



BOARD OF REGENTS

AGENDA BOOK

**May 11, 2021
9:00 AM
Virtual Meeting**



Land Acknowledgement Statement

Founded in 1889, The University of New Mexico sits on the traditional homelands of the Pueblo of Sandia. The original peoples of New Mexico – Pueblo, Navajo, and Apache – since time immemorial, have deep connections to the land and have made significant contributions to the broader community statewide. We honor the land itself and those who remain stewards of this land throughout the generations and also acknowledge our committed relationship to Indigenous peoples. We gratefully recognize our history.



The Board of Regents of the University of New Mexico

May 11, 2021; 9:00 AM

Open Session and Executive Session

Virtual Meeting¹: <https://live.unm.edu/board-of-regents>

AGENDA

- I. Call to Order, *Regent President Douglas M. Brown*
- A. Confirmation of a Quorum
 - B. Land Acknowledgement Statement
 - C. Adoption of the Agenda

TAB

- II. Approval of the Minutes of the April 13, 2021 regular meeting..... 1

Public Comment² [Limit 3 minutes per comment and 10 comments per topic; additional written comments sent to regents@unm.edu are welcomed.]

Comments from Regents

- III. President's Administrative Report, *Garnett S. Stokes* 2

- IV. Regents' Committee Reports

Approval of Consent Docket

Action items on Regent's Committee agendas may be moved to the Board of Regents' consent docket; items on the consent docket received unanimous approval in committee; per Regents' Policy 1.2, "Any member of the Board of Regents shall have the right upon request to remove any item from the Board's consent agenda and place the item on the Board's regular agenda for discussion."

- A. [SSTAR]* Amendments to the GPSA Constitution: C-1
 - 1. Amendment to Article VI. Elections; Section 2. Council Chair Election
 - 2. Amendment to Article VIII. Amendments; Section 1. Amendments
- B. [SSTAR] Amendments to the Staff Council Constitution: C-2
 - 1. Amendment to Article III. Membership; Sections 2 & 3
 - 2. Amendment to Article VI. Executive Committee; Sections 1 & 4
- C. [F&F]* Third-Qtr Financial Actions Report and Certification through March 31, 2021 and 3rd-Qtr Informational Consolidated Financial Report through March 31, 2021 C-3
- D. [F&F] Third-Qtr Athletics' Enhanced Fiscal Oversight Program Report and Certification through March 31, 2021 and 3rd-Qtr Information on Athletics' Report by Sport through March 31, 2021 C-4
- E. [F&F] Approval of Projects: C-5
 - 1. UNM Valencia Campus Fire Suppression Upgrades
 - 2. Laguna & De Vargas Roof Replacement
 - 3. University House Exterior Repairs
- F. [F&F] Approval of Lobo Energy, Inc. Board Member Nominations: C-6
 - 1. John D. Word - reappointment
 - 2. Bruno E. Carrara – reappointment
 - 3. Thomas J. Ruiz - reappointment
- G. [HSCC]* Approval of New Hospital Tower Financing Items: C-7
 - 1. HUD Insured Mortgage Parameters Resolution
 - 2. HUD Insured Mortgage Pricing Resolution
 - 3. Pre-Commitment Work Resolution

* [SSTAR] Student Success, Teaching and Research Committee; [F&F] Finance & Facilities Committee; [HSCC] Health Sciences Center Committee

Finance and Facilities Committee [F&F], Regent Begay, Chair

Action Items

- A. Approval of Main, HSC, and Branch Campuses': 1) FY 2020-2021 Budget Adjustment Request (BAR), and 2) FY 2021-2022 Budget.....3
Teresa Constantinidis, SVP for Finance & Administration; James Holloway, Provost and EVP for Academic Affairs; Norma Allen, Dir. OPBA; Ava Lovell, Senior Exec. Officer, HSC Finance & Admin.; Alice Letteney, Branch Chancellor
- B. Approval of:4
1. Capital Outlay request package for FY 2021-2022 projects which must be submitted to the Higher Education Department (HED)
 2. Five-Year Capital Plans, detailing projects which will construct and/or significantly improve and renew numerous facilities on The University of New Mexico Campuses
Teresa Constantinidis, SVP for Finance & Administration; James Holloway, Provost and EVP for Academic Affairs

>*Break: 5-minute break in the virtual meeting*<

Health Sciences Center Committee [HSCC], Regent Schwartz, Chair

Action Items

- A. FY2021 Budget Adjustment Request (BAR) and FY2022 Final Budget Approval5
Ava Lovell, Senior Exec. Officer, HSC Finance & Admin.
[DUPLICATE ITEM – UNM consolidated budget items are presented under F&F Committee]
- B. HSC Capital Project Priorities for the HED Summer Hearing and January 2022 Legislative Session, and HED Five-Year Capital Plan, *Ava Lovell, Senior Exec. Officer, HSC Finance & Admin.*6
[DUPLICATE ITEM – UNM consolidated capital items are presented under F&F Committee]
- C. Capital Project for the UNM Comprehensive Cancer Center Radiation Oncology and Laboratory Expansion (Capital Project and New Mexico Financing Authority Bonds/Cigarette Tax appropriations)7
Ava Lovell, Senior Exec. Officer, HSC Finance & Admin.; Cheryl Willman, Director and CEO, UNM Comprehensive Cancer Center
- D. Appointment of Dr. Gulshan Parasher, MD as the inaugural holder of the Robert G. “Reg” Strickland Distinguished Chair of digestive Health and Science.....8
Martha McGrew, Interim Dean and Exec. Vice Dean, SOM
- E. UNM Health Sciences Center Spring 2021 Graduates, *Amy Levi, Vice Chancellor for HSC Academic Affairs*9
[DUPLICATE ITEM – the comprehensive list of all UNM degree candidates are presented under SSTAR Committee]

Student Success, Teaching and Research Committee [SSTAR], Regent Rael, Chair

Action Items

- A. 2021 Spring Degree Candidates, *Finnie Coleman, Faculty Senate President*10
- B. Posthumous Degree for Glenda Lewis: PhD in Language, Literacy & Sociocultural Studies 11
Robben Brown, Graduate Academic Affairs Specialist
- C. Form D - PhD in Health Equity Sciences, *Kristine Tollestrup, Professor, College of Population Health*12

Information Items

- D. Faculty Senate Resolutions on: 1) Divestment; & 2) Green Initiatives13
Finnie Coleman, Faculty Senate President

Audit and Compliance Committee, Regent Brown, Chair

Information Item

- A. The FY2021 Financial Statements External Audit Entrance Conference took place in Committee [Information Only – No Presentation].....14

- V. Advisors' Comments [*limit 3 mins.*] 15

>*Break: 10-minute break in the virtual meeting*<

- VI. Vote to close the meeting and proceed in Executive Session (Roll Call Vote)

- A. Discussions of bargaining strategy preliminary to collective bargaining and collective bargaining between a policymaking body and an employee bargaining unit, as permitted by Section 10-15-1H(5), NMSA (1978)
- B. Discussions, and where appropriate determination, of matters subject to attorney-client privilege pertaining to threatened or pending litigation, as permitted by Section 10-15-1H(7), NMSA (1978)
- C. Discussion, and where appropriate determination, relative to strategic and long-range business plans or trade secrets of public hospitals, as permitted by Section 10-15-1H(9), NMSA (1978)

- VII. Vote to Open the meeting and certification that only those matters described in Agenda Item VI. were discussed in Closed Session, and if necessary, final action with regard to certain matters will be taken in Open Session.

- VIII. Adjourn

¹Under the current guidelines from the Governor, and in order to slow the spread of COVID-19, the UNM Regents will meet online on the Zoom platform. Additionally, following the NM Attorney General's recent guidelines for Open Meetings Act compliance, public access to view or listen to Regent meetings is provided via a livestream of the virtual meeting. To view the livestream, go to the following link: <https://live.unm.edu/board-of-regents>

²Public comment will be heard during the meeting. Due to the nature of online meetings and the logistics of getting speakers connected, anyone wishing to provide public comment during the meeting *must register their intent to speak before noon on Monday, May 10, 2021*. In order to sign up for public comment, please email regents@unm.edu, [or call 505-277-7639 and leave a message] with the subject, "Request to provide public comment at 5/11 Regents' Meeting", and include the following information:

1. First and last name
2. Email address and telephone number
3. Affiliated organization & Professional Title (if applicable)
5. Topic you would like to address

Instructions on steps to follow in order to provide public comment will be emailed to registered speakers.

*Please consider submitting comments in writing to regents@unm.edu, and your comment will be forwarded via email to the Regents for their attention and consideration.

**Minutes of the Regular Meeting of
The Board of Regents of the University of New Mexico
April 13, 2021; 9:00 AM
Virtual meeting via Zoom; livestreamed for public viewing
*Draft for Approval***

Members Present

Doug Brown, President
Kim Sanchez Rael, Vice President
Sandra Begay, Secretary/Treasurer
Jack Fortner
Randy Ko
William Payne
Robert Schwartz

Administration Present

Garnett S. Stokes, President; James Holloway, Provost and EVP for Academic Affairs; Douglas Ziedonis, EVP for Health Sciences Center and CEO of the UNM Health System; Teresa Costantinidis, SVP for Finance and Administration; Loretta Martinez, General Counsel; Assata Zerai, VP for Diversity, Equity and Inclusion; Eliseo 'Cheo' Torres, VP Student Affairs; Dorothy Anderson, VP HR; Kate Becker, CEO, UNM Hospitals; Richard Larson, Exec. Vice Chancellor, HSC; Ava Lovell, Sr. Executive Officer for Finance & Administration, HSC; Duane Arruti, CIO; Eddie Nunez, Athletic Director; Cinnamon Blair, Chief University Marketing and Communications Officer; Barbara Damron, Chief Government Relations Officer; Liz Metzger, University Controller; Connie Beimer, VP Alumni Relations; Terry Babbitt, President's Chief of Staff; and others

Advisors Present

Finnie Coleman, Faculty Senate President; Nancy Shane, Staff Council President; Nikhileswara 'Nikhil' Reddy Naguru, GPSA President; Muskan 'Mia' Amin, ASUNM President; Chad Cooper, President, Alumni Association Board; Kevin Malloy, Retiree Association President

Presenters

Lisa Todd, Moss Adams; John Kennedy, KPMG; Norma Allen, Director, OPBA; Bonnie White, CFO, UNMH

CALL TO ORDER AND CONFIRMATION OF A QUORUM

Regent President Doug Brown called the meeting to order at 9:00 AM and confirmed a quorum with all 7 members present via Zoom.

LAND ACKNOWLEDGEMENT STATEMENT

Regent Brown read aloud the Land Acknowledgement Statement:

Land Acknowledgement Statement

Founded in 1889, The University of New Mexico sits on the traditional homelands of the Pueblo of Sandia. The original peoples of New Mexico – Pueblo, Navajo, and Apache – since time immemorial, have deep connections to the land and have made significant contributions to the broader community statewide. We honor the land itself and those who remain stewards of this land throughout the generations and also acknowledge our committed relationship to Indigenous peoples. We gratefully recognize our history.

ADOPTION OF THE AGENDA

Regent Brown asked for a motion to adopt the agenda.

- The motion to adopt the agenda passed unanimously (1st Rael; 2nd Schwartz; roll call vote – all 7 members present voted yes).

APPROVAL OF MINUTES OF THE MARCH 8, 2021 REGULAR MEETING

Regent Brown asked for a motion to approve the minutes of the last meeting.

- The motion to approve the minutes of the March 8, 2021 regular meeting passed unanimously (1st Rael; 2nd Brown; roll call vote - all 7 members present voted yes).

PUBLIC COMMENT

Before public comment, Regent Brown announced he would limit comments on a topic to 10 commenters so to manage time.

Stefi Weisburd, Education and Outreach Manager, SOE, talked about climate issues awareness across the campus and spoke in support of recommended climate actions, including fiduciary responsibilities around investments and updating UNM's 2009 Climate Action Plan that outlined the path to net zero emissions to include commitment to more ambitious emissions goals.

Nic Estrada, student and member of UNM LEAF (Leaders for Environmental Action & Foresight), spoke in support of divestment in fossil fuel investments and for updating UNM's Climate Action Plan. He shared an audio from a recent interview with Senator Martin Heinrich and urged UNM take a leadership role in the state to address climate issues.

Kineo Memmer, student and member of UNM LEAF, spoke about carbon emissions and the effects on the state and its population. He urged taking actionable steps to address climate issues, including updating the Climate Action Plan, addressing the investment portfolio and working to make UNM's campus less wasteful.

Tara Shaver, Bernalillo County resident and longtime advocate of pregnant women facing unplanned pregnancies, spoke against abortion and said that the services offered at UNM's Center for Reproductive Health provides options only for women who do not want to have their children, it doesn't help women who want to get pregnant. She urged the Regents to take action and close down the facility.

Samantha Cooney, Graduate Assistant, Department of Political Science, talked about her work as a teaching assistant, the contribution to UNM in the number of students she teaches, involving long hours contrasted with low pay. She spoke in support of United Graduate Workers of UNM union bargaining efforts to improve the lives of graduate workers.

Matthew Mingus, Assoc. Professor of History, UNM-Gallup, spoke in support of UA-UNM contract negotiations and talked about his immense disappointment with the administrative bargaining team and their recent counter offer regarding layoff notices, specifically with only 30-days' notice. This could wreak havoc on student learning and on the retention of faculty. He urged the Regents to advocate for providing faculty with at least one semester's notice before being laid off.

Axel Gonzalez, PhD candidate and Graduate Instructor in the Department of American Studies, spoke in support of graduate workers' right to unionize. Dr. Gonzalez talked about graduate instructors' contributions to the University, their importance to the undergraduate experience, the common workload and the majority of graduates supporting a union.

Jeremy Baker, Part-time Faculty, Sociology, UNM-Los Alamos and Valencia, spoke in support of UA-UNM and the contributions of highly-skilled adjunct faculty, including their work outside of the classroom developing instructional content and supporting students. He urged the University to find solutions in the collective bargaining process and increase adjunct faculty pay.

Jens Van Gyse, Project Assistant in the Linguistics Department, spoke in favor of UNM Graduate Workers United and talked the consequences of anti-union efforts for international graduate workers, the need for better wages and healthcare coverage, and for functional protections against retaliation and discrimination.

Natalia Toscano, PhD student and Graduate Instructor in the Department of Chicano/Chicana Studies, spoke in support of collective bargaining rights and United Graduate Workers union efforts; graduate students demand a union because they want livable wages so they don't have to work 2-3 jobs, and they need adequate health care, and to address their needs as employees.

Jerry Godbout, Assistant Professor of Chemistry, UNM-Valencia, spoke in support of faculty union negotiations and against administration's proposal to lay off personnel with only a 30-day notice. Dr. Godbout talked about the long-term investment and commitment both parties engage in when faculty are hired, along with ongoing demands and stress placed on junior faculty; the tradeoff has historically been security of employment, but this policy would change all of that and will be a huge problem for faculty recruitment.

Ana June, Assistant Professor of English, UNM-Valencia, spoke in support of the UA-UNM negotiations, including support for adjunct faculty and the graduate workers' unionization efforts. Dr. June discussed the importance of using reason to promote social progress and asked for continued collaboration and good faith bargaining to negotiate a contract and a strong union governed by human-first policies.

Kristian Simcox, Assistant Professor, UNM-Gallup, spoke in support of faculty in the UA-UNM negotiations and for the graduate workers union; that the aims of student success and faculty retention would be well-served by a collective bargaining agreement.

Juliette Cunico, Adjunct Professor of English, Adjunct Faculty Rep., UNM-Valencia, spoke in support of adjunct faculty in the UA-UNM negotiations and in support of graduate workers union efforts, adding they are some of the most committed and well-trained university instructors; advocating for a fair and equitable contract for all concerned.

Aaron Moore, Graduate Asst., Community and Regional Planning, Co-Chair, spoke in support of graduate students in the United Graduate Workers union efforts. He mentioned administrations' silence on the matter of the graduate union worker and talked about a research report that would be coming out addressing graduate worker trends and challenges related to maintaining financial security.

COMMENTS FROM REGENTS

Regent Jack Fortner asked Administration to consider holding graduation ceremonies during Homecoming week for the 2020 graduates who could not have in-person graduation events due to the COVID pandemic. Additionally, Regent Fortner noted that since there were no Regents' meetings scheduled in the summer, he recommended holding a meeting at one of the branch campuses every summer. Thirdly, regarding the public comments referring to a proposed 30-day notice of layoffs, Regent Fortner requested Administration follow up with providing him an explanation of the proposed change along with the rationale, as well as Administration's thinking on how that would affect the University in the long term.

Regent Rob Schwartz affirmed his support for the recommendation to hold traveling Regents' meetings at branch campuses. Additionally, he stated that his support for the Center for Reproductive Health remains strong.

Regent Sandra Begay commented that a Regent may choose to comment his or her personal views during the meeting but that it is not a collective view. She stated that Regents have dialogue

during the public meetings, but that she would not share her personal beliefs or feelings about the abortion issue in the public meeting venue, iterating that UNM is a public institution and her personal views on the matter are private. On the sustainability issue, Regent Begay stated, "I have heard you!" She explained that she has asked Administration to think about how UNM would address sustainability issues, adding the institution should be allowed to think of all types of scenarios having to do with sustainability. She noted that her career is built on renewable energy in support of people of color having to do with sustainable efforts and so she is aware there are multiple ways to address sustainability.

Regent Kim Rael thanked those who participated in public comment and said she learns a lot from the comments. Regent Rael encouraged the community to continue to bring comments, and if the comments have to be limited during the meeting in order to manage time efficiently, she encouraged constituents to also submit written comments, which will be carefully read and considered.

Regent William Payne commented about the recent Senate Bill 94, signed by the Governor allowing for name, image and likeness compensation for university athletes. The Uniform Law Commission is currently looking at coming up with a national standard, but New Mexico is one of seven states that have this type of legislation which could be counter to the NCAA requirements of UNM's Athletic Director. Regent Payne asked Administration to look into how this could impact the University and its Athletic Director who is required to strictly follow NCAA and or Mountain West rules. In addition, Regent Payne stated his appreciation for all of the public comment. He clarified that public comment is helpful and he believes his role as a Regent is to listen but to also understand what Administration is doing with regard to the issues - to know what the administration's concerns are, versus the concerns heard during the public comment period.

Student Regent Randy Ko formally introduced himself and stated that students and other UNM constituents are welcome to send comments to him via his student regent email account. Regent Ko thanked everyone who had the courage to speak in public comments and added that the comments are appreciated.

Regent Brown congratulated Project ECHO for being a finalist in the MacArthur Foundation's *100&Change* competition. It made the top-6 finalists out of 400 non-profits, but did not win; however, this is an exceptional recognition of the value of the program. Regent Brown congratulated Dr. Sanjeev Arora and the whole team at ECHO.

PRESIDENT'S ADMINISTRATIVE REPORT

President Garnett Stokes opened her report with a focus on UNM successes, first noting student recipients of the 2021 Truman Scholarship and the Goldwater Foundation Award, as well as several recent distinguished faculty awards. Additionally, UNM's Film and Digital Arts department has seen consistent growth over the last five years, noting that for the third year in a row, MovieMaker Magazine ranked Albuquerque as the best city to live and work as a filmmaker. As the University serves the film industry's growth in New Mexico, there has been an 80% increase in the department's majors and pre-majors.

President Stokes highlighted the recent appointment of U.S. Congresswoman and UNM alumna, Deb Haaland, to serve as the nation's Secretary of the Interior. Secretary Haaland is a member of Laguna Pueblo and the first Native American Cabinet secretary in U.S. history. Dr. Stokes talked about the upcoming 2021 spring commencement ceremony to be held at UNM's football stadium - the event will be an in-person ceremony but with limited attendance to adhere to health restrictions due to the COVID pandemic. The event will also be live streamed. President Stokes announced the retirement of Professor Eliseo 'Cheo' Torres who has served as UNM's Vice President for Student Affairs for 25 years. Dr. Torres will continue teaching his popular course on *Curanderismo*, the ancient art of Mexican healing.

The President formally welcomed three new regents, Jack Fortner, Bill Payne and Randy Ko. Regents Fortner and Payne are appointed by the Governor for six-year terms, and Student Regent Ko is appointed to a two-year term as specified in the state's constitution.

President Stokes discussed the highlights of the 55th Legislature. Regarding the Instruction and General (I&G) state appropriations, central campus funding increased by 3.2% (\$5.9 million), Health Sciences increased 4.1% (\$2.4 million), and there was no change for Branch Campus I&G funding. In the area of compensation, there will be a 1.5% cost of living adjustment for employees. The Lottery Scholarship received \$15.5 million in new funding, allowing the scholarship to cover 90% of students' tuition. Increases to Research and Public Service Projects (RPSPs) included: \$500K for Athletics; \$250K for OMI (Office of Medical Investigator); and \$250K for UNM's Comprehensive Cancer Center (CCC).

In the Junior Appropriations Bill, UNM gained a total of \$1.9 million for 16 programs, including funds for UNM's Project for NM Graduates of Color (PNMGC), Native American Student Services, and African American Student Services, to name a few of the programs that will benefit from this funding.

Regarding the 2021 Capital Outlay, the severance tax bond projects were a critical part of UNM's legislative priorities, many of them intended to improve facility learning and research environments: College of Fine Arts Facilities Renewal (\$2.2 million); Fire Safety Improvements (\$2 million); UNM Comprehensive Cancer Center radiation Vault (\$3 million); UNM Gallup Facility Repair and Renewal (\$800K); UNM Los Alamos Infrastructure Improvements (\$800K); UNM Taos Infrastructure Improvements (\$1.875 million); UNM Valencia Learning Commons Renewal (\$300K); Health Care Simulations Labs (\$1.9 million); as well as an additional \$5.4 million of capital outlay for 19 other projects across campuses.

Senate Bill 223, Cigarette Tax Distribution, authorizes the New Mexico Finance Authority to issue an additional \$22 million in cigarette tax revenue bonds for the purpose of completing the radiation vault project at the UNM Comprehensive Cancer Center.

In summary, the increase in state funding for the University included:

- \$8.3 million in I&G
- \$15.5 million for the Lottery Scholarship
- \$1.9 million for 16 programs from Junior Appropriations
- \$40.2 million for 28 Capital Outlay Projects
- \$12.8 million from statewide Severance Tax Bonds
- \$5.4 million from individual Severance Tax Bonds
- \$22 million from Cigarette Tax Revenue Bonds

Governor Lujan Grisham vetoed \$3,043,100 of UNM's Capital Outlay, and UNM did not receive any vetoes in HB2 or in the Junior Appropriations. Overall, the Governor used her veto pen pretty sparingly. Other universities also received some cuts. The Governor did veto the \$2.2 million severance tax bond for the planning, design and construction and improvement of UNM's arts facilities. This is a high priority for UNM and so there's a need to step back and really think about the ways in which the University will be able to successfully receive state support for this very important area of UNM and the state. The Governor vetoed funding that was expected for the Olympic sports training facility and funding that was requested through general funds for a press box for baseball. There's uncertainty as to why these things were vetoed, and the University be looking more carefully at these issues.

President Stokes thanked UNM's government relations team for their hard work during the legislative session.

Next, the President addressed the subject of enrollment with a focus on transfer students. Forty percent (40%) of UNM's undergraduate population are transfer students and nearly half of all graduates are considered transfers with even more having some type of transfer work. UNM averages about 2,400 new transfer students each year and approximately 1,400 of those are from 2-year institutions within NM, the largest number by far coming from CNM (Central New Mexico Community College). Dr. Stokes talked in more detail about CNM transfer students. CNM has had a 23% decline in enrollment since peak headcounts around 2010 and this decline has impacted UNM downstream. UNM and CNM are collaborating on a very important initiative to improve the transfers from CNM to UNM. Provost Holloway and CNM's chief academic officer have formed working groups that are charged with achieving particular goals, so President Stokes said she would continue to talk about progress on that project in the future. President Stokes showed a graph depicting decline from 2016 to 2020 in number of CNM transfer students to UNM, emphasizing that the decrease in the five-year period is exactly equivalent to the proportional enrollment decrease that CNM has experienced. The President clarified that a central point of the enrollment matter involves the need to tackle the issue of fewer students and the very real competitive market pressures along with the public perceptions about the value of post-secondary degrees, as even 2-year institutions nationally are seeing drops in enrollment.

President Stokes discussed the transfer student credit-hours that those students bring, the largest number by far are from those students that come to UNM with 60-80 credits. She touched on transfer numbers by major and mentioned there is need to also learn more about students who already have an associate's degree or certificate prior to arrival at UNM. One important issue is that CNM or any 2-year institution are considered to be cornerstones for access and equity, and this is another reason why UNM wants to strategically partner with CNM and other 2-year schools.

President Stokes stated that high-need, Pell-eligible students are most greatly affected, and she emphasized that UNM is going to have to figure out how to better serve those students so they are able to achieve degree completion at the same rate as others. And addressing questions of ethnicity of CNM transfers students, President Stokes said there is a lot of good planning underway, and she wrapped up by adding that UNM is doing a great deal of work to improve pathways to enhance engagement, increase student support, and close any gaps so to ensure that UNM is accessible for all students.

Next, President Stokes turned her focus to "improving our communities" and stated this is a part of the set of her goals. She let everyone know that we stand with our students, faculty and staff, and on April 9 there was a virtual vigil to honor and mourn in solidarity with the Asian American and Pacific Islander Communities and denounce the disturbing rise in xenophobia, racism, and violence against fellow Americans. President Stokes talked about Protect the Pack surveys that will be going out; the UNM Division for Equity and Inclusion invites all Lobos to learn about the Racial and Intersectional Microaggressions Survey, and The American College Health Foundation chose UNM to participate in its Emotional Well-Being survey. The information gathered will be used to hold workshops in the fall. President Stokes talked about how during the pandemic, the University's support services at every level experienced tremendous increases in demand for services. For example, Women's Resource Center had a 60% increase in counseling hours; demand for AGORA program services increased by more than 15%; the Office of Advising Strategies provided more than 15,000 virtual advising sessions, a 29% increase from fall 2019; and the Collegiate Recovery Center saw a 353% increase in the utilization of its life-changing services, just to name a few. The President stated how proud she is of all of the organizations that provide a vast array of services that are truly important and valued by members of the community.

The President continued her focus on community. Several activities and events were organized around Women's History Month in March and for Sexual Assault Awareness Month in April. President Stokes also talked about Project ECHO's partnership with a consortium of global health organizations to ensure that all countries receive support in their COVID-19 vaccine rollout!

Additionally, UNM's Clinical Law Program recently played an instrumental role in assisting the Laguna and Jemez Pueblos in their fight to protect clean water in New Mexico. Under the guidance of UNM School of Law professors, a group of law students and faculty drafted the complaint intended to persuade the federal courts to vacate the Navigable Waters Protection Rule.

President Stokes announced that UNM has been designated a Military Friendly Gold University by VIQTORY! This positions UNM as a desired destination for military-affiliated students. The UNM Veteran & Military Resource Center (VMRC) served more than 1,200 students last year.

Next, President Stokes focused on one of her goals related to the budget, Goal #1, Drive aligned, fiscally strong strategic plan for UNM. She briefly discussed the sub goals and the primary individuals responsible each, along with 6-month and 12-18 month expected outcomes for each. The President said she would be giving progress updates on goals at future meetings.

President Stokes completed her report and opened the floor for questions.

Regent Rob Schwartz inquired if there was a sense of what the American Rescue Plan is going to do for UNM and what it would offer over the course of the next year or next few years. Dr. Stokes responded that the monies received to date have been enormously helpful and UNM is grateful for the support of federal congressional leaders, but she also recognized that the funds have not come close to filling the gaps of losses experienced by UNM and other entities as a result of the pandemic. She said she looked forward to sharing more information as it becomes available.

Regent Kim Rael thanked President Stokes for her report and expressed a special thanks to her leadership team for the thoughtful approach to commencement this year. It has been heartbreaking for students to not have access to the traditional commencement and other ceremonial markings of their milestones, and she commended President Stokes for the very thoughtful and safe planning.

Regent Sandra Begay commented with regard to the last slide in President Stokes' report regarding goals and objectives, that it would be worthwhile to have an interactive dialogue about how the Regents can be helpful in supporting the President's goals that were laid out by the Regents.

ADOPTION OF REGENTS' MEETING SCHEDULE FOR ACADEMIC YEAR 2021-2022

Regent Brown asked Loretta Martinez to introduce the item. Annually, the Regents adopt their meeting schedule, both for the regular business meetings and the committee meetings. The meeting dates were proposed at the previous meeting to allow the Regents to have time to consider the schedule before voting on it.

Regent Jack Fortner said that since there were no scheduled meetings in June or July he recommended considering utilizing those months to meet at the branch campuses, alternating campuses on a yearly basis.

- The motion to approve the Regents' meeting schedule for academic year 2021-2022 passed with a unanimous vote in favor (1st Schwartz; 2nd Rael; roll call vote - all 7 members present voted yes).

APPROVAL OF REGENT NOMINATIONS TO UNIVERSITY RESEARCH PARK AND ECONOMIC DEVELOPMENT ACT (URPEDA) BOARDS OF DIRECTORS

Regent Brown presented the item and asked for a motion to approve the nominations to the URPEDA boards of directors.

1. Regent Jack Fortner – Lobo Energy, Inc. Board of Directors
 2. Regent William H. Payne -- Lobo Development Corporation Board of Directors
 3. Regent Kim Sanchez Rael -- Rainforest Innovations Board of Directors
- The motion to approve the regent nominations to the URPEDA boards passed with a unanimous vote in favor (1st Schwartz; 2nd Rael; roll call vote - all 7 members present voted yes).

APPROVAL OF KEY MANAGERIAL PERSONNEL (KMP) RESOLUTION

It was explained that this item usually comes through the SSTAR Committee as a consent item, and the only change to this document is the revision of the list of Regents' names, taking off the three Regents whose terms recently expired and adding the new Regents' names to the list. There was no discussion.

- The motion to approve the revised KMP resolution passed with a unanimous vote in favor (1st Rael; 2nd Begay; roll call vote - all 7 members present voted yes).

REGENTS' COMMITTEE REPORTS

APPROVAL OF CONSENT DOCKET

Regent Brown addressed the consent docket, and there being no requests to remove any items for discussion, asked for a motion to approve the items on the docket.

1. [SSTAR]* Form C – AAS Computational Mathematics (UNM Valencia) (New)
2. [SSTAR] Form C – UG Minor: Human Services (Main/COEHS) (New)
3. [SSTAR] Form C – CERT Community Safety & Human Security (Main/NSSP) (New)
4. [SSTAR] Form C – CERT Process Technology (UNM Gallup) (New)
5. [F&F]* Approval of Disposition of Surplus Property for Jan./Feb.2021
6. [F&F] Approval of Bylaw Amendments for the UNM Alumni Association
7. [F&F] Approval of Projects:
 - a) UNM Taos Klauer Campus Water Tank
 - b) Re-Approval of the Reserve Officer Training Corps (ROTC) Renovation
8. [F&F] Approval of Project Development Proposal and Agreement Between the Regents of the University of New Mexico and Lobo Development Corporation (LDC) for the Real Estate Development and Management of Innovate ABQ Project Real Estate

* [SSTAR] Student Success, Teaching and Research Committee; [A&C] Audit & Compliance Committee; [F&F] Finance & Facilities Committee; [HSCC] Health Sciences Center Committee

- The motion to approve the items on the consent docket passed with a unanimous vote in favor (1st Begay; 2nd Fortner; roll call vote - all 7 members present voted yes).

AUDIT AND COMPLIANCE COMMITTEE

Approval of the FY20 UNM Consolidated Financial Statements External Audit Report

Regent Brown introduced the item and asked the auditors, Lisa Todd of Moss Adams, and John Kennedy of KPMG to present the report. Lisa Todd introduced State Auditor, Brian Colon, also attending the meeting. Mr. Colon thanked the auditors, independent public accountants, and UNM leadership, and added that the pandemic brought communication challenges, but the University team pivoted to the needs and was always very responsive to questions. Mr. Colon commended the independent public accountants at Moss Adams and KPMG for a job well done. John Kennedy presented highlights of the clinical audits and Lisa Todd presented highlights of the non-clinical audits. In summary, the type of report the auditor issued on whether the financial statements

audited were prepared in accordance with GAAP was an 'unmodified' report; additionally, there were no material weaknesses nor significant deficiencies identified.

- The motion to approve the FY20 External Audit Report passed with a unanimous vote in favor (1st Begay; 2nd Schwartz; roll call vote - all 7 members present voted yes).
- *At 11:04 AM, Regent Brown announced a 5-minute break in the virtual meeting.*

FINANCE AND FACILITIES COMMITTEE

Approval of the Proposed Fiscal Year 2021-2022 Budget Planning Assumptions

Regent Begay asked Teresa Costantinidis to present the Branch Campus budget assumptions - the material was provided in the eBook. The Advisory Boards of each of the Branch Campuses have recommended no fee or tuition increase for the fiscal year. The Advisory Boards also support a 1.5% compensation increase. SVP Costantinidis showed pie chart breakdowns of revenue source-types for each of the Branches as well a bar graph of tuition rate comparison's to peers. The largest source of tuition to the branch campuses by percentage is state appropriations, with the local mil levies being the second largest source for each one except for UNM Gallup which has tuition as the second largest source of revenue in percentage terms, followed by the local mil levy. **[ATTACHMENT A]**

- The motion to approve the budget assumptions as presented for the Branch Campuses, Gallup, Los Alamos, Valencia and Taos, passed with a unanimous vote in favor (1st Begay; 2nd Fortner; roll call vote - all 7 members present voted yes).

SVP Costantinidis introduced Norma Allen to present the Main Campus budget assumptions. Ms. Allen outlined the overall budget assumptions, referring to slides that were included in the eBook. Overall, there is a \$4.9 million in funding requests; \$3.839 million in must funds and \$2.2 million in additional recommendations; and a 1.5% increase in compensation. There is also an estimated \$25.4 million in Mandatory Student Fee allocations. On the revenue side, from House Bill 2 (HB2), a 3.2% increase in state appropriations formula funding will equate to about \$6 million, and the 1.5% compensation increase will provide about \$3.2 million, with the education retirement benefit (ERB) 1% increase to employer contribution providing \$1.65 million.

Ms. Allen discussed the Budget Leadership Team (BLT), composed of faculty, staff, student and administration constituents and the Tuition and Fee subcommittee under the BLT. The BLT makes its budget recommendations to President Stokes. Ms. Allen talked about historic tuition and fee increases, referring to a table included at the end of the annual consolidated budget book depicting the data since 1970. She pointed out that there were some years that did not have increases but as a result, they were followed by years that need larger rate increases in order to support programs and student services, including financial aid and other support for students in need so they can be successful in their efforts at UNM.

Regent Brown said that at this point he'd like to give the student leaders, Regent Advisors, a chance to provide their comments during the tuition and fee presentation. Mia Amin, ASUNM President, said that she would like to wait and comment after the specific tuition and fee proposals were presented.

Ms. Allen continued, outlining the tuition and fee proposals. For tuition, a 2.2% tuition increase is proposed for resident students (undergraduate & graduate) including a 2.2% increase in upper division premiums. The 2.2% increase applies also to the differential tuition charges. For non-residents, a 5% increase is proposed for non-residents, undergraduate & graduate students. For the Mandatory Student Fees, the proposal is a 23.4% decrease in undergraduate fee rates, a 23.9% decrease in the graduate rates, to account for the newly proposed headcount fees: Athletics, \$100; Student Health and Counseling (SHAC), \$107; and the existing Tech Fee to be

increased by \$50 to \$150. Ms. Allen spoke to a couple of slides that displayed in dollar-terms how the proposed tuition and fee increases would hypothetically affect a student taking 1 credit-hour, 4 credit-hours, or a block of 15 credit-hours. The overall impact to a full-time, resident, lower-division undergraduate would be about \$132.74, or 3%. **[ATTACHMENT B]**

Regent Begay asked the student leaders to provide their comments. Ms. Amin stated that the students supported the proposed SHAC fee headcount model and explained that, unlike for Athletics, the SHAC's directors had submitted the request to the Student Fee Review Board (SFRB). Ms. Amin discussed the importance of keeping the students involved in the process and added that Athletics continues to be one of the more debated budgets at the student level. She asked the Regents to fail the athletics proposal with the intent to reconsider it after it has gone through the appropriate processes. Nikhil Reddy, GPSA President, spoke about the challenges of the SFRB fee allocation decisions and his concerns for the newly proposed fee structures; he requested that the Regents not approve the new fee proposal.

Regent Begay asked Provost Holloway to provide his comments. Provost Holloway thanked the student leaders for their comments and added that he and the President strongly support the creation of a student process for the review of headcount fees and for changes proposed to headcount fees. Provost spoke in support of the headcount fee and about the importance of a stable, ongoing budget for athletics. He noted that funding for Athletics supplied by student fees is used for student costs, like scholarships. Athletics has a little over \$5.4 million in student costs that they provide to student athletes, and about \$4.4 million of that is in the form of scholarships. Regent Begay clarified that the proposal is President Stokes', as her recommendation, adding that there are two important pieces to the proposal, 1) it is a trial for three years, and 2) the funds will go to student athletic scholarships.

Regent Kim Rael inquired the best practice for athletics funding among peer institutions, for example the headcount basis versus other sources of funding. Provost Holloway responded that the headcount fee is pretty common, and he could get exact numbers. AD Nunez responded that about six peer institutions utilize the headcount model and one other institution is in the process of a similar transition to the headcount model.

Regent Begay reminded the Regents of the action item and said they could take out the Athletics piece to discuss and vote on it separately. She asked if there was a motion to approve the budget proposal minus the athletics piece, and have the athletics part as a separate motion.

Regent Payne motioned approval of the budget proposal minus the athletics piece; Regent Fortner seconded. There was discussion on the motion.

Regent Rael said she would vote against the motion, the reason being she believes that anytime an institution adopts a tuition increase, the increase needs to be aligned with an institutional strategic vision that the leadership body have all agreed to. She said she didn't feel like the conversation had been had, and in contrast that decisions being made were incremental and around the edges. She clarified that it's not that maybe it's not a good or bad idea, but that there has not been enough conversation about the overall strategy of the institution for her to feel comfortable with the tuition increase or an increase in student rates. She affirmed that increasing enrollment is a strategic objective of the University, adding that it is known that probably UNM's peer institutions in New Mexico, most of them if not all, are not doing the tuition increases this cycle. She mentioned the importance of what will be communicated to parents and students across New Mexico as they are making college choice decisions right now. Regent Rael talked about the confusing tuition and fee structure, adding that the leadership body needs to step back and look at simplifying the structure for the entire community so that it makes sense and is straightforward to allow parents and students to make decisions, understanding what the cost of their education is really going to be at UNM. Regent Rael said she respected the hard work that had gone into the recommendation, but she couldn't support it

right now. She requested that the Board, in its strategic planning session and beyond, to really invert the process and focus on what is needed to be accomplished as an institution, to decide on a financial plan, and to build around that for years going forward. She reiterated the need to get away from conversations around discussing things that are incremental and at the edges. Regent Rael again thanked everyone for the work that went into the presentation, but said that she would not support the motion.

Regent Brown responded that this really does demand and require serious strategic thought about UNM's pricing involving tuition differentials, as well as the tuition and student fees, adding it would be a robust topic for the retreat. He reminded everyone that tuition increases compound and some of the other institutions in the state had in years past increased their tuitions more than UNM had. Regent Brown said slow and steady is a much better thing than foregoing tuition one year to find out that a quantum jump is needed the next time.

Regent Brown said he'd like to continue with the motion that excludes the athletics piece which needs more discussion and he recommended deferring that to May.

There was discussion.

Regent Schwartz agreed that it is extraordinarily complicated to figure out what a student actually pays at UNM, likening the listing of the tuition and fee components to reading a hospital bill. He confirmed that historically medical students which had a higher tuition didn't pay the fee for athletics, but it looks like now they will. What actually students care about is the total tuition and fees they will pay when they come to UNM. He said that maybe UNM ought to go back to the traditional notion that tuition is what it costs to provide a high quality education to the students who come to UNM, adding that students should be given the option to fund athletics if that is an amenity they want, and if the state legislature decides it wants to fund athletics, of course, it should fund athletics, and if the City of Albuquerque wanted to make a contribution, because it contributes to the economy of Albuquerque, then of course, they should fund athletics as well. Regent Schwartz expressed concern about the process that was used this year to get student input on the athletics fee which was to impose the fee and then go back and ask the students for input, rather than ask the students input, then after listening to the students, impose the fee.

Regent Schwartz spoke in favor of setting up a process in the future that opens this up so that the athletics program could get more if the students want to support it with additional resources, or would get less if the students weren't interested in supporting it because it's not fundamental to getting a good Higher Education (HE). Regent Schwartz said that he had little trouble with the proposed 2.2% tuition increase, because along with the fees, he thought that the cost of an education is going to stay about the same or go down, but he asked for confirmation on whether that would be the case.

Regent Randy Ko acknowledged that the Regents heard from the student leaders earlier. He pointed out that 2020 to 2021 changes to the block-rate tuition model contributed to an effective 17% increase for students taking 15 credit-hours, and he inquired the budget impact of those recent changes. With regard to program expansion needs, he emphasized the importance of keeping the students in mind as key stakeholders, including involving students early on in the process. He referred to other communication problems discussed in HSC Committee regarding the proposed tuition differential for the College of Population Health (COPH). Regent Ko discussed his concerns with the fee process and involving the students, adding that he'd like to see more detail about where the headcount fee funds will be going, specifically how would they support student success.

Provost Holloway responded to Regent Ko's request for details about fee increases. Both the SHAC and IT headcount fees were set to hold the revenues for those entities, the IT funds

support the production of online courses. He said it was important to note that even as UNM goes into an in-person fall semester, 20% of all courses in the fall will be online. He also addressed the issue of the complexity of the tuition and fee model stating they started last year to simplify the graduate level tuition. Regarding to the proposed 2.2% base tuition increase, the number was selected because it is the higher education price index, the cost of running a human focused organization like an institute of higher education. Provost Holloway discussed aspects of rising HE costs.

Regent Begay said she would call the question.

Regent Schwartz requested before the vote that he get an answer to his question, will the total amount in tuition and fees go up or down or stay the same for students?

Provost Holloway responded that he could give at least a partial answer. For a number of students, the cost will remain the same. For lower income students, they actually pay nothing, and they will still pay nothing. For students in the middle-income bracket, the net amount they will have to pay depends on their financial aid position. If they receive no financial aid, then the price will go up, the increase according to the table that was presented.

Regent Brown said the funding model deserves studied attention and his hope was that by this time next year a different and more simplified process would be in place. He supported proceeding with the question.

Regent Begay called the question and took a roll call vote.

- The motion to approve the budget assumptions as presented, leaving out the Athletic Fee proposal, passed with a 5-2-0 vote (1st Payne; 2nd Fortner; Regents Begay, Brown, Fortner, Payne and Schwartz voted yes; Regents Ko and Rael voted no).

Regent Fortner moved approval of the Athletics Fee proposal. Regent Payne seconded. There was discussion.

Regent Fortner commented on Regent Schwartz' suggestion for relooking at establishing tuition and fees based on a list of core amenities that students would want to pay for in order to get a quality education. He mentioned the SHAC as a health service amenity, suggesting that 50% of students may not utilize it because they use their own health coverage somewhere else, yet students are required to pay their share because it's a benefit that has been determined to be beneficial to the University. He added the same thinking would apply to the Athletics fee, there are some people who won't use it. Regent Fortner said the discussion of the value of athletics can be debated, but one thing that probably cannot be argued is that it is one of the three major porches that the University has to appeal to Albuquerque and New Mexico residents. He stated that one of the porches is Popejoy, one is the Health Sciences Center, and the third is Athletics. Regent Fortner reiterated Provost's point that the funds that go to Athletics go to educate students who might not otherwise be at UNM, and he urged in the best interest of the University, the approval of Administration's proposal.

Regent Begay said that she would call the question.

Regent Ko requested more discussion before the vote.

Regent Schwartz said this topic was important enough to allow some discussion, because it's not so simple. Acknowledging Regent Fortner's thoughtful statement, he added that he hoped this would get more time for discussions down the road.

Regent Ko said that one problem he had was that the prior vote already included the decrease in the Mandatory Student Fee rate, effectively making this part go through. Another issue he had was with respect to the proposed headcount fees requiring medical students to pay these fees when they have been exempted from paying the Mandatory Student Fee in the past. He pointed out that the proposal did exempt the dissertation students from the Athletics headcount fee, and he asked Regent Fortner if he would accept an amendment to his motion to exclude MD students also from the fee.

- *At 12:09 PM, Regent Brown announced that he had to leave the meeting and would turn over his position as Board President chairing the overall meeting to Vice President Rael.*

Before leaving the meeting, Regent Brown propose that the athletic fee not be authorized at this meeting, but at the May meeting which would hopefully give time for the right kind of student communication to ensue.

Regent Begay asked Regent Fortner if he wanted to comment regarding the requested amendment to his motion.

Regent Fortner said that with Regent Brown leaving the meeting there may not be enough votes to pass the motion, and he said he would accept Regent Ko's friendly amendment with the understanding that the Board would consider that additional part in May. Regent Fortner stated the requirement that his second also needed to accept the friendly amendment.

Regent Rael requested to offer an additional friendly amendment. She said that due to COVID last year, there was not the intended broader strategic discussion on Athletics on the overall budgeting process and strategic priorities of the University, so she supported the Athletic headcount fee, but for only a one-year implementation, not three years, so that over the next year there can be more conversation.

Regent Fortner responded that he would definitely accept that; however, he explained that procedurally from a parliamentary point of view Regent Payne needed to then not accept the first friendly amendment.

Regent Bill Payne said he had a question about the whole issue of fees. He explained that he accepted Administration's recommendation based on the Budget Leadership Team that probably spent a whole lot of time discussing this issue, but finding out after the fact that none of the students supported this fee, raises more questions and particularly a general question about the Mandatory Student Fee and the Student Fee Review Board's process for apportioning fees between 28 separate programs. Additionally, it raises the question of which of those organizations the medical students would want to pay for if they were given a vote on it, emphasizing this is something that needs further discussion. Regent Payne said he didn't feel comfortable parsing Administration's recommendation, and he suggested that if it's deferred, that it be deferred intact to the May meeting and hopefully in between there will be some more discussions. He expressed concern about the parsing of the recommendation, suggesting the Regents vote on the whole recommendation, indicating whether they agree there has been a sufficient vetting of the budget and everything else, or that there hasn't.

Regent Begay suggested to Regent Fortner that he withdraw his motion and the item would be tabled until the May meeting.

Regent Fortner withdrew his motion.

Regent Begay thanked the Regents for their patience and feedback and asked SVP Costantinidis to introduce the next budget item.

SVP Costantinidis introduced Ava Lovell to present the Health Sciences budget assumptions. Ms. Lovell presented slides that were provided in the eBook. In summary, the Health Sciences Academic Enterprise will do the same tuition increases that are proposed for Main Campus, a 2.2% increase for undergraduate and graduate resident and a 5% increase for non-resident students (graduate and undergraduate). The proposals exclude students in the School of Medicine (SOM) and College of Pharmacy (COP) because their tuitions are so high already; their exclusions being quite usual almost every year. Regarding the SOM, there are also other programs besides the MDs, including physical therapy, occupational therapy, and physician assistant, and those would have the increase imposed on them.

Ms. Lovell addressed the Differential Tuition proposals. The proposed College of Population Health (COPH) differential tuition was discussed at length at the HSC Committee. Last year, the Regents pre-approved the undergraduate COPH differential to start at \$50 per student credit-hour for FY21 and go up to \$100 for this year. The differential tuition for graduate students was not implemented last year, so this year the proposal is to start at \$25 per credit-hour, and go up to \$100 next year. One aspect in this proposal is that it will not affect students currently enrolled. The COP is requesting a 5% increase in its differential tuition, again to start for only new students and phased in over 4-years. Ms. Lovell explained that the HSC receives revenue (100%) only on one fee, the Health Sciences Library and Resource fee, and this fee has not been increased since 2010. The proposal is to increase this fee over a two-year phase-in, by \$66.50, from \$130 to 196.50, in first year, and by another \$66, from \$196.50 to \$262.50, in second year. Much of this will go to buy electronic textbooks which saves cost for the students. Ms. Lovell also explained that the Mandatory Student Fee has historically not been charged to the MD students. The newly proposed headcount fee models for Athletics, the SHAC, and the Tech Fee will be charged to the MD students, so these would in essence be new fees charged to the MD students.

Ms. Lovell addressed the proposed 1.5% compensation increase. The signing of House Bill 2 enacted the cost of living increase of 1.5%, as part of legislature funding for I&G (instruction and general). Health Sciences I&G is a quite small percentage of its revenues, but as what has been done historically, the HSC gives the increase to all its faculty and staff across the Academic Enterprise, including research, library, and administration. The I&G funding for the increased salary and fringe for HSC would come to \$1.344 million, and the Health Sciences Center would pay and extra \$5.384 million to cover all of the faculty/staff compensation increases at the 1.5% level. Ms. Lovell addressed the compensation shortfalls for each of the units, the SOM's being the largest at \$3.664 million. The shortfalls being what the HSC will have to pay in order to apply the 1.5% compensation increase for all of the noted faculty and staff, and Ms. Lovell said she believed the HSC was able to fund the shortfall amounts. Ms. Lovell clarified that Regents' approval for the 1.5% compensation increase was requested.

[ATTACHMENT C]

Regent Begay asked for a motion to approve the HSC budget proposal that was presented.

- The motion to approve the budget assumptions as presented for the Health Sciences Center passed with a unanimous vote in favor (1st Schwartz; 2nd Fortner; roll call vote - all 6 members present voted yes).

UNM Foundation 2nd Quarter Finance and Endowment Portfolio Report (information only)

Regent Begay clarified the documentation for this report was provided in the eBook for information only, and the item would not be presented.

HEALTH SCIENCES CENTER COMMITTEE

Approval of Clifton Larson Allen (CLA) services - \$1,917,670

Regent Schwartz asked Kate Becker and Bonnie White to present the item. Ms. Becker said there was a time when the Health Sciences Center and Health System had their audits, both internal and external performed by external agencies, and a few years ago the decision was made to bring that internal audit function in-house and hire people to do that work. Those people were subsequently brought in. Ms. Becker continued that now with more understanding of the breadth and depth of the complexity of the health system internal audit work, it seemed wise to connect with a contract that would enable the utilization of a broader range of people who have expertise in a variety of areas to support this function. So after going through an RFP process to select a vendor to provide internal audit services, the proposal is brought to the Regents for approval. Ms. Becker introduced Bonnie White to present the details of the contract. The contract with CliftonLarsonAllen LLP (CLA) has a four-year term with an estimated cost of \$1,917,670 over the full term. CLA will provide three thousand (3,000) hours of internal auditing each year for the years 2021 through 2024. The purchased services will include, but will not be limited to, audit procedures to determine whether operating procedures are followed and internal controls are effective. Services will include performing an annual enterprise risk assessment, conducting internal audits, engagement administration and reporting.

Regent Payne inquired how the \$1.9 million would be accounted for in the budget. Ms. White responded that this will be included in each of the clinical entities' budgets, UNM Hospital (UNMH) and SRMC and the Medical Group, with the bulk going to UNMH; the funds will replace some of the funds in the budget for internal staff in previous years.

- The motion to approve the Clifton Larson Allen internal audit services passed with a unanimous vote in favor (1st Schwartz; 2nd Fortner; roll call vote - all 6 members present voted yes).

ADVISORS' COMMENTS

Nancy Shane commented about the University's further development of guidelines for staff to work remotely, taking advantage of the past year's learnings; recent approval of new academic programs and the difficult subject of addressing potential program cuts as well as the need to identify helpful metrics to aid in program approval or deletion decisions. Dr. Shane commented about the proposed athletic fee charge and said she appreciated the Regents close attention to all university matters.

Finnie Coleman commented on Faculty Senate resolutions regarding climate change and divestment that will be presented to Regents at the next meeting. Regarding the union negotiations process, he said he hoped the Regents, the President, Provost and others in positions of responsibility and authority recognize the depth of the public comments made earlier. The things that faculty members are saying are not coming out of a vacuum; they are coming out of a need by the Faculty to be heard in ways that they have not been heard in the past.

Chad Cooper commented on Alumni Association activities, including recent Lobo Living Room events, scholarship opportunities, and an upcoming virtual STEM career fair and several 2021 Alumni Awards nominations with a July 15 deadline to submit nominations; he talked about program plans for the 15 regional chapters in the U.S. and the 8 cultural and interest-based chapters.

Nikhil Naguru commented on the status of the annual GPSA elections for President and other officers.

Kevin Malloy commented about the Retiree Association's activities during the legislative session, with particular focus on Senate Bill 42 which was introduced by Senator Stewart and signed by the Governor providing for an increase in the employer contribution rate paid into the

fund by a one percentage point per year over the next four years. Dr. Malloy talked about concerns over the continued erosion of the fund despite this increase, an issue the Association will continue to follow very closely in the future.

VOTE TO CLOSE THE MEETING AND PROCEED IN EXECUTIVE SESSION

(1ST Fortner; 2nd Begay; roll call vote - all 6 members present voted yes). The meeting closed at 12:43 PM.

- A. Discussions subject to attorney-client privilege pertaining to threatened or pending litigation as permitted by Section 10-15-1H(7), NMSA (1978)

VOTE TO RE-OPEN THE MEETING AND CERTIFICATION THAT ONLY THOSE MATTERS DESCRIBED IN THE CLOSED SESSION AGENDA WERE DISCUSSED IN CLOSED SESSION, AND IF NECESSARY, FINAL ACTION WITH REGARD TO CERTAIN MATTERS WILL BE TAKEN IN OPEN SESSION

The meeting re-opened at 1:08 PM.

Regent Rael certified that closed session discussions were limited to only those matters described in the closed session agenda and confirmed there were no items upon which the Board needed to act.

ADJOURN

There being no further business, Regent Rael asked for a motion to adjourn: Regent Fortner motioned; Regent Schwartz seconded; all 6 members present voted in favor; the meeting adjourned at 1:09 PM.

Approved:

Attest:

Douglas M. Brown, President

Sandra K. Begay, Secretary/Treasurer

**ATTACHMENTS TO THE MINUTES OF THE
APRIL 13, 2021 BOARD OF REGENTS**

**Requesting Approval of
Proposed FY 21-22**

**Budget Planning Assumptions
Branches, Main Campus and Health Sciences Center
BOR Finance and Facilities Committee**

April 6, 2021

Branch Campuses **ATTACHMENT A** Budget Planning Assumptions

UNM-Gallup Advisory Board Meeting February 16, 2021

- No fees or tuition increase.

UNM-Los Alamos Advisory Board Meeting March 8, 2021

- No fees or tuition increase.

UNM-Taos Advisory Board Meeting March 24, 2021

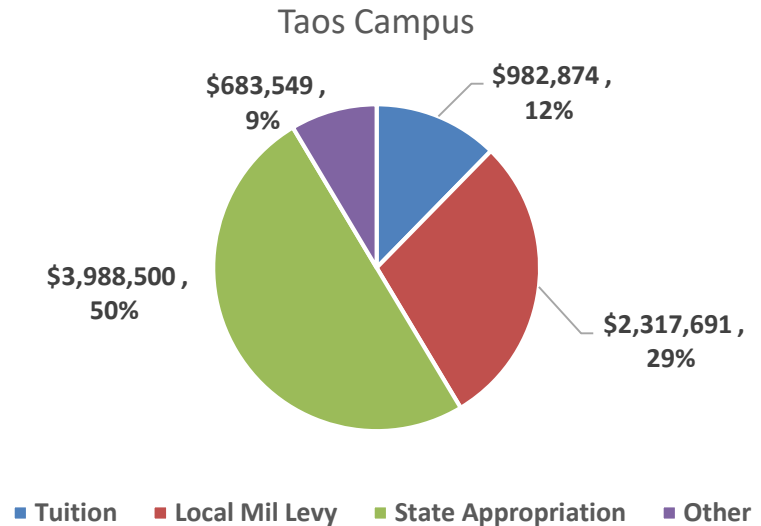
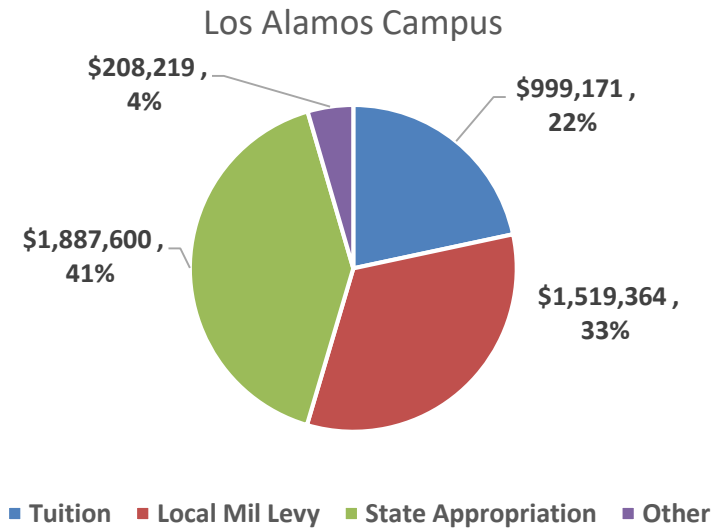
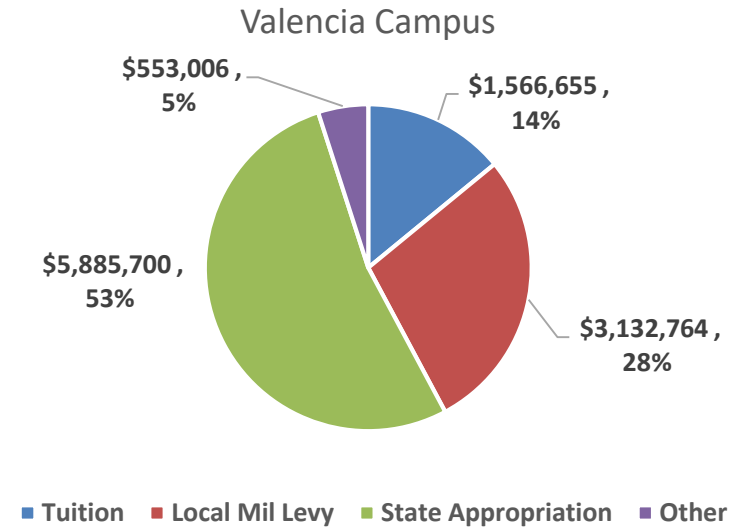
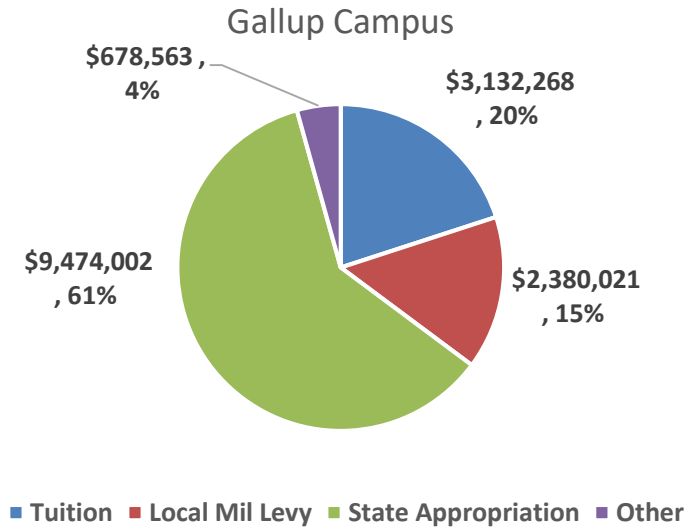
- No fees or tuition increase.

UNM-Valencia Advisory Board Meeting March 30, 2021

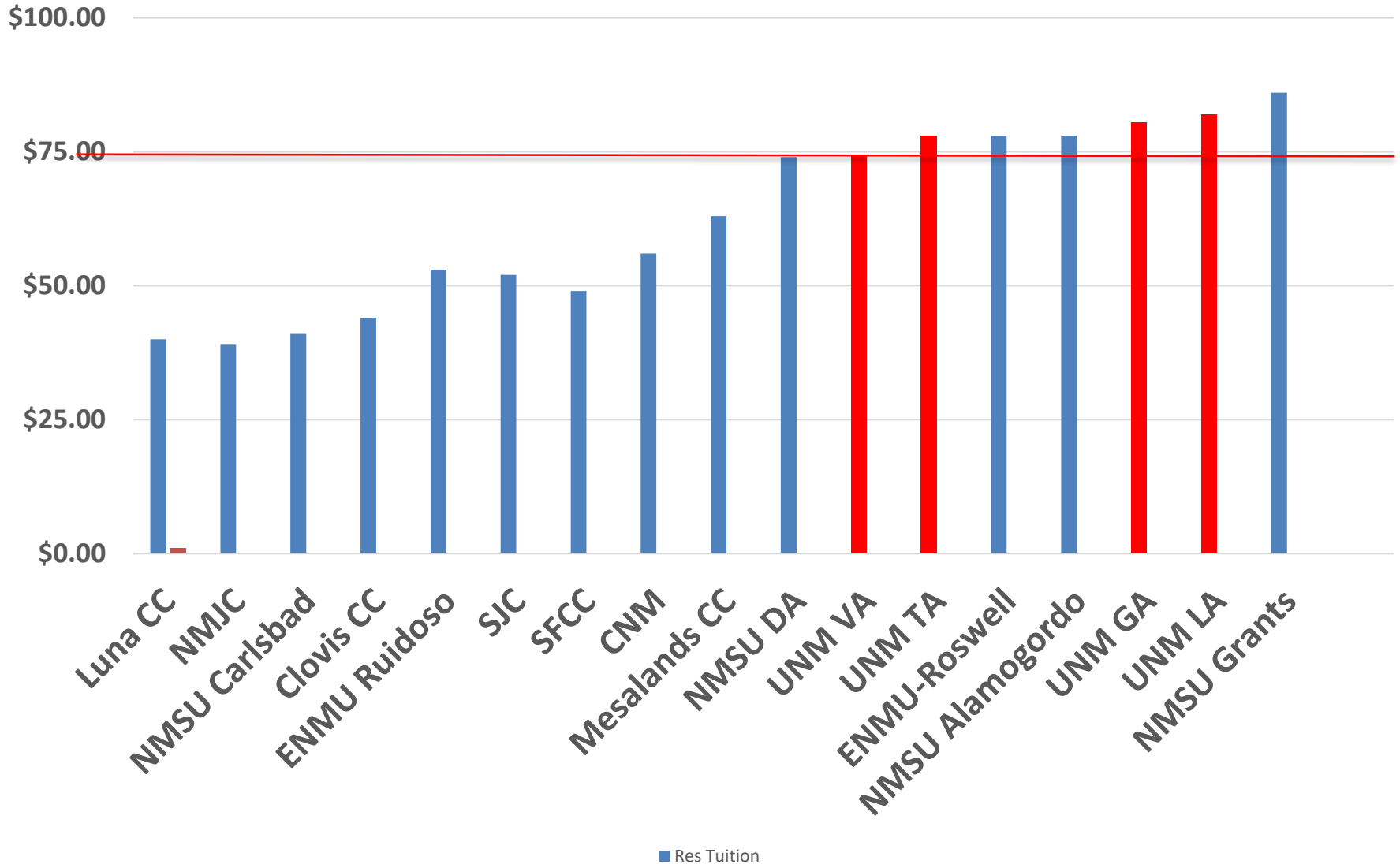
- No fees or tuition increase.

