



**UNIVERSITY OF NEW MEXICO  
BOARD OF REGENTS**

**Agenda**

**February 6, 2015  
9:00 AM  
Student Union Building, Ballroom C**

**The Board of Regents of the University of New Mexico**  
**Meeting in Open Session and Executive Session**  
**February 6, 2015, 9:00 AM**  
**Student Union Building, Ballroom C**  
**Executive Session-Luncheon, Cherry Silver Room**  
***revised* AGENDA \***

TAB

I. Call to Order, Confirmation of a Quorum and Adoption of the Agenda..... 1  
*Regent President Jack L. Fortner*

II. Approval of Minutes: January 9, 2015 Regular Meeting and January 29, 2015 Special Meeting ..... 2

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III. The President's Administrative Report, *President Robert G. Frank*..... 3

IV. Appointments to Health Sciences Center Board of Directors and Approval of Nominations to Lobo Energy, Inc. Board of Directors, STC.UNM Board of Directors, and Lobo Development, Inc. Board of Directors ..... 4

Comments from Regents

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**Finance and Facilities Committee. Regent James H. Koch, Chair**

Action Items (*contingent on approval in Finance and Facilities Committee meeting on February 3, 2015*)

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Consent 2. Approval of Disposition of Surplus Property for Main Campus on Lists Dated 12/19/2014 and 1/15/2015, *Bruce Cherrin, Chief Procurement Officer*..... 6

Consent 3. Capital Project Approval – UNM Valencia Campus, Loop Road and Parking Expansion ..... 7  
*Chris Vallejos, AVP Institutional Support Services*

4. Approval of Naming Committee Recommendation for Popejoy Hall ..... 8  
*Amy Wohlert, Chair, UNM Naming Committee*

5. Approval of STC.UNM Annual Report and Audit Report ..... 9  
*Lisa Kuuttila, Pres. & CEO, STC.UNM*

~~6. Review and Possible Approval of Health Plan Committee Recommendations and Health Insurance FY16 Projections ..... 10~~  
*Dorothy Anderson, VP HR; Michael Richards, Exec. Physician-in-Chief*

Information Items

7. Budget Development Update: Cost Control Strategies for FY 2015/2016 Budget ..... 11  
*Andrew Cullen, AVP, OPBA; Amy Wohlert, Chief of Staff, Office of the President*

8. Update on Elks Lodge Transfer ..... 12  
*Chris Vallejos, AVP Institutional Support Services; Tom Neale, Dir. Real Estate*

*\* Revisions to originally submitted agenda are limited to notation of consent agenda items (#2 & 3) and the item not moving to full Board of Regents (#6) on Finance and Facilities Committee agenda*

## **Health Sciences Center Board of Directors, Regent Suzanne Quillen, Chair**

### **Action Item**

1. Request for Approval of the Resolution to Purchase of One Digital Mammography Unit and the Purchase of Upgrades for Three Existing Hologic Selenia Dimension Digital Mammographic Machines, *Steve McKernan, CEO UNM Hospitals* ..... 13

### **Information Item**

2. HSC Financial Update, *Ava Lovell, Senior Exec. Officer, Finance and Administration, HSC* ..... 14

## **Academic/Student Affairs & Research Committee, Regent Bradley Hosmer, Chair**

1. Update from the Provost, *Chaouki Abdallah* ..... 15

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2. Approval of Honorary Degree Candidates, *Joyce Szabo, College of Fine Arts* ..... 16  
(public announcements will be at a future date, therefore the discussion will take place in closed session)
- Consent 3. Approval – UNM Valencia Certificates ..... 17
  - a. Form C: Film Technology Certificate
  - b. Form C: Medical Assistant Certificate
  - c. Form C: 3D Printing Mini Certificate  
*Laura Musselwhite, Dean of Instruction*
4. Faculty Constitution Amendments – Approval of Revisions ..... 18  
*Faculty Committee on Governance Representative*

### **Information Items**

5. Dashboard Assessment Data, *Greg Heileman, Assoc. Provost for Curriculum* ..... 19
6. Strategic Pricing, *Terry Babbitt, AVP of Enrollment Management* ..... 20

## **Audit and Compliance Committee, Regent Bradley Hosmer, Chair**

Comments from the Chair, *Regent Hosmer*

- VI. Comments from Advisors ..... 21  
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Rachel Williams, President, ASUNM  
Texanna Martin, President, GPSA (*written report*)  
Pamela Pyle, President, Faculty Senate  
Renée Delgado-Riley, President, Staff Council  
Catherine Cullen, President, Parent Association  
Carl Alongi, Chair, UNM Foundation Board of Trustees  
Brian Colón, President, Alumni Association (*written report*)
- VII. Public Comment (items not on agenda; limit 3 min. per speaker)
- VIII. Vote to close the meeting and proceed in Executive Session

### **Executive Session-Cherry Silver Room**

1. Discussion of Honorary Degree Candidates
2. Discussion and determination where appropriate of the purchase, acquisition or disposal of real property pursuant to Section 10-15-1.H(8), NMSA (1978)
3. Discussion and determination where appropriate of threatened or pending litigation pursuant to Section 10-15-1.H(7), NMSA (1978)
4. Discussion and determination where appropriate of limited personnel matters pursuant to Section 10-15-1.H(2), NMSA (1978)
5. Proceed to Ballroom C for further closed session [Item IX] and remainder of meeting

- IX. Board of Regents' Review in closed session – Oral Presentations, final arguments and decision in request for review by Complainant, *R. Frank v. David Olguin*, of the Academic Freedom and Tenure Committee decision of January 14, 2015. [Personnel matters, section 10-15-1.H(2), NMSA (1978)]
- X. Vote to re-open the meeting and certification that only those matters described in Agenda Items VIII. And IX were discussed in Closed Session and if necessary, final action with regard to those matters will be taken in Open Session.
- XI. Vote to Adjourn
- XII. Appendix – Minutes of Health Sciences Center meetings..... Appendix
  - a. Minutes of the UNM HSC Board of Directors December 5, 2014 meeting
  - b. Minutes of the UNM Hospitals Board of Trustees October 31, 2014, November 21, 2014 and December 19, 2014 meetings
  - c. Minutes of the Carrie Tingley Hospital Advisory Board September 22, 2014 and November 24, 2014 meetings
  - d. Minutes of the SRMC Board of Directors October 22, 2014 and November 21, 2014 meetings



**Minutes of the Regular Meeting of the Board of Regents of the University of New Mexico**  
**January 9, 2015**  
**Hodgin Hall, The Bobo Room**

Members present

Jack L. Fortner, President; Bradley C. Hosmer, Secretary/Treasurer; Suzanne Quillen; Heidi Overton; James H. Koch; Matthew Chandler; Robert M. Doughty

Administration present

Robert G. Frank, President; David Harris, EVP of Administration, COO, CFO; Paul Roth, Chancellor for Health Sciences; Chaouki Abdallah, Provost and EVP for Academic Affairs; Elsa Cole, University Counsel; Dorothy Anderson, VP HR; Liz Metzger, University Controller; Helen Gonzales, Chief Compliance Officer; Eliseo Torres, VP Student Affairs; Ava Lovell, Sr. Exec. Officer of Finance and Administration, HSC

Regents' Advisors present

Brian Colón, Alumni Association; Catherine Cullen, Parent Association; Pamela Pyle, Faculty Senate; René Delgado-Riley, Staff Council; Texanna Martin, GPSA; Rachel Williams, ASUNM

Presenters in attendance

Pamina Deutsch, University Policy and Administrative Planning Director; Andrew Cullen, AVP, Planning, Budget and Analysis; Terry Babbitt, AVP Enrollment Management

Others in attendance

Members of the administration, faculty, staff, students, the media and others.

CONFIRMATION OF PRIOR SPECIAL MEETING IN EXECUTIVE SESSION

The Board of Regents met in closed session on January 9, 2015 from 7:52 to 9:12 AM in 'The Library' of Hodgin Hall for discussion purposes only of limited personnel matters as permitted under Section 10-15-1H(2) of the Open Meetings Act (NMSA 1978). Members present were Jack Fortner, Heidi Overton, Jamie Koch, Suzanne Quillen, Bradley Hosmer, Matt Chandler, and Rob Doughty. The matters discussed at the meeting were limited only to personnel matters as specified in the notice and agenda for the meeting.

CALL TO ORDER, CONFIRMATION OF A QUORUM AND APPROVAL OF THE AGENDA

Regent President Fortner called the meeting to order at 9:27 AM. A quorum was confirmed. The agenda was approved (1<sup>st</sup> Koch, 2<sup>nd</sup> Hosmer). Regent President Fortner welcomed everyone to the first meeting of 2015, and he recognized Regent Jamie Koch's reappointment to the Board and welcomed the two new Regents, Regent Matt Chandler and Regent Rob Doughty. Regent Fortner invited Brian Colón, President of the Alumni Association, to the podium to say a few words in light of the meeting held in Hodgin Hall, also known as the Alumni Center. Mr. Colón welcomed everyone to the Alumni Center. He gave a brief history of Hodgin Hall, the first building of the University that opened in 1892 with 108 students. Mr. Colón also welcomed the new Regents, Regent Doughty and Regent Chandler.

APPROVAL OF MINUTES

Regent Fortner asked for a motion to approve the minutes of the prior meeting. The motion to approve the minutes of the December 12, 2014 regular meeting passed by unanimous vote (1<sup>st</sup> Koch, 2<sup>nd</sup> Quillen).

PUBLIC COMMENT (there were no comments)

PRESIDENT'S ADMINISTRATIVE REPORT

President Frank discussed budget issues, including the reduction in the oil price that will lead to reduced State revenues. The focus will be to protect UNM's budget in the days ahead. Two areas that UNM can control are enrollment and cost management. Regarding student enrollment, recruitment and retention are the big factors. Retaining students from year to year is more critical than recruiting new freshmen, and retention progress has been made. While recruiting is important, the pool of new freshmen, in NM and nationally, reached a plateau in 2012. This was after decades of growth. A continual decline in the population of 18 to 24 year olds is projected through 2018 and will not rebound to 2012 levels until 2022. Buildings on campus also

need maintenance. The University has a beautiful campus, but the quality and versatility of our buildings does not match schools with which we compete. UNM is a better research university than many of our competitors, but we are losing students to other universities that have better facilities. Amenities also play a key role when students choose. President Frank talked about the need for an improved recreation center (UNM Johnson Center).

Regarding strategic cost management, a Cost Discipline Group, made up of a wide range of staff and faculty is developing cost management strategies for implementation across five categories: 1) hire pause and/or retirement incentives, 2) review of benefit packages and programs, 3) shared service models, 4) instructional efficiencies, 5) department and program reviews.

Student Regent Overton commented there are many students who would choose UNM because of the visionary programs it offers on the academic side. Programs that have great faculty and that allow students to pursue their goals like the BA/MD program and programs the College of Education is offering. Amenities are a secondary factor for many students just as they were when she was choosing a university.

President Frank agreed and added that the Honors College is another example of a visionary program.

- Regent Quillen asked to see a review on the specific outcomes from the Cost Discipline Group. Specifically, the activities, the outcomes, and the metrics before the budget. Amy Wohlert said the initial proposals will be coming out later in January.

Regent Hosmer endorses the prior years' investments in strategic programs that are already showing successful outcomes. Regent Hosmer would like to see in the budget presentation options for enhancing those investments to further successful outcomes down the road. Certainly, in the area of enrollment and especially in the area of retention, investments need to be looked at in a longer-term view, measuring current trade-offs for longer-term benefits to the University.

Regent Fortner agreed with the prior comments and stated his interest in improving the recreation center and asked President Frank how the improvements could be funded. President Frank said various funding models are being studied and will be brought forward to the Finance and Facilities Committee.

Last August, UNM commissioned a climate report from Pilgrim and Associates. Jill Pilgrim and her firm are widely considered national experts in the area of sexual violence and misconduct. Jill Pilgrim and members of her team will be on campus on January 22 to present highlights of the report and facilitate a dialogue with the campus community.

#### COMMENTS FROM REGENTS

Regent Koch congratulated the Governor on appointments of new Regents, Regent Robert Doughty and Regent Matthew Chandler. Regent Koch recognized Gene Gallegos as an outstanding Regent and his years of service to the University and commended him for his recent resignation so his replacement can start right away.

Regent President Fortner announced appointments and nominations to committees and boards. Regent Fortner appointed Regent Chandler to Finance & Facilities Committee as Vice Chair and to the Audit and Compliance Committee. Regent Fortner nominated Regent Chandler to the Lobo Energy, Inc. Board of Directors. Regent Fortner appointed Regent Doughty to the Health Sciences Center Board of Directors and to the Finance and Facilities Committee. Regent Fortner nominated Regent Doughty to the STC Board of Directors. Regent Fortner appointed Regent Hosmer to Chair the Audit and Compliance Committee. Regent Fortner said Regent Koch will remain Chair of the Finance and Facilities Committee.

#### DISCUSSION ITEM: REGENT ORIENTATION

Regent Fortner said the Regents Office organized initial orientation materials for new Regents Chandler and Doughty. Made available to the new Regents were the following: Regents' Policy Manual; Meeting Minutes from prior full year of Board of Regents meetings, Regents' Sub Committee meetings and HSC Board of Directors meetings; Regents' Sub Committee and HSC Board organization chart; and University Organization Charts. There was discussion about follow-up orientation sessions in the weeks ahead.

ACTION ITEM: APPROVAL OF REGENTS' POLICY 4.7 "TUITION AND FEES" AND REGENTS' POLICY 5.18 "ENDOWED FACULTY CHAIRS"

Pamina Deutsch presented the policies for approval. Regent Koch said the revisions to Regents' Policy 4.7 were only minor changes from those which were approved at the December meeting. Leading up to the December meeting, Regents Gallegos and Hosmer had worked on the revisions to 4.7 and Regent Koch had given his input which was also incorporated.

**The motion to approve the Regents' Policy 4.7 passed by a unanimous vote (1<sup>st</sup> Koch; 2<sup>nd</sup> Hosmer).  
The motion to approve the Regents' Policy 5.18 passed by a unanimous vote (1<sup>st</sup> Koch; 2<sup>nd</sup> Hosmer).**

INFORMATION ITEM: BUDGET DEVELOPMENT UPDATE

Andrew Cullen and Terry Babbitt presented budget issues and slides. There was discussion about recruiting and enrollment. Currently, there is a projected revenue shortfall of \$3.4 million due to estimated enrollment declines. Regent Hosmer asked if improvement in the job market could negatively impact enrollment. Mr. Cullen replied that it could. There was discussion about oil prices and formula funding estimates as revenue drivers, and health care benefits costs, utilities, and state worker's compensation adjustments as expenditure drivers.

COMMENTS FROM ADVISORS

Brian Colon, President, Alumni Association, welcomed Regents Chandler and Doughty and provided an update on the Alumni Association activities.

Pamela Pyle, President, Faculty Senate, welcomed the new Regents and gave an update on Faculty Senate. President Pyle encouraged the new regents to adopt a college by joining one of the colleges for a half-day or full-day; the college would put together an agenda including a tour to facilitate the Regent learning more about that college.

Reneé Delgado-Riley, President, Staff Council, welcomed the new Regents and provided an update on Staff Council. Written reports were included in the agenda book.

Texanna Martin, President, GPSA, welcomed the new Regents and provided an update on activities of the GPSA. A written report was included in the agenda book.

Rachel Williams, President, ASUNM, welcomed the new Regents and provided an update on the activities of ASUNM.

Catherine Cullen, President, Parent Association, welcomed the new Regents and provided a brief update on the association's activities.

PUBLIC COMMENT (there were no comments)

VOTE TO CLOSE THE MEETING AND PROCEED IN EXECUTIVE SESSION

Regent Fortner asked for a motion to close the meeting and go into executive session to discuss the agenda items listed on the executive session agenda.

**The motion to close the meeting and proceed in Executive Session passed unanimously (1<sup>st</sup> Koch; 2<sup>nd</sup> Quillen). The meeting closed at 10:58 am.**

Executive Session-luncheon in The President's Board Room of Hodgkin Hall

1. Discussion and determination where appropriate of threatened or pending litigation pursuant to Section 10-15-1.H(7), NMSA (1978)
2. Discussion and determination where appropriate of limited personnel matters pursuant to Section 10-15-1.H(2), NMSA (1978)

VOTE TO OPEN THE MEETING

The vote to open the meeting and certification that only those matters described in the executive session agenda were discussed in closed session and no final action was necessary on any matters, passed by unanimous vote (1<sup>st</sup> Quillen; 2<sup>nd</sup> Hosmer).

VOTE TO ADJOURN

Regent Fortner asked for a motion to adjourn; Regent Overton motioned; Regent Koch seconded; all were in favor; the motioned passed.

The meeting adjourned at 1:30 pm.

Approved:

Attest:

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Jack L. Fortner, President

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Bradley C. Hosmer, Secretary/Treasurer

Minutes originated and finalized by: Mallory Reviere

**Minutes of the Special Meeting of the Board of Regents of the University of New Mexico**  
**January 29, 2015**  
**Roberts Room, Scholes Hall**

Members present

Present in person: Bradley C. Hosmer, Suzanne Quillen

Present by phone: Jack L. Fortner, Heidi Overton, James H. Koch, Matthew Chandler, Robert M. Doughty

Others present

Administration, faculty, staff, students, and others.

CALL TO ORDER, CONFIRMATION OF A QUORUM AND APPROVAL OF THE AGENDA

Regent President Fortner called the meeting to order at 4:01 PM. Regents Brad Hosmer and Suzanne Quillen attended in person. Regent Fortner attended by phone and asked the other members attending by phone to state their names. Regents Matt Chandler, Rob Doughty, Jamie Koch, Heidi Overton stated attendance. A quorum was confirmed. The motion to approve the agenda passed by a unanimous vote (1<sup>st</sup> Hosmer; 2<sup>nd</sup> Quillen).

ACTION ITEM: INNOVATE ABQ, INC. BOARD OF DIRECTORS - APPROVAL OF NUMBER OF SEATS

Regent President Fortner said there was a need for one more seat on the Innovate ABQ, Inc. Board of Directors. Student Regent Overton clarified that after one seat were added the board would have a total of 12 seats. Regent Fortner confirmed. There was discussion about an even number of seats on the board. Regent Hosmer said that adhering to an odd number of seats on a board is a functional matter that does not pertain in this case. Regent Fortner asked for a motion.

**The motion to increase by one the number of seats on the board of Innovate ABQ, Inc., passed by unanimous vote (1<sup>st</sup> Koch; 2<sup>nd</sup> Hosmer).**

ACTION ITEM: INNOVATE ABQ, INC. BOARD OF DIRECTORS - APPROVAL OF MEMBERS

Regent President Fortner addressed the approval of members. The names submitted were John Sanchez, Jeff Apodaca, Waneta Tuttle, Jessica Eaves Mathews, and Carlos Perea. Regent Fortner said the five individuals were vetted by Terry Laudick and Mayor R.J. Berry and also President Bob Frank. Regent Fortner asked for a motion to approve the names. Regent Koch made a motion; Regent Quillen seconded. During discussion, Regent Hosmer requested it be on record that there were many more individuals nominated for this purpose, individuals of considerable talent and capability and who were considered. The five on the table represent a select group that came out of many others who were considered. Regent Fortner added that that was one of the reasons it took so long to reach a decision.

**The motion to approve John Sanchez, Jeff Apodaca, Waneta Tuttle, Jessica Eaves Mathews, and Carlos Perea to the Innovate ABQ, Inc. Board of Directors passed by a unanimous vote of the Regents (1<sup>st</sup> Koch; 2<sup>nd</sup> Quillen).**

ADDITIONAL ITEM: Regent Koch said the board needs a vice president since Conrad James resigned after he was voted into the Legislature. Regent Koch made a motion to nominate Regent Hosmer to Vice President of the Board of Regents; Student Regent Overton seconded; all were in favor; the motion passed.

VOTE TO ADJOURN

Regent Fortner asked for a motion to adjourn; Regent Quillen motioned; Regent Hosmer seconded; all were in favor; the motioned passed. The meeting adjourned at 4:07 pm.

**Approved:**

**Attest:**

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**Jack L. Fortner, President**

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**Bradley C. Hosmer, Secretary/Treasurer**

## President's Administrative Report

*will be presented at the meeting*

Appointments to Health Sciences Center  
Board of Directors and

Approval of nominations to Lobo Energy, Inc.  
Board of Directors, STC.UNM Board of  
Directors, and Lobo Development, Inc. Board  
of Directors



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University Controller  
Financial Services, Main Campus  
Phone: (505) 277-5111  
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## MEMORANDUM

**DATE:** January 14, 2015

**TO:** David W. Harris  
Executive Vice President for Administration

**FROM:** Elizabeth Metzger, CPA  
University Controller

**RE:** Two (2) Items: One (1) Action Item and One (1) Information Items for Board of Regents' Finance & Facilities Committee Meeting

### *Action Item.*

The Fiscal Watch Report that is to be submitted to the HED Executive Director through December 31, 2014 will be presented at the February 3, 2015 Finance & Facilities Committee meeting. The Report contains the following information: A comparison of the approved annual operating and plant fund budgets with the current year-to-date information, a comparison of the most current year to prior-year information, a Balance Sheet and a Statement of Cash Flows.

The Report is to be reviewed and approved by the Board of Regents at the February 3, 2015 Finance & Facilities Committee meeting.

### *Information Items.*

The Monthly Consolidated Financial Reports for the month ended December 31, 2014 will be presented at the February 3, 2015 Finance & Facilities Committee meeting.

In order to present the most-timely financial information at this meeting, the Fiscal Watch Report and Monthly Consolidated Financial Reports will be emailed to the committee members no less than 48 hours in advance of the meeting. Handouts will be available the day of the meeting.



# The University of New Mexico

Consolidated

## Summary of Operating and Plant Funds

(Unadjusted and Unaudited)

Fiscal Year 2014-2015 as of December 31, 2014

Operating Funds	FY 2014-2015 Original Budget	FY 2014-2015 Actuals as of December 31, 2014	Percentage Earned/Spent
<b>REVENUES</b>			
Tuition and Mandatory Fees	\$ 181,992,378	\$ 172,403,184	94.7%
Student Fees	14,579,875	4,356,028	29.9%
State Appropriations	322,234,263	155,321,978	48.2%
Local Appropriations	7,063,190	2,112,381	29.9%
Endowment Income	1,344,385	-	N/A
Land & Perm Fund	8,228,869	4,333,622	52.7%
Gifts, Grants & Contracts	392,448,301	179,553,494	45.8%
Indirect Cost Recovery	42,563,382	21,153,413	49.7%
Sales & Services	340,492,599	196,043,620	57.6%
Other	42,461,676	18,921,840	44.6%
Use of Balance	9,908,056	-	0.0%
<b>Total Revenue</b>	<b>\$ 1,363,316,974</b>	<b>\$ 754,199,560</b>	<b>55.3%</b>
<b>BEGINNING BALANCE</b>	<b>\$ 87,079,425</b>	<b>\$ 152,420,432</b>	<b>175.0%</b>
<b>TOTAL AVAILABLE</b>	<b>\$ 1,450,396,399</b>	<b>\$ 906,619,992</b>	<b>62.5%</b>
<b>EXPENDITURES</b>			
Instruction	\$ 294,612,469	\$ 137,861,195	46.8%
Student Social & Cultural	9,553,029	4,784,415	50.1%
Research	196,047,502	98,467,718	50.2%
Public Service	344,669,576	165,644,298	48.1%
Academic Support	60,375,229	26,927,164	44.6%
Student Services	35,752,086	14,425,902	40.3%
Institutional Support	66,316,369	33,190,398	50.0%
Operations and maintenance of plant	50,793,366	24,207,243	47.7%
Student Aid	138,177,034	62,745,673	45.4%
Internal Services	1,631,713	-	0.0%
Auxiliary Enterprises	56,459,021	27,518,685	48.7%
Intercollegiate Athletics	31,671,746	17,461,213	55.1%
Independent Operations (clinical)	78,232,074	25,088,060	32.1%
<b>Total Expenditures</b>	<b>\$ 1,364,291,214</b>	<b>\$ 638,321,964</b>	<b>46.8%</b>
<b>Net Transfers</b>	<b>\$ 30,844,831</b>	<b>\$ 25,450,292</b>	<b>82.5%</b>
<b>TOTAL EXPENDITURES &amp; TRANSFERS</b>	<b>\$ 1,395,136,045</b>	<b>\$ 663,772,256</b>	<b>47.6%</b>
<b>ENDING FUND BALANCE</b>	<b>\$ 55,260,354</b>	<b>\$ 242,847,736</b>	<b>439.5%</b>

Plant Funds	FY 2014-2015 Original Budget	FY 2014-2015 Actuals as of December 31, 2014	Percentage Earned/Spent
<b>REVENUES AND TRANSFERS</b>			
Student Fees	\$ 14,388,000	\$ 7,746,043	53.8%
UNM Bond Proceeds	100,000	213,000	213.0%
Gifts, Grants and Contracts	-	318,300	N/A
Interest Income	-	933,505	N/A
State/Local Bonds	27,012,850	7,040,492	26.1%
Land, Bldg and Equipment Rent	2,010,871	1,300,418	64.7%
Other	23,279,353	1,516,156	6.5%
Use of Balance	-	-	N/A
<b>Total Revenues</b>	<b>\$ 66,791,074</b>	<b>\$ 19,067,914</b>	<b>28.5%</b>
Plant Transfers	38,060,837	25,450,292	66.9%
<b>Total Revenues and Transfers</b>	<b>\$ 104,851,911</b>	<b>\$ 44,518,206</b>	<b>42.5%</b>
<b>BEGINNING BALANCE</b>	<b>\$ 127,386,355</b>	<b>\$ 172,731,963</b>	<b>N/A</b>
<b>TOTAL AVAILABLE</b>	<b>\$ 232,238,266</b>	<b>\$ 217,250,169</b>	<b>93.5%</b>
<b>EXPENDITURES</b>			
Capital Projects	\$ 46,310,839	\$ 26,016,004	56.2%
Building Renewal	27,000,000	8,459,137	31.3%
Equipment Renewal	11,600,000	460,817	4.0%
Auxiliary and Internal Service Renewal/Replacement	-	1,370,253	N/A
Debt Retirement	35,511,253	15,031,016	42.3%
<b>Total Expenditures</b>	<b>\$ 120,422,092</b>	<b>\$ 51,337,227</b>	<b>42.6%</b>
<b>ENDING FUND BALANCE</b>	<b>\$ 111,816,174</b>	<b>\$ 165,912,942</b>	<b>N/A</b>
<b>CURRENT OPERATING &amp; PLANT FUNDS</b>	<b>(47,389,252)</b>	<b>83,608,283</b>	

# The University of New Mexico

Consolidated

## Comparison of Operating and Plant Funds (Unadjusted and Unaudited)

Fiscal Year 2014-2015 as of December 31, 2014

Operating Funds	FY 2014-2015 Actuals as of December 31, 2014	FY 2013-2014 Actuals as of December 31, 2013	% Variance Increase/ (Decrease)
<b>REVENUES</b>			
Tuition and Mandatory Fees	\$ 172,403,184	\$ 162,022,837	6.4%
Student Fees	4,356,028	4,911,561	-11.3%
State Appropriations	155,321,978	146,582,744	6.0%
Local Appropriations	2,112,381	1,735,526	21.7%
Land & Perm Fund	4,333,622	3,861,602	12.2%
Gifts, Grants & Contracts	179,553,494	159,189,939	12.8%
Indirect Cost Recovery	21,153,413	21,324,839	-0.8%
Sales & Services	196,043,620	175,510,185	11.7%
Other	18,921,840	22,149,635	-14.6%
Total Revenue	\$ 754,199,560	\$ 697,288,868	8.2%
<b>BEGINNING BALANCE</b>	152,420,432	165,120,220	-7.7%
<b>TOTAL AVAILABLE</b>	906,619,992	862,409,088	5.1%
<b>EXPENDITURES</b>			
Instruction	\$ 137,861,195	\$ 132,383,213	4.1%
Student Social & Cultural	4,784,415	3,972,147	20.4%
Research	98,467,718	99,719,573	-1.3%
Public Service	165,644,298	152,523,898	8.6%
Academic Support	26,927,164	26,317,263	2.3%
Student Services	14,425,902	14,181,872	1.7%
Institutional Support	33,190,398	29,148,228	13.9%
Operations and maintenance of plant	24,207,243	24,686,484	-1.9%
Student Aid	62,745,673	65,935,009	-4.8%
Auxiliary Enterprises	27,518,685	24,345,445	13.0%
Intercollegiate Athletics	17,461,213	16,952,961	3.0%
Independent Operations (clinical)	25,088,060	25,124,972	-0.1%
Total Expenditures	\$ 638,321,964	\$ 615,291,065	3.7%
Net Transfers	25,450,292	18,148,450	40.2%
<b>TOTAL EXPENDITURES &amp; TRANSFERS</b>	\$ 663,772,256	\$ 633,439,515	4.8%
<b>ENDING FUND BALANCE</b>	\$ 242,847,736	\$ 228,969,573	6.1%

Plant Funds	FY 2014-2015 Actuals as of December 31, 2014	FY 2013-2014 Actuals as of December 31, 2013	% Variance Increase/ (Decrease)
<b>REVENUES AND TRANSFERS</b>			
Student Fees	\$ 7,746,043	\$ 14,797,835	-47.7%
UNM Bond Proceeds	213,000	-	N/A
Gifts, Grants and Contracts	318,300	500,489	-36.4%
Interest Income	933,505	713,024	30.9%
State Bonds	7,040,492	3,489,287	101.8%
Land, Bldg and Equipment Rent	1,300,418	1,318,674	-1.4%
Other	1,516,156	1,537,548	-1.4%
Total Revenues	\$ 19,067,914	\$ 22,356,857	-14.7%
Plant Transfers	25,450,292	18,148,450	40.2%
Total Revenues and Transfers	\$ 44,518,206	\$ 40,505,307	9.9%
<b>BEGINNING BALANCE</b>	172,731,963	157,981,669	9.3%
<b>TOTAL AVAILABLE</b>	217,250,169	198,486,976	9.5%
<b>EXPENDITURES</b>			
Capital Projects	\$ 26,016,004	\$ 17,233,287	51.0%
Building Renewal	8,459,137	10,717,723	-21.1%
Equipment Renewal	460,817	638,072	-27.8%
Auxiliary and Internal Service Renewal/Replacement	1,370,253	630,623	117.3%
Debt Retirement	15,031,016	9,492,551	58.3%
Total Expenditures	\$ 51,337,227	\$ 38,712,256	32.6%
<b>ENDING FUND BALANCE</b>	\$ 165,912,942	\$ 159,774,720	3.8%
<b>CURRENT OPERATING &amp; PLANT FUNDS</b>	<b>83,608,283</b>	<b>65,642,404</b>	

# The University of New Mexico

## Statement of Net Assets (Unaudited and Unadjusted) As of December 31, 2014

### Assets

#### Current assets

Cash and cash equivalents	\$ 45,295,388
Short term investments	295,241,338
Accounts receivable, net	140,368,494
Patient receivables, net	959,370
Notes receivable, net	3,890,952
Due from related parties	76,210,714
Inventories	8,176,473
Other Receivables	1,198,942
Prepaid expenses and other assets	16,592,863

Total current assets	\$ 587,934,534
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#### Noncurrent assets

Notes receivable - noncurrent	\$ 9,827,043
State Investment Council	208,074,718
Investments	428,340,299
Derivative instruments-interest rate swaps overlay note	1,905,994
Due From Component Units	26,520,098
Other noncurrent assets	1,305,408
Endowed fine art	6,008,807
Capital assets, net	928,018,780

Total noncurrent assets	\$ 1,610,001,147
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<b>Total Assets</b>	<b>2,197,935,681</b>
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### Deferred Outflow of Resources

Deferred outflow of resources-interest rate swaps	\$ 10,581,948
Loss on defeased	1,341,096

<b>Total deferred flow of resources</b>	<b>11,923,044</b>
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### Liabilities

#### Current liabilities

Accounts payable	\$ 83,232,073
Accrued compensated absences	28,028,835
Other accrued liabilities	9,988,477
Unearned revenue	24,996,343
Bonds payable - current	14,352,934
Deposits and funds held for others	13,206,366
Due to fiduciary fund	7,305,669
Due to Component Units	2,489,900

Total current liabilities	\$ 183,600,597
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#### Noncurrent liabilities

Bonds payable - noncurrent	\$ 391,511,840
Equipment loaned to UNM	3,863,541
Due to component units	189,522,999
Student loan program	12,414,563
OPEB Obligation	26,636,000
Derivative instruments-interest rate swaps	10,581,948
Deferred annuities payable	207,272

Total noncurrent liabilities	\$ 634,738,163
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<b>Total Liabilities</b>	<b>\$ 818,338,760</b>
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### Net Assets

Invested in Capital Assets, net of Related Debt	\$ 538,833,571
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#### Restricted for:

##### Nonexpendable:

Scholarships	119,404,531
State Investment Council	207,068,445
Grants, bequests and contributions	6,008,807

##### Expendable:

Scholarships and other	2,720,350
Grants, bequests and contributions	4,078,998
Capital Projects	6,782,118
Debt Service	17,049,164

Unrestricted	405,965,698
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Year-to-date change in net assets	83,608,283
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<b>Total Net Assets</b>	<b>\$ 1,391,519,965</b>
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# The University of New Mexico

## Cash Flow

(Unaudited and Unadjusted)

For the six months ended December 31, 2014

### Cash flow from operating activities:

Payments from tuition and fees	\$	98,443,202
Payments from grants and contracts		197,552,834
Payments from insurance and patients		132,309,950
Payments to suppliers		(185,280,234)
Payments for utilities		(11,518,206)
Payments to employees		(308,424,016)
Payments for benefits		(94,551,480)
Payments for scholarships and fellowships		(65,708,944)
Loans issued/collected to students and employees		4,260,376
Payments from sales and services		51,505,872
Other receipts		21,018,327
Net cash used by operating activities	\$	<u>(160,392,319)</u>

### Cash flow from noncapital financing activities:

State appropriations	\$	155,416,193
Local appropriations		2,112,381
Other non operating payments		(4,214,626)
Net cash provided by noncapital financing activities	\$	<u>153,313,948</u>

### Cash flow from capital financing activities:

Interest pmts on bonds	\$	(10,527,072)
Capital appropriations		1,865,164
Local bonds		7,040,492
Capital Asset activity, net		(1,447,070)
Other payments		(676,718)
Net cash used by capital financing activities	\$	<u>(3,745,204)</u>

### Cash flow from investing activities:

Proceeds from sale & maturity of investments	\$	96,638,870
Purchase of investments		(119,524,246)
Investment loss		(4,954,172)
Net cash used by investing activities	\$	<u>(27,839,548)</u>

<b>Net decrease from cash and cash equivalents</b>	\$	(38,663,123)
Cash and cash equivalents at beginning of year		83,958,511
Cash and cash equivalents end of the first quarter	\$	<u><u>45,295,388</u></u>



THE UNIVERSITY of  
NEW MEXICO

University Controller  
Financial Services, Main Campus  
1 University of New Mexico  
MSC 01 1300  
Albuquerque, New Mexico 87131-0001  
Phone: (505) 277-5111  
FAX: (505) 277-7662

DATE: February 3, 2015

TO: Mr. Ron Spilman  
Director, Institutional Finance  
New Mexico Higher Education Department

RE: Financial Status as of December 31, 2014

By signing below, the parties are indicating they are aware that timely and routine processes are in place and being followed and where appropriate, reviewed and approved by the Board of Regents related to:

- Comparison of approved annual operating and plant fund budgets with year-to-date revenues, expenditures and transfers;
- Comparison of current year-to-date to prior year's activities in operating and plant fund revenues, expenditures and transfers;
- Statement of net assets; and
- Statement of cash flow.

**University of New Mexico**

\_\_\_\_\_  
James H. Koch, Chair, Board of Regents-F&F

\_\_\_\_\_  
Date

\_\_\_\_\_  
Robert G. Frank, President

\_\_\_\_\_  
Date

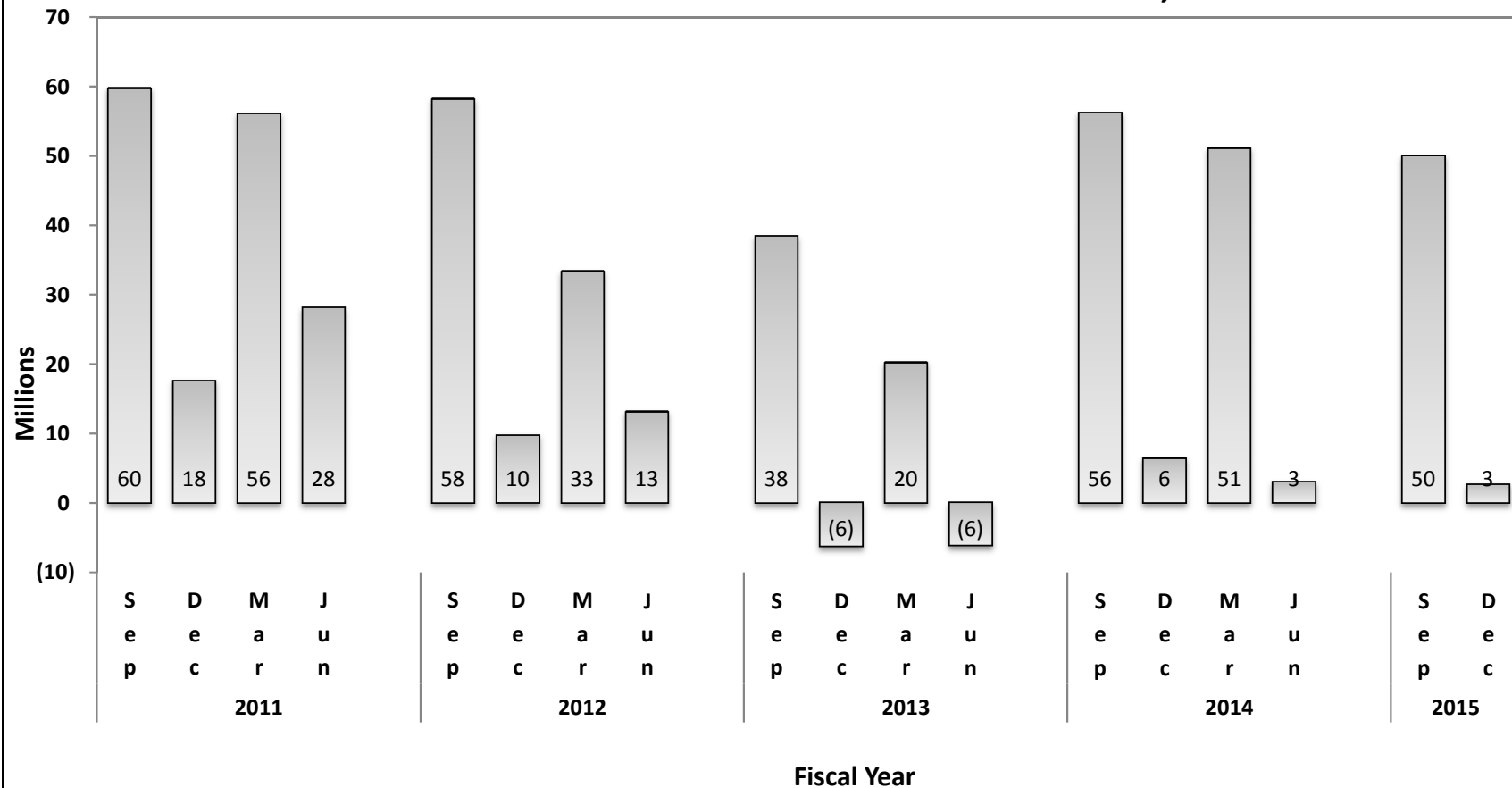
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David W. Harris, Chief Financial Officer

\_\_\_\_\_  
Date



# THE UNIVERSITY of NEW MEXICO

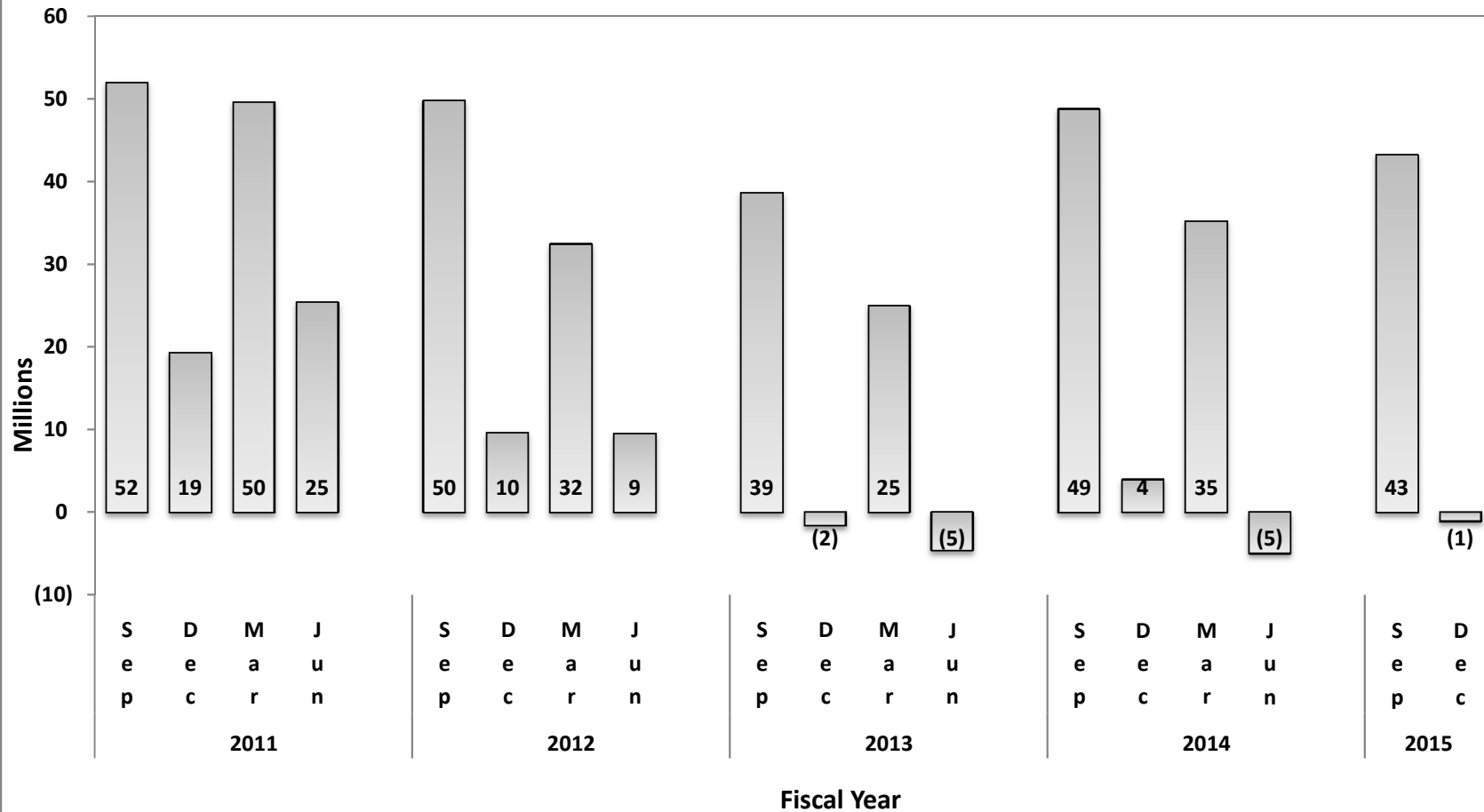
## CONSOLIDATED Total Operations - 5 Year Net Revenue / (Expense) Fiscal Year to Date as of December 31, 2014





# THE UNIVERSITY of NEW MEXICO

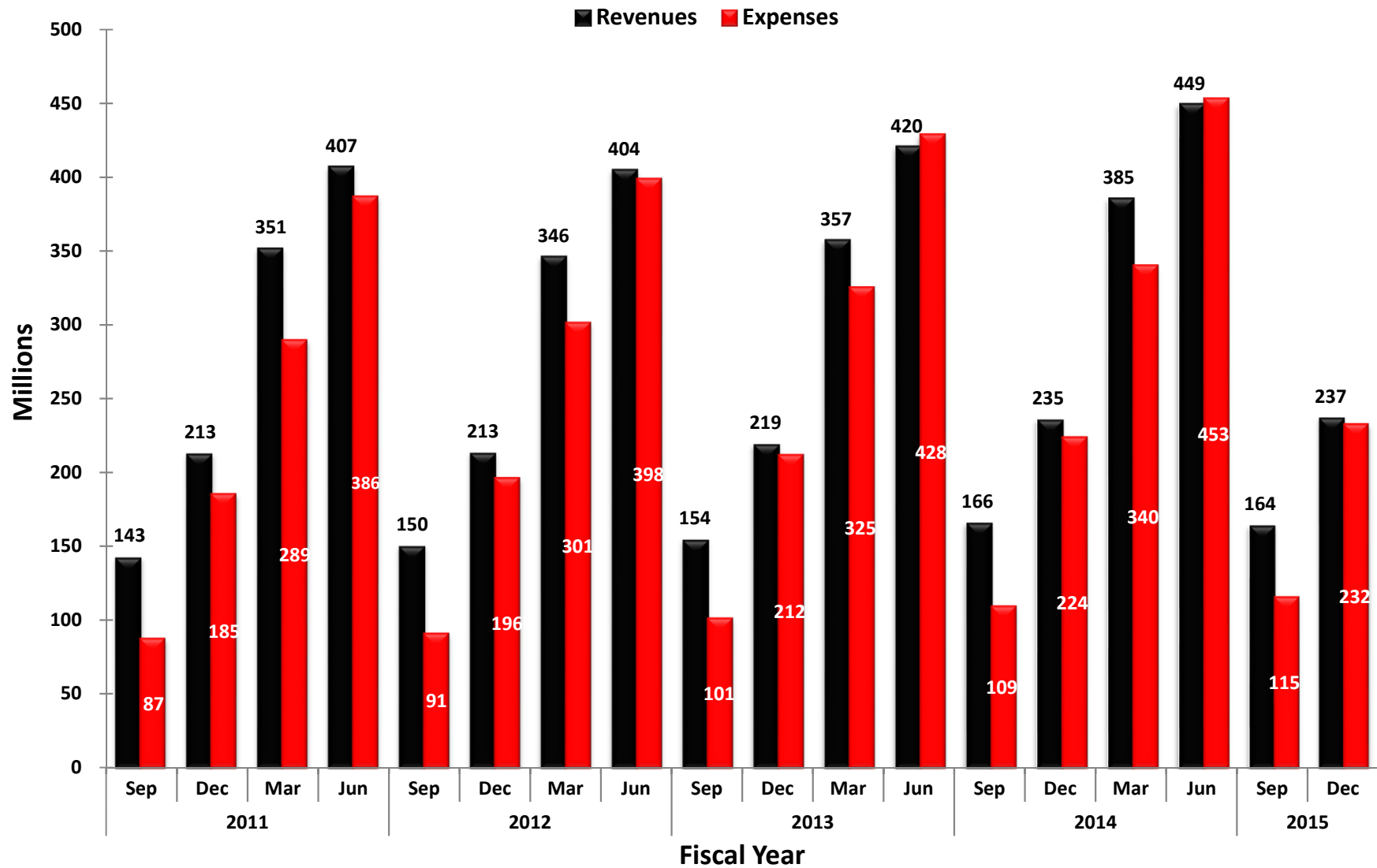
## MAIN CAMPUS Operations - 5 Year Net Revenue / (Expense) Fiscal Year to Date as of December 31, 2014





## YTD I&G Consolidated Revenues / Expenses (5 Year)

### Fiscal Year to Date as of December 31, 2014





## **Executive Budget Summary**

### **University of New Mexico Consolidated Financial Report**

### **FY 2015 UNM Operating Budget**

This report covers current fund operations for the University, including Main Campus, Branch Campuses and HSC Campus.

**Instruction and General** operations (approximately 98% of this operation resides on Main Campus) projects a use of reserves of \$9.7M for the FY 2015 UNM Operating Budget. The use of reserves of \$9.7M is comprised of \$9.6M use of reserves at the Main Campus, a \$1.7M use of reserves at the Branch Campuses and a favorable net margin of \$1.6M at the HSC Campus. The \$9.6M use of reserves at Main Campus is primarily due to \$1.5M of one-time monies funding the I&G budget, \$432K SFRB one-time use of mandatory student fee reserves and Provost Academic Affairs budgeting \$6.5M of reserves. Within Provost Academic Affairs, Provost Administrative Units, College of Arts and Sciences and Extended University departments budgeted the largest use of reserves.

The next block of information shows our **Unrestricted Research** operations. The FY 2015 UNM Operating Budget shows a use of reserves of \$7.5M, of which a \$3.9M use of reserves is related to Main Campus and a \$3.6M use of reserves is related to HSC Campus. The \$3.9M use of reserves at Main Campus is primarily due to Provost Academic Affairs' departments budgeting reserves. The departments that budgeted the largest use of reserves are, Provost Administrative Units, College of Arts and Sciences, College of Education, School of Engineering, and VP Research & Economic Development. At the HSC Campus approximately \$737K of reserve balances were budgeted by the SOM to support research initiatives. The College of Pharmacy budgeted \$936K for faculty start-up funding, research development and lab support and professional development. The College of Nursing budgeted \$141K for institutional support costs. The HSC VP of Research budgeted \$442K of reserves for consulting services, inter-institution pilots, mini-sabbaticals and a new CTSC scholar and the accompanying start-up package. Additionally, at the HSC \$1.3M is budgeted for backfill renovations for spaces vacated by units moving to 1650 University during FY 2015.

The third business category shown on the first page of this report is **Unrestricted Public Service**. The operations in this category include special projects funded by State Appropriations, for example Project ECHO, and non-endowed gifts flowing from the UNM Foundation to departments and many small events funded by user fees. The FY 2015 UNM Operating Budget shows a use of reserves of \$3.3M. These reserves are comprised of \$2.8M use of reserves at the Main Campus and Branch Campuses and a use of reserves in the amount of \$473K at the HSC Campus. The \$2.8M use of reserves at the Main and Branch Campuses is primarily due to Provost Academic Affairs' departments budgeting reserves. The departments budgeting the largest use of reserves are: Provost Administrative Units, College of Fine Arts, College of Arts and Sciences, College of Education, School of Engineering, School of Law, School of Architecture Planning, University Libraries, and VP Research & Economic Development.

Page 2 of this report begins with the **Student Aid** function. The FY 2015 UNM Operating Budget projects a use of reserves of \$5.5M. These reserves are comprised of a \$4.7M use of reserves at the Main and Branch Campuses and a use of reserves of \$876K at the HSC Campus. The budgeted use of reserves at Main Campus is primarily due to Provost Academic Affairs' departments budgeting reserves in endowed spending indices for the payout of major and departmental scholarships in the new fiscal year. Those departments include: Provost Administrative Units, VP Division of Enrollment Management, College of Fine Arts, College of Education, and School of Law.

**Student Activities** are the operations of Student Government and Student organizations. The FY 2015 UNM Operating Budget shows a use of reserves of \$167K.

### **Auxiliaries and Athletics**

The FY 2015 UNM Operating Budget for Auxiliaries and Athletics projected a use of reserves of \$681K. These reserves are primarily due to a combination of Athletics budgeting a \$29K use of reserves, VP-Institutional Support Services Debt Services budgeting a use of reserves of \$499K, and Popejoy budgeting a favorable net margin of \$100K. Branch Campuses budgeted a use of reserves of \$252K. *AVP Ops/Student Life, now called Food Service/Dining is included in the VP-Institutional Support Services in FY15. There is no AVP Ops/Student Life in VP-Student Affairs in FY15.*

**Sponsored programs** operations are our contract and grant research and public service projects funded by outside agencies and companies. These operations will always record a zero net revenue over expense by the nature of their funding. The agency pays for a service or research project, and normally any unspent funds must be returned to the agency.

The next block of numbers on the third page is a summary of our **Clinical Operations**. These operations are essentially all the patient care activities of the HSC Campus, including the UNM Hospitals, SOM physician professional services, Cancer Center operations and Housestaff/Medical Residents who are being trained in the UNM and VA hospitals. The FY 2015 UNM Operating Budget projected a use of reserves \$2.9M. UNM Hospitals budgeted a favorable net margin of \$69K. The School of Medicine budgeted a use of reserves of \$3.0M which is primarily due to the SOM start-up packages for Pediatrics, the Cancer Center and Internal Medicine FY 2015.

**Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format**  
**For the six month ended December 31, 2014**  
**Preliminary and Unaudited**

**University of New Mexico - Consolidated Total Operations Current Funds**

	<b>FY 2015 Full Year Operating Budget</b>	<b>FY 2015 Year-to-Date Actual</b>	<b>Fiscal YTD Favrb/(Unfavrb) Budget</b>	<b>Actual to Budget Benchmark Rate 50%</b>	<b>FY 2014 Year-to-Date Actual</b>	<b>FY 2015 YTD Actual Change From FY 2014 YTD Actual</b>
Instruction and General						
Tuition and Fees Revenues						
Main Campus	152,453,887	77,998,322	(74,455,565)	51%	83,194,983	(5,196,661)
Branch Campuses	8,121,795	3,780,532	(4,341,263)	47%	4,456,904	(676,372)
HSC Campus	14,864,414	7,257,133	(7,607,281)	49%	7,282,731	(25,598)
Total Tuition and Fees Revenues	175,440,096	89,035,987	(86,404,109)	51%	94,934,618	(5,898,631)
State/Local Appropriations	283,183,490	141,591,745	(141,591,745)	50%	135,300,570	6,291,175
F & A Revenues	42,500,000	21,010,077	(21,489,923)	49%	21,166,898	(156,821)
Transfers	(50,080,521)	(28,678,105)	21,402,416	57%	(28,186,579)	(491,526)
Other Revenues	22,941,130	13,876,278	(9,064,852)	60%	12,259,534	1,616,744
Total Instruction and General Revenues	473,984,195	236,835,982	(237,148,213)	50%	235,475,041	1,360,941
Salaries	292,067,719	143,191,535	148,876,184	49%	139,940,541	(3,250,994)
Benefits	97,103,481	45,101,715	52,001,766	46%	42,596,684	(2,505,031)
Other Expenses	94,495,504	44,080,327	50,415,177	47%	40,987,099	(3,093,228)
Total Instruction and General Expenses	483,666,704	232,373,577	251,293,127	48%	223,524,324	(8,849,253)
Net Instruction and General Revenue/(Expense)	(9,682,509)	4,462,405	14,144,914		11,950,717	(7,488,312)
Research						
State/Local Appropriations	11,723,413	5,924,609	(5,798,804)	51%	5,746,456	178,153
Transfers	27,802,419	7,957,600	(19,844,819)	29%	10,508,934	(2,551,334)
Other Revenues	4,033,420	1,949,734	(2,083,686)	48%	1,046,867	902,867
Total Research Revenues	43,559,252	15,831,943	(27,727,309)	36%	17,302,257	(1,470,314)
Salaries and Benefits	27,458,298	11,783,132	15,675,166	43%	12,003,567	220,435
Other Expenses	23,606,309	8,618,427	14,987,882	37%	8,016,781	(601,646)
Total Research Expenses	51,064,607	20,401,559	30,663,048	40%	20,020,348	(381,211)
Net Research Revenue/(Expense)	(7,505,355)	(4,569,616)	2,935,739		(2,718,091)	(1,851,525)
Public Service						
State/Local Appropriations	4,590,850	2,295,427	(2,295,423)	50%	1,833,174	462,253
Sales and Services Revenues	18,092,742	7,680,536	(10,412,206)	42%	7,363,024	317,512
Gifts	8,545,510	4,944,077	(3,601,433)	58%	4,610,111	333,966
Transfers	3,718,371	1,172,072	(2,546,299)	32%	1,414,388	(242,316)
Other Revenues	5,960,699	3,400,401	(2,560,298)	57%	2,523,883	876,518
Total Public Service Revenues	40,908,172	19,492,513	(21,415,659)	48%	17,744,580	1,747,933
Salaries and Benefits	21,350,415	10,794,896	10,555,519	51%	10,301,531	(493,365)
Other Expenses	22,865,495	9,347,173	13,518,322	41%	8,326,516	(1,020,657)
Total Public Service Expenses	44,215,910	20,142,069	24,073,841	46%	18,628,047	(1,514,022)
Net Public Service Revenue/(Expense)	(3,307,738)	(649,556)	2,658,182		(883,467)	233,911

**Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format**  
**For the six month ended December 31, 2014**  
**Preliminary and Unaudited**

**University of New Mexico - Consolidated Total Operations Current Funds**

	<b>FY 2015 Full Year Operating Budget</b>	<b>FY 2015 Year-to-Date Actual</b>	<b>Fiscal YTD Favrb/(Unfavrb) Budget</b>	<b>Actual to Budget Benchmark Rate 50%</b>	<b>FY 2014 Year-to-Date Actual</b>	<b>FY 2015 YTD Actual Change From FY 2014 YTD Actual</b>
Student Aid						
Gifts	4,878,957	3,598,450	(1,280,507)	74%	2,850,797	747,653
State Lottery Scholarship	37,485,948	16,645,840	(20,840,108)	44%	18,742,974	(2,097,134)
Transfers	17,402,492	10,229,777	(7,172,715)	59%	8,746,464	1,483,313
Other Revenues	1,068,509	251,544	(816,965)	24%	18,210	233,334
Total Student Aid Revenues	60,835,906	30,725,611	(30,110,295)	51%	30,358,445	367,166
Salaries and Benefits	3,800,713	2,246,160	1,554,553	59%	2,394,289	148,129
Other Expenses	62,587,065	28,696,279	33,890,786	46%	30,368,406	1,672,127
Total Student Aid Expenses	66,387,778	30,942,439	35,445,339	47%	32,762,695	1,820,256
Net Student Aid Revenue/(Expense)	(5,551,872)	(216,828)	5,335,044		(2,404,250)	2,187,422
Student Social & Cultural Programs						
Fee Revenues	8,237,848	4,116,837	(4,121,011)	50%	3,410,511	706,326
Sales and Services Revenues	1,462,327	743,250	(719,077)	51%	850,560	(107,310)
Transfers	(671,029)	507,722	1,178,751	-76%	136,683	371,039
Other Revenues	168,750	107,226	(61,524)	64%	48,030	59,196
Total Student Social & Cultural Programs Revenues	9,197,896	5,475,035	(3,722,861)	60%	4,445,784	1,029,251
Salaries and Benefits	4,499,398	2,384,139	2,115,259	53%	2,262,322	(121,817)
Other Expenses	4,866,046	2,574,997	2,291,049	53%	1,855,597	(719,400)
Total Student Social & Cultural Programs Expenses	9,365,444	4,959,136	4,406,308	53%	4,117,919	(841,217)
Net Student Social & Cultural Programs Revenue/(Expense)	(167,548)	515,899	683,447		327,865	188,034
Auxiliaries and Athletics						
Branch Campuses Auxiliary Revenues	2,484,467	1,169,444	(1,315,023)	47%	1,189,947	(20,503)
Main Campus Auxiliaries Revenues	54,242,922	30,791,050	(23,451,872)	57%	28,792,160	1,998,890
Athletics Revenues	33,034,361	16,801,241	(16,233,120)	51%	16,701,320	99,921
Total Auxiliaries and Athletics Revenues	89,761,750	48,761,735	(41,000,015)	54%	46,683,427	2,078,308
Branch Campuses Auxiliary Expenses	2,736,566	1,363,489	1,373,077	50%	1,080,929	(282,560)
Main Campus Auxiliaries Expenses	54,642,339	28,353,159	26,289,180	52%	24,910,442	(3,442,717)
Athletics Expenses	33,063,511	18,021,265	15,042,246	55%	17,456,137	(565,128)
Total Auxiliaries and Athletics Expenses	90,442,416	47,737,913	42,704,503	53%	43,447,508	(4,290,405)
Net Auxiliaries and Athletics Revenue/(Expense)	(680,666)	1,023,822	1,704,488		3,235,919	(2,212,097)

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format  
For the six month ended December 31, 2014  
Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 50%	FY 2014 Year-to-Date Actual	FY 2015 YTD Actual Change From FY 2014 YTD Actual
Sponsored Programs						
Federal Grants and Contracts Revenues	245,329,835	117,901,968	(127,427,867)	48%	117,449,715	452,253
State and Local Grants and Contracts Revenues	29,481,218	13,493,163	(15,988,055)	46%	13,060,341	432,822
Non-Governmental Grants and Contracts Revenues	29,108,293	18,665,735	(10,442,558)	64%	16,184,712	2,481,023
Gifts	-	67,183	67,183	N/A	-	67,183
Transfers	4,077,916	1,501,989	(2,575,927)	37%	2,674,396	(1,172,407)
Other Revenues	4,000	-	(4,000)	0%	-	-
Total Sponsored Programs Revenues	308,001,262	151,630,038	(156,371,224)	49%	149,369,164	2,260,874
Salaries and Benefits	152,600,879	66,873,029	85,727,850	44%	66,116,572	(756,457)
Other Expenses	155,400,383	84,757,009	70,643,374	55%	83,252,592	(1,504,417)
Total Sponsored Programs Expenses	308,001,262	151,630,038	156,371,224	49%	149,369,164	(2,260,874)
Net Sponsored Programs Revenue/(Expense)	-	-	-		-	-
Clinical Operations						
State/Local Appropriations	26,204,400	13,102,201	(13,102,199)	50%	12,507,874	594,327
Physician Professional Fee Revenues	121,922,390	60,804,563	(61,117,827)	50%	52,413,754	8,390,809
Hospital Facility Revenues	768,691,833	388,364,953	(380,326,880)	51%	344,540,148	43,824,805
Other Patient Revenues, net of Allowance	119,568,426	59,192,138	(60,376,288)	50%	52,155,361	7,036,777
Mil Levy	92,780,044	46,390,022	(46,390,022)	50%	45,551,907	838,115
Investment Income	13,238,733	6,388,568	(6,850,165)	48%	5,301,291	1,087,277
Gifts	3,770,598	2,269,876	(1,500,722)	60%	2,533,671	(263,795)
Housestaff Revenues	33,884,089	16,268,339	(17,615,750)	48%	15,903,716	364,623
Other Revenues	23,393,245	9,310,170	(14,083,075)	40%	12,926,864	(3,616,694)
Total Clinical Operations Revenues	1,203,453,758	602,090,830	(601,362,928)	50%	543,834,586	58,256,244
Salaries and Benefits	657,263,695	329,868,598	327,395,097	50%	303,389,134	(26,479,464)
Interest Expense	8,077,123	4,038,561	4,038,562	50%	4,148,224	109,663
Housestaff Expenses	33,882,767	17,066,734	16,816,033	50%	16,972,538	(94,196)
Other Expenses	507,129,565	248,931,082	258,198,483	49%	222,345,355	(26,585,727)
Total Clinical Operations Expenses	1,206,353,150	599,904,975	606,448,175	50%	546,855,251	(53,049,724)
Net Clinical Operations Revenue/(Expense)	(2,899,392)	2,185,855	5,085,247		(3,020,665)	5,206,520
Contingencies						
Total Contingency Revenues	(9,668,882)	-	9,668,882	0%	-	-
Total Contingency Expenses	(3,076,371)	-	3,076,371	0%	-	-
Net Contingencies Revenue/(Expense)	(6,592,511)	-	6,592,511		-	-
Net Current Revenue/(Expense)	(36,387,591)	2,751,981	39,139,572		6,488,028	(3,736,047)

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format  
For the six month ended December 31, 2014  
Preliminary and Unaudited

University of New Mexico - Main Campus Athletics & Auxiliary Operations

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 50%	FY 2014 Year-to-Date Actual	FY 2015 YTD Actual Change From FY 2014 YTD Actual
<b>Results of Athletics Operations:</b>						
Athletics Revenues	36,421,474	18,593,807	(17,827,667)	51%	18,428,477	165,330
Athletics Transfers	(3,387,113)	(1,792,566)	1,594,547	53%	(1,727,157)	(65,409)
Total Athletics Revenues	33,034,361	16,801,241	(16,233,120)	51%	16,701,320	99,921
Athletics Expenses						
Salaries and Benefits	14,261,987	7,564,505	6,697,482	53%	7,263,632	(300,873)
Grant-in-Aid	4,095,878	2,153,112	1,942,766	53%	1,970,200	(182,912)
Other Expenses	14,705,646	8,303,648	6,401,998	56%	8,222,304	(81,344)
Total Athletics Expenses	33,063,511	18,021,265	15,042,246	55%	17,456,136	(565,129)
Total Net Athletics Revenue/(Expense)	(29,150)	(1,220,024)	(1,190,874)		(754,816)	(465,208)
<b>Results of Auxiliary Operations:</b>						
<b>VP for Institutional Support Services</b>						
Bookstore Revenues	15,474,125	8,613,647	(6,860,478)	56%	9,180,327	(566,680)
Bookstore Transfers	(453,860)	(175,000)	278,860	39%	(175,000)	-
Total Bookstore Revenues	15,020,265	8,438,647	(6,581,618)	56%	9,005,327	(566,680)
Total Bookstore Expenses	15,020,265	7,965,380	7,054,885	53%	8,511,565	546,185
Net Bookstore Revenue/(Expense)	-	473,267	473,267		493,762	(20,495)
Faculty & Staff Club Revenues	86,200	61,061	(25,139)	71%	60,323	738
Faculty & Staff Club Expenses	86,200	28,833	57,367	33%	33,719	4,886
Net Faculty & Staff Club Revenue/(Expense)	-	32,228	32,228		26,604	5,624
Food Service/Dining Revenues	3,322,800	1,635,539	(1,687,261)	49%	1,885,096	(249,557)
Food Service/Dining Transfers	(569,820)	(225,025)	344,795	39%	(233,592)	8,567
Total Food Service/Dining Revenues	2,752,980	1,410,514	(1,342,466)	51%	1,651,504	(240,990)
Total Food Service/Dining Expenses	2,752,980	928,068	1,824,912	34%	1,304,234	376,166
Net Food Service/Dining Revenue/(Expense)	-	482,446	482,446		347,270	135,176
Golf Courses Revenues	2,465,517	936,973	(1,528,544)	38%	1,019,271	(82,298)
Golf Courses Transfers	(39,252)	(19,626)	19,626	50%	(19,314)	(312)
Total Golf Courses Revenues	2,426,265	917,347	(1,508,918)	38%	999,957	(82,610)
Total Golf Courses Expenses	2,426,265	1,141,580	1,284,685	47%	1,100,564	(41,016)
Net Golf Courses Revenue/(Expense)	-	(224,233)	(224,233)		(100,607)	(123,626)
Housing	10,914,786	5,894,227	(5,020,559)	54%	6,175,989	(281,762)
Housing Transfers	(2,935,134)	(1,651,531)	1,283,603	56%	(1,137,620)	(513,911)
Total Housing Revenues	7,979,652	4,242,696	(3,736,956)	53%	5,038,369	(795,673)
Total Housing Expense	7,979,652	4,078,548	3,901,104	51%	3,832,383	(246,165)
Net Housing Revenue/(Expense)	-	164,148	164,148		1,205,986	(1,041,838)
Other	1,000,733	(766,667)	(1,767,400)	-77%	(10,000)	(756,667)
Other Transfers	(1,500,000)	-	1,500,000	0%	-	-
Total Other Revenues	(499,267)	(766,667)	(267,400)	154%	(10,000)	(756,667)
Total Other Expense	150	-	150	0%	7,901	7,901
Net Other Revenue/(Expense)	(499,417)	(766,667)	(267,250)		(17,901)	(748,766)

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format  
For the six month ended December 31, 2014  
Preliminary and Unaudited

University of New Mexico - Main Campus Athletics & Auxiliary Operations

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 50%	FY 2014 Year-to-Date Actual	FY 2015 YTD Actual Change From FY 2014 YTD Actual
Parking and Transportation Revenues	8,618,293	5,877,988	(2,740,305)	68%	5,877,296	692
Parking and Trans Transfers	(2,363,987)	(1,014,687)	1,349,300	43%	(981,828)	(32,859)
Total Parking and Trans Revenues	6,254,306	4,863,301	(1,391,005)	78%	4,895,468	(32,167)
Total Parking and Trans Expenses	6,254,306	3,046,709	3,207,597	49%	2,848,442	(198,267)
Net Parking and Trans Revenue/(Expense)	-	1,816,592	1,816,592		2,047,026	(230,434)
Popejoy Events Revenues	7,674,664	4,905,996	(2,768,668)	64%	1,275,393	3,630,603
Popejoy Events Transfers	163,233	143,233	(20,000)	88%	149,730	(6,497)
Total Popejoy Events Revenues	7,837,897	5,049,229	(2,788,668)	64%	1,425,123	3,624,106
Total Popejoy Events Expenses	7,737,897	5,013,968	2,723,929	65%	1,287,701	(3,726,267)
Net Popejoy Events Revenue/(Expense)	100,000	35,261	(64,739)		137,422	(102,161)
Taos & Lawrence Ranch Revenues	51,873	74,049	22,176	143%	-	74,049
Taos & Lawrence Ranch Expenses	51,873	18,546	33,327	36%	19,094	548
Net Taos & Lawrence Ranch Revenue/(Expense)	-	55,503	55,503		(19,094)	74,597
Ticketing Services Revenues	956,023	717,023	(239,000)	75%	537,497	179,526
Ticketing Services Transfers	74,595	37,299	(37,296)	50%	75,348	(38,049)
Total Ticketing Services Revenues	1,030,618	754,322	(276,296)	73%	612,845	141,477
Total Ticketing Services Expenses	1,030,618	516,060	514,558	50%	505,547	(10,513)
Net Ticketing Services Revenue/(Expense)	-	238,262	238,262		107,298	130,964
Total VP for Institutional Support Services Revenues	42,940,789	25,044,499	(17,896,290)	58%	23,678,916	1,365,583
Total VP for Institutional Support Services Expenses	43,340,206	22,737,692	20,602,514	52%	19,451,150	(3,286,542)
Net VP for Institutional Support Services Revenue/(Expense)	(399,417)	2,306,807	2,706,224		4,227,766	(1,920,959)
<b>VP for Student Affairs</b>						
Lobo Cash Revenues	51,064	58,640	7,576	115%	29,473	29,167
Lobo Cash Expenses	51,064	101,032	49,968	198%	36,044	(64,988)
Net Lobo Cash Revenue/(Expense)	-	(42,392)	(42,392)		(6,571)	(35,821)
Student Health Center Revenues	7,718,432	3,917,203	(3,801,229)	51%	4,158,395	(241,192)
Student Health Center Expenses	7,718,432	3,843,389	3,875,043	50%	3,830,300	(13,089)
Net Student Health Center Revenue/(Expense)	-	73,814	73,814		328,095	(254,281)
Student Union Revenues	3,266,124	1,632,626	(1,633,498)	50%	773,940	858,686
Student Union Expenses	3,266,124	1,541,627	1,724,497	47%	1,465,866	(75,761)
Net Student Union Revenue/(Expense)	-	90,999	90,999		(691,926)	782,925
Total VP for Student Affairs Revenues	11,035,620	5,608,469	(5,427,151)	51%	4,961,808	646,661
Total VP for Student Affairs Expenses	11,035,620	5,486,048	5,649,508	50%	5,332,210	(153,838)
Net VP for Student Affairs Revenue/(Expense)	-	122,421	122,421		(370,402)	492,823



Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format  
For the six month ended December 31, 2014  
Preliminary and Unaudited

**University of New Mexico - Main Campus Athletics & Auxiliary Operations**

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 50%	FY 2014 Year-to-Date Actual	FY 2015 YTD Actual Change From FY 2014 YTD Actual
<b>Provost and Other Units</b>						
Art Museum Revenues	5,500	2,828	(2,672)	51%	1,803	1,025
Art Museum Expenses	5,500	183	5,317	3%	3,651	3,468
Net Art Museum Revenue/(Expense)	-	2,645	2,645		(1,848)	4,493
CE Conference Ctr Revenues	223,488	102,732	(120,756)	46%	89,845	12,887
CE Conference Ctr Transfers	(57,475)	(30,320)	27,155	53%	4,069	(34,389)
Total CE Conference Ctr Revenues	166,013	72,412	(93,601)	44%	93,914	(21,502)
Total CE Conference Ctr Expenses	166,013	93,695	72,318	56%	87,452	(6,243)
Net CE Conference Ctr Revenue/(Expense)	-	(21,283)	(21,283)		6,462	(27,745)
Maxwell Museum Revenues	40,000	25,135	(14,865)	63%	25,556	(421)
Maxwell Museum Expenses	40,000	8,202	31,798	21%	9,235	1,033
Net Maxwell Museum Revenue/(Expense)	-	16,933	16,933		16,321	612
Other Revenues	55,000	37,707	(17,293)	69%	30,164	7,543
Other Expenses	55,000	27,339	27,661	50%	26,746	(593)
Net Other Revenue/(Expense)	-	10,368	10,368		3,418	6,950
Total Provost and Other Units Revenues	266,513	138,082	(128,431)	52%	151,437	(13,355)
Total Provost and Other Units Expenses	266,513	129,419	137,094	49%	127,084	(2,335)
Net Provost and Other Units Revenue/(Expense)	-	8,663	8,663		24,353	(15,690)
<b>Auxiliary Totals</b>						
Total Auxiliary Revenues	54,242,922	30,791,050	(23,451,872)	57%	28,792,161	1,998,889
Total Auxiliary Expenses	54,642,339	28,353,159	26,289,180	52%	24,910,444	(3,442,715)
Net Auxiliary Revenue/(Expense)	(399,417)	2,437,891	2,837,308		3,881,717	(1,443,826)
Net Athletics Revenue/(Expense)	(29,150)	(1,220,024)	(1,190,874)		(754,816)	(465,208)
Net Auxiliary and Athletics Revenue/(Expense)	(428,567)	1,217,867	1,646,434		3,126,901	(1,909,034)
Net Branch Campuses Aux Revenue/(Expense)	(252,099)	(194,045)	58,054		109,018	(303,063)
Net All Auxiliary and Athletics Revenue/(Expense)	(680,666)	1,023,822	1,704,488		3,235,919	(2,212,097)

## UNM Debt Service Schedule

As of December 31, 2014

\*Includes Hospital Debt

UNM Bond Issue	Fixed or Variable Rate Issue	Original Issue Amount	Outstanding Principal Balance on June 30, 2014	Principal Payment due on June 1, 2015	Interest Payment paid on December 1, 2014	Interest Payment due on June 1, 2015	FY 2015 Principal & Interest
Sub Lien System Imp Revenue Bonds (4) <b>Series 2014 A: Interest Range 3.0% to 5.0%</b> Final Maturity Year 2033	Fixed Rate	\$10,980,000	\$0 Refunding 11/12/14	\$1,265,000	\$0	\$246,649	\$1,511,649
Sub Lien System Rfdg Revenue Bonds (6) <b>Series 2014 B: Interest Range 0.496% to 3.280%</b> Final Maturity Year 2024	Fixed Rate	\$3,710,000	\$0 Refunding 11/12/14	\$345,000	\$0	\$44,302	\$389,302
Sub Lien System Rfdg Revenue Bonds (6) <b>Series 2014 C: Interest Range 1.5% to 5.0%</b> Final Maturity Year 2035	Fixed Rate	\$100,085,000	\$0 Refunding 12/02/14	\$2,470,000	\$0	\$2,445,239	\$4,915,239
Sub Lien System Imp Revenue Bonds (3) <b>Series 2012: Interest Range 2.0% to 5.0%</b> Final Maturity Year 2032	Fixed Rate	\$35,215,000	\$31,890,000	\$1,345,000	\$749,700	\$749,700	\$2,844,400
Sub Lien System Imp Revenue Bonds <b>Series 2007 A&amp;B: Interest Range 4.096% to 5.302%</b> Final Maturity Year 2036	Fixed Rate	\$136,710,000	\$129,780,000	\$1,915,000	\$3,242,812	\$3,242,812	\$8,400,624
(1) FHA Insured Hospital Mortgage Revenue Bonds <b>Series 2004: Interest Range 2.0% to 5.0%</b> Final Maturity Year 2031	Fixed Rate	\$192,250,000	\$159,420,000	\$5,495,000 (\$2,715,000 paid 7/1/2014) (\$2,780,000 due 1/2/2015)	\$3,843,575 (paid 1/2/2015)	\$3,908,150 (paid 7/1/2014)	\$13,246,725
Sub Lien Sys Rfdg Revenue Bonds (2) <b>Series 2002 B: Variable Rate Demand Bonds - rates reset weekly</b> Weekly rate as of June 30, 2014 was 0.05% Final Maturity Year 2026	Variable Rate	\$25,475,000	\$20,600,000	\$1,540,000	\$387,400	\$394,490	\$2,321,890
Sub Lien System Rfdg Revenue Bonds (2) <b>Series 2002 C: Variable Rate Demand Bonds - rates reset weekly</b> Weekly rate as of June 30, 2014 was 0.05% Final Maturity Year 2030	Variable Rate	\$37,840,000	\$34,315,000	\$910,000	\$664,090	\$676,006	\$2,250,096
Sub Lien System Imp Revenue Bonds (2) <b>Series 2001: Variable Rate Demand Bonds - rates reset weekly</b> Weekly rate as of June 30, 2014 was 0.07% Ceiling of 12% Final Maturity Year 2026	Variable Rate	\$52,625,000	\$33,190,000	\$2,170,000	\$350,657	\$663,800	\$3,184,457
System Revenue Bonds <b>Series 2000 B: Interest Range 5.50% to 6.35%</b> Final Maturity Year 2019	Fixed Rate	\$6,621,671	\$1,775,168	\$442,934	\$0	\$672,066	\$1,115,000
System Revenue Rfdg Bonds <b>Series 1992 A: Interest Range 6.0% to 6.25%</b> Final Maturity Year 2021	Fixed Rate	\$36,790,000	\$22,535,000	\$1,950,000	\$468,600	\$468,600	\$2,887,200
<b>Grand Total</b>		<b>\$638,301,671</b>	<b>\$433,505,168</b>	<b>\$19,847,934</b>	<b>\$9,706,834</b>	<b>\$13,511,814</b>	<b>\$43,066,581</b>

Note: See attached matrix for funding sources.

