

The Board of Regents of the University of New Mexico
Meeting in Open Session and Executive Session
December 10, 2013, 9:00 a.m.
Student Union Building, Ballroom C
Executive Session-Luncheon, Cherry Silver Room
***Revised* AGENDA**

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Randy Royster, President UNM Alumni Association, *(Written Report)*

V. Public Comment (limit 3 min. per speaker)

VI. Vote to close the meeting and proceed in Executive Session

Executive Session

1. Discussion and determination where appropriate of limited personnel matters pursuant to Section 10-15-1.H(2), NMSA (1978)
2. Discussion and determination where appropriate of threatened or pending litigation pursuant to Section 10-15-1.H(7), NMSA (1978)
3. Discussion and determination where appropriate of the purchase, acquisition or disposal of real property pursuant to Section 10-15-1.H(8), NMSA (1978)

VII. Vote to re-open the meeting and certification that only those matters described in Agenda Item VI were discussed in Executive Session and if necessary, final action with regard to those matters will be taken in Open Session.

VIII. Vote to Adjourn

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- a. HSC Board of Directors November 8, 2013 Meeting
- b. UNM Hospitals Board of Trustees October 25, 2013 Meeting
- c. UNM Sandoval Regional Medical Center, Inc. Board of Directors October 16, 2013 Meeting
- d. UNM Carrie Tingley Hospital Advisory Board September 23, 2013 Meeting

The University of New Mexico
Board of Regents' Meeting
November 12, 2013
Student Union Building, Ballroom C
Meeting Minutes
(DRAFT)

Members present: **President Jack L. Fortner, J.E. Gene Gallegos, Bradley C. Hosmer, Conrad D. James, James H. Koch, Suzanne Quillen, Heidi Overton (Quorum).**

Administration present: President Robert Frank, Executive Vice President for Administration David Harris, Provost Chaouki Abdallah, Chancellor Paul Roth, Vice President Josephine de Leon (Equity and Inclusion), Vice President Eliseo Torres (Student Affairs), Interim Vice President Jewel Washington (Human Resources), Vice President Paul Krebs (Athletics), University Counsel Elsa Cole, University Controller Elizabeth Metzger, Sr. Executive Officer Ava Lovell (Finance and Administration), Associate Vice President Andrew Cullen (Planning, Budget & Analysis), Associate Vice President Terry Babbitt (Enrollment Management), Director Pamina Deustch (Policy Office), Director Cinnamon Blair (Communications & Marketing), Director Marc Saavedra (Government Relations), Chief Information Officer Gil Gonzales.

Regents' Advisors present: President Richard Holder (Faculty Senate), President Gene Henley (Staff Council), President Isaac Romero (ASUNM), President Priscila Poliana (GPSA).

Regent Vice President Koch called the meeting to order at 9:00 a.m.

I. Confirmation of a Quorum; Adoption of the Agenda, Regent Vice President Koch

Motion to adopt the Agenda passed unanimously (1st Gallegos, 2nd Hosmer).

II. Approval of the Minutes from the October 5, 2013 Special Meeting, October 8, 2013 Regular Meeting and October 19, 2013 Special Meeting, Regent Vice President Koch

Motion to approve the minutes of the October 5, 2013 Special Meeting, October 8, 2013 Regular Meeting and October 19, 2013 Special Meeting passed unanimously (1st Hosmer, 2nd Gallegos).

III. President's Administrative Report, President Robert Frank

President Frank provided a presentation on Results Oriented Management (ROM). President Frank stated that generally, faculty members are not positive about changing budgets. President Frank referenced the book, *Public No More*, by Gary C. Fethke and Andrew J. Policano, which states that the entire landscape in public higher education is changing dramatically therefore, change is necessary. The book states that traditionally, universities budget using Central Administration Management, which simply spreads around the money to various departments as needed. This is what UNM has used as the budgeting process, and overtime the reasons that departments received money and the parameters were forgotten, leading to limited accountability. As a relatively opaque process based on a historical set of deals, there are limited links between generated revenue and resource allocation, which becomes problematic over time.

Many universities across the country, notable started at Indiana, went to a model called Responsibility Centered Management (RCM). RCM is very similar to a business budget, meaning that each unit sits on its own bottom. It is a transparent model that is data driven, which aligns and centers with revenue generation and cost containment. In 2010, the Legislative Finance Committee reviewed all universities in New Mexico, specifically UNM and NMSU, and called for the two universities to move to RCM. Governor Suzanna Martinez is pushing for an outcome-based state Funding Formula. During President Frank's 120 day listening campaign, budgeting issues came up frequently, which led to formation of an RCM steering committee. This committee met last year from September till May and began looking at moving UNM to an RCM

model. The idea was to create transparency in budgeting with accountability for revenue generation and cost containment.

What is discovered with RCM, like Tipp O'Neill said, all politics are local and every RCM solution comes up with a local solution. The committee came up with a solution that works for UNM, Responsibility Oriented Management (ROM). There are four principles of ROM, those being transparency, performance incentives, focus on revenue and cost, and continual quality improvement. The principles developed in the committee will align responsibility with authority because historically there has been disconnect across campus with the ability of Deans, Department Chairs, and budget managers to make decisions about budget. Ultimately, the goal is for continuous improvement with focus year after year on improving budget targets both on the revenue and expenditure side. Another major focus will be on the net change. All units will have targets for financial and non-financial performance, and administrative units will participate in the same manner as academic units. This is an at-risk model, the entire campus will participate, and money will move from the least performing units to the better performing units. This is a great way to improve performance on campus.

One thing that characterizes the University of New Mexico, specifically on Main Campus, is the College of Arts and Sciences, which is a large college that dominates the UNM landscape with 22 departments. As we go to an RCM model, the size of that college means that you have to work around the large unit. The Health Sciences Center (HSC) has already done a version of the RCM years ago, so these budgeting principles will primarily affect Main Campus and eventually the Branch Campuses.

The popularity of different majors changes over time. The Wall Street Journal stated that what is popular now might not be popular thirty years from now. It is an argument for the University to strive to be nimble when working toward the future to be able to move money around as necessary. There will be initial reduction to base allocation and a risk pool created to house money that will respond to performance incentives and strategic investments. The goal is to increase performance for units while looking for great initiatives, which is where HSC will be involved. There is a goal for initiatives that cross the entire campus, from the North to the South Campus, to bring the entire University together.

ROM will work at UNM in such a way that there will be at-risk money, tuition, and potential state formula workload that will go into a funding pool. The money will go first toward the "must-funds" such as utilities and benefits, and the remainder will go towards the performance fund and strategic investment fund, such as to fulfill UNM 2020 goals. The Performance Fund is where we will return money to our high performers; essentially the low performers will fund the high performers. There will also be an Enrollment Growth fund, which the units that grow will receive money out of that fund. Expenditure targets will be created for all units across campus. With the implementation of the Banner system six years ago allows this process to occur and a Consolidated Data Warehouse was added in September 2012. The Consolidated Data Warehouse allows for input of data in a central spot where users can access it such as student data, enrollment data, HR data and financial data. This allows for transparency in all parts of the system so input managers can pull reports to see performance in ways that had not been able before across the entire system. The hard work put into creating this system with finesse, accuracy was remarkable, and President Frank congratulated the team.

A 1% target for the University will be created based on I&G dollars on Main Campus, which will be pulled into an investment and performance fund as the risk model. As ROM begins to take effect, we will incentivize the University around the creation of student credit hours. As ROM begins to work, people will realize that for the first time there is an alignment of incentives. Units will see a focus on improvement and a return for efforts.

Regent Fortner asked if these at-risk dollars are the same as what the Governor has been talking about regarding the percentage at-risk money.

President Frank stated that it could be similar, but the Governor's at-risk dollars are controlled by Santa Fe, but the Regents would control the ROM model at-risk money with which the Administration feels more comfortable.

Regent James asked if the "must-funds" includes department or college specific capital expenditures because some departments have equipment that they need to run, whereas other Departments will not have as much overhead.

President Frank deferred to Kevin Stevenson, who stated that most equipment funds fall outside of I&G, thus fall outside the scope of these reallocations.

President Frank stated that they are in the process of refining the driving metrics. The institutional-wide metrics include increasing retention, graduation, and completion rates, while decreasing the cost per degree. Unit-Specific measures are, increased student credit hours, degree completion, increased research expenditures, and scholarly production. We will look at efficiency, such as overhead ratios, cost/unit ratios, peer benchmarks, processing time, turnaround time, and customer satisfaction. The focus is on balancing cost with service levels. Provost Abdallah stated that in terms of metrics, they will try to align as much with the State. There is an internal group looking at metrics, which will be presented to the Board in the future.

Regent Hosmer stated that it would be useful under institution-wide to put a placeholder or a couple of metrics such as quality of degree and post-graduation outcomes for students. A placeholder at this point, but it keeps focus on obtaining that information in the future.

Regent Quillen would like to make sure the metrics are clearly defined. If the units are to be seen as a stand-alone business unit it is important for the units and the Regents to have a clear understanding, specifically what makes you go forward and what makes you go backward.

Regent James stated that understanding the metrics are important because 1% is at-risk, they stand to lose that percent or gain more. What is the floor and what is the ceiling for each unit is critical. However, the "must-funds" put a floor on what some units could lose.

President Frank discussed how ROM could be tied to the State Funding Formula. We have developed a sophisticated set of tools, had diligent conversations with faculty and are poised to implement something that is extremely complex. By January, the team will launch a shadow, not a live system, but a test of the ROM model for half a cycle to see where departments and units would have stood in the ROM system. President Frank is confident that it will be successful, though there is a great deal to learn. EVP Harris stated that the Regents will develop the budget in the Spring working from the ROM model rather than the old model.

In Santa Fe, they want 5% at-risk, but President Frank feels that 1% at-risk is a great starting point that will hopefully not disrupt the ability to operate. The percentage can increase at a slow rate year by year if necessary. At other universities, it had rapid, evolutionary effects because people are motivated when you align incentives and you reward the correct behavior. President Frank believes it will have a very powerful effect here because it does the right things for the University, and does it the right way by leaving Regents' to control it.

President Frank stated that the Law School is participating and the School of Medicine will contribute to the Strategic Investment Fund separately but will not participate otherwise.

Regent James stated that the State is a sponsor of the University, this is their I&G money, and that is why they have a stake in this. If we come up with good ideas, and can show them that we

are taking the lead on some of these elements, it makes for a much smoother transition on what the State wants to do. If we bring ideas and be forthcoming, it could ease some of the tension. Regent James asked Chancellor Roth for any lessons learned from any experiences on the HSC side regarding this type of change in the budgetary process.

Chancellor Roth stated that HSC had the Central Administration Model up until the early 1990s. It took about two years of working with the faculty and adopting a new methodology, which was controversial with some tension. There were meetings held to get faculty input on ideas to see the reaction or change certain elements. We would try to explain those things we thought were crucial. The outcome was a little bit different than was anticipated but the model has worked. Chancellor Roth's word of caution is to not give up, even with pushback.

The concept is an extraordinarily effective and a responsible method for managing resources in any institution, specifically managing revenues against expenses in a way that maximizes quantitative productivity and qualitative outcomes. It is a journey filled with lots of challenges and needs to be customized based on the needs of the unit that is in question.

President Frank stated that it is an evolutionary approach. The 1% at-risk is not a huge amount but people will be informed on how this process works and gain the technical skills to learn the system. For the entire campus, the 1% at risk will be a challenge, for smaller units it will have an immediate impact, but what is being designed is continuous quality improvement.

Regent Fortner asked how we protect at-risk money saved from the Legislature who might see the Strategic Investment Fund as excess and decide not to allocate as much to the University.

President Frank stated that it would be spent down through various initiatives; it will not surpass \$2 million.

Regent Gallegos asked if the budget is still to be constructed with the 1% across the board allocated to the Foundation, thus Departments could be talking about 2% affect.

President Frank confirmed that money would still need to be allocated to support the Foundation for the future, so it would equal an overall 2% impact.

Provost Abdallah stated that we are engaging the Education Advisory Board to try to do a survey of other institutions on what they do.

- Regent Gallegos would like to hear from the Deans and Faculty Members on what is a dramatic change in the budgeting process.
- Regent Koch would like commitment of a report on ROM in February to the Finance and Facilities Committee.

Comments from Regents (none)

Public Comment, specific to agenda items (none)

IV. Regent Committee Reports (Reports are included in BOR E-Book)

Finance and Facilities Committee, Regent James H. Koch, Chair

1. Monthly Consolidated Financial Reports and Fiscal Watch Report

Liz Metzger gave the presentation. A financial report was prepared for the first quarter as of September 30, month end. The Instruction and General (I&G) Exhibit shows that tuition and fees

are up from the prior year. The Research Exhibit is being watched closely due to the government shutdown and the reduction of some federal agencies budgets. The Clinical Operations are up from last year. The overall result of the current operations report is an increase in revenue over expenditures of \$56 million.

The Fiscal Watch Report, which was approved at the Regents' Finance and Facilities meeting, is mandated by the Higher Education Department and is submitted quarterly. This report contains the same information as the Regents Consolidated Financial Report but includes Plant Funds and leaves out the Hospital. In response to Regent inquiry, some items are paid up front such as the Land, Building, and Equipment Rent under Plant Funds.

On Regent Quillen's request for information on the use of balance, Andrew Cullen stated that when the books close on June 30, the remaining funds in any Department become carry forward funds. Many Fiscal Officers in these Departments budget all of those dollars in August, rather than what they anticipate using. This becomes problematic because it overstates the projected revenues and expenditures for that year. Departments are encouraged to only budget what they anticipate spending; however, that message has not gotten across very effectively.

In response to Regent inquiry, Mr. Cullen discussed the Capital Projects and stated that there are currently only two or three capital projects, the Biology facility (3rd and 1st floor build out), renovation of Clark Hall (Chemistry), and Phase 2 of the Math and Science facility. The total between the three projects is just under \$30 million in Major Capital expenditures on Main Campus. A vast majority of the dollars lies in Minor Capital (BR&R), an area with anticipation of fully spending the dollars.

Consent Items (2, 3 & 4):

2. Disposition of Surplus Property for Main Campus on list dated 10/11/13
3. Approval of Appointment to Lobo Energy, Incorporated Board of Directors
4. Approval of Lobo Development Corporation Board of Directors

Motion to approve consent agenda items 2, 3 & 4 passed unanimously (1st Koch, 2nd Gallegos).

Action Items:

5. Approval of Capital Projects: Continuing Education South Wing Solar Power System

Jeff Zumwalt gave the presentation. Regents' approval was requested for the capital project of the Continuing Education South Wing Solar Power System. This project will install an 81 kW solar power system on the roof of the Continuing Education South Wing. The project will cost \$360,000, of which half of the funding is coming from Lobo Energy and Rio Grande Solar is donating the other half. The donation is in accordance with an RFP, which solicited matching funds for solar power projects. This will be the fifth solar power system installed at UNM since 2010, and will bring the total capacity to 354kW. The system will provide 32% of the building electrical needs and save \$20,000 per year on the PNM electrical bill. Construction is anticipated to begin later this month.

In response to Regent inquiry, the entire campus has been assessed for the possibility of further solar installation. Various aspects need to be considered such as the age and structural integrity of the roof. There is a list of buildings to be considered, and as we replace the roofs, we will examine structural integrity at that time.

Motion to approve the capital project for the Continuing Education South Wing Solar Power System passed unanimously (1st Hosmer, 2nd Fortner).

6. Approval of Rio Grande & Bataan Hall Lease Purchase

Kate O'Neill and Fred Peralta gave the presentation. Regents' approval was requested for the Rio Grande and Bataan Hall Lease Purchase. The UNM Taos Branch Campus continues to grow with 1857 students enrolled this fall, up 22% over that last 5 years. With the increased enrollment, there is an immediate need for space. The request for a lease of Rio Grande and Bataan Hall, at \$1.00 for the first five years with the option to renew for another ten years and includes an option for purchase. During the lease a continued to review the facilities and due diligence will allow UNM Taos to become ready for purchase.

Per the request of Regent Koch, Fred Peralta, Town of Taos Councilmember, provided a background on the formation of the UNM Taos Branch Campus 20 years ago. It has been one of the fastest growing institutions in New Mexico for higher education. The Town of Taos believes that the two facilities next to the downtown campus would be a great asset to UNM Taos in improving their position in the community. The total square footage is 26,200 and previously operated as a convention center for Taos. The buildings no longer work in that capacity for Taos, but have great potential for the University to grow in a way that will enhance the community.

In response to Regent inquiry, the buildings are in good shape, but Bataan Hall would need a roof renovation. The initial remodel was in 1986, and another remodel occurred in 1998. The deferred maintenance is not necessary to do right away, and with the need for space the branch would occupy the buildings immediately. The Med Center facility is currently renting a building, but will ultimately move to this location with a renovation estimate close to \$3.6 million to occupy. Any plans for capital projects will be presented to the Regents for approval.

Mr. Peralta stated that there is the local gross receipts tax in the only county in New Mexico that has that tax for educational purposes. These taxes are available to UNM Taos at this time and would cover the \$3.6 million for the build-out of the facilities.

President Frank is supportive of this proposal and believes it is a great collaboration with the campus and the city. This branch campus is doing well and has great leadership.

Mr. Peralta stated that this is an important step forward for the University and for the town because it is also assisting the economy.

Motion to approve the Rio Grande & Bataan Hall Lease Purchase passed unanimously (1st Koch, 2nd Gallegos).

Information Item:

7. UNM – Los Alamos Campus Mil Levy Election Analysis and Response

Dr. Cedric Page gave the presentation. Information was provided on the UNM Los Alamos (LA) Campus Mil Levy Election. UNM LA went forward, with approval from the Board, with the Mil Levy Election on September 17. It was unsuccessful but did mark improvement from the previous Mil Levy Election, which is encouraging to UNM LA. An effective campaign was created and informed residents of Los Alamos of the role and impact of higher education in the community. An analysis on the Mil Levy Election was provided in the BOR meeting materials. Through exit polling and letters to the editor in the newspaper, one major setback was the general confusion about how higher education is funded in New Mexico. There was also confusion on the role with UNM Main Campus and the support for branch campuses. There tends to be a standard refrain of, "what is in it for me," in the community regarding the reason to vote for the Mil Levy. These issues may be around the next time any vote for education arises. Moving forward, UNM LA has been working with the Advisory Board, faculty and staff to revise the strategic plan and readjust priorities. The focus will be on growing the campus and reaching students in Los Alamos and

Northern New Mexico with some very focused and specific degree programs. All in all, UNM LA learned a lot from the process and is thankful of the endorsement of efforts on the Mil Levy Election from the Board.

Health Sciences Board, Regent Suzanne Quillen, Chair

Action Items:

1. Resolution requesting approval of UNMH Contract with GE Healthcare IITS USA Corporation

Ava Lovell gave the presentation. Requesting Regents' approval for the UNMH Contract with GE Healthcare IITS USA Corporation to purchase NuBoom Surgical Imaging equipment from GE Healthcare, which will replace equipment in Operating Room (OR) #7. This will make Operating Room #7 a fully integrated OR with audio/visual technology allowing physicians to have instant access to previously stored patient radiology images, and be able to record images during surgical procedures. The total cost is \$569,000, from Novation Contracts, funded from UNM Hospital Capital Budget.

Motion to approve the UNMH Contract with GE Healthcare IITS USA Corporation passed unanimously (1st Quillen, 2nd James).

2. Resolution requesting Capital Project Approval for the following:

- a) UNM Hospital, North Fourth Street Clinic – Architect and Engineering Approval

Ava Lovell gave the presentation. Requesting Regents' approval for the UNM Hospital, North Fourth Street Clinic architect. This clinic will have 17,000 square feet, 22 exam rooms, and 1 procedure room. The model of care for the clinic is Patient Centered Medical Home, which stems from the Affordable Care Act. The architect, selected by RFP, was Fanning, Bard, and Tatum. The total cost for the funding for architect and engineering fees are \$202,688, funded by the UNM Hospital Capital Improvements, which are UNM Hospital dollars set aside for capital improvements.

In response to Regent inquiry, the project is going to the State Board of Finance in November. This is a two-phased process; the first phase was to acquire the land, which is going before the State Board of Finance (SBOF) immediately, and the second phase will be the construction of the building. This is for approval contingent on the land acquisition and approval from the SBOF.

- b) UNM Hospital, Laboratory Renovation

Ava Lovell gave the presentation. Requesting Regents' approval for the architect for the UNM Hospital, Laboratory Renovation. This part of the laboratory was constructed in 1960s, with 11,800 square feet, and upgrades to electrical and HVAC are necessary as recommended by the accrediting body during the last inspection. The Architect, selected by RFP, was Gregory T. Hicks. The total cost for the architecture and engineering fees for the project is \$155,000, funded by the UNM Hospital Capital Improvements.

- c) UNM Hospital, Outpatient Physical Therapy Rehabilitation Clinic Renovation

Ava Lovell gave the presentation. Requesting Regents' approval for Architect for the UNM Hospital, Outpatient Physical Therapy Rehabilitation Clinic Renovation. This project is to make the clinic ADA compliant, including new hardware and sink installations. We need to designate a clean holding closet and soiled closet. The Architect selected by RFP was Fanning, Bard, and Tatum. The total cost for the Architecture and

Engineering fees for the project are \$50,278, funded by the UNM Hospital Capital Improvements.

- Regent Gallegos requested information as to how the Architects for the projects were selected, based on knowledge or the best price.

All motions to approve the Capital Projects passed unanimously:

a) UNM Hospital, North Fourth Street Clinic – Architect and Engineering Approval (1st Quillen, 2nd James)

b) UNM Hospital, Laboratory Renovation (1st Quillen, 2nd Gallegos).

c) UNM Hospital, Outpatient Physical Therapy Rehabilitation Clinic Renovation (1st Fortner, 2nd Quillen).

3. Request for Approval of the Nomination of Mr. Jerry Geist to the Sandoval Regional Medical Center, Inc. (SRMC, Inc.) Board of Directors, serving as a Class A Director whose term, per the Amended and Restated Bylaws of SRMC, Inc., will expire on June 30, 2016.

Regent Quillen gave the presentation. Requesting Regents' approval for the nomination to the Sandoval Regional Medical Center Board of Directors. The UNMH Board of Trustees has approved Mr. Jerry Geist as a class A Director with his term expiring on June 30, 2016. His biography is included in the BOR meeting materials.

Motion to approve the nomination of Mr. Jerry Geist to the Sandoval Regional Medical Center, Inc. (SRMC, Inc.) Board of Directors, serving as a Class A Director whose term, per the Amended and Restated Bylaws of SRMC, Inc., will expire on June 30, 2016 passed unanimously (1st James, 2nd Fortner).

Information Items:

4. HSC Financial Update

Ava Lovell gave the presentation. A Health Science Center (HSC) financial update as of September 30 was provided to the Board. The metrics report was included in the BOR meeting materials, which is a high-level look at what is going on at HSC. This does include all units of the HSC including Component Units, the Medical Group, and the SRMC. The Operating Net Margin indicates the health of the operations of each unit and includes a five-year trend of each first quarter. This quarter the Academic part of HSC is about \$6.7 million favorable driven by tuition booked on a semester basis. The UNM Medical Group on an operational basis is \$952,000 favorable. UNM Hospitals is favorable at just above breakeven at \$274,000. In addition, the SRMC is at \$5.1 million loss. The report includes information on Net Income, which shows net margin and what we are doing one-time, such as spending use of balance. For Uncompensated Care, UNM Medical Group and Cancer Center is at \$11 million, UNMH is at \$49 million, and SRMC is at \$2.2 million, which is leveling but not going down. Days Cash on Hand for the HSC is 5.93 days, UNM Medical Group is 48.60 days, UNMH is at 50.72 days, and SRMC is at 16.12 days. Accounts receivable greater than ninety days was discussed, HSC is at 3%, UNM Medical Group is at 16%, UNMH is at 28%, and SRMC is at 59%. The research metrics were also discussed.

5. Draft Proposal: Thresholds for HSC Board of Directors/UNM Board of Regents Approvals

Regent James and Ava Lovell gave the presentation. Information was provided to the Board on the draft proposal for new thresholds for HSC Board of Directors/UNM Board of Regents approvals. This is of interest to Regent Hosmer and as well was a topic of interest of former Regent Chalmers to re-look at what types of items need to come before the various Regent committees and full Board of Regents. This draft proposal is first look at possible changes to

thresholds for HSC Board of Directors and UNM Board of Regents approvals, which was last updated in the 1990s.

A table was presented which included the proposed changes to various policies. The first item, Financial Settlements proposed changes to Regents Policy 7.8, specifically on the dollar amount limits for financial settlements and the approvals needed for the various thresholds. Also discussed were the Academic Operations and financials, such as purchases, contracts, and leases.

None of this will affect any policies for the State Board of Finance, such as raising limits. However, Regent Koch stated he would like the Board of Regents to be informed of any item that will be going to the State Board of Finance.

President Frank stated that since he has become President, he has required that all financial settlements, regardless of the dollar amount, receive Presidential approval. President Frank would like to see any further documentation include that requirement, because there have not been cohesive conversations about that at the University.

Academic/Student Affairs & Research Committee, Regent Bradley Hosmer, Chair

1. Update from the Provost

Provost Chaouki Abdallah provided an update on current Academic Affairs initiatives. The Dean's Retreat, which is an annual retreat, was held three weeks ago. A major topic for discussion was on the budget, specifically the new proposed ROM model. There was discussion on metrics for ROM on how to reallocate dollars while finding efficiencies, and ways to move forward.

There was a meeting in Washington DC for the Association of Public Land Grant Universities and the main discussion item there is about the affordability of higher education. UNM has been dealing with affordability as state funding and tuition have been under stress. The Vice President for Research is currently in Washington DC meeting with delegates regarding opportunities UNM can engage in.

Searches are currently being conducted for the new Dean of the Anderson School of Management, Dean of the College of Education, and Dean of University Libraries.

Action Item:

2. Approval of Posthumous Degree – Jessica S. Pabinquit

Dr. Richard Holder gave the presentation. Regents' approval requested for the Posthumous Degree for Jessica S. Pabinquit. At the time Ms. Pabinquit passed away on June 5, 2013, she was a currently enrolled student in good standing. She had completed 128 credit hours, with 57 hours in her major of Theater. She was only 3 hours short of the Theater major requirements, and 4 hours short of Core Curriculum requirements. She is also eligible for a minor in Japanese, having completed 9 hours at the 200 level and a semester abroad in Japan. She was on the Dean's list every semester since 2010. Ms. Pabinquit was a vibrant and active contributor to the Department of Theater and Dance and was exemplary of the finest students.

Motion to approve the Posthumous Degree for Jessica S. Pabinquit passed unanimously (1st Hosmer, 2nd Gallegos).

Information Items:

3. Research Enterprise Update

Dr. Johann van Reenan gave the presentation because Dr. Michael Dougher was in Washington DC to meet with the Secretary of Energy. An update was provided to the Board on the Research Enterprise. Currently, the state of research at UNM is good, and across campus, talented faculty and students are working on remarkable initiatives. A definition of research was provided, stating it refers to the entire range of artistic, literary, scholarly, scientific, engineering, health-related, and community focused creative activity. The term is not limited to just those activities that generate extramural funding or economic development. Over-emphasis on funded research and economic development undervalues the extraordinary accomplishments of a large portion of the University's faculty.

At UNM, the funding structure for facilitating creative activities of faculty and students is dependent on available Facilities and Administrative (F&A) cost recovery. It is critical that UNM improve advertising accomplishments, remove many non-research obligations from the F&A budget, remove institutional obstacle to research, streamline/facilitate sponsored projects process, and maximize extramural funding opportunities. Since August 1, steps have been taken to accomplish several goals. Carlos Romero from Research Administration has been moved to work with the offices of Government Relations and Communications and Marketing to get the word out on UNM research accomplishments. Metrics will be reported to the Board of Regents. A chart was presented on UNM's standing in National Science Foundation (NSF) Award trends from fiscal year 2008-2012.

In response to Regent inquiry, some research activities occupy space at the Science and Technology Park, specifically the Advanced Materials Lab, Management Technology Center, and Center for High Performance Materials.

4. Center for Teaching Excellence

Dr. Virginia Scharff and Aeron Haynie gave the presentation. Information was provided to the Board on the Center for Teaching Excellence. Aeron Haynie, the new Director for the Center for Teach Excellence, provided a background on the center. The paradigm change in higher education puts more focus on research and looks towards a new model of teaching excellence and effectiveness. This focuses on collaboration and using the scholarly rigor and methodology of individual disciplines to build evidence based teaching best practices. There is a shift in focus on building a community of teaching scholars, and the Center for Teaching Excellence proposes several initiatives such as the UNM Teaching Fellows (Pilot) Program and improving/expanding the training of graduate Teaching Assistants. Approaches to teaching are changing and innovation is necessary.

5. Update on Projects and Program Statistics, International Programs

MaryAnne Saunders gave the presentation. An update was provided to the Board on projects and program statistics with International Programs, which is now called the Global Education Office (GEO). New responsibilities for the office include recruiting and admitting international students, and providing services for international students here at UNM. Other responsibilities include the Center for English Language and American Culture (CELAC) and international agreements. The Global Education Office is taking guidelines and activities from UNM 2020, which encourages international student enrollment and study abroad. Studying abroad has a positive impact for the students and the University. Graduation rates for students who have returned from study abroad experiences are higher than those who do not have that experience, specifically for at-risk students. Students tend to enhance their focusing skills during their study abroad experiences, which goes beyond developing foreign language capabilities. Additionally, the experience helps students become more independent, nuanced decision makers and increases employment opportunities.

International students are positive for both the University and the economy. The financial impact of international students in New Mexico in last year's Open Doors Report was \$72.3 million to the state, with a little over 3,200 international students statewide. UNM ranks at 39th out of the 50 states. International students in California brought in approximately \$3.2 billion dollars. In Arizona, the economic impact of international students was \$321.4 million. In terms of other financial benefits of bringing financial students in, 76% of patents at the top 10 patent producing universities had at least one foreign born inventor, 40% of Fortune 500 companies were founded by foreign born entrepreneurs. A survey conducted by Inc. Magazine revealed that first-generation immigrants were on the founding teams of roughly 52% of all tech companies in California. So bringing them to New Mexico, keeping them here and encouraging them to start companies in New Mexico would be great for the state.

For first year results, it typically takes 18 months to build a good pipeline for bringing international students in and the support services that are required. There was a growth of 21% in our international students, both at the undergraduate and graduate level. As Regent Overton mentioned, there needs to be a focus on services for students to not only bring them here, but also keep them here to graduation, and then maybe onto a graduate degree. There is an increase to our funded Fulbright scholars by 26%. We have brought in 30 students in the Brazilian Scientific Mobility Program, which is high prestige. We have 49 students sponsored by the Saudi Arabian cultural mission, and looking forward to bringing in up to 50 students from a sponsored program from Pakistan in Engineering, these are graduate students. We anticipate a number of students from Oman because of a relationship we have built with the consulate. The primary goals for FY15 are to continue the increase in international enrollments by 8% a year, while concentrating on providing services for them. We also want to improve the overall campus environment and improve the infrastructure here for international students. We intend to increase student mobility via study abroad participation. We intend to do that especially by stressing short-term faculty led programs, which might answer some of the students concerns about the cost of study abroad. Short-term programs are typically easier for students to handle financially. We are looking into many support programs for our students for study abroad.

Regent Koch stated that he has had positive interactions with many international students here at UNM.

6. Financial Aid Update

Terry Babbitt gave the presentation. A brief overview of financial aid distribution and procedures at the University, with a focus on institutional aid, was provided to the Board. The total financial aid picture was provided, amounting to \$258 million distributed scholarships by type of aid, scholarships, grants, self-help and loans. Institutional aid is aid that can be administered by the University with some discretion. The overview of federal grants, federal loans, and scholarships, such as the Lottery Scholarship, are dictated to be administered in a certain manner. Goals of institutional aid include contributing to student persistence and completion, support university enrollment goals, and improve academic profile by attracting top students. For need based contributions to students from institutional aid, there is a little over \$2 million available which is determined through federal needs analysis. Essentially, 64% of students from traditionally underrepresented groups receive money that we use on a need basis, the need based component. There is a merit based aid component, which are centrally administered at the University. The Academic Profile includes, higher-level scholarships in terms of credentials very high test scores, grade point averages, and high graduation rates. The UNM Bridge to Success program is the largest investment at \$2.5 million, which is not merit or need based, and has the lowest academic profile. A goal is to work on getting better outcomes for that investment. There is a mix need between merit and need based in institutional aid. The academic profile goal is to increase the entrance level criteria for students to get to the average of peer institutions. This data is representative of traditional entering students.

Audit Committee, Regent Gene Gallegos, Chair

Information Items: Meeting Summary from October 25, 2013

Regent Gallegos provided a summary of the Audit and Compliance Committee Meeting held on October 25, 2013 and the official minutes were included in the BOR Meeting materials. There was discussion on the Compliance Office and the responsibilities, authorities, and reporting, but were unable to agree on Regent Policies that have been adopted. Work will begin on developing a Code of Ethics for the Main Campus. Regent Hosmer fully endorses the development of a Code of Ethics.

- Regent Gallegos requested that Chief HSC Compliance Officer, Stuart Freedman, along with HSC Compliance Investigator, Monica Wilson, give their presentation, that which was presented at the October 25, 2013 Audit Committee Meeting, on the HSC Code of Ethics at the December Board of Regents Meeting.

Comments from Regents' Advisors (reports received are included in BOR E-Book)

ASUNM, President Isaac Romero President Romero provided an update on current initiatives for ASUNM. Mr. Romero stated that a meeting would occur Saturday at UNM to bring student leadership from eight colleges to finalize the proposals for the Lottery Scholarship. There has been a proposal on back loading to create solvency and motivate students to be successful, which is one initiative the group is moving toward.

Regent James thanked Mr. Romero for his involvement on the Lottery Scholarship issue.

GPSA, President Priscila Poliana President Poliana gave an update on current initiatives for GPSA. Ms. Poliana stated that GPSA is excited to be working with UNM Administration on the new wellness center. Forums are taking place this semester for students to provide input. Ms. Poliana believes that the new wellness center will add to student life and comradery. Ms. Poliana discussed the Student Account Billing Suite and the issues that students encounter. The hope is that it will be enhanced by the beginning by next semester so that all students can reasonably read their bill. Ms. Poliana stated that graduate students faced a large tuition increase with the elimination of the block, and she would like to the Regents' to consider the reinstatement of the tuition block for graduate students. A fundraising campaign has been launched for graduate students through the UNM Foundation.

Faculty Senate, President Richard Holder President Holder gave an update on current initiatives for Faculty Senate. Dr. Holder stated that for ROM, he believes that the faculty is progressive in terms of change, but 1% holdback, although small could be detrimental for many departments. Mr. Holder is supportive of ROM but feels that taking money from weaker departments to give to the strong may not be positive, as he believes there should be focus on helping the weaker departments. Dr. Holder also mentioned that with the news that Blue Cross Blue Shield is purchasing Lovelace insurance plans, there are many questions among the faculty, so information sessions would be helpful.

Staff Council, President Gene Henley President Henley gave an update on current initiatives and activities for Staff Council. Mr. Henley stated Staff Council is supportive of the President's initiative in concept, but a 1% holdback could be detrimental to many departments. Mr. Henley discussed the Sarah Belle Brown Service Awards and mentioned that with the recent acquisition of Lovelace insurance plans by Blue Cross Blue Shield, he is thankful for HR's quick relay of information to campus.

UNM Alumni Association. Report in BOR E-Book.

V. Public Comment (none)

VI. Vote to close the meeting and to proceed into Executive Session

Motion to close the meeting and proceed into Executive Session passed unanimously at 11:47 a.m. (1st Hosmer, 2nd Quillen).

Executive Session (11:48 a.m. – 2:23 p.m.)

1. Discussion and determination where appropriate of limited personnel matters pursuant to Section 10-15-1.H (2), NMSA (1978).
2. Discussion and determination where appropriate of threatened or pending litigation pursuant to Section 10-15-1.H (7), NMSA (1978).
3. Discussion and determination where appropriate of the purchase, acquisition or disposal of real property pursuant to Section 10-15-1.H (8), NMSA (1978)

VII. Vote to re-open the meeting and certify that only the matters described in Agenda Item VI were discussed in Executive Session and, if necessary, final action with regard to those matters will be taken in Open Session.

Motion to open the meeting at 2:24pm, there was unanimous approval from Regents to open the meeting and certify that only those matters described in Agenda Item VI were discussed in closed session and there were no items upon which to take final action in open session (1st James, 2nd Hosmer).

VIII. Vote to Adjourn

Motion to adjourn the meeting passed unanimously at 2:25 p.m. (1st Fortner, 2nd James).

Jack L. Fortner, President

Bradley C. Hosmer, Secretary/Treasurer

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Isaac Romero, President, ASUNM
Randy Royster, President UNM Alumni Association, *(Written Report)*B

V. Public Comment (limit 3 min. per speaker)

VI. Vote to close the meeting and proceed in Executive Session

Executive Session

1. Discussion and determination where appropriate of limited personnel matters pursuant to Section 10-15-1.H(2), NMSA (1978)
2. Discussion and determination where appropriate of threatened or pending litigation pursuant to Section 10-15-1.H(7), NMSA (1978)
3. Discussion and determination where appropriate of the purchase, acquisition or disposal of real property pursuant to Section 10-15-1.H(8), NMSA (1978)

VII. Vote to re-open the meeting and certification that only those matters described in Agenda Item VI were discussed in Executive Session and if necessary, final action with regard to those matters will be taken in Open Session.

VIII. Vote to Adjourn

IX. Addendum: Minutes approved at the HSC Board of Directors 12/06/13 Meeting28

- a. HSC Board of Directors November 8, 2013 Meeting
- b. UNM Hospitals Board of Trustees October 25, 2013 Meeting
- c. UNM Sandoval Regional Medical Center, Inc. Board of Directors October 16, 2013 Meeting
- d. UNM Carrie Tingley Hospital Advisory Board September 23, 2013 Meeting

TAB 3

The President's Administrative Report
will be presented at the meeting

Executive Budget Summary

University of New Mexico Consolidated Financial Report

FY 2014 UNM Operating Budget

This report covers current fund operations for the University, including Main Campus, Branch Campuses and HSC Campus.

Instruction and General operations (approximately 90% of this operation resides on Main Campus) projected a use of prior year balances of \$12.2M for the FY 2014 UNM Operating Budget. This \$12.2M use of balance is comprised of a \$11.7M use of balance at the Main Campus, a \$489K use of balance at the Branch Campuses and a use of balance at the HSC Campus of \$21K. The \$11.7M use of balance at Main Campus is primarily due to \$3.0M of one-time monies funding the I&G Budget, Administrative units budgeting approximately \$1.5M of prior year balances and Academic Affairs budgeting approximately \$7.2M of prior year balances.

The next block of information shows our **Unrestricted Research** operations. The activity in these operations is essentially 50% Main Campus and 50% HSC Campus. The FY 2014 UNM Operating Budget showed a use of prior year balances of \$10.6M, of which a \$5.6M use of prior year balance is related to Main Campus and a \$5.0M use of prior year balance is related to HSC Campus. The \$5.6M use of balance at Main Campus is primarily due to Academic Affairs departments budgeting prior year balances. At the HSC Campus approximately \$203K of balances were budgeted by SOM Departments to support Chairs' Letter of Offer packages. The College of Pharmacy budgeted \$348K for faculty bridge funding and faculty start-up funding. Additionally, at the HSC \$4.3M is budgeted for various capital projects during FY 2014.

The third business category shown on the first page of this report is **Unrestricted Public Service**. The operations in this category include special projects funded by State Appropriations, for example Project ECHO, and non-endowed gifts flowing from the UNM Foundation to departments and many small events funded by user fees. The FY 2014 UNM Operating Budget projected a favorable net margin of \$1.5M. This favorable budgeted net margin is comprised of a \$3.9M use of prior year balances at the Main and Branch Campuses and a favorable net margin of \$5.4M at the HSC Campus. The \$3.9M use of balance at the Main and Branch Campuses is primarily due to Academic Affairs departments budgeting prior year balances. There are \$3.4M of budgeted prior year balances within non-endowed spending indices and approximately \$500K of budgeted prior year balances within Academic Affairs General Public Service indices. The favorable net margin budgeted at the HSC Campus is primarily due to Patient Revenues.

Page 2 of this report begins with the **Student Aid** function. The FY 2014 UNM Operating Budget projected a use of prior year balances of \$8.8M. This use of prior year balances is comprised of a \$7.0M use of balance at the Main and Branch Campuses and a budgeted use of balance of \$1.8M at the HSC Campus. The budgeted use of balance at Main Campus is primarily due to Academic Affairs departments and Enrollment Management budgeting prior year balances within endowed spending indices for the payout of major and departmental scholarships in the new fiscal year.

Student Activities are the operations of Student Government and Student organizations. The FY 2014 UNM Operating Budget shows a use of prior year balances of \$122K.

Auxiliaries and Athletics

The FY 2014 UNM Operating Budget for Auxiliaries and Athletics projected a use of prior year balances of \$237K. This use of balance is primarily due to a combination of Athletics budgeting a \$65K use of balance, AVP Ops/Student Life budgeting a use of balance of \$131K and the Branch Campuses budgeting a use of balance of \$41K.

Sponsored programs operations are our contract and grant research and public service projects funded by outside agencies and companies. These operations will always record a zero net revenue over expense by the nature of their funding. The agency pays for a service or research project, and normally any unspent funds must be returned to the agency.

The next block of numbers on the third page is a summary of our **Clinical** operations. These operations are essentially all the patient care activities of the HSC Campus, including the UNM Hospitals, SOM physician professional services, Cancer Center operations and Housestaff/Medical Residents who are being trained in the UNM and VA hospitals. The FY 2014 UNM Operating Budget projected a use of prior year balances of \$8.1M. UNM Hospitals budgeted a favorable net margin of \$13K. The major factor contributing to this use of balance is the School of Medicine budgeting a use of balance of \$8.1M for FY 2014. The budgeted use of balance is primarily due to the SOM Chair Packages for FY 2014.

