



BOARD OF REGENTS

AGENDA BOOK

**October 19, 2021
9:00 AM
Virtual Meeting**



Land Acknowledgement Statement

Founded in 1889, The University of New Mexico sits on the traditional homelands of the Pueblo of Sandia. The original peoples of New Mexico – Pueblo, Navajo, and Apache – since time immemorial, have deep connections to the land and have made significant contributions to the broader community statewide. We honor the land itself and those who remain stewards of this land throughout the generations and also acknowledge our committed relationship to Indigenous peoples. We gratefully recognize our history.



The Board of Regents of the University of New Mexico

October 19, 2021; 9:00 AM

Open Session and Executive Session

Virtual Meeting¹: <https://live.unm.edu/board-of-regents>

AGENDA

- I. Call to Order, *Regent President Douglas M. Brown* TAB
 - A. Confirmation of a Quorum
 - B. Land Acknowledgement Statement
 - C. Adoption of the Agenda
- II. Approval of the Minutes of the August 19 and September 14, 2021 Special Meetings ... 1

Public Comment² [*Limit 3 minutes per comment; additional written comments sent to regents@unm.edu are welcomed.*]

Comments from Regents
- III. President's Administrative Report, *Garnett S. Stokes* 2
- IV. Annual UNM Foundation Update 3

Jeff Todd, President & CEO, UNM Foundation, Inc.; Ryan Mummert, Chair, UNM Foundation Board of Trustees
- V. Regents' Committee Reports

Approval of Consent Docket

Action items on Regent's Committee agendas may be moved to the Board of Regents' consent docket; items on the consent docket received unanimous approval in committee; per Regents' Policy 1.2, "*Any member of the Board of Regents shall have the right upon request to remove any item from the Board's consent agenda and place the item on the Board's regular agenda for discussion.*"

- A. [SSTAR]* Approval of Posthumous Degrees:.....C-1
 - 1. Brandon Ray – B.S. Biology
 - 2. Michael Sanchez – B.S. Computer Science with Minor in Psychology
- B. [SSTAR] Approval of Form D's (New Graduate Programs)
 - 1. Graduate Certificate in Elementary Education with K-8 LicensureC-2
 - 2. Graduate Certificate in Indigenous Planning.....C-3
- C. [SSTAR] & [HSSC]* Approval of Endowed Professorships (Naming Items) and Approval of Appointments to Endowed Professorships and Chairs:C-4
 - 1. Creation of the, 'Robert M. Faxon Jr. Endowed Professor in Neuro-Oncology'
 - 2. Appointment of Dr. Sara G.M. Piccirillo, PhD as the, 'Robert M. Faxon Jr. Endowed Professor in Neuro-Oncology'
 - 3. Appointment of Dr. Anne Greene, MD as the holder of the, 'Dr. Irene Uhrlik Boone Endowed Chair in Pediatrics'
 - 4. Appointment of Dr. Zoneddy Ruiz Dayao, MD as the, 'Maralyn S. Budke Endowed Professor in Cancer Care Delivery'
 - 5. Creation of the, 'Dana C. Wood Professor in Cancer Immunotherapy'

6. Appointment of Dr. Eric C. Bartee, PhD as the, 'Dana C. Wood Professor in Cancer Immunotherapy'
 7. Creation of the, 'Victor and Ruby Hanson Surface Professorship in Cancer Population Sciences and Cancer Health Disparities'
 8. Appointment of Dr. Shiraz Mishra, MBBS, PhD as holder of the, 'Victor and Ruby Hanson Surface Professorship in Cancer Population Sciences and Cancer Health Disparities'
 9. Creation of the, 'Victor and Ruby Hanson Surface Professorship in Cancer Health Disparities and Community Engagement'
 10. Appointment of Dr. Andrew Sussman, PhD as holder of the, 'Victor and Ruby Hanson Surface Professorship in Cancer Health Disparities and Community Engagement'
- D. [HSSC] Approval of Other Naming Items:.....C-5
1. Establish the, 'Gorvetzian Professorship Quasi-Endowment'
 2. Establish the, 'Peter A. Winograd Research Professorship Quasi-Endowment'
- E. [HSSC] Approval of UNM Hospital Items:C-6
1. Cerner Corporation – 7 Year Initial Term Agreement e-Consent Software System (\$2,275,648)
 2. Cerner Corporation – 5 Year Agreement Cloud-Based Dictation and Transcription Platform Software (\$5,000,000)
 3. Capital Project – UNMH Crisis Triage Center Design (\$2,900,000)
 4. Capital Project – Psychiatric Emergency Services Design (\$1,750,000)
 5. Capital Project – UH Main 2nd Floor Sterile Processing Department – Decontamination Renovation (\$1,290,000)
 6. Capital Project – 1600 University Eye Clinic Renovation and Addition (\$5,750,000)
 7. Capital Project – Operational Project – UNMH Main Section 16 Roof and Skylight Replacement (\$1,225,000)
- F. [HSSC] Approval of UNM Medical Group Election of Directors.....C-7
1. Michael Richards, MD, MPA, Interim Dearn, UNM School of Medicine
 2. Aimee Smidt, MD, Department of Dermatology, UNM School of Medicine
- G. [HSSC] Approval of the Appointment of Dr. Christopher Guest, MD to the SRMC Board of DirectorsC-8
- H. [F&F]* Approval of Contracts:.....C-9
1. NMPBS – SpectraRep Agreements
 2. NEC Enterprise Voice System Maintenance Renewal
 3. Microsoft A5 Licensing for UNM Main and Branches
- I. [F&F] Approval of Project Construction:
1. Fire Safety Improvements: Fitz Hall & BRFC-10
 2. Los Alamos Library Renovation Re-Approval.....C-11
 3. Cancer Research Facility Roof ReplacementC-12
 4. Central & Girard Site ImprovementsC-13
 5. Clinical Translational Science Center Roof Replacement.....C-14
- J. [F&F] Approval of Lobo Development Corporation Board of Directors and Reappointment of Four Non-Positional Directors:.....C-15
1. Louis Abruzzo 3. Steven Ciepiela
 2. Maria Griego-Raby 4. Rick Siegel
- K. [F&F] Approval of Appointment and Reappointment of Board Nominated Members to Harwood Foundation Governing Board:.....C-16
1. Scott McAdams 2. Karl Halpert (reappointment)

[END CONSENT DOCKET]

* [SSTAR] Student Success, Teaching and Research; [F&F] Finance & Facilities; [HSCC] Health Sciences Center Committee

Ad Hoc Governance Committee -Regent Schwartz, Chair

Action Items

- A. Revisions to Regents Policy Manual [RPM] - Section 7.4 “Purchasing”, elimination of the language regarding Regent approval limit **(Attachment A)**..... 4
Teresa Costantinidis, SVP for Finance & Administration
- B. Revisions to RPM – “Maintenance of the Regents’ Policy Manual”, to allow the UNM Policy Officer to make minor changes to Regents’ Policies..... 5
(Attachment B) *Teresa Costantinidis, SVP for Finance & Administration*
- C. Revisions to RPM – Section 1.2 “Structure of the Board of Regents” and Section 1.8 “Regent Code of Conduct and Conflicts of Interest”..... 6
(Attachment C) *Loretta Martinez, General Counsel*
 - 1. Addressing Board of Regents Officer Titles
 - 2. Establishment of a Regents’ Standing Committee on Governance
 - 3. Student Regent Leadership Stipend
 - 4. Timing on Agenda Item Requests

Audit and Compliance Committee -Regent Brown, Chair

Information Item

- Summary Notes: October 14 Meeting..... 7

Student Success, Teaching and Research Committee -Regent Rael, Chair

Action Item

- A. Approval of MOA with Albuquerque Institute of Mathematics and Science (AIMS), *Loretta Martinez, Esq., General Counsel, Office of University Counsel*..... 8

Information Item

- B. Racial and Intersectional Micro Aggressions (RIMA) Survey at UNM - 2021 Report to the Regents, *Dr. Assata Zerai, VP for Equity & Inclusion* 9

Finance and Facilities Committee -Regent Begay, Chair

Action Item

- A. Approval of South Campus TIDD Master Development Agreement..... 10
Teresa Costantinidis, CEO, LDC; Tom Neale, Dir. Real Estate; Kelly Ward, Dir. Business Development, LDC; Jill Sweeney, Member, Sherman & Howard, LLC; Kim Murphy, Consultant, LDC; and Harry Relkin, Consultant, LDC

Information Items [for information only; no formal presentations]

- B. Quarterly Consolidated Financial Report – June 30, 2021 11
- C. UNM Main/Branches Categorization of Reserves Report FY2021 (UAP 7000)... 12
- D. UNM Foundation Fundraising and Investment Performance Report – June 30, 2021..... 13

Health Sciences Center Committee -Regent Schwartz, Chair

Action Items [See Consent Docket]

Information Item [for information only; no formal presentation]

- UNMHSC Categorization of Reserves Report FY2021 (per UAP 7000)..... 14
Ava Lovell, Sr. Exec. Officer for Finance & Admin., HSC

VI. Advisors' Comments [*limit 3 mins.*] 15

VII. Vote to close the meeting and proceed in EXECUTIVE SESSION (Roll Call Vote)

>**Break: a 10-minute break in the virtual meeting**<

- A. Discussions, and where appropriate determination, of matters subject to attorney-client privilege pertaining to threatened or pending litigation, as permitted by Section 10-15-1H(7), NMSA (1978)
- B. Discussions of bargaining strategy preliminary to collective bargaining and collective bargaining between a policymaking body and an employee bargaining unit, as permitted by Section 10-15-1H(5), NMSA (1978)
- C. Discussion of "limited personnel matters" as defined in and permitted by Section 10-15-1.H(2), NMSA (1978) - regarding: employee appeal

VIII. Vote to Open the meeting and certification that only those matters described in Agenda Item VII. were discussed in Closed Session, and if necessary, final action with regard to certain matters will be taken in Open Session.

IX. Adjourn

¹Under the current guidelines from the Governor, and in order to slow the spread of COVID-19, the UNM Regents will meet online on the Zoom platform. Additionally, following the NM Attorney General's guidelines for Open Meetings Act compliance, public access to view or listen to Regent meetings is provided via a livestream of the virtual meeting on this public website: <https://live.unm.edu/board-of-regents>

²Public comments will be heard during the meeting. Due to the nature of online meetings and the logistics of getting speakers connected, anyone wishing to provide public comment during the meeting *must register their intent to speak before noon on Monday, October 18, 2021*. In order to sign up for public comment, please email regents@unm.edu with the subject, "Request to provide public comment at 10/19 Regents' Meeting", and include the following information:

1. First and last name
2. Email address and telephone number
3. Affiliated organization & Professional Title (if applicable)
5. Topic you would like to address

Instructions on steps to follow in order to provide public comment will be emailed to registered speakers.

Please consider submitting comments in writing to regents@unm.edu and comments will be forwarded via email to the Regents for their attention and consideration.

**Minutes of the Special Meeting of
The Board of Regents of the University of New Mexico
August 19, 2021; 9:00 AM
Virtual meeting via Zoom; livestreamed for public viewing**

Members Present

Douglas M. Brown, President
Kim Sanchez Rael, Vice President
Sandra K. Begay, Secretary/Treasurer
Jack L. Fortner
Randy Ko
William H. Payne
Robert L. Schwartz

Administration Present

Garnett S. Stokes, President; Douglas Ziedonis, EVP of UNM Health Sciences and CEO of the UNM Health System; Loretta Martinez, University Counsel; James Holloway, Provost and EVP for Academic Affairs; Teresa Costantinidis, SVP for Finance and Administration; Kate Becker, CEO UNM Hospitals; Michael Richards, Vice Chancellor for Clinical Affairs; Assata Zeria, VP for Equity and Inclusion; Barbara Damron, Chief Government Relations Officer; Ellen Fisher, VP Research; Eddie Nunez, AD; Terry Babbitt, President's Chief of Staff; Liz Metzger, University Controller; and others

Presenters

Bonnie White, CFO UNM Hospitals; Tom Neale, Director Real Estate; Lisa Marbury, Exec. Dir. Institutional Support Services

CALL TO ORDER AND CONFIRMATION OF A QUORUM

Regent President Douglas M. Brown called the meeting to order at 9:00 AM and confirmed a quorum with all 7 members present virtually on Zoom.

VOTE TO ADOPT THE AGENDA

The motion to adopt the agenda passed unanimously (1st Fortner; 2nd Schwartz; roll call vote – all members voted yes).

APPROVAL OF MINUTES: MAY 11, 2021 REGULAR MEETING; JUNE 21 AND AUGUST 5 SPECIAL MEETINGS

The motion to approve the minutes of the May 11, June 21 and August 5 Board of Regents meetings passed unanimously (1st Fortner; 2nd Begay; roll call vote – all members voted yes).

PUBLIC COMMENT

Kate Kollars, UNM Resident Physician, spoke about unfavorable resident working conditions and requested Regents' help with CIR (Committee of Interns and Residence) union negotiations for a new contract.

Nathan Kessler, UNM Resident Physician, member of CIR, said UNM residents are 7% behind neighboring states in terms of salary, a trend that has been worsening over the past few years. He talked about the current offer from management for a 1.4% increase and about the rising cost of living in the state and the state's need to attract physicians; he requested Regents' help in ensuring a fair union contract.

Clair Arroyo, UNM Resident Physician, member of CIR, said it is not easy for UNM to recruit medical students to complete their residencies here because UNM is continually below

average on every metric, including pay, vacation, moving stipends, maternity and paternity leave and child care. She said NM communities deserve excellent care and UNM can be a center of excellence; she spoke in favor of bolstering minority recruitment and the need for fair wages for residents to bring them up to the regional salary average.

Lindsay Morrone, Graduate Teaching Assistant, spoke in support of the United Graduate Workers Union.

Ernesto Longa, UNM Law Librarian, spoke in support of the graduate workers' right to organize and collectively bargain.

Aaron Moore, Graduate Assistant and Co-Chair of the United Graduate Workers, spoke about the recent NM Labor Board decision validating Graduate Assistants inclusion under PEBA (Public Employee Bargaining Act) as public employees; also about current financial insecurities experienced among graduate workers and the need for good faith bargaining with the Graduate Workers Union.

Anupam Mitra, Graduate Research Assistant, spoke in favor of a graduate workers union; about PEBA recognizing graduate student employees as public employees as well as about graduate student financial insecurities; also about studies that have shown that graduate worker unions lead to improved relationships between the average student and faculty and improve academic freedom and generally better outcomes for research and education.

Samantha Cooney, Graduate Assistant in the Department of Political Science, spoke about poor working conditions for graduate workers and in favor of the graduate workers union to improve working and learning conditions at UNM.

COMMENTS FROM REGENTS

Regent Bill Payne said he appreciated the public comments and it looked like the comments around collective bargaining were centered on increasing compensation, which he added is a difficult issue at the University, specifically to find where the money will come from. Regent Payne said he looked forward to seeing what the path forward would be for administration in addressing the issues that were brought up.

Regent Rob Schwartz said he appreciated the speakers' comments and clarified that he was not going to engage in discussions about residents, since his son-in-law is one of them at UNM. But as a general matter he agreed with Regent Payne's comment that UNM has to figure out where it has the resources to address the reasonable claims that were made. He wondered how graduate students would feel if UNM were to declare that it was going to double the salaries but halve the number of current positions at the same time because of limited resources. He questioned from which pot of money the funds would come from - other faculty salaries, employee salaries?

Student Regent Randy Ko said that he appreciated the public commenters sharing their stories and that he learned a lot from their comments. In response to comments about the food insecurities experienced among some UNM students, Regent Ko brought attention to the RPSP that addresses this issue and would be discussed later in the meeting.

Regent Sandra Begay lost connection with the Zoom meeting due to a technical error, and when she was able to reconnect, Regent Brown affirmed that something was overlooked at the outset of the meeting and he asked Regent Begay to address it. Regent Begay reminded the members that it was agreed upon last year that the Land Acknowledgement Statement would be read aloud at every official Regents meeting. Regent Begay read aloud the statement:

Land Acknowledgement Statement

Founded in 1889, The University of New Mexico sits on the traditional homelands of the Pueblo of Sandia. The original peoples of New Mexico – Pueblo, Navajo, and Apache – since time immemorial, have deep connections to the land and have made significant contributions to the broader community statewide. We honor the land itself and those who remain stewards of this land throughout the generations and also acknowledge our committed relationship to Indigenous peoples. We gratefully recognize our history.

PRESIDENT'S INTRODUCTORY COMMENTS

President Stokes opened her comments with a focus on the start of a new semester. A lot of decisions have been made and action taken in order to provide the best opportunity for UNM to deliver the experience that students want, and that is an in-person experience. The weeks leading up to the semester involved welcoming back the campus community with new student orientations, faculty receptions, housing move-ins and planning for the upcoming first year convocation. Dr. Stokes said it had been a long journey getting to this point adding that UNM is keenly aware that we are not out of the woods yet with the COVID virus, the latest reminder being the state's revised health order. President Stokes discussed the reasons for the University's vaccine mandate which the Regents recently supported. Cases of COVID have surged nationwide and locally, hospital admissions are increasing rapidly and epidemiological modeling are forecasting things to get worse before they improve. Since building the infrastructure to manage the vaccine policy, UNM has processed more than 15,000 verification documents. When combining the data with that from the New Mexico Department of Health, it is estimated that approximately 85% of faculty and staff are vaccinated. President Stokes addressed University vaccination incentive payments to students. "We don't know exactly what lies ahead, but to this point, our work and attention to planning appears to be paying off."

The University is welcoming nearly 400 new faculty for fall 2020 and 2021 and there is a growing population of new students and campus activity, including a 4% increase in new beginning freshmen and over a 20% increase in graduate students. UNM has seen a 10% increase in transfer students as well as a 10% increase in on-campus housing occupancy. President Stokes thanked her team along with their respective teams and the campus community who have made it all happen. She also thanked the Regents for supporting administration's capacity to make thoughtful decisions and execute its plans.

Regent President Brown thanked President Stokes for her very nimble, forceful, and appropriate response to the vaccine.

APPROVAL OF FY23 LEGISLATIVE FUNDING REQUESTS: NEW AND EXPANSION REQUESTS FOR RESEARCH AND PUBLIC SERVICE PROJECTS [RPSP]

Barbara Damron presented the item and referred to slides that were provided in the eBook. **(ATTACHMENT A)** The Regents were asked to approve 'new' funding and 'expansion' funding requests. The new funding requests encompassed 6 new projects totaling \$1,248,340 [4 for Main Campus; 2 for the Health Sciences Center (HSC)]. The expansion requests were for 14 existing projects, and \$10,452,300 of expansion funding was enumerated bringing the total funding for those projects to \$28,640,200 [6 for Main Campus; 8 for HSC]. Approval of the new and expansion requests would bring the total FY23 RPSP package that will go to the Legislature in January to \$63,899,140. The total includes funding for new projects, expanded projects, and those projects held at a 'flat' funding level totaling \$34,010,600. Dr. Damron said that experts on each of the project were available to answer Regents' questions.

Regent Brown thanked Dr. Damron for an excellent and comprehensive presentation. He opened up the floor for Regents' comments and questions.

Regent Schwartz said he appreciated the work that had gone into this and he certainly supported the projects that he knew about but voiced his concerns about being asked to approve what he had only recently learned about and so had not been given a chance to understand substantively. Regent Schwartz posed questions about the process to determine the final list and about proposals that were not selected. He said that maybe Regents were being asked to just decide whether the selection process was appropriate, and if that was the case, he needed a little more information about the process. Regent Schwartz questioned in general what the Regents' role should be in the whole process, if Regents are to take into account the long-range goals of the University when making their decision or just trust administration on its decisions.

Dr. Damron outlined the RPSP process which includes distributing communications campus-wide in the spring to educate about this potential funding mechanism and to solicit applications. Dr. Damron said that the HED and the State do not have requirements for a review process, but UNM has been working on improving its review process over the years. Dr. Damron said that as a former HED Secretary, she affirmed that UNM's review process is stronger and more in depth than almost any other university's in the state and continues to make it better. She thanked Dr. Ellen Fisher and Dr. Bill Stanley for the work they did to build a much more robust process and also for working with applicants where needed.

Regent Brown agreed that it is important to provide substantive information to the Regents in a timely fashion and added it would be appropriate for the Regents to review the whole process at some subsequent time, and this would generate more trust in the process. He emphasized the importance of Regents doing their due diligence on these large asks that go to the LFC.

Regent Payne requested more detail about where this fits in the entire University budget process, and specifically what happens to these projects if funding amounts are changed at the state level. Dr. Damron explained that UNM receives funding from the state through three different vehicles, 1) Instruction and General (I&G), which is determined through a complicated process that involves a funding formula, 2) Capital funding which can come to UNM in a variety of ways, for example through the general fund, severance tax bonds, GO bonds, or a Junior Appropriations Bill, and 3) RPSPs which are a unique funding mechanism whereby HED requests a list of funding requests that are to be approved by the Board of Regents, but state guidelines on RPSPs are not very clear. This request will go to the HED and up the executive branch, but at the same time the LFC wants to see the requests as a state budget also goes up the legislative branch of government. If UNM does not get the full amount of money for a project, the project will still continue with no or limited funding. No RPSP can go away or die until the legislature says so, because the program is written in statute.

Regent Payne inquired if UNM would be on the hook to fund any projects that didn't receive funding approval at the state level. Dr. Damron clarified that existing projects would continue at the flat funding level. Regent Begay responded that this process has been around for a number of years and once a program is approved, it tends to stay as a program. It's an avenue to get new money from the state, but there is an obligation to keep it on the books. She spoke in support for putting more on the list, items that have been vetted by administration before the request goes to the legislature, adding that a formal process like this cuts off rogue requests that are not vetted. Regent Begay commented on the variety of programs on the list and affirmed her support for the ethnic programs that have remained on

the list, which is an indication of UNM's commitment to that, adding that she didn't think the levels for those programs are quite where they should be though.

Regent Rael requested clarity on how the RPSP process intersects with the overall budget and planning process for the University on an annual basis and how that is guided by the President's strategic goals, which she added are super helpful in terms of setting priorities. She also commented that it would be really helpful to have a practice where Regents see the results of these funding initiatives on an annual basis, like a review of prior years' successes and the results coming from these programs. Additionally, Regent Rael commented that looking at the overall RPSP ask, with regard to UNM's major strategic goals around increasing nursing and medical professionals and access to medical professionals in rural areas, there is a sliver of that in the overall funding request, but she explained that at a top-line gut level she would have thought that there would be proportionally more in those really important buckets of needs for the University and the state.

Provost Holloway explained more about UNM's RPSP process. A notice went out to Vice Presidents, Vice Chancellors, Chairs, Deans, Center leaders and directors in the middle of May with a deadline in June to submit narrative proposals. A committee that consisted of Vice President Ellen Fisher, Associate Vice Provost Bill Stanley, Professor Renia Ehrenfeucht from Community & Regional Planning, Professor Janie Chermak from Economics, Professor Cassiano Endres De Oliveira from Engineering evaluated the proposals and built a matrix of their review of the proposals. From that matrix, proposals were ranked to select the ones to move forward.

Regent Schwartz asked if the rating sheets and scores were available so that Regents could see what projects made it and which didn't. He asked how administration determined where to draw the line on these - was it a political decision or an entirely academic decision? He said it would be helpful to at least have that much of a participation in the substantive decision-making. Provost responded the review committee was looking at the proposals from an academic or quality lens, they weren't doing the political calculation (e.g. is there a clear advocate in the legislature), they focused on the quality or merit of the proposal. Provost said he could share the committee's review comments with the Regents, and suggested that, moving forward, those could be made a part of the packet provided to the Regents. Provost cautioned however that documents provided to the Regents are public, and these documents do contain critiques of faculty members' submissions that might not normally be exposed to public scrutiny.

Regent Schwartz asked Provost, President Stokes and Dr. Ziedonis how they see the Regents' role with regard to RPSPs. "Do Regents have a role in setting the principles on which they are going to be evaluated, or is it just a technical requirement that Regents have to approve for HED?" Provost responded there's an important role for the Regents overall in helping set priorities for the institution and added he wasn't sure that should operate at a program by program level. President Stokes agreed and clarified that what is important for the administration to do is to articulate reasons why it might put particular requests forward. Responding to the question posed earlier about how the RPSPs relate to the President's Goals and where UNM is headed, she said those are things that administration can articulate. President Stokes confirmed that many of the programs on the list are directly in line with UNM's goals. Responding directly to Regent Schwartz' question, she said Regents do have a really important role in working with administration on the direction of the institution, and for administration, it's really about better articulating why it might bring forth a group of proposals like this. President Stokes said she would certainly welcome looking at the process, because this process has been in need of refinement and a lot has been brought to the process under the leadership including Dr. Damron's work because having served as the Secretary of Higher Education and working in the Government Relations

setting, she understands how important a good process can be for justifying these requests for state funding.

Regent Begay said she didn't think it was necessary for Regents to see the score sheets, that it was something to leave to administrative people who have been hired and vetted and are accountable for their jobs to do their work.

Regent Brown commented that all of the requests serve very important social benefits to the people of New Mexico and within the University, and he agreed that there was good alignment with the University's goals and priorities, even if that was not articulated quite as well as it could have been. Regent Brown said he supported Regent Rael's suggestion to have periodic reports on what was spent and what was achieved in the programs.

The motion to approve the new and expansion RPSP requests passed unanimously (1st Fortner; 2nd Begay; roll call vote – all members voted yes).

APPROVAL OF THE FOURTH QUARTER FINANCIAL ACTIONS REPORT AND CERTIFICATION THROUGH JUNE 30, 2021

Liz Metzger presented the item and clarified that she would be presenting only the quarterly fiscal watch report for approval and not the quarter-end consolidated financial statements information item, as the controller's office is still in the process of closing out the fiscal year. The fiscal watch report consists of 6 questions related to the University's ability to meet its cash obligations and they are answered with a yes/no. All of the questions were answered with a 'no', indicating a healthy financial state and no anticipation of financial changes that are not reflected in the budget adjustment request that was previously submitted.

The motion to approve the 4th-Qtr. Financial Actions Report and Certification passed unanimously (1st Payne; 2nd Fortner; roll call vote – all members voted yes).

APPROVAL OF THE FOURTH QUARTER ATHLETICS' ENHANCED FISCAL OVERSIGHT PROGRAM REPORT AND CERTIFICATION THROUGH JUNE 30, 2021

Eddie Nuñez presented the unedited preliminary 4Q financials with a focus on Schedule A, the summary of FY21 budget and actuals. Total actual revenues for the year were \$28.6 million. Revenue streams were significantly impacted due to the pandemic, as well as the inability to host games and events. Athletics was able to hold some special events which helped offset negative financial impacts. Athletics was able to receive CARES Act funding of \$11.5 million to help offset the lost revenues and increased expenses due to the pandemic; however, \$16 million was actually accounted for in lost revenues (\$15m) and increased expenses (\$1m). Student fee and state appropriation revenues were also less than budgeted. On the expense side, athletics did its due diligence to hold staff salaries and vacancies which contributed to about \$1 million in savings. Travel costs were also lower, which included travel for recruitment. The overall net for the year ended up \$3 million positive, of which \$1.6 million went to cover the FY20 deficit and \$1.4 million will be carried over to cover the committed severance payment to the former basketball coach and to cover anticipated continued lost revenues due to the pandemic.

Regent Brown congratulated AD Nuñez on being the recipient of the 2020-2021 Mountain West Commissioner's Award for his performance on the field and in the classroom and for his response to COVID. This marks just the third time the honor has ever been awarded in the 21-year history of the league and it's the first time someone has been named a recipient of the award since 2007.

The motion to approve the 4th-Qtr. Athletics enhanced fiscal oversight program report and Certification passed unanimously (1st Fortner; 2nd Begay; roll call vote – all members voted yes).

APPROVAL OF THE AMENDED AND RESTATED LOBO DEVELOPMENT CORP. [LDC] BY-LAWS

Loretta Martinez presented the item. Under Regents' Policy 7.17 regarding University-affiliated 501(c) organizations, the Regents have the authority to approve any amendments to either bylaws or articles of incorporation for any affiliated entities. These amendments were under discussion for over a year and have been thoroughly vetted by LDC and the item also went through the Regents' Ad Hoc Governance Committee. A memo outlining the amendments as well as a red-lined copy of revisions was provided in the eBook. The most significant change is the total number of directors changing from 9 to 11, and will now include 2 Regent members (from one), the President (or his/her nominee), the Provost (added), the Senior Vice President for Finance & Administration, the Athletics Director, the EVP for Health Sciences (or his/her nominee), removing the Secretary/Treasurer of LDC from the Board, and 4 members (up from 3) who shall be unaffiliated with UNM and appointed by the Regents.

Regent Rael affirmed her strong support for the changes, some are cleanup and other are changes that will enhance good governance at Lobo Development. Regent Rael explained that she worked quite a bit on the revisions and thanked University Counsel for their support.

Regent Schwartz confirmed that the proposed revisions were discussed at great length in the Ad Hoc Governance Committee and that he also was in support of the changes.

The motion to approve the amended and restated Lobo Development Corporation bylaws passed unanimously (1st Schwartz; 2nd Rael; roll call vote – all members voted yes).

APPROVAL OF UNM HOSPITAL BOARD OF TRUSTEES – NEW MEMBER APPROVAL: JUDGE MONICA ZAMORA AND DR. DAVIN QUINN

Kate Becker presented the item, introducing both nominees. There are two seats on the UNM Hospital Board of Trustees with members (Judge Joseph Alarid and Dr. Jennifer Phillips) whose terms expired on June 30, 2021. Judge Monica Zamora and Dr. Davin Quinn have been nominated to fill those seats. Judge Zamora graduated from the UNM School of Law in 1987 and served on the New Mexico Court of Appeals from December 2012 until her retirement in January 2020. She also served as the Chief Judge of the Court of Appeals in 2019 and previously served as a District Court Judge in the Second Judicial District Court, Bernalillo County assigned to the Children's Court Division presiding primarily over a child welfare and juvenile delinquency caseload. She currently serves on the board of New Mexico Kids Matter. Ms. Becker said UNM is really excited about the nomination of Judge Zamora because of her background in children's court and the support and understanding she will bring to the children's hospital.

Ms. Becker continued. It has long been the practice to nominate the past Chief of Staff of the hospital. Dr. Davin Quinn is an associate professor with the Department of Psychiatry and Behavioral Sciences. He graduated from Harvard Medical School and did his residency and a fellowship at Massachusetts General. He was elected chief of UNM's medical staff in 2018 and served in that role in 2019 and 2020, so he is nominated as the incoming past Chief of Staff Member of the board.

The motion to approve the appointment of Judge Monica Zamora and Dr. Davin Quinn to the UNM Hospital Board of Trustees passed unanimously (1st Fortner; 2nd Schwartz; roll call vote – all members voted yes).

Regent Brown thanked both Judge Zamora and Dr. Quinn for their willingness to serve.

Judge Zamora thanked the Regents and President Stokes for considering and approving her appointment to the board of trustees. She said she was excited to be a part of the group because UNMH does some really good work as a public hospital, adding that she had a vested interest because her husband worked at the hospital for over 38 years and she had worked as a judicial member on the children's court division, working very closely with the child abuse responsive team.

APPROVAL OF CERNER ELECTRONIC MEDICAL RECORD PEDIATRIC ONCOLOGY MODULE

Bonnie White presented the item. The request is for approval of a 7-year agreement with Cerner Corporation for an integrated pediatric oncology system. This will be added to the current system; it's another module that UNM currently does not have and dearly needs for tracking pediatric oncology patients appropriately. This was a sole source procurement. The projected cost for the initial term is \$2,020,467, to be funded out of operating cash.

The motion to approve the contract with Cerner Corporation to provide an electronic medical record pediatric oncology module passed unanimously (1st Payne; 2nd Fortner; roll call vote – all members voted yes).

CAPITAL PROJECT APPROVAL: RE-APPROVAL OF UNM TAOS COLLEGE PATHWAYS TO CAREERS CENTER

Lisa Marbury presented the item. Due to post bid market conditions driving materials costs up, including costs for structural steel studs, lumber products, electrical and plumbing as well as materials increases anticipated for such items as HVAC equipment, furniture, audio visual equipment, IT cabling and roofing, additional funds are required to complete the project. The original approved budget was \$5,525,000 and will be revised to \$6,529,500, an addition of \$954,500, or 17% over the original estimate. Any project budget that goes over 10% needs to go back through the approval process. The sources for the additional funding will be the 2013 Taos Educational Gross Receipts Tax (\$704,500) and the Taos Campus Reserve Fund (\$250,000).

Regent Rael said the issue of the increased construction costs driving up project costs has been discussed in prior meetings, and she inquired if it makes sense in some of these cases to delay the project because supply chains are expected to re-stabilize globally. Ms. Marbury responded that UNM is watching that very closely and is making decisions on a project by project basis. Some of the smaller projects like roofing projects may be held back if they are not urgent so to see how the market is moving. Currently, some prices are coming back down but they are being offset by supply chain demand, so it's a combination of many different factors.

Regent Fortner asked at what point the bidder assumes the risk. Ms. Marbury responded that some of UNM's projects are CMAR (construction manager at risk) with a guaranteed maximum price, but even those bids are coming in higher than anticipated. Right now general contractors are putting in 35-40% contingencies because of these prices, and UNM is working with its contractors because this is impacting everybody.

The motion to approve the UNM Taos College Pathways to Careers Center project passed unanimously (1st Payne; 2nd Fortner; roll call vote – all members voted yes).

CAPITAL PROJECT APPROVAL: NM MUTUAL CHAMPIONS TRAINING CENTER

Lisa Marbury introduced the item. This is a new weight room, exercise and training facility designed for 11,312 gross square feet under one roof. The first floor will be 9774 square feet and a mezzanine will be 1,538 square feet. Structural provisions have been included in the design to facilitate a future roof deck of 3,664 square feet of uncovered area. Also included are two offices, two universal restrooms, equipment storage and mechanical, electrical and IT rooms. The project replaces the temporary, outdoor tent currently providing 7200 square feet of training space that is not climate controlled and has been leased for over 5 years, varying from \$5-6K per month. Total estimate for this project is \$4,380,978 and various funding sources have been identified.

AD Nuñez commented that this has been something he has been working on since he arrived because the current facilities are unacceptable for the athletes, and during the Title IX review this was one of the areas highlighted as needing to be addressed. AD Nuñez recognized the help from donors, legislators, and others for making this possible and expressed excitement for the future of Lobo Athletics - this is going to allow all UNM athletes to be able to work out under one roof for the first time ever!

Regent Brown commended AD Nuñez for managing to produce six conference championships out of a tent, and asked, how many UNM is now going to get! AD Nuñez said he hoped there will be many, many more, adding that UNM's student athletes are resilient and find ways to make it happen.

Before the vote, Regent Rael asked AD Nuñez to elaborate a little on how these facilities will support UNM's women athletics program in terms of the quality, space and equipment for women, in particular in the Title IX compliance area. AD Nuñez talked about the vision that is also held by the football coach and in collaboration with all the coaches, to design and utilize a space that benefits all student athletes.

There was a brief discussion about the location of the new space. The New Mexico Mutual Champions Training Center will be situated at the south end of the football stadium, just north of the existing Tow Diehm facility.

The motion to approve the New Mexico Mutual Champions Training Center project passed unanimously (1st Fortner; 2nd Rael; roll call vote – all members voted yes).

CAPITAL PROJECT APPROVAL: STUDENT RESIDENCE CENTER STAIRWELLS REPAIR AND MODIFICATION

Lisa Marbury presented the item. This is the first of three total projects to repair and modify buildings D, F, and G stairs for code and safety requirements. Each building will have a reduction of four occupants on the 2nd and 3rd floors for a total reduced occupancy of 12 students. The Student Residence Center stairs were part of the 2020 structural assessment performed by Heatly Engineering, and in that report the building stairs were identified as being very deteriorated and in need of immediate attention for repair and replacement. Due to the volatile construction costs, this will be done in three stages, so hopefully prices will come down for the 2nd and 3rd phases of the project. The total estimated budget for this project is \$550,000, to be funded from the Resident Life & Student Housing plant fund.

Regent Begay said she appreciated the details presented and how the total project will be phased in due to steel prices that will affect the project cost, affirming that UNM needs to move forward with this.

The motion to approve the Student Residence Center Stairs Repair and Modification Project 1 passed unanimously (1st Begay; 2nd Rael; roll call vote – all members voted yes).

CAPITAL PROJECT APPROVAL: ZIMMERMAN UNIVERSITY LIBRARIES TURNSTILES

Lisa Marbury presented the item. This project will install a total of five entrance points and six exit points within a lobby immediately inside the north and south entrances of the library. Three card swipe turnstiles and three asset tracking gates will be located on the south entrance and two card swipe turnstiles and three asset tracking gates will be located at the north entrance. New security and information desks will be included as part of the project. This project will improve security and safety within the Zimmerman Library. The University Libraries has documented an increasing number of security incidents over the last five years and so they asked for these extra security measures. Total estimated project cost is \$520,733, and will be funded by several sources, including 2020 & 2021 Severance Tax Bonds, University Libraries Department Funds and FY22 Facilities Improvement Needs (FIN) funds, formerly BR&R (building repair and renewal) funds.

Regent Begay asked if administration is considering more of these security measures be put into more buildings over a period of time due to safety concerns. Ms. Marbury said this was a first phase and other phases are planned as funding becomes available. A comprehensive capital plan will look at card access for all UNM buildings, along with how to fund and phase in the work. Regent Brown said that UNM has a challenge because it is a public university and has always maintained an open campus where the public is free to roam, but unfortunately the realities of modern life are such that the University needs to channel and control that much more aggressively than ever before.

The motion to approve the Zimmerman University Libraries Turnstiles project passed unanimously (1st Payne; 2nd Fortner; roll call vote – all members voted yes).

CAPITAL PROJECT APPROVAL: CENTER FOR THE ARTS [BLDG 62] RESTROOM RENOVATION

Lisa Marbury presented the item. The Center for the Arts Renovate Basement Restrooms project will renovate 823 square feet for one existing men's restroom and two existing women's and will verify ADA and code compliance. The existing restrooms are unsuitable for use and the renovation will make the space use more efficient and functional and easier to maintain. This project completes as well as compliments earlier improvements made to the Center for the Arts area. The total project budget is \$377,972 and will be funded from FY22 Facilities Investment Needs (FIN) funds as well as FY21 BR&R funds.

The motion to approve the Center for the Arts Restroom Renovation project passed unanimously (1st Begay; 2nd Rael; roll call vote – all members voted yes).

REAL ESTATE ITEM: APPROVAL OF SALE OF STUDENT FAMILY HOUSING PROPERTY TO CNM

Tom Neale presented the item. This is a request for approval to sell the UNM Student Family Housing complex to Central New Mexico Community College (CNM). This is a project that was built in 1975 on Buena Vista just north of Avenida Cesar Chavez. It contains 200 units on 13 acres and it has reached the end of its economic life; revenues no longer exceed the cost to maintain and operate the facility. With approval of UNM administration, the project was closed on June, 30, 2021 to mitigate anticipated financial loss, including extensive deferred maintenance, as it has an excessive amount of asbestos which makes it very difficult to maintain. In 2019, UNM went through a very extensive feasibility analysis with Resident Life and Student Housing and Planning Design and Construction departments to evaluate renovation strategies. None of the options in the analysis produced an outcome that increased net operating income to a level that would financially justify the additional expenditure of capital on the project. Mr. Neale discussed the methodology for determining the market value when improvements at the end of their useful life, which would be first to estimate the market

value of the land as though vacant and then deduct the cost to raze the improvements. The land value was estimated by appraisal at \$4,950,000, or \$8.75 per square foot. UNM Real Estate issued an RFP to quantify the cost to abate the extensive amount of asbestos present within the buildings and the cost to raze the improvements. The total demolition amount came to \$3,860,000, when generated an “as is” market value of \$1,090,000. The Real Estate Department notified CNM of UNM’s intent to sell the property. CNM offered \$1,500,000 for the property “as is”. UNM administration approved the offer, and the property is currently under contract with the sale contingent upon Regents’ approval.

There was discussion. Regent Payne and other regents expressed concerns about selling such a large parcel of land and giving up a potential future asset for the University. There were questions about plans to replace the student family housing complex and whether a public-private partnership for doing so had been considered. Mr. Neale reiterated the cost analysis and explained that the current improvements had a negative value. Regents requested a summary of the amount of available expansion land that UNM owns near the University. Mr. Neale said there are around 70 acres of land at South Campus that is available for expansion and another 30 to 40 acres in the northwest quadrant area of University and Lomas that was assembled years ago when the University thought that would be the location of a new hospital.

Regent Rael inquired CNM’s intended use for this parcel if the transaction were to proceed, and if the parcel is within the boundaries of the anticipated TIDD (Tax Increment Development District), and if so would that affect the revenue of the TIDD? Mr. Neale confirmed that the property location was not within the boundaries of the TIDD and that CNM’s plan is for academic buildings in support of workforce solutions. Regent Rael affirmed her support for CNM as a sister higher education institution, but inquired SVP Costantinidis’ opinion on preserving UNM real estate as an asset for the longer term. SVP Costantinidis agreed that in general holding on to strategic lands that can potentially benefit the University is a best strategy and she explained why this particular piece of land is not optimal for UNM to retain, reasons including its relatively low-accessibility location as well as the cost to keep it. She mentioned that public private partnerships were explored, but there was little interest and student family housing is of less interest than other dormitory-type housing.

Regent Rael motioned approval and Regent Begay seconded; there was more discussion.

Regent Ko questioned the valuation and inquired about the other improvements on the land, the roads, parking and landscaping and if those were taken into consideration in the appraisal. Mr. Neale clarified that the appraisal assumed raw land explaining that prospective developers would probably want to take those improvements out if they were interested in building a higher density structure on the site. So the improvements would actually be considered a negative toward the value.

Regent Fortner inquired about how student family housing fits into the University’s academic mission, clarifying that not all aspects that further the academic mission are to be cost effective or money-makers. He inquired the housing options for a family of four. SVP Costantinidis responded that the majority of the residence were not families, but students who wanted to live in economical housing, clarifying that UNM has not forgotten the importance of that need, but at this point, it’s an economical issue of where to find the money.

Regent Schwartz voiced his concerns. This would be a big loss to the University on the housing side as well as on the property side. He said that Regents are being asked to defer to the administrative decision clarifying that he trusted the people in administration and the valuing process that was applied but that something like this could go through a committee of the Regents – some review outside of the administration of the University, for example for anything over 10 acres. He said he would vote to approve this, but that the Regents’ decision

is not a substantive one, but based on whether or not the Regents trusts what the University has done.

Regent Brown commented that over the years there have been strident and bitter complaints about this particular building, what a horrible building it was and how deferred the maintenance was. Mr. Neale confirmed that the shuttering of the building occurred on the June 30 and occupancy had been declining over the last several years due to the conditions of the project.

Regent Begay said that she had studied at the complex when she was a student in the 80's at UNM and it was horrible back then, adding that with the issues of asbestos and attracting homeless people, it is a detriment to UNM as it is. She concurred with the land value as is, adding that the topic of providing student family housing should be a separate discussion. Regent Brown agreed.

The motion to approve the Sale of the Student Family Housing Property to CNM FAILED with the vote of 3 for and 4 against (1st Rael; 2nd Begay; roll call vote – Rael, Begay, and Schwartz voted yes; Brown, Ko, Payne, and Fortner voted no).

Regent Brown said the Regents will have to revisit this item. There is a resistance among the Regents in parting with 13 acres of land that is not adjacent to campus but is close-by. He suggested Regents may need to visit the property and take a look at it themselves so to get a better feel for it on the ground.

REAL ESTATE ITEM: APPROVAL OF RENEWAL OF FEDERAL GOVERNMENT LEASE OF MAUI PROPERTY

Tom Neale presented the item and a more detailed memo was supplied in the eBook. Every year for the last 20 years, this annual renewal has been brought to the Regents for approval. It is the annual renewal of the Maui High Performance Computing Center lease on the island of Maui in the town of Kihei, Maui. Mr. Neale said he would not spend a lot of time explaining details unless any of the Regents wanted him to go through the history of this. He requested Regents' approval to renew the lease for one additional year.

The motion to approve the renewal of the Maui property lease passed unanimously (1st Rael; 2nd Fortner; roll call vote – all members voted yes).

REAL ESTATE ITEM: APPROVAL OF PURCHASE OF REAL PROPERTY LOCATED AT 1031 LAMBERTON NE, ALBUQUERQUE, NM

Tom Neale introduced the item. The request is for the purchase of a free standing, two-story office building located at 1031 Lambertson in Albuquerque, north of Menaul and West of I-25. The building was constructed in 2001 and is approximately 40,498 GSF in size situated on 3.5 acres with also approximately 202 parking spaces. The purchase price for the land, building and contents is \$3,750,000 and would be funded by UNM Hospital Capital Funds. Mr. Neale discussed the analysis: the purchase price is \$92.60 a square foot and land value is roughly estimated at \$8, so when you plot land value you end up with a building cost of about \$62.50 a square foot compared to what is being seen recently for the cost of new at \$300 per square foot. He explained that given the current construction environment and a relatively new well-maintained building, the economic transaction price seems reasonable. Mr. Neale introduced Kate Becker to explain the rationale for the purchase.

Kate Becker explained some background to the proposal. There was an agreement with Bernalillo County to jointly support a crisis triage center for behavioral health patients who are in crisis and need a destination that isn't perhaps the psychiatric emergency room, but is also not staying home. It's been a long-standing goal of the County's, and a location for this has been identified - the location of the Crisis Triage Center (CTC) is on the south side of the

parking lot on Marble, adjacent to the adult psychiatric hospital. That space is currently occupied by two small buildings, one of which houses the children's outpatient behavioral health program, and the other houses the Physician Access Line Service (PALS). So the purchase of the Lamberton property and building is the first step in a group of dominoes that fall to enable UNM to build the CTC, as the programs for children and the PALS will move into the Lamberton space, and then UNM will be able to move forward with construction on the CTC. Ms. Becker said that she presented this to the Bernalillo County Commission earlier in the week as part of her quarterly update and they are very anxious to get going on the CTC.

Regent Brown said that he gathered there were not much in the way of improvements needed on the Lamberton building to bring it up to proper occupancy. Tom Neale said that was correct, it was built with a high-density of interior partitions, but those are all modular and so can be moved around relatively easily.

The motion to approve the purchase of the 1031 Lamberton NE property passed unanimously (1st Fortner; 2nd Ko; roll call vote – all members voted yes).

After the vote, Regent Fortner requested an update at a later date on the Crisis Triage Center.

Regent Schwartz commented that this was the second special regents meeting in the last couple of weeks and that part of the issue is it is a long a time between regular meetings that are scheduled in May and October. He suggested the topic be discussed in the next Ad Hoc Governance Committee meeting, whether a regular meeting needs to be scheduled some time between May and October. Regent Brown concurred.

VOTE TO CLOSE THE MEETING A PROCEED IN EXECUTIVE SESSION (roll call vote)

All members voted to close the meeting at 11:40 AM, there was a 5 minutes break before executive session started.

1. Discussion of the purchase, acquisition or disposal of real property or water rights, as permitted by Section 10-15-1.H(8), NMSA (1978)
2. Discussions, and where appropriate determination, of matters subject to attorney-client privilege pertaining to threatened or pending litigation, as permitted by Section 10-15-1(7), NMSA (1978)

VOTE TO RE-OPEN THE MEETING AND CERTIFICATION THAT ONLY THOSE MATTERS DESCRIBED IN THE CLOSED SESSION AGENDA WERE DISCUSSED AND IF NECESSARY, FINAL ACTION WITH REGARD TO CERTAIN MATTERS WOULD BE TAKEN IN OPEN SESSION

The meeting re-opened at 12:20 PM; Regent Brown certified that only matters described in the closed session agenda were discussed during executive session and confirmed that there were no items the Board needed to act upon in open session.

ADJOURN

There being no further business, Regent Brown asked for a motion to adjourn the meeting. Regent Fortner motioned; Regent Rael seconded; all were in favor; the meeting adjourned at 12:20 PM.

Approved:

Attest:

Douglas M. Brown, President

Sandra K. Begay, Secretary/Treasurer

ATTACHMENT A

(attachment to the minutes of the August 19, 2021 BOR)

FY23 New RPSP Requests			
Main	Current Funding	New request	Total
Hungry for Success: Addressing the Food Insecurity of NM's University Students	N/A	\$184,900	\$184,900
Institute for American Indian Education: Responding to Education and Indigenous Nations in a Post-Covid World	N/A	\$251,553	\$251,553
Reclaiming languages: Bilingual language research internships for students in New Mexico	N/A	\$77,134	\$77,134
Preparing High Quality Teachers During Covid-19 and Beyond	N/A	\$271,353	\$271,353
Health Sciences Center	Current Funding	Expansion request	Total
Collaborative to increase health personnel for underserved	N/A	\$163,400	\$163,400
Communities to Careers/Pipeline Program	N/A	\$300,000	\$300,000
FY23 Expansion RPSP Requests			
Main	Current Funding	Expansion request	Total
African American Student Success	\$106,600	\$65,000	\$171,600
Athletics	\$4,188,600	\$2,000,000	\$6,188,600
Chicana and Chicano Studies	\$91,000	\$350,000	\$441,000
Educational Television	\$1,023,600	\$100,000	\$1,123,600
El Centro de la Raza/Hispanic Student Center	\$132,300	\$398,200	\$530,500
Native American Studies	\$182,400	\$67,600	\$250,000
Health Sciences Center	Current Funding	Expansion request	Total
Center for Native American Health (CNAH)	\$240,500	\$73,400	\$313,900
Comprehensive Movement Disorders Center	\$273,500	\$485,531	\$759,031
Graduate Medical Education	\$1,997,200	\$294,500	\$2,291,700
Minority Student Services/Building Outstanding STEAM-H Students (BOSS)	\$166,800	\$83,200	\$250,000
New Mexico Poison and Drug Information Center (NMPDIC)	\$1,503,700	\$305,300	\$1,809,000
New Mexico Office of the Medical Investigator (OMI)	\$5,541,600	\$1,723,000	\$7,264,600
New Mexico Office of the Medical Investigator (OMI) Grief Services Program	\$202,600	\$64,500	\$267,100
Project ECHO	\$2,537,500	\$4,000,000	\$6,537,500

ATTACHMENT A Cont.

(attachment to the minutes of the August 19, 2021 BOR)

UNM Board of Regents
August 19, 2020

**FY23 UNM Research and
Public Service Project (RPSP)
Requests:
New, Reinstatement and
Expanded**

UNM THE UNIVERSITY OF
NEW MEXICO



Improved Internal RPSP Process

- **Review of ALL RPSPs:**
 - Started in Spring 2021
 - Lead by Barbara Damron
 - Each RPSP Director requested to provide a written and oral presentation of their RPSP, including program overview, alignment of program with UNM and state initiatives, and budget detail
 - Mark Valenzuela from LFC was invited to attend these meetings
 - 4 RPSPs were reviewed in the Spring
- This process temporarily halted due to request from LFC to evaluate specific RPSPs

ATTACHMENT A Cont.

(attachment to the minutes of the August 19, 2021 BOR)



UNM Internal RPSP Processes

- **Main Campus**
 - Review Subcommittee: Office of the Vice President for Research, Office of the Provost, and Office of Government & Community Relations
 - All proposers received feedback outlining the strengths of the proposal and areas that needed improvement
 - Proposals were either moved forward for consideration by the President's Leadership Group, asked to improve and resubmit next year, or denied
- **HSC Campus**
 - Review Subcommittee: Senior Executive for Finance and Administration and Office of Government & Community Relations
 - HSC Core Leadership Group
- President's Leadership Group Approval
- BOR Approval



FY23 RPSP Requests



- **FY23 RPSPs Requests are presented during the 2nd Session of the 55th Legislature, January 2022:** Expansion request in this context (FY23 request) means(in some situations) restoring back to FY21 RPSP funding prior to the cuts that occurred during the June 2020 Special Session

ATTACHMENT A Cont.
(attachment to the minutes of the August 19, 2021 BOR)



FY23 Main Campus RPSP NEW Funding Requests

Main	Current Funding	New request	Total
Hungry for Success: Addressing the Food Insecurity of NM's University Students	N/A	\$184,900	\$184,900
Institute for American Indian Education: Responding to Education and Indigenous Nations in a Post-Covid World	N/A	\$251,553	\$251,553
Reclaiming languages: Bilingual language research internships for students in New Mexico	N/A	\$77,134	\$77,134
Preparing High Quality Teachers During Covid-19 and Beyond	N/A	\$271,353	\$271,353



UNM Main Campus New RPSP Project Honors College

- **Hungry for Success: Addressing the Food Insecurity of NM's University Students**
 - RPSP Funding Request: **\$184,900**
 - Project will address food insecurity among UNM & NMSU students
 - At UNM 36.4% of enrolled students experienced food insecurity in 2020, >2x the pre-pandemic household average across NM
 - Food insecurity contributes to poorer academic outcomes among college students
 - Food insecurity contributes to over 350 students dropping out each academic year
 - Project will also Impact equity and achievement at the two largest universities in New Mexico
 - Provide a model intervention for other institutions across the state

ATTACHMENT A Cont.
(attachment to the minutes of the August 19, 2021 BOR)



UNM Main Campus New RPSP Request
College of Education & Human Sciences

- **Institute for American Indian Education: Responding to Education and Indigenous Nations in a Post-Covid World**
 - RPSP Funding Request: \$ 251,553
 - Establish the Native American Teacher Residency Program
 - A teacher residency program for Navajo, Jicarilla Apache, Mescalero Apache, and Pueblo
 - Students take classes at UNM and gain field experience in schools while residing in their home Nations and communities
 - Faculty work with Native Nations and communities
 - Provide professional development and statewide meetings and conferences
 - Focus on culturally relevant and sustaining K-12 pedagogy for paraprofessional educators, certified K-12 teachers, educator preparation students, and interested community members



UNM Main Campus New RPSP Request
College of Education & Human Sciences

- **Preparing High Quality Teachers During Covid-19 and Beyond**
 - RPSP Funding Request: \$271,353
 - The Project embeds a teacher preparation program in underserved K-8 schools in Albuquerque
 - Collaborative project between three programs and two colleges
 - Working on culturally responsive teacher preparation
 - Focuses on arts integration and language diversity
 - Recruits and supports high quality teacher candidates for bilingual and TESOL-endorsed educators
 - Enhance student learning at the schools
 - Address the Martinez/Yazzie ruling

ATTACHMENT A Cont.
(attachment to the minutes of the August 19, 2021 BOR)



UNM Main Campus New RPSP Request Lobo Language Acquisition Lab

- **Reclaiming Languages: Bilingual Language Research Internships for NM Students**
 - RPSP Funding Request: **\$77,134**
 - The Language Lab's research focuses on children's acquisition of minority languages in New Mexico, especially ASL, Navajo, and Spanish, and aims to support communities in the shared goal of promoting and celebrating bilingualism and the languages of New Mexico
 - Establish a training program for high school and undergraduate students that provides hands-on experience in research on minority languages and childhood bilingualism
 - Includes modules on ethics, data collection and coding, quantitative skills, and presentation skills
 - Give students the tools to combat deficit views of bilingualism and to talk about the importance of bilingualism and minority language maintenance to members of their home communities
 - Increases the likelihood that high school and undergraduate participants pursue and achieve higher education



UNM Main Expanded RPSP Requests

Main	Current Funding	Expansion request	Total
African American Student Success	\$106,600	\$65,000	\$171,600
Athletics	\$4,188,600	\$2,000,000	\$6,188,600
Chicana and Chicano Studies	\$91,000	\$350,000	\$441,000
Educational Television	\$1,023,600	\$100,000	\$1,123,600
El Centro de la Raza/Hispanic Student Center	\$132,300	\$398,200	\$530,500
Native American Studies	\$182,400	\$67,600	\$250,000

ATTACHMENT A Cont.

(attachment to the minutes of the August 19, 2021 BOR)



African American Student Success Expansion Request

- **RPSP Funding Request: \$171,600**
 - **Expansion Request: \$65,000**
- **Current Program**
 - Targeted recruitment initiatives, first-year retention programming, mentorship programs and graduate school prep
- **FY23 RPSP expansion funds would support:**
 - Additional credit hours for students who participate in the AASS Summer Bridge Academy
 - A new pilot research program



Athletics Expansion Request

- **RPSP Request: \$6,188,600**
 - **Expansion Request: \$2,000,000**
- **Current Program**
 - Support 400+ student-athletes to participate at the Division I level
 - Supports 18 intercollegiate athletic programs for men and women
- **RPSP Expansion funds would support:**
 - Enhancing the experience and welfare of the student-athletes
 - Addressing on-going Title IX and compliance needs
 - Decreasing the department's reliance on self-generated revenue
 - UNM Athletics has self-generated over 70% of its total revenues on an annual basis
 - Self-generated revenues will continue to be incredibly volatile due to Covid

ATTACHMENT A Cont.

(attachment to the minutes of the August 19, 2021 BOR)



Chicana and Chicano Studies Expansion Request

- **RPSP Request: \$441,000**
 - **Expansion Request: \$350,000**
- **Current Program**
 - CCS offers college preparation opportunities for Albuquerque Public High school students, a 2-year community college transfer scholar's program, online BA and graduate certificate programs, two undergraduate certificate programs, and face-to-face comprehensive programs
 - Includes the BA degree, a five-year BA to MA program, the stand-alone MA degree, and the PhD degree
- **Expansion funds would**
 - Ensure the growth of a culturally relevant and community-responsive education
 - Expand CCS online graduate programs (the MA and PhD degrees online)



Educational Television Expansion Request

- **RPSP Request: \$1,123,600**
 - **Expansion Request: \$100,000**
- **Current Program**
 - Trains production students for a professional career in film and digital media production
 - Supports staff who conduct the early literacy program, *Ready to Learn*, in underserved areas
- **RPSP Expansion will support**
 - Expanding both technical and content offerings through NextGen TV, Datacasting, and PBS Learning Media.

ATTACHMENT A Cont.

(attachment to the minutes of the August 19, 2021 BOR)


**El Centro de la Raza/Hispanic Student Center
Expansion Request**

- **RPSP Funding Request: \$530,500**
 - **Expansion Request: \$398,200**
- **Current Program**
 - Provides programming related to the retention and transition of low-income, first-generation underrepresented Hispanic students at UNM
- **RPSP expansion funds would support:**
 - Compensation increases for existing staff and two (2) additional full-time staff members to accomplish the following:
 - 1) increase the number of students served, 2) create and implement freshman and sophomore initiatives, 3) build and implement more mental health and culturally relevant wellness initiatives, and 4) assist with our marketing, communications, and grant development plans


**Native American Studies
Expansion Request**

- **RPSP Request: \$250,000**
 - **Expansion Request: \$67,600**
- **Current Program**
 - Students are placed in Native-centered communities or organizations to gain valuable "real life" experience and provide an opportunity for students to apply their NAS knowledge and skills
 - Part of NATV 352/351 and NATV 590
- **RPSP expansion funds would support:**
 - Internship/independent study related to NATV 352/351 and 590 with research supplies, computer and technology needs, student stipends and scholarships, graduate assistantships, travel to partner sites, faculty stipends to establish and maintain partnerships, and one additional staff member

ATTACHMENT A Cont.

(attachment to the minutes of the August 19, 2021 BOR)


**FY23 Health Sciences Center RPSP NEW
Funding Requests**

Health Sciences Center	Current Funding	Expansion request	Total
Collaborative to increase health personnel for underserved	N/A	\$163,400	\$163,400
Communities to Careers/Pipeline Program	N/A	\$300,000	\$300,000


**UNM HSC NEW RPSP Project
College of Nursing, School of Medicine, and
College of Pharmacy**

- Collaborative to Increase Health Personnel to Medically Underserved Areas
 - RPSP Funding Request: **\$ 163,400**
 - Project aims to increase the number of School of Medicine, College of Pharmacy, and College of Nursing graduates of who pursue careers providing care in NM's underserved communities
 - Funding would support program personnel, didactic training, travel and housing for community/rural rotations

ATTACHMENT A Cont.

(attachment to the minutes of the August 19, 2021 BOR)


UNM HSC NEW RPSP Project
Office of Diversity, Equity and Inclusion

- **Communities to Careers/Pipeline Program**
 - **RPSP Funding Request: \$ 300,000**
 - Project is committed to growing New Mexico's diverse health care workforce
 - Provides underrepresented and underserved learners throughout New Mexico with programs, resources and partnerships aimed at pathways to health careers
 - Program was defunded in FY21
 - Received \$75,000 for FY22 from Junior Bill (recurring appropriation)


FY23 HSC RPSP Expansion Funding Requests

Health Sciences Center	Current Funding	Expansion request	Total
Center for Native American Health (CNAH)	\$240,500	\$73,400	\$313,900
Comprehensive Movement Disorders Center	\$273,500	\$1,004,200	\$1,277,700
Graduate Medical Education	\$1,997,200	\$294,500	\$2,291,700
Minority Student Services/Building Outstanding STEAM-H Students (BOSS)	\$166,800	\$83,200	\$250,000
New Mexico Poison and Drug Information Center (NMPDIC)	\$1,503,700	\$305,300	\$1,809,000
New Mexico Office of the Medical Investigator (OMI)	\$5,541,600	\$1,723,000	\$7,264,600
New Mexico Office of the Medical Investigator (OMI) Grief Services Program	\$202,600	\$64,500	\$267,100
Project ECHO	\$2,537,500	\$4,000,000	\$6,537,500

ATTACHMENT A Cont.

(attachment to the minutes of the August 19, 2021 BOR)



Center for Native American Health (CNAH) Expansion Request

- **RPSP Request: \$313,900**
 - **Expansion Request: \$73,400**
- **Current Program**
 - Program goal is to increase the number of Native American students that graduate in STEM-related fields at UNM
- **Expansion funding will support**
 - Pre-Health Peer Learning Facilitator (PLF) efforts
 - A Student Success Coordinator



Comprehensive Movement Disorders Center Expansion Request

- **RPSP Request: \$759,031**
 - **Expansion Request: \$485,531**
- **Current Program**
 - The Nene and Jamie Koch Comprehensive Movement Disorder Center at UNMH (CMDC) is the only clinic in New Mexico providing expert, comprehensive, multidisciplinary, subspecialty care and management of Parkinson disease and other movement disorders
 - The UNM CMDC brings clinical trials, research, educational, and employment opportunities to NM
- **Expansion funding will support**
 - Salaries for 3.4 more faculty FTE and 2.8 staff FTE
 - Community-based statewide education and training programs:
 - Movement Disorder fellowship program to locally train movement disorder neurologists
 - Educational program to train other providers in the management of movement disorders
 - Establishment and expansion of telehealth program for this population

ATTACHMENT A Cont.

(attachment to the minutes of the August 19, 2021 BOR)



Graduate Medical Education Expansion Request

- **RPSP Request: \$2,291,700**
 - **Expansion Request: \$294,500**
- **Current Program**
 - Funds a total of 23-27 residency FTEs for FY22
 - Internal Medicine, Surgery, Family and Community Medicine (FCM), and Psychiatry, as well as other programs for rural and community rotations.
- **Expansion funding will**
 - Maintain residency positions in Internal Medicine, Surgery, Family and Community Medicine, and Psychiatry
 - Grow residency rotations to rural and community-based locations, facilitating rotations for such programs as obstetrics/gynecology, emergency medicine, palliative care, and infectious disease
 - Cover anticipated annual increase in salary + fringe expenses for our residents and fellows



Minority Student Services/Building Outstanding STEAM-H Students (BOSS) Expansion Request

- **RPSP Request: \$250,000**
 - **Expansion Request: \$83,200**
- **Current Program**
 - Provides STEAM-H educational opportunities for K-12 level African American students statewide (Bernalillo, Sandoval and Valencia counties)
- **Expansion funding**
 - Restores \$27,800 in program cuts since FY17
 - Will support a new Program Coordinator and expanded programming

ATTACHMENT A Cont.

