



**UNIVERSITY OF NEW MEXICO
BOARD OF REGENTS**

ANNUAL BUDGET SUMMIT
Agenda

**April 10, 2015
9:00 AM
Student Union Building, Ballroom C**

The Board of Regents of the University of New Mexico
ANNUAL BUDGET SUMMIT
April 10, 2015, 9:00 AM
Open Session-Student Union Building, Ballroom C
Executive Session-Luncheon, Cherry Silver Room
AGENDA

TAB

I.	Call to Order, Confirmation of a Quorum, Adoption of the Agenda.....	1
	<i>Regent President Jack L. Fortner</i>	
II.	Approval of Minutes: March 9, 2015 Regular Meeting.....	2
III.	Approval: FY15 Renewal of Annual Financial Statements Audit Contract with KPMG, <i>Regent Hosmer</i>	3
IV.	<u>FY16 Budget: Consideration and Approval of Tuition & Fee Rates and Compensation for FY2016</u>	4
	A. Introductory Comments and 2015 Legislative Results, <i>President Robert G. Frank</i>	
	B. Revenue and Expenditure Scenarios	
	1. Enrollment Projections, <i>Terry Babbitt</i>	
	2. Proposed Expenditure Reductions, <i>Provost Chaouki Abdallah and Andrew Cullen</i>	
	3. New Funded Initiatives, <i>Andrew Cullen</i>	
	C. Tuition and Fee Alternatives, <i>Andrew Cullen</i>	
	D. Constituent and Public Comment (3 min. limit)	
	E. Approval of FY2016 Tuition & Fee Rates and Compensation	
	1. Main Campus	
	2. Branch Campuses	
	3. Differential Tuition	
	F. HSC Budget Presentation for FY2016, <i>Chancellor Roth and Ava Lovell</i>	
V.	Vote to close the meeting and proceed in Executive Session (Cherry Silver Room)	
	A. Discussion of Honorary Degree Candidates	
	B. Discussion and determination where appropriate of limited personnel matters pursuant to Section 10-15-1.H(2), NMSA (1978)	
	C. Discussion and determination where appropriate of threatened or pending litigation pursuant to Section 10-15-1.H(7), NMSA (1978)	
	D. Discussion and determination where appropriate of the purchase, acquisition or disposal of real property pursuant to Section 10-15-1.H(8), NMSA (1978)	
VI.	Vote to re-open the meeting and certification that only those matters described in Agenda Item V. were discussed in Closed Session and if necessary, final action with regard to those matters will be taken in Open Session.	
VII.	<u>Regent Committee Reports</u>	
	<u>Finance and Facilities Committee, Regent James H. Koch, Chair</u>	
	<u>Action Item</u> (on agenda contingent on receiving approval in the committee)	
	1. UNM Gallup Branch: Series 2006 and 2007 Bond Refunding.....	5
	<i>Andrew Cullen, AVP, Planning, Budget and Analysis</i>	
	<u>Information Item</u>	
	2. Monthly Consolidated Financial Report, <i>Liz Metzger, University Controller</i>	6
	<u>Health Sciences Center Board of Directors, Regent Suzanne Quillen, Chair</u>	
	<u>Action Items</u> (on agenda contingent on receiving approval of the HSC Board of Directors)	
	1. Review/discussion of HSC FY2016 Preliminary Budget and FY2015 Revised Projections	7
	<i>Paul Roth, Chancellor for Health Sciences, Dean, SOM; Ava Lovell, Senior Exec. Officer for Finance & Admin., HSC</i>	
	2. Approval of UNMH Contract with Precyse Solutions, Inc., <i>Steve McKernan; Ella Watt, Chief Financial Officer</i>	8

Information Items

3. Chancellor's Report, *Paul Roth* 9
4. UNM Health System Update to include Sandoval Regional Medical Center, Inc. Update..... 10
Steve McKernan, CEO, UNM Hospitals; Michael Richards, Executive Physician-in-Chief, UNM Health Systems
5. Update on HSC Research Mission, *Richard Larson, Exec. Vice Chancellor, HSC, VP for Research, HSC* 11
6. Review of Turnover of HSC Faculty and Staff, *Leslie Morrison; Ava Lovell* 12

Academic and Student Affairs and Research, Regent Bradley Hosmer, Chair, ASAR Committee

Action Items (on agenda contingent on receiving approval in the committee)

1. Approval of Honorary Degree Candidates, *Melinda Tinkle, Chair Honorary Degree Committee* 13
(Candidate names are kept confidential, therefore discussion will take place in closed session.)
2. Approval of Masters of Legal Studies Degree 14
David Herring, Dean, School of Law; Robert Desiderio, School of Law
3. Approval of Associate of Applied Science in Public Safety (Los Alamos) 15
Barbara Yarnell, Faculty, UNM Los Alamos
4. Approval of Associates of Science in Health Information Technology (Valencia) 16
Michael Ceschi, Faculty, UNM Valencia
5. Approval of Professor of Practice Policy Language in Faculty Handbook Section B 17
Marsha Baum, Chair, Academic Freedom & Tenure Committee
6. Approval of Program Elimination:
AA Criminal Justice (Taos) & Secondary Education Certificate (Valencia) 18
Greg Heileman, Associate Provost for Curriculum

Information Item

7. New Mexico Computer Science 4 All 19
Melanie Moses, Assoc. Professor, UNM Computer Science Department

VIII. Comments from Advisors 20

Catherine Cullen, President, Parent Association

Texanna Martin, President, GPSA

Rachel Williams, President, ASUNM

Pamela Pyle, President, Faculty Senate

Renée Delgado-Riley, President, Staff Council

Brian Colón, President, Alumni Association

Bill Miller, President, Retiree Association

Carl Alongi, Chair, UNM Foundation Board of Trustees

IX. Public Comment (items not on agenda; limit 3 min. per speaker)

X. Vote to Adjourn

XI. Minutes from meetings of Health Sciences Center Boards Appendix

- a. HSC Board of Directors, January 30, 2015 meeting minutes
- b. UNM Hospitals Board of Trustees, February 20, 2015 meeting minutes
- c. UNM Sandoval Regional Medical Center, Inc. Board of Directors, January 28, February 18, and February 25, 2015 meeting minutes
- d. UNM Hospitals Carrie Tingley Hospital Advisory Board, January 26, 2015 meeting minutes

Minutes of the Regular Meeting of the Board of Regents of the University of New Mexico
March 9, 2015
Student Union Building, Ballroom C
(draft for approval)

Members present

Jack L. Fortner, President; James H. Koch; Suzanne Quillen; Heidi Overton; Matthew Chandler; Robert M. Doughty

Members not present

Bradley C. Hosmer

Administration present

Robert G. Frank, President; David Harris, EVP of Administration, COO, CFO; Chaouki Abdallah, Provost and EVP for Academic Affairs; Paul Roth, Chancellor for Health Sciences; Elsa Cole, University Counsel; Dorothy Anderson, VP HR; Richard Larson, Executive Vice Chancellor HSC; Michael Richards, UNM Health System's Exec. Physician-in-Chief; Liz Metzger, University Controller; Helen Gonzales, Chief Compliance Officer; Eliseo 'Cheo' Torres, VP Student Affairs; Ava Lovell, Sr. Exec. Officer of Finance and Administration, HSC; Pamina Deutsch, Dir. Policy Office

Regents' Advisors present

Reneé Delgado-Riley, Staff Council; Pamela Pyle, Faculty Senate; Texanna Martin, GPSA; Bill Miller, Retiree Association; Carl Alongi, UNM Foundation

Presenters in attendance

David Green, Perkins+Will; Dale Dekker, Dekker/Perich/Sabatini; Terry Laudick, Chair, Innovate ABQ Board of Directors; Eric Weinstein, Aon Corporation; Henry Nemcik, President and CEO UNM Foundation; Andrew Cullen, AVP, Planning, Budget and Analysis; Francis Wilkinson, Senior Assoc. Dean University Libraries; Bruce Cherrin, Chief Procurement Officer; John Byram, Director UNM Press; Thomas Aguirre, Dean of Students; Greg Heileman, Associate Provost for Academic Affairs; Terry Babbitt, AVP Enrollment Management

Others in attendance

Members of the administration, faculty, staff, students, the media and others.

CONFIRMATION OF PRIOR SPECIAL MEETING IN EXECUTIVE SESSION

The Board of Regents met in closed session on March 9, 2015 from 7:48 to 9:05 AM in the Cherry Silver Room of the Student Union Building for discussion purposes only of limited personnel matters as permitted under Section 10-15-1H(2) of the Open Meetings Act (NMSA 1978). Members present were Jack Fortner, Robert Doughty, Heidi Overton, Suzanne Quillen, Jamie Koch, and Matt Chandler. Regent Hosmer was not present. The matters discussed at the meeting were limited only to personnel matters as specified in the notice and agenda for the meeting.

CALL TO ORDER, CONFIRMATION OF A QUORUM AND APPROVAL OF THE AGENDA

Regent President Fortner called the meeting to order at 9:17 AM. Regent Hosmer was not present. A quorum was confirmed. A modification to the agenda was made, Academic and Student Affairs Committee agenda item number 2, tab 21, was taken off the agenda. The agenda as modified was approved by unanimous vote (1st Koch, 2nd Chandler).

APPROVAL OF MINUTES

The motion to approve the minutes of the February 6, 2015 regular meeting passed by unanimous vote (1st Overton, 2nd Chandler).

PUBLIC COMMENT (no comments)

ELECTION OF OFFICERS

Regent Koch nominated Jack Fortner as President of the Board of Regents. Heidi Overton seconded the nomination. Regent Fortner asked if there were any other nominations. There being no other nominations, there was a vote and the vote was unanimous for Regent Fortner as President of the Board of Regents.

Regent Chandler nominated Robert Doughty to Vice President of the Board of Regents. Jamie Koch seconded the nomination. Regent Fortner asked if there were any other nominations. There being no other nominations, there was a vote and the vote was unanimous for Regent Doughty as Vice President of the Board of Regents.

Regent Quillen nominated Brad Hosmer to Secretary Treasurer of the Board of Regents. Heidi Overton seconded the nomination. Regent Fortner asked if there were any other nominations. There being no other nominations, there was a vote and the vote was unanimous for Regent Hosmer as Secretary Treasurer of the Board of Regents.

ADOPTION OF ANNUAL RESOLUTION CONCERNING PUBLIC NOTICE OF MEETINGS AND CONFIRMATION OF REGENTS' POLICY 1.3 "PUBLIC NOTICE OF REGENTS' MEETINGS" (Exhibit A)

The motion to adopt the Annual Resolution Concerning Public Notice of Meetings and Confirmation of Regents' Policy 1.3 "Public Notice of Regents' Meetings" passed by unanimous vote with a quorum of Regents present and voting (1st Doughty; 2nd Chandler).

PRESIDENT'S ADMINISTRATIVE REPORT

President Robert Frank gave a UNM legislative update and briefly addressed funding for higher education, performance funding, formula outcome measures, and funding for key UNM initiatives as well as proposed changes to the Lottery Scholarship. The University's LoboRESPECT Crisis Communication Program has been announced as a finalist for Accolades in Crisis Communication by the Council for the Advancement and Support of Education (CASE). President Frank noted a few of the action items on the agenda coming forward for Regents' approval: the plan to balance the current year budget, the funding plan for the UNM Foundation as continued implementation of recommendations of the Philanthropy Study Committee, and the plan to reintegrate the pre-65 retirees into the active healthcare pool. The President highlighted the Innovate ABQ development framework coming forward for Regents' approval. The vision for Innovate ABQ began to form in September 2012. The Rainforest in the Desert is based on Victor Hwang's Rainforest Model. This year, UNM will hold a follow-up Economic Development Summit to build upon progress since the last summit and serve as a catalyst for renewed energy and support for advancing economic development initiatives.

APPROVAL OF INNOVATE ABQ DEVELOPMENT FRAMEWORK

Andrew Cullen introduced the framework document that Perkins+Will (P+W) and Dekker/Perich/Sabatini (D/P/S) submitted which the Regents received a few days earlier. Mr. Cullen clarified that the request to the Regents was for Regents' acceptance of the site development framework, specifically on the First Baptist site at the corner of Central and Broadway, as well as acceptance of the district development framework. Mr. Cullen provided a brief overview of the past two years, including the site selection process and the funding partnership that occurred and lead to the purchase of the First Baptist site in July 2014. In August 2014, STC.UNM entered into a contract with P+W and D/P/S for the planning services. The School of Architecture and Planning (SAP) and its students have been involved with P+W and D/P/S during the last three semesters in the design and planning process. An overall goal has been to reactivate the Central Avenue corridor. There will be baseline financial goals but much will depend upon how the development community, both locally and nationally, views this project. Mr. Cullen mentioned important factors including, increased commercialization for UNM researchers and Albuquerque-area and Statewide entrepreneurs, an increased talent draw for research faculty and students, and broader educational opportunities some of which have already been realized with the beginning of the University's Innovation Academy. Mr. Cullen introduced David Green to present the development framework.

David Green commented on the high level of collaboration with the School of Architecture and Planning, and much of that which was incorporated into the project came from the students and faculty at UNM.

Referring to powerpoint slides, Mr. Green discussed the Rainforest ecosystem, a model that sets up a framework for innovation, as opposed to a master plan process that stipulates what happens in year one, two, three and so on. UNM's research activity is the driver behind the intellectual capital that is being produced and can move into a transformative platform for economic development. The project is not just about a 7-acre site, but about a larger district that connects the University with the downtown. The critical component is the relationship between the grassroots entrepreneurial innovation and large institutional and academic work. Low-funded startup companies that are more nimble can take some of the work started at the University and commercialize and transform it in such a way that brings others in from the outside and keeps students here after they graduate. Other ventures are already happening in anticipation of the more formal development of the core site. The Epicenter, FatPipe, and the PNM Pop-up Pavilion are all examples. Things are happening more rapidly and substantively than what was originally imagined. Mr. Green outlined the details of the 7-acre site: the existing site is directly adjacent to downtown; there are already things happening with connections to the rail yard and stronger connections to downtown; reincorporating a highly connected smaller block system; and a priority on the public connection, which is the extension of Copper. A fundamental planning strategy is to reinforce the connections that need to be demonstrated throughout the process: the internal connections between researchers and entrepreneurs, as well as the external connections between the site and the district, and the district and the larger city. A critical part is the mix of commercial lab, commercial office, supportive retail, the potential for institutional development as well as hospitality. Mr. Green discussed the analysis going on at a detailed level to limit risk, with ongoing detail analyses to ensure efficiency and cost effectiveness, incremental incorporation of infrastructure, and setting up a platform of expectations for developers that are coming in. The first projects need to be primarily commercial driven. Details, principles and elements are put into a database that is interactive, with testing, evaluation and reset capabilities. Work must occur with the City and utilities to ensure efficient distribution of infrastructure and support systems. Parking needs are also addressed. Outcomes are based on current understandings of what the market will bear and where it is heading. The outputs are supplied to the Innovate Board. Projected investment at the site by all stakeholders is estimated at \$50-150 million with a 10-20 year implementation timeframe. Sixty percent to 80% of the funding will come from the private and commercial development community. There will be a highly iterative process to evaluate options for funding sources. At the low level and high levels of detail, costs and returns are tracked. Returns on the project will not only be financial, but educational as well which will benefit UNM in the short-term and the City and State in the long-term. Bringing people into the area and retaining students as well as increased property taxes are also actual benefits. Mr. Green said the document presented to the Regents will also be used as a window for the external development and research communities into Albuquerque. Clarity is important so people can understand it and get excited about it. Mr. Green introduced Dale Dekker of Dekker/Perich/Sabatini (D/P/S) to discuss the way forward.

Dale Dekker said that Perkins+Will (P+W) has put in a massive amount of work toward the project; the expertise it has brought will benefit the community and City for generations to come. There has been an RFIQ (Request for Interest and Qualifications) issued to gauge developer interest. There has been close work with the Innovate ABQ Board and with the City of Albuquerque. D/P/S has worked with the adjacent neighborhood associations. Verge, as well as other innovation and entrepreneur enterprises, have opened across the street. The City is embarking on a rapid transit system that will extend from the West Mesa on Route 66 to Tramway, with a stop at Innovate ABQ. It will connect Innovate ABQ to Nob Hill, the University and Downtown. The School of Architecture and Planning (SAP) has been involved with a number of studios looking at the whole site in the context of the city and the city growth patterns. Mr. Dekker introduced Terry Laudick, Chair of the Innovate ABQ Board of Directors.

Mr. Laudick thanked the Regents for their invitation to serve as the Innovate ABQ Board Chair as well as for the appointments of the other ten members to the Innovate ABQ Board. There is a significant level of experience, skills and knowledge represented on the Board; the members represent the public and private sectors, as well as entrepreneurs and innovators. The Innovate ABQ Board has completed the organizational structure and is now a licensed business, a not-for-profit with a tax-exempt status. The Innovate ABQ Board has met with Perkins+Will on a number of occasions to review the project and provide guidance. The Request for Interest and Qualifications (RFIQ) was issued in January, and a number of responses from highly qualified developers, both local and national, have been received. The interest at this stage gives confidence the project is moving in the right direction. The next step is to engage with an independent party with experience to review the RFIQs and assist in developing the framework for the

RFP. The plan is to issue the RFP in late April. There is momentum in the community seen in the enterprises going up around the perimeter of the site. Last week the Innovate ABQ Board met with Perkins+Will and Dekker/Perich/Sabatini to review the development framework and the Innovate ABQ Board unanimously approved it. The Board enthusiastically supports the site development framework as well as the district development framework, and it recommends and requests to the Board of Regents to also accept this proposal.

There was discussion about the Innovate ABQ operating budget. Revenues are coming in for a parking contract with Lovelace. There is projected \$163 K of funds available at the end of June 2015, enough funds to move into the next phase.

The motion to approve the Innovate ABQ Site Development Framework and the District Development Framework passed by unanimous vote with a quorum of Regents present and voting (1st Koch; 2nd Overton).

COMMENTS FROM REGENTS (there were no comments)

REGENT COMMITTEE REPORTS

FINANCE AND FACILITIES COMMITTEE

Approval of Health Plan Committee Recommendations

Regent Koch asked Eric Weinstein to give an overview of Aon Corporation, which was hired by the University in December 2014. Aon is one of the largest benefits consulting firms in the world and has a specific public sector and higher education practice. Aon works with over 800 public sector groups and has over 300 higher education clients across the country. The majority of Aon's clients in the U.S. and in New Mexico are self-insured. Aon supports The University's HR department as its benefits consultant, with benefits design and strategy, and financial reporting as it relates to benefits. There was discussion about premiums and the University's Health Plan reserve. Dorothy Richards confirmed the item presented for Regents' approval is the one-page list of 12 recommendations made by the Healthcare Plan Design Taskforce and that was approved by the Regents Finance and Facilities Committee (Exhibit B). Michael Richards presented the first eight recommendations; Dorothy Anderson presented recommendations 9 through 12. The Health Plan Committee endorsed items 1 through 9. Item 10, eliminate post-retirement health benefits for individuals hired effective after June 30, 2015, is currently under evaluation primarily to analyze which group will be impacted the most. There was discussion about the impact to the monthly premiums the active employees will pay due to the blending of the pre-65 retirees back into the active pool. Dorothy Anderson gave an example increase, for an active employee paying premiums for family coverage, the monthly premium will go up between \$1.60 per month and approximately \$17, for an employee who is on the Presbyterian Health Plan. The University also bears an increase to the portion of the premium it pays for the same employee, however the bottom line impact to the University in premium payments is close to zero after netting out the reduction in premiums for the pre-65 retirees after they are blended back into the active pool.

Student Regent Overton commented on the recent speculations that blending the pre-65 retirees back into the active pool would increase costs to the University and thereby compromise the academic mission of the University in budgetary terms. The presentations however do not indicate that to be the case, and it sounds like the Regents will be able to pass the partial blending of the pre-65 retirees and not have to compromise the University's academic mission. Regent Overton asked David Harris to comment, and EVP Harris agreed. The task force, led by Dr. Roth and EVP Harris, looked at this. Last Fall after the question was put to the active employees and they stated they felt they had an ethical responsibility to do this, the task force proceeded on that basis. EVP Harris said he did not believe this has a fiscal impact on the University; certainly not that it would threaten the University's basic mission. Student Regent Overton said she is in support of the recommendations; however, she wanted clarification this is cost neutral to the University so that the budget discussion during the next couple of months would not point back to today's decision as a direct reason to necessitate tuition or other budgetary decisions the Regents would have to make.

Regent Koch commented that health care is probably one of the biggest areas where the University could reduce costs but the University has not done that. The University could make some major changes, but they would be difficult to do. We have to look at all areas of the budget to find cost savings and health benefits is one of them. The decision today to partially blend the pre-65 retirees is cost neutral, but there are other decisions we could have made which could have potentially saved more, but we are not debating those today.

Regent Doughty thanked the Health Plan Committee for their hard work and at this point will support the recommendations but does not think it is fair to increase the premiums for the active employees by 2.5% and would like to have seen an alternative solution. Ms. Anderson responded that part of the reason for recommendation number 7, to defer the planned .25% VEBA contribution increase for VEBA participants, was to offset the increase to health premiums this next year. The VEBA percentage is calculated on total salary. Ms. Anderson said the one-year deferral in the planned VEBA increase that would offset, for those contributing, the premium increases will allow the opportunity to further evaluate premiums over the next year.

Student Regent Overton, responding to the increase in premiums, addressed the excess amount of funds reported in the University's Health Plan reserve and whether those excesses can be invested back into programs within the University's Health Plan as a way to offset increased premiums. She said she would be in favor of that use. Ms. Anderson explained that the first recommendation on the list for Regents' approval, increase engagement in disease management and wellness programs, will be a possible use for those excess reserve funds. Regent Quillen asked why there was no consideration for using the excess funds to offset premium increases this next year or at least keep the excess funds in the reserve pool for future use toward the health plan. David Harris said that is an option the Regents should consider.

Regent Fortner said that Faculty and Staff want to comment. Pamela Pyle, Faculty Senate President, presented a resolution, drafted by the Faculty on March 4, opposed to item number 10 on the recommendations list, eliminate post-retirement health benefits for individuals hired effective after June 30, 2015. The resolution titled, 'Faculty Senate Resolution on the Plan to Remove University-funded Post-retirement Healthcare Benefits,' stated the UNM Faculty opposes the action and urges the Board of Regents and Administration to abandon consideration of this plan. The resolution received a 73% response rate with 3 against and 1 abstention.

Renée Delgado-Riley, Staff Council President, spoke also in opposition to item number 10 on the recommendation list. This will hurt recruitment strategies to attract dedicated individuals to come work at the University. Dr. Delgado-Riley urged Administration to come up with a better model that would not hurt recruitment of future employees.

Regent Fortner clarified that the Regents would be voting on all twelve recommendations, including the last two (numbers 11 and 12) which are recommendations to evaluate each of the proposals.

The motion to approve the Health Plan Committee recommendations passed by a unanimous vote (1st Overton; 2nd Koch).

Approval of Consent Agenda

Regent Koch read the two items on the consent agenda, 1) Approval of Disposition of surplus property for main campus on the list dated February 16, 2015, and 2) Capital Project Approval - UNM Valencia Campus Chilled Water System Phase II (continuation). Regent Koch motioned approval of the consent agenda.

The motion to approve the Finance and Facilities Committee consent agenda as stated passed by unanimous vote (1st Koch; 2nd Doughty).

Approval of Strategic Pricing to Enhance Enrollment Strategies

Terry Babbitt presented the material that was included in the agenda book. Competition is extreme for traditional domestic and international students. Strategically pricing non-resident tuition is a financially sound tactic when capacity exists. Dr. Babbitt discussed 3 proposed scholarship strategies. The first strategy involves recruitment of international students. Scholarship rates for international students may

be determined by strategic opportunity or by volume. The second strategy involves recruitment of students from states to the east that have seen increases in high school graduates. The third strategy involves piloting a very small number of summer school courses as large volume, online classes at reduced rates to support student affordability and academic progress. There was discussion and clarification about the variation in scholarship amounts and which countries will be of focus for attracting international students.

The motion to approve the Strategic Pricing to Enhance Enrollment Strategies passed by a unanimous vote (1st Koch; 2nd Doughty).

Approval of UNM Foundation Funding

Henry Nemcik and Carl Alongi presented the material. Mr. Nemcik provided background to the Philanthropy Study that involved a Philanthropy Study Committee in 2012. The purpose of the study was to ensure the Regents felt comfortable with the metrics the foundation was using, the staffing levels, the productivity and other issues related to foundation management including funding of the Foundation. Philanthropy Study Committee approved five recommendations: 1) Institutional support be provided by the University to fund budgetary requirements net of other revenue streams, 2) Investigate how unexpended funds held by the Foundation or University could be invested in a higher yield, low risk strategy, 3) as revenue sources are variable due to economic conditions, the Foundation's reserve for operations must be increased by an annual allocation to provide funding for a predictable level of operations year-to-year, 4) recommend a funding model that calls for 16 total new hires to be added over the 5-year projected period, and 5) recommend that a 5-year projected model also be included. Progress has been made on all of the 5 recommendations. An objective in the UNM2020 Goals is for the Foundation endowment to reach \$500 million by 2020 and follow up with a \$1 billion campaign. President Frank initiated a funding strategy that allowed the Foundation to move up to 6 new development staff initially. There is a direct relationship between the number of development staff and the fundraising capacity. The Foundation saw a reduction of \$500 K to its operating budget last year, and it is now down to 25 development officer positions. Development officers generate between \$1.5-2.0 million per year per person after about 18 months to ramp up. The Foundation is on target this year. For every dollar invested by the University, the Foundation gives \$8.4 back to the University. There are two types of support that are common to every foundation in the U.S., the first is direct institutional support and the second is with a development funding allocation (DFA) which is a distribution from the endowment itself, like a fee. Nationally, these two types of support generate about 50/50 of funds to foundations, which holds true for UNM.

Regent Doughty commented on the amount of institutional support UNM provides the Foundation, currently in the amount of \$4.6 million, that it does not appear on the graph that the amount of institutional support provided to the Foundation translates to the amount that comes back to UNM. The graph does not show the cash to UNM increasing in response to increases in the amount of institutional support. Mr. Nemcik responded there is not a direct one to one correlation. There are some positions in the Foundation that do not generate revenue. The Foundation does not want to put further burden on the University budget, but it will dedicate the increased funding to development staff that will generate direct support.

There was discussion about the Foundation's request to increase the DFA from 140 to 160 basis points (bps). Regent Doughty asked why the funding to the Foundation cannot be provided 100% by the DFA, because institutional support monies could potentially be in I&G and used for the students at the University. Carl Alongi responded the DFA comes out of the earnings of the endowment before distributions are made to the University. DFA used to be 185 bps and was dropped to 140 bps in FY2013/2014 in exchange for additional institutional support. This current model of funding is consistent with general practices across the country. There was discussion on how the institutional support is calculated. Andrew Cullen explained that growing the Consolidated Investment Fund (CIF) is a 2020 goal and it grows in 2 ways, by new investments from private fund-raising opportunities and by earnings growth. There was discussion about the turnover rate of development officers and what the increased funding would be used for. The approximately \$700 K of increased funding to the Foundation that would come from raising the DFA from 140 to 160 bps would be spent on increasing the number of development officers from 25 to 31 and also adding 2 support people.

The motion to approve the UNM Foundation Funding passed by a vote of 5 to 1; Regents Fortner, Quillen, Koch, Chandler, and Overton voted for; Regent Doughty voted against (1st Koch; 2nd Chandler).

Monthly Consolidate Financial Report

Liz Metzger presented the January 31, 2015 financial report provided in the agenda eBook. Due to enrollment decline in the Fall and Spring semesters, the current projection to the overall budget is a \$3.6 million deficit. Regent Quillen asked when Administration became aware of the \$3.6 million deficit. Ms. Metzger and Terry Babbitt responded there was a 1.5% credit hour decrease in Fall enrollment which was reported to the Regents in the Fall. Spring enrollment is usually 92% of Fall enrollment, and there was a push to increase the Spring enrollment, but actual Spring enrollment had a little over a 2% decrease from budget.

Contract Approval: University Libraries and Health Sciences Library and Informatics Center-Elsevier ScienceDirect Electronic Journals

Fran Wilkinson and Bruce Cherrin presented the item. This is a joint procurement between Main Campus and HSC for a product called ScienceDirect. This includes about 2,500 electronic journals, which are heavily used by students and faculty. An excellent 5-year contract was negotiated. It allows for a 10% discount on electronic subscription fees, 10% content fee reduction and caps inflation at 4% annually. Journal inflation is typically between 6-8% annually.

The motion to approve the contract for University Libraries and Health Sciences Library and Informatics Center-Elsevier ScienceDirect Electronic Journals passed by a unanimous vote (1st Koch; 2nd Overton).

Approval of FY 2014/2015 Budget Revision

Andrew Cullen presented the material, requesting Regent approval of the budget revision for the current year FY2014/2015. The overall tuition and fees shortfall as a result of the enrollment decline is about \$3.6 million. Mr. Cullen presented a revised budget scenario which displayed the shortfall in tuition and fees. The total shortfall of tuition was \$2.7 million and the total shortfall of fees was \$900K. Looking at the overall deficit for the current year, the request is to true up the budget with the use of one-time dollars, which is appropriate in this instance, because enrollment is expected to be flat for next year. The overall tuition and fee base budget for FY16 will carry over the decline in enrollment projections to this revised level seen in FY15. In order to balance the books, the recommendation was a budget revision and the use of one-time dollars to balance the budget. The University has a central reserve which the Higher Education Department recommends at 3%. The overall I&G budget is \$330 million and 3% of that is \$9.5 million. Due to this enrollment decline, it is projected that the central reserve will be reduced to \$6.0 to \$6.5 million. Using one-time dollars, the central reserve will be replenished to the \$9.5 million mark. The recommendation was to use \$2.5 million of the self-insurance reserve, \$1 million out of the Building Renewal and Replacement reserve, \$200K out of reserves within the Administration, and \$146K from student aid reserves. The final amount from student aid reserves was viewed as somewhat appropriate due to the fact that there are fewer students on campus. The total is \$3.846 million which is slightly higher than \$3.6 million.

Regent Overton commented that there is \$95.6 million end reserve for Main Campus and wanted clarification on that reserve. Mr. Cullen stated that the \$95 million is the overall unrestricted year-end reserves as of June 30, 2014. That amount is made up of all funds including auxiliaries and many are dedicated for specific uses, such as repaving lots, buying buses, replacing HVAC or lighting, support for Research, and hiring of undergraduate and graduate students. Regent Overton expressed concern of the use of the self-insurance reserve toward the budget revision. She would be more in favor of using that money to go toward the health plan rather than balance the overall budget deficit. Mr. Cullen stated that there was a discussion in the Budget Leadership Team regarding the use of reserves. Many of the reserves held in academic affairs are dedicated toward faculty startups and academic initiatives. The use of the self-insurance reserve was Administration's decision in order to keep the central reserve intact. Regent Quillen and Regent Overton both commented that they would like to see the reserves for self-insurance invested in the health plan rather than the overall budget deficit reduction.

The motion to approve the FY 2014/2015 Budget Revision passed by a vote of 4 to 2; Regents Fortner, Koch, Chandler, and Doughty voted for; Regents Quillen and Overton voted against (1st Koch; 2nd Doughty).

Information Item: FY 2015/2016 Budget Development Update

Andrew Cullen presented the update and addressed three budget scenarios all of which project flat enrollment and include as a source of revenue an estimated \$2.1 million appropriation based on The House Appropriations and Finance Committee current recommendation. The first budget scenario, one of two presented in Finance and Facilities Committee (F&F), assumes no tuition and fee increase and a 1% reduction on the expenditure base. The 1% reduction would come from a pull-back from Instruction and General (I&G) dollars in the amount of \$2.4 million, the majority coming from the schools and colleges within Academic Affairs, which would see a \$1.7 million reduction. The second scenario, also presented in F&F Committee, assumes 3% tuition and fee increase and no reduction on the expenditure base. The third scenario presents a combination of tuition and fee increase of 3% and 1.3% expenditure base reductions. The 1.3% expenditure reduction, estimated at \$3.154 million, would come from strategic cuts, not across the board cuts, in three areas, 1) the President's Independent Offices and Development at \$108K, 2) Academic and Student Affairs at \$2.248K, and 3) EVP for Administration Independent Offices at \$798K. The third scenario addresses the need for focused, strategic budget reductions as developed and approved by the President's Office and respective EVPs; it includes a modest tuition increase for new student success and compliance initiatives, full funding for student fee review board recommendations, including one-time funding proprieties, and additional funding in the amount of \$596K for need-based aid-20% set aside. The current funding priorities listed in the third scenario are the following: Advisors, Compensation Compaction, Compliance Initiatives, Faculty Promotions, Faculty Retention, the Graduate Resource Center, Introductory Study Courses, IT expenditure on PCI compliance, and Marketing Recruitment Efforts.

Regent Doughty addressed administrative fees that other UNM entities pay to share in administrative services the Main Campus provides. Do those entities pay equal proportions of support fees to what UNM pays to the UNM Foundation for its administrative support. Andrew Cullen answered that no, the level of fees varies. The whole budget is \$2.6 billion, and we are cutting I&G to come up with \$3.6 million. Other areas need to be looked at, administrative fees is one of the areas. The administrative costs look out of proportion and if they were in proportion it looks like we could easily make up the \$3.6 million deficit.

Student Regent Overton said the Academic Affairs budget is about \$330 million, roughly one-third of the main campus budget. When two-thirds of our main campus budget goes to expenditures that are not academic affairs, it is hard to justify that. In agreement with Regent Doughty, we are chipping away at I&G and the funds that relate to student success. If anything, that should be our priority.

Regent Quillen agreed and said it does not make sense to take away from student success initiatives because they are a relatively small part of the budget and are the driver behind UNM's missions, goals and success which is centered on students. It does not make sense that we would not be putting more into student success drivers.

Regent Fortner addressed the 4-year flat tuition model and said it needs to be part of future discussion with the parameters and options clarified.

Information Item: Differential Tuition Requests for AY 2015/2016

Provost Abdallah introduced the agenda item. There are three differential tuition proposals to present as information only. Since a year ago, the Tuition and Fees Committee has addressed the process for bringing to the Regents the differential tuition requests from the colleges. The process starts early enough to involve constituent discussions and student feedback while also allowing time to bring proposals to the Regents before the budget summit. Nicole Dopson presented the slides provided in the agenda eBook. Provost commented that 100% of the funds collected from the differential tuitions stay in the academic unit, 20% goes toward financial aid. The School of Engineering will request a differential tuition of \$15 per credit hour; the School of Public Administration will request a \$25 per credit hour increase to the existing \$50 per credit hour differential tuition; and Speech and Hearing Sciences will request a \$31 per credit hour decrease to the current \$150 per credit hour differential tuition. The

programs that have differential tuitions have one or more of the following characteristics: the market demands them, they cost more to deliver than the average, and the future salaries that a graduate receives is commensurate with the tuition level. There are currently nine programs that charge differential tuitions.

HEALTH SCIENCES CENTER BOARD OF DIRECTORS

The Chancellor's Report

Chancellor Paul Roth gave an overview of the Health Sciences Center (HSC). The vision statement is, "The University of New Mexico Health Sciences Center will work with community partners to help New Mexico make more progress in health and health equity than any other state." Dr. Roth outlined the six strategic plan goals: 1) Improve public health and health care to the populations we serve with community-wide solutions, 2) Build the New Mexico workforce by providing a premier education and transformative experience that prepares students to excel in the workplace, 3) Foster innovation, discovery and creativity, and translate our research and discoveries into clinical or educational practice, 4) Provide the environment and resources to enable our people and programs to do their best, 5) Deliver a well-integrated academic health center that provides high quality of care and service while being accessible to all New Mexicans, and 6) Nurture and embrace an environment of diversity, integrity and transparency. Each of the HSC goals has metrics which are reviewed every quarter. The HSC funding characteristics are such that most revenue derives from patient care. The HSC has about a \$1.7 billion operating budget. Approximately 78% of HSC revenues are related to clinical operations. Approximately 73% of School of Medicine faculty compensation is funded by patient care. Tuition and fees provide less than 1% of total revenue. There are plans to decrease tuition by 1% per year beginning next year in the School of Medicine; Dr. Roth will ask Regents' approval for the tuition decrease next year. State appropriations account for less than 6% of the total HSC operating budget. HSC is in the process of updating its strategic plan; the last one was in 2010. Projections, such as population growth trends that have reduced will be updated, demographics and market place assumptions will be incorporated to determine what specific clinical programs, educational and research programs the HSC will be supporting going forward. Dr. Roth outlined the 2015 strategic planning timeline going forward with a Capital Projects Committee Retreat in March as the venue for rolling out the assumptions and have discussion, and in April or May the Master Facility Plan (MFP) will be presented to the hospital boards. To address the capacity problems, part of the MFP plan will most likely include a replacement facility for UNM Hospital. The MFP, if approved at each level, will go to the HSC Board of Directors and UNM Board of Regents in June, to the NM Higher Education in July, and to the State Board of Finance at its September meeting. Following Regent request, Dr. Roth outlined the HSC governance structure.

Information Item: HSC Financial Update

Ava Lovell presented the update that was included in the agenda eBook. There was discussion about Medicaid payments and the SCI (State Coverage Initiative) patients. The SCI program, which had 10,000 to 12,000 patients enrolled, was eliminated and those individuals got swept up into the Medicaid expansion program. The HSC receives less for those individuals now.

ACADEMIC AND STUDENT AFFAIRS AND RESEARCH COMMITTEE

Update from the Provost

The University is in the final stages of hiring a new Vice President for Research and all four candidates have visited the UNM campus. The search committee is gathering feedback from the constituents who met the candidates and will start negotiations and begin discussions with the President and other senior leadership on the final selected candidate. Dr. Robert del Campo was hired as the Director for Innovation Academy, which is the academic side to the Innovate ABQ initiative. Dr. del Campo is an Associate Dean of Anderson School of Management and his position with Innovation Academy will be part time and funded through a 2-year grant from the Foundation. The goal is to launch many programs and start companies in this first 2 years. The Innovation Academy will be supported by an external board and will bring together people from the State of New Mexico in the business community to shape curriculum and initiatives. Provost Abdallah discussed the current issue of decreased enrollment at the University. One reason enrollment is lower than anticipated is also due to the fact that more students graduated in the Fall.

When more students graduate that also affects enrollment rates and the overall number of students on campus. The University hit a benchmark this year with higher 4, 5, and 6-year graduation rates. Provost discussed trends in education costs and state funding. The benefits of investing in education are not immediate, but long term. In budget discussions it is important to keep in mind that funding certain initiatives at this University is an excellent bargain for the State and citizens of New Mexico.

Approval of Honorary Degree Candidates (removed from the agenda)

Approval of Posthumous Degrees: Briana Hillard and Matthew Grant

Thomas Aguirre, the Dean of Students, presented the request for approval of the posthumous degrees. Briana Hillard and Matthew Grant were killed in an automobile accident in November 2014. Both were excellent students, involved in many co-curricular activities. Dr. Richard L. Wood, Chair of the Department of Sociology, requests on recommendation of the faculty of the Department of Sociology, the posthumous award of Bachelor of Arts degree in Sociology to Matthew Grant. Dr. Robert DelCampo, Associate Dean of the Anderson School of Management, supports recommendations from the Anderson School of Management faculty to award a posthumous degree with a concentration in Marketing Management. Both of these degrees received approval from the UNM Faculty Senate.

The motion to approve the Posthumous Degrees for Briana Hillard and Matthew Grant passed by unanimous vote (1st Overton; 2nd Doughty).

Approval of Key Management Personnel Security Managerial Resolution

Student Regent Overton presented the background. Since UNM has a top secret facility clearance, this is a routine process that needs to occur when there are new Regents on the board. The resolution was provided in the agenda eBook.

The motion to approve the Key Management Personnel Security Managerial Resolution passed by unanimous vote (1st Overton; 2nd Quillen).

Information Item: The Role and Value of Certificates in the Higher Education Environment

Dr. Greg Heileman addressed the transcribed certificates, not the certificates that are awarded through continuing education. Dr. Heileman discussed the statutes and policies that govern the certificate requirements and also the value of certificates in higher education.

Information Item: UNM Press Update

John Byram, Director of UNM Press, gave the presentation. Information and an update were provided to the Regents on UNM Press. UNM Press was established in 1929 as UNM's nonprofit scholarly press and was one of the original 18 publishers that founded the Association of American University Presses in 1937. UNM Press is the only university press on a campus out of 351 Hispanic-serving institutions. Annually, UNM Press publishes approximately 70 new titles and has more than 1,100 books in print and 550 electronic books in circulation. It collaborates with over 5,000 authors, institutions, agencies, and foundations worldwide. The goal is to develop, produce, and distribute quality books that reflect UNM's academic strengths, which include cultures of New Mexico and the Southwest. Another main goal of UNM Press is to extend UNM's international visibility and outreach while supporting the highest academic research standards. UNM Press is a support and outlet for faculty research which often results in faculty promotion or tenure. They are involved in knowledge transfer and record, disseminates, and preserves scholarly research for a wide range of audiences. In terms of economic development, UNM Press generates roughly \$1 million per year benefitting the State's economy. They also contribute \$150K annually to UNM for rent and services. One of the challenges of UNM Press is that it publishes content that was never intended to generate significant revenue. Historically, university presses have always relied on funding from their host universities in order to operate. UNM Press has received less than 50% of that provided to comparable press operations at other institutions. They have been consistent in keeping the budget and expenses on track, yet revenue year to year continues to be unpredictable with costs continually rising. Mr. Byram reiterated the importance of universities to continue to support presses because it is a significant outlet for the University as well as essential in publishing the rich history and culture of New Mexico.

COMMENTS FROM ADVISORS

Texanna Martin, President, GPSA, introduced 3 UNM graduate students, who had recently presented their research in Santa Fe, to present summaries of their research to the Regents. Jacqueline Kocer, of the Archaeology Department, presented her research on the Gallina culture that lived in the Chaco Canyon area. Theodore Edaaki, from the School of Architecture and Planning, presented his research on how the design process learned with the School prepares students to use their skills to provide comprehensive design solutions for a given community. Matthew Rush, from the School of Engineering, presented his current research of examining the primary cells of the heart valve on substrates with well-defined surface chemistry to determine the development of calcification, a disease state that is not well understood but highly prevalent in an aged population.

Pamela Pyle, President, Faculty Senate, commented on the University, the faculty, staff, administration, the budget, low enrollment, improved graduation rates and the need to revenue horizons. Each of the colleges in looking into course consolidations where possible and eliminating where needed. For this higher education institution, the way to remain competitive is to maintain an increased quality.

Carl Alongi, Chair UNM Foundation Board of Trustees, presented the Foundation's December 31, 2014 quarterly report. For the first half of this year, \$45 million has been raised so far.

Reneé Delgado-Riley, President, Staff Council, gave an update on the past year's areas of focus. There will be a symbolic signing of the Staff Council's strategic plan that will be placed in a time capsule to commemorate the Council's 25th anniversary dedication. Dr. Delgado-Riley discussed the support systems offered by UNM that help students assimilate into the University, advocated inclusive discussions around budget decisions and encouraged coming up with a better model than eliminating post-retirement benefits for newly hire employees.

PUBLIC COMMENT (there were no comments)

VOTE TO CLOSE THE MEETING AND PROCEED IN EXECUTIVE SESSION

The motion to close the meeting and proceed in Executive Session passed unanimously (1st Overton; 2nd Chandler). The meeting closed at 1:46 pm.

Executive Session agenda in the Cherry Silver Room.

1. Discussion of Honorary Degree Candidates
2. Discussion and determination where appropriate of limited personnel matters pursuant to Section 10-15-1.H(2), NMSA (1978)
3. Discussion and determination where appropriate of threatened or pending litigation pursuant to Section 10-15-1.H(7), NMSA (1978)
4. Discussion of strategic transactions of a public hospital under Section 10-15-1.H(9), NMSA (1978)
5. Discussion and determination where appropriate of the purchase, acquisition or disposal of real property pursuant to Section 10-15-1.H(8), NMSA (1978)
6. Proceed to Lobo A&B for further closed session and remainder of meeting

The meeting moved to Lobo A&B at 2:36 pm. for Regents to hear final arguments in the review of *R. Frank v. David Olguin*.

BOARD OF REGENTS' REVIEW IN CLOSED SESSION – Oral presentations, final arguments and decision in request for review by Complainant, R. Frank v. David Olguin, of the Academic Freedom and Tenure Committee decision of January 14, 2015. [Personnel matters, section 10-15-1.H(2), NMSA (1978)]

In closed session, the Regents heard final arguments from both sides. After completion of the final arguments, the Regents heard from Marsha Baum, Chair of the Academic Freedom and Tenure Committee, concerning interpretation of the Faculty Handbook. The Regents concluded closed session in deliberation of the final decision.

VOTE TO RE-OPEN THE MEETING AND CERTIFICATION THAT ONLY THOSE MATTERS DESCRIBED IN THE CLOSED SESSION AGENDA WERE DISCUSSED IN CLOSED SESSION AND FINAL ACTION WITH REGARD TO THOSE MATTERS WILL BE TAKEN IN OPEN SESSION

The motion to open the meeting to announce Regents' final decision in *R. Frank v. David Olguin* passed by a unanimous vote (1st Chandler; 2nd Quillen). There was certification that only those matters described in the closed session agenda were discussed during closed session. The meeting opened at 3:24 pm.

Regent Doughty read the decision of the Regents:

"The Board concludes that there are multiple adequate grounds for termination of Dr. Olguin's employment under the Adequate Cause section of the Faculty Handbook (Section 5.3.2). The Board finds that the More Complete Review Process (Section 4.9.7) was not required in this case. The Board finds that the More Complete Review Process (Section 4.9.7) would have been futile under the circumstances of this case. Accordingly, Dr. Olguin's termination from the University of New Mexico faculty is effective as of March 9, 2015." Regent Koch seconded. All were in favor. The decision passed.

VOTE TO ADJOURN

The motion to adjourn the meeting passed unanimously (1st Koch; 2nd Overton). The meeting adjourned at 3:28 pm.

Approved:

Attest:

Jack L. Fortner, President

Bradley C. Hosmer, Secretary/Treasurer

**UNIVERSITY OF NEW MEXICO BOARD OF REGENTS
2015-2016 ANNUAL NOTICE OF MEETINGS RESOLUTION**

WHEREAS, the Open Meetings Act of the State of New Mexico, Section 10-15-1, NMSA 1978, *et seq.* requires meetings of a quorum of the members of the Board of Regents, held for the purpose of discussing or adopting any proposed resolution, rule, regulation, or other formal action, to be conducted only after reasonable notice to the public; and

WHEREAS, Section 10-15-1 (D) of the Open Meetings Act requires the Board of Regents to determine annually what constitutes reasonable notice of its public meetings.

NOW, THEREFORE, BE IT RESOLVED by the Board of Regents of the University of New Mexico:

All meetings of the Board of Regents shall be held pursuant to the procedures described in Regents Policy 1.3 ("Public Notice of Regents' Meetings") on the second Friday in January, February, April, May, June, August, September, October, November and December 2015, except in May 2015 the regular meeting will be held on Thursday, May 7, 2015. The Regents will continue also to meet the second Monday in March, in accordance with § 21-7-5, NMSA 1978.



Regents' Policy Manual - Section 1.3: Public Notice of Regents' Meetings

Adopted Date: 09-12-1996

Amended: 12-08-1998

Amended: 03-11-2002

Amended: 09-09-2003

Amended: 03-14-2005

Amended: 06-12-2012

Amended: 05-01-2013

Amended: 05-01-2014



Policy

1. This Policy is adopted pursuant to the New Mexico Open Meetings Act, NMSA 1978, § 10-15-1, *et seq.*

2. Regular Meetings.

a. Regular meetings of the Board of Regents will be held in the Student Union Building on the second Monday in March and on the second Friday in January, February, April, May, June, August, September, October, November, and December, unless otherwise announced in the Notice of Regular Meetings, pursuant to this Policy.

b. **Notice of Regular Meetings.** Notice to the public of the regular meetings of the Board of Regents will specify the date, time, and place thereof and will state that a copy of the agenda will be made available on the public Regents.unm.edu website and available at such other locations chosen by the President of the University, at least seventy-two (72) hours prior to the meeting. Notice will be given to those newspapers and broadcast stations that have filed a written request with the University Communication and Marketing Department for such notices of meetings. Notice will be by mail, facsimile machine, or electronic mail at least ten (10) days prior to the meeting and the written Notice will be placed on the public Regents.unm.edu website.

3. Special Meetings.

a. Special meetings of the Board of Regents may be called by the President of the Board or any four (4) members of the Board.

b. **Notice of Special Meetings.** Notice to the public of special meetings of the Board of Regents will specify the date, time, and place thereof and will state that a copy of the agenda will be made available on the public Regents.unm.edu website and available at such other locations chosen by the President of the University, at least seventy-two (72) hours prior to the meeting. Notice will be given to those newspapers and broadcast stations that have filed a written request with the Public Affairs Office for such notices of meetings. Notice will be by mail, facsimile machine, or electronic mail at least seventy-two (72) hours prior to the meeting and the written Notice will be placed on the public Regents.unm.edu website.

4. Emergency Meetings.

a. **Emergency meetings of the Board of Regents** may be called by the President of the Board or any four (4) members of the Board only in the event of unforeseen circumstances that, if not addressed immediately by the Board, will likely result in injury or damage to persons or property or substantial financial loss to the University. The Board will avoid emergency meetings whenever possible.

b. **Notice of Emergency Meetings.** Notice to the public of emergency meetings of the Board of Regents will specify the date, time, place, and subject matter thereof and will be given to those newspapers and broadcast stations that have filed a written request with the University Communication and Marketing Department for such notices of meetings. Notice will be by telephone, facsimile machine, or electronic mail and at locations chosen by the President of the University, at least twenty-four (24) hours prior to the meeting or by such other notice as soon as possible and as may be practicable under the circumstances.

c. **Report to the Attorney General.** Within five (5) days of taking action on an emergency matter, the Board of Regents shall report to the Attorney General's Office the action taken and the circumstances creating the emergency.

5. **Compliance with the Americans with Disabilities Act.** In addition to the information specified above, all notices shall include the following language: If you are an individual with a disability who is in need of a reader, amplifier, qualified sign language interpreter, or any other form of auxiliary aid or service to attend or participate in a meeting of the Board of Regents, please contact the University Communication and Marketing Department at least one week prior to the meeting. Public documents, including the agenda and minutes, can be provided in various accessible formats. Please contact the University Communication and Marketing Department if an accessible format is needed.

6. **Telephone Meetings.** A member of the Board of Regents may participate in a regular, special, or emergency meeting of the Board by means of a conference telephone or other similar communications equipment when it is otherwise difficult or impossible for the member to attend the meeting in person. Each member participating by conference telephone must be identified when speaking, all participants must be able to hear each other at the same time, and members of the public attending the meeting must be able to hear any member of the Board who speaks during the meeting. The minutes of any meeting at which there is telephone participation shall identify any Regents who were not physically present but who participated by conference telephone or other similar communications equipment.

7. **Closed Meetings (Executive Sessions).**

a. **Exceptions to Open Meetings Act.** Meetings of the Board of Regents may be closed, according to the procedures set out below, only if the matter to be considered falls within one of the enumerated exceptions defined in Section 10-15-1(H) of the Open Meetings Act or if closure can be implied from or required by other laws or constitutional principles which specifically or necessarily preserve the confidentiality of certain information.

b. **Closing an Open Meeting.** Closing of a meeting shall be by a majority vote of a quorum of the Board during the open meeting, with the vote of each member being recorded. The motion shall state: (1) the authority for the closure (the statutory provision); and (2) the subject to be discussed with reasonable specificity. The matter to be discussed must have been included on the agenda, except for emergency matters.

c. **Calling a Closed Meeting Outside of an Open Meeting.**

(1) Notice that a meeting will be closed, in whole or in part, will be given as specified above for a regular, special or emergency meeting, as appropriate, by so specifying either in the notice of the meeting or on the agenda. The notice shall state the specific provision of the law authorizing the closed meeting and shall state the subject to be discussed with reasonable specificity.

(2) The minutes of the next open meeting shall contain information about the closed meeting, including the date, time, place, and subject matter of the closed meeting, the names of the Regents present at the closed meeting, the

names of the absent Regents, and a statement that the matters discussed in the closed meeting were limited only to those specified in the notice of the closed meeting.

d. **Action.** Any final action taken as a result of discussions in a closed meeting shall be made by a vote of the Board of Regents at an open public meeting, with the exceptions allowed under Section 10-15-1(H).

8. **Agendas.** A copy of the agenda for each regular and special meeting of the Board of Regents will be available at least seventy-two (72) hours prior to the meeting (and the notice of the meeting shall so state) and will be placed on the Regents.unm.edu website, and available at such other locations chosen by the President of the University. Except for emergency matters, the Board shall take action only on items appearing on the agenda. The intent to close a regular meeting and the subject matter involved shall be included in the agenda.

9. **Public Input at Meetings.** An opportunity for public input regarding agenda items shall be provided at each regular meeting during Board consideration of the agenda item in question. The President of the Board of Regents shall determine the length of time to be allowed for public input for each agenda item, the sequence in which individuals may address the Board, and the length of time that will be allowed for each person to address the Board. The Board President may request that a group designate a spokesperson.

10. Minutes.

a. The Board of Regents shall approve and keep written minutes of all its meetings. The minutes shall include, at a minimum: (1) the date, time, and place of the meeting; (2) the names of Regents in attendance and those absent; (3) a statement of what proposals were considered; and (4) a record of any decisions made by the Board and how each Regent voted.

b. Draft minutes shall be prepared within ten (10) working days after the meeting and must be available for public inspection. The draft minutes must clearly indicate that they are not the official minutes and are subject to approval by the Board.

c. Draft minutes shall be approved, amended, or disapproved at the next regular meeting where a quorum is present. Minutes shall not become official until approved by the Board of Regents. Official minutes are subject to public inspection.

d. No minutes need to be kept during closed sessions, but information about the closed session must be recorded as specified in the "Closed Meetings" section of this resolution.

e. Recordings of Board of Regents' meetings shall be kept for three (3) years.

References

Open Meetings Act, NMSA 1978, § 10-15-1 *et seq.*

Annual Organization Meeting of Board; Election of Officers; Bond of Secretary-Treasurer; Conditions, NMSA 1978, § 21-7-5.

**HEALTHCARE PLAN DESIGN TASKFORCE AND F&F COMMITTEE
RECOMMENDATIONS FOR BOARD OF REGENTS – March 9, 2015**

1. Increase engagement in disease management and wellness programs.
2. Continue collaborative efforts with UNM School of Pharmacy and explore other options to reduce Rx costs with improved service.
3. Full evaluation of a dedicated clinic/facility for student, employee, retiree and dependent use only.
4. UNM, UNMMG, SRMC and UNMH join to expand collective purchasing.
5. In FY16, evaluate plan designs with more incentives to increase LoboCare utilization.
6. In FY16, evaluate decreasing the number of TPAs administering UNM's medical plans.
7. In FY16, defer the planned .25% VEBA contribution increase for VEBA participants.
8. In FY16 and FY17, evaluate implementing a High Deductible Health Plan (HDHP) and Health Savings Account (HSA).
9. In FY16, partially blend Pre-65 retiree experience (20%) with active employee experience and continue with 5% premium contribution rate shifts as scheduled:
 - a. FY16: Retiree 50% and UNM 50%
 - b. FY17: Retiree 55% and UNM 45%
 - c. FY18: Retiree 60% and UNM 40%
10. Eliminate post-retirement health benefits for individuals hired effective after June 30, 2015 (*introduced to F&F on 3.3.15*).
11. Evaluate establishing a surcharge for spouses/partners of active employees and retirees, if the spouse/partner has access to health care through his/her employer (*introduced to F&F on 3.3.15*).
12. Evaluate FY16 health plan premiums to ensure appropriate rates to cover claims and administrative costs incurred and reduce FY16 premiums for actives and retirees, if possible (*introduced to F&F on 3.3.15*).

Summary of FY16 Open Enrollment Tasks and Communications/Timeline:

Date	Task/Communication
Week of March 16, 2015	Begin Banner System testing for online <i>Open Enrollment</i> changes
March 25-26, 2015	Communicate <i>Open Enrollment</i> and Information Session dates (Emails, Newsletter, Various meetings around campus, etc.)
April 1, 2015	<ul style="list-style-type: none">• Update <i>Open Enrollment</i> information (including rate changes, etc.) on HR website and in Banner• Update affiliate groups• Communicate Aon Dependent Verification Audit
April – May 13, 2015	<i>Open Enrollment</i> Information Sessions, email communications/reminders for faculty and staff
April 15, 2015	Send <i>Open Enrollment</i> home mailer communication and Mandatory Notices to UNM Copy/Mailing services for processing
April 29, 2015	<i>Open Enrollment</i> goes live
May 13, 2015	<i>Open Enrollment</i> period ends



Internal Audit Department
MSC05 3170
1 University of New Mexico
Albuquerque, NM 87131-0001
Located at: 1801 Roma NE
Phone:(505) 277-5016 Fax:(505) 277-2244

Date: April 7, 2015

To: Bradley Hosmer, Chairman, Audit and Compliance Committee

From: Manu Patel, Director of Internal Audit Department

Subject: FY15 Renewal of Annual Financial Statements Audit Contract

Dear Chairman Hosmer:

The following information is provided for the Board of Regents agenda item number III, Approval of FY15 Renewal of Annual Financial Statements Audit contract with KPMG.

During FY14, UNM issued a Request for Proposal (RFP) for the FY14, FY15 & FY16 external financial statements audit. The University received three responses; however, one respondent was not on the list of auditors approved by the State Auditor. Therefore, that proposal was rejected. The proposal from KPMG as a prime contractor and Moss Adams as subcontractor was selected. The compensation for the FY14 audit contract was \$959,500, the FY15 audit services cost is at \$999,000, and the FY16 audit services cost is at \$1,038,500. According to The terms of the contract, KPMG performs the main campus audit and Moss Adams performs the clinical areas (Hospital, SRMC, UNMMG). This RFP and first year contract with option to renew two more years was approved by the audit committee on the March 25, 2014. The FY2015 contract is a second year renewal of the Audit contract with KPMG and subcontract with Moss Adams.

The State auditor rules require the University to submit our contract recommendation by May 1, 2015 for the FY2015 Annual Financial Statements Audit.

Thank you.

Tab 4

FY16 Budget: Consideration and Approval of Tuition & Fee Rates and Compensation for FY2016

- A. Introductory Comments and 2015 Legislative Results
President Robert G. Frank
- B. Revenue and Expenditure Scenarios
 - 1. Enrollment Projections, *Terry Babbitt*
 - 2. Proposed Expenditure Reductions
Provost Chaouki Abdallah and Andrew Cullen
 - 3. New Funded Initiatives, *Andrew Cullen*
- C. Tuition and Fee Alternatives, *Andrew Cullen*
- D. Constituent and Public Comment (3 min. limit)
- E. Approval of FY2016 Tuition & Fee Rates and Compensation
 - 1. Main Campus
 - 2. Branch Campuses
 - 3. Differential Tuition
- F. HSC Budget Presentation for FY2016
Chancellor Roth and Ava Lovell

UNM Budget Summit

President's Administrative Report

April 10, 2015

Welcome and Congratulations

- Welcome Regent Marron Lee
- Welcome back Regent Jamie Koch
- Congratulations to Texanna Martin, who will return to us next year for her second term as GPSA President!

Legislative Update

- Governor Martinez signed House Bill 2 (the general appropriation act) into law yesterday
- Of UNM's priorities, only \$275k in vetoes
 - Veto of one-time HSC I&G Funding and \$ for Pain Management program
- \$2.1M Formula Funding was approved
 - Foundation of budget scenario
- Big thanks to our government relations team and all who worked diligently through the session

Budget Summit

- Beginning in August, we engaged in a redesigned budget process to enhance dialogue and discussions on our budget and our priorities
 - Our new process leads us to today
 - If we have done our work, you should be able to arrive at a budget, feeling more informed and better equipped to make your decision
- This expanded process was critical to our ability to grapple with a constantly changing funding environment
 - Oil prices and state revenue
 - Volatile enrollment and tuition revenue
- Thanks to all of those who played a critical role in developing the budget proposal presented today:
 - Budget Leadership Team
 - Student Fee Review Board

Key Priorities

- The priorities that emerged at the outset of this process remain the same, and are reflected in the Budget Scenario that you are considering today:
 - Strategic Investments in Student Success
 - Strategic Investments in Faculty and Staff
 - Right-Sizing the University
- Each of the new funding initiatives, as well as the strategic spending reductions, were made within the framework of these top priorities

The Future

- You will hear our enrollment projections for next year are flat.
 - This is our highly conservative estimate
 - Personally, I am, more optimistic, I think we will be up, slightly, but I agree with a flat estimate for our financials

Prudent Fiscal Management

- For FY16 we approach the budget allocation differently.
 - For FY16, we will initially allocate only 95% of budgets to departments, with the remaining 5% held centrally
 - We will evaluate our enrollment at critical points and allocate the remaining 5% when it is clear
- This will assure deans, directors and chairs make wise hiring decisions while stabilizing our enrollments. This is an important step toward implementing an agile budget model.

**UNM Main Campus
Budget Development
Fiscal Year 2016
Summary (in thousands)**

Category	% Increase
Tuition	3.00%
Fees	4.66%
Tuition & Fees	3.37%

Annual Undergrad Resident Student Cost at 15 hrs	
Tuition	\$150.20
Fees	\$67.10
Tuition & Fees	\$217.30

Sources of Funds:	Projected		FY 16 Preliminary
	FY15 Revised	Changes	
State I&G General Fund:			
State Appropriation I&G Pooled Base ¹	188,606		188,606
Main Campus State Appropriation Change: HB2	0	1,610	1,610
HSC State Appropriation Change: HB2	0	506	506
Subtotal State I&G General Fund - FY 16 Budget	188,606	2,116	190,722
Tuition:			
Starting Base	134,007		134,007
Adjustment: Enrollment Increase/Decrease	-2,700	0	-2,700
Adjustment: Accumulated Bad Debt Payoff	0	1,182	1,182
Net Tuition Increase	0	3,546	3,546
Subtotal Tuition	131,307	4,728	136,035
Miscellaneous			
Administrative Overhead	0	130	130
F&A Revenues	21,000	-500	20,500
Land and Permanent Fund Revenue	8,800	0	8,800
Interest Income	800	0	800
Miscellaneous Fee Revenue (Testing fees, Thesis Binding, Library Fines)	192	0	192
Transfer to Student Aid	-11,005	-719	-11,724
Transfer to Plant	-11,533	0	-11,533
Net Other Transfers (F&A, Endowment Investment Income, misc.)	-18,240	526	-17,714
Main Campus Institutional Reserves: One-Time			
- Self-Insurance Reserve ³	1,600	-1,600	0
- Building Renewal and Replacement Reserves	1,000	-1,000	0
- Administrative Reserves	200	-200	0
- Student-Aid Reserves	146	-146	0
One-Time Use of Reserves	1,500	-1,500	0
Subtotal Miscellaneous	-5,541	-5,009	-10,550
Health Sciences Center Transfers:			
Health Sciences Center Base ²	-17,503		-17,503
Adjustment: Tuition True-Up	-305	0	-305
Health Sciences Center Formula Workload/Outcomes	0	-506	-506
Health Sciences Center Tuition - Estimate	0	-264	-264
Subtotal Transfers to Health Sciences Center from I&G Base	-17,808	-770	-18,578
Mandatory Student Fees:			
Starting Base	32,202		32,202
Adjustment: Enrollment Decline	-900	0	-900
One-Time Self-Insurance Reserve ³	900	-900	0
Net Mandatory Student Fee Increase	0	1,305	1,305
Subtotal Mandatory Student Fees	32,202	405	32,607
Total Sources of Funds:	328,767	1,470	330,236
Uses of Funds:			
I&G Allocations and Requests:			
Expenditure Base	295,837	0	295,837
Expenditure Base Reductions - Academic Affairs: 1.00%	0	-1,749	-1,749
Expenditure Base Reductions - Administration: 1.63%	0	-1,103	-1,103
Other Base Adjustments:			
UNM West	325	0	325
Academic Affairs	53	0	53
Administration	50	0	50
Fixed Costs:			
Health Care	0	0	0
Utilities	0	333	333
Property & Liability Insurance	-42	0	-42
Pooled Fringe Benefits	342	392	734
Funding Priorities:			
Advisors	0	140	140
Compliance Initiatives	0	355	355
Faculty Compaction	0	689	689
Faculty Promotions	0	300	300
Faculty Retention	0	240	240
Graduate Resource Center	0	100	100
Introductory Study Courses	0	100	100
IT - Information Security/Privacy/Applications/Software Maintenance	0	400	400
LoboRESPECT Advocacy Center	0	106	106
LoboAchieve	0	40	40
Marketing/Recruitment Efforts	0	620	620
GA/TA Tuition Waivers - expenditure increase tied to a tuition increase	0	102	102
Subtotal Allocations and Requests	296,565	1,065	297,629
Mandatory Student Fee Allocations and Requests:			
Expenditure Base	32,202		32,202
Funding Requests	0	405	405
Subtotal Mandatory Student Fee Allocations and Requests	32,202	405	32,607
Total Uses of Funds	328,767	1,470	330,236
Balance	0	0	0

Footnotes

1) UNM State Appropriation base is \$189,147,900. Extended University receives \$541,900.

2) The HSC transfer base is net of a \$2.1M transfer from HSC to Main campus and a (\$19.6M) transfer to HSC from Main Campus.

The transfer to HSC includes HSC generated tuition revenue and State funding formula dollars per agreed upon amounts between HSC and Main campus and does not reflect total dollars generated by HSC. The transfer to HSC could increase or decrease each year per agreement due to incremental changes in tuition generated and/or in funding formula outcomes compared to the previous fiscal year.

3) Total FY 15 Use of Self-Insurance reserve is \$2.5M.



THE UNIVERSITY of NEW MEXICO
New Mexico's Flagship University

**UNM Main Campus
Budget Development
Fiscal Year 2016
Summary (in thousands)**

Category	% Increase	Annual Undergrad Resident Student Cost at 15 hrs	
Tuition	3.00%	Tuition	\$150.20
Fees	4.66%	Fees	\$67.10
Tuition & Fees	3.37%	Tuition & Fees	\$217.31

Current Estimates - as of 1/31/15		1% Numbers
Fees		280
Tuition - Detail Below		1,182
Net Tuition and Fees		1,462
Compensation Increase (Salaries and Fringe) - Detail Below		2,612
		<u>5,535</u>
Compensation Detail		
Faculty		1,219
GA/TA		175
Staff		1,149
Students		69
Total 1% Increase - Compensation		<u>2,612</u>
Tuition Detail		
Student Financial Aid 20%		236
GA/TA Tuition Waivers 3%		34
HSC Tuition 7%		88
Pooled Revenue - Must Funds/Initiatives 70%		823
Total 1% Tuition Increase		<u>1,182</u>



THE UNIVERSITY OF NEW MEXICO
MAIN CAMPUS
FY 2015-16 STUDENT FEES

Requestors of Student Fees	FY 2014-15 Budget (A)	FY 2015-16 President - Recommendation	
		Increase (Decrease) (B)	Budget (A) +/- (B)
<u>Student Activity Fees</u>			
Student Health and Counseling	4,648,295	0	4,648,295
New Mexico Union	1,939,402	471,558	2,410,960
New Mexico Union Repair & Replacement	304,498	(304,498)	0
Univ Library Acquisitions	781,786	25,353	807,139
UNM Childrens Campus	384,532	0	384,532
IT Initiatives	1,893,907	106,093	2,000,000
Center of Academic Support (CAPS)	281,376	56,660	338,036
UNM Public Events (Popejoy)	165,000	15,000	180,000
Recreational Services	859,256	(8,552)	850,704
Athletics	4,000,000	0	4,000,000
Student Govt. Accounting Office	180,929	0	180,929
Global Education Office	69,064	(19,200)	49,864
LGBTQ Resource Center	133,764	0	133,764
Women's Center	107,909	1,000	108,909
Career Services	32,858	0	32,858
KUNM	68,970	(6,748)	62,222
Community Learning & Public Service	79,480	35,520	115,000
American Indian Student Services	98,250	0	98,250
Project for NM GS of Color	74,000	(18,000)	56,000
Music Bands	74,165	0	74,165
Theatre and Dance	32,133	0	32,133
El Centro De La Raza	166,872	0	166,872
African American Student Svc AASS	91,768	0	91,768
CASAA/COSAP	19,234	0	19,234
Parking & Transportation Services	0	50,000	50,000
<u>Graduate Allocation Fund (GAF)</u>			
GPSA Graduate Scholarship Fund	46,760	0	46,760
GPSA Student Research Grant	89,134	0	89,134
<u>Debt Service</u>			
Debt Service-ERP Project Fee	2,388,000	0	2,388,000
Debt Service-Facility Fee	12,000,000	0	12,000,000
<u>ASUNM/GPSA</u>			
ASUNM - Accounting office	40,162	0	40,162
ASUNM	850,000	0	850,000
GPSA	300,950	0	300,950
Total - Main Campus Current Funds	32,202,454	404,186	32,606,640
<u>SFRB - One Time Funding</u>			
Libraries	150,000	(82,000)	68,000
Information Technologies	75,000	(25,000)	50,000
Sustainability Services	50,000	(50,000)	0
Global Education Office	35,000	(35,000)	0
Popejoy	15,000	(15,000)	0
LGBTQ Resource Center	3,500	5,000	8,500
Women's Center	1,000	(1,000)	0
African American Student Services	15,500	(15,500)	0
College Assistant Migrant Program	0	35,000	35,000
College Enrichment Program	0	25,000	25,000
Graduate Resource Center	0	127,125	127,125
El Centro De La Raza	0	24,389	24,389
American Indian Student Services	5,000	(5,000)	0
Center of Academic Support (CAPS)	55,360	(55,360)	0
Student Activity Center	27,100	14,000	41,100
Total - SFRB One Time Funding	432,460	(53,348)	379,114
Total - Main Campus	32,634,914	350,840	32,985,754

Fall 2015 Enrollment Projections - 3.28.15 Enrollment Management

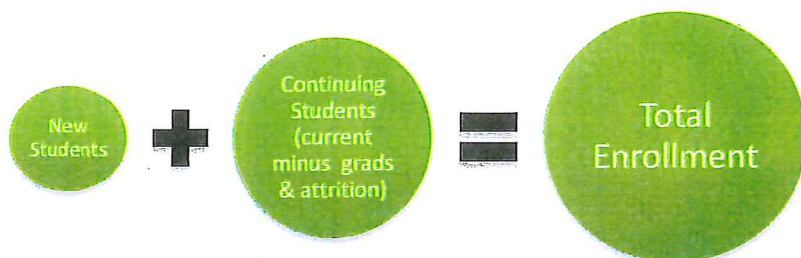
Forecasting enrollments and time series projections in general are vulnerable to inaccuracies due to the reliance on many assumptions. Dynamic predictions are well documented by statisticians as being vulnerable to changing conditions.¹ Independent variables associated with enrollment can be readily identified but predicting their values at the relevant time, e.g., when the academic semester begins, with accuracy can be challenging. The variables influencing enrollment by magnitude of impact can be simplified to three categories.

1. demographic
2. economic
3. institutional

The first two categories represent the number of potential students available to enroll and the funding environment for student support as well as opportunities in the employment market. The National Center for Education Statistics (NCES) has been producing educational projections for 50 years and states “Assumptions regarding the population and the economy are the key factors underlying the projections of education statistics.”²

Institutional factors in broad descriptors include student learning, student success, direct financial support, recruitment marketing and campus climate.

Enrollment projections are a function of new students plus continuing students minus completers and stop-outs. At UNM, coefficients are determined by historical data and modeled via cohort mortality ratios to determine how many students comprise the bloc of continuing students. This group is diminished by attrition and completions. Continuers represent the largest population in the equation and variable return rates substantially influence the projections. New student inputs are entered for beginning freshmen, graduate students and transfer students. There are also adjustments considered for new readmit and non-degree student variances. The simplified form of the equation is below.



The continuing student transitions have improved in recent years and reflect student success initiatives across the institution. The current projection averages the return rates over two prior years. For new students, beginning freshmen are the largest group in the fall term.

¹ Silver, N.R. (2012). *The signal and the noise: Why so many predictions fail but some don't*. New York, NY: Penguin Press.

² Hussar, W.J. and Bailey, T.M. (2013). *Projections of education statistics to 2022* (NCES 2014-051). U.S. Department of Education, National Center for Education Statistics. Washington, DC: U.S. Government Printing Office.

Consideration of the primary variables mentioned previously includes a review of current demographic data for the primary New Mexico market of high school senior students. The information on all New Mexico high schools and largest UNM feeder districts indicate a turnaround from the previous year's prospective student pool. Figure 1 details a 5% increase overall in New Mexico high school seniors and a 7% increase in the largest UNM feeder districts. All high school seniors are not graduates or college ready but the counts indicate an increase in the number of post-secondary prospects.

	2013-14	2014-15	Difference	% Change
NM High Schools Seniors	20,131	21,147	1016	5%
Albuquerque	5,007	5,398	391	8%
Rio Rancho	1,180	1,271	91	8%
Santa Fe	739	719	-20	-3%
Los Lunas	424	508	84	20%
Las Cruces	1,412	1,444	32	2%
Total Top Feeder Districts	8,762	9,340	578	7%

Figure 1. New Mexico high school seniors. This table details high school senior enrollments as reported to the Public Education Department.

Economic conditions are paradoxical for college attendance in that UNM's highest historical enrollment has come during national recessions accompanied by high unemployment rates. This is also typical on a national scale although there are often confounding factors.³ New Mexico unemployment rates carry a .79 Pearson correlation with UNM headcount indicating a strong linear relationship. Figure 2 highlights this interaction. Economic forecasts that predict a lower unemployment rate through the summer of 2015 contribute to the uncertainty of stable non-traditional, transfer and non-degree enrollments.

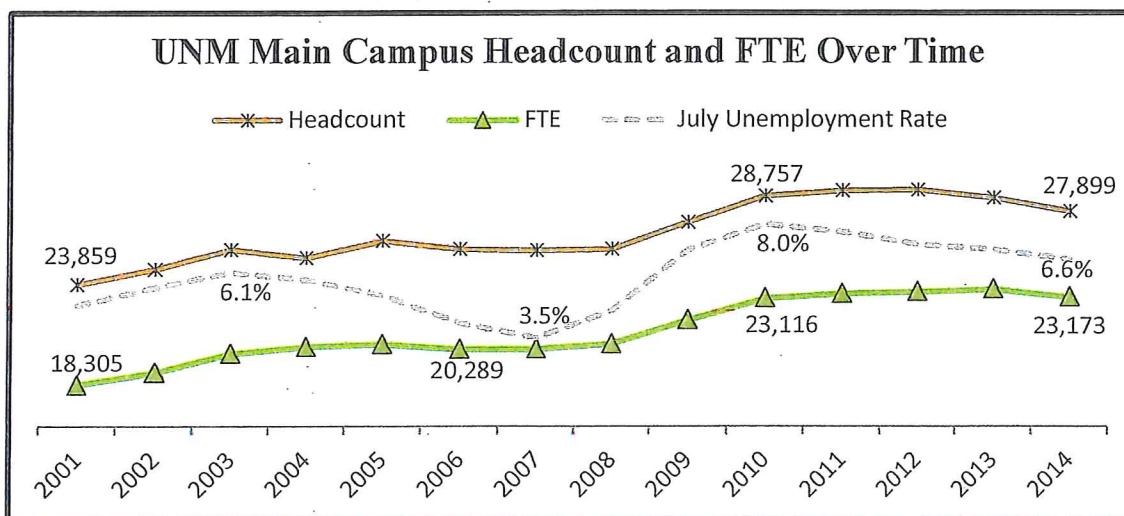


Figure 2. Correlation of unemployment and enrollment. This table illustrates the high correlation between New Mexico unemployment rates and enrollment.

³Long, B.T. (2014), *The financial crisis and college enrollment: How have students and their families responded?*. p. 209-233 in, *How the Financial Crisis and Great Recession Affected Higher Education*, National Bureau of Economic Research, Inc, <http://EconPapers.repec.org/RePEc:nbr:nberch:12862>.

The institutional role has emphasized regaining beginning freshman enrollment. As mentioned, the demographic environment appears favorable and the efforts of internal university units and consultant support have resulted in increases in the applied and admitted students at the top of the enrollment funnel to this point. Figure 3 depicts an 11% increase in admitted beginning freshmen for the summer and fall terms. Multiple linear regression analytics applied to the admit pool using independent variables of student location, application date and academic performance among others indicate a 5.4% increase in beginning freshmen is reasonable for Fall 2015 (168 students).

3.27.15	2014 Final	YTD 2014	YTD 2015	% YTD Change
Applications	12,338	11,192	13,032	16%
Admitted	7,420	6,305	6,990	11%

Figure 3. Current point-in-time comparison of beginning freshman applications. This table provides date specific comparisons of the enrollment funnel for beginning freshmen students.