Statements of Revenues, Expenses and Changes in Net Position Format for Regents
For the four month period ended October 31, 2013
Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2014 Full Year Operating Budget	FY 2014 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 33%	FY 2013 Year-to-Date Actual	FY 2014 YTD Actual Change From FY 2013 YTD Actual
Instruction and General						
Tuition and Fees Revenues						
Main Campus	153,710,683	82,654,990	(71,055,693)	54%	79,062,546	3,592,444
Branch Campuses	8,328,453	4,398,200	(3,930,253)	53%	4,326,094	72,106
HSC Campus	13,605,343	7,089,856	(6,515,487)	52%	6,474,705	615,151
Total Tuition and Fees Revenues	175,644,479	94,143,046	(81,501,433)	54%	89,863,345	4,279,701
State/Local Appropriations	271,068,741	90,200,379	(180,868,362)	33%	86,046,926	4,153,453
F & A Revenues	40,300,000	14,900,525	(25,399,475)	37%	14,387,207	513,318
Transfers	(49,037,357)	(18,986,771)	30,050,586	39%	(22,483,048)	3,496,277
Other Revenues	18,019,852	7,742,866	(10,276,986)	43%	8,010,355	(267,489)
Total Instruction and General Revenues	455,995,715	188,000,045	(267,995,670)	41%	175,824,785	12,175,260
Salaries	280,556,884	91,659,240	188,897,644	33%	88,634,013	(3,025,227)
Benefits	92,631,618	29,218,199	63,413,419	32%	26,986,137	(2,232,062)
Other Expenses	95,042,322	28,656,200	66,386,122	30%	27,262,009	(1,394,191)
Total Instruction and General Expenses	468,230,824	149,533,639	318,697,185	32%	142,882,159	(6,651,480)
Net Instruction and General Revenue/(Expense)	(12,235,109)	38,466,406	50,701,515		32,942,626	5,523,780
Research						
State/Local Appropriations	10,292,896	3,679,127	(6,613,769)	36%	3,489,357	189,770
Transfers	25,678,299	6,233,484	(19,444,815)	24%	9,056,569	(2,823,085)
Other Revenues	4,237,463	726,263	(3,511,200)	17%	775,664	(49,401)
Total Research Revenues	40,208,658	10,638,874	(29,569,784)	26%	13,321,590	(2,682,716)
Salaries and Benefits	28,682,475	8,578,352	20,104,123	30%	8,922,793	344,441
Other Expenses	22,131,976	5,270,182	16,861,794	24%	5,978,692	708,510
Total Research Expenses	50,814,451	13,848,534	36,965,917	27%	14,901,485	1,052,951
Net Research Revenue/(Expense)	(10,605,793)	(3,209,660)	7,396,133		(1,579,895)	(1,629,765)
Public Service						
State/Local Appropriations	3,667,844	1,222,116	(2,445,728)	33%	1,166,984	55,132
Sales and Services Revenues	17,443,110	5,001,097	(12,442,013)	29%	5,182,060	(180,963)
Gifts	8,296,995	2,435,242	(5,861,753)	29%	2,520,528	(85,286)
Transfers	2,082,045	1,394,080	(687,965)	67%	539,040	855,040
Other Revenues	5,305,384	1,879,175	(3,426,209)	35%	1,401,050	478,125
Total Public Service Revenues	36,795,378	11,931,710	(24,863,668)	32%	10,809,662	1,122,048
Salaries and Benefits	17,842,880	6,935,834	10,907,046	39%	6,830,730	(105,104)
Other Expenses	17,496,965	5,225,011	12,271,954	30%	4,512,277	(712,734)
Total Public Service Expenses	35,339,845	12,160,845	23,179,000	34%	11,343,007	(817,838)
Net Public Service Revenue/(Expense)	1,455,533	(229,135)	(1,684,668)		(533,345)	304,210

Statements of Revenues, Expenses and Changes in Net Position Format for Regents
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University of New Mexico - Consolidated Total Operations Current Funds

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Student Aid						
Gifts	3,898,881	1,505,531	(2,393,350)	39%	1,718,581	(213,050)
State Lottery Scholarship	37,485,948	18,742,974	(18,742,974)	50%	15,930,585	2,812,389
Transfers	15,276,031	5,430,196	(9,845,835)	36%	5,463,153	(32,957)
Other Revenues	1,257,262	242,849	(1,014,413)	19%	341,095	(98,246)
Total Student Aid Revenues	57,918,122	25,921,550	(31,996,572)	45%	23,453,414	2,468,136
Salaries and Benefits	3,659,692	1,627,032	2,032,660	44%	1,455,179	(171,853)
Other Expenses	63,022,380	29,671,582	33,350,798	47%	27,362,700	(2,308,882)
Total Student Aid Expenses	66,682,072	31,298,614	35,383,458	47%	28,817,879	(2,480,735)
Net Student Aid Revenue/(Expense)	(8,763,950)	(5,377,064)	3,386,886		(5,364,465)	(12,599)
Student Activities						
Fee Revenues	6,266,414	3,197,405	(3,069,009)	51%	2,497,383	700,022
Sales and Services Revenues	1,448,714	594,255	(854,459)	41%	598,351	(4,096)
Transfers	458,483	23,727	(434,756)	5%	328,083	(304,356)
Other Revenues	74,024	25,602	(48,422)	35%	34,600	(8,998)
Total Student Activities Revenues	8,247,635	3,840,989	(4,406,646)	47%	3,458,417	382,572
Salaries and Benefits	4,185,076	1,539,149	2,645,927	37%	1,633,523	94,374
Other Expenses	4,184,468	1,308,055	2,876,413	31%	1,338,328	30,273
Total Student Activities Expenses	8,369,544	2,847,204	5,522,340	34%	2,971,851	124,647
Net Student Activities Revenue/(Expense)	(121,909)	993,785	1,115,694		486,566	507,219
Auxiliaries and Athletics						
Branch Campuses Auxiliary Revenues	2,582,001	1,044,617	(1,537,384)	40%	1,310,964	(266,347)
Main Campus Auxiliaries Revenues	53,874,662	24,772,631	(29,102,031)	46%	26,485,163	(1,712,532)
Athletics Revenues	31,193,563	10,506,640	(20,686,923)	34%	10,916,779	(410,139)
Total Auxiliaries and Athletics Revenues	87,650,226	36,323,888	(51,326,338)	41%	38,712,906	(2,389,018)
Branch Campuses Auxiliary Expenses	2,623,366	898,588	1,724,778	34%	1,282,043	383,455
Main Campus Auxiliaries Expenses	54,005,162	17,942,972	36,062,190	33%	22,921,005	4,978,033
Athletics Expenses	31,258,763	12,308,659	18,950,104	39%	12,329,396	20,737
Total Auxiliaries and Athletics Expenses	87,887,291	31,150,219	56,737,072	35%	36,532,444	5,382,225
Net Auxiliaries and Athletics Revenue/(Expense)	(237,065)	5,173,669	5,410,734		2,180,462	2,993,207

Statements of Revenues, Expenses and Changes in Net Position Format for Regents
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Sponsored Programs						
Federal Grants and Contracts Revenues	245,218,468	91,236,751	(153,981,717)	37%	100,821,844	(9,585,093)
State and Local Grants and Contracts Revenues	31,983,112	8,976,675	(23,006,437)	28%	6,857,814	2,118,861
Non-Governmental Grants and Contracts Revenues	29,505,707	11,770,236	(17,735,471)	40%	11,869,020	(98,784)
Gifts	-	64,580	64,580	N/A	135,887	(71,307)
Transfers	3,740,817	2,256,336	(1,484,481)		1,846,147	410,189
Other Revenues	4,000	-	(4,000)	0%	-	-
Total Sponsored Programs Revenues	310,452,104	114,304,578	(196,147,526)	37%	121,530,712	(7,226,134)
Salaries and Benefits	144,253,271	45,011,515	99,241,756	31%	43,069,912	(1,941,603)
Other Expenses	166,198,833	69,293,063	96,905,770	42%	78,460,800	9,167,737
Total Sponsored Programs Expenses	310,452,104	114,304,578	196,147,526	37%	121,530,712	7,226,134
Net Sponsored Programs Revenue/(Expense)	-	-	-		-	-
Clinical Operations						
State/Local Appropriations	25,531,808	8,318,602	(17,213,206)	33%	8,405,498	(86,896)
Physician Professional Fee Revenues	108,032,042	35,193,507	(72,838,535)	33%	32,575,637	2,617,870
Hospital Facility Revenues	684,748,925	229,605,374	(455,143,551)	34%	212,268,111	17,337,263
Other Patient Revenues, net of Allowance	103,030,503	31,826,839	(71,203,664)	31%	33,620,233	(1,793,394)
Mil Levy	91,886,992	30,367,938	(61,519,054)	33%	30,325,739	42,199
Investment Income	1,137,891	3,566,702	2,428,811	313%	2,590,871	975,831
Gifts	3,435,064	1,784,551	(1,650,513)	52%	2,021,285	(236,734)
Housestaff Revenues	32,457,691	10,810,897	(21,646,794)	33%	10,981,080	(170,183)
Other Revenues	17,842,766	9,313,642	(8,529,124)	52%	5,324,885	3,988,757
Total Clinical Operations Revenues	1,068,103,682	360,788,052	(707,315,630)	34%	338,113,339	22,674,713
Salaries and Benefits	607,730,885	199,160,921	408,569,964	33%	193,066,915	(6,094,006)
Interest Expense	8,701,516	2,765,483	5,936,033	32%	2,983,498	218,015
Housestaff Expenses	32,457,691	11,379,569	21,078,122	35%	10,690,400	(689,169)
Other Expenses	427,357,193	148,561,291	278,795,902	35%	136,872,035	(11,689,256)
Total Clinical Operations Expenses	1,076,247,285	361,867,264	714,380,021	34%	343,612,848	(18,254,416)
Net Clinical Operations Revenue/(Expense)	(8,143,603)	(1,079,212)	7,064,391		(5,499,509)	4,420,297
Contingencies						
Total Contingency Revenues	15,130,454	-	(15,130,454)	0%	-	-
Total Contingency Expenses	5,817,161	-	(5,817,161)	0%	-	-
Net Contingencies Revenue/(Expense)	9,313,293	-	(9,313,293)		-	-
Net Current Revenue/(Expense)	(29,338,603)	34,738,789	64,077,392		22,632,440	12,106,349

Statements of Revenues, Expenses and Changes in Net Position Format for Regents
For the four month period ended October 31, 2013
Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2014 Full Year Operating Budget	FY 2014 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 33%	FY 2013 Year-to-Date Actual	FY 2014 YTD Actual Change From FY 2013 YTD Actual
University of New Mexico - Results of Athletics and Auxiliary Operations						
Results of Athletics Operations:						
Athletics Revenues	34,732,446	11,631,285	(23,101,161)	33%	13,381,309	(1,750,024)
Athletics Transfers	(3,538,883)	(1,124,645)	2,414,238	32%	(2,464,530)	1,339,885
Total Athletics Revenues	31,193,563	10,506,640	(20,686,923)	34%	10,916,779	(410,139)
Athletics Expenses						
Salaries and Benefits	13,671,699	4,867,012	8,804,687	36%	4,984,339	117,327
Grant-in-Aid	3,976,253	1,861,614	2,114,639	47%	1,728,389	(133,225)
Other Expenses	13,610,811	5,580,033	8,030,778	41%	5,616,668	36,635
Total Athletics Expenses	31,258,763	12,308,659	18,950,104	39%	12,329,396	20,737
Total Net Athletics Revenue/(Expense)	(65,200)	(1,802,019)	(1,736,819)		(1,412,617)	(389,402)
Results of Auxiliary Operations:						
VP for Institutional Support Services						
Bookstore Revenues	17,992,468	7,831,671	(10,160,797)	44%	8,844,562	(1,012,891)
Bookstore Transfers	(350,000)	(116,667)	233,333	33%	(79,468)	(37,199)
Total Bookstore Revenues	17,642,468	7,715,004	(9,927,464)	44%	8,765,094	(1,050,090)
Total Bookstore Expenses	17,642,468	6,862,328	10,780,140	39%	7,798,225	935,897
Net Bookstore Revenue/(Expense)	-	852,676	852,676		966,869	(114,193)
Faculty & Staff Club Revenues	82,000	52,061	(29,939)	63%	13,177	38,884
Faculty & Staff Club Expenses	82,000	21,515	60,485	26%	11,413	(10,102)
Net Faculty & Staff Club Revenue/(Expense)	-	30,546	30,546		1,764	28,782
Golf Courses Revenues	2,294,163	827,562	(1,466,601)	36%	891,467	(63,905)
Golf Courses Transfers	(39,252)	(12,772)	26,480	33%	(13,084)	312
Total Golf Courses Revenues	2,254,911	814,790	(1,440,121)	36%	878,383	(63,593)
Total Golf Courses Expenses	2,254,911	795,511	1,459,400	35%	801,325	5,814
Net Golf Courses Revenue/(Expense)	-	19,279	19,279		77,058	(57,779)
Housing	11,250,500	5,993,167	(5,257,333)	53%	5,639,832	353,335
Housing Transfers	(2,485,566)	(711,413)	1,774,153	29%	(3,238,297)	2,526,884
Total Housing Revenues	8,764,934	5,281,754	(3,483,180)	60%	2,401,535	2,880,219
Total Housing Expense	8,764,934	2,599,076	6,165,858	30%	2,733,008	133,932
Net Housing Revenue/(Expense)	-	2,682,678	2,682,678		(331,473)	3,014,151
Other	950,000	40,000	(910,000)	4%	-	40,000
Other Transfers	(950,000)	250,000	1,200,000	-26%	-	250,000
Total Other Revenues	-	290,000	290,000	N/A	-	290,000
Total Other Expense	-	7,901	(7,901)	N/A	-	(7,901)
Net Other Revenue/(Expense)	-	282,099	282,099		-	282,099

Statements of Revenues, Expenses and Changes in Net Position Format for Regents
For the four month period ended October 31, 2013
Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2014 Full Year Operating Budget	FY 2014 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 33%	FY 2013 Year-to-Date Actual	FY 2014 YTD Actual Change From FY 2013 YTD Actual
Parking and Transportation Revenues	8,442,677	4,884,317	(3,558,360)	58%	4,589,819	294,498
Parking and Trans Transfers	(2,357,537)	(693,969)	1,663,568	29%	(684,815)	(9,154)
Total Parking and Trans Revenues	6,085,140	4,190,348	(1,894,792)	69%	3,905,004	285,344
Total Parking and Trans Expenses	6,085,140	1,964,934	4,120,206	32%	1,953,142	(11,792)
Net Parking and Trans Revenue/(Expense)	-	2,225,414	2,225,414		1,951,862	273,552
Popejoy Events Revenues	4,444,649	438,862	(4,005,787)	10%	4,998,924	(4,560,062)
Popejoy Events Transfers	149,730	149,730	-	100%	21,612	128,118
Total Popejoy Events Revenues	4,594,379	588,592	(4,005,787)	13%	5,020,536	(4,431,944)
Total Popejoy Events Expenses	4,594,379	795,228	3,799,151	17%	5,026,643	4,231,415
Net Popejoy Events Revenue/(Expense)	-	(206,636)	(206,636)		(6,107)	(200,529)
Taos & Lawrence Ranch Revenues	53,578	-	(53,578)	0%	101	(101)
Taos & Lawrence Ranch Expenses	53,578	12,871	40,707	24%	12,077	(794)
Net Taos & Lawrence Ranch Revenue/(Expense)	-	(12,871)	(12,871)		(11,976)	(895)
Ticketing Services Revenues	845,295	305,780	(539,515)	36%	510,336	(204,556)
Ticketing Services Transfers	75,348	75,348	-	100%	25,116	50,232
Total Ticketing Services Revenues	920,643	381,128	(539,515)	41%	535,452	(154,324)
Total Ticketing Services Expenses	920,643	337,570	583,073	37%	314,885	(22,685)
Net Ticketing Services Revenue/(Expense)	-	43,558	43,558		220,567	(177,009)
Young Ranch Revenues	-	-	-	N/A	11,802	(11,802)
Young Ranch Expenses	-	-	-	N/A	4,495	4,495
Net Young Ranch Revenue/(Expense)	-	-	-	N/A	7,307	(7,307)
Total VP for Institutional Support Services Revenues	40,398,053	19,313,677	(21,084,376)	48%	21,531,084	(2,217,407)
Total VP for Institutional Support Services Expenses	40,398,053	13,396,934	27,001,119	33%	18,655,213	5,258,279
Net VP for Institutional Support Services Revenue/(Expense)	-	5,916,743	5,916,743		2,875,871	3,040,872
VP for Student Affairs						
AVP Ops/Student Life Revenues	3,311,105	1,296,036	(2,015,069)	39%	1,185,327	110,709
AVP Ops/Student Life Transfers	(127,500)	(295,759)	(168,259)	232%	(384,513)	88,754
Total AVP Ops/Student Life Revenues	3,183,605	1,000,277	(2,183,328)	31%	800,814	199,463
Total AVP Ops/Student Life Expenses	3,314,105	878,439	2,435,666	27%	807,328	(71,111)
Net AVP Ops/Student Life Revenue/(Expense)	(130,500)	121,838	252,338		(6,514)	128,352
Lobo Cash Revenues	33,385	26,000	(7,385)	78%	2,995	23,005
Lobo Cash Expenses	33,385	35,613	2,228	107%	2,307	(33,306)
Net Lobo Cash Revenue/(Expense)	-	(9,613)	(9,613)		688	(10,301)
Student Health Center Revenues	7,465,022	3,627,764	(3,837,258)	49%	2,995,988	631,776
Student Health Center Expenses	7,465,022	2,586,018	4,879,004	35%	2,415,648	(170,370)
Net Student Health Center Revenue/(Expense)	-	1,041,746	1,041,746		580,340	461,406
Student Union Revenues	2,537,528	717,868	(1,819,660)	28%	1,104,524	(386,656)
Student Union Expenses	2,537,528	962,159	1,575,369	38%	940,628	(21,531)
Net Student Union Revenue/(Expense)	-	(244,291)	(244,291)		163,896	(408,187)
Total VP for Student Affairs Revenues	13,219,540	5,371,909	(7,847,631)	41%	4,904,321	467,588
Total VP for Student Affairs Expenses	13,350,040	4,462,229	8,892,267	33%	4,165,911	(296,318)
Net VP for Student Affairs Revenue/(Expense)	(130,500)	909,680	1,040,180		738,410	171,270

Statements of Revenues, Expenses and Changes in Net Position Format for Regents
For the four month period ended October 31, 2013
Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2014 Full Year Operating Budget	FY 2014 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 33%	FY 2013 Year-to-Date Actual	FY 2014 YTD Actual Change From FY 2013 YTD Actual
Provost and Other Units						
Art Museum Revenues	5,500	1,067	(4,433)	19%	384	683
Art Museum Expenses	5,500	346	5,154	6%	-	(346)
Net Art Museum Revenue/(Expense)	-	721	721		384	337
CE Conference Ctr Revenues	200,000	58,993	(141,007)	29%	39,971	19,022
CE Conference Ctr Transfers	(43,431)	(10,648)	32,783	25%	-	(10,648)
Total CE Conference Ctr Revenues	156,569	48,345	(108,224)	31%	39,971	8,374
Total CE Conference Ctr Expenses	156,569	60,578	95,991	39%	75,226	14,648
Net CE Conference Ctr Revenue/(Expense)	-	(12,233)	(12,233)		(35,255)	23,022
Maxwell Museum Revenues	40,000	14,856	(25,144)	37%	9,105	5,751
Maxwell Museum Expenses	40,000	5,455	34,545	14%	5,136	(319)
Net Maxwell Museum Revenue/(Expense)	-	9,401	9,401		3,969	5,432
Other Revenues	55,000	22,777	(32,223)	41%	298	22,479
Other Expenses	55,000	17,430	37,570	32%	19,519	2,089
Net Other Revenue/(Expense)	-	5,347	5,347		(19,221)	24,568
Total Provost and Other Units Revenues	257,069	87,045	(170,024)	34%	49,758	37,287
Total Provost and Other Units Expenses	257,069	83,809	173,260	33%	99,881	16,072
Net Provost and Other Units Revenue/(Expense)	-	3,236	3,236		(50,123)	53,359
Auxiliary Totals						
Total Auxiliary & Concessions Revenues	53,874,662	24,772,631	(29,102,031)	46%	26,485,163	(1,712,532)
Total Auxiliary & Concessions Expenses	54,005,162	17,942,972	36,062,190	33%	22,921,005	4,978,033
Net Auxiliary Revenue/(Expense)	(130,500)	6,829,659	6,960,159		3,564,158	3,265,501
Net Athletics Revenue/(Expense)	(65,200)	(1,802,019)	(1,736,819)		(1,412,617)	(389,402)
Net Auxiliary and Athletics Revenue/(Expense)	(195,700)	5,027,640	5,223,340		2,151,541	2,876,099
Net Branch Campuses Aux Revenue/(Expense)	(41,365)	146,029	187,394		28,921	117,108
Net All Auxiliary and Athletics Revenue/(Expense)	(237,065)	5,173,669	5,410,734		2,180,462	2,993,207

UNM Debt Service Schedule

As of October 31, 2013

*Includes Hospital Debt

UNM Bond Issue	Fixed or Variable Rate Issue	Original Issue Amount	Outstanding Principal Balance on June 30, 2013	Principal Payment due on June 1, 2014	Interest Payment paid on December 1, 2013	Interest Payment due on June 1, 2014	FY 2014 Principal & Interest
Sub Lien System Imp Revenue Bonds (³) Series 2012: Interest Range 2.00% to 5.00% Final Maturity Year 2032	Fixed Rate	\$35,215,000	\$33,200,000	\$1,310,000	\$766,050	\$766,050	\$2,842,100
Sub Lien System Imp Revenue Bonds Series 2007 A&B: Interest Range 4.00% to 5.95% Final Maturity Year 2036	Fixed Rate	\$136,710,000	\$131,620,000	\$1,840,000	\$3,281,441	\$3,281,441	\$8,402,882
Sub Lien System Imp Revenue Bonds Series 2005: Interest Range 3.0% to 5.0% Final Maturity Year 2035	Fixed Rate	\$125,575,000	\$115,950,000	\$2,470,000	\$2,678,627	\$2,678,626	\$7,827,253
(¹) FHA Insured Hospital Mortgage Revenue Bonds Series 2004: Interest Range 2.0% to 5.0% Final Maturity Year 2031	Fixed Rate	\$192,250,000	\$164,660,000	\$5,240,000 (\$2,580,000 paid 7/1/2013) (\$2,660,000 due 1/2/2014)	\$3,963,788 (due 1/2/2014)	\$4,020,600 (paid 7/1/2013)	\$13,224,387
Sub Lien System Rfdg Revenue Bonds Series 2003 A: Interest Range 2.0% to 5.25% Final Maturity Year 2018	Fixed Rate	\$21,660,000	\$8,480,000	\$1,135,000	\$222,600	\$222,600	\$1,580,200
Sub Lien System Revenue Bonds Series 2003 B&C: Interest Range 1.35% to 5.625% Final Maturity Years B 2024 & C 2033	Fixed Rate	\$11,805,000	\$9,505,000	\$285,000	\$232,955	\$232,955	\$750,909
Sub Lien Sys Rfdg Revenue Bonds (²) Series 2002 B: Variable Rate Demand Bonds - rates reset weekly Weekly rate as of June 30, 2013 was 0.06% Final Maturity Year 2026	Variable Rate	\$25,475,000	\$22,090,000	\$1,490,000	\$423,024	\$423,024	\$2,336,047
Sub Lien System Rfdg Revenue Bonds (²) Series 2002 C: Variable Rate Demand Bonds - rates reset weekly Weekly rate as of June 30, 2013 was 0.06% Final Maturity Year 2030	Variable Rate	\$37,840,000	\$35,190,000	\$875,000	\$693,243	\$693,243	\$2,261,486
Sub Lien System Imp Revenue Bonds (²) Series 2001: Variable Rate Demand Bonds - rates reset weekly Weekly rate as of June 30, 2013 was 0.06% Ceiling of 12% Final Maturity Year 2026	Variable Rate	\$52,625,000	\$35,275,000	\$2,085,000	\$705,500	\$705,500	\$3,496,000
System Revenue Bonds Series 2000B: Interest Range 5.5% to 6.35% Final Maturity Year 2019	Fixed Rate	\$53,231,671	\$2,248,820	\$473,652	\$320,674	\$320,674	\$1,115,000
System Revenue Rfdg Bonds Series 1992 A: Interest Range 5.6% to 6.25% Final Maturity Year 2021	Fixed Rate	\$36,790,000	\$17,460,000	\$1,840,000	\$523,800	\$523,800	\$2,887,600
Grand Total		\$729,176,671	\$575,678,820	\$19,043,652	\$13,811,700	\$13,868,512	\$46,723,864

Note: See attached matrix for funding sources.

(1) Source: UNM Hospital - Both UNM Hospital Principal and Interest payments are made on July 1st and January 1st.

(2) Variable Rate bonds reflect the actual synthetically fixed interest rate that UNM pays.
It is noted that all ranges of interest rates and final maturity dates are reflective of Serial bonds.

(3) Series 2012 bonds refunded 2002A bonds,

FY14 UNM Debt Service - Source of Funds

As of October 31, 2013

	Series 2012	Series 2007A&B	Series 2005	Series 2004	Series 2003B&C	Series 2003A	Series 2002C	Series 2002B	Series 2001	Series 1992
Student Fees- Facility	X	X	X			X	X	X		X
Student Fees - IT	X		X							
Capitalized Interest		X								
Parking Services	X	X	X				X			X
UNM Hospital				X		X		X		X
Bookstore						X	X			X
Housing & Dining Services	X					X	X			
Building R&R	X					X		X		X
Real Estate Department	X	X			X			X		
Physical Plant Department	X	X	X						X	
Telecommunications	X		X							
Athletics		X								
Information & Technology Funds			X				X			
KNME										X
Popejoy Hall							X			
MTTC Bldg.								X		
Opto Bldg (CHTM Res Park)								X		
CRTC								X		
Continuing Education								X		
Equipment R&R			X							
Golf Course - North & South							X			
HSC	X	X								
Interest on Reserve Funds	X					X	X	X		

Statements of Revenues, Expenses and Changes in Net Assets Format for Regents
For the four month period ended October 31, 2013
Preliminary and Unaudited

Detail of State/Local Appropriations
Consolidated - Total Operations Current Funds

	FY 2014 Full Year Operating Budget	FY 2014 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 33%
Instruction and General				
Instruction & General Appropriations	261,986,155	87,172,852	(174,813,303)	33%
State Special Project Appropriations	1,055,900	351,966	(703,934)	33%
Tobacco Settlement Appropriations	1,130,600	376,866	(753,734)	33%
Mill Levy	6,896,086	2,298,695	(4,597,391)	33%
Total Instruction and General Appropriations	<u>271,068,741</u>	<u>90,200,379</u>	<u>(180,868,362)</u>	<u>33%</u>
Research				
State Special Project Appropriations	6,086,446	2,021,183	(4,065,263)	33%
Tobacco Settlement Appropriations	979,800	326,600	(653,200)	33%
Cigarette Tax Appropriations	3,226,650	1,331,344	(1,895,306)	41%
Total Research Appropriations	<u>10,292,896</u>	<u>3,679,127</u>	<u>(6,613,769)</u>	<u>36%</u>
Public Service				
State Special Project Appropriations	3,667,844	1,222,116	(2,445,728)	33%
Total Public Service Appropriations	<u>3,667,844</u>	<u>1,222,116</u>	<u>(2,445,728)</u>	<u>33%</u>
Clinical Operations				
State Special Project Appropriations	24,680,108	8,034,702	(16,645,406)	33%
Tobacco Settlement Appropriations	851,700	283,900	(567,800)	33%
Total Clinical Operations Appropriations	<u>25,531,808</u>	<u>8,318,602</u>	<u>(17,213,206)</u>	<u>33%</u>

Statements of Revenues, Expenses and Changes in Net Assets Format for Regents
For the four month period ended October 31, 2013
Preliminary and Unaudited

Detail of State/Local Appropriations
Main Campus - Total Operations Current Funds

	FY 2014 Full Year Operating Budget	FY 2014 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 33%
Instruction and General				
Instruction & General Appropriations	180,474,555	60,158,186	(120,316,369)	33%
State Special Project Appropriations				
African American Student Services	22,873	7,624	(15,249)	33%
Disabled Student Services	192,400	64,133	(128,267)	33%
ENLACE	64,329	21,443	(42,886)	33%
Hispanic Student Center	107,143	35,714	(71,429)	33%
Minority Graduate Recruitment	117,646	39,215	(78,431)	33%
Native American Studies Intervention	354,047	118,016	(236,031)	33%
Pre-College Minority Student Math & Science	197,462	65,821	(131,641)	33%
Total State Special Project Appropriations	1,055,900	351,966	(703,934)	33%
Total Instruction and General Appropriations	181,530,455	60,510,152	(121,020,303)	33%
Research				
State Special Project Appropriations				
Center for Regional Studies (SW Research Ctr)	977,850	325,950	(651,900)	33%
Manufacturing Engineering	556,900	185,633	(371,267)	33%
Morrisey Hall	47,500	15,833	(31,667)	33%
Resource Geographic Information System	65,400	21,800	(43,600)	33%
Utton Transboundary Resource Center	292,400	97,467	(194,933)	33%
Total State Special Project Appropriations	1,940,050	646,683	(1,293,367)	33%
Total Research Appropriations	1,940,050	646,683	(1,293,367)	33%
Public Service				
State Special Project Appropriations				
Bureau of Business Research (Census)	378,200	126,067	(252,133)	33%
College Prep Mentoring/School of Law	119,593	39,864	(79,729)	33%
College Preparatory Mentoring	168,107	56,035	(112,072)	33%
Corrine Wolfe Law Center/Child Abuse Training	170,300	56,767	(113,533)	33%
Family Development Program	438,500	146,167	(292,333)	33%
ISTEC	48,595	16,198	(32,397)	33%
Judicial Selection	22,800	7,600	(15,200)	33%
KNME-TV	1,168,900	389,632	(779,268)	33%
Land Grant Studies Program	131,800	43,933	(87,867)	33%
N. M. Historical Review	47,600	15,867	(31,733)	33%
Small Business Innovation & Research Outreach	125,000	41,667	(83,333)	33%
Southwest Indian Law Clinic	208,200	69,400	(138,800)	33%
Spanish Colonial Research Center (SW Research Ctr)	120,650	40,217	(80,433)	33%
Spanish Resource Center	41,105	13,702	(27,403)	33%
Substance Abuse Program	136,500	45,500	(91,000)	33%
Wildlife Law Education	70,400	23,467	(46,933)	33%
Total State Special Project Appropriations	3,396,250	1,132,083	(2,264,167)	33%
Total Public Service Appropriations	3,396,250	1,132,083	(2,264,167)	33%

Statements of Revenues, Expenses and Changes in Net Assets Format for Regents
For the four month period ended October 31, 2013
Preliminary and Unaudited

Detail of State/Local Appropriations

Branch Campuses - Total Operations Current Funds

	FY 2014 Full Year Operating Budget	FY 2014 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 33%
Instruction and General				
Instruction & General Appropriations				
Gallup	9,118,300	3,039,433	(6,078,867)	33%
Los Alamos	1,876,000	625,334	(1,250,666)	33%
Valencia	5,350,000	1,783,333	(3,566,667)	33%
Taos	3,418,600	1,139,533	(2,279,067)	33%
Total Instruction & General Appropriations	19,762,900	6,587,633	(13,175,267)	33%
Mill Levy				
McKinley County	2,100,000	700,000	(1,400,000)	33%
Los Alamos County	686,500	228,833	(457,667)	33%
Valencia County	2,539,386	846,462	(1,692,924)	33%
Taos County	1,570,200	523,400	(1,046,800)	33%
Total Mill Levy	6,896,086	2,298,695	(4,597,391)	33%
Total Branch Appropriations	26,658,986	8,886,328	(17,772,658)	33%

Statements of Revenues, Expenses and Changes in Net Assets Format for Regents
For the four month period ended October 31, 2013
Preliminary and Unaudited

Detail of State/Local Appropriations
Health Sciences Center - Total Operations Current Funds

	FY 2014 Full Year Operating Budget	FY 2014 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 33%
Instruction and General				
Instruction & General Appropriations	61,748,700	20,427,033	(41,321,667)	33%
Tobacco Settlement Appropriations				
Instruction & General	610,524	203,508	(407,016)	33%
Pediatric Specialty Education	260,038	86,679	(173,359)	33%
Trauma Specialty Education	260,038	86,679	(173,359)	33%
Total Tobacco Settlement Appropriations	1,130,600	376,866	(753,734)	33%
Total Instruction and General Appropriations	62,879,300	20,803,899	(42,075,401)	33%
Research				
State Special Project Appropriations				
Cancer Center	2,663,774	882,300	(1,781,474)	33%
Hepatitis C, Project ECHO	1,482,622	492,200	(990,422)	33%
Total State Special Project Appropriations	4,146,396	1,374,500	(2,771,896)	33%
Tobacco Settlement Appropriations				
Genomics, Biocomputing, Environmental Health	979,800	326,600	(653,200)	33%
Total Tobacco Settlement Appropriations	979,800	326,600	(653,200)	33%
Cigarette Tax Appropriations	3,226,650	1,331,344	(1,895,306)	41%
Total Research Appropriations	8,352,846	3,032,444	(5,320,402)	36%
Public Service				
State Special Project Appropriations				
Center for Native American Health	271,594	90,033	(181,561)	33%
Total State Special Project Appropriations	271,594	90,033	(181,561)	33%
Total Public Service Appropriations	271,594	90,033	(181,561)	33%
Clinical Operations				
State Special Project Appropriations				
Newborn Intensive Care Unit	3,283,396	1,083,100	(2,200,296)	33%
Office of the Medical Investigator	4,797,800	1,587,067	(3,210,733)	33%
Pediatric Oncology	1,282,197	424,200	(857,997)	33%
Poison and Drug Info Center	1,524,915	503,400	(1,021,515)	33%
Native American Suicide Prevention	100,000	33,333	(66,667)	33%
UNM Hospitals	13,691,800	4,403,602	(9,288,198)	32%
Total State Special Project Appropriations	24,680,108	8,034,702	(16,645,406)	33%
Tobacco Settlement Appropriations				
Pediatric Oncology	261,400	87,133	(174,267)	33%
Poison and Drug Info Center	590,300	196,767	(393,533)	33%
Total Tobacco Settlement Appropriations	851,700	283,900	(567,800)	33%
Total Clinical Operations Appropriations	25,531,808	8,318,602	(17,213,206)	33%




University Services

University Services Business Operations	UNM Surplus Property
UNM Copy Center	UnivServ Shipping and Receiving
UNM Mailing Systems	UNM Inventory Control
UNM Records Management	Chem Stores/CRLS
http://www.unm.edu/~univserv/	Print Management Program

Date: November 15, 2013

To: Bruce Cherrin, Chief Procurement Officer
Purchasing Department

From: Debra L. Fondino 
Associate Director, University Services

Subject: Equipment Disposition – November 2013

Attached for your review and submission to the Board of Regents is the Surplus Property Disposition Detail list for the month of November, 2013.

Consistent with UNM Board of Regents Policy 7.9 and the Disposition of Surplus Property Act, 13-6-1, NMSA 1978, and based upon documentation submitted by the UNM departments responsible for the equipment, I certify that the equipment identified on the list is worn-out, unusable or obsolete to the extent that the items are no longer economical or safe for continued use by the University. I recommend that the items be deleted from UNM's inventory and disposed of in accordance with the above noted Regents Policy and Surplus Property Act.

Surplus Property Department
Disposition Detail List
as of 11/15/2013

UNM#	Department surplussing equipment	Description	Manufacturer	Model#	Serial#	Year	Acquisition Cost	NBV	Method Of Disposal	Disposal Date
(1) 105729	IM Div of Geriatrics	ULTRACENTRIFUGE/PREF	Beckman		501006	1976	\$15,675.00	\$0.00	Obsolete	11/1/2013
(1) 152301	IM Div of Geriatrics	CENTRIFUGE	Beckman		460M	1983	\$20,670.00	\$0.00	Obsolete	11/1/2013
183596	IM Div of Rheumatology	SPECTROPHOTOMETER	MiltonRoy	1001	1123823A	1988	\$6,762.00	\$0.00	Obsolete	11/1/2013
186454	IM Div of Rheumatology	CENTRIFUGE	Sovall	RT6000		1989	\$6,802.00	\$0.00	Obsolete	11/1/2013
187078	Center for High Tech Materials	ANALYZER GAS	Leybold	017 010 G1	27 0339	1989	\$8,973.00	\$0.00	Obsolete	11/15/2013
214726	Communication Journalism	MIXER DIGITAL AV	Panasonic	WJMX50	57A00506	1996	\$5,111.00	\$0.00	Obsolete	11/8/2013
246243	Cancer Research Treatment Ct	WATER PURIFIER	Megapure	6 Liter		2001	\$5,024.00	\$586.24	Obsolete	11/8/2013
(2) 267135	Batcave	LAPAROSCOPE	MedTeclnc	MIST Trainer1	90BZP0J26131	2004	\$42,925.00	\$0.00	Obsolete	11/15/2013
267685	Pathology Department	Microfuge & Rotor Assembly	Beckman	22R-120V	MHB03K019	2004	\$5,833.00	\$0.00	Obsolete	10/27/2013
N00002434	Speech Hearing Science Facul	Speech-Output System	DynavoxSys	DV4-E-USA		2005	\$7,295.00	\$0.00	Obsolete	11/1/2013
N00002472	Speech Hearing Science Facul	Communication (AAC) Devic	AssisTech	Mercury Silver (Option 1)		2005	\$7,942.12	\$0.00	Obsolete	11/1/2013
N00003779	Pharmacy Practice Faculty #10	DESKTOP COMPUTER W/ : Apple		PMG5 Q87 CTO 2.7 G85185W8RU0 / 2		2005	\$5,258.00	\$0.00	Cannibalized	11/1/2013

Disposition value: \$138,270.12

Disposition bookvalue: 586.24

Total Dispositon Items: 12

11/15/13

Additional Notes to Surplus listings dated 11/15/13:

1 –Assets# 105729 and # 152301 are two centrifuges from the SOM Department of Internal Medicine that are 30-plus years old, and as such have become obsolete. The department has offered the equipment to other researchers within Internal Medicine as well as to external departments; however, due to their obsolescence they were declined.

2-Asset# 267135 is a Laparoscopic Trainer purchased for training in the UNMH BATCAVE/Community Training Center. The 2004 software is outdated and does not have an updated version. Replacement parts cannot be found since they are no longer being made. The laparoscope has been replaced with a unit with the latest technology.

----- Note: Any item of value in this list will be re-sold through all means available, i.e.:
Request for Bid, Auction house, special auction, online sale, to recover the maximum monies to re-
invest in the mission of the requesting department.




*Division of Human Resources
Office of the Vice President*

MEMORANDUM

TO: Members of the Board of Regents Finance & Facilities Committee

THROUGH: David W. Harris, Executive Vice President Administration, COO/CFO

FROM: Jewel Washington, Interim Vice President of Human Resources 

DATE: November 21, 2013

SUBJECT: Appointment to the UNM Labor Management Relations Board

The University of New Mexico (UNM) Labor Management Relations Resolution adopted by the Board of Regents in May 2006 created the University of New Mexico Labor Management Relations Board and provided for the annual appointment of three Labor Management Relations Board members to serve annual terms. One member is appointed by the Board of Regents on the recommendation of individuals representing Labor, one member is appointed by the Board of Regents on the recommendation of Management, and the third member is appointed by the Board of Regents on the recommendation of those first two members.

Pursuant to the Labor Management Relations Resolution, labor has recommended the appointment of Joseph Allotta, Esq. as the labor representative. Mr. Allotta's information is attached.

Labor recommends that the Board of Regents appoint Joseph Allotta, Esq. to the Labor Management Relations Board.

YOUTZ & VALDEZ, P.C.
ATTORNEYS AT LAW

SHANE C. YOUTZ, NM, CA
shane@youtzvaldez.com

PHONE: 505-244-1200
FAX: 505-244-9700

GABRIELLE M. VALDEZ, NM
gabrielle@youtzvaldez.com

STEPHEN CURTICE, NM
stephen@youtzvaldez.com

JAMES A. MONTALBANO, NM
james@youtzvaldez.com

November 21, 2013

Kevin G. Gick
Assistant University Counsel
Office of University Counsel
MSC05 3440
1 University of New Mexico
Albuquerque, NM 87131-0001

Re: University of New Mexico Labor Management Relations Board

Dear Mr. Gick,

With the retirement of Carol Oppenheimer as the Employee Representative on the University of New Mexico Labor Management Relations Board, the time has come to appoint a new Employee Representative.

Enclosed please find letters of endorsement from District 1199NM, National Union of Hospital and Health Care Employees, United Staff UNM, and Communications Workers of America, to appoint Joseph Allotta as the Employee Representative to the Board. Mr. Allotta's current resume is also enclosed.

Please present this nomination to the UNM Board of Regents at the next scheduled Regents meeting.

If you have any questions, please contact me. Thank you.