(1) Source: UNM Hospital - Both UNM Hospital Principal and Interest payments are made on July 1st and January 1st.

(2) Variable Rate bonds reflect the actual synthetically fixed interest rate that UNM pays.

It is noted that all ranges of interest rates and final maturity dates are reflective of Serial bonds.

(3) Series 2012 bonds refunded 2002A bonds,

(4) Series 2014A bonds refunded 2003A, 2003B bonds,

(5) Series 2014B bonds refunded 2003C bonds,

(6) Series 2014C bonds refunded 2005A bonds,

# FY15 UNM Debt Service - Source of Funds

As of December 31, 2014

	Series 2014A	Series 2014B	Series 2014C	Series 2012	Series 2007A&B	Series 2004 (UNMH Bond)	Series 2002C	Series 2002B	Series 2001	Series 1992
Student Fees- Facility	X		X	X		X	X		X	
Student Fees - IT			X	X						
Parking Services			X	X	X					X
UNM Hospital	X					X		X		X
Bookstore	X									X
Housing & Dining Services	X			X			X			
Building R&R	X							X		X
Real Estate Department	X	X			X			X		
Physical Plant Department			X	X	X			X	X	
Information Technologies			X	X						
Athletics					X					
KNME										X
Opto Bldg (CHTM Res Park)								X		
CRTC								X		
Continuing Education								X		
Golf Course - North & South							X			
HSC				X	X					
Interest on Reserve Funds	X						X	X		

Statements of Revenues, Expenses and Changes in Net Assets - UNM Regents Format  
For the six month period ended December 31, 2014  
Preliminary and Unaudited

**Detail of State/Local Appropriations**  
**Consolidated - Total Operations Current Funds**

	<b>FY 2015 Full Year Operating Budget</b>	<b>FY 2015 Year-to-Date Actual</b>	<b>Fiscal YTD Favrb/(Unfavrb) Budget</b>	<b>Actual to Budget Benchmark Rate 50%</b>
<b>Instruction and General</b>				
Instruction & General Appropriations	273,828,500	136,914,250	(136,914,250)	50%
State Special Project Appropriations	1,161,200	580,600	(580,600)	50%
Tobacco Settlement Appropriations	1,130,600	565,300	(565,300)	50%
Mill Levy	7,063,190	3,531,595	(3,531,595)	50%
Total Instruction and General Appropriations	<u>283,183,490</u>	<u>141,591,745</u>	<u>(141,591,745)</u>	<u>50%</u>
<b>Research</b>				
State Special Project Appropriations	6,795,050	3,397,524	(3,397,526)	50%
Tobacco Settlement Appropriations	979,800	489,900	(489,900)	50%
Cigarette Tax Appropriations	3,948,563	2,037,185	(1,911,378)	52%
Total Research Appropriations	<u>11,723,413</u>	<u>5,924,609</u>	<u>(5,798,804)</u>	<u>51%</u>
<b>Public Service</b>				
State Special Project Appropriations	4,590,850	2,295,427	(2,295,423)	50%
Total Public Service Appropriations	<u>4,590,850</u>	<u>2,295,427</u>	<u>(2,295,423)</u>	<u>50%</u>
<b>Clinical Operations</b>				
State Special Project Appropriations	25,352,700	12,676,351	(12,676,349)	50%
Tobacco Settlement Appropriations	851,700	425,850	(425,850)	50%
Total Clinical Operations Appropriations	<u>26,204,400</u>	<u>13,102,201</u>	<u>(13,102,199)</u>	<u>50%</u>

**Statements of Revenues, Expenses and Changes in Net Assets - UNM Regents Format**  
**For the six month period ended December 31, 2014**  
**Preliminary and Unaudited**

**Detail of State/Local Appropriations**  
**Main Campus - Total Operations Current Funds**

	<b>FY 2015 Full Year Revised Budget</b>	<b>FY 2015 Year-to-Date Actual</b>	<b>Fiscal YTD Favrbtl/(Unfavrbtl) Budget</b>	<b>Actual to Budget Benchmark Rate 50%</b>
<b>Instruction and General</b>				
Instruction & General Appropriations	189,217,900	94,608,950	(94,608,950)	50%
State Special Project Appropriations				
African American Student Services	72,700	36,350	(36,350)	50%
Disabled Student Services	191,900	95,950	(95,950)	50%
ENLACE	64,100	32,050	(32,050)	50%
Hispanic Student Center	158,100	79,050	(79,050)	50%
Minority Graduate Recruitment	118,600	59,300	(59,300)	50%
Native American Studies Intervention	356,400	178,200	(178,200)	50%
Pre-College Minority Student Math & Science	199,400	99,700	(99,700)	50%
Total State Special Project Appropriations	1,161,200	580,600	(580,600)	50%
Total Instruction and General Appropriations	190,379,100	95,189,550	(95,189,550)	50%
<b>Research</b>				
State Special Project Appropriations				
Center for Regional Studies (SW Research Ctr)	988,250	494,125	(494,125)	50%
Drought Study Consortium	99,700	49,850	(49,850)	50%
Manufacturing Engineering	561,900	280,950	(280,950)	50%
Morrisey Hall	47,600	23,800	(23,800)	50%
Resource Geographic Information System	66,300	33,150	(33,150)	50%
Utton Transboundary Resource Center	346,300	173,150	(173,150)	50%
Total State Special Project Appropriations	2,110,050	1,055,025	(1,055,025)	50%
Total Research Appropriations	2,110,050	1,055,025	(1,055,025)	50%
<b>Public Service</b>				
State Special Project Appropriations				
Bureau of Business Research (Census)	384,700	192,350	(192,350)	50%
College Prep Mentoring/School of Law	120,800	60,400	(60,400)	50%
College Preparatory Mentoring	171,500	85,750	(85,750)	50%
Corrine Wolfe Law Center/Child Abuse Training	171,900	85,950	(85,950)	50%
Family Development Program	518,600	259,300	(259,300)	50%
ISTEC	48,800	24,400	(24,400)	50%
Judicial Selection	23,000	11,500	(11,500)	50%
KNME-TV	1,177,300	588,650	(588,650)	50%
Land Grant Studies Program	131,800	65,900	(65,900)	50%
N. M. Historical Review	48,000	24,000	(24,000)	50%
Small Business Innovation & Research Outreach	224,400	112,200	(112,200)	50%
Southwest Indian Law Clinic	207,600	103,800	(103,800)	50%
Spanish Colonial Research Center (SW Research Ctr)	148,750	74,375	(74,375)	50%
Spanish Resource Center	41,800	20,900	(20,900)	50%
Substance Abuse Program	138,200	69,100	(69,100)	50%
Wildlife Law Education	96,400	48,200	(48,200)	50%
Total State Special Project Appropriations	3,653,550	1,826,775	(1,826,775)	50%
Total Public Service Appropriations	3,653,550	1,826,775	(1,826,775)	50%

Statements of Revenues, Expenses and Changes in Net Assets - UNM Regents Format  
For the six month period ended December 31, 2014  
Preliminary and Unaudited

**Detail of State/Local Appropriations**  
**Branch Campuses - Total Operations Current Funds**

	FY 2015 Full Year Revised Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 50%
Instruction and General				
Instruction & General Appropriations				
Gallup	9,481,500	4,740,750	(4,740,750)	50%
Los Alamos	1,905,100	952,550	(952,550)	50%
Valencia	5,715,600	2,857,800	(2,857,800)	50%
Taos	3,732,200	1,866,100	(1,866,100)	50%
Total Instruction & General Appropriations	20,834,400	10,417,200	(10,417,200)	50%
Mill Levy				
McKinley County	2,100,000	1,050,000	(1,050,000)	50%
Los Alamos County	702,500	351,250	(351,250)	50%
Valencia County	2,580,490	1,290,245	(1,290,245)	50%
Taos County	1,680,200	840,100	(840,100)	50%
Total Mill Levy	7,063,190	3,531,595	(3,531,595)	50%
Total Branch Appropriations	27,897,590	13,948,795	(13,948,795)	50%

**Statements of Revenues, Expenses and Changes in Net Assets - UNM Regents Format**  
**For the six month period ended December 31, 2014**  
**Preliminary and Unaudited**

**Detail of State/Local Appropriations**  
**Health Sciences Center - Total Operations Current Funds**

	<b>FY 2015 Full Year Revised Budget</b>	<b>FY 2015 Year-to-Date Actual</b>	<b>Fiscal YTD Favrb/(Unfavrb) Budget</b>	<b>Actual to Budget Benchmark Rate 50%</b>
<b>Instruction and General</b>				
Instruction & General Appropriations	63,776,200	31,888,100	(31,888,100)	50%
Tobacco Settlement Appropriations				
Instruction & General	610,524	305,262	(305,262)	50%
Pediatric Specialty Education	260,038	130,019	(130,019)	50%
Trauma Specialty Education	260,038	130,019	(130,019)	50%
Total Tobacco Settlement Appropriations	1,130,600	565,300	(565,300)	50%
Total Instruction and General Appropriations	64,906,800	32,453,400	(32,453,400)	50%
<b>Research</b>				
State Special Project Appropriations				
Cancer Center	2,691,200	1,345,600	(1,345,600)	50%
Hepatitis C, Project ECHO	1,993,800	996,899	(996,901)	50%
Total State Special Project Appropriations	4,685,000	2,342,499	(2,342,501)	50%
Tobacco Settlement Appropriations				
Genomics, Biocomputing, Environmental Health	979,800	489,900	(489,900)	50%
Total Tobacco Settlement Appropriations	979,800	489,900	(489,900)	50%
Cigarette Tax Appropriations	3,948,563	2,037,185	(1,911,378)	52%
Total Research Appropriations	9,613,363	4,869,584	(4,743,779)	51%
<b>Public Service</b>				
State Special Project Appropriations				
Center for Native American Health	274,700	137,350	(137,350)	50%
Out of County Indigent	662,600	331,302	(331,298)	50%
Total State Special Project Appropriations	937,300	468,652	(468,648)	50%
Total Public Service Appropriations	937,300	468,652	(468,648)	50%
<b>Clinical Operations</b>				
State Special Project Appropriations				
Newborn Intensive Care Unit	3,350,200	1,675,100	(1,675,100)	50%
Office of the Medical Investigator	5,025,300	2,512,650	(2,512,650)	50%
Pediatric Oncology	1,303,500	651,750	(651,750)	50%
Poison and Drug Info Center	1,554,700	777,350	(777,350)	50%
Native American Suicide Prevention	99,700	49,850	(49,850)	50%
GME Residencies	902,400	451,200	(451,200)	50%
UNM Hospitals	13,116,900	6,558,451	(6,558,449)	50%
Total State Special Project Appropriations	25,352,700	12,676,351	(12,676,349)	50%
Tobacco Settlement Appropriations				
Pediatric Oncology	261,400	130,700	(130,700)	50%
Poison and Drug Info Center	590,300	295,150	(295,150)	50%
Total Tobacco Settlement Appropriations	851,700	425,850	(425,850)	50%
Total Clinical Operations Appropriations	26,204,400	13,102,201	(13,102,199)	50%

**Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format**  
**For the six month ended December 31, 2014**  
**Preliminary and Unaudited**

**Main Campus - Total Operations Current Funds**

	<b>FY 2015 Full Year Operating Budget</b>	<b>FY 2015 Year-to-Date Actual</b>	<b>Fiscal YTD Favrb/(Unfavrb) Budget</b>	<b>Actual to Budget Benchmark Rate 50%</b>	<b>FY 2014 Year-to-Date Actual</b>	<b>FY 2015 YTD Actual Change From FY 2014 YTD Actual</b>
Instruction and General						
Tuition and Fees Revenues	152,453,887	77,998,322	(74,455,565)	51%	83,194,983	(5,196,661)
State/Local Appropriations	190,379,100	95,189,550	(95,189,550)	50%	90,765,228	4,424,322
F & A Revenues	21,000,000	9,835,380	(11,164,620)	47%	10,502,692	(667,312)
Transfers	(55,010,849)	(29,727,209)	25,283,640	54%	(29,430,957)	(296,252)
Other Revenues	12,537,004	7,041,089	(5,495,915)	56%	7,837,353	(796,264)
Total Instruction and General Revenues	321,359,142	160,337,132	(161,022,010)	50%	162,869,299	(2,532,167)
Salaries	196,876,451	98,489,173	(98,387,278)	50%	96,506,943	(1,982,230)
Benefits	66,149,505	31,613,542	(34,535,963)	48%	29,916,778	(1,696,764)
Other Expenses	67,932,187	30,273,420	(37,658,767)	45%	31,083,520	810,100
Total Instruction and General Expenses	330,958,143	160,376,135	(170,582,008)	48%	157,507,241	(2,868,894)
Net Instruction and General Revenue/(Expense)	(9,599,001)	(39,003)	9,559,998		5,362,058	(5,401,061)
Research						
State/Local Appropriations	2,110,050	1,055,025	(1,055,025)	50%	970,025	85,000
Transfers	18,266,291	5,586,167	(12,680,124)	31%	6,373,170	(787,003)
Other Revenues	2,726,907	600,724	(2,126,183)	22%	506,091	94,633
Total Research Revenues	23,103,248	7,241,916	(15,861,332)	31%	7,849,286	(607,370)
Salaries and Benefits	13,998,518	5,146,587	(8,851,931)	37%	5,726,600	580,013
Other Expenses	12,974,771	4,584,693	(8,390,078)	35%	4,351,972	(232,721)
Total Research Expenses	26,973,289	9,731,280	(17,242,009)	36%	10,078,572	347,292
Net Research Revenue/(Expense)	(3,870,041)	(2,489,364)	1,380,677		(2,229,286)	(260,078)
Public Service						
State/Local Appropriations	3,653,550	1,826,775	(1,826,775)	50%	1,698,125	(128,650)
Sales and Services Revenues	8,482,495	4,044,158	(4,438,337)	48%	3,986,146	(58,012)
Gifts	6,486,067	3,963,226	(2,522,841)	61%	3,645,256	(317,970)
Transfers	309,403	(861,227)	(1,170,630)	-278%	627,269	1,488,496
Other Revenues	4,235,065	2,832,776	(1,402,289)	67%	2,022,558	(810,218)
Total Public Service Revenues	23,166,580	11,805,708	(11,360,872)	51%	11,979,354	173,646
Salaries and Benefits	12,905,947	6,556,363	(6,349,584)	51%	6,604,237	47,874
Other Expenses	13,016,978	4,816,644	(8,200,334)	37%	5,709,604	892,960
Total Public Service Expenses	25,922,925	11,373,007	(14,549,918)	44%	12,313,841	940,834
Net Public Service Revenue/(Expense)	(2,756,345)	432,701	3,189,046		(334,487)	767,188



**Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format**  
**For the six month ended December 31, 2014**  
**Preliminary and Unaudited**

**Main Campus - Total Operations Current Funds**

	<b>FY 2015 Full Year Operating Budget</b>	<b>FY 2015 Year-to-Date Actual</b>	<b>Fiscal YTD Favrb/(Unfavrb) Budget</b>	<b>Actual to Budget Benchmark Rate 50%</b>	<b>FY 2014 Year-to-Date Actual</b>	<b>FY 2015 YTD Actual Change From FY 2014 YTD Actual</b>
Student Aid						
Private Grants/Gifts	3,191,794	2,735,959	(455,835)	86%	2,115,465	(620,494)
State Lottery Scholarships	37,485,948	16,645,840	(20,840,108)	44%	18,742,974	2,097,134
Transfers	14,899,612	9,046,750	(5,852,862)	61%	7,539,665	(1,507,085)
Other Revenues	1,048,509	232,198	(816,311)	22%	7,343	(224,855)
Total Student Aid Revenues	56,625,863	28,660,747	(27,965,116)	51%	28,405,447	(255,300)
Salaries and Benefits	1,711,660	1,266,454	(445,206)	74%	1,320,250	53,796
Other Expenses	59,479,414	28,014,128	(31,465,286)	47%	29,406,003	1,391,875
Total Student Aid Expenses	61,191,074	29,280,582	(31,910,492)	48%	30,726,253	1,445,671
Net Student Aid Revenue/(Expense)	(4,565,211)	(619,835)	3,945,376		(2,320,806)	1,700,971
Student Social & Cultural Programs						
Fee Revenues	7,992,626	3,927,944	(4,064,682)	49%	3,275,766	652,178
Sales and Services Revenues	1,438,429	712,903	(725,526)	50%	818,367	(105,464)
Transfers	(656,957)	521,644	1,178,601	-79%	163,357	358,287
Other Revenues	167,484	105,725	(61,759)	63%	45,530	8,594
Total Student Social & Cultural Programs Revenues	8,941,582	5,268,216	(3,673,366)	59%	4,303,020	913,595
Salaries and Benefits	4,490,338	2,370,439	(2,119,899)	53%	2,251,998	(118,441)
Other Expenses	4,603,954	2,477,416	(2,126,538)	54%	1,758,343	600,741
Total Student Social & Cultural Programs Expenses	9,094,292	4,847,855	(4,246,437)	53%	4,010,341	482,300
Net Student Social & Cultural Programs Revenue/(Expense)	(152,710)	420,361	573,071		292,679	127,682
Auxiliaries						
Auxiliaries Revenues	54,242,922	30,791,050	(23,451,872)	57%	28,792,160	1,998,890
Athletics Revenues	33,034,361	16,801,241	(16,233,120)	51%	16,701,320	99,921
Total Auxiliaries Revenues	87,277,283	47,592,291	(39,684,992)	55%	45,493,480	2,098,811
Auxiliaries Expenses	54,642,339	28,353,159	(26,289,180)	52%	24,910,442	(3,442,717)
Athletics Expenses	33,063,511	18,021,265	(15,042,246)	55%	17,456,137	(565,128)
Total Auxiliaries Expenses	87,705,850	46,374,424	(41,331,426)	53%	42,366,579	(4,007,845)
Net Auxiliaries and Athletics Revenue/(Expense)	(428,567)	1,217,867	1,646,434		3,126,901	(1,909,034)

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format  
For the six month ended December 31, 2014  
Preliminary and Unaudited

**Main Campus - Total Operations Current Funds**

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 50%	FY 2014 Year-to-Date Actual	FY 2015 YTD Actual Change From FY 2014 YTD Actual
Sponsored Programs						
Federal Grants and Contracts Revenues	141,777,226	70,641,853	(71,135,373)	50%	70,826,652	(184,799)
State and Local Grants and Contracts Revenues	15,880,500	6,425,938	(9,454,562)	40%	5,958,952	466,986
Non-Governmental Grants and Contracts Revenues	11,797,000	7,050,908	(4,746,092)	60%	7,693,828	(642,920)
Gifts	-	67,183	67,183	N/A	-	67,183
Transfers	2,888,000	(284,778)	(3,172,778)	-10%	1,120,020	(1,404,798)
Other Revenues	-	-	-	N/A	-	-
Total Sponsored Programs Revenues	172,342,726	83,901,104	(88,441,622)	49%	85,599,452	(1,698,348)
Salaries and Benefits	63,156,726	27,968,481	(35,188,245)	44%	28,334,701	366,220
Other Expenses	109,186,000	55,932,623	(53,253,377)	51%	57,264,751	1,332,128
Total Sponsored Programs Expenses	172,342,726	83,901,104	(88,441,622)	49%	85,599,452	1,698,348
Net Sponsored Programs Revenue/(Expense)	-	-	-		-	-
Contingencies						
Total Contingency Revenues	(10,485,021)	-	10,485,021	0%	-	-
Total Contingency Expenses	(2,692,510)	-	2,692,510	0%	-	-
Net Contingencies Revenue/(Expense)	(7,792,511)	-	7,792,511		-	-
<b>Net Current Revenue/(Expense)</b>	<b>(29,164,386)</b>	<b>(1,077,273)</b>	<b>28,087,113</b>		<b>3,897,059</b>	<b>(4,974,332)</b>

**Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format**  
**For the six month ended December 31, 2014**  
**Preliminary and Unaudited**

**Branch Campuses - Total Operations Current Funds**

	<b>FY 2015 Full Year Operating Budget</b>	<b>FY 2015 Year-to-Date Actual</b>	<b>Fiscal YTD Favrb/(Unfavrb) Budget</b>	<b>Actual to Budget Benchmark Rate 50%</b>	<b>FY 2014 Year-to-Date Actual</b>	<b>FY 2015 YTD Actual Change From FY 2014 YTD Actual</b>
Instruction and General						
Tuition and Fees Revenues	8,121,795	3,780,532	(4,341,263)	47%	4,456,904	(676,372)
State/Local Appropriations	27,897,590	13,948,795	(13,948,795)	50%	13,329,493	619,302
Transfers	(1,110,845)	(1,081,260)	29,585	97%	(1,445,200)	363,940
Other Revenues	494,882	413,173	(81,709)	83%	392,550	20,623
Total Instruction and General Revenues	35,403,422	17,061,240	(18,342,182)	48%	16,733,747	327,493
Salaries	21,759,686	10,076,465	(11,683,221)	46%	10,144,992	68,527
Benefits	6,436,146	3,127,247	(3,308,899)	49%	2,978,688	(148,559)
Other Expenses	8,973,831	3,642,470	(5,331,361)	41%	3,304,805	(337,665)
Total Instruction and General Expenses	37,169,663	16,846,182	(20,323,481)	45%	16,428,485	(417,697)
Net Instruction and General Revenue/(Expense)	(1,766,241)	215,058	1,981,299		305,262	(90,204)
Public Service						
State/Local Appropriations	-	-	-	N/A	-	
Sales and Services Revenues	363,830	290,060	(73,770)	80%	281,615	8,445
Gifts	103,400	141,022	37,622	136%	121,368	19,654
Transfers	(32,000)	(12,644)	19,356	40%	11,793	(24,437)
Other Revenues	10,000	3,091	(6,909)	31%	14,716	(11,625)
Total Public Service Revenues	445,230	421,529	(23,701)	95%	429,492	(7,963)
Salaries and Benefits	334,552	199,585	(134,967)	60%	211,461	11,876
Other Expenses	189,233	82,625	(106,608)	44%	143,410	60,785
Total Public Service Expenses	523,785	282,210	(241,575)	54%	354,871	72,661
Net Public Service Revenue/(Expense)	(78,555)	139,319	217,874		74,621	64,698
Student Aid						
Private Grants/Gifts	116,550	66,186	(50,364)	57%	71,135	(4,949)
Transfers	413,154	193,204	(219,950)	47%	161,635	31,569
Other Revenues	20,000	16,212	(3,788)	81%	10,059	6,153
Total Student Aid Revenues	549,704	275,602	(274,102)	50%	242,829	32,773
Salaries and Benefits	-	7,557	7,557	N/A	3,782	(3,775)
Other Expenses	659,954	244,272	(415,682)	37%	227,481	(16,791)
Total Student Aid Expenses	659,954	251,829	(408,125)	38%	231,263	(20,566)
Net Student Aid Revenue/(Expense)	(110,250)	23,773	134,023		11,566	12,207

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format  
For the six month ended December 31, 2014  
Preliminary and Unaudited

**Branch Campuses - Total Operations Current Funds**

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 50%	FY 2014 Year-to-Date Actual	FY 2015 YTD Actual Change From FY 2014 YTD Actual
Student Social & Cultural Programs						
Fee Revenues	245,222	188,893	(56,329)	77%	134,745	54,148
Sales and Services Revenues	850	8,355	7,505	983%	7,037	1,318
Transfers	(40,000)	(39,850)	150	100%	(40,000)	150
Other Revenues	-	150	150	N/A	-	150
Total Student Social & Cultural Programs Revenues	206,072	157,548	(48,524)	76%	101,782	55,766
Salaries and Benefits	9,060	13,700	4,640	151%	10,324	(3,376)
Other Expenses	198,058	72,365	(125,693)	37%	83,024	10,659
Total Student Social & Cultural Programs Expenses	207,118	86,065	(121,053)	42%	93,348	7,283
Net Student Social & Cultural Programs Revenue/(Expense)	(1,046)	71,483	72,529		8,434	63,049
Auxiliaries						
Bookstore Revenues	2,272,555	1,060,599	(1,211,956)	47%	1,063,192	(2,593)
Housing and Food Service Revenues	191,082	94,995	(96,087)	50%	144,963	(49,968)
Transfers	-	-	-	N/A	(30,000)	30,000
Other Auxiliaries Revenues	20,830	13,850	(6,980)	66%	11,792	2,058
Total Auxiliaries Revenues	2,484,467	1,169,444	(1,315,023)	47%	1,189,947	(20,503)
Bookstore Expenses	2,263,142	1,281,294	(981,848)	57%	975,378	(305,916)
Housing and Food Service Expenses	452,458	74,166	(378,292)	16%	97,701	23,535
Other Auxiliaries Expenses	20,966	8,029	(12,937)	38%	7,850	(179)
Total Auxiliaries Expenses	2,736,566	1,363,489	(1,373,077)	50%	1,080,929	(282,560)
Net Auxiliaries Revenue/(Expense)	(252,099)	(194,045)	58,054		109,018	(303,063)
Sponsored Programs						
Federal Grants and Contracts Revenues	5,735,125	2,767,516	(2,967,609)	48%	3,010,136	(242,620)
State and Local Grants and Contracts Revenues	2,337,411	491,637	(1,845,774)	21%	1,009,641	(518,004)
Non-Governmental Grants and Contracts Revenues	-	346,998	346,998	N/A	-	346,998
Gifts	-	-	-	N/A	-	-
Transfers	-	261,675	261,675	N/A	-	261,675
Other Revenues	4,000	-	(4,000)	0%	-	-
Total Sponsored Programs Revenues	8,076,536	3,867,826	(4,208,710)	48%	4,019,777	(151,951)
Salaries and Benefits	5,398,756	2,760,051	(2,638,705)	51%	2,831,889	71,838
Other Expenses	2,677,780	1,107,775	(1,570,005)	41%	1,187,888	80,113
Total Sponsored Programs Expenses	8,076,536	3,867,826	(4,208,710)	48%	4,019,777	151,951
Net Sponsored Programs Revenue/(Expense)	-	-	-		-	-
<b>Net Current Revenue/(Expense)</b>	<b>(2,208,191)</b>	<b>255,588</b>	<b>2,463,779</b>		<b>508,901</b>	<b>(253,313)</b>

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format  
For the six month ended December 31, 2014  
Preliminary and Unaudited

**Health Sciences Center - Total Operations Current Funds**

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 50%	FY 2014 Year-to-Date Actual	FY 2015 YTD Actual Change From FY 2014 YTD Actual
Instruction and General						
Tuition and Fees Revenues	14,864,414	7,257,133	(7,607,281)	49%	7,282,731	(25,598)
State/Local Appropriations	64,906,800	32,453,400	(32,453,400)	50%	31,205,849	1,247,551
F & A Revenues	21,500,000	11,174,697	(10,325,303)	52%	10,664,206	510,491
Transfers	6,041,173	2,130,364	(3,910,809)	35%	2,689,578	(559,214)
Other Revenues	9,909,244	6,422,016	(3,487,228)	65%	4,029,631	2,392,385
Total Instruction and General Revenues	117,221,631	59,437,610	(57,784,021)	51%	55,871,995	3,565,615
Salaries	73,431,582	34,625,897	(38,805,685)	47%	33,288,606	(1,337,291)
Benefits	24,517,830	10,360,926	(14,156,904)	42%	9,701,218	(659,708)
Other Expenses	17,589,486	10,164,437	(7,425,049)	58%	6,598,774	(3,565,663)
Total Instruction and General Expenses	115,538,898	55,151,260	(60,387,638)	48%	49,588,598	(5,562,662)
Net Instruction and General Revenue/(Expense)	1,682,733	4,286,350	2,603,617		6,283,397	(1,997,047)
Research						
State/Local Appropriations	9,613,363	4,869,584	(4,743,779)	51%	4,776,431	93,153
Generated Revenues	576,835	200,006	(376,829)	35%	124,744	75,262
Transfers	9,536,128	2,371,433	(7,164,695)	25%	4,135,764	(1,764,331)
Other Revenues	729,678	1,149,004	419,326	157%	416,032	732,972
Total Research Revenues	20,456,004	8,590,027	(11,865,977)	42%	9,452,971	(862,944)
Salaries and Benefits	13,459,780	6,636,545	(6,823,235)	49%	6,276,967	(359,578)
Other Expenses	10,631,538	4,033,734	(6,597,804)	38%	3,664,809	(368,925)
Total Research Expenses	24,091,318	10,670,279	(13,421,039)	44%	9,941,776	(728,503)
Net Research Revenue/(Expense)	(3,635,314)	(2,080,252)	1,555,062		(488,805)	(1,591,447)
Public Service						
State/Local Appropriations	937,300	468,652	(468,648)	50%	135,049	333,603
Sales and Services Revenues	9,246,417	3,346,318	(5,900,099)	36%	3,095,263	251,055
Gifts	1,956,043	839,829	(1,116,214)	43%	843,487	(3,658)
Transfers	3,440,968	2,045,943	(1,395,025)	59%	775,326	1,270,617
Other Revenues	1,715,634	564,534	(1,151,100)	33%	486,609	77,925
Total Public Service Revenues	17,296,362	7,265,276	(10,031,086)	42%	5,335,734	1,929,542
Salaries and Benefits	8,109,916	4,038,948	(4,070,968)	50%	3,485,833	(553,115)
Other Expenses	9,659,284	4,447,904	(5,211,380)	46%	2,473,502	(1,974,402)
Total Public Service Expenses	17,769,200	8,486,852	(9,282,348)	48%	5,959,335	(2,527,517)
Net Public Service Revenue/(Expense)	(472,838)	(1,221,576)	(748,738)		(623,601)	(597,975)

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format  
For the six month ended December 31, 2014  
Preliminary and Unaudited

**Health Sciences Center - Total Operations Current Funds**

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 50%	FY 2014 Year-to-Date Actual	FY 2015 YTD Actual Change From FY 2014 YTD Actual
Student Aid						
Gifts	1,570,613	796,305	(774,308)	51%	664,197	132,108
Investment Income	-	-	-	N/A	-	-
Transfers	2,089,726	989,823	(1,099,903)	47%	1,045,164	(55,341)
Other Revenues	-	3,134	3,134	N/A	808	2,326
Total Student Aid Revenues	3,660,339	1,789,262	(1,871,077)	49%	1,710,169	79,093
Salaries and Benefits	2,089,053	972,149	(1,116,904)	47%	1,070,257	98,108
Other Expenses	2,447,697	437,879	(2,009,818)	18%	734,922	297,043
Total Student Aid Expenses	4,536,750	1,410,028	(3,126,722)	31%	1,805,179	395,151
Net Student Aid Revenue/(Expense)	(876,411)	379,234	1,255,645		(95,010)	474,244
Student Social & Cultural Programs						
Fee Revenues	-	-	-	N/A	-	-
Sales and Services Revenues	23,048	21,992	(1,056)	95%	25,156	(3,164)
Transfers	25,928	25,928	-	100%	13,326	12,602
Other Revenues	1,266	1,351	85	107%	2,500	(1,149)
Total Student Social & Cultural Programs Revenues	50,242	49,271	(971)	98%	40,982	8,289
Salaries and Benefits	-	-	-	N/A	-	-
Other Expenses	64,034	25,216	(38,818)	39%	14,230	(10,986)
Total Student Social & Cultural Programs Expenses	64,034	25,216	(38,818)	39%	14,230	(10,986)
Net Student Social & Cultural Programs Revenue/(Expense)	(13,792)	24,055	37,847		26,752	(2,697)
Sponsored Programs						
Federal Grants and Contracts Revenues	97,817,484	44,492,599	(53,324,885)	45%	43,612,927	879,672
State and Local Grants and Contracts Revenues	11,263,307	6,575,588	(4,687,719)	58%	6,091,748	483,840
Non-Governmental Grants and Contracts Revenues	17,311,293	11,267,829	(6,043,464)	65%	8,490,884	2,776,945
Gifts	-	-	-	N/A	-	-
Other Revenues	-	-	-	N/A	-	-
Transfers	1,189,916	1,525,092	335,176	128%	1,554,376	(29,284)
Total Sponsored Programs Revenues	127,582,000	63,861,108	(63,720,892)	50%	59,749,935	4,111,173
Salaries and Benefits	84,045,397	36,144,497	(47,900,900)	43%	34,949,982	(1,194,515)
Other Expenses	43,536,603	27,716,611	(15,819,992)	64%	24,799,953	(2,916,658)
Total Sponsored Programs Expenses	127,582,000	63,861,108	(63,720,892)	50%	59,749,935	(4,111,173)
Net Sponsored Programs Revenue/(Expense)	-	-	-		-	-

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format  
For the six month ended December 31, 2014  
Preliminary and Unaudited

**Health Sciences Center - Total Operations Current Funds**

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 50%	FY 2014 Year-to-Date Actual	FY 2015 YTD Actual Change From FY 2014 YTD Actual
Clinical Operations						
State/Local Appropriations	26,204,400	13,102,201	(13,102,199)	50%	12,507,874	594,327
Physician Professional Fee Revenues	121,922,390	60,804,563	(61,117,827)	50%	52,413,754	8,390,809
Hospital Facility Revenues	768,691,833	388,364,953	(380,326,880)	51%	344,540,148	43,824,805
Other Patient Revenues, net of Allowance	119,568,426	59,192,138	(60,376,288)	50%	52,155,361	7,036,777
Mil Levy	92,780,044	46,390,022	(46,390,022)	50%	45,551,907	838,115
Investment Income	13,238,733	6,388,568	(6,850,165)	48%	5,301,291	1,087,277
Gifts	3,770,598	2,269,876	(1,500,722)	60%	2,533,671	(263,795)
Housestaff Revenues	33,884,089	16,268,339	(17,615,750)	48%	15,903,716	364,623
Other Revenues	23,393,245	9,310,170	(14,083,075)	40%	12,926,864	(3,616,694)
Total Clinical Operations Revenues	1,203,453,758	602,090,830	(601,362,928)	50%	543,834,586	58,256,244
Salaries and Benefits	657,263,695	329,868,598	(327,395,097)	50%	303,389,134	(26,479,464)
Interest Expense	8,077,123	4,038,561	(4,038,562)	50%	4,148,224	109,663
Housestaff Expenses	33,882,767	17,066,734	(16,816,033)	50%	16,972,538	(94,196)
Other Expenses	507,129,565	248,931,082	(258,198,483)	49%	222,345,355	(26,585,727)
Total Clinical Operations Expenses	1,206,353,150	599,904,975	(606,448,175)	50%	546,855,251	(53,049,724)
Net Clinical Operations Revenue/(Expense)	(2,899,392)	2,185,855	5,085,247		(3,020,665)	5,206,520
Contingencies						
Total Contingency Revenues	816,139	-	(816,139)	0%	-	-
Total Contingency Expenses	(383,861)	-	383,861	0%	-	-
Net Contingencies Revenue/(Expense)	1,200,000	-	(1,200,000)		-	-
<b>Net Current Revenue/(Expense)</b>	<b>(5,015,014)</b>	<b>3,573,666</b>	<b>8,588,680</b>		<b>2,082,068</b>	<b>1,491,598</b>




### University Services

University Services Business Operations	UNM Surplus Property
UNM Copy Center	UnivServ Shipping and Receiving
UNM Mailing Systems	UNM Inventory Control
UNM Records Management	Chem Stores/CRLS
<a href="http://www.unm.edu/~univserv/">http://www.unm.edu/~univserv/</a>	Print Management Program

Date: January 15, 2015

To: Bruce Cherrin, Chief Procurement Officer  
Purchasing Department

From: Debra L. Fondino   
Associate Director, University Services

Subject: Equipment Disposition – December 2014 and January 2015

Attached for your review and submission to the Board of Regents are the Surplus Property Disposition Detail lists for the months of December, 2014 and January, 2015.

Consistent with UNM Board of Regents Policy 7.9 and the NM Disposition of Surplus Property Act, 13-6-1, NMSA 1978, and based upon documentation submitted by the UNM departments responsible for the equipment, I certify that the equipment identified on the list is worn-out, unusable or obsolete to the extent that the items are no longer economical or safe for continued use by the University. I recommend that the items be deleted from UNM's inventory and disposed of in accordance with the above noted Regents Policy and NM Surplus Property Act.

A copy of the New Mexico Statute 13-6-1 is attached for your reference. Section 13-6-1.B specifically covers the role of the Regents' committee for this action.

Also attached is a copy of the two-page commentary of what UNM Surplus Property is all about and how they accomplish staying abreast of all disposition resources, servicing the UNM community and maintaining thorough audit trail processes. This commentary is taken from the report submitted to the Board of Regents annually.



Surplus Property Department  
Disposition Detail List  
as of 12/19/2014

UNM#	Department surplussing equipment	Description	Manufacturer	Model#	Serial#	Year	Acquisition Cost	NBV	Method Of Disposal	Disposal Date
145599	Biology Department	SAMPLER/RAPID	Sierra		218	1/1/1982	5,400.00	0.00	Obsolete	12/15/2014
(1) 170333	Center for High Tech Materials CHTM	SPECTROMETER/MASS	PerkinElme	330	583109	1/1/1984	17,446.00	0.00	Beyond Repair	12/15/2014
(1) 170337	Center for High Tech Materials CHTM	LASER	SpectraPhy	2560	495-496	1/1/1985	25,172.00	0.00	Beyond Repair	12/15/2014
(1) 218351	Center for High Tech Materials CHTM	LASER	Omnichrome	207435 HE-CD	K1185	5/25/1996	16,613.00	0.00	Beyond Repair	12/15/2014
218352	Center for High Tech Materials CHTM	LASER	Omnichrome	4074PA01	H0951	5/25/1996	8,000.00	0.00	Obsolete	12/15/2014
230585	HS Library and Informatics Center	COMPUTER PROJECTOR	InFocus	SVGA450	4G7515583	4/22/1998	6,234.00	0.00	Obsolete	11/26/2014
231135	HS Library and Informatics Center	COMPUTER PROJECTOR	Proxima	DP5900	G8203074	5/22/1998	6,164.00	0.00	Obsolete	12/19/2014
241158	Biology Department	RESPIROMETER	UNKNOWN/OTHI (SOIL)	EGM 3	002	4/12/2000	5,735.00	0.00	Obsolete	12/19/2014
(2) 241984	Batcave	MANIKIN/LITTLE MAN	Meti	PED MANNEQUIN	PED021	6/14/2000	40,982.00	0.00	Obsolete	12/5/2014
(3) 245656	Obstetrics Gynecology OB GYN	DIAGNOSTIC SYSTEM	Medtronic	33A01	809020144	2/20/2001	19,775.00	0.00	Obsolete	12/19/2014
245667	HS Library and Informatics Center	COMPUTER FILE SERVER	Dell	Poweredge	5B58B01	2/20/2001	6,310.00	0.00	Obsolete	11/26/2014
(2) 264861	Batcave	ON CART	Sharp	9701B Video Assistec	9701B-1191	1/30/2004	15,931.00	0.00	Obsolete	12/5/2014
264902	Biology Department	CHROMATOGRAPH	Dionex	UCI 50 DX 100	3110318	2/4/2004	8,383.00	0.00	Obsolete	12/19/2014
N00001569	HS Library and Informatics Ctr	Computer Server	Dell	PowerEdge 2850	D5GRV51	12/3/2004	6,157.52	0.00	Obsolete	11/26/2014
N00005433	TECHS	Server/PowerEdge Xeon 50JTG81	Dell	PowerEdge Xeon 50J	50JTG81	9/27/2005	5,493.38	0.00	Obsolete	11/26/2014
(2) N00005914	Batcave	Surgical Simulator #1	MedEdTech	SurgicalSIM	TRAINER A	11/3/2005	37,450.00	0.00	Obsolete	12/5/2014
N00006546	Continuing Education Cont Ed	Presentation System	IS	APS-EMP830 bundle		12/22/2005	6,277.95	0.00	Too Costly to Repair	12/19/2014
N00006956	TECHS	Computer Server	Dell	PowerEdge 1855	CZV1L91	3/13/2006	5,207.03	0.00	Obsolete	11/26/2014
N00006958	TECHS	Computer Server	Dell	PowerEdge 1855	20W1L91	3/13/2006	5,207.03	0.00	Obsolete	11/26/2014
N00011250	Gallup Computer Service Instruction	Switch	Cisco	WS-C3750G-48PS-S	FOC1102Y3GS	4/18/2007	5,697.00	0.00	Obsolete	12/15/2014
N00011251	Gallup Computer Service Instruction	Switch	Cisco	WS-C3750G-48PS-S	FOC1102ZB73	4/18/2007	5,697.00	0.00	Obsolete	12/15/2014
N00011252	Gallup Computer Service Instruction	Switch	Cisco	WS-C3750G-48PS-S	FOC1102ZDJY	4/18/2007	5,697.00	0.00	Obsolete	12/15/2014
N00011253	Gallup Computer Service Instruction	Switch	Cisco	WS-C3750G-48PS-S	FOC1102ZDK3	4/18/2007	5,697.00	0.00	Obsolete	12/15/2014
N00011257	Gallup Computer Service Instruction	Switch	Cisco	WS-C3750-48PS-S	FOC1104Z3PR	4/12/2007	9,297.00	0.00	Obsolete	12/15/2014
N00011258	Gallup Computer Service Instruction	Switch	Cisco	WS-C3750-48PS-S	FOC1104Z3LE	4/12/2007	9,297.00	0.00	Obsolete	12/15/2014
N00011261	Gallup Computer Service Instruction	Switch	Cisco	WS-C3750-48PS-S	FOC1104Z3PH	4/12/2007	9,297.00	0.00	Obsolete	12/15/2014
N00011262	Gallup Computer Service Instruction	Switch	Cisco	WS-C3750-48PS-S	FOC1103ZF43	4/12/2007	9,297.00	0.00	Obsolete	12/15/2014
N00011265	Gallup Computer Service Instruction	Switch	Cisco	WS-C3750-48PS-S	FOC1103Y4YE	4/12/2007	9,297.00	0.00	Obsolete	12/15/2014
N00011651	Technology Support	Server	Dell	Quad Core Xeon	24L6XC1	5/9/2007	9,585.36	0.00	Obsolete	11/26/2014
N00011725	Technology Support	Server	Dell	Quad Core Xeon	82N2XC1	5/21/2007	5,966.50	0.00	Obsolete	11/26/2014
N00011726	Technology Support	Server	Dell	Quad Core Xeon	J5N2XC1	5/21/2007	5,966.50	0.00	Obsolete	11/26/2014
N00011727	Technology Support	Server	Dell	Quad Core Xeon	J8N2XC1	5/21/2007	5,966.50	0.00	Obsolete	11/26/2014
N00011728	Technology Support	Server	Dell	Quad Core Xeon	BDN2XC1	5/21/2007	5,966.50	0.00	Obsolete	11/26/2014
(2) N00017117	Batcave	Patient Simulator	Laerdal	SIM NewB Advanced	225M28080003	7/21/2008	28,908.99	0.00	Obsolete	12/5/2014
N00018325	Gallup Computer Service Instruction	Network Equipment	Cisco	Catalyst 3560 48	SFOC1234Z5FM	10/7/2008	5,981.85	0.00	Obsolete	12/15/2014

Disposition value: \$385,553.11

Disposition bookvalue: 0.00

Total Dispositon Items: 35

12/19/14

Additional Notes to Surplus listings dated 12/19/14:

**1 – Assets# 170333, 170337 and 218351** are laser systems used at the Center for High Tech Materials (CHTM); two are 30 years old and the last is almost 20 years old. All three burned out some years ago. Since they were outdated and no longer used for research purposes, they were cannibalized and the parts have been used to keep existing lasers up and running.

**2 – Assets# 241984, 264861, N00005914 and N00017117** are surgical simulators that were used in teaching healthcare professionals at the BATCAVE in the School of Medicine. These items became obsolete and unrepairable since the parts to repair are no longer available. The department hopes to replace them in the coming year.

**3 – Asset# 245656** is a 13-year old Medtronic Diagnostic System that was used in the Department of Obstetrics & Gynecology. The system was used for Neurodiagnostic testing which is now obsolete in the field of Urogynecology.

-----      Note: Any item of value in this list will be re-sold through all means available, i.e.: Request for Bid, Auction house, special auction, online sale, to recover the maximum monies to re-invest in the mission of the requesting department.

Surplus Property Department  
Disposition Detail List  
as of 01/15/2015

UNM#	Department surplussing equipment	Description	Manufacturer	Model#	Serial#	Year	Acquisition Cost	NBV	Method Of Disposal	Disposal Date
(1) 81273	Biology Department	SPECTROPHOTOMETER	PerkinElme	306		1/1/1972	13,505.00	0.00	Obsolete	1/13/2015
180235	Biology Department	CHROMATOGRAPH GAS	Shimadzu	GC9A	67025SA	11/20/1987	7,501.00	0.00	Obsolete	1/13/2015

Disposition value:

\$21,006.00

Disposition bookvalue:

0.00

Total Dispositon Items: 2

01/15/15

Additional Notes to Surplus listings dated 01/15/15:

**1 – Asset# 81273** is a 42-year old Spectrophotometer that is obsolete and too costly to repair. The C4 had a 10-year conversion which has passed three times over. The equipment has been in storage and the project ended long ago. Each year it was accounted for on the Department of Biology's inventory; now the building will no longer exist and there will be no storage space in the new lab.

-----      Note: Normally, any item of value in a Surplus list will be re-sold through all means available, i.e.: Request for Bid, Auction house, special auction, online sale, to recover the maximum monies to re-invest in the mission of the requesting department. This item, however, does not have any remaining value.

# New Mexico Compilation Commission

## **13-6-1 . Disposition of obsolete, worn-out or unusable tangible personal property.**

A. The governing authority of each state agency, local public body, school district and state educational institution may dispose of any item of tangible personal property belonging to that authority and delete the item from its public inventory upon a specific finding by the authority that the item of property is:

- (1) of a current resale value of five thousand dollars (\$5,000) or less; and
- (2) worn out, unusable or obsolete to the extent that the item is no longer economical or safe for continued use by the body.

**B.** The governing authority shall, as a prerequisite to the disposition of any items of tangible personal property:

- (1) designate a committee of at least three officials of the governing authority to approve and oversee the disposition; and
- (2) give notification at least thirty days prior to its action making the deletion by sending a copy of its official finding and the proposed disposition of the property to the state auditor and the appropriate approval authority designated in Section 13-6-2 NMSA 1978, duly sworn and subscribed under oath by each member of the authority approving the action.

C. A copy of the official finding and proposed disposition of the property sought to be disposed of shall be made a permanent part of the official minutes of the governing authority and maintained as a public record subject to the Inspection of Public Records Act [Chapter 14, Article 2 NMSA 1978].

D. The governing authority shall dispose of the tangible personal property by negotiated sale to any governmental unit of an Indian nation, tribe or pueblo in New Mexico or by negotiated sale or donation to other state agencies, local public bodies, school districts, state educational institutions or municipalities or through the central purchasing office of the governing authority by means of competitive sealed bid or public auction or, if a state agency, through the surplus property bureau of the transportation services division of the general services department.

E. A state agency shall give the surplus property bureau of the transportation services division of the general services department the right of first refusal when disposing of obsolete, worn-out or unusable tangible personal property of the state agency.

F. If the governing authority is unable to dispose of the tangible personal property pursuant to Subsection D or E of this section, the governing authority may sell or, if the property has no value, donate the property to any organization described in Section 501(c)(3) of the Internal Revenue Code of 1986.

G. If the governing authority is unable to dispose of the tangible personal property pursuant to Subsection D, E or F of this section, it may order that the property be destroyed or otherwise permanently disposed of in accordance with applicable laws.

H. If the governing authority determines that the tangible personal property is hazardous or contains hazardous materials and may not be used safely under any circumstances, the property shall be destroyed and disposed of pursuant to Subsection G of this section.

I. No tangible personal property shall be donated to an employee or relative of an employee of a state agency, local public body, school district or state educational institution; provided that nothing in this subsection precludes an employee from participating and bidding for public property at a public auction.

J. This section shall not apply to any property acquired by a museum through abandonment procedures pursuant to the Abandoned Cultural Properties Act [18-10-1 to 18-10-5 NMSA 1978].

K. Notwithstanding the provisions of Subsection A of this section, the department of transportation may sell through public auction or dispose of surplus tangible personal property used to manage, maintain or build roads that exceeds five thousand dollars (\$5,000) in value. Proceeds from sales shall be credited to the state road fund. The department of transportation shall notify the department of finance and administration regarding the disposition of all property.

L. If the secretary of public safety finds that the K-9 dog presents no threat to public safety, the K-9 dog shall be released from public ownership as provided in this subsection. The K-9 dog shall first be offered to its trainer or handler free of charge. If the trainer or handler does not want to accept ownership of the K-9 dog, then the K-9 dog shall be offered to an organization described in Section 501(c)(3) of the Internal Revenue Code of 1986 free of charge. If both of the above fail, the K-9 dog shall only be sold to a qualified individual found capable of providing a good home to the animal.

History: 1953 Comp., § 6-1-7.1, enacted by Laws 1961, ch. 100, § 1; 1979, ch. 195, § 2; 1984, ch. 47, § 1; 1987, ch. 15, § 1; 1989, ch. 211, § 6; 1995, ch. 181, § 1; 1998, ch. 16, § 1; 2001, ch. 317, § 1; 2007, ch. 57, § 4; 2012, ch. 10, § 1; 2013, ch. 9, § 1.

## UNM Surplus Property: Who we are

**UNM Surplus Property** focuses on supporting the University of New Mexico Community while safeguarding confidentiality, state regulations, and safety and export control issues. Surplus Property's goal is to assist the departments in determining the best venue or method for the disposition of UNM's property that is worn-out, unusable or obsolete to the extent that the items are no longer economical or safe for continued use by the University.

### Disposition Options/Resources

Surplus Property explores and utilizes a number of resources and methods when assessing the disposition of UNM property:

- RFP issue through UNM's Purchasing Department: UNM retains all proceeds, but may not have the industry-specific access for the best exposure.
- Auction through Bentley's Auction: Bentley's provides expert advice, online auctions with feeds to industry-specific sites and monthly onsite auctions. UNM pays a 25% commission fee.
- Public Surplus Government Surplus Auctions Website/GovDeals.com.
  - Surplus Property customized its own PublicSurplus.com website for weekly and ad-hoc online auctions. This enables us to fully manage the sale process at virtually no cost, and to quickly post items approved for disposition. Its most practical use is to sell old furniture and items not generally sold through Bentley's Auction. Not only does it recycle assets and save them from the landfills, a great expense is avoided in paying for their disposal.
  - Surplus Property also customized its own GovDeals.com site. It is mainly used for difficult un-sold items to reach a new audience. Both PublicSurplus and GovDeals have been used on an almost-free basis, for example: chairs and tables picked up through a 'free' bidding process to use in churches, student orgs, and various non-profit entities.
- Charities, Native American tribes and Non-Profit Organizations through donation and/or low cost sale, only as permitted following State regulations.
- Industry/field related organizations: with UNM being a research university, many departments are aware of other organizations that may be interested and/or may be able to use the property.
- Other UNM departments: Surplus Property has served as a liaison and process facilitator in the recycling of property into other UNM departments. During the daily showroom hours, Surplus Property invites UNM departments to purchase, at very reasonable prices, used office furniture, office supplies, re-initialized computers, and basic medical equipment or supplies to name a few. The showroom is open five days per week, half days to allow for delivery and pickup schedules as well as warehouse cataloguing and organization and processing items for disposal.
- Recycle: utilizing UNM's Recycling department and/or outside recycling facilities weekly.
- On-site auction or sale. These normally occur when the removal costs would be expensive and takes place with departmental moves or when removing huge pieces of built-in equipment.
- Special Event Sales: Surplus personnel assist and monitor event sales for departments with over-stocked, used and/or donated items. Example: Both the Women's Volleyball and Golf departments hold 'side-walk sales' that enable them to clear out excess donated or discontinued items while receiving funds to purchase much-needed equipment for the next season.

Continued...

### Departmental Sales

Another service we provide for UNM departments is to facilitate the sale of large, highly technical, medical, large quantity, high value, or otherwise unique items. Initially the current value of the property is determined by researching the original cost, net book value, and current market value; then, understanding the existing condition and functionality of the property. This is facilitated by obtaining a memo and Surplus Disposition Form from the department that explains the reasons for disposition, the property's condition, description and perceived value. The department provides pictures and a list of potentially interested parties.

In support of the UNM community, Surplus Property takes the necessary steps to ensure UNM property is handled safely in all respects. This is accomplished by working with both UNM Safety and Risk department and Export Control. Safety and Risk evaluates any potential safety hazards and/or advises on proper handling. Export Control determines any export restrictions that need to be enforced when advertising and selling property and ultimately in determining its final destination and use.

Determining the best method and/or venue of sale is the next step. Often this is a community effort, especially when dealing with highly technical property like lasers, medical, research and engineering equipment. There are a number of options to consider: advertise and sell in part or as a functioning unit, sell as scrap metal, donate to a responsible group or charity, break down and recycle, etc. In some cases, the cost for UNM to decommission or remove property is more than the value of the property. Finding a resource that is able to safely extract the property in exchange for any return that may be acquired via recycling is the best option for disposition. In this scenario, UNM avoids the costly expenses of disposition.

Surplus Property *rarely* pays e-waste or disposal costs. Every attempt is made to dispose of property and materials in an environmentally and community conscience, safe and cost effective manner. All possible resources are considered and new resources are continually researched.

### Other Services and Tools

Surplus Property works in connection with the UNM IT department to ensure the security of UNM's electronic data. This is accomplished through the Hard Drive Erasure program. At the Surplus Property site, UNM Information Technology (IT) provides staff to erase the hard drives from surplus computers before they are sent to auction. Any computers less than four years old are re-initialized with a new operating system in order to be resold to a UNM department at the very reasonable price of \$50. This has proven to be valuable to departments needing an extra computer for a new temp employee or when a unit has been corrupted within their department, yet do not have the budget to purchase a new computer.

Surplus Property is also involved in the hard drive erasure process to UNM departments who are removing copiers and printers that do not have data security software in place because of the age of the equipment.

### Process

Surplus Property works in conjunction with the Inventory Control department to thoroughly research equipment submitted for disposition. Assets are reviewed *before* being picked up from the requesting Department to ensure that full documentation has been obtained. The more that is known about an asset, the better the plan for its disposition and maximization of return. Assets are handled differently when sold, recycled or donated. There is also a 'holding' area for assets requiring additional documentation or awaiting approval for disposition.





**Planning, Design & Construction**

**MEMORANDUM TO ADVANCE  
COMMITTEE AGENDA ITEM TO  
THE BOARD OF REGENTS  
THE UNIVERSITY OF NEW MEXICO**

DATE: January 20, 2015

TO: David W. Harris, EVP for Administration, COO & CFO

FROM: Chris Vallejos, Associate Vice President, Institutional Support Services

RE: Requested Approval

---

**RECOMMENDED ACTION:**

Recommend to the Board of Regents Finance and Facilities Committee the following:

1. Request for Capital Project Approval for UNM Valencia Campus Loop Road and Parking Expansion

Please see attached summary and drawings.

cc: Lisa Marbury, Executive Director, Institutional Support Services  
R. Henrard, A. Coburn, C. Martinez – PDC  
Jeff Zumwalt, Interim Director, Physical Plant Department

**REQUEST FOR CAPITAL PROJECT APPROVAL for  
VALENCIA CAMPUS LOOP ROAD AND PARKING EXPANSION  
UNIVERSITY OF NEW MEXICO  
February 6, 2015**

**REQUESTED ACTION:**

In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested for the Loop Road and Parking Expansion project at UNM Valencia Campus.

**PROJECT DESCRIPTION:**

This project creates a loop road around the Valencia Campus and provides additional student parking.

The new access road will be located on the south and west side of the Campus as shown on the attached location map. The project will construct:

- Approximately 2,600 feet of 24 foot wide asphalt paved roadway to connect the south parking lot to the northeast parking area, creating the loop road. This project also includes LED lighting and signage.
- Approximately 36,000 Sq. ft., 103 space asphalt parking lot that will include LED lighting.

**PROJECT RATIONALE:**

The loop road will be built to provide a secondary access to campus to accommodate current and projected traffic and vehicular loads that meet current and projected enrollments, as current lots are filled to capacity. Furthermore it will provide an additional emergency evacuation route, enhancing the safety of campus roads and parking lots. This lot will replace a dirt lot that is currently being used for overflow parking. This project also provides essential infrastructure to support the next phase of campus development per the UNM Valencia Campus 2009 Facilities Master Plan.

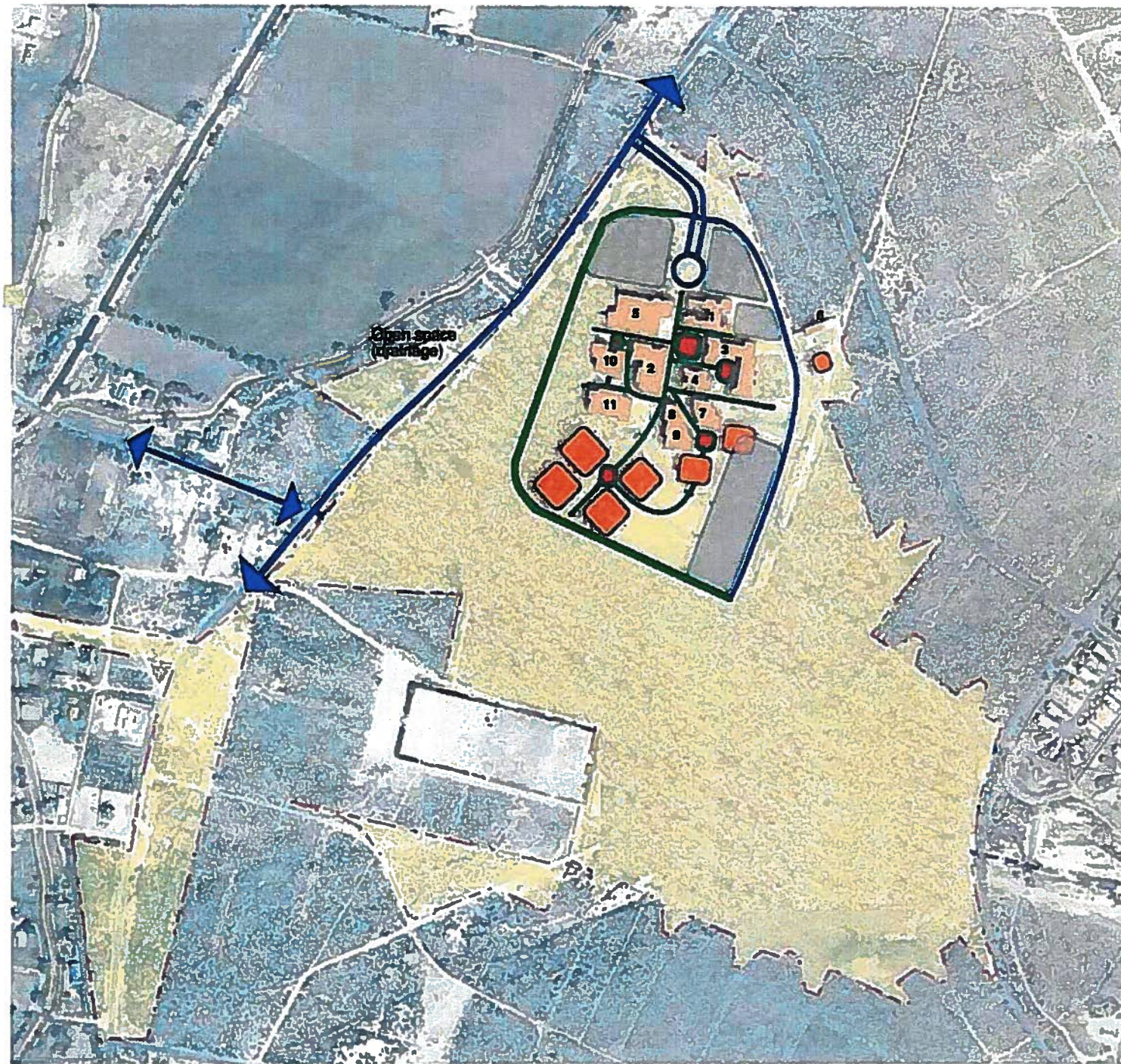
**FUNDING:**

The total estimated Project Budget is \$700,000:

- \$700,000 is funded by matching monies from Valencia Campus Local Tax Levy Funds



**University of New Mexico  
Valencia Campus  
Access Road**



-  Campus Site
-  Existing Building
-  Potential New Building Site
-  Existing Roads
-  Potential New Parking Sites
-  New Roads



**Walla**  
 Architectural Engineering  
 1000 North 1st Street, Suite 100  
 Phoenix, Arizona 85004  
 Phone: (602) 254-1100  
 Fax: (602) 254-1101  
 Email: walla@walla.com

CONSULTANT



**Allied Engineering  
 and Design Inc.**  
 8101 Greenway Ave.  
 Suite 200  
 Phoenix, AZ 85021  
 Phone: (602) 998-1100  
 Fax: (602) 998-1101  
 Email: aed@aedi.com



ARCHITECT

UNIVERSITY

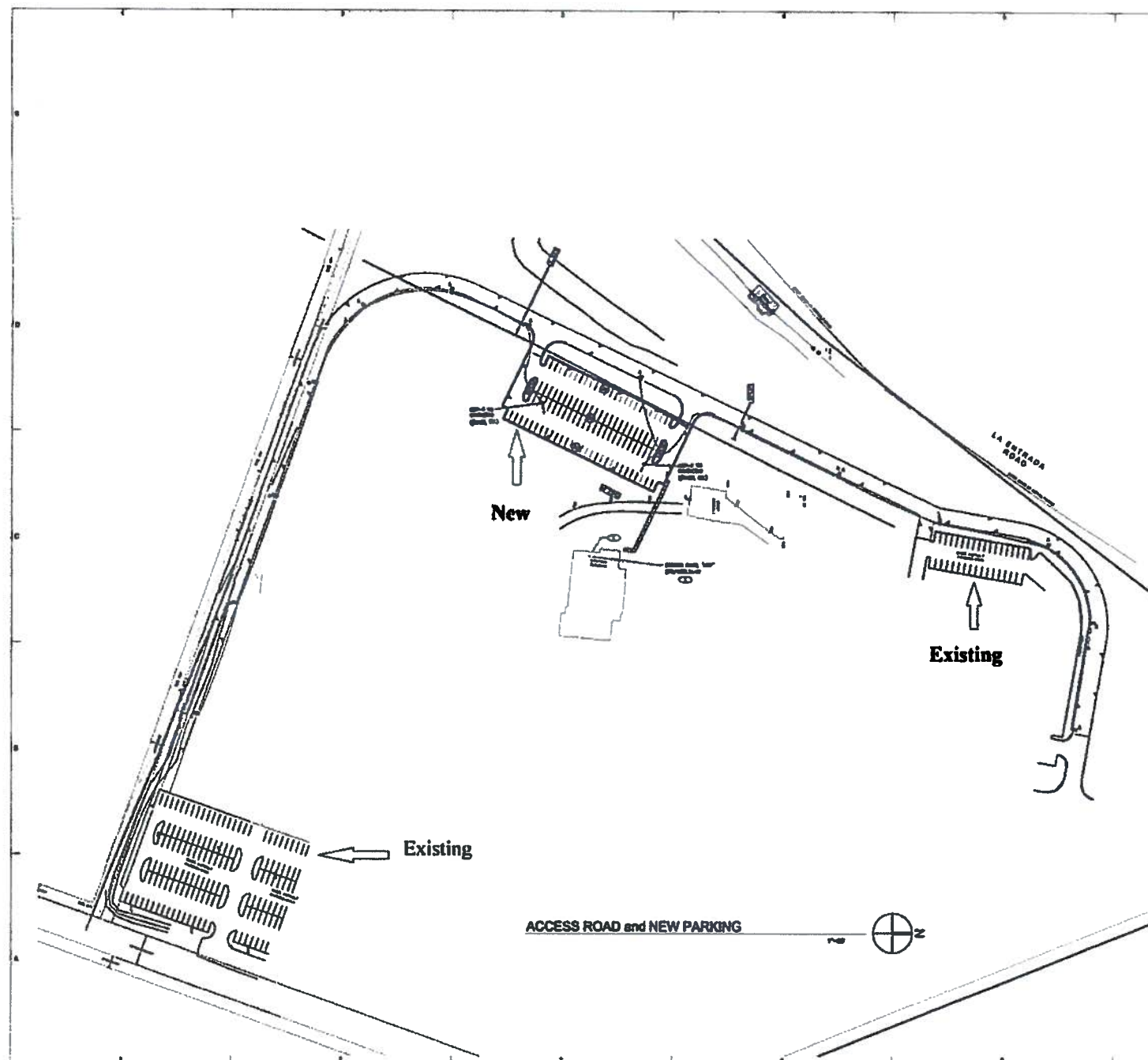


**UNIVERSITY OF NEW MEXICO  
 VALENCIA CAMPUS  
 ACCESS ROAD  
 IMPROVEMENTS**  
 December 19, 2004

DATE	12/19/04
BY	WALLA
CHECKED	WALLA
APPROVED	WALLA

PROJECT NO.	104-0010
CAD FILE	104-0010.dwg
DATE	12/19/04
BY	WALLA
CHECKED	WALLA
APPROVED	WALLA

**SHEET TITLE**  
**ACCESS ROAD and NEW**  
**PARKING LOT**



**EXHIBIT 2**



MEMORANDUM

Date: December 17, 2014

To: Dr. Robert Frank, President, The University of New Mexico

From: Dr. Amy Wohlert, Chair, UNM Naming Committee

Re: UNM Naming Committee Approvals from December 17, 2014

The Naming Committee has approved the following naming request and its donation level. The Naming Committee recommends your approval. A copy of the request along with UNM Policy 1020 is attached for your reference.

Naming Request from Popejoy Hall

- Approval request for the donation level and naming of Popejoy Hall Benefactors Lounge, **"The Turner and Margaret Branch Benefactors' Lounge."**

UNM Policy 1020 Section 3.2.1. Class I Exterior/ 3.2.2. Class II Interior


Please let me know if you have any questions or wish to discuss in further detail.

*Approved Robert G. Frank*

## MEMORANDUM

DATE: December 16, 2014

TO: UNM Naming Committee

FROM: Thomas Tkach, Director, Popejoy Hall 

RE: **Naming Request by Margaret Moses Branch for the Popejoy Hall Benefactors' Lounge**

---

This is a request for naming under UNM request's Policy Manual section 2.11: Naming University Facility, Spaces, Endowment and Programs. The request pertains to private financial support for the construction of expansion of the current facilities with approximately 55.5% of the construction costs the facility being provided by the donor. The total construction costs are \$449,800.

We have received a gift pledge of \$250,000 over a 6 year period for this construction project for the Popejoy Hall Benefactors' Lounge. The request is on behalf of a UNM donor, Margaret Moses Branch. Mrs. Branch is pledging \$250,000 to be paid over 6 years with the rights for the name on the Popejoy Benefactors' Lounge for ten years. The name of the Benefactor Lounge is to be listed as the Turner and Margaret Branch Benefactors' Lounge.

Popejoy Hall's Benefactors' Lounge provides a valuable source of contributed revenue through lounge contributions that help Popejoy remain fiscally balanced. Lounge contributions from the Benefactor program has become increasingly popular with donors and has led to a substantial increase in contributions since its inception in 2006.

