



**UNIVERSITY OF NEW MEXICO
BOARD OF REGENTS**

Agenda

**August 14, 2015
9:00 AM
Student Union Building, Ballroom C**

The Board of Regents of the University of New Mexico
Meeting in Open Session and Executive Session
August 14, 2015, 9:00 AM
Student Union Building, Ballroom C
Executive Session-Luncheon, Cherry Silver Room
revised* AGENDA

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Crystal Davis, President, Staff Council

Jenna Hagengruber, President, ASUNM

Texanna Martin, President, GPSA

Carol Stephens, President, Retiree Association

Catherine Cullen, President, Parent Association

Ann Rhoades, President, Alumni Association

Michelle Coons, Chair, UNM Foundation Board of Trustees (next quarterly report will be given at the Sept. BOR)

Public Comment (items not on agenda; limit 3 min. per speaker)

VII. Vote to close the meeting and proceed in Executive Session

Executive Session-Cherry Silver Room

1. Discussion and determination where appropriate of the purchase, acquisition or disposal of real property pursuant to Section 10-15-1.H(8), NMSA (1978)
2. Discussion and determination where appropriate of threatened or pending litigation pursuant to Section 10-15-1.H(7), NMSA (1978)
3. Discussion and determination where appropriate of limited personnel matters pursuant to Section 10-15-1.H(2), NMSA (1978)

VIII. Vote to re-open the meeting and certification that only those matters described in Agenda Items VII. were discussed in Closed Session and if necessary, final action with regard to those matters will be taken in Open Session.

IX. Vote to Adjourn

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a. HSC Board of Directors, May 1, 2015 Meeting Minutes

b. UNM Hospitals Board of Trustees, March 27, April 24, May 12, May 29, and June 26, 2015 Meeting Minutes

c. Carrie Tingley Hospital Advisory Board, March 23 and May 18, 2015 Meeting Minutes

d. Sandoval Regional Medical Center, Inc. Board of Directors, March 25, April 22, May 12, May 27, and June 24, 2015 Meeting Minutes

Minutes of the Regular Meeting of the Board of Regents of the University of New Mexico
June 12, 2015
Student Union Building, Ballroom C

Members present

Jack L. Fortner, President; Robert M. Doughty, Vice President; Bradley C. Hosmer, Sec. Treasurer; Ryan Berryman; James H. Koch; Marron Lee; Suzanne Quillen

Administration present

Robert G. Frank, President; David Harris, EVP of Administration, COO, CFO; Chaouki Abdallah, Provost and EVP for Academic Affairs; Paul Roth, Chancellor for Health Sciences; Steve McKernan, CEO UNM Hospitals; Dorothy Anderson, VP HR; Paul Krebs, VP Athletics; Richard Larson, Exec. Vice Chancellor and VP for Research, HSC; Liz Metzger, University Controller; Ava Lovell, Sr. Exec. Officer of Finance and Administration, HSC; Elsa Cole, University Counsel; Eliseo 'Cheo' Torres, VP Student Affairs; Helen Gonzales, Chief Compliance Officer; Steve McKernan, CEO, UNM Hospitals; Cinnamon Blair, Chief University Marketing and Communications Officer

Regents' Advisors present

Crystal Davis, Staff Council; Pamela Pyle, Faculty Senate; Texanna Martin, GPSA; Jenna Hagengruber, ASUNM; Carol Stephens, Retiree Association; Fran Perera, Parent Association; Carl Alongi, UNM Foundation

Presenters in attendance

Terry Laudick, Chair, Innovate ABQ, Inc.; Bruce Cherrin, Chief Procurement Officer; Tom Neale, Director Real Estate; Cinnamon Blair, Chief University Marketing and Communications Officer; Joe Cecchi, Dean, School of Engineering and STC.UNM Board Member; Karen Abraham, AVP Alumni Relations; Michelle Coons, incoming Chair, UNM Foundation Board of Trustees; Henry Nemcik, President and CEO, UNM Foundation; Dr. MaryAnne Saunders, Special Assistant to the President for Global Initiatives

Others in attendance

Members of the administration, faculty, staff, students, the media and others.

CONFIRMATION OF PRIOR SPECIAL MEETINGS IN EXECUTIVE SESSION

The Board of Regents met in closed session on June 11, 2015 from 12:05 PM to 5:27 PM in the Lettermen's Lounge at the WisePies Arena, 1111 University Blvd SE, for the purpose of discussion and determination where appropriate of limited personnel matters pursuant to section 10-15-1 (2), NMSA (1978). Members present were Ryan Berryman, Robert Doughty, Jack Fortner, Bradley Hosmer, Jamie Koch, Marron Lee, and Suzanne Quillen. The matters discussed at the meeting were limited to those matters specified in the notice and agenda for the meeting. There were no items on which action was taken.

The Board of Regents met in closed session on June 12, 2015 from 7:55 to 8:45 AM in the Cherry Silver Room of the Student Union Building for the purpose of discussion and determination where appropriate of limited personnel matters pursuant to section 10-15-1 (2), NMSA (1978). Members present were Ryan Berryman, Robert Doughty, Jack Fortner, Bradley Hosmer, Jamie Koch, Marron Lee, and Suzanne Quillen. The matters discussed at the meeting were limited to those specified in the notice and agenda for the meeting. There were no items on which action was taken.

CALL TO ORDER, CONFIRMATION OF A QUORUM AND APPROVAL OF THE AGENDA

Regent President Fortner called the meeting to order at 9:09 AM. A quorum was confirmed.

The motion to approve the agenda passed by unanimous vote with a quorum of Regents present and voting. (1st Lee; 2nd Doughty).

APPROVAL OF MINUTES

The motion to approve the minutes of the May 7, 2015 regular meeting passed by unanimous vote with a quorum of Regents present and voting (1st Lee; 2nd Quillen).

PUBLIC COMMENT

Tom Solomon, with 350.org, addressed the recommendation of the UNM Foundation CIF Policy Addition Recommendation Regarding Responsible Investment, which recommends not to pursue divestment from fossil fuels or other cause related divestments. Solomon advocated for divestment from fossil fuels in response to mounting scientific concerns around climate change and encouraged investments in renewable energy sources. Solomon suggested the Board call on the faculty of UNM to testify in regard to climate change before voting in support of the UNM Foundation CIF Recommendation.

Jim Mackenzie, co-coordinator of 350.org New Mexico, addressed the UNM Foundation CIF Policy Addition Recommendation Regarding Responsible Investment. Mackenzie spoke in favor of deferring action on the Foundation recommendation and noted that the University already recognizes climate change in policy through its Sustainability Plan and department, but its efforts are inadequate to meet the degree of change. Mackenzie addressed climate change as a moral issue requesting the Regents defer action until they have heard from their own scientific faculty.

David Ritchie, Albuquerque architect, addressed the UNM Foundation CIF Policy Addition Recommendation Regarding Responsible Investment. Ritchie drew a parallel between the human suffering associated with the holocaust and climate change and urged the Regents not to miss the window of opportunity for action on climate change.

Patricia Good, New Mexico resident and parent of UNM graduate, addressed the UNM Foundation CIF Policy Addition Recommendation Regarding Responsible Investment. Good commented on the potential for economic opportunity associated with sustainable energy, given the natural resources and the research capabilities of the State. Good advocated for making New Mexico a hub of sustainable energy production.

Megan Bodenheimer, UNM Alumna, addressed the UNM Foundation CIF Policy Addition Recommendation Regarding Responsible Investment. Bodenheimer commented on her experience working in the solar industry in New Mexico and added that solar and other sustainable energy sources are job creators in New Mexico. Bodenheimer asked the Regents to consider divesting from fossil fuels.

Benton Howell, retired Physicist from Los Alamos, addressed the UNM Foundation CIF Policy Addition Recommendation Regarding Responsible Investment. As a research university, UNM's focus should not only be on making money from investments, but should be based on academic understanding of climate change.

THE PRESIDENT'S ADMINISTRATIVE REPORT

Dr. Robert G. Frank opened his report with comments on the importance of the University of New Mexico as a Public Flagship Research University, the title conveying that the University engages in research and scholarship in order to create knowledge to educate its students and prepare them for future success. The knowledge is also used to improve the nearby communities in which we live, and the University's faculty does a wonderful job of educating and creating knowledge. Dr. Frank provided a quote that exemplifies what public research universities do and highlighted that discovery, learning, and engagement are mutually important parts of what universities do as they transfer knowledge to society for the general good of each of these missions. Knowledge transfers occur in the form of publications and the training and engagement of students whose inventions and discoveries in turn are licensed to private enterprise, which is what STC.UNM does. This knowledge and sharing contributes to a long history of mutual beneficial relations among universities, private sectors, and societies at large. This is the true nature of what universities do in an interrelated way with the communities we live in.

President Frank discussed The Bayh-Dole Act of 1980, which remains a key driver of the economic impact of research universities. Much of the knowledge prior to the Bayh-Dole Act was locked up in universities and did not get out, after the act was passed in 1980, universities were able to move intellectual knowledge out into the communities and allowed for transfer of knowledge and creation of a synergistic relationship and engagement between universities and communities. Even though UNM's state support has declined over the years, our University still receives more support than most universities do, and it is

in a great position to support the community better than many others. The proximity to the labs, stronger state support, and entrepreneurship through our faculty research provides a great opportunity to move technology to our community.

The goals for the coming year were reiterated with the University's main mission to graduate students and improve graduation rates. Other goals include continued success with Innovate ABQ, continued work on the branding initiative, and improving the budget process. An enrollment update was provided. Summer enrollment is positive with a 6.1% increase and 5% increase in credit hours. There is a 19% increase in online summer enrollment and 19.5% increase in online student credit hours. President Frank congratulated Provost Chaouki Abdallah and his team for their innovations and work on improving summer enrollment. Projections for fall enrollment currently remain stable. President Frank briefly discussed the NM Legislative Special Session, which provided critical capital outlay funding for UNM. He congratulated EVP David Harris, Matt Muñoz, Joe Thompson, and the Government Relations team for their work getting critical capital funding for the University. UNM received a total of \$10.5 million and highlights include: \$5.3 million for Domenici 3, \$2 million for Farris Engineering, and \$700K for Physics and Interdisciplinary Sciences.

An update on Innovate ABQ was provided to the Regents. There continues to be strong performance on all fronts. Bernalillo County recently provided \$1 million in new funding to join the Innovate ABQ effort and provides a great collaboration. The Innovate ABQ Board is in the process of evaluating RFP responses for site development. The inaugural class of the Innovation Academy has been admitted for Fall 2015 and includes 25 students. President Frank congratulated Robert Del Campo and Carol Parker on their efforts with the Innovation Academy.

Dr. Frank referenced a book he gave to the Regents titled, *The New American University*, by Michael Crow. The book discusses the issues of higher education in the 21st century in America and highlights many things the Administration has been discussing over the past few years, and he encouraged all to read it.

COMMENTS FROM REGENTS

No Comments.

DISCUSSION AND POSSIBLE APPROVAL OF MEMORANDUM OF AGREEMENT BETWEEN INNOVATE ABQ INC. AND THE UNIVERSITY OF NEW MEXICO, INCLUDING COUNTY MEMBER TO THE INNOVATE BOARD OF DIRECTORS

Terry Laudick presented the item. On behalf of the Innovate ABQ Inc. Board of Directors, Laudick requested approval of the Memorandum of Agreement by the UNM Board of Regents. The MOA has already been reviewed by the UNM legal department. Regent Fortner expressed his support for the County's choice of representative on the Innovate ABQ Inc. Board.

Regent Doughty made the motion to approve the MOA, Regent Lee seconded; Regent Doughty motioned a friendly amendment to the motion, 'to include approval of the County member designee to the Innovate Board'; Regent Lee accepted the amendment.

The motion to approve the MOA between Innovate ABQ, Inc. and The University of New Mexico and the County member designee to the Innovate Board passed by unanimous vote with a quorum of Regents present and voting (1st Doughty; 2nd Lee).

AMEND NUMBER OF REGENTS ON HEALTH SCIENCE BOARD

Regent Doughty presented the policy and the bylaws changes requested in order to increase the size of the Health Sciences Center (HSC) Board of Directors. The proposal, to add two additional members from the Board of Regents to the HSC Board of Directors which would result in a total of five Regents, two community members and one nonvoting / ex officio serving.

Regent Doughty outlined requested changes: amending Regents' Policy 1.2, to specify that the 5 Regents appointed to the HSC Board of Directors will not constitute a quorum for the transaction of Board business; amending Regents' Policy 3.5 regarding the HSC Board of Directors to update the membership

to seven voting members, five of whom are Regents; amending the Bylaws of the UNM HSC Board of Directors to reflect the updated number of members on the UNM HSC Board of Directors, and specify that three of the five Regents on the HSC Board of Directors should be members of the Finance and Facilities Committee.

The motion to approve the revised Regents' Policy Manual, Section 1.2, the revised Regents' Policy, Section 3.5 and the First Amendment and Restated Bylaws of the HSC Board of Directors passed by a vote of 6 in favor and 1 abstention; Regents Doughty, Koch, Lee, Quillen, Fortner and Berryman voted in favor; Regent Hosmer abstained. (1st Doughty; 2nd Lee).

Regent Hosmer commented that the Board of Regents moved quickly on this decision, and encouraged revisiting the details after some experience having five Regents serve on the Health Sciences Center (HSC) Board of Directors. Regent Hosmer suggested not carrying forward with requiring that three of the five Regents on the HSC Board of Directors be from the Finance and Facilities Committee, as it implies the main focus of the University's business is the business activity of the university, whereas the main business of the HSC is healthcare of New Mexicans. Regent Doughty responded that this is an effort to better connect the University's Main with the UNM Health Sciences Center (HSC), and added he has heard perceptions from various constituencies that they are two separate entities. Additionally, the overlap is meant to create administrative efficiencies and streamlining.

Regent Koch noted that generally the Board of Regents spends more time deliberating on the Main Campus budget than the Health Sciences Center's budget, even though the HSC budget is a larger dollar amount. The HSC must deliberate within its own Board, then present at the Finance and Facilities (F&F) Committee and also the Regents' regular meetings. This would cut out the need for HSC to present at F&F, and their business could go directly to the Regents. Regent Koch re-iterated his support of Regent Doughty's amendment.

Regent Hosmer noted that based on discussion it would be most effective to have all seven Regents on the HSC Board, though he understands that it is a discussion for another time, as there is significant momentum behind this proposal.

REGENT COMMITTEE REPORTS

FINANCE AND FACILITIES COMMITTEE

Discussion and Possible Approval of Memorandum of Understanding between Innovate ABQ, Inc. and the County of Bernalillo

Terry Laudick, Chairman of the Board of Innovate ABQ, Inc. outlined the MOU between Innovate ABQ, Inc. and the County of Bernalillo. Laudick noted that the total contribution by the County is \$1 million to be broken out into four payments of \$250K with certain deliverables on Innovate ABQ's part. Three installments are unrestricted and tied to groundbreakings, ribbon cuttings and opening of the innovation incubator. The fourth installment is relative to demonstrating expenses incurred in the construction of the incubator. Innovate ABQ, Inc. recommends the Board of Regents approve the MOU, and with its signing the initial installment of \$250K will be given.

The motion to approve the MOU between Innovate ABQ, Inc. and the County of Bernalillo passed by unanimous vote with a quorum of Regents present and voting (1st Koch; 2nd Doughty).

Regent Koch inquired about the timeline. President Frank noted it was an 18-month process involving many participants, and that they are thrilled to have the County on board.

Monthly Consolidated Financial Report for Main Campus and HSC

Liz Metzger presented the consolidated financial report for the month of April and fiscal year to date as of April 30, 2015. Metzger noted that UNM has a positive balance of \$36 million in consolidated total operations. The reports were provided in the agenda eBook.

Ava Lovell the Senior Executive Officer, HSC presented the HSC financial statements through April 2015. She noted that the hospital is well situated after last year's Medicaid expansion, as billings have been catching up. Last year, due to the Medicaid expansion, HSC underestimated revenue so we are seeing positive revenue this year. Balances are positive: Academic is \$9 million, Medical Group is \$1 million, and UNM Hospital is \$12 million favorable after expenditures and depreciation. The reports were provided in the agenda eBook.

Approval of Disposition of Surplus Property for Main Campus on List Dated, May 22, 2015

Bruce Cherrin presented the item. There was one large item on the list, so it was moved from consent to action. The item for disposition is a book making machine from the library.

The motion to approve the disposition of surplus property for Main Campus on list dated, May 22, 2015 passed by unanimous vote (1st Lee; 2nd Quillen).

Contract Approval: Anthropology and Maxwell Museum HVAC Controls, Lighting Replacement

Bruce Cherrin presented the item. It came to the Regents for approval as the cost is over \$1 million.

The motion to approve the Contract for the Anthropology and Maxwell Museum HVAC Controls, Lighting replacement passed by unanimous vote (1st Lee; 2nd Quillen).

Approval of Annual Renewal of Maui HPCC Lease

Tom Neale presented the item. This is the annual renewal of the master lease agreement for a building in Maui, Hawaii. In early 2000, UNM entered into a 25-year lease with the Bank of New York as certificate holders to renovate this building for a high performance computing facility. UNM lost the award in 2001 to the University of Hawaii and subsequently sublet the facility for the first 13 years to the University of Hawaii, and for the last 2 years to the Army Corps of Engineers. The sublease payments cover the rent. The Army Corps of Engineers is currently renting the facility and exercised its sublease agreement for the coming year, which brings the proposal before the Board of Regents to renew the master lease for an additional year.

Tom Neale noted that Real Estate toured the building earlier this year and confirmed it has been well maintained. Additionally, the Army Corps of Engineers is currently installing a \$1.5 million solar instillation on the roof, at their cost, and which will significantly drop utility costs. Their current lease expires in September 2018. We anticipate they will extend for at least another 5 years. UNM has a favorable purchase option on the building, any residual gets credited back to the University. Today, we have approximately \$4 million equity in the building. At the end of the lease agreement time, the University will end up owning this \$11 million property without any additional payment.

The motion to approve the annual renewal of the Maui HPCC lease passed by unanimous vote with a quorum of Regents present and voting (1st Koch; 2nd Lee).

Approval of RFP for UNM Branding and Marketing Proposal

Cinnamon Blair presented the item. Blair outlined the branding and marketing efforts she is leading at UNM and summarized the importance of having a comprehensive branding strategy for the University for marketing purposes. An image and perception study, which had not been done in 6 years, was conducted in 2014 with Research and Polling. The key findings of the study included: UNM has a lack of market presence; people know vaguely that UNM is a good school, and when asked for specifics they tend to bring up only UNM Hospital and Lobo Athletics as concrete examples; academic and research successes are not widely known; as educational attainment levels rose, the assessment of the quality of our education declined. These concerns need to and can be addressed through branding and marketing, and this will involve a University wide effort.

University Communications & Marketing is issuing an RFP for a creative marketing and branding agency to engage in branding and marketing. The multi-phase process will involve regular progress updates to the Board of Regents. \$500K in funds for the project were allocated to the Office of the President, under

which the Communications and Marketing department is housed. President Frank commented that this will also include a collaboration with HSC's marketing efforts.

The motion to endorse the RFP for the UNM branding and marketing proposal passed by unanimous vote with a quorum of Regents present and voting (1st Koch; 2nd Doughty).

Approval of STC.UNM Board Reappointments

Joe Cecchi presented the item and brought before the Board of Regents the names of six individuals for appointment or reappointment to the STC.UNM Board of Directors. All are for 4-year terms beginning July 1, 2015. Reappointments include: Sandra Begay-Campbell, Charles Wellborn and John Stichman. New appointments include: Terry Laudick, Dr. Sang Han (academic appointment), Gene Gallegos. President Frank nominated Dean Craig White as a member at large and said that Lisa Kuuttila had already indicated her acceptance of that nomination. Joe Cecchi voiced support for adding Craig White to the nominations list.

Regent Koch moved to add Craig White to the nomination list (1st Koch; 2nd Lee).

The motion to approve the STC.UNM Board reappointments as presented, including Regents appointments of Gene Gallegos and Craig White, passed by unanimous vote (1st Koch; 2nd Lee).

Approval of Licensing and License Plate Revenue Sharing Agreement between Athletics and the Alumni Association.

Paul Krebs, VP for Athletics and Karen Abraham, AVP Alumni Relations presented the item. This item addresses two revenue streams, from license plates and licensing, which have been shared by Athletics and the Alumni Association. The Alumni Association has managed license plates and shared half of the revenue with Athletics, and Athletics has managed licensing and shared half of the revenue with the Alumni Association. The two revenue streams have been roughly equal over the last 3 to 4 years. Krebs and Abraham requested that the two be separated, Alumni Association will keep all revenue associated with license plates, and Athletics will keep all revenue from licensing, and they requested the approval of the Board of Regents to move forward on the revenue sharing agreement.

The motion to approve the licensing and license plate revenue sharing agreement between Athletics and the Alumni Association passed by unanimous vote with a quorum of Regents present and voting (1st Koch; 2nd Doughty).

CIF Policy Addition Recommendation Regarding Responsible Investment

Carl Alongi, Outgoing Chair of the UNM Foundation (UNMF) Board of Trustees, presented the item along with Chair-Elect, Michelle Coons, and Foundation President and CEO, Henry Nemcik. Alongi presented the Board of Regents with the recommendation from the Foundation's Board for additions to the provisions of the Consolidated Investment Fund (CIF) investment policy. The Foundation's Board began researching responsible investing in 2013, through multiple channels, in relation to groups advocating for divestiture from or investment in cause-related classes of funds or particular companies. In January 2015, the Foundation's Board established the Investment Process Sub-Committee to discuss and review the topic in depth in order to provide a fully informed recommendation to the Foundation's Investment Committee, its Board and the UNM Board of Regents. The work of the sub-committee has been directed first and foremost to meeting the Foundation's fiduciary responsibility to the University, based on the Foundation's Bylaws, MOU, and State statutes. The Foundation's foremost responsibility is to act exclusively on behalf of its beneficiaries, to maximize returns and minimize risks in order to support the work of the University. Targeted divestment or investment of institutional funds will have little impact on issues or companies but may impact funding available for UNM. Though the Foundation supports open discussion, the primary mission is to provide as much support as possible to the University.

Therefore, it is the recommendation of the UNM Foundation Board of Trustees that the Foundation continue to operate with renewed commitment to its charge: investing and managing the CIF's assets for the long-term, using a diversified approach with the principle goal of maximizing the return and thus the benefit to UNM at a commensurate and acceptable level of risk. Diversification is widely recognized as the

basic premise to maximize returns and minimize risk in any portfolio. We recommend and express our conviction that the sound investment strategies in place for the CIF should not be altered or modified in support of causes unrelated to these objectives.

We respect the values of our diverse donors who may approach UNMF with the desire to support the important work of UNM with an interest in having their gifts invested in a cause-related way. We will review individual requests, if and when they are received, to see if an appropriate endowment investment vehicle outside the CIF can be identified to meet the donor's concern while insuring that respect for the University's goals, objectives and values is maintained.

Regent Koch noted that the Board of Regents does not participate in the investment choices of the Foundation and has not at any time tried to instruct the Foundation on their assets. Regent Doughty shared a list of Universities that have followed a similar approach.

The motion to approve the UNM Foundation's CIF policy addition regarding Responsible Investing and Donor Directed Investments passed by a vote of 6 in favor and 1 abstention; Regents Koch, Lee, Quillen, Fortner, Doughty and Hosmer voted in favor; Student Regent Berryman abstained (1st Koch; 2nd Lee).

ACADEMIC / STUDENT AFFAIRS & RESEARCH COMMITTEE

Update from the Provost

Provost Chaouki Abdallah congratulated newly appointed Student Regent Ryan Berryman. The University has hired Gabriel Lopez as the new Vice President for Research (VPR), and due to circumstances with his current position at Duke University, he will not assume the role of VPR until January 1. Dr. Lopez is a native New Mexican who attended graduate school at UNM and later was recruited by Duke University to head a large Biomedical Center. He will be an excellent VPR at UNM and until he assumes his position, Dr. Michael Dougher will slightly delay his retirement to stay on the job. Mary Anne Saunders, Director of the Global Education Office, has also announced her retirement and has been with UNM for the past 4 years. Dr. Saunders put the University's international effort on a good path and Ms. Nicole Tami, a UNM graduate, has been hired to continue the success of the Global Education Office in August.

Highlights of UNM faculty successes and accolades were discussed. Within the History Department, Professor Sandoval-Strausz will spend the next academic year at Princeton University as a Distinguished Princeton Mellon Fellow. The Russell J. and Dorothy S. Bilinski Fellowships, which funds graduate work in the Humanities at \$400K per year, is in the second year funding the College of Arts and Sciences. Recently, Sonya Romero who was a UNM College of Education graduate, was highlighted as one of the best teachers in the United States. Ms. Romero is a teacher at Lew Wallace Elementary School and was highlighted in national news as well as the Ellen DeGeneres Show. This made a great impression about some of the work that graduates from UNM's College of Education are conducting. From the Anderson Business School, Dean Craig White won a Best Paper Award, which is unusual for an administrator. Also, Anderson Business School received the Woodrow Wilson MBA Fellowship, which is an effort from the State to train principals. This is a joint model between UNM and NMSU and collaboration with the Business School and College of Education. It is a large award of \$1 million per year for multiple years and goes toward students and people who will be trained on both the business and academic aspects to become a principal. The Daniel's Fund recently added about \$1 million per year to the project. Two different student groups from Anderson won awards in the National Marketing Competition and the Daniel's Fund Business Ethics Competition. The School of Law ranked eighteenth in the nation in a publication called Above the Law. Above the Law looks at what happens to lawyers when they graduate if they get jobs in the law profession. In the College of Engineering, Professor Jose Serrato of Civil Engineering won a prestigious Oakridge Powell Junior Faculty Award. Edl Schamiloglu, ECE Professor, has been awarded more than \$1.4 million in Department of Defense grants. Professor Mala Htun from Political Science was one of 32 awarded a Carnegie Fellowship, which is an honor and distinction.

Provost Abdallah commented on an article published in the ABQ Journal regarding a program the State is conducting to provide shelter and homes to the homeless. The City spends about \$600K on the program, plus there are some private donations, and it saves about \$6 million to the taxpayers. The research for the

program was done here at UNM at the Institute for Social Research. This reiterates that the University and its faculty are involved in community engagement and working on things that serve our local community. The work being done by Communications and Marketing regarding branding is important for the University as it will inform the State and nation on the work being done at UNM and the impact it is making economically and intellectually.

In response to Regent inquiry, Provost Abdallah stated that online education accounts for 10-12% of the University's credit hours. ASU is a great model to follow for online education as they made a concerted effort to target online education and currently receive \$50 million in revenues from it. CSU has done a different model, but it is not as successful. Online education will be a major focus for UNM in the coming years to help increase the revenues and fulfill the main mission of educating the citizens of the state and producing knowledge for the country and world. Students are currently able to take online courses and are made aware that they are being offered, but there is an extra fee of \$100 for online delivery.

Regent Fortner agrees with the need to grow online education and believes students are aware that these courses are being offered.

Provost Abdallah stated there are efforts to keep students on track to graduate. Most students graduate in 5 years due to the length and credit hour requirements for most degree programs. This will be the first year that UNM will no longer offer remedial courses and instead offer credit-bearing courses. The University needs other sources of revenue, and administration is looking at online education and other sources in order to deliver on the current model of education.

Update on Global Education and International Student Enrollment Initiatives

MaryAnne Saunders presented the item. Nearly all academic institutions world-wide are increasing their international footprints. Saunders presented the concept of comprehensive internationalization and discussed a variety of ways UNM is expanding its international engagement, both in terms of bringing more international students to campus and in supporting UNM students studying abroad. Saunders presented an analysis of Fall 2012 through the present. In the 2014-15 school year, UNM had 1,339 international students from 97 different countries. This has surpassed the UNM 2020 goal for Q1 2015. The University has increased its international programming by 26.23% and the number of students studying in CELAC (Center for English Language and American Culture) by 68.4%, increased the number of students studying abroad by 34%, and has improved UNM's global relationship management. Saunders highlighted the important role of faculty in recruiting students from abroad and discussed balancing an increase in international students without becoming too dependent on any one population of students. CELAC is a key pipeline for having more international students enroll in degree programs.

Education abroad is becoming more important in an internationalized world, and it is a competitive recruitment advantage to offer robust study abroad programs. UNM has over 500 programs in over 50 countries that students can enroll in, including over 50 programs led by faculty. The Global Education Office provides enrollment and risk management for the faculty led programs. Saunders outlined the substantial increases in both international student enrollment at UNM and increased participation of UNM students in study abroad programs. She then addressed the myriad benefits to students that come from the experience of studying abroad and highlighted the positive impacts of engaging with international students, for students here on UNM campus, and outlined several programs that UNM engages in which connect international students with local students. Saunders addressed the University's efforts to continue to increase the number of international students. These will include working with government sponsored scholarships, direct outreach recruitment, an updated marketing strategy, creating more short term programs for tuition paying international students, as well as specific collaborations to create programs between UNM and international universities.

Regent Hosmer commended Dr. Saunders for building the foundation for improving UNM's global education work, both overseas and in New Mexico.

HEALTH SCIENCE CENTER BOARD OF DIRECTORS

Approval of the UNM Hospitals Contract with Hill-Rom

Steve McKernan presented the item. The contract with Hill-Rom is for additional hospital beds that are more effective than those currently used and will replace current equipment. The cost is approximately \$3.7 million.

The motion to approve the UNM Hospitals Contract with Hill-Rom passed by unanimous vote with a quorum of Regents present and voting (1st Doughty; 2nd Koch).

Approval of UNM Hospitals Property Disposition

Steve McKernan presented the item and outlined the \$2.7 million in surplus property up for disposition with a current book value of around \$70K. These are worn out, non-functional items.

The motion to approve the UNM Hospitals Property Disposition passed by unanimous vote with a quorum of Regents present and voting (1st Doughty; 2nd Koch).

Chancellor's Report

Chancellor Paul Roth provided a report to the Board and welcomed the additional two Regents to the HSC Board of Directors and extended a welcome and congratulations to new Student Regent Ryan Berryman. Dr. Roth introduced Tom Pollard who is the new HSC Student Council President. Mr. Pollard graduated from high school in Santa Fe, NM, and attended the University of Washington receiving a Bachelor's of Science in Biology. He returned to New Mexico for Medical School and will begin his second year in the Fall. In Spring 2015, the HSC graduated 40 Native American students who will receive degrees, 9 MDs, 5 Pharmacy Graduates, and 26 from other programs such as Nursing and the Allied Health Programs, which is a record number.

A brief update was provided on data for the Hospital census. The HSC Chief Medical Officer obtained averages from the last 6 months, which have been 94%. The average in the United States is somewhere around 75%, and if that percentage goes above 85% there runs the risk of running into problems with efficiencies, patient safety, quality concerns, and being able to handle surges during the course of the day. The University Hospital has been averaging 94% and on days with surges, the hospital has over 100% admitted patients, patients waiting in ER's, recovery rooms, and so on. On average there are 17 patients each day in the Emergency Department who have been admitted and are waiting on beds. The University has accepted 573 transfers of high care patients with very high complexity from Presbyterian, Lovelace, and the VA Hospital. In addition, 1700 patients have been accepted from other hospitals around New Mexico with similar high complex care. Unfortunately, the University Hospital has not been able to accept 450 patients in the last 6 months due to having no beds, so those patients would either remain in their community and not have those types of services provided to them, or they are transferred out of state.

Chancellor Roth asked for Dr. Richard Larson to provide an update to the Board on compliance inspections and accreditations.

Dr. Richard Larson, Executive Vice Chancellor and Vice President for Research, has been requested to come before the Board more frequently to provide updated related to research operations as well as academic activities at the HSC. Dr. Larson stated the HSC research mission is on track for another record funding year and anticipates it around \$160 million by the end of the month, which is the eleventh year of continual growth at the HSC. There is an effort underway to bring high school students on campus at UNM to encourage them to go into STEM-H careers. Under the leadership of Dr. Brian Gibbs, Associate Vice Chancellor for Diversity, 900 high school students will come to campus for an experience designed to encourage them into different STEM-H careers, 90% of them are from outside Bernalillo County and from underrepresented minorities. The students will be staying in dorms around Johnson Gym.

Dr. Larson provided an update on UNM Health Sciences Center Research Compliance Inspections and Accreditations. Research compliance is a topic that is not heard of often but is critical for a research operation to function. It does occur that academic health centers have their research occasionally stopped if it is not done properly. It is much more demanding than what you would typically see at a University. A pie graph was presented which displayed the various inspections and accreditations the HSC

must complete each year to keep the research mission going. There were 35 different agencies listed, primarily federal agencies, some state agencies, that inspect anywhere from every quarter to every couple of years. There are two accreditations that the UNM HSC must maintain. First, the AALAC International is an accreditation in animal care and welfare. The AALAC is the highest standard you can maintain in animal care and welfare and there are a couple thousand universities and other institutes around the world that are accredited by AALAC. The HSC has done so well the last 10 years that the AALAC accreditation has just given the HSC Emeritus Status this year, which only 12 universities have. In practical terms, they will come by less often to inspect, but it also means they have issued a formal commendation letters related to seven elements of our program. This is driven by the Veterinarian Kevin O'Hare who also operates as the veterinarian for animal compliance on Main Campus. Second, the AAHRPP accreditation is the highest standard of accreditation you can maintain for human participant research. It insures that your institution assures all rights to a participant in a research study and meeting all of those standards, which many are above federal requirements. The HSC was the sixth medical school in the country to receive this accreditation 10 years ago, now most medical schools in the country maintain that accreditation. Dr. Larson reviewed the different units of inspection the HSC has had. When an inspection team comes, they note findings rather than reporting an institution did a good job. The terminology the agencies use to report a positive result is 'no findings' or 'no deficiencies.'

