	Obsolete
	N00024621	Nursing Deans Teaching Support	LCD Flat Panel Display	Samsung	2/24/2010	\$9,004.10	\$0.00	Obsolete
	N00024622	Nursing Deans Teaching Support	LCD Flat Panel Display	Samsung	2/24/2010	\$9,004.10	\$0.00	Obsolete
	194272	Cell Biology Administration	SPECTROPHOTOMETER	HewlettPac	7/31/1991	\$8,296.00	\$0.00	Beyond Repair
	N00010189	AS Biology MSB Administration	Water Purification System	FisherScien	12/15/2006	\$7,658.09	\$0.00	Obsolete
	265250	Biology Department	IMAGER VIDEO SYSTEM	Kodak	3/1/2004	\$7,634.00	\$0.00	Cannibalized
	N00009227	AS Biology MSB Administration	Computer	MonarchCom	9/25/2006	\$7,129.36	\$0.00	Cannibalized
	N00018737	Physics Astronomy Department	Trailer	Duchman	10/16/2008	\$7,000.00	\$0.00	Obsolete



### Surplus Property Disposition - June FY19

Memo	Asset Tag	Department	Description	Manufacturer	Purchased	Total Cost (\$)	NBV (\$)	Disposal Method
	N00031948	Pathology Faculty #R02	Freezer	VWR	7/22/2011	\$6,900.00	\$0.00	Beyond Repair
	N00030670	SOL Academic	Server	Dell	5/12/2011	\$6,772.50	\$0.00	Obsolete
8	N00059656	Vice Chancellor for Diversity	CopierMFD/BizhubC454	Konica Minolta	12/13/2016	\$6,661.32	\$369.98	Beyond Repair
	N00020776	Elec Computer Engr Administration	Dell PowerEdge Server	Dell	5/1/2009	\$6,005.23	\$0.00	Beyond Repair
	N00013477	Electrical Engr PI #3	Server	HewlettPac	10/30/2007	\$5,867.26	\$0.00	Beyond Repair
	N00022863	UME-ETS Educational Tech Support	Quad-Core Intel Xeon	Apple	9/9/2009	\$5,653.00	\$0.00	Beyond Repair
	190856	Molecular Genetics Microbiology	CYCLER THERMO	Perkin	7/20/1990	\$5,295.00	\$0.00	Obsolete
	N00021006	Elec Computer Engr Administration	Server	Dell	6/1/2009	\$5,246.01	\$0.00	Beyond Repair
	N00001564	AS Biology MSB Administration	Gradient Man	Eppendorf	11/22/2004	\$5,090.91	\$0.00	Obsolete
	N00020837	OMI Faculty #7	SDP-IP304-T10-PC Servers	Intel	6/3/2009	\$5,000.00	\$0.00	Obsolete
	N00020839	OMI Faculty #7	SDP-IP304-T10-PC Servers	Intel	6/3/2009	\$5,000.00	\$0.00	Obsolete
<b>Total Asset Disposition (#)</b>								<b>28</b>
<b>Total Capitalization (\$)</b>								<b>\$306,112.71</b>
<b>Total Net Book Value (\$)</b>								<b>\$369.98</b>





Project ECHO® (Extension for Community Healthcare Outcomes)

Disposition of Surplus Property – UNM Tag #N00023984

MEMO

February 27, 2019

To: Marcos Roybal  
From: Project ECHO  
Subject: Polycom Disposition

Dear Mr. Roybal:

UNM Tag #N00023984 (Polycom RMX2000) was purchased on September 3, 2009 by Project ECHO for \$44,832.89. The Polycom was our video conferencing bridge. It was used to bridge H.323 devices together in a video conferencing meeting. The system is over 10 years old and it is out of warranty, and does not have a current maintenance contract tied to it. The system is currently not working and we would need to purchase a maintenance contract to get it up and running. Last quote we got was 25-30k, so we decided not to go this route since it is an older outdated system. In its current state and age, I would say this system is not worth much but it is hard to say. IT looked online to see what similar systems in this state are running and it was \$100-\$1,500.

Should you have any additional questions please feel free to contact Angela Zamora (505) 272-9893.

Thank you,

Sanjeev Arora, MD  
Director  
Project ECHO

Asset #	Description	Acq. Cost	NBV	Date
N00023984	Equipment, Computer	\$44,832.89	\$0	1/05/2010

**University Services**  
Marcos Roybal  
Associate Director

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915 Camino de Salud  
505.272.6302

**Surplus Property**  
1128 University Blvd NE  
505.277.2923

## Disposition of Surplus Property – UNM Tag #252235

May 17, 2019

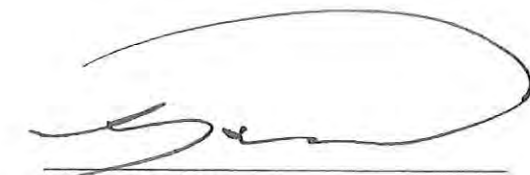
To: Marcos Roybal  
From: UNM Mailing Systems  
Subject: Vehicle Disposition

Dear Mr. Roybal:

UNM Tag #252235 (Ford E 350 Express Cutaway Van) was purchased on September 17, 2001 by UNM Mailing Systems for \$24,649. The vehicle was used for mail and parcel delivery throughout the UNM campus and has a net book value (NBV) of \$0. The vehicle has had extremely high maintenance costs throughout the past five years and is no longer used due to improved route efficiency.

We believe our decision to send this vehicle to UNM Surplus Property will result in monthly cost savings, which include insurance expenses, fuel expenses, and the aforementioned repair and maintenance expenses. Thank you for your consideration.

Sincerely,

  
Tonya Rintala  
Operations Manager

Asset#	Description	Acq. Cost	NBV	Date
252235	Ford E350 Van	\$24,649.00	\$0	09/14/2001

Dr. Melissa Sanchez  
Senior Research Scientist 1  
Molecular Biology Facility  
Department of Biology  
University of New Mexico  
Castetter Hall 229  
Albuquerque, New Mexico 87131

21 March 2019

Dear Surplus Department:

The MBF has an instrument that needs to be surplused. Instrument specifications:

Wrong: UNM Asset Tag #: 259045 on banner S/N: 00071172D

Correct: UNM Asset Tag #: 259045 on the actual equipment S/N: DE20901547

Asset Description: ANALYZER

Model: 2100 BIOANALYZE

Total Cost: \$ \$19,586.00

Add Date: 12/06/2002.

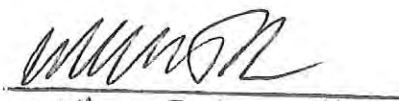
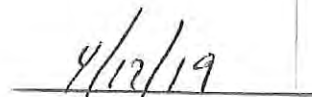
The instrument no longer functioned correctly and needed repairs and ultimately was cannibalized for parts. The MBF has since replaced the instrument with a newer working model, Instrument specifications: UNM Asset Tag #: N00014535 S/N: DE72901197 Add Date: 12/13/2007.

Please let me know if you require any other information concerning the instrument.

Yours sincerely,



Dr. Melissa Sanchez  
Senior Research Scientist 1

  
\_\_\_\_\_  
William Rockman, Chair  
\_\_\_\_\_  
DATE

Asset#	Description	Acq. Cost	NBV	Date
259045	Analyzer	\$19,586.00	\$0	12/06/2002



# INFORMATION TECHNOLOGIES

TO: UNM Inventory Control

FROM: Kirsten Martinez, IT Fiscal Operations Director

DATE: May 14, 2019

SUBJECT: Request to Surplus Items

This memo responds to a request for additional information and approval pertaining to a request for the surplus of assets dated 5/2/19, for the high dollar assets listed below. The Director of Fiscal Operations is requesting to surplus the following assets. The IT Academic Technology department has determined that these servers are older and are now obsolete. The applications hosted on these systems have been retired or transferred to a virtual environment.

<u>UNM Tag#</u>	<u>Acquisition Date</u>	<u>UNM Serial #</u>	<u>Asset Desc</u>	<u>MFG</u>	<u>Model</u>	<u>Acquisition Cost</u>	<u>Net Book Value</u>
N00030881	6/7/11	8TV6VQ1	Server	Dell	PowerEdge R810	\$ 18,312.40	0.00
N00030397	4/6/11	8H6M9Q1	Server	Dell	PowerEdge R810	\$ 16,925.22	0.00

Thank you.

Kirsten Martinez, Fiscal Operations Director

Asset#	Description	Acq. Cost	NBV	Date
N00030881	Dell Server	\$18,312.40	\$0	6/07/2011
N00030397	Dell Server	\$16,925.22	\$0	4/06/2011

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Surplus Property  
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505.277.2923

**Disposition of Surplus Property – UNM Tag #260363**

May 17, 2019

To: Marcos Roybal  
From: UNM Mailing Systems  
Subject: Vehicle Disposition

Dear Mr. Roybal:

UNM Tag #260363 (Dodge Caravan SE) was purchased on October, 18 2002 by UNM Mailing Systems for \$16,590. The vehicle was used for mail and parcel delivery throughout the UNM campus and has a net book value (NBV) of \$0. The vehicle has had extremely high maintenance costs throughout the past five years and is no longer used due to improved route efficiency.

We believe our decision to send this vehicle to UNM Surplus Property will result in monthly cost savings, which include insurance expenses, fuel expenses, and the aforementioned repair and maintenance expenses. Thank you for your consideration.

Sincerely,



Tonya Rintala  
Operations Manager

Asset#	Description	Acq. Cost	NBV	Date
260363	Dodge Caravan	\$16,590.00	\$0	04/03/2003



Memo - #6

May 29, 2019

To: UNM Surplus Property  
From: UNM Indigenous Design and Planning Institute  
Subject: Surplus: UNM Asset #N00010965

Dear UNM Surplus Property,

UNM Asset #N00010965 (Hawk-Eye G36 Scanner with wheels) was purchased on March 15, 2007 by UNM Indigenous Design and Planning Institute for \$14,579.32. The scanner was to be used to scan various maps and project posters for various projects and classes and has a net book value (NBV) of \$0. The scanner requires very high maintenance costs and parts, and is no longer used due to other efficient routes.

We believe our decision to send this scanner to UNM Surplus Property will result in cost savings, which include parts and labor. The scanner is also taking up space that is limited in the office. Thank you for your consideration.

Sincerely,

A handwritten signature in black ink, appearing to read 'Ted Jojola', written over a horizontal line.

Theodore (Ted) Jojola, Ph.D.  
Distinguished Professor • Regents' Professor  
Community & Regional Planning Program  
School of Architecture + Planning/UNM  
Director, Indigenous Design + Planning Institute  
idpi.unm.edu

[tjojola@unm.edu](mailto:tjojola@unm.edu)  
(505) 277-6428

Asset#	Description	Acq. Cost	NBV	Date
N00010965	Large Format Scanner	\$14,579.32	\$0	3/15/2007

**Disposition of Surplus Property – UNM Tag #N00014604**

March 18, 2019

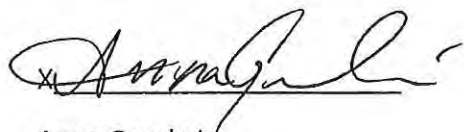
To: Marcos Roybal  
From: Emerging Infections Program  
Subject: Copy Machine Disposition

Dear Mr. Roybal:

UNM's Emerging Infections Program purchased a Canon Copier/Printer Model C33801, UNM Tag #N00014604 in January 2008 for \$12,300. This machine was used to support and conduct the daily business use of copying, scanning and printing of the department. In addition to this machine incurring high maintenance costs, the overall quality has greatly diminished, producing poor quality copies and print jobs leaving colored streaks, therefore no longer efficient for the overall productivity of the department.

We believe our decision to send this machine to UNM's Surplus Property will result in monthly cost savings in contracted maintenance and repair service fees. Thank you for your consideration.

Sincerely,



Anna Goodwin  
Program Coordinator  
Office for Community Health, EIP Program

Asset#	Description	Acq. Cost	NBV	Date
N00014604	Color copier	\$12,300.00	\$0	1/9/2008

May 17, 2019

**Disposition of Surplus Property- UNM Asset # N00059656**

From: Office for Diversity, Equity, and Inclusion  
2500 Marble Ave. NE  
HSSB Suite 102  
Albuquerque, NM 87131

Subject: Copier/Printer Disposition

To whom it may concern,

UNM Asset Tag # N00059656 (BizHub Copier/printer) was purchased through the UNM Print Management program on September 17, 2013 by the Office for Diversity, Equity, and Inclusion for \$6661.32. The copier was used for printing and copying and has a net book value (NBV) of \$369.98. The printer has not been used since September of 2018 as it is no longer in working order. It takes up valuable real-estate in the work room area and we would greatly benefit in its removal. It was replaced last year by a more cost-efficient printer/copier.

Patrick Burwinkle, Accounting Manager from UNM Print Management and Kate Charleston, MACCT, Accounting Manager from UNM Contract and Grant Accounting, have also approved the surplus disposal of asset # N00059656.

Please let us know if you have any questions. Thank you for your consideration.

Sincerely,



Steven J Romero  
Director of Operations  
Office for Diversity, Equity, and Inclusion

Asset#	Description	Acq. Cost	NBV	Date
N00059656	Bizhub Copier	\$6,661.32	369.98	12/13/2016



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UNIVERSITY SERVICES – DISPOSITION OF SURPLUS PROPERTY  
JULY 2019



### Surplus Property Disposition - July FY20

Memo	Asset Tag	Department	Description	Manufacturer	Purchased	Total Cost (\$)	NBV (\$)	Disposal Method
1	N00014096	HSC CIO Office	Video Conference System	Polycom	11/28/2007	\$55,158.74	\$0.00	Obsolete
2	N00035775	IT Computing Platforms	DiskShelf/24x600GB	NetApp	5/14/2012	\$39,836.49	\$0.00	Obsolete
2	N00034130	IT Computing Platforms	DiskShelf/NetApp/24x600GB	NetApp	12/13/2011	\$35,521.36	\$0.00	Obsolete
3	168667	KUNM	TRANSMITTER	Broadcast	1/1/1985	\$34,220.00	\$0.00	Obsolete
2	N00025497	IT Computing Platforms	Fiber Channel Disk Shelf	NetApp	4/27/2010	\$21,602.36	\$0.00	Obsolete
2	N00030907	IT Computing Platforms	Networking Shelf	NetApp	6/10/2011	\$20,745.46	\$0.00	Obsolete
2	N00021436	IT Computing Platforms	Network Storage	ComDataSys	6/30/2009	\$18,904.78	\$0.00	Obsolete
4	N00027839	VPRED EPSCOR PI #1	Server	Dell	9/30/2010	\$16,808.52	\$0.00	Obsolete
2	N00025284	IT Computing Platforms	Storage System Drive	ComDataSys	4/7/2010	\$15,226.25	\$0.00	Obsolete
2	N00025285	IT Computing Platforms	Storage System Drive	ComDataSys	4/7/2010	\$15,226.25	\$0.00	Obsolete
2	N00025286	IT Computing Platforms	Storage System Drive	ComDataSys	4/7/2010	\$15,226.25	\$0.00	Obsolete
2	N00025493	IT Computing Platforms	SATA Disk Storage Unit	NetApp	4/27/2010	\$15,000.00	\$0.00	Obsolete
2	N00025495	IT Computing Platforms	SATA Disk Storage Unit	NetApp	4/27/2010	\$15,000.00	\$0.00	Obsolete
2	N00025496	IT Computing Platforms	SATA Disk Storage Unit	NetApp	4/27/2010	\$15,000.00	\$0.00	Obsolete
4	N00024446	VPRED EPSCOR PI #1	Server	Dell	2/10/2010	\$13,634.40	\$0.00	Obsolete
5	N00015957	SW Hispanic Research Institute	Multi-Media Scanner System	Totalmag	5/1/2008	\$11,817.91	\$0.00	Obsolete
5	N00015958	SW Hispanic Research Institute	Multi-Media Scanner System	Totalmag	5/1/2008	\$11,817.90	\$0.00	Obsolete



### Surplus Property Disposition - July FY20

Memo	Asset Tag	Department	Description	Manufacturer	Purchased	Total Cost (\$)	NBV (\$)	Disposal Method
	N00022691	Political Science Gen Admin	Ricoh Copier System	Ricoh	8/10/2009	\$7,759.34	\$0.00	Obsolete
	N00028278	IT Academic Technologies	Video Conferencing Unit	Polycom	11/17/2010	\$7,469.17	\$0.00	Obsolete
	N00022135	Taos Sci Nat Resouces Hlth Occup	Peripheral Kit Complete	Mci	7/23/2009	\$7,195.00	\$0.00	Obsolete
	260391	Physics Astronomy Department	COMPUTER CPU/SYSTEM	Tec	4/10/2003	\$6,580.00	\$0.00	Obsolete
	238124	Anthropology Department	STERILIZER	VWR	8/26/1999	\$5,811.00	\$0.00	Obsolete
	N00019834	Taos Facilities MngtFacility Rental	Server	Dell	2/1/2009	\$5,762.14	\$0.00	Obsolete
	187897	Physics Astronomy Department	OSCILLOSCOPE	HewlettPac	4/7/1990	\$5,160.00	\$0.00	Obsolete
<b>Total Asset Disposition (#)</b>								<b>24</b>
<b>Total Capitalization (\$)</b>								<b>\$416,483.32</b>
<b>Total Net Book Value (\$)</b>								<b>\$0.00</b>



MEMORANDUM

To: UNM Inventory Control/UNM Surplus

From: Thomas M. Gutierrez, Core IT Services Specialist



Date: July 2, 2019

Re: Surplus of N00014096 Polycom Video Conference System

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The Health Sciences Center Chief Information Office (CIO) has the need to surplus the asset N00014096 Polycom Video Conference System. The asset was originally purchased on November 30<sup>th</sup> 2007 for \$55,158.74. It is a model SDP950/VSX7000E, serial number T7700146/8207240801E9B9. The current net book value NBV is \$0.00.

This unit was used for video conferencing in classrooms. It is no longer a supported model and we now exclusively use web-conferencing in the classroom environment, no hardware based solutions.

Please remove the asset from our inventory, Org code 340B.

Thanks,



Marcia Sletten  
Manager Division Support Services

Asset#	Description	Acq. Cost	NBV	Date
N00014096	Video Conference System	\$55,158.74	\$0	11/30/2007



INFORMATION  
TECHNOLOGIES

TO: UNM Inventory Control

FROM: Kirsten Martinez, IT Fiscal Operations Director

DATE: June 27, 2019

SUBJECT: Request to Surplus Items Org 322A

This memo responds to a request for additional information and approval pertaining to a request for the surplus of assets dated 2/5/19, for the high dollar assets listed below. The Director of Fiscal Operations is requesting to surplus the following assets. The hardware is used for file storage. The equipment has reached its "End of Life" which means the vendor will no longer provide support on the equipment or provide replacement parts. There are no longer any updates or patches offered on the equipment which can limit the ability to use it with new hardware and secure it against new threats. The hardware is now obsolete.

<u>UNM Tag#</u>	<u>Acquisition Date</u>	<u>UNM Serial #</u>	<u>Asset Desc</u>	<u>MFG</u>	<u>Model</u>	<u>Acquisition Cost</u>	<u>Net Book Value</u>	<u>Grant</u>
N00021436	7/2/2009	SHU936310036F4A	Network Storage-X94015A-ESH4-QS-R5; SHlf	ComDataSys	DS14 MK4 SHLF	\$ 18,907.78	\$ -	2P0112
N00025493	5/3/2010	SHU897670040533	SATA Disk Storage Unit	Net App	DS14A-R5	\$ 15,000.00	\$ -	N/A
N00025284	4/16/2010	SHU93631002BE54	Storage System Drive	ComDataSys	DS14A-R5	\$ 15,226.25	\$ -	N/A
N00025285	4/16/2010	SHU93631002BE7E	Storage System Drive	ComDataSys	DS14A-R5	\$ 15,226.25	\$ -	N/A
N00025286	4/16/2010	SHU93631002FB23	Storage System Drive	ComDataSys	DS14A-R5	\$ 15,226.25	\$ -	N/A
N00030907	6/10/2011	SHU8976700405A8	Networking Shelf	Net App	R5-C	\$ 20,745.46	\$ -	N/A
N00035775	5/11/2012	SHU89767002E9A0	DiskShelf/24x600GB	Net App	24x2.0TB	\$ 39,622.78	\$ -	N/A
N00025497	5/3/2010	SHU897670040535	Fiber Channel Disk Shelf	Net App	DS14A-R5	\$ 21,602.36	\$ -	N/A
N00025496	5/3/2010	SHU89767004057A	SATA Disk Storage Unit	Net App	DS14A-R5	\$ 15,000.00	\$ -	N/A
N00025495	5/3/2010	SHU8976700404E4	SATA Disk Storage Unit	Net App	DS14A-R5	\$ 15,000.00	\$ -	N/A
N00034130	10/27/2011	SHJ936310118B67	DiskShelf/NetApp/24x600GB	Net App	24x600GB 15K 3GB SAS	\$ 35,521.36	\$ -	N/A

Thank you.



Kirsten Martinez, Fiscal Operations Director



# Disposal of Surplus Property - UNM Tag # 168667 - Transmitter

May 31, 2019

To: Marcos Roybal  
From: KUNM Radio, Org 402A  
Subject: Transmitter Disposition

Dear Mr. Roybal,

UNM Tag **168667** (Broadcast Electronic radio transmitter) was purchased on January 1, 1985 for \$34,220.00. This transmitter was used to broadcast KUNM's radio signal from Sandia Crest in a UNM facility managed by NMPBS (KNME). The NBV for this transmitter is \$00.00.

In the broadcast industry, transmitters in 24x7x365 operation are generally thought to have a 12-year life span. KUNM purchased a new main transmitter (Asset 261847) on July 1, 2003. The older transmitter (**168667**) was placed into "auxiliary" service and was used during maintenance on our newer transmitter.

On 03/11/2013, KUNM bought another new transmitter (Asset N00040027) for Sandia Crest. Asset 261847 purchased in 2003 was placed into auxiliary status and Asset **168667** was retired from service put kept at the Crest for part.

Asset **168667** no longer works and will not produce a digital signal as is required in our FCC license. NMPBS has asked KUNM to remove the transmitter from our shared building due to current space constraints.

KUNM will disassemble a couple of the heavier components to make this transmitter easy to move. We will schedule with your team at Surplus so the trip by our truck from the Crest to UNM Surplus is safe and convenient for your team. The transmitter has an approximate foot print of 3'W x 4'D x 6'H. I am guessing it weighs 300 pounds. If outside storage is the best option, we can bring a tarp.

Thank you in advance for your review and approval of this disposition. Please contact me directly if you have questions or need further information.

Regards,

Richard Towne, KUNM General Manager

277-8009 and rtowne@unm.edu

Asset#	Description	Acq. Cost	NBV	Date
168667	Transmitter	\$34,220.00	\$0	1/01/1985



July 1, 2019

To: Macros Roybal  
From: DataONE  
Subject: Server disposal

Dear Mr. Roybal:

UNM Asset N00024446 was purchased on 2010-01-27 for \$13634.40 and asset N00027839 was purchased on 2010-07-08 for \$16808.52. They are both Dell servers that were used to host virtual machines for the DataONE cyber infrastructure. These servers are past end of life and now have a net book value of \$0. The systems that were previously running on these servers have been migrated to newer, faster, more reliable hosts and as such these servers are no longer used in our infrastructure.

Sincerely,

X 

Dustin Allen  
Systems/Network Analyst

Asset#	Description	Acq. Cost	NBV	Date
N00024446	Dell PowerEdge Server	\$13,634.40	\$0	2/11/2010
N00027839	Dell PowerEdge Server	\$16,808.52	\$0	10/01/2010

*Land Grant Studies Program*

**Disposition of Surplus Property – UNM Tags #N00015957 & #N00015958**

June 21, 2019

To: Marcos Roybal  
CC: Jacqueline Alderete; C. Arturo Archuleta  
From: Land Grant Studies Program  
Subject: Digital Film Viewers

Dear Mr. Roybal:

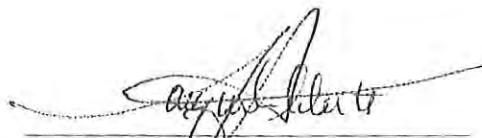
UNM Tags #N00015957 and #N00015958 were transferred from the UNM CULLS (University College of Libraries and Learning Sciences) to the New Mexico Land Grant Council/UNM Land Grant Studies Program in June of 2016. These scanners (both Scanview ST 200X models) are both severely outdated and only run on Windows PCs running on a 32-bit operating system no newer than Windows 7. Windows has not released a service pack on this software since February 2011 and has stop supporting machines running this software since 2015.

We believe that our decision to send these items to UNM Surplus Property will have no impact on our operations and that they offer little value to any other UNM departments. UNM CULLS currently uses scanners two generations newer than these ST 200X scanners. Thank you for your consideration.

Sincerely,



Jacobo D. Baca, Ph.D.  
Research Historian  
Land Grant Studies Program &  
New Mexico Land Grant Council



Cc: Jacqueline Alderete  
Unit Administrator I  
Southwest Hispanic Research Institute  
Phone: 505-277-0991

Asset#	Description	NBV	Acq. Cost	Date
N00015957	Multi-Media Scanner	\$0	\$11,817.90	5/02/2008
N00015958	Multi-Media Scanner	\$0	\$11,817.90	5/02/2008

# New Mexico Compilation Commission

## 13-6-1 . Disposition of obsolete, worn-out or unusable tangible personal property.

A. The governing authority of each state agency, local public body, school district and state educational institution may dispose of any item of tangible personal property belonging to that authority and delete the item from its public inventory upon a specific finding by the authority that the item of property is:

- (1) of a current resale value of five thousand dollars (\$5,000) or less; and
- (2) worn out, unusable or obsolete to the extent that the item is no longer economical or safe for continued use by the body.

B. The governing authority shall, as a prerequisite to the disposition of any items of tangible personal property:

- (1) designate a committee of at least three officials of the governing authority to approve and oversee the disposition; and
- (2) give notification at least thirty days prior to its action making the deletion by sending a copy of its official finding and the proposed disposition of the property to the state auditor and the appropriate approval authority designated in Section 13-6-2 NMSA 1978, duly sworn and subscribed under oath by each member of the authority approving the action.

C. A copy of the official finding and proposed disposition of the property sought to be disposed of shall be made a permanent part of the official minutes of the governing authority and maintained as a public record subject to the Inspection of Public Records Act [Chapter 14, Article 2 NMSA 1978].

D. The governing authority shall dispose of the tangible personal property by negotiated sale to any governmental unit of an Indian nation, tribe or pueblo in New Mexico or by negotiated sale or donation to other state agencies, local public bodies, school districts, state educational institutions or municipalities or through the central purchasing office of the governing authority by means of competitive sealed bid or public auction or, if a state agency, through the surplus property bureau of the transportation services division of the general services department.

E. A state agency shall give the surplus property bureau of the transportation services division of the general services department the right of first refusal when disposing of obsolete, worn-out or unusable tangible personal property of the state agency.

F. If the governing authority is unable to dispose of the tangible personal property pursuant to Subsection D or E of this section, the governing authority may sell or, if the property has no value, donate the property to any organization described in Section 501(c)(3) of the Internal Revenue Code of 1986.

G. If the governing authority is unable to dispose of the tangible personal property pursuant to Subsection D, E or F of this section, it may order that the property be destroyed or otherwise permanently disposed of in accordance with applicable laws.

H. If the governing authority determines that the tangible personal property is hazardous or contains hazardous materials and may not be used safely under any circumstances, the property shall be destroyed and disposed of pursuant to Subsection G of this section.

I. No tangible personal property shall be donated to an employee or relative of an employee of a state agency, local public body, school district or state educational institution; provided that nothing in this subsection precludes an employee from participating and bidding for public property at a public auction.

J. This section shall not apply to any property acquired by a museum through abandonment procedures pursuant to the Abandoned Cultural Properties Act [18-10-1 to 18-10-5 NMSA 1978].

K. Notwithstanding the provisions of Subsection A of this section, the department of transportation may sell through public auction or dispose of surplus tangible personal property used to manage, maintain or build roads that exceeds five thousand dollars (\$5,000) in value. Proceeds from sales shall be credited to the state road fund. The department of transportation shall notify the department of finance and administration regarding the disposition of all property.

L. If the secretary of public safety finds that the K-9 dog presents no threat to public safety, the K-9 dog shall be released from public ownership as provided in this subsection. The K-9 dog shall first be offered to its trainer or handler free of charge. If the trainer or handler does not want to accept ownership of the K-9 dog, then the K-9 dog shall be offered to an organization described in Section 501(c)(3) of the Internal Revenue Code of 1986 free of charge. If both of the above fail, the K-9 dog shall only be sold to a qualified individual found capable of providing a good home to the animal.

History: 1953 Comp., § 6-1-7.1, enacted by Laws 1961, ch. 100, § 1; 1979, ch. 195, § 2; 1984, ch. 47, § 1; 1987, ch. 15, § 1; 1989, ch. 211, § 6; 1995, ch. 181, § 1; 1998, ch. 16, § 1; 2001, ch. 317, § 1; 2007, ch. 57, § 4; 2012, ch. 10, § 1; 2013, ch. 9, § 1.




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**University Controller**  
**Financial Services, Main Campus**  
**Phone: (505) 277-5111**  
**FAX: (505) 277-7662**

**MEMORANDUM**

**DATE:** July 15, 2019

**TO:** Teresa Costantinidis  
Senior VP for Finance and Administration

**FROM:** Elizabeth Metzger, CPA   
University Controller

**RE:** One (1) Action Item for Board of Regents' Finance & Facilities Committee Meeting

*Action Items.*

The New Mexico Higher Education Department, Institutional Finance Division, Quarterly Financial Actions Report and Certification through June 30, 2019 needs to be approved at the August 6, 2019 Finance & Facilities Committee meeting.

