



THE UNIVERSITY *of*
NEW MEXICO

Board of Regents' Meeting
June 27, 2011
9:00 a.m.
Student Union Building Ballroom C

AGENDA OF THE MEETING OF THE REGENTS OF THE UNIVERSITY OF NEW MEXICO

June 27, 2011

9:00 a.m. – 11:30 a.m. 1:00 p.m. – 3:00 p.m. Student Union Ballroom C
Regents Executive Session/Luncheon, 11:30 a.m. – 1:00 p.m. Cherry Silver Room

TAB

- I. Confirmation of a Quorum: Adoption of the Agenda, *Regent President Jack L. Fortner* 1

- II. Approval of Summarized Minutes of the April 12, 2011 Budget Summit, April 29, 2011 BOR Meeting, May 10, 2011 BOR Meeting, and May 31, 2011 BOR Special Meeting and Approval of the BOR Calendar August 2011-August 2012 2

- III. Presentation of Nambe Lobo Head to Raymond G. Sanchez, *Regent President Jack L. Fortner*.

- IV. President's Administrative Report, *David J. Schmidly, President* 3

- V. Comments from Regents

- VI. Public Comment, specific to agenda items

- VII. Comments from Regents' Advisors (reports included in BOR E Book) 4
 - Richard Wood, (Timothy Ross) President, Faculty Senate
 - Mary Clark, President, Staff Council
 - Katie Richardson, President, GPSA
 - Jaymie Roybal, President, ASUNM
 - Waneta Tuttle, President, UNM Alumni Association
 - Anne Yegge, Chair, UNM Foundation
 - Maria Probasco, President, UNM Parent Association
 - Cynthia Stewart, President, UNM Retiree Association

- VIII. Regent Committee Reports
 - Academic/Student Affairs & Research Committee, *Regent Bradley C. Hosmer, Chair*
 - A. Approval of Bachelor of Studies Degree to Patrick J. Grange, *Richard Wood, FS President* 5
 - B. Approval of Appointment of Dr. Kathleen Holscher to Endowed Chair of Roman Catholic Studies, *Dr. Felipe Gonzales, Senior Associate Dean of Arts & Sciences* 6

 - Finance and Facilities Committee, *Regent Don L. Chalmers, Chair*
 - Action items:
 - A. Approval of Purchase Center for Micro-Engineered Materials-Rigaku, *Bruce Cherrin, CPO*..... 7
 - B. Approval of Proposal for Presidential Executive Search assistance to Storbeck/Piemental.*Helen Gonzales, VP Human Resources*
 - C. Approval of Kronos/Financial Services/Human Resources. *Bruce Cherrin, CPO*
 - D. Approval of Real Property Acquisition of Lands West Tract Z, *Kim Murphy, Real Estate*..... 8

Consent Items:

E. Disposition of Surplus Property for Main Campus-list dated 5/15/2011, *Bruce Cherrin CPO* 9

F. Contract Approvals: 10

 1) UNMH-Dekker/Perich/Sabatini, LTD

 2) Draeger Medical Equipment Inc.

G. Approval of:

 1) Capital Project for Law School Steam and Chilled Water Piping Replacement 11

 2) Architect Selection for Collaborative Teaching and Learning Building, *Vahid Staples, Bgt*

H. Approval of Resolution to Reallocate UNM Bond Proceeds, *Andrew Cullen, AVP Budget*..... 12

I. Approval of Capital Outlay Requests 13

J. Approval of Ford Utilities Center 2nd Gas Turbine Cogeneration Unit 14

K. Approval of Annual Renewal of Maui HPCC Lease 15

L. Approval of Resolution of Regents of UNM Confirming, Ratifying, Approving Unconditionally the Lease Agreement by and Between the Regents of UNM and ACC OP (UNM Main 1) LLC, 16

M. Approval of STC.UNM Board of Directors Reappointments (4) and Appointment (1) 17

Information Items:

N. Monthly Consolidated Financial Report, *Ava Lovell, Controller* 18

O. Contract Information:*Bruce Cherrin, CPO*..... 19

 1) UNMH-St. Catherine Healthcare, LLC

 2) UNMH-Karl Storz Endoscopy

 3) UNMH-GlaxoSmith Kline Vaccines

 4) Information Technologies Group c/o Aquila Mele

 5) Information Technologies-Network Appliance

 6) Cancer Center-Korn Ferry International

Health Sciences Board, Regent Carolyn J. Abeita, Chair, No Report

Audit Committee, Regent Gene Gallegos, Chair, No Report

A. Summary Report from Audit Committee Meeting 6/16/2011 20

IX Public Comment

X. Vote to close the meeting and to proceed into Executive Session.

XI. Executive Session will be held from 11:30 a.m. – 1:00 p.m. in the Cherry Silver Room

A. Discussion and determination where appropriate of threatened or pending litigation Pursuant to Section 10-15-1.H (7) NMSA (1978).

B. Discussion and determination where appropriate of limited personnel matters pursuant to Section 10-15-1.H (2), NMSA (1978)

C. Discussion and determination where appropriate of the purchase, acquisition or disposal of real property pursuant to Section 10-15-1 H (8) NMSA (1978).

D. Discussion and determination where appropriate of the purchase, acquisition of services pursuant to Section 10-15-1. H (6) NMSA (1978)

XII. E. Vote to re-open meeting.

F Certification that only those matters described in Agenda Item XI. were discussed in Executive Session and if necessary, final action with regard to those matters will be taken in Open Session.

XIII. Adjournment

File: BOR Agenda 6.27.2011

**MINUTES OF THE SPECIAL MEETING OF
THE REGENTS OF THE UNIVERSITY OF NEW MEXICO**

April 12, 2011

Board of Regents Meeting 9:00 a.m. – 11:30 a.m.

Student Union Ballroom C

Regents Executive Session/Luncheon 11:30 a.m. – 1:00 p.m.

Sandia Room

Attendance:

Regents present:

Jack L. Fortner, President
Don L. Chalmers, Vice President
Carolyn J. Abeita, Secretary-Treasurer
J.E. "Gene" Gallegos
Bradley C. Hosmer
James H. Koch
Jacob P. Wellman

President present:

Dr. David J. Schmidly

Executive Vice Presidents present:

David Harris, EVP, COO
Suzanne Ortega, EVP and Provost
Dr. Paul Roth, Chancellor, HSC

Vice Presidents present:

Carmen Alvarez Brown, Vice President Enrollment Management
Josephine De Leon, Vice President, Equity and Inclusion
Julia Fulghum, Vice President, R&D
Helen Gonzales, Vice President for Human Resources
Paul Krebs, Vice President, Athletics
Ava Lovell, Vice President and Controller
Stephen McKernan, HSC Vice President, Hospital Operations
Eliseo Torres, Vice President, Student Affairs

University Counsel present:

Lee Peifer, Interim Sr. Associate University Counsel

Regents' Advisors present:

Richard Wood, President, Faculty Senate
Merle Kennedy, President, Staff Council
Lissa Knudsen, President, Graduate and Professional Student Association (GPSA)
Lazaro Cardenas, Jr., President, Associated Students of UNM (ASUNM)
Harold Lavender for Steve Chreist, President, Alumni Association
Anne Yegge, Chair, UNM Foundation
Maria Probasco, President, Parent Association
Cynthia Stuart, President, Retiree Association

All presentations received are included in the BOR E-Book pdf document posted on the Board of Regents web site (<http://www.unm.edu/regents/meetings/>).

Regent Fortner presided and called the meeting to order at 9:00 a.m.

I. Confirmation of a Quorum; Adoption of the Agenda, Regent Fortner

Item I. B. 4) Tuition Differential Rates for Professional Programs Including Occupational Therapy was removed from the agenda to allow further review.

Motion passed unanimously to adopt the revised agenda (1st Gallegos 2nd Abeita).

II. Comments from Regent Advisors

Faculty Senate, Richard Wood: Current proposal does fund the academic mission but does not shield employees from what amounts to a two percent reduction in pay and it gives Athletics somewhat special treatment.

Staff Council, Merle Kennedy: The legislature chose to swap their part of the commitment to PERA and ERB over to state employees again. They seem to intend to transfer the state higher education funding commitment onto students and parents. Staff is grateful for President Schmidly's proposal to cover the 1.75% "swap."

Regent Fortner asked David Harris and Andrew Cullen to meet with Mr. Kennedy in the next week to better understand staff concerns.

Regent Koch said the low pay for staff should be addressed next year regardless of what the legislature does.

GPSA, Lissa Knudsen: Student fees should not be raised by the BOR. Student fees and tuition should not be used to offset state cuts. UNM students graduate with the second highest debt load in the nation.

ASUNM, Lazaro Cardenas: In favor of a one year moratorium on raising student fees. The method of determining student fees needs to be reviewed and changed. Raising fees would go directly against the recommendation of the SFRB (Student Fee Review Board).

Parent Association, Maria Probasco: Alternative ways of funding IT, libraries, Johnson Center and Athletics should be found and used rather than increasing student fees.

UNM Foundation, Anne Yegge: The Foundation will redouble its fundraising efforts.

Alumni Association, Harold Lavender: The association finance committee is working to find ways to offset the lack of funding.

Deans Representative Dean James Linnell: The deans favor this budget proposal.

Comments from Regents

Regent Abeita appreciates the input from all constituencies and recommends the process be used again in the next budget cycle.

Regent Wellman is in favor of a moratorium on raising student fees this year.

Regent Koch: There are economic problems at the federal and state level which cause the university to raise tuition and fees.

Regent Fortner said the governor is an ex-officio member of the BOR and can attend any meetings she would care to.

Finance and Facilities Committee, Regent Don Chalmers, Chair

A. Consent Agenda (F&F meeting 4/11/11)

- 1) Approval of
 - 1) Capital Project for Computer Sciences Computer Lab
 - 2) Capital Project for Emergency Medicine Department
 - 3) Capital Project for Center for Molecular Discovery
 - 4) Capital Project for Tri-Services 3rd Floor Lab Renovation
 - 5) Architect Selection for Chemistry Department Renovation
- 2) Approval of Contracts
 - 1) UNM Financial Services-FY11 Financial Audit
- 3) Approval of
 - 1) Real Property Acquisition of 1401-A University Boulevard, NE

Motion passed unanimously to approve all Consent Agenda items (1st Chalmers, 2nd Koch).

B. Action Item

- 4) Approval of
FY 2012 Tuition and Fees Subject to Amendment and Substitution by the Board of Regents.

President Schmidly presented budget scenarios of a 6.3% total tuition and fee increase (including a \$40 increase in fees) and a 5.5% total tuition and fee increase (no increase in fees). The state "tuition credit" is over 4%. The proposed tuition increase in excess of the tuition credit is barely more than the increase in the cost of living.

Regent Chalmers stated the F&F Committee recommendation is the 6.3% (a 7% increase in tuition and a \$40 increase in fees). Both proposals increase tuition by 7%.

President Schmidly confirmed to Regent Koch that no regent has asked him eliminate the Office of Equity and Inclusion or any other department.

Regent Gallegos said a plan should be in place on 7/1/11 to increase, by 1.5%, the pay of employees earning \$50 thousand per year or less.

Final budget approval will take place at the Board of Regents meeting on 4/29/2011.

Motion failed to increase tuition the necessary percentage to raise total tuition and fees by 5.9% (1st Koch, 2nd Gallegos). Voting aye Gallegos, Koch. Voting nay Hosmer, Chalmers, Abeita, Wellman, Fortner.

Motion passed to increase tuition the percentage necessary to raise total tuition and fees by 5.5% (1st Chalmers, 2nd Abeita). Voting aye Hosmer, Chalmers, Abeita, Wellman, Fortner. Voting nay Koch, Gallegos.

Motion passed to accept the fee schedule presented, subject to the changes passed in this meeting (1st Chalmers, 2nd Fortner).

III. Public Comment

Megan McRobert, GPSA: Tuition and fees have increased steadily regardless of the economic climate.

Marsha Baumeister, staff: There is no division between faculty, staff and students as some media have reported. The decrease in take-home pay is demoralizing and causes hardships. Employees are willing to increase their contribution if it increases the solvency of the ERB, provided that the state holds up its end of the responsibility.

Chris Ramirez, graduate student: The Office of Equity and Inclusion is critical to the core mission of the university.

Benjamin Abbott read a statement from Helen Sobien, graduate student: recommended a full accounting of Athletics revenue and spending and a survey of interest in each athletic program.

Mary Clark read a statement from Linda McCormick, staff: There are 252 Grade 3 employees at UNM. They cannot afford an additional 1.75% deduction from each pay check.

Mary Clark, staff: The decision as to which employees should be compensated for at least part of the ERB "swap" should be made by grade rather than salary.

Susan McConaghy, staff: The Compensation Committee of the Staff Council has concrete suggestions they would like to present to the Regents, President Schmidly, and Human Resources for helping the lowest paid employees in a cost-neutral way.

Liza Minno Bloom, student: In favor of the Office of Equity and Inclusion, support for the working poor of UNM and the avenues for communication between Regents and students.

IV. Vote to close the meeting and to proceed in Executive Session.

Motion passed, with no dissenting votes, to proceed in Executive Session at 11:27 a.m. (1st Chalmers, 2nd Gallegos).

V. Executive Session 11:30 p.m. – 12:30 p.m. Sandia Room

- A. Discussion and determination where appropriate of threatened or pending litigation pursuant to Section 10-15-1.H (7) NMSA (1978).
- B. Discussion and determination where appropriate of limited personnel matters pursuant to Section 10-15-1.H (2) NMSA (1978).
- C. Vote to Re-open the meeting

Motion passed to re-open the meeting at 12:27 p.m. (1st Chalmers, 2nd Abeita).

- D. Certification that only those matters described in Agenda item V. were discussed in Executive Session. If necessary, final action with regard to those matters will be taken in Open Session.

Motion passed to certify that only those matters described in Agenda item V were discussed in Executive Session and that no action was taken (1st Hosmer, 2nd Abeita).

VII. Adjournment.

Motion passed to adjourn the meeting at 12:28 p.m. (1st Wellman, 2nd Koch, Fortner, Chalmers, Abeita, Gallegos, Hosmer).

Regent Jack L. Fortner
President

Regent Carolyn J. Abeita
Secretary/Treasurer

The University of New Mexico
Board of Regents' Meeting
April 29, 2011
Student Union Building Ballroom C
Meeting Minutes

Members present: President Jack L. Fortner, Vice President Don L. Chalmers, Secretary-Treasurer Carolyn J. Abeita, J.E. "Gene" Gallegos, James H. Koch, Jacob P. Wellman (Quorum).

Present via conference phone (10:00 a.m.): Bradley C. Hosmer.

Administration present: President David J. Schmidly, Executive Vice President David Harris, Provost Suzanne Ortega, Chancellor Paul Roth, Vice President Carmen Alvarez Brown (Enrollment Management), Vice President Josephine De Leon (Equity and Inclusion), Vice President Julia Fulghum (R&D), Vice President Helen Gonzales (Human Resources), Vice President Paul Krebs (Athletics), Vice President Ava Lovell (Controller), Vice President Stephen McKernan (HSC Hospital Operations), Vice President Eliseo Torres (Student Affairs), Interim University Counsel Lee Peifer.

Regents' Advisors present: President Richard Wood (Faculty Senate), President Merle Kennedy (Staff Council), Victor Lopez for President Lissa Knudsen (GPSA), President-Elect Waneta Tuttle (Alumni Association), Chair Anne Yegge (UNM Foundation), President Maria Probasco (Parent Association), President Cynthia Stuart (Retiree Association).

I. Confirmation of a Quorum; Adoption of the Agenda, Regent Fortner

Motion passed, with no dissenting votes, to adopt the agenda (1st Chalmers, 2nd Abeita).

II. Approval of Summarized Minutes of the March 14, 2011 BOR Meeting and the March 28, 2011 Budget Summit

Motion passed, with no dissenting votes, to approve the summarized minutes of the March 14, 2011 BOR Meeting and the March 28, 2011 Budget Summit (1st Koch, 2nd Gallegos).

III. Presentation on the History of the Presidential Searches at UNM in the context of Open Records Statutes, Kent Walz and Jim Dines, Albuquerque Journal

Mr. Walz: There were two major legal actions involving Presidential searches at UNM, both brought by the media and the New Mexico Foundation for Open Government. The core issue in both cases was the degree of transparency the process would have and whether the applicable laws and rules were followed in the search process. The state and UNM have come a long way since the 1990s. The BOR has played a key role in adopting transparency policies recently, including one that clearly states, "Application material submitted by candidates for employment at UNM are public records and are subject to inspection in accordance with the Inspection of Public Records Act (IPRA)." University presidential searches are different: New Mexico law makes a specific exception requiring that just five finalists be made public, rather than all applicants. One theme that emerged from the court cases was that UNM's closed searches, in the eyes of many people, lacked credibility and legitimacy. There were lingering concerns that outstanding candidates nobody heard about were passed over for unexplained reasons. Too often the "finalists" who were disclosed failed to include ethnic minorities or women. The stated reason was, "No one in those categories rose to the level required." In the end, advocates argued that "sunshine" was the only way to insure a fair shake. Another myth dispelled was the notion that you cannot attract quality candidates in an open search. Search firms benefit greatly from a secret candidate pool and special interests can more easily advance candidates in a secret process.

Mr. Dines: You had a very successful search a few years ago that brought you President Schmidly. There was nothing that was raised that caused problems. The purpose, today, is to talk about some of the problems that did arise in the past so that, inadvertently, those same problems don't occur.

I started in the area of open searches 1990 in the law suit we call UNM #1. In the first case, initially the board had agreed to present five to twelve candidates as finalists. They then flew fourteen candidates to Dallas, along with the full search committee. They came back and announced publically, without any further discussion, they'd only found two qualified candidates, take it or leave it. At least that was the public perception. I took testimony from several presidents that had been through at least partially open searches, where their names had to be out early in the search. In all those cases, none had a problem entering their name knowing it was going to be made public. The conclusion we arrived at was there has never been any empirical data supplied that you don't get good candidates by openness. The other conclusion was that the beneficiaries of closed searches are head hunters and the candidates themselves: the same names can be submitted for search after search. This case resulted in a consent decree being entered and attorney fees being paid by the university. That consent decree said, basically, if there are two or more search committee members and/or regents in the interview process then, at that stage, that individuals name will become public. We operated under that consent decree until 1998.

In UNM #2, the search committee, in a private meeting, compiled a list of standardized questions to be asked of every candidate. A search committee member taped each of the candidate's responses, came back to the committee and said they could listen to it because there weren't two or more members present at the interviews. Mr. Dines said, "I am not taking the position, at all, that this was done intentionally to avoid the law." The judge believed, at that time, that the consent decree had been violated, that Mr. Dines' clients would prevail at trial and issued an injunction against the university in the search.

Next, at the Special Session of the Legislature that Governor Johnson was going to call, there was to be a bill proposed that would limit the amount of information that had to be divulged on behalf of a university search. That led to a discovery that there had been a meeting of the regents. We believed this was a violation of the Open Meetings Act because they had discussed public policy and going to the legislature, going to Governor Johnson to get the bill passed. The bill was passed and that's what we have now: at least five candidates to be disclosed publically and a time limit on when that disclosure is supposed to occur. Since then, we've had President Schmidly's search where there were no problems and we had an excellent pool of candidates. We find the system does work.

NMSU had to stop their search because, as I understand it, they had an Interim President who decided to become a candidate. That was contrary to the rule that had been passed by the board. The regents then announced that she was the first female President of the university. The regents said they had four other candidates who withdrew because they didn't want their names to become public. After he had left the board, the board president said he believed the candidates withdrew because they believed the Interim President had an inside track for the job. So it wasn't the openness that caused those candidates to withdraw. NMSU had to stop their search and begin all over again.

What have we learned and what are common themes? There has been no empirical data that you can't get good candidates in the open.

In Farmington, we took the position that the applications for the city administrator should be open, under the law. The city took the opposite position. The trial judge and the Court of Appeals confirmed that those should be public.

With the governmental agencies that are involved in these searches, the problems have been meetings that were not conducted as open meetings, unintentionally. Because we have many

people serving in these positions that are from private business, they forget they are working for the public. Taxpayer dollars will be paying the individuals hired for these positions.

As people become more educated in this area of openness, they become more comfortable with it. They begin to realize that what they've been hearing are myths, not backed up by empirical data. Besides, "Wouldn't you want to know that your sitting president that you're working with, that is sitting in on these meetings, is on the move?" I think the taxpayers, the regents or commissioners are entitled to that knowledge. At some level, with the openness that's required, at least that does get out. I think that what we see are that these positions are transitory in nature, there are very good excellent people who are qualified to do them, there are many out there, and by continuing to do it openly, like you did a few years ago, I think the University will continue to get better with the candidates that will come down here. I think this is a plum job, this is a wonderful university, and I commend you for the invitation to speak with you like this, not in an adversarial role, but in an educational, informative role coming from one who is very proud to be from this university.

In response to Regent Fortner, Mr. Dines said the time limits are found in paragraph B of the Exceptions area and it says, "...at least 21 days before the date of the meeting of the governing board of a public institution of higher education at which final action is taken on selection of the person for the position of President of the institution, the governing board shall give public notice of the names of the finalists being considered for the position." It goes on to say, "The board shall consider in the final selection process at least five finalists. The required notice shall be given by publication in a newspaper of state-wide circulation and in a newspaper of country-wide [sic] circulation in the county in which the institution is located. Publication shall be made once and shall occur at least 21 days and not more than 30 days before the described meeting."

Regent Chalmers asked what would happen in one of the five finalists withdrew between the notice being given, as required, and the meeting at which action will be taken. Mr. Dines responded, "As I would interpret it, because you have announced the five finalists and the public would know who you drew from, it would seem to me, as I would perceive it, you would have complied with the request and you would have informed the public of who you were nominating as finalists. Because you have no control over someone else. I think where we might get into trouble is if there were some kind of consistent pattern as to the reason for a candidate or candidates withdrawing."

Regent Fortner asked if there was another 30 day time limit. Mr. Dines said it was only the publication notice, which has to be made not more than 30 days before the meeting.

Regent Koch asked if the regents had five candidates, but just before notice was given, for whatever reason, we had fewer than five, would the search have to begin all over again? Mr. Dines said it would just have to continue. Mr. Dines said, "As I interpret it, what is really necessary is the public finding out the profile of those five finalists." Regent Koch asked that if we have four candidates we consider finalists, but none of the other applicants are up to that level, then would we have to find another candidate who would be considered a fifth finalist? Mr. Dines said, "That would be correct. I think what you would find is ... in the size of the pool that you will have you will probably have four, five, six and seven who would be pretty close. But that would be correct. The other part is, in the past ... one of the positions that universities have taken is, 'We've promised confidentiality. We can't go back on our word.' Of course, you can't promise what the law doesn't allow you to promise. Nowadays, when you start this process, everybody can be informed this is the law of New Mexico, you can provide it to them. 'Please understand we will have to comply with this and your name, if you're one of the top five, is going to be announced publically.' People in the pool understand the process better now, too, so they don't expect confidentiality all the way to the end. We end up with the really serious people who are willing to come forward at the end and keep their name in the hat."

Regent Gallegos clarified that the statute requires at least five finalists. The regents could have ten finalists if they had that many qualified candidates. Regent Gallegos asked, "Does the statute supersede or do we have to be particularly cognizant of the court decisions in addition?" Mr. Dines responded, "The statute has preempted in the area of Presidential searches. The case I referred to, with the City Administrator, clearly the language of that case that is the law of New Mexico at this time, would cover a Presidential search and would have all applications be open. But because of the preemption, if you will, of the legislative act, the Presidential search is not impacted by that decision. We are operating under is the statute and IPRA, itself, and the Open Meetings Act."

Mr. Dines: "The statute does not speak to the process of the search committee, however, in the settlement agreement, in UNM #2 the petitioners ..." (end of tape) "...pertaining to candidates in private. The search committee is covered in that regard. If the search committee is going to be discussing matters of Public Policy, something other than the limited personnel issue of the applicants, that would be a different story. But if they're discussing the pros and cons of the applicants under that agreement, then they can do that in private."

IV. President's Administrative Report, President David J. Schmidly

President Schmidly gave a presentation on the partnerships UNM has forged with diverse educational entities in and out of state. Some of those are: public schools in Albuquerque and each of the communities where UNM's branches are located; the pueblos, tribes and Navajo Nation; community colleges in and out of state; research partnerships with Kirtland Air Force Base, the national labs and federal agencies; and partnerships that connect the public and private sectors to improve job opportunities and recruitment in New Mexico.

We convinced PNM to convert its Chair at UNM to a Chair to look at K through 20 collaborations and we have received a grant from the Coalition of Urban-Serving Universities to look at strategies for creating an educational framework. In Rio Rancho, our university building is right next door to the new CNM building, right next to that is our new teaching hospital and the new high school is about ¼ mile away. The high school is organized into academies, one of which is in the health sciences area. Through that partnership, we could take students in the 9th grade that have an interest in health sciences areas, work with them through the CNM nursing curriculum, into our nursing curriculum, into internships and opportunities at our hospital, and, hopefully, a full time career that will keep those young people in New Mexico.

There is a partnership between the Los Alamos branch and Bernalillo Public Schools. The gateway program between UNM and CNM will work with students that might not be able to meet UNM's higher admission requirements. In the 2+2 program, students do two years at a specific community college (in or out of state) then transfer to UNM and complete two years and, hopefully, get a degree in four years. We have completed transfer agreements that outline exactly what the students should take so there's no loss of credit.

We are offering a four year Bachelor of University Studies degree through our Extended University. We have those agreements in place with many two year colleges in the state and hope to extend it to all.

We have updated our MOUs with all nineteen Pueblos, with the Jicarilla and Mescalero Apache tribes and with the Navajo Nation. The MOU tuition scholarship now extends to Native American graduate students as well as pertaining to our branches. We will be able to work directly with Kirtland Air Force Base and the Department of Homeland Security. We've created New Mexico Match to match UNM graduates with New Mexico employers for those who would like to return to New Mexico to work. Our partnership with Mesa del Sol is picking up again.

President Schmidly assured Regent Gallegos that the MOUs specify the course credits taken at community colleges in the 2+2 program that will transfer to UNM.

Regent Hosmer joined the meeting via conference telephone at this time.

V. Comment from Regents' Advisors

Faculty Senate, Richard Wood, President. The faculty is working on several projects building on UNM's partnerships that Dr. Schmidly presented. There are many outstanding initiatives taking place at UNM. Inviting the gentlemen from the Journal is an outstanding way to begin the Presidential search.

- **The Search Committee for the Interim Provost has selected three finalists and expects to make its recommendation to President Schmidly by the end of next week.**

Staff Council, Merle Kennedy, President. The Staff Council is still working with the administration for some relief to staff. It is also involved in the Provost search.

GPSA, Victor Lopez for Lissa Knudsen, President. Jamie Roybal is the President-Elect of GPSA. More than 2/3 of those voting in the recent GPSA election opposed using student fees for a new rec facility. GPSA believes the new President should be allowed to pick his or her own team to effectively lead the University.

UNM Alumni Association, Waneta Tuttle, President-Elect. The re-opening of Hodgin Hall (the Alumni Center) will be held during Homecoming, which will be held Sept 20-24, 2011. The Mirage will not be published this spring, to save money, but it will be published in the fall.

UNM Foundation, Anne Yegge, Chair. In this report the Foundation has reported on each endowment separately as requested by the BOR. Ms. Yegge presented the video of the Changing Worlds Campaign celebration held on April 14th.

UNM Parent Association, Maria Probasco, President. The scholarship committee has received 152 scholarship applications, 125 from undergraduate students. The 3rd annual Appreciation Reception will be held May 20th at Lobo Village.

- **The scholarship committee will be announcing the recipients of the thirty \$1,000**
- **scholarships next week.**

UNM Retiree Association, Cynthia Stuart, President. Ms. Stuart read several changes to the proposed modifications to UNM BPPM Policies #3600 and #3700 which were recommended by the Association Board. The recommendations will be posted to the Campus Comment web site. The Annual Meeting will be held May 19th at Continuing Education. There will be some changes to the by-laws and the number of board members will be increased.

VI. Comments from Regents

Regent Chalmers commended President Schmidly on his leadership of the University over the last four and a half years.

Dr. Schmidly announced that Provost Ortega will be leaving June 30th to become the Vice President for Academic Affairs at the University of North Carolina. Vice President Brown (Enrollment Management) will be leaving July 31st for Cleveland State University. Dean Claiborne (Arts & Sciences) will be leaving July 31st to become Provost and VP for Academic Affairs at Florida Atlantic University.

- **Regent Fortner requested a BOR meeting be scheduled for the 2nd Tuesday in June. If that date is not available, a meeting should be scheduled for the 2nd Tuesday in July.**

VII. Approval of FY2011/2012 UNM Consolidated Budget, AVP Andrew Cullen & VP Ava Lovell

AVP Cullen presented the Main and Branch Campuses Operating and Capital Budget Plans for 2011-2012 (<http://www.unm.edu/~budget/consolidatedbudget/>). UNM is one of the four or five biggest economic engines for the state. Approximately 13.6% of revenue comes from the state, the balance, just shy of \$1.8 billion, is self-generated. Mr. Cullen explained that revenues for Athletics are shown in several categories: RPSPs shown in the state operating appropriation, I&G funds that support maintenance and utilities, student fees, and sales and services (just over 85%).

- **Regent Koch requested that, in the future, when Athletics Expenditures are represented in graphics or reports, that Athletic Revenues be broken out as well, to give a complete picture.**

Mr. Cullen said UNM adopted a three year plan to remove one-time funding from the budget. This budget uses \$9.8 million, down about a third from last year. We will continue that plan for the next two years to completely eliminate one-time funds.

President Schmidly noted the impact of federal reductions will be felt beginning next year.

Dr. Roth said that cuts to Medicaid and Medicare being contemplated could change the UNM Hospitals financial picture substantially. Over the next two years it could mean a reduction of more than \$50 million. That doesn't include what could happen with commercial insurance which often tracks what happens in Medicare and Medicaid. There would not be less work, just less funding for it.

VP Lovell gave the presentation of the Health Sciences Center FY 2012 Budget. Tobacco settlement revenue (\$4.1 million for FY11, \$3 million for FY12) is included in the State Appropriations – RPSPs line item. The amount is going down, possibly because some of the companies that are paying the settlement are challenging in court the states' spending of the settlement dollars. Dr. Roth said they had just been notified of additional cuts of \$150 thousand for FY11 and \$75 thousand for FY12. There wasn't time to build those numbers into this budget presentation.

Ms. Lovell noted that transfers from HSC to Main Campus for services (e.g., fire and police) are shown in Revenue Detail on the Allocations and Transfers line. This number is a net of funds transferred from Main Campus (the HSC share of I&G funding, for example) and what HSC transfers back. HSC pays the Physical Plant department for nearly all of its utilities. The HSC state appropriation for FY2012 was reduced 10.8% from the FY2011 budget. I&G funding was cut 3.4% and the RPSPs were very heavily cut.

Regent Gallegos stated that funding for UNM Libraries and Johnson Gym, as well as the effect of the ERB "swap" on employees, still needs to be addressed.

Regent Koch said the Regents could have raised fees to supply funding needed for libraries, IT and Johnson Gym. Those things will have to be funded, as well as trying to help lower paid employees. He stressed that this budget still includes \$9.8 million in non-recurring money.

- **Regent Gallegos directed the F&F Committee to continue to work to emoliate the ERB "swap," at least for employees paid between \$20 thousand and \$50 thousand annually.**
- **Regent Fortner directed the administration and the F&F Committee to work on the funding for the libraries and Johnson Gym.**

Motion passed, with no dissenting votes, to approve the FY2012 Budget (1st Chalmers, 2nd Gallegos).

Approval of FY2010/2011 Budget Adjustment Request for Submission to the Higher Education Department, AVP Andrew Cullen & VP Ava Lovell

AVP Cullen presented the BAR for Main and Branch Campuses. The purpose of the BAR is to reflect the year-end projections of revenue, transfers and expenditures. It protects the University from exceeding its expenditure authority. For 2010-11 the expenditure authority was the \$2.114 billion budget that the regents approved this time last year. We're increasing that by \$63 million. In general there are four categories these adjustments fall into: changes in revenue projections, the use of balance for one-time funds because of timing at the end of the year, increases in grant and contract expenditures, and miscellaneous. A large adjustment was transferring Safety and Risk to Institutional Support. The largest increase across the board for Main Campus has to do with restricted funding, federal stimulus funding for Pell Grants and student aid, for research and for I&G Utilities.

VP Lovell presented the BAR for the HSC and UNM Hospitals. The HSC is asking for approval of a total net change of \$355 thousand. UNM Hospitals are going to increase their revenues by \$16 million and increase their expenditures by \$12 million, giving them a net change of \$4 million.

Motion passed, with no dissenting votes, to approve the FY2011 Budget Adjustment Request (1st Chalmers, 2nd Gallegos).

VIII. Regent Committee Reports

Audit Committee, Regent Gene Gallegos, Chair

A. Regents' Audit Committee Report, 4/14/11 Meetings

- **Regent Gallegos suggested that the BOR follow an Action Item oriented Agenda in the future to facilitate follow-up on actions or requests for information during BOR meetings.**

Two audits were done by RDW on Hospital items and they were unremarkable.

The third audit was on the Safety and Risk Management Department. The committee returned that initial audit and directed Internal Audit do a full audit, rather than relying on samples. As a result, the financial administration of that department has been moved to EVP Harris' purview. It may be that the functions of this department should be contracted out.

Mr. Harris has set up an implementation plan for each of the 78 to 80 recommendations of the audit. The plans are to be implemented by August 1st. The responsibility for finance in the Safety and Risk Management Department has been transferred to VP Lovell and the Controller's office. No funds were missing but it showed very poor cash management. There are some things that could be out-sourced. This should be considered over the summer. Regent Koch clarified that UNM pays a premium to the state for the various insurance coverages through the State Risk Management Pool. Claims paid out by the pool are covered by higher premiums in ensuing years.

Regent Gallegos noted that if there is water damage to a department, for example, the department has to pay for it. They expect to be repaid from claims filed by Safety and Risk. They can't afford to wait over a year for Safety and Risk to reimburse them.

Dr. Wood urged that the university go slow on privatizing any of the responsibilities of the Safety and Risk department.

EVP Harris noted that, by law, UNM must participate in the State Risk Management Pool. UNM is the largest participant in the state Medical Malpractice Plan. Mr. Harris said UNM cannot self-

insure for Workers' Comp per state law. He pointed out that being a part of a larger pool is advantageous should UNM have very large claims to pay out. The state has balances to cover the losses immediately with UNM paying higher premiums in following years to, in effect, reimburse the state. There are reasonable services available in New Mexico concerning safety and loss control and they should be evaluated.

IX. Public Comment (none)

X. Vote to close the meeting and to proceed into Executive Session

Motion passed, with no dissenting votes, to proceed into Executive Session at 11:55 p.m. (1st Chalmers, 2nd Wellman).

XI. Executive Session

- A. Discussion and determination where appropriate of threatened or pending litigation pursuant to Section 10-15-1.H (7) NMSA (1978).
- B. Discussion and determination where appropriate of limited personnel matters pursuant to Section 10-15-1.H (2) NMSA (1978).

XII. C. Vote to Re-open the meeting.

Motion passed, with no dissenting votes, to return to open session at 12:55 p.m. (1st Chalmers, 2nd Wellman).

- D. Certification that only the matters described above were discussed in Executive Session.

XIII. Adjournment

Motion passed, with no dissenting votes, to adjourn the meeting. At 12:57 p.m. (1st Chalmers, 2nd Wellman).

Regent Jack L. Fortner
President

Regent Carolyn J. Abeita
Secretary/Treasurer

The University of New Mexico
Board of Regents' Meeting
May 10, 2011, 9:00 a.m.
Student Union Building Ballroom C
Meeting Minutes

Members present: President Jack L. Fortner, Vice President Don L. Chalmers, J.E. "Gene" Gallegos, James H. Koch, Jacob P. Wellman (Quorum).

Members unable to attend: Secretary-Treasurer Carolyn J. Abeita, Bradley C. Hosmer.

Administration present: President David J. Schmidly, Executive Vice President David Harris, Provost Suzanne Ortega, Chancellor Paul Roth, Vice President Julia Fulghum (R&D), Vice President Helen Gonzales (Human Resources), Vice President Paul Krebs (Athletics), Vice President Ava Lovell (Controller), Vice President Eliseo Torres (Student Affairs), Sr. Associate University Counsel Lee Peifer.

Regents' Advisors present: Incoming President Tim Ross for President Richard Wood (Faculty Senate), President Merle Kennedy (Staff Council), President Lissa Knudsen (GPSA), President Lazaro Cardenas, Jr., President Steve Chreist (Alumni Association), Chair Anne Yegge (UNM Foundation), President Maria Probasco (Parent Association).

I. Confirmation of a Quorum; Adoption of the Agenda, Regent Fortner

Motion passed, with no dissenting votes, to adopt the agenda at 9:00 a.m. (1st Chalmers, 2nd Gallegos).

II. President's Administrative Report, President David J. Schmidly

President Schmidly said he was yielding his time to the team that produced the institutional spot "Big Moments."

Typically, the 30 and 60 second institutional spots shown on television, particularly during football and basketball games, are produced by professional marketing firms at a cost of \$100 thousand to \$200 thousand.

UNM took a different approach and involved the students in our Interdisciplinary Film and Digital Media Program (IFDM), their faculty sponsors, and staff in the University Communication and Marketing Department in producing our spot, and they did it for under \$2,500. It is very well done.