Applying the beginning freshman increase to the model, maintaining continuation rates, enrolling level numbers of new graduates, readmits and non-degree students would result in a slight enrollment increase. However, due to improving economic conditions, it is necessary to include a slight decrease of graduate and non-degree enrollments which in effect, equate to a very flat enrollment scenario from Fall 2014 to Fall 2015.

	Fall 2014 Census	Fall 2015 Projection	% Change
Headcount	27,889	27,879	-.04%
Student Credit Hours	336,618	336,778	.05%

Current risk factors:

Improved employment rates

Decrease in Legislative Lottery Scholarship percentage paid (projected at 85 to 90%)

Graduate enrollment

Projections will be updated after fall pre-registration April 20 – May 1.

MSC05 3400
1 University of New Mexico
Albuquerque, NM 87131-0001

Memorandum

TO: Chaouki Abdallah, Provost and EVP for Academic Affairs
FROM: Nicole Dopson, Financial Officer for Academic Affairs
DATE: 4/2/15
SUBJECT: **FY16 Proposed Budget Reductions**

For the FY16 budget Academic Affairs was tasked with reducing its base I&G allocation of \$174,905,077 by 1%, which would amount to an overall reduction of \$1,749,051. Instead of applying this reduction equally across all units, as was done in the previous year, we decided to apply this reduction strategically.

Our first approach was to look at staff retirement data within all units to investigate how often there are vacancies due to staff retirements. We took data provided by Human Resources over five years (2010-2014) and averaged the annual retirement vacancy. The idea was on an annual basis many units had retirements and if the unit was to hold these positions vacant for at least six months before refilling the positions they would have annual recurring salary savings. For all Academic and Student Affairs this amounted to \$833,000. We used only this data to make reductions to the colleges/schools and Student Affairs.

Our second approach was to look at non-academic units and review what programs had funding available to cut without impacting service levels. We found that in one unit there was an executive retirement effective at the end of this fiscal year, which was not going to be replaced in the Global Education Office. This amounted to a \$150,000 in savings. Another unit that had funding available was Extended Learning (EL). EL could eliminate correspondence courses and move these courses to online course offerings, which would amount to approximately \$300,000 in savings.

Our third approach was to reduce the Enrollment Growth fund, which is centrally held in the Provost Office of \$120,000. Given the decline in enrollments we decided this funding was not necessary for distribution moving forward. However, if enrollments were to increase funding would need to be available to manage additional sections needed based on the incoming student demand. We also decided to reduce funding that was allocated for non-standard instruction by \$346,000. This year we decided to combine all non-standard instructional funding and allocated the funding based on the unit's annual Instructional Plan. After reviewing data provided to the deans regarding potential course consolidations and eliminations there is an apparent opportunity in some units that courses could possibly be consolidated or eliminated, which would result in cost savings. On an annual basis we will take this analysis into consideration before we distribute this funding to academic units.

It is important to note that overall the academic units will only receive a .34% reduction to their I&G base allocation. We also requested after a thorough review that colleges consolidate their offerings and eliminate courses to reduce costs whenever possible without impacting the quality of the Academic mission. This has led to eliminating about \$150,000 in costs. We did our best to try and hold the academic units as harmless as possible to ensure we obtain the highest academic quality for our students. We also held graduate assistantship lines harmless within the Office of Graduate Studies in order to provide the same levels of funding for these positions as was allocated this fiscal year.

MSC05 3400
1 University of New Mexico
Albuquerque, NM 87131-0001

The table below shows the projected amount each college/school/VP unit will have to reduce their I&G allocation by for FY16 based on the analysis detailed in this document.

	FY15 I&G Base Allocation	Projected Reduction
FY15 Base Allocation 1% Reduction	174,905,077	1,749,051

Colleges/Schools/VP Units	FY15 I&G Base Allocation	Base Reduction	% of the Total Reduction to I&G Base Allocation
Provost Administrative Units	15,681,138	797,177	5.08%
Division of Equity and Inclusion	680,985	6,810	1.00%
Division of Enrollment Management	5,482,687	49,765	0.91%
UNM West	1,923,995	19,240	1.00%
Extended University	4,201,303	332,734	7.92%
Vice President for Research	92,012	920	1.00%
Provost Monitoring (UNM Press Subsidy)	248,500	2,485	1.00%
Student Affairs	4,638,707	61,098	1.32%
Total Non-Academic Units	32,949,327	1,270,229	3.86%
University College	2,261,085	13,243	0.59%
School of Public Administration	1,252,910	12,529	1.00%
College of Fine Arts	11,180,750	42,340	0.38%
College of Arts Sciences	62,991,761	127,624	0.20%
Anderson School of Management	8,912,629	16,666	0.19%
College of Education	14,561,118	61,347	0.42%
School of Engineering	15,279,378	26,922	0.18%
School of Law	6,319,285	63,486	1.00%
School of Architecture Planning	3,628,568	3,246	0.09%
University Libraries	14,132,927	101,070	0.72%
Honors College	1,435,339	10,348	0.72%
Total Academic Units	141,955,750	478,821	0.34%
Total Academic/Student Affairs	174,905,077	1,749,051	1.00%

UNM Main Campus-Administration
Fiscal Year 2016-Summary (In Thousands)

Division	FY 15 I&G Allocation	Proposed Vacancy Budget Reduction	Proposed Non- Salary Expense Budget Reduction	Total Budget Reduction	% Change
Presidents Office	1,496	0	31	31	2.07%
Internal Audit Department	802	0	0	0	0.00%
Univ Communication & Marketing	1,256	0	13	13	1.04%
University Counsel Office	1,167	0	13	13	1.11%
Office of Equal Opportunity	441	0	0	0	0.00%
Alumni Relations	646	0	7	7	1.08%
UNM Compliance Office	278	0	0	0	0.00%
UNM Foundation	321	0	0	0	0.00%
Total President and UNM Foundation	6,407	0	64	64	1.00%
Police Department	3,009	33	0	33	1.10%
Board of Regents	171	0	11	11	6.14%
OPBA	887	0	0	0	0.00%
EVP for Administration Office	618	0	0	0	0.00%
UNM Policy Office	158	0	0	0	0.00%
Safety & Risk Services	1,505	163	29	192	12.78%
Financial Services	7,012	60	0	60	0.86%
Institutional Support Services	34,880	90	0	90	0.26%
Athletics	899	0	11	11	1.26%
Human Resources	1,829	65	0	65	3.55%
Government & Community Relations	167	0	2	2	1.20%
Popejoy Hall	150	0	150	150	100.00%
Ticketing Services	75	0	75	75	100.00%
Workers/Unemployment Comp	200	0	200	200	100.00%
Information Technologies	9,846	150	0	150	1.52%
Total EVP for Administration	61,406	561	478	1,039	1.69%
Total for Administration	67,813	561	542	1,103	1.63%

FY 16 New Initiatives, Requests and Allocations

Division	Increase/(Decrease)
UNM West	325
Academic Affairs-Commitments	53
Advisors	140
Faculty Compaction	689
Faculty Promotions	300
Faculty Retention	240
Graduate Resource Center	100
Introductory Studies Courses	100
LoboRESPECT Advocacy Center	106
LoboAchieve	40
GA/TA Tuition Waivers	102
Total for Academic Affairs	2,195
Administration-EMBA and Internal Audit	50
Utilities	333
Property and Liability Insurance	(42)
Pooled Fringe Benefits	734
Compliance Initiatives	355
Information Security/Privacy	400
Marketing and Recruitment Efforts	620
Mandatory Student Fees	1,305
Total for Administration and SFRB	3,755
Grand Total	5,950

BUDGET SCENARIO DESCRIPTIONS

I&G ALLOCATIONS, REQUESTS, AND NEW INITIATIVES

\$325,000-UNM West: In an effort to increase enrollments at the University's Rio Rancho campus, an incentive funding program was implemented in the spring of 2014 which agreed to provide supplemental funding based on student credit hours (SCH) generated. Current projections suggest total FY15 enrollments at just over 10,000 SCH, resulting in conservative revenue forecasted delta per the agreement of \$325K.

\$53,000-Academic Affairs: Mid-Year budget allocations totaling approximately \$53K include faculty retention and spousal hiring commitments.

\$50,000-Administration: Mid-year budget allocations totaling approximately \$50K include Anderson School of Management EMBA scholarship support and Internal Audit Computer Software Licensing.

\$333,000-Utilities: Over the course of the last three years the Utility Division at UNM has relied upon utility reserves to fund the University's utility operations budget. At 2014 fiscal-year-end, these reserves had been exhausted which required the use of reserves during the current fiscal year. The modest \$333K request will partially fund the projected revenue shortfall for FY16, with approximately \$750K in other Physical Plant funds needed to fully fund projected utility costs.

(\$42,000)-Property & Liability Insurance: The \$42K represents the projected budget reduction for these insurances during the upcoming fiscal year and will help offset other insurance increases.

\$734,000-Pooled Fringe Benefits: The funding requested will backfill the current fiscal year revenue shortfall and provides funding related to new initiatives, such as compliance initiatives and student advisors, and incremental benefit funding for faculty promotions and retention.

\$140,000-Advisors: Funding will be used for 4 full-time new academic advisors. Over the past few years Academic Affairs has tried to invest in new advisors in order to bring UNM's student to advisor ratio to 350 students to 1 advisor, which the National Academic Advising Association (NACADA) recommends. Between FY13 and FY15, a total of 8 new advisor positions have been added to Academic Affairs to assist in this effort.

\$355,000-Compliance Initiatives: The University of New Mexico is responsible for compliance with the Federal Clery Act and faces significant liability if it fails to do so. The breadth and complexity of the act require a dedicated coordinator position, administrative assistant, and training program operational funds to facilitate the University's compliance efforts.

\$689,000-Faculty Compaction: Funding will be used for faculty salary equity and compaction adjustments. Academic Affairs has conducted an equity study that shows that more than \$4.0 million may be needed in order to address compaction and inversion cases across UNM's main campus Academic Affairs enterprise. Allocations in FY13 (\$1,053,000) and FY14 (\$332,846) have begun to address this multi-year, faculty compensation plan.

\$300,000-Faculty Promotions: Funding will be used for faculty and lecturer promotions. When a faculty member is promoted from Assistant/Associate/Professor, it is guaranteed in their contract they will receive a promotional increase to their base salary. When lecturers are promoted to Senior or Principal they also receive an increase to their base salary. Funding will be used for newly awarded distinguished professors. When a faculty member is awarded the Distinguished Professor title it is guaranteed in their contract they will receive a promotional increase to their base salary.

\$240,000-Faculty Retention: Funding will be used for two existing retention offers, one in the College of Arts and Sciences (A&S) and another in the School of Law. The A&S retention is to retain a faculty who was newly inducted into the National Academy of Sciences.

\$100,000-Graduate Resource Center: The Graduate Resource Center (GRC) is in its final year of Title V funding, which provided 5 years of funding for graduate support services. The GRC offers a wide array of free academic support services to all graduate and professional students at the University of New Mexico including: one-on-one writing and statistics consultations, writing support groups, writing camps, academic and professional development workshops, fellowship programs, a student conference, and graduate student orientations. The GRC further supports undergraduate recruitment initiatives at UNM by providing workshops and one-on-one guidance in planning for graduate school.

\$100,000-Introductory Studies Courses: Introductory studies courses will no longer be offered on UNM main campus, and will be replaced with credit-bearing courses taught by UNM faculty. Replacing these courses with credit-bearing courses will assist UNM student's in their progress towards degree completion.

\$400,000-IT – Information Security/Privacy/Applications/Software Maintenance: UNM customers are exposed to financial and reputational risks because of current non-compliance with the Payment Card Industry (PCI) card processing requirements. Approximately \$78,800,000 in credit card transactions at UNM are processed annually. Any breach of credit card or related personal information carries heavy fines that could exceed tens of millions of dollars. The \$400K in funding will allow this project to move forward and bring the University into compliance with Version 3 of the PCI Data Security Standard. Additionally, this critical funds will allow for other Software Upgrades (Talent Management, Travel, and Expense Management) for automation and efficiencies within Banner Finance.

\$106,000-LoboRESPECT Advocacy Center: The LoboRESPECT Advocacy Center provides a safe and welcoming environment for students to receive support and advocacy services in the aftermath of any form of abuse. The goal of the LoboRESPECT Advocacy Center is to provide a single point of entry, one telephone number and one physical location for any abuse victim to come for information, assistance, and support.

\$40,000-LoboAchieve: Funding will be used to expand the Starfish advising software (LoboAchieve) for the branch campuses. This will give the branch campuses the same access to LoboAchieve as main campus, which is an essential advising tool to help advisors keep students on track towards graduating.

\$620,000-Marketing/Recruitment Efforts: With the approval of the Strategic Pricing proposal by the Board of Regents, the University of New Mexico is well positioned to market these highly competitive out-of-state tuition and fee rates to college bound students outside New Mexico. These marketing funds will assist in publicizing the University of New Mexico with updated branding efforts and on-line advertising to targeted student populations.

\$102,000-GA/TA Tuition Waivers: These funds are tied to the incremental tuition and fee increase and will support our graduate student population in their studies.

\$1,305,000 -Mandatory Student Fees: The Budget Leadership Team (BLT) and the Administration support the fee increase as proposed by the Student Fee Review Board (SFRB). The total fee increase will backfill the fee shortfall that resulted from the FY15 student enrollment decline and fund increases to targeted fee supported programs such as the Student Union, Popejoy Hall, IT, and the Center for Academic Support (CAPS).

Mandatory Student Fees-Funding Requests
Fiscal Year 2016
Summary (In Thousands)

	Increase/(Decrease)
Fund FY 15 Enrollment Decline Revenue Shortfall	900
New Mexico Union	168
Library Acquisitions	25
IT Initiatives	106
Center for Academic Support (CAPS)	57
UNM Public Events (Popejoy)	15
Recreational Services	(9)
Global Education Office	(19)
Women's Center	1
KUNM	(7)
Community Learning and Public Service	36
Project for NM GS of Color	(18)
Parking and Transportation	50
Total	1,305



Student Fee Review Board

To: Budget Leadership Team
Chair, Provost/EVP Chaouki Abdallah
Chair, Executive Vice President David Harris

Cc: University of New Mexico President Robert G. Frank
University of New Mexico Chief of Staff Amy Wohlert

From: Student Fee Review Board
Chair, ASUNM President Rachel Williams; and
Vice-Chair, GPSA President Texanna Martin

Date: 1 December 2014

Re: Final Recommendations of the Student Fee Review Board (SFRB)

The Student Fee Process

During the summer of 2014, the SFRB Chair, ASUNM President Rachel Williams and SFRB Vice-Chair, GPSA President Texanna Martin diligently worked with their staff and members of the SFRB to change components of the SFRB application process. From that work, the Board developed and voted on amendments to the SFRB policy (policy 3010) to accommodate the accelerated timeline agreed upon last year with UNM President Frank. Additionally, the Board critically considered procedures units use when applying, and where appropriate streamlined processes to simplify the application and make application material as useful as possible to the Board.

Presidents Williams and Martin met with units over the course of the summer to communicate changes to the application timeline and process as well as discuss with units the resources each offer to students. The SFRB, additionally, outreached to prospective units by holding various information sessions to convey details and answer questions. These events were coordinated through the efforts of the chairs and insight from past SFRB members.

The SFRB received 33 applications for student activity fees this year. The applications each delineated the full budget of the organization, including all revenue streams and expenses, and specified the line items that student fees were to cover. The budget forms used were updated this year as part of the overhaul that took place starting in summer. Additionally, the applications answered 10 questions (reduced from 20) designed to elucidate the impact of student fees for each organization. In an attempt to streamline the process, the combination and removal of questions has led to a significant decrease in overall application requirements and total pages were limited to 10.

The SFRB held 30 and 45 minute hearings for each of the organizations, providing an avenue for board members to address specific budget questions with the applicants; this constituted a fifteen minute section for presentation and either a 15 or 30 minute section for question and answer depending on whether units exceeded the \$400,000 established threshold. Additionally, two separate two-hour student forums were held to receive student input related to the applications for student fees received by the SFRB. After multiple days of deliberation and reconsideration the voting members of the Board unanimously agreed upon the following recommendations.

Preliminary Recommendations

The Board recommends that Student Activity Fee this year total \$32,606,640, an increase of \$404,186, or 1.26%, over last year's fee amount. We understand that student success and accessibility to UNM is driven by tuition and fee costs. Each increase recommended here is justified only for the specific programs or facilities that we believe support a variety of student activities that enhance the academic and intellectual environment at UNM and should advance student learning and support the mission of the University. However, we believe that programs that support academic recruitment, as well as academic needs, should be funded through Instruction and General lines. The Board does not recommend any increases beyond those listed here.

Below are the departments who received the same amount allocated for Fiscal Year 15, an incremental increase, or slightly less at their request.

Requesting Entity	FY 16
Athletics	\$4,000,000
Student Health & Counselling	\$4,648,295
Children's Campus	\$384,532
Student Government Accounting Office	\$180,929
LGBTQ Resource Center	\$133,764
American Indian Student Services	\$98,250
African American Student Services	\$91,768
Music Bands	\$74,165
El Centro de la Raza	\$166,872
Career Services	\$32,858
Theatre & Dance	\$32,133
COSAP	\$19,234

Recommended Increases in Funding for FY16

New Mexico Union (Student Union Building) (Increase - \$167,060)

The Student Union Building (SUB) is an on campus hub where student spend time studying, eating, and meeting as formal and informal groups. The building offers free space to chartered student organizations as well as a myriad of services to enhance the college experience and engage students. This year the SFRB chose to fund both one time requests, one for ballroom projector technology and one for outdoor signage, at \$0. The SFRB determined these to be non-essential for student success this year. The SFRB was able to further decrease the SUB request by \$384,000 from the original request by decreasing from areas that could bare to remain constant or operate with a slight increase in funding. Overall, the SFRB saw a need to increase funding to the SUB to continue the maintenance and develop the building and its offerings. **SFRB recommends that we increase the funding to the Student Union Building in FY16 by \$167,060 to \$2,410,960**

University Libraries (Increase - \$25,353)

University Libraries provide a service essential to the academic success of students, as well as the success of UNM's research mission through the easy access of electronic journals and publications. However, as these materials align more with I&G in support of academic development, the SFRB recommends a swap of I&G and student fees for services provided by University Libraries. As student fees are currently allocated for the support of employment positions and computer equipment within other funded entities, the Board recommends the funding of budget items (Line 4: Student Employment & Work study, Line 5: GA/TA/RA, and Line 18: Computer Equipment, & Line 20: Extended Hours for Zimmerman). Existing line items (Line 16: Library Acquisitions Journals) currently funded through student fees would therefore be swapped to I&G funding. In full, **the SFRB recommends funding of University libraries in the amount of \$807,139** representing an increase to University Libraries budget in FY16 of \$25,353.

Information Technologies (Increase - \$106,093)

As the primary provider for student technology services on campus (computers, print services, wireless, and more), the SFRB recognizes IT for their effort to provide services needed to be productive and successful in teaching, learning, and research at UNM. The SFRB recommends an increase of \$106,093 to IT funding to provide for more student employment opportunities. **Recommended budget total of \$2,000,000.**

Center for Academic Programs and Support (Increase - \$56,660)

As a learning assistance center, CAPS currently provides tutoring to approximately one third of UNM students. Furthermore, those who utilize CAPS have been shown to thrive academically through higher grades and increased graduation rates compared to non-

users. With this in mind, the SFRB recommends an increase in student fees to support the growth of CAPS. This increase is intended to provide more student employment opportunities (118 → 150 student employees and 3 → 4 graduate assistantships) and tutoring hours for UNM students (28,000 → 36,000 available tutoring hours). However, in an effort to focus on academic support, the SFRB is recommending a slight reduction in funding for food (\$1,300). The SFRB commends CAPS in continuing to provide for student success to a greater segment of the population and providing more UNM students with meaningful employment that aligns with their studies and professional goals. Overall, **the SFRB recommends an increase of \$56,660 to fund CAPS in FY16 at \$338,036.**

UNM Public Events (Popejoy Hall) (Increase - \$15,000)

Popejoy means so much to University and Albuquerque communities. The Board voted unanimously to recommend Popejoy at the amount funded for FY15 but also to move the one-time request of \$15,000 specifically for TEDxABQ discount tickets to a recurring request. Students appreciate the efforts of Popejoy in bringing the biggest shows to Albuquerque but moreso making it affordable for students. The Board therefore recommends an increase in Popejoy's request of \$15,000 to show student support for TEDxABQ bringing the **overall total to \$180,000.**

Women's Resource Center (Increase - \$1,000)

The SFRB strongly supports the programs of the Women's Resource Center and therefore decided to include the \$1,000 allocation in one-time funded for FY15 in FY16 recurring. The Board therefore **recommends the WRC be funded at \$108,909** and increase of \$1,000.

Community Engagement Center (Increase - \$35,520)

The Community Engagement Center has provided student jobs in addition to 150 student scholarships. These same students also experience hands on civic service. In the past, the CEC has deservedly received funding from the Kellogg Foundation. However, the Kellogg Foundation is requesting that the University match funding. **SFRB recommends that we increase the funding to the CEC in FY 16 by \$35,520 to \$115,000.**

Not Recommended for Funding for FY 16

Accessibility Resource Center

The SFRB recognizes individuals with disabilities as an integral part of a diverse community and is committed to the provision of resources in order to create equitable, inclusive, and practical learning environments. The SFRB also recognizes the commitment of the Accessibility Resource Center in providing educational assistance to student with disabilities through class resources such as notes. However, the Board sees the practical problem of a decreasing note taking applicant pool as an issue not of funding, but of advertising, and would like to see a strategic plan for recruitment and marketing strategies

in order to provide students other reasons to join the program and help their fellow students.

Dean of Students Office

The SFRB would like to recognize the Dean of Students (DOS) for their creative proposal seeking to engage students in the “out-of-classroom” experience. However, this Board does not see itself as an investment entity, and therefore cannot make decisions based on return on investment. With the likelihood of increased fees, as well as the recognized potential to earn revenue through advertising and licensing fees, the Board recommends the DOS seek outside funding for this project. Alternatively the Board recommends internal collaborations with UNM departments and students in order to begin to design this application. In order to better determine the effect of such an application on student life, the Board also recommends conducting a survey of students, with a special effort to reach commuter students.

Sustainability Services/Green Fund

The SFRB determined that the Green Fund should be funded at \$0 given the fund's inability to report back on successful student initiated projects due to changes in the SFRB timeline. Last year, the Board funded the pilot project with the understanding that the Office of Sustainability would have a report ready to present along with this year's request. Unfortunately, given the timeline change to the SFRB this year, the Green Fund was unable to solicit and complete student led projects. The SFRB recommends that the Green Fund continue to look for additional outside funding and encourages the fund to consider allocating the \$50,000 over a two year period to prevent a gap in funding sustainability projects. The SFRB welcomes future applications for funding from the Office of Sustainability Services accompanied by evidence of prior funding's impact.

Student Patrol

The SFRB determined that the Student Patrol should be funded at \$0 out of concerns for student safety and necessity for such a program during daytime hours. Board members showed initial hesitation when presented with the proposed model for high traffic hours included as part of the program. The Board further questioned whether the program could lead to potential safety issues for student patrol members should they not receive proper training. Ultimately, the Board was not in favor of funding and encouraged the applying unit to consider whether the impact of such a program could be increased if the hours of services were modified to later times during the evening and at night.

Recreational Services – One Time Request

The SFRB determined that the Recreational Services One Time funding should be funded at \$0 out of concerns that the funding was planning and not the actual facility. Students understand that this is an important proposal, there was a request that this project seek funding from the University and resubmit a proposal for next year with more concrete

information. The space Recreational Services is looking at should also be reserved in preparation for future development of the proposal.

Recommended Decreases in Funding for FY 16

Project for New Mexico Graduate Students of Color (PNMGC) (Decrease - \$18,000)

The SFRB recognizes the importance of PNMGC in reaching out to students of color at UNM. However, when considering budgetary items, the Board felt that expenses for food and banquets were too high in comparison with budgetary allocations to similar groups. As such, the SFRB recommends maintaining funding for PNMGC with reductions in catering and banquets (Faculty of Color Awards) of \$8,000 and \$10,000, respectively. This reduction does not reflect a lack of support for PNMGC, but rather a decision by the Board that closer fiscal spending on such items would allow for a balance to allocation for new organizations focused on academic support. Therefore **the Board recommends a \$18,000 decrease in PNMGC's FY15 allocation of \$74,000 to a total \$56,000.**

Global Education Office (Decrease - \$19,200)

One of President Frank's 2020 goals is to increase UNM's global lobo experience, and the Global Education Office has taken significant steps to achieve that goal. **SFRB currently recommends funding GEO at \$49,864 a reduction of \$19,200** FY15 with excess funds up to \$58,500 (\$35,000 study abroad & \$14,864 OIPS) to be funded out of GEO's reserves. This allows for new programs to continue to be funded until effectiveness of the study abroad program can be determined and reported in FY17 application, as well as encouraging GEO to commit to OIPS programming. SFRB supports GEO in all of its programs. Given GEO's large reserve, the Board also recommends students not be turned away from scholarship opportunities while surplus funds exists.

Requested Decrease in Funding

KUNM Radio (Decrease - \$6,748)

KUNM requested a decrease of \$6,748 in their FY16 application from the FY15 allocation of \$68,970 to a **recommended amount of \$62,222.**

Recreational Services (Decrease - \$8,552)

Recreational Services requested a decrease of \$8,552 in their FY16 application from the FY15 allocation of \$859,256 to a **recommended amount of \$850,704.**

Recommended One-Time Funding for FY16

University Libraries (Funded - \$68,000)

The Board recognizes the tremendous support University Libraries give to student. However, in deliberating the one-time request of this unit, the Board felt that funding being requested can be prioritized and opted to fund lockers for graduate students in Zimmerman and Centennial Libraries, and purchase one KIC Bookeye Color Scanner/Copier. **A total amount of \$68,000.**

Information Technologies (Funded - \$50,000)

IT is one of the biggest contributors to student access. While we do acknowledge this fact, the Board took into consideration limited funding and the need to be discretionary with fee increases, and therefore **recommended funding at \$50,000** instead of the \$100,000 one-time requested for a pilot student equipment (laptops, tablets, eReaders, and other technologies) checkout program for student use on campus.

LGBTQ Resource Center (Funded - \$8,500)

The LGBTQ Resource Center applied initially for \$12,500 for the purpose of replacing stolen computer technology, student scholarships, and funding for a branch resource center in Gallup. Upon consideration and communication with the LBGQT Resource Center it was determined the lost computers could be replaced through currently unused stock; that came from GPSA and IT surplus. Additional reductions were made to the one-time request on the line item of supplemental funding to the prospective Gallup branch campus. The SFRB recommends the **LGBTQ Resource Center be funded in FY16 at \$8,500**. This represents a one-time increase in FY16 of \$5,000 from FY15's allocation of \$3,500.

College Assistance Migrant Program (Funded - \$35,000) - First Time Applicant

As a new applicant to the SFRB, CAMP is seeking funding for support of the Rural Student Project, assisting rural students in transitioning to higher education. As it is recognized that these students exhibit financial hardship and an unfamiliarity with an urban culture, they are often not as prepared for higher education as their urban counterparts. As a new program, the SFRB is unable to provide the full amount of funding requested. However, as a recognition of the need for such a program on campus, the **SFRB recommends financial support for FY16 in the amount of \$35,000.**

College Enrichment Program (Funded - \$25,000) - First Time Applicant

As a new applicant to the SFRB, CEP is seeking funding for the University Community Research Initiative (UCRI) which aims to advance student engagement in research and community involvement, while preparing students for graduate and professional opportunities. The proposal to stimulate and promote undergraduate research while preparing students for educational success through academic tutoring, research instruction, and mentoring is well justified. However, the Board would like to see a detailed application process and clear criteria for applying provided in future applications. As a recognition of the need for such a program on campus, the **SFRB recommends financial support for FY16 in the amount of \$25,000** (\$16,000 graduate assistantships and \$9,000 scholarships). The Board also recommends CEP look into need based financial aid, set aside by tuition increases, for obtaining funding for their applicants.

Graduate Resource Center (GRC) - (Funded - \$127,125) - First Time Applicant

As a new applicant to the SFRB, the GRC provides significant services to graduate students that allow them to negotiate eventual completion of masters and doctoral degrees. The center relies heavily on student employment to be able to offer the types of services and programs necessary. GRC applied for the first time this year to pay for student employment positions that would no longer be being covered by grants. The SFRB feels strongly toward student employment opportunities within units. The SFRB feels that the GRC should be able to seek other funding opportunities to supplement the budget reduction. **SFRB recommends that we fund the GRC at \$127,125, a reduction of \$15,000 to this first time requesting unit.**

El Centro de la Raza (Funded - \$24,389)

The SFRB is thankful for the work El Centro de la Raza does in recruiting, training, and supporting Chicano students on campus and providing a diverse cultural community for the University. As part of the current programming offered within El Centro, the Lobos Unidos program provides support to underrepresented, low income, and rural students by assisting them to understand how to be successful at the university level. As the external funding for the Unidos Project is scheduled to expire in September 2015, the **SFRB recommends an increase of \$24,389 to fund the 8 student employment positions within the Lobo Unidos program on a one-time basis.**

Student Activities Center (Funded - \$41,100)

The SFRB is grateful for the services provided by the Student Activities Center (SAC). The SAC knows the heartbeat of the students and provides services to a diverse set of student interests. In order to continue serving UNM's diverse student population, the SAC required a marketing position. The SFRB is excited at the opportunity to fund a position designed for promotion and outreach. The Board therefore recommends that fund the SAC at a one-time amount of \$41,100, a \$14,000 increase from the **FY15 one-time allocation of \$27,100.**

Recommended Funding with Specific Changes for FY16

Parking and Transportation Services (Recommended - \$50,000)

The SFRB acknowledges the importance and significant benefit to students the bus pass sticker provides. The program is highly utilized and is provided at a reduced cost, allowing students access to campus and around Albuquerque. This year the SFRB received feedback from PATS that it would be possible to change the funding model to accommodate a consistent request of \$50,000 on a recurring basis rather than \$100,000 biannual one-time funding. With this in mind the SFRB recommended a budget decrease of \$50,000 and a reclassification of funding from one-time to recurring. Overall, SFRB recommends that we decrease **Parking and transportation services in FY16 by \$50,000 to \$50,000**

The Student Fee Review Board is grateful for the opportunity to have open dialogue with entities on campus that directly support student success. We are confident the recommendations offer a responsible increase to student fees by serving the best interests and needs of our students. We look forward to engaging in constructive conversations with the Budget Leadership Team and hope that the team will sincerely consider funding requests at the recommended figures. We believe that the timeline shift as well as the changes to the application process have been successful and meaningful to the units. While the new deadline inhibited certain units from providing significant evidence of last year's funding supporting students, it will be helpful for the long-term.

Overarching

Next Year's Applications to include:

- Increase in funding, surplus per year
- Overall surplus & justification of surplus

The Board recommends a carryover of \$232,677.62 in our one-time account. Surplus is reserved by the Board in case of possible shortfalls in future budget cycles. Any budget expenses from SFRB reserves in FY16 for budget shortfalls should be discussed with SFRB at the beginning of FY16-17. Likewise, any excess budget reserves should be reserved for spending by the Board for one-time initiatives in FY17.

SFRB Student Activity Fee Recommendation **FY16: \$32,606,640 (Recurring)** and
\$32,985,754 (Recurring and One-Time Funding)
(Expected **Fee Increase for Recurring Funding: \$404,186, or 1.26% FY15**)



Student Fee Review Board

Board Membership

Rachel Williams	Chair, ASUNM President
Texanna Martin	Vice-Chair, GPSA President
Earl Shank	Undergraduate
Brad Sedillo	Undergraduate
John Garrity	Undergraduate
Nadia Cabrera	Undergraduate
Divana Olivas	Undergraduate (Alternate)
Wayne Thorpe	Graduate
Valentine Fisher	Graduate (Alternate)
Matthew Rush	Graduate (Alternate)

TUITION AND FEE ALTERNATIVES

- Differential tuition requests
 - School of Engineering Undergraduate
 - Speech and Hearing Sciences Graduate
- Review of current tuition model
- Proposed guaranteed tuition model
- Proposed 4-year graduation incentive model
- Branch Campus tuition increases

FY 16 PROPOSED DIFFERENTIAL TUITION REQUESTS

<u>Undergraduate</u>	Existing Differential (per credit hour)	Proposed Differential (per credit hour)	Proposed Change (per credit hour)	Administration Recommendation
School of Engineering	\$0.00	\$15.00	\$15.00	<i>Approved</i>

<u>Graduate</u>	Existing Differential Rate (per credit hour)	Proposed Differential Rate (per credit hour)	Proposed Change (per credit hour)	Administration Recommendation
School of Public Administration	\$50.00	\$75.00	\$25.00	<i>Not Approved</i>
Speech and Hearing Sciences	\$150.00	\$119.00	(\$31.00)	<i>Approved</i>



UNM SCHOOL OF ENGINEERING UNDERGRADUATE DIFFERENTIAL TUITION PROPOSAL

**Joseph L. Cecchi
Dean, School of Engineering
UNM Board of Regents
Budget Summit
April 10, 2015**



In accordance with UAP Policy 8210:2.2, the School of Engineering proposes an undergraduate differential tuition of \$15/credit hour

Comparison of (AY 15-16) UNM differential tuition to 22 peers (AY 14-15)

Institution	(AY 14-15) Base Tuition/ Year	Engineering Differential/Year	Total Engineering/ Year
UNM	\$5,006*	\$450*	\$5,456
22 Peer Average	\$7,966	\$1,691	\$9,657
Difference	\$2,960 (59%)	\$1,241 (278%)	\$4,201 (77%)

This differential tuition represents an increase of ~ 9% above the base UNM tuition, compared to an average of ~21% for our 22 peers

With proposed differential tuition, UNM is still lower than any of our 22 peers

*assuming 30-36 credit-hours/year – the “15-18 credit hour/semester block”


How do we rank compared to our peers?

- US News rankings for undergraduate engineering programs lists 13 of our 22 peers above UNM
- This puts UNM near the middle of our 22 peers as far as undergraduate engineering rankings
- This is well above where our tuition and fees (including differential tuition) are relative to our peers

Faculty and Student Involvement in the Differential Tuition Proposal – Communication and Feedback


- 7/30/2014 – 10/22/2014 - extensive discussion at School of Engineering Leadership Council Meetings (chairs and associate deans) - Chairs discussed with their faculty
- 11/3/2014 - Proposal finalized and posted online
- 1/14/2015 – Leadership Council develops student communication and feedback plan
- 2/4/2015 – 2/11/2015 – Chairs and associate deans discuss proposal with groups (15-30) of students in each department
- 2/11/2015 – Dean sends provost student feedback
- 2/13/2015 – Dean sends email to all Engineering undergraduates inviting them to Information Meetings
- 2/18-19/2015 – Dean hosts information meetings
- 2/19/2015 – Differential tuition survey invitation sent to students
- 3/1/2015 – Survey closes

School of Engineering
INFORMATIONAL Meeting
on the undergraduate differential tuition proposal



Please join School of Engineering Dean Joe Cecchi and the school leadership in the Centennial Engineering Center Auditorium (Room 1041) on Thursday, February 19, 2015, to learn about the school's proposed differential tuition. Dr. Cecchi will provide more details, answer your questions, and will welcome your feedback.

Dean Joe Cecchi

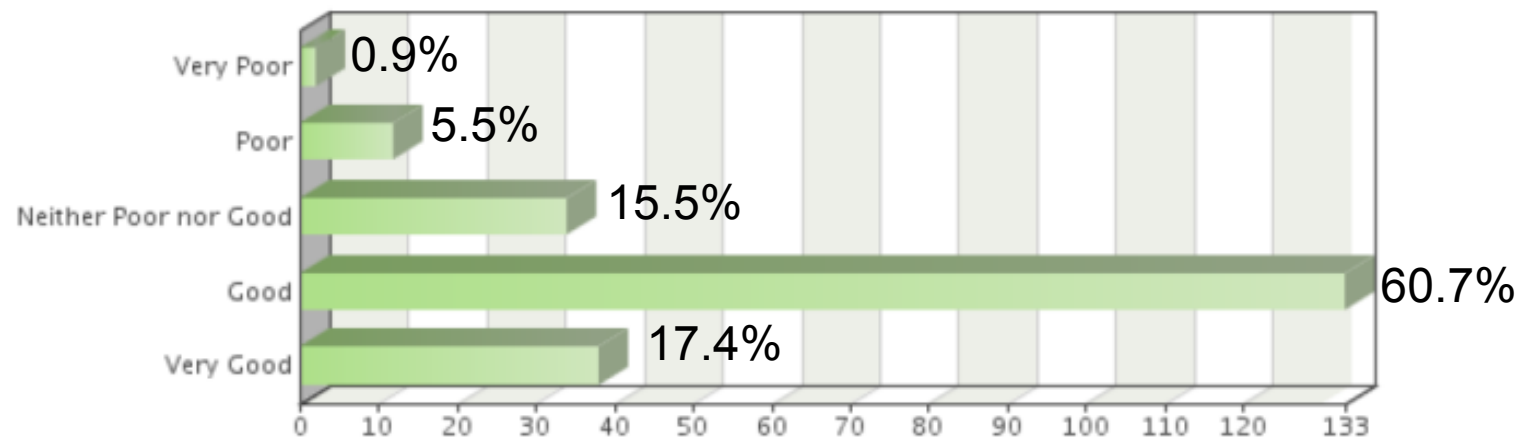


Noon-1p.m. Thursday, Feb. 19
Centennial Engineering Center Auditorium

Student Survey: Overall Quality of Instruction

Question 1

What is your opinion as to the overall quality of instruction at UNM School of Engineering?

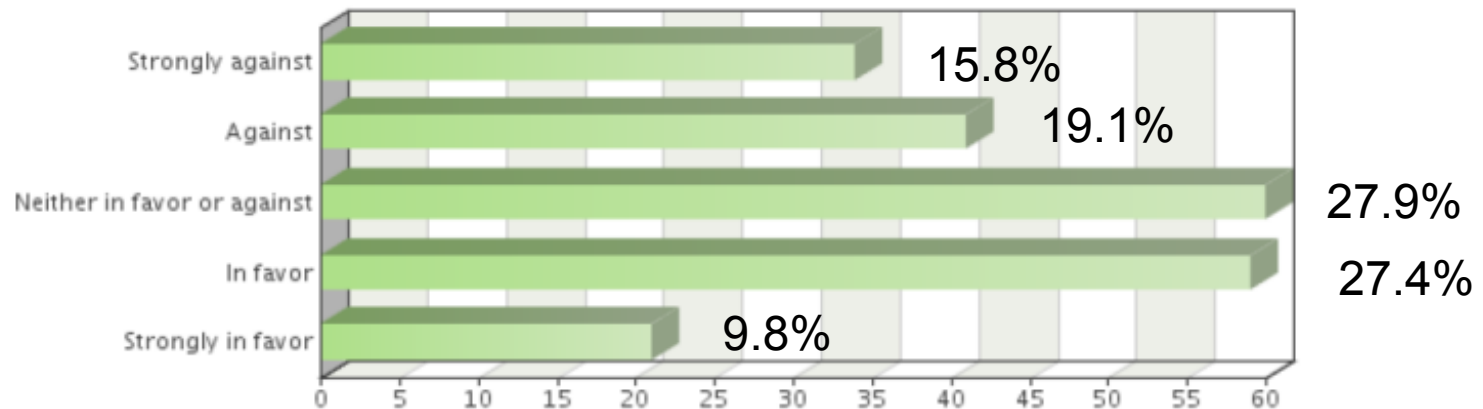


78% rated School of Engineering overall quality as “good” or “very good”

Student Survey: Opinion of Differential Tuition Proposal

Question 4

How do you feel about the School of Engineering proposal for a \$15/credit hour (\$450/year) differential tuition to be used entirely for undergraduate instructional purposes, including student aid (20%), TAs, advising personnel, faculty (including lecturers), and other operating expenses?



65% rated proposal as “neutral,” “in favor” or “strongly in favor”

CURRENT TUITION MODEL

- Students pay tuition for every credit hour 1-14
- Credit hours 15-18 are offered at a discounted flat rate to incentivize larger course loads
 - \$5,007 tuition for 15-18 hours (11% discount)
 - \$5,646 for 12 hours
- 15 hour course loads each semester are critical to graduating in four years, but are only part of the equation
 - 55% of undergraduates take 15 or more credits per semester
 - Only 16% graduate in four years

	Freshman	Sophomore	Junior	Senior	Total
Fall	15	15	15	15	
Spring	15	15	15	15	
Total	30	30	30	30	120

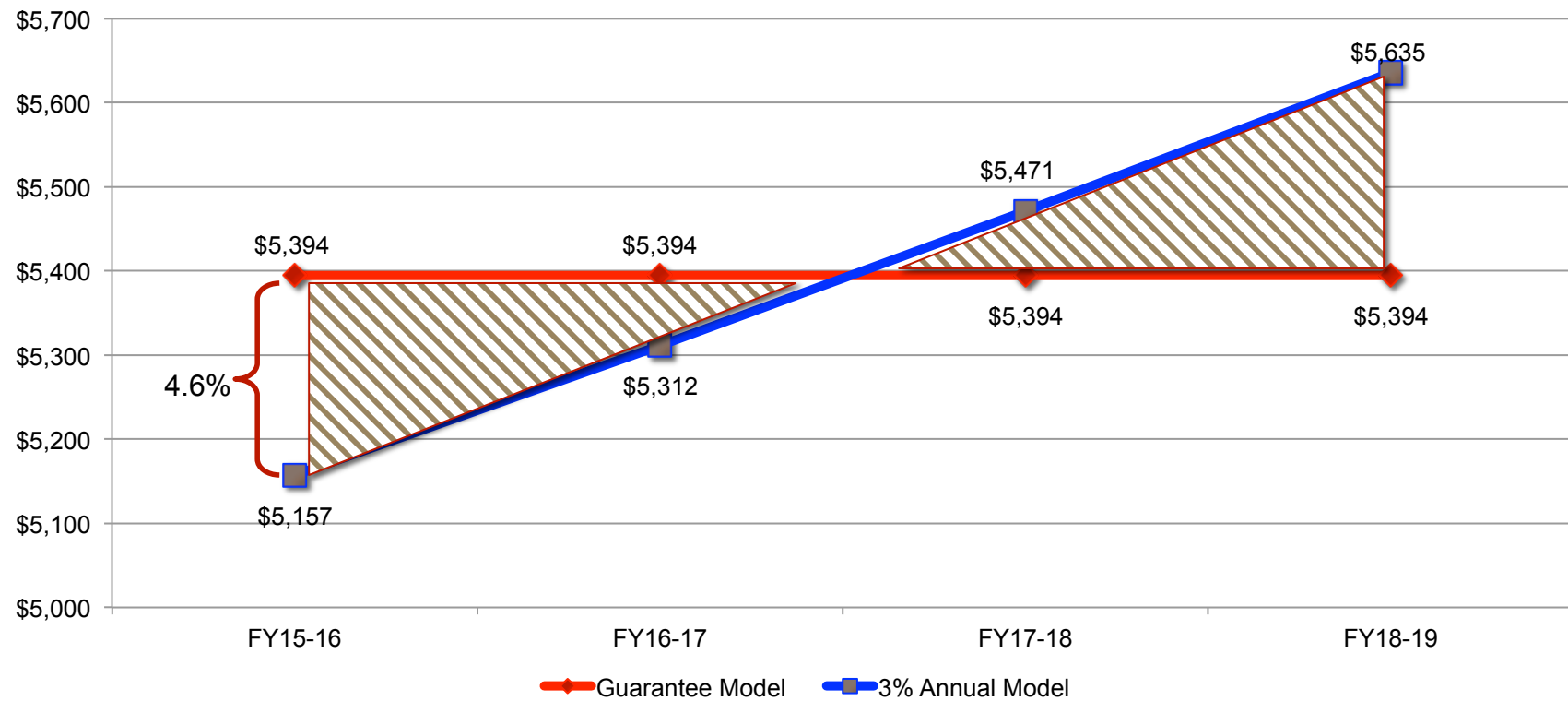
PROPOSED GUARANTEED TUITION MODEL

- Cost-neutral option that provides stability and predictability for tuition and fees
- Students pay up-front surcharge and receive flat tuition for four years
- Overall cost is equivalent to traditional increase model
 - Cost neutral to students, revenue neutral to university

Tuition Guarantee Model						
	2015 Cohort FY 16	2015 Cohort FY 17	2015 Cohort FY 18	2015 Cohort FY 19	4 Year Average	4 Year Total
Resident	\$5,157	\$5,312	\$5,471	\$5,635	\$5,394	<u>\$21,575</u>
% increase	3.00%	3.00%	3.00%	3.00%	3.00%	
\$ increase	\$150	\$155	\$159	\$164		
Guaranteed Tuition						
Resident	\$5,394	\$5,394	\$5,394	\$5,394		<u>\$21,576</u>
Surcharge	\$237					
Standard Tuition	\$5,157					

PROPOSED GUARANTEED TUITION MODEL

Guaranteed Tuition vs Traditional 3% Increase



REGENT DOUGHTY'S PROPOSED FOUR-YEAR GUARANTEE & GRADUATION INCENTIVE

- Students on track to graduate in four years would receive free tuition their final semester
 - New full-time freshmen students would be eligible for free tuition in their eighth semester
 - Students beginning their sophomore and junior years would be eligible for partial incentives
- Regents intend to increase tuition 3% per year
 - This provides stability and predictability for students and their families to plan
- Provides a comprehensive suite of student incentives that sets UNM apart from every flagship university in the country

REGENT DOUGHTY'S PROPOSED FOUR-YEAR GUARANTEE & GRADUATION INCENTIVE

Projected Tuition Increases					
	FY15-16	FY16-17	FY17-18	FY18-19	Total
Tuition	\$5,157	\$5,312	\$5,471	\$5,635	\$21,575
Annual % Increase	3%	3%	3%	3%	12.6%
Annual \$ Increase	\$150.20	\$154.71	\$159.35	\$164.13	\$628
Cumulative \$ Increase	\$150.20	\$304.91	\$464.26	\$628.38	

4-Year Graduation Tuition Incentive - Phased	Incentives by Class				
	2012 Cohort	2013 Cohort	2014 Cohort	2015 Cohort	2016 Cohort
Class	Seniors	Juniors	Sophomores	Freshmen	HS Senior
Incoming Freshmen	3372	3471	3089	3200	3300
4-Yr Grad Rate	17%	18%	20%	22%	25%
Est. Eligible Students	573	625	618	704	825
Scholarship Amount	\$0	\$750	\$1,000	\$2,818	\$2,902
Total Cost	\$0	\$468,585	\$617,800	\$1,983,872	\$2,394,150

Lottery Estimate*	\$0	\$187,434	\$240,942	\$1,160,565	\$1,307,206
--------------------------	-----	-----------	-----------	-------------	-------------

UNM Cost	\$0	\$281,151	\$376,858	\$823,307	\$1,086,944
-----------------	-----	-----------	-----------	-----------	-------------

*Lottery Estimate based on 78% of students receiving Lottery Scholarship and scholarship covering 85% of tuition in FY17, 80% in FY18, 75% in FY19, and 70% in FY20

Regent Doughty's Four-Year Graduation Incentive & Guarantee Model

The following tables show an implementation plan for a four-year graduation incentive that provides scholarships to students in their final semester if they graduate in four years. At full implementation, the model will provide free tuition for the final semester, and will be in place as an incentive for new first-time, full-time freshman entering UNM in Fall 2015. This plan also provides predictability in tuition with the Regents intent to increase tuition at 3% each year for the next four years.

For students currently enrolled in UNM, the incentive phases in with gradually increasing amounts for each class. Further, the scholarship amounts available to current students are set at amounts above the level of annual tuition increases. The cumulative tuition increases and incentive amounts increase for each cohort as shown in the tables below.

These tables also take into account the projected changes in enrollment and graduation rates, which together increase the number of students eligible to participate. Finally, an estimate of Lottery Scholarship support for eligible students is provided to project as accurate as possible a cost estimate for implementation.

Projected Tuition Increases					
	FY15-16	FY16-17	FY17-18	FY18-19	Total
Tuition	\$5,157	\$5,312	\$5,471	\$5,635	\$21,575
Annual % Increase	3%	3%	3%	3%	12.6%
Annual \$ Increase	\$150.20	\$154.71	\$159.35	\$164.13	\$628
Cumulative \$ Increase	\$150.20	\$304.91	\$464.26	\$628.38	

4-Year Graduation Tuition Incentive - Phased In Approach	Incentives by Class				
	2012 Cohort FY15-16	2013 Cohort FY16-17	2014 Cohort FY17-18	2015 Cohort FY18-19	2016 Cohort FY19-20
Class	Seniors	Juniors	Sophomores	Freshmen	HS Senior
Incoming Freshmen	3372	3471	3089	3200	3300
4-Yr Grad Rate	17%	18%	20%	22%	25%
Est. Eligible Students	573	625	618	704	825
Scholarship Amount	\$0	\$750	\$1,000	\$2,818	\$2,902
Total Cost	\$0	\$468,585	\$617,800	\$1,983,872	\$2,394,150

Lottery Estimate*	\$0	\$187,434	\$240,942	\$1,160,565	\$1,307,206
--------------------------	-----	-----------	-----------	-------------	-------------

UNM Cost	\$0	\$281,151	\$376,858	\$823,307	\$1,086,944
-----------------	-----	-----------	-----------	-----------	-------------

*Lottery Estimate based on 78% of students receiving Lottery Scholarship and scholarship covering 85% of tuition in FY17, 80% in FY18, 75% in FY19, and 70% in FY20

FY 16 BRANCH CAMPUSES PROPOSED TUITION INCREASES

The local Advisory Boards, with exception of the Valencia Advisory Board, scheduled to meet April 15, have met and have approved the following increases in resident tuition for FY 15/16. In the future, the Administration recommends implementing consistent tuition rates across the branch campuses: \$75 Resident and \$205 Non-Resident. There are no changes to student fees.

Resident		Branch Campus Proposal		Administration Recommendation	
Branch	Current Tuition	Branch Proposed Tuition	Tuition Increase	Administration Recommendation	Tuition Increase
Gallup	\$60.60	\$64.90	7.10%	\$75.00	23.76%
Los Alamos	\$69.25	\$74.00	6.86%	\$75.00	8.30%
Taos	\$72.00	\$75.00	4.17%	\$75.00	4.17%
Valencia	\$61.30	\$65.75	7.26%	\$75.00	22.35%

Non-Resident		Branch Campus Proposal		Administration Recommendation	
Branch	Current Tuition	Branch Proposed Tuition	Tuition Increase	Administration Recommendation	Tuition Increase
Gallup	\$160.60	\$172.00	7.10%	\$205.00	27.65%
Los Alamos	\$199.00	\$205.00	3.02%	\$205.00	3.02%
Taos	\$187.00	\$195.00	4.28%	\$205.00	9.63%
Valencia	\$170.50	\$184.25	8.06%	\$205.00	20.23%

**UNM Main Campus
Budget Development
Fiscal Year 2016
Summary (in thousands)**

Category	% Increase
Tuition	3.00%
Fees	4.66%
Tuition & Fees	3.37%

Annual Undergrad Resident Student Cost at 15 hrs	
Tuition	\$150.20
Fees	\$67.10
Tuition & Fees	\$217.30

Sources of Funds:	Projected		FY 16 Preliminary
	FY15 Revised	Changes	
State I&G General Fund:			
State Appropriation I&G Pooled Base ¹	188,606		188,606
Main Campus State Appropriation Change: HB2	0	1,610	1,610
HSC State Appropriation Change: HB2	0	506	506
Subtotal State I&G General Fund - FY 16 Budget	188,606	2,116	190,722
Tuition:			
Starting Base	134,007		134,007
Adjustment: Enrollment Increase/Decrease	-2,700	0	-2,700
Adjustment: Accumulated Bad Debt Payoff	0	1,182	1,182
Net Tuition Increase	0	3,546	3,546
Subtotal Tuition	131,307	4,728	136,035
Miscellaneous			
Administrative Overhead	0	130	130
F&A Revenues	21,000	-500	20,500
Land and Permanent Fund Revenue	8,800	0	8,800
Interest Income	800	0	800
Miscellaneous Fee Revenue (Testing fees, Thesis Binding, Library Fines)	192	0	192
Transfer to Student Aid	-11,005	-719	-11,724
Transfer to Plant	-11,533	0	-11,533
Net Other Transfers (F&A, Endowment Investment Income, misc.)	-18,240	526	-17,714
Main Campus Institutional Reserves: One-Time			
- Self-Insurance Reserve ³	1,600	-1,600	0
- Building Renewal and Replacement Reserves	1,000	-1,000	0
- Administrative Reserves	200	-200	0
- Student-Aid Reserves	146	-146	0
One-Time Use of Reserves	1,500	-1,500	0
Subtotal Miscellaneous	-5,541	-5,009	-10,550
Health Sciences Center Transfers:			
Health Sciences Center Base ²	-17,503		-17,503
Adjustment: Tuition True-Up	-305	0	-305
Health Sciences Center Formula Workload/Outcomes	0	-506	-506
Health Sciences Center Tuition - Estimate	0	-264	-264
Subtotal Transfers to Health Sciences Center from I&G Base	-17,808	-770	-18,578
Mandatory Student Fees:			
Starting Base	32,202		32,202
Adjustment: Enrollment Decline	-900	0	-900
One-Time Self-Insurance Reserve ³	900	-900	0
Net Mandatory Student Fee Increase	0	1,305	1,305
Subtotal Mandatory Student Fees	32,202	405	32,607
Total Sources of Funds:	328,767	1,470	330,236
Uses of Funds:			
I&G Allocations and Requests:			
Expenditure Base	295,837	0	295,837
Expenditure Base Reductions - Academic Affairs: 1.00%	0	-1,749	-1,749
Expenditure Base Reductions - Administration: 1.63%	0	-1,103	-1,103
Other Base Adjustments:			
UNM West	325	0	325
Academic Affairs	53	0	53
Administration	50	0	50
Fixed Costs:			
Health Care	0	0	0
Utilities	0	333	333
Property & Liability Insurance	-42	0	-42
Pooled Fringe Benefits	342	392	734
Funding Priorities:			
Advisors	0	140	140
Compliance Initiatives	0	355	355
Faculty Compaction	0	689	689
Faculty Promotions	0	300	300
Faculty Retention	0	240	240
Graduate Resource Center	0	100	100
Introductory Study Courses	0	100	100
IT - Information Security/Privacy/Applications/Software Maintenance	0	400	400
LoboRESPECT Advocacy Center	0	106	106
LoboAchieve	0	40	40
Marketing/Recruitment Efforts	0	620	620
GA/TA Tuition Waivers - expenditure increase tied to a tuition increase	0	102	102
Subtotal Allocations and Requests	296,565	1,065	297,629
Mandatory Student Fee Allocations and Requests:			
Expenditure Base	32,202		32,202
Funding Requests	0	405	405
Subtotal Mandatory Student Fee Allocations and Requests	32,202	405	32,607
Total Uses of Funds	328,767	1,470	330,236
Balance	0	0	0

Footnotes

1) UNM State Appropriation base is \$189,147,900. Extended University receives \$541,900.

2) The HSC transfer base is net of a \$2.1M transfer from HSC to Main campus and a (\$19.6M) transfer to HSC from Main Campus.

The transfer to HSC includes HSC generated tuition revenue and State funding formula dollars per agreed upon amounts between HSC and Main campus and does not reflect total dollars generated by HSC. The transfer to HSC could increase or decrease each year per agreement due to incremental changes in tuition generated and/or in funding formula outcomes compared to the previous fiscal year.

3) Total FY 15 Use of Self-Insurance reserve is \$2.5M.



THE UNIVERSITY OF NEW MEXICO
MAIN CAMPUS
FY 2015-16 STUDENT FEES

Requestors of Student Fees	FY 2014-15 Budget (A)	FY 2015-16 President - Recommendation	
		Increase (Decrease) (B)	Budget (A) +/- (B)
<u>Student Activity Fees</u>			
Student Health and Counseling	4,648,295	0	4,648,295
New Mexico Union	1,939,402	471,558	2,410,960
New Mexico Union Repair & Replacement	304,498	(304,498)	0
Univ Library Acquisitions	781,786	25,353	807,139
UNM Childrens Campus	384,532	0	384,532
IT Initiatives	1,893,907	106,093	2,000,000
Center of Academic Support (CAPS)	281,376	56,660	338,036
UNM Public Events (Popejoy)	165,000	15,000	180,000
Recreational Services	859,256	(8,552)	850,704
Athletics	4,000,000	0	4,000,000
Student Govt. Accounting Office	180,929	0	180,929
Global Education Office	69,064	(19,200)	49,864
LGBTQ Resource Center	133,764	0	133,764
Women's Center	107,909	1,000	108,909
Career Services	32,858	0	32,858
KUNM	68,970	(6,748)	62,222
Community Learning & Public Service	79,480	35,520	115,000
American Indian Student Services	98,250	0	98,250
Project for NM GS of Color	74,000	(18,000)	56,000
Music Bands	74,165	0	74,165
Theatre and Dance	32,133	0	32,133
El Centro De La Raza	166,872	0	166,872
African American Student Svc AASS	91,768	0	91,768
CASAA/COSAP	19,234	0	19,234
Parking & Transportation Services	0	50,000	50,000
<u>Graduate Allocation Fund (GAF)</u>			
GPSA Graduate Scholarship Fund	46,760	0	46,760
GPSA Student Research Grant	89,134	0	89,134
<u>Debt Service</u>			
Debt Service-ERP Project Fee	2,388,000	0	2,388,000
Debt Service-Facility Fee	12,000,000	0	12,000,000
<u>ASUNM/GPSA</u>			
ASUNM - Accounting office	40,162	0	40,162
ASUNM	850,000	0	850,000
GPSA	300,950	0	300,950
Total - Main Campus Current Funds	32,202,454	404,186	32,606,640
<u>SFRB - One Time Funding</u>			
Libraries	150,000	(82,000)	68,000
Information Technologies	75,000	(25,000)	50,000
Sustainability Services	50,000	(50,000)	0
Global Education Office	35,000	(35,000)	0
Popejoy	15,000	(15,000)	0
LGBTQ Resource Center	3,500	5,000	8,500
Women's Center	1,000	(1,000)	0
African American Student Services	15,500	(15,500)	0
College Assistant Migrant Program	0	35,000	35,000
College Enrichment Program	0	25,000	25,000
Graduate Resource Center	0	127,125	127,125
El Centro De La Raza	0	24,389	24,389
American Indian Student Services	5,000	(5,000)	0
Center of Academic Support (CAPS)	55,360	(55,360)	0
Student Activity Center	27,100	14,000	41,100
Total - SFRB One Time Funding	432,460	(53,348)	379,114
Total - Main Campus	32,634,914	350,840	32,985,754

MEMORANDUM

Date: April 7, 2015

To: Board of Regents Finance and Facilities Committee

From: Robert G. Frank, President

Re: FY15-16 Differential Tuition Requests

I am writing in response to the requests for differential tuition submitted for consideration for the 2015-16 academic year. The Budget Leadership Team considered three requests for the addition or modification of differential tuition:

- School of Engineering (new undergraduate)
- School of Public Administration (increase graduate)
- Speech and Hearing Sciences (decrease graduate)

The chart below contains details on the requests, as well as the administrative recommendation to the Regents for action. Supplemental materials to support these requests can be found in the attached materials.

<u>Undergraduate</u>	Existing Differential (per credit hour)	Proposed Differential (per credit hour)	Proposed Change (per credit hour)	Administration Recommendation
School of Engineering	\$0.00	\$15.00	\$15.00	<i>Approved</i>

<u>Graduate</u>	Existing Differential Rate (per credit hour)	Proposed Differential Rate (per credit hour)	Proposed Change (per credit hour)	Administration Recommendation
School of Public Administration	\$50.00	\$75.00	\$25.00	<i>Not Approved</i>
Speech and Hearing Sciences	\$150.00	\$119.00	(\$31.00)	<i>Approved</i>

Please do not hesitate to contact me should you have any questions.

Thank you.

UNM Health Sciences Center

FY 2016 Budget Planning
Presentation to the UNM Board of Regents
April 10, 2015



Paul B. Roth, MD, MS, FACEP
Chancellor
UNM Health Sciences Center

Ava J. Lovell, CPA
Senior Executive Officer
for Finance & Administration
UNM Health Sciences Center

Introduction

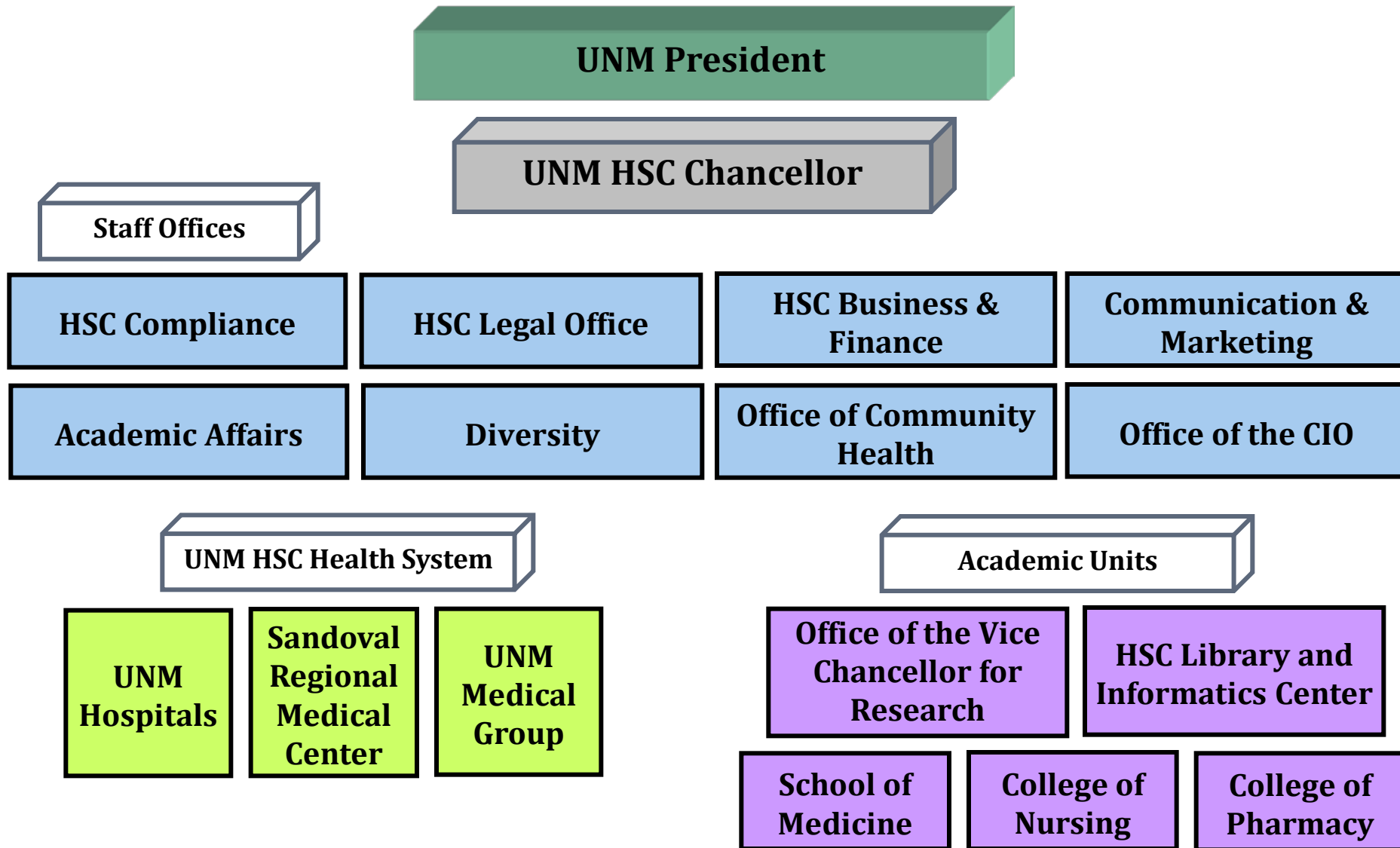
Paul B. Roth, MD, MS, FACEP
Chancellor
UNM Health Sciences Center

UNM Health Sciences Center

Vision

The University of New Mexico Health Sciences Center will work with community partners to help New Mexico make more progress in health and health equity than any other state.

UNM HSC Organization



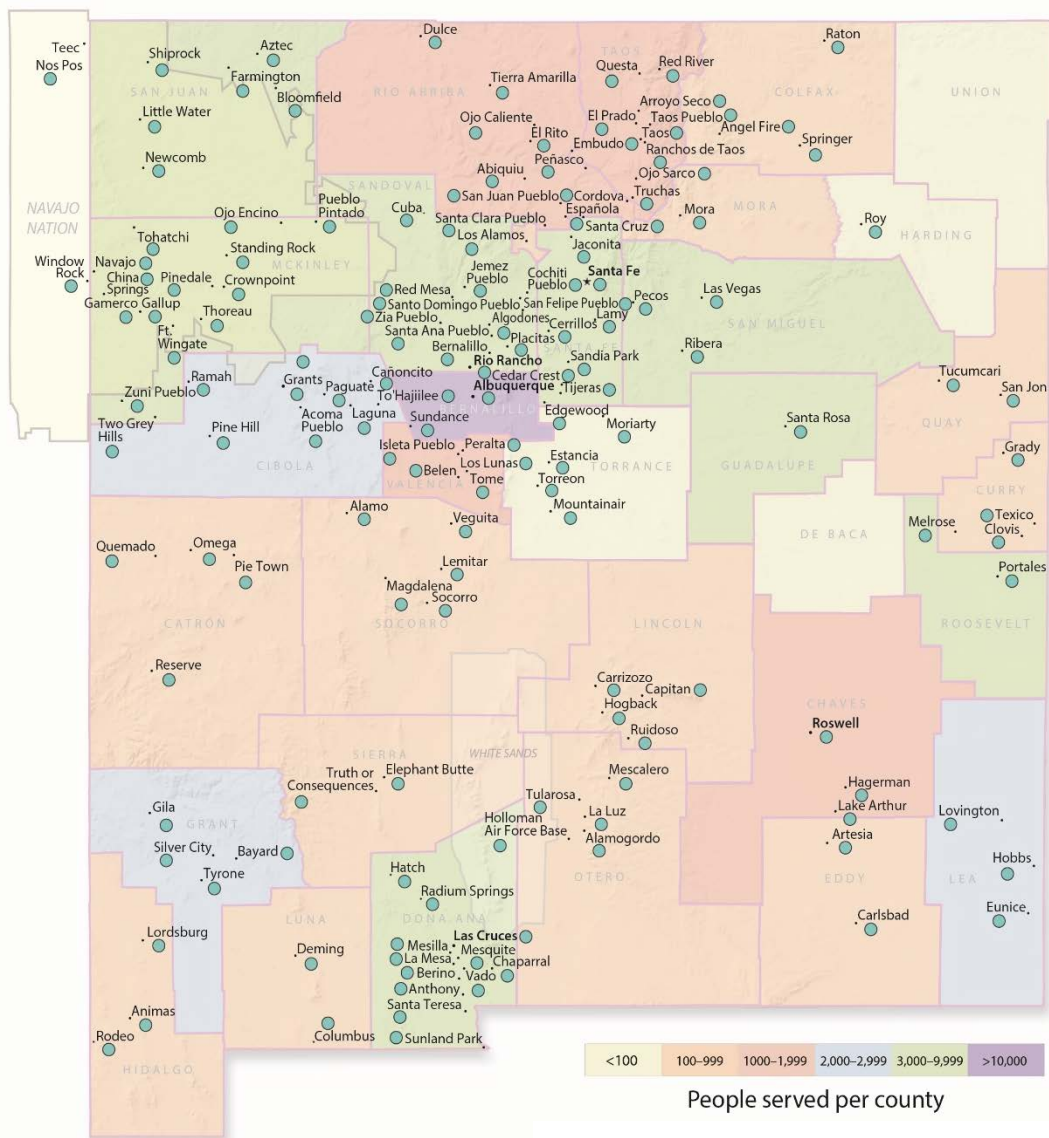
UNM Health Sciences Center

The whole state is our campus...

430 Activities in 152 Communities

UNM Health System clinical encounters are not included in the counts. Outreach activities include education, patient care, community research and telehealth sites.

Map reflects the county where activities occurred and the number of individuals served by the activities. Activity participants may not be residents of the county in which the activity occurred.



Strategic Initiatives are Driving the FY 2016 UNM HSC Budget

UNM 2020 #4 -Enhance Health and Health Equity in NM

Goal 1

- Provide community-wide solutions to improve public health and health care to those we serve.

Goal 2

- Build New Mexico's workforce by providing a premier education that prepares students to excel in serving the state's health needs.

Goal 3

- Foster discovery and innovation while translating our innovations into clinical or educational practice.

Goal 4

- Enable our people and programs to achieve their highest potential.

Goal 5

- Work to create a well-integrated academic health center that provides the most accessible, efficient and high quality of care and service.

Goal 6

- Celebrate a culture of diversity, integrity and transparency.

Recap: 2012 UNMHS Strategic Plan

UNM Health System Vision

As UNM HSC helps New Mexico make more progress in health equity than any other state, New Mexicans will choose UNMHS as their gateway to advancing patient care, clinical innovation, and continuous healthy living

UNMHS Focus Areas

2012 Strategic Goals

System Integration and Clinical Focus

- Culture of Excellence
- System Integration
- Clinical Focus
- Health System Identity
- Clinician Relationships

Operational and Financial Performance

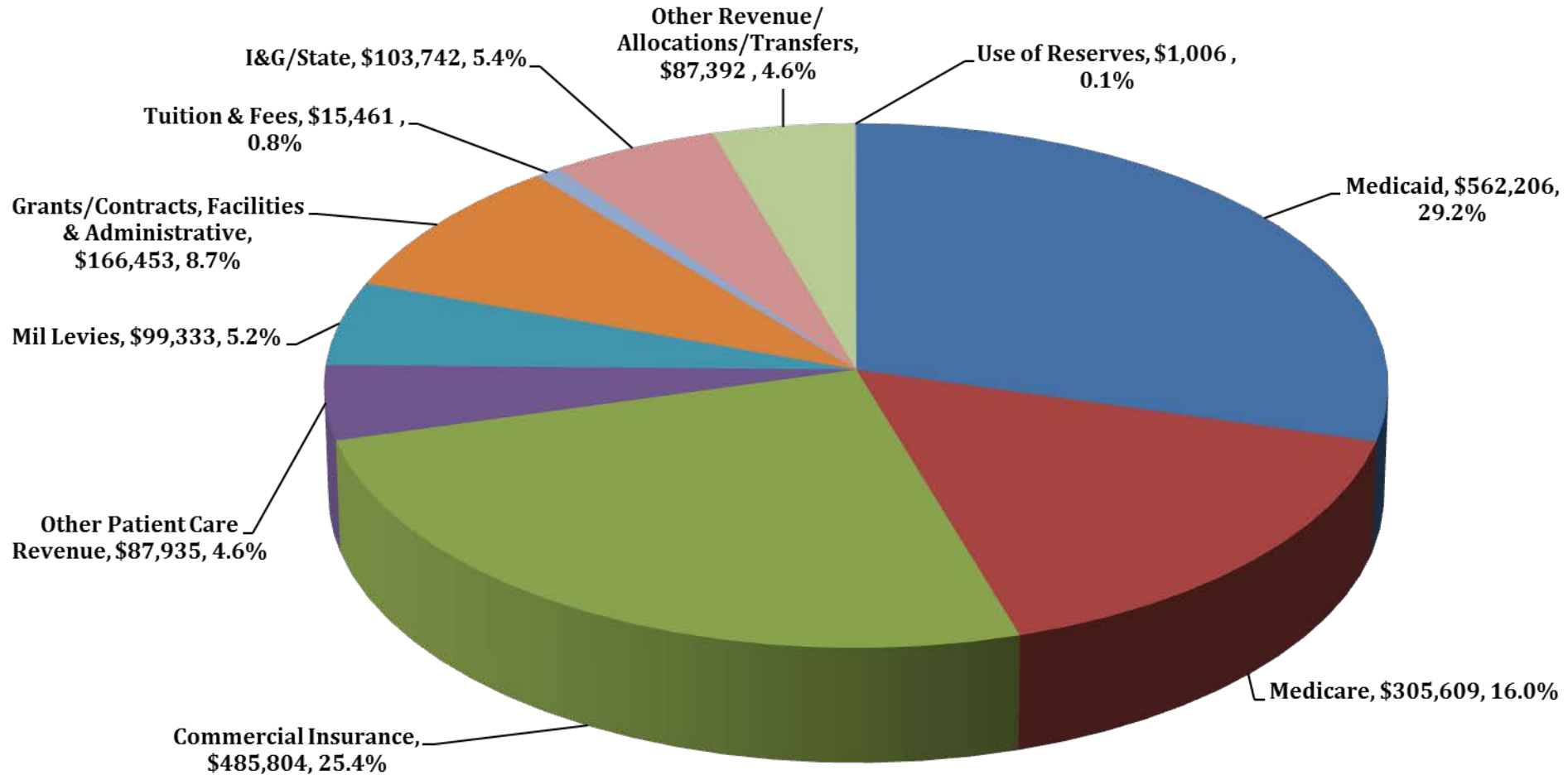
- Enhanced Patient Value
- Operational and Financial Strength

Health Reform Preparation

- Patient Access
- Patient Management and Health
- Strategic Partnerships

UNM HSC All Components - Revenues

FY 2016 Preliminary Budget
(In thousands)



Note: Includes UNM HSC Academic Enterprise and UNM Health System

Total Budgeted Revenues \$1,914,941
4.2% Increase over FY 2015 Projected

UNM Health Sciences Center

Budget Planning FY 2016

Presentation to:
UNM Board of Regents
April 10, 2015

Ava J. Lovell, CPA
Senior Executive
Officer for Finance & Administration

UNM Health System

FY 2016 - Financial Assumptions

- 5% growth in revenue driven by programmatic changes
 - Revenue increases from through put and operational efficiencies (Lean)
 - Expansion of outpatient services at 4th Street and Eubank clinics, women's clinics, behavioral health based primary care clinic and adolescent addictions program
- Clinical documentation improvement, ease of practice and computer assisted coding initiatives
- Expense growth in compensation and supplies driven by volume increases
- Medical malpractice premium increase of 19.8%
- Additional support for medical services including pathology, obstetrics, critical care, pediatrics, internal medicine and family community medicine

UNM HEALTH SYSTEM

Uncompensated Care

Total Costs for Hospitals & Doctors

2011	\$198 Million				
2012	\$221 Million				
2013	\$241 Million				
2014	\$229 Million				
Projected 2015	\$122 Million				
Projected 2016	\$126 Million				

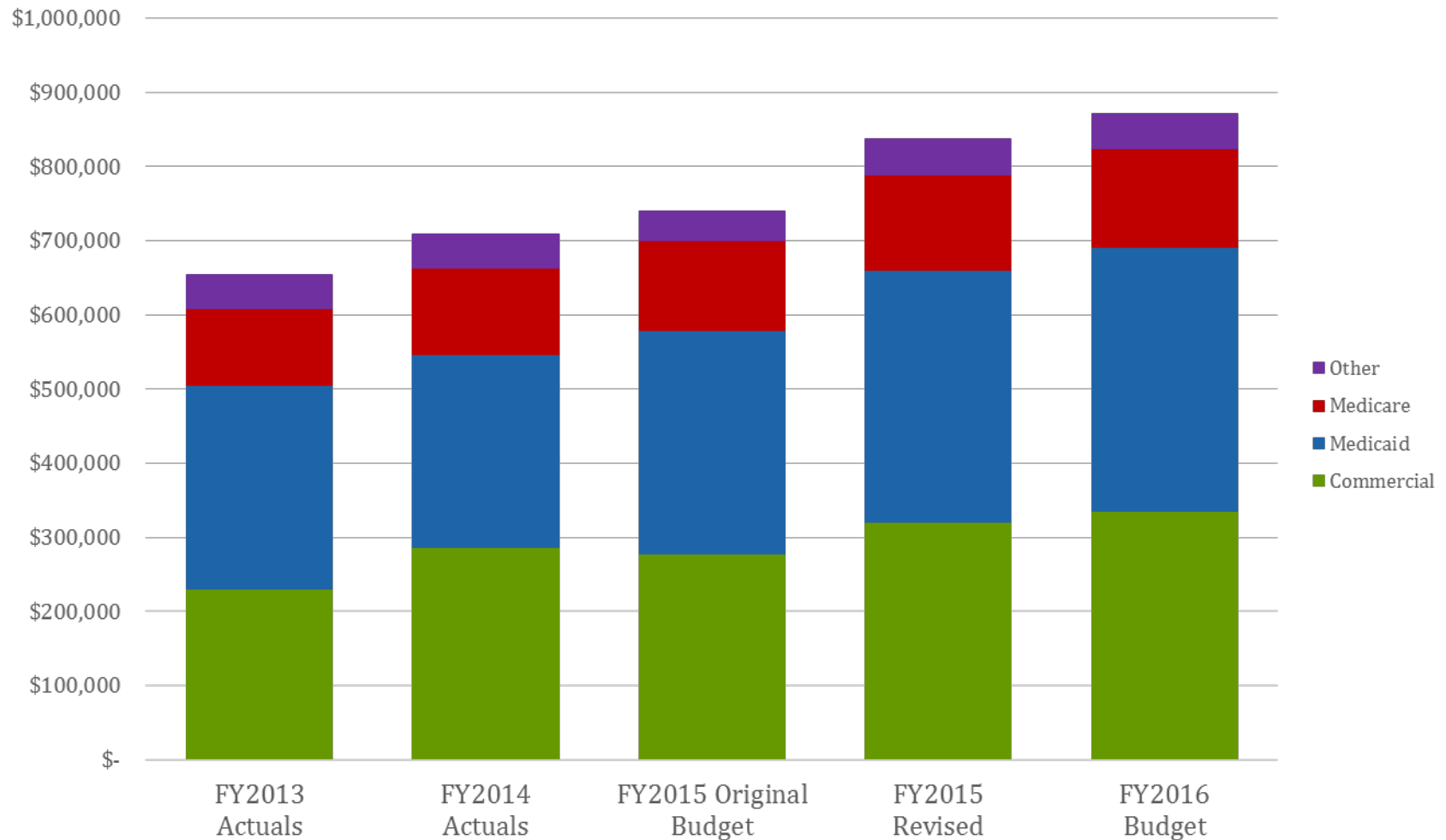
Note: We project that when the ACA is fully implemented by the end of 2016 our uncompensated care will still cost about \$126 million, or 12% of total care. Every 1% of uninsured patients = approximately \$10 million in costs for the UNM Health System

Who Are the Uninsured and Underinsured at UNM Hospitals?