New Mexico Higher Education Department  
Institutional Finance Division  
Quarterly Financial Certification Report Template

Please complete and sign the following Financial Certification Report and submit with the Quarterly Financial Actions Report.

To the best of my knowledge, I certify that the information provided in the attached Financial Actions Report for the:

1<sup>st</sup> \_\_\_\_\_ 2<sup>nd</sup> \_\_\_\_\_ 3<sup>rd</sup> \_\_\_\_\_ 4<sup>th</sup>   X   Quarter, FY  2019 

is correct as of the signature dates noted below, and that

The University of New Mexico

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has a functioning financial accounting system that captures assets, liabilities, revenues, and expenditures on a timely basis, and the Governing Board receives timely notification of any significant actual or projected variances between budgeted and actual revenues and expenditures.

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Sandra Begay, Chair, Board of Regents-F&F	Date
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Garnett S. Stokes, President	Date
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Teresa Costantinidis, Senior VP for Finance & Administration	Date
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# University of New Mexico

## Quarterly Financial Actions Report

Fiscal year 2019 Date 7/24/2019

Period (check one)

Quarter 1 ☐ Quarter 2 ☐ Quarter 3 ☐ Quarter 4 ☒

**During the period of time covered by this report; did your institution:**

(1) Request an advance of state subsidy? Yes ☐ No ☒

(2) Fail to make its required payments, as scheduled, to appropriate retirement system(s)? Yes ☐ No ☒

(3) Fail to make its payroll payments, as scheduled? Yes ☐ No ☒

(4) Fail to make its scheduled debt service payments? Yes ☐ No ☒

(5) Fail to make payments to vendors, as scheduled, due to a cash deficiency or a substantial deficiency in the payment processing system? Yes ☐ No ☒

(6) Relative to the original fiscal year budget, experience any significant actual or anticipated financial changes that are not reflected in a submitted Budget Adjustment Request (BAR). Significant financial changes refers to fiscal activity that will result in a substantially reduced year-end fund balance or any increase in a fund balance deficit. \*See note below Yes ☐ No ☒

If the answer to any of the above questions is "Yes," please describe in a separate document:

- (i) the reason for the occurrence,
- (ii) the actions taken by your institution to resolve this particular occurrence, and
- (iii) the actions taken by your institution to prevent events such as this from occurring again.

In addition, if the answer to number 6 is "Yes," please describe in a separate document the nature of the financial changes and describe and assess the impact that the changes will have on your institution's planned year-end financial position. (See attached.)

\* - BAR's for FY19 were submitted to HED on May 1

New Mexico Higher Education Department  
Institutional Finance Division  
University of New Mexico Athletics Department  
Quarterly Enhanced Fiscal Oversight Program (EFOP) Report

Please certify the following EFOP report and submit with the Quarterly Financial Certification and Financial Action Reports.

To the best of my knowledge, I certify that the information provided in the attached EFOP report for the:

1<sup>st</sup> \_\_\_\_ 2<sup>nd</sup> \_\_\_\_ 3<sup>rd</sup> \_\_\_\_ 4<sup>th</sup>  X  Quarter, FY 2019

is correct as of the signature dates noted below:

**The University of New Mexico**

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Sandra Begay, Chair, Board of Regents – Finance and Facilities Committee	Date
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Garnett S. Stokes, President	Date
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Teresa Costantinidis, SVP for Administration	Date
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**To:** Members of the Board of Regents' Finance & Facilities Committee

**From:** Eddie Nunez, Director of Athletics  
Nicole Dopson, Director of Financial Operations for Academic Affairs

**Date:** August 6, 2019

**Re:** Approval of the FY19 Fourth Quarter Submission to Higher Education Department for the Enhanced Fiscal Oversight Program (EFOP)

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In order to respond to concerns brought by the New Mexico Higher Education Department (NMHED), the University has agreed to provide the attached Enhanced Fiscal Oversight Program (EFOP) Report. This report will be provided in addition to the Quarterly Financial Actions Report and Certification that are already required for all higher education institutions.

The report will provide Athletics financial status through reporting templates provided by the Higher Education Department for the Athletics budget exhibits as well as a template for the plan associated with resolving the overall deficit within Athletics budget.

The EFOP report will accompany the report submitted by the Controller's Office until NMHED is satisfied with the University's progress on these issues.

Thank you for your consideration.



Exhibit 21 - UNM MAIN Campus  
Summary of Intercollegiate Athletics

		Original Approved Budget 2019				Actuals Quarter 1 - FY19				Actuals Quarter 2 - FY19				Actuals Quarter 3 - FY19				Actuals Quarter 4 - FY19				Increase / Decrease YTD				
		FTE	Unrestricted	FTE	Restricted	FTE	Unrestricted	FTE	Restricted	FTE	Unrestricted	FTE	Restricted	FTE	Unrestricted	FTE	Restricted	FTE	Unrestricted	FTE	Restricted	FTE	Unrestricted	FTE	Restricted	
Revenues	Tuition and Fees		3,681,708		0		1,936,355		0		0		0		1,450,816		0		0		0		294,537		0	
	State Appropriations		2,641,500		0		666,500		0		654,300		0		666,400		0		654,300				0		0	
	Federal Grants and Contracts		0		30,601		0		0		0		0		0		0		0				0		30,601	
	State Grants and Contracts		0		25,500		0		0		0		0		0		0		0				0		25,500	
	Private Gifts Grants and Contracts		0		0		0		0		0		0		0		0		0				0		0	
	Sales and Services		10,173,679		0		1,915,790		0		3,075,432		0		3,005,307		0		1,610,934				566,216		0	
	Other Sources		14,726,056		0		3,001,828		0		4,126,012		0		3,872,325		0		3,785,119				(59,227)		0	
Total Revenues			31,222,943		56,101		7,520,474		0		7,855,744		0		8,994,848		0		6,050,352		0		801,525		56,101	
Beginning Balance			(4,713,999)				(4,492,380)				(4,635,846)				(4,891,274)				(4,627,652)				(86,347)		0	
Total Available																							715,178		56,101	
Expenditures	Administrative Professional	92.00	9,131,345		0		2,369,423		0		2,389,425		0		2,367,455		0		2,222,567				92.00	(217,524)	0.00	0
	Faculty Salaries		5,000		0		1,000		0		1,500		0		1,500		0		1,000				0.00	0	0.00	0
	Federal Workstudy Salaries		0	2.00	30,601		0		0		0		0		134		0		369				0.00	(502)	2.00	30,601
	GA TA RA PA Salary	8.00	246,854		0		58,933		0		68,883		0		61,406		0		54,214				8.00	3,418	0.00	0
	Other Salaries	49.00	1,482,092		0		82,163		0		160,252		0		178,627		0		184,842				49.00	876,207	0.00	0
	State Workstudy Salaries		0	1.00	25,500		0		0		259		0		329		0		0				0.00	(588)	1.00	25,500
	Student Salaries	1.00	19,000		0		8,408		0		13,812		0		7,370		0		9,528				1.00	(20,118)	0.00	0
	Support Staff Salary	3.00	114,999		0		17,892		0		17,646		0		(4,035)		0		11,806				3.00	71,691	0.00	0
	Technician Salary	8.00	273,554		0		66,872		0		90,790		0		53,523		0		95,139				8.00	(32,769)	0.00	0
	Accrued Annual Leave		0		0		0		0		0		0		0		0		(3,844)					3,844		0
	Fica		655,476		0		140,766		0		129,672		0		186,828		0		156,488					41,722		0
	Group Insurance		954,374		0		165,157		0		186,914		0		174,691		0		162,334					265,278		0
	Medicare		22,657		0				0		0		0		0		0		0					22,657		0
	Other Staff Benefits		364,479		0		88,146		0		90,258		0		83,194		0		86,403					16,479		0
	Retirement		1,185,134		0		275,804		0		275,338		0		375,184		0		233,799					25,010		0
	Tuition Waivers		78,500		0		43,888		0		1,102		0		39,618		0		12,873					(18,981)		0
	Unemployment Compensation		5,980		0		1,776		0		1,862		0		1,818		0		1,730					(1,206)		0
	Workers Compensation		5,043		0		2,688		0		2,542		0		2,337		0		2,173					(4,697)		0
	Charge Inst. Support		0		0		32,750		0		32,750		0		32,750		0		(98,250)					0		0
	Contract Services		1,847,860		0		259,561		0		313,616		0		296,008		0		337,275					641,401		0
	Cost of Good Sold		550		0		0		0		0		0		391		0		(3,955)					4,114		0
	Electricity		330,000		0		62,898		0		83,509		0		74,732		0		93,619					15,242		0
	Equipment		221,669		0		61,827		0		47,551		0		59,949		0		42,065					10,276		0
	Fuel Heat Cool		60,870		0		609		0		10,654		0		41,865		0		10,937					(3,194)		0
	Officials Expense 63T3		594,250		0		7,040		0		184,855		0		236,241		0		249,248					(83,134)		0
	Services		0		0		0		0		0		0		0		0		0					0		0
	Sewer_Other		182,800		0		26,364		0		38,930		0		40,393		0		75,796					1,317		0
	Student Awards and Aid		4,750,000		0		2,226,796		0		497,140		0		2,392,644		0		(427,608)					61,029		0
	Supplies Expense		6,543,784		0		1,661,058		0		2,449,266		0		2,114,781		0		1,375,936					(1,057,256)		0
	Travel		182,500		0		9,269		0		34,282		0		44,664		0		40,221					54,063		0
	Travel-Group		2,814,700		0		854,145		0		1,064,915		0		796,508		0		339,446					(240,313)		0
	Travel-Recruiting		812,777		0		153,515		0		200,538		0		224,558		0		123,136					111,030		0
	Internal Service Ctr		0		0		(57,070)		0		(208,415)		0		(2,098)		0		(23,897)					291,481		0
	Internal Sales		0		0				0				0				0									0
Total Expenditures		161.00	32,886,247	3.00	56,101	0.00	8,621,676	0.00	0	0.00	8,179,844	0.00	0	0.00	9,883,362	0.00	0	0.00	5,365,388	0.00	0	161.00	835,976	3.00	56,101	
Transfers (IN) or OUT			(1,663,304)				(957,737)				(68,672)				(1,152,136)				433,146					82,095		
Ending Balance			(4,713,999)				(4,635,846)				(4,891,274)				(4,627,652)				(4,375,834)				(202,893)			

## Budget Unit 350 - Administration

		Original Budget 2019		Actuals Quarter 1 - FY19		Actuals Quarter 2 - FY19		Actuals Quarter 3 - FY19		Actuals Quarter 4 - FY19		Increase / Decrease YTD	
		FTE	Unrestricted	FTE	Unrestricted	FTE	Unrestricted	FTE	Unrestricted	FTE	Unrestricted	FTE	Unrestricted
<b>Revenues</b>	Tuition and Fees		3,681,708		1,936,355		-		1,450,816		-		294,537
	State Appropriations		2,641,500		666,500		654,300		666,400		654,300		-
	Private Gifts Grants and Contracts		-		-		-		-		-		-
	Sales and Services		3,589,879		450,929		631,950		1,003,623		1,497,218		6,159
	Other Sources		4,479,635		3,001,684		4,126,156		(11,436,043)		3,653,233		5,134,605
<b>Total Revenues</b>		-	<b>14,392,722</b>	-	<b>6,055,468</b>	-	<b>5,412,406</b>	-	<b>(8,315,204)</b>	-	<b>5,804,751</b>		<b>5,435,301</b>
<b>Beginning Balance</b>			(4,713,999)		(3,865,512)		(647,296)		-		(64,570)		(136,621)
<b>Total Available</b>			<b>9,678,723</b>		<b>2,189,956</b>		<b>4,765,110</b>		<b>(8,315,204)</b>		<b>5,740,181</b>		<b>5,298,680</b>
<b>Expenditures</b>	Administrative Professional	31.50	2,292,949		528,067		506,877		556,047		523,688	31.50	178,270
	Faculty Salaries	0.06	5,000		1,000		1,500		1,500		1,000	0.06	-
	Federal Workstudy Salaries		-		-		-		134		368	-	(502)
	GA TA RA PA Salary	6.68	204,721		33,464		36,696		37,297		34,064	6.68	63,200
	Other Salaries	40.08	764,020		62,633		72,109		159,479		98,844	40.08	370,955
	State Workstudy Salaries		-		-		259		329		-	-	(588)
	Student Salaries	0.52	10,000		7,407		12,149		7,369		9,528	0.52	(26,453)
	Support Staff Salary	2.00	78,780		9,907		7,255		(12,510)		1,277	2.00	72,851
	Technician Salary	7.75	273,554		65,197		86,074		52,168		87,432	7.75	(17,317)
	Accrued Annual Leave		-		-		-		-		(33,217)	-	33,217
	Fica		199,993		42,628		41,672		54,899		48,754	-	12,040
	Group Insurance		297,244		64,925		75,924		68,116		64,457	-	23,822
	Other Staff Benefits		111,369		24,733		24,537		21,846		23,037	-	17,216
	Retirement		363,387		88,996		79,643		143,598		26,333	-	24,817
	Tuition Waivers		58,500		30,045		-		27,948		10,062	-	(9,555)
	Unemployment Compensation		1,830		467		471		529		484	-	(121)
	Workers Compensation		1,568		957		623		861		519	-	(1,392)
	Charge Inst. Support		-		32,750		32,750		32,750		(98,250)	-	-
	Contract Services		1,393,210		233,789		147,064		166,356		296,540	-	549,461
	Cost of Good Sold		550		-		-		391		(5,000)	-	5,159
	Electricity		330,000		-		-		-		-	-	330,000
	Equipment		70,844		6,206		12,747		31,360		24,680	-	(4,149)
	Fuel_Heat_Cool		60,000		-		-		-		-	-	60,000
	Officials Expense 63T3		23,000		280		5,600		(5,600)		2,450	-	20,270
	Services		-		-		-		-		-	-	-
	Sewer_Other		175,000		543		-		1,342		2,186	-	170,929
	Student Awards and Aid		4,750,000		2,200,046		(1,756,458)		2,364,944		(2,663,497)	-	4,604,965
	Supplies_Expense		4,116,530		1,143,025		1,430,639		1,238,051		1,183,910	-	(879,095)
	Travel		65,450		1,759		15,518		9,731		26,903	-	11,539
	Travel-Group		221,500		68,857		39,713		131,649		119,424	-	(138,143)
	Travel-Recruiting		187,027		2,775		-		1,889		-	-	182,363
	Internal Service Ctr Internal Sales		-		(13,692)		(1,692)		-		(23,898)	-	39,282
<b>Total Expenditures</b>		<b>88.59</b>	<b>16,056,026</b>	-	<b>4,636,764</b>	-	<b>871,670</b>	-	<b>5,092,473</b>	-	<b>(237,922)</b>	<b>88.59</b>	<b>5,693,041</b>
<b>Transfers (IN) or OUT</b>	Trsfr From Athletics		-		-		-		-		349,726	-	(349,726)
	Trsfr From Auxiliaries		-		-		-		-		-	-	-
	Trsfr From Endowments		(789,039)		-		-		(789,039)		-	-	-
	Trsfr From I G		(254,100)		(213,528)		(13,524)		(13,524)		(13,524)	-	-
	Trsfr From Plant Fund Minor		(774,000)		(774,000)		-		(415,287)		-	-	415,287
	Trsfr From Public Service		-		-		-		-		-	-	-
	Trsfr To Athletics		-		-		-		-		-	-	-
	Trsfr To Auxiliaries		-		-		-		608		-	-	(608)
	Trsfr To Debt Service		56,423		14,106		14,106		14,105		14,106	-	-
	Trsfr To I G		-		2,405		-		41,000		-	-	(43,405)
	Trsfr To Plant Fund Minor		-		5,868		-		-		-	-	(5,868)
	Trsfr To Public Service		-		-		-		10,000		-	-	(10,000)
	Trsfr To Student Aid		-		-		-		-		-	-	-
	Trsfr To Student Social Cultural		97,412		97,412		-		-		82,838	-	(82,838)
<b>Total Transfers (IN) or OUT</b>		-	<b>(1,663,304)</b>	-	<b>(867,737)</b>	-	<b>582</b>	-	<b>(1,152,137)</b>	-	<b>433,146</b>		<b>(77,158)</b>
<b>Ending Balance</b>			<b>(4,713,999)</b>		<b>(1,579,071)</b>		<b>3,892,858</b>		<b>(12,255,540)</b>		<b>5,544,957</b>		<b>(317,203)</b>

Budget Unit 351 - Basketball												
		FTE	Unrestricted	FTE	Unrestricted	FTE	Unrestricted	FTE	Unrestricted	FTE	Unrestricted	
Revenues	Sales and Services		3,800,000		7,421		1,621,490		1,783,797		900	386,392
	Other Sources		-		145		(145)		112,970		11,184	(124,154)
Total Revenues			3,800,000		7,566		1,621,345		1,896,767		12,084	262,238
Beginning Balance			-		-		-		-		-	-
Total Available			3,800,000		7,566		1,621,345		1,896,767		12,084	262,238
Expenditures	Administrative Professional	8.00	1,414,965		494,164		478,479		478,479		338,032	8.00 (374,189)
	Other Salaries		544,172		-		22,961		11,371		33,878	- 475,962
	Student Salaries	0.47	9,000		1,001		1,664		-		-	0.47 6,335
	Support Staff Salary		-		-		337		81		-	- (418)
	Technician Salary		-		60		613		958		3,596	- (5,227)
	Accrued Annual Leave		-		-		-		-		8,081	- (8,081)
	Fica		73,437		16,880		14,567		35,048		15,947	- (9,005)
	Group Insurance		109,148		12,127		13,838		13,864		12,146	- 57,173
	Other Staff Benefits		40,895		11,299		11,090		11,105		10,957	- (3,556)
	Retirement		133,435		26,476		26,835		49,745		36,776	- (6,397)
	Unemployment Compensation		672		346		352		344		257	- (627)
	Workers Compensation		576		316		577		328		314	- (959)
	Contract Services		153,040		70		25,447		71,028		42,713	- 13,782
	Equipment		2,650		3,167		391		1,058		7,774	- (9,740)
	Officials Expense 63T3		152,500		-		-		197,550		2,630	- (47,680)
	Sewer_Other		-		-		-		1,342		2,214	- (3,556)
	Student Awards and Aid		-		8,750		121,673		6,700		112,637	- (249,760)
	Supplies_Expense		659,010		54,273		113,081		452,215		58,610	- (19,169)
	Travel		26,500		898		2,121		2,883		1,735	- 18,863
	Travel-Group		360,000		-		141,383		165,921		25,873	- 26,823
	Travel-Recruiting		120,000		36,101		34,981		17,326		37,541	- (5,949)
	Internal Service Ctr Internal Sales		-		-		(185,681)		(2,097)		-	- 187,778
Total Expenditures		8.47	3,800,000	-	665,928	-	824,709	-	1,515,249	-	751,711	8.47 42,403
Transfers (IN) or OUT	Trsfr From I G				-		-		-		-	-
	Trsfr From Plant Fund Minor				(90,000)		(29,479)		-		-	119,479
Ending Balance			-		(568,362)		826,115		381,518		(739,627)	100,356

Budget Unit 352 - Football													
		FTE	Unrestricted	FTE	Unrestricted	FTE	Unrestricted	FTE	Unrestricted	FTE	Unrestricted	FTE	Unrestricted
Revenues	Sales and Services	2,300,000		1,424,390		561,005		-		338		314,267	
	Other Sources	3,971,415		-		-		5,693,751		5,598		(1,727,934)	
Total Revenues		6,271,415		1,424,390		561,005		5,693,751		5,936		(1,413,667)	
Beginning Balance		-		-		-		-		-		-	
Total Available		6,271,415		1,424,390		561,005		5,693,751		5,936		(1,413,667)	
Expenditures	Administrative Professional	13.00	2,503,452	635,159	630,382	598,969	632,259	13.00	6,683				
	GA TA RA PA Salary	1.38	42,133	17,669	20,386	16,310	14,950	1.38	(27,182)				
	Other Salaries	3.67	70,000	11,167	35,429	110	180	3.67	23,114				
	Student Salaries	-	-	-	-	-	-	-	-				
	Support Staff Salary	-	114	181	-	-	-	-	(295)				
	Technician Salary	-	630	2,185	-	-	-	-	(2,815)				
	Accrued Annual Leave	-	-	-	-	-	8,797	-	(8,797)				
	Fica	160,914	32,740	21,587	40,544	34,714	-	31,329					
	Group Insurance	239,162	33,071	37,740	35,560	31,153	-	101,638					
	Other Staff Benefits	89,607	22,830	22,690	20,191	22,576	-	1,320					
	Retirement	292,380	60,578	60,998	79,768	66,430	-	24,606					
	Tuition Waivers	20,000	11,234	1,102	11,670	2,812	-	(6,818)					
	Unemployment Compensation	1,472	453	468	420	442	-	(311)					
	Workers Compensation	1,262	835	702	590	618	-	(1,483)					
	Contract Services	217,400	21,144	111,485	18,690	(10,892)	-	76,973					
	Cost of Good Sold	-	-	-	-	1,045	-	(1,045)					
	Equipment	25,600	8,244	3,715	1,095	9,190	-	3,356					
	Officials Expense 63T3	162,000	-	163,915	3,350	927	-	(6,192)					
	Services	-	-	-	-	-	-	-					
	Sewer_Other	7,800	-	4,075	19,718	-	-	(15,993)					
	Student Awards and Aid	-	14,000	846,501	14,000	743,954	-	(1,618,455)					
	Supplies_Expense	1,162,683	303,675	705,018	147,449	91,002	-	(84,461)					
	Travel	40,550	595	2,464	10,308	2,936	-	24,247					
	Travel-Group	1,000,000	628,418	268,838	15,856	17,065	-	69,823					
	Travel-Recruiting	235,000	2,555	90,300	157,743	39,246	-	(54,844)					
	Internal Service Ctr Internal Sales	-	(39,978)	(848)	-	-	-	40,826					
Total Expenditures		18.05	6,271,415	- 1,765,133	- 3,029,313	- 1,192,341	- 1,709,404	18.05	(1,424,776)				
Transfers (IN) or OUT	Trsfr From Plant Fund Minor	-	-	-	-	-	-	-	-				
	Trsfr To Plant Fund Major	-	-	-	-	-	-	-	-				
Ending Balance		-	(340,743)	(2,468,308)	4,501,410	(1,703,468)	11,109						

Budget Unit 353 - Other Mens Sports													
		FTE	Unrestricted	FTE	Unrestricted	FTE	Unrestricted	FTE	Unrestricted	FTE	Unrestricted	FTE	Unrestricted
Revenues	Sales and Services		61,000		15,250		30,564		10,808		38,034		(33,656)
	Other Sources		1,706,725		-		-		2,216,906		(8,335)		(501,846)
Total Revenues			1,767,725		15,250		30,564		2,227,714		29,699		(535,502)
Beginning Balance			-		-		-		-		-		-
Total Available			1,767,725		15,250		30,564		2,227,714		29,699		(535,502)
Expenditures	Administrative Professional	8.00	746,641		204,483		213,483		195,160		194,494	8.00	(60,979)
	GA TA RA PA Salary		-		7,800		7,800		7,800		5,200	-	(28,600)
	Other Salaries	1.83	34,900		3,074		6,524		1,371		17,020	1.83	6,911
	Support Staff Salary		-		-		-		-		81	-	(81)
	Technician Salary		-		200		782		-		2,021	-	(3,003)
	Accrued Annual Leave		-		-		-		-		495	-	(495)
	Fica		54,013		13,845		12,104		15,026		15,650	-	(2,612)
	Group Insurance		60,437		11,637		13,164		12,503		10,741	-	12,392
	Medicare		22,657		-		-		-		-	-	22,657
	Other Staff Benefits		29,545		7,632		8,298		7,482		7,454	-	(1,321)
	Retirement		92,279		27,847		30,132		27,220		27,533	-	(20,453)
	Unemployment Compensation		478		145		155		138		145	-	(105)
	Workers Compensation		326		162		165		141		174	-	(316)
	Contract Services		4,700		216		1,462		732		4,873	-	(2,583)
	Equipment		44,000		23,591		3,314		9,895		(446)	-	7,646
	Fuel_Heat_Cool		520		-		38		481		355	-	(354)
	Officials Expense 63T3		80,000		6,760		5,660		8,428		91,123	-	(31,971)
	Sewer_Other		-		-		-		92		-	-	(92)
	Student Awards and Aid		-		-		257,866		-		243,802	-	(501,668)
	Supplies_Expense		179,429		48,283		83,415		62,158		(30,672)	-	16,245
Travel		15,850		497		444		2,293		472	-	12,144	
Travel-Group		323,500		49,384		130,488		135,442		32,483	-	(24,297)	
Travel-Recruiting		78,450		24,207		19,420		6,575		5,810	-	22,438	
Total Expenditures		9.83	1,767,725	-	429,763	-	794,714	-	492,937	-	628,808	9.83	(578,497)
Transfers (IN) or OUT	Trsrfr To Plant Fund Minor		-		-		-		-		-		-
Ending Balance			-		(414,513)		(764,150)		1,734,777		(599,109)		42,995



Budget Unit 409 - Utilities											
		FTE	Unrestricted	FTE	Unrestricted	FTE	Unrestricted	FTE	Unrestricted	FTE	Unrestricted
Revenues	Other Sources										
Total Revenues		-	-	-	-	-	550,000	-	-	-	(550,000)
Beginning Balance				4,095	(4,095)		-	-	-		-
Total Available		-	-	4,095	(4,095)		550,000	-	-		(1,100,000)
Expenditures	Electricity			62,898	83,509		74,732	93,619	-		(314,758)
	Fuel_Heat_Cool			609	10,578		40,903	10,372	-		(62,462)
	Sewer_Other			25,821	34,855		17,273	70,580	-		(148,529)
	Supplies_Expense			447	644		665	873	-		(2,629)
Total Expenditures		-	-	89,775	129,586		133,573	175,444			(528,378)
Transfers (IN) or OUT		-	-	-	-		-	-			-
Ending Balance		-	-	(85,680)	(133,681)		416,427	(175,444)			(571,622)
Budget Unit 437 - Miscellaneous											
		FTE	Unrestricted	FTE	Unrestricted	FTE	Unrestricted	FTE	Unrestricted	FTE	Unrestricted
Revenues	Federal Grants and Contracts									-	-
	State Grants and Contracts									-	-
	Sales and Services						10,000	41,600	-		(51,600)
Total Revenues		-	-	-	-		10,000	41,600			(51,600)
Beginning Balance		-	-	-	-		-	-			-
Total Available		-	-	-	-		10,000	41,600			(51,600)
Expenditures	Administrative Professional						3,750	-	-		(3,750)
	Federal Workstudy Salaries						-	-			-
	Other Salaries							10,413			(10,413)
	State Workstudy Salaries						-	-			-
	Fica						304	719			(1,023)
	Group Insurance						679	-			(679)
	Other Staff Benefits						160	-			(160)
	Retirement						521	431			(952)
	Unemployment Compensation						3	7			(10)
	Workers Compensation						5	116			(121)
	Contract Services							2,441			(2,441)
	Equipment						2,420	-	-		(2,420)
	Supplies_Expense						63	13,746	-		(13,809)
Total Expenditures		-	-	-	-	-	7,905	27,873	-		(35,778)
Transfers (IN) or OUT		-	-	-	-		-	-			-
Ending Balance		-	-	-	-		2,095	13,727			(15,822)

	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024	FY2025	FY2026	FY2027	FY2028	FY2029 (1)
Beginning Fund Balance (Deficit)	(4,713,999)	(4,492,380)	(4,492,380)	(4,005,052)	(3,517,723)	(3,030,395)	(2,543,066)	(2,055,737)	(1,568,409)	(1,081,080)	(593,751)	(106,423)
Recurring Fund Balance (Deficit)												
Net Balance (Deficit)	(4,713,999)	(4,492,380)	(4,492,380)	(4,005,052)	(3,517,723)	(3,030,395)	(2,543,066)	(2,055,737)	(1,568,409)	(1,081,080)	(593,751)	(106,423)
Revenues	32,944,217	32,097,208	31,123,208	30,739,504	30,739,504	30,739,504	30,739,504	30,739,504	30,739,504	30,739,504	30,739,504	30,739,504
Other Sources	814,207	789,039	(383,704)									
Subtotal Revenues	33,758,424	32,886,247	30,739,504	30,739,504	30,739,504	30,739,504	30,739,504	30,739,504	30,739,504	30,739,504	30,739,504	30,739,504
Expenses	33,541,301	34,373,643	33,723,643	30,252,176	30,252,176	30,252,176	30,252,176	30,252,176	30,252,176	30,252,176	30,252,176	30,252,176
Cost containment (reduction in expenses)	(4,496)	(737,396)	(2,496,467)									
Grant-aid subsidies (reduction in expenses)		(750,000)	(975,000)									
Subtotal Expenses	33,536,805	32,886,247	30,252,176	30,252,176	30,252,176	30,252,176	30,252,176	30,252,176	30,252,176	30,252,176	30,252,176	30,252,176
Transfers	0	0	0	0	0	0	0	0	0	0	0	0
Net Annual Balance (2)	221,619	0	487,329	487,329	487,329	487,329	487,329	487,329	487,329	487,329	487,329	487,329
Ending Fund Balance (Deficit)	(4,492,380)	(4,492,380)	(4,005,052)	(3,517,723)	(3,030,395)	(2,543,066)	(2,055,737)	(1,568,409)	(1,081,080)	(593,751)	(106,423)	380,906

(1) 10 year deficit reduction plan beginning in FY20 to FY29

(2) Annual contribution to the accumulated deficit per deficit reduction plan

(3) The deficit reduction plan was approved by the Board of Regents at their April 17th, 2018 meeting. The plan calls for an infusion of dollars in FY18 and FY19 along with cost containment in those years. Additional significant reductions in spending in FY20 will occur and those will be applied forward through the term of the plan.