President Schmidly introduced the students instrumental in the production as Emily Kennedy, Andrea Salazar, Jason Ponic and Tofer Flowers. The faculty mentors were Nick Flor from IFDM and Karolyn Cannata-Winge from Communication and Journalism. Cinnamon Blair, Director of Marketing and Tess Goering, Marketing Associate were also commended for their work on the spots.

Ms. Blair said this program, in its second year, is an opportunity for undergraduate students to collaborate with other student across disciplines, with faculty, with other departments, and with staff to create a 30 second spot that represents the image of the University of New Mexico. It is reinvented with new eyes every year. It's also an opportunity for faculty to work across disciplines and it gives us the opportunity to look at UNM in a fresh way every year. It is also a great opportunity for our professional interns.

Ms. Goering stated that every aspect of the spot involves students in some way. We involved a graduate student from Anthropology, student actors from the Department of Theater and Dance, a student composer created the background music and IFDM students volunteered their time on anything for which we needed help. She stressed all the students were volunteers who had no

expectation of reward. Some groups that partnered on the project were Athletics, the Office of the Secretary, University Libraries, KNME and Mesa del Sol.

The 30 second spot is currently airing on the CW network statewide and will be shown all summer. The 60 second spot is available now at <http://promo.unm.edu>. (The 30 second spot was played for those in attendance, followed by the 60 second spot.)

Televising the spots shown during athletic events on The Mountain and CBS College Sports is free.

III. Comment from Regents' Advisors

Faculty Senate, Tim Ross for Richard Wood, President. The report sent by Dr. Wood noted that the perception of shared governance has improved greatly. However, shared governance is new and fragile. We hope that the ideas, trust and momentum developed this year will be transmitted to the new leadership in student, staff, faculty and other Regents' Advisor groups in the coming year.

- **The University is required, in June this year, to submit a report to the Higher Learning Commission that addresses the progress made in shared governance.**
- **The Faculty is also required to submit their own report to the HLC discussing the matter of shared governance.**
- **We are in a search for an Interim Provost.**
- **We will be beginning a search for an Interim Dean for the College of Arts and Sciences.**
- **The Faculty Senate is working on a draft proposal to reorganize the current nineteen committees into six councils.**

Staff Council, Merle Kennedy, President. Mr. Kennedy thanked the BOR and the administration for the courtesy and help extended to the Staff Council and to him, personally. He noted that Consent Agenda Item H would add the Past President to the Staff Council Executive Committee for one year, in an effort to bring continuity to the council leadership. All 30 seats up for election in May were filled and the representatives will take office May 17, 2011. Mary Clark, President Elect, will stand for election as President.

GPSA, Lissa Knudsen, President. Ms. Knudsen enumerated many accomplishments of GPSA over the last couple of years. Ms. Knudsen introduced the GPSA President-Elect, Katie Richardson, a PhD student in Physics.

ASUNM, Lazaro Cardenas, Jr., President. Mr. Cardenas introduced Incoming President, Jamie Roybal.

UNM Alumni Association, Steve Chreist, President. Mr. Chreist noted the many activities planned by the Association to celebrate Commencement. The Board of Directors will meet June 3rd and do a tour of Lobo Village. He noted that the new President will be Waneta Tuttle, who received her Bachelor's, Master's and PhD from UNM.

UNM Foundation, Anne Yegge, Chair. The Foundation has had significant accomplishments over the last couple of years. Ms. Yegge will continue as Chair of the Foundation.

UNM Parent Association, Maria Probasco, President. Ms. Probasco recommended approval of Consent Agenda Items E & F, regarding the Association's Bylaws and MOU. University Counsel has reviewed the documents. Ms. Probasco will be serving as President of the Association for one more year.

IV. Comments from Regents

Regent Fortner detailed numerous examples, cited in a letter from Scott Carruthers, of the Daily Lobo's op-ed and front page coverage which have resulted in negative feedback from the African American community at UNM. The apparent cultural insensitivity displayed by the Daily Lobo is concerning, not only to the African American community, but to the UNM community at large.

Regent Fortner said this kind of coverage has a huge affect on the university's ability to recruit diverse students, diversity of thought, diversity of race, and on efforts to retain those students. Regent Fortner noted that the Daily Lobo has apologized for the recent editorial cartoon loosely based on the Lion King.

- **Regent Fortner and President Schmidly will be issuing a joint statement regarding this matter.**
- **The BOR and President Schmidly will be working with Scott Carruthers on this issue and to improve relations.**
- **An attitude of fear is not acceptable at UNM, just as racist remarks are unacceptable.**
- **The report Dr. Schmidly received from the consultants employed to study the issue recommended strategies UNM might consider to improve the climate for African Americans on the campus. It will be distributed to Scott Carruthers and to faculty, staff and student government groups on campus this week.**

V. **Public Comment – Agenda Items (none)**

VI. **Regent Committee Reports**

Academic/Student Affairs & Research Committee, Student Regent Jacob Wellman for Regent Bradley Hosmer, Chair

Consent Items:

- A. Approval of Spring 2011 Degree Candidates
- B. Approval of Doctorate of Nursing Practice
- C. Approval to Create Maralyn S. Budke Endowed Chair, Otolaryngology, HSC SOM
- D. Approval of Naming of Dr. Michael Spafford as Maralyn S. Budke Endowed Chair
- E. Approval of Revised UNM Parent Association Bylaws
- F. Approval of Revised UNM Parent Association MOU
- G. Approval of ASUNM Constitution
- H. Approval of Amendment to UNM Staff Council Constitution

Motion passed, with no dissenting votes, to approve all items on the Consent Agenda (1st Wellman, 2nd Chalmers).

Finance and Facilities Committee, Regent Don L. Chalmers, Chair

Consent Items:

- A. Disposition of Surplus Property-Main Campus-lists dated March 18, 2011 and April 15, 2011
- B. Disposition of Surplus Property for UNMH-list dated April 2011
- C. Approval of Capital Projects:
 - 1) UNMH Children's Psychiatric Center
- D. Approval of Non-endowed Funds for Endowment for Volleyball Scholarship
- E. Approval of Naming Request Opportunity at Lobo Field
- F. Approval of Nominations to UNMH Boards
 - Sandoval Regional Medical Center Board, Michael Olguin
 - UNM Hospital Board of Trustees, Michelle Coons
 - Carrie Tingley Board, Neall Evan Doren, Sarah Nelson House
- G. Approval of BAR for Tobacco Settlement Funds Rescission
 - **In developing next year's budget, keep in mind that some Tobacco Settlement Funds may be rescinded.**
- H. Approval of Fiscal Watch Report and Monthly Consolidated Financial Report

Motion passed, with no dissenting votes, to approve all items on the Consent Agenda (1st Chalmers, 2nd Gallegos).

Action Items:

- I. Discussion and Approval of Remediation Project at Pueblo Hall-UNM Taos Branch Campus, Advance of Funds and Repayment Plan, Vahid Staples, Budget Officer.

Motion passed, with no dissenting votes, to approve the Remediation Project at Pueblo Hall-UNM Taos Branch (1st Chalmers, 2nd Gallegos).

This project will replace the HVAC and make building envelope repairs at Taos Pueblo Hall. The heating and cooling systems are inadequate and extremely noisy. The mechanical systems are deficient. The total budget is \$1.8 million. \$250 thousand is from 2008 General Obligation Bonds, \$250 thousand will come from Taos Building Renewal and Replacement Funds and \$1.3 million will come from 2007 UNM Bond interest earnings. That \$1.3 million is a loan to the branch that will be repaid over a three year period.

- J. Approval of Long-Term Ground Lease with ACC for Main Campus Student Housing Component II, Phase 1

Motion passed, with no dissenting votes, to conditionally approve the lease as presented, while giving final authority to Regent Gallegos and EVP Harris to address and finalize issues of wording and exhibits. (1st Chalmers, 2nd Gallegos).

Regent Chalmers noted that the ultimate goal of building new housing on campus is student success.

Walt Miller, representing the Student Affairs Division, said they have been working toward building more student housing on campus for ten years. Now, the division, working with students, Lobo Development and ACC, the focus is to significantly increase the number of students who live on campus. We had discussions about other ways to accomplish this, but the institutional funding is not available. We have learned from Lobo Village how to do better with our internal housing, both ACC and UNM housing. We did not increase the price this year and we are trying to hold down the cost to students on UNM housing to give students a choice.

Regent Gallegos asked about the flow of revenue from these ACC projects. Kim Murphy said that it is anticipated that the ground rent from Lobo Village will be available to the Student Housing operation for investment in our existing facilities.

Regent Gallegos said that in Section 4.10 of the new rental agreement it calls for reimbursement of expenses for outside counsel and so forth, but then there is a provision for \$745 thousand for "lost income." Mr. Murphy's response was that it is anticipated that that money would also go to the Student Housing organization as a bridge of revenue to support their operation from the transition from our current housing stock to the new housing stock after ACC's project is completed. It would not to the Real Estate Department. Regent Gallegos observed that the news article was inaccurate. Mr. Murphy said it was largely inaccurate in his opinion.

Matt Stein, ACC, presented updates on the site plan and architectural appearance of the project. Each building will have a main circulation core at the entrance to the building that will contain an elevator, stairwell, laundry and kitchen facilities, and study rooms. There are quiet residential hallways off the circulation cores. The total project area is 265 thousand square feet. The residential common area is just over 200 thousand square feet and non-revenue generating space is just under 25%, very efficient buildings. There will be 1,027 beds, hall niches where natural light will be introduced into the hallways, 19 balconies and two large-group study rooms and an academic space of approximately 2,000 square feet.

Mr. Stein told Regent Koch that this project plus Lobo Village will be a net gain of approximately 1,600 rooms when SRC L & M and Santa Ana go off-line. Mr. Stein said this university's BOR is unique in its involvement and review of the lease documents and it has resulted in a better document for both sides.

Regent Koch said this proposal has been thoroughly vetted by Lobo Development and the F&F Committee. The projects' revenues will fund repair and enhancement of UNM current housing stock which will certainly enhance enrollment.

Regent Chalmers said the purpose today is to work out some wording of the ground lease for Phase II and exhibits to finalize. "What I would ask for in the motion is to conditionally approve what we have in front of us, and give the authority to Regent Gallegos and VP Harris to put the final wording on this."

Regent Gallegos noted that, at the F&F Committee, he had expressed an objection to the financial terms, though certainly not to the goals or operational terms. His objection is that our rental is reduced if and when the project goes over-budget, so that we are, in effect, guaranteeing the rate of return for ACC. He does not believe that is a proper provision, but the majority does and he respects that majority.

Regent Chalmers said that the document guarantees a floor amount, what UNM will get based on the fair market value. It does not, in effect, guarantee the rate of return to ACC.

Regent Fortner noted that there is an implied covenant that ACC will do their best.

Regent Wellman expressed appreciation to everyone involved for the immense amount of work done on the ACC projects.

Information Items:

K. FY11/12 Strategic Budget/Cost Containment Process

AVP Andrew Cullen summarized a letter submitted by himself and Dr. Wood. Strong elements of the process were shared governance, engaging students, faculty, staff, alumni and parents. It was mission-focused. Elements to improve: the student fee process, finding more ways to engage students in the budgeting process. In the larger picture, there is a certain point at which further cuts will erode the mission of the university and that case may need to be made to the public and in Santa Fe.

Regent Gallegos asked for clarification of the bullet under "elements to improve" which states that the final decision to pass the 1.75% ERB swap on to all staff and faculty employees was "...a product of direct political influence on what should be autonomous university decision making."

Mr. Cullen said it was a feeling on campus, because we had talked about holding harmless employees from the ERB swap and because we had gained a great deal of consensus on that issue. There were things in the paper, and the wave of public sentiment sweeping the country relating to public employees and the benefits they receive, fed the feeling that the "powers that be" wanted to shift that responsibility from the state to the employees. The perception is that public pension plans are too generous and this was a way to begin to make that shift. The university felt that, even if it could hold employees harmless, it would not contravene the legislature's intent.

Regent Fortner noted that the university gets input from many sources in coming to decisions. There are rarely "autonomous" university decisions.

President Schmidly said he believed there was a perception that we were going to raise tuition to offset the "swap" and thus we were not only disagreeing with the intent of what the legislature had passed and that the governor had signed into law, but that we were attempting to raise tuition to offset that. That was never the intention. We were simply trying to assist our employees who have not had raises for several years and are doing more with less, as we have reduced our work force.

Health Sciences Board, Regent Carolyn J. Abeita, Chair (no report)

Audit Committee, Regent Gene Gallegos, Chair (no report)

VII. Public Comment (none)

VIII. Vote to close the meeting and to proceed into Executive Session

Motion passed at 10:30 a.m., with no dissenting votes, to proceed into Executive Session (1st Fortner, 2nd Chalmers).

IX. Executive Session

- A. Discussion and determination where appropriate of threatened or pending litigation pursuant to Section 10-15-1.H (7) NMSA (1978).
- B. Discussion and determination where appropriate of limited personnel matters pursuant to Section 10-15-1.H (2) NMSA (1978).
- C. Discussion and determination where appropriate of the purchase, acquisition or disposal of real property pursuant to Section 10-15-1.H (8) NMSA (1978).

X. D. Vote to Re-open the meeting.

Motion passed at 11:58 a.m., with no dissenting votes, to return to open session (1st Fortner, 2nd Chalmers).

- E. Certification that only those matters described in Agenda Item IX were discussed in Executive Session and, if necessary, final action with regard to those matters will be taken in Open Session.

Motion passed, with no dissenting votes, to certify (Fortner, Chalmers, Koch, Gallegos, Wellman).

XI. Adjournment

Motion passed at 11:59 a.m., with no dissenting votes, to adjourn the meeting (1st Fortner, 2nd Koch).

Regent Jack L. Fortner
President

Regent Carolyn J. Abeita
Secretary/Treasurer

UNM Board of Regents Special Meeting Minutes

Tuesday, May 31, 2011, 8:30 a.m.

University House

Present: Regents Jack L. Fortner, President, Don L. Chalmers, Vice President, Carolyn J. Abeita, Secretary/Treasurer, James H. Koch, J.E. Gene Gallegos, Bradley Hosmer.

Unable to attend: Student Regent Jacob P. Wellman

Others in attendance: Dr. Breda Bova, Chief of Staff to the President, Carolyn Thompson, Strategist Office of the President, Susan McKinsey, Director University Communications, James Monteleone, reporter Albuquerque Daily Journal, Richard Wood, Faculty Senate President Richard Moore, Los Jardines Institute.

1. Confirmation of a quorum and adoption of the agenda at 8:44 a.m. Motion:
1st Fortner 2nd Chalmers

Setting goals for the final year of President Schmidly's Presidency:

Regent Fortner consulting with President David J. Schmidly agreed meeting will remain open until the performance review.

Rich Wood: talks about the growing success and momentum of shared governance.

DJS: outlines items in book, invites comments.

Gallegos: how was the interim provost selected?

DJS: outlines process, with interim to serve at least one year.

Gallegos: agreement to select recommendation of the committee.

DJS: appoint who I want to, but did listen to committee, chose the best candidate.

Met with selection committee which strongly recommended Professor Abdallah and reviewed hundreds of comments from the faculty; process of due diligence and decided it was in the best interests of the University to choose Professor Abdallah.

Gallegos: contract?

DJS: one year.

Koch: one year contract, so new president will not be bound by the contract and will in no way prohibit new president.

Chalmers: practical standpoint, new president will not have a new provost on day one, but will practically not want another interim.

Gallegos: needed clarification that nothing binding beyond one year.

Hosmer: what were the top criteria?

DJS: strong academically and focused on academic mission; energy vision and hit ground running; interviews made clear Abdallah met criteria and had overwhelming recommendations of the faculty All other VPs on one year contract so new president will have opportunity to shape the organization.

Wood: looking for transformative leadership.

DJS Goals:

13 key objectives to work on as President.

Goal one: Mission, Vision, Strategic Plan

Officially adopt the campus master plan; shared with neighbors for transparency; intention to have plan brought forward for approval after the beginning of fiscal year.

Hosmer: what is content?

DJS: outlines physical development.

Gallegos: premature to adopt at next meeting as we need to get more presentations and see what is really there especially for new Regents.

DJS: wait for new president for adoption?

Koch: master plan started 8 years ago, so important to look at it, but we want it finished not wait for new president.

Chalmers: good to show candidates for the president, too long already, need to be adopted this year

Doing things backwards; sometime during the year

Fortner: review it in June/July and adopt around September

DJS: submit to board for review

Koch: Board of Finance critical because of lack of master plan.

Hosmer: useful to put in date so regents can track; try to make goals more specific with date and description and makes a better guidance document; going into last year, what is your vision for the University? Need more attainables to determine direction for next several years.

Chalmers: vision like being invited into AAU as first minority serving University- outcome very measurable.

DJS: developed strategic framework when I got here; AAU invitation part of vision with benchmark criteria; goal areas of student success out of line of AAU because of low graduation rates and recruiting national scholars where we have made a lot of progress; downward slide on graduation rates stopped but minimal.

Research and excellence of academic reputation – exceed many in AAU highest research of any Hispanic serving institution.

Healthy Communities - major HSC serving Hispanic and native populations.

Engagement and economic development role of flagship.

Goals all build off of the strategic framework and vision.

As result of today's conversation, flesh out goals and bring back to Regents in August.

Hosmer: helpful to keep specific criteria like from AAU which have value.

Chalmers: should have those benchmarks in front of us at all times.

Hosmer: these should become decision criteria.

DJS: didn't have current financial constraints since budget has impacted growth of the faculty.

Hosmer: not possible for U to have concrete objective with parts of goal that require funding.

DJS: lots of strategies; new admission criteria implemented this fall; could be jump of 2 – 3 percent in grad rates reported this fall; long range challenge.

Goal Two: Accountability

Progress made in shared governance in strategic budgeting; build on momentum and continue to improve on the process; strategic budget template.

Need to start process earlier; bogged down in tuition/fee discussion so need longer term process of setting those; advance progress this year, far into fall semester, with longer term look.

Board's tuition and fee policy that hasn't been revised in several years; working on revision to bring to the board this fall.

Fortner: what are you thinking?

DJS: what should be good starting point for a flagship; know tuition substantially below Arizona, Colorado, Texas; benchmark could be being 18-20 percent of those states since that is where per capita income is; then you look at what that can do.

Fortner: now lower than NMSU; is that a bargain or just getting by?

Chalmers: can we expect to deliver on promise without resources; true budget process is living within budget; don't spend what you want to spend; set tuition so that a parent can depend on what will have to pay, depending on tuition credit This totally changes budget process

Gallegos: fee process is broken not even on the chart compared to MWC SFRB will never recommend fees, we cannot starve departments that depend on fees; pacifying who is the loudest is no way to budget. How can budget when you don't look at grants and contracts? All dollars need to be part of the process.

DJS: move process to the fall; hard to predict F&A and restricted grant money but can look at average to see how much and how spent. Allocated that money to run research enterprise and some used to offset state cuts. Should take a look at F&A.

Fortner: SFRB lot of give and take but not done well because of needs not addressed. Do other MWC schools have SFRB?

DJS: not aware of any public institution that doesn't have input from students but final decision on central administration and the board

Fortner: getting lots of complaints and shouldn't they be directed to SFRB to see consequences?

DJS: need to advance process since this is a game of tradeoffs; one way to look at fees is to benchmark against peer institutions: at Oklahoma, tried not to have tuition and fees greater than average of Big 12 and legislature agreed to keep a certain level of funding but deal went south with economic downfall. But tried to get agreement with legislature to avoid large swings in funding.

Abeita: need to keep in mind when redoing tuition/fee policy Agree that parents need to know what the budgeting process is.

Koch: legislature won't change and tuition is a problem. Lottery scholarship is a factor. Need to look at tuition and change fee structure – level tuition and fees pick up other items. Need to adjust fees.

DJS: problem is that fees change dramatically depending on the degree.

Hosmer: big number needed for needed tuition and fees, but won't be readily accepted; so what is reasonable as annual figure for increase to put into budget process?

Gallegos: we have to be sensitive to the economic climate.

Hosmer: suggest possibility for policy – shorter term is the annual digestible increase longer term a rationally calculated structure. That approach gives us time to develop basis and gives us chance to build case for the University, and to earn increases it with better performance that has to be funded. Look what we've done.

DJS: need to look at tuition/fee policy and needs to be a gradual adjustment; exceptional circumstances; AASA committee works thru this through and forwards recommendations thru F&F and full board.

DJS: do Regents want anything done on organizational structure and title changes or wait for new President?

Gallegos: tough decisions made below VP level when departments non-functional. Need to make tough management decisions sooner rather than later.

DJS: three VPs put in abeyance. Not hard to move from VP structure.

Fortner: accountable to public and legislature and at this point, they are unhappy with top-heavy administration. Make recommendation to us on possibilities

Gallegos: not just cosmetic but economic.

Fortner: got to tell story about what people do and how they compare at other universities.

Chalmers: need to go forward on all fronts. New normal for funding but principles of lean management need to be looked at. Continued evaluation.

Koch: need to move ahead and reduce costs where possibly can

Fortner: with shared governance all recommendations must also be reviewed with faculty

DJS: progress being made for recommendations early in academic year.

Chalmers: take deeper dive into numbers of staff is that real?

Hosmer: consider adding implementation goals and include dates to strategic planning.

Goal Three: Academics and Student Success

Interim Provost needs to take look at operations of the provost office which has already begun

Koch: have had issues with provost office for two years. Make sure we see something in writing about what changes will be made.

Fortner: is anyone making a change?

DJS: Holder to leave end of June, with deputy provost to be chosen by provost and also interim, has to be internal search.

Koch: streamline budget in area where no real change has occurred. Look at both replacing and cutting costs. Try to streamline.

Abeita: will everything be on the table.

DJS: establish plan for Honors College. Current provost with plan before departure to be taken up by interim.

Hosmer: product and date? See phased plan by certain date?

Chalmers: overhead?

DJS: dean and new faculty.

Chalmers: from budget is this a good time.

Gallegos: not working from ground zero.

Chalmers: need to go going in the budget implications do we have the money?

Hosmer: Plan for Honors College across a few years, reinforces the need for a multi-year budget for UNM.

DJS: need to determine fate of University College. Preliminary plans supposed to come to me before departure of current Provost.

Abeita: need to know implications for current students including advising.

Hosmer: need date.

DJS: could see higher retention and graduation rates since closer to their degrees.

Fortner: what is wrong with having University College?

DJS: need evaluation to determine if producing intended results.

General discussion about the University College curriculum

DJS: propose that plans on Honors and University College come before AASA and Faculty

Senate needs voice on curricular issues.

Fortner: wants to know who else will have specific concerns?

DJS: have always focused on graduation rates; this has been biggest disappointment and biggest weakness at UNM.

Hosmer: useful to establish target numbers five to ten years out?

Chalmers: all goals important but not all goals created equal, need to prioritize.

Gallegos: this seems to be an area of shared governance since graduation rates depends a whole lot on the faculty.

DJS: faculty involved in development of plan with more advising, streamlined registration and enrollment. I do not see overnight changes.

Hosmer: do goals set here filter down thru the University to become output goal for provost, etc.?

DJS: late June/July senior executives have review of goals

Hosmer: evaluation of people responsible should be attached

Gallegos: economic drive so need to be some consequences

Chalmers: whole organization needs to live the goals

Hosmer: need to pick top two or three.

General discussion of graduation rates and strategies to implement.

Hosmer: would it not be useful to track remediation problem that tracks back to Colleges of Education?

DJS: partnerships with K-20 to work on issue and improve success of students in ABQ, Rio Rancho and Bernalillo; grant to help look for best practices for the pipeline; UNM should be in the lead.

Koch: partnership with CNM had pushback from University College; how is that working?

DJS: kicks off in full bloom with admissions; more students in Gateway (16 to 132).

Put student in best position to succeed Not elitist to say you should go somewhere else.

Gallegos: need three good goals, not 33.

Chalmers: consider a top ten.

DJS: allocation of \$2 million for new faculty and also salary compression

Gallegos: skeptical with economic situation if people coming in at much higher salaries.

DJS: allocate by college and needs for a plan that should be shared thru AASA.

Hosmer: academic activities more successful with output goals. Should these be established for academic mission – example is remediation as ultimate goal for college of education. Make academic activity more focused and effective.

DJS: goal 6 includes funding formula revision that includes outputs for workforce development also building in graduation and retention rate.

Hosmer: let's lead effort, not push but pull. Get HED on board.

Discussion of admission rates

Goal 3B – Research

Federal funding cuts of great concern, so developing bridge funding plans while grants being established; need startup funding for new faculty hires; job creation with the labs (OK State opportunity on solar).

Goal 4: Diversity of Leadership, Faculty and Staff

Equity and Inclusion assessment (one year to go)

DJS responding to Gallegos questions:

Created with DJS to address issue of lower performance in minority students and address faculty need for diversity. Seem some improvements in student success and recruiting but budget situation has caused us to reevaluate if this is the best most efficient way to achieve the goals

Hosmer: any other criteria for the evaluation?

DJS: better performance of some ethnic centers. Brought in outside consultants and conferring with African American faculty and leaders.

Fortner: office has also taken on advocacy role for students who feel they are discriminated against.

Chalmers: with budget, can we afford to have someone totally devoted to this function?

Goal 5: Community Engagement:

Strategy to educate citizens about flagship.

Work on report card of key indicators and what to do with them – output (Regent Hosmer suggestion).

Expand publication of Mirage.

Chalmers: should not be killing trees, so goal should be to expand reach through.

Internet; tweak toward efficiency and green UNM.

Fortner: goal to make campus paperless; electronic in twelve months.

Koch: need to incorporate with Foundation in order to get cost efficiency.

Evaluate UNM Today distribution to include possibility of Journal/New Mexican insertion.

Chalmers: need to move U toward electronic delivery to save money.

Goal 6: Legislative Role

Suggestion of Regents to eliminate tuition credit goal since it cannot be successful.

Chalmers: may not be realistic, students, etc. are bored with it.

Abeita: need for an education process.

DJS: would rather be able to explain credit to students.

Discussion tuition credit

Goal 6B – Federal Relations

Waiting to see how feds return to earmarking; highway bill; going to D.C. in June for Homeland Security program to propose for directed earmark – engage in planning.

Hosmer: let's make the goal say "achieve" a partnership.

Goal 7: Fundraising

Mentioned major gift that needs to be kept confidential. More 7-figure gift pursuit in NY; calls for Microsoft and in CA with Dean Brown; advance capital campaign in a big way.

More time on radar with fundraising.

Goal 8: Economic and Resource Development

Rapid redesign for Continuing Education.

Goal 9: Rio Rancho and Branches

Will develop more specific goals for Rio Rancho.

Continue to have leadership problems in Gallup.

Gallegos asks that it be made more specific – no "reaches full potential".

Fortner: contacted by Gallup people supportive of current leadership.

Fortner will join in trip to Gallup

Discussion Gallup issues

Goal 10: Health Sciences Center

Complete Sandoval County Regional Medical Center and assist Dr. Roth in launch of HSC Board of Directors.

Abeita: establish parameters and performance evaluation criteria.

Koch: document to be signed off from Regents to see if board to be selected with regent input; go back and review minutes.

Chalmers: change in having F&F oversight but needs to be evaluated; totally separate decisions may not be what we want.

Gallegos: need to review how this working in December

Report on transition needs to be included in goals. Discussion of new structure

DJS: LFC doing audit of the HSC to address how funds distributed

Goal 11: Athletics

Legal challenge to the Bowl Championship Series; MWC interested because outperforming BCS but getting fraction of the proceeds; file suit to challenge legality. Trying to get AG interest.

Conclude discussions with APS with expanding facility sharing.

Goal 12: Relationship with Regents

Ready to help or not help.

Goal 13: Technology

Complete phase 2 of redesign.

Chalmers: 34 goals; I am interested in seeing priorities to the top ten. Also have Regents review to see where everyone wants to consider and compare at meeting. Start with ten and cut back from there.

DJS: will do ranked 10

Hosmer: and where feasible put a date

2. Vote to close the meeting and proceed in Executive Session at 12:10 p.m. Motion: 1st Fortner 2nd Abeita
3. Discussion and determination where appropriate of limited personnel matters pursuant to Section 10-15-1(2), NMSA (1978)
4. Vote to re-open public meeting at 1:44 p.m. Motion: 1st Chalmers 2nd Abeita
5. Certified that only those matters described in Agenda item 3 above were discussed in Executive Session and if necessary, ratification of actions, if any, taken in Executive Session. Motion: 1st Chalmers 2nd Abeita.

Regent Jack L. Fortner
President

Regent Carolyn J. Abeita
Secretary/Treasurer

Materials will be available at the meeting.



THE UNIVERSITY of
NEW MEXICO

To: Regents of the University of New Mexico
From: Richard L. Wood, President of the Faculty Senate
Date: June 21, 2011
Re: Comments for BoR on June 27, 2011: Per diem decision and appeal for Board of Regents action for independent review of Audit Committee hire of Director of Internal Audit

In lieu of my usual comments to the Board of Regents, which I will deliver verbally, I submit this memo regarding two issues: The “travel per diem” item on the Board of Regents agenda for June 27, and the combined faculty leadership’s concerns regarding the hiring process followed by the BoR Audit Committee for the Director of Internal Audit position in 2010.

Travel per diem rates:

As this memo goes to press, the travel per diem question remains in a state of flux. I am thus reticent to include detailed comments here, as the situation may have changed by June 27. But the issue of per diem rates affects the University and its staff & faculty enormously, in at least three ways: First, the proposed change to per diem policy may result in a significant loss of funds for UNM. Second, the proposed change would shift a considerable financial burden onto employees for travel that advances UNM’s mission and/or is required as part of one’s employment.¹ Third, the proposed change may produce an enormous accounting burden on the University, which will increase administrative costs and shift work effort from mission-driven work to accounting work throughout the University. If UNM were in violation of state law or policy, all of the above would be irrelevant; but I believe that that we are *not* in such violation. We all want to advance the academic mission; let’s be sure not to move abruptly in ways that undermine that mission.

Hiring of the Director of Internal Audit:

The above is an important issue, worth careful thought and deliberative policy-making. Equally crucial is that we avoid letting it distract from what the faculty leadership believes to be a much more important issue: Whether the hiring process through which the current Director of Internal

¹ Faculty members already fund a significant portion of their research and conference travel themselves, especially as travel funding has been reduced or eliminated in the face of budget cuts.

Audit was hired in 2010 violated UNM hiring policy, and our call for an independent review of that hire.

Why does the faculty leadership believe the Internal Audit hire to be so crucial? First, as I believe the attached written record documents, there appears to be *prima facie* evidence that concern about the hiring process is warranted. More importantly, the internal audit position is an enormously powerful one: It is one of only two positions in the entire University that report directly to the Regents, and appears to hold the power to investigate both the fiscal flows *and* all policies and procedures in any unit of the University *and* to recommend specific measures to all such units (with the authority of the Regents to back up such recommendations). This makes *how* such hires occur absolutely critical, in order to ensure the transparency of the hiring process and thus the legitimacy of the person hired.

The faculty leadership has spent the entire last year striving to assure such transparency and legitimacy through internal channels of the University (see attached written record). We believe that, if left unaddressed, this situation may ultimately cause grave damage to the University of New Mexico. The Audit Committee, the Internal Audit Department, and the Human Resources Division are all involved parties in this matter. The faculty leadership therefore urges that the Board of Regents request an independent review of this hire, with the facts of the matter to become public record and with a written public commitment that all future hires will conform to UNM hiring policy.



To: Regents of the University of New Mexico
From: Richard L. Wood, President of the Faculty Senate
Date: June 27, 2011
Re: End of Presidential Term: Looking back, looking ahead

[Please read. Most of these comments will **not** be made verbally at the meeting. These comments are in addition to my memo dated June 21, 2011 regarding per diem rates and the hiring process for the Director of Internal Audit, included in the Board of Regents e-Book]

Today will be my last Board of Regents meeting as President of the Faculty Senate. I take this opportunity to look back at the last year to assess where we have been, and to look forward with a few thoughts to the months ahead.

Looking Back: Key initiatives

A year ago, in speaking to the Board of Regents, I laid out the following set of goals for my term as President of the Faculty Senate. Following each, I give my brief assessment of our status in achieving this goal. Note that some of these goals are too broad to allow good assessment – chalk that up to rhetorical excess by a new faculty president – but since I set them for myself, I here report back on them:

- a. Engage in the research and cultural creativity for a 21st century economy:
As documented in the reports by the Office of the Vice President for Research and as found on the websites of faculty members, departments, and research institutes around campus, we continue to do well in generating impressive research and creative activity – especially so given the resource constraints we face. But those constraints are sharpening, and we must find ever-better ways to support the research mission in the years ahead.
- b. Produce headlines that showcase UNM favorably:
Though I do not have data to support this conclusion, I think such data would show that we as a University have done better in the media in the last year – via both positive news stories and, equally importantly, better decision-making that promotes a narrative of a University serious about serving its students and advancing its research mission. The media will continue to subject the institution to scrutiny; our task must be to minimize our vulnerability to negative stories while advancing in our achievements and service to New Mexico. The faculty leadership has striven to do so by working to help the local media, the public, and the Legislature better understand higher education (through in-person conversations, guest opinion writing, and accessibility to newspaper, radio, and television reporters).

- c. Work with UNM financial authorities on a 'Strategic Budget Process':
 This has been a crucial dimension of our progress in the last year, helping to contain the damage to our academic mission resulting from recent budget cuts. But it occurred during a terrible budget year, and relied upon the trust embedded in particular professional relationships. The key future challenges here involve:
- using this year's successes and limitations to create a still-better Strategic Budget Process for future years;
 - institutionalizing that process so that it happens every year, regardless of particular individuals and during years of budget growth, not just when times are hard;
 - building full strategic budgetary involvement within the Chair-Dean-Provost academic structure and the Faculty Senate Budget Committee, in order to assure that the best academic judgment informs all phases of decision-making from year to year.
- As part of this process, the Faculty Senate Operations Committee, Budget Committee, and other bodies forged sufficient ties with the University's fiscal, human resources, and academic administrators to ask for and receive the most reliable data available on UNM's internal organizational situation. This contributed to advancing goal "b" above, in that convergence on shared data allows more constructive dialogue and better decision-making, with less unnecessary public confrontation.
- d. Develop a vision and long-term planning for funding our core academic mission:
 The University has begun to make some progress in this regard, but I am not sure I contributed significantly to the goal, which in any case may lie beyond the role of the President of the Faculty Senate (at least as the university governance structure is currently constituted). Perhaps the faculty's largest contribution here has been striving to assure that "every decision, every time" focuses on the academic mission of the University.
- e. Link academic excellence and faculty diversity:
 UNM can be a national standard-bearer on this front – particularly in terms of recruiting and retaining outstanding faculty from under-represented racial/ethnic/tribal minorities, but also with regard to women in the hard sciences, international diversity, and rural-urban diversity. Indeed, this could become the signature initiative that most advances UNM's national profile. But we still have a long way to go in this effort. Amidst the budgetary pressures of this year, I have not made as much headway as I had hoped in advancing the faculty discussion of equity and excellence. But I began that effort, and intend to use my coming months as past president to advance it.
- f. Strengthen faculty contribution to the academic mission:
 In this year of budget cuts, given the multiple forces at work undermining our ability to advance the academic mission, I would speak more of "holding our own" on this front. But I would highlight two important achievements within the University: First, as recommended by the administration, advocated by the faculty, and approved by the

Regents, we are reinvesting \$2.5 million this year into new tenure-track faculty lines, graduate student research and salary lines, and other academic initiatives. Second, we have begun to restructure the faculty governance structure so that it can more effectively safeguard and advance the academic mission in dialogue with the administration and Board of Regents.

- g. Advance excellence of research and teaching:
This remains an ever-recurring challenge in any good research university. See points “a” and “f” above. In addition, faculty, administration, and regents successfully worked together to rescue the Chemistry Building from catastrophic disrepair, via \$3 million provided for 1st stage of renovation of teaching laboratories and research infrastructure.
- h. Restructure the core curriculum, design program review, create a better faculty disciplinary process, and implement electronic scholarly publishing:
Faculty Senate committees collaborated with the Office of the Provost to advance core curriculum restructuring, digest the results of the Provost’s “program review” process, and design and gain full faculty approval of a new progressive disciplinary policy for faculty (Approved by the Policy Committee, Academic Freedom & Tenure Committee, Operations Committee, and full Faculty Senate). The Faculty Senate did not engage significantly with the electronic scholarly publishing initiative this year, although the University Libraries did.

In addition to these areas, the faculty leadership put significant work into the following areas:

- i. State legislature: Improving relationships with legislators, shaping ERB policy, advancing UNM’s legislative agenda.
Our Governmental Relations Committee did extraordinary work before and during the legislative session in building bipartisan ties with legislators and preventing the worst ideas regarding retirement policy from being implemented. In addition, they hosted a very successful Forum on Higher Education in New Mexico (October 2010) attended by 7 legislators and 300+ UNM community members. During the legislative session, both the GRC and I as Faculty Senate President worked closely with the UNM Office of Governmental Relations to educate legislators about higher education issues during the legislative session, with some effect.
- j. Regent selection procedures:
We worked with the faculty leadership at NMSU and NM Tech to successfully obtain a signed Executive Order that would have created a formal advisory process for the naming of future regents at the state’s research universities. However, that Executive Order was subsequently rescinded by incoming Governor Susana Martinez.
- k. Search for new Interim Provost:
I was invited by President Schmidly to chair the internal search for a new interim Provost,

which had to occur on an accelerated schedule due to the March 30 announcement of the sitting Provost's departure. The Search Committee was appointed in consultation with the Faculty Senate Operations Committee, and the search was concluded successfully with President Schmidly's announcement of Professor Chaouki Abdallah's appointment as the new iProvost.