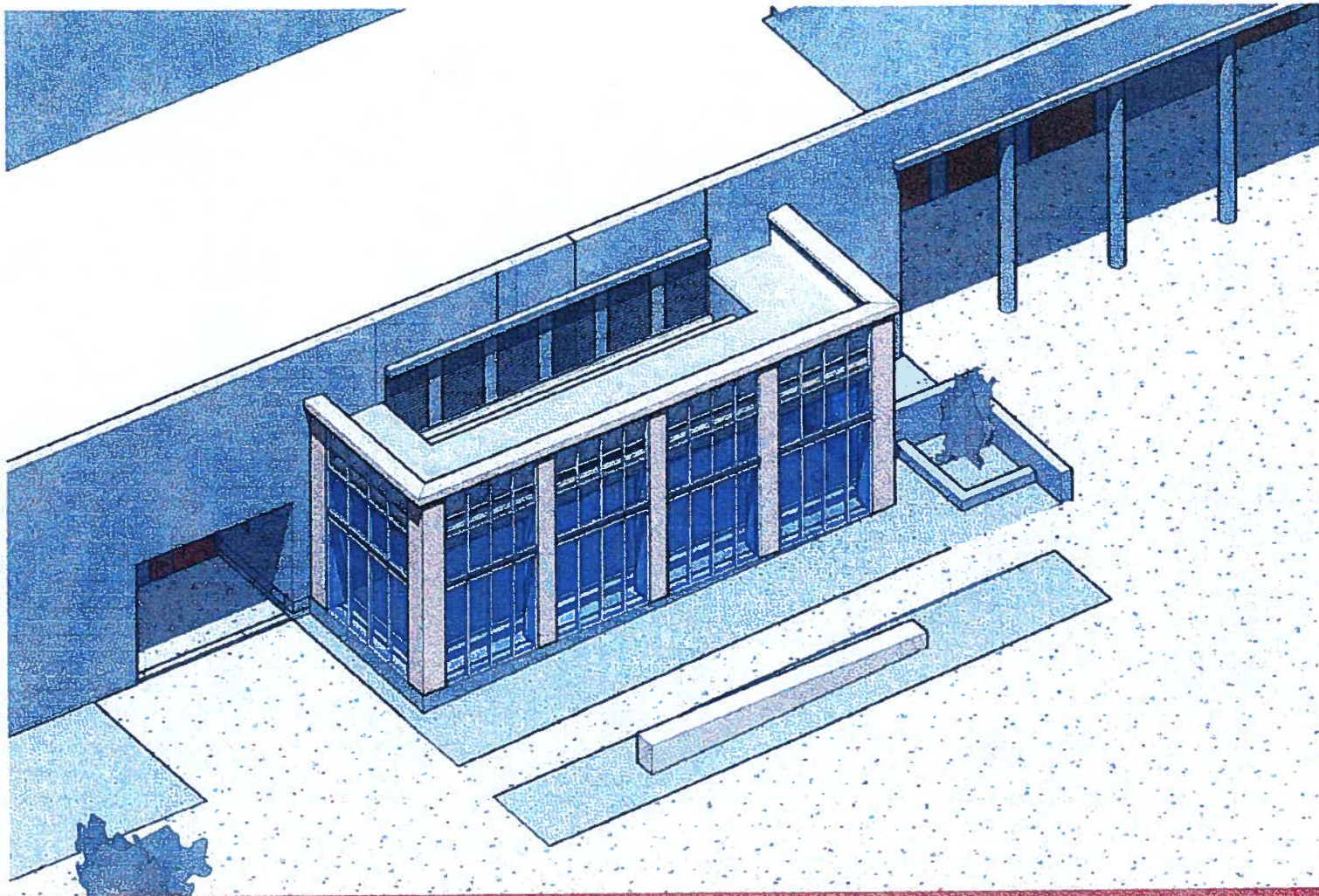
Turner and Margaret Branch have been an integral part of creating excellence within UNM and the greater New Mexico community. Their total giving to the University of New Mexico exceeds \$2,000,000. Turner and Margaret Branch are true leaders building a culture of philanthropy in New Mexico. Their \$250,000 pledge gift for the new Turner and Margaret Branch Benefactors' Lounge will ensure Popejoy Hall continues to shine as a cultural resource and destination for the state of New Mexico.

Please find appendices on the following pages with renderings of the new Popejoy Benefactors' Lounge. The renderings were created by Van H. Gilbert, Architect PC, and provide a glimpse of what the new facility would look like. Thank you for your consideration.

The University of New Mexico Foundation, Inc.  
700 Lomas Blvd. NE, Suite 108  
Albuquerque, New Mexico 87131  
MSC07 4260 / 1 University of New Mexico  
**UNCONDITIONAL PROMISE/PLEDGE OF GIFT SUPPORT**

DONOR INFORMATION (please print)			
Donor Name:	Margaret Branch	Organization Contact (for Corporate/Organization Pledges):	
Spouse Name:			
Mailing Address:	8635 Rio Grande Blvd. NW Los Ranchos, NM 87114-1301	Business Address:	
Phone Number(s):	( 505 ) 243-3500 ( )	Business Phone:	( ) ( )
GIFT INFORMATION			
Total Pledge Amount: (See note below)	\$250,000	Payment Frequency:	Annual <input type="checkbox"/> Quarterly <input type="checkbox"/> Monthly <input type="checkbox"/>
Date of First Payment:	3/1/2015	Payment Schedule:	Annual
Amount of First Payment:	\$42,000		
Payment Schedule:	\$42,000 due on or before 03/01/15, \$41,500 due on or before 03/01/16, 03/01/17, 03/01/18, 03/01/19, \$42,000 due on or before 03/01/20		
Credit Card No:		Exp. Date:	
Purpose of Pledge (Allocation Number and Name):	To renovate and expand benefactors' lounge at Popejoy Hall		
Anonymous Gift?	Yes <input checked="" type="checkbox"/> NO	No <input type="checkbox"/>	
Memorial/Honor Gift?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	
Name of Honoree or In Memory of:	Gifts will honor Margaret and Turner Branch through naming the lounge for 10 years.		
Name/Address of contact for "In Honor"/In Memory of gifts:			
Were any goods or services provided to the donor in exchange for this gift? (If "yes", please provide a description and Fair Market Value):			
STATEMENT OF INTENT CONFIRMATION			
I / We commit to the above "Unconditional Promise/Pledge of Gift Support" for the benefit of the University of New Mexico, according to the terms stated above. (Note: Signature of husband and wife required for joint pledge.)			
Donor Signature/Date		Donor Signature/Date	
UNM Development Officer Signature/Date		UNM Foundation President or Fiscal Officer/Date	
FOR OFFICE USE ONLY:			
NOTE: Pledge of *\$5,000 or greater requires signature of UNM Foundation President or Fiscal Officer			
This form is to be used for pledges of gift support to the UNM Foundation in excess of \$1,000 and payable over any period more than one year.			

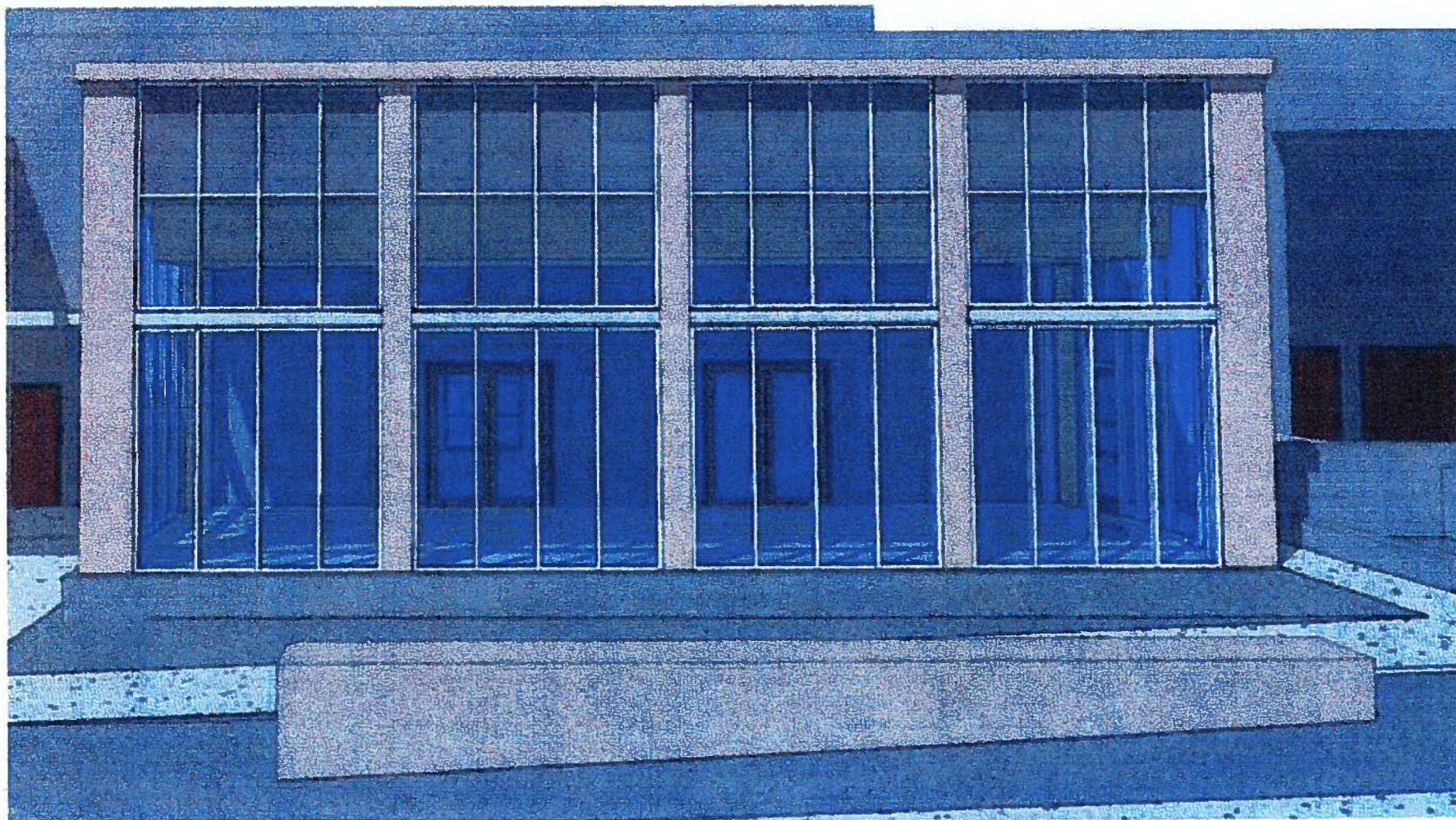




UNIVERSITY OF NEW MEXICO • POPEJOY HALL DONORS LOUNGE

VAN H. GILBERT ARCHITECT P.C.





# STC.UNM

SUPPORTING TECHNOLOGY TRANSFER AND  
CATALYZING ECONOMIC DEVELOPMENT AT  
THE UNIVERSITY OF NEW MEXICO

## ANNUAL REPORT TO THE BOARD OF REGENTS OF THE UNIVERSITY OF NEW MEXICO

Presented by Lisa Kuuttila  
President & CEO  
STC.UNM

February 3, 2015

# OUTLINE

## ❖ FY2014 Audit Results

- Presented by John Kennedy, KPMG

## ❖ STC President & CEO's Report, FY2014

- Presented by Lisa Kuuttila





*cutting through complexity*

# STC.UNM AUDIT RESULTS JUNE 30, 2014

- This presentation to those charged with governance is intended solely for the information and use of those charged with governance and management and is not intended to be and should not be used by anyone other than these specified parties. This presentation is not intended for general use, circulation or publication and should not be published, circulated, reproduced or used for any purpose without our prior written permission in each specific instance.

• October 31, 2014

# FY2014 AUDIT RESULTS

- 1.0 Audit results
  - Results of audit procedures
  - Audit adjustments
  - Unusual transactions
- 2.0 Required communications

# STC.UNM PRESIDENT'S REPORT

## Presentation Outline:

- ❖ **Board of Directors**
- ❖ **FY2014 Expanding Our Rainforest in the Desert**
- ❖ **FY2014 Data, Income Analysis & Forecast**

# STC.UNM BOARD MEMBERS

As of June 30, 2014



# FY 2014: EXPANDING OUR RAINFOREST IN THE DESERT

- ❖ Seed, cultivate and nourish.
- ❖ We spend most of our time in cultivation.
- ❖ Building a Rainforest, we want to shake up the system and stretch people outside their comfort zones.
- ❖ Lead people to the left, where new ideas come from, where there is deep uncertainty.
- ❖ Move them back to the middle, where ideas turn into actionable plans.
- ❖ Then lead them to the right, where hard things get implemented.





# EXPANDING OUR RAINFOREST IN THE DESERT - SEED & CULTIVATE:

	FY2012 (Actual)	FY2013 (Actual)	FY2014 (Goal)	FY2014 (Actual)	% of Goal
<b>Disclosures</b>	124	138	120	119	99%
<b>New U.S. Patent Applications Filed</b>	90	117	---	95	---
<b>Issued U.S. Patents</b>	31	51	---	45	---
<b>Option /License Agreements</b>	46	63	45	56	124%
<b>Start-up Companies</b>	7	9	7	9	129%
<b>Patent Expenses</b>					
• Licensee Initiated	\$381,257	\$404,314	\$288,000	\$632,041	219%
• STC Initiated	\$765,723	\$852,692	\$872,000	\$860,327	99%
<b>License Income</b>	\$2,535,356	\$1,702,015	\$1,372,500	\$1,072,923	78%
<b>Patent Cost Reimbursement Income</b>	\$387,219	\$487,427	\$288,000	\$646,026	224%
<b>Combined License Income &amp; Patent Cost Reimbursement Income</b>	\$2,922,575	\$2,189,442	\$1,660,500	\$1,718,949	104%

# EXPANDING OUR RAINFOREST IN THE DESERT - SEED & CULTIVATE:

	FY2014
<b>Economic Development Related Revenues to STC and UNM contributed by STC efforts:</b>	
U.S. EDA grant secured to be used for Innovate ABQ property	\$1,498,048
UNM CEET-DOE EPSCOR project and micro EMS project based on U.S-Japan Smart Grid Project in New Mexico – commitment from Japan	1,000,000
UNM Athletics / Track & Field Team contribution from Japan	10,000
UNM for Fiber Laser sponsored research project from Japan	10,000
<b>Total from STC efforts on economic development initiatives, FY2014</b>	<b>\$2,518,048</b>

# EXPANDING OUR RAINFOREST IN THE DESERT - SEED & CULTIVATE:

	FY2012 (Actual)	FY2013 (Actual)	FY2014 (Actual)
<b>Inventor Visits</b>	377	325	485
<b>Investor Presentations (in-person)</b>	39	48	76
<b>Telephone Presentations to Investors</b>	6	5	4
<b>Entrepreneur Visits</b>	76	80	157
<b>Outside Inventor Visits</b>	15	14	13
<b>Outside Inventor Agreements Signed</b>	3	1	2
<b>Company Visits</b>	100	168	201
<b>Business Plan Team Visits</b>	15	5	4
<b>Elevator Pitch Coaching</b>	4	2	1
<b>Confidentiality Agreements Signed</b>	113	85	62
<b>Inter-institutional Agreements Signed</b>	9	10	10

# EXPANDING OUR RAINFOREST IN THE DESERT - SEED & CULTIVATE:

## CONVERSION OF OPTION AGREEMENTS TO LICENSE AGREEMENTS

	Number of original Option Agreements entered into	Number of License Agreements entered into related to option agreements
From FY2009 through FY2014	72	15
		21%

# EXPANDING OUR RAINFOREST IN THE DESERT - SEED:

## *SPONSORED AGREEMENTS ASSISTANCE PROVIDED TO UNM*

### ❖ **UNM Health Sciences Center Agreements**

- ❑ SRA with Accelera Diagnostics (Liu)
- ❑ SRA with Agilvax (Peabody/Chackerian)
- ❑ Contracts with LEIDOS (Peabody/Chackerian)
- ❑ NDA with SolaranRx (Miao)
- ❑ NDA with Anti-Cancer Fund (Wandering-Ness)

### ❖ **UNM Main Campus Agreements**

- ❑ SRA with Elekta (Luan)
- ❑ RFP with Sandia (Brinker)
- ❑ SRA with Alpine Biosciences (Brinker)
- ❑ Subcontract with Fred Hutchinson Cancer Center (Brinker)
- ❑ MOU b/t COSMIAC and Space Micro Inc. (Kief)
- ❑ EPSCOR grant strategic plan with UNM, NMSU, NM Tech, LANL, and Sandia (Mammoli)
- ❑ Shared Research & Training Agreement COSMIAC/ Optical Sciences Company (Terselic)
- ❑ Professional Services Agreement and NDA with JNC Corporation (Atanassov)
- ❑ NDA with ClearStream Technologies (Christodoulou)

- MRA=Master Research Agreement
- SRA= Sponsored Research Agreement
- MTA= Material Transfer Agreement
- NDA= Non-Disclosure Agreement
- RFP= Request for Proposals
- MOU= Memorandum of Understanding



# NATIONAL ACADEMY OF INVENTORS

Congratulates

## University of New Mexico


*on being named as a*

**Top 100 Worldwide University in U.S. Patents Granted in 2013**

*by the National Academy of Inventors and the Intellectual Property Owners Association*

*Date: June 10, 2014*



  
Paul R. Sanberg, President  
National Academy of Inventors

# EXPANDING OUR RAINFOREST IN THE DESERT - SEED:

## ❖ **STC currently has 471 technologies posted on Flintbox**

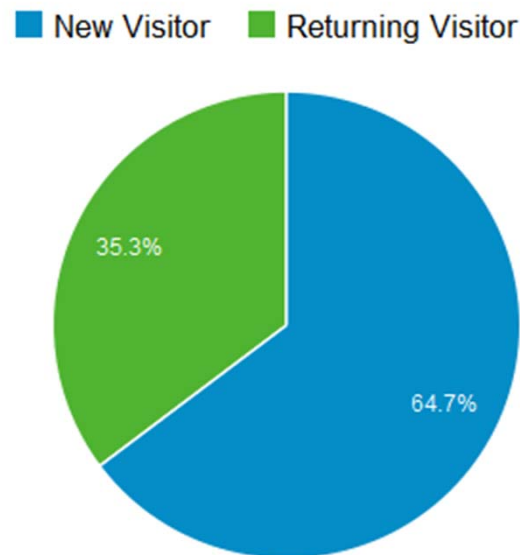
- 193,805 page views (approx. 78% increase from previous quarter) of 720 different records in Q4
  - Average of over 2000 views per day
- Most viewed technology in Q4
  - End-user direct licensing: Couette Cell for Demonstrating Laminar Flow (STC Ref. 2009-074)
  - Technology Portfolio: "Smart" Films and Assemblies for Capture, Kill and Release of Pathogens: Dark and Light Activated Antimicrobial Activity of Combined Stimuli-Responsive and Biocidal Oligomers (STC Ref. 2008-099)
- Most viewed technology in FY2014
  - End-user direct licensing: Couette Cell for Demonstrating Laminar Flow - Apparatus or Plans Available for Purchase (2009-074)
  - Technology Portfolio: Robust Hybrid Thin Films that Incorporate Lamellar Phospholipid Bilayer Assemblies and Transmembrane Proteins (2006-066)
- Currently have 10 products available for licensing on-line
  - 5 orders total from Flintbox in FY2014 Q4
    - 4 orders for Couette Cell for Demonstrating Laminar Flow Apparatus
    - 1 order Couette Cell for Demonstrating Laminar Flow Apparatus (Plan Only)
  - 16 orders total YTD (\$6,480)
    - 11 orders for Couette Cell for Demonstrating Laminar Flow Apparatus
    - 3 orders Couette Cell for Demonstrating Laminar Flow Apparatus (Plan Only)
    - 2 orders for Solar Oven Plans



# EXPANDING OUR RAINFOREST IN THE DESERT - SEED:

## *STC website and Sophia Utilization*

- ❖ Sophia Knowledge Management System
  - ❑ 186 current inventor users
- ❖ Website Statistics Q4 FY2014
  - ❑ STC Main Website – 4,847 visits (-10.16), 12,901 page views (-3.07)
  - ❑ Visits came from 87 different countries





# EXPANDING OUR RAINFOREST IN THE DESERT - SEED:

## *GAP FUND*

- ❖ RFP issued for seventh annual call for proposals in August 2013
- ❖ 21 proposals received in September 2013 (compared with 21 last year)
- ❖ Gap Fund @ UNM Committee met to review and select projects on October 21, 2013
- ❖ Committee members include four investment group representatives (NMA, Cottonwood, Verge, and NMCC)



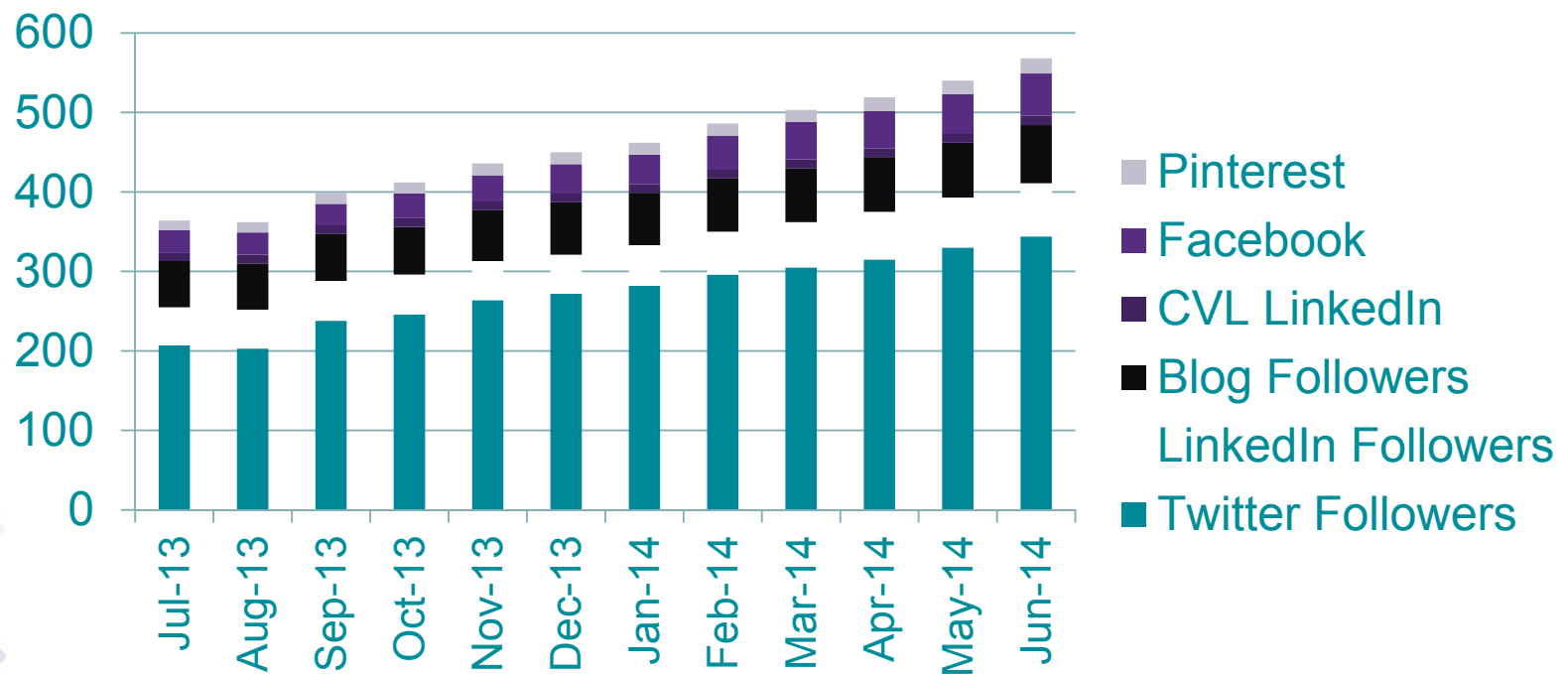
# EXPANDING OUR RAINFOREST IN THE DESERT - SEED:

## ***GAP FUND PROJECTS SELECTED BY COMMITTEE FOR FY2014***

<b>PROJECTS FUNDED BY STC</b>			
Dr. Erin Milligan and Dr. Jeff Norenberg	"NORBIRT & DANBIRT Therapeutics to Control Chronic Neuropathic Pain"	Dept. of Neurosciences and Dept. of Pharmacy Practices & Administrative Sciences	\$25,000
Dr. Zayd Leseman	"A Novel System for Controlled XeF2 Etching of Si: Industrial and Laboratory Applications"	Dept. of Mechanical Engineering and Dept. of Electrical & Computer Engineering	\$25,000
<b>PROJECTS SELECTED FOR POSSIBLE FUNDING BY UNM MAIN CAMPUS OR HSC's CTSA PROGRAM</b>			
Dr. Yoshihiro Matsumoto	"Combination Treatment with 5-fluoro-2'-deoxyuridine and 5-formyl-2'-deoxyuridine for Cancer Therapy"	Cancer Research & Treatment Center	\$25,000
Dr. Mansoor Sheik-Bahae and Dr. Alexander Albrecht	"Coupled-cavity Photo-acoustics Spectroscopy and Sensing (CC-PASS)"	Dept. of Physics & Astronomy	\$25,000
Dr. Diane Lidke and Patrick Johnson	"Peptide Functionalized Fluorescent Monovalent Quantum Dots"	Dept. of Pathology and Dept. of Nanoscience & Microsystems	\$12,300
<b>PROJECTS SELECTED FOR POSSIBLE SHOWCASE/PRESENTATION TO NEW MEXICO ANGELS</b>			
Dr. Jeff Hill	"Investigation of Isoxsuprine Hydrochloride as a Novel Stroke Therapeutic"	Dept. of Neurosurgery	TBD
Dr. Michelle Ozbun	"EGFR/MAPK Pathway Effectors as Novel Targets in HPV-Positive Tumors (Warts and Squamous Cell Carcinomas)"	Dept. of Molecular Genetics & Microbiology	TBD
Dr. Erin Milligan and Dr. Jeff Norenberg	"NORBIRT & DANBIRT Therapeutics to Control Chronic Neuropathic Pain"	Dept. of Neurosciences and Dept. of Pharmacy Practices & Administrative Sciences	TBD
Dr. Zayd Leseman	"A Novel System for Controlled XeF2 Etching of Si: Industrial and Laboratory Applications"	Dept. of Mechanical Engineering and Dept. of Electrical & Computer Engineering	TBD

# EXPANDING OUR RAINFOREST IN THE DESERT - SEED:

## *SOCIAL MEDIA ACTIVITIES*



***PUBLISHED FALL 2013 AND SPRING 2014 NEWSLETTERS***

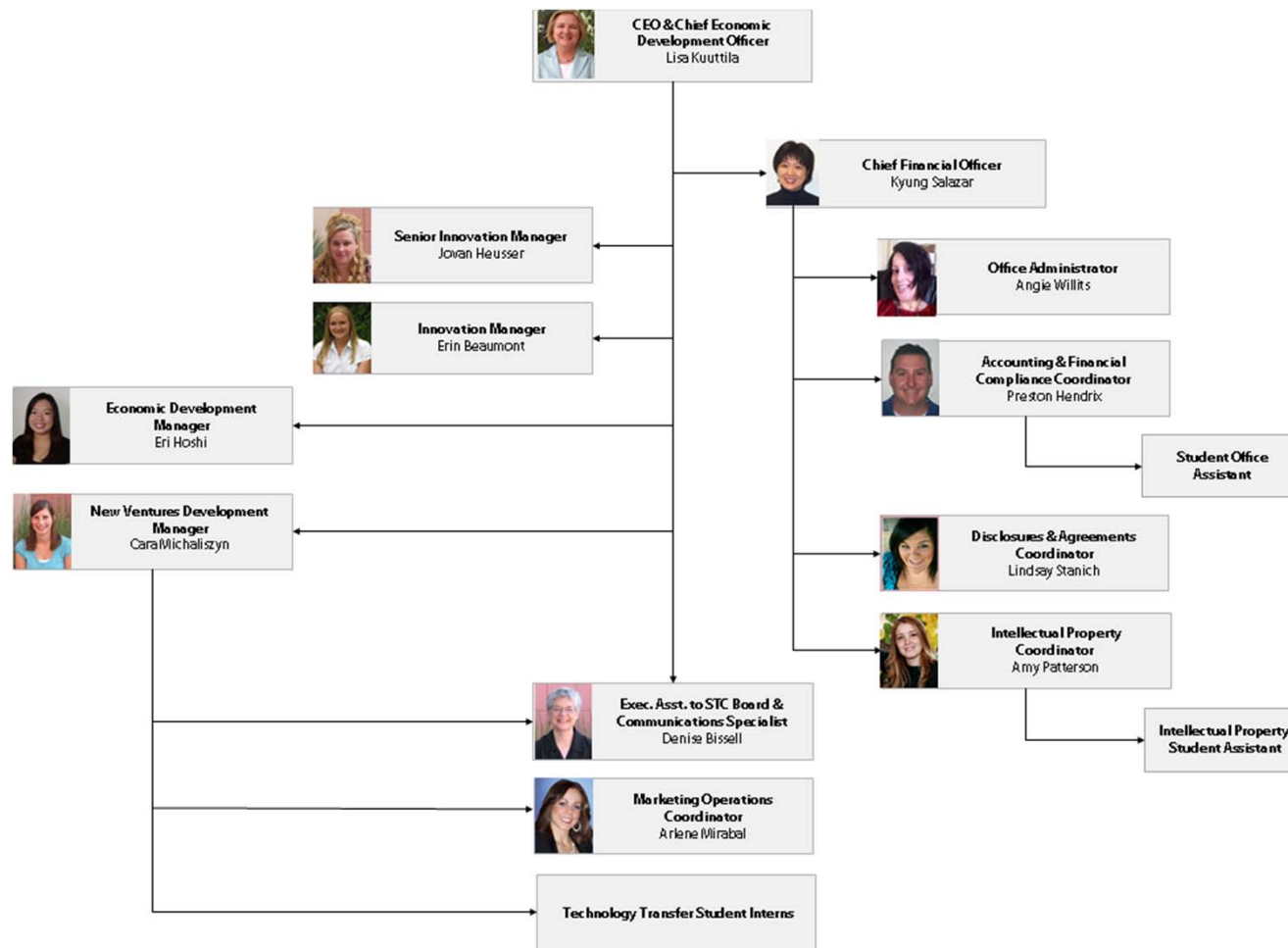


**TC.UNM**  
 SUPPORTING TECHNOLOGY TRANSFER AND  
 MAXIMIZING ECONOMIC DEVELOPMENT AT  
 THE UNIVERSITY OF NEW MEXICO

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# EXPANDING OUR RAINFOREST IN THE DESERT - SEED:

## STC.UNM ORGANIZATION CHART



# EXPANDING OUR RAINFOREST IN THE DESERT - CULTIVATE:

## Start-Up Options / Licenses Signed FY2014:

- ❖ Accelera - Option signed
- ❖ Pressure Analysis - Option signed
- ❖ PanMuse - Option signed
- ❖ Pucara Engineering - Option signed
- ❖ First Photonics - Option signed
- ❖ TruSecSys - Option signed
- ❖ Photoacoustic Devices – Option signed
- ❖ Water Skin, Inc. – Option signed (through SUTIMCO)
- ❖ SolaranRx – Option signed

# EXPANDING OUR RAINFOREST IN THE DESERT - CULTIVATE:

## *Accelera, Inc. - New Start-Up*

- ❖ STC has signed a limited exclusive option agreement with local start-up Accelera Diagnostics, LLC, for a portfolio of 10 of CMD's patented and 11 patent pending technologies.
- ❖ Accelera, a spin-out of Sunbelt Technologies LLC, a privately held venture capital firm investing in early stage bio technologies and start-ups, will develop the CMD technologies for commercial applications. The company is currently working to recruit an experienced pharma CEO.
- ❖ The compounds and research tools included in the agreement include the following:
  - G protein coupled receptors for treating breast and prostate cancer
  - Integrins for inhibiting or reversing adhesion of cancer cells
  - Transporter/efflux compounds and methods for inhibiting cancer-associated transporter proteins
  - Ras-related GTPases inhibitors for ovarian cancer metastasis (repurposed, FDA approved)
  - Repurposed/reformulated compounds for treatment of multiple cancers
  - New assays using cellular regulators to diagnose blood cancers for treatment with repurposed drugs
  - Methods of fluorescence-based detection of protein and ligand binding
  - High-throughput flow cytometry
  - Methods and apparatus for mixing samples in-line in a microfluidic system
- ❖ Center Director Dr. Larry Sklar, who envisions the CMD as a leader in the development and commercialization of small-molecule discoveries and high-tech screening and analysis tools, summarized what this means for the program. **“The investors and the inventors contributing to this portfolio have a shared vision for making an impact by working together to save lives.”**

# EXPANDING OUR RAINFOREST IN THE DESERT - CULTIVATE:

## ❖ STC Executed Exclusive License Agreement with Alpine Biosciences

- Mitchell H. Gold, M.D. is a Chairman, CEO, and Co-Founder of Alpine Biosciences
- Jay Venkatesan, M.D. is a Co-Founder and CEO of Alpine Biosciences
- David S. Shin M.D. is the Vice President of Strategy of Alpine Biosciences

## ❖ Alpine Establishing NM Presence and Current Office Located in Cecchi Venture Lab

## ❖ UNM Sponsored Research Agreement also Executed (\$400K+ for 12 months)

## ❖ Protocell Platform (Jointly-Owned with Sandia National Labs)

- Lipid coated targeted nanoparticles capable of delivering large amounts of diverse cargo in a highly-targeted fashion.
- Co-Developed by UNM Researchers on UNM's Main (Brinker, et al.) and North Campus (Willman, et al.)

## ❖ **“We’re still in the first quarter of what’s possible,” Mitch Gold, executive chairman of Alpine BioSciences, told an audience at “What's Hot in Cancer Immunotherapy.”**



## ❖ *“At Alpine Biosciences, our team developed and launched the world’s first cancer immunotherapy. Our goal is to advance this new class of drugs by targeting multiple pathways concurrently to create a more robust, defined, and effective immune response in a more cost-efficient manner. By achieving this goal, we believe we can accelerate the war on cancer. And at Alpine we’re committed to that.”*





# EXPANDING OUR RAINFOREST IN THE DESERT - CULTIVATE:

## ❖ CVL Research Projects

- Vision Quest Biomed
  - Market research and surveying for SBIR phase 2
- SolaranRX
  - Data and market reports on diagnostics and treatment for melanoma

## ❖ CVL Tenants

- Physical Tenants
  - Actoprobe; Zocere, Inc. (formerly Tyrosine Pharmaceuticals)
- Virtual Tenants
  - Accelera Diagnostics; EcoPesticides International; Electroseq; SKINfrared; SolaranRx; VisionQuest Biomedical LLC; XL Scientific LLC
- Prospective Tenants
  - Magic Dragon; TruSecSys; Trilumina; Sandia Electro-Optics; Imagars; Batterade LLC; Dynamic Photonics; AnnSight; Portable Medical Technology; Liquid Common

# EXPANDING OUR RAINFOREST IN THE DESERT - CULTIVATE:

## *New Mexico Transplant CEOs*

Company	Year Founded	Transplant from
SolaranRx	2014	Pittsburgh
EcoPesticides International, Inc.	2013	Pittsburgh
Eta Diagnostics (Sandia Electro-Optics Corporation)	2013	New York
Biophagy LLC	2013	Boston
Zocere, Inc. (Tyrosine Pharmaceuticals)	2013	Utah
Oligocide	2011	Washington
Avisa Pharma	2011	Pennsylvania
AgilVax	2011	Pittsburgh
Respira Therapeutics	2010	California
NanoMR	2007	California
Intellicyt	2006	Pittsburgh

**38% of STC's New Mexico Active Start-up Companies have transplant CEOs**

# EXPANDING OUR RAINFOREST IN THE DESERT - CULTIVATE:

## *STC.UNM Albuquerque Start-ups Map*



**Comet Solutions** (2155 Louisiana Blvd NE – 2,500 sqft)  
**Intellicyt** (9620 San Mateo Blvd NE – 8,000 sqft)  
**NanoMR** (5741 Midway Park Blvd – 19,000 sqft)  
**Eta Diagnostics** (near Menaul and Carlisle– 13,000 sqft)  
**K&A Wireless** (2617 Juan Tabo Blvd NE)  
**Pucara Engineering and Logistics, LLC** (Tijeras, NM)  
**Exagen Diagnostics** (800 Bradbury Drive SE Suite 108)

### Technology Ventures Corporation (TVC)

**Dynamic Photonics, Ecopesticides International** (1155 University Blvd. SE  
 Shared-office – 225 sqft)

### Incubator Space



**BioScience Center (5901 Indian School Rd NE)**  
 19,500 sqft building; 2500 sqft lab space

- **Biophagy**
- **Oligocide** (1000 sqft lab and office)
- **Avisa Pharma, Inc.**
- **Azano Health**
- **AgilVax**
- **InLight Solutions, Inc.**
- **Respira**

**UNM STP & Cecchi Venture Lab (University & Bradbury)**

- **SKINfrared** (2000 sqft)
- **Lumidigm**
- **Tyrosine Pharmaceuticals**

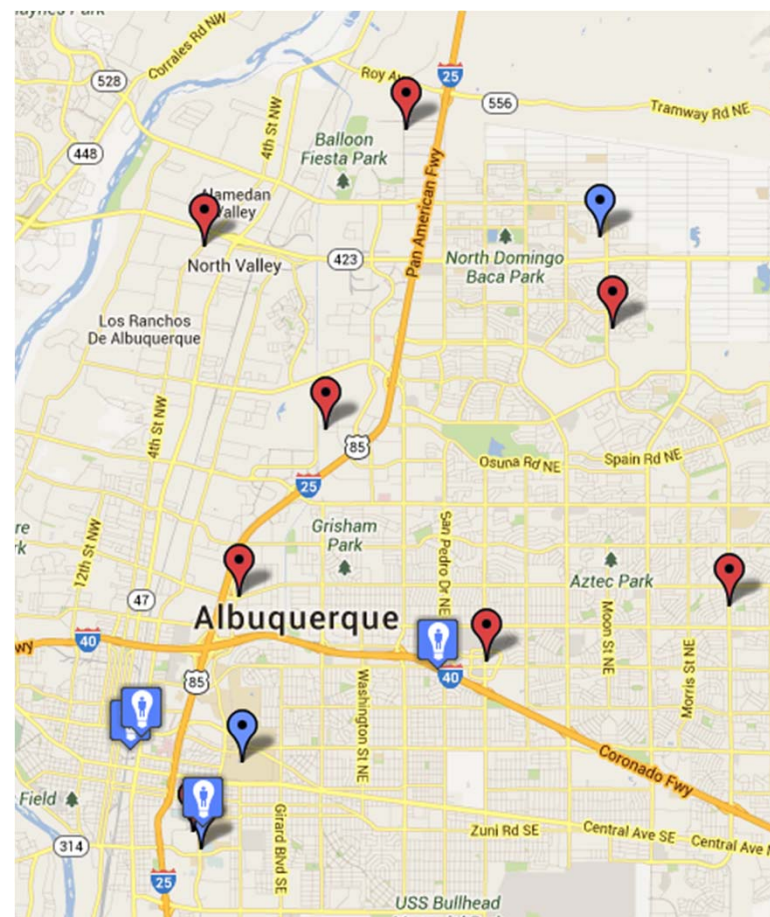
**Verge Building (317 Commercial St. NE)**

- **TruTouch**
- **Pajarito Powder** (200 sqft office; 2000 sqft manufacturing)

**WESST Enterprise Center (609 Broadway Blvd. NE)**

### No designated office space

- **Accelera Diagnostics**
- **PanMuse**
- **Quatros**
- **Transmix Safe Lock, Inc.** (36 sqft desk space)
- **ThermoDynamics Films, LLC** (100 sqft of kitchen)
- **Batterade, LLC**
- **Wedge Health Communications**
- **Alpine Biosciences**
- **Nanocrystal LLC**



# EXPANDING OUR RAINFOREST IN THE DESERT - CULTIVATE:

## *UNM BBER Results – STC.UNM: The Impact of Start-up Companies*

- ❖ The study surveyed 26 companies out of more than 30 that STC has been actively providing services to since 2004.
- ❖ These companies have:
  - Attracted \$17.5 million in venture capital money to the state in 2013;
  - Had sales of \$3.6 million in 2013;
  - Paid over \$5.9 million in salary and benefits in 2013;
  - 83 full-time, part-time and contract employees with average compensation of \$71,065, well above the New Mexico average.
- ❖ The expenditures of the employees of the start-ups have yielded an induced impact of:
  - \$4.7 million in additional economic activity;
  - 41 full and part-time jobs;
  - \$1.6 million in compensation.

# EXPANDING OUR RAINFOREST IN THE DESERT - CULTIVATE:

## *STC STUDENT INTERNS:*

### *SPRING 2014*

- ❖ **Candace Burlingame** (School of Engineering)
  - ❑ Working on BS in Computer Engineering and Sign Language Interpreting
- ❖ **Matthew Davis** (Anderson School of Management)
  - ❑ Received BA in Philosophy
  - ❑ Working on MBA
- ❖ **Patrick Partridge** (Anderson School of Management)
  - ❑ Working on BA in Operations Management
- ❖ **Haruna Nakayama** (Anderson School of Management)
  - ❑ Received BA in Psychology
  - ❑ Graduated with MBA
- ❖ **Andrew (Andy) Anders** (School of Law)
  - ❑ Received BS in Materials Engineering
  - ❑ Received MBA, concentration: Management of Technology
  - ❑ First year law student

### *SUMMER 2014*

- ❖ **Martin Dalton II** (Anderson School of Management)
  - ❑ Received BA in Economics
  - ❑ Working on MBA
- ❖ **Briana Wobbe** (School of Engineering)
  - ❑ Received a BA in Communications
  - ❑ Received Marketing Certificate
  - ❑ Working on BA in Electrical Engineering
- ❖ **Sikai Xie** (School of Engineering)
  - ❑ Received BS in Automation
  - ❑ Working on MS for Computer Engineering
- ❖ **Matthew Davis** (Anderson School of Management)
  - ❑ Received BA in Philosophy
  - ❑ Working on MBA

# EXPANDING OUR RAINFOREST IN THE DESERT - CULTIVATE:

## *ACTIVITY: JOINTLY-OWNED INVENTIONS WITH SANDIA AND LANL*

	Sandia	LANL
Jointly-owned inventions	150	38
Number of Commercialization Agreements	58	23
Number in which STC has taken lead	42	23
Number of option and license agreements executed with STC as lead	17	6
Number of option and license agreements executed with partners as lead	5	-
Income from option and license agreements with STC as lead	\$9,189,840 <sup>(a)</sup>	\$165,668
Start-ups from option and license agreements with STC as lead	2	2
Income from option and license agreements with partners as lead	\$84,770	\$-0-
Start-ups from option and license agreements with partners as lead	2	-

- Currently have approximately 30 outstanding commercialization agreements with Sandia.
- 11 new joint inventions with Sandia disclosed during FY2014.
- Three commercialization agreements with Sandia were executed during FY2014.

*(a) Gross licensing income composed of pooled technologies that also include other non-jointly-owned technologies.*



# EXPANDING OUR RAINFOREST IN THE DESERT - NOURISH:

## *2014 Innovation Awards Dinner*

### ❖ STC.UNM 2014 Innovation Awards Program Cover



# EXPANDING OUR RAINFOREST IN THE DESERT – NOURISH:

## *2014 Innovation Awards Dinner*

### ❖ STC.UNM 2014 Innovation Awards – Awards Recipients

Ladan Arissian, Ph.D.

Plamen B. Atanassov, Ph.D.

Susan R. Atlas, Ph.D.

Ganesh Balakrishnan, Ph.D.

Cristian G. Bologa, Ph.D.

C. Jeffrey Brinker, Ph.D.

Steven R. J. Brueck, Ph.D.

I-Ming Chen, D.V.M.

Christos G. Christodoulou, Ph.D.

Daniel F. Cimino, M.S.

Thomas S. Corbitt, Ph.D.

L. Ralph Dawson, Ph.D.

Vojo P. Deretic, Ph.D.

Jean-Claude Diels, Ph.D.

Bruce S. Edwards, Ph.D.

Hongyou Fan, Ph.D.

Andrew Frauenglass

Steven W. Graves, Ph.D.

Sang M. Han, Ph.D.

Richard C. Harvey, Ph.D.

Majeed M. Hayat, Ph.D.

Elizabeth L. Hedberg-Dirk, Ph.D.

Stephen D. Hersee, Ph.D.

Kerry J. Howe, Ph.D.

Linnea K. Ista, Ph.D.

Tariq A. Khraishi, Ph.D.

Sanjay Krishna, Ph.D.

Yuliya V. Kuznetsova, Ph.D.

Richard S. Larson, M.D., Ph.D.

Seung Chang Lee, Ph.D.

Shuang (Sean) Luan, Ph.D.

Yubin Miao, Ph.D.

Victor Manuel Murray Herrera, Ph.D.

Alexander Neumann, Ph.D.

Jeffrey P. Norenberg, Pharm.D., Ph.D.

Tudor I. Oprea, M.D., Ph.D.

Marek A. Osiński, Ph.D.

Marios S. Pattichis, Ph.D.

Dimitar N. Petsev, Ph.D.

James F. Plusquellic, Ph.D.

Eric R. Prossnitz, Ph.D.

Larry A. Sklar, Ph.D.

Gennady A. Smolyakov, Ph.D.

Mahmoud R. Taha, Ph.D.

Youssef Antoine Tawk, Ph.D.

Bruce M. Thomson, Ph.D.

Graham S. Timmins, Ph.D.

Alan E. Tomkinson, Ph.D.

Peter V. Vorobieff, Ph.D.

David G. Whitten, Ph.D.

Cheryl L. Willman, M.D.



# EXPANDING OUR RAINFOREST IN THE DESERT - NOURISH:

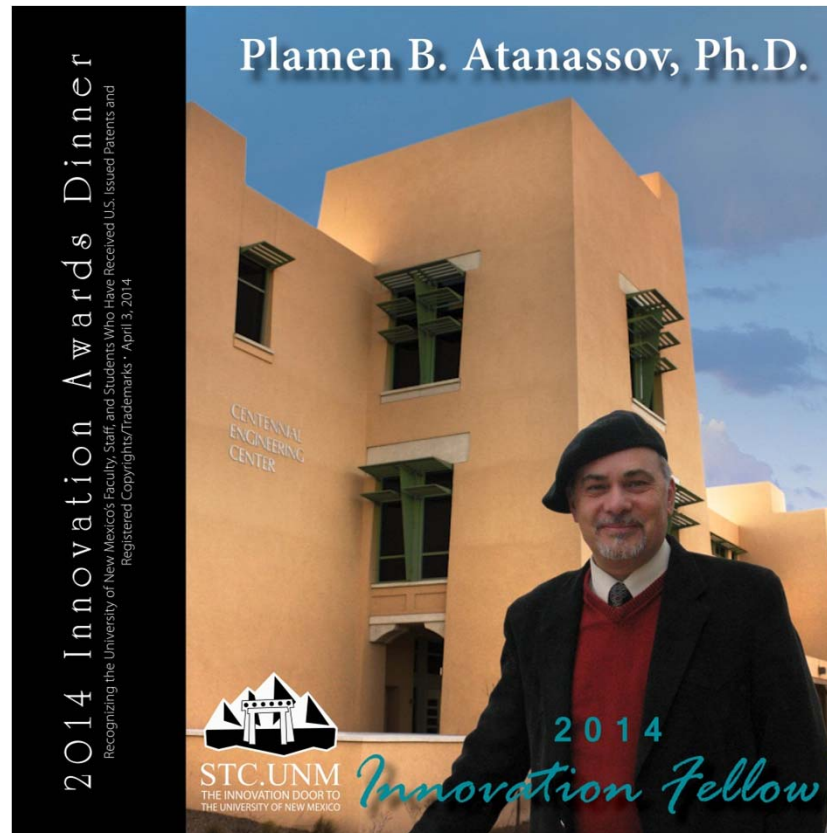
## *2014 Innovation Awards Dinner*

- ❖ STC raised \$20,000 from several of its law firms, which were sponsors at the event
- ❖ The firms were Gold, Silver, and Bronze Sponsors:
  - ❑ Gold level: MH<sup>2</sup> Technology Law Group LLP
  - ❑ Gold level: Valauskas Corder LLC
  - ❑ Silver level: COSUD Intellectual Property Solutions
  - ❑ Silver level: Law Firm of Stadheim & Gear
  - ❑ Bronze level: Mueting, Raasch & Gebhardt, P.A.
  - ❑ Bronze level: Schwegman, Lundberg & Woessner, P.A.
- ❖ The funds were used to provide honorariums to inventors:
  - ❑ \$250 per issued patent to each inventor
  - ❑ \$2,500 to the 2014 Innovation Fellow

# EXPANDING OUR RAINFOREST IN THE DESERT - NOURISH:

## *2014 Innovation Awards Dinner*

- ❖ STC.UNM 2014 Innovation Awards – Innovation Fellow



# EXPANDING OUR RAINFOREST IN THE DESERT - NOURISH:

## *2014 Innovation Awards Dinner*

- ❖ STC.UNM 2014 Innovation Awards – Keynote Speaker
  - Thomas J. Stephenson, Co-founder and Managing General Partner, Verge Fund



# EXPANDING OUR RAINFOREST IN THE DESERT - NOURISH:

*Inventor Royalty Distributions for FY2014 - STC distributed over \$290K in royalties to 59 current or former UNM inventors, 15 of which were first time recipients\**

## *Inventor list*

- ❑ Brian L. Hjelle
- ❑ Brian Wylie
- ❑ Bruce S. Edwards
- ❑ Bryce Chackerian\*
- ❑ Charles F. Hawkins
- ❑ Charles Truman
- ❑ Christian Romano\*
- ❑ David S. Peabody\*
- ❑ Dhruva J. Acharyya
- ❑ Dorian C. Arnold\*
- ❑ Ebenezer Tumban\*
- ❑ Endre Zsigmond
- ❑ Frederick W. Kuckuck III
- ❑ Gabriel Lopez
- ❑ Graham S. Timmins
- ❑ Hitendra Chand
- ❑ Hugh Smyth
- ❑ Jaime M. Hogerty
- ❑ James F. Plusquellic
- ❑ James M. Martinez\*
- ❑ Jeff W. Hill\*
- ❑ John E. Wood
- ❑ Jonathan Nimitz
- ❑ Jonathan Phillips
- ❑ Joseph H. Miller
- ❑ Keiko Kamei
- ❑ Kelly Cameron
- ❑ Larry A. Sklar
- ❑ Laurel Sillerud
- ❑ Laurence Cole
- ❑ Linnea Ista
- ❑ Lowell Miles
- ❑ Martin Donovan
- ❑ Parthiban Selvam\*
- ❑ Randall G. Starling\*
- ❑ Richard Larson
- ❑ Robert Orlando
- ❑ Robert Tapscott
- ❑ Roy Keyes\*
- ❑ Ryan Lee Helinski
- ❑ Seong Won Choi\*
- ❑ Shintaro Kamei
- ❑ Shuang Luan
- ❑ Stephanie R. Skaggs
- ❑ Stephen Hersee
- ❑ Sterling Whitaker
- ❑ Steve A. Jenison
- ❑ Steven R.J. Brueck
- ❑ Surojit Paul\*
- ❑ Terru H. Wu\*
- ❑ Vojo Deretic\*
- ❑ William G. Woodall\*
- ❑ Walter Kiesel
- ❑ William J. Kroenke
- ❑ William Lee Perry
- ❑ William R. Miller
- ❑ Xin Wang
- ❑ Xinyu Sun
- ❑ Zhe Chen

# EXPANDING OUR RAINFOREST IN THE DESERT - NOURISH:

## *ECONOMIC DEVELOPMENT OUTLINE*

- ❖ History – STC.UNM Role in Economic Development
- ❖ FY2014 Accomplishments
- ❖ FY2014 Framework for Economic Development Activities
- ❖ Innovate ABQ
- ❖ International Economic Development
- ❖ FY2015 Economic Development

# EXPANDING OUR RAINFOREST IN THE DESERT - NOURISH:

## *HISTORY - STC.UNM ROLE IN ECONOMIC DEVELOPMENT*

- ❖ **March 1, 2013** – STC and UNM entered into an Implementation Plan Agreement regarding the management of economic development activities:
  - be the outward-looking face of UNM for economic development, including representing UNM in economic development matters;
  - coordinate UNM activities related to economic development, including being the point of contact for UNM on communications related to economic development;
  - convene and support the Economic Development Forum (EDF)
  - disseminate the Rainforest concept within UNM and in the larger Albuquerque community;
  - represent UNM in the “Innovate ABQ” initiative; and,
  - represent UNM in international technology transfer and economic development matters, as requested.

# EXPANDING OUR RAINFOREST IN THE DESERT - NOURISH:

## *HISTORY - STC.UNM ROLE IN ECONOMIC DEVELOPMENT*

- ❖ Funding from UNM to STC for Economic Development (separate from patenting and licensing activity)
  - \$20,144 for FY2013
  - \$281,946 for FY2014

# EXPANDING OUR RAINFOREST IN THE DESERT - NOURISH:

## *FY2014 ECONOMIC DEVELOPMENT ACCOMPLISHMENTS*

- ❖ Raised the visibility of UNM's role in economic development activities
- ❖ Represented UNM in many economic development venues
- ❖ Established Economic Development Forum as mechanism to interact with business community
- ❖ Established Economic Development Council as vehicle to coordinate UNM's economic development efforts and introduce external economic development related activities broadly to UNM
- ❖ Led Innovate efforts on behalf of UNM
- ❖ Spearheaded international economic development efforts, with a special focus on Japan
- ❖ Secured funding for UNM
  - \$1.5 million received from the U.S. Economic Development Administration
  - \$10,000 received by UNM Athletics/Track and Field Team
  - \$10,000 received by UNM for Fiber Laser sponsored research (total project \$90,000)
  - \$1 million committed to UNM CEET-DOE EPSCORE project and micro EMS project based on U.S.-Japan Smart Grid Project in New Mexico



# EXPANDING OUR RAINFOREST IN THE DESERT - NOURISH:

## *FY2014 ECONOMIC DEVELOPMENT ACTIVITIES*

- ❖ Conducted two baseline economic development surveys
  - External Business Community – May 2013
  - Internal UNM Community – October 2013
- ❖ Administered one-year External Business Community survey to show progress – closed June 30th



# UNM ECONOMIC DEVELOPMENT BASELINE EXTERNAL SURVEY RESULTS MAY 2013

## Strengths

- ☐ Maintains technology transfer capacity for licensing/patenting university discoveries.
- ☐ Actively promotes faculty research collaborations with industry.\*
- ☐ Has efficient procedures to secure research contracts, licenses, and other agreements with industry.\*
- ☐ Maintains user-friendly portals & websites to search for faculty/staff expertise and R&D facilities.\*
- ☐ Delivers courses & programs in a manner flexible enough to enable students and community workforce members to update their skills & credentials.
- ☐ Makes available cooperative research centers and/or laboratory facilities to external partners.\*

## Areas for Improvement

- ☐ Enhances small business development with supportive programs (i.e., seed funding, incubators, technical assistance, etc.).\*
- ☐ Contributes to an infrastructure that supports early-stage innovation, (i.e., proof of concept, R&D, pilot facilities, and venture capital).\*
- ☐ Seeks partnerships with government at federal, state, and local levels to create and attract new businesses/ industry clusters.
- ☐ Works with regional leaders to capitalize on the university's cultural and athletic activities to cultivate a dynamic local environment to attract a highly-skilled workforce.\*
- ☐ Provides infrastructure for entrepreneurship and the creation of start-up/spin-off businesses.\*
- ☐ Emphasizes contributing to economic growth as one of its priorities



# UNM ECONOMIC DEVELOPMENT EXTERNAL SURVEY RESULTS JUNE 2014

## Strengths

- ☐ Emphasizing contributions to economic growth as one of its priorities.
- ☐ Working alongside business and community leaders to identify actionable economic growth priorities..
- ☐ Working alongside government officials to determine actionable economic growth priorities.
- ☐ Seeking partnerships with government at federal, state, and local levels to create and attract new businesses and industry clusters.
- ☐ UNM ensures that university activities benefit the public by maintaining technology transfer capacity for licensing/patenting university discoveries.
- ☐ Fostering public-private partnerships and programs, including those with national laboratories and local and regional industry.
- ☐ UNM contributes to the development of an Innovation economy by: maintaining technology transfer capacity for licensing/patenting university discoveries.

## Areas for Improvement

- ☐ Promoting problem-solving for community or industry needs.
- ☐ Ensuring that faculty are knowledgeable about opportunities and benefits related to participation in regional economic development activities.
- ☐ Identifying and tracking statutes, mandates, and governmental policies related to economic development, and informing colleagues and partners of relevant issues.
- ☐ Connecting economic actors across organizational boundaries to facilitate collaborations that otherwise might not occur.
- ☐ Delivering courses and programs in a manner flexible enough to enable students and community workforce members to update their skills and credentials.
- ☐ Supporting alignment of graduate and continuing education curricula with needs of industry.
- ☐ Aligning education and talent development (undergraduate and graduate; degree, certificate, and continuing education) programs with regional needs.

A strength from 2013 survey

An area of improvement from 2013 survey

# EXPANDING OUR RAINFOREST IN THE DESERT - NOURISH:

## *FY2014 ECONOMIC DEVELOPMENT ACTIVITIES*

### ❖ Economic Development Forum

- 130 members from the business community
- Monthly Meetings beginning August 24, 2012
- Topics/Presenters:
  - UNM Economic Development Summit
  - GACC (Terri Cole)
  - CHTM (Steve Brueck)
  - UNM's Legislative Priorities (Marc Saavedra)
  - UNM Research Office
  - Angel Investments (John Chavez)
  - BBER's Role (John McGraw)
  - AFRL (William Cooley)
  - HSC (Eric Prossnitz)
  - Perkins & Will
  - Signet Enterprises
  - State of New Mexico Economic Development Strategy (Elizabeth Davis)
  - UNM Global Activities (Mary Anne Saunders)
  - Larry Sklar, UNM Inventor
  - MRCOG (Dewey Cave)
  - CEET (Andrea Mammoli)
  - UNM Japan Trip (Plamen Atanassov)
  - Sandia Electro-Optics Corporation
  - Eta Diagnostics, Inc. (Michael Cumbo)
  - UNM Arts Lab Presentation (Tim Castillo)
  - Wheels Museum (Leba Freed)
  - UNM College of Fine Arts (Kymberly Pinder)

# EXPANDING OUR RAINFOREST IN THE DESERT - NOURISH:

## *FY2014 ECONOMIC DEVELOPMENT ACTIVITIES*

### ❖ UNM Economic Development Council

- Members: Doug Brown, Steve Brueck, Joe Cecchi, Andrew Cullen, Michael J. Dougher, Christopher Dyer, Vi Florez, Geraldine Forbes, Wynn Goering, David Herring, Kate Krause, Paul Krebs, Sanjay Krishna, Richard Larson, Alice Letteney, Tim Lowrey, Kevin Malloy, Catherine O'Neil, Monica Orozco, Cedric Page, Carol Parker, Mark Peceny, Kymberly Pinder, Eric Prossnitz, Nancy Ridenour, Mario Rivera, Roger Schluntz, Andy Shreve, Wilmer Sibbitt, Graham Timmins, Karen Wentworth, Amy Wohlert
- Monthly meetings beginning June 26, 2013
- Topics/Presenters:
  - Innovate ABQ (Lisa Kuuttilla)
  - College of Fine Arts Presentation (Kymberly Pinder)
  - CHTM (Steve Brueck)
  - School of Medicine Presentation (Eric Prossnitz and Wilmer Sibbitt)
  - Anderson School of Management Presentation (Doug Brown)
  - College of Education / Mission Graduate (Vi Florez)
  - College of Pharmacy (Graham Timmins)
  - Athletic Department (Paul Krebs)
  - Economic Development Panel (Gary Oppedahl, Mayling Armijo, Gary Tonjes)
  - School of Public Administration (Amy Wohlert)
  - Arts Lab (Tim Castillo)
  - Winrock Development Project (Gary Goodman)
  - City of ABQ's Open Date Portal (Peter Ambbs)
  - University College (Kate Krause)
  - College of Nursing (Nancy Ridenour)
  - Extended Learning (Monica Orozco)
  - UNM West (Wynn Goering)

# EXPANDING OUR RAINFOREST IN THE DESERT - NOURISH:

## ***FY2014 ECONOMIC DEVELOPMENT ACTIVITIES***

- ❖ **DEVELOPING INVENTORY OF UNM ECONOMIC DEVELOPMENT ASSETS**
  - COLLECTED INFORMATION FROM FINE ARTS, ARTS LAB, ANDERSON, COLLEGE OF EDUCATION, PUBLIC ADMINISTRATION, UNIVERSITY COLLEGE/HONORS COLLEGE, EXTENDED LEARNING, CENTER FOR HIGH TECH MATERIALS, SCHOOL OF MEDICINE, PHARMACY, NURSING, ATHLETICS
- ❖ **WORKING WITH UCAM ON DEVELOPING SECTION OF UNM WEBSITE**
  - TARGET DATE END OF CALENDAR YEAR 2014 FOR COMPLETION
- ❖ **VISIT TO KAUFFMAN FOUNDATION, KANSAS CITY WITH CITY OF ABQ**
- ❖ **VISIT TO AUSTIN CHAMBER OF COMMERCE AND INNOVATE AUSTIN EVENT WITH CITY OF ABQ AND PNM**
- ❖ **VISIT TO LIVING CITIES INTEGRATION INITIATIVE IN CHICAGO WITH CITY OF ABQ**
- ❖ **UEDA SUMMIT RALLY**

STC held 566 meetings related to economic development  
in FY2014.

# EXPANDING OUR RAINFOREST IN THE DESERT - NOURISH:

## ECONOMIC DEVELOPMENT – INNOVATE



# EXPANDING OUR RAINFOREST IN THE DESERT - NOURISH:

## *INNOVATION PARTNERS*





# EXPANDING OUR RAINFOREST IN THE DESERT - NOURISH:

## FY2014 ACTIVITIES – INNOVATE BRIEFINGS

❖ Asset Works	❖ New Mexico MEP	❖ NM Tourism Department	❖ PNM	❖ NM PBS
❖ Air Force Research Laboratory	❖ ITRI International	❖ PNM Resources	❖ Sandia National Laboratories	❖ Summit Architecture
❖ The BioScience Center	❖ New Mexico Film Office	❖ Sandia	❖ Albuquerque High Lofts	❖ 2030/o Electric Industries
❖ Office of U.S. Senator Martin Heinrich	❖ SDV Construction	❖ TVC	❖ UNM Extended Learning	❖ Wifinity Technologies LLC
❖ Bernalillo County	❖ Carlos Romero, Technology, Copyright & Trademark Counsel	❖ Conoco Phillips	❖ KRQE	❖ ABQ Bio Park
❖ NM Tech Council	❖ LA Biomed	❖ Maker Faire ABQ	❖ LFC	❖ Dora Wang, SOM Faculty
❖ New Mexico Economic Development Department	❖ Bernalillo County Commission Meetings (1/7/14, 2/11/14)	❖ Lobo Development	❖ County Commissioners	❖ Albuquerque South Valley Small Business Development Center (ASVSBDC)
❖ City of Albuquerque	❖ ABQ Coworking Alliance	❖ Wells Fargo	❖ Executive Cabinet	❖ Pueblo of Laguna
❖ Lovelace Respiratory Research Institute	❖ Santa Fe Innovation Park	❖ NM Junior College	❖ Regents	❖ Sandia National Lab
❖ Cottonwood Technology Fund	❖ Tim Nisly, South Valley Economic Development	❖ Creative Albuquerque	❖ Fiore Industries	❖ Main Street Crowd
❖ Downtown Action Team (DAT)	❖ Yun Li, US-China Incubator	❖ Railyards Project	❖ SIPI	❖ ABQid
❖ WESST	❖ STEPS	❖ Lockheed Martin	❖ Congressman Ben Ray Lujan's Office	❖ SIVI in Los Alamos
❖ HD3	❖ U.S. Small Business Administration	❖ TEDxABQ	❖ Rio Rancho Economic Development Corporation	❖ Rio Grande Solar
❖ SBA	❖ UBS Financial Services	❖ Sandia S&TP	❖ CNM	❖ GINET
❖ PNM	❖ Presbyterian Healthcare Services - Director of Innovation and Process Improvement	❖ NMSU	❖ Edo Neighborhood Association	❖ Architecture 2030
❖ CNM	❖ NM Innovation Alliance	❖ SF Brown Real Estate	❖ MRCOG	❖ Scientific Solutions LLC
❖ Creative ABQ	❖ Southwest Center for Sustainability	❖ Booz Allen	❖ Martineztown	❖ North Central New Mexico
❖ Finance NM	❖ Santa Fe Business Incubator	❖ Accion	❖ DowntownABQ	❖ L&D Associates Consulting Group represent Pueblo of Acoma
❖ Fiore Industries	❖ Region 3, State of NM's, Econ Dev Dept	❖ MRCOG	❖ Mainstreet – Arts Cultural District	❖ XL Scientific LLC
❖ Coca-Cola Accelerator	❖ Portable Medical Technology	❖ Quelab	❖ UNM Biochemistry Research Professor	❖ HED
❖ PNM	❖ FBT Architects	❖ United Way – Central NM	❖ CauseLabs (local entrepreneur)	❖ NM Board of Finance
❖ The BioScience Center	❖ CIO, City of Albuquerque	❖ Ripe Inc.	❖ Institute of Real Estate Management	❖ Sustainable Valley technology Group
❖ NM Society of Professional Engineers	❖ WESST	❖ Congressional Staff Academy	❖ J.W. Industries (local company)	❖ Akal Security, KIIT
❖ UNM School of Public Administration Advisory Board		❖ Windward Commercial Corp	❖ Peter Holter, Management Consulting Services	❖ Department of Nuclear Engineering
❖ Sawmill Community Land Trust		❖ LAVU Inc.	❖ Senspex (local company)	
❖ Bank of the West		❖ PADT, Inc.	❖ SCORE	
❖ Global Center for Cultural Entrepreneurship		❖ Leverage Legal Group	❖ Studio Southwest Architects	
		❖ Albuquerque Council for International Visitors		
		❖ Windward Commercial PARTner, New Mexico		
		❖ Martineztown South		
		❖ McCune Foundation		
		❖ Qynergy		
		❖ Wheels Museum		
		❖ NEDO		

# EXPANDING OUR RAINFOREST IN THE DESERT - NOURISH:

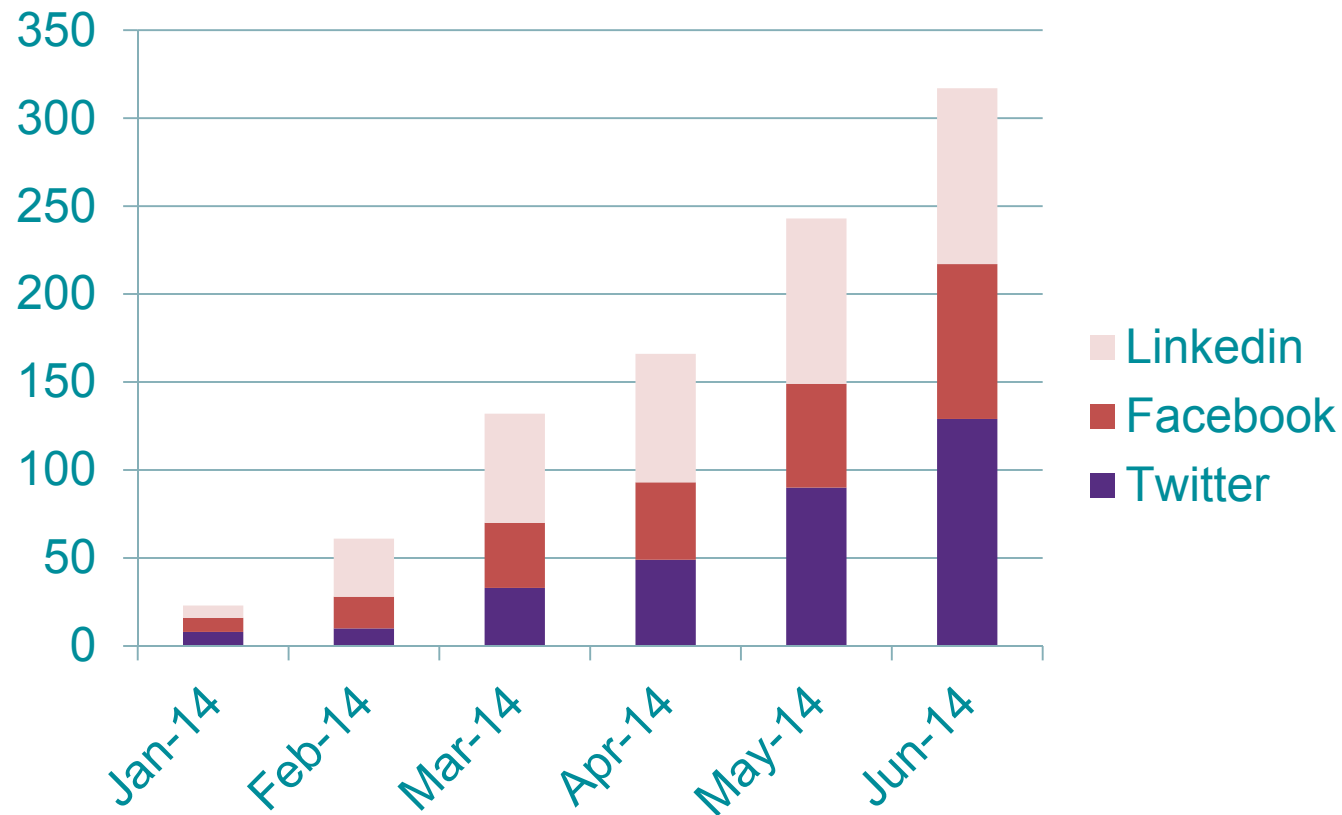
## *FY2014 ACTIVITIES – INNOVATE PRESENTATIONS*

- ❖ New Mexico Angels
- ❖ Albuquerque Economic Development
- ❖ NM Bio
- ❖ School of Public Administration Advisory Board
- ❖ Center for Biomedical Engineering Advisory Committee
- ❖ NAOIP Luncheon - "Innovation Corridor Nob Hill to Old Town - Future Economic/Real Estate Engine?"
- ❖ UNM Alumni Association Board of Director's Meeting
- ❖ Anderson Alumni Board
- ❖ Presbyterian Healthcare Services (Process engineers and innovation lab team)
- ❖ Economic Forum of ABQ
- ❖ Greater Albuquerque Chamber of Commerce Board Meeting
- ❖ Radio Interview (1/9/14) – 770 KKOB
- ❖ Radio Interview (1/24/14) – 1600 AM KIVA
- ❖ New Mexico Society of Professional Engineers
- ❖ ASAR Committee
- ❖ UNM Board of Regents' Academic/Student Affairs and Research
- ❖ IREM



# EXPANDING OUR RAINFOREST IN THE DESERT - NOURISH:

## *FY2014 Activities - Innovate ABQ Social Media Followers:*



# ECONOMIC DEVELOPMENT – INTERNATIONAL



# MOU WITH CONSORTIUM OF 11 JAPANESE UNIVERSITIES' TECHNOLOGY TRANSFER OFFICES

UNIVERSITY CONSORTIUM FOR INTERNATIONAL INTELLECTUAL PROPERTY COORDINATION (UCIP) HAS SIGNED A MOU ON JULY 2010 ESTABLISHING COOPERATION IN THE FIELDS OF RESEARCH, DEVELOPMENT AND COMMERCIALIZATION WITH STC.UNM.