**All Branch Campus Chancellors indicate that their Advisory Boards support a 1.5 percent compensation increase.*

Branch Revenues



2020-2021 Tuition Rate Comparison



FY 21-22

Main Campus Budget Planning Assumptions

Here Today to Request Approval of:

Overall FY 21-22 Budget Planning Assumptions

Tuition Rate Proposal

Fee Rate Proposal

Funding Requests \$4.9M

Must Funds \$3.839M

Additional Recommendation \$2.2M

Compensation 1.5% Increase

Base Allocations and Utilities \$4M increase or 1.5%

Mandatory Student Fee Allocations \$25.4M

Revenue Assumptions



Main Campus State Appropriations

House Bill 2

Description	Amount
I&G Formula Funding 3.2%	\$5.993M
1.5% Compensation Increase	\$3.231M
Transfer to Nursing, Pharmacy and Population Health-Formula Distribution	(\$40K)
Educational Retirement Benefit 1% Increase to Employer Contribution	\$1.651M
Total Net Increase	\$10.8M

Note 3/25 Presentation differences: HSC transfer amount was reduced from \$322K to \$40K. Adjusted out the \$5.2M CARES Swap restoration. The initial \$5.2M of CARES Swap reduction didn't affect them in FY21.

Note: ERB funding has a reversion clause on unspent funding.

Tuition and Fee Proposal

Tuition Recommendation

ATTACHMENT B cont.

Proposed Increases-\$2.3M Tuition

2.2% Resident (Undergraduate and Graduate)

2.2% Upper Division Premiums

5% Non-Resident (Undergraduate and Graduate)

0.41% Flat Dissertation Rate-Resident and Non-Resident 1-6 Hours-\$1,181 to \$1,186

Charge the same Flat Dissertation Rate of \$1,186 to Non-Resident-7 hours and above

Note: 2.2% Increase in Differential Tuition generates \$210K. This flows directly to the college and school.

Note: Also 10% of \$2.3M Base Tuition and Premium Increase will be transferred to Student Aid \$230K.

Fee Recommendation

Existing Mandatory Student Fees: 23.4% decrease in Undergrad and a 23.9% decrease in Grad rates to account for **New** fees. See note 1 below.

New SHAC Fee \$107 Fall/Spring \$72 Summer-net revenue neutral. See note 1 below.

New Athletics Fee \$100 Fall/Spring \$67 Summer-net revenue neutral. See note 1 below.

Existing Tech Fee from \$50 to \$150 Fall/Spring and from \$10 to \$20 for Summer. The proposed Tech Fee eliminates the \$100 Online Max per course fee. See Note 2 below.

Recommending that future changes to headcount models have student input and review.

Note 1: The offsetting reductions in mandatory student fee rates for new fees still leaves a \$348K shortfall. This shortfall is offset by a reduction in expenditures. In addition, the revenue generated by the mandatory student fees, SHAC fee and Athletics Fee is projected to generate the same amount of revenues as the current model.

Note 2: Also, the proposed Tech Fee will additionally fund \$255K for a Learning Mgt System replacement, online proctoring , and online learning support.

Note 3: Dissertation Students will only pay the Tech Fee and SHAC Fee

Tuition and Fee Rates-Traditional Face to Face Students



ATTACHMENT B cont.

1 Credit Hour-Current Rates							1 Credit Hour-Proposed Rates									
FY 22 Tuition and Fee Rates	Per Credit Hour			Headcount			Per Credit Hour			Headcount				Total	\$ Change	% Change
	Base Tuition	Premium	Mandatory Student Fees	ASUNM ¹ /GPSA	Tech Fee	Total	Base Tuition	Premium	Mandatory Student Fees	ASUNM ¹ /GPSA	Tech Fee	SHAC Fee	Athletics Fee			
Undergraduate Resident-Lower	\$269.28	\$0.00	\$69.09	\$1.67	\$50.00	\$390.04	\$275.20	\$0.00	\$52.53	\$1.67	\$150.00	\$0.00	\$0.00	\$479.40	\$89.36	22.9%
Undergraduate Non-Resident-Lower	\$928.34	\$0.00	\$69.09	\$1.67	\$50.00	\$1,049.10	\$974.76	\$0.00	\$52.53	\$1.67	\$150.00	\$0.00	\$0.00	\$1,178.96	\$129.86	12.4%
Undergraduate Resident-Upper	\$269.28	\$35.00	\$69.09	\$1.67	\$50.00	\$425.04	\$275.20	\$35.78	\$52.53	\$1.67	\$150.00	\$0.00	\$0.00	\$515.18	\$90.14	21.2%
Undergraduate Non-Resident-Upper	\$928.34	\$35.00	\$69.09	\$1.67	\$50.00	\$1,084.10	\$974.76	\$35.78	\$52.53	\$1.67	\$150.00	\$0.00	\$0.00	\$1,214.74	\$130.64	12.1%
Graduate Resident	\$328.88	\$0.00	\$77.84	\$25.00	\$50.00	\$481.72	\$336.12	\$0.00	\$59.18	\$25.00	\$150.00	\$0.00	\$0.00	\$570.30	\$88.58	18.4%
Graduate Non-Resident	\$1,027.18	\$0.00	\$77.84	\$25.00	\$50.00	\$1,180.02	\$1,078.54	\$0.00	\$59.18	\$25.00	\$150.00	\$0.00	\$0.00	\$1,312.72	\$132.70	11.2%
Dissertation Resident/Non-Resident	\$1,181.00	\$0.00	\$0.00	\$0.00	\$50.00	\$1,231.00	\$1,186.00	\$0.00	\$0.00	\$0.00	\$150.00	\$0.00	\$0.00	\$1,336.00	\$105.00	8.5%
4 Credit Hour-Current Rates							4 Credit Hour-Proposed Rates									
FY 22 Tuition and Fee Rates	Per Credit Hour			Headcount			Per Credit Hour			Headcount				Total	\$ Change	% Change
	Base Tuition	Premium	Mandatory Student Fees	ASUNM ¹ /GPSA	Tech Fee	Total	Base Tuition	Premium	Mandatory Student Fees	ASUNM ¹ /GPSA	Tech Fee	SHAC Fee	Athletics Fee			
Undergraduate Resident-Lower	\$1,077.12	\$0.00	\$276.37	\$6.67	\$50.00	\$1,410.16	\$1,100.80	\$0.00	\$210.13	\$6.67	\$150.00	\$107.00	\$100.00	\$1,674.60	\$264.44	18.8%
Undergraduate Non-Resident-Lower	\$3,713.36	\$0.00	\$276.37	\$6.67	\$50.00	\$4,046.40	\$3,899.04	\$0.00	\$210.13	\$6.67	\$150.00	\$107.00	\$100.00	\$4,472.84	\$426.44	10.5%
Undergraduate Resident-Upper	\$1,077.12	\$140.00	\$276.37	\$6.67	\$50.00	\$1,550.16	\$1,100.80	\$143.12	\$210.13	\$6.67	\$150.00	\$107.00	\$100.00	\$1,817.72	\$267.56	17.3%
Undergraduate Non-Resident-Upper	\$3,713.36	\$140.00	\$276.37	\$6.67	\$50.00	\$4,186.40	\$3,899.04	\$143.12	\$210.13	\$6.67	\$150.00	\$107.00	\$100.00	\$4,615.96	\$429.56	10.3%
Graduate Resident	\$1,315.52	\$0.00	\$311.36	\$25.00	\$50.00	\$1,701.88	\$1,344.48	\$0.00	\$236.72	\$25.00	\$150.00	\$107.00	\$100.00	\$1,963.20	\$261.32	15.4%
Graduate Non-Resident	\$4,108.72	\$0.00	\$311.36	\$25.00	\$50.00	\$4,495.08	\$4,314.16	\$0.00	\$236.72	\$25.00	\$150.00	\$107.00	\$100.00	\$4,932.88	\$437.80	9.7%
Dissertation Resident/Non-Resident	\$1,181.00	\$0.00	\$0.00	\$0.00	\$50.00	\$1,231.00	\$1,186.00	\$0.00	\$0.00	\$0.00	\$150.00	\$107.00	\$0.00	\$1,443.00	\$212.00	17.2%
Block-Current Rates (UG Res-15 Hrs/UG NR and GR 12 Hrs)							Block-Proposed Rates (UG Res-15 Hrs/UG NR and GR 12 Hrs)									
FY 22 Tuition and Fee Rates	Per Credit Hour			Headcount			Per Credit Hour			Headcount				Total	\$ Change	% Change
	Base Tuition	Premium	Mandatory Student Fees	ASUNM ¹ /GPSA	Tech Fee	Total	Base Tuition	Premium	Mandatory Student Fees	ASUNM ¹ /GPSA	Tech Fee	SHAC Fee	Athletics Fee			
Undergraduate Resident-Lower	\$3,369.92	\$0.00	\$1,041.40	\$20.00	\$50.00	\$4,481.32	\$3,444.06	\$0.00	\$793.00	\$20.00	\$150.00	\$107.00	\$100.00	\$4,614.06	\$132.74	3.0%
Undergraduate Non-Resident-Lower	\$11,400.74	\$0.00	\$829.12	\$20.00	\$50.00	\$12,299.86	\$11,970.78	\$0.00	\$630.40	\$20.00	\$150.00	\$107.00	\$100.00	\$12,978.18	\$678.32	5.5%
Undergraduate Resident-Upper	\$3,369.92	\$525.00	\$1,041.40	\$20.00	\$50.00	\$5,006.32	\$3,444.06	\$536.70	\$793.00	\$20.00	\$150.00	\$107.00	\$100.00	\$5,150.76	\$144.44	2.9%
Undergraduate Non-Resident-Upper	\$11,400.74	\$420.00	\$829.12	\$20.00	\$50.00	\$12,719.86	\$11,970.78	\$429.36	\$630.40	\$20.00	\$150.00	\$107.00	\$100.00	\$13,407.54	\$687.68	5.4%
Graduate Resident	\$3,981.64	\$0.00	\$934.08	\$25.00	\$50.00	\$4,990.72	\$4,069.24	\$0.00	\$710.16	\$25.00	\$150.00	\$107.00	\$100.00	\$5,161.40	\$170.68	3.4%
Graduate Non-Resident	\$12,361.20	\$0.00	\$934.08	\$25.00	\$50.00	\$13,370.28	\$12,979.26	\$0.00	\$710.16	\$25.00	\$150.00	\$107.00	\$100.00	\$14,071.42	\$701.14	5.2%
Dissertation Resident	\$1,181.00	\$0.00	\$0.00	\$0.00	\$50.00	\$1,231.00	\$1,186.00	\$0.00	\$0.00	\$0.00	\$150.00	\$107.00	\$0.00	\$1,443.00	\$212.00	17.2%
Dissertation Non-Resident	\$7,811.12	\$0.00	\$0.00	\$0.00	\$50.00	\$7,861.12	\$1,186.00	\$0.00	\$0.00	\$0.00	\$150.00	\$107.00	\$0.00	\$1,443.00	-\$6,418.12	-81.6%

Note¹: ASUNM Fee is embedded in the mandatory student fee rate and is allocated to ASUNM \$1.67 per credit hour up to 12 hours. This practice has been in place for a number of years.

Note: The current Tech Fee rate above does not include the \$100 ONLINE MAX per course fee. The proposed Tech Fee rate above eliminates the \$100 ONLINE MAX per course fee.



Tuition and Fee Rates-Students taking 1 or 2 Online Courses



ATTACHMENT B cont.

1 Credit Hour-Current Rates								1 Credit Hour-Proposed Rates									
FY 22 Tuition and Fee Rates	Per Credit Hour			Headcount				Per Credit Hour			Headcount						
	Base Tuition	Premium	Mandatory Student Fees	ASUNM ¹ /GPISA	Online Max Fee-Taking One Online Course ²	Tech Fee	Total	Base Tuition	Premium	Mandatory Student Fees	ASUNM ¹ /GPISA	Tech Fee	SHAC Fee	Athletics Fee	Total	\$ Change	% Change
Undergraduate Resident-Lower	\$269.28	\$0.00	\$69.09	\$1.67	\$100.00	\$50.00	\$490.04	\$275.20	\$0.00	\$52.53	\$1.67	\$150.00	\$0.00	\$0.00	\$479.40	-\$10.64	-2.2%
Undergraduate Non-Resident-Lower	\$928.34	\$0.00	\$69.09	\$1.67	\$100.00	\$50.00	\$1,149.10	\$974.76	\$0.00	\$52.53	\$1.67	\$150.00	\$0.00	\$0.00	\$1,178.96	\$29.86	2.6%
Undergraduate Resident-Upper	\$269.28	\$35.00	\$69.09	\$1.67	\$100.00	\$50.00	\$525.04	\$275.20	\$35.78	\$52.53	\$1.67	\$150.00	\$0.00	\$0.00	\$515.18	-\$9.86	-1.9%
Undergraduate Non-Resident-Upper	\$928.34	\$35.00	\$69.09	\$1.67	\$100.00	\$50.00	\$1,184.10	\$974.76	\$35.78	\$52.53	\$1.67	\$150.00	\$0.00	\$0.00	\$1,214.74	\$30.64	2.6%
Graduate Resident	\$328.88	\$0.00	\$77.84	\$25.00	\$100.00	\$50.00	\$581.72	\$336.12	\$0.00	\$59.18	\$25.00	\$150.00	\$0.00	\$0.00	\$570.30	-\$11.42	-2.0%
Graduate Non-Resident	\$1,027.18	\$0.00	\$77.84	\$25.00	\$100.00	\$50.00	\$1,280.02	\$1,078.54	\$0.00	\$59.18	\$25.00	\$150.00	\$0.00	\$0.00	\$1,312.72	\$32.70	2.6%
Dissertation Resident/Non-Resident	\$1,181.00	\$0.00	\$0.00	\$0.00	\$0.00	\$50.00	\$1,231.00	\$1,186.00	\$0.00	\$0.00	\$0.00	\$150.00	\$0.00	\$0.00	\$1,336.00	\$105.00	8.5%
4 Credit Hour-Current Rates								4 Credit Hour-Proposed Rates									
FY 22 Tuition and Fee Rates	Per Credit Hour			Headcount				Per Credit Hour			Headcount						
	Base Tuition	Premium	Mandatory Student Fees	ASUNM ¹ /GPISA	Online Max Fee-Taking One Online Course ²	Tech Fee	Total	Base Tuition	Premium	Mandatory Student Fees	ASUNM ¹ /GPISA	Tech Fee	SHAC Fee	Athletics Fee	Total	\$ Change	% Change
Undergraduate Resident-Lower	\$1,077.12	\$0.00	\$276.37	\$6.67	\$100.00	\$50.00	\$1,510.16	\$1,100.80	\$0.00	\$210.13	\$6.67	\$150.00	\$107.00	\$100.00	\$1,674.60	\$164.44	10.9%
Undergraduate Non-Resident-Lower	\$3,713.36	\$0.00	\$276.37	\$6.67	\$100.00	\$50.00	\$4,146.40	\$3,899.04	\$0.00	\$210.13	\$6.67	\$150.00	\$107.00	\$100.00	\$4,472.84	\$326.44	7.9%
Undergraduate Resident-Upper	\$1,077.12	\$140.00	\$276.37	\$6.67	\$100.00	\$50.00	\$1,650.16	\$1,100.80	\$143.12	\$210.13	\$6.67	\$150.00	\$107.00	\$100.00	\$1,817.72	\$167.56	10.2%
Undergraduate Non-Resident-Upper	\$3,713.36	\$140.00	\$276.37	\$6.67	\$100.00	\$50.00	\$4,286.40	\$3,899.04	\$143.12	\$210.13	\$6.67	\$150.00	\$107.00	\$100.00	\$4,615.96	\$329.56	7.7%
Graduate Resident	\$1,315.52	\$0.00	\$311.36	\$25.00	\$100.00	\$50.00	\$1,801.88	\$1,344.48	\$0.00	\$236.72	\$25.00	\$150.00	\$107.00	\$100.00	\$1,963.20	\$161.32	9.0%
Graduate Non-Resident	\$4,108.72	\$0.00	\$311.36	\$25.00	\$100.00	\$50.00	\$4,595.08	\$4,314.16	\$0.00	\$236.72	\$25.00	\$150.00	\$107.00	\$100.00	\$4,932.88	\$337.80	7.4%
Dissertation Resident/Non-Resident	\$1,181.00	\$0.00	\$0.00	\$0.00	\$0.00	\$50.00	\$1,231.00	\$1,186.00	\$0.00	\$0.00	\$0.00	\$150.00	\$107.00	\$0.00	\$1,443.00	\$212.00	17.2%
Block-Current Rates (UG Res-15 Hrs/UG NR and GR 12 Hrs)								Block-Proposed Rates (UG Res-15 Hrs/UG NR and GR 12 Hrs)									
FY 22 Tuition and Fee Rates	Per Credit Hour			Headcount				Per Credit Hour			Headcount						
	Base Tuition	Premium	Mandatory Student Fees	ASUNM ¹ /GPISA	Online Max Fee-Taking Two Online Courses ²	Tech Fee	Total	Base Tuition	Premium	Mandatory Student Fees	ASUNM ¹ /GPISA	Tech Fee ²	SHAC Fee	Athletics Fee	Total	\$ Change	% Change
Undergraduate Resident-Lower	\$3,369.92	\$0.00	\$1,041.40	\$20.00	\$200.00	\$50.00	\$4,681.32	\$3,444.06	\$0.00	\$793.00	\$20.00	\$150.00	\$107.00	\$100.00	\$4,614.06	-\$67.26	-1.4%
Undergraduate Non-Resident-Lower	\$11,400.74	\$0.00	\$829.12	\$20.00	\$200.00	\$50.00	\$12,499.86	\$11,970.78	\$0.00	\$630.40	\$20.00	\$150.00	\$107.00	\$100.00	\$12,978.18	\$478.32	3.8%
Undergraduate Resident-Upper	\$3,369.92	\$525.00	\$1,041.40	\$20.00	\$200.00	\$50.00	\$5,206.32	\$3,444.06	\$536.70	\$793.00	\$20.00	\$150.00	\$107.00	\$100.00	\$5,150.76	-\$55.56	-1.1%
Undergraduate Non-Resident-Upper	\$11,400.74	\$420.00	\$829.12	\$20.00	\$200.00	\$50.00	\$12,919.86	\$11,970.78	\$429.36	\$630.40	\$20.00	\$150.00	\$107.00	\$100.00	\$13,407.54	\$487.68	3.8%
Graduate Resident	\$3,981.64	\$0.00	\$934.08	\$25.00	\$200.00	\$50.00	\$5,190.72	\$4,069.24	\$0.00	\$710.16	\$25.00	\$150.00	\$107.00	\$100.00	\$5,161.40	-\$29.32	-0.6%
Graduate Non-Resident	\$12,361.20	\$0.00	\$934.08	\$25.00	\$200.00	\$50.00	\$13,570.28	\$12,979.26	\$0.00	\$710.16	\$25.00	\$150.00	\$107.00	\$100.00	\$14,071.42	\$501.14	3.7%
Dissertation Resident	\$1,181.00	\$0.00	\$0.00	\$0.00	\$0.00	\$50.00	\$1,231.00	\$1,186.00	\$0.00	\$0.00	\$0.00	\$150.00	\$107.00	\$0.00	\$1,443.00	\$212.00	17.2%
Dissertation Non-Resident	\$7,811.12	\$0.00	\$0.00	\$0.00	\$0.00	\$50.00	\$7,861.12	\$1,186.00	\$0.00	\$0.00	\$0.00	\$150.00	\$107.00	\$0.00	\$1,443.00	-\$6,418.12	-81.6%

Note¹: ASUNM Fee is embedded in the mandatory student fee rate and is allocated to ASUNM \$1.67 per credit hour up to 12 hours. This practice has been in place for a number of years.

Note²: Online Max Fee is assessed \$100 for each course not by headcount. The proposed Tech Fee eliminates the \$100 ONLINE MAX per course fee.



Allocation Assumptions



Allocations

ATTACHMENT B cont.

Funding Requests \$4.9M

\$1.1M SVP for Finance and Administration

\$2.926M Academic Affairs

\$831K President's Organizations

Must Fund Allocations \$3.839M

\$2.1M Proposed 1% ERB Increase

\$400K Estimated Minimum Wage Increase

\$232K Student Health Insurance 8.7% increase

\$70K GA/TA Tuition Waivers

\$53K Worker's Comp increase 10%

(\$280K) Misc. Fringe Benefits Tax Decrease 4%

\$375K Property Insurance 25% Increase

\$69K General Liability Insurance Cyber Security

\$300K President scholarship commitment (Year 2 of 3)

\$520K Faculty Promotions

Utilities 0%

Additional Recommendation \$2.2 M (detail next slide)

Compensation Increase 1.5%-\$3.4M

Base Allocations and Utilities \$4M or 1.5% Increase

Mandatory Student Fees \$25.4M

Note: Mandatory student fees allocations of \$25.7M were adjusted to \$25.4M. The SFRB contingency was brought down from \$432K to \$84K.

Additional Recommendation

FY 22 Main Campus Core Fund Contribution			
	Current FY 21 Amount Collected	Proposed 4% of Expenditures Base FY 22	Difference
Main Campus Self Supporting Units	\$4,105,150	\$2,312,751	(\$1,792,399)
Public Service	\$164,351	\$121,121	(\$43,230)
RPSPs	\$340,715	\$0	(\$340,715)
Total	\$4,610,216	\$2,433,872	(\$2,176,344)

Establishment of 4% assessment on public service activities and auxiliary enterprise activities to support core funded central services. Assessment will be based upon FY 20 total expenditures.

Main Campus-DRAFT 4/6/21

	Category	FY 2021 Forecast Recurring (A)	Fiscal Year 2022 Scenario HB2 (B)	% Change (A&B)
	State Appropriations	186,173,400	197,048,250	5.8%
	Tuition Revenue	113,673,247	115,973,247	2.0%
	One-Time Revenues	281,234	-	-100.0%
	Other/Net Transfers Out	(18,367,611)	(18,637,111)	1.5%
	Total I&G Revenues	281,760,270	294,384,386	4.5%
	Mandatory Student Fees	32,241,498	25,392,526	-21.2%
	Total Revenues	314,001,768	319,776,912	1.8%
	Base Allocation	257,593,850	261,362,050	1.5%
	Utilities	15,766,656	15,998,656	1.5%
	Funding Requests	-	4,856,435	
	Must Fund Increases	-	3,839,179	
	Additional Recommendation	-	2,176,344	
	Compensation	-	3,420,000	
	Total I&G Expense	273,360,506	291,652,664	6.7%
	Mandatory Student Fee Allocations	30,240,828	25,392,526	-16.0%
	Total Expense	303,601,334	317,045,190	4.4%
	Projected Surplus (Deficit)	10,400,435	2,731,723	
	I&G	8,399,765	2,731,723	
	Fees	2,000,670	(0)	
	Total Surplus (Deficit)	10,400,435	2,731,723	

Requesting Approval of:

Overall FY 22 Budget Planning Assumptions

Tuition Rate Proposal

Fee Rate Proposal

Funding Requests \$4.9M

Must Funds \$3.839M

Additional Recommendation \$2.2M

Compensation 1.5% Increase

Base Allocations and Utilities \$4M increase or 1.5%

Mandatory Student Fee Allocations \$25.4M

HSC FY22 Tuition, Fee and Compensation Planning

Summary Regular Tuition Rate Changes Proposed

- All Undergraduate Resident 2.2% increase
- All Undergraduate Nonresident 5% increase
- All Graduate Resident 2.2% increase
(Exclude SOM MD and PharmD)
- All Graduate Nonresident 5% increase
(Exclude SOM MD and PharmD)

Proposed Differential Tuition Changes

- College of Population Health Undergraduate
 - Increase from \$50 to \$100 per credit hour
- College of Population Health Graduate
 - New \$25 per credit hour
 - Phase one of a two year phase-in
 - \$100 per credit hour effective Fall 2022
- College of Pharmacy PharmD
 - 5% increase (from \$379.50 to \$398.48) to be phased in by new student cohort over 4 years

Rate schedule/staggered implementation	Tuition Differential per Credit Hour			
Fiscal Year	P1	P2	P3	P4
Proposed FY22 (effective Fall 2021)	\$398.48	\$379.50	\$379.50	\$379.50
Proposed FY23 (effective Fall 2022)	\$398.48	\$398.48	\$379.50	\$379.50
Proposed FY24 (effective Fall 2023)	\$398.48	\$398.48	\$398.48	\$379.50
Proposed FY25 (effective Fall 2024)	\$398.48	\$398.48	\$398.48	\$398.48

HSC Student Fee Increases

- HSC Library and Resource Fee two year phase-in
 - Year 1 increase \$66.50 per semester (from \$130 to \$196.50)
 - Year 2 increase \$66.00 per semester (from \$196.50 to \$262.50)
- Mandatory Fee (University-wide per Main Campus proposal)
 - Undergraduate -23.4% or -\$248.40 per semester
 - Graduate -23.96% or -\$223.92 per semester (excludes MD)
- Tech Fee (University-wide per Main Campus proposal)
 - Increase from \$50 to \$150 per semester
- Health and Wellness Fee (University-wide per Main Campus proposal)
 - New \$107 per semester
- Athletics Fee (University-wide per Main Campus proposal)
 - New \$100 per semester

UNM HSC Academic Enterprise

FY 2022 Faculty & Staff 1.5% Salary Increase plus Fringe

All HSC Unrestricted	School of Medicine	College of Nursing	College of Pharmacy	College of Population Health	HSC VP Research and HSLIC	VP HSC Admin	Total HSC
Grouping	1.5% w Fringe	1.5% w Fringe	1.5% w Fringe	1.5% w Fringe	1.5% w Fringe	1.5% w Fringe	1.5% w Fringe
Faculty	3,469,556	118,345	75,150	26,212	27,586	127,219	\$ 3,844,068
Staff	1,077,702	42,262	54,238	10,348	56,584	298,445	\$ 1,539,579
Grand Total	4,547,258	160,607	129,388	36,560	84,170	425,664	\$ 5,383,647
State new comp 1.5% with fringe	883,315	98,072	100,033	31,631	18,470	212,835	\$ 1,344,356
Shortfall	(3,663,943)	(62,535)	(29,355)	(4,929)	(65,700)	(212,829)	\$ (4,039,291)

State new comp is actually 1.3646% w fringe of I&G
Excludes increases to employer ERB (expenses and state funding)

ATTACHMENT C cont.

President's Administrative Report

Garnett S. Stokes, President
Tuesday, May 11, 2021



Success in ~~60~~ 120 Seconds



Distinguished Professor Elected to American Academy of Arts & Sciences



- Congratulations to Jane Lancaster, Distinguished Professor Emerita of Anthropology, for her election to the American Academy of Arts & Sciences
- Dr. Lancaster is one of only 252 outstanding individuals elected nationally in 2021

Distinguished Professor Emeritus Elected to National Academy of Sciences

- Congratulations to Dr. Jeff Brinker, Distinguished Professor Emeritus in the Department of Chemical & Biological Engineering, on his election to the National Academy of Sciences
- Dr. Brinker is already a Fellow of the American Academy of Arts & Sciences, a member of the National Academy of Engineers and a Fellow of the National Academy of Inventors



Congratulations to Regent Sandra Begay

- UNM Regent and alumna Sandra Begay was asked to serve on the National Academies of Science, Engineering, and Medicine's Committee on Women in Science, Engineering and Medicine
- Regent Begay is also the recipient of a 2021 Women in Technology Award, one of only six New Mexicans so honored by the New Mexico Technology Council this year



Athletics Shines Through a Challenging Season

- Lobos clinched six Mountain West titles in women's cross country, women's soccer, women's basketball, women's golf, men's golf and men's tennis
- Our athletes recorded a cumulative GPA of 3.34 in Fall 2020
 - Women's tennis turned in the highest GPA by any program in the history of Lobo Athletics with a 4.03 GPA
 - Baseball recorded a 3.32, tying for the best GPA in program history



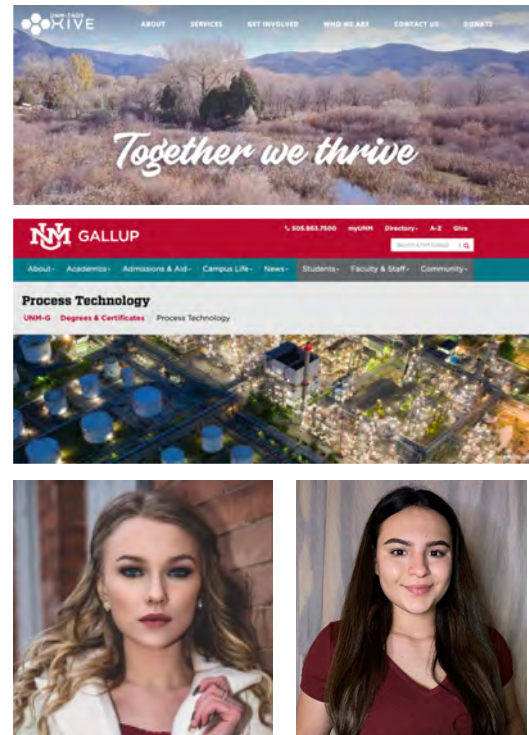
UNM Health Sciences Joins National Study of COVID Vaccine Hesitancy

- The UNM HSC is the recipient of a one-year, \$1.4 million federal Community Engagement Alliance (CEAL) Against COVID-19 Disparities grant from the National Institutes of Health, a national effort to understand and overcome the barriers that keep people from getting vaccinated
- The UNM study, dubbed Wide Engagement for Assessing COVID-19 Vaccination Equity (WEAVE NM), will build a consortium of more than 200 partner organizations to engage with communities around the state



UNM Branch Campuses Spur Innovation, Excellence

- At UNM-Taos, digital entrepreneurs, innovators and educators will soon find a place to collaborate and create the next generation of digital start-ups at the UNM-Taos HIVE (Hub of Internet-based Vocation and Education)
- **UNM-Gallup** is launching the Process Technology Program to develop the training necessary to work in vital industries
- Elizabeth Ramsell of **UNM-Valencia** and Danna Mayer-Llamas of **UNM-Los Alamos** were each named 2021 Coca-Cola Academic scholars, honoring their academic excellence and celebrating their community leadership



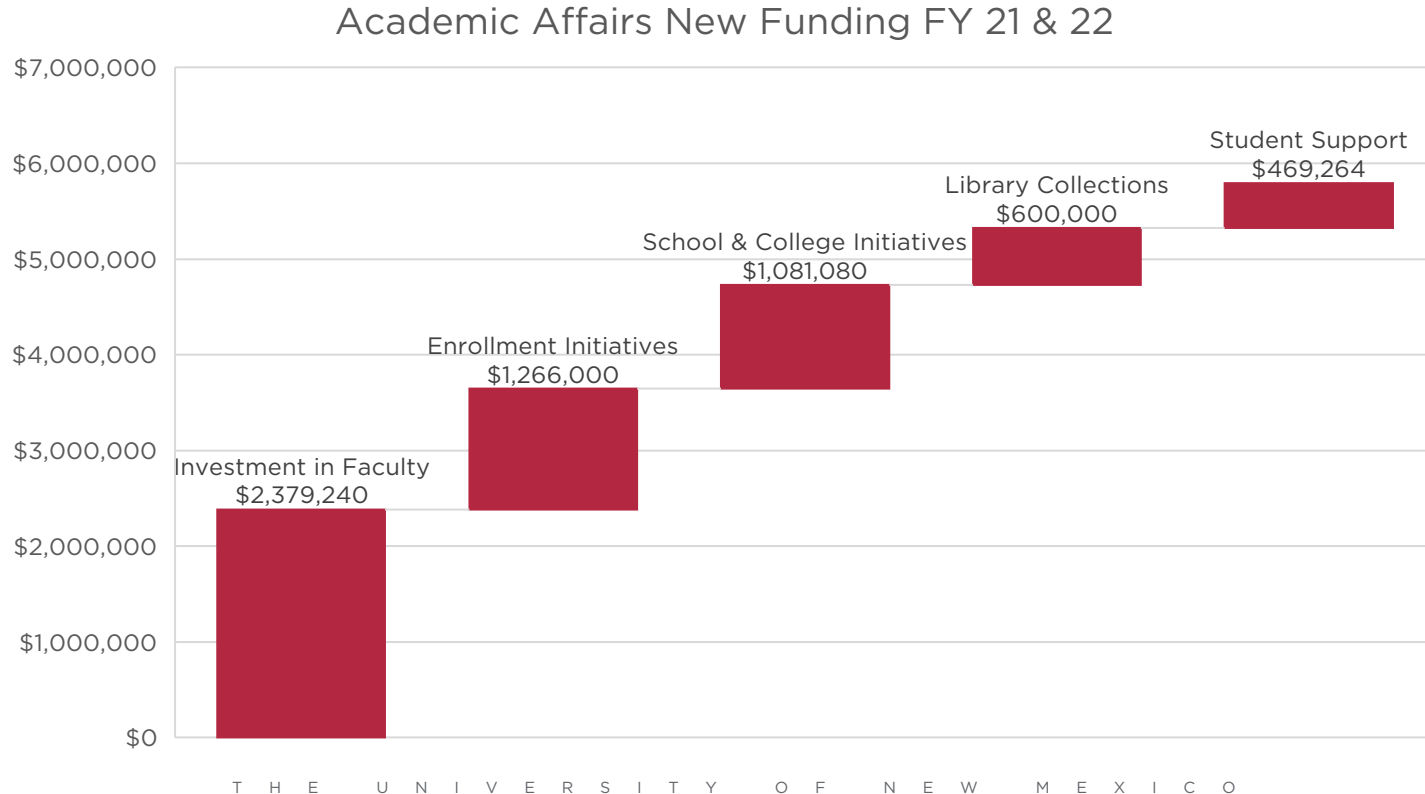
More Success Snippets

- The UNM CHILI HOUSE team of undergraduate, graduate and faculty researchers' proposal for teaching robots to care for plants on the surface of Mars recently won the national NASA MINDS Challenge.
- Expanding Rural Ceramics Craft and Computational Fabrication: A Synergy is a project that combines New Mexico traditional crafts with modern technology.
- The UNM Alumni Association hosted a virtual STEM Career Fair to highlight job opportunities in New Mexico's innovative STEM job market, including the space, tech and biotech industries.
- The Rainforest Pitch competition, sponsored by UNM's Rainforest Innovations and the Comcast New Mexico Pitch Competition, recently awarded many students top prizes and opportunities to advance their ideas to the marketplace.

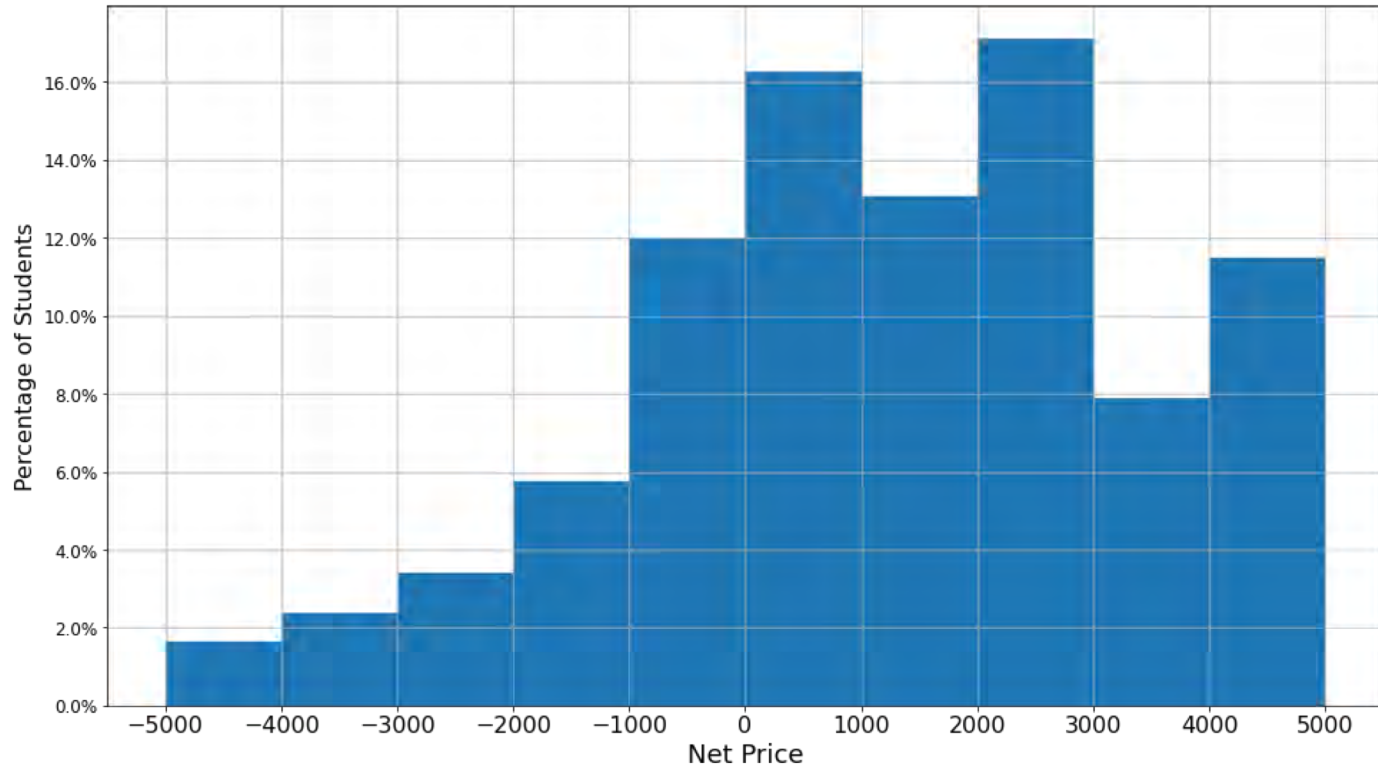
Deeper Dive into UNM Tuition



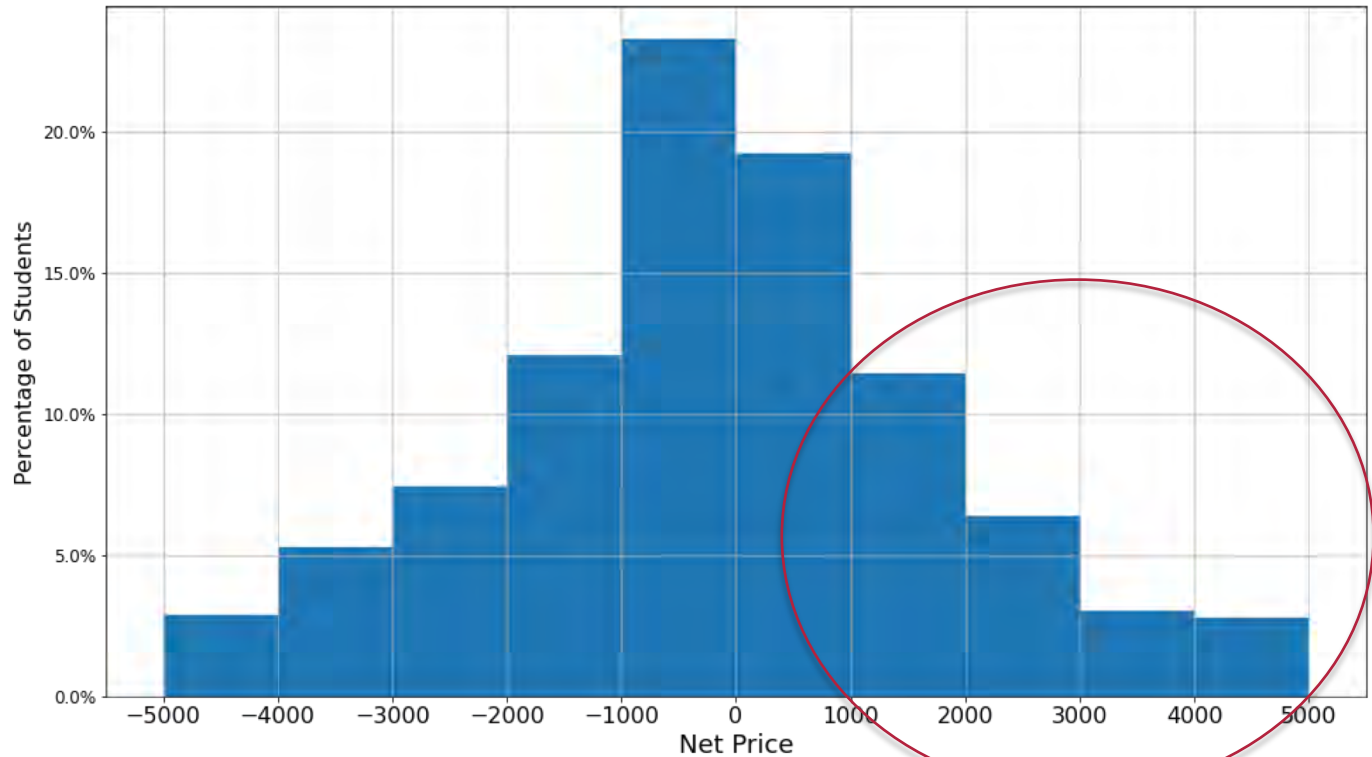
What Do Tuition Increases Support?



How Do We Ensure Affordability?



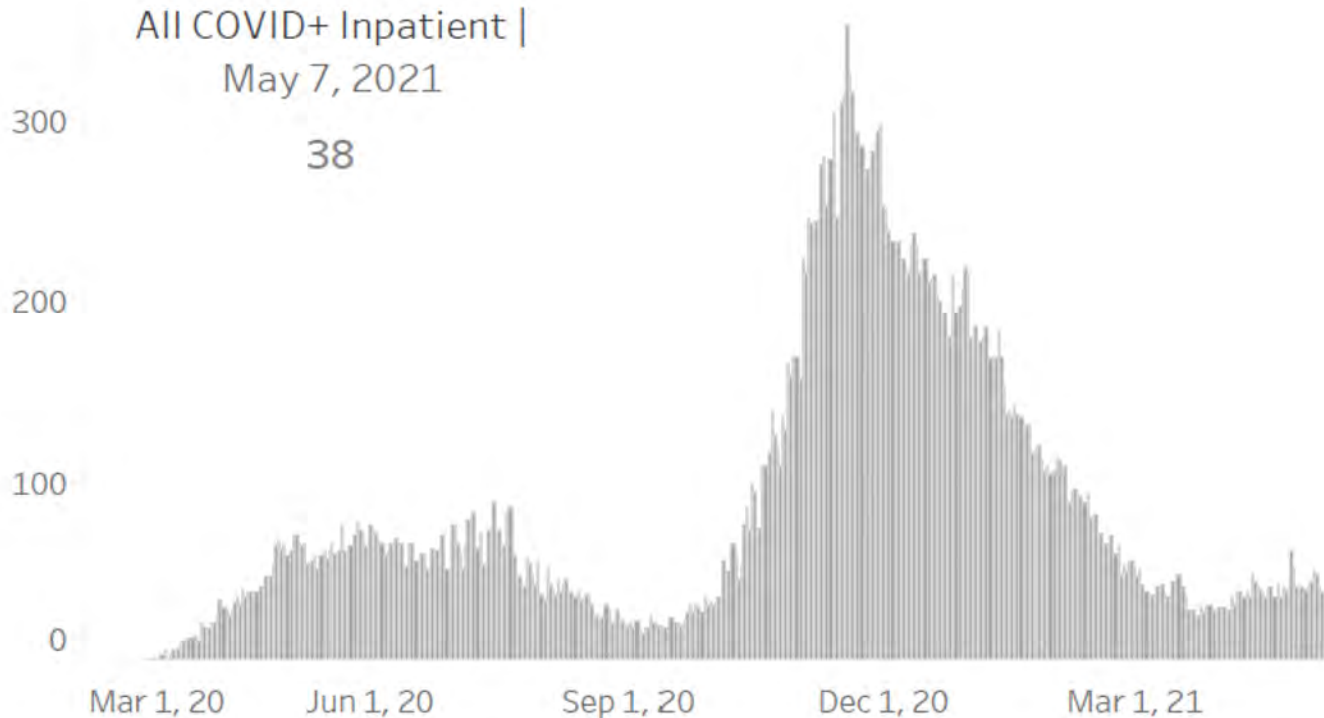
Net Price for Highest Need Students With 15-Hour Loads



Expanding Healthcare



UNM Hospital COVID Patients



UNM Hospital Tower



T H E U N I V E R S I T Y O F N E W M E X I C O

UNMH Tower



T H E U N I V E R S I T Y O F N E W M E X I C O

Construction Has Begun!



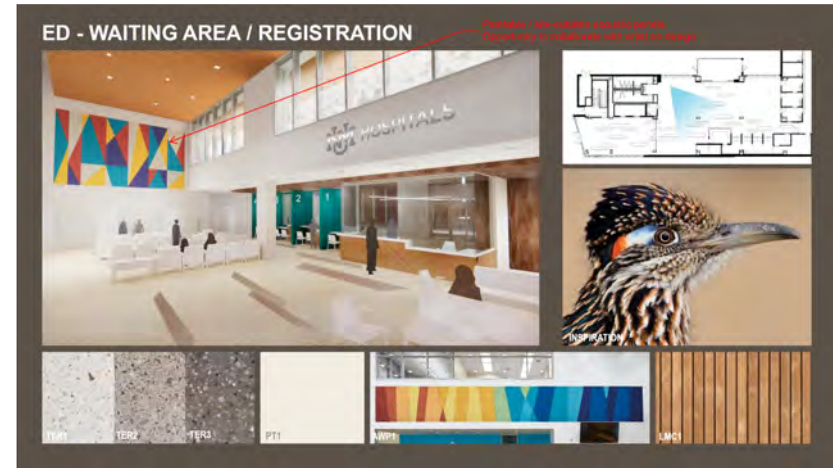
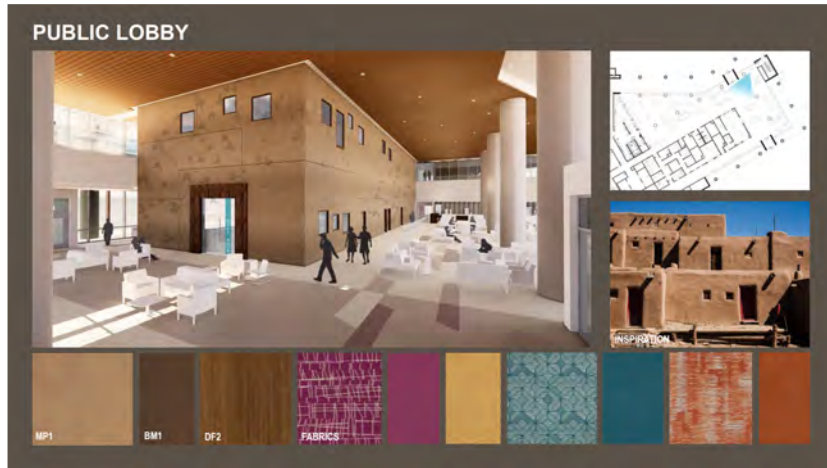
UNMH Tower Construction Site



Interior of New Hospital Tower



Design True to New Mexico



Planning for the Future



Supporting Economic Development



Albuquerque Economic Development
and
University of New Mexico

Working Draft
Strategy Discussion

April 28, 2021

HICKEYGLOBAL
ECONOMIC DEVELOPMENT CONSULTING

DRAFT / CONFIDENTIAL

UNM 2040: Opportunity Defined

Phase 1: Project Planning & Launch

(April-May 2021)

Phase 2: Listening Conversations

(April-August 2021)

Phase 3: Strategic Directives and Pathways

(August-October 2021)

Phase 4: Stakeholder Engagement

(November-December 2021)

Phase 5: Financial and Business Plan

(November 2021-January 2022)

Phase 6: Final Vision Plan

(January-February 2022)



OPPORTUNITY DEFINED

Details can be found at opportunity.unm.edu

President's Goals Update

President Stokes' 2021 and 2022 Goals and Objectives		Primary Responsibility	Others With Key Role	Primary Priority Area	6 Month Outcomes	12-18 Month Outcomes
Goal 1. Drive Aligned, Fiscally Strong Strategic Plan for UNM						
1.1	Develop the UNM 2040 vision including five and ten year strategic goals ensuring institutional leadership in the new higher education landscape.	Provost and EVP HS	SVP, UNMF CEO	Operational Strength	Engage the broader UNM community to develop the key outputs of the UNM 2040 <i>Opportunity Defined</i> plan	Present a 2040 vision and more medium term goals to the Regents
2.2	Align institutional offerings with positive post-graduation outcomes including employability, satisfaction in their chosen careers, good citizenship and socioeconomic advancement.	Provost		Student Success	Complete and analyze the Strada survey of UNM alums to provide information on positive post-graduation outcomes. Review current sources of information on student post-	Launch voter registration drive program as a Student Affairs initiative to encourage participation in the responsibilities of citizenship. Identify best practices for other co-

Thank you!

Questions?



Approval of Consent Docket

Action items on Regent's Committee agendas may be moved to the Board of Regents' consent docket; items on the consent docket received unanimous approval in committee; per Regents' Policy 1.2, "*Any member of the Board of Regents shall have the right upon request to remove any item from the Board's consent agenda and place the item on the Board's regular agenda for discussion.*"

- A. [SSTAR]* Amendments to the GPSA Constitution: C-1
 - 1. Amendment to Article VI. Elections; Section 2. Council Chair Election
 - 2. Amendment to Article VIII. Amendments; Section 1. Amendments
- B. [SSTAR] Amendments to the Staff Council Constitution:..... C-2
 - 1. Amendment to Article III. Membership; Sections 2 & 3
 - 2. Amendment to Article VI. Executive Committee; Sections 1 & 4
- C. [F&F]* Third-Qtr Financial Actions Report and Certification through March 31, 2021 and 3rd-Qtr Informational Consolidated Financial Report through March 31, 2021..... C-3
- D. [F&F] Third-Qtr Athletics' Enhanced Fiscal Oversight Program Report and Certification through March 31, 2021 and 3rd-Qtr Information on Athletics' Report by Sport through March 31, 2021 C-4
- E. [F&F] Approval of Projects: C-5
 - 1. UNM Valencia Campus Fire Suppression Upgrades
 - 2. Laguna & De Vargas Roof Replacement
 - 3. University House Exterior Repairs
- F. [F&F] Approval of Lobo Energy, Inc. Board Member Nominations:..... C-6
 - 1. John D. Word - reappointment
 - 2. Bruno E. Carrara – reappointment
 - 3. Thomas J. Ruiz – reappointment
- G. [HSCC]* Approval of New Hospital Tower Financing Items:..... C-7
 - 1. HUD Insured Mortgage Parameters Resolution
 - 2. HUD Insured Mortgage Pricing Resolution
 - 3. Pre-Commitment Work Resolution

* [SSTAR] Student Success, Teaching and Research Committee; [F&F] Finance & Facilities Committee; [HSCC] Health Sciences Center Committee



**Graduate and Professional Student Association (GPSA)
University of New Mexico**

BILL # 2021-01

SPRING 2021 SESSION

☒ Constitution ☐ Bylaw

Legislative Action: Proposed Legislation to amend *Article VI, Section 2: Council Chair Elections* of GPSA Constitution

Introduced by: [GPSA President Nikhileswara Reddy Naguru](#)

1st Reading: Aaron Cowan

Referred To: Legislative Steering Committee, LSC

2nd Reading: LSC

Committee Action: Passed and recommend to Council.

3rd Reading: GPSA Council

Council Action: Approved on Feb 13, 2021 meeting

GPSA Members' approval:

In favor: 167, Oppose: 50, Abstain: 35

Approved by majority during 2021 General Elections that happened from 29th March- 2nd April 2021.

Board of Regents:

In favor: Oppose: Abstain:

Bill Summary:

The purpose of this constitutional change is to give freedom to the council to decide when the council chair elections should take place.

Be it enacted by the Graduate and Professional Student Association at the University of New Mexico that the following be amended in Article VI, Section 2: Council Chair Elections of the GPSA Constitution:



ARTICLE VI. ELECTIONS

Section 2. Council Chair Election

- A. An election of the Council Chair shall occur at ~~the April~~ **a scheduled** Council meeting.
- B. The Council Chair is elected by a majority of votes of eligible representatives ~~at the April~~ **present at that** meeting.



**Graduate and Professional Student Association (GPSA)
University of New Mexico**

BILL # 2021-02

SPRING 2021 SESSION

☒ Constitution ☐ Bylaw

Legislative Action: Proposed Legislation to amend **ARTICLE VIII. AMENDMENTS** of GPSA Constitution

Introduced by: [GPSA President Nikhileswara Reddy Naguru](#)

1st Reading: Aaron Cowan

Referred To: Legislative Steering Committee, LSC

2nd Reading: LSC

Committee Action: Passed and recommend to Council.

3rd Reading: GPSA Council

Council Action: Approved on Feb 13, 2021 meeting

GPSA Members' approval:

In favor: 193, Oppose: 35, Abstain: 24

Approved by majority during 2021 General Elections that happened from 29th March- 2nd April 2021.

Board of Regents:

In favor: Oppose: Abstain:

Bill Summary:

The purpose of this constitutional change is to remove the restriction on when GPSA can consider constitutional amendments.

*Be it enacted by the Graduate and Professional Student Association at the University of New Mexico that the following be amended in **Article: VIII AMENDMENTS, Section: 1 Amendments** of the GPSA Constitution:*



ARTICLE VIII. AMENDMENTS

Section 1. Amendments

Requires a two-thirds (2/3) approval by Council Representatives present at a regular Council meeting, plurality approval by GPSA members voting in ~~the General~~ an Election, and approval by the Board of Regents.

UNIVERSITY OF NEW MEXICO STAFF COUNCIL CONSTITUTION

ARTICLE I: NAME

The name of the organization is the University of New Mexico Staff Council.

ARTICLE II: STATEMENT OF PURPOSE

- Section 1. Purpose. The purpose of the University of New Mexico Staff Council is to represent the interests of all staff, and to serve as an important source of input into the issues and decisions of the University as they relate to the general welfare of the staff of the University of New Mexico (UNM). The Staff Council shall represent UNM staff to the University administration, and the Staff Council president shall serve as an advisory member of the Board of Regents.
- Section 2. Authority. The Staff Council shall not replace or supersede the UNM Personnel Policies and Procedures but shall make recommendations to adopt or amend such policies and procedures. The Staff Council shall make recommendations regarding conditions of employment and shall work toward improving wages, hours and conditions of employment for the staff. UNM staff may participate, as individuals, in the Staff Council notwithstanding their employment in a position subject to a collective bargaining agreement. The Staff Council shall not supersede or replace collective bargaining units officially recognized by the University, nor shall it have any authority with respect to University collective bargaining agreement.

ARTICLE III: MEMBERSHIP

- Section 1. The University Staff
- (A) Any staff member employed by UNM in a regular, contract, or term position; who is at least half-time status; and who has worked at the University for at least six months is eligible both to run for membership on the Staff Council and vote for staff councilors, except those employed at the UNM branch campuses. The Council encourages full and equal participation and no staff member shall be denied full and equal participation for reasons of race, color, national origin, religion, ancestry, sex, age, physical and mental disability, serious medical condition, spousal affiliation, sexual orientation and gender identity.
 - (B) The UNM Staff Council shall be composed of 60 elected representatives from among staff. Each grade of staff shall have representation. The total number of representatives from the grades shall be 30 and shall be elected in odd numbered years. Each staff precinct, as determined by the Staff Council, shall have representation. The total number of representatives from the precincts shall be 30 and shall be elected in even-numbered years.
 - (C) A councilor may be removed for cause (malfeasance, misfeasance or nonfeasance) by an affirmative vote of forty councilors.
 - (D) Persons eligible to vote in Faculty elections shall not be considered staff. Staff holding temporary part-time faculty appointments shall be considered staff for purposes of this article.

- Section 2. ~~Ex Officio Members.~~ UNM Organization Liaisons. The Presidents, or their designees, of the Associated Students of UNM (ASUNM), Graduate and Professional Students Association (GPSA), Faculty Senate, Retiree Association, and the Alumni Association may serve as liaisons to the UNM Staff Council. ~~The Presidents, or their designees, of the Associated Students of UNM (ASUNM), Graduate Students Association (GSA), Faculty Senate, and the Alumni Association may be ex officio members of the UNM Staff Council without vote.~~
- Section 3. Administrative Staff Liaison. The President of the University shall designate a senior administrative staff member to serve as a liaison to the Staff Council. ~~The President of the University shall designate a senior member of his administrative staff to serve as a liaison to the Staff Council.~~

ARTIVLE IV: ELECTIONS AND VACANCIES

Elections shall be held in the spring semester. Elected members shall serve two-year terms. In the event of a vacancy in a seat on the Staff Council, the Speaker shall nominate a replacement from among the staff members in the vacant grade or precinct. Nominees shall require an affirmative vote of two-thirds of the Council members present before they are seated. Nominees shall serve for the remainder of the elected term to which they are appointed. The Council may adopt appropriate rules to carry out and enforce this article.

ARTICLE V: OFFICERS

- Section 1. The Staff Council shall elect from its membership a President, a President-Elect, a Speaker and a Treasurer.
- Section 2. The President shall serve as the Council's external representative to the University Community.
- Section 3. The Speaker shall preside at all meetings of the Staff Council and provide for the orderly control of those meetings.
- Section 4. The Treasurer shall develop and maintain accounting procedures and records for approval by the Council.
- Section 5. The President-Elect shall assist the President, and shall automatically succeed to the Office of the President should there be a vacancy in that Office. Upon the succession of the President-Elect to the Office of the President, the Staff shall elect a new President-.

ARTICLE VI EXECUTIVE COMMITTEE

- Section 1. An Executive Committee shall be established, composed of the Staff Council ~~members, including the~~ President, ~~the~~ President-Elect, ~~the~~ Immediate Past President, Speaker, Treasurer, two Members-at-Large representing grades, and two Members-at-Large representing precincts.
- Section 2. The Executive Committee shall set the agenda for all Staff Council meetings and represent Council decisions to the University. The Committee will receive issues for consideration of the Staff Council for entities outside the Council and make appropriate referrals to Staff Council committees. All referrals will be reported to the Council by the Speaker.

Section 3. Should a vacancy occur on the Executive Committee, the Staff Council will fill the vacancy at its next meeting.

Section 4. A Staff person shall be assigned [to the Staff Council to provide](#) administrative support, ~~for the Staff Council for record keeping and reporting. The administrative support person and~~ shall be a non-voting member of the Executive Committee.

ARTICLE VII: MEETINGS

The Staff Council shall hold an annual organizational meeting in the spring for the election of officers.

ARTICLE VIII: CONSTITUTION AND AMENDMENTS

Section 1. Constitution. The Constitution shall become effective on the date of ratification. Ratification requires two-thirds approval by Council members present at the Council meeting called for ratification, majority approval by staff voting in the ratification election and approval by the Board of Regents.

Section 2. Amendments. Amendments to this constitution may be proposed by UNM staff at a regular Staff Council meeting. Ratification of an amendment requires approval by two-thirds of the Staff Council members present at the ratification meeting, and majority approval by staff voting in the ratification election, and approval by the Board of Regents. Amendments become effective on the date of ratification by the Regents.

Section 3. Authority. This Constitution, including all amendments, shall be subject to modification by and approval of the Board of Regents of the University.

Approved by the UNM Board of Regents September 8, 1992

Amended: August 10, 1993

Amended: September 13, 1994

Amended: May 10, 2011

Amended: February 14, 2017

Amended: August 2, 2018




University Controller
Financial Services, Main Campus
Phone: (505) 277-5111
FAX: (505) 277-7662

MEMORANDUM

DATE: April 13, 2021

TO: Teresa Costantinidis
Senior Vice President for Finance & Administration

FROM: Elizabeth Metzger, CPA 
University Controller

RE: Two (2) Items: One (1) Action Item and One (1) Information Item for Board of Regents' Finance & Facilities Committee Meeting

Action Items.

The New Mexico Higher Education Department, Institutional Finance Division, Quarterly Financial Actions Report and Certification through March 31, 2021 needs to be approved at the May 4, 2021 Finance & Facilities Committee meeting.

Information Items.

The Monthly Consolidated Financial Reports for the month ended March 31, 2021 will be presented at the May 4, 2021 Finance & Facilities Committee meeting.

New Mexico Higher Education Department
Institutional Finance Division
Quarterly Financial Certification Report Template

Please complete and sign the following Financial Certification Report and submit with the Quarterly Financial Actions Report.

To the best of my knowledge, I certify that the information provided in the attached Financial Actions Report for the:

1st ____ 2nd ____ 3rd X 4th ____ Quarter, FY 2021

is correct as of the signature dates noted below, and that

The University of New Mexico

has a functioning financial accounting system that captures assets, liabilities, revenues, and expenditures on a timely basis, and the Governing Board receives timely notification of any significant actual or projected variances between budgeted and actual revenues and expenditures.

Sandra Begay, Chair, Board of Regents-F&F

Date

Garnett S. Stokes, President

Date

Teresa Costantinidis, Senior VP for Finance & Administration

Date

University of New Mexico

Quarterly Financial Actions Report

Fiscal year 2021

Date 4/21/2021

Period (check one)

Quarter 1 ☐ Quarter 2 ☐ Quarter 3 ☒ Quarter 4 ☐

During the period of time covered by this report; did your institution:

(1) Request an advance of state subsidy? Yes ☐ No ☒

(2) Fail to make its required payments, as scheduled, to appropriate retirement system(s)? Yes ☐ No ☒

(3) Fail to make its payroll payments, as scheduled? Yes ☐ No ☒

(4) Fail to make its scheduled debt service payments? Yes ☐ No ☒

(5) Fail to make payments to vendors, as scheduled, due to a cash deficiency or a substantial deficiency in the payment processing system? Yes ☐ No ☒

(6) Relative to the original fiscal year budget, experience any significant actual or anticipated financial changes that are not reflected in a submitted Budget Adjustment Request (BAR). Significant financial changes refers to fiscal activity that will result in a substantially reduced year-end fund balance or any increase in a fund balance deficit. *See note below Yes ☐ No ☒

If the answer to any of the above questions is "Yes," please describe in a separate document:

- (i) the reason for the occurrence,
- (ii) the actions taken by your institution to resolve this particular occurrence, and
- (iii) the actions taken by your institution to prevent events such as this from occurring again.