Sincerely,


Shane Youtz

Enclosures

cc: Ryan Randall, Labor Relations Director, UNM Hospitals
Magdalena Vigil-Tular, Employee Relations Director, UNM
Rita Siegel, Counsel for UNM Labor Management Relations Board
Fonda Osborn, President, District 1199NM, NUHHCE
Robin Gould, CWA Staff Representative
Doris Williams, President, US-UNM
UNM POA, c/o Fred Mowrer
Bill Bradley, Organizer, CIR/SEIU

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900 GOLD AVENUE SW
ALBUQUERQUE, NEW MEXICO 87102



www.youtzvaldez.com

CALIFORNIA OFFICE:
555 CAPITOL, SUITE 700
SACRAMENTO, CALIFORNIA 95814

JOSEPH J. ALLOTTA

Business Address:

2 Black Mesa Trail
Placitas, New Mexico 87043
Ph. (505) 867-9452
E-Mail: 1attorneyjoe@gmail.com

PERSONAL DATA

Married: July 17, 1971
Three Adult Children

EDUCATION

American University - Graduated in 1969, Bachelor of Arts
Major: Government and Public Administration
Minor: Business
Activities: Involved in numerous aspects of Student Government.
Honors: Selected: Outstanding Fraternity Man; Who's Who in American College and University; Omicron Delta Kappa, National Honor Society

Case Western Reserve University - Graduated 1972, Juris Doctor
Articles Editor - Law Review, 3rd year
Associate - Law Review, 2nd year
Associate - International Law Journal, 2nd & 3rd years

EMPLOYMENT EXPERIENCE

June 2008 – Present: Of Counsel, Allotta, Farley & Widman Co., L.P.A.
2008–2012: General Counsel, United Food and Commercial Workers, Local 1564
2003 – 2008: Founder and Active Attorney, Allotta, Farley & Widman, L.P.A.
1979 - 2003: Founder and Managing Partner - Allotta and Farley Co., L.P.A.
1974 - 1979: Associate - Gallon, Kalniz & Iorio
1972 - 1974: Law Clerk - United States District Court, The Honorable Don J. Young

BAR ADMISSIONS

Ohio Supreme Court - 1972

United States Court of Appeals for the Fourth, Sixth and Seventh Circuits - 1990, 1973 and 1995, respectively

United States District Court for the Northern District of Ohio - 1972

United States District Court for the Southern District of Ohio - 1995

PUBLISHED ARTICLES

"Standards of Proof in Discharge Arbitration: A Practitioner's View," Labor Law Journal, Volume 5, No. 7, pg. 424 (July 1984)

"Application of the Exclusionary Rule to Arbitration Proceedings," Labor Law Journal, Volume 37, No. 6, pg. 323 (June 1986)

"The Appropriate Test in Determining Union Liability in Employment Discrimination Cases," The Labor Lawyer, Volume 5, No. 1, pg. 27 (Winter 1989)

PROFESSIONAL MEMBERSHIPS

Ohio State Bar Association, Labor Law Section - Governor, 1978 to 1988

American Bar Association, Labor and Employment Law Section - Chair of Subcommittee on Employee Benefits (1990) and a prior Member of Labor Arbitration and Collective Bargaining

Toledo Bar Association, Federal Court Committee; Labor Law Committee
1980 to 2008

International Foundation of Employee Benefits – 1991 to Current

Lawyers Coordinating Committee – 1985 to Current

PROFESSIONAL ACTIVITIES AND AWARDS

Selected by National Law Journal as one of 2013 Top Rated Lawyers

Selected as one of 2013 Top Rated Lawyer in Labor and Employment Law by Martindale and Hubbell and American Lawyer Media

Strathmore's Who's Who – 2012 Edition

Covington's Who's Who – 2012/2013 Registry

Madison Who's Who – 2012 Edition

Princeton Global Network, Who's Who - 2012 Edition

The Best Lawyers in America in the practice area of Labor and Employment Law – 2011 edition

Cambridge's Who's Who Registry among Executives and Professionals – 2010/2011 Edition

Cambridge's Who's Who – 2010/2011 Edition

College of Labor and Employment Lawyers - Inducted as Fellow – 1996 To Present

Global Directory of Who's Who – 2007, 2009 and 2010 Editions

The Heritage Registry of Who's Who – Executives and Professionals – 2010 Edition

International Association of Business Leaders – 2010/2011 Edition

Lecturer, Business Law, University of Toledo - 1973 to 1974

Marquis Who's Who in America – 61st & 62nd Editions

Marquis Who's Who in American Law – 2005 and 2006, 2007-2008 Editions

Marquis Who's Who in Finance and Business - 36th Edition

Metropolitan Registries – Honors and Award 2004 Edition

Ohio Bar College Member - 1991 through 2008

Ohio Patrolmen's Benevolent Association Award of Merit - 1986

Ohio State Bar Association Certificate of Merit - 1985

Ohio Union of Patrolmen's Association Distinguished Service Award - 1989

Premier International Who's Who Registry of Outstanding Professionals - 2007-2008 Edition

Presidential Who's Who - 2011 Edition

Prestige International Who's Who for Outstanding Professionals – 2006-2007

Prestige Listing of Who's Who – 2006 and 2007 Editions

Collection Law From Start to Finish Seminar January 29, 2007 Speaker

Strathmore Who's Who – 2005, 2006, 2007, 2008 & 2010 Editions

Strathmore's Who's Who in Business Elite – 13th Edition

Strathmore's Who's Who, Executive and Professional Registry - 2007-2008 Edition

Strathmore's Who's Who World Wide – 2010 Edition

Super Lawyers – 2004, 2005, 2006, 2007, 2008 and 2009 Editions

Toledo Christian Legal Association

Contributing Editor, How Arbitration Works, 6th Ed., 2008 Supplement

Who's Who Among Executives and Professionals – 2010 Edition

Who's Who in America – 2008 Edition

Who's Who in the World – 2005 Edition

Speaker at Numerous Legal and Non-Legal Conferences and Seminars

OTHER ACTIVITIES

Precinct Committee person - 1983 to 2008
Vestry Member, St. Matthew's Episcopal Church (OH) - 1986 to 1989
Episcopal Social Welfare Council - 1991 to 1995
Manager, Pacesetter Soccer Club - 1990 to 1993
President, St. Matthews Foundation - 1989 to 1999 and 2001 to 2008
Finance Committee, St. Chad's Albuquerque - 2008 to present
Vestry Member, St. Chad's Episcopal Church (NM) - 2009 to 2012
ATHOA, Homeowners Assoc.- Director 2009, Vice-President 2010 to 2013,
Secretary 2013 to present.
Outreach Programs - 1980 to Present

DISTRICT



National Union of Hospital and Health Care Employees
AFSCME / AFL-CIO
130 Alvarado Dr. NE • Suite 100
Albuquerque, New Mexico 87108
Phone: (505) 884-7713 • Fax: (505) 884-7667
www.nmhospitalworkersunion.com



November 8, 2013

Kevin G. Gick
Assistant University Counsel
Office of University Counsel
Employment Law Group
Scholes Hall 208, MSC05 3440
1 University of New Mexico
Albuquerque, NM 87131-0001

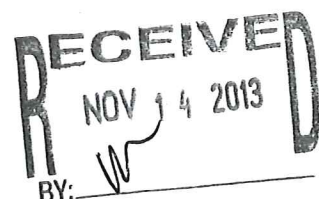
Re: University of New Mexico Labor Management Relations Board

Dear Mr. Gick,

Please accept this letter as the official endorsement by National Union of Hospital and Healthcare Employees, District 1199NM/AFSCME of Joseph Allotta, Esq., as the Employee Representative on the University of New Mexico Labor Management Relations Board.

Sincerely,

Fonda Osborn
President
District 1199NM



United Staff UNM Union

UNITED STAFF UNM UNION, *An Independent Voice!*

P.O. Box 27439

Albuquerque, NM 87124

Email: unitedstaffunm@gmail.com

November 14, 2013

Kevin G. Gick
Assistant University Counsel
Office of University Counsel
Employment Law Group
Scholes Hall 208, MSC05 3440
1 University of New Mexico
Albuquerque, NM 87131-0001

Re: University of New Mexico Labor Management Relations Board

Dear Mr. Gick,

Please accept this letter as the official endorsement by United Staff UNM Union of Joseph Allotta, Esq., as the Employee Representative on the University of New Mexico Labor Management Relations Board.

Sincerely,



Doris Williams, President of United Staff UNM Union

**Communications
Workers of America**
AFL-CIO, CLC

8085 E. Prentice Avenue
Greenwood Village, CO 80111-2745
303-770-2822-Phone 303-793-7927-Fax



November 8, 2013

Kevin G. Gick
Assistant University Counsel
Office of University Counsel
Employment Law Group
Scholes Hall 208, MSC05 3440
1 University of New Mexico
Albuquerque, NM 87131-0001

Re: University of New Mexico Labor Management Relations Board

Dear Mr. Gick,

Please accept this letter as the official endorsement by the Communications Workers of America of Joseph Allotta, Esq., as the Employee Representative on the University of New Mexico Labor Management Relations Board.

Sincerely,

Brenda Roberts
Administrative Assistant to the Vice President
CWA District 7

BR:ek opeiu 30 afl-cio



TAB 7

This agenda item has been removed.



November 22, 2013

TO: UNM Board of Regents Finance and Facilities Committee
FROM: Selena Salazar, Office of the University Secretary
SUBJECT: Approval of naming request for new Lobo Baseball clubhouse

Upon recommendation of the Assistant Athletics Director for Major Gifts Ed Manzanares, the University Naming Committee approved the naming of the item listed below:

1. New Lobo Baseball clubhouse, The R.D. and Joan Dale Hubbard Clubhouse. This request for regent approval is pursuant to Regent's Policy 2.11. The space is part of the exterior environment and requires Board of Regent approval.

Please place these items on the next Finance and Facilities meeting agenda for consideration.

Thank you.

Attachment



MEMORANDUM

Date: November 22, 2013

To: Dr. Robert Frank, President, The University of New Mexico

From: Dr. Breda Bova, Chair, UNM Naming Committee

Re: UNM Naming Committee Approval from November 20, 2013

The Naming Committee has reviewed and voted on the following naming request and recommends your approval. A copy of the departmental request along with UNM Policy 1020 is attached for your reference.

1. Naming Request from Athletics

- Request to name, **"The R.D. and Joan Dale Hubbard Clubhouse"**.
UNM Policy 1020 Section 3.2.1 Class I

Please call me at 277-2915, if you have any questions or wish to discuss in further detail.

Approved Robert G. Frank
November 26, 2013



MEMORANDUM

Paul Krebs, Vice-President, Athletics
University of New Mexico, Department of Athletics
1 University of New Mexico, MSC04 2680
Albuquerque, NM 87131-0001
Phone: 505/925-5510 Fax: 505/925-5534

TO: BREDA BOVA

FROM: PAUL KREBS, VICE PRESIDENT FOR ATHLETICS

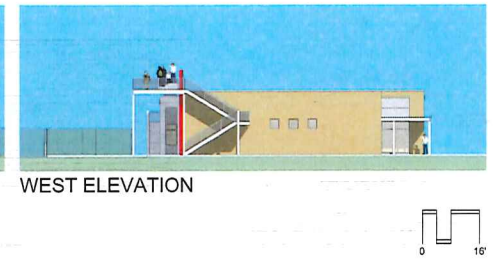
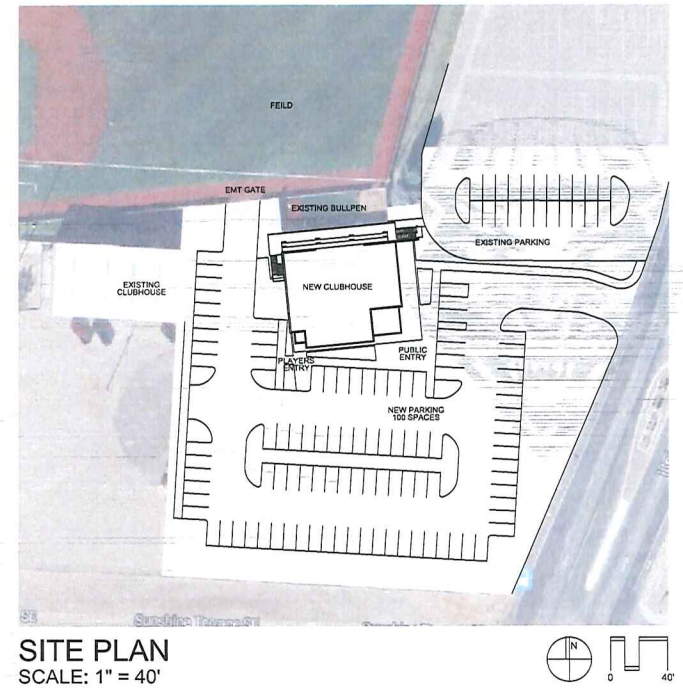
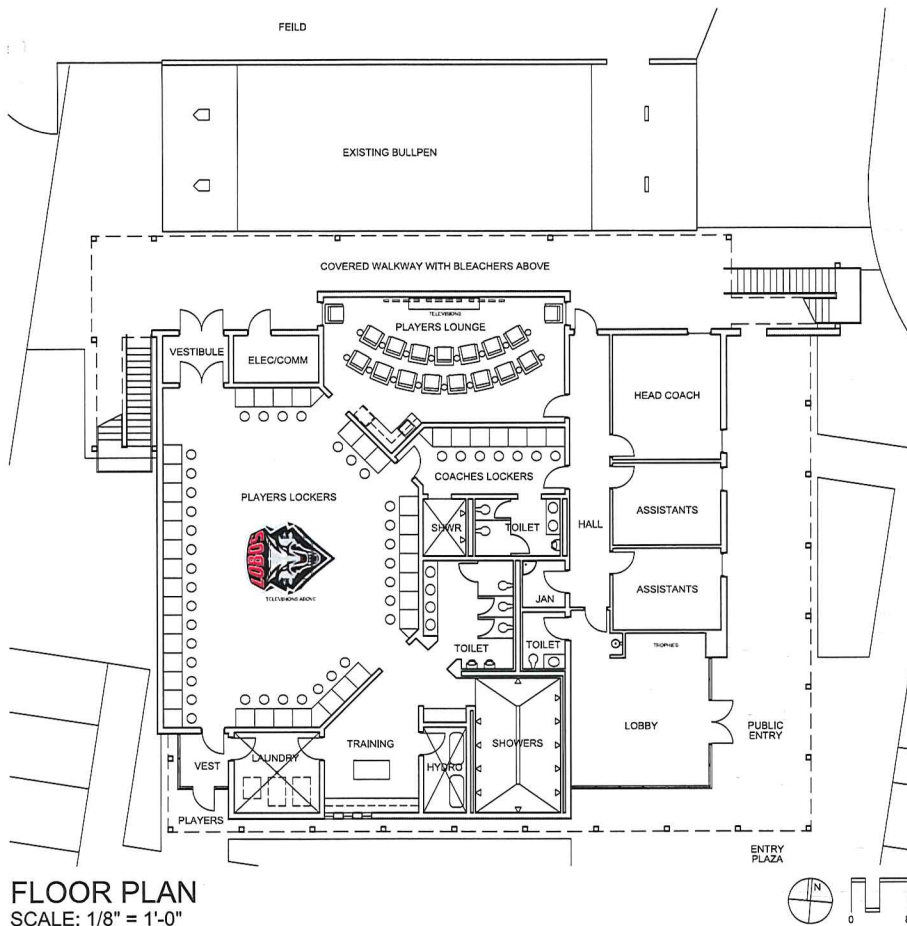
RE: NAMING RIGHTS FOR BASEBALL CLUBHOUSE

DATE: NOVEMBER 14, 2013

THE UNM DEPARTMENT OF INTERCOLLEGIATE ATHLETICS RESPECTFULLY REQUESTS THE APPROVAL FOR NAMING THE NEW LOBO BASEBALL CLUBHOUSE IN HONOR OF R.D. AND JOAN DALE HUBBARD. R.D. AND JOAN DALE HUBBARD MADE A COMMITMENT OF \$500,000 TO THE LOBO FIELD RENOVATION PROJECT, WHICH INCLUDES A NEW CLUBHOUSE.

THE TOTAL COST OF THE PROJECT IS EXPECTED TO BE \$1,000,000 SO THE \$500,000 GIFT IS 50% OF THE PROJECT THEREFORE; QUALIFYING UNDER THE CURRENT NAMING POLICY. THE OFFICIAL NAME WOULD BE THE R.D. AND JOAN DALE HUBBARD CLUBHOUSE.

WE APPRECIATE YOUR CONSIDERATION OF THIS REQUEST.





Administrative Policies and Procedures Manual - Policy 1020: Naming Facilities, Spaces, Endowments, and Programs

Date Originally Issued: 12-01-2001

Revised: 09-06-2005, 11-01-2006

Subject to Change Without Notice



Authorized by UNM Regents' [Policy 2.11 "Naming Facilities, Spaces, Endowments and Programs"](#)

1. General

The University of New Mexico Board of Regents adopted [RPM 2.11 "Naming Facilities, Spaces, Endowments and Programs"](#) on November 13, 2001, and instructed the President to develop this policy to describe the process for obtaining approval to name a facility, space, endowment, or program in honor of an individual, corporation, or other organization. The University welcomes the opportunity to honor those who have rendered extraordinary service or support to UNM. The criteria listed in this policy may change with economic conditions and University priorities. Their purpose is to set reasonable guidelines which may need to be adapted to each specific situation. The guidelines in this policy on naming in honor of benefactors applies to all prospective donors to any University college, program, campus, or organization and to the UNM Foundation.

2. Criteria for Selection of Honorees

Naming a facility, space, endowment, or program for an individual, organization, or corporation is one of the highest honors that the University can bestow. This recognition is a lasting and powerful affirmation of the honoree's connection to the University's mission. As such, honorees shall have exemplary character, an unqualified reputation for honesty, personal integrity and the highest standards of personal and professional ethics.

2.1. Extraordinary University Service

Honorees who have been employed by the University shall have given extraordinary service to the institution in a teaching, research, service, or administrative field with such exceptional distinction that their contributions are widely recognized by their peers, both at the University and elsewhere. The recognition afforded the honoree may also include private financial contributions related to the naming opportunity. Honorees may not be current employees, individuals in active service at the University, or those holding elected office at the time of the naming, unless the circumstances are exceptional and approved by the Board of Regents.

2.2. Private Financial Support

Individuals who have not been University employees, corporations, and other organizations may be considered for such recognition if they have made significant financial contributions to the University. A bequest or legacy gift from a

donor who is still alive will not normally be considered for a naming opportunity. Determination of what constitutes a significant financial contribution is made on a case-by-case basis taking into consideration the total cost of the project, the availability of other funds, and the following guidelines:

- New facilities -- fifty percent (50%) of new construction costs or three million dollars whichever is greater.
- Renovated facilities -- seventy-five percent (75%) of the cost of renovating a facility.
- Existing facilities without renovation -- seventy-five percent (75%) of the fair market value of the facility.
- Portable items -- donation of the collection or at least fifty percent (50%) of the value of the collection.
- Tribute markers -- fifty percent (50%) of the cost or value of associated items (e.g. trees, gardens).
- Endowed chairs and professorships -- full funding of the endowment.
- Programmatic Entities -- determined on a case-by-case basis.

Costs for the determination are defined by the University Office for Capital Projects for physical facilities and by the UNM Foundation for endowed positions.

3. Approval Process

Approval requirements for naming UNM facilities, spaces, endowments, and programs are based on the impact of such action on the University of New Mexico. Whenever there is a fundraising effort for a major facility, a plan must be developed which lists naming criteria and funding amounts for various components of the facility (e.g., rooms, laboratories, offices). This plan must be submitted to the Regents' Finance and Facilities Committee as an information item before specific individuals or organizations are approached for specific naming recognition. Whenever possible for other types of naming opportunities, a plan should be developed before specific donors are approached. Plans must include realistic goals, objective analysis of fund raising potential, lists of prospective donors, timelines specifying when the campaigns will begin and end, budgets for all fundraising activities, and contingency plans if the fundraising goals are not met.

All plans and specific requests for naming must be submitted by the appropriate dean/director or Branch Campus Advisory Committee through the dean's/director's vice president to the Committee on Naming Facilities, Spaces, Endowments, and Programs. If the request involves private funds, the vice president will submit the request to the Associate Vice President for Development who will forward it to the Committee. The Committee Chair will forward the Committee's recommendation and obtain the necessary approvals. Gift commitments must be signed by the donor and the Associate Vice President for Development and submitted in writing to the Committee. Every attempt will be made to make a named gift opportunity decision within sixty (60) days from submission of the plan to the Committee. In the best interest of the University and prospective honorees, information relating to any naming request or plan should remain confidential until appropriate approvals have been obtained.

3.1. Committee on Naming Facilities, Spaces, Endowments, and Programs

The Committee shall have the following members: Provost/Executive Vice President for Academic Affairs, Executive Vice President for Administration, Vice President for Advancement, Vice President for Student Affairs, Executive Vice President for the Health Sciences Center, Associate Vice President for Development, University Secretary (official record-keeper), the Senior Advisor to the President, and a faculty member appointed by the President. The President will name the Chair. The Committee is responsible for:

- reviewing naming opportunities and associated plans to ensure adequacy/appropriateness of funding proposal;

- reviewing specific naming requests to ensure they comply with Regents' policy and are in the best interests of the University;
- ensuring adequacy of funding proposals; and
- making recommendations to the President or the vice president with approval authority for the specific classification.

3.2. Request for Approval

The level of approval for naming UNM facilities, spaces, endowments, and programs depends on the classification of the item. The plan and each specific naming opportunity must be approved according to the following criteria.

3.2.1. Class I

Class I includes facilities and spaces that are part of the outside environment of the University; for example, buildings, complexes of buildings, roads, walkways, playing fields, parks, gardens, and agricultural or forestry plots. Names in this class must be approved by the Board of Regents upon recommendation of the President following consultation with the Committee on Naming Facilities, Spaces, Endowments, and Programs.

3.2.2. Class II

Class II includes facilities and spaces that are part of interior space of the University; for example, library/reading rooms, laboratories, seminar rooms, galleries, recreational courts, and lounges. Names in this class must be approved by the President following consultation with the Committee on Naming Facilities, Spaces, Endowments, and Programs. Depending on the specific Class II facility (for example, large public spaces) the President may decide to submit the request to the Board of Regents for approval.

3.2.3. Class III

Class III includes collections or groups of portable items, which are identifiable because of a specific focus or purpose; for example, collections of art and/or artifacts. Names in this class must be approved by the Provost/Executive Vice President of Academic Affairs or the Executive Vice President for Health Sciences, depending on the location of the Class III material, following consultation with the Committee on Naming Facilities, Spaces, Endowments, and Programs.

3.2.4. Class IV

Class IV addresses tribute markers, which include plaques, medallions or other markers usually in association with such features as trees, benches, or small monuments. The display of tribute markers must be approved by the Executive Vice President for Administration following consultation with the Committee on Naming Facilities, Spaces, Endowments, and Programs.

3.2.5. Endowed Chairs and Professorships

The Board of Regents, following consultation with the President and the Committee on Naming Facilities, Spaces, Endowments, and Programs shall approve the establishment of endowed chairs and professorships based on:

- the endowment levels established by the UNM Foundation;
- the appropriateness of the specific naming consistent with UNM policy;

- a plan for raising the full amount required to fund the endowment including the length of time for raising the funds and alternate arrangements if the funds are not raised within the specified time;
- guidelines for spending prior to full funding of the endowment; and
- a process for monitoring spending to assure conformance with intent.

3.2.6. Programmatic Entities

Naming of programmatic entities (academic and non-academic programs) whether separate organizational units or not (departments, schools, colleges, institutes, centers, conferences, symposia, activities, organizational units, etc.) will only take place in exceptional circumstances. The naming of programmatic entities is a sensitive matter and must include acceptance and agreement from many different constituencies on campus with final approval required from the Board of Regents upon recommendation of the President following consultation with the Committee on Naming Facilities, Spaces, Endowments, and Programs. Before recommending any such naming, the President must ensure:

- the proposed name is consistent with the University policy regarding honorees;
- the autonomy of the programmatic entity and the academic freedoms to which UNM is committed will be safeguarded; and
- the level of private financial support has been taken into consideration.

The Board of Regents reserves the right to review for approval, on a case-by-case basis, any naming request not specifically addressed above and not otherwise delegated by the Board of Regents to the President of the University.

4. Formal Recognition

When name recognition has been extended for a gift received, it must be honored in accordance with a written gift agreement signed by University officials and the donor(s). In the event of significant changes in circumstances, the University reserves the right to determine the form of name recognition, in consultation with the donor when possible. The University Secretary is responsible for recording the official decisions on all naming requests and reporting those decisions to the appropriate UNM officials with a copy to University Archives.

5. Duration of Name

Naming of facilities, spaces, endowments, and programs in honor of individuals is generally expected to last the lifetime of the facility, space, endowment, or program. Naming of facilities, spaces, endowments, and programs in honor of corporations or other organizations will have a set number of years attached to the naming which will be determined on a case-by-case basis and listed in the signed gift agreement; typically the duration of such naming shall not exceed twenty-five (25) years. Naming associated with a particular facility, space, endowment, or program does not preclude further naming within the facility, space, endowment, or program.

6. Implementation

This policy does not apply to any arrangements that are in existence at the date this policy was adopted, nor to arrangements that may be made pursuant to discussions with donors that had commenced at the date the policy was adopted, even though the arrangements do not conform to this policy.

LOBO DEVELOPMENT

PARTNERSHIP + INVESTMENT + COMMUNITY

801 University Blvd. SE, Suite 207
Albuquerque, NM 87106
505-925-1600
<http://lobodevelopment.org>

November 14, 2013

Mr. David W. Harris
EVP for Administration, COO & CFO
The University of New Mexico
Albuquerque NM 87131

RE: Regents' Finance & Facilities Committee Agenda Item
Lobo Development Corporation Income/Expenses, March 2008-Sept. 2013

Dear EVP Harris,

The Lobo Development Corporation Board of Directors respectfully requests that you place the attached Lobo Development Corporation Income/Expenses, March 28, 2008 through September 30, 2013 information item on the agenda for the December 6, 2013 meeting of the Board of Regents' Finance & Facilities Committee.

Sincerely,

A handwritten signature in blue ink, appearing to read "Chris Vallejos".

Chris Vallejos
Secretary/Treasurer

Lobo Development Corporation
Income/Expenses
March 28, 2008 through September 30, 2013

REVENUE/INCOME

Main Campus ACC/Student Housing	\$ 2,129,713
South Campus ACC/Student Housing	\$ 945,144
Gibson Fire Station Sale	\$ 827,229
Mesa del Sol	\$ 959,652
933 Bradbury, 1312 Basehart, UNM West	\$ 1,066,933
RED Reimbursements	\$ 7,191
Misc. Interest Income	\$ 82,826
Total Revenue/Income	\$ 6,018,688

SOUTH CAMPUS EXPENSES

Gibson Corridor	\$ 1,565,441
Gibson-City Fire Station	\$ 85,974
Fairmount Properties	\$ 239,907
South Campus Student Housing	\$ 605,792
Other South Campus Expenses* <i>(see below)</i>	\$ 232,701
Total South Campus Expenses	\$ 2,729,815

OTHER EXPENSES

Other Project Expenses** <i>(see below & page 2)</i>	\$ 942,270
LDC	\$ 957,119
UNM Budget Assessment	\$ 587,500
Misc. Interest Expense	\$ 5,499
Total Other Expenses	\$ 2,492,388

TOTAL EXPENSES	\$ 5,222,203
NET REVENUE	\$ 796,485

***Other South Campus Expenses:** Athletics, Golf Course, Mesa del Sol/Apture, Econ Dev, Clinic, Retail MP, Vagabond Hotel

****Other Project Expenses:** First Baptist, Central Campus Student Housing, Comm Dev-CC, Lomas Corridor, Central & University, Lomas & University, Lomas Hotel, Camino del Salud @ Univ, Comm Dev-NC, Continuing Care, Sandia Foundation bldgs, Innovation Square, Master Plan, Rio Rancho

****Other Project Expenses** breakdown

First Baptist Church	\$	22,038
Lomas Corridor	\$	28,588
Central & University	\$	2,573
Comm Dev-Central Campus	\$	5,165
Lomas & University	\$	105,973
Lomas Hotel	\$	39,992
Camino de Salud @ University	\$	15,300
Comm Dev-North Campus	\$	167
Commercial Development	\$	180,879
Continuing Care	\$	1,002
Sandia Foundation Purchases	\$	11,144
Student Housing-Central Campus	\$	502,236
Student Support-Central Campus	\$	9,745
Innovation Square	\$	723
UNM Master Plan	\$	2,669
Rio Rancho	\$	14,078
	\$	<u>942,270</u>

Lobo Development Corporation
South Campus Commercial Development Expense Report
March 2008 through September 2013

GIBSON CORRIDOR

\$741,255	Balance of Fire Station Sale	
-\$508,460	Consulting Services	
	Amy Coburn	-\$158,951
	Forest City Commercial Management, Inc.	-\$317,000
	Steve Beffort	-\$32,509
-\$225,429	Engineering Services	
	Bohannon Huston	-\$134,739
	City of Albuquerque	-\$17,206
	Wilson & Company, Inc.	-\$73,484
-\$57,181	Architects	
	Dekker/Perich/Sabatini	-\$44,523
	KA Inc.	-\$12,658
-\$10,134	Legal	
	Modrall Sperling Roehl Harris & Sisk, PA	-\$3,381
	Rodey Law Firm	-\$6,753
-\$653,766	Construction	
	New Mexico Gas Company	-\$4,405
	Public Service Company of New Mexico	-\$9,108
	Salls Brothers Construction, Inc.	-\$579,423
	Southwest Line & Cable Company	-\$60,831
-\$30,000	Study	
	Retail GeoScore LLC	-\$30,000
-\$80,471	Misc	
	Interns	-\$40,717
	Environmental Inspections	-\$15,630
	Other	-\$24,124
<hr/>		
-\$1,565,441	Total Expenses	
<hr/>		
-\$824,186	Net	
\$313,610	Revenue from South Campus Student Housing	
\$510,576	Revenue from Central Campus Student Housing	
<hr/>		
\$0		

GIBSON-CITY FIRE STATION

\$827,229	Gibson Fire Station Sale Proceeds	
-\$17,716	Engineering	
	Bohannon Huston Inc.	-\$15,997
	Alpha Professional Surveying Inc.	-\$1,719

- \$11,878	Appraisal/Study	
	Dekker/Perich/Sabatini	-\$7,170
	Joshua Cannon & Assoc., Inc.	-\$2,622
	Vinyard & Associates, Inc.	-\$2,087
- \$55,286	Legal	
	Rodey Law Firm	-\$55,286
- \$1,094	Misc	
- \$85,974	Total Expenses	
\$741,255	Net Revenue	
- \$741,255	<i>Transfer to Gibson Corridor Costs</i>	
\$0		

FAIRMOUNT PROPERTIES COSTS

\$97,315	Consulting Services	
	Altura Real Estate LLC	\$30,687
	FC Asset Services, LLC	\$44,645
	Steve Beffort	\$21,983
\$141,030	Legal	
	Rodey Law Firm	\$141,030
\$1,562	Misc	
\$239,907	Total Expenses	

SOUTH CAMPUS STUDENT HOUSING

\$945,144.44	SC Student Housing Ground Lease Payments to date	
- \$221,107	Consulting Services	
	Amy K. Coburn	-\$118,024
	Harry N. Relkin	-\$103,083
- \$8,814	Engineering Services	
	Bohannon Huston Inc.	-\$8,246
	Lea Environmental LLC	-\$568
- \$50,997	Architects	
	Dekker/Perich/Sabatini	-\$50,997
- \$322,200	Legal	
	Rodey Law Firm	-\$322,200
- \$2,674	Misc	
- \$605,792	Total Expenses	
\$339,353	Net Revenue	
- \$339,353	<i>Transfer to Gibson Corridor Costs</i>	
\$0		



Office of Capital Projects

**MEMORANDUM TO ADVANCE
COMMITTEE AGENDA ITEM TO
THE BOARD OF REGENTS
THE UNIVERSITY OF NEW MEXICO**

DATE: November 21, 2013

TO: David W. Harris, EVP for Administration, COO & CFO

FROM: Chris Vallejos, Associate Vice President, Institutional Support Services

RE: Office of Capital Projects Executive Summary Report

INFORMATION ITEM:

The attached report is submitted for information only.

1. Office of Capital Projects Executive Summary Report dated December 2013

cc: Lisa Marbury, Interim Executive Project Director, Institutional Support Services
Rick Henrard, Interim Director, Office of Capital Projects
Tony Sanchez, Associate Project Manager, Office of Capital Projects
Christina Martinez, Unit Administrator, Office of Capital Projects

UNM Capital Projects Executive Summary Report

As of December 2013

All Projects

Total Active Projects	35	Total Funding	\$44,726,248	New Projects	3
Total SF	349,576	Total Cost	\$67,674,748	Completed Projects	1

Major Capital Projects > \$500,000

Total Active Projects	12	Total Funding	\$42,079,647	New Projects	1
Total SF	307,115	Total Cost	\$64,978,147	Completed Projects	0

Minor Capital Projects between \$1000 and \$500,000

Total Active Projects	23	Total Funding	\$2,646,601	New Projects	2
Total SF	42,461	Total Cost	\$2,696,601	Completed Projects	1

Major Capital Report

<i>Schedule Info</i>	<i>Project Name</i>	<i>Architect</i>	<i>Contractor</i>	<i>SF</i>	<i>Project Funding</i>	<i>Est. Project Cost</i>
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Planning

1	Planning Start / Finish: 11/6/2012 – 6/15/2013 Design Start / Finish: 1/31/2014 – 2/20/2015 Const. Start / Finish: 4/20/2015 – 7/29/2016	Chemistry Building Renovations (Clark Hall)		81339	\$16,000,000	\$16,000,000
2	Planning Start / Finish: 6/1/2013 – 3/15/2014 Design Start / Finish: 5/1/2014 – 5/1/2015 Const. Start / Finish: 5/4/2015 – 5/4/2017	Farris Hall Renovations Planning	Rohde May Keller McNamara Architecture	TBD	77237	\$801,500 \$23,700,000
3	Planning Start / Finish: – Design Start / Finish: 10/1/2013 – 5/30/2014 Const. Start / Finish: 2/3/2014 – 11/28/2014	Taos Klauer Campus Renovations and Upgrades			\$1,768,212	\$1,768,212
4	Planning Start / Finish: 5/1/2013 – 11/22/2013 Design Start / Finish: 2/12/2014 – 6/27/2014 Const. Start / Finish: 8/4/2014 – 4/30/2015	Taos Klauer Campus Student Services Center Renovations		12141	\$2,231,788	\$2,231,788

<i>Schedule Info</i>	<i>Project Name</i>	<i>Architect</i>	<i>Contractor</i>	<i>SF</i>	<i>Project Funding</i>	<i>Est. Project Cost</i>
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5	Planning Start / Finish:						
	6/1/2012 – 6/1/2013						
	Design Start / Finish:	Zimmerman Library Combined Service Point (CSP) Ph 2	PCD Robert Doran	OCP - GC	18000	\$940,000	\$940,000
	11/5/2013 – 4/4/2014						
	Const. Start / Finish:						
	5/12/2014 – 8/1/2014						

Total Projects 5 *Total SF* 188,717 *Total Cost* \$44,640,000

Programming

6	Planning Start / Finish:					
	-					
	Design Start / Finish:	HSC IDTC 3rd Floor Lab Renovation DoIM	FBT Architects	2315	\$507,147	\$507,147
	12/16/2013 - 3/31/2014					
	Const. Start / Finish:					
	6/1/2014 - 10/31/2014					

Total Projects 1 *Total SF* 2,315 *Total Cost* \$507,147

Design

7	Planning Start / Finish:					
	-					
	Design Start / Finish:	1650 University Tenant Build-Out	FBT Architects		104897	\$7,400,000
	6/1/2013 - 10/14/2013					
	Const. Start / Finish:					
	12/16/2013 - 10/17/2014					
8	Planning Start / Finish:					
	3/1/2013 - 5/1/2013					
	Design Start / Finish:	Biology Building Completion (Castetter Hall)	FBT Architects		11000	\$3,850,000
	7/17/2013 - 2/4/2014					
	Const. Start / Finish:					
	3/17/2014 - 11/17/2014					

<i>Schedule Info</i>	<i>Project Name</i>	<i>Architect</i>	<i>Contractor</i>	<i>SF</i>	<i>Project Funding</i>	<i>Est. Project Cost</i>
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9	Planning Start / Finish: 3/1/2013 – 8/1/2013 Design Start / Finish: 8/5/2013 – 10/18/2013 Const. Start / Finish: 12/9/2013 – 2/28/2014	Los Alamos Science Labs (Project on Hold per req'd. HED doc's.)	DNCA Architect	TBD	2142	\$750,000	\$750,000
10	Planning Start / Finish: 10/30/2013 – 2/21/2013 Design Start / Finish: 5/17/2013 – 9/16/2013 Const. Start / Finish: 12/16/2013 – 3/28/2014	North Golf Course	Sites Southwest	TBD	0	\$1,500,000	\$1,500,000
11	Planning Start / Finish: 5/14/2013 – 6/14/2013 Design Start / Finish: 8/28/2013 – 3/14/2014 Const. Start / Finish: 6/12/2014 – 5/6/2015	Science and Mathematics Learning Center Phase II	Van Gilbert		12450	\$5,000,000	\$5,000,000
		Total Projects	5	Total SF	130,489	Total Cost	\$18,500,000
Construction							
12	Planning Start / Finish: - Design Start / Finish: 5/1/2012 – 6/28/2013 Const. Start / Finish: 9/23/2013 – 1/15/2014	McKinnon Family Tennis Center	Molzen-Corbin	OCP		\$1,331,000	\$1,331,000
		Total Projects	1	Total SF		Total Cost	\$1,331,000

Projects Completed

Project Location: HSC

<i>Project Start/End</i>	<i>Project Name</i>	<i>Project Mgr.</i>	<i>Architect</i>	<i>Contractor</i>	<i>SF</i>	<i>Project Cost</i>
1 1/4/2013 11/27/2013	COP B-12 Office Renovation	Brian Scharmer	Devendra Contractor		875	\$278,196

Totals for HSC	Total Projects	1	Total SF:	875	Total Cost:	\$278,196
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Grand Total SF: **875** **Grand Total:** **\$278,196**

New Projects

<i>Project Start/End</i>	<i>Project Name</i>	<i>OCP PM</i>	<i>PCD PM</i>	<i>Project Type</i>	<i>SF</i>	<i>Project Cost</i>
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Project Location: HSC

1	7/31/2013 DoIM	HSC IDTC 3rd Floor Lab Renovation	Brian Scharmer	TI	2315	\$507,147
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Totals for HSC	Total Projects	1	Total SF:	2,315	Total Cost:	\$507,147
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Project Location: Main Campus

2	11/14/2013 1/3/2014	Bookstore Lighting Upgrade	Sharon Rodgers	Repair/Replace	27456	\$273,816
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3	5/16/2013 1/3/2014	Honors College Renovation	Kim Sylvester	Ben Savoca	Remodel	\$249,170
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Totals for Main Campus	Total Projects	2	Total SF:	27,456	Total Cost:	\$522,986
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Grand Total Projects **3**

OCP Major Capital Monthly Exception Report

December 2013

Executive Summary

Total Yellow Projects **2**

Total Red Projects **0**

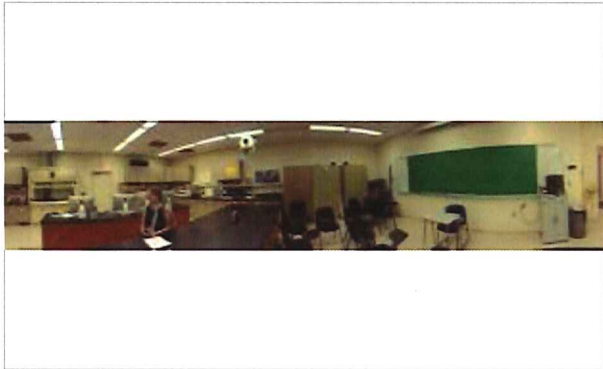
Project Start/End	Issue Status	Project Name	Architect	Contractor	SF	Project Cost
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Design

1	12/4/2012 2/28/2014	Yellow	Los Alamos Science Labs (Project on Hold per req'd. HED doc's.)	DNCA Architect	TBD	2142	\$750,000
2	12/3/2012 3/28/2014	Yellow	North Golf Course	Sites Southwest	TBD	0	\$1,500,000



Los Alamos Science Labs (Project on Hold per req'd. HED doc's.)

Building: Building 3 & 5

Building No:

Sq Ft: 2142

Project Team

Project Mgr:	Maria Dion
Construction Mgr:	Mike Reid
Architect/Engineer:	DNCA Architect
General Contractor:	TBD
ITS:	
PPD:	
PCD:	Taudy Miller

Budget

Est. Construction \$	\$522,700
A/E Est. MACC	
Est. Project \$	\$750,000
Spent to Date:	
Funding To Date:	\$750,000
Budget Confidence:	

Project Description

Provide 2,142 SF of improvements to teaching wet lab 515, chemical storage room 310, as well as update plumbing, audio-visual and furniture in teaching wet lab 312.

Timeline

Phase	Planned		Revised	
	Start	End	Start	End
Programming:			3/1/2013	5/31/2013
Design:			8/5/2013	10/18/2013
Construction:			12/9/2013	2/28/2014

Construction Metrics

Percent Complete:	0 %
Contingency Burn Rate:	0 %

• Stage of Development

Current Phase: Design

1. 11/20/13 - Project on hold while required HED documentation is being collected.

• Approvals

Scope Approval Date: 8/21/2013

Design Approval:

Regents Approval: 9/6/2013SBOF Approval: 11/14/2013Programming Approval: 6/12/2013

CDAC Approval:

HED Approval: 10/9/2013

Bid Approval:

• Issues

Issue Status: **Yellow**

Budget Issue



Schedule Issue

Trend:

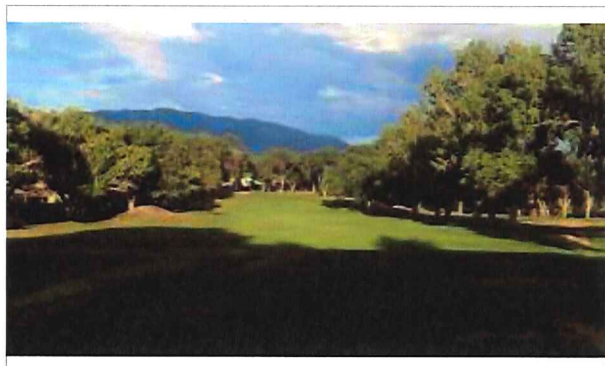


Better



Worse

North Golf Course

Building: The North Golf Course Club HouseBuilding No: n/aSq Ft: 0

Project Team

Project Mgr:	Maria Dion
Construction Mgr:	Eric Schwaner
Architect/Engineer:	Sites Southwest
General Contractor:	TBD
ITS:	
PPD:	Gary Smith
PCD:	Sue Mortier

Budget

Est. Construction \$ \$1,042,135

A/E Est. MACC

Est. Project \$ \$1,500,000

Spent to Date:

Funding To Date: \$1,500,000

Budget Confidence:

Project Description

Renovation of the irrigation system, minor changes to the fairway, tee and green layout as needed, improvements to the public trail system.

Timeline

Phase	Planned		Revised	
	Start	End	Start	End
Programing:	5/6/2013	5/17/2013	5/13/2013	5/17/2013
Design:	5/17/2013	5/31/2013	5/17/2013	9/16/2013
Construction:	11/8/2013	2/8/2014	12/16/2013	3/28/2014

Construction Metrics

Percent Complete: 0 %Contingency Burn Rate: 0 %

• Stage of Development

Current Phase: Design

1. 11/20/13 - Project delayed to rebid and acquire additional local contractor competition.