 University of Yamanashi	 Saitama University	 KOBE UNIVERSITY
 SHIBAURA INSTITUTE OF TECHNOLOGY	 National University Corporation Shizuoka University	 SHINSHU UNIVERSITY
 Chiba University	Niigata University of Pharmacy and Applied Life Sciences	 UEC The University of Electro-Communications
 YNU YOKOHAMA National University	 Niigata University	

- ❖ SYMPOSIUM ON INTERNATIONAL INDUSTRY-ACADEMIA COLLABORATION IN TOKYO, FEBRUARY 2012
- ❖ SPONSORED RESEARCH PROJECT WITH UNM, UNIVERSITY OF YAMANASHI, AND PNP ON FIBER LASER PROJECT SINCE 2012
- ❖ 2 TECHNOLOGY MARKETING PROJECTS FROM CHIBA UNIVERSITY
- ❖ VISIT TO YAMANASHI UNIVERSITY IN JANUARY 2014



# US- Japan Smart Grid Project



New  
Energy  
Development  
Organization

**NEDO microgrid project with UNM CEET at Mesa del Sol:  
\$15 million equipment was transferred to UNM in April 2014**



# UNM MISSION TRIP TO JAPAN IN 2014

## *Objectives of the Trip*

- ❖ Courtesy visit to METI (Ministry of Economy Trade and Industry of Japan) for long-standing relationship between Japan and New Mexico
- ❖ Meeting with Chairman of NEDO (New Energy and Industrial Technology Development Organization) for expansion of our partnership for New Mexico(US)-Japan Smart Grid Demonstration Project (The project has transferred to UNM in March, 2014)
- ❖ Innovate ABQ International Partnership Development
- ❖ US-Japan Energy Endowment Chair Position (SOE's interest)
- ❖ Partnership development in the Field of Research, Development & Commercialization with Japanese universities
- ❖ Partnership development with JOC (Japanese Olympic Committee) and UNM for high altitude training

# EXPANDING OUR RAINFOREST IN THE DESERT - NOURISH:

## OUTCOMES

- ❖ NEDO (New Energy and Industrial Technology Development Organization) and METI (Ministry of Economy Trade and Industry) promised to fund for UNM CEET-DOE EPSCORE project and micro EMS project based on US-Japan Smart Grid Project in New Mexico (\$1 million for 3 years)
- ❖ Building stronger partnership with UCIP (University Consortium for International Intellectual Property Coordination) and its 11 universities
  - ❑ Developed a Fiber laser device project with University of Yamanashi, PNP, and CHTM(\$85,000 research funding by PNP and METI)
  - ❑ Signed outside invention agreement between STC and Chiba university on licensing deal on US issued patent from Chiba(50/50 share on licensing)
- ❖ Signed MOU between STC and Kanazawa University TLO for establishing cooperation in the fields of research, development and commercialization
- ❖ Coordinated a Volunteer Coach from JAAF (Japan Association of Athletics Federation)/JOC (Japan Olympic Committee) to UNM Track and Field Team, Athletics Department (\$10,000 donation)
- ❖ Coordinating Departmental Agreement between Tsukuba University and UNM Health Exercise Sports Science, College of Education for summer faculty exchange program (TU to visit UNM again in July)



# EXPANDING OUR RAINFOREST IN THE DESERT - NOURISH:

## OUTCOMES, CONTINUED

- ❖ Introduction to UNM Global Education Office
  - ❑ Kanazawa University-Exchange Students Program
  - ❑ Yamanashi University- Exchange Students Program
- ❖ STC's Professional Internship Academy
  - ❑ Kumamoto University, HIGO program (4-5 grad students, November 2014, \$1000 per week)
  - ❑ Kanazawa University TLO (1 President of TLO, October 2014, \$1000 per week)
  - ❑ Ritsumeikan University (UNM has a MOU, applied a grant to MEXT to come to STC for training in the future)
- ❖ Kyushu Institute of Technology visited UNM in March, 2014-R&D opportunity with COSMIAC and AFRL
- ❖ JETRO(Japan External Trade Organization) and Consulate General of Japan visited NM in March, 2014 to foster US and Japan's partnership
- ❖ Sumitomo Electric Industries visited UNM in April and May, 2014 for CPV demonstration project (Expected research funding \$400,000)
- ❖ Assisting Japanese Corporation's Track and Field Teams to come to Albuquerque for high altitude training and Foreign Direct Investment
  - ❑ 113 athletes and 96 staff (total of 209) came to ABQ from September to June of 2014
  - ❑ 11 teams visited to ABQ ; Edion, Temmaya, Otsuka Pharmaceutical, TOTO, Daihatsu Motors, Shiseido, DeNA, Daiichi Life, Tokai TV, Panasonic and Japan National Team

# EXPANDING OUR RAINFOREST IN THE DESERT - NOURISH:

***STC HOSTS A REGULAR SEMINAR SERIES AND OTHER EVENTS, LOOKING FOR WAYS TO ENHANCE NETWORKING OPPORTUNITIES***

## ❖ **STC Seminars**

- ❑ “Protecting Your Assets in Europe: patenting in a Multinational Environment,” presented by Heinz Mueller, Ph.D., Swiss Federal Institute of Intellectual Property and University of Basel, Switzerland, August 19, 2013
- ❑ “Moving Your Technology Forward: How to Decide If a Start-up Company Is Right for You,” presented by Lisa Kuuttila (moderator), Dr. Sanjay Krishna, Dr. Laurel Sillerud, and Dr. Steve Graves, September 5, 2013
- ❑ “University Start-ups: How Do They Really Work?“, Tech Fiesta Albuquerque, presented by Lisa Kuuttila (moderator), Dr. Plamen Atanassov and Dr. Graham Timmins, September 12, 2013
- ❑ “Microfinance, Technology and Funding Your Start-up Business,” presented by Jordan van Rijn, Senior Loan Officer, Accion, September 24, 2013
- ❑ “Insurance Basics for Entrepreneurs: How to Manage the Risk in Your Life,” presented by Thom Turbett, President & CEO, Independent Insurance Agents of NM Inc. (IIANM), October 9, 2013
- ❑ “The Launch of Sandia Electro-Optics Corporation,” Michael Cumbo, Ph.D., CEO, STC start-up Eta Diagnostics Incorporated, October 24, 2013

# EXPANDING OUR RAINFOREST IN THE DESERT - NOURISH:

***STC HOSTS A REGULAR SEMINAR SERIES AND OTHER EVENTS, LOOKING FOR WAYS TO ENHANCE NETWORKING OPPORTUNITIES***

## ❖ ***STC Seminars, Continued***

- ❑ “Recent Developments in Seeking Allowance of Patents - from the Practical to the Political,” Henry Coleman Ph.D., J.D., Partner, Coleman Sudol Sapone P.C., November 12, 2013
- ❑ “Current Topics in Mobile Apps,” presented by Charles C. Valauskas, Esq., Co-Founder and Partner, Valauskas Corder LLC, December 4, 2013
- ❑ “Playing with Fire? Patenting Diagnostic Methods After Mayo v. Prometheus,” presented by Christopher D. Gram, M.S., J.D., Mueting, Raasch & Gebhardt, P.A., April 4, 2014
- ❑ “Equity Crowdfunding for Start-ups: What’s Wrong with This Picture?”, presented by Perry E. Bendicksen III, J.D., Rodey Law Firm, April 9, 2014
- ❑ “Your Most Valuable Asset and the 5 Absolutely Critical Steps for a Brand Worth Millions,” presented by Jessica Eaves Mathews, J.D., Founder and CEO, Leverage Legal Group®, April 30, 2014
- ❑ “Intellectual Property for Profs,” presented by David Parker, Ph.D., J.D., ParkerHighlander PLLC, June 3, 2014

# EXPANDING OUR RAINFOREST IN THE DESERT - NOURISH:

## ❖ Winner of the 2014 Global Innovation Summit's Rainforest Recognitions: The Innovation Ecosystem Awards

- STC has been selected as one of the recipients of the first-ever Innovation Ecosystem Awards
  - STC.UNM catalyzes the ideals of connectivity, diversity, openness, and trust that are essential to the innovation process, and its practices embody the ideals of an innovation ecosystem.
- High-profile recognition at the 2014 Global Innovation Summit and an official press release



# STC FY2014 DATA, INCOME ANALYSIS & FORECAST



# DATA, INCOME ANALYSIS & FORECAST

## ❖ FY2014 Data:

- ❑ STC filed patent applications on **99** of the **119** patent disclosures in FY2014 (**83%** vs. **80%** in FY2013)
- ❑ STC generated **\$548,731** of new (agreements) license revenues in FY2014, representing **51%** of total license revenues (vs. **\$370,058** of new revenues in FY2013 – **22%** of total)
- ❑ Of the **119** total disclosures (patents & copyrights) received in FY2014, representing **82** lead inventors, **30** were first-time inventors (**37%** vs. **31%** in FY2013)

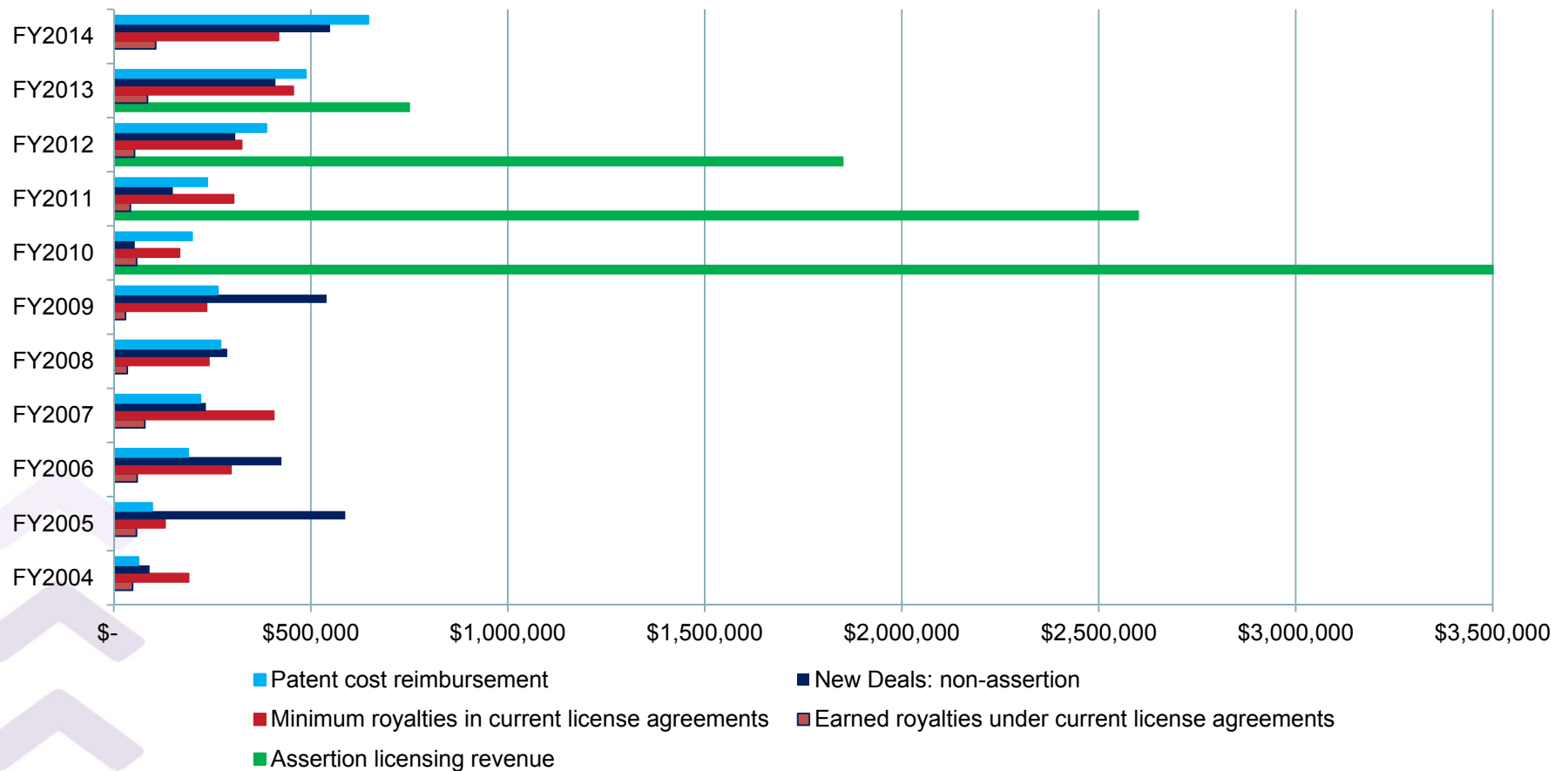
# DATA, INCOME ANALYSIS & FORECAST

## Terminated License Agreements budgeted in FY2014 and Effect on Loss of Current Year and Future Years' Revenue Stream

Company Name	FY2014	FY2015	FY2016	FY2017
Nanocrystal International	\$250,000	\$350,000	\$350,000	\$350,000
Aviana Molecular Technologies	\$75,000	\$5,000	\$10,000	\$25,000
Neovita Biosystems	\$50,000	\$-0-	\$25,000	\$50,000
Attochron (EPRI)	\$5,000	\$5,000	\$5,000	\$5,000
<b>Total</b>	<b>\$380,000</b>	<b>\$360,000</b>	<b>\$390,000</b>	<b>\$430,000</b>

# DATA, INCOME ANALYSIS & FORECAST

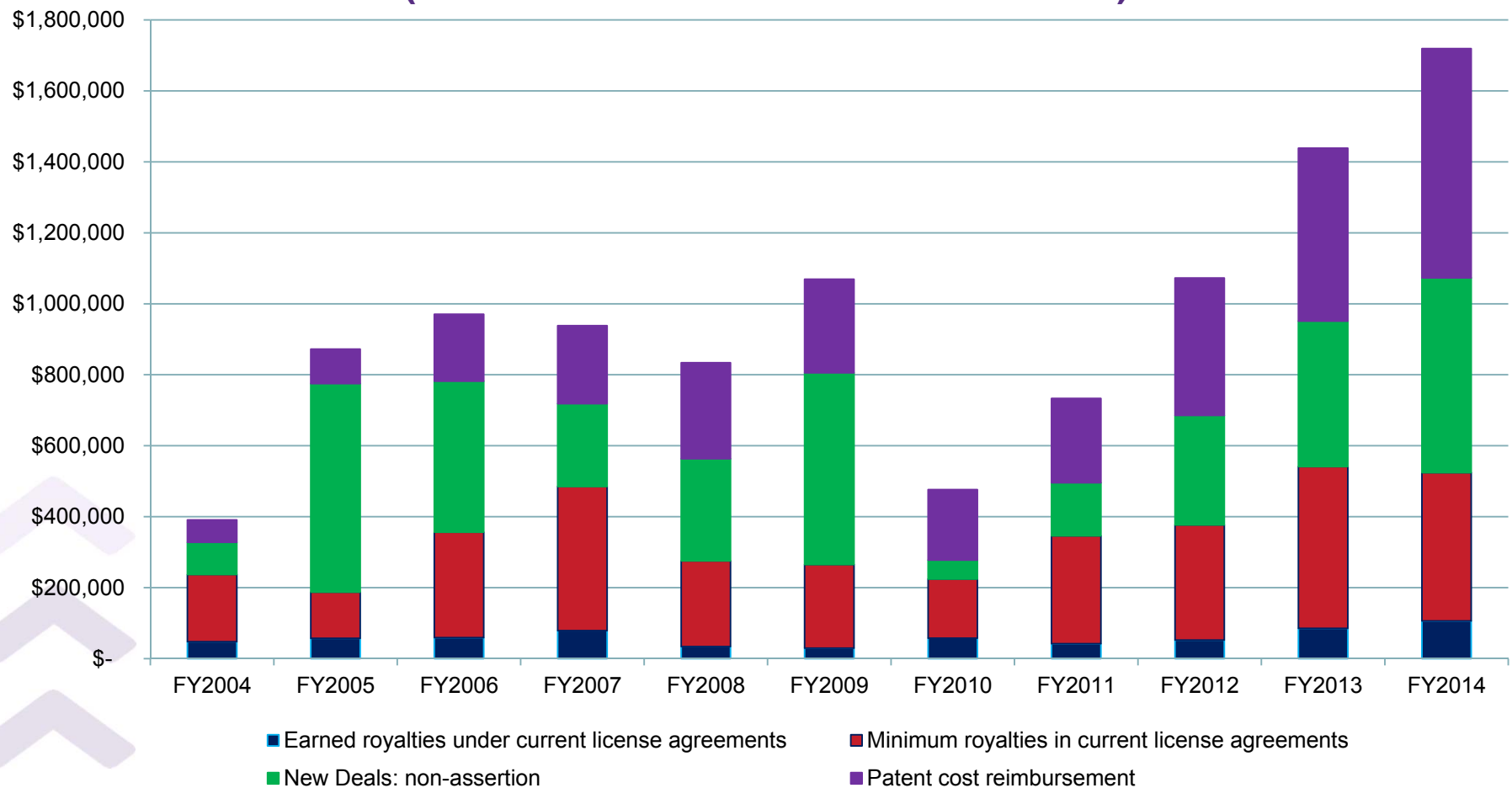
## License and Patent Cost Reimbursement Revenues (includes assertion license revenues)



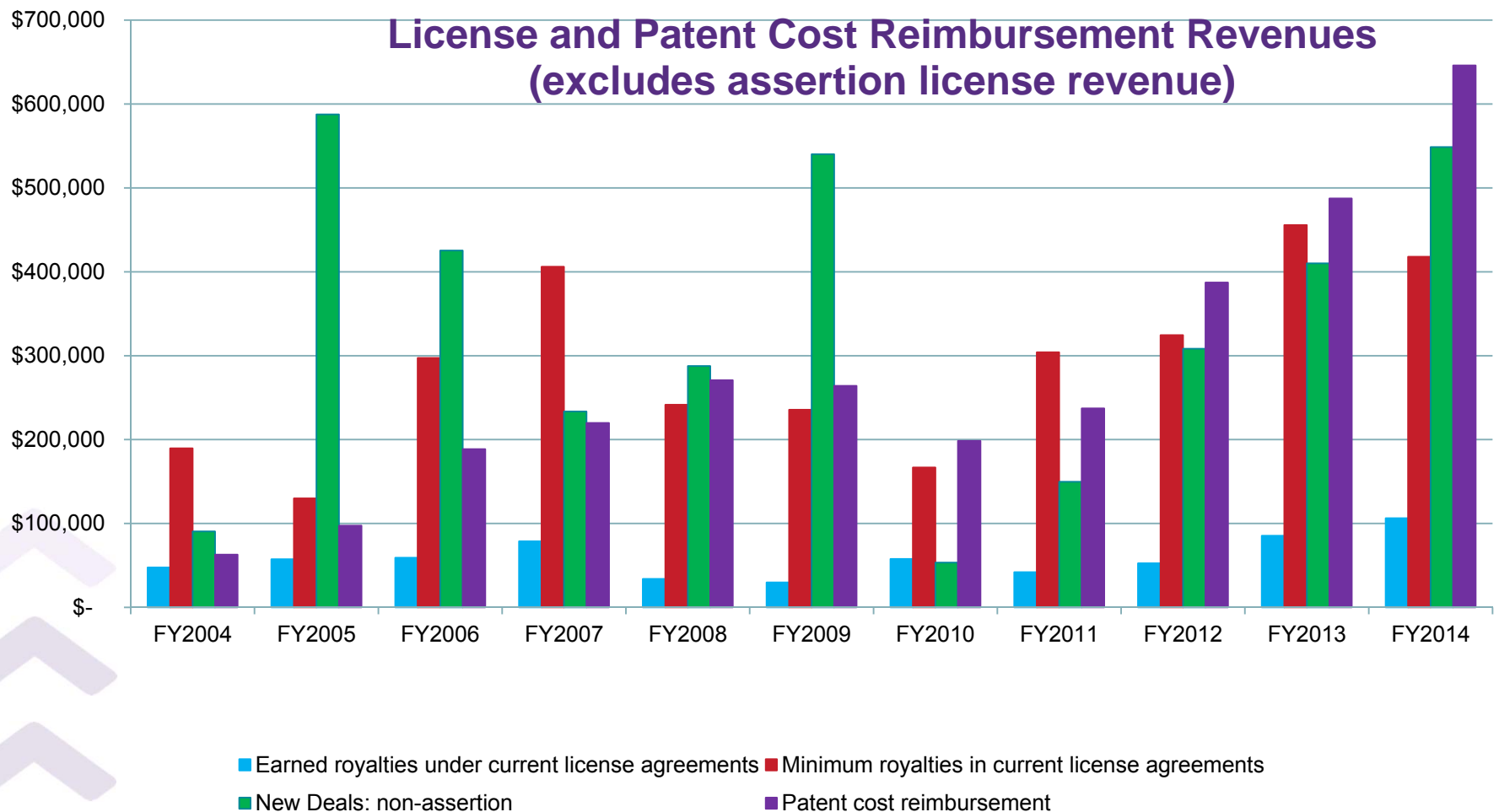


# DATA, INCOME ANALYSIS & FORECAST

## License and Patent Cost Reimbursement Income (excludes assertion license revenues)

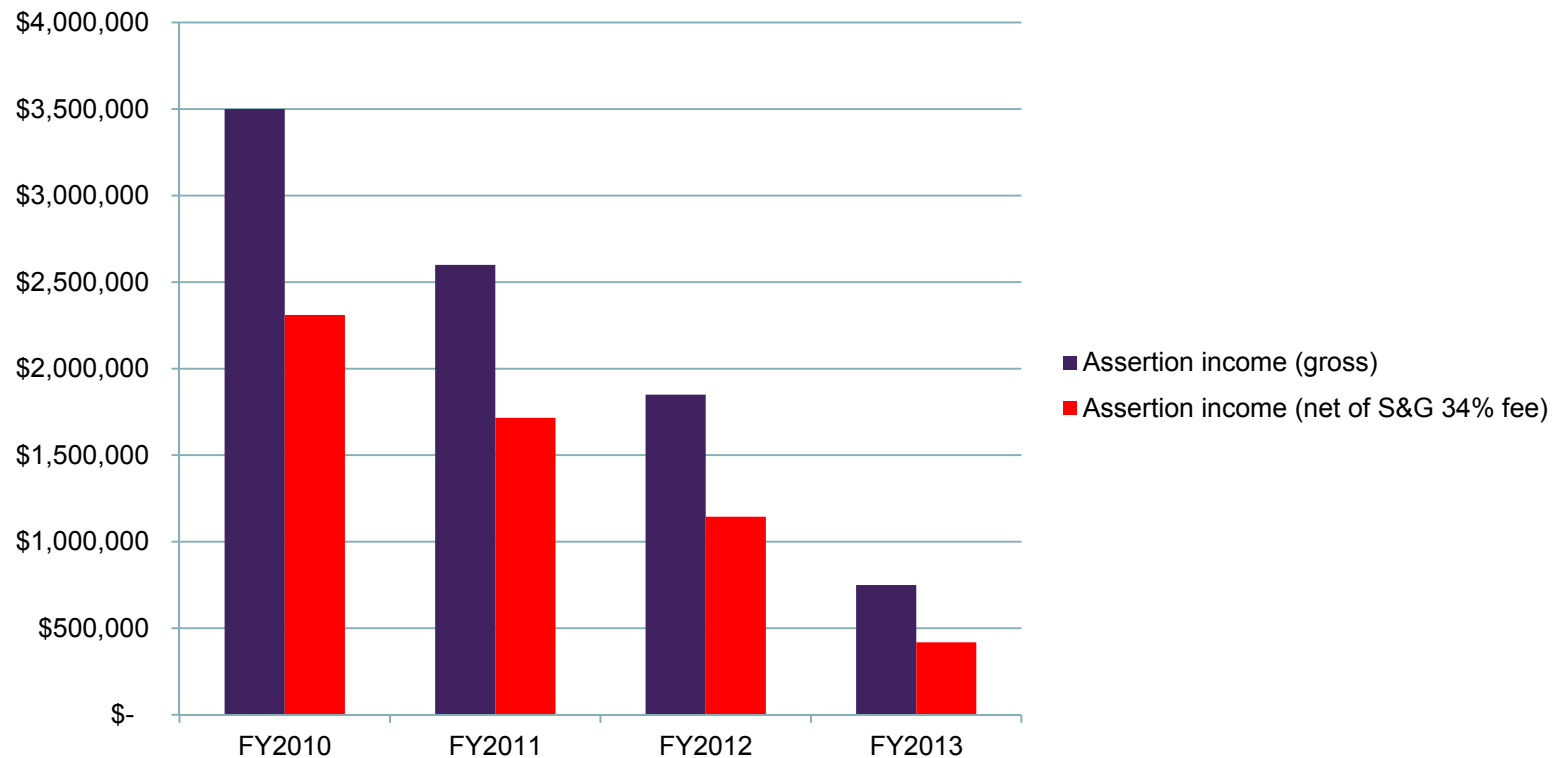


# DATA, INCOME ANALYSIS & FORECAST



# DATA, INCOME ANALYSIS & FORECAST

## Assertion License Revenue

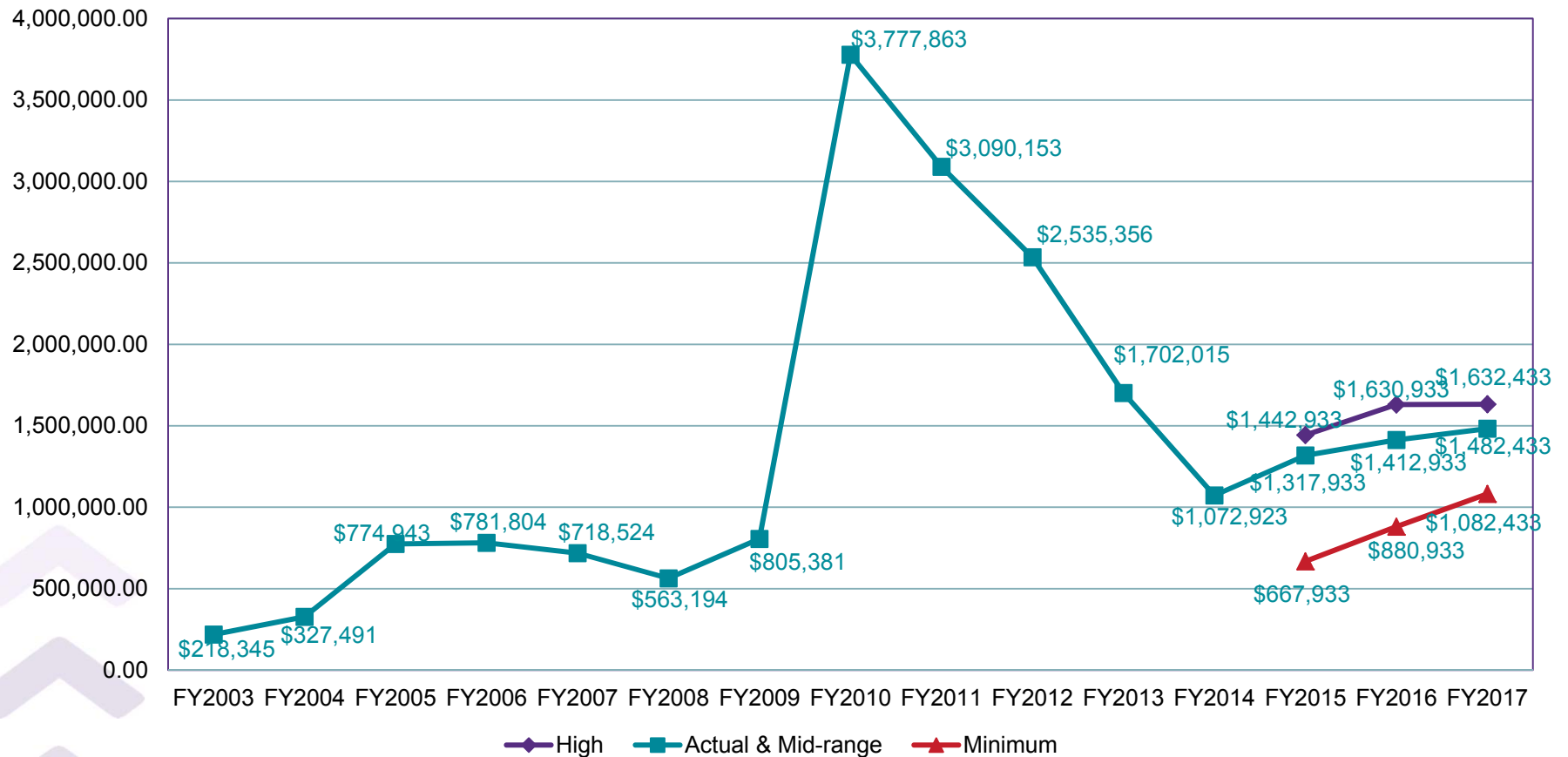


No assertion revenues before FY2010 and after FY2013.

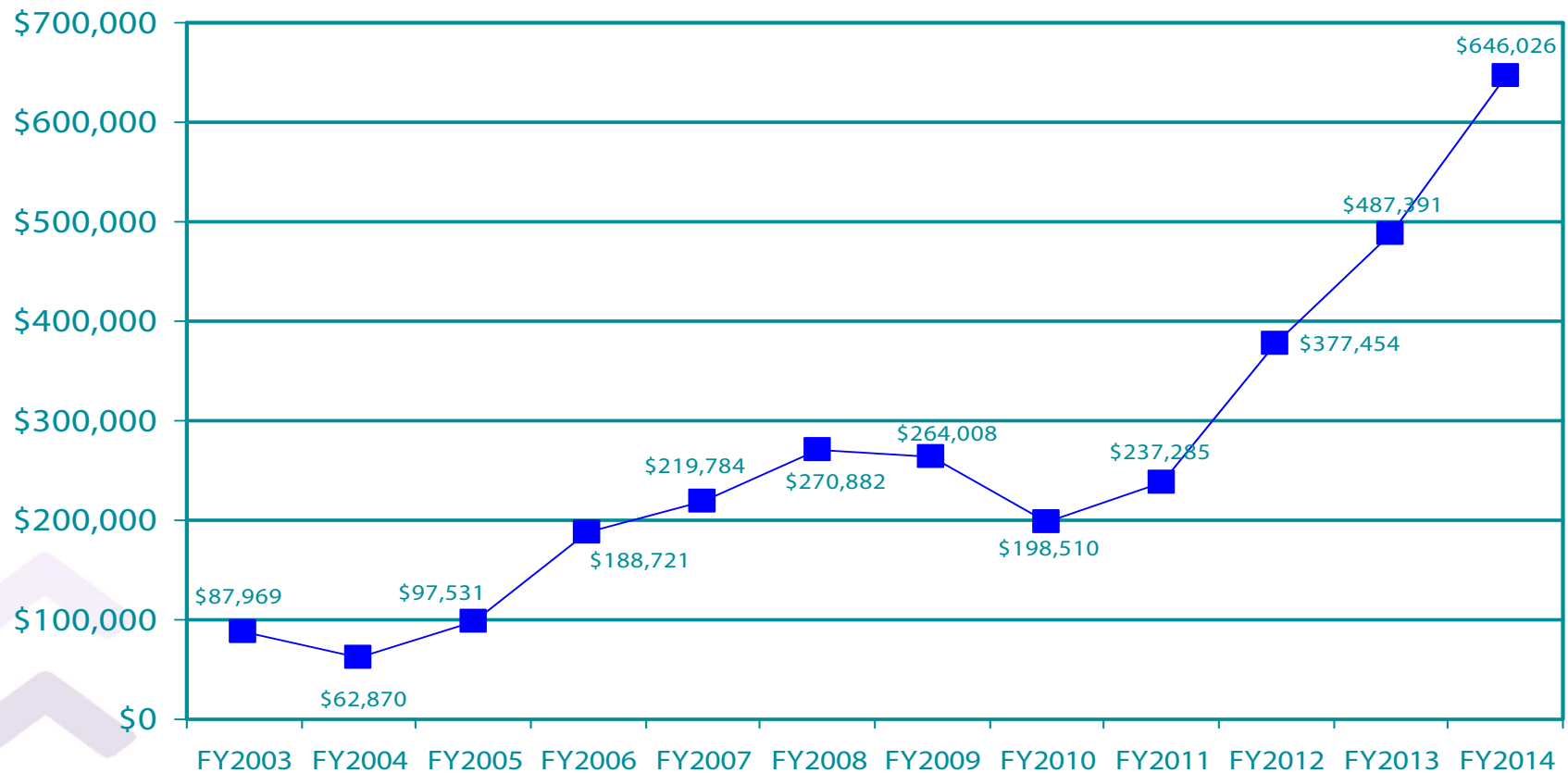
# STC LICENSE REVENUES ACTUAL & FORECAST ASSUMPTIONS (SAME ASSUMPTIONS AS PRIOR YEAR PROJECTIONS)

- ❖ Minimum numbers for FY2015 through FY2017 represent minimum fees and payments from existing licenses.
- ❖ FY2015 mid-level represents approx. **\$650,000** of new license income. FY2015 upper end represents \$775,000 of new license income.
- ❖ FY2016 mid-level represents **\$532,000** of new license income and/or minimums from deals closed in FY2015. FY2016 upper end represents **\$750,000** of new license income and/or minimums from deals closed in FY2015.
- ❖ FY2017 mid-level represents **\$400,000** of new license income and/or minimums from deals closed in FY2015 and FY2016. FY2017 upper end represents **\$550,000** of new license income and/or minimums from deals closed in FY2015 and FY2016.
- ❖ No equity liquidations included.
- ❖ No forecasted terminations of agreements included.
- ❖ No unknown substantial earned royalties forecasted.

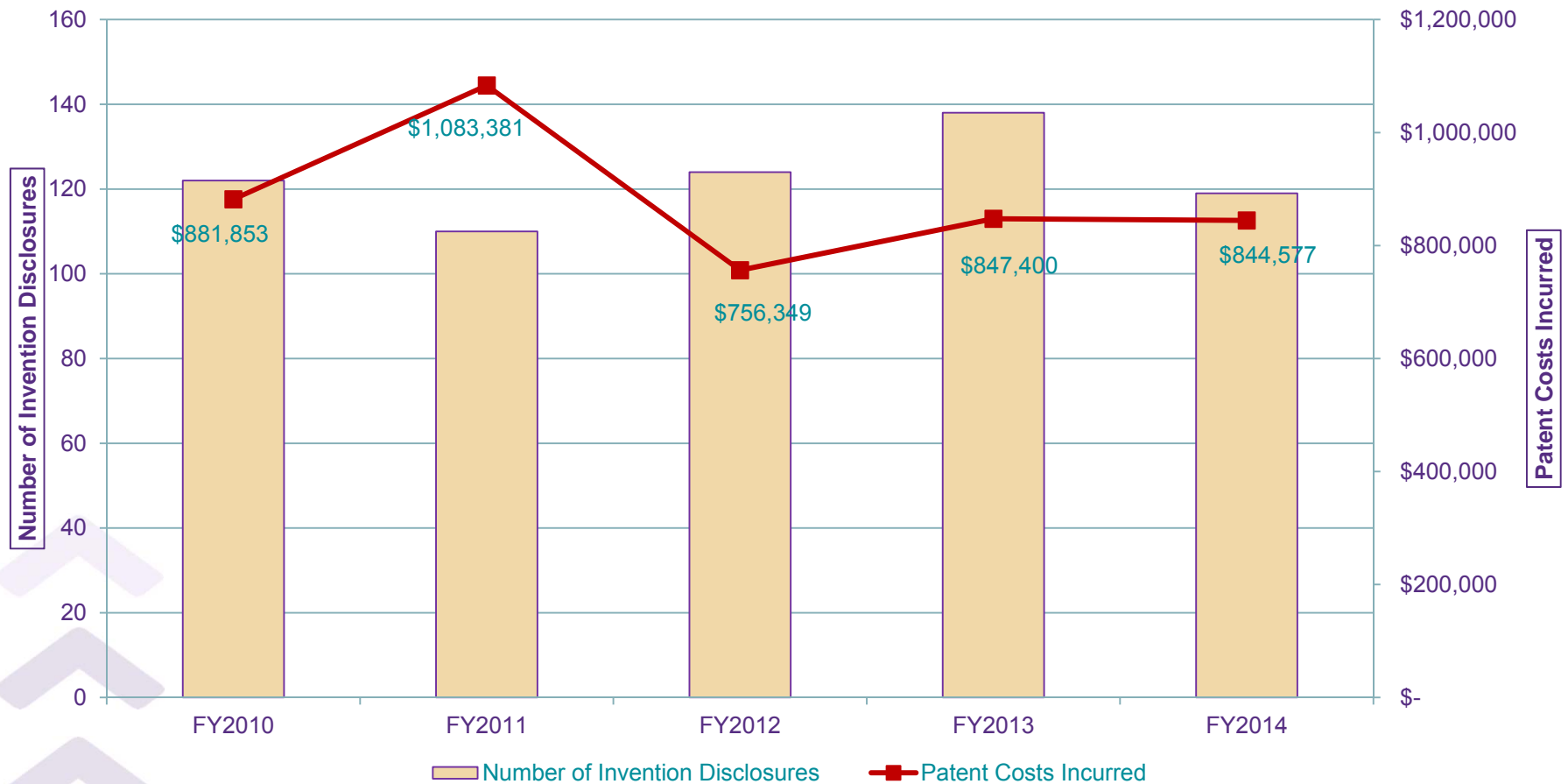
# STC LICENSE REVENUES: UPDATED 3-YEAR FORECAST



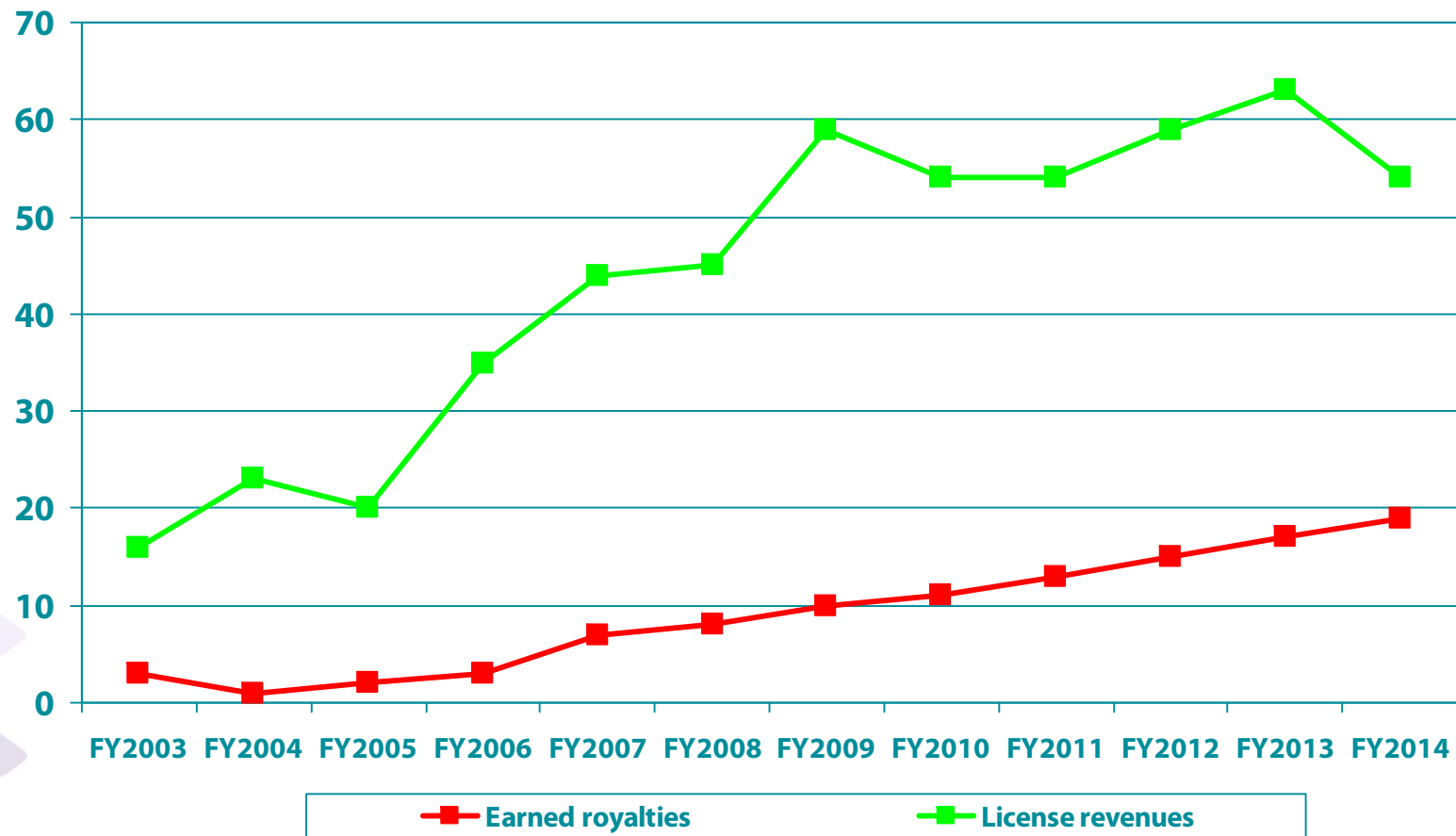
# PATENT REIMBURSEMENT REVENUES



# PATENT COSTS INCURRED (STC-INITIATED; NON-LICENSEE REIMBURSED)

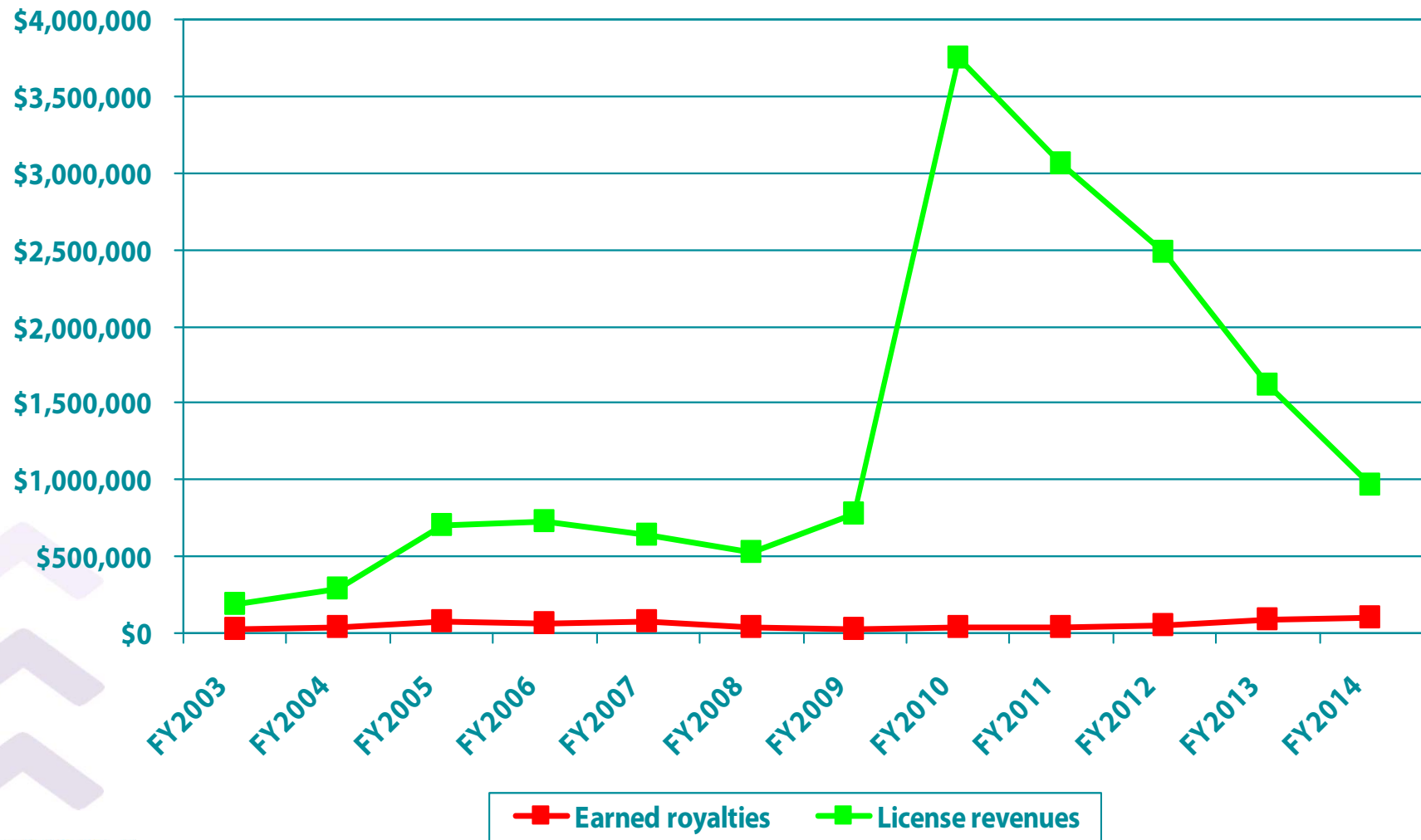


# TOTAL NUMBER OF LICENSES / OPTIONS YIELDING REVENUES





# TOTAL DOLLAR AMOUNT OF LICENSES / OPTIONS YIELDING REVENUES





*Office of Planning, Budget & Analysis*

**MEMORANDUM**

TO: Members of the Board of Regents' Finance & Facilities Committee

THRU: Robert G. Frank, President  
David W. Harris, EVP for Administration, COO, and CFO

FROM: Andrew Cullen, Associate VP, Office of Planning, Budget & Analysis *na AAC*  
Amy Wohler, Chief of Staff, Office of the President

DATE: February 3, 2015

SUBJECT: **Cost Control Strategies for the FY2015/16 Budget**

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**Introduction:** The Cost Control Group (CCG) was formed to gather input from UNM students, faculty and staff with leadership roles and/or experience in budget evaluation and strategy. The group considered various proposals for cost savings, should the University need to address budget shortfalls in FY2015/16 and subsequent years. Although a target amount had not been identified at the time the group was formed, the CCG was asked to consider budget reduction alternatives and determine what might be reasonable and as broadly acceptable as possible with all constituency groups.

**Key Principles for Cost Reduction:** At the outset of the CCG's efforts, the following principles were agreed upon.

- Prioritize our academic mission
- Reduce unnecessary duplication of services
- Focus on efficient administrative functions and reasonable employee benefits
- Provide incentives for instructional efficiencies
- Reduce the workforce strategically through multi-year processes driven by natural attrition and positive collaborations
- Ensure that the burdens and benefits of efficiencies are distributed equitably
- Carefully categorize and track savings that come from true efficiencies versus savings that come from reduction or loss of services

***Acceptance of these principles will enhance the University's ability to maximize the effective use of our financial resources while advancing our mission of growing excellence in teaching, research, patient care, and community service.***

## **Cost Reduction Categories**

As discussions commenced, three areas of focus became apparent to the CCG as a means of organizing the strategies under consideration. These expenditure categories, and various underlying sub-categories, are summarized as follows:

- Labor reduction strategies
  - attrition through retirements & separations
  - instructional efficiencies
  - information technology efficiencies through centralization
  - shared service centers
- Employee benefits
  - benchmark to industry standards and ACA requirements
  - evaluate usage & cost of all components
  - examine increased user fees for non-core benefits or menu options
- Program reductions
  - review necessity and funding level for all non-academic programs not directly related to the UNM core mission

Consensus was not reached on the specific targets or amount of reduction in any particular expenditure category, but the group discussed pros and cons that will be presented in the following areas of focus. Furthermore, the CCG has not had the opportunity to review the analysis assumptions and final “clean” data regarding potential savings for any specific reduction and therefore does not endorse any dollar amount savings estimates at this time.

As a side note, the difficulty in generating accurate reports from Banner data highlights the need for all departments to review and correct Banner entries as needed. For example, course types may be miscoded, positions may appear vacant even though filled, and so on. Each program has the responsibility to provide accurate data.

In addition to the strategies outlined above, information was provided to the group regarding uncommitted reserves within Instruction & General (I&G) accounts, and all reserves in non-I&G accounts. The thought was that these reserves could be considered in any budget reduction scenario as a way to bridge base budget reductions until permanent budget reduction solutions can be implemented. However, this concept was **not** generally supported by the CCG. Members noted that the actual availability of reserves varies widely from unit to unit and from month to month.

### ***Areas of Focus and Strategies***

#### **I. Labor reduction strategies**

Given that anywhere from 80% to 90% of the University’s Main Campus base I&G expenditures are related to labor costs, any meaningful budget reduction strategy must consider this

expenditure category. In an effort to do so, the CCG reviewed and considered the following data related to staff and faculty.

**A. Staff Vacancies:** Under the assumption that salary savings will accrue through attrition (voluntary separation and/or retirement) the following retirement analysis was developed to quantify an estimated annual retirement amount. As referenced in **Appendix A**, there are on average 52 Staff FTE retirements each fiscal year, which total approximately \$2.963 million in salaries and benefits. The average attrition FTE as a percentage of total FTE's equals 3.08%.

The potential for vacancy savings was more difficult to quantify, given that vacant positions may be refilled using other position classifications, or vacancy funds may be re-budgeted for other purposes. However, the average number of separations per year allows an estimate of likely non-retirement vacancies (**Appendix B**).

The CCG members agreed that reducing budgets on the premise of retirements and/or separations must not be a blind policy. Many positions must be rehired, but only after a careful evaluation regarding their need. If a budget is permanently reduced based on vacancies, middle managers must be empowered to restructure workloads within departments and, with the assistance of leaders, across departments and divisions. They must also be equipped with the necessary tools to evaluate and implement staffing changes. When consolidation leads to higher levels of responsibility for individuals, cost savings will be mitigated by the need to compensate those individuals appropriately.

The CCG also cautioned that the additive effect of retirements, resignations, and pullback of vacant positions must be considered very carefully to prevent unintended and disproportionate consequences.

**B. Faculty Vacancies:** Similar to the staff vacancy analysis, analyses of projected faculty retirements and separations, based on past averages, are included in **Appendices C and D**. This forms a basis for estimating a portion of those vacancies for which new hiring could be postponed or eliminated, depending on the needs of the unit.

**C. Instructional Efficiencies:** One area of focus is an in-depth analysis of the UNM curriculum and the courses that are offered each semester. The cost structure associated with teaching a given course is similar to that of an airline – once a course begins, most of the costs are fixed, independent of minor fluctuations in enrollment. In other words, once a course is allocated a room and assigned a faculty member, the incremental cost of having 20 students instead of 10 is negligible, so it is ideal to have 20, assuming that learning goals can be met with that class size.

In any given year, UNM offers over five thousand courses with eleven thousand individual sections. Presuming that some fraction of these sections could be eliminated, particularly if overall enrollment is declining, we are now engaged with the deans to conduct a close analysis of current offerings in every college and school. The analysis looks at the following:

- Low enrollment across multiple course sections: This analysis identifies courses that are offering more sections throughout the year than appear necessary to accommodate the current number of students enrolled and instructor-defined maximum class size.
- Low enrollment due to low student demand: This analysis builds on national benchmarks for course review of student demand. According to the research done by the Education Advisory Board, courses should be reviewed for possible elimination if they have enrollment of 10 or fewer students and are less than 50% full.

There are risks to each of these approaches that must be factored into decision-making, and as a result any recommendation or decisions regarding instructional efficiencies must be implemented with appropriate discretion and autonomy at the local level. There are many reasons why specific courses are offered in a given semester, and many of these factors may prove more valuable than the potential cost savings from section reduction. These factors include, among other things, course sequencing and prerequisites, accreditation requirements, sources of funding for courses, student degree plans and course needs for graduation, and timing/convenience for students.

In the case of eliminating low demand courses, we must look carefully at the potential impact on enrollment and tuition revenues from such eliminations. Any cost savings from eliminating a course (and the corresponding instructional expense) may be lost if the students in that course do not re-enroll in a different course. The goal is to reduce instructional expense without reducing overall enrollment. For example, students who now enroll in correspondence courses might just as readily enroll in online courses if the correspondence option were eliminated.

It is important to keep in mind that these analyses represent only potential opportunities for cost savings. The CCG recommended that we err on the side of caution in terms of mandating course eliminations. Eliminating a course on its own does not reduce cost if the corresponding labor expense cannot also be reduced or eliminated. A simple example is that of a course taught by a tenured faculty member – the university is committed to paying that faculty salary, and eliminating a course does not change that commitment. However, there are potential savings to be realized where the funding for courses is of a flexible or discretionary nature. The best examples of this are Temporary Part Time faculty, who are hired on a per-course basis each semester, and regular faculty teaching overloads, who are paid for teaching courses above and beyond their annual required load.

Teaching assignments and curricula can be adjusted over time to provide even greater efficiency and benefit to students. The CCG endorsed providing encouragement for faculty to review their curricula and reduce unnecessary offerings, such as increasing the target and timeline for 120 hour degrees and reducing electives. A focus on streamlining the curriculum provides a positive framework for eliminating under-enrolled sections.

**D. Centralized Information Technologies (KSA analysis):** The University of New Mexico has weighed for some time now the benefits of its current decentralized IT model compared to a more centralized IT delivery model common at other universities across the country. After

receiving positive feedback from the Health Sciences Center on their engagement with KSA consultants, Main Campus has contracted with KSA to review and recommend strategies to gain operational efficiencies on this side of Lomas. The final report from KSA is due in mid-February, but as part of the effort, and for your consideration, current IT spending levels are detailed in **Appendix E**. The analysis and methodology behind the analysis should be viewed with the following in mind:

- This is a high level overview and does not reflect an extensive review of IT expenditures per unit and services being offered
- IT expenditures may be underestimated for the following reasons
  - In non-Central IT departments, there may be people working on IT that don't have an IT title (student employees, managers, etc.)
  - In non-Central IT departments, no IT support costs are reflected in this analysis (e.g. training/travel)
- All spending is included, e.g., grant IT spending, plant fund spending, capital projects
- Cost of goods sold is included in Central IT's figure

Even with these qualifications in mind, the total cost of \$70.4 million represents a significant cost component for the University in one of the most critical service areas of the university to student, faculty, and staff. As such, cost component efficiencies for IT procurement and the elimination of duplicative IT labor costs must be evaluated following review of the KSA consultants' recommendations.

As an example of potential restructuring, **Appendix F** details the current expenditures incurred for report writing at the University of New Mexico. This analysis is a good example of the university's current decentralized model, and highlights both the successes and the inherent challenges associated with decentralized models.

The CCG noted that cost savings to the university overall will not be realized if the IT centralization results in higher charges to the units for centralized service, compared to what they previously spent on in-house service.

As a side note, some members suggested that requiring all undergraduate students to purchase a laptop computer could reduce or eliminate the need for equipment and staffing in computer pods. Students with need would be eligible for financial aid to cover the cost of the laptop.

**E. Shared Service Centers:** The concept of service centers has gained wide acceptance as a meaningful way to reduce administrative costs and promote quality improvements through specialization. The premise of consolidating operational functions into a single location while allowing employees to do what they do best saves time and money within academic departments and core back-office operations. In order to determine where opportunities lie, various ratio analyses are being developed:

- Ratio of Non-Administrative Staff to Faculty
- Ratio of Administrative Staff to Faculty
- Ratio of Non-Administrative Staff to Administrative Staff

The analysis starts with the development of benchmarks for each ratio. Each department will then be evaluated and categorized as above or below the benchmark utilization of staff. Reaching staffing targets through attrition and reassignment will be a multi-year process and will require some expenditure for relocation and retraining of affected staff.

## **II. Employee Benefits**

The CCG strongly supported a careful analysis of employee benefits for potential cost savings. As depicted in **Appendix G**, there are numerous cost savings opportunities within the institutional fringe benefits area that have varying degrees of impact on faculty, staff, and students. The CCG particularly supported the concept of increasing the cost share or creating menu options for benefits that are less widely used. Discussions included the following:

- Outsource certain services and benefits
- User fees for benefits such as EHPP, CARS, Etc.
- Reduce medical benefits as soon as possible to comply with the upcoming “Cadillac tax” requirements of the Affordable Care Act

## **III. Program Reductions**

Following the guiding principle that the academic mission should be preserved and its quality enhanced, the CCG did not discuss academic program reductions. They did however acknowledge that it is imperative for the faculty and administrators of every college and school to continue to review and improve their curricula.

The CCG discussed at some length the potential for reducing, consolidating, or eliminating various non-academic programs, particularly those that can be seen as “luxuries” and those that require significant, on-going subsidies. Opinions varied regarding the programs to target.

Main Campus	I&G Staff Salaries and FTEs				Retirement Data	
College/School/Division	FY 2014 Salaries	FY 2014 FTEs	FY 2014 Average Salary	FY 2015 Estimated Average Salary	6 Year Average FTE Attrition	Total Estimated Yearly Vacancies
Presidents Office	417,532	6.90	60,512	62,025	0.00	0
University Counsel Office	1,324,283	18.58	71,275	73,057	0.25	18,264
Univ Communication & Marketing	787,181	13.55	58,095	59,547	0.00	0
Compliance Office - Main Campus	242,245	2.00	121,123	124,151	0.00	0
VP Inst Advancement Alumni Relation	547,389	9.42	58,109	59,562	0.47	27,994
Internal Audit Department	710,409	8.89	79,911	81,909	0.50	40,954
UNM West and Branch Initiatives	212,769	5.49	38,756	39,725	0.17	6,753
VP Institutional Adv College	421,703	9.40	44,862	45,984	1.00	45,984
RWJ Center for Health Policy	0	0.00				
Global Education Office	981,941	18.37	53,454	54,790	0.17	9,314
Harwood Foundation	335,190	7.86	42,645	43,711	0.17	7,431
OSE Optical Science and Engineering	19,212	0.48	40,025	41,026	0.00	0
Center for Teaching Excellence CTE	42,085	0.96	43,839	44,935	0.17	7,639
NSMS Nano Science & Micro Systems	38,760	1.00	38,760	39,729	0.00	0
Parent Association - EM	52,510	1.00	52,510	53,823	0.00	0
Undergraduate Education Support	0	0.00				
Institutional Research	544,245	8.12	67,025	68,701	0.17	11,679
KUNM	0	0.00				
UNM Press	0	0.00				
Graduate Studies GS	428,540	11.43	37,493	38,430	0.17	6,533
CAPS	515,314	10.95	47,061	48,237	0.17	8,200
Latin American Iberian Institute	316,770	6.19	51,174	52,454	0.04	2,098
Provost Office Staff	1,189,931	18.48	64,390	66,000	1.00	66,000
Spanish Colonial Research Center	0	0.00				
CARS	0	0.00				
Ofc of the University Secretary	363,881	6.75	53,908	55,256	0.17	9,394
University College UC	1,398,461	30.79	45,419	46,555	0.83	38,640
School of Public Administration	168,582	3.25	51,871	53,168	0.00	0
VP for Equity & Inclusion	101,932	2.13	47,855	49,052	0.00	0
VP Division of Enrollment Mgmt	4,381,699	108.42	40,414	41,424	2.25	93,205
College of Fine Arts CFA	2,499,318	58.83	42,484	43,546	1.95	84,914
College of Arts Sciences A S	8,530,275	187.41	45,517	46,655	5.36	250,068
Anderson Schools of Management ASM	1,700,067	34.03	49,958	51,207	0.67	34,309
College of Education COE	2,644,818	62.24	42,494	43,556	2.92	127,184
School of Engineering	2,294,536	49.68	46,186	47,341	1.33	62,963
School of Law LAW	2,199,498	44.08	49,898	51,145	2.95	150,879
School of Architecture Planning	476,338	11.33	42,042	43,093	0.50	21,547



Main Campus	I&G Staff Salaries and FTEs				Retirement Data	
College/School/Division	FY 2014 Salaries	FY 2014 FTEs	FY 2014 Average Salary	FY 2015 Estimated Average Salary	6 Year Average FTE Attrition	Total Estimated Yearly Vacancies
University Libraries	4,115,706	88.42	46,547	47,711	4.00	190,844
Extended University Ext Univ	3,269,031	64.05	51,039	52,315	1.25	65,393
Vice President for Research	62,919	1.73	36,369	37,279	0.00	0
Academic Affairs Monitoring	0	0.00			0.00	
Honors College	74,490	1.72	43,308	44,391	0.33	14,649
VP Student Affairs Administration	864,917	18.00	48,051	49,252	0.66	32,506
VP Student Affairs Indpdnt Dept ID	812,806	17.46	46,552	47,716	0.17	8,112
Associate VP Student Services	1,324,575	27.04	48,986	50,210	0.66	33,139
Assoc. VP Student Life (ACD)	858,715	19.33	44,424	45,535	0.33	15,026
Police Department	2,718,868	55.51	48,980	50,204	0.67	33,637
EVP of Administration Office	430,738	3.40	126,688	129,855	0.00	0
Safety & Risk Services	1,052,912	19.24	54,725	56,093	2.26	126,771
Budget Office	822,086	10.04	81,881	83,928	0.13	10,911
UNM Policy Office	110,975	1.41	78,706	80,673	0.33	26,622
Board of Regents	30,254	0.56	54,025	55,376	0.17	9,414
Institutional Fringes	75,000	0.00			0.00	
Intercollegiate Athletics	430,010	12.41	34,650	35,517	0.17	6,038
Controller	7,487,394	141.83	52,791	54,111	4.60	248,911
Associate VP for Facilities Mgmt	0	0.00			0.00	
Human Resources HR	1,699,451	32.13	52,893	54,215	0.46	24,939
VP Institutional Support Services	10,435,893	267.80	38,969	39,943	8.54	341,115
Government & Community Relations	257,810	2.90	88,900	91,123	0.00	0
Information Technologies CIO	604,794	5.89	102,681	105,249	0.17	17,892
Information Technologies	8,872,946	139.92	63,414	65,000	3.67	238,549
<b>TOTAL SALARIES</b>	<b>82,299,704</b>	<b>1688.80</b>			<b>51.95</b>	<b>2,576,416</b>

<b>TOTAL SALARIES AND FRINGE BENEFITS</b>	<b>2,962,878</b>
<b>AVERAGE ATTRITION FTE AS A PERCENTAGE OF TOTAL FTE</b>	<b>3.08%</b>

Sources: Human Resources (Retirement Data), Main Campus Report of Actuals (HED FTE Data) and Banner Finance ( Salary Data)

Note: Information Technologies is non-I&G salaries funded from I&G 1640 allocations

Note: FY 15 Average salary is FY 14 Average Salary adjusted for 2.5% FY 15 compensation increase.

Note: Used Fringe Benefit Rate of 15% assuming most depts. will reduce operating expense instead of salaries

Note: Continuing Education and Course and Curriculum Fee Indices are excluded

**Main Campus--Regular Staff Attrition Rate through Retirements**

CY	2008	2009	2010	2011	2012	2013	2014	3 Yr Avg	7 Yr Avg
Number Eligible	351	390	405	411	412	438	465	438	414
Number Retired	48	76	88	98	85	96	84	88	83
Attrition Rate	13.68%	19.49%	21.73%	23.84%	20.63%	21.92%	18.06%	20.15%	20.04%

<b>Average Age at Retirement</b>	<b>61</b>
<b>Average Years of Service</b>	<b>20</b>

**Main Campus--Regular Staff Projected Eligible Retirements in next 3 years**

CY	2015	2016	2017
Cumulative I&G Salaries	\$19,225,421	\$22,310,308	\$26,040,773
Cumulative Non-I&G Total Salaries	\$9,688,541	\$11,689,392	\$13,457,255
Cumulative Total Salary	\$28,913,962	\$33,999,700	\$39,498,028
Cumulative Total Eligible Employees	602	724	841
Average Salary	\$48,030	\$46,961	\$46,966

<b>FY 15 Potential Savings from a Permanent Reduction in Workforce</b>	<b>Salaries and Benefits</b>
<b>I&amp;G</b>	\$3,460,576
<b>Non-I&amp;G</b>	\$1,743,937
<b>Attrition Rate Used</b>	15%
<b>Total Permanent Reduction in Workforce-FTE</b>	<b>90.3</b>

Source Data: Human Resources

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Regular Staff Attrition Rate through Separations							
	2008	2009	2010	2011	2012	2013	2014
Staff	5513	5267	5186	5128	5225	5432	5554
Separated	654	521	509	566	526	564	582
Separated Total Salary	\$23,266,375	\$19,104,360	\$19,131,778	\$20,942,339	\$20,161,199	\$22,799,839	\$22,978,754
I & G Salary	\$5,842,787	\$3,868,716	\$3,893,676	\$4,852,760	\$5,015,947	\$5,771,661	\$5,421,762
I & G Salary Percentage	25.11%	20.25%	20.35%	23.17%	24.88%	25.31%	23.59%
Attrition Rate	11.86%	9.89%	9.81%	11.04%	10.07%	10.38%	10.48%

Source: UNM Human Resources

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### Main Campus--Regular Faculty Attrition Rate through Retirements

CY	2008	2009	2010	2011	2012	2013	2014	3 Yr Avg	7 Yr Avg
Eligible	157	173	185	192	209	212	223	215	196
Retired	19	21	26	42	27	30	23	27	27
Attrition Rate	12.10%	12.14%	14.05%	21.88%	12.92%	14.15%	10.31%	12.42%	13.71%

<b>Average Age at Retirement</b>	<b>65</b>
<b>Average Years of Service</b>	<b>24</b>

### Main Campus--Regular Faculty Projected Eligible Retirements in next 3 years

CY	2015	2016	2017
Cumulative I&G Salaries	\$30,380,563	\$32,937,700	\$37,200,958
Cumulative Non-I&G Total Salaries	\$2,728,243	\$2,936,836	\$3,080,410
Cumulative Total Salary	\$33,108,805	\$35,874,536	\$40,281,368
Cumulative Total Eligible Employees	393	434	493
Average Salary	\$84,246	\$82,660	\$81,707

<b>FY 15 Potential Savings from a Permanent Reduction in Workforce</b>	<b>Salaries and Benefits</b>
<b>I&amp;G</b>	\$2,916,534
<b>Non-I&amp;G</b>	\$261,911
<b>Attrition Rate Used</b>	8%
<b>Total Permanent Reduction in Workforce-FTE</b>	<b>31.44</b>

Source Data: Human Resources, Main Campus Faculty Contracts Office and UNM Retiree Association

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Regular Faculty Attrition Rate through Separations							
	2008	2009	2010	2011	2012	2013	2014
Faculty	1333	1275	1290	1298	1343	1439	1542
Separated	88	100	104	104	138	106	145
Separated Total Salary	\$6,796,221	\$8,844,063	\$8,701,256	\$8,781,753	\$12,503,386	\$8,869,406	\$13,192,398
I & G Salary	\$2,237,562	\$1,924,779	\$2,283,085	\$1,594,793	\$2,394,907	\$2,086,421	\$2,170,558
I & G Salary Percentage	32.92%	21.76%	26.24%	18.16%	19.15%	23.52%	16.45%
Attrition Rate	6.60%	7.84%	8.06%	8.01%	10.28%	7.37%	9.40%

Source: UNM Human Resources

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OFFICE OF PLANNING,  
BUDGET & ANALYSIS

University of New Mexico  
Information Technology Spend Analysis  
For Fiscal Year 2014, As of June 30, 2014

	IT Salaries and Benefits	% of Department Total	IT Account Codes	% of Department Total	Other	% of Department Total	Department Total	% of Grand Total
<b>Information Technologies</b>								
CIO	628,089	83%	27,339	4%	103,158	14%	758,586	1%
Deputy CIO	658,782	76%	8,367	1%	194,480	23%	861,629	1%
Applications	3,786,702	44%	2,970,916	35%	1,834,366	21%	8,591,983	12%
Classroom Technologies	1,276,903	54%	317,237	13%	775,035	33%	2,369,176	3%
Computing Platforms	2,348,315	69%	573,746	17%	493,105	14%	3,415,166	5%
Customer Support	2,138,324	55%	187,236	5%	1,584,782	41%	3,910,342	6%
Finance	388,794	98%	1,283	0%	8,074	2%	398,151	1%
Networks	2,102,814	22%	653,759	7%	6,681,738	71%	9,438,311	13%
Information Security	349,903	68%	7,750	1%	159,260	31%	516,912	1%
Student Fees	149,257	31%	167,837	35%	167,595	35%	484,688	1%
IT Initiatives		0%	796,292	66%	406,114	34%	1,202,406	2%
<b>Total Information Technologies</b>	<b>\$ 13,827,882</b>	<b>43%</b>	<b>\$ 5,711,762</b>	<b>18%</b>	<b>\$ 12,407,707</b>	<b>39%</b>	<b>\$ 31,947,352</b>	<b>45%</b>
<b>University Departments</b>	<b>23,241,644</b>	<b>60%</b>	<b>15,249,533</b>	<b>40%</b>			<b>38,491,176</b>	<b>55%</b>
<b>Grand Total</b>	<b>\$ 37,069,526</b>	<b>53%</b>	<b>\$ 20,961,295</b>	<b>30%</b>	<b>\$ 12,407,707</b>	<b>18%</b>	<b>\$ 70,438,528</b>	
<b>Capital IT Expenditures</b>	<b>FY11</b>	<b>% of FY Total</b>	<b>FY12</b>	<b>% of FY Total</b>	<b>FY13</b>	<b>% of FY Total</b>	<b>FY14</b>	<b>% of FY Total</b>
<b>Information Technologies</b>								
CIO	255,266	12.1%		0.0%		0.0%		0.0%
Computing Platforms	211,823	10.0%	46,706	3.8%	430,964	18.4%	156,487	5.0%
Customer Service		0.0%		0.0%		0.0%	6,278	0.2%
Networks	322,248	15.2%	39,440	3.2%	95,118	4.1%	118,171	3.8%
IT Initiatives		0.0%	209,870	17.3%	681,702	29.2%	209,783	6.7%
<b>Total Information Technologies</b>	<b>789,337</b>	<b>37.3%</b>	<b>296,017</b>	<b>24.4%</b>	<b>1,207,784</b>	<b>51.7%</b>	<b>490,719</b>	<b>15.7%</b>
<b>University Departments</b>	<b>1,327,518</b>	<b>62.7%</b>	<b>919,481</b>	<b>75.6%</b>	<b>1,128,197</b>	<b>48.3%</b>	<b>2,637,864</b>	<b>84.3%</b>
<b>Grand Total Capital</b>	<b>\$ 2,116,855</b>		<b>\$ 1,215,498</b>		<b>\$ 2,335,981</b>		<b>\$ 3,128,583</b>	

Revised: 10/15/14. Source: IT Office of the CIO

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**University of New Mexico**  
**Information Technology Spend Analysis**  
**For Fiscal Year 2014, As of June 30, 2014**  
**By Executive Level**

Executive Level	Org Level 2	IT Salaries and Benefits	IT Account Codes	Other	Total	% of Total
President Executive	AA	174,213	144,434	-	318,646	0%
Provost Academic Affairs	AB	8,641,143	5,631,989	-	14,273,131	20%
VP for Student Affairs	AC	499,233	403,187	-	902,420	1%
Executive VP for Administration	AD	18,227,659	8,779,269	12,407,707	39,414,636	56%
VP Institutional Advancement	AE	73,269	-	-	73,269	0%
VP Health Sciences Center	AF	7,631,107	4,949,635	-	12,580,743	18%
Provost Branch Campuses	AG	1,011,350	1,034,647	-	2,045,997	3%
UNM Medical Group	BA	811,551	18,134		829,684	1%
<b>Grand Total</b>		<b>\$ 37,069,526</b>	<b>\$ 20,961,295</b>	<b>\$ 12,407,707</b>	<b>\$ 70,438,528</b>	

Revised: 10/15/14. Source: IT Office of the CIO

**University of New Mexico**  
**Information Technology Spend Analysis**  
**For Fiscal Year 2014, As of June 30, 2014**  
**By Level 3 Organization**

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Organization	Org Level 3	IT Salaries and Benefits	IT Account Codes	Other	Total	% of Total
Academic Affairs Monitoring	ABR		119,144		119,144	0.2%
Anderson Schools of Management	ABI	378,324	350,430		728,754	1.0%
Associate VP Student Life	ACD	182,342	176,249		358,592	0.5%
Associate VP Student Services	ACC	108,380	136,945		245,326	0.3%
College of Arts Sciences A S	ABH	2,422,539	1,169,090		3,591,629	5.1%
College of Education COE	ABJ	219,091	347,135		566,226	0.8%
College of Fine Arts CFA	ABG	183,665	195,912		379,577	0.5%
College of Nursing	AFD	219,145	150,556		369,701	0.5%
College of Pharmacy	AFE	101,212	264,861		366,074	0.5%
Continuing Education Cont Ed	ABO	307,867	198,046		505,913	0.7%
Controller	ADD	572,028	1,301,604		1,873,631	2.7%
EVP Admin Independent Offices	ADB	2,025,569			2,025,569	2.9%
EVP Administration	ADA	144,277	141,247		285,524	0.4%
Extended University Ext Univ	ABP	1,243,982	258,656		1,502,638	2.1%
Gallup Branch	AGA	295,970	554,289		850,259	1.2%
Government & Community Relations	ADI		3,734		3,734	0.0%
Honors College	ABS		13,175		13,175	0.0%
HSC Library and Informatics Ctr	AFB	1,566,418	1,218,866		2,785,284	4.0%
HSC VP Research	AFI	363,239	109,755		472,994	0.7%
Human Resources HR	ADF	290,318	218,137		508,454	0.7%
Information Technologies	ADJ/AAB	13,827,882	5,711,762	12,407,707	31,947,351	45.4%
Intercollegiate Athletics	ADC		200,320		200,320	0.3%
LosAlamos Branch	AGB	141,965	58,302		200,267	0.3%
President Admin Indpnt Office	AAA	174,213	108,033		282,245	0.4%
Provost Administrative Units	ABA	463,683	335,453		799,136	1.1%
School of Architecture Planning	ABM	107,229	152,704		259,933	0.4%
School of Engineering	ABK	477,135	574,136		1,051,271	1.5%
School of Law	ABL	345,607	190,486		536,093	0.8%
School of Medicine	AFC	4,298,678	2,653,669		6,952,347	9.9%
School of Public Administration	ABC		7,102		7,102	0.0%
Taos Branch	AGC	192,115	212,697		404,811	0.6%
University College UC	ABB	(1,406)	8,716		7,310	0.0%
University Libraries	ABN	762,976	329,849		1,092,825	1.6%
UNM Medical Group	BAA	811,551	18,134		829,684	1.2%
UNM West and Branch Initiatives	AAC		36,401		36,401	0.1%
Valencia County Branch	AGD	381,301	209,359		590,660	0.8%
VP Division of Enrollment Mgmt	ABE	317,075	209,278		526,353	0.7%
VP for Equity & Inclusion	ABD	46,491	8,027		54,517	0.1%
VP HSC Administration	AFA	1,082,416	551,928		1,634,344	2.3%
VP Institutional Adv College	AEA	73,269			73,269	0.1%
VP Institutional Support Services	ADG	1,367,586	1,202,468		2,570,054	3.6%
VP Resrch & Econ Devlpmnt	ABQ	1,366,887	1,164,650		2,531,537	3.6%
VP Student Affairs Administration	ACA	12,208	21,627		33,835	0.0%
VP Student Affairs Indpndnt Dept ID	ACB	196,302	68,366		264,667	0.4%
<b>Grand Total</b>		<b>\$ 37,069,526</b>	<b>\$ 20,961,295</b>	<b>\$ 12,407,707</b>	<b>\$ 70,438,528</b>	

Revised: 10/15/14. Source: IT Office of the CIO



Main Campus-ERP Reporting Teams-IT Support						
Department	Name	Job Title	Grade	FTE	Annual Salary	Average Salary
<b>Enrollment Management Reporting</b>				<b>4.75</b>	<b>\$349,984</b>	<b>\$73,681</b>
<b>Finance Systems Management</b>				<b>5.00</b>	<b>\$353,737</b>	<b>\$70,747</b>
<b>Human Resources IT</b>				<b>6.00</b>	<b>\$372,793</b>	<b>\$62,132</b>
<b>Institutional Research</b>				<b>8.00</b>	<b>\$463,094</b>	<b>\$57,887</b>
<b>IT Reporting</b>				<b>5.00</b>	<b>\$364,921</b>	<b>\$72,984</b>
<b>Grand Total</b>				<b>28.75</b>	<b>\$1,904,530</b>	<b>\$66,245</b>

Source: December 2014 Employee and Compensation data from Human Resources

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BUDGET & ANALYSIS

## UNM Fringe Benefits Cost Savings

Options	Dollar Estimate	I&G Estimate	Option Cost	Immediacy Impact	Short Term vs Long Term	Comments
1 Dependent Audit (full document audit + ongoing services)	\$838,043	\$155,876	<b>\$107,280</b>	By July 1, 2015	Both	Assumption 4% ineligible and 8% ineligible newly added dependents 5 year savings - \$3,514,835 Annual ongoing cost year 2 forward - \$24,130
2 Stop Loss (Internally self-fund stop loss)	\$250,000	\$46,500	\$0	By July 1, 2015	Short Term	Set aside part of the reserve to create an internal stop loss product
3 Eliminate or make Long Term Care Voluntary	\$550,000	\$102,300	\$0	By July 1, 2015	Both	May require union negotiations
4a Change EE Contribution %'s	\$600,000	\$111,600	\$0	By July 1, 2015	Both	Decrease all ee active tiers contributions by 1.0%
4b Change EE Contribution %'s	\$650,000	\$120,900	\$0	By July 1, 2015	Both	Decrease active dependent contributions by 2.50%; maintain ee only % the same as current
4c Change EE Contribution %'s	\$350,000	\$65,100	\$0	By July 1, 2016	Both	Add an additional Salary Band to incorporate \$100k salary tier at 55% contribution level (need additional census data)
5 2 TPA's instead of 3	\$225,000	\$41,850	\$0	By July 1, 2016	Both	Assumes 5.0% savings for increased membership
6 Rx Coalition	\$600,000	\$111,600	\$0	By July 1, 2015	Both	Coalition pricing takes advantage of UNM joining 100,000's of members to buy at lower rates and <i>annually adjusts</i> to ensure the best and most up to date pricing for Rx (assumes 5% savings)
7 Make Basic Life Fully Voluntary	\$139,000	\$25,854	\$0	By July 1, 2015	Both	Includes Retirees Will require union negotiations and/or review of state statutes
8 Make LTD fully Voluntary	\$120,000	\$22,320	\$0	By July 1, 2015	Both	Includes Retirees Does Not Include Clinical Employees Will need to review state statutes for compliance
9 Eliminate Sick Sale	\$1,000,000	\$300,000	<b>\$1,000,000</b>	<b>By July 1, 2016</b>	<b>Year 2 and forward</b>	Would require an initial buy-out in 1st year. Savings would not be realized until FY1617 Other options would be to reduce sick sale buy-out rate (i.e., from 2 for 1 to 4 for 1) Will require union negotiations and policy changes
10 Eliminate Spousal Health Coverage if spouse has coverage elsewhere	\$3,400,000	\$632,400	\$0	By July 1, 2015	Both	Spouse cost \$427 PEPM times 12 times 2,670 spouses times 25% May require union negotiations
11 Dependent Education reduce to 90%	\$93,100	\$93,100	\$0	By July 1, 2015	Both	Dependents would only receive 90% funding. 2nd option would be to set a flat contribution level which could remain flat or be increased by some inflation factor May require union negotiations
12 Eliminate Post Retirement Benefits for New Hires - VEBA Savings	\$450,000	\$83,700	\$0	By July 1, 2015	Both	Number of New Hires times average salary times 0.75%
<b>Source: Human Resources</b>	<b>Grand Total</b>	<b>\$9,265,143</b>	<b>\$1,913,100</b>	<b>\$1,107,280</b>		

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## MEMORANDUM

To: David W. Harris  
EVP for Administration, COO and CFO

From: Paul Roth, MD, MS, FACEP  
Chancellor for Health Sciences and Dean of the School of Medicine

Date: January 9, 2015

Subject: Elks Lodge Transfer

Due to growing organizational needs for space, UNM Health Sciences Center proposes to assume remaining UNM 2007 debt service payments on this building. HSC shall make payments beginning with the January 1, 2015 payment thru June 1, 2036 for total debt service payments of \$6,256,153. Ownership of the property will continue to be vested in the Regents of the University of New Mexico

UNM Real Estate will have up to 24 months to relocate non-HSC occupants of the former Elks Club property. Once the current occupants have been relocated, HSC shall be responsible for maintaining the premises and obtaining any necessary approvals for redevelopment of the property. HSC is not responsible for future space requirements for any unit currently occupying or storing items in the Elks property. Thank you for your help with this transfer.