In addition, if the answer to number 6 is "Yes," please describe in a separate document the nature of the financial changes and describe and assess the impact that the changes will have on your institution's planned year-end financial position. (See attached.)

* - BAR's for FY21 were submitted to HED on or before May 3, 2021



Monthly Financial Report

Consolidated Total Operations Current Funds

Fiscal Year to Date as of March 31, 2021

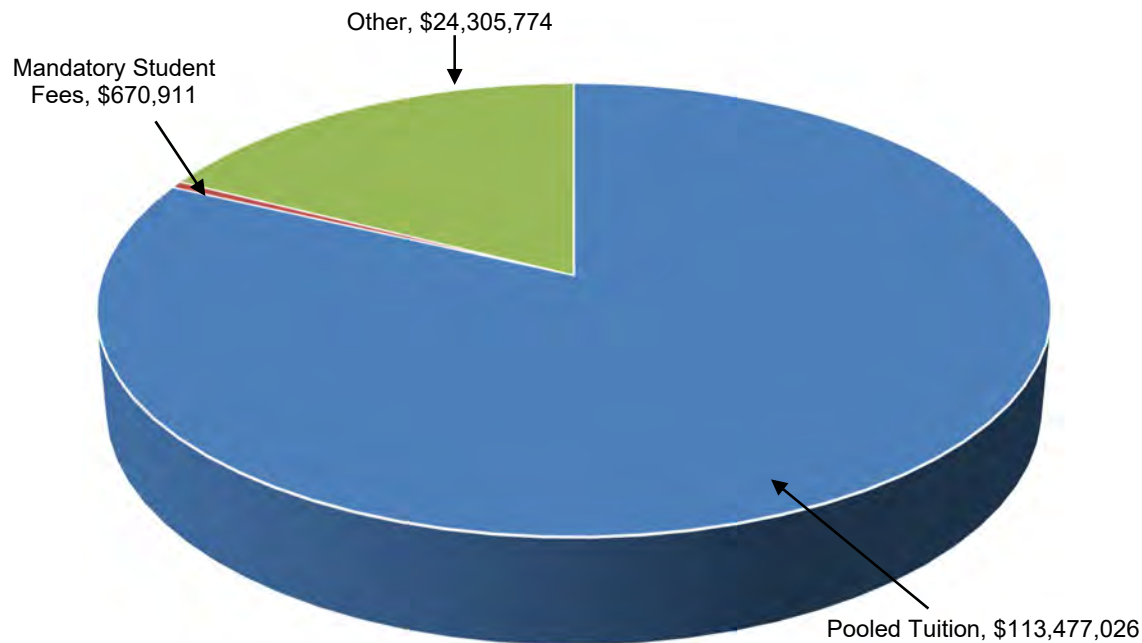
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Summary of Items in the Consolidated Financial Report As of March 31, 2021

- Instruction and General (Consolidated page 8, Main Campus page 14): Tuition and Fees - The graph below indicates the portion of Main Campuses I&G Tuition and Fee revenue that is pooled and allocated to the departments. The remainder of I&G Tuition and Fee revenue is distributed directly to the units, EX: tuition differential, course fees.

**Main Campus Tuition and Fees
as of March 31, 2021**



Other includes tuition differential, off-campus extension tuition, ASM Executive and Professional Education Center (EPEC) tuition, and various fee classifications (application, course, extended education, other student, testing binding, thesis, and reserves).

Budget	\$105,088,891
Difference	\$ 8,388,135

- HSC Clinical and Academic Operations (Consolidated page 10): Effective in FY20, HSC information was not presented as a separate report in the Consolidated Financial Report. HSC is presented in the Clinical Operations section that also includes UNM Hospitals, UNM Medical Group, and SRMC. (These actuals do not include intercompany eliminations.) Actuals for the current year and prior year through March are as follows:

Clinical Operations		FY21 – as of 03/31/21		FY20 – as of 03/31/20		Variance between FY2021 and FY2020
UNM HSC Academic Enterprise	\$	13,792,133	\$	14,244,830	\$	-452,697
UNM Hospitals Operations	\$	54,415,368	\$	26,943,464	\$	27,471,904
UNM Medical Group	\$	6,053,941	\$	-7,885,989	\$	13,939,930
SRMC	\$	250,219	\$	27,652	\$	222,567
Total	\$	74,511,661	\$	33,329,957	\$	41,181,704

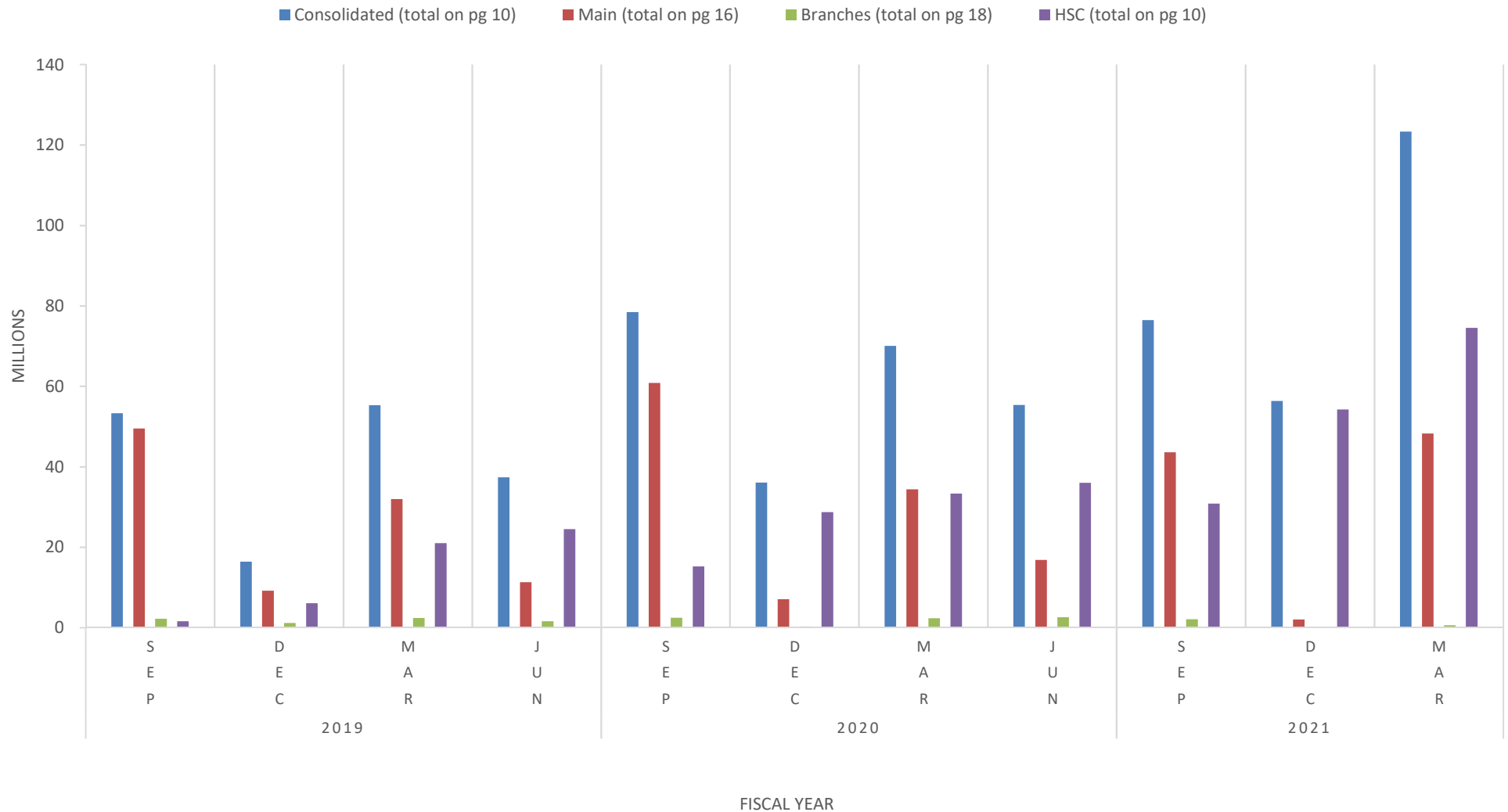
- COVID-19 CARE Funding Received in Fiscal Year 20-21 as of March 31, 2021:

Campus	Total
Main (HEERF II)	\$ 32,291,900
Gallup (HEERF II)	406,266
Los Alamos (HEERF II)	87,040
Valencia (HEERF II)	263,633
Taos (HEERF II)	173,285
Clinical Operations	67,875,026
Total	\$ 101,097,150

HEERF – Higher Education Emergency Relief Funds



CONSOLIDATED TOTAL OPERATIONS - 3 YEAR NET REVENUE
(NET REVENUE = TOTAL REVENUES LESS TOTAL EXPENSES FOR THE CONSOLIDATED AND EACH CAMPUS)
FISCAL YEAR TO DATE AS OF MARCH 31, 2021



Executive Budget Summary

University of New Mexico Consolidated Financial Report

Fiscal Year 2021 UNM Operating Budget with Revisions

This report covers current fund operations for the University, including Main Campus, Branch Campuses, and Clinical Operations including the HSC Campus.

Instruction and General's operations projects a use of reserves of \$1.0M for the Fiscal Year 2021 UNM Operating Budget with Revisions; \$9K net margin at the Main Campus and a \$1.0M use of reserves at the Branch Campuses. This includes the COVID-19 HEERF II Award (Higher Education Emergency Relief Funds). HSC's revised budget for I&G is now included in the Clinical Operations budget.

Unrestricted Research operations projects a use of reserves of \$2.8M for the Fiscal Year 2021 UNM Operating Budget with Revisions-all at the Main Campus. HSC's revised budget for research is now included in the Clinical Operations budget.

Unrestricted Public Service operations projects a use of reserves of \$2.7M for the Fiscal Year 2021 UNM Operating Budget with Revisions; \$2.6M use of reserves is at the Main Campus and a \$61K use of reserves is at the Branch Campuses. HSC's revised budget for Unrestricted Public Service is now included in the Clinical Operations budget.

Student Aid operations projects a use of reserves of \$9.9M for the Fiscal Year 2021 UNM Operating Budget with Revisions; \$9.9M use of reserves is at the Main Campus and a \$18K use of reserves is at the Branch Campuses. HSC's revised budget for Student Aid is now included in the Clinical Operations budget.

Student Activities are the operations of Student Government and Student organizations. The FY 2021 UNM Operating Budget with Revisions projects a positive net margin of \$118K. HSC's revised budget for Student Activities is now included in the Clinical Operations budget.

Auxiliaries and Athletics

The FY 2021 UNM Operating Budget with Revisions for Auxiliaries and Athletics projects a positive net margin of \$22.1M. This includes \$(416K) for any contingencies and COVID-19 HEERF II Award (Higher Education Emergency Relief Funds).

Sponsored Programs operations are our contract and grant research and public service projects funded by outside agencies and companies. These operations will always record a zero net revenue over expense by the nature of their funding. The agency pays for a service or research project, and normally any unspent funds must be returned to the agency.

Clinical Operations now includes HSC (UNM HSC Academic Enterprises), UNM Hospital, UNM Medical Group, and SRMC. The FY 2021 UNM Operating Budget with Revisions for Clinical Operations totals \$23,860,126 and is listed by unit below:

- UNM HSC Academic Enterprise as a budgeted net margin of \$(3,082,178)
- UNM Hospitals operations has a budgeted net margin of \$18,757,408
- UNM Medical Group operations has a budgeted net margin of \$8,180,158
- SRMC has a budgeted net margin of \$4,738

Statements of Revenues, Expenses and Changes in Net Position
For the nine month ended March 31, 2021
Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2021 Full Year Operating Budget with Revisions	FY 2021 Year-to-Date Actual	Fiscal YTD Favorable/(Unfavorable) Compared to Budget	Actual to Budget Benchmark Rate 75%	FY 2020 Year-to-Date Actual**	FY 2021 YTD Actual Change From FY 2020 YTD Actual
Instruction and General						
Tuition and Fees Revenues***						
Main Campus	127,246,269	138,453,711	11,207,442	109%	133,318,216	5,135,495
Branch Campuses	6,175,183	5,657,131	(518,052)	92%	6,525,596	(868,465)
Total Tuition and Fees Revenues	133,421,452	144,110,842	10,689,390	108%	139,843,812	4,267,030
State/Local Appropriations	216,629,067	162,471,802	(54,157,265)	75%	169,666,782	(7,194,980)
COVID-19 HEERF II Award (Higher Education Emergency Relief Funds)	3,025,340	3,025,340	-	100%	-	3,025,340
F & A Revenues	20,745,000	14,174,258	(6,570,742)	68%	15,238,034	(1,063,776)
Transfers	(53,151,906)	(46,783,103)	6,368,803	88%	(44,805,465)	(1,977,638)
Other Revenues	18,715,599	14,172,049	(4,543,550)	76%	13,500,163	671,886
Total Instruction and General Revenues	339,384,552	291,171,188	(48,213,364)	86%	293,443,326	(2,272,138)
Salaries	207,767,148	158,108,688	49,658,460	76%	162,486,386	4,377,698
Benefits	69,838,989	57,136,381	12,702,608	82%	56,817,563	(318,818)
Other Expenses	62,783,372	41,208,019	21,575,353	66%	46,591,411	5,383,392
Total Instruction and General Expenses	340,389,509	256,453,088	83,936,421	75%	265,895,360	9,442,272
Net Instruction and General Revenue (Expense)	(1,004,957)	34,718,100	35,723,057		27,547,966	7,170,134
Research						
State/Local Appropriations	1,805,950	1,354,463	(451,487)	75%	1,553,288	(198,825)
Transfers	15,812,779	11,545,088	(4,267,691)	73%	11,547,896	(2,808)
Other Revenues	264,110	747,336	483,226	283%	539,941	207,395
Total Research Revenues	17,882,839	13,646,887	(4,235,952)	76%	13,641,125	5,762
Salaries and Benefits	9,626,628	7,987,955	1,638,673	83%	7,695,402	(292,553)
Other Expenses	11,068,148	4,854,142	6,214,006	44%	5,728,586	874,444
Total Research Expenses	20,694,776	12,842,097	7,852,679	62%	13,423,988	581,891
Net Research Revenue (Expense)	(2,811,937)	804,790	3,616,727		217,137	587,653
Public Service						
State/Local Appropriations	5,253,250	3,939,939	(1,313,311)	75%	3,104,888	835,051
Sales and Services Revenues	4,329,111	4,421,994	92,883	102%	5,959,437	(1,537,443)
Gifts	8,432,938	9,302,362	869,424	110%	7,907,525	1,394,837
Transfers	(638,414)	(642,782)	(4,368)	101%	30,128	(672,910)
Other Revenues	4,728,661	2,017,255	(2,711,406)	43%	2,962,457	(945,202)
Total Public Service Revenues	22,105,546	19,038,768	(3,066,778)	86%	19,964,435	(925,667)
Salaries and Benefits	12,156,426	8,787,967	3,368,459	72%	8,962,110	174,143
Other Expenses	12,606,126	5,846,161	6,759,965	46%	8,538,895	2,692,734
Total Public Service Expenses	24,762,552	14,634,128	10,128,424	59%	17,501,005	2,866,877
Net Public Service Revenue (Expense)	(2,657,006)	4,404,640	7,061,646		2,463,430	1,941,210

Statements of Revenues, Expenses and Changes in Net Position
For the nine month ended March 31, 2021
Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2021 Full Year Operating Budget with Revisions	FY 2021 Year-to-Date Actual	Fiscal YTD Favorable/(Unfavorable) Compared to Budget	Actual to Budget Benchmark Rate 75%	FY 2020 Year-to-Date Actual**	FY 2021 YTD Actual Change From FY 2020 YTD Actual
Student Aid						
Gifts	6,419,137	5,297,369	(1,121,768)	83%	4,752,920	544,449
State Lottery Scholarship***	23,450,000	23,450,000	-	100%	23,728,994	(278,994)
Transfers	18,905,796	14,564,871	(4,340,925)	77%	13,816,266	748,605
Other Revenues	632,034	292,903	(339,131)	46%	241,916	50,987
Total Student Aid Revenues	49,406,967	43,605,143	(5,801,824)	88%	42,540,096	1,065,047
Salaries and Benefits	1,861,660	1,764,672	96,988	95%	1,541,879	(222,793)
Other Expenses	57,440,169	43,594,897	13,845,272	76%	39,499,960	(4,094,937)
Total Student Aid Expenses	59,301,829	45,359,569	13,942,260	76%	41,041,839	(4,317,730)
Net Student Aid Revenue (Expense)	(9,894,862)	(1,754,426)	8,140,436		1,498,257	(3,252,683)
Student Social & Cultural Programs						
Fee Revenues***	7,422,767	6,094,542	(1,328,225)	82%	7,295,632	(1,201,090)
Sales and Services Revenues	912,907	214,015	(698,892)	23%	678,198	(464,183)
Transfers	570,873	332,923	(237,950)	58%	531,235	(198,312)
Other Revenues	51,472	34,512	(16,960)	67%	58,197	(23,685)
Total Student Social & Cultural Programs Revenues	8,958,019	6,675,992	(2,282,027)	75%	8,563,262	(1,887,270)
Salaries and Benefits	5,836,122	3,014,358	2,821,764	52%	3,866,672	852,314
Other Expenses	3,003,553	1,879,471	1,124,082	63%	3,103,347	1,223,876
Total Student Social & Cultural Programs Expenses	8,839,675	4,893,829	3,945,846	55%	6,970,019	2,076,190
Net Student Social & Cultural Programs Revenue (Expense)	118,344	1,782,163	1,663,819		1,593,243	188,920
Auxiliaries and Athletics						
Branch Campuses Auxiliary Revenues	1,838,085	531,202	(1,306,883)	29%	1,215,862	(684,660)
Main Campus Auxiliaries Revenues	55,751,761	30,188,026	(25,563,735)	54%	37,691,744	(7,503,718)
Athletics Revenues	42,328,869	24,261,886	(18,066,983)	57%	22,963,559	1,298,327
Total Auxiliaries and Athletics Revenues	99,918,715	54,981,114	(44,937,601)	55%	61,871,165	(6,890,051)
Branch Campuses Auxiliary Expenses	2,138,085	875,546	1,262,539	41%	1,277,407	401,861
Main Campus Auxiliaries Expenses	43,840,434	24,712,030	19,128,404	56%	31,983,358	7,271,328
Athletics Expenses	31,858,487	20,524,451	11,334,036	64%	25,210,262	4,685,811
Total Auxiliaries and Athletics Expenses	77,837,006	46,112,027	31,724,979	59%	58,471,027	12,359,000
Net Auxiliaries and Athletics Revenue (Expense)	22,081,709	8,869,087	(13,212,622)		3,400,138	5,468,949

Statements of Revenues, Expenses and Changes in Net Position
For the nine month ended March 31, 2021
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University of New Mexico - Consolidated Total Operations Current Funds

	FY 2021 Full Year Operating Budget with Revisions	FY 2021 Year-to-Date Actual	Fiscal YTD Favorable/(Unfavorable) Compared to Budget	Actual to Budget Benchmark Rate 75%	FY 2020 Year-to-Date Actual**	FY 2021 YTD Actual Change From FY 2020 YTD Actual
Sponsored Programs						
Federal Grants and Contracts Revenues	144,191,462	98,169,422	(46,022,040)	68%	99,819,004	(1,649,582)
COVID-19 HEERF II Award (Higher Education Emergency Relief Funds)	8,633,256	9,667,930	1,034,674	112%	8,593,463	1,074,467
State and Local Grants and Contracts Revenues	18,948,148	12,513,013	(6,435,135)	66%	13,957,926	(1,444,913)
Non-Governmental Grants and Contracts Revenues	12,800,000	7,596,949	(5,203,051)	59%	8,426,323	(829,374)
Gifts	50,000	-	(50,000)	0%	-	-
Transfers	-	866,667	866,667	N/A	1,030,963	(164,296)
Other Revenues	-	-	-	N/A	-	-
Total Sponsored Programs Revenues	184,622,866	128,813,981	(55,808,885)	70%	131,827,679	(3,013,698)
Salaries and Benefits	74,500,832	44,150,357	30,350,475	59%	45,183,499	1,033,142
COVID-19 HEERF II Award (Higher Education Emergency Relief Funds)	8,633,256	9,667,930	(1,034,674)	112%	8,593,463	(1,074,467)
Other Expenses	101,488,778	74,995,694	26,493,084	74%	78,050,717	3,055,023
Total Sponsored Programs Expenses	184,622,866	128,813,981	55,808,885	70%	131,827,679	3,013,698
Net Sponsored Programs Revenue (Expense)	-	-	-		-	-
HSC Clinical and Academic Operations*						
State/Local Appropriations	104,799,431	78,423,630	(26,375,801)	75%	79,656,204	(1,232,574)
Capital Appropriations	-	-	-	N/A	33,588,918	(33,588,918)
UNM Medical Group Revenues	439,945,555	304,669,570	(135,275,985)	69%	332,042,818	(27,373,248)
UNM Hospitals Revenues	1,328,034,201	1,078,220,146	(249,814,055)	81%	969,195,988	109,024,158
SRMC Revenues	108,876,818	71,370,606	(37,506,212)	66%	72,243,511	(872,905)
Tuition and Fees Revenue (Earned Only)	30,612,386	22,959,290	(7,653,096)	75%	22,992,080	(32,790)
F&A Revenues	25,500,000	22,322,167	(3,177,833)	88%	19,558,572	2,763,595
Mil Levy (Sandoval & Bernalillo Counties)	116,983,023	85,585,643	(31,397,380)	73%	84,265,307	1,320,336
Contract and Grant Revenues	180,662,420	156,428,873	(24,233,547)	87%	117,692,432	38,736,441
Transfers	6,925,853	2,235,535	(4,690,318)	32%	4,512,823	(2,277,288)
CARES ACT Funding	-	67,875,026	67,875,026	N/A	-	67,875,026
Other Revenues	102,512,570	84,061,072	(18,451,498)	82%	81,649,225	2,411,847
Total Clinical Operations Revenues	2,444,852,257	1,974,151,558	(470,700,699)	81%	1,817,397,878	156,753,680
Salaries and Benefits	1,165,076,761	928,811,735	236,265,026	80%	814,066,874	(114,744,861)
Contract and Grant Expenses	164,121,671	142,755,165	21,366,506	87%	105,428,359	(37,326,806)
Committed for Capital Projects	30,000,000	30,000,000	-	100%	33,588,918	3,588,918
Other Expenses	1,061,793,699	798,072,997	263,720,702	75%	830,983,770	32,910,773
Total Clinical Operations Expenses	2,420,992,131	1,899,639,897	521,352,234	78%	1,784,067,921	(115,571,976)
Net Clinical Operations Revenue (Expense)	23,860,126	74,511,661	50,651,535		33,329,957	41,181,704
Net Current Revenue (Expense)	29,691,417	123,336,015	93,644,598		70,050,128	53,285,887

* Does not include intercompany eliminations

** Prior year actuals may have been reclassified in order to be consistent with the 2021 presentation

*** Tuition/Fees, Lottery Scholarship, and Student Fees have a benchmark of 100%

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University of New Mexico - Main Campus Athletics & Auxiliary Operations

	FY 2021 Full Year Operating Budget with Revisions	FY 2021 Year-to-Date Actual	Fiscal YTD Favorable/(Unfavorable) Compared to Budget	Actual to Budget Benchmark Rate 75%	FY 2020 Year-to-Date Actual**	FY 2021 YTD Actual Change From FY 2020 YTD Actual
Results of Athletics Operations:						
Athletics Revenues	31,251,524	13,351,429	(17,900,095)	43%	23,144,278	(9,792,849)
COVID-19 HEERF II Award (Higher Education Emergency Relief Funds)	10,470,381	10,470,381	-	100%	-	10,470,381
Athletics Transfers	606,964	440,076	(166,888)	73%	(180,719)	620,795
Total Athletics Revenues	42,328,869	24,261,886	(18,066,983)	57%	22,963,559	1,298,327
Athletics Expenses						
Salaries and Benefits	14,137,567	9,512,684	4,624,883	67%	10,198,398	685,714
Grant-in-Aid	-	3,633,841	(3,633,841)	N/A	3,684,767	50,926
Other Expenses	17,720,920	7,377,926	10,342,994	42%	11,327,097	3,949,171
Total Athletics Expenses	31,858,487	20,524,451	11,334,036	64%	25,210,262	4,685,811
Total Net Athletics Revenue (Expense)	10,470,382	3,737,435	(6,732,947)		(2,246,703)	5,984,138
Results of Auxiliary Operations:						
VP for Institutional Support Services						
Bookstore Revenues	9,512,702	5,092,131	(4,420,571)	54%	7,971,233	(2,879,102)
Bookstore Transfers	(341,281)	(255,961)	85,320	75%	(262,500)	6,539
Total Bookstore Revenues	9,171,421	4,836,170	(4,335,251)	53%	7,708,733	(2,872,563)
Total Bookstore Expenses	8,565,099	5,964,811	2,600,288	70%	7,742,665	1,777,854
Net Bookstore Revenue (Expense)	606,322	(1,128,641)	(1,734,963)		(33,932)	(1,094,709)
University Club Revenues	68,000	19,720	(48,280)	29%	32,307	(12,587)
University Club Expenses	68,000	30,419	37,581	45%	47,148	16,729
Net Faculty & Staff Club Revenue (Expense)	-	(10,699)	(10,699)		(14,841)	4,142
Food Service/Dining Revenues	1,425,434	948,419	(477,015)	67%	1,999,852	(1,051,433)
Food Service/Dining Transfers	(130,559)	(10,000)	120,559	8%	(37,500)	27,500
Total Food Service/Dining Revenues	1,294,875	938,419	(356,456)	72%	1,962,352	(1,023,933)
Total Food Service/Dining Expenses	1,294,875	668,990	625,885	52%	809,841	140,851
Net Food Service/Dining Revenue (Expense)	-	269,429	269,429		1,152,511	(883,082)
Golf Courses Revenues	2,513,305	1,658,007	(855,298)	66%	1,352,179	305,828
Golf Courses Transfers	(39,252)	(29,439)	9,813	75%	(29,439)	-
Total Golf Courses Revenues	2,474,053	1,628,568	(845,485)	66%	1,322,740	305,828
Total Golf Courses Expenses	2,345,491	1,807,567	537,924	77%	1,743,198	(64,369)
Net Golf Courses Revenue (Expense)	128,562	(178,999)	(307,561)		(420,458)	241,459
Housing Revenues	9,501,000	4,766,818	(4,734,182)	50%	9,574,528	(4,807,710)
Housing Transfers	(1,497,001)	(1,153,504)	343,497	77%	(1,509,996)	356,492
Total Housing Revenues	8,003,999	3,613,314	(4,390,685)	45%	8,064,532	(4,451,218)
Total Housing Expense	7,127,639	4,732,748	2,394,891	66%	5,046,052	313,304
Net Housing Revenue (Expense)	876,360	(1,119,434)	(1,995,794)		3,018,480	(4,137,914)

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University of New Mexico - Main Campus Athletics & Auxiliary Operations

	FY 2021 Full Year Operating Budget with Revisions	FY 2021 Year-to-Date Actual	Fiscal YTD Favorable/(Unfavorable) Compared to Budget	Actual to Budget Benchmark Rate 75%	FY 2020 Year-to-Date Actual**	FY 2021 YTD Actual Change From FY 2020 YTD Actual
Lobo Cash Revenues	69,000	73,918	4,918	107%	65,672	8,246
Lobo Cash Expenses	69,000	16,157	(52,843)	23%	27,411	11,254
Net Lobo Cash Revenue (Expense)	-	57,761	57,761		38,261	19,500
Other Revenues	1,906,000	680,000	(1,226,000)	36%	983,000	(303,000)
Other Transfers	(2,457,000)	(1,107,688)	1,349,312	45%	(832,500)	(275,188)
Total Other Revenues	(551,000)	(427,688)	123,312	78%	150,500	(578,188)
Total Other Expense	(478,271)	(9,710)	(468,561)	2%	-	9,710
Net Other Revenue (Expense)	(72,729)	(417,978)	(345,249)		150,500	(568,478)
Parking and Transportation Revenues	6,617,888	2,997,697	(3,620,191)	45%	6,024,409	(3,026,712)
Parking and Trans Transfers	(1,645,195)	(1,097,882)	547,313	67%	(1,326,396)	228,514
Total Parking and Trans Revenues	4,972,693	1,899,815	(3,072,878)	38%	4,698,013	(2,798,198)
Total Parking and Trans Expenses	4,688,002	3,624,656	1,063,346	77%	4,086,918	462,262
Net Parking and Trans Revenue (Expense)	284,691	(1,724,841)	(2,009,532)		611,095	(2,335,936)
Popejoy Events Revenues	9,627,030	56,915	(9,570,115)	1%	3,916,455	(3,859,540)
Popejoy Events Transfers	422,460	-	(422,460)	0%	-	-
Total Popejoy Events Revenues	10,049,490	56,915	(9,992,575)	1%	3,916,455	(3,859,540)
Total Popejoy Events Expenses	9,603,338	1,045,981	8,557,357	11%	4,588,049	3,542,068
Net Popejoy Events Revenue (Expense)	446,152	(989,066)	(1,435,218)		(671,594)	(317,472)
Taos & Lawrence Ranch Revenues	55,000	55,000	-	100%	55,000	-
Taos & Lawrence Ranch Expenses	55,000	36,547	18,453	66%	38,759	2,212
Net Taos & Lawrence Ranch Revenue (Expense)	-	18,453	18,453		16,241	2,212
Ticketing Services Revenues	540,000	8,948	(531,052)	2%	393,115	(384,167)
Ticketing Services Transfers	-	-	-	N/A	-	-
Total Ticketing Services Revenues	540,000	8,948	(531,052)	2%	393,115	(384,167)
Total Ticketing Services Expenses	540,000	250,923	289,077	46%	401,464	150,541
Net Ticketing Services Revenue (Expense)	-	(241,975)	(241,975)		(8,349)	(233,626)
COVID-19 HEERF II Award (Higher Education Emergency Relief Funds)	10,058,473	10,058,473	-	100%	-	10,058,473
Total VP for Institutional Support Services Revenues	46,206,004	22,761,572	(23,444,432)	49%	28,369,419	(5,607,847)
Total VP for Institutional Support Services Expenses	33,878,173	18,169,089	15,709,084	54%	24,531,505	6,362,416
Net VP for Institutional Support Services Revenue (Expense)	12,327,831	4,592,483	(7,735,348)		3,837,914	754,569

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University of New Mexico - Main Campus Athletics & Auxiliary Operations

	FY 2021 Full Year Operating Budget with Revisions	FY 2021 Year-to-Date Actual	Fiscal YTD Favorable/(Unfavorable) Compared to Budget	Actual to Budget Benchmark Rate 75%	FY 2020 Year-to-Date Actual**	FY 2021 YTD Actual Change From FY 2020 YTD Actual
VP for Student Affairs						
Student Health Center Revenues	6,922,416	5,651,210	(1,271,206)	82%	6,967,727	(1,316,517)
Student Health Center Expenses	6,922,416	5,139,739	1,782,677	74%	5,546,096	406,357
Net Student Health Center Revenue (Expense)	-	511,471	511,471		1,421,631	(910,160)
Student Union Revenues	2,172,775	1,721,127	(451,648)	79%	2,128,396	(407,269)
Student Union Expenses	2,172,775	1,314,924	857,851	61%	1,666,159	351,235
Net Student Union Revenue (Expense)	-	406,203	406,203		462,237	(56,034)
Total VP for Student Affairs Revenues	9,095,191	7,372,337	(1,722,854)	81%	9,096,123	(1,723,786)
Total VP for Student Affairs Expenses	9,095,191	6,454,663	2,640,528	71%	7,212,255	757,592
Net VP for Student Affairs Revenue (Expense)	-	917,674	917,674		1,883,868	(966,194)
Provost and Other Units						
Art Museum Revenues	300	-	(300)	0%	611	(611)
Art Museum Expenses	300	(161)	461	-54%	5,132	5,293
Net Art Museum Revenue (Expense)	-	161	161		(4,521)	4,682
CE Conference Ctr Revenues	140,000	13,404	(126,596)	10%	113,100	(99,696)
CE Conference Ctr Transfers	(66,218)	803	67,021	-1%	(26,629)	27,432
Total CE Conference Ctr Revenues	73,782	14,207	(59,575)	19%	86,471	(72,264)
Total CE Conference Ctr Expenses	73,782	23,974	49,808	32%	125,864	101,890
Net CE Conference Ctr Revenue (Expense)	-	(9,767)	(9,767)		(39,393)	29,626
Maxwell Museum Revenues	40,121	17,082	(23,039)	43%	25,145	(8,063)
Maxwell Museum Expenses	40,121	5,602	34,519	14%	6,096	494
Net Maxwell Museum Revenue (Expense)	-	11,480	11,480		19,049	(7,569)
Other Revenues	44,330	22,828	(21,502)	51%	113,975	(91,147)
Other Expenses	44,464	58,863	(14,399)	132%	102,506	43,643
Net Other Revenue (Expense)	(134)	(36,035)	(35,901)		11,469	(47,504)
Total Provost and Other Units Revenues	158,533	54,117	(104,416)	34%	226,202	(172,085)
Total Provost and Other Units Expenses	158,667	88,278	70,389	56%	239,598	151,320
Net Provost and Other Units Revenue (Expense)	(134)	(34,161)	(34,027)		(13,396)	(20,765)
Auxiliary Totals						
Total Auxiliary Revenues	55,751,761	30,188,026	(25,563,735)	54%	37,691,744	(7,503,718)
Total Auxiliary Expenses	43,840,434	24,712,030	19,128,404	56%	31,983,358	7,271,328
Net Auxiliary Revenue (Expense)	11,911,327	5,475,996	(6,435,331)		5,708,386	(232,390)
Net Athletics Revenue (Expense)	10,470,382	3,737,435	(6,732,947)		(2,246,703)	5,984,138
Net Auxiliary and Athletics Revenue (Expense)	22,381,709	9,213,431	(13,168,278)		3,461,683	5,751,748
Net Branch Campuses Aux Revenue (Expense)	(300,000)	(344,344)	(44,344)		(61,545)	(282,799)
Net All Auxiliary and Athletics Revenue (Expense)	22,081,709	8,869,087	(13,212,622)		3,400,138	5,468,949

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Main Campus - Total Operations Current Funds

	FY 2021 Full Year Operating Budget with Revisions	FY 2021 Year-to-Date Actual	Fiscal YTD Favorable/(Unfavorable) Compared to Budget	Actual to Budget Benchmark Rate 75%	FY 2020 Year-to-Date Actual**	FY 2021 YTD Actual Change From FY 2020 YTD Actual
Instruction and General						
Tuition and Fees Revenues***	127,246,269	138,453,711	11,207,442	109%	133,318,216	5,135,495
State/Local Appropriations	187,238,162	140,428,622	(46,809,540)	75%	147,103,650	(6,675,028)
COVID-19 HEERF II Award (Higher Education Emergency Relief Funds)	2,095,116	2,095,116	-	100%	-	2,095,116
F & A Revenues	20,745,000	14,174,258	(6,570,742)	68%	15,238,034	(1,063,776)
Transfers	(51,992,189)	(42,361,749)	9,630,440	81%	(42,543,272)	181,523
Other Revenues	17,797,428	13,476,282	(4,321,146)	76%	12,704,751	771,531
Total Instruction and General Revenues	303,129,786	266,266,240	(36,863,546)	88%	265,821,379	444,861
Salaries	186,042,647	142,872,823	43,169,824	77%	146,730,402	3,857,579
Benefits	62,800,914	52,081,541	10,719,373	83%	51,794,713	(286,828)
Other Expenses	54,277,504	37,220,820	17,056,684	69%	41,782,095	4,561,275
Total Instruction and General Expenses	303,121,065	232,175,184	70,945,881	77%	240,307,210	8,132,026
Net Instruction and General Revenue (Expense)	8,721	34,091,056	34,082,335		25,514,169	8,576,887
Research						
State/Local Appropriations	1,805,950	1,354,463	(451,487)	75%	1,553,288	(198,825)
Transfers	15,812,779	11,545,088	(4,267,691)	73%	11,547,896	(2,808)
Other Revenues	264,110	747,336	483,226	283%	539,941	207,395
Total Research Revenues	17,882,839	13,646,887	(4,235,952)	76%	13,641,125	5,762
Salaries and Benefits	9,626,628	7,987,955	1,638,673	83%	7,695,402	(292,553)
Other Expenses	11,068,148	4,854,142	6,214,006	44%	5,728,586	874,444
Total Research Expenses	20,694,776	12,842,097	7,852,679	62%	13,423,988	581,891
Net Research Revenue (Expense)	(2,811,937)	804,790	3,616,727		217,137	587,653
Public Service						
State/Local Appropriations	5,253,250	3,939,939	(1,313,311)	75%	3,104,888	835,051
Sales and Services Revenues	4,087,811	4,206,281	118,470	103%	5,663,766	(1,457,485)
Gifts	8,312,530	9,098,752	786,222	109%	7,530,025	1,568,727
Transfers	(638,414)	(594,408)	44,006	93%	26,128	(620,536)
Other Revenues	4,568,184	1,927,072	(2,641,112)	42%	2,803,892	(876,820)
Total Public Service Revenues	21,583,361	18,577,636	(3,005,725)	86%	19,128,699	(551,063)
Salaries and Benefits	11,810,044	8,476,335	3,333,709	72%	8,620,323	143,988
Other Expenses	12,369,290	5,675,153	6,694,137	46%	8,267,714	2,592,561
Total Public Service Expenses	24,179,334	14,151,488	10,027,846	59%	16,888,037	2,736,549
Net Public Service Revenue (Expense)	(2,595,973)	4,426,148	7,022,121		2,240,662	2,185,486

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the nine month ended March 31, 2021
Preliminary and Unaudited

Main Campus - Total Operations Current Funds

	FY 2021 Full Year Operating Budget with Revisions	FY 2021 Year-to-Date Actual	Fiscal YTD Favorable/(Unfavorable) Compared to Budget	Actual to Budget Benchmark Rate 75%	FY 2020 Year-to-Date Actual**	FY 2021 YTD Actual Change From FY 2020 YTD Actual
Student Aid						
Private Grants/Gifts	6,334,587	5,209,354	(1,125,233)	82%	4,663,573	545,781
State Lottery Scholarships***	23,450,000	23,450,000	-	100%	23,728,994	(278,994)
Transfers	18,512,536	14,167,053	(4,345,483)	77%	13,419,458	747,595
Other Revenues	615,034	281,621	(333,413)	46%	227,783	53,838
Total Student Aid Revenues	48,912,157	43,108,028	(5,804,129)	88%	42,039,808	1,068,220
Salaries and Benefits	1,861,660	1,764,672	96,988	95%	1,541,879	(222,793)
Other Expenses	56,927,772	43,308,099	13,619,673	76%	39,089,772	(4,218,327)
Total Student Aid Expenses	58,789,432	45,072,771	13,716,661	77%	40,631,651	(4,441,120)
Net Student Aid Revenue (Expense)	(9,877,275)	(1,964,743)	7,912,532		1,408,157	(3,372,900)
Student Social & Cultural Programs						
Fee Revenues***	7,214,397	5,926,558	(1,287,839)	82%	7,105,079	(1,178,521)
Sales and Services Revenues	908,907	211,900	(697,007)	23%	669,605	(457,705)
Transfers	610,873	372,923	(237,950)	61%	571,735	(198,812)
Other Revenues	47,772	34,512	(13,260)	72%	58,197	(23,685)
Total Student Social & Cultural Programs Revenues	8,781,949	6,545,893	(2,236,056)	75%	8,404,616	(1,858,723)
Salaries and Benefits	5,828,283	3,013,382	2,814,901	52%	3,863,860	850,478
Other Expenses	2,832,422	1,837,753	994,669	65%	2,974,546	1,136,793
Total Student Social & Cultural Programs Expenses	8,660,705	4,851,135	3,809,570	56%	6,838,406	1,987,271
Net Student Social & Cultural Programs Revenue (Expense)	121,244	1,694,758	1,573,514		1,566,210	128,548
Auxiliaries						
Auxiliaries Revenues	55,751,761	30,188,026	(25,563,735)	54%	37,691,744	(7,503,718)
Athletics Revenues	42,328,869	24,261,886	(18,066,983)	57%	22,963,559	1,298,327
Total Auxiliaries Revenues	98,080,630	54,449,912	(43,630,718)	56%	60,655,303	(6,205,391)
Auxiliaries Expenses	43,840,434	24,712,030	19,128,404	56%	31,983,358	7,271,328
Athletics Expenses	31,858,487	20,524,451	11,334,036	64%	25,210,262	4,685,811
Total Auxiliaries Expenses	75,698,921	45,236,481	30,462,440	60%	57,193,620	11,957,139
Net Auxiliaries and Athletics Revenue (Expense)	22,381,709	9,213,431	(13,168,278)		3,461,683	5,751,748

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the nine month ended March 31, 2021
Preliminary and Unaudited

Main Campus - Total Operations Current Funds

	FY 2021 Full Year Operating Budget with Revisions	FY 2021 Year-to-Date Actual	Fiscal YTD Favorable/(Unfavorable) Compared to Budget	Actual to Budget Benchmark Rate 75%	FY 2020 Year-to-Date Actual**	FY 2021 YTD Actual Change From FY 2020 YTD Actual
Sponsored Programs						
Federal Grants and Contracts Revenues	135,833,632	93,846,841	(41,986,791)	69%	95,210,421	(1,363,580)
COVID-19 HEERF II Award (Higher Education Emergency Relief Funds)	8,633,256	9,667,930	1,034,674	112%	8,593,463	1,074,467
State and Local Grants and Contracts Revenues	17,087,338	11,722,926	(5,364,412)	69%	12,938,480	(1,215,554)
Non-Governmental Grants and Contracts Revenues	12,600,000	7,250,940	(5,349,060)	58%	8,121,665	(870,725)
Transfers	-	866,667	866,667	N/A	1,030,963	(164,296)
Total Sponsored Programs Revenues	174,154,226	123,355,304	(50,798,922)	71%	125,894,992	(2,539,688)
Salaries and Benefits	67,960,226	40,327,619	27,632,607	59%	41,417,232	1,089,613
COVID-19 HEERF II Award (Higher Education Emergency Relief Funds)	8,633,256	9,667,930	(1,034,674)	112%	8,593,463	(1,074,467)
Other Expenses	97,560,744	73,359,755	24,200,989	75%	75,884,297	2,524,542
Total Sponsored Programs Expenses	174,154,226	123,355,304	50,798,922	71%	125,894,992	2,539,688
Net Sponsored Programs Revenue (Expense)	-	-	-		-	-
Net Current Revenue (Expense)	7,226,489	48,265,440	41,038,951		34,408,018	13,857,422

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the nine month ended March 31, 2021
Preliminary and Unaudited

Branch Campuses - Total Operations Current Funds

	FY 2021 Full Year Operating Budget with Revisions	FY 2021 Year-to-Date Actual	Fiscal YTD Favorable/(Unfavorable) Compared to Budget	Actual to Budget Benchmark Rate 75%	FY 2020 Year-to-Date Actual**	FY 2021 YTD Actual Change From FY 2020 YTD Actual
Instruction and General						
Tuition and Fees Revenues***	6,175,183	5,657,131	(518,052)	92%	6,525,596	(868,465)
State/Local Appropriations	29,390,905	22,043,180	(7,347,725)	75%	22,563,132	(519,952)
COVID-19 HEERF II Award (Higher Education Emergency Relief Funds)	930,224	930,224	-	100%	-	930,224
Transfers	(1,159,717)	(4,421,354)	(3,261,637)	381%	(2,262,193)	(2,159,161)
Other Revenues	918,171	695,767	(222,404)	76%	795,412	(99,645)
Total Instruction and General Revenues	36,254,766	24,904,948	(11,349,818)	69%	27,621,947	(2,716,999)
Salaries	21,724,501	15,235,865	6,488,636	70%	15,755,984	520,119
Benefits	7,038,075	5,054,840	1,983,235	72%	5,022,850	(31,990)
Other Expenses	8,505,868	3,987,199	4,518,669	47%	4,809,316	822,117
Total Instruction and General Expenses	37,268,444	24,277,904	12,990,540	65%	25,588,150	1,310,246
Net Instruction and General Revenue (Expense)	(1,013,678)	627,044	1,640,722		2,033,797	(1,406,753)
Public Service						
State/Local Appropriations	-	-	-	N/A	-	-
Sales and Services Revenues	241,300	215,713	(25,587)	89%	295,671	(79,958)
Gifts	120,408	203,610	83,202	169%	377,500	(173,890)
Transfers	-	(48,374)	(48,374)	N/A	4,000	(52,374)
Other Revenues	160,477	90,183	(70,294)	56%	158,565	(68,382)
Total Public Service Revenues	522,185	461,132	(61,053)	88%	835,736	(374,604)
Salaries and Benefits	346,382	311,632	34,750	90%	341,787	30,155
Other Expenses	236,836	171,008	65,828	72%	271,181	100,173
Total Public Service Expenses	583,218	482,640	100,578	83%	612,968	130,328
Net Public Service Revenue (Expense)	(61,033)	(21,508)	39,525		222,768	(244,276)
Student Aid						
Private Grants/Gifts	84,550	88,015	3,465	104%	89,347	(1,332)
Transfers	393,260	397,818	4,558	101%	396,808	1,010
Other Revenues	17,000	11,282	(5,718)	66%	14,133	(2,851)
Total Student Aid Revenues	494,810	497,115	2,305	100%	500,288	(3,173)
Salaries and Benefits	-	-	-	N/A	-	-
Other Expenses	512,397	286,798	225,599	56%	410,188	123,390
Total Student Aid Expenses	512,397	286,798	225,599	56%	410,188	123,390
Net Student Aid Revenue (Expense)	(17,587)	210,317	227,904		90,100	120,217

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the nine month ended March 31, 2021
Preliminary and Unaudited

Branch Campuses - Total Operations Current Funds

	FY 2021 Full Year Operating Budget with Revisions	FY 2021 Year-to-Date Actual	Fiscal YTD Favorable/(Unfavorable) Compared to Budget	Actual to Budget Benchmark Rate 75%	FY 2020 Year-to-Date Actual**	FY 2021 YTD Actual Change From FY 2020 YTD Actual
Student Social & Cultural Programs						
Fee Revenues***	208,370	167,984	(40,386)	81%	190,553	(22,569)
Sales and Services Revenues	4,000	2,115	(1,885)	53%	8,593	(6,478)
Transfers	(40,000)	(40,000)	-	100%	(40,500)	500
Other Revenues	3,700	-	(3,700)	0%	-	-
Total Student Social & Cultural Programs Revenues	176,070	130,099	(45,971)	74%	158,646	(28,547)
Salaries and Benefits	7,839	976	6,863	12%	2,812	1,836
Other Expenses	171,131	41,718	129,413	24%	128,801	87,083
Total Student Social & Cultural Programs Expenses	178,970	42,694	136,276	24%	131,613	88,919
Net Student Social & Cultural Programs Revenue (Expense)	(2,900)	87,405	90,305		27,033	60,372
Auxiliaries						
Bookstore Revenues	1,698,163	614,629	(1,083,534)	36%	1,121,427	(506,798)
Housing and Food Service Revenues	13,235	18,413	5,178	139%	85,271	(66,858)
Transfers	(40,000)	(107,500)	(67,500)	269%	-	(107,500)
Other Auxiliaries Revenues	166,687	5,660	(161,027)	3%	9,164	(3,504)
Total Auxiliaries Revenues	1,838,085	531,202	(1,306,883)	29%	1,215,862	(684,660)
Bookstore Expenses	1,224,303	856,885	367,418	70%	1,178,215	321,330
Housing and Food Service Expenses	-	16,905	(16,905)	N/A	79,706	62,801
Other Auxiliaries Expenses	913,782	1,756	912,026	0%	19,486	17,730
Total Auxiliaries Expenses	2,138,085	875,546	1,262,539	41%	1,277,407	401,861
Net Auxiliaries Revenue (Expense)	(300,000)	(344,344)	(44,344)		(61,545)	(282,799)
Sponsored Programs						
Federal Grants and Contracts Revenues	8,357,830	4,322,581	(4,035,249)	52%	4,608,583	(286,002)
State and Local Grants and Contracts Revenues	1,860,810	790,087	(1,070,723)	42%	1,019,446	(229,359)
Non-Governmental Grants and Contracts Revenues	200,000	346,009	146,009	173%	304,658	41,351
Gifts	50,000	-	(50,000)	0%	-	-
Total Sponsored Programs Revenues	10,468,640	5,458,677	(5,009,963)	52%	5,932,687	(474,010)
Salaries and Benefits	6,540,606	3,822,738	2,717,868	58%	3,766,267	(56,471)
Other Expenses	3,928,034	1,635,939	2,292,095	42%	2,166,420	530,481
Total Sponsored Programs Expenses	10,468,640	5,458,677	5,009,963	52%	5,932,687	474,010
Net Sponsored Programs Revenue (Expense)	-	-	-		-	-
Net Current Revenue (Expense)	(1,395,198)	558,914	1,954,112		2,312,153	(1,753,239)

Statements of Revenues, Expenses and Changes in Net Assets
For the nine month period ended March 31, 2021
Preliminary and Unaudited

Detail of State/Local Appropriations
Consolidated - Total Operations Current Funds

	FY 2021 Full Year Operating Budget with Revisions	FY 2021 Year-to-Date Actual	Fiscal YTD Favorable/(Unfavorable) Compared to Budget	Actual to Budget Benchmark Rate 75%
Instruction and General				
Instruction & General Appropriations	206,355,900	154,766,927	(51,588,973)	75%
State Special Project Appropriations	1,064,700	798,525	(266,175)	75%
Mill Levy	9,208,467	6,906,350	(2,302,117)	75%
Total Instruction and General Appropriations	<u>216,629,067</u>	<u>162,471,802</u>	<u>(54,157,265)</u>	<u>75%</u>
Research				
State Special Project Appropriations	1,805,950	1,354,463	(451,487)	75%
Total Research Appropriations	<u>1,805,950</u>	<u>1,354,463</u>	<u>(451,487)</u>	<u>75%</u>
Public Service				
State Special Project Appropriations	5,253,250	3,939,939	(1,313,311)	75%
Total Public Service Appropriations	<u>5,253,250</u>	<u>3,939,939</u>	<u>(1,313,311)</u>	<u>75%</u>
Clinical Operations				
Instruction & General Appropriations	62,246,300	46,789,368	(15,456,932)	75%
Research Appropriations	1,705,315	1,127,587	(577,728)	66%
Public Service Appropriations	245,600	184,700	(60,900)	75%
Student Aid Appropriations	188,000	141,200	(46,800)	75%
State Special Project Appropriations	36,450,000	27,293,083	(9,156,917)	75%
Tobacco Settlement Appropriations	840,200	630,151	(210,049)	75%
Cigarette Tax Revenues	3,124,016	2,257,541	(866,475)	72%
Total Clinical Operations Appropriations	<u>104,799,431</u>	<u>78,423,630</u>	<u>(26,375,801)</u>	<u>75%</u>
Total - All Appropriations	<u>328,487,698</u>	<u>246,189,834</u>	<u>(82,297,864)</u>	<u>75%</u>

New Mexico Higher Education Department
Institutional Finance Division
University of New Mexico Athletics Department
Quarterly Enhanced Fiscal Oversight Program (EFOP) Report

Please certify the following EFOP report and submit with the Quarterly Financial Certification and Financial Action Reports.

To the best of my knowledge, I certify that the information provided in the attached EFOP report for the:

1st _____ 2nd _____ 3rd X 4th _____ Quarter, FY 2021

is correct as of the signature dates noted below:

The University of New Mexico

Sandra Begay, Chair, Board of Regents – Finance and Facilities Committee	Date
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Garnett S. Stokes, President	Date
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Teresa Costantinidis, SVP for Administration	Date
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To: Members of the Board of Regents

From: Eddie Nunez, Director of Athletics

Nicole Dopson, Director of Financial Operations for Academic Affairs

Date: May 4, 2021

Re: Approval of the FY21 Third Quarter Submission to Higher Education Department for the Enhanced Fiscal Oversight Program (EFOP)

In order to respond to concerns brought by the New Mexico Higher Education Department (NMHED), the University has agreed to provide the attached Enhanced Fiscal Oversight Program (EFOP) Report. This report will be provided in addition to the Quarterly Financial Actions Report and Certification that are already required for all higher education institutions.

The report will provide Athletics financial status through reporting templates provided by the Higher Education Department for the Athletics budget exhibits as well as a template for the plan associated with resolving the overall deficit within Athletics budget.

The EFOP report will accompany the report submitted by the Controller's Office until NMHED is satisfied with the University's progress on these issues.

Thank you for your consideration.