• Approvals

Scope Approval Date: 7/10/2013Design Approval: 10/11/2013Regents Approval: 8/2/2013SBOF Approval: 10/15/2013

Programming Approval:

CDAC Approval:

HED Approval: 9/11/2013

Bid Approval:

• Issues

Issue Status: **Yellow**

Budget Issue



Schedule Issue

Trend: ☒

Better



Worse

MAJOR CAPITAL PROJECTS COMPLETED SEPT 2010 - SEPT 2013
(11/11/13)

PROJECT NAME	Total Project Budget	MACC Estimate (HED)	Other Cost	Total MACC	% + / - Delivery Method	Prime Contractor	Comments
Baseball Stadium	3,329,500	2,583,482	746,018	2,446,192	5.61% CMAR	Bradbury/Stamm	(Included separate Landscape, elec. & IT contracts)
Castetter Ph 2	9,899,000	7,790,347	2,108,653	7,468,070	4.32% RFP	Jaynes	(Included Early Work, Landscape, Elec. & IT contracts)
Chemistry	2,579,000	1,459,360	1,119,640	1,293,253	12.84% OCP-GC	OCP-GC	
CTLB	9,487,481	6,813,174	2,674,307	6,816,821	-0.05% CMAR	McCarthy	(Included VE efforts)
Dental Clinic	9,000,000	6,152,644	2,847,356	5,910,521	4.10% RFP	Klinger	(Included Built-in Med & IT Eqp.)
FACE	2,400,000	1,346,500	1,053,500	1,531,335	-12.07% JOC	Centennial	(MACC Scope was added by User. Includes IT, Early Demo and Hazmat packages contracts)
Gallup Tech	13,623,890	11,566,340	2,057,550	10,904,386	6.07% RFP	Flintco	
IDTC 3rd Floor	2,187,105	1,179,297	1,007,808	1,183,530	-0.36% RFP	Bradbury/Stamm	(Included IT, Early Demo and Hazmat contracts)
IDTC CMD	9,586,673	5,139,130	4,447,543	4,951,722	3.78% RFP	Bradbury/Stamm	(Included IT, Early Demo and Hazmat contracts)
IDTC EMS	4,803,497	3,302,483	1,501,014	3,215,012	2.72% RFP	Bradbury/Stamm	(Included IT, Early Demo and Hazmat contracts)
Laguna/DeVargas	2,470,000	1,408,348	1,061,652	1,225,712	14.90% OCP-GC	OCP-GC	
Logan Hall	5,584,723	3,072,638	2,512,085	2,901,975	5.88% RFP	Klinger	(Rebid RF Sheilding, Included IT contract)
MaLL	1,137,000	726,825	410,175	636,617	14.17% OCP-GC	OCP-GC	(Had \$70K in IT savings. Included Post & Beam System contract)
Nantechnology	2,000,000	967,125	1,032,875	828,317	16.76% OCP-GC	OCP-GC	
Science & Math Ph 1	21,557,321	19,657,776	1,899,545	15,147,597	29.77% RFP	McCarthy	(Designed in high economy, bid in low economy)
University Arena (PIT)	58,864,749	49,236,934	9,627,815	45,894,023	7.28% CMAR	Flintco	(Included Lanscape and built-in eqp. contracts)
Yale Parking Sructure	17,622,000	14,603,550	3,018,450	13,362,638	9.29% RFP	McCarthy	(Included solar panel contracts)

Note: 'Other Cost' are Architect/Engineer (A/E) fees, Project Admin fess, Surveys, Testing, Furniture, Equipment etc.



September 30, 2013

TO: HSC Board of Directors

FROM: Lynda Welage, Dean, College of Pharmacy

SUBJECT: Fall 2013 Degree Candidates

Included is the list of the Fall 2013 Degree Candidates. Please do not publish the candidates that are on the privacy flag list.

Degree Candidate Summary*

Master's Degree	1
Ph.D. Degree	2
Total	3

*Final number of conferred degrees will be slightly lower due to changes in student degree status that occur until the end of the semester.

Please place this item on the next Board of Directors meeting agenda for consideration.

Thank you.

Attachments

Office of the Dean

December 2, 2013

TO: HSC Board of Directors

FROM: Nancy Ridenour, Dean, College of Nursing

SUBJECT: Fall 2013 Degree Candidates

The CON Faculty approved the Fall 2013 Degree Candidates at the November 18, 2013 Faculty Business Meeting.

Included is the list of the Fall 2013 Degree Candidates. Please do not publish the candidates that are on the privacy flag list.

Degree Candidate Summary*

Doctor of Philosophy	3
Master's Degree	12
Post-Master's Certificate	1
Bachelor's Degree (RN to BSN)	18
Bachelor's Degree (Basic Entry BSN)	43
Total	77

*Final number of conferred degrees will be slightly lower due to changes in student degree status that occur until the end of the semester.

Please place this item on the next Board of Director's meeting agenda for consideration. I will not be able to attend the meeting on December 6. However, Dr. Amy Levi, Interim Executive Associate Dean will be in attendance and will formally present the graduation list.

Thank you.

Attachments



December 5, 2013

TO: UNM Board of Regents

FROM: Dr. Thomas Williams, Executive Vice Dean

SUBJECT: Fall 2013 Degree Candidates

The School of Medicine Faculty approved the Fall 2013 Degree Candidates at the December 5, 2013 General Faculty Meeting.

Included are the lists of the Fall 2013 Degree Candidates. Please do not publish the candidates that are on the privacy flag list.

Degree Candidate Summary*

Doctoral and MFA Degree	6
Master's Degree	27
Bachelor's Degree	24
Associate's Degree	0
Total	57

*Final number of conferred degrees will be slightly lower due to changes in student degree status that occur until the end of the semester.

Please place this item on the next Board of Regents meeting agenda for consideration.

Thank you.

Attachments



**UNM Hospital Board of Trustees
Recommendation to HSC Board of Directors Finance and Audit Committee
November 2013**

Approval

(1) Cerner Corporation – PowerChart Physician Documentation

Mailing Address:

2800 Rock Creek Parkway
Kansas City, MO 64117

Officers Information:

President and CEO: Neal L. Patterson
Vice Chair Co-founder: Clifford W. Illig
Executive VP Client Organization: Zane M. Burke

Source of Funds: UNM Hospitals Information Technology Capital Budget

Description

Request for license software purchase and professional services implementation agreement with Cerner Corporation. This project is to purchase and implement a software tool to allow for standardized Physician documentation for all care areas. This product allows for the ability to extract and incorporate information contained in the Electronic Medical Record directly to a clinician's documentation. In addition, an ambulatory process improvement assessment and workflow functionality is included to provide a methodology to improve efficiency and process for the data capture so as to make the documentation a by-product of the patient care process. This tool will be available as an integrated part of Powerchart, the Electronic Medical Record for patients of the UNM Health System.

Previous Contract: None; this is a new contract.

Process: UNMH RFP #P272-13

RFP Respondents: Single respondent.

Selection Reason: Selection was based upon: 35% functionality, 25% ease of integration, 15% pricing, 10% Information Technology security and 15% support and experience.

Term: Request for three year contract with option to renew annually for four additional years.

Total Cost: One time perpetual license software purchase of \$789,200. One time professional services implementation fee of \$430,004. First year maintenance and support fee of \$143,472. Total first year cost will be \$1,362,676.

Annual maintenance and support fee will be \$143,472. Total potential cost (if renewed annually for the four additional years) will be \$2,223,508.

(2) Intuitive Surgical, Inc.

Mailing Address:

186 Wood Ave South
Iselin, NJ 00830

Officers Information:

President and CEO: Gary S. Guthart, Ph.D.
Chairman of the Board: Lonnie M. Smith

Source of Funds: UNM Hospitals Operating Budget

Description:

Request for a 48 month service agreement with Intuitive Surgical to provide all Clinical and Technical support, maintenance service, parts, and software updates for a da Vinci S Surgical Robot System located in UNM Hospitals, Main Hospital, Operating Room Department.

Previous Contract: Intuitive Surgical

Previous Term: 5 years

Previous Contract Amount: \$149,000, plus NM gross receipts tax

Process:

Sole Source posting period met without protest on October 17, 2013 on UNM Sunshine Portal. The new service agreement resulted in no increase to previous contract.

Cost:

Annual maintenance is \$156,637 for fiscal year 2014.

Annual maintenance is \$156,637 for fiscal year 2015.

Annual maintenance is \$156,637 for fiscal year 2016.

Annual maintenance is \$156,637 for fiscal year 2017.

Total contract value is \$626,548 for 48 months. All totals include NMGRT.



Office of Capital Projects

**MEMORANDUM TO ADVANCE
COMMITTEE AGENDA ITEM TO
THE BOARD OF REGENTS
THE UNIVERSITY OF NEW MEXICO**

DATE: November 12, 2013

TO: Dr. Paul B. Roth, Chancellor for Health Sciences Center

FROM: Mary Gauer, Group Manager, Office of Capital Projects

RE: Requested Approval

RECOMMENDED ACTION:

Request for Approval of Architect Selection and Capital Project Approval for IDTC Third Floor Department of Internal Medicine Project

Please see attached summary and drawings.

cc: Chris Vallejos, Associate Vice President, Institutional Support Services
Robert Fondino, Chief Budget & Facilities Officer, HSC Finance & Administration
Vahid Staples, Budget Officer, Office of Planning, Budget & Analysis
Robert Doran, University Architect, Planning & Campus Development
R. Henrard, C. Martinez, B. Scharmer, T. Sanchez – OCP

**REQUEST for ARCHITECT SELECTION and CAPITAL PROJECT APPROVAL for
IDTC THIRD FLOOR DEPARTMENT OF INTERNAL MEDICINE PROJECT
UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER
December 10, 2013**

RECOMMENDED FIRM:

FBT Architects
Albuquerque, New Mexico

ARCHITECT SELECTION RATIONALE:

This recommendation is based on an internal UNM-OCP sole source selection process in accordance with State of NM Procurement Regulations. The selected architectural firm performed the extensive design and construction administration work for the 2011-2012 Tri-Services Renovation Projects, which converted the former Tri-Services building into laboratory, classroom and administrative space. FBT has unique IDTC facility and infrastructure knowledge that is needed for this design effort. A fee of \$43,000 is anticipated, based on the scope of consultant work.

PROJECT DESCRIPTION:

This project will renovate 2,056 NSF of labs and 259 NSF of office space on the 3rd Floor of the IDTC for the Department of Internal Medicine. Total renovated space is 2,315 NSF. All spaces will meet the design standards established for the existing 3rd Floor labs and offices.

PROJECT RATIONALE:

The labs currently contain the utilities, casework and finishes original to the 1976 New Mexico Scientific Laboratories construction. This area of the IDTC was previously assigned to the Department of Pathology which chose to not occupy the space. In an effort to efficiently and fully utilize research space, the Department of Internal Medicine will make all improvements needed to meet their specific research requirements. These renovated spaces will be used to retain current faculty and recruit new faculty.

FUNDING:

The total estimated Project Budget is \$507,147:

- \$507,147 is funded from Department of Internal Medicine funding.

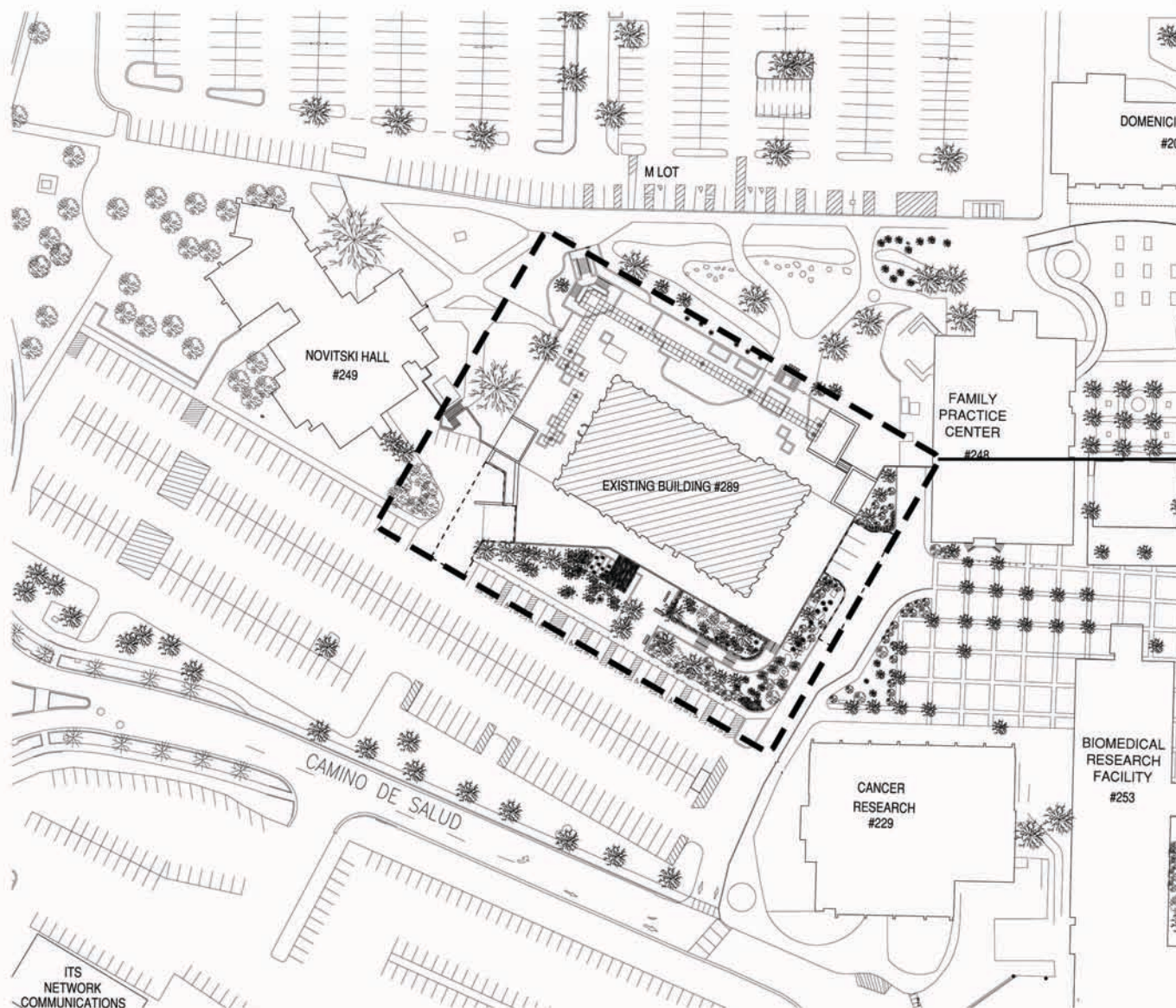


IDTC THIRD FLOOR
INTERNAL MEDICINE
BUILDOUT

fbt | architects

Mail: One Park Square
9501 Americas Pkwy, NE, Ste. 300
Albuquerque, NM 87110

PH: 505.883.5200
FAX: 505.884.5390
WEB: www.fbtarch.com



AREA OF WORK

ENLARGED SITE PLAN
NOT TO SCALE

11/07/2013



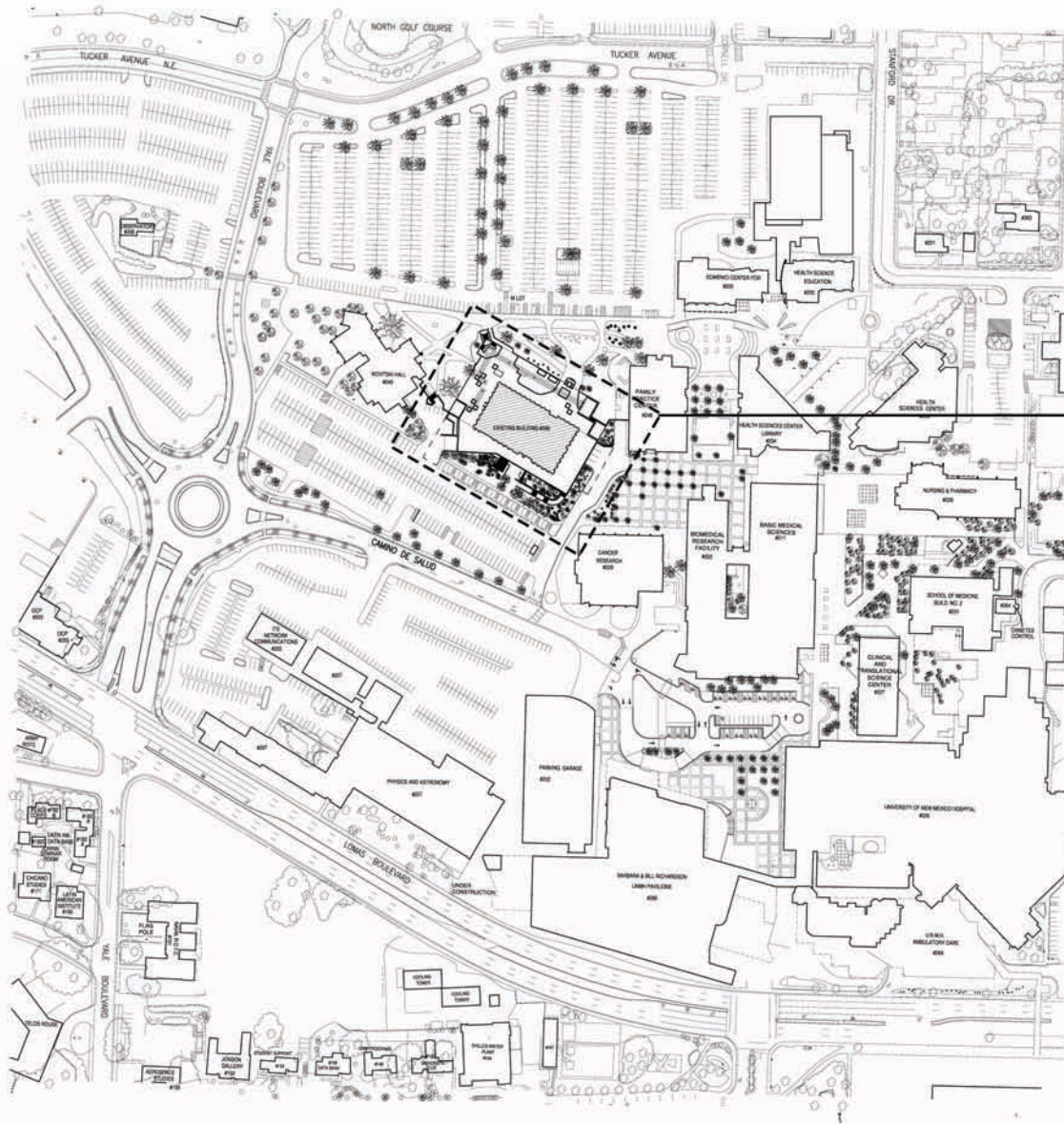


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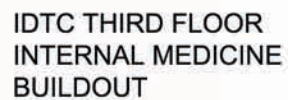


AREA OF WORK

OVERALL SITE PLAN
NOT TO SCALE

11/07/2013





fbt | architects

Mail: One Park Square
8501 Americas Pkwy, NE, Ste. 300
Albuquerque, NM 87110

PHO: 505.883.5200
FAX: 505.884.5390
WEB: www.ftstarch.com



11/07/2013



AREAS OF WORK

TAB 14

Materials will be available at the meeting.

Foundations of Excellence® Implement for University of New Mexico-Main Campus Phase I Implement

Part A. General Information

Steering Committee (FoE Implement Steering Committee)

Name	Title	Email Address	Committee Roles (Liaison or Member)
Carolina Aguirre	Director: STEM UP CNM/UNM Cooperative	caguirre@unm.edu	Subcommittee Chair
Tomas Aguirre	Dean of Students	taaguirre@unm.edu	Member
Terry Babbitt	Associate Vice President Enrollment Management	tbabbitt@unm.edu	Liaison
Jennifer Gomez-Chavez	Director, Student Academic Success	jengomez@unm.edu	Member
Tim Gutierrez	AVP, Student Services	tgutierr@unm.edu	Member
Vanessa Harris	Director, University Advisement Center	vgarris@unm.edu	Member
Greg Heileman	Associate Provost	heileman@unm.edu	Liaison, Co-chair
Kate Krause	Dean of UC and Honors	Kkrause@unm.edu	Liaison, Co-chair
Diane Marshall	Associate Dean, College of Arts & Sciences	marshall@unm.edu	Member
Amy Neel	President, Faculty Senate	atneel@unm.edu	Member
Sonia Rankin	Associate Dean, University College	sgrankin@unm.edu	Subcommittee Chair
Tim Schroeder	Director, STEM Gateway Program	timschroeder@unm.edu	Subcommittee Chair
Joe Suilmann	Program Coordinator, Foundations of Excellence	suilmann@unm.edu	Liaison, Program Coordinator

Part B. Summary of Major Action Items within Overall Plan

Item #	Major Action Item	Goals/Objectives	Responsibility	Projected Start Date	Projected Completion Date	Connection to Major Institutional Initiatives
1.	Front Door - Recruiting	Publicize UNM's unique and value-added qualities through a variety of media to appeal to potential students.	Terry Babbitt	10-1-2012	Ongoing	UNM 2020 - Perceived Reputation of UNM
2.	Front Door - First-Year Communication	Develop structures and processes for timely, coordinated, and effective communication with students and their families from admissions to second fall.	Carolina Aguirre	11-13-2013	4-1-2014	UNM 2020 - Perceived Reputation of UNM
3.	Front Door - New Student Orientation Observation	Form a committee responsible for observing the current operation of New Student Orientation and make recommendations for improvement.	Greg Heileman	4-1-2013	9-1-2013	President Frank's commitment to combine the multiple orientation events into a single UNM New Student Orientation. FoE; Regent's goals: student success, Providing a clear picture of students' costs, providing a clear picture of the research enterprise; UNM2020: G2. Prepare Lobos for Lifelong Success
4.	Front Door - New Student Orientation Redesign	Our mission is to welcome students to the UNM community and introduce them to their role in the creation of new knowledge. We will do this by sharing resources and strategies that encourage students to reach ambitious academic goals and exceed their own expectations.	Sonia Rankin	9-1-2013	4-20-2013	FoE; Regent's goals: student success, Providing a clear picture of students' costs, providing a clear picture of the research enterprise; UNM2020: G2. Prepare Lobos for Lifelong Success

5.	Front Door - Implement effective placement and supportive programs for less well-prepared students	Appropriately place and support students so that virtually all students complete college-level work in their first semester.	Diane Marshall Terry Babbitt	10-1-2013	4-1-2016	UNM 2020 - Prepare Lobos for Lifelong Success. Regents goals - Student Success. Improve graduation and retention rates.
6.	HIPs - Increase Freshman Learning Communities, First-Year Seminars	Identify current offerings to determine whether all students have access to a HIP in their first year. Expand number of offerings that meet HIP criteria. Refine curricula to align with learning outcomes.	Kate Krause	9-1-2013	5-31-2014	FoE, UNM 2020 Objective: Improve retention and graduation rates
7.	HIPs - Data Collection	Design a system to help UNM identify and understand which first-year engagements are most connected to student achievement, and disseminate recommendations and findings to University leaders, academic units, and other stakeholders.	Tim Schroeder	9-1-2013	3-31-2014	FoE; UNM2020, Improve retention and graduation rates; UNM2020 Objective: Implement process for continuous evaluation of programs....
8.	HIPs - Matching Students to Practices - System Development	To ensure that all UNM students receive at least one high-impact practice during their first year, and to match students as much as possible with the kinds of practices best suited to their particular needs.	Tim Schroeder Jennifer Gomez-Chavez Vanessa Harris	Contingent on previous Action Item completion		UNM 2020 (President Frank has declared the importance of ensuring that every freshman has a high-impact first-year experience).
9.	HIPs - Improve Writing in the Curriculum	To coordinate and strengthen the writing and writing support programs that impact first-year students.	Daniel Sanford Aeron Haynie Kate Krause	11-11-2013	3-31-2014	UNM 2020 - Teaching and Learning, Regent's Goals - Student Success
10.	Curriculum - Financial Capability	Include financial capability programming where it can impact vulnerable students including the curriculum in UNIV 101 courses. Offer workshops and interventions for students facing financial risk. Develop programs that incentivize financial planning and incentives for study abroad and other activities.	Terry Babbitt	6-1-2013	6-30-2014	Regent's goals: Student Success, Providing a clear picture of students' costs, UNM2020: G2. Prepare Lobos for Lifelong Success

11.	Curriculum - Service Learning and Community Engagement	Expand opportunities for students to engage with the community for academic credit and as part of degree programs.	Kate Krause	9-1-2013	5-31-2014	Effort to obtain Carnegie designation; UNM2020: Create opportunities members of UNM community to serve local, state, regional.... ; UNM2020: Create structures & process that support collaborative and interdisciplinary research and scholarship
12.	Curriculum - Offer challenging curriculum for high-achieving students	Establish the Honors College and develop Honors tracks that complement all degrees.	Kate Krause	10-1-2011	5-31-2013	UNM2020: Fully implement Honors College; UNM2020: Improve retention and graduation rates
13.	Curriculum - Develop and Adopt Student Learning Outcomes	To bolster and ensure quality of education across the curriculum, the FYSC will develop, adopt, and assess specific and overarching Student Learning Outcomes (SLO) for the first year. Suggestions for SLOs are: 1. Financial literacy (coupled with cost and financial aid information) 2. Collaborative learning 3. Civic literacy 4. Diversity	Kate Krause	1-31-2014	6-30-2014	UNM2020: Lifelong Learning, degree completion
14.	Curriculum - Lobo Reading Experience	The goals of the UNM Lobo Reading Experience are to create a common academic experience for incoming freshmen, as well as a common academic and cultural experience for the University community, to introduce students to the high academic expectations of UNM, and to provide students with a better awareness and appreciation for diversity.	Jennifer Gomez-Chavez	11-1-2012	4-1-2014	FoE; UNM2020, Improve retention and graduation rates; UNM2020 Objective: Implement process for continuous evaluation of programs.
15.	Curriculum - Best Teachers in First-Year Classrooms	Recruiting the best teachers in each department to teach high freshman enrollment courses will contribute to the creation of a culture that values the first year, connects first-year students with faculty members who exemplify the methods of their disciplines, can model critical thinking skills, and guide students in their own ideological exploration.	Amy Neel	6-1-2014	5-20-2015	Regent's goals: Student Success, Providing a clear picture of the research enterprise; UNM2020: G2. Prepare Lobos for Lifelong Success.

16.	Student Support - Degree Maps for Majors	Collect and streamline degree program curricula, including semester-by-semester maps and identification of crucial courses, and present the information for students in an easily searchable format.	Greg Heileman Vanessa Harris	6-1-2013	1-20-2014	UNM 2020 - Teaching and Learning, Regent's Goals - Student Success
17.	Student Support - Electronic First-Year Newsletter	Distribute monthly electronic newsletter to first-year students that includes important academic dates, student resources, and semester appropriate study tips.	Tomas A. Aguirre	11-18-2013	1-21-2014	FoE; UNM2020 - 2.1.1 Empower students with tools to assist in selecting, planning and successful completion of a UNM (customized) degree; Regent's Goals - 1. Student Success
18.	Student Support - Front Line Culture for Faculty and Staff	Provide comprehensive and easily-accessed information to faculty and staff about resources for students.	Amy Neel Tomas A. Aguirre Jennifer Gomez-Chavez	12-6-2013	5-20-2014	Regent's goals: Student Success; UNM2020: G2. Prepare Lobos for Lifelong Success.
19.	Student Support - Communication - Advertising Campus Resources	Develop a communication model dedicated to increasing student, faculty, and staff awareness of important campus resources.	Joe Suilman Renee Delgado	1-20-2014	5-15-2014	UNM 2020 - Teaching and Learning, Regent's Goals - Student Success
20.	Student Support - Advising Portal: LoboAchieve	The purpose of the LoboAchieve project is to implement a campus-wide advising system that will standardize how student notes are captured, as well as establish a campus-wide Early Alert System and integrated tutoring system.	Vanessa Harris	8-2013	Ongoing	Connected to UNM2020 - The Students: The Lobo Experience and Technology.
21.	Student Support - Reduce Student-Advisor Ratio in University College	To address concerns raised during the Reaffirmation of Accreditation visit in 2009 about the high student-advisor ratio in University College, an initiative has been started to restructure advising by shifting more advising duties to college-specific and major-specific advisers.	Vanessa Harris Greg Heileman	10-2013	2-2014	UNM 2020 - Teaching and Learning, Regent's Goals - Student Success
22.	Student Support - Volunteer Academic Coaching Program	Academic coaching is an effort to help increase retention and student success for first year full-time freshmen students to the following fall semester. Academic coaching is a process that provides students with guidance and support to achieve success. By utilizing coaching techniques such as active listening, observation, and asking powerful questions, academic coaches help students reach their academic and personal aspirations.	Jennifer Gomez-Chavez Vanessa Harris	8-2012	Ongoing	Regent focus on student success. Increase opportunities for students to connect with resources and to develop the necessary skills to help them problem-solve and to think critically.

23.	Student Support - HIPs Matching Implementation	Using the model developed by the FYSC - Data subcommittee, determine resources needed to use and maintain a high impact practices matching system, including intrusive support and other interventions, and determine responsibility for implementing the system.	Tim Schroeder Jennifer Gomez-Chavez Vanessa Harris	Contingent on other Action Items		Create structures and processes that allow for student degree customization by 4Q15.
24.	Student Support - Develop an Electronic One-Stop for Students	Develop a student landing page (students.unm.edu) with information on resources, programs, and services to aid in their college success.	Jennifer Gomez-Chavez	11-1-2012	4-1-2014	FoE; UNM2020, Improve retention and graduation rates; UNM2020 Objective: Implement process for continuous evaluation of programs....
25.	Student Support - Create a Physical Student One-Stop	Create a Student Success Center - Student One Stop to efficiently answer students' questions or assist them with any academic or non-academic challenges by providing individual support, and seamless transitions to programs and services.	Alex Gonzalez Jennifer Gomez-Chavez	1-1-2013	8-28-2013	FoE; UNM2020, Improve retention and graduation rates; UNM2020 Objective: Implement process for continuous evaluation of programs....
26.	Faculty/Staff Support - Disseminate data to those who work with first-year students.	Provide faculty and staff who work closely with first-year students with the demographic data needed to properly support those students.	Tim Schroeder Melissa Binder	Contingent on other Action Items		Regent's goals: Student Success; UNM2020: G2. Prepare Lobos for Lifelong Success.
27.	Faculty Support - Create a new Center for Teaching Excellence	Increase institutional investment in teaching and learning excellence by creating a new Center for Teaching Excellence and hiring a new center director.	Greg Heileman	1-7-2013	6-25-2013	Regent's goals: Student Success, Providing a clear picture of the research enterprise; UNM2020: G2. Prepare Lobos for Lifelong Success.

28.	Faculty Support - Reward Excellent Teaching in the First-Year Curriculum	Incentivize excellent teaching in first-year classrooms.	Amy Neel Aeron Haynie Greg Heileman Virginia Scharff	8-20-2014	5-15-2015	Regent's goals: Student Success, Providing a clear picture of the research enterprise; UNM2020: G2. Prepare Lobos for Lifelong Success.
29.	Faculty Support - Increase Development Opportunities for Faculty	Provide the resources that faculty need to improve teaching skills.	Aeron Haynie Amy Neel Terry Turner Virginia Scharff	9-1-2013	Ongoing	Regent's goals: Student Success; UNM2020: G2. Prepare Lobos for Lifelong Success.
30.	Faculty Support - Approve Career Path for Lecturers	Provide incentives for excellence among lecturers by adopting a career path for lecturers.	Amy Neel	5-1-2013	9-1-2013	Regent's goals: Student Success; UNM2020: G2. Prepare Lobos for Lifelong Success
31.	Faculty/Staff Support - Increase Faculty and Staff Adoption of LoboAchieve	Promote widespread faculty adoption, especially among those instructors in high-failure rate courses, of LoboAchieve.	Vanessa Harris Aeron Haynie	10-2013	Ongoing	Improve graduation rates to 50% by 2Q15
32.	Graduate Student Support - Increase training and development opportunities for graduate student teaching assistants	Develop a transcribed certificate program in college teaching for graduate teaching assistants that will include the following: a. a course on best-practices that includes an introduction to the scholarship of teaching and learning b. an introduction to online teaching best practices c. training in discipline-based best practices	Aeron Haynie	1-1-2014	1-1-2015	Regent's goals: Student Success; UNM2020: G2. Prepare Lobos for Lifelong Success
33.	Campus Quality and Safety - Themed Residence Floors	Residence Life has introduced themed residence floors, called the Living and Learning Program, which provide students with the opportunity to live with peers, form study groups and interact with faculty and staff from academic programs. A primary purpose for these communities is to facilitate a smooth transition from high school to the University. AY 2013-14: 12 themed floors, most fully occupied; AY 14-15 the goal is to have 15 floors.	Kate Krause Tomas A. Aguirre	9-1-2013	12-31-2014	FoE; UNM2020 - 2.1.1 Empower students with tools to assist in selecting, planning and successful completion of a UNM (customized) degree; Regent's Goals - 1. Student Success UNM2020: "compelling case to be on campus"

34.	Faculty/Student Support - Increase Research Opportunities in the Area of Effective Teaching and Learning	The Center for Teaching Excellence will pilot a "UNM Teaching Fellows" program to foster the scholarship of teaching and learning. One fellow from each college will be selected to participate in this 1-year program. Each fellow will receive two course releases and will be expected to develop an evidence-based, researched teaching project.	Aeron Haynie	1-2014	6-2015	Regent's goals: Student Success, Providing a clear picture of students' costs; UNM2020: G2. Prepare Lobos for Lifelong Success
35.	Campus Quality and Safety - Provide incentives for including SLOs in out-of-classroom activities	Provide increased funding and administrative support for the development and assessment of out-of-classroom activities that meet SLO criteria and reward Residence Life and Student Groups that participate in them.	Tomas A. Aguirre Wayne Sullivan	1-1-2014	9-1-2014	FoE; UNM2020 - 2.1.1 Empower students with tools to assist in selecting, planning and successful completion of a UNM (customized) degree; Regent's Goals - 1. Student Success
36.	Data and Assessment: Coordinating and Centralizing Survey Data Collection	A committee has been formed to streamline student surveying, since currently students are surveyed far too often, and there is a lack of central oversight. The committee will collect all surveys and combine them into simpler survey tools to be administered at intervals throughout the school year.	Tim Schroeder	1-1-2013		Regent's goals: Student Success; UNM2020: G2. Prepare Lobos for Lifelong Success
37.	Data and Assessment - Assessment of First-Year Steering Committee	The FYSC will review evaluations of first-year efforts, including academic, co-curricular, and other activities through the Offices of Institutional Analytics and Assessment. Units that work with first-year students will be provided with models of good assessment plans and rubrics.	Tim Schroeder Joe Suilmann Kate Krause Greg Heileman	9-1-2013	Ongoing	Regent's goals: Student Success; UNM2020: G2. Prepare Lobos for Lifelong Success
38.	Data and Assessment - First-Year Experience Analytics	Measure the success of the FoE Implementation project.	Tim Schroeder	9-1-2013	Ongoing	Regent's goals: Student Success; UNM2020: G2. Prepare Lobos for Lifelong Success

39.	Communication - Internship for "Telling the Student Story" Videos	In place of the annual institutional spot internship, UCAM has decided to offer six undergraduates the chance to tell the student story of UNM through four thematic 2-minute videos. Students from Communication & Journalism, Interdisciplinary Film & Digital Media and Fine Arts will be challenged to find diverse stories from all over campus and weave these stories together to represent the culture on campus. Those themes will address UNM's diversity, the roles and purposes of higher education in students' lives, the unique opportunities available to students at a research university, and the features that make UNM a destination university.	Joe Suilmann Tomas A. Aguirre	10-1-2013	5-15-2014	Regent's goals: Student Success; UNM2020: G2. Prepare Lobos for Lifelong Success
40.	Statement of Philosophy for the First College Year	The following statement will be used as an initial philosophy of the first year at UNM, taking into consideration the UNM 2020 vision, and feedback from campus constituencies: As this state's flagship research university serving a highly diverse student body, the University of New Mexico is committed to offering a high-quality education marked by a challenging and supportive environment that provides all students with the foundation for academic and personal success in the first year and beyond.	Joe Suilmann	11-15-2013		Regent's goals: Student Success; UNM2020: G2. Prepare Lobos for Lifelong Success
41.	Operation Registration	A campus wide effort to assist Freshmen students with Spring registration from 10 pm - 2 am the night prior and day of registration providing advisement, on-line chat, bursar questions, registration inquiries, etc. Locations are held throughout residence halls.	Jennifer Gomez-Chavez Tonya Bryant Vanessa Harris The Operation Registration Committee	10-15-2012	12-2-2013	FoE; UNM2020, Improve retention and graduation rates; UNM2020 Objective: Implement process for continuous evaluation of programs.

Part C. Sharing and Approval of Overall Implement Action Plan

Group / Person	Projected Date for Sharing	Projected Date for Approval (When Needed)	Notes
Provost's Committee on Academic Success	12-18-2013		This will likely be a similar presentation as the one given to the Regents on December 5, which is a more robust presentation than the monthly First Year Steering Committee updates given regularly.
Deans' Council	12-12-2013		
Board of Regents	12-5-2013		Provost Abdallah presenting the Action Plan, Progress, and UNM Implement website to the Regents.
ASUNM			Update - 11-12-2013 J.S. - Arranging a meeting with ASUNM President Isaac Romero in order to get the FoE Implement Plan onto the agenda of one of their meetings.
GPSA			Update - 11-12-2013: Arranging a meeting with GPSA President Priscila Poliana. We will discuss a possible FoE presentation during a GPSA council meeting.
Advisors Institute	5-22-2013		At the Spring Advisor Institute on May 22, the Provost and Associate Provost for Curriculum introduced advisors to the importance of the initiative to improve the student-to-advisor ratio, and to get advisors to "buy in" to the new LoboAchieve advising and student-tracking system.

Part D. Communication Plan

Topic of Communication	Audience	Person(s) Responsible	Communication Channel	Date to be Distributed / Published
President's Convocation Address	New and returning students, faculty	President Robert Frank	Speech	08-16-2013
FoE information in the President's Weekly Perspective	Campus	President Robert Frank	Email message to campus	08-09-2013
Release of FoE Final Report	Campus	Provost Chaouki Abdallah	Provost's Wednesday Communique	07-17-2013
Student Success Center Ribbon Cutting	Local press, administrators, faculty, students.	Jennifer Gomez-Chavez, Director, Student Academic Success Office	Campus event	09-03-2013
FoE Information for Parents of UNM Students	Parents of Students	Joe Suilmann	Parent Association Newsletter	01-21-2014
Student Success Summit III	UNM Community	Joe Suilmann	Large Event	05-01-2014
PCAS Implementation Plan	Provost's Committee on Academic Success	Joe Suilmann	PCAS Meeting	12-18-2013
New Student Orientation Redesign	Campus	Joe Suilmann	Provost's Wednesday Communique	01-22-2014
Campus FoE Update	Campus	Joe Suilmann	Provost's Wednesday Communique	03-12-2014
Email to FoE Self-Study Task Force	FoE Task Force	Joe Suilmann	FoE Listserv	12-6-2013
Message to Parents	Parents and Families of UNM Students	Joe Suilmann	Parent Association Newsletter	08-22-2014

Part E. Phase I Summary Report**1. What steps have you already taken to implement your major action items?**

1. The First Year Steering Committee has been created and charged with the implementation of the Foundations of Excellence action plan.
2. The NSO Redesign committee has been created, the subcommittee chair has formed the committee, and has made considerable progress in understanding existing logistics, has developed a mission statement and set of learning outcomes for NSO, and has set established a schedule for Summer 2014. This group was formed immediately due to the timing of many of the logistics decisions that needed to occur soon.
3. Two efforts to reduce remediation were included in the FoE Action Plan, the Math Learning Lab for Math 120 students, and the English 101 "Summer Stretch" and "Studio Courses." The MaLL has just finished its first year as the sole form of Math 120. The curricula for the English Stretch and Studio courses has been completed and piloted. Elimination or reduction of ISE100 is awaiting the identification of funding.
4. The number of Freshman Learning Communities was doubled this year (from roughly 30 to 60) with the goal of making high-impact practices more widely available in course work.
5. University College is offering five new Freshman Year Seminars (in addition to those offered in athletics) targeting Pell-eligible students. Another Freshman Year Seminar will be offered by Accessibility Resources and will include curricula on financial competency (the number one reason students cite for leaving UNM), critical thinking, the Lobo Reading experience, and research skills.
6. Curriculum for the new Honors College has been approved, and students have been recruited.
7. The degrees.unm.edu website has been launched, which shows "road maps" for degree programs. The ultimate goal will be to have 4-year plans for every program, as well as variable start times depending on student readiness. The road maps identify crucial courses. They are searchable by academic college, interest area, and keyword. While the degree maps are useful for many on campus, they are especially useful for incoming freshman students and those who advise them.
8. As part of the plan to increase research service-learning opportunities for students, including freshmen, the Faculty Senate created the Community-Engaged Scholarship Task Force.
9. A subcommittee of the Provost's Committee on Academic Success (PCAS), to which the First Year Steering Committee (FYSC) reports, is developing rubrics for evaluating teaching effectiveness. Those rubrics are part of an effort to make effective teaching a bigger part of the promotion and tenure process and other evaluative processes, and to find ways to recognize and celebrate excellent teaching.
10. A second subcommittee of the PCAS, the Provost's Committee on Writing, has been charged with exploring the formation of a Writing Across the Curriculum (WAC) program, and this committee will oversee the implementation of Foundations of Excellence outcomes as they pertain to writing.
11. A career path for lecturers, many of whom teach high-enrollment freshman courses at UNM, was approved.

12. A new Center for Teaching Excellence has been formed and a new director, Aeron Haynie, was hired. The center will provide the professional development needed to support faculty in first-year courses, formalize training for graduate student teaching assistants, and increase research opportunities in the area of effective teaching and learning.
13. As part of the plan to restructure advising, and to shift more advising duties to college-specific and major-specific advisors, a pilot program in the School of Engineering has been established to begin moving engineering students to begin seeing engineering advisors earlier. Two more colleges have been identified to continue the project. A forthcoming report from the Office of Academic Affairs will describe the next steps and expected outcomes of this project.
14. The LoboAchieve advising system went "live" on August 1, 2013, and is now open to the 2013 cohort of freshmen. The system allows students to sign up for faculty office hours, allows for a centralized location for recording advising notes, and has an Early Alert feature that will allow instructors to alert advisors and other support services to potential issues with student behavior and academic performance.
15. For the first time in over ten years, the University Advisement Center gave advising awards as part of an overall effort to heighten the profile of advising on campus.
16. The grand opening of the new Student Success Center, a one-stop student resource center, was held on September 3, 2013. The center has already helped a large number of students since it opened its doors in August, 2013.
17. The students.unm.edu website, an electronic one-stop for students, is up and available, though it is still under development.
18. A committee was formed and has been working on improving the Lobo Reading Experience, and they have been trying to create much wider campus involvement than has been present in the past. Part of that will include making the book available electronically to incoming students, and then integrating the book into orientation and the high-enrollment freshman courses English 101 and 102. A call for book nominations for the 2014 Lobo Reading Experience went out to campus with a deadline of December 9, 2013.
19. The Volunteer Academic Coaching program has shown promising early results and is in its second year. Plans to expand the program are under development.
20. A subcommittee of the FYSC has been formed to streamline and coordinate communication with students from admission to the second fall semester. The first step was to collect and inventory all first-year communication. The Office of Student Academic Success completed those early collection efforts.
21. Finally, as part of the effort to ensure that all students are receiving at least one high-impact practice during their first year, a subcommittee of the FYSC has been formed to coordinate with the Student Affairs Office, the Office of Institutional Analytics, and other areas around campus, to design a system of institutional research to help UNM to identify and understand which first-year engagements are most connected to student achievement. That committee has also been charged with establishing a First Year Experience Student Achievement Dashboard, updated automatically from the Office of Institutional Analytics student DataMart each semester, to demonstrate UNM's First Year Experience performance using Key Performance Indicators (KPI's), drillable by ethnicity, gender, age, and socio-economic standing.
2. What steps will you take between now and the submission of the next status report?
The FYSC will proceed to Phase II of the Implement process, which includes the formation of intermediate milestones and action steps, dates for the completion of those steps, identification of one-time and ongoing costs associated with the Action Item, and other information. A Foundations of Excellence website will be created on the

Provost's Cloud, which will host FYSC committee and subcommittee information, as well as information on participating in the FoE program. The FYSC will be reaching out to original FoE Task Force members for participation in the implementation process.