Comments from Regents' Advisors

Texanna Martin, GPSA President, introduced herself to the Board and welcomed newly appointed Student Regent Ryan Berryman. Martin is a candidate for an MPA and has been a life-long New Mexico resident. She began her career at UNM at age 6, participating in a remedial program through the College of Education. Since then she has been passionate about UNM and graduate students. President Martin provided an update on current GPSA initiatives and events. GPSA has recently joined the National Association for Graduate and Professional Students and will be working on different topics with other Universities. Student Fee Review Board (SFRB) preparations have begun. GPSA has raised \$8K to help graduate students to summer school is currently reviewing applications for summer scholarships to be handed out in June. GPSA also offers grants and scholarships, which provide funding to graduate students for their research and professional development, applications open June 20th.

Jenna Hagengruber, ASUNM President, introduced herself to the Board and welcomed newly appointed Student Regent Ryan Berryman. Hagengruber is a senior at UNM and a double major in English and Philosophy with a minor in Honors. She has been an RA, chartered LoboThon, involved in Greek Life and served ASUNM as a senator, chair, and Vice President. President Hagengruber introduced current ASUNM Vice President, Alex Cervantes, a BA/MD Senior who has been involved in ASUNM as a senator and director.

Carl Alongi, Chair, UNM Foundation Board of Trustees, stated that this would be his final report for his two-year term as the Chair of the UNM Foundation Board of Trustees. Michelle Coons is the incoming Chair of the Foundation's Board. The University of New Mexico launched the Changing Worlds Campaign for 2020 in 2006. Since then, and with extra impetus provided by President Frank, nearly 65,291 generous donors have made this the largest campaign in UNM history with more than \$735 million in gifts in support of scholarships, patient care, research, and more. Fundraising highlights for the quarter were discussed and include a \$120K gift received by the School of Medicine, \$205K for the HSC Project Echo, \$900K for the School of Medicine's Bacterial Overgrowth Research, \$312K for the Robert Wood Johnson Center for Health Policy, \$537K for General Scholarships, \$1.5 million for Women STEM Faculty, and \$2.3 million for Army ROTC. The UNM Cancer Center secured a gift of \$1 million, which will be used to create a professorship and lecture series. These were all great gifts for the University of New Mexico and would not have occurred without the wonderful work that is done by the development team, President Frank, and the rest of the staff at the UNM Foundation. As of March 31, the Consolidated Investment Fund had a market value of \$408 million; the Woodward Endowment a market value of \$46 million; the Winrock Endowment a market value of \$29.7 million; the Regents' Endowment a market value of \$29 million; and the Mesa Del Sol Addition had a market value of \$6.7 million. The UNM Foundation budget is on target and is expected to have a surplus balance of \$109K at the end of June 2015. Mr. Alongi thanked the Board

for their support and courtesy, as it has been a pleasure to serve the UNM Foundation as Chair for the past two years.

President Frank thanked Mr. Alongi for his services to the UNM Foundation and stated that he has done a fantastic job as Chair.

Carol Stephens, Retiree Association President, introduced herself and welcomed newly appointed Student Regent Ryan Berryman to the Board. President Stephens worked at the University for 27 years as Director of the Policy Office and is a retired CPA. The past Retiree Association President, Bill Miller, recently returned from Ghana where he served as an unpaid consultant for Green World Health Net on a project working to prevent childhood death from Malaria. The trip was funded by the S.C. Johnson Corporation. The Director of Green World is a recipient of the Paul Rey Prize, which is a UNM sponsored award, and Bill will be going back to Ghana as they complete this critical project. UNM Retirees volunteer their time helping the UNM community. They also volunteer in their local communities for organizations such as the NM Coalition Against Domestic Violence, the Juvenile Diabetes Research Foundation, NM School for the Blind, Off Center Art, League of Women Voters, the ABQ Bio Park, the Humane Association, and many other organizations throughout the City and State. In March, the Retiree Association sponsored a healthcare workshop, which was attended by over 100 people. The keynote speaker was Dr. David Sklor, an Emeriti Distinguished Professor of Emergency Medicine at UNM. President Stephens praised Bernadette Jaramillo-Peck, Staff Support for Retiree Association, for her exemplary work. The five new Retiree Association Board members were identified and include Melanie Baise, Leslie Easom, Richard Mertz, Donna Smith, and Peter Winograd.

Fran Perea, Parent Association Secretary, provided an update on current initiatives and events. The Parent Association is thankful for support provided by the Board of Regents and Provost Abdallah to fulfill its mission. The mission continues to focus on promoting student success by engaging and educating parents and family members to play an active and supportive role in their student's education. The Parent Association provides a forum for information that is beneficial in helping them understand and access vital services available at UNM to help students succeed. Parent Day was held at the Pit on February 21 during the football game against UNLV, and raised \$1,245 for scholarships. Last semester, 9 scholarships were awarded in the Spring, and another 10 will be awarded in the Fall. The annual golf tournament will be October 3 and the funds raised will go to scholarships. Family Weekend will be on November 7.

Crystal Davis, Staff Council President, introduced herself to the Board and works as the Department Administrator for Africana Studies on Main Campus. UNM Staff Appreciation month was extended to include events throughout the year. Instead of a Staff Appreciation Picnic being held off campus, a luncheon free to staff was held at the UNM SUB during lunch hours and was attended by almost 2,000 employees, including HSC. President Davis discussed the staff engagement survey, which had a participation rate of 46%. The survey can be found on the University President's website and it was encouraged that all read it.

Pamela Pyle, Faculty Senate President, provided an update to the Board on current initiatives and events with the Faculty Senate. President Pyle stated that the Faculty Senate does not meet during the summer and spoke to the perception faculty do not work during the summer. While not working during the summer is a luxury, it is what is needed to create the space for thoughts, ideas, and creativity, which is then shared with students. The academic environment itself is a necessary luxury to better the minds and imagination of people everywhere. The contributions that come from academic basis are innumerable and qualitatively immeasurable. The space to create these ideas, which are inherent in academic life, make this an almost sacrosanct atmosphere. In answer to the question, "what do faculty do during the summer," faculty do a myriad of things, which include writing papers, books, music, giving lectures here and abroad, designing houses and commercial structures, delving into scientific research, on archeological digs, and leading workshops. All sorts of activities are happening to inform our teaching and create new opportunities as well. If campus seems a bit quiet, there is a sense of percolation, the work being done by our faculty on and off campus is now simmering to the surface and will contribute to the constant vitality of the University.

PUBLIC COMMENT (there were no comments)

VOTE TO CLOSE THE MEETING AND PROCEED IN EXECUTIVE SESSION

The motion to close the meeting and proceed in executive session passed by unanimous vote (1st Lee; 2nd Hosmer). The meeting closed at 12:04 pm.

Executive Session-Cherry Silver Room

1. Discussion and determination where appropriate of the purchase, acquisition or disposal of real property pursuant to Section 10-15-1.H(8), NMSA (1978)
2. Discussion and determination where appropriate of threatened or pending litigation pursuant to Section 10-15-1.H(7), NMSA (1978)
3. Discussion and determination where appropriate of limited personnel matters pursuant to Section 10-15-1.H(2), NMSA (1978)

Vote to re-open the meeting and certification that only those matters described in Agenda Items VIII were discussed in Closed Session and if necessary, final action with regard to those matters will be taken in Open Session.

The motion to re-open the meeting and certification that only those matters described in the executive session agenda were discussed in closed session passed by unanimous vote (1st Lee; 2nd Hosmer).

The meeting opened at 12:53 pm.

VOTE TO ADJOURN

The motion to adjourn the meeting passed by unanimous vote (1st Lee; 2nd Quillen). The meeting adjourned at 12:54 pm.

Approved:

Attest:

Jack L. Fortner, President

Bradley C. Hosmer, Secretary/Treasurer

The President's Administrative Report
will be presented at the meeting

Regents' Policy Manual - Section 1.2: Structure of the Board of Regents

DRAFT OF 8-6-2015



Adopted Date: 09-12-1996

Amended: 09-09-2003

Amended: 08-10-2004

Amended: 12-14-2004

Amended: 03-14-2005

Amended: 10-10-2006

Amended: 12-14-2010

Amended: 09-12-2014

Amended: 06-12-2015

Appointment of Members

The Board of Regents is composed of seven members who are appointed by the Governor of New Mexico, with the consent of the Senate, for staggered terms of six years except for the student regent who is appointed for a two-year term. The Governor and the Secretary of Education are designated as ex-officio, non-voting members, and the Presidents of the Faculty Senate, Staff Council, Associated Students of UNM, Graduate and Professional Student Association, Alumni Association, UNM Retiree Association, UNM Parent Association, and the Chair of the UNM Foundation are non-voting advisors.

Removal of Members

Members of the Board of Regents shall not be removed except for incompetence, neglect of duty, or malfeasance in office. A member may not be removed without notice of hearing and an opportunity to be heard. The New Mexico Supreme Court has original jurisdiction over

proceedings to remove a member of the Board of Regents in accordance with such rules as the Court may promulgate, and its decision in such matters is final.

Officers

The Board of Regents is required by statute to meet in Albuquerque on the second Monday in March of each year to elect a president and secretary-treasurer, at which time, though not required by statute, the Board also elects a vice president. The President presides at all meetings of the Board and signs all instruments required to be executed by the Board. When the President of the Board of Regents is absent, the Board of Regents hereby appoints the Vice-President to serve as President pro tem.

Compensation

Members of the Board are not remunerated for their services. They are, however, eligible for travel reimbursement, pursuant to the University's travel reimbursement policies. (See, [RPM 7.7](#) and [UAP 4030 \("Travel"\)](#).)

Conflict of Interest

Members of the Board are subject to the "Regent Code of Conduct and Conflicts of Interest Policy." (See, [RPM 1.8](#).)

Orientation of New Members

When a new member is appointed to the Board, the member may choose to receive hard copies of the Faculty Handbook and the Regents' Policy Manual, or elect to access the manuals online at <http://handbook.unm.edu> and at <http://policy.unm.edu/regents-policies/index.html>, respectively. New members also will receive a compilation of current New Mexico statutes pertaining to the Regents. The President will arrange briefing sessions for newly appointed Regents.

Standing Committees and Appointment to Board of Directors

In order to facilitate the work of the Board of Regents, the President of the Board of Regents appoints members to standing committees each year and appoints chairs and vice-chairs of each committee. As provided for in [RPM 3.5](#), the President of the Board of Regents appoints members to the [UNM Health Sciences Center HSC](#) Board of Directors. ~~The President of the Board of Regents may appoint him or herself as a member of a standing committee and the HSC-UNM Health Sciences Center Board of Directors.~~ The President of the Board of Regents shall consult with the Board of Regents concerning such appointments to committees and boards. Consistent with the requirements of the University Research Park and Economic Development Act (“URPEDA”), the President of the Board of Regents nominates members to serve on the various ~~Boards~~ ~~Boards~~ boards of ~~Directors~~ ~~Directors~~ directors of URPEDA corporations in which the University is a member following the approved bylaws of each URPEDA corporation and nominees will be appointed to each such Board upon the vote of a majority of the Regents.

The standing committees of the Board of Regents are Audit and Compliance; Finance and Facilities; and Academic, Student Affairs and Research. The President of the Board of Regents may name other standing committees with the consent of the Board of Regents.

Each standing committee shall consist of three Regent members, and such community and University members as the President of the Board of Regents deems appropriate. A quorum of a Regents' committee consists of a majority of the appointed members of that committee, regardless of whether those in attendance are Regent members or community members. ~~The President of the Board of Regents shall serve as a non-voting alternate, and may serve as a voting member whenever any of the Regent members are not present.~~ The President of the Board of Regents may designate him or herself or another Regent as alternate members of a standing committee or board who may serve as voting members whenever any of the Regent members are not present.

Each committee shall have a designated vice president responsible for assisting the chair of the committee. The chair of each committee shall be responsible for preparing the agenda for the committee meetings, in consultation with the vice president, the University President, and the President of the Board of Regents. The University President may submit agenda items for the committee to the President of the Board of Regents and the chair of the committee. All action items for the Board of Regents ~~must be~~ are typically first reviewed by a standing committee or by

the UNM Health Sciences Center Board of Directors, as the case may be, ~~unless this requirement is waived by the President of the Board of Regents.~~

The duties and responsibilities of the UNM Health Sciences Center Board of Directors are set forth in [RPM 3.5](#). The duties and responsibilities of the Audit and Compliance Committee are set forth in [RPM 7.3](#). The other standing committees' duties and functions are determined by the Board of Regents, and shall include at least gathering information, conferring with members of the administration, faculty, staff, student body, and public on the topics within the committee's duties, and making recommendations for action by the Board of Regents. The chairs of the standing committees (or vice-chairs in the absence of the chairs) shall report the committee recommendations to the Board of Regents at its meetings. Standing committees do not constitute a quorum of the Board of Regents and have no authority to act for the Board of Regents. The UNM Health Sciences Center Board of Directors and all standing committees will abide by the New Mexico Open Meetings Act, [except that in addition to the exclusions listed in the Act the standing committees may close meetings, when less than a quorum of the Board of Regents is present, in the following instances: \(1\) to meet with internal or external auditors to discuss any examination or audit prior to the release of an audit report; and/or \(2\) to meet with attorneys from the Office of University Counsel and/or hired outside counsel to discuss any matter that is protected by the attorney-client privilege.](#)

Consent Agenda Matters

Certain matters, or categories of matters, may be referred by the Board of Regents for discussion and recommendation by a standing committee (and, as appropriate, the UNM Health Sciences Board of Directors) and thereafter placed on the Board of Regents' consent agenda for approval by the Board of Regents without further discussion. All matters, or categories of matters, within the subject matters regularly assigned to a standing committee (or, the UNM Health Sciences Center Board of Directors, as the case may be) shall be deemed to have been referred by the Board to that standing committee. Any member of the Board of Regents shall have the right, upon request, to remove any item from the Board's consent agenda and place the item on the Board's regular agenda for discussion.

Operations Committee

In addition to the standing committees, there shall be an Operations Committee which shall consist of the President of the Board of Regents as chair, together with two other Regent

members appointed by the President of the Board. The Operations Committee will meet informally, including by telephone conference, to discuss the agenda for upcoming Board of Regents meetings and other items of business likely to come before the Board of Regents. The meetings of the Operations Committee will not be subject to requirements of the Open Meetings Act.

Ad Hoc Committees

From time to time the President of Board may appoint ad hoc committees consisting of two or three Regent members to gather information and make recommendations to the Board of Regents about specified matters. Public notice of such meetings will be given and public attendance and participation permitted as deemed appropriate by the committee chair.

Quorum for Meetings of the Board

Four of the seven members of the Board shall constitute a quorum for the transaction of business, except as to the five members of the Board when they are acting in their capacity as appointed members of the [HSC-UNM Health Sciences Center](#) Board of Directors. For these purposes, their participation in [HSC-UNM Health Sciences Center](#) Board of Directors' business and meetings shall not constitute a quorum for the transaction of Board business.

Agenda for Meetings

~~Items are typically considered by the appropriate Board of Regents' standing committee before going to the full Board of Regents.~~ The University President is responsible for preparation of the written agenda for Board of Regents meetings; the President consults with the President of the Board of Regents about each agenda. Copies of the agenda are distributed to the Regents, the University President, other administrators, representatives of the news media, other interested parties, and posted on the University's website.

Additions to the agenda may not be made less than 72 hours prior to the meeting, except in the case of an emergency as defined in the Open Meetings Act. The Board of Regents will consider such emergency changes to the agenda at the beginning of each meeting, but once the Board of Regents formally approves the agenda, it will be followed.

Persons or groups wishing to place an item on the agenda of the Board of Regents, whether for possible Board of Regents action or for purposes of addressing the Board of Regents about an item, must submit a letter to that effect to the President of the Board of Regents via the University President not less than four working days prior to the scheduled meeting. [Items are typically considered by the appropriate Board of Regents' committee before going to the full Board of Regents.](#) Final decisions to place items on the agenda or to refer items to committee will be rendered by the President of the Board of Regents after consultation with the University President.

Conduct of Meetings

Parliamentary procedure shall be governed by the most current revision of Roberts' Rules of Order, except that New Mexico law or other applicable Regents' policies will govern if there is a conflict with Roberts' Rules of Order.

References

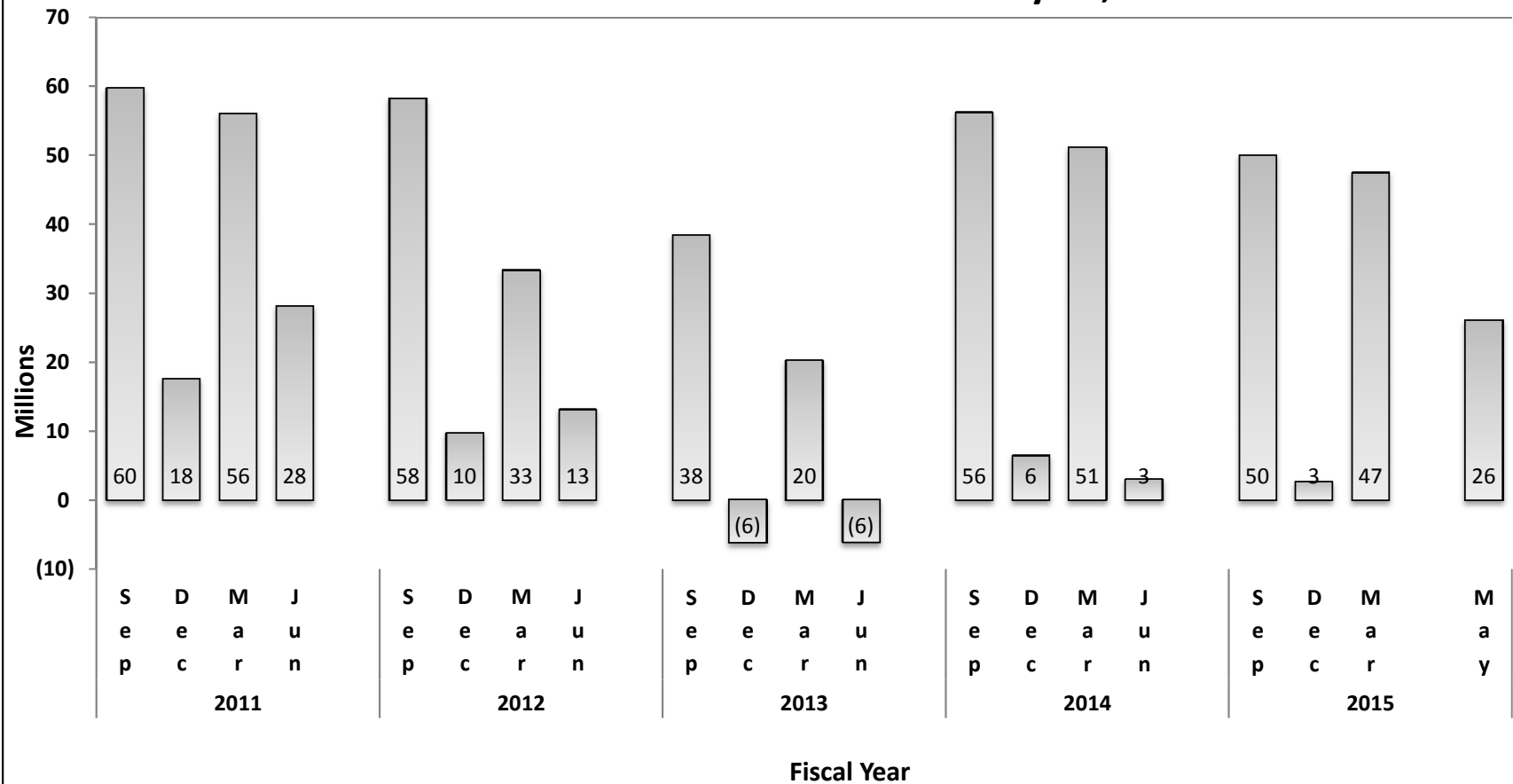
N.M. Const, art. XII, § 13 ("Board of regents")

N.M. STAT. ANN. §§ 21-1-9 ("Expenses of members of boards of regents"), 21-1-13 ("Ex officio board memberships of governor and superintendent of public instruction"), 21-7-5 ("Annual organization meeting of board; election of officers; bond of secretary-treasurer; conditions"), 21-7-6 ("President; secretary and treasurer; duties and powers") (1978)



THE UNIVERSITY of
NEW MEXICO

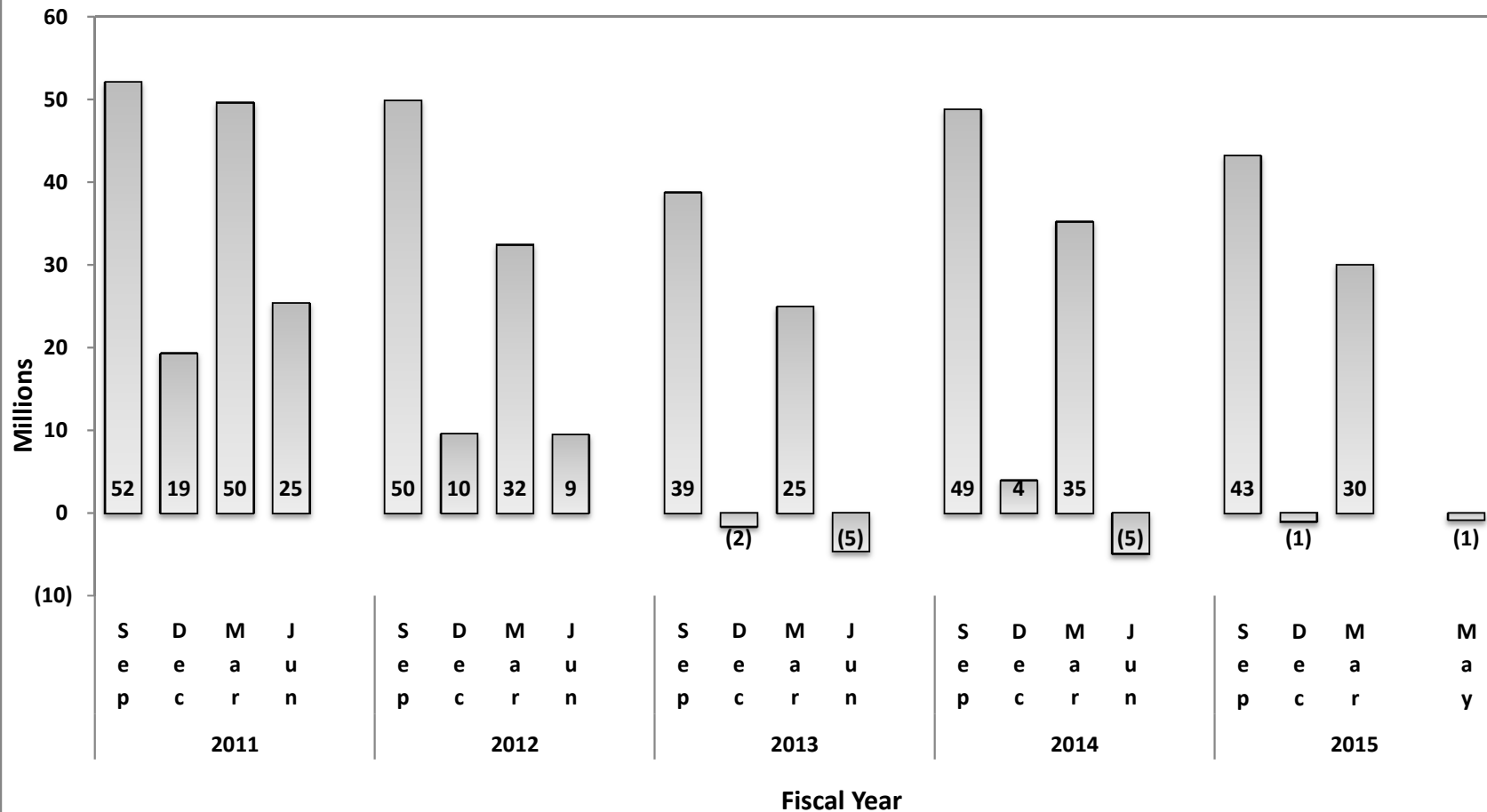
CONSOLIDATED Total Operations - 5 Year Net Revenue / (Expense)
Fiscal Year to Date as of May 31, 2015





THE UNIVERSITY of
NEW MEXICO

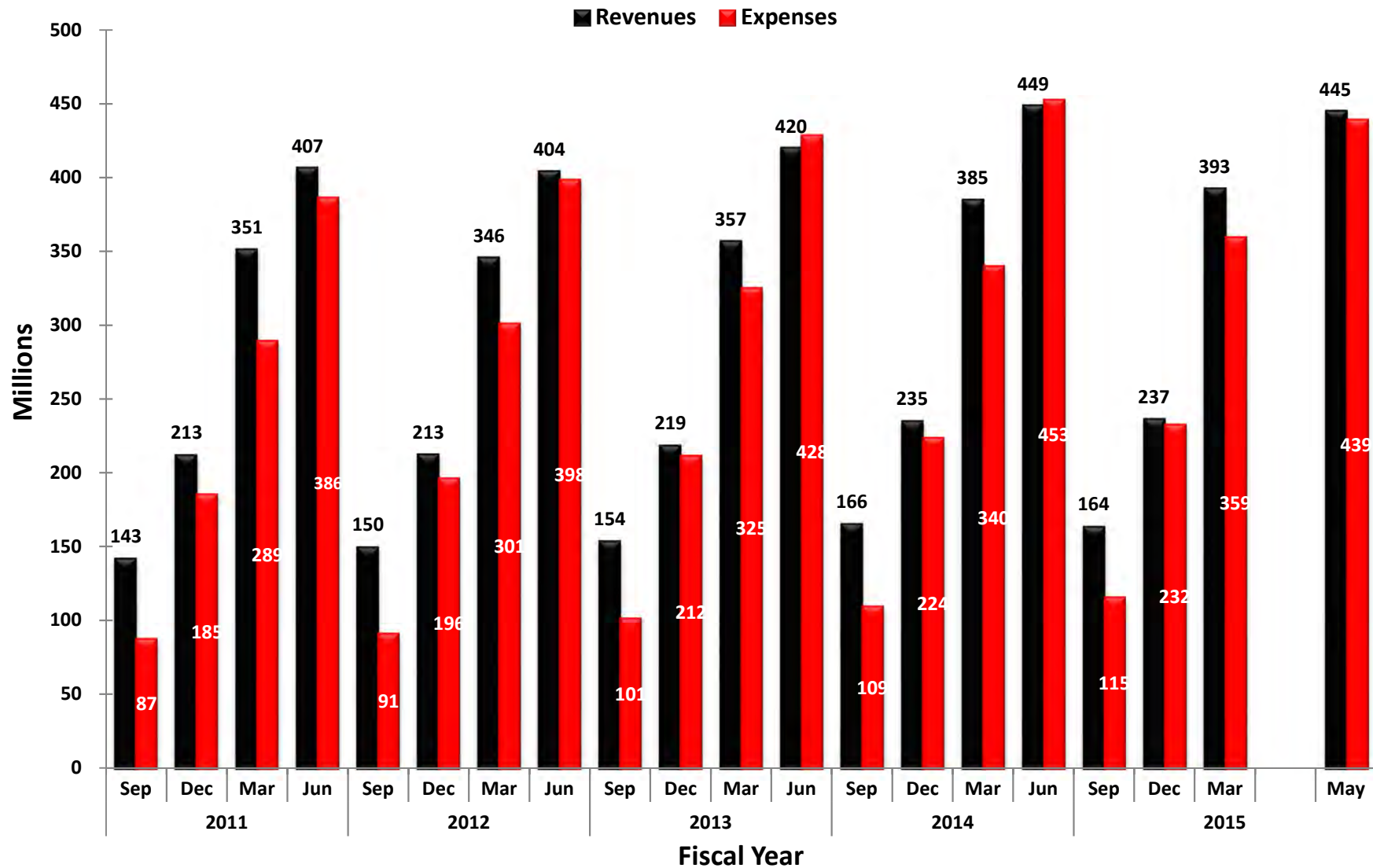
MAIN CAMPUS Operations - 5 Year Net Revenue / (Expense)
Fiscal Year to Date as of May 31, 2015





YTD I&G Consolidated Revenues / Expenses (5 Year)

Fiscal Year to Date as of May 31, 2015



Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the eleven month ended May 31, 2015
Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 92%
Instruction and General				
Tuition and Fees Revenues				
Main Campus	149,910,141	144,459,913	(5,450,228)	96%
Branch Campuses	7,321,690	7,094,771	(226,919)	97%
HSC Campus	15,097,082	15,621,204	524,122	103%
Total Tuition and Fees Revenues	172,328,913	167,175,888	(5,153,025)	97%
State/Local Appropriations	283,666,425	260,027,557	(23,638,868)	92%
F & A Revenues	44,500,000	39,293,873	(5,206,127)	88%
Transfers	(54,353,483)	(50,161,226)	4,192,257	92%
Other Revenues	28,554,446	28,640,174	85,728	100%
Total Instruction and General Revenues	474,696,301	444,976,266	(29,720,035)	94%
Salaries	293,417,818	270,652,660	22,765,158	92%
Benefits	97,143,409	86,100,222	11,043,187	89%
Other Expenses	102,137,963	82,227,431	19,910,532	81%
Total Instruction and General Expenses	492,699,190	438,980,313	53,718,877	89%
Net Instruction and General Revenue/(Expense)	(18,002,889)	5,995,953	23,998,842	
Research				
State/Local Appropriations	11,723,413	10,763,837	(959,576)	92%
Transfers	24,618,324	20,433,839	(4,184,485)	83%
Other Revenues	2,768,455	3,513,479	745,024	127%
Total Research Revenues	39,110,192	34,711,155	(4,399,037)	89%
Salaries and Benefits	26,143,349	21,256,204	4,887,145	81%
Other Expenses	27,248,102	15,563,372	11,684,730	57%
Total Research Expenses	53,391,451	36,819,576	16,571,875	69%
Net Research Revenue/(Expense)	(14,281,259)	(2,108,421)	12,172,838	
Public Service				
State/Local Appropriations	4,590,850	4,208,279	(382,571)	92%
Sales and Services Revenues	18,150,140	16,561,204	(1,588,936)	91%
Gifts	9,676,722	10,327,843	651,121	107%
Transfers	3,167,776	655,474	(2,512,302)	21%
Other Revenues	7,418,887	6,813,609	(605,278)	92%
Total Public Service Revenues	43,004,375	38,566,409	(4,437,966)	90%
Salaries and Benefits	23,341,247	19,838,123	3,503,124	85%
Other Expenses	26,323,841	18,544,459	7,779,382	70%
Total Public Service Expenses	49,665,088	38,382,582	11,282,506	77%
Net Public Service Revenue/(Expense)	(6,660,713)	183,827	6,844,540	

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the eleven month ended May 31, 2015
Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 92%
Student Aid				
Gifts	6,261,312	5,464,141	(797,171)	87%
State Lottery Scholarship	37,485,948	37,485,948	-	100%
Transfers	18,935,410	16,991,944	(1,943,466)	90%
Other Revenues	968,509	978,405	9,896	101%
Total Student Aid Revenues	63,651,179	60,920,438	(2,730,741)	96%
Salaries and Benefits	5,088,701	4,314,739	773,962	85%
Other Expenses	63,960,405	55,697,409	8,262,996	87%
Total Student Aid Expenses	69,049,106	60,012,148	9,036,958	87%
Net Student Aid Revenue/(Expense)	(5,397,927)	908,290	6,306,217	
Student Social & Cultural Programs				
Fee Revenues	8,227,848	7,747,730	(480,118)	94%
Sales and Services Revenues	1,515,954	1,597,277	81,323	105%
Transfers	(1,004,977)	(847,139)	157,838	84%
Other Revenues	172,262	194,413	22,151	113%
Total Student Social & Cultural Programs Revenues	8,911,087	8,692,281	(218,806)	98%
Salaries and Benefits	4,362,432	4,125,507	236,925	95%
Other Expenses	5,150,127	4,506,173	643,954	87%
Total Student Social & Cultural Programs Expenses	9,512,559	8,631,680	880,879	91%
Net Student Social & Cultural Programs Revenue/(Expense)	(601,472)	60,601	662,073	
Auxiliaries and Athletics				
Branch Campuses Auxiliary Revenues	2,466,867	1,911,552	(555,315)	77%
Main Campus Auxiliaries Revenues	51,165,638	51,466,651	301,013	101%
Athletics Revenues	32,941,100	32,548,712	(392,388)	99%
Total Auxiliaries and Athletics Revenues	86,573,605	85,926,915	(646,690)	99%
Branch Campuses Auxiliary Expenses	3,045,266	2,382,521	662,745	78%
Main Campus Auxiliaries Expenses	51,858,725	48,401,147	3,457,578	93%
Athletics Expenses	33,487,744	32,761,809	725,935	98%
Total Auxiliaries and Athletics Expenses	88,391,735	83,545,477	4,846,258	95%
Net Auxiliaries and Athletics Revenue/(Expense)	(1,818,130)	2,381,438	4,199,568	