Exhibit 21 - UNM MAIN Campus
Summary of InterCollegiate Athletics

		Original Approved Budget Budget 2021				Actuals Quarter 1 - FY21				Actuals Quarter 2 - FY21				Actuals Quarter 3 - FY21				Actuals Quarter 4 - FY21				Increase / Decrease YTD			
		FTE	Unrestricted	FTE	Restricted	FTE	Unrestricted	FTE	Restricted	FTE	Unrestricted	FTE	Restricted	FTE	Unrestricted	FTE	Restricted	FTE	Unrestricted	FTE	Restricted	FTE	Unrestricted	FTE	Restricted
Revenues	Tuition and Fees		3,285,556		-		1,697,536		-		-		-		984,841		-					603,179		-	
	State Appropriations		4,063,600		-		960,800		-		938,700		-		938,700		-					1,225,400		-	
	Federal Grants and Contracts		-		30,601		-		-		-		-		10,470,381		-					(10,470,381.00)		30,601	
	State Grants and Contracts		-		25,500		-		-		-		-		-		-					-		25,500	
	Private Gifts Grants and Contracts		800,000		-		-		-		-		-		-		-					800,000		-	
	Sales and Services		9,704,400		-		469,635		-		207,940		-		(258,273)		-					9,285,097		-	
	Other Sources		14,189,846		-		3,383,504		-		1,915,212		-		2,112,833		-					6,778,297		-	
			32,043,402		56,101		6,511,475		-		3,061,852		0		14,248,482		0		0		0	8,221,593		56,101	
Beginning Balance	Beginning Balance		(3,464,380)		-		(1,627,657)		-		(1,346,804)		-		(4,628,089)		-					(1,836,723)		-	
Total Available											0				9,620,393		0		0		0	6,384,870		56,101	
Expenditures	Administrative Professional	89	9,100,029		-		2,275,784		-		2,412,662		-		2,227,240		-					89.00	2,184,344	0.00	0
	Federal Workstudy Salaries		-	2	30,601		915		-		-		-		22		-					0.00	(937)	2.00	30,601
	GA TA RA PA Salary	9	223,934		-		59,924		-		71,006		-		60,869		-					9.00	32,136	0.00	0
	Other Salaries	28	1,255,355		-		2,937		-		2,512		-		7,866		-					28.00	1,242,040	0.00	0
	State Workstudy Salaries		-	1	25,500		(872)		-		1,029		-		1,194		-					0.00	(1,351)	1.00	25,500
	Student Salaries	6	110,300		-		1,084		-		5,549		-		10,374		-					6.00	93,294	0.00	0
	Support Staff Salary	2	52,312		-		10,664		-		14,084		-		12,505		-					2.00	15,059	0.00	0
	Technician Salary	6	234,714		-		51,817		-		68,930		-		50,125		-					6.00	63,842	0.00	0
	Accrued Annual Leave		-		-		-		-		-		-		-		-						0		0
	Fica		712,775		-		127,062		-		121,429		-		167,114		-						297,171		0
	Group Insurance		575,710		-		163,080		-		183,758		-		189,440		-						39,433		0
	Other Staff Benefits		502,359		-		98,441		-		99,896		-		97,584		-						206,438		0
	Retirement		1,192,636		-		259,052		-		254,588		-		312,667		-						366,329		0
	Tuition Waivers		97,644		-		44,817		-		-		-		33,095		-						19,732		0
	Unemployment Compensation		8,387		-		2,107		-		2,249		-		2,068		-						1,963		0
	Workers Compensation		6,758		-		2,042		-		2,036		-		1,943		-						737		0
	Contract Services		1,085,636		-		23,333		-		66,424		-		60,480		-						935,399		0
	Cost of Good Sold		550		-		-		-		-		-		-		-						550		0
	Electricity		312,264		-		4,175		-		75,461		-		65,355		-						167,273		0
	Equipment		118,035		-		25,557		-		9,926		-		24,220		-						58,332		0
	Fuel_Heat_Cool		61,200		-		522		-		9,071		-		30,207		-						21,400		0
	Officials Expense 63T3		597,750		-		(13,885)		-		12,227		-		112,179		-						487,229		0
	Sewer_Other		201,200		-		52,348		-		44,958		-		11,304		-						92,590		0
	Student Awards and Aid		4,590,180		-		2,484,907		-		321,855		-		1,510,466		-						272,952		0
	Supplies_Expense		8,321,950		-		1,073,821		-		964,285		-		1,474,463		-						4,809,382		0
	Travel		136,700		-		7,165		-		3,572		-		968		-						124,995		0
	Travel-Group		2,840,838		-		15,599		-		1,513,390		-		1,027,114		-						284,735		0
	Travel-Recruiting		592,150		-		50		-		25		-		225		-						591,850		0
	Internal Service Ctr				-				-				-				-								0
	Internal Sales		(281,000)		-		-		-		-		-		-		-						(281,000)		0
Total Expenditures		140.00	32,650,366	3.00	56,101		6,772,445		-	0	6,260,920	0	0	0	7,491,086	0	0	0	0	0	0	140	12,125,915	3	56,101
Transfers (IN) or OUT	Transfers (IN) or OUT		(606,964)		0		(541,823)		0		82,217		0		19,530		0						(166,888)		0
Ending Balance	Ending Balance		(3,464,380)		0		(1,346,804)		0		(4,628,089)		0		2,109,778		0						(5,574,158)		0

Budget Unit 350 - Administration

Revenues	Tuition and Fees
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Sales and Services		3,376,314		3,050,000		-		272		7,500				3,042,228	
Other Sources		(214,844)		(125,000)		(170,675)		-		201,216				(155,541)	
Total Revenues		3,161,470		2,925,000		(170,675)		272		208,716		-		2,886,687	
Beginning Balance		54,778		-		-		-		-		-		-	
Total Available		3,216,248		2,925,000		(170,675)		272		208,716		-		2,886,687	
Expenditures	Administrative Professional	8.96	1,470,972	7.77	1,515,594	353,349	374,608	345,072		7.77	442,565				
	GA TA RA PA Salary		0		-	-	-	-		-	-				
	Other Salaries	.01	9,554	.74	15,000	-	-	-		0.74	15,000				
	State Workstudy Salaries						454	1,058							
	Student Salaries		0		-	-	2,808	10,374		-	(13,182)				
	Support Staff Salary		1,985		-	-	-	-		-	-				
	Technician Salary		6,893		-	-	-	-		-	-				
	Accrued Annual Leave		400		-	-	-	-		-	-				
	Fica		71,031		80,753	14,563	15,041	25,042		-	26,107				
	Group Insurance		60,114		65,349	18,070	19,544	19,188		-	8,547				
	Other Staff Benefits		46,844		49,532	11,167	12,179	11,753		-	14,433				
	Retirement		142,638		147,244	22,583	25,592	48,827		-	50,242				
	Unemployment Compensation		1,031		951	318	337	311		-	(15)				
	Workers Compensation		954		739	268	285	270		-	(84)				
	Contract Services		55,823		50,000	793	70	140		-	48,997				
	Cost of Good Sold						-	-							
	Equipment		4,765		2,650	1,272	1,039	2,778		-	(2,439)				
	Officials Expense 63T3		0		-	-	-	-		-	-				
	Sewer_Other		3,280		-	-	-	-		-	-				
	Student Awards and Aid		270,169		18,000	-	-	201,216		-	(183,216)				
	Supplies_Expense		770,829		634,688	68,736	57,915	44,921		-	463,116				
	Travel		2,627		14,500	-	-	-		-	14,500				
	Travel-Group		470,749		410,000	-	92,530	321,330		-	(3,860)				
	Travel-Recruiting		109,146		120,000	-	-	-		-	120,000				
	Internal Service Ctr Internal Sales		(145,980)		(200,000)	-	-	-		-	(200,000)				
Total Expenditures		8.97	3,353,824	8.51	2,925,000	491,119	- 602,402	- 1,032,280	-	8.51	800,711				
Transfers (IN) or OUT		0		-		-				-					
Ending Balance		-137,575		-		(661,795)		(602,130)		(823,564)		-		2,085,976	
Budget Unit 352 - Football															
		FTE	Unrestricted	FTE	Unrestricted	FTE	Unrestricted	FTE	Unrestricted	FTE	Unrestricted	FTE	Unrestricted	FTE	Unrestricted
Revenues	Sales and Services		1,929,492		2,960,000		299,933		33,379		(327,560)				2,954,248
	Other Sources		5,830,365		4,008,115		3,969,037		-		1,789,886				(1,750,808)
Total Revenues		7,759,857		6,968,115		4,268,970		33,379		1,462,326		-		1,203,440	
Beginning Balance		0		-		-		-		-		-		-	
Total Available		7,759,857		6,968,115		4,268,970		33,379		1,462,326		-		1,203,440	
Expenditures	Administrative Professional	14.46	2,859,645	14.00	2,442,693		735,409		721,575		683,486			14.00	302,223
	Federal Workstudy Salaries										22				
	GA TA RA PA Salary	2.63	71,160	3.00	60,000		17,669		20,386		16,310		3.00	5,635	
	Other Salaries	.03	30,762	3.44	523,772		-		81		-		3.44	523,691	
	State Workstudy Salaries								419		135				
	Student Salaries		72		-		-		644		-		-	(644)	
	Technician Salary		2,112		-		-		-		-		-	-	
	Accrued Annual Leave		4,757		-		-		-		-		-	-	
	Fica		161,590		203,985		32,560		24,477		49,295		-	97,653	
	Group Insurance		120,201		146,993		26,359		35,142		35,919		-	49,573	
	Other Staff Benefits		105,593		119,034		29,539		28,881		27,596		-	33,018	
	Retirement		277,381		303,191		59,505		59,508		84,515		-	99,663	
	Tuition Waivers		26,250		30,000		15,533		-		9,912		-	4,555	
	Unemployment Compensation		2,017		2,399		662		649		616		-	472	
	Workers Compensation		2,846		1,909		702		552		522		-	133	
	Contract Services		126,703		209,633		91		1,128		14,925		-	193,489	
	Cost of Good Sold		0		-		-		-		-		-	-	

Equipment	17,757	13,400	256	1,854	2,853	-	8,437					
Officials Expense 63T3	150,470	162,000	-	3,432	106,145	-	52,423					
Sewer_Other	24,527	25,000	-	-	-	-	25,000					
Student Awards and Aid	1,766,535	25,000	-	-	1,566,104	-	(1,541,104)					
Supplies_Expense	1,132,918	1,238,106	199,892	138,700	193,490	-	706,024					
Travel	13,174	36,000	-	1,736	-	-	34,264					
Travel-Group	1,185,450	1,200,000	-	1,337,853	145,593	-	(283,446)					
Travel-Recruiting	172,897	265,000	-	-	175	-	264,825					
Internal Service Ctr Internal Sales	(29,137)	(40,000)	-	-	-	-	(40,000)					
Total Expenditures	17.12	8,225,680	20.44	6,968,115	1,118,177	-	2,377,017	-	2,937,613	-	20.44	535,884
Transfers (IN) or OUT	0	-	-	-	-	-	-	-	-	-	-	-
Ending Balance	-465,824	-	-	3,150,794	(2,343,638)	(1,475,287)	-	-	-	-	667,556	
Budget Unit 353 - Other Mens Sports												
	FTE	Unrestricted	FTE	Unrestricted	FTE	Unrestricted	FTE	Unrestricted	FTE	Unrestricted	FTE	Unrestricted
Revenues	Sales and Services	24,973	15,500	-	2,000	8,148	5,352					
	Other Sources	1,553,114	1,346,250	1,301,590	-	299,674	(255,014)					
Total Revenues	1,578,087	1,361,750	1,301,590	2,000	307,822	-	(249,662)					
Beginning Balance	0	-	-	-	-	-	-					
Total Available	1,578,087	1,361,750	1,301,590	2,000	307,822	-	(249,662)					
Expenditures	Administrative Professional	5.90	590,341	6.87	640,172	150,542	150,542	6.87	188,546			
	GA TA RA PA Salary	.80	41,600	-	5,000	7,200	7,200	-	(19,400)			
	Other Salaries	6,399	26,000	-	-	-	-	1.28	26,000			
	Technician Salary	1,300	-	-	-	-	-	-	-			
	Accrued Annual Leave	535	-	-	-	-	-	-	-			
	Fica	37,993	46,900	8,152	7,682	11,107	19,959					
	Group Insurance	44,870	36,868	12,692	14,372	14,912	(5,108)					
	Other Staff Benefits	24,201	27,945	6,534	6,534	6,534	8,343					
	Retirement	83,808	83,071	21,302	21,301	21,302	19,166					
	Tuition Waivers	8,267	-	2,440	-	2,441	(4,881)					
	Unemployment Compensation	418	552	136	135	136	145					
	Workers Compensation	461	429	122	124	124	59					
	Contract Services	5,778	14,600	141	310	144	14,005					
	Equipment	39,235	32,500	4,064	825	10,338	17,273					
	Fuel_Heat_Cool	604	600	63	38	449	50					
	Officials Expense 63T3	40,375	56,500	-	-	150	56,350					
	Sewer_Other	0	-	-	-	-	-					
	Student Awards and Aid	245,019	-	-	-	299,674	(299,674)					
	Supplies_Expense	113,843	91,670	20,903	7,050	31,953	31,764					
	Travel	1,624	-	-	-	5,941	(5,941)					
	Travel-Group	219,781	278,068	(2,401)	-	82,016	198,453					
	Travel-Recruiting	34,267	25,875	-	25	-	25,850					
	Internal Service Ctr Internal Sales	(280)	-	-	-	-	-					
Total Expenditures	6.70	1,540,439	8.15	1,361,750	229,690	-	216,138	-	644,963	-	8.15	270,959
Transfers (IN) or OUT	0	-	-	-	-	-	-	-	-	-	-	-
Ending Balance	37,650	-	-	1,071,900	(214,138)	(337,141)	-	-	-	-	(520,621)	
Budget Unit 354 - Other Womens Sports												
	FTE	Unrestricted	FTE	Unrestricted	FTE	Unrestricted	FTE	Unrestricted	FTE	Unrestricted	FTE	Unrestricted
Revenues	Sales and Services	419,293	336,000	-	272	20,678	315,050					
	Other Sources	6,140,995	4,556,777	4,487,249		1,609,798	(1,540,270)					
Total Revenues	6,560,288	4,892,777	4,487,249	272	1,630,476	-	(1,225,220)					
Beginning Balance	117,200	-	16,727	-	-	-	(16,727)					
Total Available	6,677,488	4,892,777	4,503,976	272	1,630,476	-	(1,241,947)					
Expenditures	Administrative Professional	26.40	1,928,058	27.82	2,002,022	474,676	490,751	487,428	27.82	549,167		
	GA TA RA PA Salary	1.10	39,950	1.06	17,000	11,277	11,278	11,277	1.06	(16,832)		

Other Salaries	.02	49,100	3.14	64,000	-	-	-	-	3.14	64,000
Student Salaries		459		-	-	-	-	-	-	-
Support Staff Salary	1.46	52,517	2.00	52,312	10,664	14,084	12,505	2.00	15,059	-
Technician Salary		6,016		-	-	-	-	-	-	-
Accrued Annual Leave		(2,354)		-	-	-	-	-	-	-
Fica		141,197		160,142	31,976	34,518	36,749	-	56,899	-
Group Insurance		185,468		130,964	42,433	46,833	49,062	-	(7,364)	-
Other Staff Benefits		88,410		96,597	22,766	23,732	23,539	-	26,560	-
Retirement		276,591		287,151	68,560	69,154	70,857	-	78,580	-
Tuition Waivers		12,928		8,000	4,881	-	4,880	-	(1,761)	-
Unemployment Compensation		1,416		1,885	437	454	451	-	543	-
Workers Compensation		1,585		1,478	414	428	425	-	211	-
Contract Services		82,663		107,859	-	956	8,376	-	98,527	-
Cost of Good Sold						-	-			
Equipment		68,699		44,685	5,702	3,156	3,552	-	32,275	-
Fuel_Heat_Cool		604		600	63	38	449	-	50	-
Officials Expense 63T3		203,975		184,250	(454)	-	800	-	183,904	-
Sewer_Other		3,345		-	-	-	-	-	-	-
Student Awards and Aid		1,697,338		10,000	-	-	1,609,798	-	(1,599,798)	-
Supplies_Expense		374,644		418,144	64,067	28,463	52,553	-	273,061	-
Travel		146,150		20,200	-	1	299	-	19,900	-
Travel-Group		918,953		1,129,213	-	47,007	405,380	-	676,826	-
Travel-Recruiting		192,404		181,275	50	-	50	-	181,175	-
Internal Service Ctr Internal Sales		(17,375)		(25,000)	-	-	-	-	(25,000)	-
Total Expenditures	28.98	6,452,741	34.02	4,892,777	737,512	- 770,853	- 2,778,430	-	34.02	605,982
Transfers (IN) or OUT		0		-	16,727					(16,727)
Ending Balance		224,747		-	3,749,738	(770,581)	(1,147,954)	-		(1,831,202)
Budget Unit 409 - Utilities										
	FTE	Unrestricted	FTE	Unrestricted	FTE	Unrestricted	FTE	Unrestricted	FTE	Unrestricted
Revenues		550,000		550,000		550,000		-		-
Total Revenues		550,000		550,000		550,000		-		-
Beginning Balance		0		-		-		-		-
Total Available		550,000		550,000		550,000		-		-
Expenditures		144,384		312,264		4,175		75,461		65,355
Electricity		144,384		312,264		4,175		75,461		65,355
Fuel_Heat_Cool		61,473		60,000		395		8,996		29,309
Sewer_Other		183,916		175,000		52,348		44,958		11,305
Supplies_Expense		2,439		2,736		285		647		530
Total Expenditures		392,212		550,000		57,203		130,062		106,499
Transfers (IN) or OUT		0		-		-		-		-
Ending Balance		157,789		-		492,797		(130,062)		(106,499)
Budget Unit 437 - Miscellaneous										
	FTE	Unrestricted	FTE	Unrestricted	FTE	Unrestricted	FTE	Unrestricted	FTE	Unrestricted
Revenues		-		-		-		-		-
Federal Grants and Contracts		-		-		-		-		-
State Grants and Contracts		-		-		-		-		-
Total Revenues		-		-		-		-		-
Beginning Balance		-		-		-		-		-
Total Available		-		-		-		-		-
Expenditures		-		-		-		-		-
Federal Workstudy Salaries		-		-		-		-		-
State Workstudy Salaries		-		-		-		-		-
Total Expenditures		-		-		-		-		-
Transfers (IN) or OUT		-		-		-		-		-
Ending Balance		-		-		-		-		-

UNM- Department of Athletics Deficit Reduction Plan

	FY2018	FY2019	FY2020	FY2021	FY2022	FY2023	FY2024	FY2025	FY2026	FY2027	FY2028	FY2029
Beginning Fund Balance (Deficit)	(4,713,999)	(4,492,380)	(4,375,833)	(1,627,658)	(1,627,658)	(1,627,658)	(1,627,658)	(1,627,658)	(1,627,658)	(1,627,658)	(1,627,658)	(1,627,658)
Recurring Fund Balance (Deficit)												
Net Balance (Deficit) (1)	(4,713,999)	(4,492,380)	(4,375,833)	(1,627,658)	(1,627,658)	(1,627,658)	(1,627,658)	(1,627,658)	(1,627,658)	(1,627,658)	(1,627,658)	(1,627,658)
Revenues	32,944,217	31,669,259	27,699,073	30,099,092	30,099,092	30,099,092	30,099,092	30,099,092	30,099,092	30,099,092	30,099,092	30,099,092
Other Sources	814,207	789,039										
Subtotal Revenues	33,758,424	32,458,298	27,699,073	30,099,092	30,099,092	30,099,092	30,099,092	30,099,092	30,099,092	30,099,092	30,099,092	30,099,092
Expenses	33,541,301	33,829,147	33,570,560	30,099,092	30,099,092	30,099,092	30,099,092	30,099,092	30,099,092	30,099,092	30,099,092	30,099,092
Cost containment (reduction in expenses)	(4,496)	(737,396)	(2,496,467)									
Grant-aid subsidies (reduction in expenses)		(750,000)	(975,000)									
Subtotal Expenses	33,536,805	32,341,751	30,099,092	30,099,092	30,099,092	30,099,092	30,099,092	30,099,092	30,099,092	30,099,092	30,099,092	30,099,092
Transfers												
Transfer for Accumulated Deficit (2)			4,520,857									
Other Transfers	0	0	627,338	0	0	0	0	0	0	0	0	0
Subtotal Transfers	0	0	5,148,195	0	0	0	0	0	0	0	0	0
Net Annual Balance	221,619	116,547	2,748,175	0	0	0	0	0	0	0	0	0
Ending Fund Balance (Deficit) (3)	(4,492,380)	(4,375,833)	(1,627,658)	(1,627,658)	(1,627,658)	(1,627,658)	(1,627,658)	(1,627,658)	(1,627,658)	(1,627,658)	(1,627,658)	(1,627,658)

(1) Net balance does not include use of reserves for sport expenses already committed in FY20, total accumulated deficit for FY20 was (\$4.5M)

(2) Transfer to eliminate the accumulated deficit

(3) FY20 ending balance was (\$1.6M), which reflects the fiscal impact of COVID-19



THE UNIVERSITY OF
NEW MEXICO



Athletics' FY21 Budget and Actuals (Exhibit P21)

Updated through March 31, 2021 (YTD)

Schedule A: (FY21 Budget and Actuals)- This schedule details out pooled revenues and directed revenues by sport for FY21 budget and actuals year-to-date through March 31, 2021. Please note that a majority of revenues are pooled centrally into Athletics and are not distributed by sport, however ticket sales and game guarantees are distributed by sport.

This report also compares FY21 budget to quarterly actuals, and FY21 year-to-date actuals to prior year-to-date actuals. Major variances are due to timing on when revenue accruals and expenses were posted in the prior year and differences in institutional transfers.

Schedule B: (Expenses by Sports)- This schedule details out the FY21 expense budget and year-to-date expense actuals through March 31, 2021. Please note grant-in-aid expenses have been budgeted centrally and then reallocated based on FY21 year-to-date actuals, which will be allocated to individual sports as expenses post throughout the fiscal year.



Schedule A

FY21 Budget and Actuals by Exhibit P21

Revenue/Expense Description (2)	FY21 Original Budget	FY21 Revised Budget	Fiscal Year 2020-21 (FY21)				FY21 YTD	FY21 Budget to Projected Actuals Variance	Actuals % of Revised Budget	FY20 YTD Actuals	FY21 YTD vs. FY20 YTD Actuals
			Quarter 1 (7/1-9/30/20)	Quarter 2 (10/1-12/31/20)	Quarter 3 (1/1-3/31/21)	Quarter 4 (4/1-6/30/21)					
Pooled Revenues											
NCAA/Mountain West Conference	6,364,846	6,364,846	1,594,556	787,290	787,290		3,169,136	(3,195,710)	49.8%	3,617,659	(448,523)
Media Rights/Sponsorship/Licensing	3,400,000	3,400,000	861,681	191,704	172,121		1,225,506	(2,174,494)	36.0%	2,562,003	(1,336,497)
Commissions	680,000	680,000	169,997	170,001	-		339,998	(340,002)	50.0%	643,331	(303,333)
Naming Rights	800,000	800,000	-	-	-		-	(800,000)	0.0%	525,000	(525,000)
Student Fees	3,285,556	2,682,377	1,697,536	-	984,841		2,682,377	-	100.0%	3,571,257	(888,880)
Scholarship Fund (Fundraising)	2,200,000	2,200,000	549,999	483,333	553,334		1,586,666	(613,334)	72.1%	1,649,997	(63,331)
State Appropriation	4,063,600	3,776,900	960,800	938,700	938,700		2,838,200	(938,700)	75.1%	2,857,600	(19,400)
Facility Rental/Merchandise/GIK	1,716,900	1,716,900	375,000	455,415	383,982		1,214,397	(502,503)	70.7%	1,173,987	40,409
Special Events and Other Revenues	3,193,500	3,193,500	1,972	3,050	272,656		277,678	(2,915,822)	8.7%	1,356,499	(1,078,821)
CARES Act Distribution	-	10,470,382	-	-	10,470,381		10,470,381	(1)	0.0%	(180,720)	10,651,101
Transfers to/from Campus	606,964	573,133	541,823	(82,217)	(19,530)		440,076	(133,057)	76.8%	-	440,076
Budgeted Use of Reserves		(10,453,655)	-	-	-		-	10,453,655	0.0%	-	-
Sub-total	26,311,366	25,404,383	6,753,365	2,947,276	14,543,774	-	24,244,415	(1,159,968)	95.4%	17,776,614	6,467,801
Directed Revenues (by Sports)											
Men's Basketball Tickets	3,250,000	3,250,000	-	-	-		-	(3,250,000)	0.0%	3,132,733	(3,132,733)
Football Tickets	800,000	800,000	299,933	(142,641)	(152,661)		4,631	(795,369)	0.6%	805,072	(800,441)
Women's Basketball Tickets	350,000	350,000	-	-	-		-	(350,000)	0.0%	358,327	(358,327)
Other Sports Tickets (1)	20,000	20,000	-	-	12,839		12,839	(7,161)	64.2%	29,228	(16,389)
Football Game Guarantees	2,200,000	2,200,000	-	175,000	(175,000)		-	(2,200,000)	0.0%	1,050,000	(1,050,000)
Sub-total	6,620,000	6,620,000	299,933	32,359	(314,822)	-	17,470	(6,602,530)	0.3%	5,375,360	(5,357,890)
Total	32,931,366	32,024,383	7,053,298	2,979,635	14,228,952	-	24,261,885	(7,762,498)	75.8%	23,151,974	1,109,911
Expenses											
Salaries	10,976,644	10,927,399	2,402,252	2,575,771	2,370,195		7,348,217	(3,579,182)	67.2%	7,941,037	(592,819)
Payroll Benefits	3,096,269	3,082,827	696,601	663,955	803,911		2,164,467	(918,360)	70.2%	2,257,362	(92,895)
Communication Charges	86,768	86,768	13,768	13,738	15,416		42,921	(43,847)	49.5%	58,500	(15,578)
Other Expense	2,876,677	2,026,881	432,118	425,946	430,631		1,288,694	(738,187)	63.6%	1,732,378	(443,684)
Patient Care Costs	179,861	179,861	8,713	13,839	198,721		221,272	41,411	123.0%	85,007	136,266
Plant Maintenance	214,849	214,849	29,429	17,806	131,429		178,664	(36,185)	83.2%	230,476	(51,812)
Services	4,138,925	4,138,925	141,332	110,507	226,073		477,913	(3,661,012)	11.5%	2,418,751	(1,940,838)
Student Costs (includes Grant-in-Aid)	5,397,328	5,397,328	2,797,922	406,900	1,664,730		4,869,553	(527,775)	90.2%	5,133,280	(263,727)
Supplies	1,819,693	1,825,193	170,451	385,981	514,808		1,071,239	(753,954)	58.7%	1,496,683	(425,445)
Travel	3,569,688	3,569,688	22,814	1,516,987	1,028,307		2,568,108	(1,001,580)	71.9%	3,652,801	(1,084,693)
Utilities	574,664	574,664	57,045	129,491	106,866		293,402	(281,262)	51.1%	396,605	(103,203)
Total	32,931,366	32,024,383	6,772,445	6,260,920	7,491,086	-	20,524,451	(11,499,932)	64.1%	25,402,880	(4,878,430)
Net	-	-	280,853	(3,281,285)	6,737,866	-	3,737,434	3,737,434	11.7%	(2,250,906)	5,988,341

(1) Other sports tickets include women's soccer, track, baseball, softball, and volleyball

(2) Actuals through March 31, 2021



Schedule B

FY21 Budgeted Expenses and Actuals by Sport Exhibit P21 (1)

Football			
Expense Description	FY21 Revised Budget	FY21 YTD Actuals	FY21 Budget to Actuals Variance
Salaries	2,572,693	1,844,005	728,688
Payroll Benefits	755,029	493,883	261,146
Communication Charges	25,050	8,494	16,556
Other Expense	72,847	49,126	23,721
Patient Care Costs	18,000	6,173	11,827
Plant Maintenance	35,300	121,519	(86,219)
Services	984,733	158,112	826,621
Student Costs/Grant-in-Aid (2)	1,944,886	1,828,519	116,367
Supplies	90,600	55,023	35,577
Travel	1,501,000	1,485,357	15,643
Utilities	25,000	-	25,000
Total	8,025,138	6,050,211	1,974,927

Men's Baseball			
Expense Description	FY21 Revised Budget	FY21 YTD Actuals	FY21 Budget to Actuals Variance
Salaries	394,672	276,501	118,171
Payroll Benefits	106,168	79,781	26,387
Communication Charges	-	405	(405)
Other Expense	7,379	3,996	3,383
Patient Care Costs	-	-	-
Plant Maintenance	3,900	548	3,352
Services	51,200	21,410	29,790
Student Costs/Grant-in-Aid (2)	174,135	173,930	205
Supplies	35,155	16,732	18,423
Travel	185,675	50,554	135,121
Utilities	-	-	-
Total	958,284	623,856	334,428

Women's Softball			
Expense Description	FY21 Revised Budget	FY21 YTD Actuals	FY21 Budget to Actuals Variance
Salaries	183,000	124,091	58,909
Payroll Benefits	58,376	43,436	14,940
Communication Charges	540	608	(68)
Other Expense	4,209	2,525	1,684
Patient Care Costs	-	-	-
Plant Maintenance	2,422	705	1,717
Services	29,360	556	28,804
Student Costs/Grant-in-Aid (2)	147,992	145,992	2,000
Supplies	19,016	12,714	6,302
Travel	146,900	49,265	97,635
Utilities	-	-	-
Total	591,815	379,892	211,923

Men's Basketball			
Expense Description	FY21 Revised Budget	FY21 YTD Actuals	FY21 Budget to Actuals Variance
Salaries	1,582,594	1,087,723	494,871
Payroll Benefits	348,629	245,339	103,290
Communication Charges	12,750	3,848	8,902
Other Expense	32,284	15,930	16,354
Patient Care Costs	5,000	685	4,315
Plant Maintenance	39,140	14,354	24,786
Services	724,790	49,652	675,138
Student Costs/Grant-in-Aid (2)	306,716	264,944	41,772
Supplies	58,550	46,496	12,054
Travel	544,500	423,624	120,876
Utilities	-	-	-
Total	3,654,953	2,152,594	1,502,359

Women's Basketball			
Expense Description	FY21 Revised Budget	FY21 YTD Actuals	FY21 Budget to Actuals Variance
Salaries	804,741	559,169	245,572
Payroll Benefits	244,723	175,494	69,229
Communication Charges	1,550	1,148	403
Other Expense	17,927	8,979	8,948
Patient Care Costs	-	-	-
Plant Maintenance	15,700	4,273	11,427
Services	288,852	34,078	254,774
Student Costs/Grant-in-Aid (2)	222,341	186,453	35,888
Supplies	44,941	9,769	35,172
Travel	452,375	244,474	207,901
Utilities	-	-	-
Total	2,093,150	1,223,837	869,313

Women's Soccer			
Expense Description	FY21 Revised Budget	FY21 YTD Actuals	FY21 Budget to Actuals Variance
Salaries	201,900	139,088	62,812
Payroll Benefits	65,115	49,681	15,434
Communication Charges	1,060	450	610
Other Expense	3,599	3,110	489
Patient Care Costs	-	1,560	(1,560)
Plant Maintenance	-	-	-
Services	9,600	6,309	3,291
Student Costs/Grant-in-Aid (2)	287,475	290,080	(2,605)
Supplies	6,650	6,900	(250)
Travel	127,100	32,523	94,577
Utilities	-	-	-
Total	702,499	529,700	172,799

Men's Golf			
Expense Description	FY21 Revised Budget	FY21 YTD Actuals	FY21 Budget to Actuals Variance
Salaries	168,500	126,375	42,125
Payroll Benefits	55,606	51,010	4,596
Communication Charges	-	203	(203)
Other Expense	2,182	1,864	318
Patient Care Costs	-	-	-
Plant Maintenance	-	-	-
Services	2,680	3,650	(970)
Student Costs/Grant-in-Aid (2)	84,920	86,038	(1,118)
Supplies	(2,000)	14,518	(16,518)
Travel	69,568	17,907	51,661
Utilities	600	550	50
Total	382,056	302,115	79,941

Women's Golf			
Expense Description	FY21 Revised Budget	FY21 YTD Actuals	FY21 Budget to Actuals Variance
Salaries	151,726	113,794	37,932
Payroll Benefits	50,069	46,776	3,293
Communication Charges	-	-	-
Other Expense	3,137	1,756	1,381
Patient Care Costs	-	-	-
Plant Maintenance	-	-	-
Services	12,600	5,590	7,010
Student Costs/Grant-in-Aid (2)	114,077	113,509	568
Supplies	3,150	5,983	(2,833)
Travel	51,850	13,972	37,878
Utilities	600	550	50
Total	387,209	301,931	85,278

Men's Tennis			
Expense Description	FY21 Revised Budget	FY21 YTD Actuals	FY21 Budget to Actuals Variance
Salaries	103,000	68,150	34,850
Payroll Benefits	33,991	27,289	6,702
Communication Charges	290	203	88
Other Expense	3,214	1,043	2,171
Patient Care Costs	-	-	-
Plant Maintenance	-	-	-
Services	18,810	1,095	17,715
Student Costs/Grant-in-Aid (2)	48,619	45,896	2,723
Supplies	13,300	4,022	9,278
Travel	48,700	17,121	31,579
Utilities	-	-	-
Total	269,924	164,819	105,105

Women's Tennis			
Expense Description	FY21 Revised Budget	FY21 YTD Actuals	FY21 Budget to Actuals Variance
Salaries	103,000	70,350	32,650
Payroll Benefits	33,991	21,549	12,442
Communication Charges	610	52	558
Other Expense	1,924	1,158	766
Patient Care Costs	-	-	-
Plant Maintenance	-	-	-
Services	17,910	357	17,553
Student Costs/Grant-in-Aid (2)	96,288	94,353	1,935
Supplies	11,615	2,712	8,903
Travel	50,500	12,004	38,496
Utilities	-	-	-
Total	315,838	202,536	113,302

Cross Country (M/W) (3)			
Expense Description	FY21 Revised Budget	FY21 YTD Actuals	FY21 Budget to Actuals Variance
Salaries	110,325	82,744	27,581
Payroll Benefits	36,406	28,567	7,839
Communication Charges	-	-	-
Other Expense	1,648	1,128	520
Patient Care Costs	111	-	111
Plant Maintenance	487	-	487
Services	3,314	-	3,314
Student Costs/Grant-in-Aid (2)	51,405	52,350	(945)
Supplies	1,855	190	1,665
Travel	55,060	20,429	34,631
Utilities	-	-	-
Total	260,611	185,406	75,205

Track (M/W) (3)			
Expense Description	FY21 Revised Budget	FY21 YTD Actuals	FY21 Budget to Actuals Variance
Salaries	196,825	147,101	49,724
Payroll Benefits	70,877	59,652	11,225
Communication Charges	560	203	358
Other Expense	4,864	3,673	1,191
Patient Care Costs	-	493	(493)
Plant Maintenance	-	-	-
Services	725	1,251	(526)
Student Costs/Grant-in-Aid (2)	376,289	368,451	7,838
Supplies	(2,187)	4,133	(6,320)
Travel	227,950	25,217	202,733
Utilities	-	-	-
Total	875,903	610,175	265,728

Women's Volleyball			
Expense Description	FY21 Revised Budget	FY21 YTD Actuals	FY21 Budget to Actuals Variance
Salaries	237,007	177,496	59,511
Payroll Benefits	78,212	65,668	12,544
Communication Charges	330	248	83
Other Expense	4,646	3,323	1,323
Patient Care Costs	-	59	(59)
Plant Maintenance	23,300	-	23,300
Services	38,100	998	37,102
Student Costs/Grant-in-Aid (2)	216,332	218,394	(2,062)
Supplies	28,437	11,765	16,672
Travel	111,253	54,606	56,647
Utilities	-	-	-
Total	737,617	532,555	205,062

Swimming/Diving			
Expense Description	FY21 Revised Budget	FY21 YTD Actuals	FY21 Budget to Actuals Variance
Salaries	146,810	110,108	36,702
Payroll Benefits	48,448	41,725	6,723
Communication Charges	-	-	-
Other Expense	2,975	1,646	1,329
Patient Care Costs	-	-	-
Plant Maintenance	-	-	-
Services	500	70	430
Student Costs/Grant-in-Aid (2)	164,247	162,282	1,965
Supplies	22,725	4,632	18,093
Travel	107,700	299	107,401
Utilities	-	-	-
Total	493,405	320,762	172,643

Spirit			
Expense Description	FY21 Revised Budget	FY21 YTD Actuals	FY21 Budget to Actuals Variance
Salaries	-	-	-
Payroll Benefits	-	-	-
Communication Charges	-	-	-
Other Expense	508	171	337
Patient Care Costs	-	-	-
Plant Maintenance	-	-	-
Services	-	-	-
Student Costs/Grant-in-Aid (2)	-	7,500	(7,500)
Supplies	33,900	8,924	24,976
Travel	-	-	-
Utilities	-	-	-
Total	34,408	16,596	17,812

Administration/Events/Other Operating (4)			
Expense Description	FY21 Revised Budget	FY21 YTD Actuals	FY21 Budget to Actuals Variance
Salaries	3,970,606	2,421,524	1,549,082
Payroll Benefits	1,097,187	734,617	362,570
Communication Charges	44,028	27,062	16,966
Other Expense	1,863,538	1,189,265	674,273
Patient Care Costs	156,750	212,302	(55,552)
Plant Maintenance	94,600	37,265	57,335
Services	1,955,751	194,785	1,760,966
Student Costs/Grant-in-Aid (2)	1,161,606	830,860	330,746
Supplies	1,459,486	866,724	592,762
Travel	(110,443)	120,759	(231,202)
Utilities	548,464	292,302	256,162
Total	12,241,573	6,927,467	5,314,106

Total 32,024,383 20,524,451 11,499,932

(1) Does not include special events and bowl games

(2) FY21 Grant-in-Aid actuals will be allocated to individual sports as expenses post

(3) M/W track and cross-country coaches salaries split 50/50 between sports.

(4) Includes Events Management, Special Events, Bowl Games, Championships, Gifts-in-Kind, Parking, Concessions, Ticket Office, Administration, Business Office, Leagues and Clubs, Compliance, Advisement, Facility Rentals, Sports Camps, Utilities, Student Assistance Funds, Life skills, Pre Season Training, Athletic Vans, Insurance, Marketing and Media Relations

**MEMORANDUM TO ADVANCE
COMMITTEE AGENDA ITEM TO
THE BOARD OF REGENTS
THE UNIVERSITY OF NEW MEXICO**

DATE: April 21, 2021

TO: Teresa Costantinidis, Sr. VP Finance & Administration

FROM: Lisa Marbury, Assistant Vice President, Campus Environments & Facilities,
Vice President Office for Institutional Support Services

RE: Requested Approval

RECOMMENDED ACTION:

Recommend to the Board of Regents Finance and Facilities Committee the following requests for Project Construction Approval:

1. UNM Valencia Campus Fire Suppression Upgrades
2. Laguna & DeVargas Roof Replacement
3. University House Exterior Repairs

cc: A. Coburn, M. Dion, D. Sprague, M. Bailey, C. Martinez, B. Scharmer, S. Rodgers, T. Silva – PDC

**REQUEST FOR CAPITAL PROJECT CONSTRUCTION APPROVAL for
UNM Valencia Campus Fire Suppression Upgrades
UNIVERSITY OF NEW MEXICO
May 4, 2021**

REQUESTED ACTION:

In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested for **Fire Suppression Upgrades at UNM Valencia Campus.**

PROJECT DESCRIPTION:

The objective of the project is to install a new fully automated addressable fire suppression system within five buildings on the Valencia Campus, that were built without these important life safety systems. Areas to be upgraded consist of classrooms, office space, common areas and facilities storage spaces totaling 79,335 GSF. Building A Student Services (15,979 GSF), building B Arts and Sciences (24,902 GSF), building C Business and Technology (26,468 GSF), building D Student Center and Cafeteria (9,760 GSF), and PPD building F (2,226 GSF) are included in the project. The new sprinkler systems will tie into the existing fire alarm system with manifolds and alarm interface. The sprinkler piping and heads will be concealed wherever it is possible.

PROJECT RATIONALE:

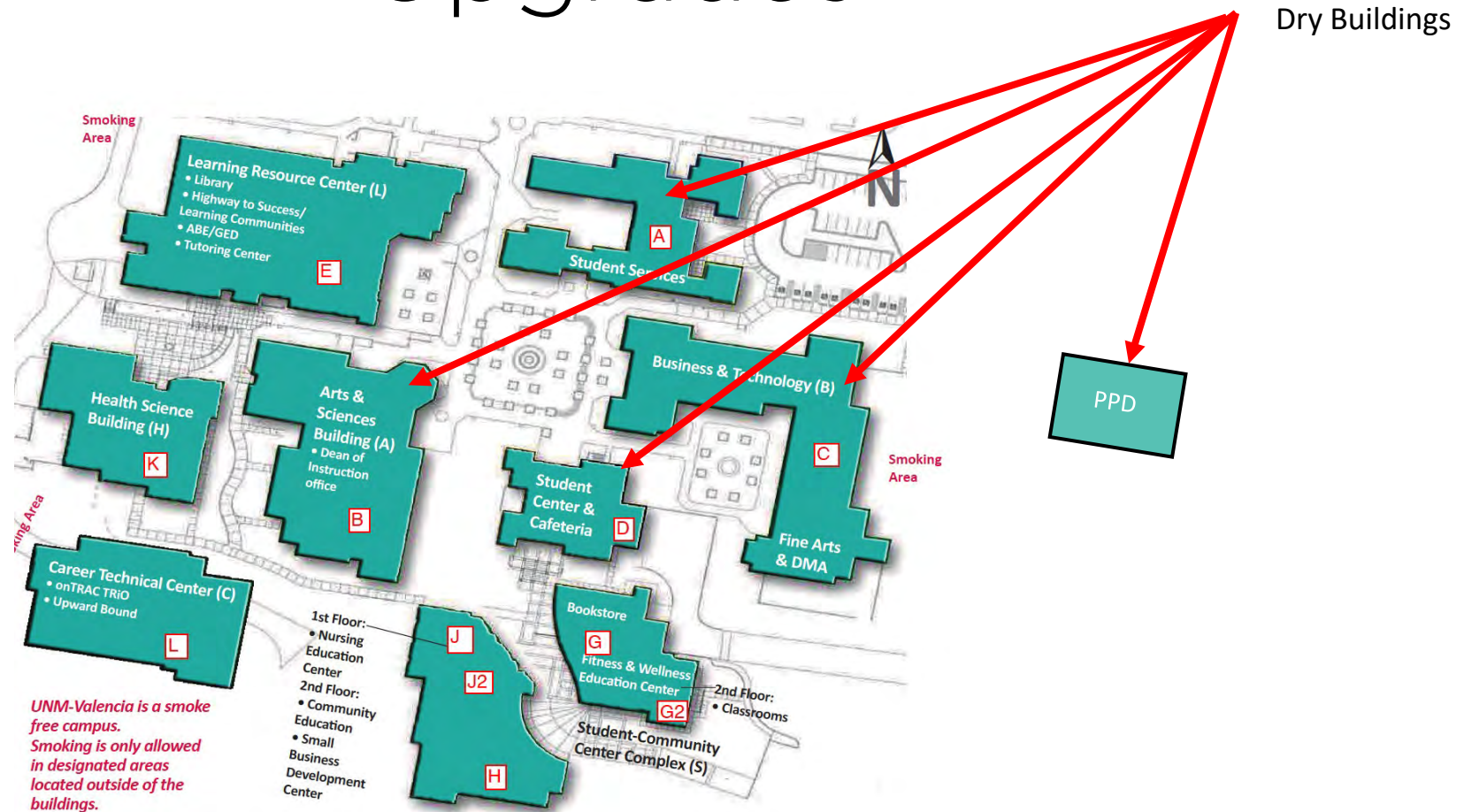
A significant percentage of the classroom space at the Valencia campus is without life-safety systems for fire control. This project is a critical component of the basic mission of the campus to provide a safe campus for students. The consequences of not completing this project is that students and faculty will be occupying buildings that do not meet current Life Safety Code.

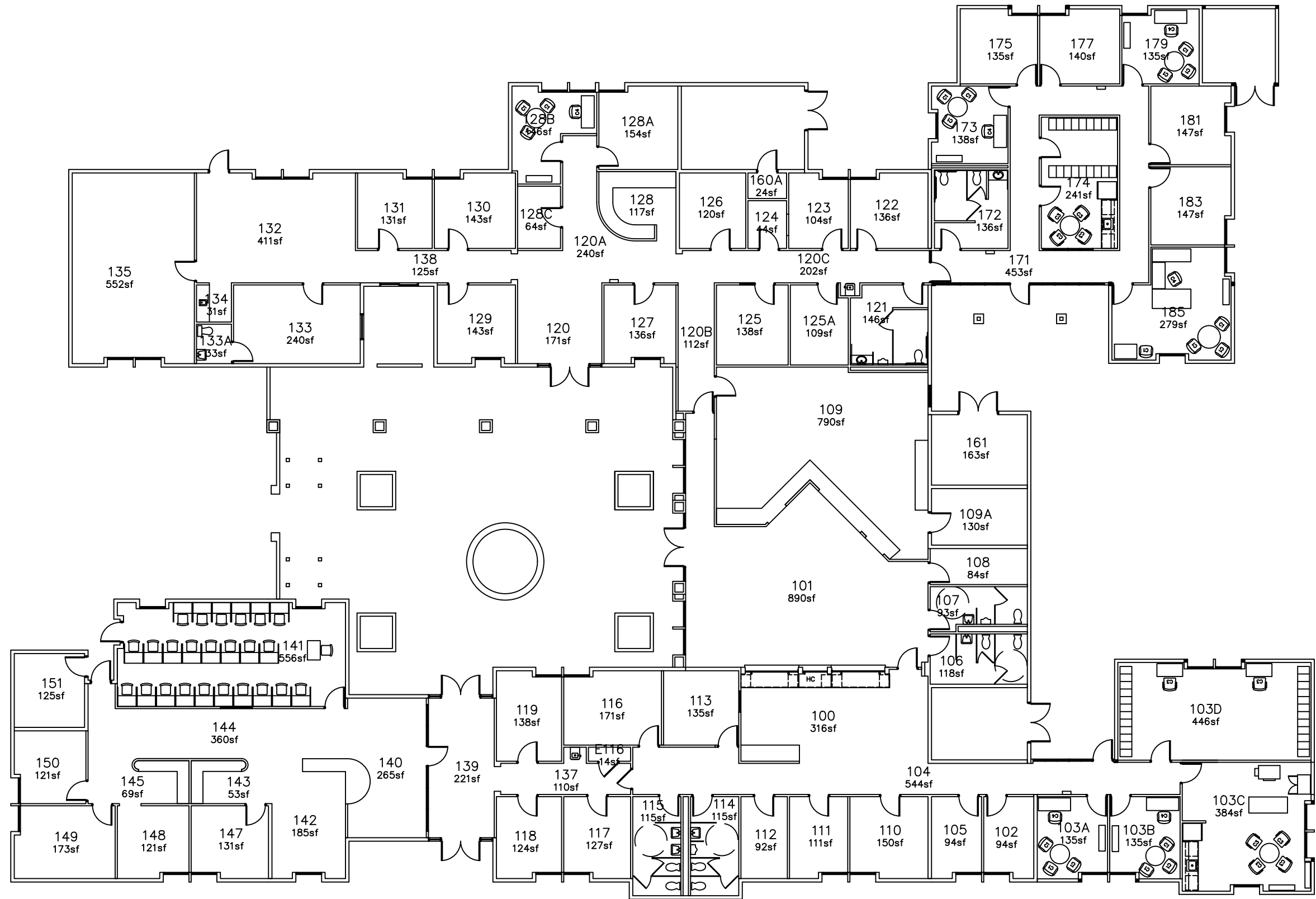
FUNDING:

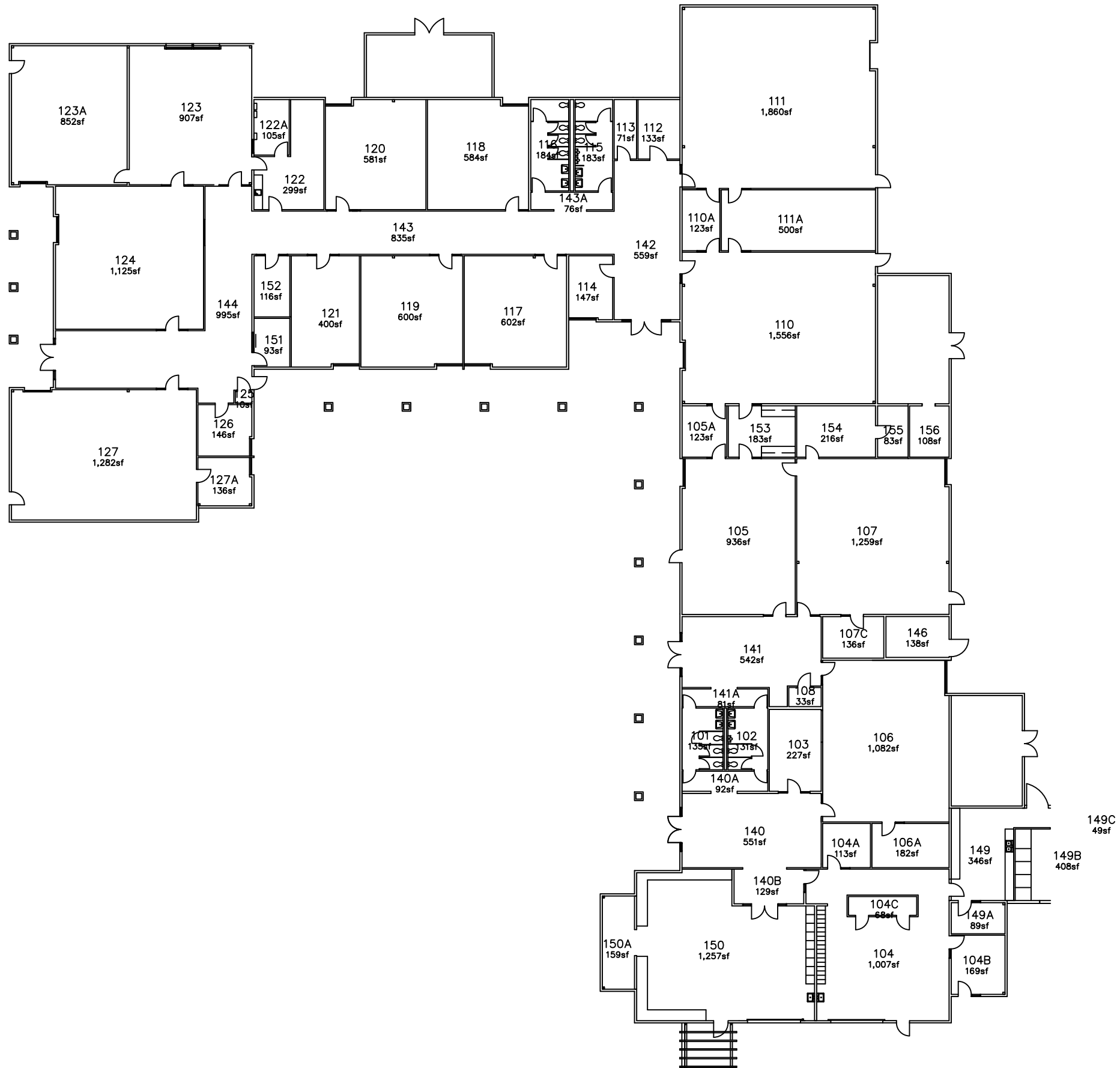
The total estimated Project Budget is \$2,000,000

- \$1,500,000 is funded from Local GO Bond 2021 Legislature
- \$500,000 is funded from 2018 Local Bond Match

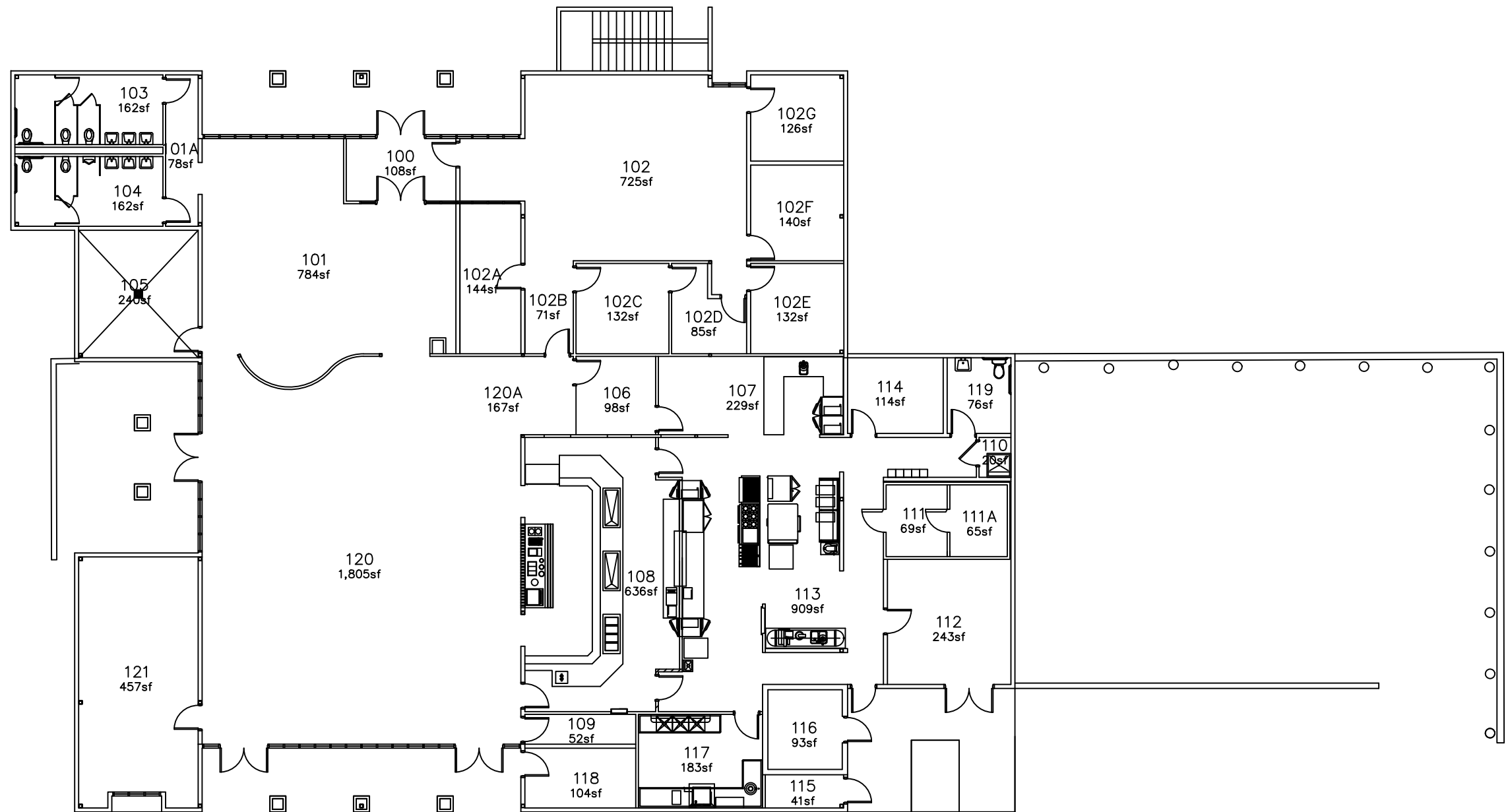
UNM Valencia: Fire Suppression Upgrades

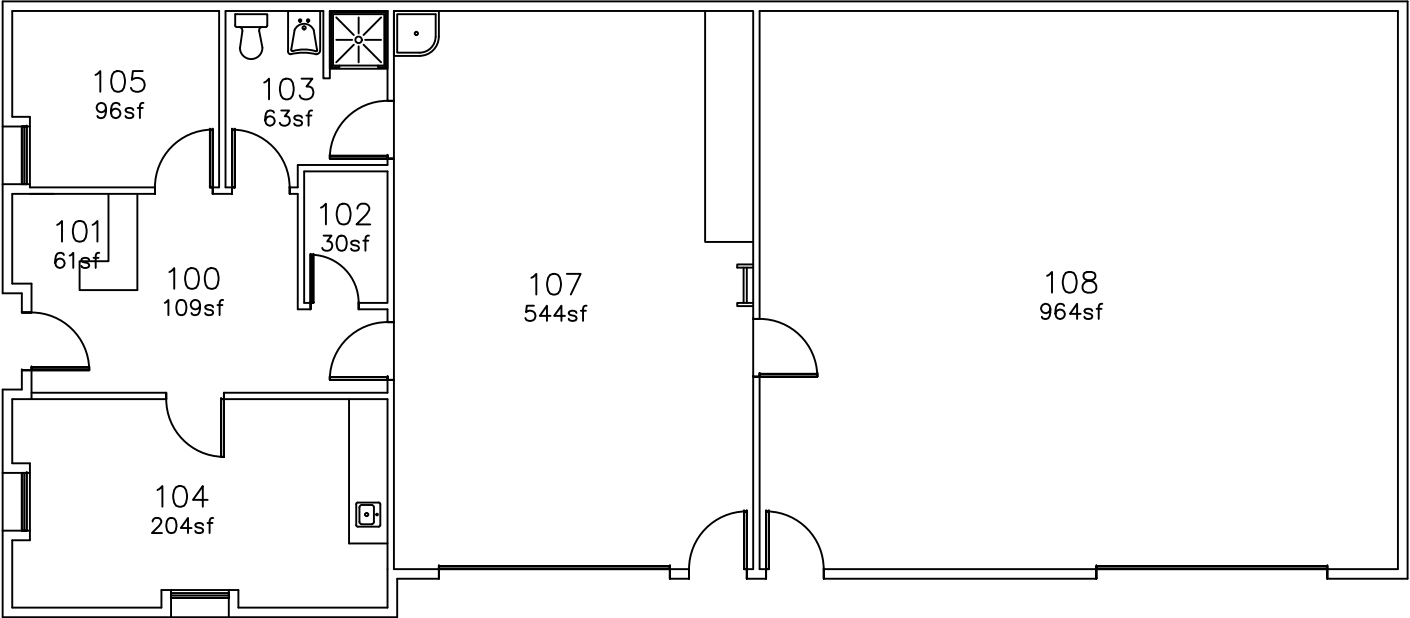






CAREER EDUCATION
FIRST FLOOR
 VALENCIA CAMPUS





**REQUEST FOR CAPITAL PROJECT CONSTRUCTION APPROVAL for
Laguna & DeVargas Roof Replacement
UNIVERSITY OF NEW MEXICO
May 4, 2021**

REQUESTED ACTION:

In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested for **Laguna and DeVargas Roof Replacement, Main Campus, Albuquerque, New Mexico.**

PROJECT DESCRIPTION:

Laguna Hall and DeVargas Hall are located on the University of New Mexico's Main campus in Albuquerque, New Mexico. Laguna Hall is 46,340 GSF, and DeVargas Hall is 46,812 GSF, for a combined square footage of 93,152 GSF. This project will replace the roofs of both buildings. The existing roofing materials will be removed to the deck to achieve code compliance, and a deck insulation with a minimum R-30 thermal value will be installed. We will install new, minimally-sloped Tapered Insulation to augment existing structural drainage. The system includes a new thermoplastic polyolefin (TPO) eighty (80) mil adhered membrane over a one-half (1/2) inch thick high-density insulation cover board adhered to a minimum R-30 tapered polyisocyanurate insulation assembly mechanically attached to the metal roof deck. At the pre-cast concrete parapets, we will sheath the inner parapet, then wrap the roof system membrane base flashing "up-and-over" the parapets terminating on the outside face. Each building roof will have a 20-year warranty.

PROJECT RATIONALE:

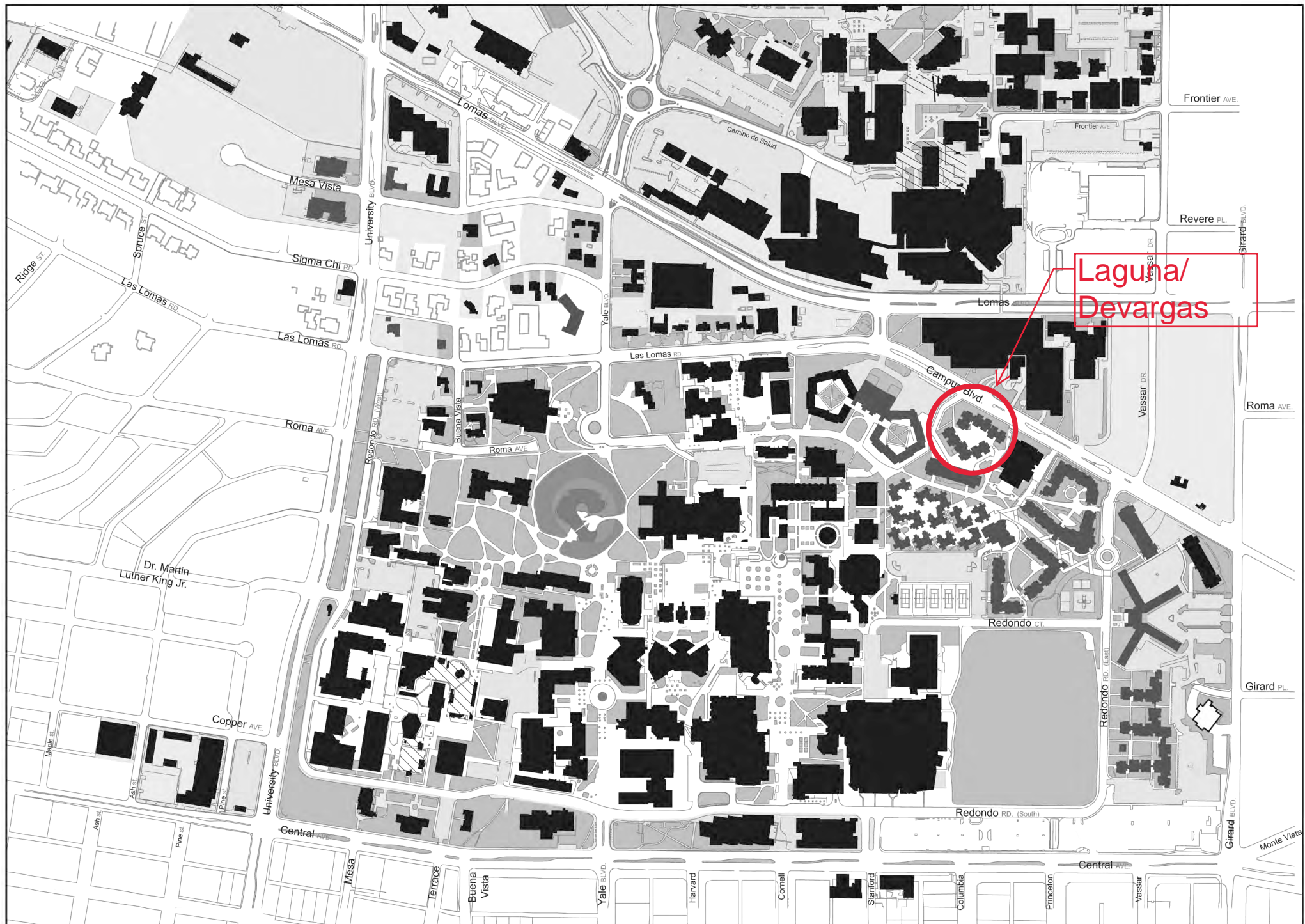
The proper functioning of the roofs on Laguna and DeVargas Halls are essential to the ability of Residents Life and Student Housing (RLSH) to continue to occupy the upper floors of the buildings. In current form, when we experience heavy precipitation on campus, the roofs leak into various parts of the building, including student rooms. This causes undue burden on the students living within the residence hall to relocate, as well as strain on the personnel and financial resources of RLSH to repair the damage caused by the leaks each time it occurs. Should this project not be approved, there would be questionable ability to occupy the upper floors of Laguna and DeVargas Halls, which reduces the overall capacity of housing available on UNM's Main campus. Additionally, the continued leaks will cause on-going damage to the buildings, a valuable asset of the University of New Mexico.

FUNDING:

The total estimated Project Budget is \$740,665:

- \$740,665 is funded from Plant Fund Reserves

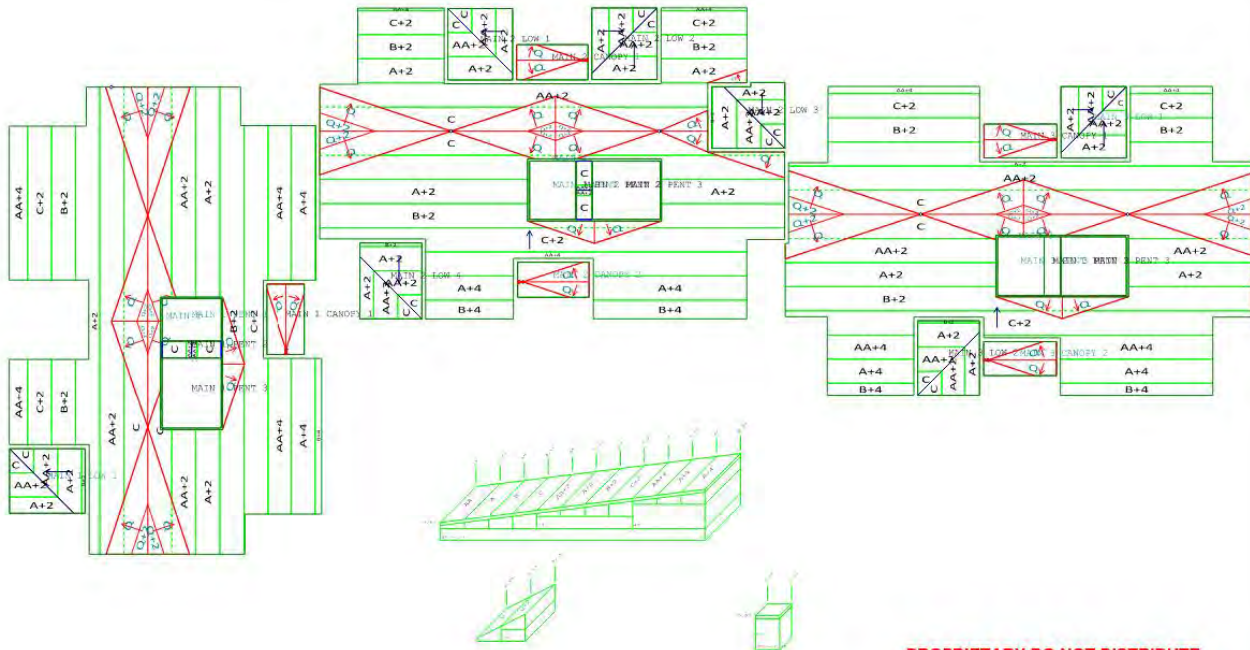
The University of New Mexico - Central Campus





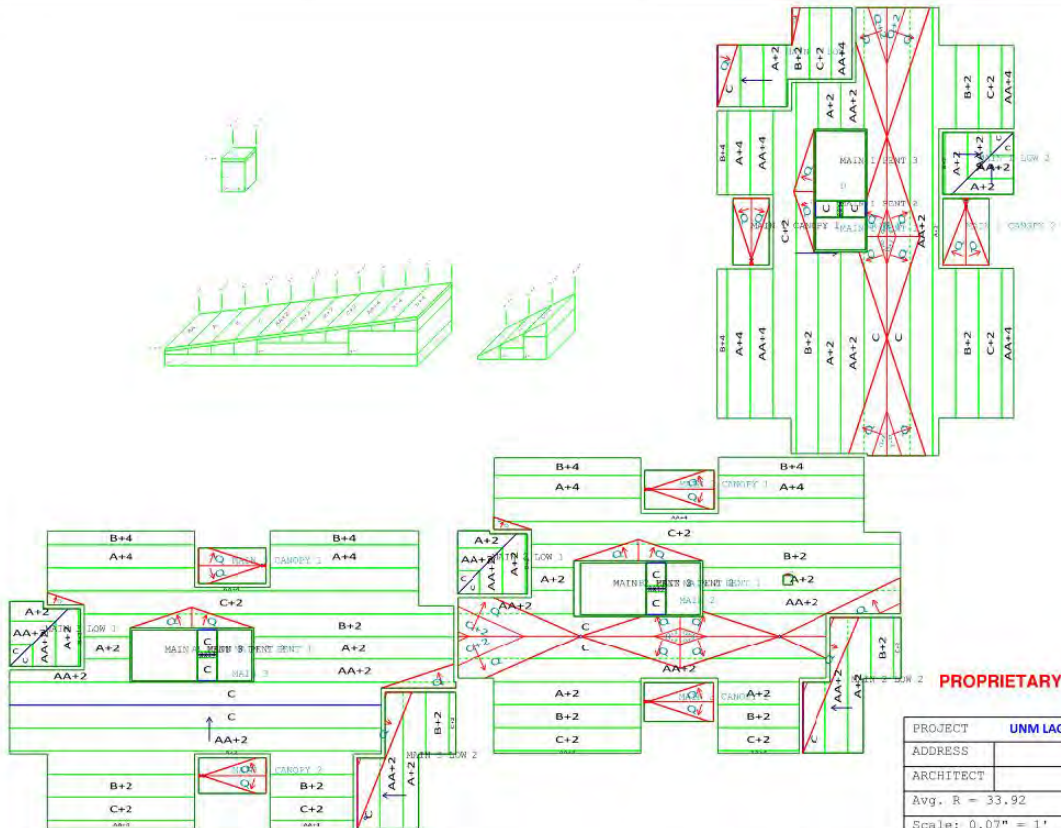
Devargas

Laguna



PROPRIETARY DO NOT DISTRIBUTE

PROJECT	UNM de Vargas Hall		
ADDRESS			
ARCHITECT			
Avg. R = 34.32			
Scale: 0.075" = 1'	Total SqFt = 10640.6		



PROPRIETARY DO NOT DISTRIBUTE

PROJECT	UNM LAGUNA HALL	
ADDRESS		
ARCHITECT		
Avg. R = 33.92		
Scale: 0.07" = 1'	Total SqFt = 10785.9	

**REQUEST FOR CAPITAL PROJECT CONSTRUCTION APPROVAL for
UNIVERSITY HOUSE EXTERIOR REPAIRS
UNIVERSITY OF NEW MEXICO
May 4, 2021**

REQUESTED ACTION:

In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested for **University House Exterior Repairs on the Albuquerque Central Campus**.

PROJECT DESCRIPTION:

The University House (Building 51) is listed on the national-register of historic places and consists of 7,037 Gross Square Feet (GSF), and serves as the residence of the University President. University House is a major entertainment center for guests and a showcase for UNM. The current exterior needs to be renewed to improve the longevity and quality of the building.

The project includes repair, patching and power washing of the exterior stucco, as well as the stucco sections of the site wall. Repair or replacement of wood trim elements of the building exterior and site walls including trellises, portals, vigas, porticos, headers and latillas is included. Metal sections of the site wall will be repainted.

PROJECT RATIONALE:

An April 2019 Facilities Management report on the structure outlined the need for significant repairs to the facility exterior. In January of 2020, a design-only assessment was authorized to prioritize the needed repairs, and to develop Construction Drawings for the most-needed repairs, with consultation and input from the UNM Historic Preservation Committee and the State of New Mexico Historic Preservation Division during design.