- Primarily part-time employees or employees of small companies (49 or fewer employees) without an **affordable option**
- People who will be eligible for the exchange but choose not to enroll and will be subject to the personal mandate penalty
- Low income individuals with high deductible insurance who will not be able to afford their deductibles and coinsurance
- Dependents of employees whose employer-provided family coverage exceeds 9.5% of annual income
- Undocumented immigrants

UNM Hospitals

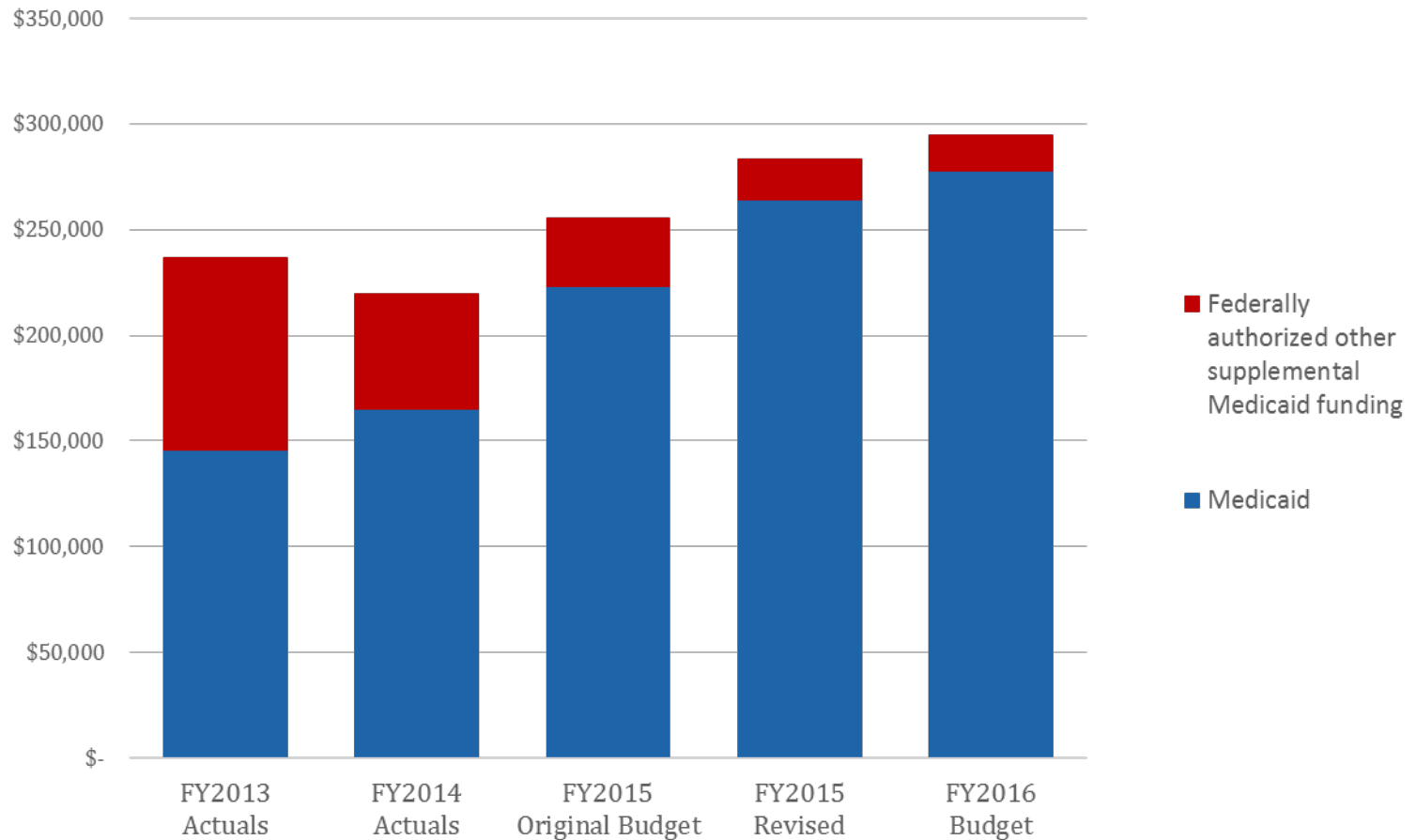
Components of Patient Revenue (In thousands)



UNM Hospital

Medicaid Reimbursement Components

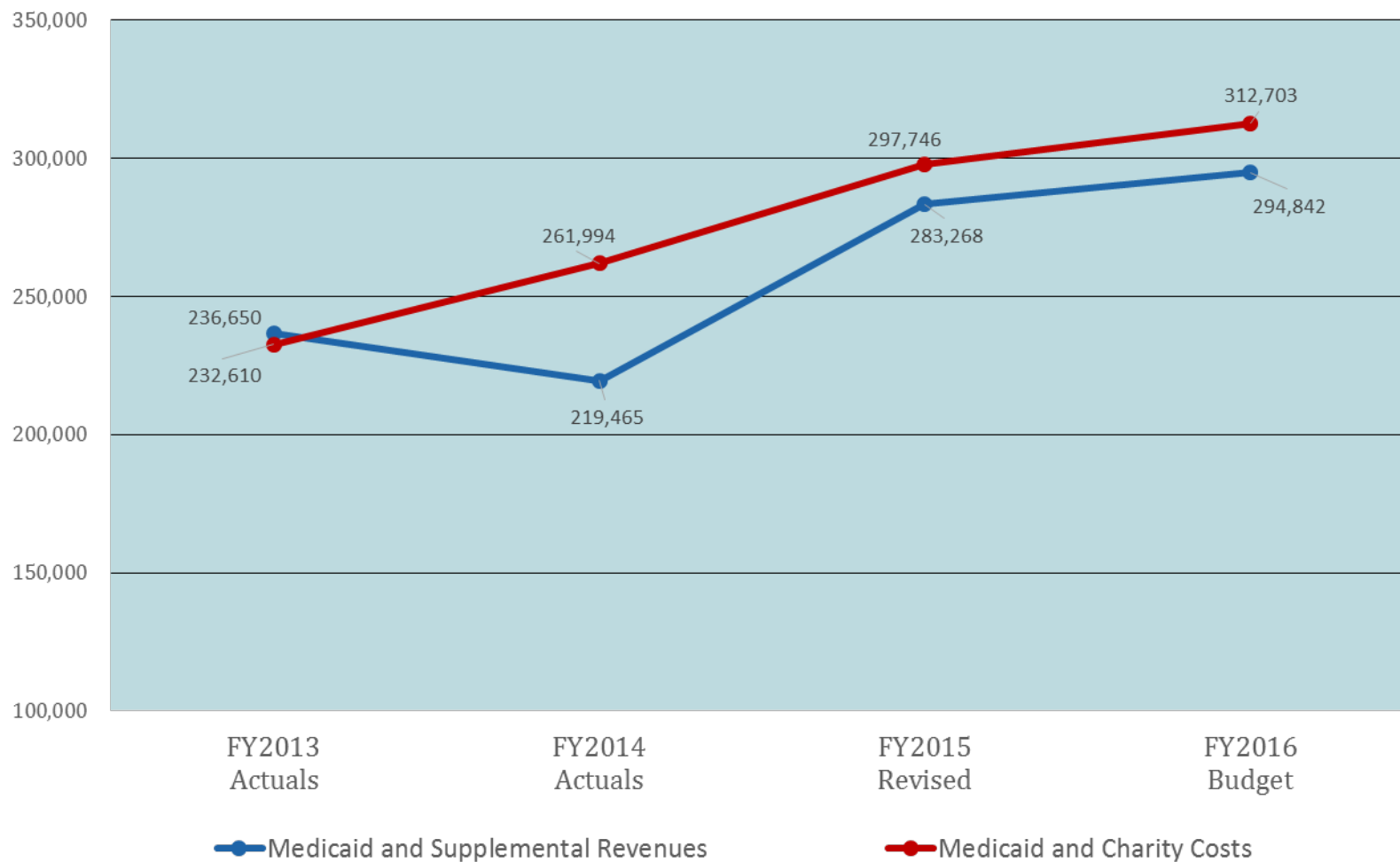
(In thousands)



“Federally authorized other supplemental Medicaid funding” is comprised of the following:
Disproportionate Share, Upper Payment Limit, and capitated childless adults Medicaid CHIP program.

UNM Hospitals

Medicaid Reimbursement versus Medicaid Costs (In thousands)



UNM Hospitals

Bernalillo County Mil Levy

(In thousands)

	<u>FY 1980 Actuals</u>	<u>Preliminary FY 2016 Budget</u>
Bernalillo County Mil Levy	\$5,008 *	\$93,216
Total Operational and Maintenance Expenses	\$31,250	\$1,007,344
Percent of expenses covered by Mil Levy	16.0%	9.3%

* Does not include Adult Psychiatric Center Mil Levy

UNM Health System

Comparative Analysis: Prior Year - Current Year - Next Year

(In thousands)

Revenues	FY 2014 Actuals	Preliminary		Percent Change 2015-16
		FY 2015 Projected	FY 2016 Budget	
Patient Care				
UNM Hospitals	\$709,437	\$836,613	\$871,426	4.2%
UNM Medical Group	162,835	184,416	202,293	9.7%
Sandoval Regional Medical Center	53,652	71,912	77,500	7.8%
Total Patient Care	\$925,924	\$1,092,941	\$1,151,219	5.3%
Mil Levies **	100,003	98,777	99,333	0.6%
Grants & Contracts	3,569	3,142	3,289	4.7%
I&G/State	13,336	13,930	13,930	0.0%
Other Revenue	27,304	36,067	34,134	(5.4)%
Total Revenues	\$1,070,136	\$1,244,857	\$1,301,905	4.6%

** Note: Bernalillo and Sandoval Counties

UNM Health System

Comparative Analysis: Prior Year - Current Year - Next Year

(In thousands)

	FY 2014 Actuals	Preliminary FY 2015 Projected	FY 2016 Budget	Percent Change 2015-16
Expenses				
Housestaff/Post Doc Salaries	\$26,050	\$28,346	\$29,513	4.1%
Staff/Other Salaries	364,183	413,755	443,456	7.2%
Fringe Benefits	85,862	95,212	103,923	9.1%
Total Compensation Expenses	\$476,095	\$537,313	\$576,892	7.4%
Patient Care Costs	\$222,665	\$229,330	\$242,965	5.9%
Purchased Services/Service Contracts	251,795	313,411	343,897	9.7%
Facility Costs	25,053	27,951	28,857	3.2%
Equipment	16,393	19,592	23,049	17.6%
Depreciation	41,537	43,322	42,739	(1.3)%
Other Expenses/Supplies	21,527	24,823	30,221	21.7%
Total Non Salary Expenses	\$578,970	\$658,429	\$711,728	8.1%
Interest Expense	11,912	11,394	10,920	(4.2)%
Total Expenses	\$1,066,977	\$1,207,136	\$1,299,540	7.7%

UNM Health System

Comparative Analysis: Prior Year - Current Year - Next Year

(In thousands)

	Preliminary	
FY 2014 Actuals	FY 2015 Projected	FY 2016 Budget

Net Margin before Non-Recurring Items	\$3,159	\$37,721	\$2,365
Meaningful Use Revenue	120	-	-
Return on Investment - TriWest	39,872	12,030	-
Capital Initiatives	(26,000)	(37,360)	-
Use of UNMMG Reserves	(11,455)	(6,903)	(1,895)
Total Non-Recurring Items	\$2,537	\$(32,233)	\$(1,895)

Net Margin **\$5,696** **\$5,488** **\$470**

	Return on Investment – TriWest	Capital Initiatives
FY 13	\$12,678,141	\$-
FY 14	39,872,529	(26,000,000)
FY 15	12,029,637	(37,360,139)
Total	\$64,580,307	\$(63,360,139)

UNM Health System

FY 2016 Preliminary Budget

(In thousands)

	UNM Hospitals	UNM Medical Group	Sandoval Regional Medical Center	UNM Health System 6/30/2016 Total
Revenues	\$1,007,681	\$210,145	\$84,079	\$1,301,905
Expenses	1,007,344	208,167	84,029	1,299,540
Net Margin before Non-Recurring Items	<u>\$337</u>	<u>\$1,978</u>	<u>\$50</u>	<u>\$2,365</u>
Non-Recurring Items	<u>-</u>	<u>(1,895)</u>	<u>-</u>	<u>(1,895)</u>
Total Non-Recurring Items	\$-	\$(1,895)	\$-	\$(1,895)
Net Margin	<u><u>\$337</u></u>	<u><u>\$83</u></u>	<u><u>\$50</u></u>	<u><u>\$470</u></u>

UNM HSC Academic Enterprise

FY 2016 – Financial Assumptions

Revenue

- Medical student tuition decrease 1%
- HSC Formula Funding increase of \$505,734
- Increase in all State Funding totaling 1.4%
- Tobacco Settlement Funding - FY15 Funding level sustained for FY16

Expense

- Salary increases for HSC Faculty 1% average on contract or adjust to 25th percentiles; HSC Staff increases per Regents action
- Salary increases apply to non-bargaining unit employees only
- HSC Utilities increase 1.85%

UNM HSC All Components

FY 2016 HSC Preliminary Increase in State Funding

(The Governor has until April 10th to sign HB2)

Appropriations	Increases (Decreases)
Internal Medicine Residencies	\$535,000
Psychiatry Residencies	202,000
General Surgery/Family Medicine Residencies	168,000
Med School I&G (non-recurring)	225,000
HSC Mammography Services (non-recurring)	250,000
Hepatitis C, Project ECHO	150,000
Native American Suicide Prevention (non- recurring from Indian Education fund balance)	200,000
Center for Native American Health (non- recurring from Indian Education fund balance)	150,000
UNM Pain Center	50,000
Out of County Indigent (this line item has been eliminated)	(662,600)
Total Change in State Funding	\$1,267,400

Note: State funding for Trauma was cut by \$1 million. It is not known how much of the cut will be born by the Health Sciences Center.

UNM HSC Academic Enterprise

Faculty Compensation

	<u>SOM 25th*</u>	<u>SOM 50th*</u>	<u>CON **</u>	<u>COP ***</u>
Professor	\$1,320,141	\$5,123,214	\$5,662	\$29,702
Associate Professor	768,715	3,559,075	9,366	84,586
Assistant Professor	<u>1,182,490</u>	<u>4,680,090</u>	<u>22,143</u>	<u>39,668</u>
All Ranks	<u>\$3,271,346</u>	<u>\$13,362,379</u>	<u>\$37,171</u>	<u>\$153,956</u>

* Data is based on FY14 Actuals and FY14 Benchmarks for the 50th Percentile from the American Association of Medical Colleges (AAMC)

** Data is based on FY15 Actuals and FY14 Benchmarks for the 50th Percentile from the American Association of Colleges of Nursing (AACN), currently above the 25th percentile

*** Data is based on FY15 Actuals and FY15 Benchmarks for the 50th Percentile from the American Association of Colleges of Pharmacy (AACP), currently above the 25th percentile

UNM HSC Academic Enterprise

FY 2016 Compensation

Faculty 1.0% Staff 1.0% (Unrestricted Funds Only)

	UNM SOM	UNM SOM (HPPHP)	UNM CON	UNM COP	HSC Res/Lib/Admin	UNM HSC Academic Enterprise 6/30/2016 Total
Faculty 1.0% Increase	\$1,410,572	\$42,990	\$64,460	\$52,848	\$69,803	\$1,640,673
Staff 1.0% Increase	561,761	9,988	22,820	28,245	192,230	815,044
Total	<u>\$1,972,333</u>	<u>\$52,978</u>	<u>\$87,280</u>	<u>\$81,093</u>	<u>\$262,033</u>	<u>\$2,455,717</u>

**Fringe Increase
Approximately**

\$515,595

Salary increases apply to non-bargaining unit employees only. All bargaining unit salary increases will be determined in good-faith negotiations as always.

Analysis Excludes Housestaff, UCP and Restricted Funds

UNM HSC Academic Enterprise

Comparative Analysis: Prior Year – Current Year – Next Year

(In thousands)

Revenues	FY 2014 Actuals	Preliminary		Percent Change 2015-16
		FY 2015 Projected	FY 2016 Budget	
Patient Care	\$248,866	\$274,361	\$290,335	5.8%
Grants & Contracts	132,502	138,580	140,564	1.4%
I&G/State	83,401	88,545	89,812	1.4%
Facilities & Administrative	22,595	23,500	22,600	(3.8)%
Tuition & Fees	14,806	15,543	15,461	(0.5)%
Other Revenues/Allocations/Transfers	36,838	43,362	53,728	23.9%
Total Revenues	\$539,008	\$583,891	\$612,500	4.9%

UNM HSC Academic Enterprise

Comparative Analysis: Prior Year - Current Year - Next Year

(In thousands)

	FY 2014 Actuals	Preliminary FY 2015 Projected	FY 2016 Budget	Percent Change 2015-16
Expenses				
Faculty Salaries	\$187,975	\$210,669	\$223,819	6.2%
Housestaff/Post Doc Salaries	33,531	34,241	35,804	4.6%
Staff/Other Salaries	100,107	109,961	114,589	4.2%
Fringe Benefits	87,653	94,013	99,803	6.2%
Total Compensation Expenses	\$409,266	\$448,884	\$474,015	5.6%
Patient Care Costs	\$1,706	\$2,151	\$2,909	35.2%
Travel	6,633	8,516	8,619	1.2%
Research Costs	3,677	5,831	7,742	32.8%
Purchased Services/Service Contracts	33,273	38,221	36,720	(3.9)%
Facility Costs	13,444	14,511	14,889	2.6%
Other Expenses/Supplies	61,539	61,909	64,215	3.7%
Total Non Salary Expenses	\$120,272	\$131,139	\$135,094	3.0%
Total Expenses	\$529,538	\$580,023	\$609,109	5.0%

UNM HSC Academic Enterprise

Comparative Analysis: Prior Year - Current Year - Next Year

(In thousands)

	Preliminary		
	FY 2014 Actuals	FY 2015 Projected	FY 2016 Budget
Net Margin before Non-Recurring Items	\$9,470	\$3,868	\$3,391
Capital/Recruitment/Startup/Scholarships	(13,162)	(5,795)	(4,397)
Total Non-Recurring Items	\$(13,162)	\$(5,795)	\$(4,397)
Net Margin	\$(3,692)	\$(1,927)	\$(1,006)

UNM HSC Academic Enterprise

FY 2016 Preliminary Budget

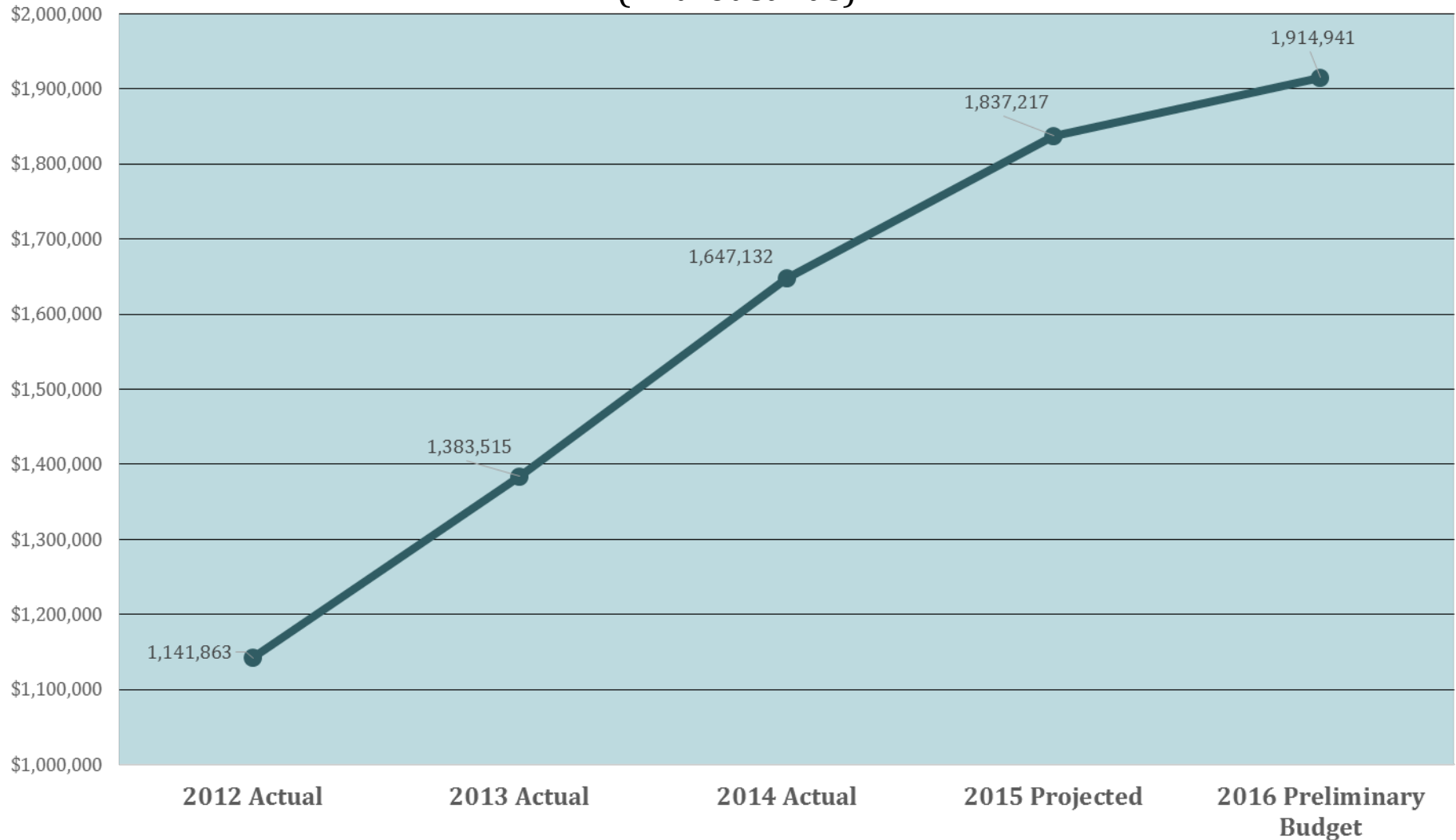
(In thousands)

	UNM SOM	UNM CON	UNM COP	HSC Library/ Informatics	HSC Research	HSC Administration	UNM HSC Academic Enterprise 6/30/2016 Total
Revenues	\$489,735	\$11,818	\$18,722	\$6,563	\$12,956	\$72,706	\$612,500
Expenses	487,735	11,818	18,562	5,353	12,956	72,685	609,109
Net Margin before Non-Recurring Items	<u>\$2,000</u>	<u>\$-</u>	<u>\$160</u>	<u>\$1,210</u>	<u>\$ -</u>	<u>\$21</u>	<u>\$3,391</u>
Capital/Recruitment/ Startup/Scholarships	(2,000)	(550)	(309)	(1,231)	(307)	-	(4,397)
Net Margin	<u><u>\$0</u></u>	<u><u>\$(550)</u></u>	<u><u>\$(149)</u></u>	<u><u>\$(21)</u></u>	<u><u>\$(307)</u></u>	<u><u>\$21</u></u>	<u><u>\$(1,006)</u></u>

UNM HSC All Components

Revenue Trends

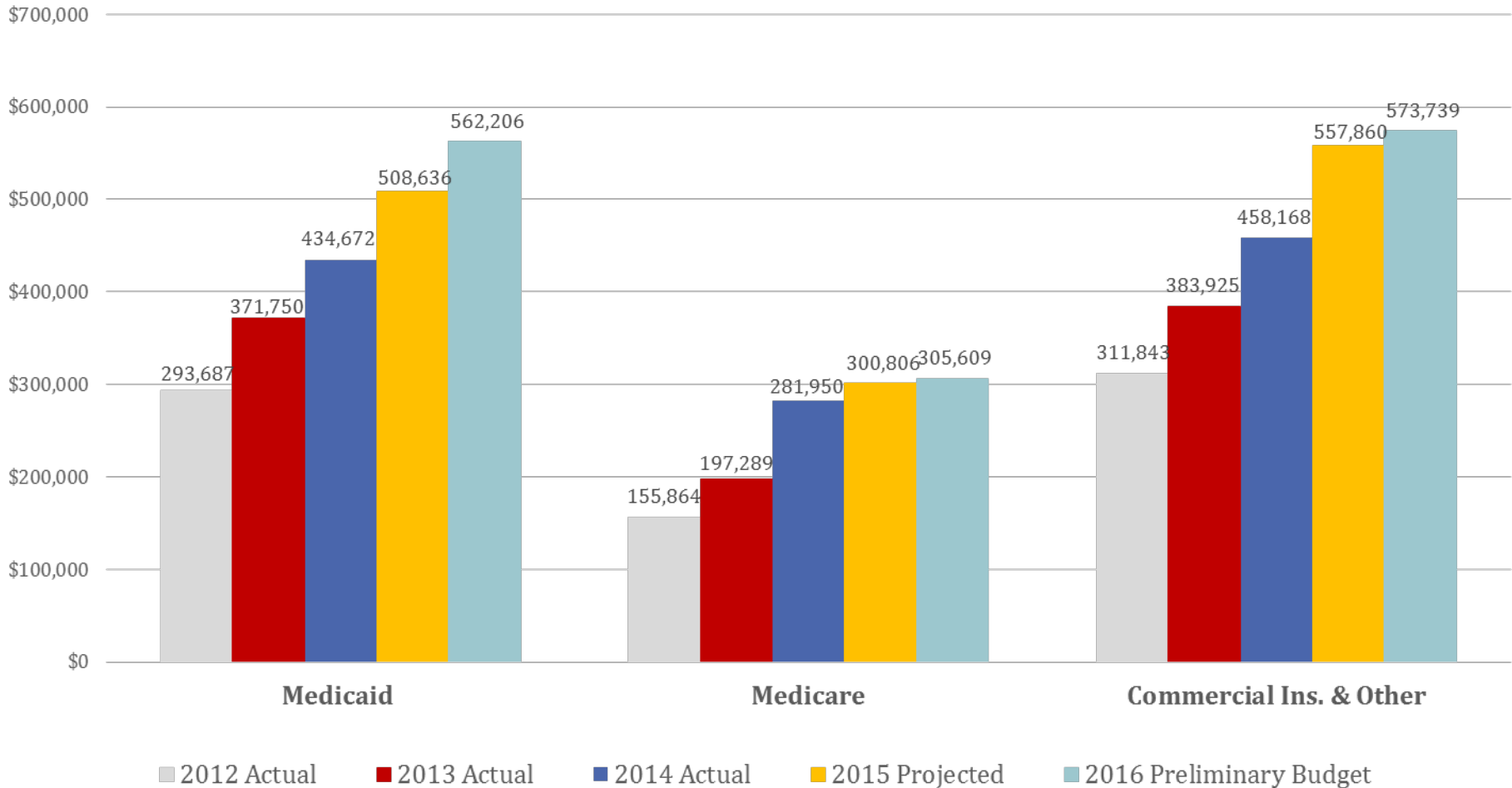
(In thousands)



UNM HSC All Components

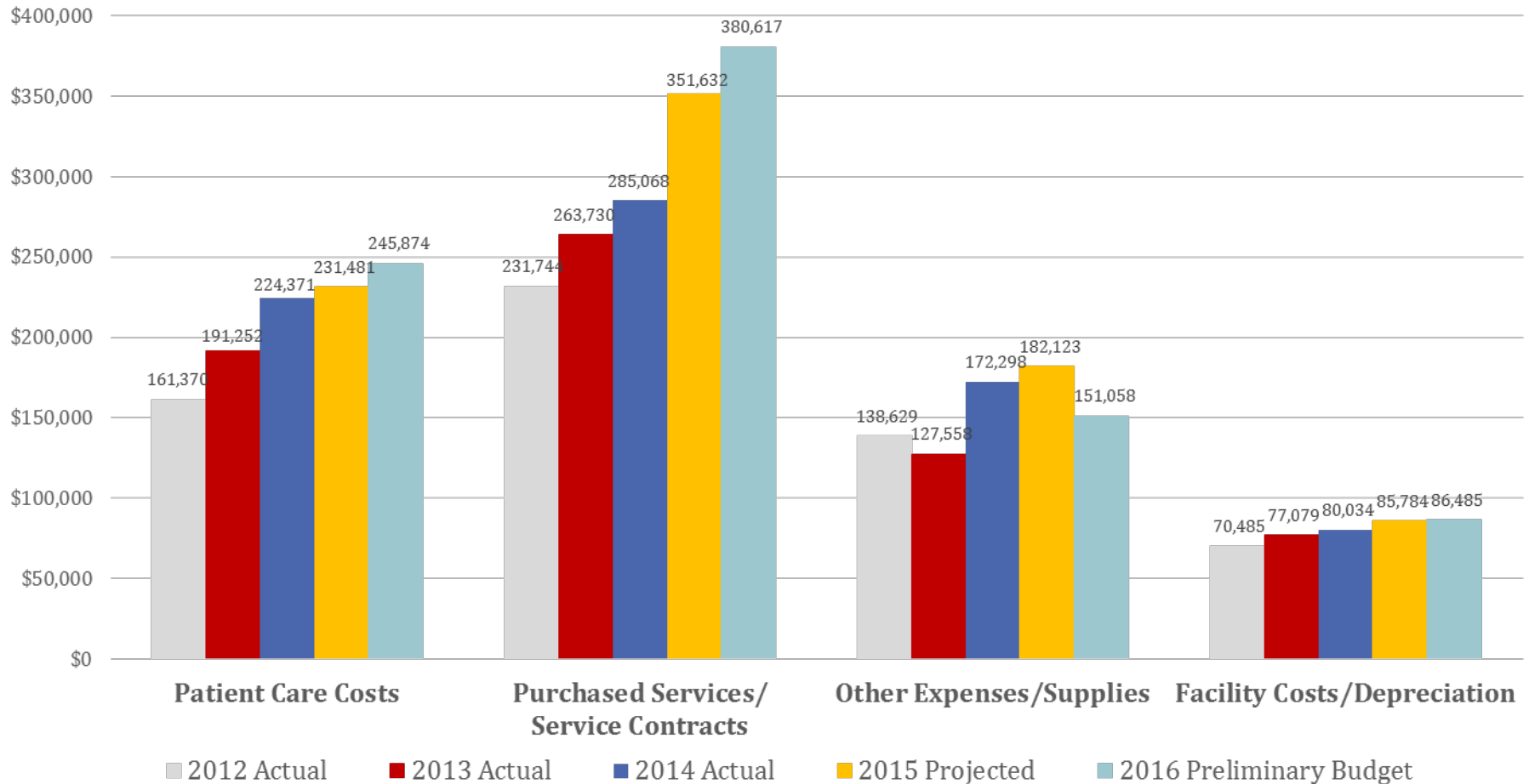
Patient Revenue Trends

(In thousands)



UNM HSC All Components

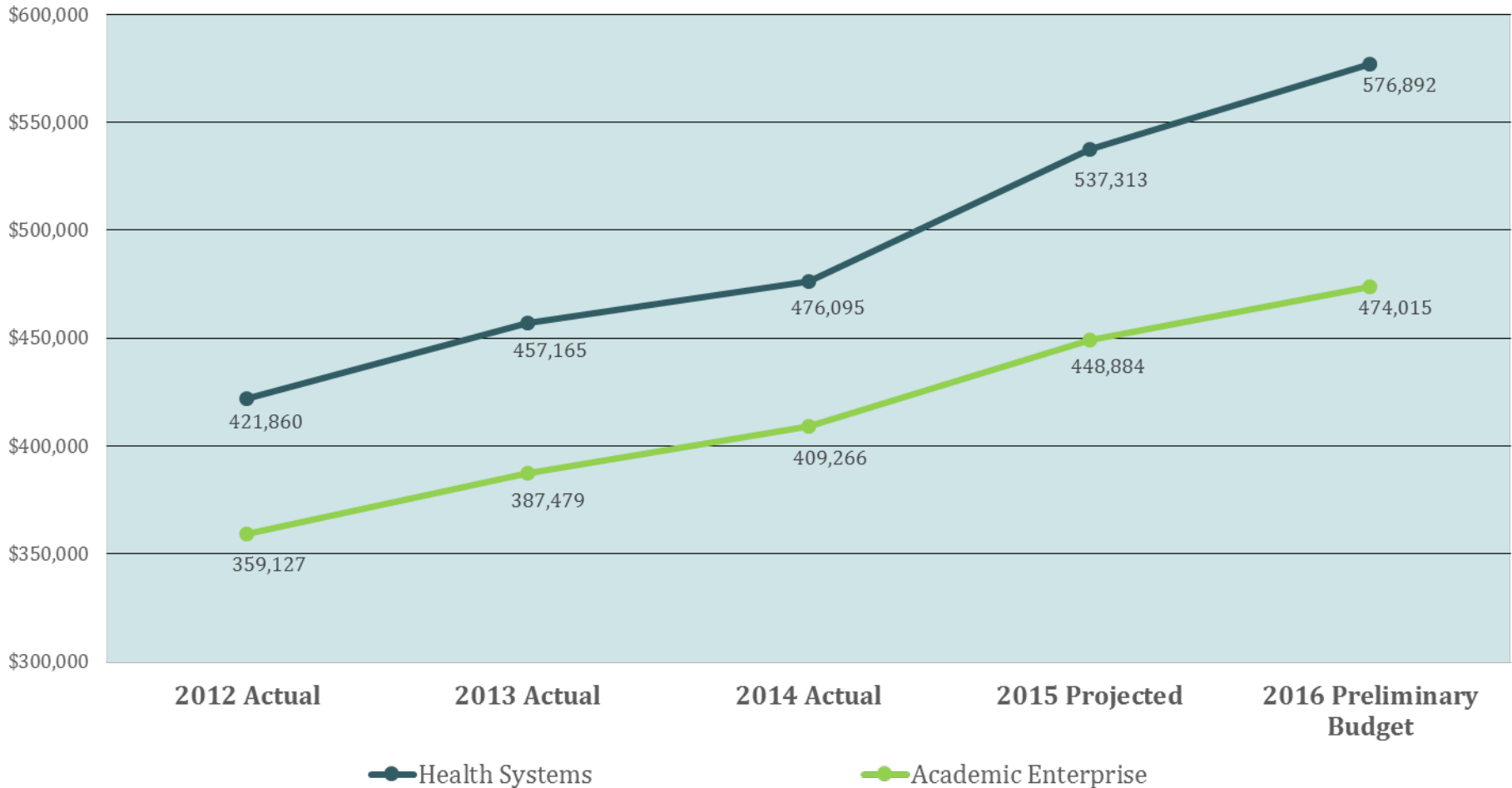
Expense Trends (In thousands)



UNM HSC All Components

Compensation Expense Trends

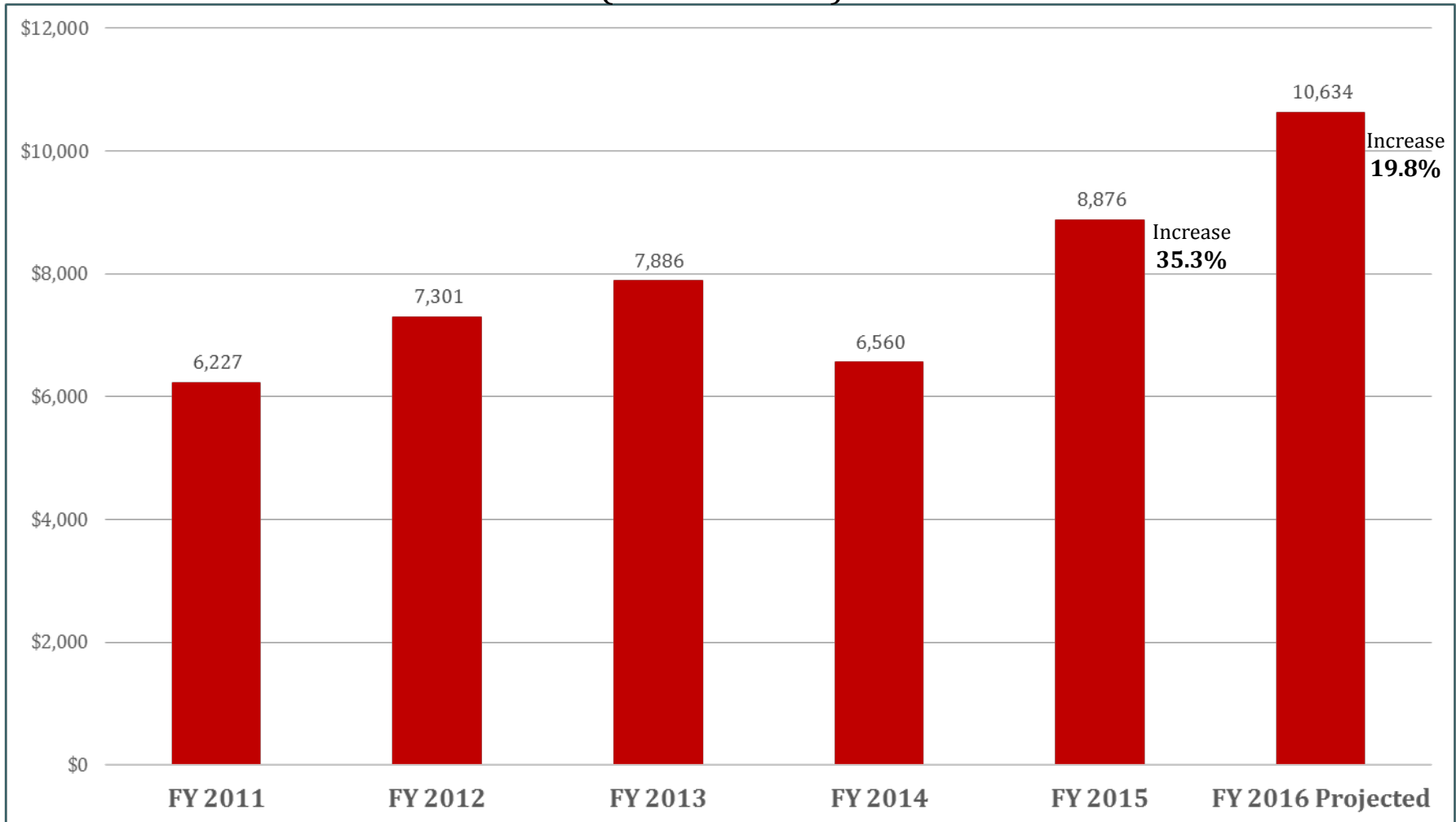
(In thousands)



UNM HSC All Components

Medical Malpractice Premiums

(In thousands)



UNM HSC All Components

FY 2016 Preliminary Budget

(In thousands)

	UNM HSC Academic Enterprise	UNM Health System	UNM HSC All Components 6/30/2016 Total
Revenues	\$612,500	\$1,301,905	\$1,914,405
Expenses	609,109	1,299,540	1,908,649
Net Margin before Non-Recurring Items	<u>\$3,391</u>	<u>\$2,365</u>	<u>\$5,756</u>
Meaningful Use Revenue	-	-	-
Return on Investment – TriWest	-	-	-
Capital Initiatives	-	-	-
Use of UNMMG Reserves	-	(1,895)	(1,895)
Capital/Recruitment/Startup/Scholarships	<u>(4,397)</u>	<u>-</u>	<u>(4,397)</u>
Total Non-Recurring Items	<u>\$ (4,397)</u>	<u>\$ (1,895)</u>	<u>\$ (6,292)</u>
Net Margin	<u>\$ (1,006)</u>	<u>\$470</u>	<u>\$ (536)</u>

Questions ?





MEMORANDUM

TO: Members of the Board of Regents' Finance and Facilities Committee

FROM: Christopher Dyer, Executive Director

DATE: March 18, 2015

RE: Refunding of UNM – Gallup Series 2006 and 2007 Bonds

The Advisory Board of the University of New Mexico Gallup Branch Community College (the "College") is requesting UNM Board of Regents approval for the issuance of approximately \$7,500,000 General Obligation (Limited Tax) Refunding Bonds to refund the Series 2006 and Series 2007 Bonds. Based on current interest rates the College can refund the Series 2006 and Series 2007 Bonds and generate present value savings of approximately \$561,998.27 or 7.6986% of the par amount refunded. The average coupon of the 2006 bonds and the 2007 bonds is 4.048%. The average coupon of the refunded bonds is 3.566%.

The average annual savings (2016 – 2022) is \$76,292.97.

The bonds are secured by the full faith and credit of the District and are general obligations payable from ad valorem taxes to be levied, up to a maximum levy of five dollars (\$5.00) on each one thousand dollars (\$1,000) of net taxable value, which is levied annually against all taxable property within the District. In the event the District's assessed value declines to a level lower than the valuation of the property within the District in the year in which the Bonds were issued, State statutes provide that the maximum levy of \$5.00 per \$1,000 of assessed valuation may be increased to an amount sufficient to pay debt service on the bonds.

Should the College not be able to achieve present value savings greater than 3%, the College will not refund the bonds. Detailed sources and uses of funds relating to the bonds are attached.

Interest Rates

The bonds will be sold in the public markets at a negotiated sale. The following interest rates are estimated based upon market rates of March 18, 2015.

True Interest Cost (TIC)	1.9982%
Average Coupon	3.5657%
Net Effective Interest Rate (NIC)	2.1004%

Optional Redemption

The bonds shall not be subject to prior redemption, in whole or in part, at any time prior to maturity.

Maturity Structure

The proposed 2015 bonds will be issued as fixed rate/bank-qualified tax-exempt bonds. The bonds will have a final maturity of 2023.

Approvals

Prior to issuing the bonds, the College will submit the proposed bond issue to the Higher Education Department for their approval.

Rating History

A rating presentation to Moody's Investors Service, Inc. will occur in early April. The outstanding Bonds are rated "A1" by Moody's.

Bond Counsel/Underwriter

The law firm of Modrall, Sperling, Roehl, Harris & Sisk, Albuquerque, New Mexico will serve as Bond Counsel.

George K. Baum & Company, Albuquerque, New Mexico, will service as Municipal Advisor.

Exhibits

The following documents are included in this submission:

- Resolution – The Governing Board of the UNM-Gallup Branch Community College
- Financing Schedule
- Refunding Schedules

EXCERPT FROM A REGULAR MEETING OF
THE GOVERNING BOARD OF THE
UNIVERSITY OF NEW MEXICO – GALLUP BRANCH

The Governing Board of the University of New Mexico – Gallup Branch (the “Board”), which Board as governing board of the University of New Mexico – Gallup Branch in the County of McKinley, State of New Mexico (the “District”), met in regular session in full conformity with law and the rules and regulations of the Board at the Director’s Conference Room, 705 Gurley Avenue, Gallup, New Mexico 87301, being a regular meeting place of the Board, on the 25th day of March, 2015 at the hour of 6:00 p.m. Upon roll call, the following members were found to be present:

PRESENT:

Chair:	<u>Ralph Richards</u>
Vice Chair	<u>Olin Kieyoomia</u>
Secretary:	<u>Aaron Kowalski</u>
Members:	<u>Gerald O’Hara</u>
	<u>VACANT</u>

ABSENT: None

The Chair announced that one of the items on the agenda for the meeting was the consideration of a resolution authorizing the issuance of The University of New Mexico – Gallup Branch Refunding Bonds, Series 2015 in an aggregate principal amount not to exceed \$7,500,000.

Vice Chair Olin Kieyoomia moved the adoption of the following resolution: Motion was seconded by Gerald O’Hara. The motion was approved by unanimous roll call vote at 7:15 PM.

THE GOVERNING BOARD OF THE
UNIVERSITY OF NEW MEXICO – GALLUP BRANCH
RESOLUTION

A RESOLUTION AUTHORIZING THE ISSUANCE AND SALE OF THE UNIVERSITY OF NEW MEXICO GALLUP BRANCH REFUNDING BONDS, SERIES 2015, IN AN AGREGATE PRINCIPAL AMOUNT NOT TO EXCEED \$7,500,000, FOR THE PURPOSE OF PROVIDING FUNDS FOR REFUNDING THE UNIVERSITY OF NEW MEXICO GALLUP BRANCH GENERAL OBLIGATION (LIMITED TAX) BONDS, SERIES 2006 AND THE UNIVERSITY OF NEW MEXICO GALLUP BRANCH GENERAL OBLIGATION (LIMITED TAX) BONDS, SERIES 2007; PROVIDING THAT THE BONDS SHALL BE PAYABLE FROM AD VALOREM TAXES LEVIED ON ALL TAXABLE PROPERTY WITHIN THE DISTRICT, LEVIED AT THE MAXIMUM RATE OF FIVE MILLS EXCEPT AS PROVIDED BY LAW; PROVIDING THAT CERTAIN TERMS OF THE BONDS WILL BE PROVIDED IN A SUBSEQUENT RESOLUTION; AND PROVIDING FOR OTHER DETAILS CONCERNING THE BONDS.

WHEREAS, the Governing Board (the “Board”) of the University of New Mexico – Gallup Branch (the “District”) is established pursuant to Sections 21-14-1 through 21-14-16 NMSA 1978, as amended; and

WHEREAS, the Board considers it necessary and advisable and in the best interest of the District to issue the University of New Mexico – Gallup Branch Refunding Bonds, Series 2015 (the “Bonds”) pursuant to Section 21-2A-8 NMSA 1978 in an aggregate principal amount not to exceed \$7,500,000 to refund the District’s General Obligation (Limited Tax) Bonds, Series 2006 (the “Series 2006 Bonds”) and the District’s General Obligation (Limited Tax) Bonds, Series 2007 (the “Series 2007 Bonds”) (collectively, the “Refunded Bonds”); and

WHEREAS, the Board anticipates an offer to purchase the Bonds from a bond underwriter at an underwriter’s discount not to exceed 1.0% and an original issue discount not to exceed 3.0%, with the final terms to be established at the time of adoption of the Sale Resolution; and

WHEREAS, George K. Baum & Company will serve as municipal advisor to the District in connection with the issuance of the Bonds and Modrall, Sperling, Roehl, Harris & Sisk, P.A. will serve as bond counsel to the District in connection with the issuance of the Bonds; and

WHEREAS, the Board has determined and does hereby determine that the Bonds shall be issued at this time under the authority of the New Mexico Constitution and applicable law as

hereinafter set forth, and desires to provide for the levy of taxes for the payment of the principal of and interest on the Bonds; and

WHEREAS, the issuance of the Bonds shall not occur until the Higher Education Department has provided its written approval pursuant to Section 21-2A-8 NMSA 1978, as amended; and

WHEREAS, the net effective interest rate on the Bonds will not be more than ten percent (10%) a year; and

WHEREAS, no action or suit has been commenced by any person or corporation contesting the validity of any of the proceedings directed toward the issuance and sale of the Bonds heretofore taken by the Board and the officers of the District;

NOW, THEREFORE, BE IT RESOLVED AS FOLLOWS:

Section 1. It is hereby declared necessary that the District issue the Bonds in an aggregate amount not to exceed \$7,500,000. All actions heretofore taken by the Board and the officers and employees of the District directed toward the issuance and sale of the Bonds to secure funds for the purposes stated above be, and the same hereby are, ratified, approved and confirmed.

Section 2. A. In order to provide funds for the purposes stated above, the Board, on behalf of the District and upon the full-faith and credit thereof, shall issue the Bonds with the terms established in a subsequent resolution of the Board (the "Sale Resolution") providing the exact principal amounts, maturities, interest rates and other details.

B. The Bonds shall be dated the date of delivery (herein the "Series Date"), will be issued in one series and shall consist of bonds numbered consecutively from R-1 upward, issuable in the denomination of \$5,000 each or integral multiples thereof (provided that no individual bond will be issued for more than one maturity); shall bear interest from the Series Date to maturity at the rates per annum set forth in the Sale Resolution for the Bonds, payable to the registered owner thereof, or registered assigns, on February 1, 2016, and semiannually thereafter on August 1 and February 1 in each year in which the Bonds are outstanding and shall mature on August 1 of each year set forth above and/or be subject to mandatory sinking fund redemption on August 1 of each year as set forth in the Sale Resolution.

C. The principal of and interest on the Bonds due at maturity shall be payable to the registered owner thereof as shown on the registration books kept by BOKF, NA dba Bank of Albuquerque (the "Registrar/Paying Agent") for the Bonds, upon maturity and upon presentation and surrender thereof at the principal offices of the Registrar/Paying Agent. If any Bond shall not be paid upon such presentation and surrender at or after maturity, it shall continue to draw interest at the rate borne by the Bond until the principal thereof is paid in full.

Payment of interest on the Bonds (other than at maturity) shall be made by check or draft mailed by the Registrar/Paying Agent (or by such other arrangement as may be mutually agreed to by the Registrar/Paying Agent and such registered owner), on or before each interest payment date (or, if such interest payment date is not a business day, on or before the next succeeding business day), to the registered owner thereof on the Record Date (defined below) at his address as it appears on the registration books kept by the Registrar/Paying Agent. All such payments shall be made in lawful money of the United States of America. The term "Record Date" as used herein with respect to any interest payment date shall mean the 15th day of the month (whether or not a business day) immediately preceding the interest payment date. The person in whose name any Bond is registered on any Record Date with respect to any interest payment date shall be entitled to receive the interest payable thereon on such interest payment date notwithstanding any transfer or exchange thereof subsequent to such Record Date and prior to such interest payment date; but interest on any Bond which is not timely paid or duly provided for shall cease to be payable as provided above and shall be payable to the person in whose name such Bond is registered at the close of business on a special record date (the "Special Record Date") fixed by the Registrar/Paying Agent for the payment of any such overdue interest. The Special Record Date shall be fixed by the Registrar/Paying Agent whenever moneys become available for payment of overdue interest, and notice of any such Special Record Date shall be given not less than ten days prior thereto, by first-class mail, to the registered owners of the Bonds as of the fifth day preceding the mailing of such notice by the Registrar/Paying Agent, stating the Special Record Date and the date fixed for the payment of overdue interest.

D. The Bonds may be subject to prior redemption, in whole or in part, prior to maturity on the dates and terms to be established in the Sale Resolution.

Section 3. The Bonds shall constitute general obligations of the District, payable from general ad valorem taxes which may be levied at the maximum rate of five dollars (\$5.00) on each one thousand dollars (\$1,000) of net taxable value on all taxable property within the District (provided, however, that this five-mill (\$5.00 per \$1,000) limitation may be exceeded in any year in which the valuation of the property within the District declines to a level lower than the valuation of the property within the District in the year in which the Bonds were issued) for the retirement of bonded indebtedness and current operations. The full-faith and credit of the District, to the extent set forth above and to the extent permitted by law, shall be, and hereby is, irrevocably pledged to the payment of the principal of and interest on the Bonds.

Section 4. The Bonds shall bear the facsimile or manual signature of the Chair of the Board and shall be attested by the facsimile or manual signature of the Secretary of the Board and shall bear the facsimile or manual seal of the Board. The Bonds shall be authenticated by the manual signature of an authorized officer of the Registrar/Paying Agent. The Bonds bearing the signatures or facsimile signatures of the officers in office at the time of the signing thereof shall be the valid and binding obligations of the District, notwithstanding that before the delivery of the Bonds and payment therefor, or before the issuance thereof upon transfer or exchange, any or all of the persons whose signatures appear on the Bonds shall have ceased to

fill their respective offices. The Chair and Secretary of the Board may, by the execution of a signature certificate pertaining to the Bonds, adopt as and for their respective signatures the facsimiles thereof appearing on the Bonds; and, at the time of the execution of the signature certificate, the Chair and Secretary may each adopt as and for his or her facsimile signature the facsimile signature of his or her predecessor in office in the event that such facsimile signature appears upon any of the Bonds. The Chair and Secretary of the Board, pursuant to Sections 6-9-1 through 6-9-6, inclusive, NMSA 1978, may each forthwith file his manual signature, certified by him under oath, with the Secretary of State of New Mexico, provided that such filing shall not be necessary for any officer where any previous filing shall have application to the Bonds.

No Bond shall be valid or obligatory for any purpose unless the certificate of authentication, substantially in the form hereinafter provided, has been duly executed by the Registrar/Paying Agent. The Registrar/Paying Agent's certificate of authentication shall be deemed to have been duly executed by it if manually signed by an authorized officer of the Registrar/Paying Agent, but it shall not be necessary that the same officer sign the certificate of authentication on all of the Bonds issued hereunder.

Section 5. A. Books for the registration and transfer of the Bonds shall be kept by the Registrar/Paying Agent. Upon the surrender for transfer of any Bond at the principal office of the Registrar/Paying Agent, duly endorsed for transfer or accompanied by an assignment duly executed by the registered owner or his attorney duly authorized in writing, the Registrar/Paying Agent shall authenticate and deliver not more than three business days after receipt of the Bond to be transferred in the name of the transferee or transferees a new Bond or Bonds in fully registered form of the same aggregate principal amount of authorized denominations, and of the same maturity, interest rate and series, bearing a number or numbers not contemporaneously outstanding. Bonds may be exchanged at the principal office of the Registrar/Paying Agent for an equal aggregate principal amount of Bonds of other authorized denominations, and of the same maturity, series and interest rate. The Registrar/Paying Agent shall authenticate and deliver not more than three business days after receipt of the Bond to be exchanged a Bond or Bonds which the registered owner making the exchange is entitled to receive, bearing a number or numbers not contemporaneously outstanding. Exchanges and transfers of Bonds as herein provided shall be without charge to the owner or any transferee, but the Registrar/Paying Agent may require the payment by the owner of any Bond requesting exchange or transfer of any tax or other governmental charge required to be paid with respect to such exchange or transfer.

B. Bonds which are reissued upon transfer, exchange or other replacement shall bear interest from the most recent interest payment date to which interest has been fully paid or provided for in full or, if no interest has been paid, from the Series Date.

C. The person in whose name any Bond shall be registered on the registration books kept by the Registrar/Paying Agent, shall be deemed and regarded as the absolute owner thereof for the purpose of making payment thereof and for all other purposes

except as may otherwise be provided with respect to payment of overdue interest as is provided in Section 2 hereof; and payment of or on account of either principal or interest on any Bond shall be made only to or upon the written order of the registered owner thereof or his legal representative, but such registration may be changed upon transfer of such Bond in the manner and subject to the conditions and limitations provided herein. All such payments shall be valid and effectual to discharge the liability upon such Bond to the extent of the sum or sums so paid.

D. If any Bond shall be lost, stolen, destroyed or mutilated, the Registrar/Paying Agent shall, upon receipt of the mutilated Bond and such evidence, information or indemnity relating thereto as it may reasonably require and as may be required by law, authenticate and deliver a replacement Bond or Bonds of a like aggregate principal amount of authorized denominations, and of the same maturity, interest rate and series, bearing a number or numbers not contemporaneously outstanding. If such lost, stolen, destroyed or mutilated Bond shall have matured, the Registrar/Paying Agent may pay such Bond in lieu of replacement.

E. The officers of the District are authorized to deliver to the Registrar/Paying Agent fully executed but unauthenticated Bonds in such quantities as may be convenient to be held in custody by the Registrar/Paying Agent pending use as provided herein and in the Sale Resolution.

F. Whenever any Bond shall be surrendered to the Registrar/Paying Agent upon payment thereof, or to the Registrar/Paying Agent for transfer, exchange or replacement as provided herein, such Bond shall be promptly cancelled by the Registrar/Paying Agent, and counterparts of a certificate of such cancellation shall be furnished by the Registrar/Paying Agent to the District.

G. Notwithstanding any other provision herein, the Bonds may be issued or registered, in whole or in part, in book-entry form from time to time with no physical distribution of bond certificates made to the public, with The Depository Trust Company of New York, New York (the "Depository") acting as securities depository for the Bonds. A single certificate for each maturity date of the Bonds issued in book-entry form will be delivered to the Depository and immobilized in its custody. The book-entry system will evidence ownership of the Bonds in authorized denominations, with transfer of ownership effected on the books of the Depository and its participants (the "Participants"). As a condition to delivery of the Bonds in book-entry form, the purchaser will, immediately after acceptance of delivery thereof, deposit, or cause to be deposited, the Bond certificates with the Depository, registered in the name of the Depository or its nominee. Principal and interest will be paid to the Depository or its nominee as the registered owner of the Bonds. The transfer of principal and interest payments to Participants will be the responsibility of the Depository; the transfer of principal and interest payments to the beneficial owners of the Bonds (the "Beneficial Owners") will be the responsibility of Participants and other nominees of Beneficial Owners maintaining a relationship with Participants (the "Indirect Participants").

The District will not be responsible or liable for maintaining, supervising or reviewing the records maintained by the Depository, Participants or Indirect Participants.

If (i) the Bonds are not eligible for the services of the Depository, (ii) the Depository determines to discontinue providing its services with respect to the Bonds or (iii) the District determines that a continuation of the system of book-entry transfers through the Depository ceases to be beneficial to the District or the Beneficial Owners, the District will either identify another similar depository to perform such functions or will deliver certificates for the Bonds to the Beneficial Owners or their nominees, and the Beneficial Owners or their nominees, upon authentication of Bonds and registration of those Bonds in the Beneficial Owners' or nominees' names, will become the owners of the Bonds for all purposes. In that event, the District shall mail an appropriate notice to the Depository for notification to Participants, Indirect Participants and Beneficial Owners of the substitute Depository or the issuance of bond certificates to Beneficial Owners or their nominees, as applicable.

Officers of the District are authorized to sign agreements with or letters to the Depository relating to the matters set forth in this Section.

Notwithstanding any other provision herein, so long as all of the Bonds are registered in the name of the Depository or its nominee, all payments of principal and interest on the Bonds, and all notices with respect to the Bonds, shall be made and given by the Registrar/Paying Agent or the District to the Depository as provided in this Bond Resolution and by the Depository to its Participants or Indirect Participants and to the Beneficial Owners of the Bonds in the manner provided in an agreement or letter of the District to the Depository.

Section 6. If the Registrar/Paying Agent initially appointed hereunder shall resign, or if the District shall reasonably determine that the Registrar/Paying Agent has become incapable of fulfilling its duties hereunder, the District may, upon notice mailed to each registered owner of Bonds at the address last shown on the registration books, appoint a successor registrar/paying agent. Every such successor registrar/paying agent shall be a bank or trust company located in and in good standing in the United States and having a shareholders equity (e.g., capital stock, surplus and undivided profits), however denominated, of not less than \$10,000,000.

Section 7. Subject to the registration provisions hereof, the Bonds hereby authorized shall be fully negotiable and shall have all the qualities of negotiable paper, and the registered owner or owners thereof shall possess all rights enjoyed by the holders of negotiable instruments under the provisions of the Uniform Commercial Code.

Section 8. The Bonds shall be in substantially the following form:

[Form of Bond]

REGISTERED

REGISTERED

NO. _____

\$

UNITED STATES OF AMERICA
STATE OF NEW MEXICO
UNIVERSITY OF NEW MEXICO – GALLUP BRANCH
REFUNDING BONDS
SERIES 2015

Registered Owner: _____

Principal Amount: _____ DOLLARS

Interest Rate
____ % per annum

Maturity Date
_____, ____

Series Date
_____, ____

CUSIP

The Governing Board of the University of New Mexico – Gallup Branch (the “Board”), to the extent permitted by law and on the faith, credit and behalf of the University of New Mexico – Gallup Branch (the “District”), for value received, hereby promises to pay to the registered owner named above, or registered assigns, the principal amount hereof on the Maturity Date and to pay interest on the principal amount at the Interest Rate on February 1, 2016, and thereafter on August 1 and February 1 of each year (the “Interest Payment Date”) from the Series Date to its maturity. The principal of the bonds of the series of which this is one (the “Bonds”) and interest due at maturity shall be payable to the registered owner thereof as shown on the registration books kept by BOKF, NA dba Bank of Albuquerque, (the “Registrar/Paying Agent”) for the Bonds, upon maturity and upon presentation and surrender thereof at the principal offices of the Registrar/Paying Agent. If any Bond shall not be paid upon such presentation and surrender at or after maturity, it shall continue to draw interest at the rate borne by the Bond until the principal thereof is paid in full. Payment of interest on the Bonds (other than at maturity) shall be made by check or draft mailed by the Registrar/Paying Agent (or by such other arrangement as may be mutually agreed to by the Registrar/Paying Agent and such registered owner), on or before each Interest Payment Date (or, if such Interest Payment Date is not a business day, on or before the next succeeding business day), to the registered owner thereof on the Record Date (defined below) at his address as it appears on the registration books kept by the Registrar/Paying Agent. All such payments shall be made in lawful money of the United States of America. The term "Record Date" as used herein with respect to any Interest Payment Date shall mean the 15th day of the month (whether or not a business day) immediately preceding the Interest Payment Date. The person in whose name any Bond is registered on any Record Date with respect to any Interest Payment Date shall be entitled to receive the interest payable thereon on such Interest Payment Date notwithstanding any transfer or exchange thereof subsequent to such Record Date and prior to such Interest Payment Date; but interest on any Bond which is not timely paid or duly provided for shall cease to be payable as provided above and shall be payable to the person in whose name such

Bond is registered at the close of business on a special record date (the "Special Record Date") fixed by the Registrar/Paying Agent for the payment of any such overdue interest. The Special Record Date shall be fixed by the Registrar/Paying Agent whenever moneys become available for payment of overdue interest, and notice of any such Special Record Date shall be given not less than ten days prior thereto, by first-class mail, to the registered owners of the Bonds as of the fifth day preceding the mailing of such notice by the Registrar/Paying Agent, stating the Special Record Date and the date fixed for the payment of overdue interest.

The Bonds are fully registered and are issuable in denominations of \$5,000 and any integral multiple thereof (provided that no individual bond may be issued for more than one maturity).

The series of Bonds of which this bond is one is limited to the total principal amount of \$7,500,000 of like tenor except as to number, denomination, maturity date, and interest rate, issued by the University of New Mexico – Gallup Branch, for the purpose of refunding the District's General Obligation (Limited Tax) Bonds, Series 2006 and the District's General Obligation (Limited Tax) Bonds, Series 2007, under the authority of and in full compliance with the Constitution and laws of the State of New Mexico (particularly Section 21-2A-8 NMSA 1978, and acts amendatory and supplemental thereto), and pursuant to resolutions of the Board duly adopted and made a law of the District prior to the issuance of this bond (collectively, the "Bond Resolution").

The Bonds may be subject to prior redemption, in whole or in part, prior to maturity.

The Registrar/Paying Agent will maintain the books of the District for the registration of ownership of the Bonds. Upon the surrender for transfer of any Bond at the principal office of the Registrar/Paying Agent, duly endorsed for transfer or accompanied by an assignment duly executed by the registered owner or his attorney duly authorized in writing, the Registrar/Paying Agent shall authenticate and deliver not more than three business days after receipt of the Bond to be transferred in the name of the transferee or transferees a new Bond or Bonds in fully registered form of the same aggregate principal amount of authorized denominations, and of the same maturity, interest rate and series, bearing a number or numbers not contemporaneously outstanding. Bonds may be exchanged at the principal offices of the Registrar/Paying Agent for an equal aggregate principal amount of Bonds of other authorized denominations, and of the same maturity, series and interest rate. The Registrar/Paying Agent shall authenticate and deliver not more than three business days after receipt of the Bond to be exchanged a Bond or Bonds which the registered owner making the exchange is entitled to receive, bearing a number or numbers not contemporaneously outstanding. Exchanges and transfers of Bonds as herein provided shall be without charge to the owner or any transferee, but the Registrar/Paying Agent may require the payment by the owner of any Bond requesting exchange or transfer of any tax or other governmental charge required to be paid with respect to such exchange or transfer.

The person in whose name any Bond shall be registered on the registration books kept by the Registrar/Paying Agent, shall be deemed and regarded as the absolute owner thereof for the purpose of making payment thereof and for all other purposes except as may otherwise be provided with respect to payment of interest; and payment of or on account of either principal or interest on any Bond shall be made only to or upon the written order of the registered owner thereof or his legal representative, but such registration may be changed upon transfer of such Bond in the manner and subject to the conditions and limitations provided herein. All such payments shall be valid and effectual to discharge the liability upon such Bond to the extent of the sum or sums so paid.

If any Bond shall be lost, stolen, destroyed or mutilated, the Registrar/Paying Agent shall, upon receipt of the mutilated Bond and such evidence, information or indemnity relating thereto as it may reasonably require and as may be required by law, authenticate and deliver a replacement Bond or Bonds of a like aggregate principal amount of authorized denominations, and of the same maturity, interest rate and series, bearing a number or numbers not contemporaneously outstanding. If such lost, stolen, destroyed or mutilated Bond shall have matured, the Registrar/Paying Agent may pay such Bond in lieu of replacement.

For the punctual payment of the principal of and interest on this bond as aforesaid and for the levy and collection of taxes in accordance with the statutes authorizing the issuance of this bond, to the extent permitted by law, the faith and credit of the District is hereby irrevocably pledged. The Board has, by the Bond Resolution, ordered the creation of an interest and sinking fund for the payment of the Bonds. Such fund is to be held in trust for the benefit of the owner or owners of the Bonds.

It is hereby certified, recited and warranted that all the requirements of law, including the College District Tax Act, have been complied with by the proper officials of the District in the issuance of this bond; that the total indebtedness of the District, including that of this bond, does not exceed any limit of indebtedness prescribed by the Constitution or laws of the State of New Mexico; that provision has been made for the levy and collection of annual taxes sufficient to pay the principal of and the interest on this bond when the same become due. This bond shall not be valid or obligatory for any purpose until the Registrar/Paying Agent shall have manually signed the certificate of authentication hereon.

IN TESTIMONY WHEREOF, the Governing Board of the University of New Mexico – Gallup Branch has caused the facsimile of the seal of the District to be hereto affixed and this bond to be signed and executed with the manual or facsimile signature of the President of the Board and subscribed and attested with the manual or facsimile signature of the Secretary of the Board, all as of the Series Date.



Chair

Governing Board of the University of
New Mexico Gallup Branch

(DISTRICT SEAL)

Attest:

Secretary
Governing Board of the University of
New Mexico Gallup Branch

(Form of Certificate of Authentication)

This bond is one of the Bonds described in the Bond Resolution and has been duly registered on the registration books kept by the undersigned as Registrar/Paying Agent for the Bonds.

Date of Authentication and
Registration: _____

BOKF, NA dba BANK OF ALBUQUERQUE,
as Registrar/Paying Agent

By: _____
Authorized Representative

(End of Form of Certificate of Authentication)

[Form of Assignment]

ASSIGNMENT

For value received, the undersigned sells, assigns and transfers unto _____ whose social security or tax identification number is _____ the within bond and irrevocably constitutes and appoints _____ attorney to transfer such bond on the books kept for registration thereof, with full power of substitution in the premises.

Dated: _____

Signature Guaranteed:

NOTE: The assignor's signature on this assignment must correspond with the name as it appears upon the face of the within bond in every particular, without alteration or enlargement or any change whatsoever.

[End of Form of Assignment]

[End of Form of Bond]

Section 9. When the Bonds have been duly executed and authenticated, they shall be delivered to the lawful purchaser thereof. The funds realized from the sale of the Bonds shall be applied solely to the specified purpose for the Bonds (provided that any premium and accrued interest shall be used to pay interest on the Bonds), but the purchaser and any subsequent owner of the Bonds shall in no manner be responsible for the application of or disposal by the District, or any of its officers, of any of the funds derived from the sale thereof.

Section 10. There shall be levied on all taxable property in the District, at the time and in the manner provided by law, in addition to all other taxes, direct annual ad valorem taxes at the maximum rate of five dollars (\$5.00) on each one thousand dollars (\$1,000) of net taxable value on all taxable property within the District (provided, however, that this five-mill (\$5.00 per \$1,000) limitation may be exceeded in any year in which the valuation of the property within the District declines to a level lower than the valuation of the property within the District in the year in which the Bonds were issued) for retirement of bonded indebtedness and current operations which will be sufficient to pay the principal of and interest accruing on the Bonds promptly as the same shall become due. This Resolution is hereby declared to be the certificate to the Board of County Commissioners of McKinley County, New Mexico as to the five-mill levy relating to ad valorem taxes which may be levied for the purposes herein stated and such taxes shall be certified, levied and extended upon the tax rolls and collected in the same manner, at the same time and subject to the same penalties as general state and county taxes are certified, levied and collected. Such taxes, when collected, shall be kept by the District in a separate special fund for the Bonds to be known as the "University of New Mexico Gallup Branch Refunding Bonds, Series 2015, Interest and Sinking Fund" to be used solely for the purpose of paying the principal of and interest on the District's general obligation (limited tax) bonds as the same become due or mature; provided that nothing herein contained shall be so construed as to prevent the application of any other funds belonging to the District and available for that purpose, to the payment of the Bonds or the interest thereon, as the same become due and upon such payment the levy or levies of tax provided for in this Section 10 may thereupon to that extent be diminished. If the taxes herein provided for shall not be levied or collected in time to pay the interest on or principal of the Bonds as the same become due or mature, then such interest or principal shall be paid from any funds belonging to the District,

which funds may be reimbursed from the taxes herein provided for when the same are collected.

Section 11. The proceeds of the Bonds, promptly upon receipt thereof, will be deposited and used by the District as follows:

A. First, any accrued interest received from the issuance and sale of the Bonds shall be used to pay interest on the Bonds.

B. Second, except as otherwise provided herein, the balance of the proceeds received from the issuance and sale of the Bonds shall be used by the District for the payment of the costs of issuance of the Bonds and to pay the costs of refunding the Refunded Bonds.

C. The validity of the Bonds is not dependent on nor affected by the validity or regularity of any proceedings related to the completion of refunding the Refunded Bonds.

Section 12. The Chair, Secretary and other officers of the District be and they hereby are authorized and directed to take all action necessary or appropriate to effectuate the provisions of this Resolution, including without limiting the generality of the foregoing, the printing and delivery of an Official Statement for use by the purchaser of the Bonds and the execution of such certificates as may be required by the purchaser relating to the signing of the Bonds, the tenure and identity of District officials, the receipt of the purchase price of the Bonds from the purchaser and the absence of litigation, pending or threatened, if in accordance with the facts, affecting the validity thereof and the absence and existence of factors affecting the exclusion of interest on the Bonds from gross income for federal income tax purposes.

Section 13. When all principal and interest in connection with the Bonds have been duly paid, the pledge therefor and all obligations of the District hereunder shall thereby be discharged and the Bonds shall no longer be deemed to be outstanding. There shall be deemed to be such payment when the District has caused to be placed in escrow and in trust with a bank doing business in the State which is a member of the Federal Deposit Insurance Corporation and exercising trust powers, an amount sufficient (including the known minimum yield from direct obligations of the United States or securities that are unconditionally guaranteed by the United States ("Government Obligations"), in which such amounts are or may be initially invested) to meet all requirements of principal and interest on the Bonds as the same become due to their final maturities. The Government Obligations shall become due prior to the respective times on which the proceeds thereof shall be needed, in accordance with a schedule established and agreed upon between the District and such bank at the time of the creation of the escrow, or the Government Obligations shall be subject to redemption at the option of the holders or owners thereof to assure such availability as needed to meet such schedule.

Section 14. A. The District covenants that it will restrict the use of the proceeds of the Bonds in such manner and to such extent, if any, as may be necessary so that the Bonds will not constitute arbitrage bonds under Section 148 of the Internal Revenue Code of 1986, as amended (the "Code"). The Chair, Secretary and any other officer of the District having responsibility for the issuance of the Bonds shall give an appropriate certificate of the District, for inclusion in the transcript of proceedings for the Bonds, setting forth the reasonable expectations of the District regarding the amount and use of all the proceeds of the Bonds, the facts, circumstances and estimates on which they are based, and other facts and circumstances relevant to the tax treatment of interest on the Bonds.

B. The District covenants that it (a) will take or cause to be taken such actions which may be required of it for the interest on the Bonds to be and remain excluded from gross income for federal income tax purposes, and (b) will not take or permit to be taken any actions which would adversely affect that exclusion, and that it, or persons acting for it, will, among other acts of compliance, (i) apply the proceeds of the Bonds to the governmental purpose of the borrowing, (ii) restrict the yield, as required, on investment property acquired with those proceeds, (iii) make timely rebate payments, if required, to the federal government, (iv) maintain books and records and make calculations and reports, and (v) refrain from certain uses of proceeds, all in such manner and to the extent necessary to assure such exclusion of that interest under the Code. The Chair, Secretary and other appropriate officers are hereby authorized and directed to take any and all actions, make calculations and rebate payments, and make or give reports and certifications, as may be appropriate to assure such exclusion of that interest.

C. The Board hereby designates the Bonds as "qualified tax exempt obligations" for purposes of Section 265(b)(3) of the Code.

Section 15. The Bonds shall not be issued unless and until the Higher Education Department has provided its written approval as required by Section 21-2A-8 NMSA 1978, as amended and supplemented from time to time.

Section 16. Moneys in any fund not immediately needed may be invested as provided by state law and applicable federal statutes and regulations, provided that the Board and the District hereby covenant to the purchaser and the owners of the Bonds from time to time that the District will make no use of the proceeds of the Bonds or any funds reasonably expected to be used to pay the principal of or interest on the Bonds which will cause the Bonds to be arbitrage bonds within the meaning of Section 148 of the Code, as amended, or which would adversely affect the tax status of interest on the Bonds under the Code. This covenant is for the benefit of the purchaser and the owners of the Bonds from time to time.

Section 17. In order to assist the purchaser in complying with Securities and Exchange Commission Rule 15c2-12(b)(5), at the time of delivery of the Bonds, the District will undertake, pursuant to the Continuing Disclosure Undertaking, to provide annual financial information and notices of certain material events.

Section 18. The Board hereby retains and designates (1) George K. Baum & Company, as municipal advisor, and (2) Modrall, Sperling, Roehl, Harris & Sisk, P.A., as bond counsel, to provide services and assist with the issuance and sale of the Bonds.

Section 19. Nothing in this Resolution expressed or implied is intended or shall be construed to confer upon, or to give to any person or entity, other than the District, the Registrar/Paying Agent, and the registered owners of the Bonds, any right, remedy or claim under or by reason of this Resolution or any covenant, condition or stipulation hereof, and all covenants, stipulations, promises and agreements in this Resolution by and on behalf of the District shall be for the sole and exclusive benefit of the District, the Registrar/Paying Agent, and the registered owners of the Bonds.

Section 20. After any of the Bonds have been issued, this Resolution shall constitute a contract between the District and the owner or owners of the Bonds and shall be and remain irrevocable and unalterable until the Bonds and the interest thereon shall have been fully paid, satisfied and discharged, defeased or until such payment has been duly provided for.

Section 21. If any section, paragraph, clause or provision of this Resolution shall for any reason be held to be invalid or unenforceable, the invalidity or unenforceability of such section, paragraph, clause or provision shall not affect any of the remaining provisions of this Resolution.

Section 22. The following notice shall be published one time in a newspaper having general circulation in the District as soon as is practicable following the adoption hereof.