3. Based on your efforts to date what challenges or barriers do you foresee in implementing the FoE action plan? These could include finances, personnel, space, institutional politics, and others. Is there help you need from the Gardner Institute in addressing these challenges?

Funding: The First Year Steering Committee has begun to identify funding obstacles with regards to critical student success efforts. For instance, curricula for English Stretch and Studio courses are completed and have been piloted, but efforts to reduce reliance on Introductory Studies English 100 are contingent on the identification of funding. Reducing reliance on the Introductory Studies courses is part of the goal to improve placement and supportive programs for less well-prepared students.

New Student Orientation: In order to implement the initiatives based on FoE and UNM2020, it will be necessary for campus partners to provide in-kind contributions to shared goals such as New Student Orientation. This will be in the form of adopting shared visions and providing supplies, skilled personnel, financial contributions, and facilities. Without this outward show of in-kind support, it will be impossible for the recommendations of FYSC to begin to create an institutional cultural change.

Campus Silos: The success of many of the Foundations of Excellence action items will depend on identifying and resolving obstacles due to campus fragmentation, or lack of coordination and communication between administrative offices and colleges and departments on campus.

TAB 16

Agenda item will be discussed in Closed Session

TAB 17

Agenda item will be discussed in Closed Session



November 27, 2013

TO: Board of Regents Academic Student Affairs and Research Committee
FROM: Selena Salazar, Office of the University Secretary
SUBJECT: Fall 2013 Degree Candidates

The Faculty Senate approved the Fall 2013 Degree Candidates at the November 26, 2013 Faculty Senate meeting.

Included is the list of the Fall 2013 Degree Candidates. Please do not publish the candidates that are on the privacy flag list.

Degree Candidate Summary*

Doctoral and MFA Degree	88
Master's Degree	391
Bachelor's Degree	1488
Associate's Degree	142
Total	2109

*Final number of conferred degrees will be slightly lower due to changes in student degree status that occur until the end of the semester.

Please place this item on the next Board of Regents ASAR Committee meeting agenda for consideration.

Thank you.

Attachments

TO: Cheo Torres, Vice President for Student Affairs
FROM: Debbie Morris, Director of Student Activities
DATE: November 15, 2013
RE: ASUNM Constitutional Amendments Fall 2013 Election

The amendments listed below were approved in the ASUNM Election held on November 13, 2013.

Amendment 2

Amendment 2 would require that the status of Good Standing be clarified to state that students must be enrolled in, maintain and complete at least six (6) credit hours during their time in office.

Yes	1456	86.7%	Approved
No	223	13.3%	

Amendment 3

Move the composition requirements of the ASUNM Elections Commission from the ASUNM Constitution to the ASUNM Law Book

Yes	1215	73.9%	Approved
No	429	26.1%	

Melanie Baise, Associate Legal Counsel, has already reviewed the amendments and found no legal concerns.

If this could be placed on the agenda for the December 1st Academic/Student Affairs/ & Research committee meeting that would allow these qualifications to be in place for the spring 2013 semester. Isaac will be able to address any questions the Regents may have concerning the amendments.

Please let me know if I can provide you with any further information.

cc: Melanie Baise, Associate University Legal Counsel
Isaac Romero, ASUNM President
Brandon Meyers, ASUNM Vice President



November 27, 2013

TO: Board of Regents Academic, Student Affairs, and Research Committee

FROM: Selena Salazar, Office of the University Secretary

SUBJECT: Form D - MS in Information Systems and Assurance Program Proposal

The Faculty Senate approved Form D - MS in Information Systems and Assurance Program Proposal at the Tuesday, November 26, 2013 meeting.

Please place this item on the December 5, 2013 Board of Regents Academic, Student Affairs, and Research Committee meeting agenda for consideration.

Thank you.

Attachment

NEW GRADUATE DEGREE OR GRADUATE CERTIFICATE FORM D

UNIT PREPARES IN QUADRUPLICATE
Route as indicated below under approvals. Return to the Registrar's Office once all signatures have been obtained.

Date: March 22, 2013

Stephen D. Burd

(Name of individual initiating Graduate Degree or Graduate Certificate)

Associate Professor - 505-277-6418

(Title, position, telephone number)

burd@unm.edu

(Email address)

Anderson School of Management - Department of Marketing, Information, and Decision Sciences

(Department/Division/Program)

***Allow up to one year for the process to be completed for a certificate, and 18 months for a degree.**

Note: Proposals for new graduate degrees or graduate certificates need to follow an approved format. Please call the Office of Graduate Studies and ask for an outline. Revisions of graduate degrees and some new certificates also may need state approval, depending on the extent of changes proposed. Please consult the Office of the Provost for advice prior to initiating this form.

Attach the following required documents:

1. Executive Summary.
2. Program Proposal (in the approved format).
3. Catalog Description (to include program curriculum).
4. Graduate Program Projected Costs (only for new degrees).
5. Library Impact Statement.

Does this new degree affect any existing program? Yes ☒ No ☐ If yes, attach statement.

Proposed date to admit new students: Term Spring Year 2014

Required Signatures:

Department Chair

Aaron G. Younts

Date 3/23/13

College Curricula Committee

[Signature]

Date 3/25/13

College or School Dean

[Signature]

Date "

Dean of Library Services

[Signature]

Date 3/27/13

Office of the Registrar—Catalog

Elizabeth A. Branta

Date 08/16/13

FS Graduate Committee

[Signature]

Date 9/6/2013

Dean of Graduate Studies

[Signature]

Date 9/9/13

FS Curricula Committee

[Signature]

Date 10/16/13

Office of the Provost

[Signature]

Date 11/7/13

Faculty Senate

[Signature]

Date 11/7/13

Board of Regents

[Signature]

Date 11/7/13

Additional Approvals for Degrees:

Board of Regents

Date

Council of Graduate Deans

Date

Academic Council of Higher Education

Date

Higher Education Department

Date

State Board of Finance

Date

Entered Banner

Entered Catalog

For Registrar's Office ONLY:

Copies Mailed

MS in Information Systems and Assurance Program Proposal (Form D Attachment)

Executive Summary

The Anderson School of Management (Anderson) proposes an MS program in Information Systems and Assurance (MS-ISA) to begin in the Spring or Fall 2014 semester. The MS-ISA program is targeted to students holding a BBA with an accounting or MIS specialization or a Bachelor's degree in computer science or computer-related engineering. The program will prepare such students for advanced career positions in information systems development, system administration, computer and network security, forensic investigations, risk assessment and mitigation, and IT auditing. The program will be 32 credit hours in length and include a mix of courses tailored to specific student backgrounds and needs. A thesis option is included for students pursuing a research-oriented career.

The program represents a natural evolution of IS- and IA-related graduate programs at UNM. It follows in the footsteps of the MS in Accounting (MAACT) program by providing a more specialized graduate degree than the MBA to meet specialized student and employer needs. The MS-ISA program also enhances UNM degree offerings within the scope of its designation as a National Center of Academic Excellence (CAE) in Information Assurance Education and Research (CAE-R). The program will also serve students who participate in the recently funded UNM Scholarship for Service program in cyber-security. The MS-ISA program incorporates content from Anderson, computer science (CS), and electrical and computer engineering (ECE) thus enabling students to pursue multidisciplinary IA studies. The program's thesis option provides a pathway for greater IA-related research collaboration across UNM.

The program responds to feedback from employers and students about the strengths and weaknesses of Anderson's current MBA program with an MIS or IA concentration and to the anticipated knowledge and skill requirements for future employees. The MS-ISA program is better targeted to IS- and IA-related skill and knowledge requirements than is the MBA program with a concentration in MIS and/or IA. Compared to the MBA program, the MS program is shorter, requires fewer general management courses, and provides greater flexibility and technical depth.

The MS-ISA program can be offered with existing resources. Existing courses comprise the bulk of the program though a handful of new courses will be created over the next two years to support the MS-ISA and a revised MBA program. Current faculty resources are sufficient to offer all needed courses while maintaining current BBA courses. The program should attract most/all of the students who currently pursue the MBA with an MIS and/or IA concentration. MIS and IA courses will continue to be available to MBA students as electives though the formal MIS and IA concentrations will be discontinued.

Though the program can operate with existing resources it could provide greater capacity and support more technical breadth and depth if additional adjunct or full-time faculty resources are added. The IA portion of the program occupies a unique national niche and has significant potential to attract new out-of-state students, especially if the entire program is offered online.

Program Description and Purpose

What is the program and why should we offer it? Include the program's major goals (both primary and secondary, if any).

How does the program fit within the unit's future plans?

Anderson has offered concentrations in management information systems (MIS) within the BBA and MBA degree programs since the early 1980s. These concentrations prepare students for careers in information systems development, system administration, and related positions. Anderson has offered a concentration in information assurance (IA) within the MBA program since 2009 and a related concentration within the Master of Accounting program since 2011. Information assurance is a broad field encompassing computer, network, and data security, related behavioral and organizational design issues, forensic investigations, risk assessment and mitigation, and accounting-related subareas including auditing and internal control.

The proposed MS in Information Systems and Assurance (MS-ISA) program is targeted to students holding a BBA with an accounting or MIS specialization or a BS in computer science or computer-related engineering. The program will be 32 credit hours in length and include management courses of no more than 12 credit hours, a common technical core of no more than 11 credit hours, and advanced technical courses in information systems (IS) and information assurance (IA) totaling 9-24 credit hours. The precise mix of courses will be tailored to specific student backgrounds and needs. A thesis option is included though it is anticipated that the majority of students will not write a thesis.

The motivation to propose a new MS program is partly a reaction to planned changes in Anderson's MBA program, partly a response to market forces, and part of the broader effort to modernize and streamline related curricula. The MBA MIS concentration was last updated in 2006. That update did not incorporate IA and was limited in scope due to constrained faculty resources and weak student demand. Since that time, additional faculty have been hired and IS enrollment and hiring have rebounded both locally and nationally. The MBA IA concentration was started in 2009 and has enjoyed considerable success, reflecting both the program's strength and strong local and national demand for IA graduates.

The program also represents an evolutionary step in Anderson's efforts to redefine its MBA program and to broaden its graduate program offerings with more focused Masters programs. The first step in that evolution was the development of the Master of Accounting program in the mid-2000s. Creation of this program represents the second step. Subsequent steps will include revising the MBA program and developing additional specialized MS programs.

The goals of the MS-ISA are to:

- Modernize content to address existing coverage gaps and anticipated trends.
- Attract students with computer-related undergraduate degrees from management, computer science, and engineering.

- Provide sufficient technical depth to ensure that program graduates are competitive for a wide variety of jobs in the local and national job markets.
- Enable faculty and students to tailor programs of study to individual student backgrounds and interests.
- Respond to anticipated changes in the MBA program by developing a strong stand-alone program that will attract students "lost" due to anticipated MBA program revisions.

Underserved Market Segments

Though the current MBA concentrations appear well-suited to students holding a bachelor's degree in computer science (CS) or engineering, few such students enroll in the program. The primary reason appears to be the length of the MBA program – 48 credit hours, and the balance of managerial and technical content. Potential students are unwilling to devote 2 years of full-time study and generally prefer a degree program with a balance of technology and managerial emphases. Also, employers of IS and IA graduates tend to view an MS program more positively because it has more technical depth than an MBA program. Attracting CS and engineering students to Anderson for an IS- or IA-related graduate MS degree will require a smaller management core, shorter overall program, greater technical depth, and content that evolves more readily as the fields of IS and IA continue to change at accelerating rates.

Pending MBA Program Revisions

A proposal is currently under development to significantly alter the existing MBA program. Anticipated changes include:

- Eliminating general management courses waivers based on similar undergraduate course-work
- Splitting the program into a full-time cohort program and a part-time non-cohort program
- De-emphasizing concentrations by reducing non-core credit hours

Revised MBA Impact on IS/IA Students and Enrollment

The potential impact of the proposed MBA program changes on IS and IA enrollment is substantial. Students completing a BBA and returning for an MBA will no longer have a large number of "free" courses into which they can fit a dual concentration. Thus, students will either be forced to scale back their specialized studies or to pursue a specialized degree at another institution. Preventing the anticipated reduction in MBA enrollment while meeting the needs of BBA alumni is a significant impetus for the proposed program.

How does the program fit within the UNM mission and strategic plan?

The MS-ISA fits within several themes of the 2008 UNM Strategic Framework, including:

- *Excellence through relevance and Research for a better world* – In the post-9/11 world, information assurance has become a national priority. UNM was already a leader in CS and engineering-oriented security-related research prior to 9/11. Development of an IA concentration within the Anderson MBA and related faculty hires increased UNM's capabilities

in this area in the late 2000s. The MS-ISA program is an evolutionary step that further strengthens UNM 's IA-related research and teaching capabilities

- *Synergistic partnerships* – The MS-ISA program will continue a long tradition of partnerships between UNM, the national labs, and other employers within New Mexico. The national labs currently higher many graduates from UNM programs in IS, IA, CS, and ECE. The MS-ISA program was developed with significant input from labs to better serve their current and emerging need for a technology-related workforce. Those same characteristics will benefit other employers within the state – large and small. The MS-ISA program will also enhance the partnership between UNM and the federal government. UNM is designated as a Center of Academic Excellence in Information Assurance Education and Research. The MS-ISA program better fits the CAE designation requirements than the existing MBA program, while maintaining unique characteristics that provide competitive advantage.
- *Infrastructure for student success* – A key element of existing IS and IA programs in Anderson has been the development of virtual computer laboratory facilities and related course content in both IS and IA. The MS-ISA program will provide additional opportunities for building and testing this type of educational infrastructure.
- *Community engagement* – IA students within the current MBA develop and deliver educational modules for a larger audience outside of UNM including K-12 students and the business community. The MS-ISA program will increase the intensity of those efforts and add a student-centered research component to them.

How does the program fit with related offerings at UNM?

UNM has strong related programs in computer science and electrical & computer engineering. The MS-ISA fits with these programs in the following ways:

- It provides a natural graduate pathway for students who receive a BS in CS or ECE while avoiding duplication with existing MS programs in those areas.
- It will increase the number of students pursuing cross-disciplinary studies between Anderson and the School of Engineering by incorporating some CS and ECE courses in an Anderson degree and by providing additional graduate course options to students pursuing an MS in CS or ECE.
- It will increase interaction between Anderson, CS, and ECE with similar and overlapping teaching and research interests. Anticipated benefits include a stronger curriculum in all departments and increased success in obtaining interdisciplinary research funding.

Assuming timely approval, what is the program development and implementation timeline?

Since the program requires few new resources, the implementation timeline is short:

- Spring 2013 – Obtain all UNM-related approvals including the Board of Regents. Concurrently, develop a marketing and recruitment plan for the program
- Fall 2013 – Obtain all state-related approvals. Concurrently implement the marketing and recruitment plan and accept applications starting in mid-semester

- Spring or Fall 2014 – First group of students begin their studies

Describe the curriculum (including coursework and other degree requirements). Discuss any new courses and the impact of the curriculum on existing courses, including courses in other departments. (Draft catalog copy will be required for full proposal.)

Draft Catalog Copy

Master of Science in Information Systems and Assurance (section title)

The Master of Science in Information Systems and Assurance (MS-ISA) program is targeted to students holding a BBA or similar degree with an accounting specialization, a BBA or similar degree with a specialization in information systems or technology, or a BS in computer science or computer-related engineering. The program prepares students for advanced positions in information system management, information system development, and information assurance.

Admission Requirements

- Completed bachelor's degree with at least 30 credit hours of courses in management, computer science, or computer-related engineering.
- A grade point average of 3.0 for the last 60 hours of college coursework including any post baccalaureate work.
- A score equal to or greater than 500 on the Graduate Management Admission Test (GMAT) or a Graduate Record Examination (GRE) score that is equal to or greater than 500 on the quantitative section and a score equal to or greater than 500 on the verbal section.

Advisement and Plan of Study

Advisement prior to first-semester enrollment and a formal plan of study is mandatory. Individual students will be advised to enroll in specific classes that match their intended career path and complement their bachelor's degree and work experience. Plan of study approvals will be granted by a designated faculty advisor or committee.

Program Requirements

Students must complete a minimum of 32 credit hours of courses within the following groups:

- **Core Group** — 11 credit hours —MGMT 533 (Professional communications), MGMT 631 (Project management), MGMT 636 (IS security), and MGMT 637 (Database management) —Courses may be waived based on similar undergraduate/graduate courses or experience .
- **Management Group** — 12 credit hours minimum selected from MGMT 502 (Financial accounting), MGMT 503 (Managerial accounting), MGMT 506 (Organizational behavior), MGMT 508 (Law/ethics), MGMT 520 (Operations management), MGMT 522 (Marketing), MGMT 526 (Finance), and MGMT 633 (Vendor contract management)) — Up to 12 credit hours may be waived based on similar undergraduate/graduate courses or experience .
- **Technology Group** — 9 credit hour minimum selected from MGMT 594 (Internal auditing), MGMT 630 (Management of information systems), MGMT 632 (Web application development), MGMT 634 (Systems analysis and design), MGMT 635 (Business intelligence and decision

support), MGMT 639 (Advanced IS/IA topics)¹, MGMT 641 (Forensic accounting), MGMT 642 (Fraud examination), MGMT 646 (Digital forensics), MGMT 647 (System and network administration), MGMT 648 (Advanced IS security), MGMT 649 (IA projects), MGMT 599 (thesis), computer science and engineering courses (CS/ECE 515, CS 544, CS 565, CS 581, ECE 595, and other graduate-level CS and ECE courses with advance approval), and problems and special topics courses (MGMT 551/552 and MGMT 594).

Sample student study plans (not included in catalog copy)

BBA/MIS specializing in IS

- Core Group – 5 credit hours (636/637 waived based on UG courses):
 - MGMT 533 (Professional communications)
 - MGMT 631 (Project management)
- Management Group – 3 credit hours (others waived based on BBA core):
 - MGMT 633 (Vendor contract management)
- Technology Group – 24 credit hours
 - MGMT 630 (Management of information systems)
 - MGMT 632 (Web application development)
 - MGMT 635 (Business intelligence and decision support)
 - MGMT 639 (Advanced database management)
 - MGMT 646 (Digital forensics)
 - MGMT 647 (System and network administration)
 - MGMT 648 (Advanced IS security)
 - MGMT 649 (IA projects)

BBA/MIS specializing in IA

- Core Group – 5 credit hours (636/637 waived based on UG courses):
 - MGMT 533 (Professional communications)
 - MGMT 631 (Project management)
- Management Group – 3 credit hours (others waived based on BBA core):
 - MGMT 633 (Vendor contract management)
- Technology Group – 24 credit hours
 - MGMT 635 (Business intelligence and decision support)
 - MGMT 639 (Advanced database management)
 - MGMT 641 (Forensic accounting)
 - MGMT 642 (Fraud examination)
 - MGMT 646 (Digital forensics)
 - MGMT 647 (System and network administration)
 - MGMT 648 (Advanced IS security)
 - MGMT 649 (IA projects)

¹ New IS/IA courses providing additional technical depth will be initially offered as MGMT 639 sections and later converted to stand-alone courses if needed. High priority offerings anticipated in the next two years include advanced database management, healthcare IT, and forensic data analysis.

BBA/Accounting specializing in IA

- Prerequisite programming – no graduate credit - MGMT 330 or CS 152L
- Core Group – 11 credit hours:
 - MGMT 533 (Professional communications)
 - MGMT 631 (Project management)
 - MGMT 636 (IS security)
 - MGMT 637 (Database management)
- Management Group – 3 credit hours (others waived based on BBA core):
 - MGMT 633 (Vendor contract management)
- Technology Group – 24 credit hours
 - MGMT 594 (Internal auditing)
 - MGMT 641 (Forensic accounting)
 - MGMT 642 (Fraud examination)
 - MGMT 646 (Digital forensics)
 - MGMT 648 (Advanced IS security)
 - MGMT 649 (IA projects)

BS CS or Engineering specializing in IS

- Core Group – 11 credit hours:
 - MGMT 533 (Professional communications)
 - MGMT 631 (Project management)
 - MGMT 636 (IS security)
 - MGMT 637 (Database management)
- Management Group – 12 credit hours:
 - MGMT 502 (Financial accounting)
 - MGMT 503 (Managerial accounting)
 - MGMT 506 (Organizational behavior)
 - MGMT 522 (Marketing)
- Technology Group – 9 credit hours:
 - MGMT 630 (Management of information systems)
 - MGMT 635 (Business intelligence and decision support)
 - MGMT 647 (System and network administration)

BS CS or Engineering specializing in IA

- Core Group – 11 credit hours:
 - MGMT 533 (Professional communications)
 - MGMT 631 (Project management)
 - MGMT 636 (IS security)
 - MGMT 637 (Database management)
- Management Group – 12 credit hours:
 - MGMT 502 (Financial accounting)
 - MGMT 503 (Managerial accounting)
 - MGMT 506 (Organizational behavior)
 - MGMT 522 (Marketing)
- Technology Group – 9 credit hours
 - MGMT 642 (Fraud examination)
 - MGMT 646 (Digital forensics)
 - MGMT 648 (Advanced IS security)

[Prior to submission to the NMHED and NMGDC, include a brief statement regarding institution's priority and refer to documentation provided by Provost's Office (see section 9).]

Justification for the Program

Evidence of Need

Strong evidence of need for the program can be garnered from the placement success of existing IS and IA programs. At the graduate level, Anderson has placed all recent graduates in both MBA concentrations, despite a weak overall economy. Despite recent placement success, Anderson faculty sought employer and student feedback to identify strengths and weaknesses of current programs and opportunities for improvement via the MS-ISA program.

Employer and Student Focus Groups

MIS and IA faculty members hosted focus groups sessions for students and employers in December, 2011. Two sessions were held for students and two more for employers with 7-10 participants per session. Employers were selected from a variety of local organizations including the City of Albuquerque, Sandia and Los Alamos Labs, the FBI, US Department of Homeland Security, Intel, PNM, UNM, APS, Albuquerque Heath Partners, and New Mexico Technet. Three employer participants are Anderson graduates. Students were selected from both undergraduate and graduate MIS/IA students at all stages of program completion.

Employer Feedback

Employers were asked about their experiences with Anderson and non-Anderson graduates in IS and IA positions, their current and anticipated knowledge and skill requirements for those positions, and the desirability of an MBA vs. an MS in IS and/or IA. No specific MS proposal was provided, though the outlines of typical MS programs at UNM and elsewhere were discussed.

There was a consensus regarding knowledge and skills desired in an employee holding or pursuing a Master's degree. Beyond depth of knowledge in technical subjects, employers expressed a strong desire for skills in communication, project management, budgeting, and interacting with clients, vendors, co-workers, and non-technical managers. There wasn't a strong consensus for within which degree program (undergraduate or graduate) those skills should be placed. An employee who acquires technical skills as an undergraduate and then acquires managerial skills as a graduate student was considered equally desirable as an employee who reversed the order in which the skills and knowledge were acquired. What was important to the employers was that a Master's graduate must have the entire portfolio of technical and managerial skills. Employers also expressed a preference for students who had applied those skills through complex academic assignments, projects with real world scope, and on-the-job experience.

Student Feedback

Students were asked to evaluate their experience at Anderson in terms of instructional quality, preparation for the job market, and the match of existing and proposed programs to their needs and

desires. As with employers, only the outline of a generic MS program was discussed without specific content or requirements.

Students were nearly unanimous in their support for an MS program and several MBA students stated that they would have enrolled in such a program at Anderson (if it were available) instead of the MBA due its presumed better match to their needs. Students were generally satisfied with the technical education they were receiving though several expressed a desire for more technical depth in graduate courses and a greater choice of courses and topics.

Reaction to recent and ongoing movement of MIS and IA courses to an online format was mixed. Most students liked the flexibility of online courses and felt that content and depth had not suffered. A few disagreed and expressed either a strong preference for face-to-face instruction or a more consistent and interactive format for online courses.

Provide evidence of student demand.

The MS-ISA is intended to replace the existing MIS and IA concentrations within the MBA program. As such, we will use recent enrollment in those concentrations to estimate student demand for the MS-ISA. Since the current MBA MIS and IA concentrations recruit the majority of current students from the BBA program, graduation statistics for the BBA with MIS concentration are also relevant.

Anderson currently offers IS and IA programs in seven forms:

- BBA with a concentration in MIS
- MBA with a concentration in MIS
- MBA with a concentration in IA
- MBA with a dual concentration in MIS and IA
- Masters in Accounting with a concentration in IA
- Post-Masters Certificate in MIS
- Post-Masters Certificate in IA

The BBA and MBA programs require general management course work (approximately 10 courses in each program) and the concentrations require at least 5 additional courses in specialized IS/IA topics. The Master in Accounting (MACCT) with an IA concentration requires 11 courses of which 5 are part of the IA concentration. The Post-Masters Certificate programs are essentially 5-course MBA concentrations decoupled from the MBA degree. They're intended for students who already hold an MBA or similar degree and want to expand their specialized knowledge. Approximate current graduation rates are as follows:

Program	Estimated graduates in academic year 2011-2012
BBA with a concentration in MIS	41
MBA with dual concentrations in MIS and IA	25
MBA with an MIS concentration (excludes dual MIS/IA concentrations)	8

Program	Estimated graduates in academic year 2011-2012
MBA with an IA concentration (excludes dual MIS/IA concentrations)	16
MACCT with an IA concentration	concentration added Fall 2011
Post-Masters Certificate in MIS	1
Post-Masters Certificate in IA	2

Table 1. Current enrollments in MIS and IA programs and program concentrations.

Current MBA program rules enable many students who hold a BBA to waive most MBA course requirements in general management and complete their degree with 11 courses. Due to the waivers and due to some overlap among courses in the MBA MIS and IA concentrations, it is possible for many students to pursue a dual concentration. Since 2009, the majority of students pursuing/completing MIS and IA concentrations are actually pursuing/completing both concentrations at the same time. A much smaller group of students are pursuing or have completed dual concentrations in Accounting and IA. The majority of all students pursuing dual concentrations are UNM BBA graduates.

UNM has recently been funded by the National Science Foundation to implement the [UNM Scholarship for Service \(SFS\) program](#) starting in 2014. This program provides full scholarships for 6 students per year to pursue a Master's degree at ASM specializing in IA. In exchange, students participate in a mandatory summer internship with a Federal agency and agree to work for a Federal agency for at least 2 years after graduation. Eligible graduate programs for SFS students include the MBA with an IA concentration and the proposed MS-ISA program. Availability of SFS scholarships will further stimulate student demand for the MS-ISA program.

Provide evidence of demand for program graduates.

We anticipate that an MS program will absorb most or all students who would otherwise enroll in the MBA program with the intention of pursuing an MIS and/or IA concentration. We also expect the program to attract recent BS graduates in computer science and computer-related engineering. There are approximately 80 such graduates per year at UNM with many accepting jobs in New Mexico. We expect to attract at least 10 of those students per year. Thus, we anticipate enrollment in the MS-ISA program that equals or exceeds current MBA enrollment and a graduation rate of 30-40 students per year.

US Department of Labor Estimates

(For full proposal, an in-depth needs assessment is required. Department of Labor statistics or surveys of likely employers are potential mechanisms for this.)

Data and estimates in this section are derived from the US Department of Labor, Bureau of Labor Statistics, Occupational Outlook Handbook for 2010-2020. Careers for program graduates are described in two handbook sections: *Management Occupations* and *Computer and Information Technology Occupations*. The subsections below summarize data and estimates for the most applicable occupations

for program graduates. Note that although each career lists a Bachelor's degree as the entry-level educational requirement, graduate degrees are typically held by persons in more advanced positions.

Computer and Information System Managers

Computer and information systems managers, often called information technology managers (IT managers or IT project managers), plan, coordinate, and direct computer-related activities in an organization. They help determine the information technology goals of an organization and are responsible for implementing the appropriate computer systems to meet those goals.

Quick Facts: Computer and Information Systems Managers	
<u>2010 Median Pay</u>	\$115,780 per year \$55.67 per hour
<u>Entry-Level Education</u>	Bachelor's degree
<u>Work Experience in a Related Occupation</u>	More than 5 years
<u>On-the-job Training</u>	None
<u>Number of Jobs, 2010</u>	307,900
<u>Job Outlook, 2010-20</u>	18% (About as fast as average)
<u>Employment Change, 2010-20</u>	55,800

Database Administrators

Database administrators use software to store and organize data, such as financial information and customer shipping records. They make sure that data are available to users and are secure from unauthorized access.

Quick Facts: Database Administrators	
<u>2010 Median Pay</u>	\$73,490 per year \$35.33 per hour
<u>Entry-Level Education</u>	Bachelor's degree
<u>Work Experience in a Related Occupation</u>	1 to 5 years
<u>On-the-job Training</u>	None
<u>Number of Jobs, 2010</u>	110,800
<u>Job Outlook, 2010-20</u>	31% (Much faster than average)
<u>Employment Change, 2010-20</u>	33,900

Information Security Analysts, Web Developers, and Computer Network Architects

Information security analysts, web developers, and computer network architects all use information technology (IT) to advance their organization's goals. Security analysts ensure a firm's information stays safe from cyberattacks. Web developers create websites to help firms have a public face. Computer network architects create the internal networks all workers within organizations use.

Quick Facts: Information Security Analysts, Web Developers, and Computer Network Architects

<u>2010 Median Pay</u>	\$75,660 per year \$36.37 per hour
<u>Entry-Level Education</u>	Bachelor's degree
<u>Work Experience in a Related Occupation</u>	1 to 5 years
<u>On-the-job Training</u>	None
<u>Number of Jobs, 2010</u>	302,300
<u>Job Outlook, 2010-20</u>	22% (Faster than average)
<u>Employment Change, 2010-20</u>	

Network and Computer Systems Administrators

Network and computer systems administrators are responsible for the day-to-day operation of an organization's computer networks. They organize, install, and support an organization's computer systems, including local area networks (LANs), wide area networks (WANs), network segments, intranets, and other data communication systems.

Quick Facts: Network and Computer Systems Administrators	
<u>2010 Median Pay</u>	\$69,160 per year \$33.25 per hour
<u>Entry-Level Education</u>	Bachelor's degree
<u>Work Experience in a Related Occupation</u>	None
<u>On-the-job Training</u>	None
<u>Number of Jobs, 2010</u>	347,200
<u>Job Outlook, 2010-20</u>	28% (Faster than average)
<u>Employment Change, 2010-20</u>	96,600

Software Developers

Software developers are the creative minds behind computer programs. Some develop the applications that allow people to do specific tasks on a computer or other device. Others develop the underlying systems that run the devices or control networks.

Quick Facts: Software Developers	
<u>2010 Median Pay</u>	\$90,530 per year \$43.52 per hour
<u>Entry-Level Education</u>	Bachelor's degree
<u>Work Experience in a Related Occupation</u>	None
<u>On-the-job Training</u>	None
<u>Number of Jobs, 2010</u>	913,100
<u>Job Outlook, 2010-20</u>	30% (Much faster than average)
<u>Employment Change, 2010-20</u>	270,900

(For full proposal, a discussion of the program's relationship to workforce development is also required.)

Although a Bachelor's degree is the entry-level education for each occupation, higher-level degrees are typical for holders of advanced positions. Thus, the program provides workforce development for employees in many computer-related positions in New Mexico. Large employers in New Mexico who typically require graduate degrees for advanced positions include Sandia and Los Alamos Laboratories, the University of New Mexico, state and local governmental agencies, Hewlett-Packard, and Intel.

Include any other information as appropriate that will support evidence of need for the university, state, or region.

ABQ Ranks 6th for Secure Data Center Sites (<http://www.unm.edu/news/2012/march/26data.html>).

Secure data centers typically employ persons with advanced degrees in information assurance.

Albuquerque is also home to an FBI regional computer forensics lab. Technology and white collar crime forensic skills are a key focus of the program and are in demand at the forensics lab and in law enforcement agencies, prosecutor's offices, and private law firms.

Duplication

Identify, if any, similar programs offered at New Mexico public or private institutions of higher learning. Also identify comparable programs in other states through which New Mexico students have access via the WICHE professional student exchange or WICHE regional graduate program.

If similar programs are offered within the state, describe how the proposed program will meet needs that are currently not met by existing programs already being offered (e.g., programmatic considerations, geographic needs, economic development factors, student demand, etc.).

New Mexico

Three programs related to IS and IA exist in New Mexico **though all are quite different** from the proposed program. Details are provided below for completeness but the programs are not considered similar or duplicative for other purposes within this proposal.

- **New Mexico Highlands University School of Business, Media & Technology** (<http://www.nmhu.edu/academics/undergraduate/business/index.aspx>) has an MS program in Software-driven Systems Design building on their undergraduate program in that area. The program includes courses in software development, data representation and visualization, and systems science and theory. Key differences from the program include having no courses in general management, project management, professional communications, and information assurance. As such, it is targeted to a much narrower audience of students and employers – those focused on internal development of complex software and related systems.
- **New Mexico Tech** (<https://cs.nmt.edu/~IA/index.html>) offers information assurance courses and BS/MS/PhD degrees in its Computer Science department which solely focus on technical aspects of information assurance. Key differences from the program include the purely technical focus and a lack of courses in information systems, project management, professional communications, and general management topics.

- **UNM** also offers an MS degree in computer science, which can include some IA-related courses. Key differences from the program include a lack of courses in management, project management, professional communications, and information systems. IA-related courses offered by the UNM CS and ECE departments are included within the program proposed herein.

Other in-state higher education institutions do not offer MS programs in information systems and assurance. As such, **the proposed program will be unique within the state** in fulfilling employer and student demand for a program that integrates managerial and technological aspects of both information systems and assurance.

WICHE and Southwest Region

In the southwest region including Arizona, California, Colorado, Texas, Oklahoma, Utah, and Nevada, MS degree programs in information management or management information systems are offered by 14 schools including Arizona State University, BYU, Baylor University, San Diego State University, Texas A&M University, Texas Tech University, University of Arizona, University of Colorado-Denver, University of Nevada-Reno, University of Nevada-Las Vegas, University of Oklahoma, University of Texas-Arlington, University of Utah, and University of Dallas. All of their MS degrees are in general information systems and have a number of optional courses about information assurance, rather than a particular emphasis on information systems and assurance, as we propose.

Only a limited number of peer institutions, such as University of Texas in San Antonio, University of Louisville, and Temple University offer MS degree in information systems & assurance in the college/school of business or management, while most of MS degrees are offered by colleges engineering. An information systems & assurance *business* degree is fundamentally different from and prepares students for different careers than an *engineering* degree.

The only WICHE-member institution offering a degree with any significant overlapping content in the University of Nevada at Las Vegas. As with most other programs in the region, their program is a general MIS degree with limited IA content. In addition, the program is not available online nor via other distance education methods. Thus, it is unavailable to working students in New Mexico who would complete the MS-ISA program part-time.

(For full proposal, data must be provided for each program within the state for three consecutive years of number of students admitted, number of degrees awarded, and current capacity to support justification of need for proposed program.)

N/A – no similar programs exist in the state.

(For full proposal, attach statements from representatives of existing programs in the state or from WICHE institutions regarding their position on the proposed program, if available.)

Inter-institutional Collaboration and Cooperation

If applicable, describe opportunities for collaborative relationships with other institutions for shared instruction, faculty arrangements, or student experiences. (For full proposal, outline specific details of any planned collaborative relationships with other institutions and provide supporting documentation if available. Address the governance structure for the collaboration in Section 9.)

N/A – needed faculty and other resources are unique to the University of New Mexico

Clientele and Projected Enrollments

Clientele

Describe the population of students that will be recruited for the program.

The student populations that will be recruited for the program include:

- Students who hold a BBA or similar degree with an emphasis in information systems or accounting
- Students who hold a Bachelor's degree in computer science, computer engineering, or a closely-related field

Indicate how you plan to recruit students. (For full proposal, demonstrate how recruitment plan addresses underrepresented student populations within the state and contributes to preparing a diverse workforce.)

Initially, students will be recruited primarily from within New Mexico. Since the majority of New Mexico students with appropriate undergraduate degrees earn them from UNM, UNM students will be the primary target group. However, we will also actively recruit from other in-state institutions including NMSU, NM Tech, and NM Highlands, all of which have at least one undergraduate program matching the target student background.

If sufficient resources exist, we will recruit nationally and internationally for the program. Though the program content in MIS is not unique within the nation, the program content in IA is unique within the nation and internationally. Only a handful of management schools in the world offer a Master's degree with significant IA-related content and only UNM enables to students to combine accounting- and computing-related content. In addition, the MS-ISA program will be one of a small number of IA-related programs that can be completed online. As such, we anticipate that the program could attract significant numbers of national and international students if sufficient resources were available to meet the demand.

Describe the admission requirements for the program.

Admission Requirements

- Completed bachelor's degree with at least 30 credit hours of courses in management, computer science, or computer-related engineering.
- A grade point average of 3.0 for the last 60 hours of college coursework including any post baccalaureate work.
- A score equal to or greater than 500 on the Graduate Management Admission Test (GMAT) or a Graduate Record Examination (GRE) score that is equal to or greater than 500 on the quantitative section and a score equal to or greater than 500 on the verbal section.

Projected Enrollment

Provide a five-year projection of enrollments. (For full proposal, detailed tables of enrollment projections are required and should include new student headcount, returning student headcount, the number of students expected to enroll full-time and part-time, and the total number of student credit hours expected to be generated for the first five years. A description of the methodology used to arrive at these projections should also be provided.)

We anticipate admitting 35 FTE students to the program annually. **That level will be achieved immediately** since the program will replace the existing MBA program with concentrations in MIS and IA. There will be no gradual ramp up of capacity since the existing MBA concentrations are already at capacity and since we anticipate that all of current students pursuing those concentrations will transition into the MS-ISA program in its first year.

Anticipated admission and enrollment levels are based on existing MBA enrollments and graduations over the last 4 years. Approximately 40% of each admitted class will be full-time students who will normally graduate in three semesters, Part-time students are assumed to graduate in four years, thus completing an average of eight credit hours each year. A 5 year enrollment projection incorporating these assumptions appears in the table below.

Year	Headcount					Credit Hours				
	New Full-Time	New Part-Time	Return Full-Time	Return Part-Time	FTE	New Full-Time	New Part-Time	Return Full-Time	Return Part-Time	Total
1	20	45	20	135	88	480	360	160	1080	2080
2	20	45	20	135	88	480	360	160	1080	2080
3	20	45	20	135	88	480	360	160	1080	2080
4	20	45	20	135	88	480	360	160	1080	2080
5	20	45	20	135	88	480	360	160	1080	2080

Table 2. Five year head count and credit estimates assuming no enrollment growth.

Institutional Readiness for the Program

How many faculty are necessary for program delivery?

How will this program affect the workload of current faculty and support staff?

Will additional faculty or staff be required? What is the cost?

There are currently eight full-time faculty within Anderson that cover MIS and IA courses and a handful of adjunct and emeritus faculty teaching specialized MIS/IA courses. Full-time faculty members teach between 4 and 6 course sections per year, depending on their rank and research activities.

Additional adjunct faculty members teach MGMT 450 (BBA MIS core course), which frees other faculty members to cover specialized MIS/IA courses. No new full-time faculty resources are anticipated in the near future, though it is possible to add additional adjunct faculty to cover specialized courses. Existing faculty also staff undergraduate MIS courses (approximately 19 sections/ year).

Name	Status	Graduate Courses	Specialization Areas
Bose	Full-time, tenured	634, 635	Systems analysis/design, object-oriented methods, business intelligence
Brody	Full-time, tenured	594, 641, 642	Fraud and forensic accounting, internal and external auditing
Burd	Full-time, tenured	637, 647	Database management, computer hardware/software, accounting information systems, systems analysis/design, application development
Flor	Full-time, tenured	632	Application development, marketing applications, digital media, social networking
French	Full-time, tenure-track	None at present	Database management, application development, IS strategy and policy, project management, systems analysis/design
Jurkat	Adjunct, 2-3 courses/semester	634, 635	Systems analysis/design, database management, data mining
Luo	Full-time, tenured	630, 636, 646	Security, digital forensics, application development, multinational and behavioral IA issues, IS management
Pickard	Full-time tenure-track	549, 635, 637, 639	Database management and mining, advanced application development
Rooney	Staff, max 2 courses per year	632	Application development
Saiz	Staff, max 2 courses per year	647, 637	System/network administration, database management, application development
Schatzberg	Emeritus	630, 631	IS strategy and policy, project management, systems analysis/design
Seazzu	Full-time, lecturer	636, 646, 648, 649	Security, IS resource management

Table 3. Current faculty pool for MIS and IA courses.

Based on existing teaching loads – full-time and emeritus faculty can cover 30 course sections per year. Adjuncts currently cover approximately 15 sections per year. Total capacity is approximately 45 sections

per year which is a few sections less than the current number of sections offered. One possible scheduling scenario is shown in Figure 1.

Fall Semester		Spring Semester	
	Course	Instructor	
UG Core	MGMT 450-1	Bose	MGMT 450-1
	MGMT 450-2	Adjunct	MGMT 450-2
	MGMT 450-3	Adjunct	MGMT 450-3
	MGMT 450-4	Luo	MGMT 450-4
	MGMT 450-5	French	MGMT 450-5
UG Tech	MGMT 329	French	MGMT 329
	MGMT 330	Flor	MGMT 330
	MGMT 331	Flor	MGMT 336
	MGMT 336	Luo	MGMT 337
	MGMT 459	Bose	MGMT 459
			MGMT 461
Grad Core/Tech	MGMT 533 (Prof Comm)	Burd	MGMT 633 (V/Ctr Mgmt)
	MGMT 630	Schatzberg	MGMT 594
	MGMT 635	Jurkat	MGMT 631
	MGMT 636	Seazzu	MGMT 632
	MGMT 637	Burd	MGMT 634
	MGMT 639 (Adv DB)	Burd	MGMT 636
	MGMT 642-1	Brody	MGMT 639 (IA Data Anal)
	MGMT 642-2	Brody	MGMT 639 (Scripting)
	MGMT 647	Saiz	MGMT 641
	MGMT 648	Seazzu	MGMT 646
	MGMT 649	Seazzu	MGMT 648
Total Sections/semester		21	22
Faculty Sections	Adjunct	6	
	Bose	4	
	Brody	3	
	Burd	5	
	Flor	5	
	French	4	
	Jurkat	1	
	Luo	4	
	Pickard	1	
	Rooney	1	
	Saiz	2	
	Schatzberg	1	

Fall Semester			Spring Semester		
	Course	Instructor		Course	Instructor
	Seazzu	6			
	Total Sections/AY	43			

Table 4. One possible scheduling scenario for undergraduate and graduate MIS and IA courses (assuming no enrollment growth)

There are a few issues that could make faculty course coverage tighter than is shown in Figure 1. The first is sabbatical and other leaves. With seven full-time tenured or tenure-track faculty members, one sabbatical leave per year is expected. If the sabbatical is for one semester then coverage for 2 sections is lost. If sabbatical is for a year, 4-5 sections are lost. Full-year sabbaticals normally free up one third of the faculty member's salary which is sufficient to pay adjuncts but not sufficient to hire a visitor.