(attachment to the minutes of the August 19, 2021 BOR)



New Mexico Poison and Drug Information Center (NMPDIC) Expansion Request

- **RPSP Request: \$1,809,000**
 - Expansion Request: **\$305,300**
- **Current Program**
 - Provides expert 24-hour assistance to the residents of New Mexico during potential poisoning emergencies and educates HSC pharmacy and medical students and residents in the field of clinical toxicology
- **Expansion funding will**
 - Restore recent General Fund and Tobacco Settlement cuts
 - Support a healthcare educator position
 - Received \$125,000 for FY22 (Junior Bill recurring appropriation)



New Mexico Office of the Medical Investigator (OMI) Expansion Request

- **RPSP Request: \$7,264,600**
 - Expansion Request: **\$1,723,000**
- **Current Program**
 - Performs autopsies and investigates unattended deaths
 - In 2020, OMI investigated 9,800 deaths and examined 3,812 decedents
 - State appropriations covered 45% of OMI's budget
- **Expansion funding will**
 - Increase state appropriation to cover 55% of operating expenses
 - Support an additional Forensic Pathologist
 - Support forensic Toxicology for "Non-Pending" cases

ATTACHMENT A Cont.

(attachment to the minutes of the August 19, 2021 BOR)



New Mexico OMI Grief Services Program Expansion Request

- **RPSP Request: \$267,100**
 - Expansion Request: **\$64,500**
- **Current Program**
 - The OMI Grief Services Program provides grief and trauma support to families dealing with a death investigation
 - Focuses on populations that are at risk for a particularly complicated/traumatic grief
 - During the pandemic, a Telehealth program was implemented, which prevented service interruption
- **Expansion funding will**
 - Restore funding decreases over the past two years
 - Support modest increases in staff salaries and professional contracts funds
 - Address the shortfall the program will be left with at the end of FY22



Project ECHO Expansion Request

- **RPSP Request: \$ 6,537,500**
 - Expansion Request: **\$ 4,000,000**
- **Current Program**
 - Supports the state's priorities in behavioral health, child wellbeing and education, and in COVID-19 preparedness
 - Provides access to evolving best practice in education and medicine to all 33 counties
 - Provided COVID-19 related trainings to primary care providers across the state
- **Expansion funds will increase support for**
 - Ongoing infrastructure
 - Faculty time to operate existing ECHO programs
 - Offering new programming to support workforce development in education and healthcare
 - Expanding access to specialty care post-pandemic throughout the state

ATTACHMENT A Cont.

9/15/2021

(attachment to the minutes of the August 19, 2021 BOR)


Approval Request: \$ for 6 NEW RPSPs for FY23

FY23 New RPSP Requests			
	Current Funding	New request	Total
Hungry for Success: Addressing the Food Insecurity of NM's University Students	N/A	\$184,900	\$184,900
Institute for American Indian Education: Responding to Education and Indigenous Nations in a Post-Covid World	N/A	\$251,553	\$251,553
Reclaiming languages: Bilingual language research internships for students in New Mexico	N/A	\$77,134	\$77,134
Preparing High Quality Teachers During Covid-19 and Beyond	N/A	\$271,353	\$271,353
Collaborative to increase health personnel for underserved	N/A	\$163,400	\$163,400
Communities to Careers/Pipeline Program	N/A	\$300,000	\$300,000
Total Request		\$1,248,340	\$1,248,340


Approval Request: \$ for 14 EXPANDED RPSPs for FY23

	Current Funding	Expansion request	Total
African American Student Success	\$106,600	\$65,000	\$171,600
Athletics	\$4,188,600	\$2,000,000	\$6,188,600
Chicana and Chicano Studies	\$91,000	\$350,000	\$441,000
Educational Television	\$1,023,600	\$23,400	\$1,047,000
El Centro de la Raza/Hispanic Student Center	\$132,300	\$398,200	\$530,500
Native American Studies	\$182,400	\$67,600	\$250,000
Center for Native American Health (CNAH)	\$240,500	\$73,400	\$313,900
Comprehensive Movement Disorders Center	\$273,500	\$1,004,200	\$1,277,700

ATTACHMENT A Cont.

(attachment to the minutes of the August 19, 2021 BOR)


**Approval Request: \$ for 14 EXPANDED RPSPs
for FY23
(Continued)**

	Current Funding	Expansion request	Total
Graduate Medical Education	\$1,997,200	\$294,500	\$2,291,700
Minority Student Services/Building Outstanding STEAM-H Students (BOSS)	\$166,800	\$83,200	\$250,000
New Mexico Poison and Drug Information Center (NMPDIC)	\$1,503,700	\$305,300	\$1,809,000
New Mexico Office of the Medical Investigator (OMI)	\$5,541,600	\$1,723,000	\$7,264,600
New Mexico Office of the Medical Investigator (OMI) Grief Services Program	\$202,600	\$64,500	\$267,100
Project ECHO	\$2,537,500	\$4,000,000	\$6,537,500
Total Request	\$18,187,900	\$10,452,300	\$28,640,200


Total FY23 New and Expansion RPSP Request

- **Total FY23 RPSP request: \$63,899,140**
 - **New RPSPs: \$1,248,340**
 - **Expanded RPSPs: \$28,640,200 (\$10,452,300 expansion)**
 - **Flat RPSPs: \$34,010,600**

DRAFT (Unofficial until Approved by the Board of Regents)
Minutes of the Special Meeting of
The Board of Regents of the University of New Mexico
September 14, 2021; 9:00 AM
Virtual meeting via Zoom; livestreamed for public viewing

Members Present

Douglas M. Brown, President
Kim Sanchez Rael, Vice President
Sandra K. Begay, Secretary/Treasurer
Jack L. Fortner
Randy Ko
Robert L. Schwartz

Members Not Present

William H. Payne

Administration Present

Garnett S. Stokes, President; James Holloway, Provost and EVP for Academic Affairs; Teresa Costantinidis, SVP for Finance and Administration; Douglas Ziedonis, EVP of UNM Health Sciences and CEO of the UNM Health System; Loretta Martinez, University Counsel; Assata Zerai, VP for Equity and Inclusion; Terry Babbitt, President's Chief of Staff; and others

Presenters

Tom Neale, Director, Real Estate Department

CALL TO ORDER AND CONFIRMATION OF A QUORUM

Regent President Douglas M. Brown called the meeting to order at 9:08 AM and confirmed a quorum with 6 members present, virtually via Zoom. Regent William Payne could not attend the meeting.

(There were technical difficulties with the live stream at the beginning of the meeting. The virtual meeting was recorded as per the usual. Subsequent to the meeting, University Counsel Martinez informed the NM Attorney General's Office of the technical difficulties and the intent to post the recording of the meeting on the public website as soon as the recording was made available.)

VOTE TO ADOPT THE AGENDA

The motion to adopt the agenda passed unanimously (1st Fortner; 2nd Schwartz; roll call vote – all members voted yes).

ACTION ITEM: AUTHORIZATION FOR LAND SALE OF 961 BUENA VISTA DRIVE SE TO CNM: CENTRAL NEW MEXICO COMMUNITY COLLEGE AND USE OF SALE PROCEEDS FOR A UNM MASTER CAPITAL PLAN

Teresa Costantinidis introduced the item. The authorization for UNM to sell the property at 961 Buena Vista Dr. to CNM was presented to the Regents a second time (the first time was at the August 19 meeting) with a new twist, to use the sale proceeds to pay for a comprehensive capital master plan for the University with the intention to include in the master planning process consideration of a possible future location for family housing at UNM. As presented in the document provided to the Regents, the estimated market value of the property in its current condition is \$1,090,000, and that's equal to an appraised value of \$4,950,000, less an actual bid of \$3,860,000 for the required removal of improvements before the site may be redeveloped. CNM has offered \$1.5 million for the site "as is" with the intention of constructing a trade and applied technologies facility, so the use of the site is consistent with joint New Mexico higher education institutional missions. Administration is asking for the funds to pay for a comprehensive UNM capital plan that will cover all of UNM, including Albuquerque campuses, Mesa Del Sol, as well as the Branch campuses and the UNM Health

Science campuses. SVP Costantinidis said that others were attending the meeting who were ready to answer questions about any aspects of the proposal.

Regent Brown requested more information on the history of how the valuation was determined and subsequent negotiations with CNM. Tom Neale outlined the history. The UNM Real Estate department assessed the highest and best use of the property and determined that it was to redevelop with a multifamily housing project at a higher density than currently exists. The property currently has a development density of 15 units per acre, and based on conversations with local brokers and the appraiser, the market would develop the vacant site to a much higher density of 25 units per acre. The land value based on 25 units per acre came to \$4,950,000, so less the cost to raze the improvements (\$3,860,000), the final value came to \$1,090,000; however, it was thought that UNM could do better if the market was tested. There were conversations with a local broker about options to list the property, and they brought in one of the largest housing developers to tour the site, Greystar, which has several projects in Albuquerque and is the largest developer in the country. This provided further confirmation that the improvements were at the end of their useful life and the value would be dependent on the ability to achieve greater density through conversations with the city and as dictated by the zoning code. During the process, UNM informed CNM that the site was going to be available, but that \$1,090,000 was not a price UNM wanted to let it go for, so CNM offered \$1,500,000 which Mr. Neale confirmed was a very fair offer given the condition of the property. Additionally, the liability and risk of holding a 200-unit vacant apartment complex was taken into consideration, as outlined in detail in the memo to the Regents.

Regent Brown thanked Mr. Neale and asked if Regents had further questions about that or other aspects of the sale before getting into the strategic master planning aspect. He clarified that he didn't think it was appropriate that Regents try to solve in great detail the master planning effort, because the proposal was new and deserved a little more preparation and background. He asked if Regents had general comments addressing the family student housing need and how that process should ensue.

Regent Begay agreed that further studies on the housing options were necessary because by comparing UNM's availability versus what's out there on the open market, only the surface of that had so far been touched. Regent Begay added that she was excited about putting effort and funds into a master plan because currently there are bits and pieces of a plan across the University, and putting it together as a comprehensive master plan is a grand idea.

Regent Jack Fortner said he was satisfied with the information that he had received and he motioned approval; Regent Rob Schwartz seconded the motion; there was further discussion.

Regent Schwartz commented that he had not heard about the earmarking of the funds until recently, and the Regents had not formally discussed that, adding that he didn't know whether it's the highest need of the University - "I don't like the idea of earmarking funds in that way, having the Regents decide how that money is going to be spent under these circumstances, but I must say I am convinced that this is such an important need that I'm willing to support this in any case, and I appreciate the work that the administration has done on making these arrangements."

The motion to approve the Land Sale of 961 Buena Vista Drive SE to CNM and use the sale proceeds toward development of a UNM Master Capital Plan passed unanimously (1st Fortner; 2nd Schwartz; roll call vote – all members present voted yes).

After the vote, Regent Brown thanked administration for the additional idea of folding this into a master plan - "I think that's very appropriate, but I think we would like to hear a lot more about that...it'll be a very worthwhile and overdue exercise."

VOTE TO CLOSE THE MEETING AND PROCEED IN EXECUTIVE SESSION (roll call vote)

Regent Begay motioned to close the meeting; Regent Fortner seconded; all members voted yes. The meeting closed at 9:17 AM.

1. Discussions, and where appropriate determination, of matters subject to attorney-client privilege pertaining to threatened or pending litigation, as permitted by Section 10-15-1(7), NMSA (1978)
2. Discussions of bargaining strategy preliminary to collective bargaining and collective bargaining between a policymaking body and an employee bargaining unit, as permitted by Section 10-15-1H(5), NMSA (1978)

VOTE TO RE-OPEN THE MEETING AND CERTIFICATION THAT ONLY THOSE MATTERS DESCRIBED IN THE CLOSED SESSION AGENDA WERE DISCUSSED AND IF NECESSARY, FINAL ACTION WITH REGARD TO CERTAIN MATTERS WOULD BE TAKEN IN OPEN SESSION

The meeting re-opened at 9:40 AM; Regent Brown certified that only matters described in the closed session agenda were discussed during executive session and confirmed that there were no items upon which the Board needed to take action in open session.

University Counsel Martinez advised the Regents to redo their vote on the open session action item, since there were technical difficulties with the live stream earlier in the meeting. Following Counsel's advice, the Regents reasserted the previous decision on the open session action item, unanimously approving the sale of the 961 Buena Vista Drive SE property to CNM with use of the sale proceeds for a UNM Master Capital Plan (1st Fortner; 2nd Schwartz).

ADJOURN

There being no further business, Regent Brown asked for a motion to adjourn the meeting. Regent Fortner motioned; Regent Schwartz seconded; all were in favor; the meeting adjourned at 9:44 AM.

Approved:

Attest:

Douglas M. Brown, President

Sandra K. Begay, Secretary/Treasurer



Public Comment

[Limit 3 minutes per comment; written comments sent to regents@unm.edu are welcomed]



President's Administrative Report

Garnett S. Stokes

The President will deliver her report at the meeting



UNM Foundation

Fiscal Year 2020-2021 Review



THE UNIVERSITY OF
NEW MEXICO
FOUNDATION



Fundraising Overview

- UNM raised over \$85 million in new commitments, surpassing the goal of \$80 million.
- Main Campus and Health Sciences achieved 129% and 122% of their respective goals.
- The central development units achieved 130% of their goal.



Consolidated Investment Fund (CIF)



- The Consolidated Investment Fund's (CIF) performance was impressive, gaining 31.3% over the last fiscal year.
- The value of the CIF portfolio as of June 30 was \$579 million.





Consolidated Investment Fund (CIF)



- The CIF distributed \$18.3 million to support students, faculty, research, programming, and patients.
- More than \$9 million of the CIF distributions support UNM students.
- When combined with current use funds, the Foundation distributed \$40.7 million to UNM in FY 21.





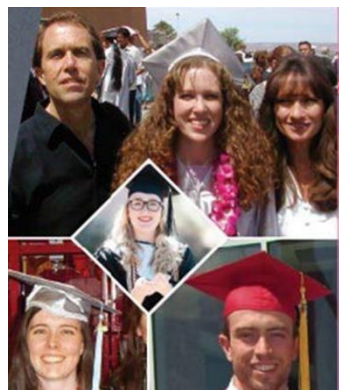
- UNM awarded over one hundred Presidential Scholarships to New Mexico high school graduates in FY21.
- There are currently 400 UNM Presidential Scholars representing more than 90 different public, private, even home schools of every size, and from every corner of our state, from Cimarron and Cuba to La Cueva and the Albuquerque Academy.





A Donor's Story

Sandy and Marshall Henrie



"We have now joined the family of donors who touch the lives of ambitious and committed New Mexicans. We now cheer along with this next generation of Scholars with a hope for bright possibilities yet to come."

- Recently created three Presidential Scholarships.
- Parents to three Presidential Scholar Alumni.
- Grandparents to one current Presidential Scholar at UNM.
- Multi-generation Presidential Scholar family.

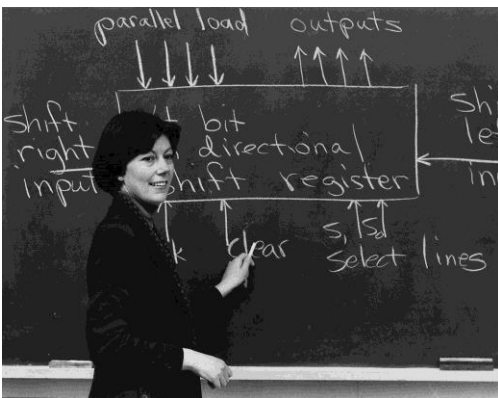


A Donor's Story



Delores Etter

- The first woman to get her PhD in engineering from The University of New Mexico.
- She became a professor at the School of Engineering for roughly the next decade.
- Served as Deputy Under Secretary of Defense for Science and Technology and Assistant Secretary of the Navy for Research, Development and Acquisition.





Strategic Plan

- The UNM Foundation began FY21 by identifying 19 areas of priority that support our overarching strategic goals as articulated in *Empower*, the Foundation's strategic plan.
- The Foundation achieved 100% completion of all 19 strategic priorities and made substantial progress on tactics for this new fiscal year.





Strategic Plan



- Finalized the Foundation's first Diversity, Equity, and Inclusion (DEI) plan.
- Established through qualitative and quantitative means, a baseline understanding of donors and non-donor attitudes, perceptions, and engagement levels.
- Established new job categories to help further evolve the Foundation as a destination workplace for advancement professionals.
- Completed a communications plan outline and summary for the Foundation.





New Board Members



James Ellis (San Marino, CA) UNM, 68



Francine Gaillour (Bellevue, WA) UNM, 81



Monica Jojola (Albuquerque, NM)



Brad Preber (Phoenix, AZ) UNM, 81





New Board Members



Donald A. Godwin,
Dean, UNM College of Pharmacy



Harris Smith,
Dean of the UNM College of Fine Arts



Mike Silva,
President of the UNM Alumni Association





Board Officers

- **Randy Velarde** (Houston, TX) Immediate Past Chair and Chair of the Governance Committee.
- **Ryan Mummert** (Albuquerque, NM) Chair of the Board of Trustees and the Executive Committee.
- **Felicia Finston** (Placitas, NM) Vice-Chair/Chair-Elect for a two-year term.
- **Lameck Lukanga** (Beverly Hills, CA), was elected as Assistant Treasurer and Chair of the Finance Committee.
- **Cheryl Fossum Graham** (Santa Fe, NM) Board Secretary.
- **Tom Daulton** (Dallas, TX) National Vice-Chair of the Board of Trustees.





FY 21/22 Board Priorities



- Collaborate with UNM to frame priorities for future campaign.
- Share accountability for successful campaign planning, implementation and achievement.
- Set the stage for modeling personal philanthropy and serve on various committees as requested.
 - Develop a personal work plan.
- Anticipate financial challenges and external threats.
- Involve State leaders – partner with the University to engage legislators.





FY 21/22 Overall Foundation Priorities

- Fundraising Goal: \$88 Million.
- Continue advancing implementation of *Empower*, the Foundation's 2020-25 Strategic Plan.
- Finalize planning for next comprehensive campaign including building off the UNM 2040 project to create a compelling value proposition.
- Advance university-wide projects: New Mexico Research Innovation Collaborative building; Grand Challenges; Lobo Food Pantry; ROTC.
- Enhance grateful patient giving program to include expansion of participating departments, increase inclusiveness of units outside of medicine, build donor acquisition component, and roll out provider training.





Preparing for the Next Campaign

- **Inclusive:** Encourage and invite broad participation across the University community
- **Strategic:** Informed by UNMF's Empower strategic plan and the UNM Vision 2040
- **Comprehensive:** All units will be part of the campaign
- **Collaborative:** Engaging leaders across UNM



Thank You



unmfund.org

Approval of Consent Docket

Action items on Regent's Committee agendas may be moved to the Board of Regents' consent docket; items on the consent docket received unanimous approval in committee; per Regents' Policy 1.2, "*Any member of the Board of Regents shall have the right upon request to remove any item from the Board's consent agenda and place the item on the Board's regular agenda for discussion.*"

- A. [SSTAR]* Approval of Posthumous Degrees:.....C-1
 - 1. Brandon Ray – B.S. Biology
 - 2. Michael Sanchez – B.S. Computer Science with Minor in Psychology
- B. [SSTAR] Approval of Form D's (New Graduate Programs)
 - 1. Graduate Certificate in Elementary Education with K-8 LicensureC-2
 - 2. Graduate Certificate in Indigenous Planning.....C-3
- C. [SSTAR] & [HSSC]* Approval of Endowed Professorships (Naming Items) and Approval of Appointments to Endowed Professorships and Chairs:C-4
 - 1. Creation of the, 'Robert M. Faxon Jr. Endowed Professor in Neuro-Oncology'
 - 2. Appointment of Dr. Sara G.M. Piccirillo, PhD as the, 'Robert M. Faxon Jr. Endowed Professor in Neuro-Oncology'
 - 3. Appointment of Dr. Anne Greene, MD as the holder of the, 'Dr. Irene Uhrlik Boone Endowed Chair in Pediatrics'
 - 4. Appointment of Dr. Zoneddy Ruiz Dayao, MD as the, 'Maralyn S. Budke Endowed Professor in Cancer Care Delivery'
 - 5. Creation of the, 'Dana C. Wood Professor in Cancer Immunotherapy'
 - 6. Appointment of Dr. Eric C. Barte, PhD as the, 'Dana C. Wood Professor in Cancer Immunotherapy'
 - 7. Creation of the, 'Victor and Ruby Hanson Surface Professorship in Cancer Population Sciences and Cancer Health Disparities'
 - 8. Appointment of Dr. Shiraz Mishra, MBBS, PhD as holder of the, 'Victor and Ruby Hanson Surface Professorship in Cancer Population Sciences and Cancer Health Disparities'
 - 9. Creation of the, 'Victor and Ruby Hanson Surface Professorship in Cancer Health Disparities and Community Engagement'
 - 10. Appointment of Dr. Andrew Sussman, PhD as holder of the, 'Victor and Ruby Hanson Surface Professorship in Cancer Health Disparities and Community Engagement'
- D. [HSSC] Approval of Other Naming Items:C-5
 - 1. Establish the, 'Gorvetzian Professorship Quasi-Endowment'
 - 2. Establish the, 'Peter A. Winograd Research Professorship Quasi-Endowment'
- E. [HSCC] Approval of UNM Hospital Items:C-6
 - 1. Cerner Corporation – 7 Year Initial Term Agreement e-Consent Software System (\$2,275,648)
 - 2. Cerner Corporation – 5 Year Agreement Cloud-Based Dictation and Transcription Platform Software (\$5,000,000)
 - 3. Capital Project – UNMH Crisis Triage Center Design (\$2,900,000)
 - 4. Capital Project – Psychiatric Emergency Services Design (\$1,750,000)
 - 5. Capital Project – UH Main 2nd Floor Sterile Processing Department – Decontamination Renovation (\$1,290,000)
 - 6. Capital Project – 1600 University Eye Clinic Renovation and Addition (\$5,750,000)
 - 7. Capital Project – Operational Project – UNMH Main Section 16 Roof and Skylight Replacement (\$1,225,000)

Consent Docket Cont.


F. [HSSC] Approval of UNM Medical Group Election of Directors	C-7
1. Michael Richards, MD, MPA, Interim Dearn, UNM School of Medicine	
2. Aimee Smidt, MD, Department of Dermatology, UNM School of Medicine	
G. [HSSC] Approval of the Appointment of Dr. Christopher Guest, MD to the SRMC Board of Directors	C-8
H. [F&F]* Approval of Contracts:.....	C-9
1. NMPBS – SpectraRep Agreemen	
2. NEC Enterprise Voice System Maintenance Renewa	
3. Microsoft A5 Licensing for UNM Main and Branches	
I. [F&F] Approval of Project Construction:	
1. Fire Safety Improvements: Fitz Hall & BRF	C-10
2. Los Alamos Library Renovation Re-Approval.....	C-11
3. Cancer Research Facility Roof Replacement	C-12
4. Central & Girard Site Improvements	C-13
5. Clinical Translational Science Center Roof Replacement.....	C-14
J. [F&F] Approval of Lobo Development Corporation Board of Directors and Reappointment of Four Non-Positional Directors:.....	C-15
1. Louis Abruzzo 3. Steven Ciepiela	
2. Maria Griego-Raby 4. Rick Siegel	
K. [F&F] Approval of Appointment and Reappointment of Board Nominated Members to Harwood Foundation Governing Board:	C-16
1. Scott McAdams 2. Karl Halpert (reappointment)	

[END CONSENT DOCKET]

* [SSTAR] Student Success, Teaching and Research; [F&F] Finance & Facilities; [HSCC] Health Sciences Center Committee

August 25, 2021

TO: Board of Regents Student Success, Teaching and Research
Committee

FROM: Nancy D. Middlebrook, University Secretary 

SUBJECT: Posthumous Degree Request for Brandon Ray

The Faculty Senate approved the posthumous degree for Brandon Ray at the February 23, 2021, Faculty Senate meeting.

Attached is the posthumous degree request.

Please place this item on the next SSTAR agenda for consideration.

Please feel to contact me at ndm@unm.edu if you need additional information.

Attachment

.



Posthumous Degree Request Form

Request Initiator: William Pockman, Professor and Chair Contact: pockman@unm.edu; 505-620-7320

Relationship to student or UNM: Chair of Biology, Student's major department

Would you like the Dean of Students to contact the family regarding this request? ☒ Yes ☐ No
not necessary, but might be

Dean of Students Notification: appropriate


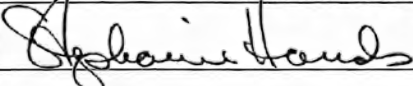

Student Name:	Brandon Ray		
Student ID:	101597873		
College:	Arts and Sciences	Degree:	BS
Major(s):	Biology		
Concentration(s):			
Minor(s):			

The University of New Mexico recognizes that earning an academic degree is a matter of legitimate pride in achievement not only for students themselves but also for the family members and friends who provide students with vitally important support and encouragement during the course of their studies. UNM also recognizes that not only the degree, but also significant progress in an academic program is, under certain circumstances, an achievement which warrants special recognition.

Accordingly, the University of New Mexico will make available "posthumous degrees" of appropriate type and level to be bestowed upon a student who dies before s/he is able to complete his/her program.

These degrees may be granted under the following circumstances and terms:

1. The student must be in degree status and either currently enrolled or enrolled in the academic year previous to his/her death; **yes**
2. The student must have completed a minimum of half of the credits required for the degree; **yes**
3. Requests for posthumous degrees may be initiated by the student's family, the faculty of the department and/or college, or a UNM administrator; **department initiated**
4. The department, the college and the Faculty Senate must approve requests for posthumous degrees. The Senate Graduate Committee must also review and provide recommendation on requests for graduate level posthumous degrees;
5. The degree will be noted as "posthumous" on both the diploma and the transcript.

Approvals	Name	Signature	Date
Department :	William Pockman Professor and Chair	 Digitally signed by William Pockman Date: 2021.01.19 20:24:10 -07'00'	1/19/21
College :	Stephanie Hands Dir., A&S Ctr. Academic Success		5/12/21
Grad. Committee (if necessary):			
Faculty Senate:	Nancy D. Middlebrook, University Secretary		5/13/21



DEPARTMENT OF
BIOLOGY

William T. Pockman

Professor and Chair

Tel: (505) 277 2724

Fax: (505) 277 0304

E-mail: pockman@unm.edu

January 28, 2021

TO: Lisa Lindquist, Program Director, Lobo Respect Advocacy Center


FROM: William Pockman, Chair, Department of Biology

RE: Posthumous degree request for Brandon Ray (101597873)

I'm writing to request that the University of New Mexico award a Posthumous degree to Mr. Brandon Ray. Brandon transferred to UNM after starting his studies at SIPI, where he maintained a 4.0 GPA. Brandon was a Biology major with senior standing, 188 CR earned across SIPI and UNM, and needing only a single upper-division course in Biology and a single supportive course to complete his degree. He passed away in November 2020 before he could complete his degree. Brandon's former mentor at SIPI may be able to provide a summary of Brandon's path to UNM but from the perspective of the department his transcript and work make it clear that a posthumous degree is appropriate to honor his accomplishments.

August 25, 2021

TO: Board of Regents Student Success, Teaching and Research
Committee

FROM: Nancy D. Middlebrook, University Secretary 

SUBJECT: Posthumous Degree Request for Michael Sanchez

The Faculty Senate approved the posthumous degree for Michael Sanchez at the August 24, 2021, Faculty Senate meeting.

Attached is the posthumous degree request.

Please place this item on the next SSTAR agenda for consideration.

Please feel to contact me at ndm@unm.edu if you need additional information.

Attachment

.



Posthumous Degree Request Form

Request Initiator: Darko Stefanovic Contact: darko@cs.unm.edu

Relationship to student or UNM: Chair, Computer Science

Would you like the Dean of Students to contact the family regarding this request? ☒ Yes ☐ No

Dean of Students Notification: Charles Fleddermann, SOE Associate Dean cbf@unm.edu


Student Name:	Michael A. Sanchez		
Student ID:	101823999		
College:	School of Engineering - Computer Science	Degree: BS CS	
Major(s):	Computer Science		
Concentration(s):			
Minor(s):	Psychology		

The University of New Mexico recognizes that earning an academic degree is a matter of legitimate pride in achievement not only for students themselves but also for the family members and friends who provide students with vitally important support and encouragement during the course of their studies. UNM also recognizes that not only the degree, but also significant progress in an academic program is, under certain circumstances, an achievement which warrants special recognition.

Accordingly, the University of New Mexico will make available "posthumous degrees" of appropriate type and level to be bestowed upon a student who dies before s/he is able to complete his/her program.

These degrees may be granted under the following circumstances and terms:

1. The student must be in degree status and either currently enrolled or enrolled in the academic year previous to his/her death;
2. The student must have completed a minimum of half of the credits required for the degree;
3. Requests for posthumous degrees may be initiated by the student's family, the faculty of the department and/or college, or a UNM administrator;
4. The department, the college and the Faculty Senate must approve requests for posthumous degrees. The Senate Graduate Committee must also review and provide recommendation on requests for graduate level posthumous degrees;
5. The degree will be noted as "posthumous" on both the diploma and the transcript.

Approvals	Name	Signature	Date
Department :	Darko Stefanovic, Chair	 Digitally signed by Darko Stefanovic Date: 2021.03.21 14:21:57 -06'00'	21 March 2021
College :	Charles Fleddermann, Associate Dean		22 March 2021
Grad. Committee (if necessary):			
Faculty Senate:	Nancy D. Middlebrook, University Secretary		8/24/21



March 21, 2021

Dr. Charles B. Fleddermann
Associate Dean for Academic Affairs and Community Engagement
School of Engineering

Dear Dean Fleddermann,

I am writing to offer support for the request, attached herewith, to grant a posthumous degree of Bachelor of Science in Computer Science, to our late student Michael A. Sanchez.

Michael was not only on the path to receive his BS degree, but he had been admitted to the shared credit program and had started his first MS-level class. He was a strong student. He had already completed a minor, in psychology, which is one of our requirements. He had strong grades in computer science courses. For example, he took the accelerated 5-credit Java course CS259L and earned an A. He completed the most challenging programming course, CS351. Clearly, he was within a year of completing the BS degree requirements.

I had good reports on Michael's interest in research and teaching, and I had placed him on the shortlist for students to invite to serve on the department's advisory board.

In closing, while nothing can replace Michael's presence, I ask for your support that the University may award Michael the posthumous degree and thus recognize the promise that he held.

Sincerely yours,

A handwritten signature in black ink, appearing to read 'Darko Stefanovic'.

Darko Stefanovic
Department Chair and Professor
Department of Computer Science
University of New Mexico
Albuquerque, NM 87131
United States of America
darko@cs.unm.edu
+1 505 2776561

Prof. Lydia Tapia
1 University of New Mexico
Department of Computer Science
Albuquerque, NM 87131-0001
505-277-0858
tapia@cs.unm.edu

March 22, 2021

Prof. Charles B. Fledderman
Associate Dean for Academic Affairs and Community Engagement
The University of New Mexico

Dear Dean Fledderman,

I am writing to lend my support for the posthumous degree of Bachelor of Science in Computer Science to Michael Sanchez. He was well a well respected student in our department, both by faculty and his peers. He was always willing to help another student out with their coding project or homework. I recommended him to the McNair program, and I've included an excerpt from that recommendation letter here:

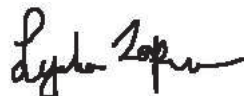
"I met Michael in the Fall of 2018 when he took my CS 259 Data Structures with Java Course. This was a five credit course only offered for top incoming students who could manage the material from two introductory core classes within a semester timeframe.

Our projects in CS 259 were complex, and Michael was a student who really dove in and tried to identify solutions on his own. Both the TA and I were often shocked about how he would find solutions without much guidance, often specialized functions that directly solved the problem. This meant he had to read through code documentation in order to find these functions and apply them. Honestly, this wasn't the best solution, and we told him. But, it was very tenacious, a characteristic not often seen in a first year student! Michael took the criticism well, and we worked with him to learn when to spend time re-implementing vs. looking up a solution.

I encouraged Michael to apply to McNair, given the productivity and persistence I had seen in the classroom. I also began him on a research project so he could determine if the fifth year MS degree (shared credit) or just pursuing a Ph.D. would be a better choice for him."

Michael was the student we, as faculty, join academia to reach. He was a student who had been adopted out of foster care, served in the military, and who had come to our program to achieve a dream of being a computer scientist. While I would have rather have attended his graduation and thanked his adoptive parents and wife for lending him to us, I feel this degree is the least we can do to recognize his efforts in our program.

Thank you,
Prof. Lydia Tapia





COLLEGE OF EDUCATION & HUMAN SCIENCES

FORM D

*GRADUATE CERTIFICATE IN ELEMENTARY EDUCATION WITH
K-8 LICENSURE*

MARJORI KREBS, PROFESSOR

COORDINATOR, ELEMENTARY & SECONDARY GRADUATE LICENSURE

TEACHER EDUCATION, EDUCATIONAL LEADERSHIP & POLICY

ABILITY TO TEACH THE PROGRAM EFFECTIVELY

We have expert faculty in teacher preparation who have been teaching graduate licensure students through alternative licensure pathways for over 10 years.

Principal Survey Responses: 100% would recommend hiring a COEHS graduate to their colleagues, with 86% recommending to a “high” or “exceptional” degree.

RATIONALE

By establishing this graduate certificate in Elementary Education with K-8 Licensure the College of Education & Human Sciences will be able to:

❖ **Work to decrease the teacher shortage** in the state by preparing teachers who possess professional understandings, practices, and identities that enable them to provide meaningful learning experiences of the highest quality for all K-8 students.

❖ **Recruit UNM graduate students and career changers** from New Mexico and other areas of the country to become teachers through our 2-semester teacher preparation program.

❖ **Accurately track licensure student enrollments** and completions at the graduate level.

BUDGETARY IMPACT

- No new faculty hires.
- Maintain current workloads until enrollment increases, necessitating opening additional teacher preparation sections.
- No additional space, technology, or equipment needs.

Questions?

Thank you for your
consideration of this
proposal.

NEW GRADUATE DEGREE OR GRADUATE CERTIFICATE FORM D

UNIT PREPARES IN QUADRUPLICATE
Route as indicated below under approvals. Return to the Registrar's Office once all signatures have been obtained.

Date: August 26, 2020

Marjori Krebs

(Name of individual initiating Graduate Degree or Graduate Certificate)

Professor 505-277-0602

(Title, position, telephone number)

mkrebs@unm.edu

(Email address)

Teacher Education, Educational Leadership & Policy

(Department/Division/Program)

***Allow up to one year for the process to be completed for a certificate, and 18 months for a degree.**

Note: Proposals for new graduate degrees or graduate certificates need to follow an approved format. Please call the Office of Graduate Studies and ask for an outline. Revisions of graduate degrees and some new certificates also may need state approval, depending on the extent of changes proposed. Please consult the Office of the Provost for advice prior to initiating this form.


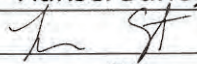
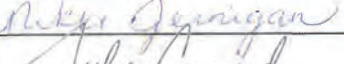
Attach the following required documents:

1. Executive Summary.
2. Program Proposal (in the approved format).
3. Catalog Description (to include program curriculum).
4. Graduate Program Projected Costs (only for new degrees).
5. Library Impact Statement.

Does this new degree affect any existing program? Yes ☒ No ☐ If yes, attach statement.

Proposed date to admit new students: Term Summer Year 2021

Required Signatures:

Department Chair 
College Curricula Committee Cheryl Torrez
College or School Dean Hansel Burley Digitally signed by Hansel Burley
Dean of Library Services  Date: 2020.10.26 10:22:24 -06'00'
Office of the Registrar—Catalog Michael Raine
FS Graduate Committee 
Dean of Graduate Studies Julie Connors
FS Curricula Committee Angeline Delucas, DNP, MPH, RN
Office of the Provost Pamela Cheek
Faculty Senate _____
Board of Regents _____

Date 8/26/20
Date 9/17/20
Date _____
Date 9/3/20
Date _____
Date 01/06/2021
Date 01/07/2021
Date _____
Date May 12, 2021
Date _____
Date _____

Additional Approvals for Degrees:

Board of Regents _____ Date _____
Council of Graduate Deans _____ Date _____
Academic Council of Higher Education _____ Date _____
Higher Education Department _____ Date _____
State Board of Finance _____ Date _____

Entered Banner

Entered Catalog

For Registrar's Office ONLY:

Copies Mailed



From: Dr. Pamela Cheek, Associate Provost for Student Success

A handwritten signature in blue ink, appearing to read 'Pamela Cheek', written over a light blue horizontal line.

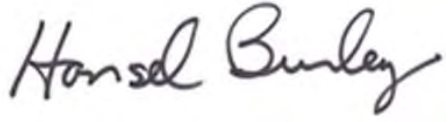
To: Dr. Marjorie Krebs, Department of Teacher Education, Educational Leadership and Policy (TEELP) in the Elementary Education Program, College of Education and Human Sciences

Re: Transcribed Graduate Certificate in Elementary Education with K-8 Licensure

Date: May 27, 2020

The proposed new Transcribed Graduate Certificate in Elementary Education with K-8 Licensure is an extremely important program for the State of New Mexico. As the representative in the Office of the Provost and EVP for Academic Affairs charged with communicating provost-level approval for moving forward with development and review of the full program proposals, let me communicate my enthusiastic support of this. As you develop the graduate certificate, I hope that TEELP can consider ways of coordinating with undergraduate degree programs in other colleges, including UNM's College of Arts & Sciences and College of Fine Arts, to create streamlined pathways for students in these colleges to complete the certificate.

cc. Dr. Deborah Rifkenbary, Interim Dean of the College of Education and Human Sciences
Dr. Hansel Burley
Robben Brown, Graduate Studies
Michael Raine, Associate Registrar



From: Hansel Burley, Dean

To: Dr. Marjorie Krebs, Elementary Education Program, Department of Teacher Education,
Educational Leadership and Policy (TEELP), College of Education and Human Sciences

RE: Support for Transcribed Graduate Certificate in Elementary Education with K-8 Licensure

Date: August 25, 2020

I fully support the proposed new Transcribed Graduate Certificate in Elementary Education with K-8 Licensure. The new certificate will prepare in-service educators and others interested in expanding their knowledge and teaching skills to better support the education of New Mexico's youth. The payoff should be teachers with stronger skills producing better educational outcomes. Additionally, this certificate should serve as a gateway to TEELP master's programs for in-service educators and as a postbaccalaureate finishing step for students receiving undergraduate degrees in the College of Arts and Sciences. Ultimately, students receiving this certificate will not only help raise the quality of teaching, their improved skills will help increase the number of teachers in schools. This effort has my endorsement.

September 2, 2020

Dr. Marjori Krebs
Professor
Department of Teacher Education, Educational Leadership & Policy

Dear Dr. Krebs,

The University Libraries has reviewed the Department of Teacher Education, Educational Leadership & Policy's graduate certificate program in Elementary Education for K-8 Licensure. Since the Library is already supporting the teaching and research needs of the faculty and students of the established courses that comprises this certificate program, we should not need to add any new resources to continue to support these classes.

If any new courses are added to the curriculum or new faculty is added to support this certificate program, it is possible that new or additional library resources will be needed to support the teaching and research needs of students and faculty. Since the University Libraries operate with limited resources, we may have to cancel some existing resources in support of the Department of Teacher Education, Educational Leadership & Policy to meet any future needs for new information resources.

Sincerely,



Laura Soito
Associate Professor
Acquisitions & Electronic Resources Coordinator
College of University Libraries & Learning Sciences
lsoito@unm.edu
505-277-4760

cc:

Fran Wilkinson, Interim Dean, College of University Libraries & Learning Sciences
Mark Emmons, Associate Dean, College of University Libraries & Learning Sciences

K-8 Licensure

FORM D DRAFT FULL PROPOSAL

Date [REDACTED]

In the enclosed packet you will find our request for a *Graduate Certificate in Elementary Education with K-8 Licensure*.

In accordance with the UNM Office of Graduate Studies Resources for Curriculum Forms Submissions, the proposal is being routed through:

- a) Program: Approved on April 20, 2020
- b) Department: Approved on August 17, 2020
- c) College Graduate Committee: Approved on [REDACTED]
- d) College: Approved on [REDACTED]
- e) College Dean: Approved on [REDACTED]
- f) Preliminary Review Memo sent to Provost's Office: Approved on May 27, 2020
- g) Registrar's Office: Approved on [REDACTED]
- h) Dean of Library Services: Approved on [REDACTED]
- i) Faculty Senate Graduate & Professional Committee: Approved on [REDACTED]
- j) Dean of Graduate Studies: Approved on [REDACTED]
- k) Faculty Senate Curricula Committee: Approved on [REDACTED]
- l) Office of the Provost: Approved on [REDACTED]
- m) Faculty Senate: Approved on [REDACTED]
- n) Board of Regents: Approved on [REDACTED]

This proposal is to create a new graduate certificate program with pre-existing coursework. Thank you for your consideration.

Sincerely,

Kristopher Goodrich, Chair
Department of Teacher Education, Educational Leadership, and Policy

Encl: 4 Document Sets
Provost Preliminary Review Memo
Form D
Executive Summary
Program Proposal
Catalog Description
Library Impact Statement

Proposal for a Transcribed Graduate Certificate in Elementary Education with K-8 Licensure

Executive Summary

The transcribed *Graduate Certificate in Elementary Education with K-8 Licensure* is designed for an individual holding a baccalaureate or advanced degree who seeks to pursue a New Mexico Level I Elementary Education Teaching License. This Graduate Certificate Program is open to current graduate students in other departments who seek to add this Graduate Certificate to their current programs of study, graduates with degrees in various fields, and career changers with diverse previous experiences from either the private or public sectors.

To obtain the proposed Graduate Certificate, students must successfully complete a minimum of 18 credit hours, including 12 credits of content methods courses and 6 credits of seminar and field experiences. Upon successful completion of certificate requirements and passage of state licensure tests, students are eligible to apply for a Level I Elementary Education Teaching License in New Mexico.

The *Graduate Certificate in Elementary Education with K-8 Licensure* will be housed in the College of Education & Human Sciences as part of the Department of Teacher Education, Educational Leadership and Policy (TEELP) in the Elementary Education Program.

New Graduate Certificate Preliminary Review Outline

1. Program Description

a. What is the program and why should we offer it? Include the program's major goals.

The *Graduate Certificate in Elementary Education with K-8 Licensure* is designed for an individual holding a baccalaureate or advanced degree to be able to pursue a New Mexico Level I teaching license in Elementary Education. The Graduate Certificate Program is open to recent graduates from varied fields (e.g., Liberal Arts, Global Languages, STEM, etc.) or career changers with diverse previous job experiences from either the private sector (business, industry) or the public sector (federal, state, or local employees) who are now interested in teaching as a career. To obtain the proposed certificate, students must successfully complete a minimum of 18 credit hours which include 12 credits (four courses) of methods courses and six credits (over two semesters) of seminar and field experiences. (A three-credit course in the teaching of reading will be a pre-requisite required for the graduate certificate's Reading Methods course.)

The major goals for the *Graduate Certificate in Elementary Education with K-8 Licensure* are:

- To recruit university graduate students and career changers in the New Mexico community and from other areas of the country for a post-BA licensure program that can be completed in two semesters;
 - To prepare teachers who possess professional understandings, practices, and identities that enable them to provide meaningful learning experiences of the highest quality for all K-8 students; and
 - To meet accountability measures within the university and outside accrediting agencies and the New Mexico Legislature for tracking program “completers.”
- Currently the licensure program is embedded in the master’s program which makes tracking student progress difficult when students opt out of the master’s program after completing licensure requirements. These students are recorded as “non-completers.” A separate graduate certificate will allow the College of Education and Human Sciences to track licensure student enrollments and completions at the graduate level.

b. How does the program fit within the participating unit’s future plans?

The proposed *Graduate Certificate in Elementary Education with K-8 Licensure* is housed within the Elementary Education Program in the Teacher Education, Educational Leadership and Policy Department (TEELP) of the College of Education and Human Sciences at UNM. In the most recent TEELP (2011) Academic Program Review Self Study, among the unit’s stated “future directions” were goals for “strengthening our connections within and beyond the university” and “teacher recruitment.” The proposed *Graduate Certificate in Elementary Education with K-8 Licensure* will recruit students in various departments across campus who

are completing bachelors' degrees, students in graduate programs who can add this certificate as part of their program of study, and employees in area businesses and organizations thus strengthening the unit's connections within and outside of the university.

In the 2011 Academic Program Review Committee Report for the Teacher Education Department, the review committee stated the following:

The department's self-study focuses on requests for additional resources and personnel to solve perceived problems and maintain existing programs. Our observations suggest that a more productive approach would be to rethink program design and delivery in the light of UNM's unique mission as a research-extensive institution and then to collaborate with the Dean to allocate existing and new resources to support new models of teacher education more appropriate to the state's flagship institution.

(2011 Final APR Committee Report, p. 1)

The proposed *Graduate Certificate in Elementary Education with K-8 Licensure* provides a new model of teacher education using existing resources, as well as providing research opportunities for faculty. The proposed program also has the potential to meet a number of the components of the former Dean of the College of Education's vision for the future of teacher education at UNM as stated in the APR:

- by utilizing *technological tools* currently available in the college for the 18 credit course offerings,
- by focusing on *data driven learner outcomes assessment* through the use of the Tk20 system that has been implemented, and, as stated above,
- by *providing research opportunities for faculty* to study best practices models of teacher preparation.

c. How does the program fit within the UNM mission and strategic plan? Does this

program address particular research priorities?

The recent economic downturn coupled with the Covid-19 pandemic has resulted in increased unemployment and many individuals seeking retraining in order to reenter the workforce. By providing an alternative route to K-8 licensure for UNM graduate students and career changers, the program fits within the University of New Mexico mission and strategic plan by contributing to the state and national economies as well as helping graduates lead satisfying lives as they leave the program and pursue teaching positions throughout the state of New Mexico, the nation, and beyond. In addition, this program allows students in various content area degree fields across the University to add teaching credentials to their programs of study.

New Mexico is in the midst of a dire teacher shortage. As of October 2019, there were 644 teacher vacancies in New Mexico (Southwest Outreach Academic Research Evaluation & Policy Center). Of those 644 teacher vacancies, the largest need is for elementary teachers (173 teachers; 27% of the total teacher vacancies). This *Graduate Certificate in Elementary Education with K-8 Licensure* will enable the Department of Teacher Education, Educational Leadership and Policy to package our elementary education licensure program for potential students. These students can complete this certificate and licensure requirements within one year, thus assisting to alleviate the severe shortage of elementary education teachers in New Mexico.

<https://alliance.nmsu.edu/files/2019/10/2019-New-Mexico-Educator-Vacancy-Report.pdf>

According to the Labor Analysis Statistics and Economic Research website (<http://laser.state.nm.us>), there is a need for teachers in New Mexico. Currently (June 2020) across the state of New Mexico there are 99 openings for Elementary Teachers, and only 38 candidates, with .38 candidates per job opening.

Overall employment of kindergarten and elementary school teachers is projected to grow 7 percent from 2016 to 2026, about as fast as the average for all occupations. Rising student enrollment should increase demand for kindergarten and elementary teachers.

The number of students enrolling in public kindergarten and elementary schools is expected to increase over the coming decade, and the number of classes needed to accommodate these students should rise. As a result, more teachers will be needed to teach public kindergarten and elementary school students. (New Mexico Workforce Connection, 2020).

The University of New Mexico has a stated commitment to increase graduation rates and to provide support and structures that will facilitate increased numbers of students completing degree and certificate programs. Within the TEELP Department, there are post-BA students who come with the desire to complete the requirements for teaching licenses so that they can enter the workforce expediently. It is not uncommon for students to enroll in the MA with Alternative Route to K-8 Licensure Program, complete the licensure requirements, and then drop out of the program, thus being counted as “non-completers.” The proposed *Graduate Certificate in Elementary Education with K-8 Licensure* would address this issue by providing students with a licensure-only option that would allow them to have their program completion appear on their transcripts as a Graduate Certificate and allow them to enter the work force sooner.

Teacher education programs are being examined and audited as the accountability movement has turned its gaze on institutions of higher education. Federal and state agencies are asking how teachers are being prepared and what can be done to improve teacher quality in order to improve K-12 student academic achievement. Providing accurate and complete data to outside accrediting agencies, as well as for analysis by faculty for continuous improvement, is imperative. The proposed *Graduate Certificate in Elementary Education with K-8 Licensure* would allow for a more accurate tracking of licensure student completers. The proposed certificate program would also provide an opportunity to faculty for studying best practices in a teacher preparation program that focuses on licensure for post-BA students.

d. Does the program overlap or duplicate any existing program within UNM? In the state and/or region?

The *Graduate Certificate in Elementary Education with K-8 Licensure* will replace the current MA with Alternative Route to K-8 Licensure, therefore this new program does not overlap or duplicate any existing program within the University of New Mexico. All universities in New Mexico are required by the New Mexico Public Education Department to provide an alternative licensure route to teaching licensure. This Graduate Certificate satisfies this requirement.

e. What is the governance structure of the program?

Currently the alternative licensure pathway for elementary education licensure is housed within the Elementary Education Program in the TEELP Department. The *Graduate Certificate in Elementary Education with K-8 Licensure* will be governed by Elementary Education Program faculty and monitored and supported by the Chair of the TEELP Department. As is the practice in the College of Education and Human Sciences, the initial approval for the *Graduate Certificate in Elementary Education with K-8 Licensure* includes review and approval by the Elementary Education Program Faculty, the TEELP Department Faculty, the COEHS Graduate Committee made up of representatives from all academic program units within the College, the College of Education full faculty, the COEHS Dean, the UNM Senate Graduate Committee, the UNM Faculty Senate, and the Provost. No new courses are included in this proposal. The *Graduate Certificate in Elementary Education with K-8 Licensure* utilizes already existing courses. The courses and syllabi were approved by the New Mexico Public Education Department Professional Standards Commission in 2019.

f. For interdisciplinary programs, describe the responsibilities of each participating unit?

This is not an interdisciplinary program. The proposed program includes only the professional

education courses required for licensure in elementary education.

g. What is the program development and implementation timeline?

The program of studies for the *Graduate Certificate in Elementary Education with K-8 Licensure* is already in place within the MA with Alternative Route to K-8 Licensure in Elementary Education. This program of studies for licensure within the MA with Alternative Route to Licensure in Elementary Education was approved through the COE process in 2002. The timeline for formal approval of this program of studies in this Graduate Certificate is the following:

Fall 2020	Approval by the COE Dean, Provost, and the Dean of Graduate Studies
Spring 2021	Submission and review by the Faculty Senate Graduate Committee
Fall 2021	Submission and review by the Faculty Senate
Fall 2021	Approval by the Provost
Spring 2022	Implementation of the <i>Graduate Certificate in Elementary Education with K-8 Licensure</i>

2. Student Impact

a. How many students are projected to enroll?

We anticipate that approximately 30-40 new students will enroll in the program each year.

b. From where will these students be drawn?

This graduate certificate will draw from three groups of elementary licensure candidates:

- 1) Interested students enrolled in graduate degree programs within the university who want to pursue a teaching license during their current program of study;
- 2) Educational Assistants and substitute teachers with bachelor's degrees who wish to become classroom teachers; and
- 3) Career changers within the community who hold baccalaureate or advanced degrees and want to pursue alternative licensure and/or have obtained an intern license and want to

complete the alternative license requirements through the UNM graduate certificate pathway.

c. What are the demographic characteristics and educational goals of the target students?

Currently there are 75 students in the MAw/ARL program. As of May 2020 the MAw/ARL program has admitted 24 students to begin coursework in Fall 2020. We anticipate more students to enroll in the Graduate Certificate program because it is transcribed and can be specifically marketed to potential applicants. In addition, graduate students in other programs can add this certificate to their current graduate programs. The target employment goal of those attaining this Graduate Certificate is to be employed as elementary classroom teachers in grades K-8. The data below lists the duplicated counts by ethnicity of students in the MAw/ARL Program. Hispanic is considered an ethnicity separate from other racial categories. For the purpose of this report, they are included in the racial/ethnicity figures below.

Race/Ethnicity	2016-2017		2017-2018		2018-2019	
	N	%	N	%	N	%
Hispanic	30	45%	40	66%	43	68%
White	44	66%	41	67%	46	73%
American Indian	18	27%	21	34%	17	27%
Asian	8	12%	3	5%	4	6%
African American	5	7%	6	10%	8	13%
International Student	-	-	-	-	2	3%
Native Hawaiian	-	-	2	3%	2	3%
Race/Ethnicity Unknown	1	1%	2	3%	1	2%
<i>Two or More Races</i>	24	36%	35	57%	37	59%
Total Students	67	100%	61	100%	63	100%

The educational goals of the target students for the *Graduate Certificate in Elementary Education with K-8 Licensure* are to complete a K-8 licensure program that provides them with knowledge, skills, and dispositions to be effective classroom teachers.

d. What are the employment goals of the typical target student?

The employment goal of the typical target student for the *Graduate Certificate in Elementary Education with K-8 Licensure* is to be employed by a school district to teach in a K-8 classroom.

3. Curriculum Plan

a. Describe the curriculum and its impact on existing courses, including courses in other departments. NOTE: Certificates with new courses must be reviewed by the HED.

The *Graduate Certificate in Elementary Education with K-8 Licensure* utilizes existing courses within the TEELP Department which are currently taught within the elementary education program. There are no courses within the Graduate Certificate program that are in other departments. The table below presents the curriculum for the *Graduate Certificate in Elementary Education with K-8 Licensure*.

b. What instructional model(s) will be used in presenting the program?

The *Graduate Certificate in Elementary Education with K-8 Licensure* includes four methods courses, two seminar courses, and two field experience courses. The instructional models used in the methods courses will include instructor modeling of strategies, small group discussions and projects, interactive learning experiences, teacher observations, video analyses, field trips, guest speakers, and the use of technology to share videos of content and exemplary teaching episodes, along with teacher resource websites.

Curriculum for *Graduate Certificate in Elementary Education with K-8 Licensure Program*

Course Number	Course Name	Description	Credit Hours
EDUC 531	Teaching Reading to Culturally & Linguistically Diverse Students II (Pre-requisite: EDUC 330: Teaching Reading to Culturally & Linguistically Diverse Students I)	This course is designed to enable classroom teachers in the design and implementation of an effective program of reading instruction for elementary students.	3
EDUC *461	Teaching Mathematics K-8	This course provides strategies and materials appropriate for traditional and innovative instructional programs in elementary school mathematics.	3
EDUC *453	Teaching Science K-8	This course focuses on methods, processes, content and management of children's science observation, exploration, discovery and invention; attitudes of inquiry and wonderment.	3
EDUC *421	Teaching Social Studies K-8	This course provides an overview and development of the social studies curriculum within the contexts of the elementary school program and multicultural community settings.	3
EDUC 581	Initial Field Experience K-8 Seminar	This course is the discussion and analysis seminar for students in their first semester of field experience.	1
EDUC 582	K-8 Field Experience Seminar II	This course is the discussion and analysis seminar for students in their second and final semester of field experience.	1
EDUC 594	Initial Field Experience	This first field experience consists of one semester with two full days per week in a cooperating teacher classroom.	1
EDUC 595	Advanced Field Experience [Student Teaching]	This second and final field experience consists of one semester with two days per week and one semester with five days per week in a cooperating teacher classroom.	3
TOTAL GRADUATE CREDIT HOURS			18

c. What are the expected student learning outcomes for the program? How will the learning outcomes be measured?

The student learning outcomes for the *Graduate Certificate in Elementary Education with K-8 Licensure* are to ensure that every graduate exiting the program will be able to research topics, plan developmentally appropriate and challenging lessons based upon this research, teach each lesson effectively including differentiation for culturally and linguistically diverse learners, and assess and evaluate student work along with her/his own teaching in order to better meet the needs of all students in K-8 classrooms. The following presents the specific “understandings, practices and identities” (COEHS Mission) that articulate the student learning outcomes for the proposed *Graduate Certificate in Elementary Education with K-8 Licensure*. Each of these outcomes is evaluated by supervisors and cooperating teachers during the field experience semesters using the online assessment data management system, Tk20. Students also complete a self-evaluation of these outcomes through the online Tk20 system.

Conceptual Framework for Professional Education Professional Understandings, Practices, and Identities

The College of Education at the University of New Mexico believes that professional education should seek to help individuals develop professional understandings, practices, and identities. These **understandings, practices and identities** frame the lifelong learning of professional educators and reflect the values articulated in our Mission Statement and in state and national standards and competencies.

UNDERSTANDINGS: **Understandings** frame the identity and practice of educational professionals. We seek to help you better understand:

1. Human Growth and Development

Patterns in how individuals develop physically, emotionally, and intellectually. How to provide conditions that promote the growth and learning of individuals from diverse cultural and linguistic backgrounds, including those with special learning needs.

2. Culture and Language

The nature of home, school, community, workplace, state, national, and global contexts for learning. How social groups develop and function and the dynamics of power within and among them. How language and other forms of expression reflect cultural assumptions yet can be used to evoke social change. How one's own background and development shape understanding and interaction.

3. Content of the Disciplines

The substance of the disciplines you teach—the central organizing concepts and factual information— and the ways in which new knowledge is created, including the forms of creative investigation that characterize the work of scholars and artists.

4. Pedagogy

Theory and research on effective educational practice. How to create contexts for learning in and across the disciplines. How to assess student learning and design, plan, and implement instruction to meet the needs of learners. How to evaluate educational practice.

5. Technology

Effects of media and technology on knowledge, communication, and society. How to critically analyze and raise awareness of the impact of media and technology. How to use current technology.

6. Professional Issues

The social and political influences on education, both historically and currently. Local, state, and national policies, including requirements and standards. How to critically analyze and participate in the formation of educational policy. Strategies for leadership, collaboration, and research.

7. Nature of Knowledge

How knowledge is constructed within social contexts, including the academic disciplines. The differences and connections among the knowledge constructed in different social contexts. How to conduct inquiry into the nature of knowledge within and across the disciplines.

8. Pedagogy

Theory and research on effective educational practice. How to create contexts for learning in and across the disciplines. How to assess student learning and design, plan, and implement instruction to meet the needs of learners. How to evaluate educational practice.

9. Technology

Effects of media and technology on knowledge, communication, and society. How to critically analyze and raise awareness of the impact of media and technology. How to use current technology.

10. Professional Issues

The social and political influences on education, both historically and currently. Local, state, and national policies, including requirements and standards. How to critically analyze and participate in the formation of educational policy. Strategies for leadership, collaboration, and research.

11. Nature of Knowledge

How knowledge is constructed within social contexts, including the academic disciplines. The differences and connections among the knowledge constructed in different social contexts. How to conduct inquiry into the nature of knowledge within and across the disciplines.

PRACTICES: These understandings enable you, as a professional, to value and engage in **practices** that embody the following qualities:

1. Learner-Centered

Students' past experiences, cultural backgrounds, interests, capabilities, and understandings are accommodated in learning experiences. Routines promote learner risk-taking and allow learners to take increasing control of their own learning and functioning.

2. Contextual

Experiences engage learners in ways of thinking, doing, talking, writing, reading, etc., that are indicative of the discipline(s) and/or authentic social contexts. Ideas and practices are presented with the richness of their contextual cues and information. Learners are provided with models and opportunities to reflect on their experiences and to relate their learning to other social contexts.

3. Coherent

Learning experiences are organized around the development of concepts and strategies that learners need in order to participate in other similar situations. Learners are assessed on what they had the opportunity to learn.

4. Culturally Responsive

Diversity is valued, and learners are helped to become aware of the impact of culture on how they and

others perceive the world.

5. Technologically Current

Available technology facilitates learning. Learners are helped to understand the effect of media on their perceptions and communication.

PROFESSIONAL IDENTITY: Developing a **professional identity** is central to lifelong growth as a professional educator. The University of New Mexico College of Education will help you to develop the following attributes of a professional:

1. Caring

Attentive to learners, willingness to listen and withhold judgment, and ability to empathize while maintaining high expectations for learner success.

2. Advocacy

Committed to ensuring equitable treatment and nurturing environments for all learners.

3. Inquisitiveness

Habitual inquiry into the many, ever-changing ways in which knowledge is constructed, how people learn, and how educators can support learning.

4. Reflection-in-Action

Able to analyze, assess and revise practice in light of student learning, research and theory, and collegial feedback.

5. Communication

Skilled in speaking, writing, and using other modes of expression.

6. Collaboration

Able to work cooperatively with students, parents, community members, and colleagues.

7. Ethical Behavior

Aware of and able to work within the ethical codes of the profession.

4. Budgetary Impact

- a. How many faculty are necessary for program delivery and what are their qualifications?**

No new faculty are needed for the proposed *Graduate Certificate in Elementary Education with K-8 Licensure*. All of the proposed program's courses are existing courses which are offered on a planned schedule. Each course in the proposed program is overseen by faculty of the highest quality with expertise in the associated content area.

b. How will this program affect the workload of current faculty and support staff?

Current faculty and support staff workloads will not be changed by the proposed *Graduate Certificate in Elementary Education with K-8 Licensure* due to the fact that the program will utilize existing courses and instructors.

c. Will additional faculty or staff be required? What is the cost?

No additional faculty or staff will be required to implement the program.

d. What faculty and staff development services will be needed?

No additional faculty and staff development services will be needed for the proposed *Graduate Certificate in Elementary Education with K-8 Licensure* because all of the courses already exist and are being taught currently in the Elementary Education Program.

e. What impact will enrollments in the certificate program have on student support (GA & TA positions, scholarships, etc.)?

No new GA or TA positions, nor scholarships, will be required for implementation of the proposed program.

f. What technology, media, equipment and instructional supplies are needed to reach these learning outcomes? Are these resources available? What is the estimated cost?

No additional technology, media, equipment and instructional supplies are required for the proposed Graduate Certificate program. All existing resources are sufficient for implementing the proposed program.

g. Are there any needs for additional or renovated space?

There is no need for additional or renovated space to implement the proposed Graduate Certificate program. All existing spaces are sufficient for implementing the proposed program.

h. What student support services are likely to be needed and to what extent (CAPS, Library, ITS, advising, etc.)? What is the estimated cost?

The existing student support services will provide sufficient support for the proposed *Graduate Certificate in Elementary Education with K-8 Licensure*. There is no anticipated additional cost to implement the proposed program.

i. Provide a rationale for any course fees or other expenses (in addition to tuition) that students will be expected to cover.

All students in the College of Education, including students in the proposed *Graduate Certificate in Elementary Education with K-8 Licensure* are charged a one-time \$125 fee for the Tk20 system to house their electronic portfolios and teaching data. A \$15 fee per semester allows all COE students to utilize printing in the technology centers. A \$105 course fee for each semester of field experience covers the cost of supervision during the students' student teaching experiences. Two methods course have course fees for classroom materials (EDUC 453 Teaching Science K-8 for \$10, EDUC 461 Teaching Mathematics K-8 for \$10).

5. Accreditation Plan

a. How does the program affect any existing accreditation and licensure requirements?

The proposed *Graduate Certificate in Elementary Education with K-8 Licensure* program meets the Council for the Accreditation of Educator Preparation (CAEP) accreditation requirements for elementary teacher education programs. The proposed program also meets the New Mexico Public Education Department's requirements for a license in Elementary Education. No new accreditation or state licensure requirements are required.

b. If new accreditation is required, describe the accreditation process and the expenses involved.

No new accreditation is required.

6. Additional Information

a. Provide any additional information needed to make the case for development of a proposal.

All universities in New Mexico are required by the New Mexico Public Education Department to provide an alternative licensure route to teaching licensure. At UNM, the alternative licensure program, approved by the New Mexico Public Education in 2002, is currently nested within the MA with Alternative Route to K-8 Licensure Program. At the present time, this structure impedes enrollment of graduate students in other programs within the university from seeking alternative teaching licensure according to OGS regulations (i.e., students may be enrolled in only one graduate degree program at a time). This new graduate certificate program would allow these students to pursue a transcribed certificate in elementary licensure, obtain an intern license from the state and be hired for a full time teaching position (if desired), and still maintain graduate status in their chosen degree program. This feature of the *Graduate Certificate in Elementary Education with K-8 Licensure* program would make it possible for not only graduate students within the College of Education (i.e. Special Education; Language, Literacy and Socio-Cultural Studies; Educational Psychology; and Early Childhood) to work toward elementary licensure, it also means that graduate students from other colleges within the university (e.g., Engineering, History, English, Anthropology, Biology, Mathematics, etc.) could work toward adding an elementary teaching license to their graduate degrees. The *Graduate Certificate in Elementary Education with K-8 Licensure* provides UNM students with additional options for future employment while also bringing into teaching individuals with strong content area backgrounds to work with young children in elementary and middle schools.