(Form of Notice)

LEGAL NOTICE

NOTICE IS HEREBY GIVEN that the Governing Board of the University of New Mexico Gallup Branch did on the 25th day of March, 2015 adopt a resolution entitled:

A RESOLUTION AUTHORIZING THE ISSUANCE AND SALE OF THE UNIVERSITY OF NEW MEXICO GALLUP BRANCH REFUNDING BONDS, SERIES 2015, IN AN AGGREGATE PRINCIPAL AMOUNT NOT TO EXCEED \$7,500,000, FOR THE PURPOSE OF PROVIDING FUNDS FOR REFUNDING THE UNIVERSITY OF NEW MEXICO GALLUP BRANCH GENERAL OBLIGATION (LIMITED TAX) BONDS, SERIES 2006 AND THE UNIVERSITY OF NEW MEXICO GALLUP BRANCH GENERAL OBLIGATION (LIMITED TAX) BONDS, SERIES 2007; PROVIDING THAT THE BONDS SHALL BE PAYABLE FROM AD VALOREM TAXES LEVIED ON ALL TAXABLE PROPERTY WITHIN

THE DISTRICT, LEVIED AT THE MAXIMUM RATE OF FIVE MILLS EXCEPT AS PROVIDED BY LAW; PROVIDING THAT CERTAIN TERMS OF THE BONDS WILL BE PROVIDED IN A SUBSEQUENT RESOLUTION; AND PROVIDING FOR OTHER DETAILS CONCERNING THE BONDS.

The Resolution directs and authorizes the issuance of the University of New Mexico Gallup Branch Refunding Bonds, Series 2015 in the aggregate principal amount not to exceed \$7,500,000; provides for the levy of taxes to pay the principal of and interest on the bonds; makes certain covenants with the bond purchaser; provides that certain details of the Bonds shall be provided in a subsequent resolution of the Board and provides other details concerning the Bonds. Complete copies of the Resolution are available for public inspection during normal and regular business hours at the Director's Conference Room, 705 Gurley Avenue, Gallup, New Mexico 87301. This notice constitutes compliance with Section 6-14-6 NMSA 1978.

Section 23. All acts and resolutions in conflict with this Resolution are hereby rescinded, annulled and repealed.

PASSED, ADOPTED AND APPROVED this 25th day of March, 2015

UNIVERSITY OF NEW MEXICO – GALLUP
BRANCH

By:  _____

Chair

[SEAL]

ATTEST:

By:  _____

Secretary

The motion to adopt such resolution upon being put to a vote was passed and adopted on the following recorded vote:

Those Voting Aye:	<u>Ralph Richards</u>
	<u>Olin Kieyoomia</u>
	<u>Aaron Kowalski</u>
	<u>Gerald O’Hara</u>
	<u>VACANT</u>

Those Voting Nay:	<u>None</u>
-------------------	-------------

Those Absent:	<u>None</u>
---------------	-------------

All four members of the Board having voted in favor of such motion, the presiding officer declared such motion carried and the resolution adopted, whereupon the Chair and Secretary signed the foregoing proceedings and resolution upon the records of the minutes of the Board.

After transaction of other business not related to the bond issue, the Board, upon motion duly made, seconded and carried, adjourned the meeting.

UNIVERSITY OF NEW MEXICO - GALLUP
BRANCH

By: _____
Chair

[SEAL]

ATTEST:

By: _____
Secretary

Y:\dcox\client\73740\0160\GENERAL\W2387288.DOCX

STATE OF NEW MEXICO)
) ss
COUNTY OF MCKINLEY)

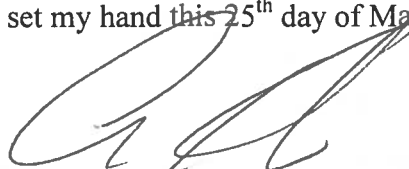
I, _____, the duly elected, qualified and acting Secretary of the Governing Board (the "Board") of the University of New Mexico – Gallup Branch in McKinley County (the "District") do hereby certify:

1. The foregoing pages are a true, perfect and complete copy of the record of the proceedings of the Board, constituting the governing board of the District, had and taken at a duly called regular meeting held at the Board's regular meeting place at the Director's Conference Room, 705 Gurley Avenue, Gallup, New Mexico 87301, on the 25th day of March, 2015, at the hour of 6:00 p.m., insofar as the same relate to the proposed bond issue, a copy of which is set forth in the regular book of official records of the proceedings of the Board. None of the action taken has been rescinded, repealed or modified.

2. The proceedings and the meeting were duly held and the persons therein named were present at the meeting, as therein shown.

3. Notice of such meeting was given in accordance with the open meetings standards resolution adopted by the Board and presently in effect, a copy of such notice being attached hereto as Exhibit "A."

IN WITNESS WHEREOF, I have hereunto set my hand this 25th day of March, 2015.



Secretary

[SEAL]

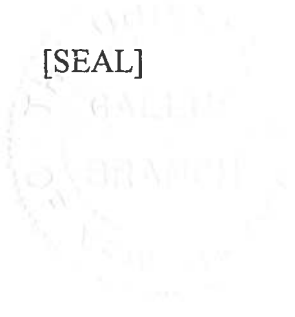


Exhibit "A"

Notice of Meeting

UNM GALLUP LOCAL BOARD MINUTES

Wednesday, March 25, 2015
Six O'clock p.m.

Gallup
DCR

UNM GALLUP LOCAL BOARD

Aaron Kowalski	P
Olin Kieyoomia	P
Gerald O'Hara	P
Ralph Richards.....	P
Vacant, Position 3	--

UNM-GALLUP STAFF AND GUESTS:

Chris Dyer, Executive Director	John Archuleta, Sr. Vice President & Manager, George K. Baum & Co.
Ken Roberts, Interim Dean of Instruction	Chris Muirhead, Modrall, Sperling, Roehl, Harris & Sisk, PA
Rick Goshorn, Interim Dir. Business Operations	Tony Majors, MCHS Board President
Marilee Petranovich, Marketing Communications Officer	Jarvis Bahe, Student Senate President
Jerry Dominguez, Vice Provost	Kyle Chancellor, Reporter Gallup Independent
Cynthia Sanders, Magistrate Judge City of Gallup	Christy Butler, Executive Assistant

AGENDA ITEM I & II - Call to Order & Roll Call

Vice Chair, Ralph Richards called the March 25, 2015, regular meeting of the UNM-Gallup Local Board to order at 6:02 PM with roll call. A quorum was present.

AGENDA ITEM III - Public Input

Jerry Dominguez reviewed the Operating Agreement draft document with the Board. The Board requested an addition to the Agreement that the Board have input to the hiring and removal of the Executive Director. Any questions should be directed to the Executive Director.

AGENDA ITEM IV - Introductions

- A. Cynthia Sanders, Magistrate Judge City of Gallup
- B. John Archuleta, Sr. Vice President & Manager, George K. Baum & Co.
- C. Chris Muirhead, Lawyer, Modrall, Sperling, Roehl, Harris & Sisk, PA
- D. Tony Majors, MCHS Board President
- E. Jarvis Bahe, Student Senate President
- F. Everyone attending introduced themselves to the Board.

AGENDA ITEM V – Oath of Office

- A. Aaron P. Kowalski, position 1, and
- B. Olin Kieyoomia, position 4, and
- C. Gerald Anthony O'Hara, position 5 were administered the Oath of Office by Judge Cynthia Sanders.

AGENDA ITEM VI – Vote to Approve Agenda

A motion was made by Gerald O'Hara to approve the March 25, 2015, UNM-Gallup Local Board Agenda as presented. The motion was seconded by Olin Kieyoomia, and approved by unanimous roll call vote at 6:45 PM.

AGENDA ITEM VII - Vote on Board Business

Vice Chair, Ralph Richards turned the gavel over to Olin Kieyoomia so he could make the motion to approve the January 21, 2014, regular meeting minutes as presented. The motion was seconded by Gerald O'Hara. Minutes were approved by unanimous roll call vote at 6:48 PM. Olin Kieyoomia returned the gavel to Ralph Richards.

AGENDA ITEM VIII – Board Education

- A. Adoption of Resolution to Refinance Series 2015 Refunding Bond - John Archuleta, George K. Baum & Co. distributed information on the refunding of series 2015. Chris Muirhead, Modral law firm explained the legal information on the bonds. Olin Kieyoomia moved to adopt the resolution authorizing the issuance and sale of the UNM Gallup refunding bonds, series 2015 not to exceed \$7,500,000, for the purpose of providing funds for refunding the UNMG general obligation bonds series 2006 and 2007. Motion was seconded by Gerald O'Hara. The motion was approved by unanimous roll call vote at 7:15 PM.

- B. Review of Budget Processes & Fiscal Year 2015 Budget - Rick Goshorn gave a PowerPoint presentation to the Board on mil levy, 2016 funding formula, performance measures, sources and uses of funds and budget summary showing tuition increases.

AGENDA ITEM IX - Old Business

None

AGENDA ITEM X - New Business

- A. Election 2015 – 2016 Board Officers
- i. Vice Chair, Ralph Richards opened the floor for nominations for Chair. Olin Kieyoomia nominated Ralph Richards. He accepted the nomination. Gerald O'Hara moved to close nominations seconded by Aaron Kowalski. By unanimous roll call vote Ralph Richards was elected as Chair of the Local Board.
 - ii. Chair, Ralph Richards opened the floor for nominations for Vice Chair. Aaron Kowalski nominated Olin Kieyoomia. Olin Kieyoomia accepted the nomination. Motion was made by Gerald O'Hara to close nominations seconded by Aaron Kowalski. By unanimous roll call vote Olin Kieyoomia was elected as Vice Chair.
 - iii. The Chair opened the floor for nominations for Secretary. Gerald O'Hara nominated Aaron Kowalski. He accepted the nomination. Motion was made by Gerald O'Hara to close nominations seconded by Olin Kieyoomia. By unanimous roll call vote Aaron Kowalski was elected as Secretary.
- B. Approve and Discuss filing Vacant Advisory Board Zuni Position 3 – Dr. Dyer announced Hayes Lewis, Superintendent of Zuni Public Schools, has expressed interest and willingness to be appointed to the Board for the next two years. The Board would like to have two candidates to choose from and have more gender diversity in the board. This item was tabled until next meeting.
- C. Approval of 2015-2016 Tuition and Fees – Rick Goshorn lead the discussion regarding an increase in tuition and fees. After much discussion, a motion was made by Olin Kieyoomia to increase the tuition by 7.1%. Motion seconded by Gerald O'Hara. By roll call vote motion passed with Aaron Kowalski as the only no vote at 8:54 PM.
- D. Approval 2014 – 2015 Budget Adjustment Request (BAR) – Rick Goshorn spoke on the BAR. A motion was made by Olin Kieyoomia to approve the 2015 Higher Education BAR, seconded by Aaron Kowalski. By unanimous roll call vote motion passed at 9:02 PM.
- E. Review and Discuss 2015-2016 UNMG Budget – Rick Goshorn reviewed the budget with the Board. Discussion followed. The budget will be voted on at the next meeting. The Board recommended Rick Goshorn move forward in developing the budget.

AGENDA ITEM XI - Adjournment

Olin Kieyoomia made a motion to adjourn. The motion was seconded by Gerald O'Hara and passed by unanimous roll call vote at 9:30 PM.

Ralph Richards, Chair

Aaron Kowalski, Secretary

Christy Butler
Executive Assistant

\$7,500,000
THE UNIVERSITY OF NEW MEXICO
Gallup Branch Refunding Bonds
Series 2015

FINANCING SCHEDULE

MARCH 2015						
S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

APRIL 2015						
S	M	T	W	T	F	S
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

MAY 2015						
S	M	T	W	T	F	S
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						

JUNE 2015						
S	M	T	W	T	F	S
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30				

DATE	ACTION	RESPONSIBLE PARTIES
Wednesday, March 18, 2015	Circulate proposed Parameters Resolution, Finance Schedule, Agenda Briefing Memo & updated Savings Report	Modrall G.K. Baum
Monday, March 23, 2015	Comments due on Parameters Resolution	All

DATE	ACTION	RESPONSIBLE PARTIES
Wednesday, March 25, 2015	UNM Gallup Board Meeting to adopt Parameters Resolution	Modrall G.K. Baum UNM-G
Wednesday, March 25, 2015	Deadline for material for Finance & Facilities Committee Meeting on April 7, 2015	Modrall G.K. Baum UNM-G UNM-Main
Thursday, March 26, 2015	Submit Notice of Adoption of Parameters Resolution to <i>The Gallup Independent</i> for publication on Monday, March 30, 2015	Modrall
Thursday, March 26, 2015	Distribute RFQ for Underwriters	G.K. Baum
Monday, March 30, 2015	Circulate Draft of Preliminary Official Statement & Bond Purchase Agreement	Modrall G.K. Baum
Monday, March 30, 2015	Publish Notice of Adoption of Parameters Resolution	Newspaper
Friday, April 3, 2015	Underwriter Response due	G.K. Baum UNM-G
Friday, April 3, 2015	Comments due on Preliminary Official Statement & Bond Purchase Agreement	All
Monday, April 6, 2015	Select Underwriter	G.K. Baum UNM-G
Tuesday, April 7, 2015	Finance & Facilities Committee Meeting	Modrall G.K. Baum UNM-G UNM-Main

DATE	ACTION	RESPONSIBLE PARTIES
Friday, April 10, 2015 9:00 a.m. – 2:00 p.m. Sub Ballroom C	Regents Meeting to consider Refunding	All
Monday, April 13, 2015	Higher Education Department Agenda Deadline	Modrall G.K. Baum UNM-G
Wednesday, April 29, 2015	Circulate Draft of Pricing Resolution & Escrow Deposit Agreement	Modrall
Wednesday, April 29, 2015	30-day Limitation of Action Period Expires	All
Monday, May 4, 2015	Comments due on Pricing Resolution & Escrow Deposit Agreement	All
Week of May 4, 2015	Rating Agency Presentation / Bond Insurance Application	G.K. Baum UNM-G Underwriter
Wednesday, May 13, 2015	Higher Education Department Meeting to obtain approval for proposed Bond Issue	Modrall G.K Baum UNM-G
Thursday, May 14, 2015	Due Diligence Conference Call	All
Thursday, May 14, 2015	Send Notice of Redemption of 2006 Bonds to Bondholders, DTC, AMBAC & post on EMMA	Modrall
Friday, May 15, 2015	Receive Rating / Bond Insurance Commitment	G.K. Baum UNM-G Underwriter

DATE	ACTION	RESPONSIBLE PARTIES
Monday, May 18, 2015	Post Preliminary Official Statement	G.K. Baum
Wednesday, May 27, 2015	Pricing / Marketing of Bonds	G.K. Baum UNM-G Underwriter
Wednesday, May 27, 2015	Gallup Board Meeting to adopt Pricing Resolution, sign Bond Purchase Agreement & approved Related Documents	All
Thursday, May 28, 2015	Submit Notice of Adoption of Pricing Resolution to the <i>Gallup Independent</i> for publication on Monday, June 1, 2015	Modrall
Friday, May 29, 2015	Circulate Draft of Official Statement	G.K. Baum
Monday, June 1, 2015	Publish Notice of Adoption of Pricing Resolution	Newspaper
Tuesday, June 2, 2015	Comments due on Official Statement	All
Thursday, June 4, 2015	Post Official Statement	G.K. Baum
Friday June 12, 2015	Circulate Draft Closing Documents	Modrall
Week of June 22, 2015	Signing of Closing Documents by Gallup Board & Officials	Modrall UNM-G
Thursday, June 25, 2015	Send Bonds to BOKF for authentication	Modrall BOKF

DATE	ACTION	RESPONSIBLE PARTIES
Tuesday, June 30, 2015	Closing (Telephonic) Redeem 2006 Bonds & Defease 2007 Bonds Send Notice of Redemption of 2007 Bonds to Bondholders, DTC, FSA & post on EMMA	All UNM-Main
Monday, August 3, 2015	Redeem 2007 Bonds	Modrall BOKF UNM-G UNM-Main

Y:\dox\client\73740\0160\GENERAL\W2386660.DOC

TABLE OF CONTENTS

University of New Mexico - Gallup Branch Community College District
General Obligation Refunding Bonds, Series 2015

Report	Page
Sources and Uses of Funds	1
Bond Summary Statistics	2
Bond Debt Service	3
Bond Pricing	5
Summary of Refunding Results	6
Summary of Bonds Refunded	7
Savings	8
Underwriter's Discount	9
Cost of Issuance	10
Escrow Descriptions	11
Escrow Cost	12
Escrow Cash Flow	13
Escrow Sufficiency	14
Escrow Statistics	15
Form 8038 Statistics	16

SOURCES AND USES OF FUNDS

University of New Mexico - Gallup Branch Community College District
General Obligation Refunding Bonds, Series 2015

Dated Date	06/30/2015
Delivery Date	06/30/2015

Sources:

Bond Proceeds:

Par Amount	7,135,000.00
Premium	526,092.70

	7,661,092.70
--	--------------

Uses:

Refunding Escrow Deposits:

Cash Deposit	3,600,467.52
SLGS Purchases	3,928,053.00
	<hr/> 7,528,520.52

Delivery Date Expenses:

Cost of Issuance	95,000.00
Underwriter's Discount	35,675.00
	<hr/> 130,675.00

Other Uses of Funds:

Additional Proceeds	1,897.18
---------------------	----------

	7,661,092.70
--	--------------

BOND SUMMARY STATISTICS

University of New Mexico - Gallup Branch Community College District
General Obligation Refunding Bonds, Series 2015

Dated Date	06/30/2015
Delivery Date	06/30/2015
Last Maturity	08/01/2023
Arbitrage Yield	1.890816%
True Interest Cost (TIC)	1.998217%
Net Interest Cost (NIC)	2.100470%
All-In TIC	2.287622%
Average Coupon	3.565741%
Average Life (years)	4.691
Weighted Average Maturity (years)	4.767
Duration of Issue (years)	4.385
Par Amount	7,135,000.00
Bond Proceeds	7,661,092.70
Total Interest	1,193,432.36
Net Interest	703,014.66
Total Debt Service	8,328,432.36
Maximum Annual Debt Service	1,481,200.00
Average Annual Debt Service	1,029,967.59
Underwriter's Fees (per \$1000)	
Average Takedown	-
Other Fee	5.000000
Total Underwriter's Discount	5.000000
Bid Price	106.873409

Bond Component	Par Value	Price	Average Coupon	Average Life
Bond Component	7,135,000.00	107.373	3.566%	4.691
	7,135,000.00			4.691

	TIC	All-In TIC	Arbitrage Yield
Par Value	7,135,000.00	7,135,000.00	7,135,000.00
+ Accrued Interest	-	-	-
+ Premium (Discount)	526,092.70	526,092.70	526,092.70
- Underwriter's Discount	(35,675.00)	(35,675.00)	
- Cost of Issuance Expense		(95,000.00)	
- Other Amounts	-	-	-
Target Value	7,625,417.70	7,530,417.70	7,661,092.70
Target Date	06/30/2015	06/30/2015	06/30/2015
Yield	1.998217%	2.287622%	1.890816%

BOND DEBT SERVICE

University of New Mexico - Gallup Branch Community College District
General Obligation Refunding Bonds, Series 2015

Dated Date 06/30/2015
Delivery Date 06/30/2015

Period Ending	Principal	Coupon	Interest	Debt Service
08/01/2015	55,000	2.000%	19,732.36	74,732.36
08/01/2016	855,000	2.000%	228,050.00	1,083,050.00
08/01/2017	735,000	2.000%	210,950.00	945,950.00
08/01/2018	745,000	3.000%	196,250.00	941,250.00
08/01/2019	785,000	3.000%	173,900.00	958,900.00
08/01/2020	805,000	3.000%	150,350.00	955,350.00
08/01/2021	1,355,000	4.000%	126,200.00	1,481,200.00
08/01/2022	1,400,000	4.000%	72,000.00	1,472,000.00
08/01/2023	400,000	4.000%	16,000.00	416,000.00
	7,135,000		1,193,432.36	8,328,432.36

BOND DEBT SERVICE

University of New Mexico - Gallup Branch Community College District
General Obligation Refunding Bonds, Series 2015

Dated Date 06/30/2015
Delivery Date 06/30/2015

Period Ending	Principal	Coupon	Interest	Debt Service	Annual Debt Service
08/01/2015	55,000	2.000%	19,732.36	74,732.36	74,732.36
02/01/2016	-	-	114,025.00	114,025.00	-
08/01/2016	855,000	2.000%	114,025.00	969,025.00	1,083,050.00
02/01/2017	-	-	105,475.00	105,475.00	-
08/01/2017	735,000	2.000%	105,475.00	840,475.00	945,950.00
02/01/2018	-	-	98,125.00	98,125.00	-
08/01/2018	745,000	3.000%	98,125.00	843,125.00	941,250.00
02/01/2019	-	-	86,950.00	86,950.00	-
08/01/2019	785,000	3.000%	86,950.00	871,950.00	958,900.00
02/01/2020	-	-	75,175.00	75,175.00	-
08/01/2020	805,000	3.000%	75,175.00	880,175.00	955,350.00
02/01/2021	-	-	63,100.00	63,100.00	-
08/01/2021	1,355,000	4.000%	63,100.00	1,418,100.00	1,481,200.00
02/01/2022	-	-	36,000.00	36,000.00	-
08/01/2022	1,400,000	4.000%	36,000.00	1,436,000.00	1,472,000.00
02/01/2023	-	-	8,000.00	8,000.00	-
08/01/2023	400,000	4.000%	8,000.00	408,000.00	416,000.00
	7,135,000		1,193,432.36	8,328,432.36	8,328,432.36

BOND PRICING

University of New Mexico - Gallup Branch Community College District
General Obligation Refunding Bonds, Series 2015

Bond Component	Maturity Date	# Bonds	Amount	Rate	Yield	Price
Bond Component:						
	08/01/2015	11	55,000	2.000%	0.350%	100.141
	08/01/2016	171	855,000	2.000%	0.470%	101.655
	08/01/2017	147	735,000	2.000%	0.810%	102.456
	08/01/2018	149	745,000	3.000%	1.230%	105.343
	08/01/2019	157	785,000	3.000%	1.550%	105.718
	08/01/2020	161	805,000	3.000%	1.750%	106.056
	08/01/2021	271	1,355,000	4.000%	1.980%	111.527
	08/01/2022	280	1,400,000	4.000%	2.260%	111.332
	08/01/2023	80	400,000	4.000%	2.440%	111.383
		1,427	7,135,000			

Dated Date 06/30/2015
Delivery Date 06/30/2015
First Coupon 08/01/2015

SUMMARY OF REFUNDING RESULTS

University of New Mexico - Gallup Branch Community College District
General Obligation Refunding Bonds, Series 2015

Dated Date	06/30/2015
Delivery Date	06/30/2015
Arbitrage yield	1.890816%
Escrow yield	0.010152%
Value of Negative Arbitrage	6,326.18
Bond Par Amount	7,135,000.00
True Interest Cost	1.998217%
Net Interest Cost	2.100470%
All-In TIC	2.287622%
Average Coupon	3.565741%
Average Life	4.691
Par amount of refunded bonds	7,300,000.00
Average coupon of refunded bonds	4.048158%
Average life of refunded bonds	4.761
PV of prior debt to 06/30/2015 @ 1.890816%	8,221,193.79
Net PV Savings	561,998.27
Percentage savings of refunded bonds	7.698606%
Percentage savings of refunding bonds	7.876640%

SUMMARY OF BONDS REFUNDED

University of New Mexico - Gallup Branch Community College District
General Obligation Refunding Bonds, Series 2015

Bond	Maturity Date	Interest Rate	Par Amount	Call Date	Call Price
General Obligation Bonds, Series 2006, SER2006:					
BOND	06/01/2016	4.250%	500,000.00	06/30/2015	100.000
	06/01/2017	4.000%	500,000.00	06/30/2015	100.000
	06/01/2018	4.000%	500,000.00	06/30/2015	100.000
	06/01/2019	4.000%	500,000.00	06/30/2015	100.000
	06/01/2020	4.000%	500,000.00	06/30/2015	100.000
	06/01/2021	4.000%	950,000.00	06/30/2015	100.000
			3,450,000.00		
General Obligation Bonds (Limited Tax), SER2007:					
BOND	08/01/2016	4.000%	225,000.00	08/01/2015	100.000
	08/01/2017	4.000%	250,000.00	08/01/2015	100.000
	08/01/2018	4.000%	275,000.00	08/01/2015	100.000
	08/01/2019	4.000%	325,000.00	08/01/2015	100.000
	08/01/2020	4.000%	350,000.00	08/01/2015	100.000
	08/01/2021	4.050%	500,000.00	08/01/2015	100.000
	08/01/2022	4.100%	1,500,000.00	08/01/2015	100.000
	08/01/2023	4.100%	425,000.00	08/01/2015	100.000
			3,850,000.00		
			7,300,000.00		

SAVINGS

University of New Mexico - Gallup Branch Community College District General Obligation Refunding Bonds, Series 2015

Date	Prior Debt Service	Refunding Debt Service	Savings	Present Value to 06/30/2015 @ 1.8908161%
08/01/2015	78,087.50	74,732.36	3,355.14	3,349.71
08/01/2016	1,159,675.00	1,083,050.00	76,625.00	79,078.82
08/01/2017	1,015,175.00	945,950.00	69,225.00	68,674.77
08/01/2018	1,010,175.00	941,250.00	68,925.00	66,983.56
08/01/2019	1,029,175.00	958,900.00	70,275.00	66,888.52
08/01/2020	1,021,175.00	955,350.00	65,825.00	61,499.73
08/01/2021	1,587,175.00	1,481,200.00	105,975.00	97,320.97
08/01/2022	1,578,925.00	1,472,000.00	106,925.00	93,604.44
08/01/2023	442,425.00	416,000.00	26,425.00	22,700.57
	8,921,987.50	8,328,432.36	593,555.14	560,101.09

Savings Summary

PV of savings from cash flow	560,101.09
Plus: Refunding funds on hand	1,897.18
Net PV Savings	561,998.27

UNDERWRITER'S DISCOUNT

University of New Mexico - Gallup Branch Community College District
General Obligation Refunding Bonds, Series 2015

Underwriter's Discount	\$/1000	Amount
Other Underwriter's Discount	5.00	35,675.00
	5.00	35,675.00

COST OF ISSUANCE

University of New Mexico - Gallup Branch Community College District
General Obligation Refunding Bonds, Series 2015

Cost of Issuance	\$/1000	Amount
Other Cost of Issuance	13.31465	95,000.00
	13.31465	95,000.00

ESCROW DESCRIPTIONS

University of New Mexico - Gallup Branch Community College District
General Obligation Refunding Bonds, Series 2015

Type of Security	Type of SLGS	Maturity Date	First Int Pmt Date	Par Amount	Rate	Max Rate
Jun 30, 2015:						
SLGS	Certificate	08/01/2015	08/01/2015	3,928,053	0.010%	0.010%
				3,928,053		

SLGS Summary

SLGS Rates File	23FEB15
Total Certificates of Indebtedness	3,928,053.00

ESCROW COST

University of New Mexico - Gallup Branch Community College District
General Obligation Refunding Bonds, Series 2015

Type of Security	Maturity Date	Par Amount	Rate	Total Cost
SLGS	08/01/2015	3,928,053	0.010%	3,928,053.00
		3,928,053		3,928,053.00

Purchase Date	Cost of Securities	Cash Deposit	Total Escrow Cost	Yield
06/30/2015	3,928,053	3,600,467.52	7,528,520.52	0.010152%
	3,928,053	3,600,467.52	7,528,520.52	

ESCROW CASH FLOW

University of New Mexico - Gallup Branch Community College District
General Obligation Refunding Bonds, Series 2015

Date	Principal	Interest	Net Escrow Receipts	Present Value to 06/30/2015 @ 0.0101525%
08/01/2015	3,928,053.00	34.34	3,928,087.34	3,928,053.00
	3,928,053.00	34.34	3,928,087.34	3,928,053.00

Escrow Cost Summary

Purchase date	06/30/2015
Purchase cost of securities	3,928,053.00
Target for yield calculation	3,928,053.00

ESCROW SUFFICIENCY

University of New Mexico - Gallup Branch Community College District
General Obligation Refunding Bonds, Series 2015

Date	Escrow Requirement	Net Escrow Receipts	Excess Receipts	Excess Balance
06/30/2015	3,600,467.36	3,600,467.52	0.16	0.16
08/01/2015	3,928,087.50	3,928,087.34	(0.16)	-
	7,528,554.86	7,528,554.86	0.00	

ESCROW STATISTICS

University of New Mexico - Gallup Branch Community College District
General Obligation Refunding Bonds, Series 2015

Total Escrow Cost	Modified Duration (years)	Yield to Receipt Date	Yield to Disbursement Date	Perfect Escrow Cost	Value of Negative Arbitrage	Cost of Dead Time
Global Proceeds Escrow: 7,528,520.52	0.086	0.010152%	0.010152%	7,522,194.34	6,326.18	-
7,528,520.52				7,522,194.34	6,326.18	0.00

Delivery date 06/30/2015
Arbitrage yield 1.890816%

FORM 8038 STATISTICS

University of New Mexico - Gallup Branch Community College District
General Obligation Refunding Bonds, Series 2015Dated Date 06/30/2015
Delivery Date 06/30/2015

Bond Component	Date	Principal	Coupon	Price	Issue Price	Redemption at Maturity
Bond Component:						
	08/01/2015	55,000.00	2.000%	100.141	55,077.55	55,000.00
	08/01/2016	855,000.00	2.000%	101.655	869,150.25	855,000.00
	08/01/2017	735,000.00	2.000%	102.456	753,051.60	735,000.00
	08/01/2018	745,000.00	3.000%	105.343	784,805.35	745,000.00
	08/01/2019	785,000.00	3.000%	105.718	829,886.30	785,000.00
	08/01/2020	805,000.00	3.000%	106.056	853,750.80	805,000.00
	08/01/2021	1,355,000.00	4.000%	111.527	1,511,190.85	1,355,000.00
	08/01/2022	1,400,000.00	4.000%	111.332	1,558,648.00	1,400,000.00
	08/01/2023	400,000.00	4.000%	111.383	445,532.00	400,000.00
		7,135,000.00			7,661,092.70	7,135,000.00

	Maturity Date	Interest Rate	Issue Price	Stated Redemption at Maturity	Weighted Average Maturity	Yield
Final Maturity	08/01/2023	4.000%	445,532.00	400,000.00	-	-
Entire Issue	-	-	7,661,092.70	7,135,000.00	4.7669	1.8908%

Proceeds used for accrued interest	0.00
Proceeds used for bond issuance costs (including underwriters' discount)	130,675.00
Proceeds used for credit enhancement	0.00
Proceeds allocated to reasonably required reserve or replacement fund	0.00
Proceeds used to currently refund prior issues	7,528,520.52
Proceeds used to advance refund prior issues	0.00
Remaining weighted average maturity of the bonds to be currently refunded	4.7608
Remaining weighted average maturity of the bonds to be advance refunded	0.0000

FORM 8038 STATISTICS

University of New Mexico - Gallup Branch Community College District
General Obligation Refunding Bonds, Series 2015Refunded Bonds

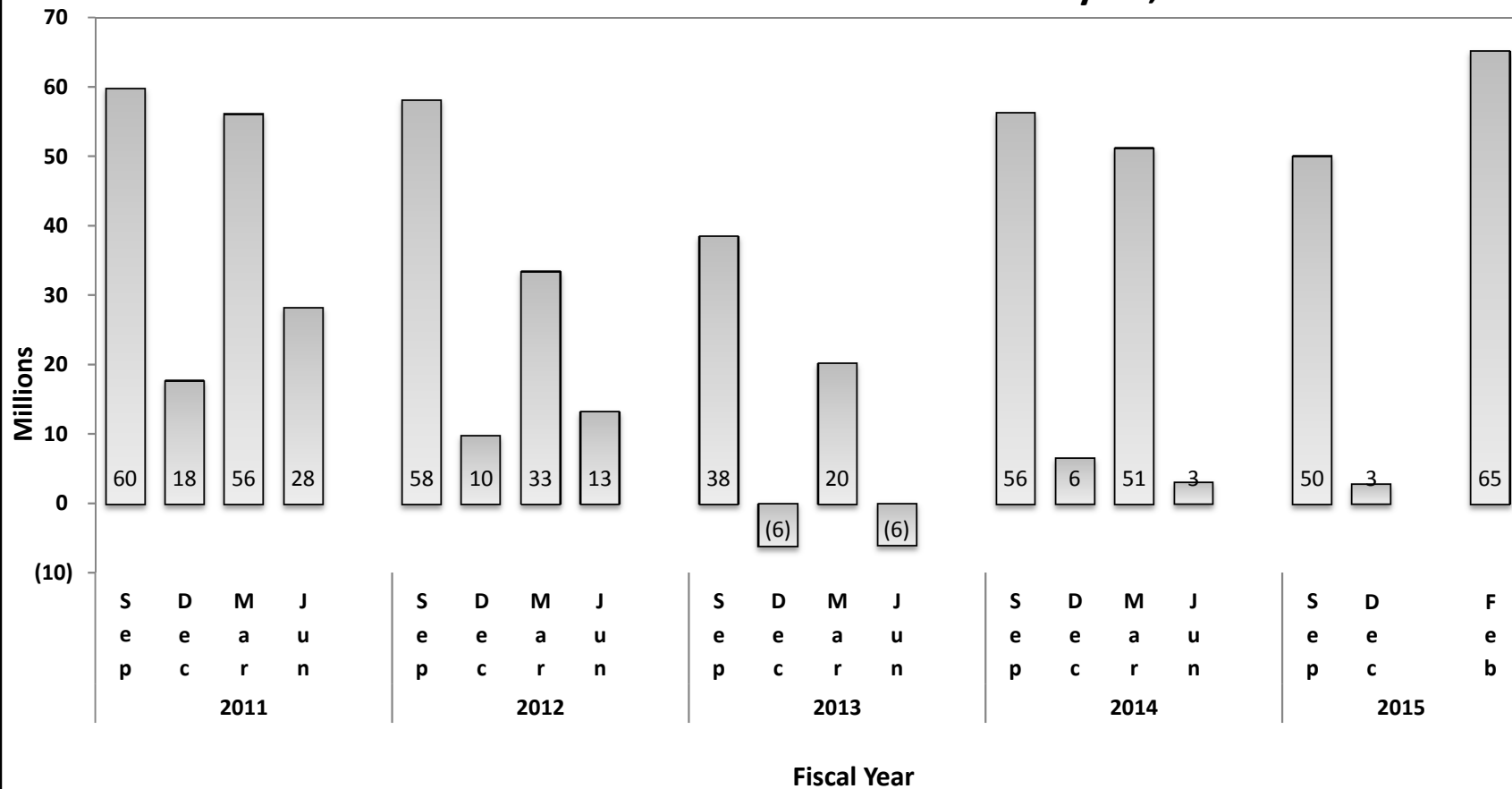
Bond Component	Date	Principal	Coupon	Price	Issue Price
General Obligation Bonds, Series 2006:					
BOND	06/01/2016	500,000.00	4.250%	100.000	500,000.00
BOND	06/01/2017	500,000.00	4.000%	100.000	500,000.00
BOND	06/01/2018	500,000.00	4.000%	100.000	500,000.00
BOND	06/01/2019	500,000.00	4.000%	100.000	500,000.00
BOND	06/01/2020	500,000.00	4.000%	100.000	500,000.00
BOND	06/01/2021	950,000.00	4.000%	100.000	950,000.00
		3,450,000.00			3,450,000.00
General Obligation Bonds (Limited Tax):					
BOND	08/01/2016	225,000.00	4.000%	100.000	225,000.00
BOND	08/01/2017	250,000.00	4.000%	100.000	250,000.00
BOND	08/01/2018	275,000.00	4.000%	100.000	275,000.00
BOND	08/01/2019	325,000.00	4.000%	100.000	325,000.00
BOND	08/01/2020	350,000.00	4.000%	100.000	350,000.00
BOND	08/01/2021	500,000.00	4.050%	100.000	500,000.00
BOND	08/01/2022	1,500,000.00	4.100%	100.000	1,500,000.00
BOND	08/01/2023	425,000.00	4.100%	100.000	425,000.00
		3,850,000.00			3,850,000.00
		7,300,000.00			7,300,000.00

	Last Call Date	Issue Date	Remaining Weighted Average Maturity
General Obligation Bonds, Series 2006	06/30/2015	06/01/2014	3.7455
General Obligation Bonds (Limited Tax)	08/01/2015	08/01/2014	5.6705
All Refunded Issues	08/01/2015	-	4.7608



THE UNIVERSITY of
NEW MEXICO

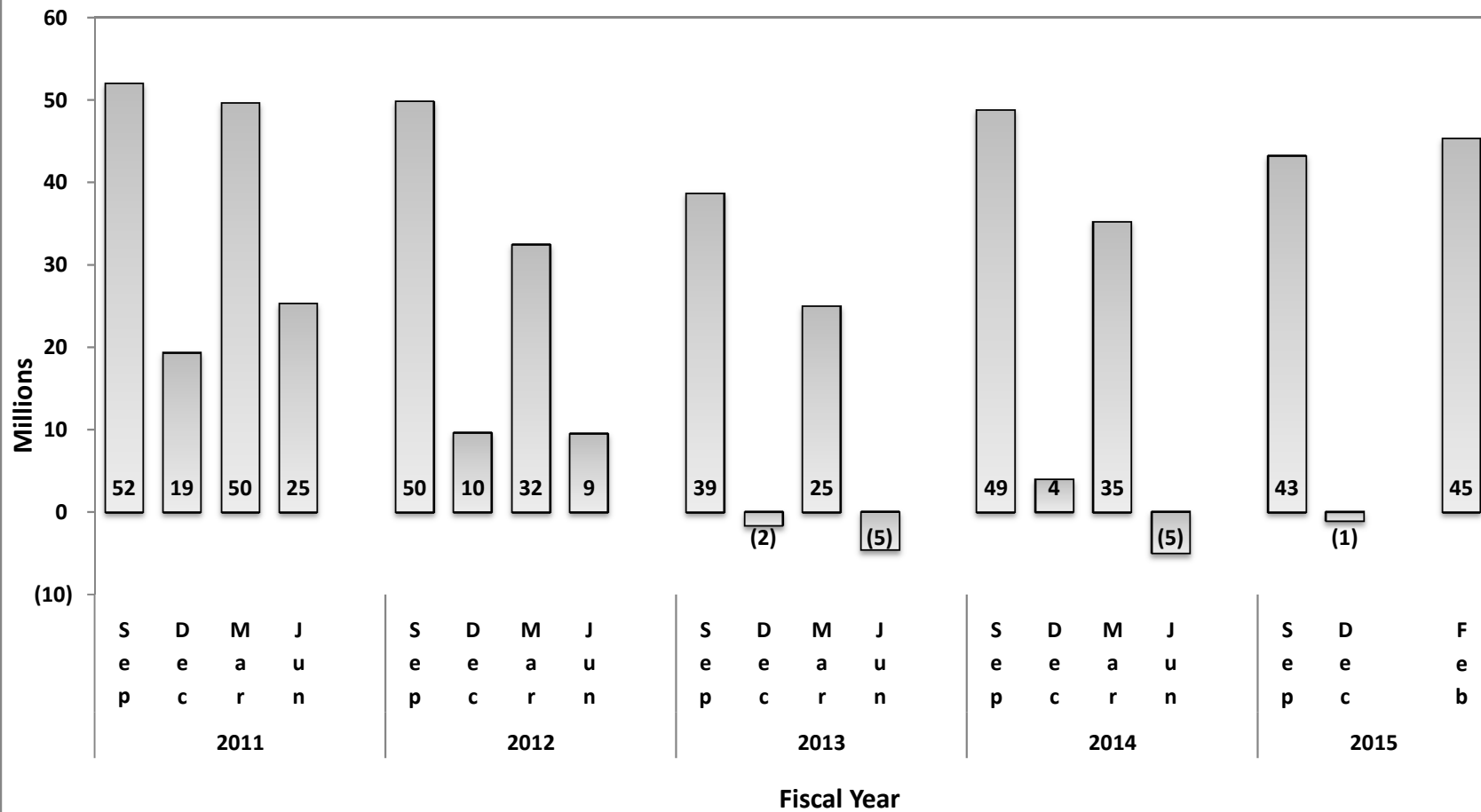
CONSOLIDATED Total Operations - 5 Year Net Revenue / (Expense)
Fiscal Year to Date as of February 28, 2015





THE UNIVERSITY of NEW MEXICO

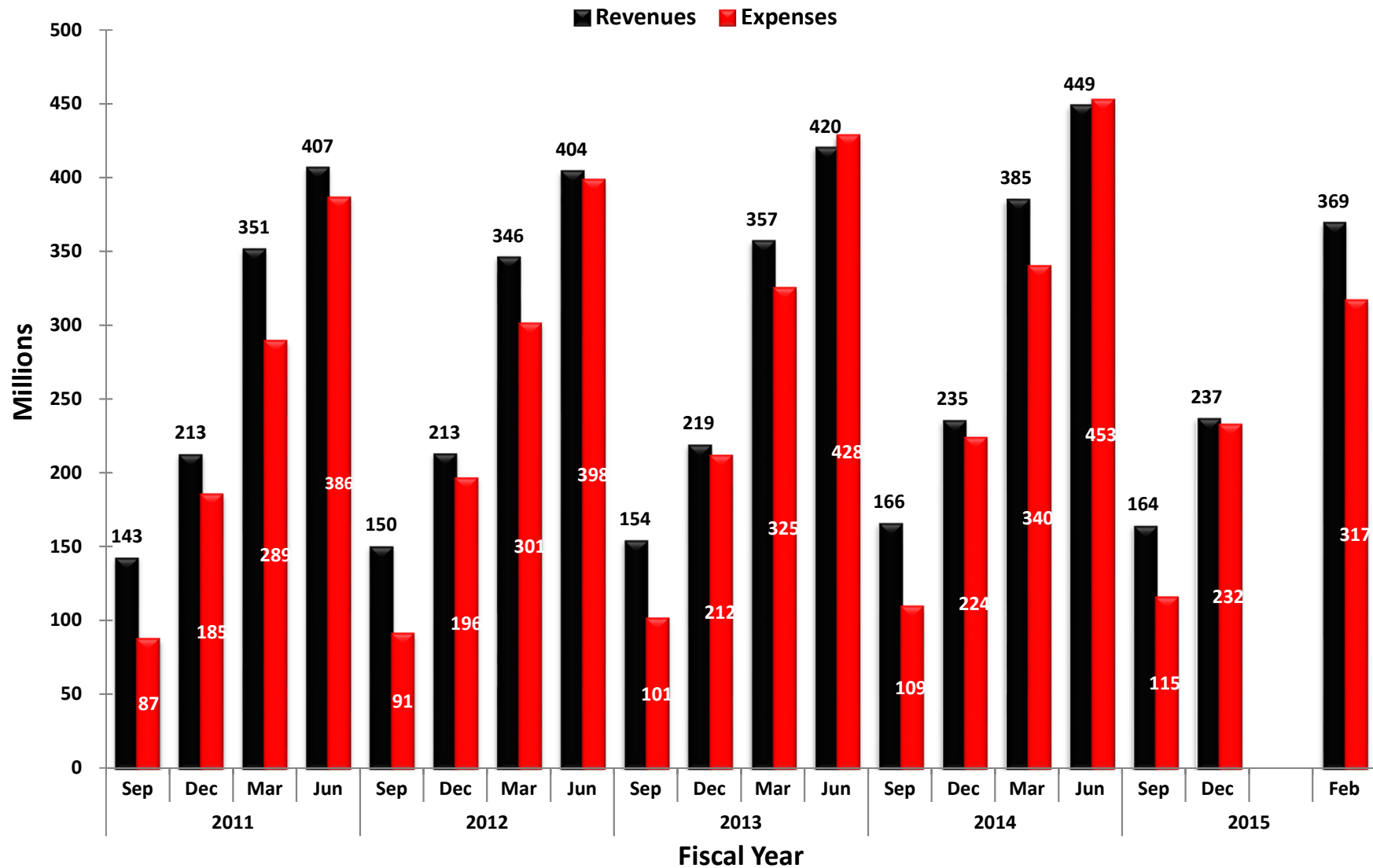
MAIN CAMPUS Operations - 5 Year Net Revenue / (Expense) Fiscal Year to Date as of February 28, 2015





YTD I&G Consolidated Revenues / Expenses (5 Year)

Fiscal Year to Date as of February 28, 2015



Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the eight month ended February 28, 2015
Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 67%	FY 2014 Year-to-Date Actual	FY 2015 YTD Actual Change From FY 2014 YTD Actual
Instruction and General						
Tuition and Fees Revenues						
Main Campus	148,853,887	144,087,855	(4,766,032)	97%	154,656,769	(10,568,914)
Branch Campuses	8,121,795	7,039,285	(1,082,510)	87%	7,952,066	(912,781)
HSC Campus	14,864,414	14,736,409	(128,005)	99%	14,302,843	433,566
Total Tuition and Fees Revenues	171,840,096	165,863,549	(5,976,547)	97%	176,911,678	(11,048,129)
State/Local Appropriations	283,183,490	188,788,994	(94,394,496)	67%	180,868,362	7,920,632
F & A Revenues	42,500,000	27,944,886	(14,555,114)	66%	28,226,377	(281,491)
Transfers	(48,934,521)	(35,792,602)	13,141,919	73%	(38,367,165)	2,574,563
Other Revenues	25,441,130	22,521,911	(2,919,219)	89%	15,347,454	7,174,457
Total Instruction and General Revenues	474,030,195	369,326,738	(104,703,457)	78%	362,986,706	6,340,032
Salaries	292,067,719	193,431,021	98,636,698	66%	187,605,070	(5,825,951)
Benefits	97,103,481	63,029,343	34,074,138	65%	57,608,389	(5,420,954)
Other Expenses	94,495,504	60,084,779	34,410,725	64%	53,486,036	(6,598,743)
Total Instruction and General Expenses	483,666,704	316,545,143	167,121,561	65%	298,699,494	(17,845,649)
Net Instruction and General Revenue/(Expense)	(9,636,509)	52,781,595	62,418,104		64,287,212	(11,505,617)
Research						
State/Local Appropriations	11,723,413	7,975,440	(3,747,973)	68%	7,499,519	475,921
Transfers	27,802,419	13,150,095	(14,652,324)	47%	12,663,524	486,571
Other Revenues	4,033,420	2,215,048	(1,818,372)	55%	1,521,235	693,813
Total Research Revenues	43,559,252	23,340,583	(20,218,669)	54%	21,684,278	1,656,305
Salaries and Benefits	27,458,298	15,611,723	11,846,575	57%	15,605,186	(6,537)
Other Expenses	23,606,309	11,008,338	12,597,971	47%	11,640,948	632,610
Total Research Expenses	51,064,607	26,620,061	24,444,546	52%	27,246,134	626,073
Net Research Revenue/(Expense)	(7,505,355)	(3,279,478)	4,225,877		(5,561,856)	2,282,378
Public Service						
State/Local Appropriations	4,590,850	3,060,567	(1,530,283)	67%	2,445,726	614,841
Sales and Services Revenues	18,092,742	11,412,361	(6,680,381)	63%	10,091,898	1,320,463
Gifts	8,545,510	7,440,907	(1,104,603)	87%	7,145,145	295,762
Transfers	3,718,371	857,705	(2,860,666)	23%	1,721,185	(863,480)
Other Revenues	5,960,699	4,849,868	(1,110,831)	81%	4,243,267	606,601
Total Public Service Revenues	40,908,172	27,621,408	(13,286,764)	68%	25,647,221	1,974,187
Salaries and Benefits	21,350,415	14,879,572	6,470,843	70%	13,965,026	(914,546)
Other Expenses	22,865,495	13,130,463	9,735,032	57%	12,853,614	(276,849)
Total Public Service Expenses	44,215,910	28,010,035	16,205,875	63%	26,818,640	(1,191,395)
Net Public Service Revenue/(Expense)	(3,307,738)	(388,627)	2,919,111		(1,171,419)	782,792

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the eight month ended February 28, 2015
Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 67%	FY 2014 Year-to-Date Actual	FY 2015 YTD Actual Change From FY 2014 YTD Actual
Student Aid						
Gifts	4,878,957	3,778,221	(1,100,736)	77%	3,083,611	694,610
State Lottery Scholarship	37,485,948	37,485,948	-	100%	37,485,948	-
Transfers	17,402,492	12,480,072	(4,922,420)	72%	9,956,627	2,523,445
Other Revenues	1,068,509	885,235	(183,274)	83%	1,639,394	(754,159)
Total Student Aid Revenues	60,835,906	54,629,476	(6,206,430)	90%	52,165,580	2,463,896
Salaries and Benefits	3,800,713	3,151,205	649,508	83%	3,130,032	(21,173)
Other Expenses	62,587,065	53,776,240	8,810,825	86%	58,054,809	4,278,569
Total Student Aid Expenses	66,387,778	56,927,445	9,460,333	86%	61,184,841	4,257,396
Net Student Aid Revenue/(Expense)	(5,551,872)	(2,297,969)	3,253,903		(9,019,261)	6,721,292
Student Social & Cultural Programs						
Fee Revenues	8,237,848	7,241,780	(996,068)	88%	5,429,146	1,812,634
Sales and Services Revenues	1,462,327	1,182,996	(279,331)	81%	1,158,199	24,797
Transfers	(671,029)	426,304	1,097,333	-64%	352,998	73,306
Other Revenues	168,750	129,664	(39,086)	77%	66,629	63,035
Total Student Social & Cultural Programs Revenues	9,197,896	8,980,744	(217,152)	98%	7,006,972	1,973,772
Salaries and Benefits	4,499,398	3,015,055	1,484,343	67%	2,880,856	(134,199)
Other Expenses	4,866,046	3,114,942	1,751,104	64%	2,501,142	(613,800)
Total Student Social & Cultural Programs Expenses	9,365,444	6,129,997	3,235,447	65%	5,381,998	(747,999)
Net Student Social & Cultural Programs Revenue/(Expense)	(167,548)	2,850,747	3,018,295		1,624,974	1,225,773
Auxiliaries and Athletics						
Branch Campuses Auxiliary Revenues	2,484,467	1,655,622	(828,845)	67%	1,899,026	(243,404)
Main Campus Auxiliaries Revenues	54,242,922	45,488,415	(8,754,507)	84%	42,692,190	2,796,225
Athletics Revenues	33,034,361	24,113,604	(8,920,757)	73%	24,512,308	(398,704)
Total Auxiliaries and Athletics Revenues	89,761,750	71,257,641	(18,504,109)	79%	69,103,524	2,154,117
Branch Campuses Auxiliary Expenses	2,736,566	1,869,555	867,011	68%	1,732,740	(136,815)
Main Campus Auxiliaries Expenses	54,642,339	37,812,140	16,830,199	69%	34,449,116	(3,363,024)
Athletics Expenses	33,063,511	24,956,545	8,106,966	75%	24,562,533	(394,012)
Total Auxiliaries and Athletics Expenses	90,442,416	64,638,240	25,804,176	71%	60,744,389	(3,893,851)
Net Auxiliaries and Athletics Revenue/(Expense)	(680,666)	6,619,401	7,300,067		8,359,135	(1,739,734)

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the eight month ended February 28, 2015
Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 67%	FY 2014 Year-to-Date Actual	FY 2015 YTD Actual Change From FY 2014 YTD Actual
Sponsored Programs						
Federal Grants and Contracts Revenues	245,329,835	173,891,343	(71,438,492)	71%	173,115,937	775,406
State and Local Grants and Contracts Revenues	29,481,218	19,160,614	(10,320,604)	65%	18,376,373	784,241
Non-Governmental Grants and Contracts Revenues	29,108,293	24,588,020	(4,520,273)	84%	21,798,097	2,789,923
Gifts	-	67,183	67,183	N/A	129,161	(61,978)
Transfers	4,077,916	1,630,352	(2,447,564)	40%	3,242,018	(1,611,666)
Other Revenues	4,000	-	(4,000)	0%	-	-
Total Sponsored Programs Revenues	308,001,262	219,337,512	(88,663,750)	71%	216,661,586	2,675,926
Salaries and Benefits	152,600,879	88,961,871	63,639,008	58%	87,146,069	(1,815,802)
Other Expenses	155,400,383	130,375,641	25,024,742	84%	129,515,517	(860,124)
Total Sponsored Programs Expenses	308,001,262	219,337,512	88,663,750	71%	216,661,586	(2,675,926)
Net Sponsored Programs Revenue/(Expense)	-	-	-		-	-
Clinical Operations						
State/Local Appropriations	26,204,400	17,469,601	(8,734,799)	67%	16,762,208	707,393
Physician Professional Fee Revenues	121,922,390	81,587,652	(40,334,738)	67%	66,603,124	14,984,528
Hospital Facility Revenues	768,691,833	538,465,401	(230,226,432)	70%	461,451,635	77,013,766
Other Patient Revenues, net of Allowance	119,568,426	82,386,977	(37,181,449)	69%	74,850,507	7,536,470
Mil Levy	92,780,044	61,853,362	(30,926,682)	67%	61,135,876	717,486
Investment Income	13,238,733	8,849,686	(4,389,047)	67%	10,217,807	(1,368,121)
Gifts	3,770,598	2,582,025	(1,188,573)	68%	3,024,046	(442,021)
Housestaff Revenues	33,884,089	22,306,249	(11,577,840)	66%	23,623,544	(1,317,295)
Other Revenues	23,393,245	13,743,560	(9,649,685)	59%	16,868,478	(3,124,918)
Total Clinical Operations Revenues	1,203,453,758	829,244,513	(374,209,245)	69%	734,537,225	94,707,288
Salaries and Benefits	657,263,695	441,666,851	215,596,844	67%	406,154,199	(35,512,652)
Interest Expense	8,077,123	5,365,424	2,711,699	66%	5,513,254	147,830
Housestaff Expenses	33,882,767	22,709,425	11,173,342	67%	22,894,785	185,360
Other Expenses	507,129,565	350,713,047	156,416,518	69%	302,334,511	(48,378,536)
Total Clinical Operations Expenses	1,206,353,150	820,454,747	385,898,403	68%	736,896,749	(83,557,998)
Net Clinical Operations Revenue/(Expense)	(2,899,392)	8,789,766	11,689,158		(2,359,524)	11,149,290
Contingencies						
Total Contingency Revenues	(9,668,882)	-	9,668,882	0%	-	-
Total Contingency Expenses	(3,076,371)	-	3,076,371	0%	-	-
Net Contingencies Revenue/(Expense)	(6,592,511)	-	6,592,511		-	-
Net Current Revenue/(Expense)	(36,341,591)	65,075,435	101,417,026		56,159,261	8,916,174

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the eight month ended February 28, 2015
Preliminary and Unaudited

University of New Mexico - Main Campus Athletics & Auxiliary Operations

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 67%	FY 2014 Year-to-Date Actual	FY 2015 YTD Actual Change From FY 2014 YTD Actual
Results of Athletics Operations:						
Athletics Revenues	36,421,474	26,597,767	(9,823,707)	73%	26,815,271	(217,504)
Athletics Transfers	(3,387,113)	(2,484,163)	902,950	73%	(2,302,963)	(181,200)
Total Athletics Revenues	33,034,361	24,113,604	(8,920,757)	73%	24,512,308	(398,704)
Athletics Expenses						
Salaries and Benefits	14,261,987	10,085,080	4,176,907	71%	9,695,248	(389,832)
Grant-in-Aid	4,095,878	3,770,514	325,364	92%	3,497,624	(272,890)
Other Expenses	14,705,646	11,100,951	3,604,695	75%	11,369,661	268,710
Total Athletics Expenses	33,063,511	24,956,545	8,106,966	75%	24,562,533	(394,012)
Total Net Athletics Revenue/(Expense)	(29,150)	(842,941)	(813,791)		(50,225)	(792,716)
Results of Auxiliary Operations:						
VP for Institutional Support Services						
Bookstore Revenues	15,474,125	12,641,753	(2,832,372)	82%	13,919,069	(1,277,316)
Bookstore Transfers	(453,860)	(233,333)	220,527	51%	(233,333)	-
Total Bookstore Revenues	15,020,265	12,408,420	(2,611,845)	83%	13,685,736	(1,277,316)
Total Bookstore Expenses	15,020,265	11,454,106	3,566,159	76%	12,276,430	822,324
Net Bookstore Revenue/(Expense)	-	954,314	954,314		1,409,306	(454,992)
Faculty & Staff Club Revenues	86,200	66,847	(19,353)	78%	67,785	(938)
Faculty & Staff Club Expenses	86,200	38,333	47,867	44%	46,362	8,029
Net Faculty & Staff Club Revenue/(Expense)	-	28,514	28,514		21,423	7,091
Food Service/Dining Revenues	3,322,800	1,930,525	(1,392,275)	58%	2,406,054	(475,529)
Food Service/Dining Transfers	(569,820)	(533,357)	36,463	94%	(312,426)	(220,931)
Total Food Service/Dining Revenues	2,752,980	1,397,168	(1,355,812)	51%	2,093,628	(696,460)
Total Food Service/Dining Expenses	2,752,980	1,158,259	1,594,721	42%	1,593,968	435,709
Net Food Service/Dining Revenue/(Expense)	-	238,909	238,909		499,660	(260,751)
Golf Courses Revenues	2,465,517	1,320,773	(1,144,744)	54%	1,334,653	(13,880)
Golf Courses Transfers	(39,252)	(26,168)	13,084	67%	(25,856)	(312)
Total Golf Courses Revenues	2,426,265	1,294,605	(1,131,660)	53%	1,308,797	(14,192)
Total Golf Courses Expenses	2,426,265	1,472,538	953,727	61%	1,469,109	(3,429)
Net Golf Courses Revenue/(Expense)	-	(177,933)	(177,933)		(160,312)	(17,621)
Housing	10,914,786	10,893,412	(21,374)	100%	11,471,531	(578,119)
Housing Transfers	(2,935,134)	(2,263,191)	671,943	77%	(2,922,827)	659,636
Total Housing Revenues	7,979,652	8,630,221	650,569	108%	8,548,704	81,517
Total Housing Expense	7,979,652	5,426,955	2,552,697	68%	4,971,320	(455,635)
Net Housing Revenue/(Expense)	-	3,203,266	3,203,266		3,577,384	(374,118)
Other	1,000,733	1,015,000	14,267	101%	(495,000)	1,510,000
Other Transfers	(1,500,000)	(1,375,000)	125,000	92%		(1,375,000)
Total Other Revenues	(499,267)	(360,000)	139,267	72%	(495,000)	135,000
Total Other Expense	150	-	150	0%	7,901	7,901
Net Other Revenue/(Expense)	(499,417)	(360,000)	139,417		(502,901)	142,901

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the eight month ended February 28, 2015
Preliminary and Unaudited

University of New Mexico - Main Campus Athletics & Auxiliary Operations

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 67%	FY 2014 Year-to-Date Actual	FY 2015 YTD Actual Change From FY 2014 YTD Actual
Parking and Transportation Revenues	8,618,293	6,611,866	(2,006,427)	77%	6,910,867	(299,001)
Parking and Trans Transfers	(2,363,987)	(1,302,683)	1,061,304	55%	(1,269,687)	(32,996)
Total Parking and Trans Revenues	6,254,306	5,309,183	(945,123)	85%	5,641,180	(331,997)
Total Parking and Trans Expenses	6,254,306	3,941,244	2,313,062	63%	3,680,631	(260,613)
Net Parking and Trans Revenue/(Expense)	-	1,367,939	1,367,939		1,960,549	(592,610)
Popejoy Events Revenues	7,674,664	6,143,334	(1,531,330)	80%	2,418,326	3,725,008
Popejoy Events Transfers	163,233	143,233	(20,000)	88%	149,730	(6,497)
Total Popejoy Events Revenues	7,837,897	6,286,567	(1,551,330)	80%	2,568,056	3,718,511
Total Popejoy Events Expenses	7,737,897	6,070,264	1,667,633	78%	2,286,467	(3,783,797)
Net Popejoy Events Revenue/(Expense)	100,000	216,303	116,303		281,589	(65,286)
Taos & Lawrence Ranch Revenues	51,873	74,049	22,176	143%	-	74,049
Taos & Lawrence Ranch Expenses	51,873	28,595	23,278	55%	23,592	(5,003)
Net Taos & Lawrence Ranch Revenue/(Expense)	-	45,454	45,454		(23,592)	69,046
Ticketing Services Revenues	956,023	743,742	(212,281)	78%	708,770	34,972
Ticketing Services Transfers	74,595	74,595	-	100%	75,348	(753)
Total Ticketing Services Revenues	1,030,618	818,337	(212,281)	79%	784,118	34,219
Total Ticketing Services Expenses	1,030,618	671,949	358,669	65%	636,709	(35,240)
Net Ticketing Services Revenue/(Expense)	-	146,388	146,388		147,409	(1,021)
Total VP for Institutional Support Services Revenues	42,940,789	35,925,397	(7,015,392)	84%	34,203,004	1,722,393
Total VP for Institutional Support Services Expenses	43,340,206	30,262,243	13,077,963	70%	26,992,489	(3,269,754)
Net VP for Institutional Support Services Revenue/(Expense)	(399,417)	5,663,154	6,062,571		7,210,515	(1,547,361)
VP for Student Affairs						
Lobo Cash Revenues	51,064	71,592	20,528	140%	33,127	38,465
Lobo Cash Expenses	51,064	110,097	59,033	216%	36,307	(73,790)
Net Lobo Cash Revenue/(Expense)	-	(38,505)	(38,505)		(3,180)	(35,325)
Student Health Center Revenues	7,718,432	6,563,460	(1,154,972)	85%	6,104,703	458,757
Student Health Center Expenses	7,718,432	5,180,972	2,537,460	67%	5,167,562	(13,410)
Net Student Health Center Revenue/(Expense)	-	1,382,488	1,382,488		937,141	445,347
Student Union Revenues	3,266,124	2,726,304	(539,820)	83%	2,173,176	553,128
Student Union Expenses	3,266,124	2,061,295	1,204,829	63%	2,086,653	25,358
Net Student Union Revenue/(Expense)	-	665,009	665,009		86,523	578,486
Total VP for Student Affairs Revenues	11,035,620	9,361,356	(1,674,264)	85%	8,311,006	1,050,350
Total VP for Student Affairs Expenses	11,035,620	7,352,364	3,801,322	67%	7,290,522	(61,842)
Net VP for Student Affairs Revenue/(Expense)	-	2,008,992	2,008,992		1,020,485	988,507

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the eight month ended February 28, 2015
Preliminary and Unaudited

University of New Mexico - Main Campus Athletics & Auxiliary Operations

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 67%	FY 2014 Year-to-Date Actual	FY 2015 YTD Actual Change From FY 2014 YTD Actual
Provost and Other Units						
Art Museum Revenues	5,500	2,828	(2,672)	51%	1,952	876
Art Museum Expenses	5,500	188	5,312	3%	3,669	3,481
Net Art Museum Revenue/(Expense)	-	2,640	2,640		(1,717)	4,357
CE Conference Ctr Revenues	223,488	167,730	(55,758)	75%	115,222	52,508
CE Conference Ctr Transfers	(57,475)	(39,900)	17,575	69%	(8,910)	(30,990)
Total CE Conference Ctr Revenues	166,013	127,830	(38,183)	77%	106,312	21,518
Total CE Conference Ctr Expenses	166,013	122,090	43,923	74%	115,042	(7,048)
Net CE Conference Ctr Revenue/(Expense)	-	5,740	5,740		(8,730)	14,470
Maxwell Museum Revenues	40,000	37,168	(2,832)	93%	32,911	4,257
Maxwell Museum Expenses	40,000	11,051	28,949	28%	12,509	1,458
Net Maxwell Museum Revenue/(Expense)	-	26,117	26,117		20,402	5,715
Other Revenues	55,000	33,836	(21,164)	62%	37,005	(3,169)
Other Expenses	55,000	64,204	(9,204)	117%	34,885	(29,319)
Net Other Revenue/(Expense)	-	(30,368)	(30,368)		2,120	(32,488)
Total Provost and Other Units Revenues	266,513	201,662	(64,851)	76%	178,180	23,482
Total Provost and Other Units Expenses	266,513	197,533	68,980	74%	166,105	(31,428)
Net Provost and Other Units Revenue/(Expense)	-	4,129	4,129		12,075	(7,946)
Auxiliary Totals						
Total Auxiliary Revenues	54,242,922	45,488,415	(8,754,507)	84%	42,692,190	2,796,225
Total Auxiliary Expenses	54,642,339	37,812,140	16,830,199	69%	34,449,116	(3,363,024)
Net Auxiliary Revenue/(Expense)	(399,417)	7,676,275	8,075,692		8,243,074	(566,799)
Net Athletics Revenue/(Expense)	(29,150)	(842,941)	(813,791)		(50,225)	(792,716)
Net Auxiliary and Athletics Revenue/(Expense)	(428,567)	6,833,334	7,261,901		8,192,849	(1,359,515)
Net Branch Campuses Aux Revenue/(Expense)	(252,099)	(213,933)	38,166		166,286	(380,219)
Net All Auxiliary and Athletics Revenue/(Expense)	(680,666)	6,619,401	7,300,067		8,359,135	(1,739,734)

Executive Budget Summary

University of New Mexico Consolidated Financial Report

FY 2015 UNM Operating Budget

This report covers current fund operations for the University, including Main Campus, Branch Campuses and HSC Campus.

Instruction and General operations projects a use of reserves of \$9.6M for the FY 2015 UNM Operating Budget. The use of reserves of \$9.6M is comprised of \$9.6M use of reserves at the Main Campus, a \$1.7M use of reserves at the Branch Campuses and a favorable net margin of \$1.7M at the HSC Campus. The \$9.6M use of reserves at Main Campus is primarily due to \$1.5M of one-time monies funding the I&G budget, \$432K SFRB one-time use of mandatory student fee reserves and Provost Academic Affairs budgeting \$6.5M of reserves. Within Provost Academic Affairs, Provost Administrative Units, College of Arts and Sciences and Extended University departments budgeted the largest use of reserves.

The next block of information shows our **Unrestricted Research** operations. The FY 2015 UNM Operating Budget shows a use of reserves of \$7.5M, of which a \$3.9M use of reserves is related to Main Campus and a \$3.6M use of reserves is related to HSC Campus. The \$3.9M use of reserves at Main Campus is primarily due to Provost Academic Affairs' departments budgeting reserves. The departments that budgeted the largest use of reserves are, Provost Administrative Units, College of Arts and Sciences, College of Education, School of Engineering, and VP Research & Economic Development. At the HSC Campus approximately \$737K of reserve balances were budgeted by the SOM to support research initiatives. The College of Pharmacy budgeted \$936K for faculty start-up funding, research development and lab support and professional development. The College of Nursing budgeted \$141K for institutional support costs. The HSC VP of Research budgeted \$442K of reserves for consulting services, inter-institution pilots, mini-sabbaticals and a new CTSC scholar and the accompanying start-up package. Additionally, at the HSC \$1.3M is budgeted for backfill renovations for spaces vacated by units moving to 1650 University during FY 2015.

The third business category shown on the first page of this report is **Unrestricted Public Service**. The operations in this category include special projects funded by State Appropriations, for example Project ECHO, and non-endowed gifts flowing from the UNM Foundation to departments and many small events funded by user fees. The FY 2015 UNM Operating Budget shows a use of reserves of \$3.3M. These reserves are comprised of \$2.8M use of reserves at the Main Campus and Branch Campuses and a use of reserves in the amount of \$473K at the HSC Campus. The \$2.8M use of reserves at the Main and Branch Campuses is primarily due to Provost Academic Affairs' departments budgeting reserves. The departments budgeting the largest use of reserves are: Provost Administrative Units, College of Fine Arts, College of Arts and Sciences, College of Education, School of Engineering, School of Law, School of Architecture Planning, University Libraries, and VP Research & Economic Development.

Page 2 of this report begins with the **Student Aid** function. The FY 2015 UNM Operating Budget projects a use of reserves of \$5.5M. These reserves are comprised of a \$4.7M use of reserves at the Main and Branch Campuses and a use of reserves of \$876K at the HSC Campus. The budgeted use of reserves at Main Campus is primarily due to Provost Academic Affairs' departments budgeting reserves in endowed spending indices for the payout of major and departmental scholarships in the new fiscal year. Those departments include: Provost Administrative Units, VP Division of Enrollment Management, College of Fine Arts, College of Education, and School of Law.

Student Activities are the operations of Student Government and Student organizations. The FY 2015 UNM Operating Budget shows a use of reserves of \$167K.

Auxiliaries and Athletics

The FY 2015 UNM Operating Budget for Auxiliaries and Athletics projected a use of reserves of \$681K. These reserves are primarily due to a combination of Athletics budgeting a \$29K use of reserves, VP-Institutional Support Services Debt Services budgeting a use of reserves of \$499K, and Popejoy budgeting a favorable net margin of \$100K. Branch Campuses budgeted a use of reserves of \$252K. *AVP Ops/Student Life, now called Food Service/Dining is included in the VP-Institutional Support Services in FY15. There is no AVP Ops/Student Life in VP-Student Affairs in FY15.*

Sponsored programs operations are our contract and grant research and public service projects funded by outside agencies and companies. These operations will always record a zero net revenue over expense by the nature of their funding. The agency pays for a service or research project, and normally any unspent funds must be returned to the agency.

The next block of numbers on the third page is a summary of our **Clinical Operations**. These operations are essentially all the patient care activities of the HSC Campus, including the UNM Hospitals, SOM physician professional services, Cancer Center operations and Housestaff/Medical Residents who are being trained in the UNM and VA hospitals. The FY 2015 UNM Operating Budget projected a use of reserves \$2.9M. UNM Hospitals budgeted a favorable net margin of \$69K. The School of Medicine budgeted a use of reserves of \$3.0M which is primarily due to the SOM start-up packages for Pediatrics, the Cancer Center and Internal Medicine FY 2015.

UNM Debt Service Schedule

As of February 28, 2015

*Includes Hospital Debt

UNM Bond Issue	Fixed or Variable Rate Issue	Original Issue Amount	Outstanding Principal Balance on June 30, 2014	Principal Payment due on June 1, 2015	Interest Payment paid on December 1, 2014	Interest Payment due on June 1, 2015	FY 2015 Principal & Interest
Sub Lien System Imp Revenue Bonds (4) Series 2014 A: Interest Range 3.0% to 5.0% Final Maturity Year 2033	Fixed Rate	\$10,980,000	\$0 Refunding 11/12/14	\$1,265,000	\$0	\$246,649	\$1,511,649
Sub Lien System Rfdg Revenue Bonds (6) Series 2014 B: Interest Range 0.496% to 3.280% Final Maturity Year 2024	Fixed Rate	\$3,710,000	\$0 Refunding 11/12/14	\$345,000	\$0	\$44,302	\$389,302
Sub Lien System Rfdg Revenue Bonds (6) Series 2014 C: Interest Range 1.5% to 5.0% Final Maturity Year 2035	Fixed Rate	\$100,085,000	\$0 Refunding 12/02/14	\$2,470,000	\$0	\$2,445,239	\$4,915,239
Sub Lien System Imp Revenue Bonds (3) Series 2012: Interest Range 2.0% to 5.0% Final Maturity Year 2032	Fixed Rate	\$35,215,000	\$31,890,000	\$1,345,000	\$749,700	\$749,700	\$2,844,400
Sub Lien System Imp Revenue Bonds Series 2007 A&B: Interest Range 4.096% to 5.302% Final Maturity Year 2036	Fixed Rate	\$136,710,000	\$129,780,000	\$1,915,000	\$3,242,812	\$3,242,812	\$8,400,624
(1) FHA Insured Hospital Mortgage Revenue Bonds Series 2004: Interest Range 2.0% to 5.0% Final Maturity Year 2031	Fixed Rate	\$192,250,000	\$159,420,000	\$5,495,000 (\$2,715,000 paid 7/1/2014) (\$2,780,000 due 1/2/2015)	\$3,843,575 (paid 1/2/2015)	\$3,908,150 (paid 7/1/2014)	\$13,246,725
Sub Lien Sys Rfdg Revenue Bonds (2) Series 2002 B: Variable Rate Demand Bonds - rates reset weekly Weekly rate as of June 30, 2014 was 0.05% Final Maturity Year 2026	Variable Rate	\$25,475,000	\$20,600,000	\$1,540,000	\$387,400	\$394,490	\$2,321,890
Sub Lien System Rfdg Revenue Bonds (2) Series 2002 C: Variable Rate Demand Bonds - rates reset weekly Weekly rate as of June 30, 2014 was 0.05% Final Maturity Year 2030	Variable Rate	\$37,840,000	\$34,315,000	\$910,000	\$664,090	\$676,006	\$2,250,096
Sub Lien System Imp Revenue Bonds (2) Series 2001: Variable Rate Demand Bonds - rates reset weekly Weekly rate as of June 30, 2014 was 0.07% Ceiling of 12% Final Maturity Year 2026	Variable Rate	\$52,625,000	\$33,190,000	\$2,170,000	\$350,657	\$663,800	\$3,184,457
System Revenue Bonds Series 2000 B: Interest Range 5.50% to 6.35% Final Maturity Year 2019	Fixed Rate	\$6,621,671	\$1,775,168	\$442,934	\$0	\$672,066	\$1,115,000
System Revenue Rfdg Bonds Series 1992 A: Interest Range 6.0% to 6.25% Final Maturity Year 2021	Fixed Rate	\$36,790,000	\$22,535,000	\$1,950,000	\$468,600	\$468,600	\$2,887,200
Grand Total		\$638,301,671	\$433,505,168	\$19,847,934	\$9,706,834	\$13,511,814	\$43,066,581

Note: See attached matrix for funding sources.

(1) Source: UNM Hospital - Both UNM Hospital Principal and Interest payments are made on July 1st and January 1st.

(2) Variable Rate bonds reflect the actual synthetically fixed interest rate that UNM pays.

It is noted that all ranges of interest rates and final maturity dates are reflective of Serial bonds.