## **Athletics' FY19 Revised Budget and Preliminary Actuals (Exhibit P21)**

**Updated through June 30, 2019 (Fiscal Year-End)**

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### **FY19 Preliminary Actuals:**

1. **Net balance-** FY19 preliminary actuals in projected to end the fiscal year with a positive net balance of \$116,546. This accounts for slightly less than .5% of the total Athletics' budget, which demonstrates that Athletics stayed within the targeted revised budget approved in May. Positive ending balances can be attributed to the following-
  - a. Land proceeds subsidy
  - b. Additional Grant-in-Aid subsidy
  - c. Vacancy savings on administrative positions
2. **Stayed within expenditure authority-** FY19 preliminary actual expenses is projected to end \$601,389 less than total revised budget expenses, therefore is within the HED approved expenditure authority. All pending expenses and posted expenses were reviewed in detail to ensure expenses were paid and appropriately booked in the correct fiscal year.

Although, the department is projected to end the fiscal year with a positive balance, this is primarily due to the additional University one-time subsidies made in FY19. Additional revenues and/or additional expenditure reductions will be necessary in order to maintain a balanced budget forward.

**Schedule A: (FY19 Revised Budget and Preliminary Actuals)-** This schedule details out pooled and directed revenues by sport for the FY19 budget and FY19 preliminary year-end actuals.

**Schedule B: (FY19 Expenses by Sports)-** This schedule details out FY19 revised expense budget and FY19 preliminary actuals by sport.



## Schedule A

FY19 Revised Budget and Preliminary Actuals by Exhibit P21

Revenue/Expense Description (2)	FY19 Original Budget	FY19 Revised Budget	Fiscal Year 2018-19 (FY19)				FY19 YTD	FY19 Budget to Projected Actuals Variance	Actuals% of Revised Budget	FY18 YTD Actuals	FY19 YTD vs. FY18 YTD Actuals
			Quarter 1 (7/1-9/30/18)	Quarter 2 (10/1-12/31/18)	Quarter 3 (1/1-3/31/19)	Quarter 4 (4/1-6/30/19)					
<b>Pooled Revenues</b>											
NCAA/Mountain West Conference	4,750,000	4,850,000	1,324,305	1,187,499	1,050,696	1,355,928	4,918,428	68,428	101.4%	4,811,244	107,185
Media Rights/Sponsorship/Licensing	5,625,000	5,134,000	1,239,126	1,239,126	1,239,126	1,239,122	4,956,500	(177,500)	96.5%	4,808,500	148,000
Commissions	800,000	880,000	220,000	219,999	219,998	219,999	879,996	(4)	100.0%	800,000	79,996
Naming Rights	200,000	200,000	-	-	200,000	-	200,000	-	100.0%	200,000	-
Student Fees	3,681,708	3,387,171	1,936,355	-	1,450,816	-	3,387,171	-	100.0%	3,851,603	(464,432)
Fundraising	2,400,000	2,400,000	600,000	600,000	600,000	600,000	2,400,000	-	100.0%	2,200,000	200,000
State Appropriation	2,641,500	2,641,500	666,500	654,300	666,400	654,300	2,641,500	-	100.0%	2,617,300	24,200
Facility Rental/Merchandise/GIK	2,400,000	2,305,379	14,121	996,407	475,655	370,783	1,856,966	(448,413)	80.5%	1,893,895	(36,930)
Special Events and Other Revenues	2,035,000	2,899,990	320,778	590,189	829,202	1,622,005	3,362,174	462,184	115.9%	2,836,016	526,158
Transfers to/from Campus	974,000	1,358,009	957,737	68,672	363,097	(433,146)	956,360	(401,649)	70.4%	1,588,632	(632,271)
Land Proceed Transfer	789,039	789,039	-	-	789,039	-	789,039	-	100.0%	814,207	(25,168)
<b>Sub-total</b>	<b>26,296,247</b>	<b>26,845,088</b>	<b>7,278,922</b>	<b>5,556,192</b>	<b>7,884,028</b>	<b>5,628,992</b>	<b>26,348,134</b>	<b>(496,954)</b>	<b>98.1%</b>	<b>26,421,396</b>	<b>(73,262)</b>
<b>Directed Revenues (by Sports)</b>											
Men's Basketball Tickets	3,800,000	3,595,290	-	1,783,226	1,812,064	(1,641)	3,593,649	(1,641)	100.0%	3,653,733	(60,084)
Football Tickets	1,200,000	884,995	323,610	557,485	3,900	38,376	923,371	38,376	104.3%	1,539,833	(616,462)
Women's Basketball Tickets	375,000	397,606	-	189,055	208,551	(824)	396,782	(824)	99.8%	398,630	(1,848)
Other Sports Tickets (1)	115,000	120,161	32,750	46,873	40,538	(23,799)	96,362	(23,799)	80.2%	144,832	(48,470)
Football Game Guarantees	1,100,000	1,100,000	1,100,000	-	-	-	1,100,000	-	100.0%	1,600,000	(500,000)
<b>Sub-total</b>	<b>6,590,000</b>	<b>6,098,052</b>	<b>1,456,360</b>	<b>2,576,639</b>	<b>2,065,053</b>	<b>12,112</b>	<b>6,110,164</b>	<b>12,112</b>	<b>100.2%</b>	<b>7,337,028</b>	<b>(1,226,864)</b>
<b>Total</b>	<b>32,886,247</b>	<b>32,943,140</b>	<b>8,735,282</b>	<b>8,132,830</b>	<b>9,949,082</b>	<b>5,641,104</b>	<b>32,458,298</b>	<b>(484,842)</b>	<b>98.5%</b>	<b>33,758,424</b>	<b>(1,300,126)</b>
<b>Expenses</b>											
Salaries	11,272,844	10,568,753	2,604,690	2,742,567	2,666,308	2,579,464	10,593,029	24,276	100.2%	11,240,784	(647,755)
Payroll Benefits	3,271,643	3,157,687	718,224	687,688	863,670	651,955	2,921,537	(236,150)	92.5%	3,167,131	(245,594)
Communication Charges	110,268	95,909	17,535	18,038	23,450	15,040	74,062	(21,847)	77.2%	86,663	(12,601)
Other Expense	782,164	1,970,733	218,423	1,252,401	777,248	412,990	2,661,061	690,328	135.0%	2,495,040	166,022
Patient Care Costs	98,300	88,721	56,778	19,074	25,368	17,966	119,186	30,465	134.3%	158,631	(39,444)
Plant Maintenance	250,457	259,277	51,597	56,267	126,337	97,520	331,722	72,445	127.9%	200,961	130,762
Services	4,967,038	4,125,858	507,645	1,077,855	1,085,107	1,165,438	3,836,045	(289,813)	93.0%	4,216,582	(380,537)
Student Costs (includes Grant-in-Aid)	5,694,190	6,117,420	2,653,964	706,028	2,600,487	(317,315)	5,643,164	(474,256)	92.2%	6,164,950	(521,786)
Supplies	2,055,696	1,760,780	742,211	395,514	494,766	82,191	1,714,682	(46,098)	97.4%	1,380,376	334,307
Travel	3,809,977	4,237,042	1,017,809	1,299,734	1,065,730	503,684	3,886,957	(350,085)	91.7%	3,864,523	22,434
Utilities	573,670	560,960	89,871	133,092	156,989	180,352	560,305	(655)	99.9%	561,162	(858)
<b>Total</b>	<b>32,886,247</b>	<b>32,943,140</b>	<b>8,678,747</b>	<b>8,388,259</b>	<b>9,885,459</b>	<b>5,389,285</b>	<b>32,341,751</b>	<b>(601,389)</b>	<b>98.2%</b>	<b>33,536,802</b>	<b>(1,195,051)</b>
<b>Net</b>	<b>-</b>	<b>-</b>	<b>56,535</b>	<b>(255,429)</b>	<b>63,622</b>	<b>251,819</b>	<b>116,546</b>	<b>116,546</b>	<b>0.4%</b>	<b>221,621</b>	<b>(105,075)</b>

(1) Other sports tickets include men's and women's soccer and track, baseball, softball, and volleyball

(2) Preliminary fiscal year-end actuals (through June 30, 2019) pending final audited actuals

(3) Does not include accumulated deficit

FY19 Revised Budgeted Expenses and Preliminary Actuals by Sport Exhibit P21 (1)

Football			
Expense Description	FY19 Revised Budget	FY19 YTD Actuals	FY19 Budget to Actuals Variance
Salaries	2,615,585	2,616,080	(495)
Payroll Benefits	804,797	663,312	141,485
Communication Charges	28,550	22,185	6,365
Other Expense	79,908	73,394	6,514
Patient Care Costs	18,000	16,516	1,484
Plant Maintenance	83,407	103,347	(19,940)
Services	703,600	699,720	3,880
Student Costs/Grant-in-Aid (2)	1,826,392	2,032,863	(206,471)
Supplies	305,350	249,481	55,869
Travel	1,275,550	1,236,323	39,227
Utilities	7,800	23,793	(15,993)
<b>Total</b>	<b>7,748,939</b>	<b>7,737,015</b>	<b>11,924</b>

Men's Baseball			
Expense Description	FY19 Revised Budget	FY19 YTD Actuals	FY19 Budget to Actuals Variance
Salaries	357,672	389,264	(31,592)
Payroll Benefits	107,609	94,570	13,039
Communication Charges	1,460	540	920
Other Expense	7,852	9,001	(1,149)
Patient Care Costs	-	1,920	(1,920)
Plant Maintenance	3,900	3,993	(93)
Services	55,760	97,356	(41,596)
Student Costs/Grant-in-Aid (2)	214,083	211,140	2,943
Supplies	78,230	86,416	(8,186)
Travel	168,100	148,778	19,322
Utilities	-	-	-
<b>Total</b>	<b>994,666</b>	<b>1,042,978</b>	<b>(48,312)</b>

<b>Women's Softball</b>			
<b>Expense Description</b>	<b>FY19 Revised Budget</b>	<b>FY19 YTD Actuals</b>	<b>FY19 Budget to Actuals Variance</b>
Salaries	183,000	174,557	8,443
Payroll Benefits	65,294	59,773	5,521
Communication Charges	2,400	810	1,590
Other Expense	3,942	5,273	(1,331)
Patient Care Costs	-	-	-
Plant Maintenance	-	1,103	(1,103)
Services	28,800	27,589	1,211
Student Costs/Grant-in-Aid (2)	177,793	200,045	(22,252)
Supplies	27,300	44,763	(17,463)
Travel	140,100	132,453	7,647
Utilities	-	-	-
<b>Total</b>	<b>628,629</b>	<b>646,366</b>	<b>(17,737)</b>

<b>Men's Basketball</b>			
<b>Expense Description</b>	<b>FY19 Revised Budget</b>	<b>FY19 YTD Actuals</b>	<b>FY19 Budget to Actuals Variance</b>
Salaries	2,009,737	1,865,674	144,063
Payroll Benefits	296,792	329,615	(32,823)
Communication Charges	5,759	5,988	(229)
Other Expense	42,418	41,841	577
Patient Care Costs	9,421	8,278	1,143
Plant Maintenance	38,468	46,125	(7,657)
Services	634,267	710,045	(75,778)
Student Costs/Grant-in-Aid (2)	334,743	359,885	(25,142)
Supplies	109,708	107,605	2,103
Travel	508,147	466,763	41,384
Utilities	2,684	3,556	(872)
<b>Total</b>	<b>3,992,144</b>	<b>3,945,375</b>	<b>46,769</b>

<b>Women's Basketball</b>			
<b>Expense Description</b>	<b>FY19 Revised Budget</b>	<b>FY19 YTD Actuals</b>	<b>FY19 Budget to Actuals Variance</b>
Salaries	808,486	814,606	(6,120)
Payroll Benefits	273,663	249,054	24,609
Communication Charges	2,920	1,530	1,390
Other Expense	16,596	18,113	(1,517)
Patient Care Costs	-	1,377	(1,377)
Plant Maintenance	7,400	29,125	(21,725)
Services	290,250	286,178	4,072
Student Costs/Grant-in-Aid (2)	290,871	337,389	(46,518)
Supplies	48,850	39,742	9,108
Travel	403,598	385,273	18,325
Utilities	-	1,354	(1,354)
<b>Total</b>	<b>2,142,634</b>	<b>2,163,741</b>	<b>(21,107)</b>

Men's Soccer			
Expense Description	FY19 Revised Budget	FY19 YTD Actuals	FY19 Budget to Actuals Variance
Salaries	225,969	214,590	11,379
Payroll Benefits	84,310	72,151	12,159
Communication Charges	2,420	1,332	1,088
Other Expense	5,628	4,651	977
Patient Care Costs	1,000	1,886	(886)
Plant Maintenance	-	-	-
Services	34,025	22,213	11,812
Student Costs/Grant-in-Aid (2)	156,631	166,388	(9,757)
Supplies	19,825	20,244	(419)
Travel	120,750	113,686	7,064
Utilities	-	92	(92)
<b>Total</b>	<b>650,558</b>	<b>617,231</b>	<b>33,327</b>

Women's Soccer			
Expense Description	FY19 Revised Budget	FY19 YTD Actuals	FY19 Budget to Actuals Variance
Salaries	196,000	196,400	(400)
Payroll Benefits	70,889	67,955	2,934
Communication Charges	1,060	581	479
Other Expense	3,491	5,516	(2,025)
Patient Care Costs	-	152	(152)
Plant Maintenance	-	252	(252)
Services	4,200	13,966	(9,766)
Student Costs/Grant-in-Aid (2)	266,129	232,865	33,264
Supplies	15,250	17,708	(2,458)
Travel	127,100	121,929	5,171
Utilities	-	89	(89)
<b>Total</b>	<b>684,119</b>	<b>657,413</b>	<b>26,706</b>

Men's Golf			
Expense Description	FY19 Revised Budget	FY19 YTD Actuals	FY19 Budget to Actuals Variance
Salaries	163,000	165,346	(2,346)
Payroll Benefits	50,816	59,185	(8,369)
Communication Charges	320	496	(176)
Other Expense	2,534	3,169	(635)
Patient Care Costs	-	-	-
Plant Maintenance	1,300	-	1,300
Services	450	317	133
Student Costs/Grant-in-Aid (2)	76,658	73,201	3,457
Supplies	2,475	28	2,447
Travel	61,250	92,611	(31,361)
Utilities	520	874	(354)
<b>Total</b>	<b>359,323</b>	<b>395,228</b>	<b>(35,905)</b>

Women's Golf			
Expense Description	FY19 Revised Budget	FY19 YTD Actuals	FY19 Budget to Actuals Variance
Salaries	144,905	146,360	(1,455)
Payroll Benefits	41,064	56,918	(15,854)
Communication Charges	560	0	560
Other Expense	6,119	3,119	3,000
Patient Care Costs	-	-	-
Plant Maintenance	-	-	-
Services	-	16,505	(16,505)
Student Costs/Grant-in-Aid (2)	113,465	121,005	(7,540)
Supplies	8,560	17,974	(9,414)
Travel	56,000	44,810	11,190
Utilities	350	728	(378)
<b>Total</b>	<b>371,023</b>	<b>407,419</b>	<b>(36,396)</b>

Men's Tennis			
Expense Description	FY19 Revised Budget	FY19 YTD Actuals	FY19 Budget to Actuals Variance
Salaries	103,000	94,155	8,845
Payroll Benefits	24,253	23,669	584
Communication Charges	290	270	20
Other Expense	3,195	2,832	363
Patient Care Costs	-	100	(100)
Plant Maintenance	-	-	-
Services	15,810	18,032	(2,222)
Student Costs/Grant-in-Aid (2)	62,811	63,782	(971)
Supplies	15,300	13,342	1,958
Travel	48,700	52,440	(3,740)
Utilities	-	-	-
<b>Total</b>	<b>273,359</b>	<b>268,623</b>	<b>4,736</b>

Women's Tennis			
Expense Description	FY19 Revised Budget	FY19 YTD Actuals	FY19 Budget to Actuals Variance
Salaries	105,000	84,485	20,515
Payroll Benefits	31,174	28,689	2,485
Communication Charges	610	660	(50)
Other Expense	2,036	2,755	(719)
Patient Care Costs	-	-	-
Plant Maintenance	-	-	-
Services	12,910	18,475	(5,565)
Student Costs/Grant-in-Aid (2)	125,761	149,887	(24,126)
Supplies	13,615	20,224	(6,609)
Travel	50,500	46,889	3,611
Utilities	-	-	-
<b>Total</b>	<b>341,606</b>	<b>352,065</b>	<b>(10,459)</b>

Cross Country (M/W) (3)			
Expense Description	FY19 Revised Budget	FY19 YTD Actuals	FY19 Budget to Actuals Variance
Salaries	111,538	112,426	(888)
Payroll Benefits	39,731	37,039	2,692
Communication Charges	-	-	-
Other Expense	2,885	2,883	2
Patient Care Costs	-	111	(111)
Plant Maintenance	-	487	(487)
Services	4,036	4,311	(275)
Student Costs/Grant-in-Aid (2)	255,717	241,747	13,970
Supplies	1,900	1,853	47
Travel	55,255	55,064	191
Utilities	-	-	-
<b>Total</b>	<b>471,062</b>	<b>455,922</b>	<b>15,140</b>

Track (M/W) (3)			
Expense Description	FY19 Revised Budget	FY19 YTD Actuals	FY19 Budget to Actuals Variance
Salaries	197,678	198,296	(618)
Payroll Benefits	71,737	68,118	3,619
Communication Charges	560	600	(40)
Other Expense	3,078	6,887	(3,809)
Patient Care Costs	-	890	(890)
Plant Maintenance	12,350	-	12,350
Services	6,750	3,581	3,169
Student Costs/Grant-in-Aid (2)	258,427	244,896	13,531
Supplies	17,813	34,318	(16,505)
Travel	170,397	207,722	(37,325)
Utilities	-	-	-
<b>Total</b>	<b>738,790</b>	<b>765,309</b>	<b>(26,519)</b>

Women's Volleyball (4)			
Expense Description	FY19 Revised Budget	FY19 YTD Actuals	FY19 Budget to Actuals Variance
Salaries	132,914	172,623	(39,709)
Payroll Benefits	42,351	52,805	(10,454)
Communication Charges	1,605	1,180	425
Other Expense	3,372	5,095	(1,723)
Patient Care Costs	-	-	-
Plant Maintenance	15,300	9,376	5,924
Services	52,950	41,263	11,687
Student Costs/Grant-in-Aid (2)	201,815	245,300	(43,485)
Supplies	33,650	20,756	12,894
Travel	94,650	116,049	(21,399)
Utilities	-	-	-
<b>Total</b>	<b>578,607</b>	<b>664,449</b>	<b>(85,842)</b>

<b>Sand Volleyball (4)</b>			
<b>Expense Description</b>	<b>FY19 Revised Budget</b>	<b>FY19 YTD Actuals</b>	<b>FY19 Budget to Actuals Variance</b>
Salaries	113,514	76,419	37,095
Payroll Benefits	42,351	23,446	18,905
Communication Charges	-	-	-
Other Expense	1,174	976	198
Patient Care Costs	-	-	-
Plant Maintenance	3,000	3,000	-
Services	-	1,000	(1,000)
Student Costs/Grant-in-Aid (2)	17,317	19,601	(2,284)
Supplies	500	481	19
Travel	32,250	22,775	9,475
Utilities	-	-	-
<b>Total</b>	<b>210,106</b>	<b>147,699</b>	<b>62,407</b>

<b>Skiing (M/W)</b>			
<b>Expense Description</b>	<b>FY19 Revised Budget</b>	<b>FY19 YTD Actuals</b>	<b>FY19 Budget to Actuals Variance</b>
Salaries	132,162	116,928	15,234
Payroll Benefits	49,310	46,510	2,800
Communication Charges	350	330	20
Other Expense	14,874	3,745	11,129
Patient Care Costs	-	-	-
Plant Maintenance	9,600	5,811	3,789
Services	7,000	7,804	(804)
Student Costs/Grant-in-Aid (2)	143,061	180,051	(36,990)
Supplies	21,260	10,046	11,214
Travel	102,950	111,169	(8,219)
Utilities	-	-	-
<b>Total</b>	<b>480,567</b>	<b>482,394</b>	<b>(1,827)</b>

<b>Swimming/Diving</b>			
<b>Expense Description</b>	<b>FY19 Revised Budget</b>	<b>FY19 YTD Actuals</b>	<b>FY19 Budget to Actuals Variance</b>
Salaries	146,000	148,112	(2,112)
Payroll Benefits	54,473	51,522	2,951
Communication Charges	350	330	20
Other Expense	3,251	4,644	(1,393)
Patient Care Costs	-	525	(525)
Plant Maintenance	-	-	-
Services	1,200	1,150	50
Student Costs/Grant-in-Aid (2)	244,709	267,898	(23,189)
Supplies	26,195	17,517	8,678
Travel	95,900	112,244	(16,344)
Utilities	-	-	-
<b>Total</b>	<b>572,078</b>	<b>603,942</b>	<b>(31,864)</b>

Spirit			
Expense Description	FY19 Revised Budget	FY19 YTD Actuals	FY19 Budget to Actuals Variance
Salaries	-	-	-
Payroll Benefits	-	-	-
Communication Charges	-	-	-
Other Expense	960	576	384
Patient Care Costs	-	-	-
Plant Maintenance	500	-	500
Services	850	4,810	(3,960)
Student Costs/Grant-in-Aid (2)	-	-	-
Supplies	4,458	(21)	4,479
Travel	32,200	33,595	(1,395)
Utilities	-	-	-
<b>Total</b>	<b>38,968</b>	<b>38,960</b>	<b>8</b>

Administration/Events/Other Operating (5)			
Expense Description	FY19 Revised Budget	FY19 YTD Actuals	FY19 Budget to Actuals Variance
Salaries	2,822,593	3,006,709	(184,116)
Payroll Benefits	1,007,073	937,204	69,869
Communication Charges	46,695	37,229	9,466
Other Expense	1,767,420	2,466,590	(699,170)
Patient Care Costs	60,300	87,432	(27,132)
Plant Maintenance	84,052	129,102	(45,050)
Services	2,273,000	1,861,731	411,269
Student Costs/Grant-in-Aid (2)	1,351,037	495,220	855,817
Supplies	1,010,541	1,012,206	(1,665)
Travel	693,645	386,383	307,262
Utilities	549,606	529,819	19,787
<b>Total</b>	<b>11,665,962</b>	<b>10,949,624</b>	<b>716,338</b>

<b>Total</b>	<b>32,943,140</b>	<b>32,341,751</b>	<b>601,389</b>
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(1) Does not include special events and bowl games; Preliminary fiscal year-end actuals (through June 30, 2019) pending final audited actuals

(2) Grant-in-Aid was budgeted based on FY18 actuals, FY19 actuals will be allocated to individual sports as expenses post

(3) M/W track and cross-country coaches salaries split 50/50 between sports.

(4) Women's volleyball and sand volleyball's coaches salaries split 50/50 between sports.

(5) Includes Events Management, Special Events, Bowl Games, Championships, Gifts-in-Kind, Parking, Concessions, Ticket Office, Administration, Business Office, Leagues and Clubs, Compliance, Advisement, Facility Rentals, Sports Camps, Utilities, Student Assistance Funds, Life skills, Pre Season Training, Athletic Vans, Insurance, Marketing and Media Relations

*Consent Docket Item C-4*

*“Approval of Athletics to Present Quarterly Reports on Revenue, Expenditures, and Compliance Beginning October 1, 2019”*

*No materials are provided for this item.*



801 University Blvd. SE, Suite 207  
Albuquerque, NM 87106

TO: THE BOARD OF REGENTS OF THE UNIVERSITY OF NEW MEXICO

FROM: CARLOS PEREA, CHAIR, INNOVATE ABQ, INC

DATE: JULY 24, 2019

SUBJECT: Innovate ABQ, Inc. Request for Appointment of Board Members

As the Chair of Innovate ABQ, Inc., a UNM research park corporation formed pursuant to the New Mexico University Research Park and Economic Development Act, I am pleased to present to the Board of Regents four outstanding candidates for appointment to the Innovate ABQ, Inc. board of directors. They are:

MICHAEL F. BUEHLER - Executive Vice President, NUSENDA Credit Union

CARLOS GARCIA - Executive Manager, Garcia Automotive Group

CARRIE T. FREEMAN - Managing Partner, SecondMuse

DANIEL H. LOPEZ - President Abante, LLC and former President of the New Mexico Institute of Mining and Technology

Additional information regarding each individual is included. Pursuant to Section 3.2 of the Innovate ABQ, Inc. Bylaws, Innovate directors are to have education, skills, experience, and interests in areas related to the development of a research, innovation and high technology business district, which areas include, but are not limited to, business development, real estate, research and development of technology, technology transfer and commercialization, banking and financing, workforce development and training, experimental and problem base learning, and local government. These individuals meet those criteria and have been approved by the current Innovate ABQ, Inc. board of directors to join the board.

Pursuant to §21-28-4 NMSA 1978, each research park corporation is to be governed by, and all its functions, powers and duties exercised by, a board of directors appointed by the regents that created the corporation. In accordance with Section 3.2 of the bylaws of the Innovate ABQ, Inc. the corporation shall have that number of directors as approved by the UNM Regents. Assuming the appointment of these four individuals, Innovate ABQ, Inc. will continue to have 14 members on its board. A roster of the board, assuming appointment of these new members, is attached as Schedule 1.

On behalf of the Board of Directors of Innovate ABQ, Inc., I request that the Board of Regents appoint Ms. Freeman and Messrs. Buehler, Garcia, and Lopez to the Innovate ABQ, Inc, board of directors for 3 year terms, bringing the total number of directors to 14.

## **SCHEDULE 1**

### **INNOVATE ABQ, INC. BOARD OF DIRECTORS**

#### Current Board Members

Carlos Perea, Chair

Pat Vincent Collawn

Chuck Wellborn

The Hon. Timothy Keller, Mayor City of Albuquerque

Richard Larson

John Sanchez

The Hon. Lonnie C. Talbert, Bernalillo County Commissioner

Dr. Garnett Stokes, UNM President, *ex-officio*

Ms. Teresa Costantinidis, UNM Sr. V.P. for Finance and Administration, *ex-officio*

Katharine Winograd, President Central New Mexico Community College, *ex-officio*

#### New Appointees

Michael F. Buehler

Carrie T. Freeman

Carlos Garcia

Daniel H. Lopez

# MICHAEL F. BUEHLER

FINANCIAL SERVICES EXECUTIVE

3216 Lexie Lane NE, Albuquerque, New Mexico 87122 | 505-239-6473 | [michael.buehler@gmail.com](mailto:michael.buehler@gmail.com)

## EDUCATION

Bachelor of Business Administration  
Accounting Concentration  
Anderson Schools of Management  
University of New Mexico

Master of Business Administration  
Anderson Schools of Management  
University of New Mexico

## LEADERSHIP SNAPSHOT

- Catalyst in Optimizing Profitability
- Financial Expert
- Board Liaison
- People Focused and Results Oriented

## DESIGNATION

Certified Credit Union Executive (CCUE)  
Credit Union National Association

## PROFESSIONAL PROFILE:

Nusenda Credit Union, Albuquerque, New Mexico

January 2005 – Present

Executive Vice President, Finance and Lending  
Executive Vice President, Chief Financial Officer  
Vice President, Senior Analyst

2014 – Present  
2007 – 2014  
2005 – 2007

Reporting to the President/CEO and serving as the Executive Vice President for the \$2.3B credit union, leading and executing the operational strategy of business initiatives of the credit union. Direct oversight and leadership of five direct reports in the areas of accounting/finance, business services, consumer and indirect lending and collections, mortgage services, secondary marketing, and financial analysis.

Collaborates with the Board of Directors, CEO, and Executive Leaders in the delivery of business initiatives established by the credit union. Critical team member on organizational initiatives related to Community Involvement, Nusenda Foundation setup and operations, innovateABQ business incubator investment, re-branding, the sale of a subsidiary Credit Union Service Organization (CUSO), and the formation of the new multi-owner CUSO.

Appointed liaison to manage legal matters of the credit union, and partner with the real estate community for acquisition and development of new brick and mortar branch locations. Primary contract with regulatory entities on examination findings and resolution.

### Selected Responsibilities & Accomplishments:

- Executive responsible for the financial reporting, analysis, investments, budgeting, loan and deposit pricing, and Asset/Liability Management for the \$2.3B organization.
- Developed the Business Services Department to include hiring key leaders, which includes the SVP Business Services and VP Business Lending Manager.
- Responsible for the Consumer, Indirect Lending, and Member Solutions (collections) divisions which includes underwriting parameters and processes, consumer loan portfolio oversight, indirect auto and RV operations, and consumer collections.
- Instituted an expanded first mortgage origination and servicing operation platform (FNMA and GNMA Seller/Service).
- Work directly with the CFO on the pipeline and closed loan hedging and securitization functions for the Secondary Marketing Division.
- Developed the profitability measures of the credit union's branch locations, loan, and share products.
- Utilize mortgage and real estate background to assist with the management of the credit union's real estate holdings and development.

Realty Mortgage & Investment Company, Albuquerque, New Mexico  
Vice President & Senior Analyst

October 2004 – January 2005

Conducted analysis of property economics for valuation purposes and discussed alternative financing structures with borrowers and investors. Underwrote and submitted investment grade income property loans for life insurance investors.

New Mexico Educators Federal Credit Union, Albuquerque, New Mexico  
Financial Analyst

April 1999 – October 2004

Reporting to the Chief Financial Officer, responsibilities included financial analysis and reporting of the credit union's financial operations, operating budgets, measurement of the profitability on the branch locations, loan and share products.

Financial Processing Services, Inc., Albuquerque, New Mexico  
Vice President/Treasurer

August 1995 – April 1999

Accountable for handling monthly interest billings, loan, and bank statement reconciliations, all accounting functions and financial statement preparation. Disbursed loan dollars, managing bank account balances and commercial lines of credit. Assisted in the underwriting, credit review, title policy review and loan closing. Responsible for all legal matters of the company including foreclosures.