*Graduate Certificate in Elementary Education with K-8 Licensure Program***Proposed Catalog Listing****Graduate Certificate Program:****Graduate Certificate in Elementary Education with K-8 Licensure**

This program is for an individual interested in obtaining a K-8 elementary teaching license. A student in this program is one who has earned a Bachelor's, Master's or Doctoral degree.

Interested applicants should consult with an Elementary Education Faculty Advisor before applying to the Graduate Certificate Program. Students must be formally admitted to the Graduate Certificate Program in order to enroll in the Graduate Certificate Program courses.

Students who complete the Graduate Certificate are strongly encouraged to apply these 18 credit hours toward an MA in Elementary Education.

Application Deadlines

Summer/Fall semester:	February 1-May 1 for best consideration. Applications will continue to be accepted.
Spring semester:	September 1-November 1 for best consideration. Applications will continue to be accepted.

Admissions Criteria

A complete online application must be submitted to the University and to the Department. Admission is based on space availability. For application information, visit the [College of Education and Human Sciences Web site](#).

M.A. in Elementary Education (for Licensed Teachers)

- Meet UNM Graduate Studies requirements;
- Have an overall GPA of 3.0 in the last 2 undergraduate years in the major field of study; and
- Hold a valid teaching license.

Graduate Certificate in Elementary Education with K-8 Licensure

- Meet UNM Graduate Studies requirements; and
- Have an overall GPA of 3.0 in the last 60 credit hours of university coursework.

Graduate Certificate in Elementary Education with K-8 Licensure**Prerequisite Course:**

EDUC 330: Teaching of Reading to Culturally and Linguistically Diverse Students I (3 undergraduate credit hours)

Required Courses:

18 graduate credit hours in licensure courses.

		Credit Hours
	PREREQUISITE UNDERGRADUATE COURSE:	
EDUC 330L	Teaching of Reading to Culturally and Linguistically Diverse Students I	3

	EDUC 330L does not count for graduate credit, but IS a requirement for the Elementary Education (K-8) Teaching License.	
	Total Prerequisite Hours	3
	REQUIRED GRADUATE COURSES:	
EDUC *421	Teaching Social Studies K-8	3
EDUC *453	Teaching Science K-8	3
EDUC *461	Teaching Mathematics K-8	3
EDUC 531	Teaching of Reading to Culturally and Linguistically Diverse Students II	3
EDUC 581	Initial Field Experience K-8 Seminar	1
EDUC 582	K-8 Field Experience Seminar II	1
EDUC 594	Initial Field Experience	1
EDUC 595	Advanced Field Experiences	3
	<i>*eligible for graduate credit.</i>	
	Total Graduate Hours	18
	When a student has completed the licensure course requirements listed above (21 credit hours), he/she is eligible to apply for a teaching license from the State of New Mexico Public Education Department Professional License Bureau Web site .	

NOTE: Changes in state requirements or state initiatives in education may require periodic revisions of the curriculum and admissions process.

Contact:

Department of Teacher Education, Educational Leadership and Policy

Hokona Hall-Zuni, Room 128

(505) 277-9439

<https://coehs.unm.edu/departments-programs/teelp/elementary-education/index.html>

CURRICULUM FORM CHECKLIST

Complete this form and submit with curricular forms A, B, C, D

Program Level

Department Level

Which form should I use?
{Manual is attached}

Form A

Minor change in existing, active undergraduate and graduate courses (e.g. name or course number)

Form B

New course request for undergraduate and graduate courses

Form C

Substantial changes or revisions to graduate and undergraduate courses, degrees, majors, minors, concentrations, emphases, and transcribed certificates

Form D

New graduate degrees and new transcribed graduate certificates

☒ Individual faculty member initiates form

☒ Form provided to all program faculty (including affiliated faculty in other departments)

☒ Minimum one week for program faculty to review form and syllabus

☒ Program faculty vote on form at meeting or electronically

☒ approved, documentation of program vote and form sent to Department Chair

Program Coordinator Initials MLK
Date 8/19/20

Note: If not approved, send form back to initiating faculty member with comments for revision

☒ Chair reviews for accuracy and completeness

☒ Form provided to all departmental faculty for minimum of one week

☒ Department votes on forms at meeting or electronically

☒ If approved, documentation of department vote and form sent to Department Chair

☒ Chair sends an e-mail indicating faculty vote and departmental approval to the Scheduling Coordinator

Department Chair Initials _____
Date 8/19/20

Note: If not approved, send form back to initiating faculty member with comments for revision

Proposal: A Certificate in Indigenous Planning



Rationale and Need for the Certificate

- UNM's MCRP Program has the only Indigenous Planning Concentration in US
 - Make curriculum available to planning students at other universities
 - Make certificate available to students in related disciplines at UNM

- Specialized knowledge that practitioners in planning and related fields need but may not have
 - Some practitioners work in planning without formal training
 - Most planners have no Indigenous Planning training
 - Make the courses and the certificate available to practitioners in New Mexico
 - Make courses and curriculum available to practitioners in other regions

Teaching Capacity

- Courses currently offered through the MCRP program
- Indigenous Planning courses in the MCRP program have capacity for additional students
- MA in NAS has additional courses that are included as electives
- Community and Regional Planning Department has teaching expertise and capacity now
 - One open faculty position in Indigenous Planning, requesting hire beginning Fall 2022 to replace Dr. Laura Harjo
- Qualified part time faculty members in the CRP Department and iD+Pi networks

Budget

- ☐ New costs

- ☐ Course release and/or SAC for Certificate Director
- ☐ One time course development stipends for faculty to redesign current courses in a hybrid modality
 - ☐ Courses will be hybrid with in person and remote options
 - ☐ CRP 442/542 Indigenous Environmental Planning offered this way Fall 2021

- ☐ Potential revenues

- ☐ New students in the Certificate Program
- ☐ New students through a collaborative UNM Continuing Education curriculum and certificate

Exciting Potential

- Builds on current curriculum and capacity
- Expands opportunities through hybrid courses
- Innovation in hybrid courses to meet student needs for in person courses while meeting other student needs for remote courses AND to reflect professional practice
- Collaboration with UNM Continuing Education to increase opportunities for practitioners
- Recognize and elevate UNM's pathbreaking expertise in Indigenous Planning education

NEW GRADUATE DEGREE OR GRADUATE CERTIFICATE FORM D

UNIT PREPARES IN QUADRUPLICATE
Route as indicated below under approvals. Return to the Registrar's Office once all signatures have been obtained.

Date: 11/1/2020

Renia Ehrenfeucht

(Name of individual initiating Graduate Degree or Graduate Certificate)

Chair and Professor, Community + Regional Planning, 277-2168

(Title, position, telephone number)

rehrenfeucht@unm.edu

(Email address)

Community + Regional Planning

(Department/Division/Program)

Note: Proposals for new graduate degrees or graduate certificates need to follow an approved format. Please call the Office of Graduate studies and ask for an outline. Revisions of graduate degrees and some new certificates also may need state approval, depending on the extent of changes proposed. Please consult the Office of the Provost for advice prior to initiating this form.

attach the following required documents:

1. Executive summary.
2. Program Proposal (in the approved format).
3. Catalog Description (to include program curriculum).
4. Graduate Program Projected Costs (only for new degrees).
5. Library impact statement.

Does this new degree affect any existing program? Yes ☒ No ☐ if yes, attach statement.

Proposed date to admit new students: Term Fall Year 2021

Required Signatures:

Department Chair _____	Date _____
College Curricula Committee _____	Date _____
College or school Dean _____	Date _____
Dean of Library services _____	Date _____
Office of the Registrar—Catalog _____	Date _____
Fs Graduate Committee _____	Date _____
Dean of Graduate studies _____	Date _____
Fs Curricula Committee _____	Date _____
Office of the Provost _____	Date _____
Faculty senate _____	Date _____
Board of Regents _____	Date _____

Additional Approvals for Degrees:

Board of Regents _____	Date _____
Council of Graduate Deans _____	Date _____
academic Council of higher Education _____	Date _____
higher Education Department _____	Date _____
state Board of Finance _____	Date _____

Entered Banner

Entered Catalog

For Registrar's Office ONLY:

Copies Mailed



From: Dr. Pamela Cheek, Associate Provost for Student Success

A handwritten signature in blue ink, appearing to read "Pamela Cheek".

To: Dr. Renia Ehrenfeucht, Chair, Community and Regional Planning

cc. Mr. Michael Raine, Associate Registrar
Ms. Robben Baca Brown

Re: Form D: Preliminary Proposal for an Indigenous Planning Certificate

Date: September 29, 2020

Thank you for the opportunity to review this preliminary proposal; the certificate strikes me as truly visionary and has the support of Academic Affairs for further development. As you develop the proposal for the Certificate more formally, please work with Associate Registrar Michael Raine and Ms. Robben Brown in Graduate Studies to make sure that the proposal includes the appropriate number of credit hours and also meets the requirements for State of New Mexico approval.

I would suggest that it might be possible to investigate and include collaborations with the Department of Native American Studies, which is currently proposing a Ph.D. through a Form D initiated last academic year, some of the areas of strength at the UNM Law School, including the Utton Transboundary Center, and, finally, the Art and Ecology Program in the College of Fine Arts.

I look forward to seeing how this develops. Please let me know if I can be of assistance along the way.

Executive Summary

The Community and Regional Planning (CRP) Department proposes to develop a new certificate in Indigenous Planning. The intent of the certificate is to provide Indigenous planning professional development for working professionals and promote socially responsible practices that empower tribes to better manage and take control of planning, community development, and related processes.

Indigenous planning is first and foremost about strategically shaping the physical and social character of Indigenous communities by informing planning and community development to improve economic and social viability. At the core of this effort is how cultural values can be used in planning to generate and sustain places that are productive, beautiful, healthy, and safe. Indigenous peoples, or tribes, have been subjected to assimilative policies and practices for generations. Nowhere is this more evident than in the built environment.

The CRP Department uses a 7-generations planning model as the foundation of Indigenous planning pedagogy. The 7-generations model builds on Indigenous knowledge and assists communities in connecting their past, present, and future. The structure is driven by a situational demographic model: older generations are identified as great grandparents, grandparents, and parents; the middle generation are adults in their middle years; and the younger generations are comprised of children, grandchildren, and great-grandchildren. This generative model is informed by scholarship and a network of international programs and practitioners that have successfully implemented these approaches in their local situations.

Currently, CRP offers an Indigenous Planning concentration in the Master of Community and Regional Planning (MCRP) degree. This is the only Indigenous Planning concentration in a U.S. planning department. Some courses are offered as dual level courses for undergraduate students. The proposed Indigenous Planning Certificate will be the only one in the nation and is intended to offer professional development to planners throughout the country as well as those in other settler colonial societies. The certificate will have an appointed director, and be overseen by the CRP faculty as well as a professional advisory committee as needed. The certificate will be comprised of courses currently offered through the MCRP curriculum. This certificate will make the Indigenous planning curriculum available to a broader constituency of outside professionals as well as students in other graduate degree programs.

The School of Architecture and Planning averages 40 Native American students annually in both the undergraduate and graduate programs in planning, architecture and landscape architecture. In SA+P, the percentage of Native American students averages around 7.5%. By comparison, UNM averages around 5%. The representation of Native American students in SA+P has consistently outpaced other units on campus (source: informatics.unm.edu, 2020). The School of Architecture and Planning is the only program in the state that produces design and planning professionals. The certificate will help recruit and pipeline students that are underrepresented in the design and planning professions. At the same time, the certificate will

impact the way practitioners engage with Indigenous communities in this region and around the globe.

The certificate is supported by the Indigenous Design and Planning Institute (iD+Pi) which is housed in School of Architecture and Planning. Established in 2011, iD+Pi has assisted numerous tribes and generated over \$3 million in funding. iD+Pi has been recognized as a leading program in design equity and its projects have been nationally showcased. The certificate would be connected to national and higher education workforce development efforts focused on creative placemaking, an area in which iD+Pi has been very active.

Library Impact Statement

This certificate includes existing courses and content areas, which are already supported by UNM Libraries. The primary impact would be an additional 10-18 students per year using library resources.

NEW GRADUATE CERTIFICATE PROGRAM APPLICATION

A. General Information

Institution: University of New Mexico, Main Campus, Albuquerque

Name and Title of Contact Person: Renia Ehrenfeucht, Professor and Chair, Community + Regional Planning

Email of Contact Person: rehrenfeucht@unm.edu

Name of Proposed Program: Indigenous Planning Certificate

Name of Sponsoring Department, School, and/or College:
Community + Regional Planning Department

Level of Proposed Program (*Graduate Certificate or Post-degree Certificate*)
Graduate Certificate

Estimated Time to Complete Proposed Program
1 year, 2 courses per semester plus summer two years, one course per semester

Campuses to offer this degree program
UNM Main Campus, Albuquerque

All Program Format(s) (*standard, distance education, evening, weekend and/or other*)
The courses will be taught in formats that reflect current formats used in the MCRP program. This includes standard face-to-face courses, remote courses and courses offered in the evenings. Intensive field courses will be options but not required. The intent is to develop courses where students remotely and face-to-face will be integrated into the same courses and classrooms in ways that reflects professional practice.

Anticipated Start Date
Fall 2021

Proposed CIP code
04.03

B. Program Curriculum

Program Description (*as listed in catalog*)
Please see attached program description and curriculum

Program Curriculum (*as listed in catalog*)
Please see attached program description and curriculum

Number of Credits Required
15

Program Learning Outcomes

By completing the certificate, students will be able to:

SLO 1: Apply multiple planning tools and techniques in tribal government and community contexts

SLO 2: Analyze and adapt planning tools and techniques for Indigenous communities

SLO 3: Explain and apply principles of Indigenous planning theory including 7 Generations Planning, Futurity, Land Tenure, and Traditional Knowledge

SLO 4: Explain the evolution of planning in Indigenous communities

Is this certificate designed to be stand-alone or is it intended for students that are also seeking a graduate degree? If so, list how the courses will be shared between the two.

The certificate will be comprised of courses currently offered through the Master of Community and Regional Planning (MCRP) curriculum. This certificate will make the Indigenous planning curriculum available to a broader constituency of outside professionals as well as students in other graduate degree programs.

Students will have three options when obtaining the certificate. Students can enroll in the certificate program (without participating in the MCRP degree) and obtain the graduate certificate.

Students who obtain the certificate and then decide to pursue a MCRP degree can use all the credits towards the MCRP degree. The graduate certificate will fulfill the MCRP concentration requirements for the Indigenous Planning concentration. Students will be required to fulfill all other program requirements.

Students entering the MCRP degree will be advised about the graduate certificate, and interested students could simultaneously earn the certificate while fulfilling the MCRP degree with an Indigenous planning concentration.

Students who have completed an MCRP or another graduate degree will be able to count up to 50% of appropriate course work towards the graduate certificate. Appropriate coursework would be required courses or on the list of approved electives at the time the student applies for the graduate certificate.

Is this certificate embedded in a degree program (i.e., do the courses taken articulate to a graduate degree)? If yes, to which degree?

The degree is associated with the Master of Community and Regional Planning, which currently has an Indigenous Planning concentration. The courses are offered as part of the MCRP courses.

Students entering the MCRP degree will be advised about the graduate certificate, and interested students could simultaneously earn the certificate while fulfilling the MCRP degree with an Indigenous planning concentration. The students would not be required to apply or obtain the graduate certificate, and they could fulfill the requirements for the Indigenous planning concentration with other courses.

C. Assessment

Describe your institution's plan for periodic evaluation of program effectiveness. Include criteria that will be used to determine effectiveness. Max 500 words.

The student learning objectives will be assessed in the two required courses and through the final project the students complete. In the required courses, the faculty members will determine which assignments reflect attainment of the SLO, and assess that each time the course is offered for all the students who are completing the certificate.

Each year, students who are completing the certificate will complete an exit survey to assess whether they successfully attained the skills and knowledge they intended.

D. Need

The proposed program must meet one or more specified needs within the state or region. Clear and convincing evidence must be provided of the reality and extent of such need. Max 500 words.

Evidence of need might include results of employer surveys, current labor market analyses and projections, or long-term need projections prepared by a relevant professional organization.

Each Native nation has staff that engage in planning activities. Many staff members begin these positions without formal training in planning. The Indigenous Design and Planning Institute (iD+Pi) has worked extensively with different Native nations, offering technical expertise when needed. Specifically, the iTown (Indigenous Town) sequence of studios engage projects that assist community engagement activities with Indigenous communities both in the US and abroad. Examples include the Ysleta del Sur Cultural corridor land use plan, the Zuni Pueblo MainStreet ArtWalk project, the Pueblo of Taos Indigenous Comprehensive Plan, the Pueblo of Santo Domingo Comprehensive Plan, the Navajo TriChapter Regional Study, the Navajo Department of Tourism Chaco Cultural Plan, the Nambe Pueblo Historic Plaza Plan, the Cochiti Pueblo Plaza Preservation Plan, and the Menekonekem Menominee Land Use Plan, among others. This demonstrates the need for planning expertise that is relevant and directed towards Native nations. The MCRP program has one of the few Indigenous planning concentrations in the country, and this certificate program will expand the reach of that education to more people.

If the program fills a regional workforce need, describe collaboration between your institution and regional employers in program development. Max 500 words.

The proposed IP Certificate will target and recruit among existing academic and professional networks among organizations that maintain affiliations with CRP and iD+Pi. These include the NM Tribal Planners Roundtable (membership among tribal planners throughout the State); the American Indian Council of Architects and Engineers (this includes a student professional organization in the School which includes both native and non-native students in architecture, landscape architecture and planning); the American Indian College Fund (a national organization that represents and funds tribal universities and colleges throughout the nation; and the Tribal and Indigenous Planning Discussion Group with the American Planning Association.

The CRP Department has been collaborating with Sharon Hausam, a planner with the Pueblo of Laguna. We also have established meetings with colleagues who work in Indigenous planning and could be potential instructors. In the Department's strategic planning meetings, it also has meetings with Indigenous planners (scheduled in Nov 2020), during which the certificate will be discussed.

E. Enrollment and Graduation Projections: Establish realistic enrollment, retention, and graduation targets for this program.

	Year 1	Year 2	Year 3	Year 4	Year 5
New Students	5	5	5	5	5
Continuing Students		5	8	8	8
Graduates		2	5	5	5
Annual Retention Rate Target (%)	Target 100% Graduation Rate (%)		Target Job Placement Rate (%)		
100	3 year		100%		

F. Institutional Readiness

Describe the faculty resources that are needed to initiate the program. Will any additional faculty be needed? Max 500 words.

The CRP Department has an Indigenous planning concentration in the MCRP graduate program is the only one of its kind in the nation. The sequence of courses has been developed since 2017 when the concentration was established. The concentration was established because the CRP program has two Indigenous faculty, Dr. Theodore (Ted) Jojola (Isleta Pueblo) and Dr. Laura Harjo (Muscogee-Creek). Since its inception, the CRP Department has added a third indigenous faculty member, Dr. Lani Tsinnajinnie (Diné). As such, the CRP program is the only university in the nation

which has a planning faculty with this strength. The two required courses are taught regularly by fulltime faculty members.

The certificate required two Indigenous planning electives. The Department offers additional courses taught by part time faculty members, which will be available as electives, and courses offered through the MA in Native American Studies will also be electives.

Describe the library and other academic support resources that are needed to initiate the program. What, if any, additional resources will be needed? Max 500 words.

This certificate is based on current courses and content areas already supported by UNM Libraries. The primary impact would be an additional 10-18 students per year using library resources.

Describe the physical facilities of the institution that will be used for the first five years of the program. Will additional space or modifications of existing space be required within the first five years of program operation? Max 500 words.

The courses will be offered in George Pearl Hall and remotely using UNM's distance learning infrastructure.

Describe the institution's equipment and technological resources needed for the first five years of the program? What, if any, additional equipment will be needed? Max 500 words.

In order to fully offer integrated face to face and remote modalities, the certificate will require access to a smart classroom. The Indigenous Design + Planning Institute is in the process of upgrading one classroom, which will be adequate for the initial five years.

Describe any other operating resources needed to initiate the program. Max 500 words.

To offer the courses in the correct sequence and frequency for both the certificate students and UNM students in the MCRP and BAEPD degrees (for dual level courses), we would need 2-3 instructors per year at \$4000-5000 per course in addition to regular teaching by CRP faculty.

Additional resources would cover one course release or stipend for a faculty member to oversee the certificate and a one-time \$1500 honorarium (per course for 8 courses) for faculty members to develop the courses in the integrated face to face /remote mode.

Are there existing external facilities that will be used? Have agreements been established to ensure use of those facilities? For example, if you are offering a graduate nursing program have you established a partnership with local hospital(s) and other clinical settings? Max 500 words.

N/A

G. Projected Budget

Provide a clear analysis of the projected cost of the proposed program and the sources of funding that will support it for the first five years that the program will be offered. Include a discussion how any of the needed resources discussed in **Section F** will be addressed. **Section G** should be completed in collaboration with your institution's financial office.

The budget for each of the first two years is \$22,000. In subsequent years, the certificate would cost \$12,000.

The certificate will draw on existing courses. The CRP Department has two faculty members that specialize in Indigenous Planning, and a third that supports the concentration. The certificate will need that continued faculty participation. To offer the courses in the correct sequence and frequency for both the certificate students and UNM students in the MCRP and BAEPD degrees (for dual level courses), we would need 2-3 instructors per year at \$4,000-5,000 per course in addition to regular teaching by CRP faculty.

Additional resources would cover one course release or stipend for a faculty member to oversee the certificate and a one-time \$1,500 honorarium (per course for 8 courses) for faculty members to develop the courses in the integrated

face to face /remote mode. In addition, the certificate would benefit from a classroom that allows students to participate from remote locations.

Additional resources will be needed for classroom upgrades. To upgrade a classroom to support hybrid modality with students interacting with remote students will cost \$20,0000-30,000. iD+Pi has obtained funds to upgrade one George Pearl Hall classroom through the NM Capital Outlay Legislative Fund.

Signature of Chief Academic Officer Date

Printed Name of Chief Academic Officer Date

Signature of Data (CIP) Coordinator Date

Printed Name of Data (CIP) Coordinator Date

HED use only

Date Presented to Advisory Committee _____

☐Approved ☐Denied ☐Request more information

Cabinet Secretary's Signature Date

Graduate Program Projected Costs

The budget for each of the first two years is \$22,000. In subsequent years, the certificate would cost \$12,000.

The certificate will draw on existing courses. The CRP Department has two faculty members that specialize in Indigenous Planning, and an additional faculty member that supports the concentration. The certificate will need that continued faculty participation. To offer the courses in the correct sequence and frequency for both the certificate students and UNM students in the MCRP and BAEPD degrees (for dual level courses), we would need 2-3 instructors per year at \$4,000-5,000 per course in addition to regular teaching by CRP faculty.

Additional resources would cover one course release or stipend for a faculty member to oversee the certificate and a one-time \$1,500 honorarium (per course for 8 courses) for faculty members to develop the courses in the integrated face to face /remote mode. In addition, the certificate would benefit from a classroom that allows students to participate from remote locations.

To upgrade a classroom to support hybrid modality with students interacting with remote students will cost \$20,000-30,000. iD+Pi has obtained funds to upgrade one George Pearl Hall classroom through the NM Capital Outlay Legislative Fund.

This certificate will draw professionals and therefore attract enrollment from students who otherwise would not attend UNM.

Graduate Certificate in Indigenous Planning

Ted Jojola, Director

Department of community and Regional Planning

School of Architecture and Planning

The graduate certificate in Indigenous Planning is designed for students intend to work with Indigenous communities, in or alongside Native American lands, and with Indigenous nations. Indigenous planning is first and foremost about strategically shaping the physical and social character of Indigenous communities by informing planning and community development to improve economic and social viability. At the core, Indigenous planning draws on cultural values to generate and sustain places that are productive, beautiful, healthy, and safe. The intent of the certificate is to provide Indigenous planning professional development for working professionals and promote socially responsible practices that empower tribes to better manage and take control of planning, community development, and related processes.

Admission Requirements

Applicants must either:

- Be admitted to or be currently enrolled in a graduate program at the University of New Mexico and demonstrate related experience or interests; or
- Hold a bachelor's degree and demonstrate in a resume and the letter of intent related experience or interests.

Application Requirements

Applicants submit the following:

- All applicants (even those currently enrolled in a program at UNM) must apply through the online application;
- A letter of interest explaining the applicant's reasons for seeking admission to the program and noting how they fulfill one of the above criteria;
- Three academic and professional letters of recommendation from people who know the applicant's educational and/or professional accomplishments and abilities;
- A CV;
- Academic transcripts for all higher education coursework.

To ensure consideration for a Fall semester admission, completed applications are due no later than March 1; for a Spring semester admission, no later than November 1. Depending on space availability, applications received after those dates may be considered.

Curriculum

To receive the certificate, students must successfully complete:

		Credit Hours
CRP 534	Foundations of Indigenous Planning	3
CRP 573	Planning on Native American Lands	3
	Electives chosen from list of Indigenous Planning courses available from the graduate advisor. Other courses can be taken with prior approval from the Director.	6
	One final requirement, chosen among the four following: CRP 570. Indigenous Planning Summer Institute (3), only. Other 570 courses do not meet the experiential learning requirement. An Indigenous field school, if offered, could fulfill this requirement. This must be taken after CRP 534 and 573. OR CRP 588. Professional Project. (3). Must be a project for an Indigenous community <u>and</u> must be taken after CRP 534 and 573. OR CRP 597. Capstone Planning Studio. (3). Must be in an Indigenous community <u>and</u> must be taken after CRP 534 and 573. OR CRP 598. iTown Studio (3). The iTown studio is conducted in an Indigenous community. This must be taken after CRP 534 and 573.	3
	Total	15

Dr. Irene Uhrik Boone Endowed Chair



Dr. E. Anne Greene

**Sponsoring Donor:
Dr. Irene Uhrik Boone**



Dr. Greene is a Professor, Pediatric Cardiologist and Vice Chair of the Department of Pediatrics. With her passion for medical education, mentorship and leadership, she has over 30 years of experience as a clinician and educator.



In 2007 she came to UNM for 2 years, where she oversaw Pediatric Cardiology education, winning the house staff teaching award. In 2015, she returned to UNM and was drawn to her love of caring for patients in the Navajo nation and IHS. She serves as the Director of Pediatric Electrophysiology and Cardiac Exercise Testing and the education director for Pediatric Cardiology. She was honored with 2 house staff teaching awards since her return to UNM. She also started the Global and Rural Health program and is now the senior advisor to that track.



In all roles, Dr. Greene is a staunch advocate for our learners in practice, curriculum, mentoring and in helping our faculty in their education excellence and professional career advancement. She teaches our residents and medical students in Pediatrics as well fellows in Cardiology, Emergency Medicine, and Neonatology. She provides education to our community provider throughout the state and she travels to outreach clinics in Sharrock, Farmington, Gallup and other areas. She provides outstanding and compassionate care to her patients and cares deeply about the patients she serves.

HSC Committee

Request for Endowments

Alan Tomkinson, PhD
Interim Director and CEO



Request for Approval to Create New Endowments



- The Robert M. Faxon Jr. Endowed Professor in Neuro-Oncology
- The Dana C. Wood Endowed Professorship in Cancer Immunotherapy
- The Victor and Ruby Hanson Surface Endowed Professorship in Cancer Population Sciences and Cancer Health Disparities
- The Victor and Ruby Hanson Surface Endowed Professorship in Cancer Health Disparities and Community Engagement
- The Peter A. Winograd Research Professorship Quasi-Endowment

Request for Approval: New Appointments



Sara Piccirillo, PhD

**Robert M. Faxon Endowed
Professor in Neuro-Oncology**



Zoneddy Dayao, MD

**Maralyn S. Budke Endowed
Professorship in Cancer Care
Delivery**



Eric Bartee, PhD

**Dana C. Wood Endowed
Professorship in Cancer
Immunotherapy**



Shiraz Mishra, MBBS, PhD

**Victor and Ruby Hanson
Surface Endowed Professorship
in Cancer Population Sciences
and Cancer Health Disparities**



Andrew Sussman, PhD

**Victor and Ruby Hanson
Surface Endowed Professorship
in Cancer Health Disparities
and Community Engagement**

Sara G. M. Piccirillo, PhD



Robert M. Faxon Endowed Professor in Neuro-Oncology

Newly-created endowment

- In 2016, Dr. Piccirillo was recruited to UT Southwestern Medical Center as Faculty Member of Internal Medicine and in 2018 she was promoted to Assistant Professor at the same institution.
- Dr. Piccirillo has numerous additional awards and achievements, including a 2017 DocStar Award by The Cary Council from Southwestern Medical Foundation.
- In 2019, Dr. Piccirillo joined the University of New Mexico Health Sciences Center as a Tenure-Track Assistant Professor in the Department of Cell Biology and Physiology and Full Member of the UNM Comprehensive Cancer Center.
- That same year (2019), she was also the recipient of the Gianni Bonadonna Prize for New Drug Development in Oncology.
- Her current areas of research include the impact of Tumor-Treating Fields on residual disease in glioblastoma and targeting inflammatory cells in glioblastoma residual disease.

Zoneddy Dayao, MD



Maralyn S. Budke Endowed Professorship in Cancer Care Delivery

- Dr. Dayao is an expert in the treatment of breast cancer. As an academic oncology physician, she takes her roles as teacher and mentor seriously.
- Breast cancer management is so complex and lengthy that hematology/oncology fellows often do not see the full spectrum of this disease during their training.
- Dr. Dayao pioneered an innovative interactive breast cancer curriculum to supplement fellows' training, and they love the case-based and board-oriented approach.
- She has also been active in teaching at the UNM School of Medicine and has delivered many lectures to providers in local and regional symposia on breast cancer and clinical trials

Eric Bartee, PhD



Dana C. Wood Endowed Professorship in Cancer Immunotherapy

Newly-created endowment

- Scientifically, Dr. Bartee's interests as an independent researcher currently focus on the potential of a normally rabbit-specific virus called myxoma to treat various human cancers, a strategy known as oncolytics.
- This field is currently gaining momentum due to the recent approval of the first oncolytic agent by the FDA.
- Additionally, despite his junior status, Bartee already is making major contributions to the oncolytic community.
- In particular, he has been at the forefront of expanding oncolytics into the treatment of hematopoietic cancers as well as identifying novel ways to combine oncolytics with various immunotherapies including ACT and checkpoint blockade.
- This puts Bartee in the enviable position of doing cutting edge research in a field which is currently garnering significant excitement.

Shiraz Mishra, MBBS, PhD



Victor and Ruby Hanson Surface Endowed Professorship in Cancer Population Sciences and Cancer Health Disparities

Newly-created endowment

- Dr. Mishra has a broad background in social ecology, with specific training in clinical medicine, health services research, and health psychology.
- As Principle Investigator (PI) or Co-I on several extra-/intra-mural funded grants, he has laid the groundwork for the cancer control and population sciences research by developing expertise in participatory research, developing/testing of cancer early detection and prevention interventions, and understanding challenges to recruiting minorities to interventional trials.
- Currently, he is a Project Director (PD) for a trial that is testing the effectiveness of multicomponent evidence-based interventions to enhance colorectal cancer (CRC) screening among American Indians (AIs) in the Southern Plains and Southwest United States (NCI: 3P30CA118100-15S4, Willman PI) and led the development of the tri-state American Indian CRC Screening Consortium.
- He conducted several translational randomized trials that tested the efficacy of breast and cervical cancer educational/behavioral interventions for diverse groups of women.

Andrew Sussman, PhD



Victor and Ruby Hanson Surface Endowed Professorship in Cancer Health Disparities and Community Engagement

Newly-created endowment

- Dr. Sussman received his PhD in Anthropology and his MCRP in the School of Architecture and Planning, both at the University of New Mexico. He is a medical anthropologist and his research has focused on primary health care service delivery and patient-provider counseling dynamics among medically underserved populations in New Mexico.
- He has designed and led numerous qualitative and mixed method research studies, several of which have been funded through institutional (CTSC, RWJF) and federal agencies such as the NIH and AHRQ. Dr. Sussman has conducted much of this research through RIOS Net, a primary care practice-based research network.
- His work spans a range of topical areas including cancer prevention, substance use disorders, complementary and alternative medicine and obesity/diabetes prevention.
- Dr. Sussman also serves as the Director of the Behavioral Measurement and Population Science Shared Resource based at the UNM Comprehensive Cancer Center and teaches qualitative research design in the Masters of Science in Biomedical Science Program.



Healing Portal – To the Physical and Spiritual Worlds
 Dan Namingha, Hopi-Tewa
 UNM Cancer Center Art Collection



Shiprock at Dawn – Tsé Bit' a' í – Navajo Nation,
 San Juan, New Mexico



August 26, 2021

TO: Student Success, Teaching and Research Committee

FROM: Rick Holmes, Office of the University Secretary

SUBJECT: Approval of naming requests from the Comprehensive Cancer Center

Upon recommendation of the Comprehensive Cancer Center, the University Naming Committee approved the naming of the items listed below:

Request approval to create an endowed professorship the,
'Robert M. Faxon Jr. Endowed Professor in Neuro-Oncology'
(3.2.6. Endowed Faculty Positions; 2.2 Private Financial Support)

Request approval to appoint **Dr. Sara G.M. Piccirillo, PHD** to the
'Robert M. Faxon Jr. Endowed Professor in Neuro-Oncology'
(3.2.6 Endowed Faculty Positions)

Please place these items on the next Student Success, Teaching and Research Committee meeting agenda for consideration.

Thank you.

Attachments

MEMO

To: Cinnamon Blair
Chair, UNM Naming Committee

From: Dr. Alan E Tomkinson Ph.D
The Victor and Ruby Hansen Surface Endowed Chair in Cancer Research
Interim Director and CEO, UNM Comprehensive Cancer Center
Professor and Vice Chief, Division of Molecular Medicine
Department of Internal Medicine

Dr. Cheryl Willman, MD
UNM Distinguished Emeritus Professor of Pathology

Dr. Nancy Kanagy
Chair, Department of Cell Biology & Physiology

Date: August 10, 2021

Re: Creation and Appointment of the ***Robert M. Faxon Jr. Endowed Professor in Neuro-Oncology***

Dear Ms. Blair and members of the naming committee,

On behalf of the UNM Comprehensive Cancer Center and the UNM School of Medicine's Department of Neurosurgery and Department of Cell Biology and Physiology, I would like to request approval to create the Robert M. Faxon Jr. Endowed Professor in Neuro-Oncology. This naming request is respectfully submitted in accordance with UNM Administrative Policy 1020: Naming Facilities, Spaces, Endowments, and Programs, under Section 2.1. The Endowment Fund was established in memory of Robert M. Faxon Jr. to support UNM faculty to contribute to significant research and clinical discoveries for neurologic cancers. Several donors came together to make this Fund possible.

Additionally, as prescribed by Faculty Handbook Policy C170 "Endowed Chairs and Named Professorships" and in consultation with faculty within the department, we seek approval to appoint Dr. Sara G.M. Piccirillo, PHD.

Dr. Piccirillo is an Assistant Professor of Cell Biology and Physiology at the University of New Mexico School of Medicine with a secondary appointment in the Department of Neurosurgery.

Dr. Piccirillo graduated at the University of Milan (Italy) in Medical Biotechnology in 2003 and gained a Ph.D. in Translational and Molecular Medicine in 2008 at the University of Milan-Bicocca.

For her post-doctoral training, Dr. Piccirillo was awarded a Marie Curie Intra-European Fellowship in 2010 and worked as a Research Associate in the Department of Clinical Neurosciences of Cambridge University (UK). In February 2011, she was elected as a Research Fellow of Hughes Hall, one of the 31 colleges in Cambridge. In 2013, Dr. Piccirillo started a collaboration with the University of Texas Southwestern Medical Center in Dallas (US) and visited the institution between 2014-2016 as Visiting Senior Scientist.

In 2016, Dr. Piccirillo was recruited to UT Southwestern Medical Center as Faculty Member of Internal Medicine and in 2018 she was promoted to Assistant Professor at the same institution. Dr. Piccirillo has numerous additional awards and achievements having received in 2017 the DocStar Award, The Cary Council from Southwestern Medical Foundation. In 2019, Dr. Piccirillo joined the University of New Mexico Health Sciences Center as a Tenure-Track Assistant Professor in the Department of Cell Biology and Physiology and Full Member of the UNM Comprehensive Cancer Center. That same year, she was also the recipient of the Gianni Bonadonna Prize for New Drug Development in Oncology. Her current areas of research include the impact of Tumor-Treating Fields on residual disease in glioblastoma and targeting inflammatory cells in glioblastoma residual disease.

For your consideration, I have included Dr. Sara G.M. Piccirillo CV and letters of support for your review. Thank you for your time and consideration of this request.

July 12, 2021

To: Ms. Cinnamon Blair
Chair of the UNM Naming Committee

Re: Appointment of Dr. Sara G.M. Piccirillo as The Robert M. Faxon Jr. Endowed Professor in Neuro-Oncology

Dear Ms. Blair,

It is my privilege to support Dr. Sara G.M. Piccirillo as the recipient of The Robert M. Faxon Jr. Endowed Professor in Neuro-Oncology. Dr. Piccirillo joined the University of New Mexico Health Sciences Center (UNMHSC) in 2019. Currently, she is a Tenure-Track Assistant Professor in the Department of Cell Biology and Physiology and a Full Member of the UNM Comprehensive Cancer Center.

Dr. Piccirillo has extensive academic and research training. She received her M.S. degree in Medical Biotechnology and Ph.D. degree in Translational and Molecular Medicine from the University of Milan, Italy in 2003 and 2008 respectively. During her graduate studies and also for one year as a post-graduate, Dr. Piccirillo served as a key researcher with Professor Angelo Vescovi of the Department of Biotechnology and Biosciences at the University of Milan. Here, she isolated, characterized, and identified key mechanisms of action of tumor-initiating cells in human brain tumors. Her research is detailed in a series of important scholarly articles published in premier international journals including Nature, Oncogene, and Stem Cells. She also publically presented her research at such conferences as the Annual Meeting of the American Association for Cancer Research, and the International Society for Stem Cell Research. Dr. Piccirillo was also invited as speaker at the International Brain Tumor Research and Therapy Meeting in Napa Valley and the 23rd Radiation Biology International Symposium in Kyoto, Japan.

In 2010, Dr. Piccirillo was recruited by Professors Colin Watts and Simon Tavaré of the John van Geest Centre for Brain Repair at the University of Cambridge. During her years there, Dr. Piccirillo continued her groundbreaking research of tumor-initiating cells in human brain cancer. This research was funded by a competitive Marie-Curie Intra-European Fellowship that she received. She was fully committed to the project from the design of the experiments to the interpretation and presentation of the results. Most notably, she discovered that in the same glioblastoma, spatially distinct tumor cell populations exhibited differential patterns of drug response, the details of which are set forth in important papers published in the British Journal of Cancer, PNAS, Cancer Research and Stem Cell Reports. She also orally presented these findings at several international meetings, such as the Annual Meeting of the British Neuro-Oncology Society, the American Association for Cancer Research Annual Meeting, and the European Association of Neuro-Oncology Annual Meeting.

It was no surprise that after completing her postdoctoral studies and serving as a Visiting Senior Scientist at UT Southwestern, she was offered a faculty member position at UT Southwestern and more recently was recruited as a Tenure-Track Assistant Professor in the Department of Cell Biology and Physiology here at UNMHSC.

Last year, Dr. Piccirillo applied to the Pilot Project Program of the Autophagy, Inflammation, and Metabolism (AIM) Center of Biomedical Research Excellence (CoBRE) that I direct. This program is used to identify and develop future mentored Principal Investigators for the Phase 2 of the AIM CoBRE. Her proposal was very well received by the Pilot Award Steering Committee and the External Advisory Committee and based upon its favorable reviews and consistency with the goals of the AIM program, we were pleased to fund her project in the amount of \$40,000.

In her current role, Dr. Piccirillo continues to conduct the most advanced brain cancer research, has continued to publish her original research in the premier publishing forums, and present her research at prominent international conferences. Recently, she was extremely successful in obtaining two large dollars translational grants from The American Association for Cancer Research (\$250,000) and The Ivy Foundation (\$600,000), both with a start date of July 1st, 2021. Dr. Piccirillo is truly set apart from other researchers in the field and is certainly deserving of The Robert M. Faxon Jr. Endowed Professorship in Neurologic Oncology.

Please do not hesitate to contact me if you require any additional information.

Sincerely,



Vojo Deretic, Ph.D.
Distinguished Professor
Department Chair, MGM
Director, AIM Center
University of New Mexico School of Medicine
vderetic@salud.unm.edu

SARA G.M. PICCIRILLO

Curriculum Vitae

Researcher Unique Identifier: [REDACTED]
Nationality: [REDACTED]
E-mail: SPiccirillo@salud.unm.edu
Work Address: 915 Camino de Salud NE, 87131 Albuquerque (NM)
Telephone: +1-505-272-8520

Education

- 2008 PhD in Translational and Molecular Medicine**
University of Milan-Bicocca, Italy.
Dissertation: “Regulatory mechanisms in cancer stem cells from human glioblastomas”.
Graduation score: Excellent.
- 2003 MSC in Medical Biotechnology**
University of Milan, Italy.
Dissertation: “DNA-microarray analysis of anti-tumour factors expressed by neural stem cells during differentiation”.
Graduation score: 110/110 with honors.

Research Experience

- 2019 – present Assistant Professor (Tenure-Track)**
Department of Cell Biology and Physiology, University of New Mexico Health Sciences Center, Albuquerque (NM) USA (Secondary appointment: Department of Neurosurgery).
- 2018 – 2019 Assistant Professor (Research-Track)**
Division of Hematology and Oncology, Department of Internal Medicine, UT Southwestern Medical Center, Dallas (TX) USA.
- 2017 – 2018 Instructor**
Division of Hematology and Oncology, Department of Internal Medicine, UT Southwestern Medical Center, Dallas (TX) USA.
- 2014 – 2016 Visiting Senior Researcher**
Department of Neurology & Neurotherapeutics, UT Southwestern Medical Center, Dallas (TX) USA.
- 2010 – 2016 Research Associate (Colin Watts Lab)**
John van Geest Centre for Brain Repair, Department of Clinical Neurosciences, University of Cambridge, Cambridge, UK.
- 2008 – 2009 Senior Researcher (Stemgen s.p.a.)**
Department of Biotechnology and Biosciences, University of Milan-Bicocca, Milan, Italy.
- 2005 – 2008 PhD Student (Angelo Vescovi Lab)**
Department of Biotechnology and Biosciences, University of Milan-Bicocca, Milan, Italy.
- 2003 – 2005 Graduate Fellow (Angelo Vescovi Lab)**
Department of Biotechnology and Biosciences, University of Milan-Bicocca, Milan, Italy.



BRIGHAM AND
WOMEN'S HOSPITAL



HARVARD
MEDICAL SCHOOL

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Khalid Shah, MS, PhD.

Vice Chair of Research, Department of Neurosurgery

Director, Center for Stem Cell Therapeutics and Imaging
Director, Center for Excellence in Biomedicine

Associate Professor, Harvard Medical School
Principal Faculty Harvard Stem Cell Institute

July 10th, 2021
Cinnamon Blair
Chair of the UNM Naming Committee

Re: Appointment of Dr. Sara G.M. Piccirillo as The Robert M. Faxon Jr. Endowed Professor in Neuro-Oncology

Dear Ms. Blair,

I write to strongly support Dr. Sara G.M. Piccirillo as the recipient of The Robert M. Faxon Jr. Endowed Professorship in Neurologic Oncology. Dr. Piccirillo is a Tenure-Track Assistant Professor in the Department of Cell Biology and Physiology at the University of New Mexico (UNM) Health Sciences Center and is a Full Member of the UNM Comprehensive Cancer Center.

To briefly introduce myself, I am the Vice-Chair of Research of Neurosurgery at Brigham and Women's Hospital and an Associate Professor at Harvard Medical School. I also direct the Center for Stem Cell Therapeutics and Imaging and am a Principal Faculty at Harvard Stem Cell Institute. My research is focused on developing and testing novel stem cell-based therapies for cancer and I have published a number of studies in very high-impact journals validating the use of therapeutic stem cells alone and in combination with clinically approved drugs for brain tumor therapy. I am on the editorial board of scientific journal *Stem Cells*, *Molecular Therapy* and *Cytotherapy* and also hold memberships in the International Society for Cellular Therapy, and the American Association of Cancer Research (AACR), Society for Neuro-oncology (SNO) and American Society for Cell and Gene Therapy (ASCGT). I have been invited to review manuscripts for several journals and have been the invited speaker for over 25 major meetings and conferences in the last three years alone. These accomplishments place me in an ideal position to provide a candid evaluation of Dr. Piccirillo's abilities and can present some of the highlights of her work for your consideration.

I became familiar with Dr. Piccirillo's work through my review of her paper entitled, "Bone morphogenetic proteins inhibit the tumorigenic potential of human brain tumor-initiating cells", published in the premier international journal, *Nature* in 2006. This work represented a major breakthrough in brain tumor research. Specifically, she uncovered that a key regulatory mechanism driving differentiation in neural stem cells also operates in brain tumor-initiating cells and demonstrated that promotion of cell differentiation inhibits brain tumor growth. This discovery was well beyond that of a Ph.D. student and was an early indicator of Dr. Piccirillo's outstanding research ability as a scientist. In 2008, I was invited by Dr. Piccirillo's Ph.D. supervisor, Professor A.L. Vescovi of the University of Milan to co-author a review article to summarize the key findings and state of art in brain tumor research. Dr. Piccirillo was a key contributor to the review article, which was published in the *Journal of Molecular Medicine*. From this experience, I can confirm that Dr. Piccirillo has extensive knowledge of her research field and is capable of critical analysis and discussion of scientific literature.

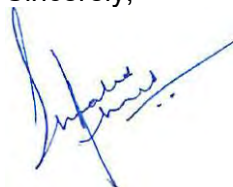
Throughout the years, I have been following Dr. Piccirillo's research and her scientific and academic accomplishments. She has superb academic training and research experience in brain tumor research acquired as a graduate/post-graduate at the University of Milan, as a post-graduate at the University of Cambridge, and as a visiting researcher/faculty member at UT Southwestern Medical Center. She has made great contributions to our understanding of tumor-initiating cells in human brain tumors. Her research has been detailed in high-impact factor journal articles and at conference presentations. The impact of her findings is further evidenced by a high number of citations to her published research.

Despite her young age, Dr. Piccirillo is also an active reviewer for several international peer-reviewed journals, including *Nature Genetics* and *Cancer Research* among others. She serves on the Editorial Board of *Neuroimmunology and Neuroinflammation* and has been a Lead Guest Editor of a Special Issue on brain tumors that will be published in *Biomed Research International*. Overall, Dr. Piccirillo has demonstrated a fine grasp of the technical skills needed to carry out her experiments, along with the creativity and innovation as an independent Principal Investigator required for defining new ground in this scientific field. She is undoubtedly an outstanding scientist in brain tumor research. It is not by coincidence that since 2007 she is the recipient of numerous international awards and prestigious fellowships, such as the *Marie Curie Intra-European Fellowship for Career Development* of the European Commission, the first *Young Investigator Award* granted by the British Neuro-Oncology Society and Brain Tumor Research UK, the *Gianni Bonadonna Prize for New Drug Development in Oncology* and more recently a 2-year *Independent Investigator Research Grant* by the AACR and the *Translational Adult Glioma Award* granted by The Ben and Catherine Ivy Foundation.

I hereby offer my highest recommendation for this well-deserved Endowed Professorship to Dr. Piccirillo. UNM is very fortunate to have her among its faculty. I strongly believe that she is precisely the type of scientist who will continue to make great contributions to our understanding of the biology of human brain tumors and to the development of more effective therapies.

My very best regards to you.

Sincerely,



Khalid Shah, MS, PhD
Harvard Medical School
Brigham and Women's Hospital

August 10, 2021

Ms. Cinnamon Blair
Chair of the University of New Mexico Naming Committee

**Re: Appointment of Dr. Sara G.M. Piccirillo as the Robert M. Faxon Jr.
Endowed Professor in Neuro-Oncology**

Dear Ms. Blair:

I write this letter to enthusiastically support Dr. Sara G.M. Piccirillo as the recipient of the Robert M. Faxon Jr. Endowed Professor in Neuro-Oncology.

I received my M.D. degree from Tianjin Medical University, China and Ph.D. degree at the University of Navarra. I then conducted post-doctoral research with Professor Charles Eberhart at Johns Hopkins University. I am currently an Associate Professor of Neurosurgery and Cell and Developmental Biology at the University of Michigan. The focus of my research is the development of novel therapies for the treatment of the most common malignant brain tumors in children and adults, i.e. medulloblastoma (MB) and glioblastoma (GBM), and I have demonstrated that blocking a critical regulatory signaling pathway operating in these tumors inhibits the engraftment of MB and GBM cells in vivo. My current projects include exploring the translational therapeutic application of these findings and identifying the mechanisms by which this and other signaling pathways regulate MB and GBM cells. I have followed Dr. Piccirillo's work since 2005 while she was a doctoral student with Professor Vescovi at the University of Milan, Italy. At that time, I closely collaborated with Dr. Piccirillo and the Vescovi laboratory on an important brain cancer stem cell project. Together we authored two publications showing that inhibition of critical pathways regulating self-renewal in stem cells leads to the depletion of brain tumor-initiating cells. These papers were published in *Stem Cells* in 2007 and 2010. Since then, I have sought out Dr. Piccirillo for her expertise in brain tumor cell biology, and have learned many complex concepts and techniques from her.

Dr. Piccirillo has made pioneering discoveries about brain tumors that are directly impacting therapeutic measures. Certainly, her publication and presentation record evidence the importance of her work. I have had the opportunity to attend several of her presentations at such conferences as the Annual Meeting of the Society of Neuro-Oncology in 2018 and 2015, the American Association for Cancer Research (AACR) Annual Meeting in 2011, 2013, and 2015, the AACR-JCA Joint Conference: Breakthroughs in Basic and Translational Cancer Research in 2013, and the International Society for Stem Cell Research Annual Meeting in 2007. Her presentations were timely and extremely well received by large scientific audiences.

I would also like to emphasize that Dr. Piccirillo stands well ahead of her fellow faculty. This is particularly evident in the receipt of very competitive grants, her ability to establish and financially support her laboratory at the University of New Mexico and mentor undergraduate and graduate students. As an example of her outstanding ability, I would like to emphasize that Dr. Piccirillo has received several international competitive awards since 2007 when she was a doctoral student. To name a few, she received the Marie Curie Intra-European Fellowship for Career Development in the amount of 200,000€ and a Research Fellowship at Hughes Hall College, Cambridge both of which funded her post-doctoral research on brain tumors

at the University of Cambridge. More recently, she has been the recipient of the highly competitive New Investigator Cancer Research UK Award for young investigators embarking on an independent career, the Independent Investigator Research Grant by the AACR and the 2021 Translational Adult Glioma Award by The Ben and Catherine Ivy Foundation.

I am certain that if she is appointed as The Robert M. Faxon Jr. Endowed Professor in Neuro-Oncology, Dr. Piccirillo will continue to make great strides in the treatment of brain tumors.

Please feel free to contact me if you have any additional questions.

With best regards,



Xing Fan, M.D., Ph.D.
Associate Professor of Neurosurgery
Associate Professor of Cell and Developmental Biology
University of Michigan Medical School
Department of Neurosurgery
109 Zina Pitcher Place, 5009 BSRB
Ann Arbor, MI 48109-2200
Phone: 734-615-7266
Pager: 734-936-6266: 30024
Fax: 734-763-7322
Email: xingf@umich.edu
Lab Website: <https://www.med.umich.edu/thefanlab/>



August 4, 2021

TO: Student Success, Teaching and Research Committee

FROM: Rick Holmes, Office of the University Secretary

SUBJECT: Approval of naming requests for the School of Medicine and the Comprehensive Cancer Center

Upon recommendation of the School of Medicine, Interim Dean Martha McGrew, the University Naming Committee approved the naming of the items listed below:

Request approval of appointment of Dr. Anne Greene as the holder of the Dr. Irene Uhrik Boone Endowed Chair in Pediatrics.

(3.2.6. Endowed Faculty Positions)

Request approval of appointment of Zoneddy Ruis Dayao MD as the holder of the 'Maralyn S. Budke Endowed Professorship in Cancer Care Delivery'

(3.2.6. Endowed Faculty Positions)

Request approval to create an endowed professorship the, 'Dana C. Wood Professorship in Cancer Immunotherapy'

(3.2.6. Endowed Faculty Positions; 2.2 Private Financial Support)

Request approval to appoint Eric C. Bartee MD to the 'Dana C. Wood Professorship in Cancer Immunotherapy'

(3.2.6 Endowed Faculty Positions)

Request approval to create an endowed professorship the, 'Victor and Ruby Hanson Surface Professorship in Cancer Population Sciences and Cancer Health Disparities'

(3.2.6. Endowed Faculty Positions; 2.2 Private Financial Support)

Request approval to appoint Shiraz Mishra, MBBS, PHO to the 'Victor and Ruby Hanson Surface Professorship in Cancer Population Sciences and Cancer Health Disparities'

(3.2.6 Endowed Faculty Positions)

Request approval to create an endowed professorship the, 'Victor and Ruby Hanson Surface Professorship in Cancer Health Disparities and Community Engagement'

(3.2.6. Endowed Faculty Positions; 2.2 Private Financial Support)



Request approval to appoint Dr. Andrew Sussman PhD to the
'Victor and Ruby Hanson Surface Professorship in Cancer Health Disparities and
Community Engagement'

(3.2.6 Endowed Faculty Positions)

Please place these items on the next Academic, Student Success, Teaching and
Research Committee meeting agenda for consideration.

Thank you.

Attachments


MEMO

To: Cinnamon Blair
Chief of Staff, UNM President

From: Martha Cole McGrew, MD
Interim Dean, UNM School of Medicine

Date: July 6, 2021

Re: Naming Appointment of the Dr. Irene Uhrik Boone Endowed Chair in Pediatrics



Dear Ms. Blair and members of the naming committee,

On behalf of the UNM School of Medicine's Department of Pediatrics, I would like to request approval to install the inaugural holder of the Dr. Irene Uhrik Boone Endowed Chair in Pediatrics. This naming request is respectfully submitted in accordance with UNM Administrative Policy 1020: Naming Facilities, Spaces, Endowments, and Programs, under Section 2.1.

Dr. Irene Uhrik Boone was a Pediatrician in Los Alamos, and served as a volunteer faculty here at UNM, working with residents and medical students in our outpatient Pediatric clinic. She was connected with the Department of Pediatrics in her passion for educating our medical learners in New Mexico.

Additionally, as prescribed by Faculty Handbook Policy C170 "Endowed Chairs and Named Professorships" and in consultation with faculty within the department, we seek approval to appoint Dr. Anne Greene.

Dr. Greene joined the faculty of the University of New Mexico in 2015. Shortly after in 2016 she was named Vice for Education and started the Pediatric Educator's Academy to support academic endeavors by the faculty in education. In 2019 she was named Vice Chair and is responsible for faculty development. In all roles, Dr. Greene is a staunch advocate for our learners in practice, curriculum, mentoring and in helping our faculty in their education excellence and professional career advancement.

It is our belief that Dr. Greene will carry on Dr. Uhrik Boone's legacy and embody the passion and professionalism that Dr. Uhrik Boone provided to New Mexican's both in her practice and as a volunteer faculty member of UNM. For your consideration, you will find Dr. Greene's Curriculum Vitae and letters of recommendation attached.

Thank you for your time and consideration of this request.



TO: UNM Foundation

FROM: Lanier Lopez, M.D.
Vice Chair of Education, Department of Pediatrics
Assistant Dean of Graduate Medical Education
Associate Professor of Pediatrics

DATE: June 25, 2021

RE: Irene Uhrik Boone Endowed Chair recommendation: Dr. Anne Greene

Dear UNM Foundation Members,

I am writing to provide my full support for Dr. Elizabeth Anne Greene to be named as the Irene Uhrik Boone Endowed Chair. Though different women in different subspecialties, they both demonstrated an unwavering commitment to their patients and a passion for going beyond the office walls to educate providers at the University of New Mexico and across the state of New Mexico. They did all of this in a steadfast, compassionate, wise manner and it was no doubt that the two are and were adored by their patients and learners.

I have known Dr. Greene for 6 years. Upon meeting her, I instantly knew she would be a mentor for me when I was the Pediatric Residency Program Director. She had experience as a program director herself, she and I shared prior mentors at Johns Hopkins Children's Hospital and she embodied wisdom and grace that I admired. She has been my sound board when navigating leadership challenges, she sought opportunities for my professional development and scholarship, and she advised me on projects I pursued. Dr. Greene not only served as my mentor but the mentor for several other junior faculty with strong interests in education, rural medicine and global health.

When she served as Vice Chair of Education, she established the Pediatric Educator's Academy. This was a group of faculty with strong interests in education and education scholarship. She hoped to unite faculty's passions to further their ideas, projects and initiatives. This was a fruitful endeavor as many projects have been developed and

presented, however, most importantly faculty were supported and encouraged in their pursuits.

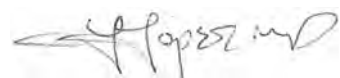
In my education leadership roles, I am privy to the thoughts the residents and students hold regarding our faculty members. Dr. Greene is a shining gem in their eyes. She carves out time from of her demanding schedule to provide Morning Reports, additional EKG training, Resident School seminars, board preparation advisement and provide an outstanding experience on their Cardiology Rotation. It is no doubt that she has been the recipient of so many learner awards and kudos.

Additionally, Dr. Greene has a strong commitment to the Native American patients and IHS providers here in New Mexico. She has provided cardiology education seminars for both Gallup and Zuni IHS providers. She travels to Gallup IHS and hosts a Cardiology Outreach Clinic to help patients where travel to UNMH would be considered an obstacle to care. She previously has experience working for IHS in Tuba City, Arizona and here she was quite aware of these obstacles and she draws upon this background to best advocate for her patients' needs here at UNMH and when at IHS outreach clinics.

Dr. Greene is also revered by her patients and their families. She works hard on their behalf and comes in at any hour when her patients are admitted to the hospital. I have cared for many of her patients as a Hospitalist and I can recall their stories about how she was a source of comfort, trust, compassion and peace as she cared for their children through some of the most scary and challenging moments of their life. Knowing how much the Hospitalists trust and rely upon her, I understand these families' sentiments and value of the care she has provided them.

I am honored that I get to work alongside Dr. Greene. I believe that Dr. Uhrik Boone would have seen her as a kindred spirit and friend given their similar backgrounds, passions and service history; I can only imagine the stories they would be able to share. I wholeheartedly endorse Dr. Elizabeth Anne Green as the Irene Uhrik Boone Endowed Chair. Please contact me if you have any further questions.

Sincerely,

A handwritten signature in dark ink, appearing to read "Lopez MD", with a stylized flourish at the end.

Lanier Lopez, MD
Vice Chair of Education, Department of Pediatrics
Assistant Dean of Graduate Medical Education
Associate Professor of Pediatrics

TO: UNM Foundation

FROM: John Brandt, M.D., M.P.H.
Professor, Department of Pediatrics

DATE: June 11, 2021

RE: Irene Uhrik Boone Endowed Chair recommendation: Dr. Anne Greene

I am writing in support of Dr. Anne Greene who has been recommended as the Irene Uhrik Boone Endowed Chair in Pediatrics. This endowed chair recognizes a clinician with an extraordinary commitment to medical education and mentorship. I have known Dr. Greene for over 14 years. In this time her passion for medical education has been a constant theme in Dr. Greene's career. While at UNM she has been deeply involved in student and resident education as a member of our resident curricular and program evaluation committees, as Vice Chair of Education for Pediatrics and as a member of the UNMSOM BA/MD admission committee. Prior to coming to UNM she served in multiple similar roles at Children's National Medical Center, Montefiore Medical Center (NYU) and John's Hopkins Hospital. Her success as an educator is exemplified in the multiple teaching awards she has received at every one of these organizations.

I have had many discussions with Dr. Greene over the years about medical education, but those that stand out most vividly are her stories as a visiting professor at Malawi Medical School (2013 - 2017). Her desire to teach clinicians at this school half way around the world exemplifies her love of teaching and commitment to bringing medical education to those who can improve pediatric care anywhere and everywhere that it is needed.

Dr. Greene is an outstanding clinician and educator. Her appointment to the Irene Uhrik Boone Endowed Chair will provide a passion for education which truly honors the legacy of Dr. Boone.

Sincerely,



John R Brandt, MD MPH



Navajo Area Indian Health Service
GALLUP INDIAN MEDICAL CENTER
516 East Nizhoni Boulevard
P.O. Box 1337
Gallup, New Mexico 87301-1337

July 5, 2021

To: UNM Foundation

From: Dr. Gilbert Alvarez

Chief of Pediatrics
Gallup Indian Medical Center

RE: Irene Uhrik Boone Endowed Chair Recommendation: Dr. Anne Greene

It is my pleasure to write this letter supporting the nomination of Dr. Anne Greene for Dr. Uhrik Boone Endowed Chair. There is no doubt that Dr. Greene has the academic and intellectual preparation and the experience to be an excellent nominee for this honorable distinction. But I would like to highlight the other qualities of Dr. Greene, qualities that she shares with Dr. Uhrik Boone. Qualities such as passion, compassion, empathy and commitment to patient care.

Over the years I have known Dr. Greene, I have known her to be an extremely sensitive, warm and well-liked person. Due to these qualities; colleagues, residents and students naturally gravitate towards her for advice and guidance. Many may be educators but only a few are mentors and Dr. Greene is one of them.

I had the honor of working with Dr. Greene for the past 6 years. She is one of our health care consultants in the pediatric cardiology area. During this period I developed an enormous respect for her dedication to our pediatrics patients. She is the kind of provider who goes out of her way to ensure that our patients receive the best possible health care. In addition she helped to re-establish the collaboration agreement between UNM Pediatric Residency Program and GIMC, allowing the residents to do their rural rotations in our institution.

I can attest to her commitment to work for this community and how she have been an advocate for rural community patient care in New Mexico and Arizona, from the beginning of her career in Tuba City until today. Not all the time one has the opportunity and the blessing of meeting people like Anne. Someone with passion and purpose, a dreamer and a doer and an agent of change who has impacted lives for over 35 years.

This is why it is an honor to enthusiastically endorse her nomination for the Dr. Uhrik Boone Endowed Chair.

Cordially,

Dr. Gilberto Alvarez

GIMC Chief of Pediatrics

TO: UNM Foundation

FROM: Christal P. Chow, M.D.
Assistant Professor of Pediatrics, Department of Pediatrics

DATE: June 18, 2021

RE: Irene Uhrik Boone Endowed Chair recommendation: Dr. Anne Greene

Dr. Greene is a phenomenal provider, community advocate, education leader, and mentor. Dr. Greene is committed to providing excellent care to all children in New Mexico. She works tirelessly to ensure each of her patients are appropriately cared for, spending hours reviewing their medical needs and ensuring open lines of communication between herself and multiple providers. To support her patients in rural areas, Dr. Greene even travels to Gallup each month to see her patients in outreach clinic.

Not only does Dr. Greene represent the epitome physician excellence, but she is also a leader and mentor in education. Teaching and lecturing at multiple levels, Dr. Greene has won numerous outstanding teaching awards from both medical students and residents. She also mentors multiple faculty, both junior and senior, encouraging and guiding the next generation of educators. Dr. Greene has done so much to galvanize the importance of education.

Dr. Greene's mentorship is invaluable. While her knowledge base, experiences, and expertise are extensive, it is really her ability to connect with the individual, understand their needs, and subtly and gently encourage growth that makes her stand out. I highly recommend Dr. Anne Green to be the Irene Uhrik Boone Endowed Chair.

Sincerely,



Christal P. Chow, MD FAAP
Pediatric Hospitalist
Assistant Professor of Pediatrics
University of New Mexico

To: Cinnamon Blair
Chair, UNM Naming Committee

From: Loretta Cordova de Ortega, MD
Chair, Department of Pediatrics

Date: July 1, 2021

Re: Appointment of the Dr. Irene Uhrik Boone Endowed Chair

Dear Ms. Blair and members of the Naming Committee,

I am writing in enthusiastic support for the selection of Elizabeth Anne Greene, MD, Vice Chair, Department of Pediatrics, to be the recipient of the Dr. Irene Uhrik Boone Endowed Chair. Dr. Greene is superbly qualified for this honor as reflected by her dedication to the University of New Mexico and her vast accomplishments.