(3) Series 2012 bonds refunded 2002A bonds,

(4) Series 2014A bonds refunded 2003A, 2003B bonds,

(5) Series 2014B bonds refunded 2003C bonds,

(6) Series 2014C bonds refunded 2005A bonds,

FY15 UNM Debt Service - Source of Funds

As of February 28, 2015

	Series 2014A	Series 2014B	Series 2014C	Series 2012	Series 2007A&B	Series 2004 (UNMH Bond)	Series 2002C	Series 2002B	Series 2001	Series 1992
Student Fees- Facility	X		X	X		X	X		X	
Student Fees - IT			X	X						
Parking Services			X	X	X					X
UNM Hospital	X					X		X		X
Bookstore	X									X
Housing & Dining Services	X			X			X			
Building R&R	X							X		X
Real Estate Department	X	X			X			X		
Physical Plant Department			X	X	X			X	X	
Information Technologies			X	X						
Athletics					X					
KNME										X
Opto Bldg (CHTM Res Park)								X		
CRTC								X		
Continuing Education								X		
Golf Course - North & South							X			
HSC				X	X					
Interest on Reserve Funds	X						X	X		

Statements of Revenues, Expenses and Changes in Net Assets - UNM Regents Format
For the eight month period ended February 28, 2015
Preliminary and Unaudited

Detail of State/Local Appropriations
Consolidated - Total Operations Current Funds

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 67%
Instruction and General				
Instruction & General Appropriations	273,828,500	182,552,334	(91,276,166)	67%
State Special Project Appropriations	1,161,200	774,133	(387,067)	67%
Tobacco Settlement Appropriations	1,130,600	753,734	(376,866)	67%
Mill Levy	7,063,190	4,708,793	(2,354,397)	67%
Total Instruction and General Appropriations	<u>283,183,490</u>	<u>188,788,994</u>	<u>(94,394,496)</u>	<u>67%</u>
Research				
State Special Project Appropriations	6,795,050	4,530,034	(2,265,016)	67%
Tobacco Settlement Appropriations	979,800	653,200	(326,600)	67%
Cigarette Tax Appropriations	3,948,563	2,792,206	(1,156,357)	71%
Total Research Appropriations	<u>11,723,413</u>	<u>7,975,440</u>	<u>(3,747,973)</u>	<u>68%</u>
Public Service				
State Special Project Appropriations	4,590,850	3,060,567	(1,530,283)	67%
Total Public Service Appropriations	<u>4,590,850</u>	<u>3,060,567</u>	<u>(1,530,283)</u>	<u>67%</u>
Clinical Operations				
State Special Project Appropriations	25,352,700	16,901,801	(8,450,899)	67%
Tobacco Settlement Appropriations	851,700	567,800	(283,900)	67%
Total Clinical Operations Appropriations	<u>26,204,400</u>	<u>17,469,601</u>	<u>(8,734,799)</u>	<u>67%</u>

Statements of Revenues, Expenses and Changes in Net Assets - UNM Regents Format
For the eight month period ended February 28, 2015
Preliminary and Unaudited

Detail of State/Local Appropriations
Main Campus - Total Operations Current Funds

	FY 2015 Full Year Revised Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 67%
Instruction and General				
Instruction & General Appropriations	189,217,900	126,145,267	(63,072,633)	67%
State Special Project Appropriations				
African American Student Services	72,700	48,467	(24,233)	67%
Disabled Student Services	191,900	127,933	(63,967)	67%
ENLACE	64,100	42,733	(21,367)	67%
Hispanic Student Center	158,100	105,400	(52,700)	67%
Minority Graduate Recruitment	118,600	79,067	(39,533)	67%
Native American Studies Intervention	356,400	237,600	(118,800)	67%
Pre-College Minority Student Math & Science	199,400	132,933	(66,467)	67%
Total State Special Project Appropriations	1,161,200	774,133	(387,067)	67%
Total Instruction and General Appropriations	190,379,100	126,919,400	(63,459,700)	67%
Research				
State Special Project Appropriations				
Center for Regional Studies (SW Research Ctr)	988,250	658,833	(329,417)	67%
Drought Study Consortium	99,700	66,467	(33,233)	67%
Manufacturing Engineering	561,900	374,600	(187,300)	67%
Morrisey Hall	47,600	31,733	(15,867)	67%
Resource Geographic Information System	66,300	44,200	(22,100)	67%
Utton Transboundary Resource Center	346,300	230,867	(115,433)	67%
Total State Special Project Appropriations	2,110,050	1,406,700	(703,350)	67%
Total Research Appropriations	2,110,050	1,406,700	(703,350)	67%
Public Service				
State Special Project Appropriations				
Bureau of Business Research (Census)	384,700	256,467	(128,233)	67%
College Prep Mentoring/School of Law	120,800	80,533	(40,267)	67%
College Preparatory Mentoring	171,500	114,333	(57,167)	67%
Corrine Wolfe Law Center/Child Abuse Training	171,900	114,600	(57,300)	67%
Family Development Program	518,600	345,733	(172,867)	67%
ISTEC	48,800	32,533	(16,267)	67%
Judicial Selection	23,000	15,333	(7,667)	67%
KNME-TV	1,177,300	784,867	(392,433)	67%
Land Grant Studies Program	131,800	87,867	(43,933)	67%
N. M. Historical Review	48,000	32,000	(16,000)	67%
Small Business Innovation & Research Outreach	224,400	149,600	(74,800)	67%
Southwest Indian Law Clinic	207,600	138,400	(69,200)	67%
Spanish Colonial Research Center (SW Research Ctr)	148,750	99,167	(49,583)	67%
Spanish Resource Center	41,800	27,867	(13,933)	67%
Substance Abuse Program	138,200	92,133	(46,067)	67%
Wildlife Law Education	96,400	64,267	(32,133)	67%
Total State Special Project Appropriations	3,653,550	2,435,700	(1,217,850)	67%
Total Public Service Appropriations	3,653,550	2,435,700	(1,217,850)	67%

Statements of Revenues, Expenses and Changes in Net Assets - UNM Regents Format
For the eight month period ended February 28, 2015
Preliminary and Unaudited

Detail of State/Local Appropriations
Branch Campuses - Total Operations Current Funds

	FY 2015 Full Year Revised Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 67%
Instruction and General				
Instruction & General Appropriations				
Gallup	9,481,500	6,321,000	(3,160,500)	67%
Los Alamos	1,905,100	1,270,067	(635,033)	67%
Valencia	5,715,600	3,810,400	(1,905,200)	67%
Taos	3,732,200	2,488,133	(1,244,067)	67%
Total Instruction & General Appropriations	20,834,400	13,889,600	(6,944,800)	67%
Mill Levy				
McKinley County	2,100,000	1,400,000	(700,000)	67%
Los Alamos County	702,500	468,333	(234,167)	67%
Valencia County	2,580,490	1,720,327	(860,163)	67%
Taos County	1,680,200	1,120,133	(560,067)	67%
Total Mill Levy	7,063,190	4,708,793	(2,354,397)	67%
Total Branch Appropriations	27,897,590	18,598,393	(9,299,197)	67%

Statements of Revenues, Expenses and Changes in Net Assets - UNM Regents Format
For the eight month period ended February 28, 2015
Preliminary and Unaudited

Detail of State/Local Appropriations
Health Sciences Center - Total Operations Current Funds

	FY 2015 Full Year Revised Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 67%
Instruction and General				
Instruction & General Appropriations	63,776,200	42,517,467	(21,258,733)	67%
Tobacco Settlement Appropriations				
Instruction & General	610,524	407,016	(203,508)	67%
Pediatric Specialty Education	260,038	173,359	(86,679)	67%
Trauma Specialty Education	260,038	173,359	(86,679)	67%
Total Tobacco Settlement Appropriations	1,130,600	753,734	(376,866)	67%
Total Instruction and General Appropriations	64,906,800	43,271,201	(21,635,599)	67%
Research				
State Special Project Appropriations				
Cancer Center	2,691,200	1,794,134	(897,066)	67%
Hepatitis C, Project ECHO	1,993,800	1,329,200	(664,600)	67%
Total State Special Project Appropriations	4,685,000	3,123,334	(1,561,666)	67%
Tobacco Settlement Appropriations				
Genomics, Biocomputing, Environmental Health	979,800	653,200	(326,600)	67%
Total Tobacco Settlement Appropriations	979,800	653,200	(326,600)	67%
Cigarette Tax Appropriations	3,948,563	2,792,206	(1,156,357)	71%
Total Research Appropriations	9,613,363	6,568,740	(3,044,623)	68%
Public Service				
State Special Project Appropriations				
Center for Native American Health	274,700	183,134	(91,566)	67%
Out of County Indigent	662,600	441,733	(220,867)	67%
Total State Special Project Appropriations	937,300	624,867	(312,433)	67%
Total Public Service Appropriations	937,300	624,867	(312,433)	67%
Clinical Operations				
State Special Project Appropriations				
Newborn Intensive Care Unit	3,350,200	2,233,467	(1,116,733)	67%
Office of the Medical Investigator	5,025,300	3,350,200	(1,675,100)	67%
Pediatric Oncology	1,303,500	869,000	(434,500)	67%
Poison and Drug Info Center	1,554,700	1,036,467	(518,233)	67%
Native American Suicide Prevention	99,700	66,466	(33,234)	67%
GME Residencies	902,400	601,600	(300,800)	67%
UNM Hospitals	13,116,900	8,744,601	(4,372,299)	67%
Total State Special Project Appropriations	25,352,700	16,901,801	(8,450,899)	67%
Tobacco Settlement Appropriations				
Pediatric Oncology	261,400	174,267	(87,133)	67%
Poison and Drug Info Center	590,300	393,533	(196,767)	67%
Total Tobacco Settlement Appropriations	851,700	567,800	(283,900)	67%
Total Clinical Operations Appropriations	26,204,400	17,469,601	(8,734,799)	67%

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the eight month ended February 28, 2015
Preliminary and Unaudited

Main Campus - Total Operations Current Funds

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 67%	FY 2014 Year-to-Date Actual	FY 2015 YTD Actual Change From FY 2014 YTD Actual
Instruction and General						
Tuition and Fees Revenues	148,853,887	144,087,855	(4,766,032)	97%	154,656,769	(10,568,914)
State/Local Appropriations	190,379,100	126,919,400	(63,459,700)	67%	121,020,303	5,899,097
F & A Revenues	21,000,000	12,667,935	(8,332,065)	60%	13,718,036	(1,050,101)
Transfers	(53,864,849)	(37,800,076)	16,064,773	70%	(39,273,525)	1,473,449
Other Revenues	15,037,004	12,594,872	(2,442,132)	84%	9,265,852	3,329,020
Total Instruction and General Revenues	321,405,142	258,469,986	(62,935,156)	80%	259,387,435	(917,449)
Salaries	196,876,451	133,662,164	(63,214,287)	68%	129,873,777	(3,788,387)
Benefits	66,149,505	44,465,701	(21,683,804)	67%	40,353,485	(4,112,216)
Other Expenses	67,932,187	40,858,638	(27,073,549)	60%	39,015,151	(1,843,487)
Total Instruction and General Expenses	330,958,143	218,986,503	(111,971,640)	66%	209,242,412	(9,744,090)
Net Instruction and General Revenue/(Expense)	(9,553,001)	39,483,483	49,036,484		50,145,023	(10,661,540)
Research						
State/Local Appropriations	2,110,050	1,406,700	(703,350)	67%	1,293,367	113,333
Transfers	18,266,291	8,619,415	(9,646,876)	47%	8,524,310	95,105
Other Revenues	2,726,907	726,994	(1,999,913)	27%	732,095	(5,101)
Total Research Revenues	23,103,248	10,753,109	(12,350,139)	47%	10,549,772	203,337
Salaries and Benefits	13,998,518	6,829,215	(7,169,303)	49%	7,310,251	481,036
Other Expenses	12,974,771	5,703,702	(7,271,069)	44%	5,690,128	(13,574)
Total Research Expenses	26,973,289	12,532,917	(14,440,372)	46%	13,000,379	467,462
Net Research Revenue/(Expense)	(3,870,041)	(1,779,808)	2,090,233		(2,450,607)	670,799
Public Service						
State/Local Appropriations	3,653,550	2,435,700	(1,217,850)	67%	2,264,167	(171,533)
Sales and Services Revenues	8,482,495	5,379,528	(3,102,967)	63%	5,345,880	(33,648)
Gifts	6,486,067	5,951,189	(534,878)	92%	5,537,824	(413,365)
Transfers	309,403	(1,177,744)	(1,487,147)	-381%	441,595	1,619,339
Other Revenues	4,235,065	3,759,672	(475,393)	89%	3,563,680	(195,992)
Total Public Service Revenues	23,166,580	16,348,345	(6,818,235)	71%	17,153,146	804,801
Salaries and Benefits	12,905,947	9,029,910	(3,876,037)	70%	8,966,551	(63,359)
Other Expenses	13,016,978	7,033,198	(5,983,780)	54%	8,073,616	1,040,418
Total Public Service Expenses	25,922,925	16,063,108	(9,859,817)	62%	17,040,166	977,059
Net Public Service Revenue/(Expense)	(2,756,345)	285,237	3,041,582		112,980	172,257

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the eight month ended February 28, 2015
Preliminary and Unaudited

Main Campus - Total Operations Current Funds

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 67%	FY 2014 Year-to-Date Actual	FY 2015 YTD Actual Change From FY 2014 YTD Actual
Student Aid						
Private Grants/Gifts	3,191,794	2,882,077	(309,717)	90%	2,295,078	(586,999)
State Lottery Scholarships	37,485,948	37,485,948	-	100%	37,485,948	-
Transfers	14,899,612	10,780,126	(4,119,486)	72%	9,241,886	(1,538,240)
Other Revenues	1,048,509	864,477	(184,032)	82%	1,164,322	299,845
Total Student Aid Revenues	56,625,863	52,012,628	(4,613,235)	92%	50,187,233	(1,825,394)
Salaries and Benefits	1,711,660	1,714,344	2,684	100%	1,654,455	(59,889)
Other Expenses	59,479,414	52,582,023	(6,897,391)	88%	56,425,285	3,843,262
Total Student Aid Expenses	61,191,074	54,296,367	(6,894,707)	89%	58,079,741	3,783,373
Net Student Aid Revenue/(Expense)	(4,565,211)	(2,283,739)	2,281,472		(7,892,508)	5,608,769
Student Social & Cultural Programs						
Fee Revenues	7,992,626	7,005,089	(987,537)	88%	5,175,867	1,829,222
Sales and Services Revenues	1,438,429	1,140,756	(297,673)	79%	1,122,189	18,567
Transfers	(656,957)	438,725	1,095,682	-67%	321,511	117,214
Other Revenues	167,484	127,092	(40,392)	76%	64,129	8,594
Total Student Social & Cultural Programs Revenues	8,941,582	8,711,662	(229,920)	97%	6,683,696	1,973,597
Salaries and Benefits	4,490,338	2,996,087	(1,494,251)	67%	2,867,036	(129,051)
Other Expenses	4,603,954	3,002,542	(1,601,412)	65%	2,346,782	600,741
Total Student Social & Cultural Programs Expenses	9,094,292	5,998,629	(3,095,663)	66%	5,213,818	471,690
Net Student Social & Cultural Programs Revenue/(Expense)	(152,710)	2,713,033	2,865,743		1,469,877	1,243,156
Auxiliaries						
Auxiliaries Revenues	54,242,922	45,488,415	(8,754,507)	84%	42,692,190	2,796,225
Athletics Revenues	33,034,361	24,113,604	(8,920,757)	73%	24,512,308	(398,704)
Total Auxiliaries Revenues	87,277,283	69,602,019	(17,675,264)	80%	67,204,498	2,397,521
Auxiliaries Expenses	54,642,339	37,812,140	(16,830,199)	69%	34,449,116	(3,363,024)
Athletics Expenses	33,063,511	24,956,545	(8,106,966)	75%	24,562,533	(394,012)
Total Auxiliaries Expenses	87,705,850	62,768,685	(24,937,165)	72%	59,011,649	(3,757,036)
Net Auxiliaries and Athletics Revenue/(Expense)	(428,567)	6,833,334	7,261,901		8,192,849	(1,359,515)

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the eight month ended February 28, 2015
Preliminary and Unaudited

Main Campus - Total Operations Current Funds

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 67%	FY 2014 Year-to-Date Actual	FY 2015 YTD Actual Change From FY 2014 YTD Actual
Sponsored Programs						
Federal Grants and Contracts Revenues	141,777,226	108,541,082	(33,236,144)	77%	109,346,725	(805,643)
State and Local Grants and Contracts Revenues	15,880,500	9,724,794	(6,155,706)	61%	8,787,401	937,393
Non-Governmental Grants and Contracts Revenues	11,797,000	9,678,178	(2,118,822)	82%	10,463,978	(785,800)
Gifts	-	67,183	67,183	N/A	129,161	(61,978)
Transfers	2,888,000	-	(2,888,000)	0%	1,486,357	(1,486,357)
Other Revenues	-	-	-	N/A	-	-
Total Sponsored Programs Revenues	172,342,726	128,011,237	(44,331,489)	74%	130,213,621	(2,202,385)
Salaries and Benefits	63,156,726	36,715,286	(26,441,440)	58%	36,604,851	(110,435)
Other Expenses	109,186,000	91,295,951	(17,890,049)	84%	93,608,770	2,312,819
Total Sponsored Programs Expenses	172,342,726	128,011,237	(44,331,489)	74%	130,213,621	2,202,384
Net Sponsored Programs Revenue/(Expense)	-	-	-		-	-
Contingencies						
Total Contingency Revenues	(10,485,021)	-	10,485,021	0%	-	-
Total Contingency Expenses	(2,692,510)	-	2,692,510	0%	-	-
Net Contingencies Revenue/(Expense)	(7,792,511)	-	7,792,511		-	-
Net Current Revenue/(Expense)	(29,118,386)	45,251,540	74,369,926		49,577,614	(4,326,074)

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the eight month ended February 28, 2015
Preliminary and Unaudited

Branch Campuses - Total Operations Current Funds

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 67%	FY 2014 Year-to-Date Actual	FY 2015 YTD Actual Change From FY 2014 YTD Actual
Instruction and General						
Tuition and Fees Revenues	8,121,795	7,039,285	(1,082,510)	87%	7,952,066	(912,781)
State/Local Appropriations	27,897,590	18,598,393	(9,299,197)	67%	17,772,658	825,735
Transfers	(1,110,845)	(1,510,656)	(399,811)	136%	(1,529,089)	18,433
Other Revenues	494,882	594,397	99,515	120%	557,028	37,369
Total Instruction and General Revenues	35,403,422	24,721,419	(10,682,003)	70%	24,752,663	(31,244)
Salaries	21,759,686	13,566,301	(8,193,385)	62%	13,506,286	(60,015)
Benefits	6,436,146	4,193,443	(2,242,703)	65%	3,996,433	(197,010)
Other Expenses	8,973,831	4,816,201	(4,157,630)	54%	4,432,368	(383,833)
Total Instruction and General Expenses	37,169,663	22,575,945	(14,593,718)	61%	21,935,087	(640,858)
Net Instruction and General Revenue/(Expense)	(1,766,241)	2,145,474	3,911,715		2,817,576	(672,102)
Public Service						
State/Local Appropriations	-	-	-	N/A	-	
Sales and Services Revenues	363,830	381,616	17,786	105%	357,997	23,619
Gifts	103,400	204,910	101,510	198%	151,136	53,774
Transfers	(32,000)	(112,644)	(80,644)	352%	11,793	(124,437)
Other Revenues	10,000	3,509	(6,491)	35%	15,305	(11,796)
Total Public Service Revenues	445,230	477,391	32,161	107%	536,230	(58,840)
Salaries and Benefits	334,552	256,082	(78,470)	77%	279,869	23,787
Other Expenses	189,233	142,627	(46,606)	75%	190,403	47,776
Total Public Service Expenses	523,785	398,709	(125,076)	76%	470,271	71,563
Net Public Service Revenue/(Expense)	(78,555)	78,682	157,237		65,959	12,723
Student Aid						
Private Grants/Gifts	116,550	94,759	(21,791)	81%	114,931	(20,172)
Transfers	413,154	272,348	(140,806)	66%	192,454	79,894
Other Revenues	20,000	17,624	(2,376)	88%	19,188	(1,564)
Total Student Aid Revenues	549,704	384,731	(164,973)	70%	326,573	58,158
Salaries and Benefits	-	7,557	7,557	N/A	3,782	(3,775)
Other Expenses	659,954	274,109	(385,845)	42%	315,553	41,444
Total Student Aid Expenses	659,954	281,666	(378,288)	43%	319,335	37,669
Net Student Aid Revenue/(Expense)	(110,250)	103,065	213,315		7,238	95,827

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the eight month ended February 28, 2015
Preliminary and Unaudited

Branch Campuses - Total Operations Current Funds

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 67%	FY 2014 Year-to-Date Actual	FY 2015 YTD Actual Change From FY 2014 YTD Actual
Student Social & Cultural Programs						
Fee Revenues	245,222	236,691	(8,531)	97%	253,279	(16,588)
Sales and Services Revenues	850	11,533	10,683	1357%	9,821	1,712
Transfers	(40,000)	(39,850)	150	100%	(39,000)	(850)
Other Revenues	-	150	150	N/A	-	150
Total Student Social & Cultural Programs Revenues	206,072	208,524	2,452	101%	224,100	(15,576)
Salaries and Benefits	9,060	18,968	9,908	209%	13,819	(5,149)
Other Expenses	198,058	81,038	(117,020)	41%	119,919	38,881
Total Student Social & Cultural Programs Expenses	207,118	100,006	(107,112)	48%	133,738	33,732
Net Student Social & Cultural Programs Revenue/(Expense)	(1,046)	108,518	109,564		90,362	18,156
Auxiliaries						
Bookstore Revenues	2,272,555	1,669,585	(602,970)	73%	1,722,751	(53,166)
Housing and Food Service Revenues	191,082	(30,254)	(221,336)	-16%	158,394	(188,648)
Transfers	-	-	-	N/A	-	-
Other Auxiliaries Revenues	20,830	16,291	(4,539)	78%	17,881	(1,590)
Total Auxiliaries Revenues	2,484,467	1,655,622	(828,845)	67%	1,899,026	(243,404)
Bookstore Expenses	2,263,142	1,725,734	(537,408)	76%	1,576,952	(148,782)
Housing and Food Service Expenses	452,458	93,305	(359,153)	21%	135,199	41,894
Other Auxiliaries Expenses	20,966	50,516	29,550	241%	20,589	(29,927)
Total Auxiliaries Expenses	2,736,566	1,869,555	(867,011)	68%	1,732,740	(136,815)
Net Auxiliaries Revenue/(Expense)	(252,099)	(213,933)	38,166		166,286	(380,219)
Sponsored Programs						
Federal Grants and Contracts Revenues	5,735,125	4,018,336	(1,716,789)	70%	4,058,363	(40,027)
State and Local Grants and Contracts Revenues	2,337,411	699,725	(1,637,686)	30%	1,373,374	(673,649)
Non-Governmental Grants and Contracts Revenues	-	447,531	447,531	N/A	-	447,531
Gifts	-	-	-	N/A	-	-
Transfers	-	-	-	N/A	-	-
Other Revenues	4,000	-	(4,000)	0%	-	-
Total Sponsored Programs Revenues	8,076,536	5,165,592	(2,910,944)	64%	5,431,737	(266,145)
Salaries and Benefits	5,398,756	3,679,110	(1,719,646)	68%	3,690,001	10,891
Other Expenses	2,677,780	1,486,482	(1,191,298)	56%	1,741,736	255,254
Total Sponsored Programs Expenses	8,076,536	5,165,592	(2,910,944)	64%	5,431,737	266,145
Net Sponsored Programs Revenue/(Expense)	-	-	-		-	-
Net Current Revenue/(Expense)	(2,208,191)	2,221,806	4,429,997		3,147,420	(925,614)

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the eight month ended February 28, 2015
Preliminary and Unaudited

Health Sciences Center - Total Operations Current Funds

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 67%	FY 2014 Year-to-Date Actual	FY 2015 YTD Actual Change From FY 2014 YTD Actual
Instruction and General						
Tuition and Fees Revenues	14,864,414	14,736,409	(128,005)	99%	14,302,843	433,566
State/Local Appropriations	64,906,800	43,271,201	(21,635,599)	67%	42,075,401	1,195,800
F & A Revenues	21,500,000	15,276,951	(6,223,049)	71%	14,508,341	768,610
Transfers	6,041,173	3,518,130	(2,523,043)	58%	2,435,449	1,082,681
Other Revenues	9,909,244	9,332,642	(576,602)	94%	5,524,574	3,808,068
Total Instruction and General Revenues	117,221,631	86,135,333	(31,086,298)	73%	78,846,608	7,288,725
Salaries	73,431,582	46,202,556	(27,229,026)	63%	44,225,007	(1,977,549)
Benefits	24,517,830	14,370,199	(10,147,631)	59%	13,258,471	(1,111,728)
Other Expenses	17,589,486	14,409,940	(3,179,546)	82%	10,038,517	(4,371,423)
Total Instruction and General Expenses	115,538,898	74,982,695	(40,556,203)	65%	67,521,995	(7,460,700)
Net Instruction and General Revenue/(Expense)	1,682,733	11,152,638	9,469,905		11,324,613	(171,975)
Research						
State/Local Appropriations	9,613,363	6,568,740	(3,044,623)	68%	6,206,152	362,588
Generated Revenues	576,835	263,490	(313,345)	46%	222,409	41,081
Transfers	9,536,128	4,530,680	(5,005,448)	48%	4,139,214	391,466
Other Revenues	729,678	1,224,564	494,886	168%	566,731	657,833
Total Research Revenues	20,456,004	12,587,474	(7,868,530)	62%	11,134,506	1,452,968
Salaries and Benefits	13,459,780	8,782,508	(4,677,272)	65%	8,294,935	(487,573)
Other Expenses	10,631,538	5,304,636	(5,326,902)	50%	5,950,820	646,184
Total Research Expenses	24,091,318	14,087,144	(10,004,174)	58%	14,245,755	158,611
Net Research Revenue/(Expense)	(3,635,314)	(1,499,670)	2,135,644		(3,111,249)	1,611,579
Public Service						
State/Local Appropriations	937,300	624,867	(312,433)	67%	181,559	443,308
Sales and Services Revenues	9,246,417	5,651,217	(3,595,200)	61%	4,388,021	1,263,196
Gifts	1,956,043	1,284,808	(671,235)	66%	1,456,185	(171,377)
Transfers	3,440,968	2,148,093	(1,292,875)	62%	1,267,797	880,296
Other Revenues	1,715,634	1,086,687	(628,947)	63%	664,282	422,405
Total Public Service Revenues	17,296,362	10,795,672	(6,500,690)	62%	7,957,844	2,837,828
Salaries and Benefits	8,109,916	5,593,580	(2,516,336)	69%	4,718,607	(874,973)
Other Expenses	9,659,284	5,954,638	(3,704,646)	62%	4,589,595	(1,365,043)
Total Public Service Expenses	17,769,200	11,548,218	(6,220,982)	65%	9,308,202	(2,240,016)
Net Public Service Revenue/(Expense)	(472,838)	(752,546)	(279,708)		(1,350,358)	597,812

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the eight month ended February 28, 2015
Preliminary and Unaudited

Health Sciences Center - Total Operations Current Funds

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 67%	FY 2014 Year-to-Date Actual	FY 2015 YTD Actual Change From FY 2014 YTD Actual
Student Aid						
Gifts	1,570,613	801,385	(769,228)	51%	673,603	127,782
Investment Income	-	-	-	N/A	-	-
Transfers	2,089,726	1,427,598	(662,128)	68%	522,287	905,311
Other Revenues	-	3,134	3,134	N/A	455,884	(452,750)
Total Student Aid Revenues	3,660,339	2,232,117	(1,428,222)	61%	1,651,774	580,343
Salaries and Benefits	2,089,053	1,429,304	(659,749)	68%	1,471,794	42,490
Other Expenses	2,447,697	920,108	(1,527,589)	38%	1,313,971	393,863
Total Student Aid Expenses	4,536,750	2,349,412	(2,187,338)	52%	2,785,765	436,353
Net Student Aid Revenue/(Expense)	(876,411)	(117,295)	759,116		(1,133,991)	1,016,696
Student Social & Cultural Programs						
Fee Revenues	-	-	-	N/A	-	-
Sales and Services Revenues	23,048	30,707	7,659	133%	26,189	4,518
Transfers	25,928	27,429	1,501	106%	70,487	(43,058)
Other Revenues	1,266	2,422	1,156	191%	2,500	(78)
Total Student Social & Cultural Programs Revenues	50,242	60,558	10,316	121%	99,176	(38,618)
Salaries and Benefits	-	-	-	N/A	-	-
Other Expenses	64,034	31,362	(32,672)	49%	34,441	3,079
Total Student Social & Cultural Programs Expenses	64,034	31,362	(32,672)	49%	34,441	3,079
Net Student Social & Cultural Programs Revenue/(Expense)	(13,792)	29,196	42,988		64,735	(35,539)
Sponsored Programs						
Federal Grants and Contracts Revenues	97,817,484	61,331,925	(36,485,559)	63%	59,710,850	1,621,075
State and Local Grants and Contracts Revenues	11,263,307	8,736,095	(2,527,212)	78%	8,215,598	520,497
Non-Governmental Grants and Contracts Revenues	17,311,293	14,462,311	(2,848,982)	84%	11,334,119	3,128,192
Gifts	-	-	-	N/A	-	-
Other Revenues	-	-	-	N/A	-	-
Transfers	1,189,916	1,630,352	440,436	137%	1,755,661	(125,309)
Total Sponsored Programs Revenues	127,582,000	86,160,683	(41,421,317)	68%	81,016,228	5,144,455
Salaries and Benefits	84,045,397	48,567,475	(35,477,922)	58%	46,851,217	(1,716,258)
Other Expenses	43,536,603	37,593,208	(5,943,395)	86%	34,165,011	(3,428,197)
Total Sponsored Programs Expenses	127,582,000	86,160,683	(41,421,317)	68%	81,016,228	(5,144,455)
Net Sponsored Programs Revenue/(Expense)	-	-	-		-	-

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the eight month ended February 28, 2015
Preliminary and Unaudited

Health Sciences Center - Total Operations Current Funds

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 67%	FY 2014 Year-to-Date Actual	FY 2015 YTD Actual Change From FY 2014 YTD Actual
Clinical Operations						
State/Local Appropriations	26,204,400	17,469,601	(8,734,799)	67%	16,762,208	707,393
Physician Professional Fee Revenues	121,922,390	81,587,652	(40,334,738)	67%	66,603,124	14,984,528
Hospital Facility Revenues	768,691,833	538,465,401	(230,226,432)	70%	461,451,635	77,013,766
Other Patient Revenues, net of Allowance	119,568,426	82,386,977	(37,181,449)	69%	74,850,507	7,536,470
Mil Levy	92,780,044	61,853,362	(30,926,682)	67%	61,135,876	717,486
Investment Income	13,238,733	8,849,686	(4,389,047)	67%	10,217,807	(1,368,121)
Gifts	3,770,598	2,582,025	(1,188,573)	68%	3,024,046	(442,021)
Housestaff Revenues	33,884,089	22,306,249	(11,577,840)	66%	23,623,544	(1,317,295)
Other Revenues	23,393,245	13,743,560	(9,649,685)	59%	16,868,478	(3,124,918)
Total Clinical Operations Revenues	1,203,453,758	829,244,513	(374,209,245)	69%	734,537,225	94,707,288
Salaries and Benefits	657,263,695	441,666,851	(215,596,844)	67%	406,154,199	(35,512,652)
Interest Expense	8,077,123	5,365,424	(2,711,699)	66%	5,513,254	147,830
Housestaff Expenses	33,882,767	22,709,425	(11,173,342)	67%	22,894,785	185,360
Other Expenses	507,129,565	350,713,047	(156,416,518)	69%	302,334,511	(48,378,536)
Total Clinical Operations Expenses	1,206,353,150	820,454,747	(385,898,403)	68%	736,896,749	(83,557,998)
Net Clinical Operations Revenue/(Expense)	(2,899,392)	8,789,766	11,689,158		(2,359,524)	11,149,290
Contingencies						
Total Contingency Revenues	816,139	-	(816,139)	0%	-	-
Total Contingency Expenses	(383,861)	-	383,861	0%	-	-
Net Contingencies Revenue/(Expense)	1,200,000	-	(1,200,000)		-	-
Net Current Revenue/(Expense)	(5,015,014)	17,602,089	22,617,103		3,434,226	14,167,863

Tab 7

Review/discussion of HSC FY2016

Preliminary Budget and FY2015 Revised Projections

will be presented at the meeting



**UNM Hospital Board of Trustees
Recommendation to HSC Board of Directors Finance and Audit Committee
March 2015**

Approval

(1) Precyse Solutions, LLC

Ownership:

1275 Drummers Lane, Suite 200
Wayne, PA 19087

Officers Information:

CEO: Chris Powell
Senior Vice President: Pamela Goans

Source of Funds: UNM Hospital Operating Budget

Description:

Request for a three year agreement (with the option to renew annually for an additional five years) with Precyse Solutions, LLC to provide Clinical Documentation Improvement Specialist (CDIS) services on an on-call, as needed basis, required in preparation of ICD-9 code transition to ICD-10 codes nationally. The CDIS services will include, but is not limited to providing concurrent review (while a patient is still incurring an inpatient stay) of appropriate and complete clinical documentation in the medical record to support services ordered and/or received, support primary diagnosis, secondary diagnoses, and co-morbidities to improve medical record physician documentation to appropriately support the severity of patient illness and resource consumption.

The CDIS services provided will also address and communicate lack of adequate documentation to physicians and other caregivers as necessary; utilization of data entry software tools to perform coding, abstracting and reporting functions, indirectly assure case mix index, DRG assignment and severity/mortality profiles are accurate, and provide training for caregivers on appropriate clinical documentation as directed by hospital staff.

Process: RFP P246-13 On-Call Clinical Documentation Improvement Specialist

Previous contract: No previous contract

RFP Respondents: There were three respondents to the RFP:

Maxim Health Information Systems

Medpartners

and Precyse Solutions, LLC.

Selection Criteria: Selection was based upon:

15% Business Profile and References Qualifications

25% Ability of Offeror to meet UNMH needs

25% Services, Ongoing Support Model

25% Price Proposal

10% Additional Offers

Selection Reason: Based upon above criteria, the three RFP committee members reviewed and scored all vendors, completed scheduled reference site conference calls, and selected via highest score the vendor that brought the best value to UNM Hospitals. Final scoring by the RFP committee awarded this RFP to Precyse Solutions, LLC.

Total Cost: Services will be provided on an on-call, as needed basis.

FY 15 costs estimated to be \$1,190,055.

FY 16 costs estimated to be \$1,097,920.

FY 17 estimated to be \$624,000.

Total costs for first three years of \$2,911,975.



UNM Board of Regents UNM Health System Adult Capacity

April 10, 2015

Paul B. Roth, MD, MS
Chancellor for Health Sciences
CEO, UNM Health System
Dean, UNM School of Medicine

UNM Health System Overview

What is our mission? Who are we?



Not All Hospitals Are the Same

Routine Inpatient Care



Quaternary Inpatient Care
High level, high complexity, very sick

We are a major teaching hospital and provide all levels of care including quaternary level

Examples of UNMH Quaternary Care

- UNMH provides unique and specialized services that are not available at other hospitals and are vital to reducing mortality in the state.
- Ninety percent of UNMH admissions are for emergent conditions that require immediate or an urgent response.
 - New Mexico's Only Level 1 Trauma Center
 - Childrens Hospital and Emergency Room
 - National Cancer Institute-Designated Cancer Center
 - Stroke Center
 - Burn Center
 - Sepsis Center
 - Heart Failure Center

Access for Native Americans

- In 1952 Bernalillo County signed a contract with Indian Health Service to provide priority access to Native Americans.
- In 1978 UNM signed its lease with the county extending this obligation to the University.
- County agreed with IHS in 1952 contract to levy a tax to support the operations and maintenance of the hospital in perpetuity.

UNM Health System

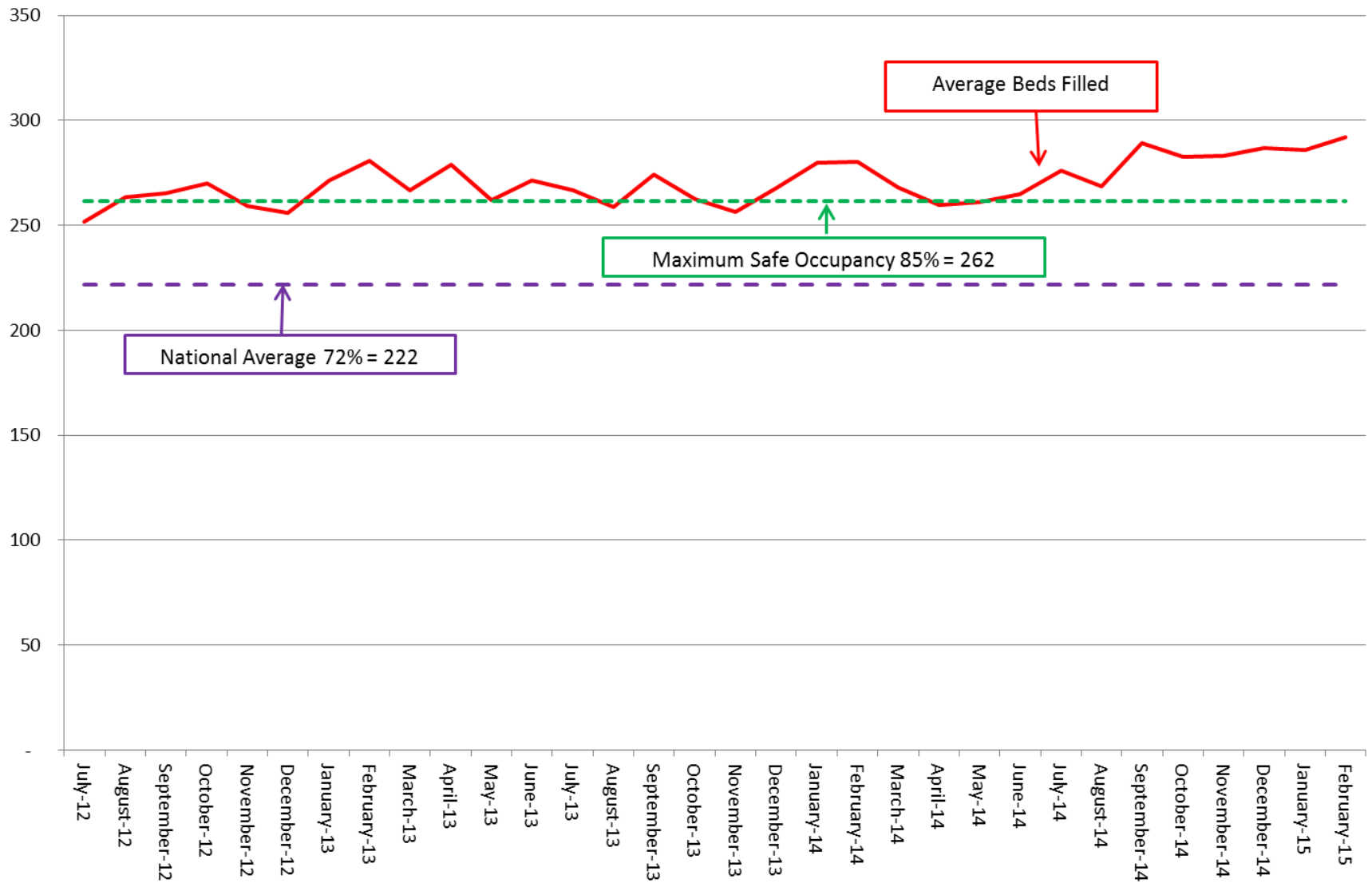
What is the problem?



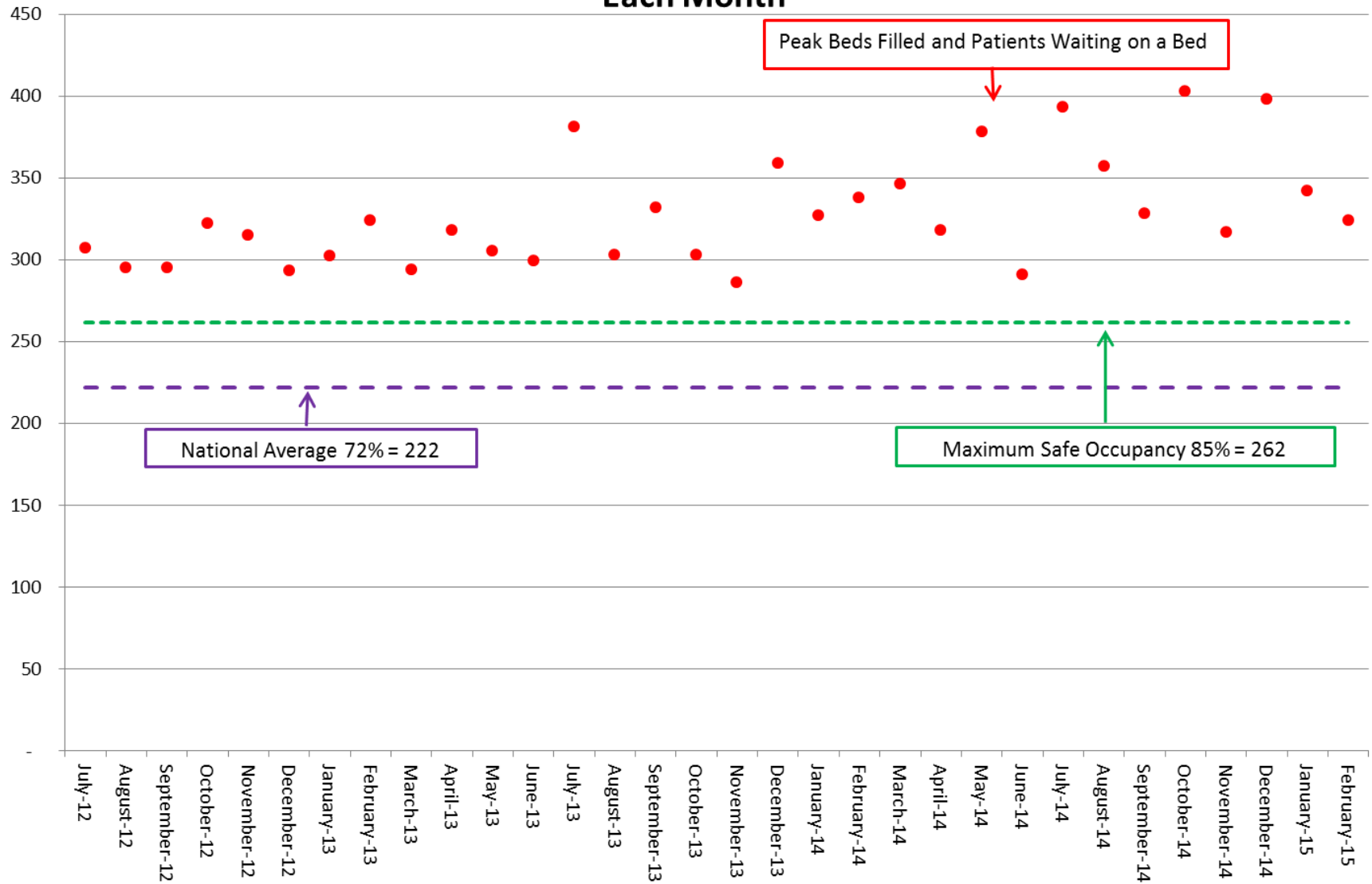
Description of Beds

	<i>UNMH Beds</i>	<i>SRMC Beds</i>	<i>UNMHS Beds</i>
Adult	308	60	368
Intensive Care Unit	72	12	84
Sub-Acute/Routine	236	48	284
OB/GYN	39		39
Children's Hospital	74		74
Carrie Tingley	15		15
Children's Psychiatric	44		44
Adult Psychiatric	47	12	59
Total Beds	527	72	599
Children's Hospital Bassinets	101		

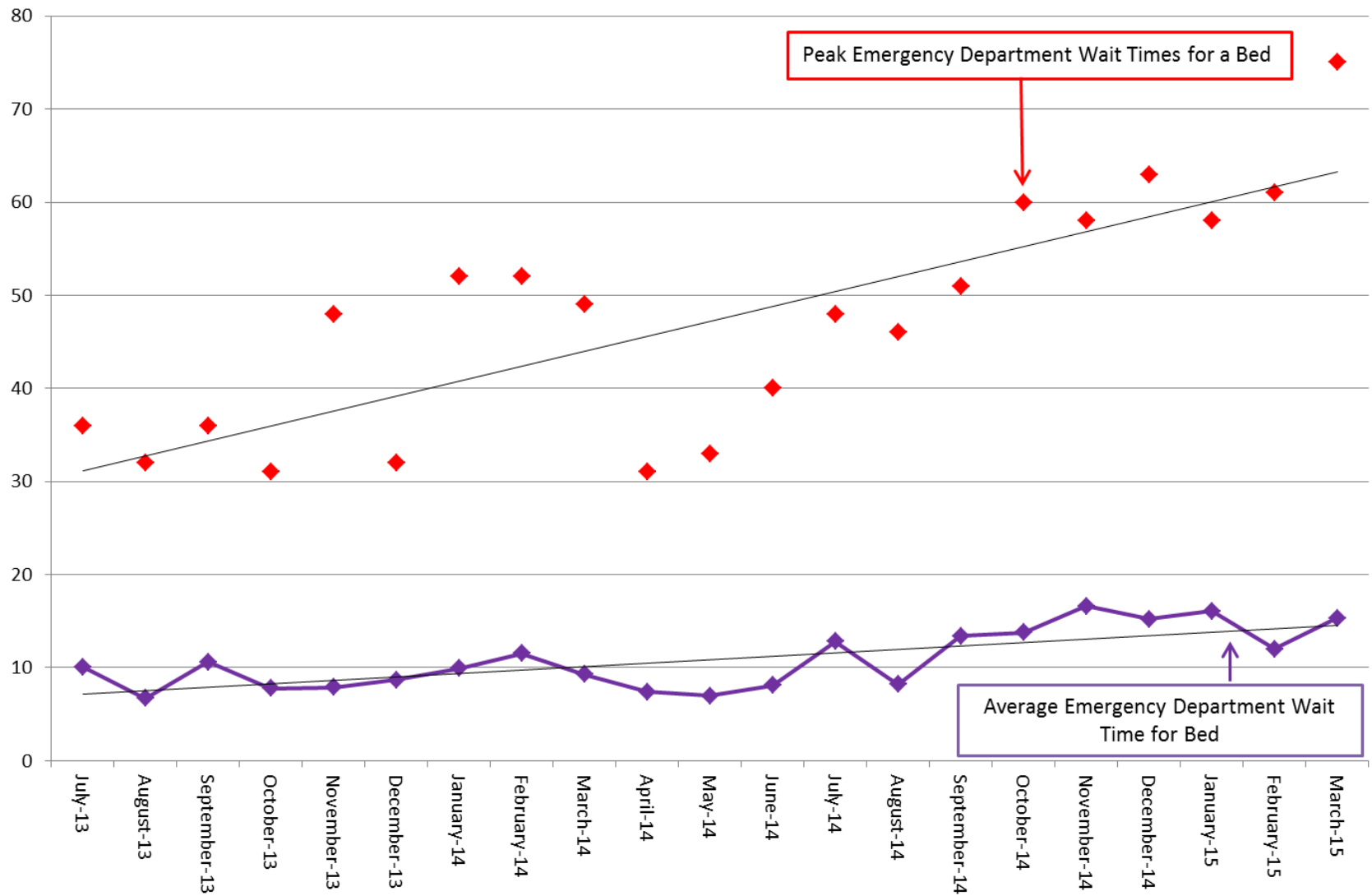
UNMH - Average Patients in Adult Licensed Beds (by Month)



UNMH - Highest Day with Peak # of Patients with Admission Orders in Each Month



Emergency Department Wait Times for a Bed



National Standard wait time is less than 4 hours



UNM Hospital Diverts & Code Purple

- UNM Hospital was unable to accept 529 tertiary care patients in calendar year 2014 due to lack of available beds.
- UNM Hospital has been on “Code Purple” status (maximum bed capacity) for 90% of 2014.

UNM Health System

What are the solutions?



What factors determine capacity?

1. Demand for service (not under our control)
2. Efficiency in managing patient load
3. Effectiveness in discharging patients (post-acute care)
4. Physical capacity (number of beds)

What we are doing to manage capacity

Strategies:

- Maximizing efficiencies
- Developing full continuum of care
- Increasing the number and type of beds – exploring the need for facility replacement

Maximizing Efficiencies

- Discharge planning
- UNM Sandoval Regional Medical Center
- Expanded ambulatory programs
 - Focus on preventive care
 - Patient-centered medical homes
 - Navigators and community health workers
- Behavioral health expansion

Develop the Continuum of Care

Since 2012, UNM Hospital has developed contractual relationships to facilitate expedited discharges:

- Implemented contracts with post-acute providers to facilitate timely discharges
- Spent more than \$5 million per year to facilitate early discharge
- Built networks allowing UNM Hospital to refer patients to Federally Qualified Health Centers

Develop the Continuum of Care

Now exploring Public-Private Partnerships

For greater efficiency, quality control, safety and better outcomes for referred patients, UNM Health System must develop partnerships with private sector providers, including:

- Rehabilitation hospitals
- Skilled nursing
- Home health
- Long-term acute care
- Pharmacy services



Expand the type and number of beds

- Too few beds to accommodate massive statewide patient care needs
 - Licensed for 308 adult medical surgical beds
 - Busy emergency room, large clinics system, extensive network and statewide referrals
- Wrong type of beds
 - Small rooms
 - Semi-private
 - Inefficient operating rooms
- Inefficient units
 - Scant work space, educational space and family space
- Many specialties require special units
 - Oncology, Trauma, Neurosurgery, Cardiac

DPS Letter on Master Facility Plan

April 7, 2015



Steve McKernan, CEO
University of New Mexico Hospitals
2211 Lomas Blvd. NE
Albuquerque, NM 871 06

Re: UNM Health Science Center Master Plan Update

Mr. McKernan,

At your direction, Dekker/Perich/Sabatini completed a Master Plan for the HSC in 2010. That Master Plan, based on a 20 year planning horizon, included a space needs projection, an existing facilities evaluation, a site development plan showing proposed future and removed HSC facilities, an infrastructure needs assessment, a vehicular and pedestrian circulation strategy including parking and transit, and finally, a cost projection for the various components.

We are now engaged in the development of an update to the 2010 plan to be completed substantially by May of this year. Although, as before, the majority of the effort will focus on the main HSC campus for inpatient and outpatient care, research, education and administrative space, the update will include the Sandoval Regional Medical Center campus and the primary care outpatient clinics located throughout the City. Focusing on a shorter planning horizon of five years, the plan, as opposed to a detailed site plan, will provide a framework for future development. This letter is written to summarize our work on the MP and also identify the key issues and objectives we believe essential to address for the plan's successful completion and ultimately the success of the Health Science Center.

Preliminary results of a recently drafted demographic analysis conducted by health care planners, Kurt Salmon Associates, indicate using a moderate population growth scenario, results in a system-wide projected acute care bed count of 623, or roughly 117 beds above the 496 current licensed beds in the UNM system, 436 at the main hospital and 60 at SRMC. Although we may conclude that this bed count reasonably meets the current and moderately projected need, it is imperative that we consider other significant issues.

Occupancies over the last three years in the main hospital have ranged routinely at close to 100% resulting in excessive patient waiting times in the Emergency Department due to a lack of beds available for admission. These long wait times also result in patients leaving the ER compromising the hospital's ability to treat them appropriately. Furthermore, the findings of an evaluation of the main hospital, conducted during the 2010 MP, remain unchanged:

- Infrastructure is aged and undersized requiring exorbitant costs to repair and maintain.
- Buildings have reached their expected lifespan with many originally constructed over 60 years ago. Floor plates sizes, proportions, and floor to floor heights cannot effectively accommodate current health care requirements and practices. The buildings are inflexible, compromising their ability to adapt to change as practices and services inevitably evolve.
- Back of the house areas are aged, congested, difficult to access, and inadequate in size.
- Density of development presents significant deterrents to in place expansion and replacement.

- Existing patient rooms, other than those added with the BBRP, do not comply with codes and standards per the current FGI guidelines adopted by the NMDOH. Operational inefficiencies coupled with a lack of current facility design strategies that are proven to promote healing, result in average lengths of stay of over 6 days.
- Access to the hospital for patients, visitors and staff is extremely difficult due to the limited site entries, lack of space for convenient parking, and way finding difficulty.

Clearly, although the development of SRMC has played a significant role in improving patient care, the existing hospital does not provide a physical plant that supports the important mission of the UNM Health Science Center to provide advanced health care, teaching, and research to the citizens of the State.

Based on the above, our recommendations in the MP update will remain consistent, in principle, with those presented in the 2010 MP. These include the following:

- A new acute care hospital be constructed in logical phases on the vacant land west of University Boulevard and east of 1-25. The 60 acre site is large enough to accommodate a hospital and other outpatient facilities to meet many of the long range clinical needs of the UNMHSC. Adequate utilities exist at the site's perimeter. The site is highly visible from the surrounding approach roads with excellent access provided from multiple locations, the 1-25 frontage road to the west, Camino de Salud to the north, University Boulevard to the east, and multiple points from Lomas Boulevard on the south.
- The existing hospital, as the new acute care facility is realized, can transition to a hospital devoted to women's and children's services. This recommendation was enthusiastically received and supported by the HSC medical staff and other important stakeholders during the 2010 MP effort.
- Essential connectivity with the existing hospital complex can be made with the construction of a future underpass at University Boulevard. This connection could accommodate transit, bicycle, and pedestrian traffic to ease congestion between the existing and the new facilities and also provide a quick route between each complex.
- Further operational efficiencies will be achieved with current process improvement efforts, but implementing updated facilities will result in greater patient satisfaction and care.

We believe that implementing the HSC Master Plan is in the long and short term interest of the Health Science Center to continue a strong national reputation for excellence in its academic medical center mission, a mission vital to the health and welfare of the citizens of New Mexico.

I hope this information is helpful in explaining our intentions and work to date.

Sincerely,
Dekker/Perich/Sabatini Ltd.

A stylized signature of William Q. Sabatini in black ink.

William Q. Sabatini, AIA, ACHA
Principal

7601 JEFFERSON NE, SUITE 100 ALBUQUERQUE, NM 87109 | 505.761.9700 DPSDESIGN.ORG | ARCHITECTURE / DESIGN / INSPIRATION

7601 JEFFERSON NE, SUITE 100 ALBUQUERQUE, NM 87109 | 505.761.9700 DPSDESIGN.ORG | ARCHITECTURE / DESIGN / INSPIRATION

DPS Letter on Master Facility Plan

April 7, 2015



Steve McKernan, CEO
University of New Mexico Hospitals

Infrastructure is aged and undersized

transit, and finally, a cost projection for the various components.

We are now engaged in the development of an update to the 2010 plan to be completed substantially by May of this year. Although, as before, the majority of the effort will focus on the main HSC campus for inpatient and outpatient care, research, education and administration, the Sandoval Regional Medical Center campus and the primary campus throughout the City. Focusing on a shorter planning horizon of five years, the detailed site plan, will provide a framework for future development. Our work on the MP and also identify the key issues and objectives of the plan's successful completion and ultimately the success of the

Preliminary results of a recently drafted demographic analysis conducted by Salmon Associates, indicate using a moderate population growth projection, a projected acute care bed count of 623, or roughly 117 beds above the current UNM system, 436 at the main hospital and 60 at SRMC. Although we may conclude that this bed count reasonably meets the current and moderately projected need, it is imperative that we consider other significant issues.

Over the past few years, the main hospital has increased its bed count to 100%.

Existing patient rooms, other than those added to the BBRP do not comply with codes and standards

- Existing patient rooms, other than those added with the BBRP, do not comply with codes and standards per the current FGI guidelines adopted by the NMDOH. Operational inefficiencies coupled with a lack of current facility design strategies that are proven to promote healing, result in average lengths of stay of over 6 days.
- Access to the hospital for patients, visitors and staff is extremely difficult due to the limited site entries, lack of space for convenient parking, and way finding difficulty.

although the development of SRMC has played a significant role in improving patient care, the hospital does not provide a physical plant that supports the important mission of the UNM Science Center to provide advanced health care, teaching, and research to the citizens of the

In the above, our recommendations in the MP update will remain consistent, in principle, with those presented in the 2010 MP. These include the following:

A new acute care hospital be constructed in logical phases on the vacant land west of University Boulevard and east of 1-25. The 60 acre site is large enough to accommodate a hospital and other outpatient facilities to meet many of the long range clinical needs of the UNMHSC.

Adequate utilities exist at the site's perimeter. The site is highly visible from the surrounding approach roads with excellent access provided from multiple locations, the 1-25 frontage road to the west, Camino de Salud to the north, University Boulevard to the east, and multiple points

Buildings have reached their expected lifespan

a hospital has historically received the 2010 MP

construction of a transit, bicycle, and also

implementing updated facilities will result in greater patient satisfaction and care.

We believe that implementing the HSC Master Plan is in the long and short term interest of the Health Science Center to continue a strong national reputation for excellence in its academic medical center, a mission vital to the health and welfare of the citizens of New Mexico.

This information is helpful in explaining our intentions and work to date.

Perich/Sabatini Ltd.

Sabatini, AIA, ACHA

7601 JEFFERSON NE, SUITE 100
ALBUQUERQUE, NM 87109

505.761.9700
DPSDESIGN.ORG

ARCHITECTURE / DESIGN / INSPIRATION

7601 JEFFERSON NE, SUITE 100
ALBUQUERQUE, NM 87109

505.761.9700
DPSDESIGN.ORG

ARCHITECTURE / DESIGN / INSPIRATION



Next Steps

- Health System strategic plan
- Working with KSA on market analysis and recommendations
- Updating the master facility plan with Dekker Perich Sabatini
 - Go to Higher Education Department in July
 - Go to State Board of Finance in October



Questions?



MEMORANDUM

To: Board of Directors

From: Mike Richards
Executive Physician-in-Chief

Steve McKernan
Chief Operating Officer

Date: March 31, 2015

Subject: Monthly Health System Activity Update

The System has been involved in a variety of activities.

Activities Levels: There have been good increases in patient activities at UNM Health System in the first 8 months of 2015 compared to the prior year. The census at UNMH has been high. There was high census in the pediatrics units because of the need to admit a high number of patients with RSV. The staff is adapting practices to accommodate the patient loads.

Patient days have increased 3% overall, although adult patient days have increased 8%. Obstetric days had decrease 11% from the prior year. Discharges have decreased 3% overall with a 2% decrease for adults, a 5% increase for pediatrics but a 13% decline for obstetrics compared to the prior year.. The length of stay is 6% greater than the prior year. The Case Mix Index aggregate Length of Stay has increased from 3.6 to 3.7 and is relatively stable because of 6% increase in the case mix index.

Clinic visits have increased 2% over the prior year. The total emergency room and urgent care visits have increased 2%. Surgeries are 3% higher than the prior year and births are 9% lower than the prior year. The decrease in obstetrics activity is significant and an area of concern and an area of focus for management.

Medical Group RVU's have increased 6% over the prior year, which is a good measure of overall workload, with most of the increase being driven by SRMC.

Compared to budget, the Health System total RVU's are equal to budget, and clinic visits are 4% behind target, surgeries 4% below budget, patient days are 1% below target, but discharges are 12% below target, mostly because of the drop in deliveries.

Finances: UNM Health System ended the first 7 months with a \$9 million margin or 1% returns on revenue. Total operating revenues increased 19% to \$733 million while expenses increased 13% to \$794 million. UNMH ended with a 1% positive margin on \$578 million in total revenues. The Medical Group ended with at a breakeven margin on revenues of \$130 million. SRMC ended with breakeven margin on \$47 million in revenues. Some of the revenue increase was due to a movement of patient onto Medicaid. The Medicare and Commercial insurance revenues have also increased. Uncompensated care dropped by 50% for the first 7 months but it was offset by the substantial increase in contractual allowances related to the conversion of patients from charity and bad debt to Medicaid financial class status. We have also been involved in an initiate to lower the billed charge structure to make the system more affordable to patients with high deductible policies. For the first 8 months, we have made progress as the cost to charge ratio increase from .46 to .52.

The balance sheet is stable. The cash position increased but much of the increase is due to an increase in accounts payable, most particularly to UNM, which is common at this time of the year. Accounts receivable have increased because of activity level. We have made good progress in collecting Medicaid accounts that were in a pending approval status. The Health System current ratio stands at 2.3 this year and was 2.5 last year. UNMH met its debt covenant ratios. SRMC met all its debt covenant ratios.

Network Development: UNM Health System is working with providers in the metro area and around the state on developing a network to coordinate care for patients and to coordinate with insurance companies. UNM is continuing to work with hospitals around the state on affiliation agreements and support for their programs. The UNM Health product is in the market with UNM and we continue to work on service standards for the patients with the UNM Health System providers and other network providers

SRMC: Activity levels at SRMC are good. Patient days are 30% higher than the prior year but still 20% below budget. Discharges are 19% above the prior year but 33% below budget. Surgeries are 2% above the prior year but 19% below budget. Clinic visits are 11% above the prior year but 8% below budget. The activity has been more robust in the past three months. The finances are stable, with the income statement reporting a \$247 thousand positive margin on a net patient revenue increase of 42%. Expenses have increase 18%. The Total Loss dropped by \$5.5 million from the prior year. The balance sheet is stable with cash being \$2 million higher than the prior year and liabilities being \$3 million higher than the prior year. The debt ratios are being met.

Community Activities: We have been involved with Bernalillo County on plans to for care in the County and community. The County's focus is particularly on behavioral health care access. The County would like to partner with UNM on future development of the services in the community. We are also now focused on the mil levy and the support that UNM will seek from Bernalillo and Sandoval County.

Operations: The Health System has brought up a new bed management system for the hospitals. The system is very multi-disciplinary in its nature, requiring the coordination of the medical staff, nurses, environmental services and other staff to facilitate the admission, movement and discharge of the patients through the hospital. We are additionally working on a project to better facilitate scheduling of patients to the clinics. The new system would better coordinate and standardize the patient's appointments. The new clinic scheduling and throughput system is also being supported by changes in other functions such as documentation and pharmacy refills and access to diagnostic services.

Strategic Planning: We finalizing, from the management perspective, prior to briefing governance, the coordinated update of the Long Range Master Facility plan and the Health System Strategic Plan. This process will include a reassessment of the assumptions on population growth and local market health care needs in light of the implementation of the Affordable Care Act. We are planning to initiate briefing at the end of April and plan for a deliberative process.

We continue to make progress on the focused planning project with the Children's Hospital and with Behavioral Health programs. We think there will be a significant focus on access to behavioral health services and UNM wants to be prepared to accommodate the changes in the delivery system and the care delivery models.

If there are any questions on this or other matters, please feel free to contact me.

UNM HS Total Operations - Stats
YTD February 28, 2015

	Total HS	Total HS Prior Year	FY 14 vs. FY 15		Total HS FY 15 Budget	Budget Variance
			\$ Change	% Change		
TOTAL PATIENT DAYS	114,275	110,582	3,693	3%	115,283	(1,008)
TOTAL DISCHARGES	19,174	19,712	(538)	-3%	21,783	(2,609)
TOTAL LENGTH OF STAY	6.0	5.6	0.4	6%	5.3	0.7
BEHAVIORAL						
Patient Days	18,081	15,828	2,253	14%	16,891	1,190
Discharges	1,729	1,474	255	17%	1,573	156
Length of Stay	10.5	10.7	(0.3)	-3%	10.7	(0.3)
<hr/>						
OUTPATIENT VISITS						
TOTAL OUTPATIENT CLINICS	507,115	497,395	9,720	2%	531,353	(24,239)
URGENT CARE	15,709	14,035	1,674	12%	13,964	1,745
EMERGENCY ROOM	63,897	62,663	1,234	2%	65,021	(1,124)
TOTAL OUTPATIENT VISITS	586,721	574,093	12,628	2%	610,338	(23,617)
<hr/>						
TOTAL SURGERIES	15,152	14,759	393	3%	15,878	(726)
<hr/>						
BIRTHS	1,983	2,184	(201)	-9%	2,167	(184)
Total Work RVU's	1,960,811	1,848,952	111,859	6%	1,960,531	280
<hr/>						

UNM HS Total Operations - Income Statement
YTD February 28, 2015
(In thousands)

	Total HS	Total HS Prior Year	FY 14 vs. FY 15		Total HS FY 15 Budget	Budget Variance
			\$ Change	% Change		
Total NET Patient Revenue	707,377	599,122	108,256	18%	653,076	54,301
State/Local Contracts/Grants	2,168	11,569	(9,401)	-81%	1,847	321
Other Operating Revenues	23,936	4,351	19,584	450%	19,589	4,347
Total Operating Revenues	733,481	615,042	118,439	19%	674,513	58,969
Total Operating Expenses	792,817	699,060	93,756	13%	749,643	43,173
Total Operating (Loss) Gain	(59,335)	(84,018)	24,683	-29%	(75,131)	15,796
Total Net Non-Operating Revenue	69,044	78,887	(9,843)	-12%	75,316	(6,272)
Total Increase in Net Position	9,709	(5,131)	14,839	-289%	185	9,524

UNM HS Total Operations -Balance Sheet
YTD February 28, 2015
(In thousands)

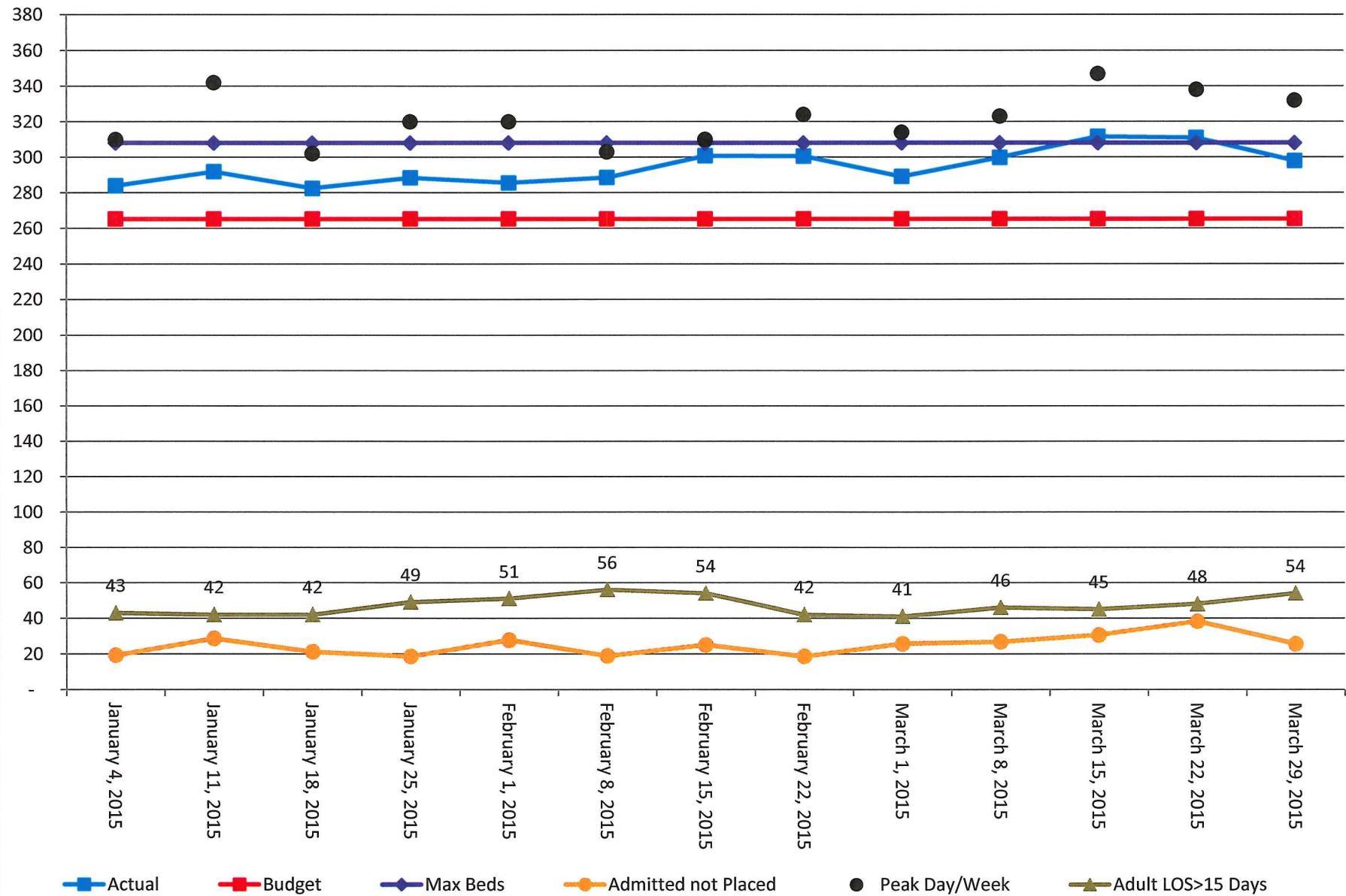
	Total HS	Total HS FY 2014	FY 14 vs. FY 15	
			\$ Change	% Change
ASSETS				
Cash & Cash Equivalents	306,969	244,543	62,427	26%
Total Net Patient Receivable	134,837	130,223	4,614	4%
Other Assets	179,175	184,844	(5,669)	-3%
Total Net PP&E	414,893	424,884	(9,991)	-2%
Total Assets	1,035,874	984,493	51,381	5%
LIABILITIES				
Total Current Liabilities	230,346	181,694	48,651	27%
Total Long-Term Liabilities	286,070	293,049	(6,980)	-2%
Total Liabilities	516,416	474,744	41,672	9%
Total Net Position	519,458	509,750	9,709	2%
<i>Current Ratio</i>	<i>2.31</i>	<i>2.48</i>	<i>(0.17)</i>	<i>-7%</i>

UNM Health System
Quality and Satisfaction Scores

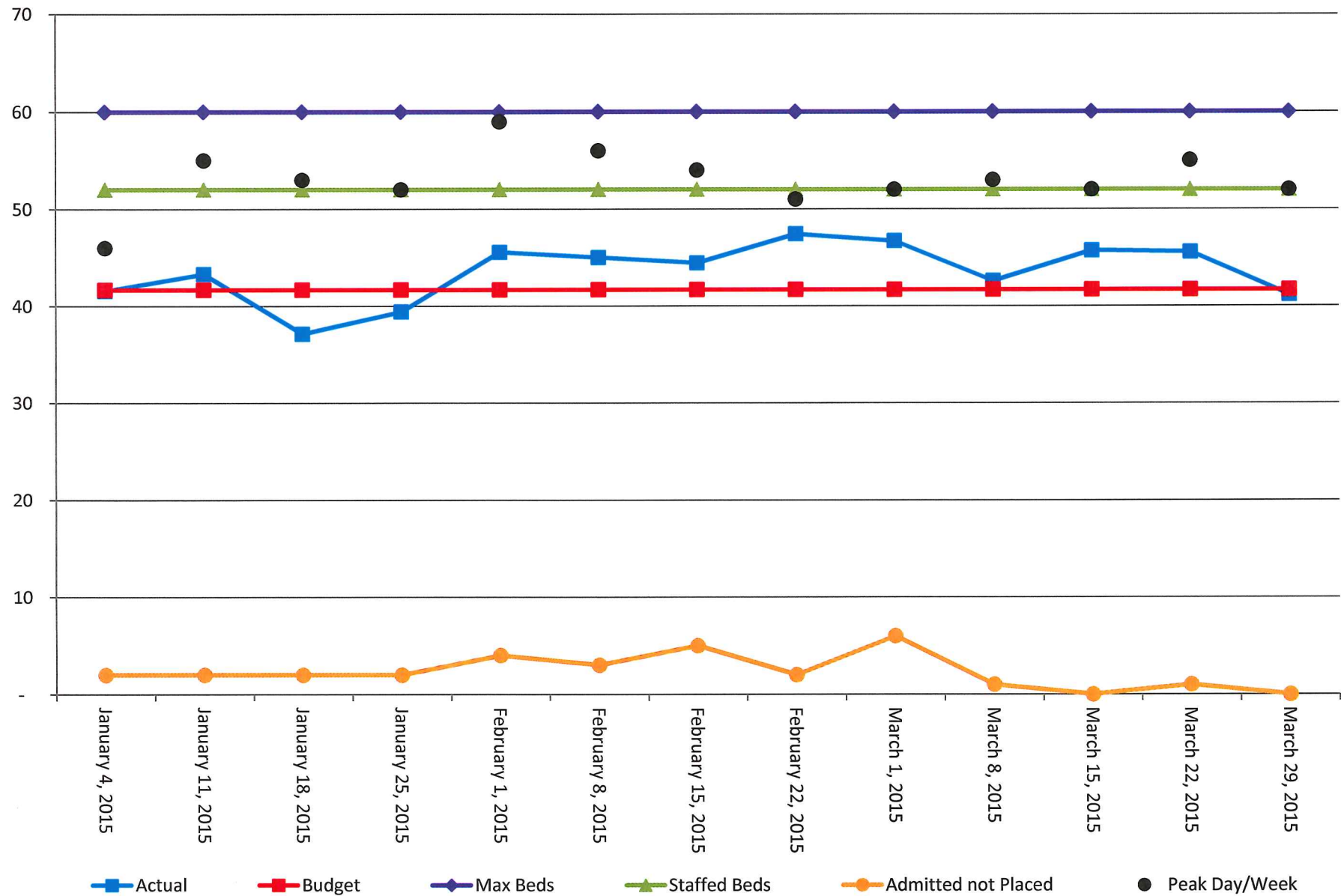
	March-13	June-13	September-14	December-15	March-14	June-14	September-14	December-14
HCAHPS - Top Box (%) Rate the Hospital								
UNMH	64.0	65.0	65.0	69.0	73.0	65.0	68.0	71.2
SRMC	85.0	81.0	79.0	72.0	76.0	78.0	80.0	71.3
CGCAHPS - Top Box (%) Overall Doctor Rating								
UNMH		76.0		76.0		78.0		78.2
SRMC				78.0		79.0		85.1
CGCAHPS - Top Box (%) Recommend Office								
UNMH		85.0		84.0		86.0		85.4
SRMC				85.0		84.0		89.5
Composite Core Measure								
UNMH	90%	94%	97%	96%	96%	96%	97%	
SRMC	94%	85%	54%	77%	84%	86%	87%	

HCAHPS and Core Measure Quarterly
 CGCAHPS Every 6 months

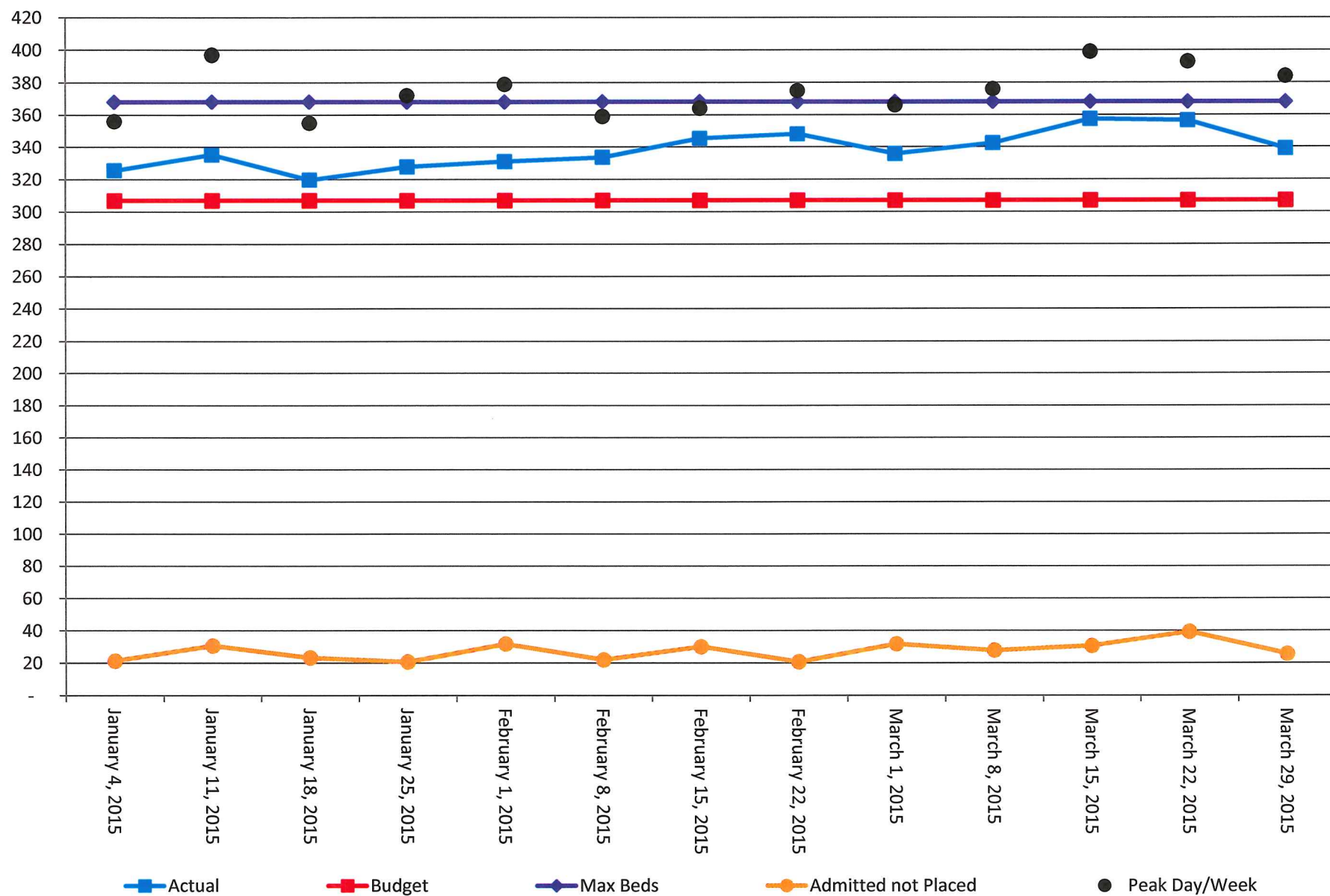
UNMH - Adult Average Daily Census by week



SRMC - Adult Medical Average Daily Census by week



TOTAL HS - Adult Average Daily Census by week



MEMORANDUM

TO: UNM HSC, UNMH, UNMMG and UNM SRMC Leadership
FROM: Jamie Silva-Steele, President and Chief Executive Officer
RE: SRMC Dashboard Week ending March 28, 2015
DATE: March 30, 2014

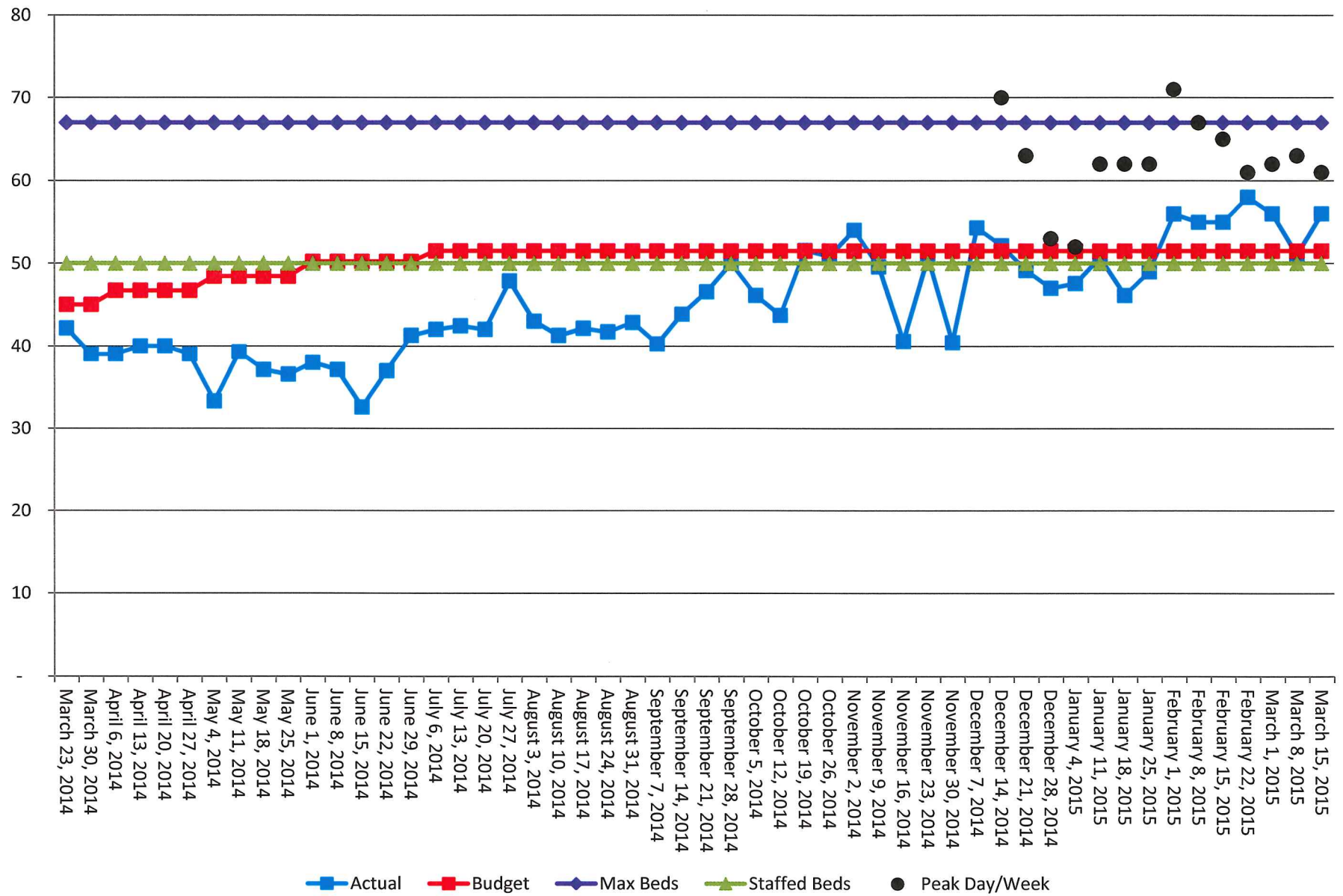
Actual FY15 Activity to FY15 Budgeted Targets:

Enhance/Expand Medical Specialty Services and Physician Capacity								
	21-Feb	28-Feb	7-Mar	14-Mar	21-Mar	28-Mar		FY15 Bud. Target
Med Surg/ICU Admits	85	81	81	85	92	78		11/day; 78/week; 337/month; 4,049/yr
BH Admits	10	6	3	4	6	2		5.5/week; 24/month; 285/yr
Med Surg/ICU Census	328	331	326	320	327	287		42/day; 298/week; 1,292/month; 15,509/yr
BH Census	72	68	57	68	69	55		10/day; 70/week; 347/month; 3,650/yr
Enhance/Expand Surgical Services and Physician Capacity								
GI Procedures	24	19	28	28	26	28		4.4/day; 22/week; 88/month; 1056/yr
IR Lab	24	20	22	22	24	17		5/day; 25/week; 147/month; 1,764/yr
Total Surgeries	91	84	78	80	90	69		17/day; 87/week; 377/month; 4,524/yr
Inpatient Surgeries	42	36	36	38	45	29		5/day; 26/week; 114/month; 1,367/yr
Outpatient Surgeries	49	48	42	42	45	40		11/day; 55/week; 220/month; 2640/yr
% Community Surgeries	40%	49%	66%	51%	25%	68%		40%
Maximize Inpatient Capacity between UNMH and SRMC								
ED Visits	371	349	383	340	358	365		46/day; 319/week; 1,383/month; 16,593/yr
Radiology Proc.	602	606	640	604	610	624		70/day; 488/week; 2,114/month; 25,372/yr
Clinic Visits	733	696	671	671	768	677		123/day; 619/week; 2,582/month; 30,982/yr
Primary Care	270	279	260	228	271	266		48/day; 240/week; 1,001/month; 12,015/yr
Specialty Care	463	417	411	443	497	411		76/day; 379/week; 1,581/month; 18,967/yr
Total Rehab Visits	292	325	282	287	300	233		45/day; 237/week; 1,362/month; 16,340/yr
FTE Utilization								
Worked FTEs	398.19	NA	398.96	NA	394.7	NA		386.87
Paid FTEs	425.79	NA	429.59	NA	426.13	NA		430.93
Cash Targets								
Patient Cash Collected	1.482	1.692	1.458	1.277	1.418	1.911		\$1.199/week; \$5.195/month; \$62.337/yr
Total Cash Collected	1.495	2.144	1.504	1.339	1.432	1.963		\$1.323/week; \$5.735/month; \$68.816/yr

Week of 2/14 includes 1.660M of cost report settlement

Week of 2/28 includes 253K from Prudential Escrow

SRMC - Average Daily Census by week



Tab 11

materials will be provided at the meeting

Retention Report UNM HSC BOD Spring 2015

Leslie Morrison, MD

Vice Chancellor for Academic Affairs

Five Year Retention Rates AAMC data 2011 (last report)

	SENIOR		JUNIOR	
	UNM	National	UNM	National
1990-1994	72.44%	76.34%	59.55%	66.10%
1995-1999	69.73%	76.98%	57.37%	66.90%
2000-2002	76.61%	76.80%	60.74%	67.80%
<i>Overall</i>	72.47%	76.71%	58.93%	66.88%

Three Year Retention Rates Full-time AAMC and UNM

**3-Year Retention Rates for Full-time Faculty
at All U.S. Medical Schools from 1991 to 2011
December 31, 2014 AAMC Faculty Roster Snapshot
January 20, 2015**

**3-Year Retention Rates for Full-time Faculty
at New Mexico from 1991 to 2011
December 31, 2014 AAMC Faculty Roster Snapshot
January 20, 2015**



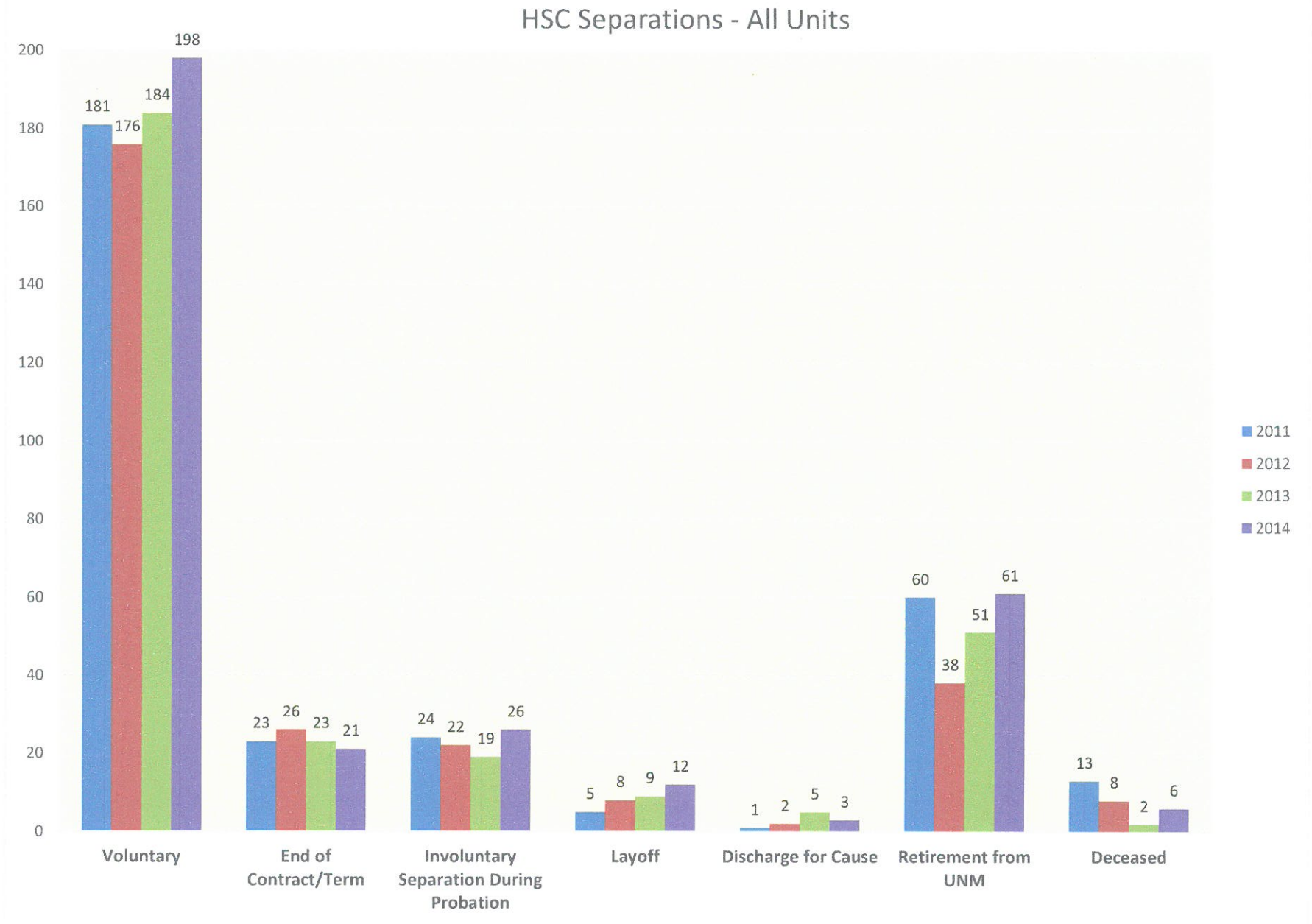
Cohort Year	Initial Cohort	Retained		Cohort Year	Initial Cohort	Retained	
	N	N	%		N	N	%
1991	77,482	61,253	79.05	1991	347	275	79.25
1992	79,646	63,354	79.54	1992	363	290	79.89
1993	83,807	67,257	80.25	1993	370	300	81.08
1994	88,736	71,423	80.49	1994	407	307	75.43
1995	91,844	73,712	80.26	1995	480	373	77.71
1996	94,032	75,317	80.10	1996	505	380	75.25
1997	95,942	76,328	79.56	1997	503	381	75.75
1998	97,440	78,188	80.24	1998	555	392	70.63
1999	100,259	81,041	80.83	1999	558	405	72.58
2000	102,768	84,628	82.35	2000	552	425	76.99
2001	107,162	87,710	81.85	2001	554	438	79.06
2002	112,011	92,562	82.64	2002	590	466	78.98
2003	117,272	94,883	80.91	2003	616	484	78.57
2004	122,248	95,558	78.17	2004	649	488	75.19
2005	128,108	97,565	76.16	2005	672	508	75.60
2006	130,645	101,879	77.98	2006	669	535	79.97
2007	132,845	105,016	79.05	2007	690	540	78.26
2008	136,220	108,831	79.89	2008	709	568	80.11
2009	139,962	112,401	80.31	2009	764	595	77.88
2010	144,285	116,524	80.76	2010	791	611	77.24
2011	150,982	121,253	80.31	2011	826	651	78.81

© This report is copyright of the Association of American Medical Colleges (AAMC). The report and its contents are for internal use only and may not be shared with other institutions without prior written permission from the AAMC.