Realty Mortgage & Investment Company, Albuquerque, New Mexico  
Vice President  
Mortgage Loan Analyst

January 1995 – May 1997

1996 – 1997

1995 – 1996

As Vice President was responsible for the management of the commercial/income property loan origination process, application through closing, to include, property inspection, borrower qualification, tenant credit review, and investor submission and presentation. Prepared and submitted loan offerings for investor's consideration and approval of the financial statement and historical operating analysis. Managed the closing process of commercial mortgage loans.

Real Estate Financial Corporation/Credit Union Mortgage Service, Ltd., Albuquerque, New Mexico  
Vice President & Comptroller  
Comptroller  
Pricing Coordinator

June 1990 – May 1994

1993 – 1994

1991 – 1993

1990 – 1991

Direct oversight of the loan operations including pricing, closing, funding, warehousing, and loan sales.

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# CARLOS GARCIA

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CGARCIA@GARCIACARS.COM



505.217.3015

GARCIACARS.COM

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## SNAPSHOT

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Human capital focused leader with a track record of proven results and strong community involvement.

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## SKILLS

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Active Listening  
Problem Solving  
Customer Service

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## EXPERIENCE

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### GARCIA AUTOMOTIVE GROUP EXECUTIVE MANAGER

2004-Present

Manage all aspects of daily operations for several retail automobile dealerships

9-time Infiniti National Award of Excellence winner

Active in Garcia Realty & Development

### GENERAL MOTORS ACCEPTANCE CORP. COMMERCIAL LENDING ANALYST

2000-2004

Credit analyst for large-scale automotive groups

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## EDUCATION

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### SOUTHERN METHODIST UNIVERSITY

BBA, 2000

Hispanic student senator

Edwin L. Cox School of Business Outstanding Intern award

Mentor, President's Developing Leaders of Tomorrow

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## LEADERSHIP

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Museum of New Mexico Foundation

Albuquerque Economic Development

Santa Fe Chamber Music Festival

Museum of Albuquerque Foundation

SUMMARY: A leader at the intersection of business and positive impact, with expertise in strategy, management, innovation, corporate responsibility, sustainability, information and communication technology, impact investing, climate adaptation, natural resource management, business startups and gender equality.

WORK EXPERIENCE:

- 4/17- Current      SecondMuse  
Managing Partner
- Responsible for the overall performance and direction of a 60 person company with 10 offices on three continents and programming across 80 countries.
  - Lead the company in 100% growth rate over 24 months.
  - Manage the partners responsible for the business units and the board of advisors.
  - Oversee the day to day activities and strategy for companywide operations and human resources.
- 8/12- 3/17      SecondMuse  
Partner
- Oversee company operations, finances, program management and staff development
  - Jointly responsible for strategic direction of company including growth rate, target markets/clients, geographical focus.
  - Guide company on gaining Benefit Corporation Certification.
  - Subject matter expert on corporate strategy, innovation, policy, information and communication technology, corporate social responsibility, sustainability, water, climate adaptation, organizational development.
  - Managing partner responsible for system innovation programs with clients such as the World Bank, NASA, United Nations Environment Program, Rio Tinto and Nike. Program thematic focus areas include: sustainable products and supply chains, data for country, community and environmental resiliency, citizen engagement, early childhood development, women in STEM.
- 3/10- 8/12      Intel Corporation  
Director Sustainable Business Innovation, Corporate Responsibility Office & Eco Technology Office
- Lead the strategy development and approval of a corporate impact investing fund in conjunction with Intel Capital and the Intel Foundation targeting direct investments in emerging markets with financial, social and business strategic returns.
  - Advise internal procurement teams, sales and marketing and external industry consortia such as the Electronic Industry Citizenship Coalition on supply chain environment, social, governance.
  - Provide recommendations to CEO and Executive Staff on corporate strategic direction in the areas of sustainability, climate change adaptation and water.
  - Coordinate the resources across business groups to build the business case for Intel to enter into billion dollar new markets with information and communication technology solutions that help solve global sustainability problems.
  - Pathfind technology solutions, engage business partners and execute global pilots related to climate and weather prediction and water management.
  - Develop go to market strategies for technology platform products.
  - Engage with federal government entities, development agencies and foundations to develop public private partnerships.
  - Corporate representative for forums such as World Economic Forum, UN Global Compact, Corporate Eco- Forum, Sustainability 50, Business for the Environment, Water Innovations Alliance, LAUNCH Forum.
  - Regular speaker at national and international sustainability, corporate responsibility, technology and water events.
  - Advisor to technology start-up companies seeking venture capital funding.

03/09-3/10

Intel Corporation

Corporate Sustainability Strategist, Corporate Sustainability Group

- Responsible for the formation and operations of the corporate sustainability group and extended staff with direct accountability to the Corporate Vice President of Sustainability, the General Counsel, the Chief Operating Officer, the Chief Technology Officer and the Senior Vice President of Sales and Marketing.
- Facilitate strategic direction setting and corporate alignment to goals across operations, product development, public policy, human resources and employee engagement
- Provide technical assistance and executive coverage for Corporate Vice President of Sustainability.
- Lead matrix managed teams with employees in twelve countries.
- Conduct benchmarking on corporate sustainability performance and reporting, engage in benchmarking consortiums, and conduct external trend assessments.
- Develop the framework and execution strategy for transformation of organizational culture with sustainability attributes.
- Corporate representative and relationship owner to key international environmental NGOs and executive level sustainability consortiums.

05/08-03/09

Intel Corporation

Southwest Environmental Relations Manager, Corporate Affairs Group

- Responsible for the development and management of the environmental strategy for the Intel New Mexico site; encompassing a technologically advanced industrialized manufacturing facility, 3,500 employees, a vocally active community and strong relationships with state and federal agencies.
- Public relations contact for the community, non governmental agencies, academic institutions, and city, county, state on environmental issues.
- Provide industry expertise to the state for the environmental legislation process.
- Lead a \$800,000 United Way fundraising campaign while covering for peer sabbatical.
- Coach internal efforts for increasing employee engagement in sustainability actions focused on the workplace, community and home.

07/05- 08/08

Intel Corporation

Engineering Manager, Technology Manufacturing Group, F11X

- Responsible for the strategic and tactical capacity planning of \$1.5 billion of highly advanced semiconductor equipment; 50% of factory of factory operations.
- Manage team of 8 capacity engineers, factory improvement engineers and labor productivity model owner.
- Deliver high confidence long range capacity analysis by coaching engineers to provide high quality data, data analysis, capital system data integrity and innovative equipment improvement recommendations.
- Create and improve systems and business processes to streamline capacity planning and factory cost models.
- Drive factory improvement in cycle time, tool performance, operational efficiency and labor productivity by partnering with factory critical module teams and manufacturing staff in applying manufacturing science principles.
- Enhance the organization capability of the department. Develop training modules and integration plan for new engineers and ensure execution to the plan. Support the personal development of employees.
- Leader of Diversity, Volunteer and Great Place to Work Programs. Initiate and coordinate **Women's** Leadership events/curriculum. Initiate New Mexico Intel Employee Sustainability Network. Develop/facilitate Energy for Performance Workshops.

06/01- 06/05

Intel Corporation

Program Manager, Technology Manufacturing Group, F11X

- Provide factory leadership and management to manufacturing, automation and engineering departments to develop and execute an aggressive automated operations roadmap resulting in improved labor and operations productivity.
- Identify and develop the key elements of an infrastructure to enable Intel to achieve world class equipment maintenance. Collaborate with various global manufacturing, engineering and automation organizations to deliver enabling information technology infrastructure to 200mm and 300mm factories.

- Responsible for implementation of systems and processes in twelve different departments to support **the success of Intel's newest technology introduction into the high volume production** environment.
- Influence the development of the organization from a systemic aspect as well as cultural by understanding organizational needs and developing solutions to meet those needs.

1/98- 6/01- Intel Corporation

Operations Manager, Technology Manufacturing Group, F11

- Achieve functional area output goals and manage Manufacturing Technicians in a high volume, manufacturing environment. Manage 48 people, 3 module teams and equipment resources.
- Responsible for creating an incident and injury free work environment.
- Hire and develop Manufacturing Technicians by role modeling, leading and mentoring them to produce high- quality results.
- Lead cross-departmental (manufacturing, engineering, automation, capacity and product planning) area improvement teams.
- Improve work team performance by providing guidance and tools, and implementing appropriate measurement, recognition and reward systems.
- Effectively and appropriately empower individuals and teams by delegating the appropriate tasks and identifying desired results, pitfalls, resources and natural consequences.
- Facilitate team building and team development activities for various different groups throughout the organization. Assist in developing team development roadmaps and vision.

#### EDUCATION & AWARDS:

2012 New Mexico 40 Under 40 Business leader

MBA of Business Administration, May 2002. Concentration: Environmental Policy and Planning. University of New Mexico, Albuquerque, NM.

BA of Business Administration, December 1997. Major: Productions and Operations Management. New Mexico State University, Las Cruces, NM.

BA of Accountancy, December 1997. New Mexico State University, Las Cruces, NM.

#### BOARD & ADVISORY POSITIONS, AFFILIATIONS:

YPO Members, World Economic Forum, World Policy Institute, U.S. EPA, The Nature Conservancy Chapter of New Mexico, Permaculture Credit Union, Bonneville Environmental Foundation, Packard Foundation, Government of Costa Rica, Technology Venture Corporation, Water Innovations Alliance

# Daniel H. López

505-239-1582  
dhlopez2300@outlook.com

## PERSONAL DATA

Marital Status:	Married
Date of Birth:	February 14, 1946
Place of Birth:	Puerto de Luna, New Mexico
Health:	Excellent
Military Status:	Honorable Discharge, USAF

## EDUCATION

1970	University of New Mexico	Political Science (B.A.)
1972	University of New Mexico	Political Science (M.A.)
1972	University of California at Los Angeles	Political Science (Ph.D. Student)
1982	University of New Mexico	Political Science, with a supporting field in Public Administration (Ph.D.)
1982	Dissertation: "The State Department of Education and Public School Policy Making in New Mexico: A Political Perspective"	

## SUMMARY OF EXPERIENCE

Forty-two (42) years of service and experience in the public sector and higher education with extensive experience in administration, planning, finance and budgeting and social research. Taught part-time at the university level and have delivered numerous lectures to all types of audiences on topics including public finance, management, administration, history, proposal writing, cultural affairs and New Mexico literature. In addition, have over thirty years experience in working with and providing expert testimony to federal and state legislatures and assisting decision makers in evaluating complex issues and recommending legislative approaches for dealing with such issues. Served as sole lobbyist for university business at the state level.

Extensive experience in serving in public and private Boards and Commissions, including holding leadership positions in these entities.

## PROFESSIONAL

July 1993 to August 2016	President of the New Mexico Institute of Mining and Technology in Socorro, New Mexico
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Selected from a national search to lead New Mexico Tech as its President. Position involved overseeing and leading a research science and engineering institution of approximately 2,000 students, over 100 tenured faculty, and a total of almost 1,000 employees, with yearly expenditures of approximately \$183.0 million.

Personally directed the activities of four vice presidencies, three research and testing units, and a number of other ancillary programs. Work closely with the Commission on Higher Education, the federal and state legislatures and the executive branches of government in securing funding and developing higher education policy. Devote a lot of time and effort and am extensively involved with various boards and commissions as well as the general public in support of higher education.

Presidential role involves primarily setting policy, articulating goals of institution, developing public support, securing funding, administering, and generally providing leadership for the institution. Served as chief lobbyist for the university at the state level.

Also, was instrumental in helping start, develop and expand a new cyber security company under New Mexico Tech Universities' Research Porct Act. Tech, as the lead partner in this LLC Company, is a 50% equity owner, a company named previously CAaNES now known as RiskSense. RiskSense is one of the fastest growing start-up companies in New Mexico, with a growth of 140% in revenues over the previous year.

Selected accomplishments:

- Oversaw the development and implementation of the university's strategic plan aimed at enhancing the quality, effectiveness, and efficiency of Tech's research, education, and public service delivery capabilities.
- Have been instrumental in helping increase federal funding from a few million to about at our peak growth \$123.0 million in direct support of research and testing programs at New Mexico Tech, along with securing large multi-million-dollar ancillary programs in which Tech plays a leading role. For example, helped enact legislation to secure \$67.0 million for carbon sequestration, \$62.0 million to build the Magdalena Ridge Observatory, and \$500 million over ten years for the Research Partnership to Secure Energy for America (RPSEA), and other such large research and development projects.
- Served as chief lobbyist effort for Tech increasing, over the years, state capital outlay to the tune of over \$200.0 million in appropriations for the school.
- Successfully worked with the legislature to add operational funds for higher education, especially by introducing core-level funding, and adding discretionary funding for all 4-year universities in New Mexico.
- Expanded and attained approval for graduate and undergraduate programs in science and engineering at New Mexico Tech.
- Dramatically improved working relationships with faculty and staff at Tech, especially introducing team building and participatory management practices.
- Developed a faculty and staff salary structure designed to bring compensation for Tech employees in line with peer institutions. Implementation of compensation adjustments were phased in over a few years.
- Improved relationships of the school with the local community and the state, particularly the state legislature.
- Despite a small alumni base, raised more than \$30.0 million in private funds during my tenure as President.

May 2006 to  
2012

First Community Bank Board of Directors, Member

Served as a member of the Board of Directors of the First Community Bank's holding company. As a Bank Director, I chaired the Compensation Committee and am member of the Compliance, Executive and Audit committees. Have developed extensive knowledge of the banking business and attendant regulatory environment.

July 1993 to  
June 2016

President of the Council of New Mexico University Presidents

In concert with my official capacity as President of New Mexico Tech, I was elected by my colleagues and served as president of our 4-year college presidents' association. Duties included providing higher education leadership, developing agendas, convening and presiding at meetings, and presenting the association's views to the public, as well as to the executive and legislative branches of government. Specifically, major responsibility has been to be the chief spokesperson and advocate on behalf of 4-year colleges and universities in New Mexico. Provided testimony to both the Higher Education Department and the various legislative committees at the state level.

April 1989 to  
June 1993

Vice President for Institutional Development, New Mexico  
Institute of Mining and Technology

Promoted to the position of Vice President for Institutional Development and made responsible for the Institute's research park, office of alumni and development, public information office, print shop, human resources, admissions, financial aid, auxiliary services, and lobbying the state legislature as well as handling most major legal negotiations with Institute attorneys and working with numerous other relevant outside groups. Responsible, without direct control, for providing advice and helping make major affirmative action decisions. Other principal responsibilities included overseeing fund raising, internal auditing, student recruitment, auxiliary services, awarding of financial student aid, and providing administrative direction to major components of the Institute. As Vice President at Tech, was given broad responsibilities by the President to represent him and promote the interest of Tech in many different forums. Successfully negotiated settlement of a wide range of civil rights cases, have represented the president before the Legislature, Commission on Higher Education, and other high-level executive meetings; and worked closely with most major divisions and other sub-units of the Institute. In addition, successfully dealt with the financial deficits of several major units of the Institute and, indeed, successfully eliminated deficits and improved the financial positions of selected units, along with helping directly secure over \$200.0 million in capital funds for the school. Was instrumental in bringing a more coordinated approach to the development of the Institute. Involved, also, in bringing staff functions under a uniform set of written directives designed to standardize Institute's operational practices, especially those dealing with broad administrative areas.

Updated all the operational policies for TERA (now EMRTC), one of the largest components of the Institute, including purchasing and personnel operations policies. In addition, developed a comprehensive marketing strategy for EMRTC designed to increase business volume.

Overall, positive changes and contributions to Tech resulting from efforts of the Vice President for Institutional Development are substantial. Major accomplishments include enrollment increases, acquiring additional state funding for large capital projects (i.e., new library and Workman Center), as well as funding for academic lab equipment and faculty salary adjustments, settlement of civil rights and administrative problems and establishing better relations with significant outside groups. In addition, eliminated a \$100,000 deficit in auxiliaries and a \$50,000 bookstore deficit, and helped restructure TERA, all the while eliminating an approximately one-half million dollar deficit in the organization within a few years. Provided Tech with strong lobbying presence in the legislature, before the Commission on Higher Education and the executive branch of government. Also designed and instituted a uniform internal audit procedure for the entire Institute. In general,

have stabilized and improved all units reporting to Institutional Development, improved management practices, helped resolve major Institute conflicts, contributed to the overall improved operations of the school, and enhanced the public standing of Tech among key and important constituents.

Other responsibilities included:

- administered, through the financial aid office, all grants, fellowships, scholarships and other financial aid for students;
- reviewed and approved all public information efforts on behalf of the institute, including editing major news releases and other publications; directing major radio, television and print media student recruitment campaigns for New Mexico Tech.
- administered, campus life support services, through auxiliary services unit, for students; and building a brand new \$20.0 million state-of-the-art student services center.
- provided general staff support to the president in major policy areas, including carrying out the functions of the affirmative action office in the absence of the director for that unit;
- administered, through the alumni and development office, all fundraising and funds donated to the Institute for their intended purpose;
- administered, through admissions office, recruitment of students for the Institute;
- administered, through the human resources (personnel) unit, all employment functions, including appointments, recruiting, training, benefits and the like;
- provided direction and general support to other administrative officers of the Institute, including supporting the Institute's effort before the State Legislature and other state agencies;
- coordinated and interfaced with school's general counsel on most legal matters affecting the Institute;
- oversaw external relations for the Institute, including representing the Institute in meeting with the Commission on Higher Education, the Legislature, the Executive and other groups who share our interest with the Institute.

Exercising general appointive powers and carrying out oversight signature authority for personnel action, travel, and purchasing.

August 1987 to  
March 1989

Associate and Deputy Director, New Mexico Tech, TERA  
Group, Finance and Administration

Responsible for the overall finance and administrative functions of the TERA Group, a ballistics research, munitions and explosives testing and evaluation organization of the Institute. Managed and controlled all TERA expenditures, capital improvements and acquisitions, travel, personnel appointments and contractual commitments.

Operationally, developed a complete set of administrative and financial control policies for TERA, a contract monitoring system, and personnel utilization and classification directives. Through these written directives succeeded in standardizing financial and personnel management practices, developing documented audit trails, and contract compliance systems. All these new practices have contributed to a more professionally managed and streamlined operation.

Have developed and written an extensive in-depth analysis of TERA operations, identifying major structural and functional weaknesses and proposed corrective action. Proposal includes detailed findings of specific major organizational deficiencies and corresponding recommendations to remedy those deficiencies.

Oversaw, as deputy director, some 100 professional staff and research and testing engineers, along with another 100 support staff. Worked closely with senior staff in preparing, reviewing, negotiating and executing contracts with private companies and the federal government, collectively valued at more than \$10 million annually.

Responsible for controlling and approving all purchasing for TERA. Function involves detailed knowledge of FAR regulations, OMB Circular A-21 provisions, State procurement code and all other federal and state as well as institute purchasing and bidding regulations. Have successfully incorporated and established procedures for ensuring that all appropriate state and federal regulations are adhered to in all TERA purchasing and other management practices.

January 1987 to July 1987	President, S & L Associates, Private Consulting and Investment Firm
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Conducted a number of in-depth studies of state and higher education entities. Reviewed and assessed personnel policies, staff training standards and requirements, and assessed electronic data systems capabilities for these public bodies. Based on these studies, major functional changes and improvements were instituted. All of these studies were developed based upon rigorous data collection and analysis procedures and subsequently were formally written and presented to the client organizations.

Developed and held a significant portfolio of rental and other properties.

(January 1987 to March 1989)	Chief of Staff for Senate Finance Committee (SFC) and Senior Staff Analyst for House Appropriations and Finance Committee (HAFC), <u>Session Employee</u>
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Executive and staff level positions responsible for personnel supervision, budget preparation, and negotiations over enactment of the state budget at the highest level of state government. Involves working with key executive and legislative staffs, including legislative leaders and the Governor's office. Directed a staff of budget analysts, general support staff and clerical employees. Possess intimate knowledge and experience of the budget and general legislative process, with particular expertise in handling legislative lobbying and testimony. Responsible for preparing -- in many cases delivering -- testimony to finance committees and defending key positions of legislative leaders.

Broad knowledge in state revenue forecasting and analysis of such revenue forecasts. Provide assessment and advice on the reliability of economic forecasts and capital bonding capacity of the state.

Experienced lobbyist and negotiator in dealing with funding requests and legislative proposals. Have been instrumental in shepherding the General Appropriation Act, the funding instrument for education and general government, and other special legislation through the executive and legislative process. Understand and am highly skilled in developing strategies and making timely presentations to legislative committees in order to garner necessary support for ultimate enactment of legislation.

Know and understand interest groups and am highly skilled in working with and/or garnering their support. Have track record in helping enact legislation with interest group support, at both the state and federal levels.

September 1984 to  
December 1986

Secretary of the Department of Finance and Administration  
(DFA)

Cabinet-level position, responsible by statute to the Governor for administration of the Department and control agency for all of State government. DFA's purpose includes to make state government more efficient and responsible and to administer laws relating to finance of state government. Duties of the Secretary include administering all operations of the Department and enforcing the laws for which DFA is responsible. Was also responsible for control of a \$2.8 billion\* state and education budget and a \$6 million DFA operational budget, with direct administrative responsibility for 176 employees.

DFA functions for which the Secretary has overall responsibility included:

- administered, through the Budget Division, the annual state budget process, and assuring the coordination of planning and budgeting functions;
- administered, through the Office of Education, the public school budget process;
- monitored and accounted for the spending of General Fund and other revenues through the Financial Control Division;
- administered, through the Local Government Division, state funding appropriated for the use of local governmental units, providing technical assistance, and entering into agreements with local governments for participation in federal programs;
- provided, through the Management and Contracts Review Division, training, management studies and review of proposed state agency contracts to improve efficiency in state operations;
- staffed the State's Board of Finance, through the Board of Finance Division;
- prepared and reported General Fund revenue estimates;
- provided planning, coordination and assistance, including the review and coordination of state agency plans and applications for federal assistance, coordinating comments on draft environmental impact documents, developing and maintaining a planning process for evaluating the need for and utility of capital projects, and taking actions necessary to ensure the capability of the state to consider long-range implications in allocating resources to respond to the needs of New Mexico citizens;
- created and defended presentations on state economic conditions to brokerage houses, i.e., Moody's and Standard Poors; and
- received and coordinated recommendations of the Commission on Higher Education, a unit of state government administratively attached to DFA.

Powers of the office included general appointment authority, authority to organize the Department, and to issue orders and instructions to carry out statutory mandates.

*\*Note: Approximately half of the budget included General Funds and the balance involved federal outlays.*

January 1983 to  
August 1984

Secretary of the Employment Security Department (ESD)

Responsible for administration of the Employment Security Department (now Department of Labor), serving as a member of the Governor's Cabinet. Administered all federal and state employment programs statewide. Planned, directed and coordinated overall operations of ESD, including supervision of the Employment Service, Unemployment Insurance and federal Job Training Partnership Act programs, requiring detailed knowledge of applicable state and federal laws and regulations. Responsible, in addition, for 600 employees, multi-million dollar operational budget and \$100 million in the unemployment trust fund.

Presented and had enacted annual budgets in excess of \$30 million to the State Legislature and U.S. Department of Labor. Recommended changes in law to the Legislature to improve the Unemployment Compensation laws. Directed research activities to improve the Department operations and provide maximum benefits to the citizens of the state.

ESD functions for which the secretary has overall responsibility also include:

- Cabinet appointment authority for all agency employees requiring the majority consensus of the legislative senate body;
- enacted general regulations for the effective control and management of trust funds and other program revenues;
- negotiated and secured discretionary federal funds for program expansion or upgrades;
- oversaw the special manpower training and employment programs and ensured its compliance with federal statutory and regulatory requirements;
- negotiated union contracts for management and administering agency under the terms of union agreement;
- directed a system of employment, training and unemployment compensation throughout an entire state, with local offices in all the major communities across the state;
- maintained an effective and accountable multi-million dollar cash receipts and cash disbursement system for receiving and distributing unemployment compensation funds.

November 1973 to  
December 1982

Executive Director, New Mexico Advisory Council on  
Vocational-Technical Education

Administered and managed the overall functions of the Advisory Council. Responsible for monitoring and ensuring proper expenditure of all federal funds for vocational-technical education for the state of New Mexico, including assessing responsiveness of ongoing programs to manpower needs and maintenance of federally required programming for the disadvantaged and handicapped. Compiled and presented detailed reports to the legislature, the State Board of Education and other public bodies. Prepared and administered budget for the Council and supervised staff. Prepared proposals and obtained federal funds to develop innovative training projects. Developed sophisticated instruments for evaluating program effectiveness and for assessing student attitudes regarding career goals. Presented testimony before Congressional and New Mexico Legislative committees. Gave major addresses at national, state and regional conferences, and made presentations to school administrators and other public groups, as well as participating in and producing both TV and radio talk shows.

January 1973

Manpower Services Officer for the National Alliance

November 1973

of Businessmen

Responsible for finding and placing veterans in technical and professional jobs. Involved and worked with state and municipal manpower service programs in an effort to direct and effect job placements or enrollments in technical training programs for veterans. Additionally, engaged in public relations work with a significant portion of the state's business community. Established strong community support and exposure for the National Alliance of Businessmen. Responsibilities also included job-seeking client counseling, interviewing, and other related duties. Conducted manpower surveys, work-force attitudinal studies, and related research. Was responsible for writing detailed reports on surveys and other research projects. Finally, was responsible for economic development efforts and was instrumental in developing and relocating companies in New Mexico.

May 1972

Project Director for a Special Manpower Program,  
Department of Labor (DOL), Washington, D.C.

September 1972

Organized and administered operations of a special summer intern program for the U. S. Department of Labor; instrumental in developing 45 jobs in federal agencies for as many students assembled in Washington, D.C., under DOL auspices. Supervised and coordinated staff and intern activities with an \$80,000 budget for administration of the program. Evaluated program in terms of its impact on manpower training and potential job placement. Worked to achieve close liaison among project students and their congressional delegations, including persuading respective congressmen to endorse a similar project for other students in subsequent years.

May 1971 to

Staff Counselor for a Special Manpower Program,  
Department of Labor (DOL), Washington, D.C.

September 1971

Responsible for administering and managing a \$50,000 budget. Planned and organized a congressional dinner and a number of other high level gatherings for program students and sponsors. In addition, counseled students and made sure they were placed in jobs which met their particular interests and background. Wrote a detailed report to the U. S. Department of Labor outlining the administration of the program and developed plans for a similar and improved summer program.

Appeared on regional TV to explain the program to the public and gain support for the continuation of a similar program on a permanent basis.

May 1970 to

Congressional Liaison for the Small Business  
Administration in Washington, D.C.

September 1970

Attended and reviewed all Congressional hearing testimony dealing with the operations of the Small Business Administration (SBA); helped keep the SBA senior staff informed about bills affecting the agency. Worked closely with the staff on Capitol Hill to keep them abreast of our work at the SBA. Helped coordinate information about awarded contracts between the interested parties. Evaluated and re-wrote selected SBA publication in language suitable for target audiences.

Managed awards/contracts and Congressional inquiries with regard to Small Business loans and loan guarantees. Worked to improve dialogue among contractors and SBA officials and scheduled regular meetings between clients and SBA personnel.

July 1969 to

Salesman for the Goodyear Service Center,  
Albuquerque, New Mexico

September 1969

Responsible for tire sales and customer service. Developed proper and accurate bookkeeping procedures for tire distribution and sales. Performed public speaking duties in connection with the tire business.

May 1964 to  
September 1968

Personnel Specialist in the U.S. Air Force and other  
summer jobs while attending school

During service commitment, worked as a Personnel Specialist. Responsible for officer record-keeping and accounting, and personnel finance. In this capacity, worked to regulate efficient finance procedures for voucher recording and money disbursement.

Other employment, especially summer jobs, included industrial work.

## **MISCELLANEOUS**

Have been active in numerous civic and public organizations. Was instrumental in organizing and obtaining funding (\$250,000) for a theater company, a public non-profit school for autistic children and other civic clubs.

Was instrumental, as chairman of a subcommittee of the Board of Educational Finance (BEF), now the Commission on Higher Education, in developing a state strategic plan for higher education. In this capacity, chaired all meetings of the committee and public hearings, including making formal presentations to the Legislative School Study Committee and Legislative Finance Committee.

In addition, as a member of the BEF, worked on and analyzed operational and capital outlay projects for higher education and became intimately familiar with budget preparation and presentation for the legislature and other components of state government.

Have also developed a number of business interests, including real estate holdings, apartment ownership, and stock portfolio.

Served as an adjunct professor of political science at the University of New Mexico. Taught American Politics, with an emphasis on the "Chicano Political Experience;" more recently, as adjunct professor, taught Public Administration at New Mexico Tech.

Other miscellaneous experiences include radio "spot" announcer, TV presentations on live-talk shows, industrial summer jobs in California and Colorado, tour guide in Washington, D.C. area and lecturer on historical and political topics.

Have a fairly extensive working knowledge of both print and electronic media. Have given scores of interviews and have done numerous 30-minute television programs dealing with state finances, educational issues and other questions related to state government and higher education. Finally, have worked directly with state judicial staff and Justices in preparation and/or modifications of their budgets. Helped negotiate changes and funding levels for the state judicial system.

Have worked closely with a number of chief executives and possess considerable experience and knowledge in providing expert advice on a broad range of topics, including public announcements, budget issues, affirmative action and other sensitive matters. Indeed, was one of the chief advisors to a former governor and also played a very similar role for a college president.

## **PERSONAL INTERESTS**

Write poetry, enjoy reading, and listening to classical music as well as jogging and other outdoor sports. In addition, enjoy traveling and have visited all of the states including Hawaii and Alaska, plus have toured parts of Europe, Canada, China, Mexico, the Caribbean, Japan, Thailand, South Korea, North Africa, Israel, India, and Australia.