Dr. Greene received her MD from the University of Wisconsin. She completed her Pediatric Internship and Residency at SUNYY Upstate Medical Center in Syracuse, New York. After completing her training, Dr. Greene came to the west where she worked in Tuba City HIS. She then went on to training in Pediatric and Adult Emergency Medicine in Washington, DC, and completed a pediatric Emergency medicine fellowship at Children's National in DC. She began her teaching career at Johns Hopkins in the Adult and Pediatric Emergency medicine, winning a house staff teaching award.

Dr. Greene realized early on that teaching was the part of medicine that excited and sustained her. She then taught and practiced Emergency Medicine in Virginia, and helped found a fellowship in Pediatric Emergency Medicine for Emergency Medicine residents at Fairfax hospital. Her family moved to New York and she taught and practiced Community Pediatrics and Advocacy at Montefiore Hospital and then taught General Pediatrics at Mt. Sinai, winning a house staff teaching award. Dr. Greene chose a new career path and went on to fellowship training in Pediatric Cardiology at Children's National in DC and Pediatric Electrophysiology at Stanford. She took a position at NYU teaching Cardiology Fellows and Residents.

Dr. Greene had an affinity for New Mexico, especially her experience in the IHS system and in 2007 she came to UNM for 2 years, where she was in charge of Pediatric Cardiology education, winning the house staff teaching award. Her family returned to DC in 2009 and she returned to Children's National becoming the Cardiology Fellowship Director. She was honored with an educational mentorship award. During that time she traveled to the Malawi School of Medicine and developed a Cardiology curriculum with the pediatric registrars and their faculty. She used a faculty teaching award from Children's National to help with educational supplies. She has made repeat trips to Malawi, and continues to conduct long distance case conferences.

In 2015, Dr. Greene returned to UNM and was drawn to her love of caring for patients in the Navajo nation and IHS. She continued her passion with education as she took on more educational responsibility. She resumed Cardiology education for Residents, serves as the Director of Pediatric Electrophysiology and Cardiac Exercise Testing, and is the education director for Pediatric Cardiology. She was honored with 2 house staff teaching awards since her return to UNM. She also started the Global and Rural Health program and is now the senior advisor to that track.

In 2016, Dr. Greene was named Vice Chair of Education and started the Pediatric Educator's Academy to support academic endeavors by the faculty in education. In 2019 she was named Vice Chair of the Department where she is responsible for faculty development.

In all roles, Dr. Greene is a staunch advocate for our learners in practice, curriculum, mentoring and in helping our faculty in their education excellence and professional career advancement. She teaches our residents and medical students in Pediatrics as well fellows in Cardiology, Emergency Medicine, and neonatology. She provides education to our community providers throughout the state and she travels to outreach clinics in Shiprock, Farmington, Gallup and other areas. She provides outstanding and compassionate care to her patients and cares deeply about the patients she serves.

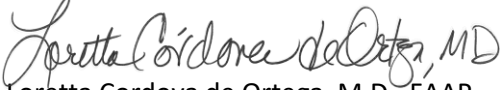
Dr. Irene Uhrik Boone was a Pediatrician in Los Alamos, and served as a volunteer faculty here at UNM, working with residents and medical students in our outpatient Pediatric clinic. She was connected with our department in her passion for educating our medical learners in New Mexico. In 1967, Dr. Boone opened her Pediatric and Adolescent Medicine Practice in Los Alamos Medical Center. Fulfilling her love for teaching medical student and residents, Dr. Boone served on the faculty here at UNM. She was a quiet and soft spoken woman and had a great passion for education.

Dr. Boone died in January of 2009, at the age of 86. Her husband, "Slim" Boone, began estate planning to honor the passion and wishes of his late wife, working with the foundation and our department to establish this Endowed Chair fund, which integrated with our academic mission. This endowed Chair fund was established, a few years after Slim Boone's passing, as a quasi-endowment. Dr. Boone's estate contributed a large sum of money and through the years we have had the opportunity to build and internally match the funds in order to create a full endowed chair, that lives in perpetuity. This is a wonderful opportunity to honor a community provider who not only impacted the education of our medical students and residents in training, but also her impact lives in perpetuity with this generous gift. It has been an honor in the Department of Pediatrics that we have been able to complete this endowment.

Dr. Greene is a Professor, Pediatric Cardiologist and Vice Chair of the Department of Pediatrics. With her passion for medical education, mentorship and leadership, she has received multiple awards and accolades in education and leadership excellence. Dr. Greene has over 30 years of experience as a clinician and educator, with numerous medical director and education leadership roles at various academic medical centers and programs, throughout her career.

This honor carries an esteemed title, but mostly it aligns so perfectly with Dr. Greene's kindred spirit to Dr. Boone, in her devotion and love for teaching our learners and her commitment to New Mexico, especially rural New Mexico. I strongly support Dr. Anne Greene being named as the Dr. Irene Uhrik Boone Endowed Chair in the Department of Pediatrics.

Sincerely,



Loretta Cordova de Ortega, M.D., FAAP

John D. Johnson Endowed Chair

Professor and Chair

Department of Pediatrics

Curriculum Vitae

E. Anne Greene, MD FAAP
Vice Chair of Pediatrics
Professor of Pediatrics, University of New Mexico
Division of Pediatric Cardiology
Email: egreene@salud.unm.edu

Education

Fellowships

9/01-2/02,	Pediatric	UCSF/Stanford
7/02-12/02	Electrophysiology	
1999-2002	Ped Cardiology	Children's National, DC
1985-86	Ped Emergency	Children's National, DC

Residencies

1985-87	Emergency Med	Georgetown, and George Washington
1982-84	Pediatrics	SUNY/Upstate Med Ctr

Internship

1981-82	Pediatrics	SUNY/Upstate Med Ctr
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Medical School

1981	M.D	Medicine	U of Wisconsin
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College

1976	B.S.	Developmental Biology	UW-Green Bay
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High school

1970	HS	Bronx HS of Science,	New York
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Employment History

Academic Appointments

2019	Vice Chair of Pediatrics	University of New Mexico
2016	Vice Chair of Pediatrics for Education	University of New Mexico
2015	Professor of Pediatrics (Clinician Ed)	University of New Mexico
2009-2015	Associate Professor of Pediatrics	GW University
2007-2009	Associate Professor of Pediatrics	University of New Mexico
2004-2007	Assistant (Clinical) Professor of Pediatrics	New York University
2003- 2004	Assistant Clinical Professor of Pediatrics	Columbia University
1997- 1999	Assistant Professor of Pediatrics	Mount Sinai School of Medicine

MEMO

To: Cinnamon Blair
Chair, UNM Naming Committee

From: Cheryl Willman, MD
Maurice and Marguerite Liberman Distinguished Chair in Cancer Research
Director & CEO, UNM Comprehensive Cancer Center

Mark Unruh, MD
Professor and Chair – UNM Department of Internal Medicine

Date: June 30, 2021

Re: Appointment of Zoneddy Ruiz Dayao MD to the Maralyn S. Budke Endowed
Professor in Cancer Care Delivery

Dear Ms. Blair and members of the naming committee,

On behalf of the UNM Comprehensive Cancer Center (UNMCCC) and UNM School of Medicine Department of Internal Medicine, and, as prescribed by Faculty Handbook Policy C170 “Endowed Chairs and Name Professorships” and in consultation with faculty within the Department and UNMCCC, we seek approval to appoint Zoneddy Ruiz Dayao MD., as the Maralyn S. Budke Endowed Professor in Cancer Care Delivery. We are requesting a change of the holder of this professorship which was previously held by Dr. Richard Lauer.

In 2020, Dr. Dayao appointed Chief Medical Officer of the UNMCCC. She is responsible for the oversight of all system level activities, clinical operations, creation of service lines and implementation of performance improvement processes across the Cancer Center. She is also a Professor of Medicine and current Interim Chief of the Division of Hematology/Oncology. Dr. Dayao focuses her clinical practice on breast cancer. As a clinician, her area of research includes breast cancer symptom control and survivorship. She is the site Principle Investigator (PI) for the ALLIANCE, an NCI cooperative group where the UNMCCC consistently ranks as the top minority accruing site in the country. She is also a member of the ALLIANCE Breast Committee and Symptom Control. Since 2015, she has been a member of the NCI Steering Committee for Symptom Control and Quality of Life and one of the 2 designated reviewers for minority/underserved perspective for all trials under review. Dr. Dayao also has current and past funding for survivorship from NIH and the NM Department of Health. She is also an invited member of the American Society of Clinical Oncology (ASCO) Practice Guideline Committee and have been the official reviewer of several guidelines on breast cancer. Dr. Dayao has been professionally recognized with several honors and awards. In 2019, she received an Outstanding

Faculty Award with the UNM CCC and was the first recipient of the William Harrington Award for Outstanding Fellow with the University of Miami among many others. For your consideration, I have included Dr. Zoneddy Ruiz Dayao's CV and letters of support for your review.

Thank you for your time and consideration of this request.

CAROLYN MULLER, M.D.

Professor & Chief
Division Gynecology Oncology
(505) 925-0460

TERESA L. RUTLEDGE, M.D.

Professor
Division Gynecology Oncology
(505) 925-0461

SARAH F. ADAMS, M.D.

Associate Professor
Division Gynecology Oncology
(505) 925-0461

EMILY WU, MD

Assistant Professor
Division Gynecology Oncology
(505) 925-0460

STEPHANIE RIEDER, MD

Gyn Oncology Fellow
Division Gynecology Oncology
(505) 925-0461

MARINA MILLER, MD

Gyn Oncology Fellow
Division Gynecology Oncology
(505) 272-4946

DEVIN JONES, MD

Gyn Oncology Fellow
Division Gynecology Oncology
(505) 925-0461

MONIQUE GAEDE, PA-C

Division Gynecology Oncology
(505) 272-4946

July 7, 2021

Ms. Cinnamon Blair

Chair of the UNM Naming Committee

Dear Ms. Blair,

It is my pleasure and privilege to write the strongest letter of support for Dr. Zoneddy Dayao as the recipient of the Maralyn S. Budke Endowed Professor in Cancer Care Delivery. Dr. Dayao is currently the Chief Medical Officer of the University of New Mexico Comprehensive Cancer Center and an absolutely outstanding Medical Oncologist with special expertise in Breast Cancer care.

Dr. Dayao did her early medical training at the prestigious University of Philippines College of Medicine and subsequently came to the United States for Internship and residency at Cook County Hospital, Chicago, IL. She did her Hematology/ Oncology Fellowship training at the University of Miami- Jackson Memorial Hospital after which she came to the University of New Mexico as an Assistant Professor in 2003. She has been an exceptional leader within the UNM Cancer Center and has been absolutely instrumental in building a world class Breast Cancer Program, which offers state of the art breast cancer care and clinical trials to all women in New Mexico. During this time she built and served as Medical Director of the Hereditary Cancer and Assessment Program beginning in 2010, which is now a premier cancer genetics assessment program that serves all of New Mexico. Dr. Dayao also served as Medical Director, Inpatient Medical Oncology Unit from 2014 – 2017 and then transitioned to Medical Director, Outpatient Cancer Clinic. Her main goals in this role is to establish the highest quality care for all procedures within a comprehensive cancer center and she has done this on an utterly outstanding level. She serves as the Chair of the Cancer Committee making certain that we remain in good standing with the Commission of Cancer and she continues to build an exceptional team to process Press Ganey data and optimize excellence in all service lines within the center. If you have an opportunity to visit the outpatient center you will see the impact of her impeccable leadership during every step of the cancer patients encounters. Dr. Dayao also is an effective leader within the community and builds community engagement to make certain quality cancer care is accessible to all New Mexicans. Delivering quality and timely care to all populations in need is one of the most critical aspect of cancer care.

I have had the privilege to work with Dr. Dayao throughout my 17 years here at UNM since she matriculated here in 2003 and it has been an absolute pleasure to see her grow into the strong leader that she is.

I know her commitment and passion to providing the most optimal cancer care is palpable and she surely is a moral leader and highly deserving of the prestigious Endowment that is specifically directed to cancer care delivery.

I give Dr. Dayao my highest recommendation and should you need any further information please do not hesitate to contact me.

Sincerely,

A handwritten signature in dark ink, appearing to read 'Cmuller', with a long horizontal flourish extending to the right.

Carolyn Muller, MD

Professor, Division Chief Gynecology Oncology

Associate Director Clinical Research

The Judy Putman Dirks Endowed Professor in Gynecologic Cancer Care

University of New Mexico Comprehensive Cancer Center

MSC 07 4025

Email: cmuller@salud.unm.edu

To: Ms. Cinnamon Blair
Chair of UNM Naming Committee
Chief Marketing and Communications Officer

Re: Appointment of Dr. Zoneddy Dayao as the Maralyn S. Budke Endowed Professor in
Cancer Care Delivery

Date: July 7, 2021

Dear Ms. Blair,

It is a privilege to write in support of Dr. Zoneddy Dayao as the recipient of the Maralyn S. Budke Endowed Professor in Cancer Care Delivery. Dr. Dayao is the Deputy Director for Clinical Affairs and Chief Medical Officer and is in charge of the University of New Mexico (UNM) Comprehensive Cancer Center's cancer care delivery mission.

I have had the opportunity to work with Dr. Dayao as part of a cancer survivorship project supported by our Comprehensive Cancer Program. Since its launch two years ago, Dr. Dayao has served as the UNM Principal Investigator on the "Development and Delivery of Cancer Survivorship Care Plans, Provision of Patient Navigation, and Education for Healthcare Providers Project." Her vision and direction have guided an expansion of cancer survivorship services available to those receiving care at the UNM Comprehensive Cancer Center (UNM CCC).

At its inception, the project aimed to create and deliver survivorship care plans in alignment with then-current Commission on Cancer standards. Dr. Dayao pulled together a large and multidisciplinary team to work on the project, and within a few years the project has expanded well beyond the original goal.

Under Dr. Dayao's direction, the UNM CCC team collaborated with Information Technology and Patient Services staff to align MOSAIQ, the center's electronic medical record, to allow development and delivery of survivorship care plans to providers. Because survivorship care plans can only make a difference if they are used, the team has worked to disseminate completed plans to both patients and their primary care providers. Most recently, Dr. Dayao's team collaborated with Project ECHO to produce professional cancer survivorship education, with the first session on "The intersection of palliative care and survivorship care" presented in June 2021. Notably, Dr. Dayao's vision and commitment led to the creation of a Survivorship Program and the hiring of a coordinator for the Program.

Through all of these efforts, it is clear that Dr. Dayao is laser focused on providing the highest quality of care for cancer patients, from the start of their cancer journey and well beyond their active treatment. She is tireless and passionate about her work and the people cared for by UNM CCC, and her efforts are making a difference for individuals throughout the state, which will continue into the future.

Dr. Dayao is a leader with vision, compassion, and energy, and the UNM CCC is fortunate to have such a dedicated researcher and clinician working to improve services for those facing cancer throughout New Mexico. I am honored to recommend Dr. Dayao for this well-deserved endowed position.

Sincerely,

A handwritten signature in cursive script that reads "Beth Pinkerton". The signature is written in black ink and includes a horizontal line at the end.

Beth Pinkerton, Head
Cancer Prevention and Control Section
New Mexico Department of Health

Cheryl L. Willman, MD
Director &
Chief Executive Officer
505.272.5622
505.272.4039 fax

Zoneddy Dayao, MD
Chief Medical Officer
and Interim Chief,
Hematology/Oncology,
UNM Internal Medicine
505.925.0478

Carolyn Muller, MD, FACOG
Associate Director
Clinical Research
505.925.0460

Alan Tomkinson, PhD
Associate Director
Basic Research
505.272.5404

Scott A. Ness, PhD
Associate Director
Shared Resources
505.272.9883

Angela Wandinger-Ness, PhD
Associate Director
Education, Training
and Mentoring
505.272.1459

Marianne Berwick, PhD
Associate Director
Cancer Population Sciences
505.272.4369

Andrew Sussman, PhD
Associate Director
Community Outreach
and Engagement
505.272.4077

Sarah Adams, MD
Associate Director
Translational Research
505.925.0461

Rodney Martínez
Chief Financial Officer
505.925.6255

Rae Ann Paden, MPH
Associate Director
Administration and
Government/
Community Affairs
505.925.0480

Leigh Rowland, MBA
Senior Director
of Development
505.925.0471

July 13, 2021

Ms. Cinnamon Blair

Chair, UNM Naming Committee

Re: Nomination of Dr. Zoneddy R. Dayao as the recipient of ***The Maralyn S. Budke Endowed Professor in Cancer Care Delivery***

Dear Ms. Blair,

It is my great pleasure and privilege to support the nomination of Zoneddy R. Dayao, MD, as the recipient of ***The Maralyn S. Budke Endowed Professor in Cancer Care Delivery***.

After receiving her M.D. in 1995 from the prestigious University of the Philippines College of Medicine Intarmed Program, Dr. Dayao completed residency training in Internal Medicine at Cook County Hospital and fellowship training in Hematology/Oncology at the University of Miami. During her fellowship, Dr. Dayao was selected as the Chief Fellow and received the William Harrington Outstanding Fellow Award; she also received a highly prestigious Young Investigator Award from the American Society of Clinical Oncology (ASCO). In 2008, Dr. Dayao was recruited to the Division of Hematology/Oncology in the UNM Department of Internal Medicine and the UNM Comprehensive Cancer Center (UNMCCC). During her tenure at UNM, Dr. Dayao has served in an exemplary fashion in several clinical leadership roles including Inpatient Medical Director for Oncology, UNMCCC Chief Quality Officer, and UNMCCC Outpatient Medical Director where she has overseen clinical operations and quality and accreditation/certification programs. In her clinical and academic roles, Dr. Dayao is an expert in the treatment of breast cancer and her research, supported by the National Cancer Institute (NCI) at the National Institutes of Health (NIH) and the New Mexico Department of Health, focuses on breast cancer symptom control, quality of life, and cancer survivorship.

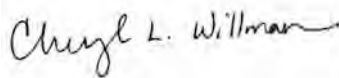
In July 2020, Dr. Dayao assumed the roles of Chief Medical Officer of the UNMCCC and Interim Chief of the Division of Hematology/Oncology in the Department of Internal Medicine in the UNM School of Medicine. She gracefully eased into these new intensive roles and immediately began to lead the Cancer Center's efforts to assure outstanding care during the unprecedented challenges of the COVID-19 pandemic. She was the Cancer Center's representative on the Emergency Operations Command (EOC), which directed all UNM Health Science Center clinical operations during COVID-19, and which coordinated all health systems statewide. Before Dr. Dayao officially assumed her new role, she worked very closely with and was mentored by her predecessor, Richard Lauer, MD, FACP, FRSM, and the UNM Comprehensive Cancer Center clinic team. Dr. Dayao implemented internal processes, secured personal protective equipment, and ensured that safety measures were put in place to protect Cancer Center and UNM Health Sciences Center staff, faculty and patients, particularly those with suppressed immune systems and those at higher risk for contracting COVID-19. We are thrilled that Dr. Dayao has assumed these new leadership roles and we will fully support her continued success and the growth of the Division and the Cancer Center.

As noted, Dr. Dayao is an expert in the treatment of breast cancer. As an academic oncology physician, she takes her roles as teacher and mentor seriously. Breast cancer management is so complex and lengthy that hematology/oncology fellows often do not see the full spectrum of this disease during their training. Dr. Dayao pioneered an innovative interactive breast cancer curriculum to supplement fellows' training, and they love the case-based and board-oriented approach. She has also been active in teaching at the UNM School of Medicine and has delivered many lectures to providers in local and regional symposia on breast cancer and clinical trials.

Dr. Dayao is a strong advocate for cancer patient care, developing the UNM Comprehensive Cancer Center's Survivorship Clinic to help cancer survivors seamlessly transition from treatment to survivorship. To implement this clinic, Dr. Dayao is working not only with clinical staff and faculty but also with community primary care providers to create opportunities to educate these physicians about cancer and survivorship. This proactive approach enables these providers to give the best care possible as their patients transition back to community care.

In closing, Dr. Dayao is an exceptional exemplary physician and leader, who also maintains research and education and training emphases. She is committed, kind, and exceptional. In my opinion, she exemplifies all the qualities that we need in our faculty and in our clinic leadership at the UNM Comprehensive Cancer Center. I give her my highest recommendation for this prestigious honor.

Sincerely,

A handwritten signature in cursive script that reads "Cheryl L. Willman". The signature is written in dark ink on a light background.

Cheryl L. Willman, M.D.

The Maurice and Marguerite Liberman Distinguished Chair in Cancer Research
UNM Distinguished Professor of Pathology and Medicine
Director & CEO, University of New Mexico Comprehensive Cancer Center

CURRICULUM VITAE

Date: June 2021

Name: Zoneddy Ruiz Dayao, M.D.

Address: 1 University of New Mexico
UNM Cancer Center
MSC07 4025
Albuquerque, NM 87131-0001

Telephone: 505-925-0405

Email: zdayao@salud.unm.edu

LICENSURE

2003- current State of New Mexico, Licensed Physician

CERTIFICATION

2003 Medical Oncology, American Board of Internal Medicine
Recertified in 2014, MOC participant

EDUCATION

07/2000-06/2003 Hematology/ Oncology Fellowship (chief fellow)
University of Miami- Jackson Memorial Hospital

07/1997-06/2000 Internal Medicine Internship & Residency
Cook County Hospital, Chicago, IL

10/1995-12/1995 Internal Medicine Pre-residency Training
University of the Philippines- Philippine General Hospital, Manila

07/1988-06/1995 Doctor of Medicine and Bachelor of Basic Medical Sciences
(Accelerated Program)
University of the Philippines College of Medicine

ACADEMIC AND ADMINISTRATIVE TRAINING

04/ 2019 Leadership Strategies for Evolving Health Care Executives
Harvard T.H. Chan, School of Public Health

01/2019-06/2019 American Society of Clinical Oncology Quality Training Program
(6 Month Course)

2018 Auditor Training, Clinical Trials Monitoring Branch
ALLIANCE for Oncology- National Cancer Institute Cooperative Group

MEMO

To: Cinnamon Blair
Chair, UNM Naming Committee

From: Dr. Cheryl Willman, MD
Maurice and Marguerite Liberman Distinguished Chair in Cancer Research
Director & CEO, UNM Comprehensive Cancer Center

Mark Unruh, MD
Professor and Chair - Department of Internal Medicine

Date: June 30, 2021

Re: Creation and Appointment of the **Dana C. Wood Professor in Cancer Immunotherapy**

Dear Ms. Blair and members of the naming committee,

On behalf of the UNM Comprehensive Cancer Center and UNM School of Medicine's Department of Internal Medicine, I would like to request approval to create the Dana C. Wood Professor in Cancer Immunotherapy.

This naming request is respectfully submitted in accordance with UNM Administrative Policy 1020: Naming Facilities, Spaces, Endowments, and Programs, under Section 2.1.

Dana C. Wood grew up in Gallup, New Mexico. He had a lifelong interest in motor sports and airplanes having worked at the Gallup Airport during his summers in high school. In the 1990's, he learned to fly and became an instrument rated pilot for propeller and jet planes. He also showed an early interest in computers and won a CS compute challenge while enrolled at UNM as a Civil Engineering student. He graduated with a BSCE in 1977 and later with a MSCE. Throughout his career, computers became a bigger part of Dana's career having developed software called PowerMerge which would sync a user's files on different computers before the internet and cloud services were available. In the 1990's, Dana and his associates started a company called Leadertech which had offices in Los Angeles and Albuquerque. Software he wrote provided registration service for a company's products using the first dial-up systems and then the internet. Dana's hero during the computer age was Steve Jobs. As fate would have it, Dana received a cancer diagnosis. Using his computer skills, he searched for cures all over the world and in the end found the best care at the UNM Comprehensive Cancer Center. He survived the disease for longer than he ever predicted. Due to his care at the UNM

Comprehensive Cancer Center, Dana wanted to provide a gift to start Chairs and Professorships that would hopefully find a cure to the cancer that took his life in 2013.

Additionally, as prescribed by Faculty Handbook Policy C170 “Endowed Chairs and Named Professorships” and in consultation with faculty within the department, we seek approval to appoint Dr. Eric C. Bartee.

Dr. Bartee is an Associate Professor, Cancer Center- Cancer Therapeutics. His interests as a scientist involve the study of virus-host interactions with particular interest paid to how these interactions can be used to improve therapy for cancer patients, a field known as oncolytic virotherapy. Oncolytics combines two powerful strategies into a single treatment. Dr Bartee’s research could potentially effectively treat a wide variety of cancers including: skin cancer, pancreatic cancer, brain cancer, head and neck cancers, and various cancers of the blood. His lab is currently working on three major projects designed to improve the oncolytic potential of the myxoma virus. Dr. Bartee has multiple academic honors and awards Developing Scholar Award in 2017 with Medical University of South Carolina and is a frequent invited speaker to such notable Universities including Dartmouth, and Mayo Clinic. Currently, he has multiple funding awards from NIH, ASC and UNM Comprehensive Cancer Center Pilot Grant program. He also holds several patents. For your consideration, I have included Dr. Eric C. Bartee CV and letters of support for your review.

Thank you for your time and consideration of this request.



July 6th, 2021

*Ms. Cinnamon Blair
Chair of the UNM Naming Committee*

Dear Ms. Cinnamon Blair,

I am writing this recommendation letter in strongest support of **Dr. Eric Barte** for the Dana C. Wood Professorship in Cancer Immunotherapy at the University of New Mexico. Eric represents everything you want in a leader in the field of cancer immunotherapy. He is a productive faculty member with high impact findings in the field of oncolytic virotherapy. Eric is also an exceptionally collaborative and caring colleague interested in building research programs that empower broader goals of the ImmunoOncology field. Given his productivity, vision, and creativity, I know Eric is the ideal person for this important Endowed Chair position. He is undoubtedly a seminal leader in the field of oncolytic virotherapy and tumor immunotherapy.

For nearly 9 years, Eric and I were faculty members and close collaborators when we were both in the Department of Microbiology and Immunology at the Medical University of South Carolina. We started our labs from scratch as junior faculty. Eric has been a very supportive and collaborative colleague during this exciting and intimidating time. He remains an exceptional collaborator even though he relocated to UNM, and I moved to Emory last year. Eric has given me excellent advice on recruiting graduate students into my lab as well as wonderful critiques on my research and my grants over the years. Most recently, he helped our team uncover why B cells can help imprint adoptive transferred CD8 T cells with long-lasting immunity to tumors (this paper is accepted with revisions to the Journal of Immunotherapy of Cancer: JITC). He is a co-author of this work and some of the key experiments that excited the reviewers of this manuscript were conducted because Eric conceived the experiment. Because of his advice and strong collaborative spirit, I have been awarded several major grants from the NCI. As Eric is a close colleague, I think I am well qualified to outline the numerous reasons why Eric is the ideal person for this prestigious endowed professorship.

First, Eric is one of the most creative and collaborative individuals I have ever met. He has provided my lab with wonderful ideas and helped build novel oncolytic virotherapies based on our findings with adoptive T cell transfer therapy for cancer. Eric also actively collaborates with many other faculty members around the world and nearly all those collaborations have yielded interesting and important results. Also, over the years, Eric and I have worked closely together on building a program project grant in T cell metabolism and cancer with Dr. Jessica Thaxton. We plan to continue building this program and to submit a P01 on tumor immunometabolism in the next year or so. Thus, if Eric were to attain this position, I have no doubt that he would be a strong leader that united faculty together to build major research grant programs (P01, SP0RES, U01) in immunotherapy.

Second, as outlined in Eric's CV and faculty website, his research focus on oncolytic virotherapy to augment tumor immunity is extremely timely, important, and interesting. In 2020 alone, he has published many high-profile

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Emory University School of Medicine
1365-C Clifton Road NE
Atlanta, GA 30322

Tel: 202. 557. 1868
Fax: 404. 778. 5520

and clinically relevant immunotherapy papers. For example, Eric's team very recently published a novel and cutting-edge manuscript in the Journal of Immunotherapy on his finding that blockade of polyamine biosynthesis using difluoromethylornithine acts as a potent immune modulator and displays synergy with PD-1 therapy to ablate solid tumors. This work was recently accepted in this important journal. In another paper in JITC, his team identified initial doses of oncolytic myxoma viruses that can program durable anti-tumor immunity independent of *in vivo* viral replication. Clearly, as evidenced by his rich body of work and many patents, Eric's findings establish a feasible path to translate these therapies against clinically relevant disease. He has been awarded several prestigious grants on this line of investigation over the years, including at the NIH, industrial partnerships, and the American Cancer Society.

Third, Eric is a very productive and **a very well-funded** investigator. Not surprisingly, Eric has excellent grantsmanship skills and sees the big picture of what the most important questions is to be asking in tumor immunology. As an endowed chair in cancer immunotherapy, he shall undoubtedly unite many of the melanoma oncologist, surgeons, and basic researchers to improve immunotherapy for future patients. Perhaps as important, Eric shares his expertise with junior faculty trying to get their own funding. He spends considerable time helping other people and many of those individuals have been awarded major grant from the NIH. Eric is not only a well-funded and productive scientist, but he is also a giving individual who wants to help others thrive in their career. Eric is an awesome, productive and caring scientist! I highly recommend him for this chair position.

In my opinion, Eric is one of the best (**top 1 percent**) researchers in oncolytic therapy in the US and internationally. He is a highly regarded scientist. In closing, I would like to reiterate my strongest recommendation of Eric for the distinction of The Dana C. Wood Professorship in Cancer Immunotherapy. He is a bright, talented and hard-working leader with a giving heart. I have no doubt that he is the ideal person for this position. I most highly recommend him. I view Eric as a true leader in his field. Should you require further information, please do not hesitate to contact me. **He is spectacular!**

Sincerely,



Chrystal Paulos Ph.D.
Director of Translational Research, Cutaneous Malignancies
Associate Professor of Surgery
Winship Cancer Center at Emory University
cpaulos@emory.edu

July 5, 2021

Ms. Cinnamon Blair
Chair, UNM Naming Committee

Dear Ms. Blair and Committee Members,

This letter offers my enthusiastic support for Eric Bartee, Ph.D. in his nomination as ***The Dana C. Wood Professor in Cancer Immunotherapy***. I have known Dr. Bartee since 2019 when I led the search committee that recruited Eric to UNM. It was clear that he was the most outstanding candidate in a pool of other impressive applicants, and we were very fortunate to recruit him. Eric has published 18 papers since he became independent, including manuscripts in *Cancer Research and JITC*, two of the more prestigious journals in the field of oncology. He has obtained five major extramural research grants (3 NIH, 1 ACS and 1 sizeable corporate research agreement) and he holds several patents that continue to draw royalties. In addition to his publications and research program, his work at his previous institution demonstrates that he is a savvy and sought-out instructor and mentor, assets that are already apparent at UNM. While Dr. Bartee was only recently recruited to UNM (arriving in Dec 2019), I can say with all confidence that he has certainly made critical contributions to UNM and the UNM Comprehensive Cancer Center since his arrival.

Eric's research focuses on how host-pathogen interactions can be used to improve therapy for cancer patients, a field known as oncolytic virotherapy. Since his lab became independent, he has used systemic MYXV treatment to mechanistically understand anti-myeloma immune response, resistance of myeloma cells to MYXV therapy, and the role viral binding plays in delivery of MYXV to sites of residual disease (R01 CA194090, \$1.7M in total funding). He has also investigated the roles of the poxviral envelope proteins by removing each viral envelope protein from the genome of MYXV, characterizing how removal of these envelope proteins impacts viral replication *in vitro*, and identifying how removal of each viral envelope protein impacts viral tropism and pathogenesis *in vivo* (R21 AI123803, \$411K in total funding). He was awarded an American Cancer Society Grant (\$792K in total funding) to investigate how secretion of a soluble PDL1 inhibitor enhances host natural anti-tumor defenses, whether additional stimulation of these defenses is required to maximize the efficacy of viral treatment and how other mechanisms that dampen a patient's defenses impact the results of treatment. He was also awarded another R21 on a similar project involving blockade of TIM3 (R21 AI142387, \$411K in total funding) and just received a highly fundable score on a new R21. Importantly, Eric's research has also led to success outside of traditional academic research settings. He is the inventor of four patents that form the basis for his obtaining non-academic funding for his lab in the form of a corporate research agreements with Systems Oncology (\$436K in total funding). This partnership has been highly productive as Eric and Systems Oncology are now in the early stages of preparing for a Phase I clinical trial based on his work. In today's funding environment, receiving five awards all within the first five years of his independence is nothing short of extraordinary.

Dr. Bartee is a brilliant scholar and avid collaborator. He has published 38 articles in peer-reviewed journals, 17 of them as corresponding author. As evidence of the quality of his work,

his complete list of publications has been cited ~2100 times. Outside of Eric's quantitative research accomplishments, I would note that many of his publications involve collaborations with both traditional academic researchers and people outside normal research settings. At MUSC he published several works with members of the local immunotherapy program. However, he had similarly productive collaborations with one of the veterinarians at MUSC as well as physician scientists. Since coming to UNM, he has initiated multiple new collaborations with basic, translational and clinical faculty. Overall, Eric has established a well-rounded and highly successful research program that will only expand and build in the future.

Dr. Bartee has become a valuable contributor to the teaching mission at UNM as a lecturer, course director and mentor. Perhaps one of his best assets as an educator is his passion and preparation. He receives high praise from the students he taught. He previously developed and implemented an entire curriculum and syllabus for a new graduate level course titled Hosts and Microbes: Partners and Pathogens. In his short time at UNM, he has successfully taken over leadership of the Cancer Biology course (BIOM515) for HSC graduate students and introduced many novel aspects to the course. Eric is also invested in the UNM's overall educational goals, and he is not shy about giving valuable input whether during faculty or other committee meetings. That investment spreads far from the classroom into his laboratory, where he is mentoring the next generation of researchers.

Dr. Bartee's administrative service shows that intramural and extramural citizenship is just as important to him as scholarship and research. At his previous university, he served at the departmental level on faculty search committees and both graduate and post-doctoral fellowship committees. Institutionally, he served for many years on the graduate admissions committee and the institutional biosafety committee as well as several smaller committees including the development committee for the college of graduate studies. He has also provided national extramural service as a study section reviewer for both the NIH and DOD as well as editorial service for PLoS Pathogens. Lastly, Eric is bringing together the immunology community at UNM with his creation of an Immunology Focus Group, with the goals of establishing improved scientific interactions, research collaborations and grant funding. This represents an essential goal within the UNM Cancer Center to foster advances in immuno-oncology.

In summary, Dr. Eric Bartee is a highly valuable asset to the University with a strong international extramural research program, a passion for academics and education and an astute sense of citizenship. It is without hesitation I recommend his recognition as ***The Dana C. Wood Professor in Cancer Immunotherapy***.

Sincerely,

A handwritten signature in black ink, appearing to read 'E. Prossnitz', with a stylized, flowing script.

Eric R. Prossnitz, Ph.D.
Distinguished Professor of Internal Medicine
Chief, Division of Molecular Medicine
The Maralyn S. Budke Endowed Chair of Cancer Chemical Biology and Therapeutics
University of New Mexico Health Sciences Center
Albuquerque NM 87131

Eric C. Bartee

Email: ebartee@salud.unm.edu

Education:

Doctor of Philosophy in Immunology: Oregon Health and Sciences University, Portland OR
Molecular Microbiology and Immunology: July 2007

Bachelor of Arts in Biology: Whitman College, Walla Walla, WA
Major in Biology, May 2000

Research Experience and Positions:

Associate Professor: Dec 2019 – Present
Department of Internal Medicine
Division of Molecular Medicine
University of New Mexico – Health Sciences Center
1 University
Albuquerque, NM 87131

Associate Professor: Jan 2019 – Nov 2019
Assistant Professor: Oct 2012 – Dec 2018
Department of Microbiology and Immunology
Medical University of South Carolina
173 Ashley Ave, MSC 509
Charleston, SC 29425

Postdoctoral Associate: September 2007 – September 2012
Department of Molecular Genetics and Microbiology
University of Florida
Academic Research Building
1600 SW Archer Rd, Gainesville, FL 32611
Advisor: Dr. Grant McFadden

Graduate Research Assistant: September 2000 – September 2007
Vaccine and Gene Therapy Institute
Oregon Health and Sciences University
505 NW 185th Ave, Beaverton, OR 97006
Advisor: Dr. Klaus Früh

Publications:

MEMO

To: Cinnamon Blair
Chair, UNM Naming Committee

From: Dr. Cheryl Willman, MD
Maurice and Marguerite Liberman Distinguished Chair in Cancer Research
Director & CEO, UNM Comprehensive Cancer Center

Felisha Rohan-Minjares, MD
Professor & Interim Chair, UNM Department of Family and Community Medicine

Loretta Cordova de Ortega MD
John D. Johnson Endowed Chair, Department of Pediatrics, Professor, General
Pediatrics
Chair, UNM Department of Pediatrics

Date: June 30, 2021

Re: Creation and Appointment of the Victor and Ruby Hanson Surface Professorship in
Cancer Population Sciences and Cancer Health Disparities

Dear Ms. Blair and members of the naming committee,

On behalf of the UNM Comprehensive Cancer Center and the UNM School of Medicine's Department of Family and Community Medicine as well as Department of Pediatrics, I would like to request approval to create the Victor and Ruby Hanson Surface Professorship in Cancer Population Sciences and Cancer Health Disparities. This naming request is respectfully submitted in accordance with UNM Administrative Policy 1020: Naming Facilities, Spaces, Endowments, and Programs, under Section 2.1.

During his life, Mr. Victor Surface was very generous to the UNM Comprehensive Cancer Center, providing one of UNM's largest gifts from an individual donor for the establishment of Endowed Professorships and Chairs and to advance cancer research. He intended for his gift to have a transformational impact on the Cancer Center and on cancer research and ultimately clinical care in New Mexico. The Surface Family Trust gift seeks to support the world-class, cutting-edge cancer research that so interested Mr. Surface, and will, to quote Mr. Surface, "go where it counts" and "where it will make a difference to everyone." Of note, Mr. Surface's daughter,

Carolyn Surface, has in addition established an Endowment Fund at the UNM Comprehensive Cancer Center which also provides funds to support Endowed Chairs and cancer research.

Additionally, as prescribed by Faculty Handbook Policy C170 “Endowed Chairs and Named Professorships” and in consultation with faculty within the department, we seek approval to appoint Dr. Shiraz Mishra, MBBS, PHD.

Dr. Mishra broad background in social ecology, with specific training in clinical medicine, health services research, and health psychology. As Principle Investigator (PI) or Co-I on several extra-/intra-mural funded grants, he have laid the groundwork for the cancer control and population sciences research by developing expertise in participatory research, developing/testing of cancer early detection and prevention interventions, and understanding challenges to recruiting minorities to interventional trials.

Currently, he is a Project Director (PD) for a trial that is testing the effectiveness of multicomponent evidence-based interventions to enhance colorectal cancer (CRC) screening among American Indians (AIs) in the Southern Plains and Southwest United States (NCI: 3P30CA118100-15S4, Willman PI) and led the development of the tri-state American Indian CRC Screening Consortium. He conducted several translational randomized trials that tested the efficacy of breast and cervical cancer educational/behavioral interventions for diverse groups of women.

He is also the PI (NCI: 1R01CA192967) on a grant that is testing the efficacy of serially implemented interventions of graded intensity to enhance CRC screening uptake among AIs in rural New Mexico. I am the lead on a Development Research Project (NIGMS #P20GM103451, Lucetti PI) and PI (UNMCCC #s: 1402, 1418) on grants that are documenting cancer control needs, and designing and testing interventions to enhance screening for screen-detectable cancers in an AI community. He is co-PD of a project (NCI: 3P30CA118100-15S3) that addresses cancer disparities through training, education, and research in the 7 states comprising NCI’s GMaP Region 3 and of a project (NCI: 3P30CA118100-15S2) that disseminates evidence-based CRC information to diverse communities.

Dr. Mishra directs the UNM HSC’s Masters of Science in Clinical Research degree program and teach a course on “Research Design”. He has a demonstrated record of research accomplishments and a productive research portfolio in the area of community-based, mechanistic translational of cancer early detection and prevention intervention research, all of which have provided him the foundational skills and preparation to serve as Leader for the Cancer Control and Population Sciences Research program at the UNM Comprehensive Cancer Center.

For your consideration, I have included Dr. Shiraz Mishra’s CV and letters of support for your review. Thank you for your time and consideration of this request.

To: Cinnamon Blair
Chair of the UNM Naming Committee

Re: Appointment of Dr. Shiraz Mishra to ***The Victor and Ruby Hanson Surface Professorship in Cancer Population Sciences and Cancer Health Disparities***

Date: July 06, 2021

Dear Ms. Blair:

I am writing to express enthusiastic support for the nomination of Dr. Shiraz Mishra to the Victor and Ruby Hanson Surface Professorship in Cancer Population Sciences and Cancer Health Disparities. I am Director of the New Mexico Tumor Registry, a founding member of the National Cancer Institute's Surveillance, Epidemiology and End Results (SEER) Program. I am also Professor in the Division of Epidemiology, Biostatistics and Preventive Medicine within the Department of Medicine at the University of New Mexico School of Medicine. I first learned of Dr. Mishra's remarkable career from his seminal work in documenting and addressing the burden of cancer among Pacific Islander populations. I have had the great honor of working with Dr. Mishra at the University of New Mexico (UNM) since 2010.

Dr. Mishra is a tenured Professor in the Departments of Pediatrics and Family and Community Medicine at UNM and is co-leader of the the Cancer Control and Population Science research program at the UNM Comprehensive Cancer Center (UNMCCC). As a health services researcher, Dr. Mishra has made significant contributions to the field in a number of areas, particularly focusing on enhancing access to and utilization of health care services, especially cancer screening, by minority, low income, and medically marginalized populations.

Since joining UNM, Dr. Mishra has expanded his research portfolio to address cancer health disparities in the Native American populations of New Mexico and beyond. From my work in cancer surveillance and epidemiology, I am well aware of the cancer disparities experienced by the Native American populations of the state and across the nation. Dr. Mishra's research focus to enhance screening for screen-detectable cancers such as cancers of breast, cervix, and colon-rectum is very forward-thinking. Through guideline concordant screening programs, these cancers can either be prevented (i.e., colorectal and cervix) or detected early (breast) for an optimal prognosis. Dr. Mishra's efforts to improve access to care and enhance screening rates can have long-lasting ramifications in reducing cancer disparities and, more importantly, cancer mortality among Native Americans.

Dr. Mishra is a highly respected investigator whose work has gained both national and international attention. He has amassed an impressive publication record with 106 peer-reviewed publications in high quality journals, two books, 16 book chapters and numerous additional web based and other publications. His excellent scholarship is under-scored by his ability to secure peer-reviewed funding as attested by over \$75 million in intra- and extra-mural research support as Principal Investigator or Co-Investigator.

Dr. Mishra's research success is largely attributable to proactively engaging communities in all aspects of the research process, without compromising scientific rigor, and ensuring the social impact of the research. Dr. Mishra's work with sovereign Tribal Nations in New Mexico and beyond requires a deep understanding of traditions, treaty obligations, historical mistrust of researchers and research, and stigma. His ability to engage tribal communities to nurture and foster partnerships and collaborations that address the communities' priorities (over a research agenda) is a hallmark of Dr. Mishra's philosophy. He has built substantial partnerships with Tribal leaders, tribal healthcare facilities, and the Indian Health Services to conduct community-based and participatory research.

In addition to his outstanding research, Dr. Mishra has made strong contributions in the area of service. He has served on several grant review panels including as panel chair and provides peer-review for many scientific journals. He has served on 61 grant review panels which reflect his national standing as a health services researcher. His expertise is further exemplified by his leadership position on the Program Steering Committee for a research and education partnership between the University of Arizona Cancer Center and Northern Arizona University, membership on the Scientific Advisory Board for the University of Oklahoma Health Sciences research project titled, "Tribally Engaged Approaches to Lung Screening (TEALS)" study, and serving on the Steering Committee for the prestigious Beau Biden Cancer Moonshot ACCSIS Initiative.

Dr. Mishra is a passionate educator and mentor. He directs a NCI-funded research education program for undergraduate students focused on providing the students research experiences and skills development activities in cancer control and population sciences, with the goal for them to pursue careers in STEM-H fields. He directs the University of New Mexico Health Sciences Center's Masters of Science in Clinical Research program. He has mentored more than 90 graduate and medical students, clinical residents and fellows, and junior faculty.

As a researcher, educator, mentor, and leader, Dr. Mishra is making indelible contributions to the health and well-being of the peoples of New Mexico and beyond. I recommend him very highly for this well-deserved endowed professorship.

Sincerely,



Charles Wiggins, Ph.D.
Director and Principal Investigator, New Mexico Tumor Registry
Professor, Department of Internal Medicine
Member, UNM Comprehensive Cancer Center
North American Representative, International Association of Cancer Registries



ROSHAN BASTANI, Ph.D.

Professor of Health Policy and Management
 Director, UCLA Kaiser Permanente Center for Health Equity
 Director, UCLA Center for Prevention Research
 Co-Director, Center for Cancer Prevention and Control
 UCLA Fielding School of Public Health

Director, Disparities & Community Engagement
 Co-Director, Cancer Control & Survivorship
 Jonsson Comprehensive Cancer Center

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 bastani@ucla.edu

To: Cinnamon Blair
 Chair of the UNM Naming Committee

Re: Appointment of Dr. Shiraz Mishra to ***The Victor and Ruby Hanson Surface Professorship in Cancer Population Sciences and Cancer Health Disparities***

Date: July 5, 2021

Dear Ms. Blair:

It is a great pleasure to write this letter in support of Dr. Shiraz Mishra who is being nominated for The Victor and Ruby Hanson Surface Professorship in Cancer Population Sciences and Cancer Health Disparities.

As a health services researcher, I am very familiar with Dr. Mishra's work, and believe it to be of the highest quality. He has made substantive and significant contributions to the field in a number of areas, with a special focus on the health care needs of low income, ethnic minority, and other disadvantaged populations. In particular, his collaborative research with Tribal Nations is important, unique and highly impactful.

Dr. Mishra's stature as a senior and prolific researcher is clearly demonstrated in his curriculum vitae. He lists 106 peer-reviewed publications in high quality journals, has authored two books, 16 book chapters and numerous additional web based and other publications. Another indication of the high quality of Dr. Mishra's scholarship is his success at securing peer-reviewed funding from prestigious sources such as the National Institutes of Health. His funding record is hefty and highly impressive and includes over \$75 million in research support as Principal Investigator or Co-Investigator.

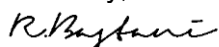
For example, Dr. Mishra leads a prestigious competitive supplement award to the University of New Mexico Comprehensive Cancer Center's (UNMCCC's) Support Grant. This award is funded by the National Cancer Institute (NCI) through the prestigious Beau Biden Moonshot Initiative, "Accelerating Colorectal Cancer Screening and Follow-up through Implementation Science (ACCSIS)". The project is testing innovative strategies to implement evidence-based interventions to enhance colorectal cancer screening in Tribal Nations in New Mexico. As part of this effort, Dr. Mishra has provided leadership for the development of an American Indian Colorectal Cancer Screening Consortium with cancer centers in Arizona and Oklahoma, besides UNMCCC. The goal of this project is to address the increasing colorectal cancer incidence and mortality rates observed among Native Americans by enhancing guideline concordant screening. NCI funding is highly competitive with very low pay lines, and therefore Dr. Mishra's success in obtaining this funding is a strong independent validation of the quality and creativity of his research.

The reason for Dr. Mishra's success is that his work is theoretically based, methodologically sound, and addresses important health problems that are exacerbated by social problems in our society. He takes a socio-ecological perspective and believes in the community based participatory approach to research. These concepts are mentioned a lot, but are not easy to consistently implement and sustain. For example, Dr. Mishra is currently collaborating with 12 sovereign Tribal Nations in New Mexico on multiple research projects. These collaborations would not be possible without investment in developing deep partnerships with the Tribal Nations based on trust and respect to mitigate historical trauma, mistrust of research and researchers, and distorted interpretive narratives created by researchers. Dr. Mishra has been able to establish effective and long-term working relationships with the Tribal Nations and other disadvantaged groups and produce scientifically rigorous findings by investing the time it takes to follow principles of participatory research and involve the community in all aspects of the research process.

In addition to his outstanding research, Dr. Mishra has made strong contributions in the areas of service and teaching. He is highly sought after for speaking engagements, has served on several national grant review panels including as panel chair, serves on many committees and boards, and provides service as a reviewer to many journals. Since 2003 alone, he has served on 61 grant review panels which reflect his national standing as a health services researcher. He Chairs the Program Steering Committee for a research and education partnership between the University of Arizona Cancer Center and Northern Arizona University, is on the Scientific Advisory Board for the University of Oklahoma Health Sciences research project titled, "Tribally Engaged Approaches to Lung Screening (TEALS)" study, and is on the Steering Committee for the prestigious Beau Biden Cancer Moonshot ACCSIS Initiative. For the past nine years he has directed the University of New Mexico Health Sciences Center's Masters of Science in Clinical Research program. He has mentored more than 90 graduate and medical students, clinical residents and fellows, and junior faculty. He also directs a NCI-funded research education program for undergraduate students focused on providing the students research experiences and skills development activities in cancer control and population sciences.

The UNMCCC has in Dr. Mishra an outstanding researcher, educator, mentor, and national leader who is making unique contributions to the health and well-being of the peoples of New Mexico and beyond. I recommend him very highly and with no reservations for this well-deserved endowed professorship.

Sincerely,



Roshan Bastani, Ph.D.

To: Cinnamon Blair
Chair, UNM Naming Committee

Re: Appointment of Dr. Shiraz Mishra to ***The Victor and Ruby Hanson Surface Professorship in Cancer Population Sciences and Cancer Health Disparities***

Date: July 2, 2021

Dear Ms. Blair,

It is with great pleasure that I write a letter of support for Dr. Shiraz Mishra for the Victor and Ruby Hanson Surface Professorship in Cancer Population Sciences and Cancer Health Disparities. Dr. Mishra is co-leader of the Cancer Control and Population Sciences at the University of New Mexico Comprehensive Cancer Center (UNMCCC) and Professor in the Department of Pediatric and Family and Community Medicine. As the Associate Director for Population Science at the UNMCCC, I am very familiar with his work as a population scientist and cancer health disparities researcher.

Since joining the University of New Mexico in 2010, Dr. Mishra has focused his research efforts to address cancer health disparities in tribal communities in New Mexico, with a special emphasis on screen-detectable cancers such as breast, cervix and colorectal cancer. Guidelines concordant screening can detect these early and thus improve prognosis and survival or prevent them by treating pre-cancerous lesions. In one study, Dr. Mishra is testing interventions of graded intensity to enhance colorectal screening in eight tribal communities in New Mexico. In another study, he is testing the implementation of evidence-based interventions and strategies to enhance colorectal cancer screening in four tribal communities. In yet a third study, he is developing and testing interventions to enhance screening for cancers of the breast, cervix and colon-rectum. For all these projects, he actively engages the tribal community leadership to understand their priorities, strategies that they would like to incorporate in the research process, and include them as members of the research team. Dr. Mishra's research success, as reflected in over 100 peer-reviewed publications and uninterrupted federal support for his research is largely due to the scientific rigor and health implications of his research.

Dr. Mishra is also passionate about research education. He directs a program for undergraduate students in New Mexico and beyond to provide them with mentored research education and training aimed at equipping them with the skills and knowledge to succeed in professional schools and STEM-H careers.

Dr. Mishra is extremely active in memberships in national and professional partnerships and networks. He chairs the Program Steering Committee for a partnership project between the University of Arizona Cancer Center and Northern Arizona University, is on the Scientific Advisory Board for the University of Oklahoma Health Sciences research project “Tribally Engaged Approaches to Lung Screening (TEALS)”, and is on the Steering Committee for the prestigious Beau Biden Cancer Moonshot Initiative “Accelerating Colorectal Cancer Screening and Follow-up through Implementation Science (ACCSIS)” Consortium.

The UNMCCC is very fortunate to have such an outstanding leader and committed researcher, whose unique expertise benefits the state of New Mexico and the nation. I wholeheartedly recommend Dr. Shiraz Mishra for this well-deserved endowed professorship.

With best wishes,

A handwritten signature in cursive script that reads "Marianne Berwick".

Marianne Berwick, PhD, MPH
Distinguished Professor
Associate Director for Population Sciences



Albuquerque Area Southwest Tribal Epidemiology Center

Date: June 30, 2021

To: Cinnamon Blair
Chair of the UNM Naming Committee

Re: Appointment of Dr. Shiraz Mishra to *The Victor and Ruby Hanson Surface Professorship in Cancer Population Sciences and Cancer Health Disparities*

Dear Ms. Blair,

I am writing this letter in highest praise and recommendation of Dr. Shiraz Mishra for The Victor and Ruby Hanson Surface Professorship in Cancer Population Sciences and Cancer Health Disparities. As a public health practitioner in cancer prevention and control and as Dr. Mishra's collaborator, I am quite familiar with his work as a population scientist and cancer health disparities researcher.

I have worked with Dr. Mishra since 2014, when we began our research collaboration to address the substantial colorectal cancer disparities experienced by American Indians in New Mexico. Dr. Mishra's research spans 12 sovereign Tribal Nations in New Mexico and are aimed at addressing the substantial cancer health disparities observed among Native American populations. Dr. Mishra was instrumental in developing and providing leadership to a tri-state (Oklahoma, Arizona, New Mexico) American Indian Colorectal Cancer Screening Consortium. Dr. Mishra is nationally recognized for his health services expertise reflected in leadership roles on national steering committees and prestigious grant review panels.

Dr. Mishra's success in working with tribal communities can be attributed to his commitment to engaging communities in the research process and ensuring the research can directly and positively impact the health and well-being of the communities. This engagement is necessary, especially when working with tribal communities, to foster and nurture partnerships based on respect and trust. Dr. Mishra's experience and expertise as a population scientist has helped him build partnerships and collaborations with tribal leaders, tribal healthcare facilities, and tribal regulatory bodies.

The University of New Mexico Comprehensive Cancer Center is fortunate to have such an outstanding leader and researcher, with unique expertise that benefits the state of New Mexico and beyond.

I recommend Dr. Mishra very highly for this well-deserved endowed professorship.

Sincerely,

A handwritten signature in black ink, appearing to read 'Kevin English', written in a cursive style.

Kevin English, DrPH, Director
Albuquerque Area Southwest Tribal Epidemiology Center
Albuquerque Area Indian Health Board, Inc.

To: Cinnamon Blair
Chair of the UNM Naming Committee

Re: Appointment of Dr. Shiraz Mishra to the ***Victor and Ruby Hanson Surface Professorship in Cancer Population Sciences and Cancer Health Disparities***

Date: July 3, 2021

Dear Ms. Blair:

It is my honor to support Dr. Shiraz Mishra for The Victor and Ruby Hanson Surface Professorship in Cancer Population Sciences and Cancer Health Disparities. I am the Associate Director for Community Outreach and Engagement at the Stephenson Cancer Center, and a tenured professor with over two decades experience working with communities as a researcher, educator, and clinician to reduce health inequities. As a researcher in the field of cancer prevention and control and as a current research collaborator with Dr. Mishra, I am quite familiar with his work as a population scientist and cancer health disparities researcher. In particular, his ability to engage in productive partnerships tribal communities serves as a model for many of us at the Stephenson Cancer Center.

Over the past three years, Dr. Mishra and I have collaborated on a large-scale project to reduce the substantial colorectal cancer disparities experienced by American Indian adults in the Southern Plains and Southwest United States. Dr. Mishra led the development of this study, termed the American Indian Colorectal Cancer Screening Consortium, which is engaging the National Cancer Institute (NCI)-Designated Cancer Centers in Oklahoma, Arizona, and New Mexico. This Consortium is funded by the NCI through the prestigious Beau Biden Cancer Moonshot initiative, *Accelerating Colorectal Cancer Screening and Follow-up through Implementation Science (ACCSIS)*. I am the Project Director for the Stephenson Cancer Center at the University of Oklahoma component of this project. Our Oklahoma component is indebted to Dr. Mishra's technical assistance in helping develop and sustain this project. Moreover, his cheerful and steady presence has made this complex multistate study run smoothly.

In New Mexico, Dr. Mishra is working with four sovereign Tribal Nations to implement evidence-based colorectal cancer screening interventions. His research is documenting strategies that are most efficient, sustainable, and cost-effective in promoting colorectal cancer screening. Working with sovereign Tribal Nations requires a deep understanding of traditions, treaty obligations, historical mistrust of researchers and research, and stigma. Further, it requires substantial partnerships with Tribal leaders, tribal healthcare facilities, and the Indian Health Services that are built over time. Lastly, there are multiple regulatory entities that needs to review and approve research protocols, including those operated by Tribes and Nations, Tribal Health Boards, Indian Health Service, and academic institutions. Dr. Mishra's nearly 30 years of experience and expertise as a population scientist has helped him build seamless and sustainable partnerships with Tribal Nations and leaders, healthcare facilities, regulatory bodies, academic institutions, and the funding agency (NCI). Dr. Mishra, as a member of the ACCSIS Steering Committee, provides leadership to the larger initiative.

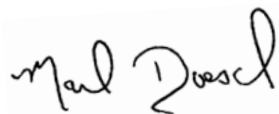
Dr. Mishra is also an external Scientific Advisor to our Stephenson Cancer Center / University of Oklahoma Health Sciences Center R01 project titled, “Tribally Engaged Approaches to Lung Screening (TEALS)” that is implementing lung cancer screening services across the Choctaw Nation of Oklahoma healthcare system. He has provided invaluable assistance in helping us conduct this much-needed implementation science study.

Dr. Mishra has been remarkably productive at publishing his research (over 100 peer-reviewed publications) and obtaining research grants. Dr. Mishra’s success reflects the fact that his studies are theoretically based and methodologically rigorous. They always address major health issues. Impressively, Dr. Mishra has built this body of research by consistently engaging with community partners. He actively engages communities in research, builds and sustains these partnerships based on trust and respect, and ensures that his research addresses health priorities identified by the communities with which he works. In other words, he is exactly the type of researcher that any university that is serious about reducing health inequities should treasure. The University of New Mexico (UNM) has a longstanding reputation as being a leader in community-based education and research. Dr. Mishra’s presence at your university strengthens this visibility.

The University of New Mexico Comprehensive Cancer Center is extremely fortunate to have such an outstanding leader and researcher, with unique expertise that benefits the state of New Mexico and beyond. Researchers that combine a high degree of methodological expertise with a deep understanding of community engagement are rare. He is an incredible asset to your university. I enthusiastically recommend Dr. Mishra for the Victor and Ruby Hanson Surface Professorship in Cancer Population Sciences and Cancer Health Disparities. I truly hope he receives this award.

Do not hesitate to contact me if you have questions.

Sincerely,

A handwritten signature in black ink that reads 'Mark Doescher'.

Mark Doescher, MD, MPSH
Associate Director, Community Outreach and Engagement
Stephenson Cancer Center
Professor, Family and Preventive Medicine
mark-doescher@ouhsc.edu
405.271.4808



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To: Cinnamon Blair
Chair of the UNM Naming Committee

Re: Appointment of Dr. Shiraz Mishra to ***The Victor and Ruby Hanson Surface Professorship in Cancer Population Sciences and Cancer Health Disparities***

Date: July 6, 2021

Dear Ms. Blair:

It is my great pleasure to support Dr. Shiraz Mishra for The Victor and Ruby Hanson Surface Professorship in Cancer Population Sciences and Cancer Health Disparities. Dr. Mishra is Professor (with tenure) in the Departments of Pediatrics and Family and Community Medicine. Dr. Mishra is also the co-Leader of the Cancer Control and Population Science research program at the University of New Mexico Comprehensive Cancer Center (UNMCCC). Until recently, I co-
led this research program with him and spent many hours with him as we led the research program through a very successful renewal. Thus, I feel qualified to comment on his background and expertise, and the many reasons why he is so deserving of this Professorship.

Dr. Mishra received his PhD in Social Ecology, with an emphasis in health services research, from the University of California, Irvine. He also has a medical degree from Bombay University, India. Dr. Mishra's training in social ecology provides him a unique lens to view social and health disparities and their solutions from a multidisciplinary perspective, which he has applied brilliantly to real world issues that affect the lives of the people of New Mexico.

Since joining the University of New Mexico in 2010, Dr. Mishra has built a research portfolio that addresses cancer health disparities in tribal communities. His focus area is colorectal cancer, one of the few cancers that can be prevented through screening. Based on the increasing colorectal cancer incidence and mortality rates for American Indians, and their low colorectal cancer screening, Dr. Mishra is conducting the first studies on a *community level* to enhance colorectal cancer screening in tribal communities in New Mexico. Expanding to tribal communities beyond New Mexico, Dr. Mishra provides critical leadership in the tri-state (Oklahoma, Arizona, New Mexico) American Indian Colorectal Cancer Screening Consortium, supported through the prestigious Beau Biden Moonshot Initiative, entitled, "Accelerating Colorectal Cancer Screening and Follow-up through Implementation Science (ACCSIS)". His research is unique and remarkable in providing real world, community engaged, lasting solutions to improve colorectal cancer screening.



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While I have highlighted his recent research, Dr. Mishra has been an active researcher in cancer health disparities his entire career, from his cancer screening research in the Samoan community to cancer needs and burden in rural communities. His commitment to cancer control research as well as health disparities research in general is demonstrated by: over \$75 million in intra- and extra-mural research support as Principal Investigator or Co-Investigator; publishing two books as senior editor; and, over 100 peer-reviewed manuscripts.

Dr. Mishra is a consummate educator and mentor. For the past nine years he has directed the University of New Mexico Health Sciences Center's Masters of Science in Clinical Research program. He has touched many lives through educational activities. He has mentored more than 90 graduate and medical students, clinical residents and fellows, and junior faculty. He also demonstrates innovation and leadership by championing a newly funded research education program for undergraduate students supported by the US National Cancer Institute. This intensive summer program provides targeted research experience and skills development in cancer control and population sciences, the first of its kind at the UNMCCC.

In addition to his outstanding research, and teaching and mentoring, Dr. Mishra has made strong contributions in service and leadership. His expertise is sought for numerous grant review panels and review of articles for peer-review publication. He serves on many committees, too numerous to mention, on the local, regional, and national level. Of particular note, he was specifically sought to Chair the Program Steering Committee for a research and education partnership between the University of Arizona Cancer Center and Northern Arizona University, to sit on the Scientific Advisory Board for the University of Oklahoma Health Sciences research project, "Tribally Engaged Approaches to Lung Screening (TEALS)" study, and to sit on the Steering Committee for the Cancer Moonshot ACCSIS Initiative. Such leadership invitations demonstrate the high esteem in which Dr. Mishra is held in his area of expertise.

Over his career, Dr. Mishra has demonstrated innovation, leadership, and a commitment to addressing cancer health disparities, and he continues to improve the health and well-being of the peoples of New Mexico and beyond. It is difficult to imagine that anyone else could be better qualified for this endowed professorship. I recommend him to you without reservation.