HSC One Year Retention Rates (percent)

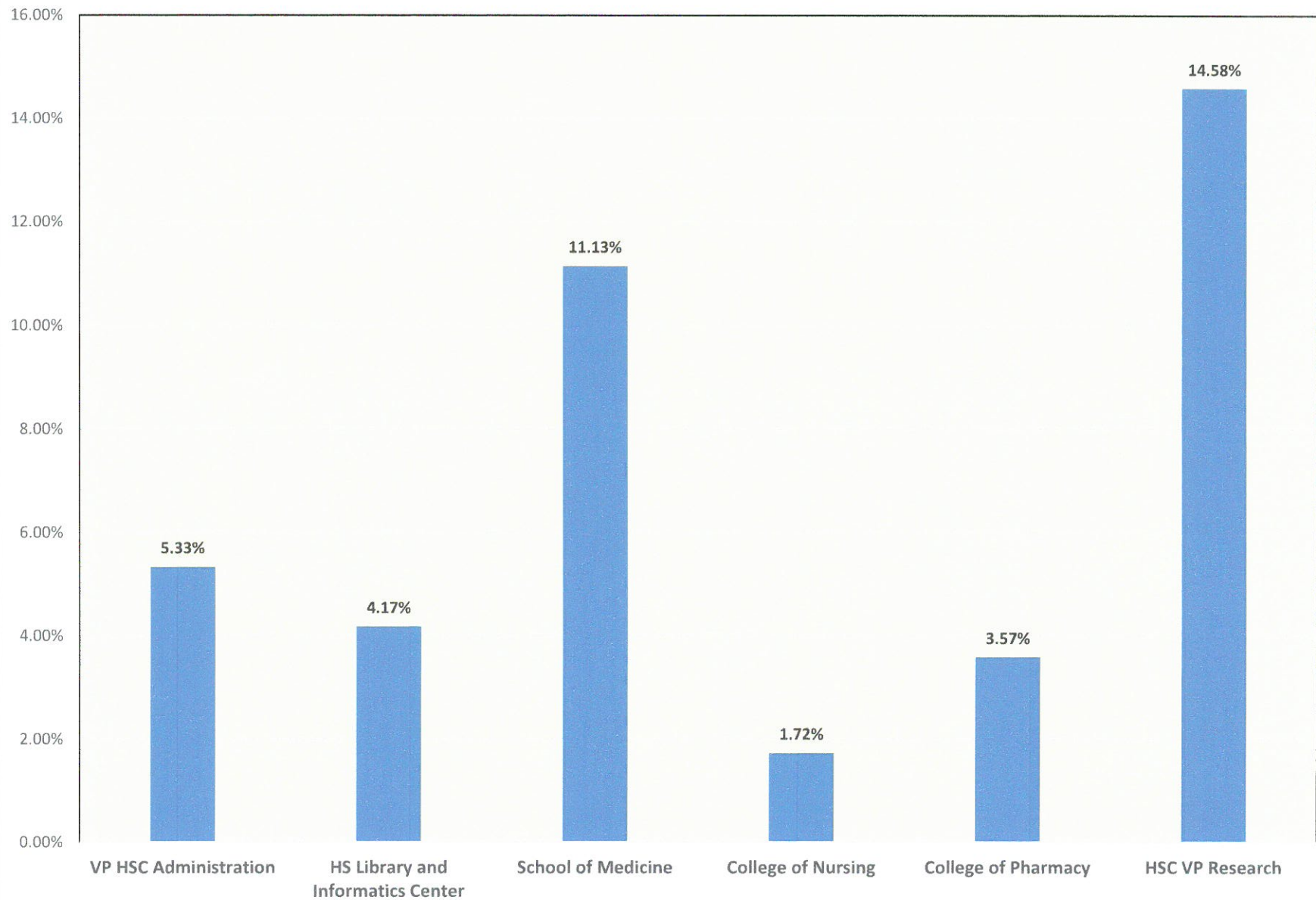
College or School	FY13	FY14	TARGETS	
SOM	93.7	93.1	94	
CON	98		89	
COP	95		95	

Staff Turnover - Presentation to the HSC BoD on February 27, 2015



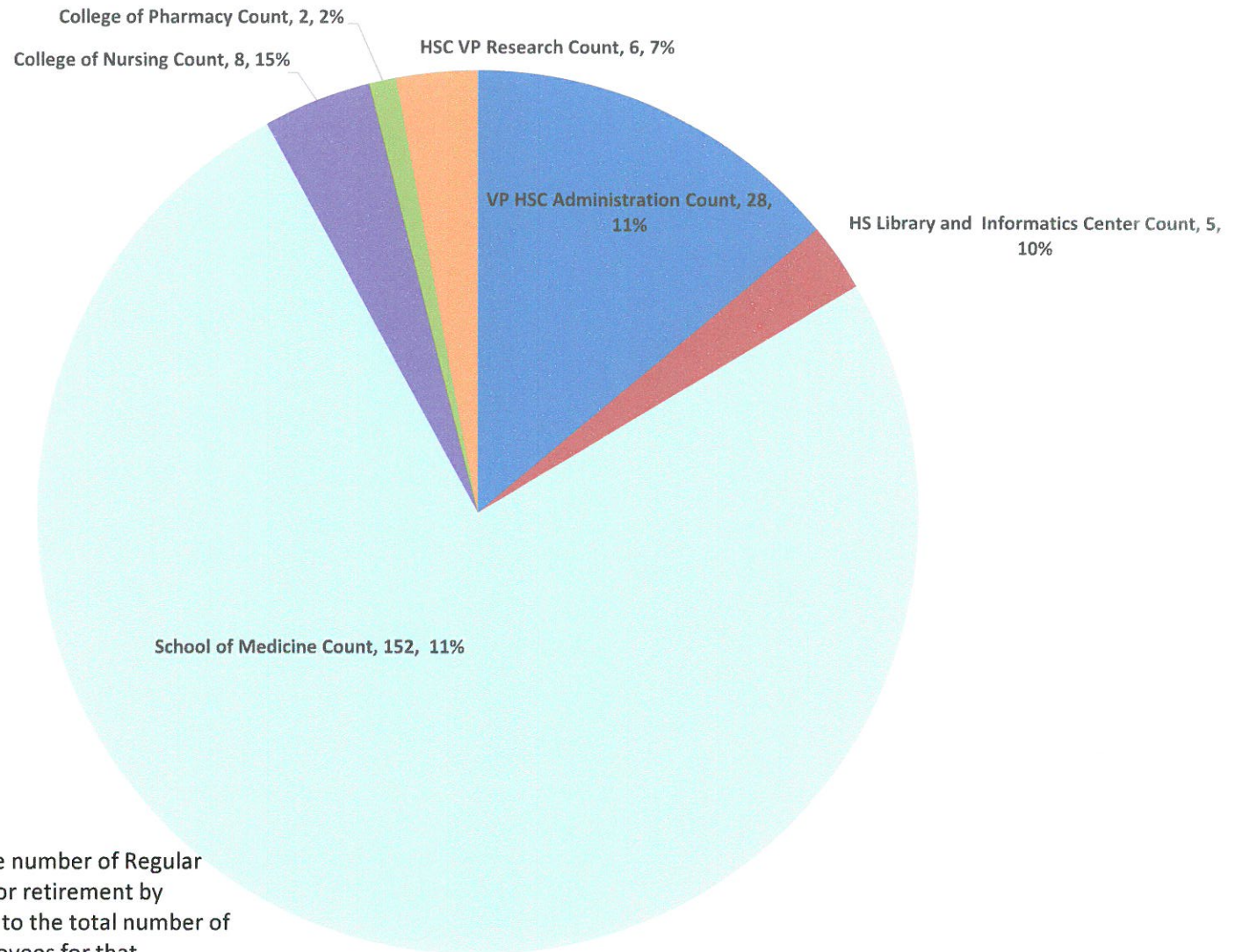
Staff Turnover - Presentation to the HSC BoD on February 27, 2015

CY 2014 Voluntary Separations as % of Regular Active Employees



Staff Turnover - Presentation to the HSC BoD on February 27, 2015

HSC Regular Staff Employees Eligible for Retirement as of 2/28/2015



Note: Percentages are the number of Regular Staff Employees eligible for retirement by School/Unit as compared to the total number of Active Regular Staff Employees for that School/Unit

Tab 13

Approval of Honorary Degree Candidates

(Candidate names need to be kept confidential until approved and degree is accepted; therefore, discussion will take place in closed session, vote in open session)



Faculty Senate

March 9, 2015

TO: Board of Regents Academic, Student Affairs, and Research Committee

FROM: Selena Salazar, Office of the University Secretary

SUBJECT: Form D – Graduate Certificate in Law, Environment, & Geography

The Faculty Senate approved Form D – Proposal for Master of Legal Studies Degree for Non-Lawyers at the Tuesday, February 24, 2015 meeting.

Please place this item on the April 2, 2015 Board of Regents Academic, Student, Affairs, and Research Committee meeting agenda for consideration.

Thank you.

Attachment



Proposal for Master of Legal Studies Degree for Non-Lawyers



Proposal for Master of Legal Studies Degree for Non-Lawyers

Table of Contents

Tab #	Item
1	Memorandum from the Associate Provost for Curriculum
2	Memorandum from Dean David Herring
3	Form D
4	Executive Summary
5	Program Proposal
6	Attachment A: National List of Masters Programs
7	Attachment B: New Mexico Department of Workforce Solutions Statistics
8	Attachment C: Western Interstate Commission for Higher Education
9	Attachment D: Six-Year Enrollment Projection
10	Attachment E: Projected Program Cost Estimates and Resources
11	Statement Regarding Effect on Juris Doctor Program
12	Catalog Description
13	Library Impact Statement
14	Letters of Support
	Albuquerque Hispano Chamber of Commerce
	Center for Native American Health, UNM Health Sciences Center
	Department of Economics, UNM
	Department of Geography and Environmental Studies, UNM
	Leadership Institute at the Santa Fe Indian School
	Leger Law and Strategy
	Native American Studies Program, UNM
	New Mexico Environment Department
	New Mexico Tribal-State Judicial Consortium
	Pueblo of Isleta Appellate Court
	School of Public Administration, UNM
	Water Resources Program, UNM
15	Accreditation of the Higher Learning Commission of the North Central Association
16	Documentation of UNM's Priority for the Proposed Program
17	Minutes of UNM Board of Regents Meeting Documenting Approval of MLS Proposal
18	Form B New Course Request
19	Form B Catalog Listing
20	Form B Syllabus and Bibliography
21	Form B Investigation of Course Duplication

1.



THE UNIVERSITY *of* NEW MEXICO

Office of the Vice Provost for Academic Affairs
MSC05 3400
1 University of New Mexico
Albuquerque, NM 87131-0001
505.277.2611

Date: July 15, 2014

To: Daniel Ortega, Research Professor of Law

From: Gregory L. Heileman, Associate Provost for Curriculum

Re: MLS Degree for Non-Lawyers – Pre-Approval

Cc: Chaouki Abdallah, Provost and EVP for Academic Affairs
Nancy Middlebrook, University Accreditation Director
Elizabeth Barton, Associate Registrar

Thank you for submitting the preliminary review outline for the Master of Legal Studies (M.L.S.) degree for non-lawyers. In my judgment, the proposed M.L.S. has been sufficiently well developed to warrant submission to the Faculty Senate Curricula Committee, please feel free to proceed.

2.



MEMORANDUM

August 2014

To: Associate Registrar Elizabeth Barton

From: Dean David Herring *David Herring*

Re: Submission of Form D - Proposal for Master of Legal Studies Degree for Non-Lawyers

I am pleased to submit Form D in quadruplicate to initiate the review process outside the law school. Please let me know if any additional information or documentation is needed. Thank you very much for your assistance.

3.

NEW GRADUATE DEGREE OR GRADUATE CERTIFICATE FORM D

UNIT PREPARES IN QUADRUPLICATE
Route as indicated below under approvals. Return to the Registrar's Office once all signatures have been obtained.

Date: April 30, 2014

*Allow up to one year for the process to be completed for a certificate, and 18 months for a degree.

David J. Herring
(Name of individual initiating Graduate Degree or Graduate Certificate)

Dean and Professor of Law, 505-277-4700
(Title, position, telephone number)

herring@law.unm.edu
(Email address)

School of Law
(Department/Division/Program)

Note: Proposals for new graduate degrees or graduate certificates need to follow an approved format. Please call the Office of Graduate Studies and ask for an outline. Revisions of graduate degrees and some new certificates also may need state approval, depending on the extent of changes proposed. Please consult the Office of the Provost for advice prior to initiating this form.

Attach the following required documents:

1. Executive Summary.
2. Program Proposal (in the approved format).
3. Catalog Description (to include program curriculum).
4. Graduate Program Projected Costs (only for new degrees).
5. Library Impact Statement.

Does this new degree affect any existing program? Yes ☒ No ☐ If yes, attach statement.

Proposed date to admit new students: Term Fall Semester Year 2016

Required Signatures:

Department Chair	_____	Date	_____
College Curricula Committee	<u>Steven K. Hauer</u>	Date	<u>7-21-2014</u>
College or School Dean	<u>David J. Herring</u>	Date	<u>7-22-2014</u>
Dean of Library Services	<u>Michael</u>	Date	<u>7/23/14</u>
Office of the Registrar—Catalog	<u>Elizabeth A. Barton</u>	Date	<u>12/01/14</u>
FS Graduate Committee	<u>Gregory C. Liestel</u>	Date	<u>12/11/14</u>
Dean of Graduate Studies	<u>Julie Coomes</u>	Date	<u>12/17/14</u>
FS Curricula Committee	<u>Cardyn Montoya</u>	Date	<u>2/6/15</u>
Office of the Provost	_____	Date	_____
Faculty Senate	_____	Date	_____
Board of Regents	_____	Date	_____

Additional Approvals for Degrees:

Board of Regents	_____	Date	_____
Council of Graduate Deans	_____	Date	_____
Academic Council of Higher Education	_____	Date	_____
Higher Education Department	_____	Date	_____
State Board of Finance	_____	Date	_____

Entered Banner

Entered Catalog

For Registrar's Office ONLY:

Copies Mailed

NEW GRADUATE DEGREE OR GRADUATE CERTIFICATE FORM D

UNIT PREPARES IN QUADRUPLICATE
Route as indicated below under approvals. Return to the Registrar's Office once all signatures have been obtained.

Date: April 30, 2014

David J. Herring
(Name of individual initiating Graduate Degree or Graduate Certificate)

Dean and Professor of Law, 505-277-4700
(Title, position, telephone number)

herring@law.unm.edu
(Email address)

School of Law
(Department/Division/Program)

***Allow up to one year for the process to be completed for a certificate, and 18 months for a degree.**

Note: Proposals for new graduate degrees or graduate certificates need to follow an approved format. Please call the Office of Graduate Studies and ask for an outline. Revisions of graduate degrees and some new certificates also may need state approval, depending on the extent of changes proposed. Please consult the Office of the Provost for advice prior to initiating this form.

Attach the following required documents:

1. Executive Summary.
2. Program Proposal (in the approved format).
3. Catalog Description (to include program curriculum).
4. Graduate Program Projected Costs (only for new degrees).
5. Library Impact Statement.

Does this new degree affect any existing program? Yes ☒ No ☐ If yes, attach statement.

Proposed date to admit new students: Term Fall Semester Year 2016

Required Signatures:

Department Chair _____	Date _____
College Curricula Committee <u>Steven K. Homer</u>	Date <u>7.21.2014</u>
College or School Dean <u>David J. Herring</u>	Date <u>7-22-2014</u>
Dean of Library Services <u>N. Regal</u>	Date <u>7/23/14</u>
Office of the Registrar—Catalog _____	Date _____
FS Graduate Committee _____	Date _____
Dean of Graduate Studies _____	Date _____
FS Curricula Committee _____	Date _____
Office of the Provost _____	Date _____
Faculty Senate _____	Date _____
Board of Regents _____	Date _____

Additional Approvals for Catalog	Date
Board of Regents	Date
Council of Graduate Deans	Date
Academic Council of Higher Education	Date
Higher Education Department	Date
State Board of Finance	Date

Entered Banner

Entered Catalog

For Registrar's Office ONLY:

Copies Mailed

NEW GRADUATE DEGREE OR GRADUATE CERTIFICATE FORM D

UNIT PREPARES IN QUADRUPLICATE
Route as indicated below under approvals. Return to the Registrar's Office once all signatures have been obtained.

Date: April 30, 2014

***Allow up to one year for the process to be completed for a certificate, and 18 months for a degree.**

David J. Herring
(Name of individual initiating Graduate Degree or Graduate Certificate)

Dean and Professor of Law, 505-277-4700
(Title, position, telephone number)

herring@law.unm.edu
(Email address)

School of Law
(Department/Division/Program)

Note: Proposals for new graduate degrees or graduate certificates need to follow an approved format. Please call the Office of Graduate Studies and ask for an outline. Revisions of graduate degrees and some new certificates also may need state approval, depending on the extent of changes proposed. Please consult the Office of the Provost for advice prior to initiating this form.

Attach the following required documents:

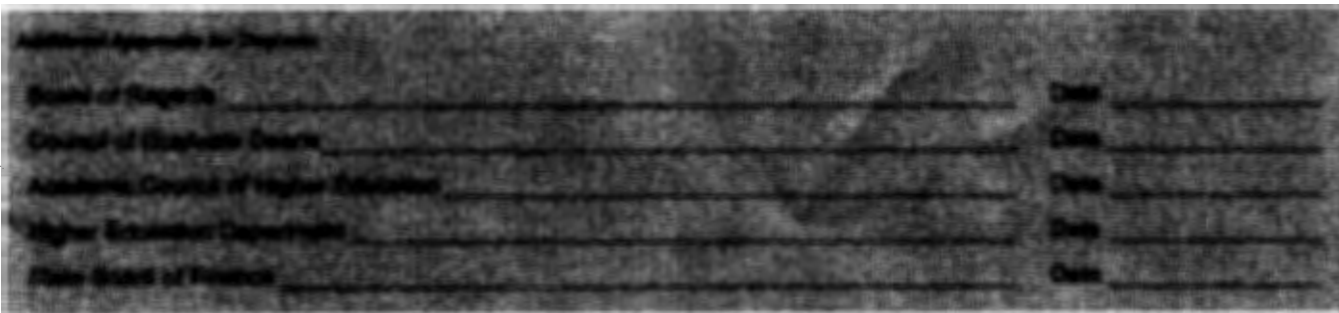
1. Executive Summary.
2. Program Proposal (in the approved format).
3. Catalog Description (to include program curriculum).
4. Graduate Program Projected Costs (only for new degrees).
5. Library Impact Statement.

Does this new degree affect any existing program? Yes ☒ No ☐ If yes, attach statement.

Proposed date to admit new students: Term Fall Semester Year 2016

Required Signatures:

Department Chair _____	Date _____
College Curricula Committee _____	Date _____
College or School Dean _____	Date _____
Dean of Library Services _____	Date _____
Office of the Registrar—Catalog _____	Date _____
FS Graduate Committee _____	Date _____
Dean of Graduate Studies _____	Date _____
FS Curricula Committee _____	Date _____
Office of the Provost _____	Date _____
Faculty Senate _____	Date _____
Board of Regents _____	Date _____



Entered Banner

Entered Catalog

For Registrar's Office ONLY:

Copies Mailed

4.

UNM School of Law
Executive Summary of Proposal for Master of Legal Studies Degree for Non-Lawyers

Program Description: The Master of Legal Studies (M.L.S.) degree for non-lawyers will enhance the work skills of its graduates by giving them a condensed education about law and the legal process. The M.L.S. will benefit the entire state by making a scarce public resource--legal education--more broadly accessible to the workforce. Because the M.L.S. is open to recent college graduates and working professionals from all disciplines, it fits well with many undergraduate and graduate degrees. The two initial areas of concentration in this program are Indian Law and Natural Resources-Environmental Law.

Evidence of Need: Little data exist locally, regionally, or nationally demonstrating the demand for M.L.S. degree holders. A master's degree in law for non-law students is a new concept; most of the 36 degree programs offered nationally were established recently. Nonetheless, UNM's M.L.S. degree is expected to be successful as evidenced by the growing number of similar programs. Law schools are developing these degrees to meet the needs of non-lawyer professionals who must understand the judicial, legislative, regulatory, and policy-making process to perform their jobs effectively. Thus, the M.L.S. degree will serve non-lawyers and recent college graduates who need or want intensive, short-term training in the law but do not need or want a J.D. degree. M.L.S. students from a variety of disciplines and jobs will enrich the education of J.D. students because greater cross-disciplinary understanding will have a positive impact on new lawyers when they enter the legal profession or other fields.

New Mexico Department of Workforce Solutions statistics suggest that for jobs with a relatively high average number of annual openings in NM, the M.L.S. degree will significantly enhance an applicant's qualifications and potential value to likely employers. Note these jobs in particular: regulatory affairs managers (223 average annual openings), accountants and auditors (178), business operations specialists (148), child, family, and school social workers (79), civil engineers (48), construction managers (42), environmental compliance inspectors (33), regulatory affairs specialists (33), government property inspectors and investigators (33), post-secondary education administrators (27), occupational and safety specialists (26), reporters and correspondents (13), education administrators (12), and non-lawyer state magistrate judges and tribal judges (10). Moreover, graduates likely will become leaders in NM tribal judicial systems. Graduates also will be well suited for leadership positions in tribes' executive, legislative, managerial, and entrepreneurial sectors. Among American Indians and Alaska Natives in NM, as of 2010, 3,118 males and 4,848 females had Bachelor's degrees, for a total estimated in-state applicant pool of 7,966.¹ Moreover, total fall enrollment of American Indians or Alaska Natives in NM higher education in 2009-10 and 2010-11 was 13,184.² Thus, relative to the 8 FTE seats to be filled annually, there is a large and growing local applicant pool for the M.L.S. program among college graduates who may be particularly interested in the Indian Law concentration.

The M.L.S. program dovetails well with the Innovation Academy at Innovate ABQ. This Academy has good potential to benefit the state's workforce and economy by pooling the talents and insights of faculty and students from a spectrum of disciplines to solve difficult problems and incubate new industries and jobs. M.L.S. students will be ideal participants in this Academy

¹ <http://factfinder2.census.gov/faces/tableservices/jsf/pages/productview.xhtml?src=bkmk>.

² <https://nces.ed.gov/programs/stateprofiles/sresult.asp?mode=full&displaycat=4&s1=35>.

because they will be steeped in the process of crossing disciplinary boundaries throughout their entire degree program.

Duplication: UNM hosts the state's only law school, so by definition there are no similar degree programs for non-lawyer graduates or professionals at any NM institution of higher education. Moreover, there are no comparable programs available to UNM students through the WICHE professional student exchange or regional graduate program.

Program Content: 30 credit hours must be completed on a full- or part-time basis, primarily from existing upper-level law courses. All M.L.S. students must take the 4-credit-hour "Introduction to U.S. Law, Procedure and Legal Education" during their first fall semester. The other 26 credit hours will depend on a student's area of concentration or course preferences. A faculty advisor in each area of concentration will help plan the students' curriculum.

Evaluation and Assessment: Immediate learning outcomes will be measured by successful completion of course requirements, as evidenced by examination results. J.D. and M.L.S. students will take the same examinations in all courses except the introductory course, but M.L.S. exams will be blind-graded on a separate scale. After the first five years of the program, M.L.S. alumni and their employers will be surveyed to determine the value that the degree has added to the alumni's job performance.

Required Resources: The Dean's fund will cover the first year of program expenses, so no loss will be generated. The M.L.S. is projected to produce net revenue from tuition and fees in year 2 that will increase in years 3 and 4 before reaching a plateau in year 5. Therefore, the M.L.S. is projected to rapidly become self-supporting. A .50 FTE program administrator likely will be needed later, but existing faculty and staff will implement the M.L.S. initially. Program revenue will pay for the portion of faculty and staff time devoted to the M.L.S. Otherwise, current space, technology, media, equipment, and instructional supplies will suffice.

Six-Year Enrollment and Cost Projection: See the cost projection in the attached table.

Year	Full-time Students @ 30 Credit Hours/Year		Part-time Students @ 10 Credit Hours/Year		Credit Hours
	New	Returning	New	Returning	
1 program start-up	n/a	n/a	n/a	n/a	n/a
2	1	n/a	7	n/a	100
3	3	n/a	1	7	170
4	5	n/a	1	8	240
5	5	n/a	7	2	240
6	5	n/a	0	9	240

Additional Information: Letters of support have been received to date from Albuquerque Hispano Chamber of Commerce, Leadership Institute at the Santa Fe Indian School, Leger Law and Strategy, New Mexico Environment Department, New Mexico Tribal-State Judicial Consortium, Pueblo of Isleta Appellate Court, and these UNM units: Center for Native American Health, Department of Economics, Department of Geography and Environmental Studies, Native American Studies Program, and Water Resources Program.

**Attachment E to UNM School of Law Master of Legal Studies Proposal:
Projected Program Cost Estimates and Resources**

	Year 1		Year 2		Year 3		Year 4		Year 5		Year 6	
ESTIMATED REVENUES												
	Existing	New	Existing	New	Existing	New	Existing	New	Existing	New	Existing	New
Projected University I&G or Tuition				61,494	61,494	37,943	99,437	37,943	137,380		137,380	
Other *	45,000											
TOTAL REVENUE	45,000		61,494		99,437		137,380		137,380		137,380	
	Year 1		Year 2		Year 3		Year 4		Year 5		Year 6	
ESTIMATED EXPENSES												
	Existing	New	Existing	New	Existing	New	Existing	New	Existing	New	Existing	New
Director SAC		10,000	10,000		10,000	10,000	20,000		20,000		20,000	
Faculty Support				3,500	3,500	2,000	5,500	2,500	8,000		8,000	
TA support				5,760	5,760		5,760		5,760		5,760	
Administrative Support		10,000	10,000	10,000	20,000		20,000		20,000		20,000	
Recruitment Costs		25,000	18,000		18,000	2,000	20,000		20,000		20,000	
TOTAL EXPENSES	45,000		57,260		71,260		73,760		73,760		73,760	
DIFFERENCE (Rev.-Exp.)	0*		4,234		28,177		63,620		63,620		63,620	
	Year 1		Year 2		Year 3		Year 4		Year 5		Year 6	
ESTIMATED IMPACT OF NEW PROGRAM												
FTE Enrollment	0		3.3		5.7		8		8		8	
Projected Annual Credits Generated	0		100		170		240		240		240	
Tuition Generated	0		61,494		99,437		137,380		137,380		137,380	
* Year 1 expenses will be covered by Dean's Fund												

5.



Proposal for Master of Legal Studies

April 29, 2014

1. Program Description and Purpose

a. What is the program and why should we offer it? Include the program's major goals (both primary and secondary, if any).

The proposed M.L.S. is a post-baccalaureate degree program for individuals whose career choices or current professions would be enhanced with an understanding of the U.S. legal system and process.

The major goals of the M.L.S. are (1) to enable professionals and recent college graduates, principally New Mexico residents, to enhance their skills by taking law courses dealing with legal and regulatory issues affecting their specialties, and (2) to enhance the Law School's Juris Doctor (J.D.) degree program by providing law students the opportunity to interact with M.L.S. students working in a variety of fields that our J.D. graduates are likely to encounter in their work as lawyers, judges, legislators, public officeholders, in-house counsel, entrepreneurs, CEO's, etc.

The M.L.S. degree will provide a condensed education about law and the legal process, enabling its graduates to:

- Understand cases decided by courts, statutes passed by legislatures, and regulations issued by administrative agencies
- Learn how the legal system addresses a particular situation
- Learn legal principles applicable to their particular areas of interest
- Gain a better understanding of how the law works and how to use it

The M.L.S. degree will require 30 hours of course credit. Full-time students can complete the degree in two semesters; part-time students in up to 8 semesters. Students begin their studies in the fall by completing an introductory course.

The Law School has the curriculum in place to support the M.L.S. Law students may concentrate in Natural Resources and Environmental Law or Indian Law, and M.L.S. students may do the same. Besides these two concentration areas, M.L.S. students, with prior approval, may plan their own areas of focus. These areas include, for example, Constitutional Law & Rights, Criminal Justice, Commercial and Consumer Law, and Business Practice. After the

first five years, the Law School may offer additional defined concentration areas, depending on demand and resources.

Approximately 5 full-time students (30 credits per year) and 9 part-time students (average of 10 credits per year) will be enrolled in the M.L.S. at any given time (or approximately 8 full-time-equivalent students). So as not to unduly burden faculty, the general rule will be that no more than 3 M.L.S. students may enroll in courses outside the two concentration areas. If more than 3 M.L.S. students want to register for such a course and the instructor makes no exception, then the students will be chosen by lottery.

b. How does the program fit within the unit's future plans?

Since it was founded in 1947, the School of Law has offered only the three-year J.D. degree (formerly called the LL.B. degree). Over time it added four-year J.D./masters degree programs in business administration, Latin American studies, and public administration. The Law School presently allows a student to design a combined masters or doctorate degree and law degree. The student must satisfy the admissions and academic requirements of both the law school and the graduate school.

The Law School has developed a national reputation for excellence as demonstrated by the following distinctions: fourth highest-rated law school (Above the Law 2014), fifth most popular law school (ABA Journal 2013), seventh best law school for Hispanics (Hispanic Business 2013), and eighth best public law school (Above the Law 2013).¹ In addition, the Law School's clinical program is recognized as a model for other law schools to emulate.² The Law School has achieved national prominence due to the very high quality of its instruction, which is facilitated in significant part by its 9:1 student-faculty ratio.

Although the Law School has produced many outstanding New Mexico leaders in the public and private sectors for decades, its small size (approximately 340 J.D. students) make legal education a scarce public resource that is needed by a greater portion of the state's workforce than is possible to serve solely through the J.D. degree. Just increasing the size of the Law School is not the answer. Some individuals do not have the desire, time, or resources to give three or four years to the study of law, but they understand that some education in law will allow them to become more attractive to employers and to make greater contributions in their chosen professions and society at large. Therefore, the Law School wants to offer the M.L.S. to make legal education more broadly accessible to the segment of the workforce that can devote limited time to an abbreviated legal education pertinent to their professions. Some examples of that segment of the workforce include accountants; arbitrators; architects; business administrators; civil engineers; construction engineers and managers; educational leaders; environmental scientists; journalists; mediators; legal/regulatory compliance officers; oil and

¹ <http://lawschool.unm.edu/about/index.php>.

² US News & World Report recently ranked UNM's clinical law program ninth in the country.

gas administrators; non-lawyer state magistrate judges and tribal judges; managers of tribal enterprises, public administrators working in local, state, tribal, and federal government; public health administrators; and water resources engineers. Moreover, the presence of working professionals from other careers and disciplines will enrich the experience of J.D. students by providing practical, applied insight into similar and different approaches to solving cross-disciplinary and interdisciplinary problems.

Many law schools throughout the country have recognized this need for legal education. Those schools offer degrees with different titles that are similar to the proposed M.L.S. degree; e.g. the Juris Master, Master of Jurisprudence, Master of Legal Studies, Master in the Studies of Law, or Master of Science in Legal Studies. Regardless of the title, these masters degree programs offered at law schools throughout the U.S. provide non-lawyers with career-relevant knowledge of the law in a short period of time. See **Attachment A**, “National List of Masters Programs.”

As host of the state's only law school, UNM is the sole institution that can broaden access to legal education to better meet the needs and demands of the New Mexico market. The delivery of legal education to non-law students, moreover, is consistent with its mission, which is to

educate and train students to become excellent lawyers who will enrich and serve local, state, tribal, national and international communities after graduation. We seek to maintain our long tradition of opening access to the profession. We also seek to make legal education more broadly available by educating practicing attorneys *and non-lawyers* in New Mexico. We endeavor to focus our resources on some of New Mexico's most pressing legal needs through educational, research and service programs of national and international prominence.
(Emphasis added)

Accordingly, the M.L.S. program fits within the Law School's mission because it will offer a degree program for students who will not become lawyers, but instead will apply their legal knowledge in a spectrum of disciplines. These M.L.S. graduates will be better qualified to enter or remain in various workforce positions.

c. How does the program fit within the UNM mission and strategic plan?

UNM's mission is to serve as New Mexico's flagship institution of higher learning through demonstrated and growing excellence in teaching, research, patient care, and community service.³ The M.L.S. further implements UNM's mission by offering the excellent teaching at the state's only law school to a new, unserved category of students.

³ http://www.unm.edu/president/documents/Strategic_Framework.pdf

UNM President Robert Frank's pertinent objectives from the UNM 2020 Strategic Plan⁴ that was approved unanimously by the Board of Regents on May 14, 2013 are to:

- *Create structures and processes that allow for student degree customization:* The M.L.S. degree is designed to allow for degree customization as explained above.
- *Create and value opportunities for all members of UNM (students, faculty, staff, leadership) to serve local, state, regional, national, and global communities:* The M.L.S. degree creates an opportunity for UNM students, faculty, and staff to enhance their job skills in order to better serve surrounding and far-flung communities.
- *Take a leadership role in the cultural, social, and educational revitalization of the community:* The M.L.S. degree will strengthen workforce skills that will help to revitalize the community through its graduates' improved job performance.
- *Build the workforce of New Mexico by providing a premier and innovative education:* The M.L.S. degree will provide a premier education from a nationally prominent and highly respected public law school.
- *Develop a culture of entrepreneurship and innovation among students and faculty with the aim of fostering economic development in New Mexico:* The M.L.S. degree will help to develop a culture of entrepreneurship and innovation among students and faculty that will foster economic development because it will create new cross-disciplinary and interdisciplinary networks among lawyers and professionals in other disciplines.

UNM President Robert Frank has stated that "the entire University is responsible for the UNM 2020 plan implementation and it [will] roll up to the President through his direct reports and through their direct reports and so on."⁵ Accordingly, Dean David Herring launched the initiative to establish the M.L.S. degree in order to fulfill the law school's responsibility to meet the state's workforce needs by implementing UNM 2020.

d. How does the program fit with related offerings at UNM?

Because the M.L.S. program is open to recent college graduates and working professionals from all disciplines, it fits well with dozens of degree offerings at UNM. Accordingly, legal knowledge imparted by the M.L.S. program likely would enhance the job skills of graduates of the following UNM programs, among others:

<u>Bachelor's Degrees</u>	<u>Masters Degrees</u>	<u>Doctoral Degrees</u>
Architecture	Accounting	Economics
Business Administration	Architecture	Educational Leadership
Civil Engineering	Business Administration	Family Studies
Construction Engineering	Communication	History

⁴ UNM 2020 Strategic Plan, Tactical Planning Recap, <http://regents.unm.edu/meetings/documents/2013/unm-goals-objectives-v9-130530-1-tactics.pdf> (last visited June 14, 2013).

⁵ UNM Board of Regents, Minutes of May 14, 2013 Meeting at 2, <http://regents.unm.edu/meetings/minutes/2013/bor-minutes-2013-05-14.pdf>.

Construction Management Criminology Economics Emergency Medical Services Environment, Planning & Design Environmental Science Family Studies History Interdisciplinary Liberal Arts Journalism & Mass Communication Mechanical Engineering Native American Studies Philosophy Political Science	Community & Regional Planning Construction Management Economics Educational Leadership Family Studies Health Administration History Mechanical Engineering Nursing Pharmaceutical Sciences Philosophy Political Science Public Administration Public Health Water Resources	Medicine Nursing Practice Pharmacy Philosophy Political Science ⁶
--	--	--

e. Assuming timely approval, what is the program development and implementation timeline?

In the first year after the degree is approved, the Law School will recruit and admit the first class of M.L.S. students. In the second year, the law school will welcome the first class of M.L.S. students to the fall semester.

f. Describe the curriculum (including coursework and other degree requirements). Discuss any new courses and the impact of the curriculum on existing courses, including courses in other departments. (Draft catalog copy will be required for full proposal.)

M.L.S. students must complete at least 30 credit hours, primarily from courses offered to second- and third-year J.D. students. All M.L.S. students must take the 4-credit-hour LAW 560 “Introduction to U.S. Law, Procedure and Legal Education” (described below) during the fall semester.

The remaining 26 credit hours will depend on a student’s area of concentration or course preference. A faculty advisor in each area of concentration or focus will help plan the students’ curriculum. Students will choose electives in consultation with and as approved by their advisor. The law faculty already has developed required and elective courses for the two initial areas of concentration. The courses now available for M.L.S. students wishing to concentrate in Indian Law or Natural Resources and Environmental Law are as follows:

⁶ <http://catalog.unm.edu/catalogs/2013-2014/degrees-offered.html>

Indian Law

Required Courses:

- LAW 584 Indian Law – 3 hours
- LAW 628 Law of Indigenous Peoples (writing seminar) – 3 hours

Elective Courses:

- LAW 593 Tribal Natural Cultural Resources Law (writing seminar) – 3 hours
- LAW 593 State-Tribal Relations – 3 hours
- LAW 593 Indian Civil Rights ((writing seminar)) – 3 hours
- LAW 593 Criminal Law in Indian Country – 3 hours
- LAW 593 International Law & Indigenous Peoples (writing seminar) – 3 hours
- LAW 593 International Advocacy for Indigenous Peoples (writing seminar) – 3 hours
- LAW 593 Pueblo Indian Law - 3 hours
- LAW 579 Tribal Courts – 3 hours

Natural Resources and Environmental Law

Required Courses – at least two of the following three:

- LAW 614 Administrative Law – 3 hours
- LAW 580 Environmental Law – 3 hours
- LAW 565 Natural Resources – 3 hours

Elective Courses:

- LAW 593 Introduction to Natural Resources and Environmental Law – 2 hours (offered every year)
- LAW 544 Oil & Gas Law – 3 hours (offered every year)
- LAW 547 Water Law – 3 hours (offered every year)
- LAW 593 Advanced Oil & Gas Law – 3 hours
- LAW 670 Animal Law – 3 hours
- LAW 593 Environmental Litigation (drafting course) – 2 hours
- LAW 593 Federal Law of Water Resources – 3 hours
- LAW 554 Indian Water Law – 3 hours
- LAW 578 Natural Resources: Indian Country – 3 hours
- LAW 593 Wildlife Law (drafting course) – 2 hours

New Course

The M.L.S. degree will require one new course LAW 560 “Introduction to U.S. Law, Procedure and Legal Education.” All students must successfully complete this course during the first semester of the program. The course will be taught by law school faculty.

The reason for the new course is that first-year J.D. students learn the legal method, the common law tradition, case and statutory analysis, legal procedure, and the basic principles of the core areas of law: contracts, torts, criminal law, constitutional law, and civil procedure. This training prepares J.D. students for upper division courses where it is assumed that everyone already knows how to “think like a lawyer.” Because M.L.S. students will not have had that same exposure, “Introduction to U.S. Law, Procedure and Legal Education” will provide M.L.S. students sufficient background in the U.S. legal system and the study of law to prepare them for upper-division law courses. There are several text books currently available just for this purpose, which are directed principally to non-law students studying law.

Impact of Curriculum on Existing Courses and Courses in Other Departments

The M.L.S. curriculum will have a minor impact on existing second- and third-year courses through the addition of up to 3 non-law students in some courses. There will be no impact on first-year courses because M.L.S. students generally will not enroll in them. M.L.S. students must have the permission of the dean and the specific faculty member before enrolling in a first-year course.

With respect to courses in other departments, the M.L.S. curriculum should have little or no impact because the small number of M.L.S. students (approximately 8 full-time-equivalents) likely will translate into an occasional M.L.S. student enrolling in, *e.g.*, MGMT 508 “Ethical, Political, Social and Legal Environment of Business” or another pertinent course at the Anderson School of Management or at another UNM college or school.

g. [Prior to submission to the NMHED and NMGDC, include a brief statement regarding institution’s priority and refer to documentation provided by Provost’s Office (see section 9).]

2. Justification for the Program

a. Evidence of Need

The basic premise of the M.L.S. degree is that many current workforce positions can be performed more effectively and with more positive outcomes with the benefit of legal education and knowledge because judicial decisions, legislation, and administrative regulation are increasingly intertwined with the substance of many specialized areas. Accordingly, the M.L.S. degree will serve the sub-set of working non-lawyers and recent college graduates who need or want intensive, short-term training in the law but do not need or want a J.D. degree.

In addition to upgrading the skills of non-lawyer professionals working in New Mexico, M.L.S. students will enrich the education of J.D. students because greater cross-disciplinary and interdisciplinary understanding will have a positive impact on new lawyers when they enter the legal profession or other fields.

1. Provide evidence of student demand.

Little data exist locally, regionally, or nationally demonstrating the demand for M.L.S. degree holders. A master's degree in law for non-law students is a new concept; most of the 36 degree programs offered nationally have been in existence for only a few years. The forecast, however, is that the M.L.S. degree will be successful as evidenced by the growth in these programs. Law schools are implementing these new programs to serve non-lawyer professionals who must understand the judicial, legislative, regulatory, and policy-making process to perform their jobs effectively. Indeed, the budgets of government agencies and private enterprise would benefit by employing M.L.S. degree holders to reduce legal and regulatory compliance costs and avoid legal land mines. See **Attachment A**, "National List of Masters Programs."

Attachment B, "New Mexico Department of Workforce Solutions Statistics," lists dozens of potentially pertinent job titles in New Mexico. For those job titles with a relatively high number of positions in New Mexico as of 2011, the statistics tend to suggest that there is a large pool of potential applicants relative to the 8 full-time-equivalent positions in the M.L.S. program that the law school seeks to fill annually. Note these job positions in particular: accountants and auditors (5723 positions in New Mexico in 2011), business operations specialists (5009), construction managers (3558), environmental compliance inspectors (1172), child, family, and school social workers (1878), registered nurses (16,893), reporters and correspondents (376), mechanical engineers (821), education administrators (380), post-secondary education administrators (469), elementary and secondary education administrators (1538), regulatory affairs specialists (1172), regulatory affairs managers (7000), non-lawyer state magistrate judges and tribal judges (325), government property inspectors and investigators (1172), and hydrologists (167).

The U.S. Census Bureau's 2006-2010 American Community Survey reveals that among American Indians and Alaska Natives in New Mexico, 3,118 males and 4,848 females had Bachelor's degrees, for a total estimated in-state M.L.S. applicant pool of 7,966 as of 2010.⁷ Moreover, data from the National Center for Education Statistics indicates that total fall enrollment of American Indian or Alaska Native students in post-secondary education in New Mexico in 2009-10 and 2010-11 was 13,184.⁸ Thus, there is a relatively large and growing applicant pool for the M.L.S. program among the sector of the New Mexico population that is likely to be particularly interested in the Indian Law concentration.

⁷ <http://factfinder2.census.gov/faces/tableservices/jsf/pages/productview.xhtml?src=bkmk>.

⁸ <https://nces.ed.gov/programs/stateprofiles/sresult.asp?mode=full&displaycat=4&sl=35>.

2. Provide evidence of demand for program graduates.

i. (For full proposal, an in-depth needs assessment is required. Department of Labor statistics or surveys of likely employers are potential mechanisms for this.)

The NMDWS statistics in **Attachment B** tend to suggest that for those job titles with a relatively high average number of annual openings, the M.L.S. degree will be a valuable credential that will significantly enhance an applicant's qualifications and potential value to likely employers. For those job titles with a relatively low average number of annual openings, alumni of the M.L.S. program will have a competitive advantage over other applicants. Note these job positions in particular: accountants and auditors (178 average annual openings), business operations specialists (148), civil engineers (48), construction managers (42), environmental compliance inspectors (33), child, family, and school social workers (79), registered nurses (565), reporters and correspondents (13), mechanical engineers (33), education administrators (12), post-secondary education administrators (27), regulatory affairs specialist (33), regulatory affairs managers (223), non-lawyer state magistrate judges and tribal judges (10), government property inspectors and investigators (33), occupational and safety specialists (26), and hydrologists (7).

ii. (For full proposal, a discussion of the program's relationship to workforce development is also required.)

Indian Law Concentration

The attached letters of support from the New Mexico Tribal-State Judicial Consortium, the Leadership Institute at the Santa Fe Indian School, and the Pueblo of Isleta indicate that the M.L.S. degree would play a unique and very important role in the development of tribal legal systems and criminal justice systems throughout New Mexico, particularly as tribes continue to develop their legal infrastructure. Accordingly, it is expected that there will be high interest in the M.L.S. degree throughout New Mexico Indian Country.

University of South Dakota Law Professor Frank Pommersheim's 2002 essay about the Rosebud Sioux Reservation in South Dakota is directly on point. "Training is an absolute necessity in order to continue to advance the development of tribal courts."⁹ Tribal judges need training to improve their skills in handling trials with or without juries. This training is "particularly relevant for the non-law-trained members of the tribal judiciary."¹⁰ In addition, because the overwhelming bulk of most tribal court dockets consists of criminal matters, training of prosecutors and public defenders is also essential.

This is especially true when a moderate to significant number of tribal prosecutors are still not formally law-trained. It is also necessary to ensure that such training be made available to any individual--whether law-trained

⁹ Frank Pommersheim, *Looking Forward and Looking Back: The Promise and Potential of a Sioux Nation Judicial Support Center and Sioux Nation Supreme Court*, 34 Ariz. St. L.J. 269, 277 (2002).

¹⁰ Id.

or not--who does criminal defense work in the tribal court system in those tribes that do not have public defender systems.¹¹

Interest in the M.L.S. degree among tribal communities in New Mexico also extends to civil court proceedings and other civil matters.

Some tribes, seeing their tribal courts burdened with more complex litigation, have chosen to adopt the Federal Rules of Civil Procedure. While this makes sense as a stop-gap measure, the rules do not provide for the subtle nuances found in local rules, which lay out timing, formatting, and other requirements for pleadings and meetings of counsel. A simple small-dollar contract dispute in tribal court need not have the discovery burdens of the Federal Civil Rules; however, wholesale adoption would apply such rules to a case. The inability of tribes to legislate their own way forward can undermine many of the advantages that litigants and their attorneys can find in tribal court.¹²

...

Each federally recognized Indian tribe may determine its membership, enact criminal and civil laws, levy taxes, control tribal property, exercise powers delegated by Congress, and adjudicate tribal disputes in its own way. Today, there are 566 federally recognized tribes, and potentially 566 sets of laws uniquely tailored to each tribe's historical and present-day situations.¹³

...

There is no question that Indian tribes have the power to regulate the conduct of business on their reservations, including adjudicatory jurisdiction over those businesses in the event a tribal law is violated, to protect and preserve the political integrity of the tribe, and the health and welfare of tribal members. This regulation of conduct is a necessary tool of tribal self-government that has been recognized by Congress.¹⁴

With new sources of income from gaming and other enterprises,

Tribes have developed and improved their organizational and physical infrastructures and the tribal services they provide. Among many other things, they have: drafted or revise tribal codes; taken control of (and often supplemented or improved) Indian Health Services and Department of Health and Human Services programs previously administered by the federal government; created or improved tribal court systems and law

¹¹ Id. at 278.

¹² Rob Roy Smith, *Understanding and Respecting the Process of Trying Cases in Tribal Courts: What Attorneys Need to Know*, in NAVIGATING TRIBAL LAW: LEADING LAWYERS ON UNDERSTANDING THE UNIQUE PROCEDURES, INTRICACIES, AND CHALLENGES INVOLVED WITH TRIBAL CASES, at 4 (2013).

¹³ Id. at 1.

¹⁴ Id. at 6.

enforcement agencies; established or refined policies governing their growing numbers of employees; lobbied federal and state governments to further their interests; and hired legal counsel to protect and advance their sovereignty both in their business operations and in the courtroom. Even Tribes who have experienced less economic growth have benefited to some extent from other Tribes' successes in litigation and lobbying, as favorable laws and legal precedents are often applied to Tribes in general.¹⁵

A growing number of tribes are building sustainable economies that reflect their own priorities. To do so, they approach economic development and growth by backing up their jurisdiction with capable governance.

This means building a legal/political infrastructure of appropriate laws and policies that keep politics in its place and encourage both citizens and outsiders to invest time and energy in the [tribal] nation's future. This in turn means appropriate separations of powers; clear roles for legislatures, the tribal executive, and managers; commercial codes; and a dispute resolution mechanism, such as an independent tribal court, that can capably address business issues and make decisions free of political interference. . . . This nation-building approach to economic development consists of six key steps: claim jurisdiction (decision-making power), build capable governing institutions, identify strategic priorities/concerns, craft development policies, choose projects, and implement them. . . . Evidence from across Indian Country indicates that this approach dramatically increases the chances of creating sustainable Indigenous economies, even where development assets may be limited. Tribes with few resources that take these steps tend to do better than tribes with ample resources who ignore the principles captured here.¹⁶

The rapid development in New Mexico of tribal casinos and off-shoot enterprises such as hotels, performance venues, golf courses, gas station-convenience stores, and other on-reservation businesses since the 1990's has dramatically expanded the size and breadth of the workforce employed by tribal communities in this state. As suggested by the legal scholarship and policy paper cited above, the increased complexity of managing and operating tribal governments and enterprises suggests that the M.L.S. degree will help to meet the growing need to develop a workforce with some training in tribal, state, and federal law and the legal system. A tribal council that includes one or more members with an M.L.S. degree will be better prepared to exercise its legislative powers by drafting and enacting ordinances and regulations that reflect tribal values and culture plus the daily cross-jurisdictional interactions that typify contemporary tribal government. Likewise, a casino or hotel manager with an M.L.S. degree will be better prepared for the thousands of

¹⁵ S. Chloe Thompson, *Exercising and Protecting Tribal Sovereignty in Day-to-Day Business Operations: What the Key Players Need to Know*, 49 Washburn L.J. 661, 673 (2010).

¹⁶ Stephen Cornell and Miriam Jorgensen, *The Nature and Components of Economic Development in Indian Country*, Nat'l Cong. of Am. Indians Pol'y Res. Center (2007), at 10-13, available at http://nni.arizona.edu/resources/inpp/2007_CornellJorgensen.pdf.

commercial transactions with non-Indians that occur on a weekly basis, and for the tribal court cases that occasionally stem from these interactions.

Natural Resources and Environmental Law Concentration

Natural Resources and Environmental Law is particularly important in New Mexico, and UNM School of Law has a long and proud tradition of educating and preparing students to practice in this field. The Law School's Natural Resources and Environmental Law Program includes a longstanding professional development certificate program for graduating students who have emphasized pertinent coursework; the Utton Transboundary Resources Center, which delivers information, research and service on water law and other issues important to the state and the region; and the *Natural Resources Journal*, the oldest journal in the natural resources/environmental field published at any U.S. law school.

Natural resources law—dealing largely with matters such as oil and gas development, water allocation, and public lands management—has been important in the American West for over a century. Environmental law—focusing primarily on pollution control and protection of public health—is newer, having grown into its modern form over the past 45 years. There is much overlap between these two areas of law, which are increasingly complex because they deal with an ever greater set of challenges and demands. Both areas are highly significant in New Mexico, where they strongly influence our economy, our landscape, and the health and well-being of our citizens.

Professionals of many kinds may wish to pursue an M.L.S. with a concentration in Natural Resources and Environmental Law. For example, the M.L.S. may benefit personnel working in federal, tribal, state, and local government, and in private-sector fields ranging from real estate, to energy production, to banking, to environmental consulting. The program may also attract national laboratory personnel based in New Mexico. This concentration may be an attractive and feasible option for graduate students concurrently enrolled in other graduate programs at UNM. (See the attached letters of support.)

Several other law schools offer similar degree programs, such as the University of Arizona (Master's in Legal Studies with a Certificate in Environmental Law and Policy), University of Denver (Master of Resources Law Studies), and the University of Oklahoma (Master of Legal Studies in Energy and Natural Resources Law). Denver's program, which started in the late 1990s, draws around 20 to 25 students per year. This information shows that specialized Master's degrees in this field of law are catching on, and suggests that such a program can succeed at UNM. None of these existing programs, however, is likely to meet the needs of New Mexico professionals who might seek a Master's from UNM (especially on a part-time basis). Not only are these other law schools 400+ miles away from New Mexico's population centers, but their tuition would be far more expensive than UNM's for in-state residents.

A concentration in Natural Resources and Environmental Law would be especially relevant for professionals in New Mexico. Because UNM law students are primarily New Mexico residents who remain in the state to practice, our courses are generally geared toward the

law and issues most relevant to New Mexico. In addition, the pertinent UNM law faculty has extensive experience in some of the matters most relevant to the state, including oil and gas development, natural resource issues in Indian Country, and water allocation and management.

3. Include any other information as appropriate that will support evidence of need for the university, state, or region.

Broader access to legal education is necessary within UNM and throughout New Mexico and the United States. According to UNM Interim Senior Vice Provost and Law Professor Carol Parker:

The need to navigate and understand the U.S. legal system has grown sharply with the rise of the regulatory state over the past 50 years. Today it is increasingly important for workers in the knowledge economy to understand how laws and the regulatory environment can affect goals and strategies in their fields. Law faculty should collaborate more closely with colleagues in other colleges and departments to offer an array of degree programs to meet this growing need. Such programs, intended to provide access to legal knowledge rather than to produce more lawyers, would marry that knowledge to the subject matter of myriad other disciplines.

Virtually every sector of today's economy would benefit from employing workers with more than superficial knowledge of the law.¹⁷

...

Including legal studies with the arts, sciences, and other professional programs would enhance development of critical reasoning and analysis skills, the ability to make connections across courses and subjects, and the ability to employ different intellectual perspectives. . . . Interdisciplinary programs would also set the stage for pipeline-to-graduate-degree programs based on baccalaureate studies, such as three-plus-two or four-plus-one programs. . . . Creating exciting programs that combine legal information with the arts, sciences, and other professional programs will help reframe what legal education means.¹⁸

Professor Parker's timely commentary in *The Chronicle of Higher Education* suggests that now is the time for UNM School of Law to answer the call for broader access to legal education in the context of related university-wide initiatives such as the Innovation Academy at Innovate ABQ. "To succeed today, colleges and universities must ensure students maximize higher education opportunities and acquire the skills needed to become creative problem solvers who can think critically and collaboratively, work hard, and be life-

¹⁷ Carol A. Parker, *Legal Education for All (or More Than Just Lawyers)*, *The Chronicle of Higher Education* (September 9, 2013).

¹⁸ *Id.*

long learners and information seekers.”¹⁹ The Innovation Academy will foster creativity, innovation, and interdisciplinary collaboration in all academic pursuits. It will be the locus of interdisciplinary research and teaching around applied problem-solving. It will use real-world, community engagement techniques to ensure that students are capable of solving problems and identifying which questions to ask.²⁰

UNM’s competitive advantages that support the creation of the Innovation Academy [are its] [u]nique ability to pull together innovators from STEM, Energy-Environmental Sciences, Creative Arts, Design, Social Sciences, Humanities, Business, Law, and Medicine in a demographically, socially, and geographically diverse university, city and state to work on solutions for problems that will challenge us in the future; the theoretical application of which can lead to students acquiring cultural consciousness and the ability to problem solve, think critically, and persist.²¹

In sum, this M.L.S. proposal is well timed and well conceived to dovetail readily with the aims of the Innovation Academy at Innovate ABQ. Indeed, this proposal is an expression of the Law School’s concrete efforts and firm commitment to expand its collaboration with its sister schools and colleges on this very important initiative, which has the potential to benefit New Mexico’s workforce and economy for generations.

b. Duplication

1. Identify, if any, similar programs offered at New Mexico public or private institutions of higher learning. Also identify comparable programs in other states through which New Mexico students have access via the WICHE professional student exchange or WICHE regional graduate program.

UNM hosts the state’s only law school; therefore, there are no similar degree programs for non-lawyer graduates or professionals at any institution of higher education in New Mexico.

Attachment C, “Western Interstate Commission for Higher Education,” indicates that there are no comparable programs in other states through which New Mexico students have access via the WICHE professional student exchange or regional graduate program. Even if such programs existed, WICHE duplication would be inapplicable to the proposed M.L.S. program given the principal reasons for establishing this new degree. The first reason is to enable New Mexico professionals and recent college graduates working at full- or part-time local employment to enhance their job skills by taking law courses dealing with legal/regulatory issues affecting their specialties. The second reason is to enhance the law school’s J.D. degree program by providing law students the opportunity to interact with M.L.S. students working in a variety of fields that UNM J.D. graduates are likely to

¹⁹ Carol A. Parker, *Concept Paper: The Innovation Academy at Innovate ABQ*, at 2-3 (February 27, 2014).

²⁰ *Id.* at 5-6.

²¹ *Id.* at 7.

encounter in their work as lawyers, judges, legislators, public officeholders, in-house counsel, entrepreneurs, CEO's, etc. For example, public administrators, environmental engineers, and regulatory compliance officers will enhance the J.D. students' education by contributing their practical, work-derived knowledge and experience to classroom discussions. Neither of these objectives will be achieved by sending would-be M.L.S. students to similar programs at WICHE *or* non-WICHE U.S. law schools.

2. If similar programs are offered within the state, describe how the proposed program will meet needs that are currently not met by existing programs already being offered (e.g., programmatic considerations, geographic needs, economic development factors, student demand, etc.).

Not applicable.

3. (For full proposal, data must be provided for each program within the state for three consecutive years of number of students admitted, number of degrees awarded, and current capacity to support justification of need for proposed program.)

Not applicable.

4. (For full proposal, attach statements from representatives of existing programs in the state or from WICHE institutions regarding their position on the proposed program, if available.)

Not applicable. See answer to (b)(1) above, which is incorporated herein by reference.

3. Inter-institutional Collaboration and Cooperation

a. If applicable, describe opportunities for collaborative relationships with other institutions for shared instruction, faculty arrangements, or student experiences. (For full proposal, outline specific details of any planned collaborative relationships with other institutions and provide supporting documentation if available. Address the governance structure for the collaboration in Section 9.)

Not applicable. There are no current plans for *inter*-institutional collaboration given the traditional, in-person classroom instruction that will be used for this program. During the initial years of the program, the Law School will investigate opportunities for collaborative relationships with other law schools that offer on-line courses in similar degree programs. However, *intra*-institutional collaboration with all UNM departments offering complementary courses will be a standard feature of the M.L.S. program. Indeed, the law school's long-standing joint-degree programs are a solid precedent of intra-institutional collaboration. Examples include the joint J.D.-M.B.A. program with the Anderson School of Management, the J.D.-M.P.A. program with the School of Public Administration, and the J.D.-Master of Arts in Latin American Studies program with the Latin American & Iberian Institute. In addition,

law students may earn a J.D. together with a Ph.D. in another academic field. The School of Law accepts up to six hours of appropriate graduate courses toward its degree requirements, and the graduate department accepts up to six hours of law courses toward its degree requirements. It is anticipated that similar arrangements would enable multiple departments to benefit from the presence of M.L.S. students in their classrooms.

4. Clientele and Projected Enrollments

a. Clientele

Applicants will be admitted to the M.L.S. program based on their ability to thrive in a demanding, competitive academic atmosphere, as evidenced by their academic record and professional accomplishments. The program will accept applications from individuals with baccalaureate degrees. Applications will be accepted on a rolling basis until 8 full-time-equivalent M.L.S. students have accepted offers of admission. A faculty M.L.S. admissions committee that is separate from the J.D. admissions committee will review applications and make admissions decisions.

1. Describe the population of students that will be recruited for the program.

Mid-career professionals, graduate students in other fields, recent recipients of bachelor's degrees, and undergraduate juniors and seniors will be recruited.

2. Describe the admission requirements for the program.

- Bachelor's degree from an accredited institution of higher education in the U.S. or foreign equivalent.
- Official transcript
- Application for admission
- Personal statement articulating reasons for needing or wanting an M.L.S. degree
- One letter of recommendation documenting the applicant's ability to successfully complete the M.L.S. program

Neither the LSAT nor the GRE is required. A law school M.L.S. admissions committee will review the applications and make admissions decisions.

3. Indicate how you plan to recruit students. (For full proposal, demonstrate how recruitment plan addresses underrepresented student populations within the state and contributes to preparing a diverse workforce.)

New Mexico-based applicants will be recruited by mail, email, the program website, and personal contact. The initial marketing and communications roll-out will focus on no-cost and low-cost marketing tools. The four target markets include (1) persons likely to be

interested in the Indian law or Natural Resources and Environmental Law concentrations who are working for tribal governments and enterprises, or for government agencies and nonprofit organizations focusing on natural resources/environmental issues, (2) junior and senior undergraduate students and recent baccalaureate graduates from UNM, the state, and the region, (3) working professionals within a commuting radius, and (4) working professionals at large employers and throughout the state, with special focus on highly regulated industries and employers that may pay their personnel's tuition.

The recruitment plan will address underrepresented student populations within New Mexico and will contribute to preparing a diverse workforce in the same way as the law school's exemplary, highly successful recruitment plan for J.D. students has done so for decades. Indeed, the Law School seeks an M.L.S. student body that reflects the demographics of the law school's J.D. student body, which is the tenth most diverse in the country.²² Since the 1960's UNM has trained more American Indian attorneys than any other law school, plus hundreds of minority attorneys. UNM School of Law's steadfast efforts in this regard have dramatically diversified its student body and faculty, the State Bar of New Mexico, and the ranks of New Mexico's private and public sector leadership. Between 1994 and 1999 the total enrollment of ethnic/racial minority students at UNM School of Law was 41%, compared to a national average of 20%. Between 2000 and 2008 those statistics were 38% and 21%, respectively.²³ From 2000 through 2008 the Law School had a higher average enrollment of female students (56%) than the national average of American Bar Association-accredited schools (48%).²⁴ More recent statistics demonstrate the Law School's continued emphasis on diversity.²⁵

J.D. Enrollment and Ethnicity at UNM School of Law

	2009	2010	2011	2012	2013
Minorities	44.7%	42.7%	41.6%	42.5%	46.4%
White/Caucasian	45%	48.4%	48.5%	50%	44.9%
Unknown	10.3%	8.5%	9.6%	6.9%	8.7%

J.D. Enrollment and Gender at UNM School of Law

	2009	2010	2011	2012	2013
Female	54.1%	53%	47.4%	47.4%	49.9%
Male	45.9%	47%	52.6%	52.6%	50.1%

²² <http://lawschool.unm.edu/about/index.php>.

²³ State Bar of New Mexico, Committee on Diversity in the Legal Profession, *Report on the Status of Minority Attorneys in New Mexico, 1999-2009*, at 46 ("Report"), available at <http://www.nmbar.org/Attorneys/lawpubs/MinoritiesintheProfessionReportUpdate2009.pdf>.

²⁴ Id. at 47.

²⁵ <http://www.lsac.org/lasacresources/publications/official-guide-archives>;
https://officialguide.lsac.org/Release/SchoolsABADData/SchoolPage/SchoolPage_Info/ABA_LawSchoolData.aspx?sid=97; <http://lawschool.unm.edu/about/2013Std509InfoReport.pdf>.

b. Projected Enrollment

1. Provide a five-year projection of enrollments. (For full proposal, detailed tables of enrollment projections are required and should include new student headcount, returning student headcount, the number of students expected to enroll full-time and part-time, and the total number of student credit hours expected to be generated for the first five years. A description of the methodology used to arrive at these projections should also be provided.)

See **Attachment D**, “Six-Year Enrollment Projection.” Enrollment projections for a new degree program are extremely difficult because there is no local experience from which to draw. Based on the response of outside observers to the proposed M.L.S. degree, the law school anticipates that it will receive many more applicants than the number of students it intends to enroll. The law school therefore projected enrollment on the number of full-time and part-time students it intends to admit each year. The underlying assumption is that part-time students will outnumber full-time students.

During the second through fourth year of the degree, the enrollment will increase incrementally so that by the fourth year and thereafter, 5 full-time and 9 part-time students will be enrolled. The credit hours in Attachment D were determined by multiplying the number of full-time students by 30 credit hours per year and the number of part-time students by an estimated average of 10 credit hours per year. By the fourth year 5 full-time students will enroll in a total of 150 credit hours (5x30 credit hours) and 9 part-time students will enroll in 90 credit hours (9x10 credit hours), which comprise 3 full-time-equivalents at 30 credit hours per year.

5. Institutional Readiness for the Program

a. How many faculty are necessary for program delivery?

See answer to next question.

b. How will this program affect the workload of current faculty and support staff?

Existing faculty and support staff will be sufficient to deliver the program. Only one new course will be offered, which will be taught by a current law faculty member. Therefore, the M.L.S. program will have minimal impact on the workload of current faculty and support staff.

c. Will additional faculty or staff be required? What is the cost?

No. It is likely that a .50 full-time-equivalent program administrator will be needed eventually, but existing faculty and staff will implement the M.L.S. during the initial years. As indicated in **Attachment E**, “Projected Program Cost Estimates and Resources,” a percentage of existing faculty and staff compensation will be paid from program revenue to personnel who are partially re-assigned to this program.

d. Will any GA/TA positions be used to assist graduate faculty in the program?

Second- and third-year J.D. students will be hired as tutors to help M.L.S. students with course material, writing assignments, and exam preparation (J.D. and M.L.S. students will take the same examinations in all courses except the introductory course). Tutors also may offer recommendations on course selection and other school-related issues, participate in social events with the M.L.S. students, and attend weekly small-group meetings to get acquainted and consult about questions or problems. These interactions will also enrich the tutors' legal education by informally training them to communicate clearly and effectively, *i.e.*, not in "legalese," about legal concepts, which is an essential skill for J.D. graduates in their interactions with clients and non-lawyers generally.

e. What faculty and staff development services will be needed?

Faculty will need little, or no, development services. Admissions and career services staff will need to be trained how to promote the M.L.S. to applicants and employers.

f. What technology, media, equipment and instructional supplies are needed to support the program's intended outcomes? Are these resources available? What is the estimated cost?

None. Available law school resources will suffice; thus there will be no additional cost.

g. Are there any needs for additional or renovated space?

No.

h. What, if any, existing external facilities will be used? (For full proposal, discuss any agreements that have been or will be entered into for use of the facility.)

None.

6. Projected Cost of the Program

a. Provide a five-year projection of program costs including the new costs for program start up and recurring costs to sustain the program including any new costs identified in Sections 5 or 7.

See **Attachment E**, "Projected Program Cost Estimates and Resources." Year 1 expenses will be covered by the Dean's fund, so no loss will be generated. The program is projected to produce net revenue from tuition and fees starting in year 2, increasing in years 3 and 4 as additional credits are generated, and leveling off in year 5. In sum, the program is projected to rapidly become self-supporting.

b. If applicable, describe anticipated sources of new revenue required for the program.

See prior answer.

c. Provide a rationale for any course fees or other expenses (in addition to tuition) that students will be expected to cover.

M.L.S. students will pay the same fees as J.D. students.

d. (For full proposal, a detailed five-year program budget is required outlining programs costs and anticipated state support based on the state funding formula and other areas of support.)