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the eleven month ended May 31, 2015
Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 92%
Sponsored Programs				
Federal Grants and Contracts Revenues	240,888,139	223,998,822	(16,889,317)	93%
State and Local Grants and Contracts Revenues	30,710,194	26,863,343	(3,846,851)	87%
Non-Governmental Grants and Contracts Revenues	32,524,229	31,714,839	(809,390)	98%
Gifts	-	69,383	69,383	N/A
Transfers	3,989,988	2,424,437	(1,565,551)	61%
Other Revenues	4,000	-	(4,000)	0%
Total Sponsored Programs Revenues	308,116,550	285,070,824	(23,045,726)	93%
Salaries and Benefits	140,822,824	124,027,373	16,795,451	88%
Other Expenses	167,293,726	161,043,451	6,250,275	96%
Total Sponsored Programs Expenses	308,116,550	285,070,824	23,045,726	93%
Net Sponsored Programs Revenue/(Expense)	-	-	-	
Clinical Operations				
State/Local Appropriations	26,204,400	24,020,701	(2,183,699)	92%
Physician Professional Fee Revenues	122,952,580	113,267,464	(9,685,116)	92%
Hospital Facility Revenues	836,612,594	799,224,812	(37,387,782)	96%
Other Patient Revenues, net of Allowance	125,977,953	115,499,581	(10,478,372)	92%
Mil Levy	92,780,043	85,048,373	(7,731,670)	92%
Investment Income	13,274,528	15,732,560	2,458,032	119%
Gifts	4,021,645	3,082,376	(939,269)	77%
Housestaff Revenues	33,949,203	31,138,323	(2,810,880)	92%
Other Revenues	19,932,419	18,091,577	(1,840,842)	91%
Total Clinical Operations Revenues	1,275,705,365	1,205,105,767	(70,599,598)	94%
Salaries and Benefits	663,472,826	597,520,451	65,952,375	90%
Interest Expense	8,048,135	7,515,778	532,357	93%
Housestaff Expenses	33,947,881	31,231,777	2,716,104	92%
Other Expenses	561,445,745	550,078,394	11,367,351	98%
Total Clinical Operations Expenses	1,266,914,587	1,186,346,400	80,568,187	94%
Net Clinical Operations Revenue/(Expense)	8,790,778	18,759,367	9,968,589	
Contingencies				
Total Contingency Revenues	1,568,473	(53,333)	(1,621,806)	-3%
Total Contingency Expenses	(6,081,735)	28,807	6,110,542	0%
Net Contingencies Revenue/(Expense)	7,650,208	(82,140)	(7,732,348)	
Net Current Revenue/(Expense)	(30,321,404)	26,098,915	56,420,319	

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the eleven month ended May 31, 2015
Preliminary and Unaudited

University of New Mexico - Main Campus Athletics & Auxiliary Operations

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 92%
Results of Athletics Operations:				
Athletics Revenues	36,421,474	34,717,085	(1,704,389)	95%
Athletics Transfers	(3,480,374)	(2,168,373)	1,312,001	62%
Total Athletics Revenues	32,941,100	32,548,712	(392,388)	99%
Athletics Expenses				
Salaries and Benefits	14,337,122	13,807,154	529,968	96%
Grant-in-Aid	4,095,878	4,199,770	(103,892)	103%
Other Expenses	15,054,744	14,754,885	299,859	98%
Total Athletics Expenses	33,487,744	32,761,809	725,935	98%
Total Net Athletics Revenue/(Expense)	(546,644)	(213,097)	333,547	
Results of Auxiliary Operations:				
VP for Institutional Support Services				
Bookstore Revenues	15,474,125	14,083,675	(1,390,450)	91%
Bookstore Transfers	(703,860)	(320,833)	383,027	46%
Total Bookstore Revenues	14,770,265	13,762,842	(1,007,423)	93%
Total Bookstore Expenses	14,770,265	13,492,276	1,277,989	91%
Net Bookstore Revenue/(Expense)	-	270,566	270,566	
Faculty & Staff Club Revenues	79,700	77,493	(2,207)	97%
Faculty & Staff Club Expenses	79,700	53,419	26,281	67%
Net Faculty & Staff Club Revenue/(Expense)	-	24,074	24,074	
Food Service/Dining Revenues	3,022,800	2,765,884	(256,916)	92%
Food Service/Dining Transfers	(1,369,845)	(545,858)	823,987	40%
Total Food Service/Dining Revenues	1,652,955	2,220,026	567,071	134%
Total Food Service/Dining Expenses	1,852,955	1,519,655	333,300	82%
Net Food Service/Dining Revenue/(Expense)	(200,000)	700,371	900,371	
Golf Courses Revenues	2,640,517	1,954,884	(685,633)	74%
Golf Courses Transfers	(83,352)	(79,901)	3,451	96%
Total Golf Courses Revenues	2,557,165	1,874,983	(682,182)	73%
Total Golf Courses Expenses	2,557,165	2,149,476	407,689	84%
Net Golf Courses Revenue/(Expense)	-	(274,493)	(274,493)	
Housing	10,914,786	11,274,846	360,060	103%
Housing Transfers	(4,194,017)	(3,396,751)	797,266	81%
Total Housing Revenues	6,720,769	7,878,095	1,157,326	117%
Total Housing Expense	6,720,769	7,224,905	(504,136)	108%
Net Housing Revenue/(Expense)	-	653,190	653,190	
Other	1,290,733	(341,000)	(1,631,733)	-26%
Other Transfers	(1,155,000)	-	1,155,000	0%
Total Other Revenues	135,733	(341,000)	(476,733)	-251%
Total Other Expense	635,150	24,868	610,282	4%
Net Other Revenue/(Expense)	(499,417)	(365,868)	133,549	

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the eleven month ended May 31, 2015
Preliminary and Unaudited

University of New Mexico - Main Campus Athletics & Auxiliary Operations

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 92%
Parking and Transportation Revenues	8,578,293	8,216,594	(361,699)	96%
Parking and Transfers	(3,479,437)	(1,734,676)	1,744,761	50%
Total Parking and Trans Revenues	5,098,856	6,481,918	1,383,062	127%
Total Parking and Trans Expenses	5,098,856	5,372,380	(273,524)	105%
Net Parking and Trans Revenue/(Expense)	-	1,109,538	1,109,538	
Popejoy Events Revenues	7,674,664	7,481,309	(193,355)	97%
Popejoy Events Transfers	143,233	143,233	-	100%
Total Popejoy Events Revenues	7,817,897	7,624,542	(193,355)	98%
Total Popejoy Events Expenses	7,717,897	7,245,812	472,085	94%
Net Popejoy Events Revenue/(Expense)	100,000	378,730	278,730	
Taos & Lawrence Ranch Revenues	125,922	74,049	(51,873)	59%
Taos & Lawrence Ranch Expenses	125,922	42,118	83,804	33%
Net Taos & Lawrence Ranch Revenue/(Expense)	-	31,931	31,931	
Ticketing Services Revenues	831,023	1,036,485	205,462	125%
Ticketing Services Transfers	74,595	74,595	-	100%
Total Ticketing Services Revenues	905,618	1,111,080	205,462	123%
Total Ticketing Services Expenses	905,618	905,606	12	100%
Net Ticketing Services Revenue/(Expense)	-	205,474	205,474	
Total VP for Institutional Support Services Revenues	39,864,880	40,764,028	899,148	102%
Total VP for Institutional Support Services Expenses	40,464,297	38,030,515	2,433,782	94%
Net VP for Institutional Support Services Revenue/(Expense)	(599,417)	2,733,513	3,332,930	
VP for Student Affairs				
Lobo Cash Revenues	86,564	85,357	(1,207)	99%
Lobo Cash Expenses	124,564	124,542	(22)	100%
Net Lobo Cash Revenue/(Expense)	(38,000)	(39,185)	(1,185)	
Student Health Center Revenues	7,718,432	7,412,533	(305,899)	96%
Student Health Center Expenses	7,724,617	7,232,970	491,647	94%
Net Student Health Center Revenue/(Expense)	(6,185)	179,563	185,748	
Student Union Revenues	3,265,422	2,963,665	(301,757)	91%
Student Union Expenses	3,265,422	2,814,111	451,311	86%
Net Student Union Revenue/(Expense)	-	149,554	149,554	
Total VP for Student Affairs Revenues	11,070,418	10,461,555	(608,863)	95%
Total VP for Student Affairs Expenses	11,114,603	10,171,623	942,936	92%
Net VP for Student Affairs Revenue/(Expense)	(44,185)	289,932	334,117	

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the eleven month ended May 31, 2015
Preliminary and Unaudited

University of New Mexico - Main Campus Athletics & Auxiliary Operations

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 92%
Provost and Other Units				
Art Museum Revenues	5,500	3,292	(2,208)	60%
Art Museum Expenses	5,500	197	5,303	4%
Net Art Museum Revenue/(Expense)	-	3,095	3,095	
CE Conference Ctr Revenues	223,488	185,834	(37,654)	83%
CE Conference Ctr Transfers	(38,315)	(39,900)	(1,585)	104%
Total CE Conference Ctr Revenues	185,173	145,934	(39,239)	79%
Total CE Conference Ctr Expenses	185,173	132,060	53,113	71%
Net CE Conference Ctr Revenue/(Expense)	-	13,874	13,874	
Maxwell Museum Revenues	40,000	44,853	4,853	112%
Maxwell Museum Expenses	40,000	14,602	25,398	37%
Net Maxwell Museum Revenue/(Expense)	-	30,251	30,251	
Other Revenues	(333)	46,989	47,322	-14111%
Other Expenses	49,152	52,150	(2,998)	106%
Net Other Revenue/(Expense)	(49,485)	(5,161)	44,324	
Total Provost and Other Units Revenues	230,340	241,068	10,728	105%
Total Provost and Other Units Expenses	279,825	199,009	80,816	71%
Net Provost and Other Units Revenue/(Expense)	(49,485)	42,059	91,544	
Auxiliary Totals				
Total Auxiliary Revenues	51,165,638	51,466,651	301,013	101%
Total Auxiliary Expenses	51,858,725	48,401,147	3,457,578	93%
Net Auxiliary Revenue/(Expense)	(693,087)	3,065,504	3,758,591	
Net Athletics Revenue/(Expense)	(546,644)	(213,097)	333,547	
Net Auxiliary and Athletics Revenue/(Expense)	(1,239,731)	2,852,407	4,092,138	
Net Branch Campuses Aux Revenue/(Expense)	(578,399)	(470,969)	107,430	
Net All Auxiliary and Athletics Revenue/(Expense)	(1,818,130)	2,381,438	4,199,568	

Executive Budget Summary

University of New Mexico Consolidated Financial Report

FY 2015 UNM Revised Budget

This report covers current fund operations for the University, including Main Campus, Branch Campuses and HSC Campus.

Instruction and General operations projects a use of reserves of \$18.0M for the FY 2015 UNM Revised Budget. The use of reserves of \$18.0M is comprised of \$14.1M use of reserves at the Main Campus, a \$3.7M use of reserves at the Branch Campuses, and a 255K use of reserves at the HSC Campus. The \$14.1M use of reserves at Main Campus is primarily due to \$1.5M of one-time monies funding the I&G budget, \$432K SFRB one-time use of mandatory student fee reserves and Provost Academic Affairs budgeting \$10.5M of reserves. Within Provost Academic Affairs, Provost Administrative Units, College of Arts and Sciences, College of Education, and University Libraries departments budgeted the largest use of reserves.

The next block of information shows our **Unrestricted Research** operations. The FY 2015 UNM Revised Budget shows a use of reserves of \$14.3M, of which \$11.1M use of reserves is related to Main Campus and a \$3.2M use of reserves is related to HSC Campus. The \$11.1M use of reserves at Main Campus is primarily due to Provost Academic Affairs' departments budgeting reserves. The departments that budgeted the largest use of reserves are, Provost Administrative Units, College of Arts and Sciences, College of Education, School of Engineering, and VP Research & Economic Development. At the HSC Campus approximately \$737K of reserve balances were budgeted by the SOM to support research initiatives. The College of Pharmacy budgeted \$936K for faculty start-up funding, research development and lab support and professional development. The College of Nursing budgeted \$141K for institutional support costs. The HSC VP of Research budgeted \$442K of reserves for consulting services, inter-institution pilots, mini-sabbaticals and a new CTSC scholar and the accompanying start-up package. Additionally, at the HSC \$944K is budgeted for backfill renovations for spaces vacated by units moving to 1650 University during FY 2015.

The third business category shown on the first page of this report is **Unrestricted Public Service**. The operations in this category include special projects funded by State Appropriations, for example Project ECHO, and non-endowed gifts flowing from the UNM Foundation to departments and many small events funded by user fees. The FY 2015 UNM Revised Budget shows a use of reserves of \$6.7M. These reserves are comprised of \$5.6M use of reserves at the Main Campus and Branch Campuses and a use of reserves in the amount of \$1.1M at the HSC Campus. The \$5.6M use of reserves at the Main and Branch Campuses is primarily due to Provost Academic Affairs' departments budgeting reserves. The departments budgeting the largest use of reserves are: Provost Administrative Units, College of Fine Arts, College of Arts and Sciences, College of Education, School of Engineering, School of Law, School of Architecture Planning, University Libraries, and VP Research & Economic Development.

Page 2 of this report begins with the **Student Aid** function. The FY 2015 UNM Revised Budget projects a use of reserves of \$5.4M. These reserves are comprised of a \$4.4M use of reserves at the Main and Branch Campuses and a use of reserves of \$957K at the HSC Campus. The budgeted use of reserves at Main Campus is primarily due to Provost Academic Affairs' departments budgeting reserves in endowed spending indices for the payout of major and departmental scholarships in the new fiscal year. Those departments include: VP Division of Enrollment Management, College of Fine Arts, College of Arts and Sciences, College of Education, and School of Law.

Student Activities are the operations of Student Government and Student organizations. The FY 2015 UNM Revised Budget shows a use of reserves of \$601K.

Auxiliaries and Athletics

The FY 2015 UNM Revised Budget for Auxiliaries and Athletics projected a use of reserves of \$1.8M. These reserves are primarily due to a combination of Athletics budgeting a \$547K use of reserves, VP for Institutional Support Services budgeting a \$599K use of reserves, VP for Student Affairs budgeting a \$44K use of reserves, Provost and Other Units budgeting a \$49K use of reserves, and the branch campuses budgeting a use of reserves of \$578K.

Sponsored programs operations are our contract and grant research and public service projects funded by outside agencies and companies. These operations will always record a zero net revenue over expense by the nature of their funding. The agency pays for a service or research project, and normally any unspent funds must be returned to the agency.

The next block of numbers on the third page is a summary of our **Clinical Operations**. These operations are essentially all the patient care activities of the HSC Campus, including the UNM Hospitals, SOM physician professional services, Cancer Center operations and Housestaff/Medical Residents who are being trained in the UNM and VA hospitals. The FY 2015 UNM Operating Budget projects a favorable net margin of \$8.8M. UNM Hospitals budgeted a favorable net margin of \$6.9M; the School of Medicine budgeted a favorable net margin of \$1.9M.

UNM Debt Service Schedule

As of May 31, 2015

*Includes Hospital Debt

UNM Bond Issue	Fixed or Variable Rate Issue	Original Issue Amount	Outstanding Principal Balance on June 30, 2014	Principal Payment due on June 1, 2015	Interest Payment paid on December 1, 2014	Interest Payment due on June 1, 2015	FY 2015 Principal & Interest
Sub Lien System Imp Revenue Bonds (4) Series 2014 A: Interest Range 3.0% to 5.0% Final Maturity Year 2033	Fixed Rate	\$10,980,000	\$0 Refunding 11/12/14	\$1,265,000	\$0	\$246,649	\$1,511,649
Sub Lien System Rfdg Revenue Bonds (5) Series 2014 B: Interest Range 0.496% to 3.280% Final Maturity Year 2024	Fixed Rate	\$3,710,000	\$0 Refunding 11/12/14	\$345,000	\$0	\$44,302	\$389,302
Sub Lien System Rfdg Revenue Bonds (6) Series 2014 C: Interest Range 1.5% to 5.0% Final Maturity Year 2035	Fixed Rate	\$100,085,000	\$0 Refunding 12/02/14	\$2,470,000	\$0	\$2,445,239	\$4,915,239
Sub Lien System Imp Revenue Bonds (3) Series 2012: Interest Range 2.0% to 5.0% Final Maturity Year 2032	Fixed Rate	\$35,215,000	\$31,890,000	\$1,345,000	\$749,700	\$749,700	\$2,844,400
Sub Lien System Imp Revenue Bonds Series 2007 A&B: Interest Range 4.096% to 5.302% Final Maturity Year 2036	Fixed Rate	\$136,710,000	\$129,780,000	\$1,915,000	\$3,242,812	\$3,242,812	\$8,400,624
(1) FHA Insured Hospital Mortgage Revenue Bonds Series 2004: Interest Range 2.0% to 5.0% Final Maturity Year 2031	Fixed Rate	\$192,250,000	\$159,420,000	\$5,495,000 (\$2,715,000 paid 7/1/2014) (\$2,780,000 due 1/2/2015)	\$3,843,575 (paid 1/2/2015)	\$3,908,150 (paid 7/1/2014)	\$13,246,725
Sub Lien Sys Rfdg Revenue Bonds (2) Series 2002 B: Variable Rate Demand Bonds - rates reset weekly Weekly rate as of June 30, 2014 was 0.05% Final Maturity Year 2026	Variable Rate	\$25,475,000	\$20,600,000	\$1,540,000	\$387,400	\$394,490	\$2,321,890
Sub Lien System Rfdg Revenue Bonds (2) Series 2002 C: Variable Rate Demand Bonds - rates reset weekly Weekly rate as of June 30, 2014 was 0.05% Final Maturity Year 2030	Variable Rate	\$37,840,000	\$34,315,000	\$910,000	\$664,090	\$676,006	\$2,250,096
Sub Lien System Imp Revenue Bonds (2) Series 2001: Variable Rate Demand Bonds - rates reset weekly Weekly rate as of June 30, 2014 was 0.07% Ceiling of 12% Final Maturity Year 2026	Variable Rate	\$52,625,000	\$33,190,000	\$2,170,000	\$350,657	\$663,800	\$3,184,457
System Revenue Bonds Series 2000 B: Interest Range 5.50% to 6.35% Final Maturity Year 2019	Fixed Rate	\$6,621,671	\$1,775,168	\$442,934	\$0	\$672,066	\$1,115,000
System Revenue Rfdg Bonds Series 1992 A: Interest Range 6.0% to 6.25% Final Maturity Year 2021	Fixed Rate	\$36,790,000	\$22,535,000	\$1,950,000	\$468,600	\$468,600	\$2,887,200
Grand Total		\$638,301,671	\$433,505,168	\$19,847,934	\$9,706,834	\$13,511,814	\$43,066,581

Note: See attached matrix for funding sources.

(1) Source: UNM Hospital - Both UNM Hospital Principal and Interest payments are made on July 1st and January 1st.

(2) Variable Rate bonds reflect the actual synthetically fixed interest rate that UNM pays.

It is noted that all ranges of interest rates and final maturity dates are reflective of Serial bonds.

(3) Series 2012 bonds refunded 2002A bonds,

(4) Series 2014A bonds refunded 2003A, 2003B bonds,

(5) Series 2014B bonds refunded 2003C bonds,

(6) Series 2014C bonds refunded 2005A bonds,

FY15 UNM Debt Service - Source of Funds

As of May 31, 2015

	Series 2014A	Series 2014B	Series 2014C	Series 2012	Series 2007A&B	Series 2004 (UNMH Bond)	Series 2002C	Series 2002B	Series 2001	Series 1992
Student Fees- Facility	X		X	X	X		X	X		X
Student Fees - IT			X	X						
Parking Services			X	X	X					X
UNM Hospital	X					X		X		X
Bookstore	X									X
Housing & Dining Services	X			X			X			
Building R&R	X							X		X
Real Estate Department	X	X			X			X		
Physical Plant Department			X	X	X			X	X	
Information Technologies			X	X						
Athletics					X					
KNME										X
Opto Bldg (CHTM Res Park)								X		
CRTC								X		
Continuing Education								X		
Golf Course - North & South							X			
HSC				X	X					
Interest on Reserve Funds	X						X	X		

Statements of Revenues, Expenses and Changes in Net Assets - UNM Regents Format
For the eleven month period ended May 31, 2015
Preliminary and Unaudited

Detail of State/Local Appropriations
Consolidated - Total Operations Current Funds

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 92%
Instruction and General				
Instruction & General Appropriations	273,828,500	251,009,459	(22,819,041)	92%
State Special Project Appropriations	1,161,200	1,064,433	(96,767)	92%
Tobacco Settlement Appropriations	1,130,600	1,036,383	(94,217)	92%
Mill Levy	7,546,125	6,917,282	(628,843)	92%
Total Instruction and General Appropriations	<u>283,666,425</u>	<u>260,027,557</u>	<u>(23,638,868)</u>	<u>92%</u>
Research				
State Special Project Appropriations	6,795,050	6,228,797	(566,253)	92%
Tobacco Settlement Appropriations	979,800	898,150	(81,650)	92%
Cigarette Tax Appropriations	3,948,563	3,636,890	(311,673)	92%
Total Research Appropriations	<u>11,723,413</u>	<u>10,763,837</u>	<u>(959,576)</u>	<u>92%</u>
Public Service				
State Special Project Appropriations	4,590,850	4,208,279	(382,571)	92%
Total Public Service Appropriations	<u>4,590,850</u>	<u>4,208,279</u>	<u>(382,571)</u>	<u>92%</u>
Clinical Operations				
State Special Project Appropriations	25,352,700	23,239,976	(2,112,724)	92%
Tobacco Settlement Appropriations	851,700	780,725	(70,975)	92%
Total Clinical Operations Appropriations	<u>26,204,400</u>	<u>24,020,701</u>	<u>(2,183,699)</u>	<u>92%</u>

Statements of Revenues, Expenses and Changes in Net Assets - UNM Regents Format
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Detail of State/Local Appropriations
Main Campus - Total Operations Current Funds

	FY 2015 Full Year Revised Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 92%
Instruction and General				
Instruction & General Appropriations	189,217,900	173,449,742	(15,768,158)	92%
State Special Project Appropriations				
African American Student Services	72,700	66,642	(6,058)	92%
Disabled Student Services	191,900	175,908	(15,992)	92%
ENLACE	64,100	58,758	(5,342)	92%
Hispanic Student Center	158,100	144,925	(13,175)	92%
Minority Graduate Recruitment	118,600	108,717	(9,883)	92%
Native American Studies Intervention	356,400	326,700	(29,700)	92%
Pre-College Minority Student Math & Science	199,400	182,783	(16,617)	92%
Total State Special Project Appropriations	1,161,200	1,064,433	(96,767)	92%
Total Instruction and General Appropriations	190,379,100	174,514,175	(15,864,925)	92%
Research				
State Special Project Appropriations				
Center for Regional Studies (SW Research Ctr)	988,250	905,896	(82,354)	92%
Drought Study Consortium	99,700	91,392	(8,308)	92%
Manufacturing Engineering	561,900	515,075	(46,825)	92%
Morrissey Hall	47,600	43,633	(3,967)	92%
Resource Geographic Information System	66,300	60,775	(5,525)	92%
Utton Transboundary Resource Center	346,300	317,442	(28,858)	92%
Total State Special Project Appropriations	2,110,050	1,934,213	(175,837)	92%
Total Research Appropriations	2,110,050	1,934,213	(175,837)	92%
Public Service				
State Special Project Appropriations				
Bureau of Business Research (Census)	384,700	352,642	(32,058)	92%
College Prep Mentoring/School of Law	120,800	110,733	(10,067)	92%
College Preparatory Mentoring	171,500	157,208	(14,292)	92%
Corrine Wolfe Law Center/Child Abuse Training	171,900	157,575	(14,325)	92%
Family Development Program	518,600	475,383	(43,217)	92%
ISTEC	48,800	44,733	(4,067)	92%
Judicial Selection	23,000	21,083	(1,917)	92%
KNME-TV	1,177,300	1,079,192	(98,108)	92%
Land Grant Studies Program	131,800	120,817	(10,983)	92%
N. M. Historical Review	48,000	44,000	(4,000)	92%
Small Business Innovation & Research Outreach	224,400	205,700	(18,700)	92%
Southwest Indian Law Clinic	207,600	190,300	(17,300)	92%
Spanish Colonial Research Center (SW Research Ctr)	148,750	136,354	(12,396)	92%
Spanish Resource Center	41,800	38,317	(3,483)	92%
Substance Abuse Program	138,200	126,683	(11,517)	92%
Wildlife Law Education	96,400	88,367	(8,033)	92%
Total State Special Project Appropriations	3,653,550	3,349,087	(304,463)	92%
Total Public Service Appropriations	3,653,550	3,349,087	(304,463)	92%

Statements of Revenues, Expenses and Changes in Net Assets - UNM Regents Format
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Detail of State/Local Appropriations

Branch Campuses - Total Operations Current Funds

	FY 2015 Full Year Revised Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 92%
Instruction and General				
Instruction & General Appropriations				
Gallup	9,481,500	8,691,375	(790,125)	92%
Los Alamos	1,905,100	1,746,342	(158,758)	92%
Valencia	5,715,600	5,239,300	(476,300)	92%
Taos	3,732,200	3,421,183	(311,017)	92%
Total Instruction & General Appropriations	20,834,400	19,098,200	(1,736,200)	92%
Mill Levy				
McKinley County	2,450,000	2,245,834	(204,166)	92%
Los Alamos County	702,500	643,958	(58,542)	92%
Valencia County	2,613,425	2,395,640	(217,785)	92%
Taos County	1,780,200	1,631,850	(148,350)	92%
Total Mill Levy	7,546,125	6,917,282	(628,843)	92%
Total Branch Appropriations	28,380,525	26,015,482	(2,365,043)	92%

Statements of Revenues, Expenses and Changes in Net Assets - UNM Regents Format
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Detail of State/Local Appropriations
Health Sciences Center - Total Operations Current Funds

	FY 2015 Full Year Revised Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 92%
Instruction and General				
Instruction & General Appropriations	63,776,200	58,461,517	(5,314,683)	92%
Tobacco Settlement Appropriations				
Instruction & General	610,524	559,647	(50,877)	92%
Pediatric Specialty Education	260,038	238,368	(21,670)	92%
Trauma Specialty Education	260,038	238,368	(21,670)	92%
Total Tobacco Settlement Appropriations	1,130,600	1,036,383	(94,217)	92%
Total Instruction and General Appropriations	64,906,800	59,497,900	(5,408,900)	92%
Research				
State Special Project Appropriations				
Cancer Center	2,691,200	2,465,947	(225,253)	92%
Hepatitis C, Project ECHO	1,993,800	1,828,637	(165,163)	92%
Total State Special Project Appropriations	4,685,000	4,294,584	(390,416)	92%
Tobacco Settlement Appropriations				
Genomics, Biocomputing, Environmental Health	979,800	898,150	(81,650)	92%
Total Tobacco Settlement Appropriations	979,800	898,150	(81,650)	92%
Cigarette Tax Appropriations	3,948,563	3,636,890	(311,673)	92%
Total Research Appropriations	9,613,363	8,829,624	(783,739)	92%
Public Service				
State Special Project Appropriations				
Center for Native American Health	274,700	251,806	(22,894)	92%
Out of County Indigent	662,600	607,386	(55,214)	92%
Total State Special Project Appropriations	937,300	859,192	(78,108)	92%
Total Public Service Appropriations	937,300	859,192	(78,108)	92%
Clinical Operations				
State Special Project Appropriations				
Newborn Intensive Care Unit	3,350,200	3,071,017	(279,183)	92%
Office of the Medical Investigator	5,025,300	4,606,525	(418,775)	92%
Pediatric Oncology	1,303,500	1,194,875	(108,625)	92%
Poison and Drug Info Center	1,554,700	1,425,142	(129,558)	92%
Native American Suicide Prevention	99,700	91,391	(8,309)	92%
GME Residencies	902,400	827,200	(75,200)	92%
UNM Hospitals	13,116,900	12,023,826	(1,093,074)	92%
Total State Special Project Appropriations	25,352,700	23,239,976	(2,112,724)	92%
Tobacco Settlement Appropriations				
Pediatric Oncology	261,400	239,617	(21,783)	92%
Poison and Drug Info Center	590,300	541,108	(49,192)	92%
Total Tobacco Settlement Appropriations	851,700	780,725	(70,975)	92%
Total Clinical Operations Appropriations	26,204,400	24,020,701	(2,183,699)	92%

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
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Main Campus - Total Operations Current Funds

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 92%
Instruction and General				
Tuition and Fees Revenues	149,910,141	144,459,913	(5,450,228)	96%
State/Local Appropriations	190,379,100	174,514,175	(15,864,925)	92%
F & A Revenues	21,000,000	17,574,313	(3,425,687)	84%
Transfers	(56,175,764)	(52,547,355)	3,628,409	94%
Other Revenues	16,872,922	15,662,449	(1,210,473)	93%
Total Instruction and General Revenues	321,986,399	299,663,495	(22,322,904)	93%
Salaries	198,699,753	186,768,868	(11,930,885)	94%
Benefits	66,077,195	59,764,742	(6,312,453)	90%
Other Expenses	71,270,291	56,063,390	(15,206,901)	79%
Total Instruction and General Expenses	336,047,239	302,597,000	(33,450,239)	90%
Net Instruction and General Revenue/(Expense)	(14,060,840)	(2,933,505)	11,127,335	
Research				
State/Local Appropriations	2,110,050	1,934,213	(175,837)	92%
Transfers	14,537,018	12,733,357	(1,803,661)	88%
Other Revenues	1,134,061	1,081,323	(52,738)	95%
Total Research Revenues	17,781,129	15,748,893	(2,032,236)	89%
Salaries and Benefits	12,471,737	9,329,590	(3,142,147)	75%
Other Expenses	16,436,563	7,979,843	(8,456,720)	49%
Total Research Expenses	28,908,300	17,309,433	(11,598,867)	60%
Net Research Revenue/(Expense)	(11,127,171)	(1,560,540)	9,566,631	
Public Service				
State/Local Appropriations	3,653,550	3,349,087	(304,463)	92%
Sales and Services Revenues	9,191,737	7,574,745	(1,616,992)	82%
Gifts	7,584,362	8,118,898	534,536	107%
Transfers	(100,140)	(1,323,075)	(1,222,935)	1321%
Other Revenues	4,826,858	4,453,690	(373,168)	92%
Total Public Service Revenues	25,156,367	22,173,345	(2,983,022)	88%
Salaries and Benefits	13,728,015	12,069,032	(1,658,983)	88%
Other Expenses	16,721,411	10,285,528	(6,435,883)	62%
Total Public Service Expenses	30,449,426	22,354,560	(8,094,866)	73%
Net Public Service Revenue/(Expense)	(5,293,059)	(181,215)	5,111,844	

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
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Main Campus - Total Operations Current Funds

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 92%
Student Aid				
Private Grants/Gifts	4,521,526	4,147,258	(374,268)	92%
State Lottery Scholarships	37,485,948	37,485,948	-	100%
Transfers	15,926,800	14,704,663	(1,222,137)	92%
Other Revenues	948,509	960,221	11,712	101%
Total Student Aid Revenues	58,882,783	57,298,090	(1,584,693)	97%
Salaries and Benefits	2,622,058	2,377,775	(244,283)	91%
Other Expenses	60,591,156	53,847,257	(6,743,899)	89%
Total Student Aid Expenses	63,213,214	56,225,032	(6,988,182)	89%
Net Student Aid Revenue/(Expense)	(4,330,431)	1,073,058	5,403,489	
Student Social & Cultural Programs				
Fee Revenues	7,992,626	7,507,479	(485,147)	94%
Sales and Services Revenues	1,463,429	1,521,343	57,914	104%
Transfers	(992,556)	(834,718)	157,838	84%
Other Revenues	169,761	188,316	18,555	111%
Total Student Social & Cultural Programs Revenues	8,633,260	8,382,420	(250,840)	97%
Salaries and Benefits	4,351,372	4,098,370	(253,002)	94%
Other Expenses	4,863,032	4,301,728	(561,304)	88%
Total Student Social & Cultural Programs Expenses	9,214,404	8,400,098	(814,306)	91%
Net Student Social & Cultural Programs Revenue/(Expense)	(581,144)	(17,678)	563,466	
Auxiliaries				
Auxiliaries Revenues	51,165,638	51,466,651	301,013	101%
Athletics Revenues	32,941,100	32,548,712	(392,388)	99%
Total Auxiliaries Revenues	84,106,738	84,015,363	(91,375)	100%
Auxiliaries Expenses	51,858,725	48,401,147	(3,457,578)	93%
Athletics Expenses	33,487,744	32,761,809	(725,935)	98%
Total Auxiliaries Expenses	85,346,469	81,162,956	(4,183,513)	95%
Net Auxiliaries and Athletics Revenue/(Expense)	(1,239,731)	2,852,407	4,092,138	

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
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Main Campus - Total Operations Current Funds