Looking Back, continued: Narrative assessment

A year ago, we were emerging from a time of difficult but necessary confrontation within the University community. Faculty had weighed in repeatedly that UNM was losing track of its mission as a research university. Staff faced ever-growing burdens due to restructuring, and both staff and faculty had seen their take-home paychecks erode in recent years. We faced the prospect of a state economy in crisis, and resulting budget cuts that risked continuing to devastate higher education. As a 2010 survey of faculty and staff revealed, distrust had become a defining feature of life inside the university.

At that point, a group of university leaders – a broad group of the faculty leadership, plus administrators, staff, regents, and students – jointly began to push against that rising tide of distrust. We did so by working as publicly and transparently as possible on an agenda centered around the academic mission. We strove to place that mission at the center of every decision, all the time. We believed that only in this way would UNM begin to recover from the corrosive effects of too many years in which other interests drove university decisions.

We did not succeed perfectly in that effort; in the real world that rarely happens. We have taken cuts to important programs and staff positions; faculty and staff have seen further effective pay cuts; and operating budgets in individual departments, the libraries, and other units have been eliminated or cut to the bone. But in an atrocious fiscal year, we came away with a budget that did well at protecting core academic spending – and infused \$2.5 million dollars into new faculty lines, graduate student research and salaries, and other key academic areas. We instituted a Strategic Budget Process that begins to give real voice to all stakeholders, on which future years can build. We have built relationships with fiscal and academic administrators so that we now receive essentially any university data we request. We strengthened the voice of the faculty within university governance, by showing that we hold indispensable knowledge that *necessarily* must inform decision-making about finances, policies, and procedures. The University recently announced the hiring of a potentially outstanding new interim Provost, Dr. Chaouki Abdallah, identified via a faculty-led search process. Perhaps most crucially, the faculty now have a credible voice in most of the key discussions that shape important decisions.

I hope we have done all this in a way that does not assume that faculty always have the right answers, but rather eagerly seeks dialogue, insight, and expertise from staff, students, administrators, parents, alumni, and Regents – yet preserves the central role of faculty as the leaders of the academic mission.

Looking Ahead: Forging a culture and structure of shared governance at UNM:

Through these efforts at shared governance – carried out by a broad group of faculty, administrators and staff, student leaders and regents – we have thus begun to move UNM out of the quagmires of the past. We still have a long ways to go: As shown by the Spring 2011 re-survey of faculty and staff, these changes have not yet been sustained enough to affect the day-to-day experience of most people. The crucial insight, I think, is that we need a long-term effort to re-shape both the culture and structure of university governance. We will know we have achieved this when shared governance becomes standard operating habit: When administrators facing crucial strategic decisions habitually consult with colleagues within the faculty governance structure; when all regents habitually seek information, insight, and perspective from faculty, administrative, staff, and student leaders (and value that perspective); and when most faculty habitually take responsibility for the long-term health of the university as the institution underlying our academic mission, in collaboration with Regents and administrators. Creating that kind of culture will require not just good will, but new decision-making structures. The Strategic Budget Process is one such structure, and the Faculty Senate leadership is currently engaged in discussions of how to revise our faculty governance structure to better foster a culture of shared governance.

We also need to revitalize other structures of governance within the University. In particular, the Office of the Provost has been systematically weakened over an extended period of time. I do not believe that we can have a research university that truly meets New Mexico's needs without dynamic leadership from a strong Office of the Provost. I believe the new iProvost will provide the kind of leadership required, in collaboration with the other Executive Vice Presidents and in dialogue with the Deans and the faculty governance structure. But the Office itself must be systematically strengthened as well. If we are to have the flagship research university to which we all aspire, it is imperative that the rest of the University, from the Board of Regents down, systematically work in the years ahead to empower the Office of the Provost and thus enable the dynamic academic leadership required for effective pursuit of our academic mission.

To that end, in addition to the continuing efforts outlined above and whatever initiatives iProvost Abdallah will bring forward in the months ahead, I propose three initiatives:

- That in preparation for our next accreditation by the North Central Association of Colleges and Schools (do not worry: that's years away), we proactively *request* that university governance be an area of evaluation. Yes, that may sound crazy, but UNM can become a national leader in reconstituting appropriate university governance mechanisms, to the credit of all concerned and in a way that enhances the university's reputation nationally. Making this a self-initiated criteria of evaluation can focus future attention productively and collaboratively.
- That we carefully calibrate *all* existing and future top-down mandates for data collection and self-reporting against the permanent need for UNM to foster bottom-up initiative on

the terrain of research, teaching, service, and creative works. Internal monitoring and evaluation can be useful in keeping a complex organization focused on its mission, but can also so over-burden the organization that it *replaces* or *distracts* from a strong mission-driven focus. I believe UNM has reached that point in some areas.

- That we not be satisfied with this year's new funds for academic hiring, but commit to finding resources for an infusion of major new resources for the academic mission. Given how far we have fallen behind, we cannot settle for less than an aggressive stance in this area. Such resources should not focus solely on faculty hiring, since the academic mission depends upon so much more than just new faculty. Rather, those resources should go to new faculty hiring *plus* merit-based faculty and staff raises, new staff hiring in areas of acute need, faculty start-up costs, replacing the department-level operational budgets gutted in recent years, graduate and undergraduate student research, international education, and other high-priority areas of academic spending.

As I leave office, I am cautiously optimistic for the months and years ahead: We have the opportunity to truly advance the university's mission. But none of us are smart enough to do that on our own; the complexity of the task simply demands shared governance. Let's all work toward that end – recognizing the improvements of the last year, but also recognizing the continuing challenges we face and redoubling our efforts to overcome those challenges. I am especially hopeful because of the strong faculty leadership team in place for the coming year, led by incoming President of the Faculty Senate Tim Ross. He is currently engaged in research commitments at Los Alamos National Laboratory, but will be here for the next meeting of the Board of Regents.

I am honored and humbled by the opportunity to serve the University as President of the Faculty Senate for the last year. If it has been an at-least-mildly-successful year, that is the result of committed collaborators of good faith across all levels of the University. I want to thank all those who played a part in that regard. You know who you are: from the elected faculty leadership and faculty colleagues, to administrative professionals, to outstanding staff, to undergrad and graduate student leaders, to parents and alumni, and yes, to Regents of the University. Thank you: Together, we can build the University that New Mexico deserves.



Regent President Fortner, President Schmidly, and members of the Board,

This is the first time I will address the University of New Mexico Board of Regents in my role as Staff Council President and I would like to take this opportunity to outline how Staff Council is structured and the importance of the work we do on behalf of staff.

I served as Speaker for two terms before I was elected to the position of President Elect to serve with President Merle Kennedy. In Staff Council the position of President Elect is designed to give the upcoming president visibility, access to senior administration, and executive committees. Staff already knows how the University is run, so there is no steep learning curve as in other constituency leadership positions. The President Elect for the 2011-2012 term is Richard Goshorn from Extended University, UNM West, and College of Arts and Sciences. After the Staff Council President completes their term, they continue to sit on our Executive Committee to provide advice and support to the current President and also chair our Government Relations committee. Passing knowledge and experience from one administration to the next is crucial as our terms are only for one year and there is a lot of work to do.

In accordance with University Business Policies and Procedure 1150 "Staff Council" I am given ten hours per week of release time to perform my duties as President but must still complete my work assignments. During the budget meetings and legislative session, ten hours is not enough and consequently I must take personal annual leave hours to make up the difference. It is crucial for us to have support from our supervisor/manager lack of support has kept individuals who wanted to be a part of Staff Council from joining. Officers and committee chairs receive no additional compensation for our work on Staff Council. I pay for my trips to Santa Fe and lodging if I must stay overnight; I do this willingly because I know how important it is for UNM Staff to have a presence at the Roundhouse.

Staff Council committees work on compensation and career development, review policies and procedures on employee rights, develop staff reward and recognition programs, promote a healthy work plus life balance and safe work environment, educate staff on legislative issues, develop proposals to improve student success, and address issues related to retirement and benefits. Among our many accomplishments, we have successfully aligned the UNM and APS spring breaks, worked with Human Resources to develop the catastrophic leave and health coverage for domestic partners programs, made changes to the career ladder policy, created many staff recognition awards, founded the UNM Retiree Association, and provided input at UNM Budget Summits. Staff councilors also sit on the Faculty Staff Benefits Committee, the Sustainability Council, the IT Cabinet, and the KUNM Radio Board. As envisioned by President Gerald May when he asked the Board of Regents to form Staff Council in 1990 to address concerns of low staff morale and lack of staff input into University policies, Staff Council now provides a mechanism for staff representation in University matters to make UNM a better place.

Next month is Staff Appreciation Month, an event which began as the Annual Staff Appreciation Picnic in 1992 and then became Staff Appreciation Week in 2004. Last year Staff Council celebrated its 20th anniversary and we expanded our events to an entire month primarily because our councilors and staff volunteers could not get enough release time to plan, manage, or attend all the events within one week. Consequently, we will continue to have our staff appreciation events throughout an entire month into the future. These events, as I said, are planned, organized, and run entirely by staff. This year we received support for other events from Athletics, Dean of Students, Division of Student Affairs, Human Resources, Office of Vice President Health Sciences Center, Recycling, and the SUB because these departments wanted to show their appreciation to staff. Sadly, despite President Schmidly's encouraging messages to managers and supervisors asking that they give their staff release time to participate in our events; some staff are not allowed or must take annual leave to attend. I believe this attitude of non-support of staff is petty and short-sighted and leads to poor staff morale.

Staff directly affects the success of our students, faculty, and medical doctors and our contribution is often overlooked or minimized. I look forward to working with the Board of Regents and believe that together we can guide UNM to become a leading academic, research, and healthcare institution in the country.

Thank you,
Mary Clark
President, Staff Council



ASSOCIATED STUDENTS OF THE UNIVERSITY OF NEW MEXICO

Student Union 1016, MSC03 2210, 1 University of New Mexico, Albuquerque, New Mexico 87131-0001
Phone: 505.277.5528, Fax: 505.277.5287

27 June 2011

Report to the Board of Regents

Submitted by: ASUNM President Jaymie L. Roybal and ASUNM Vice-President Adrian M. Cortinas

President Fortner and members of the board, I apologize for my absence. I am currently in Spain studying with the Honors Program, Conexiones. I look forward to returning in late July and working with you. This is a short summary of ASUNM's initiatives for the upcoming school year.

Lobbying: We are developing a plan to have a year-long presence in Santa Fe. We will be advocating to eliminate the tuition credit and to ensure that funding for the Lottery Scholarship is available for the future. Furthermore, we are interested in pursuing funding for shuttle buses and additional lighting on campus. To achieve these goals, we have had partnered with President Schmidly, Marc Saavedra, Provost Abdallah, Maria Probasco, Mary Clark and Katie Richardson. We are also in the process of hosting student leaders from our peer institutions in New Mexico towards the end of the summer in hopes that they will advocate for some of these issues as well. Lastly, we have requested a meeting with Governor Martinez to address the issue of the tuition credit.

Tuition and Student Fees: We are concerned with the rising cost of education at UNM. Understandably so, we must do what is necessary to ensure that the credibility of the UNM degree is retained and the students are receiving the best education possible. However, the rising costs should not simply be passed upon the student body since we are the group that can afford this the least. We look forward to participating in the conversations concerning cost containment.

We have been discussing the issue of student fees and the Student Fee Review Board quite a bit since we took office. ASUNM strongly supports a change in the composition in the SFRB, but we do so with keeping the graduate students in mind. We do not support a the undergraduates having a supermajority on the board. However, it is imperative that this board adequately represents the fees paid by the students. To reiterate, we do not support the board changing to 7-2, but we do support a change that is more equitable. The undergraduates contribute 78% of student fees and the current board does not reflect that. We have discussed this issue with President Schmidly, Vice President Cheo Torres and GPSA President Katie Richardson. GPSA and ASUNM hope to reach a compromise on this issue and submit it to the President by the end of the summer. We have proposed that the board be composed of five voting undergraduates to two voting graduates, with one undergraduate alternate member and two graduate alternate members. This change would ensure that the undergraduates have more representation on the board and that the graduate voice would not be lost in the process. This proposal has not been agreed upon by GPSA yet but they are considering it and we will come back to the table when I return from Europe.

LGBTQ Resource Center: ASUNM supports the LGBTQ Resource Center being put on I&G funding for 2011-2012, if that is a possibility. This resource center is tremendously helpful to both the undergraduate and graduate students and funding them entirely through student fees is not sustainable.

**UNIVERSITY OF NEW MEXICO
ALUMNI ASSOCIATION
BOARD OF REGENTS REPORT
June 27, 2011**

Vision (Why we exist): *The Alumni Association is a vital partner in the continued excellence of the University of New Mexico through the significant engagement of alumni.*

Mission (What we are striving for): *To serve as a bridge between alumni and the university ensuring the continued success of the university and enriching the lives of alumni.*

<p>Strengthen collaboration with campus constituents to meet the needs of UNM.</p>	<p>At Freshman LobOrientation and College Enrichment and Outreach Program (CEOP) lunches, the Association distributed give-a-ways to all incoming Freshmen. Throughout the summer, many of our Board Members have welcomed the students to UNM during the weekly orientation sessions. At the end of summer, or before fall classes, six students will be selected to receive the Association's \$100 UNM bookstore Gift Certificates.</p>
<p>Foster enduring involvement with, pride in and commitment to UNM, its colleges, schools and programs.</p>	<p>The Class of 1961 was invited to participate in this year's spring commencement. Graduates and their guests attended a dinner at the Student Union Building on Friday, May 11 and were inducted into the Alumni Association's Heritage Club for alumni from UNM who graduated 50 years or more. On Saturday, May 14, the graduates lined up in their golden caps and gowns and marched into University Arena (The Pit) along with a record number of current UNM graduates receiving their degrees.</p> <p>Homecoming 2011, "It's All About U!" will take place from September 20th through September 24th. Events include: A Campus Department Decorating Contest, UNM Faculty and Staff Alumni Luncheon, decade reunions, All University Breakfast, Southwest Tailgate Fiesta and Silent Auction and the Homecoming football game against UTEP at 6:00 p.m.</p> <p>On Wednesday, September 21st at 6:00 p.m., the Alumni Association will be celebrating the Grand Re-Opening of The Alumni Center at Hodgin Hall. After a year and a half reconstruction project, the Alumni Center will officially be re-opened. Students, Faculty, Staff, alumni and the university community will be invited to relish in the history of the university's 1st building on campus, as Hodgin Hall opens its doors with its displays and uncovered treasures showcasing alumni and the University of New Mexico.</p>
<p>Communicate effectively with our diverse group of alumni.</p>	<p>The Board of Directors of the Alumni Association met Friday, June 3rd. Nominated and elected to serve a second 3-year term on the Board were: Monica Armenta '85 BA, <i>Executive Director, APS Communications Foundation</i>; Penny Beaumont '63 BA, <i>Associate Director Emerita, Texas Transportation Institute, Texas A&M University</i>; Sarah Kotchian '2000 Ph.D., <i>Owner, Sarah Kotchian Consulting</i>; and Kathi Schroeder '70 BA, <i>Editor, New Mexico Business Weekly</i>.</p>

<p>Communicate effectively with our diverse group of alumni (Cont.)</p>	<p>New Board members elected are: Tom Daulton, '77 BBA, <i>CFO, Sorcity (Dallas, Texas)</i>; Mary O-Hara, '89 BA, <i>Partner, Madama Griffitts O'Hara LL (New York)</i>; Danny Milo, '01 BBA, '11 JD (Albuquerque); Brian Sanderoff, '77 BA, <i>President/CEP, Research & Polling, Inc. (Albuquerque)</i>; Pradeep Shetty '05 MS, '07 MBA, <i>Account Executive, Goldman Sachs (Salt Lake City, Utah)</i>; and Cate Wisdom, '11 BS, <i>former Student Regent (Albuquerque)</i>.</p> <p>Officers and Executive Committee Members for 2011-2011 are: President—Waneta Tuttle '67 BS, '70 MS, '73 Ph.D., '85 MBA, <i>President Elect</i> – Duffy Swan '68 BA, <i>Treasurer</i> – Randy Royster '92 JD, <i>Past President</i> – Steve Christ '67 BABA. <i>Members at large</i> are: Monica Armenta '85 BA, Rich Diller '75 BUS, Harold Lavender '69 BA, '75 JD, and Kathie Winograd '07 Ed D.</p>
<p>Encourage community service and leadership among alumni and students.</p>	<p>Young Alumni volunteered as Guest Chefs for the Ronald McDonald House Charities of New Mexico on June 25th, serving dinner to approximately 75 members staying at the facility.</p> <p>The Young Alumni Association is also hosting a Home Builders Workshop (July 16 at 2:00 p.m.) and a Home Buyers Workshop (June 21 at 6:00 p.m.) for alumni interested in building or purchasing their first home. The Home Builders workshop will be hosted by Rachel Mathew Homes at the Volterra Subdivision on Juan Tabo in Albuquerque. Builders from Vantage, DR Horton, Fuller and Raylee will be on site. The Home Buyers workshop will be held at Hodgin Hall to assist alumni in making a decision to become home owners and/or considering possible investment properties.</p>



**UNM Foundation, Inc.
UNM Regent's Advisor Report
June 27, 2011**

***CHANGING WORLDS
The Campaign for UNM***

Changing Worlds: The Campaign for UNM – an eight-year, \$675 million campaign, is well underway and making excellent progress towards campaign goal. The Campaign began in 2006 and is planned to conclude in 2014, complementing UNM's 125th Anniversary.

To date, nearly 50,000 donors have given \$394 million in support of the University's mission.

Specific fundraising highlights since the last meeting:

Athletics secured a \$350k gift for the baseball stadium renovation and another gift of \$100,000 for the Pit Renovation Fund.

School of Engineering received a gift of \$500,000 from a research faculty member for Biomedical engineering.

KNME received a 1.2 million gift for scholarships.

College of Arts and Sciences received a gift of \$66,000 for the Center for the Study of Voting, Elections and Democracy.

Health Sciences Center received a \$225,000 gift research on Lou Gehrig's Disease.

College of Pharmacy received a \$50,000 gift for their Pharmacy Scholarship Program.

Children's Miracle Network received a gift of \$25,000. This is a unique gift since most of the giving to CMN is gifts of under \$100.

School of Medicine received a gift of \$225,000 to continue further research on Arthritis.

Foundation staff continues to maintain regularly scheduled meetings with President Schmidly, Chancellor Roth and Vice President Paul Krebs to ensure coordinated cultivation and solicitation efforts for the Foundation's top tier prospects between Main Campus, HSC and Athletics. Foundation and University Leadership planned and executed donor visits in NY, NJ, CA and WA. All visits of this nature strategically focus on securing major gifts for the University.



Also included in this report is the Foundation's Performance Report with information on fundraising and expenditures through May 31st. Please note that detailed information on the Consolidated Investment Fund is available only through March 31st; it is included in the report. The market value of the fund as of May 31st is \$341.5 million.

Finally, a new Progress Report on the Campaign is included for your information. It displays overall progress toward the \$675 million multi-year goal as well as this fiscal year. Several of the pages include the same information presented in different formats. This report will be prepared monthly and is intended for several different audiences within the University community. Foundation staff and Board. I hope that you will find it useful.

On June 30, I end my term as Chair of the UNM Foundation Board of Trustees. New officers for the coming year are:

Gary Gordon – Chairman
Carl Alongi- Vice-Chair/Chair-Elect
Stephanie Bennet-Smith – National Vice-Chair
Ray Ziler – Assistant Treasurer
Peter Johnstone – Secretary

Fundraising Performance Report FY 10-11, May 31, 2011

Gift Commitments	This Qtr	FYTD	GOAL	Prior FYTD
Main Campus	\$ 1,884,133	\$ 19,797,591	\$ 22,376,084	\$ 21,418,733
HSC	3,690,477	29,223,754	24,855,733	26,754,285
Athletics	1,253,735	7,325,792	7,000,000	6,032,760
Other Campus Units	2,618,926	15,214,034	23,768,183	14,655,237
Total	\$ 9,447,271	\$ 71,561,171	\$ 78,000,000	\$ 68,861,015

Gift Revenue	This Qtr	FYTD	Prior FYTD
Cash/Cash Equivalents	\$ 6,177,721	\$ 33,718,359	\$ 36,624,281
In-Kind	208,480	4,664,971	6,197,563
Pledges	786,702	3,632,060	7,090,901
Testamentary	2,274,368	29,545,781	18,948,270
Total	\$ 9,447,271	\$ 71,561,171	\$ 68,861,015
New Endowed Accounts	8	68	77
New Endowed Funds	\$ 793,803	\$ 20,154,706	\$ 18,101,542

Performance Measures	This Qtr	FYTD	Prior FYTD
Gift Commitment Income	\$ 9,447,271	\$ 71,561,171	\$ 68,861,015
# of Gifts	7,129	35,625	35,127
# of Donors	4,404	15,817	15,618

Performance Measures	FYTD*	FY09/10	FY08/09	FY07/08
Cost/\$ Raised	\$0.12	\$0.12	\$0.09	\$0.10

* FYTD Value compares year-to-date expenditures to year-to-date gift commitments.

Gift Destinations	This Qtr	FYTD	Prior FYTD
UNM Foundation	\$ 4,627,799	\$ 45,502,424	\$ 41,106,636
UNM (directly)	\$ 432,937	\$ 1,864,045	\$ 1,684,899
Reported Gifts	\$ 4,386,535	\$ 24,194,702	\$ 26,069,480

Reported Gifts = non-cash gifts including services & deposits made by KNME, KUNM, Lobo Club, and transactions reported by OVPR.

CIF Spending Distribution	FY10/11	FY09/10	FY08/09	FY07/08
Spending Distribution %	4.65%	4.65%	4.65%	4.65%
Total \$ Distribution (millions)	\$14,755,529	\$15,409,181	\$15,294,369	\$14,085,493
Total \$ Distribution/Unit	\$10.98	\$11.05	\$11.75	\$11.50

UNM Foundation Budget vs. Actual

FY 10-11, May 31, 2011

Sources of Budget	Budget	FYTD	% Used
UNM Support	\$ 1,204,207	\$ 1,189,990	98.8%
Development Funding Allocation	5,774,648	5,247,312	90.9%
Short-Term Investment Income	978,358	782,331	80.0%
Unit Salary Reimbursement	690,519	602,350	87.2%
Unrestricted Gifts	500,000	127,178	25.4%
Total	9,147,732	7,949,161	86.9%

Reserve Funds Required to Balance Budget: \$ 852,954 \$ 691,143

Uses of Budget	Budget	FYTD	% Used
Salaries/Fringe Benefits	\$ 7,703,713	\$ 6,639,646	86.2%
Operating Expenditures	2,296,973	2,000,658	87.1%
Total	10,000,686	8,640,304	86.4%

Reserve Balances	Budget	FYTD	% Used
Excess/(Deficit) Sources over Uses	\$ (852,954)	\$ (691,143)	N/A
Beginning Reserve Balances	2,989,597	2,989,597	N/A
Ending Reserve Balances Projected	2,136,643	2,298,454	N/A

Consolidated Investment Fund - Investment Performance
FY 10-11, March 31, 2011

Investment Performance Results	Market Value (millions)	FYTD	1-Year	3-Year	5-Year
FY 10/11 (March 31, 2011)	\$ 332.9	16.1%	12.6%	1.2%	4.4%
Policy Benchmark *			12.9%	3.7%	4.2%
General Benchmark **			N/A	N/A	N/A
FY 09/10 (June 30, 2010)	\$ 283.2	N/A	10.4%	-4.0%	3.5%
Policy Index Benchmark *			18.9%	-3.9%	3.9%
General Benchmark **			13.3%	-4.5%	1.4%
NACUBO/Commonfund ***			11.9%	-4.4%	3.0%

* Policy Benchmark is a blended benchmark consisting of indices for all asset classes.

** General Benchmark: 70% S&P 500 Index and 30% Barclays Global Aggregate

*** NACUBO/Commonfund Endowment Study (\$100 million to \$500 million)

Consolidated Investment Fund - Asset Allocation
FY 10-11, March 31, 2011

Investment Class	Current Allocation	1-Year Policy Allocation	5-Year Policy Allocation	L-Term Policy Allocation
Domestic Equity	20%	20%	22%	27%
International Equity	21%	20%	22%	28%
Fixed Income/Cash	22%	20%	21%	20%
Real Assets - Liquid	6%	5%	5%	5%
Real Assets - Illiquid	3%	5%	4%	0%
Marketable Alternatives	17%	20%	20%	20%
Private Equity	11%	10%	6%	0%

Consolidated Investment Fund - Development Funding Allocation

Development Funding Allocation	Basis Points %	Dev Funding Allocation	Total Budget Expenditures	% Overall Budget
FY 10/11	1.85%	\$5.8	\$10.0	58%
FY 09/10	1.85%	\$5.4	\$9.4	57%
FY 08/09	1.15%	\$3.2	\$8.3	39%
FY 07/08	1.10%	\$3.6	\$5.1	71%

FY 09/10 increase to 1.85% resulted from loss of budget revenue of approximately \$1 million due to market decline and decline in short-term interest rates as well as increased costs in excess of \$1 million as a result of restructuring of UNMF.

**THE UNIVERSITY OF NEW MEXICO
MR. AND MRS. HUGH B. AND HELEN K. WOODWARD ENDOWMENT
FUNDED BY THE SANDIA FOUNDATION**

	2006-07	2007-08	2008-09	2009-10	2010-11 through 3/31/2011	
<u>PRINCIPAL/CORPUS</u>						
BEGINNING MARKET VALUE, JULY 1:	\$ 34,085,743	\$ 40,007,782	\$ 39,456,137	\$ 30,698,788	\$ 32,422,373	
ADDITIONS	1,260,000	1,323,000	1,395,000	877,500	843,750	
NET INVESTMENT EARNINGS	6,212,501	(159,793)	(8,346,007)	2,614,688	4,854,881	(1)
SPENDING DISTRIBUTION	<u>(1,550,463)</u>	<u>(1,714,852)</u>	<u>(1,806,341)</u>	<u>(1,768,603)</u>	<u>(1,268,068)</u>	(2)
ENDING MARKET VALUE, JUNE, 30:	<u>\$ 40,007,782</u>	<u>\$ 39,456,137</u>	<u>\$ 30,698,788</u>	<u>\$ 32,422,373</u>	<u>\$ 36,852,936</u>	

(1) FY 2010-11 Net investment Earnings: Represents the actual net investment earnings through March 31, 2011. Net investment earnings for the period of July 1, 2010, through March 31, 2011, were 16.1%.

(2) FY 2010-11 Spending Distribution: Represents the quarterly spending distributions from July 1, 2010, to March 31, 2011.

**THE UNIVERSITY OF NEW MEXICO
WINROCK LAND SALE ENDOWMENT**

	2006-07	2007-08	2008-09	2009-10	2010-11 through 3/31/2011
<u>PRINCIPAL/CORPUS</u>					
BEGINNING MARKET VALUE, JULY 1:	\$ 29,879,837	\$ 33,899,952	\$ 32,369,380	\$ 24,076,065	\$ 24,767,866
ADDITIONS	-	-	-	-	-
NET INVESTMENT EARNINGS	5,355,876	(105,166)	(6,836,533)	2,060,890	3,663,988 (1)
SPENDING DISTRIBUTION	(1,335,761)	(1,425,406)	(1,456,782)	(1,369,089)	(968,694) (2)
ENDING MARKET VALUE, JUNE, 30:	<u>\$ 33,899,952</u>	<u>\$ 32,369,380</u>	<u>\$ 24,076,065</u>	<u>\$ 24,767,866</u>	<u>\$ 27,463,160</u>

(1) FY 2010-11 Net investment Earnings: Represents the actual net investment earnings through March 31, 2011. Net investment earnings for the period of July 1, 2010, through March 31, 2011, were 16.1%.

(2) FY 2010-11 Spending Distribution: Represents the quarterly spending distributions from July 1, 2010, to March 31, 2011.

THE UNIVERSITY OF NEW MEXICO
REGENTS' ENDOWMENT

	2006-07	2007-08	2008-09	2009-10	2010-11 through 3/31/2011
<u>PRINCIPAL/CORPUS</u>					
BEGINNING MARKET VALUE, JULY 1:	\$ 32,325,235	\$ 36,674,360	\$ 35,018,525	\$ 25,165,952	\$ 25,889,070
WITHDRAWALS:					
SCHOLARSHIP FUNDING	-	-	(880,525) (3)	-	-
NET INVESTMENT EARNINGS	5,794,207	(113,773)	(7,396,042)	2,154,183	3,829,851 (1)
SPENDING DISTRIBUTION	(1,445,081)	(1,542,062)	(1,576,006)	(1,431,065)	(1,012,545) (2)
ENDING MARKET VALUE, JUNE 30:	<u>\$ 36,674,360</u>	<u>\$ 35,018,525</u>	<u>\$ 25,165,952</u>	<u>\$ 25,889,070</u>	<u>\$ 28,706,376</u>

(1) FY 2010-11 Net investment Earnings: Represents the actual net investment earnings through March 31, 2011. Net investment earnings for the period of July 1, 2010, through March 31, 2011, were 16.1%.

(2) FY 2010-11 Spending Distribution: Represents the quarterly spending distributions from July 1, 2010, to March 31, 2011.

(3) FY08-09 Withdrawal for scholarship funding: \$1,000,000 was authorized to be withdrawn from the Regents' Endowment for FY08-09 scholarship funding. Of the authorized \$1,000,000, \$880,525 was withdrawn from the endowment.

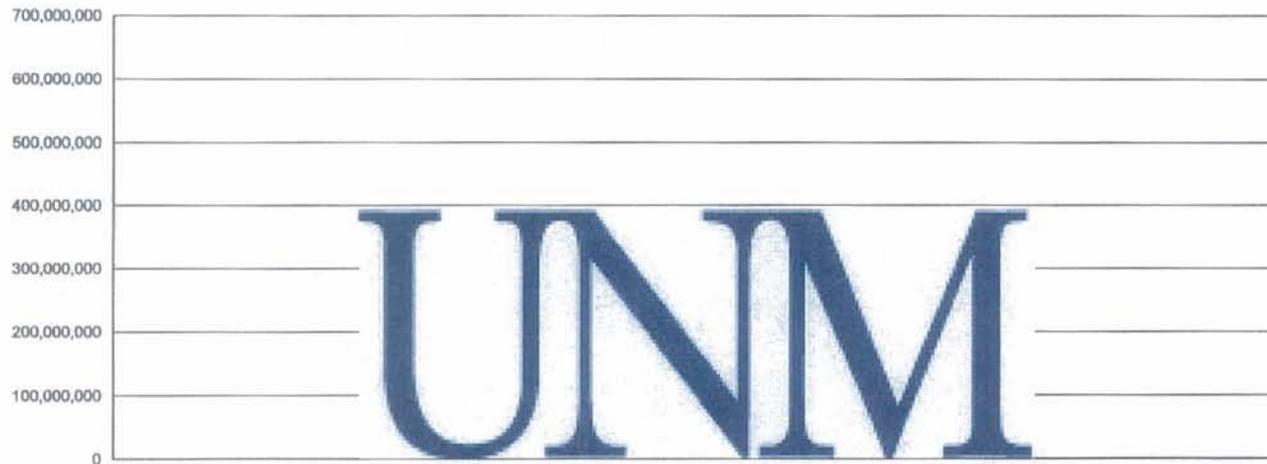


CHANGING WORLDS

— the CAMPAIGN for UNM —

Progress Report

July 1, 2006 - June 15, 2011: \$394,881,218





CHANGING WORLDS

— the CAMPAIGN for UNM —

University Leadership Report

July 1, 2006 - June 15, 2011: \$394,881,218

Campus	Gifts ¹ Pledges & Deferred	Private ² Grants	Total Through Fiscal Year	Campaign Goal	Percentage of Goal
Main Campus	\$141,148,419	\$8,850,698	\$149,999,117	\$285,000,000	52.63%
HSC	\$102,647,909	\$43,544,848	\$146,192,757	\$240,000,000	60.91%
University Initiatives	\$70,029,274	\$28,660,070	\$98,689,344	\$150,000,000	65.79%
Grand Total:	\$313,825,602	\$81,055,617	\$394,881,218	\$675,000,000	58.50%

¹ includes, cash, pledges, gifts-in-kind, bequests, bequest pledges, annuities, and other planned gifts.

² private grants are gifts from corporations, foundations, or other organizations, received by the University and reported here. The University is typically required to account for the use of funds or report on results of projects undertaken.

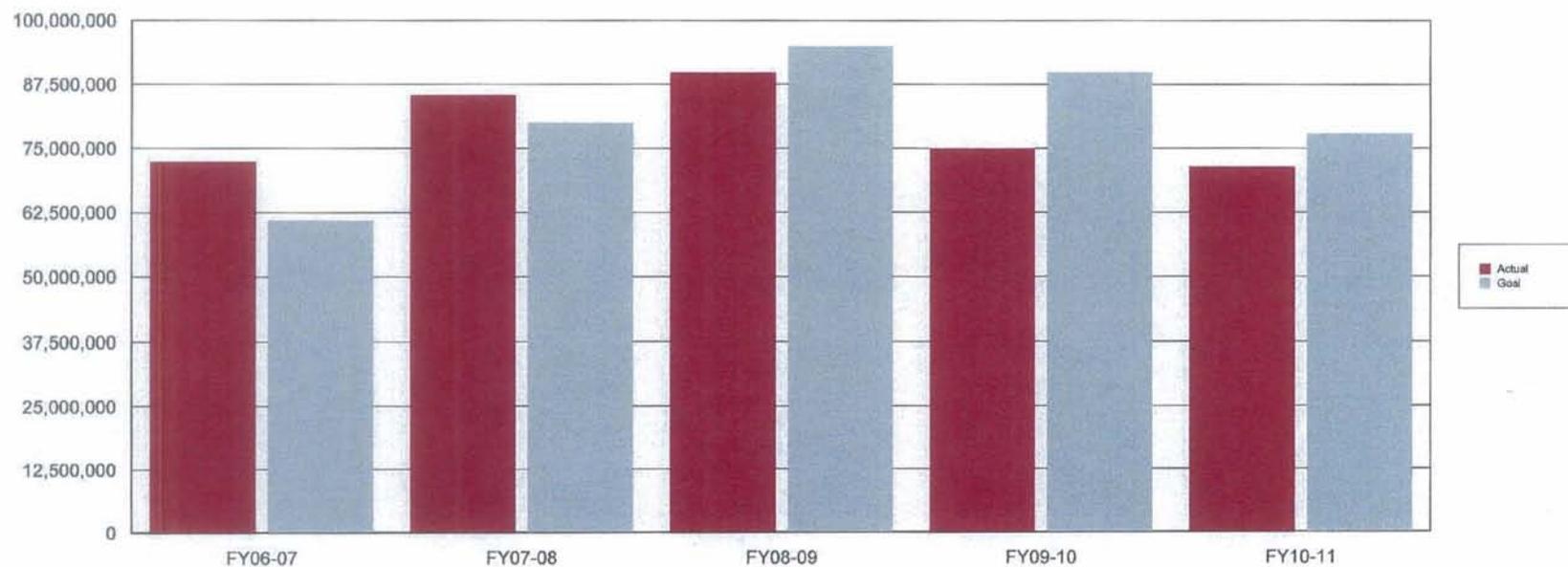


CHANGING WORLDS

— the CAMPAIGN for UNM —

Fiscal Year Report - Goal vs. Actual

July 1, 2006 - June 15, 2011: \$394,881,218





CHANGING WORLDS

— the CAMPAIGN for UNM —

Executive Committee Leadership Report

July 1, 2006 - June 15, 2011: \$394,881,218

Campus	Goal through Fiscal Year 2010-2011	Year-to-Date Progress	Percentage of Goal
Main Campus	\$285,000,000	\$149,999,117	52.63%
HSC	\$240,000,000	\$146,192,757	60.91%
University Initiatives	\$150,000,000	\$98,689,344	65.79%
Grand Total:	\$675,000,000	\$394,881,218	58.50%

1 includes, cash, pledges, gifts-in-kind, bequests, bequest pledges, annuities, and other planned gifts.

2 private grants are gifts from corporations, foundations, or other organizations, received by the University and reported here. The University is typically required to account for the use of funds or report on results of projects undertaken.



CHANGING WORLDS

— the CAMPAIGN for UNM —

Campus Development Report

July 1, 2006 - June 15, 2011: \$394,881,218

CAMPUS	Gifts Pledges ¹ & Deferred	Private ² Grants	TOTALS	Totals for Fiscal Year: FY10-11
Main Campus	\$141,148,419	\$8,850,698	\$149,999,117	\$27,123,383
HSC	\$102,647,909	\$43,544,848	\$146,192,757	\$29,223,754
University Initiatives	\$70,029,274	\$28,660,070	\$98,689,344	\$15,214,034
Grand Total:	\$313,825,602	\$81,055,617	\$394,881,218	\$71,561,171

¹ includes, cash, pledges, gifts-in-kind, bequests, bequest pledges, annuities, and other planned gifts.

² private grants are gifts from corporations, foundations, or other organizations, received by the University and reported here. The University is typically required to account for the use of funds or report on results of projects undertaken.