See **Attachment E**, "Projected Program Cost Estimates and Resources."

7. Quality of the Program

a. Describe the qualifications of the faculty and how the expertise and experience will contribute to the quality of the program.

The UNM law faculty has been educated at the nation's most distinguished law schools and has practiced and taught law throughout the country, bringing a healthy diversity of academic backgrounds, professional interests, experience, and outlooks on legal education and law practice.

As the only law school in New Mexico, the Law School has a close relationship with members of the State Bar. This relationship is reflected by the distinguished judges and lawyers who enrich the curriculum by serving as adjunct faculty. Some of these adjuncts will teach some courses taken by M.L.S. students.

The law faculty has a broad range of expertise that will contribute significantly to the knowledge and professional lives of M.L.S. students, and is well prepared to offer the existing courses described in this proposal because they have taught all of them for years.

By design, the school has remained small, with a 9:1 student-faculty ratio for 340 J.D. students. This ratio will not be significantly affected by adding 8 full-time-equivalent M.L.S. students, and will continue to allow for a flexibility that has produced a highly-ranked, progressive, and innovative curriculum.

The faculty has embraced a legal reform movement spurred by the ground-breaking book, Best Practices in Legal Education. The school also is one of 10 law schools nationwide charged with examining ways to improve legal education as part of a project funded by the Carnegie Foundation.

b. Show how the proposed admission standards (identified in Section 1) compare with those of other institutions offering a similar program.

Admissions standards for the new M.L.S. degree will be similar to those of most other law schools and will be applied to assure quality, diversity, and accessibility, in keeping with the School of Law's traditions. See **Attachment A**, "National List of Masters Programs." Of the 32 law schools in **Attachment A** that offer similar masters degrees, 18 require only a bachelor's degree. Twelve schools have additional requirements such as program-pertinent work experience, enrollment in graduate school or a graduate degree, or a background in a program-pertinent academic area, such as science, technology, engineering, or math. Two schools—Indiana and Stanford--do not post admissions standards on their websites.

UNM School of Law followed the majority view and proposes to require only a bachelor's degree in order (1) to generate an optimally-sized pool of potential applicants, (2) to enhance the skills of New Mexico's workforce as broadly as possible, and (3) to promote the traditional, university-wide values of accessibility and diversity. Requiring only a bachelor's degree is especially appropriate with respect to the likely applicant pool for the Indian law concentration, considering the following statistics from the 2006-2010 American Community Survey:²⁶

**Estimates of Educational Attainment for the
American Indian and Alaska Native Population 25 Years and Over in New Mexico**

	Males	Females	Total
Bachelor's degree	3118	4848	7966
Masters degree	1276	1995	3271
Professional school degree	270	353	623
Doctorate degree	424	194	618

c. What instructional model(s) will be used in presenting the program? What types of technology will be used for delivery of instruction?

Except for the new introductory course, M.L.S. students generally will take second- and third-year law courses. The instructional model in those courses will be the traditional Law School Socratic Method. The introductory course required of all M.L.S. students will be a combination of lecture and Socratic Method. As in many Law School courses, PowerPoint and similar classroom technology will be employed.

d. What types of opportunities for experiences outside of the classroom will be available to students and utilized in the program (e.g., internships, service learning projects, research-based service learning).

Internships with, e.g., government agencies, and tribal courts will be available to M.L.S. students. As the Innovation Academy takes shape, related internships can be developed there as well.

²⁶ <http://factfinder2.census.gov/faces/tableservices/jsf/pages/productview.xhtml?src=bkmk>.

e. What student support services are likely to be needed and to what extent (CAPS, library, ITS, advising, etc.)? What is the estimated cost?

Services likely to be needed are initial orientation, academic and other advising, legal research training, and externship placements. These services will be provided primarily by the program director, the instructor of the introductory course, the faculty teaching in the two academic concentrations, and the law library faculty. M.L.S. students also will receive support from the Student Services office on matters referred by the program director from time to time. The extent of services needed is expected to be similar to that of J.D. students concerning initial adjustment. The cost of these services equates to the percentage of faculty, staff, and TA time devoted to providing them. Accordingly, these costs are already captured in the law school's existing personnel budget and in the program-specific personnel budget set forth in **Attachment E**.

f. What student support will be needed (GA & TA positions, scholarships, internships, etc.)?

With respect to TA positions, see prior answer and Section 5(d) on page 19 above. The Law School's limited grant and scholarship funding for J.D. students will not be available to M.L.S. students. Instead, when the M.L.S. program generates sufficient net revenue the Law School may offer need-based scholarships to M.L.S. students.

g. What are the expected student learning outcomes for the program? What will the students know and what will they be able to do when they complete this program?

M.L.S. students will gain understanding of the law pertinent to their interests, plus analytical, writing, and speaking skills that will enhance their ability to perform the aspects of their jobs that require knowledge of law and the legal system. M.L.S. graduates will be able to:

- Understand cases decided by courts, statutes passed by legislatures, and regulations issued by administrative agencies.
- Learn how the legal system addresses a particular situation
- Learn legal principles applicable to their particular areas of interest
- Gain a better understanding of how the law works and how to use it in their professions

h. How will the program's learning outcomes be measured? (For full proposal, also describe any final integrating experiences in the program that will be used to assure graduates have acquired the knowledge and skills expected for the degree/certificate awarded.)

Immediate learning outcomes will be measured by successful completion of course requirements, as evidenced by examination results. J.D. and M.L.S. students will take the same examinations in all courses except the introductory course, but M.L.S. exams will be blind-graded on a separate scale. After the first five years of the program, M.L.S. alumni and their employers will be surveyed to determine the value that the degree has added to the alumni's job performance.

i. [Prior to submission to the NMHED and NMGDC, the proposal should UNM is institutionally accredited by the Higher Learning Commission of the North Central Association and has approval to offer any degree program appropriate to UNM's mission.]

j. If applicable, describe any accreditation issues, including the following:

1. Will accreditation be sought for the program? If so, describe the process and expenses involved.

No, because accreditation is not required by the American Bar Association, as explained below.

2. How does the program affect any existing accreditation and licensure requirements?

Standard 308 of the American Bar Association (ABA) Standards for Approval of Law Schools provides that an ABA-approved law school may not establish a degree program other than the J.D. degree program unless the school is fully approved, and the additional degree program will not detract from a law school's ability to maintain a sound J.D. degree program. The school must obtain acquiescence prior to commencing such a program. The ABA does not formally approve any program other than the first degree in law (J.D.).

The School of Law is fully accredited by the ABA and will obtain acquiescence by completing a questionnaire on "Degree Programs in Other Than the J.D." under its periodic reporting requirements for its current accreditation.

ABA accreditation does not extend to any program supporting any degree granted by the School of Law other than the J.D. The content and requirements of any other degree, such as the proposed M.L.S. degree, are created by the law school itself and do not reflect any judgment by the ABA accrediting bodies regarding the quality of the program. Moreover, admission requirements for such programs vary from school to school, and are not evaluated through the ABA accreditation process. The ABA reviews these degree programs only to determine whether they would have an adverse impact on the law school's ability to maintain its accreditation for the J.D. program. If no adverse impact is indicated, the ABA "acquiesces" in the law school's decision to offer the non-J.D. program and degree.

8. Assessment of Operations and Impact

a. In addition to student learning outcomes, what other measures to evaluate program effectiveness are contemplated?

Such measures will include surveys of M.L.S. alumni and their employers. See section 7(h), page 22.

b. (For full proposal, a long-range plan (at least 5 years) for program assessment and evaluation must be included.)

After five years, the Law School will conduct a thorough evaluation of the M.L.S. to determine whether the program has met its goals and, if so, whether it should be continued or even expanded. If the program has not been successful, the Law School will decide whether the M.L.S. should be modified or discontinued. That evaluation will involve law faculty, students, staff, M.L.S. alumni, employers and members of the relevant communities.

9. Administrative Responsibility for the Program and Institutional Commitment

a. What is the proposed governance structure of the program? (For full proposal, a thorough discussion is especially important for interdepartmental and intercollegiate programs or when entering into collaborative agreements with other institutions.)

The M.L.S. will be managed by a Director, who will be a member of the law faculty. The Director will report to the Dean of the Law School. The Director will also report to a Law School committee, composed of law faculty, students, and relevant community members.

b. [Prior to submission to the NMHED and NMGCD, documentation outlining the institution's priority for the proposed program should be obtained from the Provost's Office to include with the proposal.]

10. Additional Information

a. Provide any additional information needed to make the case for development of a full proposal. (For full proposal, provide any additional information to support the request for the proposed degree program.)

11. Attachments full proposal only

1. Department of Labor documentation, if applicable.

See **Attachment B**, "New Mexico Department of Workforce Solutions Statistics."

2. Formal Needs Assessment.

See **Attachment B**, "New Mexico Department of Workforce Solutions Statistics."

3. List of similar programs (state and regional).

See **Attachment A**, "National List of Masters Programs."

4. List of potential employers.

Employers hiring for the following positions are likely to find an M.L.S. degree to be an attractive and relevant qualification: Accountants; arbitrators; architects; business administrators; civil engineers; construction engineers and managers; educational leaders; environmental scientists; journalists; mediators; legal/regulatory compliance officers; non-lawyer state magistrate judges and tribal judges; managers of tribal enterprises, oil and gas administrators; public administrators working in local, state, tribal, and federal government; public health administrators; and water resources engineers. This is not an exhaustive list.

5. List of advisory committee or board members, if applicable. Minutes of advisory committee or board meetings, if applicable.

Not applicable.

6. Letters of support from external partners or stakeholders.

See attached letters of support.

12. Additional Attachments for submission to NMHED and NMGDC (supplied by Provost's Office)

1. Minutes from the Board of Regents meeting, noting approval.

2. Documentation of institution's priority for the proposed program.

6.

Attachment A to UNM School of Law Master of Legal Studies Proposal: National List of Masters Programs

Law School and Degree	Admissions Requirements	Program Concentrations	Online Options
Appalachian School of Law 1) Juris Master http://www.asl.edu/Graduate-Programs/Juris-Master-Degree.html 2) Masters in Legal Studies http://www.asl.edu/Graduate-Programs/Masters-in-Legal-Studies-Curriculum.html	1) Admission to JD program 2) Bachelors	1) Starting with the class of 2014, ASL will confer the JM upon all candidates for the JD who successfully complete their 3d semester of law school in good academic standing 2) a) Standard (intent to apply to law school) b) Human Resources and Management Regulation c) Natural Resources and Energy Regulation e) Legal Studies	1) None 2) Mix of on campus and online
University of Arizona Masters of Legal Studies http://www.law.arizona.edu/MLS/	Bachelors	1) Self-Designed 2) Legal Compliance and Legal Risk Management 3) Mining Law and Policy 3) Environmental Law and Policy 4) Tax Law and Policy 5) International Trade and Business Law 6) Criminal Law and Policy 7) Family Law	None
Arizona State University Master of Legal Studies http://www.law.asu.edu/admissions/Admissions/MLSMasterofLegalStudiesProgram.aspx	Bachelors	1) Patent Practice 2) Sustainability 3) Self-Designed	None
UC Hastings Master of Studies in Law http://www.uchastings.edu/academics/grad-division/msl-program/index.php	Bachelor's plus one of the following: a graduate degree, or current enrollment in a graduate-level program in a field	1) Health and Science 2) Business and Technology 3) Self-Designed	None

Attachment A to UNM School of Law Master of Legal Studies Proposal: National List of Masters Programs

Law School and Degree	Admissions Requirements	Program Concentrations	Online Options
	other than law substantial professional experience in a field other than law AND can provide an official score report from the GRE, LSAT, GMAT or MCAT		
Catholic University Master of Legal Studies http://www.law.edu/academic/MasterProgram.cfm Starts summer 2014	Bachelors	Intellectual Property (more to be added later)	Not at this time
Chicago-Kent College of Law Master of IP Management & Markets https://www.kentlaw.iit.edu/academics/master-of-ip-management-and-markets	Bachelors	Intellectual Property	None
Cleveland Marshall Master of Legal Studies https://www.law.csuohio.edu/academics/mls	Bachelors	Administrative and Regulatory Law Business Law Civil Litigation and Dispute Resolution Constitutional Law Criminal Law Education Law Employment and Labor Law Environmental, Land Use and Real Estate Law Family Law Health Law Intellectual Property Law International and Comparative Law Jurisprudence Local Government Law	None

Attachment A to UNM School of Law Master of Legal Studies Proposal: National List of Masters Programs

Law School and Degree	Admissions Requirements	Program Concentrations	Online Options
		Personal Injury Law Tax and Estate Planning Self-Designed Concentration	
Cornell University Master of Science – Legal Studies Program http://www.lawschool.cornell.edu/admissions/degrees/master-of-science.cfm	Cornell University graduate Ph.D. students and post-doctoral fellows	Self-Designed Concentration	None
University of Dayton Masters in the Study of Law http://www.udayton.edu/law/academics/grad_program	Bachelors	1) Intellectual Property 2) Technology	None
University of Denver 1) Master of Taxation http://www.du.edu/tax/about/graduate-tax-program-at-du.html 2) Master of Resources Law Studies http://www.law.du.edu/index.php/enrgp/degrees 3) Master of Science in Legal Administration http://www.law.du.edu/index.php/msla	1) Bachelors 2) Bachelors 3) Bachelors	1) Tax 2) Environmental and Natural Resources 3) Legal Administration <ul style="list-style-type: none"> • Law Firm • Court • International Court • Advanced Standing 	1) Both online and on campus options available 2) None 3) Both online and on campus options available
Drexel University Master of Legal Studies http://www.drexel.edu/law/academics/non-JD-programs/mls/	Bachelors (intended for professionals in the fields of health care, college sports, or finance but there is no formal requirement)	1) Health Care Compliance 2) NCAA Compliance and Sports Law	May be completed entirely online or supplemented with live courses
Emory University Juris Master http://www.law.emory.edu/index.php?id=7804	Bachelors	Environmental Finance Healthcare	None

Attachment A to UNM School of Law Master of Legal Studies Proposal: National List of Masters Programs

Law School and Degree	Admissions Requirements	Program Concentrations	Online Options
		Intellectual Property International Labor and Employment Media and Marketing Nonprofit and Development Public Health Real Estate Technology and Innovation	
Indiana University (IUPUI) Master of Jurisprudence http://mckinneylaw.iu.edu/degrees/mj.html ABA acquiescence pending	No info yet	No info yet	No info yet
Loyola University (Chicago) Master of Jurisprudence http://www.luc.edu/law/degrees/	1) Bachelors plus 2yr experience. 2) Bachelors plus 2yr experience in a child/family-serving field. Preference given to applicants already employed in these respective fields, but volunteer work will also be considered. 3) Bachelors plus 3yr experience in the health care field.	1) Business and Corporate Governance Law 2) Children's Law and Policy 3) Health Law	1) entirely online 2) entirely online 3) entirely online
University of Nebraska Master of Legal Studies http://law.unl.edu/academics/degree_programs.shtml#masterls	Bachelors	None	None
University of New Hampshire	All require Bachelors	1) Intellectual Property	1) None

Attachment A to UNM School of Law Master of Legal Studies Proposal: National List of Masters Programs

Law School and Degree	Admissions Requirements	Program Concentrations	Online Options
Masters Degree http://law.unh.edu/academics/graduate-programs/llm-masters-diploma	only	2) Commerce & Technology 3) International Criminal Law & Justice (starting Summer 2014)	2) None 3) Entirely online
Northwestern Law Master of Science in Law http://www.law.northwestern.edu/academics/degree-programs/msl	Bachelors The program is designed for students with STEM backgrounds. This includes students who have formal degrees in STEM fields as well as professionals who work in STEM environments.	1) Intellectual Property and Patent Design 2) Business Law and Entrepreneurship 3) Regulatory Analysis and Strategy	Currently none. "While some of the classes will be taught in a "blended learning" format, with a substantial online component, there is not currently an all-online option. We are considering an all-online option for the future."
Notre Dame Master of Science in Patent Law http://patentlaw.nd.edu/	Bachelors plus assessment of eligibility to sit the patent bar	Patent	None
Nova Southeastern University Master of Science in Law http://nsulaw.nova.edu/online/	Education – Bachelors plus some professional experience in the education field Employment - At least 2 yr of Human Resources or Business experience is recommended, along with a Bachelor's degree in an applicable field.	1) Education Law 2) Employment Law 3) Health Law	Entirely online

Attachment A to UNM School of Law Master of Legal Studies Proposal: National List of Masters Programs

Law School and Degree	Admissions Requirements	Program Concentrations	Online Options
	Health - Relevant professional experience is recommended, along with a Bachelor's degree in Nursing, Psychology, Biology, Healthcare/Business Administration or another directly applicable field.		
The Ohio State University Masters in the Study of Law http://moritzlaw.osu.edu/admissions/msl	Either: (1) Ph.D. or other doctoral degree in a discipline other than law, or (2) completed a program of study amounting to 45 quarter hours (or 30 semester hours) toward their doctoral degree. Additionally all MSL candidates must show an academic record demonstrating the ability to succeed in a competitive law school environment.	1) Business Law 2) Criminal Law and Procedure 3) Dispute Resolution 4) Intellectual Property 5) International Law 6) Labor and Employment Law	None

Attachment A to UNM School of Law Master of Legal Studies Proposal: National List of Masters Programs

Law School and Degree	Admissions Requirements	Program Concentrations	Online Options
University of Oklahoma Master of Legal Studies https://www.law.ou.edu/mls	Bachelors	1) Indigenous Peoples Law 2) Energy and Natural Resources	1) Online only 2) on campus
Pacific McGeorge Master of Science in Law http://www.mcgeorge.edu/Future_Students/Master_of_Science_in_Law.htm	Bachelors plus either currently pursuing a graduate degree in another discipline OR already hold a graduate degree in another discipline OR 3yr successful professional experience	1) Criminal Justice 2) Health Admin and Law 3) Human Resources/Conflict Mgmt 4) Govt & Public Policy 5) Water & Environmental Law	None
University of Pittsburgh Master of Studies in Law http://www.law.pitt.edu/academics/non-lawyers/msl	Bachelors, unless they are accepted and enrolled in the joint program with the Legal Studies Program in the College of General Studies. In that case, 6 hours of MSL courses are counted towards the Bachelors.	Business Law <ul style="list-style-type: none"> • Commercial Law • Corporate Law • General Business Law • International Business • Tax Constitutional Law and Civil Rights Criminal Law and Justice Disability Law Education Law Elder and Estate Planning Law Employment and Labor Law Energy Law Environmental and Real Estate Law Family Law Health Law Intellectual Property and Technology Law International and Human Rights Law	None

Attachment A to UNM School of Law Master of Legal Studies Proposal: National List of Masters Programs

Law School and Degree	Admissions Requirements	Program Concentrations	Online Options
		Jurisprudence Personal Injury and Civil Litigation Regulatory Law Sports and Entertainment Law Self-Designed Concentration	
University of San Diego Master of Science in Legal Studies http://www.sandiego.edu/law/academics/msls/	Bachelors Designed for grad students in other disciplines (such as political science, economics, international relations, engineering, philosophy, business or medicine) or professionals from different fields (such as journalism, business, science or technology)	1) General 2) Business and Corporate Law 3) Criminal law 4) Environmental and Energy Law 5) Intellectual Property 6) International Law 7) Taxation	None
Seton Hall University Masters of Science in Jurisprudence http://law.shu.edu/online/graduate-degrees/MSJ/	Bachelors	1) Health and Hospital Law 2) Pharmaceutical and Medical Device Law and Compliance 3) Intellectual Property Law	completely online, or hybrid online/traditional
Stanford University Master of Legal Studies http://www.law.stanford.edu/degrees/advanced	No information provided	A nonprofessional degree designed for advanced graduate students from the US or abroad who have no prior legal training and whose interdisciplinary research requires knowledge of the foundations of the legal system. Only a few such	No information provided

Attachment A to UNM School of Law Master of Legal Studies Proposal: National List of Masters Programs

Law School and Degree	Admissions Requirements	Program Concentrations	Online Options
		students, if any, are admitted in a given year.	
University of St. Thomas Master of Studies in Law http://www.stthomas.edu/law/academics/degrees/mslcompliance	Bachelors	Organizational Ethics & Compliance	None
University of Tulsa 1) Masters of Jurisprudence in Indian Law http://indianlawmj.org/about/ 2) Masters of Jurisprudence in Energy Law http://www.utulsa.edu/academics/colleges/college-of-law/Academic%20Programs/Online%20Masters%20in%20Energy%20Law.aspx	Bachelors for both	1) Indian Law 2) Energy Law	Both are entirely online
Wake Forest Master of Studies in Law http://msl.law.wfu.edu/about/	Bachelors	None	None
Washburn University Master of Studies in Law http://www.washburnlaw.edu/academics/msl/index.html Starting Fall 2014	Bachelors	1) Business and Transactional 2) Children and Family 3) Criminal Justice 4) Government 5) Natural Resources 6) Tax/Estate Planning	None

Attachment A to UNM School of Law Master of Legal Studies Proposal: National List of Masters Programs

Law School and Degree	Admissions Requirements	Program Concentrations	Online Options
Widener University Masters of Jurisprudence https://law.widener.edu/Spiffs/WidenerLawHighlights/MJProgramsOnline.aspx	1) Bachelors plus applicants preferably will have recent or current professional employment in health care or a closely related field. 2) Bachelors	1) Health Law <ul style="list-style-type: none"> • Legal Nurse Consulting & Hospital Regulatory Compliance • Health Care Regulatory Compliance and Analysis • Global Health Care Compliance and Ethics 2) Corporate and Business Law <ul style="list-style-type: none"> • Corporate Regulatory Compliance and Analysis • Global Corporate Regulatory Compliance and Ethics 	Both entirely online
Yale University Master of Studies in Law http://www.law.yale.edu/graduate/msl_program.htm	Have a doctoral degree or be a doctoral candidate in a field other than law, unless the applicant is a working journalist. Journalists must have at least a bachelor's degree.	Journalism Self-designed	None

MLS Attachment A – Nat'l List of Masters Pgms.docx

7.

Attachment B to UNM School of Law Master of Legal Studies Proposal: NM Dept. of Workforce Solutions Statistics

Job field	NMDWS Job Name and related fields	# of positions 2011	average # of annual openings	# of post secondary certificates 2011	# of Assoc. degrees 2011	# of Bachelor degrees 2011	# of Masters degrees 2011	# of PhD degrees 2011	Notes on Data
Accounting	Accountants and Auditors	5723	178	61	105	109	68		
	-Loan officer	1532	61			81			Bachelors in Finance
	-Personal financial advisor	619	19	1		81			Bachelors in Finance
	- Actuaries	24	1						
Architecture	Architects, Except Landscape and Naval	661	18			46	27		
Business Administration	Business Operations Specialists, All Other	5009	148			1			
Civil Engineering	Civil Engineers	1589	48			86	37		
Construction Engineering	(see mechanical engineering)								
Construction Management	Construction Managers	3558	42						Degree is "Business administration and management general"
Criminology	-Criminal Investigators and Special Agents	1678	44	21	6				No degree data provided
	-Protective service workers, all other	608	50						No degree data provided
	-probation officers and correctional treatment specialists	1154	49		7 (corrections), 54 (social work)	80 (social work)			
Economics	Economists	84	4	1		87	31	3	
Emergency Medical Services	Emergency medical technicians and	1296	49	458	62	19			

Attachment B to UNM School of Law Master of Legal Studies Proposal: NM Dept. of Workforce Solutions Statistics

Job field	NMDWS Job Name and related fields	# of positions 2011	average # of annual openings	# of post secondary certificates 2011	# of Assoc. degrees 2011	# of Bachelor degrees 2011	# of Masters degrees 2011	# of PhD degrees 2011	Notes on Data
Environment Planning and Design Environmental Science	paramedics								
	-Environmental restoration planner	780	31	1	1	26			
	-Environmental engineer	683	25			4	9		
	-Environmental Compliance Inspector	1172	33						No degree data provided
	-Environmental Scientists and specialist including health	780	31		26	24			
Family Studies	-recycling coordinator	1057	49	N/A	N/A	N/A	N/A		No degree data provided
	-Counselors, all others	223	8	1	54	80	55		Social Work Degree
	-Child, Family and School Social workers	1878	79	1	54	80	55		Social Work degree
	-Psychologists all other	222	10		40	471	19	12	
History Interdisciplinary Liberal Arts	Historians	36	2			142	30	13	
	-Political Scientists	N/A	N/A			197	11	1	
	-Anthropologists	326	19		90	25	5		
Nursing	-Registered nurse	16893	565	62	808	354	102	7	
	-Nurse Practitioner	301	N/A						
	-Nursing Instructor	201	N/A						
	-clinical nurse specialist	1977	73						15 different degrees were listed under this profession including chemistry, biology,

Attachment B to UNM School of Law Master of Legal Studies Proposal: NM Dept. of Workforce Solutions Statistics

Job field	NMDWS Job Name and related fields	# of positions 2011	average # of annual openings	# of post secondary certificates 2011	# of Assoc. degrees 2011	# of Bachelor degrees 2011	# of Masters degrees 2011	# of PhD degrees 2011	Notes on Data
Pharmaceutical Sciences									health administration, etc.
	-Pharmacists	1508	58			85	2	2	
	-Pharmacy technician	2243	75	251					
Journalism & Communication	-Reporters and correspondents	376	13		4	148	28		
	-Public relations specialist	615	26			43	2		
Mechanical Engineering	Mechanical Engineer	821	33			164	29		
Native American Studies	-Area, ethnic, and cultural studies teachers (NOTE: this is not the Native American Studies degree but is a related Public Administration degree)					11 (native studies)	N/A		This involves obtaining a degree in public administration.
	There is no immediate granular data available regarding number of Native American Studies degrees. The eDEAR reporting tool at hed.state.nm.us is what we need to obtain this data but								

Attachment B to UNM School of Law Master of Legal Studies Proposal: NM Dept. of Workforce Solutions Statistics

Job field	NMDWS Job Name and related fields	# of positions 2011	average # of annual openings	# of post secondary certificates 2011	# of Assoc. degrees 2011	# of Bachelor degrees 2011	# of Masters degrees 2011	# of PhD degrees 2011	Notes on Data
Philosophy	there is no immediate access to it.								
	Philosophy and Religion teachers post-secondary	34	N/A			47 (philosophy) 17 (religious studies)	6 (phil.)	2 (phil.)	
Political Science	(See interdisciplinary liberal arts)								
Arbitrators	-Arbitrators, mediators, and conciliators	80	3	6				102 (JD)	
Educational leaders	-Education administrators, All	380	12				99	17 (PhD), 13 (post-masters certificate)	Educational Leadership and Administration, General Degree
	-Education administrators, postsecondary	469	27				99	17 (PhD), 13 (post-masters certificate)	
	-Education administrators, elementary and secondary	1538	N/A				99	17 (PhD), 13 (post-masters certificate)	
Mediators	(See arbitrators)								
Legal/Regulatory compliance officers	-Regulatory affairs specialist	1172	33						Several different degree programs were listed under this occupation, including engineering degrees and management degrees

Attachment B to UNM School of Law Master of Legal Studies Proposal: NM Dept. of Workforce Solutions Statistics

Job field	NMDWS Job Name and related fields	# of positions 2011	average # of annual openings	# of post secondary certificates 2011	# of Assoc. degrees 2011	# of Bachelor degrees 2011	# of Masters degrees 2011	# of PhD degrees 2011	Notes on Data
non-lawyer state magistrate judges and tribal judges	-regulatory affairs manager	7000	223						Several different degree programs were listed under this occupation, including engineering degrees and management degrees
	-Judges, magistrate judges, and magistrates	325	10	6 (post secondary certificate 1-2)				102 (JD)	Employment data does not differentiate between lawyer and non-lawyer judges
	-administrative law judges, adjudicators, and hearing officers	162	3	6 (post secondary certificate 1-2)				102 (JD)	
	*Tribal judges								*Complete discrete data on tribal judges not available, each individual tribal court would have to be contacted
managers of tribal enterprises	**(see regulatory affairs specialist and regulatory affairs manager, and government property inspector)								**Complete discrete data on managers of tribal enterprises is not available
oil and gas administrators (See also, government property inspector)	inspectors, testers, sorters, samplers, and weighers	1173	37						

Attachment B to UNM School of Law Master of Legal Studies Proposal: NM Dept. of Workforce Solutions Statistics

Job field	NMDWS Job Name and related fields	# of positions 2011	average # of annual openings	# of post secondary certificates 2011	# of Assoc. degrees 2011	# of Bachelor degrees 2011	# of Masters degrees 2011	# of PhD degrees 2011	Notes on Data
public administrators working in local, state, tribal, and federal government public health administrators	Government property inspector and investigator	1172	33						15 degrees listed under this profession including business administration and engineering degrees
	-medical and health services managers				27 (health info.)		14 (pub. health)		15 degrees listed under this profession including biology and public health
	-occupational health and safety specialist	534	26	23	7				Occupational health and safety and quality control degrees
	-medical scientists, except epidemiologists	286	9						
	***administrators working in tribal government								***Complete discrete data on administrators working in tribal government is not available
water resources engineers	-Water and wastewater treatment plant system operators	838	37	12	9				
	-hydrologist	167	7			29	21	5	

8.

**Attachment C to UNM School of Law Master of Legal Studies Proposal:
Western Interstate Commission for Higher Education**

WICHE MEMBERS

Alaska
Arizona
California
Colorado
Hawaii
Idaho
Montana
Nevada
New Mexico
North Dakota
Oregon
South Dakota
Utah
Washington
Wyoming
Commonwealth of the Northern Mariana Islands (CNMI)

PROFESSIONAL STUDENT EXCHANGE PROGRAM (PSEP)

Access to MLS or comparable programs - NONE

“WICHE's Professional Student Exchange Program enables students in 10 western states to enroll in selected out-of-state professional programs usually because those fields of study are not available at public institutions in their home states.”

Professional Education in 10 Healthcare Fields (Years shown in parentheses are the normal maximum for WICHE support.)

DENTISTRY (4 Academic Years)
MEDICINE (4 Academic Years)
OCCUPATIONAL THERAPY (2 Academic Years Plus Clinicals)
OPTOMETRY (4 Academic Years)
OSTEOPATHIC MEDICINE (4 Academic Years)
PHARMACY (4 Academic Years or 3 Academic Years for Accelerated Programs)
PHYSICAL THERAPY (3 Academic Years)
PHYSICIAN ASSISTANT (2 Academic Years)
PODIATRY (4 Academic Years)
VETERINARY MEDICINE (4 Academic Years)

**Attachment C to UNM School of Law Master of Legal Studies Proposal:
Western Interstate Commission for Higher Education**

WESTERN REGIONAL GRADUATE PROGRAM (WRGP)

Access to MLS or comparable programs - NONE

“The Western Regional Graduate Program (WRGP) allows master’s, graduate certificate, and Ph.D. students who are residents of the WICHE member states to enroll in some 320 high-quality programs at 56 participating institutions outside of their home state and pay resident tuition. The WICHE states are Alaska, Arizona, California, Colorado, Hawaii, Idaho, Montana, Nevada, New Mexico, North Dakota, Oregon, South Dakota, Utah, Washington, Wyoming, and the Commonwealth of the Northern Mariana Islands.”

“Institutions can nominate their graduate programs for participation in WRGP every fall. *PROGRAMS THAT AREN’T RELATED TO HEALTHCARE MUST BE “DISTINCTIVE,” MEANING THEY MUST BE OFFERED AT NO MORE THAN FOUR OTHER INSTITUTIONS IN THE WICHE REGION (EXCLUSIVE OF CALIFORNIA).* Healthcare-related programs are not subject to the “distinctiveness” criteria, but must be of high quality. WICHE is also seeking inclusion of new programs in emerging and high-need fields, such as Professional Science Masters (PSMs); microtechnology and nanotechnology; green building and building energy conservation; emerging media and communications; biotechnology and bioinformatics; computer and cyber security; alternative energy technology; and homeland security.”

No programs listed under “Law” or “Legal Studies” – related programs:

PUBLIC ADMINISTRATION AND SOCIAL SERVICE PROFESSIONS

Administration of Justice, MA, University of Alaska Fairbanks
Disability Policy and Practice, GRAD CERT, Northern Arizona University
Public Administration, PhD, Arizona State University
Public Administration, MPA/GRAD CERT, University of Colorado, Colorado Springs ☐
Public Administration and Policy, PhD, Portland State University
Public Administration/Domestic Violence Program Management and Public Policy, MPA, University of Colorado Denver
Public Administration/Nonprofit Management Concentration, GRAD CERT, MPA, University of Colorado Denver
Public Administration/Public Affairs, PhD, University of Colorado Denver
Public Policy, MPP, University of Utah

SOCIAL SCIENCES AND LIBERAL ARTS & SCIENCES

Criminal Justice, MCJ, New Mexico State University
Political Science-Environmental Politics and Policy, PhD, Colorado State University
Science and Technology Policy, PSM, Arizona State University
Social Justice and Human Rights, MA, Arizona State University, West Campus ☐

9.

**Attachment D to UNM School of Law Master of Legal Studies Proposal:
Six-Year Enrollment Projection**

	Full-time Students @ 30 CH/year		Part-time Students @ 10 CH/year		
Year	New	Returning	New	Returning	Credit Hours
1*	n/a	n/a	n/a	n/a	n/a
2	1		7		100
3	3	0	1	7	170
4	5	0	1	8	240
5	5	0	7	2	240
6	5	0	0	9	240

*Program Start-up

MLS Attachment D - 6-Yr Enrollment Projection - 30CH

10.

**Attachment E to UNM School of Law Master of Legal Studies Proposal:
Projected Program Cost Estimates and Resources**

ESTIMATED REVENUES	Year 1		Year 2		Year 3		Year 4		Year 5		Year 6	
	Existing	New	Existing	New	Existing	New	Existing	New	Existing	New	Existing	New
Projected University I&G or Tuition				61,494	61,494	37,943	99,437	37,943	137,380		137,380	
Other *	45,000											
TOTAL REVENUE	45,000		61,494		99,437		137,380		137,380		137,380	
ESTIMATED EXPENSES	Year 1		Year 2		Year 3		Year 4		Year 5		Year 6	
	Existing	New	Existing	New	Existing	New	Existing	New	Existing	New	Existing	New
Director SAC		10,000	10,000		10,000	10,000	20,000		20,000		20,000	
Faculty Support				3,500	3,500	2,000	5,500	2,500	8,000		8,000	
TA support				5,760	5,760		5,760		5,760		5,760	
Administrative Support		10,000	10,000	10,000	20,000		20,000		20,000		20,000	
Recruitment Costs		25,000	18,000		18,000	2,000	20,000		20,000		20,000	
TOTAL EXPENSES	45,000		57,260		71,260		73,760		73,760		73,760	
DIFFERENCE (Rev.-Exp.)	0*		4,234		28,177		63,620		63,620		63,620	
ESTIMATED IMPACT OF NEW PROGRAM	Year 1		Year 2		Year 3		Year 4		Year 5		Year 6	
FTE Enrollment	0		3.3		5.7		8		8		8	
Projected Annual Credits Generated	0		100		170		240		240		240	
Tuition Generated	0		61,494		99,437		137,380		137,380		137,380	
* Year 1 expenses will be covered by Dean's Fund												

11.



Master of Legal Studies Proposal

Statement Regarding Effect on Juris Doctor Program

The M.L.S. will be a small program, with an expected enrollment of 8 full-time-equivalent students made up of both full- and part-timers. The Law School's total student body is approximately 340 J.D. students, so the addition of approximately 8 full-time-equivalent M.L.S. students, most of whom are expected to attend part-time, will have minimal effect on the existing J.D. degree program. Because the M.L.S. program will have no adverse impact on the School of Law's ability to maintain its American Bar Association accreditation, it will not affect any existing accreditation requirements.

12.



Master of Legal Studies Proposal

Catalog Description

Degree Program

The University of New Mexico School of Law offers a full-time or part-time course of study leading to the degree of Master of Legal Studies (M.L.S.).

Admission Requirements

Information about the procedure for applying to the School of Law M.L.S. program is contained in the School of Law Catalog. Applicants are admitted to the M.L.S. program based on their ability to thrive in a demanding, competitive academic atmosphere, as evidenced by their academic record and professional accomplishments. The program accepts applications from individuals with baccalaureate degrees, including mid-career professionals, graduate students in other fields, and recent recipients of bachelor's degrees. Applications are accepted on a rolling basis until 10 full-time-equivalent M.L.S. students have accepted offers of admission. Application material is available year-round on the School of Law website. Admission requirements:

- Bachelor's degree from a regionally accredited institution of higher education in the U.S. or foreign equivalent.
- Official transcript
- Application for admission
- Personal statement articulating reasons for needing or wanting an M.L.S. degree
- One letter of recommendation from a previous or current instructor or work supervisor documenting the applicant's ability to successfully complete the M.L.S. program

Neither the LSAT nor the GRE is required. A law school M.L.S. admissions committee will review the applications and make admissions decisions.

Beginning M.L.S. students will be admitted at the opening of the fall semester only.

Graduation Requirements

Detailed information about graduation requirements for the School of Law M.L.S. degree is contained in the School of Law Bulletin and Handbook of Policies. To be graduated from the University of New Mexico with an M.L.S. degree, a student must meet all of the following requirements:

1. **Residence:** The student must complete the equivalent of two full-time academic semesters in residence at the School of Law, except for transfer students (see below).
 2. **Credit hours:** The student must earn at least 30 hours of credit.
 3. **Required Course:**
 - o **First-year:** The student must take LAW 560 “Introduction to U.S. Law, Procedure, and Legal Education” during the first fall semester of study.
 4. **Concentration Areas:** M.L.S. students may concentrate in Natural Resources and Environmental Law or Indian Law. Besides these two concentrations, M.L.S. students, with prior approval, may plan their own areas of focus. These areas include, for example, Constitutional Law & Rights, Criminal Justice, Commercial and Consumer Law, and Business Practice.
-

Additional Information

Detailed information for the School of Law is contained in the School of Law Bulletin and Handbook of Policies.

Dismissal/Probation/Suspension

The School of Law Policy on academic retention and suspension, found in the School of Law Bulletin and Handbook of Policies, governs M.L.S. students with regard to academic probation, suspension and dismissal.

Transfer Procedures

The School of Law accepts a limited number of transfer students who have completed one full-time or part-time semester in a similar master’s program at another ABA-approved law school. Transfer applicants are considered for admission only if they 1) have outstanding records at the law school previously attended; or 2) are in good standing at the law school previously attended, are residents of New Mexico and have a compelling reason to continue their legal education at the University of New Mexico. A maximum of 6 credits from law courses may be transferred to the M.L.S. program. Credits from a substantially equivalent, required M.L.S. introductory course also may be transferred. Transfer credits must meet the restrictions specified in the University Catalog to the extent applicable. Credits earned at other law schools that do not meet their minimum graduation requirements are not acceptable for transfer credit to the University of New Mexico School of Law. Information about the procedure for applying to the School of Law is contained in the School of Law Catalog. The deadline for submitting transfer applications is June 15. The deadline for completing a transfer application file is July 15. If admitted with advanced standing to the University of New Mexico Master of Legal Studies degree program, the student’s right to continue in that program depends entirely on work done at the University of New Mexico. Transfer students are ineligible for certain prizes and awards given by the Law School.

13.



Master of Legal Studies Proposal

Library Impact Statement

Michelle Rigual, Interim Director, Law Library

The Law Library supports the Master of Legal Studies proposal and can provide the necessary library access, collections, and legal research training and support to meet the needs of the M.L.S. students and faculty. The Law Library's current collection and database license fees will meet the curricular and legal research requirements of M.L.S. students. No budget increase is needed. The reference desk will provide the same legal research assistance to M.L.S. students as is provided to J.D. students.

M.L.S. students will receive basic legal research training as part of the *Introduction to U.S. Law, Procedure, and Legal Education* course. They will learn how to use free and low-cost methods to identify and retrieve relevant federal and New Mexico legislation and regulations as well as the case law interpreting them. They will also learn how to track pending legislation and regulations. Students concentrating in Indian Law may opt to take *Specialized Legal Research in Indian Law*, an existing 2-credit-hour course that familiarizes students with commonly used Indian law research resources.

14.

HISPANO

CHAMBER OF COMMERCE

1309 4th Street SW • El Camino Real • Albuquerque, NM 87102 • 505.842.9003 • Fax 505.764.9664
www.ahcnm.org

Alex O. Romero
 PRESIDENT/CEO

2014 EXECUTIVE COMMITTEE

Robert Sachs
 CHAIRMAN

Erik P. Baca
 CHAIR ELECT/TREASURER

Patricia M. Knighten
 SECRETARY

Sherman McCorkle
 VICE-CHAIR

Hilary A. Noskin
 VICE-CHAIR

Ernie C' de Baca
 VICE-CHAIR

Steve Schroeder
 VICE-CHAIR

Steven Becerra
 PAST CHAIRMAN

2014 BOARD OF DIRECTORS

Linda Alvarado
 Bonnie Apodaca
 Jeff Apodaca
 Jacqueline J. Baca
 Patrick Baldonado
 Rudy Beserra
 Marie Elizabeth "Betty" Blea
 Dennis Garcia
 DJ Heckes
 Michelle Hernandez
 Louis Herrera
 Carlo Lucero
 Janice Lucero
 Larry Lujan
 John Murphy
 Demesia Padilla, PC CPA
 Peter Sanchez
 Daniel Rene Sandoval, PE
 Gerald Schalow
 Anthony Tenorio
 James Torres
 Anthony Trujillo

EX-OFFICIOS

Art De La Cruz
 Phillip Bustos
 Colonel Tom D. Miller
 Tom Berardinelli
 A. Sanchez
 Monica Armenta
 Gary Oppendahl
 Ken Sanchez
 Klarissa J. Peña
 Laura Kesselman
 Eliseo "Cheo" Torres

April 14, 2014

Dean David J. Herring
 UNM School of Law
 MSC11-6070
 Albuquerque, NM 87131-0001

Re: UNM Master of Legal Studies Degree

Dear Dean Herring:

On behalf of the Albuquerque Hispano Chamber of Commerce, I am very pleased to submit this letter of support for UNM's new Master of Legal Studies degree. The AHCC's mission is to promote economic development, to enhance economic opportunities, and to provide business and workforce education with an emphasis on the Hispanic and small business community in Albuquerque and New Mexico. For years the Rodey Law Firm has presented a very popular business law course to AHCC members to enhance their ability to deal with the legal dimension of running a successful business. Therefore, it is clear to me that the Master of Legal Studies degree will be an excellent addition to the range of workforce education opportunities available to our members and to the entire business community in central New Mexico. I am particularly pleased that MLS students will participate in Innovate ABQ given this initiative's tremendous potential to spawn new companies and to nurture and attract existing ones. As the business community ponders new ways to grow New Mexico's economy to offset reductions in federal funding, the MLS degree will provide an essential service by training entrepreneurs how to understand our legal system and the laws, regulations, and policies that directly affect the bottom line.

Please accept my best wishes for a successful launch of the MLS degree. I sincerely congratulate you on your foresight for developing this new degree at a time when the very practical knowledge it will impart can benefit our business community for years to come.

Best regards,

Alex Romero



April 8, 2014

Dear Dean David Herring,

I am writing to express my strong support and enthusiasm for the Master of Jurisprudence degree program at the University of New Mexico (UNM) School of Law. I am confident that the UNM School of Law and its partners have the capacity to expertly plan and execute an exceptional degree program benefitting UNM and the State of New Mexico. I expect the contributions and outcomes will lead to an enhanced understanding of the legal system in various fields I am familiar with such as healthcare, public health and education with subsequent improvement in the overall health and safety of New Mexico citizens.

I am a Professor of Family and Community Medicine at the UNM School of Medicine, Founder and Director of the Center for Native American Health (CNAH) at UNM Health Sciences, and most recently former appointed Surgeon General of the Navajo Nation. During my tenure and experience working with the Navajo Nation Office of the President and Vice President, the Navajo Nation Council and the Navajo Department of Justice, a Master of Jurisprudence would definitely have enhanced my knowledge and role in providing expertise in matters of self-governance in healthcare. I was and am still involved in facilitating the creation of enabling legislation for a Department of Health, and creating policies for the implementation of various Acts and Codes relating to the health and safety of the Navajo Nation. This involves a close working relationship with the Navajo Nation Department of Justice attorneys and staff.

I would encourage any of our medical students and family medicine residents to seek a Master of Jurisprudence degree, especially those with a background in public health. I look forward to such a program at the UNM School of Law and offer my full support. If I can be of any assistance to the UNM School of Law, please do not hesitate to ask. My contact is gdchacon@salud.unm.edu or 505-269-6742.

Sincerely,



Gayle Dine'Chacon, MD
Professor, Department of Family and Community Medicine
UNM School of Medicine
Founder/Director, Center for Native American Health
UNM Health Sciences Center

Former Surgeon General, Navajo Nation



Janie M. Chermak, Professor and Chair
Department of Economics
University of New Mexico
MSC05 3060, 1UNM
Albuquerque, New Mexico 87131
505-277-2037
FAX 505-277-9445

April 29, 2014

To Whom It May Concern:

The University of New Mexico Law School is proposing a Masters of Legal Studies degree in Natural Resources and Environmental Law. As the chair of the economics department at the University of New Mexico (UNM) and as a natural resource economist, this is a program I fully support.

The Department of Economics has long been recognized for its field in natural resource and environmental economics. Our program is an applied one and our graduates who specialize in resource and environmental economics are placed not only in academia, but also in policy positions at the state and federal levels. Many of our graduates are placed within New Mexico. A PhD student who had the opportunity to also complete a Masters of Legal Studies in Natural Resources and Environmental Law would have a truly unique education that would distinguish them from graduate of other programs. For those economics PhD's who choose to stay in New Mexico, the combination of degrees would provide an expertise that is difficult to find and would be an asset to the State.

The Masters of Legal Studies seems a logical step for the Law School and will fill a need not only in the legal profession, but will expand the opportunities for graduates from other UNM programs. I support the development of this degree.

Best Regards,

Janie M. Chermak

A handwritten signature in black ink, appearing to read "Janie M. Chermak", written in a cursive style.

Professor and Chair
UNM Department of Economics



THE UNIVERSITY of
NEW MEXICO

Department of Geography and Environmental Studies

MEMO

May 1, 2014

FROM : Scott M. Freundschuh, Chair, Department of Geography and Environmental Studies

TO : Reed Benson, Chair, Natural Resources & Environmental Law Program

RE : Support for a Master's Degree in Natural Resources & Environmental Law

The Department of Geography and Environmental Studies supports your efforts to create a master's degree in Natural Resources & Environmental Law.

There is added value and benefit to UNM students to have an advanced degree that encompasses legal issues surrounding the management and use of natural resources, especially now that climate change/science is becoming a factor in the development of national policies and security.

Given the collaborative relationship that currently exists between our programs (minor in Law, Environment and Geography, and a proposal for a Graduate Certificate in Law, Environment and Geography that is under review), we would envision this new master's program to be yet another opportunity for geography to work with your environmental law program.

Therefore, the Department of Geography and Environmental Studies happily supports the creation of a Master's Degree Program in Natural Resources & Environmental Law, and looks forward to opportunities to be involved in the creation of this degree.



March 5, 2014

Dean David Herring
University of New Mexico School of Law

Dear Dean Herring,

We are elated by the prospects of a Master of Jurisprudence Program at the University of New Mexico, School of Law. Congratulations to you all for this very thoughtful consideration. This is absolutely a grand idea that would contribute immensely to the professional community in New Mexico. It would be an incredibly rich experience for lawyers, judges, legislators, elected public officials, young and established professionals across the full spectrum of disciplines to have the opportunity to engage in such a phenomenal learning environment. I believe it would significantly strengthen the law school with such a complementary graduate program.

From my perspective as a former Governor, Chief Judge, and a member of a Pueblo Tribal Council, I see an extraordinary opportunity that can only enrich the understanding of some complex areas of the law for so many engaged in work on and off the reservation as Indian Nations evolve and expand in unprecedented ways. The opportunity to have at our disposal such a program would greatly enhance our collective abilities to create sound, thoughtful and balanced policies and laws driven by immediate applicable circumstances. This program would fill a major void that exists that frankly results in a brain drain out of New Mexico to neighboring states that reap the maximum human and financial capital of our most talented graduate students who are schooled away from New Mexico and never come back.

In the work we have engaged in the last 18 years at the Leadership Institute focusing in the following 10 areas, land, language, family and community, indigenous customs and laws, governance, community development, health, education, cultural and natural resource protection, it is quite obvious that this proposed program would be a welcome part of the educational framework. We believe if done in a comprehensive fashion to be complemented with public administration and public health, this program would absolutely emerge into a phenomenal program that will be among the most prestigious nationally and internationally. In our many institutes of discourse over major laws and policies and decision-making, many of the young and established professionals have lamented that such a program does not exist. To pursue the realization of this program is absolutely the right thing to do. The time has come and we would stand ready to do what it would require to support such an effort.

Sincerely,

Regis Pecos, Co-Director



May 20, 2014

Dean David J. Herring
UNM School of Law
MSC11-6070
Albuquerque, NM 87131-0001

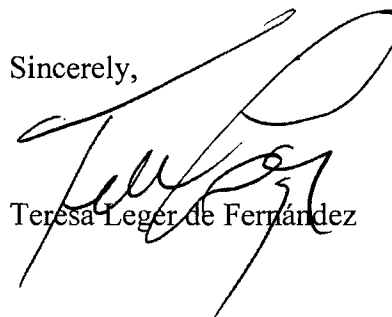
Re: Support for New Master of Legal Studies Degree

Dear Dean Herring:

I am very pleased to submit this letter in support of the law school's proposal to establish a Master of Legal Studies degree, particularly given the Indian law concentration that will be available through this program. I have represented tribal governments from many parts of the United States for 25 years now. As you know, each tribe has a distinct culture and system of government. Despite these distinctions, a common denominator among tribal leaders is the variety and complexity of sovereignty, taxation, criminal-justice and civil problems they must address effectively to meet the needs of their constituents. Given tribes' daily cross-jurisdictional interactions with federal, state, county, and municipal governments, and with non-Indian visitors to their reservations, many of the issues that come before tribal governments - ordinances, statutes, regulations, and policies require close attention to detail plus strong reading and analytical skills. Importantly, practitioners in Indian Country also need a deep historical knowledge of the philosophies and jurisprudence that underlie the legal doctrines applicable to tribes. The LLM and Ph.D. programs out of Arizona understand this. Given New Mexico's strong leadership in Tribal-State relations, I am extremely pleased to hear that UNM is considering offering this advanced degree. I am confident that this new degree has genuine potential to provide extremely valuable and practical training to current and future tribal leaders that will benefit both their own communities and neighboring communities.

I look forward to collaborating with graduates of this new program in the coming years as they assume a variety of tribal leadership positions.

Sincerely,



Teresa Leger de Fernandez

Native American Studies

June 16, 2014

Board of Regents and Faculty Senate
University of New Mexico
Albuquerque, New Mexico 87131

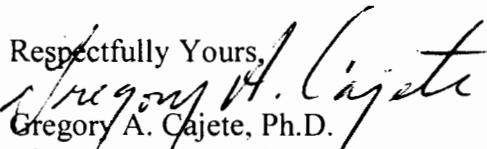
Dear Regents and Senators,

This is a letter of support for the proposed UNM Law School Masters of Legal Studies Program. The Faculty of Native American Studies of the University of New Mexico wish to express our support for this important and unique development through the UNM Law School. We feel it will make a lasting contribution to New Mexico Indian education by serving the expressed needs of New Mexico Indian communities for educational law programs that enhance their ability to lead, build and sustain their respective Native Nations and communities and tell their respective stories.

In particular, the focus of the proposed MLS degree in the areas of Indian and Environmental Law is especially appropriate to Native American Studies and parallels the content of many of our courses at the undergraduate level. Specifically, by providing courses related to Tribal courts, environmental compliance, regulation and water issues on the one hand and Tribal executive leadership, entrepreneurship and management on the other, the proposed MLS program of study provides a practical grounding in longstanding issues that directly affect New Mexico Indian Tribes and communities. This form of broad based education in legal studies forms an essential foundation for building the Native Nations of New Mexico now and in the future.

We thank you in advance for your consideration of the UNM Law School Masters in Legal Studies initiative.

Respectfully Yours,



Gregory A. Cajete, Ph.D.

Director, UNM Native American Studies Program
Associate Professor, COE-LLSS
The University of New Mexico



SUSANA MARTINEZ
Governor

JOHN A. SANCHEZ
Lieutenant Governor

**NEW MEXICO
ENVIRONMENT DEPARTMENT**

Office of the Secretary

Harold Runnels Building
1190 Saint Francis Drive (87505)
P.O. Box 5469, Santa Fe, NM 87502
Phone: (505) 827-2855 Fax: (505) 827-2836
www.nmenv.state.nm.us



RYAN FLYNN
Cabinet Secretary

BUTCH TONGATE
Deputy Secretary

April 24, 2014

Mr. Reed D. Benson
Keleher & McLeod Professor
Chair, Natural Resources Committee
University of New Mexico School of Law
MSC 6070, 1 University of New Mexico
Albuquerque, NM 87131

Dear Mr. Benson:

I am writing to voice my support for the proposed Masters of Natural Resources and Environmental Law that may be offered in the future at the University of New Mexico. As you know, a large part of environmental regulation hinges on the interplay between the technical and legal realms. A sound knowledge of both is advantageous to those who participate in environmental regulatory arenas.

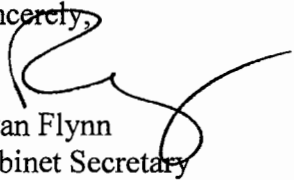
The proposed Master's program has the potential to greatly benefit both the regulators and the regulated, along with public interest groups. Non-attorney NMED employees would be able to have a more advanced understanding of environmental law, which would supplement their already extensive technical understanding of environmental regulation. The regulated community and public interest groups would also gain from such a program because it would facilitate constructive involvement in interactions with NMED and similar environmental agencies. In sum, increased knowledge about environmental law would foster better, more productive communication between those participating in environmental administrative processes.

Further, the proposed Masters of Natural Resources and Environmental Law would be of benefit to those participating in environmental administrative processes as a client in an attorney-client relationship. Attorneys frequently do not have the time to provide their clients with primers on the areas of environmental law that are being dealt with. A client with a Masters in Natural Resources and Environmental Law would have a strong background in environmental legal issues, thereby making interactions with their attorneys more fruitful. A client with an

advanced understanding of environmental law could cultivate an advantageous collaborative attorney-client relationship.

I wish you much success in instituting this new program of study. If there is anything further I can do to assist, please let me know.

Sincerely,



Ryan Flynn
Cabinet Secretary



The New Mexico Tribal-State Judicial Consortium

STRENGTHENING RELATIONSHIPS, FOSTERING COMMUNICATIONS

March 5, 2014

Dean David Herring
UNM School of Law
MSC 60 70 1 UNM
1117 Stanford N.E.
Albuquerque, New Mexico 87131

RE: UNM School of Law's Proposed Master of Jurisprudence

Dear Dean Herring,

This letter is to offer the support of the New Mexico Tribal-State Judicial Consortium to the New Mexico School of Law's proposal to create a program for the Masters in Jurisprudence specifically for the area of Indian Law. Given the Law and Indigenous Peoples Program's reputation and its coverage of federal, tribal and international law, we believe the Masters program would provide great value to the development of Tribal legal systems.

Many individuals are involved in the Tribal criminal justice systems, from members of Tribal Councils to police officers and probation officers, all of whom could greatly benefit from a program designed to provide training in Indian Law to complement their college education in their particular field or discipline. Additionally, lay judges and lay advocates would also be interested in such a program. Indeed, graduates from such a program would have an advantage over other candidates in law-related fields.

As the Tribes located in New Mexico look at increased jurisdiction and are engaged in the development of their legal infrastructure, knowledge of the law is critical. We are encouraged to learn about the development of such a program at the University of New Mexico School of Law and know that interest in the Masters of Jurisprudence will be high in Indian country.

We would appreciate your careful consideration of the proposal, and would welcome any questions you might have. Many thanks in advance for assisting in this effort.

Respectfully yours,

Chief Justice, Isleta Appellate Court
Tribal Co-Chair

Associate Judge, NM Court of Appeals
State Co-Chair



505-869-9692
FAX: 505-869-9758

PUEBLO OF ISLETA

P.O. BOX 730
ISLETA, NM 87022

March 5, 2014

Dean David Herring
UNM School of Law
MSC 60 70 1 UNM
1117 Stanford N.E.
Albuquerque, New Mexico 87131

RE: UNM School of Law's Proposed Master of Jurisprudence

Dear Dean Herring,

I have recently heard of the School of Law's proposal to create a Master of Jurisprudence program in the specific area of Indian Law. Given the Law and Indigenous Peoples Program's reputation and coverage of federal, tribal and international law, I believe such a program would be great value to the development of Pueblo legal systems. There are many involved in the development of Pueblo legal systems, from members of the Council to police officers to probation officers, who would greatly benefit from a program designed to provide training in the law to complement their college education in their particular field or discipline. Additionally, lay judges and lay advocates would also be interested in such a program. Indeed graduates from such a program would have an advantage over other candidates in law-related fields.

As Pueblos and other Tribes within New Mexico look at increased jurisdiction and are engaged in the development of their legal infrastructure, knowledge of the law is critical. I am encouraged to hear of the development of such a program at the University of New Mexico School of Law and know that interest in the Master of Jurisprudence will be high in Indian country.

Sincerely,

William Johnson
Chief Justice
Pueblo of Isleta Appellate Court



UNM

SCHOOL of PUBLIC
ADMINISTRATION

July 24, 2014

Dean David J. Herring
UNM School of Law
Albuquerque, NM 87131-0001

Dear Dean Herring,

I am proud to write this letter of support for the proposed Master of Legal Studies degree.

This new degree will provide an excellent opportunity for students in the public service sector to obtain basic legal education so vitally important in today's increasingly complex society.

This graduate level education will provide administrators with the crucial analytical skills to serve more effectively in the fields of regulation, legislation support and policy implementation to name a few.

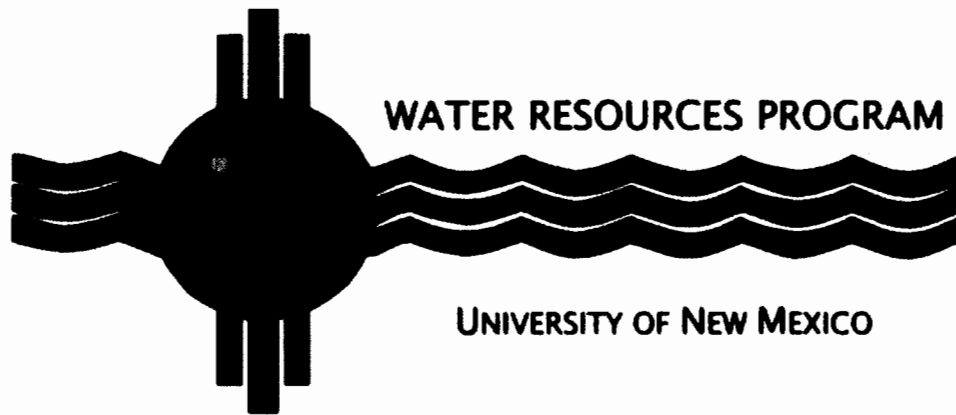
Many students and graduates in the Master of Public Administration Program have expressed interested in these types of course. most have not had the desire of ability to pursue a 3 yr law degree. This opportunity will enable them to acquire this education on a full or part-time basis. This will greatly enhance their professional credentials and expertise.

For example, as more of our students either work directly for tribal governments or interact with them, the Indian Law or Natural Resources Law curriculum will serve a growing market for our students.

This outstanding opportunity for both our current students as well as public administrators in New Mexico will result in increased professional expertise that will benefit all New Mexicans! We look forward to the approval of this new degree and for continued collaborations with the School of Law for our MPA students!

Sincerely,

Mario A. Rivera
Director
School of Public Administration



Reed Benson, Professor
Law School
University of New Mexico

4/14/14

Dear Reed:

Thanks for the opportunity to review the concept proposal for a Masters in Natural Resources and Environmental Law to be offered by the Law School at UNM. I am writing to express my personal support for the proposal. I see the proposed degree as complementary with the interdisciplinary Masters in Water Resources (MWR) degree offered by the WRP program at UNM. Through the efforts of yourself and others at the UNM Law School, access to the Water Law and other natural resource law courses have been very beneficial to the MWR students over the years. MWR students often take 3 credits (and occasionally 6) of their 39 MWR credits from approved law classes. I have also appreciated the willingness of yourself and others from the Law School and Utton Center to work cooperatively with the WRP program (e.g., regularly give guest lectures, serve on the WRP program committee, serve on student professional project committees etc.). Your cooperative support has been vital to the WRP.

I am supportive of all efforts at UNM to sustain and enhance our collective strengths in natural resource and environmental areas, and I view this proposal as very much furthering those efforts. As reflected in this proposal, there is much we already do at UNM, and more we can do. If there is any way that the WRP can help further or refine this effort (e.g., law students having the option of taking the WR 571/EC 545 Contemporary Water Issues class, or exploring a joint MWR-"Masters in NREL" dual degree option [as we currently do with the Masters program in Community and Regional Planning], etc.), please let me know.

Sincerely,

Robert P. Berrens

Robert Berrens, PhD
Director, Water Resources Program
Professor, Department of Economics
rberrens@unm.edu

15.

16.

17.

18.

NEW COURSE REQUEST—FORM B

- ♦ Allow at least 6 months to complete the entire approval process.
 - ♦ Please refer to the Form B Instructions at www.unm.edu/~unmreg
- Four sets of forms must be collated and submitted.

Submission Date 08 / 04 / 20 14

Name of Faculty Member Initiating Request Robert Desiderio

Initiator's Position / Title Professor of Law

College Law

Department _____

Phone 277-0557 Email desiderio@law.unm.edu

ROUTING (All Four Collated Sets)

1. Department Chair
2. College or School Curricula Committee
3. College or School Faculty (if necessary)
4. College or School Dean/Dean of Instruction
5. Office of Registrar—Catalog
6. Director of Relevant Library
7. FS Graduate Committee
(graduate courses)
8. FS Undergraduate Committee
(undergraduate courses)
9. FS Curricula Committee
10. Associate Provost for Academic Affairs

Branches Only – course is

Type 1 ☐ Type 2 ☐ Type 3 ☐

- A. A1. Attach four copies of a precise, complete catalog listing of the proposed new course following the current format used in the printed UNM catalog. The listing must include the course subject code and the course number, long title, credit hour value, and course description (no more than 35 words). To indicate graduate credit for course numbers outside of 500-699, an asterisk (*) should precede the course number.
- A2. Attach four copies of a course syllabus and bibliography (include suggested course text and schedule of topics covered in the course).

B. B1. Course Subject Code LAW B2. Course Number 560 B3. Proposed Effective Term Fall 2016

B4. Long Course Title (up to 100 letters, including spaces):

Introduction to U.S. Law, Procedure, and Legal Education

J. Proposed Short Course Title (up to 30 letters, including spaces):

I n t r o U S L a w & P r o c e d u r e

B6. College Law B7. Department _____ B8. CIP Code _____

(assigned by Assoc. Provost for Academic Affairs)

B9. Credit Hours				
	Fixed Credit	Variable Credit		
		Low	Or / To	High
Credit Hours	4			
Lecture Hours	4			
Lab Hours				

B10. Repeat Rules

Is the course repeatable for credit? Yes ☐ No ☒

- The course may be repeated _____ times
(exclusive of the first time).

AND / OR

- The course may be repeated for _____ hours
(inclusive of the first time)

B11. Course Level (check one only): UG ☐ GR ☒ Law ☐ PharmD ☐ MedD ☐

- ☐ Graduate credit for course numbers outside of 500-699 (requiring an asterisk before the number)
If graduate credit is available for courses numbered outside of 500-699, complete section F1.

B12. Grade Options: The standard grading scale in the catalog will be applied to this course. Yes ☒ No ☐
If no, select the appropriate option and complete section F2.

- ☐ The grade scale will be exclusively CR/NC.
☐ The grade scale will be an alternative to the standard scales in the catalog.

3. List all schedule type(s) (may be more than one):

a. _____ b. _____ c. _____ d. _____ e. _____

C. C1. Co-requisites to this course: a. n/a b. _____ c. _____

If this course is a new co-requisite to those listed, you must submit a Form A for each course that is affected.

Note: Please see the instructions for information on one-way vs. two-way co-requisites.

a. If the co-requisite course exists in another department, the co-requisite offering department must approve it as well.

Department	a.	b.	c.
Course			
Chair Name			
Chair Signature			

C2. Crosslisted courses: Complete the table, including signatures from all departments offering the crosslisted courses.

Department	a. <u>n/a</u>	b.	c.	d.	e.	f.
Subject Code & Number						
Chair Name						
Chair Signature						

C3. Course Fees: Yes ☐ No ☒ If yes, attach a completed, signed *Special Course Fees Approval Form* from the office of the Associate Provost for Academic Affairs.

C4. Is this course *elective* ☐ or *required* ☒ for a degree program? If *required*, **submit a Form C** as well.

C5. Branches Only: Is this course: Occupational ☐ Technical ☐ Academic ☒ (*Please check one*)

D1. Restrictions. List any restrictions placed on students for registration in any section of this course. If none, write "None" in the box.

Only first-semester students in UNM School of Law's proposed Master of Legal Studies (M.L.S.) program may register for this course with the permission of the instructor.

D2. Pre-requisites for Course: If the course has pre-requisites, list all of them, including course subject code and course number or test name and test score for each one. Be sure to include the appropriate conjunction (and / or) between each item and between any sets of pre-requisites. If any of the pre-requisites come from another department, have the department chair sign to acknowledge awareness of those relationships. If none, write "None" in the box.

None.

For courses outside the offering department to be used as pre-requisites:

Department Chair Signature

Department Chair Signature

Department Chair Signature

E. E1. Does this course duplicate any content in the current UNM Catalog? Yes ☐ No ☒

If yes, complete the following table:

Department Name	Duplicate Course	Department Chair	Chair Signature	Check One:
See attached investigation results.				AGREE TO DUPLICATE
				DISAGREE TO DUPLICATE
				AGREE TO DUPLICATE
				DISAGREE TO DUPLICATE
				AGREE TO DUPLICATE
				DISAGREE TO DUPLICATE

E2. Has this course been offered as a topic course? Yes ☐ No ☒

If yes, in which term(s), and to what average enrollment? _____

E3. Will this course replace a deleted course? Yes ☐ No ☒ If yes, which one? _____

If yes, and the deleted course is 100 or 200 level, has this change been discussed with all the branch campuses that offer this course? Yes ☐ No ☐

Provide a statement below or attach a memo explaining how this replacement will impact Branch campuses and programs.

F. F1. Justification for Graduate Credit: If the course is numbered outside of 500-699, indicate the nature of additional work to be required of graduate students.

n/a

F2. Justification for CR/NC or Alternative Grading Scale (include scale in justification):

n/a

G. G1. Budgetary and Faculty Load Implications. All new courses have such implications, even when replacing a deleted course.

a. Justification for offering the course:

This course is essential to provide M.L.S. students sufficient background in the U.S. legal system and the study of law to prepare them for upper-division law courses. First-year J.D. students learn the legal method, the common law tradition, case and statutory analysis, legal procedure, and the basic principles of the core areas of law: contracts, torts, criminal law, constitutional law, and civil procedure. This course will cover this material in condensed fashion so that M.L.S. students learn how to "think like a lawyer."

b. Impact on long-range planning for unit, school / college, and university:

This course will have minimal impact on long-range planning for the law school and UNM. It will have no impact on facilities, equipment, or technology needs, and minimal impact on faculty load, staff support, or program completion requirements.

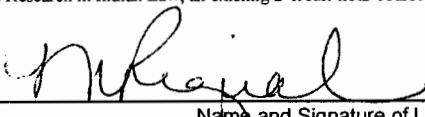
c. Budget and faculty load data:

This course will be taught each fall semester. Under a standard faculty load, this course will require 1/4 of the instructor's time over the academic year, equating budgetarily to 1/4 of the instructor's regular compensation.

G2. Relevant Library Impact Statement: Complete below or attach a signed memo.

The Law Library supports the Master of Legal Studies proposal and can provide the necessary library access, collections, and legal research training and support to meet the needs of the M.L.S. students and faculty. The Law Library's current collection and database license fees will meet the curricular and legal research requirements of M.L.S. students. No budget increase is needed. The reference desk will provide the same legal research assistance to M.L.S. students as is provided to J.D. students. M.L.S. students will receive basic legal research training as part of the Introduction to U.S. Law, Procedure, and Legal Education course. They will learn how to use free and low-cost methods to identify and retrieve relevant federal and New Mexico legislation and regulations as well as the case law interpreting them. They will also learn how to track pending legislation and regulations. Students concentrating in Indian Law may opt to take Specialized Legal Research in Indian Law, an existing 2-credit-hour course that familiarizes students with commonly used Indian law research resources.

School of Law Library
Name of Library


Name and Signature of Librarian

Michelle Rigual

H. Required Signatures:

Office	Signature	Date
1. Department Chair		
2. College or School Curricula Committee	Steven K. Homer	7.21.2014
3. College or School Faculty (if necessary)		
4. College or School Dean / Dean of Instruction	David J. Herring	7-22-2014
5. Office of Registrar—Catalog		
6. Director of Relevant Library	Inequal	7/23/14
7. FS Graduate Committee (graduate courses)	Judy H. Dieveld	10/17/14
8. FS Undergraduate Committee (undergraduate courses)	Carolyn Montoya	2/6/15
9. FS Curricula Committee		
10. Associate Provost for Academic Affairs		

After securing departmental approval, send this form and all attachments, **collated into 4 sets of documents**, to the Registrar's Catalog Office, which retains the original and returns copies to the department and college office.

For Registrar's Office Use ONLY (After approval by Faculty Senate Curricula Committee):

Entered in Banner _____ Entered in Catalog _____ Copies Mailed _____

Attributes: 1. _____ 2. _____ 3. _____ 4. _____

Grade Modes _____

H. Required Signatures:

Office	Signature	Date
1. Department Chair		
2. College or School Curricula Committee	<i>Steven K. Homer</i>	7.21.2014
3. College or School Faculty (if necessary)		
4. College or School Dean / Dean of Instruction	<i>David J. Henry</i>	7-22-2014
5. Office of Registrar—Catalog		
6. Director of Relevant Library	<i>Inesual</i>	7/23/14
7. FS Graduate Committee (graduate courses)	<i>C. Montoya</i>	2/7/15
8. FS Undergraduate Committee (undergraduate courses)		
9. FS Curricula Committee		
10. Associate Provost for Academic Affairs		

After securing departmental approval, send this form and all attachments, **collated into 4 sets of documents**, to the Registrar's Catalog Office, which retains the original and returns copies to the department and college office.

For Registrar's Office Use ONLY (After approval by Faculty Senate Curricula Committee):

Entered in Banner _____ Entered in Catalog _____ Copies Mailed _____

Attributes: 1. _____ 2. _____ 3. _____ 4. _____

Grade Modes _____

19.



Form B New Course Request for Master of Legal Studies Proposal

Catalog Listing

Introduction to U.S. Law, Procedure, and Legal Education

This course introduces students to the U.S. legal system and study of law. Topics: the legal method, the common law system, judicial case and statutory analyses, the legal profession, and core areas of the law.

Form B Law School MLS Intro Course Catalog Listing.docx

20.



Form B New Course Request for Master of Legal Studies Proposal

Syllabus and Bibliography

Introduction to U.S. Law, Procedure, and Legal Education

Instructor: Robert J. Desiderio

Office Location: Bratton Hall

Office Hours: One hour before and 2 hours
after class and by appointment

Class Meeting Day(s): TBD

Class Location/Room: Bratton Hall/
Room TBD

Email: desiderio@law.unm.edu

Office Phone: (505) 277-0557

Couse Credit: 4

Class Time: TBD

Term/Semester: Fall Semester

Course Description:

The objective of this new course is to provide Master of Legal Studies (M.L.S.) students with sufficient background in the U.S. legal system and the study of law so that they will be prepared to enroll in upper-division law courses. The topics that will be covered in the course include: the legal method, the common law system, judicial case and statutory analyses, the legal profession and core areas of the law, such as contracts, torts, criminal law, constitutional law, and civil procedure.

Course Objectives:

- Students learn how the legal system addresses issues of public concern.
- Students understand the process of how cases proceed through the judicial system.
- Students understand generally the legal profession and its role in society.
- Students learn the legal principles related to their areas of concentration.

Student Learning Outcomes:

- Students are able to analyze court opinions.
- Students are able to interpret statutes.
- Students learn the basic principles of the common law.

Textbooks:

Michael A. Berch, Rebecca White Berch, Ralph S. Spitzer and Jessica J. Berch, Introduction to Legal Methods and Process: Cases and Materials (5th ed. West 2010); Eva H Hanks, Michael E. Hertz, and Steven S. Nemerson, Elements of Law (Lexus Nexus 2010); William Burnham, Introduction to the Law and legal System of the United States (5th ed. West 2011).

Course Requirements:

Students will be evaluated based on participation in class discussion, periodic tests and final examinations.

Participation: After the first week's classes, during class meetings, students will be called upon to respond to questions and to discuss the materials under consideration. Students will also be expected to ask questions and comment on the issues before the class. 10 percent of each student's final grade for the course will be based upon the student's class participation.

Periodic Tests: At the end of third, sixth and ninth week, students will be tested on the prior three weeks' materials. The tests will reflect the content of the prior week's discussions. That is, the first test will quiz students on information about the jurisdictional matters, the second test will ask students to analyze a problem, and the third test will question students about the role of legislative administrative and judicial process. 30 percent of each student's final grade will come from the student's grade on each test.

Final Examination: The final examination will be an essay-type examination for which students will be required to analyze fact patterns that deal with substantive law matters discussed in weeks 10-14, in light of legal analysis and synthesis discussed in the prior weeks. 60 percent of each student's final grade will come from the student's performance on the final examination.

Grading:

Students will be graded according to the standards that apply to students in the university master degree programs and not the standards imposed by the law school.

The periodic tests and final grading will be graded blindly according to the law school's grading policies and procedures.

Attendance Policy:

Regular and punctual attendance is required as mandated by the law school pursuant to American Bar Association accreditation policies and the University. The attendance policy is found in the law school Student Handbook and the Pathfinder.

Accommodation Statement:

Accessibility Services (Mesa Vista Hall 2021, 277-3506) provides academic support of students who have disabilities. If you think you need alternative accessible formats for undertaking and completing coursework, you should contact this service right away to assure your needs are met in a timely manner. If you need local assistance in contacting Accessibility Services, see the Director, MLS degree at the law school.

Academic Integrity:

The University of New Mexico, and the law school, believe that academic honesty is a foundation principle for personal and academic development. All University policies regarding academic honesty apply to this course. Academic dishonesty includes, but is not limited to, cheating or copying, plagiarism (claiming credit for the words or work of another from any type of source such as print, internet or electronic database, or failing to cite the source), fabricating information or citations, facilitating acts of academic dishonesty by others, having unauthorized possession of examinations, submitting work of another person or work previously used without informing the instructor, or tampering with the academic work of other students. The law school's policy is contained in the law school Student Handbook, and the University's full statement on academic honesty and the consequences for failure to comply is available in the college catalog and in the Pathfinder.

Cell Phones and Technology:

As a matter of courtesy, please turn off cell phones, pagers, and other communication and entertainment devices prior to the beginning of class. Notify the instructors in advance if you are monitoring an emergency, for which cell phone ringers should be switched to vibrate. Policies concerning computers are found in the law school Student Handbook.

Library and Tutorial Services:

The law school provides many library services and support services. For those services, please contact the Director of the M.L.S. degree program.

Weekly Schedule of Topics:

- Week 1. Introduction to the study of law; role of the judiciary, legislative, and executive branches of government; state and federal decision-making.
- Week 2. Comparison of Common Law System and Civil Law System.
- Week 3. Statutory law, including analysis and interpretation.
- Week 4. Legal reasoning in case law, including the holding, or rule of the case, dicta and deductive reasoning.
- Week 5. Reading and briefing cases, including exercises requiring students to brief cases.
- Week 6. Analogical reasoning from prior cases; application of deductive and analogical reasoning.

- Week 7. The legislative process; administrative and regulation process.
- Week 8. The anatomy of a legal dispute from events leading to the controversy to a trial decision. Discussion of evidence, procedure, substantive law, and role of jury and judge.
- Week 9. The legal profession, including legal education, and the bar; admissions and affirmative action (from *Plessy v. Ferguson* to *Schuetz v. Coalition to Defend Affirmative Action*); the role of lawyers.
- Week 10. Introduction to Tort Law.
- Week 11. Introduction to Contract Law.
- Week 12. Introduction to Property Law.
- Week 13. Introduction to Criminal Law.
- Week 14. Introduction Constitutional Law.

The Schedule of Topics is subject to change. Minor changes will be announced in class; major changes will be announced in writing.

Form B Law School MLS Intro Course Syllabus & Bibliography.docx

21.