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 92%
Sponsored Programs				
Federal Grants and Contracts Revenues	144,255,888	131,070,583	(13,185,305)	91%
State and Local Grants and Contracts Revenues	15,987,338	13,362,757	(2,624,581)	84%
Non-Governmental Grants and Contracts Revenues	11,797,000	11,897,415	100,415	101%
Gifts	-	67,183	67,183	N/A
Transfers	1,506,000	245,858	(1,260,142)	16%
Other Revenues	-	(679,977)	(679,977)	N/A
Total Sponsored Programs Revenues	173,546,226	155,963,819	(17,582,407)	90%
Salaries and Benefits	64,360,226	50,515,421	(13,844,805)	78%
Other Expenses	109,186,000	105,448,398	(3,737,602)	97%
Total Sponsored Programs Expenses	173,546,226	155,963,819	(17,582,407)	90%
Net Sponsored Programs Revenue/(Expense)	-	-	-	
Contingencies				
Total Contingency Revenues	(4,636,381)	(53,333)	4,583,048	1%
Total Contingency Expenses	(10,737,295)	28,807	10,766,102	0%
Net Contingencies Revenue/(Expense)	6,100,914	(82,140)	(6,183,054)	
Net Current Revenue/(Expense)	(30,531,462)	(849,613)	29,681,849	

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
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Branch Campuses - Total Operations Current Funds

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 92%
Instruction and General				
Tuition and Fees Revenues	7,321,690	7,094,771	(226,919)	97%
State/Local Appropriations	28,380,525	26,015,482	(2,365,043)	92%
Transfers	(2,355,964)	(2,360,700)	(4,736)	100%
Other Revenues	476,972	862,125	385,153	181%
Total Instruction and General Revenues	33,823,223	31,611,678	(2,211,545)	93%
Salaries	21,814,393	18,935,829	(2,878,564)	87%
Benefits	6,546,678	5,843,890	(702,788)	89%
Other Expenses	9,149,430	6,691,071	(2,458,359)	73%
Total Instruction and General Expenses	37,510,501	31,470,790	(6,039,711)	84%
Net Instruction and General Revenue/(Expense)	(3,687,278)	140,888	3,828,166	
Public Service				
State/Local Appropriations	-	-	-	N/A
Sales and Services Revenues	383,830	476,048	92,218	124%
Gifts	142,400	228,499	86,099	160%
Transfers	(76,894)	(44,894)	32,000	58%
Other Revenues	50,000	3,678	(46,322)	7%
Total Public Service Revenues	499,336	663,331	163,995	133%
Salaries and Benefits	412,408	348,598	(63,810)	85%
Other Expenses	346,983	226,535	(120,448)	65%
Total Public Service Expenses	759,391	575,133	(184,258)	76%
Net Public Service Revenue/(Expense)	(260,055)	88,198	348,253	
Student Aid				
Private Grants/Gifts	116,550	120,734	4,184	104%
Transfers	414,947	408,591	(6,356)	98%
Other Revenues	20,000	17,626	(2,374)	88%
Total Student Aid Revenues	551,497	546,951	(4,546)	99%
Salaries and Benefits	-	7,557	7,557	N/A
Other Expenses	661,747	599,182	(62,565)	91%
Total Student Aid Expenses	661,747	606,739	(55,008)	92%
Net Student Aid Revenue/(Expense)	(110,250)	(59,788)	50,462	

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
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Branch Campuses - Total Operations Current Funds

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 92%
Student Social & Cultural Programs				
Fee Revenues	235,222	240,251	5,029	102%
Sales and Services Revenues	1,650	16,864	15,214	1022%
Transfers	(39,850)	(39,850)	-	100%
Other Revenues	-	150	150	N/A
Total Student Social & Cultural Programs Revenues	197,022	217,415	20,393	110%
Salaries and Benefits	11,060	27,137	16,077	245%
Other Expenses	186,858	125,327	(61,531)	67%
Total Student Social & Cultural Programs Expenses	197,918	152,464	(45,454)	77%
Net Student Social & Cultural Programs Revenue/(Expense)	(896)	64,951	65,847	
Auxiliaries				
Bookstore Revenues	2,302,555	1,873,581	(428,974)	81%
Housing and Food Service Revenues	191,082	149,592	(41,490)	78%
Transfers	(150,000)	(150,000)	-	100%
Other Auxiliaries Revenues	123,230	38,379	(84,851)	31%
Total Auxiliaries Revenues	2,466,867	1,911,552	(555,315)	77%
Bookstore Expenses	2,293,142	1,835,253	(457,889)	80%
Housing and Food Service Expenses	302,594	128,895	(173,699)	43%
Other Auxiliaries Expenses	449,530	418,373	(31,157)	93%
Total Auxiliaries Expenses	3,045,266	2,382,521	(662,745)	78%
Net Auxiliaries Revenue/(Expense)	(578,399)	(470,969)	107,430	
Sponsored Programs				
Federal Grants and Contracts Revenues	6,559,539	5,658,174	(901,365)	86%
State and Local Grants and Contracts Revenues	2,054,419	1,081,456	(972,963)	53%
Non-Governmental Grants and Contracts Revenues	136,311	590,158	453,847	433%
Gifts	-	-	-	N/A
Transfers	261,675	261,675	-	100%
Other Revenues	4,000	(21,639)	(25,639)	-541%
Total Sponsored Programs Revenues	9,015,944	7,569,824	(1,446,120)	84%
Salaries and Benefits	5,963,814	5,224,169	(739,645)	88%
Other Expenses	3,052,130	2,345,655	(706,475)	77%
Total Sponsored Programs Expenses	9,015,944	7,569,824	(1,446,120)	84%
Net Sponsored Programs Revenue/(Expense)	-	-	-	
Net Current Revenue/(Expense)	(4,636,878)	(236,720)	4,400,158	

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
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Health Sciences Center - Total Operations Current Funds

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 92%
Instruction and General				
Tuition and Fees Revenues	15,097,082	15,621,204	524,122	103%
State/Local Appropriations	64,906,800	59,497,900	(5,408,900)	92%
F & A Revenues	23,500,000	21,719,560	(1,780,440)	92%
Transfers	4,178,245	4,746,829	568,584	114%
Other Revenues	11,204,552	12,115,600	911,048	108%
Total Instruction and General Revenues	118,886,679	113,701,093	(5,185,586)	96%
Salaries	72,903,672	64,947,963	(7,955,709)	89%
Benefits	24,519,536	20,491,590	(4,027,946)	84%
Other Expenses	21,718,242	19,472,970	(2,245,272)	90%
Total Instruction and General Expenses	119,141,450	104,912,523	(14,228,927)	88%
Net Instruction and General Revenue/(Expense)	(254,771)	8,788,570	9,043,341	
Research				
State/Local Appropriations	9,613,363	8,829,624	(783,739)	92%
Generated Revenues	180,000	396,782	216,782	220%
Transfers	10,081,306	7,700,482	(2,380,824)	76%
Other Revenues	1,454,394	2,035,374	580,980	140%
Total Research Revenues	21,329,063	18,962,262	(2,366,801)	89%
Salaries and Benefits	13,671,612	11,926,614	(1,744,998)	87%
Other Expenses	10,811,539	7,583,529	(3,228,010)	70%
Total Research Expenses	24,483,151	19,510,143	(4,973,008)	80%
Net Research Revenue/(Expense)	(3,154,088)	(547,881)	2,606,207	
Public Service				
State/Local Appropriations	937,300	859,192	(78,108)	92%
Sales and Services Revenues	8,574,573	8,510,411	(64,162)	99%
Gifts	1,949,960	1,980,446	30,486	102%
Transfers	3,344,810	2,023,443	(1,321,367)	60%
Other Revenues	2,542,029	2,356,241	(185,788)	93%
Total Public Service Revenues	17,348,672	15,729,733	(1,618,939)	91%
Salaries and Benefits	9,200,824	7,420,493	(1,780,331)	81%
Other Expenses	9,255,447	8,032,396	(1,223,051)	87%
Total Public Service Expenses	18,456,271	15,452,889	(3,003,382)	84%
Net Public Service Revenue/(Expense)	(1,107,599)	276,844	1,384,443	

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the eleven month ended May 31, 2015
Preliminary and Unaudited

Health Sciences Center - Total Operations Current Funds

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 92%
Student Aid				
Gifts	1,623,236	1,196,149	(427,087)	74%
Investment Income	-	-	-	N/A
Transfers	2,593,663	1,878,690	(714,973)	72%
Other Revenues	-	558	558	N/A
Total Student Aid Revenues	4,216,899	3,075,397	(1,141,502)	73%
Salaries and Benefits	2,466,643	1,929,407	(537,236)	78%
Other Expenses	2,707,502	1,250,970	(1,456,532)	46%
Total Student Aid Expenses	5,174,145	3,180,377	(1,993,768)	61%
Net Student Aid Revenue/(Expense)	(957,246)	(104,980)	852,266	
Student Social & Cultural Programs				
Fee Revenues	-	-	-	N/A
Sales and Services Revenues	50,875	59,070	8,195	116%
Transfers	27,429	27,429	-	100%
Other Revenues	2,501	5,947	3,446	238%
Total Student Social & Cultural Programs Revenues	80,805	92,446	11,641	114%
Salaries and Benefits	-	-	-	N/A
Other Expenses	100,237	79,118	(21,119)	79%
Total Student Social & Cultural Programs Expenses	100,237	79,118	(21,119)	79%
Net Student Social & Cultural Programs Revenue/(Expense)	(19,432)	13,328	32,760	
Sponsored Programs				
Federal Grants and Contracts Revenues	90,072,712	87,971,681	(2,101,031)	98%
State and Local Grants and Contracts Revenues	12,668,437	12,419,130	(249,307)	98%
Non-Governmental Grants and Contracts Revenues	20,590,918	19,227,266	(1,363,652)	93%
Gifts	-	2,200	2,200	N/A
Other Revenues	-	-	-	N/A
Transfers	2,222,313	1,916,904	(305,409)	86%
Total Sponsored Programs Revenues	125,554,380	121,537,181	(4,017,199)	97%
Salaries and Benefits	70,498,784	68,287,783	(2,211,001)	97%
Other Expenses	55,055,596	53,249,398	(1,806,198)	97%
Total Sponsored Programs Expenses	125,554,380	121,537,181	(4,017,199)	97%
Net Sponsored Programs Revenue/(Expense)	-	-	-	

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the eleven month ended May 31, 2015
Preliminary and Unaudited

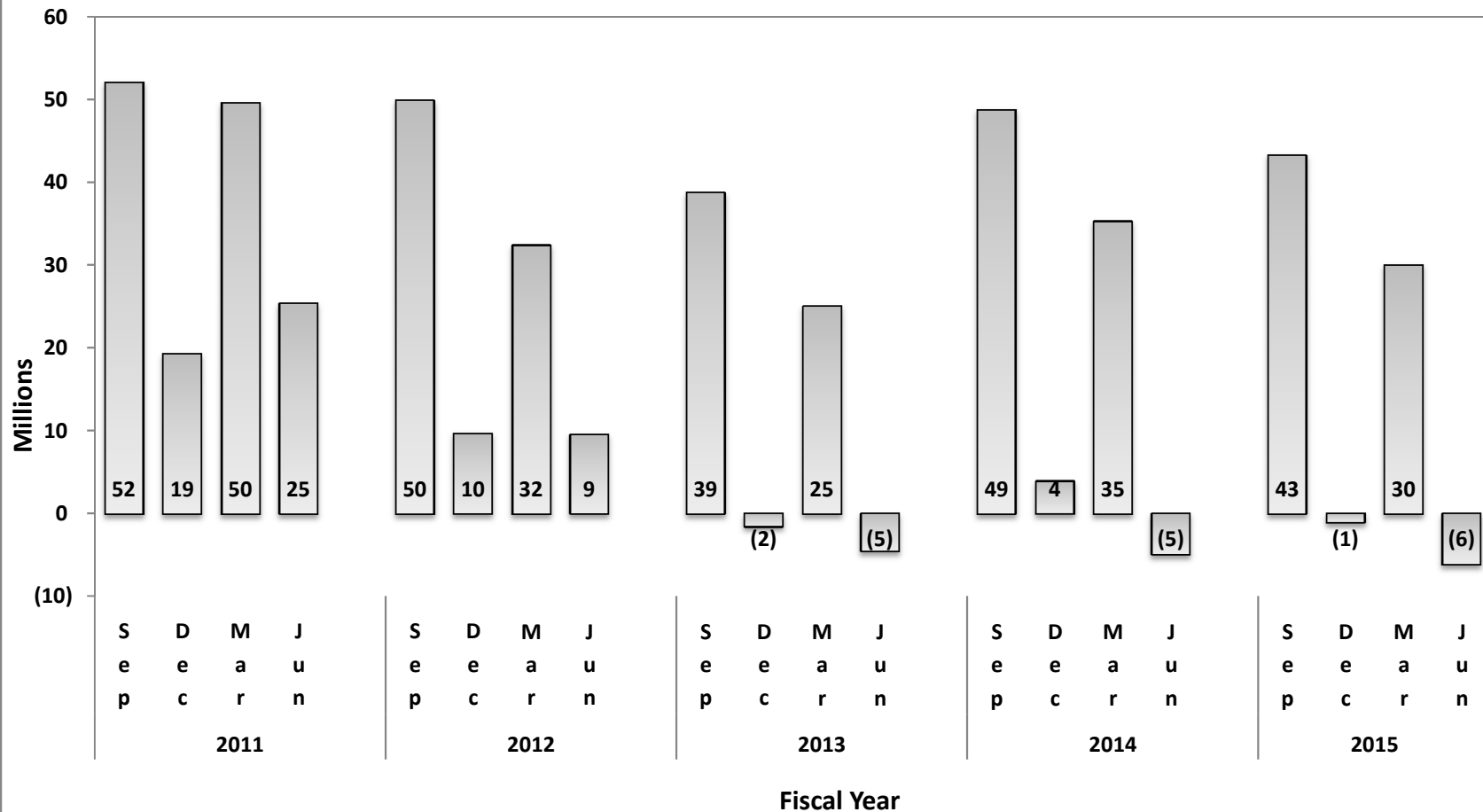
Health Sciences Center - Total Operations Current Funds

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 92%
Clinical Operations				
State/Local Appropriations	26,204,400	24,020,701	(2,183,699)	92%
Physician Professional Fee Revenues	122,952,580	113,267,464	(9,685,116)	92%
Hospital Facility Revenues	836,612,594	799,224,812	(37,387,782)	96%
Other Patient Revenues, net of Allowance	125,977,953	115,499,581	(10,478,372)	92%
Mil Levy	92,780,043	85,048,373	(7,731,670)	92%
Investment Income	13,274,528	15,732,560	2,458,032	119%
Gifts	4,021,645	3,082,376	(939,269)	77%
Housestaff Revenues	33,949,203	31,138,323	(2,810,880)	92%
Other Revenues	19,932,419	18,091,577	(1,840,842)	91%
Total Clinical Operations Revenues	1,275,705,365	1,205,105,767	(70,599,598)	94%
Salaries and Benefits	663,472,826	597,520,451	(65,952,375)	90%
Interest Expense	8,048,135	7,515,778	(532,357)	93%
Housestaff Expenses	33,947,881	31,231,777	(2,716,104)	92%
Other Expenses	561,445,745	550,078,394	(11,367,351)	98%
Total Clinical Operations Expenses	1,266,914,587	1,186,346,400	(80,568,187)	94%
Net Clinical Operations Revenue/(Expense)	8,790,778	18,759,367	9,968,589	
Contingencies				
Total Contingency Revenues	6,204,854	-	(6,204,854)	0%
Total Contingency Expenses	4,655,560	-	(4,655,560)	0%
Net Contingencies Revenue/(Expense)	1,549,294	-	(1,549,294)	
Net Current Revenue/(Expense)	4,846,936	27,185,248	22,338,312	



THE UNIVERSITY of
NEW MEXICO

MAIN CAMPUS Operations - 5 Year Net Revenue / (Expense) Fiscal Year to Date as of June 30, 2015



Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the twelve month ended June 30, 2015
Preliminary and Unaudited

Main Campus - Total Operations Current Funds

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 100%	FY 2014 Year-to-Date Actual	FY 2015 YTD Actual Change From FY 2014 YTD Actual
Instruction and General						
Tuition and Fees Revenues	149,910,141	149,841,814	(68,327)	100%	155,143,054	(5,301,240)
State/Local Appropriations	190,379,100	190,310,996	(68,104)	100%	181,531,332	8,779,664
F & A Revenues	21,000,000	20,407,027	(592,973)	97%	21,468,499	(1,061,472)
Transfers	(56,175,764)	(56,324,098)	(148,334)	100%	(60,768,192)	4,444,094
Other Revenues	16,872,922	14,877,097	(1,995,825)	88%	12,349,173	2,527,924
Total Instruction and General Revenues	321,986,399	319,112,836	(2,873,563)	99%	309,723,866	9,388,970
Salaries	198,699,753	196,677,856	(2,021,897)	99%	190,828,032	(5,849,824)
Benefits	66,077,195	63,948,389	(2,128,806)	97%	60,928,547	(3,019,842)
Other Expenses	71,270,291	63,172,634	(8,097,657)	89%	62,243,482	(929,152)
Total Instruction and General Expenses	336,047,239	323,798,879	(12,248,360)	96%	314,000,061	(9,798,818)
Net Instruction and General Revenue/(Expense)	(14,060,840)	(4,686,043)	9,374,797		(4,276,195)	(409,848)
Research						
State/Local Appropriations	2,110,050	2,110,050	-	100%	1,940,050	170,000
Transfers	14,537,018	13,665,219	(871,799)	94%	16,724,809	(3,059,590)
Other Revenues	1,134,061	1,195,894	61,833	105%	1,389,776	(193,882)
Total Research Revenues	17,781,129	16,971,163	(809,966)	95%	20,054,635	(3,083,472)
Salaries and Benefits	12,471,737	10,050,297	(2,421,440)	81%	10,321,979	271,682
Other Expenses	16,436,563	9,319,717	(7,116,846)	57%	8,868,697	(451,020)
Total Research Expenses	28,908,300	19,370,014	(9,538,286)	67%	19,190,676	(179,338)
Net Research Revenue/(Expense)	(11,127,171)	(2,398,851)	8,728,320		863,959	(3,262,810)
Public Service						
State/Local Appropriations	3,653,550	3,653,650	100	100%	3,396,250	(257,400)
Sales and Services Revenues	9,191,737	8,666,142	(525,595)	94%	8,390,367	(275,775)
Gifts	7,584,362	9,406,135	1,821,773	124%	8,765,628	(640,507)
Transfers	(100,140)	(1,734,440)	(1,634,300)	1732%	375,671	2,110,111
Other Revenues	4,826,858	5,169,940	343,082	107%	5,140,140	(29,800)
Total Public Service Revenues	25,156,367	25,161,427	5,060	100%	26,068,056	906,629
Salaries and Benefits	13,728,015	13,219,131	(508,884)	96%	13,046,626	(172,505)
Other Expenses	16,721,411	12,102,223	(4,619,188)	72%	13,333,560	1,231,337
Total Public Service Expenses	30,449,426	25,321,354	(5,128,072)	83%	26,380,186	1,058,832
Net Public Service Revenue/(Expense)	(5,293,059)	(159,927)	5,133,132		(312,130)	152,203

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the twelve month ended June 30, 2015
Preliminary and Unaudited

Main Campus - Total Operations Current Funds

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 100%	FY 2014 Year-to-Date Actual	FY 2015 YTD Actual Change From FY 2014 YTD Actual
Student Aid						
Private Grants/Gifts	4,521,526	5,579,094	1,057,568	123%	5,173,767	(405,327)
State Lottery Scholarships	37,485,948	35,526,461	(1,959,487)	95%	37,990,538	2,464,077
Transfers	15,926,800	16,937,381	1,010,581	106%	15,308,593	(1,628,788)
Other Revenues	948,509	201,652	(746,857)	21%	845,238	643,586
Total Student Aid Revenues	58,882,783	58,244,588	(638,195)	99%	59,318,136	1,073,548
Salaries and Benefits	2,622,058	2,678,966	56,908	102%	2,525,236	(153,730)
Other Expenses	60,591,156	54,403,579	(6,187,577)	90%	57,396,245	2,992,666
Total Student Aid Expenses	63,213,214	57,082,545	(6,130,669)	90%	59,921,481	2,838,936
Net Student Aid Revenue/(Expense)	(4,330,431)	1,162,043	5,492,474		(603,345)	1,765,388
Student Social & Cultural Programs						
Fee Revenues	7,992,626	7,976,138	(16,488)	100%	5,884,242	2,091,896
Sales and Services Revenues	1,463,429	1,668,918	205,489	114%	1,707,874	(38,956)
Transfers	(992,556)	(541,684)	450,872	55%	498,558	(1,040,242)
Other Revenues	169,761	216,477	46,716	128%	170,764	8,594
Total Student Social & Cultural Programs Revenues	8,633,260	9,319,849	686,589	108%	8,261,438	1,021,292
Salaries and Benefits	4,351,372	4,438,393	87,021	102%	4,315,805	(122,588)
Other Expenses	4,863,032	4,741,238	(121,794)	97%	3,950,215	600,741
Total Student Social & Cultural Programs Expenses	9,214,404	9,179,631	(34,773)	100%	8,266,020	478,153
Net Student Social & Cultural Programs Revenue/(Expense)	(581,144)	140,218	721,362		(4,582)	144,800
Auxiliaries						
Auxiliaries Revenues	51,165,638	52,506,740	1,341,102	103%	49,271,095	3,235,645
Athletics Revenues	32,941,100	34,675,687	1,734,587	105%	32,871,125	1,804,562
Total Auxiliaries Revenues	84,106,738	87,182,427	3,075,689	104%	82,142,220	5,040,207
Auxiliaries Expenses	51,858,725	52,970,283	1,111,558	102%	49,788,307	(3,181,976)
Athletics Expenses	33,487,744	34,141,012	653,268	102%	32,945,142	(1,195,870)
Total Auxiliaries Expenses	85,346,469	87,111,295	1,764,826	102%	82,733,449	(4,377,846)
Net Auxiliaries and Athletics Revenue/(Expense)	(1,239,731)	71,132	1,310,863		(591,229)	662,361

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the twelve month ended June 30, 2015
Preliminary and Unaudited

Main Campus - Total Operations Current Funds

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 100%	FY 2014 Year-to-Date Actual	FY 2015 YTD Actual Change From FY 2014 YTD Actual
Sponsored Programs						
Federal Grants and Contracts Revenues	144,255,888	142,929,429	(1,326,459)	99%	146,057,627	(3,128,198)
State and Local Grants and Contracts Revenues	15,987,338	15,221,783	(765,555)	95%	13,242,230	1,979,553
Non-Governmental Grants and Contracts Revenues	11,797,000	12,649,337	852,337	107%	13,837,352	(1,188,015)
Gifts	-	67,183	67,183	N/A	260,963	(193,780)
Transfers	1,506,000	424,445	(1,081,555)	28%	1,887,983	(1,463,538)
Other Revenues	-	-	-	N/A	(911,095)	911,095
Total Sponsored Programs Revenues	173,546,226	171,292,177	(2,254,049)	99%	174,375,060	(3,082,883)
Salaries and Benefits	64,360,226	57,251,144	(7,109,082)	89%	57,686,066	434,922
Other Expenses	109,186,000	114,041,033	4,855,033	104%	116,688,994	2,647,961
Total Sponsored Programs Expenses	173,546,226	171,292,177	(2,254,049)	99%	174,375,060	3,082,883
Net Sponsored Programs Revenue/(Expense)	-	-	-		-	-
Contingencies						
Total Contingency Revenues	(4,636,381)	(282,058)	4,354,323	6%	-	(282,058)
Total Contingency Expenses	(10,737,295)	28,807	10,766,102	0%	-	-
Net Contingencies Revenue/(Expense)	6,100,914	(310,865)	(6,411,779)		-	(282,058)
Net Current Revenue/(Expense)	(30,531,462)	(6,182,293)	24,349,169		(4,923,522)	(1,258,771)

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the twelve month ended June 30, 2015
Preliminary and Unaudited

University of New Mexico - Main Campus Athletics & Auxiliary Operations

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 100%	FY 2014 Year-to-Date Actual	FY 2015 YTD Actual Change From FY 2014 YTD Actual	FY 2014 YTD Actual Favrb/(Unfavrb) % FY 2013 YTD Actual
Results of Athletics Operations:							
Athletics Revenues	36,421,474	36,854,692	433,218	101%	35,734,059	1,120,633	3%
Athletics Transfers	(3,480,374)	(2,179,005)	1,301,369	63%	(2,862,934)	683,929	24%
Total Athletics Revenues	32,941,100	34,675,687	1,734,587	105%	32,871,125	1,804,562	5%
Athletics Expenses							
Salaries and Benefits	14,337,122	14,929,749	(592,627)	104%	14,137,812	(791,937)	-6%
Grant-in-Aid	4,095,878	4,225,551	(129,673)	103%	4,027,257	(198,294)	-5%
Other Expenses	15,054,744	14,985,712	69,032	100%	14,780,073	(205,639)	-1%
Total Athletics Expenses	33,487,744	34,141,012	(653,268)	102%	32,945,142	(1,195,870)	-4%
Total Net Athletics Revenue/(Expense)	(546,644)	534,675	1,081,319		(74,017)	608,692	
Results of Auxiliary Operations:							
VP for Institutional Support Services							
Bookstore Revenues	15,474,125	14,627,251	(846,874)	95%	15,826,283	(1,199,032)	-8%
Bookstore Transfers	(703,860)	(395,166)	308,694	56%	(450,000)	54,834	-12%
Total Bookstore Revenues	14,770,265	14,232,085	(538,180)	96%	15,376,283	(1,144,198)	-7%
Total Bookstore Expenses	14,770,265	14,219,441	550,824	96%	15,364,399	1,144,958	7%
Net Bookstore Revenue/(Expense)	-	12,644	12,644		11,884	760	
Faculty & Staff Club Revenues	79,700	66,995	(12,705)	84%	69,896	(2,901)	-4%
Faculty & Staff Club Expenses	79,700	68,058	11,642	85%	75,097	7,039	9%
Net Faculty & Staff Club Revenue/(Expense)	-	(1,063)	(1,063)		(5,201)	4,138	
Food Service/Dining Revenues	3,022,800	2,995,978	(26,822)	99%	3,243,125	(247,147)	-8%
Food Service/Dining Transfers	(1,369,845)	(1,402,977)	(33,132)	102%	(929,092)	(473,885)	-51%
Total Food Service/Dining Revenues	1,652,955	1,593,001	(59,954)	96%	2,314,033	(721,032)	-31%
Total Food Service/Dining Expenses	1,852,955	1,761,488	91,467	95%	2,252,302	490,814	22%
Net Food Service/Dining Revenue/(Expense)	(200,000)	(168,487)	31,513		61,731	(230,218)	
Golf Courses Revenues	2,640,517	2,498,904	(141,613)	95%	2,423,014	75,890	3%
Golf Courses Transfers	(83,352)	273,828	357,180	-329%	(38,940)	312,768	803%
Total Golf Courses Revenues	2,557,165	2,772,732	215,567	108%	2,384,074	388,658	16%
Total Golf Courses Expenses	2,557,165	2,409,427	147,738	94%	2,382,115	(27,312)	-1%
Net Golf Courses Revenue/(Expense)	-	363,305	363,305		1,959	361,346	
Housing	10,914,786	11,877,474	962,688	109%	12,156,084	(278,610)	-2%
Housing Transfers	(4,194,017)	(4,113,168)	80,849	98%	(5,026,240)	913,072	18%
Total Housing Revenues	6,720,769	7,764,306	1,043,537	116%	7,129,844	634,462	9%
Total Housing Expense	6,720,769	8,035,114	(1,314,345)	120%	7,584,036	(451,078)	-6%
Net Housing Revenue/(Expense)	-	(270,808)	(270,808)		(454,192)	183,384	
Other	1,290,733	1,107,000	(183,733)	86%	820,000	287,000	-
Other Transfers	(1,155,000)	(1,646,000)	(491,000)	143%	-	(1,646,000)	0%
Total Other Revenues	135,733	(539,000)	(674,733)	-397%	820,000	(1,359,000)	-
Total Other Expense	635,150	24,868	610,282	4%	7,901	(16,967)	-215%
Net Other Revenue/(Expense)	(499,417)	(563,868)	(64,451)		812,099	(1,375,967)	

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
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University of New Mexico - Main Campus Athletics & Auxiliary Operations

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 100%	FY 2014 Year-to-Date Actual	FY 2015 YTD Actual Change From FY 2014 YTD Actual	FY 2014 YTD Actual Favrb/(Unfavrb) % FY 2013 YTD Actual
Parking and Transportation Revenues	8,578,293	8,615,467	37,174	100%	8,291,523	323,944	4%
Parking and Trans Transfers	(3,479,437)	(2,673,674)	805,763	77%	(2,725,406)	51,732	2%
Total Parking and Trans Revenues	5,098,856	5,941,793	842,937	117%	5,566,117	375,676	7%
Total Parking and Trans Expenses	5,098,856	5,928,416	(829,560)	116%	5,556,947	(371,469)	-7%
Net Parking and Trans Revenue/(Expense)	-	13,377	13,377		9,170	4,207	
Popejoy Events Revenues	7,674,664	7,913,944	239,280	103%	4,312,546	3,601,398	84%
Popejoy Events Transfers	143,233	323,233	180,000	226%	55,695	267,538	-480%
Total Popejoy Events Revenues	7,817,897	8,237,177	419,280	105%	4,368,241	3,868,936	89%
Total Popejoy Events Expenses	7,717,897	8,048,257	(330,360)	104%	4,216,985	(3,831,272)	-91%
Net Popejoy Events Revenue/(Expense)	100,000	188,920	88,920		151,256	37,664	
Taos & Lawrence Ranch Revenues	125,922	74,049	(51,873)	59%	-	74,049	0%
Taos & Lawrence Ranch Expenses	125,922	50,267	75,655	40%	36,373	(13,894)	-38%
Net Taos & Lawrence Ranch Revenue/(Expense)	-	23,782	23,782		(36,373)	60,155	
Ticketing Services Revenues	831,023	902,136	71,113	109%	893,836	8,300	1%
Ticketing Services Transfers	74,595	74,595	-	100%	41,303	33,292	81%
Total Ticketing Services Revenues	905,618	976,731	71,113	108%	935,139	41,592	4%
Total Ticketing Services Expenses	905,618	988,791	(83,173)	109%	937,581	(51,210)	-5%
Net Ticketing Services Revenue/(Expense)	-	(12,060)	(12,060)		(2,442)	(9,618)	
Total VP for Institutional Support Services Revenues	39,864,880	41,119,869	1,254,989	103%	38,963,627	2,156,242	6%
Total VP for Institutional Support Services Expenses	40,464,297	41,534,127	(1,069,830)	103%	38,413,736	(3,120,391)	-8%
Net VP for Institutional Support Services Revenue/(Expense)	(599,417)	(414,258)	185,159		549,891	(964,149)	
VP for Student Affairs							
Lobo Cash Revenues	86,564	88,740	2,176	103%	69,382	19,358	28%
Lobo Cash Expenses	124,564	126,822	2,258	102%	54,213	(72,609)	-134%
Net Lobo Cash Revenue/(Expense)	(38,000)	(38,082)	(82)		15,169	(53,251)	
Student Health Center Revenues	7,718,432	7,806,558	88,126	101%	7,359,951	446,607	6%
Student Health Center Expenses	7,724,617	7,881,067	(156,450)	102%	7,819,516	(61,551)	-1%
Net Student Health Center Revenue/(Expense)	(6,185)	(74,509)	(68,324)		(459,565)	385,056	
Student Union Revenues	3,265,422	3,217,272	(48,150)	99%	2,562,544	654,728	26%
Student Union Expenses	3,265,422	3,178,398	87,024	97%	3,217,539	39,141	1%
Net Student Union Revenue/(Expense)	-	38,874	38,874		(654,995)	693,869	
Total VP for Student Affairs Revenues	11,070,418	11,112,570	42,152	100%	9,991,877	1,120,693	11%
Total VP for Student Affairs Expenses	11,114,603	11,186,287	(67,168)	101%	11,091,268	(95,019)	-1%
Net VP for Student Affairs Revenue/(Expense)	(44,185)	(73,717)	(29,532)		(1,099,391)	1,025,674	

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
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University of New Mexico - Main Campus Athletics & Auxiliary Operations

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 100%	FY 2014 Year-to-Date Actual	FY 2015 YTD Actual Change From FY 2014 YTD Actual	FY 2014 YTD Actual Favrb/(Unfavrb) % FY 2013 YTD Actual
Provost and Other Units							
Art Museum Revenues	5,500	3,503	(1,997)	64%	3,066	437	14%
Art Museum Expenses	5,500	214	5,286	4%	8,069	7,855	0%
Net Art Museum Revenue/(Expense)	-	3,289	3,289		(5,003)	8,292	
CE Conference Ctr Revenues	223,488	211,129	(12,359)	94%	233,445	(22,316)	-10%
CE Conference Ctr Transfers	(38,315)	(39,900)	(1,585)	104%	(15,400)	(24,500)	-
Total CE Conference Ctr Revenues	185,173	171,229	(13,944)	92%	218,045	(46,816)	-21%
Total CE Conference Ctr Expenses	185,173	144,500	40,673	78%	191,634	47,134	25%
Net CE Conference Ctr Revenue/(Expense)	-	26,729	26,729		26,411	318	
Maxwell Museum Revenues	40,000	46,619	6,619	117%	43,671	2,948	7%
Maxwell Museum Expenses	40,000	39,606	394	99%	40,386	780	2%
Net Maxwell Museum Revenue/(Expense)	-	7,013	7,013		3,285	3,728	
Other Revenues	(333)	52,950	53,283	-15901%	50,809	2,141	4%
Other Expenses	49,152	65,549	(16,397)	133%	43,214	(22,335)	-52%
Net Other Revenue/(Expense)	(49,485)	(12,599)	36,886		7,595	(20,194)	
Total Provost and Other Units Revenues	230,340	274,301	43,961	119%	315,591	(41,290)	-13%
Total Provost and Other Units Expenses	279,825	249,869	29,956	89%	283,303	33,434	12%
Net Provost and Other Units Revenue/(Expense)	(49,485)	24,432	73,917		32,288	(7,856)	
Auxiliary Totals							
Total Auxiliary Revenues	51,165,638	52,506,740	1,341,102	103%	49,271,095	3,235,645	7%
Total Auxiliary Expenses	51,858,725	52,970,283	(1,111,558)	102%	49,788,307	(3,181,976)	-6%
Net Auxiliary Revenue/(Expense)	(693,087)	(463,543)	229,544		(517,212)	53,669	
Net Athletics Revenue/(Expense)	(546,644)	534,675	1,081,319		(74,017)	608,692	
Net Auxiliary and Athletics Revenue/(Expense)	(1,239,731)	71,132	1,310,863		(591,229)	662,361	
Net Branch Campuses Aux Revenue/(Expense)	(578,399)	(493,102)	85,297		108,665	(601,767)	
Net All Auxiliary and Athletics Revenue/(Expense)	(1,818,130)	(421,970)	1,396,160		(482,564)	60,594	