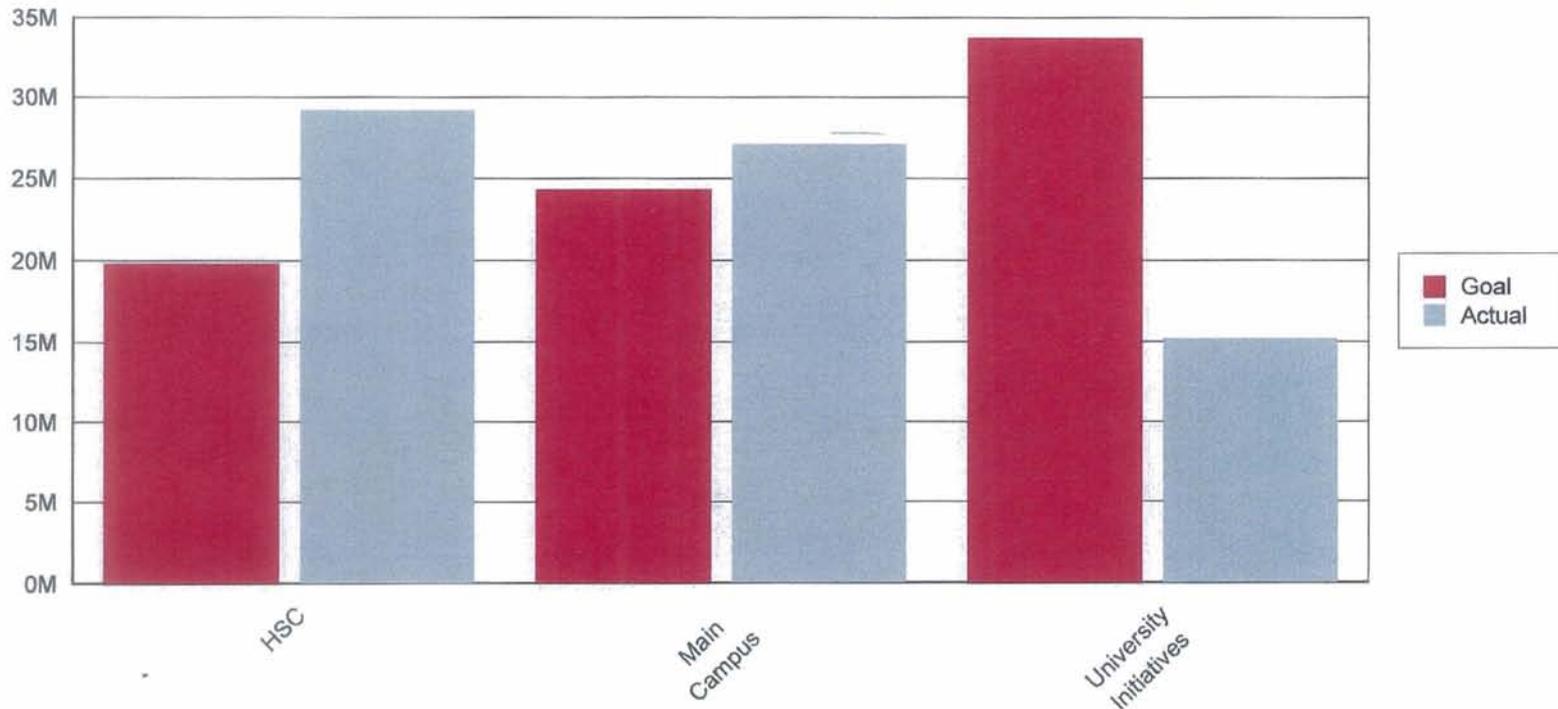


CHANGING WORLDS

— the CAMPAIGN for UNM —

Campaign Analysis: Goal vs Actual

July 1, 2010 - June 15, 2011: \$71,561,171



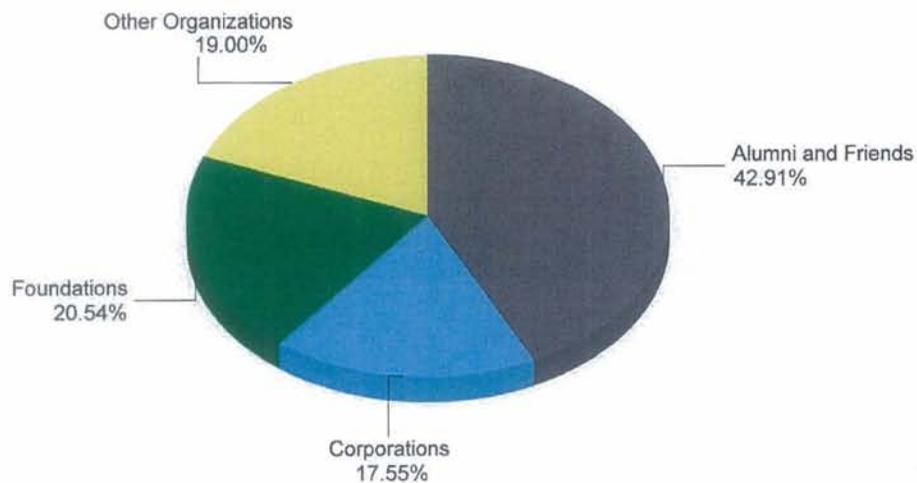


CHANGING WORLDS

—◆ the CAMPAIGN for UNM ◆—

Campaign Analysis by Source

July 1, 2006 - June 15, 2011: \$394,881,218



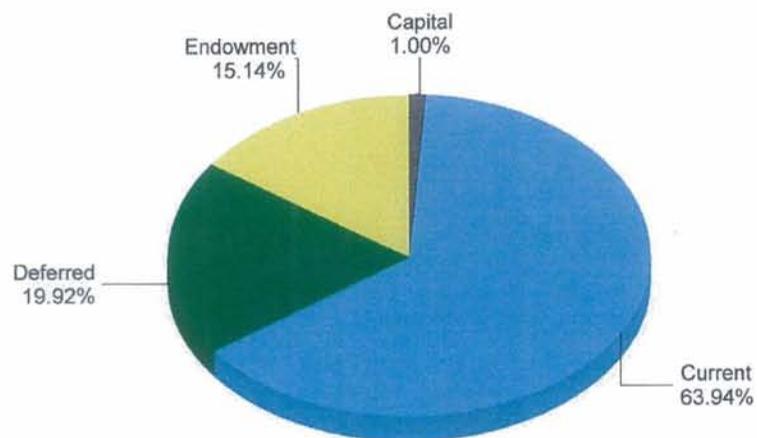


CHANGING WORLDS

— the CAMPAIGN for UNM —

Campaign Analysis by Purpose

July 1, 2006 - June 15, 2011: \$394,881,218





**PARENT ASSOCIATION REPORT TO THE BOARD OF REGENTS
BY: PRESIDENT, MARIA PROBASCO
JUNE 27, 2011**

3rd Annual Appreciation Reception: The Parent Association's 3rd Annual Appreciation Reception on May 20, 2011 was a huge success. A big thank you goes to LOBO Village and the American Campus Communities (ACC) for sponsoring this event. There were over 120 parent volunteers, business partners and members of the University Community. A tour of these beautiful student housing facilities was given by LOBO Village staff to all the guests. Everyone in attendance loved these facilities and entertained the notion of returning back to college so they can live at LOBO Village.

Scholarship Committee: In May, the Parent Association's Scholarships Committee under the leadership of Chair, Bettina Dorman completed the scholarship review and award process. The committee received and reviewed 150 applications. Thirty \$1,000.00 scholarships for Academic Year 2011/2012 were awarded to full-time graduate and undergraduate students. Attached to this report is the list of recipients, their named sponsors, and applicant demographics summaries.

Parent Association Board of Directors Meeting: The Board met on Thursday, June 2, 2011. Some of the items the Board discussed and took action on were relating to the revised and approved Bylaws and MOU:

- **MOU:** The Board discussed the increased hours of administrative support to the Association as approved in the revised Memorandum of Understanding (MOU). In accordance with this revision, the Association should be getting 20 hours per week of Administrative Support. I have visited with Walt on this and also expressed the need to have an experienced person working out of the Parent Association Office so our parent volunteers know who they need to be working with. We are currently getting fragmented support. The other benefit of this person working out of the Parent Association Office is the fact that volunteers and visiting parents know that the office is open and there is someone there to work with them and/or provide information about the Association. This person can also help with the backlog of data entry of sponsors/donors, active members, volunteers, events and activities etc., as these are critical to the growth the Association in terms of active membership and revenue generated for UNM-student scholarships.
- **Bylaws:**
 - The reorganization of the standing committees.
 - Parent Club Chairs are now voting members of the Board of Directors.
 - The Association currently has a Parent Club in Farmington, Gallup, Santa Fe and we have interest for Las Cruces, Texas and California. I have visited with Walt Miller, the Association's

Executive Director in working out the logistics in going to these areas and support the parent club chairs with their kick-off meeting.

- Honorary Membership – Bob Notary
Mr. Bob Notary was unanimously approved as the Parent Association's first Honorary Member. Bob exceeds the criteria for Honorary Membership as defined in the Revised Bylaws. Please take a few moments to review Bob's nomination attached.

Media Opportunities: Parent Association Members went on two Radio Shows promoting the Association, its activities and the University. We received several positive comments from parents and community leaders around the state who had the opportunity to hear these programs. The programs were:

- **Terrie q Sayre Show at 770 KKOB** on Saturday, June 19 from 8:00am to 9:00am. Members Anthony Gallegos, Nick Manole and I were the guests.
- **Art Ortega's "Weekly Edition"** radio show on Saturday and Sunday (June 18 & 19). Anthony Gallegos and I were the guests. The show aired at the following times and stations:
 - **8:30am (Sat)** **KNML-AM** **610 AM**
 - **8:30am (Sat)** **KTBL-AM** **1050 AM**
 - **6:00am (Sun)** **KDRF-FM** **103.3 FM**
 Magic 99.5 **99.5 FM**
 KKOB-FM **93.3 FM**
 KBZU-FM **96.3 FM**
 - **5:30pm (Sun)** **KKOB-AM** **770 AM**
 - **10:30pm (Sun)** **KRST-FM** **92.3 FM**

2011 Parent Association Holiday Ornament: The 2011 Holiday Ornament, designed by artist Jana Fothergill depicts Zimmerman Library was finalized and sent to ChemArt for a proof and final production.

Family Weekend: September 16, 17, & 18, 2011, the Tentative Schedule of Events are:

- Friday, September 16:
 - 2nd Annual Golf Tournament at Sandia Resort Golf Course with a shotgun start at 1:30pm.
 - 8:00pm - Tentative Event co-sponsored with Student Special Events (Hypnotist, Magician or Comedian).
- Saturday, September 17:
 - 9:00am – Parent Association's Annual General Membership Breakfast/Meeting—Keynote Speaker; Student Regent Jake Wellman
 - 10:30am – Workshops
 - 11:30am – Tailgate Party
 - 1:30pm – Football Game
- Sunday, September 18
 - 9:00am – A tour of the Pit and Breakfast

Summer Sendoffs: The Summer Sendoffs Committee under the leadership of Bernadette Mirabal is planning on holding this event at the following cities:

- Farmington – Saturday, July 30
- Gallup – Friday, August 5
- Santa Fe, Saturday, August 6
- Las Cruces, Saturday August 6

Upcoming Events/Activities:

- College Enrichment Program Orientations: Starting May 31 with last session on August 16.
- Lobo Orientations at the SUB: Starting June 1 with last session on August 26.
- Move-in Week: August 18, 19, 20, and 21, 2011
- Freshman Day & Convocation – August 21, 2011

UNM Parent Association Board of Director's Meeting

June 2, 2011

SUBJECT: Honorary Membership – Bob Notary

Dear Board,

I recommend Mr. Bob Notary for Honorary Membership into the UNM Parent Association. Bob meets the criteria for Honorary Membership as defined in the Revised Bylaws of the UNM Parent Association dated May 10, 2011.

The past five years Bob Notary has been one of the strongest supporters of the UNM Parent Association, parents, students and the University. Bob first became involved during the beginning phase of the creation of a Parent Advisory Board the later part of 2006. During those early years, Bob offered the Physical Plant Engineering and Energy Services Conference Room for our meetings and also provided input and guidance in the creation of a parent group at the University.

After the official recognition of the UNM Parent Association by the UNM Board of Regents in April 2008, Bob has been instrumental and the driving force in the Association's Fundraising activities. He served as the Silent Auction Committee Chairman for 2008 and 2009 during Parent Day at the Pit. Bob is also the person responsible in creating and developing the 1st Annual Parent Association Golf Tournament which brought in over \$31,000. As a result of Bob's efforts the Association was able to award third \$1,000 scholarships to students (23 more scholarships than previously projected). The past three years, Bob has also dedicated many volunteer hours in various events and activities.

Your approval of Bob Notary as Honorary Member of the UNM Parent Association will recognize the outstanding service rendered by an individual to the Parent Association, parents, students and the University of New Mexico.

I recommend your approval.

Respectfully,



Maria I. Probasco, President

UNM Parent Association



2011-2012 Parent Association Scholarship Recipients

Graduate Students (4 total)	
Timothy Borrer	Graduate Scholarship
Michael Pare	Graduate Scholarship
Rachel Milanez	Graduate Scholarship
Leah Thomas	Graduate Scholarship

Undergraduate Students (26 total)	
Paulina de los Angeles Cabrera	Undergraduate Scholarship
Estrella Wooldridge	Undergraduate Scholarship
Leslee Horn	WHPacific Inc. Scholarship
Carolina Gomez	Integrated Control Systems Inc. Scholarship
Kayleigh Dorcas	C-Tec Casework Technologies Scholarship
Alisha Smith	Undergraduate Scholarship
Janina Munoz	Undergraduate Scholarship
Justine Saavedra	Yanni's, Nick & Jimmy's, Premier Limousines Scholarship
Shaina Saint-Lot	C-Tec Casework Technologies Scholarship
John Peralta	Undergraduate Scholarship
Sonya Costanza	Undergraduate Scholarship
Matthew Solomon	AUI Inc. Scholarship
April Longhair	Undergraduate Scholarship
Allison Stapleton	Richardson & Richardson Inc. Scholarship
Marissa Vega	Undergraduate Scholarship
Joe'l Trujillo	Integrated Control Systems Inc. Scholarship
Ashley Martinez	Undergraduate Scholarship
Elsa Reyes	AUI Inc. Scholarship
Carrie Bullen	Daughters of Penelope Scholarship
Kelly Shaggy	AUI Inc. Scholarship
Lucille Packard	American Campus Communities - Lobo Village Scholarship
Andrirea Pirlot	Undergraduate Scholarship
Lory Henderson	Undergraduate Scholarship
Brittany Simplicio	Integrated Control Systems Inc. Scholarship
Julio Alfaro	Los Cuates Restaurants Scholarship
Vanessa Anaya	Undergraduate Scholarship



2011-2012 Parent Association Scholarship Applicant Demographics Summaries
Phase I: All Applications Received by April 13, 2011

Graduate Applicants (22 total)		
Hometown	Male	Female
Albuquerque, NM	2	15
Edgewood, NM	1	
Santa Fe, NM		2
Crownpoint, NM		1
Belen, NM		1
Total	3	19

Undergraduate Applicants (128 total)		
Hometown	Male	Female
Alamogordo, NM		1
Alamosa, NM		1
Albuquerque, NM	15	57
Belen, NM		2
Bloomfield, NM		2
Bosque Farms, NM	3	2
Carlsbad, NM	1	
Clovis, NM		1
Corrales, NM		1
Cuba, NM		1
Deming, NM		1
Edgewood, NM		2
El Prado, NM		1
Espanola, NM	1	2
Farmington, NM	1	1
Fruitland, NM	1	
Gallup, NM		1
Garfield, NM		1
Jemez Pueblo, NM	1	
Jones Creek, NM		1
Las Cruces, NM	2	2
Las Vegas, NM		1
Los Lunas, NM	1	
Newcomb, NM		1
Peralta, NM	1	
Ranchos de Taos, NM		1
Rio Rancho, NM		5
Santa Fe, NM	2	4

Taos, NM	1	2
Thoreau, NM		1
Tijeras, NM	1	
Veguita, NM		1
Denver, CO		1
Total	31	97



**2011-2012 Parent Association Scholarship Applicant Demographics Summaries
Phase III: Awarded Recipients**

Graduate Applicants (4 total)		
Hometown	Male	Female
Albuquerque, NM	1	2
Edgewood, NM	1	
Total	2	2

Undergraduate Applicants (26 total)		
Hometown	Male	Female
Albuquerque, NM	2	15
Bloomfield, NM		1
Bosque Farms, NM		1
Edgewood, NM		1
Espanola, NM		1
Peralta, NM	1	
Rio Rancho, NM		1
Santa Fe, NM		1
Taos, NM	1	1
Total	4	22

Alternates (3 total) Undergraduate Applicants		
Hometown	Male	Female
Albuquerque, NM		1
Garfield, NM		1
Santa Fe, NM		1
Total	0	3



THE UNIVERSITY *of*
NEW MEXICO

Office of the Vice Provost for Academic Affairs
MSC05 3400
1 University of New Mexico
Albuquerque, NM 87131-0001
505.277.2611

Date: 31 May 2011

To: Faculty Senate

From: Wynn Goering

Re: Degree Approval for Patrick Grange

Attached you'll find a recommendation from the Bachelor of University Studies program to award a bachelor's degree to Patrick Grange. The impetus and urgency behind this is that Pat has been diagnosed with ALS (aka Lou Gehrig's Disease), and his illness makes it impossible for him to pick up the remaining requirements. The Board of Regents meets on June 27th and we'd like to have a recommendation from the Faculty Senate for them to act at that meeting.

Let me know if you have further questions.

xc: Richard Holder
Tracy Skipp
Vivian Valencia



Office of the Dean
The University of New Mexico
1 University of New Mexico
Albuquerque, New Mexico 87131

Thursday, 26 May, 2011

RE: Patrick J. Grange _ DOB: Nov 04, 1982 _ Banner ID# [100921360]

The Bachelor of University Studies (B.U.S. Degree) program is requesting that the degree be awarded with two exceptions to the UNM Catalog degree requirements:

- 3 credit hours short of the 50 upper-division credit hours required;
- 1 core curriculum course in Mathematics unfulfilled.

In regards to degree requirements earned and those requested waived in this petition, the student has completed the minimum 128 credit hours required for a baccalaureate degree at UNM. The 50 upper-division credit hours requirement is a B.U.S program requirement that may be petitioned under extenuating circumstances, and the core curriculum is regularly waived for students in the "Graduation Project."

Considering the petition, I recommend that the student be awarded the Bachelor of University Studies degree retroactively for the Spring 2011 semester.

If you require any additional information or wish to discuss this matter further, please do not hesitate to contact me directly, tjskipp@unm.edu, (505) 277-7996.

Sincerely,

A handwritten signature in black ink, appearing to read "Tracy J. Skipp".

Tracy J. Skipp, Ed.D
Associate Dean, University College
Director, University Studies

A handwritten signature in blue ink, appearing to read "Wynn M. Goering".

Wynn M. Goering, Ph.D
Vice Provost for Academic Affairs
& Interim Dean, University College

A handwritten signature in black ink, appearing to read "Richard W. Holder".

Richard W. Holder, Ph.D
Deputy Provost for Academic Affairs

Stevens: A Band of Soccer Brothers Gather To Honor Pat Grange
Lobo soccer is holding a Saturday alumni game as fundraiser for Grange, ALS research and Lobo soccer

April 21, 2011

**Lobo Men's Soccer -- Lobos vs. Lobo Alumni
Fundraiser for Pat Grange/ALS research/Lobo soccer
When/Where: 6:30 p.m., Saturday -- Lobo Soccer Stadium**

By Richard Stevens -- Senior Writer/GoLobos.com

There are sports phrases that are tossed around so frequently and casually that you are tempted to dismiss them as coach-speak, player-speak or tired clichés.

But often when the time comes to scratch deeply into the soul of such phrases, what comes to the surface is sincere, meaningful and heartfelt.

For the New Mexico Lobo soccer team -- and even for the tightknit cluster that forms the Albuquerque soccer community - the "band of brothers," "We are family," "We have each others' back," are phrases that will be tested this Saturday in a fundraiser for former Lobo soccer player, Patrick Grange.

If you know anything about Jeremy Fishbein's family of Lobos, this Lobos vs. Lobo Alumni should be a smashing success.

It is a great cause and it should be a great game.

Pat Grange played for Albuquerque High and for the Lobos in 2003 and 2004. About four months ago, when once-strong muscles began to weaken, Grange found out he had ALS (amyotrophic lateral sclerosis), a nerve-killing disease that has no cure. The disease also is known as Lou Gehrig's Disease, in respect to the former New York Yankee great, who lost his life to this cruel disease.

Saturday's fundraising event will include a silent auction to benefit the Grange family, ALS research and Lobo soccer.

"Too often it is unfortunate situations that bring groups together and make you realize the power that comes from being part of a family or part of a team," said Fishbein.

"This is a powerful time for our Lobo men's soccer family and we are looking forward to spending this time with Pat. As a player, Pat had a reserved personality, but he was passionate about his commitment to soccer and just came alive on the field.

"The outpour of support is incredible. Maybe the real challenge from all this is for us to harness this positive energy and support on a daily basis and be there for each other regardless of the circumstances."

The circumstances creating this fundraiser obviously are solemn ones, but the day created also is one of celebration and joy -- for Lobo family, by Lobo brothers.

"Pat is a great friend to everyone," said Brandon Moss, a former Lobo teammate and Lobo assistant coach. "He was one of those teammates who was always there for you. UNM benefited from having him on the team.

"The Albuquerque soccer community is a tight knit family and it's not surprising to see so many people want to get involved and help. It's a neat thing to see, but I think this community would do it for anyone."

Grange, 28, seemed destined to be a star in some sport from the moment he picked up a ball. He chose soccer. He was a club-ball standout and went on to star for the Albuquerque High Bulldogs. From AHS, he went to the University of Illinois before returning to finish his college career at UNM.

He helped pace UNM to an NCAA Sweet 16 appearance in 2004 by scoring two game-winning goals in earlier rounds.

Saturday's event will include at least nine players from UNM's 2005 team that played in that year's NCAA National



UNM's alumni game Saturday is a fundraiser to benefit ex-Lobo Pat Grange in his battle against ALS (Lou Gehrig's Disease).

Championship game: Mike Graczyk, Jeff Rowland, Lance Watson, Brandon Moss, Mike Porter, Jack Smithson, Erik Bagwell, Ryan McVay and Cody Hanna.

The event will include 15 former Lobos off Grange's senior-year team that advanced to the NCAA Sweet 16 and all six seniors from the 2010 UNM squad will be on the Lobo Alumni roster. "On that (2005) soccer team, there wasn't one person who didn't love Pat," Rowland told The Albuquerque Journal.

Admission to the game is free, but donations will be accepted at the gate. The silent auction will include jerseys signed by Cristiano Ronaldo, Kaka, FC Dallas, Seattle Souders, golf packages, spa treatments, a big-screen TV and airline tickets.

There also should be an exciting brand of soccer thrown out on the Lobo field on Saturday. The Lobo veterans might have the edge in experience -- and depth. Because of the nature of this alumni reunion, there could be as many as 50 former Lobos wearing cleats and looking for some turf time.

But can these "old" Lobos hang with Fishbein's young pups when it comes to getting up and down the field? Will Fish relax the college substitution rules to allow these old boys to sub a bit more freely and catch their breath?

"We are playing a pretty good team. It's loaded," said Fishbein. "But we should have an advantage in team cohesion and we probably are more match fit."

No doubt, the game will be competitive, but there is a special quality about this game that transcends scoreboards.

A band of brothers is gathering to honor one of their own.



The following materials relate to the proposed appointment of Dr. Kathleen Holscher as the first occupant of the Endowed Chair of Roman Catholic Studies at UNM. The existence of this Endowed Chair was approved by the Board of Regents in 2007, as a result of fundraising done by the UNM Religious Studies Program, the College of Arts & Science, and then-UNM Foundation employee Sachi Isobe. The major donation came to the UNM Foundation from the Archdiocese of Santa Fe (Archbishop Michael J. Sheehan), through the generosity of several major donors. Today, per Regents Policy Manual 5.18, the Board of Regents is asked to approve the appointment of Dr. Kathleen Holscher as the first Chairholder.

Kathleen Holscher



VILLANOVA UNIVERSITY

DEPARTMENT OF THEOLOGY
AND RELIGIOUS STUDIES

February 15, 2011

Dr. Sharon Erickson Nepstad, Director of Religious Studies,
MSC02 1610
1 University of New Mexico
Albuquerque, NM 87131-0001

Dear Dr. Erickson:

I am writing to apply for the position of assistant professor of Roman Catholic Studies in the Religious Studies Program with joint appointment in the Department of History at University of New Mexico. I am currently assistant professor of Theology and Religious Studies at Villanova University. I received my Ph.D. in 2008 from the religion department at Princeton University, where I studied in the Religion in America program. My methodological training is in cultural historical approaches to the study of religion, and my area of specialization is Catholicism in North America. University of New Mexico represents a near-ideal academic fit for me. I am excited by the opportunity to teach at a rigorous and diverse public institution, and enthusiastic about the potential for high-quality collaboration with religion scholars from different disciplines. In addition, my own scholarship focuses on the Catholic historical presence in the American West and New Mexico in particular. I would value living in proximity to current and prospective research sites, as well as the opportunity to serve students within the region that I study.

My research and teaching interests will contribute a valuable Roman Catholic component to the Religious Studies Program. My current book-length work—entitled *Religious Lessons: Catholic Sisters, Public Education and the Law in Mid-Century New Mexico*—inquires into how communities of Protestant and Catholic Americans made sense (or had difficulty making sense) of the legal notions of religious liberty and church state separation in the early-to-mid twentieth century. The project is built around a group of Catholic women religious who taught for decades in New Mexico's public schools. In 1947, Anglo and Hispano residents aided by the national organization Protestants and Other Americans United for Separation of Church and State brought a lawsuit against the sisters, accusing them of violating state and federal constitutions. That suit—the most well-known of several “captive school” cases litigated across the country at midcentury—prompted a feverish national conversation about Catholic influence in education and, in New Mexico, the ban on sisters in public classrooms on the basis of the religious clothing they wore. My project brings disparate histories—a local history of sisters at work in rural Hispano communities and a national history of a changing legal principle—together. In doing so, it reveals intersections between so-called intellectual and lived historical perspectives, and offers evidence of the practical ways in which religious sensibilities have informed both New Mexican and more broadly American ideas about law. *Religious Lessons* is under contract with Oxford University Press, and I will submit final revisions to the manuscript in summer of 2011. I have also written an article on the history of religious garb conflicts recently published in *Journal of Church and State*. This article situates American legal battles over Catholic habits in a comparative context with legislation restricting Muslim headscarves in Western Europe today.

Kathleen Holscher

Over the next year, I will turn my attention to two new projects I believe hold great potential. The first of these is a history of Catholicism and the early twentieth century labor movement, particularly within the mining industries of the American West. Responding to this movement during the 1930s and forties, American folklorists turned to the category of "occupation" as a lens for sorting out the distinctive lived behaviors of the working classes, including their "beliefs and superstitions." Of the occupations these scholars showed interest in, the most-often written on was mining. This project will study how (and if) the era's labor organizing efforts resituated occupation as a formative category for religious practice and experience among Catholic mining communities. I plan to begin field research later this year in Butte, Montana, a copper mining community that doubled as a hotbed for Catholic labor organizing. The second item on my research agenda explores the development of Catholic devotional perspectives on American foreign policy, and in particular U.S. militarism, during the Cold War era. For mid-century Catholics—like many evangelical Christians today-- the geo-political and eschatological were hopelessly entangled in American foreign engagements, and the successful navigation of both depended upon a combination of military intervention and militant prayer. Most Catholic laity supported American interventions abroad, and they did so imaging the frontlines between Communism and the free world as populated by a host of supernatural personalities. Devotional life informed Catholic thinking on everything from the uses of atomic weaponry to the obligations of citizens to fight for their government. As an early piece of this project, I delivered a paper at the 2010 American Academy of Religion annual meeting on devotional cults dedicated to Catholic military chaplains killed in Cold War conflicts.

I am prepared to offer students at University of New Mexico courses on many topics within the field of Roman Catholic Studies, as well as historical courses in American religion and methodological courses on the study of religion. During my six semesters at Villanova I have taught undergraduate and graduate courses, including Christianity in History (with an emphasis on Catholic history), Latino Religion in the U.S., Catholicism in the U.S., Catholics and American Freedom, and Religion Along the American Frontier. In the future, I would enjoy teaching courses on the place of labor, occupation and class within American Catholicism, and on Catholics, politics and the law. Cultural historical scholarship requires interdisciplinarity, and my work is informed by conversations grounded in anthropology, law, theology, and ethnic and women's studies. This background has prepared me to supplement my courses with a variety of theoretical perspectives and tools for learning. My graduate work at Princeton coupled with my time as faculty at Villanova (an Augustinian Catholic institution) has given me experience teaching Catholic Studies in both Catholic and secular environments, working closely with colleagues in both theology and religious studies, and with students from different religious and non-religious backgrounds. I deeply appreciate the mission of public higher education, and I believe I am well equipped to teach Catholic topics with a sensitive yet critical approach at an institution like University of New Mexico.

The prospects of working at University of New Mexico, above all the opportunities to teach a diverse student body, to develop intellectually invigorating relationships with colleagues, and to build upon my historical research in the region, are uniquely attractive to me. My curriculum vitae, two syllabi and two writing samples are enclosed for your consideration. Three letters of recommendation will arrive under separate cover. Please do not hesitate to contact me if you require any further information. Thank for your consideration of my application.

Sincerely,



Kathleen Holscher

Enc. (3)

KATHLEEN A. HOLSCHER

Assistant Professor, Department of Theology and Religious Studies
Villanova University
800 Lancaster Avenue Villanova, PA 19085
(563) 564-6024
kathleen.holscher@villanova.edu

EDUCATION

Ph.D. in Religion, September 2008.

Department of Religion, Princeton University, Princeton, New Jersey.

Dissertation: "Habits in the Classroom: A Court Case Regarding Catholic Sisters in New Mexico."

Committee: Dr. Leigh Eric Schmidt (advisor and chair), Dr. R. Marie Griffith, Dr. Sarah Barringer Gordon.

Masters of Arts in Religion, September 2005.

Department of Religion, Princeton University.

Bachelor of Arts, with distinction of high honors, June 2001.

Swarthmore College, Swarthmore, Pennsylvania.

Majors: Religion / English Literature

TEACHING AND RESEARCH INTERESTS

American Catholic History, American Religious History, Religion and Culture/Cultural History, Religion in the American West / Borderlands, Latino Religion, Religion and Constitutional Law, History of the Study of Religion, History of Christianity.

FELLOWSHIPS, HONORS AND AWARDS

John Tracy Ellis Dissertation Award, American Catholic Historical Association, 2007.

Dissertation Completion Fellow, Mellon / American Council of Learned Societies, 2007-2008.

Graduate Fellow, Center for the Study of Religion, Princeton University, 2006-2007.

Departmental Nominee, Whiting Fellowship Competition, Princeton University, 2006.

Kathleen Holscher

PROFESSIONAL EMPLOYMENT

Assistant Professor, August 2008-present.

Department of Theology and Religious Studies, Villanova University.

Preceptor, January 2005-June 2006.

Department of Religion and Center for Human Values, Princeton University.

Worked closely with faculty members to organize course content, led weekly discussion sections of ten to fifteen students, assigned grades to students, delivered occasional course lectures.

Research Assistant, June 2002-August 2002.

Department of Religion, Princeton University.

Worked with Dr. Leigh Schmidt, conducting library research for a project on nineteenth century American religious liberalism.

TEACHING EXPERIENCE

Religion in America, Villanova University, Spring 2011.

Catholicism in the U.S., Villanova University, Spring 2009 (graduate seminar), Spring 2010, Fall 2010, Spring 2011, *Fall 2011.*

Catholics and American Freedom (undergraduate honors course), Villanova University, Fall 2010.

Latino Religion in the U.S. (cross-listed Latin American Studies), Villanova University, Fall 2009.

Religion Along the American Frontier (cross-listed Latin American Studies), Villanova University, Spring 2009, *Fall 2011.*

Christianity in History, Villanova University, Fall 2008, Spring 2009, Fall 2009, Spring 2010.

The History of the Study of Religion (as preceptor, with Dr. Leigh Schmidt), Princeton University, Spring 2006.

Practical Ethics (as preceptor, with Dr. Peter Singer), Princeton University, Fall 2005.

Roman Catholicism in America (as preceptor, with Dr. Albert Raboteau), Princeton University, Spring 2005.

Kathleen Holscher

PROFESSIONAL SERVICE

Editorial Consultant, February 2011-present.
American Catholic Studies (journal), Villanova University.

Steering Committee Member, November 2009-present.
Cultural History of the Study of Religion Group,
American Academy of Religion.

Steering Committee Member, Summer 2010-present.
Committee for Implementation of American Studies Certificate,
Graduate Liberal Studies Program, Villanova University.

Ad Hoc Committee Member, October 2009-April 2010.
Committee for Revision of the Core Offering,
Department of Theology and Religious Studies, Villanova University.

Advisory Board Member, August 2008-present.
The Theology Institute, Villanova University.

Graduate Committee Member, September 2009-present.
Department of Theology and Religious Studies, Villanova University.

Peer Reviewer, Fall 2010.
Journal of Church and State.

Peer Reviewer, Spring 2010.
Journal of Peace and Justice Studies.

Peer Reviewer, Summer 2009.
Journal of the American Academy of Religion.

PUBLICATIONS

Religious Lessons: Catholic Sisters, Public Education and the Law in Mid-Century New Mexico (book-length manuscript under contract with Oxford University Press).

"Contesting the Veil in America: Catholic Habits and the Controversy over Religious Clothing in the United States," *Journal of Church and State* 2011 (advance publication available at doi: 10.1093/jcs/csq146).

"The *Converso* Families of New Mexico." Review of Stanley Hordes, *To the End of the Earth: A History of the Crypto-Jews in New Mexico*. *H-Net: Humanities and Social Sciences Online* (<http://www.h-net.org/reviews/showrev.php?id=23916>).

Kathleen Holscher

"Education in the Borderlands." *The Borderlands: An Encyclopedia of Culture and Politics on the U.S.-Mexico Divide*. Ed. Andrew Wood. Greenwood Press, 2008.

SELECTED INVITED LECTURES AND CONFERENCE PRESENTATIONS

"A Decision that "Spits in the Face of Our History": Catholics, Protestants and the Changing Church-State Vision of 1960s America" (part of proposed panel; not yet accepted). *American Historical Association (AHA) Annual Meetings, January 2012*.

"For God and Nation: Exploring the Intersections of Catholic Devotional Culture and American Civil Religion." *Spring Meeting of the American Catholic Historical Society, Philadelphia, April 2011* (invitation extended).

"A Doubled Higher Calling: American Catholics, Memory and Military Saints." *American Academy of Religion (AAR) Annual Meeting, Atlanta, November 2010*.

"Religion Along the American Frontier." Syllabus presentation. *American Academy of Religion (AAR) Annual Meeting, Montreal, November 2009*.

"The Sister Danger: How the Dixon Case Shaped American Conversations About Church-State Separation," *Brainpower and Brown Bags Luncheon Series, Fray Angelico Chavez Historical Library, Santa Fe, New Mexico, June 2009*.

"Captured!: Catholic Sisters, Public Education and the Mid-Century Campaign Against 'Captive Schools,'" *American Catholic Studies Seminar, Cushwa Center for the Study of American Catholicism, University of Notre Dame, October 2008*.

"Captured!: The Midcentury Debate Over Catholic Sisters in Public Education." *Kalamazoo College, October 2008*.

"The Weight of a Franciscan's Shadow: The Reconstruction of Hispano-Catholicism in Early Twentieth Century New Mexico," *Center for Mission Effectiveness, Villanova University, October 2007*.

"Classrooms on the Border: The Work of Catholic Sisters Between Cultures, Institutions and Ideas in the American Southwest," *Conference on the History of Women Religious, University of Notre Dame, June 2007*.

"'Tillers of the Soil' and German Nuns: Locating Ethnicity in the Religious History of Twentieth Century New Mexico," *Through the Prism of Race and Ethnicity: Re-Imagining the Religious History of the American West, Inaugural conference, Arizona State University, March 2006*.

Kathleen Holscher

“Learning Civics, Learning Saints: The Litigation of Catholic Visual Culture in New Mexico’s Public Schools,” Southwest / Texas Popular Culture and American Culture Associations annual meeting, February 2006.

“Making Religion in the Courtroom: The Practical Implications of the Anthropologist Expert Witness,” American Academy of Religion (AAR) annual meeting, San Antonio, November 2005.

PROFESSIONAL MEMBERSHIP

American Academy of Religion, American Historical Association, American Catholic Historical Association, American Catholic Historical Society.

OTHER ACTIVITIES

Member of the American Academy of Religion, the American Historical Association, the American Catholic Historical Association, the American Catholic Historical Society.

Volunteer Advocacy Organizer, CARE International, Philadelphia, Pennsylvania, February 2006 - present (District Chair, 2008-10; State Chair 2010-present).

Volunteer, Back on My Feet, Philadelphia, Pennsylvania, May 2009 - October 2010.

The University of New Mexico
Board of Regents' Policy Manual

5.18 Subject: ENDOWED FACULTY CHAIRS

Adopted: June 14, 2005

Applicability

This policy applies to the faculty of the University of New Mexico.

Policy

The quality of the faculty is one of the enduring hallmarks of a great institution. The University of New Mexico will have made great strides toward being a world-class institution when a large number of eminent scholars across many different areas of study are members of our faculty.

An endowed chair is an honor that can be bestowed on a scholar of distinction. This honor will allow an individual to conduct meaningful inquiry that will expand the frontiers of knowledge and instruct generations--as a result the reputation of the University will be enhanced. An endowed chair is a singular opportunity to recognize and sustain innovative intellectual work. The income derived from such an endowment can be used to provide salary support or to fund specific laboratory or other scholarly expenses.