## **HIGHER EDUCATION MEMBERSHIP COMMITTEES**

Board of Educational Finance/Commission on Post-secondary Education, past Member  
Sub-Committee on the State Plan for Higher Education for the BEF, past Chairman  
Governor's Commission on Higher Education, Member  
University Alliance for Research, Education & Technology, Member and past President of the Executive Committee  
Council of New Mexico University Presidents, Chair  
The Energy Council, advisory member on higher education  
National Petroleum Council, Member (advisory body to the Secretary of Energy)  
Research Partnership to Secure Energy for America, Board of Directors Chair  
Con Alma Health Foundation Board of Trustees, President  
Youth Development, Inc. Board of Directors

### Other Education Committee Membership

Governor's Search Committee for Cabinet Secretary for Higher Education, Chairman  
Governor's Search Committee for Department of Public Education Cabinet Secretary, Co-Chair  
Lt. Governor's Co-Chair of Transition Team  
Sub-Cabinet Committee for Economic Employment Development/Investment, Member  
District Occupational Education Advisory Committee, Member  
American Vocational Education Association, Member  
New Mexico Vocational Education Association, Member  
New Mexico Bilingual Education Association, Member  
New Mexico Tech Research Foundation, Vice President  
T-VI Arts and Science Advisory Committee  
NM Association of Community Colleges, Strategic Advisory Board Member

### Other Organization Membership

LULAC Educational Service Center, Member  
Albuquerque Hispano Chamber of Commerce, Member and past President  
American GI Forum, Member  
League of United Latin American Citizens, Member  
IMAGE, Member

### General Membership

Albuquerque Charter Revision Committee, Member  
American Society of Public Administration, Member  
Private Industry Council, Member  
State Job Training Coordinating Council, Member  
National Political Science Honor Society - Pi Sigma Alpha, Member  
New Mexico First, Executive and Research Committees  
New Mexico Children's Foundation, Board Member  
Industry Network Corporation, Board Member  
New Mexico Amigos, Member  
Governor's Technical Excellence Committee (GTEC)  
Maxie L. Anderson Foundation, Board Member  
New Mexico Manufacturing Extension Partnership, Board Member  
New Mexico Distinguished Public Service Awards Council, Co-Chair  
First Community Bank, Board of Directors, Member

### Miscellaneous Memberships

La Compania Teatro de Albuquerque, Past President  
Teatro de la Raza Cosmica, Past President  
Peanut Butter and Jelly Pre-School, Vice President of the Board

## **AWARDS AND HONORS**

Santa Rosa Chamber of Commerce, 1984 Annual Award  
Hispanic Leadership Award, De Colores Hispanic Culture Festival, 1996  
Albuquerque Hispano Chamber of Commerce, 1994 Chairman's Award  
New Mexico Vocational Association Special Appreciation Award, 1984  
MANA, Mexican American Women's National Association, 1984 Brindis Award  
New Mexico Distinguished Public Service Award, May 2000  
Bernard S. Rodey Award for Leadership in Higher Education, The University of New Mexico Alumni Association, February 21, 2002  
William S. Dixon First Amendment Freedom Award, New Mexico Foundation for Open Government, September 27, 2002  
Top 100 Power Brokers in New Mexico for past five years, New Mexico Business Weekly, 2002, 2003, 2004, 2005, and 2006.  
National Jewish Medical and Research Center Spirit of Achievement Award, 2005  
2013 Vanguard Award for the Hispanic Engineer National Achievement

## **PUBLICATIONS AND REPORTS**

"Education & Politics: A Realistic View," LULAC Magazine, 1982.

"The State Department of Education and Public School Educational Policy Making in New Mexico: A Political Perspective." University of New Mexico. Ph.D. dissertation, 1982.

"Valencia County Manpower Survey, National Alliance of Businessmen," 1973.

"Vocational and Technical Education Student Attitudes," New Mexico Advisory Council on Vocational Education, 1979.

"Annual Vocational Education Reports," New Mexico.

"Report on Two-Year Schools in New Mexico," Report to Commission on Postsecondary Education, 1978.

"A Comprehensive Evaluation of New Mexico Postsecondary Area Vocational Schools," 1974.

"Bilingual/Bicultural Vocational Training Projects," 1977.

"Workshops and In-Service Training Assistance for Guidance Counseling Personnel," 1980.

Diagnostic and Management Review of Electronic Data Processing Systems, Study for State Corporation Commission, 1987.

Study of Northern New Mexico Community College Compensation Plan, 1987.

Business Employer Survey: Training Needs for New Mexico High School Graduates, Study for the New Mexico Council on Vocational Education, 1987.

TERA In Transition: A Critical Analysis and Corresponding Recommendations, Report to the President, New Mexico Tech, 1988.

Have prepared and delivered over 200 speeches and testimony on education, economic development, manpower training, politics and historical topics, 1972 to present.

Addendum

September, 2016  
Present

Abante, LLC, President

Established an LLC focused on providing business development and lobbying services.

In addition to my approximately 40 years of lobbying at the federal, state and municipal levels as a public employee, I have been involved in lobbying for both private and public clients. Clients have included the University of New Mexico (UNM), RiskSense, a cyber security company, New Mexico School for the Arts, G & K Government Affairs, LLC and the UNM Retiree Association.

In my various professional capacities, including in my current role as a private consultant/lobbyist, I have developed extensive knowledge of the entire legislative and executive processes, including working directly with key legislative and executive staffs and not only with legislators and governors. In addition, I have extensive experience in testifying before legislative committees in support of or in opposition to legislation pertaining to my clients.



## Memorandum

TO: Board of Regents of the University of New Mexico

THROUGH: President Garnett Stokes

FROM: Alexandra Benjamin, Chair of the Governing Board of the Harwood Foundation of the University of New Mexico

CC: Teresa Costantinidis, Executive Vice President for Administration/COO/CFO

DATE: July 23, 2019

RE: Appointment of Alexandra Benjamin, Barbara DeVane, Dora Dillistone, Paula Fasken, Karl Halpert, Juanita Lavadie and Janet Mockovciak to the Harwood Foundation Governing Board

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In May, 2007 the Board of Regents (the "Regents") adopted resolutions (the "Delegation Resolution") delegating to the Harwood Foundation Governing Board certain responsibility for the governance, oversight, management and operation of the UNM's Harwood Museum.

The Delegation Resolution provides that the Regents shall appoint the members of the Harwood Board, including six (6) members appointed based on recommendations of the Harwood Board.

In November, 2017 the Regents approved increasing the number of members of the Harwood Board from nine (9) members to a maximum of fifteen (15) members.

The Harwood Board recommends appointing Dora Dillistone to a three year term on the Board this year. Ms. Dillistone has previously served two full terms, however as allowed by the By-Laws, she is eligible to serve again having been off the Board for more than one year. Ms. Dillistone will replace retiring board member, Lucile Grieder, who has served two full terms. Ms. Dillistone's updated Bio is attached.

The Board also requests the approval of a second three year term for Alexandra Benjamin as allowed by the By-Laws. Further information can be provided on Ms. Benjamin, however since she has previously served, her bio should be on file.

The Harwood Governing Board recommends the appointments of five new members: Barbara DeVane, Paula Fasken, Karl Halpert, Juanita Lavadie and Janet Mockovciak. Mr. Halpert will replace retiring board member, Marcia Winter who has served two full terms and a year. Ms. DeVane, Ms. Fasken, Ms. Lavadie and Ms. Mockovciak will fill four new seats on the board as approved by the Regents in November, 2017. Brief bio statements for the five new members are attached.

We respectfully request the Board of Regents include the re-appointments of Ms. Dillistone and Ms. Benjamin, and the appointment of Ms. DeVane, Ms. Fasken, Mr. Halpert, Ms. Lavadie and Ms. Mockovciak to the Harwood Governing Board on the Board of Regent's agenda for its next scheduled meeting.

July 23,2019

The Harwood Governing Board recommends the appointments of five new members:

**Barbara DeVane** divides her time between Winter Park, Florida and Taos. In Winter Park she has served as City Commissioner, as Business Manager at the Center for Reproductive Medicine and as Assistant Professor of Finance at the University of Central Florida. For decades she has been an avid volunteer in her community and has served with dedication as a trustee on numerous boards, including Winter Park Community Land Trust, Winter Park Health Foundation, Orlando Philharmonic Orchestra and Winter Park Historical Association.

**Paula Fasken** is a landscape painter, she particularly enjoys working plein air – the practice of painting outdoors to fully experience the landscape.

Fasken is a signature member of the American Impressionist Society and has exhibited in galleries in the United States since 1975. Her paintings have been published in numerous magazines and publications and are included in numerous private and corporate collections in the USA, Europe and Asia.

Fasken is a trustee of the Fasken Foundation and an avid supporter of education in New Mexico and Texas. A native Texan, she now resides full time in New Mexico, dividing her time between Santa Fe and Taos.

**Karl S. Halpert** is the founder of *Private Label Select Ltd Co* (PLS) of Taos. In 2016, Halpert was named New Mexico Small Business Person of the Year by the U.S. Small Business Association. Established in 1994, PLS has over 60 employees and manufactures USDA certified organic personal care and cosmetics for companies and retailers such as Walgreens, Target and Estee Lauder. The company's global capacity provides goods to thousands of retail locations throughout the world. Halpert served on Governor Bill Richardson's task force for International Trade and participated in numerous State Department trade missions to Asia and the Middle East. He is an editorial contributor to trade publications as well as a recognized leader in developing organic standards and technologies for the cosmetics industry. Halpert was previously a founding Board member of the Taos Jewish Center. He divides his time between Taos and Portland, Maine.

**Juanita Lavadie** is a Taoseña, and was born into a family of weavers. She is an educator, artist, acequiera and historian. Lavadie taught elementary bilingual education for three decades in Taos and worked for a time as curator at the Millicent Rogers Museum. Since retirement, she has used her collaborative skills for community involvements and for artistic pursuits in graphic and fiber arts. Lavadie's creative and cultural interests are deeply connected to the acequia system that supports the land, water and inhabitants of Northern New Mexico in general, and the traditional Hispano and indigenous cultures in particular.

**Janet Mockovciak** currently serves on the board of *Dallas Afterschool*, an organization she co-founded in 2007, and she is Vice Chair of the Advisory Council for the *Taos School Zone*, a special project of the Taos Community Foundation. Since 2006 she has served on the Executive Board of the Southern Methodist University Taos campus. A lifelong volunteer, her work has centered around children, education, and healthcare. Before devoting herself full time to the community in 1998, Mockovciak spent twenty years in consumer banking in middle to upper level management positions. She divides her time between Dallas, Texas and Taos.

**Dora Ruth Dillistone**  
**Taos, New Mexico**  
**Dillistone, Inc. [www.doradillistone.com](http://www.doradillistone.com)**  
**[dillistone@taosnet.com](mailto:dillistone@taosnet.com)**  
**575-776-8370, 713-444-1698**

**Education:**

Gulf Park College for Women: Magna cum Laude, A.A. Certificate in Art 1969  
University of Houston: Magna cum Laude, B.S. Art Education- All Levels 1973  
Texas Tech P.B. Painting, Art History 1975-76  
University of Houston: P.B. Painting, Art History 1976-77, 1979  
MFAH Glassell School of Art: Advanced Painting, Advanced Painting Critique, Art History 1981- 2010  
Workshop: Larry Bell, Taos, New Mexico 2000  
Jung Center: Chinese Watercolor, Pei Hong Endris: 2005-2010  
UNM-Taos: advanced painting 2011-2014

**Work:**

Houston Independent School system, High School Art educator 1974-1977, 1981-1983  
Independent Artist: 1977-current

**Community:**

City of Southside Place: Planning and Zoning Commission  
City development of area zones and adoption of ordinances to support the zoning-2002-2009  
Tree Committee: to protect and preserve inner city tree canopy  
Houston Ladies Tennis Association: Board and President, established a scholarship for high school graduate, Won USTA Texas award for Best Organization of the Year (2001)  
Board of the Houston Tennis Association  
Served on the USTA Texas Section Community Development Board  
Established the "Serve Up a Cure" Tennis tournament for Breast Cancer diagnosis and prevention.  
Board of Directors for the Harwood Museum, 20012-2018: Worked on Art of Wine and Bash fundraisers.  
Alliance Board of Harwood Museum of Art  
Harwood Museum: Collections Committee

**Juried Exhibitions:**

Gulf Park College for Women, University of New Mexico, Blaffer Gallery University of Houston, the Jung Center Houston 2000-2009, Williams Tower Gallery Houston, MFAH Glassell Art School 1982-2009, Assistance League Annual Juried Exhibition, New Mexorado Exhibition 2011, Harwood Museum, Paradigm Shifts and Other Clichés, The Jung Center one woman juried show 2012. Highlights from the Gus Foster Collection, UNM Harwood Museum, 2014, Taos Fall Arts Select, 2014, Taos Fall Arts Select, 2015, Taos Now, Art of the 21st Century 2015, Jung Center Houston One person show 2016, Taos Center for the Arts one person show 2017

**Exhibitions:**

Numerous shows including Gallery 101, History of Printmaking Museum, Mind Puddles Gallery, The Jung Center Annual Exhibitions, The Hispanic Cultural Center of Houston, Commerce Street Warehouse, The Taos Art Organization Annual Studio Tour, The Phoenix Group of Houston Annual Exhibition, Bank One Building Oriental Exhibition Houston, TAO Studio Tour 2010, Taos Fall Open 2010, Phoenix Group show, Houston 2011, Gallery 203, Taos group show 2011, Stables Gallery, Taos 2011, TAO Studio Tour 2011, Gallery 203 group show 2011, Stables Gallery, Taos Group Show, 2012, Parks Gallery Show, 2012, Taos Fall Arts Festival Open, 2012, TAO Studio Tour, 2012, UNM Harwood Museum of Art Contemporary Collections Exhibit, 2014. TCA Sight Unseen, En Plein Air, Earth Wind and Fire, New Work, Stables Gallery, Taos Center for the Arts, Taos Fall Arts Festival Select and Open 2014, 2015, 2016, 2017, "Earthly Elements" Group show Bareiss Gallery 2017, Outside the lines group show 2018

The work is in numerous private collections as well as the UNM Harwood Museum of Art.

In addition to my continuing art practice, I co-own and operate the MorningStar stables with my husband Carl Dillistone.

## Harwood Governing Board Terms and Expirations

	6 original Board appointed seats						3 UNM appointed seats			4 seats added in 2019											
year	1	2	3	4	5	6	7	8	9	10	11	12	13								
2007	Sudbury	Berkowitz	Strong	Warning	McLean	Foster	Goering														
2008	Sudbury	Berkowitz																			
2009					Nottingham																
2010																					
2011	Sudbury	Winter			Grieder	Farmer			Goering					Pinder	Wohlert						
2012			Dillistone	Warning																	
2013																					
2014	Larsen	Winter		Bennett Smith		vacant	Goering	Pinder	Wohlert												
2015			Dillistone																		
2016					Grieder									Benjamin							
2017	Larsen	Reyna		Bennett Smith	2019	Benjamin	vacant	Khan	Washburn												
2018			Winter																		
2019			Halpert																		
2020	2020	2020	2021	2021	Dillistone	Benjamin	Dopson			Fasken 2020	Mockovciak 2020	Lavadie 2021	DeVane 2022								
2021		Reyna	Halpert		2022	2022				Fasken	Mockovciak										
2022			2023																		
2023			2024																		
2024																					
2025										2026	2026										
2026												2027	2027								
2027																					
2028																					
2029																					
2030																					
							STAGGERED TERMS - NEW SEATS														
							1 year			Fasken Mockovciak											
							2 year			DeVane Lavadie											

# **Summary Meeting Report (Not Approved Minutes)**

## **Regents' Audit and Compliance Committee**

### **Meeting on May 23, 2019**

#### **ACTION ITEMS:**

- The Committee approved the meeting agenda, with a note that the Committee would like to see follow up items listed in the future.
- The Committee approved the prior meeting minutes from April 11, 2019. The Chairman asked Liz Metzger, University Controller if the fees had been entered and the contract executed for the external audit. Ms. Metzger replied yes. The chair also asked Ms. Metzger about having a local partner involved.
- The Committee approved the next meeting dates of August 15, 2019 and October 17, 2019 (Exit Conference for FY19 Financial Statements Audit).

#### **INFORMATION ITEMS:**

- Advisors' Comments: Staff Council President Ryan Gregg attended the meeting and introduced himself as the new Staff Council President.
- The external audit firms of Moss Adams and KPMG presented the entrance conference information to the Committee. First, Chairman Brown introduced the State Auditor, Brian Colón, and his Deputy State Auditor, Natalie Cordova. Moss Adams is responsible for the Main Campus and non-clinical operations including component units. KPMG is responsible for clinical operations. In reference to the Chairman's earlier question about a local partner, Suzette Longfellow, Managing Director will fulfill that role from KPMG. Moss Adams stated all of their team is local, with the exception of Scott Simpson, Concurring Review Partner - a higher education specialist serving in a quality control role.
- The audits are performed using a risk-based audit approach. They focus specifically on significant risk, which will vary by entity. The audit work is based on their experience, discussions with management, and meetings with this Committee. There are several phases to the audit. They look at design and operation of internal controls, including a significant amount of IT work. Chairman Brown asked if that includes looking at cyber security. Ms. Todd responded that they do look at vulnerability and how the University addresses that. There is also a compliance aspect, including compliance with the State Audit Rule.

Moss Adams offers an opinion on what is called the government-wide financial statements of the University, including all campuses, HSC and all components except clinical operations. KPMG offers an opinion on the Hospitals, Behavioral Health, UNMMG, and SRMC. Separate reports are issued on each. During the final audit fieldwork (approximately six weeks) they have weekly status meetings with all involved parties.

Preliminary audit work is already underway, with the bulk of the work happening in August and September. UNM management asks for a September 15 internal deadline for all of the components, so that they may deliver the consolidated government-wide financial statement to the Committee by mid-October. The State Auditor deadline is November 1<sup>st</sup>.

Jaime Cavin, Senior Manager, KPMG directed the Committee to their audit approach information regarding the clinical operations. Overall in the healthcare world, there is going to be significant risk around patient revenues, receivables, and contractual discounts. They also have their own IT auditors.

- Victor Griego, UNM Interim Internal Audit Director presented his Director's Report. As of April 30<sup>th</sup>, the Department has completed seven audits. There are 21 completed/closed complaint investigations. Chairman Brown asked Mr. Griego to bring items of frequency or severity to the Committee's attention. Lisa Wauneka, IT Auditor stated the system can generate reports.

The Department's financial report through April 30, 2019 shows a reserve balance, due to salary savings on vacant positions, some of which is budgeted for use of reserves for FY2020. The Department has filled a vacant Auditor 3 position, and the employee will start next month.

Internal auditing standards require an external, independent assessment every five years to see if the Department is following the standards. A reviewer has been tentatively chosen and a timeline provided where the reviewer would be on site in mid-October. If the reviewer completes the review and report by the end of the year, results can be provided at the February meeting of this Committee.

Every three years, Internal Audit completes a University-wide risk assessment, last completed in FY2017. The plan in FY2020 is to work with the HSC Internal Audit and Compliance Offices and the Main Campus Compliance Office.

This is the last year of a three-year contract for the external audit. The Controller's office will initiate an RFP this fall for the next contract.

- The Main Campus Compliance Office provided several reports to the Committee. Francie Cordova introduced two new staff members at OEO. Angela Catena is the new Title IX Coordinator, and Heather Jaramillo, is now Associate Director for OEO. Ms. Cordova provided the Committee members with a brief history of the Main Campus Compliance Office.

There is the UNM Compliance Hotline for reporting issues. The hotline went live in April of 2015 and is campus-wide and also available to the public. It covers UNM Main Campus, Branch Campuses, HSC, Hospitals, and the Medical Group.

Peggy Davis provided the Committee with current hotline/complaint information. Since the hotline's inception, there have been 800 cases (at the end of 2018). The location with the most cases is UNM Main Campus. Chairman Brown asked how people know about the hotline. Ms. Davis and Ms. Cordova replied it is advertised in the President's Message and at trainings. The hotline, whether via web entry or phone, is the way the majority of complaints are received.

Ms. Davis stated most cases are HR issues, which is pretty standard.

There is a fairly high anonymous and a fairly high unsubstantiated rate. In most substantiated cases, only training is needed to address the concern. The past couple of years, an area they have really focused on is claims of retaliation. There are 78 total cases that have reported with retaliation in the claim; 30 of those were reported with retaliation as the primary concern (four were substantiated and three were partially substantiated).

Ms. Cordova provided a Department of Justice (DOJ) update. The Compliance Office provides oversight and coordination for responses to the DOJ's findings. During the past three years of this review, the DOJ has provided really great comments about UNM's progress. Ms. Cordova replied they anticipate UNM will receive a final review, and they have asked for a release.

Regent Lee explained the history behind establishing the Minors on Campus policy.

President Stokes informed Ms. Cordova that she is interested in creating an ethics task force. Regent Lee stated she thinks this is so needed because all the policies in these areas are very murky; and, as relates to the culture.

As a side note, Regent Lee asked about having this Committee's materials presented on the screen. Internal Audit will look into it, but may have to ask for assistance from other personnel. Chairman Brown noted much of it is text so would not function well on screen.

- Dr. Culpepper, HSC Chief Compliance Officer provided a summary of his background for the Committee. His office is working on required exclusion and screening checks to monitor individuals to make sure they are not excluded from these federal programs: the Inspector General, HHS, SAM, and GSA. Dr. Culpepper's office is also working with Main Campus and the HSC on a records management, retention and disposal process.

Dr. Culpepper informed the Committee his area has received notice from the National Institutes of Health regarding their new focus on sexual harassment and misconduct. He provided the Committee members with a copy of a letter detailing what the NIH expects. They are also working with OEO, Research, and the HSC Office of the Vice Chancellor to make sure these processes are aligned properly. Chairman Brown asked if we have good monitoring in place to make sure that those serving the athletes are doing things appropriately. Ms. Cordova replied that her area works regularly and meets regularly with Eddie Nunez, Athletic Director and all the program areas.

Dr. Culpepper stated he is the Title IX Coordinator for the HSC, he works very closely with OEO.

There is a new "42 Code of Federal Regulations" (CFR) regarding substance abuse and confidentiality. Years ago, behavioral health records were kept apart from everywhere else. Because of the advent of electronic health records and integrated health models, that information is now being brought in to the health record.

Dr. Culpepper provided the Committee with a summary of his side of the hotline information. HR is also the highest number of reports. They are also hiring an HSC Compliance Manager via a national search.

- Victor Griego updated the Committee with the status of audit recommendations. The Department provides what has been implemented since the last meeting, and what recommendations are pending. There are approximately 20 open recommendations from prior audits. The State Auditor's Office (SAO) and Athletics are present for discussion on the status of the Athletics findings on the SAO and Internal Audit reports.

Internal Audit had 22 audit recommendations. Currently, there are four that are not fully implemented. There are two from the SAO report. The University is finalizing an MOU between UNM, the Foundation, and the Lobo Club outlining the rules and responsibilities of each of those areas.

Another important issue is the development of a fully comprehensive business operations manual for Athletics.

There are two pending recommendations with the Alumni Association, eight related to HSC tuition, one with Arts and Sciences, one with Nursing, and one with Chrome River.

By unanimous consent, the meeting went into Executive Session at 10:40 AM per the agenda.

Summary of the Regents' Audit and Compliance Committee Meeting  
May 23, 2019

The meeting returned to open session at 11:43 AM, with certification that only those matters described above were discussed in Executive Session.

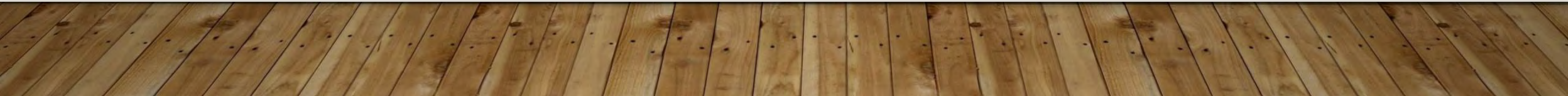
The Committee unanimously approved the following UNM reports:

- Audit of UNM Medical Group and Health Sciences Center Hiring Practices, Report 2019-03
- University of New Mexico Audit of Reserves and Deficits, Report 2019-13

The meeting adjourned at 11:44 AM.

# GRADUATE MUSIC PERFORMANCE CERTIFICATE

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# PROGRAM DESCRIPTION AND PURPOSE

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- One year, post-baccalaureate program designed for musicians seeking careers as professional performing musicians
- No other Graduate Performance Certificate is offered in music in the state of New Mexico
- Draw new students, including international students, who are top tier performers, greatly enhancing the experience of all of our students and adding to the artistic community in New Mexico

# STUDENT IMPACT

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- We anticipate that 25 students will enroll in the early years of this Graduate Performance Certificate.
- The target student for the Graduate Music Performance Certificate would be seeking to win a professional position in a symphony orchestra, military band, opera company, professional chamber or jazz group, or otherwise be gainfully employed as a freelance musician.

# CURRICULAR PLAN

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- 12 credit hour program, which can be completed in two semesters.
- Stand-alone program
  - Enrollment in conjunction with the MM is not allowed, however 100% of courses taken to complete the certificate can count toward a future MM at UNM. No more than 50% of the courses for the certificate can come from a completed MM at UNM.

# BUDGET IMPACT

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- No additional resources are needed to implement this program. Everything is already in place due to our well established MM in Music.
- Could have positive budget impact because completed certificates are a factor in funding formula.



From: Pamela Cheek, Assoc. Provost for Curriculum & Assessment

A handwritten signature in blue ink, appearing to read 'Pamela Cheek', written over the printed name.

To: Dr. Eric Lau, Chair, Department of Music

cc: Regina Carlow, Dean, College of Fine Arts

Julie Coonrod, Dean, Graduate Studies

Michael Raine, Associate Registrar

Re: Graduate Music Performance Certificate

Date: April 6, 2019

Academic Affairs has reviewed your description of the proposed Graduate Music Performance Certificate (Form D). This certificate has the potential to be an important asset to the department and college and we look forward to seeing the fully developed proposal. As you develop it, please consult with Dean of Graduate Studies Julie Coonrod on using the new Higher Education Department criteria for full Form D development.

Thank you for your work on meeting the needs of music students and community members.

## **Executive Summary for Graduate Music Performance Certificate**

### **1. Program Description and Purpose**

The Graduate Music Performance Certificate (GMPC) is a specialized, one year, post-baccalaureate program designed for musicians seeking careers as professional performing musicians. The Graduate Music Performance Certificate is designed to provide students with intensive and focused additional instruction with our expert faculty beyond either the Bachelor's Degree or the Master's Degree. Our Masters Degrees in music are broad based, comprehensive degrees in music. The GMPC will feature a more specialized, focused, performance-based curriculum. Students can choose to enter before completing a Master's degree or can stay an additional year at the end of their Master's degree in order to take advantage of this program.

The goal of the GMPC is to enable advanced students to attain greater mastery of their craft as they work to emerge as professionals in the very competitive fields of classical or jazz music.

The proposed Graduate Music Performance Certificate will assist the Department of Music as it works to recruit new students to the University of New Mexico. In addition, it will provide additional advanced students to fill needed spots in musical ensembles. In this way it will improve our ability to make a substantial contribution to the cultural life of our region. It will also allow us to continue our work at recruiting international students, thus expanding UNM's global reputation.

The students who enroll in this program will contribute to New Mexicans' quality of life through musical performances throughout the state and the region. While the GMPC does not fit into the general model of academic research, it certainly will expand creative output in the Department of Music and the College of Fine Arts.

The program does not overlap or duplicate existing programs within UNM. While it draws from similar courses in the Masters of Music in Performance degree, it provides additional focus to meet the career goals of the students enrolled.

No other Graduate Performance Certificate is offered in music in the state of New Mexico. Similar programs do exist at Colorado University Boulder, Northern Arizona University, and several large schools in Texas.

The governance structure of the Graduate Music Performance Certificate will be the same as the for the Masters degree in music.

### **2. Student Impact**

We anticipate that 25 students will enroll in the early years of this Graduate Performance Certificate. The students will be recruited from across the United State and abroad. The target student for the Graduate Music Performance Certificate would be seeking to win a position in a symphony orchestra, successfully audition for operatic roles with opera companies, to win auditions for jazz groups, to work in chamber music ensembles, or to be gainfully employed as a freelance musician.

### **3. Curricular Plan**

The Graduate Music Performance Certificate will be a 12 credit hour program, which can be completed in two semesters. There will be 8 required credits and 4 free credits as follows:

4 credits of applied lessons in the major instrument from APMS 501 and APMS 502

2 credits of Recital in the major instrument from APMS 591

2 credits of ensembles from sections of MUS 560.

4 free electives, which may include any graduate academic class in music theory, music history, musicology, additional applied lessons, conducting, chamber music, music repertoire and pedagogy and additional ensembles.

Applied lessons and Recital will be delivered in a traditional conservatory instructional method with one-on-one hourly instruction per week with UNM music department applied faculty and weekly studio class. Ensembles will be structured in 2-3 rehearsals per week under the instruction of the faculty conductor for that ensemble. Any academic classes will be given in a variety of instructional models which may include lectures, web-enhanced instruction, readings, paper writing, attendance of live performances and other assignments and class discussion. This broader degree prepares students to teach as well as to begin doctoral studies in music. Outcomes will be measured at the end-of-term juried performance, and at the capstone recital.