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the twelve month ended June 30, 2015
Preliminary and Unaudited

Branch Campuses - Total Operations Current Funds

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 100%	FY 2014 Year-to-Date Actual	FY 2015 YTD Actual Change From FY 2014 YTD Actual
Instruction and General						
Tuition and Fees Revenues	7,321,690	7,356,801	35,111	100%	7,829,632	(472,831)
State/Local Appropriations	28,380,525	28,516,813	136,288	100%	27,415,187	1,101,626
Transfers	(2,355,964)	(2,360,700)	(4,736)	100%	(1,827,762)	(532,938)
Other Revenues	476,972	1,084,680	607,708	227%	755,809	328,871
Total Instruction and General Revenues	33,823,223	34,597,594	774,371	102%	34,172,866	424,728
Salaries	21,814,393	20,146,190	(1,668,203)	92%	19,763,096	(383,094)
Benefits	6,546,678	6,349,076	(197,602)	97%	6,099,361	(249,715)
Other Expenses	9,149,430	7,793,385	(1,356,045)	85%	7,164,992	(628,393)
Total Instruction and General Expenses	37,510,501	34,288,651	(3,221,850)	91%	33,027,449	(1,261,202)
Net Instruction and General Revenue/(Expense)	(3,687,278)	308,943	3,996,221		1,145,417	(836,474)
Public Service						
State/Local Appropriations	-	-	-	N/A	-	
Sales and Services Revenues	383,830	522,668	138,838	136%	489,342	33,326
Gifts	142,400	243,086	100,686	171%	161,483	81,603
Transfers	(76,894)	(44,894)	32,000	58%	2,963	(47,857)
Other Revenues	50,000	4,689	(45,311)	9%	40,875	(36,186)
Total Public Service Revenues	499,336	725,549	226,213	145%	694,663	30,886
Salaries and Benefits	412,408	374,396	(38,012)	91%	420,936	46,540
Other Expenses	346,983	253,541	(93,442)	73%	278,085	24,544
Total Public Service Expenses	759,391	627,937	(131,454)	83%	699,021	71,084
Net Public Service Revenue/(Expense)	(260,055)	97,612	357,667		(4,358)	101,970
Student Aid						
Private Grants/Gifts	116,550	134,059	17,509	115%	184,835	(50,776)
Transfers	414,947	412,846	(2,101)	99%	318,110	94,736
Other Revenues	20,000	18,273	(1,727)	91%	19,768	(1,495)
Total Student Aid Revenues	551,497	565,178	13,681	102%	522,713	42,465
Salaries and Benefits	-	7,557	7,557	N/A	11,069	3,512
Other Expenses	661,747	710,796	49,049	107%	417,494	(293,302)
Total Student Aid Expenses	661,747	718,353	56,606	109%	428,563	(289,790)
Net Student Aid Revenue/(Expense)	(110,250)	(153,175)	(42,925)		94,150	(247,325)

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format
For the twelve month ended June 30, 2015
Preliminary and Unaudited

Branch Campuses - Total Operations Current Funds

	FY 2015 Full Year Operating Budget	FY 2015 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 100%	FY 2014 Year-to-Date Actual	FY 2015 YTD Actual Change From FY 2014 YTD Actual
Student Social & Cultural Programs						
Fee Revenues	235,222	244,881	9,659	104%	262,183	(17,302)
Sales and Services Revenues	1,650	17,434	15,784	1057%	13,865	3,569
Transfers	(39,850)	(39,850)	-	100%	(39,000)	(850)
Other Revenues	-	150	150	N/A	-	150
Total Student Social & Cultural Programs Revenues	197,022	222,615	25,593	113%	237,048	(14,433)
Salaries and Benefits	11,060	26,719	15,659	242%	18,705	(8,014)
Other Expenses	186,858	147,811	(39,047)	79%	165,841	18,030
Total Student Social & Cultural Programs Expenses	197,918	174,530	(23,388)	88%	184,546	10,016
Net Student Social & Cultural Programs Revenue/(Expense)	(896)	48,085	48,981		52,502	(4,417)
Auxiliaries						
Bookstore Revenues	2,302,555	1,955,142	(347,413)	85%	1,965,140	(9,998)
Housing and Food Service Revenues	191,082	157,720	(33,362)	83%	257,020	(99,300)
Transfers	(150,000)	(150,000)	-	100%	(30,000)	(120,000)
Other Auxiliaries Revenues	123,230	44,120	(79,110)	36%	21,754	22,366
Total Auxiliaries Revenues	2,466,867	2,006,982	(459,885)	81%	2,213,914	(206,932)
Bookstore Expenses	2,293,142	1,893,586	(399,556)	83%	1,869,164	(24,422)
Housing and Food Service Expenses	302,594	145,588	(157,006)	48%	217,828	72,240
Other Auxiliaries Expenses	449,530	460,910	11,380	103%	18,257	(442,653)
Total Auxiliaries Expenses	3,045,266	2,500,084	(545,182)	82%	2,105,249	(394,835)
Net Auxiliaries Revenue/(Expense)	(578,399)	(493,102)	85,297		108,665	(601,767)
Sponsored Programs						
Federal Grants and Contracts Revenues	6,559,539	6,371,020	(188,519)	97%	5,803,696	567,324
State and Local Grants and Contracts Revenues	2,054,419	1,389,931	(664,488)	68%	2,244,528	(854,597)
Non-Governmental Grants and Contracts Revenues	136,311	656,190	519,879	481%	-	656,190
Gifts	-	-	-	N/A	-	-
Transfers	261,675	261,675	-	100%	233,516	28,159
Other Revenues	4,000	-	(4,000)	0%	-	-
Total Sponsored Programs Revenues	9,015,944	8,678,816	(337,128)	96%	8,281,740	397,076
Salaries and Benefits	5,963,814	5,717,528	(246,286)	96%	5,506,851	(210,677)
Other Expenses	3,052,130	2,961,288	(90,842)	97%	2,774,889	(186,399)
Total Sponsored Programs Expenses	9,015,944	8,678,816	(337,128)	96%	8,281,740	(397,076)
Net Sponsored Programs Revenue/(Expense)	-	-	-		-	-
Net Current Revenue/(Expense)	(4,636,878)	(191,637)	4,445,241		1,396,376	(1,588,013)

The Sandia Foundation
will be presented at the meeting

The Value of University Branding

will be presented at the meeting




University Services

University Services Business Operations	UNM Surplus Property
UNM Copy Center	UnivServ Shipping and Receiving
UNM Mailing Systems	UNM Inventory Control
UNM Records Management	Chem Stores/CRLS
http://www.unm.edu/~univserv/	Print Management Program

Date: June 29, 2015

To: Bruce Cherrin, Chief Procurement Officer
Purchasing Department

From: Marcos Roybal 
Manager, Administrative Operations, University Services

Subject: Equipment Disposition – June 2015

Attached for your review and submission to the Board of Regents are the Surplus Property Disposition Detail lists for the month of June, 2015.

Consistent with UNM Board of Regents Policy 7.9 and the NM Disposition of Surplus Property Act, 13-6-1, NMSA 1978, and based upon documentation submitted by the UNM departments responsible for the equipment, I certify that the equipment identified on the monthly list is worn-out, unusable or obsolete to the extent that the items are no longer economical or safe for continued use by the University. I recommend that the items be deleted from UNM's inventory and disposed of in accordance with the above noted Regents Policy and NM Surplus Property Act.

6/26/15

Additional Notes to the Surplus listings dated 6/26/2015:

1 – Asset #N00005403 The Law Library indicated the Minolta Book Scanner was no longer useful to them, was non-functional on their operating systems, and that they were offering it to any other UNM Library before sending it to Surplus.

----- Note: Any item of value in this list will be re-sold through all means available, i.e.: Request for Bid, Auction house, special auction, online sale, to recover the maximum monies to reinvest in the mission of the requesting department.

Notes	Permanent Tag Number	Org Desc	Asset Desc	Manufacturer	Model	Serial Number	Capitalization Date	Total Cost	Net Book Value	Method of Disposal	Disposal Date
	255027	Safety & Risk Services	COUNTER PARTICLE	TSI	P-Track	8525-03021056	4/26/2002	\$5,025.00	\$ -	Obsolete	6/2/2015
	251798	IM Translational Informatics Fac. #1	COMPUTER CPU/SYSTEM	Dell	PRECISION 530	225BP01	8/9/2001	\$6,097.00	\$ -	Obsolete	6/18/2015
	N00013386	NMTR Faculty #1	Server	CDWGov	Sun Fire X4100 M2	0728BD158C	10/24/2007	\$9,683.71	\$ -	Obsolete	6/22/2015
	N00013387	NMTR Faculty #1	Server	CDWGov	Sun Fire X4100 M2	50728BD158B	10/24/2007	\$9,683.71	\$ -	Obsolete	6/22/2015
(1)	N00005403	Bunting Visual Resources Library	Book Scanner	Konica	PS5000C	41000311	9/12/2005	\$12,586.00	\$ -	Obsolete	6/18/2015
Total Dispositon Items: 5						Disposition Value		\$43,075.42			
						Disposition Net Book Value		\$ -			



The University of New Mexico

Bunting Visual Resources Library
MSC04 2570
1 University of New Mexico
Albuquerque, NM 87131-0001
Telephone (505) 277-6415
FAX (505) 277-0708
E-mail: bbmsl@unm.edu

June 9, 2015

University Services
Surplus Property Equipment Disposition

The Bunting Visual Resources Library received the Minolta Book Scanner (UNM Tag#N00005403) as a transfer from the Law Library in 2014. I understand its original purchase price in 2005 was \$12,586.00. The Bunting Library expended no funds to secure this equipment. The Law Library indicated it was no longer useful to them, was non-functional on their operating systems, and that they were offering it to any other UNM Library before sending it to surplus.

The Bunting Library thought this piece of equipment might be of use, but our staff was never able to secure drivers for current operating systems, nor were we able to install the scanner on a Windows Vista machine. It has never been operable during the one (1) year we have had it in our possession.

The scanner was originally purchased in 2005 and is functionally obsolete.

Sincerely,

Cindy Abel Morris
Manager, Bunting Visual Resources Library
cdabel@unm.edu
277-9130

Asset Tag#	Description	Acq.Cost	NBV	Date
N00005403	Book Scanner	\$12,586.00	0.00	2005

New Mexico Compilation Commission

13-6-1 . Disposition of obsolete, worn-out or unusable tangible personal property.

A. The governing authority of each state agency, local public body, school district and state educational institution may dispose of any item of tangible personal property belonging to that authority and delete the item from its public inventory upon a specific finding by the authority that the item of property is:

- (1) of a current resale value of five thousand dollars (\$5,000) or less; and
- (2) worn out, unusable or obsolete to the extent that the item is no longer economical or safe for continued use by the body.

B. The governing authority shall, as a prerequisite to the disposition of any items of tangible personal property:

- (1) designate a committee of at least three officials of the governing authority to approve and oversee the disposition; and
- (2) give notification at least thirty days prior to its action making the deletion by sending a copy of its official finding and the proposed disposition of the property to the state auditor and the appropriate approval authority designated in Section 13-6-2 NMSA 1978, duly sworn and subscribed under oath by each member of the authority approving the action.

C. A copy of the official finding and proposed disposition of the property sought to be disposed of shall be made a permanent part of the official minutes of the governing authority and maintained as a public record subject to the Inspection of Public Records Act [Chapter 14, Article 2 NMSA 1978].

D. The governing authority shall dispose of the tangible personal property by negotiated sale to any governmental unit of an Indian nation, tribe or pueblo in New Mexico or by negotiated sale or donation to other state agencies, local public bodies, school districts, state educational institutions or municipalities or through the central purchasing office of the governing authority by means of competitive sealed bid or public auction or, if a state agency, through the surplus property bureau of the transportation services division of the general services department.

E. A state agency shall give the surplus property bureau of the transportation services division of the general services department the right of first refusal when disposing of obsolete, worn-out or unusable tangible personal property of the state agency.

F. If the governing authority is unable to dispose of the tangible personal property pursuant to Subsection D or E of this section, the governing authority may sell or, if the property has no value, donate the property to any organization described in Section 501(c)(3) of the Internal Revenue Code of 1986.

G. If the governing authority is unable to dispose of the tangible personal property pursuant to Subsection D, E or F of this section, it may order that the property be destroyed or otherwise permanently disposed of in accordance with applicable laws.

H. If the governing authority determines that the tangible personal property is hazardous or contains hazardous materials and may not be used safely under any circumstances, the property shall be destroyed and disposed of pursuant to Subsection G of this section.

I. No tangible personal property shall be donated to an employee or relative of an employee of a state agency, local public body, school district or state educational institution; provided that nothing in this subsection precludes an employee from participating and bidding for public property at a public auction.

J. This section shall not apply to any property acquired by a museum through abandonment procedures pursuant to the Abandoned Cultural Properties Act [18-10-1 to 18-10-5 NMSA 1978].

K. Notwithstanding the provisions of Subsection A of this section, the department of transportation may sell through public auction or dispose of surplus tangible personal property used to manage, maintain or build roads that exceeds five thousand dollars (\$5,000) in value. Proceeds from sales shall be credited to the state road fund. The department of transportation shall notify the department of finance and administration regarding the disposition of all property.

L. If the secretary of public safety finds that the K-9 dog presents no threat to public safety, the K-9 dog shall be released from public ownership as provided in this subsection. The K-9 dog shall first be offered to its trainer or handler free of charge. If the trainer or handler does not want to accept ownership of the K-9 dog, then the K-9 dog shall be offered to an organization described in Section 501(c)(3) of the Internal Revenue Code of 1986 free of charge. If both of the above fail, the K-9 dog shall only be sold to a qualified individual found capable of providing a good home to the animal.

History: 1953 Comp., § 6-1-7.1, enacted by Laws 1961, ch. 100, § 1; 1979, ch. 195, § 2; 1984, ch. 47, § 1; 1987, ch. 15, § 1; 1989, ch. 211, § 6; 1995, ch. 181, § 1; 1998, ch. 16, § 1; 2001, ch. 317, § 1; 2007, ch. 57, § 4; 2012, ch. 10, § 1; 2013, ch. 9, § 1.



University Services

University Services Business Operations

UNM Copy Center

UNM Mailing Systems

UNM Records Management

<http://www.unm.edu/~univserv/>

UNM Surplus Property

UnivServ Shipping and Receiving

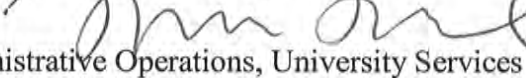
UNM Inventory Control

Chem Stores/CRLS

Print Management Program

Date: July 27, 2015

To: Bruce Cherrin, Chief Procurement Officer
Purchasing Department

From: Marcos Roybal 
Manager, Administrative Operations, University Services

Subject: Equipment Disposition – July 2015

Attached for your review and submission to the Board of Regents are the Surplus Property Disposition Detail lists for the month of July, 2015.

Consistent with UNM Board of Regents Policy 7.9 and the NM Disposition of Surplus Property Act, 13-6-1, NMSA 1978, and based upon documentation submitted by the UNM departments responsible for the equipment, I certify that the equipment identified on the monthly list is worn-out, unusable or obsolete to the extent that the items are no longer economical or safe for continued use by the University. I recommend that the items be deleted from UNM's inventory and disposed of in accordance with the above noted Regents Policy and NM Surplus Property Act.

7/29/15

Additional Notes to the Surplus listings

1 - Assets# 198538, 181710, 166593 are obsolete and have been out of use for extended period of time as well as cannibalized for parts. Departments would like it removed from our inventory.

2 – Asset# 218820, 191713 is dated and no longer in working condition and was unable to be prepared. The equipment is very old and the cost to repair would exceed the value.

3 – Asset# 205813 the item is obsolete and it's been determined that this item is beyond repair.

4 – Asset# 233033 Item has been cannibalized for parts and the item is obsolete. The item has not been used for 10 years.

5 – Asset# 241533 the device is obsolete and unusable beyond repair.

6 – Asset# 245183 the freezer is more than 15 years old and the estimate to repair is well over the cost of a new freezer.

7 – Asset# 261988 the unit has been replaced by departmental software because the technology is obsolete.

Permanent Tag Number	Org Desc	Asset Desc	Manufacturer	Model	Serial Number	Capitalization Date	Total Cost	Net Book Value	Method of Disposal	Disposal Date
1	198538 Center for High Tech Materials CHTM	SIGNAL ANALYZER	Tektronix	CSA803	8020556	8/24/1992	\$15,396.00	\$0.00	Obsolete	7/24/2015
1	166593 Center for High Tech Materials CHTM	OSCILLOSCOPE	Tektronix		B143101	1/1/1985	\$14,250.00	\$0.00	Obsolete	7/24/2015
	179121 Center for High Tech Materials CHTM	SYNTHESIZER WAVEFORM	Wavetek	178	26370248	1/1/1987	\$6,135.00	\$0.00	Obsolete	7/24/2015
1	181710 Center for High Tech Materials CHTM	WIRE BONDER	Westbond	7700A25A44A45	7946	10/22/1987	\$10,500.00	\$0.00	Obsolete	7/24/2015
2	191713 Biochemistry Molecular Biology	SOLVENT DELIVERY SYS	Waters	M484	484PRC182	12/17/1990	\$51,958.00	\$0.00	Obsolete	7/24/2015
	201895 KNME Operations	COMPUTER PRINTER	HewlettPac	SSI-MX	USCC021438	5/8/1996	\$6,092.00	\$0.00	Obsolete	7/24/2015
3	205813 Pathology Department	SPECTROPHOTOMETER	Beckman	DU640	4316810	5/6/1994	\$14,365.00	\$0.00	Obsolete	7/23/2015
2	218820 Biochemistry Molecular Biology	SYNTHESIZER	PerkinElme	432A	9206104	6/15/1996	\$22,974.00	\$0.00	Obsolete	7/24/2015
4	233033 Center for High Tech Materials CHTM	MICROSCOPE ELECTRON	Jeol	JSM 5800	MP16000091	2/25/1999	\$182,600.00	\$0.00	Obsolete	7/24/2015
5	241553 Radiology Department	RADIOLOGY SYSTEM	Acuson	128	00537	5/18/2000	\$120,000.00	\$0.00	Obsolete	7/23/2015
6	245183 Cancer Research Treatment Ctr CRTC	FREEZER	Harris	Cryostar/Chest	Y22K505626ZK	1/19/2001	\$16,776.00	\$0.00	Obsolete	7/23/2015
	257558 Pathology Department	FREEZER	ThermoForm	733	800718-144	9/10/2002	\$5,796.00	\$0.00	Too Costly to Repair	7/6/2015
7	261988 Continuing Education Cont Ed	TELEPHONE VIDEO	UNKNOWN/O1	Viewstation FX	8203200343DDA7	7/9/2003	\$10,630.00	\$0.00	Obsolete	7/24/2015
	263416 Continuing Education Cont Ed	COMPUTER FILE SERVER	CreateCon	AMD OPTERON	200308020	10/16/2003	\$7,106.00	\$0.00	Cannibalized	7/6/2015
	N00000478 KNME Fnd GM Exec Fund	Printer, LaserJet	HP	8150n		9/27/2004	\$5,417.10	\$0.00	Obsolete	7/24/2015
	N00001430 Research Administration	Tape Drive	ZZPeriph	Overland Powerloader	2B43700006	10/8/2004	\$6,779.00	\$0.00	Too Costly to Repair	7/23/2015
	N00002664 Continuing Education Cont Ed	Computer Server	Apple	XServe 2.3DP	QP5130PCSLZ	4/11/2005	\$5,014.68	\$0.00	Cannibalized	7/6/2015
	N00009527 CE PDP Administration	Server	JJTech	Opteron 285	9261	10/24/2006	\$6,820.00	\$0.00	Obsolete	7/6/2015
	N00015605 Pathology Faculty #A06	Freezer	Nuaire	NU-9668GC	08010011	4/2/2008	\$8,608.00	\$0.00	Too Costly to Repair	7/6/2015

Disposition Value \$517,216.78

Disposition Net Book Value \$ -

Total Dispositon Items:

**UNM**CENTER *for* HIGHTECHNOLOGY MATERIALS**EXPLANATORY MEMO**

June 5, 2015

To: Inventory Control

From: Shane Kelly, CHTM

UNM Tag# 198538

This item is obsolete and has been out of use for an extended period of time, and will no longer be used for research. For this reason we would like to remove it from our inventory.

UNM Tag# 181710

This item is obsolete and has been cannibalized for parts. The parts that were cannibalized were used to repair and enhance existing items that are currently being used. For these reasons we would like to remove it from our inventory.

UNM Tag# 166593

This item is obsolete and has been cannibalized for parts. It has been out of use for an extended period of time, and will no longer be used for research. For these reasons we would like to remove it from our inventory.

If you would like to get in contact with me, you can reach me at 239-5381.

Thanks,
Shane Kelly

Asset Tag	Description	Acq.Cost	NBV	Date
198538	Signal Analyzer	\$15,396.00	0.00	1992
181710	Wire Bonder	\$10,500.00	0.00	1987
166593	Oscilloscope	\$14,250.00	0.00	1985

Sanjay Krishna, Director of CHTM

Department of Biochemistry & Molecular Biology

Date: July 2, 2015**TO:** University Services- Surplus**FROM:** Jessica Gutierrez, Fiscal Svc Tech; Dept. of Biochemistry & Molecular Biology**RE:** UNM Tag# 218820 (acquisition cost of \$22,974.00) &
UNM Tag# 191713 (acquisition cost of \$51,958.00)

The Synergy Peptide Synthesizer (UNM Tag# 218820) is quite dated and no longer in working condition. This unit was passed on to Dr. Orlando in its current condition and he thought he would be able to repair it for use in his laboratory but was unsuccessful in his attempts.

(Asset #191713)

Many of the pieces are non-functional on the Millipore/Waters Tunable Absorbance Detector and Dr. Orlando can no longer utilize this piece of equipment in his laboratory. This piece of equipment is also very old and the cost to fix would outweigh the equipment's value.

Please let this memo serve as justification for the disposal of the above listed items. If you have any questions, I can be reached at (505) 272-5148 or jegutierrez@salud.unm.edu.

Thank you,

 7/2/15

Jessica Gutierrez, Fiscal Svc Tech Date

 7/2/15

Sharon Pruitt, Dept. Administrator Date

Asset #	Description	Acq. Cost	NBV	Date
218820	Synthesizer	\$ 22,974.00	0	1996
191713	Solvent Delivery System	\$ 51,958.00	0	1990



Department of Pathology


MEMORANDUM

To: Inventory Control
From: Nancy Risenhoover, Department Administrator
Department of Pathology, ORG 254A/B
Date: April 23, 2015
RE: Request to Surplus Asset

In response to the request to send an item to Surplus Property by ORG 981C, CID Biodefenses, Pathology recognizes that the item in question, the Spectrophotometer (Asset # 205813) does belong to Pathology. However, Pathology authorizes CID to send this item to Surplus Property on its behalf because the department has concluded that this item is beyond repair/obsolete, as it has not been used in several years.

A/B 205813 Spectrophotometer 5/1994 \$ 14,365

Please accept this item as surplus and contact me if you have any further questions.



Nancy Risenhoover

Clinical Department Administrator

<u>Asset Tag</u>	<u>Description</u>	<u>Acq. Cost</u>	<u>NBV</u>	<u>Date</u>
205813	Spectrophotometer	\$14,365	Ø	1994

EXPLANATORY MEMO

June 5, 2015

To: Inventory Control

From: Shane Kelly, CHTM

This item, UNM Tag: 233033, Model #: JSM 5800 Electron Microscope, has been cannibalized for parts, and is obsolete. We contacted the manufacturer, Jeol, and they informed us that the asset is too old, and they no longer service the unit. We would like to surplus this item, as it has not been used for over 10 years.

If you would like to get in contact with me, you can reach me at 239-5381.

Thanks,
Shane Kelly

Asset Tag#	Description	Acq. Cost	NBV	Date
233033	Microscope Electron	\$182,600	0.00	1999



Signature (Director of CHTM)
Sanjay Krishna

MEMORANDUM

DATE: July 1, 2015
TO: Whom It May Concern
FROM: Gary Mlady, MD *gm*
SUBJECT: UNM Tag# 241553

In regards to UNM Tag# 241553, Acuson 128 Ultrasound machine. This device is obsolete and unusable as it is beyond repair. It has been out of clinical service for approximately 5 years, and was only used for teaching purposes until last year.

<u>Asset Tag</u>	<u>Description</u>	<u>Acq. Cost</u>	<u>NBV</u>	<u>Date</u>
241553	Radiology System	\$120,000 ⁰⁶	Ø	2000

Date: June 9, 2015

To: University Services

From: UNM Cancer Center, Director's research program

This memo is to request the approval to surplus an extra low temperature chest freezer (Kendro ULT - 140 freezer, UNM property tag #245183) in our possession. The freezer was purchased more than 15 years ago and the estimate repair cost is well over one third of the cost of a new freezer **with no** guarantee that the repair will correct the problem.

Please contact us at 272-6037 if you have any question.


Fiscal Ops Director

Asset #	Description	Acq. Cost	NBV	Date
245183	Freezer	\$16,776.00	0.00	2001

July 22, 2015

Ms. Dora Dominguez:

I write to verify and attest that the Polycom unit with Asset Tag 261988 no longer functions, but even more importantly, the Polycom technology has been replaced by the Zoom software in our department.

We understand that this memo is required as the original acquisition cost back in July of 2003 was over the \$ 10,000.00 threshold, but the unit itself is broken and the technology in general is obsolete.

Please let me know if there is anything else we need to provide.

Marcus White
LAN Administrator
Extended Learning
marcusw@unm.edu
505-277-8144
220-3338

Asset	Description	Acq. Cost	NBV	Date
261988	Telephone Video	\$ 10,630.00	0.00	2003

New Mexico Compilation Commission

13-6-1 . Disposition of obsolete, worn-out or unusable tangible personal property.

A. The governing authority of each state agency, local public body, school district and state educational institution may dispose of any item of tangible personal property belonging to that authority and delete the item from its public inventory upon a specific finding by the authority that the item of property is:

- (1) of a current resale value of five thousand dollars (\$5,000) or less; and
- (2) worn out, unusable or obsolete to the extent that the item is no longer economical or safe for continued use by the body.

B. The governing authority shall, as a prerequisite to the disposition of any items of tangible personal property:

- (1) designate a committee of at least three officials of the governing authority to approve and oversee the disposition; and
- (2) give notification at least thirty days prior to its action making the deletion by sending a copy of its official finding and the proposed disposition of the property to the state auditor and the appropriate approval authority designated in Section 13-6-2 NMSA 1978, duly sworn and subscribed under oath by each member of the authority approving the action.

C. A copy of the official finding and proposed disposition of the property sought to be disposed of shall be made a permanent part of the official minutes of the governing authority and maintained as a public record subject to the Inspection of Public Records Act [Chapter 14, Article 2 NMSA 1978].

D. The governing authority shall dispose of the tangible personal property by negotiated sale to any governmental unit of an Indian nation, tribe or pueblo in New Mexico or by negotiated sale or donation to other state agencies, local public bodies, school districts, state educational institutions or municipalities or through the central purchasing office of the governing authority by means of competitive sealed bid or public auction or, if a state agency, through the surplus property bureau of the transportation services division of the general services department.

E. A state agency shall give the surplus property bureau of the transportation services division of the general services department the right of first refusal when disposing of obsolete, worn-out or unusable tangible personal property of the state agency.

F. If the governing authority is unable to dispose of the tangible personal property pursuant to Subsection D or E of this section, the governing authority may sell or, if the property has no value, donate the property to any organization described in Section 501(c)(3) of the Internal Revenue Code of 1986.

G. If the governing authority is unable to dispose of the tangible personal property pursuant to Subsection D, E or F of this section, it may order that the property be destroyed or otherwise permanently disposed of in accordance with applicable laws.

H. If the governing authority determines that the tangible personal property is hazardous or contains hazardous materials and may not be used safely under any circumstances, the property shall be destroyed and disposed of pursuant to Subsection G of this section.

I. No tangible personal property shall be donated to an employee or relative of an employee of a state agency, local public body, school district or state educational institution; provided that nothing in this subsection precludes an employee from participating and bidding for public property at a public auction.

J. This section shall not apply to any property acquired by a museum through abandonment procedures pursuant to the Abandoned Cultural Properties Act [18-10-1 to 18-10-5 NMSA 1978].

K. Notwithstanding the provisions of Subsection A of this section, the department of transportation may sell through public auction or dispose of surplus tangible personal property used to manage, maintain or build roads that exceeds five thousand dollars (\$5,000) in value. Proceeds from sales shall be credited to the state road fund. The department of transportation shall notify the department of finance and administration regarding the disposition of all property.

L. If the secretary of public safety finds that the K-9 dog presents no threat to public safety, the K-9 dog shall be released from public ownership as provided in this subsection. The K-9 dog shall first be offered to its trainer or handler free of charge. If the trainer or handler does not want to accept ownership of the K-9 dog, then the K-9 dog shall be offered to an organization described in Section 501(c)(3) of the Internal Revenue Code of 1986 free of charge. If both of the above fail, the K-9 dog shall only be sold to a qualified individual found capable of providing a good home to the animal.

History: 1953 Comp., § 6-1-7.1, enacted by Laws 1961, ch. 100, § 1; 1979, ch. 195, § 2; 1984, ch. 47, § 1; 1987, ch. 15, § 1; 1989, ch. 211, § 6; 1995, ch. 181, § 1; 1998, ch. 16, § 1; 2001, ch. 317, § 1; 2007, ch. 57, § 4; 2012, ch. 10, § 1; 2013, ch. 9, § 1.

Regents' Policy Manual - Section 7.14: Risk Management and Insurance



Adopted Date: 09-12-1996

Amended: 12-14-2010

DRAFT OF 8-14-2015

Applicability

This policy applies to all members of the University community and to all property owned or controlled by the University.

Policy

1. Safety and Loss Prevention Program

It is the policy of the University to take reasonable steps to avoid accidents or other incidents that could result in injury or death to students, faculty, staff, and visitors, and to protect the physical resources of the University against loss or damage. The University, therefore, will have an active safety and loss prevention program. Because of the unique and distinct manner in which the Health Sciences Center operates and the unique nature of the risks of loss with respect thereto, the governance and oversight of the safety and loss prevention program for the Health Sciences Center (and each of its component colleges, schools, centers, units, and subsidiary corporations as described in Section 1 of [RPM 3.4](#)) shall be as described in Section 3i of [RPM 3.5](#) for the Health Sciences Board of Directors and Exhibit A Section 12 of [RPM 3.6](#) for the UNM [Hospital](#) Board of Trustees. The program will also provide for the proper handling and disposition of hazardous materials, pursuant to applicable laws.

[Liability insurance covering the University and its "public employees," as defined in the New Mexico Tort Claims Act, property and casualty insurance, workers' compensation insurance, and health care liability coverage for health care students are provided by the Risk Management Division, General Services Department, of the State of New Mexico.](#)

Recognizing that the University's and its "public employees" tort liability to third parties is subject to the immunities and limitations set forth in the New Mexico Tort Claims Act and the Eleventh Amendment to the U.S. Constitution, in cooperation with the Risk Management Division of the New Mexico General Services Department under and pursuant to the New Mexico Tort Claims Act, the University will carry (a) fire and extended coverage insurance on its buildings, heating and cooling systems, and major equipment; (b) workers' compensation and unemployment compensation as required by applicable law, (c) medical malpractice, professional liability, and comprehensive general liability insurance under the Public Liability Fund administered by the Risk Management Division to protect itself and its "public employees," as defined in and consistent with the New Mexico Tort Claims Act; (d) such other and further insurance coverage as may be necessary and appropriate under the circumstances of a particular situation.

2. Insurance for Employees and Students

The University will provide opportunities for its students and employees to purchase medical ~~and accident~~ insurance. ~~Liability insurance covering the University and its "public employees," as defined in the New Mexico Tort Claims Act, property and casualty insurance, workers' compensation insurance, and health care liability coverage for health care students are provided by the Risk Management Division, General Services Department, of the State of New Mexico.~~

The Board ~~shall~~ must approve the establishment or elimination of any alternative insurance or self-insurance program. In 2009, the Board approved a self-funded employee health plan.