The Role of the University of New Mexico Foundation

An endowed chair may be established by a gift or conferred pledge of \$1.5 million. When the University of New Mexico Foundation (UNM Foundation) becomes aware of a donor's wish to establish an endowed chair, the UNM Foundation will communicate the intent of the donor to the President of the University, the Provost and Executive Vice President for Academic Affairs and the Executive Vice President for Health Sciences. Upon approval by the President, the UNM Foundation will oversee the details of the gift pledge and will ensure that a fundamental agreement is drafted. A resolution proposal by the UNM Foundation will be forwarded to the Board of Regents Academic and Student Affairs Committee. Appropriate University offices will receive copies of fundamental agreements and resolutions. The Board of Regents Academic and Student Affairs Committee will, if approved, forward the resolution to the Board of Regents for action.

The Role of the Regents of the University of New Mexico

The Board of Regents, as the governing body of the University, will act to approve both the establishment of a chair and its holder. In both cases their action will be preceded by review and approval by the Board of Regents Academic and Student Affairs Committee. (These actions can occur simultaneously or at different times).

Once the candidate to hold the chair has been approved by the Board of Regents, the University will take steps to invest in that individual and the chair. This ceremonial occasion would bring together the donors responsible for creating the chair, other donors and friends of the University with friends and family of the chair holder, friends and board members of the University and if appropriate, invited guests who share scholarly interests with the chair holder to celebrate with members of the academic community. Venues for such an event may vary; possible examples include the Alumni Memorial Chapel, the Student Union Building or on some occasion the outdoors. The UNM Foundation will be responsible for planning and executing the event.

Role of the Provost and Executive Vice President for Academic Affairs

The Provost and Executive Vice President for Academic Affairs should ensure that chair holders provide a report of their activity during the course of an academic year. This ensures the academic integrity of the chair and also provides the donor with information on the positive outcomes of his or her gift.

Implementation

The University should seek to initiate this program for the academic year 2005-2006.

1. The UNM Foundation should not be in the position to dictate how funds are spent. There should, however, be some guidelines in place for how funds derived from an endowed chair are used.
2. At present, there are seventeen endowed chairs that conformed to guidelines approved and in place at the time of their creation. There is one chair that has been created at the \$1 million level however, it should be noted that going forward all chairs should be at the \$1.5 million level.
3. Thought and consideration must be given as to when to 'start this process' (would it be appropriate to honor all those currently in place at one event then to carry on one at a time from there on out).

References

Naming University Facilities, Spaces, Endowments, and Programs, [RPM 2.11](#); Receipt and Investment of Gifts to the University, [RPM 7.13](#).

Comments should be sent to BRPM@UNM.edu

Go to:

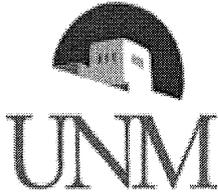
[Table of Contents](#)

[Table of Contents - Section 5](#)

[Regents' Policy Manual Homepage](#)



The University of New Mexico
Albuquerque, New Mexico



The University of New Mexico
Purchasing Department
MSC01 1240
1 University of New Mexico
Albuquerque, NM 87131-0001

MEMORANDUM

To: David Harris, Executive Vice President for Administration
From: Bruce Cherrin, Chief Procurement Officer
Subject: Contract Approval
Date: June 20, 2011

The following is being submitted for approval per Regent Policy 7.4.

1. Center for Micro-Engineered Materials – Rigaku

In accordance with IFB 1194-11 a bid was solicited on June 7, 2011 for the purchase of an X-ray Diffraction System. This is a research system for Nano-bio Materials and Earth Sciences which will be housed in the Earth and Planetary Sciences building. The system will have many uses including:

- 1) Data collection on numerous samples utilizing sequentially automated specimen exchange,
- 2) Ability to do real-time controlled environment experiments under non-ambient conditions, and
- 3) Ability to collect data from extremely small samples or spots from larger samples.

Source of Funds: ARRA

Total Cost: Approximately \$560,000 which includes delivery, installation and training

2. Human Resources – Presidential Executive Search

Proposals were solicited on May 23, 2011 on behalf of the UNM Board of Regents for the Presidential Executive Search Assistance for the University of New Mexico. There were a total of nine respondents for the request for proposal.

The professional fee to perform the services will be one-third of the total first year's cash compensation, plus indirect costs of 15% of the professional fee, out-of-pocket expenses, advertising costs, candidate travel expenses, consultant travel expenses, and background investigations.

Source of funds: The funding source for this service will come from the 2008 General Obligation Bond

The committee recommends awarding the contract to Storbeck/Pimentel as the highest ranked offer.

3. Financial Services/Human Resources/IT – Kronos

Finance, Human Resources, and Information Technologies were tasked with investigating an approach to institutionally supporting automated time reporting at UNM. The request was in large part the result of a recommendation from an internal audit review of payroll processes at UNM. After surveying the University environment, the task force began working with Kronos Inc., an industry leader in time attendance and management, to collaboratively develop a project model, plan, and funding approach.

Kronos was selected due to the vendor's successful implementation at University Hospital and current placement with 3 UNM departments on main campus, including one which directly feeds to UNM's Banner HR/Payroll system. The resulting plan proposes a one year project spanning Fiscal Year 2012 and 2013, to firmly establish the institutional instance of Kronos, move the 3 existing UNM Kronos departments onto the institutional instance, and phase in an additional group of UNM departments as early adopters. If approved, the plan will establish a significant automated time reporting solution at UNM that is capable of supporting additional departments in future years.

Source of funds: Charge back to individual department budgets

Total cost: \$984,863.00

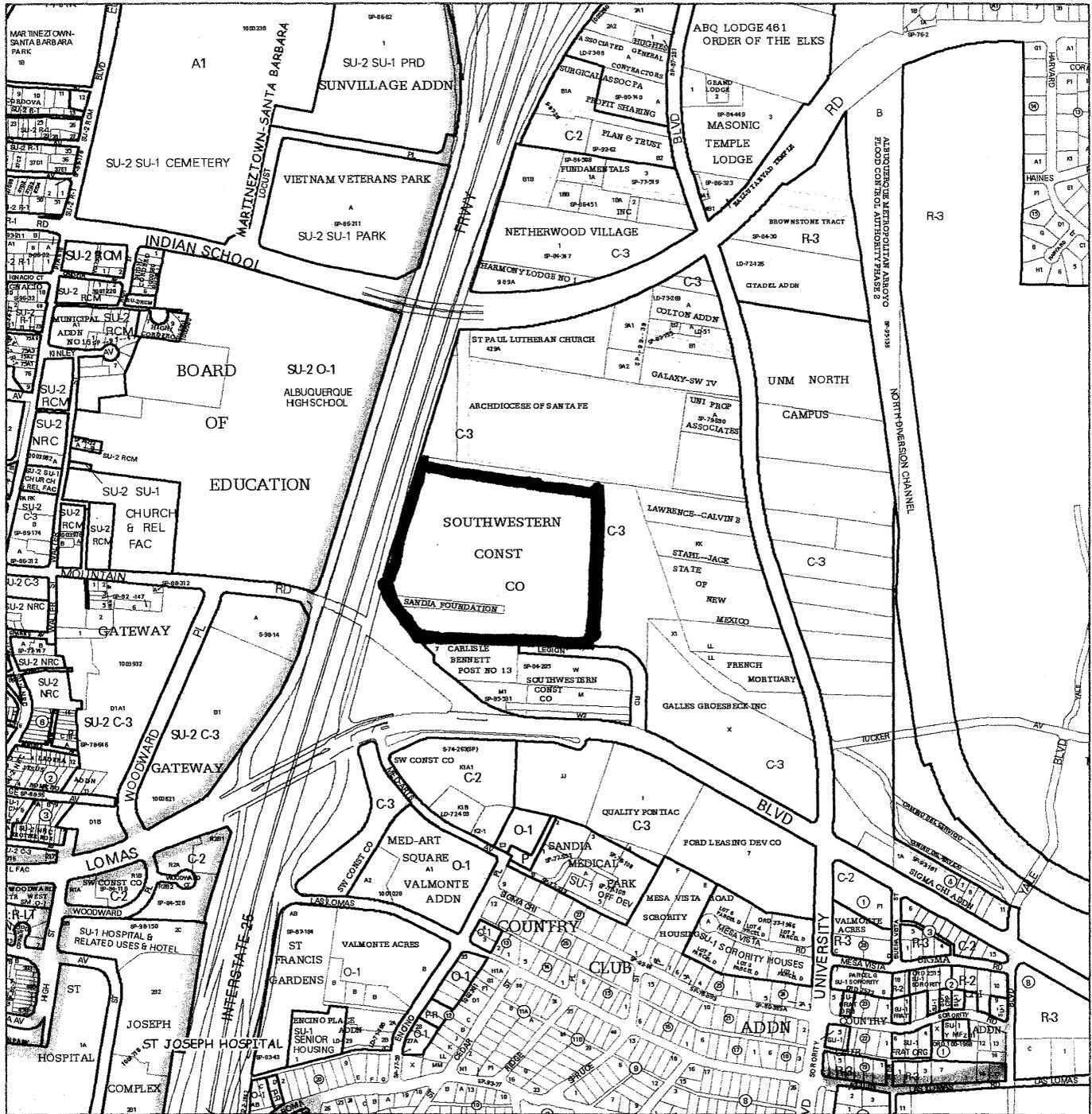
Memo

To: David W. Harris, Executive Vice President for Administration, COO and CFO
From: Kim D. Murphy, Director of Real Estate
Date: May 23, 2011
Re: Real Property Acquisition of 18.9926 acres of land located in the northeast quadrant of the Interstate 25 Frontage Road and Lomas Boulevard.

We are requesting Board of Regents approval to purchase a vacant tract of land containing 18.9926 acres (827,318 square feet) located in the northeast quadrant of Lomas Boulevard and the Interstate 25 Frontage Road. The property is subject to a long term lease with option between Sandia Foundation, as Lessor, and the The Regents of the University of New Mexico, as Tenant. The 49-year ground lease commenced on December 1, 1967 and terminates November 30, 2016. The lease provides for a purchase option that can be exercised within five years prior to expiration of the term.

Based on the formula provided in the lease documents, as of April 2011 the sale price would be \$2,189,558, or \$2.65 per square foot. Purchase price will be adjusted to the date of closing and is estimated to be no greater than \$2,250,000. The market value of the site, as established by a recent appraisal, is \$3,720,000, or \$4.50 per square foot.

The intended use of the site is for expansion of health clinic services to complete the Health Sciences Center Facility Master Plan. The funding source is the Health Science Center Capital Initiative Fund. With Regents approval, the Real Estate Department will complete due diligence on the property to insure compliance with the Higher Education Department and State Board of Finance requirements.



For more current information and more details visit: <http://www.cabq.gov/gis>

Map amended through: 2/18/2007

Note: Grey Shading Represents Area Outside of the City Limits

Zone Atlas Page:
J-15-Z

Selected Symbols

SECTOR PLANS	Escarpment
Design Overlay Zones	2 Mile Airport Zone
City Historic Zones	Airport Noise Contours
H-1 Buffer Zone	Wall Overlay Zone
Petroglyph Mon.	

0 750 1,500 Feet



University Services

University Services Business Operations UNM Surplus Property
UNM Copy Center UnivServ Shipping and Receiving
UNM Mailing Systems UNM Inventory Control
UNM Records Management Chem Stores/CRLS
<http://www.unm.edu/~univserv/> Copier Management Program

Date: May 15, 2011

To: Bruce Cherrin, Chief Procurement Officer
 Purchasing Department

From: Debra L. Fondino 
 Associate Director, University Services

Subject: Equipment Disposition – May 2011

Attached for your review and submission to the Board of Regents is the Surplus Property Disposition Detail list for the month of May, 2011.

Consistent with UNM Board of Regents Policy 7.9 and the Disposition of Surplus Property Act, 13-6-1, NMSA 1978, and based upon documentation submitted by the UNM departments responsible for the equipment, I certify that the equipment identified on the list is worn-out, unusable or obsolete to the extent that the items are no longer economical or safe for continued use by the University. I recommend that the items be deleted from UNM's inventory and disposed of in accordance with the above noted Regents Policy and Surplus Property Act.

Surplus Property Department
Disposition Detail List
as of 05-15-11

Notes	P-Tag #	Department	Asset Description	Manufacturer	Model	Serial Number	Cap Date	Cost	NBV	Disp Method	Disp Date
	124449	Neurosciences	MICROTOME W/MICROSCP	SORVAL	MT-2B	7701692	1978	\$7,211.00	\$0.00	Obsolete	5/8/2011
	154474	Psychology Department	COMPUTER CPU/SYSTEM	BIOLAB		A22522	1983	\$5,000.00	\$0.00	Beyond Repair	4/25/2011
	195199	Biology Department	FREEZER	VWR	D8513SUFU	XA107032	1991	\$5,234.00	\$0.00	Obsolete	4/29/2011
	217358	Chemical Nuclear Engineering	STERILIZER	AMSCO	CAS20	SS79165PS1	1996	\$6,100.00	\$0.00	Obsolete	4/25/2011
	226089	Manufacturing Engineering	COMPUTER PORTABLE	TOSHIBA	TECHRA	3718715	1997	\$7,704.00	\$0.00	Obsolete	5/8/2011
	231481	Manufacturing Engineering	COMPUTER PORTABLE	TOSHIBA	PII266 780 CDM	68794206A	1998	\$5,912.00	\$0.00	Obsolete	5/8/2011
	242791	Molecular Genetics Microbiology	TANK LIQUID NITROGEN	CRYO	SSBA	562002S70006	2000	\$6,607.00	\$0.00	Too Costly to Repair	4/25/2011
	253751	Electrical Computer Engineering	PROJECTOR	LUMEN	XGA1300	5RW13800074	2002	\$5,867.00	\$2,053.42	Too Costly to Repair	4/29/2011
	254242	IT Customer Service	LASERJET PRINTER	HOLMAN	9000DN	JPBGP15095	2002	\$5,424.00	\$0.00	Obsolete	4/25/2011
(1)	N00004211	Cell Bio Dept	Genome System	Synoptics	GeneGnome HR system		2005	\$19,052.60	\$2,948.39	Too Costly to Repair	4/29/2011
(2)	N00005192	Univ Lbry BCM General Admin	Digital Book Scanner	Lason	PS5000C		2005	\$12,586.00	\$0.00	Obsolete	4/25/2011

Disposal Count: 11

Disposition Asset Value: \$86,697.60

Disposition Book Value: \$5,001.81

May 15, 2011

Additional Notes to Surplus listing dated 5/15/11:

1 – Asset# N00004211 is a 2005 Imaging Computer with a corrupt Windows file. The operating system could not be upgraded because the software and hardware would most likely need to be replaced. This would have been costly with no guarantees that it would work correctly. The Cell Biology and Physiology department has other and better options available for performing the same task.

2 – Asset# N00005192 is a 2005 digital book scanner that has reached the end of its serviceable life. Additionally, it was not functioning to required levels and was due for recalibration and maintenance. Given these facts, the University Libraries determined it was more efficient and cost effective to surplus the item and rely on other similar items already in service.



The University of New Mexico
Purchasing Department
MSC01 1240
1 University of New Mexico
Albuquerque, NM 87131-0001

MEMORANDUM

To: David Harris, Executive Vice President for Administration
From: Bruce Cherrin, Chief Procurement Officer *Ba*
Subject: Contract Approval
Date: May 23, 2011

The following is being submitted for approval per Regent Policy 7.4. This will need to proceed to the full Board of Regents.

University of New Mexico Hospital

1. Dekker/Perich/Sabatini, Ltd.

Source of Funds: UNM Hospitals Capital Budget

Description:

Request to move to Phase III of the existing consulting services agreement for planning services for the UNM Health Sciences Center Master Plan. The original agreement was for Dekker/Perich/Sabatini (DPS) to develop a refined scope of work to address a more detailed planning effort for the UNM HSC Campus Master Plan to include project management, existing conditions documentation, site precept/guiding principles development, concept development and an approved master plan for the UNM Hospitals north campus corridor. Agreement was approved by the Regents on December 10, 2009.

This request will be for the planning and programming/design of a new addition to UNM Hospital in the lands west of University Boulevard along Camino de Salud. This facility is to be Phase I of the implementation of the Adult Acute Care Hospital identified in the HSC Master plan dated July 1, 2010. The original intent of the master plan was to build the new hospital as a single project. Due to capacity issues in the current hospital, a three phase approach is being taken. This project will consist of a new 96 bed hospital located by the Outpatient Surgery and Imaging Services (OSIS) building and Cancer Center. There will be a connection to the OSIS via an underground tunnel to take advantage of existing surgical suites. This project will include adding two additional operating rooms at OSIS as well as some minor remodeling of the central sterile areas. Half of the 96 beds will be used for rehabilitation services and long term acute care beds. This facility will also house clinical and faculty office space for both the Eye Clinic and the Orthopedic Clinic. Part of the request is to include special services for low voltage design, hospital programming and updating existing facility program for Eye and Orthopedic clinics.

Since opening the Pavilion in 2007, an additional 62 beds were opened in the old main hospital. There is no longer any additional space that could be renovated to create more inpatient beds. UNM Hospital currently has 306 adult beds and consistently runs at 95% occupancy rate. The hospital is experiencing a significant increase in neurosciences and surgical oncology services patients. The emergency department at any given time can be holding 10 to 30 patients waiting for an inpatient bed. The average wait time for a patient in the emergency department for an inpatient bed is 24 to 36 hours.

In planning for the 96 bed hospital, consideration was taken of the new 68 bed Sandoval Regional Medical Center scheduled to open in the spring of 2012. There is enough demand within the health system to accommodate patients in both sites. The hospital will be moving forward with an external strategic planning consultant to validate the demand for services and program planning. Additional information regarding funding and feasibility will be brought forward.

Process:

Dekker/Perich/Sabatini (DPS) is the selected Contractor through the RFP process for the UNM Master Plan. Request for amendment of the existing consulting services agreement for planning services for the UNM Health Sciences Center Master Plan.

Cost: See table below:

Service Fee Breakdown	Pre-Phase	Phase I	Phase II	Phase III	Total	% age
Schematic Design	\$ 698,163	\$ -	\$ -	\$ -	\$ 698,163	13.6%
Hospital Programming	300,000	-	-	-	300,000	5.8%
Clinic Programming	83,780	-	-	-	83,780	1.6%
Design Development	-	1,396,325	-	-	1,396,325	27.1%
Low Voltage Design	-	110,000	-	-	110,000	2.1%
Construction Documents	-	-	1,396,325	-	1,396,325	27.1%
Bidding & Negotiation	-	-	-	232,721	232,721	4.5%
Construction Administration	-	-	-	930,884	930,884	18.1%
	\$1,081,943	\$1,506,325	\$1,396,325	\$1,163,605	\$5,148,198	100.0%

Each phase will require written notice to proceed. Costs above do not include NM Gross Receipts tax. The estimate of the cost of the build is \$79 million and this represents a fee rate of 6.5%. This would be a fixed fee regardless of increase of project cost within current scope.

2. Draeger Medical Equipment Inc.

Source of Funds: UNM Hospitals Capital Budget

Description:

Request to purchase sixteen Apollo model and one Tiro model anesthesia machines to replace old technology and upgrade to a version that will allow the machines to integrate with the Cerner Surginet system. These new models will read from the anesthesia machines and will download into the patient's electronic medical record.

Process: Novation contract with Draeger Medical Equipment Inc.

Cost: Amount is based on 16 Apollo model anesthesia machine at \$72,000 each, and one mobile Tiro model anesthesia machine at \$52,500 for a total cost of \$1,204,500



Office of Capital Projects

**MEMORANDUM TO ADVANCE
COMMITTEE AGENDA ITEMS TO
THE BOARD OF REGENTS
THE UNIVERSITY OF NEW MEXICO**

DATE: May 23, 2011
TO: David W. Harris, EVP for Administration, COO & CFO
FROM: Vahid Staples, Budget Officer, Office of Planning, Budget & Analysis
RE: Requested Approvals

RECOMMENDED ACTION:

Recommend to the Board of Regents Finance and Facilities Committee the following:

1. Request for Capital Project Approval for Law School Steam and Chilled Water Piping Replacement
2. Request for Approval of Architect Selection for Collaborative Teaching and Learning Building

Please see attached summaries and drawings.

cc: Chris Vallejos, Associate VP, Institutional Support Services
Mary Kenney, Robert Doran - PCD
W. Turner, C. Martinez, R. Henrard, C. Carian, T. Sanchez – OCP
Mary Vosevich, Director, Physical Plant Department
Robert Notary, Associate Director, PPD Engineering & Energy Services
Richard Howell, Dean, College of Education
Diane Gwinn, Academic Operations Officer, College of Education
Janet Cramer, Associate Dean, College of Arts and Sciences
Melissa Vargas, Strategic Planner, Provost's Office

**REQUEST FOR CAPITAL PROJECT APPROVAL for
LAW SCHOOL STEAM AND CHILLED WATER PIPING REPLACEMENT
UNIVERSITY OF NEW MEXICO
June 7, 2011**

REQUESTED ACTION:

In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested to increase the project scope and budget by greater than ten percent (10%) for the Law School Steam and Chilled Water Piping Replacement Project. The project was originally submitted and approved on November 11, 2008 for a total budget of \$700,000. After the project was approved the scope was expanded to include the trench, but full funding was not available at the time so the project was put on hold.

PROJECT DESCRIPTION:

The project will replace approximately 750 feet of buried steam and chilled water piping on the west and north boundaries of the Law School. The project will also install a concrete trench with removable covers to house the steam and condensate piping.

PROJECT RATIONALE:

The chilled water and steam piping was initially installed with additions made to the Law School in 1977. The piping has met its expected service life and is experiencing increased maintenance and leakage. Due to soil conditions and difficulty of working in this area, a longer term installation such as the concrete trench is the preferred solution. It is anticipated that the life expectancy of the pipe will be two to three times longer than that of direct buried pipe.

FUNDING:

The total estimated Project Budget is \$1,500,000:

- \$1,500,000 is funded from 2012 Building Renewal & Replacement Funds

- d. Richard Howell, Dean, College of Education
- e. Janet Cramer, Assistant Dean, College of Arts and Sciences
- f. Rick Henrard, Group Manager, Office of Capital Projects

FUNDING:

The total estimated Project Budget is \$9,000,000:

- \$6,000,000 is funded from 2008 GOB's
- \$3,000,000 is funded from 2007 UNM Bonds

LINETYPES

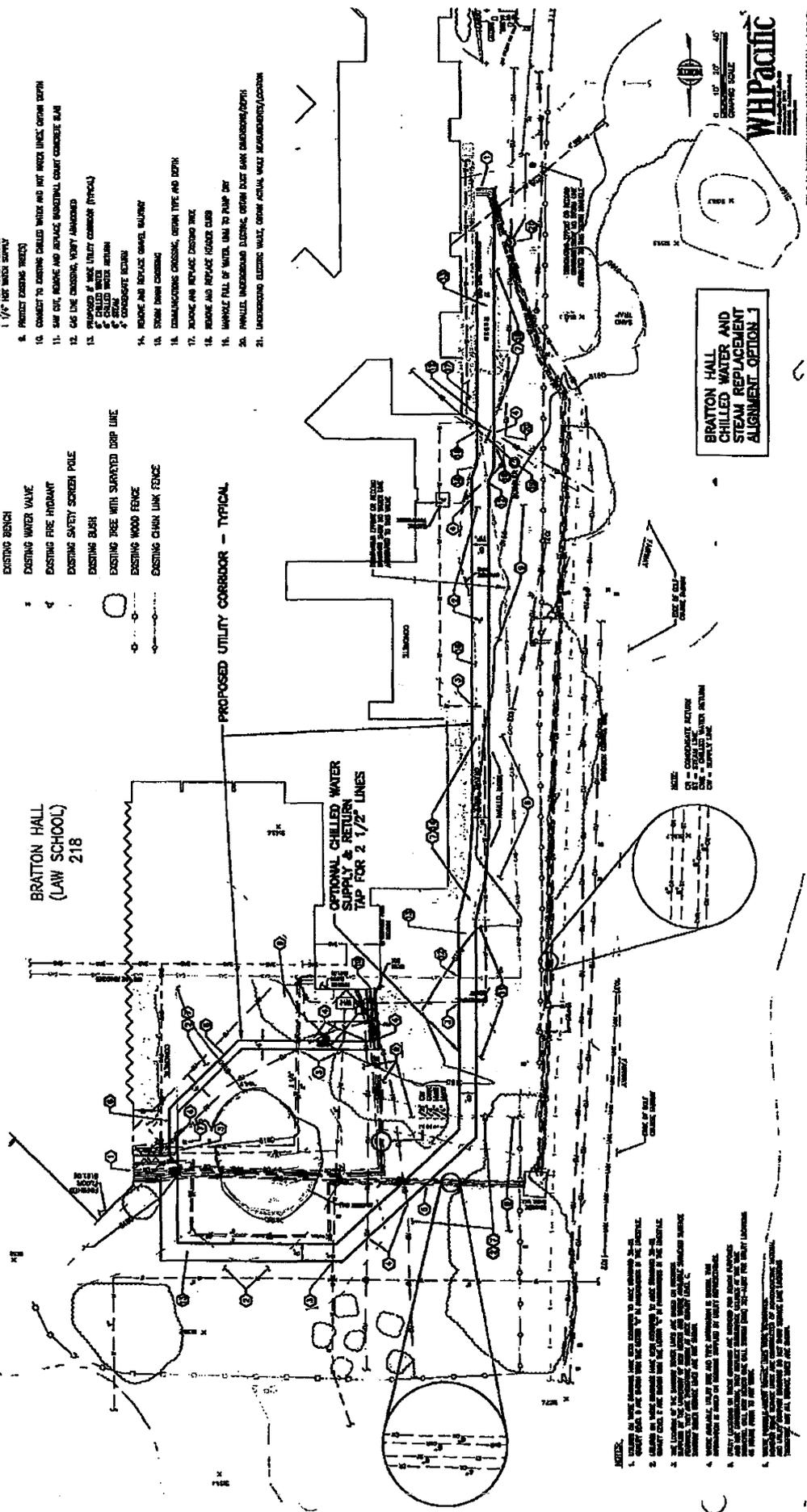
- EXISTING WATER LINE
- EXISTING CONDENSATE RETURN
- EXISTING STEAM LINE
- EXISTING CHILLED WATER RETURN
- EXISTING CHILLED WATER SUPPLY
- EXISTING HEATING WATER RETURN
- EXISTING HEATING WATER SUPPLY

LEGEND

- CONTROL POINT AS NOTED ON DRAWING
- EXISTING ELECTRICAL WAREHOUSE
- EXISTING STORM WELLS
- EXISTING SEWER CLEAN-OUT
- EXISTING LIGHT POLE
- EXISTING TRASH CAN
- EXISTING IRRIGATION BOX
- EXISTING BENCH
- EXISTING WATER VALVE
- EXISTING FIRE HYDRANT
- EXISTING SAFETY SCREEN POLE
- EXISTING BUSH
- EXISTING TREE WITH SURVIVED DRIP LINE
- EXISTING WOOD FENCE
- EXISTING CHAIN LINK FENCE

KEYED NOTES

1. CONNECT TO EXISTING CHILLED WATER AND STEAM LINES, OBTAIN DEPTH
2. REMOVE AND REPLACE 500
3. WATER LINE CROSSINGS, OBTAIN (UNDER)/DEPTH
4. UNDERGROUND ELECTRIC CROSSINGS, OBTAIN (UNDER)/DEPTH
5. EXISTING SEWER/WELLS WITH CLEANERS, OBTAIN (UNDER)/DEPTH
6. REMOVE AND REPLACE CONCRETE WALKWAY
7. REMOVE AND REPLACE LANDSCAPE IRRIGATION SYSTEM IN 100
8. PROPOSED 4" ONE TRUNK COMMON (TYPICAL)
 - 1. CHILLED WATER RETURN
 - 2. CHILLED WATER SUPPLY
 - 3. HOT WATER RETURN
 - 4. HOT WATER SUPPLY
9. PROTECT EXISTING TREES
10. CONNECT TO EXISTING CHILLED WATER AND HOT WATER LINES, OBTAIN DEPTH
11. SAW CUT, REMOVE AND REPLACE INSISTANT CURB CONCRETE SLAB
12. ONE LINE EXISTING, VERIFY AMMOUNTS
13. PROPOSED 4" ONE TRUNK COMMON (TYPICAL)
 - 1. CHILLED WATER RETURN
 - 2. CHILLED WATER SUPPLY
 - 3. STEAM
 - 4. CONDENSATE RETURN
14. REMOVE AND REPLACE GRAVEL WALKWAY
15. STEAM TRUNK CROSSING
16. COMMUNICATIONS CROSSING, OBTAIN TYPE AND DEPTH
17. REMOVE AND REPLACE EXISTING TRUNK
18. REMOVE AND REPLACE GUYER CABLE
19. HANDLE FILL OF WATER LINES TO PUMP DEP
20. FINISH ALL UNDERGROUND ELECTRIC, OBTAIN EXISTING MARK (UNDER)/DEPTH
21. UNDERGROUND ELECTRIC WALK, OBTAIN ACTUAL WALK (UNDER)/DEPTH



NOTES:

1. EXISTING CHILLED WATER LINES ARE TO BE REMOVED AND REPLACED WITH 2 1/2" CHILLED WATER LINES.
2. EXISTING CHILLED WATER LINES ARE TO BE REMOVED AND REPLACED WITH 2 1/2" CHILLED WATER LINES.
3. EXISTING CHILLED WATER LINES ARE TO BE REMOVED AND REPLACED WITH 2 1/2" CHILLED WATER LINES.
4. EXISTING CHILLED WATER LINES ARE TO BE REMOVED AND REPLACED WITH 2 1/2" CHILLED WATER LINES.
5. EXISTING CHILLED WATER LINES ARE TO BE REMOVED AND REPLACED WITH 2 1/2" CHILLED WATER LINES.
6. EXISTING CHILLED WATER LINES ARE TO BE REMOVED AND REPLACED WITH 2 1/2" CHILLED WATER LINES.
7. EXISTING CHILLED WATER LINES ARE TO BE REMOVED AND REPLACED WITH 2 1/2" CHILLED WATER LINES.
8. EXISTING CHILLED WATER LINES ARE TO BE REMOVED AND REPLACED WITH 2 1/2" CHILLED WATER LINES.
9. EXISTING CHILLED WATER LINES ARE TO BE REMOVED AND REPLACED WITH 2 1/2" CHILLED WATER LINES.
10. EXISTING CHILLED WATER LINES ARE TO BE REMOVED AND REPLACED WITH 2 1/2" CHILLED WATER LINES.



**APPROVAL OF ARCHITECT SELECTION for
COLLABORATIVE TEACHING AND LEARNING BUILDING
UNIVERSITY OF NEW MEXICO**

June 27, 2011

RECOMMENDED ACTION:

As required by Section 7.11 of the Regents Policy Manual, it is recommended that the Board of Regents approve the selection of the following architect for design and planning for Collaborative Teaching and Learning Building. Project approval will be requested at a future meeting of the Board of Regents.

Recommended Firm: Dekker/Perich/Sabatini (Albuquerque, NM)

PROJECT DESCRIPTION:

1. The Collaborative Teaching and Learning Building Project will consist of a new state-of-the-art interdisciplinary Classroom Building that will be located adjacent to Travelstead Hall and the College of Education building on main campus.
2. The proposed classroom building is anticipated to be multi-storied with approximately 26,000 gsf.
3. The project will include shared-use state-of-the-art classrooms, a prototype “sand box” classroom that will be used for teaching as well as for faculty development, small group study rooms, student gathering areas, and academic support space.
4. The Collaborative Teaching and Learning Building will provide unique but flexible classrooms and computer-enabled spaces for new learning environments that faculty from across the university (multi-disciplinary) can use for instruction and demonstration purposes.

RATIONALE:

1. This recommendation is based on a Request for Proposals selection process in accordance with State of NM Procurement Regulations.
2. On May 25, 2011 the selection committee evaluated nine (9) written proposals. Of those three (3) firms were selected to make oral presentations on May 26, 2011. The finalists are listed below in order of their ranking following the presentations:
 - a. Decker/Perich/Sabatini (Proposed Fee = \$430,698)
 - b. Van H. Gilbert Architects (Proposed Fee = \$633,183)
 - c. FBT Architects (Proposed Fee = \$489,945)The fee is based upon price and anticipated work hours.
3. The AE selected has an expert team of experienced registered Architects and Engineers.
4. The AE selected possesses great experience in “State-of-the-Art” Classroom Design.
5. The AE selected submitted the best combination of fee and excellence.
6. The selection committee included:
 - a. William Turner, Director, Office of Capital Projects
 - b. Robert Doran, University Architect, Planning and Campus Development
 - c. Melissa Vargas, Strategic Planner, Office of the Provost



Department of Planning, Budget & Analysis

MEMORANDUM

TO: Board of Regents

THRU: David J. Schmidly, President
David W. Harris, EVP for Administration, COO, and CFO

FROM: Andrew Cullen, AVP Office of Planning, Budget & Analysis

DATE: June 21, 2011

SUBJECT: Approval of Resolution to Reallocate UNM Bond Proceeds

A handwritten signature in blue ink, appearing to read "Andrew Cullen", is written over the "FROM:" line of the memorandum.

In accordance with the Finance and Facilities (F&F) Committee vote on 6/2/2011, the reallocation of UNM 2007 bond funds associated with the renewal of 1650 University has been removed from the attached spreadsheets and Resolution Relating to Designation of Proceeds from 2005 Revenue Bonds and 2007 Revenue Bonds. All other aspects of the reallocation and resolution remain intact.

The proposed Resolution to Reallocate UNM 2005 and 2007 Bond Proceeds will represent the third and fifth such reallocations for the bond issues, respectively. The underlying factors behind past reallocations have centered on cost savings related to projects as a result of a favorable construction climate and the cancellation of projects for internal economic reasons. In conjunction with these savings, the administration has identified new projects that have the ability to fund the associated debt service and move the university's facility renewal program forward. The current proposed reallocations are a result of these factors and prudent fiscal oversight by the university's facilities division.

Reallocation of the 2005 and 2007 bond proceeds to the Chemistry Renovation project, when leveraged with bond interest earnings, will allow the university to move forward with the renewal of an aging facility that provides the foundation to numerous undergraduate and graduate programs. Renewal of the facility will be accomplished in phases and has strong support from Academic Affairs. Likewise, the reallocation of HSC bond proceeds for the renovation of the Tri-Services Building for Emergency Medicine leverages federal funds, allowing for the partial renewal of this facility in the core of the HSC. For further information regarding the HSC reallocation, please refer to Dr. Roth's memo dated 3/31/2011.

We look forward to discussing the benefits of the remaining reallocations, along with those specifically mentioned above, with the Board of Regents. Thank you for your consideration.

ALLOCATION OF 2005 BOND ISSUE PROCEEDS

<u>BOND ISSUE AND SERIES</u>	<u>Original ALLOCATION</u>	<u>1st Reallocation</u>	<u>2nd Reallocation 1/12/2010</u>	<u>3rd Reallocation 6/27/2011</u>	<u>Proposed ALLOCATION</u>
2005 UNM Series Revenue Bonds:					
Lobo Energy-Energy Conservation	4,450,000				4,450,000
North Campus Utility Expansion	1,440,000				1,440,000
Telecommunications Renovation & Expansion	6,500,000				6,500,000
Parking & Transportation-Shuttle Bus	200,000				200,000
Architecture & Planning	6,500,000				6,500,000
UNM Business Center-"Lobo Center"	10,800,000	(1,600,000)			9,200,000
Centennial Engineering Center	25,000,000	(450,000)			24,550,000
Biology Expansion	5,600,000	(100,000)			5,500,000
Castetter Renewal	7,000,000	(77,813)			6,922,187
Communication & Journalism Bldg Renov	4,000,000				4,000,000
Science & Math Learning Center	16,000,000		(1,400,000)	(297,759)	14,302,241
College of Education Facility	5,000,000				5,000,000
Zimmerman Library-Compact Shelving	1,510,000				1,510,000
CRTC Phase II	10,000,000				10,000,000
LINK	21,000,000				21,000,000
MTTC Phase 2	-	350,000			350,000
MTTC Phase 3	-	100,000			100,000
Scholes Hall Renovation	-	1,777,813			1,777,813
Chemistry Building Remodel	-		930,000		930,000
Arts and Sciences Backfill	-		470,000		470,000
Chemistry Department Renovation				297,759	297,759
Total	125,000,000	-	-	-	125,000,000

ALLOCATION OF 2007 BOND ISSUE PROCEEDS

<u>BOND ISSUE AND SERIES</u>	<u>Original Allocation</u>	<u>1st Reallocation 12/4/2008</u>	<u>2nd Reallocation 6/2/2009</u>	<u>3rd Reallocation 11/10/2009</u>	<u>4th Reallocation 10/27/2010</u>	<u>5th Reallocation 6/27/2011</u>	<u>Total Allocation</u>
2007 UNM Series Revenue Bonds:							
Former Social Security Building Purchase	10,500,000						10,500,000
Press Facility Purchase	4,600,000				(3,500,000)		1,100,000
Former Elks Lodge Facility Purchase	3,500,000						3,500,000
Steam Turbine Purchase (was Cogeneration Unit Purchase)	6,000,000	(3,000,000)					3,000,000
Parking Structures	24,750,000		5,000,000	(12,000,000)			17,750,000
Campus Way - Finding Signage	2,000,000						2,000,000
Hodgin Hall Renovation	1,500,000						1,500,000
Classroom Modernization	12,500,000		(2,500,000)				10,000,000
Interdisciplinary Film & Digital Media Facility	1,600,000				220,000		1,820,000
Robert Wood Jonson Health Policy Center	350,000					(51,888)	298,112
Johnson Gallery Relocation	2,100,000						2,100,000
College of Education	3,000,000						3,000,000
South Campus Student Success Center (Lockheed Renov.)	2,000,000		(660,000)		(220,000)	(342,145)	777,855
Tamarind Institute Renovation	1,500,000						1,500,000
Main Campus Student Success & Health Center	100,000						100,000
Biology Addition - Phase II	2,250,000						2,250,000
University Arena (PIT) Renovation - Phase II	25,000,000	17,000,000					42,000,000
Football Stadium Improvements and Luxury Suites	20,000,000	(14,000,000)	(5,000,000)				1,000,000
Interdisciplinary Film & Digital Media Facility (IT Infrastructure)	-		660,000				660,000
Various Academic Affairs Projects	-		2,500,000				2,500,000
Dental Residency Clinic Building	-			2,000,000		(100,000)	1,900,000
Regenerative Medicine	-			2,400,000			2,400,000
Anesthesiology Renovation	-			1,400,000		(200,000)	1,200,000
Neurosurgery Clinic (Spine Center)	-			1,500,000		(1,500,000)	-
Domenici Center for Health Sciences Education	-			2,000,000			2,000,000
Clinical Translation Sciences Center (Old Cancer Center)	-			2,700,000			2,700,000
Emergency Medicine Renovation (Tri-Services Building)	-					1,800,000	1,800,000
1650 University -Purchase and Renovation	-				3,500,000		3,500,000
Chemistry Department Renovation	-					102,241	102,241
Engineering Computer Science Renovation	-					100,000	100,000
Logan Hall Neuroscience (Matching funds)	-					120,000	120,000
Physics and Astronomy Lab Renovation	-					71,792	71,792
Total	123,250,000	-	-	-	-	-	123,250,000



OFFICE OF THE CHANCELLOR FOR HEALTH SCIENCES

MEMO: David Harris, Executive Vice President for Administration, COO & CFO
From: Paul B. Roth, MD/MS, FACP, FACHE, Chancellor for Health Sciences
 Dean, School of Medicine
Date: March 31, 2011
RE: Request for Approval - Reallocation of UNM 2007 Bond Funding

Due to the changing facility needs and related funding sources at the Health Sciences Center, I request approval to reallocate UNM 2007 Bonds that the Regents have initially approved at their meeting on November 10, 2009. Since the original approval, the Dental Residency Clinic and Anesthesiology Renovation projects will be completed under budget. The Neurosurgery Clinic has been incorporated into the renovation of the 1st floor of the CTSC (old Cancer Center). I would like to apply the savings from the Dental Residency Clinic and Anesthesiology Renovation projects, along with redirecting the original allocation from the Neurosurgery Clinic to the Tri-Services Building, 1st Floor. This floor will consolidate all of the activities of Emergency Medicine. More detail regarding each project begins on page 2.