### **4. Budget Impact**

The total number of faculty to implement this program would be 23 (4 brass, 5 woodwind, 4 string, 4 voice, 2 piano, 4 ensemble conductors). All of these faculty members would have either terminal degrees in music (DMA or Ph.D.) or demonstrated tested experience that is equivalent to a terminal degree. This program will not significantly impact the workload of the current faculty and staff because our program is an auditioned program. An audition is required by our accrediting body, NASM. In addition, enrollments in our studio are determined by departmental need so the faculty in collaboration with the department can control enrollments. No additional faculty or staff are needed. Everything is already in place to implement this program. No faculty or staff development will be needed. There will be no impact on student support. There are no technology, media, equipment, and instructional supplies are needed. The implementation of this program will have no impact on the needs for additional or renovated spaces. No additional student support services are likely to be needed. There will be no additional course fees or other expenses for students who enroll in this program. The music department already has course fees for the classes that these students would take and these students.

### **5. Accreditation Plan**

This program has no impact on accreditation and no new accreditation is needed or will need to be paid for.

## **6. Additional Information**

### **Shared Credit Hours Between Graduate Certificates and Degrees**

The Graduate Music Performance Certificate is a stand-alone program. Enrollment in conjunction with the MMU is not allowed, however 100% of courses taken to complete the certificate can count toward a future MMU at UNM. No more than 50% of the courses for the certificate can come from a completed MMU at UNM.

## **Program Proposal, Graduate Music Performance Certificate**

### **1. Program Description and Purpose**

*a. What is the program and why should we offer it? Include the program's major goals.*

The Graduate Music Performance Certificate (GMPC) is a specialized, one year, post-baccalaureate program designed for musicians seeking careers as professional performing musicians. The Graduate Music Performance Certificate is designed to provide students with intensive and focused additional instruction with our expert faculty beyond either the Bachelor's Degree or the Master's Degree. Our Masters Degrees in music are broad based, comprehensive degrees in music. The GMPC will feature a more specialized, focused, performance based curriculum. Students can choose to enter before completing a Master's degree or can stay an additional year at the end of their Master's degree in order to take advantage of this program.

The goal of the GMPC is to enable advanced students to attain greater mastery of their craft as they work to emerge as professionals in the very competitive fields of classical or jazz music.

*a. How does the program fit within the participating unit's future plans?*

Our Department's mission statement is as follows: The University of New Mexico Department of Music aims to provide the highest quality musical education, in order to make a substantial contribution to the cultural life of its region and the world by reinforcing the integral value of music in society.

We strive to give each student a first-rate musical education under the guidance of our dynamic artist faculty, and a well-balanced program among the disciplines of performance, music education, theory and composition, jazz studies, string pedagogy, conducting and musicology.

The proposed Graduate Music Performance Certificate will assist the Department of Music as it works to recruit new students to the University of New Mexico. In addition, it will provide additional advanced students to fill needed spots in musical ensembles. In this way it will improve our ability to make a substantial contribution to the cultural life of our region. It will also allow us to continue our work at recruiting international students, thus expanding UNM's global reputation.

*b. How does the program fit within the UNM mission and strategic plan? Does the program address particular research priorities?*

The Graduate Music Performance Certificate will provide students the values, habits of mind, knowledge, and skills that they need to be enlightened citizens, to contribute to the state and national economies, and to lead satisfying lives. The students who enroll in this program will contribute to New Mexicans' quality of life through musical performances throughout the state and the region. While the GMPC does not fit into the general model of academic research, it certainly will expand creative output in the Department of Music and the College of Fine Arts.

- c. Does the program overlap or duplicate any existing program within UNM? In the state and/or region?*

The program does not overlap or duplicate existing programs within UNM. While it draws from similar courses in the Masters of Music in Performance degree, it provides additional focus to meet the career goals of the students enrolled. (see section 2.d. regarding career goals)

No other Graduate Performance Certificate is offered in music in the state of New Mexico. Similar programs do exist at Colorado University Boulder, Northern Arizona University, and several large schools in Texas.

- d. What is the governance structure of the program*

The governance structure of the Graduate Music Performance Certificate will be the same as the for the Masters degree in music. Students will work directly with and will be mentored by the faculty member in their instrument/area of study. The Coordinator of Graduate Studies in the Department of Music (currently Dr. Kevin Vigneau) will serve as the point of contact for prospective students who are applying. The governance structure beyond this in our Department of Music includes the Graduate Committee, Administrative Committee, Associate Chair of the Department of Music, and the Chair of the Department of Music.

## **2. Student Impact**

- a. How many students are projected to enroll?*

We anticipate that 25 students will enroll in the early years of this Graduate Performance Certificate. We plan to work to continue to expand this number, alongside our undergraduate and graduate populations.

- b. From where will these students be drawn?*

The students will be recruited from across the United State and abroad. Our Department regularly draws students from Mexico, Brazil, and China.

- c. What are the demographic characteristics and educational goals of the target students?*

The target student for the Graduate Music Performance Certificate is one who is seeking to focus exclusively on musical performance. Our current Masters of Music degree in Performance is a broad based degree that combines performance with academic subjects including musicology, music theory, and pedagogy. This broader degree prepares students to teach as well as to begin doctoral studies in music. This GMPC removes the academic courses to enable the student to focus on the skills need to emerge from UNM and enter the workforce as a professional musician in the fields of jazz or classical music.

- d. What are the employment goals of the typical target student?*

The target student for the Graduate Music Performance Certificate would be seeking to win a position in a symphony orchestra, successfully audition for operatic roles with opera companies,

to win auditions for jazz groups, to work in chamber music ensembles, or to be gainfully employed as a freelance musician.

### **3. Curricular Plan**

*a) Describe the curriculum and its impact on existing courses, including courses in other departments*

The Graduate Music Performance Certificate will be a 12 credit hour program, which can be completed in two semesters. There will be 8 required credits and 4 free credits as follows:

4 credits of applied lessons in the major instrument from APMS 501 and APMS 502

2 credits of Recital in the major instrument from APMS 591

2 credits of ensembles from sections of MUS 560.

4 free electives, which may include any graduate academic class in music theory, music history, musicology, additional applied lessons, conducting, chamber music, music repertoire and pedagogy and additional ensembles.

As this program will use existing graduate course work, there will not be an impact in terms of the need for additional instructors or newly developed courses. As this program will focus on music performance, there will not be an impact on courses outside of the department of music.

*b) What instructional models will be used in delivering the program?*

Applied lessons and Recital will be delivered in a traditional conservatory instructional method with one-on-one hourly instruction per week with UNM music department applied faculty and weekly studio class (weekly meeting of the entire applied studio and instructor in a performance practicum.) Ensembles will be structured in 2-3 rehearsals per week under the instruction of the faculty conductor for that ensemble. Any academic classes will be given in a variety of instructional models which may include lectures, web-enhanced instruction, readings, paper writing, attendance of live performances and other assignments and class discussion.

*c) What are the expected student learning outcomes for the program? How will the learning outcomes be measured?*

This broader degree prepares students to teach as well as to begin doctoral studies in music. This GMPC removes the academic courses to enable the student to focus on the skills need to emerge from UNM and enter the workforce as a professional musician in the fields of jazz or classical music. Outcomes will be measured at the end-of-term juried performance, and at the capstone recital.

#### **4. Budget Impact**

- a. The total number of faculty to implement this program would be 23 (4 brass, 5 woodwind, 4 string, 4 voice, 2 piano, 4 ensemble conductors). All of these faculty members would have either terminal degrees in music (DMA or Ph.D.) or demonstrated tested experience that is equivalent to a terminal degree.
- b. This program will not significantly impact the workload of the current faculty and staff because our program is an auditioned program. An audition is required by our accrediting body, NASM. In addition, enrollments in our studio are determined by departmental need so the faculty in collaboration with the department can control enrollments.
- c. No additional faculty or staff are needed. Everything is in place to implement this program.
- d. No faculty or staff development will be needed.
- e. There will be no impact on student support.
- f. There are no technology, media, equipment, and instructional supplies are needed.
- g. The implementation of this program will have no impact on the needs for additional or renovated spaces.
- h. No additional student support services are likely to be needed.
- i. There will be no additional course fees or other expenses for students who enroll in this program. The music department already has course fees on the classes that these students would take and these students would pay these fees as well.

#### **5. Accreditation Plan**

- a. *How does the program affect any existing accreditation and licensure requirements?*

This program has no impact on accreditation

- b. *If new accreditation is required, describe the accreditation process and expense involved*

Not applicable to this program

#### **6. Additional Information N/A**

THE UNIVERSITY OF NEW MEXICO'S

# Grand Challenges

UNM Board of Regents  
August 13, 2019

**Empowering our STATE of minds.**

GRANDCHALLENGES.UNM.EDU



**Brandi Fink, PhD**  
Assistant Professor of  
Psychiatry and Behavioral  
Sciences  
Substance Use Disorders  
Co-Lead Convener



**Katie Witkiewitz, PhD**  
Professor of Psychology  
CASAA Researcher  
Substance Use Disorders  
Co-Lead Convener

[grandchallenges.unm.edu](http://grandchallenges.unm.edu)



# Substance Use Disorders

THE UNIVERSITY OF NEW MEXICO'S

## Grand Challenges



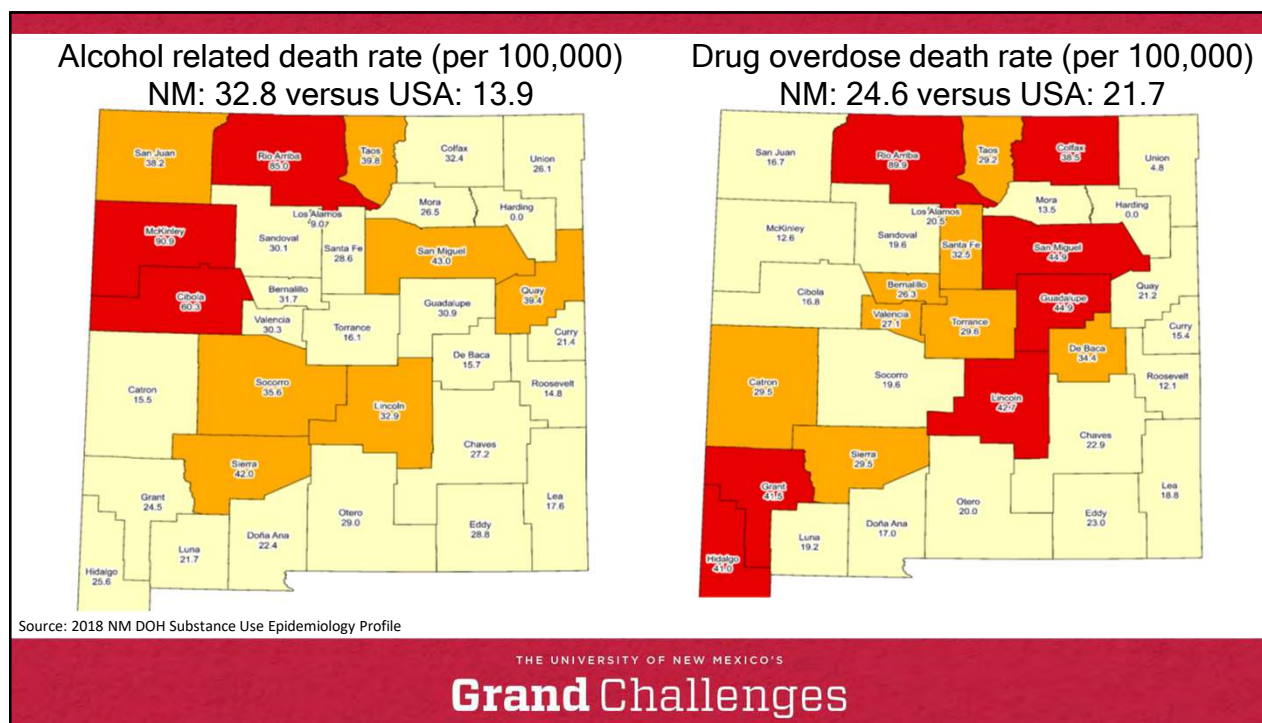
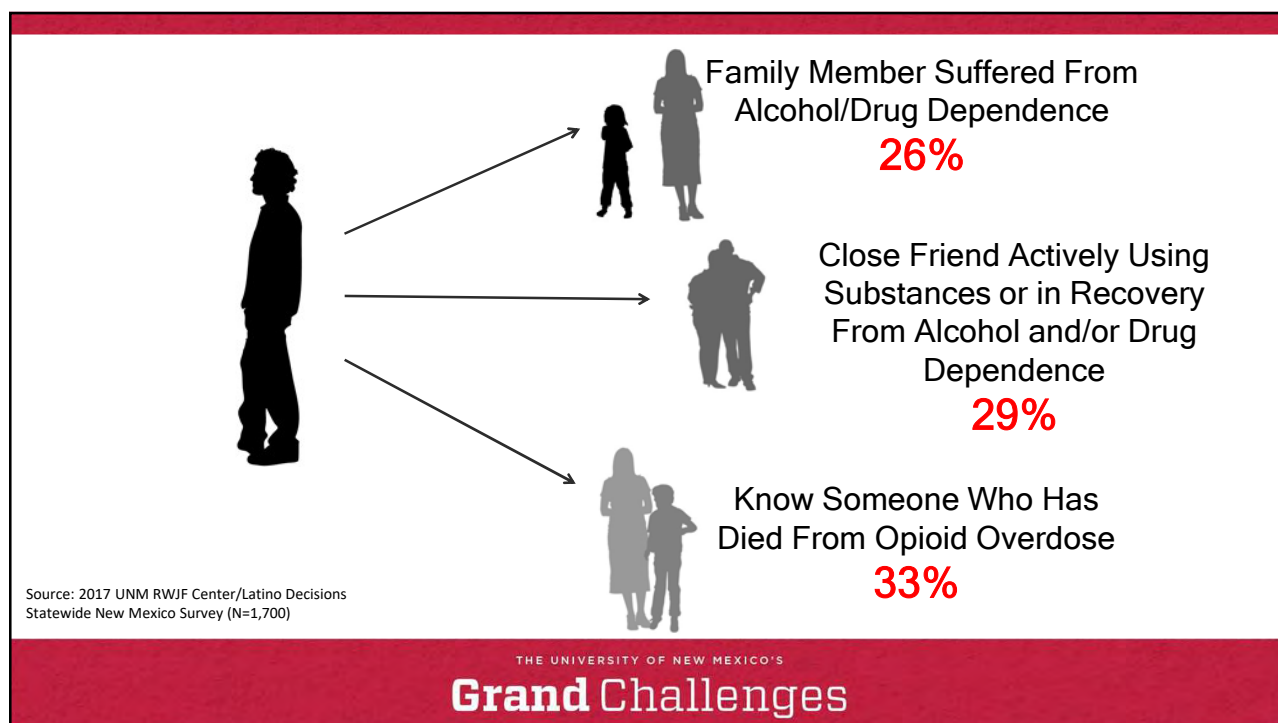
Substance  
Use  
Disorders

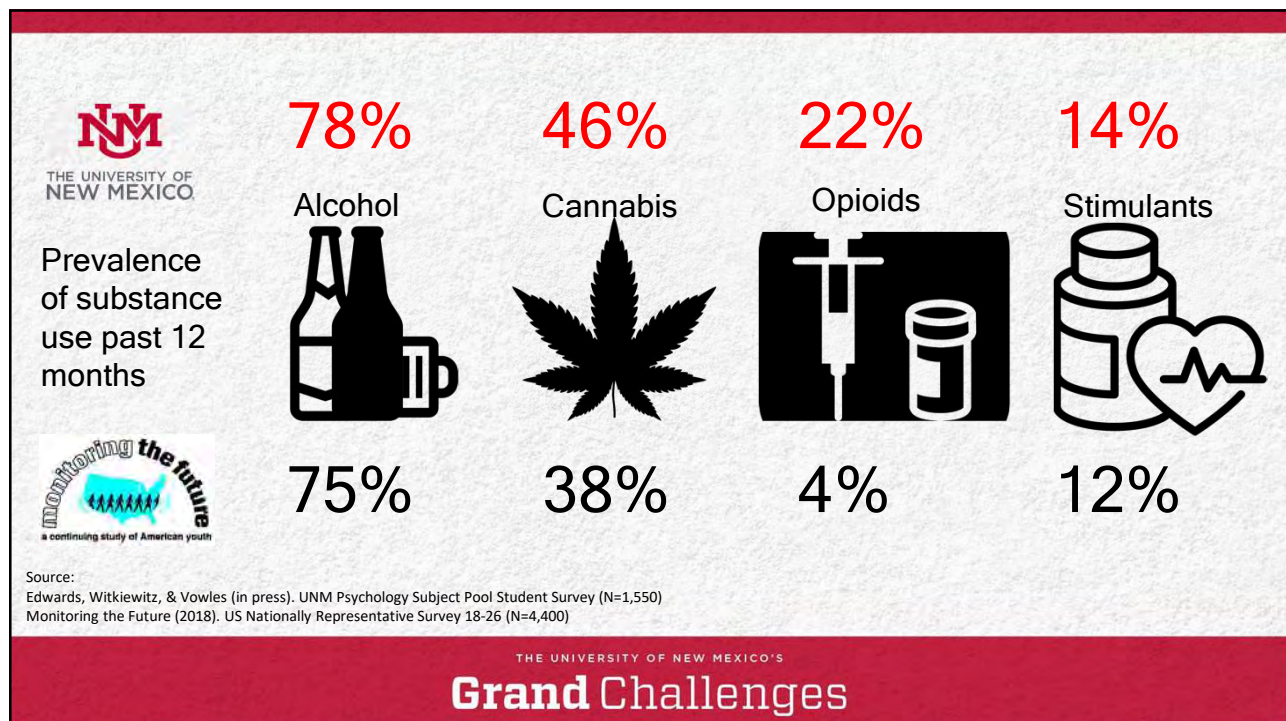
Grand Challenges



THE UNIVERSITY OF NEW MEXICO'S

## Grand Challenges





A strategic, coordinated and integrated approach at multiple systems

- Informed by innovative, inter- and trans-disciplinary research
- Secondary effects of improving the economy and educational outcomes in New Mexico

UNM is uniquely poised to become an incubator for substance use research innovation

- Evaluate and inform policy in New Mexico
- New Mexico as a model for other states



THE UNIVERSITY OF NEW MEXICO'S

**Grand Challenges**

## Our Accomplishments Thus Far:



### Organized Team

- 85 team members across campuses
- Trainings and speaker series on SUD



### Request for Proposals

- Received 15 applications
- Encouraged cross-campus collaboration



### Opioid-Focused Meeting

- Monthly coordinated meeting
- Forming cross-campus teams



### Pending NIH Award for ~\$4,748,858

- HSC and CASAA collaboration via GC initiative

THE UNIVERSITY OF NEW MEXICO'S

**Grand Challenges**

## Long-term Impact

- Coordinate efforts for a wider, more significant impact that reaches beyond UNM and throughout New Mexico
- Improve outcomes for our communities for generations to come



THE UNIVERSITY OF NEW MEXICO'S

# Grand Challenges



**Substance  
Use  
Disorders**

Grand Challenges



THE UNIVERSITY OF NEW MEXICO'S

# Grand Challenges

thank you

**Questions or Observations?**

[grandchallenges.unm.edu](http://grandchallenges.unm.edu)

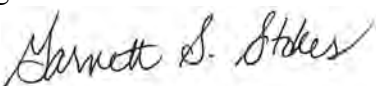
THE UNIVERSITY OF NEW MEXICO'S

**Grand Challenges**

MEMORANDUM TO ADVANCE  
COMMITTEE AGENDA ITEM TO  
THE BOARD OF REGENTS  
THE UNIVERSITY OF NEW MEXICO

DATE: August 13, 2019

TO: Members of the Board of Regents Finance & Facilities Committee

FROM: Garnett S. Stokes, President 

RE: Approval of Regents' Endowment Accounts

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RECOMMENDED ACTIONS:

1. For FY 2019-20, approve the use of up to \$650,000 of endowment funds in support of the Grand Challenges.
2. For FY 2019-20, approve the use of up to \$350,000 of endowment funds in support of enrollment management initiatives to increase enrollment.

August 8, 2019

To: Board of Regents  
From: Loretta P. Martinez, Chief Legal Counsel  
CC: President Garnett Stokes  
Re: Recommended Re-Adoption of Regents' Policy 2.3

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At its Special Board meeting on June 17, 2019, the Board of Regents adopted recommended revisions to Regents' Policy 2.3 Concerning Equal Opportunity, Affirmative Action, Anti-Harassment and Anti-Retaliation. It was subsequently discovered that "race" and "color" were unintentionally omitted from the list of protected characteristics in the policy statement. Accordingly, it is recommended that the Regents rectify this error and re-adopt Regents' Policy 2.3 as corrected.

A copy of the corrected policy with track-changes is attached.

Thank you for your consideration of this recommendation.

# **Regents' Policy Manual - Section 2.3:**

## **Equal Opportunity, Affirmative Action, Anti-Harassment, and Anti-Retaliation**

### **1. Applicability**

This policy applies to all University students, employees, and applicants.

### **2. Policy**

The University has an enduring commitment to support equality of employment and educational opportunity by promoting a diverse environment free from unlawful discrimination and harassment. The University forbids unlawful discrimination and harassment based on considerations of race, color, age, ancestry, ~~national~~ origin, gender identity, genetic information, serious medical condition, mental/physical disability, pregnancy, religion, sex, sexual orientation, spousal affiliation, or veteran status.

#### **2.1. Equal Employment Opportunity**

University policy, state and federal law, and regulations forbid unlawful discrimination based on the considerations mentioned in Section 2 above in recruiting, hiring, training, promoting, and all other terms and conditions of employment. Personnel policies will be administered without regard to those considerations, except when one of these is a bona fide occupational qualification. The University strives to assure equal access to all programs, facilities, and services.

## **2.2. Equal Educational Opportunity**

The University is committed to providing equal educational opportunity and forbids unlawful discrimination on the basis of the considerations mentioned in Section 2 above. Equal educational opportunity includes admission, recruitment, academic activities, student support services, extracurricular activities, facilities, financial assistance, housing, health and insurance services, and athletics.

## **2.3. Affirmative Action**

The University is committed to a program of affirmative action to increase access by, and participation of, traditionally underrepresented populations in the University's work force and educational programs. A diverse workforce and student body are absolutely essential to fulfill the University's education, research, and public service missions and to serve our state's diverse communities.

With respect to the workforce, in the case where a vacant position falls within a job group which is determined to have underutilization, the hiring official gives preference for selection to a finalist who is a member of the underutilized group, provided that finalist's qualifications and past performance are substantially equal to or exceed the other finalists' qualifications.

With respect to student admissions, the University defines diversity broadly to include, in addition to the traditionally underrepresented populations, such categories as socioeconomic background, culture, language fluency, first generation college students, veteran status, and living in rural New Mexico.

## **2.4. Reasonable Accommodation**

The University makes reasonable accommodations for the religious observances and national origin practices, as well as the known physical or mental disabilities, of students, prospective students, employees, prospective employees, or program users, unless such accommodations fundamentally alter a program, service, or the essential functions of a job, excessively burden faculty, or place an undue hardship

on the operation of the University. Employees or program users with disabilities should contact the Office of Equal Opportunity, and students with disabilities should contact the Accessibility Resource Center for information regarding accommodations.

## **2.5. Anti-Harassment**

The University strives to create and maintain an atmosphere free from all forms of unlawful harassment, exploitation, or intimidation in employment and educational settings. The University prohibits harassment of employees and students on the basis of the considerations mentioned in the Section 2 above. The University makes special efforts to eliminate both overt and subtle forms of sexual harassment, sexual violence, and misconduct, as those terms are defined in UAP 2740. In fulfilling its dual roles of educating and providing public service, the University can and must demonstrate leadership in educating all members of its community about appropriate behavior.

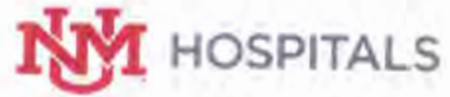
## **2.6. Anti-Retaliation**

Retaliation against any individual for bringing a complaint alleging non-compliance with this or any other policy or for participating in an investigation or hearing is strictly forbidden.

## **3. References**

- Title VI and VII of the Civil Rights Act of 1964
- Title IX of the Educational Amendments of 1972
- Americans with Disabilities Act
- Section 504 of the Rehabilitation Act of 1973
- Executive Order 11,246 of September 24, 1965 ("Equal Employment Opportunity"), as amended by Executive Order 13672 of July 21, 2014 (added sexual orientation and gender identity)

- NMSA 1978, § 28-1-1 et seq. ("New Mexico Human Rights Act")
- NMSA 1978, § 28-22-1 et seq. ("New Mexico Religious Freedom Restoration Act")
- UAP 2200 ("Whistleblower Protection, Reporting Suspected Misconduct, and Retaliation")
- UAP 2215 ("Consensual Relationships and Conflicts of Interest")
- UAP 2720 ("Prohibited Discrimination")
- UAP 2740 ("Sexual Misconduct")



Office of the Chief Executive Officer

Kate Becker, JD, MPH  
UNM Hospitals  
2211 Lomas Blvd NE  
Albuquerque, NM 87106  
tel: (505) 272-2121

May 3, 2019

Memorandum

To: All Pueblo Council of Governors Health Council  
2401 12<sup>th</sup> Street, NW  
Albuquerque, NM 87103

Re: Continuation/Second term on the UNM Hospitals' Board of Trustees

As you are aware, Mr. Erik Lujan serves as a UNM Board of Regents appointee to the UNM Hospitals Board of Trustees. His three-year term ends in June 2019. Mr. Lujan has faithfully and diligently executed his responsibilities as a board member. Our board members may serve an additional concurrent term of three years, and we have approached Mr. Lujan about his availability to continue with the Board for a second term. While this is a Regent-appointed position and not the position filled pursuant to APCG nomination (Governor Raymond Loretto, DVM currently holds that position), Mr. Lujan felt it was important for us to alert you to this request. We would request your support for reappointment of Mr. Lujan for a second three year term on the UNM Hospitals Board of Trustees. Thank you for your consideration.

Respectfully,

A handwritten signature in cursive script that reads "Kate Becker".

Kate Becker



# ALL PUEBLO COUNCIL OF GOVERNORS

Officers:  
E. Paul Torres, Chairman  
Governor J. Michael Chavarria, Vice Chair  
Governor Val Panteah, Sr., Secretary

June 20, 2019

Acoma

Cochiti

Isleta

Jemez

Laguna

Nambe

Ohkay Owingeh

Picuris

Pojoaque

Sandia

San Felipe

San Ildefonso

Santa Ana

Santa Clara

Santo Domingo

Taos

Tesuque

Ysleta Del Sur

Zia

Zuni

Kate Becker, CEO  
University of New Mexico Hospital  
2211 Lomas Boulevard, NE  
Albuquerque, NM 87106

## **Re: Reappointment of Erik Lujan to the UNM Hospital Board of Trustees**

Dear Mrs. Becker,

Thank you for your letters of May 14<sup>th</sup> and June 10<sup>th</sup>, 2019, requesting the reappointment of a representative to the University of New Mexico Hospital Board of Trustees. APCG recognizes the central role of UNMH on the healthcare needs of our Pueblo nations and the critical role Pueblo representation on the Board of Trustees contributes to its outcomes.

APCG appreciates the effective leadership, support, and insight Mr. Erik Lujan has provided to the Board on behalf of our member Pueblos. APCG fully supports his reappointment as a general Regents-appointed Board member for another term. We are confident that his professional expertise, advocacy, and in-depth knowledge of our healthcare needs will continue to ensure that health and wellness of our communities and members is an ongoing top priority for UNMH and our Pueblo nations.

Respectfully,

E. Paul Torres, Chairman  
All Pueblo Council of Governors

CC: Pueblo Governors

4616 Forman Rd. S.W.  
Albuquerque, NM 87105  
(505) 280-2811  
[www.Nahainformation.blogspot.com](http://www.Nahainformation.blogspot.com)  
[Elujan78@gmail.com](mailto:Elujan78@gmail.com)

## ERIK LUJAN

**OBJECTIVE** To continue to expand my knowledge of healthcare programs in order to better assist clients in accessing the benefits and services they deserve.

**SKILLS & ABILITIES** Public Speaking, policy analyst, advocacy, technical writing, computer skills, power point presentation, community organizing, fundraising, personnel manager, care coordination.

**EXPERIENCE**

Owner, Operator  
Native American Healthcare Planning & Development Co  
November 2015- Present

**Pacific Institute of Research and Evaluation**  
August 2015- Present

**Health Care Consultant, Research Coordinator**  
January 2015 - Present

**Enrollment Counselor, Program Coordinator, Assistant Director, Technical Assistance Coordinator, Research Coordinator, Native America Professional Parenting Resources Inc.**  
November 2013-Present

**VOLUNTEER ADVOCATE/POLICY ANALYST, NEW MEXICO INDIAN COUNCIL ON AGING**  
December 2008 - Present  
Included providing educational awareness to Native American communities regarding healthcare reform, advocacy, policy analysis, attend State and Federally sponsored input discussions

**PERSONAL CARE COORDINATOR, POSITIVE OUTCOMES INC**  
June 2007- November 2008  
Supervise in home personal care workers, educate clients on Medicaid Program benefits and services. Assist in eligibility determination for Medicaid program. Develop partnerships with tribal programs. Maintain client records in compliance with HIPPA regulations. Customer service.

**SHIFT SUPERVISOR, LAGUNA DEVELOPMENT CORP-ROUTE 66 CASINO**  
September 2003- March 2007

Supervise workers on casino floor, Train new employees, conflict resolution, light office duty, scheduling, CPR training, Hazardous waste disposal, customer service.

---

#### EDUCATION

##### **UNM CONTINUING EDUCATION CERTIFICATE- NON-PROFIT MANAGEMENT**

Course included best practices for all aspects of effectively managing a non-profit organization

##### **CENTRAL NM COMMUNITY COLLEGE-**

On-going focusing on Social Work and Communication

##### **MENAU SCHOOL- DIPLOMA 1997**

---

#### COMMUNICATION

Enroll America Conference 2016 Presenter: Engaging Native American Communities in ACA Enrollment

Enroll America Conference 2015 Panelist Presenter: Outreach and Enrollment program development

Quarterly presentations to NMICoA membership regarding Healthcare Reform.