Form B New Course Request for Master of Legal Studies Proposal

Investigation of Course Duplication

Introduction to U.S. Law, Procedure, and Legal Education

Long Description: The objective of this new course is to provide M.L.S. students with sufficient background in the U.S. legal system and the study of law so that they will be prepared to enroll in upper-division law courses. The topics that will be covered in the course include: the legal method, the common law system, judicial case and statutory analyses, the legal profession and core areas of the law, such as contracts, torts, criminal law, constitutional law, and civil procedure.

There are several texts currently available directed principally to non-law students studying law including, Michael A. Berch, Rebecca White Berch, Ralph S. Spritzer and Jessica J Berch, *Introduction to Legal Methods and Process: Cases and Materials* (5th ed West 2d ed 2010); Eva H. Hanks, Michael E. Herz and Steven S. Nemerson, *Elements of Law* (LexisNexis 2010); William Burnham, *Introduction to the Law and Legal System of the United States* (5th ed West 2011).

35-Word Description: This course introduces students to the U.S. legal system and study of law. Topics: the legal method, the common law system, judicial case and statutory analyses, the legal profession, and core areas of the law.

The following steps were taken to investigate duplication:

1. Three keyword searches for "law," "legal," and "procedure" were conducted in the online UNM Catalog on May 8, 2014.
2. The results of each keyword search were reviewed to identify potentially duplicative courses. The catalog listings for the following graduate courses were reviewed but indicated no duplicate content with the proposed new course:
3. **"Law" results.** Law and Geography (GEOG 517), Law for Accountants (MGMT 559), and Crime, Law, and Social Control (SOC 516).
4. **"Legal" results.** American Legal History (HIST 638), Ethical, Social, Political and Legal Environment (MGMT 508), and Advanced Legal Topics for Managers (MGMT 654).
5. **"Procedure" results.** Tax Research, Procedure, Compliance and Practice (MGMT 547).

The vast majority of the search results were courses taught in the School of Law.



THE UNIVERSITY *of*
NEW MEXICO

Office of the Vice Provost for Academic Affairs
MSC05 3400
1 University of New Mexico
Albuquerque, NM 87131-0001
505.277.2611

Date: October 29, 2014

To: Kay Willerton, Associate Dean of Instruction, UNM-Los Alamos

From: Gregory L. Heileman, Associate Provost for Curriculum

Re: Pre-Approval for Associate of Applied Science in Public Safety

Cc: Chaouki Abdallah, Provost and EVP for Academic Affairs
Carolyn Montoya, Chair, Faculty Senate Curriculum Committee
Nancy Middlebrook, University Accreditation Director
Elizabeth Keefe, Chair, Faculty Senate Undergraduate Committee
Elizabeth Barton, Associate Registrar

Thank you for submitting the preliminary review outline for the Associate of Applied Science in Public Safety. In my judgment, the proposed Associate of Applied Science has been sufficiently well developed to warrant submission to the Faculty Senate Curricula Committee, please feel free to proceed.

UNM-Los Alamos
Associate of Applied Science in Public Safety
Preliminary Review and Proposal Outline
October, 2014

Executive Summary

1. Program Description

The Associate of Applied Science in Public Safety with Concentrations in Police Science, Emergency Management, and Homeland Security is a specialized degree program designed to build a workforce that is customized to the expected needs of Police departments, Emergency Management groups, and Homeland Security departments in Northern New Mexico and beyond. The program will provide training and formal education for those entering the areas of Police operations, Emergency Management operations, and Homeland Security operations, as well as current employees wishing to improve their chances for advancement.

Our students will receive excellent instruction and will learn the value of community service, as well as the value of using their own talents and skills to give back to society. The direct duty of those individuals who are eventually employed as police officers, emergency managers, or in the homeland security field will be the protection and safety of all citizens. These educational experiences will give each student an awareness of their place in society and thus will make them better citizens and ultimately better employees.

The Associate of Applied Science in Public Safety is a vocational program. We are working with the Los Alamos Police Department, Los Alamos National Lab Emergency Management division, and Los Alamos National Lab Security division. All of these groups recommend that we use the FEMA training modules as much as possible. This will result in a program that is both portable and transferable both within the state and nationally. These partnerships will give our students the skills that the regional potential employers are seeking in their new employees.

2. Evidence of Need

We have identified a three-prong need for this degree program. First, Officer Jordan Redmond, one of our advisory board members and a member of the Los Alamos Police Department, reports that the County of Los Alamos has implemented a new requirement for advancement within the Police Department. If an officer wishes to advance beyond his or her current position, he or she must have earned a post-secondary degree. According to Officer Redmond, most of the current officers do not possess post-secondary degrees. This degree program will meet the current need as well as a similar need for new hires, as well as giving the graduates of this program an advantage when seeking a first-time position with the department.

Secondly, in the increasingly dangerous world we live in, Los Alamos National Laboratory plays a key role. Marla Brooks, one of our advisory board members, states that the Security and the Emergency Management Divisions, are both expecting the need for homeland security and emergency management trained employees to increase. They are facing an aging workforce with expectations of losing many current employees to retirement in the next three to five years. This degree program will provide both divisions with potential employees.

Thirdly, UNM-Los Alamos in cooperation with Los Alamos High School have created the Los Alamos Early College and Career Academy. This Academy enrolls a cohort of about 20 freshmen students each year. During their four years of high school they will follow preparation for a career pathway, including Public Safety, by taking most of the courses needed for our Associate's degree in their junior and senior years, and then finishing the program the year after their high school graduation. This program is in its infancy, but we expect 5-10 students per cohort to choose Public Safety.

3. Program Content and Quality

A minimum of 60 credit hours must be earned to complete the Associate of Applied Science degree in Public Safety. There will be a general education core requirement for all students of 19 credit hours, 3 credit hours of Computer Science or Technology, 11 credit hours of technical courses, and 12 credit hours of technical electives. Then each of the three concentrations will be required to do 15 credit hours of specific technical courses (5 courses) which will apply only to that concentration. The curriculum included in this degree program consists of several groups of courses designed to enhance each student's academic capabilities. The required courses encourage intellectual development in several areas of study including writing and communication, mathematical reasoning,

UNM—Los Alamos
Associate of Applied Science in Public Safety

About the Program

The Associate of Applied Science in Public Safety with Concentrations in Police Science, Emergency Management, and Homeland Security is a specialized degree program designed to build a workforce that is customized to the expected needs of Police departments, Emergency Management groups, and Homeland Security departments in Northern New Mexico and beyond. The program will provide training and formal education for those entering the areas of Police operations, Emergency Management operations, and Homeland Security operations.

Specific Requirements

The student must earn a minimum of 60 credit hours with an overall GPA of 2.0 or higher; the student must earn a minimum grade of C (not C-) in each Technical course. At least 15 of these 60 hours must be earned in residence.

General Education (19 credit hours)

Writing and Speaking (6 credit hours)

ENGL 110: Accelerated Composition (3)

OR

ENGL 112: Composition II (3)

OR

ENGL 113 Enhanced Composition (3)

AND

CJ 130: Public Speaking (3)

Mathematics (3-4 credit hours)

MATH 121: College Algebra (3) AND MATH 107: Problems in College Algebra (1)

OR

STAT 145: Introduction to Statistics (3)

Social and Behavioral Sciences (6 credit hours)

SOC 101: Introduction to Sociology (3)

AND

PSY 105: General Psychology (3)

Physical and Natural Science (4 credit hours)

BIOL 123: Biology for Health Related Sciences and Non-majors (3) AND BIOL 124L Biology for Health Related Sciences and Non-majors Lab (1)

OR

CHEM 111: Elements of General Chemistry (4)* *Chemistry is required for the Emergency Management Concentration*

Computer Science or Computer Technology (3-4 credit hours)

CS 150L: Computing for Business Students (3)

OR

CT 102: Introduction to Microcomputers on the PC (4)

Technical Core Courses common to all concentrations (11 credit hours)

PBST 101: Introduction to Homeland Security (3)

PBST 102: Principals of Emergency Management (3)

PBST 105: Incident Command (3)

PENP 193 Topics: Physical Education for Public Safety Employees (2)

Technical Courses required for each concentration (15 credit hours for each concentration)

Police Science Concentration (15 credit hours)

CRJS 131: Criminal Justice and Community Relations (3)(UNM-Gallup course)

PBST 119: Traffic Accident Investigation (3)

CRJS 201: Criminal Law I (3) (UNM-Gallup course)

CRJS 221: Criminal Investigation (3) (UNM-Gallup course)

PBST 204: Constitutional Law for Public Safety Personnel (3)

Emergency Management Concentration (15 credit hours)

PBST 120: Emergency Management Planning (3)

PBST 121: Introduction to Security (3)

CJ 101L: Introduction to Communication (3)

PBST 220: Exercise Design and Evaluation (3)

PBST 221: Developing Volunteers (3)

Homeland Security Concentration (15 credit hours)

PBST 106: Terrorism and public safety (3)

PBST 131: Transportation Security (3)

PBST 230: Intelligence Analysis & Security Management (3)

PBST 204: Constitutional Law for Public Safety Personnel (3)

PBST 232: Homeland Security Risk, Threats, and Consequences (3)

Technical Electives (12 credit hours)

Choose 4 not already used for your degree. Please consult with your concentration advisor to determine which courses are most appropriate

PSY 271 Social Psychology (3)

SOC 205: Crime, Public Policy and the Criminal Justice system (3)

ENTC 204L: HAZWOPR Training (3)

IT 193: Topics in Cybersecurity (3)

PHIL 245: Professional Ethics (3)

PBST 106: Terrorism and public safety (3)

PBST 107: Social Impacts of Disaster (3)

PBST 108: National Infrastructure Protection/Critical Infrastructure Protection (3)

PBST 109: Public Safety Interview and Report Writing (3)

PBST 110: Basic Police Operations (3)

PBST 193: Topics in Public Safety (1-3) May be repeated for credit up to 6 credit hours

CRJS 234: Juvenile Law and Procedure (3) (UNM-Gallup Course)

Total required for the degree: 60 credit hours

and scientific methods in the physical sciences. A grade of C or better is required in all courses used to fulfill the requirements of the core curriculum and technical courses.

The proposed degree will include some already existing courses, including three currently being taught at the UNM–Gallup campus, as well as new technical courses which will be created. We have chosen the discipline code PBST (Public Safety) though, of course, this code has not been approved.

4. Evaluation and Assessment

The program's learning outcomes follow: Have relevant professional knowledge and skills in a broad range of areas related to effective and established technical practice; Possess the ability to communicate and collaborate effectively; Show awareness of social and professional responsibilities and act accordingly; Have a well-rounded technical background for entry into police, emergency management, or homeland security related fields. These will be assessed using course-embedded assessment including test item analysis, student survey, employer survey, graduate exit survey, and student self-assessment. Several methods will be developed to evaluate the degree to which our students are achieving the identified learning outcomes. We will employ direct and indirect methods to assess the program's learning outcomes. A comprehensive review of the program will be conducted in the third year of existence.

5. Required Resources

We anticipate that between 10 and 15 part-time faculty members, about 3-4 per year, over the course of 2 years, will be needed to teach one or two courses each during those two years. The qualifications of the faculty members necessary for general core curriculum delivery are Master's degree in their field of specialty, while for technical classes the faculty training may also be a Bachelor's or Associate's degree and public safety employment or experience. Existing library and tutor services will be used.

6. Projected Enrollment and Costs

Enrollment

Year	Projected Enrollment
1	Minimum 10 students
2	Minimum 20 students
Following years	Minimum 20 students

Budget

	Year 1	Year 2	Year 3
Program Coordinator	\$5000	\$5000	\$5000
Faculty	\$5,000	\$5000	\$5000
Student Outreach	\$1,000	\$1,000	\$1,000
Miscellaneous	\$750	\$750	\$750
Total Expenses	\$11,750	\$11,750	\$11,750

7. Additional Information

We have held several meetings over the last two years with an advisory board including members of the Los Alamos Police Department and Los Alamos National Laboratory. Some of the individuals involved with the committee have changed during those two years, but several have remained consistent. These individuals have helped create the courses and the program. Current outside members of the advisory committee are as follows:

Officer Jordan Redmond, Los Alamos Police Department

Officer Andrew Goldie, Los Alamos Police Department;

Marla Brooks, Los Alamos National Laboratory

UNM-Los Alamos
Associate of Applied Science in Public Safety
Preliminary Review and Proposal Outline
October, 2014

1. Program Description

a. What is the program and why should we offer it? Include the program's major goals.

The Associate of Applied Science in Public Safety with Concentrations in Police Science, Emergency Management, and Homeland Security is a specialized degree program designed to build a workforce that is customized to the expected needs of Police departments, Emergency Management groups, and Homeland Security departments in Northern New Mexico and beyond. The program will provide training and formal education for those entering the areas of Police operations, Emergency Management operations, and Homeland Security operations.

Students admitted to the program will be provided with quality training and career pathways in Public Safety.

The major program goals are to produce students who:

- Have relevant professional knowledge and skills in a broad range of areas related to effective and established technical practice.
- Possess the ability to communicate and collaborate effectively.
- Show awareness of social and professional responsibilities and act accordingly.
- Have a well-rounded technical background for entry into police, emergency management, or homeland security related fields.

b. How does the program fit within the branch's mission?

The mission of UNM-Los Alamos is *"Preparation for Transfer...Pathways for Careers...Passion for Lifelong Learning!* UNM-LA provides foundations for transfer, leading-edge career programs, and lifelong learning opportunities." The Associate of Applied Science in Public Safety program fulfills this mission by providing members of the community and Northern New Mexico educational opportunities leading to a career police science, emergency management, or homeland security related fields. The degree program offers quality training needed for individuals currently serving in the profession and as a preparatory program for those seeking employment as police officers, emergency management personnel or in homeland security services in local, regional, state or out of state departments.

c. How does the program fit within the UNM mission and strategic plan?

The mission of the University of New Mexico is to "serve as New Mexico's flagship institution of higher learning through demonstrated and growing excellence in **teaching, research, patient care, and community service.**" This program embraces the pursuit of excellence in both teaching and community service. One of the ongoing commitments of the university is to "actively support social, cultural, and economic development in our communities to enhance the quality of life for all New Mexicans." Our vision for this Associate of Applied Science degree program helps fulfill this commitment. Our students will receive excellent instruction and will learn the value of community service, as well as the value of using their own talents and skills to give back to society. The direct duty of those individuals who are eventually employed as police officers, emergency managers, or in the homeland security field will be the protection and safety of all citizens. These experiences will give each student an awareness of their place in society and thus will make them better citizens and ultimately better employees.

d. How does the program fit with related offerings at other UNM branch campuses?

UNM-Gallup has an AA degree in Criminal Justice Law Enforcement and UNM-Valencia has an AA in Criminology. None of the branches offer Emergency Management or Homeland Security, nor do they provide a police science degree such as the one we have developed.

- e. *If it is a vocational program, describe plans to involve potential employers in its development.*

The Associate of Applied Science in Public Safety is a vocational program. We are working with the Los Alamos Police Department, Los Alamos National Lab Emergency Management division, and Los Alamos National Lab Security division. All of these groups recommend that we use the FEMA training modules as much as possible. This will result in a program that is both portable and transferable both within the state and nationally. These partnerships will give our students the skills that the regional potential employers are seeking in their new employees.

If it is a transfer program, describe its intended articulation to UNM four-year programs. Does it contemplate articulation with institutions other than UNM? - provide any relevant articulation/transfer documentation such as articulation agreements.

Our program will initially be a terminal program.

- f. *Assuming timely approval, what is the program development and implementation timeline?*

The Associate of Applied Science in Public Safety program has a target start date of August 2015. Program development is mostly complete and will be concluded this fall pending preliminary approval.

2. Evidence of Need

- a. *Provide evidence of student demand.*

We have identified a three-prong need for this degree program. First, Officer Jordan Redmond, one of our advisory board members and a member of the Los Alamos Police Department, reports that the County of Los Alamos has implemented a new requirement for advancement within the Police Department. If an officer wishes to advance beyond his or her current position, he or she must have earned a post-secondary degree. According to Officer Redmond, most of the current officers do not possess post-secondary degrees. This degree program will meet the current need as well as a similar need for new hires, and hopefully give the graduates of this program an advantage when seeking a first-time position with the department.

Secondly, in the increasingly dangerous world we live in, Los Alamos National Laboratory plays a key role. Marla Brooks, one of our advisory board members, states that the Security Division and the Emergency Management Division, are both expecting the need for homeland security and emergency management trained employees to increase. They are facing an aging workforce with expectations of losing many current employees to retirement in the next three to five years. This degree program will provide both divisions with potential employees.

Thirdly, UNM-Los Alamos and Los Alamos High School in cooperation have created the Los Alamos Early College and Career Academy. This Academy enrolls a cohort of about 20 freshmen students each year. During their four years of high school they will follow preparation for a career pathway, including Public Safety, taking most of the courses needed for our Associate's degree in their junior and senior years, finishing the program the year after their high school graduation. This program is in its infancy, but we expect 5-10 students per cohort to choose Public Safety.

- b. *Indicate how you plan to recruit students.*

We are planning an aggressive advertising campaign to include fliers and brochures describing the details of the program such as curriculum, application procedures, and deadlines. The Los Alamos Police Department will

advertise to their employees, LANL will advertise to its employees, and we are currently working with the Los Alamos Public schools to create an advertising campaign and career pathway to their students who are interested in this type of career through and Early College Program. UNM-Los Alamos student advisors and recruiters will distribute advertisement fliers to other area schools during their regular recruitment period. Similar fliers will be posted on campus and on bulletin boards in our area.

Program information will be provided on the UNM-Los Alamos main web page with several links to additional resources. We also intend to include information about the program on the YouTube page associated with UNM-Los Alamos.

Our program will also be advertised in the UNM main campus newspaper, which has distribution in the entire UNM complex of branches. The Associate of Applied Science in Public Safety program with its three concentrations will also target other youth organizations operating in the area, which provide career development and advisement.

- c. *How does the program fit with similar and related offerings in the state and region? - show it does not duplicate existing programs in the market.*

The Associate of Applied Science in Public Safety program with its three concentrations is the only program of its kind in the state. There are 3 other public safety-related degree programs in the Northern half of New Mexico, but our focus is unique. Other programs focus on either criminal justice or patrol officer training. Our proposed program is broader to include more aspects of public safety with concentrations in police science, emergency management, and homeland security. The police science concentration of our proposed program will focus on the broad issues of police science and advancement within a department rather than the fundamentals of being a patrol officer. The geographically closest institution offering a public safety-related degree program is Northern New Mexico College which offers an AA in Criminal Justice and an AAS in Police Science with emphasis on patrol officers, but they do not have either Homeland Security or Emergency Management. Two other institutions in Northern New Mexico offer degrees in public safety related fields including, Santa Fe Community College and Luna Community College, both of which have an AA in Criminal Justice. Our program has been designed specifically to meet the needs of our community.

The Associate of Applied Science in Public Safety program is being developed based on expected labor market needs in the region. To be consistent with current regional needs for a more highly skilled workforce with a background in these three areas and basic employability skills, the degree program will be developed and delivered in a cohort model. The local police department and Los Alamos National Laboratory have agreed to help provide qualified instructors in the program.

Provide evidence of demand for program graduates.

Our recent assessment of the labor market in Northern New Mexico reflects a continual and growing need for police officers as well as emergency management and security personnel with post-secondary education. Our program will create a pool of qualified and productive individuals from which departments could draw for entry level employees.

In addition, there is a growing trend in the local markets to require post-secondary education for any of its employees to advance in their careers. This degree program, developed in conjunction with the local police department and LANL divisions, will provide this opportunity to current employees.

1. *Include in-depth needs assessment. Department of Labor statistics or surveys of likely employers are potential mechanisms.*

In the next five to ten years the job-creation ratio in the fields of public safety in New Mexico is expected to increase, growing more quickly than other occupations. These statistics are difficult to find due to the relative new employment titles involved. However, current statistical figures published by state and federal agencies, such as NM Department of Labor and the U.S Bureau of Labor Statistics, indicate a 9.4% growth in Protective Service occupations in the Santa Fe and Northern New Mexico regions between 2013 and 2022. Estimates are for a 30.3% growth rate for Security Guards and supervisors in the region, with varying individual job titles in contrast, there is a 5% estimated growth nationally for such positions jobs. Emergency Management specialists are not listed separately in the DOL labor statistics. The New Mexico Workforce Solutions site states that no programs were found in the state to train Emergency Management Specialists. Employers in the county of Los Alamos including the LA Police Department and Los Alamos National Laboratory will give the advantage to potential employees with post-secondary degrees when compared to high school graduates without a post-secondary degree. According to the US Department of Labor, beginning salaries for Public Safety employees range from \$35,600 to \$76,350.

2. *Discuss the program's relationship to workforce development.*

As previously stated, national, state, and regional labor statistics suggest the need for training potential workers through education in these areas. The existence of a degree program designed to prepare students in this area would benefit the development of a pipeline of potential employees as well as meeting the retraining needs of the current workforce. This pipeline will ensure the availability of better educated and skilled students and potential employees that will ultimately benefit the regional economy.

UNM-Los Alamos, along with the local police department and two divisions of LANL, have identified a need for a general education that is standard in the Public Safety area in order to ensure common levels of rigor upon which future technical training can be based.

Program Content and Quality

- a. *Describe the curriculum. Discuss any new courses and the impact of the curriculum on existing courses, including courses in other departments. Draft catalog copy is required.*

A minimum of 60 credit hours must be earned to complete the Associate of Applied Science degree in Public Safety. The curriculum included in this degree program consists of several groups of courses designed to enhance each student's academic capabilities. The required courses encourage intellectual development in several areas of study to include writing and communication, mathematical reasoning, and scientific methods in the physical sciences. A grade of C or better is required in all courses used to fulfill the requirements of the core curriculum and technical courses.

The proposed degree will require some already existing courses as well as technical courses which will be created. We have chosen the discipline code PBST (Public Safety) though, of course, this code has not been approved. The proposed catalog copy follows.

UNM–Los Alamos Associate of Applied Science in Public Safety

General Education (19 credit hours)

Communication (6 credit hours)

ENGL 110: Accelerated Composition (3)

OR
ENGL 113 Enhanced Composition (3)

AND
CJ 130: Public Speaking (3)

Mathematics (3-4 credit hours)

MATH 121: College Algebra (3) AND MATH 107: Problems in College Algebra (1)

OR
STAT 145: Introduction to Statistics (3)

Social and Behavioral Sciences (6 credit hours)

SOC 101: Introduction to Sociology (3)

AND
PSY 105: General Psychology (3)

Science (4 credit hours)

BIOL 123: Biology for Health Related Sciences and Non-majors (3) AND BIOL 124L Biology for Health Related Sciences and Non-majors Lab (1)

OR
CHEM 111: Elements of General Chemistry (4)* *Chemistry is required for the Emergency Management Concentration*

Computer Science or Technology (3-4 credit hours)

CS 150L: Computing for Business Students (3)

OR
CT 102: Introduction to Microcomputers on the PC (4)

Technical Courses common to all concentrations (11 credit hours)

PBST 101: Introduction to Homeland Security (3)

PBST 102: Principals of Emergency Management (3)

PBST 105: Incident Command (3)

PENP 193 Topics: Physical Education for Public Safety Employees (2)

Required Technical Courses for each concentration (15 credit hours for each concentration)

Police Science Concentration (15 credit hours)

PBST 111: Law Enforcement and the Community (3)

PBST 119: Traffic Accident Investigation (3)

PBST 201: Introduction to Criminal Investigation (3)

PBST 202: Introduction to Criminal Law (3)

PBST 204: Constitutional Law for Public Safety Personnel (3)

Emergency Management Concentration (15 credit hours)

PBST 120: Emergency Management Planning (3)

PBST 121: Introduction to Security (3)

PBST 122: Effective Communication (3)

PBST 220: Exercise Design and Evaluation (3)

PBST 221: Developing Volunteers (3)

Homeland Security Concentration (15 credit hours)

PBST 106: Terrorism and public safety (3)
PBST 131: Transportation Security (3)
PBST 204: Constitutional Law for Public Safety Personnel (3)
PBST 230: Intelligence Analysis & Security Management (3)
PBST 232: Homeland Security Risk, Threats, and Consequences (3)

Technical Electives (12 credit hours)

Choose 4 courses not already used for your degree. Please consult with your concentration advisor to determine which courses are most appropriate.

PSY 271: Social Psychology (3)
SOC 205: Crime, Public Policy and the Criminal Justice system (3)
ENTC 204L: HAZWOPR Training (3)
IT 193: Topics in Cybersecurity (3)
PHIL 245: Professional Ethics (3)
PBST 106: Terrorism and public safety (3)
PBST 107: Social Impacts of Disaster (3)
PBST 108: National Infrastructure Protection/Critical Infrastructure Protection (3)
PBST 109: Technical Writing/Report Writing (3)
PBST 110: Basic Police Operations (3)
PBST 193: Topics in Public Safety (1-3) May be repeated for credit up to 6 credit hours
PBST 203: Juvenile Justice System (3)

Total required for the degree: 60 credit hours

- b. *What are the expected student learning outcomes for the program? What will the students know and what will they be able to do when they complete this program?*

Graduates of the program will be able to:

- Write clearly and communicate effectively;
- Demonstrate a knowledge of contemporary issues affecting national state, and local public safety;
- Demonstrate problem solving skills and be able to use these in an emergency situation;
- Demonstrate an understanding of operational requirements, social and economic impact, and legislative consequences of Public Safety work;
- Discuss the complex issues of diversity and ethics and their impact on the responsibilities of professionals in Public Safety.

- c. *What instructional model(s) will be used to deliver the program?*

The instructional model to be used to deliver the Associate of Applied Science in Public Safety program will focus on learning technical skills that students could immediately apply in the workplace. The major features of this instructional model include:

- Online learning
- Lectures
- Demonstrations
- Hands-on training

d. *If applicable, describe any accreditation issues, including the following:*

a. *Will accreditation be sought for the program? If so, describe the process and the expenses involved.*

We will pursue having our program listed as an approved program with FEMA. There will be no cost associated with this process.

b. *How does the program affect any existing accreditation and licensure requirements?*

The curriculum will include some of the FEMA training modules that are required for Public Safety areas of employment, giving potential employees an advantage in job hunting and required training after being hired.

Evaluation and Assessment

a. *How will the program's learning outcomes be measured?*

The program's learning outcomes will be assessed using course-embedded assessment including test item analysis, student survey, employer survey, graduate exit survey, and student self-assessment. Several methods will be developed to evaluate the degree to which our students are achieving the identified learning outcomes. We will employ direct and indirect methods to assess the program's learning outcomes.

The overall assessment method will measure multiple learning components to determine patterns and to identify low and high performing areas for added analysis and interpretation. The program will also have in place a process of incorporating relevant data to regularly assess educational objectives and program outcomes. This documented process will constitute a mechanism to evaluate the extent to which program objectives are met.

b. *What other measures to evaluate program effectiveness are contemplated?*

Additional methods considered to measure program effectiveness will include monitoring enrollment trends, employer satisfaction surveys, exit interviews, and retention and employment placement data.

c. *A plan for learning outcomes assessment at the course and program level is required.*

The Associate of Applied Science in Public Safety assessment plan is summarized in the table below.

Outcome	Measurement Method/Course	Responsibility	Schedule for Data Collection	Schedule for review and change
1. Write clearly and communicate effectively	ENGL 110 or 113/rubric	Appropriate Faculty reporting to Program Coordinator	Annually	Summer
	CJ 130/Rubric PBST 122/Rubric			
2. Demonstrate a knowledge of contemporary issues affecting national state, and local public safety	PBST 101/rubric	Public Safety Coordinator	Annually	Summer
	PBST 102/rubric			
3. Demonstrate problem solving skills and be able to use these in an emergency situation	PBST 105/rubric	Faculty	Annually	Summer

4. Demonstrate an understanding of operational requirements, social and economic impact, and legislative consequences of Public Safety work	PBST 204, PBST 221, PBST 232/rubric	Faculty	Annually	Summer
5. Discuss the complex issues of diversity and ethics and their impact on the responsibilities of professionals in Public Safety	PBST 111, PBST 231/rubric	Faculty	Annually	Summer
	Exit survey	Program Chair		

Each of the PBST (Public Safety) courses will be assessed using embedded assessment within the course and reported to the Applied Science Program Coordinator on a semester basis. Learning outcomes will be developed with the syllabus.

Our proposed learning outcomes assessment process is:

1. Assessment Tool is administered.
2. Data is Collected and reported to Program Coordinator.
3. Data is analyzed using appropriate software and results reported via website and annual reports.
4. Meetings are called with all faculty annually.
5. A meeting with the advisory board will be called annually.
6. Results are discussed in the meeting, problems are identified, and corrective actions are formulated.
7. Corrective actions are implemented during the next academic year.
8. Reassessment occurs.

At the program level, the following is our plan for learning outcomes assessment:

- Refine student learning outcomes;
- Connect learning outcomes to curriculum with help of program coordinator, fire department and faculty;
- Identify assessment tools or methods and include implementation timeline and rubrics;
- Analyze, interpret, report, and communicate findings;
- Use findings for program improvement and decision making.

3. Required Resources

a. *How many faculty are necessary for program delivery and what are their qualifications?*

We anticipate that between 10 and 15 part-time faculty members will be needed to teach one or two courses each. The qualifications of the faculty members necessary for general core curriculum delivery are Master's degree in their field of specialty, while for technical classes the faculty training may also be a Bachelor's or Associate's degree and public safety employment or experience.

b. *How will this program affect the workload of current faculty and support staff?*

The general core academic requirements for our program in Public Safety coincide with all Associate degree programs at UNM-Los Alamos. During the first year into this program, there will not be any additional workload demand on our current faculty since general core classes are offered each semester at UNM-LA. Once the program has reliable enrollment, we will continually evaluate the need for hiring new faculty to teach additional sections of core classes.

c. Will additional faculty or staff be required? What is the cost?

We anticipate that a minimum number of part-time faculty members will need to be employed and only for the technical core and the specialized technical classes offered in the program. Six to eight additional part-time faculty members will be needed to teach some of the technical core and customized technical classes not already covered by existing instructors, but not every semester. The cost associated with the acquisition of additional faculty members will be approximately \$650 per credit hour and will depend on the number of courses offered each semester (or each year.) The cost should be recovered through tuition and state funding. We will also need to hire a Program Chair to oversee the program by completing tasks such as staffing the courses, managing cohorts, and scheduling classes. We expect this cost to be about \$5000 per year.

What faculty and staff development services will be needed? What technology, media, equipment, and instructional supplies are needed to support the program's intended outcomes? Are these resources available? What is the estimated cost?

At this time, there is no other need for faculty and staff development services. All faculty and equipment will be provided through our agreement with the Los Alamos Fire Department.

d. Are there any needs for additional or renovated space?

Courses will be developed to be taught online as well as in traditional classrooms with field trips to the local employers when possible. No additional equipment or space will be needed at UNM-LA

e. What student support services are likely to be needed and to what extent (tutoring, library, ITS, advising, etc.)? What is the estimated cost?

The Los Alamos branch campus of UNM mirrors all of the same student support services that are available to main campus students. UNM-LA Academic Support Center maintains a qualified group of tutors who offer assistance in math, English, chemistry, and other subject areas depending upon the expertise of the tutors. The library facility offers a comfortable learning environment, which includes a variety of study spaces and ten public computer workstations. Our computer facilities, available for students to use outside of the classrooms, support Mac, Windows, and Linux platforms. All computer workstations on campus are connected to the campus local area network, providing internet access through a connection to UNM-Albuquerque. Academic advisors are available to assist students achieve their educational goals. The team of advisors can provide information on a variety of academic, administrative, and placement topics.

We do not see the need to improve any of the student services we provide at this time. As a result, we do not anticipate any additional costs related to improving these services.

f. What student support will be needed (scholarships, student employment, work study, internships, etc.)?

No new institutional support will be needed.

g. Provide a rationale for any course fees or other expenses (in addition to tuition) that students will be expected to cover.

Currently, there are no expected course fees required in the Associate of Applied Science in Public Safety except for existing courses that already have a fee associated. If we determine at a later date that expendable materials are needed for a particular class, the course fee form will be completed and submitted.

4. Projected Enrollment and Costs

a. *Provide a three-year projection of enrollments and program costs.*

i. *Provide a detailed table of enrollment projections*

The following table represents our projected enrollment figures for the program.

Year	Projected Enrollment
1	Minimum 10 students
2	Minimum 20 students
Following years	Minimum 20 students

ii. *Provide a program budget.*

In order to successfully implement this program, UNM-Los Alamos received support from LAPD in terms of curriculum development and potential adjunct faculty members. Other associated costs would include salaries for the program coordinator(s) and stipends for faculty members teaching in the program.

The following table provides a three-year projection of the program's estimated budget.

	Year 1	Year 2	Year 3
Program Coordinator	\$5000	\$5000	\$5000
Faculty	\$5,000	\$5000	\$5000
Student Outreach	\$1,000	\$1,000	\$1,000
Miscellaneous	\$750	\$750	\$750
Total Expenses	\$11,750	\$11,750	\$11,750

b. *If applicable, describe anticipated sources of new revenue required for the program.*

Currently we plan for the existing department chair in Applied Science to act as the program coordinator until it becomes self-sustaining, so there will be no additional cost. Cost of faculty will be the main expense to UNM-Los Alamos. Additional faculty is estimated to cost approximately \$5000 per year. We expect the cost to UNM-LA to be approximately \$6750 per year, with most of this cost being recovered through tuition and state funding.

5. Additional Information

a. *Provide any additional information needed to make the case for development of full proposal. Provide any additional information to support the request for the proposed degree program.*

6. Attachments

- Department of Labor documentation, if applicable. (attached)
- Formal needs assessment.
- List of similar programs (state and regional) (included)
- List of potential employers. (attached)
- List of advisory committee or board members, if applicable. Minutes of advisory committee or board meetings, if applicable. (attached)
- Letters of support from external partners or stakeholders. (These have been requested and the parties agreed to provide them. However, we have not yet received them.)
- If applicable, letters of support from related UNM programs from other campuses.

US Bureau of Labor Statistics

May 2013 Metropolitan and Nonmetropolitan Area Occupational Employment and Wage Estimates

Santa Fe, NM

33-0000	Protective Service Occupations	major	1,930	9.4%	32.706	1.33	\$14.41	\$16.60	\$34,530	4.8%
33-1012	First-Line Supervisors of Police and Detectives	detail	40	3.1%	0.599	0.78	\$34.69	\$35.41	\$73,650	3.0%
33-1099	First-Line Supervisors of Protective Service Workers, All Other	detail	60	10.1%	1.075	2.21	\$23.64	\$24.90	\$51,790	3.5%
33-3021	Detectives and Criminal Investigators	detail	70	0.0%	1.190	1.44	\$24.31	\$25.81	\$53,690	2.2%
33-3051	Police and Sheriff's Patrol Officers	detail	240	2.2%	4.121	0.86	\$24.26	\$24.35	\$50,640	1.9%
33-9032	Security Guards	detail	580	30.3%	9.842	1.22	\$10.71	\$11.27	\$23,440	3.5%
33-9092	Lifeguards, Ski Patrol, and Other Recreational Protective Service Workers	detail	90	4.2%	1.500	1.52	(8)	(8)	(8)	(8)
33-9099	Protective Service Workers, All Other	detail	60	6.8%	1.094	1.51	\$15.26	\$15.89	\$33,050	1.9%

May 2013 Metropolitan and Nonmetropolitan Area Occupational Employment and Wage Estimates

North and West Central New Mexico nonmetropolitan area

33-0000	Protective Service Occupations	major	2,100	6.5%	33.651	1.37	\$14.64	\$15.98	\$33,230	2.9%
33-1012	First-Line Supervisors of Police and Detectives	detail	60	5.7%	0.944	1.24	\$25.38	\$25.03	\$52,070	2.7%
33-1099	First-Line Supervisors of Protective Service Workers, All Other	detail	60	13.3%	0.962	1.98	\$20.29	\$21.00	\$43,680	5.2%
33-3021	Detectives and Criminal Investigators	detail	30	35.0%	0.509	0.61	\$20.72	\$27.57	\$57,340	9.2%
33-3051	Police and Sheriff's Patrol Officers	detail	340	17.2%	5.523	1.15	\$19.15	\$20.00	\$41,600	2.5%
33-9032	Security Guards	detail	470	19.4%	7.507	0.93	\$10.83	\$11.69	\$24,320	5.6%
33-	Lifeguards, Ski Patrol, and Other Recreational Protective	detail	80	2.0%	1.211	1.23	\$9.31	\$10.69	\$22,240	1.8%

9092	Service Workers										
33-9099	Protective Service Workers, All Other	detail	40	2.5%	0.692	0.95	\$18.21	\$20.17	\$41,950	3.2%	

Similar Programs

Northern New Mexico College

AA in Criminal Justice

AAS in Police Science (emphasis on Patrol officer)

Santa Fe Community College

AA in Criminal Justice

AAS in Criminal Justice

Luna Community College

AA in Criminal Justice

UNM–Gallup (Western New Mexico)

AA in Criminal Justice Law Enforcement

UNMValencia

AA in Criminology

Dona Ana Community College (Southern New Mexico)

AAS in Law Enforcement

Please note that there is no other degree in the region in either Homeland Security or Emergency Management, which is of great concern to Los Alamos National Lab and the Los Alamos Police Department.

Potential Employers

- Los Alamos National Laboratory
- Los Alamos Police Department
- Regional police departments
- Private Security businesses
- Federal Government (Homeland Security)
- State Police
- City, state, national Emergency Operations departments
- Airport, border, and seaport security operations
- Disaster recovery departments of state, local and private companies

Advisory Board

Current Outside Members:

Officer Jordan Redmond, Los Alamos Police Department

Officer Andrew Goldie, Los Alamos Police Department

Marla Brooks, Los Alamos National Laboratory

Minutes of meetings

Minutes for Public Safety/Criminal Justice Program

09/21/2012

Meeting in Rm 220

Those present: Kay Willerton, Barbara Yarnell, Kate Massengale of UNM-LA

Marla Brooks and Wilfred Martinez of LANL Emergency Response Group

The first meeting to talk about creating a terminal AAS degree in Public Safety/Emergency Management.

We talked with Marla and Wilfred about General Education core requirements and specialized course requirements for the degree. Brainstormed about what specific courses the group thought important. Writing, Public Speaking, Physical Fitness, Chemistry, Behavioral Psychology and Computer Technology are some of the Gen Ed classes Marla and Wilfred thought important. Some of the specialized classes talked about were Terrorism, Incidental Command, Hazard Mitigation and Recognition and Emergency Planning.

We also talked about the need for this degree program at UNM-LA. Marketing and Promotion of the degree are very important. UNM-LA would work with LANL and Los Alamos County Police Department to inform the community of the new degree.

At the conclusion of the meeting, we planned on working on the curriculum. Barb and Kay would work on the general education requirements and Marla and Wilfred would work on courses specific to Emergency Management. Long range plan is to create a new degree program at UNM-LA. We are still working on the right title for this degree.

Respectfully submitted,

Barbara Yarnell

02/21/2013

Those present: Kay Willerton, Barbara Yarnell, Officer Jordan Redmond, Captain Randy Foster and Captain Scott Mills.

UNM-LA and the Los Alamos County Police Department met to talk about the need for a new degree program in Public Safety/ Emergency Management. Within the LA Police Department there are incentive pay upgrades for higher education. Scott, Randy and Jordan all felt there was a need for UNM-LA to offer an AAS degree in Public Safety/ Emergency Management and Police Science.

We talked about specific specialized classes the police department would like to see offered in the degree curriculum. A few of the classes mentioned were Report Writing, Constitutional Law, NM Law, Criminology, Police Ethics, and Report Writing.

We looked at and talked about various Public Safety/ Criminal Justice Programs offered in New Mexico. Jordan bought along the FEMA course program which we discussed.

At the end of the meeting we agreed to work on a curriculum for the degree. Jordan and Randy would email Kay with their suggestions of classes which should be included in the program. We also agreed that it was important to have both the Police Department and LANL Emergency Management meet together and discuss the program.

Respectfully submitted by Barbara Yarnell

05/15/2014

Those present: Jordan Redmond LACPD, Joe Candelaria LAFD, Kay Willerton and Barbara Yarnell UNM-LA.

We talked with Joe Candelaria about his work on creating the Fire Science and EMS degree Programs for UNM-LA. He spoke about the need for someone to commit to run the program and to figure out how to get students excited and enrolled in the program. We discussed offering some of the courses as dual credit courses with the LA Public Schools. Joe and Jordan looked at the EMS/Fire Science program to see how many could be used for both programs.

Jordan agreed to flesh out the electives for a Criminal Justice program. Joe would look into 1st Responder. Kay will send Jordan syllabi samples for Emergency Preparedness. Kay will also look into whether or not the AAS degree could also be a transfer degree towards a bachelor's degree. Kay and Jordan talked about what text books are good. There was also discussion on what the title of the Program should be.

Respectfully submitted,

Barbara Yarnell

09/11/14

Those Present: Kay Willerton, Cindy Rooney, Barbara Yarnell UNM-LA, Marla Brooks LANL, Jordan Redmond and Drew Goldie LACPD.

We talked about what will make a successful Program. What are LANL needs and what are the LACPD county needs? What combination of courses will work best for both the Lab and the Police Department? After much discussion it was decided that the AAS Degree would be called Public Safety and that there would be 3 concentrations: 1. Police Science 2. Emergency Management and 3. Security.

It was agreed that Marla, Jordan and Drew would work on specific concentration courses and Kay would work on General Education requirements and combine them into each concentration. Marla will also talk with the Security Division at the Lab and give us some contact information. Barbara Yarnell will contact them.

The deadline for submission for a new degree program is October 15th. Marla, Jordan and Drew and a security person will send their curriculum suggestions to Kay by September 26th. They will also send in letters of support of the program to Kay ASAP.

Respectfully submitted

Barbara Yarnell



LOS ALAMOS POLICE DEPARTMENT

DINO SGAMBELLONE, Chief of Police

2500 TRINITY DRIVE, SUITE A • LOS ALAMOS, NM 87544 • T (505) 662-8226, F (505) 662-8287

To whom it may concern:

I would like to thank you for taking the time to review this new and innovative program that our local higher education source is hoping to offer. I know many hours of hard work have been put into the design of this program by UNM-LA employees and officers of Los Alamos Police Department as well.

The idea for this program began nearly two years ago when one of my officers brought together a few of the command staff officers and UNM-LA educational leaders to discuss the need for a local higher educational opportunity for officers and those interested in entering our field of work. During these past two years, not only has the design concept transformed into a very exciting program, but we have also gained support for this program with our local high school who is working collaboratively with UNM-LA to design an Early College Program as well.

I want to show just how important higher education is to my department and my officers. Half of the adult workers in this town are employed by the Los Alamos National Laboratory, and the majority of those employees have higher degrees. These employees, and the other citizens of our community, expect a professional and educated police force. Because of this, nearly 90% of the department supervisors have higher degrees. We also have three officers who are not in the command staff who have their master's degrees. Our newly updated job descriptions require that all future promotions to supervisory positions will require at least an Associate's degree for front line supervisors and a Bachelor's degree for command staff. A local higher educational opportunity is certainly a need for officers who wish to be promoted.

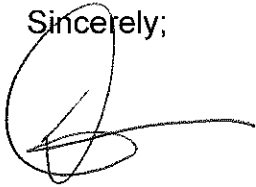
This is not a unique need in our department. Within regular commute distance for our region, there are no less than four other agencies employing approximately 200 law enforcement officers. Each of these departments either requires a college degree for promotion or offer educational incentives.

Maintaining staffing levels for law enforcement officers can often be challenging. I believe that the offering of a degree through UNM-LA will not only be a benefit to my

officers, but also to other law enforcement officers in the area and individuals who desire to become law enforcement officers. Our department alone typically hires new officers every nine to twelve months just to keep up with retirements and officers leaving the area. Other agencies experience similar continual recruiting patterns.

I wholeheartedly support the development of a Public Safety degree program with a concentration in Law Enforcement here in Los Alamos. I believe it will offer an exciting educational opportunity for our local young adults, our department employees, and other area law enforcement officers as well. Thank you for considering this program.

Sincerely;

A handwritten signature in black ink, consisting of a large, stylized 'D' followed by a horizontal line extending to the right.

Dino Sgambellone

Chief of Police

**UNM-Los Alamos
New Degree Program Proposal**

Associate of Applied Science in Public Safety

The UNM-Los Alamos Curriculum Committee has approved the proposed new program above.



October 31, 2014

Dennis Davies-Wilson, Chair

Date

Memorandum

To: Dr. Cindy Rooney, Dean of Instruction
From: Dennis Davies-Wilson, Library Director
Date: October 27, 2014
Re: Library support for proposed AAS Program in Public Safety Form C

The library currently has access to approximately 248 e-books about public safety; however, the library currently does not collect print resources in support of public safety. Anticipating that there will be somewhat of a need for a small collection of print resources, an initial amount of \$3,000.00 would be needed to provide a small core collection in support of this program. This amount will provide approximately 42 items at an average cost of \$70.00 per book.

Tab 16

materials will be provided at the meeting

B2: Faculty Ranks and Titles

(Excerpts on Professor of Practice)

Policy

2.3.14 Professor of Practice

This title may be used to appoint individuals in the School of Architecture and Planning and the College of Fine Arts who have achieved distinction in practice, and who may benefit a professional program at the university by the integration of professional practice with teaching.

Specific titles will be granted with respect to the applicable professional program, "Professor of Practice in ____". Those holding these appointments will not have voting privileges except as described in Article II, Section 2 of the Faculty Constitution. Professors of Practice are not eligible for tenure.

The faculty of each School or College must approve the establishment of these positions. The School or College faculty will develop and adopt criteria for the appointment and reappointment consistent and parallel with faculty at the rank of full Professor, establishing specific guidelines and procedures for awarding these titles and subsequent performance review, including issues of service and teaching.

These appointments may be either full-time or fractional, i.e., less than 100%, when actively engaged in practice. Initial appointments may be granted for a term up to three years, with the approval of faculty within the academic department. Appointments may be renewed in terms of up to three years with the approval of faculty within the academic unit or department.

Full and part-time appointments of those designated Professors of Practice shall not exceed 10% of the FTE tenure/tenure-track faculty of each School or College. These positions may not be created from funding of vacated tenure/tenure-track positions in the professional program.

B3: Faculty Appointments and Contracts

(Excerpts on Professor of Practice)

Policy

3.4.4 Professor of Practice

Professors of Practice are chosen by academic units or departments in School of Architecture and Planning and the College of Fine Arts, with approval of the dean, and serve renewable terms of three years.



2.3.14 Professor of Practice

This title may be used to appoint individuals ~~in the School of Architecture and Planning and the College of Fine Arts~~ who have achieved substantial national and/or international distinction in practice, who have had a major impact on fields important to the mission of the University, and who may benefit a ~~professional~~ program at the ~~university~~ University by the integration of professional practice within its teaching and research missions~~with teaching~~. Appointment as Professor of Practice should only be made in exceptional circumstances to meet demonstrable need that cannot be met by regular faculty appointments. The Professor of Practice shall not be a substitute for regular faculty nor shall appointment as Professor of Practice be made as a matter of courtesy.

Specific titles will be granted with respect to the applicable ~~professional~~ program, "Professor of Practice in ____". Those holding these appointments will not have voting privileges except as described in Article II, Section 2 of the Faculty Constitution. Professors of Practice are not eligible for tenure.

The faculty of each School or College must approve the establishment of these positions. The School or College faculty will develop and adopt criteria for the appointment and reappointment consistent and parallel with faculty at the rank of full Professor, establishing specific guidelines and procedures for awarding these titles and subsequent performance review, including issues of service and teaching.

~~These appointments may be either full-time or fractional, i.e., less than 100%, when actively engaged in practice. Initial appointments may be granted for a term up to three years, with the approval of faculty within the academic department. Appointments may be renewed in terms of up to three years with the approval of faculty within the academic unit or department.~~

Full and part-time appointments of those designated Professors of Practice shall not exceed 10% of the FTE tenure/tenure-track faculty of each department, or in the absence thereof, each School or College. For a department of less than 10 FTE tenure/tenure-track faculty, no more than one Professor of Practice shall be appointed. ~~These positions may not be created from funding of vacated tenure/tenure-track positions in the professional program.~~

3.4.4 Professor of Practice

~~Professors of Practice are chosen by academic units or departments in School of Architecture and Planning and the College of Fine Arts, with approval of the dean, and serve renewable terms of three years.~~

Professors of Practice are chosen by department, school, or college, with approval of the dean, and serve renewable terms of three years. Initial appointments may be granted for a term up to three years, with the approval of faculty within the department, school, or college. Appointments may be renewed in terms of up to three years with the approval of faculty within the department, school, or college. These appointments may be either full-time or fractional (i.e., less than 100%) when the individual appointed is actively engaged in practice. Faculty involvement in the decision to appoint the Professor of Practice should be identical to the procedures used in all faculty hires within department, school, or college. Individuals appointed to these positions must be reviewed annually in accordance with Faculty Handbook Section B4.10 Annual Review of Continuing Non-tenure-track Faculty.

**History and Rationale of
Proposed Faculty Handbook Amendment
of “Professor of Practice” Title and Appointment**

History of the Title

In 2005, the School of Architecture and Planning proposed the addition of the title of “Professor of Practice” to faculty titles available at UNM. After careful review and revision of the proposal by the Academic Freedom and Tenure Committee in consultation with various University stakeholders in 2006 and 2007, the proposal was presented to the full faculty for a vote and was approved in November 2007. Following approval by the full faculty, the Board of Regents unanimously approved the Faculty Handbook provisions in January 2008.

The current version of the Professor of Practice title and appointment sections of the Faculty Handbook provides for appointment to the title in the School of Architecture and Planning and in the College of Fine Arts for three year terms with approval of the faculty in the academic unit or department. With approval of the faculty, each School or College may appoint up to 10% of the FTE tenure/tenure track faculty as Professors of Practice. The limited term appointments are renewable for additional three year terms with approval of the faculty within the academic unit or department.

History of the Proposed Amendment to Sections B 2.3.14 and B 3.4.4

In mid-Fall 2013, the Academic Freedom and Tenure Committee was approached by the Department of Communication and Journalism and the College of Arts and Sciences about the need to broaden the applicability of the title “Professor of Practice” into other schools and colleges at the University. During the 2013/2014 academic year, the Academic Freedom and Tenure Committee consulted with the Provost’s Office and the Health Sciences Center as well as Faculty Senate leaders about the need for the expansion of the title and about proposal language. To ensure full consideration of the issue and input from all stakeholders and with the installation of new Committee members and the return to campus in Fall 2014, the Academic Freedom and Tenure Committee resumed consideration of the proposal and, after consultation with Faculty Senate leadership, the Committee on Governance, the Faculty Senate Policy Committee, the President’s Office, the Provost’s Office, and the Office of the Health Sciences Center’s Vice Chancellor for Academic Affairs, the Academic Freedom and Tenure Committee finalized language for an expansion of the title of “Professor of Practice” for consideration by the full voting faculty of the University.

Summary of Proposed Amendment

The title of “Professor of Practice” may be used to appoint individuals who have achieved distinction in practice and who may benefit the University by the integration of professional practice within its teaching and/or research missions.

The faculty of each School or College must approve the establishment of these positions. The School or College faculty will develop and adopt criteria for the appointment and reappointment, establishing specific guidelines and procedures for awarding these titles and subsequent performance review.

These appointments may be either full-time or fractional (i.e., less than 100%) if still actively engaged in practice. Initial appointments may be granted for a term of up to three years,

with the approval of the faculty within the department, school, or college. Appointments may be renewed in terms of up to three years with the approval of faculty within the department, school, or college. Professors of practice shall not exceed 10% of the FTE tenure/tenure-track faculty of each academic unit or department.

Rationale for Proposed Amendment to “Professor of Practice” Title and Appointment

This policy recognizes that departments occasionally have a demonstrable need or exceptional opportunity to enhance the teaching or research missions through the contributions of people who have achieved distinction in the field. This title enables the appointment of such individuals as a mechanism to enhance program delivery or research. The changes to this policy expand the availability of this title to all disciplines and departments at UNM. The policy also places limits on number and length of appointment and requires regular review to ensure academic quality. The policy also acknowledges position papers published by AAUP on both the importance of tenure-track and tenured faculty and the Professor of Practice title. The AAUP statement “On Full-Time Non-Tenure-Track Appointments” has been upheld repeatedly by the organization and provides extensive detail supporting the importance of tenure and the negative repercussions of growth in non-tenure-track appointments. In summary:

“The AAUP has long held that all full-time teachers, irrespective of their titles, should either be tenured or probationary for tenure, except for those appointed under special circumstances (for example, short-term replacements for faculty members who are on leave). Following from this basic position, the AAUP has been sharply critical of full-time non-tenure-track appointments, pointing to the adverse effects of these appointments for individual faculty members, for students, for academic freedom, and for the academic profession as a whole.”¹

In a statement on Professors of Practice,² AAUP cites common practices related to the Professor of Practice indicating, “They are usually appointed following a national search. Their academic performance is regularly evaluated according to criteria appropriate to their positions. The length of their renewable term appointments is typically five years rather than one year.” The position taken in this policy statement regarding this title leaves departments with flexibility regarding the manner of search and appointment, yet follows the trend of renewable, but not indefinite, appointment and regular evaluation. The cycle to 3 years renewable is intended to meet departmental needs and provide a reasonable cycle for review and reappointment. Additional support can be found in a recent position paper related to the field of Journalism.^a

Revisions to the UNM title are intended to ensure continuation of the research mission of the university by limiting the percentage of Professor of Practice appointments to a small portion of faculty (no more than 10% of any department). Academic quality is supported through the establishment of rigorous credentials and regular review of performance.

¹ On Full-Time Non-Tenure-Track Appointments. AAUP, 1986, in *Policies & Reports*. Available online at: <http://www.aaup.org/report/full-time-non-tenure-track-appointments>

² Professors of Practice. AAUP, 2004, *Policies and Reports*. Available online at <http://www.aaup.org/report/professors-practice>

^aA recent position paper produced by three former journalism school deans under the auspices of the Carnegie-Knight Journalism initiative addresses the challenge of providing professional education founded on a solid academic background. This situation mirrors that of many fields which may find the Professors of Practice potentially meaningful additions to the faculty. This paper acknowledges the challenges of the profession yet calls for balancing those with the university mandate. The authors call for raising the standard of education to the graduate level and cite the critical role of research as a component of academic quality.

Educating journalists: A new plea for the university tradition. J. Folkerts, J. M. Hamilton, & N. Lemann, 2013. Columbia Journalism School.

Elimination of Programs

Justification for elimination of Secondary Education Certificate (Valencia Branch): The branch believes this certificate no longer has vocational value, and hence there is very little demand. Only two students were awarded this certificate last year, and only three certificates were awarded in the past five years.

Justification for elimination of Associates of Arts in Criminal Justice (Taos Branch): The Associate of Arts in Criminal Justice has been incorporated as an area of focus into the Associate of Arts in Liberal Arts. Students in the Associate of Art in Criminal Justice program will be directed to change to the associate of Arts in Liberal Arts program. The last term a student may be awarded this Associate Degree is spring 2015.



Computer Science Education Investing in New Mexico's Economic Future

Melanie Moses

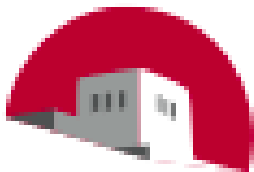
Associate Professor

Department of Computer Science

melaniem@unm.edu



SANTA FE
INSTITUTE



THE UNIVERSITY *of*
NEW MEXICO

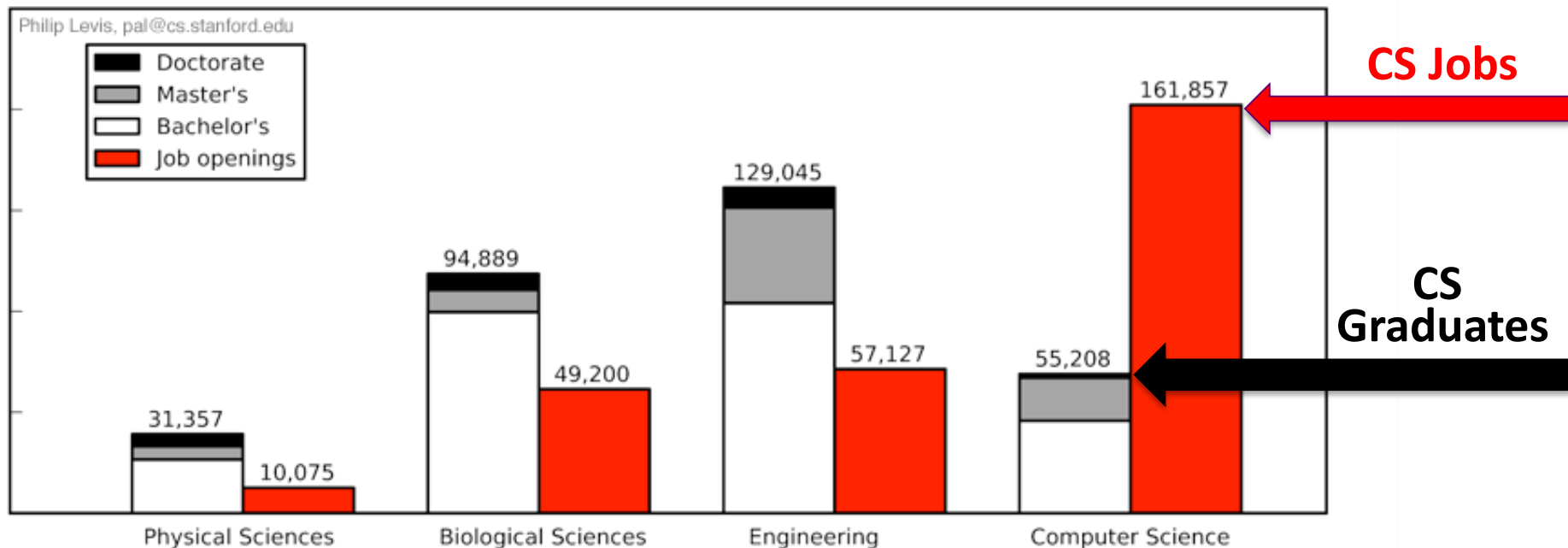


Goals

- Need: Computer Science education to grow New Mexico's economy
- Challenge: Gaps in the education pipeline
- Solution: CS4All closes the gap

*½ of Science Technology Engineering & Math (STEM)
jobs are projected to be in Computer Science (CS)*

The CS Gap: Many jobs, few students



100% of UNM CS graduates are employed
Average starting salary for computer scientists is > \$70,000

Computer Science education is key for New Mexico's economy



The problem is the pipeline

- Few NM high schools offer computer programming
- Students are not prepared or advised to study CS
- High schools don't have CS teaching expertise
- STEM in high school does not include CS, but it is essential!

Computers are the economic engine of the 21st century

Without CS skills, NM students are falling behind

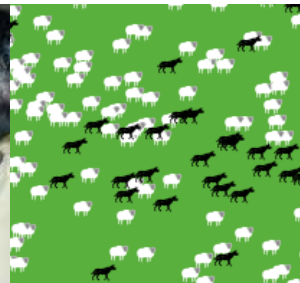
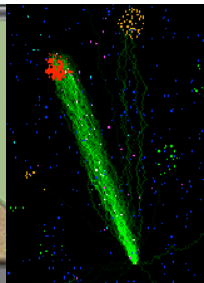




Builds a Pipeline



- Dual credit course offered to NM high school students through UNM
- Hybrid model
 - High school teachers teach hands-on CS labs using UNM online course material
 - Teach the teachers (online + in person workshops)
- A scalable program to teach CS in NM High Schools
- Students Learn
 - to write computer programs
 - problem solving & computational thinking
 - integrated STEM skills





Success So Far

- In the 1st 2 years
 - 30 high school teachers
 - 600 NM high school students
 - 80% of students pass

- Demographics

CS Majors in the US*

< 15% women

< 10% Hispanic/Native/
African American



35% women

60% Hispanic/Native/
African American

76% underrepresented groups



Goal: Educate more students

- Reach more students
- Further increase diversity
- Better prepared students
 - In NM high schools
 - In CS and STEM
 - **Enrolled at UNM**
- Current activities for growth
 - Developing 2nd semester course
 - Hosting CSforAll students at UNM
 - Offering CS4All for teachers & students on UNM campus Summer 2015





Assessment

In the words of NM high school teachers:

*“It was **the best PD (Professional Development) I have ever been to.**”*

*“The student was not college material, but last year **decided to go to college** after ‘getting into’ the CS108 course.”*

*“[CS108] not only increases computer science skills and knowledge, but helps with math and science. It helped my students **connect the dots.**”*

*“This is so perfect for our state. [It] is useful for students as it helps them to **leapfrog poverty.**”*

Improves teaching **Transforms students** Teaches valuable skills
Provides economic opportunities

Program touts UNM for computer science



Carlos Lopez of Nex+Gen Academy photographs a robot in the Swarm Robotics Lab at the computer science event. (Dean Hanson/Albuquerque Journal)

High school sophomore William Morlan listened intently Tuesday as a University of New Mexico Ph.D. student – with the help of an interactive computer – talked about motion tracking.

Both young men were at UNM's Centennial Engineering Building for one reason: a shared love of computer science.



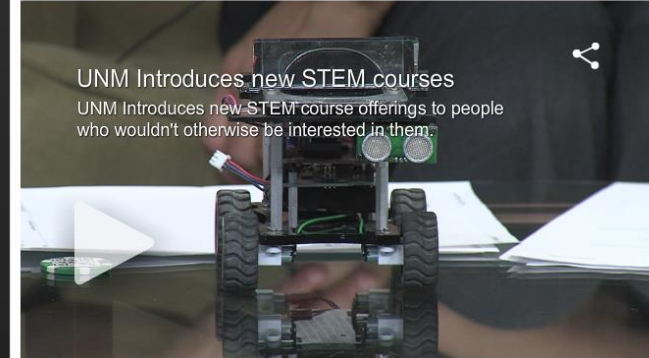
KRQE NEWS 13

WATCH LIVE NEWS WEATHER 13 INVESTIGATES INTERACTIVES TRAFFIC REPORT

UNM Introduces new STEM courses

By Amy Walker

Published: February 27, 2015, 7:52 am | Updated: February 27, 2015, 2:57 pm



UNM Introduces new STEM courses

UNM Introduces new STEM course offerings to people who wouldn't otherwise be interested in them.

ALBUQUERQUE (KRQE)- The University of New Mexico has been making a big push to get young students interested in fields related to the Science, Technology, Engineering, and Math (STEM) classes.

UNM is introducing a new course called Computer Science For All.

<http://krqe.com/2015/02/27/unm-introduces-new-stem-courses/>

Four NM teachers honored at White House for contributions to computer science education By Santa Fe Institute

January 16, 2015

Four NM teachers honored at White House for contributions to computer science education by Santa Fe Institute

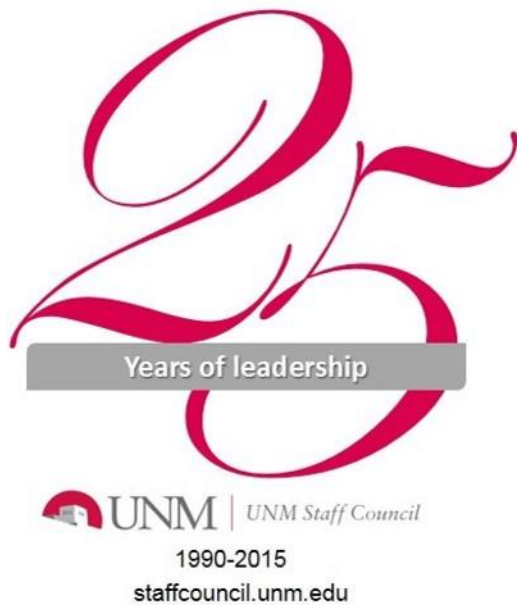
Four "New Mexico Computer Science for All" teachers who work with SFI's Irene Lee attended a special ceremony at the White House on December 8, 2014 in celebration of Computer Science Education Week. The NM-CSforAll program is led by Lee and collaborators from the University of New Mexico.

Teachers Melody Hagaman of Centennial High School, Las Cruces; Rowena Dolino of Miyamura High School, Gallup; Michael Steele of NexGen Academy, Albuquerque; and Elisa Cundiff of Las Cruces High School, Las Cruces are being recognized for teaching computer science and imparting lifelong skills to New Mexico students.



<http://code.org>

Advisor Comments and Reports



Good Morning Regent President Fortner, members of the board:

My term as Staff Council President ends in 38 days and I sincerely appreciate all the feedback and support I have received to best represent the UNM staff voice. The Staff Council President-Elect and I made a short video as part of the [transition](#).

The UNM Staff Council has played a critical role in UNM governance for the past 25 years. We provide the voice on how staff feel about issues, policies, procedures, etc. that impact their daily lives. We are constantly reaching out to identify that collective voice. It is difficult as we represent almost 5,000 staff members that are very diverse and yet I am often asked how 'UNM staff' feel about certain things. Staff Council does its best to help advise and recommend to the UNM Administration and Board of Regents how to best support staff in order to make us a great University and better serve our students and our patients. Our Strategic Plan will be a guide for future Staff Council leadership to continue this legacy.

Staff Council made history on March 17th with our historic signing of our [Strategic Plan](#) ([Pictures here](#)). We will be planting a tree and burying a time capsule along with the Strategic Plan in May at the Faculty Staff Club (*more information coming soon*).

The Community Engagement Leave policy Staff Council has been leading is out for public comment and we have been receiving positive feedback from the community.

Nearly 4 million people from across the globe come together as a community and raise awareness and funding for cancer research...Relay For Life. Louie Armando Mendez came into my life over 11 years ago. He showed up at my mom's old house and was pushing himself against a giant dead apricot tree in the backyard. It was like Paul Bunyon was in our backyard...he pushed the tree out by himself! This was just one demonstration of his incredible strength. He served in the Army during Vietnam and came back a highly decorated war hero with a Silver Star. I always had the feeling that his years as a soldier were the happiest of his life. The military suited his sense of order and his code of loyalty. He was a strong soul. As my stepdad for many years he was always there to help me move, do handy things or anything I needed. My daughter was blessed to have him as her grandfather. Last August, he passed away suddenly with stage-4 bone cancer. He was only 63 and an incredible person that I will forever miss and until his last days he remained strong.

Spread the light of hope at UNM Relay For Life with Staff Council; dedicate a luminaria.

The UNM Staff Council is collaborating with the American Cancer Society in an effort to better support our community in New Mexico impacted by Cancer and also the many staff, faculty and students impacted every day with the UNM Relay for Life event, Friday, April 24th-Saturday, April 25th.

Dedicate a luminaria, help us reach our fundraising goal, and help spread the light of hope. I encourage you to give \$1, sign up to join the [UNM Staff Relay Team](#), start your own team, buy a luminaria, stars/moons in honor of someone lost to cancer, volunteer, donate to the American Cancer Society. [A movement that is truly inspiring.](#)

Over \$2,000 has been raised on behalf of Staff alone, the most so far even exceeding the students.

Thank you for all your support this far.

That concludes my report.

Sincerely,

Renée Delgado-Riley, Ph.D.

Staff Council President (2014-2015)

**UNIVERSITY OF NEW MEXICO
ALUMNI ASSOCIATION
BOARD OF REGENTS REPORT
April 10, 2015**

Vision (Why we exist): *The Alumni Association is a vital partner in the continued excellence of the University of New Mexico through the significant engagement of alumni.*

Mission (What we are striving for): *To serve as a bridge between alumni and the university ensuring the continued success of the university and enriching the lives of alumni.*

Strengthen collaboration with campus constituents to meet the needs of UNM.	<p>Lobos for Legislation worked with New Mexico legislators up through the end of the session on March 21. In addition to members of the committee making visits and reaching out to legislators. A contingent of Lobo Links were contacted through an action alert encouraging members of the Senate to pass HB2, the NM state budget, which contained funding for UNM.</p> <p>The quarterly meeting of the Alumni Collaborative, a consortium of campus leaders working on alumni engagement programs covered the following topics:</p> <ul style="list-style-type: none">• Mail Services and Pricing• Young Alumni Strategic Involvement, including Graduation events: Grad Fair, New Grad Reception at Hodgin Hall, Mini-Diplomas. Young Alumni Chapter events including: Professional Development workshops, Social Networking events. Discussion covered metrics to develop baseline for engagement with new grads.• Branch Campus Involvement – bring branch campus alums back for special homecoming events. Raise awareness with distance education alums. Lobos Coast to Coast with branch campuses was a nice outreach.• New Chapters – Collaborative members were encouraged to partner with new chapters on campus: Honors, Veterans, Latin@ Hispan@, and Black Alumni chapters.• Foundation/IT met with the Collaborative to discuss updates and changes to data. Deans received copies of alumni from their schools. <p>The Alumni Collaborative is offering campus outreach strategy sessions focused on specific areas. The first will focus on using LinkedIn to find and communicate with UNM Alumni. University College developed a schedule of broadcast emails to their alumni to explore how they are using the BUS degree. Alumni from the Physical Therapy and Physician Assistant programs of the School of Medicine are exploring forming new Alumni Chapters.</p>
---	--

<p>Engage students in ways that will develop lifetime ties to UNM.</p>	<p>The Alumni Association with ASUNM and GPSA continues their student focused Donut and Coffee Wednesdays 8:00 – 10:00 am at the Hodgin Hall Plaza, the first Wednesday of each month. The last Donut Wednesday was held on April 1st.</p> <p>The Young Alumni Professional Development Committee will host a Career Networking/Mock Interview workshop for graduating seniors and young alums on Wednesday, April 8, 2015 at Hodgin Hall.</p> <p>The Young Alumni Chapter will host the New Grad Wine and Cheese Reception on for Thursday, May 7, 2015</p> <p>Recruitment for Trailblazers yielded 16 new members. A retreat will be held on Friday, April 10.</p> <p>The Alumni Relations Office and Global Education offices co-sponsored a career development workshop for international students. Over 50 undergraduate, graduate and PhD students attended the afternoon session with an alumni speaker, Matthew Yepez, presented, “Internships – How to Find Them, Get Them and Get the Most Out of Them.”</p> <p>The Alumni Association will award this spring 26 undergraduate/graduate scholarships for the 2015-16 term totaling over \$27,000 in awards.</p>
<p>Communicate effectively with our diverse group of alumni.</p>	<p>The UNM Alumni Association will communicate with new graduates through social media and five other directional or email pieces inviting them to participate in various graduation programs, including the New Grad Wine and Cheese Reception on May 7, the mini-diplomas program and alumni services and benefits including insurance discounts, access to University Libraries, and discounts at Johnson Center.</p> <p>Social Media communication efforts continue to focus on Facebook and Twitter platforms but the Alumni office has added Pinterest and Instagram to their online presence. #UNM, #UNMAlumni, #ProudUNMAlumni, #UNM Proud and #Donut Wednesday are increasingly recognized throughout the UNM and alumni community. We encourage all UNM departments to use #UNMGrad2015 for their graduation activities. The post with the most significant alumni response in the last month was: March 20, “What have you dropped in the duck pond?” Numerous alumni sent in interesting, alarming and fun stories.</p>

	<p>The unmalumni.com website serves as a key communication tool for the Association. In February and March, there were 31,700 visitors to the site – 9,368 new visitors. The most visited pages, were:</p> <table border="0"> <tr> <td>1. Home Page</td><td>6. Dinners for 12 Lobos</td></tr> <tr> <td>2. Scholarships</td><td>7. Mini-Diplomas</td></tr> <tr> <td>3. Mirage Magazine</td><td>8. Lobo Living Room</td></tr> <tr> <td>4. Employment Opportunity</td><td>9. Lobo Online Community</td></tr> <tr> <td>5. Lobos Coast 2 Coast</td><td>10. The U</td></tr> </table> <p>The Howler e-newsletter continues to be delivered monthly. The April Howler helped promote Alumni recognition for the College of Nursing and the Law School in addition to informing alumni of opportunities, events and celebrations across campus.</p>	1. Home Page	6. Dinners for 12 Lobos	2. Scholarships	7. Mini-Diplomas	3. Mirage Magazine	8. Lobo Living Room	4. Employment Opportunity	9. Lobo Online Community	5. Lobos Coast 2 Coast	10. The U
1. Home Page	6. Dinners for 12 Lobos										
2. Scholarships	7. Mini-Diplomas										
3. Mirage Magazine	8. Lobo Living Room										
4. Employment Opportunity	9. Lobo Online Community										
5. Lobos Coast 2 Coast	10. The U										
<p>Develop and steward resources to ensure a sustained and dynamic association.</p>	<p>Lobo Days Activities:</p> <ul style="list-style-type: none"> • The Austin Alumni Chapter hosted their Lobo Day event on Saturday, March 7th. Special UNM Guest was Dr. Brandon Schmandt, Geophysicist from UNM’s Earth and Planetary Sciences who presented, “The Earth’s Hidden Oceans.” The event was held in the home of alumnae, Orlinda Naranjo. • The Chicago Alumni Chapter celebrated Lobo Day on Saturday, March 14, with a wine pre-party, a special concert with the Chicago Arts Orchestra and a visit from Dr. Peter White from UNM’s American Studies Department. Dr. White founded the New Mexico Musical Heritage Project. He teaches students to make violins and play the traditional music of Hispanic New Mexico and the social, dance music of the Pueblos of the Rio Grande. • The Washington, DC Chapter celebrated Lobo Day on Saturday, March 21st with a brunch held at the Fort Belvoir Officer’s Club. The Special UNM Guest Speaker was Toni Hiley, CIA Museum Director, at the Center for the Study of Intelligence. The topic was “CIA Museum: The Best Museum You’ve Never Seen 										

	<p>The 2015 Homecoming dates are October 12-17, 2015, with the UNM Lobo Football Team playing against Hawaii for the traditional game on the October 17th. This year's theme, selected by students and the steering committee will explore space with a Star Trek type theme: Live Lobo and Prosper.</p>
Encourage community service and leadership among alumni and students.	<p>Mother Nature surprised us all on Friday, February 28, leaving an icy snowy storm in its wake, forcing several Dinners for 12 Lobos to be rescheduled. Ten dinners were held over a course of 3 weeks, with over 100 students, faculty and alumni having wonderful home cooked meals.</p>
Garner greater recognition and visibility for Alumni Association programs and service to the university and community.	<p>Student participation in campus and community activities makes a huge difference in the lives of both the students and the communities they serve. In recognition of this, the UNM Alumni Association annually presents a series of awards to seniors who have in one way or another contributed significantly to the University and its communities at large. They will be honored at the Student Recognition Reception on Friday, April 17, 2015, SUB Ballrooms starting at 6:30 pm. David Mueller is the 2015 Trailblazer Award Recipient. The following students are the 2015 Alumni Association Citizenship Award Recipients:</p> <ul style="list-style-type: none"> • Shannon Groll • Jaron Kee • Shawna Nelson • Jesus Rodriguez • Alexandra Stewart • Brandi Wells <p>UNM Alumnae, Sonya Romero, will be the key note speaker. Sonya is a teacher at Lew Wallace Elementary and was recently featured on the Ellen DeGeneres show because of her love for and work with her students.</p> <p>Golden Grads</p> <ul style="list-style-type: none"> • Graduates of the Class of 1965 have been invited to don their golden robes during UNM Commencement on Saturday, May 9, at 9 am in the Wise Pies (aka The Pit) Arena. The Alumni Association and several schools are planning lunches, dinners and tours on Friday, May 8. A reception will be held at the Hodgkin Hall Alumni Center on Friday, May 8, 4 pm, followed by dinner on the Plaza for all Golden Graduates and their guests.

The **Greater Albuquerque Area Alumni Chapter (GAAAC)** has awarded **8 Aluminaria Awards**. The award sheds light on UNM alumni who have done good deeds and who have brightened the lives of others'. The 2015 awardees include: Governor **Earl Salazar BA '75 MPA '77**, of Ohkay Owingeh; **Marilou Joson MA '13**, math teacher extraordinaire in Gallup New Mexico; Judge **Daniel Ramczyk BA '81 JD '83**, **Jeffrey Candelaria BUS '83**, business development officer; **Eric Toledo MA '99**, Albuquerque entrepreneur; **Amit Upadhye MA '98**, internationally renowned architect; **Melissa Smith BS '12**, Community Service Chair and volunteer for the Greater Albuquerque Area Alumni Chapter, and **Ann Marie Wilcox BSN '99**, APS nurse and founder of the Westside Mobile Food Pantry.

Please join the Alumni Association's **Lobo Living Room** presentation by **Judith Kennedy Lavender, The Heart of Collecting**. She shares a glimpse of her family and how they came to New Mexico in the early 1900's. Judith has depth of knowledge about Southwestern Native American art she collected over the past 50 years. The presentation focuses on several artists who traded with Judith's father, John W. Kennedy. These artists created many of the beautiful pieces in her collection that features art from Hopis, Navajo, Zuni, and Rio Grande Pueblos. The presentation is on Thursday, April 23, 5:45 – 7:45 pm, Hodgkin Hall Alumni Center. There will be a reception prior to the presentation which begins at 6:30 pm.