Another issue that significantly impacts faculty course coverage is enrollment growth. Only a handful of courses in the existing undergraduate and graduate programs are offered multiple times per year. Current enrollment fills the majority of IA class and MIS core class (MGMT 450) sections to capacity. Most MIS concentration class sections are filled to at least 75% of capacity.

If enrollment in the MS-ISA doesn't exceed current MBA enrollment and if BBA enrollment doesn't increase then sufficient faculty capacity exists. However, any significant increase in enrollment in the BBA program as a whole (thus increasing required MGMT 450 sections), the BBA MIS concentration, or graduate MIS and IA enrollments will require adding additional sections, thus stretching full-time faculty beyond capacity. Such an outcome would require either additional full-time faculty or more part-time faculty coverage. Given the difficulty in securing new permanent faculty lines and the riskiness of building a program on part-time faculty, **admissions may have to be capped to prevent over-extending current faculty.**

A third issue that impacts faculty course coverage is the number of preparations per faculty member. Preparation load is uniformly high with many faculty covering 3 or 4 different courses per year. Some content overlap between graduate and undergraduate courses partly alleviates the challenge, although pending course content changes will reduce content overlap. Maintaining content currency is difficult for many full-time faculty given service and research demands, rapidly changing technology, and the large number of preparations. While larger enrollments would make it feasible to offer more courses multiple times per year, they would also exacerbate the overall faculty shortage unless additional faculty members were added.

In sum, the existing programs fully utilize existing full-time faculty resources. We expect the new MS program to absorb all current graduate enrollments in MBA-related concentrations. Thus, there is minimal room for enrollment growth over current levels without additional faculty resources. This fact constrains our ability to attract new cadres of local, national, or international students.

Will any GA/TA positions be used to assist graduate faculty in the program?

Existing GA lines will be used to support existing faculty teaching in the program, one for each full-time tenured or tenure-track faculty member.

What faculty and staff development services will be needed?

No development services beyond those already in place at Anderson are required for the program.

What technology, media, equipment and instructional supplies are needed to support the program's intended outcomes? Are these resources available? What is the estimated cost?

Existing and proposed courses in the program extensively use computer labs and related software resources. These resources are already in place to support existing programs and they are funded by technology fees for Anderson courses. Since the current funding mechanism scales with enrollment, any increase in program enrollment above the existing enrollment levels will generate new revenue to pay for additional computing resources.

The specific computing resources that support the program include:

- Anderson physical computing lab (ASM #1002)
- Anderson virtual computing lab
- Anderson IA computing lab
- Software licenses primarily covered under Anderson's Microsoft Academic Alliance membership

Are there any needs for additional or renovated space?

Additional or renovated space will not be required unless enrollment is significantly larger than current enrollment.

What, if any, existing external facilities will be used? (For full proposal, discuss any agreements that have been or will be entered into for use of the facility.)

Current programs use facilities at the FBI Regional Computer Forensics Lab, which is located on the UNM south campus. UNM already has an agreement for use of this facility and that agreement extends to all UNM IA-related programs.

Projected Cost of the Program

Provide a five-year projection of program costs including the new costs for program start up and recurring costs to sustain the program including any new costs identified in Sections 5 or 7.

Incremental costs are zero as long as enrollment does not exceed current enrollment or is capped at present levels.

If applicable, describe anticipated sources of new revenue required for the program.

N/A

Provide a rationale for any course fees or other expenses (in addition to tuition) that students will be expected to cover.

Current Anderson students pay technology fees of \$10 per credit hour and an online class fee of \$100 per course. These fees will apply to all courses within the MS-ISA program. Technology fees provide funding for computing hardware, software, and related services which are intensively used across Anderson courses but especially within MIS and IA courses. Online fees cover incremental costs of online course delivery including some related technology, faculty training, and support staff dedicated to online course support.

(For full proposal, a detailed five-year program budget is required outlining programs costs and anticipated state support based on the state funding formula and other areas of support.)

Two budget scenarios are provided in the table below:

- A. Same enrollment as existing MBA concentration in MIS and IA
- B. Double enrollment of existing MBA concentrations in MIS and IA

Program Costs	Budget Scenarios			
	Same enrollment (A)		Double enrollment (B)	
	1 Year	5 Year	1 Year	5 Year
Full-time faculty lines	\$ 660,000	\$ 3,300,000	\$ 1,320,000	\$ 6,600,000
Adjunct faculty	\$ 40,000	\$ 200,000	\$ 80,000	\$ 400,000
Graduate assistants	\$ 50,536	\$ 252,680	\$ 101,072	\$ 505,360
Administrative support	\$ 26,250	\$ 131,250	\$ 52,500	\$ 262,500
Technology costs	\$ 20,880	\$ 104,400	\$ 41,760	\$ 208,800
Marketing costs	\$ 1,000	\$ 5,000	\$ 5,000	\$ 25,000
Total Program Costs	\$ 798,666	\$ 3,993,330	\$ 1,600,332	\$ 8,001,660
Program Funding				
Tuition	\$ 1,036,901	\$ 5,184,504	\$ 2,845,526	\$ 14,227,632
State formula funding	\$ 1,367,640	\$ 6,838,200	\$ 2,735,280	\$ 13,676,400
Technology fees	\$ 20,880	\$ 104,400	\$ 41,760	\$ 208,800
Online course fees	\$ 70,400	\$ 352,000	\$ 140,800	\$ 704,000
Total Program Funding	\$ 2,495,821	\$ 12,479,104	\$ 5,763,366	\$ 28,816,832
Excess or (Deficit)	\$ 1,697,155	\$ 8,485,774	\$ 4,163,034	\$ 20,815,172

Table 5. Program costs and funding assuming current enrollment and doubled enrollment.

Estimated program costs include salary and benefits for full-time faculty, adjunct faculty, graduate assistants, and administrative support. Technology costs for support provided by Anderson are included and are assumed equal to funding generated by the technology fee. Costs not considered include buildings/space and administrative support outside of Anderson (e.g., OGS and NMEL). Marketing costs

are small if program capacity matches current MBA levels. Marketing costs increase disproportionately in scenario B because the marketing effort would be national in scope.

Key assumptions underlying the budget scenarios include:

- Sections taught and average enrollments are based on MIS and IA concentration courses in the MBA program with additional sections for 2 new courses and an average of one general management course per student
- Full-time faculty teach an average of 4 sections per year
- The proportion of sections taught by full-time and adjunct faculty is the same as current proportions for MIS and IA courses in the MBA program
- All salary cost projections include indirect costs (benefits)
- Each full-time faculty member has one graduate assistant
- 10% of students pay out-of-state tuition in scenario A, 40% in scenario B
- State formula funding is \$653 per credit hour
- Enrollment level per year is constant for the 5-year budget
- No inflation assumptions are incorporated into costs or funds

Quality of the Program

Describe the qualifications of the faculty and how the expertise and experience will contribute to the quality of the program.

Faculty Member	Teaching Areas	Research Areas
Rich Brody, PhD, CPA, CFE	Fraud examination, forensic accounting, internal and external auditing	Corporate and financial fraud, identify theft, cross-cultural issues in fraud and Internet banking
Ranjit Bose, PhD	Systems analysis/design, object-oriented methods, business intelligence	Object-oriented software development, IS strategy & policy
Stephen Burd, PhD, CPA	Systems architecture, databases, system and network administration, accounting information systems	Virtualization, systems architecture, auditing, health information technology
Nick Flor, PhD	Application development, user-interface design	User-interface design, mobile applications, social media, digital marketing
Aaron French, PhD	Application development, databases, IS strategy, project management	Information security, social networks, web development
Xin Luo, PhD	Information system security, computer forensics, application development	Information security, E-commerce/M-commerce, Internet banking, global IT adoption and management
Matthew Pickard, PhD	Forensic accounting, accounting information systems, data mining, database management	Forensic accounting, cyber-infrastructure, machine learning, computational linguistics
Laurie Schatzberg, PhD	IS policy, project management, systems analysis & design	Subse. of teaching areas
Alex Seazzu, MBA	Computer and network security, information assurance	Computer and network security, internal auditing, virtualization, cloud computing

Table 6. Full-time faculty qualifications.

Show how the proposed admission standards (identified in Section 1) compare with those of other institutions offering a similar program.

Institution and program	Admission standards
UNM – MS in ISA	<ul style="list-style-type: none"> • Completed bachelor's degree with at least 30 credit hours of courses in management, computer science, or computer-related engineering. • A grade point average of 3.0 for the last 60 hours of college coursework including any post baccalaureate work. • A score equal to or greater than 500 on the Graduate Management Admission Test (GMAT) or a Graduate Record Examination (GRE) score that is equal to or greater than 500 on the quantitative section and a score equal to or greater than 500 on the verbal section. • International students from a country where English is not the native language and who did not receive a degree from a university where English is the language of the institution need to submit a TOEFL score (minimum score of 550 for the paper-based test, 213 for the computerized test, or 80 for the internet-based test) or IELTS score (minimum score of 6.0)
University of Nevada Las Vegas – MS in MIS	<ul style="list-style-type: none"> • Undergraduate degree completed at time of enrollment in the Master of Science program (successful applicants typically have at least a GPA 3.0 on a four-point scale or equivalent) • GMAT or GRE score (successful applicants typically have a score of 550 or higher on the GMAT or a score of 1050 or higher on the GRE). The combined portfolio of test score, undergraduate GPA, and other materials will be considered to make an admission recommendation. • Two letters of recommendation uploaded to the application system or submitted in sealed envelopes (letters may be from professional and academic references) • International students from a country where English is not the native language and who did not receive a degree from a university where English is the language of the institution need to submit a TOEFL score (minimum score of 550 for the paper-based test, 213 for the computerized test, or 80 for the internet-based test).
University of Texas at San Antonio – MS in Information Technology – Information Assurance	<p>For admission to the M.S.I.T. program, applicants must meet University-wide graduate admission requirements. Applicants are further considered on the basis of demonstrated potential for success in graduate study in information technology as indicated by a combination of prior academic achievement, Graduate Management Admission Test (GMAT) scores, personal statement, résumé (optional), and references (optional). The M.S.I.T. Graduate Program Committee evaluates each applicant individually based on the complete package of submitted materials.</p>

Institution and program	Admission standards
University of Colorado at Denver – MS in MIS	<ul style="list-style-type: none"> • Your complete academic records, including GPAs and previous coursework are considered. Undergraduate degrees do not have to be in business, but they must be from regionally accredited colleges or universities. • The Business School uses the test as one predictor of academic performance in the graduate business programs. The average GMAT for admitted students is 560. • If you are an International applicant and your first language is not English you must meet this requirement in one of the following ways: <ul style="list-style-type: none"> ○ Submit TOEFL score that meets the minimum requirements: MBA: 83 (IBT) or 560 (PBT), 11-Month MBA: 90 (IBT) or 575 (PBT), all other programs: 71 (IBT) or 525 (PBT). ○ Submit IELTS score that meets the minimum requirements: MBA and 11-Month MBA: 6.5, all other programs: 6.0. ○ The TOEFL/IELTS will not be required, if the applicant has completed a baccalaureate or graduate-level degree program at an English speaking college or university or have completed at least 2 semesters at a college or university in the United States as a full-time student and obtained a “B” average (3.0 GPA) or higher. ○ The TOEFL/ IELTS can also be waived if the applicant successfully completes CU Denver’s English as a Second Language (ESL) Program (http://esl.ucdenver.edu) and submits a recommendation letter from the program. • Relevant background and a history of increasing responsibility are viewed positively, but are not required for admission.

Table 7. Summary of admission standards for similar degree programs at peer institutions.

What instructional model(s) will be used in presenting the program? What types of technology will be used for delivery of instruction?

Content will be delivered via a combination of face-to-face lecture, experiential, and online learning.

What types of opportunities for experiences outside of the classroom will be available to students and utilized in the program (e.g., internships, service learning projects, research-based service learning).

The MS-ISA program includes strong experiential and research elements that are interwoven through multiple IA courses. These elements enable the program to achieve synergistic goals including enhancing student knowledge and skills, projecting IA knowledge and capabilities into the larger community, and enhancing IA-related research. These activities support current IA students and will form a significant part of the learning and professional development experience for students.

The RCFL at UNM is a partnership among the university, national labs, and national, state, and local law enforcement agencies. While there are 15 other RCFL sites, UNM is only the second participating university and the facility is located on campus. Unlike most RCFLs, the RCFL at UNM also has a unique

research and training mission that combines academic, national lab, and law enforcement expertise and resources. An MOU between UNM and the FBI provides three specific experiential opportunities for IA students: regular internships, the FBI's Honors summer internships and year-round volunteer internships. The primary focus areas of RCFL-related activities for IA students are digital forensics and live detection and analysis of network intrusions.

Center for Cyber Defenders. Sandia National Laboratories extended its *Center for Cyber Defenders (CCD)* program onto the UNM campus in 2008 through an onsite satellite office housed at CIARE. SNL provides training materials, guidance through regular interaction, and internship opportunities for students in the MS-ISA program. Having a CCD program dedicated to management students allows SNL to help UNM build the program specifically around the management aspects of IA. These include hands-on project experience and research and managerial areas on IA. Current research by students and faculty include the adoption of and resistance to information security policies and the management of the discovery process in litigation.

Tracer FIRE (Forensic and Incident Response). Sandia and Los Alamos National Labs conduct two day training sessions with leading experts in the field of computer forensics and incident response in the spring and fall semesters. Through these hands-on exercises IA students are immersed in the cognitive skills needed to perform forensic analysis and reverse engineering of malicious code in a hostile networked environment. The first day is designated to provide training sessions while the second day hands on application and competition.

Collegiate Cyber Defense Competition. A team of students from the IA program participates in a controlled, competitive environment to assess their depth of understanding and operational competency in managing the challenges inherent in protecting a corporate network infrastructure and business information systems.

Computer and Network Vulnerability Assessment Simulation (CANVAS). Developed through the Air Force Academy, IA students from the program participate in CANVAS which shares some elements with a typical "Capture the Flag" exercise, but differs from other security competitions in the overall approach to the exercise, in the exercise objectives, in team makeup, and in the evaluation criteria. Teams are formed at the exercise and combine students from different backgrounds.

Fraud Prevention Audits. Students enrolled in Fraud Examination (MGMT 642) form teams to conduct fraud prevention audits for non-profit organizations in New Mexico. The audits analyze existing internal control systems, identify weaknesses, and recommend improvements to prevent fraud. The audits encompass both accounting and information security topics including asset custody, maintenance of accounting records, segregation of duties, authorization and authentication for automated systems, and the security of workstations, servers, databases, and networks. When the audit is completed, students produce a final report distributed to appropriate parties in the organization.

White Collar Crime Internship. Students who have completed MGMT 641 and 642, including SFS recipients, have an opportunity to work with the white collar crime units of the Secret Service, the Albuquerque Police Department (APD), the Bernalillo County Sheriff's Department (BSC), or the NM

State District Attorney's (DA) office. Students work up to nine hours a week at their respective agency during each semester and assist detectives investigating crimes of embezzlement, fraud, and forgeries that occur in organizations of all sizes.

K-12 Outreach. Every semester, students enrolled in the introductory IA course (MGMT 636) are required to complete an outreach project for a local or state K-12 school. The presentations educate our younger population about the properties of digital information, the risks of not properly caring for what belongs to the individual or the group, and the importance of securing the transmission, processing and storage of information. Projects have reached over 1000 students in 30 schools with topics that range from "Protecting Your Information in Social Networks" to "Digital Rights Management".

Courseware Development. Students in MGMT 646 and 648 develop hands on modules for different student populations, from K-12 to graduate, that demonstrate different technical risks present in protecting information systems and digital forensics. The VLAB serves as the virtual sandbox where diverse environments and scenarios are presented to demonstrate systems and network vulnerabilities. Additional information about the labs developed by our students is available at <http://ia.unm.edu/labs.asp>.

What student support services are likely to be needed and to what extent (CAPS, library, ITS, advising, etc.)? What is the estimated cost?

No additional student support services are anticipated beyond those already provided for Anderson graduate students

What student support will be needed (GA & TA positions, scholarships, internships, etc.)?

No additional GA/TA positions, scholarships, or internships are required unless enrollment exceeds current MBA enrollment. A grant proposal has been submitted to the NSF under the CyberDefenders Scholarship for Service program. If the proposal is funded, it will provide full scholarship and limited administrative support for 33 MS-ISA students over a 5 year period.

What are the expected student learning outcomes for the program? What will the students know and what will they be able to do when they complete this program?

Graduates will combine knowledge and skills in computer and network security, individual and organizational behavior, accounting and finance, information technology, and a wide range of supporting disciplines to address the requirements of careers in criminal investigation, intelligence, national security, auditing, information system design, and management of critical information technology infrastructure.

How will the program's learning outcomes be measured? (For full proposal, also describe any final integrating experiences in the program that will be used to assure graduates have acquired the knowledge and skills expected for the degree/certificate awarded.)

Building upon successful implementation of Assurance of Learning for Anderson's international accrediting body (AACSB), the faculty will use a portfolio review process to assess mastery of program learning goals. A sampling of student projects or papers from the program core MS-ISA classes (MGMT 533, 631, 636, and 637) will be collected during the academic year. MS-ISA faculty will conduct portfolio reviews to determine the effectiveness of teaching, gaps in learning and/or course content, and to develop suggestions for revising curricula and syllabi to close noted gaps.

Based on experiences in other Anderson graduate programs and input from employers, we will pay particular attention to critical thinking, problem analysis and solution, and written and verbal communications in addition to specific technical content skills.

The MS-ISA faculty will maintain documentation of the review process, findings, and subsequent remedial actions.

[Prior to submission to the NMHED and NMGDC, the proposal should UNM is institutionally accredited by the Higher Learning Commission of the North Central Association and has approval to offer any degree program appropriate to UNM's mission.]

If applicable, describe any accreditation issues, including the following:

Will accreditation be sought for the program? If so, describe the process and expenses involved.

How does the program affect any existing accreditation and licensure requirements?

Anderson is accredited by the AACSB and all programs offered by the school must be accredited. Accreditation requirements for the MS-ISA program will be similar to those for the extant MS in Accounting program. Accreditation expenses for all Anderson programs are covered by its annual AACSB membership fee.

Assessment of Operations and Impact

In addition to student learning outcomes, what other measures to evaluate program effectiveness are contemplated?

As for all Anderson programs, program effectiveness will be measured by admissions rates, graduation rates, placement rates, and satisfaction surveys of students, alumni, and external constituents. Measurement, evaluation, and follow-up programs are well-established within Anderson as required by UNM and AACSB accreditation standards

(For full proposal, a long-range plan (at least 5 years) for program assessment and evaluation must be included.)

Anderson has formal ongoing assessment programs for all academic programs which will be extended to the MS-ISA program. Key assessment program elements performed on an annual basis include:

- Student surveys
- Employer surveys
- Review of enrollments and graduations
- Merit reviews of program faculty

In addition, Anderson is scheduled for an accreditation review by the AACSB in academic year 2015/2016 covering all degree programs including the MS-ISA.

Administrative Responsibility for the Program and Institutional Commitment

What is the proposed governance structure of the program? (For full proposal, a thorough discussion is especially important for interdepartmental and intercollegiate programs or when entering into collaborative agreements with other institutions.)

MS-ISA program governance will be the same as for other Anderson graduate programs. Primary responsibility for the program rests with the Dean and the faculty as a whole. Administrative governance will be implemented by the Dean, Associate Dean, department chairs, and Director of Advisement and Placement Services. Faculty will provide evaluation and oversight through the Anderson Graduate Curriculum & Programs Committee.

[Prior to submission to the NMHED and NMGCD, documentation outlining the institution's priority for the proposed program should be obtained from the Provost's Office to include with the proposal.]

Additional Information

Provide any additional information needed to make the case for development of a full proposal. (For full proposal, provide any additional information to support the request for the proposed degree program.)

Working Definitions of Information Systems and Information Assurance

The field of **Information systems (IS)**, also called **management information systems (MIS)**, is the study of how computer hardware, software, networks, and related technologies are best applied to the management and operation of organizations. Subtopics include:

- Applications development, implementation, deployment, operation, and maintenance
- Information and technical resource management
- System and network administration

- Applying technology to achieve greater efficiency, improved quality, and competitive advantage
- Management of projects charged with developing, integrating, and de-commissioning systems and applications, including user involvement and training

Information systems is distinct from the related fields of computer science and computer engineering. Differences include:

- IS has a bias toward applications in business, governmental, and non-profit organizations as compared to scientific and engineering applications
- IS emphasizes application of existing and emerging technologies rather than development of new technologies

Despite their differences, the fields share many common characteristics that arise from using computer and related technologies to solve real-world problems.

The field of **Information assurance (IA)** is the study of "measures that protect and defend information and information systems by ensuring their availability, integrity, authentication, confidentiality, and non-repudiation. These measures include providing for restoration of information systems by incorporating protection, detection, and reaction capabilities." [\[source\]](#) Though broadly inclusive, this definition emphasizes traditional computer, network, and information security and obscures some significant subfields commonly included within information assurance including:

- Forensic investigations - particularly those that employ computer technology
- Risk assessment at all organizational levels
- Penetration testing and other methods of assessing vulnerability to external threats
- Auditing, internal control, and other behavioral subfields normally associated with accounting

As with information systems, the study of information assurance in a managerial context differs from similar study in computer science and engineering primarily in that the management context:

- Emphasizes application of existing and emerging technologies rather than development of new technologies
- Includes of subject such as law, policy, procedure, and human factors

Attachments full proposal only

Department of Labor documentation, if applicable.

Incorporated into proposal body

Formal Needs Assessment.

Incorporated into proposal body

List of similar programs (state and regional).

Incorporated into proposal body

List of potential employers.

Incorporated into proposal body

List of advisory committee or board members, if applicable. Minutes of advisory committee or board meetings, if applicable.

Mary Adams - Sandia National Labs
Mary Adkins - Federal Bureau of Investigation
Elisha Allen - UNM New Media Ext Learning NMEL
Terry Boulanger - New Mexico Technet
Matthew Deller - Sandia National Labs
Jenna Esparza - Los Alamos Labs
Lynn Harris - Albuquerque Public Schools
Stephen Howard - Los Alamos Labs
Clint Hubbard - Albuquerque Police Department
Justin Johnson - Sandia National Labs
Darrin E. Jones - Federal Bureau of Investigation
John Larson - Sandia National Labs
Stephen Lee - SL Consulting
Barbara Lopez - PNM Resources, Business Technology Services
Robert Mayer - Albuquerque Health Partners
Andrew Sloan - Department of Homeland Security
Kelcey Tietjen - Los Alamos National Labs
John C. Woods - Intel

Letters of support from external partners or stakeholders.

See attachments

Additional Attachments for submission to NMHED and NMGDC (supplied by Provost's Office)

Minutes from the Board of Regents meeting, noting approval.

Documentation of institution's priority for the proposed program.



College of Business

Office of the Dean

MSC 3AD

New Mexico State University

P.O. Box 30001

Las Cruces, NM 88003-8001

575-646-2821, fax: 575-646-6155

business.nmsu.edu

September 4, 2012

Re: UNM Anderson School of Management Proposal for MS in Information Systems and Assurance

Dear Program Review Committees:

I'm writing to support the UNM Anderson School of Management proposal for a MS degree in Information Systems and Assurance.

New Mexico State University's College of Business does not offer a similar program and we see the value to New Mexico of launching this program. As noted in the UNM proposal, students who earn the MS/ISA degree will be positioned to enter the New Mexico workforce in both the private and public sectors, taking on technical roles in IT security or information systems management.

Yours truly,

A handwritten signature in black ink, appearing to read "Garrey E. Carruthers", written over a light blue rectangular background.
Garrey E. Carruthers

Dean

Stephen Burd

From: Subhasish Mazumdar <mazumdar@cs.nmt.edu>
Sent: Monday, September 17, 2012 11:55 AM
To: Stephen Burd
Cc: Subhasish Mazumdar; Peter Gerity
Subject: Re: MS in Information Systems and Assurance program proposal

Dear Program Review Committee,

The Computer Science and Engineering Department of New Mexico Tech has read a proposal for an MS program in 'Information Systems and Assurance' prepared by the UNM Anderson School of Management.

We do not see any appreciable overlap with our programs in technical content.

I am therefore pleased to offer our support to the UNM Anderson School of Management for its proposed MS degree in Information Systems and Assurance.

The students entering the NM workforce with this degree should be able to fill important Information Technology roles in both the private and public sectors.

Yours sincerely,

Subhasish Mazumdar

Subhasish Mazumdar, Ph.D.
Associate Professor & Chair,
Dept of Computer Science and Engineering,
Adjunct Faculty, Dept of Management.
New Mexico Tech
801 Leroy Place, Socorro, NM 87801
Work: (575) 835-5288
Fax: (575) 835-5587
www.cs.nmt.edu/~mazumdar

New Mexico



Box 9000
Las Vegas, New Mexico 87701

Tel: 505-425-7511

www.nmhu.edu

10/8/2012

Subject: Re: MS in Information Systems and Assurance program proposal

Dear Program Review Committee,

Faculty from the graduate Software-driven Systems Design and the graduate Media Arts and Computer Science programs in the Department of Media and Technology, School of Business, Media, and Technology at New Mexico Highlands University have read a proposal for an MS program in 'Information Systems and Assurance' prepared by the UNM Anderson School of Management.

We do not see any appreciable overlap with our programs in technical content.

We are therefore pleased to offer our support to the UNM Anderson School of Management for its proposed MS degree in Information Systems and Assurance.

The students entering the NM workforce with this degree should be able to fill important Information Technology roles in both the private and public sectors.

Sincerely,

A handwritten signature in black ink, appearing to read "David M. West", with a long horizontal flourish extending to the right.

Prof. David M. West
Software-driven Systems Design, Media and Technology Dept.
New Mexico Highlands University



Sandia National Laboratories

Operated for the U.S. Department of Energy by

Sandia Corporation

P.O. Box 969
Livermore, CA 94551-0969

Phone: (925) 294-4531
Fax: (925) 294-6600
Internet: rlhutch@sandia.gov

Dr. Stephen Burd
Anderson Schools of Management
MSC05 3090
University of New Mexico
Albuquerque, NM 87131-0001

September 26, 2012

Dear Dr. Burd;

I'd like to take this opportunity to express my enthusiastic support to establish a Master's degree program in Management of Information Systems and Assurance. Your Program targets a unique area of growing need to National security: information assurance to protect our financial and business interests. Over the last several years, the Nation has witnessed the emergence and rapid growth of sophisticated criminal organizations that have transformed computer compromise into a lucrative business. Technology alone cannot address these threats; our greatest opportunities to manage national cyber security risks require policy analysis, security metrics, identity management, and business processes. Without these skills, it's entirely possible to spend billions of dollars on technology and end up with a less secure system. Your proposed Program that couples fraud accounting and financial investigations with digital forensics and computer security will likely have a greater impact on these criminal organizations than will pure technical programs.

Our organization has recently recognized the importance of Master of Science programs that include all aspects of Information Management and security and has restructured our employment designations in order to enable a more aggressive hiring program for these critical skills. We will look to University of New Mexico to help meet these needs. In addition to helping satisfy our staffing needs, I look forward to continuing our strong partnership through our internship Programs. Your Program is unique and necessary to prepare a workforce capable of addressing evolving threats to National security.

Sincerely,

Bob Hutchinson
Senior Manager
Information Security Sciences
Sandia National Laboratories

Dr. Stephen Burd
Anderson Schools of Management
MSC05 3090
University of New Mexico
Albuquerque, NM 87131-0001

October 16, 2012

Dear Dr. Burd;

I'd like to take this opportunity to express my enthusiastic support to establish an MS degree program in Information Systems and Assurance. Having been a recent graduate of the Information Assurance MBA program, I can attest to the value and necessity of the program in an increasingly competitive and dynamic field.

Given the numerous facets in the IT/IA/IS world today, the proposal of different groupings will better equip program graduates in the field according to their strengths and interests. Additionally, making the program a Master of Science will position students more competitively in the challenging job market. Although the breadth of information I gained through my program has been an invaluable starting point for my career, the proposed program and study plans are more congruous with what I've encountered in the last few years. One of the many benefits of this program is combining the institutional and managerial level perspective with a broad technical understanding of the field. However, by having a MS program dedicated to ISA, students will have the opportunity to further broaden and refine their skills by focusing on courses more relevant to their specialization.

As organizations around the world grow more connected and the reliance on technology increases, so will the demand for qualified professionals. However, the most effective and best situated to solve the issues we face today (and will face in the future), are those that have a unique but tightly integrated combination of management and technical skills. This program targets a critical need in national, regional, and local security and for businesses and government of all sizes. As the sophistication of emerging threats grows, so do those who are protecting the assets whether it is for the financial, government, or healthcare sector. This program will help prepare graduates to make the fine distinctions between weighing business and mission impacts with costs- something that a purely technical degree typically does not offer. As budgets continue to tighten, the demand for qualified employees to make those tough decisions will be valuable.

In addition to helping satisfy our staffing needs, I look forward to continuing our strong partnership through our internship programs and educational outreach. The MS-ISA program is unique and necessary to prepare a workforce capable of addressing evolving threats to national security. Please do not hesitate to contact me with any questions.

Sincerely,

Jenna M. Esparza
Office of the Chief Information Officer
Information Security Group
Los Alamos National Laboratory
jesparza@lanl.gov



U.S. Department of Justice
Federal Bureau of Investigation

In Reply, Please Refer to
File No.

4200 Luecking Park Avenue NE
Albuquerque, New Mexico 87107

September 27, 2012

Mr. Stephen Burd
Anderson Schools Management
MSCo5 3090
University of New Mexico
Albuquerque, NM 87131

Dear Mr. Stephen Burd:

I am writing to offer my support for the formation of the MS graduate program, Information Systems and Assurance. Our organization is particularly interested in this masters program as it provides a forum for students from engineering and computer science backgrounds to work in partnership with one another, further advancing the technical depth of the work force that the Federal Bureau of Investigation (FBI) pulls from. The collaboration of our New Mexico Regional Computer Forensics Laboratory's Computer Forensic Examiners and the Anderson Schools of Management Interns has provided positive exchanges of real-world applications and theory. With MS-ISA graduate students, we can continue to build on that relationship, with goal being to provide employment opportunities.

The FBI and its partners are leading the national effort to investigate high-tech crimes, including cyber-based terrorism, computer intrusions, online sexual exploitation, and major crime-frauds. To stay in front of emerging trends, it is absolutely essential that we have employees with advanced technical capabilities and knowledge. The proposed MS graduate program will assist in modernizing content to address the world's progression into the cyber-world.

Currently there are key areas applicants can qualify under for an Agent or Support position within the FBI, with the MS-ISA degree satisfying the Computer Science/Information Technology qualifications. Because of the breadth and scope of the FBI's



U.S. Department of Justice

Federal Bureau of Investigation

mission and our ongoing development of cyber programs, the MS-ISA degree will remain a relevant and versatile area of expertise.

I strongly support UNM's already nationally recognized efforts to expand their recruitment and training of men and women into the field of MS in Information Systems and Assurance.

Sincerely,

A handwritten signature in cursive script, appearing to read "Carol K. Lee", is written over the typed name.

Carol K. Lee
Special Agent in Charge



Office of the Chief Information Officer
Information Security
Attention: Steven G. Howard
Mail Stop B289
Los Alamos, New Mexico 87545
505-667-2051

Date: October 30, 2012

Stephen Burd
Anderson Schools of Management
MSC05 3090
University of New Mexico
Albuquerque, NM 87131-0001

Dear Dr. Burd:

I would like to thank UNM for providing the opportunity to participate in the development of the MS in Information Systems and Assurance program. Having worked in both the technical implementation and operations and oversight roles, I have seen a need for individuals that have both reasonably strong technical skills as well as strong management, leadership and communication skills.

As Los Alamos National Laboratory moves from a compliance based security model to one based on risk, the skill balance provided by your program will be beneficial. A risk based approach requires individuals that can analyze risk, understand the impact of security on mission, be able to balance the two and finally, communicate recommendations to management. Successful graduates of your program will very likely become the managers themselves, in which case a solid understanding of both the technical issues as well as business operations would be very valuable.

During my review of your program it is clear to me you have taken suggestions provided during your focus groups to heart and developed a program that will produce individuals suited for work in areas such as the LANL Office of the CIO. I support your establishment of an MS in Information Systems and Assurance program.

Sincerely,

Steven G. Howard
Team Lead, OCIO Risk Management Team

SGH:sgH

Dr. Stephen Burd
Anderson Schools of Management
MSC05 3090
University of New Mexico
Albuquerque, NM 87131-0001

October 5, 2012

Dear Dr. Burd;

I would like to show my support for the creation a master's degree program in management of information systems and assurance. The Anderson Schools of Management (ASM) program addresses a unique area of growing needs to national and global security that is not currently met by demand for these trained individuals. For the past several years I have personally seen companies, our nation and many others being targeted by state sponsored intruders, organized crime and activists transforming computer intrusions into a actualized problem. Even though technology has emerged to attempt to tackle these problems, highly skilled analysts are still needed to engineer, maintain and analyze the data from these systems. I know your proposed program that combines fraud and financial investigations, digital forensics and computer security will likely have a greater impact on these types of attacks than any pure technical programs; producing true leaders in this space that will have the knowledge of business impact and technical expertise. We have and will continue to hire students and support interns from ASM information security programs.

Sincerely,



Kelcey Tietjen
Global Information Security Operations Manager
Bechtel Corporation

**UNM***New Media & Extended Learning*

To: Dr. Stephen Burd
From: Elisha Allen
Date: September 24, 2012
Subject: MS-ISA

Thank you for the opportunity to sit on the focus group for Anderson's proposed MS program in Information Systems and Assurance (MS-ISA), and for the recent materials you sent relating to this proposal. In my professional opinion as an IT Manager at UNM, this program would be helpful in preparing students for the IT Workforce. In my own selection of applicants to fill technology positions, I look for candidates with the right balance of technical competence, functional problem solving, and communication skills. This combination is not always easy to find, as formal computer science curriculum does not always include the requisite managerial competence and communication skills for effective operation in an organization that needs employees with technical and functional competencies.

Similarly, traditional management degrees can lack sufficient depth in technical issues related to technology implementation, planning and management. The proposed curriculum offers critical skills in both the technical and functional areas, and would be an attractive credential when I am reviewing job applicants. Please feel free to contact me if you have any questions, and I look forward to reviewing applicants with degrees in this program in years to come.

Sincerely,

Elisha Allen

Associate Director, New Media & Extended Learning



THE UNIVERSITY of
NEW MEXICO | SCHOOL of ENGINEERING

Department of Computer Science

Dr. Stephen Burd
Anderson Schools of Management
MSC05 3090
1 University of New Mexico
Albuquerque, NM 87131-0001

August 29, 2013

Dear Dr. Burd,

Faculty from the UNM Computer Science graduate program committee have reviewed the proposal for a MS degree in Information Systems and Assurance to be offered by Anderson School of Management. We enthusiastically offer our support to ASM for this proposed degree program.

We see many benefits of such a program to students who have obtained an undergraduate degree in Computer Science. A key benefit being the opportunity for these students to continue their educational pursuits so that they are better prepared for advanced career positions in information systems development, system administration, computer and network security, forensic investigations, risk assessment and mitigation, and IT auditing. We also support the incorporation of existing CS courses and the opportunity for students to utilize additional CS courses in this program since course requirements are customized on a per-student basis.

We look forward to educational collaborations through the Information Systems and Assurance MS program at Anderson School of Management. Again, we offer our strongest support for this proposal.

Respectfully,

Michalis Faloutsos
Professor and Chair
Computer Science Department



Office of the Dean

September 3, 2013

Dr. Stephen Burd
Anderson School of Management
University of New Mexico
Albuquerque, NM 87131

Prof. Burd,

You have my strongest support for the establishment of an MS degree in Information Systems and Assurance (ISA). This program is an excellent next step for many students earning their BS from the School of Engineering in computer science or computer engineering who want their next venture in higher education to be a combination of business and technical skills. This also sets the stage for vital future collaboration between our schools, and will only enhance the pool of technically competent and business savvy students available in the New Mexico job market, thus enhancing our state's economic development.

Please don't hesitate to contact me for any additional support of this important initiative.

Regards,

A handwritten signature in cursive script, appearing to read "C. Roman".

Catalin Roman

Proposed MS Degree in Information Systems and Assurance Library Impact Statement

Overview

The impact of the proposed MS degree in Information Systems and Assurance (MSISA) will be minimal for the following reasons:

- Most of the program's courses are existing courses already supported by existing UNM library resources
- Program enrollments in the first several years will supplant existing program enrollment in the MBA program Management Information Systems (MIS) and Information Assurance (IA) concentrations

The primary impact on UNM library resources will be from students completing the optional thesis course MGMT 599. Students completing this course will require library resources to conduct research. However, the required resources are essentially the same as those that support MIS and IA faculty in their research activities.

Details

Salient details of the proposed MSISA program and their impact on UNM library resources are discussed in detail in the following sections.

Program Content

The MSISA program essentially repackages existing courses and adds three new courses. The repackaging produces a shorter, streamlined, and more focused program that will be more attractive to students than the existing MBA with MIS and/or IA concentrations. To the extent that existing courses rely on existing UNM library resources there is no net impact on those resources. It should be noted that most of the existing courses are not research or literature-oriented and make minimal use of UNM library resources at present. The courses are highly technical in nature and the majority of their reference materials beyond textbooks is from online sources not hosted by UNM.

The proposed program does include three new courses. Two of them are described here with their library impacts and the third (MGMT 599) is described in a separate section below.

- **MGMT 533 (Professional Communications):** This course is essentially a 2 credit version of the existing MGMT 712 course oriented to the needs of MS students rather than Executive MBA

students. As with MGMT 712, textbooks and online sources will support students in learning material and completing assignment. This course will not use UNM library resources.

- **MGMT 633 (Vendor & Contract Management):** This course is a continuation of MGMT 631 (Project Management) with specific emphasis on managing multiples types of IT projects, evaluating and controlling project risk, developing RFPs and contracts, and vendor selection and relationship management.

Number of Students

No new faculty resources are allocated to the proposed program. Since the proposed program is better attuned to the needs of students pursuing careers in MIS and IA, we anticipate a rapid migration of students from the MBA program and its MIS and IA concentrations to the new MS program. Once that migration is complete, the related MBA concentrations will be discontinued. As such, the number of students pursuing this area of study will not change significantly in the next 3 years. If additional enrollments are enabled by adding new faculty lines then enrollment may increase over current enrollments. However, this is not anticipated in the near future.

MS Theses (MGMT 599)

The MSISA program creates a research-oriented alternative to more traditional professional study by creating MGMT 599 (a variable credit thesis course) and incorporating it as an optional program component. We anticipate small enrollment in this course since it is primarily intended for students working closely with faculty members on publishable research projects. In the past, few students have pursued such studies through a problems course or independent study alternative to traditional courses. Based on past experience and anticipated student profiles, we anticipate no more than 5 students per year will enroll in MGMT 599.

Students enrolled in MGMT 599 are expected to heavily use library and other research-oriented resources to complete course requirements. Resources used by students will mirror those used by faculty members in their research activities. Examples are listed below. All except those listed in red are currently available through the UNM libraries.

- Databases
 - Computer Database
 - Computers & Applied Sciences Complete
 - Library, information Science, and Technology Abstracts
 - ScienceDirect
- Digital Library Collections
 - Association for Computing Machinery (ACM) Digital Library
 - Institute for Electrical and Electronics Engineers (IEEE) Digital Library
- Journals (not included in digital library collections)
 - Artificial Intelligence
 - AI Expert
 - AI Magazine
 - Communications of the AIS

[Type text]

- Computers and Operations Research
- Computers and Security
- Computer Supported Cooperative Work
- DATABASE for Advances in Information Systems
- Database
- Database Programming and Design
- Decision Sciences
- Decision Support Systems
- **European Journal of Information Systems**
- European Journal of Operations Research
- Expert Systems
- Expert Systems with Applications
- Harvard Business Review
- Human-Computer Interaction
- Information & Management
- International Journal of Electronic Commerce
- International Journal of Human-Computer Interaction
- International Journal of Human-Computer Studies
- International Journal of Information Management
- International Journal of Information Security
- Informing Science
- Interface: The Computer Education Quarterly
- Information Resources Management Journal
- Information Systems Frontiers
- Information Systems Research
- Information and Software Technology
- Information Technology and Management
- Journal of the AIS
- **Journal of Database Administration**
- Journal of Database Management
- Journal of Information Technology Education
- Journal of Management Information Systems
- Knowledge Based Systems
- MIS Quarterly
- Management Science
- Operations Research
- Sloan Management Review
- Telemedicine and eHealth

Institution: University of New Mexico

Proposed Program: MS in Information Systems and Assurance

Projected Graduate Program Cost Estimates and Resources – Scenario 2 (2X Enrollment With New Resources)

ESTIMATED REVENUES	Year 1		Year 2		Year 3		Year 4		Year 5		Year 6	
	Existing	New	Existing	New	Existing	New	Existing	New	Existing	New	Existing	New
Projected University I&G or Tuition	\$2404K	\$1588K	\$2404K	\$2382K	\$2404K	\$3176K	\$2404K	\$3176K	\$2404K	\$3176K	\$2404K	\$3176K
External Grants and Contracts												
Other	\$91K	\$46K	\$91K	\$69K	\$91K	\$92K	\$91K	\$92K	\$91K	\$92K	\$91K	\$92K
TOTAL REVENUE	\$4129K		\$4946K		\$5763K		\$5763K		\$5763K		\$5763K	
ESTIMATED EXPENSES	Year 1		Year 2		Year 3		Year 4		Year 5		Year 6	
	Existing	New	Existing	New	Existing	New	Existing	New	Existing	New	Existing	New
Salaries and/or benefits (Faculty & Staff)	\$726K	\$363K	\$726K	\$545K	\$726K	\$726K	\$726K	\$726K	\$726K	\$726K	\$726K	\$726K
Learning Resources												
Equipment	\$21K	\$12K	\$21K	\$16K	\$21K	\$21K	\$21K	\$21K	\$21K	\$21K	\$21K	\$21K
Facilities & modifications												
Other	\$51K	\$31K	\$51K	\$43K	\$51K	\$55K	\$51K	\$55K	\$51K	\$55K	\$51K	\$55K
TOTAL EXPENSES	\$1204K		\$1402K		\$1600K		\$1600K		\$1600K		\$1600K	
DIFFERENCE (Rev.-Exp.)	\$2925K		\$3544K		\$4163K		\$4163K		\$4163K		\$4163K	
ESTIMATED IMPACT OF NEW PROGRAM	Year 1		Year 2		Year 3		Year 4		Year 5		Year 6	
FTE Enrollment	132		154		176		176		176		176	
Projected Annual Credits Generated	3120		3640		4160		4160		4160		4160	
Tuition Generated	\$1942K		\$2394K		\$2846K		\$2846K		\$2846K		\$2846K	

TAB 21

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TAB 22

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Rigor • Fortitude • Passion

KATHARINA SANDOVAL-SNIDER, DIRECTOR

Kathy Sandoval has a long history in the Albuquerque Educational community as an innovative educator. Under her leadership, AIMS@UNM has changed its name, partnered with UNM, amended it's Charter to include a college bound mission, added a middle school, increased the rigor of the curriculum including AP classes, secured a beautiful facility on UNM campus, more than doubled the enrollment, tripled the dual credit enrollment and secured a global staff, curriculum and student body. Currently the students at AIMS@UNM in dual enrollment outperform the general population of both UNM and CNM and the school exceeds AYP goals.