Cc: Ava J. Lovell  
Chris Vallejos  
Tom Neale  
Bob Fondino

Approved: \_\_\_\_\_

David W. Harris  
EVP for Administration, COO and CFO



## HEALTH SCIENCES CENTER BOARD OF DIRECTORS

### Resolutions Recommending Approval of UNM Hospitals Capital Project Purchase of Digital Mammography Unit and Upgrades to Hologic Selenia Dimension Mammographic Machines

WHEREAS, the UNM Hospitals seeks approval to purchase one digital mammography unit and upgrades for three (3) existing Hologic Selenia Dimension Mammographic Machines (the "Hologic Purchase/Upgrade") as described more fully in Exhibit A, attached to this Resolution and incorporated herein by this reference. Pursuant to Regents Policy 7.4, the Hologic Purchase/Upgrade must be approved by governance of the University of New Mexico (the "University"), including, without limitation, the UNM Hospital Board of Trustees, the Health Sciences Center Board of Directors (the "HSC BoD"), and the Board of Regents;

WHEREAS, the HSC BoD, having considered the Exhibit A materials and the presentations made relative to the proposed Hologic Purchase/Upgrade and considered the fact that the UNM Hospital Board of Trustees has recommended approval of the proposed Hologic Purchase/Upgrade, finds and concludes that the proposed Hologic Purchase/Upgrade should enhance patient access and patient care through high resolution, multi-dimensional tomosynthesis, is consistent with the requirements of the New Mexico Procurement Code, and should be approved.

NOW, THEREFORE, BE IT:

RESOLVED, that the proposed Hologic Purchase/Upgrade be and it hereby is approved; and it is further

RESOLVED that the HSC BoD hereby recommends approval of the Hologic Purchase/Upgrade to the Board of Regents.

By: Suzanne Quillen

Suzanne Quillen, Its Chair



## UNM Hospital Board of Trustees Recommendation to HSC Board of Directors Finance and Audit Committee January 2015

### Approval

#### (1) Hologic

**Ownership:**  
35 Crosby Drive  
Bedford, MA 01730

**Officers Information:**  
President and CEO: Stephen MacMillan  
Chief Operating Officer: Eric Compton

**Source of Funds:** UNM Hospital Capital Budget

#### **Description:**

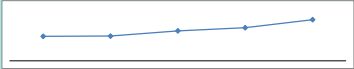


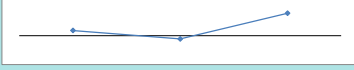




Request to purchase one digital mammography unit in addition to purchase upgrades for the three existing Hologic Selenia Dimension Digital Mammographic machines. The upgrades will allow the ability to perform high resolution, multi-dimensional tomosynthesis on each device. Also, included in the upgrades are two biopsy systems which will enhance our ability to perform the biopsy procedures in multiple rooms. These two biopsy devices are interchangeable between all four Digital mammographic machines versus one biopsy table used currently which limits efficiency. This purchase will increase our capabilities and be able to treat more patients.




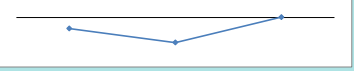




**Process:** UNM Sunshine portal, Sole Source posting, met without protest on 12/12/14.

**Cost:** New Mammography System: \$370,000, Existing Mammography System Upgrades: \$451,000, Existing Biopsy System Upgrades: \$291,500.







**Total Cost:** \$1,112,500.00

**Health Sciences Center  
Metrics (Preliminary and Unaudited)**

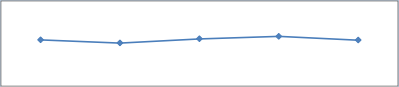



Benchmark		Expected	FY 2011 2nd Quarter	FY 2012 2nd Quarter	FY 2013 2nd Quarter	FY 2014 2nd Quarter	FY 2015 2nd Quarter	Fiscal Year Trend
<b>Approximate Cash Flow/(Use) from Operations *</b> <i>(Amounts are in thousands)</i>	HSC	↑	\$3,718	\$3,767	\$4,557	\$5,039	\$6,268	
	UNMMG	↑	\$5,325	\$730	(\$525)	\$1,972	\$3,593	
	UNMH	↑	\$17,731	\$17,513	\$7,586	\$12,418	\$17,207	
	SRMC	↑	NA	NA	\$1,142	(\$663)	\$4,834	
* Approximate Cash Flow/(Use) from Operations = Operating Net Margin plus Depreciation Expense								
<b>Operating Net Margin</b> <i>(Amounts are in thousands)</i>	HSC	↑	\$3,718	\$3,767	\$4,557	\$5,039	\$6,268	
	UNMMG	↑	\$5,078	\$448	(\$793)	\$1,769	\$3,399	
	UNMH	↑	\$317	\$650	(\$8,476)	(\$3,335)	(\$63)	
	SRMC	↑	NA	NA	(\$2,226)	(\$5,112)	\$90	

Health Sciences Center Metrics (Preliminary and Unaudited)								
Benchmark		Expected	FY 2011 2nd Quarter	FY 2012 2nd Quarter	FY 2013 2nd Quarter	FY 2014 2nd Quarter	FY 2015 2nd Quarter	Fiscal Year Trend
<b>Net Income/(Use of Reserves)</b> <i>(Amounts are in thousands)</i>	HSC	↑	(\$1,097)	(\$1,371)	(\$1,180)	\$710	(\$2,378)	
	UNMMG	↑	\$5,078	(\$226)	\$864	(\$4,143)	(\$1,555)	
	UNMH	↑	\$317	\$647	(\$3,481)	\$1,373	\$5,952	
	SRMC	↑	NA	NA	(\$2,226)	(\$5,112)	\$90	
<b>Uncompensated Care</b> <i>(Amounts are in thousands)</i>	UNMMG	↓	\$18,991	\$18,280	\$23,423	\$21,261	\$9,027	
	UNMH	↓	\$81,828	\$82,647	\$94,331	\$102,164	\$57,895	
	SRMC	↓	NA	NA	NA	\$5,577	\$2,766	
<b>Uncompensated Care as a Percentage of Total Patient Care</b>			FY 2011	FY 2012	FY 2013	FY 2014	FY 2015 2nd Quarter YTD	
	UNM HEALTH SYSTEM	↓	22%	24%	23%	21%	12%	

**Health Sciences Center  
Metrics (Preliminary and Unaudited)**

Benchmark		Expected	FY 2011 2nd Quarter	FY 2012 2nd Quarter	FY 2013 2nd Quarter	FY 2014 2nd Quarter	FY 2015 2nd Quarter	Fiscal Year Trend
<b>Days Cash on Hand</b>								
Days of Expense in Discretionary Reserves Year End Benchmark - 10 Days	HSC	↑	13.96	13.28	7.39	5.93	7.37	
ACMPE 30 Days *	UNMMG	↑	45.50	16.20	35.90	45.30	53.35	
UHC 75 Days **	UNMH	↑	63.43	50.96	46.41	46.86	58.43	
UHC 75 Days **	SRMC	↑	NA	NA	N/A	16.25	61.72	
* American College of Medical Practice Executives ** University HealthSystem Consortium								
<b>Accounts Receivable Greater Than Ninety Days</b>								
(Amounts are in thousands)	HSC	↓	\$1,931 13%	\$2,367 25%	\$764 6%	\$894 7%	\$205 8%	
	UNMMG	↓	\$3,368 14%	\$5,826 20%	\$4,466 16%	\$5,728 21%	\$11,072 28%	
	UNMH***	↓	\$63,020 28%	\$52,470 28%	\$48,061 24%	\$64,069 28%	\$84,240 31%	
	SRMC ****	↓	NA	NA	NA	\$1,251 27%	\$606 9%	
*** UNMH Account Receivable Greater Than Ninety Days excludes liens **** SRMC Account Receivable Greater Than Ninety Days reflects Net Accounts Receivable - all other receivables are shown at Gross								

**Health Sciences Center**  
**Metrics (Preliminary and Unaudited)**  
(Amounts are in thousands)

	Expected	FY 2011 2nd Quarter	FY 2012 2nd Quarter	FY 2013 2nd Quarter	FY 2014 2nd Quarter	FY 2015 2nd Quarter	Fiscal Year Trend
<b>Proposals Submitted</b>	↑	\$98,221	\$88,644	\$101,386	\$108,641	\$97,421	
<b>Awards</b>	↑	\$85,599	\$69,091	\$71,338	\$68,286	\$80,489	
<b>Earned F&amp;A</b>	↑	\$11,414	\$11,109	\$10,142	\$10,664	\$11,175	
<b>Contract &amp; Grant Expense</b> <i>(Includes Cost Share, Program Income and F&amp;A Expense)</i>	↑	\$59,426	\$58,895	\$60,411	\$56,805	\$61,189	





**UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER - University HSC Only\***  
**2015 Fiscal Year-to-Date Summary through December 31, 2014 (Preliminary and Unaudited)**  
(In thousands)

* UNIVERSITY HSC ONLY INCLUDES: SOM, CON, COP, HSLIC, ADMIN, RESEARCH	University HSC FY 2015 Re-Forecasted Budget 12/31/2014	University HSC FY 2015 YTD 12/31/2014 Actual	University HSC FY 2014 Year End Actual	University HSC FY 2015 YTD Actual to FY 2015 Re-Forecasted Budget Benchmark Rate (50%)	University HSC FY 2015 YTD Actual to FY 2014 Year End Actual Benchmark Rate (50%)
1 UNM MEDICAL GROUP REVENUES	123,262	60,805	106,656	49%	57%
2 UNM HOSPITALS REVENUES	141,500	70,514	136,977	50%	51%
3 SRMC REVENUES	20,380	9,286	16,024	46%	58%
4 TUITION AND FEES	14,921	7,330	14,806	49%	50%
5 CIGARETTE TAX APPROPRIATIONS	3,949	2,037	3,932	52%	52%
6 RPSP APPROPRIATIONS	19,690	9,845	17,902	50%	55%
7 I&G APPROPRIATIONS	64,907	32,453	61,566	50%	53%
8 I&G MAIN CAMPUS TRANSFERS	19,900	10,103	18,518	51%	55%
9 F&A REVENUES (OH RETURN)	21,500	11,175	22,595	52%	49%
10 HSC/UNM INTERNAL TRANSFERS	(3,989)	(5,902)	(6,229)	148%	95%
11 MILL LEVY	0	0	0	N/A	N/A
12 OTHER REVENUES	12,706	7,320	13,759	58%	53%
13 CONTRACT AND GRANT REVENUES	136,083	65,499	132,502	48%	49%
<b>TOTAL REVENUES</b>	<b>574,809</b>	<b>280,464</b>	<b>539,008</b>	<b>49%</b>	<b>52%</b>
14 TOTAL COMPENSATION EXPENSES	366,827	177,912	337,729	49%	53%
15 SUPPLIES/MEDICAL SUPPLIES	12,828	5,448	11,916	42%	46%
16 UNIVERSITY CLINICIANS PROGRAM	0	0	0	N/A	N/A
17 HOUSESTAFF	0	0	0	N/A	N/A
18 TRAVEL	5,808	2,423	4,700	42%	52%
19 STUDENT COSTS	3,420	754	2,422	22%	31%
20 PATIENT CARE COSTS	2,030	617	1,431	30%	43%
21 TELEPHONE/COMMUNICATION COSTS	2,109	1,119	2,257	53%	50%
22 PURCHASED SERVICES	25,321	15,491	26,891	61%	58%
23 OTHER MEDICAL SERVICES	0	0	0	N/A	N/A
24 SUB AWARDS/SERVICE CONTRACTS	572	403	1,134	70%	36%
25 O&M & LEASES	8,455	3,557	7,461	42%	48%
26 UTILITIES	4,831	2,526	4,801	52%	53%
27 DEPRECIATION	0	0	0	N/A	N/A
28 INTEREST EXPENSE	2,166	1,083	2,169	50%	50%
29 OTHER EXPENSES	7,164	1,672	2,958	23%	57%
30 CONTRACT AND GRANT EXPENSES	127,582	61,189	124,136	48%	49%
<b>TOTAL EXPENSES</b>	<b>569,112</b>	<b>274,196</b>	<b>530,005</b>	<b>48%</b>	<b>52%</b>
<b>OPERATING NET MARGIN</b>	<b>5,697</b>	<b>6,268</b>	<b>9,003</b>		
<b>NON-RECURRING ITEMS</b>					
31 CAPITAL EXPENDITURES	1,142	1,097	2,030	96%	54%
32 MEANINGFUL USE REVENUE	0	0	0	N/A	N/A
33 RETURN ON INVESTMENT - TRIWEST	0	0	0	N/A	N/A
34 USE OF UNMMG RESERVES	0	0	0	N/A	N/A
35 RECRUITMENT/STARTUP/SCHOLARSHIPS	6,180	3,028	6,225	49%	49%
36 NON-RECURRING TRANSFERS	3,459	4,521	4,440	131%	102%
<b>TOTAL NON-RECURRING ITEMS</b>	<b>10,781</b>	<b>8,646</b>	<b>12,695</b>	<b>80%</b>	<b>68%</b>
<b>NET INCOME/(USE OF RESERVES)</b>	<b>(5,084)</b>	<b>(2,378)</b>	<b>(3,692)</b>		



**UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER - UNM Hospitals Only**  
**2015 Fiscal Year-to-Date Summary through December 31, 2014 (Preliminary and Unaudited)**  
**(In thousands)**

**Clinical Operations**

	<b>UNM Hospitals FY 2015 Re-Forecasted Budget 12/31/2014</b>	<b>UNM Hospitals FY 2015 YTD 12/31/2014 Actual</b>	<b>UNM Hospitals FY 2014 Year End Actual</b>	<b>UNM Hospitals FY 2015 YTD Actual to FY 2015 Re-Forecasted Budget Benchmark Rate (50%)</b>	<b>UNM Hospitals FY 2015 YTD Actual to FY 2014 Year End Actual Benchmark Rate (50%)</b>
1 UNM MEDICAL GROUP REVENUES	0	0	0	N/A	N/A
2 UNM HOSPITALS REVENUES	768,692	388,365	709,437	51%	55%
3 SRMC REVENUES	0	0	0	N/A	N/A
4 TUITION AND FEES	0	0	0	N/A	N/A
5 CIGARETTE TAX APPROPRIATIONS	0	0	0	N/A	N/A
6 RPSP APPROPRIATIONS	13,117	6,558	12,520	50%	52%
7 I&G APPROPRIATIONS	0	0	0	N/A	N/A
8 I&G MAIN CAMPUS TRANSFERS	0	0	0	N/A	N/A
9 F&A REVENUES (OH RETURN)	0	0	0	N/A	N/A
10 HSC/UNM INTERNAL TRANSFERS	0	0	0	N/A	N/A
11 MILL LEVY	92,780	46,390	92,020	50%	50%
12 OTHER REVENUES	27,718	14,424	8,768	52%	165%
13 CONTRACT AND GRANT REVENUES	2,567	1,317	17,863	51%	7%
<b>TOTAL REVENUES</b>	<b>904,874</b>	<b>457,054</b>	<b>840,608</b>	<b>51%</b>	<b>54%</b>
14 TOTAL COMPENSATION EXPENSES	439,445	221,572	408,780	50%	54%
15 SUPPLIES/MEDICAL SUPPLIES	148,668	74,018	141,923	50%	52%
16 UNIVERSITY CLINICIANS PROGRAM	62,105	36,320	59,387	58%	61%
17 HOUSESTAFF	28,084	14,342	25,933	51%	55%
18 TRAVEL	643	324	691	50%	47%
19 STUDENT COSTS	0	0	0	N/A	N/A
20 PATIENT CARE COSTS	35,420	18,208	46,357	51%	39%
21 TELEPHONE/COMMUNICATION COSTS	3,672	1,851	3,598	50%	51%
22 PURCHASED SERVICES	44,650	21,632	33,106	48%	65%
23 OTHER MEDICAL SERVICES	39,957	17,268	27,692	43%	62%
24 SUB AWARDS/SERVICE CONTRACTS	9,507	4,793	9,322	50%	51%
25 O&M & LEASES	16,479	8,421	16,498	51%	51%
26 UTILITIES	6,574	3,314	6,316	50%	52%
27 DEPRECIATION	34,259	17,270	31,831	50%	54%
28 INTEREST EXPENSE	7,404	3,702	7,567	50%	49%
29 OTHER EXPENSES	39,965	14,081	52,478	35%	27%
30 CONTRACT AND GRANT EXPENSES	0	0	0	N/A	N/A
<b>TOTAL EXPENSES</b>	<b>916,834</b>	<b>457,116</b>	<b>871,479</b>	<b>50%</b>	<b>52%</b>
<b>OPERATING NET MARGIN</b>	<b>(11,960)</b>	<b>(63)</b>	<b>(30,871)</b>		
<b>NON-RECURRING ITEMS</b>					
31 CAPITAL EXPENDITURES	0	0	0	N/A	N/A
32 MEANINGFUL USE REVENUE	0	0	0	N/A	N/A
33 RETURN ON INVESTMENT - TRIWEST	(12,030)	(6,015)	(39,873)	50%	15%
34 USE OF UNMMG RESERVES	0	0	0	N/A	N/A
35 RECRUITMENT/STARTUP/SCHOLARSHIPS	0	0	0	N/A	N/A
36 NON-RECURRING TRANSFERS	0	0	0	N/A	N/A
<b>TOTAL NON-RECURRING ITEMS</b>	<b>(12,030)</b>	<b>(6,015)</b>	<b>(39,873)</b>	<b>50%</b>	<b>15%</b>
<b>NET INCOME/(USE OF RESERVES)</b>	<b>69</b>	<b>5,952</b>	<b>9,002</b>		



**UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER - UNMMG Only**  
**2015 Fiscal Year-to-Date Summary through December 31, 2014 (Preliminary and Unaudited)**  
**(In thousands)**

**Clinical Operations**

	<b>UNMMG FY 2015 Re-Forecasted Budget 12/31/2014</b>	<b>UNMMG FY 2015 YTD 12/31/2014 Actual</b>	<b>UNMMG FY 2014 Year End Actual</b>	<b>UNMMG FY 2015 YTD Actual to FY 2015 Re-Forecasted Budget Benchmark Rate (50%)</b>	<b>UNMMG FY 2015 YTD Actual to FY 2014 Year End Actual Benchmark Rate (50%)</b>
1 UNM MEDICAL GROUP REVENUES	181,262	90,633	162,835	50%	56%
2 UNM HOSPITALS REVENUES	0	0	0	N/A	N/A
3 SRMC REVENUES	0	0	0	N/A	N/A
4 TUITION AND FEES	0	0	0	N/A	N/A
5 CIGARETTE TAX APPROPRIATIONS	0	0	0	N/A	N/A
6 RPSP APPROPRIATIONS	815	407	815	50%	50%
7 I&G APPROPRIATIONS	0	0	0	N/A	N/A
8 I&G MAIN CAMPUS TRANSFERS	0	0	0	N/A	N/A
9 F&A REVENUES (OH RETURN)	0	0	0	N/A	N/A
10 HSC/UNM INTERNAL TRANSFERS	0	0	0	N/A	N/A
11 MILL LEVY	0	0	0	N/A	N/A
12 OTHER REVENUES	11,927	6,127	3,546	51%	173%
13 CONTRACT AND GRANT REVENUES	0	0	0	N/A	N/A
<b>TOTAL REVENUES</b>	<b>194,004</b>	<b>97,166</b>	<b>167,196</b>	<b>50%</b>	<b>58%</b>
14 TOTAL COMPENSATION EXPENSES	18,768	8,712	15,533	46%	56%
15 SUPPLIES/MEDICAL SUPPLIES	0	0	0	N/A	N/A
16 UNIVERSITY CLINICIANS PROGRAM	0	0	0	N/A	N/A
17 HOUSESTAFF	0	0	0	N/A	N/A
18 TRAVEL	0	0	0	N/A	N/A
19 STUDENT COSTS	0	0	0	N/A	N/A
20 PATIENT CARE COSTS	25,427	12,166	19,065	48%	64%
21 TELEPHONE/COMMUNICATION COSTS	0	0	0	N/A	N/A
22 PURCHASED SERVICES	139,920	69,454	115,568	50%	60%
23 OTHER MEDICAL SERVICES	0	0	0	N/A	N/A
24 SUB AWARDS/SERVICE CONTRACTS	0	0	0	N/A	N/A
25 O&M & LEASES	484	242	429	50%	56%
26 UTILITIES	0	0	0	N/A	N/A
27 DEPRECIATION	404	194	393	48%	49%
28 INTEREST EXPENSE	0	0	0	N/A	N/A
29 OTHER EXPENSES	5,601	2,999	5,614	54%	53%
30 CONTRACT AND GRANT EXPENSES	0	0	0	N/A	N/A
<b>TOTAL EXPENSES</b>	<b>190,604</b>	<b>93,768</b>	<b>156,602</b>	<b>49%</b>	<b>60%</b>
<b>OPERATING NET MARGIN</b>	<b>3,400</b>	<b>3,399</b>	<b>10,594</b>		
<b>NON-RECURRING ITEMS</b>					
31 CAPITAL EXPENDITURES	0	0	0	N/A	N/A
32 MEANINGFUL USE REVENUE	0	0	(120)	N/A	0%
33 RETURN ON INVESTMENT - TRIWEST	0	0	0	N/A	N/A
34 USE OF UNMMG RESERVES	6,500	4,954	11,455	76%	43%
35 RECRUITMENT/STARTUP/SCHOLARSHIPS	0	0	0	N/A	N/A
36 NON-RECURRING TRANSFERS	0	0	0	N/A	N/A
<b>TOTAL NON-RECURRING ITEMS</b>	<b>6,500</b>	<b>4,954</b>	<b>11,335</b>	<b>76%</b>	<b>44%</b>
<b>NET INCOME/(USE OF RESERVES)</b>	<b>(3,100)</b>	<b>(1,555)</b>	<b>(741)</b>		



**UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER - SRMC Only**  
**2015 Fiscal Year-to-Date Summary through December 31, 2014 (Preliminary and Unaudited)**  
(In thousands)

**Clinical Operations**

	<b>SRMC FY 2015 Re-Forecasted Budget 12/31/2014</b>	<b>SRMC FY 2015 YTD 12/31/2014 Actual</b>	<b>SRMC FY 2014 Year End Actual</b>	<b>SRMC FY 2015 YTD Actual to FY 2015 Re-Forecasted Budget Benchmark Rate (50%)</b>	<b>SRMC FY 2015 YTD Actual to FY 2014 Year End Actual Benchmark Rate (50%)</b>
1 UNM MEDICAL GROUP REVENUES	0	0	0	N/A	N/A
2 UNM HOSPITALS REVENUES	0	0	0	N/A	N/A
3 SRMC REVENUES	71,732	34,152	53,651	48%	64%
4 TUITION AND FEES	0	0	0	N/A	N/A
5 CIGARETTE TAX APPROPRIATIONS	0	0	0	N/A	N/A
6 RPSP APPROPRIATIONS	0	0	0	N/A	N/A
7 I&G APPROPRIATIONS	0	0	0	N/A	N/A
8 I&G MAIN CAMPUS TRANSFERS	0	0	0	N/A	N/A
9 F&A REVENUES (OH RETURN)	0	0	0	N/A	N/A
10 HSC/UNM INTERNAL TRANSFERS	0	0	0	N/A	N/A
11 MILL LEVY	5,592	2,796	7,983	50%	35%
12 OTHER REVENUES	453	243	441	54%	55%
13 CONTRACT AND GRANT REVENUES	59	59	0	100%	N/A
<b>TOTAL REVENUES</b>	<b>77,836</b>	<b>37,250</b>	<b>62,075</b>	<b>48%</b>	<b>60%</b>
14 TOTAL COMPENSATION EXPENSES	33,677	15,603	25,732	46%	61%
15 SUPPLIES/MEDICAL SUPPLIES	16,110	7,802	12,044	48%	65%
16 UNIVERSITY CLINICIANS PROGRAM	0	0	0	N/A	N/A
17 HOUSESTAFF	239	120	117	50%	103%
18 TRAVEL	10	5	20	50%	25%
19 STUDENT COSTS	0	0	0	N/A	N/A
20 PATIENT CARE COSTS	4,555	2,014	4,346	44%	46%
21 TELEPHONE/COMMUNICATION COSTS	81	41	85	50%	48%
22 PURCHASED SERVICES	3,247	1,465	3,902	45%	38%
23 OTHER MEDICAL SERVICES	426	365	369	86%	99%
24 SUB AWARDS/SERVICE CONTRACTS	1,653	833	1,377	50%	61%
25 O&M & LEASES	1,044	433	621	41%	70%
26 UTILITIES	826	417	785	50%	53%
27 DEPRECIATION	9,411	4,744	9,112	50%	52%
28 INTEREST EXPENSE	4,022	2,043	4,090	51%	50%
29 OTHER EXPENSES	2,536	1,275	2,040	50%	62%
30 CONTRACT AND GRANT EXPENSES	0	0	0	N/A	N/A
<b>TOTAL EXPENSES</b>	<b>77,835</b>	<b>37,160</b>	<b>64,640</b>	<b>48%</b>	<b>57%</b>
<b>OPERATING NET MARGIN</b>	<b>1</b>	<b>90</b>	<b>(2,565)</b>		
<b>NON-RECURRING ITEMS</b>					
31 CAPITAL EXPENDITURES	0	0	0	N/A	N/A
32 MEANINGFUL USE REVENUE	0	0	0	N/A	N/A
33 RETURN ON INVESTMENT - TRIWEST	0	0	0	N/A	N/A
34 USE OF UNMMG RESERVES	0	0	0	N/A	N/A
35 RECRUITMENT/STARTUP/SCHOLARSHIPS	0	0	0	N/A	N/A
36 NON-RECURRING TRANSFERS	0	0	0	N/A	N/A
<b>TOTAL NON-RECURRING ITEMS</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>N/A</b>	<b>N/A</b>
<b>NET INCOME/(USE OF RESERVES)</b>	<b>1</b>	<b>90</b>	<b>(2,565)</b>		



**UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER - Consolidated HSC**  
**2015 Fiscal Year-to-Date Summary through December 31, 2014 (Preliminary and Unaudited)**  
(In thousands)

**Clinical and Academic Operations**

	<b>Consolidated HSC FY 2015 Re-Forecasted Budget 12/31/2014</b>	<b>Consolidated HSC FY 2015 YTD 12/31/2014 Actual</b>	<b>Consolidated HSC FY 2014 Year End Actual</b>	<b>Consolidated HSC FY 2015 YTD Actual to FY 2015 Re-Forecasted Budget Benchmark Rate (50%)</b>	<b>Consolidated HSC FY 2015 YTD Actual to FY 2014 Year End Actual Benchmark Rate (50%)</b>
1 UNM MEDICAL GROUP REVENUES	304,524	151,437	269,491	50%	56%
2 UNM HOSPITALS REVENUES	910,192	458,879	846,414	50%	54%
3 SRMC REVENUES	92,113	43,438	69,675	47%	62%
4 TUITION AND FEES	14,921	7,330	14,806	49%	50%
5 CIGARETTE TAX APPROPRIATIONS	3,949	2,037	3,932	52%	52%
6 RPSP APPROPRIATIONS	33,622	16,810	31,237	50%	54%
7 I&G APPROPRIATIONS	64,907	32,453	61,566	50%	53%
8 I&G MAIN CAMPUS TRANSFERS	19,900	10,103	18,518	51%	55%
9 F&A REVENUES (OH RETURN)	21,500	11,175	22,595	52%	49%
10 HSC/UNM INTERNAL TRANSFERS	(3,989)	(5,902)	(6,229)	148%	95%
11 MILL LEVY	98,372	49,186	100,003	50%	49%
12 OTHER REVENUES	52,804	28,114	26,514	53%	106%
13 CONTRACT AND GRANT REVENUES	138,709	66,874	150,365	48%	44%
<b>TOTAL REVENUES</b>	<b>1,751,523</b>	<b>871,934</b>	<b>1,608,887</b>	<b>50%</b>	<b>54%</b>
14 TOTAL COMPENSATION EXPENSES	858,717	423,799	787,774	49%	54%
15 SUPPLIES/MEDICAL SUPPLIES	177,607	87,268	165,883	49%	53%
16 UNIVERSITY CLINICIANS PROGRAM	62,105	36,320	59,387	58%	61%
17 HOUSESTAFF	28,322	14,462	26,050	51%	56%
18 TRAVEL	6,461	2,752	5,411	43%	51%
19 STUDENT COSTS	3,420	754	2,422	22%	31%
20 PATIENT CARE COSTS	67,431	33,006	71,199	49%	46%
21 TELEPHONE/COMMUNICATION COSTS	5,861	3,011	5,940	51%	51%
22 PURCHASED SERVICES	213,138	108,042	179,467	51%	60%
23 OTHER MEDICAL SERVICES	40,384	17,633	28,061	44%	63%
24 SUB AWARDS/SERVICE CONTRACTS	11,732	6,029	11,833	51%	51%
25 O&M & LEASES	26,462	12,653	25,009	48%	51%
26 UTILITIES	12,231	6,257	11,902	51%	53%
27 DEPRECIATION	44,074	22,208	41,336	50%	54%
28 INTEREST EXPENSE	13,592	6,828	13,826	50%	49%
29 OTHER EXPENSES	55,266	20,027	63,090	36%	32%
30 CONTRACT AND GRANT EXPENSES	127,582	61,189	124,136	48%	49%
<b>TOTAL EXPENSES</b>	<b>1,754,385</b>	<b>862,240</b>	<b>1,622,726</b>	<b>49%</b>	<b>53%</b>
<b>OPERATING NET MARGIN</b>	<b>(2,862)</b>	<b>9,694</b>	<b>(13,839)</b>		
<b>NON-RECURRING ITEMS</b>					
31 CAPITAL EXPENDITURES	1,142	1,097	2,030	96%	54%
32 MEANINGFUL USE REVENUE	0	0	(120)	N/A	0%
33 RETURN ON INVESTMENT - TRIWEST	(12,030)	(6,015)	(39,873)	50%	15%
34 USE OF UNMMG RESERVES	6,500	4,954	11,455	76%	43%
35 RECRUITMENT/STARTUP/SCHOLARSHIPS	6,180	3,028	6,225	49%	49%
36 NON-RECURRING TRANSFERS	3,459	4,521	4,440	131%	102%
<b>TOTAL NON-RECURRING ITEMS</b>	<b>5,251</b>	<b>7,586</b>	<b>(15,843)</b>	<b>144%</b>	<b>-48%</b>
<b>NET INCOME/(USE OF RESERVES)</b>	<b>(8,113)</b>	<b>2,109</b>	<b>2,004</b>		

## GLOSSARY OF REPORT CATEGORIES

FINANCIAL STATEMENT CATEGORY		UNM	UNMMG	UNM Hospitals	SRMC
1	UNM MEDICAL GROUP REVENUES	Clinical revenue primarily based on Physician or Provider efforts and collection through UNMMG	Net patient services revenue including SCI & UPL from UNMMG Clinical operations	N/A	N/A
2	UNM HOSPITALS REVENUES	Revenue from University Hospital to the School of Medicine	N/A	Hospital facility revenue based on patient billings by UNMH	N/A
3	SRMC REVENUES	Revenue from SRMC to the School of Medicine	N/A	N/A	Hospital facility revenue based on patient billings by SRMC
4	TUITION AND FEES	Tuition & Fees, including tuition differentials	N/A	N/A	N/A
5	CIGARETTE TAX APPROPRIATIONS	Revenues received from the State as a calculated portion of Tax Revenue on the sale of tobacco products for use in Cancer Research	N/A	N/A	N/A
6	RPSP APPROPRIATIONS	Revenue received for current operations made available to UNM by act of NM State Legislature on an annual basis for Research, Public Service and Independent Operations	N/A	Revenue received for current operations made available to UNMH by act of NM State Legislature on an annual basis for Research, Public Service and Independent Operations	N/A
7	I&G APPROPRIATIONS	Revenue received for current operations made available to UNM by act of NM State Legislature on an annual basis for I&G operations	N/A	N/A	N/A
8	I&G MAIN CAMPUS TRANSFERS	Partial transfer of tuition and formula workload generated by Nursing, Pharmacy and SOM	N/A	N/A	N/A
9	F & A REVENUES (OH RETURN)	Revenue from recovery of indirect costs (F&A) incurred by a Contract or Grant also known as overhead return	N/A	N/A	N/A
10	HSC/UNM INTERNAL TRANSFERS	All transfers to/from HSC Unrestricted Funds excluding the I&G Main Campus Transfer and Transfers for Debt Service	N/A	N/A	N/A
11	MILL LEVY	N/A	N/A	Mil levy taxes collected on behalf of the Bernalillo County Treasurer and remitted to UNMH as required by the Hospital Funding Act.	Mil levy taxes collected on behalf of the Sandoval County Treasurer and remitted to SRMC as required by the Hospital Funding Act.
12	OTHER REVENUES	All other Revenues - not contained in any other category - Sales & Services (not Internal Service Center P18 sales), Gains on Sponsored Projects, Gifts, Endowment Spending Distributions, Investment Income, etc.	Other operating revenues	All other revenues not contained in any other category: Investment income, equity loss in Tricore, donated revenue, gain/loss on sale of assets, food, nutrition, catering & vending revenue, medical records revenue, rent revenue, all other non-operating revenue	All other revenues not contained in any other category: Investment income, donated revenue, gain/loss on sale of assets, food, nutrition, catering & vending revenue, medical records revenue, rent revenue, all other non-operating revenue
13	CONTRACT AND GRANT REVENUES	All Restricted Funds Revenue and Unrestricted Contract Revenue for example VA contracts	N/A	Revenue associated with restricted and unrestricted contracts and grants	N/A
14	TOTAL COMPENSATION EXPENSES	Salary, wages & benefits	Salaries and benefits considered to be operating and certain clinical expenses of UNMMG	Salary, wages & benefits	Salary, wages & benefits

## GLOSSARY OF REPORT CATEGORIES

FINANCIAL STATEMENT CATEGORY	UNM	UNMMG	UNM Hospitals	SRMC
15 SUPPLIES/MEDICAL SUPPLIES	Office supplies, computer supplies, dues & memberships, postage charges, recruitment expenses, non capital equipment, computers, food, lab supplies, uniforms, training materials, etc.	N/A	Medical supplies for: lab, radiology, blood, pharmaceuticals, biologics, implantable devices, office supplies, computer supplies, photocopy expense, forms, linen, food, uniforms, and training materials.	Medical supplies for: lab, radiology, blood, pharmaceuticals, biologics, implantable devices, office supplies, computer supplies, photocopy expense, forms, linen, food, uniforms, and training materials.
16 UNIVERSITY CLINICIANS PROGRAM	N/A	N/A	Special clinician program to support SOM	Special clinician program to support SOM
17 HOUSESTAFF	N/A	N/A	Reimbursement of patient care services provided by residents who are employed by SOM.	Reimbursement of patient care services provided by SOM through UNMMG.
18 TRAVEL	Travel - in state, out of state, foreign, business meals, rental vehicles, new employee moving expenses, vehicle fuel etc.	N/A	Travel - in state, out of state, business meals, rental vehicles, flights, ground transportation, vehicle fuel etc.	Travel - in state, out of state, business meals, rental vehicles, flights, ground transportation, vehicle fuel etc.
19 STUDENT COSTS	Student tuition/fee expense, student travel, scholarships/fellowships, housing, insurance for students, student awards, etc.	N/A	N/A	N/A
20 PATIENT CARE COSTS	Patient Care costs for inpatient, outpatient care & lab, pharmacy and x-ray services for patients	Patient Care costs for inpatient, outpatient care & lab, pharmacy and x-ray services for patients	Patient Care costs for inpatient, outpatient care including Tricore laboratory expense, OR Instruments, NM Insurance pool premiums, kidney acquisition, step down care (snf) for IV dependent patients, radiation oncology and patient assistance	Patient Care costs for inpatient, outpatient care including Tricore laboratory expense, OR Instruments, NM Insurance pool premiums, kidney acquisition, step down care (snf) for IV dependent patients, radiation oncology and patient assistance
21 TELEPHONE/COMMUNICATION COSTS	Telephone, voicemail, cellular, long distance, paging and data networking charges	N/A	Telephone, voicemail, cellular, long distance, paging and data networking charges	Telephone, voicemail, cellular, long distance, paging and data networking charges
22 PURCHASED SERVICES	Alarm fees, internet fees, architectural services, auditing services, printing/copying/binding fees, conference/event fees, honoraria, insurance charges (general liability, professional liability), legal services, electronic journals & books, consultant fees, etc.	Includes payment to UNM SOM for physician and other provider services	Recruitment, professional, legal, auditing, consulting fees, promotional/graphics, IT Hosting Cerner, Siemens and PACS, safety and risk services, equifax, laundry, malpractice and liability insurance, etc.	Recruitment, professional, legal, auditing, consulting fees, promotional/graphics, IT Hosting Cerner, Siemens and PACS, safety and risk services, equifax, laundry, malpractice and liability insurance, etc.
23 OTHER MEDICAL SERVICES	N/A	N/A	Pathology contract, physician services, Executive Medical Directors, Medical Directors, dialysis services for UNM Care patients, OMI MOU, Adult Infusion net	Physician services
24 SUB AWARDS/SERVICE CONTRACTS	Unrestricted Sub Awards, Gain/Loss on Unrestricted Projects	N/A	All service contracts	All service contracts
25 O&M & LEASES	Plant maintenance and repairs, equipment repairs and maintenance, property insurance, auto insurance, facility rent expense	Building maintenance and repairs, equipment repairs and maintenance, repair parts, equipment rent, property insurance, auto insurance, facility rent expense, and housekeeping supplies	Building maintenance and repairs, equipment repairs and maintenance, repair parts, equipment rent, property insurance, auto insurance, facility rent expense, and housekeeping supplies	Building maintenance and repairs, equipment repairs and maintenance, repair parts, equipment rent, property insurance, auto insurance, facility rent expense, and housekeeping supplies
26 UTILITIES	Natural gas, electricity, steam, sewer, water, chilled water	N/A	Natural gas, electricity, steam, sewer, water, chilled water	Natural gas, electricity, steam, sewer, water, chilled water

## GLOSSARY OF REPORT CATEGORIES

FINANCIAL STATEMENT CATEGORY		UNM	UNMMG	UNM Hospitals	SRMC
27	DEPRECIATION	N/A	The annual amortization for the furniture and equipment.	The annual amortization for the capital outlay associated with building, building improvement, fixed and moveable equipment which is based on the estimated useful lives of the assets as determined by the AHA "Estimated useful lives of Depreciable Hospital Assets".	The annual amortization for the capital outlay associated with building, building improvement, fixed and moveable equipment which is based on the estimated useful lives of the assets as determined by the AHA "Estimated useful lives of Depreciable Hospital Assets".
28	INTEREST EXPENSE	Transfers to cover debt service	N/A	Interest expense associated with the series 2004 FHA Insured Hospital Mortgage Revenue Bonds.	SRMC receives subsidy payments related to interest payments under the federal Build America Bond program. Under the program SRMC applies for subsidy funds commensurate with each bond payment, so the application for the subsidy is made semiannually. Also includes interest expense on the Series A & B Bonds.
29	OTHER EXPENSES	Banking fees, cost of goods sold, research costs, royalties, bad debt expense, other operating costs, Banner tax, etc.	Billings & collections expenses and other operating expenses of UNMMG	Programming, application, software and maintenance expenses, non capital equipment (less than \$5k), signs, dues & memberships, freight, postage, subscriptions, licenses/permits, Gap Tax, Intergovernmental Transfers for the SCI and IME, bond issuance costs, capital initiatives and other non-operating expenses.	Programming, application, software and maintenance expenses, non capital equipment (less than \$5k), signs, dues & memberships, freight, postage, subscriptions, licenses/permits, Gap Tax, Intergovernmental Transfers for the SCI and IME, bond issuance costs, capital initiatives and other non-operating expenses.
30	CONTRACT AND GRANT EXPENSES	All Restricted Funds Expense and Unrestricted Contract Expense for example VA contracts	N/A	N/A	N/A
31	CAPITAL EXPENDITURES	Equipment/furniture >\$5,000, Computer hardware, library acquisitions, etc.	N/A	N/A	N/A
32	MEANINGFUL USE REVENUE	N/A	Medicare and Medicaid programs provide incentive payments for hospital and physicians that make meaningful use of certified electronic health record technology.	Medicare and Medicaid programs provide incentive payments for hospital and physicians that make meaningful use of certified electronic health record technology.	N/A
33	RETURN ON INVESTMENT - TRIWEST	NA	NA	Dividend payments from investment in TriWest	NA
34	USE OF UNMMG RESERVES	N/A	To fund and support the mission of the Health Sciences Center.	N/A	N/A
35	RECRUITMENT/STARTUP/SCHOLARSHIPS	Chair and Startup Packages, Dean's Scholars/Professorships, and Recruitment Costs	N/A	N/A	N/A
36	NON-RECURRING TRANSFERS	Transfers from current unrestricted funds to plant funds or any other non-recurring transfers	N/A	N/A	N/A





**UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER**  
**Balance Sheet for the Health Sciences Center**  
**2015 Fiscal Year as of December 31, 2014 (Preliminary and Unaudited)**  
**(In thousands)**

	University HSC	UNM Hospitals	UNMMG	SRMC
<b>ASSETS</b>				
1 Cash and cash equivalents	22,380	138,314	22,588	9,935
2 Marketable securities	33,569	34,164	-	-
Receivables:		-		
3 Patient, net	959	83,798	26,773	7,002
4 Receivable from Affiliates	56,049	2,693	4,119	505
5 Estimated third party payor settlements	-	30,464	-	2,542
6 County Treasurer	-	25,136	-	1,479
7 Contracts, Grants and Other	4,413	10,955	-	996
8 Other	5,505	17,221	457	2,815
Total Current Assets	122,875	342,745	53,937	25,274
Assets whose use is limited:				
9 Held by trustee for debt service & other	-	43,539	-	9,752
10 By UNM Hospital Board of Trustees	-	21,403	-	-
11 Board and Other Designated Assets	126,025	-	20,070	-
12 Endowments	108,147	-	-	-
13 Capital Assets, net of depreciation	264,625	236,566	2,870	126,274
<b>TOTAL ASSETS</b>	<b>621,672</b>	<b>644,253</b>	<b>76,878</b>	<b>161,300</b>
<b>LIABILITIES</b>				
14 Accounts Payable	6,666	40,047	5,378	4,416
15 Other accrued liabilities	39,123	40,480	-	2,488
16 Payable to Affiliates	2,458	32,823	26,801	1,284
17 Bonds payable - current	942	5,630	-	1,635
18 Interest payable bonds	104	3,844	-	3,053
19 Estimated third party payor settlements	-	42,573	-	-
20 Bonds payable - non current	17,200	151,075	-	131,880
21 Other liabilities - non current	25,225	7,748	-	-
22 Sponsored Project and Other Deferred Revenue	17,805	-	-	-
<b>TOTAL LIABILITIES</b>	<b>109,523</b>	<b>324,220</b>	<b>32,179</b>	<b>144,756</b>
<b>NET POSITION</b>				
23 Restricted - expendable grants, bequests and contributions	109,642	15,310	-	61
24 Restricted - trust indenture and debt agreement	-	29,695	20,070	9,474
25 Committed and Dedicated agreements	145,037	-	-	-
26 Discretionary Reserve	10,988	181,828	21,758	7,009
27 Assets invested in Capital, net of related debt	246,482	93,200	2,870	-
<b>TOTAL NET POSITION</b>	<b>512,149</b>	<b>320,033</b>	<b>44,699</b>	<b>16,544</b>
Current Ratio	2.49	2.07	1.68	3.09
Days Cash on Hand	N/A	58.43	53.35	61.72



# UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER

## Glossary of Report Categories

	University HSC	UNM Hospitals	UNMMG	SRMC
<b>ASSETS</b>				
1 Cash and cash equivalents	Funds on deposit with Financial Institution with UNM	Funds on deposit with Financial Institution	Funds on deposit with Financial Institution	Funds on deposit with Financial Institution, plus the remaining balance of the \$46m
2 Marketable securities	Funds invested in securities in accordance with UNM Investment policy	Funds invested in US Treasury	N/A	N/A
3 Patient, net	Pediatric/Newborn Transport Services, net of estimated contractual allowances and estimated bad debt	Patient Receivable after adjustments for discounts and allowances	Patient Receivable for care provided by UNM SOM facility and other practitioners, net of estimated contractual allowances and estimated bad debt	Patient Receivable for facility costs, net of estimated contractual allowances and estimated bad debt
4 Receivable from Affiliates	Related Party Receivables for goods or services provided to UNM Hospitals, UNMMG and SRMC	Related Party Receivable from University HSC and SRMC	Related Party Receivable for negative arbitrage for SRMC	Related Party Receivable from UNMMG and UNMH
5 Estimated third party payor settlements	N/A	Accounts Receivable for IME/GME /DSH	N/A	
6 County Treasurer	N/A	Bernalillo County Mil Levy Receivable	N/A	Sandoval County Mil Levy Receivable
7 Contracts, Grants and Other	Sponsored project receivables and other services invoiced by UNM such as OMI, Resident Physician contracts, etc.	Accounts Receivable from Contracts/UNM MG/Special Billing/Investments	N/A	Other receivable balances. As of year end, a receivable was recorded for the insurance proceeds associated with the damage in the OR due to the flood
8 Other	Prepaid contractual obligations, such as library subscription services and maintenance contacts	Prepaid Expense and Inventory	Pharmacy Inventory	Prepaid Expense and Inventory
9 Held by trustee for debt service & other	N/A	Debt/Mortgage reserve funds to include collateral and intangible assets	N/A	Funds held in Trustee Accounts, Mortgage reserve funds, bond debt service accounts
10 By UNM Hospital Clinical Operations Board	N/A	Investment in TriWest/Tricore Reference Laboratory	N/A	N/A
11 Board and Other Designated Assets	Funds dedicated to UNM Hospitals Capital Initiatives and unexpended plant funds	14 N/A	Department, SOM Investment in UNMMG and Quality Reserves	The original bond proceeds were used to purchase GNMA's which collateralize the mortgage with Prudential



# UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER

## Glossary of Report Categories

	University HSC	UNM Hospitals	UNMMG	SRMC
12 Endowments	Balance of endowed corpus funds generating revenue according to donor intent	N/A	N/A	N/A
13 Capital Assets, net of depreciation	Property, Plant and Equipment	Land, Property, Plant and Equipment	Furniture and Equipment	Land, Property, Plant and Equipment
<b>LIABILITIES</b>				
14 Accounts Payable	Unpaid balances owed to vendors for goods and services; amounts owed to employees for expense reimbursements	Amount owed to creditors	Unpaid balances owed to vendors for goods and services; accrued payroll and other payroll benefits and taxes	Unpaid balances owed to vendors for goods and services
15 Other accrued liabilities	Payroll withholding liabilities and estimated value of compensated absences	Accrued liabilities to include payroll, compensated benefit, and Incurred but not Recognized (IBNR) State Coverage Insurance	N/A	Accrued liabilities to include payroll, compensated benefits and other payroll benefits and taxes
16 Payable to Affiliates	Unpaid balances for services provided by UNM Hospitals, and UNMMG	Unpaid balances for services provided by University HSC	Unpaid balances for services provided by University HSC for purchased services, special arrangements, and indigent distribution	Unpaid balances for services provided by University HSC, UNMMG and UNMH
17 Bonds payable - current	Debt service payment that will be due within the next twelve months	Series 2004 Bonds payable in next year - FHA Insured Hospital Mortgage Revenue Bonds	N/A	Debt service payment that will be due within the next twelve months
18 Interest payable bonds	Interest Payable on outstanding debt	Interest Payable Series 2004 Bonds (FHA Insured Hospital Mortgage Revenue Bonds)	N/A	Interest Payable on Bonds
19 Estimated third party payor settlements	N/A	Medicare/Medicaid Settlements	N/A	N/A
20 Bonds payable - non current	Outstanding balance due in the long-term for bond funding	Series Bonds Payable in the long-term (FHA Insured Hospital Mortgage Revenue Bonds)	N/A	Outstanding balance due in the long-term for FHA Insured Hospital Revenue Bonds
21 Other liabilities - non current	Estimated future cost associated with Other Post Retirement Benefits (OPEB) and self-funded health insurance plan	Estimated future cost associated with Other Post Employment Benefits (OPEB) and bond premiums	N/A	The balance of the mortgage payable
22 Sponsored Project and Other Deferred Revenue	Prepaid contract and grant revenue, tuition, and other prepaid student fees	15 N/A	N/A	N/A



**UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER**  
**Glossary of Report Categories**

**University HSC**

**UNM Hospitals**

**UNMMG**

**SRMC**

**NET POSITION**

23	Restricted - expendable grants, bequests and contributions	Restricted monies used in accordance with donor intent, contracts and bequests	Restricted monies used in accordance with donor intent, contracts and bequests	N/A	Restricted monies used in accordance with donor intent, contracts and bequests
24	Restricted - trust indenture and debt agreement	N/A	Restricted monies for Debt Service, Collateral, and Mortgage Reserve	N/A	Restricted monies for Mortgage reserve, Bond Debt Service
25	Committed and Dedicated agreements	Funds that have been committed for future expenditures. Dedicated funds have a clear focused purposed with for specific use.	N/A	Department, SOM Investment in UNMMG and Quality Fund Balances	N/A
26	Discretionary Reserve	Remaining fund balance after accounting for all restricted, committed and dedicated funds	Chief operating fund for all financial resources except those accounted for in another fund	Other operating reserves	Chief operating fund for all financial resources except those accounted for in another fund
27	Assets invested in Capital, net of related debt	Property, Plant and Equipment after adjustment for related bond debt	Property, Plant and Equipment after adjustment for related bond debt	Furniture and Equipment after adjustment for related bond debt	Property, Plant and Equipment after adjustment for related bond debt

Update from the Provost  
*will be provided at the meeting*

## Approval of Honorary Degree Candidates

*confidential material for closed session discussion*



# THE UNIVERSITY *of* NEW MEXICO

Office of the Vice Provost for Academic Affairs  
MSC05 3400  
1 University of New Mexico  
Albuquerque, NM 87131-0001  
505.277.2611

Date: November 6, 2014

To: Laura Musselwhite, Dean of Instruction, UNM-Valencia Campus

From: Gregory L. Heileman, Associate Provost for Curriculum

Re: Pre-Approval for Health Information Technology Associate of Science Degree, and  
Certificates in 3-D Printing, Film Technology, and Medical Assistance.

Cc: Chaouki Abdallah, Provost and EVP for Academic Affairs  
Carolyn Montoya, Chair, Faculty Senate Curriculum Committee  
Nancy Middlebrook, University Accreditation Director  
Elizabeth Keefe, Chair, Faculty Senate Undergraduate Committee  
Elizabeth Barton, Associate Registrar

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Thank you for submitting the preliminary review outline for the following programs and certificates: Health Information Technology Associate of Science Degree, 3-D Printing Certificate, Film Technology Certificate, and Medical Assistant Certificate. In my judgment, the four proposals have been sufficiently well developed to warrant submission to the Faculty Senate Curricula Committee, please feel free to proceed.



THE UNIVERSITY of NEW MEXICO

*New Mexico's Flagship University*

Office of the Provost and Executive Vice President for Academic Affairs  
MSC05 3400  
1 University of New Mexico  
Albuquerque, NM 87131-0001  
505.277.2611

**NEW ASSOCIATE DEGREE/UNDERGRADUATE CERTIFICATE PROGRAM  
PRELIMINARY REVIEW AND PROPOSAL OUTLINE  
UNM-Valencia, Film Technology Certificate**

**Executive Summary**

**1. Program Description**

UNM-Valencia proposes to offer a Certificate in Film Technology. The program's major goal is to train students as entry-level technicians to work on film and television sets as production assistants and in other film crew positions. Students earning a Certificate in Film Technology receive quality instruction from instructors who are experts in the film industry preparing them for entry-level gainful employment in below-the-line production jobs on film sets. Creating programs that directly connect students with workforce opportunities in a timely fashion directly fits with the community-based mission of the branch campuses. Curriculum for the three courses has been developed and is waiting for implementation. With approval, we could begin offering new classes in fall 2015.

**2. Evidence of Need**

UNM-Valencia's service area includes Valencia and Socorro counties, as well as southwestern Torrance county. Students in this service area reside between thirty and seventy-five miles from Albuquerque. A film technology training facility in Valencia County will make the program much more accessible to students in this area. New Mexico's qualified crew base has expanded in recent years, with Audio and Video Equipment Technicians having an entry hourly wage of \$17.56, with a median hourly wage of \$21.77. Camera Operators, Television, Video, and Motion Picture Technicians have an entry hourly wage of \$17.76, and a median hourly wage of \$24.34. The New Mexico Department of Workforce Solutions projects a growth of needed workers in this field of 21% from 2010 to 2010.

**3. Program Content and Quality**

The two-year, 32 credit-hour certificate in Film Technology will offer specialized training in film industry pre-production, production and post-production for students to gain experience and knowledge of the film industry. The certificate is designed to develop hands-on skills by using and experimenting with equipment covering all aspects of filmmaking, better preparing students for employment on film and television sets as production assistants and other film crew positions.



Upon successful completion of the required courses for the Film Technology certificate, students will be able to:

- Identify and define concepts and rules of filmmaking.
- Demonstrate audio, camera and lighting equipment operations and functions.
- Utilize various post-production and editing software.
- Develop a film portfolio to showcase skills.

#### **4. Evaluation and Assessment**

The courses are a combination of didactic instruction, hands-on skills training and on-the-job practicum. Students will be assessed through both written and skills testing, as well as the completion of the practicum. There will be annual Advisory Board Meetings for updates on industry standards and needs. These meeting also provide an opportunity for program leaders to reach back to the community for confirmation of the success of graduates in the workforce.

#### **5. Required Resources**

One faculty member is required for program delivery with minimum qualifications of an associate's degree plus five years' experience in the film industry or related field. No change in workload of current faculty would be necessary. Our Perkins Career Technical Education (CTE) grant will continue to fund training for the film technology instructor in current industry certifications and professional development. No additional resources are being requested for this program.

Digital Media Arts and Film Technology internships are being arranged with the City of Belen and other community organizations that need digital media support. Students in the last semester of CTE programs put in forty hours or more in a co-op or internship that is arrange by our campus Career Services.

A special \$25 lab fee will cover basic maintenance and upgrades commonly associated with labs and studios.

#### **6. Projected Enrollment and Costs**

The following are enrollment estimates for the first three years of the program:

Year 1: Two courses a semester with six students each

Year 2: Two courses a semester with ten students each

Year 3: Two courses a semester with twelve students each

New and innovative equipment will continue to be purchased with the Carl Perkins CTE grant. We do not envision additional costs to be associated with this program.

## **Faculty and Budgetary Load Implications**

### **Justification for the course:**

The need for skilled labor in Film and Television Production Industry continues to grow in New Mexico. Since 2006 32 major productions took place in the UNM Valencia Campuses service area with 47% of the productions in the last four years. By learning the various types of film and television production skills, students will be well informed and trained to gain entry level production work on local productions filling the need for workforce.

According to the New Mexico Film Production Tax Incentive Study July 21, 2014 Phase I Report, under NEW MEXICO'S COMPETITIVE POSITION FOR FILM AND TELEVISION PRODUCTION

#### **2.1.2 EMPLOYMENT**

The number of New Mexico production worker days has grown over the past decade. Since the enactment of New Mexico's incentive program in 2002, the state has experienced a general increase in production worker days.

According to the Bureau of Labor Statistics, private sector employment in the motion picture and video production industry in New Mexico grew from 362 in 2003 to 2,209 in 2012; a more than six fold increase. In comparison, national private sector employment in the industry grew to just slightly above 2003 levels, from 176,455 employees in 2003 to 204,946 in 2012.

#### **4.1 FACTORS AFFECTING THE LOCATION OF PRODUCTIONS, TABLE 9: FACTORS AFFECTING THE LOCATION OF FILM AND TELEVISION PRODUCTION**

Resource Availability: Availability of Skilled Labor - The availability and quality of local crews is an important element for consideration in film and television production location decisions. The absence of local crews can be expensive, as crews need to be sourced from other regions. On occasion projects are relocated on the basis of the availability of local crews.

Financial Considerations: Economic Conditions - The economic conditions of a jurisdiction may also affect production location decisions. Favorable economic conditions, such as lower labor costs and exchange rates, can result in significant cost savings. For instance, many North American production companies have been shooting in overseas locations with notably lower labor costs (such as Budapest and Prague).

#### **4.2 NEW MEXICO'S PERCEIVED COMPETITIVE POSITION - TABLE 10: NEW MEXICO'S PERCEIVED COMPETITIVE POSITION IN FILM AND TELEVISION PRODUCTION**

Resource Availability - New Mexico's qualified crew base has expanded in recent years. For example, the number of members of the IATSE Local 480 has grown from 995 in 2011 to 1,024 in 2013. Over the same time frame, the number of man days worked increased from 98,175 in 2011 to 135,928 in 2013.

#### Dept of Labor Occupational Employment Statistics

Audio and Video Equipment Technicians Entry: \$17.56, Median: \$21.77

Camera Operators, Television, Video, and Motion Picture Entry: \$17.76, Median: \$24.34

#### New Mexico Department of Workforce Solutions Employment Projections 2010-2020

Audio & Video Equipment Technicians Estimated 2010: 330, Projected 2020: 400, Number change: 70, Percent Change: 21%, Annual Openings: 20

### **Impact on Long Range Planning:**

This certificate could develop into additional certificates such as screenwriting, sound, lighting and so forth. Film Technician certificate will also provide a strong foundation for students who want to continue film and television studies at a 4 year institution.

For compliance with our Carl Perkins Grant a Film Technology CTE advisory board was created including representatives from the CNM and SFCC film programs. Such statewide working relations will greatly ensure success for a skilled workforce necessary to the continued growth of our NM Film Industry.

According to the New Mexico Film Production Tax Incentive Study July 21, 2014 Phase I Report, under NEW MEXICO'S COMPETITIVE POSITION FOR FILM AND TELEVISION PRODUCTION

6.4 EDUCATIONAL BENEFITS - The educational benefits of film and television production in New Mexico are planned to be addressed in Phase 3 of the project. However, to provide context regarding some of those benefits, we have included some preliminary information below.

The state of New Mexico is home to a variety of film and television related educational programs that provide students with many options to prepare themselves for a career in the film and television industry. New Mexico has film-related programs at a number of high schools and post-secondary institutions that encourage and prepare youth to enter the film and television industry. The New Mexico Film Office also offers an on-the-job training program for residents interested in adding skill sets or moving to higher positions within their department which, in turn, provides more job opportunities for New Mexico film and television crew professionals.

### **Detailed Budget Analysis**

The certificate program is manageable within the current department budget.

Special \$25 lab fee will cover basic maintenance and upgrades commonly associated with computer labs and studios.

Our Carl Perkins CTE grant enabled the purchase of industry standard pre-production, production and post-production equipment and software, as well as a .5 half-time faculty member to facilitate the implementation of new courses. Future certificate program costs will be explored using Carl Perkins Grant funds and the budget will be adjusted as necessary. Currently UNM Valencia is paying .25 of the half-time faculty members salary with intention to continue .5 faculty contract based on success of certificate program.

### **Faculty Workload Implications**

Faculty workload will not change. The .5 half-time faculty member can facilitate instruction for the certificate program.

## **Degrees & Courses**

### **Film Technology**

#### **Film Technology Certificate description**

The two year certificate in Film Technology offers specialized training in film industry pre-production, production and post-production for students to gain experience and knowledge of the film industry. The certificate is designed to develop hands-on skills by using and experimenting with equipment covering all aspects of filmmaking better preparing students for employment on film and television sets as production assistants and other film crew positions.

#### **Career and educational advancement opportunities**

Film and television productions that often shoot in the area need reliable, trained crew members. Film Technology graduates will be prepared for entry-level crew positions in the areas of film and television production.

#### **Program requirements**

Total credit hours required: 32 (see checklist for specific requirements).

#### **Program learning goals**

Upon successful completion of the required courses for the Film Technology certificate, our students will:

- Identify and define concepts and rules of filmmaking.
- Demonstrate audio, camera and lighting equipment operations and functions.
- Utilize various post-production and editing software.
- Develop a film portfolio to showcase skills.

#### **CONTACT AND ADVISING INFORMATION**

Information about the Film Technology certificate is available from Advisement Center at 505.925.8560 or [vcadvise@unm.edu](mailto:vcadvise@unm.edu), or the Chair of the Business, Technology & Fine Arts Division, Michael Ceschiat, at 505.925.8712 or [ceschiat@unm.edu](mailto:ceschiat@unm.edu), or the lead faculty for the program, Justin Romine, 505.925.8711 or [jromine@unm.edu](mailto:jromine@unm.edu).

#### **Course prerequisites**

Students must meet prerequisites by achievement of minimum placement scores on the COMPASS or ACT or through completion of course work.

**Course:** ENGL 100 & UNIV 101 or equivalent – or place into ENGL 110;

**Credit hours:** 4 & 3; **COMPASS/ACT Minimum Scores:** 75/19

## **Degrees & Courses**

### **Film Technology Certificate requirements**

*The following are the course requirements for completion of an Film Technician Certificate. Students should see an advisor to customize their educational plans.*

	Credit hours
DMA 120 Intro to TV and Film Production	4
DMA 125 Intro to Post-Production Editing	4
DMA 130 Cinematography	4
DMA 135 Short Film Production	4
DMA 140 Commercial Production	4
DMA 145 Documentary Film Production	4
DMA 150 Film and TV On Set Internship	4
DMA 155 Co-Op Feature Film Production	4



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**NEW ASSOCIATE DEGREE/UNDERGRADUATE CERTIFICATE PROGRAM  
PRELIMINARY REVIEW AND PROPOSAL OUTLINE  
UNM-Valencia, Film Technology Certificate**

**Executive Summary**

Please see below for a brief summary of the first seven elements listed for the full proposal, namely: program description, evidence of need, program content, evaluation and assessment, required resources, projected enrollment and costs, and additional information (as appropriate).

**1. Program Description**

The UNM-Valencia proposes to offer a Certificate in Film Technology. The program's major goal is to train students as entry level technicians to work on film and television sets as production assistants and other film crew positions.

The UNM-Valencia mission is: *A quality education – a lifetime of success*. Students earning a Certificate in Film Technology receive quality instruction from instructors who are experts in the film industry preparing them for entry-level gainful employment in below-the-line production jobs on film sets. To fit in with the mission, we will provide quality training for students to earn livable wages. One of the strategic goals in the 2012-2017 UNM-Valencia Strategic Plan is to create new programs and courses based on community and industry needs and interests. Creating programs that directly connect students with workforce opportunities in a timely fashion directly fits with the community-based mission of the branch campuses.

UNM-Los Alamos offers Digital Media Art (DMA) courses predominantly in Adobe Photoshop and Illustrator with video, not Film Technology. The new film and television industry direction in DMA courses will only add to potential expansion of DMA Film Technology certificates at other branch campuses, assisting the industry need for local trained skilled workers.

Film Technology is a career technical education (vocational) program. This new and innovative direction in DMA is funded by our campus Carl Perkins CTE grant. In order to provide CTE programs funded by Perkins, it is paramount that industry partners participate in the development

of curriculum to meet the needs of the industry. UNM-Valencia works with advisory board members in Film Technology to ensure the curricula we are teaching students gives them the appropriate skills to obtain work at high wages. Advisory Board members include Central New Mexico Community College (CNM) and Santa Fe Community College (SFCC) film technology program coordinators who have agreed to mentor our new program into the state of New Mexico film industry.

Our Associate of Applied Science program in DMA has had a concentration in film since its inception. As student interest grew in the film area, and more film industry productions were being shot annually in our community, we decided to add a new and innovative curriculum to DMA that concentrates on film and motion picture design. Three topics classes have been offered since the new direction started in spring 2014. Five more courses are required to complete a full potential certificate cycle.

## **2. Evidence of Need**

Multiple sections of different film production classes have been offered over the past three years to test the waters. As the topics classes continued to be successful, our advisory board members and area faculty recommended pursuing a film technology certificate, with the objective being to facilitate the skills training our students need for jobs in the local film industry.

The UNM-Valencia Public Information Officer will produce television, web and social media advertisement campaigns for the new certificate program to recruit students from Belen, Los Lunas and Valencia high schools, as well from the general public. Courses will be listed in the UNM-Valencia schedule of classes for summer, fall and spring of the next academic year following approval.

CNM in Albuquerque has a Film Crew Technician program (coordinator Jim Graebner is on our CTE Advisory Board), UNM-Valencia's service area, as determined by the New Mexico Higher Education Department Geographical Area of Responsibility (GAR), includes Valencia and Socorro counties, as well as southwestern Torrance county. Students in this service area reside between thirty and seventy-five miles from Albuquerque. A film technology training facility in Valencia County will make the program much more accessible to students in this area

According to the New Mexico Film Production Tax Incentive Study July 21, 2014 Phase I Report, under NEW MEXICO'S COMPETITIVE POSITION FOR FILM AND TELEVISION PRODUCTION:

### **4.1 FACTORS AFFECTING THE LOCATION OF PRODUCTIONS, TABLE 9: FACTORS AFFECTING THE LOCATION OF FILM AND TELEVISION PRODUCTION**

Resource Availability: Availability of Skilled Labor - The availability and quality of local crews is an important element for consideration in film and television production location decisions.

The absence of local crews can be expensive, as crews need to be sourced from other regions. On occasion, projects are relocated on the basis of the availability of local crews.

Financial Considerations: Economic Conditions - The economic conditions of a jurisdiction may also affect production location decisions. Favorable economic conditions, such as lower labor costs and exchange rates, can result in significant cost savings. For instance, many North American production companies have been shooting in overseas locations with notably lower labor costs (such as Budapest and Prague).

#### 4.2 NEW MEXICO'S PERCEIVED COMPETITIVE POSITION, TABLE 10: NEW MEXICO'S PERCEIVED COMPETITIVE POSITION IN FILM AND TELEVISION PRODUCTION

Resource Availability - New Mexico's qualified crew base has expanded in recent years. For example, the number of members of the IATSE Local 480 has grown from 995 in 2011 to 1,024 in 2013. Over the same time frame, the number of days worked increased from 98,175 in 2011 to 135,928 in 2013.

##### Department of Labor Occupational Employment Statistics

Audio and Video Equipment Technicians Entry Hourly Wage: \$17.56, Median: \$21.77

Camera Operators, Television, Video, and Motion Picture Entry Hourly Wage: \$17.76, Median: \$24.34

##### New Mexico Department of Workforce Solutions Employment Projections 2010-2020

Audio and Video Equipment Technicians Estimated 2010: 330; Projected 2020: 400

Number change: 70; Percent Change: 21%; Annual Openings: 20

### **3. Program Content and Quality**

The two-year 32 credit-hour certificate in Film Technology offers specialized training in film industry pre-production, production and post-production for students to gain experience and knowledge of the film industry. The certificate is designed to develop hands-on skills by using and experimenting with equipment covering all aspects of filmmaking, better preparing students for employment on film and television sets as production assistants and other film crew positions.

##### New DMA Courses for Film Technician Certificate

DMA 120 Intro to TV and Film Production

DMA 125 Intro to Post-Production Editing

DMA 130 Cinematography

DMA 135 Short Film Production

DMA 140 Commercial Production

DMA 145 Documentary Film Production



DMA 150 Film and TV on-set Internship  
DMA 155 Co-Op Feature Film Production

New DMA film technology courses will be offered at times not competing with other DMA classes.

Upon successful completion of the required courses for the Film Technology certificate, students will be able to:

- Identify and define concepts and rules of filmmaking.
- Demonstrate audio, camera and lighting equipment operations and functions.
- Utilize various post-production and editing software.
- Develop a film portfolio to showcase skills.

Lecture and Lab classes with Blackboard Learn will be the delivery mechanism for the program.

No accreditation will be sought. CTE Industry certifications in software applications will be explored. This program will not affect any existing accreditation.