	<u>Original Approval</u>	<u>Request</u>
Dental Residency Clinic	\$2,000,000	\$1,900,000
Regenerative Medicine	2,400,000	2,400,000
Anesthesiology Renovation	1,400,000	1,200,000
Neurosurgery Clinic (Spine Center)	1,500,000	
Tri-Services 1st Floor – Emergency Medicine		1,800,000
Domenici Center for Health Sciences Education	2,000,000	2,000,000
Clinical Translation Science Center (Renovation of the old Cancer Center)	<u>2,700,000</u>	<u>2,700,000</u>
TOTAL	<u>\$12,000,000</u>	<u>\$12,000,000</u>

cc: Ava Lovell, VP, HSC/UNM Finance/University Controller
 Pug Burge, AVP for Administration/HSC
 Andrew Cullen, AVP for Planning, Budget & Analysis

Dental Residency Clinic – This project consists of the design and construction of a 16,800 gross square feet dental residency instructional clinic with 14 general dentistry operatories, 2 hygienists' stations, and a complete dental surgical suite. This addition contains two operating rooms and prep and recovery areas. General dentistry operatories are increased by 60%, growing the School of Medicine's Dental program to ten residents. Rural New Mexico benefits because of the addition of telemedicine technologies, with the placement and training of residents throughout the State. The \$2,000,000 of UNM bond revenue will add approximately 6,000 square feet of ambulatory surgery space to the original building design. This 100% teaching facility will provide a residency work/study area, a telemedicine classroom, faculty and administrative offices, and clinical support space.

Regenerative Medicine -- This project consists of a remodel of 4,243 square feet of the ground floor in the BMSB for adult regenerative medicine research. The proposed laboratory space will include up to four offices for faculty researchers (2 to 3 in each office) and 6 benches and hoods needed for this research. The primary purpose is to conduct research, training, and clinical studies of human stem cells in the search for treatments and cures for human diseases.

Anesthesiology Offices – This project consists of backfilling approximately 5,350 square feet of existing shelled space on the second floor of the Critical Care Wing. The project will include space for faculty and administrative offices, clinical support space, and information technology.

Neurosurgery Clinic -- This project consists of the construction of a clinic to treat all aspects of spinal disorders in the new facility being developed in Rio Rancho. It will integrate the members of the Orthopedic and Neurosurgery Departments as well as other personnel involved in rehabilitation and pain management. It will offer to the state wide community a unique Multidisciplinary Center of Excellence that ultimately includes offsite clinics throughout the state.

Emergency Medicine - This project will provide space for the Department of Emergency Medicine staff and faculty as well as accommodate the Emergency Medicine Services Academy, Medicine Bow and the Center for Disaster Medicine. The centralization and consolidation of the Department is essential to their growth and the enhancement of their residency program. The department is currently split between three sites, 4ACC and the Clinical & Translational Science Center on the Albuquerque Campus and at a leased facility on Yale Blvd. Facilities are insufficient to meet current faculty and staff requirements as well as future projections.

The 1st floor of Tri-Services formerly housed the Office of the Medical Investigator and the New Mexico State University, NM Department of Agriculture, Veterinary Diagnostic Services. The project is 41,037 gross square feet. Revisions to the space and infrastructure will focus on conversion of the existing labs and the autopsy and necropsy suites to meet new program requirements. The renovated space will accommodate offices for administration and faculty, shared office space for residents, 4 classrooms, 4 simulation rooms, 1 break room with adjoining locker rooms and refurbishment of 1 existing break room, and 2 new restrooms.

Domenici Center for Health Sciences Education – Phase II of this construction consists of 53,500 gross square feet and will be physically connected to the North elevation of the Phase I East (Auditorium) building at the ground floor and extending to the North and West. It consists of a basement floor mechanical room, ground floor Clinical Performance Center and second floor Anatomy Lab & Learning Center.

Clinical Translational Science Center - This project is a renovation of the second & third floors of the old Cancer Center. The second floor will house outpatient research facilities and the administrative functions of the CTSC. It will consist of approximately 50% JCAHO approved clinical trial space and 50% clinical trial support space. The clinical space will comprise a small reception and waiting area for research subjects (170 net square feet), five adult examination rooms and one pediatric examination room (632 net square feet), and one procedure room for the administration of infusions and other more comprehensive research procedures (152 net square feet).

The third floor modifications will create more functional wet laboratory space at the HSC. The third floor will have 18,214 gross square feet, and 12,750 net square feet. The new facility will include 4 large open laboratories with 3-5 bays in each along with 4 tissue culture rooms and 10 offices. Shared facilities will include a histopathology laboratory, a microscopy facility, freezer room, autoclave room, shared equipment room, and cold room. All of the space will be dedicated to research, except for 10 offices for investigators.

THE REGENTS OF THE UNIVERSITY OF NEW MEXICO

**RESOLUTION RELATING TO DESIGNATION
OF PROCEEDS FROM 2005 REVENUE BONDS
AND 2007 REVENUE BONDS**

AMENDING RESOLUTIONS OF THE REGENTS OF THE UNIVERSITY OF NEW MEXICO AUTHORIZING THE ISSUANCE AND SALE OF SUBORDINATE LIEN SYSTEM IMPROVEMENT REVENUE BONDS, SERIES 2005 AND SERIES 2007; REVISING THE CURRENT DISTRIBUTION OF BOND PROCEEDS TO FUND OTHER CAPITAL IMPROVEMENT PROJECTS OF THE UNIVERSITY AS DESCRIBED HEREIN; REPEALING ALL ACTION INCONSISTENT WITH THIS RESOLUTION; AUTHORIZING THE TAKING OF OTHER ACTIONS.

WHEREAS, the University of New Mexico, at Albuquerque, New Mexico (the "University"), is declared to be and is confirmed as a state educational institution by Section 11 of Article XII of the Constitution of the State of New Mexico (the "State"), as amended; and

WHEREAS, the Regents of the University of New Mexico (the "Regents") are a body corporate in which is vested the management and control of the University; and

WHEREAS, the Regents adopted a Resolution on June 14, 2005 (the "2005 Bond Resolution") authorizing the issuance of the Regents' Subordinate Lien System Improvement Revenue Bonds, Series 2005 (the "Series 2005 Bonds"); and

WHEREAS, the Series 2005 Bonds were issued on August 24, 2005 in the aggregate principal amount of \$125,575,000 for multiple capital improvement projects within the University (collectively, the "2005 Project"); and

WHEREAS, the Regents adopted a Resolution on October 9, 2007 (the "2007 Bond Resolution") authorizing the issuance of the Regents' Subordinate Lien System Improvement Revenue Bonds, Series 2007A-B (the "Series 2007 Bonds"); and

WHEREAS, the Series 2007 Bonds were issued on December 20, 2007 in the aggregate principal amount of \$136,710,000 for multiple capital improvement projects within the University (collectively, the "2007 Project"); and

WHEREAS, from the Series 2005 Bond proceeds, the Regents have identified \$297,759 currently designated for Science and Math Learning Center construction, as available funds to be redesignated for Chemistry Department Renovation; and

WHEREAS, from the Series 2007 Bond proceeds, the Regents have identified (i) \$51,888 currently designated for the Robert Wood Johnson Health Policy Center, (ii) \$342,145 currently designated for the South Campus Student Success Center, (iii) \$100,000 currently designated for the Dental Residency Clinic Building, (iv) \$200,000 currently designated for the

Anesthesiology Renovation, and (v) \$1,500,000 currently designated for the Neurosurgery Clinic (Spine Center) as available funds to be redesignated as follows (vi) \$1,800,000 for the Emergency Medicine Renovation (Tri-Services Building), (vii) \$102,241 for the Chemistry Department Renovation, (viii) \$100,000 for the Engineering Computer Science Renovation, (ix) \$120,000 for the Logan Hall Neuroscience Improvements, and (x) \$71,792 for the Physics and Astronomy Lab Renovation; and

WHEREAS, the 2005 Bond Resolution and the 2007 Bonds may be amended without the consent of the owners of the Series 2005 and Series 2007 Bonds, respectively, to make any changes that, in the judgment of the Regents, in reliance on the opinion of nationally recognized bond counsel, do not have a material adverse affect on the rights of the owners of the Series 2005 Bonds and the Series 2007 Bonds, respectively; and

WHEREAS, the Regents intend that all other provisions of the 2005 Bond Resolution and the 2007 Bond Resolution remain effective unless amended herein.

NOW, THEREFORE, BE IT RESOLVED BY THE REGENTS OF THE UNIVERSITY OF NEW MEXICO, AS FOLLOWS:

Section 1. Ratification. All action heretofore taken (not inconsistent with the provisions of this Resolution, the 2005 Bond Resolution and the 2007 Bond Resolution) by the Regents and officers of the University directed toward (i) the issuance of the Series 2005 Bonds and the Series 2007 Bonds, (ii) prior amendments to the 2005 Bond Resolution and the 2007 Bond Resolution, and (iii) expenditure of proceeds from the Series 2005 Bonds and the Series 2007 Bonds is hereby ratified, approved and confirmed.

Section 2. Amendment of the 2005 Bond Resolution. Regarding the proceeds of the Series 2005 Bonds, the 2005 Bond Resolution is hereby amended to provide that \$297,759 of the proceeds of the Series 2005 Bonds currently designated for Science and Math Learning Center construction shall be redesignated for Chemistry Department Renovations. The renovations shall be long-term capital improvements and ownership and use of the renovations will comply with covenants contained in the 2005 Bond Resolution.

Section 3. Amendment of the 2007 Bond Resolution. Regarding the proceeds of the Series 2007 Bonds, the 2007 Bond Resolution is hereby amended to provide that (i) \$51,888 currently designated for the Robert Wood Johnson Health Policy Center, (ii) \$342,145 currently designated for the South Campus Student Success Center, (iii) \$100,000 currently designated for the Dental Residency Clinic Building, (iv) \$200,000 currently designated for the Anesthesiology Renovation, and (v) \$1,500,000 currently designated for the Neurosurgery Clinic (Spine Center) shall be redesignated as follows (vi) \$1,800,000 for the Emergency Medicine Renovation (Tri-Services Building), (vii) \$102,241 for the Chemistry Department Renovation, (viii) \$100,000 for the Engineering Computer Science Renovation, (ix) \$120,000 for the Logan Hall Neuroscience Improvements, and (x) \$71,792 for the Physics and Astronomy Lab Renovation. The redesignated projects shall be long-term capital improvements and ownership and use of the redesignated projects will comply with covenants contained in the Series 2007 Bond Resolution.

Section 4. Amendment Compliance. In reliance on the opinion of nationally recognized bond counsel, the Regents conclude that (i) this Resolution is in compliance with Section 10.01 of the Series 2005 Bond Resolution and the Series 2007 Bond Resolution related to limitations on amendments and (ii) the amendments to the Series 2005 Bond Resolution and the Series 2007 Bond Resolution contained herein do not have a material adverse affect on the rights of the owners of the Series 2005 Bonds and Series 2007 Bonds, respectively, and are consistent with the Purposes of the Series 2005 Bonds and Series 2007 Bonds, respectively, as identified in the Series 2005 Bond Resolution and the Series 2007 Bond Resolution.

Section 5. Bond Resolutions Irrepealable. Except with respect to the amendments as provided herein and other amendments hereafter approved consistent with Article X of the Series 2005 Bond Resolution and Series 2007 Bond Resolution, the Series 2005 Bond Resolution and Series 2007 Bond Resolution shall be and remain irrepealable until the Series 2005 Bonds and the Series 2007 Bonds, the interest thereon and amounts due to the provider of a Subordinate Lien Parity Bonds Reserve Fund Surety Bond (as defined in the Series 2005 Bond Resolution and Series 2007 Bond Resolution) shall be fully paid, canceled and discharged, or there has been defeasance, as provided in the Series 2005 Bond Resolution and Series 2007 Bond Resolution.

Section 6. Severability. If any section, paragraph, clause or provision of this Resolution shall for any reason be held to be invalid or unenforceable, the invalidity or unenforceability of such section, paragraph, clause or provision shall not affect any of the remaining provisions of this Resolution.

Section 7. Repealer Clause. All bylaws, orders and resolutions of the Regents or the University, or parts thereof, inconsistent herewith are hereby repealed to the extent only of such inconsistency. This repealer shall not be construed to revive any bylaw, order or resolution, or part thereof, heretofore repealed.

Section 8. Further Action. Officers and employees of the Regents and the University are authorized and directed to take further action necessary to implement the amendments to the Series 2005 Bond Resolution and the Series 2007 Bond Resolution set forth in this Resolution.

Section 9. Governing Law. This Resolution shall be governed by the laws of the State of New Mexico without reference to choice of law principles.

Section 10. Effective Date. This resolution shall take immediate effect.

ADOPTED AND APPROVED this 27th day of June, 2011.

THE REGENTS OF THE UNIVERSITY OF NEW
MEXICO

[SEAL]

By _____
President

Attest:

By _____
Secretary

K:\DOX\CLIENT\73740\112\W1468078.DOCX

John R. Cooney
Kenneth L. Harrigan
James M. Parker
Arthur D. Melendres
James P. Houghton
Paul M. Fish
R. E. Thompson
Curtis W. Schwartz
Ruth M. Schifani
Lynn H. Slade
Douglas A. Baker
Larry P. Ausherman
Douglas R. Vadnais
Walter E. Stern III
Patrick J. Rogers
Duane E. Brown
Martha G. Brown
William C. Scott
Tim L. Fields
Douglas G. Schneebeck
Charles A. Armgardt
Earl E. DeBrine, Jr.
Timothy C. Holm
George R. McFall
Roberta Cooper Ramo
William R. Keleher
Lisa Mann
Stuart R. Butzier
Donald A. DeCandia
Timothy J. De Young
Margaret L. Meister
Michael L. Carrico
Angelo J. Artuso
Peter L. Franklin
Max J. Madrid
John J. Kelly
Jennifer A. Noya
Christopher P. Muirhead
Zachary L. McCormick
Maria O'Brien
Marjorie A. Rogers
Karen L. Kahn
Stan N. Harris
Michelle A. Hernandez
Marco E. Gonzales
Jennifer G. Anderson
Marte D. Lightstone
Jason C. Bousliman
Joan E. Drake
Megan T. Muirhead
Erin E. Langenwalter
Susan Bisong
Paul T. Halajian
Alex C. Walker
Emil J. Kiehne
Lorena Olmos de Madalena
Samantha M. Adams
Ethan M. H. Epstein
Adam H. Greenwood
H. Jesse Jacobus III
Brian K. Nichols
Nadine E. Shea
Tonn K. Petersen
Jennifer A. Evans
Joan D. Marsan
Leslie M. Padilla
Matthew W. Park
Theresa D. Copeland
Ryan Flynn
Sam M. Gill
Deana M. Bennett
Tiffany L. Roach
Julia Broggi
Cristin M. Heyns
Allison L. Biles
Greg L. Gambill
Chad R. Prosofski



MODRALL SPERLING

L A W Y E R S

June 27, 2011

Modrall Spierling
Roehl Harris & Sisk P.A.

Bank of America Centre
500 Fourth Street NW
Suite 1000
Albuquerque,
New Mexico 87102

PO Box 2168
Albuquerque,
New Mexico 87103-2168

Tel: 505.848.1800
www.modrall.com

The Regents of The University
of New Mexico
Albuquerque, New Mexico

\$125,575,000 The Regents of the University of New Mexico Subordinate
Lien System Improvement Revenue Bonds, Series 2005 (the "2005 Bonds")

\$124,875,000 The Regents of the University of New Mexico
Subordinate Lien System Improvement Revenue Bonds, Series 2007A
and

\$11,835,000 The Regents of the University of New Mexico
Taxable Subordinate Lien System Improvement Revenue Bonds,
Series 2007B (collectively, the "2007 Bonds")

Ladies and Gentlemen:

We are nationally recognized bond counsel and currently serve as bond counsel to the University. This opinion is being delivered in connection and contemporaneously with the adoption by the Regents of the University of New Mexico ("the Regents") of a resolution (the "Amending Resolution") amending a resolution adopted by the Regents on June 14, 2005 (the "2005 Bond Resolution") and amending a resolution adopted by the Regents on October 9, 2007 (the "2007 Bond Resolution") authorizing the issuance and sale of the 2005 Bonds and the 2007 Bonds. Specifically, the Amending Resolution was adopted on June 27, 2011 approving an amendment to the 2005 Bond Resolution and 2007 Bond Resolution to redesignate proceeds of the 2005 Bonds and the 2007 Bonds for various capital improvement projects, all for use and benefit of the University and as more specifically identified in the Amending Resolution.

We have examined the 2005 Bond Resolution and the 2007 Bond Resolution, the Amending Resolution, the Constitution and laws of the State of New Mexico and such other documents as we deem necessary to render this opinion. Based upon our examination, we are of the opinion that:

1. The Amending Resolution is a valid and binding action of the Regents enforceable in accordance with its terms.

Of Counsel
Dale W. Ek
Judy A. Fry
Susan R. Stockstill
Joe C. Diaz

2. The amendments to the 2005 Bond Resolution and the 2007 Bond Resolution set forth in the Amending Resolution: (a) are in compliance with Section 10.01 of the 2005 Bond Resolution and the 2007 Bond Resolution, respectively, (b) do not, individually or in the aggregate, have a material adverse affect on the rights of the owners of the 2005 Bonds or the 2007 Bonds, respectively, and (c) provide for uses of the proceeds of the 2005 Bonds and the 2007 Bonds that are permitted by law.

3. Upon adoption by the Regents of the Amending Resolution, no consents of, authorizations by or notices to the owners of the 2005 Bonds or the 2007 Bonds are required to give effect to or in connection with the Amending Resolution.

The opinions expressed herein are based upon existing law as of the date hereof, and we express no opinion as of any date subsequent thereto or with respect to any pending legislation.

The foregoing opinions represent our legal judgment based upon a review of existing legal authorities that we deem relevant to render such opinions and are not a guarantee of result. This opinion may be relied upon only by you and has been delivered to you on the condition that the opinions expressed herein may not be published or otherwise communicated by you to any other party without our specific prior written approval in each instance, except that a copy of this opinion may be provided to the owners of the 2005 Bonds or the 2007 Bonds upon request.

Respectfully submitted,



Department of Planning, Budget & Analysis

MEMORANDUM

TO: Members of the Finance and Facilities Committee

THRU: David J. Schmidly, President
David W. Harris, EVP for Administration, COO, and CFO

FROM: Andrew Cullen, AVP for Planning, Budget & Analysis 

DATE: June 2, 2011

SUBJECT: **Approval of Capital Outlay Submission to the Higher Education Department**

RECOMMENDED ACTION

Attached for your approval is the Capital Outlay request package that will construct and/or significantly improve and renew numerous facilities on the University of New Mexico's campuses. Staff recommends approval of the 2012 Capital Projects package so that it may be submitted to the Higher Education Department for Fiscal Year 2012-13.

Per their guideline, the request will be submitted to the HED to meet the June 30, 2011 deadline as part of their budget process. The request represents primarily the reprioritization of projects due to the GOB bond failure in November 2010.

We look forward to your feedback on the capital projects request as the University of New Mexico advances its facility improvements initiative.

Thank you for your consideration.

**UNIVERSITY OF NEW MEXICO
CAPITAL OUTLAY PROJECTS**

**CURRENT YEAR REQUEST
20012-13**

	ESTIMATED PROJECT COST	2012 SESSION APPROPRIATION REQUEST	PREVIOUS APPROPRIATION	PRIVATE FUNDS	OTHER FUNDS	FEDERAL FUNDS
<u>MAIN CAMPUS ACADEMIC</u>						
CHEMISTRY BUILDING RENOVATION PHASE I and SCIENCE & MATH LEARNING CTR- PHASE II	\$24,000,000	\$21,000,000	\$0	\$0	\$3,000,000	\$0
COMPLETE RENOV. & EXPANSION OF EXISTING BIOLOGY BUILDING - PHASE II	\$13,179,000	\$3,763,964	\$7,725,036	\$0	\$1,690,000	\$0
<i>FARRIS ENGINEERING BUILDING RENOVATION (Phase I)</i>	<i>\$10,000,000</i>	<i>\$10,000,000</i>	<i>\$0</i>	<i>\$0</i>	<i>\$0</i>	<i>\$0</i>
SUBTOTAL	\$47,179,000	\$34,763,964	\$7,725,036	\$0	\$4,690,000	\$0
<u>HEALTH SCIENCES CENTER</u>						
HEALTH EDUCATION BUILDING - PHASE IIIA	\$14,750,000	\$14,750,000	\$0	\$0	\$0	\$0
CARRIE TINGLEY AMBULATORY CENTER	\$40,000,000	\$20,000,000	\$0	\$0	\$20,000,000	\$0
SUBTOTAL	\$54,750,000	\$34,750,000	\$0	\$0	\$20,000,000	\$0
<u>INSTITUTION - STATEWIDE</u>						
ADA COMPLIANCE (Recurring)	\$6,800,000	\$250,000	\$4,370,000	\$0	\$0	\$0
	\$6,800,000	\$250,000	\$4,370,000	\$0	\$0	\$0
<u>INFRASTRUCTURE</u>						
N. CAMPUS WATER DISTRIBUTION	\$9,000,000	\$9,000,000	\$0	\$0	\$0	\$0
SUBTOTAL	\$9,000,000	\$9,000,000	\$0	\$0	\$0	\$0
<u>AUXILIARY / OTHER</u>						
RENOVATE HODGIN HALL- Phase II	\$6,900,000	\$3,962,503	\$1,437,497	\$0	\$1,500,000	\$0
SUBTOTAL	\$6,900,000	\$3,962,503	\$1,437,497	\$0	\$1,500,000	\$0

	ESTIMATED PROJECT COST	2012 SESSION APPROPRIATION REQUEST	PREVIOUS APPROPRIATION	PRIVATE FUNDS	OTHER FUNDS	FEDERAL FUNDS
<u>ATHLETICS</u>						
IMPROVEMENTS TO PARKING LOTS / LANDSCAPING / Phase II	\$5,000,000	\$1,500,000	\$550,000	\$0	\$0	\$0
FOOTBALL STADIUM FIELD REPLACEMENT	\$800,000	\$800,000	\$0	\$0	\$0	\$0
SUBTOTAL	\$5,800,000	\$2,300,000	\$550,000	\$0	\$0	\$0
<u>BRANCH CAMPUSES</u>						
<u>GALLUP:</u>						
ZOLLINGER RENOVATION	\$1,400,000	\$1,400,000	\$0	\$0	\$0	\$0
<i>INFRASTRUCTURE and FIRE SUPPRESSION SYSTEM</i>	<i>\$3,000,000</i>	<i>\$2,650,000</i>	<i>\$0</i>	<i>\$0</i>	<i>\$350,000</i>	<i>\$0</i>
<u>LOS ALAMOS:</u>						
UNM-LA SCIENCE LABS RENOVATION	\$750,000	\$750,000	\$0	\$0	\$0	\$0
CLASSROOM & FACULTY OFFICE RENOVATION (BLDG 6)	\$1,250,000	\$937,500	\$0	\$0	\$312,500	\$0
<u>TAOS:</u>						
<i>CORE CAMPUS SAFETY & INFRASTRUCTURE UPGRADES</i>	<i>\$5,000,000</i>	<i>\$4,350,000</i>			<i>\$650,000</i>	
CAMPUS CENTER- Phase I (Library and Resource Center)	\$6,000,000	\$3,000,000	\$0	\$0	\$0	\$0
<u>VALENCIA:</u>						
<i>SUSTANABILITY AND INFRASTRUCTURE</i>	<i>\$1,820,000</i>	<i>\$1,365,000</i>	<i>\$0</i>	<i>\$0</i>	<i>\$455,000</i>	<i>\$0</i>
SUBTOTAL	\$19,220,000	\$14,452,500	\$0	\$0	\$1,767,500	\$0
TOTAL	\$149,649,000	\$99,478,967	\$14,082,533	\$0	\$27,957,500	\$0

PROJECT ENTRY LEGEND

PROJECTS SHOWN IN REGULAR FONT (Unfunded Projects carried forward from last year's Capital Request)

PROJECTS SHOWN IN ITALIC FONT (New Projects)



Department of Planning, Budget & Analysis

MEMORANDUM

TO: Members of the Board of Regents' Finance & Facilities Committee

THRU: David J. Schmidly, President
David W. Harris, EVP for Administration, COO and CFO

FROM: Andrew Cullen, Associate VP Office of Planning, Budget & Analysis
Mary Vosevich, Director of the Physical Plant Department

A handwritten signature in blue ink, appearing to read "Andrew Cullen", is written over the "FROM" line.

DATE: June 2, 2011

SUBJECT: **Approval of Ford Utilities Center 2nd Gas Turbine Cogeneration Unit**

RECOMMENDED ACTION:

As required by Section 7.12 of the Regents' Policy Manual, it is recommended that the Board of Regents approve the planning, purchase and installation of:

Ford Utilities Center 2nd Gas Turbine Cogeneration Unit

PROJECT DESCRIPTION:

In 2004, space within the Ford Utilities Center (Building #116) was extensively renovated including provisions for two nominal 7.5 megawatt gas turbine electric generators (GTG) with heat recovery boilers. This configuration is known as *cogeneration*. The decision was made to phase installation of the two units. One was installed and commissioned in 2005. This project will install the second unit in the space already created for it.

RATIONALE:

The highly efficient cogeneration unit will provide an additional 30,000 pounds per hour of steam capacity and six megawatts of electricity while reducing utility costs. The unit will also improve the reliability of the campus utilities and support the university's sustainability efforts by reducing our reliance on electric generation from facilities which are less efficient and have higher greenhouse gas emissions.

The project is expected to produce about half of the greenhouse gases compared to electricity provided to UNM from the local utility. This translates to a reduction of about 8,000 tons of carbon dioxide emissions annually which is 5.6% of the total university emissions. This reduction in emissions may result in financial savings in the future if regulatory limits are applied to greenhouse gases. The estimated value of 8,000 tons of carbon dioxide emissions is currently around \$40,000. The projected first year annual savings are approximately \$1,100,000.

FUNDING:

The project budget is \$10,000,000. It is requested that the Board of Regents determine the desired repayment term for project financing based on the amount of anticipated annual savings. It is planned that discussion of project financing will occur with New Mexico Finance Authority (NMFA) in order to determine whether NMFA can offer favorable financing terms and accommodate needed financing structure, as compared to UNM selling bonds in the public market. Discussion with NMFA will involve bond counsel and financial advisor for UNM, as well as UNM staff. If it is determined that NMFA can potentially provide favorable financing terms, application would likely be made to NMFA for approval of their board to purchase bonds issued by UNM for the project. Alternatively, UNM would plan to sell bonds in the public market for financing of the project. **Final determination regarding the source of financing will be made closer to the time that project financing is needed, based on comparative credit and market conditions at the time.** Payments of debt service would come from the reduced operating expenses in the PPD Utilities Division budget.

Thank you for your consideration.



The University of New Mexico

Lawrence J. Schuster, P.E., C.E.M., G.B.E.
Physical Plant Department - Utilities Division
1-University of New Mexico, MSC01 1060
Albuquerque, New Mexico 87131-0001
Telephone 505-277-6978
Fax 505-277-3671
Email: schuster@unm.edu

COGENERATION ENGINEERING ANALYSIS

INSTALL SECOND GAS TURBINE-
GENERATOR SET IN FORD UTILITIES
CENTER

EXECUTIVE SUMMARY

In 2001, the space within Ford Utilities Center (Building #116) was extensively renovated including provision for two nominal 7.5-MW gas turbine electric generators (GTG) with heat recovery boilers. Only one of the GTG's was installed in that project. This project proposes to install the second GTG in the space already allocated for it. The GTG will operate in the same manner as the first unit does, viz. additional cogenerated steam will supplement the capacity provided by conventional boilers, and additional cogenerated electricity will be fully consumed within the campus, reducing the requirement for outside purchased electricity.

The addition of the cogeneration unit will provide reliable, economic service and serve a greater part of the campus load. The unit will also provide redundancy, improving UNM's utilities reliability and fits into the sustainability program by reducing reliance on less efficient forms of public utilities production which have higher greenhouse gas and other emissions.

The projected first year annual savings are approximately \$1,100,000. This compares very favorably with projected 20 year annual debt service of \$756,000, providing 30% debt coverage. The simple payback before debt service is 7.16 years and after debt service, 12.13 years. After debt service net present value (NPV) is \$13,900,000 on a first cost of \$10,000,000. This results in a 2.35 savings-to-investment ratio (SIR).

ANALYSIS

BACKGROUND

The University of New Mexico Utilities Business Plan approved in 2000 included cogeneration equipment at the Ford Utilities Center. The plan provided for the installation of two cogeneration sets with staggered installation dates. The first unit was completed in 2005. Cogeneration is a technology that generates heat and electricity at the same time. Traditional forms of electrical generation result in excessive wasted heat. Cogeneration pairs an electrical generator with heat recovery equipment to dramatically increase the efficiency of the system. The largest impediment to cogeneration is the need for a heating requirement. The heat recovery system is useless without a corresponding location that can use the heat. At UNM, cogeneration has taken the form of a gas turbine generator (GTG) with a heat recovery boiler. The GTG burns natural gas to produce electricity and delivers hot gases to the boiler. The boiler converts the hot gases to heating steam. The electricity produced by the generator offsets electricity purchased from PNM, and the resulting steam from the boiler is used to heat the campus. This increased efficiency results in lower energy consumption, decreased purchased energy costs, and reduced emissions.

The economic viability of cogeneration depends upon the price differential between electricity and natural gas. Over the past two years economic drivers have pushed electrical prices higher and natural gas prices lower. These drivers do not appear to be short term, and the resulting price spread will likely remain for many years. For this reason, UNM recently reviewed the economic viability of installing the second cogeneration set.

TECHNICAL AND FINANCIAL ANALYSES

A spreadsheet model was developed to analyze the technical and economic potential of the GTG. The campus utility data for the 2007 calendar year was used to create a "typical" 24-hour day profile of electrical, steam, and chilled water use for each month of the year. The annual energy consumption thus determined was multiplied by use factors derived from actual metered data that approximate the most current year energy consumption for each of the above utilities. Gas, electrical, and maintenance costs were determined from current rates and cost records. Each utility was then escalated based on best estimates of near term growth. The electrical costs, including fuel adjustment charges, were based on the latest expected rates with a fixed escalation of 4.5%. Gas costs were based on futures market prices escalating at a fixed rate of 4% per year. Maintenance costs were escalated at a fixed rate of 4% per year.

The model computes the total utilities energy cost by determining seasonal and on-peak/off-peak energy consumption, as applicable to that particular utility, and determining the cost of that energy from current natural gas and electrical costs and tariffs. The total utilities cost is the sum of the energy and maintenance costs.

The first year energy costs are projected for twenty years by modifying factors such as energy rates, maintenance rates, energy consumption, discount rate, finance rate, and operational scenarios. These factors can be changed on an annual, or in some cases, hourly basis as required.

Potential projects are modeled by entering various alternatives, such as various gas-turbine equipment, steam-turbine generation, efficiency improvements, etc., into the model and comparing the resultant cost with the status quo scenario. The capital cost of the alternative scenario is entered, and debt service payments are determined from discount and finance rates. The net present value of

the various twenty-year cash flows is calculated and several financial performance indicators are determined. Sensitivity analysis is performed by varying selected energy and financial factors and comparing the net present values.

The \$10 million cost of the GTG was based on an informal price offered by Solar Turbines to an information request by UNM. The debt service was determined from a New Mexico Mortgage Finance Authority (NMFA) draft debt proposal dated April 4, 2011 which includes a processing fee of \$75,000 added to the principal amount.

RESULTS

The expense proforma produced by the model is shown below:

University of New Mexico Cogeneration Plant Study

Version: NMFA Project Financing

Capital Cost: \$10,075,000

Finance Rate 4.22%

PROFORMA EXPENSE STATEMENT

NPV: \$13,905,613

Discount Rate 4.00%

S.I.R. 2.35

Year	Total Fuel Cost			Total Electric Cost			Maint Cost			Total Utility Cost		Gross Savings	Debt Service	Net Savings
	Current \$	Proposed \$	Δ\$	Current \$	Proposed \$	Δ\$	Current \$	Proposed \$	Δ\$	Current \$	Proposed \$	\$	\$	\$
2013	3,878,133	5,181,808	1,305,476	7,304,252	4,700,338	(2,603,913)	231,256	446,218	214,962	11,411,641	10,328,164	1,083,476	755,717	327,759
2014	4,095,229	5,444,845	1,349,617	7,874,530	5,150,155	(2,724,375)	243,556	468,343	224,787	12,213,315	11,063,343	1,149,972	755,717	394,255
2015	4,320,557	5,711,805	1,391,248	8,490,790	5,643,818	(2,846,972)	256,051	490,699	234,647	13,067,399	11,846,322	1,221,077	755,717	465,360
2016	4,563,095	6,002,162	1,439,067	9,150,401	6,175,315	(2,975,086)	269,517	514,503	244,986	13,983,013	12,691,980	1,291,033	755,717	535,316
2017	4,821,165	6,311,189	1,490,024	9,858,468	6,749,503	(3,108,965)	283,791	539,499	255,708	14,963,424	13,600,192	1,363,232	755,717	607,515
2018	5,095,314	6,638,965	1,543,651	10,618,443	7,369,575	(3,248,868)	298,902	565,762	266,859	16,012,659	14,574,301	1,438,358	755,717	682,641
2019	5,386,382	6,988,093	1,599,711	11,434,018	8,038,951	(3,395,067)	314,893	593,407	278,514	17,135,293	15,618,451	1,516,842	755,717	761,125
2020	5,695,831	7,353,034	1,657,203	12,309,143	8,761,298	(3,547,845)	331,835	622,402	290,567	18,336,809	16,736,733	1,600,076	755,717	844,359
2021	6,006,232	7,724,180	1,717,948	13,248,042	9,540,544	(3,707,498)	348,926	651,707	302,781	19,603,201	17,916,431	1,686,770	755,717	931,053
2022	6,355,307	8,136,468	1,781,161	14,255,237	10,380,901	(3,874,336)	367,915	683,436	315,521	20,978,459	19,200,805	1,777,654	755,717	1,021,937
2023	6,727,979	8,574,908	1,846,929	15,335,561	11,286,880	(4,048,681)	388,110	716,757	328,647	22,451,650	20,578,545	1,873,105	755,717	1,117,388
2024	7,125,568	9,040,575	1,915,008	16,494,188	12,263,317	(4,230,871)	409,574	751,817	342,243	24,029,330	22,055,709	1,973,621	755,717	1,217,904
2025	7,549,151	9,534,215	1,985,064	17,736,649	13,315,388	(4,421,261)	432,364	788,701	356,337	25,718,165	23,638,305	2,079,860	755,717	1,324,143
2026	8,000,159	10,057,145	2,056,986	19,068,861	14,448,644	(4,620,217)	456,551	827,553	371,002	27,525,570	25,333,342	2,192,229	755,717	1,436,512
2027	8,479,643	10,610,571	2,130,928	20,497,152	15,669,025	(4,828,127)	482,187	868,427	386,240	29,458,983	27,148,024	2,310,959	755,717	1,555,242
2028	8,989,099	11,196,672	2,207,574	22,028,290	16,982,897	(5,045,393)	509,348	911,406	402,059	31,526,736	29,090,976	2,435,761	755,717	1,680,044
2029	9,496,794	11,785,817	2,289,023	23,669,513	18,397,077	(5,272,436)	536,571	955,023	418,451	33,702,878	31,137,917	2,564,961	755,717	1,809,244
2030	10,069,826	12,441,962	2,372,135	25,428,560	19,918,964	(5,509,595)	566,966	1,002,528	435,562	36,065,351	33,363,354	2,701,998	755,717	1,946,281
2031	10,678,849	13,137,509	2,458,660	27,313,707	21,556,076	(5,757,632)	599,180	1,052,540	453,359	38,591,737	35,746,124	2,845,613	755,717	2,089,896
2032	11,326,187	13,874,876	2,548,689	29,333,806	23,317,061	(6,016,725)	633,329	1,105,143	471,814	41,293,323	38,297,100	2,996,223	755,717	2,240,506
		37,096,100			(81,783,964)			6,595,047				38,102,818		22,988,477
												Payback	7.16 Years	12.13 Years

Table 1 Proforma Expense Statement

The actual 2010 calendar year energy costs for purchased electrical and natural gas were \$6.903 million and \$3.585 million, respectively. As can be seen in the first line of the above table, the model reasonably predicts the total escalated cost of utilities in the projected first year of GTG production. The proforma predicts a simple payback of 7.16 years on the gross savings and 12.13 years on net savings based on debt at the NMFA 4.22% interest rate. The first year debt coverage is 30%, i.e. there is a 30% excess of gross savings to service the debt.