Sincerely,

Linda S. Cook, PhD

Associate Director, Population Sciences, University of Colorado Cancer Center

Professor, Department of Epidemiology, Colorado School of Public Health

David F. and Margaret Turley Grohne Endowed Chair for Cancer Prevention and Control

Curriculum Vitae
Shiraz I. Mishra, MBBS, PhD

June 27, 2021

Shiraz I. Mishra, MBBS, PhD
Professor (with Tenure)
Department of Pediatrics
University of New Mexico Health Sciences Center
1 University of New Mexico, MSC 10 5590
Albuquerque, NM 87131
Email: smishra@salud.unm.edu
Office Phone: 505-925-6085
Fax: 505-272-8826

Home Address: [REDACTED]

Foreign Languages: Hindi, Marathi, and Gujarati (working knowledge)
Medical Licensure: Maharashtra Medical Council, Maharashtra, India, 1982
Accreditation: Educational Commission for Foreign Medical Graduates (ECFMG), 1983

Educational History:

- | | |
|------|--|
| 1992 | PhD, Health Services Research (Social Ecology), University of California, Irvine, USA
<u>Thesis</u> : "Medical indigency and health care access: a theoretical framework and empirical validation" (Advisor: Dr. John Dombrink) |
| 1988 | MA, Health Psychology (Social Ecology), University of California, Irvine, USA
<u>Thesis</u> : "Psychosocial factors, illness, and immune functioning in the elderly" (Advisor: Dr. C. David Dooley) |
| 1982 | MBBS, Medical Degree, Bombay University, India |
| 1975 | BS, Biological Sciences, Bombay University, India |

Employment History: (Abbreviated)

- | | |
|--------------|---|
| 2018-present | co-Program Leader, Cancer Control and Population Sciences, UNM Comprehensive Cancer Center, University of New Mexico, Albuquerque, NM (concurrent assignment) |
| 2017-2018 | Interim Vice Chair for Research, Department of Pediatrics, University of New Mexico, Albuquerque, NM (concurrent assignment) |
| 2017-present | Professor, College of Population Health (concurrent assignment) |

MEMO

To: Cinnamon Blair
Chair, UNM Naming Committee

From: Dr. Cheryl Willman, MD
Maurice and Marguerite Liberman Distinguished Chair in Cancer Research
Director & CEO, UNM Comprehensive Cancer Center

Felisha Rohan-Minjares, MD
Professor & Interim Chair, UNM Department of Family and Community Medicine

Date: June 30, 2021

Re: **Creation and Appointment of the Victor and Ruby Hanson Surface Professorship
in Cancer Health Disparities and Community Engagement**

Dear Ms. Blair and members of the naming committee,

On behalf of the UNM Comprehensive Cancer Center, and the UNM School of Medicine Department of Family and Community Medicine, I would like to request approval to create the Victor and Ruby Hanson Surface Professorship in Cancer Health Disparities and Community Engagement. This naming request is respectfully submitted in accordance with UNM Administrative Policy 1020: Naming Facilities, Spaces, Endowments, and Programs, under Section 2.1.

During his life, Mr. Victor Surface was very generous to the UNM Comprehensive Cancer Center, providing one of UNM's largest gifts from an individual donor for the establishment of Endowed Professorships and Chairs and to advance cancer research. He intended for his gift to have a transformational impact on the Cancer Center and on cancer research and ultimately clinical care in New Mexico. The Surface Family Trust gift seeks to support the world-class, cutting-edge cancer research that so interested Mr. Surface, and will, to quote Mr. Surface, "go where it counts" and "where it will make a difference to everyone." Of note, Mr. Surface's daughter, Carolyn Surface, has in addition established an Endowment Fund at the UNM Comprehensive Cancer Center which also provides funds to support Endowed Chairs and cancer research.

Additionally, as prescribed by Faculty Handbook Policy C170 "Endowed Chairs and Named Professorships" and in consultation with faculty within the department, we seek approval to appoint Dr. Andrew Sussman.

Dr. Sussman received his PhD in Anthropology and his MCRP in the School of Architecture and Planning, both at the University of New Mexico. He is a medical anthropologist and his research

has focused on primary health care service delivery and patient-provider counseling dynamics among medically underserved populations in New Mexico. He has designed and led numerous qualitative and mixed method research studies, several of which have been funded through institutional (CTSC, RWJF) and federal agencies such as the NIH and AHRQ. Dr. Sussman has conducted much of this research through RIOS Net, a primary care practice-based research network. His work spans a range of topical areas including cancer prevention, substance use disorders, complementary and alternative medicine and obesity/diabetes prevention. Dr. Sussman also serves as the Director of the Behavioral Measurement and Population Science Shared Resource based at the UNM Comprehensive Cancer Center and teaches qualitative research design in the Masters of Science in Biomedical Science Program. For your consideration, I have included Dr. Andrew Sussman's CV and letters of support for your review. Thank you for your time and consideration of this request.

To: Ms. Cinnamon Blair
Chair of the UNM Naming Committee

Re: Appointment of Dr. Andrew Sussman as the Victor and Ruby Hanson Surface Professorship in Cancer Health Disparities and Community Engagement

Date: July 3, 2021

Dear Ms. Blair:

It is my honor to support Dr. Andrew Sussman as the recipient of the Victor and Ruby Hanson Surface Professorship in Cancer Health Disparities and Community Engagement. I am the Associate Director for Community Outreach and Engagement at the Stephenson Cancer Center (SCC) and a tenured professor with over two decades experience working with communities as a researcher, educator, and clinician to reduce health inequities. As the leader of the SCC Community Outreach and Engagement program, I am quite familiar with Dr. Sussman's parallel role at the University of New Mexico (UNM) Comprehensive Cancer Center (CCC). Because of his outstanding work with community partners in New Mexico, we invited Dr. Sussman to join the SCC External Advisory Board last year.

Dr. Sussman has been a highly productive scholar. His curriculum vitae lists 78 peer-reviewed papers (10 as first author) and several more refereed abstracts and book chapters. He has delivered over 100 invited and peer-reviewed presentations. He has served as the PI of federal research grants, including a current NIH R21 focusing on cancer survivorship, as well as institutionally funded studies. He has maintained a major role serving as a co-investigator. In aggregate, these grants have been awarded over \$20 million in funds. In 2018, Dr. Sussman was recognized for his scholarly work by being awarded the UNM Health Sciences Center Faculty Research Excellence Award in Team Science for his research contributions.

As the UNMCCC Associate Director (AD) for Cancer Health Disparities and Community Engagement, Dr. Sussman oversees bidirectional community engagement activities, including the development of partnership with key stakeholders statewide. His work encompasses all components of the UNMCCC, including connecting community stakeholders with research programs, education and training projects, clinical trials, and advocacy efforts. In his AD role, Dr. Sussman leads a Community Advisory Board composed of statewide group to guide UNMCCC priority setting. He serves as a member of the Integrating Special Population Committee with the UNM Clinical and Translational Sciences Center to align community engagement efforts. He has served as the PI of NIH-/NCI-funded research with communities that spans the cancer control continuum, including projects on HPV vaccination and cancer survivorship.

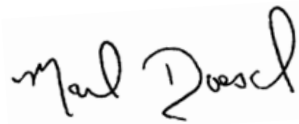
Importantly, Dr. Sussman has progressively assumed leadership roles in New Mexico. From 2013 to 2017, he served as the Co-Director, RIOS Net, which is a practice-based research network in the UNM Department of Family and Community Medicine. Also in 2013, he became the founding and current Director of the UNMCCC Behavioral Measurement and Population Science Shared Resource. In 2018, he was named as the UNMCCC AD for Community Outreach and Engagement, and in this role has gained a national reputation for excellence in community outreach.

Given his strength as a researcher and advocate for community health, Dr. Sussman is gaining well-deserved state and national recognition. In 2020 alone, he became an invited Member of the New Mexico Cancer Care Alliance Advisory Board, an invited Member of the External Advisory Committee of the Center for Health Outcomes and Population Research CoBRE at Sanford Research in South Dakota, and, as noted above, an invited Member of our External Advisory Board at the Stephenson Cancer Center.

In summary, Dr. Andrew Sussman has developed an amazing ability to connect academic researchers with community stakeholders to conduct research that promises to reduce major health inequities. He is very hard-working and highly regarded by his peers nationally. Dr. Sussman is an incredibly important asset for UNM and the state it serves. Thus, I unhesitatingly recommend that he be awarded the Victor and Ruby Hanson Surface Professorship in Cancer Health Disparities and Community Engagement. I truly hope he receives this honor.

Please do not hesitate to contact me if you have questions.

Sincerely,

A handwritten signature in black ink that reads "Mark Doescher". The signature is written in a cursive, flowing style.

Mark Doescher, MD, MPSH
Associate Director, Community Outreach and Engagement
Stephenson Cancer Center
Professor, Family and Preventive Medicine
mark-doescher@ouhsc.edu
405.271.4808

July 02, 2021

Ms. Cinnamon Blair
Chair of the University of New Mexico Naming Committee
1 University of New Mexico
Albuquerque, NM 87131-0001

RE: Letter of Recommendation for Andrew L. Sussman, PhD, MCRP in his appointment as the recipient of the Victor and Ruby Hanson Surface Professorship in Cancer Health Disparities and Community Engagement

Dear Ms. Blair,

I am extremely pleased to support Dr. Andrew Sussman as the recipient of the Victor and Ruby Hanson Surface Professorship in Cancer Health Disparities and Community Engagement. I have had the privilege of working with Dr. Sussman for more than ten years, across several research and community engagement initiatives in the University of New Mexico's Department of Family and Community and Medicine and more recently at the UNM Comprehensive Cancer Center (UNMCCC). Dr. Sussman brings dedication, insight and collaborative acumen to each endeavor and is very deserving of this honor.

In Dr. Sussman's nearly twenty year career at the University of New Mexico Health Science Center, he has risen in rank from a Post-Doctoral Research Fellow to a leader in community oriented, translational research. From 2010 to 2013, Dr. Sussman was the Co-Director of the Research Involving Outpatient Settings Network (RIOS-Net), a practice based research network housed in the Department of Family and Community Medicine. RIOS Net is a key site for facilitating research to alleviate health disparities experienced by New Mexico's ethnic and racially diverse communities throughout New Mexico in partnership with local RIOS Net member primary care physicians. Dr. Sussman diversified his leadership roles to reflect his research expertise, becoming the founding and current director of the Behavioral Measurement and Population Science Shared Resource of the UNMCCC, and more recently (2018) as Associate Director of the Office of Community Outreach and Engagement.

In this role, Dr. Sussman's expertise as a leader in cancer health disparities research and community engagement has truly shone. He has overseen the creation and expansion of the UNMCCC's first Community Advisory Board (CAB). The CAB is composed of a diverse statewide group of cancer health advocates, cancer center leaders, cancer patients, and leaders from the non-profit sector who provide feedback on on-going cancer research, and provide guidance on UNMCCC priority setting. Further, Dr. Sussman facilitates bidirectional communication between statewide community partners and stakeholders and UNMCCC research, education, clinical trials, and advocacy efforts. Beyond the UNMCCC, Dr. Sussman serves too as a member of the Integrating Special Populations Committee with the UNM Clinical and Translational Science Center to align community engagement efforts across the HSC campus.

Dr. Sussman's leadership abilities arise from tried and true research with the diverse communities that make up the vast and beautiful State of New Mexico. As a medical anthropologist, Dr. Sussman's research efforts focus on attending to the social determinants of health, such as race/ethnicity, geographic diversity, socioeconomic status, literacy, and more that influence individuals' and community's access to equitable health care. Dr. Sussman is currently Principle Investigator on a number of National Institutes of Health, National Cancer Institute funded research efforts across the cancer control continuum including research to improve Human Papillomavirus (HPV) vaccination uptake, enhance cancer survivorship through coordinated primary care and oncology care services, and more. He is co-investigator on numerous institutional and extramural funding initiatives looking at gaps in care such as genomic sequencing in Native American populations, colorectal cancer in Native American populations, and medication assisted treatment in rural primary care. He leads training initiatives to promote scholarship and grant attainment among communities who are underrepresented in the cancer research workforce as Co-Director of the Geographic Management of Cancer Health Disparities Program and National Outreach Network Community Health Educator Program. Dr. Sussman's work has not gone unnoticed. He is an invited member of the New Mexico Cancer Care Alliance Advisory Board; an invited member of the External Advisory Committee for the Center for Health Outcomes and Population Research Co-BRE Sanford Research; and an invited member of the External Advisory Board for the University of Oklahoma Stephenson Cancer Center.

As PI or Co-I on extramural and institutional grants totaling nearly \$ 20 million, Dr. Sussman has contributed significantly to the science of health disparities and cancer research. He has given 66 invited presentations, 107 peer reviewed presentations, and published 89 total original research articles, chapters and abstracts in peer-reviewed journals. He was awarded the University of New Mexico Health Sciences Center Faculty Research Excellence Award in Team Science in 2018 for research contributions.

Dr. Sussman's colleagues and students have benefited from his exemplary contributions to our scientific community. He is a true leader in partnering with those whose lives are influenced by our research. He is a generous mentor and colleague. For these reasons, I can say without hesitation, that I am quite pleased to support his appointment as the recipient of the Victor and Ruby Hanson Surface Professorship in Cancer Health Disparities.

All the best,



Miria Kano, PhD
Assistant Professor
Division of Epidemiology, Biostatistics and Preventive Medicine
Department of Internal Medicine
UNM School of Medicine
mkano@salud.unm.edu
505-925-1115



Cancer Center

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CANCER CENTER

To: Ms. Cinnamon Blair
Chair of the UNM Naming Committee

Re: Appointment of Dr. Andrew Sussman as the *Victor and Ruby Hanson Surface Professorship in Cancer Health Disparities and Community Engagement*

Date: July 6, 2021

Dear Ms. Blair,

I am pleased to strongly support Dr. Andrew Sussman as the recipient of the *Victor and Ruby Hanson Surface Professorship in Cancer Health Disparities and Community Engagement*. Dr. Sussman currently holds leadership positions that support receipt of this professorship. He is currently an Associate Professor (with tenure) in the Department of Family & Community Medicine, the Faculty Director of the Behavioral Measurement & Population Science Shared Resource at the University of New Mexico Comprehensive Cancer Center (UNMCCC) and the Associate Director of Community Outreach and Engagement at the UNMCCC. Dr. Sussman also has other appointments in the Departments of Obstetrics and Gynecology and Anthropology. Until recently I was the Co-Leader of the Cancer Control and Population Sciences research program at the UNMCCC and have worked with Dr. Sussman after he joined the research program and then more intensely when he became the Associate Director of Community Outreach and Engagement in 2018. Given our long working relationship, I believe I am qualified to comment on why he is so deserving of this appointment.

Dr. Sussman's expertise and scholarly contributions are outstanding and critically important for addressing health disparities and community engagement. Dr. Sussman received his PhD in Cultural Anthropology and a masters in Community and Regional Planning from the University of New Mexico, providing a unique expertise to address cancer disparities from the viewpoint of communities and cultures in New Mexico. By the numbers, Dr. Sussman's accomplishments speak for themselves. He has had extensive scholarly dissemination with 66 invited presentations, 107 peer review presentations, and 89 total original research articles, chapter and abstracts in peer review journals. He is the PI or co-investigator of both extramural and intramural research studies totaling more than \$20 million. He also has an extensive mentoring history, especially with undergraduate pipeline training for rural, underserved New Mexico students. And his research has always been within communities. While Co-Director of RIOS Net, a practice-based research network, Dr. Sussman studied substance use disorders to improve care delivery/access. This highly community engaged research with primary care providers and community stakeholders is now being translated to cancer survivors. Not captured in these



facts is the desire of researchers and communities alike to work with Dr. Sussman because he is a wonderful collaborator. His collegial nature and collaboration success were recognized with the 2018 UNM Health Sciences Center Faculty Research Excellence Award in Team Science.

Dr. Sussman's leadership and expertise in cancer health disparities and community engagement are outstanding. In his UNMCCC role as Associate Director for Community Outreach and Engagement, he established communication and partnerships with statewide key stakeholders, coalescing previous ad hoc UNMCCC engagement in a sustainable model. The reach of this work includes engagement of community partners in research, education, clinical trials and advocacy. He also started the UNMCCC Community Advisory Board with statewide representation to guide cancer health priority setting. And he is the founding and current Director of the UNMCCC Behavioral Measurement and Population Science Shared Resource, a resource that is heavily involved in community-based research.

He demonstrates broader leadership in the UNM Health Sciences as a member of the UNM Clinical Translational Science Centers' Integrating Special Population Committee to help align community engagement efforts across the Health Science campus. Additionally, he is Project Director of two supplements to the UNMCCC support grant aimed at addressing cancer health disparities/community engagement in areas of cancer screening, education, training and career development. Dr. Sussman's outstanding leadership within the UNMCCC and across the Health Sciences demonstrates his respect for New Mexico communities and his commitment to reduce the cancer burden and improve cancer outcomes in New Mexico. His outstanding leadership is recognized beyond UNM and is highlighted by invited memberships on the New Mexico Cancer Care Alliance Advisory Board, the External Advisory Committee for the Center for Health Outcomes and Population Research CoBRE for Sanford Research, and the External Advisory Board of the University of Oklahoma Stephenson Cancer Center.

Dr. Sussman is eminently deserving of this endowed professorship. Dr. Sussman has already accomplished so much that benefits the UNMCCC, UNM in general, and the state of New Mexico. I look forward to his future accomplishment that are sure to be enhanced by receipt of this professorship. I recommend him to you without reservation.

Sincerely,

Linda S. Cook, PhD

Associate Director, Population Sciences, University of Colorado Cancer Center

Professor, Department of Epidemiology, Colorado School of Public Health

David F. and Margaret Turley Grohne Endowed Chair for Cancer Prevention and Control

Andrew L. Sussman Ph.D., MCRP

CURRICULUM VITAE

Professional Address:

Department of Family and Community Medicine
MSC09-5040
1 University of New Mexico
Albuquerque, NM 87131-0001
asussman@salud.unm.edu
505.272.4077

Educational History:

2003	Ph.D., Cultural Anthropology Department of Anthropology, University of New Mexico, Albuquerque, NM
2002	M.C.R.P., <i>with distinction</i> , Community & Regional Planning School of Architecture and Planning, University of New Mexico
1994	M.A., <i>with distinction</i> , Cultural Anthropology Department of Anthropology, University of New Mexico
1991	B.A., Latin American Studies/Anthropology School of International Studies, The American University, Washington D.C.

Employment History:

12/18-Present	Associate Director, Office of Community Outreach and Engagement, University of New Mexico Comprehensive Cancer Center.
7/18-Present	Associate Professor, with Tenure; Department of Family and Community Medicine, University of New Mexico
1/18-Present	Assistant Professor (Secondary Appointment), Department of Obstetrics and Gynecology, University of New Mexico
10/13-Present	Faculty Director, Behavioral Measurement and Population Science Shared Resource; University of New Mexico Comprehensive Cancer Center
9/13-6/18	Assistant Professor (Tenure Track), Department of Family and Community Medicine, University of New Mexico
8/13-6/17	Co-Director, RIOS Net; Department of Family and Community Medicine,

**THE UNIVERSITY OF NEW MEXICO
THE UNIVERSITY OF NEW MEXICO FOUNDATION, INC.
REQUEST TO ESTABLISH A QUASI-ENDOWMENT FUND**

REQUESTING COLLEGE/SCHOOL: University of New Mexico Health Science Center, School of Medicine
REQUESTING DEPARTMENT: Molecular Genetics and Microbiology
REQUESTED BY: Vojo Deretic, Ph.D., Distinguished Professor and Chairman
SUGGESTED FUND TITLE: Gorvetzian Professorship Quasi-Endowment
**AMOUNT TO BE DEPOSITED
(include all planned transfers):** **\$250,000**

SOURCE OF FUNDS (Banner index numbers): 564178/Reserves

GENERAL PURPOSE: In support of the Jeffrey Michael Gorvetzian Endowed Professor of Biomedical Research Excellence, specific purpose to be determined at the discretion of the MGM Department Chair.

ACKNOWLEDGEMENT

I/we acknowledge that the requested fund will be invested and the income distributed in accordance with the Regents of the University of New Mexico "consolidated investment fund endowment investment management policy", adopted in 1989 and periodically amended thereafter.

UNM policy 1030 states, "The Regents must approve the initial establishment of quasi-endowments with University funds, all transfers of University funds to established quasi-endowments, and expenditures of principal that exceed ten percent (10%) in any given fiscal year."

HSC COMMITTEE OF THE REGENTS AND REGENTS APPROVAL IS REQUIRED TO CREATE THE QUASI ENDOWMENT, ADD FUNDS TO CORPUS, OR MAKE EXPENDITURES THAT EXCEED 10% OF THE PRINCIPAL.

DEPARTMENT CHAIR:



REQUESTOR'S SIGNATURE

Date

COLLEGE/SCHOOL APPROVAL:

Martha Cole McGrew, MD

DEAN'S SIGNATURE

June 8, 2021

Date

HSC FINANCE APPROVAL:

Kristin Gates

FINANCE SIGNATURE

Date

EVP HSC APPROVAL:

K Gates

06/23/21

EVP SIGNATURE

Date

**THE UNIVERSITY OF NEW MEXICO
THE UNIVERSITY OF NEW MEXICO FOUNDATION, INC.**

REQUEST TO ESTABLISH A QUASI-ENDOWMENT FUND

REQUESTING COLLEGE/SCHOOL:

REQUESTING DEPARTMENT: UNM Comprehensive Cancer Center
REQUESTED BY: Alan Tomkinson, Interim Director
SUGGESTED FUND TITLE: Peter A. Winograd Quasi Endowed Research Professorship
**AMOUNT TO BE DEPOSITED
(include all planned transfers):** \$12,153
**SOURCE OF FUNDS (Banner index
numbers):** 0991PH
GENERAL PURPOSE: Professorship will be used to advance cancer research at the
comprehensive cancer center.

ACKNOWLEDGEMENT

I/we acknowledge that the requested fund will be invested and the income distributed in accordance with the Regents of the University of New Mexico "consolidated investment fund endowment investment management policy", adopted in 1989 and periodically amended thereafter.

UNM policy 1030 states, "The Regents must approve the initial establishment of quasi-endowments with University funds, all transfers of University funds to established quasi-endowments, and expenditures of principal that exceed ten percent (10%) in any given fiscal year."

HSC COMMITTEE OF THE REGENTS AND REGENTS APPROVAL IS REQUIRED TO CREATE THE QUASI ENDOWMENT, ADD FUNDS TO CORPUS, OR MAKE EXPENDATURES THAT EXCEED 10% OF THE PRINCIPAL.

DEPARTMENT CHAIR:

Alan Tomkinson
Alan Tomkinson (Sep 17, 2021 10:09 GMT+1)

Sep 17, 2021

REQUESTOR'S SIGNATURE

Date

COLLEGE/SCHOOL APPROVAL:

DEAN'S SIGNATURE

Date

Ava J. Lovell
Ava J. Lovell (Sep 17, 2021 07:57 MDT)

Sep 17, 2021

HSC FINANCE APPROVAL:

FINANCE SIGNATURE

Date

Douglas Zedeno
Douglas Zedeno (Sep 17, 2021 08:22 MDT)

Sep 17, 2021

EVP HSC APPROVAL:

EVP SIGNATURE

Date



**UNM Hospital Board of Trustees
Recommendation to HSC Board of Directors Finance and Audit Committee
September 2021**

Approval

Ownership:

Cerner Corporation
2800 Rockcreek Parkway
Kansas City, MO 64117

Officer Information:

Brent Shafer, Chairman
Zane Burke, President

Source of Funds: UNM Hospitals Operating Budget

Description: Request a seven (7) year Initial Term Agreement with Cerner Corporation for an integrated electronic consent and signature system which includes, Equipment, Software Licenses, Professional and Educational Services and Maintenance for the UNM Hospitals (“UNMH”). Cerner’s system provides technology integrated into the current Electronic Health Record. Cerner’s hosting and engineering teams are the most equipped to configure, optimize and ensure that clinical and financial solutions are highly-available, accessible and reliable.

The agreement provides pricing for the installation and support of the **Software System**; to allow the electronic capture of patient consent documentation including patient, provider, and staff signatures into the electronic health record. Along with associated integrated workflows to maintain patient safety through the accurate and timely capture of consent in existing systems.

Projected Cost for Initial Term: \$2,275,648 total contractual value for a seven (7) year Initial Term

Process: Sole Source - UNMH intends to make a sole source purchase in accordance with section 13-1-126 NMSA.

Previous Contract: N/A

Previous Term: N/A

Previous Contract Amount: N/A

Contract Term: Anticipated effective date is October 1, 2021 and will continue for a period of seven (7) years, with option to renew on mutual agreement consistent with the NM Procurement Code

Termination Provision: Termination for cause as a result of a material breach that has not been cured and/or waived within 60 days after written notice has been provided by the non-breaching party.

Contract Amount: Total contract award is estimated at and not to exceed \$2,275,648 over the term of the contract.

**UNM Hospital Board of Trustees
September 2021**

**Recommendation to HSC Committee
October 2021**

Approval

(1) Cerner Corporation

Ownership:

2800 Rockcreek Parkway
Kansas City, MO 64117

Officer Information:

Brent Shafer, Chairman
Zane Burke, President

Source of Funds: UNM Hospitals Operating Budget

Description: Request a five (5) year Agreement with Cerner Corporation (“Cerner”) for the purchase of a replacement Dictation and Transcription Platform and Platform Software Support System for UNM Hospital and Sandoval Regional Medical Center. This purchase will move all transcription services to cloud-based and includes equipment, software licenses, professional and educational services and maintenance. The Cerner Solution contains multiple solutions, front-end speech, computer assisted physician documentation (for surgeons), and back-end speech/HIM/transcription services. The solution will facilitate the use of mobile devices for transcription services

Currently, HIM/Transcription services are provided by Nuance Communications, Inc. (“Nuance”) and Delivery Health Solutions, LLC (“DHS”). The Cerner Agreement will consolidate the more current technology offered by these third-party vendors. Under Cerner, Nuance Communications, Inc. (“Nuance”) will provide hosted SaaS platforms, which includes Dragon Medical One, PowerMic Mobile, Dragon Medical Advisor and Nuance Surgical CAPD. Under Cerner, DHS will provide eScription (hosted SaaS platform and Transcription labor services). A Master License Agreement, between UNMH and Nuance and UNMH and DHS, governs the solutions and will be incorporated into the Cerner Agreement as pass-through provisions.

Process: RFP 424-21 Cloud-Based Dictation and Transcription Platform and Platform Software Support System

Previous Contract: Nuance Communications, Inc., Healthcare Master Agreement & Managed Speech Solutions Addendum

Previous Term: Initial Term of 36 months & continued until expiration or termination of last existing Order.

Previous Contract Amount: \$804,000.00 annually

Contract Term: Five Years

Termination Provision: Termination for cause as a result of a material breach that has not been cured and/or waived within 60 days after written notice has been provided by the non-breaching party.

Contract Amount: Estimated total cost per year is estimated at \$1,000,000 annually; total amount for the Initial Term of 5 year is estimated at and shall not exceed \$5,000,000

**UNM Hospital Board of Trustees
September 2021**

**Recommendation to HSC Committee
October 2021**

Approval

(1) Cerner Corporation

Ownership:

2800 Rockcreek Parkway
Kansas City, MO 64117

Officer Information:

Brent Shafer, Chairman
Zane Burke, President

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Process: RFP 424-21 Cloud-Based Dictation and Transcription Platform and Platform Software Support System

Previous Contract: Nuance Communications, Inc., Healthcare Master Agreement & Managed Speech Solutions Addendum

Previous Term: Initial Term of 36 months & continued until expiration or termination of last existing Order.

Previous Contract Amount: \$804,000.00 annually

Contract Term: One year

Termination Provision: Termination for cause as a result of a material breach that has not been cured and/or waived within 60 days after written notice has been provided by the non-breaching party.

Contract Amount: Estimated total cost per year is estimated at \$1,000,000 annually; total amount for the Initial Term of 5 year is estimated at and shall not exceed \$5,000,000



CAPITAL PROJECT RE-APPROVAL

PROJECT NAME: Crisis Triage Center

DATE: September 2021

ORIGINAL APPROVAL: Consent Docket, February 16, 2021

RECOMMENDED ACTION:

As required by Section 7.12 of Board of Regents Policy Manual, the New Mexico Higher Education Department and the New Mexico State Board of Finance, capital project approval is requested for approval of increased funding that exceeds the ten percent threshold of the original approval for the **UNMH Crisis Triage Center - Design**. This project was originally approved for \$1,300,000 for design professional services from the Capital Renovation Fund in the Approval of Consent Docket on February 16, 2021. For the project described below, UNM Hospital requests the following actions, with action requested only upon requisite sequential approval and recommendation by any and all committees and bodies:

- Board of Trustee Finance Committee approval of and recommendation of approval to the UNMH Board of Trustees.
- UNMH Board of Trustees approval of and recommendation of approval to the UNM Board of Regents HSC Committee.
- UNM Board of Regents HSC Committee approval and recommendation of approval to the UNM Board of Regents.
- UNM Board of Regents approval

PROJECT DESCRIPTION:

In collaboration with Bernalillo County, UNM Hospital plans to design and construct a new building on the University Psychiatric Center (UPC) campus for use as a Crisis Triage Center. This includes demolition of the building currently used by Program for Children and Adolescents (PFCA) and Physician Access Line Service (PALS). These programs are planned for relocation to 1031 Lamberton NE.

The Crisis Triage Center is intended to provide development of crisis triage services in our community with a physical connection to existing resources co-located on the University Psychiatric Center campus. The project program includes, but is not limited to,: Two patient wings with eight patient beds each, support services areas, offices, law enforcement areas, and modifications required to meet the most current code requirements. The project programming is expanded to include the Peer Living Room Model resulting in a combined programmatic building gross square footage (BGSF) of 20,147.

[Please note a separate request will be submitted for the Psychiatric Emergency Services (PES) program to be included in this building. The two requests are submitted separately as the PES program is a UNM Hospital project absent Bernalillo County Funding.]

RATIONALE:

Significant gaps exist in the crisis continuum of care in Bernalillo County. Although crisis services exist, clients are often contemplating next steps once they have been evaluated in the emergency room or inpatient facility. Due to medical necessity criteria, many patients in need of continued stabilization

are deferred care until they are able to connect with outpatient services and continue to rotate through the emergency services creating a cyclical pattern. In addition to the need for continued stabilization, centralized triage, observation, and triage services are in high demand in order to facilitate a full continuum of crisis services. The crisis continuum is further expanded with the inclusion of the Peer Living Room Model (PLR). This model has demonstrated efficacy in the use of certified peer support workers and peer volunteers in providing the following services: de-escalation during crises, short-term goal setting, safety plan development, teaching coping skills, connecting with community and hospital resources, medical and behavioral health system navigation, job search and employment preparation, supportive coaching, etc. within a drop-in setting. The current request expands funding for the inclusion of design of the Peer Living Room Model by the county into the program and expands the building by 1,680 building gross square feet (BGSF) for a total of 20,147 BGSF. This request also captures the costs of omissions from the original request for indirect costs necessary to support the project design effort including but not limited to: surveying services, asbestos testing and reporting, geotechnical investigations, commissioning services, subsurface utility investigations and LEED Consulting services.

PURCHASING PROCESS:

Procurement of the LEED and commissioning Consulting services will utilize Limited Solicitations with UNMH On-Call Contracts, and small purchase requests in accordance with procurement thresholds. Amendment to design services for inclusion of the Peer Living Room Model would utilize an existing design professional contract executed via a Request for Proposals (RFP) 2256-21 with McClain + Yu Architecture and Design.

FUNDING:

Total indirect costs, which includes the design budget, for this project shall not to exceed \$2,900,000 from the Capital Renovation Fund and Bernalillo County. This is an increase of \$1,600,000 from the original \$1,300,000 request approved for design services on the February 16, 2021 Consent Docket.



CAPITAL PROJECT RE-APPROVAL

PROJECT NAME: Psychiatric Emergency Services

DATE: September 2021

RECOMMENDED ACTION:

As required by Section 7.12 of Board of Regents Policy Manual, the New Mexico Higher Education Department and the New Mexico State Board of Finance, capital project approval is being presented for approval for the **Psychiatric Emergency Services (PES)** project. For the project described below, UNM Hospitals requests the following actions, with action requested only upon requisite sequential approval and recommendation by any and all committees and bodies:

- Board of Trustee Finance Committee approval of and recommendation of approval to the UNMH Board of Trustees.
- UNMH Board of Trustees approval of and recommendation of approval to the UNM Board of Regents HSC Committee.
- UNM Board of Regents HSC Committee approval and recommendation of approval to the UNM Board of Regents.
- UNM Board of Regents approval

PROJECT DESCRIPTION:

UNM Hospital is proposing the inclusion of the Psychiatric Emergency Services (PES) function into the Crisis Triage Center (CTC) to promote synergy and maximize resources. The addition of PES to the building will be tracked and funded separately from the CTC. Funding will be from the UNM Hospital Capital Renovations Fund.

The Crisis Triage Center is intended to provide crisis triage services in our community with a physical connection to existing services co-located on the UNM Psychiatric Center campus. The inclusion of PES programmatic component includes, but is not limited to, the following: ten patient rooms with flexibility to serve a differing census of pediatric and adult population, seclusion suite with two patient rooms, utilization of the same law enforcement areas with entrances, and required support service areas to meet the most current code requirements. The request further includes a second floor cold-shell space to facilitate a future programmatic build out to meet expansion of behavioral health services on the UNM Psychiatric Campus.

RATIONALE:

PES is the only 24-hour a day, 7-days a week Psychiatric Emergency Room in New Mexico and serves clients who present acute psychiatric care levels and are identified as at risk to self and/or others. The Psychiatric Emergency Service primarily services the Albuquerque Metropolitan area and draws patients from Bernalillo, Sandoval and Valencia counties. PES has experienced a higher demand for its services than had been projected during the 2019 consideration of PES renovations. The current PES capacity constraints and associated overflow results in a burden on the existing UNMH Emergency Department. Patients treated in the Emergency Room with an acute psychiatric need require additional staffing for 1:1 supervision. The inclusion of PES within CTC would allow expansion of services to accommodate patient care demand. Currently, PES contains six patient rooms without providing separation of adult and pediatric patient rooms or restrooms. Proposed separation of patient populations with centralized staff increases safety, and provides necessary support areas that are not currently included in the space.

UNMH is recommending inclusion of PES into the new CTC. This will allow for expanded services to accommodate patient care demand and facility design to meet the required healthcare occupancy. PES co-located with the CTC provides a synergy between the services with a consistent level of care. The inclusion of PES within CTC will better serve our community and streamline the intake process minimizing significant gaps existing in the crisis continuum to provide a more robust level of care in Bernalillo County.

UNMH is requesting a second floor cold shell to facilitate possible future expansion at the UNM Psychiatric Center campus. The ability to pursue a cold shell concurrent with the requested design is advantageous as it utilizes structural efficiencies inherent in the design to support vertical construction, creates a continuous building envelope with decreased total roof area, which reduces energy demands, and requires minimal utility upsizing compared to a standalone facility. This approach allows for rapid programmatic growth while reducing construction costs. This consideration allows for flexibility to meet future facility needs and aligns with UNMH's long term planning approach for this campus.

The addition of the Psychiatric Emergency services would expand the CTC building by 6,461 building gross square feet (BGSF). The second-floor cold shell would add approximately 18,000 BGSF, for a total of 44,608 BGSF.

This request is inclusive of indirect costs necessary to support the project design effort including but limited to: surveying services, asbestos testing and reporting, geotechnical investigations, commissioning services, and LEED Consulting services to meet or exceed Governor's Executive Order 2006-001 for minimum LEED Silver Certification USGBC.

PURCHASING PROCESS:

Procurement of the LEED and commissioning Consulting services shall be done utilizing Limited Solicitations with UNMH On-Call Contracts, and small purchase requests in accordance with procurement thresholds. Amendment to design services for inclusion of Psychiatric Emergency Services and Cold-Shell second floor would utilize an existing design professional contract executed via a Request for Proposals (RFP) 2256-21 with McClain + Yu Architecture and Design.

FUNDING:

Total indirect costs, including the design budget for this project, shall not to exceed \$1,750,000 from the Capital Renovation Fund.



CAPITAL PROJECT RE-APPROVAL

PROJECT NAME: CIP 3157 UH Main 2nd Floor Sterile Processing Department – Decontamination Renovation.

DATE: September 2021

RECOMMENDED ACTION:

As required by Section 7.12 of Board of Regents Policy Manual, the New Mexico Higher Education Department and the New Mexico State Board of Finance, capital project approval is requested for the **UNMH Main 2nd Floor Sterile Processing Department – Decontamination Renovation**. For the project described below, UNM Hospital requests the following actions, with action requested only upon requisite sequential approval and recommendation by any and all committees and bodies:

- Board of Trustee Finance Committee approval of and recommendation of approval to the UNMH Board of Trustees.
- UNMH Board of Trustees approval of and recommendation of approval to the UNM Board of Regents HSC Committee.
- UNM Board of Regents HSC Committee approval and recommendation of approval to the UNM Board of Regents.
- UNM Board of Regents approval

PROJECT DESCRIPTION:

Requesting an increase in the approval of this project from \$600,000 to \$1,290,000. The original project scope includes the expansion of the existing Decontamination Space to create improved access and work flow areas. The expansion will provide much needed additional space to properly don and doff personal protection equipment and improve the processing circulation. Existing mechanical unit upgrades were also included to ensure proper airflow, air exchange, and exhaust to maintain negative air pressures.

As the renovation work has progressed, several unforeseen conditions have been identified which requires additional work to include but not limited to: sewer pipe replacement due to compromised conditions, asbestos remediation, wall reconstruction, new flooring, and temporary facilities to accommodate a mobile sterile processing unit as required to maintain hospital processing demand. These corrections will improve the workflow, condition, and safety within the Sterilization Processing Department.

RATIONALE:

The work is based on increased demand and volume in the Sterile Processing Department as well as compliance with The Joint Commission guidelines. The renovations are needed to increase workflow efficiency, support increased volumes, and replace compromised utilities. These improvements will provide a safe and modern work environment.

PURCHASING PROCESS:

The project will use the UNMH Vizient Job Order Contracting method, and will extend the purchase order previously approved.

FUNDING:

Total project construction budget not to exceed at \$1,290,000 from the UNMH Hospital Capital Improvement Funds. This is an increase of \$600,000 from the original \$690,000 request approved on the Regents Consent Docket of March 9, 2020.



CAPITAL PROJECT APPROVAL

PROJECT NAME: 1600 University – Clinic Renovation and Addition

DATE: September 2021

RECOMMENDED ACTION:

As required by Section 7.12 of Board of Regents Policy Manual, the New Mexico Higher Education Department and the New Mexico State Board of Finance, capital project approval is requested for the **UNMH Eye Clinic Renovation and Addition for 1600 University**. For the project described below, UNM Hospital requests the following actions, with action requested only upon requisite sequential approval and recommendation by any and all committees and bodies:

- Board of Trustee Finance Committee approval of and recommendation of approval to the UNMH Board of Trustees.
- UNMH Board of Trustees approval of and recommendation of approval to the UNM Board of Regents HSC Committee.
- UNM Board of Regents HSC Committee approval and recommendation of approval to the UNM Board of Regents.
- UNM Board of Regents approval

PROJECT DESCRIPTION:

Construction services are needed to renovate and construct additional square footage to increase the patient waiting area and provide a cold shell building expansion for three operating rooms to provide surgical eye procedures in the future. This renovation and addition will allow for reorganization of the patient entrance and improve access throughout the clinic. Additional exam and diagnostic rooms will be added to provide for increased patient throughput while reducing the wait times for appointments. Corrections to code deficiencies necessitated by the Facility Guidelines Institute (FGI) recommendations and the Americans with Disabilities Act (ADA) regulations will also be completed as part of the project. The work will correct Health Insurance Portability and Accountability Act (HIPAA) violations by providing compliant spatial configuration for patient check-in and reception areas. The project will include reconfiguring patient restrooms to comply with ADA requirements. Lastly, finishes will be updated in the remodeled areas.

RATIONALE:

This clinic is in a newly acquired building that does not meet current code in a number of areas. Correction of these deficiencies are required to address patient throughput concerns, improve spatial and programmatic efficiencies, increase throughput and enhance staff and patient experience.

PURCHASING PROCESS:

The construction project will be procured through a RFP for construction. Professional design services procured through an RFP for Professional Services.

FUNDING:

Total project budget not to exceed at \$5,750,000 from the UNMH Hospital Capital Improvement Funds and may be funded over multiple fiscal years.



CAPITAL PROJECT APPROVAL

PROJECT NAME: UNMH Main Section 16 Roof and Skylight Replacement

DATE: September 2021

RECOMMENDED ACTION:

As required by Section 7.12 of Board of Regents Policy Manual, the New Mexico Higher Education Department and the New Mexico State Board of Finance, capital project approval is requested for the **UNMH Main Section 16 Roof and Skylight Replacement**. For the project described below, UNM Hospital requests the following actions, with action requested only upon requisite sequential approval and recommendation by any and all committees and bodies:

- Board of Trustee Finance Committee approval of and recommendation of approval to the UNMH Board of Trustees.
- UNMH Board of Trustees approval of and recommendation of approval to the UNM Board of Regents HSC Committee.
- UNM Board of Regents HSC Committee approval and recommendation of approval to the UNM Board of Regents.
- UNM Board of Regents approval

PROJECT DESCRIPTION:

The project scope includes the replacement of the existing roof and skylight systems. Both systems are beyond their expected life cycle and present continued maintenance issues. The project will mitigate further water damage to the interior space as well as improve upon the comfort level of the work environment.

RATIONALE:

The roof system is at the end of its life cycle. This roof is primarily located above the Administration offices and Rehabilitation Services areas and exceeds the 30-year manufacturer's warranty. The existing skylight glazing system is antiquated and does not offer adequate insulation or UV values; the light is harsh and the area is subjected to temperatures swings. The new roof will consist of a single-ply roof membrane system with associated rigid insulation that will increase positive drainage and energy efficiency. A new skylight system with insulating values will be installed along with a new structural system that will moderate the temperature and diffuse the natural light.

PURCHASING PROCESS:

This project will utilize the UNMH Vizient Job Order Contracting method.

FUNDING:

Total project budget not to exceed at \$1,225,000 from the UNMH Hospital Capital Improvement Funds.

MEMORANDUM

TO: Regent Robert Schwartz, Chair, HSC Committee

FROM: A. Robb McLean, M.D., President and CEO, UNM Medical Group, Inc.

DATE: October 12, 2021

RE: Board Appointments, UNM Medical Group, Inc. Board of Directors

The UNM Medical Group, Inc. (“UNMMG”) Board of Directors is resolved to appoint a new Director, as nominated by the Committee of Chairs in accordance with Article III, Section 8(b) of the Fifth Amended and Restated Bylaws of the UNMMG. In addition, the UNMMG Board of Directors is resolved to reclassify Michael Richards, MD, MPA, consistent with his appointment as Interim Dean for the UNM School of Medicine.

Pursuant to the UNMMG Fifth Amended and Restated Bylaws, the Board of Regents must appoint the Board of Directors of UNMMG and, therefore, pursuant to Regents Policy 3.5, the nominees to the Board of Directors of UNMMG must be presented for consideration to this Committee and then to the Board of Regents with a recommendation for approval.

Per the nomination of the Committee of Chairs, and the appointment of Dr. Richards as Interim Dean for the School of Medicine, I recommend appointment of the following individuals to serve on the UNM Medical Group, Inc. Board of Directors:

Nominations to the UNM Medical Group, Inc. Board of Directors

Class B Directors (Term ending December 31, 2024)

1. Michael Richards, MD, MPA, Interim Dean, UNM School of Medicine
2. Aimee Smidt, M.D., Department of Dermatology, UNM School of Medicine

I request that the HSC Committee approve these nominees, with final approval to be given by the Board of Regents.

HSC COMMITTEE

RESOLUTIONS APPROVING AND RECOMMENDING ELECTION OF UNM MEDICAL GROUP, INC. DIRECTORS

WHEREAS, UNM Medical Group, Inc. (“UNMMG”) seeks the election and appointment of certain Directors in accordance with the UNMMG Fifth Amended and Restated Bylaws;

WHEREAS, pursuant to the requirements of the University Research Park and Economic Development Act, Section 21-28-1 et seq., NMSA 1978, and the UNMMG Fifth Amended and Restated Bylaws, the Board of Regents must appoint and elect the Board of Directors of UNMMG and, therefore, pursuant to Regents Policy 3.5, the nominees to the Board of Directors of UNMMG must be presented for consideration to this Committee and then to the Board of Regents with a recommendation for approval; and

WHEREAS, the HSC Committee, having considered the nominees and the presentation made to the Committee in respect of the nominees to UNMMG Board of Directors, finds and concludes that such nominations and nominees are reasonable and approval of the same is in the best interest of the UNMMG.

NOW, THEREFORE, BE IT RESOLVED THAT:

The HSC Committee hereby approves and recommends to the Board of Regents that the following individual be elected as Director of UNMMG, effective immediately, to serve in the capacity indicated and for the term indicated or until a successor is appointed and qualified:

Class B Director (Term ending December 31, 2024)

1. Aimee Smidt, M.D., Chair, Department of Dermatology, UNM School of Medicine

The HSC Committee hereby approves and recommends to the Board of Regents that the following individual be elected as Director of UNMMG effective, nunc pro tunc, to October 1, 2021, to serve in the capacity indicated and for the term indicated or until a successor is appointed and qualified:

Class B Director (Term ending December 31, 2024)

1. Michael Richards, MD, MPA, Interim Dean, UNM School of Medicine

ADOPTED BY VOTE OF THE MEMBERS OF THE HSC COMMITTEE OF THE BOARD OF REGENTS AT A MEETING HELD ON OCTOBER 12, 2021.

By: _____
Regent Robert Schwartz
HSC Committee Chair

Aimee Caroline Smidt, MD, FAAD FAAP



I am currently the **Chair of Dermatology at the University of New Mexico School of Medicine**, and Professor in Dermatology and Pediatrics.

I grew up in New England and majored in Art History at Princeton University; I have always appreciated the importance of the visual, which is in large part what brought me to Dermatology and perhaps to the gorgeous landscapes of our state. Pattern recognition combined with solid clinical reasoning are what I aim to teach our trainees to practice and improve upon with each patient. I am energized by a personal approach to the children and families I serve, which I valued so highly in residency in Pediatrics (Baylor College of Medicine-Texas Children's Hospital) and Dermatology residency-Pediatric Dermatology fellowship (Northwestern University-Feinberg School of Medicine-Children's Memorial Hospital).



I joined UNM in 2009 as the only fellowship-trained/board-certified pediatric dermatologist in the state (having rotated with the Indian Health Service in the area during medical school/residency and meeting my now husband, who is from this area). Through the years here, I have served in several leadership positions, including as UNMH Chief of Medical Staff and on the UNMH Board of Trustees. In 2016, after 7 years on faculty, I was presented the opportunity to take the reins and rebuild the Dermatology Department and residency training program after accreditation was withdrawn. At the time it involved significant reflection to know if I was ready, but I determined that nobody would be as committed to this organization and this place as I was. I am exceedingly proud of the amazing group of people I have recruited (and that we were re-accredited in record speed). We remain deeply committed to clinical and academic excellence at the core of our values, along with fiscal responsibility and success. I also

pride myself on the fundraising and philanthropic efforts we have engaged in alongside with genuine community engagement. I continue to serve very actively as a Clinician-Educator in pediatric dermatology (I am still the only one here) and lecture on this topic locally, regionally and nationally. I lead a multidisciplinary team clinic for children and adults with vascular anomalies, and publish my work primarily in dermatology and pediatrics journals. I am also dedicated to improving diversity in medicine and ensuring providers reflect the cultural sensitivities of communities they serve, and championing professionalism and integrity, specifically as a woman leader and modeling to those around us, especially in times of adversity. To this end, I take great pride in active input, perspective and collaboration with my fellow Chairs at the UNM School of Medicine for the betterment of the entire institution.

I feel so very grateful every day for the opportunities I have had that enable and activate me to do the work that I do. I look forward to contributing as a representative of the Chairs on the UNM Medical Group Board. Thank you for the nomination.




"Putting YOU First"

MEMORANDUM

September 1, 2021

TO: UNM Board of Regents, HSC Committee

FROM: Douglas Ziedonis, MD, MPH 
UNM Sandoval Regional Medical Center, Inc. Board of Directors, Chair

SUBJECT: Board Appointment of Dr. Christopher B. Guest, UNM Sandoval Regional Medical Center, Inc. Board of Directors

On August 26, 2021 the UNM Sandoval Regional Medical Center, Inc. ("UNM SRMC") Board of Directors approved the nomination of Dr. Christopher B. Guest, SRMC Chief of Staff, to serve on the UNM SRMC Board of Directors. This is a positional board seat on the Board of Directors.

The UNM SRMC Board of Directors requests the approval of the HSC Committee, and the whole UNM Board of Regents, to appoint Dr. Guest. Dr. Guest's CV is attached for review.

April 30, 2020

Christopher Guest, MD, PhD

Professional Address

Department of Emergency Medicine

1 University of New Mexico

MSC11 6025

Albuquerque, NM 87131-0001

Home Address

Albuquerque, NM 87106

Licensures

2014 – Present NM Medical License

2012 – Present CA Medical License

Certifications

2015 Collaborative Institutional Training Initiative

2014 American Board of Emergency Medicine Certification Examination-
Passed

2011 Advanced Cardiac Life Support (ACLS)

2011 Advanced Trauma Life Support (ATLS)

2011 Pediatric Advanced Life Support (PALS)

2011 Neonatal Resuscitation Program (NRP)

2011 USMLE Certification Step 3

2010 USMLE Certification Step 2 CS

2010 USMLE Certification Step 2 CK

2009 USMLE Certification Step 1

Educational History

11/2020 – Present Medical Leadership Academy, University of New Mexico

05/2011 Doctor of Medicine, University of Illinois, Urbana-Champaign, Medicine

08/2008 Doctor of Philosophy, University of Illinois, Urbana-Champaign,
Nutritional Sciences

06/2001	Bachelor of Science, California Polytechnic University at San Luis Obispo Biochemistry; Psychology Minor
08/1995 – 05/1997	No Degree, Marycrest College, Davenport IA, Biology and Chemistry

Employment History

07/2014 – Present	Assistant Professor, University of New Mexico
06/2011 – 07/2014	Resident in Emergency Medicine, Stanford/Kaiser Emergency Medicine, Stanford University, Palo Alto, CA
06/2004 – 08/2008	Graduate Research Assistant, University of Illinois at Urbana-Champaign, Department of Pathology, Integrative Immunology and Behavior Program
06/2004 – 08/2004	Hispanic Center of Excellence Medical Research Fellow, University of Illinois, College of Medicine at Urbana-Champaign
02/2002 – 06/2003	Biomedical Research Assistant, InLight Solutions, Albuquerque, New Mexico
08/2001 – 02/2002	Fine Needle Aspiration Assistant, S.E.D. Medical Laboratories, Albuquerque, New Mexico
06/2000 – 09/2000	Fogarty International Research Fellow, Josef Fourier University at Grenoble, France

Professional Recognition and Honors

2010	Gold Humanism Honor Society, University of Illinois at Urbana- Champaign
2010	Daniel K. Bloomfield Fellowship, Outstanding Medical Scholar Entering Final Year of Medical School
2009	Incomplete List of Teachers Rated as Excellent by Their Students, Medical Anatomy, University of Illinois at Urbana-Champaign
2006	Illinois Department of Public Health Emergency Medical Services Award- Award given for heroic behavior; Assisted in the rescue of three people from a burning vehicle, Presented by Illinois Governor Rod R. Blagojevich
2004	Ruth Kirschstein Institutional National Research Service Award- Institutional Training Grant, Division of Nutritional Sciences, University of Illinois at Urbana-Champaign
2004	Eagle Award for Academic Excellence- Award given to a minority student with outstanding academic achievement, Urban Health Program University of Illinois at Urbana-Champaign
2004	Gamma Sigma Delta- Agricultural Sciences Honor Society, University of Illinois at Urbana-Champaign

2001	Minority Medical Education Program Scholar, University of Washington, Seattle WA.
2000	Fogarty International Research Grant, Josef Fourier University at Grenoble, France
1997/1999	Dean's List, California Polytechnic State University at San Luis Obispo

Memberships in Professional Activities

2017 – Present	Autophagy Inflammation and Metabolism
2011 – Present	American College of Emergency Physicians
2011 – Present	American Association Emergency Medicine

Ad hoc Reviewer

2007 – Present	<i>Metabolism</i>
2007 – Present	<i>Psychoneuroimmunology Journal</i>
2007 – Present	<i>Brain, Behavior, and Immunity</i>

Community Service

2015	Big Brothers Big Sisters, Mentor 2.0; Mentor to South Valley Academy High School Student, Albuquerque, NM
2010	HeRMES (Helping Revitalize Education through Service) student-run free clinic volunteer, Illinois
2005-2010	Team in Training, recruiter and fundraiser, helped to raise over \$25,000 to support the Leukemia and Lymphoma Society
2009	Division of Nutritional Sciences, Community Extension Education, guest speaker on “Recent Research Developments in Diabetes” University of Illinois at Urbana-Champaign
2004-2008	Mentored and supervised undergraduate students interested in research, University of Illinois at Urbana-Champaign
2004-2008	AMSA Student Interest Group, student mentor, University of Illinois at Urbana-Champaign
2004	Urban Health Program Annual Latinos in Medicine Celebration, fundraising coordinator, University of Illinois at Urbana-Champaign

Original research or scholarly articles in refereed journals

1. Park M.J., Guest C.B., Barnes M.B., Martin J., Ahmad U., York J.M., Freund G.G. (2008). Blocking of beta-2 adrenergic receptors hastens recovery from hypoglycemia-associated social withdrawal. *Psychoneuroendocrinology*. 33(10):1411-8.
2. Guest C.B., Chakour K.S., Freund G.G. (2008). Macropinocytosis is decreased in diabetic mouse macrophages and is regulated by AMPK. *BMC Immunol*. Jul 30;9:42.
3. Guest, C.B., Deszo E.L., Hartman M.E., York J.M., Kelley K.W., Freund G.G. (2008). Ca/Calmodulin-Dependent Kinase Kinase alpha Is Expressed by Monocytic Cells and Regulates the Activation Profile. *PLoS ONE*. Feb 13;3(2):e1606.
4. Guest, C.B., Hartman M.E., O'Connor J.C., Chakour K.S., Sovari A.A., Freund G.G. (2007). Phagocytosis of cholesteryl ester is amplified in diabetic mouse macrophages and is largely mediated by CD36 and SR-A. *PLoS ONE*. Jun 6;2(6):e511.
5. O'Connor, J.C., Sherry C.L., Guest C.B., Freund G.G. (2007). Type 2 diabetes impairs insulin receptor substrate-2-mediated phosphatidylinositol 3-kinase activity in primary macrophages to induce a state of cytokine resistance to IL-4 in association with overexpression of suppressor of cytokine signaling-3. *J Immunol*. Jun 1;178(11):6886-93.

Review articles and articles appearing as chapters in edited volumes

1. Guest C.B., Guest D.D., Smith-Coggins (2020). How to care for the basics – Sleep, Nutrition, Exercise, Health., L. W. Roberts (Ed.). *The Academic Medicine Handbook-A Guide to Achievement and Fulfillment for Academic Faculty*, 2nd Edition. New York: Springer.
2. Guest C.B., Smith-Coggins (2013). How to care for the basics – Sleep, Nutrition, Exercise, Health., L. W. Roberts (Ed.). *The Academic Medicine Handbook-A Guide to Achievement and Fulfillment for Academic Faculty*. New York: Springer.
3. Guest C.B., Park M.J., Johnson D.R., Freund G.G. (2008). The Implication of Proinflammatory Cytokines in Type 2 Diabetes. *Frontiers of Bioscience*, 5187-5194.
4. Guest, C.B., Gao Y., O'connor, J.C., & Freund, G.G. (2007). Obesity and Immunity. In (Ed.), R. Ader. *Psychoneuroimmunology 4th Edition* (pp. 993-1011). Rochester NY: Elsevier.

Contributed (unrefereed abstracts and/or oral presentations at professional meetings)

1. Abstract/Poster: First-time Seizure in a Young Adult: It's All in in the History. Dailey, P.; Guest, C.B.. UNM, Albuquerque, NM. American College of Emergency Physicians Conference, San Diego, 2019.
2. Absract/Poster: Cholesterylester increases TNF-alpha expression in macrophages. PsychoNeuroImmunology Research Society's 14th Annual Conference, Archachon, France, 2007.
3. Abstract/Poster: Amplified macropinocytosis of cholesteryl ester by macrophages from diabetic mice is dependent on PI3-Kinase and stimulates the secretion of TNF- α .

UIUC, Urbana, IL. Experimental Biology, San Francisco, 2006.

4. Abstract/Poster: Hyperthermia decreases the death rate in isolated hepatocytes. Dr. Jonathon Coles, Dr. Richard Orkand. Universite de Josef Fourier and California Polytechnic State University. National Minority Research Symposium, Washington DC, 2000.

Presentations

03/2020	Ventilator Training and Management, Basics of Ventilator Management, Sandoval Regional Medical Center, Rio Rancho, NM
03/2017	Autophagy and Diabetes, Autophagy Inflammation and Metabolism, University of New Mexico, Albuquerque, NM
08/2013	Morbidity & Mortality lecture- Approach to patient with altered mental status, Stanford University, Palo Alto, CA
05/2013	Trauma lecture- blast, burns, electrocution and approach to the mass casualty, Stanford University, Palo Alto, CA
09/2012	Pelvic fractures in the critically ill- identification, stabilization and approaches to resuscitation, Stanford University, Palo Alto, CA
07/2012	Injuries to the shoulder- recognizing common shoulder injuries and utilizing techniques for treatment and stabilization, Stanford University, Palo Alto, CA
11/2011	Tuberculosis- identification, risks, vaccination, Stanford University, Palo Alto, CA
09/2011	Hernias- identification, treatment and surgical emergencies, Stanford University, Palo Alto, CA
08/2011	Ultrasound guided nerve blocks- advantages, disadvantages and use in developing countries, Stanford University, Palo Alto, CA
08/2011	Approach Gout- identification, therapies and pitfalls, Stanford University, Palo Alto, CA
09/2008	Problems with the Big Eaters, University of Illinois, Urbana, Illinois

Teaching / Education

2019-Present	Morbidity and Mortality Faculty Mentor for Emergency Medicine Provides curriculum development and supervision of weekly M&M cases. Resident and fellow teaching and mentoring. Developing a REDCap database of potential cases for resident use to ensure high quality cases.
2019-Present	Clinical Competency Committee Evaluate residency progress and promotions
2018-Present	Evidence Based Medicine in Emergency Medicine Teaching current and past important papers to visiting medical students
2015-Present	Teaching monthly emergency medicine procedures class

2008-2010 Teaching Assistant Medical Anatomy, University of Illinois at Urbana-Champaign. Coordinated laboratory section, small group discussion and tutoring.

Mentorship

2018-Present Ben Monge, UNM medical student, candidate for Emergency Medicine Residency.

2018-Present Shane Johns, UNM undergraduate, current candidate for medical school

2017-2019 Peter Dailey, UNM medical student, currently an Emergency Medicine resident physician, University of Virginia, Charlottesville.

2016-2017 Sergio Alvarado, Preceptor for UNM undergraduate, now a graduate of UNM Paramedic Academy.

2005-2008 Jason York, University of Illinois Undergraduate, PhD graduate, currently a Post-doc, University of Illinois, Chicago

2005-2008 Kenneth Chakour, University of Illinois Undergraduate, currently an Orthopedic surgeon at University of Chicago.

2006-2008 Sarah Assian, University of Illinois Undergraduate, currently a Physician Assistant at Howard Brown Health, Chicago.

2004-2007 Ali Sovari, University of Illinois/Carle Hospital Resident, currently Medical director of Cardiac Electrophysiology St John Regional Medical Center, Oxnard California.

Continuing Medical Education

Trauma CME-36 units completed

Pain CME- 6 units completed

See supplemental information for a complete list.

Current Grant Funding

Autophagy Against Tuberculosis and HIV

- Deretic, Vojo (PI)
- 5% Effort
- 5R01AI111935-07, NIH
- 4/2020-Present
- Clinical Consultant

Past Grant Funding

Effect of Diabetes on Autophagy and Tuberculosis

- Guest, Christopher (PI)
- 25% Effort
- University of New Mexico School of Medicine Research Allocation Committee (RAC) Grant
- 3/1/2018-3/1/2019
- I was the PI on this internally funded grant. The research project was to test the hypothesis that autophagy maintains a host-protective state and prevents progression of tuberculosis and diabetes interferes with autophagy and promotes worsening of tuberculosis.
- Award amount: \$30,000

Training in Inflammation and Nutritional Dysfunction

- Donovan, Sharon (PI)
- 50% Effort
- 2T32DK059802-06A1, NIH
- 06/01/04-06/01/07
- I was a graduate student supported by this training grant. The “Inflammation and Nutritional Dysfunction” training program provides comprehensive education at the interface of nutritional sciences and cellular and molecular immunology, with the objective of preparing predoctoral and postdoctoral fellows to become productive, independent scientists capable of furthering the need of NIH to understand the complex interactions between the nutritional status, the innate and adaptive immune systems, and health and disease.
- Role: Fellow

Brain Immune Interactions in Type 2 Diabetes

- Freund, Gregory (PI)
- 50 Effort
- 5R01DK064862-05, NIH
- 06/01/07-06/01/09

- I was a graduate student supported by this grant. This research project is to examine the hypothesis that type 2 DM induces a stress disorder that enhances brain responsivity to pro-inflammatory cytokines. The long-term goal of this project is to understand the mechanism by which type 2 DM augments innate immunity, inflammation and sickness behavior.
- Role: Graduate Research Assistant

Service

- **University of New Mexico, SOM, HSC Administrative Duties**
 - 2020-present, **Vice-Chief of Staff**, Sandoval Regional Medical Center
 - 2018-present, **Chief of Hospital Based Services**, Sandoval Regional Medical Center – responsible for overseeing Anesthesiology, Emergency Medicine, Radiology and Pathology credentialing and compliance with professional standards.
- **University of New Mexico, SOM, HSC, Department Committees**
 - 2021-present, *Sedation Committee*, Sandoval Regional Medical Center, member
 - 2020-present, *Managed Care Credential Committee*, UNMH, SRMC representative
 - 2020-present, *Diversity, Equality, Inclusion Committee*, UNMH, member
 - 2020-present, *Patient Care and Quality Committee*, Sandoval Regional Medical Center, member
 - 2019-present, *Medical Staff Hospital Quality Committee*, Sandoval Regional Medical Center, member
 - 2019-present, *Credentials Committee*, Sandoval Regional Medical Center, member 2019-2020, Chair 2020- Present
 - 2018-present, *Trauma Committee*, Sandoval Regional Medical Center, Advise in the process of management of trauma and secure trauma designation, ad hoc member
 - 2017-present, *Medical Executive Committee*, Sandoval Regional Medical Center, member at large
 - 2017-present, *Transfusion Committee*, Sandoval Regional Medical Center, member

HSC COMMITTEE

RESOLUTION APPROVING AND RECOMMENDING ELECTION OF UNM SANDOVAL REGIONAL MEDICAL CENTER, INC., DIRECTOR

WHEREAS, UNM Sandoval Regional Medical Center, Inc. (“SRMC”) seeks the election and appointment of certain Directors in accordance with its Fourth Amended and Restated Bylaws (“SRMC Bylaws”);

WHEREAS, pursuant to the requirements of the University Research Park and Economic Development Act, Section 21-28-1 et seq., NMSA 1978, and the SRMC Bylaws, the Board of Regents must appoint and elect the Board of Directors of SRMC and, therefore, pursuant to Regents Policy 3.5, nominees to the Board of Directors of SRMC must be presented for consideration to this Committee and then to the Board of Regents with a recommendation for approval;

WHEREAS, the HSC Committee, having considered the nominee and the presentation made to the Committee in respect of the nominee to SRMC Board of Directors, finds and concludes that such nomination and nominee are reasonable and approval of the same is in the best interest of the SRMC;

NOW, THEREFORE, BE IT RESOLVED THAT:

The HSC Committee hereby approves and recommends to the Board of Regents that the following individual be elected as a Director of SRMC, effective as of the dates indicated, to serve in the capacity indicated and for the term indicated:

Effective immediately, Christopher Guest, MD, Chief of the Medical Staff of SRMC is appointed to the SRMC Board of Directors pursuant to Section 8(f) of the SRMC Bylaws. Dr. Guest is appointed as a Class C Director with a term ending December 31, 2021.

ADOPTED BY VOTE OF THE MEMBERS OF THE HSC COMMITTEE OF THE BOARD OF REGENTS AT A MEETING HELD ON October 12, 2021.

By: _____
Regent Robert Schwartz
HSC Committee Chair



MSC01 1240
1 University of New Mexico
Albuquerque, NM 87131-0001
Telephone (505) 277-2036
<http://purchase.unm.edu>

MEMORANDUM

To: Teresa Costantinidis, Sr. Vice President for Finance & Administration

From: Bruce Cherrin, Chief Procurement Officer

Subject: Contract Approval

Date: September 22, 2021

The following contract has been submitted for information to the Regents Finance and Facilities Committee per Regents Policy 7.4.

NMPBS – SpectraRep Agreement

On behalf of NMPBS, a sole source was posted for SpectraRep to provide Hardware and software platform services for the insertion of encrypted rich media content into public television broadcast stream; delivering educational content to students' homes, and live public safety information to first responders. The sole source was posted on September 15, 2021 on the University of New Mexico and State of New Mexico's Sunshine Portal for the required 30 day posting.