#### **4. Evaluation and Assessment**

The courses are a combination of didactic instruction, hands-on skills training and on-the-job practicum. Students will be assessed through both written and skills testing, as well as the completion of the practicum. This program, like all UNM-Valencia programs, will participate in an annual program assessment cycle of student learning outcomes. There will be annual Advisory Board Meetings for updates on industry standards and needs. These meeting also provide an opportunity for program leaders to reach back to the community for confirmation of the success of graduates in the workforce.

#### **5. Required Resources**

One faculty member is required for program delivery with minimum qualifications of an associate's degree plus five years' experience in the film industry or related field. Preferred qualifications would be a bachelor's degree or higher in related field. No change in workload of current faculty would be necessary. UNM-Valencia completed a successful national search for .5 half-time film technology instructor funded by the Carl Perkins CTE grant, with employment started spring 2014. No additional faculty is required. UNM-Valencia has begun to pick up .25 percentage of faculty hired, and is committed to continued .5 halftime faculty contract at \$27,500 plus \$6,215 in benefits based on success of program.

The Perkins CTE grant will continue to fund training for the film technology instructor in current industry certifications and professional development. Resources are currently available for this program. Perkins CTE grant funding allowed the purchase of cinematography cameras, boom mikes, lighting and sound mixers, green screens and editing software programs and new Apple computers so students can create and retain a portfolio of projects. There is no need for

additional space at the moment. UNM-Valencia currently has a dedicated film lab. Depending on how the program grows, more facility space may be required.

To continually strengthen the academic and career/technical education components of our CTE programs, UNM-Valencia provides students intensive tutoring and supplemental instruction in gateway courses with qualified and certified tutors. Students who need assistance in CTE or academic core classes have access to certified tutors by appointment. Supplemental instruction includes advanced students who have excelled in their classes of expertise and can provide students with a peer-to-peer mentorship. UNM-Valencia hosts Trio, Upward Bound, STEM Center, Highway to Success and iBEST programs that work directly with students from traditionally underserved population, whether displaced homemakers, single parents, or students with disabilities; these programs offer one on one tutoring and career guidance to help strengthen both the academic and CTE skills of students. No additional costs will be incurred.

DMA and Film Technology internships are being arranged with the City of Belen and other community organizations that need digital media support. Students in the last semester of CTE programs put in forty hours or more in a co-op or internship that is arranged by our campus Career Services.

A special \$25 lab fee will cover basic maintenance and upgrades commonly associated with labs and studios.

## **6. Projected Enrollment and Costs**

Year 1: Two courses a semester with six students each

Year 2: Two courses a semester with ten students each

Year 3: Two courses a semester with twelve students each

New and innovative equipment will continue to be purchased with the Carl Perkins CTE grant.



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**NEW ASSOCIATE DEGREE/UNDERGRADUATE CERTIFICATE PROGRAM  
PRELIMINARY REVIEW AND PROPOSAL OUTLINE  
UNM-Valencia, Medical Assistant Certificate**

**Executive Summary**

**1. Program Description**

UNM Valencia proposes to offer a Medical Assistant Certificate in response to need expressed from the healthcare-provider community. UNM-Valencia was contacted by First Choice Community Health over a year ago. First Choice provides outpatient care at clinics throughout the state. Further input from other clinics and medical offices in our service area indicates that this is a growing need. The major goal of the program is to fulfill the need of employees with competencies above our Nursing Assistant Certificate program, but below the RN level. By establishing a Medical Assistant program, we will be taking the elements of our existing programs that are proven successes, and packaging them to build a program that fulfills a need that the healthcare providers in our service area have expressed to us. Creating programs that directly connect students with workforce opportunities in a timely fashion directly fits with the community-based mission of the branch campuses.

The Medical Assistant Certificate program can be offered beginning as early as fall 2015. All of the courses within the certificate are existing courses within the UNM-Valencia Catalog.

**2. Evidence of Need**

Students enter these fields for two reasons: 1) expectation to prepare to enter the nursing career pathway; and 2) to secure a job upon completion of one course. At any given time, there are approximately fifty job openings for Nursing Assistants in the Albuquerque metropolitan area. With the Medical Assistant Certificate, we intend to add to our healthcare pathway, providing students with a credential that can take them from median wages of \$24.5K (Certified Nursing Assistant) to \$32.5K (Medical Assistant) in as little as one year, and prepare them for higher level employment as RN's, Certified Nurse Practitioners (CNP) or Physician Assistants if they continue in the education pipeline offered through UNM.

UNM-Valencia is included in the Albuquerque metropolitan area. Central New Mexico Community College is the only other public two-year college in the area. It offers a Nursing Assistant/Unit Coordinator program that contains some of the components of our proposed Medical Assistant Certificate. Ours is unique, however, since it includes not only our Certified Nursing Assistant course, but our course in Phlebotomy, Medical Terminology, Computer Science, Math and English. Completion of this series of courses will produce students whom we will send highly skilled into the workforce.

### **3. Program Content and Quality**

No new courses are being proposed. The curriculum consists of the following existing courses that total thirty-two credit hours: Certified Nursing Assistant, Phlebotomy, Medical Terminology, Computing for Business Students, Technical Mathematics, and Accelerated Composition.

Competencies will include: an understanding of basic medical terminology; the ability to properly ascertain patient vital signs and respond to provider directions; an understanding of HIPAA compliance; basic lab skills, the ability to inventory and stock exam rooms (including handling and disposal of biohazard materials); customer service and communication; computer skills to include electronic medical records and software applications.

### **4. Evaluation and Assessment**

The Medical Assistant Certificate will provide students with quality instruction to gain employment in healthcare clinics and medical offices, and, with further instruction in the healthcare pathway, to advance in the healthcare field. In order to measure that students have learned the competencies required to gain employment in the healthcare field, they are required to adhere to strict attendance policies for the certified nursing assistant and phlebotomy classes for classroom, skills lab and clinical rotations; they must complete homework assignments on time, and they are graded on skills, exams and clinicals. In order to sit for the certified nursing assistant and phlebotomy licensure exams, students must pass the courses with 80% or better. Students cannot miss any part of that instruction at approved clinical sites under the supervision of their instructor. Furthermore, we will rely on our Healthcare Advisory Council to help in ongoing evaluation of the program effectiveness.

### **5. Required Resources**

Since the Medical Assistant Certificate program will consist of existing courses, there will be no effect on workload. Because no additional faculty will be required, there will be no additional costs. UNM-Valencia has two labs available, one dedicated to the Nursing program where some of the Certified Nursing Assistant classes are taught; the other is equipped to accommodate Certified Nursing Assistant, Phlebotomy and Emergency Medical Services. No additional space or renovations are necessary.

There are fees associated with the proposed Medical Assistant Certificate, but none are new fees. All are already associated with the existing courses related to either Certified Nursing Assistant or Phlebotomy.

### **6. Projected Enrollment and Costs**

Cohorts of twelve students per year will be established. However, students may enter the program at any time having fulfilled the requirements for English, Math, Medical Terminology and Computer Science, and enroll in the existing Certified Nursing Assistant and Phlebotomy courses, which enroll a maximum of twelve student per class. There is a potential for twelve to thirty-six students to complete the program per year.

There will be no additional cost to the institution for the program.

## **MEDICAL ASSISTANT**

### **Certificate program description**

The UNM-Valencia Medical Assistant Certificate combines the skills and competencies taught in CNA 101 Nursing Assistant, PBT 101/102L Phlebotomy Technician, HCHS 111 Medical Terminology, with English, Math and Computer Science.

### **Career and educational advancement opportunities**

The Medical Assistant Certificate was developed in response to a workforce need expressed by healthcare providers who specialize in outpatient care. Students completing the required courses for Medical Assistant will be employable in clinics and doctor's offices with opportunity for career advancement in the healthcare field.

### **Program requirements**

Total credit hours required: 32

### **Program learning goals**

The Medical Assistant Certificate program provides students with quality instruction to facilitate mastery of the knowledge, skills and behaviors necessary to succeed in careers in the healthcare field. Upon successful completion of the required courses for the program students will demonstrate skills and competencies that include an understanding of basic medical terminology, ability to properly ascertain patient vital signs and respond to provider directions, set up/clean up following provider procedures, understanding of HIPAA compliance, basic lab skills, ability to inventory and stock exam rooms, including handling and disposal of biohazard materials, customer service and communication skills to properly conduct patient interviews and documentation of initial patient history, charting, assisting patients with basic requirements for health insurance coverage, computer skills to include electronic medical records and software applications.

### **Contact and Advising Information**

Information about the Medical Assistant Certificate is available from the Advisement Center at 505.925.8560 at [vcadvise@unm.edu](mailto:vcadvise@unm.edu), or Community Education Services at 505.925.8970 or [rlogan@unm.edu](mailto:rlogan@unm.edu).

### **Certificate in Medical Assistant requirements**

*The following are the course requirements for completion of a Medical Assistant Certificate. Students should see an advisor to discuss their educational plans.*

<b>Area</b>	<b>Semester</b>	<b>Grade</b>	<b>Credits</b>
<b>Writing &amp; Speaking (3 credits)</b>			
ENGL 110 or 112 or 113	_____	_____	3
<b>Mathematics (3 credits)</b>			
MATH 115	_____	_____	3

**Allied Health (20 credits)**

CNA 101	_____	_____	8
PBT 101	_____	_____	8
PBT 102L	_____	_____	4

**Health Career Health Sciences (3 credits)**

HCHS 111	_____	_____	3
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**Computer Science (3 credits)**

CS 150	_____	_____	3
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**Program Justification**

Medical Assistant should be offered in response to need expressed by the healthcare provider community. We were contacted by First Choice Community Health over a year ago. First Choice provides outpatient care at clinics throughout the state. Further input from other clinics and doctor's offices in our service area indicates that this is a growing need. The major goal is to fulfill this need of employees with competencies above our Nursing Assistant program but below the RN level.

**Impact on long-range planning**

The long-range plan for the UNM-Valencia Allied Health program is to produce highly qualified students ready to enter the workforce. UNM-Valencia is included in the Albuquerque MSA. CNM Community College is the only other public 2-year college in the area. It offers a Nursing Assistant/Unit Coordinator program which contains some of the components of our proposed Medical Assistant Certificate. Ours is unique, however, since it includes not only our Nursing Assistant course, but our courses in Phlebotomy, Medical Terminology, Computer Science, Math and English. The students coming out of the UNM-Valencia program will complete each of our courses which our research with local healthcare providers indicates will produce well-trained individuals ready to perform the duties expected by the employer.

**Budget Analysis and Faculty Workload Implications**

Since the Medical Assistant Certificate program consists of existing courses, no additional faculty or staff will be required other than those already in place, therefore, no additional cost. UNM Faculty contracts policies for faculty workload will be followed. Since the Medical Assistant Certificate program consists of existing courses, there will be no effect on workload other than what is dictated by Faculty Contracts.



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**NEW ASSOCIATE DEGREE/UNDERGRADUATE CERTIFICATE PROGRAM  
PRELIMINARY REVIEW AND PROPOSAL OUTLINE  
UNM-Valencia, Medical Assistant Certificate**

**Executive Summary**

Please see below for a brief summary of the first seven elements listed for the full proposal, namely: program description, evidence of need, program content, evaluation and assessment, required resources, projected enrollment and costs, and additional information (as appropriate).

**1. Program Description**

A Medical Assistant Certificate should be offered in response to need expressed from the healthcare-provider community. UNM-Valencia was contacted by First Choice Community Health over a year ago. First Choice provides outpatient care at clinics throughout the state. Further input from other clinics and medical offices in our service area indicates that this is a growing need. The major goal of the program is to fulfill the need of employees with competencies above our Nursing Assistant Certificate program, but below the RN level. Competencies will include: an understanding of basic medical terminology; the ability to properly ascertain patient vital signs and respond to provider directions; an understanding of HIPAA compliance; basic lab skills, the ability to inventory and stock exam rooms (including handling and disposal of biohazard materials); customer service and communication; computer skills to include electronic medical records and software applications.

The mission of UNM-Valencia is: *“A quality education – a lifetime of success.”*

UNM-Valencia strives to provide quality in everything we do. Teaching and learning is the priority as we respond to the needs of our community. By establishing a Medical Assistant program, we will be taking the elements of our existing programs that are proven successes, and packaging them to build a program that fulfills a need that the healthcare providers in our service area have expressed to us. We are confident that the students completing this program will possess a higher level of competencies, having completed our established courses that have a track record of quality and success. One of the strategic goals in the UNM-Valencia Strategic Plan for 2012—2017 is create new programs and courses based on community and industry needs and interests. Creating programs that directly connect students with workforce



opportunities in a timely fashion directly fits with the community-based mission of the branch campuses.

All four UNM branch campuses offer programs in the healthcare field. The Medical Assistant Certificate being proposed by UNM-Valencia is unique in that it is a compilation of existing Allied Health programs (primarily Certified Nursing Assistant and Phlebotomy) and core competencies that our community research has shown are required by local healthcare providers who could hire our students, confident that they can perform the jobs in demand.

We continually work with employers in the healthcare community, including First Choice Community Health, New Mexico Direct Care Givers Coalition, Tricore, Quest, Skilled Healthcare, and numerous employers in a seven-county area with whom we have established training sites through our American Heart Association Training Center. In the coming months we will create a Healthcare Advisory Council to include many of these employers with whom we communicate on a regular basis for advice and direction in our ongoing quality improvement efforts.

The Medical Assistant Certificate program can be offered beginning as early as fall 2015. All of the courses within the certificate are existing courses within the UNM-Valencia Catalog.

## **2. Evidence of Need**

Certified Nursing Assistant and Phlebotomy courses are among the first courses to fill every semester they are offered. Waiting lists are common and we are unable to fulfill the demand for these courses each semester. Students enter these fields for two reasons: 1) expectation to prepare to enter the nursing career pathway; and 2) to secure a job upon completion of one course. At any given time, there are approximately fifty job openings for Nursing Assistants in the Albuquerque metropolitan area. With the Medical Assistant Certificate, we intend to add to our healthcare pathway, providing students with a credential that can take them from median wages of \$24.5K (Certified Nursing Assistant) to \$32.5K (Medical Assistant) in as little as one year, and prepare them for higher level employment as RN's, Certified Nurse Practitioners (CNP) or Physician Assistants if they continue in the education pipeline offered through UNM.

Students will be recruited through our online schedule of classes. Additionally, all of our employer partners will be made aware through flyers and listserv notices that UNM-Valencia now offers Medical Assistant training. Students in our entry-level healthcare pathway courses -- Personal Care Attendant (PCA); Certified Nursing Assistant (CNA); Phlebotomy (PBT) -- will be informed during their class time and advisement that this new opportunity exists for them to complete the entire series of courses and obtain a certificate as a Medical Assistant. We will also make a formal announcement through our local media outlet.

UNM-Valencia is included in the Albuquerque metropolitan area. Central New Mexico Community College is the only other public two-year college in the area. It offers a Nursing Assistant/Unit Coordinator program that contains some of the components of our proposed Medical Assistant Certificate. Ours is unique, however, since it includes not only our Certified Nursing Assistant course, but our course in Phlebotomy, Medical Terminology, Computer Science, Math and English. Completion of this series of courses will produce students whom we

will send highly skilled into the workforce. While private, for-profit colleges in the Albuquerque area have Medical Assistant programs offered at high-tuition rates, the students coming out of the UNM-Valencia program will complete each of our courses that our research with local healthcare providers indicates will produce well-trained individuals ready to perform the duties expected by the employer.

The demand for students trained as Medical Assistants has been expressed by the healthcare provider community. First Choice Community Health, our initial contact over a year ago, provides outpatient care at clinics throughout the state. Further input from other clinics and medical offices in our service area indicates that this is a growing need. Our goal is to fulfill this need of employees with competencies above our Certified Nursing Assistant program but below the RN level.

### **3. Program Content and Quality**

No new courses are being proposed. The curriculum consists of the following existing courses that total thirty-two credit hours:

CNA 101 Certified Nursing Assistant: 8 credit hours

PBT 101/PBT 102L Phlebotomy: 12 credit hours

HCHS 111 Medical Terminology: 3 credit hours

CS 150 Computing for Business Students: 3 credit hours

MATH 115 Technical Mathematics: 3 credit hours

ENGL 110 Accelerated Composition: 3 credit hours

The Medical Assistant Certificate will provide students with quality instruction to gain employment in healthcare clinics and medical offices, and, with further instruction in the healthcare pathway, to advance in the healthcare field. Competencies will include: an understanding of basic medical terminology; the ability to properly ascertain patient vital signs and respond to provider directions; an understanding of HIPAA compliance; basic lab skills, the ability to inventory and stock exam rooms (including handling and disposal of biohazard materials); customer service and communication; computer skills to include electronic medical records and software applications.

Certified Nursing Assistant and Phlebotomy courses will implement didactic, skills lab and clinical instructional models. Computer Science will be hands-on computer instruction in a computer lab. Medical Terminology, English and Math are lecture-based, online or hybrid. In addition, the I-BEST (Integrated Basic Education and Skills Training) model will be used for the Certified Nursing Assistant program. This model is based on the concept of team-teaching, where a basic skills instructor contextualizes basic English, Reading and Math skills into the skills training course in order to facilitate the students' learning of the skill, i.e., Certified Nursing Assistant, thereby increasing their potential for success.

Accreditation will not be sought for this course; therefore, no expenses are involved. The American Association of Medical Assistants offers a credential to candidates who pass the CMA (Certified Medical Assistant) exam. However, employers in New Mexico do not require certification for hiring and are more interested in hiring employees who have completed training through a reputable school or college and possess the competencies to do the job. Employers

will offer further training if required. Because employers in New Mexico do not require certification for hiring, the program at UNM-Valencia does not affect any existing accreditation and licensure requirements.

#### **4. Evaluation and Assessment**

The Medical Assistant Certificate will provide students with quality instruction to gain employment in healthcare clinics and medical offices, and, with further instruction in the healthcare pathway, to advance in the healthcare field. In order to measure that students have learned the competencies required to gain employment in the healthcare field, they are required to adhere to strict attendance policies for the certified nursing assistant and phlebotomy classes for classroom, skills lab and clinical rotations; they must complete homework assignments on time, and they are graded on skills, exams and clinicals. They must demonstrate basic nursing and phlebotomy skills in a safe, independent and professional manner. They must demonstrate knowledge of basic medical terminology, anatomy and physiology, and basic patho-physiology according to standards and practices in the field. In order to sit for the certified nursing assistant and phlebotomy licensure exams, students must pass the courses with 80% or better.

Clinical rotations are of vital importance. Students cannot miss any part of that instruction at approved clinical sites under the supervision of their instructor. They must adhere to policies and procedures of the clinical site and demonstrate professionalism at all times. Furthermore, we will rely on our Healthcare Advisory Council to help in ongoing evaluation of the program effectiveness. Also, Medical Assistant, as with all UNM-Valencia programs and courses, is subject to an annual assessment cycle of student learning outcomes evaluation.

#### **5. Required Resources**

Courses:

CNA 101: RN License -- Three sections of this course are offered in each of the fall and spring semesters and one in the summer. We employ two to three adjunct faculty from our pool of qualified instructors each semester.

PBT 101/102L: American Society for Clinical Pathology (ASCP) licensure – This course is offered once in fall and spring semesters; however, due to workload requirements, one course during the year will be split into didactic/lab (PBT 101) and clinicals (PBT 102L), with different instructors for each portion of the class.

CS 150, ENGL 110, MATH 115, HCHS 111 – Hiring will occur as per UNM-Valencia policy for faculty hiring and credentialing.

UNM faculty contracts' policies for faculty workload policies will be followed. Since the Medical Assistant Certificate program will consist of existing courses, there will be no effect on workload. Because no additional faculty will be required, there will be no additional costs.

All UNM-Valencia faculty have access to the Teaching and Learning Center, which provides training in the latest technologies available in the classrooms. Since the Medical Assistant Certificate program will consist of existing courses, no additional technology, media, equipment and instructional supplies are needed. Skills labs are well-equipped for teaching of the program's intended outcomes. All resources are available. There are no additional costs.

UNM-Valencia has two labs available, one dedicated to the Nursing program where some of the Certified Nursing Assistant classes are taught; the other is equipped to accommodate Certified Nursing Assistant, Phlebotomy and Emergency Medical Services. No additional space or renovations are necessary.

Students have access to all Student Support services, including: advisement; various assessments such as COMPASS, Work Keys, Key Train and Workforce Connects; tutoring; the STEM Center for math tutoring; TRIO student support for qualifying first-generation college students; I-BEST (Integrated Basic Education and Skills Training). All are existing programs and services at no additional cost to the students. Students are eligible for Pell grants, scholarships from local donor funds, work study and student employment. We have a Career Advisor who actively works with employers to place students in internships. All are existing programs and services and are available to all students.

There are fees associated with the proposed Medical Assistant Certificate, but none are new fees. All are already associated with the existing courses related to either Certified Nursing Assistant or Phlebotomy:

- UNM-Valencia Lab Fees:
  - CNA: \$ 40.00
  - PBT: \$ 75.00
- American Heart Association Healthcare Provider CPR \$ 70.00
- NM Department of Health Background Check: \$ 73.30
- NACEP licensure exam (CNA) \$ 105.00
- ASCP licensure exam (Phlebotomy) \$ 135.00
- Needlestick Insurance ((Phlebotomy) \$ 35.00
- Scrubs: \$ 22 .00
- Gait belt & Stethoscope: \$ 45.00
- Textbook: \$ 63.00
- Immunizations and physical exam (cost varies based on provider fees, insurance, etc.)

## **6. Projected Enrollment and Costs**

Cohorts of twelve students per year will be established. However, students may enter the program at any time having fulfilled the requirements for English, Math, Medical Terminology and Computer Science, and enroll in the existing Certified Nursing Assistant and Phlebotomy courses, which enroll a maximum of twelve student per class. There is a potential for twelve to thirty-six students to complete the program per year.

The cost for the program to the student would be \$2,744.90, which includes tuition (thirty-two credit hours at the UNM-Valencia tuition rate of \$65.05 per credit hour plus the fees listed above. There will be no additional costs to the institution for the program.



THE UNIVERSITY of NEW MEXICO

*New Mexico's Flagship University*

Office of the Provost and Executive Vice President for Academic Affairs  
MSC05 3400  
1 University of New Mexico  
Albuquerque, NM 87131-0001  
505.277.2611

**NEW ASSOCIATE DEGREE/UNDERGRADUATE CERTIFICATE PROGRAM  
PRELIMINARY REVIEW AND PROPOSAL OUTLINE  
UNM-Valencia, 3-D Printing Mini Certificate**

**Executive Summary**

**1. Program Description**

The design and manufacturing industries are moving toward 3D modeling and 3D printing; therefore, UNM Valencia proposes to offer a one-semester, twelve-credit hour mini-certificate in 3D Printing that will meet industry needs. The program's goal is to provide students a working knowledge about 3D Printing through hands-on experience using 3D printers. Students earning a mini-certificate in 3D Printing would receive quality instruction from instructors who are experts in the industry, preparing them for sought-after skills in manufacturing and engineering jobs that prioritize 3D Printing. Creating programs that directly connect students with workforce opportunities in a timely fashion directly fits with the community-based mission of the branch campuses.

In order to provide career technical education (CTE) programs funded by our Carl Perkins grant, it is paramount that industry partners participate in the development of curriculum to meet the needs of the industry. The Valencia Campus works with advisory board members in Computer Aided Drafting (CAD), Digital Media Arts (DMA) and IT industries to ensure the curricula we are teaching students gives them the appropriate skills to obtain work at high wages. Curriculum for the three courses has been developed. With approval, we could begin offering new classes in fall 2015.

**2. Evidence of Need**

Our CTE Advisory Board members asked the campus to explore incorporating 3D Printing into our DMA, CAD and potentially Manufacturing and Pre-Engineering programs. Also, the Carl Perkins grant project director for Belen High School asked us to develop a 3D Printing program for dual enrollment in CTE courses as well as Pre-Engineering. Thus, the requested program is a direct response to local industry needs. The most in-demand jobs requiring 3D printing and additive manufacturing expertise include the following: Industrial and Mechanical Engineers, Software Developers, Applications, Commercial and Industrial Designers etc. Manufacturing has the highest number of positions for 3D printing and additive manufacturing skills.

The 3D Printing mini-certificate will offer Valencia Campus students a foundation of working knowledge to fully utilize the emerging technology as their studies and careers advance. UNM Valencia's service area includes Valencia and Socorro Counties as well as southwestern Tarrant County. Students in this service area reside between thirty and seventy-five miles from Albuquerque. A 3D Printing secondary and postsecondary training program in Valencia County will make the new industry much more accessible to students in this area.

### **3. Program Content and Quality**

This one-semester twelve-credit hour mini-certificate is designed for students who want to gain knowledge about 3D Printing and hands-on experience using 3D printers. 3D Printing is particularly relevant for students in pre-engineering and CAD degree programs, but the courses are open to anyone interested turning a design idea into a real physical product.

Upon successful completion of the required courses for the 3D Printing mini-certificate, students will demonstrate that they have developed the ability to:

- Identify and define concepts of 3D Printing and rapid 3D prototyping.
- Demonstrate basic 3D printer operations and functions.
- Properly prepare CAD files for 3D Printing.
- Utilize various 3D Printing software and hardware.

### **4. Evaluation and Assessment**

The courses are a combination of didactic instruction and hands-on skills training. Students will be assessed through both written and skills testing, as well as the completion of final projects. There will be annual Advisory Board Meetings for updates on industry standards and needs. These meetings also provide an opportunity for program leaders to reach back to the community for confirmation of the success of graduates in the workforce.

### **5. Required Resources**

One faculty member will be required for program delivery. Minimum qualifications would be an associate's degree plus five years of experience in the 3D Printing industry or related field. There would be, however, no change in workload of current faculty.

The Carl Perkins CTE grant will fund instructor training for new and innovative industry certifications and professional development. Physical resources are already available. No additional resources are being requested for this program. A special \$25 lab fee will cover basic equipment maintenance and upgrades commonly associated with labs and studios.

### **6. Projected Enrollment and Costs**

The following are enrollment estimates for the first three years of the program:

Year 1: One course a semester with six students

Year 2: Two courses a semester with ten students each

Year 3: Three courses a semester with twelve students each

New and innovative equipment will continue to be purchased with the Carl Perkins CTE grant. We do not envision additional costs to be associated with this program.



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**NEW ASSOCIATE DEGREE/UNDERGRADUATE CERTIFICATE PROGRAM  
PRELIMINARY REVIEW AND PROPOSAL OUTLINE  
UNM-Valencia, 3-D Printing Mini Certificate**

**Executive Summary**

Please see below for a brief summary of the first seven elements listed for the full proposal, namely: program description, evidence of need, program content, evaluation and assessment, required resources, projected enrollment and costs, and additional information (as appropriate).

**1. Program Description**

The design and manufacturing industries are moving toward 3D modeling and 3D printing; therefore, UNM Valencia proposes to offer a one-semester, twelve-credit hour mini-certificate in 3D Printing that will meet industry needs. 3D Printing technology is currently used in architecture, industrial design, automotive industry, aerospace, military, engineering, dental and medical industries, biotech, fashion, footwear, jewelry, eyewear, education and many other fields.

The program's goal is to provide students a working knowledge about 3D Printing through hands-on experience using 3D printers. This mini-certificate could develop into an AAS (through stackable certificates) in Additive Manufacturing and Rapid Prototyping. 3D Printing classes may be incorporated into other certificate and degree programs in the areas of Computer-Aided Drafting (CAD) and Pre-Engineering.

The UNM-Valencia Campus mission is: *A quality education – a lifetime of success*. Students earning a mini-certificate in 3D Printing would receive quality instruction from instructors who are experts in the industry, preparing them for sought-after skills in manufacturing and engineering jobs that prioritize 3D Printing. To fit in with the mission, we will provide quality training in specialized skills for students to earn livable wages. One of the strategic goals of the 2012-2017 UNM-Valencia Strategic Plan is to create new programs and courses based on community and industry needs and interests. Creating programs that directly connect students

with workforce opportunities in a timely fashion directly fits with the community-based mission of the branch campuses.

The mini-certificate would add to any other branch campus program interested in 3D Printing technology. According to branch campus websites, only Community Education classes for secondary school summer camp programs have 3D Printing courses associated with STEM. This program would be unique to the Valencia Campus.

3D Printing is a career technical education (CTE or vocational) program. This new and innovative direction in CAD is funded by our campus Carl Perkins CTE grant. In order to provide CTE programs funded by Perkins, it is paramount that industry partners participate in the development of curriculum to meet the needs of the industry. The Valencia Campus works with advisory board members in CAD, DMA (Digital Media Arts) and IT industries to ensure the curricula we are teaching students gives them the appropriate skills to obtain work at high wages.

Curriculum for the three courses has been developed and is waiting for implementation. With approval, we could begin offering new classes in fall 2015.

## **2. Evidence of Need**

After a demonstration of the new 3D printers and presentation about the industry direction, our CTE Advisory Board members agreed we should explore incorporating 3D Printing into our DMA, CAD and potentially Manufacturing and Pre-Engineering programs. Also, the Carl Perkins grant project director for Belen High School asked us to develop a 3D Printing program for dual enrollment in CTE courses as well as Pre-Engineering.

The UNM Valencia Public Information Office will produce television, web and social media advertisement campaigns for the new certificate program to recruit students from Belen, Los Lunas and Valencia high schools, as well from the general public. Courses will be listed in the UNM-Valencia Campus Schedule of Classes for summer, fall and spring.

The UNM School of Architecture and Planning FabLab, CNM STEMulus Center and STEM Charter Schools have 3D Printing incorporated as part of their technologies offered in various programs. Our 3D Printing mini-certificate will offer Valencia Campus students a foundation of working knowledge to fully utilize the emerging technology as their studies and careers advance. UNM Valencia's service area, as determined by the NM Higher Education Department Geographical Area of Responsibility (GAR), includes Valencia and Socorro Counties as well as southwestern Torrance County. Students in this service area reside between thirty and seventy-five miles from Albuquerque. A 3D Printing secondary and postsecondary training program in Valencia County will make the new industry much more accessible to students in this area.



According to a *Forbes* September 15, 2014 article titled “Demand For 3D Printing Skills Is Accelerating Globally:”

The number of job ads requiring workers with 3D printing skills increased 1,834% in 4 years and 103% when comparing August 2014 to August 2013. 35% of all ads posted for engineering jobs in the last 30 days prioritize 3D printing and additive manufacturing as the most sought-after skill.

The most in-demand jobs requiring 3D printing and additive manufacturing expertise include the following: Industrial and Mechanical Engineers, Software Developers, Applications, Commercial and Industrial Designers etc. Manufacturing has the highest number of positions for 3D printing and additive manufacturing skills.

According to a *Business News Daily* Sept 18, 2013 article titled “10 3D Printing Jobs on the Rise:”

A recent Freelancer.com study found that it has experienced unprecedented growth. A MyCorporation infographic also estimated that it will become a \$5.2 billion industry by 2020, with a projected 14 percent annual growth between 2012 and 2017.

To be competitive, job seekers should gain hands-on experience in 3D technologies and stay-up-to-date on how companies are using 3D printing. CAD and Education are part of the jobs that will be created or get a boost from 3D printing.

On 3D computer-aided design (CAD) modeling: "I see a lot more demand for CAD and 3D modeling jobs on the horizon because of 3D printing," said Alex English, owner of ProtoParadigm.

Education - What good are these jobs if no one has the qualifications to fill them? To help fill the skills gap, schools are developing (and some have already launched) 3D printing programs at all grade levels. This will open up jobs for educators who can teach the technical and business aspects of 3D printing.

"From an educational perspective, many K-12 schools are looking to 3D printing as a point of exposure for students within the arts as well as scientific areas of study," said Erol Gunduz, a professor at NYU's School of Continuing and Professional Studies. Colleges and universities are also launching 3D printing courses and certificate programs, such as NYU-SCPS' Certificate in 3D Printing Rapid Prototyping.

### **3. Program Content and Quality**

This one-semester twelve-credit hour mini-certificate is designed for students who want to gain knowledge about 3D Printing and hands-on experience using 3D printers. 3D Printing is particularly relevant for students in pre-engineering and CAD degree programs, but the courses are open to anyone interested turning a design idea into a real physical product.

The 3D Printing technology is currently used in architecture, industrial design, automotive industry, aerospace, military, engineering, dental and medical industries, biotech, fashion, footwear, jewelry, eyewear, education and many other fields.

#### New CAD Courses for 3D Printing Mini-Certificate

CAD 170 -- Intro to 3D Printing

CAD 190 -- Modeling for 3D Printing

CAD 293 -- 3D Printing Project

New CAD courses will be offered at times not competing with other CAD classes.

Upon successful completion of the required courses for the 3D Printing mini-certificate, students will demonstrate that they have developed the ability to:

- Identify and define concepts of 3D Printing and rapid 3D prototyping.
- Demonstrate basic 3D printer operations and functions.
- Properly prepare CAD files for 3D Printing.
- Utilize various 3D Printing software and hardware.

The courses will be delivered face-to-face, using lecture and lab classes that utilize the Blackboard Learn course management system.

No accreditation be sought. CTE industry certifications in software applications will be explored. The CTE program does not affect any existing accreditation.

#### **4. Evaluation and Assessment**

The courses are a combination of didactic instruction and hands-on skills training. Students will be assessed through both written and skills testing, as well as the completion of final projects. This program, like all UNM-Valencia programs, will participate in an annual program assessment cycle of student learning outcomes. There will be annual Advisory Board Meetings for updates on industry standards and needs. These meeting also provide an opportunity for program leaders to reach back to the community for confirmation of the success of graduates in the workforce.

#### **5. Required Resources**

One faculty member will be required for program delivery. Minimum qualifications would be an associate's degree plus five years of experience in the 3D Printing industry or related field. Preferred qualifications would be a bachelor's degree or higher in related field. There would be, however, no change in workload of current faculty. UNM Valencia has a full time CAD faculty member who can pick up the additional classes without any impact to contract or program. If required, adjunct faculty for the program would be hired at the standard UNM-Valencia rate of \$700 per credit hour for faculty with a bachelor's degree; \$750 per credit hour for faculty with a master's degree; and \$800 per credit hour for faculty with a doctoral degree.

The courses will be three-credit hours. Assuming that adjunct faculty hired will be at the bachelor's or master's degree level, budget impact for one semester could range from \$2,400 to \$2,600, including FICA and workman's comp fees. Resource materials will be provided by the instructor, and no book will be required. This scenario will only be if an adjunct faculty member is required, which is not foreseen at this time.

The Carl Perkins CTE grant will fund instructor training for new and innovative industry certifications and professional development. Physical resources are already available. The Perkins funding allowed for the purchase of two 3D printers and supplies in the last academic year. There is no need for additional or renovated space at the moment. UNM Valencia currently has a devoted CAD lab. Depending on how much the program grows, more facility space may be required.

To continually strengthen the academic and career and technical education components of our CTE programs, UNM Valencia provides students intensive tutoring and supplemental instruction in gateway courses with qualified and certified tutors. Students who need assistance in CTE or academic core classes have access to certified tutors by appointment. Supplemental instruction is led by advanced students who have excelled in their classes of expertise and provide a peer-to-peer mentoring experience. UNM Valencia hosts Trio, Upward Bound, STEM Center, Highway to Success and iBEST programs that work directly with students from non-traditional areas, whether displaced homemakers, single parents, or students with disabilities. These programs offer one-on-one tutoring and career guidance to help strengthen both the academic and CTE skills of students, at no additional cost to students.

As the industry develops and community needs emerge and grow, our CTE Advisory Board, campus Career Services and faculty will build appropriate internships.

A special \$25 lab fee will cover basic equipment maintenance and upgrades commonly associated with labs and studios.

## **6. Projected Enrollment and Costs**

Year 1: One course a semester with six students

Year 2: Two courses a semester with ten students each

Year 3: Three courses a semester with twelve students each

New and innovative equipment will continue to be purchased with the Carl Perkins CTE grant.

**CAD 170 Introduction to 3D Printing**  
**CAD 190 Computer Modeling for 3D Printing**  
**CAD 294 3D Printing Project**

## **Faculty and Load Implications**

### **Justification for the course:**

The design and manufacturing industries are moving toward 3D modeling and 3D printing, therefore UNM Valencia wishes to offer a mini-certificate in 3D printing that will meet industry needs

### **Impact on Long Range Planning:**

This mini-certificate could develop into an AAS in Additive Manufacturing and Rapid Prototyping

### **Detailed Budget Analysis**

The above courses are manageable within the current budget. Future costs that may be associated with course and certificate will be explored using Carl Perkin Grant funds and the budget will be adjusted as necessary.

### **Faculty Workload Implications**

Faculty workload will not change

## **Degrees & Courses**

### **3D Printing**

#### **3D Printing Mini Certificate description**

This one-semester certificate is designed for students who want to gain knowledge about 3D printing and hands-on experience using 3D printers. 3D printing is particularly relevant for students in pre-engineering and CAD degree programs, but the courses are open to anyone interested turning a design idea into a real physical product.

#### **Career and educational advancement opportunities**

The 3D printing technology is currently used in architecture, industrial design, automotive industry, aerospace, military, engineering, dental and medical industries, biotech, fashion, footwear, jewelry, eyewear, education and many other fields.

#### **Program requirements**

Total credit hours required: 12 (see checklist for specific requirements).

#### **Program learning goals**

Upon successful completion of the required courses for the 3D Printing mini certificate, our students will demonstrate that they have developed the ability to:

- Identify and define concepts of 3D printing and rapid 3D prototyping.
- Demonstrate basic 3D printer operations and functions.
- Properly prepare CAD files for 3D printing.
- Utilize various 3D printing software and hardware.

#### **CONTACT AND ADVISING INFORMATION**

Information about the mini certificate in 3D Printing is available from Advisement Center at 505.925.8560 or [vcadvise@unm.edu](mailto:vcadvise@unm.edu), or the Chair of the Business, Technology & Fine Arts Division, Michael Ceschiat, at 505.925.8712 or [ceschiat@unm.edu](mailto:ceschiat@unm.edu), or the lead faculty for the program, Alex Sanchez, 505.925.8716 or [alexs@unm.edu](mailto:alexs@unm.edu).

#### **Course prerequisites**

Students must meet prerequisites by achievement of minimum placement scores on the COMPASS or ACT or through completion of course work.

**Course:** ENGL 100 & UNIV 101 or equivalent – or place into ENGL 110;

**Credit hours:** 4 & 3; **COMPASS/ACT Minimum Scores:** 75/19

## **Degrees & Courses**

### **3D Printing Mini Certificate requirements**

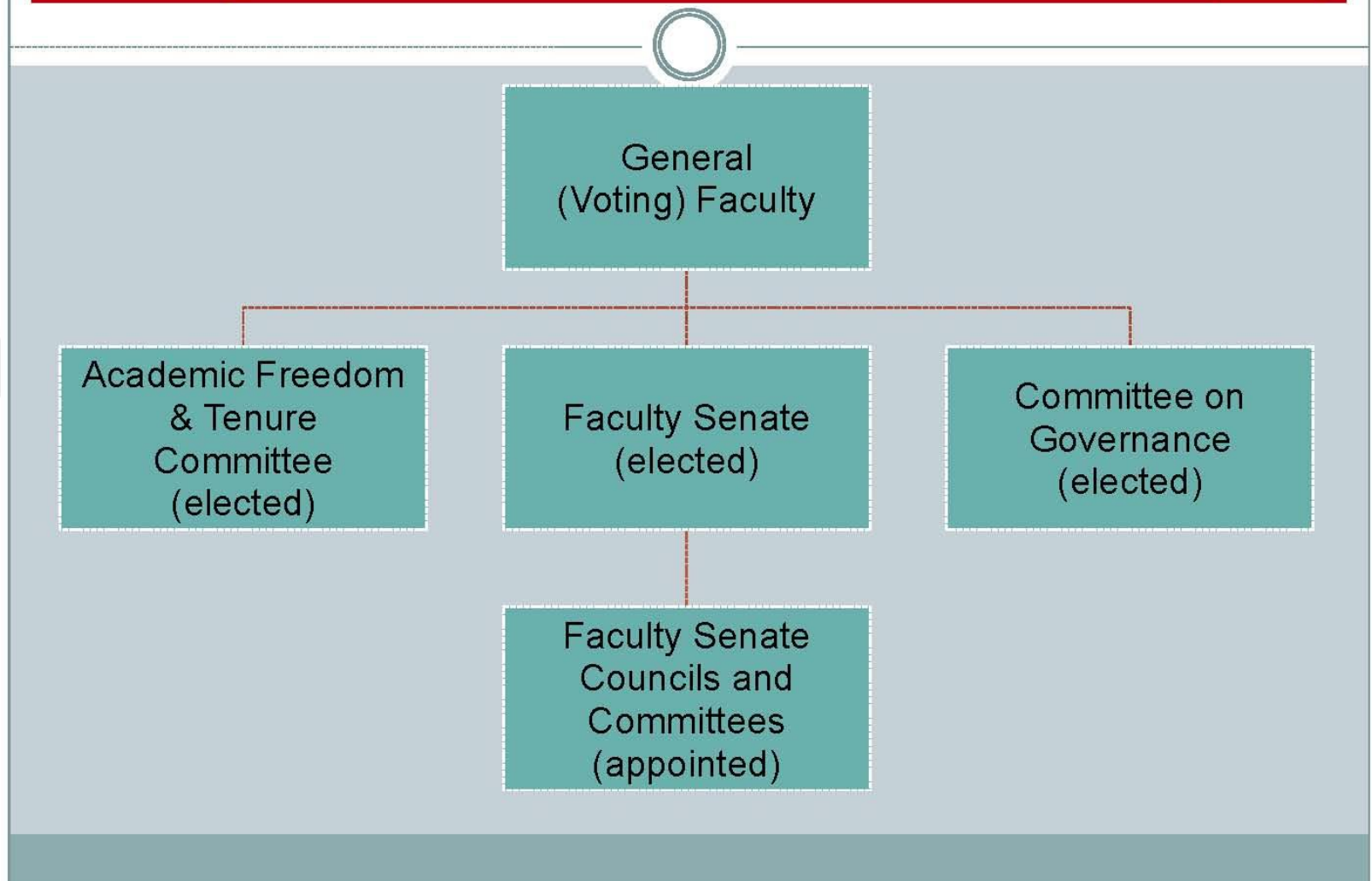
*The following are the course requirements for completion of an 3D Printing Mini Certificate. Students should see an advisor to customize their educational plans.*

	Credit hours
CAD 170 Intro to 3D Printing	4
CAD 190 Modeling for 3D printing	4
CAD 294 3D printing project	4

# Constitutional Amendment Faculty Vote

Faculty Committee on Governance  
Co-Chairs: Jackie Hood and Tim Lowrey  
ASAR Jan. 29<sup>th</sup> 2015

# Faculty Governance Structures





# Committee on Governance Policy Change Recommendations

On December 3, 2014 UNM voting faculty received a ballot to vote on proposed changes to the [Faculty Constitution \(Faculty Handbook Policy A51\)](#). The ballot contained seven separate ballot items. Of the seven items, all but two passed with the required two-thirds majority vote. Ballot item numbers three and four received a simple majority but not the two-thirds majority required to pass. Below is a summary of the vote.

Ballot Item 1 - Non-substantive changes: PASSED

Ballot Item 2 - Inclusion of the Faculty Handbook (Article I, Section 2): PASSED

Ballot Item 3 - Members of the Committee on Governance shall be tenured (Article I, Section 5c): FAILED

Ballot Item 4 - Presiding Officer of the Faculty Senate shall be tenured (Article I, Section 6d): FAILED

Ballot Item 5 - Change in calculation of number of Faculty Senators (Article I, Section 6): PASSED

Ballot Item 6 - Inclusion of Provost or Chancellor in Dean/Director (re)appointments (Article III, Section 3): PASSED

Ballot Item 7 - Removal of "Other Administrative Officers" (Article III, Section 4): PASSED

# Ballot: UNM Faculty Constitution Revisions

**The Faculty Constitution** (Policy A51 in the Faculty Handbook) defines and governs the membership and responsibilities of the University Faculty including shared governance procedures and composition of shared governance organizations (General Faculty, Faculty Senate, AF&T Committee, and Committee on Governance). It defines the organization of Schools/College and Departments including membership, procedures, and meetings. It broadly defines the titles, responsibilities, and duties of the upper Administration. Finally, the Constitution provides for adoption and amendments thereof. Revisions have been written in consultation with the Faculty Senate, administration, and the Chair of the Regent's Academic, Student Affairs and Research Committee and presented twice at General Faculty Meetings on October 22, 2014 and November 21, 2014. Comments put forth at these meetings and through email have been considered and incorporated as appropriate.

# Ballot Item 1

The list below broadly describes the proposed non-substantive changes. To review all specific non-substantive recommendations in track change format, click on the link provided below.

Underscored text = new

~~Strike through text~~ = delete

Unmarked text = no change

- Clean up excessive language to be concise
- Title changes/updates
- Update outdated information to current state
- Remove University Libraries callouts as it is now a college
- Move sections to more appropriate areas

General format/grammatical clean up

[Faculty Constitution Non-Substantive with track changes \(Pdf\)](#)

Frequency Table

Choices	Absolute frequency	Adjusted relative frequency
Yes, I approve ballot item #1	524	90.97%
No, I do not approve ballot item #1	33	5.73%
Abstain	19	3.3%
Sum:	576	100%

# Ballot Item 2

Inclusion of the Faculty Handbook in Article I, Section 2

Underscored text = new

~~Striked through text~~ = delete

Unmarked text = no change

(b) The enunciation of the rights and responsibilities delegated to University Faculty in Section 2(a) and resulting policies shall be contained or referenced in the Faculty Handbook. All university policies pertain to the rights and responsibilities of faculty, the terms and conditions of faculty employment, and academic matters shall be published in the Faculty Handbook by the Secretary of the University under the jurisdiction of the duly elected faculty governing leaders. The Faculty Handbook shall have primacy, as delegated to the faculty by the Board of Regents, over all faculty policies and procedures. However, the Board of Regents reserves final authority over all University policies and procedures.

Frequency Table

Choices	Absolute frequency	Adjusted relative frequency
Yes, I approve ballot item #2	530	92.01%
No, I do not approve ballot item #2	29	5.03%
Abstain	17	2.95%
Sum:	576	100%

# Ballot Item 5

- Number of Faculty Senators not to exceed 68 members
- 63 chosen according to the number of full-time voting faculty in each academic unit and 5 chosen at large
- Each academic unit represented by at least 1 senator
- No academic unit represented by more than one-third of the senators chosen proportionally
- Detailed ex-officio members (e.g. President, Provost, Chancellor, etc.)

Change in calculation of number of Faculty Senators in Article I, Section 6

**Frequency Table**

<b>Choices</b>	<b>Absolute frequency</b>	<b>Adjusted relative frequency</b>
Yes, I approve ballot item #5	461	79.9%
No, I do not approve ballot item #5	59	10.23%
Abstain	57	9.88%
Sum:	577	100%



# Ballot Item 6

Inclusion of Provost or Chancellor in Dean/Director (re)appointments in Article III, Section 3.¶

Underscored text = new

~~Striked through text~~ = delete

Unmarked text = no change<sup>oo</sup>¶

~~Sec. Section 3. Deans/Directors of Colleges and Schools:~~¶

(a)(1) - The Provost may appoint or reappoint The appointment or reappointment of Deans of Colleges and Schools and the Dean of Graduate Studies and delegate to such Deans as is consistent with Regent policies (except as per Section (a)2 below). In this connection, the Provost will, prior to any such appointment or reappointment of Deans, consultation with the President, departmental college faculty and chairs, Faculty Senate President, University officers, and other interested persons. In the case of the appointment or reappointment The appointment or reappointment of the Dean of Graduate Studies, the Provost will, in addition to the consultations noted above, also consult with the Faculty Senate Graduate and Professional Committee.¶

# Ballot Item 6

(2) Pursuant to the provisions of Regents Policy 3.4, the Chancellor for Health Sciences may appoint or reappoint Deans of the component colleges and schools of the Health Sciences Center and may delegate to such Deans as is consistent with Regent policies and policies of the University that are applicable to the Health Sciences Center. In this connection, the Chancellor for Health Sciences will, prior to that appointment or reappointment, consult with the President, departmental college faculty and chairs, Faculty Senate President, other University officers, and other interested persons. ~~Deans of Colleges and Schools: The appointment of Deans of Colleges shall be recommended to the Regents by the President after appropriate consultation with all Department Heads of the Colleges concerned, University Officers, and other interested persons. The appointment of the Dean of Graduate Studies shall be recommended to the Regents by the President after appropriate consultation with the Senate Committee on Graduate Programs and Standards, University Officers, and other interested persons.~~

## Ballot Item 6 Continued

Inclusion of Provost or Chancellor in Dean/Director (re)appointments in Article III, Section 3.

Frequency Table

Choices	Absolute frequency	Adjusted relative frequency
Yes, I approve ballot item #6	465	80.31%
No, I do not approve ballot item #6	60	10.36%
Abstain	54	9.33%
Sum:	579	100%

# Ballot Item 7

Removal of "Other Administrative Officers", Article III, Section 4

Underscored text = new

~~Striked through text~~ = delete

Unmarked text = no change

~~Sec. Section 4 Other Administrative Officers: The appointment of administrative deans (Dean of Students) and other chief administrative officers shall be recommended to the Regents by the President. They shall perform such duties as properly pertain to their offices.~~

Frequency Table

Choices	Absolute frequency	Adjusted relative frequency
Yes, I approve ballot item #7	482	83.39%
No, I do not approve ballot item #7	36	6.23%
Abstain	60	10.38%
Sum:	578	100%



Questions?



## *Committee on Governance*

January 14, 2015

On December 3, 2014 UNM voting faculty received a ballot to vote on proposed changes to the [Faculty Constitution \(Faculty Handbook Policy A51\)](#). The ballot contained seven separate ballot items. Of the seven items, all but two passed with the required two-thirds majority vote. Ballot item numbers three and four received a simple majority but not the two-thirds majority required to pass. Below is a summary of the vote.

Ballot Item 1 - Non-substantive changes: PASSED

Ballot Item 2 - Inclusion of the Faculty Handbook (Article I, Section 2): PASSED

Ballot Item 3 - Members of the Committee on Governance shall be tenured (Article I, Section 5c): FAILED

Ballot Item 4 - Presiding Officer of the Faculty Senate shall be tenured (Article I, Section 6d): FAILED

Ballot Item 5 - Change in calculation of number of Faculty Senators (Article I, Section 6): PASSED

Ballot Item 6 - Inclusion of Provost or Chancellor in Dean/Director (re)appointments (Article III, Section 3): PASSED

Ballot Item 7 - Removal of "Other Administrative Officers" (Article III, Section 4): PASSED

The five ballot items that were approved by faculty will move forward to the Regents' Student/Academic Affairs Committee on January 29, 2015 and then to the full Board of Regents on February 6, 2015 for their consideration. Please note that implementation of these items is pending approval at these meetings. An announcement will go out to all faculty at that time.

A number of eligible faculty were not able to access the ballot immediately. We are confident that access problems were quickly rectified allowing all eligible faculty to vote in a fair and accurate election.

Thank you for taking the time to vote.

Sincerely,

Jackie Hood and Tim Lowrey, Co-Chairs of the Committee on Governance

## **FACULTY CONSTITUTION**

### **Article I. The University Faculty**

#### *Section 1. Membership*

(a) *Membership*: The University Faculty shall consist of the Professors, Associate Professors, Assistant Professors, Clinician Educators, Lecturers, and Instructors, including part-time and temporary appointees. The President of the University, Provost, other administrative officers at the level of Vice President and above, Deans, and the Secretary of the University shall be ex-officio members of the Faculty whether or not they are actively engaged in teaching.

(b) *Voting Faculty*: Members of the University who are eligible to vote shall include all members of the University Faculty at professorial ranks (Instructors, Assistant Professors, Associate Professors, and Professors) Clinician Educators, and lecturers. No person holding an interim or temporary faculty appointment shall be a member of the Voting Faculty unless he or she be a member ex officio or on an initial term appointment. The ex officio members of the University Faculty as listed in *Section 1(a)* shall be ex officio members of the Voting Faculty.

(c) *Eligibility*: Questions of eligibility for voting which may arise shall be settled by the presiding officer of the Faculty as defined in *Section 3*. Any appeal from the decision should be taken to the Voting Faculty. The Faculty Contracts Office shall prepare the official list of the membership of the Faculty and of the Voting Faculty as defined above.

#### *Section 2. Responsibilities*

(a) The University Faculty shall have the right of review and action in regard to the following:

- (1) formulation of institutional aims;
- (2) creation of new colleges, schools, and departments and divisions;
- (3) major curricular changes and other matters which in the opinion of the President of the University or his delegate affect the institution as a whole;
- (4) requirements for admission, graduation, honors, and scholastic performance in general;
- (5) approval of candidates for degrees;
- (6) policies of appointment, dismissal, and promotion in academic rank;
- (7) research; and
- (8) general faculty welfare.

However, actions taken by the University Faculty shall be subject to the authority of the Board of Regents in matters involving finance, personnel, and general University policy.

(b) The enunciation of the rights and responsibilities delegated to University Faculty in Section 2 (a) and resulting policies shall be contained or referenced in the Faculty Handbook. All university policies that pertain to the rights and responsibilities of faculty, the terms and conditions of faculty employment, and academic matters shall be published in the Faculty Handbook by the Secretary of the University under the jurisdiction of the duly elected faculty governing leaders. The Faculty Handbook shall have primacy, as delegated to the faculty by the Board of Regents, over all faculty policies and procedures.

### *Section 3. Organization:*

The President of the University or in his absence the Provost/Executive Vice President for Academic Affairs, shall be the presiding officer of the University Faculty. The Secretary of the University shall be the secretary of the faculty.

### *Section 4. Rules of Order and Agenda*

(a) The University Faculty shall conduct business according to Robert's Rules of Order, except as modified by Faculty vote.

(b) The agenda for University Faculty meetings shall be prepared by the University Secretary under the direction of the Committee on Governance. The proceedings of each meeting shall be recorded. Meeting minutes, and the audio files shall be made available by the Secretary's office for reference by any faculty member.

### *Section 5. Meetings*

(a) *Meetings:* Special meetings of the University Faculty may be called (1) by the presiding officer at his or her discretion, (2) by the Committee on Governance, (3) by no fewer than five percent of the Voting Faculty on active duty at the beginning of the academic year whenever a request is made in writing, or (4) by a majority vote of any college faculty.

(b) *Quorum:* Those members of the Voting Faculty present, but no fewer than ten percent of the Voting Faculty on active duty at the beginning of the academic year, shall constitute a quorum for business at a faculty meeting. Members not on active duty may, however, attend meetings and vote. Voting shall be by a simple majority of those present, except on procedural matters, which shall be governed by Robert's Rules of Order,

and on amendments to this Constitution, which shall be voted upon in the manner prescribed in Article IV, Section 2. (On April 8, 1975, the Faculty approved a proposal that members of the Voting Faculty not on active duty i.e., those on leave be permitted to vote on any issue submitted to the Faculty by ballot.)

(c) *Committee on Governance*: A committee of five voting members of the general faculty to be known as the Committee on Governance who are not members of the Faculty Senate shall be nominated and elected by ballot for overlapping three-year terms. Duties of this committee are to prepare, in conjunction with the Secretary of the University, the agenda of general faculty meetings; to oversee elections, including referenda; to recommend adjustments, improvements and refinements in the faculty organizational structure; to represent the general faculty to the Senate; and to call meetings of the general faculty when necessary. The committee shall annually elect a chair.

(d) *Standing rules Governing Meetings of the University Faculty*:

(1) Meetings shall normally be scheduled for two hours.

(2) If the agenda of a particular meeting is not concluded within two hours, a motion to adjourn shall include provision for a subsequent meeting. Alternatively, a two-thirds vote of those present could extend the meeting for another thirty minutes.

(3) Discussion and debate of any item on the agenda shall be limited to forty-five minutes unless an extension of that time is approved by majority vote. No person may speak more than twice on any item, nor longer than five minutes at either time. The presiding officer will adjudge specific applications of this standing rule.

(4) Qualified members of the press are admitted to faculty meetings.

## *Section 6. The Faculty Senate*

(a) *Faculty Senate*: There is created the Faculty Senate to which the responsibilities of the University Faculty set forth in Section 2 are hereby delegated, with the specific exceptions of (1) the responsibility of approving changes in the constitution, (2) the responsibilities assigned by Section 7(a) to the Academic Freedom and Tenure Committee, and (3) the right of the Faculty to hold special meetings as described in Section 8. All actions under this delegation are subject to the rights of review and referendum reserved to the University Faculty by Section 6(h).

(b) The Faculty Senate shall be composed as follows:

(i) The Faculty Senate shall be made up of 68 members, of which 63 are chosen according to the number of full-time voting faculty in each

academic unit, and 5 chosen at large except as noted in vi below. The number of senators for each academic unit (school, college, or branch with a full-time academic faculty) shall be determined yearly by first dividing the set number of senators (63) into the total number of voting faculty in the University followed by using this resulting number to determine the proportional representation for each of the units with academic faculty. This calculation will be subject to modification as specified by the following rules.

(ii) For purposes of calculating the number of full-time faculty members the actual number of full-time contracted faculty shall be used. Budgeted positions not filled and part-time faculty will not be counted.

(iii) All academic units will be represented by at least one senator; that is, those units whose proportional representation is calculated as zero due to their small number of faculty, will be assigned one senator while maintaining a total of 63 senators (excluding the at-large senators).

(iv) No academic unit will be represented by more than one-third of the senators chosen proportionally; that is, those academic units whose proportion of all full-time voting faculty would be greater than 21 senators out of 63 will be assigned only 21 senators.

(v) The remaining academic units, that is, those units whose number of senators are not assigned by the rules in iii and iv, will be assigned a number of senators according to the proportion of the full-time voting faculty of those units out of the remaining senators (that is, the remainder from 63 after the assignment of senators to units as modified by iii and iv).

(vi) If there is a discrepancy in number of senators assigned by proportion according to ii, iii, iv, and v due to rounding, that is, if the number of senators assigned by proportion according to ii-v leads to a total of 64 or 62 senators, then the number of senators chosen at-large will be adjusted so that the total number of senators remains at 63.

(vii) A full-time faculty member holding appointments in more than one school or college shall select his constituent school or college for purposes of representation in and election to the Faculty Senate by filing a written notice of such selection with the Secretary of the University no later than the first day of the spring semester of each election year.

(viii) The Provost, Chancellor, vice presidents and associate vice presidents of the university and all deans of schools and colleges and directors from branch colleges shall be ex-officio, non-voting members of the Faculty Senate.

(viii) The President of the University, the Secretary of the University, and the Presidents of the Associated Students (ASUNM) and the Graduate and Professional Student Association (GPSA) shall be ex-officio members of the Faculty Senate without vote.

(c) Eligibility, nominations and elections:

(1) All members of the Voting Faculty as identified in Article 1, Section 1(b), with the exception of ex-officio members, shall be eligible for election as voting members of the Faculty Senate. (2) Procedures for the nomination of individuals eligible for election to the Faculty Senate under the provisions of Section 6(b)(1i) and Section 6(c)(1) above shall be determined by the faculty members of each school or college which procedures and any subsequent changes therein shall be filed in writing with the Secretary of the University; provided, however, that academic deans and vice presidents shall not be eligible. Elections of school and college, senators shall be completed and the certified results shall be filed with the Secretary of the University no later than the last working day of the sixth week of the spring semester as it appears in the official academic calendar of the University.

(3) Procedures for the nomination of individuals eligible for election to the Faculty Senate under the provisions of Section 6(b)(3) and Section 6(c)(1) shall be determined by faculty members of non school or college academic units. The procedures and any subsequent changes therein shall be filed in writing with the Secretary of the University. Elections of non-school or college academic units shall be accomplished and the certified results shall be filed with the Secretary of the University no later than the last working day of the sixth week of the spring semester as it appears in the official academic calendar of the University.

(4) Nominations of individuals consenting to stand for election to the Faculty Senate under the provisions of Section 6(b)(4) above shall be made in writing to the Secretary of the University no later than the last working day of the ninth week of the spring semester as it appears in the official academic calendar of the University. Ex-officio members of the Voting Faculty shall not be eligible for nomination.

(5) Elections of half the members of the Faculty Senate shall be held annually in the spring semester of the academic year. Elections by the Voting Faculty for the at large members of the Faculty Senate shall be conducted by the Secretary of the University.

(6) The Secretary shall notify all Faculty in writing of the results of the election of senators from all schools and colleges within five working days of the deadline specified in 6(c)(2). Final election results shall be reported by the Secretary of the University in writing to each member of the Voting Faculty prior to the last day of the spring semester as it appears in the official academic calendar of the University.

(7) Voting members of the Faculty Senate shall be elected for terms of two years or until their successors are named, and shall take office on July 1 of the same year of their election. All elections shall be for two-year terms. No one shall serve more than four consecutive years as a voting member. Before regaining eligibility as a member, an interim of at least one year must elapse.

(8) Schools, colleges shall provide their own procedures for filling vacancies in their delegations, which procedures and any subsequent changes in them shall be filed in writing with the Secretary of the University. When the positions of Senator-at-Large become vacant for any reason, the Committee on Governance shall make arrangements with the Secretary of the University for holding special elections to fill such vacancies.

(9) Schools and colleges and faculty from non-school or college academic units shall provide their own procedures for filling vacancies in their delegations, which procedures and any subsequent changes in them shall be filed in writing with the Secretary of the University.

*(d) Organization and Procedures:* The members of the Faculty Senate shall determine how the Senate shall be organized and what procedures shall be established to carry out the responsibilities delegated to it by Section 6(a) above; provided, however, that the presiding officers of the Senate shall be elected by the voting membership from among their number, provided that the Secretary of the University shall serve as the secretary of the Senate.

*(e) Meetings:* The Faculty Senate shall meet no less frequently than once per month during the regular sessions of the academic year exclusive of the summer session. Members of the University Faculty may observe the proceedings in a space provided for them. Individual faculty members may address the Senate by request to the presiding officer or at the request of any senator. The Senate may provide reasonable limitations as deemed necessary.



*(f) Attendance at Meetings:* The Faculty Senate shall establish reasonable requirements for attendance at regularly scheduled meetings of the Senate and shall remove from office any member failing to meet those requirements.

*(g) Agenda and Minutes:* All actions of the Faculty Senate shall be reported in writing to the Voting Faculty regularly and within ten (10) working days of such action. Additionally, the approved minutes of the Faculty Senate shall be distributed to the University Faculty within three working days after the meeting at which they are approved.

*(h) Committees:* The Academic Freedom and Tenure Committee which is expressly preserved in Sections. 7(a) and (b) below, and the Committee on Governance are committees independent of the Faculty Senate. All other standing committees of the University Faculty, are committees of the Senate and are responsible to it. In order to discharge the responsibilities delegated to it by this Constitution, the Faculty Senate is empowered to create, abolish, merge, or otherwise redefine functions of standing committees of the Faculty Senate. Each standing committee under the jurisdiction of the Faculty Senate as provided for in this subsection shall have at least one senator as member. Any member of the University Faculty is eligible for membership on standing or special committees. No member shall serve on more than two standing committees at a time.

*(i) Review and Referendum:* The University Faculty reserves the right of review over actions of the Faculty Senate in that the Senate is the agent of the University Faculty and exercises all authority by way of delegation. By written petition to the President of five percent of the Voting Faculty, issues not raised by the Faculty Senate may be placed on the agenda of a special faculty meeting, debated, and submitted by a ballot of the Voting Faculty for majority decision.

(1) All actions taken and so reported shall be subject to review and reconsideration by the University Faculty upon written petition made to the President of the University by not less than five percent of the members of the Voting Faculty, provided such petition is received by the President no later than one month after the Senate action is taken. Senate action shall become effective after one month unless the President receives a valid petition within this period.

(2) The President shall convoke the University Faculty within ten (10) working days following receipt of a valid petition. The agenda of such a faculty meeting shall be limited to debate on the petitioned matter(s) and the faculty may vote to have the Faculty Senate reconsider the action(s) involved, which reconsideration

must be undertaken no later than the next regular meeting of the Faculty Senate.

(3) If the Faculty Senate reaffirms the questioned and referred action(s), the question shall then be submitted to the entire Voting Faculty by mail referendum within ten (10) working days of the Faculty Senate's action of reaffirmation. If fifty percent of the Voting Faculty cast ballots, and if a simple majority of those voting disapprove of the Senate's action(s), the Senate shall be overruled. Ballots will be conducted and administered by the University Secretary.

## Section 7. Academic Freedom and Tenure Committee

(a) Charge: There shall be an Academic Freedom and Tenure Committee to discharge the functions assigned to it under the provisions of the Policy on Academic Freedom and Tenure; from time to time to review the policy and recommend appropriate changes in it; to hear appeals regarding sabbatical leave applications; and to make recommendations for appropriate changes in the sabbatical leave policy of the University.

(b) Membership: The membership of the Academic Freedom and Tenure Committee shall be composed and elected as follows: thirteen members, all of whom shall be members of the Voting Faculty, with tenure (or whose tenure decision date has passed without adverse notification). Not more than one member of any department shall serve as a member on the committee at the same time. The Voting Faculty shall submit nominations in writing according to the instructions in the call for nominations to the University Secretary. Election shall be conducted by electronic ballot, accompanied by biographical sketches of the nominees, distributed by the Secretary to all members of the Voting Faculty who shall then indicate their choices up to a maximum of six in even-numbered years or of seven in odd-numbered years. The six nominees in even-numbered years or the seven nominees in odd-numbered years receiving the highest number of votes shall become members of the committee for a two-year term commencing at the start of the academic year following election. The remaining nominees shall be called on to serve, in order of the votes they have received, as replacements to complete the terms of any members of the committee who shall resign from the committee during the academic year following the election. No committee member shall serve more than two consecutive two-year terms. The chair shall be elected by committee. For a complete statement of the composition, functions, and duties of the Committee on Academic Freedom and Tenure, see Section 6 of the Policy on Academic Freedom and Tenure.

## **Article II. College and Departmental Organization**

*Section 1 College Responsibilities:* The Faculty of each College, School shall be an autonomous unit in all matters relating to that particular College, School subject to review under the powers set forth in Article I, Section 2.

*Section 2 Membership:* Faculty membership in a college or department shall be as defined in Article I, Section 1(a) for membership on the University Faculty. For college and departmental voting purposes, such membership shall normally be as defined in Article I, Section 1(b). Those faculty members of a college or department whose eligibility to vote is defined in Article I, Section 1(b) may, upon formal motion and majority approval, establish a general policy extending voting privileges in that college or department to those - i.e., faculty members - holding temporary or part-time appointments in that college or department. Formal notification of such action shall be made to the Secretary of the University. Persons described in Article I, Section 1(a) and 1(b) as ex-officio members of the Faculty shall have voting privileges only in the colleges and departments in which they hold academic rank.

### *Section 3 Procedure*

(a) The Faculty of each College shall decide upon the procedure for the efficient functioning of the College.

(b) Regular meetings shall be held at intervals agreed upon by the College Faculty. Special meetings shall be called at the discretion of the presiding officer or whenever a request in writing is made by ten members of the College Faculty, or by a majority from a Faculty of fewer than twenty members.

### *Section 4. Departments*

(a) The Faculty of each Department shall, with the advice and consent of the Dean of the College, decide upon the organization and procedure for the efficient functioning of the Department.

(b) Meetings shall be called at the discretion of the presiding officer or whenever a request in writing is made by ten percent of the departmental faculty.

## **Article III. The Administration**

*Section 1 The President:* The President of the University shall be the principal officer of the University and with the assistance of his administrative officers shall

carry out the measures enacted by the Voting Faculty within the scope of its responsibilities, and shall perform those duties assigned by the Regents.

*Section 2. Provost and Chancellor for Health Sciences:*

- (a) The appointment or reappointment of the Provost shall be made by the President after consultation with college deans, Faculty Senate President, University officers, Faculty and other interested persons. The Provost shall perform the duties of his or her office as assigned by the President.
- (b) The appointment or reappointment of the Chancellor for Health Sciences shall be made as provided and prescribed in Regents Policy 3.4. The Chancellor for Health Sciences shall perform the duties of his or her office as provided and prescribed in Regents Policy 3.4 and related Regents Policies.

*Section 3. Deans/Directors of Colleges and Schools:*

- (a)(1) The Provost may appoint or reappoint Deans of Colleges and Schools and the Dean of Graduate Studies and delegate to such Deans as is consistent with Regent policies (as per Section (a)2 below. In this connection, the Provost will, prior to any such appointment or reappointment of Deans, consult with the President departmental college faculty and chairs, Faculty Senate President, University officers, and other interested persons. In the case of the appointment or reappointment of the Dean of Graduate Studies, the Provost will, in addition to the consultations noted above, also consult with the Faculty Senate Graduate and Professional Committee.
- (2) Pursuant to the provisions of Regents Policy 3.4, the Chancellor for Health Sciences may appoint or reappoint Deans of the component colleges and schools of the Health Sciences Center and may delegate to such Deans as is consistent with Regent policies and policies of the University that are applicable to the Health Sciences Center. In this connection, the Chancellor for Health Sciences will, prior to that appointment or reappointment, consult with the President, departmental college faculty and chairs, Faculty Senate President, other University officers, and other interested persons.
- (b) The Dean shall be the principal officer of the College or School and shall act as executive officer and representative of the College Faculty. With regard to educational policy, the dean shall prepare plans for consideration of the Faculty, shall carry into effect those plans which it adopts and shall perform such other duties as fall within the scope of the office.
- (c) The Dean shall be the presiding officer at meetings of the College Faculty. In case of absence or inability to preside, a substitute shall be designated.

## **Article IV. General**

*Section 1. Adoption of Constitution:* This Constitution shall be effective when approved by a two-thirds vote of the University Faculty present and voting and ratified by the Regents.

*Section 2. Amendments:* This Constitution may be amended by a two-thirds vote of those Voting Faculty members responding to an electronic ballot after presentation twice to the Faculty for debate in meetings at least four weeks apart and subsequent ratification by the Regents.

*Section 3. Distribution:* The Secretary of the University shall publish this Constitution and the Bylaws as amended in the Faculty Handbook.

Approved by the University Faculty

April 11, 1949

Ratified by the Regents

May 14, 1949

Amended in 1957, 1967, 1969, 1970, 1971, 1973, 1974, 1975, 1976, 1977, 1978, 1979, 1981, 1982, and 1985

## **Ballot: UNM Faculty Constitution Revisions**

**The Faculty Constitution** (Policy A51 in the Faculty Handbook) defines and governs the membership and responsibilities of the University Faculty including shared governance procedures and composition of shared governance organizations (General Faculty, Faculty Senate, AF&T Committee, and Committee on Governance). It defines the organization of Schools/College and Departments including membership, procedures, and meetings. It broadly defines the titles, responsibilities, and duties of the upper Administration. Finally, the Constitution provides for adoption and amendments thereof. Revisions have been written in consultation with the Faculty Senate, administration, and the Chair of the Regent's Academic, Student Affairs and Research Committee and presented twice at General Faculty Meetings on October 22, 2014 and November 21, 2014. Comments put forth at these meetings and through email have been considered and incorporated as appropriate.

**This ballot is separated into non-substantive and substantive changes.** The non-substantive revisions are all included in ballot item number one to be either approved or not approved as a block. The substantive items are presented individually as ballot items two through seven and only those items receiving the required two-thirds majority vote will pass. Faculty approved ballot items will be subject to the approval of the Board of Regents.

**The following items are provided for your reference:**

- [Excerpt from the Faculty Constitution on amendments \(pdf\)](#)
- [Proposed revisions to the Faculty Constitution with track changes \(pdf\)](#)
- [Proposed revisions to the Faculty Constitution without track changes \(pdf\)](#)
- [Background and rationale from the Committee on Governance for proposed substantive changes \(pdf\)](#)

## Non-Substantive Changes

### Ballot Item 1

The list below broadly describes the proposed non-substantive changes. To review all specific non-substantive recommendations in track change format, click on the link provided below.

Underscored text = new

~~Strike through text~~ = delete

Unmarked text = no change

- Clean up excessive language to be concise
- Title changes/updates
- Update outdated information to current state
- Remove University Libraries callouts as it is now a college
- Move sections to more appropriate areas

General format/grammatical clean up

[Faculty Constitution Non-Substantive with track changes \(Pdf\)](#)

Frequency Table

Choices	Absolute frequency	Adjusted relative frequency
Yes, I approve ballot item #1	524	90.97%
No, I do not approve ballot item #1	33	5.73%
Abstain	19	3.3%
Sum:	576	100%

## Substantive Changes

### Ballot Item 2

Inclusion of the Faculty Handbook in Article I, Section 2

Underscored text = new

~~Striked through text~~ = delete

Unmarked text = no change

(b) The enunciation of the rights and responsibilities delegated to University Faculty in Section 2(a) and resulting policies shall be contained or referenced in the Faculty Handbook. All university policies pertain to the rights and responsibilities of faculty, the terms and conditions of faculty employment, and academic matters shall be published in the Faculty Handbook by the Secretary of the University under the jurisdiction of the duly elected faculty governing leaders. The Faculty Handbook shall have primacy, as delegated to the faculty by the Board of Regents, over all faculty policies and procedures.