The analysis was subjected to a sensitivity analysis to determine the viability of the project subject to various possible gas-electric price escalation scenarios. The following table and graph shows the results of the analysis:

Gas Escalation →	2%	3%	4%	5%	6%	7%
Electric Escalation ↓						
2%	\$6,240,465	\$4,410,927	\$2,360,911	\$61,783	(\$2,518,830)	(\$5,417,517)
3%	\$10,444,413	\$8,614,874	\$6,564,858	\$4,265,730	\$1,685,117	(\$1,213,570)
4%	\$15,188,021	\$13,358,483	\$11,308,466	\$9,009,338	\$6,428,725	\$3,530,038
5%	\$20,546,046	\$18,716,508	\$16,666,492	\$14,367,364	\$11,786,751	\$8,888,064
6%	\$26,603,688	\$24,774,150	\$22,724,134	\$20,425,006	\$17,844,393	\$14,945,706
7%	\$33,458,013	\$31,628,475	\$29,578,458	\$27,279,330	\$24,698,717	\$21,800,030

Table 2 Net Present Value Sensitivity to various natural gas and electric escalation rates

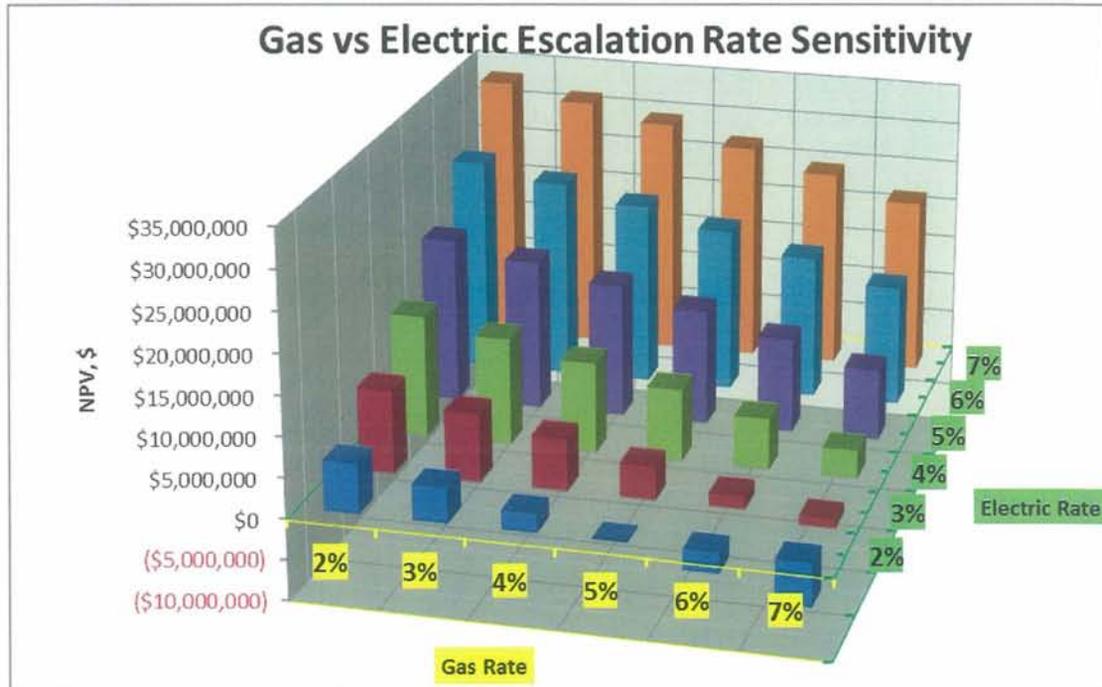


Figure 1 Gas vs. Electric Escalation Rate Sensitivity

The analysis indicates that the project produces positive NPV for the entire 20 year period except in three instances shown in red above. As previously stated, the scenario of gas price escalation of 6%-7% and electric price escalation of 2%-3% is very unlikely in the near term.

An additional sensitivity analysis of discount versus finance rates was also performed, but since it indicated that there was no instance of negative NPV, it is not shown here.

Although not taken into account in the analyses, the efficiency of the GTG is roughly double that of traditional electricity generation sources. Thus, this project will produce about half of the greenhouse gases compared to electricity provided to UNM from the local utility. This translates to a reduction of about 8,000 tons of carbon dioxide emissions annually which is 5.6% of the total university emissions. This reduction in emissions will result in financial savings in the future if regulatory limits are applied to greenhouse gases. The estimated value of 8,000 tons of carbon dioxide emissions is currently around \$40,000.

Memo

To: David W. Harris, EVP for Administration, COO & CFO

From: Kim D. Murphy, Director of Real Estate

Date: May 23, 2011

Re: Annual Renewal of Maui HPCC Lease

The terms of the Lease Agreement (the "Lease") for the Maui High Performance Computing Center (the "Center" or "Maui HPCC") located at 550 Lipoa Parkway, Maui Research and Technology Park in Kihei, Maui require that the Regents notify the Trustee ("BNY Western Trust Company") of its intention to either renew or not renew the Lease annually for each fiscal year.

On May 31, 2001 the University of Hawaii ("UH") was awarded the contract to operate and manage the Center by the U.S. Air Force Research Laboratory beginning October 1, 2001. In conjunction with the award of this contract, the University of New Mexico transferred control of the facility to UH, through its subsidiary The Research Corporation of the University of Hawaii ("RCUH"), by means of a sublease agreement (the "Sublease") having ten (10) annual lease terms, expiring on September 30, 2011. The Air Force Research Laboratory is in the process of extending or re-bidding the Center contract, and therefore RCUH has requested an extension of the Sublease for an additional year through September 30, 2012.

RCUH has notified UNM of its intent to renew the Sublease effective October 1, 2011 through September 30, 2012.

Based on RCUH's renewal notice, we are requesting that the Regents renew the Maui HPCC Lease (in order to maintain the UH Sublease), and to evidence such lease renewal by the adoption of the following *resolution*:

The Regents ("Regents") of the University of New Mexico ("UNM"), as Lessee, hereby authorize annual renewal of the Lease Agreement dated October 1, 2000 for the UNM Maui High Performance Computing Center (the "Center") with the Bank of Hawaii, acting through its Pacific Century Trust Division, as Lessor and Trustee, for Fiscal Year 2011-2012 beginning July 1, 2011 and ending June 30, 2012 (the "Lease"), based upon its finding that amounts have been or will be budgeted and authorized for payment of all Base Rentals and such Additional Rentals as are estimated to become due under the terms of the Lease. The Regents further find as follows: (1) UH has entered into a mutually acceptable arrangement with UNM to provide UH with access to the Center for UH's performance of its contract with the Air Force, pursuant to

which the amounts necessary to pay Base Rentals and Additional Rentals will become available, budgeted and authorized for such payments; (2) pursuant to its contract with the U.S. Air Force, UH remains obligated to operate and manage the Center through September 30, 2011, subject to extension; (3) pursuant to the Sublease between UNM and UH, UH has the right to occupy the facility through September 30, 2012, subject to annual renewal of the Sublease, which UH has presently renewed through September 30, 2012; and (4) in the event that amounts necessary to pay Base Rentals and Additional Rentals are not otherwise available, then pursuant to Sections 5.2 and 5.4 of the Lease, the Regents will notify Lessor that available funds are insufficient to satisfy UNM's obligations when next due and that amounts necessary to pay Base Rentals and Additional Rentals shall be made from the Supplemental Rentals Reserve Fund.

**RESOLUTION OF THE REGENTS OF THE UNIVERSITY OF NEW MEXICO
CONFIRMING, RATIFYING, AND APPROVING UNCONDITIONALLY THE
LEASE AGREEMENT BY AND BETWEEN THE REGENTS OF THE
UNIVERSITY OF NEW MEXICO AND ACC OP (UNM MAIN 1) LLC.**

WHEREAS, the Regents of the University of New Mexico (the "Regents") have previously executed that certain Master Agreement for Lease of UNM Sites for Student Housing dated November 1, 2009 (the "Master Agreement"); and

WHEREAS, pursuant to the terms of the Master Agreement, the parties agreed to negotiate the terms of a long-term lease agreement detailing the design, development, management and operation of a student housing facility to be located on the University's main campus; and

WHEREAS, the Regents, at its meeting of May 10, 2011, conditionally approved a lease agreement contingent on the finalization of the wording of certain provisions and of certain exhibits to the satisfaction of Regent J.E. Gallegos and Executive Vice President for Administration, David W. Harris; and

WHEREAS, Regent Gallegos and Executive Vice President Harris have reviewed, addressed, and agreed to the revised final wording of certain provisions of the lease agreement and of certain exhibits to the lease agreement, which revisions are incorporated in the executed lease agreement dated May 20, 2011, attached as **Exhibit A** to this Resolution (the "**Lease Agreement**");

NOW, THEREFORE, BE IT:

RESOLVED, that the actions of Regent Gallegos and of Executive Vice President David Harris in revising, addressing, and finalizing the wording of certain provisions in the Lease Agreement and in the exhibits to the Lease Agreement are hereby confirmed and ratified; and be it further

RESOLVED, that the action of Executive Vice President David Harris in executing the Lease Agreement and necessarily related documentation is hereby confirmed and ratified; and be if further

RESOLVED, that the Lease Agreement and necessarily related documentation executed in conjunction with the Lease Agreement are hereby confirmed, ratified, and approved unconditionally.

**PASSED, APPROVED, AND ADOPTED BY VOTE OF THE BOARD OF REGENTS ON
JUNE 27, 2011.**

By: _____
Jack L. Fortner, President of the
Board of Regents

MEMORANDUM

TO: University of New Mexico
Board of Regents
Finance & Facilities Committee Meeting

FROM: STC.UNM
Board of Directors

DATE: May 17, 2011

RE: Reappointment of Sandra Begay-Campbell, Fred Mondragon, Chuck Wellborn and John Stichman to the STC.UNM Board of Directors
Appointment of Mansoor Sheik-Bahae as Main Campus Faculty Member to the STC.UNM Board of Directors

STC.UNM submits for approval the reappointment of the following individuals to its Board of Directors for a four-year term, beginning upon approval by the Regents and ending June 30, 2015. Ms. Sandra Begay-Campbell, Mr. Fred Mondragon, Mr. Chuck Wellborn and Dr. John Stichman were recommended for reappointment by the STC Board at its May 6, 2011 board meeting, subject to the Regents' approval.

Ms. Sandra Begay-Campbell	bio attached
Mr. Fred Mondragon	bio attached
Mr. Chuck Wellborn	bio attached
Dr. John Stichman	bio attached

STC.UNM submits for approval the appointment of Dr. Mansoor Sheik-Bahae as the main campus faculty member to its Board of Directors for a four-year term, beginning upon approval by the Regents and ending June 30, 2015. Dr. Sheik-Bahae was recommended for appointment by President Schmidly and approved by the STC Board at its May 6, 2011 board meeting, subject to the Regents' approval.

Dr. Manoor Sheik-Bahae	curriculum vitae attached
------------------------	---------------------------

Sandra Begay-Campbell

STC.UNM Board Secretary and Treasurer

Principal Member, Technical Staff, Sandia National Laboratories



Education

BS - Civil Engineering - University of New Mexico

MS - Structural Engineering - Stanford University

Business/Research Background

A former regent of the University of New Mexico, Ms. Begay-Campbell joined Sandia National Laboratories in 1992 and is now a Principal Member of its Technical Staff. She heads Sandia's technical efforts in the Renewable Energy Program to assist Native American tribes with renewable energy development. Prior to this she also worked at Lawrence Livermore Laboratories and at Los Alamos National Laboratory.

Particular Knowledge and Skills

Recognized by WEPAN (Women in Engineering Programs & Advocates Network), she has served on its national Board of Directors.

In 2000, Ms. Begay-Campbell was the recipient of the Stanford University 2000 Multicultural Alumni of the Year Award. She has also been selected as a recipient of the Governor's Award for Outstanding Women from the New Mexico Commission on the Status of Women.

In 2003, Ms. Begay-Campbell was awarded the Women of Color Emerald Honor for Community Service during the Third Annual Women of Color Research Sciences and Technologies Awards Conference.

Mr. Fred Mondragon
Cabinet Secretary
New Mexico Economic Development Department



Education

BS - Biology and English Literature, University of New Mexico
MBA - Healthcare Administration, George Washington University

Business/Research Background

Mr. Mondragon was appointed by Governor Bill Richardson as Secretary for Economic Development in May 2007. Before coming to the New Mexico Economic Development Department, Mr. Mondragon was the Director of the Office of Economic Development for the city of Albuquerque, where he participated in the recruitment or expansion of Tesla Motors, Verizon Wireless, Eclipse Aviation, Advent Solar and Albuquerque Studios. He also developed initiatives to improve resources for local business expansion and to better assist existing small- and medium-sized businesses in Albuquerque. Under Mr. Mondragon's stewardship, the city's film and international trade divisions have also thrived.

Other job experience includes over thirty years experience in the public and private sectors. He has been the Chief Executive Officer (administrator) of the University of New Mexico Hospital, Regional Administrator of a major hospital system in New Mexico, and the Deputy Chief Administrative Officer for the City of Albuquerque. Mr. Mondragon has also worked in the consulting and information technology field for two national system integration companies. As CEO of Fred Mondragon and Associates, he has been a consultant to several government and healthcare agencies.

Particular Knowledge and Skills

Mr. Mondragon served three terms in the New Mexico House of Representatives. He was a member of the House Appropriations and Finance Committee and was the first Chairman of the Higher Education Subcommittee. During his tenure in the Legislature, he specialized in tax issues, health-care concerns, economic development and higher education. He has also been the Science Advisor to the Governor and served as founding Chairman of the Governor's Science and Technology Commission. As an advisor and consultant he has specialized knowledge in technology transfer and changes to federal policies that enable businesses and government laboratories to collaborate more effectively.

Mr. Charles I. Wellborn



Education

BA - Economics, University of New Mexico
JD - University of New Mexico
LLM - Corporate Law, New York University

Business/Research Background

Mr. Wellborn practiced corporate law in New Mexico for nearly 30 years including serving as President of the State Bar in 1982. After many years of working with technology companies and promoting technology-based economic development, Mr. Wellborn took on the challenge of technology commercialization, becoming the first full-time President of STC.UNM (then Science & Technology Corporation @ UNM) in May of 1995. From 1991-1998, he served on the New Mexico Venture Capital Advisory Committee that has been instrumental in bringing five venture capital firms to New Mexico. Also a former Chair of the Economic Forum, an organization comprised of the major business, governmental and educational institutions in the Albuquerque area.

Particular Knowledge and Skills

Mr. Wellborn has extensive experience in corporate law and finance, including venture capital financing transactions.

Dr. John H. Stichman

Executive Vice President & Deputy Laboratory Director
Sandia National Laboratories

*Education*

BS - Electrical Engineering, University of Wisconsin at Madison

MS - Electrical Engineering, University of Wisconsin at Madison

Ph.D. - Electrical Engineering, University of Wisconsin at Madison

Business/Research Background

At Sandia since 1972, Dr. Stichman is responsible for lab operations, staff and facilities and for developing and implementing policy. He is also responsible for the overall stewardship of the technical capabilities at Sandia and for setting, promulgating, and maintaining standards for technical activities. He is also a member of the senior executive management councils at Sandia and has particular responsibilities for transformational activities in technology and in operations. He is responsible for the independent assessment of weapons' safety, security and reliability for Sandia.

Prior to his appointment as Executive Vice President, Dr. Stichman was Vice President of the Weapon System Division at Sandia. In this position, he was responsible for all aspects of Sandia's nuclear weapon engineering--from concept and design development through stockpile support and weapon retirement. This division also provided significant technology and system development for a variety of national security applications, such as airborne imaging radars, remote sensors, and instrumentation and telemetry systems.

Before his employment at Sandia, Dr. Stichman was Director of the New Mexico Weapon Systems Engineering Center, Director of the Surety Components and Instrumentation Center, and a member of the technical staff in the Data Systems Division of Hughes Aircraft Company. His responsibilities have included directing the development of telemetry systems, electronic/electromechanical components, safety/security subsystems in nuclear weapons and other defense-related systems. He has also managed development of electronic systems for weapon arming and firing, flight computers, real-time imaging radars and automatic target recognition. He participated in the development and commercialization of the first implantable, programmable insulin-delivery system for treatment of diabetes.

Particular Knowledge and Skills

Dr. Stichman is a senior member of the Institute of Electrical and Electronics Engineers and is registered as a professional engineer in the state of New Mexico. He is also a member of the Industrial Advisory Board of the College of Engineering at the University of Wisconsin, Madison. He is the recipient of the "Award for Exemplary Civilian Service" from the

Department of the Air Force.

His published papers and conference presentations include the subjects of instrumentation and control, implantable medical electronics, and real-time optical computing. He holds two U.S. patents.

Mansoor Sheik-Bahae
Professor

Department of Physics and Astronomy
University of New Mexico, MSC07-4220
Albuquerque, NM, 87131

Tel: (505) 277-2080
Fax: (505) 277-1520
e-mail: msb@unm.edu,
Web: www.optics.unm.edu/sbahae/

Educational History:

Ph.D. Electrical Engineering (Electro-Physics), 1987
State University of New York (SUNY) at Buffalo

M.S. Electrical Engineering, 1982
Catholic University of America, Washington D.C.

B.S. Electrical Engineering, 1980, *Summa Cum Laude*
Catholic University of America, Washington D.C.

Employment History :

- | | |
|-------------|---|
| 6/05- now | Professor, Department of Physics and Astronomy,
and Department of Electrical and Computer Engineering
University of New Mexico, Albuquerque, NM |
| 3/99- 5/05 | Associate Professor, Department of Physics and Astronomy,
University of New Mexico, Albuquerque, NM |
| 8/94- 3/99 | Assistant Professor, Department of Physics and Astronomy,
University of New Mexico, Albuquerque, NM |
| 9/90 - 8/94 | Associate Research Professor, Center for Research and Education in Optics and
Lasers (CREOL), University of Central Florida, Orlando, Florida |

Awards:

- ◆ Recognized for “Most cited paper in the history of IEEE-JQE”, LEOS 2007
- ◆ *OSA Fellow* (2000)
- ◆ 1996 *NSF-CAREER award*
- ◆ 1990 *Engineer of the Year Award*, IEEE/LEOS (Orlando, Florida)

Professional Service:

- General Chair of *Optical Science and Engineering Program* University of New Mexico (03-09)
- Director of Consortium for Laser Cooling in Solids (CLCS), 2004-now
- Topical Editor, *Journal of Optical Society of America (B)*. 2010-now
- Member of the Executive Organizing Committee (SPIE- Photonics West- LASE): 07,08,09,10
- Conference co-chair (Laser Cooling in Solids, SPIE- Photonics West) 07,08,09,10
- Chair and member of program subcommittee *Applications of Nonlinear Optics* for CLEO 99-04
- Member of the OSA’s Committees: *New Focus Student Award* (1998 and 1999), *Book Publishing and Web Committees* (2003), *Holonyak Award Committee* (2004-2006).
- Member of IEEE-LEOS Program Committee on *Nonlinear Optics*, 2003

Personal: US Citizen, Married, DOB: 1956

Scientific Contribution Highlights:

- Invented the Z-scan technique (with coworkers, Eric van Stryland and Ali Said). Z-scan is a sensitive and powerful experimental method for absolute measurement of optical nonlinearities of materials. This technique and its mathematical analysis were published in a series of nine original papers by myself and coworkers. Z-scan is now being used extensively in many universities and research institutes around the world. It is also described and analyzed in most text books in nonlinear optics. According to recent ISI Web of Science citation index, the collection of papers we authored on Z-scan has been cited more than 3500 times. One of these papers (IEEE/JQE/1990) has been named the most cited paper of JQE history (2007). It was reprinted in an SPIE's Milestone Series of Selected Reprints on *Ultrashort Laser Pulse Bioeffects*, edited by William P. Roach, Thomas E. Johnson (2003).
- Developed a simple, comprehensive quantum mechanical theory for predicting the ultrafast electronic optical nonlinear coefficients of semiconductors. This work required a better understanding and formulation of the Kramers-Kronig transformation as applied to nonlinear optics. The theory gives a universal and consistent relationship between absorptive and refractive components of the nonlinear coefficients in optical solids. This has proven to be of great importance in device applications such as ultrafast all-optical switching and sensor protection. There are seven papers authored by myself and co-authors on this subject, which have been cited more than 1000 times (ISI Web of Science, 2010).
- Performed pioneering work on the physics, measurement, and applications of the cascaded second-order nonlinearities. The definitive papers on this topic were authored by myself and co-workers and have been cited more than 1400 times (ISI Web of Science, 2010).
- Have made key theoretical and experimental contributions to the field of solid state laser cooling. In 2009, UNM team reported the first laser cooling to cryogenic temperatures. Also (together with Richard Epstein of LANL), we developed a theory (known as SB-E model) for laser-cooling in semiconductors under arbitrary external efficiency (PRL, 2004). Also a co-inventor of the "nano gap thermal barrier" for solving the luminescence trapping problem. (U.S. Patent # 6,378,321), and has 3 other pending patent applications in this area.
- Developed the MOSAIC algorithm together with the Kerr-Lens-Autocorrelation experimental technique for ultrashort laser pulse characterization. This resulted in the issuance of a U.S. Patent (6,108,085). This technique has recently been adapted to perform femtosecond electric-field reconstruction from a single autocorrelator. Two manufacturers (Femtochrome, Berkeley, CA, and APE in Germany) are exploring the use of MOSAIC algorithm in their commercial autocorrelators.

Other Research Recognitions:

- Elected Fellow of the Optical Society of America (OSA) in 2000 for "Invention of Z-Scan" and for "Insight into the Application of Causality in Nonlinear Optics". Also recognized by IEEE-LEOS for authoring the most cited paper (for Z-scan) in the history of the IEEE-JQE in 2007.
- Results of research on laser cooling of solids (*Physical Review Letters* 17, 3600, 2000) was highlighted in the journal of *Nature* (News and Views, May 2000).
- Achievement of cryogenic laser cooling was highlighted in numerous science magazines (online and print) such as *Physics Today* (April 2010) and *Photonics Spectra*. It was also subject of press releases by AFOSR and CLEO/QELS committees.
- PhD Students Awards:
 - Chad Hoyt: *OSA New Focus Student Award* (2000). His work on optical refrigeration earned the top prize of \$10,000. He was also a recipient of an NRC postdoc fellowship.
 - Denis Seletskiy: Part of US Student Delegation at Lindau meeting of Nobel Laureates (2007). He has also received an NRC postdoc fellowship in 2010.
 - Wendy Patterson: *Los Alamos National Lab (LANL) Student Distinguished Performance Award* (2008)
 - Daniel Bender: *Best Poster Prize* for "Ultrafast lasers: identifying the perfect pulse", SPIE Photonics West (2008).



Office of the HSC Senior Executive Financial Officer
and University Controller
1 University of New Mexico
MSCO1 1300
Albuquerque, NM 87131

MEMORANDUM

DATE: May 24, 2011

TO: David W. Harris
Executive Vice President

FROM: Ava J. Lovell, CPA
HSC Senior Executive Financial Officer
& University Controller

RE: Information Item for Board of Regents' Finance & Facilities Committee Meeting

The Monthly Consolidated Financial Report for the month ended April 2011 will be presented at the June 2, 2011 Finance and Facilities meeting. In order to present the most-timely financial information at this meeting Financial Reports will be distributed at the F&F meeting in lieu of having them included in the bound agenda book.

Statements of Revenues, Expenses and Changes in Net Assets Format for Regents
For the Ten month period ended April 30, 2011
Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2011 Full Year Revised Budget	FY 2011 Year-to-Date Actual	Fiscal YTD Favrbll/(Unfavrbll) Budget	Actual to Budget Benchmark Rate 83%
Instruction and General				
Tuition and Fees Revenues	146,799,121	146,485,511	(313,610)	100%
State/Local Appropriations	256,534,535	213,069,802	(43,464,733)	83%
F & A Revenues	43,000,000	35,708,882	(7,291,118)	83%
Transfers	(58,578,289)	(45,643,697)	12,934,592	78%
Other Revenues	18,866,182	20,599,794	1,733,612	109%
Total Instruction and General Revenues	406,621,549	370,220,292	(36,401,257)	91%
Salaries	256,741,158	210,807,328	45,933,830	82%
Benefits	80,218,478	59,203,171	21,015,307	74%
Other Expenses	80,624,047	53,421,787	27,202,260	66%
Total Instruction and General Expenses	417,583,683	323,432,286	94,151,397	77%
Net Instruction and General Revenue/(Expense)	(10,962,134)	46,788,006	57,750,140	
Research				
State/Local Appropriations	11,041,114	9,100,551	(1,940,563)	82%
Transfers	23,505,684	17,118,572	(6,387,112)	73%
Other Revenues	3,797,061	3,000,931	(796,130)	79%
Total Research Revenues	38,343,859	29,220,054	(9,123,805)	76%
Salaries and Benefits	26,648,636	18,498,839	8,149,797	69%
Other Expenses	25,046,788	12,880,330	12,166,458	51%
Total Research Expenses	51,695,424	31,379,169	20,316,255	61%
Net Research Revenue/(Expense)	(13,351,565)	(2,159,115)	11,192,450	
Clinical Operations				
State/Local Appropriations	24,558,286	19,755,042	(4,803,244)	80%
Physician Professional Fee Revenues	89,321,827	66,929,519	(22,392,308)	75%
Hospital Facility Revenues	625,883,582	536,528,018	(89,355,564)	86%
Other Patient Revenues, net of Allowance	81,949,170	75,830,233	(6,118,937)	93%
Mil Levy	88,772,354	73,122,271	(15,650,083)	82%
Investment Income	3,320,650	3,063,339	(257,311)	92%
Gifts	7,698,554	6,783,484	(915,070)	88%
Housestaff Revenues	28,733,598	24,157,048	(4,576,550)	84%
Other Revenues	5,214,075	7,669,045	2,454,970	147%
Total Clinical Operations Revenues	955,452,096	813,837,999	(141,614,097)	85%
Salaries and Benefits	528,252,070	443,983,639	84,268,431	84%
Debt Service	8,163,898	6,785,135	1,378,763	83%
Housestaff Expenses	29,822,039	24,779,519	5,042,520	83%
Other Expenses	392,828,812	346,034,344	46,794,468	88%
Total Clinical Operations Expenses	959,066,819	821,582,637	137,484,182	86%
Net Clinical Operations Revenue/(Expense)	(3,614,723)	(7,744,638)	(4,129,915)	
Public Service				
State/Local Appropriations	5,245,835	4,307,494	(938,341)	82%
Sales and Services Revenues	12,544,644	9,465,813	(3,078,831)	75%
Gifts	7,335,840	9,420,019	2,084,179	128%
Transfers	(310,483)	(1,641,654)	(1,331,171)	529%
Other Revenues	5,240,223	3,674,703	(1,565,520)	70%
Total Public Service Revenues	30,056,059	25,226,375	(4,829,684)	84%
Salaries and Benefits	15,842,930	12,960,568	2,882,362	82%
Other Expenses	23,291,173	13,354,417	9,936,756	57%
Total Public Service Expenses	39,134,103	26,314,985	12,819,118	67%
Net Public Service Revenue/(Expense)	(9,078,044)	(1,088,610)	7,989,434	

Statements of Revenues, Expenses and Changes in Net Assets Format for Regents
For the Ten month period ended April 30, 2011
Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2011 Full Year Revised Budget	FY 2011 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 83%
Student Aid				
Gifts	2,556,927	3,385,173	828,246	132%
State Lottery Scholarship	28,876,160	32,098,152	3,221,992	111%
Transfers	13,054,282	12,694,219	(360,063)	97%
Other Revenues	301,994	433,995	132,001	144%
Total Student Aid Revenues	44,789,363	48,611,539	3,822,176	109%
Salaries and Benefits	3,693,806	2,565,410	1,128,396	69%
Other Expenses	49,928,738	47,253,095	2,675,643	95%
Total Student Aid Expenses	53,622,544	49,818,505	3,804,039	93%
Net Student Aid Revenue/(Expense)	(8,833,181)	(1,206,966)	7,626,215	
Student Activities				
Fee Revenues	5,286,346	5,052,620	(233,726)	96%
Sales and Services Revenues	1,108,966	1,476,013	367,047	133%
Transfers	362,101	577,612	215,511	160%
Other Revenues	481,357	91,769	(389,588)	19%
Total Student Activities Revenues	7,238,770	7,198,014	(40,756)	99%
Salaries and Benefits	3,893,429	3,441,917	451,512	88%
Other Expenses	3,752,977	2,409,292	1,343,685	64%
Total Student Activities Expenses	7,646,406	5,851,209	1,795,197	77%
Net Student Activities Revenue/(Expense)	(407,636)	1,346,805	1,754,441	
Auxiliaries and Athletics				
Branch Campuses Auxiliary Revenues	2,728,296	3,212,019	483,723	118%
Main Campus Auxiliaries Revenues	63,065,142	49,834,404	(13,230,738)	79%
Athletics Revenues	29,182,086	26,041,785	(3,140,301)	89%
Total Auxiliaries and Athletics Revenues	94,975,524	79,088,208	(15,887,316)	83%
Branch Campuses Auxiliary Expenses	2,736,699	2,840,649	(103,950)	104%
Main Campus Auxiliaries Expenses	62,953,231	46,569,600	16,383,631	74%
Athletics Expenses	29,371,711	26,484,183	2,887,528	90%
Total Auxiliaries and Athletics Expenses	95,061,641	75,894,432	19,167,209	80%
Net Auxiliaries and Athletics Revenue/(Expense)	(86,117)	3,193,776	3,279,893	
Sponsored Programs				
Federal Grants and Contracts Revenues	252,089,682	227,843,922	(24,245,760)	90%
State and Local Grants and Contracts Revenues	38,462,244	23,904,162	(14,558,082)	62%
Non-Governmental Grants and Contracts Revenues	23,203,545	20,552,830	(2,650,715)	89%
Gifts	190,776	170,224	(20,552)	89%
Transfers	2,779,985	4,113,721	1,333,736	148%
Other Revenues	130,000	-	(130,000)	0%
Total Sponsored Programs Revenues	316,856,232	276,584,859	(40,271,373)	87%
Salaries and Benefits	136,992,745	107,257,732	29,735,013	78%
Other Expenses	179,863,487	169,327,127	10,536,360	94%
Total Sponsored Programs Expenses	316,856,232	276,584,859	40,271,373	87%
Net Sponsored Programs Revenue/(Expense)	-	-	-	
Contingencies				
Total Contingency Revenues	34,102,198	-	34,102,198	0%
Total Contingency Expenses	(6,765,092)	-	(6,765,092)	0%
Net Contingencies Revenue/(Expense)	40,867,290	-	40,867,290	
Net Current Revenue/(Expense)	(5,466,110)	39,129,258	126,329,948	
Beginning Net Assets Unrestricted		258,994,997		
Ending Net Assets Unrestricted		298,124,255		

Statements of Revenues, Expenses and Changes in Net Assets Format for Regents
For the Ten month period ended April 30, 2011
Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2011 Full Year Revised Budget	FY 2011 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 83%
University of New Mexico - Results of Athletics and Auxiliary Operations				
Results of Athletics Operations:				
Athletics Revenues	32,481,728	28,496,388	(3,985,340)	88%
Athletics Transfers	(3,299,642)	(2,454,603)	845,039	74%
Total Athletics Revenues	29,182,086	26,041,785	(3,140,301)	89%
Athletics Expenses				
Salaries and Benefits	13,336,653	11,246,105	2,090,548	84%
Grant-in-Aid	3,217,027	3,357,103	(140,076)	104%
Other Expenses	12,818,031	11,880,975	937,056	93%
Total Athletics Expenses	29,371,711	26,484,183	2,887,528	90%
Net Athletics Revenue/(Expense)	(189,625)	(442,398)	(252,773)	
Results of Auxiliary Operations:				
VP for Institutional Support Services				
Bookstore Revenues	17,940,999	17,603,289	(337,710)	98%
Bookstore Transfers	(1,230,025)	(291,672)	938,353	24%
Total Bookstore Revenues	16,710,974	17,311,617	600,643	104%
Total Bookstore Expenses	16,710,974	15,918,091	792,883	95%
Net Bookstore Revenue/(Expense)	-	1,393,526	1,393,526	
Public Events Revenues	7,574,250	7,019,511	(554,739)	93%
Public Events Transfers	149,730	124,780	(24,950)	83%
Total Public Events Revenues	7,723,980	7,144,291	(579,689)	92%
Total Public Events Expenses	7,723,980	6,996,863	727,117	91%
Net Public Events Revenue/(Expense)	-	147,428	147,428	
Golf Courses Revenues	2,595,127	1,477,816	(1,117,311)	57%
Golf Courses Transfers	(39,252)	(32,710)	6,542	83%
Total Golf Courses Revenues	2,555,875	1,445,106	(1,110,769)	57%
Total Golf Courses Expenses	2,400,827	1,921,107	479,720	80%
Net Golf Courses Revenue/(Expense)	155,048	(476,001)	(631,049)	
Parking and Transportation Revenues	7,729,958	6,460,607	(1,269,351)	84%
Parking and Trans Transfers	(3,188,497)	(2,196,547)	991,950	69%
Total Parking and Trans Revenues	4,541,461	4,264,060	(277,401)	94%
Total Parking and Trans Expenses	4,541,461	4,381,064	160,397	96%
Net Parking and Trans Revenue/(Expense)	-	(117,004)	(117,004)	
Ticketing Services Revenues	926,295	969,295	43,000	105%
Ticketing Services Transfers	75,348	(187,210)	(262,558)	-248%
Total Ticketing Services Revenues	1,001,643	782,085	(219,558)	78%
Total Ticketing Services Expenses	1,001,643	647,708	353,935	65%
Net Ticketing Services Revenue/(Expense)	-	134,377	134,377	
Faculty Club Revenues	50,400	39,387	(11,013)	78%
Faculty Club Expenses	50,400	51,523	(1,123)	102%
Net Faculty Club Revenue/(Expense)	-	(12,136)	(12,136)	
Young Ranch Revenues	219,972	164,435	(55,537)	75%
Young Ranch Expenses	219,972	43,715	176,257	20%
Net Young Ranch Revenue/(Expense)	-	120,720	120,720	
Taos & Lawrence Ranch Revenues	329,384	230,623	(98,761)	70%
Taos & Lawrence Ranch Expenses	329,384	42,544	286,840	13%
Net Taos & Lawrence Ranch Revenue/(Expense)	-	188,079	188,079	
Total VP for Institutional Support Services Revenues	33,133,689	31,381,604	(1,752,085)	95%
Total VP for Institutional Support Services Expenses	32,978,641	30,002,615	2,976,026	91%
Net VP for Institutional Support Services Revenue/(Expense)	155,048	1,378,989	1,223,941	