Testify before NM state Legislature's Legislative Health and Human Services, Indian Affairs Committee. Senate Cultural Affairs Committee

Numerous presentations to Tribal Health Programs.

---

#### LEADERSHIP

All Pueblo Counsel of Governors Health Committee member

Indian Health Service Hospital Contract advisory committee

President, Executive Board of Health Action NM

Chair Inter-Tribal Workgroup

Member NM Health Insurance Exchange Taskforce Native American Workgroup

---

#### REFERENCES

Earl Salazar

Governor

Pueblo of Ohkay Owingeh

Office(505) 852-0408

##### **DARRYL MADALENA**

County Commission Chair

Sandoval County

(505) 206-7470

##### **MANU CRISTOBAL**

Tribal Councilman

Pueblo of Santa Ana

(505) 934-0689

## BIO OF ADELMO (DEL) ARCHULETA

*December, 2018*



Del Archuleta is a native New Mexican and has devoted his life to giving back to the community and improving the lives of New Mexicans. He is considered one of New Mexico's most committed and humble public servants. For over 40 years, his impact has been profound using his public service, community service and his engineering expertise to benefit others. He is a Civil Engineer and has been the CEO of Molzen Corbin for over 30 years, one of New Mexico's largest and most respected engineering and architectural firms.

### HE IS MOST PROUD OF:

- Being able to use his engineering profession to make a difference in the quality of life in communities throughout his home State of New Mexico.
- Serving as a voice for educational reform in the State.
- Having the honor of serving on the Board of Regents and being awarded an Honorary Doctorate by his alma mater, New Mexico State University (NMSU).
- Meeting and learning from other talented and committed individuals through his work on public and private boards.
- Being blessed in raising three outstanding sons and being married to his wife of 43 years, Rebecca Gonzales Archuleta.

### DISTINGUISHED AWARDS & HONORS

- In 1996, Del was appointed by **Governor Johnson** to the NMSU Board of Regents where he served two terms as President of the Board. Then, in 2003, **Governor Richardson** appointed Del to serve on the New Mexico K-12 State Board of Education where he was subsequently elected Chairman. In 2013, **Governor Martinez** appointed him to the State Board of Finance and subsequently re-appointed him to serve through 2018.
- Honored with the Governor's "New Mexico Distinguished Public Service Award" for his commitment to his community and bettering the lives of New Mexicans, 2003.
- Recognized by *New Mexico Business Journal* as one of "New Mexico's Most Influential People", 2000.
- Honored with the "National Community Service Award" by the American Consulting Engineers Council, 2000.
- Selected by the New Mexico Society of Professional Engineers "Ingeniero Veterano de Nuevo Mexico" award, the highest State Society award for the lifetime service and achievement, 2011.
- Presented with the "2010 Distinguished Citizen Award" by the Great Southwest Council of the National Eagle Scout Association, 2011.
- Consistently recognized as one of the "100 Power Brokers" by *New Mexico Business Weekly*.

## CAREER AWARDS & SUCSESSES

- Awarded Honorary Doctorate from NMSU, 2010.
- Chosen by the NMSU Board of Regents to Chair the NMSU Presidential Search Committee, 2009.
- Honoree for NMSU Distinguished Alumni for the College of Engineering, 2004.
- Served on the Board of Regents for NMSU and elected President of the Board, 1999 and 2000.
- Recipient of Mike Watts Civil Engineering Alumni Award for exceptional achievement for a recent graduate, 1980-81.
- Molzen Corbin ranked as one of the nation's "500 Largest Hispanic-Owned Companies" by *Hispanic Business Magazine* for the past 22 years.
- Recognized by Hispano Chamber of Commerce as "Business of the Year" the organization's highest award, 2001.
- Ranked in New Mexico 100, an annual listing of largest privately held New Mexico companies, in multiple years.

## CORPORATE BOARDS and COMMUNITY SERVICE

### Current:

- Elected to serve **three times as Chairman of the Board of Directors for the Greater Albuquerque Chamber of Commerce**, 1999, 2010 and 2014. Honored with the prestigious "Maxie Anderson" award, 2008. *Del led the initiative to write the "Every Child" program to help improve the quality of education in New Mexico.*
- Board of Directors, **New Mexico Gas Company**
- Board of Directors, **Bank of Albuquerque**
- Board of Directors, **New Mexico First**

### Past:

- **Chairman** and Board of Directors, **New Mexico Mutual Board**; 2009-2017
- Board of Directors, **Public Service Company of New Mexico (PNM)**; 2003-2016.
- Board of Directors, **Presbyterian Healthcare Services**; 2001-2011
- **Chairman** of the Board, **United Way of Central New Mexico**
- Board of Directors, **Explora Science and Children's Museum**

## PUBLIC SERVICE

- Appointed by Governor Martinez to the State Board of Finance, 2013 and re-appointed for a second term to the State Board of Finance by Governor Martinez, 2015.
- Appointed to "State Board of Education" by Governor Richardson, elected as President, 2003.
- Appointed by Governor Richardson to serve as New Mexico's representative for "Education of States Commission", 2003.
- Appointed by Governor Richardson and Governor Martinez to the "New Mexico Mutual Board of Directors", 2009-2017.
- Selected to serve on the "Employability Partnership of New Mexico" Task Force by Governor Martinez, 2012.

As you can see, Del is motivated to make his community and New Mexico a better place. He achieves these goals by actively participating and leading public service boards and community service organizations.

***ADELMO (DEL) ARCHULETA***

***CHRONOLOGICAL LISTING OF CAREER HIGHLIGHTS***

- 2017** – Elected to the Board of New Mexico Gas Company
- 2016** – Honored with the New Mexico Humanitarian Award by the Jewish Community Center for enriching the community by exemplary commitment to giving back
- 2015** – Re-appointed to the State Board of Finance by Governor Martinez
- 2014** – Re-elected as Chairman of the Board of Directors, Greater Albuquerque Chamber of Commerce
- 2014** – Elected to the Board of “New Mexico First”
- 2013** – Selected to Chair the Nomination and Governance Committee of the PNM Board of Directors
- 2013** – Ranked in New Mexico Private 100, an annual listing of largest privately held NM companies
- 2013** – Appointed by Governor Martinez to the State Board of Finance
- 2012** – Ranked in New Mexico Private 100, an annual listing of largest privately held NM companies
- 2012** – Appointed by Governor Martinez to the “Employability Partnership of New Mexico” Task Force
- 2012** – Presented the Spirit of Achievement Award by National Jewish Health
- 2011** – Appointed by Governor Martinez to New Mexico Mutual Board of Directors
- 2011** – Honored with the New Mexico Society of Professional Engineers “Ingeniero Veterano de Nuevo Mejico” Award – highest State Society award for Lifetime Service and Achievement
- 2011** – Presented with the “2010 Distinguished Citizen Award” by the Great Southwest Council of the National Eagle Scout Association
- 2010** – Named one of the “100 Power Brokers” in New Mexico by *New Mexico Business Weekly*
- 2010** – Presented with an Honorary Doctorate from NMSU
- 2010** – Individually nominated for the Good Samaritan Counseling Center’s “Ethics in Business Award”
- 2010** – Re-elected as Chairman of the Board of Directors, Greater Albuquerque Chamber of Commerce
- 2009** – Selected by NMSU Board of Regents to Chair NMSU Presidential Search Committee
- 2009** – Appointed by Governor Richardson to New Mexico Mutual Board of Directors
- 2009** – Selected to Chair the Presbyterian Health Services Finance Committee
- 2009** – Selected to Chair PNM’s Public Policy & Sustainability Committee
- 2008** – Named one of the “100 Power Brokers” in New Mexico by *New Mexico Business Weekly*
- 2008** – Immediate Past Chairman of the Board of Directors of the United Way of Central New Mexico
- 2008** – Selected to Chair the Presbyterian Health Services Investment Committee
- 2008** – Honored with the “Maxie Anderson” Award by the Greater Albuquerque Chamber of Commerce
- 2007** – Selected as Chairman of the Board of Directors of the United Way of Central New Mexico
- 2006** – Selected as Chair Elect of the Board of Directors of the United Way of Central New Mexico

*Additional Awards and Recognition Continued:*

- 2005 – Selected to serve on the NMSU College of Engineering Dean’s Advisory Committee
- 2004 – Selected as NMSU’s 2004 Distinguished Alumni for the College of Engineering.
- 2004 – Selected to serve on the NM Alliance for Minority Participation (NMAMP) Advisory Board
- 2003 – Appointed to serve on the Board of Directors for the Bank of Albuquerque (Dec. ’03)
- 2003 – Inducted as an Honorary Member of NMSU’s Academy of Civil and Geotechnical Engineers
- 2003 – Recipient of the Governor’s New Mexico Distinguished Public Service Award
- 2003 – Appointed by Governor Richardson to serve on the Information Technology Commission
- 2003 – Appointed by Governor Richardson to serve as New Mexico’s representative on the Education of the States Commission
- 2003 – Elected to serve on the Public Service Company of New Mexico’s (PNM’s) Board of Directors
- 2003 – Selected to serve on the Board of Directors of the United Way of Central New Mexico
- 2003 – Appointed by Governor Bill Richardson to the State Board of Education; elected president of the 15-member State Board
- 2002 – Selected to serve on the Explora Science Center and Children’s Museum Board of Directors
- 2002 – Selected to serve as President of the Board of Directors for Leadership New Mexico for the 2002/2003 program year
- 2002 – Selected to serve on the Board of Directors for Presbyterian Health Services
- 2002 – Named one of the “100 Power Brokers” in New Mexico by *New Mexico Business Weekly*
- 2001 – Individually nominated for the Good Samaritan Counseling Center’s “Ethics in Business Award”
- 2001 – Named one of the “100 Power Brokers” in New Mexico by *New Mexico Business Weekly*
- 2001 – Recipient of Albuquerque Hispano Chamber of Commerce’s “Outstanding Business of the Year”
- 2000 – “National Community Service Award” Recipient; **American Council of Engineering Co. (ACEC)**
- 2000 – Recipient of the “Individual Community Service Award” by the ACEC/NM
- 2000 – Re-elected President of the NMSU Board of Regents for 2000-2001 term
- 2000 – Named one of “New Mexico’s Most Influential People” by *New Mexico Business Journal*
- 2000 – Named one of the “100 Power Brokers” in New Mexico by *New Mexico Business Weekly*
- 1999 – Elected Chairman of the Board for the Greater Albuquerque Chamber of Commerce
- 1999 – Elected President of the NMSU Board of Regents for 1999-2000 term
- 1999 - Named one of the “100 Power Brokers” in New Mexico by *New Mexico Business Weekly*
- 1998 - Awarded the “1997 Sam Walton Business Leader Award” as presented at the Greater Albuquerque Chamber of Commerce’s Annual Business Recognition Luncheon
- 1998 - Named one of the “100 Power Brokers” in New Mexico by *New Mexico Business Weekly*

*Additional Awards and Recognition Continued:*

- 1997** - Ranked in New Mexico Private 100, an annual listing of largest privately held NM companies
- 1996** - Appointed by Governor Gary Johnson to the NMSU Board of Regents
- 1996** - Selected as a “Centennial Distinguished Alumnus” by NMSU’s College of Engineering
- 1996** - Small Business Administration’s “1996 Small Business Subcontractor of the Year” award for Region VI, (encompassing Arkansas, Louisiana, Oklahoma, Texas, and NM)
- 1996** - Los Alamos National Laboratory’s “1996 Small Business Subcontractor of the Year”
- 1995** - Inducted into the Honorary Academy of Civil, Agricultural, and Geological Engineering in the first year of eligibility by NMSU
- 1994** - Recipient of the Quality New Mexico Piñon Award, an award recognizing an outstanding small business demonstrating consistent delivery of quality services
- 1994** - Chi Epsilon Civil Engineering Outstanding Alumni Award
- 1993** - Minority Supplier of the Year Award recipient from Los Alamos National Laboratory, and the Rio Grande Minority Purchasing Council
- 1990** - Emeritus Faculty Distinguished Alumni Award: Presented by the faculty at NMSU for outstanding achievement
- 1980/81** - Mike Watts Civil Engineering Alumni Award: Presented by NMSU for outstanding achievement in the field of engineering by a graduate; received within the first year of eligibility

### Summary

College Dean with experience leading a division to excellence through collaborative and respectful working relationships as well as organizational and financial skills. I have unique professional experiences leading and developing successful educational programs in both STEM and Healthcare.

### Education

Ph.D. Applied Mathematics, University of New Mexico, December 1998

M.A. Mathematics, University of New Mexico, 1994

B.S. Mathematics, Minor in Elec. Engineering, University of New Mexico, 1992

### Administrative Experience

Dean, School of Health, Wellness & Public Safety (HWPS) at Central New Mexico Community College (CNM). January 2013 – January 2019. As dean I have the following responsibilities:

- Plan, coordinate and provide leadership for the School of Health, Wellness and Public Safety programs and courses to ensure quality, effectiveness and relevancy. HWPS offers over 20 career technical programs.
- Manage operations and provide oversight to fiscal, physical and personnel resources.
- Directly supervise and evaluate two Associate Deans, three Directors and approximately 55 full time faculty.
- Provide leadership to administrative and instructional staff that fosters academic success, accountability and student retention.
- Foster collaborative working relationships with both internal and external constituencies to communicate, coordinate, and execute strategies that support instructional goals (e.g., articulation agreements and contracts for clinicals).
- Conduct resource needs analysis. Plan, request and administer school operating and equipment budgets. Coordinate and lead the identification of appropriate grant funding and implements grants application and administration processes.
- Provide leadership in academic long-range planning and employs strategic enrollment management and retention strategies.
- Serve as CNM PI for a state-wide, Department of Labor TAAACCT grant funded at \$15 million. CNM's funding was \$2 million.
- Demonstrate sensitivity to and understanding of the diverse academic, socioeconomic, cultural, disability and ethnic backgrounds of community college students.

Pre-Calculus Director, University of New Mexico. August 2011 – December 2012.

As the director I had teaching responsibilities and these administrative duties:

- Hire, mentor, supervise and evaluate full time lecturers collaboratively with the chair and other faculty.
- Hire, mentor, supervise and evaluate part time instructors, and supervise teaching assistants in the area of teaching collaboratively with the full time lecturers.
- Lead change that will improve success rates in lower division mathematics and statistics courses.

- Respond to student and instructor issues by providing information, facilitation, policy interpretation, and referrals.
- Manage freshman mathematics and statistics courses including personnel, curriculum, and schedule.
- Plan and implement pre-semester in service days for lecturers, part time instructors, and teaching assistants. We discuss policies and practices as well as good teaching strategies.

Associate Dean, School of Math, Science and Engineering (MSE) at CNM. September 2007 – August 2011. As associate dean I had these primary duties:

- Hire, mentor, supervise, and evaluate approximately 150 part time instructors.
- Chair the curriculum committee that reviewed all curriculum changes for MSE.
- Responsible for the schedule of approximately 650 classes and laboratories per term.
- Chair hiring committees for full time and part time faculty.
- Manage the science labs including administering and approving budgetary expenditures and hiring and supervising the Science Lab Supervisor.
- Respond to student and instructor issues by providing expertise, information, facilitation, arbitration, policy interpretation, and referrals.
- Collaborate with the dean on a daily basis to manage, solve problems, and plan for the future of MSE.

Chair of Mathematics, CNM. September 2003- August 2007. As chair I had teaching responsibilities and also these administrative duties:

- Schedule approximately 100 sections a term for the Mathematics department and resolve teaching assignment requests for 19 full time instructors.
- Assist the Dean and Associate Dean in hiring full time and part time mathematics instructors.
- Lead Math department meetings and facilitated department activities such as book selections and assessment projects.
- Served on two state wide transfer committees, the mathematics articulation group, and the engineering transfer module.
- Serve as a liaison between the department and the administration by advocating for the mathematics instructors' positions, ideas, initiatives, and concerns to the dean and associate dean.

## Teaching Experience

Lecturer III, UNM August 2011-December 2012

- Teach a variety of mathematics courses.
- Excellent student evaluations.
- Attend professional development workshops.
- Taught an Instructional Television Class.
- Taught Intermediate Algebra fully online using Pearson's MyMathLab.

Mathematics Instructor, CNM January 2000-September 2007

- Taught a wide range of lower division courses.

Tamra Mason, Ph.D.

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- Excellent student evaluations that include many comments about my helpfulness and my ability to communicate difficult material well.
- Excellent yearly evaluations by the Dean (Dr. Susan Murphy).
- Co-developed three learning communities.
- Engaged in many the department activities and professional development.
- Completed an Online Instructor Certification class.

Teaching Assistant, University of New Mexico, 1992-1998 (intermittent)

- Taught algebra and calculus.
- Excellent student evaluations.

### Other Professional Experience

Applied Mathematician, Tera Research, March 1999 – January 2000

I worked on matters of national security as an applied mathematician. I obtained a security clearance while there.

Student Intern, Los Alamos National Laboratories, Summer 1996

Along with my dissertation advisor, Dr. Carla Wofsy, I worked on mathematically modeling a biological problem dealing with the binding of molecules.

Student Intern, Sandia National Laboratories, Summer 1992

Under the direction of Dr. Louis Romero I worked on the numerical solution of equations that modeled the flow of water past a probe. I obtained a security clearance while there.

### Training

Academic Coach, UNM, August 9, 2012

Research Ethics on Social and Behavioral Research CITI Collaborative Institutional Training Initiative May 7, 2011

Restorative Justice Mediation CNM, Spring 2011

Online Instructor Certification CNM Summer 2007

### Publication

“Effective rate models for the analysis of transport-dependent biosensor data” Tamra Mason, Angel R. Pineda, Carla Wofsy and Byron Goldstein, *Mathematical Biosciences*, Volume 159, Issue 2, July 1999, Pages 123-144

### Dissertation

“Analysis of Diffusion & Surface Reaction Problems in Biological Systems” University of New Mexico December 1998

## Marketing UNM HSC Family Brands

*(No presentation materials currently available)*

*This information item will be presented at the August.12, 2019 HSC Committee meeting and may move forward to the August 13 BOR for presentation. If the item will be presented at the August 13 BOR, presentation materials will be provided at the meeting.*

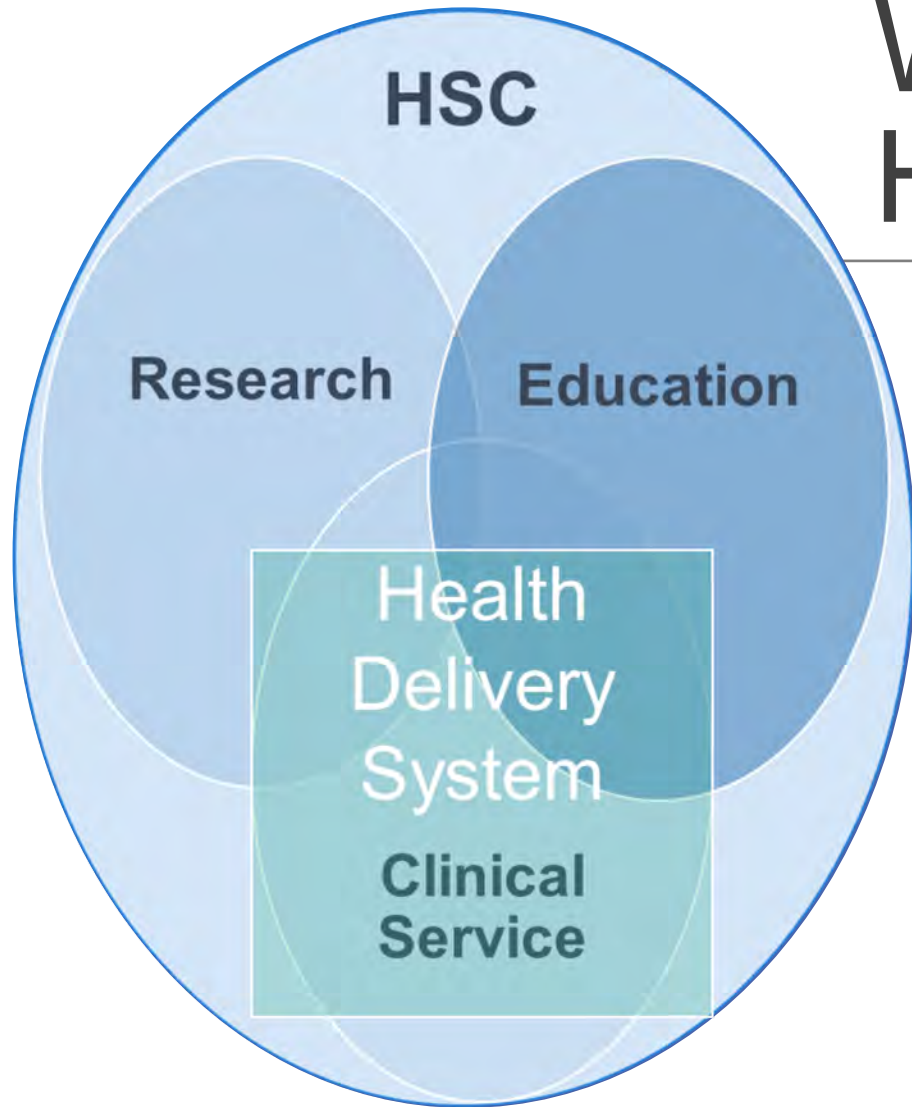
# Report on FY2019 Strategic Plan

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12 AUGUST 2019  
UNM HSC COMMITTEE

RICHARD LARSON, MD, PHD  
EXECUTIVE VICE CHANCELLOR  
VICE CHANCELLOR FOR RESEARCH

# What is an Academic Health Center?



Linchpin of Healthcare

Combined academic institution and healthcare provider

Multiple colleges and centers

- Engage in spectrum of discovery
- Educate future workforce
- Driver of best practices
- Clinical enterprise serves as platform

Compete in marketplace

Engine for community and economic growth

Part of larger university



## VISION

THE UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER WILL WORK WITH COMMUNITY PARTNERS TO HELP NEW MEXICO MAKE MORE PROGRESS IN HEALTH AND HEALTH EQUITY THAN ANY OTHER STATE.



## MISSION

- PROVIDE AN OPPORTUNITY FOR NEW MEXICANS TO OBTAIN AN EXCELLENT EDUCATION IN HEALTH SCIENCES.
- ADVANCE HEALTH DISCOVERY AND INNOVATION IN THE MOST IMPORTANT AREAS OF HUMAN HEALTH.
- ENSURE THAT ALL POPULATIONS IN NEW MEXICO HAVE ACCESS TO THE HIGHEST QUALITY HEALTH CARE.



## VALUES

- EXCELLENCE IN EDUCATION, PATIENT CARE AND RESEARCH.
- COMMITMENT TO SERVICE, QUALITY AND SAFETY.
- INTEGRITY AND ACCOUNTABILITY.
- RESPECT AND COMPASSION FOR ALL PEOPLE.
- TEAMWORK AND COLLABORATION.
- PROVIDING HOPE FOR THOSE WE SERVE.

# UNM HSC Strategic Planning Process

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- Developed new Vision, Mission and Value statement (2012)
- Aligned with UNM plan
- Large effort to incorporate both UNM HSC academic enterprise and UNM Health System planning
- Involved leadership, multiple faculty and staff groups, and many community members
- Established vision, mission and value statements with goals, strategies and tactics
- Metrics created (part of performance plans)
- Aligned with finances and costs

# UNM HSC Strategic Planning Process

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- Annually, we have a series of retreats to review plan and establish actions for the following year
- SWOT
- Metrics
- 2-Year planning

# Vision

The University of New Mexico Health Sciences Center will work with community partners to help New Mexico make more progress in health and health equity than any other state.



## Mission

*We will:*

Provide an opportunity for New Mexicans to obtain an excellent education in health sciences.

Advance health discovery and innovation in the most important areas of human health.

Ensure that all populations in New Mexico have access to the highest quality health care.



## Values

Our mission is guided by our values of:

- Excellence in education, patient care and research
- Commitment to service, quality and safety
- Integrity and accountability
- Respect and compassion for all people
- Teamwork and collaboration
- Providing hope for those we serve



## Strategic Goals

1. Improve public health and health care to those we serve
2. Build the workforce of New Mexico by providing a premier education
3. Translate our research and discoveries into clinical or educational practice
4. Provide the environment to enable our people and programs to do their best
5. Deliver high quality clinical care and service while being accessible to all New Mexicans
6. Nurture and embrace an environment of diversity, integrity and transparency

# Definition and Processes



# UNM HSC Goals

***In order to realize our Vision and Mission, we will achieve the following goals***

Goal	Strategies
1. Improve Health & Health Care to the Populations We Serve	<ul style="list-style-type: none"> <li>• Community Engagement</li> <li>• Network &amp; Partnerships</li> </ul>
2. Build the Health Care Workforce of NM by Providing a Premier Education	<ul style="list-style-type: none"> <li>• Production &amp; Retention</li> <li>• Transform Education</li> </ul>
3. Foster Innovation and Translate Our Research Into Clinical or Educational Practice	<ul style="list-style-type: none"> <li>• Nationally Recognized Programs</li> <li>• Economic Activity</li> <li>• State-of-the-art Practice</li> </ul>
4. Enable Our People and Programs to do Their Best	<ul style="list-style-type: none"> <li>• IT Organization</li> <li>• Excellence</li> <li>• Operations</li> </ul>
5. Grow Our Well-Integrated Academic Health Center While Providing the Safest and Highest Quality Clinical Care	<ul style="list-style-type: none"> <li>• Quality &amp; Safety</li> <li>• Service</li> <li>• People</li> <li>• Financial Stability</li> <li>• Strategic Growth</li> <li>• Community &amp; Education</li> </ul>
6. Create Inclusive and Equitable Academic Community Through Robust Communication	<ul style="list-style-type: none"> <li>• Communication</li> <li>• Diversity</li> </ul>

# Updated SWOT and Strategic Plan for FY20

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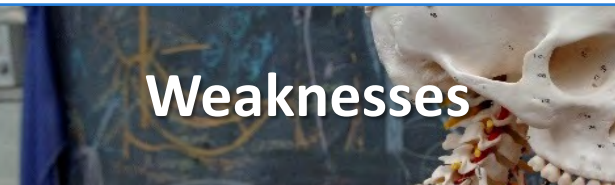
- Retreat with 70 HS leadership on October 29 and 43 HSC leadership on November 5
- Updated SWOT and Strategic Plan

# SWOT Analysis



## Strengths

- Quality of faculty and staff
- Community engagement and support
- Health care education and workforce development
- Vision and mission are aligned with societal needs
- Unique programs and services across all missions (CTSC, CCC, ECHO)
- Faculty and state support of mission
- Pipeline programs



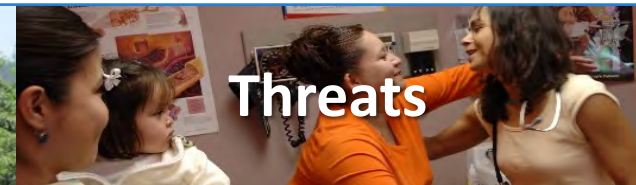
## Weaknesses

- Nimbleness of systems and processes
- Morale: burnout, engagement
- Cost of care
- Inadequate management systems
- Clinical performance and outcomes
- Access to primary care, behavioral health and specialty care
- Clinical informatics and data mining to support clinical and research mission



## Opportunities

- Utilization of academic mission in support of value-based care and population health
- Changes in state, local and university leadership
- On-demand health care and education
- New partnerships and acquisitions in clinical and research missions
- Program development around evolving NM disease burden
- Cross-campus opportunities that support HSC initiatives



## Threats

- Workforce shortages
- NM environment (poor economy, poor public education, crime)
- Increased competition in the clinical marketplace
- Financial challenges of Main Campus
- Rapidly changing demands of health professional education, research and health care
- Worsening and changing disease burden
- Risk bearing contracts



# STRATEGIC DATABASE

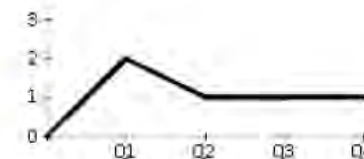
FY19

## HSC Plan

Goal 1	IMPROVE HEALTH AND HEALTH CARE TO THE POPULATIONS WE SERVE.					Q1	Q2	Q3	Q4	Trend Chart	Notes											
Strategy 1.1	COMMUNITY ENGAGEMENT: Improve health in New Mexico by partnering with community organizations and health care providers.																					
Tactic 1	Expand clinical, research, and educational outreach focused on community health priorities.																					
Metric	Increase integration of community health workers into 20% more clinical practice and community health improvement sites through expanded partnerships with managed care organizations, health care providers and community agencies.					<div><div></div><div></div><div></div><div></div></div>	<table><thead><tr><th>Quarter</th><th>Value</th></tr></thead><tbody><tr><td>Q1</td><td>0</td></tr><tr><td>Q2</td><td>3</td></tr><tr><td>Q3</td><td>3</td></tr><tr><td>Q4</td><td>3</td></tr></tbody></table>					Quarter	Value	Q1	0	Q2	3	Q3	3	Q4	3	As of December 2018, hired a total of 13 more CHWs across 4 programs (4 with UNMH to work in the Reentry Resource center, 3 with UNMH ED and Peds to work on ACES grant, 2 with UNM ED and Medical Group to work with Molina and Western Skies adults seen in the ED, and 4 with CMS-Innovation grant to screen for adverse social determinants)
Quarter	Value																					
Q1	0																					
Q2	3																					
Q3	3																					
Q4	3																					
Metric	Increase the number of community engagement events by telehealth by 20%.					<div><div></div><div></div><div></div><div></div></div>	<table><thead><tr><th>Quarter</th><th>Value</th></tr></thead><tbody><tr><td>Q1</td><td>0</td></tr><tr><td>Q2</td><td>10</td></tr><tr><td>Q3</td><td>11</td></tr><tr><td>Q4</td><td>13</td></tr></tbody></table>					Quarter	Value	Q1	0	Q2	10	Q3	11	Q4	13	Up 6% over previous quarter and 45% over FY2018. FY2019 total of 41,802.
Quarter	Value																					
Q1	0																					
Q2	10																					
Q3	11																					
Q4	13																					
Metric	Implement plan to impact small business activity and grow jobs in New Mexico through the Healthy Neighborhoods Albuquerque initiative.					<div><div></div><div></div><div></div><div></div></div>	<table><thead><tr><th>Quarter</th><th>Value</th></tr></thead><tbody><tr><td>Q1</td><td>0</td></tr><tr><td>Q2</td><td>3</td></tr><tr><td>Q3</td><td>3</td></tr><tr><td>Q4</td><td>3</td></tr></tbody></table>					Quarter	Value	Q1	0	Q2	3	Q3	3	Q4	3	Four "Boot Camp" participants placed in UNMH employment (three additional with PHS). UNMH purchasing 15-20# of carrots weekly. FY2020 planned activities include additional 20 "Boot Camp" participants, development of a Food System Charter, and a general purchasing initiative.
Quarter	Value																					
Q1	0																					
Q2	3																					
Q3	3																					
Q4	3																					

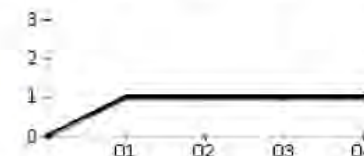
Page 1

**Metric** Complete strategic plan for Center for Gerontology.



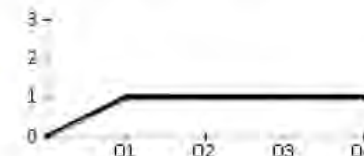
Ongoing. Transitioned to new leadership (Dr Knoefel) in January 2019.