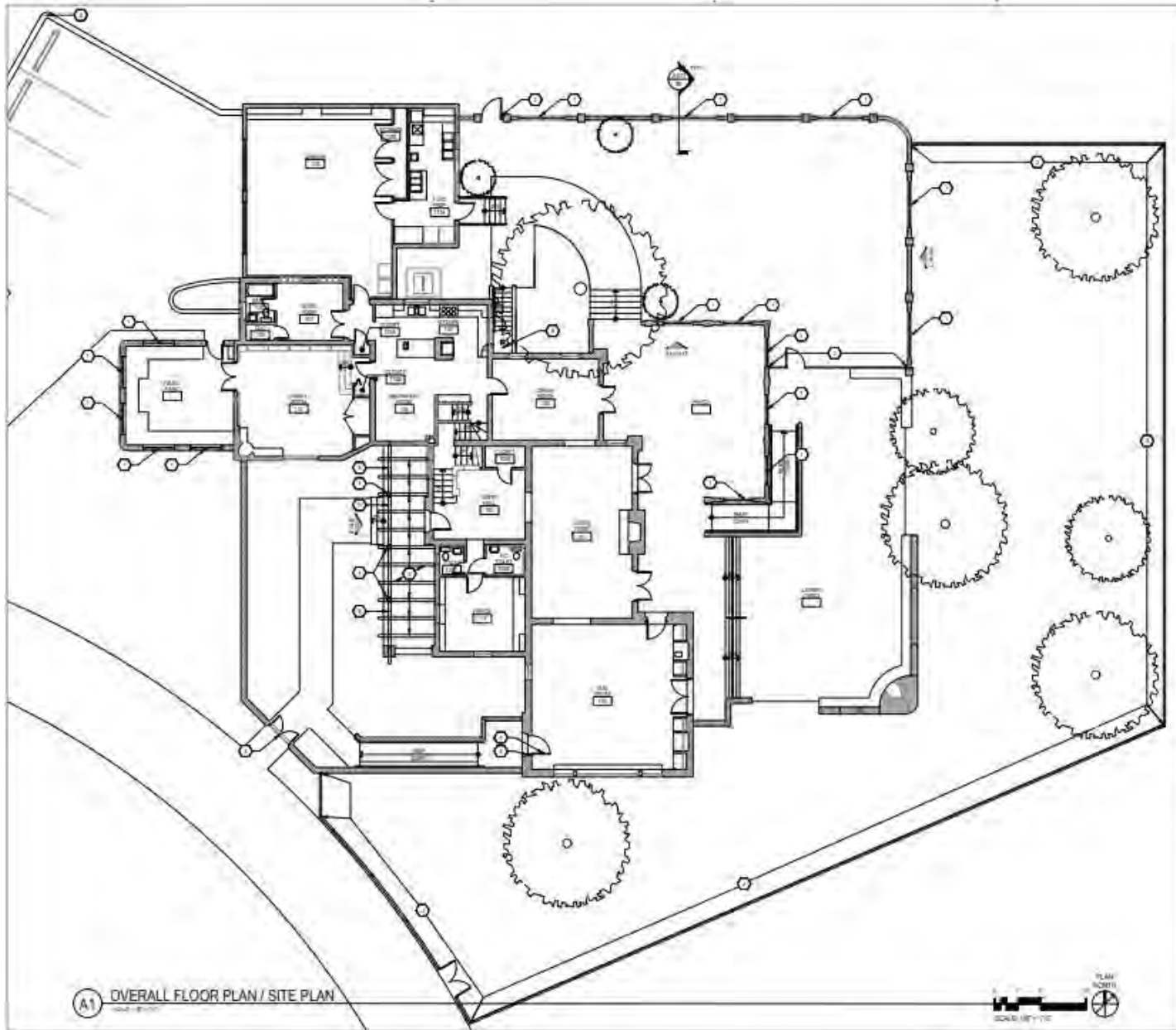
If this project is not approved, further deterioration of this historical structure will continue and the deteriorated architectural elements will degrade to the point that they will fail and detach from the structure and cause potential safety risks.

FUNDING:

The total estimated Project Budget is \$320,600:

- \$320,600 is funded from FY21 Building Renewal & Replacement (BR&R) funds

University House Building 51





Lobo Energy, Incorporated

April 15, 2021

Teresa Costantinidis
Senior Vice President for Finance & Administration
The University of New Mexico MSC 05-3350
Albuquerque NM 87131-0001

RE: Appointment to Lobo Energy, Inc. Board of Directors

Dear Senior Vice President Costantinidis:

The Lobo Energy Board of Directors seeks to re-appoint a public member. Article IV, Section 1 of the Lobo Energy Bylaws states, "The public members shall be appointed by the Board of Regents." It is also stated in Article IV, Section 1 that "Each public member may serve more than one term." Jon Word has indicated that he is willing to serve a seventh term as a public member. His sixth term of service expired on April 11, 2021.

Per the attached Bio, Mr. Word is a twenty-five-plus-year veteran of the paging, cellular phone and wireless telecommunications industries. He is currently President and C.E.O. of SelectPath, Inc., a wireless service provider, paging carrier, and tower management company. Contact Wireless, a SelectPath, Inc. subsidiary, is a paging carrier that provides service in New Mexico, Colorado and Texas. Another SelectPath subsidiary, Wireless Repair, Inc., provides repair and refurbishment services for wireless companies nationwide. From 1985 to 1991, Mr. Word was the co-founder, Director and President of Cellular Information Systems, Inc. (CIS), which is one of the nation's top 20 cellular telephone providers.

In addition to serving on the Lobo Energy Board, Mr. Word serves on other Boards. From September 1998 until September 2008, and again from 2011 until present, Mr. Word has served on the Albuquerque International Airport Board. He served as Chairman of that Board for five years. From 1994 to 2003 he served as Chairman of the New Mexico Mortgage Finance Authority (MFA) Board. He also served on the Wells Fargo Bank Leadership Council from 1995 to 1998 and has served on the Regional Board of Directors for Boys Scouts of America.

Mr. Word's Bio provides further details about his career and service to the community.

Please bring this nomination before The University of New Mexico Board of Regents' Finance & Facilities Committee at its May 04, 2021 meeting for approval.

Sincerely,

A handwritten signature in cursive script that reads "Jason Strauss".

Jason Strauss
President/CEO

Enclosure

JON D. WORD

Bio

Jon D. Word, a twenty plus year veteran of the paging, cellular phone and wireless telecommunications industries, is currently President and CEO of SelectPath, Inc., a wireless service provider, paging carrier, and tower management company. Contact Wireless, a SelectPath subsidiary is a paging carrier that provides service in the States of New Mexico, Colorado and Texas. Another SelectPath subsidiary, Wireless Repair, Inc. provides repair and refurbishment services for wireless companies nationwide. SelectPath employs over 45 people in their three offices.

In September 1998, the Mayor of Albuquerque, Jim Baca appointed Mr. Word to a 4-year term to the Albuquerque International Airport Board of Directors. In 2006 Mayor Martin Chavez reappointed Word for a third 4-year term. In 1999 he was elected Chairman and served five of his eight years on the Board as its Chairman.

In June 1998, Mr. Word was appointed and still serves as a Director of Lobo Energy, a utilities corporation formed to supply all energy needs to the University of New Mexico system.

From 1994 to 2003 Mr. Word served as Chairman of the New Mexico Mortgage Finance Authority (MFA) Board of Directors. The MFA is a non-profit government enterprise formed to provide mortgage loans to low income New Mexico residence. This position was one of four on the board that is appointed by the Governor. The MFA's board directs and oversees the \$1 billion assets of the MFA; the state's largest housing finance provider for low-income New Mexicans.

Mr. Word has previously served on the Wells Fargo Bank Leadership Council from 1995 to 1998. This Committee was formed as an advisory committee to the bank's senior management to review new product and service offerings. From 1993 to 1998, Mr. Word also served as a Director of Specialty Teleconstructors, Inc., an Albuquerque-based publicly traded telecommunications construction company which operates throughout the United States.

From 1985 - 1991, Mr. Word was the co-founder, Director, and Executive Vice President of Cellular Information Systems, Inc. (CIS) one of the nation's top 20 cellular telephone providers. During this period, Mr. Word founded CIS and expanded its operations from a local company in Lubbock, Texas to 26 operations in 13 states and over 250 employees. While at CIS, Mr. Word was responsible for all day-to-day company operations including the company's financing and acquisition activity. In 1989, the company made an initial public offering and at the time of Mr. Word's departure in 1991, the company had a public market value of over \$200 million dollars.

From 1984 - 1985, Mr. Word worked as an account executive for Picker International. At the time of his departure Mr. Word was ranked number three in sales for North America. During his entire two-year tenure he was consistently among the top 10 sales associates and was credited with over \$12.5M in sales.

Mr. Word holds a B.S. degree in Engineering Technology from Texas A & M University. While in college Mr. Word played on the varsity football team, owned and operated a flight school, was active as a Board member of the school's Flying Club and started and operated other small businesses including the design and marketing of a specialty board game which still sells today.

Mr. Word was elected Who's Who in Denver Telecommunications in 1990, Who's Who in Telecommunications in 1996, and to Outstanding Young Men in America in 1985. Mr. Word has participated in many community benefit activities. These include Young Presidents Organization (YPO); Regional Board of Directors for Boy Scouts of America; S.C.O.R.E. founder, a program to promote a drug free Albuquerque; and APS-CG, an annual golf tournament to raise money for Albuquerque mid-school sports. Mr. Word is also an active pilot and an Eagle Scout. Mr. Word has one son, Kyle, age fifteen, and one daughter, Taylor, age thirteen.



Lobo Energy, Incorporated

April 15, 2021

Teresa Costantinidis
Senior Vice President for Finance & Administration
The University of New Mexico
Scholes Hall, Room 111 MSC 05-3350
Albuquerque, New Mexico 87131-0001

RE: Appointment to Lobo Energy, Incorporated Board of Directors

Dear Senior Vice President Costantinidis:

The Lobo Energy, Inc. Bylaws state, "The public members shall be appointed by the Board of Regents." The UNM Board of Regents appointed Bruno E. Carrara to serve his first four-year term as a public member on the Lobo Energy Board of Directors at its December 2009 meeting, pursuant to Article IV, Section 1 of the Lobo Energy Bylaws. Mr. Carrara's third term expires December 10, 2021. He has indicated that he is willing to serve a fourth four-year term on the Lobo Energy Board, if appointed by the Board of Regents.

Attached is a resume for Mr. Carrara which details his vast experience. We would appreciate it if you would bring this nomination before UNM Board of Regents' Finance and Facilities Committee at its meeting on May 04, 2021 for approval.

Sincerely,

A handwritten signature in cursive script that reads "Jason Strauss".

Jason Strauss
President/CEO

Enclosure

VITA
Bruno Eugene Carrara, P.E.

Mr. Carrara received an M.S. degree in Mechanical Engineering from the University of New Mexico, and is a graduate of the Program from Management Development at Harvard Business School. Mr. Carrara is a lifetime member of Sigma Tau and Pi Tau Sigma, engineering honorary societies. He has been a Registered Professional Mechanical Engineer in New Mexico since 1976, and has been a long-time member of the National Society of Professional Engineers and of the American Society of Professional Engineers. Mr. Carrara has published several documents and has prepared expert testimony in local, national, and foreign jurisdictions.

Mr. Carrara has nearly 50 years of experience in all areas of electric and natural gas utility management and operation. At the Public Service Company of New Mexico (PNM), his career included executive responsibility for power plant and natural gas pipeline construction projects; power plant and transmission planning, siting and environmental permitting; alternative energy and conservation development; customer service system development for natural gas and electric distribution; rate development and government affairs; and natural gas purchase and fuel supply contracts. Mr. Carrara also spent several years in PNM's non-utility subsidiaries as an officer involved in coal mining, real estate, banking and savings and loan, and manufacturing ventures.

Mr. Carrara retired from PNM after 25 years and formed a one-man company, specializing in domestic and international work in the energy field, consulting mostly for major US utility holding companies that were establishing foreign operations in eastern Europe, including the former Soviet Union and the Balkan region, Turkey and the Caucasus region. Mr. Carrara and his associates, through partnership arrangements with local entities, were responsible for preparing responses to government energy project tenders, conducting negotiations, and arranging the financing for the projects. He also represented private equity owners in various capacities in energy-related projects including a small oil-exploration and production venture and a medium-sized regional electric distribution system in Turkey. His assignments brought him in contact with senior energy policy and government officials in the various countries, often advising on how to liberalize and deregulate their energy infrastructure. His last major assignment before returning to the US was as principal construction advisor on a liquefied natural gas (LNG) receiving terminal privately being built in Turkey. Mr. Carrara also arranged for the short term LNG supply for the private entity.

In 2003, Mr. Carrara became New Mexico's Statutory Pipeline Safety Engineer and head of the Pipeline Safety Bureau of the NM Public Regulation Commission. As Pipeline Safety Engineer, he and his staff had responsibility for inspection of intrastate natural gas and hazardous liquid pipeline operators for compliance with federal and state safety standards, and also for enforcement of NM's excavation damage prevention law for all underground utilities. The NM Pipeline Safety Program gained regional and national reputation under Mr. Carrara's leadership for innovative and effective enforcement methods.

Early in 2010, Mr. Carrara accepted a position with the US Department of Transportation, Pipeline and Hazardous Material Safety Administration's Houston office, as an accident investigator and pipeline safety inspector.

Later in 2010, Mr. Carrara returned to New Mexico and accepted the position of Bureau Chief for the Electrical Engineering Bureau of the Utility Division of the New Mexico Public Regulation Commission. He subsequently was selected as the Utility Division Director, and also served for a short period as the Interim Chief of Staff for the Commission. The Utility Division is involved in every manner of service and rate regulation of New Mexico's investor owned electric utilities, rural electric cooperatives, telecommunications service providers, natural gas utilities, and certain public water and wastewater utilities. The Division conducts investigations into quality of service matters and analyzes utility requests for rate adjustments, requests for approval of new facilities such as generation and transmission resources, and requests for the acquisition of renewable energy and energy efficiency resources. As an expert witness, Mr. Carrara presented written and oral testimony before hearing examiners and the Commission. During his time with the Commission, Mr. Carrara was an Advisory Board Member for the Peak Reliability for the Western Interconnection and represented the Commission on the Western Governors Association's Western Interstate Energy Board.

Mr. Carrara is a past member of the governing boards of the Security and Integrity Foundation of the American Public Gas Association and of several local charitable organizations. He is active in the local professional engineering chapters.

Mr. Carrara retired in December, 2016, and maintains an active interest in utility and energy matters.



Lobo Energy, Incorporated

April 15, 2021

Teresa Costantinidis
Senior Vice President for Finance & Administration
The University of New Mexico
Scholes Hall, Room 111 MSC 05-3350
Albuquerque, New Mexico 87131-0001

RE: Appointment to Lobo Energy, Incorporated Board of Directors

Dear Senior Vice President Costantinidis:

The Lobo Energy, Inc. Bylaws state, "The public members shall be appointed by the Board of Regents." The UNM Board of Regents appointed Thomas J. Ruiz to serve his first four-year term as a public member on the Lobo Energy Board of Directors at its August 14, 2001, meeting, pursuant to Article IV, Section 1 of the Lobo Energy Bylaws. Mr. Ruiz' has served five terms; his fifth term expires August 16, 2021. Mr. Ruiz has indicated that he is willing to serve a sixth four-year term on the Lobo Energy Board, if appointed by the Board of Regents.

Per the attached resume, Mr. Ruiz was employed in the Bernalillo County District Court for 25 years. He was the Court Administrator for 22 years, overseeing a \$15.2 million budget, \$22.0 million in trust funds and a 260-person staff in three locations. During the last 14 years he was with the Court, the responsibilities of Clerk of the Court were added to his duties. He has broad administrative experience. Since his retirement in 2000, Mr. Ruiz has been the Operation Manager of the Downtown Action Team, as well as a real estate broker. He has been very active in community affairs over the years. He is currently the CEO of Today's Restoration DKI.

Please bring this nomination before The University of New Mexico Board of Regents' Finance & Facilities Committee at its May 04, 2021 meeting for approval.

Sincerely,

A handwritten signature in cursive script that reads "Jason Strauss".

Jason Strauss
President/CEO

Enclosure

THOMAS J. RUIZ

ADMINISTRATIVE/MANAGEMENT SUMMARY

- Court executive working as an advocate for the court, with strengths in technology, human resource area, government relations, finance, and communication.
- Strength in government relations and providing testimony to state and local government bodies, drafting legislation, developing and implementing strategies for successful passage of legislation.
- Recognized as a consensus builder; able to move issues through complex and contentious stages to practical solutions.
- Recognized by the judicial, legal, and management community as a creative, innovative leader.
- Skilled and trained in decision making, strategic planning, detailed budgeting and financing abilities.
- Able to apply statutory and case law requirements to projects, problems and issues.
- Expertise in construction management, conducted pre-bid meetings, developed scope of work for contracts, negotiated contract price and finalized contract language; developed RFQ's and RFP's for District Court contracts.

PROFESSIONAL EXPERIENCE

2000/2001

DOWNTOWN ACTION TEAM

Operation Manager of the first Business Improvement District in the State of New Mexico

REAL ESTATE BROKER with Allied Realty, Inc.

1978/2000

SECOND JUDICIAL DISTRICT COURT

Court Administrator of largest judicial district in the State of New Mexico

-
- CEO and chief spokesperson for a court of five specialized divisions with a \$15.2 million budget, \$22.0 million in trust funds and a 260-person staff organization, with oversight of three locations.
 - Led the development of new programs, including creation and implementation that have had a positive impact on the judiciary and the community; developed financing strategies for three new court buildings and their renovation at a combined cost of \$122.0 million.
 - In depth knowledge of Human Resource area and Human Resource law.
 - Court representative on policy/ technical issues for numerous Local, Statewide and National Committees dealing with state-wide case management system and individual district court technical issues.

1986 to 2000

CLERK OF THE COURT

- Accepted administrative oversight of clerk's office to ensure compliance with court rules and statutory requirements; current active caseload is approximately 68,000.
- Created training manuals that increased morale and decreased employee turnover.
- Implemented the first automated computer system in the District that provided a secure and expeditious method of monitoring and handling our continually increasing caseload.

1975 to 1978

COURT FINANCIAL SPECIALIST

- Governmental accounting of accounts payable and receivable.
- Assisted in the development of fiscal reports and interpretive presentations on spending patterns.
- Purchasing agent for the court and Juvenile Detention Center which included office and food supplies.

1970 to 1975

BUSINESS OWNER

- Construction with cost estimating, bidding, scheduling, and supervising of projects.
 - General office management of finances and personnel.
 - Compliance with OSHA and state regulations.
-

-
- Current holder of New Mexico Real Estate Brokers license.
-

EDUCATION

Albuquerque Technical and Vocational Institute
Business Management
University of New Mexico
Robert O. Anderson School of Business
Dearborn Financial Institute
Real Estate

SELECTED ACCOMPLISHMENTS

- Bilingual in Spanish.
 - Authored professional articles for publication in the areas of the judiciary, management and technical issues.
 - Member of team that revised State Court Personnel Rules and Compensation Scales.
 - Member of team that envisioned and formulated Metropolitan Court for three court systems of county, state, and municipal.
 - Member of committee to establish Rozier E. Sanchez Judicial Educational Training Center.
 - Chairman of Statewide Computer Project that oversees case management, electronic filing, realtime court reporting and Differentiated Case Management.
 - Built consensus for Court security and implemented system which was a politically sensitive issue.
 - Assisted with design and development of Juvenile Justice Center, District Courthouse, Bernalillo County Detention Center, District Attorney's Office and public remodel projects.
 - Consultant for Justice Management Institute and Cosgrove Production for television.
 - Listed in International Who's Who of Professionals; 1999
-

PUBLIC SPEAKING

- National Center for State Courts, National Association of Court Managers, National Court Reporters Association, University of New Mexico Graduate Class, Rozier E. Sanchez Judicial Training Center, Albuquerque and State Bar Association and High Schools.

REFERENCES

Excellent references available upon request.

RESOLUTION

RELATING TO THE REGENTS OF THE UNIVERSITY OF NEW MEXICO HUD INSURED MORTGAGE LOAN IN AN AMOUNT NOT TO EXCEED \$320,000,000 FOR THE PURPOSE OF FINANCING THE HOSPITAL TOWER PROJECT; RATIFYING CERTAIN ACTIONS HERETOFORE TAKEN; AND REPEALING ALL ACTION INCONSISTENT WITH THIS RESOLUTION.

BE IT RESOLVED by the Board of Regents (the “Regents”) of the University of New Mexico (the “University”):

WHEREAS, University of New Mexico Hospitals (“UNM Hospitals”) is the primary teaching hospital of the University of New Mexico School of Medicine and a major source of tertiary care for citizens of the State of New Mexico (the “State”); and

WHEREAS, the Regents have previously approved the development of a project to construct a new hospital tower as a part of the UNM Hospitals as more fully described in Exhibit A to this Resolution and incorporated herein by this reference thereto (the “Project”); and

WHEREAS, UNM Hospitals is owned partly by the University and partly by Bernalillo County (the “County”) and is operated by the University under a lease with the County; and

WHEREAS, in order to finance a portion of the Project, the University intends to grant a mortgage (the “Section 242 Mortgage”) on certain real property owned by the Regents and obtain mortgage insurance available under Section 242 and 241 of the National Housing Act (“Section 242 Mortgage Insurance”) through the Federal Housing Administration (the “FHA”); and

WHEREAS, the Section 242 Mortgage will secure a HUD insured mortgage loan (the “Loan”) to be made to the Regents by Wells Fargo Bank, National Association (the “Lender”);

NOW THEREFORE BE IT RESOLVED BY THE BOARD OF REGENTS OF THE UNIVERSITY OF NEW MEXICO:

Section 1. Ratification. All actions not inconsistent with the provisions of this Resolution heretofore taken by the Regents and the officials of the University and UNM Hospitals directed toward the financing of the Project, the Section 242 Mortgage, the Section 242 Mortgage Insurance and the Loan be and the same hereby are ratified, approved and confirmed.

Section 2. Special Limited Obligations. That funds for repayment of the Loan to be secured by the Section 242 Mortgage will be derived solely from UNM Hospitals revenues, and in the event of default the Section 242 Mortgage can be foreclosed but there shall be no recourse to any deficiency judgment against the State, UNM Hospitals, the University, the Regents or the County.

Section 3. Refunding/Refinancing Obligations. That this Resolution and form of notice are adopted to authorize the Loan:

(a) ***Authorization of Loan.*** The Regents of the University of New Mexico, a body corporate under the constitution and laws of the State of New Mexico, authorizes the Loan in an amount not to exceed \$320,000,000. The Loan will be incurred in full compliance with the laws of the State, including Sections 6-17-1 through 6-17-19 NMSA 1978, 6-14-1 through 6-14-12 and 6-18-1 through 6-18-16 NMSA 1978, as amended, all resolutions, undertakings and enactments of the Regents relating to the Loan, all as amended or supplemented. The interest rate on the Loan shall not exceed 5.65% and the Loan shall not mature later than July 31, 2051. The structure and terms of the Loan shall be as set forth in the documents relating to the Section 242 Mortgage, the Section 242 Mortgage Insurance and the Loan (collectively, the “Documents”). The Loan will be incurred by the Regents for the purpose of financing a portion of the Project. The Regents authorize, upon their completion to the satisfaction of the University’s General Counsel, the Documents. The final structure and terms of the Loan and the Documents shall be presented to the Regents for approval in a resolution to be considered by the Regents at a later date.

(b) ***Notice of Resolution Authorizing the Issuance of Public Securities for Publication and Limitation of Actions.*** In accordance with the provisions of Section 6-14-6 NMSA 1978, as amended, the Secretary and Treasurer of the Regents shall cause the following “Notice of Resolution Authorizing the Issuance of Public Securities” to be published once in the *Albuquerque Journal* or other newspaper of general statewide circulation, and cause a copy of this Resolution to be kept on file in the office of the University’s General Counsel for public examination during regular business hours until at least 30 days from and after the date of publication thereof. The “Notice of Resolution Authorizing the Issuance of Public Securities” shall be in substantially the following form:

[FORM OF NOTICE]

NOTICE OF RESOLUTION AUTHORIZING THE
ISSUANCE OF PUBLIC SECURITIES

NOTICE IS GIVEN pursuant to the provisions of Section 6-14-6 NMSA 1978, as amended, that on May 11, 2021 the Regents of the University of New Mexico (the “Regents”) adopted a resolution (the “Resolution”) relating to a HUD insured mortgage loan in an amount not to exceed \$320,000,000 (the “Loan”). The Loan will be incurred in full compliance with the laws of the State, including Sections 6-17-1 through 6-17-19 NMSA 1978, 6-14-1 through 6-14-12 and 6-18-1 through 6-18-16 NMSA 1978, as amended, all resolutions, undertakings and enactments of the Regents relating to the Loan, all as amended or supplemented. The interest rate on the Loan shall not exceed 5.65% and the Loan shall not mature later than July 31, 2051. The structure and terms of the Loan shall be as set forth in the documents relating to the Section 242 Mortgage, the Section 242 Mortgage Insurance and the Loan (collectively, the “Documents”). The lender making the Loan is Wells Fargo Bank, National Association. The Loan will be incurred by the Regents for the purpose of financing the construction of a new hospital tower as a part of the University of New Mexico Hospitals. The final structure and terms of the Loan and the Documents shall be presented to the Regents for approval in a resolution to be considered by the Regents at a later date.

A copy of the Resolution is on file in the office of the University's General Counsel, Room 318, Health Sciences and Services Building at the University of New Mexico, in Albuquerque, New Mexico, where it may be examined during regular business hours from 9:00 a.m. to 12:00 p.m. and 1:00 p.m. to 5:00 p.m. The Resolution shall be available for inspection for a period of at least 30 days from and after the date of the publication of this notice.

This notice is given pursuant to the Public Securities Limitation of Action Act, Sections 6-14-4 to 6-14-7 NMSA 1978, as amended.

DATED this 11th day of May, 2021.

**THE REGENTS OF THE UNIVERSITY OF
NEW MEXICO**

[END OF FORM OF NOTICE]

Section 4. Authorization. The officers of the Regents, the University and UNM Hospitals are empowered to do and perform all acts reasonably necessary to accomplish the financing of the Project, and to execute and deliver such other instruments and documents as may be necessary to enable the Regents and UNM Hospitals to effectuate the financing of the Project.

Section 5. Repealer. All policies and resolutions, or parts thereof, inconsistent herewith, are hereby repealed to the extent of such inconsistency. This repealer shall not be construed as reviving any policy or resolution, or part thereof, heretofore repealed.

Section 6. Separability. If any section, paragraph, clause or provision hereof shall be held to be invalid or unenforceable, the invalidity or unenforceability of such section, paragraph, clause or provision shall not affect any of the remaining provisions of this Resolution.

Section 7. Effective Date. The Resolution shall become effective immediately upon its passage and adoption by the Regents.

PASSED, ADOPTED AND APPROVED THIS 11th DAY OF MAY, 2021.

[SEAL]

THE REGENTS OF THE UNIVERSITY OF
NEW MEXICO

By _____
Kimberly Sanchez Rael,
Regent Vice President

Attest:

By _____
Sandra K. Begay,
Regent Secretary and Treasurer

EXHIBIT A

DESCRIPTION OF THE PROJECT

The Project consists of the construction of a seven-story, approximately 570,000 square foot new hospital tower structure that will house:

- 96 new inpatient beds, in four 24-bed intensive care units;
- an interventional platform that includes 18 operating rooms, four catheterization labs, six interventional radiology suites, and a perioperative area with 73 PACU/ preop/ recovery beds;
- a diagnostic imaging platform that includes two MRIs, three CT Scanners, one Radiology —Fluoroscopy, two General Radiation and two Ultrasound Rooms; and
- an adult emergency department that includes two trauma, eight resuscitation, 40 exam, two triage, eight fast track rooms, 10 behavioral exam (two rooms for persons under the custody of law enforcement).

THE REGENTS OF THE UNIVERSITY OF NEW MEXICO

RESOLUTION

SETTING FORTH THE TERMS OF THE PREVIOUSLY AUTHORIZED HUD INSURED MORTGAGE LOAN IN AN AGGREGATE PRINCIPAL AMOUNT OF NOT TO EXCEED \$320,000,000; RATIFYING AND APPROVING THE EXECUTION AND DELIVERY OF DOCUMENTS AND AGREEMENTS RELATING TO SUCH LOAN; PRESCRIBING OTHER DETAILS CONCERNING SUCH LOAN; AND AUTHORIZING ANY OTHER NECESSARY ACTION TO EFFECT THE DELIVERY OF SUCH LOAN.

WHEREAS, The Regents (the “Regents”) of the University of New Mexico (the “University”) have previously adopted on May 11, 2021 a parameters resolution (the “Authorizing Resolution”) authorizing the development and financing of a project to construct a new hospital tower (the “Project”) as a part of the University of New Mexico Hospitals (“UNM Hospitals”); and

WHEREAS, the Regents will grant a mortgage (the “Section 242 Mortgage”) on certain real property owned by the Regents and obtain mortgage insurance available under Section 242 and 241 of the National Housing Act (“Section 242 Mortgage Insurance”) through the Federal Housing Administration (the “FHA”); and

WHEREAS, the Section 242 Mortgage will secure a HUD insured mortgage loan (the “Loan”) to be made to the Regents by Wells Fargo Bank, National Association (the “Lender”); and

WHEREAS, the Regents wish to adopt this resolution (the “Pricing Resolution” and, together with the Authorizing Resolution and the Pricing Resolution, the “Resolution”) in order to supplement and amend certain of the provisions of the Authorizing Resolution; and

WHEREAS, the Regents hereby determine that it is in the best interest of the University that it finance a portion of the Project with the proceeds of the Loan; and

WHEREAS, there has been presented to the Regents the documents listed in Exhibit A to this Pricing Resolution and incorporated herein by this reference thereto (the “Transaction Documents”);

NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF REGENTS OF THE UNIVERSITY OF NEW MEXICO, AS FOLLOWS:

Section 1. Approval and Execution of Transaction Documents. The Regents hereby authorize and approve any necessary action to be performed by the University to effectuate the consummation of the transactions contemplated by the Transaction Documents. The Transaction Documents have been presented to the Regents. The terms and provisions of the Transaction Documents are in all respects approved, authorized and confirmed, with such changes, modifications, additions and deletions therein as shall be necessary, desirable or appropriate. The President, Vice President, and Secretary of the Board of Regents and the President, the Executive

Vice President for Administration, Chancellor for Health Sciences, Chief Executive Officer for UNM Hospitals, Senior Executive Finance Officer for Health Sciences, and Chief Financial Officer of UNM Hospitals (“Authorized Officers”) are hereby each authorized to execute the Transaction Documents and any documents required by FHA or the Lender or any other documents that are required to be executed by the Regents.

Section 2. The Loan. The Loan shall be in the aggregate principal amount of not to exceed \$320,000,000. The Loan shall be dated the date that it is executed and shall mature no later than on July 31, 2051. Principal and interest on the Loan shall be payable at the place and on the dates as specified in the Transaction Documents. The Note shall be secured pursuant to the terms and provisions of the Transaction Documents and shall have the specific terms, features and provisions as set forth therein. The net effective interest rate on the Loan shall not exceed 5.65%.

Section 3. Miscellaneous.

(a) ***Resolution Irrepealable; Construction with Authorizing Resolution.*** This Pricing Resolution shall be and remain irrepealable until the Loan, the interest thereon shall be fully paid, canceled and discharged. It is the express intention of the Regents that the terms and provisions of this Pricing Resolution supplement the terms and provisions of the Authorizing Resolution and that the terms and provisions of the Authorizing Resolution which do not conflict with the terms and provisions hereof shall be operative and controlling with respect to the Loan.

(b) ***Severability.*** If any one or more provisions of this Pricing Resolution or the application thereof to any set of circumstances shall ever be held by final decision of a court of competent jurisdiction to be invalid or ineffective for any reason, such holding shall not affect the validity and enforceability of the remaining provisions and pledges of this Pricing Resolution or the application of such remaining provisions to other circumstances.

(c) ***Repealer Clause.*** All bylaws, orders and resolutions of the Regents or the University, or parts thereof, inconsistent herewith are hereby repealed to the extent only of such inconsistency. This repealer shall not be construed to revive any bylaw, order or resolution, or part thereof, heretofore repealed.

(d) ***Ratification.*** All action heretofore taken (not inconsistent with the express provisions of the Resolution) by the Regents and the Authorized Officers directed toward the Project and the Loan is hereby ratified, approved, confirmed and determined to be in compliance with parameters set forth in the Authorizing Resolution.

(e) ***Governing Law.*** This Pricing Resolution shall be governed by the laws of the State of New Mexico without reference to choice of law principles thereof.

(f) ***Effective Date.*** This resolution shall take immediate effect.

ADOPTED AND APPROVED this ____th day of May, 2021.

[SEAL]

THE REGENTS OF THE UNIVERSITY OF
NEW MEXICO

By _____
Kimberly Sanchez Rael,
Regent Vice President

ATTEST:

By _____
Sandra K. Begay,
Regent Secretary and Treasurer

[Signature Page to Pricing Resolution]

EXHIBIT A
TRANSACTION DOCUMENTS

**THE REGENTS OF THE UNIVERSITY OF NEW MEXICO
RESOLUTION**

RATIFYING AND APPROVING THE EXECUTION AND DELIVERY OF THE PRE-COMMITMENT WORK DOCUMENTS RELATING TO THE REGENTS OF THE UNIVERSITY OF NEW MEXICO'S HUD-INSURED LOAN; AND AUTHORIZING ANY OTHER NECESSARY ACTION TO EFFECT THE DELIVERY OF THE PRE-COMMITMENT WORK DOCUMENTS.

WHEREAS, the Regents of the University of New Mexico (the "**University**") is a state educational institution and body corporate under the Constitution and laws of the State of New Mexico with requisite corporate power and authority to operate hospital facilities and to carry on its business as presently being conducted; and

WHEREAS, the Board of Regents (the "**Board**") is constituted and empowered under the Constitution and laws of the State of New Mexico to control and manage the affairs of the University, including the care and preservation of all its property, the erection and construction of all buildings necessary for its use, with the authority to contract and be contracted with; and

WHEREAS, the Board previously approved the development of a project to construct a new hospital tower as a part of the UNM Hospitals (the "**Hospital**") as described more fully in Attachment A to these Resolutions and incorporated herein by reference (collectively, the "**Project**"); and

WHEREAS, the Board previously determined it was appropriate for the University and the Hospital to seek a Commitment for Mortgage Insurance (the "**HUD Commitment**") in an amount not to exceed \$320,000,000, to finance the Project pursuant to a mortgage loan insurance program administered by the U.S. Department of Housing and Urban Development ("**HUD**") under Section 241, pursuant to Section 242, of Title II of the National Housing Act; and

WHEREAS, HUD is currently underwriting the application for the project and the Board has determined that certain portions of the Project must be undertaken prior to HUD's issues of the HUD Commitment (as further described in Exhibit A attached hereto, the "**Pre-Commitment Work**") and the Board has determined that the Hospital has the following urgent and compelling reason for beginning construction of the Project before the HUD Commitment is issued: The proposed work will allow onsite construction to proceed uninterrupted between the parking garage construction work and the Project, and with regard to the Mock-Up, will allow the Hospital to see finishes and test material performance ahead of the actual construction of the building. The Board has determined that if the Hospital is unable to start construction, it will suffer the following harm: the project timeline may be extended resulting in higher construction-period interest and other soft costs of the Project.

NOW, THEREFORE, BE IT RESOLVED THAT, the University and the Hospital through the Authorized Officers (as defined below), is authorized to prepare, execute and file with HUD the Representations and Certifications regarding the Pre-Commitment Work, all relevant

attachments, instruments, certificates, and other documents thereto necessary to secure HUD's approval of the Pre-Commitment Work (each, an "**Pre-Commitment Work Document**" and, collectively, the "**Pre-Commitment Work Documents**"); and be it

FURTHER RESOLVED, that the Board designates and authorizes the following: the President, Vice-President and Secretary of the Board of Regents, and the President, Senior Vice President for Finance & Administration, the Executive Vice President for Health Sciences and Chief Executive Officer of the UNM Health System, the HSC Senior Executive Officer for Finance and Administration, the Hospital CEO, and the Hospital CFO (each, an "**Authorized Officer**," and, collectively, the "**Authorized Officers**") to do or cause to be done all such acts or things, and to sign and deliver, or cause to be signed and delivered, all documents, instruments, and certificates, in the name and on behalf of the University and the Hospital or otherwise, including the Pre-Commitment Work Documents, as they deem necessary, advisable or appropriate to effectuate or to carry out the purposes and intent of the foregoing resolutions; and be it

FURTHER RESOLVED, that in the event that the Application for Mortgage Insurance is denied, and the HUD Commitment for Mortgage Insurance would not be forthcoming, the Board of Trustees of the Hospitals is prepared to finance the Precommitment Work using cash on-hand. The Board affirms that financing the Pre-Commitment Work in this manner would not impose a significant financial hardship on the Hospital as demonstrated by the following: At March 31, 2021, the Hospital has cash in excess of \$400 million with days cash on hand of 125 ; and be it

FURTHER RESOLVED, the Board of Trustees of the Hospitals understands that HUD's agreement to include the cost of the Pre-Commitment Work in a subsequently approved application does not in any way indicate its approval of the Project.

[REMAINDER OF PAGE INTENTIONALLY LEFT BLANK]

ADOPTED AND APPROVED this ____ day of _____, 2021.

THE REGENTS OF THE UNIVERSITY OF NEW MEXICO

[SEAL]

By: _____
Kimberly Sanchez Rael,
Regent Vice President

ATTEST:

By: _____
Sandra K. Begay,
Regent Secretary and Treasurer

Exhibit A

Description of the Pre-Commitment Work

The pre-commitment work will allow onsite construction to proceed uninterrupted between the parking garage construction efforts and phase III of the hospital tower project.

The Pre-Commitment Work includes:

- I. Deep Foundation Contractor Engineering Shop Drawings and Test Pier
- II. Plumbing Underground and Sleeve Coordination
- III. Electrical/Communications Underground and Sleeve Coordination
- IV. Fire Protection Underground and Sleeve Coordination
- V. Structural Concrete Frame Shop Drawing Release
- VI. Exterior mockup
- VII. Tower Crane Anchor Stool Procurement
- VIII. Temporary Power Gear and Feeders for the Tower Cranes

The cost of the work is expected to be approximately \$1,650,000, inclusive of general conditions, overhead and bonding costs.

Advantage of pre-commitment work:

- Timing of various governance meetings and financing meetings, combined with Covid related production delays for precast concrete, have resulted in approximately a one to two month delay in the start of this phase of the construction work.
- Delay in the start of this phase of the construction work means incurring additional costs for labor, materials, and down time between the parking garage construction project and the New Hospital Tower construction project.
- By doing pre-commitment work, this delay and associated additional costs can be avoided.
- Tower cranes can be installed immediately following relocation of the PNM power transmission lines.
- Foundation contractor can mobilize, perform soil bearing tests, and begin structural engineering and shop drawing submittals.
- Underground utility conduits and sleeves can be coordinated with the installation of foundation systems.
- Exterior mock-up allows testing of the material assemblies and resolution of conflicts to occur in advance of material deliveries on the site.



TAB 3, #1

Approval of Main, HSC, and Branch Campuses' FY 2020-2021 Budget Adjustment Request (BAR)

Link to BAR Book:

<https://budgetoffice.unm.edu/assets/documents/budget/fy21-bar.pdf>

Request Regent Approval

Fiscal 2020-2021 Main and Branch
Budget Adjustment Request (BAR)



**MAIN and BRANCH
BUDGET ADJUSTMENT
REQUEST
FISCAL YEAR 2020-2021**

Budget Adjustment Request (BAR)

- The FY 21 Original Operating and Capital Budget was submitted to HED last spring and subsequently revised in July 2020 due to the Special Session of the NM legislature.
- Budget to Actuals are monitored through the Monthly Financial Report presented by the UNM Controller.
- The University is required to submit a revised budget to the HED by May 1 if year end projections show that actual revenue, transfers, or expenditure levels will exceed those initially budgeted. Note: HED does allow a post May 1 board approval.
- Must not exceed budget authority by exhibit --- 5.3.4.10 NMAC

Budget Adjustment Request (BAR) Drivers

- Change in revenue and expenditure projections
- Use of reserves for one-time expenditures
- Increase in restricted grant and contract revenues and expenditures
- Other changes in transfers

Note: Included in your ebook materials is the FY 21 BAR booklet that provides more details about the changes.

Main Campus (Operating) and All UNM Capital Revenue & Expenses (In Millions)

Main Campus	Fiscal Year 2021 Current Approved	Fiscal Year 2021 Revised Budget (BAR)
Revenues	871.5	1,141.0
Expenses	871.5	1,141.0

Net \$269.5M Increase or 30.9%.

Primarily due to:

- The movement of the UNMH plant reserve from Main Campus for the Hospital Tower Project-\$195M.
- Orthopedic Center of Excellence-\$14M.

Summary of Main Campus Operating and All UNM Capital Changes

- ***\$136.3M Revenues and Transfers and Use of Reserves \$133.2M***
 - ~ \$79.6M Bond Refunding revenue
 - ~\$29.6M HEERF 2 and estimated HEERF3 Student Aid
 - ~\$17.3M Transfers in for capital projects
 - ~ \$9.7M **Net** Other Revenue
 - HEERF2 revenue, estimated HEERF3 revenue, Tuition and Fees, and Gift revenue offset by lost auxiliary and athletic revenues. See page 4 of the FY 21 BAR ebook.
 - Use of Reserves primarily the movement of reserves for the Hospital Tower Project.
- ***\$269.5M Expenses***
 - Movement of UNMH reserves from Main Campus for the Hospital Tower Project.
 - Orthopedic Center of Excellence
 - 2021 Bond Refunding Activities and transfers to plant for various capital projects.
 - Student Aid expenses-HEERF 2 and estimated HEERF 3

Summary of Branch Campus Changes

- **Gallup \$291K Increase, 1.6%**-primarily due to an increase in Restricted Contract and Grant research activity.
- **Los Alamos \$16K Decrease, -.3%**-primarily transfer spending from auxiliaries to capital projects.
- **Valencia \$1.233M Increase, 8.5%**-primarily due to an increase in Restricted Contract and Grant research activity and auxiliary COVID costs.
- **Taos \$219K Decrease, -1.7%**- primarily due a decrease in Restricted Contract and Grant research activity. TRIO grant ended.
- HEERF II Funding received-\$930,224

Requesting Approval of the
Fiscal 2020-2021 Main and Branch
Budget Adjustment Request (BAR)



Health Sciences

**Budget Adjustment Request
Fiscal Year 2020-2021**

UNM HSC Budget Adjustment Request (BAR)

- The FY 2021 Original Operating and Capital Budget was submitted to HED on May 1, 2020.
- The FY 2021 First Revised Budget was approved by Regents and submitted to HED on July 14, 2020 due to the Special Legislative Solvency session in June 2020.
- The University is required to submit a Final Revised Budget to the HED by May 1, 2021.
- Primary purpose of the final BAR: To ensure actual expenditures will not exceed budget authority by exhibit --- 5.3.4.10 NMAC
- HSC Academic and UNM Hospitals are required by HED to submit a BAR – SRMC and UNM MG are not

Note: Your ebook materials include the detailed FY21 BAR

UNM HSC Academic Enterprise Year-End Changes

- **\$16.5M Increase** in Unrestricted Revenue and Transfers
- **\$10.0M Increase** in Unrestricted Expenditure authority for FY21. This increase is primarily due to the following:
 - **Public Service** expense increased primarily due Health System activity.
 - **Independent Operations** increased due to Housestaff and Office of Medical Investigator.
 - **\$10.0M** represents 1.6% of the \$642.6M Total Unrestricted expense

UNM Hospitals

Year-End Changes

- **\$220.1M Net Increase** in Revenue due to \$170.3M increase in patient revenues with increased Hospital volumes and the \$61.3M Cares Act revenue.
 - This represents 17.2% of the \$1.28 billion original total budgeted revenue
- **\$124.8M Net Increase** in Expense due to increased volumes and non-labor patient care costs as well as increases in contract labor.
 - This represents 10.0% of the \$1.25 billion original total budgeted expense

Requesting Approval of the Fiscal 2020-2021 UNM HSC Budget Adjustment Request (BAR)



TAB 3, #2

Approval of Main, HSC, and Branch Campuses' FY 2021-2022 Budget

Link to Budget Book:

https://budgetoffice.unm.edu/assets/documents/budget/operatingcapitalbudgetplans/2020-21_operatingcapitalbudgetplansbook.pdf

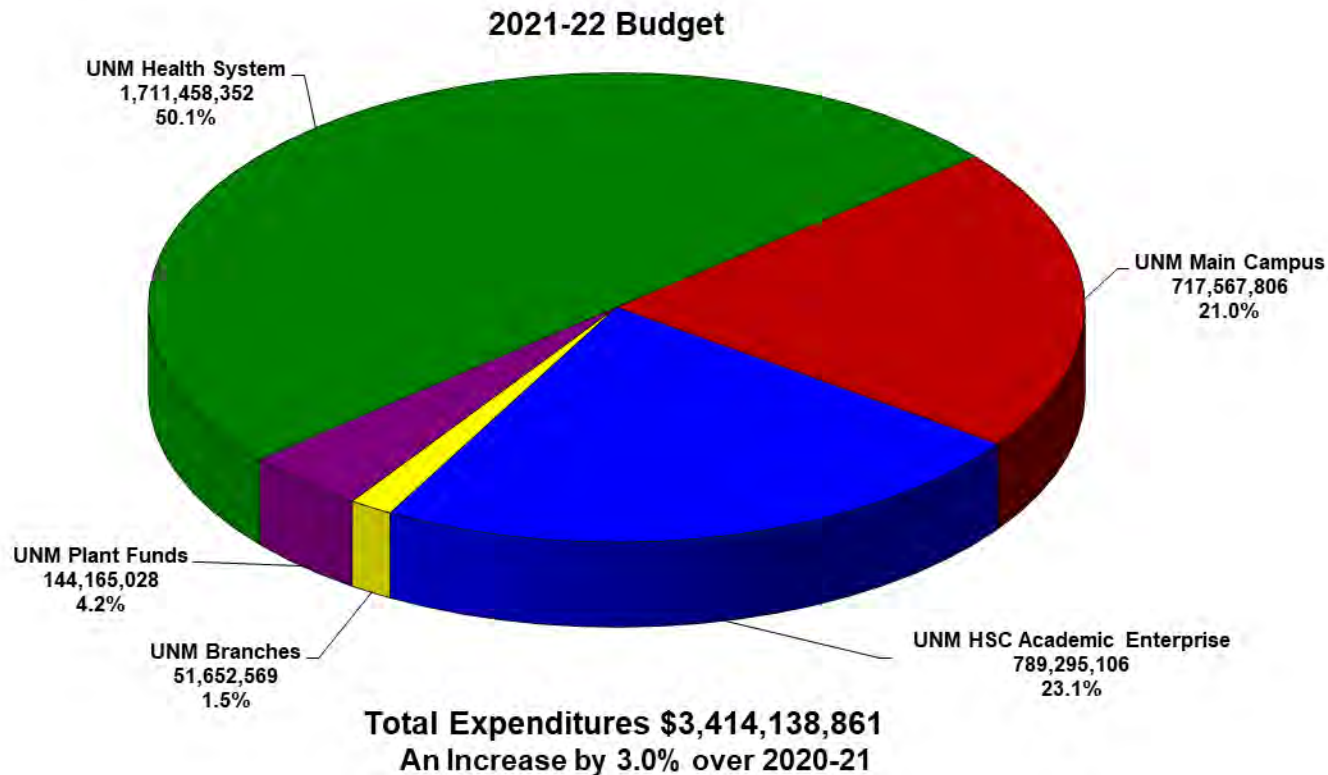
Request Regent Approval

Fiscal 2021-2022

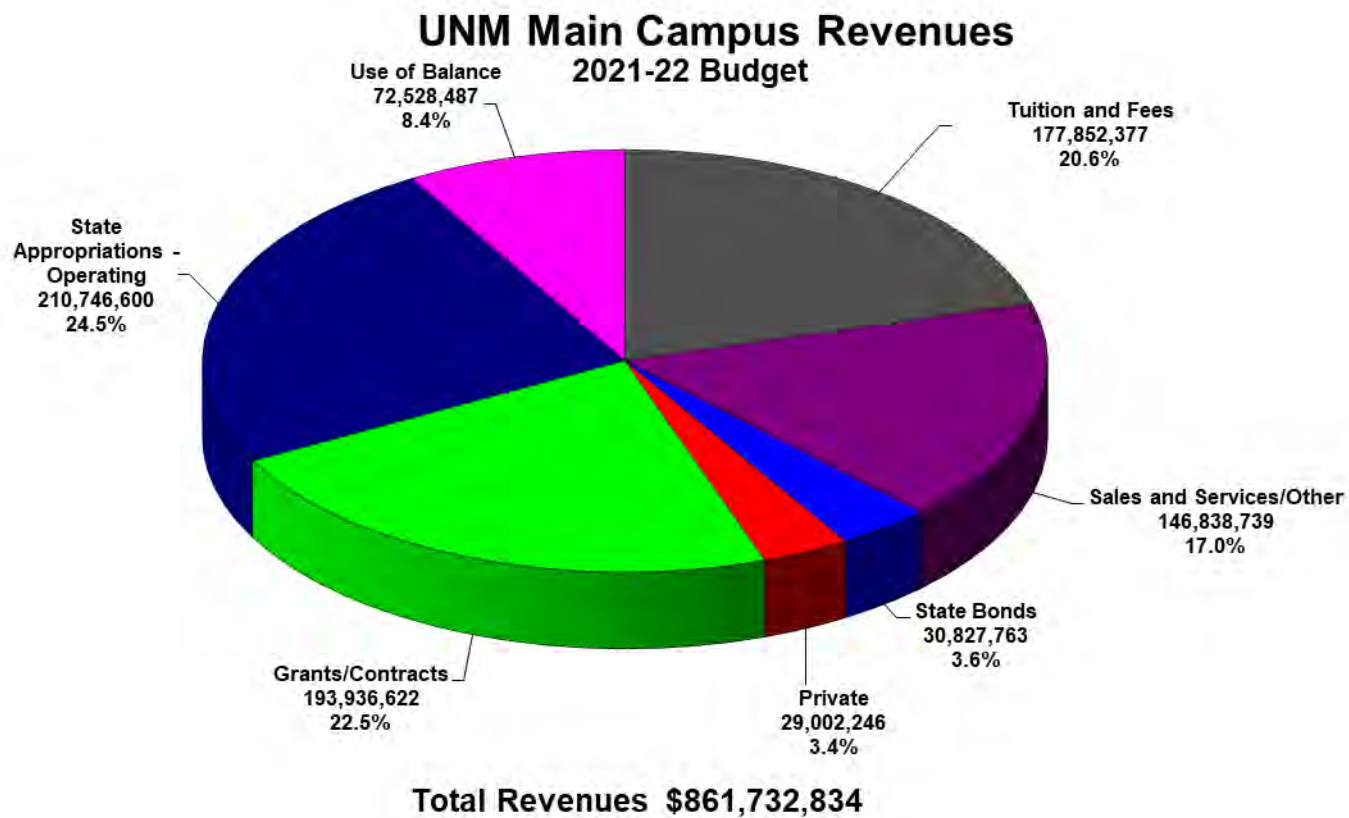
UNM

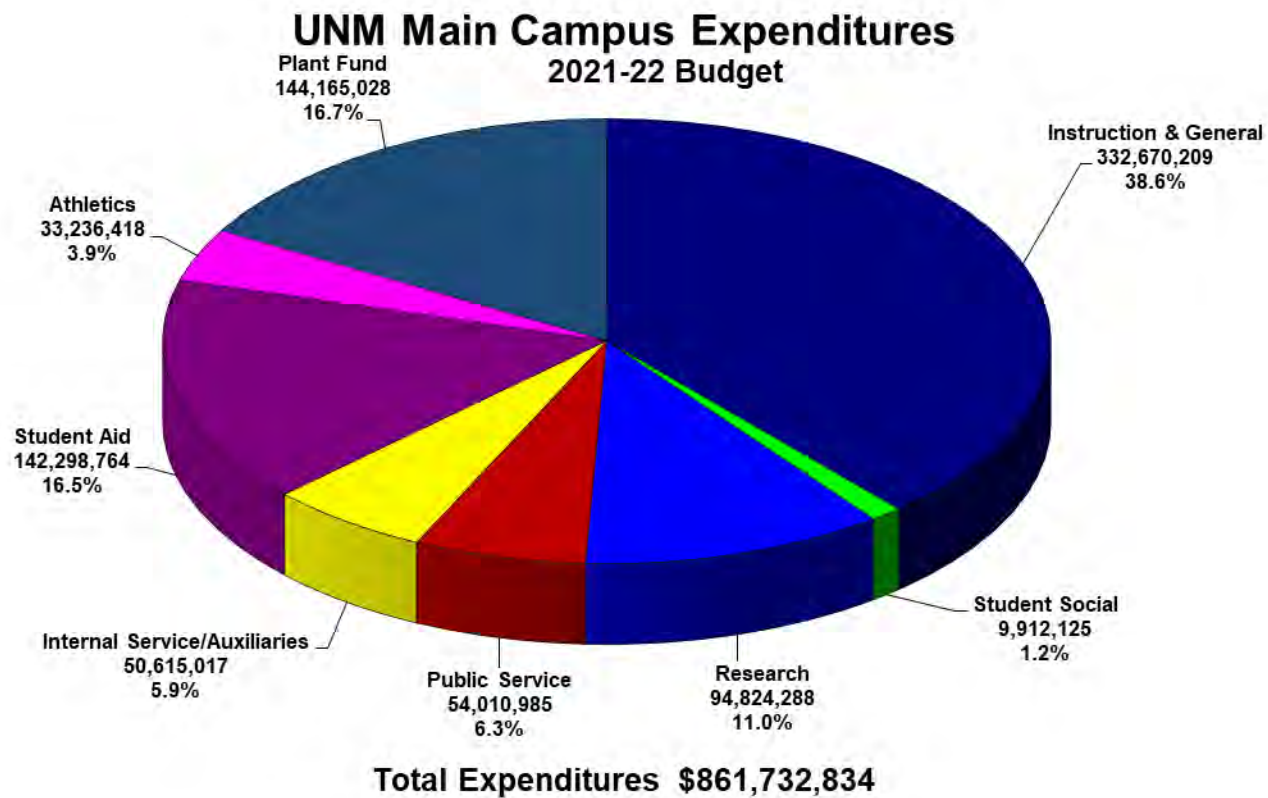
Operating and Capital Plans

UNM Consolidated Budget

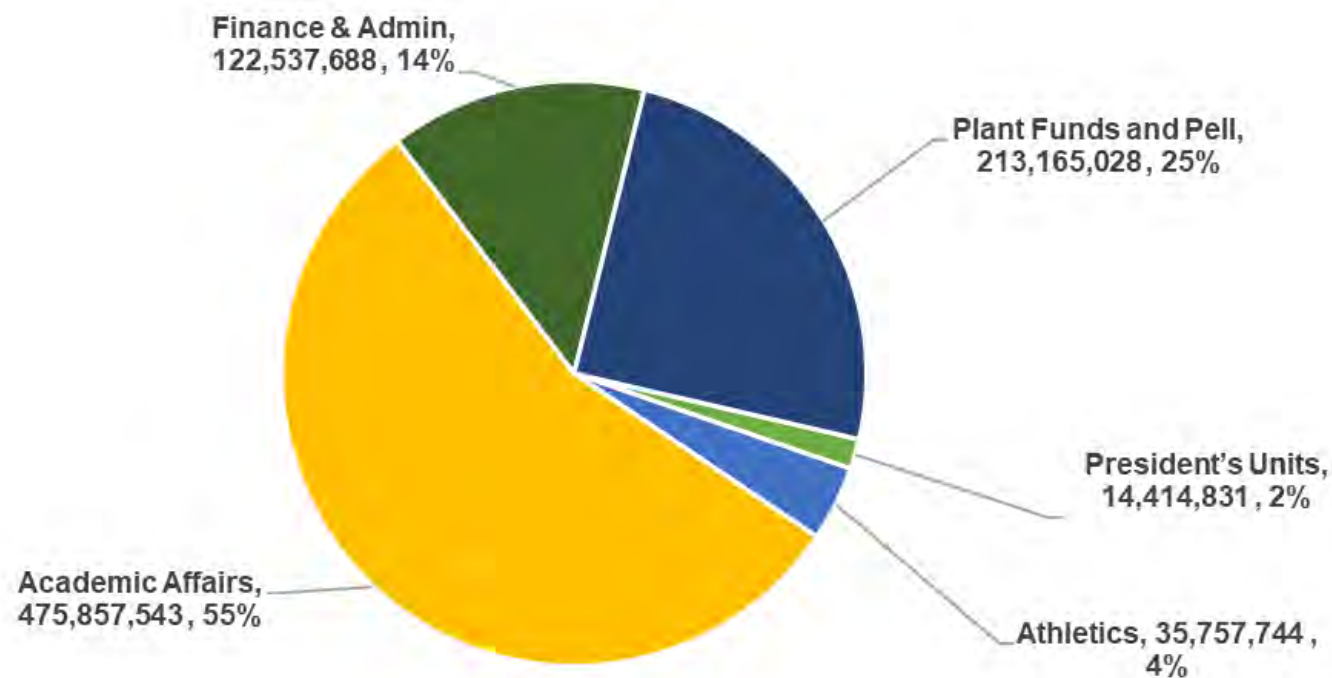


Note: The FY 2021-2022 Operating and Capital Book that provides more details about the budget will be included in the Full BOR May 11, 2021 ebook





UNM Main Campus Expenditures by Unit

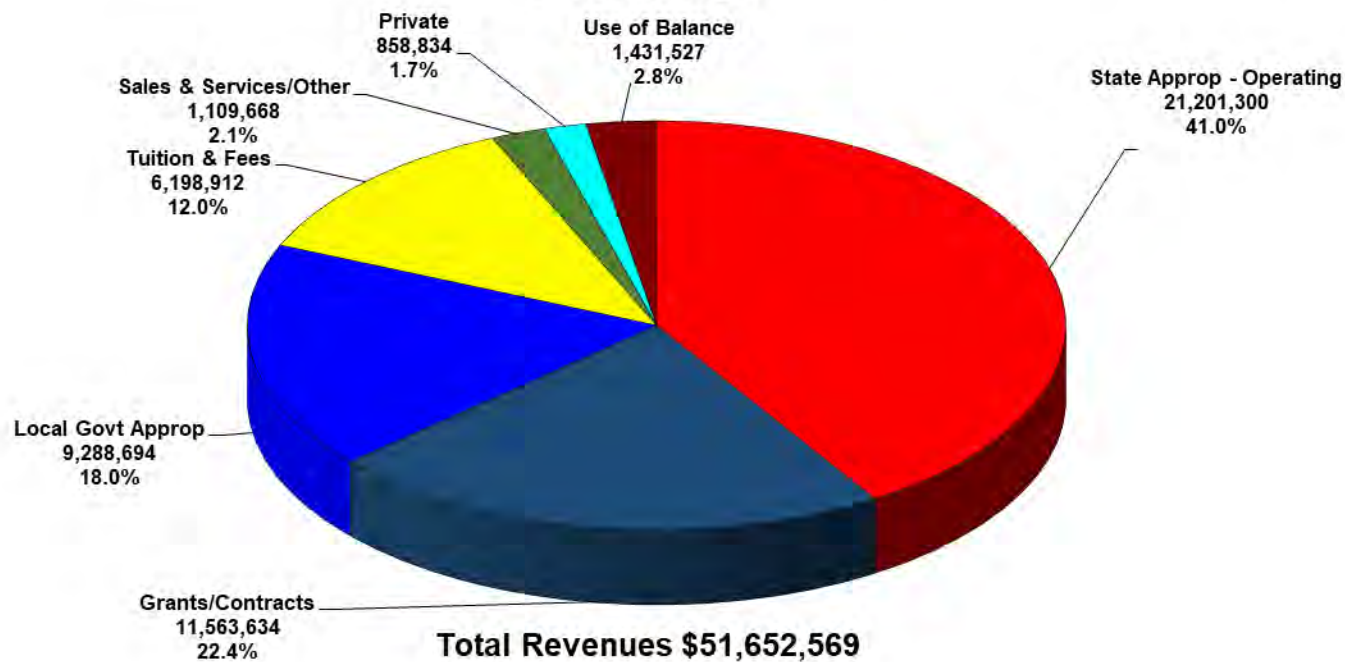


Total \$861,732,834

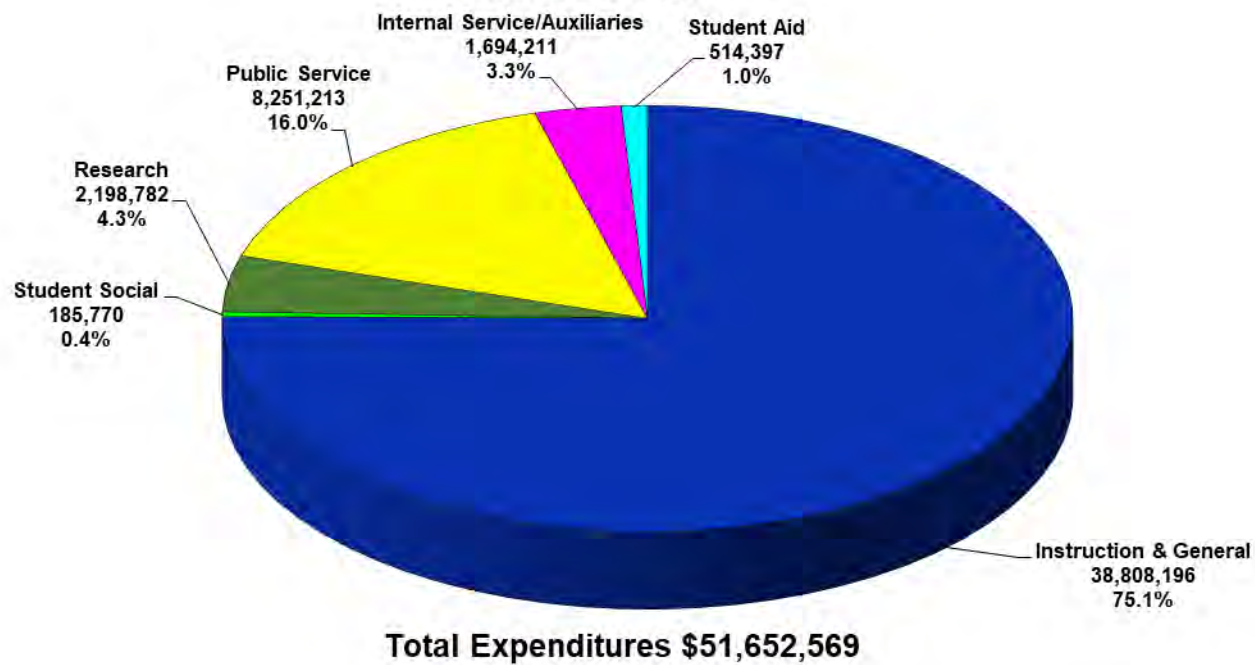
Main Campus

- Main Campus-\$861.7M
- 4.8% Decrease over FY21 Original
- 24.5% Decrease over FY 21 Revised Budget
- Primary Drivers:
 - Increase in State Appropriations
 - Tuition and Fee and Compensation increases approved at April 13th BOR meeting.
 - The budget reflects the new not yet approved Athletics Fee structure.
 - 1.5% Compensation Increase, other must fund costs, and funding initiatives.
 - Decreases in Auxiliary Revenues