Implementation

~~Recognizing that the University's and its "public employees" tort liability to third parties is subject to the immunities and limitations set forth in the New Mexico Tort Claims Act and the Eleventh Amendment to the U.S. Constitution, in cooperation with the Risk Management Division of the New Mexico General Services Department under and pursuant to the New Mexico Tort Claims Act, the University will carry (a) fire and extended coverage insurance on its buildings, heating and cooling systems, and major equipment; (b) workers' compensation and unemployment compensation as required by applicable law, (c) medical malpractice, professional liability, and comprehensive general liability insurance under the Public Liability Fund administered by the Risk Management Division to protect itself and its "public employees," as defined in and consistent with the New Mexico Tort Claims Act; (d) such other and further insurance coverage as may be necessary and appropriate under the circumstances of a particular situation.~~

The University will offer to all its active ~~and retired~~ permanent faculty and staff employees, and certain retirees, ~~several different plans of~~ group health insurance coverage which the University co-pays in accordance with state law. University

employees may also purchase group life insurance, accidental death and dismemberment insurance, and [short- and long-term disability insurance](#) coverage for themselves and their families through the University.

The University will offer one or more health insurance policies to its students each year.

2.1. Reserve Fund Maintained for Self-Insurance Plan

[The University maintains a reserve fund for its self-insured health, prescription drug, and dental benefits covering active employees and eligible retirees. Third Party Administrators \(TPA\) are contracted to process claims and perform certain administrative functions. In addition to claims payments and TPA administrative fees, the three components of the reserve fund \(discussed below\) may be used, as appropriate, for medical and non-medical costs such as stop-loss premiums, wellness initiatives, onsite clinic costs, telemedicine services, disease management services, and outside consulting fees.](#)

[The reserve fund has three distinct components: an Incurred But Not Reported \(IBNR\) reserve, a Claims Fluctuation Reserve \(CFR\), and a general reserve.](#)

- [• The IBNR reserve is maintained to fund terminal liabilities in the event that the self-funded plan, or any subset of it, were to cease. The amount of the IBNR reserve is calculated and certified annually by an independent credentialed healthcare actuary.](#)
- [• The CFR reserve provides budget certainty to any given fiscal year should actual costs exceed the expected amounts. The amount is calculated to reflect a percentage of budget certainty between 50% and 100%.](#)
- [• The general reserve represents any funds that exceed the combined IBNR and CFR reserves, and may include earnings created by the reserve.](#)

2.2. Use of the General Reserve Component of the Self-Insurance Reserve Fund

[From time to time, The Board in its discretion may approve the allocation of funds from the general reserve component for other University purposes. The premium amounts paid by covered employees constitute assets of the self-insurance plan, and can be used for no other purpose. Any interest paid on the employees' premiums, and other monies that exceed participant contributions and form the basis of the general reserve component, however, are considered general assets of the University and may be used for purposes unrelated to the self-insurance plan.](#)

3. Reports to the Board

The President shall report annually to the Board on the status and financial condition of the University's risk management and insurance programs. In this regard, the Chancellor for Health Sciences shall coordinate reporting for the Health Sciences Center's safety and loss prevention program with the President of the University.

References

Tort Claims Act, § 41-4-1 et seq., NMSA 1978; Workers' Compensation Act, § 52-1-1, et seq.; Group Benefits Act, § 10-7B-1, et seq.

[UAP 3600 \("Eligibility for Employee, Retiree, and Dependent Benefit Plans"\)](#)

[UAP 6110 \("Safety & Risk Services"\)](#)

DRAFT

FY 17 Legislative Priorities and Research and Public Service Projects Requests

Connie Beimer
Interim Director
Office of Government and Community Relations

FY 17 Legislative Priorities

- **Performance/Outcomes Funding Formula**
 - Since the funding formula's implementation four years ago, UNM has grown in every metric of the outcomes based formula. UNM strongly supports a freeze in the current calculations of the outcomes metrics to allow time for the state to properly assess the effectiveness of the formula.
 - Additionally, Higher Education currently receives 13% of the total general fund appropriation. We support sustaining or increasing the current share of general fund appropriations for higher education.
- **Medical School I&G**
 - The UNM Medical School must offer competitive salaries in order to attract and retain its nationally respected faculty physicians. The additional funding would bring SOM faculty between the 25th and 50th percentiles of the AAMC salary schedule. This requested increase is in addition to any legislative compensation increase.

FY 17 Legislative Priorities

- **Compensation Package**
 - Additional funding is needed to offset four years of no pay increases coupled with rising healthcare costs and retirement contributions. UNM supports a compensation package that reflects the hard work and dedication of our faculty and staff. UNM also supports a revision to formula used to calculate compensation for our faculty and staff.
 - It is also important to recognize that UNM has to compete globally for quality faculty. Therefore, UNM supports additional compensation for its recruitment and retention of quality faculty.
- **Legislative Lottery Scholarship**
 - UNM supports solvency of the lottery scholarship fund that is equitable for all students.

FY 17 Legislative Priorities

- **GO Bond and Capital Outlay**
 - UNM accounts for 48% of the state's total higher education FTEs as well as 32% of the total square footage devoted to teaching and research. UNM supports funding capital projects in proportion to an institutions size and student population.
- **Endowment Match**
 - UNM supports state funding for endowed faculty positions. This valuable tool allows UNM maximize donor funding to recruit and retain world class faculty to provide the best education to the students of New Mexico
- **Deferred Maintenance**
 - UNM has over \$375 million backlog of deferred maintenance which continues to grow at the rate of \$20 million per year. UNM requests that available funding be applied toward this need on a formula basis.

FY 17 Legislative Priorities

- **Photonics - Integrated Manufacturing for Mid-infrared Photonic Application Technology (IMMPACT)**
 - Development of this Center will build on the core capabilities and strength in optics and photonics that exist in NM and leverage our region's innovative capacity in these areas.
 - UNM will lead a collaboration of industry, universities, community colleges, local governments and non profits to leverage established research and development in critical areas of this technology, coupled with its electronics and photonics packaging know-how will provide a successful path for this important manufacturing industry.

FY 17 Research and Public Service Project Legislative Requests

- A Research and Public Service Project (RPSP) is a line-item appropriation for a specific purpose.
 - This includes research, public service programs, and certain other activates that do not qualify to receive funding from the higher education funding formula due to the scope and/or legislative intent of the project.
- Rule 5.3.5.12 NMAC
 - All new and expansion funding requests must be approved by the governing board prior to submission to the Higher Education Department.

FY 17 Research and Public Service Project Legislative Requests

- RPSP Program and Funding Decline
 - In 2008, the University of New Mexico had 92 RPSPs totaling \$52,930,000
 - Main Campus, 50 RPSPs totaling \$15,617,300
 - HSC, 42 RPSPs totaling \$37,314,500
 - In 2015, the University of New Mexico has 50 RPSPs totaling \$43,462,000
 - Main Campus, 32 RPSPs totaling \$9,837,000
 - HSC, 18 RPSPs totaling \$33,625,000

Main Campus FY 17 RPSP Legislative Requests

- Degree Plans, FY17 Expansion Request: \$225,800
 - We have found that many of our students graduate with far more credits than are need for their degree and much of these “wasted” credits occur when students transfer from other institution's.
 - The net results are delays in graduation, or more drastically students that drop out, leading to real economic loss and social costs that the state must bear.
 - By providing services that allow students to easily view and follow degree plans, in a manner that crosses institutional boundaries, we will greatly enhance our student’s opportunity for success, and directly impact the completion problem in New Mexico
 - *If funded, this would bring the Degree Plans total General Fund Appropriation to \$300,800*

Main Campus FY 17 RPSP Legislative Requests

- UNM School of Law
 - The School of Law is focusing on three of its currently funded centers. The three are in need of additional funds to continue the critical work and services they provide to the State of New Mexico and the students of the university.
 - Corinne Wolfe Children's Law Center, FY17 Expansion Request: \$168,572
 - With expanded RPSP funding (and the expanded non-state funding from the W.K. Kellogg Foundation and other sources), the Children's Law Center will extend its focus to include the specialized education of law students, other graduate students and professionals to improve justice, equity, and health for New Mexico's most vulnerable children.
 - *If funded, this would bring the Corinne Wolf Children's Law Center's total General Fund Appropriation to \$340,472*

Main Campus FY 17 RPSP Legislative Requests

- UNM School of Law
 - Utton Transboundary Resource Center, FY17 Expansion Request \$278,700
 - The Utton Center requests an expansion of its budget to return it to historic funding levels. A return to historic funding levels will allow the Utton Center to fulfill its potential to properly serve the state's decision-makers, students, and stakeholders. The Center's current budget restricts the Center's activities to production of *Water Matters!*, its annual water briefing for the legislature, and the continuation of the Joe M Stell Water Ombudsman Program.
 - *If funded, this would bring the Utton total General Fund Appropriation to \$612,459*

Main Campus FY 17 RPSP Legislative Requests

- UNM School of Law
 - Southwest Indian Law Clinic,
FY 17 Expansion Request: \$200,600
 - The expansion funds will allow SILC to hire a full-time administrator to manage the clinical law office and classroom components on-campus, and support the provision of legal services and training, and education within rural tribal communities across the state. Additionally, the increase for FY2017 will allow SILC to hire a clinical fellow, potentially in collaboration with existing non-profit legal service providers, to assist with coverage of SILC throughout the state and support SILC's unique focus on skills essential to the practice of Indian law.
 - *If funded, this would bring the Southwest Indian Law Clinic total General Fund Appropriation to \$416,116*

Main Campus FY 17 RPSP Legislative Requests

- Manufacturing Engineering Program,
FY 17 Expansion Request: \$300,000
 - The UNM MTTC Cleanroom is the only silicon-based cleanroom in New Mexico that supports the three needs of multi-level training (high-school to PhD), research and small companies.
 - UNM/MEP relies on State RPSP support for the baseline operation of MEP programs and facilities, to further the mission of State-centric technology, workforce and economic development.
 - *If funded, this would bring the Manufacturing Engineering Program total General Fund Appropriation to \$861,900.*

Health Sciences Center FY 17 RPSP Legislative Requests

- Graduate Medical Education Residencies
FY17 Expansion Request: \$905,000
 - Expanded funding for graduate medical education residencies will enable the HSC to continue efforts to address the shortage of primary care physicians in rural and underserved areas of New Mexico. The increased primary care residency slots would be in General Internal Medicine (5), Psychiatry (2), Family Community Medicine (1) and General Surgery (1). These slots would be added to the existing expanded residencies.
 - Based upon the funding received in the 2014 and the 2015 Sessions, the HSC has been able to add 18 residents. The residents which have already been added are:
 - General Internal Medicine (10); Psychiatry (4); Family Medicine (2); Surgery (2).
 - *If funded, this would bring the total General Fund Appropriations for Primary Care General Medical Education Residencies to \$2,712,400*

Health Sciences Center FY 17 RPSP Legislative Requests

- Center for Childhood Maltreatment
FY17 New Program Request: \$883,200
 - The HSC currently serves as the only referral source for suspected cases of child abuse and has the only team dedicated to addressing this public health problem in New Mexico.
 - This proposal supports the establishment of a comprehensive child maltreatment program at the University of New Mexico Children's Hospital. The program would provide high quality clinical care, education, scholarly work, and professional collaboration essential for a multidisciplinary response to the epidemic of child maltreatment.
 - It builds upon two existing clinical services: the Para los Niños sexual abuse clinic and the Child Abuse Response Team (CART), a physical abuse and neglect service. Funding for additional staff and faculty positions would enable the program to achieve long term sustainability. The program would also support the primary prevention endeavors of the New Mexico-Child Abuse Prevention Partnership (NM-CAPP).

Health Sciences Center FY 17 RPSP Legislative Requests

- New Mexico Connects

FY17 New Program Request: \$400,000

- NM Connects is a Behavioral Health consultation, supervision, and training program. This proposal would fund an interdisciplinary behavioral health team that would be available Monday – Friday from 8:00 a.m. – 5:00 p.m. for immediate consultation via telehealth technology. Additionally, the team would conduct supervised sessions with non-independently licensed clinicians throughout New Mexico to enable them to gain hours needed for independent licensure. Upon appropriation the team will be assembled and will include: a child psychiatrist, licensed psychologist, advance practice nurse, and independently licensed social worker.

Health Sciences Center FY 17 RPSP Legislative Requests

- Office of the Medical Investigator - Utilities
FY17 Expansion Request: \$280,000
 - The OMI is the centralized, state-wide medical examiner for the state of New Mexico. It is charged with performing medicolegal death investigation on all reportable deaths in the state for the purpose of determining cause and manner of death.
 - In FY11, the OMI moved from an 18,000 square feet facility with inadequate ventilation systems and very limited equipment to a 60,000 sq. ft. facility. Though the building is certified as a LEED silver facility, the utilities are more than double what the cost was in the older inadequate facility.
 - In FY10, the last year in the old building, actual OMI utility cost was \$90,097. In FY14, actual utility cost was \$525,669.
 - *If funded, this would bring the Office of the Medical Investigator total General Fund Appropriation to \$5,305,300.*

Health Sciences Center FY 17 RPSP Legislative Requests

- Project ECHO FY17
Expansion Request: \$3,060,000
 - Project ECHO (Extension for Community Healthcare Outcomes) improves access to specialty care for underserved patients in urban, rural and underserved areas in New Mexico. Project ECHO force multiplies the availability of medical specialists by training rural healthcare providers (nurses, nurse practitioners, physician assistants, primary care doctors, etc.). The program has been very successful in providing care to rural and underserved New Mexicans.
 - This additional funding would allow ECHO to:
 - increase the number of Community Health Workers trained in diabetes, addictions and mental illness, obesity and prevention
 - increase the number of prisoners trained by prison peer educators in HIV, Hepatitis C, and substance abuse disorders
 - increase the number of clinician/staff attending educational events
 - increase the number of best practice protocols established for disease models
 - increase the number of continuing medical education credits for professional training sessions
 - increase the number of teleECHO clinics held
 - *If funded, this would bring the Project ECHO total General Fund Appropriation to \$5,203,800.*

Health Sciences Center FY 17 RPSP Legislative Requests

- UNM Pain Center
FY17 Expansion Request: \$1,908,200
 - Chronic pain and addiction to prescription opioids are two of the largest public health crises in the United States and especially in New Mexico. For the last six years, New Mexico has been either the Number 1 or the Number 2 state in the country for unintentional opioid overdose deaths. Most patients starting opioid therapy first obtain this medication from one physician. Education in chronic pain management has been lacking in medical school, nursing school, and pharmacy school education. Most recently-graduated clinicians leave their respective trainings without the competency or self-efficacy in caring for patients with chronic pain and addiction.
 - During the 2012 New Mexico State Legislative Session, Senate Bill 215 was passed. This Bill revised the Pain Relief Act and required all medical licensing boards to mandate continuing medical education (CME) training specific to pain and addiction. New Mexico is now one of only six states requiring CME specific to pain and addiction and requires this training for all clinicians, regardless of licensure.



Institutional Support Services

MEMORANDUM

DATE: July 31, 2015

TO: Members of the Finance & Facilities Committee

THRU: Robert G. Frank, President
David W. Harris, EVP for Administration, COO & CFO

FROM: Chris Vallejos, AVP for Institutional Support Services
Lisa Marbury, Executive Director, Institutional Support Services

RE: **Approval of Capital Outlay Submission to the Higher Education Department**

Attached for your approval is the Capital Outlay request spreadsheet that details the projects that will be presented to the NM Higher Education Department on August 6th. This request has been modified from the previous request due to the Legislative Special Session which provided additional capital to fund the Health Education Building Phase III and Farris Engineering Building Renovation. This has allowed for a reprioritization of the University's capital needs.

The changes include an increased ask of the Physics & Astronomy, Interdisciplinary Science facility to \$30 million and a new request of \$3 million for Smith Plaza/Union Square infrastructure, lighting, and revitalization project.

Thank you for your consideration.

**UNIVERSITY OF NEW MEXICO
CAPITAL OUTLAY PLAN**

	ESTIMATED PROJECT COST	2016 APPROPRIATION REQUEST	PREVIOUS APPROPRIATION	PRIVATE FUNDS & OTHER FUNDS	Potential UNM BONDS ⁽²⁾
MAIN CAMPUS & HSC FACILITIES					
PHYSICS & ASTRONOMY, INTERDISCIPLINARY SCIENCE	\$66,446,710	\$30,000,000	\$1,446,710	\$0	\$35,000,000
ANDERSON SCHOOL OF MANAGEMENT- Phase I ⁽¹⁾	\$25,000,000	\$0	\$721,500	\$7,000,000	\$17,278,500
HSC WEST HEALTHCARE EDUCATION BUILDING	\$20,000,000	\$10,000,000	\$0	\$10,000,000	\$0
ADULT HOSPITAL REPLACEMENT ⁽¹⁾	\$400,000,000	\$0	\$0	\$400,000,000	\$0
SMITH PLAZA/UNION SQUARE	\$6,000,000	\$3,000,000	\$0	\$0	\$3,000,000
CHILDCARE CENTER EXPANSION ⁽¹⁾	\$10,000,000	\$0	\$0	\$0	\$10,000,000
JOHNSON CENTER EXPANSION & RENEWAL ⁽¹⁾	\$35,000,000	\$0	\$0	\$0	\$35,000,000
SUBTOTAL	\$562,446,710	\$43,000,000	\$2,168,210	\$417,000,000	\$100,278,500
(1) Informational Only					
(2) Assumes Issuing UNM Bonds in FY16 or FY17					
INFRASTRUCTURE					
ADA COMPLIANCE	\$250,000	\$250,000	\$0	\$0	\$0
REPAVING and ROAD REPAIR (Campus Wide)	\$2,500,000	\$2,500,000	\$0	\$0	\$0
IT ELECTRICAL UPGRADES	\$2,200,000	\$2,200,000	\$0	\$0	\$0
DATA CENTER PLANNING	\$210,000	\$210,000	\$0	\$0	\$0
UTILITY PLANT RELIABILITY	\$750,000	\$750,000	\$0	\$0	\$0
SUBTOTAL	\$5,910,000	\$5,910,000	\$0	\$0	\$0
ATHLETICS					
LANDSCAPING IMPROVEMENTS - PHASE II	\$1,000,000	\$1,000,000	\$0	\$0	\$0
SUBTOTAL	\$1,000,000	\$1,000,000	\$0	\$0	\$0
GALLUP BRANCH					
CAREER TECHNOLOGY EDUCATION CENTER- Phase I	\$4,860,000	\$3,645,000	\$0	\$1,215,000	\$0
PHYSICAL PLANT BUILDING	\$2,000,000		\$0	\$500,000	\$0
LOS ALAMOS BRANCH					
SUSTAINABILITY and INFRASTRUCTURE	\$1,000,000	\$750,000	\$0	\$250,000	\$0
STUDIO CLASSROOM RENOVATION	\$957,200		\$0	\$478,600	\$0
TAOS BRANCH					
STEM TECHNICAL CENTER - Phase II	\$4,800,000	\$4,176,000	\$0	\$624,000	\$0
VALENCIA BRANCH					
MECHANICAL, ELECTRICAL, and IT SYSTEM UPGRADES	\$4,800,000	\$3,600,000	\$0	\$1,200,000	\$0
SUBTOTAL	\$18,417,200	\$12,171,000	\$0	\$4,267,600	\$0
TOTAL	\$587,773,910	\$62,081,000	\$2,168,210	\$421,267,600	\$100,278,500
TOP PRIORITY					

Tab 10

Approval of Addition to Innovate ABQ, Inc. Board of
Directors

will be presented at the meeting



July 27, 2015

Cenissa Martinez
Manager, Division Support Services
Vice President for Administration
University of New Mexico
Scholes Hall, Room 111
MSC05 3350
1 University of New Mexico
Albuquerque, NM 87131-0001

Re: Agenda Item for the Regents' Finance & Facilities Committee Meeting on August 11, 2015

Dear Cenissa:

Enclosed please find a memo to the Regents regarding the appointment of Eric Prossnitz to the STC Board, along with his bio and materials, for inclusion on the agenda for the Finance & Facilities Committee meeting on August 11, 2015. I have also emailed the materials to you.

Please don't hesitate to contact me if you have any questions.

Sincerely,

A handwritten signature in black ink that reads "Denise".

Denise M. Bissell
STC.UNM
272-7310
dbissell@stc.unm.edu

Enclosures



Eric R. Prossnitz, Ph.D.

Professor, Department of Cell Biology and Physiology

Victor and Ruby Hansen Surface Endowed Professor in Cancer Chemical Biology

Co-Director, Translational Cancer Biology and Signaling Cancers Program, UNM Cancer Center

University of New Mexico Health Sciences Center

Biography

Dr. Eric Prossnitz received his Ph.D. from the University of California at Berkeley and completed post-doctoral training at the Scripps Research Institute, where he advanced to faculty before relocating to UNM in 1997. He has more than 25 years of experience in studying receptor-mediated signaling and drug discovery, having been continuously funded by the NIH since 1994. The goal of his research is to determine the role of individual estrogen receptors in health and disease, particularly cancer. Dr. Prossnitz is Co-Director of the Cancer Cell Biology and Signaling Program at the UNM Cancer Center. He has published over 160 articles in the area of GPCR biology and has made several important contributions to the GPCR field, including the discovery of a 7-transmembrane G protein-coupled estrogen receptor, GPR30/GPER, along with selective activators and inhibitors of this receptor.



TO: Robert G. Frank, President, The University of New Mexico

FROM: Sandra Begay-Campbell, Chair, STC.UNM Board of Directors

DATE: May 4, 2015

SUBJ: Recommendation to Appoint Eric Prossnitz to Replace Pope Moseley as the HSC Campus Faculty Member of the STC.UNM Board of Directors

Dear President Frank:

Dr. Pope Moseley is stepping down as HSC campus faculty member on the STC.UNM Board of Directors due to his appointment as dean of the College of Medicine and executive vice chancellor at the University of Arkansas for Medical Sciences. Per STC Bylaws, Chancellor for Health Sciences Paul Roth has recommended that Eric R. Prossnitz, Ph.D., replace Dr. Moseley as the HSC faculty member on the STC Board. Dr. Prossnitz is a professor in the Department of Cell Biology and Physiology at the Health Sciences Center.

As President of the University of New Mexico, you are authorized under the STC.UNM Bylaws to approve Dr. Roth's recommendation to this position. Attached is Dr. Roth's email recommendation. Dr. Prossnitz would serve a four-year term, beginning upon final approval by the Board of Regents.

If you approve the recommendation to appoint Dr. Prossnitz, his appointment will be submitted to the STC Board for approval at its July 31, 2015 meeting, then submitted for approval to the Regents' F&F Committee at its August 11 meeting, and submitted for final approval to the Regents' Board at its August 14 meeting.

Thank you for your consideration of this matter.

Sincerely,

A handwritten signature in blue ink, reading "Sandra Begay-Campbell".

Sandra Begay-Campbell
Chair, STC.UNM Board of Directors

cc: Elizabeth J. (Lisa) Kuuttila
CEO & Chief Economic Development Officer
STC.UNM

APPROVED BY

A handwritten signature in black ink, reading "Robert G. Frank".

Robert G. Frank
President
The University of New Mexico

May 6, 2015
Date

From: Paul B Roth M.D.

Sent: Monday, April 27, 2015 4:27 PM

To: Denise Marie Bissell

Cc: 'skbegay@sandia.gov'; Elizabeth Kuuttila; Patrice Martin; Kyung Lee Salazar

Subject: RE: Letter from STC Board Chair Sandra Begay-Campbell

Sorry for the delay. I recommend Eric Prossnitz to fill the Pope Moseley open position. Eric is one of our most successful and accomplished faculty and is well versed in the area of commercialization of IP. I expect he will be a great contributing member of the STC Board. Attached is his CV.

Respectfully,

Paul Roth



TO: Paul B. Roth, Chancellor for Health Sciences, The University of New Mexico

FROM: Sandra Begay-Campbell, Chair, STC.UNM Board of Directors

DATE: April 23, 2015

SUBJ: Recommendation of HSC Faculty to Replace Pope Moseley as HSC Faculty Member on the STC.
UNM Board of Directors

Dear Chancellor Roth:

Pope Moseley will be stepping down as HSC faculty member on the STC.UNM Board of Directors due to his appointment as dean of the College of Medicine and executive vice chancellor at the University of Arkansas for Medical Sciences. As Chancellor for Health Sciences at UNM, you are authorized under the STC.UNM Bylaws to recommend an HSC faculty member to this position.

Your recommendation will be sent to President Frank for approval (per STC Bylaws). I will then notify the faculty member and if he or she is willing to serve, the appointment will then be confirmed by the STC Board and the UNM Regents.

Thank you for your assistance with this matter and I look forward to hearing from you.

Sincerely,

A handwritten signature in cursive script, reading "Sandra Begay-Campbell".


Sandra Begay-Campbell
Chair, STC.UNM Board of Directors

cc: Elizabeth J. (Lisa) Kuuttila
CEO & Chief Economic Development Officer
STC.UNM

Office of the Dean

DATE: July 20, 2015

TO: Finance and Facilities Committee

FROM: Craig White, Interim Dean, Anderson School of Management 

RE: Parker Center for Family Business MOA

UNM Anderson is undertaking an ambitious program to promote family-owned entrepreneurship within the University and across the State of New Mexico. The Anderson School remains committed to playing a key role in economic development and is interested in incorporating the New Mexico Family Business Alliance (NMFBA) under its umbrella to be rebranded as the Parker Center for Family Business (PCFB).

The NMFBA was formed as a 501(c)(3) in 2005 to provide New Mexican family businesses with an opportunity to provide knowledge about family-run and closely-held businesses and to address issues unique to these firms. In late December 2013, Linda H. and James M. Parker pledged \$125,000 to UNM Anderson School to move the program and rename it the Parker Center for Family Business. This program will continue to be run by a volunteer Board of Directors made up of Directors from across the state representing many lines of business and will maintain its own 501(c)(3) under this new name. The PCFB has set up a Quasi Endowment with UNM Foundation. In addition, Linda and Jim Parker have pledged to raise an additional \$125,000 for this effort.

The PCFB is a membership based program serving family owned businesses of all sizes, in all stages of development, with education and guidance on challenges faced by family (multi-generational) owned and run businesses. The organization will bring in nationally recognized, expert speakers in all areas of family business through symposiums and dinners open to its members and the business community as a whole. The organization also serves as a roundtable for participants to share experiences through quarterly breakfast meetings. Continuing Education Certificate Programs will be available to PCFB members through Anderson's Executive and Professional Education Center for a fee.

The current program has the potential to grow into a larger program once affiliated with Anderson School of Management and our Small Business Institute. Additional funding could come from membership dues, board dues, events, gifts and grants, and contract training and education.

UNM Anderson may hire and pay for administrative staff support using PCFB funds and faculty may be compensated for operational and programmatic services performed for PCFB.

The PCFB will inspire Anderson and UNM students to start their own businesses knowing that help will be available. When second and third generation managers take over the family business, they often face more complex issues than their parents once did. There is a rising demand for programs that cater to the specific needs in areas such as succession planning, asset management and communications.

**MEMORANDUM OF AGREEMENT
BETWEEN
THE REGENTS OF THE UNIVERSITY OF NEW MEXICO
AND
THE PARKER CENTER FOR FAMILY BUSINESS**

THIS MEMORANDUM OF AGREEMENT ("Agreement") is entered into this ____ day of _____, 2015, by and between the REGENTS OF THE UNIVERSITY OF NEW MEXICO ("University" or "UNM"), a constitutionally-created entity of the State of New Mexico, and the PARKER CENTER FOR FAMILY BUSINESS ("Parker Center"), a New Mexico nonprofit corporation, sometimes collectively referred to as the "Parties" and individually as a "Party."

RECITALS

WHEREAS, University is a public institution of higher education under the management and control of its Board of Regents;

WHEREAS, Anderson School of Management ("Anderson School") is a school within the University;

WHEREAS, Parker Center is organized as a New Mexico nonprofit corporation to complement, contribute to, and support the University and Anderson School; and

WHEREAS, Section 6-5A-1, NMSA 1978, requires the University to enter into a written agreement with the Parker Center that addresses the topics discussed in the statute as a condition of the University accepting the transfer of property and funds from the Parker Center.

NOW, THEREFORE, the Parties agree as follows:

I. MISSION

A. The purpose of the Parker Center is, in partnership with the Anderson School of Management, to provide a forum that addresses critical challenges unique to family businesses, providing assistance to help them succeed now and for generations to come, which may include, but is not limited to, the following activities:

1. Annual Symposium;
2. Educational Dinners;
3. Quarterly Breakfast Meetings;
4. EPEC Certificate Programs;
5. Newsletter; blog
6. Confidential Family Business Council Forums;
7. Website.

B. The Parker Center will work with the Anderson School in raising funds to support the programs of the Parker Center at the Anderson School and that such fund-raising efforts may include, but is not limited to, the following activities:

1. Annual giving programs;
2. Contributions of Board Members;

3. Deferred and major gifting;
4. Special events;
5. Non-credit family business related courses, and certificate programs; and
6. Contractual training, and management and business consulting.

C. The Parties acknowledge that the UNM Foundation, Inc. ("UNM Foundation"), in coordination with the University, takes primary responsibility for raising funds to support the general needs of the University, and that such fundraising efforts may benefit the Parker Center at Anderson School and are consistent with this Agreement.

D. The Parker Center acknowledges that the UNM Foundation operates coordinated fundraising activities among the University's many colleges, schools, and programs and the Parker Center agrees that it will participate in such coordinated activities for the University. The Parker Center agrees that it will not seek donations from potential donors whom the University has prioritized approaching for other needs of the University, except with the approval of the UNM Foundation President, and the Dean of Anderson School. It is understood that the activities of the Parker Center must be consistent with the University's overall fundraising and academic goals and development plans. The Parker Center shall not solicit or accept gifts or donations from any source contrary to law or for the use that is inconsistent with the University's goals and policies.

E. The University grants the Parker Center license to use the names "University of New Mexico," "Anderson School of Management," "at Anderson" and any other appropriate trademarks of the University in connection with its activities as specified in this Agreement. The foregoing license automatically terminates upon termination of this Agreement.

II. MANAGEMENT OF GIFTS AND DONATIONS

A. The Anderson School shall provide the UNM Foundation President with an annual report no later than June 30th of each year, which shall include a summary of all gifts and donations received on behalf of the Parker Center, held, or distributed during the past year, the Parker Center's operating income and expenses for the calendar year, a listing of its officers and directors, and a summary of its activities. On or before March 31st of each year, the Parker Center will provide the Anderson School a listing of its officers and directors, and a summary of its activities.

B. All funds received by the Parker Center in the form of contributions or membership dues shall be deposited in bank and investment accounts established by and in the name of the Parker Center at the Anderson School. The Anderson shall maintain control over and be responsible for the operation and solvency of these accounts, and the Parker Center shall direct the use of the funds to support its fundraising and educational efforts.

C. All funds held by Anderson School for the Parker Center will be managed in accordance with the standards described in NMSA 1978, §6-8-10, the prudent investor rule, and NMSA 1978, §46-9-1, *et seq.*, the Uniform Management of Institutional Funds Act.

D. The Parker Center will comply with University policies on the receipt and transfer of gifts, including the documentation of donor intent through an appropriate agreement. Once Anderson School transfers the quasi-endowment funds of the Parker Center to the UNM Foundation, the funds will be held in the University's Consolidated Investment Fund for quasi-endowment investment

purposes. Distribution of income from investments of the Parker Center quasi-endowments shall be made in accordance with the University and the UNM Foundation's investment management and quasi-endowment policies and in accordance with the requirements specified by the terms of the donation. The UNM Foundation may charge the Parker Center a reasonable fee for the administration of the quasi-endowment.

E. The Anderson School agrees to establish and maintain a record of all gifts and donations received on behalf of the Parker Center and to promptly recognize all donors. So that the University may provide appropriate recognition to special donors, the Anderson School agrees to notify the UNM Foundation, on a monthly basis, of the names and addresses of any donors with (i) single gifts of one-thousand (\$1,000) or more and (ii) cumulative gifts totaling over two-thousand-five-hundred dollars (\$2,500) received on behalf of the Parker Center.

F. The Parker Center will ensure that potential donors are adequately informed that its fundraising activities benefit the Parker Center at the Anderson School, and all advertising and solicitations materials will so indicate. Unsolicited donations and bequests that designate the University as the recipient and indicate that the purpose of the gift is to support the Parker Center at the Anderson School will be transferred to the UNM Foundation and designated for use by the Parker Center.

G. Gifts of tangible personal property may be accepted by the Parker Center only after consultation with the UNM Foundation, and in accordance and applicable University policies. Offers of real estate may not be accepted by the Parker Center, but should be referred to the UNM Foundation for consideration and acceptance.

III. ORGANIZATION, FUNCTION, AND BUDGET

A. The Parker Center agrees to operate according to its Articles of Incorporation and Bylaws, as amended, and to organize and operate in such a manner that will retain its legal status as a tax-exempt, non-profit organization pursuant to section 501(c)(3) of the Internal Revenue Code, or any successor law.

B. The Parker Center Board may employ such agents and employees and confer upon them such powers as may be reasonably required to manage and administer the affairs and property of the corporation, and shall fix their compensation and terms of employment.

C. The Anderson School may hire and pay for staff support for the Parker Center, using Parker Center funds. The staffing levels, recruitment and hiring, compensation and other personnel matters will comply with University personnel management policies and will be decided by the Anderson School.