**Frequency Table**

<b>Choices</b>	<b>Absolute frequency</b>	<b>Adjusted relative frequency</b>
Yes, I approve ballot item #2	530	92.01%
No, I do not approve ballot item #2	29	5.03%
Abstain	17	2.95%
Sum:	576	100%



### Ballot Item 3

Members of the Committee on Governance shall be tenured (Article I, Section 5c)

Underscored text = new

~~Striked through text~~ = delete

Unmarked text = no change

(c) A committee of five voting members, all of whom shall be tenured, of the general faculty to be known as the Committee on Governance who are not members of the Faculty Senate shall be nominated and elected by mail ballot for overlapping three-year terms. Duties of this committee are to prepare, in conjunction with the Secretary of the University, the agenda of faculty meetings; to oversee elections, including referenda; to recommend adjustments, improvements and refinements in the faculty organizational structure; to represent the general faculty to the Senate; and to call meetings of the general faculty when necessary. The committee shall annually elect a chair.

**Frequency Table**

<b>Choices</b>	<b>Absolute frequency</b>	<b>Adjusted relative frequency</b>
Yes, I approve ballot item #3	300	51.19%
No, I do not approve ballot item #3	273	46.59%
Abstain	13	2.22%
Sum:	586	100%

#### Ballot Item 4

Presiding Officer of the Faculty Senate shall be tenured (Article I, Section 6d)

Underscored text = new

~~Striked through text~~ = delete

Unmarked text = no change

*(d) Organization and Procedures:* The members of the Faculty Senate shall determine how the Senate shall be organized and what procedures shall be established to carry out the responsibilities delegated to it by Sec. 6(a) above; provided, however, that the presiding officer(s) of the Senate shall be elected by the voting membership from among their number, and must have tenure, provided that the Secretary of the University shall serve as the secretary of the Senate.

**Frequency Table**

<b>Choices</b>	<b>Absolute frequency</b>	<b>Adjusted relative frequency</b>
Yes, I approve ballot item #4	306	52.76%
No, I do not approve ballot item #4	260	44.83%
Abstain	14	2.41%
Sum:	580	100%

## Ballot Item 5

Change in calculation of number of Faculty Senators in Article I, Section 6

Underscored text = new

~~Striked through text~~ = delete

Unmarked text = no change

(b) The Faculty Senate shall be composed as follows:

(i) The Faculty Senate shall be made up of 68 members, of which 63 are chosen according to the number of full-time voting faculty in each academic unit, and 5 chosen at large except as noted in vi below. The number of senators for each academic unit (school, college, or branch with a full-time academic faculty) shall be determined yearly by first dividing the set number of senators (63) into the total number of voting faculty in the University followed by using this resulting number to determine the proportional representation for each of the units with academic faculty. This calculation will be subject to modification as specified by the following rules.

(ii) For purposes of calculating the number of full-time faculty members the actual number of full-time contracted faculty shall be used. Budgeted positions not filled and part-time faculty will not be counted.

(iii) All academic units will be represented by at least one senator; that is, those units whose proportional representation is calculated as zero due to their small number of faculty, will be assigned one senator while maintaining a total of 63 senators(excluding the at-large senators).

(iv) No academic unit will be represented by more than one-third of the senators chosen proportionally; that is, those academic units whose proportion of all full-time voting faculty would be greater than 21 senators out of 63 will be assigned only 21 senators.

(v) The remaining academic units, that is, those units whose number of senators are not assigned by the rules in iii and iv, will be assigned a number of senators according to the proportion of the full-time voting faculty of those units out of the remaining senators (that is, the remainder from 63 after the assignment of senators to units as modified by iii and iv).

(vi) If there is a discrepancy in number of senators assigned by proportion according to ii, iii, iv, and v due to rounding, that is, if the number of senators assigned by proportion according to ii-v leads to a total of 64 or 62 senators, then the number of senators chosen at-large will be adjusted so that the total number of senators remains at 68.

(vii) A full-time faculty member holding appointments in more than one school or college shall select his constituent school or college for purposes of representation in and election to the Faculty Senate by filing a written notice of such selection with the Secretary of the University no later than the first day of the spring semester of each election year.

(viii) The Provost, Chancellor, vice presidents and associate vice presidents of the university and all deans of schools and colleges and directors from branch colleges shall be ex-officio, non-voting members of the Faculty Senate.

(viii) The President of the University, the Secretary of the University, and the Presidents of the Associated Students (ASUNM) and the Graduate and Professional Student Association (GPSA) shall be ex-officio members of the Faculty Senate without vote.

### Ballot Item 5 Continued

Change in calculation of number of Faculty Senators in Article I, Section 6

**Frequency Table**

<b>Choices</b>	<b>Absolute frequency</b>	<b>Adjusted relative frequency</b>
Yes, I approve ballot item #5	461	79.9%
No, I do not approve ballot item #5	59	10.23%
Abstain	57	9.88%
Sum:	577	100%

## Ballot Item 6

Inclusion of Provost or Chancellor in Dean/Director (re)appointments in Article III, Section 3.

Underscored text = new

~~Striked through text~~ = delete

Unmarked text = no change

~~See Section 3. Deans/Directors of Colleges and Schools:~~

- (a)(1) The Provost may appoint or reappoint Deans of Colleges and Schools and the Dean of Graduate Studies and delegate to such Deans as is consistent with Regent policies (except as per Section (a)2 below). ~~shall be made recommended to the President by the Provost or Chancellor for Health Sciences after~~ In this connection, the Provost will, prior to any such appointment or reappointment of Deans, consultation with the President, departmental college faculty and chairs, Faculty Senate President, University officers, and other interested persons. In the case of the appointment or reappointment of the Dean of Graduate Studies, the Provost will, in addition to the consultations noted above, also consult with the ~~shall be made recommended to the President by the Provost after appropriate consultation with the Faculty Senate President, Faculty Senate Graduate and Professional Committee.~~
- (2) Pursuant to the provisions of Regents Policy 3.4, the Chancellor for Health Sciences may appoint or reappoint Deans of the component colleges and schools of the Health Sciences Center and may delegate to such Deans as is consistent with Regent policies and policies of the University that are applicable to the Health Sciences Center. In this connection, the Chancellor for Health Sciences will, prior to that appointment or reappointment, consult with the President, departmental college faculty and chairs, Faculty Senate President, other University officers, and other interested persons. ~~*Deans of Colleges and Schools:* The appointment of Deans of Colleges shall be recommended to the Regents by the President after appropriate consultation with all Department Heads of the Colleges concerned, University Officers, and other interested persons. The appointment of the Dean of Graduate Studies shall be recommended to the Regents by the President after appropriate consultation with the Senate Committee on Graduate Programs and Standards, University Officers, and other interested persons.~~

## Ballot Item 6 Continued

Inclusion of Provost or Chancellor in Dean/Director (re)appointments in Article III, Section 3.

Frequency Table

Choices	Absolute frequency	Adjusted relative frequency
Yes, I approve ballot item #6	465	80.31%
No, I do not approve ballot item #6	60	10.36%
Abstain	54	9.33%
Sum:	579	100%

### Ballot Item 7

Removal of "Other Administrative Officers", Article III, Section 4

Underscored text = new

~~Striked through text~~ = delete

Unmarked text = no change

~~Sec. Section 4 Other Administrative Officers: The appointment of administrative deans (Dean of Students) and other chief administrative officers shall be recommended to the Regents by the President. They shall perform such duties as properly pertain to their offices.~~

Frequency Table

Choices	Absolute frequency	Adjusted relative frequency
Yes, I approve ballot item #7	482	83.39%
No, I do not approve ballot item #7	36	6.23%
Abstain	60	10.38%
Sum:	578	100%

# Academic Dashboards

UNM Board of Regents

Gregory L. Heileman  
Academic Affairs

February 6, 2015

# Background

- Nationwide, institutions of higher education are being asked to apply data-driven decision making to improve all aspects of their operation.
- This has proved difficult at UNM:
  - Traditional academic reporting systems are designed to measure outcomes, not uncover the factors that influence them.
  - The difficulty of managing, manipulating, and processing big data.
  - The lack of a formal data governance structure at UNM.



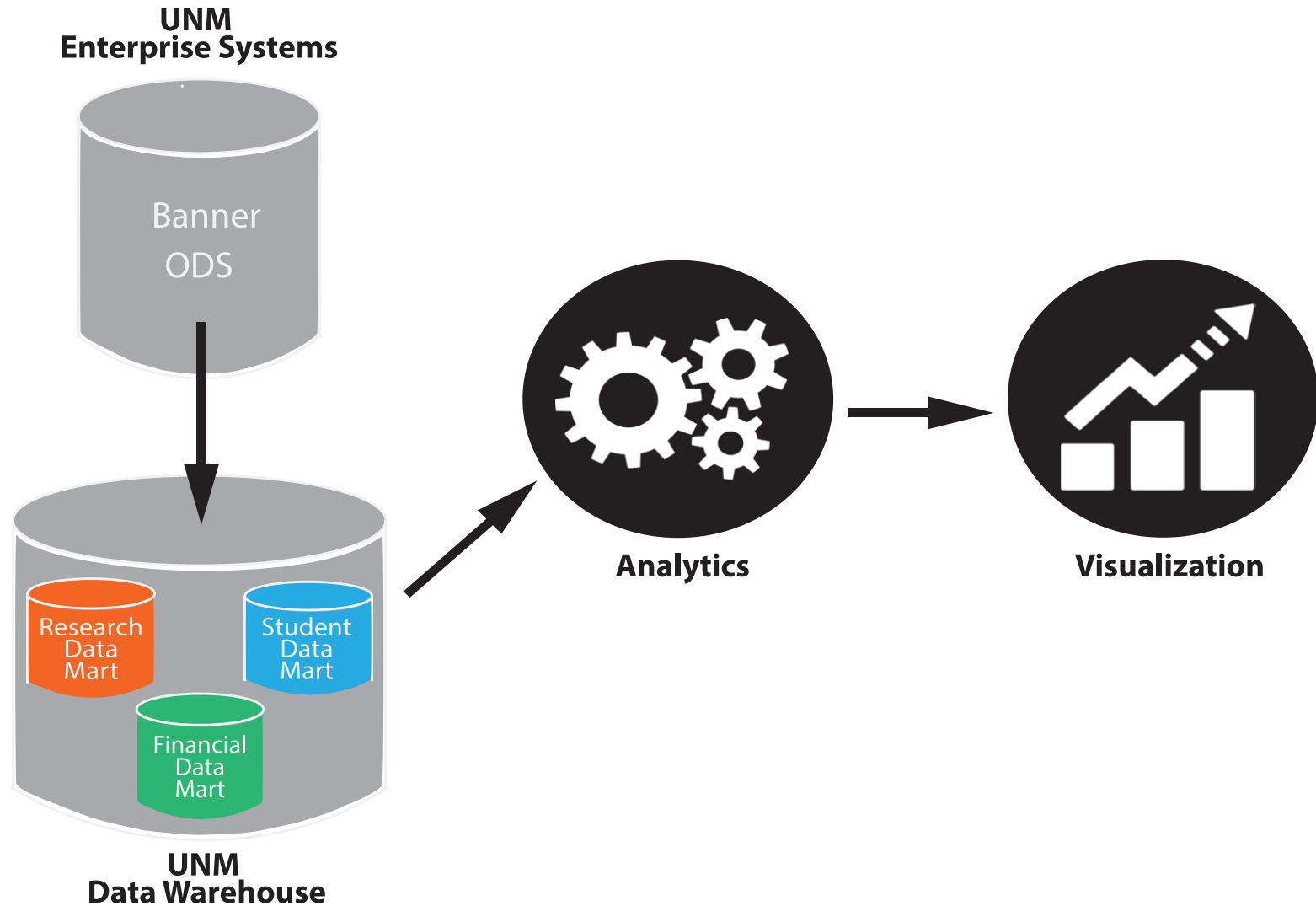
# Goals

- Provide an infrastructure that allows for the reporting of “live” and historical institutional data.
- Make data, analytics and dashboards available to those who have the appropriate access rights (requires an institutional data governance policy).
- Support data-driven decision-making/improvement efforts at all levels of the institution.

# Office of Institutional Analytics

- Developed a **Data Warehouse** containing **Data Marts** organized around key business functions, and optimized for analytics.
- Creating **analytics** capabilities for determining key measures and modeling future performance.
- Developing **dashboards** and reporting capabilities for various levels of the university.
- Creating **processes** for ingesting data/reporting requests, and for developing/submitting required reports.

# Processing Pipeline



# Development Timeline

Mid-2012 – present:	Data Mart Development
Aug. 2013:	Student Data Mart in Production
Sept. 2013	First Analytics Reports
Dec. 2013:	Financial Data Mart in Production
Jan. 2014:	Financial Analytics/Dashboard Made Available to Fiscal Agents
Sept. 2014	Sankeys go Live

# 2015 Development

February:	Provost Analytics “Live” Dashboard Released
March:	University-, College- and Department-Level Dashboards
May:	Student Cohort Tracking Analytics and Dashboards
End-of-Year	Faculty Productivity Data Marts and Dashboards

# Live Dashboards

- Sankey Flow Diagrams – Now live, i.e., pulling from the Student Data

Mart:<http://studentflows.unm.edu>

- Prototype: UNM (and branch campus) enrollments, budget information, etc.:

<http://unm-dashboard.herokuapp.com/>

# Backup Slides

In lieu of live demo

# Financial Dashboard

Executive Dashboard | Executive Reports | College Reports | Department Reports

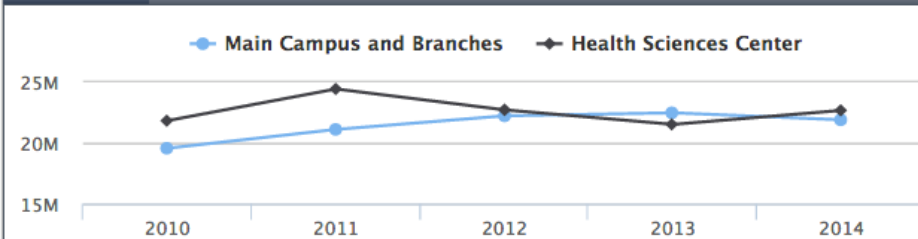
## Executive Analytics

Select a Fiscal Year: 2014

Refresh Dashboard

### F & A Cost Recovery Generation

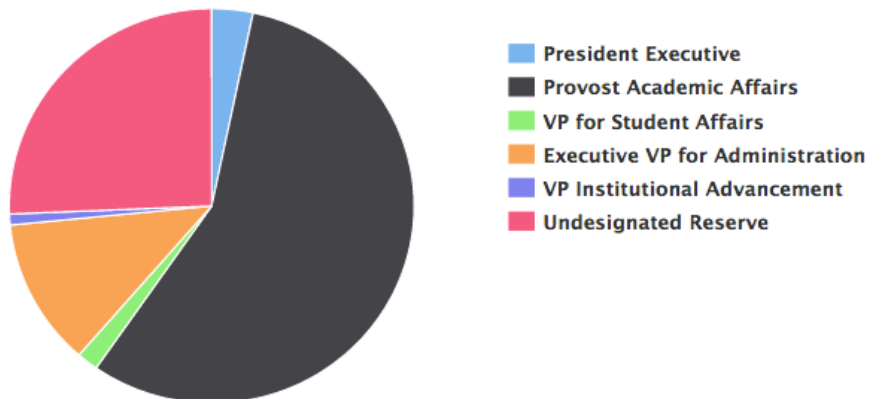
5 Year Trend | Current Activity



Source: UNM Financial Planning Datamart UNM\_OIA\_FINPLAN Schema.  
Data is refreshed monthly and is current as of the last month end close.

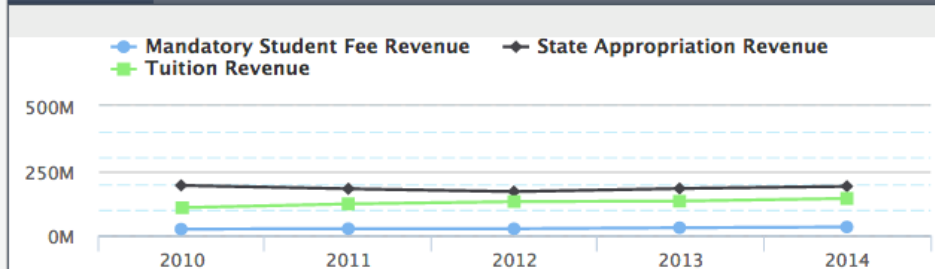
### Main Campus I & G Beginning Fiscal Year Reserves

Pie Chart | Current Fiscal Year



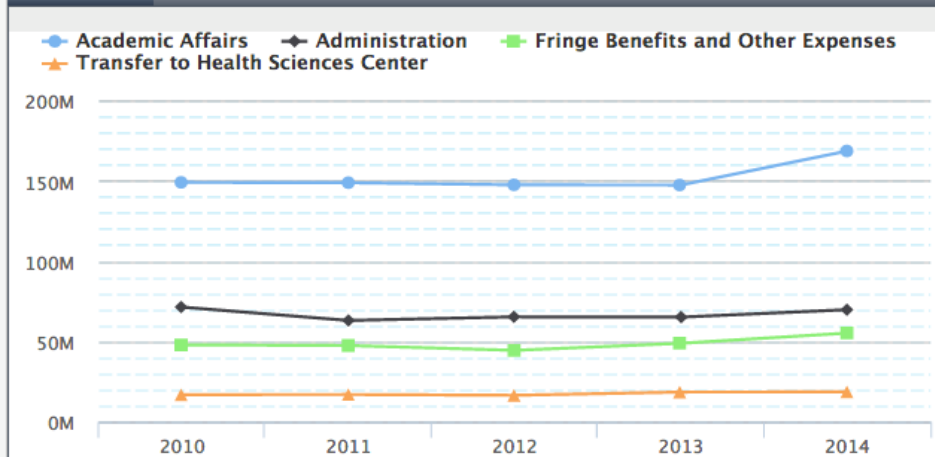
### Main Campus State Appropriations, Tuition and Mandatory Student Fees

5 Year Trend | Current Fiscal Year



### Main Campus I & G Pooled Allocations

5 Year Trend | Current Fiscal Year



HED Formula (Unrestricted) SCH and Degrees/Awards

Unofficial Albuquerque Enrollment (Headcount)

Instructional Planning



# Cohort Tracking Dashboard

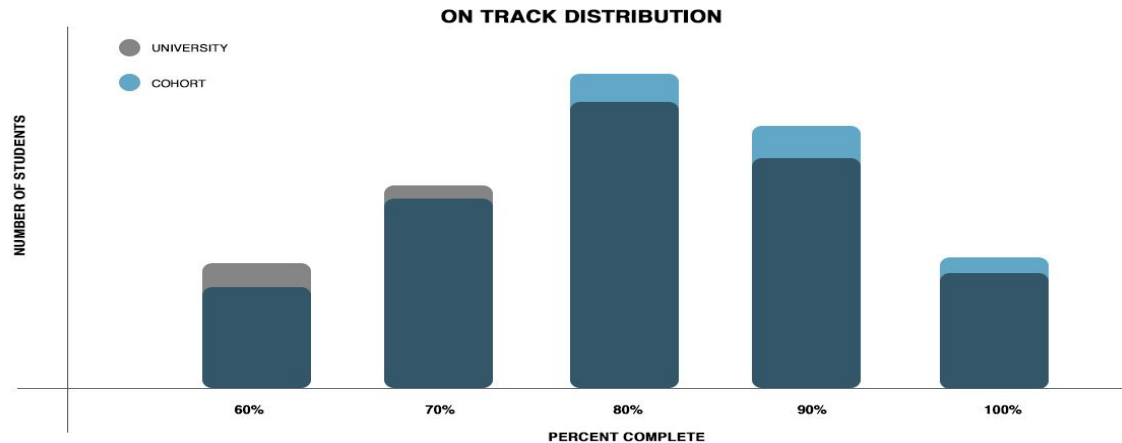
## BIOLOGY

**STUDENTS**  
823

**AVG GPA**  
2.64

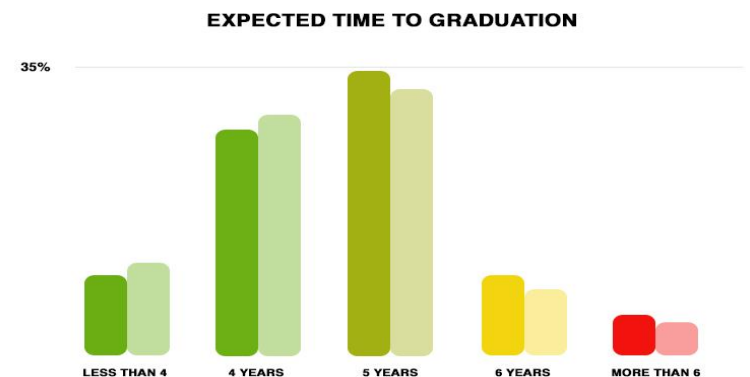
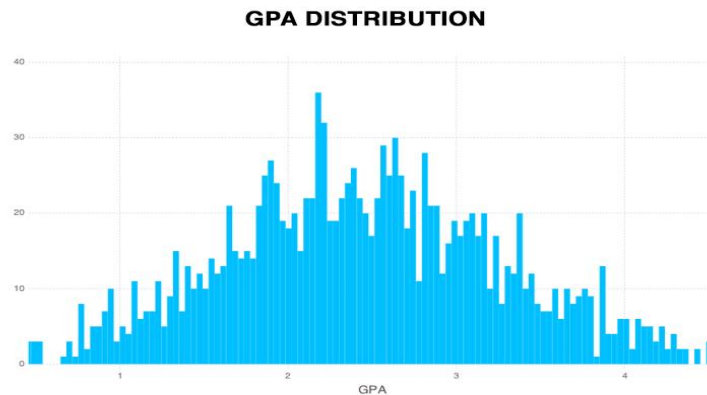
**GPA SD**  
0.83

**AVG ON TRACK**  
83%



**NEEDED COURSES**

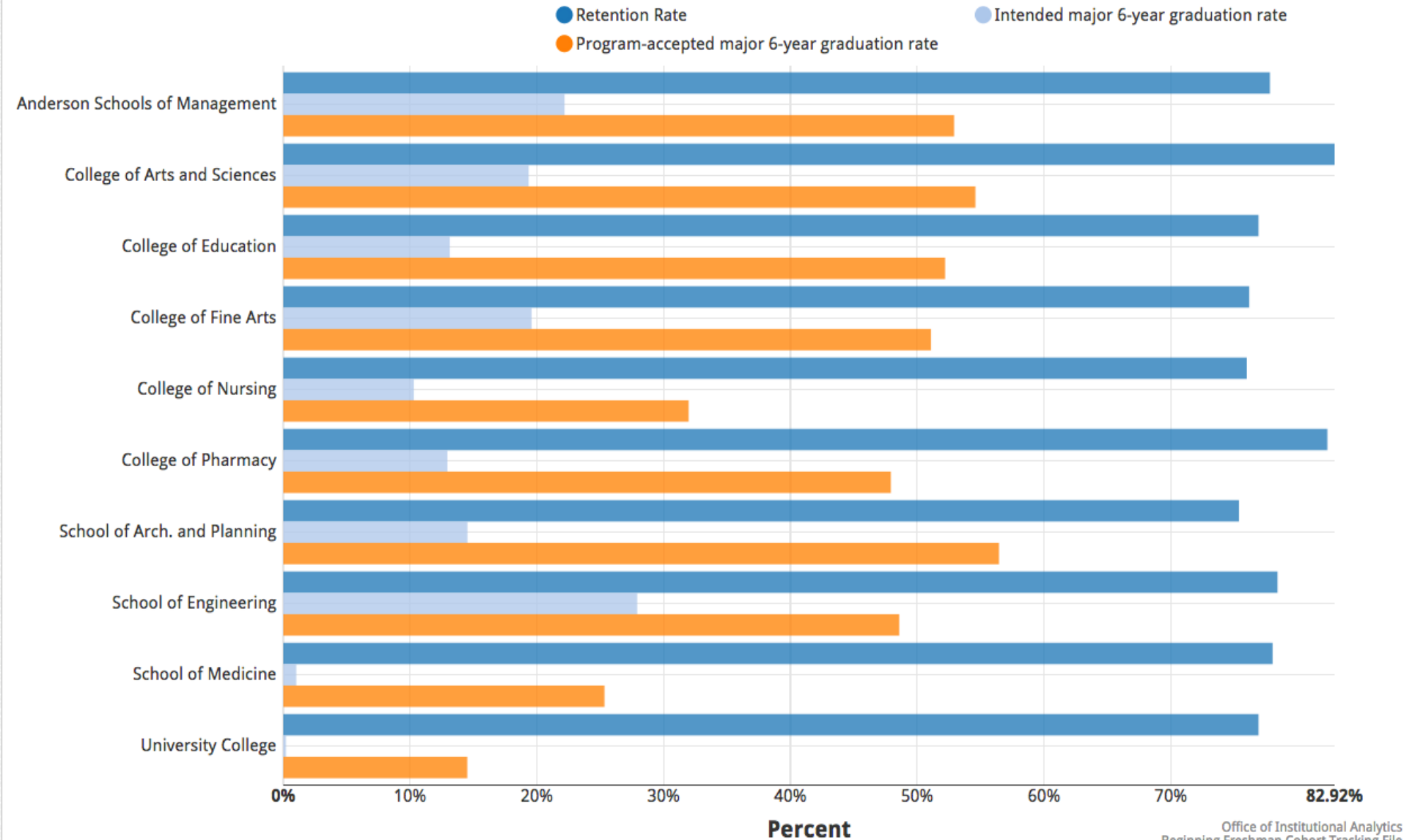
COURSE	STUDENTS
BIOL. 201L	109
BIOL. 202L	78
BIOL. 203	334
BIOL. 203L	334
BIOL. 204	197
BIOL. 204L	197



# College Dashboard

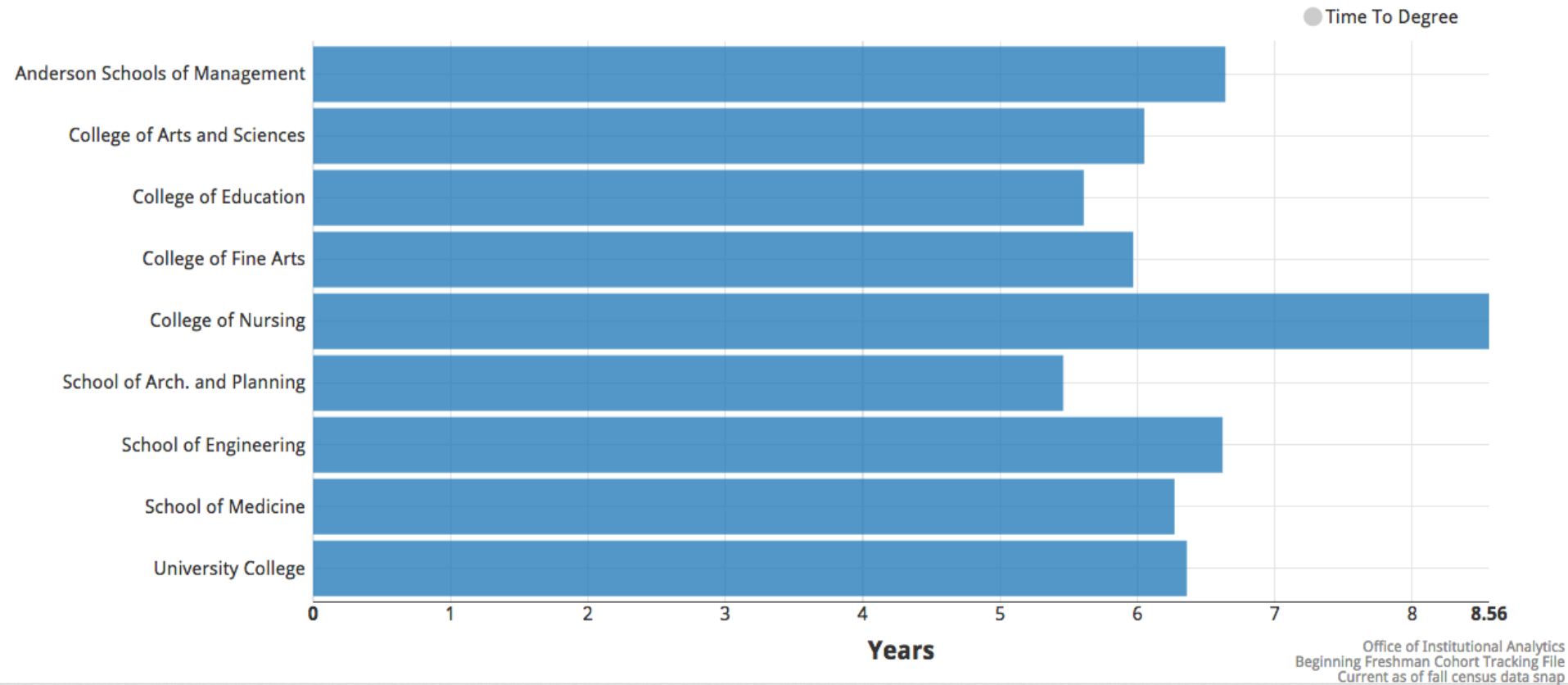
## 2013 Undergraduate Student Success Rates (2007 First-Time Full-Time Cohort)

**Note:** The intended major 6-year graduation rate for the School of Engineering as a whole (not for individual programs) is 27.91%



# College Dashboard

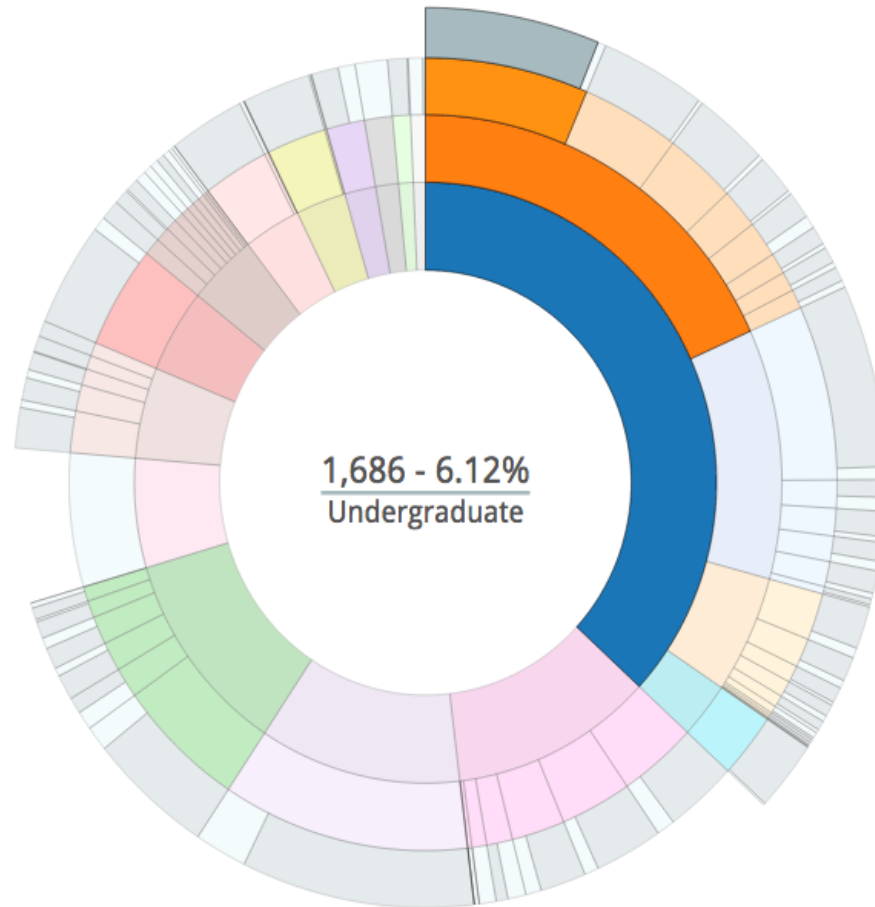
## 2013 Undergraduate Time To Degree (2007 First-Time Full-Time Cohort)



# Enrollment Dashboard

## Student Enrollment 2014

Arts and Sciences | Social Sciences | Psychology | Undergraduate | 1,686 - 6.12%



- Undergraduate
- Graduate
- Arts and Sciences
- Natural Sciences
- Social Sciences
- Humanities
- Engineering
- Pharmacy
- Nursing
- University College
- Architecture and Planning
- Management
- Medicine
- Fine Arts
- Education
- Non-Degree
- School of Law
- University Libraries
- University Studies

# Budget Dashboard

## 2014 UNM Budget

Total Budget

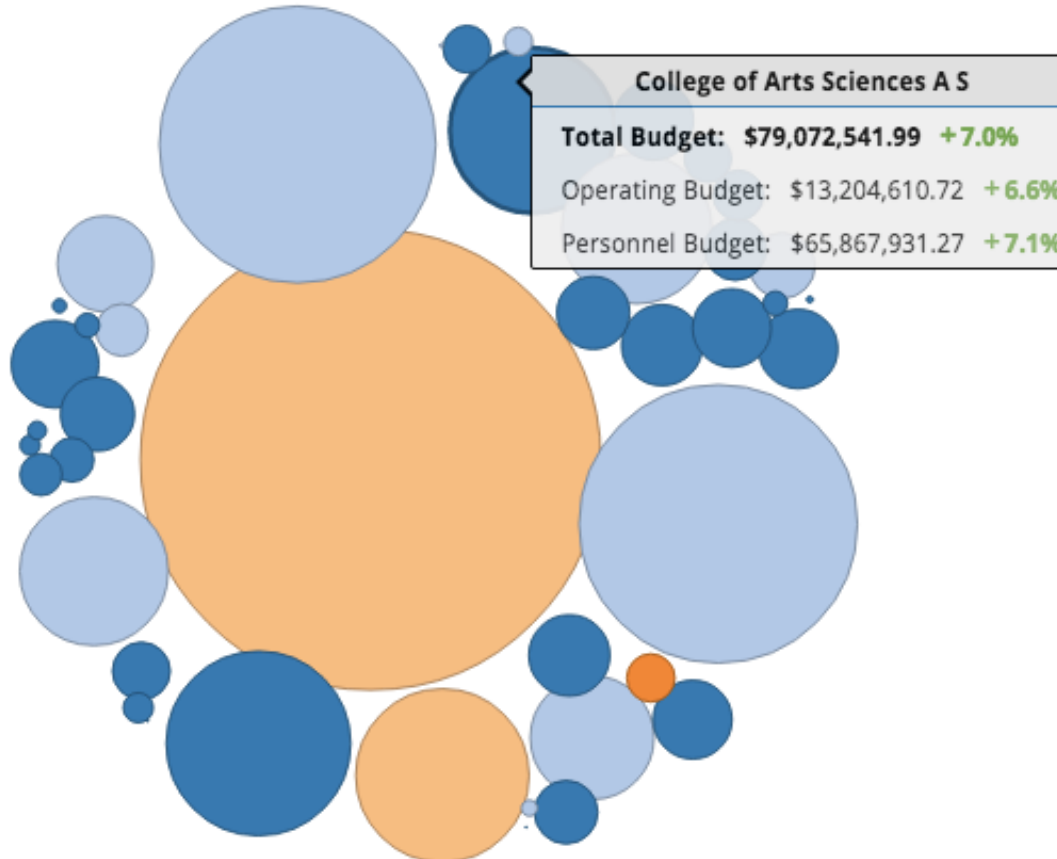
Operating Budget

Personnel Budget

University of New Mexico

Budget Sectors

UNM  
\$1,787,447,222



- Academic Affairs
- Administration
- HSC
- President

# What-if Analysis

2007 - ALL - N/A

Sankey

Area

Year

College

Major

Options

Gender: Any

Ethnicity: Any

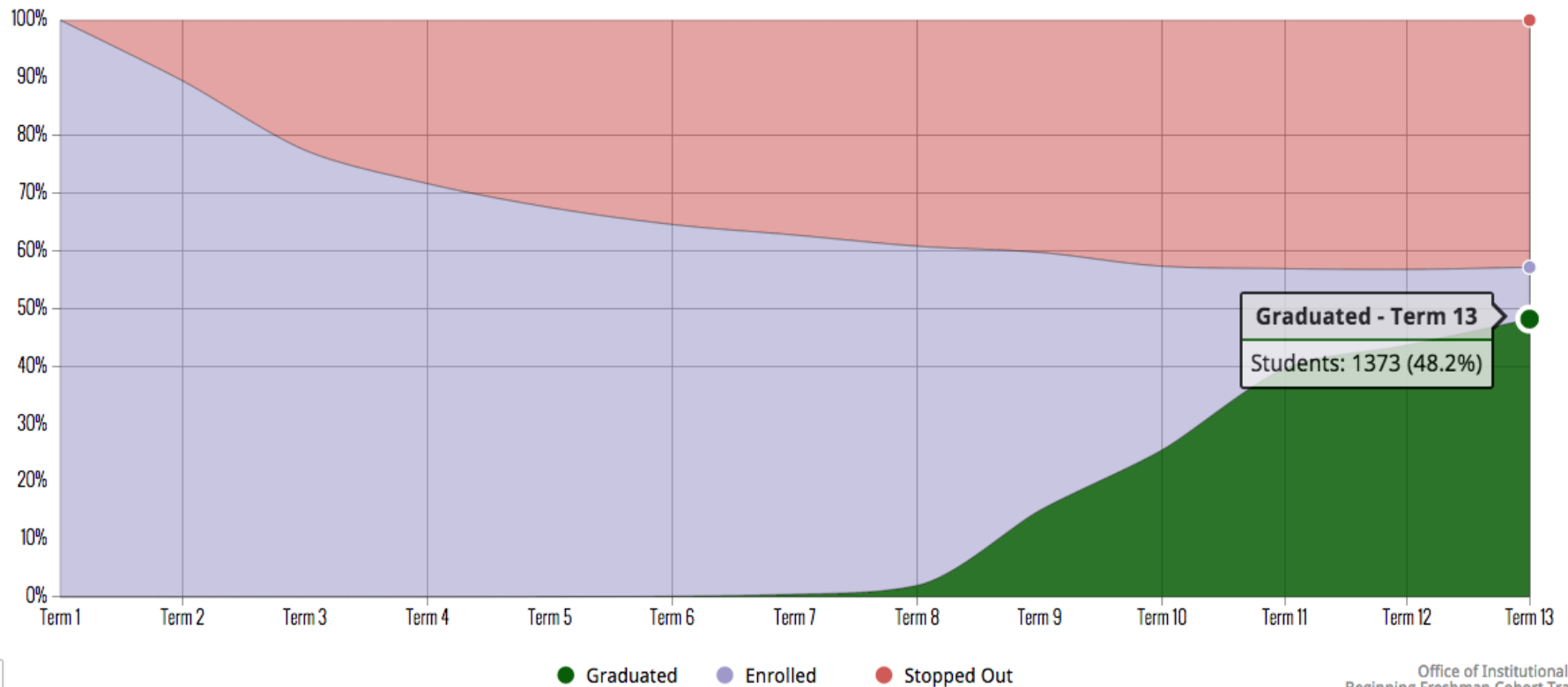
SAT Total: Min - Max

ACT Composite: Min - Max

High School GPA: Min - Max

Simple Flow ☒

Close



# What-if Analysis

2007 - ALL - N/A

Sankey

Area

Year

College

Major

Options

Gender: Female

Ethnicity: Any

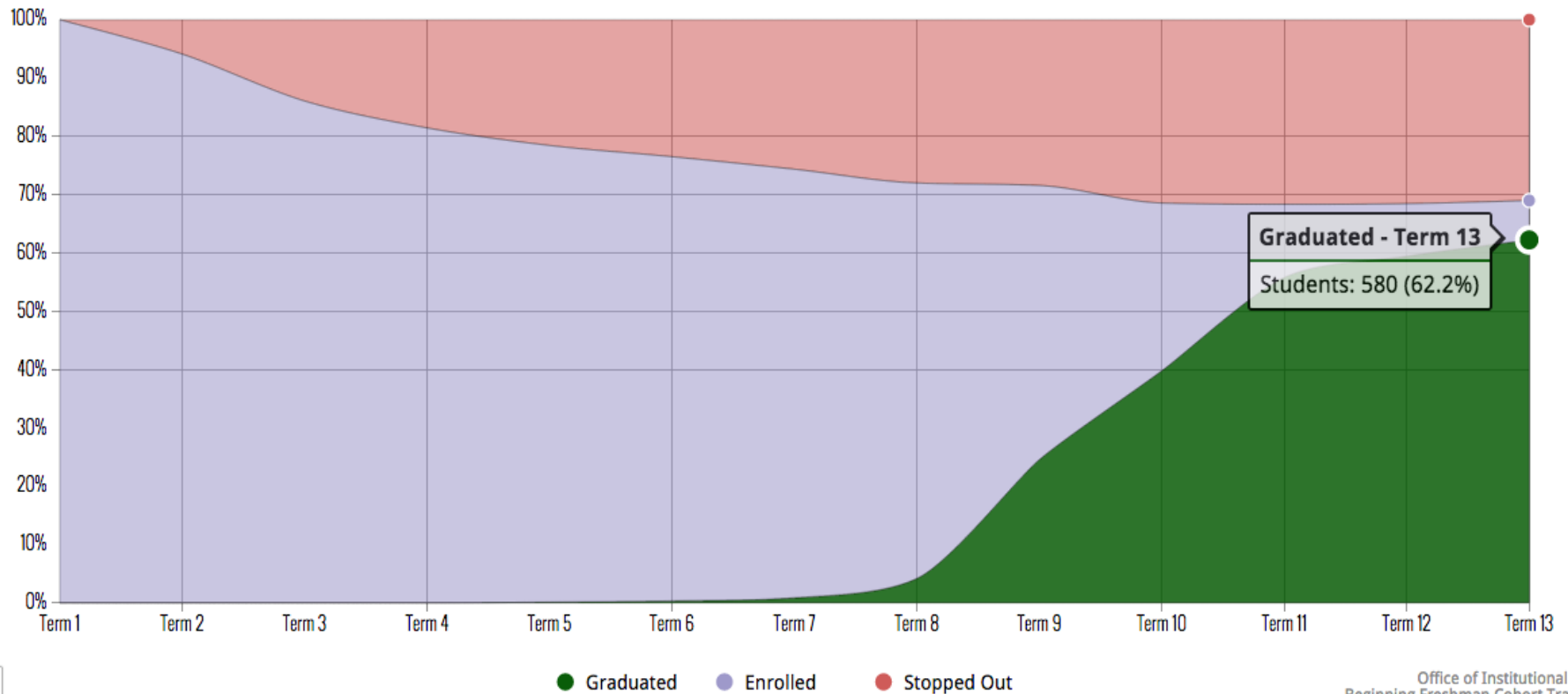
SAT Total: ☐ Min - Max

ACT Composite: ☐ Min - Max

High School GPA: ☐ 3.3 - Max

Simple Flow ☒

Close



# Selective Strategic Pricing to Attract New Non-resident Students

- Competition is extreme for traditional domestic and international students.
- New Mexico is further challenged with student prospects as one of only 6 states with a declining population.
- Strategically pricing non-resident tuition is a financially sound tactic when capacity exists.
- Targeting new groups for international agreements with countries like Ecuador, Brazil, Mexico etc. in addition to domestic areas such as Texas and other states to the East can add new students to fill the demographic void.



## International Volume/Agreement Pricing Example – UNM non-resident tuition and fees = \$20,664

Undergraduate Int'l Price	
Students	Annual Rate
<25	\$15,688
25 -49	\$13,555
50 or more	\$11,423
Graduate Int'l Price	
Students	Annual Rate
<25	\$16,395
25 -49	\$14,283
50 or more	\$12,171

Agreements that generate larger enrollments receive a more favorable tuition rate.



WICHE States: State of NM endorsed resident or reduced tuition



States with the largest increase of high school graduates through 2020



States with no exchange agreement

# Goals and Assessment

- Domestic
  - Increase yield rates and enrollment of new students from non-exchange (East of NM) states.
  - 10% increase in non-resident first-year students in year one and 20% in year two.
- International
  - Increase international enrollment through agreements with countries and organizations.
  - 15% increase in first-time international students in year one and 20% in year two.

## Advisor Comments and Reports

# **UNM Retiree Association**

Second decade of representing UNM retirees

In October of this year we held our annual planning retreat and established our GOALS for the upcoming year.

1. Increase our membership by 20%

**EXCEEDED** that goal!

UNMRA experienced a **30%** increase in membership, bringing us up to nearly 900 participating members.

Just establish a lifetime membership option.

2. Transition to Retirement plan

Currently working with HR and the Provost's office  
Assisting UNM in creating a better path to retirement

3. Emeriti College

We are reassessing our proposal and taking into consideration feedback received from various sources. Based on a "Center" style of organization to deliver the services we can to provide UNM and retirees.

# **Health Care Fair**

UNM Continuing Education

March 21 8:00 am to 12:30 pm

Information from people that have been there and done that

Dr. Sklar of UNM will be our guest speaker

All current UNM employees are invited to attend as well as  
retirees, spouses and dependents

Retirees will be present to answer questions and discuss their  
experiences

Door prizes and refreshments provided

Health Care Fair and Transition to Retirement are examples of  
services our Retiree Center can provide on a continuous basis.



GRADUATE *and* PROFESSIONAL  
STUDENT ASSOCIATION

## **February 2015 Board of Regents Update**

### **Spring Grants Cycle**

- The Spring grants cycle opened last Monday, January 26<sup>th</sup> and will close on Friday, February 27<sup>th</sup>
- We will be offering the Professional Development Grant (PDG – Up to \$500), Student Research Grant (SRG – Up to \$500) and Graduate Scholarship Fund (GSF – Up to \$1,000)
- We will again be offering Spanish-speaking students the opportunity to write their grants in Spanish

### **GPSA/ASUNM Town Hall Meetings**

- We are collaborating with ASUNM to host four town hall sessions in February and March across Main and North Campus
- This will be an additional way for us to reach our constituency groups to hear what's important to the student body

### **Capital Outlay Projects**

- With the legislative session currently in progress, we have been attending several meetings and training sessions in order to effectively represent our members and UNM at the Capitol
- We continue to collaborate with the Government Affairs Office

### **Graduate Education Day in Santa Fe**

- President Martin and Chief of Staff Thorpe represented the university in Santa Fe last Friday, January 30<sup>th</sup> for graduate education day



GRADUATE *and* PROFESSIONAL  
STUDENT ASSOCIATION

## **February 2015 Board of Regents Update**

### **GPSA Out and About**

- We participated in the open forum session for the Pilgrim Report and attended the Library Commons opening
- Actively participating in the new budget process
- Hosted our Spring Welcome Back Event on Friday

### **Summer Scholarship Fund**

- Our Foundation page has been launched and we have sent donor letters out over the Christmas break
- We are putting together a committee to start preparing bylaws additions for this new scholarship

### **Professional and Academic Workshops**

- All new workshops kick off this month.
- Workshops cover topics such as academic skills and support, funding and grant writing, career and professional development, among others.
- Collaboration between several units across campus - the GRC, Graduate Studies, Career Services, and some resource centers.



**UNIVERSITY OF NEW MEXICO  
ALUMNI ASSOCIATION  
BOARD OF REGENTS REPORT  
February 6, 2015**

**Vision** (Why we exist): *The Alumni Association is a vital partner in the continued excellence of the University of New Mexico through the significant engagement of alumni.*

**Mission** (What we are striving for): *To serve as a bridge between alumni and the university ensuring the continued success of the university and enriching the lives of alumni.*

Strengthen collaboration with campus constituents to meet the needs of UNM.	<p>On January 14, we hosted a <b>New Legislative Reception</b> at Hodgin Hall. After the reception, the legislators were taken to the UNM Lobos men's basketball against the Air Force Academy Falcons.</p> <p>On February 9, the Alumni Association will host the annual <b>Legislator Appreciation Reception</b> at the La Fonda Hotel in Santa Fe from 6:00 – 7:30 pm. Each Regent should have received invitations in the mail. That same day is UNM's Day at the Capital.</p> <p>On Wednesday, February 25<sup>th</sup>, <b>President Robert Frank and the Alumni Association</b> will co-host a <b>Capitol Hill Reception</b> honoring the NM Congressional Delegation. The reception will be held at the Hart Senate Office Building. Please let the Alumni Relations Office know if you might be in DC and would like to attend.</p>
Foster enduring involvement with, pride in and commitment to UNM, its colleges, schools and programs.	<p>A new alumni constituency, the <b>UNM Latin@ Hispan@ Alumni Chapter</b> has been launched. Their mission is to promote and provide financial, academic, social, and professional support to Chicano/Latino/Hispanic alumni, students, faculty, and staff at the University of New Mexico.</p> <p>The <b>UNM Alumni Collaborative</b> meetings provide a vehicle for the Alumni Relations Office to share event information and provide resources and services that enhance engagement throughout campus with professionals working with alumni in their schools/departments. The January meeting provided information regarding plans for Golden Graduates events, Dinner for 12 Lobos, and Mentor Program recruitment. Plans were share regarding special anniversaries coming up on campus to include: Medical School 50th Anniversary, College of Nursing 60<sup>th</sup> Anniversary, College of Pharmacy 70<sup>th</sup>.</p>

Engage students in ways that will develop lifetime ties to UNM.	<p>The Alumni Association will continue the student focused <b>Donut and Coffee Wednesdays</b> on the first Wednesday of each month through May. The next Donut Wednesday is on February 5<sup>th</sup>. We expect to service 500-600 students each time.</p> <p>The Alumni Association will award a total of <b>26 undergraduate/graduate scholarships</b> for the 2015-16 term totaling over \$27,000 in awards.</p>
Communicate effectively with our diverse group of alumni.	<p>The spring issue of the <b>Mirage Magazine</b> will be mailed to 167,000 alumni, donors, and friends that last week of February.</p>
Develop and steward resources to ensure a sustained and dynamic association.	<p>On Saturday, February 21, the Association's <b>Young Alumni Chapter</b> and <b>regional chapters</b> are hosting the <b>Lobos Coast to Coast pre-game watch parties</b>. To date there are over 20 venues in and out of state. Go to: <a href="http://www.unmalumni.com/lobos-C2C">www.unmalumni.com/lobos-C2C</a>.</p> <p>The Young Alumni <b>Professional Development Committee</b> will promote a series of workshops presented by the Office of Career Services in preparation for the 2015 Career Expo at the UNM Student Union, including Resume Rescue, Writing a Career Statement, and How to Work a Career Fair. The Board will host a table at the Career Fair, holding backpacks for students attending the fair.</p>
Encourage community service and leadership among alumni and students.	<p><b>UNM's Birthday is February 28<sup>th</sup></b>. The Association is coordinating a series of events around that period. Including:</p> <ul style="list-style-type: none"> <li>• The <b>Dinners for 12 Lobos</b> are scheduled for February 27- March 1. Alumni host a dinner in their homes for alumni, faculty, staff, and students to increase esprit and engagement among the groups.</li> <li>• <b>Lobo Day</b> events are being planned in various chapters across the country.</li> </ul> <p>Activities that the <b>Trailblazers</b>, Alumni Association/UNM Ambassadors, include:</p> <ul style="list-style-type: none"> <li>• February 5 – Assistance with UNM Alumni Spring Awards Dinner.</li> <li>• February 5-7 – CASE ASAP District 4 Conference, Dallas, TX.</li> <li>• February 9 – Assistance with Alumni Reception in Santa Fe for Legislators.</li> </ul>

	<p>February 10 - 19 - <b>CASE ASAP</b> is launching the <b>Student Engagement and Philanthropy month in February</b>, in which institutional members will organize events and activities to enhance awareness and engagement of students in philanthropic endeavors.</p> <p>UNM <b>Trailblazers</b> are collaborating with the <b>UNM Foundation</b> for this event and will host <b>“Thank a Donor Day”</b> at various locations across campus. Students can thank a donor on a Lobo Paw. Later, the paws will be displayed at the SUB during Lobo Day. Trailblazers will also participate in the UNM Foundation’s Radiothon for Children’s Hospital February</p>
<p>Garner greater recognition and visibility for Alumni Association programs and service to the university and community.</p>	<p>The <b>Alumni Association Winter Award Dinner</b> took place on Thursday, February 5<sup>th</sup> at Hotel Andaluz. A capacity crowd celebrated the contributions of :</p> <ul style="list-style-type: none"> <li>○ John Mateczun, Davidsonville, MD ’73 BUS ’78 MD - <b>James F Zimmerman Award</b>—</li> <li>○ Garrett Sheldon, Big Stone Gap, VA ’77BA - <b>Bernard S Rodey Award</b></li> <li>○ Michelle Coons, Albuquerque, NM, ’83 BBA - <b>Erna S Fergusson Award</b></li> <li>○ Steven McLaughlin, Albuquerque - <b>Faculty Teaching Award</b></li> </ul> <p><b>Lobo Living Room</b></p> <ul style="list-style-type: none"> <li>• On January 22nd, the Association’s Lobo Living Room Committee hosted Dr. Len Kravitz, from the Department of Health Exercise and Sports Science. Dr. Kravitz discussed <b>“The Secrets of Longevity”</b>.</li> <li>• On March 5<sup>th</sup>, the Lobo Living Room program will be held at <b>UNM’s Cancer Center</b> with <b>Dr. Cheryl Willman</b> as the presenter.</li> <li>• On June 4th, <b>Judith Lavender</b> will present <b>“The Art of Collecting SW Artifacts”</b> as part of the Lobo Living Room series.</li> </ul>

**Minutes of the Meeting of the  
UNM Health Sciences Center Board of Directors  
December 5, 2014  
Domenici Center for Health Sciences Education Bldg., Room 3010**

UNM Health Sciences Center Board of Directors (the “Board”) members present: Suzanne Quillen, Chair, Ann Rhoades, Michael Olguin, John “Mel” Eaves (telephonically)

UNM Health Sciences Center Board of Directors not present: Lt. Gen. Brad Hosmer, Conrad James

UNM Leadership present: Bob Frank, PhD, UNM President, Paul Roth, MD, MS, Chancellor for the UNM Health Sciences Center, and additional members of the Health System and Health Sciences Center leadership

Others present: members of the HSC faculty and staff; community members; guests

Chair Suzanne Quillen called the meeting of the Board to order at 9:05 a.m. The Chair established that a quorum of the members of the Board was present.

Approval of Agenda

A motion was made to adopt the published agenda. The motion was seconded. The motion passed with a vote of 3-0-0.

Approval of Minutes of Prior Meeting

A motion was made to approve the minutes of the November 7, 2014 meeting of the Board. The motion was seconded. There was no discussion. The motion passed with a vote of 3-0-0.

Chancellor’s Report

Dr. Roth introduced Dr. Martha McGrew who has been appointed as the new Executive Vice Dean for the UNM School of Medicine as of January 2015. She will replace Dr. Tom Williams who is retiring. Dr. Roth then introduced Mr. Mike Nichols as the Chief Information Officer for the Health Sciences Center (HSC) who will be overseeing all the Information Technology infrastructure for the HSC to include both the academic and Health System, and including the Research enterprise. Dr. Roth then shared his upcoming presentation to the Legislative Finance Committee that will include a brief review of the HSC legislative requests, and a status report of where the Affordable Care Act (ACA) is nationally and its current impact in New Mexico. He added that he will emphasize that the ACA was never intended to

eliminate the uninsured in the United States. The original intent of the law was that “universal access” means 92% of the population and, due to New Mexico’s demographics, rural nature and socio-economics, our averages would be closer to 10% state wide of uninsured. The current cost is about \$120 million, per year, to pay for uninsured costs. Dr. Roth continued with an explanation of the UNM Hospitals’ historical connection with the communities, the intent of the mil levies, negotiations with the County, etc. Discussion.

#### UNM Health System Update Including a Sandoval Regional Medical Center, Inc. (“SRMC”) Update

Mr. Steve McKernan and Dr. Mike Richards provided an update that included the successes at the Sandoval Regional Medical Center, Inc., strategy and plans for the future, quality and cost platforms, volume, SRMC’s positive margin continues, recognition of Jamie Silva-Steele and team, outcomes of a recent retreat. Dr. Mike Richards added that the overarching goals and strategy’s framework won’t change but there will be greater focus on value-based purchasing emphasizing quality, safety and outcomes. He added that a women and children’s strategic plan is underway and that network development at Holy Cross Hospital is currently underway. He added that the SRMC clinical programs continue to evolve and succeed, such as the Call Transfer Center, IR Lab, and Bariatric Center. Discussion. Mr. McKernan announced that Ms. Jamie Silva-Steele was recently recognized by Governor Martinez as being one of the top 10 New Mexicans of the year. He then addressed questions regarding tracking scheduling by each location, delays, audits on billing and collection activities, denial rates, etc. recently asked by Regent Hosmer and stated that a full report will be submitted in the future.

#### Public Comment

There was no public comment.

#### **ACTION ITEMS**

#### Request for the Approval of the UNM College of Nursing, UNM College of Pharmacy, and the UNM School of Medicine Fall 2014 Graduates

Dr. Michel Disco, Director, HSC Interprofessional Education, presented the Fall 2014 Health Sciences Center colleges graduates for approval. A motion was made to approve the 161 HSC Fall 2014 graduates. The motion was seconded. The motion passed with a vote of 3-0-0 in favor.

### Consideration of Resolutions Recommending Approval of the Appointment of Dr. Donna Sigl to the UNM Hospitals Board of Trustees

Director Ann Rhoades, representing the Governance and Nominating Committee, presented a brief background on the nomination of Dr. Donna Sigl to the UNM Hospitals Board of Trustees. A motion was made to approve the nomination of Dr. Donna Sigl to the UNM Hospitals Board of Trustees. Discussion. The motion was seconded. The motion passed with a vote of 3-0-0 in favor.

### Consideration of Resolutions Recommending Approval of the Parameters Resolution in Respect of Proposed Refunding/Refinancing of the 2004 UNM Hospitals Mortgage Revenue Bonds

Mr. Steve McKernan provided background information on the recommended approval of the Parameters Resolution in respect of proposed refunding/refinancing of the 2004 UNM Hospitals Mortgage Revenue Bonds. He added that consultants were in attendance at today's meeting to answer any questions and explained that the bond issue is eligible for refinancing and that rates have dropped significantly. He noted that this issue was presented to the UNM Hospitals Board of Trustees at its last meeting. Discussion. A motion was made to approve the Resolutions recommending approval of the Parameters Resolution in respect of proposed refunding/refinancing of the 2004 UNM Hospitals Mortgage Revenue Bonds. The motion was seconded. The motion passed with a vote of 2-0-0 in favor. Ms. Lovell provided further explanation on the potential savings and introduced the consultants in attendance today -- Mr. George Williford (First Southwest) and Mr. Wayne Brown (Bond Counsel). Discussion followed on timing and next steps.

### **INFORMATION ITEMS**

#### HSC Financial Update

Ms. Ava Lovell provided a summary of the HSC financial metrics through October 2014 including SRMC's success, cash flow, operating net margin, uncompensated care, accounts receivable. Discussion on positive spike from UNM Medical Group, Inc. on cash flow.

A motion was made to adjourn the open meeting and to convene in executive session. The motion was seconded. The motion passed with a vote of 2-0-0 in favor. Director Eaves was away from the phone at this point and did not vote.

Following the executive session, a motion was made for the Board to reconvene in open session and to certify that only those matters described in agenda item X. were discussed in executive session. The motion was seconded. The motion passed with a vote of 3-0-0 in favor.

A motion was made to adjourn the meeting. The motion was seconded. No discussion; with a vote of 3-0-0 in favor. Motion passed.

Minutes were prepared by Patrice Martin and finalized on December 11, 2014.

Approval of Minutes:

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Regent Suzanne Quillen, Chair

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Date

Attachments:

"Resolutions Approving and Recommending the Appointment of Donna Sigl, MD, to the UNM Hospitals Board of Trustees"

"Resolutions Recommending Approval of Parameters Resolution in Respect of Proposed Refunding/Refinancing of 2004 UNM Hospital Mortgage Revenue Bonds."



## **HEALTH SCIENCES CENTER BOARD OF DIRECTORS**

### **RESOLUTIONS APPROVING AND RECOMMENDING APPOINTMENT OF DONNA SIGL, M.D. TO THE UNM HOSPITAL BOARD OF TRUSTEES**

WHEREAS, pursuant to Regents Policy 3.6, the Board of Regents makes the appointment of seven (7) of the Trustees that comprise the UNM Hospital Board of Trustees;

WHEREAS, pursuant to the Lease Agreement for Operation and Lease of County Healthcare Facilities dated as of July 1, 1999, as amended by that certain First Amendment to Lease Agreement for Operation and Lease of County Healthcare Facilities dated as of November 18, 2004 (as amended, the "Lease") and the Amended and Restated Bylaws of the UNM Hospital Board of Trustees, is to include the immediately preceding Chief of the Medical Staff of the UNM Hospital as one of the seven (7) Trustees to be appointed to the UNM Hospital Board of Trustees;

WHEREAS, Donna Sigl, M.D., just completed her term as the Chief of the Medical Staff of the UNM Hospital and is, therefore, the immediately preceding Chief of the Medical Staff ;

WHEREAS, pursuant to Regents Policy 3.5, the nomination of Donna Sigl, M.D., as described in Exhibit A attached to these Resolutions and incorporated herein by reference, must be presented for consideration to UNM Hospital Board of Trustees, and then to the Health Sciences Center Board of Directors and, ultimately, for approval to the Board of Regents;

WHEREAS, the UNM Hospital Board of Trustees has recommended the approval of such nomination;

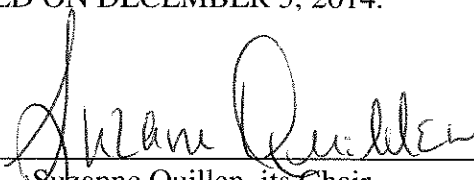
WHEREAS, this Board, having considered the Exhibit A materials and the presentations made to the Board in respect of the nomination of Donna Sigl, M.D., to serve as a member of the UNM Hospital Board of Trustees, finds and concludes that such nomination and nominee is reasonable and approval of the same is in the best interest of the UNM Hospitals and its stakeholders.

### **NOW, THEREFORE, BE IT:**

RESOLVED, that this Board hereby approves and recommends to the Board of Regents that Donna Sigl, M.D. be appointed as Trustee on the UNM Hospital Board of Trustees, effective immediately, to serve in such capacity as provided in the Amended and Restated Bylaws of the UNM Hospital Board of Trustees.



ADOPTED BY A VOTE OF THE MEMBERS OF THE HEALTH SCIENCES CENTER  
BOARD OF DIRECTORS AT A MEETING HELD ON DECEMBER 5, 2014.

By   
Suzanne Quillen, its Chair



THE UNIVERSITY OF NEW MEXICO ♦ HEALTH SCIENCES CENTER

UNM HOSPITALS

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## MEMORANDUM

November 21, 2014

**TO:** HSC Board of Directors

**FROM:** Michael Olguin *mo*  
UNM Hospitals, Board of Trustees, Chair

**SUBJECT:** Board Appointment, UNM Hospital Board of Trustees

Dr. Donna Sigl has been nominated to the UNM Hospital Board of Trustees, in her position as former Chief of Staff. The UNMH Board of Trustees requests the approval of the Governance and Nominating Committee of the HSC Board of Directors, and the HSC Board of Directors, with final approval by the Board of Regents. Dr. Sigl's resume is attached for review.

## **CURRICULUM VITAE**

November, 2014

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**DATE** November 6, 2014

**NAME** DONNA M. SIGL, M.D., M.S.

### **PROFESSIONAL ADDRESS**

Department of Psychiatry  
University of New Mexico, Health Sciences Center –  
School of Medicine  
MSC09 5030  
1 University of New Mexico  
Albuquerque, NM 87131  
Phone – 505 272 4735  
Email: [dsigl@salud.unm.edu](mailto:dsigl@salud.unm.edu)

### **HOME ADDRESS**

P.O. Box 35008  
Albuquerque, NM 87176-5008

### **LICENSURE**

5/2001- Present **New Mexico Board of Medical Examiners, Medical License # 2001-102**

### **CERTIFICATION**

01/2004 – 12/2014 **American Board of Psychiatry and Neurology, # 53464**

11/2007 – 12/2017 **American Board of Psychiatry and Neurology, subspecialty of Child and Adolescent Psychiatry, # 6341**

04/2014 – 2024 **Recertified for Psychiatry Certificate #53464 and Child and Adolescent Psychiatry Certificate # 6341 and Meeting MOC Requirements (provisional 10-year with MOC)**

### **EDUCATION**

7/1999 – 6/2001 **Child and Adolescent Psychiatry Residency, PGY IV-V, University of New Mexico, Albuquerque, New Mexico**

6/1996 – 6/1999 **General Psychiatry Residency, PGY I-III, University of New Mexico, Albuquerque, New Mexico**

8/1992 – 5/1996 **Doctor of Medicine, University of Arkansas College of Medicine, Little Rock, Arkansas**

**Master of Science in Accounting, Oklahoma State University, Stillwater, Oklahoma**

**Bachelor of Science in Business Administration (Accounting major), Oklahoma State University, Stillwater, Oklahoma**

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**EMPLOYMENT HISTORY**

Dec'13 - Present	<b>Physician Liaison</b> , University of New Mexico Medical Group, University of New Mexico Health Science Center, Albuquerque, New Mexico
July'04 - Present	<b>Vice Chair for Administration and Finance, Department of Psychiatry</b> , University of New Mexico, Albuquerque, New Mexico
July'13 - Present	<b>Professor</b> , Child and Adolescent Division, Department of Psychiatry, University of New Mexico Health Science Center, Albuquerque, New Mexico
July'08 - June'13	<b>Associate Professor</b> , Child and Adolescent Division, Department of Psychiatry, University of New Mexico Health Science Center, Albuquerque, New Mexico
July'01 - June'08	<b>Assistant Professor</b> , Child and Adolescent Division, Department of Psychiatry, University of New Mexico Health Science Center, Albuquerque, New Mexico
March'04 - July'04	<b>Vice Chair for Finance, Department of Psychiatry</b> , University of New Mexico, Albuquerque, New Mexico
April'03 - March'04	<b>Associate Vice-Chair for Finance, Department of Psychiatry</b> , University of New Mexico, Albuquerque, New Mexico
May'09 - Dec'13	<b>Medical Director, Children's Psychiatric Center—Outpatient Services</b> , Department of Psychiatry, University of New Mexico, Albuquerque, New Mexico
June'02 - June '04	<b>Executive Medical Director, Programs for Children and Adolescents</b> , Department of Psychiatry, University of New Mexico, Albuquerque, New Mexico
July'01 - May'02	<b>Medical Director, Psychopharmacology Clinic, Children's Psychiatric Center - Outpatient Services (formerly Programs for Children and Adolescents)</b> , Department of Psychiatry, University of New Mexico, Albuquerque, New Mexico
July'99 - June'01	<b>Child and Adolescent Psychiatry Fellow, PGY IV-V</b> , Child and Adolescent Division, Department of Psychiatry, University of New Mexico Health Sciences Center - School of Medicine, Albuquerque, New Mexico
July'96 - June'99	<b>Psychiatry Resident Physician, PGY I-III</b> , Department of Psychiatry, University of New Mexico Health Sciences Center - School of Medicine, Albuquerque, NM

**MEMBERSHIPS IN PROFESSIONAL SOCIETIES:**

**American Psychiatric Association**

**American Academy of Child & Adolescent Psychiatry**

**INVITED LECTURES:**

**2012, Attention Deficit/ Hyperactivity Disorder – Inattentive Type**, presented to Indian Health Services, National Telehealth Collaborative, CME provided to participants

**MEETING SESSIONS CHAIRED:**

**December, 2012 – October, 2014, Medical Executive Committee, monthly meetings of UNM Hospital leadership and representatives chaired as UNMH Chief of Staff.**

**2012, Physician Advisory Group (PAG) twice-monthly meetings.** Group of clinical department representatives and Medical Group Clinic Leaders who meet to improve “ease of provider practice”. This group works with the Medical Group leadership, the Medical Group Board of Directors and hospital leadership to improve care quality, collections, and provider ease of practice. Presentations have involved Chancellor Roth, Steve McKernan, Dr. Michael Richards on the leadership transition and goals of the HSC, Updates on Information Technology, Meaningful Use, Improving Medical Leadership Skills, Coding and Revenue-Cycle Challenges and Recommendations, Dr. Bob Bailey on Credentialing and Dr. Jeff Griffith on Improving the Hiring TimeLine.

**2012, Joint PAG/ Finance Committee Coding Workgroup.** Ad hoc workgroup established to address issues discovered in coding inefficiencies and to improve revenue capture and ease physician practice.

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**SCHOLARSHIP:**

- Medical Leadership and Business and Finance-related presentations, including Analyzing Leadership Tasks, Adapting to a Changing Environment, Addressing Personnel Challenges, Enhancing Leadership Effectiveness, Understanding CPT and RVUs
- Development and implementation of efficiency and financial models in business and finance-related areas of psychiatry administration and practice management
- Research and educational presentations on ecological psychiatric unit including safety considerations and design pitfalls to avoid for safety, cost, and operational efficiency.

**TEACHING/EDUCATION:**

**Undergraduate medical students teaching and mentoring:**

- Assignment and teaching of medical students along with fellows and residents during their rotation at Cimarron Clinic, 2010-2012.
- Clinical coordination and teaching of medical students during their elective rotation at Children's Psychiatric Center – Outpatient Services psychopharmacology clinic, 2003 - 2004.

**Resident and Child Psychiatry Fellow & Other teaching and mentoring:**

- Faculty Attending for Child and Adolescent Psychiatry Residents' Psychopharmacology Clinic, Children's Psychiatric Center – Outpatient Services, 2006 – 2013. Provide teaching and supervision for PGY IV and V Child and Adolescent Psychiatry Residents and Pediatric or Family Practice residents on rotation through the clinic.
- Lecturer, Psychopharmacology Didactic Seminar for First Year Child and Adolescent Fellows, 2001- present.
- Presentation and Co-Discussant, Child Psychiatry Fellow and CPC- OS Therapist Collaboration Meeting, 2012, *Split Treatment, When Medication Management is Separated from Therapy*.
- Faculty Attending for Child and Adolescent Psychiatry Consult Liaison Service, October, 2008 – April, 2009. Service provision as well as provide teaching and supervision for PGY IV and V Child Psychiatry Residents and General Psychiatry Residents on rotation through the clinic.
- Executive Medical Director for Children's Psychiatric Center – Outpatient Services, 2002 - 2004, Coordinated Child Psychiatry Fellows' Outpatient Psychopharmacology Clinics and Faculty Attending in Fellows' Outpatient Psychopharmacology Clinics

- Medical Director for Psychopharmacology Clinics, Programs for Children and Adolescents, 2001 - 2002, Coordinated and provided supervision and teaching to First and Second year Child and Adolescent Psychiatry Residents (PGY IV and V) as Faculty Attending in Child Psychiatry Residents' Outpatient Psychopharmacology Clinics.
- Coordinator and Lecturer, Psychiatric Practice Management Seminar for Child and Adolescent Psychiatry Fellows, 2007- present. This seminar addresses contracting considerations, billing issues and revenue enhancement for the psychiatry practice.
- Coordinator and Lecturer, Psychopharmacology Didactic Seminar for First Year Child and Adolescent Psychiatry Fellows, 2001 - 2004. Seminar topics include Informed Consent, Antidepressants, Mood Stabilizers, Algorithms for Treating Depression in Children, and Antipsychotic Use in Children and Adolescents.
- Coordinator and Lecturer, Administrative Psychiatry Didactic Seminar for Second Year Child and Adolescent Psychiatry Fellows, 2003 - 2007. This seminar addresses issues early-career psychiatrists may confront including Starting a Private Practice, Interviewing and Negotiating a Contract, Understanding Financial Statements, and Financial Planning and Thinking about Money.
- Mock Oral Boards Examiner for Child and Adolescent Psychiatry Fellows 2001 - 2009. Our Division annually examines our fellows in an oral board examination format and provides feedback to help prepare them for successful completion of this requirement for board certification.
- Supervision and Consultation with Family Nurse Practitioner in Children's Psychiatric Center, Outpatient Services, 2003 - present. Review and discussion of outpatient psychopharmacology cases followed by nurse practitioner.

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**SERVICE**

**Present patient care activities**

- Attending Faculty at Cimarron Clinic, Child and Adolescent Psychopharmacology January, 2014 – Present. Year-long experience for General Psychiatry Residents to become familiar with Child and Adolescent Psychiatric Evaluation and Medication Management and coordination among systems of care
- University Psychiatric Consultants, January 2014 – Present. Direct Patient service to UNM employees, families and related parties.