UNM Branches Revenues 2021-22 Budget

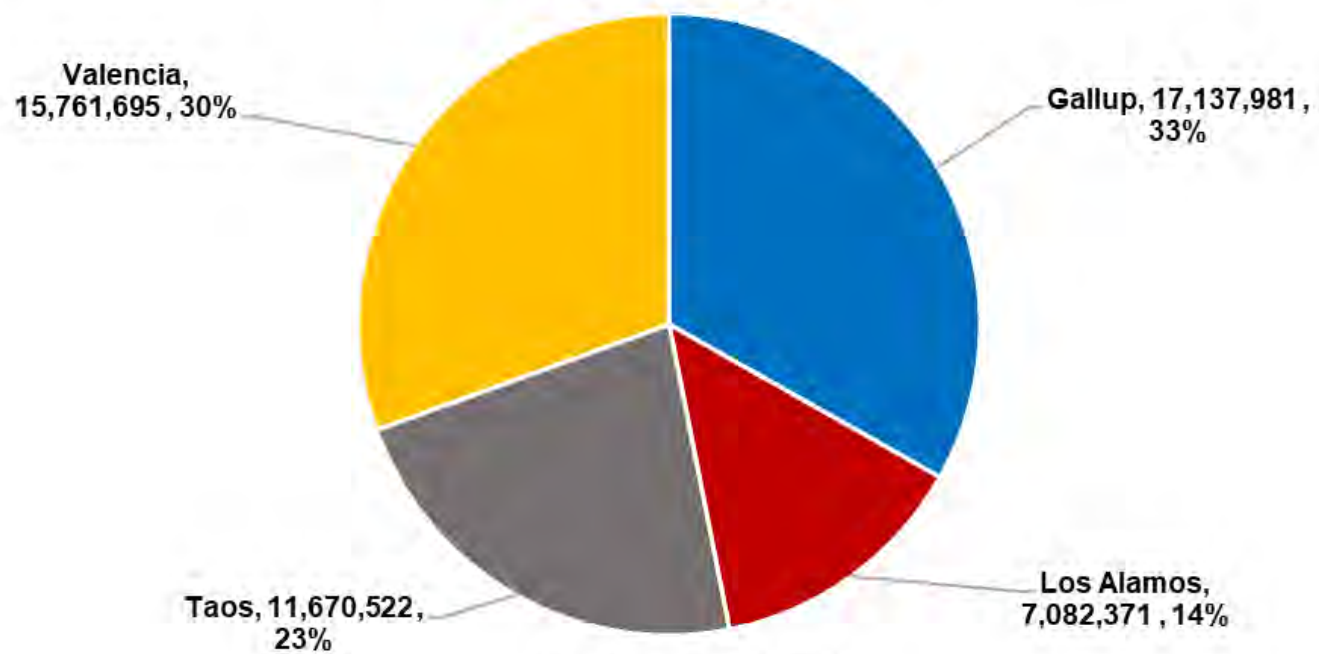


UNM Branches Expenditures 2021-22 Budget



UNM Branch Expenditures by Campus

2021-22 Budget



Total \$51,652,569

Branch Campuses

- Branch Campuses-\$51.7M
- -.82% Net Decrease from FY 21 Revised Budget
- Primary Drivers:
 - Increase in State Appropriations
 - Decrease in Tuition, Fees, and Auxiliary Revenues
 - 1.5% Compensation Increase and 1% ERB Increase
 - Decreased spending in non-salary expenses

Requesting Approval of the
Fiscal 2021-2022 Main and Branch
Operating and Capital Budget



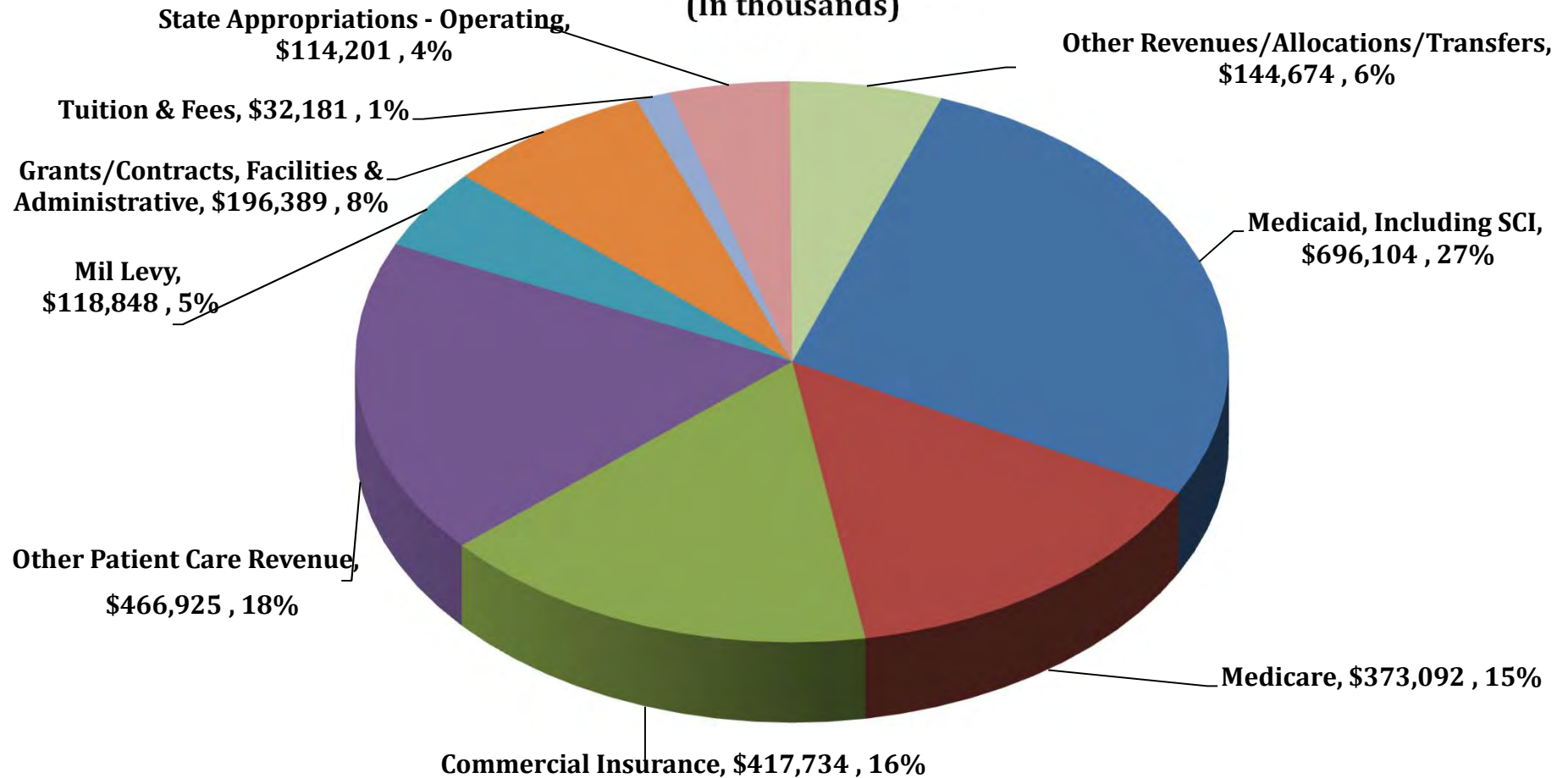
Health Sciences

FY 2022 Budget Approval

UNM HSC All Components - Revenues

FY 2022 Budget

(In thousands)



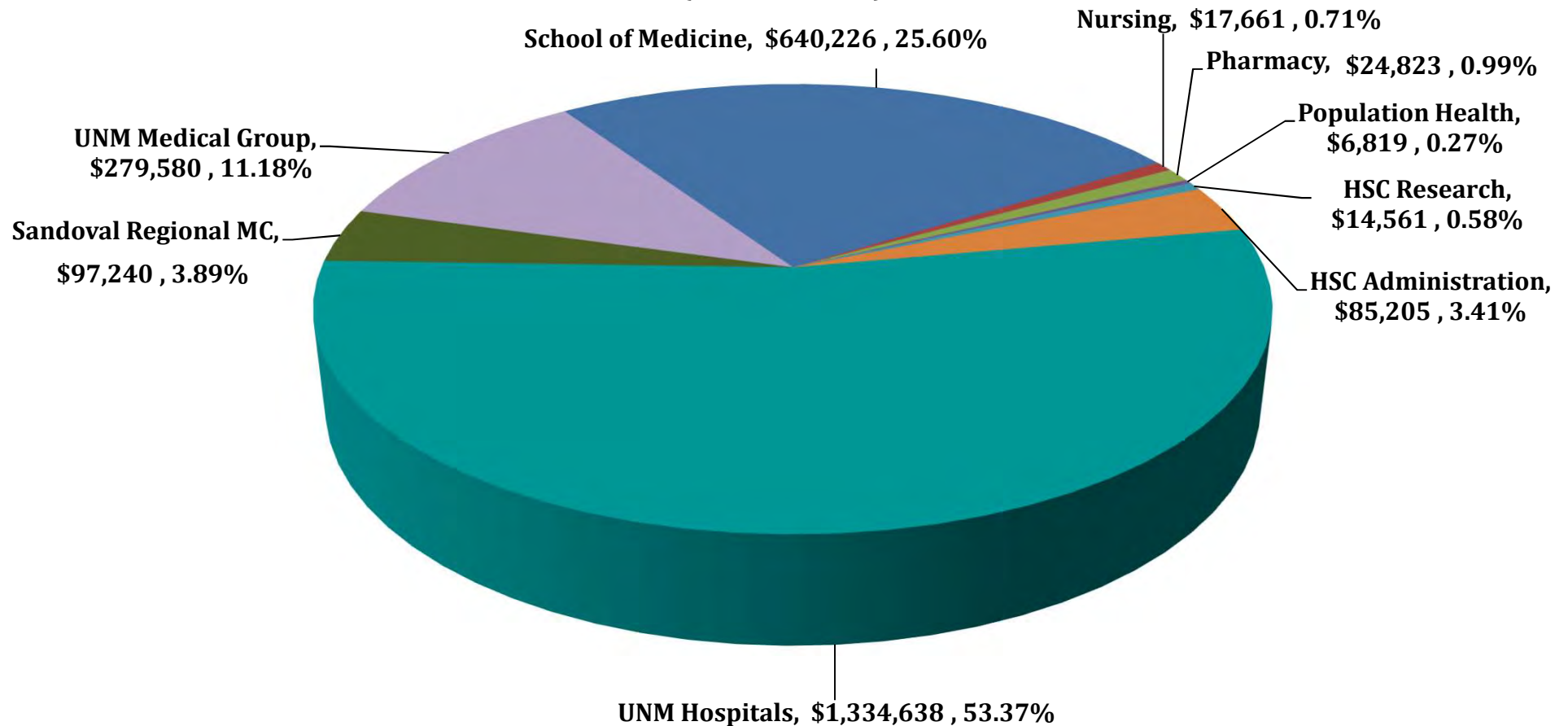
Note: Includes UNM HSC Academic Enterprise and UNM Health System

Total Budgeted Revenues \$2,560,148,412

UNM HSC All Components - Expenditures

FY 2022 Budget

(In thousands)

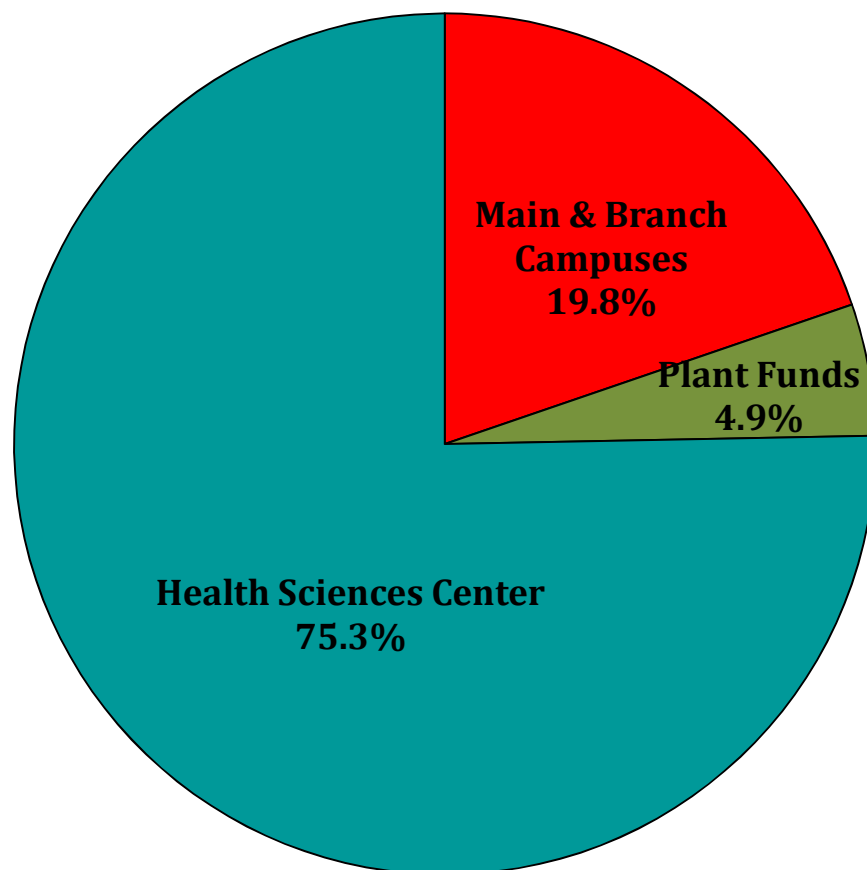


Note: Includes UNM HSC Academic Enterprise and UNM Health System

Total Budgeted Expenditures \$2,500,753,459
0.4% Increase over FY 2021 Revised Budget

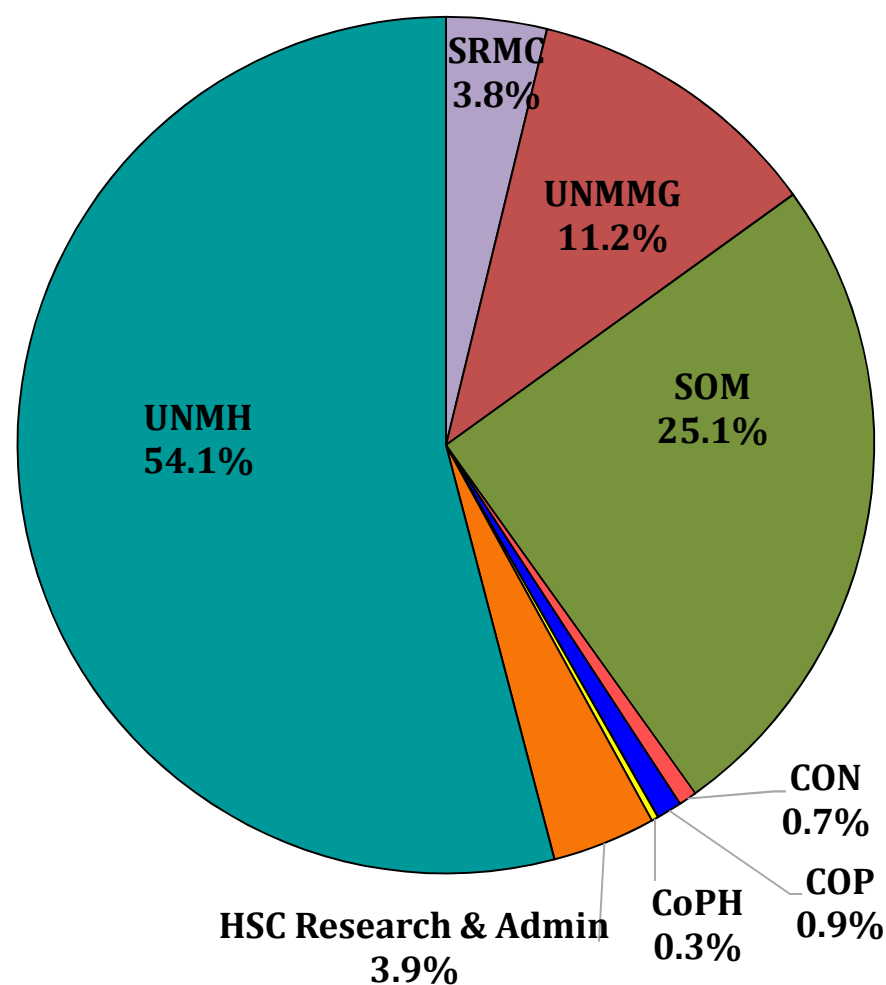
UNM FY 2022 Budgeted Revenue

Total UNM Revenue by Campus



HSC FY22 Revenue decreased from
FY21 Revised Budget by **-2.6%**

Total HSC Revenue by Unit



UNM HSC Academic Enterprise

Revenue: Prior Year - Current Year - Next Year

	Actuals 2020	Revised Budget 2021	Original Budget 2022	% Change
Revenues				
<i>State Appropriations</i>	93,588,442	92,112,073	99,825,816	8.37%
<i>Tuition and Fees</i>	30,231,096	31,291,508	32,180,656	2.84%
<i>Federal Appro./Grants/Contracts</i>	88,261,059	142,837,917	148,902,537	4.25%
<i>Private Gifts and Contracts/Grants</i>	40,849,156	41,483,108	42,170,455	1.66%
<i>Sales, Services and Other Revenues</i>	421,953,262	466,309,304	467,382,382	0.23%
Total Revenue	\$674,883,015	\$774,033,910	\$790,461,846	2.12%

UNM HSC Academic Enterprise

Comparative Analysis: Prior Year - Current Year - Next Year
(In thousands)

Total HSC Academic	FY 2020 Actuals	FY 2021 Revised Budget	FY 2022 Original Budget	Percent Change 2021-22
Revenues	674,883	774,034	790,462	2.1%
Expenses	654,563	766,672	789,295	3.0%
Net Margin	\$20,320	\$7,362	\$1,167	

- *FY22 Research Direct and Indirect driving most of the revenue change*
- *FY22 Compensation expense due to 1.5% across-the-board compensation increase and 1.0% Employer ERB expense increase*

UNM HSC Academic Enterprise

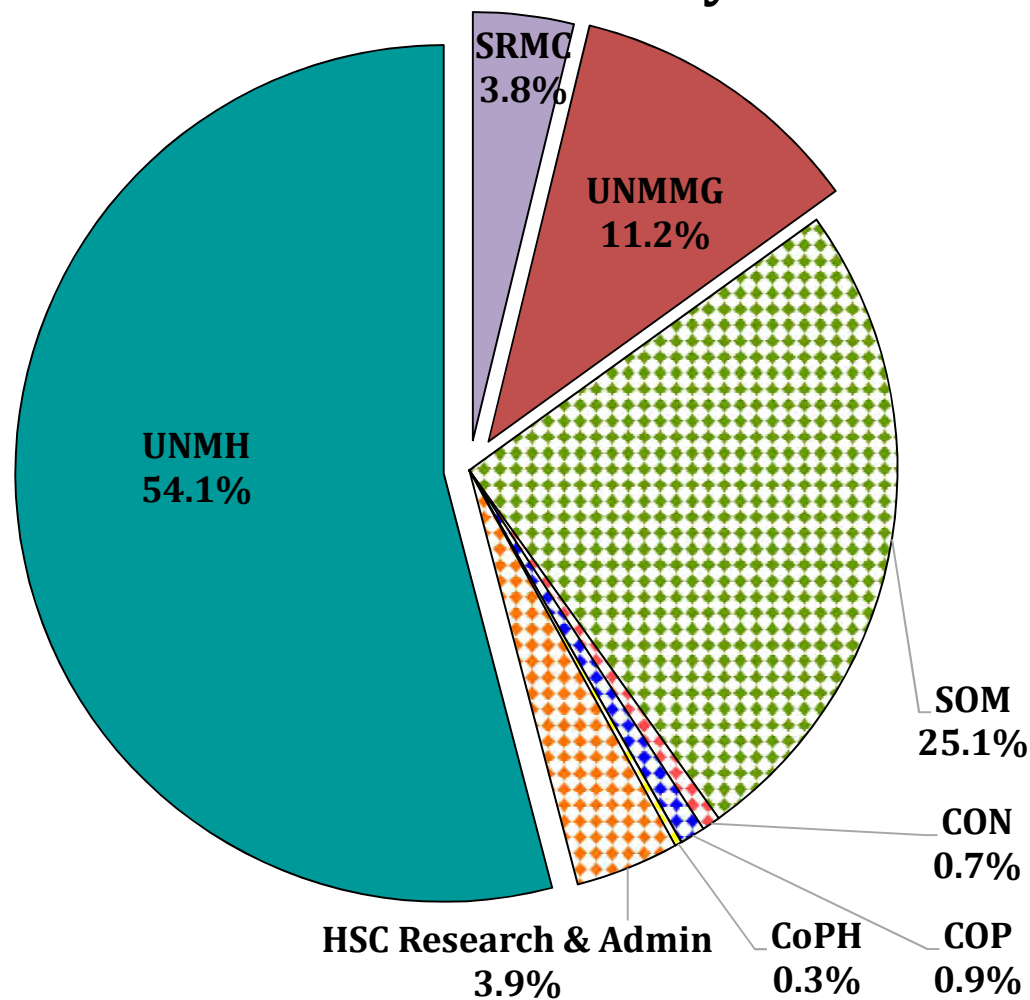
FY 2022 Budget
(In thousands)

	School of Medicine	College of Nursing	College of Pharmacy	College of Population Health	Research & General Admin.	HSC Academic Enterprise FY22 Total
Revenues	641,586	17,661	24,320	6,740	100,155	790,462
Expenses	640,226	17,661	24,823	6,819	99,766	789,295
Net Margin	\$1,360	\$0	(\$503)	(\$79)	\$389	\$1,167

- SOM clinical operations budgeted to return to full clinical scope, CON and CoPH budgeting expenditures to drive enrollment expansion, COP investing in research.*

UNM FY 2022 Budgeted Revenue

Total HSC Revenue by Unit



UNM Hospitals

Revenue: Prior Year - Current Year - Next Year

	2019-20 Actuals	2020-21 Revised Budget	2021-22 Original Budget	% Change
<i>Net Patient Revenues</i>	1,094,257,531	1,257,529,328	1,200,892,063	-4.5%
<i>County Tax Subsidy</i>	108,619,093	110,003,617	111,653,671	1.5%
<i>Contracts/Grants</i>	4,760,046	5,091,346	5,315,949	4.4%
<i>State Funding</i>	47,816,118	13,396,400	13,682,700	2.1%
<i>Other Revenues</i>	82,171,479	113,251,981	52,897,766	-53.3%
Total Net Revenues	\$1,337,624,267	\$1,499,272,672	\$1,384,442,149	-7.7%

UNM Hospitals

Comparative Analysis: Prior Year - Current Year - Next Year
(In thousands)

	FY 2020 Actuals	FY 2021 Revised Budget	FY 2022 Original Budget	Percent Change 2021-22
Revenues	1,337,624	1,502,747	1,384,442	-7.6%
Expenses	1,239,723	1,377,020	1,334,638	-2.8%
Net Margin	\$97,901	\$125,727	\$49,804	

- *FY21 operations driven by unprecedented volume and activity levels due to COVID-19*
- *FY22 Budgeted revenue and expense return to FY2020 actual level; also reductions in contract labor and overtime*

UNM Medical Group

Comparative Analysis: Prior Year - Current Year - Next Year
(In thousands)

	FY 2020 Actuals	FY 2021 Revised Budget	FY 2022 Original Budget	Percent Change 2021-22
Revenues	271,306	257,356	288,000	11.9%
Expenses	280,269	253,202	279,580	11.7%
Net Margin	(\$8,963)	\$4,154	\$8,420	

- *FY22 Revenue and Expense are driven by return to normal levels of surgeries and procedures*
- *Net Margin is partially driven by joint venture with Lovelace/UNM Rehab Hospital*

UNM Sandoval Regional Medical Center

Comparative Analysis: Prior Year - Current Year - Next Year
(In thousands)

	FY 2020 Actuals	FY 2021 Revised Budget	FY 2022 Original Budget	Percent Change 2021-22
Revenues	89,731	97,000	97,245	0.25%
Expenses	89,433	96,999	97,240	0.25%
Net Margin	\$298	\$1	\$5	

- FY22 will see an increase in surgical and procedure volumes, partially due to opening the new Orthopedic Center for Excellence*
- Maintaining a break-even Net Margin through volatile changes*

UNM Health System

FY 2022 Budget
(In thousands)

	UNM Hospitals	UNM Medical Group	UNM Sandoval Regional Medical Center	UNM Health System Total
Revenues	1,384,442	288,000	97,245	1,769,687
Expenses	1,334,638	279,580	97,240	1,711,458
Net Margin	\$49,804	\$8,420	\$5	\$58,229

- *UNM Health System budgeting for less volatility in FY22*

UNM Health Sciences Center

FY 2022 Budget
(In thousands)

	HSC Academic Enterprise	UNM Health System	HSC Total
Revenues	790,462	1,769,687	2,560,149
Expenses	789,295	1,711,458	2,500,753
Net Margin	\$1,167	\$58,229	\$59,396

*Requesting Approval of the
Fiscal Year 2021-2022
UNM HSC Budget*



**MEMORANDUM TO ADVANCE
COMMITTEE AGENDA ITEM TO
THE BOARD OF REGENTS
THE UNIVERSITY OF NEW MEXICO**

TO: Members of the Finance and Facilities Committee

FROM: James Holloway, Provost & EVP for Academic Affairs
Teresa Constantinidis, Sr. VP Finance & Administration

DATE: April 21, 2021

RE: **Capital Outlay Submission to the Higher Education Department**

RECOMMENDED ACTION:

Recommend to the Board of Regents Finance & Facilities Committee the following:

1. Capital Outlay request package for 2021-2022. projects which must be submitted to the Higher Education Department (HED).
2. Five Year Capital Plans, detailing projects which will construct and/or significantly improve and renew numerous facilities on The University of New Mexico Campuses

Thank you for your consideration.

UNM CAPITAL PLANNING LEADERSHIP TEAM
DRAFT CAPITAL REQUEST PRIORITIES

4/22/2021

	ESTIMATED PROJECT COST	2022 APPROPRIATION REQUEST	FUNDING TYPE	2021 APPROPRIATION	FUNDING TYPE	MATCHING FUNDS	FUNDING TYPE
1 MAIN CAMPUS CAPITAL REQUESTS							
2 Center for Collaborative Arts & Technology (CCAT)	\$65,000,000	\$65,000,000	GOB	\$0		\$0	
3 Lab Safety Improvements	\$5,000,000	\$4,500,000	STB	\$0		\$500,000	FIN
4 Technology Infrastructure Upgrades	\$3,695,000	\$3,695,000	STB	\$0		\$0	
5 <i>MAIN GOB SUBTOTAL</i>		\$65,000,000					
6 <i>MAIN STB SUBTOTAL</i>		\$8,195,000					
7 MAIN CAMPUS TOTALS	\$73,695,000	\$73,195,000		\$0		\$500,000	
8							
9 HEALTH SCIENCES CENTER CAPITAL PRIORITIES							
10 UNMH Children's Psychiatric Center	\$40,000,000	\$40,000,000	GOB	\$0		\$0	
11 Interprofessional Healthcare Simulation Center (IHSC) Expansion	\$4,000,000	\$4,000,000	STB	\$1,900,000	STB	\$0	
12 Shared Research Equipment for HSC Research Centers	\$1,000,000	\$910,000	STB	\$0	STB	\$90,000	Match
13 <i>HSC GOB SUBTOTAL</i>		\$40,000,000					
14 <i>HSC STB SUBTOTAL</i>		\$4,910,000					
15 HSC CAMPUS TOTALS	\$45,000,000	\$44,910,000		\$1,900,000		\$90,000	
16							
17 BRANCH CAMPUSES							
18 GALLUP CAMPUS							
19 Gurley Hall Student Experience Project	\$8,000,000	\$6,000,000	GOB	\$0		\$2,000,000	Internal
20 <i>GALLUP CAMPUS SUBTOTAL</i>	<i>\$8,000,000</i>	<i>\$6,000,000</i>		<i>\$0</i>		<i>\$2,000,000</i>	
21 LOS ALAMOS CAMPUS							
22 Campus-wide Infrastructure	\$1,810,000	\$1,358,000	GOB	\$800,000	STB	\$453,000	Internal
23 Open Space Design & Upgrade	\$3,000,000	\$2,250,000	GOB	\$0		\$750,000	Internal
24 <i>LOS ALAMOS CAMPUS SUBTOTAL</i>	<i>\$4,810,000</i>	<i>\$3,608,000</i>		<i>\$800,000</i>		<i>\$1,203,000</i>	
25 TAOS CAMPUS							
26 Peralta Hall - Art, Film & Applied Technologies Center Renovation & Addition	\$5,200,000	\$3,900,000	GOB	\$0		\$1,300,000	Internal
27 <i>TAOS CAMPUS SUBTOTAL</i>	<i>\$5,200,000</i>	<i>\$3,900,000</i>		<i>\$0</i>		<i>\$1,300,000</i>	

UNM CAPITAL PLANNING LEADERSHIP TEAM
DRAFT CAPITAL REQUEST PRIORITIES

4/22/2021

	ESTIMATED PROJECT COST	2022 APPROPRIATION REQUEST	FUNDING TYPE	2021 APPROPRIATION	FUNDING TYPE	MATCHING FUNDS	FUNDING TYPE
28 VALENCIA CAMPUS							
29 Learning Resource Center Roof & Solar	\$1,200,000	\$900,000	GOB	\$0		\$300,000	Internal
30 VALENCIA CAMPUS SUBTOTAL	\$1,200,000	\$900,000		\$0		\$300,000	
31 BRANCH GOB TOTAL		\$14,408,000					
32 BRANCH CAPITAL REQUEST TOTAL	\$19,210,000	\$14,408,000		\$800,000		\$4,803,000	
33 UNM GOB TOTAL		\$119,408,000					
34 UNM STB TOTAL		\$13,105,000					
35 UNM TOTAL	\$137,905,000	\$132,513,000		\$2,700,000		\$5,393,000	

2022 Legislative Session Requests

Interprofessional Healthcare Simulation Center (IHSC) Expansion Project

Expand the footprint of the IHSC to be able to have more space for simulation exercises, including debriefing rooms and skills lab space.

Total STB Request \$4,000,000

Shared Research Equipment for HSC Research Centers

Purchase, installation, and programming of shared research equipment to serve multiple areas across the School of Medicine and HSC.

Total STB Request \$1,000,000

UNMH Children's Psychiatric Center

Fully construct, renovate, and furnish a replacement center for UNMH Children's Psych Center. Services to meet high patient demand and bring new technologies to New Mexico children.

**Total GOB Request
\$40,000,000**

MAIN CAMPUS

New Mexico Department of Higher Education																			
				2021 Summer Hearing - Five Year Capital Project Funding Plan															
INSTITUTION:				The University of New Mexico						DATE:							4/22/2021		
Institution acronym	FY2 Funding Priority #	Overall Funding Priority #	Project Title	Description	Year Project Funding will be requested	Month/ Year Project to be started	Month/Year Project to be completed	Full Project	Phase	Cost of Project or Phase	GOB	STB	Other	Percent of GOB or STB	Percent of Other Funding Source	Description of Other Funding Source	New Construction	Renovation	Square Footage (GSF)
UNM	1	1	Center for Collaborative Arts and Technology	New center for the colocation of programs including Music and Theater, Art and Technologies, Fine Arts and Photography	2022	Jun-23	Dec-26	X		\$ 65,000,000	X			100%			X		TBD
UNM	2	2	Lab Safety Improvements	Replace failing laboratory controls and integrating with building automation systems, reducing energy consumption and improving lab safety	2022	Jul-22	Jul-24	X		\$ 5,000,000		X	X	90%	10%	BR&R		X	N/A
UNM	3	3	Technology Infrastructure Upgrades	Redesign and upgrade existing campus network backbone. Refresh wireless hardware to meet increasing demand. Classroom Technology Upgrades. CARC Core Computing/Data system replacement and upgrade; CHTM Quantum Materials & Technologies Laboratory	2022	Jul-22	Jul-24		X	\$ 3,695,000		X		100%				X	N/A
UNM		4	Classroom Technology Upgrades (Departmentally Scheduled Classrooms)	Upgrade audio visual systems and computing structure in 50/100 departmentally scheduled classrooms.	2022	Jul-21	Jun-23		X	\$ 825,000		X		100%				X	N/A
UNM		5	Facility Investment Needs (FIN) Repairs & Renovations	Facility Investment Needs (FIN) Repairs & Renovations across campus	2022	Jul-21	Jun-22	X		\$ 10,000,000			X		100%	BR&R		X	TBD
UNM		6	Enterprise Firewall Upgrades for Main Campus	Provide network security for HIPPA, FERPA record compliance	2022	Jul-21	Jul-23	X		\$ 500,000		X		100%					N/A
UNM		7	Modernizing Essential Research Facilities at UNM	CHTM Quantum Materials & Technologies Laboratory; CARC Core Computing/Data Systems replacement and upgrade	2022	Jul-21	Dec-24	X		\$ 1,450,000		X		100%					
UNM		8	Police Department	Renovate Police Department Facilities	2022	Jun-23	Oct-25	X		\$ 8,000,000	X			100%				X	TBD
UNM		9	CFA Basement Restroom Renovation	Renovate Fine Arts Center basement restrooms (B404, B404A, B405)	2022	Jul-21	Dec-24	X		\$ 385,000			X		100%	BR&R		X	

New Mexico Department of Higher Education																			
				2021 Summer Hearing - Five Year Capital Project Funding Plan															
INSTITUTION:				The University of New Mexico						DATE:							4/22/2021		
Institution acronym	FY2 Funding Priority #	Overall Funding Priority #	Project Title	Description	Year Project Funding will be requested	Month/ Year Project to be started	Month/Year Project to be completed	Full Project	Phase	Cost of Project or Phase	GOB	STB	Other	Percent of GOB or STB	Percent of Other Funding Source	Description of Other Funding Source	New Construction	Renovation	Square Footage (GSF)
UNM		10	Campus Drive Improvements	Resurface roadway, improve signage, crosswalks, sidewalks, parking, landscaping and lighting increasing safety for pedestrians, cyclists	2022	Jul-21	Sep-24	X		\$ 1,500,000		X	X	34%	66%	BR&R/Parking Capital Funds		X	N/A
UNM		11	Cloud Infrastructure	Moving services to public cloud provider, to provide additional functionality that is not available on campus.	2022	Apr-21	Oct-24	X		\$ 1,200,000			X		100%	State General Funds		X	N/A
UNM		12	Classroom Technology Upgrades (Departmentally Scheduled Classrooms)	Upgrade audio visual systems and computing structure in 50/100 departmentally scheduled classrooms.	2022	Jun-23	Jul-24		X	\$ 825,000		X		100%				X	N/A
UNM		13	UNM Duck Pond	Improve safety and reduce maintenance costs by relocating and replacing pump house, pumps, and filters, replacing bridge and increasing depth	2022	Jul-22	Jul-24	X		\$ 7,500,000		X		100%				X	N/A
UNM		14	Well and Reservoir	Build a second well and reservoir to provide redundancy in domestic water supply for campus and improved fire safety	2022	Jul-22	Jul-24	X		\$ 5,000,000		X		100%			X		N/A
UNM		15	Campus Integrated Controls Upgrade	Upgrade controls to improve integration of units on campus	2022	Jul-21	Dec-24	X		\$ 500,000			X		100%	Sustainability Surcharge		X	N/A
UNM		16	Student Family Housing	Replace existing student family housing	2022	Apr-22	Jan-26	X		\$ 40,000,000			X		100%	State General Funds	X		TBD
UNM		17	Children's Campus	Renewal and expansion of the UNM Children's Campus	2022	Apr-22	Dec-25	X		\$ 14,902,000			X		50%	UNMH Capital Funds		X	TBD
UNM		18	Residential Life & Student Housing	Improve facilities to accommodate student needs	2022	Jul-22	Jul-23		X	\$ 1,000,000			X		100%	Housing Capital Funds		X	TBD
UNM		19	Dining and Food Services	Conduct venue specific upgrades per Chartwells Contract	2022	Jul-22	Jul-23		X	\$ 300,000			X		100%	Chartwell Capital Funds		X	N/A
UNM		20	Track/Soccer Stadium	Develop a stadium for track and soccer teams	2022	Jul-22	Dec-25		X	\$ 400,000		X		100%			X		TBD



New Mexico Department of Higher Education

2021 Summer Hearing - Five Year Capital Project Funding Plan

INSTITUTION:			The University of New Mexico							DATE:							4/22/2021		
Institution acronym	FY2 Funding Priority #	Overall Funding Priority #	Project Title	Description	Year Project Funding will be requested	Month/ Year Project to be started	Month/Year Project to be completed	Full Project	Phase	Cost of Project or Phase	GOB	STB	Other	Percent of GOB or STB	Percent of Other Funding Source	Description of Other Funding Source	New Construction	Renovation	Square Footage (GSF)
UNM			Faculty/Staff Workstation Refresh - Main Campus	Annual computer refresh for faculty/staff. (5,346 Main faculty/staff count, Fall 2018 - 4 year refresh)	2023	Apr-23	Jul-24	X		\$ 2,005,000		X		100%				X	N/A
UNM			Las Lomas Road Improvements	Resurface roadway, improve signage, crosswalks, sidewalks, parking, landscaping and lighting increasing safety for pedestrians, cyclists	2023	Jul-23	Aug-25	X		\$ 1,200,000		X		100%				X	N/A
UNM			North Campus Plant	Construct a second plant interconnected to the existing District Energy System to supply additional heat, power, and cooling throughout campus	2023	Jun-24	Dec-27	X		\$ 20,000,000	X			100%			X		TBD
UNM			Chiller Expansion	Add chiller capacity for projected new building expansion.	2023	Jul-23	Oct-25	X		\$ 2,000,000		X		100%				X	TBD
UNM			Gas Turbine Overhaul	overhaul of existing gas turbine	2023	Jul-23	Dec-24	X		\$ 2,000,000			X		100%	Sustainability Surcharge		X	N/A
UNM			Sewer System Upgrades	Civil infrastructure; correction of Sanitary and Storm Sewer Systems issues.	2023	Jul-23	Sep-25	X		\$ 1,000,000		X						X	N/A
UNM			Campus Integrated Controls Upgrade	Upgrade controls to improve integration of units on campus	2023	Jul-23	Dec-24	X		\$ 500,000			X		100%	Sustainability Surcharge		X	N/A
UNM			UNM Championship Infrastructure	Repair of access roads and cart paths, replacement pump stations and reclaimed water infrastructure, New irrigation and irrigation storage improvements, Landscape restoration, tree replacement and tee improvements	2023	Apr-23	Oct-26	X		\$ 5,579,000			X		100%	State General Funds		X	N/A
UNM			Student Union Building Roof Replacement	Replacement of the remaining portion of the SUB roof	2023	Jul-23	Jun-25	X		\$ 1,250,000			X		100%	Minor Capital		X	N/A
UNM			Residential Life & Student Housing	Improve facilities to accommodate student needs	2023	Jul-23	Jul-24		X	\$ 1,000,000			X		100%	Housing Capital Funds		X	TBD
UNM			Dining and Food Services	Conduct venue specific upgrades per Chartwells Contract	2023	Jul-23	Jul-24		X	\$ 300,000			X		100%	Chartwell Capital Funds		X	N/A



New Mexico Department of Higher Education

2021 Summer Hearing - Five Year Capital Project Funding Plan

INSTITUTION:			The University of New Mexico							DATE:							4/22/2021		
Institution acronym	FY2 Funding Priority #	Overall Funding Priority #	Project Title	Description	Year Project Funding will be requested	Month/ Year Project to be started	Month/Year Project to be completed	Full Project	Phase	Cost of Project or Phase	GOB	STB	Other	Percent of GOB or STB	Percent of Other Funding Source	Description of Other Funding Source	New Construction	Renovation	Square Footage (GSF)
UNM			Olympic Sport Training Center		2023	Jul-24	Jun-26		X	\$ 700,000			X		100%	State General Funds	X		TBD
UNM			Football Practice Facilities	Resod football practice fields, replace indoor practice facility turf	2023	Jul-24	Dec-24	X		\$ 1,200,000			X		100%	State General Funds			N/A
UNM			Centrally Scheduled Classroom Modernization	Upgrade and refresh audio/visual systems that are no longer under warranty, continue to add lecture capture capability to medium sized classrooms.	2024	Jul-24	Aug-25	X		\$ 1,000,000		X		100%				X	N/A
UNM			Johnson Field Improvements	Update irrigation system to provide water savings, install new lighting, turf, shade trees and a walking path	2024	Jul-24	Aug-25	X		\$ 4,000,000		X		100%				X	N/A
UNM			New Well & Reservoir on North Campus	new well and reservoir on north campus	2024	Jul-24	Dec-26	X		\$ 15,000,000	X			100%			X		TBD
UNM			Residential Life & Student Housing	Improve facilities to accommodate student needs	2024	Jul-24	Dec-26	X		\$ 1,500,000			X		100%	Housing Capital Funds	X	X	TBD
UNM			Dining and Food Services	Conduct venue specific upgrades per Chartwells Contract	2024	Jul-24	Dec-26	X		\$ 250,000			X		100%	Chartwell Capital Funds		X	TBD
UNM			Softball	Title XI improvements	2024	Jul-24	Dec-26	X		\$ 500,000		X		100%				X	TBD
UNM			PIT	TV System, Water Soften System, HVAC Equipment Update	2024	Jul-24	Dec-25	X		\$ 420,000		X		100%				X	N/A
UNM			Men's & Women's Basketball	Men's Equipment upgrades and team study area; Women's locker Rm improvements and office enhancements	2024	Jul-24	Jun-26	X		\$ 300,000		X		100%				X	TBD
UNM			Psychology Department Facility Upgrade	Renovation of Sara Reynolds Hall for Psychology Clinic	2025	Jul-26	Jun-27	X		\$ 2,000,000		X		100%				X	TBD
UNM			Gas Turbine Overhaul	overhaul of existing gas turbine	2025	Jul-25	Jun-26	X		\$ 2,000,000			X		100%	Sustainability Surcharge		X	N/A
UNM			Popejoy Hall Stage Expansion	Renovation of Popejoy Hall stage for expansion.	2025	Jul-25	Jul-27	X		\$ 10,000,000			X		100%	Donor/Fundrasing		X	TBD
UNM			Residential Life & Student Housing	Improve facilities to accommodate student needs	2025	Jul-25	Jun-26	X		\$ 1,000,000			X		100%	Housing Capital Funds		X	TBD



New Mexico Department of Higher Education

2021 Summer Hearing - Five Year Capital Project Funding Plan

INSTITUTION:			The University of New Mexico							DATE:							4/22/2021		
Institution acronym	FY2 Funding Priority #	Overall Funding Priority #	Project Title	Description	Year Project Funding will be requested	Month/Year Project to be started	Month/Year Project to be completed	Full Project	Phase	Cost of Project or Phase	GOB	STB	Other	Percent of GOB or STB	Percent of Other Funding Source	Description of Other Funding Source	New Construction	Renovation	Square Footage (GSF)
UNM			New Golf Team Facility	Men's and Women's Golf Team Facility	2025	Dec-25	Jun-27	X		\$ 700,000		X		100%			X		TBD
UNM			Women's Soccer Locker Room	Create a women's soccer locker room within Robertson	2025	Jul-25	Dec-26	X		\$ 500,000		X		100%				X	TBD
UNM			PIT	Main roof recoating	2025	Jul-25	Dec-26	X		\$ 1,000,000		X		100%				x	N/A

GALLUP BRANCH CAMPUS



New Mexico Department of Higher Education

2021 Summer Hearing - Five Year Capital Project Funding Plan

INSTITUTION:			The University of New Mexico - Gallup Campus							DATE:							4/21/2021		
Institution acronym	FY21 Funding Priority #	Overall Funding Priority #	Project Title	Description	Year Project Funding will be requested	Month/ Year Project to be started	Month/Year Project to be completed	Full Project	Phase	Cost of Project or Phase	GOB	STB	Other	Percent of GOB or STB	Percent of Other Funding Source	Description of Other Funding Source	New Construction	Renovation	Square Footage (GSF)
UNM-G	1	1	Gurley Hall Student Experience Project	To improve and renovate Gurley Hall to support student experience and success with renovations, space reorganization, and campus improvements, including study areas, food service, and increased access to support programs.	2022	Jun-22	Oct-24	X		\$ 6,000,000	X		X	75%	25%	Equity - Campus	X		111,414
UNM-G		2	Facility Repair and Renewal	Repair existing facilities to comply with ADA and safety codes	2023	Jul-23	Aug-24		X	\$ 1,875,000		X	X	75%	25%	Equity - Campus		X	N/A
UNM-G		3	Facility Repair and Renewal	Repair existing facilities and necessary upgrades to achieve peak energy efficiency and appropriate safe educational environments that building Renewal and Repair isn't enough to complete	2024	Jul-23	Aug-24		X	\$ 1,125,000		X	X	75%	25%	Equity - Campus		X	N/A

LOS ALAMOS BRANCH CAMPUS



New Mexico Department of Higher Education

2021 Summer Hearing - Five Year Capital Project Funding Plan

INSTITUTION:			The University of New Mexico - Los Alamos Campus																
Institution acronym	FY21 Funding Priority #	Overall Funding Priority #	Project Title	Description	Year Project Funding will be requested	Month/Year Project to be started	Month/Year Project to be completed	Full Project	Phase	Cost of Project or Phase	GOB	STB	Other	Percent of GOB or STB	Percent of Other Funding Source	Description of Other Funding Source	New Construction	Renovation	Square Footage (GSF)
UNM-LA	1	1	UNM-LA Campuswide Infrastructure Renovations	This project addresses key campus wide needs related to safety & security and energy efficiencies. Renew, replace, and upgrade LED lighting, HVAC equipment and controls, and building envelopes in all eight buildings, and increase solar capacity for the Los Alamos campus. The project will also include the planning, design and replacement of the existing alarm and camera systems and to purchase and install a new keyless access control system campus wide.	2022	Jul-22	Dec-24	X		\$ 1,810,000	X			75%	25%	Local tax funds and Performance Contract		X	TBD
UNM-LA		2	UNM-LA Open Sapce Design and Upgrade	Design, repair, renovate, and develop open space on campus, including access, wayfinding, and parking areas to meet safety and ADA campus environs standards for land improvements controlled by UNM-LA.	2022	Jul-22	Dec-24	X		\$ 3,000,000	X			75%	25%	Local tax funds	X	X	N/A
UNM-LA		3	UNM-LA Campuswide Infrastructure Renovations	Renew, replace and upgrade existing facilities infrastructures at the Los Alamos Campus to provide energy savings, extend the life of the facilities, address ADA requirements and building safety issues, focusing on Buildings 1, 2, and 7.	2023	Jul-23	Dec-25	X		\$ 1,300,000		X		75%	25%	Local tax funds		X	TBD

TAOS BRANCH CAMPUS



New Mexico Department of Higher Education

2020 Summer Hearing - Five Year Capital Project Funding Plan

INSTITUTION:			The University of New Mexico - Taos Campus														4/21/2021		
Institution acronym	FY20 Funding Priority #	Overall Funding Priority #	Project Title	Description	Year Project Funding will be requested	Month/Year Project to be started	Month/Year Project to be completed	Full Project	Phase	Cost of Project or Phase	GOB	STB	Other	Percent of GOB or STB	Percent of Other Funding Source	Description of Other Funding Source	New Construction	Renovation	Square Footage (GSF)
UNM-T	1	1	Peralta Hall - Art, Film & Applied Technologies	Revonations, addition and facility upgrades (including Fire Supression System, HVAC System, Envelope systems)	2022	Jul-22	Dec-25	X		\$ 5,200,000	X			75%	25%	local tax funds	X	X	TBD
UNM-T		2	Campus-wide Facility Safety & Infrastructure Upgrades	Facilities infrastructure (HVAC, Access Control, Lighting, Surveillance)	2023	Jul-23	Aug-26	X		\$ 3,000,000		X		75%	25%	local tax funds		X	N/A
UNM-T		3	Center for Career and Technical Education - Vocational Building	Plan, design, construct, equip and furnish a Vocational Building Facility to provide Construction, Automotive and other trades training.	2024	Jul-24	Dec-27	X		\$ 5,500,000	X			75%	25%	local tax funds	X		15,000
UNM-T		4	Facilities Management & IT Building	Plan, design, engineer, site develop, construct, equip and furnish a facility for the Facilities Management and IT Departments.	2025	Jul-25	Dec-27	X		\$ 4,000,000		X		75%	25%	local tax funds		X	Upgrades
UNM-T		5	Bataan Hall Event Center Renovations	Renovations and facility upgrades (including Fire Suppression System, HVAC, Eletrical, Plumbing and Envelope upgrades)	2026	Jul-26	Aug-28	X		\$ 2,000,000	X			75%	25%	local tax funds		X	TBD

VALENCIA BRANCH CAMPUS



New Mexico Department of Higher Education

2020 Summer Hearing - Five Year Capital Project Funding Plan

INSTITUTION:				The University of New Mexico - Valencia Campus														4/6/2020		
Institution acronym	FY20 Funding Priority #	Overall Funding Priority #	Project Title	Description	Year Project Funding will be requested	Month/Year Project to be started	Month/Year Project to be completed	Full Project	Phase	Cost of Project or Phase	GOB	STB	Other	Percent of GOB or STB	Percent of Other Funding Source	Description of Other Funding Source	New Construction	Renovation	Square Footage (GSF)	
UNM-V	1	1	Learning Commons Resource Center Renewal/Remodeling	Library Tutoring and Commons Renovation	2021	Jul-21	Dec-23	X		\$ 800,000			X	0%	100%	Local funds		X	N/A	
UNM-V		2	Sustainability Improvements	Replace high water use plumbing fixtures /Install building integrated photovoltaic (BIPV) solar modules at existing facilities	2022	Jan-21	Jun-23	X		\$ 750,000			X	0%	100%	Local funds		X	N/A	

HEALTH SCIENCES CENTER

UNM Capital Planning Committee Funding Requests												
UNITS Five Year Capital Project Funding Plan												
CPLT Subcommittee			Health Sciences Center			DATE:					4/29/2021	
Department making request	Subcommittee Priority #	Project Title	Description	Will this project be phased? Please describe	Total Cost of Project or Phase	STB GOB or GF	% State Funding Request	% Other Funding Request	Description of Other Funding Source	New Construction	Renovation	Square Footage (GSF)
2024 STATE FUNDING REQUEST TOTAL					\$ 49,326,000							
YEAR 4		2025 (STB Year)										
UNM HSC	17	Health & Educational Careers Facility and Equipment	Partnering with both UNM Hospitals and Sandoval Regional Medical Center to grow the professional healthcare workforce by coordinating with Central New Mexico Community College, Bernalillo County, Sandoval Health Collaborative, and secondary education institutions in Central New Mexico. The workforce positions needed include Nurse, Physical Therapy, Occupational Therapy to name a few.	No	\$ 10,000,000	STB	100%	0%	State	X		N/A
YEAR 4 TOTAL					\$ 10,000,000							
				2025 STATE FUNDING REQUEST TOTAL	\$ 10,000,000							
YEAR 5		2026 (GOB Year)										
UNM HSC	18	Cancer Research Building	Plan, design, construct, and equip a new 3 floor Cancer Research Building to serve Cancer research programs as well as promote collaboration with various programs across the HSC	No	\$ 45,000,000	GOB	100%	0%	State	X		120,000
UNM HSC	19	Re-purpose, remodel old UNM Hospital for teaching/faculty offices	Some of the 1954, 1966 and 1977 in-patient and operating facilities, can be renovated to provide intermediate behavioral health services, teaching and research space for patients, faculty, students and staff	No	\$ 25,000,000	N/A	100%	0%	TBD		X	TBD
UNM HSC	20	Parking Structure w/Retail at road - Phase 1 of 3	Plan, design, construct, and equip a new 5 floor parking structure and with retail on University Boulevard to serve North campus visitor, students, staff, faculty and buildings.	No	TBD	N/A	0%	100%	TBD	X		350,000
YEAR 5 TOTAL					\$ 70,000,000							

2026 STATE FUNDING REQUEST TOTAL \$ 45,000,000

TOTAL Projects \$ 226,126,000
TOTAL STATE REQUESTED FUNDING FOR PROJECTS \$ 155,326,000

UNM Comprehensive Cancer Center Radiation Oncology and GMP Laboratory Expansion

Cheryl Willman, MD
Director & CEO, UNM Comprehensive Cancer Center



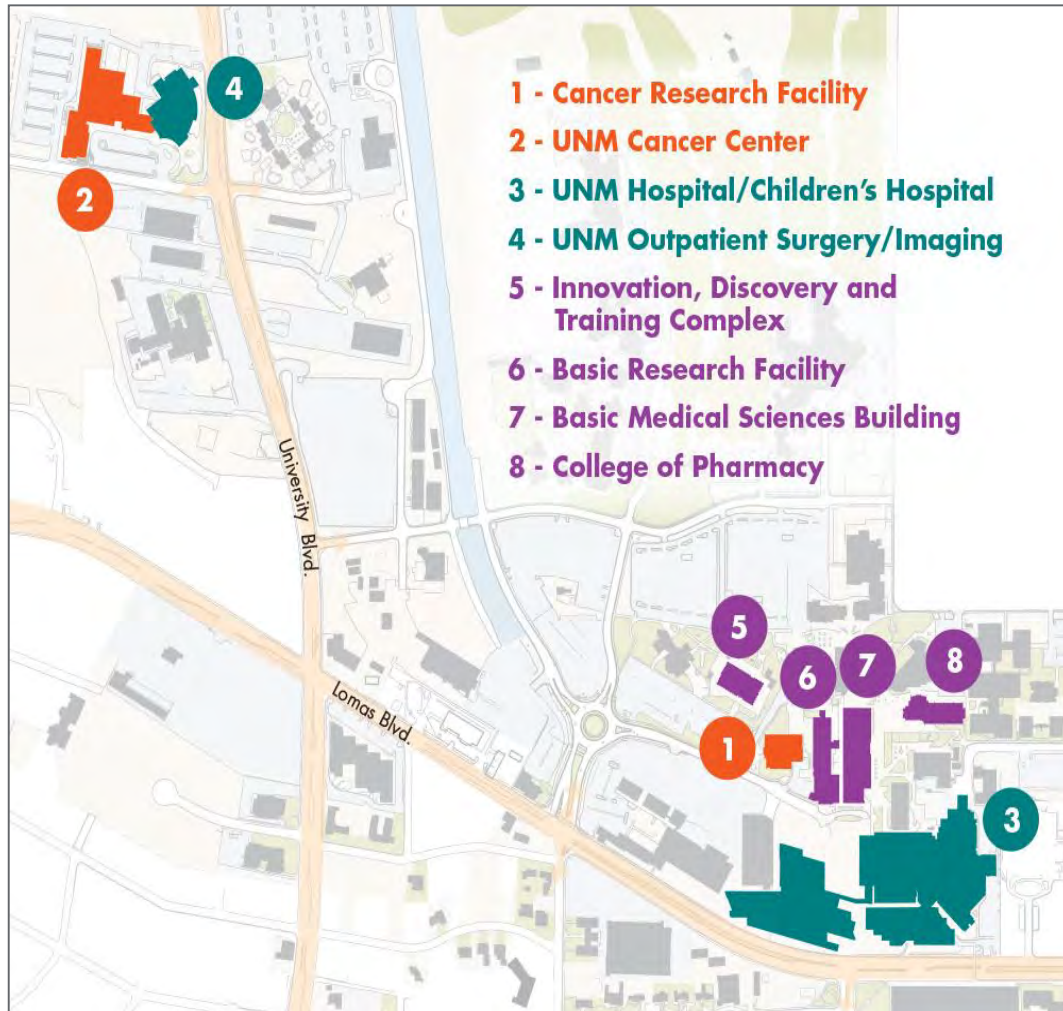
UNM Comprehensive Cancer Center Radiation Oncology and GMP Laboratory Expansion

UNMCCC Radiation Oncology and Laboratory Expansion

- Construct, renovate, and equip two radiation vaults for expanded Radiation Oncology Clinical Services to meet high patient demand and bring new technologies to New Mexico cancer patients
- Construct, renovate, and equip good manufacturing process (GMP) laboratories in support of new cancer treatment modalities
 - Cell Processing Laboratory: Bone Marrow and Stem Cell Transplantation & Cell-Based Immunotherapies
 - Radiochemistry/ Radiopharmacy Laboratory: Cancer Diagnostics and Targeted Radioisotope Treatments; Theranostics Shielded Infusion Suite
- Gross Square Footage: 26,320 (24,820 new; 1410 renovated)
- **Cost: \$28,653,275**



Current UNMCCC Clinical Facility (Map: #2)



- 2009: Construction completed; 206,432 sq. ft.
2012: Finish out of shelled clinical space
- Total Cost: \$152 million (GO Bonds, Capital Outlay, Institutional, and Donor Funds)
- Fully integrated ambulatory, multispecialty cancer clinical practice (medical, surgical, radiation, infusion, diagnostic imaging, clinical laboratory) open 7 days per week
- Tremendous program growth: providing cancer care to 62% of New Mexicans from every NM county with unique cancer treatment programs only UNM can provide

UNMCCC Radiation Oncology and GMP Laboratory Expansion

Expansion and Renovation of Current Facility

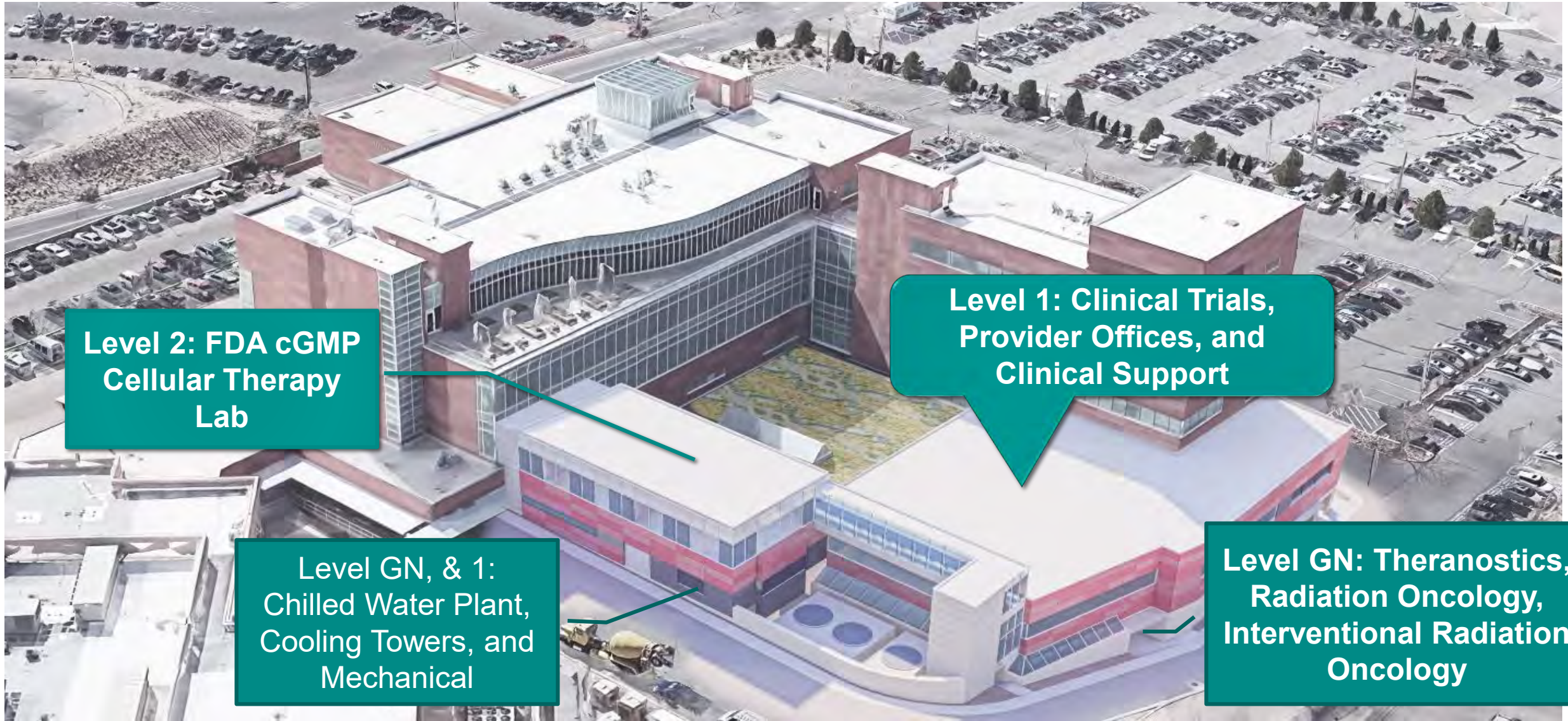
ADDRESSING CHALLENGES AND NEEDS

- Significant increase in patient volumes: 525 vs. 800 new cancer patients per month
- Insufficient RO capacity (4 Vaults): Capacity: 75 pts/day; Demand: 95 pts/day
- Recently informed that Tomotherapy Accelerator (IMRT: 25 pts/day) at end of life in 2021
- NM need for state of the art stereotactic radiosurgery accelerator (for brain and spinal cord tumors and organ metastases)
- Need for two new GMP laboratories to assure access to marrow/stem cell transplantation and new immunotherapies, vaccines, and radiotherapies

PROJECT BENEFITS

- Addresses tremendous growth in cancer patient volumes and meets patient need
- Assures access to state of the art radiation oncology technologies and procedures
- Provides and assures access for New Mexicans to newer cancer treatment modalities not currently available in NM that only UNM can deliver:
 - Bone Marrow/Stem Cell Transplantation (UNM: New Mexico's only FACT Accredited Program)
 - New Therapeutic Radioisotopes
- Provides new health profession and workforce training programs and clinical research opportunities

UNMCCC Radiation Oncology and GMP Laboratory Expansion



UNMCCC Radiation Oncology and Laboratory Expansion

Project Funding and Timeline

The funding sources for this project consist of the following:

- SB223, Cigarette Tax Allocation Extension – Extension of existing excise tax allocation which provides a mechanism for the NMFA to issue \$22 million par value in additional bonds to support the construction of the project.
- HB285, Severance Bond Projects: UNM Comprehensive Cancer Center - Radiation Vault \$3,000,000 - Provides funding for the purchase of equipment including the Radiation Oncology linear accelerators.
- HSC and UNMCCC institutional capital funding
- Project construction timeline is 24 months.