D. The Anderson School shall cooperate with the Parker Center in the development of its fundraising and business community relations programs and campaigns, including the possible provision of information, data, plans, speakers, facilities for meetings at the Anderson School, and such other materials and services as Anderson School deems reasonable and appropriate.

E. The University, through the State's Risk Management program, will provide insurance for liability risks associated with educational programs it operates with the Parker Center's support. Each Party acknowledges that it will be responsible for claims or damages arising from personal

injury or damage to persons or property to the extent they result from the negligence of its employees, officers or directors. The liability of UNM shall be subject in all cases to the immunities and limitations of the New Mexico Tort Claims Act, Section 41-4-1 *et. seq.* NMSA 1978, as amended. The Parker Center will carry public liability insurance in the amount of at least One Million Dollars (\$1,000,000) as well as Directors and Officers insurance.

F. At least annually, the Parker Center and the Dean of the Anderson School shall confer about the Anderson School's fundraising and academic priorities for the coming year, and the Parker Center's suggestions about the programs it believes would be most appropriate for its support. The Dean of the Anderson School and the Parker Center will then agree on the programs to be supported, and programs that may be offered by the Parker Center.

IV. JOINT OPERATION OF EDUCATIONAL PROGRAMS

A. The Anderson School and the Parker Center shall work cooperatively to coordinate the operation of all programs supported or operated by the Parker Center. The Anderson School shall assist the Parker Center in implementing and presenting its programs by providing:

1. Information technology assistance in establishing, maintaining and updating the website for the Parker Center; and
2. Staff assistance in supporting the Executive Director of the Parker Center in implementing and promoting, internally and externally, and conducting the Parker Center programs.

B. The Anderson School faculty is responsible for developing the degrees and programs offered by the Anderson School, including those supported by the Parker Center, and for determining curricula and instruction for the degrees and programs. All credit-bearing degrees, programs, and curricula are subject to the UNM and State curricular approval process.

C. All instructional, research, or public service programs and activities sponsored by the Parker Center must be developed in consultation with, and with the written approval of, the Anderson School Dean.

D. UNM faculty may be compensated by the University for operational and programmatic services performed for the Parker Center, only if such compensation is provided to the Anderson School by the Parker Center and mutually approved, in advance, by the Anderson School and the Parker Center. University faculty, who are teachers of record in any educational program supported by the Parker Center, are to receive payment for such services consistent with applicable UNM policies, including policies on faculty extra compensation for work done for the University.

E. University staff that performs duties related to the Parker Center shall be compensated with Parker Center funds for those services through extra compensation or overtime pay in accordance with applicable UNM policies.

V. REPORTS AND ACCOUNTING

A. If the Parker Center's gross annual income exceeds Two Hundred Fifty Thousand Dollars (\$250,000), it shall provide the Dean of the Anderson School, UNM President, and UNM Foundation President with the report of an annual audit performed by an independent certified public accounting firm, in accordance with generally accepted governmental auditing standards. The auditor shall furnish a copy of the annual audit report to the University. Each annual audit report, exclusive of any lists of donors or donations, shall be a public record, and the Parker Center agrees to make associated working papers available to the University for review upon its written reasonable request for a period of three years after the date of the audit report.

B. If the Parker Center's gross annual income is Two Hundred Fifty Thousand Dollars (\$250,000) or less, it shall file an annual statement with the Dean of the Anderson School, UNM President, and UNM Foundation President in the form of a balance sheet showing the assets of the Parker Center, its liabilities, its income, classified by general source, and its expenditures.

C. The Parker Center agrees to apprise the Dean of the Anderson School of its fundraising and educational activities in writing at least quarterly.

D. The Parker Center agrees to provide any information regarding financial operations of the Parker Center to the University's internal audit staff upon formal request to the Parker Center's Board of Directors.

VI. COMPLIANCE WITH STATE LAW

Any funds or property transferred by the Parker Center to the University for the benefit of the Anderson School shall be considered subject to all New Mexico laws and regulations governing the disbursement and administration of public funds and public property, except to the extent of any specific conditions of the transfer that are acceptable to the University and that do not require actions that do not comply with the law.

VII. OPERATIONAL CONSIDERATIONS

A. The University has reviewed the Articles of Incorporation filed with the State on October 13, 2005 and the Bylaws of Parker Center for Family Business and found them acceptable. Any modification of the terms of the Parker Center's Articles of Incorporation or Bylaws will be submitted to the Board of Regents of the University for review and acceptance.

B. The Parties agree that the Parker Center is, and shall act as an independent, separate legal capacity and not as an agent of the University and shall have no authority to bind the university; and, that the University is, and shall act as an independent, separate legal capacity and not as an agent of the Parker Center and shall have no authority to bind the Parker Center. The Parker Center agrees to comply with all applicable University policies including those applicable to University-affiliated organizations, fundraising, gifts, and quasi-endowments.

C. No amendment to this Agreement shall be valid unless made in writing and signed by both Parties. No oral understandings or agreements not incorporated herein, and no alterations

or variations of the terms hereof, unless made in writing between both Parties, shall be binding on either of the Parties.

D. All official notices required under this Agreement shall be given as follows:

If to UNM: University Counsel
MSC05 3440
208 Scholes Hall
1 University of New Mexico
Albuquerque, NM 87131

If to Parker Center: James M. Parker, Chair
Parker Center for Family Business
P.O. Box 2168
Albuquerque, NM 87103

E. In the event of any dispute between the Parties, they shall meet and confer in an attempt to resolve the issue.

VIII. TERMINATION

This Agreement may be terminated by either Party effective upon written notice to the other Party at least sixty (60) days in advance thereof. If this Agreement is terminated for any reason, or the Parker Center is dissolved or liquidated, all of the Parker Center's assets, property, resources, and funds shall be distributed and paid over to the University of New Mexico for the benefit of the Anderson School of Management or a similar successor.

IX. APPROVAL BY BOARD OF REGENTS AND PARKER CENTER BOARD

A. This Agreement is subject to approval by the Board of Regents pursuant to Regents' Policy Manual §7.17 and to the approval of the Parker Center Board of Directors.

B. In accord with the signatures affixed below, the Parties confirm that the persons signing below have binding authority to represent their interests and that they have obtained all necessary approvals for the execution of this Agreement.

IN WITNESS WHEREOF, the Parties have executed this Agreement intending to be bound as of the date first written above.

(Signatures on following Page)

THE PARKER CENTER FOR FAMILY BUSINESS

By: _____
James M. Parker, Chair

Date: _____

_____ This Agreement was approved at a regularly called meeting of the Board of Directors on the
_____ of _____, 2015.

Attest: _____
Secretary

THE REGENTS OF THE UNIVERSITY OF NEW MEXICO

By: _____

Title: _____

Date: _____

_____ This Agreement was approved at a regularly called meeting of the Board of Regents on the
_____ of _____, 2015.

Attest: _____
Secretary

Memo

To: David W. Harris, UNM Executive Vice President for Administration, COO and CFO, and Lobo Development Corporation President

From: Thomas M. Neale, UNM Director of Real Estate and Lobo Development Corporation
Director of Financial Transactions

Date: August 5, 2015

Re: Proposed Wendy's Ground Lease at UNM South Campus

I am requesting approval by the Board of Regents of a long-term ground lease for development of a Wendy's fast food restaurant on a 1.19-acre site at UNM South Campus. The site is located on the north side of Gibson Boulevard, between Interstate 25 and Alumni Road, immediately west of the City of Albuquerque Fire Station No. 5. The proposed Tenant is JAAB Restaurant Holdings, LLC, a New Mexico limited liability company. JAAB has the Wendy's franchise rights for New Mexico and currently operates approximately 40 stores in the state and in El Paso, Texas. This project is being facilitated by Lobo Development Corporation, on behalf of the Regents of the University of New Mexico.

The terms of the proposed ground lease are summarized as follows:

Landlord:	Lobo Development Corporation, a New Mexico non-profit corporation formed under the University Research Park and Economic Development Act
Tenant:	JAAB Restaurant Holdings, LLC, a New Mexico limited liability company
Property:	Tract 1 of UNM Gibson Commercial District, containing 1.1891 acres
Lease Term:	Fifteen (15) years
Renewal Options:	Four (4) options to renew for a period of five (5) years each
Rent:	\$90,000 per year with 10% increases every five (5) years

- Inspection Period:** Ninety (90) days after Lease execution to determine feasibility of Tenant's planned development.
- Permitting Period:** Tenant shall have ninety (90) days immediately after expiration of the Inspection Period to obtain governmental approvals and permits.
- Rent Commencement:** Tenant shall begin paying rent the earlier of 180 days after the expiration of the Permitting Period or the date Tenant opens for business at the Property.
- Exclusive Right:** No portion of the Project shall be leased, sold, used, or occupied by a business whose primary purpose is selling hamburgers. The Project area includes only Tracts 1, 3, 4, and 5 of the UNM Gibson Commercial District.
- Development:** Tenant shall be responsible for the development of the site and building improvements. The building will contain approximately 3,700 square feet. The development plan will require Landlord's approval.
- Landlord Contribution:** Landlord shall reimburse Tenant for site preparation, development, and utility extension expenses actually incurred by Tenant, up to a maximum of \$75,000.
- Net Lease:** The parties intend for this Lease to be a "net lease" with absolutely all costs and expenses related to the Property paid by Tenant.



UNM GIBSON COMMERCIAL DISTRICT
TRACT 1 - 1.9 Ac



UNM GIBSON COMMERCIAL DISTRICT
TRACT 1 - 1.19 Ac

Update from the Provost
will be presented at the meeting



Board of Regents of the University of New Mexico Security Managerial Group Resolution

Pursuant to requirements of the National Industrial Security Program (NISP), the Board of Regents adopts the following resolution:

1. Those persons occupying the following positions at the University of New Mexico (UNM) shall be known as the Managerial Group for safeguarding classified information. They shall implement the Provisions of the National Industrial Security Program Operating Manual (NISPOM).

President
Chancellor for Health Sciences
Associate Vice President for Research Administration
Facility Security Officer
2. The members of the Managerial Group have been processed, or will be processed for a personnel clearance (PCL) for access to classified information, to the level of the Facility Clearance (FCL) granted to this Institution, as provided in the NISPOM (DoD 5220.22.M.) Individuals will be denied access to classified information until such time that their clearances are granted.
3. The Managerial Group hereby delegates all of the Board's duties and responsibilities pertaining to the protection of classified information under classified contracts awarded to the University of New Mexico, including the Health Sciences Center and Branch Campus locations. In addition, the Managerial Group shall have the authority and responsibility of for the negotiation, execution, and administration of the contracts, consistent with UNM policy, state, and federal law.
4. The following named members of the Board of Regents shall not require, shall not have, and will be effectively excluded from access to all classified information disclosed to the University of New Mexico. The duties and responsibilities of the Board of Regents as a policy-making body do not require access to classified contracts awarded to the University of New Mexico, and therefore need not be processed for a personnel clearance (PCL).

Regent Ryan Berryman (Student)
Regent Robert M. Doughty
Regent Jack L. Fortner
Regent Lieutenant General Bradley C. Hosmer
Regent James H. Koch
Regent Marron Lee
Regent Suzanne Quillen

Adopted by the Board of Regents of the University of New Mexico at its regular meeting held August 14, 2015. This resolution supersedes all previous Security Managerial Group Resolutions. A copy of this resolution will be furnished to the Defense Security Service.

President of the Board

Secretary Treasurer of the Board

Faculty Work Life Survey

Virginia Scharff
Associate Provost for Faculty Development
Presentation to ASAR
August 4, 2015

Purpose of Survey

- See how faculty manage work life balance
- Identify challenges and barriers to faculty success
- Identify means to improve faculty experience

Respondents

- 319 faculty respond, 34.6% of all full time, main campus faculty.
- 167 men (31.6% of total); 145 women (36.9% of total).
- Uneven rates of response across ethnicity (ranging from 41.3% of all white faculty to only 10.5% of African American faculty).

NOTE: This survey helps us understand commonalities among faculty, and gender differences, more than race/ethnic differences in faculty experience.

Scope of Topics (69 questions total)

- Hiring
- Tenure and Promotion
- Professional Activities
- Leadership
- Workplace Interactions
- UNM Support Programs and Resources
- Balancing Personal and Professional Life
- Diversity at UNM

Highlights: Tenure and Promotion

- Most respondents (76%) say they understand expectations for tenure and promotion either “extremely well” or “somewhat.”
- Rising proportion of faculty rely on and desire formal mentoring.
- Annual reviews critical, but not universal.
- Written comments suggest considerable variation and confusion about standards, process.

RECOMMENDATION:

- Regular and rigorous annual reviews, clear and fair tenure and promotion standards, combined with mentoring, foster faculty success.
- T&P standards and mentoring guidelines are online at <http://ofas.unm.edu>.
- Need to update, communicate and deepen mentoring practices.

Highlights: Professional Activities

- Majority of faculty spend more time on teaching, administration and service than they would like, less time on research than they prefer (true nationally; see <https://www.insidehighered.com/news/2014/04/09/research-shows-professors-work-long-hours-and-spend-much-day-meetings>)
- Barriers to research include time constraints, lack of internal funding (64% cite), lack of travel funding (90% cite).
- 64% of faculty feel they do “a great deal of work not formally recognized by their department.” Such work includes service, community engaged scholarship, public outreach activities.

RECOMMENDATION:

- We should keep working to help faculty as they struggle to balance research, teaching, service, outreach activities.
- We need to assess and reward community engaged scholarship, public outreach.

Highlights: Networks and Hierarchies

- 35% of faculty respondents, including 42% of women and 31% of men, feel excluded from informal hierarchies in their departments.
- 40% of respondents, including 50% of women, report experiencing “unwritten rules” of interaction.
- 37% of women report experiencing gender hierarchies.
- 45% of nonwhite and Hispanic faculty report experiencing racial/ethnic hierarchy.

RECOMMENDATION:

UNM must focus on fostering a culture of equity and inclusion.

Highlights: Programs and Resources

- Parental Leave: nearly 85% of respondents rate as valuable.
- Spousal Accommodation (Hiring Opportunities): 75% rate as valuable.
- Campus childcare: nearly 71% rate as valuable.
- Suspension of tenure clock during leaves: 70% rate as valuable.
- Responses to all these questions vary by gender and seniority.

Programs: A Closer Look

- Extension of Tenure Clock: 23% of female respondents have extended their tenure clock; 4% of male respondents. Mainly parental leave.
- Childcare: 28% of faculty with dependent children use day care or other programs, including 39% of female faculty.
- 57% of respondents said their chair was aware of parental leave policy; 38% did not know whether chair was aware.

RECOMMENDATION:

Family friendly policies make a huge and increasingly positive difference for UNM faculty. Chairs must know them, and use them to support faculty. Academic Leadership Workshops are a great tool.

Highlights: Retention

- 18% of respondents said they had received a “formal or informal outside job offer that they took to their chair or dean.”
- Males, tenured professors, and scientists were more likely than females, untenured professors, and nonscientists to have received such offers. May reflect gender differences in desire/willingness to seek outside offer.
- By a large margin, respondents cited SALARY as the most important reason to look elsewhere.

RECOMMENDATION:

To retain our most competitive faculty members, we must address compensation.

Variation across Colleges

- In nearly all regards, faculty in the School of Engineering were most satisfied with UNM policies, climate, resources and programs.
- Likewise, least satisfied faculty were in College of Education and A&S Humanities.

CONCLUSION:

Compensation, working conditions (time and support for research, reasonable teaching load) and prestige lead to satisfaction.

Next Steps

- Faculty Compensation and Equity Study (Carol Parker).
- Combine results of Work Life Survey and DEI Success and Mentoring Survey to develop mentoring system throughout UNM.
- Focus on expanding and refining tenure and promotion standards and processes.
- Enhancement of family friendly policies, programs and resources.

For (much) more information, see:

<http://provost.unm.edu/blog/2015/03/faculty-worklife-survey.html>

Tabs 17 & 18
(no items)

Tab 19

Approval of Naming of Teleconferencing Center

will be presented at the meeting



THE UNIVERSITY OF NEW MEXICO • HEALTH SCIENCES CENTER

UNM HOSPITALS

MEMORANDUM

July 9, 2015

TO: HSC Board of Directors

FROM: Stephen McKernan
CEO, UNM Hospital

SUBJECT: Board Appointment, UNM Hospital Board of Trustees

The UNM Hospital Board of Trustees requests the approval of the nomination of Deborah Johnson, by the HSC Board of Directors, with final approval and appointment by the Board of Regents. Deborah Johnson's resume is attached for review.

Deborah (Debbie) Johnson

Debbie Johnson runs the OEEE - Office of Education, Entrepreneurship and Economic Development at Central NM Community College. CNM is the state's largest educational institution, serving over 40,000 students each year from five campuses and two sites. CNM has been a major player in the New Mexico's workforce development for over 50 years, and has an economic impact of over \$549 million. Last year the American Association of Community Colleges awarded CNM its most prestigious honor: The National Student Success Award, for its innovative student-support model, CNM Connect. In 2014 she helped start the school's newest enterprise, The STEMULUS Center to meet current workforce training needs.

Johnson also co-chairs the EDACT - Economic Development Action Council for the Mayor's office in Albuquerque; and serves on the boards of: AED - Albuquerque Economic Development; GACC - Greater Albuquerque Chamber of Commerce; UNMH - University of New Mexico Hospital; Los Alamos National Bank; Alvarado Realty; the Appleseed Foundation and others. She is a former member of the Federal Reserve Board Kansas City EAC - Economic Advisory Council; and was chairman of the Malcolm Baldrige National Quality Educational Conference several years ago. She has been a gubernatorial appointee for numerous and hoc efforts, including Governor's Workforce Oversight and Business Advisory Committees. She hosts a weekend radio show on the state's largest talk station, 770 KKOZ AM.

Johnson was the first woman to chair Affiliated Advertising Agencies International when she was CEO of Rick Johnson & Company, Inc., which grew to be one of the largest in the SW. She was also the first female chair of the UNM Anderson School of Management Foundation Board; as well as AED.

Johnson is former Chairman & CEO of Rick Johnson & Company, Inc. in Albuquerque. RJC was one of the southwest region's largest ad agencies for over 33 years, and won over 1500 advertising excellence and business management awards worldwide. The Anderson Schools of Management at UNM recently named the Rick & Debbie Johnson Marketing Center in their honor.

While at RJC, Johnson co-managed up to \$25 million gross capitalized billings and over 65 employees.

She has led regional accounts in every major industry from healthcare to hospitality; for retail, institutional, private and government agencies, higher education and social services.

She has worked on national brands such as McDonald's, and regional corporations Furr's Supermarkets, Creamland Dairies and GIANT Convenience Stores. She has managed campaigns and communications for state departments of tourism and transportation, gas and electric utilities, banks, hospitals and universities.

She was most recently an Executive Director at RIESTER, a large regional communications firm with offices in Phoenix, LA, Salt Lake City and ABQ.

Leadership Positions:

- Federal Reserve Board of Kansas City, New Mexico Member, Economic Advisory Council, 2004 - 2007; 2008 - 2011
- Chairman of the Board, Albuquerque Economic Development, 2002 - 2004 (first woman)
- Chairman of the Board, Central NM Susan G. Komen Foundation, 2001 (first woman)
- Chairman of the Board, UNM Anderson Schools of Management, 1999 (first woman)
- Chairman of the Board, Better Business Bureau, 1999 (first corporate woman)

Deborah (Debbie) Johnson Bio - Continued

Leadership Positions (Continued):

- Chairman National Education Conference, 1995
- Chairman of the Board, Affiliated Advertising Agencies International (World's Largest Professional Agency Organization - First Woman in 55 Year history), 1993

Corporate Boards:

- Director, Alvarado Realty & Management Company, New Mexico & Arizona, since 2012
- Director, Los Alamos National Bank (only bank in America to have received the National Malcolm Baldrige Quality Award), since 2001

Advisory Boards:

- Mayoral Appointee - EDAC (Economic Development Action Council), 2013
- UNM Hospitals Board of Trustees, since 2012
- Director, Albuquerque Economic Development, Executive Committee since 1994
- Director, NM Appleseed - Since 2011
- Director, Greater Albuquerque Chamber of Commerce, since 2010
- Director, NM Golden Apple Foundation Board, 2005 - 2008
- Gubernatorial Appointee, Governor's Workforce Oversight Committee, 2006 - 2007
- Charter Member, United Way Women's Leadership Council, 2003 - 2005
- Director, St. Joseph Hospital Foundation, 1999 - 2003
- Director, Association of Commerce & Industry, 1999 - 2002
- Director, Quality New Mexico, 1996 - 1999 (Charter Board, Senate Appointee)
- Charter Member, Albuquerque Arts Alive, 1998
- Director, Albuquerque Sports Council, 1996 - 1998
- Gubernatorial Appointee, Governor's Business Advisory Council, 1999
- Gubernatorial Appointee, Governor's Business Executives for Education, 1995 - 1999
- Director, Magnifico Arts Festival, 1989 - 1993 (Charter Board)
- Director, New Mexico Press Women, 1984 - 1986

Awards/Honors:

- Association of Fundraising Professionals, Outstanding Leader in Philanthropy, 2010
- Tourism Association of NM, Hall of Fame Inductee, 2010
- NM Business Weekly, "Woman of Influence", winner 2006; judge 2008
- New Mexico Business Weekly, "Top 100 Power Brokers", 1996 - 2006
- Advertising Age Magazine, "Top U.S. Agencies", 1988 - 2005
- YWCA, "New Mexican of Vision", 2004
- National Jewish Hospital, "Spirit of Achievement" honoree, 2002
- Working Woman Magazine, "Top 500 Woman Owned U.S. Businesses" June, 2001
- NM Women's Magazine, "Top Ten Smart, Savvy, Successful", 1998; "Top 25 Women in Business", 2000 & 2001
- TANM, "Tourism Professional of the Year", 2000
- Chamber of Commerce, Maxie Anderson Small Business Award, 1999
- University of New Mexico ZIA Award, 1999
- Chamber of Commerce, Maxie Anderson Small Business Award, 1999
- New Mexico Business Journal, Private 100, "Most Admired" Company, Finalist, 1996 - 1999
- New Mexico Business Journal, "State's Most Influential List", 1998
- YWCA, "Woman On The Move", 1996
- NM Commission on the Status of Women, "Visions" Award, 1995
- University of New Mexico, Distinguished Journalism Graduate, 1995
- YWCA, Vanguard Award, 1995
- National Association of Female Executives, Female Executive of the Year, 1994
- New Mexico Advertising Federation, Silver Medalist, 1994
- Worldwide Partners, Emory Business Management Award, 1990
- National Association of Women Business Owners, Leadership Charter Member, 1989
- Executive Women International, Local Chapter Charter Member, 1985

Education, Other Experience:

- Talk Show Host & Creator, KBTK-AM Radio Women's Forum, 2001 - 2003; KKOB-AM Radio "Women's Room", 2005 - Present
- Editor, Women In Business Column, Albuquerque Journal Business Outlook, 1990
- Albuquerque Public Schools, High School Teacher, 1974 - 1979
- Master's Studies, University of New Mexico, Journalism, 1976
- Associated Press, Writer, 1975
- BA, University of New Mexico, Speech and English Education, 1973

Strengths/Expertise:

- Marketing and Advertising
- Public Relations and Issues Management
- Corporate Communications
- Community Relations and Connections
- Leadership & Workforce Training
- Strategic Planning
- Entrepreneurial Incentivizing
- Research Analysis & Application
- Event Emceeing
- Presentations and Speeches

Recent Speeches:

- Albuquerque, NM - 2013 Chamber of Commerce Leadership Conference - "Managing through Adversity - Turning Challenges into Opportunity"
- Santa Barbara, CA - 2012 Central Coast McDonald's Owner/Operator Meeting - "Community Partnerships & PR"
- Kansas City, MO - 2012 Federal Reserve District Ten Public Affairs Conference - "Coping with Societal Changes and Public Opinion Shifts"



THE UNIVERSITY OF NEW MEXICO ♦ HEALTH SCIENCES CENTER

UNM HOSPITALS

MEMORANDUM

July 1, 2015

TO: HSC Board of Directors

FROM: Stephen McKernan *sm*
CEO, UNM Hospital

SUBJECT: Board Appointment, UNM Hospital Board of Trustees

The UNM Hospital Board of Trustees requests the approval of the nomination of retired Judge Joseph Alarid, by the HSC Board of Directors, with final approval and appointment by the Board of Regents. Judge Alarid's resume is attached for review.

A. JOSEPH ALARID

ADDRESS:

[REDACTED]
Albuquerque, New Mexico 87107

Tel. [REDACTED]

BAR MEMBERSHIP:

Admitted in New Mexico, September 1973
United States Supreme Court
U.S. Tax Court
U.S. Court of Military Appeals

EMPLOYMENT EXPERIENCE:

January 1, 1984
To December 31, 2008

Judge, NM Court of Appeals
Santa Fe, NM

November 14, 1990-
January 21, 1993

Chief Judge, NM Court of Appeals
Santa Fe, NM

December 1, 1981 -
December 31, 1983

Judge, District Court, Second Judicial District
Bernalillo County, Albuquerque, NM

September 1980 -
November 1981

Judge, Metropolitan Court
Bernalillo County, Albuquerque, NM

June 1996-
August 2006

**Adjunct Professor, Summer Preparatory
Institute**
University of NM, School of Law
Albuquerque, NM

Spring Semester-2006 **Adjunct Professor, Seminar, Sexuality & the Law**
University of NM, School of Law
Albuquerque, NM

January 2004-
September 2007

**Moot Court Team Coach- Hispanic
National Bar Assoc.**
University of NM, School of Law
Albuquerque, NM

August 1980 -
May 1981

**Adjunct Instructor, Public
Administration Dept.**

University of NM, School of Law
Albuquerque, NM

June 1979 -
August 1980

**General Counsel, New Mexico Energy
and Minerals Dept.**
Santa Fe, NM

October 1977 -
June 1979

**Assistant Attorney General, State
of NM**
Santa Fe, New Mexico

February 1977 -
September 1977

**Trial Attorney, United States
Department of Justice**
Civil Rights Division, Federal Programs Section
Washington, D.C.

September 1974 -
January 1977

**Legislative Counsel to Honorable
Joseph M. Montoya, United States Senator, Washington,
D.C.**
(January 1976 - November 1976, Acting
Administrative Assistant)

September 1973 -
August 1974

**Trial Attorney, United States
Department of Justice**
Civil Rights Division, Federal Programs Section
Washington, D.C.

August 1971 -
June 1973

**Staff Assistant to the Honorable
Joseph M. Montoya, United States Senator,
Washington, D.C.**

COMMUNITY ORGANIZATIONS:

Youth Leader-Journey To Adulthood (J2A)
St. Michael & All Angels Episcopal Church (2006-
2008)

Board of Directors, New Mexico Conference of
Churches (2002-2008)

Albuquerque Civic Light Opera Association
Board of Directors (1990-1993)

New Mexico Hispanic Bar Association
(1988-present)

Georgetown Alumni Admissions Program
Interviewer
(1985-present)

NM Council on Crime and Delinquency
Board of Directors (1982-1984)

University of New Mexico Alumni Association
Board of Directors (1981-1984)

JUDICIAL & PROFESSIONAL ORGANIZATIONS:

Chair, Code of Judicial Conduct Committee (2006-
2008)

Member, AEI-Brookings Judicial Advisory Board

Member, New Mexico Appellate and District Court

Judicial Nominating Commissions (1988-present)

Judicial Planning Committee (1988-1993)

Honorary Co-Chairperson, 13th Annual Hispanic
National Bar Association Convention (1988)

New Mexico Board of Bar Examiners (1982-1986)

Chairman, New Mexico Judicial Conference
(1983-1984, 1984-1985)

Chairman, Supreme Court Committee on Judicial
Performance Evaluation

EDUCATION:

Professional:

Appellate Judges Seminar, Institute of Judicial
Administration, Summer 1989

Faculty Advisor, National Judicial College General
Jurisdiction Course, Fall of 1985
Appellate Writing Seminar, American Academy of
Judicial Education, July 1984

Civil Litigation and Criminal Evidence Graduate
Sessions, National Judicial College, October 1982

Graduate, National Judicial College
General Jurisdiction Course, October 1980

Legal:

J.D., 1973 - Georgetown University Law Center
Washington, D.C.
Honors: Delta Theta Phi Scholarship Key

Undergraduate:

B.A., 1970 - University of NM
Albuquerque, NM
Major: Political Science
Minor: History
Honors: Blue Key Honor
Fraternity
"Who's Who in American Colleges and
Universities" (1969-70)
Activities: Student Body Vice-President (1969-70)
Student Senator (1968-69)
Kappa Alpha Order, Secretary-Treasurer
(1966-70)




THE UNIVERSITY OF NEW MEXICO • HEALTH SCIENCES CENTER

UNM HOSPITALS

MEMORANDUM

July 27, 2015

TO: HSC Board of Directors

FROM: Stephen McKernan 
CEO, UNM Hospital

SUBJECT: Board Appointment, UNM Hospital Board of Trustees

The UNM Hospital Board of Trustees requests the approval of the nomination for reappointment of Michelle Coons, by the HSC Board of Directors, with final approval and appointment by the Board of Regents. Ms. Coons's bio is attached for review.



Michelle A. Coons

Personal Biography

Education

- *University of New Mexico*
Robert O. Anderson Schools of Management
BBA, Finance/General Management, 1983
- *The National Commercial Lending Graduate School*
University of Oklahoma, 1990
- *New Mexico School of Banking*
University of New Mexico
Robert O. Anderson Schools of Management
1986

Employment

Bank of the West

August 2006 – Present

Regional Commercial Banking Manager – South West Division

Responsible for Commercial Banking. Territory includes NM, AZ and OK. Currently four commercial banking offices reside in my territory, with approximately \$550MM in commercial loans. I manage four direct reports and am responsible for the growth, profitability and credit quality of the Southwest division.

Wells Fargo

June 1983 – July 2006

Private Client Advisor – Albuquerque - 1/06 – 7/06

New position was at my request to address my family's need to return to Albuquerque. I was the first to fill this position in the state of New Mexico for Wells Fargo. I selected, built and coordinated wealth management teams around Private Client Services' most affluent clients.

President - Santa Fe – 1/99 – 12/31/2005

Responsible for managing the growth of a \$40 million bank (in assets) to a \$400 million bank in Santa Fe, New Mexico over a seven year period. The significant growth was primarily the result of the merger of Wells Fargo Bank, Norwest Bank, First Security and The Bank of Santa Fe during this time period. Responsible for the consolidation of branches, employees (107) and cultures of 10 combined locations. Despite the significant growth turmoil, met and exceeded budgeted earnings five of the seven years. Territory included Santa Fe, Pojoaque, Espanola, Los Alamos and Taos. Business Banking, Retail and Private Banking all reported directly to me. Responsibilities also included business development, community development, public relations, overall management and the profitability of the markets.

Senior Vice President and Business Banking Manager III - Albuquerque - 1/93 – 12/98, Vice President, Commercial Lending - 10/88 - 1/93, Assistant Vice President, Commercial Lending - 6/87 - 10/88, Commercial Loan Officer - 8/86 - 6/87, Loan Review Specialist - 6/85 - 8/86, Management Trainee - 6/83 - 6/85

Michelle A. Coons

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Community Involvement

Present:

UNM Foundation - Board Member and Investment Committee – 2007 – Present;
Investment Committee Chair – 2010 - Present

United Way of Central New Mexico - Alexis de Tocqueville New Member Chair – 2010/2011 Campaign
Community Services Group Chair and Executive Committee – 2009 – Present; Board Member – 2008 – Present

Accion - Board Member – 2010 – Present; Donation Committee Chair – 2011

Lavender In the Village Festival – Board member – 2007 – Present; Treasurer – 2008, 2010 - Present

New Mexico Heart Gallery – Board Member and Treasurer – 2008 - Present

New Mexico Women's Forum – 2000 – Present; Treasurer – 2007 - 2008
VP and Executive Committee – 2009; International Women's Forum – 2000 - Present

Private Equity Investment Advisory Committee – 2010 - Present
(Sub-committee of State Investment Council)

New Mexico Early Childhood Development Partnership – Board Member

Past Service:

University of New Mexico Alumni Association -National Alumni President - 6/95 - 6/96, Board Member -
6/91 - 6/97

The Robert O. Anderson Schools of Management National Advisory Board
Board Chair – 3/08 – Present, Chair Elect – 2007, Board Member – 6/01 - Present

The Robert O. Anderson Schools of Management Foundation Board -Board Chair, 7/01 – 6/02, Board
Member 11/96 – 6/03, Chair, Robert O. Anderson Hall of Fame Committee – '99 & '00

Association of Commerce and Industry - Board Member, 6/99 – Present, Past Chair – 7/05 – 6/06, Board
Chair, - 7/04 – 7/05

Museum of New Mexico Foundation -Board Member, 8/99 – 8/05

St. Vincent's Hospital Foundation - Board Member – 10/03 – 10/06

United Way – Santa Fe County - 2000 *United Way Campaign Chair*

Explora Science Center & Children's Museum of Albuquerque.
(Merged Board of Albuquerque Children's Museum and Explora Science Center)
President - 1/1/97 – 1/1/99, Board Member -

Honors and Recognition's

Women of Influence – New Mexico Business Weekly – 2011

The UNM Zia Award – October 2005

The Robert O. Anderson Schools of Management Hall of Fame Inductee – 2003
– The University of New Mexico

New Mexico Business Weekly – 2002, 2003, 2004, 2005, 2006 *Power Brokers*

New Mexico Woman, Cover, March 2002

Leadership New Mexico – 1999/2000 *Class*

YWCA Woman On the Move – 1996

Anderson Today "Alumni On the Move," Summer 1993

Leadership Albuquerque - 1992



THE UNIVERSITY OF NEW MEXICO • HEALTH SCIENCES CENTER

UNM HOSPITALS

MEMORANDUM

June 22, 2015

TO: HSC Board of Directors

FROM: Michael Olguin *MO*
UNM Hospitals, Board of Trustees, Chair

SUBJECT: Board Appointment, Carrie Tingley Hospital Advisory Board

At the May 29, 2015, meeting of the UNMH Board of Trustees, the trustees approved the nomination of Sandra Whisler, MD, to the Carrie Tingley Hospital Advisory Board. The UNM Hospital Board of Trustees requests the approval of the HSC Board of Directors, with final approval by the Board of Regents. Dr. Whisler's CV is attached for review.