There is no other provider of these services with technology in use anywhere else. SpectraRep offers a unique combination of technology and platform services that serves both Public Safety and Education needs. Both the Public Safety community through NM DHSEM and Education through NM PED have expressed a need and demonstrated a commitment to implementing these services.

The estimated total dollar value is \$1,367,700.00. SpectraRep has decades of long history working with Public Television stations with technology that meets the specific needs of the broadcast industry and services that uniquely serve both the Education community and the Public Safety community. Education needs are served by creating a path from teacher to student that allows for delivery of education content designed for internet distribution to be delivered to homes that lack internet connectivity.

After a good faith effort to identify other sources, posting a sole source, and cost analysis, NMPBS recommends awarding a contract to SpectraRep.

Source of Funding

The funding source for these services will come from the Elementary and Secondary School Emergency Relief Fund.

Department Representatives in attendance for presentation:
Franz Joachim, GM & CEO New Mexico PBS,



MSC01 1240

1 University of New Mexico

Albuquerque, NM 87131-0001

Telephone (505) 277-2036

<http://purchase.unm.edu>

MEMORANDUM

To: Teresa Costantinidis, Sr. Vice President for Finance & Administration

From: Bruce Cherrin, Chief Procurement Officer

Subject: Contract Renewal Approval

Date: September 22, 2021

The following is being submitted for approval per Regent Policy 7.4. This will need to proceed to the full Board of Regents.

NEC Enterprise Voice System Maintenance Renewal

A five-year renewal has been negotiated with NEC to renew the current NEC maintenance contract for 5 years, 2022-2026. This renewal covers the enterprise Voice solution that has been at the University since 1983, and includes PBX system upgrades to be at the latest revisions and functionalities. The current premise-based solution covers 25,000 ports, end devices including analog, digital, Voice over IP (VoIP), Softphone technologies, 911 for UNM Police, e-fax, life safety systems (fire, security), contact center (Interactive voice response), Automatic call distribution agents, voicemail boxes, auto attendant, and trunking with Digital and SIP technologies for the Main, North, South campuses and branch campuses. The voice system also includes the University Hospital, Health Sciences Center, and UNM Medical Group for 24x7 x 365 operations, with full redundancy.

The NEC voice system provides robust, redundant and 99.999% of reliability, with little to no downtime except for routine service maintenance. The NEC premise solution has allowed the University to incrementally migrate to up-to-date hardware to protect existing hardware investment, reducing overhead costs but still providing current technologies for the suite of voice services IT offers to customers. Through the NEC product and along with a 3rd party telecom service provider, offsite disaster recovery measures are in place for business continuity.

NEC now has the capabilities to migrate VoIP end devices to the cloud, reducing the on-premise footprint, except for life safety systems or critical functions required for business continuity. This product also works in concert with the premise solution for business continuity.

Critical customers

- UNMH (5,000 end points, contact center)
- Connectivity to SRMC (dialing between campuses)
- HSC & UNMMG (On premise and remote clinics throughout Albuquerque, and New Mexico)
- Athletics (administration and all events like football, basketball, special events)
- UNM departments (400+ buildings, departments and services)
- 24x7 operations
 - Poison Control
 - Lifeguard
 - OMI
 - UH Emergency Department
 - PALS (physician assistance line)
 - UH Vocera
 - UH Escription
 - Cancer Center
 - UH Psychiatric Center
 - UH Operators
 - IT main number, dispatch
 - Agora Crisis Center
 - UNMPD – University campus Police
 - UH Security
 - Student Health Center
 - Student Residence Center

Source of Funding

UNM IT operational funds through internal service center chargeback.

Five (5) YEAR TOTAL COST (January 2022 – January 2026)	\$4,189,380
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Department Representatives in attendance for presentation:

Duane Arruti, Chief Information Officer, Brian Pietrewicz, Deputy Chief Information Officer, Paul Chang, Director, Core IT Services, and Mark Reynolds, Mgr., Core IT Services, IT.



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<http://purchase.unm.edu>

MEMORANDUM

To: Teresa Costantinidis, Sr. Vice President for Finance & Administration

From: Bruce Cherrin, Chief Procurement Officer

Subject: Contract Renewal Approval

Date: September 22, 2021

The following is being submitted for approval per Regent Policy 7.4. This will need to proceed to the full Board of Regents.

Microsoft A5 Licensing for UNM Main and Branches

In order to address high cybersecurity risks and obtain cyber insurance coverage, a 3 ½ year extension of our current contract (through February, 2025), has been negotiated with Microsoft through a reseller, Chicago Dell Marketing. This license agreement upgrades current Microsoft A1 and A3 licensing to Microsoft A5 licensing for faculty, staff, and students.

This licensing will allow UNM to implement software security features of Microsoft A5, which include: Multi-Factor Authentication to prevent account compromises, Endpoint Detection and Response to detect and prevent malicious activity on end- user devices and servers, Microsoft email filtering and quarantining tools to prevent malicious attachments, and administrative tools to create internal phishing campaigns to train our campus communities to recognize phishing.

This agreement will extend the current licensing for HSC, UH, SRMC, UNMMG, and for thirteen NM Licensing Consortium members around the state, and allows them the option to upgrade their current licensing to Microsoft A5 at the same UNM negotiated price. There is also the flexibility in this agreement for additional NM Higher Ed institutions to join the consortium, which will allow for even deeper discounting pricing as the volume of users increases.

All NM Higher Education Institutions will have the ability to obtain Microsoft A5 through this agreement to address institutional risks by leveraging advanced security controls as part of this licensing.

Source of Funding

The funding source for this Microsoft licensing is UNM IT operational funds and chargeback (chargeback for NM consortium members). UNM IT will be requesting recurring funding for this licensing as part of a cybersecurity initiative request through the University's annual budget development process.

Additionally, the New Mexico Higher Education Department (HED), in collaboration with the Consortium of Higher Education Computing Communication Services (CHECS), is currently seeking funding to cover two years of this licensing initiative for the majority of NM Higher Education Institutions for FY23 and FY24 through the NM Department of Information Technology's C5 Request process.

TOTAL COST (August 2021 – February 2025):	\$8,007,600
UNM - Main and Branches (currently licensed with A5)	2,310,500
UNM - HSC, UH, SRMC, UNMMG (estimated with A5)	3,131,000
NM Microsoft Consortium Members and other NM HEIs that choose to participate (estimated with A5)	2,566,100

Department Representatives in attendance for presentation:

Duane Arruti, Chief Information Officer and Brian Pietrewicz, Deputy Chief Information Officer, IT

**MEMORANDUM TO ADVANCE
COMMITTEE AGENDA ITEM TO
THE BOARD OF REGENTS
THE UNIVERSITY OF NEW MEXICO**

DATE: September 20, 2021

TO: Teresa Costantinidis, Sr. VP Finance & Administration

FROM: Lisa Marbury, Assistant Vice President, Campus Environments & Facilities,
Vice President Office for Institutional Support Services

RE: Requested Approval

RECOMMENDED ACTION:

Recommend to the Board of Regents Finance and Facilities Committee the following requests for Project Construction Approval:

1. Fire Safety Improvements: Fitz Hall & BRF
2. Los Alamos Library Renovation Re-Approval
3. Cancer Research Facility Roof Replacement
4. Central & Girard Site Improvements
5. Clinical Translational Science Center Roof Replacement
6. CIRT Restroom Renovation

cc: A. Coburn, M. Dion, M. Bailey, C. Martinez, S. Rodgers, K. Coffee, G. Skinner, M. Pierce, T. Silva – PDC
A. Sena, R. Notary, D. Penasa, R. Garcia – FM

**REQUEST FOR CAPITAL PROJECT CONSTRUCTION APPROVAL for
Fire Safety Improvements: Reginald Heber Fitz Hall & Biomedical Research Facility
UNIVERSITY OF NEW MEXICO
October 12, 2021**

REQUESTED ACTION:

In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested for the **Fire Safety Improvements: Fitz Hall & Biomedical Research Facility, at the Albuquerque North Campus.**

PROJECT DESCRIPTION:

Reginald Heber Fitz Hall, 179,527 gross square feet (GSF), and Biomedical Research Facility (BRF), 108,887 GSF, are both premiere medical research facilities utilized by the University of New Mexico School of Medicine. While separate facilities, these two buildings function together and comprise research spaces, laboratories and conservation storage, seminar spaces, as well as numerous classroom and office spaces. These facilities currently lack sufficient fire and life safety systems.

The project will entail renovations to the existing buildings fire alarm and fire protection systems. The entire fire alarm system will be replaced with a new addressable fire voice evacuation emergency communication system integrated into all portions of the facility. The existing building fire alarm system shall remain operational while the new fire alarm system is installed, tested, and accepted by the NM State Fire Marshals' Office. The existing fire protection system will be modified to extend a wet-pipe automatic fire sprinkler system to cover the entire six levels of the facility. Additionally, the existing manual dry standpipe systems will be modified to function as a single standpipe system. The existing mechanical HVAC systems, new electrical power circuits, and existing emergency power equipment will incorporate new fire alarm components and functions to meet the requirements for fire and life safety components.

PROJECT RATIONALE:

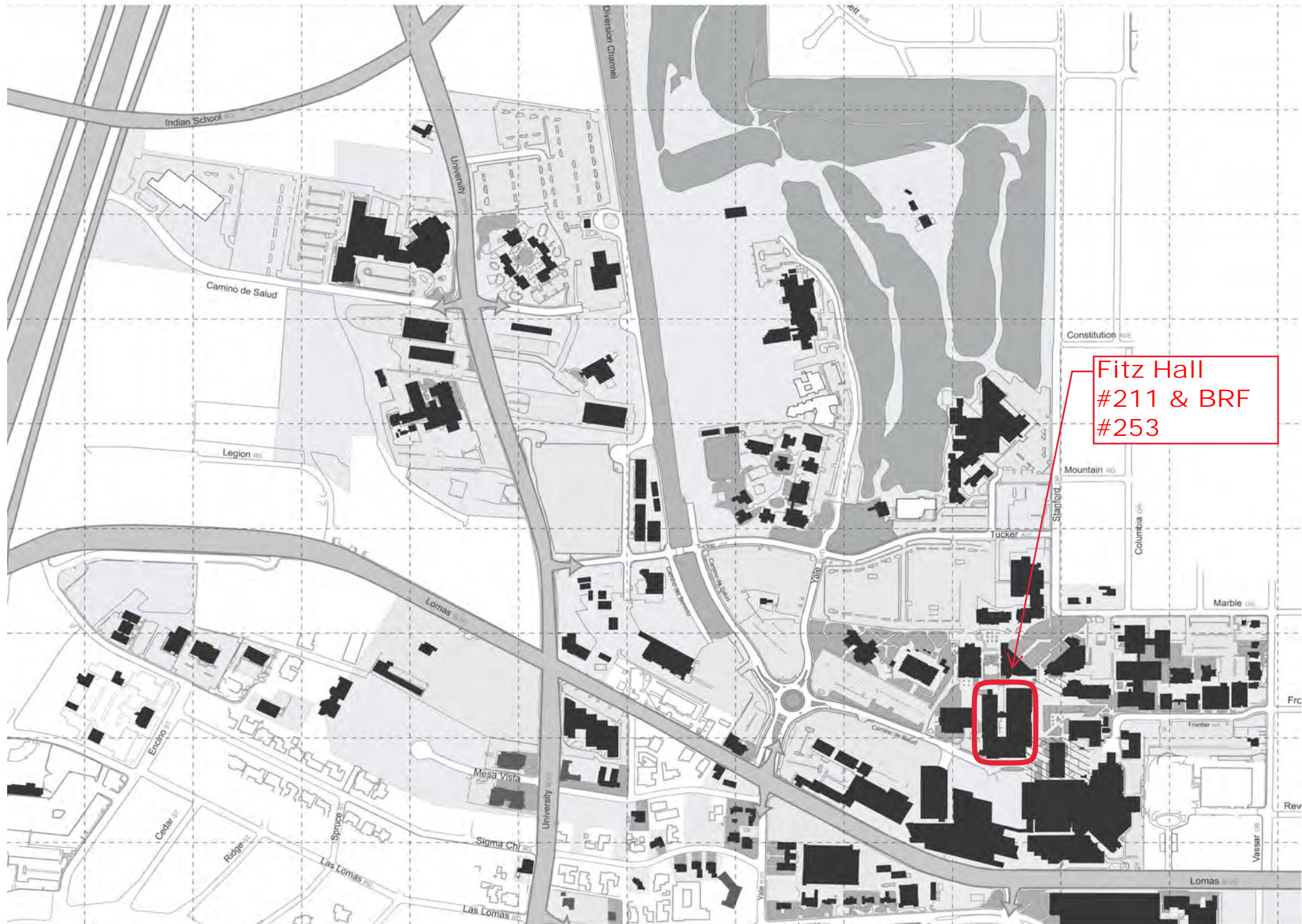
Upgrades are needed for the entire fire alarm and fire protections systems to comply with current codes and University design standards. Without this project the University is at an extreme risk during an emergency fire scenario. Only 23% of the floor area is currently protected by automatic sprinkler systems and many floor levels lack proper occupant notification and HVAC shutdown sequences. It is vital that these systems be updated to meet all applicable code and standard requirements since the facility is a hub for the UNM School of Medicine predominately catering to graduate and postdoctoral students and faculty who utilize this building on an almost continuous basis.

FUNDING:

The total estimated Project Budget is \$2,500,000:

- \$2,000,000.00 is funded from 2021 Severance Tax Bonds
- \$500,000.00 is funded from 2022 Sustainability Surcharge

The University of New Mexico - Albuquerque: North Campus





Basic Medical Sciences at Fitz Hall #211 and Biomedical Research Facility #253

LIFE SAFETY EVALUATION – FIRE ALARM AND FIRE PROTECTION
SYSTEMS

UNIVERSITY OF NEW MEXICO | FM-E&ES | May 18, 2020




General Building Information

A prescriptive and performance-based life safety evaluation in terms of its fire alarm and fire protection systems for the Basic Medical Sciences Building (BMSB) at Fitz Hall and Biomedical Research Facility (BRF) located at The University of New Mexico north campus is performed. Both structures are constructed of Type IIB construction with partial automatic sprinkler systems.

Completed in 1967, BMSB includes 6-levels at approximately 179,527 gross square feet (GSF). It primarily operates as a medical research facility. A large portion of the facility operates with an emphasis on animal research. See Table 1 for the current space categories within the building.

Table 1: Space allocation for BMSB #211



The UNM Space Category by Building

Report Parameters:
 Sites: A - ALBUQUERQUE
 Site Status: OPEN
 Ownership: ALL
 Building(s): 0211

Site Code	Site Description	Facility Code	Facility Description	Category	Category Description	Category Percentage	Net Usable SQFT	Room Count
A	ALBUQUERQUE	0211	REGINALD HEBER FITZ HALL	100	CLASSROOM FACILITIES	100%	8,598.00	33
				200	LABORATORY FACILITIES	100%	19,882.00	98
				240	HSC ORGANIZED RESEARCH	100%	37,816.00	122
				300	OFFICE FACILITIES	100%	31,821.00	250
				400	STUDY FACILITIES	100%	542.00	1
				600	GENERAL USE FACILITIES	100%	1,763.00	3
				700	SUPPORT FACILITIES	100%	4,443.00	17
				WWW	CIRCULATION AREA	100%	29,439.00	93
				XXX	BUILDING SERVICE AREA	100%	2,850.00	26
				YYY	MECHANICAL AREA	100%	26,338.00	41
Sub Total REGINALD HEBER FITZ HALL							163,492.00	684
Total Net Usable ALBUQUERQUE							163,492.00	684
TOTAL							163,492.00	684

BRF is also a 6-level medical research facility constructed adjacent to BMSB in 1982 at approximately 108,465 GSF. See Table 2 for the current space categories within the building footprint.

The University of New Mexico (UNM) School of Medicine utilizes both buildings. These Group B, business occupancy, buildings are predominately catered to graduate and postdoctoral research in biomedical sciences.

The basement and ground floor levels are continuous floor levels between these two complexes. Most ceilings are 2'x4' lay-in acoustical tiles with gypsum board ceilings in restroom, storage, laboratory, and research areas. There is a covered passage at ground level between the two buildings. And there are two exterior exposed walkways between the two buildings at the second and third levels.

Table 2: Space allocation for BRF #253



The UNM Space Category by Building

Report Parameters:

Sites: A - ALBUQUERQUE
 Site Status: OPEN
 Ownership: ALL
 Building(s): 0253

Site Code	Site Description	Facility Code	Facility Description	Category	Category Description	Category Percentage	Net Usable SQFT	Room Count
A	ALBUQUERQUE	0253	BIOMEDICAL RESEARCH FACILITY	100	CLASSROOM FACILITIES	100%	1,633.00	4
				200	LABORATORY FACILITIES	100%	15,865.00	70
				240	HSC ORGANIZED RESEARCH	100%	28,028.00	140
				300	OFFICE FACILITIES	100%	12,923.00	101
				700	SUPPORT FACILITIES	100%	9,118.00	13
				WWW	CIRCULATION AREA	100%	16,583.00	57
				XXX	BUILDING SERVICE AREA	100%	2,086.00	34
				YYY	MECHANICAL AREA	100%	12,458.00	40
Sub Total BIOMEDICAL RESEARCH FACILITY							98,694.00	459
Total Net Usable ALBUQUERQUE							98,694.00	459
TOTAL							98,694.00	459

Both buildings are constructed with a partial hydraulically calculated automatic sprinkler system. There are two separate wet pipe risers located within this complex. One located in Mechanical Room #B28 in BMSB and one located in Mechanical Room #B35 in BRF. The dual 2.5-inch fire department connection for BMSB is located along a south facing planter near a tight radius fire service route. The dual 2.5-inch fire department connection for BRF is located away from the building at a northwest floor location. The basement levels of each building have overhead sprinkler protection throughout. Also, a ground level 2011 renovation project in BMSB of approximately 6,200 square feet was updated with an Ordinary Hazard Group 1 density system. A floor control assembly for this renovated area is located in Stair #G91.

There are also separate manual dry standpipes for each building. Each building contains manual dry standpipe risers located at north and south stairwells. A single 2.5-inch hose connection is provided at each floor landing beginning at the ground floor level. The two standpipe systems are independent of one another, and proper signage is an issue at the exterior connections for the BMSB system.

Additionally, there isn't a hydrant in close proximity to the BMSB dry standpipe fire department connection. A new hydrant should be installed in the fire access route between buildings BMSB #211 and the Health Sciences Center Library and Informatics Center #234.

Approximately 66,200 ft² of the combined gross 288,000 ft² building area is current protected by overhead automatic sprinklers. The sprinkler density provided for these basement level spaces is listed as Light Hazard occupancy. The hazard classification, design density and coverage areas adhere to the requirements of National Fire Protection

Association (NFPA) Standard 13. See Figures 1 and 2, at the end of this document, for additional information regarding the existing fire protection systems for both buildings.

BMSB and BRF are connected to the UNM campus water utility system. Two independent 6-inch fire service mains are currently routed to two 6-inch double check backflow assemblies. There are no exterior control valves for either system. The most recent fire flow test performed near the facility was conducted on June 12, 2019. A static pressure reading of 88 psi; residual pressure of 30 psi at a flow of 1,300 gpm were identified through the flow test.

EXISTING FIRE DETECTION AND ALARM SYSTEMS

Building wide fire alarm systems are provided for both buildings in accordance with provisions of the International Building Code (IBC), International Fire Code (IFC) and NFPA 101, Life Safety Code. Fire alarm systems are required for existing business occupancies where the building is three or more stories in height, there are 100 or more occupants above the discharge level, or 1,000 or more total occupants within the building. However, the existing systems are lacking adequate initiation devices and overall occupant notification appliances throughout all levels. Additionally, the two buildings function as separate fire alarm systems even though the buildings are connected without a fire wall and the alarm requirements for all areas are equal. Both systems utilize Honeywell Notifier equipment.

Each independent system is a basic addressable alarm system which consists of typical initiating devices, both manual and automatic, and occupant notification is delivered through standard horn and strobe appliances.

The fire alarm system receives alarm signals from manual pull stations, automatic sprinkler water flow switches, automatic smoke detection, and automatic heat detection.

The location of the main fire alarm control panel for BMSB is at the ground level in Lobby #G00. The location for the BRF control panel is at basement level in Corridor #B09. There are non-functional remote annunciators near the ground floor entry hall of BMSB and the loading dock area of BRF, and the other remaining fire fighter entrances are lacking adequate annunciation panels. The total footprint of the facility is in the range of 288,000 square feet with approximately 180,000 square feet being the responsibility of the BMSB system and approximately 108,000 square feet under the BRF system. See Figure 3 and 4, at the end of this document, for additional information regarding the existing fire alarm systems for both buildings.

EXISTING HVAC SYSTEMS

There are minimal existing fire alarm shutdown sequences for the entirety of the two buildings. The only active shutdown relays are for AHU-1 and ERV-1 which serves the first and second floor remodeled areas of BMSB. There are visible non-addressable duct

detectors currently installed, but there's no testing documentation to determine if they are actually functional.

There are many instances where duct detectors have been removed, and there are many abandoned relays throughout numerous mechanical equipment rooms.

As far as national standards are concerned (NIH, USDA, Biosafety and Biomedical) with respect to animal research areas; those governing bodies only references other building codes for duct smoke detection requirements. Therefore, NFPA 90A would be the start and end point for operational duct smoke detection requirements. Also, there are no specific smoke control requirements for these Type IIB, Group B occupancy buildings.

The main approach to contain smoke spread is to initiate a general HVAC shutdown mode during a building fire event. General air handling units will stop. Critical area air handling units will stop the supply air fans, but the exhaust air fans shall operate to failure.

Based on as-built and onsite research, the majority of the original HVAC equipment layouts have remained unchanged. There is a total of fifteen supply air fans which will require integration with the new fire alarm system. These air handling units with a supply air capacity greater than 2,000 CFM will require supply air duct smoke detection. Additionally, the once through air handling systems will also require duct smoke detectors. Provide smoke detection on the supply air side of these systems.

NEW FIRE DETECTION AND ALARM SYSTEMS

Situations where multiple buildings share a common site with unique addresses shall be equipped with its own fire alarm control panel. Each individual building panel shall be permitted to be networked together so that a single alarm signal is broadcasted throughout all floors of the two buildings. The entirety of the BSMB and BRF facility will be equipped with a new addressable emergency voice/alarm communication (EVAC) system utilizing speakers for intelligibility. The intent of a voice evacuation system is to provide a clear and audible message that can be understood by the occupants in the event of an emergency.

The main fire apparatus access route is through a fire lane at the north entrance to the facility. First responders will be directed to the vestibule space between BMSB and BRF, thus both fire alarm control panels would be ideally located near this passage space. Addressable initiating devices will direct first responders to appropriate locations throughout the expansive facility. A graphic annunciator can be provided to illustrate an interactive visual map of the facility to aid in the specific location of an alarm signal.

Locate the new main fire alarm control panel for BMSB in Corridor #G00 and in Corridor #G03 for BRF, and provide remote annunciators near the first-floor entry lobby #100 of BMSB and the ground level entrances (Corridor #G05 and Corridor #G50) of BRF.

New area smoke detection shall be limited to generally unoccupied storage areas, and new heat detection shall be throughout all mechanical room spaces and custodial spaces that utilize mop basins.

Additionally, smoke detection shall be provided throughout all animal occupied areas to detect a fire in the early stages of development, which will provide time for firefighters to get on scene before the fire grows to a size that can be detrimental to confined occupants.

NEW FIRE PROTECTION SYSTEMS

Since the two buildings are connected throughout the ground level without any fire walls, it is recommended that a single fire service main be utilized for this facility. The existing 6" fire service main and corresponding alarm riser located in Mechanical Room #100 in BRF will be removed complete minus the fire department connection, and a single 6" fire service main in BMSB shall remain to provide adequate fire protection coverage for both BMSB and BRF.

A new feed main shall be routed to BRF and shall provide fire protection water supply for floor control assemblies at the south Stairwell #B13. A feed main is already capped at Stair #B91 of BMSB and shall be extended up through the building to floor control assemblies at the upper floor levels. Table 3 indicates the approximate coverage areas per floor control assembly.

This will enable a single fire service main, a single backflow preventer, and two fire department connection locations for the entire facility. One fire department connection will be located on the south face of BMSB, and the other will be located at the northwest corner of BRF. Enabling the responding fire department to have access to multiple fire access routes to the facility.

Table 3: Sprinkler coverage area per floor control assembly


Floor Control Valve Location	BMSB Floor Control Area (square feet)	BRF Floor Control Area (square feet)	Total Protected Area per Floor Level (square feet)
Basement Level	35,105	25,810	60,915
Ground Level	48,510	17,070	65,580
First Level	34,345	15,250	49,595
Second Level	34,590	15,250	49,840
Third Level	32,220	15,250	47,470
Roof Level	2,452	859	3,311
Total	187,222	89,489	276,711

Both systems shall be hydraulically calculated at an Ordinary Hazard Group 1 occupancy which corresponds to 0.15 gpm/ft² over a remote area of 1,500 ft². Area reduction for use of quick response sprinkler heads will be allowed. See Table 4 for an analysis of the existing campus water supply when converting to a single fire service main for both structures. A conservative estimation is analyzed, and there is roughly a 14% safety margin between the available campus water pressure and the required fire sprinkler pressure.

Since the two manual dry standpipe systems are independent, a new 4-inch main will be routed between the two systems so that there is a single complete standpipe system for the entire facility. This connection is required per NFPA 14, which allows the responding fire department to connect to the three available exterior fire department connections to supply water to all hose locations within both BMSB and BRF.

Table 4: Fire protection water supply summary

Water Supply/Demand Summary

Basis of Opinion:	Preliminary Estimation		
Building:	BMSB #211 & BRF #253		
Location:	UNM North Campus	 <p>Scope differences and water supply conditions can cause estimations to vary significantly.</p>	
Story Count:	6-levels		
Ceiling Height (L.F.):	10		
Floor Area (S.F.):	276,711		
Construction Type:	Type II-B		
Basement Included:	Yes		
Site Elevation:	5,315 feet		
System Type:	Wet-pipe		
Method:	Hydraulic Calculation		

References to NFPA 13-2013, *Standard for the Installation of Sprinkler Systems*

Area/Density Curve		GPM/SF	SF	GPM
Occupancy Hazard	Ordinary Hazard Group 1 (Laboratory)	0.15	1500	225.00
Exhibit 5.1	Existing building primarily consisting of classrooms, laboratory, and office spaces. 2'x4' lay-in acoustic and gyp board ceilings. Hydrant at same level as riser.			
Area Reduction			% Reduction	SF
11.2.3.2.3.1	For QR heads with a ceiling/roof height less than 20 feet		40.0%	900.00
Actual Design Area		GPM/SF	SF	GPM
		0.15	900.00	135.00
Sprinkler Head Spacing		SF per Head	Sprinklers in Design Area, #	Discharge per sprinkler, GPM
8.6.2.2	Based on NFPA standards, common construction obstructions, & historical hydraulic calculations	130	7	19.50
Estimate Water Demand to System				GPM
Minimum Supply of Water to System, GPM				135.00
Including Balancing or Overage Factor, 1.2			1.2	162.00
11.2.3.1.2	Including Hose Stream Demand, GPM		250.0	412.00
Estimate Pressure Requirements of System				PSI
Pressure required at most remote sprinkler, K-Factor of selected head			8.0	5.94
Elevation losses: Pressure to overcome the elevation difference between the sprinkler and the top floor of the building, Feet			55	23.82
Exterior: Pressure loss from water source to riser location (length of underground main in Feet)			60.00	0.78
Pressure drop from backflow preventer, 6" RP valve assembly, N-pattern				10.00
Interior: Pressure loss from piping, fittings & valves from sprinkler riser to the most remote sprinkler head, Feet			405.00	32.40
Estimated total pressure demand at campus water main (Required Pressure)				72.94
Campus Fire Flow Test Data				Date: 06/2019
Static Pressure, PSI				88.0
Residual Pressure, PSI				65.0
Flow, GPM				1300.0
Campus Water Supply Analysis				PSI
Pressure available at Design Flow				85.26
Pressure differential between Available Pressure and Required Pressure (must be > NM State safety factor)				12.32
Safety Margin, %				14%
NM State Safety Factor, 10% on demand pressure				7.29

For the purpose of this analysis, the available flow (as tested) is reliable and does not experience major fluctuations



BMSB main fire protection riser



BMSB fire service connection to campus main



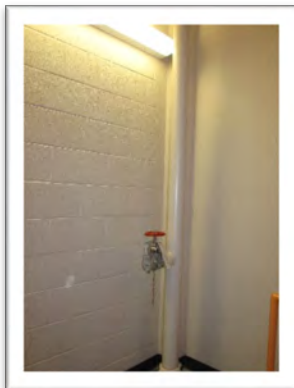
BRF main fire protection riser



BMSB standpipe and wet pipe connections



BRF wet pipe fire department connection



Typical standpipe hose connection

Figure 1: Existing fire protection system components

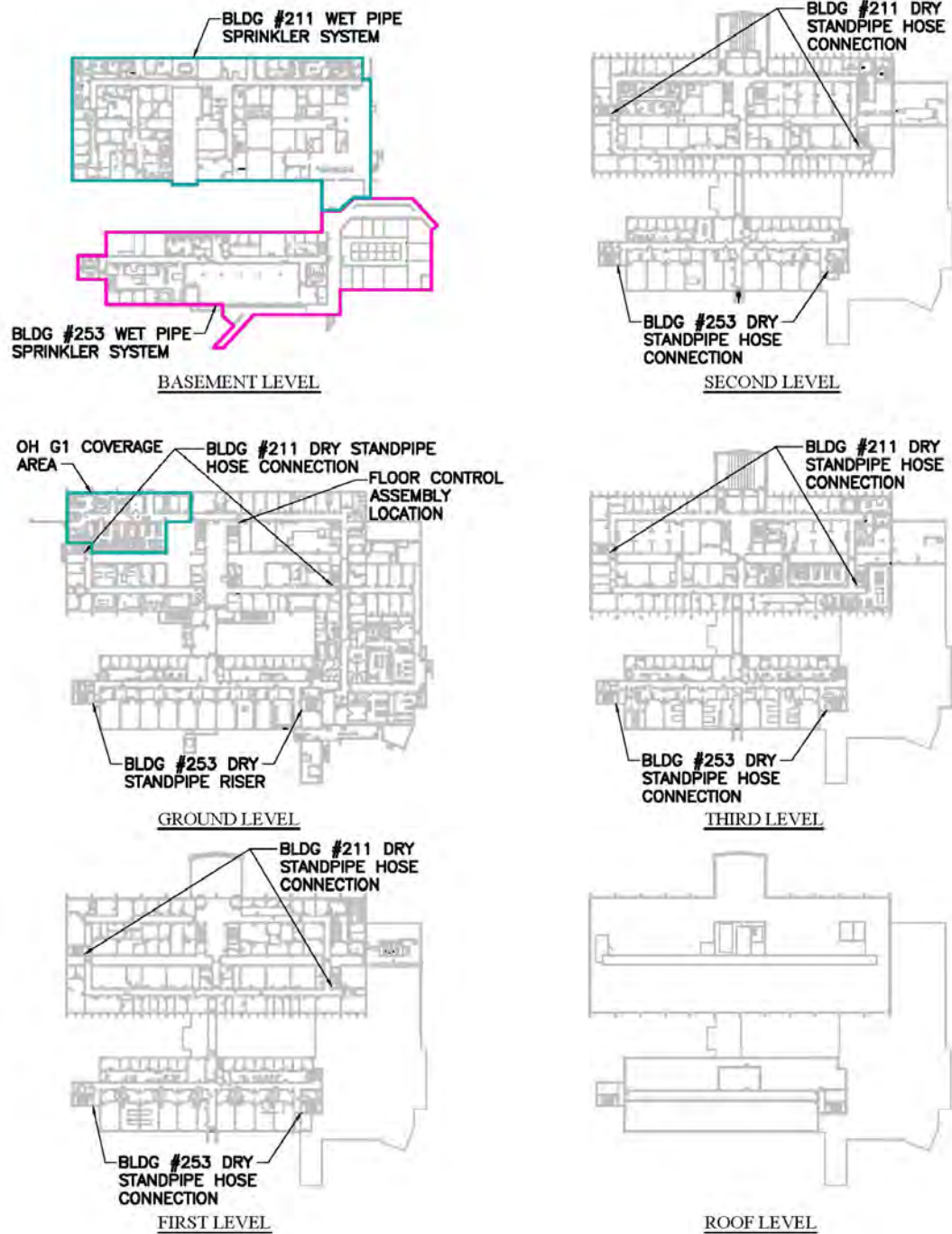


Figure 2: Current fire protection coverage areas



BMSB FACP & annunciator locations



BRF FACP location



Old BRF FACP now functions as a j-box



Typical abandoned relay and smoke detector



BMSB existing elevator relays

Figure 3: Existing fire alarm equipment and devices

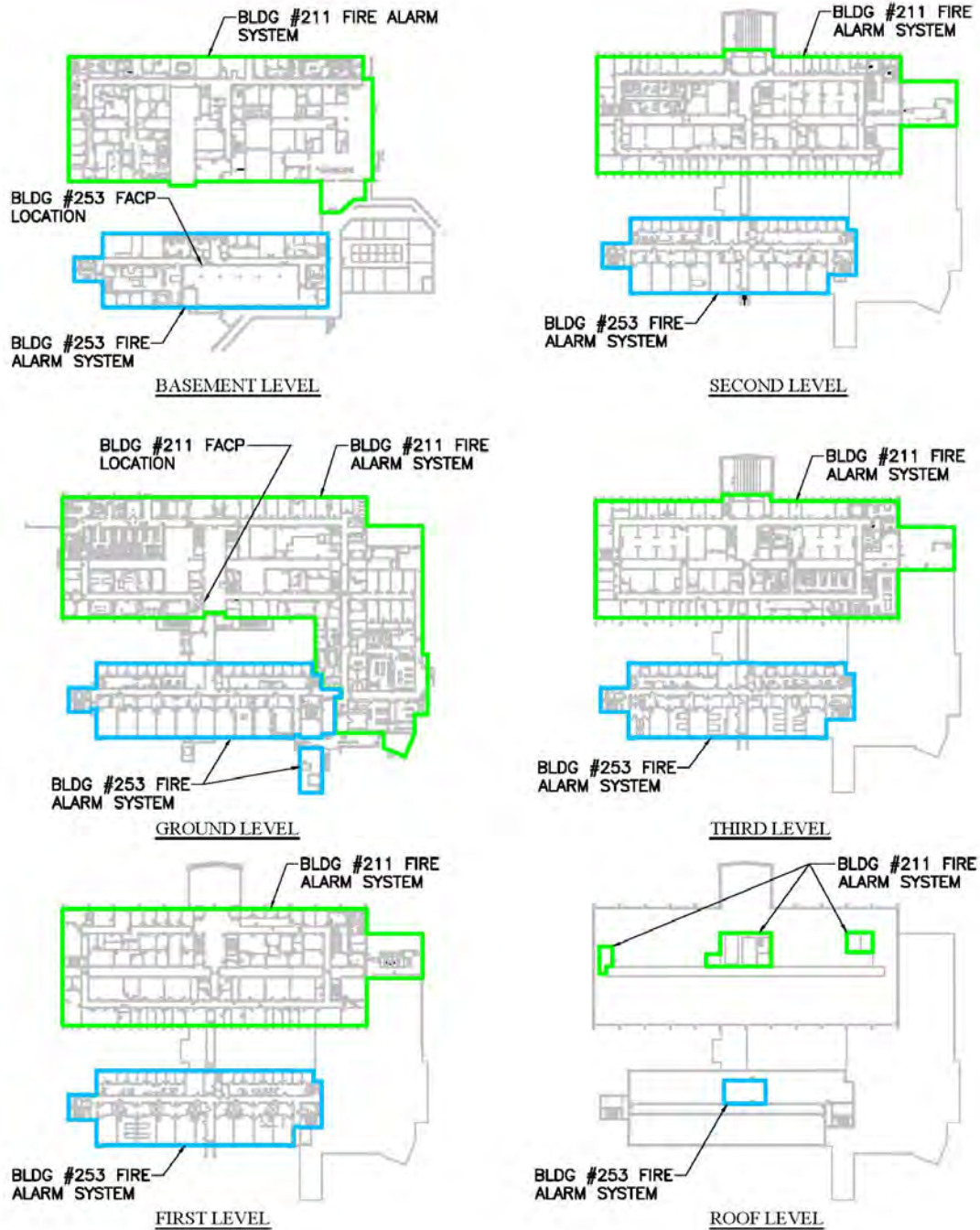



Figure 4: Current fire alarm system coverage areas

CONSTRUCTION COST ESTIMATES

The following cost estimate is a programming budget amount that shall be used to aid in fiscal year project budgeting. It shall not be considered an actual bid from a prospective contractor. A complete fire protection and fire alarm upgrade will be in the range of \$2,500,000.00 considering similar recent pricing from comparable project scopes of work. See Table 5 for additional information regarding the various project disciplines.

Table 5: An opinion of probable cost

Square Foot Cost Estimate Report		
Basis of Opinion:	Programming	
Building:	BMSB #211 & BRF #253	
Location:	UNM North Campus	 <p>Costs are derived from a building model with basic components. Scope differences and market conditions can cause costs to vary significantly.</p>
Story Count:	6-Levels	
Story Height (L.F.):	10 ft	
FP Flr Area (S.F.):	221,800	
FA Flr Area (S.F.):	288,000	
Labor Type:	Union (NM Type B General Bldg rates)	
Basement Included:	Yes	
Data Release:	Year 2020 Quarter 1	
Cost Per Square Foot:	\$7.51	
Bare Cost:	\$2,161,441	

		Cost Per S.F.	Cost
B Shell, C Interiors, D Services			
D2010	Plumbing Fixtures Water closet, vitreous china, wall hung, flush valve; Urinal, vitreous china, wall hung, flush valve; lavatory w/trim, wall hung; sink w/trim, countertop, stainless steel; floor drains, heavy duty, round; mop basin, stone, angled; water cooler, electric, wall hung, 8.2GPH	\$0.00	\$0
D2020	Domestic Water, Waste & Vent Distribution Exterior site waterline installation, 6" size, tap to existing campus tunnel main, terminate with dry barrel hydrant	\$0.09	\$19,962
D3010	Mechanical Demolition Remove unit heaters and evap coolers, rooftop units, split systems, hoods and exhaust fans, steam unit heaters and radiators; domestic water, waste, and vent piping; interior and exterior natural gas piping	\$0.00	\$0
D4010	Sprinklers Wet pipe sprinkler systems, steel, Light Hazard, Ordinary Hazard, Group 2, 1 floor, Standard coverage; QR heads, pendent, sidewall, incl. floor control assemblies with tamper and flow switches	\$5.00	\$1,109,000
D4010	Standpipes Dry standpipe risers, Class I, steel, black, sch 40, 4" diameter pipe, connection at ground floor level	\$0.08	\$16,635
D4020	Electrical Service/Distribution Safety disconnect switches, power circuits to source panelboard, circuit breakers in panelboard, control circuits	\$0.08	\$23,040
D5020	Communications and Security Communication and alarm systems, fire detection, addressable with voice and microphone, 100 devices, incl. boxes, conduit & wire, phone line	\$4.50	\$1,296,000
SubTotal	100.00%	\$9.75	\$2,161,441
A/E Design Fees	2.00%		\$43,229
UNM Project Management Fees	3.00%		\$64,843
Contractor Fees (General Conditions,Bond,Overhead & Profit)	8.00%		\$172,915
Total Loaded Cost			\$2,442,428

**REQUEST FOR CAPITAL PROJECT CONSTRUCTION RE-APPROVAL for
UNM LOS ALAMOS LIBRARY RENOVATION
UNIVERSITY OF NEW MEXICO**

October 12, 2021

REQUESTED ACTION:

In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested for **UNMLA Library Renovation, Los Alamos Campus, Building 7.**

PROJECT DESCRIPTION:

Due to post-bid market conditions driving material costs up, additional funds are needed to complete the project. They will be applied to furniture. The original project budget is \$625,000 an additional \$100,000 is needed for a new project budget of \$725,000.

This project is seeking approval for renovations of the UNM Los Alamos Library/Learning Resource Center. This renovation project renovates 6,372 GSF. The affected spaces are primarily located on the main first floor to support the needs of students, staff, and faculty, with limited impact on the loft. The project includes interior renovation of the main first floor, group study rooms, mezzanine, and workroom, with new ceiling, lighting, flooring and furniture.

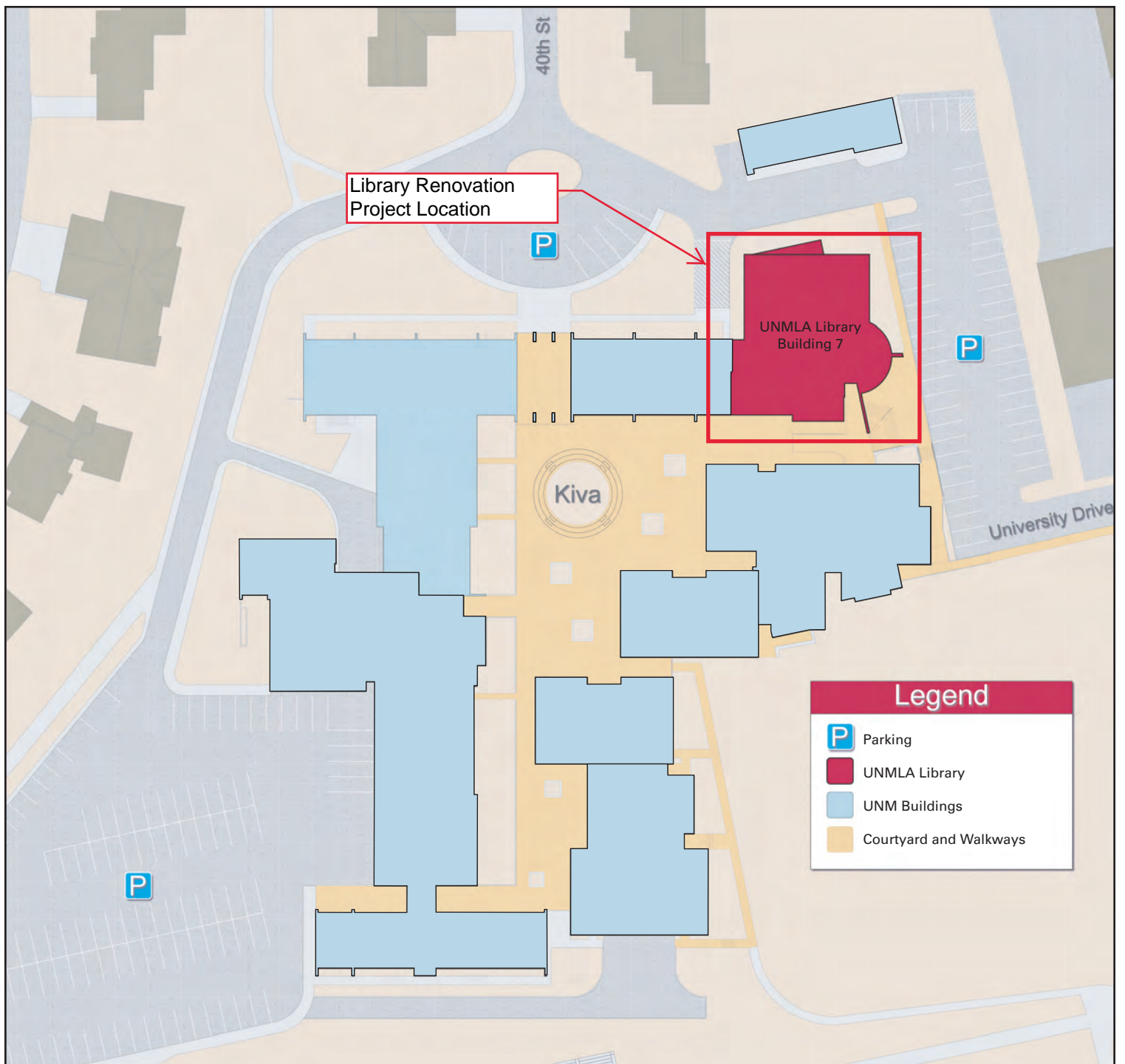
PROJECT RATIONALE:

This renovation provides updates and interior redesign of the space to encourage new transparent collaboration areas for students to gather in a modern learning environment. Currently the library space is outdated with stacks of printed books and with limited and unattractive space for collaborative work. The UNM-LA Library/Learning Resource Center provides a crucial learning space for our students. In addition to the traditional role of a library, and the added testing center capabilities, it serves as a space for students to gather in groups for collaborative learning activities, provides a space for individual students to study and do research, serves as a venue for presentations and displays, and allows students to interact in an academic setting. The proposed renovations will also provide a more effective space for both campus and community presentations and gatherings. The consequences of not moving forward with the project will be a decline in the student use of the outdated facilities, with its limited student-friendly and collaborative spaces. Reduced use of the Library/Learning Resource Center will negatively affect students' ability to develop and improve information literacy, research, and collaborative learning skills.

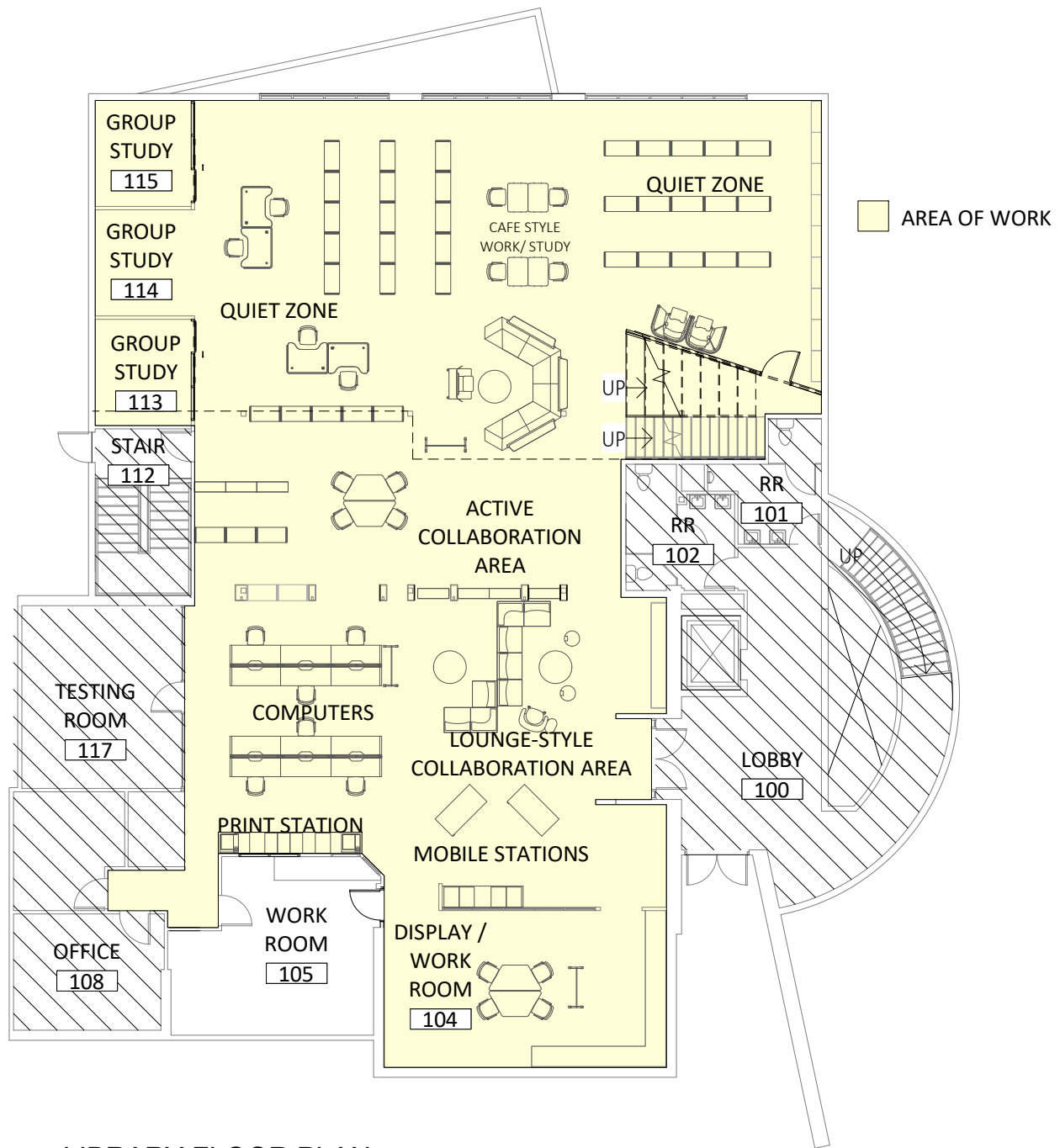
FUNDING:

The total estimated Project Budget is \$625,000

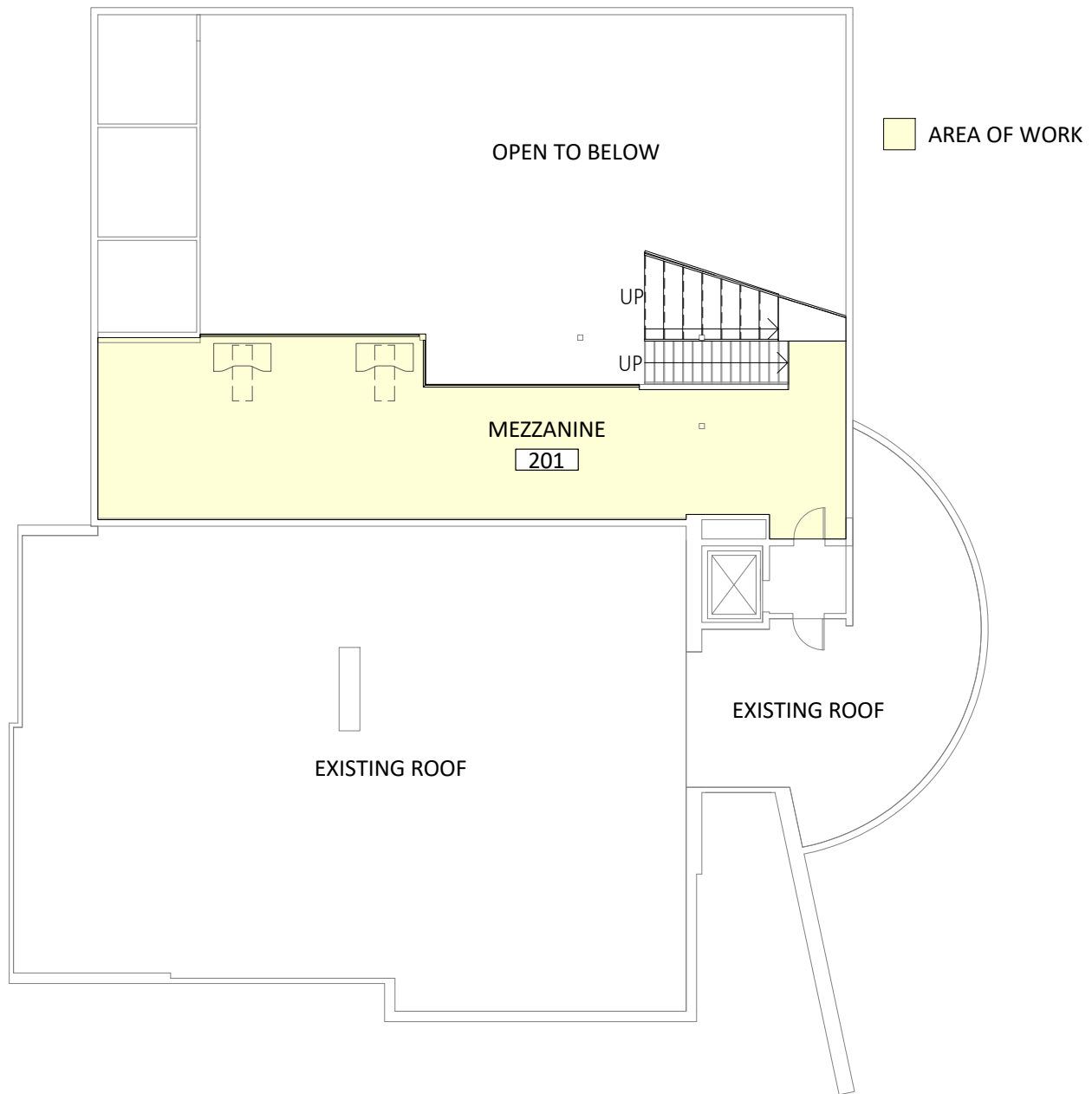
- \$500,000 is funded through State of NM General Funds (2019 GF, D3538)
- \$125,000 is funded by UNM-Los Alamos BR&R
- \$100,000 is funded by UNM Los Alamos Campus Reserve Funds



4000 University Drive, Los Alamos, New Mexico, 87544
losalamos.unm.edu



1 LIBRARY FLOOR PLAN
1/16" = 1'-0"



1 MEZZANINE FLOOR PLAN
1/16" = 1'-0"

**REQUEST FOR CAPITAL PROJECT CONSTRUCTION APPROVAL for
CANCER RESEARCH FACILITY ROOF REPLACEMENT
UNIVERSITY OF NEW MEXICO**

October 12, 2021

REQUESTED ACTION:

In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested for **Cancer Research Facility Roof Replacement, North Campus, Albuquerque, New Mexico.**

PROJECT DESCRIPTION:

The project includes the renovation of the entire roof (19,459 square feet) on this (82,867 gross foot) facility, which is located at 2301 Camino De Salud N.E., Albuquerque, New Mexico. The existing leaking and worn roof assembly will be moisture scanned to locate areas harboring latent moisture. If moisture is detected those areas will be removed and disposed of. Once system is scanned and confirmed to be suitable, the new roof membrane system will be installed over a new ¼" high density separator board attached thru the existing roof system into the steel deck. The new membrane system will be mechanically attached thru the new separator board and into the steel deck. All existing unit and wall flashings will be replaced with a new membrane. The new system once complete will carry a 20 year no dollar limit warranty.

PROJECT RATIONALE:

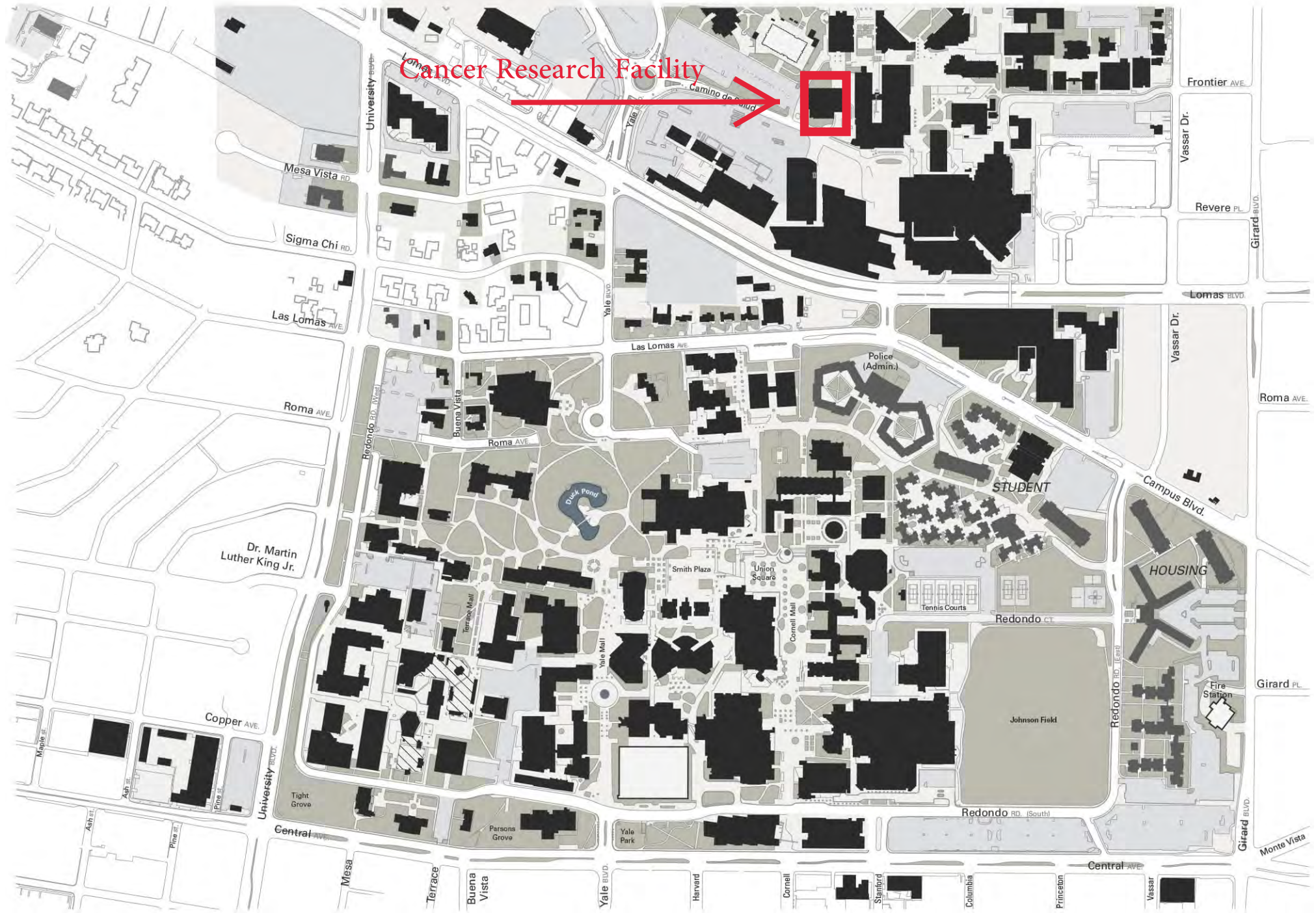
The Cancer Research Facility serves locally, regionally and nationally. The mission of this facility is to reduce the cancer burden and overcome the tremendous cancer health disparities in New Mexico and the nation through ground-breaking transdisciplinary research, translation of discoveries to community and clinical interventions, impactful bi-directional community engagement, education and training of a more diverse cancer workforce and the delivery of exceptional cancer care. The existing roof has exceeded its life expectancy and is now consistently leaking and causing damage to the interior of the building. If the facility is not properly maintained, it impacts the user groups ability to perform the facilities mission. If the project is not approved, the building will continue to leak causing extensive interior damage which will only escalate as the roof continues to age.

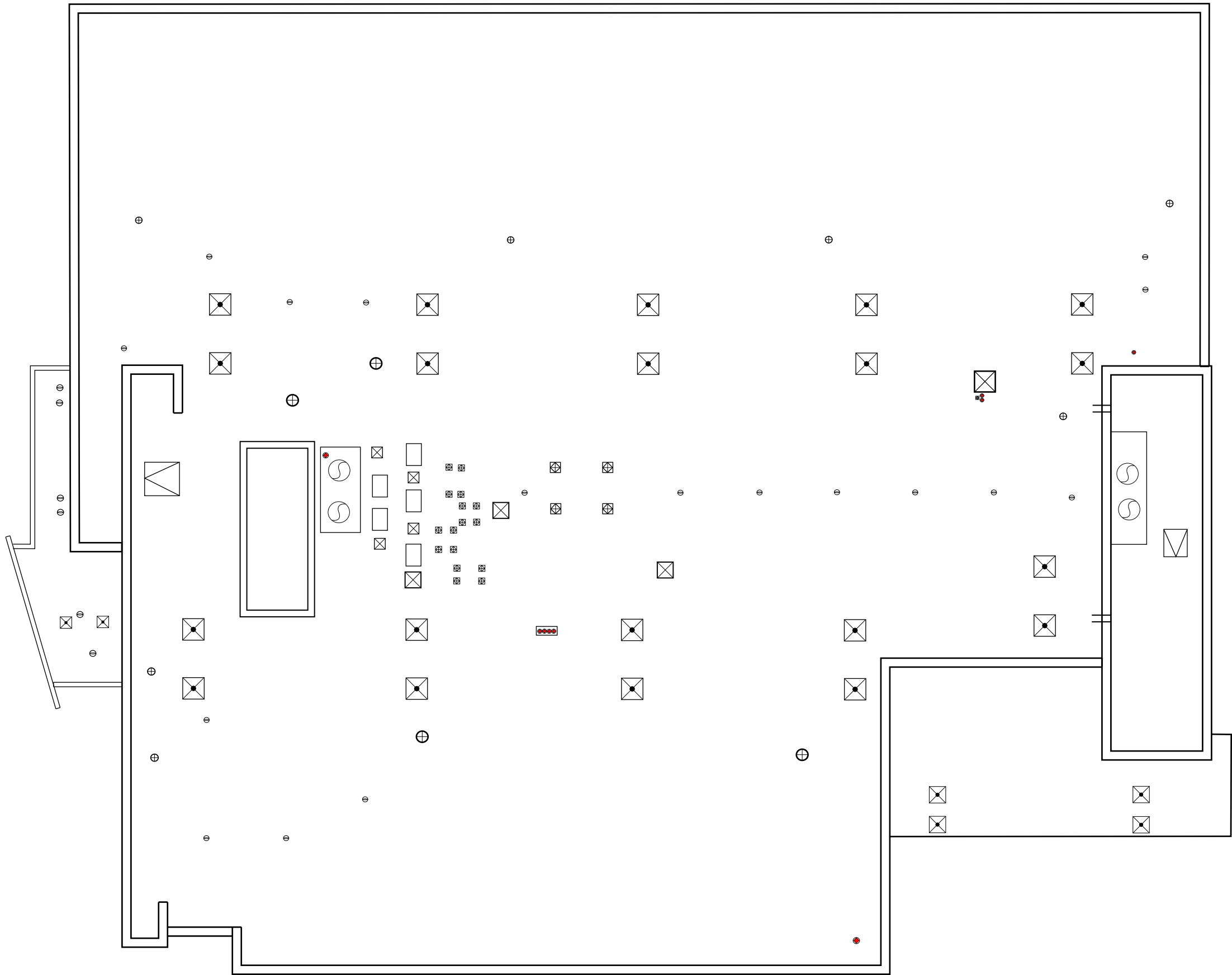
FUNDING:

The total estimated Project Budget is: \$449,150

- \$449,150 is funded from FY22 Facility Investment Needs funding (from 2021 House Bill 2 funding -previously Building Renewal & Replacement Funds)

The University of New Mexico - Albuquerque: Central Campus





20'-0"

Project name	Cancer Research Facility	
Client name		RP-1 Roof Plan
Project number		
Date		
Drawn by		Scale As indicated

**REQUEST FOR CAPITAL PROJECT CONSTRUCTION APPROVAL for
CENTRAL AND GIRARD SITE IMPROVEMENTS
UNIVERSITY OF NEW MEXICO
October 12, 2021**

REQUESTED ACTION:

In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested for the **Central & Girard Campus Gateway Plaza site improvements** on the Albuquerque Main Campus.

PROJECT DESCRIPTION:

The project is located on the northwest corner of Central Avenue and Girard Boulevard and is designed for approximately 11,246 gross square feet of construction and landscaping improvements. The project emphasizes campus identification and branding by installing a new monument sign and addresses safety and security concerns by incorporating Crime Prevention Through Environmental Design (CPTED) strategies and stakeholder feedback. The design includes a removal of visual barriers, replacing deteriorating infrastructure, reducing outdoor water use, to welcome visitors to the campus and improving overall safety.