Requesting Regent Approval for:

- UNMCCC Radiation Oncology and Laboratory Expansion
Capital Project Cost **\$28,653,275**
- Project approval by the UNM Board of Regents will allow New Mexico Finance Authority (NMFA) to begin their process to issue \$22 million par value revenue bonds as authorized by SB223a in partial funding of this project

MEMO

To: Cinnamon Blair
Chair, UNM Naming Committee

From: Martha Cole McGrew, MD
Interim Dean, UNM School of Medicine

Date: April 6, 2021

Re: Appointment of the Robert G. "Reg" Strickland Distinguished Chair of Digestive Health and Science

Dear Ms. Blair and members of the Naming Committee,

On behalf of the UNM School of Medicine and the Department of Internal Medicine, we request approval to appoint Gulshan Parasher, MD as the holder of the Robert G. "Reg" Strickland Distinguished Chair of Digestive Health and Science. This endowed chair was created and approved in November 2017 by the Board of Regents according to Regents Policy 2.11 and University Business Policy 1020. This is the inaugural appointment.

As prescribed by Faculty Handbook Policy C170 "Endowed Chairs and Named Professorships" we seek approval to appoint Dr. Parasher as the holder of the Distinguished Chair.

Dr. Strickland joined the faculty of the University of New Mexico in 1972 as the founding Chief of Gastroenterology. Dr. Strickland built the division to national prominence, recognized for outstanding service, education, and research. In 1998, Dr. Strickland was appointed Chair of the Department of Medicine. The endowed chair in his name was established to further research and scholarship in digestive health.

It is our belief that Dr. Parasher will carry on Dr. Strickland's legacy and embody the excellent qualities Dr. Strickland brought to the University of New Mexico School of Medicine. For your consideration, Dr. Parasher's Curriculum Vitae and letters of recommendation are attached for your review.

Thank you for your time and consideration of this request.



Project ECHO® (Extension for Community Health Outcomes)

March 5, 2021

Naming Committee
University of New Mexico Health Sciences Center
Division of Gastroenterology

To the Naming Committee:

It gives me the greatest pleasure to recommend Dr. Gulshan Parasher as the first Robert G. (Reg) Strickland Distinguished Chair for Digestive Health & Science at the University of New Mexico Health Sciences Center.

The Strickland Distinguished Chair honors Dr. Strickland's lifelong service and excellence in medical practice, research, and teaching, and his lasting contributions to the UNM Division of Gastroenterology, Department of Internal Medicine, and School of Medicine. Dr. Strickland has embodied the highest ideals of academic medicine. His legacy will forever shape and guide the UNM School of Medicine.

I cannot think of a more ideal candidate for the first Strickland Distinguished Chair than Dr. Parasher. As a clinician, an educator, a colleague, a researcher, and a leader, Dr. Parasher epitomizes the qualities that the Chairship was established to recognize. He is the best advanced endoscopic surgeon in New Mexico, a patient-centered master clinician who consistently provides the highest quality of care. Physicians across the state refer their most difficult endoscopic procedures to him. He has received many awards in recognition of his excellence, including an honorable mention from the American College of Gastroenterology's (ACG) Service Award for Colorectal Cancer Outreach, Prevention, and Year-Round Excellence (2016), and an ACG Certificate of Outstanding Service (2019). As an educator, Dr. Parasher is committed, thoughtful, and engaging. His excellent interpersonal skills make him an outstanding mentor and colleague. As the Division Chief of Gastroenterology & Hepatology and Director of Endoscopy & Clinical Operations at UNM HSC, he has brought these skills together with his clinical and research expertise to strengthen these Divisions through consensus-building and careful leadership.

I wholeheartedly recommend Dr. Parasher for the Strickland Distinguished Chair for Digestive Health & Science. In his exceptional clinical, educational, and leadership skills – and his character – Dr. Parasher personifies Dr. Strickland's legacy of excellence and commitment to patients, students, field, and to the UNM School of Medicine and Health Sciences Center.

Sincerely,

A handwritten signature in black ink that reads 'Sanjeev Arora'.

Sanjeev Arora, MD, FACP, FACG
Distinguished Professor of Medicine
Founder, Project ECHO; Director, ECHO Institute
University of New Mexico Health Sciences Center



**SCHOOL OF
MEDICINE**
DEPARTMENT OF
INTERNAL MEDICINE

Division of Gastroenterology and Hepatology
1 University of New Mexico MSC 10-5550
Albuquerque, NM 87131
Office: 505-272-4755
Fax: 505-272-6839

February 21, 2021

To The Naming Committee's and Board of Regents, University of New Mexico

I am writing to support in the strongest possible terms the naming of Dr. Gulshan Parasher MD, FACP, FACG, as the inaugural recipient of the Robert G. (Reg) Strickland Distinguished Chair of Digestive Health and Science at the University of New Mexico Health Sciences Center.

I have known Dr. Parasher since 2002 when he was recruited to UNM HSC by then Division Chief of Gastroenterology and Hepatology, Dr. Thomas Ma to lead a new initiative in the Division, that of Advanced Endoscopic Diagnosis and Therapy.

Dr. Parasher's credentials and training to take on this challenge were impeccable and over the last 19 years he has built an outstanding Advanced Endoscopy section within the Division. Indeed his team has become the leading advanced endoscopic service for the state of New Mexico and Gulshan's opinion on difficult patient care issues is widely sort after in the Southwest region of the USA. Dr. Parasher has also utilized his specialized service for the training of Advanced Endoscopy Fellows from around the country. These are individuals who have completed their 3 year Fellowship in Gastroenterology and who wish to develop the skills, both procedural and decisional, of advanced endoscopic techniques for their future practices. It is a tribute to his commitment to Endoscopic training that Dr. Parasher has educated 50 Fellows and Junior Faculty in Advanced Endoscopy at our institution over the past 19 years.

Dr. Parasher has utilized his service and educational successes to contribute strongly to the research literature in this field. Whilst the majority of his publications and presentations have been related to clinical observational studies he has consistently demonstrated insight and strong support for the value of basic research in advancing our knowledge of Disease mechanisms in GI Diseases. Gulshan has continued to support the basic science group of investigators in our Division and is collaborating with their investigations into the use of organ culture in better understanding chronic inflammation and tumorigenesis in the GI tract.

Following Dr. Ma's departure from UNM to assume the Chair of Internal Medicine at Hershey Medical Center in Pennsylvania, Dr. Parasher was named Division Chief of Gastroenterology and Hepatology in our Department of Internal Medicine in 2017.

From my observations as a current and active Emeritus Professor, Founding Chief of Gastroenterology (1972-1987) and former Chair of Internal Medicine (1987-2000) I believe that Dr. Parasher has done an outstanding job over the past 3 years. He clearly had closely observed the administrative skills of his predecessor and came to this new role highly prepared



**SCHOOL OF
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to lead the Division. His leadership has been particularly apparent over the past year during the challenging time of COVID 19. Dr. Parasher's leadership style is team focused, non-judgmental, and participative and he understands and is implementing a balanced approach to advancing our service, educational, and research missions. His efforts have already resulted in forward momentum in all these areas.

I am absolutely certain that Dr. Gulshan Parasher is the institutions best choice to assume the Strickland Distinguished Chair and I recommend him to you with great confidence in his future leadership role at UNM.

With kind regards,

A handwritten signature in blue ink, reading 'R. G. Strickland', is written over a horizontal line.

Robert G. Strickland, MD, MACP
Emeritus Professor
Founding Chief of Gastroenterology
Past Chair of Internal Medicine



April 7, 2021

Naming Committee

Robert G. (Reg) Strickland Distinguished Chair for Digestive Health & Science
University of New Mexico School of Medicine

RE: Dr. Gulshan Parasher's nomination for Robert G. (Reg) Strickland Distinguished Chair for Digestive Health & Science

Dear Naming Committee:

It is my great pleasure to support Dr. Gulshan Parasher's nomination for the Robert G. (Reg) Strickland Distinguished Chair for Digestive Health & Science at the University of New Mexico School of Medicine.

Dr. Parasher currently serves as Chief of the Division of Gastroenterology and Hepatology at the UNM – Health Sciences Center and leads the Division's endoscopy and clinical operations. Before he became Chief, he served as Interim Division Chief from 2017 through 2019. Dr. Parasher is also a Professor within the Division of Gastroenterology and Hepatology and the Department of Internal Medicine. He has been a Division faculty member since 2002 and previously was a Clinical Instructor in the School of Medicine at the University of California Irvine. Dr. Parasher is currently a member of several university and hospital committees as well as numerous national organizations and has over 20 years of clinical experience in the field of Gastroenterology.

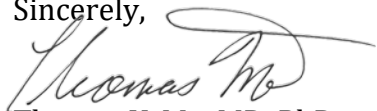
As an educator, Dr. Parasher demonstrates the qualities that this Distinguished Chair's namesake, Dr. Strickland, is known for. Dr. Parasher is a dedicated mentor who has made a huge positive impact on the fellows, junior faculty and subspecialty ERCP trainees under his purview. Dr. Parasher constantly goes above and beyond to inspire his mentees to develop and grow as innovative and compassionate clinicians, and his mentees have gone on to lead successful patient practices across the country. He has been a prolific clinical mentor and has directly mentored and trained over 40 advanced fellows in therapeutic endoscopy over the past 15 years.

Dr. Parasher is also a dedicated clinician and researcher who continues to seek science-driven solutions that will improve the lives of patients struggling with digestive diseases. His current projects and ongoing clinical trials, for example, aim to explore treatments for individuals with ulcerative colitis and pancreatic cancer. He continues to be prolific in publishing novel therapeutic approaches to treat difficult clinical conditions. Dr. Parasher clearly places the highest priority on outstanding patient care, as he has been consistently recognized as a "Top Doc" by *Albuquerque*, *The Magazine* for over 10 years. He has a mastery of basic endoscopic procedures, but his patients also benefit from his training and expertise in wide-ranging advanced endoscopic procedures, such as cholangioscopy, photodynamic therapy and ERCP. He is also recognized internationally for his expertise in therapeutic endoscopy and he has served in key leadership positions for the 2 premier GI therapeutic endoscopy societies, including American College of Gastroenterology and American Society of Gastrointestinal Endoscopy.

In summary, Dr. Parasher is an outstanding faculty member, clinician and educator who is nationally renowned for his expertise in advanced therapeutic endoscopy. He has excelled in all academic mission areas, including education, research and clinical care and founded the therapeutic endoscopy training program at UNM School of Medicine and has been instrumental in providing exceptional training in

therapeutic endoscopy to over 40 senior fellows. As the Division Chief, Dr. Parasher has also continued Dr. Strickland's phenomenal legacy of educational excellence while maintaining his important research priorities, helping his mentees succeed, and providing top-notch care to his patients. Dr. Parasher has my strongest support for the Robert G. (Reg) Strickland Distinguished Chair for Digestive Health & Science.

Sincerely,

A handwritten signature in black ink, appearing to read "Thomas Ma", with a stylized flourish extending from the end.

Thomas Y. Ma, MD, PhD

Chair, Department of Medicine

J. Lloyd Huck Chair in Medicine



THE UNIVERSITY OF NEW MEXICO • HEALTH SCIENCES CENTER
SCHOOL OF MEDICINE

March 4, 2021

Mark L. Unruh, MD, MS
Solomon, Gardner and Sterling Chair
Professor and Chair
Department of Internal Medicine
University of New Mexico
2211 Lomas Blvd. NE
Albuquerque, NM 87131

Re: Letter of support for the selection of Dr. Gulshan Parasher as the first Robert G (Reg) Strickland, MD Distinguished Chair for Digestive Health & Science.

Dear Dr. Unruh:

I am writing in enthusiastic support for the selection of Gulshan Parasher, MD, Chief, Division of Gastroenterology and Hepatology, University of New Mexico to be the first recipient of the Robert G (reg) Strickland, MD Distinguished Chair for Digestive Health & Science. Dr. Parasher is superbly qualified for this honor as reflected by his dedication to the University of New Mexico and his academic accomplishments, qualities that are emblematic of Dr. Strickland's career.

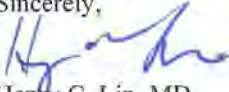
Dedication to UNM. Since 2002, when Dr. Parasher joined our Faculty, he has given his heart and soul to the growth and success of the UNM Division of Gastroenterology and Hepatology. His specialized skills in therapeutic endoscopy instantly made UNM HSC the "go to" resource for patients with complicated pancreaticobiliary diseases. Almost immediately, his presence attracted referrals from gastroenterologists and other specialists throughout the State. Over the past 19 years, he has dedicated his creativity, skills and energy in building the reputation of not only his interventional endoscopy program but that of UNM GI. He has leveraged his prominence in the field of therapeutic GI endoscopy in support of the whole division. Whether through his advocacy for the resources needed by the Division or his willingness to use his academic connections to pave the way for graduates of our Fellowship, Dr. Parasher has looked for ways to make a difference, a difference beyond his personal interest in interventional endoscopy. Dr. Parasher was selected as the Chief, Division of Gastroenterology and Hepatology in 2019 based foremost on the wide recognition of his unwavering dedication to UNM GI. Since his appointment, Dr. Parasher has consistently demonstrated admirable leadership in guiding the clinical, educational and research programs of the Division including recruiting several additional faculty members to strengthen our clinical capacity, supporting Dr. Christopher Chang in his role as the Fellowship Director, working closely with all the fellows and engaging regularly with the Faculty. He has demonstrated his commitment to research by his regular meetings with the basic scientists of the Division and his plan to use divisional resources to support a research coordinator and pilot grants. In all these areas, Dr. Parasher demonstrated in both words and action his abiding commitment to the success of everyone in the Division and the success of UNM.

Academic accomplishments. Dr. Parasher has had a successful academic career as highlighted by 32 published research papers, 26 abstracts and 6 book chapters. His research has been presented 18 times at National meetings. Dr. Parasher's national stature as a creative interventional endoscopist is evidenced by 16 extramural invited presentations such as presentations to the Annual American Society of Gastrointestinal Endoscopy, the American College of Gastroenterology and the American Society of Gastrointestinal Endoscopy. He has served as expert consultant or medical advisor to the leading manufacturers of the instruments used in interventional endoscopy and the pharmaceutical industries including Takeda, Olympus, Boston Scientific, US Endoscopy, Boston Scientific, Abbvie and Wilson Cook. Along with membership in the major professional societies in gastroenterology and Internal Medicine, Dr. Parasher has served in leadership roles such as member of the National Patient Care Committee of the American College of Gastroenterology, Chair of the Annual Scientific Committee (LGI) of the

American Society of Gastrointestinal Endoscopy, Member of the American Society of Gastrointestinal Endoscopy DDW Video Plenary Committee and member of the World Cup Committee. In addition, Dr. Parasher has served as the Governor of the New Mexico Chapter of the American College of Gastroenterology for 2 terms from 2006-2012. Dr. Parasher is a dedicated teacher and has trained numerous fellows in the art and science of GI endoscopy. Soon after joining UNM, Dr. Parasher launched a separate advanced GI Endoscopy Fellowship. Through his personal mentorship and preceptorship, a long line of interventional endoscopists have graduated from UNM to serve patients everywhere.

Dr. Parasher's dedication to UNM and his academic accomplishments mirror that of Dr. Strickland. As such, I strongly support his selection as the first recipient of the Robert G (Reg) Strickland Distinguished Chair in Digestive Health & Science as his appointment will honor the career and contributions of Dr. Strickland for whom the chair is named.

Sincerely,

A handwritten signature in blue ink, appearing to read 'Henry C. Lin'.

Henry C. Lin, MD
Chief of Medicine
Professor and Vice Chair, VA Affairs
Department of Internal Medicine
University of New Mexico
helin@salud.unm.edu
505-265-1711, ext. 4552

Curriculum Vitae

Gulshan Parasher, M.D, FACP, FACG

University of New Mexico-Health Sciences Center
Department of Internal Medicine
2211 Lomas Boulevard, NE, ACC5
Albuquerque, NM 87131-0001

Personal Data:

Nationality: United States

Telephone:

E-mail:

Current Academic and Professional Appointments:

2019- Present	Chief Division of Gastroenterology & Hepatology Director Endoscopy & Clinical operations University of New Mexico- Health Sciences Center (UNM-HSC) Albuquerque, NM
2017-2019	Interim Chief, Division of Gastroenterology and Hepatology University of New Mexico- Health Sciences Center (UNM-HSC) Albuquerque, NM
2016-Present	Professor of Medicine (Clinician Educator) Division of Gastroenterology, Department of Internal Medicine UNM-HSC Albuquerque, NM
2005-Present	Director Endoscopy & Advanced Endoscopy UNM-HSC Albuquerque, NM

- 2008-2016 **Associate Professor of Medicine**
Division of Gastroenterology and Hepatology
UNM-HSC
Albuquerque, NM
- 2002-2005 **Director Endoscopic Ultrasound and Therapeutic Endoscopy**
Division of Gastroenterology and Hepatology
University of New Mexico-Health Sciences Center (UNM-HSC)
Albuquerque, NM
- 2002-2008 **Assistant Professor of Medicine**
Division of Gastroenterology and Hepatology
UNM-HSC
Albuquerque, NM

Previous Academic and Professional Appointments:

- 2001-2002 Clinical Instructor
University of California, Irvine- School of Medicine (UCI-SOM)
Irvine, CA

Post-graduate Training:

- 2001-2002 Advanced Endoscopy Fellowship (EUS & GI Oncology)
Division of Gastroenterology, Irvine Medical Center, University of California
Irvine, California
- 1998-2001 Gastroenterology Fellowship
State University New York Health Sciences Center
Syracuse, NY
- 1995-1998 Residency in Internal Medicine
Maimonides Medical Center, State University New York Health Sciences Center
Brooklyn, NY

Medical School:

1988-1993 University College of Medical Sciences/University of Delhi
New Delhi, India

Advanced Training/Education:

2020 Measurement, Design, and Analysis Methods for Health Outcomes
Harvard T. H. Chan School of Public health

2001 Introduction to principles & practices of clinical research
National Institute of Health

2000-2001 Endoscopic Ultrasound training, Digestive Disease Center
University of South Carolina

1999 Outcomes Research in Gastroenterology, Introductory & Adv Workshop
San Diego, CA

Medical License:

New Mexico 2002 (Active)
California 2001
Indiana 1998

Board Certification:

1995-2005 Diplomate of American Board of Internal Medicine

2002-2012 Diplomate of American Board of Gastroenterology

2012-2022 Diplomate of American Board of Gastroenterology

Honors and Awards:

1992 Certificate of Merit, Indian Academy of Pediatrics

1993 Graduated in top 10 percent of Medical school Class

1998-1999 Academic excellence awards for outstanding resident of the year
Maimonides Medical Center (State University of New York)
Brooklyn, NY

1998-2001	Physician recognition award, American Medical Association
1999	“Certificate of Honor” by National Fellows’ forum, American College of Gastroenterology
2006	“Top Doc 2006” Top physicians list <i>Albuquerque, The Magazine</i>
2007	“Top Doc 2007” Top physicians list <i>Albuquerque, The Magazine</i>
2008	“Top Doc 2008” Top physicians list <i>Albuquerque, The Magazine</i>
2010	“Top Doc 2010” Top physicians list <i>Albuquerque, The Magazine</i>
2014	“Top Doc 2014” Top physicians list <i>Albuquerque, The Magazine</i>
2016	Honorable Mention 2 nd Annual SCOPY Awards ACG, for Colorectal cancer awareness in obtaining “NM Governor’s proclamation” for declaration of March as Colorectal cancer awareness month
2017	“Top Doc 2014” Top physicians list <i>Albuquerque, The Magazine</i>
2018	Certificate of Outstanding service Governor by American College of Gastroenterology
2019	“Top Doc 2019” Top physicians <i>Albuquerque, The Magazine</i>

Memberships/Leadership

2020- Present	American Society of Gastrointestinal Endoscopy DDW Video Plenary and World Cup committee
2019- Present	North American Neuroendocrine Tumor Society Member
2015-2018	Governor American College of Gastroenterology
2016-2017	Chair Annual Scientific committee (LGI) ASGE
2015-2016	Vice Chair Annual Scientific committee (LGI) ASGE
2013-2016	Member Annual Scientific committee (LGI) ASGE
2009-2012	Governor, American College of Gastroenterology (New Mexico)
2006-2009	Governor American College of Gastroenterology (New Mexico)

2016	Pancreatic Cancer Action Network- Member
2015-2017	American Pancreatology Association
2012	Member - The pancreas club.
2011-2016	Strathmore's who is who
2010-Present	Member ad hoc promotion review committee UNM HSC
2006-2009	Member National patient care committee, American College of Gastroenterology
2006	Fellow American College of Gastroenterology
2006	Fellow American College of Physician
2005	American Federation of Medical Research, Member
2000-Present	American Society of Gastrointestinal Endoscopy, Member
2000-2013	American Gastroenterological Association, Member
2002-Present	Global Academic Faculty – Gastrohep.com
1998-2001	American Medical Association, Associate Member

Expert Consultant Services/ Medical Advisory Board Industry:

2018	Wilson Cook
2018	Boston Scientific
2018	Abbvie Pharmaceuticals
2017	Boston Scientific
2017	Abbvie Pharmaceuticals
2016	Boston Scientific
2015	US endoscopy
2015	Boston Scientific

2014	Boston Scientific
2013	Olympus America
2012	Olympus America
2009	Takeda Pharmaceuticals.

University/Hospital Committees and Administrative Service:

Member, UNM faculty senate information technology Committee (2018-2020)

Member, Executive Committee Department of Internal Medicine (DOIM) (2018- Present)

Member, GI Oncology search Committee (2017-2018)

Member, Internal Medicine Department Chair Search Committee (2016)

Member, Cancer Research and treatment Center (2002-Present)

Steering Member, UNM Hepatopancreaticobiliary (HPB) Surgery steering Committee (2018)

Member, Multidisciplinary pancreatitis group (2016)

Director, Endoscopy & Advanced Endoscopy (2005-Present)

Grants/ Ongoing Clinical trials (Abbvie)

1. M20-259 study, “A Phase 3, Multicenter, Randomized, Efficacy Assessor-Blinded Study of Risankizumab Compared to Ustekinumab for the Treatment of Adult Subjects With Moderate to Severe Crohn's Disease Who Have Failed Anti-TNF therapy”. Role - PI
2. M14-675 A Multicenter, Randomized, Double-Blind, Placebo-Controlled Induction Study to Evaluate the Efficacy and Safety of Upadacitinib (ABT-494) in Subjects with Moderately to Severely Active ulcerative Colitis. Role – PI
3. M14-234 A multicenter, randomized, double-blind, placebo-controlled study to evaluate the safety and efficacy of Upadacitinib (ABT-494) for induction and maintenance therapy in subjects with moderately to severely active ulcerative colitis. Role -PI
4. M14-533 A Phase 3 multicenter, Long-Term Extension study to evaluate the safety and efficacy of Upadacitinib (ABT-494) in subjects with ulcerative colitis- Role PI

5. M15-722. A Multicenter, Single Arm, Open Label Study to Investigate the Efficacy and Safety of Ravagalimab in Subjects with Moderate to Severe Ulcerative Colitis Who Failed Prior Therapy – Role PI

Publications:

Abstracts:

1. S Khalid, J Satiya, A Abbass, **G Parasher**, D Castresana. Migration of Over-the-Scope Clip (OTSC) Resulting in Intestinal Obstruction: American Journal of Gastroenterology 114
2. ZA Sobani, B Saeidi, SA Sánchez-Luna, S Paleti, **G Parasher**. Hemospray® as Bridging Therapy in Acute Esophageal Bleeding Secondary to Varices and Post-Banding Ulcers. American Journal of Gastroenterology 114 :S1108-S1109.
3. S Sánchez-Luna, R Gulati, S Paleti, **G Parasher**, T Rustagi. Upper Gastrointestinal bleeding from Cholecystogastrostomy lumen apposing metal stent. American Journal of Gastroenterology 2018 Vol 3 2133
4. Queen T, Rustagi T, **Parasher G** . Flouroless Single –Step EUS –Guided Choledochoduodenostomy and Cholecystoduodebostomy with Lumen Apposing Metal Stent Mounted on Cautery –Tipped Delivery System. DDW 2017 Chicago
5. Adler G **Parasher G** et al . Clinical and Pathological Evaluation of a New EUS Core Biopsy Needle : A large Multicenter Trial DDW 2017 Chicago
6. Youssef M, Kistin M, **Parasher G**, Ma T. LEAN implementation improve patient satisfaction and reduces wait time UNM GI lab experience. *Journal of Quality Improvement in healthcare* May 2013
7. Othman M, Stone E , Hashimi M, Parasher G. Conservative Management of Cholelithiasis and its complications in Pregnancy is associated with Recurrent Symptoms and more Emergency Department (ED) visits. *Gastroenterology* S 476 T1044.
8. Othman M, Gilles M, Youssef M, Roy P, **Parasher G**. Antibiotics alone or in combination with Ursodeoxycholic acid (UDCA) for the prevention of plastic biliary stent occlusion in malignant biliary obstruction. A Meta analysis of randomized controlled trials (RCT's). *Gastrointestinal Endoscopy* 69,5, AB 140-141 2009.

9. Othman M, Kaza A , Hoffman R , Roy PK, **Parasher G**. Endoscopic sphincterotomy vs surgery as a primary treatment for patients with common bile duct calculi : A Meta analysis of randomized controlled trials . *Gastrointestinal Endoscopy* 65, 5, AB 124, 2007.
10. Lee F Roach M, **Parasher G**, Hunt C, Heywood G. Combination of Irinotecan, Oxalplatin and Cetuximab for patients with metastatic pancreatic cancer. *Journal of Clinical Oncology*. ASCO Annual Meeting Proceedings Part 1 Vol 24, No 18 S: 2006 1418.
11. Capecitabine, irinotecan and celecoxib (XIC), for patients with unresectable/metastatic cholangiocarcinoma. J.L. Bibb, F. Lee, M. Roach, C. Verschraegen, **G. Parasher**, G. Heywood; University of New Mexico. Presented at the gastrointestinal cancer symposium 2005, American Society of clinical Oncology meeting.
12. Nguyenduc G, **Parasher G**, Ma T, Keys CR. Epidemiology of the malignant cystic neoplasms of the pancreas. *Gastroenterology* 2004.
13. Acosta –Mondragon LI, **Parasher G**. Primary diffuse large B –cell lymphoma presenting as a solitary rectal polyp in a patient with ulcerative colitis. *Journal of investigative Medicine* 2004; 52(1) 164-164.
14. Fazel A, Catalano M, Beilstein M, Kochman ML, Davila RE, Van Dam J, Chen V, Eloubeidi M, Savides T, Dobhan R, Chak A, Michael H, Gress F, **Parasher G**, Chang K, Dragnov P. A survey of text documentation practices by expert endosonographers in the USA. *Gastrointest Endosc* 55(5):W 1525, 2002.
15. Fazel A, Catalano M, Beilstein M, Kochman ML, Davila RE, Van Dam J, Chen V, Eloubeidi M, Savides T, Dobhan R, Chak A, Michael H, Gress F, **Parasher G**, Chang K, Dragnov P. Assessing the photo documentation (Pdoc) practices of expert endosonographers in the United States: A first step toward developing photodocumentation practice standards. *Gastrointest Endosc* 55(5): W 1524, 2002.
16. **Parasher G**, Alasasdi R, Chang KJ, Nguyen PT. Endoscopic Ultrasound (EUS) changes of chronic pancreatitis (CP) are common among patients with pancreatic cancer.” *Gastrointest Endosc* 55(5):W 1560, 2002.
17. **Parasher G**, Largent J, Taylor T, Hosobuchi C, Anton –Culver H, Chang KJ. Women may hormonally protected from pancreatic cancer: A 10- year epidemiology cancer registry study in Southern California. *Gastroenterology* 2002; 122(4): T1619.
18. Alasadi R, Berdy A, **Parasher G**, Nguyen P, Hernandez M, Jivcu C, Chang KJ. The diagnostic value of CA 19-9 in patients with suspected pancreatic cancer and chronic pancreatitis on Endoscopic Ultrasound (EUS). *Gastrointest Endosc* 2002;55(5): W 1544.

19. **Parasher G**, Frenklakh I, Siddqui T, Nandi J, Levine RA. Nitric oxide inhibitors ameliorate Indomethacin –induced enteropathy in rats. *Gastroenterology* 118(4): A4289, 2000.
20. Nandi J, **Parasher G**, Frenklakh I, Goodman D, Siddqui T, levine RA. Expression of inducible nitric oxide synthase (iNOS) in rat intestinal mucosa by Indomethacin. *Gastroenterology* 118(4);A4290, 2000.
21. **Parasher G**, Mukherjee S, Holtzaple PG. Disseminated histoplasmosis: A rare cause of massive jejunal bleeding. *American Journal of Gastroenterology* 94(9):A597, 1999.
22. **Parasher G**, Mukherjee S, Murthy U. Colonoscopic diagnosis and polypectomy of an appendicular adenoma. *American Journal of Gastroenterology* 94(9):A596, 1999.
23. **Parasher G**, Mukherjee S, Kaplan DS. Hepatic portal vein gas resulting from mucositis related to 5-FU. *American journal of Gastroenterology* 94(9):A599, 1999.
24. **Parasher G**, Fox J, Murthy U. Hepatic abscess from perforated gastric ulcer. *American Journal of Gastroenterology* 94(9):A598, 1999.
25. **Parasher G**, Mukherjee S, murthy U. B –cell Non hodgkin’s lymphoma in a patient with hepatitis C. *American Journal of Gastroenterology* 94(9):A 595, 1999.
26. Jaswal S, **Parasher G**, Levi G, Tenner S. Multiple gastric/ duodenal ulcers associated with a non gastrin secreting pancreatic neuroendocrine tumor: Proposal for an alternative mechanism of ulcerogenesis. *American Journal of Gastroenterology* Nov 1998.

Manuscripts papers:

1. **Parasher G**, Wong M , Rawat M. Evolving role of artificial intelligence in gastrointestinal endoscopy . *World Journal of Gastroenterology* 2020 Dec 14;26(46), 7287
2. Sanchez -Luna SA, Mwengela D, Paleti S, Samedi VG, **Parasher G**. Getting the GIST of a perigastric abscess. *Gastrointestinal Endoscopy* 2020 Nov 92(5),1127-1128
3. Sobani Z, **Parasher G**. Response. *Gastrointestinal Endoscopy* 2020 Sep 92(3),795-796

4. Khalid S, Satiya J, Abbass A, **Parasher G**, Castresana D. Migration of Over-the-scope Clip resulting in anal pain and obstructed defecation. *Cureus* 2020 Apr 7; :12(4)
5. Sobani ZA, **Parasher G**. Esophageal intramural pseudodiverticulosis as a result of suspected eosinophilic esophagitis. *Gastrointest Endosc*. 2020 Mar;91(3) 708-709
6. Gulati R, Hanson JA, **Parasher G**, Castresana D. Getting the gist of a schwannoma. *Gastrointest Endosc* 2020 Jan;91(1):191-192.
7. Dogra P, Ramírez JR, Peláez MJ, Wang Z, Cristini V, **Parasher G**, Rawat M. Mathematical Modeling to Address Challenges in Pancreatic Cancer. *Curr Top Med Chem*. 2019
8. Khalid S, Paniz G, Abbass A, Fischer E, **Parasher G**, McCarthy D. A Rare Site of Spread of a Common Cancer. *Dig Dis Sci*. 2019 Nov;64(11):3096-3099
9. Sobani ZA, Khalid S, Parasher G. A Novel Approach to Pass a Hemospray Delivery Catheter Without Contamination. *Am J Gastroenterol*. 2019 Dec;114(12):1835
10. Rawat M, Kadian K, Gupta Y, Kumar A, Chain PSG, Kovbasnjuk O, Kumar S, **Parasher G**. MicroRNA in Pancreatic Cancer: From Biology to Therapeutic Potential. *Genes (Basel)*. 2019 Sep 25;10(10).
11. **Parasher G**, Queen TC. Placement of a New self-expanding metal stent (SEMS) with a 6 Fr delivery system for unresectable malignant hilar biliary obstruction. *Access* 15-16 (1), 2018
12. Adler DG, Muthusamy VR, Ehrlich DS, **Parasher G** et al. A multicenter evaluation of a new EUS core biopsy needle: Experience in 200 patients. *Endosc Ultrasound* 2018 Apr 4. Doi:10.413/eus.eus_53_17
13. Greenbaum A, **Parasher G**, Demarest G, Auyang E. Oesophageal stent placement to treat a massive iatrogenic duodenal defect after laparoscopic cholecystectomy. *BMJ Case Reports* 2017; doi:10.1136/bcr-2016-218895
14. Castresana D, Adnan, M, Martin D, **Parasher G**. Abrikossoff Tumor of the Stomach (Granular Cell Tumor). *Journal of Gastroenterology, Hepatology and Endoscopy*. 2017 Feb 1.
15. Colom-Steele D, Castresana D, Hanson J, **Parasher G**, McCarthy D. A Rare Case of invasive Pancreatitis in an immunocompromised Host. *Dig Dis Sci*. 2016 Dec;61(12):3440-3442.
16. Alhmoud T, Hanson JA, **Parasher G**. Eosinophilic Gastroenteritis: An Underdiagnosed Condition. *Dig Dis Sci*. 2016 Sep;61(9):2585-92. doi: 10.1007/s10620-016-4203-5.
17. Beduya D, Nir I, Parasher G. A 50-year old man with postprandial epigastric pain. *Dig Dis Sci* 59 (11):2653-5, 2014

18. Holman GA, **Parasher G**. Extra pancreatic pancreatitis: A rare cause of abdominal pain. *Dig Dis Sci* 59 (8): 1714-6-, 2014
19. Alhmaoud T, Arif H, Auyang E, Samedi V, **Parasher G**. Chronic abdominal pain, ascites, and diarrhea: seeing red. *Dig Dis Sci* 59 (4):740-3,2014
20. Othman M, Stone E, Hashimi **Parasher G**. Conservative management of Cholelithiasis and its complications in pregnancy is associated with recurrent symptoms and more emergency room visits. *Gastrointest Endosc* 76(3); 564-9,2014
21. Lim BS, Leung JW, Lee J, Yen D et al. Effect of ERCP Mechanical Simulator (EMS) practice on trainees 's ERCP performance in the early learning period. *Am J Gastroenterol* 106:300-306, 2011. (Acknowledgement participating faculty).
22. Glass J, **Parasher G** et al. Mesothelin and GPR 30 staining among a spectrum of pancreatic epithelial neoplasia. *Int J Surg Pathol*. 2011 Oct; 19(5): 588-96.
23. Venapusa B Oman SA **Parasher G**, Cerilli LA .C -kit positive gastric metastasis of lobular carcinoma of the breast masquerading as gastrointestinal stromal tumor. *Breast Cancer*. 2010 Oct;17(4):303-5
24. Lee F, Roach M, **Parasher G**, Hunt C, Heywood G. Combination Irinotecan, capecitabine and celecoxib in patients with advanced biliary cancers. *Internet Journal of Oncology* Vol 4 (2), 2007.
25. Matuk R, **Parasher G**. Endoscopic Ultrasound and Fine Needle Aspiration –What, Why, When, and When not to. *US Gastroenterology Review*, 2 (10): 10-11, 2006.
26. Chang K J, **Parasher G**, Christie C, Largent J, Anton-Culver H. Risk of Pancreatic Adenocarcinoma: Disparity between African American and Other Race /Ethnic Groups. *Cancer* 103(2):349-57, 2004
27. **Parasher G**, Frenlakh I, Siddiqui T, Nandi J, Levine RA. Nitric oxide inhibitors ameliorate Indomethacin-induced enteropathy in rats. *Dig Dis Sci* 46 (11):2536-41, 2001.
28. **Parasher G**, Eastwood GL. Smoking and peptic ulcer disease in H. Pylori era (in depth review) *Eur J Gastroenterol Hepatol* 12(8)843-53, 2000.
29. Mukherjee S, **Parasher G**, Kaplan DS, Sipple MA. Expandable metal stents in Achalasia – Is there a role? *Am J Gastroenterol* 95(9): 2185-88, 2000.

30. Zamir A , **Parasher G**, Moukarzel AA, Zein L, Feldman F. Castleman 's disease : a rare cause of hematemesis . *Journal of Pediatric Gastroenterology & Nutrition* 28(1):112-5, 1999.
31. **Parasher G**, Jaswal S, Golbey S, Greenberg, Iswara K. Extraintestinal Non –Hodgkin's lymphoma presenting as obstructive jaundice in a patient with Crohn's disease . *Am J Gastroenterol* 94(1):226-8, 1999
32. Zamir A, **Parasher G**, Moukarzel AA, Guarini L, Zein L , Feldman F. Immunoproliferative small intestinal disease in a 16 yr old boy presenting as severe malabsorption with excellent response to tetracycline treatment . *J Clin Gastroenterol* 27(1):85-9 , 199

Book Chapters:

1. Leung JW, **Parasher G**, Lee JG. The role of ERCP in pancreaticobiliary malignancies. In Advance Digestive Endoscopy. Cotton PB and Eds. Blackwell Scientific.
2. **Parasher G**, Chang KJ. Perspectives and the future of Endoscopic Ultrasound. Ecoendoscopia na pratica da gastroenterologia. Ardengh JC and Eds. Sarvier Publishers.
3. **Parasher G**, Schwartz j. Management of complications of esophageal stents. Self-expandable stents in Gastroenterology. Slack publishers 2011.
4. **Parasher G**, Queen T. Endoscopic management of difficult Common bile duct stones. In Advanced Pancreaticobiliary Endoscopy Adler DG, Springer 2015.
5. Beduya D, **Parasher G**. Evaluation and management of mucosal and submucosal lesions in foregut. In Upper GI endoscopy for GI fellows. Springer publications 2016.
6. Sanchez Luna S **Parasher G**. Applications of Cholangiopancreatography in Pancreaticobiliary Diseases. In Endoscopy Novel Techniques and Recent Advancement (Intech Publishers)

Book Review:

Michael Gilles, **Parasher G**. For the Book, Curbside Consultation in GI Cancer for the Gastroenterologist: 49 Clinical Questions. Editor: Douglas G Adler; Publisher: Slack Inc. *Practical Gastroenterology*, July 2012.

Presentations:

1. Keshav N, Khalid N Shiehmoertaza M Fiona C **Parasher G** Thompson W. “**Dots**, Lines, Contours, and Ends: An Image-Based Review of Esophageal Pathology” Electronic poster presentation during the **SAR 2021 Digital Annual Meeting**
2. Tyberg A, Raijman I, Gaidhane M, **Parasher G**, Kahleh M et al . First interobserver agreement of optical coherence tomography in the bile duct : a multicenter collaborative study. **American Society of Gastrointestinal Endoscopy Annual meeting DDW 2020.**
3. Ashref M, Sánchez-Luna SA, Benrajab K, **Parasher G** Post procedural complications from direct endoscopic intraductal cholangiopancreatography : a nationwide analysis . **American Society of Gastrointestinal Endoscopy Annual meeting DDW 2020.**
4. Greenbaum A, **Parasher G**, Demarest G, Auyang E. Oesophageal stent placement to treat a massive iatrogenic duodenal defect after laparoscopic cholecystectomy. Annual SAGES meeting 2017
5. Othman M, Stone E, Hashimi, **Parasher G**. Conservative management of Cholelithiasis and its complications in pregnancy is associated with recurrent symptoms and more emergency room visits. DDW 2010.
6. **Parasher G**, Othman M, Kaza A, Hoffman R, Roy PK. Endoscopic sphincterotomy versus surgery as a primary treatment for patients with common bile calculi: A Meta-analysis of randomized controlled trials. Oral Presentation. **American Society of Gastrointestinal Endoscopy Annual meeting 2007.**
7. Delores S, **Parasher G**. Intraductal papillary mucinous tumors. **American College of Physicians regional meeting 2007 Albuquerque, New Mexico.**
8. Othman G, Roy PK, **Parasher G**. Endoscopic Ultrasound guided fine needle aspiration diagnosis of primary peritoneal Mesothelioma. American College of Gastroenterology 2006.
9. Fazel A, Catalano M, Beilstein M, Kochman ML, Davila RE, Van Dam J, Chen V, Elobeidi M, S avides T, Dobhan R, Chak A, Michael H, Gress F, **Parasher G**, Chang K, Dragnov P. Assessing the photodocumentation practices of expert endosonographers in the United States: A first step towards developing photodocumentation practice standards. **American Society of Gastrointestinal Endoscopy Annual meeting, San Francisco 2002.**
10. Fazel A, Catalano M, Beilstein M, Kochman ML, Davila RE, Van Dam J, Chen V, Elobeidi M, Savides T, Dobhan R, Chak A, Michael H, Gress F, **Parasher G**, Chang K, Dragnov PA.

A survey of Text documentation practices by expert endosonographers in the USA. **American Society of Gastrointestinal Endoscopy. Annual meeting, San Francisco 2002**

11. **Parasher G** , Chang KJ, Largent J, Hosobuchi C, Taylor T, Anton-Culver H. Women may be hormonally protected from pancreatic cancer: A 10- year epidemiology cancer registry study in southern California. **American Gastroenterology Association Annual meeting San Francisco 2002.**
12. Alasadi R, **Parasher G**, Nguyen PT, Chang KJ. The diagnostic value of CA 19-9 in patients with suspected Pancreatic cancer and chronic pancreatitis on Endoscopic Ultrasound. **American Society of Gastrointestinal Endoscopy. Annual meeting, San Francisco 2002.**
13. **Parasher G**, Alasadi R, Nguyen PT, Chang KJ. Endoscopic Ultrasound changes of chronic Pancreatitis is common among patients with Pancreatic cancer. **American Society of Gastrointestinal Endoscopy Annual meeting, San Francisco 2002.**
14. **Parasher G**, Frenklakh I, Siddqui T, Nandi J, Levine RA. Nitric oxide inhibitors ameliorate Indomethacin –induced enteropathy in rats. **DDW American Gastroenterology Association meeting San Diego 2000.**
15. Nandi J, **Parasher G**, Frenklakh I, Goodman D, Siddqui T, Levine RA. Expression of inducible nitric oxide synthase (iNOS) in rat intestinal mucosa by Indomethacin. **DDW American Gastroenterology Association meeting San Diego 2000.**
16. **Parasher G**, Decarli A, Levine RA. Metastatic Insulinoma Presenting as gastric ulcer and massive gastrointestinal hemorrhage. **American College of Gastroenterology. National Fellow's forum, San Diego.**
17. **Parasher G**, Frenklakh I, Siddqui T, Nandi J, Levine RA. Nitric oxide inhibitors ameliorate indomethacin –induced enteropathy in rats. **Fifth Annual Young investigators conference in Digestive diseases, La Jolla, CA.**
18. Jaswal S, **Parasher G**, Levi G, Tenner S. Multiple gastric/ duodenal ulcers associated with a non gastrin secreting pancreatic neuroendocrine tumor: Proposal for an alternative mechanism of ulcerogenesis. **American College of Gastroenterology. 63rd Annual Scientific meeting Boston 1998.**

Invited Talks:/Hands On Workshop/Expert Rounds/ Grand Rounds:

1. **Advances in the Endoscopic and Medical Management of Upper Gastrointestinal Bleeding.** City Wide Surgery Grand Rounds UNMHSC Albuquerque ,NM

2. **Advances in the Diagnosis of Pancreatic Cancer** – Gastroenterology Division Grand Rounds 2019 ,UNM HSC Albuquerque ,NM
3. **Endoscopic Diagnosis and Management of GI NET's** – North American Neuroendocrine Tumor Society Regional Conference 2019, Albuquerque New Mexico
4. **Evolution of Cholangiopancreatography** – Visualize the Future, Global Technology Expo 2018 , Spencer Indiana
5. **Introduction, Adoption and Clinical application of Hemospray-** Consultant U.S. Expert Advisory group (2018), Philadelphia, PA
6. **Understating the data on Exocrine pancreatic insufficiency (EPI)** – Community rounds, Albuquerque, NM (2018)
7. **Exocrine pancreatic insufficiency (EPI)** – community rounds, Albuquerque, NM (2018)
8. **Biliary stone management** – ASGE Advance Fellows Course, ASGE IT &T Center Chicago 2017
9. **Acute Pancreatitis-Medical & Endoscopic Management** – Internal Medicine Grand rounds, University of New Mexico, Albuquerque, NM (2017).
10. **Recent Advances in Endoscopic Diagnosis & treatment of Gastrointestinal Diseases, Moderator-** Internal Medicine Grand rounds, University of New Mexico, Albuquerque, NM (2017).
11. **Gastrointestinal Neuroendocrine Tumors–Perspectives in Diagnosis-**Neuroendocrine Cancer Regional Conference UNM CRTc 2017
12. **Poster Rounds with Expert's Faculty - Pancreaticobiliary section** – Annual ACG post graduate Course Las Vegas 2016.
13. **Advances in endoscopic diagnosis of HPB malignancies and role in targeted therapies .** Annual GI & Hepatopancreaticobiliary malignancies retreat . UNM Cancer Research and treatment center 2016.
14. **Endoscopic Diagnosis and Management of Pancreatic Cysts-** New Mexico Rio Grande Annual SGNA conference 2016.
15. **Advances in the Endoscopic Management of Pancreatic fluid Collections.** Surgery rounds .New Mexico 2016
16. **Hands on Workshop Director (Fellows)** –Endoscopic Ultrasound – Annual ACG post graduate course ,Washington DC 2014.

17. **Hands on Workshop Faculty** – Endoscopic Hemostasis –Annual ACG post graduate course San Diego, California 2013.
18. **Poster Rounds with Expert’s Faculty - Pancreaticobiliary section** – Annual ACG post graduate Course Las Vegas 2012.
19. **“Endoscopic Management of biliary Obstruction”**- Santa Fe international Gastrointestinal Cancer Conference, Santa Fe, NM 2009.
20. **The on call call** - Annual American Society of Gastrointestinal Endoscopy fellows course Chicago 2008. Animal endoscopy workshop training
21. **Tools of the trade** – Annual American Society of Gastrointestinal Endoscopy fellows course Chicago 2008.
22. **Esophageal Dilation** - Annual American Society of Gastrointestinal Endoscopy fellows course Chicago 2008.
23. **Endoscopic Ultrasound – “The role of Endoscopic Ultrasound in Esophageal & Gastric lesions”** - Guest Speaker & moderator Annual American College of Gastroenterology postgraduate course & meeting Las Vegas October 2006.
24. **Gastrointestinal Radiology** – ASGE (American Society of Gastrointestinal Endoscopy) 1st year fellows conference , Chicago Illinois August 2005
25. **“Update on Pancreatic Neoplasms”- State of the art Lecture** – Annual Meeting Western Federation of Medical Research conference, Carmel, California, Feb 2005.
26. **Presided and Moderated Gastroenterology Session** – Annual Meeting Western Federation of Medical Research Conference, Carmel, California, Feb 2005.
27. **“Endoscopic Ultrasound Emerging Indications”** – City-wide Surgery Grand Rounds, University of New Mexico School of Medicine 2003.
28. **“Endoscopic Ultrasound Current Applications and Results”** – New Mexico Society of Clinical Oncology Annual Meeting 2003, Guest speaker & Moderator.
29. **Endoscopic Management of Chronic Pancreatitis** – Annual Surgery Post graduate Course,- University of New Mexico, 2003.
30. **“Pancreatic Neoplasms”** – Noon Conference, New Mexico Veterans Affairs Medical Center, Jan 2003.
31. **“Optical Coherence Tomography in Gastrointestinal Tract”** – Biophysical Imaging Group, Dept of Physics and Engineering, University of New Mexico, March 2003.

32. **“Endoscopic Ultrasound; Emerging Indications”** – GI Grand rounds, University of New Mexico School of Medicine, Jan 2003.
33. **“Endoscopic Ultrasound in the Management of Gastrointestinal Malignancies”** – Cancer Imaging and research symposium, University of New Mexico, Oct 2002.
34. **Celiac Disease – Roundtable discussion** -Central New York Celiac diseases support group society Central New York , 1999.

Current Projects:

1. **Establishment of Organoid Cultures using EUS guided FNB (fine needle biopsy) pancreatic tissue samples obtained from the patients with pancreatic cancer undergoing diagnostic endoscopy and/ or paracentesis:**

The EUS guided FNB (fine needle biopsy) will be performed and the biopsy tissue will be used to create ex vivo organoids. Organoids will be grown in a laboratory and used for further study as pre-cancer disease model and drug screening. proposed research project is to establish the initial correlation between the gene expression and mutations present in patients with pancreatic cancer and understanding of the molecular pathways pertaining to pathogenesis, early diagnosis, and metastases in order to develop some early stage markers. We are proposing to establish organoids from tissue samples obtained during endoscopy in these patients. Additionally, we propose to study the immune characteristics of the ascites fluid in pancreatic cancer patients that had develop ascites and require therapeutic paracentesis. We will use these organoids for the comparative genomic analysis of pathway analysis, mutation detection or to understand the small RNAs for mutated (pancreatic cancer derived organoids) vs. normal organoids.

2. **Risk factors associated with delayed complications of lumen apposing metal stent placement for drainage of pancreatic fluid collections: A multicenter retrospective case control study.**

Retrospective multicenter case-control study to define risk factors for complications related to Lumen Apposing Metal Stent Placement (LAMS) for drainage of pseudocyst, walled off necrosis, infected pancreatic necrosis, or postoperative pancreatic collections.

- Cases to include patients who experienced the following complications of interest from (1/1/2014-present).
- Buried stent syndrome/mucosal overgrowth
- Stent migration
- Delayed bleeding including pseudoaneurysmal bleeding
- Matching – controls will be matched to cases by institution in a 1:2 ratio (1 case with 2 institution matched controls).
- Controls will be institutional matched patients, systematically selected, who did not experience any post procedural immediate or delayed complications.
- Covariates - please see the attached spreadsheet for the covariates of interest that include patient related, fluid collection characteristics and endoscopy related covariates.

3. A Multicenter, Randomized, Double-blind, Placebo-controlled Study to Investigate the Efficacy and Safety of ABBV-323 in Subjects with Moderate to Severe Ulcerative Colitis Who Failed Prior Therapy

Procedural Skills:

Basic Endoscopic procedures

Upper GI Endoscopy

Endoscopic hemostasis (Sclerotherapy, banding, heater probe coagulation)

Esophageal dilatation including (Pneumatic and TTS balloon)

Esophageal stent placement

Argon plasma coagulation

Percutaneous endoscopic gastrostomy

Endoscopic Naso jejunal tube placement

SBE Enteroscopy

Colonoscopy diagnostic and therapeutic

Esophageal and rectal manometry

Advanced Endoscopic Procedures

Endoscopic Ultrasound

Radial and linear endosonography

Endoscopic ultrasound guided Fine needle aspiration

Endoscopic ultrasound guided celiac plexus neurolysis

Endoscopic Ultrasound guided cyst gastrostomy

ERCP

Cholangioscopy
Sphincterotomy and stent Placement
Stone extraction
Biliary and Pancreatic Manometry
Pancreatic endotherapy
Laser Endoscopy & lithotripsy
RFA Barret's esophagus
Photodynamic therapy (Esophagus)
Endoscopic mucosal resection
Confocal Endomicroscopy

Subspecialty ERCP Trainees (Gastroenterology)

Anthony Madrid, M.D.	Group Practice, Denver, CO
Andrew C Mason, M.D.	Group Practice, Albuquerque, NM
Edward Paredez, M.D.	Group Practice La Hoya, San Diego, CA
Greg Nguyenduc, M.D.	Group Practice, Seattle, WA
Jehad Barakat, M.D.	Asst. Professor Medicine, VAMC, UNM,
Christopher Shepela, M.D.	Asse Prof. of Medicine, University of Minneapolis
Todd A Williams, M.D.	Group Practice, Idaho Falls, ID
Andrzej Marzec, M.D	Group Practice, Wisconsin.
Andy Meyer, MD	Multispecialty group, Scottsdale Arizona.
Matthew strutman, MD	Group Practice, Columbia, Missouri.
Jeff Douglas, MD	Multispecialty Group practice. Portland Oregon.

Nikki Parker Ray, MD	Group practice, Albuquerque, NM
Stanley Yu, MD	Group practice, San Jose, CA
Anthony Serna, MD	Group practice San Antonio, TX
Casey Kolendich, MD	Group Practice Montana.
Matthew Smith, MD	Group Practice Portland
Trent Taylor, MD	Asst Professor of Medicine UNM
Mohammed Othman, MD	Asst Professor of Medicine Baylor, Houston, Texas
Yatin Patel, MD	Group practice, AZ
Khaldun Khatib, MD	Group Practice, Dallas, TX
Laurel Hartwell, MD	Gastroenterology Bend Oregon
Leslie Price, MD	Swedish Clinic, Seattle
George Holman, MD	Southwest Gastroenterology, NM
Mustafa Youssef, MD	Gastroenterology, Dallas, TX
Nitesh Vachani MD	Gastroenterology Houston, TX
Allison Venner, MD	Group practice Reno, NV
Fahad Khan, MD	Gastroenterology practice, NJ
Raj Chudasama MD	Kaiser Perm. Riverside CA
Bhargava Ganavarrapu, MD	Advance Endoscopy, Northwestern Chicago
Didi Mwengala, MD	UCLA affiliated Hospitals, LA
Sarah lee, MD	Gastroenterology, Portland Oregon
Nina Nandi, MD	Gastroenterology, NM
Deabes Ahamed, MD	Gastroenterology, Scripps Clinic, San Diego
Gessel Luke, MD	University of Uteh, Salt Lake City, UT
Khirfan Khalddon, MD	Gastroenterology, Northern California

Murlimohan Ramya, MD	Gastroenterology, North Carolina
Mojtahed Amirkaveh, MD	Gastroenterology, San Diego, CA
Havaida Marjan, MD	Gastroenterology, Denver, CO
Mohamed Aly, MD	Gastroenterology, Northern California
Muqeet-Adnan Mohammed, MD	Gastroenterology, Chicago, IL

Advanced Endoscopy Fellowes and Junior Faculty

Robin Matuk, MD	Group Practice, Bakersfield CA.
Eric Stone, MD	Advance Endoscopy Charlotte, NC
Arun Pillai MD,	Gastroenterology Phoenix, AZ
Adam Mousey, MD	Advance Endoscopy, Medford Oregon
Michael Gilles, MD	Advance Endoscopy Bristol, Tennessee
Shagia Rafique, MD	Advance Endoscopy, Denver, CO
Dino Beduya, MD	Advance Endoscopy, Virginia Beach
Eduardo Chua, MD	Advance Endoscopy Seattle
Motaj Al Hafnawi, MD	Advance Endoscopy, Miami, FL
Thomas Queen, MD	Advance Endoscopy, Denver, CO
Daniel Castresena, MD	Faculty Oregon Health Sciences University

April 29, 2021

University of New Mexico
Health Sciences Center Committee
Albuquerque, New Mexico 87131

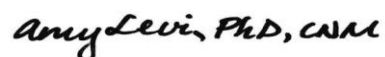
Dear Members of the HSC Committee:

Please find attached the list of UNM Health Sciences Degree Candidates for the spring 2021 term for your approval.

Degree Candidate Summary

College/School	Count
College of Nursing	193
RN-BSN in Nursing	59
BS in Nursing	122
Doctor of Nursing Practice	12
College of Pharmacy	65
Doctor of Pharmacy	65
College of Population Health	31
BS in Population Health	31
Graduate Programs	61
Graduate Certificate	1
Master in Occupational Therapy	8
Master of Public Health	13
Master of Science – Dental Hygiene	3
Master of Science – Nursing	26
Master of Science – Pharmaceutical Sciences	1
Doctor of Philosophy – Biomedical Sciences	9
School of Medicine	142
BS Emergency Medical Services	24
BS Radiologic Sciences	5
BS Dental Hygiene	29
BS in Medical Laboratory Sciences	8
Doctor of Medicine	76
Grand Total	492

Sincerely,



Amy Levi, Ph.D., CNM, WHNP-BC
Vice Chancellor HSC Academic Affairs
Albers Endowed Professor of Midwifery

April 27, 2021

TO: Board of Regents Academic Student Affairs and Research Committee

FROM: Rick Holmes, Office of the University Secretary

SUBJECT: Spring 2021 Degree Candidates

The Faculty Senate approved the Spring 2021 Degree Candidates at their April 27, 2021 Faculty Senate meeting. Included is the list of the Spring 2021 Degree Candidates. Please do not publish the candidates that are on the privacy flag list.

campus	Degree	Frequency
ABQ	Baccalaureate Degree	2504
ABQ	Doctoral Degree	219
ABQ	First-Professional Degree (Medicine)	88
ABQ	Masters Degree	483
ABQ	Post Second. Cert/Dipl <1 yr.	25
ABQ	Post Second. Cert/Dipl >1 < 2 (Ugrad)	29
ABQ	Post-Masters Cert	30
GA	Associate Degree	148
GA	Post Second. Cert/Dipl <1 yr.	3
GA	Post Second. Cert/Dipl >1 < 2	29
LA	Associate Degree	16
LA	Post Second. Cert/Dipl <1 yr.	10
LA	Post Second. Cert/Dipl >1 < 2	2
TA	Associate Degree	15
TA	Post Second. Cert/Dipl <1 yr.	1
VA	Associate Degree	55
VA	Post Second. Cert/Dipl <1 yr.	13
Total		3670*

**Final number of conferred degrees will be slightly lower due to changes in student degree status that occur until the end of the semester.*

Please place this item on the next Board of Regents ASAR Committee meeting agenda for consideration.

Thank you.

Attachments

DATE: April 1, 2021

TO: Operations Committee of the Faculty Senate

FROM: Nikki Jernigan, Ph.D., Chair
Senate Graduate & Professional Committee

RE: Posthumous Degree

At its April 1, 2021 meeting the Senate Graduate & Professional Committee voted to approve a request to grant a posthumous degree to Glenda Lewis (100009263). Please see the attached email from detailing this request for Ms. Lewis.

The Senate Graduate & Professional Committee's approval is based primarily on the two conditions specified in the faculty handbook relative to the granting of posthumous degrees. Ms. Lewis had completed the coursework required for the degree and her academic record is in good standing. Therefore, we request that the Faculty Senate support the awarding of a posthumous Doctor of Philosophy in Language, Literacy & Sociocultural Studies to Glenda Lewis. We also request that this item be put on the Senate's agenda at the earliest convenience.

Thank you,



Attachment

April 16, 2021

Senate Graduate & Professional Committee:

The University of New Mexico recently lost an exceptional student, community worker, colleague and friend. I grew to know Glenda during her time as GPSA President and while a Project Assistant for Project New Mexico Graduates of Color. Glenda had been ready to defend her dissertation when the COVID-19 pandemic fell upon us. Toward the end of 2020 Glenda was diagnosed with stage 4 cancer.

Being born and raised in New Mexico, Glenda has always been a strong supporter of UNM and all that it stands for. She received her BA in American Studies in 2002, her MA in Secondary Education in 2010.

I am formally requesting the PhD in Language, Literacy & Sociocultural Studies be awarded posthumously to Glenda Lewis (100009263).

Sincerely,

A handwritten signature in black ink, appearing to read 'Robben C. Brown', enclosed within a thin, hand-drawn oval.

Robben C. Brown
Academic Affairs Specialist
& Mgr., Academic Advisement

Supported and approved by the faculty of the department.

Carlos Lopez Leiva
Interim Dept. Chair
LLSS

NEW GRADUATE DEGREE OR GRADUATE CERTIFICATE FORM D

UNIT PREPARES IN QUADRUPLICATE
Route as indicated below under approvals. Return to the Registrar's Office once all signatures have been obtained.

Date: _____

***Allow up to one year for the process to be completed for a certificate, and 18 months for a degree.**

(Name of individual initiating Graduate Degree or Graduate Certificate)

(Title, position, telephone number)

(Email address)

(Department/Division/Program)

Note: Proposals for new graduate degrees or graduate certificates need to follow an approved format. Please call the Office of Graduate Studies and ask for an outline. Revisions of graduate degrees and some new certificates also may need state approval, depending on the extent of changes proposed. Please consult the Office of the Provost for advice prior to initiating this form.

Attach the following required documents:

1. Executive Summary.
2. Program Proposal (in the approved format).
3. Catalog Description (to include program curriculum).
4. Graduate Program Projected Costs (only for new degrees).
5. Library Impact Statement.

Does this new degree affect any existing program? Yes ☐ No ☐ If yes, attach statement.

Proposed date to admit new students: Term _____ Year _____

Required Signatures:

Department Chair <u>Kristine J. Jellison</u>	Date _____
College Curricula Committee _____	Date _____
College or School Dean <u>Theresa C. Bellon, PhD, MA, MEd</u>	Date _____
Dean of Library Services _____	Date _____
Office of the Registrar—Catalog _____	Date _____
FS Graduate Committee _____	Date _____
Dean of Graduate Studies _____	Date _____
FS Curricula Committee _____	Date _____
Office of the Provost <u>Pamela Z. Clark</u>	Date <u>02-12-2021</u>
Faculty Senate _____	Date _____
Board of Regents _____	Date _____

Additional Approvals for Degrees:

Board of Regents _____	Date _____
Council of Graduate Deans _____	Date _____
Academic Council of Higher Education _____	Date _____
Higher Education Department _____	Date _____
State Board of Finance _____	Date _____

Entered Banner

Entered Catalog

For Registrar's Office ONLY:

Copies Mailed

Executive Summary - Preliminary Review
Doctor of Philosophy (PhD) Degree in Health Equity Sciences (HES)
College of Population Health, UNM
February 18, 2020

The University of New Mexico's College of Population Health (COPH) is proposing a new cooperative PhD degree in "Health Equity Sciences" (HES) in partnership with New Mexico State University's College of Health and Social Services. The new degree will capitalize on each institution's strengths in order to develop a wider range of educational and research opportunities within the state. The PhD in HES will integrate the rich history of public health with the newer discipline of population health and the human and social sciences, and provide graduates with a competency-based degree that will prepare them to become the health leaders, managers, and researchers of tomorrow. The new degree will "advance health and health equity across New Mexico" (and beyond), which is consistent with the vision of the Health Sciences Center's Strategic Plan 2018-2023, as well as the goals in the University of New Mexico's 2019-2020 Strategic Plan. This PhD is vitally important because one of New Mexico's greatest barriers to improved health and well-being is that the state is data-rich but information-poor, where data resources are not translated into "real-time" systems reform. A PhD in HES addresses this need for formal advanced training of health researchers, professionals, and advocates in epidemiology/socio-epidemiology and biostatistics, socio-behavioral intervention, prevention and evaluation sciences, community based participatory research, global health systems and policy equity sciences, and the translation of research results into interventions, health care system reform, and policies for improved health equity.