**Metric** Secure state funding for Child Wellbeing Center.



Ongoing - to continue for FY2020.

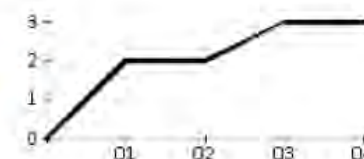
**Metric** Secure state funding for Center for Successful Aging.



Ongoing - to continue for FY2020.

**Tactic 2** Partner with communities to identify solutions to patient's complex health, disease and social issues while promoting healthy living.

**Metric** Enhance dissemination of NM County Health Report Cards through town halls, webpages, and other means.

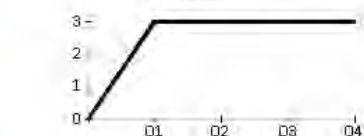


Completed 2019 Report Cards have been submitted to the legislature and made public.

**Metric** Explore and execute partnerships to address lower-cost care.



**Metric** Explore additional strategic partnerships around small-volume, high-resource medical specialties (e.g., pediatric surgery).

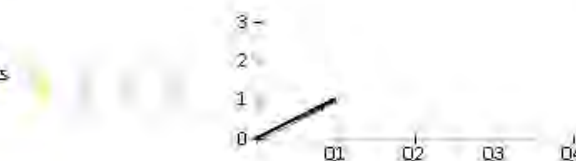


**Strategy 1.2**

**NETWORK AND PARTNERSHIPS:** Explore strategic partnerships to achieve market growth, align resources and enable patients to get the right care at the right time, in the right place.

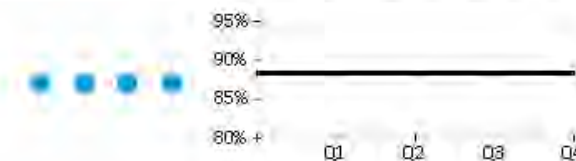
**Tactic 1** Develop infrastructure to support bundling and capitated payment for select populations and insurance plans.

**Metric:** Implement capitated payment model with cohort of Medicaid patients with Presbyterian Health Plan



UNM and PHP have mutually decided to go in a different direction with regards to the capitated program. As a result the implementation has been put on hold.

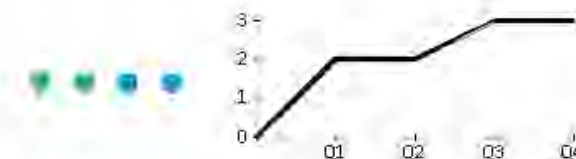
**Metric:** Achieve greater than 80% occupancy in post-acute care



Latest data available is from July 2018, but always exceed the 80%

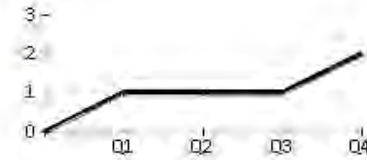
**Tactic 2** Explore joint ventures with outside research institutes.

**Metric:** Explore potential partnerships with one or more independent, non-UNM research institutes



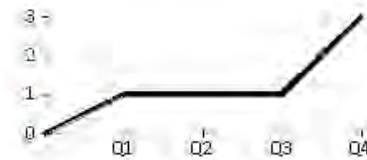
Goal 2	BUILD THE HEALTH CARE WORKFORCE OF NEW MEXICO BY PROVIDING A PREMIER EDUCATION.	Q1	Q2	Q3	Q4	Trend Chart	Notes										
Strategy 2.1	PRODUCTION & RETENTION: Measurably increase the production and retention of health care professionals in New Mexico and geographic areas.																
Tactic 1	Build mechanisms to measure and evaluate workforce needs in New Mexico.																
	Metric: Produce report updating workforce shortages and behavioral health recommendations on recruitment impact by October 1, 2018 for the state.					<table><tr><th>Quarter</th><th>Value</th></tr><tr><td>Q1</td><td>3</td></tr><tr><td>Q2</td><td>3</td></tr><tr><td>Q3</td><td>3</td></tr><tr><td>Q4</td><td>3</td></tr></table>	Quarter	Value	Q1	3	Q2	3	Q3	3	Q4	3	Q1: Report delivered to NM Legislature on 10/1/2018
Quarter	Value																
Q1	3																
Q2	3																
Q3	3																
Q4	3																
Tactic 2	Build Interprofessional Education.																
	Metric: Fully implement a system for quantifying student IPE activities to meet accreditation standards.					<table><tr><th>Quarter</th><th>Value</th></tr><tr><td>Q1</td><td>0</td></tr><tr><td>Q2</td><td>2</td></tr><tr><td>Q3</td><td>2</td></tr><tr><td>Q4</td><td>2</td></tr></table>	Quarter	Value	Q1	0	Q2	2	Q3	2	Q4	2	
Quarter	Value																
Q1	0																
Q2	2																
Q3	2																
Q4	2																
	Metric: Disseminate faculty development modules for incorporating IPE into existing educational programs.					<table><tr><th>Quarter</th><th>Value</th></tr><tr><td>Q1</td><td>1</td></tr><tr><td>Q2</td><td>1</td></tr><tr><td>Q3</td><td>1</td></tr><tr><td>Q4</td><td>2</td></tr></table>	Quarter	Value	Q1	1	Q2	1	Q3	1	Q4	2	
Quarter	Value																
Q1	1																
Q2	1																
Q3	1																
Q4	2																
Tactic 3	Develop and implement sustainable pipeline programs to "grow our own" in New Mexico.																
	Metric: Ensure sustained state funding for GME positions.					<table><tr><th>Quarter</th><th>Value</th></tr><tr><td>Q1</td><td>2</td></tr><tr><td>Q2</td><td>2</td></tr><tr><td>Q3</td><td>2</td></tr><tr><td>Q4</td><td>2</td></tr></table>	Quarter	Value	Q1	2	Q2	2	Q3	2	Q4	2	Year to year from Legislature
Quarter	Value																
Q1	2																
Q2	2																
Q3	2																
Q4	2																

**Metric:** Review and enhance curriculum within colleges and schools in HSC.



Ongoing: The CON has reviewed and revised curricula for two MSN programs, which will be offered in a new delivery method in January 2020 (MSN-Education) and Spring 2019 (MSN-Administration). The COP has successfully implemented year 3 of the new PharmD curriculum and improved years 1 and 2, along with multiple other improvements for increased rigor and student success. The early assurance program for PharmD admissions was successfully launched, and the R&D track of the BS Pharm Scis program was submitted for university approval.

**Metric:** Increase applications for K awards.



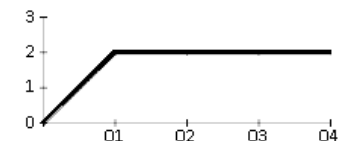
Applications increased from 10 submissions (\$4.1M total) in FY2018 to 12 submissions (\$8.8M total) in FY2019.

**Strategy 2.2**

**TRANSFORM EDUCATION:** Transform health profession education to meet the needs of our diverse learners, our professions and our state.

**Tactic 1** Prepare students with skills and knowledge to address the health needs of the state.

**Metric** Complete UNM Rio Rancho Campus program plans and building #2 design.

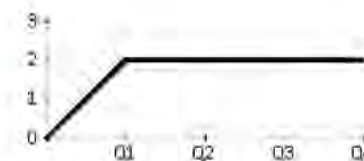


College of Nursing space will be completed. Behavioral Health Clinic opened on July 1. SRMC finalizing subcontract with UNMMG (contingent on final contract with Sandoval County), to fund with Mil Levy funding. First Medical Assistant cohort planned to graduate this summer. Summer Student pipeline offered in June in conjunction with Rio Rancho Public Schools, Bernalillo Public Schools led by the Office of Diversity, Equity & Inclusion. Very successful four weeks and graduation ceremony held with very positive feedback from students, parents and community. Campus planning continues with bi-weekly meetings including the City of Rio Rancho, UNM HSC Facility planner, UNM Real Estate, HSC Legal. Five main initiatives being focused on: (1) Building 2 - The RFP for Building 2 (Ortho Center of Excellence), had 6 respondents. The selection committee has chosen the top two Architectural firms and have invited them to provide presentations on July 31st. (2) Broadmoor Extension - Engineers have been selected and are beginning work (3) Senior Center - A Ground lease draft has been sent to the City for feedback and review (4) Campus Park - 100% drawings are completed - Goal to have park in place for next year's July 4th Park & Brew festivities (5) Water Drainage - "Linear Park" - Open channel versus pipe - discussions with City of RR, Developers, State Land Office (SLO) and SCAFEA continue. Draft language being developed by Real Estate for dedicated easement on SLO parcel.

**Metric** Successfully prepare and achieve LCME reaccreditation.

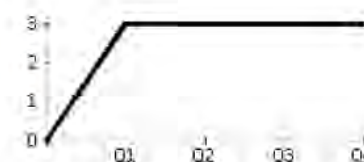


**Metric** Create and/or plan for on-demand learning within each college or school.



Ongoing; SOM programs are increasing enrollment in online coursework as well as planning for increased on-demand learning offerings. The COP is planning to transform the live Physical Assessment for the Pharmacist elective to a hybrid (online/live) model. The CON MSN-Administration and MSN-Education programs will be delivered online.

**Metric** Secure approval and implement a course in global health by April 2019.



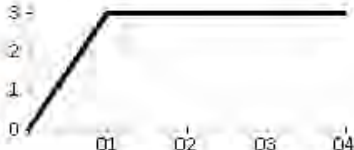



Ongoing

**Tactic 2** Coordinate and leverage clinical site planning among hospitals and colleges.

**Metric** Develop plan for growing and coordinating clinical site placement among HSC schools and colleges.



Numerous leaders within the UNM Health System have been engaged to secure clinical placements for UNM CON students as our programs expand. Q4 2019 relative to Q4 2018 clinical placements for undergraduate CON students decreased at UNMH by 17 and increased at SRMC Rio Rancho by 13. The latter is largely attributed to UNM Health Sciences Rio Rancho BSN program. The slight decrease with UNMH is due to the availability of opportunities for the placement of undergraduate students in other health care settings. Q4 2019 relative to Q4 2018 clinical placements for advance practice registered nurse CON students increased by 6 at UNMH. This increase is attributed to the Pediatric Nurse Practitioner placements that occur biennially.

Goal 3	FOSTER INNOVATION AND TRANSLATE OUR RESEARCH INTO CLINICAL OR EDUCATIONAL PRACTICE.				Q1	Q2	Q3	Q4	Trend Chart	Notes
Strategy 3.1	NATIONALLY RECOGNIZED PROGRAMS: Develop and foster our nationally recognized areas of excellence that address national and state health priorities.									
	Tactic 1 Continue our growth in the sciences basic to health.									
	Metric	Produce and disseminate inventory of research resources for Chairs and departments to utilize in attracting and retaining high quality research faculty who are or will be extramurally funded.	<div><div></div><div></div><div></div><div></div></div>		Completed					
	Tactic 2 Support information and sustainment of interdisciplinary programs that foster integrative and collaborative scholarship.									
	Metric	Grow extramural funding by the same percentage increase as NIH.	<div><div></div><div></div><div></div><div></div></div>		FY2019 total \$190.2M, a 2.6% increase over FY2018, but this was less than the 4.8% increase received by NIH.					
Metric	Implement Population Health modules in EHR and ensure access for research.	<div><div></div><div></div><div></div><div></div></div>								
Metric	Successfully submit renewal application for sustained funding of the Clinical and Translational Science Center.	<div><div></div><div></div><div></div><div></div></div>		Submitted 01/2019						

**Strategy 3.2**

**ECONOMIC ACTIVITY:** Enhance economic activity by expanding biotechnology transfer or other ways to create new jobs.

**Tactic 1**

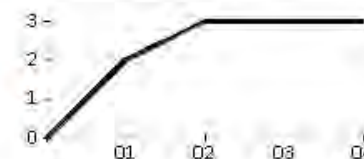
Develop and implement process to reliably encourage and facilitate public-private partnerships. (also see Metric 1.1.1.c)

**Metric:** Lead in building the New Mexico Bioscience Authority.



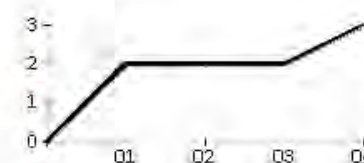
Ongoing; state funding of \$375,000 for operations as NM's Center for Excellence in Bioscience secured in 2019 Legislative Session

**Metric:** Start two new biotechnology companies in New Mexico with HSC technology licenses.



Ongoing; 12 companies in FY2019

**Metric:** Design and implement BS in Pharmaceutical Sciences degree to enhance workforce.



All forms have been submitted to the University for approval.

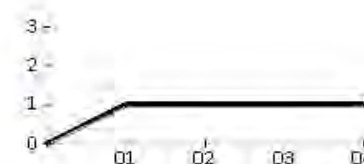
**Strategy 3.3**

Incorporate state-of-the-art technologies into all missions.

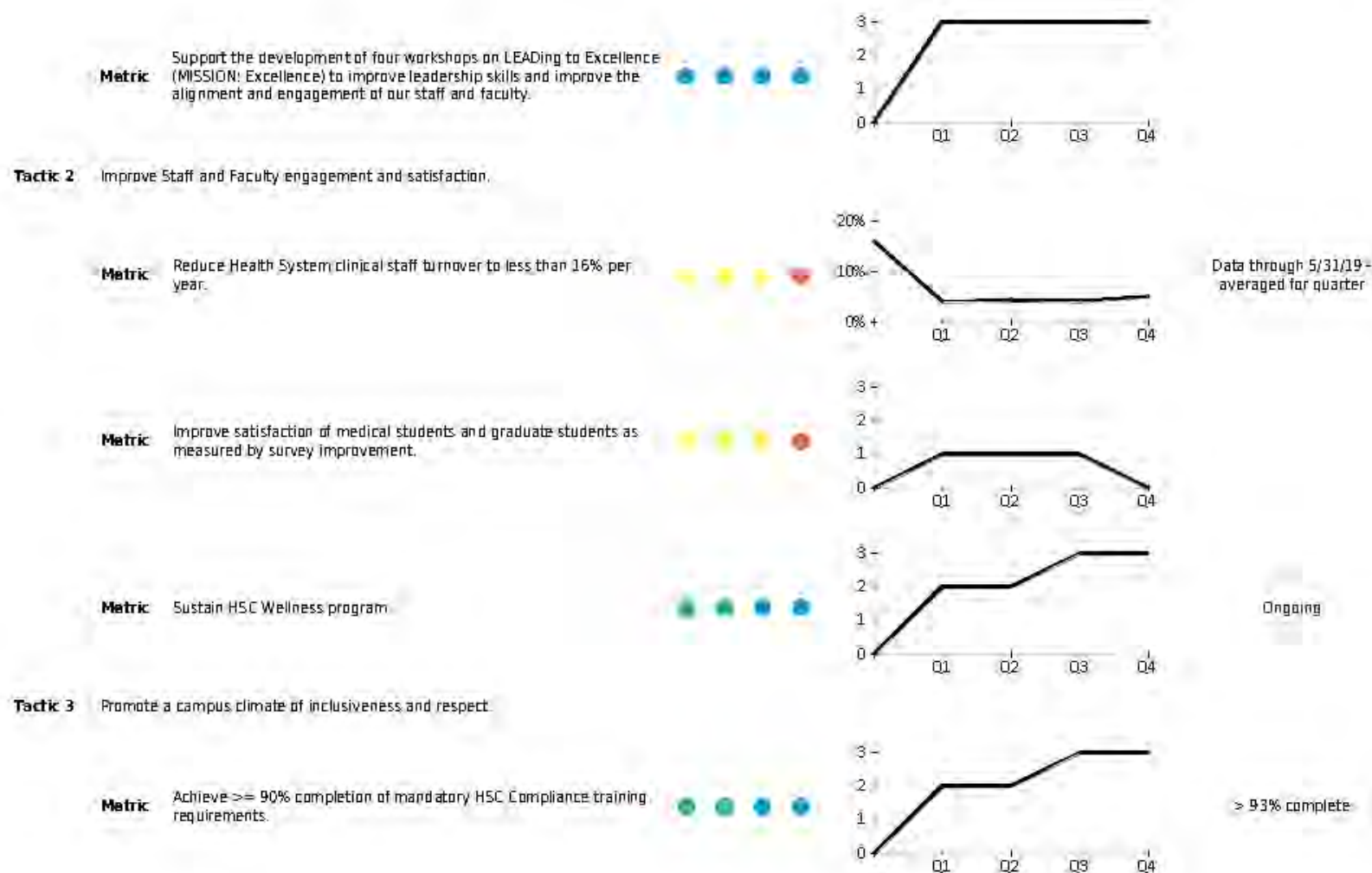
**Tactic 1**

Implement interprofessional performance based assessments using state-of-the-art technologies.

**Metric:** Develop and implement an innovative educational evaluation process to demonstrate the value of interprofessional health sciences education affecting patient outcomes.

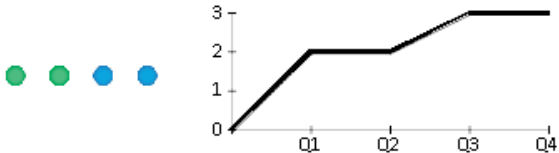


Goal 4	ENABLE OUR PEOPLE AND PROGRAMS TO DO THEIR BEST.					Trend Chart	Notes
Strategy 4.1	IT ORGANIZATION: Create an integrated IT organization that is nimble, efficient and effective.						
Tactic 1	Optimize IT to enhance ease of practice.						
Metric	Perform IT survey of clinicians to understand and identify ease of use IT issues.						
Tactic 2	Enhance use of online education delivery platform.						
Metric	Each unit will leverage IT expertise to increase educational effectiveness and efficiency.						Ongoing; CON and SOM programs are increasing enrollment in online coursework; CON, COP and SOM are also planning for increased on-demand learning offerings.
Tactic 3	Implement IT systems to enable population health analysis, value-based contracting, and cost-based analysis, and increase access by researchers.						
Metric	Increase implementation and utilization of clinical data mining tools.						Two new databases implemented.
Strategy 4.2	EXCELLENCE: Promote a culture of excellence among faculty, staff, provider and students.						
Tactic 1	Enhance staff alignment to strategic initiatives.						
Metric	Train three additional cohorts on Lean methodology to drive quality improvements to address issues identified in the staff satisfaction survey.						HSC Campus identified a tool, Smartsheet, for which we have trained large cohorts and are purchasing an enterprise license to enable even wider use across all HSC units.



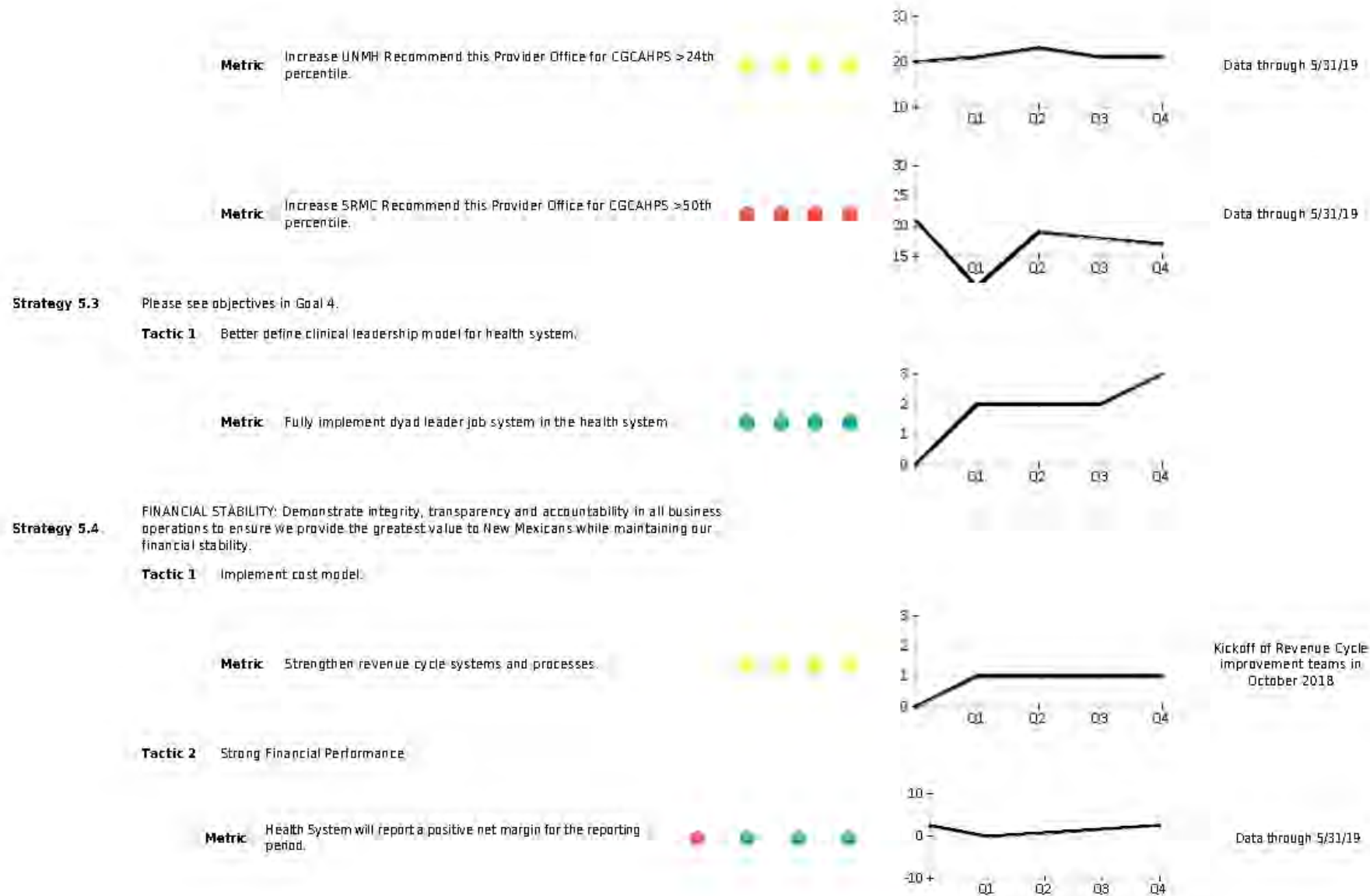
**Strategy 4.3** OPERATION 5: Improve efficiency and utilization of support units.  
**Tactic 1** Improve efficiency and utilization of support units.

**Metric** Establish LEAN process for HR hiring, onboarding and recruiting in collaboration with UNM HR.

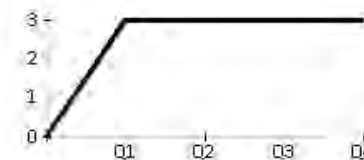


Good progress

Goal 5	DELIVER A WELL-INTEGRATED ACADEMIC HEALTH CENTER THAT PROVIDES THE SAFEST AND HIGHEST QUALITY CLINICAL CARE.	Q1	Q2	Q3	Q4	Trend Chart	Notes
<b>Strategy 5.1</b>	<b>QUALITY AND SAFETY:</b> Provide the safest and highest quality care through continuous improvement of patient outcomes and the use of evidence-based approaches to deliver high value care. <b>Tactic 1</b> Improve Patient Harm-Related Outcomes.						
	<b>Metric:</b> Combined UNMH and SRMC mortality index will be below 0.93.						Data through 4/30/19
	<b>Metric:</b> Reduce Severe Patient Harm Events and hospital acquired conditions to 70.						Data through 4/30/19 - averaged for quarter
<b>Strategy 5.2</b>	<b>SERVICE:</b> Delivering an exceptional patient experience. <b>Tactic 1</b> Improve Inpatient and Outpatient Satisfaction.						
	<b>Metric:</b> Increase UNMH Rate the Hospital Stay for HCAHPS to ≥34th percentile.						Data through 5/31/19
	<b>Metric:</b> Increase SRMC Rate the Hospital Stay for HCAHPS to ≥75th percentile.						Data through 5/31/19



**Metric:** Align compensation with clinical productivity



80/20 standard

### Strategy 5.5

STRATEGIC GROWTH: Explore opportunities to expand care delivery to meet the growing demand for services and the future health care needs of New Mexicans.

**Tactic 1** Increase Ambulatory access and throughput

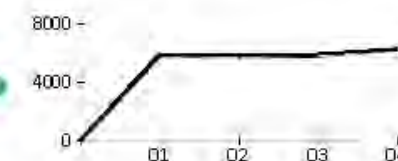
**Metric:** Increase Health System Clinic Visits by 2% over prior year.



Data through 5/31/19 - averaged for quarter

**Tactic 2** Increase Health System surgical volume

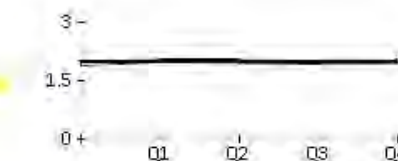
**Metric:** Increase surgical cases by 2% over prior year.



Data through 5/31/19 - averaged for quarter

**Tactic 3** Increase case mix index.

**Metric:** Increase case mix index at UNMH to 1.98.

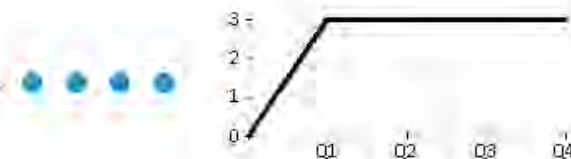
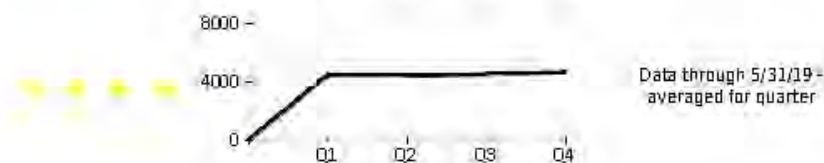


Data through 4/30/19

**Metric:** Increase case mix index at SRMC to 1.75.








Data through 5/31/19

**Tactic 4** Increase capacity.**Metric:** Complete planning for adult replacement hospital by December 2018.**Metric:** Increase adult discharges to 18,516.**Tactic 5** Enhance integration of COP, CPH, and CON into the clinical enterprise.**Metric:** Increase by 25% the number of shared faculty positions between COP, CPH, and CON and the UNM Health System with executed MOUs of financial support of clinical services.

Goal 6	CREATE INCLUSIVE AND EQUITABLE ACADEMIC COMMUNITY THROUGH ROBUST COMMUNICATION.	Q1 Q2 Q3 Q4	Trend Chart	Notes
Strategy 6.1	COMMUNICATION: Promote our academic depth and capabilities, while maintaining depth and diversity of faculty, staff and students.	Tactic 1	Develop a communication plan to increase awareness and support for HSC legislative priorities.	Metric: Achieve state funding for HSC state legislative priorities
				We received partial funding for these priorities.
				Metric: Achieve Mil Levy support for SRMC.
Strategy 6.2	DIVERSITY: Enhance diversity and inclusion in our faculty, staff and students.	Tactic 2	Implement a complementary fundraising plan for each unit that supports the HSC mission.	
				SRMC mill levy passed in Sandoval County
				Metric: Raise \$30 million through the UNM Foundation for UNM HSC
Strategy 6.2	DIVERSITY: Enhance diversity and inclusion in our faculty, staff and students.	Tactic 1	Enhance our environment of diversity and inclusivity.	Ongoing
				Metric: Increase implementation of a best practice tool for all HSC schools and colleges when recruiting students.
				Initial evaluation recruitment toolkits has been completed. Updates and revisions, per stakeholder input, will be evaluated and progress shared with HSC leadership on an ongoing basis.

**Legend:**

-  : No information
-  : Little or no progress
-  : Some progress
-  : Good progress
-  : Met measure and / or complete

# **Regent Advisors' Comments/Reports**

*Reports may be included in the eBook*

*List of 2019-2020 Regent Advisors:*

***Adam Biederwolf, President, ASUNM***

***Finnie Coleman, President, Faculty Senate***

***Ryan Gregg, President, Staff Council***

***Muhammad Hussain, President, GPSA***

***Beverly (Bev) Kloeppel, President, Retiree Association***

***Daniel Parea, President, Parent Association***

***Alexis Tappan, President, UNM Alumni Association Board of Directors***

***Randy Velarde, Chair, UNM Foundation Board of Trustees***