PROJECT RATIONALE:

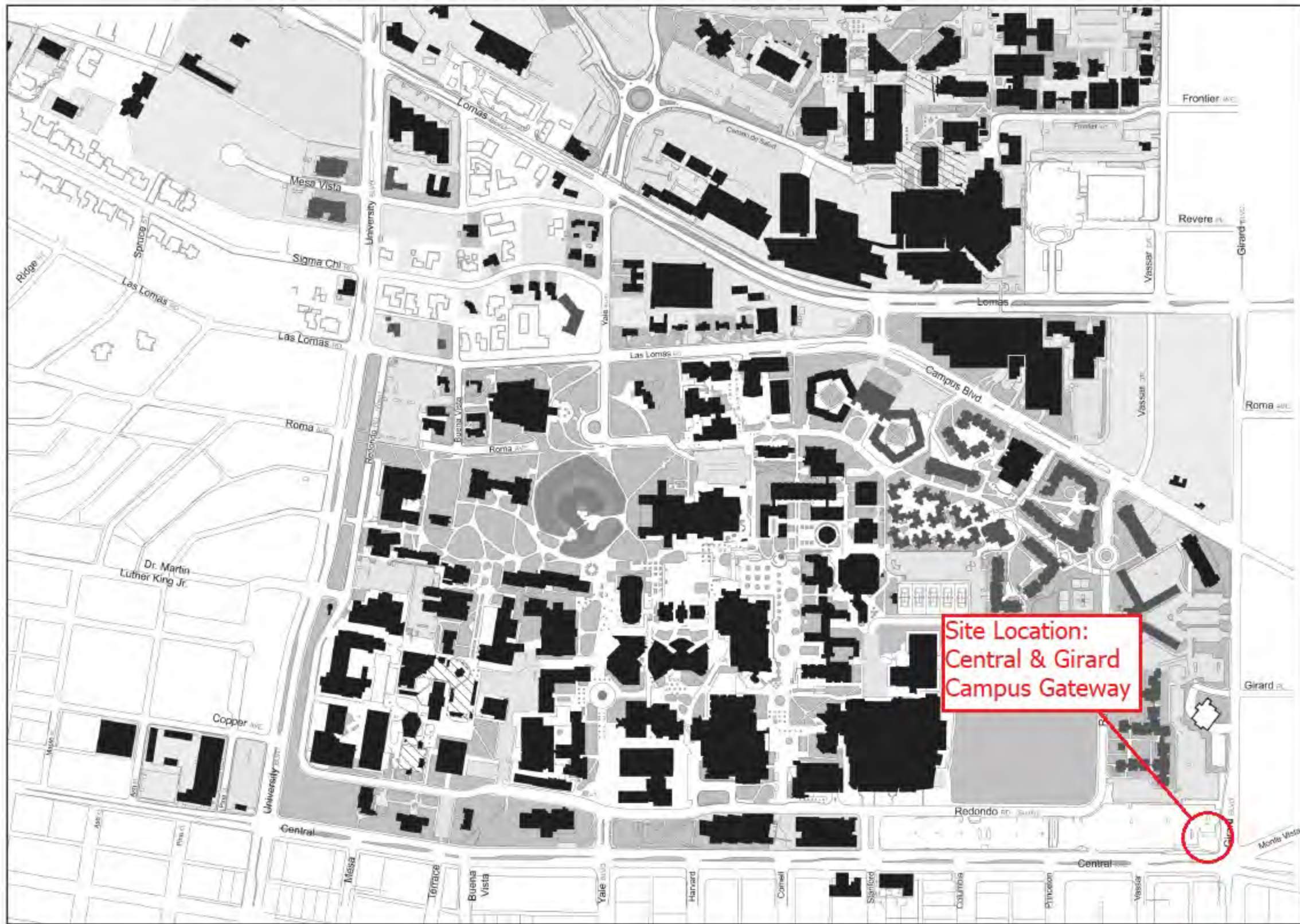
This intersection is a high-profile visual gateway to campus, providing the first official welcome for visitors traveling westbound on Route 66/Central Avenue. This corner is a physical gateway for students, faculty, staff, and community members who access public transportation or who walk between campus and the adjacent residential neighborhoods and retail establishments. The existing site has poor visibility, deteriorating infrastructure, and is beset by criminal activity. From July 2020 to June 2021, UNMPD responded to ninety-seven (97) total calls in this area. Twenty-two (22) calls of suspicious persons/transient related calls and eleven (11) crime reports. Due to the existing walled perimeter and overgrown landscape, University Police and Facilities Management staff are burdened by frequent calls and needed upkeep. The consequences of not approving this project will result in continued concerns for safety and security for students, faculty, staff and visitors who travel in this area of campus.

FUNDING:

The total estimated Project Budget is: \$429,190

- \$400,000 is funded from 2020 General Fund Appropriation.
\$29,190 is funded from Facility Management internal emergency funds.

The University of New Mexico - Central Campus



SITE PLAN OVERLAY WITH FUTURE MULTI-USE TRAIL



PLAZA PERSPECTIVES AND SECTION



SECTION THROUGH TERRACED PLANTERS

PLAZA PERSPECTIVE



**REQUEST FOR CAPITAL PROJECT CONSTRUCTION APPROVAL for
CLINICAL TRANSLATIONAL SCIENCE CENTER ROOF REPLACEMENT
UNIVERSITY OF NEW MEXICO**

October 12th, 2021

REQUESTED ACTION:

In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested for **Clinical Translational Science Center (CTSC) Roof Replacement, North Campus, Albuquerque, New Mexico.**

PROJECT DESCRIPTION:

The project includes the removal and replacement of the entire roof 12,314 SF (square foot) of the facility which is 109,553 GSF (gross square feet) in total. The building is located at 900 Camino De Salud N.E. Albuquerque, New Mexico. The existing and currently leaking roof system will be completely removed and disposed of. The new roof system will meet the thermal resistance and slope requirements by code (R-30 insulation) and minimum ½” per foot slope). The new white roof membrane will be an adhered 80-millimeter thick single ply and carries a 20 year no dollar limit warranty.

PROJECT RATIONALE:

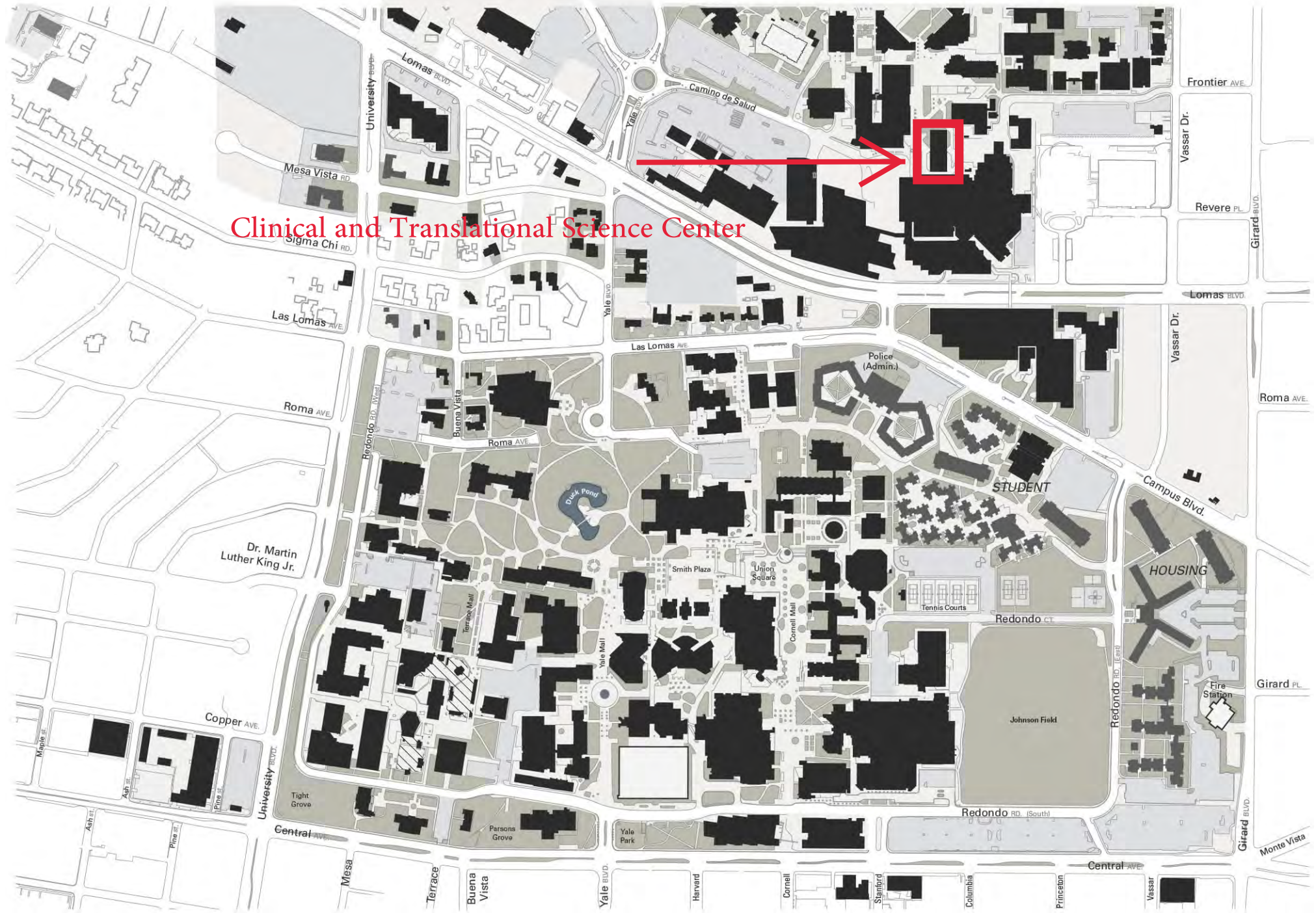
The Clinical Translational Science Center serves translational science locally, regionally and nationally; foster scientific and operational innovation to improve the efficiency and effectiveness of clinical translational research; and create, provide and disseminate domain-specific translational science training and workforce development. Our vision for the University of New Mexico Health Sciences Center Clinical and Translational Science Center is to catalyze scientific discovery into improved health by enabling high quality clinical and translational research locally, regionally and nationally. The existing roof has exceeded its life expectancy which now is consistently leaking and causing damages to the interior of the building {Has the roof been repaired consistently over what period? How often?}. If the facility is not properly maintained, and the roof is not replaced, user groups will experience impacts to their ability to perform. If the project is not approved, the building will continue to leak causing extensive interior damage which will only escalate as the roof continues to age.

FUNDING:

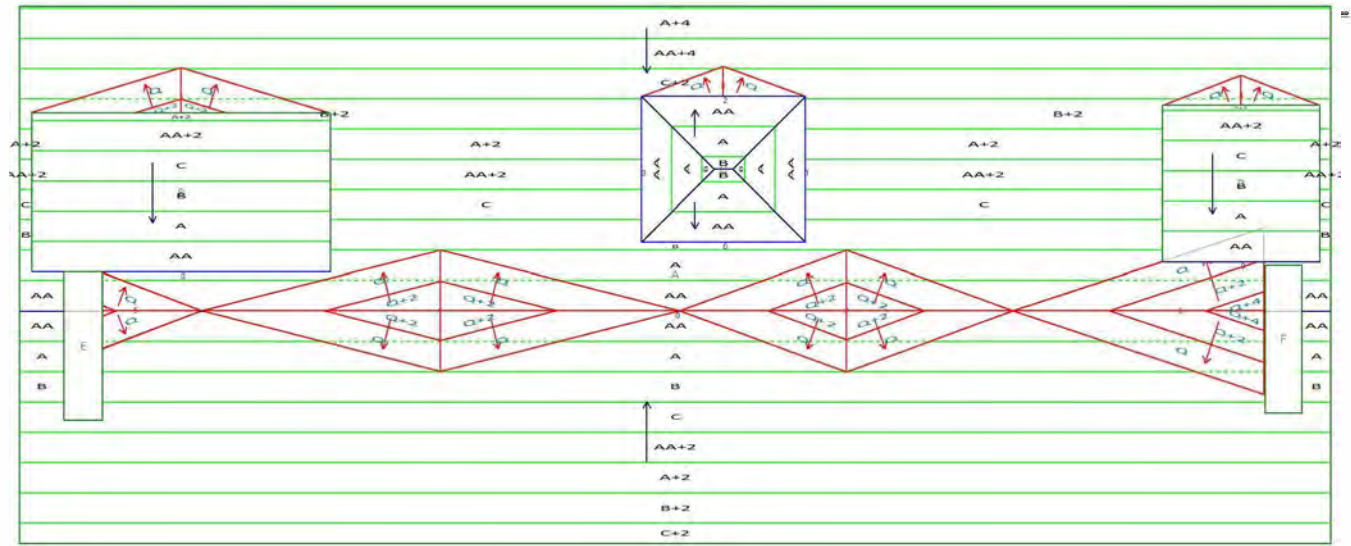
The total estimated Project Budget is: \$329,916

- \$329,916 is funded from FY22 Facility Investment Needs funding (from 2021 House Bill 2 funding -previously Building Renewal & Replacement Funds)

The University of New Mexico - Albuquerque: Central Campus



ROOF PLAN





September 9, 2021

Regent Douglas Brown
President, UNM Board of Regents
The University of New Mexico
Albuquerque NM 87131

RE: Lobo Development Corporation Board of Directors
Reappointment of Four Non-Positional Directors

Dear Regent Brown,

Per the current amended Bylaws, Lobo Development Corporation's non-positional board members are to be appointed by the Board of Regents of The University of New Mexico for a three (3) year staggered term. The terms of the four non-positional board members expired on Sept. 8, 2021 and we suggest the following reappointments in order to comply with the amended Bylaws.

Non-Positional Director 1: **Louis Abruzzo**

One-year reappointment to expire 09/2022, with a possible three-year reappointment to 2025

Non-Positional Director 2: **Maria Griego-Raby**

Two-year reappointment to expire 09/2023, with a possible three-year reappointment to 2026

Non-Positional Director 3: **Steven Ciepiela**

Three-year reappointment to expire 09/2024, thereafter possible three-year reappointments

Non-Positional Director 4: **Rick Siegel**

Three-year reappointment to expire 09/2024, thereafter possible three-year reappointments

The Lobo Development Corporation Board of Directors request that you place the reappointments of Louis Abruzzo, Maria Griego-Raby, Stephen Ciepiela, and Rick Siegel on the agenda for the next meeting of the Board of Regents.

Sincerely,

Teresa Costantinidis
President
Lobo Development Corporation



Memorandum

TO: Board of Regents of the University of New Mexico

VIA: President Garnett Stokes

FROM: Alexandra Benjamin, Chair of the Governing Board of the Harwood Foundation of the University of New Mexico

CC: Teresa Costantinidis, Executive Vice President for Administration/COO/CFO

DATE: September 15, 2021

RE: Appointment of Board Nominated Members Scott McAdams to Harwood Foundation Governing Board and re-appointment of Karl Halpert

In May 2007 the Board of Regents (the "Regents") adopted resolutions (the "Delegation Resolution") delegating to the Harwood Foundation Governing Board certain responsibility for the governance, oversight, management and operation of the UNM's Harwood Museum of Art.

The Delegation Resolution provides that the Regents shall appoint all the members of the Harwood Board, including the members appointed based on recommendations of the Harwood Board.

In November 2017, the Regents approved the increase of the number of members of the Harwood Board from nine (9) to a maximum of fifteen (15). Twelve (12) members, referred to in the By-Laws as the "Board Nominated Members" are nominated by the Harwood Board.

The Harwood Board respectfully recommends appointing Board Nominated Member Scott McAdams to a three-year term position on the Board this year. Mr. McAdams will replace retiring board member, Stephanie Bennett-Smith, who has served two full terms. Information about Mr. McAdams is attached.

The Board also requests approval for appointment to a second term for Karl Halpert in a three-year term position on the Board this year as allowed by the By-Laws. Mr. Halpert has served on the Harwood Board for two years, having filled the vacant position of board member Marcia Winter who retired in 2019.

We respectfully request that the Board of Regents include the appointment of Mr. McAdams and re-appointment of Mr. Halpert to the Harwood Governing Board on the Board of Regents agenda for its meeting on October 19, 2021.



DATE: September 14, 2021

TO: The Regents of the University of New Mexico

FROM: Office of the Senior Vice President for Finance and Administration

SUBJECT: Action Item for Ad Hoc Governance Meeting on September 20, 2021 - *AUTHORIZATION FOR REVISIONS TO REGENTS POLICY MANUAL 7.4 - PURCHASING TO ALLOW PRESIDENT TO APPROVE PURCHASES IN EXCESS OF \$5,000,000*

Executive Summary

UNM Purchasing proposes that The University of New Mexico Board of Regents approve edits to the *Regents' Policy Manual – Section 7.4: Purchasing* that will delegate authority to the President of UNM to approve the execution of contracts of the purchase of goods and services costing \$5,000,000 or more per year per contract, eliminating the current requirement that Regents approve purchases in excess of \$1,000,000. The revisions also institute Regental oversight via a twice-per-year report to the UNM Board of Regents listing purchases in excess of \$5,000,000. The edits also update a reference to an employee's title. A redline version of the policy is shown as ATTACHMENT 1.

Background

Originally adopted in 1996, the policy exists to make sure that UNM operates in the most economical and efficient manner possible while making sure that there is oversight so that the university follows State Procurement Code. The current practice introduces procurement delays that keep the University from operating in the most economical manner. Our objective is to improve the effectiveness of our operation, including contract approval timeliness and efficiency, to help us get access to best value while still ensuring that all purchases continue to be made in accordance with University policies and procedures. A procurement limit of \$1,000,000 and the requirement that the University obtains Regental approval before making purchases beyond that amount has caused delays resulting in increased costs. In order to obtain the best obtainable prices from vendors, employees often need to meet deadlines to achieve a more favorable price. Multi-year agreements at lower price points often take the grand total over the allowable limit.

Note that in comparison, several of our institutional competitors have no Regent approval limits or the limits are set very high to allow procurement flexibility. Please also note that the UNM Board of Regents has approved all of the recent Purchasing items that have come before them since 2016. The list of items is enclosed as ATTACHMENT 2.

Purchasing Safeguards

By Comparison – Institutional Regent Approval \$ Limits

- Clemson University – \$5M/\$1M w/ term >5 years
- Indiana University – No \$ Limit/Authority delegated to President (except for transactions that require Higher Ed Comm. Approval)
- Oregon State University – No \$ Limit; delegated to President
- The University of Massachusetts – No \$ Limit; delegated to President/CFO
- The University of Chicago – No \$ Limit; delegated to President
- Virginia Tech University – No \$ Limit; delegated to President
- The University of Washington – \$15M
- University of Maryland – \$5M
- University of Oregon – \$5M
- The Penn State University – \$3M
- Iowa State University – \$2M
- Texas A&M University – \$1M
- **The University of New Mexico - \$1M**
- Oklahoma State University – \$250K

UNM procurement has safeguards in place to make sure there is oversight of purchases. The University policy on purchasing governs all purchases of supplies, materials, equipment, construction and contractual services for the University and clearly stipulates that the University of New Mexico Purchasing Department will make such purchases or oversee delegations. Per the State Procurement Code statute 13-1-125, procurement less than \$60,000 is subject only to University regulations. Those regulations are summarized below.

\$0 - \$10,000	Services	Small Purchase - Delegation to trained personnel
\$0 - \$10,000	Goods	Small Purchase - Delegation to trained personnel
\$10,000 – \$59,999		Informal Purchase - Competition or knowledge of competition expected, but can be waived.
\$60,000+		State Bid Limit. Advertised, written competition required; bids or proposals are required unless an exception applies (Sole Source, Exempt from Procurement Code, etc).

Purchasing also has the option to apply Federal Procurement Thresholds on purchases made with Federal funds as outlined in Uniform Guidance.

UNM Requisition/Purchase Order Process

A Purchase Requisition is required to originate the process of purchasing goods from off-campus vendors, unless the purchase is authorized with Purchasing Card (PCard), Petty Cash or Chrome River Expense Report.

- A University department initiates a LoboMart electronic purchase requisition for a vendor in order to request that the Purchasing department place an order for goods or services on their behalf via a Purchase Order.
- LoboMart has several electronic catalog vendors which allow the end user to build a requisition from content in the vendor's website. With these vendors, users also have the ability to use a PCard as method of payment in lieu of the requisition/PO process on orders up to \$10,000. Most requisitions, however, are created without the use of integrated electronic catalog content.
- The initiating department attaches their supporting documentation to their LoboMart requisition so it can be viewed by the requisition's approvers and by the Purchasing department.
- Once created by the originating department, a LoboMart requisition routes through an electronic approval process inside LoboMart based on the indexes/funds that are to be charged. After the originating department(s) approves the requisition, it then routes to the appropriate central accounting office(s) for approval. The central accounting office is responsible for ensuring the appropriate index and account codes are used, and that the expenditure is reasonable, allocable and allowable.

Buyer Limits

Individual authorized Buyers must approve the purchase requisitions. Their limits are as follows:

Title	Limit
Sr. Purchasing Rep	\$20,000
Contracts Specialist	\$100,000
Associate Director-Finance & Admin/ Manager – Purchasing/ Sr Contracts Specialist	\$500,000 or as designated by Chief Procurement Officer**
Chief Procurement Officer	Unlimited
** See comprehensive list as noted in UNMBPP Manual 2010, Exhibit B	

- Under the proposed edits to Regents Policy 7.4, the Chief Procurement Officer will obtain presidential approval before authorizing any purchase in excess of \$5,000,000.
- Once a Purchase Order is issued in LoboMart, the Purchase Order is automatically exported into Banner, creating a matching Banner Purchase Order that receives additional scrutiny.

Other Purchase Order Notes

- A Purchase Order (PO) serves as the University's contract with the vendor. It therefore is UNM's official authorization to the vendor to make shipment/delivery of goods or to perform services. The PO includes a description of goods, quantity, vendor, date, the estimated price of goods or services needed. A PO also encumbers the funds of the originating department, committing them for the use on the PO.
- A PO can be authorized only by the Chief Procurement Officer or by that Officer's designee (usually a Contracts Specialist). UNM issues two types of Purchase Orders: Regular Orders (goods) and Standing Orders (services – one-time and recurring).
- On large purchases, the Contract Specialist may use a General Services Agreement (GSA), State Contract, and other cooperative pricing agreements in lieu of bidding as per State Statutes 13-1-129 & 13-1-135.
- Sole Source Purchases are posted in the UNM Sunshine Portal for 30 days prior to Purchase.
- Effective 7/1/14, Conflict of Interest Forms are only required on Request for Proposals (RFP)/ Invitation for Bid (IFB) and Sole Source Purchases.
- UNM employs best practices in proactive procurement. As such, the ratio of RFPs & Bids to bid-limit POs is not typically a 1:1 ratio. The majority of our RFPs exist for multi-year contracts, many of which can be used by many departments throughout campus. It is not uncommon for dozens, even hundreds of POs and purchases to be made off a single bid or proposal.

ATTACHMENT 1

Regents' Policy Manual - Section 7.4: Purchasing DRAFT of 09.09.21 (Redline Copy)

ATTACHMENT 2

Board of Regents Requests for Approval from 2016-2021



DRAFT of 09.09.21 (Redline Copy)

Regents' Policy Manual - Section 7.4: Purchasing

Adopted Date: 09-12-1996

Amended: 04-08-2014

Applicability

This policy applies to the purchase of supplies, materials, equipment, and contractual services. It also applies to the reimbursement of employees for expenses incurred in the performance of University business.

Policy

The University of New Mexico is committed to operating in the most economical and efficient manner possible. The University shall follow the State Procurement Code unless the Board of Regents expressly determines that an alternative procedure is required in a specific area in order to carry out the University's mission. The Board has made no such determination as of the effective date of this policy. All funds received by the University, regardless of their source, are considered to be University funds and all purchases shall be made in accordance with University policies and procedures.

Except as otherwise provided in this manual with regard to real estate matters ([RPM 7.9](#)) and construction projects ([RPM 7.12](#)), the ~~President~~ ~~Regents~~ shall authorize the execution of contracts for the purchase of goods or services ~~costing in excess of \$54,000,000 or more per year~~ ~~contract~~. A report of purchases in excess of \$5,000,000 will be presented to the Regents twice a year for review.

Implementation

The President shall establish specific procurement policies that assure the procurement of goods and services of acceptable quality at the lowest possible cost. The President shall also establish policies and procedures for the reimbursement of employees for appropriate expense incurred in the performance of University business, such as travel, recruitment and hiring of new employees, hospitality of guests of the University, and business expenses. All such policies and procedures shall ensure compliance with all applicable state and federal laws regarding the

procurement and management of goods and services. The President may also establish policies to assure minimum performance standards and compatibility with other University investments in facilities and equipment.

Delegation of Authority

UNM's Chief Procurement Officer shall be its Central Purchasing Officer, under the general direction of the Senior Executive Vice President for Finance and Administration. The Chief Procurement Officer shall have the sole authority to establish the institutional procedures for obligating the University for the procurement of supplies, materials, equipment and contractual services.

The purchase of goods and services for clinical components of the Health Sciences Center may be performed by the University of New Mexico Hospital Purchasing Department, as a separate satellite purchasing office of the University, in compliance with University procurement policies and procedures and under the direction of the Chief Procurement Officer.

References

The University of New Mexico is exempt from the requirement that all purchasing for state agencies be performed by the State Purchasing Agent. NMSA 1978, § 13-1-98.

Board of Regents Request for Approval- Main Campus		
Date	Item	Cost
8/18/2016	SciQuest Software Renewal	\$ 550,000
11/15/2016	High Gradient Magnetic Resonance Imaging Scanner	1,800,000
12/13/2016	University Libraries and Health Science Library and Informatics Center-Periodical Subscriptions	3,500,000
3/13/2017	Cogeneration Turbine Unit #1 Engine Overhaul	1,000,000
3/12/2018	UNM Press Fulfillment Services-Longleaf Services, Inc.	1,290,000
10/21/2020	Nike All-Sport Agreement	1,500,000
2/16/2021	UNM Learning Management System	2,498,376
Board of Regents Request for Approval- UNMH		
Date	Item	Cost
2/11/2016	Approval of UNM Hospitals Contract with MSI Consulting, LLC, dba Surgical Directions	\$ 2,253,200
2/11/2016	Approval to Establish the Judy Putnam Dirks Quasi-Endowment Chair for Gynecologic Cancer Care,	750,000
2/11/2016	Approval of the Motion Regarding Approval of the Master Development Planning and Financial Planning for the Proposed Replacement Hospital Project	3,000,000
5/13/2016	Approval of the UNM Hospitals Contract with Cerner Corporation for Electronic Medical Record Remote Hosting Services	20,233,924
5/13/2016	Approval of the UNM Hospitals Contract with Infor (US), Inc	2,439,475
5/13/2016	Approval of the UNM Hospitals Contract with Jaynes Corporation for the Interventional Radiology Computed Tomography Repair and Replacement Project	1,000,000
5/13/2016	Approval of the UNM Hospitals Contract with Siemens Medical Solutions USA, Inc. for the Purchase of Two Bi-Plane Angiographic Rooms,	3,500,000
5/13/2016	Approval of the UNM Hospitals Contract with Medline Industries for Custom Packs..	11,000,000
12/13/2016	Approval of the UNM Hospitals Contract with Philips Healthcare Informatics, Inc	12,000,000
1/20/2017	Approval of the UNM Hospitals Contract with Covidien Sales, LLC, a Medtronic Company	11,900,000
5/11/2017	Approval of UNM Hospitals Contracts with Cerner Corporation, Siemens Medical Solutions USA, Inc., and CareFusion Solutions, LLC	Cerner- \$5,588,483.00 Siemens- \$3,385,965.00 CareFusion- \$6,847,620.00
12/12/2017	Approval of the UNM Hospitals Contracts with Eco-Tex Healthcare Linen Service Corp	1,910,372
2/13/2018	Approval of the UNM Hospitals Contracts with B. Braun Medical, Inc	8,400,000
3/12/2018	Approval of UNM Hospitals Contracts with Fidelity Management Trust Company and Stryker Sales Corporation	Fidelity- \$46,116,000.00 Stryker- \$2,661,378.00
11/15/2018	UNMH request for approval of Siemens Vision 600 PET-CT Scanner	2,701,100
2/12/2019	Request for the Approval of Program Management – Modern Medical Facility	6,789,262
2/12/2019	Request for the Approval of Architect/Design – Modern Medical Facility	33,858,800
5/9/2019	Request for Approval of the Biosense Webster Catheter Agreement	1,400,000
5/9/2019	Request for Approval of the Pharmacy Coumadin Clinic	2,400,000
5/9/2019	Request for Approval of UH Main Facilities AHU 117 Replacement	473,000
9/17/2019	Approval of Zimmer US Trauma Services	1,400,000
9/17/2019	Approval of KCI Wound Care VAC Therapy	5,500,000
9/17/2019	Approval of Cerner Corporation Maternity and Fetal Monitoring Software	2,921,564
9/17/2019	Approval of Cerner Corporation Electronic Prescriptions for Controlled Substances Software System	833,280
1/24/2020	Discussion and Approval of MOU with the City of Rio Rancho relative to development of Health Sciences Rio Rancho Campus, including NMFA funding of the Orthopedic Center of Excellence	20,802,411
2/18/2020	Approval of Perfusion and ECMO Services and Supplies – CCS Perfusion Service	7,500,000
3/9/2020	CTH-Radiology-EOS Imaging Machine and Spatial Reconfiguration	525,000
3/9/2020	UH Main 2nd Floor Sterile Processing Dept. Decontamination Renovation	690,000
3/9/2020	UH Main 4th Floor Pulmonary Lab Renovation	545,000
7/14/2020	Approval of UNM Comprehensive Cancer Center Integrated Informatics System	3,022,000
7/14/2020	Agreement with Cerner Corporation: Remote Hosting Services	34,788,936
7/14/2020	Agreement with Cerner Corporation: Existing Solutions	25,218,261
7/14/2020	Agreement with LivaNova re Vagus Nerve Stimulation Therapy System	26,000,000
10/21/2020	Approval of Contract: Elekta Inc. for the Service Maintenance and Repair of Linear Accelerators- Dept. of Radiation Oncology at the UNM Comprehensive Cancer Center	2,347,850
12/9/2020	Approval of HSC Upper Plaza Collaboration Structure	
12/9/2020	Approval of UNM Hospital Items Colburn Hill	14,250,000
12/9/2020	Approval of UNM Hospital Items Telehealth Platform and Platform Software Support	2,250,000
2/16/2021	Medical Equipment Consultant	2,347,325
2/16/2021	1209 University Clinic – DOH Licensing	1,265,000
2/16/2021	2400 Tucker Clinic – DOH Licensing	2,500,000
2/16/2021	Crisis Triage	1,300,000
2/16/2021	Uptown Clinic Lease	193,600
4/13/2021	Approval of Clifton Larson Allen (CLA) services	1,917,670



DATE: September 23, 2021

TO: The Regents of the University of New Mexico

FROM: Office of the Senior Vice President for Finance and Administration

SUBJECT: Action Item for Ad Hoc Governance Meeting on October 1, 2021 - *AUTHORIZATION FOR REVISIONS TO REGENTS' POLICY MANUAL – MAINTENANCE OF THE REGENTS' POLICY MANUAL - TO ALLOW UNM POLICY OFFICER TO MAKE CORRECTIONS TO REGENTS' POLICIES*

Executive Summary

The Office of the Senior Vice President for Finance and Administration proposes that The University of New Mexico Board of Regents approve edits to the *Regents' Policy Manual – Maintenance of the Regents' Policy Manual* that will delegate narrow authority to the University Policy Officer to make minor changes to Regents' policies to correct departmental or other unit names, position titles, grammatical errors, typographical errors, stylistic inconsistencies, URL links, or references. The revisions also add language to clarify policy regarding Development of Policy, Publicity and Public Input, Approval and Publication of Policy, and Policy Archives. A redline version of the policy is shown as ATTACHMENT 1.

Background

Originally adopted in 1996, and not having been revised since original adoption, the Regents' Policy Manual – Maintenance of the Regents' Policy Manual section exists to explain how Regents' policies are recommended, approved, and implemented. According to current practice, a majority vote of the Board is required to address inconsistencies with departmental titles, position titles, style, grammatical and typographical errors, and broken URL links. There have been attempts to review the entire RPM for updates in the past (both technical and substantive), however this has proven to be a daunting and mundane task for the Board to have to vote on every minor policy correction. We believe there exists a better approach to the periodic, substantive review that is outlined in the original adoption of this policy. Our objective is to improve the accuracy of the Regents' Policy Manual by allowing minor policy corrections to be made by the University Policy Officer.

In addition, the current policy does not delineate important policy information such as Development of Policy, Publicity and Public Input, Approval and Publication of Policy, and Policy Archives. Note that the University Administrative Policies and Procedures has a comprehensive policy dedicated to the development and maintenance of UNM's administrative policies, specifically outlining the requirements pertaining to development and maintenance of policy, authority, publication, and archival of historic versions. The University Policy Officer has been given authority to unilaterally make corrections to the minor policy items we have outlined above. This has allowed for timely correction to University Administrative Policies, and has freed up time for more substantive policy review by Process Owners and the University President when warranted. Please see University Administrative Policies (UAP) 1100: Development and Approval of Administrative Policies, for additional reference - ATTACHMENT 2.

ATTACHMENT 1

Regents' Policy Manual – Maintenance of the Regents' Policy Manual DRAFT of 09.23.21
(Redline Copy)

ATTACHMENT 2

University Administrative Policies (UAP) 1100: Development and Approval of Administrative
Policies



DRAFT 09.23.21 (Redline Copy)

Regents' Policy Manual - Maintenance of the Regents' Policy Manual

Adopted Date: 09-12-1996

Applicability

This policy applies to all policies adopted by the Board of Regents.

Policy

Any Regents' policy, including the policies contained in this Regents' Policy Manual, may be modified by a majority vote of the Board of Regents at any of its meetings. ~~It is the intention of the Regents that proposed new policies and proposed revisions to existing policies will receive adequate publicity and discussion prior to their adoption.~~

Periodically, the Board shall review all policies in the Regents' Policy Manual, and all policies adopted or revised since the previous review, and make any changes it deems appropriate.

Implementation

Development of Policy

Members of the University community may propose new or revised Regents' policies by submitting them, ~~in the format used in this Manual,~~ to the University President of the University. The President will submit proposed policies, together with any appropriate background information and administrative recommendations, to the Board for consideration. ~~Proposed policies must be approved by the Regents before they may be put into effect. All new or revised policies adopted by the Regents shall be promptly published as additional or replacement pages of this Regents' Policy Manual.~~

Publicity and Public Input

~~It is the intention of the Regents that proposed new policies and proposed revisions to existing policies will receive adequate publicity and discussion prior to their adoption. As set forth in RPM 1.3: Public Notice of Regents' Meetings, public notice of proposed new policies and proposed revisions to existing policies should be included in BOR meeting agendas, made available at least seventy-two (72) hours prior to the meeting, and placed on the regents.unm.edu~~

ATTACHMENT 1

website. An opportunity for public input shall be provided at regular meetings during Board consideration of the policy agenda item in question, and via email at regents@unm.edu.

Approval and Publication of Policy

Proposed policies must be approved by the Regents by a majority vote before they may be put into effect. All new or revised policies adopted by the Regents shall be promptly published as additional or replacement pages of this Regents' Policy Manual. Upon approval by the Regents, policies are issued on the UNM Policy Office website, the official repository for the Regents' Policy Manual.

Corrections to Policy

The Board of Regents gives narrow authority to the University Policy Officer to make minor changes to Regents' Policies to correct departmental or other unit names, position titles, grammatical errors, typographical errors, stylistic inconsistencies, Uniform Resources Locator (URL) links, or references.

Policy Archive

The UNM Policy Office maintains an archive of historic versions of Regents' Policies that are available upon request.



Administrative Policies and Procedures Manual - Policy 1100: Development and Approval of Administrative Policies

Date Originally Issued: 06-05-2009

Revised: 05-10-2017, 12-09-2020

Authorized by [RPM 3.1 \("Responsibilities of the President"\)](#)

Process Owner: University Policy Officer

1. General

The policies in the University Administrative Policies and Procedures Manual (UAP) have broad application throughout the University. They help ensure compliance with applicable laws and regulations, enhance the University's mission, promote operational efficiencies, and reduce institutional risk. Each of the policies includes two important elements: institutional policy and any procedures necessary for a comprehensive understanding of the intent and application of the policy. To develop effective policy, the UNM Policy Office seeks input from both subject matter experts and from individuals affected by the policy. The UNM Policy Office administers the UAP and reports to the Senior Vice President for Finance and Administration.

This policy describes the process used to develop or revise policies, solicit input, and obtain approval of policies.

2. Development of Policy

Individuals wishing to propose or revise a policy should send their request to the UNM Policy Office. The UNM Policy Office will work with subject matter experts and individuals representing areas impacted by the policy to develop a preliminary draft. The draft is then circulated for review and comment to major campus constituent groups, such as the Faculty Senate Operations and Policy Committees, Staff Council Executive Committee, and Deans' Council. At the same time, the Office of University Counsel reviews the policy for legal sufficiency. Based on the received comments, the policy draft is refined and prepared for subsequent review.

3. All-Campus Review of Policy

The refined policy draft is posted on the UNM Policy Office website for a 30-day, all-campus review and comment period. Comment periods may be shorter or longer if there is a compelling legal, administrative, or business need. The comment

period is announced on the [UNM Policy Office website](#), in the UNM News Minute, and on the UNM Policy Office listserv. The UNM Policy Office, typically in conjunction with the core group involved in the development of the draft, reviews the comments received and, as appropriate, drafts additional changes to the policy.

3.1. Process Owner

The UNM Policy Office will assign a position to serve as the process owner for the functions covered by the policy. The process owner is responsible for policy implementation and for notifying the UNM Policy Office of any proposed changes in practice that will require a policy change prior to implementing such a change. In addition, the University Policy Officer may ask process owners to periodically review applicable policies and report any necessary changes.

4. Approval and Distribution of Policy

All new and revised policies in the UAP must be endorsed by the Senior Vice President for Finance and Administration and approved by the University President in writing. Upon approval by the University President, policies are issued on the [UNM Policy Office website](#), and announced on the UNM Policy Office website, in the UNM News Minute, and on the UNM Policy Office listserv.

4.1. Dean, Director, and Department Head Responsibilities

Deans, directors, and department heads, or their designees, are responsible for:

- informing their employees of new policies or changes to existing policies; and
- ensuring that all related departmental processes, procedures, and documents are updated to reflect new or revised policies.

Instead of maintaining printed copies of the UAP, departments and other units should refer to the online version of the manual on the [UNM Policy Office website](#) to ensure that they refer to the most recent versions of the policies.

5. Minor Changes or Corrections to Policy

5.1. Minor Changes

If the University Policy Officer determines that proposed changes are minor in nature and either required by regulatory changes or necessary to conform to current practices, an abbreviated policy revision process may be used. Such changes to policy are not sent to the campus for a 30-day, all-campus review and comment period, but must still be endorsed by the Senior Vice President for Finance and Administration and approved by the University President in writing. Minor changes are announced on the [UNM Policy Office website](#), in the UNM News Minute, and on the UNM Policy Office listserv.

5.2. Corrections

ATTACHMENT 2

Without requesting written approval of each change, the UNM Policy Office may revise affected policies to correct departmental or other unit names, position titles, grammatical errors, typographical errors, Uniform Resource Locator (or URL) links, or other similar occurrences.

6. Policy Archive

The UNM Policy Office maintains an archive of historic versions of administrative policies that are available upon request.



To: Board of Regents

From: Loretta P. Martinez, General Counsel

Date: October 14, 2021

Re: Recommendation for Revisions to Regent Policies 1.2 and 1.8 concerning student regent compensation, changing the status of the ad hoc governance committee to a standing committee, and other general revisions

At its October 11, 2021 meeting, the Ad Hoc Governance Committee recommended changes to the full Board of Regents regarding Regent Policies 1.2 and 1.8. The Committee had requested these changes at its September 20, 2021 committee meeting. The changes to Regent Policies 1.2 and 1.8 are summarized below as well as the Committee's recommendation to the full Board of Regents regarding compensation of the student regent beginning November 1, 2021. Redlined and clean copies of RPM 1.2 and 1.8 are attached.

With regard to RPM 1.2, key changes are recommended to the following sections:

Compensation: Amended to create an exception from the "no remuneration" rule for regents to allow the student regent to receive a leadership stipend.

Standing Committees: Inserts a governance committee into the list of standing committees.

Operations Committee: Deletes entire section due to the defunct nature of the committee.

General: Changes references to President of the Board of Regents to Chair of the Board of Regents to allow flexibility of use of the term "Chair" of the Board of Regents where preferred; states that committees have recommending powers only except for the dispensation of surplus property by either the Finance and Facilities Committee or the Health Sciences Committee.

Recommendation Regarding Student Regent Leadership Stipend:

After discussing the alternative methods for compensating student regents for their work as regents and comparing the compensation amounts of other UNM student leaders, the Ad Hoc Governance Committee recommended to the full Board of Regents that the student regent receive a monthly leadership stipend of \$2000 per month. If adopted, this stipend amount will take effect on November 1, 2021 and shall apply to each student regent upon confirmation and shall continue until the confirmation of a successor student regent, resignation of the student regent, or a cessation in active service by the student regent, whichever occurs earlier.

With regard to RPM 1.8, a change is recommended to the following section:

Section 3: Excludes the leadership stipend of the student regent from the definition of a prohibited financial interest held by a regent.

Regents' Policy Manual - Section 1.2: Structure of the Board of Regents

Adopted Date: 09-12-1996

Amended: 09-09-2003

Amended: 08-10-2004

Amended: 12-14-2004

Amended: 03-14-2005

Amended: 10-10-2006

Amended: 12-14-2010

Amended: 09-12-2014

Amended: 06-12-2015

Amended: 08-14-2015

Amended: 03-14-2016

Amended: 02-16-2021

Appointment of Members

The Board of Regents is composed of seven members who are appointed by the Governor of New Mexico, with the consent of the Senate, for staggered terms of six years except for the student regent who is appointed for a two-year term. The Governor and the Secretary of Education are designated as ex-officio, non-voting members, and the Presidents of the Faculty Senate, Staff Council, Associated Students of UNM, Graduate and Professional Student Association, Alumni Association, UNM Retiree Association, UNM Parent Association, and the Chair of the UNM Foundation are non-voting advisors.

Removal of Members

Members of the Board of Regents shall not be removed except for incompetence, neglect of duty, or malfeasance in office. A member may not be removed without notice of hearing and an opportunity to be heard. The New Mexico Supreme Court has original jurisdiction over proceedings to remove a member of the Board of Regents in accordance with such rules as the Court may promulgate, and its decision in such matters is final.

Officers

The Board of Regents is required by statute to meet in Albuquerque on the second Monday in March of each year to elect a president, who shall be chair and referred to hereafter as chair, a secretary-treasurer, at which time, though not required by statute, the Board also elects a vice presidentchair. The PresidentChair presides at all meetings of the Board and signs all instruments required to be executed by the Board. When the PresidentChair of the Board of

Regents is absent, the Board of Regents hereby appoints the Vice ~~PresidentChair~~ to serve as ~~PresidentChair~~ pro tem.

Compensation

Members of the Board are not remunerated for their services, except for the student regent, who is eligible for a leadership stipend. ~~They are, however, Regents are~~ eligible for travel reimbursement, pursuant to the University's travel reimbursement policies. (See, RPM 7.7 and UAP 4030 ("Travel").)

Conflict of Interest

Members of the Board are subject to the "Regent Code of Conduct and Conflicts of Interest Policy." (See, RPM 1.8.)

Orientation of New Members

When a new member is appointed to the Board, the member may choose to receive hard copies of the Faculty Handbook and the Regents' Policy Manual, or elect to access the manuals online at <http://handbook.unm.edu> and at <http://policy.unm.edu/regents-policies/index.html>, respectively. New members also will receive a compilation of current New Mexico statutes pertaining to the Regents. The President and Chair of the Board of Regents will arrange briefing sessions for newly appointed Regents.

Standing Committees

In order to facilitate the work of the Board of Regents, the ~~PresidentChair~~ of the Board of Regents appoints members to standing committees each year and appoints chairs and vice-chairs of each committee. The ~~PresidentChair~~ of the Board of Regents may appoint him or herself as a member of any standing committee. The ~~PresidentChair~~ of the Board of Regents shall consult with the Board of Regents concerning such appointments to committees.

Consistent with the requirements of the University Research Park and Economic Development Act ("URPEDA"), the ~~PresidentChair~~ of the Board of Regents nominates members to serve on the various boards of directors of URPEDA corporations in which the University is a member following the approved bylaws of each URPEDA corporation and nominees will be appointed to each such Board upon the vote of a majority of the Regents.

The standing committees of the Board of Regents are Audit and Compliance; Finance and Facilities; Student Success, Teaching and Research; Governance; and the Health Sciences Center (HSC). The ~~PresidentChair~~ of the Board of Regents may name other standing committees with the consent of the Board of Regents.

Each standing committee shall consist of three Regent members. ~~One Regent must be a member of both the Finance and Facilities Committee and the HSC Committee.~~ A quorum of a Regents' committee consists of a majority of the appointed members of that committee. The ~~PresidentChair~~ of the Board of Regents may designate him or

herself or another Regent as alternate members of a standing committee or board who may serve as voting members whenever any of the Regent members are not present.

Each standing committee shall have a designated vice-chair responsible for assisting the chair of the committee. The chair of each committee shall be responsible for preparing the agenda for the committee meetings, in consultation with the University President, ~~and the President~~Chair of the Board of Regents ~~and committee staff~~. The University President may submit agenda items for the committee to the ~~President~~Chair of the Board of Regents and the chair of the committee. All action items for the Board of Regents are typically first reviewed by a standing committee.

The duties and responsibilities of the HSC Committee are set forth in RPM 3.5. The duties and responsibilities of the Audit and Compliance Committee are set forth in RPM 7.3. The other standing committees' duties and functions are determined by the Board of Regents, and shall include at least gathering information, conferring with members of the administration, faculty, staff, student body, and public on the topics within the committee's duties, and making recommendations for action by the Board of Regents. The chairs of the standing committees (or vice-chairs in the absence of the chairs) shall report the committee recommendations to the Board of Regents at its meetings. Standing committees do not constitute a quorum of the Board of Regents and have no authority to act for the Board of Regents except with regard to disposal of surplus property per RPM 7.9. All standing committees will abide by the New Mexico Open Meetings Act, except that in addition to the exclusions listed in the Act, the standing committees may close meetings, when less than a quorum of the Board of Regents is present, in the following instances: (1) to meet with internal or external auditors to discuss any examination or audit prior to the release of an audit report; and/or (2) to meet with attorneys from the Office of University Counsel and/or hired outside counsel to discuss any matter that is protected by the attorney-client privilege.

Consent Agenda Matters

Certain matters, or categories of matters, may be referred by the Board of Regents for discussion and recommendation by a standing committee and thereafter placed on the Board of Regents' consent agenda for approval by the Board of Regents without further discussion. All matters, or categories of matters, within the subject matters regularly assigned to a standing committee shall be deemed to have been referred by the Board to that standing committee. Any member of the Board of Regents shall have the right, upon request, to remove any item from the Board's consent agenda and place the item on the Board's regular agenda for discussion.

Operations Committee

~~In addition to the standing committees, there shall be an Operations Committee which shall consist of the President of the Board of Regents as chair, together with two other Regent members appointed by the President of the Board. The Operations Committee will meet informally, including by telephone conference, to discuss the agenda for upcoming Board of Regents meetings and other items of business likely to come before the Board of Regents. The meetings of the Operations Committee will not be subject to requirements of the Open Meetings Act.~~

Ad Hoc Committees

From time to time the PresidentChair of Board may appoint ad hoc committees consisting of two or three Regent members to gather information and make recommendations to the Board of Regents about specified matters. Public notice of such meetings will be given and public attendance and participation permitted as deemed appropriate by the committee chair.

Quorum for Meetings of the Board

Four of the seven members of the Board shall constitute a quorum for the transaction of business.

Agenda for Meetings

Items are typically considered by the appropriate Board of Regents' committee before going to the full Board of Regents. The University President is responsible for preparation of the written agenda for Board of Regents meetings; the President consults with the PresidentChair of the Board of Regents about each agenda. Copies of the agenda are distributed to the Regents, the University President, other administrators, representatives of the news media, other interested parties, and posted on the University's website.

Additions to the agenda may not be made less than 72 hours prior to the meeting, except in the case of an emergency as defined in the Open Meetings Act. The Board of Regents will consider such emergency changes to the agenda at the beginning of each meeting, but once the Board of Regents formally approves the agenda, it will be followed.

Persons or groups wishing to place an item on the agenda of the Board of Regents, whether for possible Board of Regents action or for purposes of addressing the Board of Regents about an item, must submit a letter to that effect to the PresidentChair of the Board of Regents via the University President normally not less than tenfour working days prior to the scheduled meeting. Final decisions to place items on the agenda or to refer items to committee will be rendered by the PresidentChair of the Board of Regents after consultation with the University President.

Conduct of Meetings

Parliamentary procedure shall be governed by the most current revision of Roberts' Rules of Order, except that New Mexico law or other applicable Regents' policies will govern if there is a conflict with Roberts' Rules of Order.

References

N.M. Const, art. XII, § 13 ("Board of regents")

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Regents' Policy Manual - Section 1.2: Structure of the Board of Regents

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Regents' Policy Manual - Section 1.8: Regent Code of Conduct and Conflicts of Interest Policy

Adopted Date: 09-12-1996

Amended: 07-15-2003

Amended: 09-09-2003

Renumbered from 6.4: June 9, 2004

Applicability

This policy applies to members of the University Board of Regents.

Policy

1. Members of the Board of Regents ("Regents") are expected to perform their duties faithfully and efficiently and never to give rise to suspicion of improper conflict with interests of the University. Regents shall not accept favors or gratuities of significant economic value from any firm, person, or corporation that is engaged in, or attempting to engage in, business transactions with the University. They must avoid any conflict of interest that may affect their independent judgment in the impartial performance of their duties. They may not use their positions to enhance their direct or indirect financial interest or use confidential information learned as a Regent for anyone's private gain. Regents shall comply with state conflict of interest laws as well as University policies.
2. No Regent shall maintain a financial interest in a firm or corporation with which the University is engaged in business. In addition, a Regent shall not participate directly or indirectly in any decisions relating to any transaction between the University and a business entity of which the Regent or any member of the Regent's immediate family is a director or trustee.
3. For the purposes of this policy, "financial interest" includes any direct or indirect financial interest, but does not include the leadership stipend of the student regent. This includes any transaction between the University and the Regent or a member of the Regent's immediate family. An immediate family member is a family member who shares a home with a Regent; or is a person who receives financial support of more than twenty-five percent (25%) of his or her annual income from a Regent; or is a person who is claimed as a dependent for federal income tax purposes by a Regent. "Financial interest" also includes any transaction between the University and a business entity (corporation, sole proprietorship, partnership, LLC, or similar entity) including parents or subsidiaries of the business entity, in which the Regent or a member of the Regent's immediate family:
 - a. has an ownership interest (other than as owner of less than one percent (1%) of the stock of a publicly traded corporation); or

- b. is a partner or officer of such business entity or an employee of such business entity whose compensation is related to business transacted with the University.
- 4. A member of the Board of Regents will have 120 days from the date the Board of Regents approves the "Regent Code of Conduct and Conflicts of Interest Policy" ("Code") to fully comply with the Code. Each Regent will annually certify compliance with the Code on a form approved by the Regents. Each annual certification shall be filed by the Regents with the University Counsel who shall furnish a copy to the Executive Vice President for Administration. If a Regent fails to file an annual certification or fails to comply with the Code, the Board of Regents shall give written notice to the Governor of the State of New Mexico. The Regents shall make any such notice public. Violation of the Code by a Regent will be grounds for removal of that Regent pursuant to Article XII, Section 13 of the Constitution of New Mexico.
- 5. Members of the Board of Regents are required by the Financial Disclosure Act, Section 10-16A-1, *et seq.*, NMSA 1978, to file a report with the New Mexico Secretary of State concerning the Regent's financial interests. A copy of such report shall also be filed by the Regent with the University Counsel who shall furnish a copy to the Executive Vice President for Administration and distributed to each member of the Board of Regents. The report filed with the Vice President shall be supplemented to the extent necessary to set forth the Regent's financial interests as defined in Section 3 of this policy.

References

NMSA 1978 Governmental Conduct Act, § 10-16-1 *et seq.*; Procurement Code §§ 13-1-190, -193, -195; 21-1-17, -35; Financial Disclosure Act, § 10-16A-1, *et seq.*

Regents' Policy Manual - Section 1.8: Regent Code of Conduct and Conflicts of Interest Policy

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 - a. has an ownership interest (other than as owner of less than one percent (1%) of the stock of a publicly traded corporation); or

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References

NMSA 1978 Governmental Conduct Act, § 10-16-1 *et seq.*; Procurement Code §§ 13-1-190, -193, -195; 21-1-17, -35; Financial Disclosure Act, § 10-16A-1, *et seq.*

Memorandum of Agreement
Between The University of New Mexico and the
Albuquerque Institute of Mathematics and Science

This Memorandum of Agreement is entered into by and between The Board of Regents of the University of New Mexico and the Governance Council of the Albuquerque Institute of Mathematics and Science @ UNM.

Recitals:

- A. The Albuquerque Institute of Mathematics and Science is a public charter school located on The University of New Mexico campus commonly referred to as AIMS@UNM. AIMS@UNM seeks to provide a rigorous academic curriculum that rivals the best programs in the country. Eligible students at AIMS@UNM will enroll concurrently at the University of New Mexico while earning their high school diploma pursuant to NMSA 1978, Section 21-1-1.2 (2015).
- B. Serving New Mexico is central to UNM's mission and as part of that service, UNM is engaged in working with public schools, communities, and families to improve K-12 education.
- C. AIMS@UNM and UNM agree their respective missions will be advanced by collaborating in programs that enhance the educational experience of AIMS@UNM students and enrich UNM's research and teaching activities.
- D. The Agreement defines the relationship between the parties and provides a framework for the interaction between the parties.
- E. At the time of execution of this agreement, the mission statement of AIMS is as follows:
“[AIMS@UNM] is committed to preparing its students, grades six thru twelve, for college, community, and beyond through a rigorous focus on math and science and an emphasis on global participation.”

Therefore, the parties agree as follows:

I. Governance of AIMS@UNM:

- A. AIMS@UNM shall operate in accordance with the Charter Schools Act, NMSA 1978, Section 22-8B-1 through -17.1 (1999, as amended) and its charter. AIMS@UNM is responsible for its own operations and has the power to contract for needed goods and services in order to carry out the educational mission described in the charter.
- B. The responsibilities of the Governance Council of AIMS@UNM include:
 - 1. Defining the mission and guiding principles of the school;
 - 2. Strategic planning and creation of long and short terms plans for the school's development and operation;
 - 3. Managing school finances, excluding the development of operating budgets and approval of expenditures;
 - 4. Selecting the director of the school and performing an annual evaluation of the director;
 - 5. Approving policies pursuant to statute regarding students and employees;
 - 6. Obtaining funds needed for the school's operation.
- C. On consent of the incumbent AIMS@UNM Governance Council, up to four members of the

Governance Council will be representatives of UNM: one member representing the UNM President; one member nominated by the Dean of the School of Engineering; one member nominated by the Dean of the College of Arts and Sciences, and one member nominated by the Dean of College of Education. The UNM President or the president's designee will serve as an ex-officio non-voting member.

II. Exclusive relationship:

The parties agree that the relationship set forth in this agreement is exclusive between them. AIMS@UNM shall not enter into a collaborative relationship with another post-secondary institution substantially similar to that provided for herein, and UNM in Albuquerque should not affiliate with another charter school in a substantially similar fashion during the term of this Agreement. Nothing herein precludes UNM in Albuquerque from affiliating with another charter school in Albuquerque whose mission is dissimilar from AIMS and whose focus is on a curriculum other than the intensive study of math and science.

III. Services provided to the students:

- A. AIMS@UNM students shall have an opportunity to enroll in UNM dual credit courses per NMSA 1978, Section 21-1-1.2 (2015).
- B. New Mexico high school students at AIMS@UNM who are dually enrolled receive LoboCards that allow limited access to UNM's University Libraries and other UNM facilities, events, and activities. Dually enrolled AIMS@UNM students are eligible to become members of recreational and special interest chartered student organizations to the same extent as any other student of UNM. This eligibility does not extend to fraternities, sororities, honors societies, or organizations organized around student housing. University events open to the general public are also accessible to AIMS@UNM students.
- C. AIMS@UNM students who are not dually enrolled and are under age 18 are eligible to open a "community borrower" account at the University Libraries, subject to parent/guardian authorization. The account fee will be waived for AIMS@UNM students.

IV. Location of AIMS@UNM:

AIMS@UNM shall be housed at UNM-owned facilities under a mutually agreed lease arrangement or at a mutually agreed upon alternative site.

V. Student conduct:

- A. The AIMS@UNM Student Code of Conduct governs all AIMS@UNM students.
- B. Dually enrolled students at UNM are also governed by the UNM Student Code of Conduct.
- C. AIMS@UNM students age 18 or older who are not dually enrolled are governed by the UNM Visitor Code of Conduct while using UNM programs or facilities.
- D. AIMS@UNM students under age 18 who are not dually enrolled are subject to UNM

Administrative Policy 2205: Minors on Campus while using UNM programs or facilities.

VI. AIMS@UNM Employees:

All AIMS@UNM teachers, administrators and staff are employees of AIMS@UNM, subject to AIMS@UNM policies and procedures regarding hiring, retention, termination, benefits and all other matters. AIMS@UNM teachers are eligible to open a "community borrower" account at the University Libraries. The account fee will be waived for AIMS@UNM teachers.

VII. Joint AIMS@UNM-UNM Activities:

The parties mutually embrace the opportunity to collaborate in activities that will enhance the educational experience for students attending AIMS@UNM and for UNM students interested in careers in education. The collaborative activities that the parties agree to pursue, may include, but are not limited to, the following:

- A. Research: Projects designed to study and improve secondary education may be explored by UNM faculty and graduate students as well as by AIMS@UNM teachers. AIMS@UNM reserves the right to approve the propriety of research projects conducted in the school.
- B. Teacher preparation and advanced professional development: UNM College of Education students may have the opportunity to intern, student teach and/or tutor at AIMS@UNM, subject to approval by AIMS@UNM. UNM faculty and pre-service teachers in physical education may engage with AIMS@UNM students in their physical education classes. The College of Education Institute of Professional Development may offer professional development activities for AIMS@UNM teachers and administrators.
- C. Advancement: UNM and AIMS@UNM may collaborate on proposals for federal, state and private grants. The parties may also collaborate in promoting their partnership and may, where appropriate, work cooperatively on fund raising projects. The UNM Foundation may explore offering its assistance in developing a plan to secure private support for such partnerships.
- D. Other: The School of Engineering, College of Education and College of Arts and Sciences may provide opportunities for advanced AIMS@UNM students to participate in research projects with UNM faculty or graduate students in University laboratories. School of Engineering, College of Education and College of Arts and Sciences faculty may offer guest lectures in AIMS@UNM classes and/or mentor students. As mutually agreed between the parties, other ancillary student services and programing, including outreach for admission, financial aid, career services, and academic advisement and Student Health Center educational programs may be arranged for AIMS@UNM students.

VIII. Insurance:

AIMS@UNM shall maintain liability insurance coverage at least equal to the minimum liability amounts set forth in New Mexico Tort Claims Act, NMSA 1978, Section 41-4-1 through -30 (1976, as amended). The insurance must be written by a company authorized to do business in New Mexico and must identify and protect UNM as an additional named insured. The insurance must remain in force for the life of this Agreement, including all extensions or renewals. UNM reserves the right to require proof of insurance. AIMS@UNM is responsible for maintaining Worker's Compensation for its employees as required by State law during the life of this Agreement, including all extensions and renewals.

IX. Liability:

As between the parties, each party acknowledges that it will be responsible for claims or damages arising from personal injury or damage to persons or property to the extent those claims or damages result from negligence of its employees or agents. The liability of the parties shall be subject in all cases to the immunities and limitations of the New Mexico Tort Claims Act.

X. Indemnification:

AIMS@UNM agrees to defend, indemnify and hold harmless UNM, its officers, agents and employees from and against any loss, claims, damage or liability of any kind, including attorneys' fees and costs brought against said parties arising out of or in connection with this Agreement, except to the extent that such loss, claim, damage or liability arises in whole or in part from the gross negligence or willful misconduct of UNM.

XI. Financial Independence:

Any exchange of, or provision of, financial or other resources between UNM and AIMS@UNM shall be pursuant to this Agreement, or otherwise bargained for in arm-length fashion and contained in a written agreement. Neither party, by virtue of this Agreement, assumes any responsibility for the debts, liabilities, obligations or responsibilities of the other party.

XII. Third Parties:

Nothing in this Agreement, express or implied, is intended to confer any rights, remedies, claims, or interests upon a person not a party to this Agreement.

XIII. Relationship the Parties:

Nothing in Agreement will be deemed or construed by the parties, or by any third party, as creating the relationship of principal and agent, partners, joint ventures, or any other relationship, between the parties.

XIV. Notices:

Notices to the parties shall be given in writing to the following addresses or such address, as either party shall provide the other in writing.

AIMS@UNM: Chair, AIMS@UNM Governance Council
933 Bradbury SE
Albuquerque, NM 87106

UNM: Office of the University President
MSC0S 3300
1 University of New Mexico
Albuquerque, New Mexico 87131-0001

XV. Terms and Agreement:

This Agreement will become effective on the day the Agreement is signed by all parties. The Agreement will continue in effect for four (4) years or December 31, 2025, whichever is later, unless

terminated earlier as provided for herein, and may be renewed by written agreement of the parties. Either party may terminate this Agreement by delivering written notice to the other party at least ninety (90) days in advance of termination, with the date of termination not taking effect until either at the end of the AIMS@UNM school semester in session at the time of notice, or upon the expiration of the ninety (90) days, whichever is later.

For the Governance Council of AIMS@UNM

For The Regents of the University of New Mexico

DATE

DATE

TOOL: INTERRUPTING RACIAL AND INTERSECTIONAL MICROAGGRESSIONS		
RIMA THEME AND EXAMPLE	THIRD-PARTY INTERVENTION EXAMPLE	COMMUNICATION APPROACHES
Alien in One’s Own Land		INQUIRY: Ask the speaker to elaborate. This will give you more information about where they are coming from, and may also help the speaker to become aware of what they are saying. KEY PHRASES: “Say more about that.” “Can you elaborate on your point? “ “It sounds like you have a strong opinion about this. Tell me why.” “What is it about this that concerns you the most?”
To Latinos or AAPI folks from U.S.: “Where are you <i>really</i> from?”	“I’m just curious. What makes you ask that? I already told you that I am from Colorado.”	
Ascription of Innate Ability versus Hard Work:		PARAPHRASE & REFLECT: Reflecting on essence of what the speaker has said by briefly restating in your own words demonstrates your desire to understand them and reduces defensiveness. Reflect with speaker on both content and feelings as appropriate. KEY PHRASES: “So, it sounds like you think...” “You’re saying...” “You believe...”
To an AAPI person, “Of course you got an ‘A’, you are Asian!”	“It sounds like you think I get A’s because I am AAPI, and not because I studied. What makes you believe that?”	
Color-Evasive Racism:		REFRAME: Create a different way to look at a situation. KEY PHRASES: “What would happen if?” “Could there be another way to look at this....?”
“I don’t see race.”	“When you say that, it does not acknowledge my experiences, culture, [if applicable:] and language].”	
Myth of Meritocracy:		INQUIRY & ACCURATE INFO: KEY PHASES: “What does a scientist look like? “She has a Ph.D.; she should be able to read well!”
“Everyone can succeed in this society, if they work hard enough.”	“So, you feel that everyone can succeed if they work hard enough. Can you share examples?”	
Pathologizing Expression & Communication Styles:		Sources: Harwood et al (2010); Zerai et al (2021); Adapted from: Kenney (2014); Kraybill (2008); LeBron (2008); Peavey (2003); Sue (2010); Annamma et al (2017).
To Black student, “Why do you have to be so loud/animated? Just calm down.”	“It appears you were uncomfortable when___ said that. I’m thinking that there are many styles to express ourselves. Let’s talk about how we can honor all styles of expression.”	
Second-Class Citizen		
You notice that your female colleague is frequently interrupted during a meeting	“Responder addressing the group: ___brings up a good point. I didn’t get a chance to hear all of it. Can we ask ___repeat it?”	
Assumptions about intelligence and academic success		
To a BIPOC woman: “I would’ve never guessed that you are a scientist.” Or: “You read well!”	“I’m wondering what message this is sending her. Do you think you would have said this to a White male?”	