With a background in science and engineering, Ms. Sandoval specializes in math and science schools, particularly those associated with post secondary institutions. AIMS@UNM is the second school of its kind she has founded. Prior to coming to AIMS@UNM, Ms. Sandoval was the Principal of the Career Enrichment Center and the Early College Academy.

A member of the Zoning Board of Appeals for the City of Albuquerque, Ms. Sandoval is the recipient of the 2007-2008 Outstanding Educator of the Year, Ms. Sandoval has taught all levels of Math, Chemistry, Physics, AP Physics, AP Biology, Pre-medical Science, Advanced Pre-Medical Research Techniques, and Astronomy. As a Principal, she continues to teach math and science.

Originally from the Catskill Mts of New York state, Ms. Sandoval is a long term resident of New Mexico. She is married to Jerald Snider and has one daughter, Maggie.

An avid speaker and prolific writer, Ms. Sandoval actively promotes not only AIMS@UNM, but also a wide variety of educational topics. If you would like Ms. Sandoval to speak to your organization, please email Kathy at ksandoval@aims-unm.org.



*2007 Dedication of new AIMS
@UNM Building*

Albuquerque Mayor Martin Chavez looks on while Principal Kathy Sandoval speaks at a press conference announcing High Tech High's name change to AIMS@ and a \$1.3 million appropriation from the state.



*Albuquerque Mayor Martin Chavez announces the Qwest Grant
for AIMS@UNM*

DOE honors 3 N.M. schools for excellence

- [New Mexico News](#)
- [News](#)

By [Rick Nathanson / Journal Staff Writer](#) | Wed, Sep 25, 2013

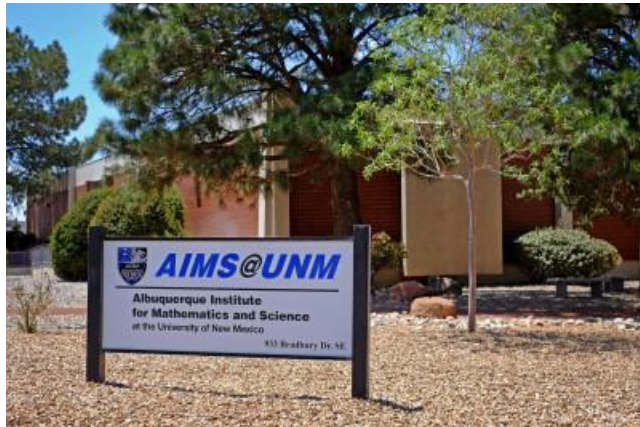
Charter school in Albuquerque is one honoree

Students leave Anthony Elementary School, one of three New Mexico schools named to the 2012-2013 list of Blue Ribbon schools by the U.S. Department of Education.
Journal File

Three schools in New Mexico – Anthony Elementary School in Anthony, Albuquerque Institute for Math and Science at UNM and Holloman Middle School in Alamogordo – have been named to the 2012-2013 list of Blue Ribbon schools by the U.S. Department of Education.

The National Blue Ribbon Schools Program, founded in 1982, recognizes public and private elementary, middle, and high schools at which students demonstrate outstanding performance or show significant academic improvement.

Linda Perez, principal at Anthony Elementary, said she was “floored” upon hearing the news. “For an educator, it’s the biggest dream” and it shows that “language and socioeconomic conditions are no excuse for not learning and not being successful.”



The Albuquerque Institute for Mathematics and Science meets on the UNM campus.
Journal File

She noted that 98 percent of the school’s students are economically disadvantaged, and for 70 percent of them, English is not their primary language.

The teachers at the school, she said, use an individualized approach in which “they look at data and teach the students at the level they need, rather than teaching to the whole class.”

Perez and her staff have fostered a culture in which all students expect and plan to go to college, and everyone is held accountable. Teachers post their photos outside their classroom with details about their degrees and the university from which they graduated, and Perez has posted signs showing the school's standards-based assessment scores in math and reading for the last six years.

A banner hanging in the school's foyer displays the Anthony Elementary motto: "No excuses! We're a College-Bound Campus!"

At AIMS charter school in Albuquerque, principal Kathy Sandoval said she was "thrilled" with the Blue Ribbon designation.

"It's been a long time coming. When we first started, we were 38 percent proficient in reading and 42 percent proficient in math. We knew we had to do something and buckled down and started a new teacher evaluation system that we implemented in 2009. It just so happens that it's pretty close to the system that the state has recently proposed."

Today, reading proficiency at AIMS is 94 percent and math proficiency is 96 percent.

Every AIMS student must take five advanced placement courses and 32 hours in dual enrollment courses at Central New Mexico Community College or the University of New Mexico.

By the time they graduate, most students have an average of 50 dual enrollment hours, Sandoval said.

AIMS, established seven years ago, was also singled out for other honors earlier this year, Sandoval said.

Based on the rigor of its curriculum, Newsweek ranked it among the top 25 percent of private and public high schools; the Washington Post ranked it 42nd in the nation; and the state Public Education Department ranked AIMS first in the state based on students' scores and proficiencies.

George Straface, superintendent of Alamogordo Public Schools, said the Blue Ribbon award for Holloman Middle School "is an honor for the school, our school district and our community."

Further, he said, it reflects "our students' motivation and dedication to learning, as well as the faculty, staff and principal's guidance and instruction."

TAB 24

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TAB 25

FY13 External Financial Audit Report

may be found at

<http://fsd.unm.edu/annual-reports.html>

HSC Code of Ethics: A New Vision

Monica Wilson, JD, CHC

Goal

- ▶ Create a value-based, best-in-class, Code of Ethics for the UNM Health Sciences Center.

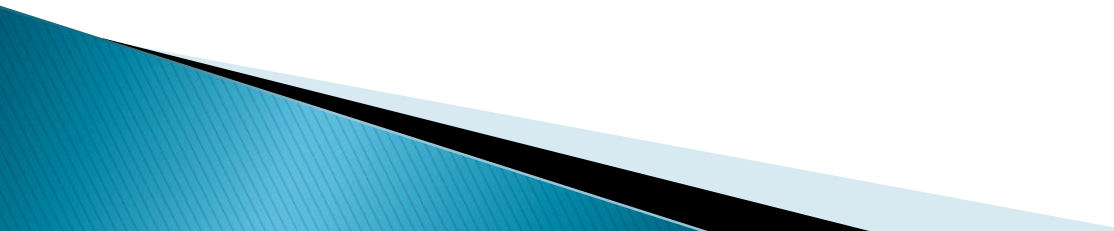
Process

- » Establish and implement best practices

Best-in-Class Codes



Best Practices

- ▶ Format
 - ▶ Readability
 - ▶ Ethics-driven
 - ▶ Personal
- 

Example: Social Media

1.7 Social Media

Social media websites like Facebook, LinkedIn, Twitter, YouTube, and Google+ provide people with many opportunities to connect with friends, family, and colleagues.

Although social media is personal in nature, it is not private. That means that your personal communications, such as posts or tweets, might be seen by people you did not intend to share them with.

As members of the HSC community, we have the responsibility to be professional at work and online. Here are some tips:

- ▶ **Think before you post.** If you wouldn't want your family or boss to see it, don't post it.
- ▶ **Protect yourself.** Many social media sites give you some control over who can see your posts and it's a good idea to take advantage of it.
- ▶ **Be honest about who you are.** Your online identity should be yours, not someone else's.
- ▶ **Include a disclaimer.** Unless you are posting about the HSC as part of your job, you should make sure your readers know that you are not representing UNM or the HSC.
- ▶ **Protect patient privacy.** In order to protect the privacy of our patients, you must never post information about patients on social networking sites.

Remember that because social media is new, it is also changing fast. All of us are still learning how best to deal with it. Because of that, be careful about what you post.

Q: I do my best to make my patients feel comfortable. Sometimes they search for me on Facebook and send me friend requests. Should I accept them?

A: No. Remember that the relationship you have with your patients is a special one. They come to you because you are a professional. As a professional, you must maintain a professional, business-like relationship with patients. This is much harder if you allow them to "friend" you.

In order to maintain a professional image, you should only make social networking connections with patients you know in another context.

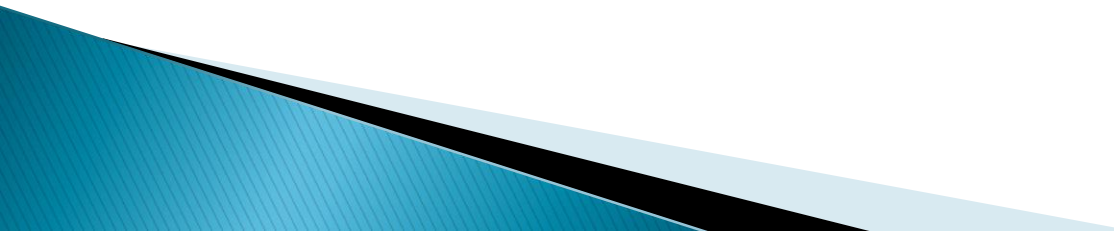
Awareness

- ▶ Preview presentations to HSC faculty and staff
- ▶ Develop new training with help from end users
 - Live and Online

Content Highlights

» Major changes and additions

Testimonials

- ▶ Introduction to the Code from Dr. Roth
 - ▶ Introduction to each major chapter from people who work in each area
 - Professionalism: Jonathon Bolton, MD
 - Patient Care: Stephanie Sanderson, RN, MSN
 - Medical Documentation and Billing: Catherine Porto, MPA
 - Research: Corey Ford, MD, PhD
- 

Professionalism

- ▶ New Topic Areas:
 - Social Media
 - Reporting and Asking Questions
 - Professional Diversity

Patient Care

- ▶ Significant Updates:
 - Care Management
 - Special Considerations for Children

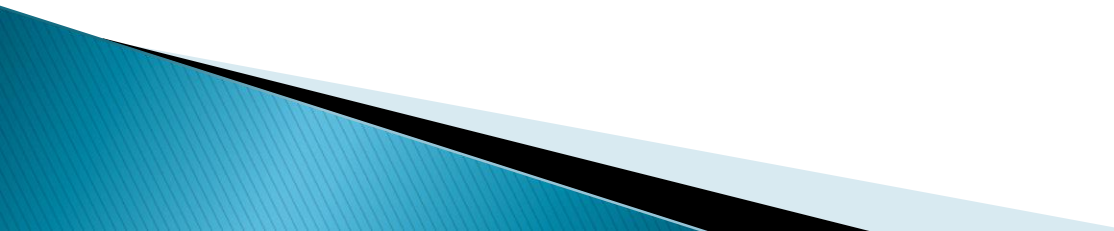
Medical Documentation

- ▶ Significant Updates:
 - Medical Necessity
 - Major changes to fraud and abuse coverage

Research

- ▶ New Topic Areas:
 - Research Integrity
 - Human Research
 - Animal Research
 - Biohazard Compliance
 - ▶ Updated Topic Areas:
 - Grants
 - Research Billing
 - Conflicts of Interest
- 

Availability

- ▶ HSC Compliance website:
<http://hsc.unm.edu/admin/compliance/docs/Code%20of%20Ethics.pdf>
 - ▶ UNM HSC Policy Portal
- 



**UNM Foundation, Inc.
UNM Regent's Advisor Report
December 2013**

***CHANGING WORLDS
The Campaign for UNM***

Changing Worlds: The Campaign for UNM – an eight-year, \$675 million campaign, is making excellent progress towards the campaign goal. The Campaign began in 2006.

As of November 26, 2013, over 58,983 donors have gifted \$589,700,118 million in support of the University's mission.

Specific fundraising highlights:

The College of Fine Arts received a gift of \$1,000,000.

General Scholarship Fund received a gift of \$348,000.

The College of Arts and Sciences received a gift of \$200,000.

The School of Architecture & Planning received a gift of \$200,000.

The Cancer Research & Treatment Center and UNM Athletics received a split gift of \$120,000.

The School of Medicine received a gift of \$120,000 and another for \$100,000.

UNM Children's Hospital received a gift of \$247,526.

Innovate ABQ received a gift of \$3,000,000.

The Foundation commends President Frank on his earnest efforts towards donor and solicitation visits. His participation has been instrumental in securing gifts to the University.

The Development/Alumni Strategic Task Force charged by President Frank to evaluate and recommend strategies for enhanced collaboration between the Foundation and Alumni Association/Alumni Relations Office presented final recommendations to Dr. Frank in October. Both organizations are prepared to

implement and create collaborative initiatives upon Dr. Frank's final review and acceptance of those recommendations.

Fundraising Performance Report

FY 13/14, September 30, 2013

Changing Worlds Campaign	CASE Campaign Standards			VSE Standards
	Campaign to Date	GOAL	% OF GOAL	To Date
Gift Commitments	\$ 589,700,118	\$ 675,000,000	87.4%	\$ 443,720,044
- Cash/Cash Equivalents	345,937,619	N/A	N/A	443,720,044
- In-Kind	46,521,067	N/A	N/A	N/A
- Pledges	82,058,907	N/A	N/A	N/A
- Testamentary	115,182,525	N/A	N/A	N/A
Pledges Due	28,847,838	N/A	N/A	N/A
Testamentary Gifts Due	105,162,187	N/A	N/A	N/A

Council for Advancement and Support of Education (CASE) reporting is on an "accrual basis" and Voluntary Support of Education (VSE) reporting is on a "cash basis".

Gift Commitments (Fiscal Year)	This Quarter	FYTD 13/14	GOAL	FY 12/13
Main Campus				
- Cash/Cash Equivalents	\$ 1,667,918	\$ 1,667,918	N/A	\$ 9,283,110
- In-Kind	580,447	580,447	N/A	4,924,882
- Pledges	-	-	N/A	356,789
- Testamentary	3,005,000	3,005,000	N/A	6,692,957
Sub-Total	\$ 5,253,365	\$ 5,253,365	\$ 20,950,000	\$ 21,257,738
HSC				
- Cash/Cash Equivalents	\$ 3,530,904	\$ 3,530,904	N/A	\$ 15,724,317
- In-Kind	351,493	351,493	N/A	3,165,722
- Pledges	22,834	22,834	N/A	2,294,000
- Testamentary	4,106,894	4,106,894	N/A	3,531,025
Sub-Total	\$ 8,012,125	\$ 8,012,125	\$ 27,950,000	\$ 24,715,064
Athletics				
- Cash/Cash Equivalents	\$ 1,622,055	\$ 1,622,055	N/A	\$ 4,948,093
- In-Kind	314,941	314,941	N/A	1,732,754
- Pledges	730,000	730,000	N/A	2,294,964
- Testamentary	-	-	N/A	1,335,000
Sub-Total	\$ 2,666,996	\$ 2,666,996	\$ 10,500,000	\$ 10,310,811
Other Campus Units *				
- Cash/Cash Equivalents	\$ 2,947,162	\$ 2,947,162	N/A	\$ 19,856,004
- In-Kind	365	365	N/A	8,000
- Pledges	-	-	N/A	1,000
- Testamentary	1,000	1,000	N/A	5,450,000
Sub-Total	\$ 2,948,527	\$ 2,948,527	\$ 20,600,000	\$ 25,315,004
Total	\$ 18,881,013	\$ 18,881,013	\$ 80,000,000	\$ 81,598,617

* Other campus units include KNME, KUNM, UNM Branch Campuses, President's Office, Provost's Office, Enrollment Services, Student Affairs and numerous other units not classified as main campus, HSC or athletics.

Gift Destinations	This Quarter	FYTD 13/14	FY 12/13	FY 11/12
UNM Foundation	\$ 12,461,931	\$ 12,461,931	\$ 48,385,834	\$ 38,894,922
Reported Gifts *	\$ 6,419,082	\$ 6,419,082	\$ 33,212,783	\$ 45,020,517
Total	\$ 18,881,013	\$ 18,881,013	\$ 81,598,617	\$ 83,915,439

* Reported Gifts = gifts made directly to KNME, KUNM, Lobo Club, and OVPR, but reported by UNM Foundation per MOA.

Fundraising Performance Report

FY 12/13, September 30, 2012

Gift Commitments (Fiscal Year)	FYTD 13/14	FY 12/13	FY 11/12
Cash/Cash Equivalents	\$ 9,768,040	\$ 49,811,524	\$ 40,563,624
Pledges			
- Beginning Balance Pledges Receivable	\$ 28,290,140	\$ 28,115,352	14,502,782
- Add: New Pledges	\$ 752,834	\$ 5,034,503	26,205,415
- Less: Pledge Payments	\$ (195,136)	\$ (4,771,965)	\$ (11,734,102)
- Less: Pledges Written Off	\$ -	\$ (87,750)	(858,743)
- Ending Balance Pledges Receivable	\$ 28,847,838	\$ 28,290,140	28,115,352
In Kind	\$ 1,247,245	\$ 9,831,358	8,484,460
Testamentary	\$ 7,112,894	\$ 17,008,982	9,520,683
Total	\$ 18,881,013	\$ 81,598,617	\$ 83,915,439

Performance Measures	This Quarter	FYTD 13/14	FY 12/13	FY 11/12
Gift Commitment Income	\$ 18,881,013	\$ 18,881,013	\$ 81,598,617	\$ 83,915,439
# of Gifts	7,258	7,258	33,902	33,261
# of Donors	3,898	3,898	14,932	13,323

Efficiency Measures	FYTD 13/14	FY 12/13	FY 11/12	FY 10/11
Cost per Dollar Raised *	\$0.13	\$0.12	\$0.11	\$0.11

* Compares UNM Foundation budget expenditures to gift commitments.

Consolidated Investment Fund - Investment Performance

FY 13/14, September 30, 2013

Investment Performance Results	Market Value	FYTD 13/14	1-Year	3-Year	5-Year
FY 13/14 (September 30, 2013)	\$ 372,665,226	4.23%	11.90%	9.42%	6.46%
Custom Benchmark *		5.26%	11.64%	8.22%	6.89%
FY 12/13 (June 30, 2013)	\$ 360,159,802	N/A	11.30%	10.24%	3.31%
Custom Benchmark *		N/A	10.55%	9.31%	2.61%
NACUBO/Commonfund **		N/A	N/A	N/A	N/A

* Policy Benchmark is a blended benchmark consisting of indices for all asset classes.

** NACUBO/Commonfund Endowment Study (\$101 million to \$500 million) - Not Yet Available

Consolidated Investment Fund - Asset Allocation

FY 13/14, September 30, 2013

Investment Class	Current Allocation	Target Allocation	Investment Policy Ranges
Domestic Equity	21.3%	20%	10% - 50%
International Equity	21.8%	20%	10% - 40%
Fixed Income/Cash	15.9%	20%	10% - 50%
Real Assets - Liquid	3.8%	5%	0% - 5%
Real Assets - Illiquid	4.8%	5%	0% - 10%

Marketable Alternatives	19.4%	20%	0% - 20%
Private Equity	13.0%	10%	0% - 15%

Consolidated Investment Fund - Spending Distribution

FY 13/14, September 30, 2013

CIF Spending Distribution	FY 13/14	FY 12/13	FY 11/12	FY 10/11
Spending Distribution %	N/A	4.50%	4.50%	4.65%
Total \$ Distribution (millions)	N/A	\$ 13,489,948	\$ 13,984,508	\$ 14,869,261
Total \$ Distribution/Unit	N/A	\$10.47	\$10.58	\$10.98

Consolidated Investment Fund - Development Funding Allocation

FY 13/14, September 30, 2013

Development Funding Allocation	Basis Points %	Dev Funding Allocation	Total Budget Expenditures	% Overall Budget
FYTD 13/14	1.40%	\$1.1	\$2.4	46%
FY 12/13	1.85%	\$5.6	\$9.8	58%
FY 11/12	1.85%	\$5.9	\$9.1	65%
FY 10/11	1.85%	\$5.7	\$9.5	60%
FY 09/10	1.85%	\$5.4	\$9.4	57%

UNM Foundation Budget vs. Actual

FY 13/14, September 30, 2013

Sources of Budget	Budget	FYTD	% Used
UNM Support	\$ 5,522,722	\$ 1,744,034	31.6%
Development Funding Allocation	4,218,765	1,106,054	26.2%
Short-Term Investment Income	850,000	213,534	25.1%
Cost Sharing Reimbursement	660,780	135,021	20.4%
Unrestricted Gifts & Other Revenue	150,000	32,458	N/A
Total	11,402,267	3,231,101	28.3%

Uses of Budget	Budget	FYTD	% Used
Salaries/Fringe Benefits	\$ 8,813,621	\$ 1,923,273	21.8%
Operating Expenditures	2,517,885	507,147	20.1%
Total	11,331,506	2,430,420	21.4%

Reserve Balances	Budget	FYTD	% Used
Surplus/(Deficit) from Operations	\$ 70,761	\$ 800,681	N/A
Beginning Reserve Balances	1,246,426	1,246,426	N/A
Ending Reserve Balances	1,317,187	2,047,107	N/A

THE UNIVERSITY OF NEW MEXICO
MR. AND MRS. HUGH B. AND HELEN K. WOODWARD ENDOWMENT
FUNDED BY THE SANDIA FOUNDATION

					2013-14
	2009-10	2010-11	2011-12	2012-13	through 9/30/2013
<u>PRINCIPAL/CORPUS</u>					
BEGINNING MARKET VALUE, JULY 1:	\$ 30,698,788	\$ 32,422,373	\$ 37,282,001	\$ 36,545,942	\$ 40,213,741
ADDITIONS	877,500	1,125,000	1,260,000	1,327,500	-
INVESTMENT EARNINGS	3,238,163	6,040,036	132,283	4,119,725	1,693,346 (1)
DEVELOPMENT FUNDING ALLOCATION	(623,475)	(643,802)	(603,057)	-	- (3)
SPENDING DISTRIBUTION	(1,768,603)	(1,661,606)	(1,525,285)	(1,779,426)	(444,057) (2)
ENDING MARKET VALUE, JUNE, 30:	<u>\$ 32,422,373</u>	<u>\$ 37,282,001</u>	<u>\$ 36,545,942</u>	<u>\$ 40,213,741</u>	<u>\$ 41,463,030</u>

(1) FY 2013-14 Net investment Earnings: Represents the actual net investment earnings through September 30, 2013. Net investment earnings for the period of July 1, 2013, through September 30, 2013, were 4.2% (net of manager fees).

(2) FY 2013-14 Net investment Earnings: Represents the quarterly spending distributions from July 1, 2013, to September 30, 2013.

(3) FY 2013-14 Net investment Earnings: The Development Funding Allocation is not assessed on the Woodward endowment.

**THE UNIVERSITY OF NEW MEXICO
WINROCK LAND SALE ENDOWMENT**

	2013-14			
	2009-10	2010-11	2011-12	2012-13 through 9/30/2013
<u>PRINCIPAL/CORPUS</u>				
BEGINNING MARKET VALUE, JULY 1:	\$ 24,076,065	\$ 24,767,866	\$ 27,587,901	\$ 26,069,492 \$ 27,420,035
ADDITIONS	-	-	-	-
INVESTMENT EARNINGS	2,544,475	4,553,291	97,208	2,900,008 1,154,360 (1)
DEVELOPMENT FUNDING ALLOCATION	(483,585)	(485,153)	(486,943)	(496,004) (95,970)
SPENDING DISTRIBUTION	(1,369,089)	(1,248,103)	(1,128,674)	(1,053,461) (258,054) (2)
ENDING MARKET VALUE, JUNE, 30:	<u>\$ 24,767,866</u>	<u>\$ 27,587,901</u>	<u>\$ 26,069,492</u>	<u>\$ 27,420,035</u> <u>\$ 28,220,371</u>

(1) FY 2013-14 Net investment Earnings: Represents the actual net investment earnings through September 30, 2013. Net investment earnings for the period of July 1, 2013, through September 30, 2013, were 4.2% (net of manager fees).

(2) FY 2013-14 Spending Distribution: Represents the quarterly spending distributions from July 1, 2013, to September 30, 2013.

THE UNIVERSITY OF NEW MEXICO
REGENTS' ENDOWMENT

	2005-06	2006-07	2007-08	2008-09	2009-10	2010-11	2011-12	2012-13	2013-14 through 9/30/2013
<u>PRINCIPAL/CORPUS</u>									
BEGINNING MARKET VALUE, JULY 1:	\$ 26,548,336	\$ 32,325,235	\$ 36,674,360	\$ 35,018,525	\$ 25,165,952	\$ 25,889,070	\$ 28,836,764	\$ 27,249,619	\$ 27,508,609
ADDITIONS:									
MESA DEL SOL PROPERTY SALE	8,045,923	-	-	-	-	-	-	-	-
WITHDRAWALS:									
ASM STUDENT INVESTMENT PROGRAM	(2,000,000)	-	-	-	-	-	-	-	-
GIBSON/MULBERRY PROPERTY PURCHASE	(1,645,435)	-	-	-	-	-	-	-	-
2811 CAMPUS PROPERTY PURCHASE	(242,798)	-	-	-	-	-	-	-	-
SCHOLARSHIP FUNDING	-	-	-	(880,525) (3)	-	-	-	-	-
BASEBALL FIELD CAPITAL PROJECT	-	-	-	-	-	-	-	(1,100,000)	-
INVESTMENT EARNINGS	2,789,695	6,123,941	284,643	(7,060,716)	2,659,659	4,759,412	101,609	2,917,311	1,158,089 (1)
DEVELOPMENT FUNDING ALLOCATION		(329,734)	(398,416)	(335,326)	(505,476)	(507,115)	(508,986)	(502,354)	(96,280)
SPENDING DISTRIBUTION	(1,170,486)	(1,445,081)	(1,542,062)	(1,576,006)	(1,431,065)	(1,304,603)	(1,179,768)	(1,055,967)	(258,888) (2)
ENDING MARKET VALUE, JUNE 30:	<u>\$ 32,325,235</u>	<u>\$ 36,674,360</u>	<u>\$ 35,018,525</u>	<u>\$ 25,165,952</u>	<u>\$ 25,889,070</u>	<u>\$ 28,836,764</u>	<u>\$ 27,249,619</u>	<u>\$ 27,508,609</u>	<u>\$ 28,311,530 (4)</u>

(1) FY 2013-14 Net investment Earnings: Represents the actual net investment earnings through September 30, 2013. Net investment earnings for the period of July 1, 2013, through September 30, 2013, were 4.2% (net of manager fees).

(2) FY 2013-14 Spending Distribution: Represents the quarterly spending distributions from July 1, 2013, to September 30, 2013.

(3) FY08-09 Withdrawal for scholarship funding: \$1,000,000 was authorized to be withdrawn from the Regents' Endowment for FY08-09 scholarship funding. Of the authorized \$1,000,000, \$880,525 was withdrawn from the endowment.

(4) The Regents' Endowment includes proceeds from the "Mesa del Sol" property sale. Total proceeds of this sale were \$8,045,923 and the proceeds were added to the Regents' endowment in June of 2006. The Mesa del Sol contribution is not tracked separately, but an estimated value based on net investment earnings, spending distributions, withdrawals, and development funding allocations for the Regents' Endowment since that time, is \$6,409,335.

THE UNIVERSITY OF NEW MEXICO
REGENTS' ENDOWMENT - MESA DEL SOL ADDITION

	2013-14								
	2005-06	2006-07	2007-08	2008-09	2009-10	2010-11	2011-12	2012-13	through 9/30/2013
<u>PRINCIPAL/CORPUS</u>									
BEGINNING MARKET VALUE, JULY 1:	\$ -	\$ 8,045,923	\$ 9,128,444	\$ 8,716,297	\$ 6,483,107	\$ 6,669,392	\$ 7,428,760	\$ 7,019,889	\$ 6,227,564
ADDITIONS:									
MESA DEL SOL PROPERTY SALE	8,045,923	-	-	-	-	-	-	-	-
WITHDRAWALS:									
WITHDRAWAL - MERIT-BASED SCHOLARSHIPS	-	-	-	-	-	-	-	-	-
GIBSON/MULBERRY PROPERTY PURCHASE	-	-	-	-	-	-	-	-	-
2811 CAMPUS PROPERTY PURCHASE	-	-	-	-	-	-	-	-	-
BASEBALL FIELD CAPITAL PROJECT	-	-	-	-	-	-	-	(1,100,000)	-
INVESTMENT EARNINGS	-	1,524,281	70,849	(1,757,450)	685,166	1,226,092	26,176	660,479	262,175 (1)
DEVELOPMENT FUNDING ALLOCATION	-	(82,073)	(99,168)	(83,464)	(130,218)	(130,640)	(131,122)	(113,733)	(21,796)
SPENDING DISTRIBUTION	-	(359,688)	(383,827)	(392,276)	(368,663)	(336,084)	(303,925)	(239,071)	(58,609) (2)
ENDING MARKET VALUE, JUNE 30:	\$ 8,045,923	\$ 9,128,444	\$ 8,716,297	\$ 6,483,107	\$ 6,669,392	\$ 7,428,760	\$ 7,019,889	\$ 6,227,564	\$ 6,409,335 (4)

(1) **FY 2013-14 Net investment Earnings:** Represents the actual net investment earnings through September 30, 2013. Net investment earnings for the period of July 1, 2013, through September 30, 2013, were 4.2% (net of manager fees).

(2) **FY 2013-14 Spending Distribution:** Represents the quarterly spending distributions from July 1, 2013, to September 30, 2013.

(4) The Regents' Endowment includes proceeds from the "Mesa del Sol" property sale. Total proceeds of this sale were \$8,045,923 and the proceeds were added to the Regents' endowment in June of 2006. The Mesa del Sol contribution is not tracked separately, but an estimated value based on net investment earnings, spending distributions, withdrawals, and development funding allocations for the Regents' Endowment since that time, is \$6,409,335.

NOTE: This statement which reports additions and withdrawals from the Regents' Endowment attributable to funding provided by the Mesa Del Sol Addition has been modified since the June 30, 2013 statement. Previously, the Gibson/Mulberry Property Purchase (\$1,645,435) and the 2811 Campus Property Purchase (\$242,798) were reported as disbursements from the Mesa Del Sol Addition proceeds. However, a thorough review of minutes from the Finance & Facilities Committee meetings and the Board of Regents' meetings indicated no specific reference to utilizing funds from the Mesa Del Sol Addition proceeds. Therefore, these purchases were removed from this report, investment earnings and development funding allocations were re-calculated and the ending market value adjusted accordingly.

**UNIVERSITY OF NEW MEXICO
ALUMNI ASSOCIATION
BOARD OF REGENTS REPORT
December 10, 2013**

Vision (Why we exist): *The Alumni Association is a vital partner in the continued excellence of the University of New Mexico through the significant engagement of alumni.*

Mission (What we are striving for): *To serve as a bridge between alumni and the university ensuring the continued success of the university and enriching the lives of alumni.*

Strengthen collaboration with campus constituents to meet the needs of UNM.	<p>The Alumni Association Lobos for Legislation will host a reception for the Legislative Finance and Legislative Education Study Committees on Wednesday, December 11, 2013, 5:30-7:30 pm Rio Chama Steakhouse, Santa Fe.</p> <p>Additionally, the Lobos for Legislation will host the Alumni Association Legislative Appreciation Reception on February 4, 2014, 6:00-7:30 pm at Hotel La Fonda, Santa Fe.</p> <p>The Lobos for Legislation will host a Legislative Home reception at the home of alum, Coleman Travelstead, on Tuesday, January 7, 2014, 6:00-8:00 pm.</p>
Foster enduring involvement with, pride in and commitment to UNM, its colleges, schools and programs.	<p>The Alumni Association is working with the UNM Veteran's Resource Center to create a Veteran's Alumni Chapter. A "Call for Veteran Volunteers" to assist in the Chapter's creation was made in the December Howler.</p>
Engage students in ways that will develop lifetime ties to UNM.	<p>The UNM Young Alumni Chapter is hosting the New Graduate Wine and Cheese Reception at Hodgin Hall on Thursday, December 12, 2013, 5:30 -7:00 p.m.</p> <p>The Official Ring Ceremony took place on Wednesday, December 4 2013, at 6:00 pm in the SUB Ballroom. Over 90 students purchased the official UNM ring and received them during the special ceremony. The ceremony was hosted by the UNM Alumni Association and Student Spirit Organization.</p>
Expand the tradition of philanthropy toward UNM among alumni.	<p>Alumni Chapters and UNM friends from around the country volunteered this past fall to attend area college fairs in their communities and to assist in recruiting promising young high school students to attend UNM. These volunteers return season after season to promote UNM year-round; not only 'changing student's lives', but changing their own as well.</p>

<p>Communicate effectively with our diverse group of alumni.</p>	<p>The Alumni Association, the Young Alumni Chapter, the Lobo Club, and Kansas City area alumni are coordinating a basketball game watch event for the UNM vs. Kansas game on December 14, 2013. The event will take place at the appropriately named, Bar Louie.</p> <p>Chapters around the country will continue to support Lobo Athletics by hosting game watch parties for Lobo basketball through the New Year.</p> <p>The Austin and Seattle Chapters will hold their traditional Holiday Pot Luck events in December offering a perfect opportunity for alumni to showcase their best green chili recipes using the chilies they bought at the chapter Chile Roasts in the fall.</p>
<p>Develop and steward resources to ensure a sustained and dynamic association.</p>	<p>The newly formed Greater Albuquerque Area Alumni Chapter (GAAAC) elected leaders are as follows:</p> <p>Officers: Chair, Clara Padilla-Silver, Vice-Chair, Stephen Eiland, Secretary, Abby Ritz, and Treasurer, Kathy Suzanne Bell-Pena</p> <p>Board of Directors: Liz Davenport, Janelle Garcia, Gene Henley, John Shelton, Tammy Jo (TJ) Martinez and Coleman Travelstead</p> <p>Committee Chairs and Co-Chairs: Community Service, Shiamé Okunor and Melissa Smith; Marketing Membership, Mary Clark and Jennifer Riordan; Professional Development and Recognition, Bich-Hanh Nguyen and Michael Rowan; Social and Networking, Lou Ann Graham and Catherine Jaramillo.</p> <p>Currently, over 250 alumni have joined the GAAAC.</p>
<p>Encourage community service and leadership among alumni and students.</p>	<p>The GAAAC has begun planning activities and programs for Community Service and Philanthropy, Networking and Programming, Professional Development and Recognition and Social activities.</p>
<p>Garner greater recognition and visibility for Alumni Association programs and service to the university and community.</p>	<p>The UNM Alumni Association held a Lobo Living event on November 14, 2013 at Hodgkin Hall Alumni Center which featured Anne Hillerman, noted author and daughter of Tony Hillerman. The event was at capacity with 92 in attendance.</p> <p>The Alumni Association hosted the last home tailgate of the UNM football season on November 16, 2013. Over 350 proud UNM alumni stickers were distributed prior to kickoff. Featured guests were the leaders of the GAAAC and alumni who attended the Behind the Ball Lobo Living Room in April.</p> <p>The Lobos for Legislation will participate in UNM Day at the Roundhouse on February 5, 2014, 8:00 a.m. -5:00 p.m.</p>

**Minutes of the Meeting of the
UNM Health Sciences Center Board of Directors
November 8, 2013
Domenici Center for Health Sciences Education Bldg., Room 3010**

Board of Directors present: Suzanne Quillen, Chair, Lt. Gen. Brad Hosmer, Conrad James, Michael Olguin, Ann Rhoades (via phone), and Mel Eaves

UNM Leaders present: President Bob Frank

UNM Health Sciences Center ("HSC") Leaders present: Chancellor Paul Roth and other members of the HSC senior leadership

Others present: members of the HSC faculty and staff

The Chair, Director Suzanne Quillen, called the meeting of the UNM Health Sciences Center Board of Directors (the "Board") to order at approximately 1:10 p.m. The Chair established that a quorum of the members of the Board was present.

Approval of Agenda

A motion was made to adopt the published agenda. The motion was seconded. There was no discussion. The motion passed with a vote of 4-0-0. Director Eaves was not in the room and did not vote.

Approval of Minutes of Prior Meeting

A motion was made to approve the minutes of the October 4, 2013 meeting of the Board. The motion was seconded. There was no discussion. The motion passed with a vote of 4-0-0. Director Eaves was not in the room and did not vote.

Comments from Directors

Director Hosmer commented on Sandoval Regional Medical Center, Inc. (SRMC) and his hope that the Board will see good indicators of a turnaround. Discussion.

Chancellor's Report

Chancellor Roth addressed Director Hosmer's comment regarding SRMC, noting that there is an effective plan that has been implemented with early indicators that reflect significant progress. He added that detailed information is planned during the UNM Health System Update on the agenda. Dr. Roth then reported the completion of the Fifth Annual Excellence in Faculty Research Awards and recognized the recipients: Dr. Nora Perrone-Bizzozero (Neurosciences), Dr. Kathleen Haaland

(Psychiatry), Dr. Deborah Helitzer (Family and Community Medicine), and Dr. Pamela Hall (College of Pharmacy). He also announced that Dr. Angela Wandinger-Ness (Pathology) has been chosen by the German Academic Exchange Service to serve as a research ambassador. *Action Required: the Directors requested that the names and addresses of the awardees be shared with the Board in order to send notes of recognition.*

Regent/Director James recognized the HSC leadership regarding a letter of thanks received from a local physician on the excellent care he had received as a patient in the Emergency Room. Discussion.

UNM Health System Update Including SRMC Update

Mr. Steve McKernan brought the Board's attention to the written report provided in the Board agenda books that included information on the status of the Rehoboth-McKinley project (in Gallup), strategic planning and quality initiatives, reviewed the Health System aggregate reports, and did a review of the status of SRMC. He reported that we are still in the due diligence phase on the Rehoboth-McKinley project with Legacy Health Partners receiving baseline data on referral patterns, identifying practicing physicians in Gallup, and what hospital model of operation would be most beneficial (struggles with geographic referral, sole community provider issues, Centennial Care, etc.).

On strategic planning, Mr. McKernan noted that a number of initiatives are underway. Work is being performed on the information strategic plan with Dr. Richard Larson leading that effort with retreats being held among the components (to set operating plan for the new calendar year). It is estimated that a report will be presented to the Board in January 2014. Regarding the impact of the Affordable Care Act ("ACA"), major focuses are hiring temporary employees to help patients navigate the new requirements, preparing for the impacts of Medicaid to the state, implementation of Centennial Care, and managing contracts with providers. Discussion of impact of high deductibles and possibility that UNM Hospital ("UNMH") be allowed to offer financial assistance for out of pocket expenses to encourage patients to sign up for the exchanges.

Mr. McKernan summarized information on the UNM Health System (includes UNMH, SRMC, and UNM Medical Group ("UNMMG")), reviewing total net patient revenues, total operating expenses, total operating loss, total increase/decrease in net position, and Sandoval County mill levy. Discussion. He reviewed UNM Health System statistics including patient days, length of stay, increase in volumes, outpatient visits, urgent care and emergency room statistics, total operations, and balance sheet. Discussion.

Mr. McKernan reviewed SRMC statistics including available cash, outstanding vendor payables, required monthly mortgage payment, reserve fund, losses, depreciation, cash burn rate, cash available on hand, average daily census, patient days and reimbursements, supply costs, increased utilization of operating room, revenue cycle improvements, and income statement. Discussion on tracking denial rate and the reasons and goals to increase length of stay, referrals from rural New Mexico, methodology being created to properly reimburse SRMC for UNM Care patients taken care of on an elective basis at SRMC,

specialty care and community physician involvement, appropriate use of mill levy funds, elective surgeries, mix of patients, subspecialty care success, UNM Care services versus Centennial Care and impact. Mr. McKernan's review continued. He reviewed SRMC's income statement (depreciation discussed), cash flow statement, and balance sheet. Discussion on explanation of depreciation, average equipment life, possible cash infusion, putting SRMC's growth in context over last month due to the implementation of the recovery plan, noted that strategies are already showing positive results with great potential in the future. Mr. McKernan provided information on required vaccines and options. Discussion.

Director Eaves joined the meeting.

Update: HSC Student Council

President Kyle Leggott gave a brief update on activities of the HSC Student Council noting that two full Council meetings have been held with 24 representatives attending each, in October the HSC Student Council in conjunction with the NM Medical Reserve Corps and the UNM Family Practice Clinics coordinated two free drive-through vaccine clinics, enjoyed good collaboration, also held a Fit for Fun 5K to benefit the UNM Healthy & Fit Children's Clinic. The HSC Student Council is currently working on a partnership with the Albuquerque Opportunity student managed health clinic, and an ACA lecture series is planned. Discussion.

Public Comment

There was no public comment.

Reports from Board Committees

Governance and Nominating Committee ("GNC")

GNC Action Item

Request for Approval of the Nomination of Mr. Jerry Geist to the SRMC Board of Directors, Serving as a Class A Director Whose Term, per the Amended and Restated Bylaws of SRMC, Inc., will expire on June 30, 2016

Chair Hosmer presented the recommended approval of the nomination of Mr. Jerry Geist to the SRMC Board of Directors, serving as a Class A director whose term, per the Amended and Restated Bylaws of SRMC, Inc., will expire on June 30, 2016.

A motion was made to approve the nomination of Mr. Jerry Geist to the SRMC Board of Directors, serving as a Class A director whose term, per the Amended and Restated Bylaws of SRMC, Inc., will expire on June 30, 2016. The motion was seconded. There was no discussion. The motion passed with a vote of 5-0-0.

Finance, Audit, and Compliance Committee ("FACC")

FACC Action Items

Resolution Recommending Approval of UNMH Capital Projects: UNMH North Fourth Street Clinic Architect and Engineering Approval, UNMH Laboratory Renovation, and UNMH Outpatient Physical Therapy Rehabilitation Clinic Renovation.

Chair James introduced the UNMH Capital Projects and Mr. Steve McKernan provided rationale on the three architecture requests on North Fourth Street Clinic, Laboratory Renovation, and Outpatient Physical Therapy Rehabilitation Clinic Renovation.

A Motion was made to approve the Resolution for Approval of the UNMH Capital Projects: UNMH North Fourth Street Clinic Architect and Engineering Approval, UNMH Laboratory Renovation, and UNMH Outpatient Physical Therapy Rehabilitation Clinic Renovation, a copy of which is attached and made a part of the official Minutes. The motion was seconded. A vote was held with an outcome of 5-0-0 in favor. Motion passed.