The new PhD will build upon the existing degree programs offered through the COPH, such as the accredited Master of Public Health (MPH) degree which has been offered since 1994, and the Bachelor of Science in Population Health (BSPH) degree started in 2015. This PhD offers unique training within the University and the state, and will attract students from a wide range of academic backgrounds because of the interdisciplinary nature of public and population health. The PhD in HES will be a 66-credit hour program of study, comprised of core and concentration course work and 18 dissertation hours. The program is designed to be flexible and responsive to the needs of individual students, with five concentrations including: Epidemiology, Applied Statistics, Health Education with colleagues from UNM's College of Education, Community Based Participatory Research (CBPR), and Global Health Equity (including health systems and policies).

The curriculum includes a core in advanced research methods, applied research skills, doctoral seminar, and concentration courses. Research methods include advanced epidemiology/biostatistics, rigorous quantitative and qualitative methods in prevention, intervention, and health policy research. Training will be based in translational, participatory, theory-driven and culturally-centered designs for diverse populations. Skill-building courses include data analysis software packages (SAS, STATA, etc), among others. Doctoral seminars will be student-driven journal clubs and employ diverse case studies (drawing from our rural/frontier, tribal, & U.S.-Mexico border partners). Concentration courses are tailored with students choosing their pathway with approvals by an advisor from NMSU and/or UNM, and the student's doctoral committee. After completing 25 credits, students will take a comprehensive exam and present their dissertation proposal.

Table 1: Proposed Ph.D. in Health Equity Sciences

	CORE Classes					
	Advanced Research Methods	Doctoral Seminars	Skills Building	Concentrations and Dissertation	MPH Credit Transfer	Total Credits
Required	3	3	3	12		21
Elective	15				12	27
Dissertation				18		18
Total credits	18	3	3	30	12	66

Evidence of Need and Duplication: The health sector continues to grow with no signs of declining. Alongside this growth is an unprecedented opportunity and need to develop population-based health solutions that can address the growing burden of chronic conditions. As the nation enrolls 32 million previously uninsured individuals, there is an urgent need to build evidence on what works, for who, under what conditions, and at

what cost. NM is positioned to be an innovator in this arena by building this evidence base and preparing researchers, administrators, managers, and policy and population health data analysts.

According to the Bureau of Labor Statistics (2019), between 2018-2028, the demand for public and population health personnel will outpace most other professions. For example, the demand for health system administrators and biostatisticians will grow 17.6% and 30.7% respectively – a rate that exceeds the growth of the overall job market by 3½ to 6 times. In addition, a 2015 NM Department of Workforce Solutions Report showed the largest gains in employment will come from health services, with a 10.5% increase in jobs since 2008. Further, shortfall projections developed in 2008 (by the Association of Schools of Public Health) there is already a large shortfall of public health workers. At the time, it was estimated that 250,000 additional public health workers would be needed by 2020, which equated to three times the current number of graduates for a period of 10-15 years, including doctoral-level researchers.

Given the heterogeneity with our unique populations in NM, and considering the challenges and cultural assets, the timing for this degree is long overdue. This is an unprecedented period of change and opportunity for growing the public/population health workforce. Health reforms at the national, state and tribal levels have shifted towards value based health systems that reward innovation and implementation of evidence-based programs, innovative care delivery models, and community interventions that accelerate prevention and tackle the social determinants of health. A new cadre of PhD-trained professionals with applied research experience is needed for collecting, analyzing, and translating population health data; as well as evaluating multi-level health care and policy interventions that advance health equity for diverse communities. The PhD in HES will build a robust research workforce for working with other stakeholders to advance systems and policy change. The PhD at UNM (in cooperation with NMSU) will fill a critical gap in an under-represented workforce in the South, U.S.-Mexico border region and Mountain West health care, public health systems and health policy pool. In a 2019 survey of UNM's current MPH students and alumni, 63% indicated serious interest in a PhD, with specific interest in: analytics (24.5%); community health and health systems/policy (16%); global (12%); population health (12%); and Native American research (10%). Potential employers include: universities, public agencies, private insurance and accountable care organizations, for-profit and non-profit hospitals and health care systems, local/state/tribal governments, non-profit organizations, rural and/or U.S.-Mexico border public health offices, and Albuquerque Area and Navajo Tribal Epidemiology Centers.

There are no similar programs offered within NM. The WICHE regional graduate program opportunities are few, distant, and limited to general public health doctoral programs, or designed specifically for clinical practitioners such as nurses and laboratorians.

Inter-Institutional Collaboration and Cooperation: The new degree will be a cooperative program with NMSU. The two institutions will have common admission standards but conduct admission separately. The admitting university will be the student's "home" for the majority of their core requirements and comprehensive exams. Students will have the ability to cross-enroll at the partner university for selected classes, and the option to select a concentration and complete their dissertation at the partner university. Their dissertation chair will be from their home institution, but students will have the option to work with a primary research mentor from the partner school that will serve as co-chair to their committee.

Evaluation and Assessment: Each course has specific learning objectives, with student progress measured by successful completion of the course. Doctoral students must pass both a comprehensive examination after completing 24 credits from advanced core courses and their doctoral examination after completing their dissertation. Graduates and employers will be surveyed one-year after completion of degree to determine employment status and satisfaction with doctoral level training.

Projected Enrollment and Costs The faculty are ready to offer the new program, and pending approval, will start recruiting immediately. The plan is to start with 5 doctoral students initially. Once the PhD-HES is well established, we anticipate having over 20 students enrolled in the program, with 4+ PhD degrees being granted each year. It will take 4 to 5 years to establish the program, and at least 4 years before reaching 20 students in residence, however not all of these students will be full-time.

The anticipated yearly revenue as the program ramps up is as follows: year 1 = \$25,779, year 2 = \$51,559, year 3 = \$77,339, and year 4 = \$94,525. Projected start-up costs will include new staff support at 1.5 FTE who will assist with marketing, admissions, tracking of student progress, and processing of fellowships.

Todd W Hynson

From: Paul B Roth
Sent: Thursday, February 20, 2020 7:01 AM
To: Amy J Levi
Cc: Julie Coonrod; Chamiza Pacheco de Alas; Todd W Hynson; Tracie C Collins
Subject: Re: Request to proceed with the development of the PhD program at the College of Population Health

Yes. Please proceed

Sent from my iPhone

On Feb 19, 2020, at 4:17 PM, Amy J Levi <AmyLevi@salud.unm.edu> wrote:

Please let us know if the College of Population Health, under the direction of Dr. Tracie Collins, may proceed with the development of a proposal for the PhD program described in the attached Preliminary Review.

Thank you!

Amy

Amy Levi, PhD, CNM, WHNP-BC, FACNM, FAAN
Vice Chancellor of Academic Affairs for the Health Sciences Center
Leah L. Albers Endowed Professor of Midwifery
University of New Mexico
Albuquerque, New Mexico
Amylevi@salud.unm.edu
505-272-5598

<Memo to Dr. Roth.docx>
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NEW GRADUATE PROGRAM APPLICATION

A. General Information

Institution: University of New Mexico - College of Population Health

Name and Title of Contact Person: Tracie Collins MD, MPH, MHCDS
Dean and Professor

Email of Contact Person: TCCollins@salud.unm.edu

Name of Proposed Program: Ph.D. in Health Equity Sciences (HES)

Name of Sponsoring Department, School, and/or College: College of Population Health

Level of Proposed Program: Doctoral Degree

Estimated Time to Complete Proposed Program: 4-6 years full time

Campuses to offer this degree program: Albuquerque (North Campus) Health Sciences Center

All Program Format(s): (*standard, distance education, evening, weekend and/or other*) – Standard, distance education, and evening

Anticipated Start Date: Fall 2021

Proposed CIP code

B. Program Curriculum

Collaboration Spotlight

Education & Training

UNM and NMSU share a long history of collaborative public health work in research, training, education, leadership, and service dating back to the mid-1900's. The partnerships have included joint educational activities and research, as well as exchanges between the two universities for undergraduate and graduate students.

- UNM and NMSU are the only schools in NM offering public health education for undergraduate and graduate levels.
- NMSU lent its expertise to the development of UNM's Bachelor of Science in Public Health capstone class and project, since it has had a Bachelor's of Community/Public Health degree since the late 1970s.
- Both programs advise potential MPH students about the options at both schools (e.g. epidemiology vs border health).
- Traineeships sponsored by the Region 6 Public Health Training Center allow students to participate in exchange experiences at both schools.
- UNM's MPH students can take online classes through NMSU, and NMSU's MPH students can take in-person classes at UNM. These credits easily transfer, since both programs have the same accreditation standards, and apply to the degree they are working toward.
- NMSU offers an undergraduate and graduate minor in US-Mexico Border health and UNM offers a 400/500 level US-Mexico Border Health course.

Program Description (as listed in catalog)

The University of New Mexico's College of Population Health and New Mexico State University's College of Health and Social Sciences are proposing a cooperative Doctor of Philosophy in Health Equity Sciences (Ph.D.-HES). The doctorate program brings together two of New Mexico's most prestigious academic institutions to offer a unique and cutting-edge opportunity for doctoral-level study.

UNM and NMSU share a long history of collaborative public health work in research, training, education, leadership, and service dating back to the mid-1900s. Much of this work has focused on US-Mexico Border public health practice, services, and policy development, including joint educational activities and research, as well as exchanges between the two universities for undergraduate and graduate students. This longstanding partnership has set the stage for the collaborative doctoral program.

The program capitalizes on each institution's strengths. The cooperative structure gives students access to faculty and institutional resources on both campuses, creating a more comprehensive range of educational and research opportunities within the state. Both universities have comparable bachelor's degree programs (Public Health at NMSU and Population Health at UNM), and both have Master of Public Health degree programs; the Ph.D. in HES is a natural extension of these two programs.

The new doctorate will integrate the rich history of public health, with the newer discipline of population health, and the human and social sciences to provide graduates with a rigorous competency-based degree. The advanced comprehensive training will prepare graduates for positions in various settings - such as local, state, federal, and global governmental and non-governmental agencies, public health and social justice organizations, health care provider organizations, and higher learning institutions.

2020 marks a watershed year for health regionally, nationally, and globally. The current COVID-19 pandemic has highlighted the disproportionate impact of health and social crises upon underserved and marginalized populations, many of which experience historical trauma that becomes exacerbated during times of duress. According to the NM Department of Health, our own New Mexican Native community is experiencing death from COVID-19 at rates 19 times that of all other populations combined— a chilling example.

The death of George Floyd and the many others who came before him illuminate once more the pervasiveness of structural racism within our culture. The demand for learning, the desire to help, and the aspiration for research competencies and knowledge to address the dual pandemic of COVID-19 and racism is high. The need for this degree is urgent.

At the end of the program, graduates will have the skills and experience necessary to address these growing and complex problems that underlie health and healthcare inequities, as well as emerging future threats.

Collaboration Spotlight

Collaborative ventures in border health disparities remain a lynchpin for cross-university research projects.

US-Mexico Border Health Centers of Excellence Consortium

- A four-border state partnership focused on bridging evidence with practice in the delivery and training of the health professional workforce from prevention and primary care to treatment.
- One of several successful outcomes of the consortium included a faculty forum with participants from both UNM and NMSU, which engaged over 100 public health stakeholders. Participants discussed and shared community-based practices and evidence-based programs to tackle behavioral health and chronic conditions in the border region.

NM Cares Health Disparities Center (UNM) & Southwest Institute for Health Disparities Research (NMSU)

- From 2010 to 2015, the two centers co-sponsored several community-academic training sessions, such as training for researchers, students, and community health workers.
- One of these trainings took place as part of the Border Health Disparities Conference hosted by NMSU. During the conference, over 50 participants completed a two-part training developed by promotores de salud on research ethics and best practices in bidirectional community-based participatory research.

Graduates will acquire the skills to address complex health problems by:

- developing a strong understanding of culturally competent and culturally humble community-based research design and evaluation
- becoming skilled in diverse research methodologies (quantitative and qualitative) and statistical analyses
- identifying new synergies that leverage resources and transdisciplinary science by integrating public health with population health and social sciences perspectives
- conducting research to assess political-structural and social determinant inequities among diverse and underrepresented populations in the state, the border, southwest region, tribal communities, the U.S., and globally
- advancing new lines of intervention research, policy development, and service that will contribute to improved health equity and health status within the state, the border, southwest region, tribal communities, the U.S., and globally.

This will be the first program in the nation to offer a doctoral-level degree in Health Equity Sciences (HES).

Program Curriculum *(as listed in catalog)*

The Ph.D. in HES will be a 66-credit hour program of study broken down into core and concentration coursework and dissertation hours. It will be flexible and responsive to the needs of individual students with multiple concentration options to choose from at UNM, NMSU, or a jointly run concentration between the two institutions in Biostatistics.

The core curriculum will be similar at both institutions and includes advanced research methods, applied research skills, a doctoral seminar, and concentration courses. The core features classes in advanced epidemiology and biostatistics that emphasize rigorous quantitative and qualitative research methods, as well as classes in prevention, intervention, and health policy research. Training will be based in translational, participatory, theory-driven, and culture-centered designs for diverse populations. Skill-building courses include data analysis software packages (SAS, STATA, AtlasTi, etc), among others. Doctoral seminars will be student-driven journal clubs and employ diverse case studies (drawing from our rural/frontier, tribal, & U.S.-Mexico border partners).

The admissions criteria (see below) will be the same across the two institutions to foster reciprocity through the use of the State's Cross Enrollment Agreement. Students will choose either NMSU or UNM as their degree-granting institution (their "home" institution) to which they will apply and complete core coursework predominantly at that institution. Participation in research projects will commence during the first year with mentorship provided by faculty at either institution. After 48 credit hour requirements, students will take a comprehensive exam at their home institution after which (if completed successfully), they will begin work toward their dissertation. The comprehensive examinations will be similar for the core material. However, since the two universities have different concentrations, the concentration portion of the examination will differ depending upon the concentration the student selects.

Students will also select a concentration from either institution at that time, and the balance of coursework will be in fulfillment of that concentration.

The dissertation committee chair will be a faculty member from the degree-granting (home) institution; however, students may select a co-chair who serves as an additional mentor from the other institution if it makes sense for their chosen path. At least two committee members (chair and one other) will be from the degree-granting institution. Faculty at either institution may opt to have a joint appointment, but this is not required. An oral defense will follow the written dissertation.

The expected length of time is four years to complete, during which students will hold graduate assistantships and be involved in research and teaching. Students will have the opportunity to apply for a variety of graduate assistantships, including research assistantships. Many will be graduate assistantships supporting the undergraduate courses. Our BSPH student differential will be used to fund these graduate assistantships. We currently do not have enough master's level students to fill our needs for assistantships in all of our undergraduate and graduate courses. The doctoral students will have the needed training in more specialized topics such as epidemiology to assist with those classes at the master's level.

Ph.D. in Health Equity Sciences - Credit Hour Requirements

The program focuses on full-time students who already have a master's degree from a CEPH-accredited MPH program. The program will also accept students with a master's degree from other disciplines. However, in some instances, students with a bachelor's level degree will be considered for admittance. These students will be required to take an additional 13 MPH-specific credit hours. The Biostatistics concentration also has prerequisite requirements for students with a non-statistics degree.

	Masters Level Courses	Advanced Research Methods	Doctoral Seminar	Skill Building	Concentration & Dissertation	TOTAL Credits
Bachelor Students	13 Credit Hours	6 Required 12 Elective 18 Total	3	2	12 Concentration 18 Dissertation	66
Post Graduate Other Masters	Up to 13 hours can be applied to total Ph.D. requirement	6 Required 12 Elective 18 Total	3	2	12 Concentration 18 Dissertation	66
MPH Degrees	13-18 hours can be applied toward total Ph.D. requirement	6 Required 6-12 Elective 18 Total	3	2	12 Concentration 18 Dissertation	66

Master's Level Prerequisites (13 credits)		
Course	Title	Credits
PH 501	Determinants of Health Equity in Public Health	4
PH 502	Epidemiology and Biostatistics I	4
PH 538	Epi and Biostats Lab	2
PH 552	Interventions in Health Equity	3
Total		13
Biostatistics Concentration Prerequisites (if entering with non-statistics degree)		
STAT 561	Probability and its Applications	3
STAT 553	Statistical Inference	3

Ph.D. in Health Equity Sciences
*A collaborative degree program offered by
the University of New Mexico and New Mexico State University*

Shared Admissions Criteria
Applicants Reviewed at each University

Shared Opportunities for Research at UNM and NMSU from Year One

University of New Mexico
66 Credit Hours

New Mexico State University
66 Credit Hours

Core Coursework
at Home Institution
23 Credit Hours

Transferable
↔
core

Core Coursework
at Home Institution
23 Credit Hours

Comprehensive Exam
at Home Institution

Comprehensive Exam
at Home Institution

13 credit hour pre-requisites Master's level courses

Shared Options for Concentration Coursework

12 Credit Hours

Concentrations can be completed at either institution, or students can
take advantage of the jointly offered Biostatistics concentration.

Community Based Participatory Research (UNM)

Health Program Administration & Policy (NMSU)

Epidemiology (UNM)

Underserved and Marginalized Populations (NMSU)

Global Health Equity and Policy (UNM)

Community Health Education (UNM)

Biostatistics (UNM/NMSU)

Dissertation

18 Credit Hours

The dissertation is completed at students' "home" institution

University of New Mexico
Graduation

New Mexico State University
Graduation

Home Institution Grants Degree

Home Institution Grants Degree

Advanced Research Methods Core Courses for UNM students (6 credits quantitative methods required plus 12 additional credits = 18 credits total)		
Course	Title	Credits
Required for ALL concentrations (3 credits)		
PH 539	Advanced Biostatistics or EDPY 603 Applied Statistical Design and Analysis or NMSU's CEP 636 Advanced Statistics	3
ALL concentrations must select one option from the following four (3 credits)		
STAT 574	Biostatistical Methods: Survival Analysis and Logistic Regression Multivariate Analyses	3
PH 537	Advanced Epidemiologic Methods	3
Nursing 613	Mixed Methods Research	3
PH 684	Advanced Health Policy Analysis	3
BIostatISTICS Concentration Students - REQUIRED Courses (6 credits)		
EDPY 604	Multiple Regression Techniques as Applied to Education	3
EDPY 608	Multilevel Modeling	3
All concentrations must select four options (12 credits) from the following EXCEPT BIostatISTICS CONCENTRATION – select two options (6 credits)		
PH 556	Community-Based Participatory Research	3
PH 558	Intervention Research with Marginalized Populations	3
C&J 604	Qualitative Research Methods or CRP 513 or LLSS 605 or Nursing 607	3
EDPY 515	Survey and Questionnaire Design and Analysis Sampling Theory and Practice or STAT 572	3
STAT 556	Advanced Statistical Inference I (UNM)	3
STAT 576	Multivariate Analysis	3
PSY 604	Latent Variable Modeling (UNM)	3
PSY 605	Advanced Latent Variable Modeling (UNM)	3
PH 660	Special Topics	3

Skill Building Courses (2 credits total)		
Course	Title	Credits
Required for all concentrations (1 credit)		
PH 511	Dissertation proposal writing	1 credit
Required for Epidemiology concentration (1 credit)		
OILS 583	Teaching Methods (registered as OILS 583 Graduate Teaching)	1 credit
All concentrations must select two options from the following four (2 credits) EXCEPT EPIDEMIOLOGY CONCENTRATION which will select one additional option		
OILS 583	Teaching Methods (registered as OILS 583 Graduate Teaching)	1 credit
PH 593	Grant writing training (registered as PH 593 Independent Study)	1 credit
PH 660: Special Topics	Qualitative Analytic Packages (NVivo, AtlasTi, etc.) Policy Implementation Research and Evaluation Research Health Informatics Quantitative Statistical packages (SAS, R, SPSS, Stata)	1 credit

Doctoral Seminars (3 credits required for all concentrations)		
Course	Title	Credits
PH 690	Doctoral Seminars/Journal Club (topics to be determined)	3 credits (1 credit per semester)

COMPREHENSIVE EXAM REQUIRED AFTER 48 CREDITS AND INCLUDES THE PROPOSAL DEFENSE

Doctoral Dissertation: (18 credits required for all concentrations)		
PH 699	Dissertation Hours	18 Credits

FINAL ORAL DISSERTATION DEFENSE AND PRESENTATION REQUIRED FOR DOCTORAL DEGREE

Concentration Descriptions

Biostatistics (Shared UNM and NMSU)

This shared concentration will provide instruction on using advanced statistical concepts and procedures to measure health-related constructs and analyze data sets ranging from small-scale research project outputs to large population-scale epidemiological databases. Students successfully completing this program will be able to: (a) quantitatively address a novel or complex problem by developing an innovative statistical methodology or adapting existing methods to a new problem; (b) demonstrate mastery of advanced statistical theory and applications; (c) understand and implement innovative statistical approaches emerging in the literature to biomedical and public health or social issues; (d) communicate the results of statistical analyses to individuals with varying degrees of statistical knowledge; (e) recognize strengths and weaknesses of proposed approaches, including alternative designs, data sources, and analytic methods; (f) determine the data best suited to address public health or social issues, program planning, and program evaluation; and, (g) contribute to the body of knowledge in the field of biostatistics by submitting an article for publication in a peer-reviewed journal.

Community Based Participatory Research (CBPR) Concentration (UNM)

The concentration in Community Based Participatory Research (CBPR), alternatively called community-engaged research (CEnR), is based on a philosophical foundation of community capacity building, empowerment, and participatory approaches to research to promote social justice and equity in health. The concentration emphasizes a full range of research methods, including indigenous, decolonizing, and critical methodologies. Students will complete course work in the conceptual and theoretical foundations of CBPR; in rigorous quantitative, qualitative, and mixed methods research; in challenges to traditional power inequities in research design and implementation, in bidirectional participatory intervention development based on psycho-social-structural theories and evaluation, and special topics of their choosing. Local, national, tribal, and global research opportunities are available for doctoral students with faculty and community partners, across the life course, across geographic and social identity diversities, and across distinct health issues and social-political contexts. Some courses are shared with the Community Health Education Concentration.

Community Health Education Concentration (in collaboration with UNM College of Education Program in Health Education)

The concentration in Community Health Education emphasizes a strong foundation in psycho-social theory and methods so that students establish a specialty focus on community health intervention and health education research, and critical thinking in advancing health equity built on the science of cultural alignment, community methodologies, social determinant pathways to health, geographic and regional diversity, and evidence-based practices and practice-based approaches. The concentration provides students with a unique research skill-set that builds on capacity to maximize the communities' research potential as well the students' by building bi-directional research and leadership skills anchored in the principles of social justice, health

equity and generational sustainability grounded in local epistemologies with communities. Some courses are shared with the CBPR concentration.

Epidemiology Concentration (UNM)

The concentration in epidemiology provides rigorous training in epidemiologic methods and educates students to become independent, productive, and creative research scientists in the field of epidemiology. Graduates of this program will be prepared to assume prominent positions in research, teaching, or health administration and are trained to address some of the most urgent public health issues facing us today.

Global Health Equity and Policy Concentration (UNM)

The concentration in Global Health Equity (GHEP) prepares students in multi-disciplinary research competencies and skills to tackle complex global public health issues that can be applied to their research, practice and policy careers. Based in deep roots in the communities we serve and in principles of social justice and human rights, we encourage transdisciplinary course work in a wide variety of global health-related areas such as: trauma related migration, international drug and sex trafficking, political and economic determinants of health, comparative primary care systems, design and evaluation of prevention strategies from a social justice approach (HIV/AIDS, obesity, vaccines, maternal and child health), community resiliency interventions to tackle violence, causes of diseases and health conditions including poverty, colonialism and neoliberalism. Students will also engage in virtual and/or place-based observatories for conducting independent and mentored research, in support of effective and evidence-based health policy, planning, decision-making and action in public health and health systems.

Health Program Administration and Policy (NMSU)

This concentration will provide instruction on the administration of policy and the management and organization of health programs and agencies of varying sizes. By using a systems approach, the intersectional factors that influence the functioning of such organizations will be identified and analyzed. Specific attention will be given to administrative structures, operations, financial management, and quality assurance in public health departments, hospitals, multi-institutional systems, integrated health systems, and strategic alliances.

Underserved and Marginalized Populations (NMSU)

This concentration will provide a survey of underserved and marginalized populations found regionally, nationally, and globally with specific focus on the economic, social, cultural, and environmental factors that contribute to disparity. These factors continue to impact these groups due to a history of discrimination, exclusion, and marginalization. Students will learn this history and consider solution-focused strategies that encourage equity, agency, and empowerment.

Elective Courses Specific to Concentration To Be Selected in Consultation with Advisor and Committee: Each course is not mutually exclusive to the focus area, but we have depth in each of these areas. (12 credits required)		
Concentration	Title	Credits
Biostatistics (joint UNM/NMSU) (choice of courses determined with faculty mentor)	PSY 650: ST: Analysis of Data (UNM) PSY 650: ST: Hierarchical Linear Modeling (UNM) PSY 650: ST: Meta-Analysis (UNM) PSY 650: ST: Quasi-Experimental Design (UNM) A ST 6XX: Linear Models (NMSU) A ST 6XX: Bayesian Theory (NMSU) A ST 6XX: Time Series (NMSU) A ST 6XX: Computational Statistics (NMSU) REQUIRED PH 630: <i>Biostatistics Interdisciplinary Capstone Course (6 credit hours over two semesters)</i>	12 Including the two-semester Capstone Course

Community Based Participatory Research (UNM) (choice of courses determined with faculty mentor)	PH 556: Community-Based Participatory Research (required) PH 558: Intervention Research with Marginalized Populations Nursing 613: Mixed Methods PH 651: Public Health Research and Social Justice HED 560: Community Health Perspectives: Critical Dialogue with New Mexican Communities with New Mexican Communities PH 657: CBPR Research Lab (up to two semesters) PH 690: CBPR Seminar Other elective courses available through the Race and Social Justice Institute and other related departments	12
Community Health Education (UNM) (choice of courses determined with faculty mentor)	HED 506: Health Education Theory HED 571: Advanced Community Health Research HED 582: Advanced Multicultural Health PH 651: Public Health Research and Social Justice HED 576: Evaluation and Measurement HED 560: Community Health Perspectives: Critical Dialogue with New Mexican Communities HED 598: Directed readings in HED	12
Epidemiology (UNM) (choice of courses determined with faculty mentor)	PH 524: Social Epidemiology PH 528: Infectious Disease Epidemiology PH 529: Introduction to Developmental Epidemiology PH 531: Perinatal Epidemiology PH 621: Special Topics in Advanced Epidemiology (topics could include those in the list below). One will be offered each semester and schedule will be provided on the website: <ul style="list-style-type: none"> • Advanced Analytic Epidemiology • Evaluating Epidemiologic Literature • Cancer Epidemiology GEOG 581L: Introduction to GIS for Graduate Students Other elective courses available through other UNM colleges and departments	12
Global Health Equity and Policy (GHEP) (UNM) (choice of courses determined with faculty mentor)	PH 681: Global Health Systems and Policies PH 554: Health Policy, Politics and Social Equity PH 582: Global Indigenous Health PH 583: Adv Topics in Health Sector and Globalization PADM 562: Health Governance and Global Perspectives PH 660: Special Topics in GHEP (topics could include those in the list below). One will be offered each semester and schedule will be provided on the website: <ul style="list-style-type: none"> • Transnational Migration, Health and Trauma • Environmental Health Policy • Global Health & Political Epidemiology • Gendered Justice, Intersectionality and Leadership in Global Health 	12

	<ul style="list-style-type: none"> • Global Health Observatory Data (web and in-person based) Other elective Courses available through UNM colleges and departments	
Health Program Administration and Policy (NMSU)	Courses available at NMSU	12
Underserved and Marginalized Populations (NMSU)	Courses available at NMSU	12

Shared Admissions Criteria:

The admissions criteria will be the same across the two institutions to foster reciprocity that allows students to enroll in courses at either university. Students will choose either NMSU or UNM as their degree-granting institution (their "home" institution, to which they will apply) and complete core coursework predominantly at that institution. The admission criteria include the following:

1. Applicants must hold a graduate master's degree, with preference given to applicants holding an MPH degree from a CEPH-accredited program or other health- or medical-related degree. However, in some instances, students with a bachelor's level degree will be considered for admittance.
2. Documented experience in the form of research, job experience, completion of a master's thesis, and/or other similar experience including work in non-governmental agencies (NGOs)
3. GPA of 3.0 or higher
4. One graduate-level coursework in statistics or biostatistics.
5. Two-page Statement of Interest describing professional experience, research interests, career aspirations, and experiences that have prepared the applicant for doctoral work.
6. Three letters of recommendation: one from a faculty member who has worked with the applicant in a research capacity, one from a faculty member who taught a class attended by the applicant, and one from an individual of the applicant's choosing.
7. Preference will be given to applicants who have submitted a thesis during their master's coursework or have completed a comparable extended project or publication.

Is there a certificate embedded in the degree program? If so, list certificates and courses required. **NO**

If this is a master's degree, does it articulate to a doctoral degree program? If yes, to which doctoral program? **N/A**

C. Assessment

Describe your institution's plan for periodic evaluation of program effectiveness. Include criteria that will be used to determine effectiveness. Max 500 words.

Each course has specific learning objectives, with student progress measured by the successful completion of the course. Doctoral students must pass a comprehensive examination, available after completing 48 credits, and then their doctoral examination after completion of their dissertation.

Also, one year after graduation, alumni will be surveyed to determine employment status and the types of jobs graduates secure. The survey will also include questions about workforce preparedness and how they are applying their skills in their position, as well as questions about possible gaps in programming or suggestions for future programming.

D. Need

The proposed program must meet one or more specified needs within the state or region. Clear and convincing evidence must be provided of the reality and extent of such need. Max 500 words.

Evidence of need might include results of employer surveys, current labor market analyses and projections, or long-term need projections prepared by a relevant professional organization. Although academic and research interests of institutional faculty may be met through implementation of the proposed program, such interests by themselves are unlikely to persuade the NMHED and/or the State Board of Finance of need for the program.

In 2008 the Association of Schools and Programs of Public Health (ASPPH) and the Health Resources and Services Administration (HRSA) estimated that 250,000 additional public health workers would be needed by 2020, which at the time equated to three times the current number of graduates for 10-15 years, including doctoral-level researchers. According to the Bureau of Labor Statistics (2019), between 2018-2028, the demand for public and population health personnel will outpace most professions. For example, the need for health system administrators and biostatisticians will grow 17.6% and 30.7%, respectively – a rate that exceeds the growth of the overall job market by 3¹/₂ to 6 times, respectively. The Bureau of Labor Statistics also states that employment of epidemiologists is projected to grow 5 percent from 2019 to 2029, faster than the average for all occupations. Epidemiologists are likely to have good job prospects overall. In recent years, the New Mexico Department of Health has found it difficult to retain doctorally trained epidemiologists who are familiar with the state's diverse and unique health issues.

Also, the New Mexico Department of Workforce Solutions *2019 State of the Workforce* report indicates that the most significant gains in employment from recent years have come from the education and health services sector. From 2014-2018 this sector saw a 12% increase in jobs (based on average yearly growth). In 2017, the healthcare and social assistance sector comprised the largest employing industry in New Mexico at 17.3%, outpacing the #2 employing industry by nearly 6%. Growth projections show that the health care and social assistance sector in New Mexico will grow by 19.5% over the 2016-2026 time period, necessitating 25,960 new employees. This growth at the national, regional, and local level translates to a shortfall of health professionals.

There is also demand within UNM's current students and alumni. In a 2019 survey of UNM MPH students and alumni, 31 indicated serious interest in a Ph.D., with a specific interest in analytics (24.5%); community health and health systems/policy (16%); global (12%); population health (12%); and Native American research (10%).

This state faces enormous health challenges, including an aging population that is underserved and often disconnected, with low literacy levels, and experiencing many social determinants leading to poor health outcomes. We also face excess rates of substance abuse, teen pregnancy, food insecurity, adverse childhood events, and chronic conditions such as diabetes and hypertension. The cultural and ethnic diversity, coupled with much of the population living in rural or frontier areas, presents unique challenges to those working to improve the health of the state's residents. The need for health professionals schooled in the principles of equity and social justice is urgent.

Doctoral students in this program will learn about these health challenges, develop skills to recognize the commonalities of such challenges locally, regionally, across the nation, and around the globe, and serve as leaders in creating solutions that have the potential to work in individual communities. Doctoral graduates are also vital to the development of sound health policies and the analysis of existing policies.

NM can be an innovator in this arena by preparing researchers, administrators, managers, and policy and population health data analysts. Graduates will have the advanced training to become the health researchers and professionals that usher in a new era for health. More than ever, there is a need for multidisciplinary teams that can address large-scale, complex health issues.

If the program fills a regional workforce need, describe collaboration between your institution and regional employers in program development. Max 500 words.

A Ph.D. in Health Equity Sciences will fill a critical gap in New Mexico, the Southwest, and Mountain West health care and public health systems labor market. Potential employers for new graduates include the following.

- Universities
- Albuquerque Area and Navajo Nation Tribal Epidemiology Centers
- Public agencies providing human services or with responsibility for protecting the environment
- Private sector insurance companies and accountable care organizations
- For-profit and non-profit hospitals and health care systems
- Tribal governments
- Non-profit organizations

The COPH Advisory Council includes members of the community, employers of graduates of the college, leaders in the public health and health care communities, community preceptors, and other interested parties with expertise in training practitioners. One of the goals of the Council is to stay up to date on the local and regional employment trends and solicit feedback about training needs. When graduates move into the workforce, ongoing input from employers will play a role in identifying potential gaps in training or education, so that UNM graduates are more competitive in the job marketplace. The Advisory Council will also act as a network of employers that host undergraduate and master's level students for internships and practicum experiences and provide employment opportunities for graduates of the new Ph.D. program.

E. Duplication

Identify where similar degree programs are offered by other public higher education institutions in New Mexico in the box below. Max 500 words.

There are various health and public health-related undergraduate and master's level programs at UNM and throughout the state. Still, a doctoral-level study of public health or health equity sciences is not available at UNM or within the state. The new degree, in cooperation with New Mexico State University, will allow both of the institutions to leverage their strengths and resources while providing a rigorous curriculum delivered on both campuses. One unified program across the two institutions fulfills the need for a doctoral-level study with this focus. It does so without over-saturating the state with two similar programs or creating competition between the two institutions. Neither university is currently offering anything that compares to a degree in Health Equity Sciences. The current, related doctorate programs within the state include the following listed below.

University of New Mexico

- Biomedical Sciences (PH.D.-BIOM)
- Physical Education, Sports and Exercise Science (PH.D.-PESE)
- Statistics (PH.D.-Stat)
- Nursing and Nursing Practice (PH.D.-NUR and DNP)
- Medical Doctor
- Political Science (PH.D.-POLS)

New Mexico State University

- Nursing and Nursing Practice (Ph.D. Nursing and DNP)

New Mexico Highlands, New Mexico Tech, and Eastern New Mexico University

- No related doctorate programs

If similar programs are offered at other public higher education institutions in New Mexico, provide a rationale for offering an additional program in the box below. Max 500 words.

N/A

List any nearby non-New Mexico institutions of higher learning where the program is being planned or offered, particularly WICHE member institutions. Max 500 words.

The WICHE regional graduate program opportunities are listed below. These opportunities are limited to general public health doctoral programs or designed specifically for clinical practitioners such as nurses and laboratorians.

Northern Arizona University

- Interdisciplinary Health

University of Arizona

- Biostatistics
- Environmental Health Sciences
- Epidemiology
- Health Behavior Health Promotion
- Ph.D. minor in Public Health – designed for students from other doctoral degree programs who wish to obtain graduate training in Public Health

University of Colorado – Denver, Anschutz Medical Campus

- Public Health

University of Hawaii at Manoa

- Public Health

University of Nevada, Reno

- Public Health
- Statistics and Data Science

University of Utah

- Public Health
- Population Health Studies

F. Enrollment and Graduation Projections: Establish realistic enrollment, retention, and graduation targets for this program.

	Year 1	Year 2	Year 3	Year 4	Year 5
New Students	4	5	5	5	5
Continuing Students		4	9	14	15
Graduates				4	5
Annual Retention Rate Target (%)	Target 100% Graduation Rate (%)		Target Job Placement Rate (%)		
100					

G. Institutional Readiness

Describe the faculty resources that are needed to initiate the program. Will any additional faculty be needed? Max 500 words.

The program will start small with an initial class of four students at each institution and ramp-up to a total of 20 students by year five. At this rate, the current College of Population Health (COPH) faculty is sufficient to provide a quality, mentor-based program at a 1:1 ratio of faculty to students. In year one, the new Ph.D. program will borrow from the existing MPH program (1 FTE faculty and .25 FTE staff) and spread out the workload to ensure that students have sufficient mentorship and guidance. The doctoral student mentees will be an asset for supporting faculty research, including opportunities to solicit training center grants that only are available to doctoral-granting institutions. The benefits of doctoral students far outweigh the faculty mentorship's time-cost in supporting an expansion of research in the College.

The new program will attract two types of students – those with an MPH or related master's degree (preferred) and those with a bachelor's degree. Before their admittance, students with a bachelor's degree will need to take 14 credit hours before officially starting the doctoral program. This tuition revenue, along

with tuition revenue from the doctoral students, will help support the program and make it possible for the COPH to hire new staff as outlined in the table below.

Additional faculty will start at the beginning of FY2021, FY2022, and FY2023 to supplement the existing COPH faculty and take on mentorship responsibilities for doctoral students. The new positions will not require additional funding, because the Executive Vice President guaranteed these in an agreement negotiated by Dean Collins when the University hired her in 2019. The new Executive Vice President is honoring the commitment to these new positions and would like to accredit the college. This will require a minimum of 21 faculty.

	YEAR 1*	YEAR 2	YEAR 3	YEAR 4	YEAR 5	YEAR 6
Faculty	+1FTE	+1FTE	+1FTE			
Staff		+1FTE	+0.5FTE	+1FTE		+0.25FTE

Describe the library and other academic support resources that are needed to initiate the program. What, if any, additional resources will be needed? Max 500 words.

Additional library resources are not needed for the proposed Ph.D. in Health Equity Sciences. The Health Sciences Library and Informatics Center (HSLIC) actively collaborated with the MPH Program, even before it granted degrees, to develop a comprehensive selection of materials to support master's level students. During the first decade of its existence the MPH Program also contributed monies to build these collections. Therefore, the library currently provides access to the necessary journals and required material for graduate courses in the COPH that will continue to be used in the Ph.D. program. While these resources are currently available, there is no guarantee that the library will be able to afford these resources in the future, because of severe budget cuts. Population Health faculty are generating a list of core journals for HSLIC Faculty to consider in their evaluation of resources moving forward. The working relationship between faculties will continue to facilitate support for the needed range of resources in public health and population health.

In addition to what is offered at UNM's HSLIC, NMSU also offers comprehensive library services.

Describe the physical facilities of the institution that will be used for the first five years of the program. Will additional space or modifications of existing space be required within the first five years of program operation? Max 500 words.

Additional educational facilities are not needed for the new doctoral program in the first five years. The third Domenici educational building completes the development of the HSC educational complex, and it provides a completely equipped set of lecture, seminar, and workshop spaces that offer opportunities for lecture capture, conference webinars, and small group work. The new facility that is currently being designed for COPH and the College of Nursing has dedicated space for doctoral students, as well as shared meeting spaces.

NMSU offers similar classroom and additional distance educational facilities for our UNM students who choose to take their concentrations with a faculty mentor at NMSU.

Describe the institution's equipment and technological resources needed for the first five years of the program? What, if any, additional equipment will be needed? Max 500 words.

The new doctorate program does not require additional technological resources in the first five years. The HSC educational complex has the equipment to handle student lectures, labs, and seminar classes.

However, as the program grows and the COPH hires new faculty and staff, the new employees will need computers. These expenses are minimal, with \$2,000 budgeted per laptop, and covered by new tuition revenue.

Describe any other operating resources needed to initiate the program. Max 500 words.

None – N/A

Are there existing external facilities that will be used? Have agreements been established to ensure use of those facilities? For example, if you are offering a graduate nursing program have you established a partnership with local hospital(s) and other clinical settings? Max 500 words.

External facilities are not needed for the new doctoral program. Faculty and mentee field research will be developed on an individual basis with the current array of community organizations, public agencies, private sector, health care systems, and tribal partners from around the state. New partnerships will be developed as needed with agreements specific to each research project.

H. Projected Budget

Provide a clear analysis of the projected cost of the proposed program and the sources of funding that will support it for the first five years that the program will be offered. Include a discussion how any of the needed resources discussed in **Section G** will be addressed. **Section H** should be completed in collaboration with your institution's financial office.

The Start-up costs are based on the program enrollment listed below and detailed in the attached budget. The new doctorate program is intentionally starting small so that it does not incur any new, unsupported expenses. The strategic growth allows the new program to borrow resources from the existing Master's in Public Health Program (MPH). As an added bonus, we expect the new doctorate to attract additional MPH students (approximately 4 new students per year or 10%) to the university, so these new enrollments are listed and included in the start-up projections.

Student Enrollment Projections												
	Year 1		Year 2		Year 3		Year 4		Year 5		Year 6	
	New	Ret	New	Ret	New	Ret	New	Ret	New	Ret	New	Ret
Ph.D. Students*	4		5	4	5	9	5	14	5	15	5	15
<i>Credit Hours</i>	36		36	36	54	72	54	126	18	180	18	198

Pre-req students	4		4		4		4		4		4	
<i>Credit Hours</i>	56		56		56		56		56		56	

*Assumes all students will be full-time

New Faculty and Staff Projections						
	Year 1	Year 2	Year 3	Year 4	Year 5	Year 6
Faculty	1FTE	1FTE	1FTE			
Staff		1FTE	.5FTE	1FTE		.25FTE
In years 1-6, the program will borrow 1FTE and .25FTE resources from the MPH program						
By year 6 there will be a total of 4FTE Faculty and 3FTE Staff						

I. ESTIMATED REVENUE

- Tuition – Ph.D. Students – the new doctorate program will start small, with four full-time students in the first year, and then scale up to five new students per year. A full-time course load is nine credit hours per semester or 18 per year. Assuming four students in year one, and a cost of \$297.90 per credit hour (a 4% increase over the current school year), this equates to revenue of \$21,449 in the first year. Year-over-year the tuition revenue estimates are adjusted 4% to account for tuition increases. By year six, Ph.D. students will generate nearly \$190,000 in tuition revenue.
- Tuition – Bachelor's students taking pre-requisites – The COPH Faculty expect the doctorate to attract bachelor level students that want to by-pass an MPH and go directly to the Ph.D. program. In their first year, these students will take 14 credit hours. Therefore, the budget includes revenue for four students per year starting in year two, which will generate an additional \$17,349 in tuition revenue each year.

- c. Instruction & General (I & G) – In the first year, the new doctorate will borrow faculty (1FTE) and staff (.25FTE) resources from the MPH program. Since there will only be four students, the existing MPH faculty and staff can easily absorb the additional workload to mentor and provide support for these new students. In years two through six, the MPH program will continue to share resources. However, as new faculty and staff are hired specifically for the doctorate program, the responsibilities will shift to these dedicated personnel.
- d. Training Grant – with the new doctorate program, the COPH will be eligible for training grants, such as the National Institutes of Health's T32 grant program. Faculty expect to apply for a training grant in year two or three to support students. A T32 grant would not only be a prestigious award, but it would support student research at UNM. T32 training grants provide money for tuition and fees and other training-related expenses such as conference and professional meeting attendance. As part of this grant, the university will receive a percentage to support grant administration. The proposed budget includes a T32 award starting in year four. An award of \$230,000 has the potential to support six pre-doctoral students. However, the success of the program does not rely on a training grant. Faculty and staff positions are fully supported whether the COPH successfully applies for a T32 or other type of grant.
- e. Other – 3FTE New Faculty @ \$150,000 each – The College's new Dean negotiated three new faculty positions as part of her new hiring package in 2019. The Provost guaranteed these positions, and the first will be hired in FY2021.

II. EXPENSES

- a. Faculty Salaries – Faculty salaries are calculated based on \$150,000 per 1FTE, with an annual increase of two percent. Again, as stated above, the new doctorate program will borrow from the MPH program to mentor new Ph.D. students so that the program can begin without additional faculty. Funding guaranteed by the Provost will allow the COPH to hire three other faculty in fiscal years 2021, 2022, and 2023. These positions will become part of the COPH's I & G funds in subsequent years.
- b. Staff Salaries – In the first year, an existing member of the MPH staff will help support the Ph.D. program on a part-time basis (.25FTE). As the program grows, the new tuition revenue will support hiring new staff members; with one additional staff FTE in Year 2, 0.5FTE staff in year 3, one more FTE in year 4, and a final 0.25FTE in year 6. By year six, the program will have 3 staff FTEs – with tuition revenue supporting 2.75 FTE staff, and 0.25FTE borrowed from the MPH program. These positions include an Academic Advisor, a Program Coordinator, and an IT Support Technician II.
- c. Learning Resources – These include items such as books, learning management tools, tutoring, workshops, conferences, and training expenses.
- d. Equipment – Includes items such as laptops, desktops, and other miscellaneous IT needs.
- e. Facilities and Modifications – Includes money to modify or create space for doctoral student offices. The new facility that is currently being designed has dedicated student space for doctoral students. The new facility that is currently being designed for COPH and the College of Nursing has dedicated space for doctoral students, as well as shared meeting spaces.
- f. T32 Training Grant – budgeted support for six predoctoral students. If taken away, these funds do not change the budget, and net surpluses or deficits do not change. Except for overhead, the majority of this training grant passes directly to students.
 - i. Stipends – The granting agency determines annual stipend levels. Trainees generally are supported for 12-month full-time training appointments for which they receive a stipend as a subsistence allowance to help defray living expenses during the research training experience.
 - ii. Training Related Expenses – includes direct expenses such as staff salaries, consultant costs, equipment, research supplies, staff travel, trainee health insurance (self-only or family as applicable), and other expenses directly related to the training program. Funds are requested and awarded as a lump sum based on the predetermined amount per predoctoral and postdoctoral trainee approved for support.
 - iii. Tuition & Fees – Support through a T32 grant varies depending on the type of student as follows:
 - 1. **For Predoctoral Trainees.** An amount equal to 60% of the level requested by the sponsoring institution, up to \$16,000 per year, will be provided. If the program

supports formally combined dual-degree training (e.g., M.D., Ph.D., D.D.S.-Ph.D.), the amount provided will be up to \$21,000 per year.

2. **For Postdoctoral Trainees.** An amount equal to 60% of the level requested by the applicant institution, up to \$4,500 per year, will be provided. If the program supports postdoctoral individuals in formal degree-granting training, the amount provided will be up to \$16,000 per year.

- iv. Other – Facilities and Administrative Costs – State agencies are eligible for F&A reimbursement based on a negotiated reimbursement rate.

Signature of Chief Academic Officer

Date

Printed Name of Chief Academic Officer Date

Signature of Data (CIP) Coordinator

Date

Printed Name of Data (CIP) Coordinator Date

HED use only

Date Presented to Advisory Committee _____

☐Approved ☐Denied ☐Request more information

Cabinet Secretary's Signature

Date

Institution: UNM College of Population Health
Proposed Program: PhD – Health Equity Sciences

Projected Graduate Program Cost Estimates and Resources

ESTIMATED REVENUES	Year 1		Year 2		Year 3		Year 4		Year 5		Year 6	
	Existing	New	Existing	New	Existing	New	Existing	New	Existing	New	Existing	New
Projected University I&G or Tuition	\$168,250	\$21,449	\$340,557	\$45,232	\$529,812	\$47,042	\$724,611	\$48,923	\$772,157	\$50,881	\$822,603	\$52,916
External Grants and Contracts								\$230,000		\$230,000		\$230,000
Other		\$150,000		\$150,000		\$150,000						
TOTAL REVENUE	\$339,703		\$535,793		\$726,858		\$1,003,538		\$1,053,041		\$1,105,523	
ESTIMATED EXPENSES	Year 1		Year 2		Year 3		Year 4		Year 5		Year 6	
	Existing	New	Existing	New	Existing	New	Existing	New	Existing	New	Existing	New
Salaries and/or benefits (Faculty & Staff)	\$160,750	\$150,000	\$316,965	\$193,000	\$520,164	\$171,500	\$679,998	\$43,000	\$737,458	\$0	\$626,240	\$0
Learning Resources						\$1,500		\$3,000		\$4,500		\$6,000
Equipment	\$2,500	\$0	\$2,500	\$4,000	\$5,000	\$2,500	\$5,000	\$3,000	\$5,000	\$4,000	\$5,000	\$5,000
Facilities & modifications	\$5,000		\$5,000	\$2,000	\$5,000	\$3,000	\$5,000	\$4,500	\$5,000	\$5,000	\$5,000	\$5,000
Other – grant related								\$230,000		\$230,000		\$230,000
TOTAL EXPENSES	\$318,250		\$523,465		\$708,664		\$973,498		\$990,958		\$1,018,957	
DIFFERENCE (Rev.-Exp.)	+\$21,453		+\$12,328		+\$18,194		+\$30,041		+\$62,084		+\$86,566	
ESTIMATED IMPACT OF NEW PROGRAM	Year 1		Year 2		Year 3		Year 4		Year 5		Year 6	
FTE Enrollment	4		9		14		19		20		20	
Projected Annual Credits Generated	72		162		252		342		360		360	
Tuition Generated	\$21,449		\$67,539		\$99,239		\$133,367		\$170,067		\$209,489	

MEMORANDUM OF UNDERSTANDING

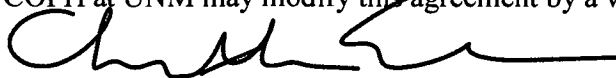
**Memorandum of Understanding
between
New Mexico State University—Department of Economics, Applied Statistics, and
International Business;
New Mexico State University—Department of Public Health Sciences;
and
University of New Mexico—College of Population Health**

This Memorandum of Understanding (MOU) between the Department of Economics, Applied Statistics, and International Business (EASIB) at New Mexico State University (NMSU), the Department of Public Health Sciences (PHS) at NMSU, and the College of Population Health (COPH) at University of New Mexico (UNM) permits course-sharing in support of the anticipated doctoral program in Health Equity Sciences (HES). The HES program is to be offered via cooperative agreement between PHS at NMSU and COPH at UNM. Doctoral students in the HES program from either institution will be permitted to enroll in the following Applied Statistics courses from EASIB at NMSU to fulfill either core or concentration requirements, in particular the concentration in Biostatistics that is shared by NMSU and UNM:

A ST 6XX. Computational Statistics
A ST 6XX. Linear Models
A ST 6XX. Time Series
A ST 6XX. Bayesian Theory

The HES doctoral program is anticipated to begin in Fall of 2022. Students who select the Biostatistics concentration would choose two of the above courses based on interest and availability, and it is anticipated that 1-2 students at most per semester will need access. Concentration coursework in the HES program is not likely to begin until Fall of 2023 at the earliest.

This MOU shall become effective upon the date of signature by the authorized officials from EASIB and PHS at NMSU and COPH at UNM and will remain in effect until terminated by any party upon one semester's prior written notice to the other parties. EASIB or PHS at NMSU or COPH at UNM may modify this agreement by a written addendum signed by all parties.



Christopher Erickson, PhD, Interim Department
Head and Professor, EASIB, NMSU

12/13/2020
Date



Héctor Luis Díaz, PhD, Acting Department Head,
PHS, NMSU

12-14-2020
Date



Tracie C. Collins, MD, MPH, MHCDS, Dean and
Professor, COPH, UNM

Date

for Dr. Collins



PhD in Health Equity Sciences

Need for Doctoral Training in Public Health and Health Equity

- 2020 marks a watershed year for health regionally, nationally, and globally.
- Current COVID-19 pandemic has highlighted the disproportionate impact of health and social crises upon underserved and marginalized populations.
- Our state does not have **any** doctoral program to train graduates to address these urgent needs in our state and nationally.
- Will be first university in the nation to offer a doctoral-level training in health equity.

Program Description

- Cooperative degree with New Mexico State University that brings together the two most prestigious academic institutions in our state.
 - NMSU is also working in parallel on their doctoral degree.
- As a collaborative degree, the two institutions will still be able to operate independently.
- Capitalizes on each university's strengths to create a more comprehensive range of educational and institutional resources for our students.
- 66 credit doctoral degree

Doctoral Curriculum - Core

ADVANCED RESEARCH METHODS

- Advanced epidemiology
- Advanced biostatistics
- Qualitative and quantitative methods in prevention, intervention and health policy research.
- Core courses are similar at both universities and have same course objectives.

SKILL BUILDING COURSES

- Data analysis software packages
- Dissertation proposal writing
- Teaching methods
- Grant-writing
- Policy implementation and evaluation

DOCTORAL SEMINARS

- Journal clubs
- Student-driven advanced topics

Doctoral Curriculum –Concentrations

UNM

- Epidemiology,
- Community-based Participatory Research
- Global Equity and Policy
- Community Health Education

NMSU

- Health Program Administration and Policy
- Underserved and Marginalized Populations

Shared - Biostatistics

Resources to Support Doctoral Program

- Collaboration with many other UNM programs for coursework and mentoring.
- Collaboration with NMSU for coursework and mentoring.
- Based on a mentorship model with doctoral students working with faculty on their research.
- Anticipate five additional faculty positions in the college.
 - Current search for two epidemiologists and one biostatistician.
- Support from HSC leadership to become an accredited college in the future.

Graduate Job Potential

- Will fill a critical gap in the health care and public health systems labor market
 - Universities
 - Public agencies providing human services
 - Private sector insurance companies
 - For-profit and non-profit hospitals and health care systems
 - Tribal governments and epidemiology centers
 - Non-profit organizations
- NM Dept of Workforce Solutions projections:
 - Projections show that health care and social assistance sector will grow by 19.5% by 2026.

April 22, 2021

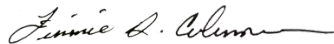
TO: Board of Regents Student Success, Teaching and Research Committee (SSTAR)

FROM: Finnie Coleman, President, UNM Faculty Senate

SUBJECT: Faculty Senate Resolutions Regarding Divestment and Green Initiatives

The Faculty Senate passed two resolutions at their February 23, 2021 meeting – one regarding divestment and one regarding green initiatives. These resolutions are attached for your reference and are posted on the [Faculty Governance website](#). I would like to place these two resolutions on the next Board of Regents Student Success, Teaching and Research Committee as information items. I would also like to present these resolutions to the Full Board of Regents at their next scheduled meeting.

Thank you.



Attachments

University of New Mexico Faculty Senate
Resolution for Divestiture from Fossil Fuel Investments

February 10, 2021

WHEREAS the 4th National Climate Assessment Volume II (NCA4), mandated by the US Congress and completed in November 2018, a comprehensive and authoritative report on climate change and its impacts in the United States <https://nca2018.globalchange.gov/>, finds that “climate change creates new risks and exacerbates existing vulnerabilities in communities across the United States, presenting growing challenges to human health and safety, quality of life, and the rate of economic growth”; and

WHEREAS the NCA4 finds that “Without substantial and sustained global mitigation and regional adaptation efforts, climate change is expected to cause growing losses to American infrastructure and property and impede the rate of economic growth over this century”; and

WHEREAS the NCA4 finds that “Climate change affects the natural, built, and social systems we rely on individually and through their connections to one another. These interconnected systems are increasingly vulnerable to cascading impacts that are often difficult to predict, threatening essential services within and beyond the Nation’s borders”; and

WHEREAS the NCA4 finds that “The quality and quantity of water available for use by people and ecosystems across the country are being affected by climate change, increasing risks and costs to agriculture, energy production, industry, recreation, and the environment”; and

WHEREAS the NCA4 finds that “Climate change increasingly threatens Indigenous communities’ livelihoods, economies, health, and cultural identities by disrupting interconnected social, physical, and ecological systems”; and

WHEREAS the NCA4 finds that “Ecosystems and the benefits they provide to society are being altered by climate change, and these impacts are projected to continue. Without substantial and sustained reductions in global greenhouse gas emissions, transformative impacts on some ecosystems will occur; some coral reef and sea ice ecosystems are already experiencing such transformational changes”; therefore, be it

RESOLVED that the faculty senate requests that the University of New Mexico President and Board of Regents request that the University of New Mexico Foundation divest as early as possible from companies that invest in or are involved in fossil fuel extraction and production; and further that the University of New Mexico Foundation release a date by which divestment will occur and give annual

updates to the Board of Regents detailing progress made toward full divestment from investments in fossil fuels; and further be it

RESOLVED that the faculty senate requests that the University of New Mexico President and Board of Regents request that the University of New Mexico Foundation make no new investments in companies that invest in fossil fuel extraction and companies that facilitate fossil fuel production and use; and further be it

RESOLVED that the faculty senate requests that the President and the Board of Regents at the University of New Mexico partner with other institutions of higher education across the state to request that the New Mexico Educational Retirement Board divest from companies that invest in or are involved in fossil fuel extraction and production; and further that the New Mexico Educational Retirement Board releases annual updates to the Board of Regents detailing progress made toward full divestment from investments in fossil fuels; and further be it

RESOLVED that the faculty senate requests the President and the Board of Regents at the University of New Mexico partner with other institutions of higher education across the state to request that the New Mexico Educational Retirement Board make no new investments in companies that invest in fossil fuel extraction and companies that facilitate fossil fuel production and use; and further be it

RESOLVED that the faculty senate requests that the President and the Board of Regents at the University of New Mexico partner with other institutions of higher education across the state to directly support companies involved in fossil fuel extraction and production that seek to transition to alternate business models; and further be it

RESOLVED that the faculty senate requests the President and the Board of Regents at the University of New Mexico support New Mexico communities economically disadvantaged by divestment through a suitable funding mechanism that supports innovation (e.g., UNM's Grand Challenges) that includes community-based participatory research to develop innovative and sustainable community economic development, entrepreneurship, and improvement in quality of life, among other social and technological initiatives and innovations related to climate change.

University of New Mexico Faculty Senate

Resolution to Invest in Green Initiatives

February 10, 2021

WHEREAS the 4th National Climate Assessment Volume II (NCA4), mandated by the US Congress and completed in November 2018, a comprehensive and authoritative report on climate change and its impacts in the United States <https://nca2018.globalchange.gov/>, finds that “climate change creates new risks and exacerbates existing vulnerabilities in communities across the United States, presenting growing challenges to human health and safety, quality of life, and the rate of economic growth”; and

WHEREAS the NCA4 finds that “Without substantial and sustained global mitigation and regional adaptation efforts, climate change is expected to cause growing losses to American infrastructure and property and impede the rate of economic growth over this century”; and

WHEREAS the NCA4 finds that “Climate change affects the natural, built, and social systems we rely on individually and through their connections to one another. These interconnected systems are increasingly vulnerable to cascading impacts that are often difficult to predict, threatening essential services within and beyond the Nation’s borders”; and

WHEREAS the NCA4 finds that “The quality and quantity of water available for use by people and ecosystems across the country are being affected by climate change, increasing risks and costs to agriculture, energy production, industry, recreation, and the environment”; and

WHEREAS the NCA4 finds that “Climate change increasingly threatens Indigenous communities’ livelihoods, economies, health, and cultural identities by disrupting interconnected social, physical, and ecological systems”; and

WHEREAS the NCA4 finds that “Ecosystems and the benefits they provide to society are being altered by climate change, and these impacts are projected to continue. Without substantial and sustained reductions in global greenhouse gas emissions, transformative impacts on some ecosystems will occur; some coral reef and sea ice ecosystems are already experiencing such transformational changes”; therefore, be it

RESOLVED that the faculty senate requests that the President and the Board of Regents at the University of New Mexico partner with other institutions of higher education across the state to directly support companies involved in fossil fuel extraction and production that seek to transition to alternate business models; and further be it

RESOLVED that the faculty senate requests that the VP for Finance and Administration head a taskforce to develop a comprehensive clean energy plan for the University of New Mexico. The taskforce will develop a plan as soon as possible but complete it no later than the end of 2022 to reduce the university's carbon footprint¹; and further be it

RESOLVED that the University of New Mexico discontinue burning fossil fuel, by 2035, for the purpose of the production of utility electrical power, district heating, and district cooling; and further be it

RESOLVED that the University of New Mexico establish a plan for all campuses to reach carbon neutrality² by 2030 and zero carbon³ by 2040; and further be it

RESOLVED that the University of New Mexico commit immediately to discontinue the purchase of vehicles (including buses and shuttles) that emit greenhouse gases; and further be it

RESOLVED that the University of New Mexico commit to invest in additional charging stations for electric vehicles in public parking lots by end of 2022; and further be it

RESOLVED that the faculty senate requests that the VP for Finance and Administration head a taskforce (including faculty members and at least one staff member and one student) to develop a comprehensive campus sustainability plan for the University of New Mexico. The taskforce will begin to develop a plan as soon as possible but no later than 2022 to reduce the university's environmental impact; and further be it

RESOLVED that the University of New Mexico request that UNM vendors and catering commit to zero waste⁴ operations by 2025.

¹ In this context the carbon footprint is defined as the total amount of carbon dioxide and methane emitted by the University, considering all relevant sources, sinks and storage on its landed property, calculated as carbon dioxide equivalent using the relevant 100-year global warming potential (GWP100).

² Zero-net carbon emissions; carbon offset mechanisms can be used to achieve neutrality.

³ Zero carbon in this context is referring to an elimination of carbon released from fossil fuels from power, heating, and cooling.

⁴ Zero waste is defined by the Solid Waste Association of North America (SWANA) as "efforts to reduce Solid Waste generation waste to nothing, or as close to nothing as possible, by minimizing excess consumption and maximizing the recovery of Solid Wastes through Recycling and Composting".



Advisors' Comments