TOOL: INTERRUPTING RACIAL AND INTERSECTIONAL MICROAGGRESSIONS (Pg. 2)		
RIMA THEME AND EXAMPLE	THIRD-PARTY INTERVENTION EXAMPLE	COMMUNICATION APPROACHES
Myths about Meritocracy		STRATEGIC QUESTIONS:
In a hiring/admissions committee meeting: “We are not discounting people on basis of race or gender! There are no qualified BIPOC/women applicants.”	“How might we consider and mitigate the impact of implicit bias to ensure that we have a fair hiring process in regards to gender and race?”	Ask the speaker to elaborate. This will give you more information about where they are coming from, and may also help the speaker to become aware of what they are saying.
Unsolicited comments to Black student from a White student: “I think Affirmative Action is unfair”	“How does what you just said honor your colleague?”	KEY PHRASES: “Say more about that.” “Can you elaborate on your point?” “It sounds like you have a strong opinion about this. Tell me why.” “What is it about this that concerns you the most?”
Attending physician to BIPOC woman medical student in response to her presentation in which she proposed a new treatment: “I didn’t know you were smart!” (despite fact that she spoke up as much as male students during rounds that week)	“What impact do you think your statement has on this student and on dynamics among medical students? Have you considered taking the gender and science implicit associations test? How might you rephrase your feedback next time?”	
Representative for the Entire Race (or SOGIE or PWD)		REDIRECT:
U.S.-born AAPI student whose heritage is from Japan being expected to comment on Chinese culture	“June, we would love your opinion, as much as we would appreciate opinions from the rest of class; in fact, let us open up this question to the entire class and then take a look at informed perspectives from the literature.”	Shift focus to a different person KEY PHRASES: “Let’s shift the conversation...” Let’s open up this question to others...” “Let’s consult the academic literature on this one instead of relying on opinions.”
Second Class Citizen		STRATEGIC QUESTIONS:
Calling things “ghetto”; other examples include calling things “white trash”.	Asking questions to find language that does not marginalize on basis of race and class. Provides a learning opportunity on the power of words and the way we use them.	Asking questions to help speaker find language that does not marginalize on basis of race and class. Provides a learning opportunity on the power of words and the ways we use them.
“I have been called a [SOGIE expletive] by fellow students on several occasions. This typically happens in residence halls after hours”.	To affected student: “I am sorry to hear that, [name]. Would you like us to host a SafeZone (SOGIE awareness) workshop in your residence hall?” To individual committing RIMA: “That language is offensive and unacceptable”.	ACKNOWLEDGEMENT OF EXPERIENCE: Being an upstander means acknowledging experiences of individuals experiencing RIMAs and offering to serve as an ally and advocate. We must confront hate speech head on.

TOOL: INTERRUPTING RACIAL AND INTERSECTIONAL MICROAGGRESSIONS (Pg. 3)		
RIMA THEME AND EXAMPLE	FIRST or THIRD-PARTY INTERVENTION EXAMPLE	COMMUNICATION APPROACHES
Lack of Bystander Intervention		INQUIRY & ACCURATE INFO:
<i>On part of classmates:</i> BIPOC student uncomfortable being put in the situation of confronting use of “n word” when they did not witness this.	“Thank you for bringing this to my attention. It is a bit taxing for you to expect me to intercede when I wasn’t even there when it happened. You can file an ethics point grievance or tell our professor.”	It is not the job of BIPOC students to intercede when someone uses a racial epithet. There are university and legal processes to handle this and even federal procedures to investigate hate speech. KEY PHASES: “Let us help you find more appropriate parties to whom to report your concerns.”
<i>On part of faculty:</i> When BIPOC, WOC, women student(s) report academic bullying & harassment from other students during group work	“It is vital that we create an inclusive process for group work. Research shows that diverse groups can create the best outcomes. Your colleagues will assess your group work participation.”	INQUIRY & ACCURATE INFO: Share studies providing evidence of strength of diverse work groups. Model an effective group work process by discussing RIMA survey results and asking class to devise a rubric for inclusive group work.
<i>On part of university administration:</i> Faculty delays or dismissals of requests for academic accommodations from PWD	Send out regular reminders of policy regarding accommodation requests. Set up a procedure to check a sample of departments annually to assess success with providing accommodations.	ACCURATE INFO: Notification of policy and acting on policy (and ADA) violations. Enhancing compliance by assessing units. Remind faculty that all students deserve equitable access to education.
SOGIE-Evasive Trans/homophobia		ACCURATE INFO:
“Transphobic undertones are just so rampant in my academic program. People are really well intentioned, but they just act like trans people don't exist ... and show notable discomfort (uncomfortable laughing, grimacing) when I bring up trans bodies and experiences...”	“According to Youth Risk and Resilience Survey (YRRS) data for NM, in 2017 6.3% identified along the trans spectrum. This means that in a student body of 20,000 it is possible that over 1,000 students are transgender. In order to enhance inclusiveness, we need to do all that we can to make sure everyone feels they belong.”	A 2016 survey indicates that .6% of U.S. adults are transgender. However, it is severely underreported, as shown by recent YRRS data. The suicide rates for LGBTQIA folks are 5 times higher than the national average. For trans folks, 41% attempt suicide at least once. Transphobia and acting to interrupt it is a matter of life and death.
“Being misgendered regularly despite having my pronouns worn or in my zoom name”	“Hey _____, I don't know if you're aware, but they actually use they/them pronouns. I wanted to let you know before you make a mistake. We would hate for [name] to have a negative experience.”	INQUIRY & ACCURATE INFO: Ask whether individual committing RIMA is aware of target’s preferred pronouns. Organize a SafeZone workshop; share Dr. Ben Barres’ story https://mitpress.mit.edu/books/autobiography-transgender-scientist
Sources: Harwood et al (2010); Zerai et al (2021); Adapted from: Kenney (2014); Kraybill (2008); LeBron (2008); Peavey (2003); Sue (2010); Annamma et al (2017; Williams Institute 2016).		

ACRONYMS: AAPI-Asian/Asian American and Pacific Islander; BIPOC-Black and Indigenous People of Color; LGBTQIA-Lesbian, Gay, Bisexual/Pansexual, Transgender, Queer/Questioning, Intersex, Asexual PWD-Persons with Disabilities; RIMA: Racial and Intersectional Microaggression; SOGIE-Sexual Orientation, Gender Identity or Expression; YRRS-Youth Risk and Resilience Survey

CONSIDERATIONS (from Harwood et al 2010):

- The communication approaches are most effective when used in combination with one another, e.g., using impact and preference statements, using inquiry and paraphrasing together, etc.
- Separate the person from the action or behavior. Instead of saying “you're racist”, try saying “that could be perceived as a racist remark”. Being called a racist puts someone on the defensive and can be considered “fighting words”.
Avoid starting questions with “why”; it puts people on the defensive. Instead try “how” or “what made you ...”.
- When addressing a microaggression, try to avoid using the pronoun “you” too often-it can leave people feeling defensive and blamed. Use “I” statements describing the impact on you instead or refer to the action indirectly, e.g., “when ___ was said ...” or “when ___ happened ...”.
- How you say it is as critical as what you say, e.g., tone of voice, body language, etc. The message has to be conveyed with respect for the other person, even if one is having a strong negative reaction to what's been said. So it is helpful to think about your intention when interrupting a microaggression, e.g., do you want that person to understand the impact of his/her action, or stop his/her behavior, or make the person feel guilty, etc. Your intention and the manner in which you execute your intention make a difference.
- Sometimes humor can defuse a tense situation.



September 29, 2021

To: Finance & Facilities Committee, UNM Board of Regents

From: Lobo Development Corporation, Board of Directors

By: Kim D. Murphy, Consultant, LDC

Cc: Teresa Costantinidis, CEO, LDC
Tom Neale, Director of Real Estate, UNM
Kelly Ward, Director of Business Development, LDC
Jill Sweeney, Member, Sherman & Howard, LLC
Harry Relkin, Consultant, LDC

RE: South Campus TIDD Master Development Agreement

Request: Regents Finance & Facilities Committee recommendation for approval of the Development Agreement relating to the proposed South Campus Tax Increment Development District ("District") to UNM Board of Regents at its regularly scheduled meeting on October 19, 2021. Lobo Development Corporation ("LDC") Board reviewed and approved a Draft of the Development Agreement on September 9, 2021, with its recommendation for approval to the Board of Regents.

Documents provided to the Committee for consideration are:

- Cover Memorandum to LDC dated September 9, 2021- Summary & Recommendation of the Development Agreement
- TIDD Boundary Map & Illustrative Plan
- Development Agreement (Current draft dated September 29, 2021)
 - Draft Agreement is subject to final review for consistency with the State Tax Increment for Development Act ("TIDD Act") and the City Tax Increment for Development Ordinance ("TIDD Ordinance").
 - Final Agreement is subject to subsequent action by the Albuquerque City Council.

Rationale: On August 16, 2021, the City Council approved a resolution ("Intent Resolution") declaring its intent to consider for adoption a formation resolution approving the formation of the Tax Increment Development District ("TIDD" or "District") and accepting the joint TIDD application and petition of the City and LDC, acting on behalf of the Regents of UNM ("TIDD Application").

Approval of the Intent Resolution by the City Council, set in motion certain requirements for formal approval of the South Campus TIDD, including:

- A public hearing for consideration of the formation of the TIDD by the City Council scheduled for October 4, 2021. (Note that the State TIDD Act requires the City Council to hold the public hearing no less than 30 and no more than 60 days following approval of the Intent Resolution, i.e. no later than October 15.)
- Legal notice and posting of the property to be included in the District at least 14 days in advance of the public hearing.
- Negotiation of a Development Agreement among the City, the District, and the developer/landowner, incorporating recommendations of City staff relating to the TIDD and the requirements of the TIDD Ordinance. Pursuant to the State TIDD Act, the Development Agreement may address the development, improvement and use of property within the District, including obligations of the developer/owner and the City concerning zoning and subdivisions, and financial matters of the District. The Albuquerque City Council may act on the Development Agreement on October 4, 2021 or at a subsequent meeting.

Background: The Board of Regents Finance & Facilities Committee ("F&F Committee") reviewed a summary of the TIDD Application in closed session on December 1, 2020.

Subsequently, on December 7, 2021 (regular meeting) and again on December 14, 2021 (special closed meeting), the LDC Board reviewed the Summary of the Application as presented to the Regents F&F Committee as well as the TIDD Application itself.

On March 2, 2021, following internal consideration of including additional land within the District, the TIDD Application was submitted to the City for review and comment. The City suggested the inclusion of certain park, trail, and public safety amenities within the District, and proposed that the District address the public infrastructure needs of the additional land either by constructing offsite improvements or adding land to the District following District formation.

MEMORANDUM

To: Lobo Development Corporation Board of Directors

Date: September 9, 2021

Re: Summary and Recommendation of Proposed South Campus TIDD Development Agreement

Background

The University of New Mexico (the "University"), through Lobo Development Corporation ("Lobo"), and the City of Albuquerque (the "City") have submitted a joint application to the City for the formation of the South Campus Tax Increment Development District (the "District").

The District boundaries are anticipated to encompass 337 developable acres (see Exhibit A) and, at full build out, the District is expected to contain over \$620 million in public and private investment: \$260 million in TIDD-funded public improvements and \$360 million in private-funded improvements. The public infrastructure will be financed primarily with tax increment revenue generated within the District and dedicated to the District by the City, the County, and the State of New Mexico (the "State").

In connection with the formation of the District, the City, the University, through Lobo, and the District will enter into a Development Agreement setting forth the rights and responsibilities of each party. Lobo is expected to serve as the master developer of the District, maintaining primary responsibility for the construction and financing of public infrastructure serving the District.

Summary of the Development Agreement

Key provisions in the Development Agreement include:

- The public infrastructure, including local roadway, amenity, and building improvements as well as regional transit, recreation and energy conservation improvements will be constructed by Lobo, the City or their designees;
- The District Illustrative Plan will control the development of the District, including land use (see Exhibit B);
- Development on City-owned land will conform with applicable City development policies, and development on University-owned land will conform with applicable State development policies and development policies adopted by the Regents;
- The obligation of Lobo, the City, or their designees to construct public infrastructure will be conditioned on the availability of tax increment revenue to reimburse the parties for the cost of the public infrastructure either directly from tax increment revenue or with the proceeds of bonds issued by the District;
- Completed public infrastructure will be dedicated to and owned, operated and maintained by the State and local government entities, including the University and the City;
- In addition to public infrastructure, Lobo, the City, and their designees may construct additional public infrastructure to serve the South Campus TIDD District from sources other than tax increment revenues or the proceeds of District bonds; and

- The Development Agreement will remain in place for as long as bonds of the District remain outstanding (25 years from the first date of issuance of bonds by the District) and until the obligations under the Development Agreement are fulfilled.

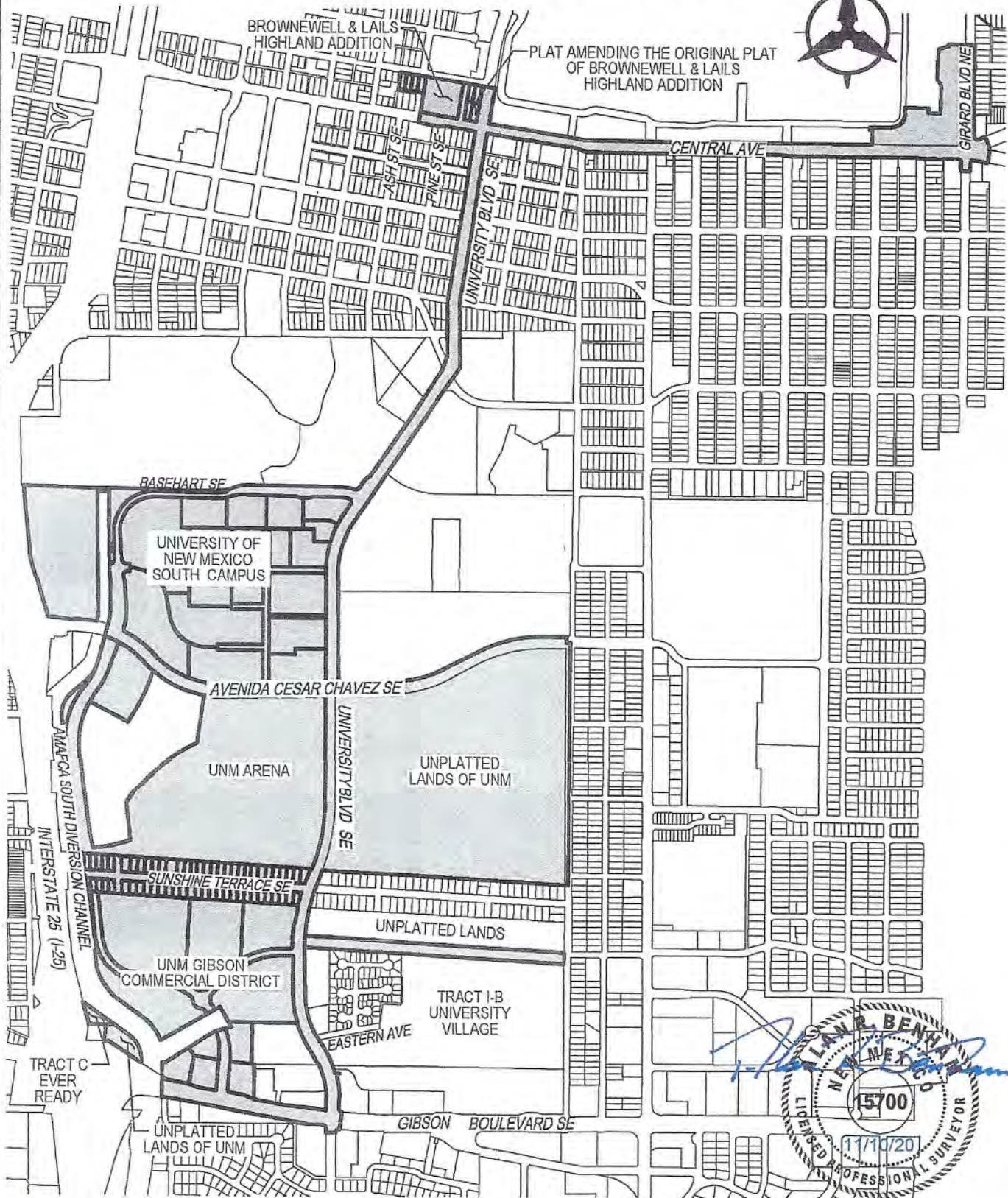
Next Steps

The City Council will consider the adoption of a resolution forming the District and act on the form of Development Agreement on October 4, 2021.

Recommendation

Approval of proposed Development Agreement for South Campus TIDD.

DISTRICT BOUNDARY MAPS



Alan R.
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SHEET 1 OF 9

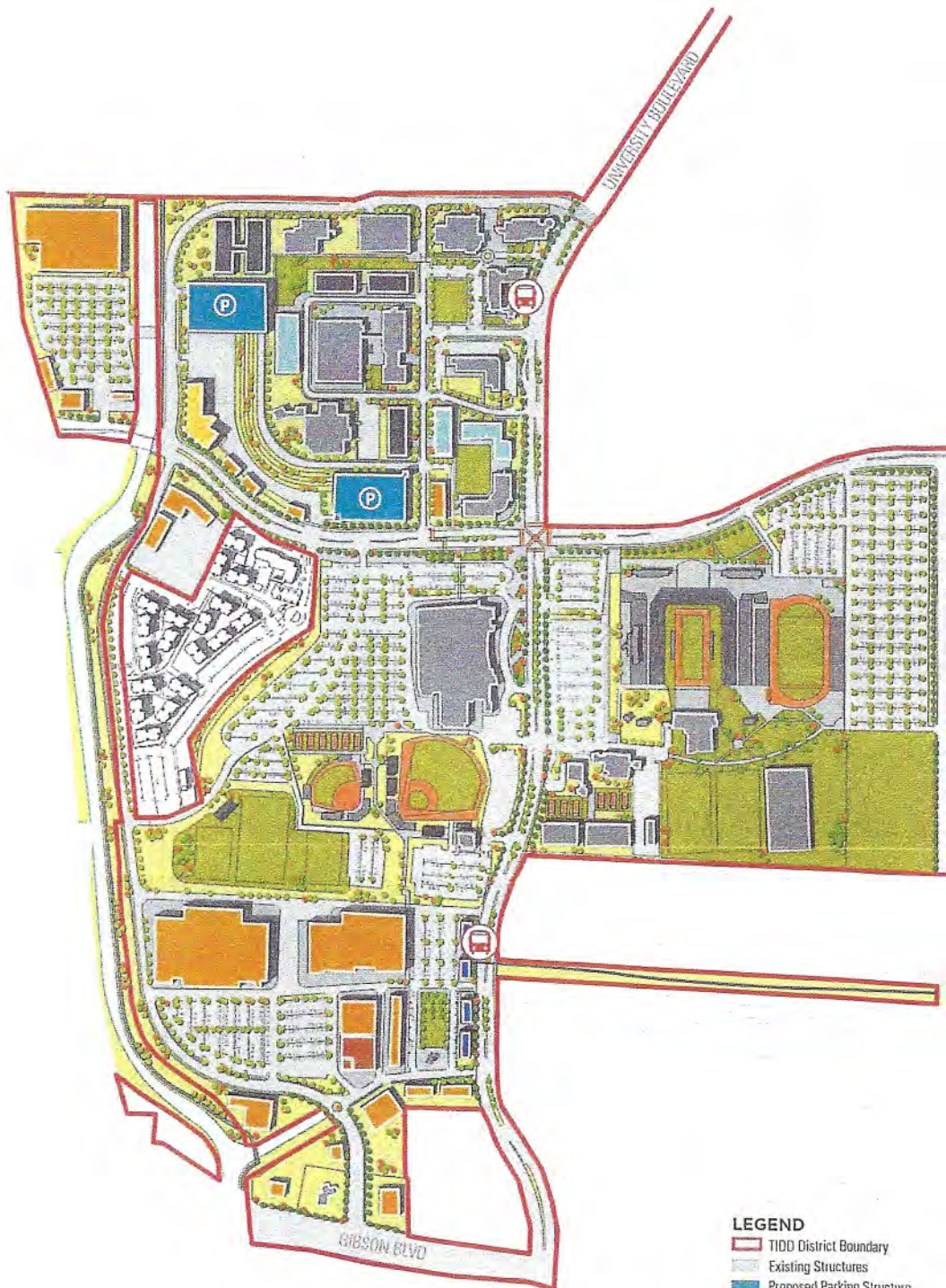
Bohannon & Huston

Courtyard | 7500 Jefferson St. NE
Albuquerque, NM 87109 (505) 823-1000



SOUTH CAMPUS TIDD DISTRICT

ILLUSTRATIVE PLAN - SOUTH CAMPUS



LEGEND

- TIDD District Boundary
- Existing Structures
- Proposed Parking Structure
- Proposed Retail
- Proposed Mixed Use
- Proposed Theater
- Proposed Hotel
- Proposed Research & Development
- Proposed Office
- Proposed BRT Station

November 12, 2020

Lobo Development

PARTNERSHIP+INVESTMENT+COMMUNITY



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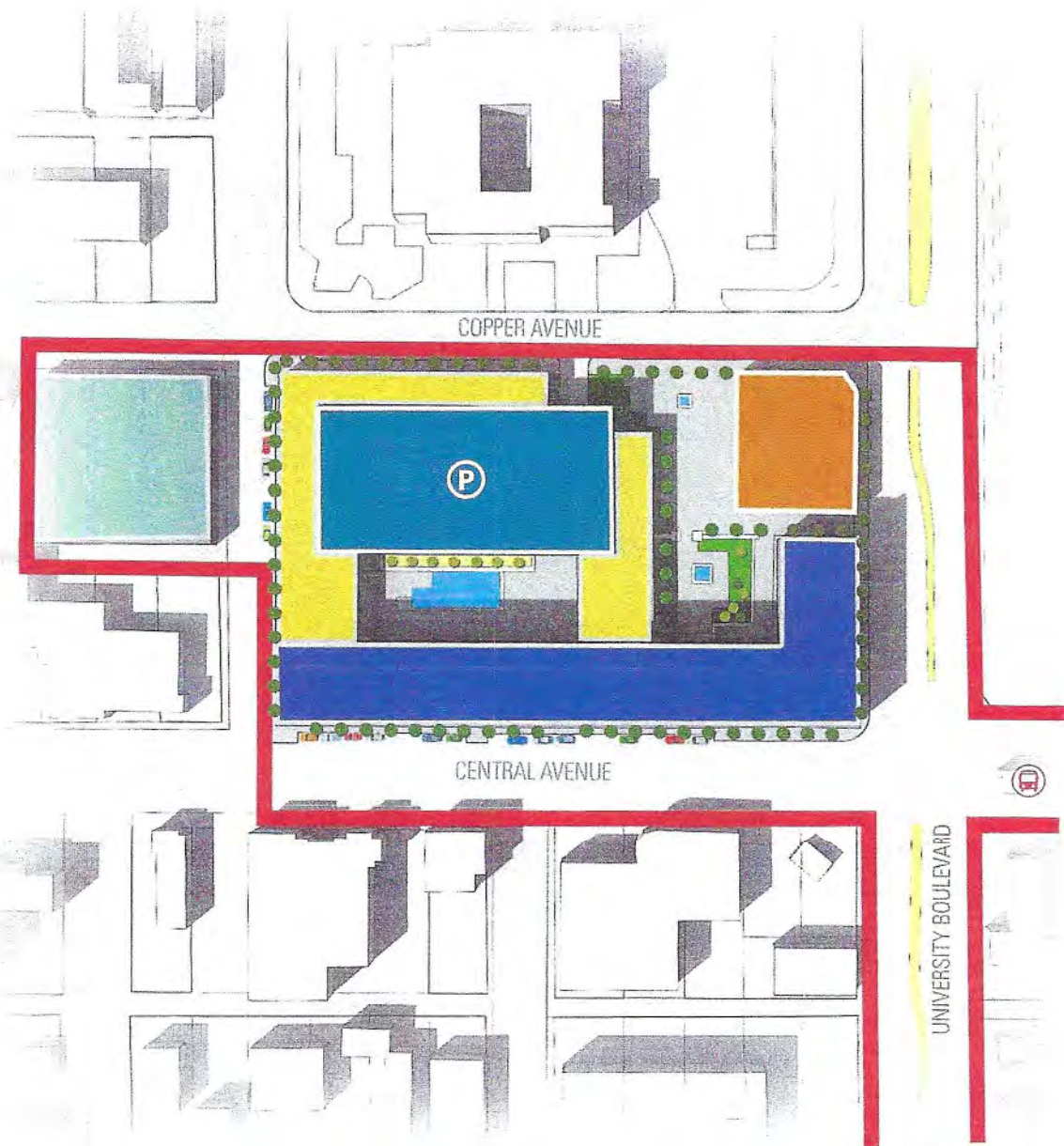
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SOUTH CAMPUS TIDD DISTRICT

ILLUSTRATIVE PLAN - CENTRAL & UNIVERSITY



LEGEND

- TIDD District Boundary
- Existing Structures
- Proposed Parking Structure
- Proposed Retail
- Proposed Mixed Use
- Proposed Office
- Proposed Residential
- Existing ART Station

November 12, 2020

Lobo Development

PARTNERSHIP+INVESTMENT+COMMUNITY



**ONE
ALBUQUE
ROQUE**

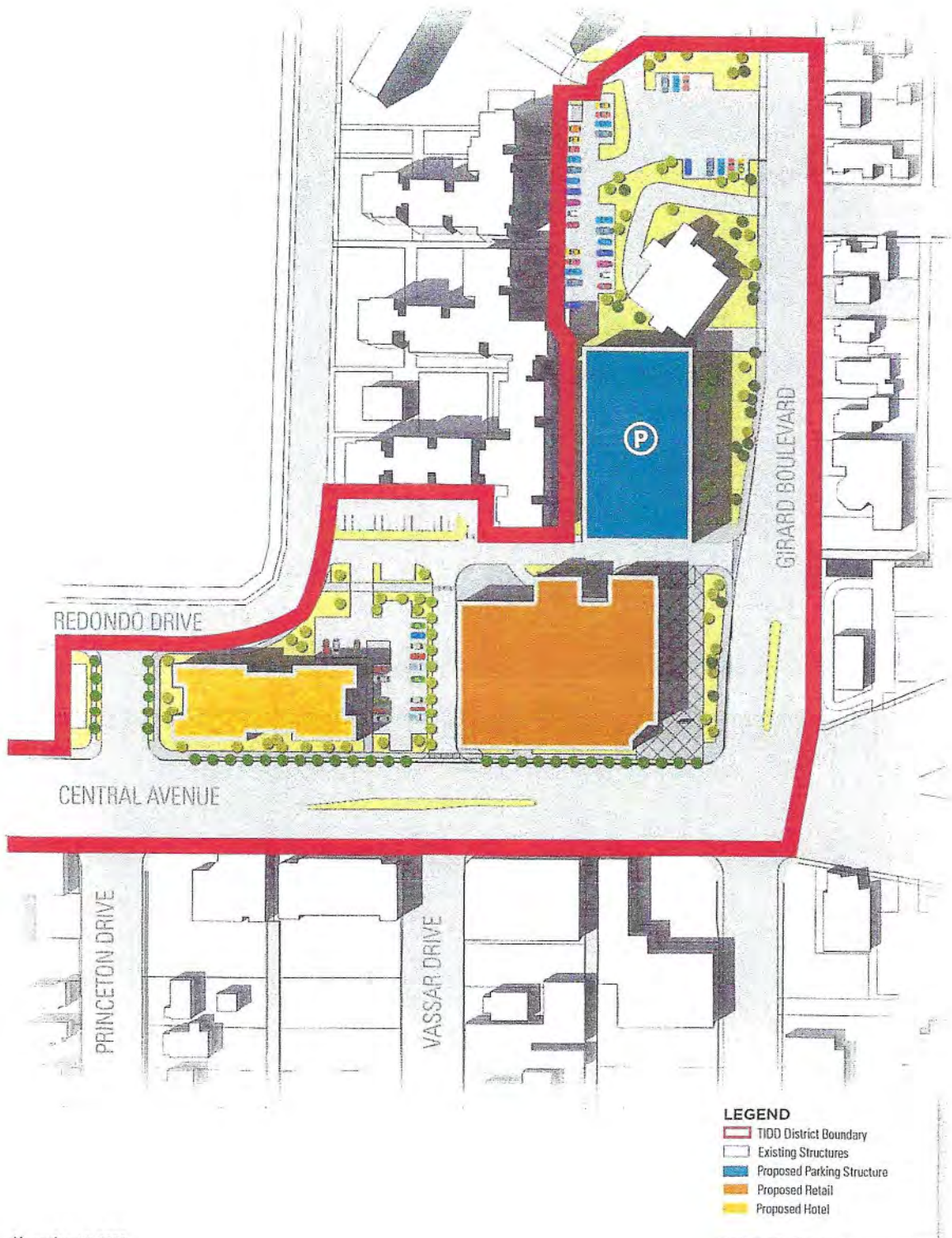
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SOUTH CAMPUS TIDD DISTRICT

ILLUSTRATIVE PLAN - CENTRAL & GIRARD



LEGEND

- TIDD District Boundary
- Existing Structures
- Proposed Parking Structure
- Proposed Retail
- Proposed Hotel

November 12, 2020

Lobo Development

PARTNERSHIP+INVESTMENT+COMMUNITY



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SABATINI

Bohannon & Huston

DEVELOPMENT AGREEMENT

Prepared by, and after recording return to:

TAX INCREMENT DEVELOPMENT DISTRICT
INFRASTRUCTURE CONSTRUCTION DEVELOPMENT AGREEMENT
BY AND BETWEEN
THE CITY OF ALBUQUERQUE, NEW MEXICO,
SOUTH CAMPUS TAX INCREMENT DEVELOPMENT DISTRICT,
AND LOBO DEVELOPMENT CORPORATION

THIS TAX INCREMENT DEVELOPMENT DISTRICT DEVELOPMENT AGREEMENT (the "**Agreement**") is entered into as of the Effective Date (as defined below) by and among the CITY OF ALBUQUERQUE, NEW MEXICO, a charter municipality (the "**City**"), SOUTH CAMPUS TAX INCREMENT DEVELOPMENT DISTRICT, a political subdivision of the State of New Mexico as provided in Section 5-15-9(C) NMSA 1978, separate and apart from the City and State of New Mexico (the "**District**"), and LOBO DEVELOPMENT CORPORATION, a New Mexico nonprofit corporation formed under the Research Park and Economic Development Act (the "**Developer**"), owned, controlled and established by the Regents of the University of New Mexico (the "**Regents**"), with reference to the following facts and circumstances:

RECITALS

A. The Regents and the City own approximately 337 acres of land located wholly within the corporate boundaries of the City, and identified, collectively, by the legal description provided in Exhibit A to this Agreement (the "**Land**"). The Land comprises the real property included in the District and is subject to Tax Increment Development District Formation Resolution Enactment No. (the "**Formation Resolution**"), attached to this Agreement as Exhibit B.

B. The District has been formed for the purpose of financing of the costs of certain public infrastructure related to the development of the South Campus TIDD (the "**Project**") all as are more particularly set forth in this Agreement. Land within the District owned by the Regents is not subject to the land use zoning, platting or permitting jurisdiction of the City. The City and Developer intend that: (i) City-owned land shall conform with applicable City development processes; and (ii) Regent-owned land shall conform with applicable State of New Mexico (the "**State**") development processes and applicable University of New Mexico ("University") development processes. City-owned land or Regent-owned land subsequently transferred to a private entity shall conform with City development processes. All land use approvals shall be subject to the terms of this Agreement.

C. The City and the Regents entered into that certain Memorandum of Understanding dated October 11, 2011 relating to the "University of New Mexico Gibson Commercial District" attached as Exhibit C, (the "**Commercial District Memorandum**") regarding the planning, platting and development approvals of approximately 45 acres, comprising both City-owned and Regent-owned land within the District. The process set forth in the Commercial District Memorandum shall govern future development within the District.

D. The Tax Increment for Development Act, Section 5-15-2 through 5-15-29 NMSA 1978, as amended (the "**TIDD Act**") and City Ordinance Bill No. 0-06-44, Enactment No. 0-36-2006, as amended (the "**TIDD Ordinance**") provide that the owner or owners of property to be included in a tax increment development district, the municipality or county in which the district is located and the tax increment development district may enter into a development agreement to establish the obligations of the owner or developer, the county or municipality and the tax increment development district concerning the zoning, subdivision, improvement, impact fees, financial responsibilities, and other matters relating to the development, improvement and use of real property within the district.

E. The Regents, by and through the Developer, and the City jointly have submitted to the City a petition (the "**Petition**") and application (together with the Petition, the "**Application**") for the formation of the District pursuant to the TIDD Act and the TIDD Ordinance, which includes the Land that is described in a "District Boundary and Parcel Map" and more particularly identified in the legal description in Section 3 of the Application. The District Boundary and Parcel Map is attached as Attachment [1] to the "Tax Increment Development Plan" which plan is attached hereto as Exhibit D (the "**Tax Increment Development Plan**").

F. The Developer and the City intend that the Public Infrastructure shall be designed and constructed according to all applicable standards and requirements, shall be suitable for dedication to the State and other local governments, including the City and the University (each a "**Participating Agency**"), upon completion, and shall be dedicated to a Participating Agency and otherwise owned and operated by the Participating Agency.

G. The District was formed for the purpose of reimbursing the Developer and City for costs incurred in the design, construction, planning, engineering and purchase of certain public infrastructure including reimbursing the Developer and City for costs incurred in planning, designing and constructing the Public Infrastructure, as set forth herein.

H. The Developer and the City have submitted, concurrent with the Application, an Owner Consent to Petition and Joint Application for Formation of South Campus Tax Increment Development District (the "**Consent**"), requesting that the District be formed without an election of property owners. Pursuant to the Consent, the Developer and City certify that no qualified electors reside on the Land and no formation election is required pursuant to Section 5-15-8 NMSA 1978.

I. The City and the Developer anticipate that a portion of the Public Infrastructure shall be financed by and through the District (the "**TIDD Infrastructure**"). TIDD Infrastructure may be financed with the proceeds of gross receipts tax increment and/or property tax increment revenue bonds issued by the District in accordance with the TIDD Act and the TIDD Ordinance

(the “**TIDD Bonds**” or “**District Bonds**”), and payable from dedicated gross receipts tax increment revenue and property tax increment revenue generated within the District (collectively the “**Tax Increment Revenues**”).

J. TIDD Infrastructure also may be financed with property tax increment revenue and state, municipal and county gross receipts tax increment revenue received by the District without the issuance of District Bonds. Such amounts are included in the definition of Tax Increment Revenues.

K. The Developer and the City, or their designees, may construct additional public improvements (“**Applicant Improvements**” and together with the TIDD Infrastructure, the “**Public Infrastructure**”) to serve the Project, from sources other than Tax Increment Revenue or TIDD Bonds.

L. The Project at full buildout, is expected to contain over \$627 million in public and private investment, combined: \$267 million in TIDD-funded public improvements and \$360 million in private-funded improvements (the “**Private Improvements**”).

M. The City and the Developer intend that this Agreement shall govern the conduct and operation of the District with respect to matters addressed by this Agreement.

THEREFORE, in consideration of the mutual covenants of the parties set forth in this Agreement, and for other valuable consideration, the City, the District, and the Developer agree as follows:

AGREEMENT

1. The Recitals set forth above are a material part of this Agreement and are incorporated by reference.

2. Effective Date. This Agreement shall become effective upon the City’s formation of the District pursuant to the TIDD Act and that certain Resolution of Formation, attached to this Agreement as Exhibit B, irrespective of the date of execution of this Agreement by the parties hereto.

3. Condition Precedent. This Agreement is conditioned upon its execution by the City and the Developer on or before _____.

4. The District is a Party to this Agreement. Upon formation of the District, the District shall become a party to this Agreement and shall be bound to the obligations set forth herein.

5. Timing. The Developer may proceed with the design and construction of the TIDD Infrastructure in any order that the Developer may deem appropriate, following consultation with the development coordination committee composed of one person each representing the City’s Chief Operating Officer, City Council, Municipal Development Department, Planning Department, and Economic Development Department as determined by the Chief Operating Officer of the City or his or her designee, and one person each representing the Developer, the

University's Real Estate Department, and the University's Planning, Design and Construction Department as determined by Senior Vice President for Finance and Administration or his or her designee (the "Development Coordination Committee").

6. Project Costs. The Developer may be reimbursed for all or a portion of expenses incurred pursuant to this Agreement from the proceeds of District Bonds or other Tax Increment Revenue, to the extent allowable by applicable State and federal law. The Developer shall enter into a reimbursement agreement with the City pursuant to which the Developer shall reimburse the City for all or a portion of costs and expenses incurred by the City in connection with the application, formation and operation of the District from the proceeds of District Bonds or other Tax Increment Revenues, to the extent allowable by applicable State and federal law.

7. Boundaries of District. The District shall include the Land described in Exhibit A to this Agreement. The boundaries of the District may be amended as follows:

A. Consent Required. If, at the time amendment is requested, 100% of the record owners of the land within the District affected by the proposed amendment have provided written consent to the boundary amendment and either (i) no bonds of the District are then outstanding or (ii) in the determination of the trustee for the bonds as provided in the related indenture or indentures, holders of bonds of the District which are then outstanding will not be adversely affected, the amendment shall be approved by the Chief Administrative Officer of the City, which approval authority is hereby delegated.

B. No Consent Required. If, at the time amendment is requested, the record owners of less than 100% of the land within the District have provided written consent to the boundary amendment, the proposed amendment shall be subject to the provisions of Section 5-15-25 NMSA 1978.

8. District Acquisition of TIDD Infrastructure. The TIDD Infrastructure will be constructed by the Developer, the City, or their designees in accordance with the terms of this Agreement, following consultation with the Development Coordination Committee. Following the financing of TIDD Infrastructure, either with the proceeds of TIDD Bonds or directly with TIDD Tax Increment Revenue, and subject to the terms of this Section 8 and Section 10 of this Agreement, the Developer, the City, or their designees, shall convey completed TIDD Infrastructure to the District by bill of sale or other appropriate instrument of conveyance. Following acquisition by the District, the District shall immediately convey the TIDD Infrastructure to a Participating Agency in accordance with the terms of this Agreement. Water and wastewater improvements shall be dedicated to the Albuquerque Bernalillo County Water Utility Authority (the "ABCWUA"), or other appropriate entity, pursuant to a separate development agreement with such authority.

The obligation of Developer or City to construct the Public Infrastructure and Private Improvements shall be conditioned on the ability of Developer or the City to be reimbursed through TIDD Bonds or other Tax Increment Revenue for the cost of such Public Infrastructure.

A. Development Requirements. Notwithstanding any other provision herein or in any City ordinance relating to zoning, permitting or land use generally to the contrary, the land

uses shown on the District Illustrative Plan attached as Exhibit E, and further described in the Tax Increment Development Plan shall govern development within the District. The land uses described in the Tax Increment Development Plan, as approved by the Formation Resolution, constitute the approved land uses for the District.

B. Construction Duties. If the Developer, on behalf of itself or the City, determines to seek reimbursement from TIDD Bonds or other Tax Increment Revenue for the cost to construct TIDD Infrastructure, the Developer, the City, and their designees shall be obligated to construct such infrastructure and convey the same as set forth herein. To the extent that available proceeds from District Bonds or other Tax Increment Revenue are insufficient to pay the costs of TIDD Infrastructure, Developer, the City, or their designees, respectively, shall be responsible for the costs of completing such TIDD Infrastructure. The Developer, the City, and their designees shall perform their respective obligations and conduct operations with respect to the TIDD Infrastructure in a good, workmanlike, commercially reasonable manner using the standard of care normally employed in the performance of work that is comparable. If the Developer or the City determine in their sole discretion to not seek reimbursement for the cost of all or part of the TIDD Infrastructure within the District, then the TIDD Infrastructure shall not be required to be constructed unless the Developer or the City have otherwise committed to construct the TIDD Infrastructure as a condition of subdivision or site plan approval or otherwise. The Developer, the City, or their designees shall be responsible for completing the Applicant Improvements and for the costs thereof.

C. Other Financing. This Agreement shall not limit the Developer or the City from obtaining and using other sources of governmental and nongovernmental funds, including, without limitation, tax credits, tax deductions, special assessments, proceeds from bonds issued pursuant to the Public Improvement District Act (Section 5-11-1 et seq. NMSA 1978), common area charges, association dues, grants or loans to cover the cost of constructing the TIDD Infrastructure and Applicant Infrastructure.

D. Satisfaction of Conditions in which Surety or Completion Guarantee Not Required. A surety bond or other guaranty for the completion of the TIDD Infrastructure shall not be required if the following conditions are satisfied as to each work order in connection with the construction of TIDD Infrastructure:

(i) A specified amount of the proceeds of the TIDD Bonds on deposit with the trustee for the TIDD Bonds shall be used for construction or acquisition of the TIDD Infrastructure; and

(ii) The amount of available proceeds of TIDD Bonds on deposit with the trustee for the TIDD Bonds specified in Subsection (i) above, together with other dedicated moneys (which may include Tax Increment Revenue not otherwise pledged to debt service on outstanding TIDD Bonds) the payment of which is guaranteed or otherwise assured, is equal to or greater than the estimated cost of the TIDD Infrastructure to be constructed in the District.

E. Completion Guarantee Required. The Developer, the City, or their designees shall be required to provide a surety bond or other completion guarantee for the satisfactory completion of Applicant Improvements, if any.

F. Completion and Acceptance. The Developer, the City, or their designees shall prepare the TIDD Infrastructure for dedication and acceptance in accordance with the processes of the Participating Agency accepting the TIDD Infrastructure.

G. Acceptance by District. The District shall accept conveyance of TIDD Infrastructure upon written certification and warranty conveying such improvements, as follows:

(i) The TIDD Infrastructure has been constructed in substantial compliance with plans and specifications, in a good and workmanlike manner and in strict compliance with all applicable governmental and quasi-governmental regulations, laws, and building codes;

(ii) Pursuant to the applicable provisions for final acceptance, the TIDD Infrastructure has been inspected, approved for dedication to, and will be accepted by a Participating Agency; and

(iii) The costs of constructing the TIDD Infrastructure actually have been incurred by the Developer, the City, or their designees, together with reasonable documentation of those costs.

H. Issuance of District Bonds. Simultaneously with the initial delivery of any District Bonds, the District shall cause an Indenture of Trust (the “**Indenture**”) to be executed by and between the District as the issuer and the trustee, to deposit to the credit of the Project Fund (as defined in the Indenture), the balance remaining from the proceeds of the TIDD Bonds after the deposits for the payment of interest, costs of issuance, the debt service reserve account and such other funds and accounts as will be more specifically described in the Indenture. Moneys in the Project Fund shall be disbursed by the trustee to pay to, or on behalf of, (or as a reimbursement for monies previously expended by) the Developer or the City, all items of expense directly relating to the cost of the acquisition of the TIDD Infrastructure and the incidental costs and expenses relating thereto including, but not limited to: engineering expenses, legal expenses, printing, posting, publication and mailing expenses, fees and expenses incurred in making surveys, studies and estimates of costs, testing expenses, construction management expenses, and such other costs and expenses eligible for payment from the proceeds of the TIDD Bonds under the TIDD Act and the Indenture (the “**Costs of Construction**”) and to pay all incidental expenses related to the construction of the TIDD Infrastructure.

(i) Requests for disbursement from the Project Fund shall be made substantially as follows: In every case, a “**Trustee Disbursement Request**” in the form attached to the Indenture, (a) signed by a duly authorized representative of the Developer, on behalf of itself or the City, and (b) an Authorized Officer of the District (as provided in the Indenture) certifying that the work to which the payment relates has been performed by third party contractors in arm’s length transactions at market rates and in a manner satisfactory to the District, and shall be presented to the trustee; and

(ii) The authorized representatives of the District shall have the right, upon two (2) business days’ prior written notice to the Developer and during normal business

hours, to review all books and records of the Developer, the City, or their designees, pertaining to costs and expenses incurred in construction of the TIDD Infrastructure.

I. Use of Tax Increment Revenue. Tax Increment Revenue not otherwise pledged to outstanding District Bonds may be transferred to a bank or other financial institution as a depository pursuant to a depository agreement (the “**Depository Agreement**”) for disbursement to the Developer, on behalf of itself or the City, for Costs of Construction or for reimbursement for monies previously expended by the Developer, the City or their designees for items of expense directly relating to the cost of the acquisition of the TIDD Infrastructure or other Costs of Construction.

(i) Requests for disbursement of Tax Increment Revenue shall be made substantially as follows: Gross receipts tax increment revenues shall be maintained in the District’s gross receipts tax increment account, and property tax increment revenues shall be maintained in the District’s property tax increment account. In every case, a “**Tax Increment Disbursement Request**”, in the form attached to the Depository Agreement, shall be signed by a duly authorized representative of the Developer, on behalf of itself or the City, and shall be presented to the District treasurer. The party constructing the TIDD Infrastructure also shall certify that the work to which the payment relates has been performed by third party contractors in arm’s length transactions at market rates and in a manner satisfactory to the District.

(ii) Upon review and approval of a Tax Increment Disbursement Request, the District’s treasurer shall cause the transfer of funds requisitioned by the Tax Increment Disbursement Request to a bank as a depository for disbursement to the Developer. The bank shall timely release the transferred funds to the Developer for its account or the account of the City, or designees of the City and/or the Developer pursuant to separate distribution agreements with such entities (each a “**Distribution Agreement**”).

(iii) The authorized representatives of the District shall have the right, upon two (2) business days’ prior written notice to the Developer and during normal business hours, to review all books and records of the Developer pertaining to costs and expenses incurred by the Developer, the City, or their designees in construction of the TIDD Infrastructure.

J. Projected Costs of Construction. The estimated cost (including planning, design, engineering, construction, testing, surveying, construction management, inspection, fees, gross receipts taxes, and contingencies) of constructing all the improvements benefiting the District, including the TIDD Infrastructure, is estimated to be approximately \$627 million, which includes approximately \$267 million expected to be financed with the proceeds of TIDD Bonds or other Tax Increment Revenues.

(i) The “**Purchase Price**” for TIDD Infrastructure, subject to the provisions of this Section 8 shall be the Actual Cost (as defined below) of such TIDD Infrastructure.

(ii) In order to receive the Purchase Price for completed TIDD Infrastructure, Developer, on behalf of itself or the City, shall deliver to the TIDD (a) a payment

request for such TIDD Infrastructure, together with all attachments and exhibits to be included therewith, and (b) a copy of the notice of completion of such TIDD Infrastructure.

(iii) Upon receipt of a completed payment request (and accompanying documentation) for completed TIDD Infrastructure, the Participating Agency accepting the TIDD Infrastructure may conduct a review in order to confirm that such TIDD Infrastructure was constructed in accordance with the plans therefor and to verify and approve the Actual Cost of such TIDD Infrastructure specified in such payment request. Upon confirmation that such TIDD Infrastructure has been constructed in accordance with the plans therefor pursuant to its standard procedure for acceptance of public improvements, and verification and approval of the Actual Cost of such TIDD Infrastructure, the Developer, on behalf of itself or the City, may, without unreasonable delay, submit a requisition request to the trustee to pay the Purchase Price of such TIDD Infrastructure to Developer pursuant to the requisite Indenture or to the depositary to pay the Purchase Price of such TIDD Infrastructure to Developer pursuant to the requisite Depositary Agreement. “**Actual Cost**” means, with respect to TIDD Infrastructure, an amount equal to the sum of (a) the actual, reasonable cost of constructing such TIDD Infrastructure, including labor, material and equipment costs, (b) the actual, reasonable cost of preparing the plans for such TIDD Infrastructure, (c) the actual, reasonable cost of environmental evaluations required for such TIDD Infrastructure, (d) the amount of the fees actually paid to governmental agencies in order to obtain permits, licenses or other necessary governmental approvals for such TIDD Infrastructure including inspection, (e) the actual, reasonable cost for professional services directly related to the construction of such TIDD Infrastructure, including legal, engineering, inspection, construction staking, materials testing and similar professional services, (f) the actual, reasonable cost of any title insurance required hereby for such TIDD Infrastructure, provided, however, that no item of cost relating to TIDD Infrastructure shall be included in more than one category of cost under this definition.

(iv) TIDD Infrastructure shall be conveyed to a Participating Agency, as applicable, by: (a) dedication and acceptance or (b) deed, bill of sale, lease, grant of easement as applicable, and acceptance, as is required by the Participating Agency and appropriate under the circumstances. Any reference to the manner of conveyance in this Agreement shall also refer to a lease of the TIDD Infrastructure. The parties intend that although the District will be obligated to pay for the acquisition of the TIDD Infrastructure, the District may designate a Participating Agency to be conveyed title and direct that title be conveyed directly to such Participating Agency. Completed TIDD Infrastructure may be transferred to the District prior to the issuance of the TIDD Bonds to be used to finance such TIDD Infrastructure, with the understanding that the Purchase Price for such TIDD Infrastructure will be payable if, and when, such TIDD Bonds are issued. The conveyance of the TIDD Infrastructure to the District prior to the issuance of TIDD Bonds shall be made with the expectation of payment of the Purchase Price from the proceeds of said TIDD Bonds (when, and if, issued), and such conveyance shall not be construed as a dedication or gift of the TIDD Infrastructure, or a waiver of payment of the Purchase Price for such TIDD Infrastructure.

(v) The parties hereto acknowledge that in certain circumstances (a) Developer, the City, or their designees, may be constructing the TIDD Infrastructure prior to the issuance of TIDD Bonds or receipt of other Tax Increment Revenues, the proceeds of which will be used to reimburse Developer or the City for TIDD Infrastructure, (b) Developer, on behalf of

itself or the City, may be submitting payment requests in advance of such an issuance of TIDD Bonds or receipt of other Tax Increment Revenue, with knowledge that there may be insufficient funds available in the appropriate improvement funds for reimbursement, (c) the TIDD Infrastructure that is the subject of the payment requests submitted when there are insufficient proceeds will be inspected and reviewed as set forth in this Section 8 and that such payment requests will be reviewed in the manner set forth in Section 8(J) and the TIDD Infrastructure will be accepted in accordance with this Section 8, and (d) the payment for any payment requests approved in the preceding manner will be deferred until sufficient Tax Increment Revenue or proceeds in the appropriate account under the Indenture are available to make such payment,, at which time the trustee or depository, as applicable, shall be directed to pay the Purchase Price for such TIDD Infrastructure to Developer in accordance with the Indenture or Depositary Agreement.

K. Phasing. The Parties acknowledge that neither the Developer nor the City can predict when or at what rate the Land may be developed or when any phase of the Project may be developed. Such decisions depend upon numerous factors which are not all within the control of Developer or the City, such as market orientation and demand, interest rates and competition. It is the intent of the Parties that the Developer, in consultation with the City and the Development Coordination Committee, may phase the Project in such order and at such rate and times as Developer deems appropriate and as set forth in the Tax Increment Development Plan.

L. Advances. Notwithstanding anything to the contrary set forth in this Agreement, the District hereby agrees to make advances to the Developer from time to time not to exceed the Purchase Price for the construction of the TIDD Infrastructure (each an “**Advance**”), the proceeds of which shall be used to finance the construction of the TIDD Infrastructure.

9. District Bond Financing of TIDD Infrastructure. The District may issue TIDD Bonds payable from Tax Increment Revenue in accordance with the Tax Increment Development Plan, this Agreement and the Indenture. Through the Indenture, the District will dedicate that Tax Increment Revenue to the repayment of the TIDD Bonds and will pledge, pursuant to the Indenture, such increment revenue as security for the TIDD Bonds. Tax Increment Revenue shall be collected and remitted to a District at the first possible time that remittance can be accomplished following the formation of the District, as provided in the TIDD Act. The District may, in the future, issue TIDD Bonds as provided by the TIDD Act, the TIDD Ordinance, the Tax Increment Development Plan and this Agreement. Under no circumstances will the City or the University be responsible for the payment of bonds or any other financial obligations of the District. TIDD Bonds shall state that the Owners of the TIDD Bonds shall have no recourse to the taxing power of the City, or to any property of the City or the Regents, or funds or resources, other than Tax Increment Revenue dedicated to the District.

Tax Increment Revenue collected or received by the District and pledged to District Bonds shall be deemed to be held in trust and deposited by the District with the trustee under an Indenture or Indentures as required in order for the District to meet its obligations under this Agreement.

A. General Requirements. Pursuant to and in compliance with the TIDD Act, the TIDD Ordinance and the Tax Increment Development Plan, the District shall be authorized to issue one or more series of TIDD Bonds sufficient to generate net proceeds which shall be used for the purpose of reimbursing the Developer or its designees including the City pursuant to a

Distribution Agreement, on a continuing basis, for costs incurred in the planning, design, engineering, construction or acquisition of TIDD Infrastructure, or for the construction of eligible Public Infrastructure in an amount not to exceed the actual cost of the TIDD Infrastructure, plus a contingency, plus amounts to be used to fund debt service reserves, capitalized interest, credit enhancement, costs of issuance and other costs normally associated with the issuance of TIDD Bonds pursuant to statute.

(i) As required by the TIDD Act and the TIDD Ordinance, prior to the issuance of a series of TIDD Bonds by the District, the Developer, the City, or their designees shall have contributed at least 20% of the initial cost of TIDD Infrastructure to be financed with proceeds of that series of TIDD Bonds to be issued by the District, which contribution may be reimbursed from the proceeds of that series of TIDD Bonds, as permitted by the TIDD Act;

(ii) The maximum aggregate principal amount of TIDD Bonds issued by the District shall not exceed the actual costs of the TIDD Infrastructure serving the District plus amounts to be used to fund debt service reserves, capitalized interest, credit enhancement, costs of issuance, and other costs normally associated with the issuance of TIDD Bonds pursuant to statute;

(iii) The actual costs of the TIDD Infrastructure shall be determined or estimated at the time the District issues a series of TIDD Bonds; and

(iv) Proceeds of TIDD Bonds shall be used to reimburse the Developer, or pursuant to a Distribution Agreement reimburse the City, its designees or the designees of the Developer for costs associated with the acquisition, design, planning, engineering, construction, inspection and financing of TIDD Infrastructure or for the construction of eligible Public Infrastructure and for such other purposes as allowed by the TIDD Act.

B. Bond Financing Requirements. The issuance of TIDD Bonds by the District (other than short-term District obligations maturing not later than 30 days after issuance) shall be subject to the following requirements and limitations:

(i) Annual debt service on TIDD Bonds issued with a lien on gross receipts tax increment revenue and/or property tax increment revenue will (1) be payable solely from gross receipts tax increment revenue and/or property tax increment revenue, (2) have a minimum coverage equal to, for senior lien financing 1.25 times, and for subordinate lien financing 1.00 times actual, recurring gross receipts tax increment revenue and/or property tax increment revenue, based on projections of tax revenues which are acceptable to the District, and (3) expire not more than twenty-five (25) years after the date that the first TIDD Bonds for the District are issued.

(ii) Unless otherwise prohibited by law, each series of TIDD Bonds will include a reasonably required debt service reserve funded from bond proceeds or other legally available sources in an amount on the date of issuance of the TIDD Bonds equal to the lesser of (i) the maximum annual debt service requirements on that series of TIDD Bonds, (ii) 125% of the average annual debt service requirements on that series of TIDD Bonds, or (iii) 10% of the aggregate principal amount of that series of TIDD Bonds (issue price if there is more than a de minimis amount of original issue discount or premium);

(iii) To the extent required in order to obtain an investment grade rating by Standard & Poor's Rating Group, Moody's Investors Service, Inc., or Fitch Rating, or other nationally recognized bond rating services, the TIDD Bonds shall be supported by irrevocable letters of credit, contribution agreements or other financial guaranty arrangements, all as determined by the District in consultation with the City, provided that, notwithstanding the foregoing, no such credit support shall be required if the governing body of the District enacting a Bond Resolution and the City determine that such additional credit support is not necessary for the protection of bond investors, based on the marketing plan for the particular series of TIDD Bonds, the sophistication or request of the intended purchaser of the TIDD Bonds, and recommendations made by a financial advisor acceptable to the governing body of the District and the financial advisor to the City;

(iv) The proposed maximum principal amount, maximum interest rates, final maturity dates, provisions relating to debt service reserves, credit enhancement, minimum denominations, public or private placement, book-entry form, prior redemption, and other features of the TIDD Bonds shall be set forth in an Indenture which shall be approved by the City;

(v) The form of all material documents used in connection with the issuance of TIDD Bonds including but not limited to, the TIDD board bond resolution and bond indenture shall be provided to the City prior to issuing any TIDD Bonds.

(vi) TIDD Bonds issued by the District shall have no direct or indirect negative impact on the debt or financing capabilities of the Developer, the City or the University and shall be subject to the payment of outstanding City gross receipts tax obligations as provided in the TIDD Act; and

(vii) Such other provisions required by law, and as shall be mutually agreed upon by the City, the Developer, and the District, or their designees.

C. Issuance of TIDD Bonds: Approvals. Prior to issuing a series of TIDD Bonds, the City (through the Chief Administrative Officer (the "CAO"), Developer and District shall:

(i) Identify the TIDD Infrastructure and the estimated costs thereof to be financed with proceeds of the TIDD Bonds to be issued by the District as that infrastructure attached as Exhibit F (the "Eligible TIDD Infrastructure");

(ii) Identify the Applicant Improvements to be financed by the Developer or the City through other sources;

(iii) Provide an estimated construction schedule for the completion of the TIDD Infrastructure and Applicant Improvements;

(iv) Describe the proposed maximum principal amount, maximum interest rates, final maturity date, provisions for debt service reserves, credit enhancement, minimum denominations and other features of the TIDD Bonds to be issued by the District required by the TIDD Act, the TIDD Ordinance, the Formation Resolution and this Agreement;

(v) Provide proposed forms of the bond resolution, Indenture and other documents related to the proposed bond transaction;

(vi) Address any other matters concerning the dedication of TIDD Infrastructure to be financed by the District that should be addressed in additional detail not provided in this Agreement; and

(vii) Before the issuance of TIDD Bonds, each bond resolution shall be presented to the City Council for action.

D. Plan of Finance. The District shall implement the Plan of Finance attached as Exhibit G in accordance with the Formation Resolution.

E. Equity Contribution. The minimum equity contribution of the Developer and the City will be no less than twenty percent (20%) of the initial cost of the Project, in accordance with the provisions of the TIDD Act and Section 9A. (i) hereof.

F. Operating Tax Levy. The District is authorized to impose an operating tax levy not to exceed \$5.00 per \$1,000 of the assessed value of the taxable property within its boundaries, as provided in Section 5-15-13 of the TIDD Act.

10. Dedication/Operation of Improvements. The District shall immediately dedicate the TIDD Infrastructure to a Participating Agency. The following general provisions shall govern the dedication and operation of Public Infrastructure:

A. Conveyance. Conveyance of the real and personal property from the District to a Participating Agency shall be made by bill of sale, or "paper" conveyance.

B. Operation and Maintenance. At no time shall the District pay operation or maintenance costs associated with Public Infrastructure. Operation and maintenance costs incurred prior to the dedication of Public Infrastructure to a Participating Agency shall be paid by the Developer, the City, or their designees.

11. Default; Termination.

A. Defaults. Any failure by any party to perform any material term or provision of this Agreement, which failure continues uncured for a period of thirty (30) days following written notice of such failure from the other party, unless such period is extended by written mutual consent, shall constitute a default under this Agreement. Any notice given pursuant to the preceding sentence shall specify the nature of the alleged failure and, where appropriate, the manner in which said failure may be cured. If the nature of the alleged failure is such that it cannot reasonably be cured within such time period, then the diligent prosecution to completion of the cure thereafter shall be deemed to be a cure within such thirty (30) day period. Upon the occurrence of a default under this Agreement, the non-defaulting party may institute legal proceedings to enforce the terms of this Agreement or, in the event of a material default, terminate this Agreement. If the default is cured, then no default shall exist, and the noticing party shall take no further action.

12. Termination of Agreement. Either the Developer or the City may seek termination of this Agreement upon material breach left uncured after reasonable notice and opportunity to cure.

13. Term of Agreement. Subject to the TIDD Act, the term of this Agreement shall commence upon the execution of this Agreement by all parties and shall extend for a period the greater of twenty-five (25) years, or the date on which all District Bonds have been paid and are no longer outstanding unless said term is terminated, modified or extended by circumstances or by mutual consent of the parties. Following the expiration of the term, this Agreement shall be deemed terminated and of no further force and effect.

14. Other General Provisions.

A. Audit. The Developer and the City shall have the right to audit all expenditures of the District and expenditures of the Developer, the City, or their designees, in connection with facilities (i) at the Project to be dedicated to the City or the University or (ii) treated as the 20 percent (20%) contribution of the Developer pursuant to Section 9(A)(i) of this Agreement.

B. Covenants Running with the Land. The provisions of this Agreement constitute covenants running with the Land and are binding upon and inure to the benefit of the parties hereto, their successors and assigns.

C. Notice. Notices concerning the District shall be provided to the parties at the following addresses:

If to the City:

City of Albuquerque, New Mexico
One Civic Plaza, NW, 11th Floor (87102)
Post Office Box 1293 (87103)
Albuquerque, New Mexico
Attention: **[Member of the TIDD Board]**
Telephone: (505) 768-3679

With a copy to:

Christopher Melendrez
Director of Council Services
One Civic Plaza, NW Ninth Floor
P.O. Box 1293 (87103)
Albuquerque, New Mexico
Telephone: (505) 768-3100

If to the District:

☐ Tax Increment Development District

Attention:
Telephone:

If to the Developer:

South Campus Tax Increment Development District c/o Lobo Development Corporation

Attention: TBD

Telephone:

For purposes of giving formal written notice, including notice of change of address, the addresses are as set forth in this paragraph unless changed by written notice. Notice may be given either in person or by certified U.S. mail, postage paid.

D. Entire Agreement. This Agreement contains the entire agreement of the parties and supersedes all other agreements or understandings, oral or written, whether previous to the execution hereof or contemporaneous herewith.

E. Changes to Agreement. Changes to this Agreement are not binding unless made in writing and signed by all parties hereto. Changes to this Agreement that are within the scope of the Formation Resolution or this Agreement shall not require additional action by the City Council. Whether a change to this Agreement is within the scope of the Formation Resolution or this Agreement shall be determined by the City Council President in consultation with the CAO and City Attorney. Notice of proposed changes to this Agreement shall be given to the Director of Council Services, CAO and the City Attorney not less than 30 days prior to the effective date of the proposed change.

F. Construction and Severability. If any part of this Agreement is held to be invalid or unenforceable, the remainder of this Agreement will remain valid and enforceable if the remainder is capable of completion.

G. Execution. This Agreement is shall be executed by the (i) the CAO, (ii) the Developer, and (iii) the District.

H. Assignment. This Agreement will not be assigned without the prior written consent of the parties, which consent shall not be unreasonably withheld, conditioned or delayed.

I. Recording. This Agreement shall be filed for record in the Bernalillo County Clerk's Office, Bernalillo County, New Mexico.

J. Governing Law. This Agreement is governed by and is to be construed in accordance with the law of the State.

IN WITNESS WHEREOF, the City has caused this Agreement to be executed in its corporate name and the seal of the City affixed and attested by its duly authorized officers; the District has executed this Agreement in its corporate name and attested by its duly authorized officer; and the Developer has executed this Agreement in its respective corporate names and attested by its respective duly authorized officers. All of the above are effective as of ____, 20[___].

CITY OF ALBUQUERQUE, NEW MEXICO

By: _____
Chief Administrative Officer

[SEAL]

ATTEST:

By: _____
Clerk

LOBO DEVELOPMENT CORPORATION, a
New Mexico nonprofit corporation formed under the
Research Park and Economic Development Act

By: [_____]]
Its: [_____]]

By: _____
Name: _____
Title: _____

ATTEST:

By: _____
Clerk

**SOUTH CAMPUS TAX INCREMENT
DEVELOPMENT DISTRICT**

By: _____]

Its: _____]

By: _____

Name: _____

Title: _____

ATTEST:

By: _____

Clerk

EXHIBIT A
The Land

EXHIBIT B
Formation Resolution

EXHIBIT C
Memorandum of Understanding Pertaining to the "University of New Mexico Gibson
Commercial District"

EXHIBIT D
Tax Increment Development Plan

EXHIBIT E
District Illustrative Plan

EXHIBIT F
Infrastructure Improvements List

EXHIBIT G
Plan of Finance

Plan of Finance Tax Increment

**See eBook Part 2 for additional items --
separate pdf with Audit & Compliance,
SSTAR, F&F, and HSC Committee
information-only items**