**Past patient care activities**

- Medical Director at UNM Children's Psychiatric Center – Outpatient Services from May, 2009 – December, 2013. Work includes attending faculty for Child Psychiatry Fellows' Psychopharmacology clinic and direct patient care for child and adolescent patients with severe mental illness. Includes collaboration with UH to obtain administrative support and optimizing patient throughput and scheduling efficiency, feedback to trainees for patient management, psychopharmacology education, and access to support systems. Ongoing projects include quality improvement by utilization of measurement instruments for screening and outcomes, implementation of remote tele-supervision, addition of specialty clinics, and QI with specific focus. This cohort is an underserved population, with many patients having complicated medical issues as well as major trauma history and disrupted social support systems and/or are at risk for school failure and involvement with the juvenile justice system
- Faculty Attending for Child and Adolescent Psychiatry Consult Liaison Service, October, 2008 – April, 2009. This position provides service to medically ill children hospitalized at University Hospital and UNM Carrie Tingley Hospital.
- Attending Faculty Psychiatrist at UNM Children's Psychiatric Center – Outpatient Services for Child and Adolescent Psychiatry Fellows Psychopharmacology Clinic. This clinic serves underserved populations and includes children and adolescents with severe mental illness and complicated medical issues. Many of the patients also have a major trauma history and disrupted social support systems and/or are at risk for school failure and involvement with the juvenile justice system.
- Executive Medical Director at UNM Children's Psychiatric Center – Outpatient Services from June, 2002 – June, 2004. Work included attending faculty for Child Psychiatry Fellows' Psychopharmacology clinic and direct patient care for child and adolescent patients with severe mental illness. This is an underserved population, many of whom have complicated medical issues as well as major trauma history and disrupted social support systems.



- Faculty Clinician - University Psychiatry Consultants, 2003 – 2005. Department outpatient faculty clinic; service includes providing evaluation and direct clinical care for children and adolescents with mental illness.
- Medical Director of Psychopharmacology Clinic, Children's Psychiatric Center — Outpatient Services, July, 2001 – May, 2002. Responsibilities included coordination and attending faculty in Child and Adolescent Psychiatry Fellows' Psychopharmacology clinic, and direct patient care for an underserved population of children and adolescents with severe mental illness and complicated medical, social, and often major trauma histories.

**University, SOM, HSC, department administrative duties**

- Chief of Staff, UNM Hospital, November, 2012 – October, 2014
- Chair, Medical Executive Committee, December, 2012 – October, 2014
- UNM Medical Group, Physician Liaison. December, 2013 – Present. Responsible for improving trust and communications between clinical departments and UNM Medical Group and in supporting revenue cycle and improved physician documentation
- Vice Chair for Administration and Finance, Department of Psychiatry, 2004 – present. Contribution to the administrative and fiscal efforts of the Department of Psychiatry. Oversight of departmental contracting and finances. Indirect oversight of department administrative and finance support through supervision of department administrator. Contribute to department leadership decision-making. Oversight of practice management leading to improved departmental revenues. Strategic contracting to optimize revenues. Vice Chair for Sandoval Regional Medical Center Psychiatric Unit, coordinating unit planning, design, court commitment proceedings, hiring and systems integration.
- Executive Medical Director, Children's Psychiatric Center – Outpatient Services, 2002-2004; May, 2009 – December, 2013. This position contributes to the administrative decision-making related to the operations, finances, personnel, and planning of the outpatient clinic along with the UH leadership and Clinical Psychologist and in coordination with Children's Psychiatric Hospital. Clinic services included faculty psychopharmacology clinic, midlevel behavioral health providers, home-based and school-based services, forensic evaluations, case management and specialized treatment teams, and training for child psychiatry fellows, psychology interns, and social work fellows, and medical student outpatient psychiatry rotation. The clinic treats an underserved population of children and adolescents many of whom have severe mental illness and complicated medical, social, and trauma histories.
- Chair, Physician Advisory Group, January, 2011 –December, 2012t. Responsible for twice-monthly meeting agendas for group of clinical department representatives, medical group clinic leaders, and medical group administrators. Includes obtaining approval of PAG priorities for 2012, accessing resources in support of Ease of Physician Practice initiatives, providing input into advisory groups, and providing input into and reports from the Medical Group Board of Directors and Finance Committees.
- Chair, PAG/ Finance Committee Joint Coding Workgroup, October 2012- April, 2013 Appointed Chair of this committee by Dr. Pope Moseley, Chair of the Medical Group Finance Committee. This ad hoc workgroup was created to address coding

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and revenue cycle concerns identified by Physician Advisory Group (PAG) members and members of the Medical Group Finance Committee and to present recommendations for action to the Finance Committee and the Medical Group Board of Directors.

- Vice Chair for Finance, Department of Psychiatry, March, 2004 - July, 2004. Financial planning and oversight of department contracting and accounting with reporting to department chair and leadership group.
- Associate Vice Chair for Finance, Department of Psychiatry, Financial planning and oversight of department contracting and accounting, interfacing with hospital administration to facilitate billing, optimization of coding and billing functions.
- Medical Director for Psychopharmacology Clinic, Children's Psychiatric Center - Outpatient Services, July, 2001 - May, 2002. This position includes responsibility for the ongoing administrative and clinical functioning of the Children's Psychiatric Outpatient Faculty and Resident Psychopharmacology clinics.

**University, SOM, HSC, department committees**

- Member, UNM Medical Group, Executive Leadership Team, January, 2014 - Present.
- Member, Medical Group Board of Directors, January 2011 - December, 2012. Elected faculty representative to the medical group board which develops strategies and makes informed decisions as the governing body of the Medical Group.
- Member, UNM Medical Group Finance Committee, January 2012 - December, 2013. Twice-monthly group is responsible for the detailed review and approval of the Medical Group expenditures, review and plan for the Medical Group clinic cost entities, and major asset review and approval.
- Member, UNM Medical Group Audit Committee, January 2011 - December, 2011. Group is responsible for the detailed review and approval of the Medical Group annual audit plan, review and approval of the audited financial statements, review of any internal audit reports or internal control issues.
- Chair, Physician Advisory Group, January, 2011 - December, 2012. Responsible for twice-monthly meeting agendas for group of clinical department representatives, medical group clinic leaders, and medical group administrators. Includes obtaining approval of PAG priorities for 2012, accessing resources in support of Ease of Physician Practice initiatives, providing input into advisory groups, and providing input into and reports from the Medical Group Board of Directors and Finance Committees.
- Member, Cost Infrastructure Sub Committee, September 2010 - 2012. This is a multidisciplinary committee formed to look at business cost, profitability, waste, practice variations, and what tools or infrastructure may be needed to address related health care reform initiatives.
- Member, LEAN "Champions" Team, June 2010 - 2013. This is a multidisciplinary team comprised of physician and hospital leadership focused on implementing LEAN principles and maintaining LEAN-process change in the healthcare environment with the goal of redesigning processes to improve flow and eliminate waste while providing customer value.

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- Member, Architect Selection Committee, March, 2010. Six-person committee of hospital, psychiatry department and facilities representatives to select architecture firm for programming, planning and design for new for new child & adult inpatient and outpatient behavioral health facility for UNM SOM.
  - Co-Chair, New (Psychiatric) Facility Planning Committee, October, 2009 – 2012 (on hold). Co-chair to committee of hospital and department representatives to address programming and planning for new child & adult inpatient and outpatient facility for UNM SOM.
  - Member, Children's Psychiatric Center Strategic Planning Committee, June 2009 – December, 2013. Five-person committee of top inpatient and outpatient hospital and department leadership, meeting every 2 weeks to discuss key issues related to facility programming, operation, and strategic planning for all Children's Psychiatric Hospital and Outpatient Clinic services.
  - Member, SRMC User Group, October, 2009 – 2010. Psychiatry representative to committee to interface with architects around planning psychiatric space in new Sandoval Regional Medical Center.
  - Member, SRMC Steering Committee, July, 2009 – 2011. Psychiatry representative to committee to confirm clinical programs and review projected patient volumes for Sandoval Regional Medical Center.
  - Member, Physician Advisory Group, April, 2008 – present. This committee is a venue for communication between faculty and the leadership of the Medical Group.
  - Member, Children's Psychiatric Hospital Nutrition Committee, 2009 – 2011. Ad hoc committee to address issues concerning food and nutrition at the hospital.
  - Member of Division of Child and Adolescent Psychiatry Educational Training Committee from 2001 - present. This committee is composed of the faculty attendings and discusses training issues affecting the child psychiatry fellows. The committee meets monthly.
  - Member of Psychiatry Department Leadership Group, from 2003 - present. Weekly meetings with Department Chair and Vice Chairs to discuss and decide department leadership items, including clinical, training, finance, planning, and administrative issues.
  - Leader, Department Finance Committee, 2005 – present. Weekly to monthly meetings to address department financial issues, including contract status and deadlines, process problem-solving, intra-departmental operations and communications, budgeting, and management and financial report status.
  - Member, Medical Group (previously UPA) and Department of Psychiatry Clinical Department Committee Meeting, 2004 – present. Initially monthly, now quarterly meetings to discuss department UPA reports, including accounts receivable, uncollectible items, coding, and financial indicators in order to optimize department billing and collections.
  - Member, Joint Operations Committee & Steering Committee, 2003 – present. Committee comprised of contracting, hospital, Medical Group (prev. UPA), and department representatives, initially met monthly to discuss Salud behavioral health or Value Options payment and other operational issues, now meets quarterly to review and discuss Optum registration, payment and operational concerns.

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Steering committee meets in preparation for meeting with personnel in Joint Operations Committee meeting.

- Member, Children's Psychiatric Center Executive Leadership Committee, 2002 – 2004; May, 2009 – December, 2013. Committee comprised of inpatient and outpatient hospital and department leadership, met weekly to monthly to discuss planning and operational issues related to Children's Psychiatric Hospital and Outpatient Clinic.

**University, SOM, HSC, department committees, continued**

- Member, Programs for Children (now Children's Psychiatric Center-Outpatient Services) Executive Committee, 2002-2004, May, 2009 – December, 2013. Weekly meeting with UH leader and Chief Psychologist to address operational, financial, administrative, personnel, and planning issues related to the outpatient clinic. Clinic services included faculty psychopharmacology clinic, midlevel behavioral health providers, home-based and school-based services, forensic evaluations, case management and specialized treatment teams, and training for child psychiatry fellows, psychology interns, and social work fellows, and medical student outpatient psychiatry rotation.
- Member, Faculty Advisory Contracting Committee, 2005-2006. Monthly meeting with Guy Thomas (contracting), Paul Kirk, and faculty members to provide faculty input to the HSC payer contracting process and to educate and update department faculty on contract negotiation strategy and contract status and accomplishments.
- Member, Children's Psychiatric Center Quality Assurance Committee, 2002 – 2004. Meetings with inpatient and outpatient hospital and department leadership to review and discuss quality assurance in the clinical settings.
- Member, Ad Hoc Children's Psychiatric Hospital (CPH) Advisory Committee, 2003. Meeting with Department Leadership, CPH leadership, UH leadership and UPA leadership to review CPH financial status, billing, and unit operational cost issues. Many changes were implemented including program restructuring, streamlined bill-processing, and unit cost reductions which resulted in the improved financial status of the children's psychiatric hospital.



## HEALTH SCIENCES CENTER BOARD OF DIRECTORS

### **RESOLUTIONS RECOMMENDING APPROVAL OF PARAMETERS RESOLUTION IN RESPECT OF PROPOSED REFUNDING/REFINANCING OF 2004 UNM HOSPITAL MORTGAGE REVENUE BONDS**

WHEREAS, management of the UNM Health Sciences Center (the "UNMHSC") presented to the UNM Hospital Board of Trustees (the "UNMH BOT") and this Board of Directors (this "Board") the potential financial terms and financial results associated with refunding/refinancing of the \$192,250,000 Regents of the University of New Mexico FHA Insured Hospital Mortgage Revenue Bonds (the "Bonds") as described more fully in the Parameters Resolutions attached to these Resolutions as Exhibit A (the "Parameters Resolutions");

WHEREAS, the UNMH BOT after considering the information presented, adopted a Resolution dated November 21, 2014, recommending approval of the proposed refunding/refinancing of the Bonds;

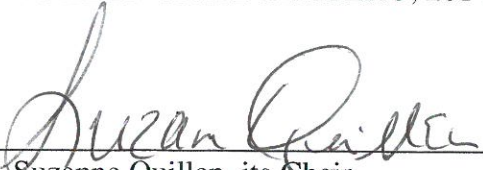
WHEREAS, this Board, have considered the recommendation for approval from the UNMH BOT and the information presented and the potential financial terms and financial results associated with such a refunding/refinancing of the Bonds and the attached Parameters Resolutions, finds and concludes that this Board should recommend approval of the proposed refunding/refinancing of the Bonds as described in the information presented and the Parameters Resolutions.

NOW, THEREFORE, BE IT:

RESOLVED that the proposed refunding/refinancing of the Bonds as described in the information presented and the attached Parameters Resolutions be, and they hereby are, recommended for approval to the Board of Regents; and it is further

RESOLVED that management of the UNM Hospital, under the direction of the leadership of the UNM Health Sciences Center, is hereby authorized and directed to (a) take the steps necessary to proceed forward with the proposed refunding/refinancing of the Bonds as described in the information presented and the attached Parameters Resolutions and (b) bring back to the UNMH BOT and this Board data and other information the pertaining to the financial terms and financial results associated with such a refunding/refinancing of the Bonds, prior to a final decision thereon by the Board of Regents.

ADOPTED BY A VOTE OF THE MEMBERS OF THE UNM HEALTH SCIENCES  
CENTER BOARD OF DIRECTORS AT A MEETING HELD ON DECEMBER 5, 2014.

By:   
Suzanne Quillen, its Chair



## **EXHIBIT A**

### **RESOLUTION**

RELATING TO THE REGENTS OF THE UNIVERSITY OF NEW MEXICO FHA INSURED HOSPITAL MORTGAGE REVENUE BONDS (UNIVERSITY OF NEW MEXICO HOSPITAL PROJECT) SERIES 2004, ORIGINALLY ISSUED ON NOVEMBER 18, 2004 IN THE AMOUNT OF \$192,250,000; AUTHORIZING THE ISSUANCE OF OBLIGATIONS OF THE UNIVERSITY IN AN AMOUNT NOT TO EXCEED \$160,000,000 FOR THE PURPOSE OF REFUNDING/REFINANCING OF THE SERIES 2004 BONDS; RATIFYING CERTAIN ACTIONS HERETOFORE TAKEN; AND REPEALING ALL ACTION INCONSISTENT WITH THIS RESOLUTION.

Be it resolved by the Board of Regents (the "Regents") of the University of New Mexico (the "University"):

WHEREAS, University of New Mexico Hospital ("UNM Hospital") is the primary teaching hospital of the University of New Mexico School of Medicine and a major source of tertiary care for citizens of the State of New Mexico (the "State");

WHEREAS, the Regents issued \$192,250,000 Regents of the University of New Mexico FHA Insured Hospital Mortgage Revenue Bonds (University of New Mexico Hospital Project) Series 2004 (the "Series 2004 Bonds") on November 18, 2004 to provide funds to allow UNM Hospital to significantly expand and improve its capability to provide state-of-the-art care to patients requiring trauma, children's and women's services by constructing a Children's Hospital and Critical Care Pavilion (the "Project");

WHEREAS, UNM Hospital is owned partly by the University and partly by Bernalillo County (the "County") and is operated by the University under a lease with the County;

WHEREAS, in connection with the issuance of the Series 2004 Bonds and construction of the Project, the University granted a mortgage (the "Section 242 Mortgage") on the Regents' entire ownership interest and leasehold interest in the main UNM Hospital site and the UNM Hospital Outpatient Surgical and Imaging Services to obtain mortgage insurance available under Section 242 of the National Housing Act ("Section 242 Mortgage Insurance") through the Federal Housing Administration (the "FHA"); and

WHEREAS, under current and anticipated bond and financial market conditions, the University may achieve debt service savings by refunding/refinancing the Series 2004 Bonds (the "Refunding/Refinancing") through the issuance of tax-exempt refunding bonds, taxable refunding bonds backed by GNMA securities (MBS) or through direct issuance of GNMA Securities and through reduction of the interest rate on the Section 242 Mortgage.



NOW THEREFORE BE IT RESOLVED BY THE BOARD OF REGENTS OF THE  
UNIVERSITY OF NEW MEXICO:

Section 1. Ratification. All actions not inconsistent with the provisions of this Resolution heretofore taken by the Regents and the officials of the University and UNM Hospital directed toward the Section 242 Mortgage, the Section 242 Mortgage Insurance and the Refunding/Refinancing be and the same hereby are ratified, approved and confirmed.

Section 2. Special Limited Obligations. That funds for repayment of the Refunding/Refinancing obligations to be secured by the Section 242 Mortgage will be derived solely from UNM Hospital revenues, and in the event of default the Section 242 Mortgage can be foreclosed but there shall be no recourse to any deficiency judgment against the State, UNM Hospital, the University, the Regents or the County.

Section 3. Refunding/Refinancing Obligations. That the following resolution and form of notice are adopted to authorize the issuance of University obligations for the Refunding/Refinancing:

(a) Authorization of Refunding/Refinancing Obligations. The Regents of the University of New Mexico, a body corporate under the constitution and laws of the State of New Mexico, authorizes the issuance of not to exceed \$160,000,000 of its FHA Insured Hospital Mortgage Refunding Revenue Obligations/University of New Mexico Hospital Project (the "Obligations"). The Obligations will be issued in full compliance with the laws of the State, including Sections 6-17-1 through 6-17-19 NMSA 1978, 6-14-1 through 6-14-12 and 6-18-1 through 6-18-16 NMSA 1978, as amended, all resolutions, undertakings and enactments of the Regents relating to the Obligations, all as amended or supplemented, and a Trust Indenture (the "Indenture"), to be entered into by and between the Regents and the financial institution or commercial bank to be named therein, as trustee (the "Trustee"). The interest rate on the Obligations shall not exceed 4.50% and the Obligations shall not mature later than December 1, 2035. The structure and terms of the Obligations shall be as set forth in the Indenture. The Obligations will be issued by the Regents for the purpose of refunding/refinancing of the outstanding University of New Mexico FHA Insured Hospital Mortgage Revenue Bonds (University of New Mexico Hospital Project) Series 2004. The Regents authorize, upon their completion to the satisfaction of the University's General Counsel, the execution of all agreements contemplated by the Refunding/Refinancing, including the Indenture and the documents pertaining to the modification of the Section 242 Mortgage. The final structure and terms of the Refunding/Refinancing, the Obligations, the Indenture, the modification of the Section 242 Mortgage and financial results of the Refunding/Refinancing shall be presented to the Regents for approval in a resolution to be considered by the Regents at a later date.

(b) Notice of Resolution Authorizing the Issuance of Public Securities for Publication and Limitation of Actions. In accordance with the provisions of Section 6-14-6 NMSA 1978, as amended, the Secretary and Treasurer of the Regents shall cause the following "Notice of Resolution Authorizing the Issuance of Public Securities" to be pub-



lished once in the Albuquerque Journal or other newspaper of general statewide circulation, and cause a copy of this resolution to be kept on file in the office of the Associate Vice President for Planning, Budget and Analysis of the University of New Mexico for public examination during regular business hours until at least thirty (30) days from and after the date of publication thereof. The "Notice of Resolution Authorizing the Issuance of Public Securities" shall be in substantially the following form:

[FORM OF NOTICE]

NOTICE OF RESOLUTION AUTHORIZING THE  
ISSUANCE OF PUBLIC SECURITIES

NOTICE IS GIVEN pursuant to the provisions of Section 6-14-6 NMSA 1978, as amended, that on December 12, 2014, The Regents of the University of New Mexico (the "Regents") adopted a resolution (the "Resolution") authorizing the issuance not to exceed \$160,000,000 of its FHA Insured Hospital Mortgage Refunding Revenue Obligations/University of New Mexico Hospital Project (the "Obligations"). The Obligations will be issued in full compliance with the laws of the State, including Sections 6-17-1 through 6-17-19 NMSA 1978, 6-14-1 through 6-14-12 and 6-18-1 through 6-18-16 NMSA 1978, as amended, all resolutions, undertakings and enactments of the Regents relating to the Obligations, all as amended or supplemented, and a Trust Indenture (the "Indenture"), to be entered into by and between the Regents and the financial institution or commercial bank to be named therein, as trustee. The interest rate on the Obligations shall not exceed 4.50% and the Obligations shall not mature later than December 1, 2035. The structure and terms of the Obligations shall be as set forth in the Indenture. The Obligations will be issued by the Regents for the purpose of refunding/refinancing of the outstanding University of New Mexico FHA Insured Hospital Mortgage Revenue Bonds (University of New Mexico Hospital Project) Series 2004. The final structure and terms of the Obligations and the financial results of the refunding/refinancing shall be presented to the Regents for approval in a resolution to be considered by the Regents at a later date.

A copy of the Resolution is on file in the office of the Associate Vice President for Planning, Budget and Analysis of the University of New Mexico, Room 151, Scholes Hall at the University of New Mexico, in Albuquerque, New Mexico, where it may be examined during regular business hours from 8:00 a.m. to 12:00 p.m. and 1:00 p.m. to 5:00 p.m. The Resolution shall be available for inspection for a period of at least thirty (30) days from and after the date of the publication of this notice.

This notice is given pursuant to the Public Securities Limitation of Action Act, Sections 6-14-4 to 6-14-7 NMSA 1978, as amended.

DATED this 12<sup>th</sup> day of December, 2014.

THE REGENTS OF THE UNIVERSITY OF NEW MEXICO

[END OF FORM OF NOTICE]

Section 4. Authorization. The officers of the Regents, the University and UNM Hospital are empowered to do and perform all acts reasonably necessary to accomplish the Refunding/Refinancing, and to execute and deliver such other instruments and documents as may be necessary to enable the Regents and UNM Hospital to secure the benefits of the Refunding/Refinancing.

Section 5. Repealer. All policies and resolutions, or parts thereof, inconsistent herewith, with the exception of the Resolutions adopted by the Board of Regents in February 2014 (authorizing a pay-down of the current Bonds), are hereby repealed to the extent of such inconsistency. This repealer shall not be construed as reviving any policy or resolution, or part thereof, heretofore repealed.

Section 6. Separability. If any section, paragraph, clause or provision hereof shall be held to be invalid or unenforceable, the invalidity or unenforceability of such section, paragraph, clause or provision shall not affect any of the remaining provisions of this Resolution.

Section 7. Effective Date. The Resolution shall become effective immediately upon its passage and adoption by the Regents.

PASSED, ADOPTED AND APPROVED THIS 12<sup>th</sup> DAY OF DECEMBER, 2014.

The Regents of the University of New Mexico

(SEAL)

By: \_\_\_\_\_  
President

Attest:

By: \_\_\_\_\_  
Secretary/Treasurer

Agenda Item	Subject/Discussion	Action/Responsible Person
Voting Members Present:	Michelle Coons, Debbie Johnson, Dr. Warren Laskey, Nick Estes, Dr. Raymond Loretto, Michael Olguin (by phone)	
Ex-Officio Members Present:	Stephen McKernan, Dr. Paul Roth, Dr. Michael Richards, Dr. David Pitcher, Dr. Donna Sigl	
County Officials Present:	Emily Madrid	
I. Call to Order	A quorum being established, Debbie Johnson, Acting Chair, called the meeting to order at 9:08 a.m.	
II. Adoption of Agenda	Acting Chair, Ms. Debbie Johnson, requested a motion to adopt the agenda, as submitted.	Ms. Michelle Coons made a motion to adopt the agenda. Mr. Nick Estes seconded the motion. There being no objections, the motion carried.
III. Public Input	None	
IV. Announcements	Mr. Stephen McKernan apprised the board of the recognition of UNMH nurses by the NM Center for Nursing Excellence.	
V. Approval of Minutes	UNM Hospitals Board of Trustees meeting minutes for September 26, 2014.	Dr. Warren Laskey made a motion to approve the minutes of the September 26, 2014, Board of Trustees meeting. Mr. Nick Estes seconded the motion. There being no objections, the motion carried.
VI. Consent Agenda	Following review of the consent agenda, the Acting Chair, Ms. Debbie Johnson, requested a motion to approve the consent item, as submitted.	Ms. Michelle Coons made a motion to approve consent agenda, as submitted. Dr. Warren Laskey seconded the motion. There being no objections, the motion passed unanimously.
	Following review of the Clinical Privileging descriptions, the Acting Chair, Ms.	Dr. Warren Laskey made a motion to

Agenda Item	Subject/Discussion	Action/Responsible Person
	Debbie Johnson, requested a motion to approve as submitted, as recommended for approval by the POCEC.	approve the Clinical Privileging descriptions, as submitted. Dr. Raymond Loretto seconded the motion. There being no objections, the motion passed unanimously.
VII. Board Initiatives	Dr. Bob Bailey presented an update on the Ebola situation. Ms. Ella Watt presented an update on ACA/UNM Care.	
VIII. Administrative Reports	<b><u>CEO Report</u></b> – The CEO report is in the packet. <b><u>CMO Report</u></b> – The CMO report is in the packet. <b><u>Chancellor's Report</u></b> - Dr. Paul Roth advised Dr. Phil Weist has announced his retirement, effective June 2015. A committee has been formed to begin the search for his replacement. He further advised that we are in the process of hiring an Executive Physician for clinics, who will try to improve the efficiency of outpatient clinic areas.	Mr. Stephen McKernan Dr. David Pitcher Dr. Paul Roth
IX. Updates	<b><u>Financial Report Card</u></b> – The financial dashboard is in the packet.	Ms. Ella Watt
X. Committee Reports	<b><u>Performance Oversight &amp; Community Engagement Committee</u></b> The Performance Oversight & Community Engagement Committee met on October 17, 2014. <b><u>Finance and Audit Committee</u></b> The Finance and Audit Committee report is in the Board book.	Dr. Warren Laskey  Ms. Michelle Coons
XI. Other Business	None	
XII. Closed Session	At 10:31AM, the Acting Chair, Ms. Debbie Johnson, requested a motion to close the open session of the meeting to the public.	Dr. Raymond Loretto made a motion to move to closed session. Dr. Warren Laskey seconded the motion. The motion passed unanimously.



Agenda Item	Subject/Discussion	Action/Responsible Person
XIII. Certification	After discussion and determination where appropriate, of limited personnel matters per Section 10-15-1.H (2); and discussion and determination, where appropriate of matters subject to the attorney-client privilege regarding pending or threatened litigation in which UNMH is or may become a participant, pursuant to Section 10-15-1.H (7); and discussion of matters involving strategic and long-range business plans or trade secrets of UNMH pursuant to Section 10-15-1.H (9), NMSA, the Board certified that no other items were discussed, nor were actions taken.	
XIV. Vote to Re-Open meeting	<p>At 11:42PM, the Acting Chair, Ms. Debbie Johnson, requested a motion to be made to return the meeting to open session.</p> <p>The Acting Chair, Ms. Debbie Johnson, requested a motion to be made that the Board accept the minutes of the meeting of those committees that were presented in Closed Session to acknowledge for the record that those minutes were, in fact, presented to, reviewed, and accepted by the Board and for the Board to accept and approve the recommendations of those Committees as set forth in the minutes of those committees meetings.</p>	<p>Dr. Warren Laskey made a motion to return to open session. Dr. Raymond Loretto seconded the motion. The motion passed unanimously.</p> <p>Dr. Raymond Loretto made a motion to accept the minutes presented by the committees. Mr. Nick Estes seconded the motion. The motion passed unanimously.</p>
XV. Adjournment	The next scheduled Board meeting will be the November 21, 2014 retreat @ 9:00 am at Prairie Star. There being no further business, the Acting Chair, Ms. Debbie Johnson, adjourned the meeting at 11:44PM.	

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Michelle Coons, Secretary  
 UNM Hospital Board of Trustees

Agenda Item	Subject/Discussion	Action/Responsible Person
Voting Members Present:	Michael Olguin, Michelle Coons, Debbie Johnson, Dr. Warren Laskey, Nick Estes, Jerry McDowell	
Ex-Officio Members Present:	Stephen McKernan, Dr. Paul Roth, Dr. Michael Richards, Dr. David Pitcher, Dr. Donna Sigl, Dr. Aimee Smidt	
County Officials Present:	None	
I. Call to Order	A quorum being established, Michael Olguin, called the meeting to order at 9:05 a.m.	
II. Adoption of Agenda	The Chair, Mr. Michael Olguin, requested a motion to adopt the agenda, as submitted.	Mr. Jerry McDowell made a motion to adopt the agenda. Mr. Nick Estes seconded the motion. There being no objections, the motion carried.
III. Public Input	None	
IV. Announcements	None	
V. Approval of Minutes	UNM Hospitals Board of Trustees meeting minutes for October 31, 2014.	Mr. Jerry McDowell made a motion to approve the minutes of the October 31, 2014, Board of Trustees meeting. Mr. Nick Estes seconded the motion. There being no objections, the motion carried.
VI. Consent Agenda	<p>No Consent Items were presented for approval.</p> <p>Following review of the Medical Staff Bylaw Revisions and the Clinical Privileging descriptions, the Chair, Mr. Michael Olguin, requested a motion to approve the revisions, as submitted.</p>	Mr. Jerry McDowell made a motion to approve the Clinical Privileging descriptions, as submitted. Dr. Warren Laskey seconded the motion. There being no objections, the

Agenda Item	Subject/Discussion	Action/Responsible Person
		motion passed unanimously.
VII. Board Initiatives	Steve McKernan presented a recommendation related to the Refinance/Refund Series 2004 Bonds for approval.	Mr. Nick Estes made a motion to approve the recommendation, as submitted. Ms. Debbie Johnson seconded the motion. There being no objections, the motion passed unanimously.
VIII. Administrative Reports	<u><b>CEO Report</b></u> – No report due to retreat meeting. <u><b>CMO Report</b></u> – No report due to retreat meeting. <u><b>Chancellor's Report</b></u> – No report due to retreat meeting.	
IX. Updates	<b>None</b>	
X. Committee Reports	<u><b>Performance Oversight &amp; Community Engagement Committee</b></u> The Performance Oversight & Community Engagement Committee met on November 20, 2014.  <u><b>Finance and Audit Committee</b></u> The Finance and Audit Committee did not meet in October.	
XI. Other Business	None	
XII. Closed Session	At 9:27AM, the Chair, Mr. Michael Olguin, requested a motion to close the open session of the meeting to the public.	Ms. Michelle Coons made a motion to move to closed session. Ms. Debbie Johnson seconded the motion. The motion passed unanimously.
XIII. Certification	<b>After discussion and determination where appropriate, of limited personnel matters per Section 10-15-1.H (2); and discussion and determination, where appropriate of matters subject to the attorney-client privilege regarding pending or threatened litigation in which UNMH is or may become a</b>	

Agenda Item	Subject/Discussion	Action/Responsible Person
	participant, pursuant to Section 10-15-1.H (7); and discussion of matters involving strategic and long-range business plans or trade secrets of UNMH pursuant to Section 10-15-1.H (9), NMSA, the Board certified that no other items were discussed, nor were actions taken.	
XIV. Vote to Re-Open meeting	<p>At 12:38PM, the Chair, Mr. Michael Olguin, requested a motion to be made to return the meeting to open session.</p> <p>The Chair, Mr. Michael Olguin, requested a motion to be made that the Board accept the minutes of the meeting of those committees that were presented in Closed Session to acknowledge for the record that those minutes were, in fact, presented to, reviewed, and accepted by the Board and for the Board to accept and approve the recommendations of those Committees as set forth in the minutes of those committees meetings.</p>	<p>Ms. Michelle Coons made a motion to return to open session. Mr. Nick Estes seconded the motion. The motion passed unanimously.</p> <p>Mr. Nick Estes made a motion to accept the minutes presented by the committees. Ms. Michelle Coons seconded the motion. The motion passed unanimously.</p>
XV. Adjournment	The next scheduled Board meeting will be the December 19, 2014 @ 9:00 am by phone. There being no further business, the Chair, Mr. Michael Olguin, adjourned the meeting at 12:39PM.	

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Michelle Coons, Secretary  
 UNM Hospital Board of Trustees



Agenda Item	Subject/Discussion	Action/Responsible Person
Voting Members Present:	Michael Olguin, Michelle Coons, William Lang, Debbie Johnson, Nick Estes, Jerry McDowell, Christine Glidden, Dr. Donna Sigl,	
Ex-Officio Members Present:	Stephen McKernan, Dr. David Pitcher, Dr. Aimee Smidt	
County Officials Present:	None	
I. Call to Order	A quorum being established, Michael Olguin, called the meeting to order at 9:01 a.m.	
II. Adoption of Agenda	The Chair, Mr. Michael Olguin, requested a motion to adopt the agenda, as submitted.	Mr. Jerry McDowell made a motion to adopt the agenda. Ms. Debbie Johnson seconded the motion. There being no objections, the motion carried.
III. Public Input	None	
IV. Announcements	None	
V. Approval of Minutes	UNM Hospitals Board of Trustees meeting minutes for November 21, 2014.	Ms. Debbie Johnson made a motion to approve the minutes of the November 21, 2014, Board of Trustees meeting. Mr. Jerry McDowell seconded the motion. There being no objections, the motion carried.
VI. Consent Agenda	<p>No Consent Items were presented for approval.</p> <p>Following review of the Clinical Privileging descriptions, the Chair, Mr. Michael Olguin, requested a motion to approve the revisions, as submitted.</p>	Mr. Jerry McDowell made a motion to approve the Clinical Privileging descriptions, as submitted. Ms. Debbie Johnson seconded the motion. There being no objections, the motion passed unanimously.

Agenda Item	Subject/Discussion	Action/Responsible Person
VII. Board Initiatives	None	
VIII. Administrative Reports	<p><b><u>CEO Report</u></b> – No report due to abbreviated meeting.</p> <p><b><u>CMO Report</u></b> – No report due to abbreviated meeting.</p> <p><b><u>Chancellor's Report</u></b> – No report due to abbreviated meeting.</p>	
IX. Updates	None	
X. Committee Reports	<p><b><u>Performance Oversight &amp; Community Engagement Committee</u></b>  The Performance Oversight &amp; Community Engagement Committee met on December 18, 2014.</p> <p><b><u>Finance and Audit Committee</u></b>  The Finance and Audit Committee did not meet in November.</p>	
XI. Other Business	None	
XII. Closed Session	At 9:07AM, the Chair, Mr. Michael Olguin, requested a motion to close the open session of the meeting to the public.	Ms. Christine Glidden made a motion to move to closed session. Mr. Jerry McDowell seconded the motion. The motion passed unanimously.
XIII. Certification	<p><b>After discussion and determination where appropriate, of limited personnel matters per Section 10-15-1.H (2); and discussion and determination, where appropriate of matters subject to the attorney-client privilege regarding pending or threatened litigation in which UNMH is or may become a participant, pursuant to Section 10-15-1.H (7); and discussion of matters involving strategic and long-range business plans or trade secrets of UNMH pursuant to Section 10-15-1.H (9), NMSA, the Board certified that no other items were discussed, nor were actions taken.</b></p>	

Agenda Item	Subject/Discussion	Action/Responsible Person
XIV. Vote to Re-Open meeting	<p>At 9:12AM, the Chair, Mr. Michael Olguin, requested a motion to be made to return the meeting to open session.</p> <p>The Chair, Mr. Michael Olguin, requested a motion to be made that the Board accept the minutes of the meeting of those committees that were presented in Closed Session to acknowledge for the record that those minutes were, in fact, presented to, reviewed, and accepted by the Board and for the Board to accept and approve the recommendations of those Committees as set forth in the minutes of those committees meetings.</p>	<p>Dr. Donna Sigl made a motion to return to open session. Ms. Christine Glidden seconded the motion. The motion passed unanimously.</p> <p>Mr. Jerry McDowell made a motion to accept the minutes presented by the committees. Dr. Donna Sigl seconded the motion. The motion passed unanimously.</p>
XV. Adjournment	The next scheduled Board meeting will be January 23, 2015 @ 9:00AM. There being no further business, the Chair, Mr. Michael Olguin, adjourned the meeting at 9:13AM.	

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Michelle Coons, Secretary  
 UNM Hospital Board of Trustees

**CARRIE TINGLEY HOSPITAL ADVISORY BOARD**  
**AGENDA**

**November 24, 2014**  
**1<sup>st</sup> Floor Boardroom/CTH**  
**4:00 pm**

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**I. Call to Order**

**II. Approval of the September 22, 2014 Meeting Minutes**

**III. Public Input**

**IV. Reports**

**All reports will be submitted prior to meeting**

A).	Finance	Ella Watt, CFO
B).	Medical Director	Dale Hoekstra, MD
	Rehab & Physical Medicine	Denise Taylor, MD, Division Chief
C).	Executive Director(s)	D. Tinagero/ M. Thornton
D).	CTH Foundation	Phil Prevender
E).	Chair	Sarah Nelson-Howse

**V. Old Business**

A).	CTH Elevator status	Doris Tinagero
B).	F/U on dental services	Doris Tinagero
C).	F/U CTH Advisory Board vacant positions	Sarah Nelson-Howse

**New Business**

A).

**VI. Vote to Close Session.** *If the vote to close the meeting is carried, the meeting will close in accordance with Section 10-15-H NMSA 1978, Comp, as amended for purpose of strategic planning, litigation and confidential Personnel matters.*



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**UNM HOSPITALS**

**CARRIE TINGLEY HOSPITAL ADVISORY BOARD**

**Meeting Minutes**

**September 22, 2014**

**CTH Board Room – 1<sup>st</sup> floor**

Agenda/Subject #	Discussion				Status	Due Date	Responsible Party
<b>Attendance September 22, 2014</b>	<b>CTH Advisory Board:</b> Sarah Nelson-Howse, Nancy Greger, MD, Erich Marchand, MD, Neall Doren, Phil Prevender, Dana Allen, MD . <b>UNMH:</b> Maribeth Thornton, Dale Hoekstra, MD; Denise Taylor, MD; Ella Watt, CFO <b>Excused:</b> Michael Shannon <b>Absent:</b> Heidi Overton, Student Regent				N/A		
<b>I. Call to Order</b>	Meeting was called to order by Sarah Nelson-Howse at 4:05 pm.						
<b>II. Approval of the July 28, 2014 Minutes.</b>	<b>Action:</b> Neall Doren moved to approve the July 28, 2014 meeting minutes as written; Phil Prevender seconded the motion. No opposition, minutes were approved as written.				Approval of 7/28/14 meeting minutes unanimously approved	NA	NA
<b>III. Public Input</b>	No public input.						
<b>Finance</b>	Ella Watt attended today's meeting to report on June and July financial stats and reviewed the case mix index. Dr. Hoekstra questioned if the Physician Assistants statistics are included in our reports. Ella indicated that the numbers should show the PA's as well as the finances.						
<b>IV. Reports A.</b>	<b>YTD through July, 2014</b>	<b>YDT</b>	<b>YTD Budget</b>	<b>Prior YTD</b>	The orthopaedic clinic continues to see a slight decrease due to Dr. Szalay's caseload substantially decreasing.		
	Orthopaedics	75	56	60			
	Rehabilitation	45	31	46			
	Newborns	84	54	62			
	Pediatrics	157	176	126			
	Average LOS	5.01	4.40	4.20			
	Discharges	72	72	70			
	<b>Outpatient</b>						

	<b>Clinic Visits</b>						
	<b>2<sup>nd</sup> Floor Peds</b>	554	529	394			
	<b>Ortho</b>	902	1,033	513			
	<b>1<sup>st</sup> Floor Peds</b>	657	800	593			
	<b>Outreach</b>	29	36	17			
	<b>Operations</b>						
		Inpt: 37 Outpt: 40	16 25	22 19			
	<b>Implants</b>	17	31	38			
<b>B. Medical Director Peds Orthopaedic Dale Hoekstra, MD</b>	<p>Dr. Hoekstra indicated that the Dept. of Orthopaedics has hired a new Pediatric Orthopaedic Surgeon, Jessica McMichael MD, who will be at CTH 50% of her time. Her specialty will be general pediatric orthopaedics. Also, hired a new pediatric orthopaedic hand specialist, Cory Carlston MD, who will be replacing Dr. Cheema at our CTH hand clinic.</p> <p>Due to the support from the CTH Foundation, there have been numerous publications and research projects by Dr. Elizabeth Szalay regarding bone density in children. Because these articles have provided much needed information on the importance of bone density, Dr. Szalay has been awarded \$25,000 from the UNM Clinical and Translational Science Center for a new research project titled "Innovative Tools and Techniques for Bone Density Assessment in the Pediatric Cerebral Palsy Patient".</p> <p>There has been a loss of some of the dental services at CTH, the dentist relocated out of State. Hygiene is the only service being provided and that may not correspond with the mission of CTH. Dr. Hoekstra indicated that most of their clients are special needs adults and they are very disruptive to our orthopaedic clinic.</p> <p>Dr. Hoekstra informed the board that CTH has been having difficulty getting our two elevators operational. CTH has been functioning on 1 elevator since June and the 2<sup>nd</sup> elevator is not completely functional.</p>				<p>Ella Watt will find out what the status is on the renovation of our elevators. She will let Doris and Dr. Hoekstra know what she finds out.</p> <p>F/U on Dental: Sarah Howse would like some follow-up on the dental services offered at CTH. What kind of services? What kind of population? What will happen to patients if no dentist is hired? Should dental be a part of CTH for special needs kids/adults? What is the long term mission?</p>		
<b>Denise Taylor, MD</b>	Dr. Taylor reported: The CTH Foundation granted her \$25,000 for her						

<b>Physical Medicine and Rehabilitation</b>	<p>pain study program, but she is still collecting information to obtain objective data so she has not begun to use this money yet.</p> <p>Dr. Taylor indicated that her program has been very busy academically. NM CAPP is expanding and has been very busy as well.</p> <p>Dr. Margaret Armstrong will be traveling to Africa in November to provide care.</p>			
<b>C). Executive Directors</b>	<p>Maribeth Thornton reviewed the CTH Patient Satisfaction scores as well as the CTH ventilation program that was re-implemented. She spoke of the new virus, Enterovirus, in the news and expanded on the symptoms of the virus. She indicated that there have not been any reports of Enterovirus cases in NM as of September 2014.</p> <p><b>3rd annual CTH Art Show:</b></p> <p>Each year the Rehab program and Child Life work with our patients to develop this incredible display of talent by the children of Carrie Tingley. This event was held last week (9-15 to 9-17) and was a huge success. The outside shade structure in the Rehab gardens, funded by the CTH Foundation, was completed just in time for the event.</p> <p>Maribeth expanded on the 2014 3<sup>rd</sup> quarter requests that were sent to the CTH Foundation for review and approval.</p>	<p>Question was asked about the Enterovirus. How is this virus reported? How do parents know about this? Brief discussion ensued.</p>		
<b>D). CTH Foundation Phil Prevender</b>	<p>Phil Prevender reported: On September 10<sup>th</sup> the Carrie Tingley Hospital Foundation celebrated 50 years of serving New Mexico. Governor Susana Martinez spoke at the celebration and highlighted the important role the Foundation plays in the lives of children and families across New Mexico.</p> <p>The 2014 Festival of Trees will be held at the Albuquerque Convention Center December 4<sup>th</sup>, 5<sup>th</sup>, 6<sup>th</sup> and 7<sup>th</sup>. The event will feature more activities for children than ever before including a Cookie Decorating Station. Additionally, the event will include parent speakers each day, live entertainment and various opportunities to make a financial donation.</p>			

	The Carrie Tingley Hospital Foundation is partnering with the Albuquerque Journal for a holiday toy drive benefitting the children of Carrie Tingley Hospital. Each year the Foundation provides the hospital with toys that are distributed to children throughout the year.						
<b>CTH Foundation Program Areas of impact year-to-date (YTD)</b>	<b>Area of Impact</b>	<b>Number Served YTD</b>	<b>Amount Funded YTD</b>	<b>Notes</b>	Continue to monitor		
	Equip. & Support to CTH	27,101	\$132,641	\$39,335 pending final approval			
	Patient & Family Assist	267	\$35,774				
	Quality of Life Programs	291	\$62,883				
	10-year, \$1.6 million pledge	-	\$80,000				
	<b>TOTAL</b>	<b>\$27,659</b>	<b>\$411,298</b>				
<b>E). Chair</b>	Sarah indicated that today is Nancy Greger, MD last board meeting. Dr. Greger retired from UNM. She thanked Dr. Greger for her continued involvement and presented her with a plaque.				N/A		
<b>V. Old Business</b>	CTH Advisory Board vacant positions: 1 Doctor/Healthcare worker 1 Parent 2 Community Members (Sarah and Neall's – they are eligible for another term)				Dr. Cordova gave Doris Tinagero some names that she thought would be good candidates.		
<b>VI. New Business</b>	<b>A. Strategic Planning Interview/KSA:</b> Dana Allen was interviewed by KSA for the UNMH 5-year plan. The focus was on children's programs. She reviewed some questions that where asked: <ul style="list-style-type: none"> <li>- What/who is Carrie Tingley Hospital (the multidisciplinary focus)</li> <li>- What kind of programs does CTH have that they do not have at the main?</li> <li>- Mission?</li> <li>- Is there a concern that Inpatient and Outpatient is separate?</li> <li>- What does the CTH Foundation do? How is CTH Foundation</li> </ul>						



	<p>different from UNM Foundation?</p> <ul style="list-style-type: none"> <li>- What about Women's, Children's Hospital? What does the CTH Advisory Board think?</li> </ul> <p>A lengthy discussion ensued regarding the above. The CTH Advisory Board all agreed that the efficiency and non-duplication of services is important. CTH wants the space we have; they do not want to lose special programs because of size.</p>			
	<p><b>B. Sarah Nelson-Howse and Neall Doren 2<sup>nd</sup> term nomination:</b>  <u>Action:</u> Dana Allen moved that Sarah Howse and Neall Doren be reappointed for a second term on the CTH Advisory Board. Erich Marchand, MD seconded the motion. Vote was unanimous, no opposition.</p>	<p>Motion passed for Sarah Nelson-Howse and Neall Doren to be reappointed for a second term. Diane Romero will forward to the HSC Board.</p>		
<b>VII. Vote to Close</b>	N/A			
<b>VIII. Vote to Re-Open Session</b>	N/A			
<b>IX. Adjournment</b>	Meeting was adjourned at 5:35 pm.			

**Respectfully Submitted by:**

\_\_\_\_\_  
Diane J. Romero, Administrative Assistant

**APPROVED:**

\_\_\_\_\_  
Chair



THE UNIVERSITY OF NEW MEXICO • HEALTH SCIENCES CENTER

# UNM HOSPITALS

## CARRIE TINGLEY HOSPITAL ADVISORY BOARD

### Meeting Minutes

November 26, 2014

CTH Board Room – 1<sup>st</sup> floor

Agenda/Subject #	Discussion				Status	Due Date	Responsible Party
<b>Attendance November 24, 2014</b>	<b>CTH Advisory Board:</b> Sarah Nelson-Howse, Erich Marchand, MD, Neall Doren, Phil Prevender, Dana Allen, MD . <b>UNMH:</b> Maribeth Thornton, Dale Hoekstra, MD; <b>Absent:</b> Denise Taylor, MD; Heidi Overton, Student Regent <b>Excused:</b> Michael Shannon				N/A		
<b>I. Call to Order</b>	Meeting was called to order by Sarah Nelson-Howse at 4:05 pm.						
<b>II. Approval of the September 22, 2014 Minutes.</b>	Erich Marchand moved and Phil Prevender seconded the motion to approve the September 22, 2014 meeting minutes.					NA	NA
<b>III. Public Input</b>	No public input.				N/A		
<b>Finance</b>	Ella Watt submitted						
<b>IV. Reports A.</b>	<b>YTD through 9/30/14</b>	<b>YTD</b>	<b>YTD Budget</b>	<b>Prior YTD</b>	Continue to report on a bi-monthly basis.		
	Orthopaedics	212	168	199			
	Rehabilitation	177	93	89			
	Newborns	155	162	214			
	Pediatrics	493	527	381			
	Average LOS	4.87	4.40	4.13			
	Discharges	213	216	214			
	<b>Outpatient Clinic Visits</b>						
	<b>2<sup>nd</sup> Floor Peds</b>	1,429	1,587	1,856			
	<b>Ortho</b>	3,036	3,098	2,862			
	<b>1<sup>st</sup> Floor Peds</b>	1,912	2,401	2,156			



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# UNM HOSPITALS

	<table><tr><td>Outreach</td><td>102</td><td>109</td><td>97</td></tr><tr><td></td><td>Inpt: 82</td><td>49</td><td>54</td></tr><tr><td>Operations</td><td>Outpt: 140</td><td>74</td><td>69</td></tr><tr><td>Implants</td><td>48</td><td>92</td><td>107</td></tr></table>	Outreach	102	109	97		Inpt: 82	49	54	Operations	Outpt: 140	74	69	Implants	48	92	107			
Outreach	102	109	97																	
	Inpt: 82	49	54																	
Operations	Outpt: 140	74	69																	
Implants	48	92	107																	
B. Medical Director	<p>Doris Tinagero reviewed a short report from the Medical Director’s office. Selina Silva, MD has been appointed interim Medical Director. Dr. Hoekstra’s patients have been reassigned to various orthopaedic physicians; Dr. Szalay’s caseload will be taken over by Jessica McMichael, recently hired.</p> <p>CTH has a good candidate who completes his Fellowship in September and would like to work at CTH.</p> <ul style="list-style-type: none"><li>▪Dr. Elizabeth Szalay has been chosen to receive the 2015 POSNA Humanitarian Award for her continued work as a mentor and humanitarian.</li><li>▪The Orthopaedic physicians have been working on the 2015 CTH Winter Conference. This annual conference will be held January 30, 2015. The conference this year will focus on the diagnosis and treatment of pediatric orthopaedic trauma.</li></ul>	No follow-up																		
Executive Medical Director of Children’s Services	<p>Dr. Thomas Long was introduced; he is filling the role that retired Edward Rose, MD left. Dr. Long indicated that he has had the oversight responsibility of inpatient and outpatient since August 2014. He indicated that they are in the beginning stages of strategic retreat. Focus is on children’s and women’s services. There were 150 one on one interviews across the board (RN’s MD’s ED’s Directors, Chief’s) received input on where to go now, what strategies and tactics need to be used.</p> <p>Dr. Long gave a program and service update of all departments, physician recruitment, quality and safety outcomes and pediatric operating room measures.</p>	New programs that are being followed up on: Pediatric Hospice, Chronic Pain, Palliative Care.																		



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# UNM HOSPITALS

	<p>Transfer Center Implementation: Organize and improve efficiency within and outside of the system.</p> <p>Case Management: Standardization to be consistent and improve efficiency.</p> <p>Reviewed physician recruitment plans. Dr. Long indicated that Selina Silva has been named interim Medical Director for CTH. A lengthy discussion ensued. The CTH Advisory Board is concerned about the lack of senior physician guidance. The CTH Medical Director is a lot of responsibility and should have a person with more experience.</p> <p>Dana Allen voiced concern on the focus for recruiting. She indicated that it is easy to get new grads, but they do not grasp the knowledge.</p>	Doris indicated that the steering committee can push the concern and need for Dr.		
<b>Denise Taylor, MD Physical Medicine and Rehabilitation</b>	No report.			
<b>C). Executive Directors</b>	<p><b>Program updates:</b></p> <p>CTH will be moving forward with two initiatives:</p> <p>First is the installation of an overhead track system in some of the CTH inpatient rooms will allow immobilized patients to move from their bed to the restroom or another place in the room without being completely dependent on another individual. We will also be installing a track system in the rehab area of inpatient to use in conjunction with other therapy modalities.</p> <p>The second is the creation of an adaptive equipment lab specific to brain injury and spinal cord patients. Examples of technology needed for this program include sip and puff technology and computer programs to allow a newly injured patient to maintain some sense of control during this difficult transition. Integrating technology to allow practice in simple tasks like turning on a television, dimming lights, or answering a phone provides hope of some return to normalcy and functionality for patients and families. Adaptive equipment also will help to assess a patient's needs prior to discharge home to allow for a smooth transition back into their community.</p> <p><b>CTH Foundation request for Quarter 3 2014 have all been approved:</b></p>			



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# UNM HOSPITALS

	Below are the approved items: 1. CTH OR equipment storage unit- \$2,915.97 2. CTH Rehab Model Me video series (cognitive skill building) \$676.50 3. CTH OR Ureteroscope \$7,520.80 4. CTH Cast room #D Interactive Distraction system \$26,000 5. CTH Child life Waiting room -\$1,199.98 6. CTH OR Flexible Rhinolaryngoscope - \$5,829.16 7. CTH inpatient Psychological testing material \$1,349.30 8. CTH Rehab Adaptive equipment- \$2,206.77 <u>Patient Satisfaction</u> Medical Practice: 84.5; Inpatient: 85.9 and Outpatient 84.6						
D). CTH Foundation Phil Prevender	Phil Prevender reported that the annual Festival of Trees kick-off/Gala is scheduled for December 4 with viewing of the trees December 5, 6 and 7 <sup>th</sup> . This year it will be held at the Convention Center.				Continue to report on bi-monthly basis.		
CTH Foundation Program Areas of impact year-to-date (YTD)	Area of Impact	Number Served YTD	Amount Funded YTD	Notes			
	Equip. & Support to CTH	22,890	\$132,641				
	Patient & Family Assist	278	\$153,462				
	Quality of Life Programs	415	\$75,166				
	10-year, \$1.6 million pledge		\$80,000				
	TOTAL	\$23,583	\$441,269				
E). Chair	Sarah Nelson Howse along with other members voiced concern about Dr. Hoekstra’s replacement. Members feel that his replacement should be a senior leader. CTH’s physicians are all recently out of Fellowship with the exception of Antony Kallur.				Dr. Long will talk with Mike Richards, MD about the boards concern.		
V. Old Business	A. CTH Elevator: After a couple months of incomplete paperwork, the Elevator company is working on the elevators today. Still one elevator working at this time. Hope to have both running in a few days. B. Dental Services: The Advisory Board was updated on dental services. Who does the Dept. of Dental report to? Doris indicated that the dental				Sarah asked to invite Dr. Cutrell to the next board meeting to talk about dental services.		



THE UNIVERSITY OF NEW MEXICO • HEALTH SCIENCES CENTER

## UNM HOSPITALS

	services fall under the UNM Dept. of Surgery, Dr. Cutrell. Dana Allen was concerned that there are not many special needs dentists in our community.			
<b>VI. New Business</b>	N/A			
<b>VII. Vote to Close</b>	Phil Prevender moved to close tonight's meeting; Erich Marchand, MD seconded the motion. No opposition, vote was unanimous. Meeting was adjourned at 4:50 pm.			
<b>VIII. Vote to Re-Open Session</b>	N/A			
<b>IX. Adjournment</b>	Meeting was adjourned at 5:35 pm.			

**Respectfully Submitted by:**

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Diane J. Romero, Administrative Assistant

**APPROVED:**

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Chair

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**SRMC Board of Directors Meeting Minutes**

October 22, 2014

8:00 a.m. - 11:00 a.m.

UNM Sandoval Regional Medical Center, 4th Floor Board Room

Teleconference 1-877-820-7831 Passcode 565350#

Rio Rancho, New Mexico

<b>1.0</b>	<b>CALL TO ORDER AND CONFIRMATION OF QUORUM</b>	
	Dr. Paul Roth called the meeting to order and a quorum was confirmed at 8:05 a.m.	Accept as information
<b>2.0</b>	<b>VOTE TO APPROVE THE AGENDA</b>	
	Dr. Paul Roth presented the Agenda to the Board for review.	Upon a motion and a second, the Board voted to approve the agenda. Motion passed unanimously.
<b>3.0</b>	<b>VOTE TO APPROVE THE MINUTES OF SEPTEMBER 24, 2014 MEETING</b>	
	Dr. Paul Roth presented the Minutes to the Board for approval.	Upon a motion and a second, the Board voted to approve the minutes. Motion passed unanimously.
<b>4.0</b>	<b>ANNOUNCEMENTS/COMMENTS FROM DIRECTORS/LEADERSHIP</b>	
4.1	Ms. Silva-Steele introduced Ms. Joanna Boothe and stated that she is being recommended as a Community Board member.	Accept as information
4.2	Dr. Roth announced that Ms. Silva-Steele is being presented with the Governors New Mexico Public Service Award and invited all to the awards dinner.	Ms. Vallejos will send an invitation to all Board members.
<b>5.0</b>	<b>PUBLIC COMMENT</b>	
	None	
<b>6.0</b>	<b>OLD BUSINESS</b>	
6.1	Ms. Silva-Steele mentioned that the Board reception with Board members and SRMC Management will be rescheduled within the next few months.	Accept as information
6.2	Ms. Melissa Romine provided a Marketing update which included a video with TV Spots featuring true patient stories.  Please refer to handout for detailed Marketing and Outreach efforts.	Accept as information
<b>7.0</b>	<b>UNM HEALTH SYSTEM UPDATES</b>	
7.1	<u>Ebola Update</u> Dr. Eleana Zamora provided an update on Ebola. Please refer to handout for detailed information.	Accept as information
<b>8.0</b>	<b>SRMC PROGRAMMATIC UPDATES</b>	
8.1	<u>Interventional Radiology (IR)</u> Dr. Bill Schaeffer provided an update on IR. Please refer to handout for detailed information.	Accept as information

<b>9.0</b>	<b>REPORTS FROM SRMC COMMITTEES</b>	
9.1	<p><u><i>Finance/Strategic Planning Committee</i></u></p> <p>Mr. Steve McKernan gave a verbal update on the October 16, 2014 Finance/Strategic Planning Committee meeting and presented the minutes of August 21 and September 18, 2014 for approval.</p>	Upon a motion and a second, the Board voted to approve the minutes. Motion passed unanimously.
<b>10.0</b>	<b>FINANCIAL REPORT</b>	
10.1	<p>Ms. Jamie Silva-Steele reviewed the SRMC Financial Dashboard for month ended September 30, 2014.</p> <p>Please refer to the October 22, 2014 financial presentation for detailed information.</p>	Accept as information
<b>11.0</b>	<b>CEO REPORT</b>	
	<p>Ms. Jamie Silva-Steele provided a verbal update on the following:</p> <p><b>Operational Summary</b></p> <ul style="list-style-type: none"> <li>• Overall census up from August for all areas. October consistent with September thus far.</li> <li>• Labor Day holiday impacting September outpatient volumes.</li> <li>• Outpatient Surgical volume down from prior month. Impacted because of Ortho provider openings. New Ortho providers starting in October. Inpatient surgical volume slightly ahead of prior month.</li> <li>• Interventional Radiology Lab continues to increase in patient activity.</li> <li>• Emergency Room visits up from prior month.</li> <li>• Radiology continues to exceed targets.</li> <li>• Specialty clinic volume up from prior month. Primary Care impacted by midlevel vacancy. New MD starting in October.</li> </ul> <p><b>Human Resources</b></p> <ul style="list-style-type: none"> <li>• Turnover for September is 3.3%</li> <li>• Overall vacancy rate is 10%.</li> <li>• Retreat was held for Supervisors on October 1st.</li> <li>• Management Retreat scheduled for October 23rd and 24th.</li> </ul> <p><b>Growth</b></p> <ul style="list-style-type: none"> <li>• Planning for the implementation of Teletracking - Access UNM on November 3rd. Training for staff begins October 20, 2014. Protocols for PALS being finalized.</li> </ul> <p><b>Board Initiative</b></p> <ul style="list-style-type: none"> <li>• Planning KSA Strategic Planning Retreat for SRMC Board of Directors and UNMH Board of Trustees on November 21<sup>st</sup>.</li> </ul> <p><b>Community Engagement</b></p> <ul style="list-style-type: none"> <li>• Native American Tribal Collaboration was held on October 8, 2014.</li> </ul>	<p>Accept as information</p> <p>The November Board meeting will be combined with the KSA retreat.</p>



	<ul style="list-style-type: none"> <li>Planning for our first new Community Advisory Board meeting in November.</li> </ul> <p><b>Patient Satisfaction</b></p> <ul style="list-style-type: none"> <li>Discussion ensued on public benchmarks in regards to patient satisfaction.</li> <li>Patient satisfaction scores are very important the next few years and will determine whether the mill levy is passed in 2016.</li> </ul>	<p>Dr. Crowell will be invited to an upcoming Board meeting to provide a presentation on the Value Based Purchasing Program.</p> <p>Mr. Billy Sparks and Ms. Romine will attend an upcoming Board meeting to discuss how we will build support for the mill levy and what the campaign will be.</p>
	<p><u>Board Comments/Questions</u></p> <ul style="list-style-type: none"> <li>Mr. Geist congratulated the leadership team at SRMC for the positive progress the hospital has made.</li> <li>Mr. RainBird talked about the need to provide space for Native American healing within the hospital. He also mentioned that there has been a proposal from the Sandia Pueblo to name a wing within the hospital in honor of a Native American which reflects the Native American Community.</li> </ul> <p>Ms. Silva-Steele stated that we are working on a policy around respecting traditional healing within the facility and that she would like to establish a group to discuss the traditional healing policy as well as naming within the hospital and bring back to the Board a proposal/recommendation for next steps. Ms. Silva-Steele also wants to ensure other pueblos are comfortable with our processes.</p> <ul style="list-style-type: none"> <li>Ms. Charlotte Garcia stated that the staff engagement and courtesy you receive the first time you enter the facility, makes a big difference in the way patients perceive the hospital.</li> </ul>	
<b>12.0</b>	<b>CMO REPORT</b>	
12.1	Dr. Ogburn provided a verbal report on recent, new, and expanded services at SRMC. Please refer to handout for detailed information.	Accept as information
<b>13.0</b>	<b>SRMC BOARD OF DIRECTORS INITIATIVES</b>	
13.1	Ms. Silva-Steele introduced Joanna Boothe with a recommendation for approval as a Community Board member.	Upon a motion and a second, the Board voted to approve Ms. Boothe as a new Board member. Motion passed unanimously.
<b>14.0</b>	<b>CLOSED SESSION</b>	
	Motion was made to close the Open Session and convene in Closed Session; the motion was seconded and passed unanimously.	Accept as information

15.0	RECONVENE IN OPEN SESSION			
	Certification that those matters discussed in Closed Session and issuance of final action of such items including:			
	Motion to ratify actions taken in closed session to include but not limited to Medical Staff Privileges and Committee meeting minutes as presented.			
	<b><u>New Applicants</u></b>			Upon a motion and a second, the Board voted to approve those providers requesting Medical Staff Privileges with the addition of critical care to Vazquez-Guillamet contingent upon e-vote approval by the MEC. Motion passed unanimously.
	Adair, John	Medicine, Neurology	UNM	
	Briggs, Dustin	Surgery, Orthopedics	UNM	
	Fink, Brandi	Medicine, Addiction Psychiatry	UNM	
	Vazquez -Guillamet, Cristina	Medicine, Infectious Diseases	UNM	
	Villarreal, Gerardo	Medicine, Psychiatry	UNM	
	<b><u>Reappointments</u></b>			Upon a motion and a second, the Board voted to approve reappointments for Crandall thru Wagenman. Motion passed unanimously.
	Crandall, Cameron	Hospital Based, Emergency	UNM	
	Fastle, Rebecca	Hospital Based, Emergency	UNM	
	Ferreira, Giselle	Medicine, Psychiatry	UNM	
	Harrigan, Julie	Medicine, Family Medicine	ABQ HP	
	Kohn, Diane	Hospital Based, Anesthesiology	UNM	
	Kopacz, Kimberly	Hospital Based, Anesthesiology	UNM	
	Laudicina, Laurence	Surgery, Orthopedic	ABQ HP	
	Marek, John	Surgery, Vascular	UNM	
	Mitchell, Katrine	Surgery, Podiatry	ABP HP	
	Palmer, Elizabeth	Medicine, Cardiology	CCC	
	Ralls, Frank	Medicine, Sleep Medicine	UNM	
	Rana, Muhammad	Surgery, Vascular	UNM	
	Rimple, Diane	Hospital Based, Vascular Surgery	UNM	
	Sanchez-Geswaldo,	Surgery, General Surgery	ABQ HP	
	Sarangarm, Shelly	Hospital Based, Emergency	UNM	
	Stepenaskie, Shelly	Hospital Based, Pathology	UNM	
	Werner, Mark	Surgery, Orthopedic Surgery	ABQ HP	
	Whitefield, Julia	Hospital Based, Emergency	UNM	
	Wilcox, Claire	Medicine, Psychiatry	UNM	
	Crossey, Michael	Hospital Based, Pathology	UNM	
	Ribner, Andrew	Surgery, Otolaryngology	ABQ HP	
	Wagenman, Benjamin	Hospital Based, Pathology	UNM	
			Upon a motion and a second, the Board voted to approve expansions for Ferreira. Motion passed unanimously.	
<b><u>Expansions</u></b>				
Ferreira, Giselle	Clinical Service of Medicine, Psychiatry <ul style="list-style-type: none"><li>Telemedicine</li></ul>	UNM		
			Information Only	

	<b><u>Resignations &amp; Application Withdraws</u></b>			
	Du Plessis, Ruan	Hospital Based, Pathology	UNM	Resigned
	Head, Michele	Medicine, Nephrology	RMA	No longer with RMA
	Larsen, Kenna	Surgery, Orthopedics	ABQ HP	Moved out of state
	Martinez, Michael	Surgery, Urology	ABQ HP	No longer with ABQ HP
	Rodriguez, Peggy	Medicine, Psychiatry	UNM	Resigned
	Seethala, Srikanth	Medicine, Internal Medicine	UNM	Resigned
	Stern, Megan	Hospital Based, Anesthesiology	UNM	Resigned
	Motion was made to approve general ratification of items in closed session, second; unanimously approved.			
<b>16.0</b>	<b>ADJOURNMENT/NEXT MEETING</b>			
	Motion was made to adjourn the meeting, second; unanimously approved. Meeting adjourned at 10:45 a.m.  The next meeting will be held on November 21, 2014 at 8:00-11:00am at Prairie Star, Santa Ana Pueblo.			
<b>MEMBERS/GUESTS IN ATTENDANCE</b>				
<b>Board Members Present:</b> Dr. Paul Roth Mr. Steve McKernan Dr. Michael Richards Mr. Manu RainBird Ms. Charlotte Garcia Mr. Donnie Leonard Mr. Jerry Geist Dr. Brad Cushnyr		<b>Staff Members Present:</b> Ms. Jamie Silva-Steele Dr. Tony Ogburn Ms. Pam Demarest Ms. Correen Bales		<b>Guests Present:</b> Ms. Rosalyn Nguyen Ms. Melissa Romine Ms. Joanna Boothe Dr. Bill Schaeffer Dr. Eleana Zamora
<b>MINUTES RECORDED BY: Ms. Geraldine Vallejos</b>				

**SRMC Board of Directors Meeting Minutes**

November 21, 2014  
8:30 a.m. - 1:00 p.m.  
Prairie Star  
288 Prairie Star Rd.  
Santa Ana Pueblo, NM 87004

<b>1.0</b>	<b>CALL TO ORDER AND CONFIRMATION OF QUORUM</b>																																					
	Dr. Paul Roth called the meeting to order and a quorum was confirmed at 8:36 a.m.	Accept as information																																				
<b>2.0</b>	<b>VOTE TO APPROVE THE AGENDA</b>																																					
	Dr. Paul Roth presented the Agenda to the Board for review.	Upon a motion and a second, the Board voted to approve the agenda. Motion passed unanimously.																																				
<b>14.0</b>	<b>CLOSED SESSION</b>																																					
	Motion was made to close the Open Session and convene in Closed Session; the motion was seconded and passed unanimously.	Accept as information																																				
<b>15.0</b>	<b>RECONVENE IN OPEN SESSION</b>																																					
	<p>Certification that those matters discussed in Closed Session and issuance of final action of such items including:</p> <p>Motion to ratify actions taken in closed session to include but not limited to Medical Staff Privileges and Committee meeting minutes as presented.</p> <p><b><u>New Applicants</u></b></p> <table> <tr> <td>Auch, Justin</td><td>Hospital Based/Anesthesiology</td><td>UNM</td></tr> <tr> <td>Candelaria, Lionel</td><td>Surgery/Oral and Maxillofacial</td><td>UNM</td></tr> <tr> <td>Carlson, Andrew</td><td>Surgery/Neurosurgery</td><td>UNM</td></tr> <tr> <td>Esposito, Amanda</td><td>Hospital Based/Anesthesiology</td><td>UNM</td></tr> <tr> <td>Farney, Aaron</td><td>Hospital Based/Emergency</td><td>UNM</td></tr> <tr> <td>Jones, Spencer</td><td>Hospital Based/Anesthesiology</td><td>UNM</td></tr> <tr> <td>Marchand, Erich</td><td>Surgery/Neurosurgery</td><td>UNM</td></tr> <tr> <td>Shama, Liat</td><td>Surgery/Otolaryngology</td><td>UNM</td></tr> <tr> <td>Taylor, Christopher</td><td>Surgery/Neurosurgery</td><td>UNM</td></tr> <tr> <td>Trujillo, Jason</td><td>Hospital Based/Anesthesiology</td><td>UNM</td></tr> <tr> <td>Urquhart, Robert</td><td>Surgery/Oral and Maxillofacial</td><td>UNM</td></tr> <tr> <td>Yonas, Howard</td><td>Surgery/Neurosurgery</td><td>UNM</td></tr> </table>	Auch, Justin	Hospital Based/Anesthesiology	UNM	Candelaria, Lionel	Surgery/Oral and Maxillofacial	UNM	Carlson, Andrew	Surgery/Neurosurgery	UNM	Esposito, Amanda	Hospital Based/Anesthesiology	UNM	Farney, Aaron	Hospital Based/Emergency	UNM	Jones, Spencer	Hospital Based/Anesthesiology	UNM	Marchand, Erich	Surgery/Neurosurgery	UNM	Shama, Liat	Surgery/Otolaryngology	UNM	Taylor, Christopher	Surgery/Neurosurgery	UNM	Trujillo, Jason	Hospital Based/Anesthesiology	UNM	Urquhart, Robert	Surgery/Oral and Maxillofacial	UNM	Yonas, Howard	Surgery/Neurosurgery	UNM	Upon a motion and a second, the Board voted to approve those providers requesting Medical Staff Privileges and Committee meeting minutes. Motion passed unanimously.
Auch, Justin	Hospital Based/Anesthesiology	UNM																																				
Candelaria, Lionel	Surgery/Oral and Maxillofacial	UNM																																				
Carlson, Andrew	Surgery/Neurosurgery	UNM																																				
Esposito, Amanda	Hospital Based/Anesthesiology	UNM																																				
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Urquhart, Robert	Surgery/Oral and Maxillofacial	UNM																																				
Yonas, Howard	Surgery/Neurosurgery	UNM																																				

	<b>Reappointments</b>			Upon a motion and a second, the Board voted to approve reappointments for Brodsky thru Taylor. Motion passed unanimously.
	Brodsky, Aaron	Medicine/Psychiatry	UNM	
	Bruno, Alicia	Medicine/Nephrology	RMA	
	Bustillo, Juan	Medicine/Psychiatry	UNM	
	Chavez, Gary	Surgery/Orthopedic Surgery	ABQ HP	
	Cherian, Biju	Medicine/Nephrology	RMA	
	Horowitz, Bruce	Medicine/Nephrology	UNM	
	Ivey, Nathan	Surgery/Podiatry	NM Foot & Ankle Insstitute	
	Jeffries, Joyce	Surgery/Oral Surgery	Cottonwood Oral and Maxillofacial	
	Kaza, Archana	Medicine/Gastroenterology	UNM	
	Koshkin, Eugene	Hospital	UNM	
	Lewis, Stephen	Medicine/Psychiatry	UNM	
	Paterson, Andrew	Surgery/Orthopaedics	UNM	
	Regan, Kevin	Surgery/Orthopaedics	ABQ HP	
	Rohrscheib, Mark	Medicine/Nephrology	UNM	
	Saiz, Gloria	Surgery/Plastic Surgery	Mayberry Plastic Surgery	
	Skarbek-Borowska, Sara	Hospital Based/Emergency	UNM	
	Syme, William	Surgery/General Surgery	Non-UNM	
	Taylor, Betsy	Surgery/Gynecology	UNM	
	<b>Expansions</b>			Upon a motion and a second, the Board voted to approve expansions for Brodsky-Saiz. Motion passed unanimously.
	Brodsky, Aaron	Medicine/Psychiatry <ul style="list-style-type: none"><li>Telemedicine</li></ul>	UNM	
	Saiz, Gloria	Surgery/Plastic Surgery <ul style="list-style-type: none"><li>Nurse Practitioner Core Privileges W/Supervision</li></ul>	Mayberry	
	<b>New Privileges</b>			Upon a motion and a second, the Board voted to approve the new privilege form. Motion passed unanimously.
	Robotic Assisted Privileges	New Form		
	Motion was made to approve general ratification of items in closed session, second; unanimously approved.			
16.0	<b>ADJOURNMENT/NEXT MEETING</b>			
	Motion was made to adjourn the meeting, second; unanimously approved. Meeting adjourned at 12:40 p.m.  The next meeting will be held on December 17, 2014 at 8:00-11:00am at at UNM SRMC, Board Room or Teleconference at 1-877-820-7831 PC 565350#.			

MEMBERS/GUESTS IN ATTENDANCE		
<b><i>Board Members Present:</i></b> Dr. Paul Roth Mr. Steve McKernan Dr. Michael Richards Mr. Manu RainBird Ms. Charlotte Garcia Mr. Donnie Leonard Dr. Brad Cushnyr	<b><i>Staff Members Present:</i></b> Ms. Jamie Silva-Steele Dr. Tony Ogburn Ms. Pam Demarest Ms. Darlene Fernandez	<b><i>Guests Present:</i></b> Ms. Rosalyn Nguyen
<b>MINUTES RECORDED BY: Ms. Geraldine Vallejos</b>		