Statements of Revenues, Expenses and Changes in Net Assets Format for Regents
For the Ten month period ended April 30, 2011
Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2011 Full Year Revised Budget	FY 2011 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 83%
VP for Student Affairs				
AVP Ops/Student Life Revenues	2,170,545	2,180,330	9,785	100%
AVP Ops/Student Life Transfers	(239,211)	(16,205)	223,006	7%
Total AVP Ops/Student Life Revenues	1,931,334	2,164,125	232,791	112%
Total AVP Ops/Student Life Expenses	1,931,334	1,210,093	721,241	63%
Net AVP Ops/Student Life Revenue/(Expense)	-	954,032	954,032	
Housing and Food Service Revenues	24,843,830	12,805,953	(12,037,877)	52%
Housing Transfers	(5,807,394)	(5,294,140)	513,254	91%
Total Housing and Food Service Revenues	19,036,436	7,511,813	(11,524,623)	39%
Total Housing and Food Service Expenses	19,036,436	7,363,294	11,673,142	39%
Net Housing and Food Service Revenue/(Expense)	-	148,519	148,519	
Student Health Center Revenues	6,566,798	6,401,492	(165,306)	97%
Student Health Center Expenses	6,666,798	5,402,789	1,264,009	81%
Net Student Health Center Revenue/(Expense)	(100,000)	998,703	1,098,703	
Student Union Revenues	2,147,429	2,170,980	23,551	101%
Student Union Expenses	2,147,429	2,311,302	(163,873)	108%
Net Student Union Revenue/(Expense)	-	(140,322)	(140,322)	
Lobo Cash Revenues	23,830	(26,543)	(50,373)	-111%
Lobo Cash Expenses	9,330	22,392	13,062	240%
Net Lobo Cash Revenue/(Expense)	14,500	(48,935)	(63,435)	
Total VP for Student Affairs Revenues	29,705,827	18,221,867	(11,483,960)	61%
Total VP for Student Affairs Expenses	29,791,327	16,309,870	13,481,457	55%
Net VP for Student Affairs Revenue/(Expense)	(85,500)	1,911,997	1,997,497	
Provost and Other Units				
CE Conference Ctr Revenues	246,000	213,300	(32,700)	87%
CE Conference Ctr Transfers	(71,769)	(29,164)	42,605	41%
Total CE Conference Ctr Revenues	174,231	184,136	9,905	106%
Total CE Conference Ctr Expenses	174,231	168,389	5,842	97%
Net CE Conference Ctr Revenue/(Expense)	-	15,747	15,747	
Art Museum Revenues	-	-	-	N/A
Art Museum Expenses	-	-	-	N/A
Net Art Museum Revenue/(Expense)	-	-	-	
Maxwell Museum Revenues	36,395	38,923	2,528	107%
Maxwell Museum Expenses	(54,757)	47,318	(102,075)	-86%
Net Maxwell Museum Revenue/(Expense)	91,152	(8,395)	(99,547)	
Other Revenues	15,000	7,874	(7,126)	52%
Other Expenses	63,789	41,408	22,381	65%
Net Other Revenue/(Expense)	(48,789)	(33,534)	15,255	
Total Provost and Other Units Revenues	225,626	230,933	5,307	102%
Total Provost and Other Units Expenses	183,263	257,115	(73,852)	140%
Net Provost and Other Units Revenue/(Expense)	42,363	(26,182)	(68,545)	
Auxiliary Totals				
Total Auxiliary & Concessions Revenues	63,065,142	49,834,404	(13,230,738)	79%
Total Auxiliary & Concessions Expenses	62,953,231	46,569,600	16,383,631	74%
Net Auxiliary Revenue/(Expense)	111,911	3,264,804	3,152,893	
Net Athletics Revenue/(Expense)	(189,625)	(442,398)	(252,773)	
Net Auxiliary and Athletics Revenue/(Expense)	(77,714)	2,822,406	2,900,120	
Net Branch Campuses Aux Revenue/(Expense)	(8,403)	371,370	379,773	
Net All Auxiliary and Athletics Revenue/(Expense)	(86,117)	3,193,776	3,279,893	

UNM Debt Service Schedule

As of April 30, 2011

*Includes Hospital Debt

UNM Bond Issue	Fixed or Variable Rate Issue	Original Issue Amount	Outstanding Principal Balance on June 30, 2010	Principal Payment due on June 1, 2011	Interest Payment paid on December 1, 2010	Interest Payment due on June 1, 2011	FY 2011 Principal & Interest
Sub Lien System Imp Revenue Bonds Series 2007 A&B: Interest Range 4.096% to 5.28% Final Maturity Year 2036	Fixed Rate	\$136,710,000	\$136,710,000	\$1,630,000	\$3,386,860	\$3,386,860	\$8,403,720
Sub Lien System Imp Revenue Bonds Series 2005: Interest Range 3.0% to 5.0% Final Maturity Year 2035	Fixed Rate	\$125,575,000	\$122,125,000	\$1,870,000	\$2,791,701	\$2,791,701	\$7,453,402
⁽¹⁾ Hospital Mortgage Revenue Bonds Series 2004: Interest Range 2.0% to 5.0% Final Maturity Year 2031	Fixed Rate	\$192,250,000	\$179,005,000	\$4,570,000 (\$2,260,000 paid 7/1/2010) (\$2,310,000 paid 1/1/2011)	\$4,277,788 (paid 1/1/2011)	\$4,224,150 (paid 7/1/2010)	\$13,071,938
Sub Lien System Rfdg Revenue Bonds Series 2003 A: Interest Range 2.0% to 5.25% Final Maturity Year 2018	Fixed Rate	\$21,660,000	\$12,665,000	\$2,080,000	\$329,857	\$329,857	\$2,739,714
Sub Lien System Rfdg Revenue Bonds Series 2003 B&C: Interest Range 1.35% to 5.625% Final Maturity Years B 2024 & C 2033	Fixed Rate	\$11,805,000	\$10,285,000	\$250,000	\$249,905	\$249,905	\$749,810
Sub Lien Sys Rfdg & Imp Revenue Bonds Series 2002 A: Interest Range 2.5% to 5.25% Final Maturity Year 2032	Fixed Rate	\$58,860,000	\$47,570,000	\$2,045,000	\$1,214,163	\$1,214,163	\$4,473,326
Sub Lien Sys Rfdg Revenue Bonds ⁽²⁾ Series 2002 B: Synthetic Fixed Int. Rate 3.83% Final Maturity Year 2026	Variable Rate	\$25,475,000	\$25,090,000	\$200,000	\$480,474	\$480,474	\$1,160,948
Sub Lien System Rfdg Revenue Bonds ⁽²⁾ Series 2002 C: Synthetic Fixed Int. Rate 3.94% Final Maturity Year 2030	Variable Rate	\$37,840,000	\$36,740,000	\$350,000	\$723,778	\$723,778	\$1,797,556
Sub Lien System Imp Revenue Bonds Series 2001: 1/2 True Variable Rate ⁽²⁾ Series 2001: 1/2 Synthetic Fixed Interest at 4.16% and 4.19% Final Maturity Year 2026	Variable Rate	\$52,625,000	\$41,020,000	\$1,835,000	\$820,400	\$820,400	\$3,475,800
Sub Lien System Imp Revenue Bonds Series 2000 A&B : Interest Range 4.65% to 6.35% Final Maturity Year 2029	Fixed Rate	\$53,231,671	\$3,886,944	\$582,231	\$266,385	\$266,385	\$1,115,001
System Revenue Rfdg Bonds Series 1992 A: Interest Range 5.6% to 6.25% Final Maturity Year 2021	Fixed Rate	\$36,790,000	\$22,365,000	\$1,535,000	\$674,906	\$674,906	\$2,884,812
Grand Total		\$752,821,671	\$637,461,944	\$16,947,231	\$15,216,217	\$15,162,579	\$47,326,027

Note: See attached matrix for funding sources.

(1) Source: UNM Hospital - Both UNM Hospital Principal and Interest payments are made on July 1st and January 1st.

(2) Variable Rate bonds reflect the actual synthetically fixed interest rate that UNM pays.

It is noted that all ranges of interest rates and final maturity dates are reflective of Serial bonds. Term bonds are excluded per auditors and thereby concur with the Audit Report 2010.

FY11 UNM Debt Service - Source of Funds

As of April 30, 2011

	Series 2007A&B	Series 2005	Series 2004	Series 2003B&C	Series 2003A	Series 2002C	Series 2002B	Series 2002A	Series 2001	Series 2000A&B	Series 1992A
Student Fees- Facility	X	X		X	X	X	X				X
Student Fees - IT		X					X				
Capitalized Interest	X										
Parking Services	X	X			X		X				X
UNM Hospital			X	X		X					X
Bookstore				X	X						X
Housing & Dining Services				X	X		X				
Building R&R				X		X	X				X
Real Estate Department	X		X			X	X				
Physical Plant Department	X	X					X	X			
Telecommunications		X					X				
Athletics	X										
Information & Technology Funds		X			X						
KNME											X
Popejoy Hall					X						
MTTC Bldg.						X					
Opto Bldg (CHTM Res Park)						X					
CRTC						X					
Continuing Education						X					
Equipment R&R		X									
Golf Course - North & South					X						
HSC	X						X				
UNM Development Revenues	X										
Interest on Reserve Funds				X	X	X	X				

Note: Capitalized Interest was the funding source for the 2007A&B bonds through FY2010. Beginning FY2011, the other sources of funds identified above will be used to service debt associated with the Series 2007A&B bonds.

University of New Mexico
 Instruction and General by Organization Level 3
 For the ten month period ended April 30, 2011
 Preliminary and Unaudited

MAIN CAMPUS

		(A)				(B)							(B-A)
Organization Level 3		Pooled Revenues	Transfers	Other Revenues	Total Revenues	Faculty Salaries	Staff Salaries	Student Salaries	Benefits	Other Expenditures	Total Expenditures	Net Revenues/ (Expenditures)	Burn Rate
<i>Instruction, Academic and Student Support Services</i>													
AAC	UNM West and Branch Initiatives	1,091,407	0	(265,648)	825,759	196,541	193,344	0	108,408	232,266	730,559	95,200	88.47%
ABA	Provost Administrative Units	18,058,999	(265,750)	(3,310,135)	14,483,115	1,275,361	3,364,140	1,278,806	108,148	5,902,081	11,928,536	2,554,578	82.36%
ABB	University College	3,264,231	51,862	53,764	3,369,857	1,311,802	1,044,295	121,278	2,154	365,790	2,845,319	524,538	84.43%
ABC	School of Public Administration	939,743	750	196,765	1,137,258	678,979	111,653	51,833	3,776	97,730	943,971	193,286	83.00%
ABD	VP for Equity & Inclusion	2,059,991	170,472	(472,114)	1,758,349	160,795	873,757	196,080	39,360	228,114	1,498,106	260,243	85.20%
ABE	VP Division of Enrollment Mgmt	6,409,651	(50,000)	(233,378)	6,126,274	123,310	3,708,086	104,928	0	905,600	4,841,925	1,284,349	79.04%
ABG	College of Fine Arts	10,844,085	(56,170)	716,176	11,504,091	6,018,942	1,877,918	831,732	277,352	1,269,527	10,275,470	1,228,621	89.32%
ABH	College of Arts Sciences	52,003,883	(145,467)	3,791,870	55,650,286	29,991,299	5,825,094	8,327,332	2,017,048	2,242,417	48,403,189	7,247,097	86.98%
ABI	Anderson Schools of Management	9,835,156	(71,903)	730,230	10,493,483	6,175,142	1,295,293	592,458	321,158	701,746	9,085,797	1,407,686	86.59%
ABJ	College of Education	13,259,072	(138,557)	1,566,987	14,687,501	7,766,293	2,047,751	936,457	225,560	877,472	11,853,533	2,833,969	80.70%
ABK	School of Engineering	14,013,288	(137,863)	920,493	14,795,918	8,427,797	1,911,484	709,262	175,324	764,664	11,988,531	2,807,388	81.03%
ABL	School of Law	8,770,105	0	(240,604)	8,529,501	3,879,201	1,490,205	101,361	0	1,238,622	6,709,389	1,820,112	78.66%
ABM	School of Architecture Planning	3,279,381	11,189	345,378	3,635,948	2,146,393	373,720	205,024	23,707	237,958	2,986,803	649,146	82.15%
ABN	University Libraries	13,636,715	(418,627)	(163,493)	13,054,596	2,007,541	3,363,858	371,451	0	4,669,015	10,411,865	2,642,730	79.76%
ABO	Continuing Education	2,712,481	(40,722)	188,115	2,859,874	1,360,406	1,111,377	46,937	(360,785)	1,000,957	3,158,892	(299,018)	110.46%
ABP	Extended University	12,101,952	(687,289)	(6,958,266)	4,456,396	271,385	2,640,353	215,361	305,328	742,354	4,174,780	281,616	93.68%
ABQ	VP Research & Economic Development	93,720	0	(2,727)	90,993	0	44,228	0	0	27,490	71,718	19,275	78.82%
ACA	VP Student Affairs Administration	1,477,877	(41,700)	(149,378)	1,286,799	161,569	666,173	30,626	0	153,099	1,011,467	275,332	78.60%
ACB	VP Student Affairs Indpndnt Dept	47,700	0	0	47,700	0	39,749	0	0	0	39,749	7,951	83.33%
ACC	Associate VP Student Services	1,901,230	11,010	(6,361)	1,905,879	9,073	1,083,087	173,713	32,775	286,979	1,585,627	320,252	83.20%
ACD	Associate VP Student Life	901,674	10,700	(51,162)	861,212	5,586	624,446	20,627	0	181,455	832,114	29,098	96.62%
Sub-Total		176,702,340	(1,798,064)	(3,343,487)	171,560,789	71,967,415	33,690,011	14,315,267	3,279,312	22,125,335	145,377,341	26,183,449	84.74%
<i>University Support Services</i>													
AAA	President Admin Indpnt Office	5,017,656	(6,000)	(140,913)	4,870,743	455,552	2,606,523	45,427	0	754,941	3,862,442	1,008,301	79.30%
ADA	EVP Administration	5,043,425	1,017,876	3,275,601	9,336,902	0	4,529,533	72,897	5,000	2,617,963	7,225,393	2,111,509	77.39%
ADD	Controller	7,930,904	9,380	288,559	8,228,843	0	6,207,619	107,154	0	745,544	7,060,317	1,168,526	85.80%
ADE	Associate VP for Facilities Mgmt	0	0	(9,615)	(9,615)	0	0	0	0	3	3	(9,619)	-0.03%
ADF	Human Resources	1,830,192	0	175,702	2,005,894	0	1,401,558	14,859	0	287,087	1,703,504	302,390	84.92%
ADG	VP Institutional Support Services	30,939,393	(485,031)	(989,257)	29,465,105	0	8,218,131	46,081	2,651,667	10,603,058	21,518,936	7,946,168	73.03%
ADI	Government & Community Relations	165,958	0	(8,470)	157,488	0	117,776	1,321	0	528	119,625	37,863	75.96%
ADJ	Information Technologies	315,201	444,609	(373,691)	386,119	0	402,720	3,954	0	73,924	480,598	(94,478)	124.47%
AEA	VP Institutional Advancement	1,204,207	0	186,206	1,390,413	0	762,029	43,548	0	292,131	1,097,708	292,705	78.95%
Sub-Total		53,122,334	980,834	3,104,122	57,207,290	455,552	24,245,890	335,240	2,656,667	15,375,179	43,068,528	14,138,763	75.29%
Non-Operational Monitoring Activity		9,661,568	(38,983,137)	13,442,309	(15,879,260)	0	0	0	0	1,442,216	1,442,216	(17,321,476)	
Fringe Benefit Pool		46,637,299	(116,245)	0	46,521,054	72,448	0	0	35,409,090	33,735	35,515,273	11,005,781	
Sub-Total		56,298,867	(39,099,382)	13,442,309	30,641,794	72,448	0	0	35,409,090	1,475,951	36,957,489	(6,315,694)	
TOTAL MAIN CAMPUS		286,123,541	(39,916,612)	13,202,944	259,409,874	72,495,415	57,935,901	14,650,507	41,345,069	38,976,465	225,403,357	34,006,517	

University of New Mexico
 Instruction and General by Organization Level 3
 For the ten month period ended April 30, 2011
 Preliminary and Unaudited

INTERCOLLEGIATE ATHLETICS

					(A)							(B)		(B-A)			
Organization Level 3					Pooled Revenues	Transfers	Other Revenues	Total Revenues	Faculty Salaries	Staff	Salaries	Student Salaries	Benefits	Other Expenditures	Total Expenditures	Net Revenues/ (Expenditures)	Burn Rate
ADC	Intercollegiate Athletics				713,871	34,500	(36,186)	712,185	0		373,774	68	0	308,048	681,890	30,295	95.75%
TOTAL ATHLETICS					713,871	34,500	(36,186)	712,185	0		373,774	68	0	308,048	681,890	30,295	95.75%
34,036,812																	
BRANCH CAMPUSES																	
AGA	Gallup Branch				10,329,548	(837,606)	179,503	9,671,445	4,143,174		2,754,878	112,144	26,143	1,674,436	8,710,776	960,669	90.07%
AGB	Los Alamos Branch				2,244,469	(71,674)	111,000	2,283,795	980,183		774,566	8,980	19,945	407,502	2,191,176	92,620	95.94%
AGC	Taos Branch				4,096,983	(150,329)	212,444	4,159,098	1,764,616		1,079,972	42,445	14,495	1,010,311	3,911,839	247,259	94.05%
AGD	Valencia County Branch				6,254,708	(2,978,057)	643,751	3,920,402	1,984,302		1,976,942	133,900	9,061	1,177,509	5,281,714	(1,361,312)	134.72%
Sub-Total					22,925,708	(4,037,666)	1,146,698	20,034,740	8,872,274		6,586,359	297,470	69,644	4,269,758	20,095,505	(60,764)	100.30%
Fringe Benefit Pool					5,979,122	(91,023)	(523,629)	5,364,470	0		0	0	4,102,269	0	4,102,269	1,262,201	
Sub-Total					5,979,122	(91,023)	(523,629)	5,364,470	0		0	0	4,102,269	0	4,102,269	1,262,201	
TOTAL BRANCH CAMPUSES					28,904,830	(4,128,689)	623,069	25,399,211	8,872,274		6,586,359	297,470	4,171,914	4,269,758	24,197,774	1,201,436	

HEALTH SCIENCES CENTER

					(A)							(B)		(B-A)			
Organization Level 3					Pooled Revenues	Transfers	Other Revenues	Total Revenues	Faculty Salaries	Staff	Salaries	Student Salaries	Benefits	Other Expenditures	Total Expenditures	Net Revenues/ (Expenditures)	Burn Rate
AFA	VP HSC Administration				24,698,406	(8,231,339)	(68,702)	16,398,365	563,217		6,540,578	21,821	0	4,760,210	11,885,826	4,512,539	72.48%
AFB	HS Library and Informatics Center				5,528,463	(306,839)	(4,699)	5,216,925	844,913		1,928,730	39,845	23,946	1,514,843	4,352,277	864,648	83.43%
AFC	School of Medicine				51,841,262	(7,610,188)	(1,353,280)	42,877,794	19,212,790		11,325,285	674,578	145,815	2,025,628	33,384,096	9,493,698	77.86%
AFD	College of Nursing				6,962,996	(535,469)	(180,956)	6,246,571	3,241,790		1,048,676	120,359	37,342	470,893	4,919,060	1,327,511	78.75%
AFE	College of Pharmacy				7,666,281	(529,275)	(336,297)	6,800,709	2,841,881		800,106	346,834	162,383	550,175	4,701,379	2,099,330	69.13%
AFI	HSC VP Research				60,706	(197,766)	0	(137,060)	0		0	0	0	0	0	(137,060)	0.00%
Sub-Total					96,758,114	(17,410,876)	(1,943,934)	77,403,304	26,704,591		21,643,375	1,203,437	369,486	9,321,749	59,242,638	18,160,666	76.54%
Non-Operational Monitoring Activity					(38,370,472)	15,778,272	10,802,414	(11,789,786)	0		0	0	0	493,502	493,502	(12,283,288)	
Fringe Benefit Pool					21,134,310	(292)	(2,048,513)	19,085,505	0		44,157	0	13,316,702	52,265	13,413,124	5,672,381	
Sub-Total					(17,236,162)	15,777,980	8,753,901	7,295,719	0		44,157	0	13,316,702	545,767	13,906,626	(6,610,907)	
TOTAL HEALTH SCIENCES CENTER					79,521,952	(1,632,896)	6,809,967	84,699,023	26,704,591		21,687,532	1,203,437	13,686,188	9,867,516	73,149,264	11,549,759	
GRAND TOTAL					395,264,195	(45,643,697)	20,599,794	370,220,292	108,072,280		86,583,566	16,151,482	59,203,170	53,421,787	323,432,285	46,788,007	

University of New Mexico
 Compensation Analysis by Organization Level 3
 For the ten month period ended April 30, 2011
 Preliminary and Unaudited

Organization Level 3	YTD Faculty Compensation	YTD Staff Compensation	Ga Ta Ra Pa Salaries	Housestaff Salaries	Student Employment	Workstudy	YTD Student Compensation	YTD Total Compensation	% of YTD Total	Full Year Compensation Revised Budget	Benchmark (83%) YTD Total Compensation to Total Budget
<i>Instruction, Academic and Student Support Services (Main & Branches)</i>											
Anderson Schools of Management ASM	6,607,271	1,369,791	562,036	-	112,306	1,958	676,300	8,653,362	4.29%	9,992,193	86.60%
Assoc. VP Student Life (ACD)	7,881	4,787,383	60,256	-	1,002,251	62,105	1,124,612	5,919,856	2.94%	8,170,258	72.46%
Associate VP Student Services	11,219	1,693,870	45,877	-	493,470	30,447	569,794	2,274,883	1.13%	2,909,229	78.20%
College of Arts Sciences A S	30,589,609	6,722,569	8,112,652	70,456	390,509	89,785	6,663,404	45,955,581	22.79%	52,157,866	86.11%
College of Education COE	7,779,870	2,391,372	928,185	35,700	202,789	16,411	1,183,085	11,354,327	5.63%	13,111,442	86.60%
College of Fine Arts CFA	6,227,965	1,984,519	735,165	-	187,255	47,075	969,496	9,181,979	4.55%	10,032,769	91.52%
Continuing Education Cont Ed	1,386,449	1,239,458	11,063	-	34,944	930	46,937	2,672,843	1.33%	4,514,244	59.21%
Extended University Ext Univ	271,385	2,640,353	44,458	-	146,344	24,558	215,361	3,127,098	1.55%	3,615,791	86.48%
Gallup Branch	4,166,394	2,836,396	-	-	46,686	73,689	120,375	7,123,166	3.53%	9,173,825	77.65%
LosAlamos Branch	980,933	823,353	-	-	-	8,980	8,980	1,813,266	0.90%	2,245,958	80.73%
Provost Administrative Units	1,298,672	5,198,051	1,162,644	-	362,856	75,405	1,600,906	8,097,628	4.02%	10,298,760	78.63%
School of Architecture Planning	2,229,071	400,281	179,037	-	28,888	5,207	213,132	2,842,463	1.41%	3,553,836	79.98%
School of Engineering	9,011,816	1,996,940	875,031	29,917	321,223	4,961	1,231,132	12,239,888	6.07%	14,556,092	84.09%
School of Law LAW	4,126,812	2,425,623	400	-	156,567	29,990	166,957	6,739,393	3.34%	8,995,020	74.92%
School of Public Administration	678,979	111,653	59,656	-	3,147	1,030	63,833	854,466	0.42%	1,017,048	84.01%
Taos Branch	1,771,716	1,210,852	-	-	51,540	46,458	97,998	3,080,566	1.53%	3,763,726	81.85%
University College UC	1,378,802	1,044,295	93,665	-	10,319	19,386	123,371	2,546,467	1.26%	3,062,750	83.14%
University Libraries	2,411,643	3,445,540	275,457	-	279,219	114,025	668,701	6,525,885	3.24%	8,684,849	75.14%
UNM West and Branch Initiatives	196,541	193,344	-	-	-	-	-	389,885	0.19%	500,883	77.84%
Valencia County Branch	1,990,730	2,159,940	26,625	-	71,196	59,868	157,689	4,308,358	2.14%	5,301,704	81.26%
VP Division of Enrollment Mgmt	123,310	3,766,945	8,846	-	207,558	66,306	282,710	4,172,965	2.07%	5,171,758	80.69%
VP for Equity & Inclusion	166,129	905,568	82,536	99,879	64,077	14,471	260,963	1,332,660	0.66%	1,662,063	80.18%
VP Resrch & Econ Devlpmt	1,052,822	2,579,179	121,558	(20,030)	91,725	6,227	199,480	3,831,480	1.90%	5,230,546	73.25%
VP Student Affairs Administration	171,658	718,213	13,995	-	30,325	4,721	49,041	938,912	0.47%	1,221,930	76.84%
VP Student Affairs Indpdnt Dept ID	80,440	2,892,115	11,700	-	244,914	4,132	260,746	3,233,301	1.60%	4,080,967	79.23%
<i>Sub-Total Instruction, Academic and Student Support Services (Main & Branches)</i>	<i>84,698,095</i>	<i>55,537,601</i>	<i>13,410,843</i>	<i>215,922</i>	<i>4,540,108</i>	<i>808,128</i>	<i>18,975,001</i>	<i>159,210,697</i>	<i>78.95%</i>	<i>193,025,507</i>	<i>82.48%</i>
<i>University Support Services (Main & Branches)</i>											
Controller	-	6,900,264	15,100	-	102,451	11,625	129,176	7,029,440	3.49%	8,446,623	83.22%
EVP Admin Independent Offices	72,448	-	-	-	-	-	-	72,448	0.04%	87,000	83.27%
EVP Administration	-	4,703,248	-	-	69,447	3,450	72,897	4,776,144	2.37%	5,782,029	82.60%
Government & Community Relations	-	152,798	-	-	7,956	-	7,956	160,754	0.08%	183,247	87.73%
Human Resources HR	-	1,401,558	-	-	12,465	2,395	14,859	1,416,417	0.70%	1,709,322	82.86%
Information Technology Services	-	402,720	-	-	3,954	-	3,954	406,674	0.20%	483,184	84.17%
President Admin Indpnt Office	455,552	2,829,257	-	-	41,464	3,963	45,427	3,330,235	1.65%	4,295,964	77.52%
VP Institutional Adv College	-	762,029	-	-	38,077	5,471	43,548	805,577	0.40%	966,239	83.37%
VP Institutional Support Services	5,100	14,681,607	-	-	628,051	22,258	650,309	15,337,016	7.61%	19,417,111	78.99%
<i>Sub-Total University Support Services (Main & Branches)</i>	<i>533,100</i>	<i>31,833,480</i>	<i>15,100</i>	<i>-</i>	<i>903,863</i>	<i>49,162</i>	<i>968,126</i>	<i>33,334,705</i>	<i>16.53%</i>	<i>41,370,719</i>	<i>80.58%</i>
<i>Intercollegiate Athletics</i>											
Intercollegiate Athletics	20,603	8,824,967	129,176	-	129,917	10,771	269,864	9,115,433	4.52%	10,411,133	87.55%
<i>Sub-Total Intercollegiate Athletics</i>	<i>20,603</i>	<i>8,824,967</i>	<i>129,176</i>	<i>-</i>	<i>129,917</i>	<i>10,771</i>	<i>269,864</i>	<i>9,115,433</i>	<i>4.52%</i>	<i>10,411,133</i>	<i>87.55%</i>
<i>TOTAL Compensation (Main, Branches and Athletics)</i>	<i>85,251,798</i>	<i>96,196,047</i>	<i>13,555,120</i>	<i>215,922</i>	<i>5,573,888</i>	<i>868,061</i>	<i>20,212,991</i>	<i>201,660,835</i>	<i>100.00%</i>	<i>244,807,359</i>	<i>82.38%</i>

University of New Mexico
 Compensation Analysis by Organization Level 3
 For the ten month period ended April 30, 2011
 Preliminary and Unaudited

Organization Level 3	YTD Faculty Compensation	YTD Staff Compensation	Ga Ta Ra Pa Salaries	Housestaff Salaries	Student Employment	Workstudy	YTD Student Compensation	YTD Total Compensation	% of YTD Total	Full Year Compensation Revised Budget	Benchmark (83%) YTD Total Compensation to Total Budget
<i>Health Sciences Center</i>											
College of Nursing	3,420,799	1,123,983	114,296	-	11,313	2,446	128,055	4,672,837	2.49%	5,963,401	78.36%
College of Pharmacy	3,660,509	1,914,495	284,312	65,917	45,643	4,651	400,523	5,375,527	2.86%	7,703,732	69.78%
HS Library and Informatics Center	844,913	2,198,229	-	-	35,652	4,193	39,845	3,082,987	1.64%	3,890,850	79.24%
School of Medicine	101,321,109	39,638,037	888,229	22,703,016	622,890	37,433	24,051,588	165,010,714	87.79%	194,335,466	84.91%
VP HSC Administration	1,213,766	7,173,391	16,848	29,411	20,414	656	67,329	8,454,486	4.50%	10,830,699	78.06%
HSC-VP Research	260,591	1,056,179	-	-	53,746	-	53,746	1,370,516	0.73%	1,662,798	69.82%
	110,121,687	53,104,314	1,103,685	22,798,344	789,658	49,379	24,741,066	187,967,067	100.00%	224,686,936	83.66%
<i>Sponsored Programs</i>	28,837,266	36,669,943	11,663,540	4,977,820	2,222,206	2,882,497	21,746,063	87,253,272		153,766,228	
<i>TOTAL Compensation (Main, Branches, Athletics, HSC and Sponsored Programs)</i>	224,210,751	185,970,303	26,322,344	27,992,086	8,585,753	3,799,937	66,700,120	476,881,175		623,260,523	



The University of New Mexico

Purchasing Department
MSC01 1240
1 University of New Mexico
Albuquerque, NM 87131-0001
Telephone (505) 277-2036
FAX (505) 277-7774

MEMORANDUM

To: David Harris, Executive Vice President for Administration
From: Bruce Cherrin, Chief Procurement Officer
Subject: Contract Information
Date: May 23, 2011

The following contracts have been submitted for information to the Regents Finance and Facilities Committee per Regents Policy 7.4.

University of New Mexico Hospital

1. St. Catherine Healthcare, LLC

Source of Funds: UNM Hospitals Operating Budget

Description

Request for a four year agreement with St. Catherine Healthcare, LLC to provide nursing home and rehabilitation care to UNM Care and UNMCI patients. Currently in year 1 of 4 year Contract.

Process

This agreement is exempt from the provisions of the procurement code, pursuant to Section 13-1-98.1 NMSA 1978, as amended since this agreement is for the purpose of creating a network of health care providers.

Cost: \$450,000 per fiscal year

2. Karl Storz Endoscopy

Source of Funds: UNM Hospitals Capital Budget

Description:

Request to purchase six high definition cameras, three towers, and 4 HD camera heads for Main OR, BBRP and OSIS. The cameras will provide the physician a better internal view during surgery. In addition, this minimally invasive technology will shorten the amount of time the patient spends in the Operating Room as well as shorten the recovery time for the patient.

Process: Novation Agreement CEO1053

Cost: \$344,305.58

3. GlaxoSmithKlineVaccines

Source of Funds: UNM Hospitals Operating Budget

Description:

Every year, influenza viruses spread rapidly which result in lost workdays, hospitalizations and fatalities. UNM Hospital conducts an annual vaccination campaign and requires the purchase of Fluvirin Vaccine.

Process:

340B Prime Vendor Program

Cost: \$269,150

University of New Mexico

4. Information Technologies – Aquila Technologies Group c/o Aquila Mele

In accordance with RFP 1317-10, request for quotes were solicited via Request for Quotes 0004-11 on April 28, 2011 from UNM's four (4) contracted vendors for Edge Switch Replacements and installations for the Lobo Village. There were a total of two (2) respondents. Aquila was awarded.

Source of Funds: The funds are from IT's I&G funds used to support Network operations in FY 11 but will be recovered from billings to the American College Campuses.

Total Cost: \$308,336.15 with UNM cost savings of \$50,000.00

5. Information Technologies – Network Appliance

In accordance with WSCA/NASP NetApp Inc. Master Price Agreement #B27170 and NM contract number 00-000-00-00014AH, made procurement for the ERP storage systems for the first floor IT department. New system was required to maintain support of IT systems.

The vendor is Network Appliance Inc and the PO number is P0103785.

Source of Funds: UNM IT Plant Funds

Total Cost: \$496,043.26

6. Cancer Center – Korn Ferry International

In accordance with RFP 1415-11 a request for proposal was solicited on January 28, 2011 for a consultant specializing in the recruitment of oncology professionals. The UNM Cancer Center and UNM Department of Internal Medicine are searching for a Deputy Director/Chief of Oncology at UNMCC and Division Chief and Professor of Hematology/Oncology in the UNM Department of Internal Medicine. The department received two (2) responses. The respondents were Korn Ferry International and Spencer Stuart. Korn Ferry was awarded the RFP based on evaluation criteria.

Source of Funds: Cancer Center Clinical Funds

Total Cost: \$267,000.00

Report on Regents' Audit Committee Meeting Regular meeting June 16, 2011

The **Regents' Audit Committee (Committee)** regular meeting was held on **June 16, 2011**, and took the following action:

- The Audit Committee approved minutes from the April 11, 2011 Special Audit Committee meeting and the April 14, 2011 regular Audit Committee meeting.
- The Committee approved dates for the FY12 Audit Committee meetings. The dates are: August 18, 2011, October 20, 2011, February 16, 2012, and April 19, 2012.
- The Committee received Entrance Conference presentation and corresponding materials from Moss Adams, KPMG and Ms. Lovell for the FY11 external audit. Chairman Gallegos noted that the information gathered in the special procedure performed previously at the request of faculty will now be included as part of the official presentation in the yearly external audit. Moss Adams is responsible for the financial statement audits of the University and covers Main Campus, Branches, Health Sciences Center, Hospitals and other clinical operations, and the UNM Foundation. The UNM Hospital and other clinical operations will have a separate audit report. Moss Adams also performs the Federal Single Compliance Audit at the government-wide level, consistent with previous years. KPMG is covering the UNM Medical Group and other component units.

The Committee discussed the scope of the audit for the UNM Foundation with relation to how Moss Adams is going to test the investments. Moss Adams indicated that they have a group specializing in banking audits as well as a group in Seattle specializing in investments that will review consultants' reports, internal controls, and valuation testing. According to the Chairman, approximately 40% of investments are questionable value, high-expense, non-liquid funds. This includes hedge funds, real estate, and private equities. Regent Koch asked about I&G funds to the Foundation. For FY 11, the Foundation received I&G funds. For FY12, the funds come from the auxiliary balance, not directly from I&G. Moss Adams indicated that all the expenses and revenues will be audited, including basis points (investment management fees). Moss Adams agreed to go into more detail/drill deeper into this issue. Regent Koch expressed concerns about liquidity for Regents' endowments, especially as relates to scholarships. Regent Hosmer asked if the auditors can review the terms of land sales and the Regents' intent. Are there conditions the Foundation is obliged to honor? What do the private donors feel the stewardship of the donated funds entails? Ms. Lovell stated she would pull all the information together for Mesa del Sol and Winrock and provide it to the auditors.

UNM will receive draft reports in mid-September and the audit reports will deliver to the State Auditor mid-to-late October. It is due to the State Auditor on November 15th.

- Charles Sallee, Deputy Director for Program Evaluation for NMLFC presented information on the Health Sciences Center program evaluation. Last year, the LFC excluded the Health Sciences Center from the scope of the UNM evaluation to come back to at a later date. This is that evaluation. Pamela Galbraith reviewed the objectives and the high level planning memo.
- The Committee discussed the University travel reimbursement and per diem issue. The University of New Mexico Board of Regents last amended Regents' Policy 7.7, Travel Reimbursement and Per Diem on August 12, 2003. University employees follow the Regents' travel and reimbursement policy when away from campus on the University business. Vice President Ava Lovell stated 80% of travel is done under Contracts and Grants and the University administers the policy the same regardless of the fund for the travel. There are many components – mileage, lodging, etc. The amount of meals for I&G travel is very low. Chairman Gallegos feels the

University is not following State law and stated the dollar amount does not matter; we should respect the law. The Regents' Audit Committee expressed a need to revisit this policy and directed the Internal Audit Department and the University Counsel to further analyze travel related data and research this issue prior to the Board of Regents' meeting.

- The Audit Committee discussed follow-up on the following departmental commitments and accountability:
 - Ms. Stephens, Director of University Policy and Administration Planning provided an update on the implementation plan of the LFC evaluation (minus the Health Sciences Center, as stated above). The Implementation plan consists of 20 tasks/items, of which 9 items are complete and 11 items show good progress. The University submitted the updated plan to the LFC on June 2, 2011 for their review and feedback, if any.
 - Regarding the Signature Authority and ERM/Contract monitoring process, Ms. Stephens indicated that she is hoping to have final policies published by July 2011.
 - Ms. Lovell addressed the Committee regarding out of cycle payroll and EDC processes. A great deal of work has been accomplished. Dr. Holder stated they are on target with addressing recommendations. Beginning July 1, 2011, there will be one out-of-cycle payroll per type – one for biweekly, one for monthly. There will be an extra one or two during the late August, early September hiring for faculty and graduate students.
 - Helen Gonzales, Vice President Human Resources, indicated that HR has provided draft employment data analysis to Chairman Gallegos. Ms. Gonzales indicated that she will provide formal presentation of the final data analysis at the next Audit Committee meeting.
 - Mr. Patel updated the information on outstanding audit recommendations. There are only a few items that are past due. Ms. Lovell showed an overview of the Standard Accounting and Reporting Manual that is now complete.
 - Regarding the Disciplinary Policy for Faculty, Dr. Wood indicated that the Faculty Senate has approved the draft policy which was presented to the Regents Academic/Student Affairs and Research Committee at their last meeting. The committee deferred to take any action until input and feedback received from the interim Provost, various deans and department chairs. Dr. Wood indicated that the policy will be finalized during the fall of 2011.

The Audit Committee was satisfied with the follow up and the Departmental Commitments and Accountability process, and indicated it should be part of all future Audit Committee meetings.

- Mr. Patel reviewed the schedule of audits in process and budget to actual audit hours.
- Ms. Lovell and Duane Arruti presented information on the proposed Kronos electronic time reporting system. Due to the cost-prohibitive nature of the original quote, UNM restructured the proposal utilizing a phased in implementation approach, and therefore received a quote with less up-front costs. The Committee moved to recommend purchase as per the new agreement to the full Board of Regents. They also moved that the implementation plan be mandatory for hourly employees by the end of 2014, with financial incentives to departments for early implementation.
- The Committee approved two UNM Hospital audits (Controlled Substances and OptumHealth – Requested Procedures).
- The Committee approved two audits conducted by the Internal Audit Department (Department of Intercollegiate Athletics and the Gallup Branch Audit of Business Processes).