Request for Approval of Resolution for UNMH Contract with GE Healthcare IITS USA Corporation

Chair James introduced the UNMH Contract with GE Healthcare IITS USA Corporation and Mr. McKernan provided the rationale on the diagnostic equipment for the operating rooms.

A Motion was made to approve the Resolution for UNMH Contract with GE Healthcare IITS USA Corporation. The motion was seconded. A vote was held with an outcome of 5-0-0 in favor. Motion passed.

FACC Information Items

HSC Financial Update

With Chair James' introduction, Ms. Ava Lovell presented an HSC financial update that included metrics on operating net margin, total net income, use of cash. Discussion on faculty at SRMC and support of their salaries. Ms. Lovell continued by presenting on uncompensated care and how to track it, days cash on hand and depreciation reserves, uncommitted reserves (10 days). Discussion. The presentation continued on accounts receivable greater than 90 days, research proposals and awards are up and greater than the national trend. Discussion. Ms. Lovell continued with a summary on the academic

units, tuition revenue, I&G appropriation, RPSPs (discussion), state cigarette tax, expenditures, and Consolidated HSC 2014 Fiscal Year-to-Date Summary including operating margin, SRMC losses. The Board recognized Ms. Lovell for her initiative on providing clear documentation reflecting metrics. Discussion on depreciation and question on whether HSC depreciation is being recorded in the standard accounting manner. *Action Required: The Board requested including data that would include depreciation to better account for true net operating margin that reflects the health of the UNM Health System – “Income Depreciation” and then “Depreciation.”*

Proposal: Threshold for HSC Board/UNM Board of Regents Approvals

Chair James introduced the discussion item before the Board on proposed thresholds for HSC Board and UNM Board of Regents approvals and Dr. Paul Roth provided a brief history. Ms. Ava Lovell provided an update on proposed thresholds, an analysis of thresholds at peer institutions, the definitions page included, Regents Policy 7.8. Discussion on peer institutions and questions on why the proposed thresholds are still substantially below what is employed at peer institutions. Mr. Scot Sauder provided rationale of levels chosen. *Action Required: The Board requested that a column be added to the final Threshold document that reflects the average of peers for each item.* Ms. Lovell continued her presentation that included information on recommended changes. Discussion of power, constitutionally, of Regents in the states of peer institutions (*i.e.*, University of Florida), and comfort level and trust of Regents and the Board have with leadership, and getting feedback from Regents and confirmation of final decision resting with the Regents. Responding to a question from Regent Hosmer, President Frank stated that he was comfortable with the draft document and felt it was fairly conservative and recommended “we take the big step rather than a baby step.” President Frank added that he has asked Marc Saavedra “to investigate tagging with this a proposal that we would take to the legislature about having our inventory process updated so we’re not inventorying objects that cost ten cents...” and noted that the only caveat he would ask for is “that the President should approve all legal settlements, no matter the amount, before they go forward.” He asked that this be reflected on the draft document and offered his appreciation for the work the HSC has done to push this issue forward. Discussion among the Directors on suggestion of doubling the thresholds under finance and discussion of placing a cap of any items more than \$5 million be approved by the Regents on items approved in the budget; request to keep Regents informed on items approved at lower levels. Decision reached was that the draft document should go to the Regents, as an information item at the Regents’ November 12, 2013 regularly scheduled meeting.

Ms. Lovell continued by discussing capital projects, comfort levels of FACC and the Board, and the need to clarify the definition of “capital projects.” Discussion.

General Information Items

UNM School of Medicine 50th Anniversary Plans

Dr. Jeff Griffith presented highlights of current plans for celebrating the UNM School of Medicine's 50th Anniversary and to bring awareness to what the UNM School of Medicine does, *i.e.*, book being published (authored by Dr. Dora Wong, Psychiatry, and published by UNM Press), a faculty memorial (designed by Ms. Kristy Williams, 2012 graduate of UNM School of Architecture and Planning), reunion for entering class, traveling exhibits, Living Legends Award, fundraising gala to raise funds for endowed scholarships, event for Legislators, membership drive to La Tierra Sagrada Society providing student scholarships, etc. Future updates will be provided.

Review: HSC BoD Action Required Log

The Board reviewed and made edits to the current Board Action Required Log provided in the agenda book. Discussion.

Chair Quillen noted that copies of the Minutes from the UNMH Board of Trustees, the UNMMG Board of Directors, and the UNM SRMC Board of Directors were provided as informational documents.

A motion was made to adjourn the open meeting and to convene in executive session. The motion was seconded. No discussion; with a vote of 5-0-0 in favor. Motion passed.

A motion was made for the Board to reconvene in open session and to certify that only those matters described in agenda item XII were discussed in executive session. The motion was seconded. No discussion; with a vote of 5-0-0 in favor. Motion passed.

A motion was made to adjourn the meeting. The motion was seconded. No discussion; with a vote of 5-0-0 in favor. Motion passed.

Minutes were prepared by Patrice Martin and finalized on November 19, 2013.

Approval of Minutes:

Regent Suzanne Quillen, Chair

Date

Attachments:

1. Resolution Recommending Approval of UNMH Capital Projects: UNM Hospital North Fourth Street Clinic Architect and Engineering Approval, UNM Hospital Laboratory Renovation, and UNM Hospital Outpatient Physical Therapy Rehabilitation Clinic Renovation.
2. Resolution Recommending Approval of UNMH Contract with GE Healthcare IITS USA Corporation.



FINANCE, AUDIT, AND COMPLIANCE COMMITTEE

Resolutions Authorizing Retention of Architects for UNM Hospital Capital Projects

WHEREAS, the UNM Hospital proposes to retain certain architects with respect to three (3) capital projects (each, a "Capital Project," and, collectively the "Capital Projects") proposed by UNM Hospital and pursuant to Regents Policy 7.12, such retention must be approved by governance of the University of New Mexico (the "University"), including, without limitation, the Health Sciences Center Board of Directors (the "HSC BoD"), and the Board of Regents. The Capital Projects are described more fully in Exhibits A, B, and C, respectively, attached to these Resolutions and incorporated herein as though fully set forth in these Resolutions. The Capital Projects, the respective proposed architecture firm, the request for proposal under which the proposed retention would occur, and the cost of the engagement are summarized as follows:

<u>Capital Project</u>	<u>Architect</u>	<u>RFP No.</u>	<u>Cost</u>
North Fourth Street Clinic	FBT Architects	1616-14	\$202,688
UNM Hospital Laboratory Renovation	Gregory T. Hicks and Associates, P.C.	1565-13	\$155,000
Outpatient Physical Therapy Rehabilitation Clinic Renovation	FBT Architects	1587-13	\$50,278

WHEREAS, the Committee, having considered the Exhibits A, B, and C materials and the presentations made relative to the Capital Projects, the previous approval of the retention of these architects for the Capital Projects by the UNM Hospital Board of Trustees, and having been satisfied that the process for such retention meets the requirements of the New Mexico Procurement Code, finds and concludes that the retention of the architects for the Capital Projects identified above and as described in Exhibits A, B, and C, should be approved.

NOW THEREFORE, BE IT RESOLVED that the Committee hereby recommends to HSC BoD that UNM Hospital's proposed retention of the architects for the Capital Projects as identified above and as described in Exhibits A, B, and C, should be approved.

ADOPTED BY A VOTE OF THE MEMBERS OF THE COMMITTEE AT A MEETING HELD ON OCTOBER 29, 2013.

By: Conrad James
Conrad James, its Chair

EXHIBIT A



THE UNIVERSITY OF NEW MEXICO • HEALTH SCIENCES CENTER
UNM HOSPITALS

**REQUEST FOR CAPITAL PROJECT ARCHITECT APPROVAL for
UNM Hospital, North Fourth Street Clinic - UNIVERSITY OF NEW MEXICO
October 29, 2013**

REQUESTED ACTION:

In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested for **UNM Hospital, North Fourth Street Clinic – Architect and Engineering Approval.**

PROJECT DESCRIPTION:

This project is scheduled to go to the New Mexico State Board of Finance in October 2013. The Clinic building will be similar in design to the SW Mesa Clinic completed in November 2011. It is 17,000 square feet and has 22 exam rooms and 1 procedure room. The model of care delivered in this clinic is based on “Patient Centered Medical Home”. In addition to increased exam room capacity, the new clinic will include an outpatient pharmacy, laboratory, radiology, physical therapy services, Behavioral Health care, and financial counseling.

UNMH worked closely with the Near North Valley Neighborhood Association to integrate the site/building design into the Neighborhood. The site plan includes landscaped staff and visitor parking which can be used after hours and on weekends for neighborhood events. The building includes a conference room which can also be scheduled for use by the Neighborhood. The project will be designed to meet LEED Silver Certification requirements. This project is scheduled to be completed in FY14.

PROCESS:

The Architect was selected by UNMH RFP #1616-14:

FBT Architects
6501 Americas Parkway NE, suite 300
Albuquerque, NM 87110

PROJECT RATIONALE:

UNMH has identified improved access to care as one of its top priorities as it responds to and aligns itself with healthcare reform mandates, National Committee of Quality Assurance Patient Centered Medical Home standards and patient and community feedback. We believe that expanding UNMH Primary Care Services into the Albuquerque Community is an important step in improving access. The North Valley of Albuquerque has a large patient population with the highest: visit rates to the hospital, income disparities, rates of asthma, hepatitis, HIV/AIDS, and highest infant and adult death rates. This project will purchase land to construct a new clinic in the North Valley. It is expected that by developing a new location with adjacent services such as pharmacy, lab, behavioral health, and financial services this will result in increased access to health care in this community. It is estimated that the clinic visits will double within 5 years. In addition, this location was chosen for its strong community support, proximity to a busy bus line and easy access on foot.

FUNDING:

The A/E Fees for the North Fourth Street Clinic are \$202,688. This will be funded by UNM Hospital Capital Improvement Projects Funding.



EXHIBIT B

REQUEST FOR CAPITAL PROJECT ARCHITECT APPROVAL for UNM Hospital, Laboratory Renovation - UNIVERSITY OF NEW MEXICO October 29, 2013

REQUESTED ACTION:

In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested for **UNM Hospital, Laboratory – Architect and Engineering Approval.**

PROJECT DESCRIPTION:

This project will include program validation, planning and complete architectural and engineering design for a mechanical and electrical system upgrade. The laboratory currently does not meet the standards as required by the College of American Pathologist Certification.

The current location of the hospital laboratory exists in the wing constructed in the 1960's. The space is approximately 11,800 square feet. Due to the electrical and HVAC required upgrades the project will need to be done in phases so the laboratory can remain functional during the renovation.

The project is scheduled to be completed in FY 15.

PROCESS:

The Architect was selected by UNMH RFP #1565-13:
Gregory T. Hicks and Associates P. C.
110 2nd St. S.W. #204
Albuquerque, New Mexico, 87102

PROJECT RATIONALE:

UNM Hospital Laboratory is located in the wing constructed in the 1960's. Technology has become more advanced by utilizing computers which run the instruments and automation for laboratory testing. Mechanical and electrical upgrades in the area are needed to keep equipment and staff cool and to remove all electrical cords from the floor. During the laboratory's last College of American Pathologist Certification in 2013, it was noted that the current HVAC and electrical system was a safety issue. The required upgrades will need to be phased because the laboratory will need to be open 24 hours/day to meet the patient care needs of a Level I Trauma Center and the Children's Hospital.

FUNDING:

The A/E fees for the Laboratory project are \$155,000. This will be funded by UHM Hospital Capital Improvement Projects Funding.



EXHIBIT C

**REQUEST FOR CAPITAL PROJECT ARCHITECT APPROVAL for
UNM Hospital, Outpatient Physical Therapy Rehabilitation Clinic Renovation –
UNIVERSITY OF NEW MEXICO
October 29, 2013**

REQUESTED ACTION:

In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested for **UNM Hospital, Out-Patient Physical Therapy Rehabilitation Clinic Renovation – Architect and Engineering Approval.**

PROJECT DESCRIPTION:

Architectural and Design Services for Physical Therapy Rehabilitation Clinic located at 1025 Medical Arts NE, Albuquerque, NM. This clinic needs to be brought up to current clinic code standards as required by the Department of Health. This includes, but is not limited to: ADA compliant restrooms, ADA compliant hardware, sink installations, designated clean holding closet, soiled holding closet, general storage issues.

In addition to the Code corrective issues electrical services need to be upgraded to meet current code requirements and provide adequate power to the entire facility. The HVAC system needs to be upgraded to meet the needs of a physical therapy rehabilitation clinic. The HVAC and electrical issues require mechanical and electrical engineering design.

This project will be completed in FY15.

PROCESS:

The Architect selected by UNMH RFP #1587-13:

FBT Architects
6501 Americas Parkway NE, Suite 300
Albuquerque, NM 87110

PROJECT RATIONALE:

The Department of Health has provided UNMH off site clinics with current standards necessary to maintain clinic licenses. The 1025 Medical Arts Out-Patient Physical Therapy Clinic had the largest scope of deficiencies that needs correction and is a priority for UNM Hospitals.

FUNDING:

The A/E fees for the Out-Patient Physical Therapy Rehabilitation Clinic Renovation are \$50,278.00. This will be funded by UHM Hospital Capital Improvement Projects Funding.



FINANCE, AUDIT, AND COMPLIANCE COMMITTEE OF THE HSC BOARD OF DIRECTORS

RESOLUTIONS RECOMMENDING APPROVAL OF GE HEALTHCARE IITS USA CORPORATION NUBOOM SURGICAL OPERATING ROOM IMAGING EQUIPMENT

WHEREAS, the management of UNM Hospital desires to purchase NuBoom Surgical Imaging equipment sold by GE Healthcare IITS USA Corporation for Operating Room #7 to create a hybrid operating room that is capable of accommodating a wider range of procedures for a wider range of surgical specialties and to improve patient care;

WHEREAS, consistent with the New Mexico Procurement Code and University of New Mexico Purchasing Policies (each, a "Purchasing Policy" and, collectively, the "Purchasing Policies"), the management of UNM Hospital desires to purchase the GE NuBoom under Novation Contract #XRO181 (the "Selected Vendor");

WHEREAS, the Finance Committee of the UNM Hospital Board of Trustees previously considered the selection of the Selected Vendor and the proposed purchase and determined to recommend approval of the same to the UNM Hospital Board of Trustees;

WHEREAS, the UNM Hospital Board of Trustees considered the selection of the Selected Vendor and the proposed purchase and determined to approve and recommend approval of the same to the UNM Health Sciences Center Board of Directors (the "HSC Board"), by and through this Committee;

WHEREAS, the Committee considered the selection of the Selected Vendor and the proposed purchase and determined to recommend approval of the same to the HSC Board;

WHEREAS, the Board has considered these prior approvals and recommendations for approval, the materials provided to the Committee at its meeting relative to this proposed purchase, satisfied itself that the requirements of the New Mexico Procurement Code with respect to the Selected Vendor and the proposed purchase have been complied with, finds and concludes that the proposed purchase of the Selected Vendor's equipment is in the best business and operational interest of UNM Hospital, its stakeholders and the UNM Health System and should be approved.

NOW, THEREFORE, BE IT RESOLVED that the Committee hereby approves and recommends to the HSC Board the approval of UNM Hospital's proposed purchase of the Selected Vendor's equipment on the terms and subject to the conditions described in the materials provided to this Committee.

ADOPTED BY A VOTE OF THE MEMBERS OF THE FINANCE, AUDIT, AND COMPLIANCE
COMMITTEE AT A MEETING HELD ON OCTOBER 29, 2013.

By: Conrad James
Conrad James, its Chair



**UNM Hospital Board of Trustees
Recommendation to HSC Board of Directors Finance and Audit Committee
October 2013**

Approval

1) GE Healthcare, Inc.

Ownership:

GE Healthcare IITS USA Corporation
3135 Easton Turnpike
Fairfield, CT 06828

Officers Information:

President and CEO: John Dineen
President and CEO, Healthcare Systems: Tom Gentile
Vice President and Chief Marketing Officer: Karim Karti

Source of Funds: UNM Hospitals Capital Budget

Description:

Request to purchase NuBoom Surgical Imaging equipment from GE Healthcare to replace the imaging equipment in Operating Room (OR) #7. The current equipment can only be used for limited types of procedures in very few surgical specialties. The GE NuBoom will create a hybrid OR with the latest technology that is capable of accommodating a wide range of procedures in specialties such as Vascular, Urology, Neurosurgery, Orthopedics, Pain/Spine and (Ear, Nose and Throat) ENT. This hybrid conversion will make OR #7 completely video integrated so that physicians will have instant access to previously stored patient radiology images and be able to record images during surgical procedures so that our physicians can provide the best patient care possible.

Process: Novation Contract #XRO181

Total Cost: \$569,065

Agenda Item	Subject/Discussion	Action/Responsible Person
Voting Members Present:	Michael Olguin, William Lang, Michelle Coons, Debbie Johnson, Nick Estes, Dr. Warren Laskey	
Ex-Officio Members Present:	Michael Richards, Stephen McKernan, Donna Sigl,	
County Officials Present:		
I. Call to Order	A quorum being established, Michael Olguin, Chair, called the meeting to order at 8:48 a.m.	
II. Adoption of Agenda	The Chair, Mr. Michael Olguin, requested a motion to adopt the agenda, as submitted.	Mr. William Lang made a motion to adopt the agenda as submitted. Mr. Nick Estes seconded the motion. There being no objections, the motion carried.
III. Announcements	None	
IV. Public Input	None	
V. Approval of Minutes	UNM Hospitals Board of Trustees meeting minutes for September 27, 2013.	Mr. Nick Estes made a motion to approve the minutes of the September 27, 2013, Board meeting. Mr. William Lang seconded the motion. There being no objections, the motion carried.
VI. Consent Agenda	Following review of the consent items, the Chair, Mr. Michael Olguin requested a motion to approve the consent items.	Ms. Michelle Coons made a motion to approve the consent agenda. Mr. William

Agenda Item	Subject/Discussion	Action/Responsible Person
		Lang seconded the motion. There being no objections, the motion passed unanimously.
VII. Board Initiatives		
VIII. Administrative Reports	<p><u>HSC Chancellor Report</u> – A Chancellor's report was not presented as this meeting was an abbreviated meeting during the Board Retreat.</p> <p><u>CEO Report</u> – A CEO report was not presented as this meeting was an abbreviated meeting during the Board Retreat.</p>	
IX. Updates	<p><u>Financial Report Card</u> –The financial dashboard was not presented as this meeting was an abbreviated meeting during the Board Retreat.</p>	
X. Committee Reports	<p><u>Performance Oversight & Community Engagement Committee</u> The Performance Oversight & Community Engagement Committee met on October 18, 2013 for credentialing purposes only.</p> <p><u>Finance and Audit Committee</u> The Finance and Audit Committee did not present as this was an abbreviated meeting during the Board Retreat.</p>	Mr. William Lang
XI. Other Business	None	
XII. Closed Session	At 8:52 am, Mr. Michael Olguin, Chair, requested a motion to close the open session of the meeting to the public for purposes of discussion and determination, where appropriate, of limited personnel matters per Section 10-15-1.H (2); and discussion and determination, where appropriate of matters subject to the attorney-client privilege regarding pending or threatened litigation in which UNMH is or may become a participant, pursuant to Section 10-15-1.H (7); and discussion of matters involving strategic and long-range business plans or trade secrets of UNMH pursuant to Section 10-15-1.H (9), NMSA.	Mr. William Lang made a motion to move to closed session. Ms. Michelle Coons seconded the motion. The motion passed unanimously.
XIII. Certification	After discussion and determination where appropriate, of limited personnel matters per Section 10-15-1.H (2); and discussion and determination, where appropriate of matters subject to the attorney-client privilege regarding pending or threatened litigation in which UNMH is or may become a participant, pursuant to Section 10-15-1.H (7); and discussion of matters involving strategic and long-range business plans or trade secrets of UNMH pursuant to Section 10-15-1.H (9), NMSA, the Board certified that no other	

Agenda Item	Subject/Discussion	Action/Responsible Person
	items were discussed, nor were actions taken.	
XIV. Vote to Re-Open meeting	<p>At 2:57pm, the Chair, Michael Olguin, requested a motion be made to return to open session.</p> <p>Mr. Michael Olguin asked for a motion to be made that the Board accept the Minutes of the meeting of those committees that were presented in Closed Session to acknowledge for the record that those minutes were, in fact, presented to, reviewed, and accepted by the Board and for the Board to accept and approve the recommendations of those Committees as set forth in the Minutes of those Committees meetings.</p>	<p>Mr. William Lang made a motion to move to closed session. Dr. Warren Laskey seconded the motion. The motion passed unanimously.</p> <p>Mr. William Lang made a motion to accept the minutes presented by the committees. Dr. Warren Laskey seconded the motion. The motion passed unanimously.</p>
XV. Adjournment	The next scheduled Board meeting will be November 22, 2013, @ 9:00 am. There being no further business, Mr. Michael Olguin adjourned the meeting at 2:59 pm.	

Michelle Coons, Secretary
UNM Hospital Board of Trustees

SRMC Board of Directors Meeting Minutes

October 16, 2013

8:30 a.m.-10:30 a.m.

UNM Sandoval Regional Medical Center, 4th Floor Board Room

Teleconference 1-888-557-8511 Passcode 2502228#

Rio Rancho, New Mexico

1.0	CALL TO ORDER AND CONFIRMATION OF QUORUM	
	Dr. Paul Roth called the meeting to order and a quorum was confirmed at 8:41 a.m.	Accept as information
2.0	VOTE TO APPROVE THE AGENDA	
	Dr. Paul Roth presented the Agenda to the Board for review	Upon a motion and a second, the Board voted to approve the agenda. Motion passed unanimously.
3.0	VOTE TO APPROVE THE MINUTES OF SEPTEMBER 18, 2013 MEETING	
	Dr. Paul Roth presented the Minutes to the Board for approval	Upon a motion and a second, the Board voted to approve the minutes. Motion passed unanimously.
4.0	COMMENTS FROM DIRECTORS	
	Ms. Charlotte Garcia thanked Mr. Rogols and SRMC Leadership for hosting the Tribal Leadership meeting on October 3, 2013.	
5.0	PUBLIC COMMENT	
	None	
6.0	OLD BUSINESS	
6.1	Ms. Melissa Romine provided an update on the current marketing and communication plan for SRMC. In addition, she stated that the Sandoval County Research and Polling began last week and will be completed later this week. Results should be finalized next week.	Accept as information
	Over the past few weeks Ms. Romine met with SRMC Faculty, Staff and Community Members to gather insights that will help in coming up with a theme. In addition, Ms. Romine sat in and observed the meeting with the Sandoval County Pueblo Governors and gathered insights there as well.	
6.2	Ms. Erica Hamilton provided an update on Case Management Ms. Hamilton has met with case management staff and together have come up with a plan to be more efficient in this area. Social workers in Behavioral Health will help supplement case management within the hospital.	Accept as information
	Ms. Correen Bales added that recruitment for Case Managers has been a high priority. As such, SRMC has signed on a couple of recruiters to help with this recruitment.	

6.3	<p>Mr. Rogols stated that the SRMC Strategic Planning Committee met last week and discussed the SRMC retreat. In addition, Mr. Rogols and Ms. Silva-Steele met with Dr. Sara Frascch to coordinate with UNMH and the Health System to bring SRMC a planning initiative on December 11th to the Winter Retreat.</p> <p>Dr. Frascch will interview HSC leadership, organizational leadership and community leadership to gather thoughts and comments. The two questions that need to be answered are "What are we?" and "What can SRMC do to support the Health System and achieve the goals of the Health System but also serve the needs of the community?"</p> <p>Mr. Rogols suggested that the November Board meeting be expanded to a six hour session, to include SOM Chairs and HSC leadership, where Dr. Frascch could present and have a discussion to gain more understanding on what SRMC's role is in the Health System. The SRMC Strategic Planning Committee was supportive of this idea.</p>	<p>Dr. Roth suggested that Dr. Frascch meet with the Core Group to gain a better understanding of the Winter Retreat.</p> <p>The Board endorses Mr. Rogols suggestion for a Board Retreat in November.</p>
6.4	Dr. Ogburn provided an Ambulatory Services clinic schedule. Discussion ensued.	Accept as information
7.0	REPORT FROM SRMC COMMITTEES	
7.1	<p>Ms. Watt gave a verbal update on the October 10, 2013 Finance Committee meeting and stated that the FY13 external audit and capital budget were presented. She added that the resolution was signed for final endorsement of the mortgage bond loan.</p> <p>Ms. Watt presented the minutes of September 12, 2013 for acceptance by the Board.</p>	<p>Upon a motion and a second, the Board voted to accept the minutes striking section 3.1 "<i>see attached presentation.</i>" Motion passed unanimously.</p>
7.2	Through verbal report, SRMC Strategic Planning and Finance Committee recommend approval of CATH/IR lab services.	<p>Upon a motion and a second, the Board voted to implement the proposed CATH/IR lab service to entail capital expenditures with service projected to begin July 2014. Motion passed unanimously.</p>
8.0	CEO's REPORT	
8.1	Mr. Rogols stated that Ms. Bales has performed an evaluation of the economic benefit of moving to self-insured. The estimation of savings is \$125/year with a \$65K reinsurance rate.	Accept as information
8.2	<p>Outreach</p> <p>On October 3, 2013 UNM SRMC hosted Sandoval County Pueblos to discuss areas of potential collaboration. Leadership from Jemez, Santa Ana and Cochiti Pueblos were present in addition to representation from Sandoval County Commission, Chairman Darryl Madalena. One</p>	Accept as information

	<p>result of the discussion was to schedule quarterly meetings to continue dialog. Mr. Rogols thanked members of the SRMC Board of Directors, Ms. Charlotte Garcia and Mr. Manu Rainbird, for their participation in the discussion as well.</p>	
8.3	<p><u>Service Development</u></p> <p><i><u>Sleep Clinic</u></i></p> <p>Mr. Rogols reported that during its first month of operation, 36 studies were performed in the lab generating \$108,000 in charges.</p>	Accept as information
8.4	<p><u>Recovery Activities</u></p> <p><i><u>Capacity Management between UNMH and SRMC</u></i></p> <p>Intensive Health System effort continues to focus on coordinating capacity between UNMH and SRMC. Meetings are held every Tuesday morning with senior UNMH, SOM and SRMC leadership to put in place processes that will better utilize capacity between all clinical entities. Interim efforts continue to focus on coordinating PALS, Emergency Admissions, and direct clinic admissions through the evolving role of the “doctor of the day.” Weekly census has increased from a low of 28 to a high of 40 over the past 90 days. While growth continues, we remain a long way from our target of 55. That said, it appears to be the consensus of such parties that UNM Health System needs to invest in the creation of a centralized bed control process and technology and bring such service on line as soon as possible. Dr. David Pitcher will lead a discussion with Mr. McKernan and Dr. Richards regarding how to move forward with this important initiative.</p> <p><i><u>Operating Expense Management</u></i></p> <p>Management identified over \$1.5MM in annual savings each month.</p> <ul style="list-style-type: none"> • Transition of medical staff credentialing to in house - \$165,000/year • Transition surgical instrumentation support to in house - \$80,000/year • Transition of the SRMC Ambulance/transport service to UNM Health System - \$240,000/year • Eliminate external organizational development support - \$40,000/year • Elimination of external patient satisfaction support - \$60,000/year • Management re-organization savings – \$927,700/year • Sub-total - \$1,512,700/year <p><i><u>Financial Services Initiatives</u></i></p> <ul style="list-style-type: none"> • Transitioning from manual budget to Lawson should be complete by the end of October. • Implementation of the Siemens denials management should be complete by the end of October. 	Accept as information

8.5	<ul style="list-style-type: none"> Transitioning from Wells Fargo to Bank of America to capture the ability to post EOBs and remittance information electronically should be complete by the end of November. Three charge capture Rapid Improvement Events focus on Surgical Services, Inpatient and Radiology charge capture. The Surgical Services RIE has been completed. Finance is auditing charges on a weekly basis and providing feedback to OR. Ella Watt will provide the Board with a progress report regarding AR management and collections. <p><u>Increasing Bed Capacity</u> Many actions/processes have been put into place to both temporarily and permanently increase SRMC's inpatient capacity.</p> <p>Award UNM Sandoval Regional Medical Center was recently recognized as the 2013 Healthcare Project of the Year by the Engineering News-Record.</p> <p>Mr. Rogols reported that most areas of Patient Satisfaction continue to perform very well and expressed that SRMC continues to provide a high level of service. Those departments that have shown a decrease are been addressed.</p>	Accept as information
8.6	<p><u>Board Comments/Questions</u> Dr. Roth asked SRMC Management to review the efficiency of clinic utilization and decide what the benchmark should be and stated that this data should be discussed at the Board level. Ms. Garcia stated that she thought the information presented in Mr. Rogols report was very helpful for community board members.</p> <p>Mr. RainBird stated that the first visit has to be very rewarding for the patient Mr. Rogols replied that SRMC has a process in place, that was approved by the Board in March 2012 which describes how patient complaints and grievances will be handled. Our goal is to identify patient complaints before discharge so that we can do everything that we can to create a satisfying experience before the patient leaves our facility. Those that cannot be resolved prior to discharge receive personalized follow up through the Quality management Department. Significant complaints/grievances are then reviewed by the Joint Conference Committee.</p>	Accept as information
9.0	FINANCIAL REPORT	
9.1	<p><u>Recovery Plan Dashboard</u> Mr. Rogols reported that there was a decrease in admissions and surgery in the month of September. Transfers between SRMC and UNMH have leveled off.</p> <p>The initial CMI included in the feasibility study was 1.86. HUD felt this target was too high therefore, the target was decreased 1.62.</p>	Mr. Rogols introduced Ms. Darlene Fernandez, Interim Director of Finance

	<p>Mr. Rogols discussed FTEs and is concerned that SRMC is staffing up, per HSC request, to accommodate 55 inpatients and 6 operating rooms, when activity levels are not increasing. While opportunity exists for expense management, only a small portion of the average monthly operating loss will be offset. Activity levels and revenue will need to increase quickly to achieve financial targets. Mr. Rogols further reported that the academic model engaged at SRMC is very expensive and will require activity to offset those expenses.</p> <p>Ms. Fernandez reviewed the financial portion of the dashboard. It was stated that uncompensated care for the month exceeded 20% for inpatient and 24% for outpatient care which is much, much higher than was estimated. A significantly better payor mix will be needed for collections to increase.</p> <p>Total net income loss increased from \$1.5MM to \$1.7MM in September. Patient cash collections decreased from \$3.5MM to \$3.3MM. Total cash collections increased from \$5MM to \$6.4MM. Bank days cash on hand increased to 16.12 for September.</p> <p>Ms. Fernandez reported that the issue with charge capture still remains but has improved and added that seven areas are working through LEAN events for charge capture.</p> <p>Ms. Watt stated that while cash balances have improved, they will likely decrease in the next three months to pay down payables. The strategy to increase revenue must be given the highest priority she said. Additionally, management is critically reviewing cost savings opportunities</p> <p>Upon a motion and a second, the Board voted to approve the Resolutions Authorizing Final Endorsement of UNM Sandoval Regional Medical Center Mortgage Bond Loan and Authorizing Execution of Loan Documents, Certificates, and Applications in Connection Therewith. Motion passed unanimously.</p>	
10.0	CLOSED SESSION	
10.1	<p>Motion was made to close the Open Session and convene in Closed Session; the motion was seconded and passed unanimously.</p> <p>Dr. Paul Roth presented the Closed Session Agenda to the Board for review</p>	<p>Upon a motion and a second, the Board voted to approve the agenda. Motion passed unanimously.</p>
11.0	RECONVENE IN OPEN SESSION	
	<p>Certification that those matters discussed in Closed Session and issuance of final action of such items including:</p>	

11.1	Motion that the Board accept the Minutes of the meeting of the Committees that were presented in Closed Session and to acknowledge for the record that those minutes were, in fact, presented to, reviewed and accepted by the Board and for the Board to accept and approve the recommendations of those Committees as set forth in the Minutes of those Committee meetings.	Upon a motion and a second, the Board voted to approve the minutes. Motion passed unanimously.
11.2	<p>Dr. Roth asked the Board to approve the list of Providers requesting medical staff membership and privileges identified and discussed in Closed Session including:</p> <ul style="list-style-type: none"> Ruddell, Jeremy AAC Anesthesiology UNMH Reyes, Kathleen MD Anesthesiology UNMH Arndt, Christopher MD Anesthesiology UNMH Vender, Sally MD Anesthesiology UNMH Elliott, James MD Pathology UNMH Elwood, Hillary MD Pathology UNMH McConnell, Thomas MD Pathology UNMH Reynolds, Samuel Pathology UNMH Pene-Ayla MD Medicine UNMH Rivers, William DO Medicine/PM&R UNMH Stromberg, Shannon MD Medicine/Psychiatry UNMH Bhatt, Snehal MD Medicine/Psychiatry UNMH Cullen, Chandra MD Medicine/Psychiatry UNMH Coman, Ellen PhD Medicine/Psychology UNMH Thoma, Robert PhD Medicine/Psychology UNMH Stewart, Sybol SA-C Surgery/Ortho ABQHP Antony, Antony MD Surgery/Ortho UNMH Mitnik, Michael MD Surgery/Ortho Private Practice <p>Motion was made to approve general ratification of items in closed session, second; unanimously approved.</p>	Motion was made and seconded to approve the list of Providers for membership and privileges. Motion passed unanimously.
12.0	ADJOURNMENT/NEXT MEETING	
	<p>Motion was made to adjourn the meeting, second; unanimously approved. Meeting adjourned at 11:29 a.m.</p> <p>The next meeting will be held on November 20, 2013 at 8:30-10:30 a.m. at UNM SRMC, Board Room // Teleconference at 1-888-557-8511 Passcode 2502228#</p>	
MEMBERS/GUESTS IN ATTENDANCE		
<p>Board Members Present:</p> <p>Dr. Paul Roth, Chair Dr. Carolyn Voss Dr. Martha McGrew Dr. Brad Cushnyr Ms. Charlotte Garcia (phone) Mr. Manu RainBird</p> <p>Staff Members Present:</p> <p>Mr. Kevin Rogols Ms. Jamie Silva-Steele Ms. Ella Watt Ms. Erica Hamilton Ms. Correen Bales Dr. Tony Ogburn Ms. Darlene Fernandez</p>		<p>Board members Absent:</p> <p>Mr. Steve McKernan Dr. Tom Williams</p> <p>Guests Present:</p> <p>Mr. Scot Sauder Ms. Rosalyn Nguyen Ms. Melissa Romine Mr. Michael Haederle</p>



THE UNIVERSITY OF NEW MEXICO • HEALTH SCIENCES CENTER

UNM HOSPITALS

CARRIE TINGLEY HOSPITAL ADVISORY BOARD

Meeting Minutes

September 23, 2013

CTH Outpatient Board Room – 1st floor

Agenda/Subject #	Discussion				Status	Due Date	Responsible Party
Attendance 9/23/13	CTH Advisory Board: Sarah Nelson-Howse, Neall Doren, David Schifani, Frederick Sherman, MD, Lisa Rossignol, Dana Allen, Nancy Greger, MD, Michael Shannon, Phil Prevender and Heidi Overton. UH: Doris Tinagero, Maribeth Thornton, Dale Hoekstra, MD, Edward Rose, MD, and Denise Taylor, MD.				N/A		
I. Call to Order	Meeting was called to order by Sarah Nelson-Howse at 4:07 pm.						
II. Approval of the July 22, 2013 Minutes.	Action: Dana Allen moved to approve the 7/22/13 meeting minutes; David Schifani seconded the motion. Vote was unanimous, no opposition.				7/22/13 meeting minutes were approved as written.	NA	NA
III. Public Input	No public input.						
IV. Reports A.		YTD	YTD Budget	Prior YTD	Dr. Hoekstra would like to follow-up with: »1). Income Statement sheet under “Medical Services” , 2.2 million dollars” where does this money go? Who receives it? 2). How surgeries are counted, are they orthopaedic or pediatrics? We need to track them separately, There are many surgeons who see CTH	11/25/13	Ella Watts
	Orthopaedics	602	914	880			
	Rehabilitation	385	504	484			
	Newborns	532	396	381			
	Pediatrics	2,662	2,084	2,010			
	Average length of stay	5.62	6.64	4.98			
	Discharges	744	587	754			
	Outpatient Clinic Visits						
	2 nd Floor Peds	7,346	7,034	7,119			
	Ortho	12,778	14,128	14,316			
1 st Floor Peds	9,766	10,038	9,866				

	<table><tr><td>Outreach</td><td>430</td><td>400</td><td>441</td></tr><tr><td rowspan="2">Operations</td><td>I/P 150</td><td>223</td><td>175</td></tr><tr><td>O/P 263</td><td>335</td><td>322</td></tr><tr><td>Implants</td><td>346</td><td>398</td><td>362</td></tr></table> <p>Ella Watt attended the meeting and reviewed the Income Statement ending June 30, 2013 and the Dash Board report ending June 30, 2013.</p> <p>Ella expanded on the State Appropriation monies and donations that CTH receives.</p> <p>Dr. Hoekstra asked Ella to clarify the surgeries reported; are you reporting all physicians that see patients at CTH? She responded that it was all surgeons who have a code (mainly the pediatric ortho surgeons) Dr. Hoekstra also asked about the Medical Services 2.2 million dollars? Where does this come from and who gets this money?</p>	Outreach	430	400	441	Operations	I/P 150	223	175	O/P 263	335	322	Implants	346	398	362	patients. Update at the next meeting scheduled for November.	
Outreach	430	400	441															
Operations	I/P 150	223	175															
	O/P 263	335	322															
Implants	346	398	362															
B. Medical Director Peds Orthopaedic	<p>Dr. Hoekstra submitted his written report for review. He added that he will be attending a conference titled "Confronting Uncertainty" in New Orleans, LA and hosted by the Children's Hospital Association.</p> <p>Dr. Hoekstra congratulated Selina Silva, MD, Pediatric Orthopaedic Surgeon. As of July 24, 2013 she is certified in Orthopaedic Surgery with a sub-specialty in Pediatric Orthopaedics.</p>	No further questions and/or comments																
Dr. Rose, Executive Medical Director of Children's Hospital and Services.	<p>Dr. Rose reported that an ongoing concern has been the loss of valuable members of pediatric specialties. Pediatric Specialties has openings in GI, PICU, Cardiology, Urology, ENT, and Pulmonary.</p> <p>A lengthy discussion ensued on recruitment and retention.</p>	Continue to recruit specialty physicians. Will report regularly on the status	Edward Rose, MD															
Physical Medicine and Rehabilitation	<p>Dr. Taylor reviewed a power chart presentation on program statistics and comparisons made to previous years.</p>																	

	<p>The 2nd Annual NMCAPP 5K Walk/Run was held on September 14, 2013. The event had a good turn out.</p>		
<p>C). Executive Directors</p>	<p>Doris Tiangero and Maribeth Thornton reported on the Inpatient and Outpatient statistics, inpatient score was average (89.6), but indicated there are two areas for improvement which are pain management and promptness to give pain medications for inpatient. Outpatient had 20 surveys returned (89.5). Lowest scores for outpatient were informing patients about delays during their visit and wait time during the clinic visit.</p> <p>Facility update: The elevator renovation is awaiting approval for the install from purchasing.</p> <p>-The rehabilitation department completed training for the new Functional Electrical Stim (FES) Cycle for legs. This equipment is used for patients with spinal cord injury, or other diagnoses that result in impaired lower extremity functioning.</p> <p>-The Flu shot initiative began. Flu shots are available for staff, visitors and patients free of charge. The goal is for 100% staff compliance in turning in the flu vaccination form.</p> <p>-CTH Ambulatory clinics are part of the Children's Initiative with 7-Quality projects in conjunction with the Children's Pediatric Clinics and Young Children's Health Center. Projects include the monitoring and improvement in the following areas:</p> <ol style="list-style-type: none"> 1. Lead Screening for children by age 16mo. 2. Hemoglobin Screening for children by age 16 mo. 3. Hearing and Vision screening by age 7 yrs. 4. Annual Screening for Asthma control 5. Obesity Screening and education 6. Tobacco Cessation- screening and education 7. Patient Satisfaction <p>-Doris reviewed the 2013 quarterly equipment approved by the CTH Foundation.</p>	<p>Doris will generate a report on next available appointments (or 3rd available appointments) for the November meeting.</p>	

	<p>A power point presentation was given to educate the CTH Advisory Board on the Patient Portal. Patient Portal is the ability for a patient to manage their reports from home. The roll out was approximately 1-year ago, still working on the system. At this time individuals 13-17 cannot access their records. UNMH is still working on messaging and prescription refill.</p> <p>Mr. Schifani inquired about appointment scheduling. He would like Administration to bring a report on how long it is taking to get into see a physician in ortho clinics and specialty pediatrics.</p> <p>Doris indicated she attended the last Cerebral Palsy Parent Association meeting. She addressed where CTH is now, where we came from and where she sees CTH heading in the future. She said it was a very good meeting and very informative for both parties.</p>			
D). CTH Foundation	<p>Phil Prevender submitted a written report as he could not attend today's meeting.</p> <p>-CTH Foundation's annual Jiffy Lubathon is scheduled for October 19, 2013. The participating locations are in Albuquerque, Santa Fe, Rio Rancho, Bosque Farms and Pojoaque areas. Have a signature oil change performed and receive a \$305.00 prize package.</p> <p>-Mark Pardo Salon and spa is holding their Annual "Do Hair, Do Good" event November 9, 2013 at the Albuquerque Convention Center. Tickets are \$20 with all proceeds to benefit the CTH Foundation.</p> <p>-6th Annual CTH Foundation Festival of Trees is scheduled for December 6 – December 8, 2013 at Sandia Resorts with our Jingle and Mingle event to take place December 5, 2013. CTH Foundation is anticipating 55 trees this year, hourly holiday entertainment, Santa and his workshop for kids to create their</p>	Continue to report on a bi-monthly basis.		

	own decorations.				
E). Chair	Ms. Howse presented Frederick Sherrman, MD with a plaque and thanked him for his years of dedication to CTH as a Medical Director and Advisory Board member. She indicated that he will be missed on the board.	No follow-up			
V. Old Business	Bylaw final approval – Deferred until November.	Approve Bylaws at the November 25, 2013 meeting.			
VI. New Business	A). Approval of Erich Marchand, MD to the CTH Advisory Board. <u>Action:</u> Dana Allen moved to approve Erich Marchand as a Health Care Member to the CTH Advisory Board. Neall Doren seconded the motion. No opposition, vote was unanimous.	Erich Marchand unanimously approved 9/23/13. He will be contacted by the CTH Advisory Chair.			
VII. Vote to Close	N/A				
VIII. Vote to Re-Open Session					
IX. Adjournment					

Respectfully Submitted by:

Diane J. Romero 11/25/13
Diane J. Romero, Administrative Assistant

APPROVED:

Janah Hwa
Chair
Neall Doren