CURRICULUM VITAE

Date: December 31, 2014

Name: Sandra L. Whisler, MD, MS

Professional Address: Department of Pediatrics
Westside UNM Family Health
4808 McMahon NW
Albuquerque, NM 87114
swhisler@salud.unm.edu

Home Address: [REDACTED]
[REDACTED]

Licensure: NM 92-157 (renewed 2011)

Certifications: Fellow of the American Board of Pediatrics 1993-present
Board Certification Renewal 2000-2007
Board Certification Renewal 2008-2014

Educational History:

Residency: Pediatrics
Department of Pediatrics
The University of New Mexico School of Medicine
Albuquerque, NM
June, 1989-June, 1992

Medical Education: M.D., University of New Mexico School of Medicine
Albuquerque, NM
August, 1985-May, 1989

Graduate Education: M.S., Biology, University of New Mexico
Albuquerque, NM
August, 1980-December, 1984

Undergraduate Education: B.S., Biology, Baylor University
Waco, TX
August, 1975-May, 1979

Employment History:

Medical Director of Transition Consultative Clinic
University of NM Westside Clinic
4808 McMahon NW
Albuquerque, NM 87114
5/10-present

Professor of Pediatrics
University of NM Westside Clinic
4808 McMahon NW
Albuquerque, NM 87114
9/97-present

Sabbatical and Leave From Assigned Duties
Observer with Norman Otsuka, MD
NYU Langone Hospital for Joint Diseases
New York City, NY 10019
6/12-6/13

Director of Pediatrics
University of NM Westside Clinic
4808 McMahon NW
Albuquerque, NM 87114
8/1997-10/10

Medical Director of the UNM Westside Clinic
University of NM Westside Clinic
4808 McMahon NW
Albuquerque, NM 87114
7/2006-9/1/09

Attending Pediatrician:
Associate Professor of Pediatrics
Director of Pediatrics
University of NM Westside Clinic
4808 McMahon NW
Albuquerque, NM 87114
2000-2007

Attending Pediatrician:
Assistant Professor of Pediatrics
Director of Pediatrics
University of NM Westside Clinic
3751 Highway 528
Albuquerque, NM 87114
1997-1999

Assistant Professor of Pediatrics
Pediatric Pulmonary Clinic
UNM HSC
2211 Lomas N.E.
Albuquerque, NM 87131
8/93-10/01

Assistant Professor of Pediatrics
Department of Pediatrics
Ambulatory Pediatric Clinic
UNM HSC
2211 Lomas N.E.
Albuquerque, NM 87131
8/93-8/97

Pediatrician
Northside Pediatrics
3901 Georgia N.E. Suite D-1
Albuquerque, NM 87110
1992-93

Professional Recognition/Honors/etc.:

1. Named one of America's Top Pediatricians 2014
2. Nominated for one of the Top Doctors in Albuquerque by my peers-Albuquerque Magazine 3/06, 3/07, 3/08, 3/09, 3/10, 3/12, 3/13, 3/14
3. Guide to America's Top Physicians: 2013
4. Covington VIP Who's Who 2012
5. Selected as one of America's Top Pediatricians 2011
6. Selected for Biltmore's 2010 Edition of Who's Who Among Executives and Professionals
7. My Boston Terrier, Belle Star CDX, was certified for Pet Therapy by the Southwest Canine Corps of Volunteers (SCCV) 8/15/10
6. "I Care" Awards: 6/25/10, 8/16/10, 8/1/10
7. Selected for Cambridge Who's Who Registry among Executives and Professional in the field Research, Medicine, and Healthcare 1/14/10
8. Westside was one of the practice sites that achieved NCQA recognition status for Physician Practice Connections-Patient Centered Medical Home (PPC-PCMH)
9. Selected for Biltmore's 2009 Edition of Who's Who Among Executives and Professional Women
10. Promoted to Professor of Pediatrics, UNM SOM 7/2008
11. America's Registry of Outstanding Professionals 12/16/08
12. America's Top Pediatricians 2008, 2011
13. Cambridge Who's Who 1/08
14. Sanofi Pasteur Award to UNM Westside Clinic: for quality of immunization practices (1 of five practices given award out of 80 practices) 10/07
15. Listed in America's Registry of Outstanding Professionals in the 2nd Quarter Edition 2007
16. UNM Hospitals "I Care" Recognition Award 11/06
17. Biltmore Who's Who among Executives and Professional Women 2006
18. NM Immunization Coalition Provider Award for immunization rate greater than 90% at the Westside Clinic 4/29/05, 2006
19. Nominated for the Department of Pediatrics Best Teaching Award 2004, 2005, 2006, 2007, 2008, 2009
20. Strathmore's Who's Who 2005-2006
21. America's Registry of Outstanding Professionals 2005
22. America's Top Pediatricians 2002-2003
23. Kingston's National Registry of Who's Who 2002
24. Nominee for the New Mexico Board of Medical Examiners 1/02
25. The National Registry of Who's Who 2001
26. Strathmore's Who's Who 2000-2001
27. Physician Recognition Award 1999-2001
28. Physician Recognition Award 12/96-12/98
29. Physician Recognition Award 8/93-8/95
30. Certificate of Recognition for the Placement Review Teams of Bernalillo County Protective Services Division 1997
31. Certificate of Appreciation by Hospital Home Health Care 3/30/94

Membership Professional Organizations:

1. American Academy of Cerebral Palsy and Developmental Medicine 9/2004-present
2. Fellow, American Academy of Pediatrics 1993-present
3. American Medical Association 1985-2009
4. American Academy Pediatrics 1989-present
-Section Member on Children with Disabilities 1997-present
5. Greater Albuquerque Medical Society 1992-present
6. New Mexico Pediatric Society 1992-present
7. New Mexico Medical Society 1992-present
8. American College of Physician Executives 1999-2003
9. New Mexico Allergy Society 1997-2000

Invited Lectures/Presentations:

1. 10/9/13: "Telehealth and the Transition Consultative Clinic". 2013 Southwest Conference on Disability
2. 9/13/13: "Remote Transition Consultative Clinic (TCC)" Noon conference at Rehoboth McKinley Christian Health Care Services for their Pediatricians.
3. 5/5/13: "The Dislocated Hip Sign: a Revisited Method to Assess Hip dislocations in Pediatric Patients", The 2013 Scientific Program and Sir Robert Jones Lecture, NYU Hospital for Joint Diseases, Norman Otsuka, MD, Sandra Whisler, MD, MS, David Feldman, MD, Adam Lipman, MD
4. 10/17/12: Whisler, SL, Vizcarra, L, Benson, JG, and DiTucci, J, "Where Do They Go From Here: Transition and Transfer in the Land of Enchantment", Poster Presentation, Health Care Transition Research Symposium Consortium (HCTRC), Fourth Annual Research Symposium in Houston, TX.
5. 1/31/12: The Medical Complications of Eating Disorders to an Intensive Outpatient Program for anorexic anorexic/bulimic patients and their parents
6. 10/28/11: Tidman, M., Alexander, J., Nehrenz, G., and Whisler, S., " Measuring Family Education and Satisfaction with Care", Poster for the 3rd Annual Transforming Care at the Bedside Regional Nursing Conference at the Crowne Plaza Hotel , Albuquerque, New Mexico.
7. 9/2011: Pediatric vital signs, ASQ-3, MCHAT, etc. to WS staff
8. 12/18/11: Presentation to UNM HSC Medical Directors on the Transition Consultative Clinic
9. 10/7/11: Featured Speaker at the State Nurse Case manager Conference: "Pet Therapy"
10. 3/18/10: Featured Speaker Match Day 2010 on behalf of the Khatali Physician Alumni Association
11. 7/30/10: Speaker at White Coat Ceremony on behalf of the Khatali Physician Alumni Association
12. 11/09: Present information to the Health and Human Services Committee of the New Mexico Legislature on Eating Disorders and lack of programs in New Mexico to treat this disorder
13. 1/11/07: "Effects of Myofascial Trigger Point Release on Children with Cerebral Palsy" for the Cerebral Palsy Parent Association at Carrie Tingley Hospital.
14. 9/22-9/27/05: " Effects of Myofascial Release on Children with Cerebral Palsy-A Pilot Study" in a poster session at the 1st National Massage Therapy in CAM Research meeting in Albuquerque, NM
15. 5/12/05: "Neurobehavioral Respite: "Evaluating Effects Upon Parent Caregivers" in a slide presentation at the Department of Pediatrics 2005 Research Day.
16. 4/15/04: Panel member for symposium "Having Children and a Career in Medicine"
17. 8/9/03: "Asthma and the New Guidelines" Invited talk at the NM Family Practice Annual Meeting in Taos, NM.
18. 4/25/03: "Pediatric Asthma" Noon Resident Conference at the UNM Westside Clinic, Albuquerque, NM.
19. 11/15/02: "Asthma and the New Guidelines" Lunch Seminar for Glaxo/Smith/Kline, Westside UNM Family Health, Albuquerque, NM.
20. 9/11-9/14/02: Whisler, S., Armstrong, M., Annett, R., Chimarusti, J., Raskob, B., Phillips, J., Dodson, W., Qualls, C. and Ball, O., "Health Status Outcomes From an Ambulatory Neurobehavioral Respite Program", Demonstration Poster. American Academy of Cerebral Palsy and Developmental Medicine, New Orleans, LA
21. 1/19/02: "Interpretation of Pediatric PFTs" New Mexico Chapter of the American Academy of Family Physicians 20th Annual Education Seminar, Albuquerque, NM
22. 12/6/01: "Pediatric Immunizations" Westside UNM Family Health Noon Seminar, Albuquerque, NM
23. 4/23/01: "Pediatric Asthma", Westside UNM Family Health Noon Seminar, Albuquerque, NM
24. 4/30/01: "Treatment of Pediatric Asthma", Westside UNM Family Health Noon Seminar, Albuquerque, NM

Other Presentations:

1. "Sexual Maturation in Males and Females" 5th grade classes at Albuquerque Christian School 2002-2011
2. Gave testimony to the Consumer Product Safety Commission on the dangers of ATVs and children on behalf of The American Academy of Pediatrics 11/6/03.
3. TAR WARS presentation: Sunset Mesa Schools 3/99
4. TAR WARS presentation: John Baker School: 11/98
5. Sixth Annual Children's Health and Fitness Safari at the Rio Grande Zoo: 8/29/98
6. Sunset Mesa Schools 3-5 year old Montessori "What Do Doctors Do?" 1/21/98
7. Creative Endeavors Day Poster Session: "Why do Babies Cry" Dr Whisler's abstract is presented in the Abstracts, Research, and Publications Section. 6/7/96

Community Service:

1. Cast Member of New Mexico Ballet Company, "Nutcracker" 12/13
2. Cast Member of Valentina Kozlova's Dance Conservatory New York's production of the "Nutcracker" in Manhattan 12/8/12 and East Hampton 12/22/12
3. Cast Member of Festival Ballet: Billy the Kid 4/12
4. Cast Member of Festival Ballet: "Dracula", 2/10
5. Cast Member of Festival Ballet "Nutcracker in the Land of Enchantment" 12/11
6. Vice President Khatali Physician Alumni Association 2010-present
7. Pet Therapy through Southwest Canine Corps of Volunteers (SCCV) with regular Pet Therapy visits at Carrie Tingley twice monthly 2004-2008, 2010-present
8. Board Member Khatali Physician Alumni Association 2003-present
9. Trustee on the Greater Albuquerque Medical Association 2009-2011
10. Cast Member of the cast for the New Mexico Ballet Company's "Nutcracker Ballet" 11/00, 11/01, 11/02, 11/03, 11/04, 11/05, 12/05, 12/06, 12/07, 12/08, 12/09, 12/10
11. Cast Member of the cast for the New Mexico Ballet Company's "Dracula" 11/06
12. Medical Student Sponsor for the American Medical Association: 1999-present
13. President of Khatali Physician Alumni Association 2006-2007
14. Vice President Khatali Physician Alumni Association 2004-2005, 2010-present
15. Deaconess at New Covenant Church: Fall 2004-2007
16. Co-Coordinator Medical Mission Trip to El Salvador 7/27-8/2/03
17. -Participated in AAP Technical Assistance visit to Healthy Tomorrows Partnership for Children at Cuidando Los Ninos 6/16/03
18. UNM Children's Hospital Radiothon 1997-2004
19. Member of NM Ballet Company Guild 10/04-4/1/09
20. Science Fair Judge at Albuquerque Christian School 2001, 2002, 2004, 2005, 2006
21. Doctor of the Day: NM Legislative Session 2/10/99, 2/9/00, 1/24/01, 2/02, 2/26/03
22. Child Health Hotline: 10/17/96, 10/23/97, 11/21/99
23. American Diabetes Association, Bernalillo Affiliate
 - President 1997-1999
 - Board Member 1993-2000
 - Patient Education Day with the Podiatry Society 11/98
 - Patient Education Month at Wild Oats 9/97
 - Patient Education For Diabetes Day Planning Committee 5/96, 11/96, 9/97, 1/98
23. Celebrity Art Auction Committee 1993-2000
24. Children, Youth, and Families Placement Review Team 1995-2000

Books Authored or Co-authored, Published Essays:

1. Essay in Lost Souls: Found! Inspiring Stories About Boston Terriers, "Picture Perfect", By Kyla Duffy and Lowrey Mumford, Happy Tails Books, Vol II, p42-45, Copyright 2012.
2. Clark Hansbarger, M.D., Ed., "Pediatrics, 700 Questions and Answers" 9th Edition, East

Norwalk, Conn: Appleton and Lange, 1995.

Original Research or Scholarly Articles in Refereed Journals:

1. Whisler, SL, Vizcarra, L, Benson, JG, and DiTucci, J, Rodriquez, A, Torres, M., "The Two 'Ts' Have Crossed In New Mexico: Transition and Telehealth", Poster at the 68th Annual American Academy of Cerebral Palsy and Developmental Medicine September 9-13, 2014 in San Diego, CA.
2. Whisler, SL, Vizcarra, L, Benson, JG, and DiTucci, J, Rodriquez, A, Torres, M., "Telehealth and Transition: Will the two T's ever cross?", Poster at the Annual American Academy of Cerebral Palsy and Developmental Medicine October 17-19, 2013 in Milwaukee, WI.
3. Adam J. Lipman MD, Sandra L. Whisler MD MS, David S. Feldman MD, Norman Y. Otsuka MD, "The Dislocated Hip Sign: A New Method to Assess Hip Dislocations in Pediatric Patients," Sir Robert Jones Lecture Series, NYU Hospital for Joint Diseases, May 9 and 10, 2013
4. Whisler, SL, Vizcarra, L, Benson, JG, and DiTucci, J, "Where Do They Go From Here: Transition and Transfer in the Land of Enchantment", Abstract, Health Care Transition Research Symposium Consortium (HCTRC), Fourth Annual Research Symposium in Houston, TX, 2012 (Abstract in Renal Failure).
5. Whisler SL, Lang DM, Armstrong M, Vickers J, Qualls C, and Feldman JS: Effects of myofascial release and other advanced myofascial therapies on children with cerebral palsy: six case reports. *Explore (NY)*; 2012, May-Jun; 8(3):199-205, PMID: 22560759.
6. Tidman, M., Alexander, J., Nehrenz, G., and Whisler, S. "Measuring Family Education and Satisfaction with Care", Poster for the 3rd Annual Transforming Care at the Bedside Regional Nursing Conference on October 28, 2011 at the Crowne Plaza Hotel, Albuquerque, New Mexico.
7. Szalay, Elizabeth Ann; Tryon, Elyce B.; Pleacher, Michael D.; Whisler, Sandra L. "Pediatric Vitamin D Deficiency in a Southwestern Luminous Climate" *Journal of Pediatric Orthopaedics*. 31(4):469-473, June 2011.
8. Sandra L. Whisler, M.S., M.D., David M. Lang, LMT, TPM, Margaret Armstrong, M.D., Jennifer Vickers M.D., Clifford Qualls, PhD, and Jay S. Feldman, B.A., Effects of Myofascial Release on Children with Cerebral Palsy: Six Case Reports. Presented as a poster at the 2nd Fascial Research Congress in Amsterdam October 27-30, 2009.
9. Elizabeth Szalay, MD, Sandra Whisler MD, Michael Pleacher, MD, Jeffrey Yaste, MD, Elyce Tyron, BA, "Pediatric Vitamin D Deficiency in a Southwestern Luminous Climate". Poster presented at the Pediatric Orthopaedic Society of North America Annual Meeting, 4/29-5/2/09, Boston, MA.
10. Szalay, Elizabeth, Whisler, Sandra, Pleacher, Michael, "Vitamin D levels in New Mexico Children without Musculoskeletal Pain." Poster at the American Orthopedic Association Annual Meeting, Florida, 6/09.
8. Sandra L. Whisler, M.S., M.D., David M. Lang, LMT, TPM, Margaret Armstrong, M.D., Jennifer Vickers M.D., Clifford Qualls, PhD, and Jay S. Feldman, B.A., "Effects of Myofascial Release on Children with Cerebral Palsy-A Pilot Study" in a poster session at the 1st National Massage Therapy in CAM Research meeting in Albuquerque, NM, 9/22-9/27/05.
9. Leverence, RR, Martinez, M., Whisler, S., Romero-Leggott, V., Harji, F., Melner, M., and Voelz, J., "Does Office-Based Counseling of Adolescents and Young Adults Improve Self-Reported

Safety Habits? A Randomized Controlled Effectiveness Trial", J. of Adolesc Health, Jun 36(6):525-528.

10. Whisler, S., Armstrong, M., Annett, R., Chimarusti, J., Raskob, B., Phillips, J., Dodson, W. Qualls, C., and Ball, O., "Health Status Outcomes From an Ambulatory Neurobehavioral Respite Program", Demonstration Poster. American Academy of Cerebral Palsy and Developmental Medicine, New Orleans, LA 9/11-14/02.
11. M. L. Marks, M.D., S. L. Whisler, M.S., M.D., C. Clericuzio, M.D., and M. Keating, M.D., "A New Form of Long QT Syndrome Associated With Syndactyly", J Am Coll Cardiol 1995; 25:59-64.
12. Coons, T., Whisler, S., Searles, R., and Kim, I., "Characterization of extractable nuclear antigens Using human monoclonal antibodies," 68th Annual Meeting of the American Association of Immunologists, St. Louis, MO, 1984.
13. Whisler, S., "The effect of thyroid-stimulating hormone addition on the activity of thyroid peroxidase in cultures of ovine thyroid gland cells." M. S. Thesis, University of New Mexico, Albuquerque, NM, 1984.
14. Whisler, S., Nieto, A., Valverde, S., and Kerkof, P., "Regulation by TSH of thyroid peroxidase in ovine thyroid cells in culture." J Cell Biol, 91:225a, 1981.

Other Writings and Scholarly Products:

1. Transition Consultative Clinic teaching Portal on the Center of Developmental Disability website.
2. "A Strong and Healthy Dancer" for the New Mexico Ballet Company Dancer Handbook 2005-2009.
3. Guest Editorial for the New Mexico Medical Society July 2002 "Children with Special Health Care Needs".
4. Mini Fellowship through The Children with Special Health Care Needs Continuing Education Institute: To become more knowledgeable about advocacy, the legislative process, and the implications for CSHCN in NM. 1996-9.7
5. "Why Do Babies Cry?" by Sandra L. Whisler, MD and Jeane DuRivage, MA, OTR/L, 6/96.
6. Sleep Problems by Sandra L. Whisler, MD: 6/95.
7. Temper Tantrums by Sandra L. Whisler, MD: 1/9
8. Pediatric Developmental Packet
 - Newborn by Sandra L. Whisler, MD: 1/95
 - 0-2 Months by Sandra L. Whisler, MD: 1/95
 - 2-4 Months by Sandra L. Whisler, MD: 1/95
 - 4-6 Months by Sandra L. Whisler, MD: 1/95
 - 6-9 Months by Sandra L. Whisler, MD: 1/95
 - 9-12 Months by Sandra L. Whisler, MD: 1/95
 - 12-18 Months by Sandra L. Whisler, MD: 1/95

Current and Past Grant and Contract Funding:

1. "The Effect of Visceral and Neural Manipulation on Constipation in Children with Cerebral Palsy", Margaret Armstrong, MD, Sandra Whisler, MD, and Jean Ann Zollars, MA, PT, funding from Pediatric Research Grant through the Department of Pediatrics at UNM HSC, 8/12-9
2. /13: \$15, 000.00.
3. Co-Investigator, Improving the Quality of Life of New Mexican Youth In Transition, 2012 - 2015. Sub-Award from the New Mexico Department of Health for grant from Health Resources and Services Administration, U.S. Department of Health and Human Services. \$212,000 in year one.
4. "T.E.A.M.(Teaching, Exercise, Activity, Mentorship) Approach to Reducing Childhood Obesity" Denise Taylor, MD, Sandra Whisler, MD, funded by Albuquerque Links, 3/12-8/12: \$2,500.00.
5. "Vitamin D vs. Placebo in the Treatment of Vague Musculoskeletal Pain" Elizabeth Szalay, MD, Sandra L. Whisler, MD, and Michael Pleacher, MD. Signature Program in Child Health Trans-Departmental Collaborative Grant 10/09-12/10: \$10,000.
6. "Vitamin D Levels in New Mexico Children without Musculoskeletal Pain", Szalay, Elizabeth, MD, Whisler, Sandra, MD, and Pleacher, Michael, MD, Carrie Tingley Foundation Grant, 8/08: \$10,000.
6. Effects of Myofascial Release on Children with Cerebral Palsy-A Pilot Study" S.L. Whisler, MD, M. Armstrong, MD, J. Vickers, MD, David Lang. 3/04-2006: No funding.
7. "Adolescents and Seatbelt Use" R. Leverence, MD, S.L. Whisler, MD, J. Voelz, MD, and M. Martinez, MD, 25% effort, Edwards Grant through UNM HSC 6/99-2002: \$1800.00.
8. Neurobehavioral Respite Program for Ambulatory Children With Special Health Care Needs: Principal Investigator, S.L. Whisler, MD. S.L. Whisler, MD, J Phillips, MD, M. Armstrong, MD, J Clancy, and R Annett, PhD, Funding of \$20,000.00/year from the New Mexico Legislature contracted through Carrie Tingley Hospital 7/1/00-2001, Funding \$20,000/year through Carrie Tingley Hospital 2002-2006.
9. "Outcomes of Nutrition Information to Provide to Families of Children with Special Health Care Needs (CSHCN)-A Pilot Study". S.L. Whisler, MD, M. Armstrong, MD, and J. Vickers, MD, UNM Primary Care Research Collaboration Grant 5/20/02: \$1000.00.

TEACHING/EDUCATION:

Undergraduate/Graduate Medical Student Mentoring/Teaching:

1. Transition Consultative Clinic 5/10-present
2. Newborn Nursery Attending: 1993-present
3. Phase II Pediatric Rotation 9/93-present
4. Phase I Continuity Clinic students 1/01-present
5. UNM Physician's Assistant Program teacher 2001-present
6. UNM Certified Nurse Practitioner Program 2010-present
7. Fourth Year Medical Student rotation: 7/99-present
8. Westside UNM Family Health Clinic: 9/97-present (Pediatric, OB/GYN, Psych, and Family Practice Residents)
9. Westside Neurodevelopmental Clinic 1999-present
10. Transition Consultative Clinic 5/10-present
11. Saturday Ambulatory Pediatric Clinic 7/92-present:
12. Nominated for Best Teacher in Phase II Pediatric Block Rotation: 2004, 2005, 2006, 2007, 2008, 2009
13. Phase II Orientation and wrap-up for Families as Faculty and CSHCN Family visits 2002-

- present
- 14. Pediatric Ward Attending 1993-2003
- 15. Pediatric Pulmonary Clinic Attending: 7/93-2001
- 16. Ambulatory Pediatric Clinic Morning Report: 10/96-8/97

Physician/Provider Mentoring:

- 1. Linda Butros, MD: 2005-present
- 2. Katie Young, MD: 2008-2011
- 3. Melanie Tidman-Candidate for PhD in OT: 2007-2011. She received her PhD 8/11.
- 4. Diane Clawson, DO: 2009-present
- 5. Michael Pleacher, MD: 2008-2009
- 6. Truc-Ha Duong, MD: 2009
- 7. Holly Nelson, MD 2009
- 8. 1 CNP for Pediatrics: 2005-2009
- 9. 3 CNP at Westside: 2006-2009

- Classroom, laboratory teaching, and tutoring:**
- 1. TED Workshop on Inpatient Teaching: 6/08
 - 2. Medical Student Tutorial Advisor Phase II: 6/95, 3/97, 3/99
 - 3. Evidence Based Medicine Course: 1/6/00, 1/13/00, 1/27/00

Resident and Fellow Teaching and Mentoring:

- 1. Westside UNM Family Health Clinic 9/97-present (Pediatric and Family Practice Residents)
- 2. Westside Neurodevelopmental Clinic 1999-present
- 3. Transition Consultative Clinic 5/10-present
- 4. Preceptor for UNM PA Program 7/99-present
- 5. Preceptor for Certified Nurse Practitioner Program 2010
- 6. Ambulatory Saturday Pediatric Clinic Attending 1993-present
- 7. Newborn Nursery Attending: 1993-present
- 8. Pediatric Ward Attending: 1993-2001
- 9. Pediatric Pulmonary Clinic Attending 7/93-10/01
- 10. Ambulatory Pediatric Clinic Morning Report: 10/96-8/97

Continuing Medical Education Teaching:

- 1. 8/9/03 "Asthma and the New Guidelines" Invited talk at the NM Family Practice Annual Meeting in Taos, NM for CME Credit
- 2. 1/19/02 "Interpretation of Pediatric PFTs" New Mexico Chapter of the American Academy of Family Physicians 20th Annual Education Seminar, Albuquerque, NM For CME Credit.

Other Teaching and Student Mentoring Activities:

- 1. Preceptor Phase II Continuity Clinic: 2014
- 2. Preceptor for 4th year Medical Students: 2014
- 3. Mentor College Student at UNM Westside clinic: summer 2000, 3/08-5/09, summer 2010, 2014
- 4. Circuit Rider for UNM SOM PIE rotation in Las Cruces: July-August 2005
- 5. Circuit Rider for UNM SOM PIE rotation in Taos Pediatric Clinic: June/July 2004,
- 6. High School Student Mentoring at the UNM Westside Clinic: Fall 2003-April 2004, 2010
- 7. Teaching male and female anatomy to 5th graders at Albuquerque Christian School: 5/03, 5/04, 11/05, 11/06, 5/07, 5/08, 5/09, 5/10, 5/10

6. Teaching sexually transmitted diseases and sexual anatomy to 8th and 5th graders at Albuquerque Christian School 5/03
7. Preceptor for Physician Assistant Student Pediatric Rotation: 2001-present
8. TAR WAR presentations: John Baker 11/98, Sunset Mesa 3/99
9. Rural Contact Physician for Resident 1995-1997
10. Rural Outreach Rotation for Gallup in fall 1995 and fall 1996

Curriculum Development or Educational Administrative Activities:

Organizer Pediatric Grand Rounds: 1997, 1999 (for 1 month of weekly lectures)

SERVICE:

Present Patient Care Activities:

1. Primary Care Provider at the UNM Westside Clinic: 1997-present
2. Pediatric Neurodevelopmental Clinic: 1 morning/month for CSHCN 1999-present
3. Transition Consultative Clinic: 5/10-present
4. Newborn Nursery Attending 2-3 weeks/year: 1993-present
5. UNM Saturday Pediatric Clinic 2-3 Saturdays/year: 1993-present
6. Patient Centered Medical Home Committee Member: spring 2009-present

Past Patient Care Activities:

1. Primary Care Provider at the UNM Westside Family Health Clinic 1997-present
2. Newborn Nursery Attending 1 week/year 1993-present
3. Set up Westside Clinic/Family Website with Holly Stenerson 6/10
4. Pediatric Pulmonary Attending 1 morning per week 8/93-10/01
5. Pediatric Ward Attending 1 week/year 1993-2001
6. Primary Care Provider Ambulatory Pediatric Clinic at UNM HSC 8/93-9/97
7. Pediatric Pulmonary Attending 1 morning per week 1993-2001
8. Primary Care Physician in private practice 8/92-7/93

University, SOM, HSC Administrative Activities:

1. Medical Director Transition Consultative Clinic 5/10-present
2. Director of Pediatrics UNM Westside Family Health Clinic 1997-10/2010
3. Medical Director of the UNM Westside Family Health Clinic UNM Westside Family Health Clinic 7/06-8/09

University, SOM, HSC Department Committees:

1. Committee for Student Promotion and Evaluation: June 2014-present
2. Member BA/MD Admissions Committee 2005-2008, 2010-2012
3. Patient Centered Medical Home Implementation Team: Spring 2009-present
3. PCMH Guideline Taskforce (meets quarterly): Member, 2009-present
4. Ambulatory Leadership Team 7/06-1/09
5. Primary Care Leadership Team 2006-2/2010
10. Primary Care Quality/Service Group 2008-9/2011

11. Medical Directors Planning Group 2008-2009
12. UNM School of Medicine Medical Student Admissions Committee 5/04-5/10
9. UNM Department of Pediatrics:
 - Member of Pediatric Standing Orders Team 1/10-6/12
 - Consultative Transition Clinic Planning Team for CYSHCN 2008-present
 - Ambulatory Pediatric Planning Group 5/06-2010
 - Pediatric Endocrine Search Committee 2003-2010
 - Member of Advisory Committee to the Pediatric Pulmonary Division in the Dept. of Pediatrics 2004-2011
 - Residency Selection Committee 1996-2007
 - Research Committee 1998-12/02
 - Charting and Billing Committee 1996
 - Pediatric Education Task Force 1995
 - Committee to review Maternity Policy Fall 1994
 - Search Committee for Carrie Tingley Hospital Physiatrist: 1998-99
 - The Neurobehavioral Respite Program Committee 2/99-2006: Chairman and Member
 - Department of Pediatrics Representative to the UNM Faculty Senate 2000-2004
 - Elected to the Operations Committee 2002-2003
 - Faculty Senate representative to the UNM Board of Regent's HSC Committee 2002-2004

Local, State, Regional National Committees:

1. Member of AACPD Transition Committee
2. TAC at NYU Hospital for Joint Diseases 8/12-9/13
3. Member of the NM Public Regulation External Review Physician Roster 8/07-present
4. Director of Neurodevelopmental Clinic at Westside Family Health for Children With Special Health Care Needs 2000-present
5. Families as Faculty Board 5/02-2009
6. UNM SOM Physician Alumni Association Board Member 4/03-present
 - Vice President 2010-present
 - Immediate Past President 2007-2009
 - President 2005-2007, 2014-present
 - Vice President 2004-2005, 2010-2013
7. NM Pediatric Society: 1992-present
 - Member at Large on Executive Committee 10/02-10/04
 - Committee for Children with Disabilities 1992-present
 - Education Committee 1996-2004
 - Nominating Committee 2/98-12/06
 - Medicaid Managed Care Task Force 1999-2000
8. Greater Albuquerque Medical Association: 1992-present
 - Nominating Committee Member 2014
 - Elected to represent GAMA as a Council Member to the New Mexico Medical Society 7/11-present
 - Chairman of Nominating Committee 2011
 - Elected to GAMA Board of Trustees 2009-2011
 - Committee on Recruitment and Retention
 - Delegate to the NM Medical Society Annual Meeting 5/97, 5/98, 5/00, 5/04, 5/10, 5/14
9. NM Medical Society: 1992-present
 - Chairman of the Children's Medical Services Advisory Committee 1996-present

- Liaison Committee to the Department of Human Services 6/24/97-2010
 - NM Medical Review Commission for Malpractice Claims 1996-present
 - New Mexico PAC (NEMPAC) 2005-2006
 - Strategic Planning Panel 2000
 - Liaison Committee to the University of New Mexico School of Medicine 6/99-2003
 - Ad Hoc Committee on Physician Recruitment and Retention 2003-2006
 - Ad Hoc Committee on Improving Healthcare for Individuals with Developmental Disabilities 8/04-2006
10. American Academy of Pediatrics: 1989-present
 - Public Policy Forum 2000
 - Section on Children with Disabilities 12/95-present
 11. Member of the NM Ballet Company Guild 2004-2009
 12. Member of Albuquerque Christian School Science Fair Committee 2004-200
 13. Medically Fragile Parameter Task Force 2002-2006 (re-writing parameters for the Medically Fragile Program and the DD Waiver)
 14. Reviews for Cimarron Commercial/Salud Health Plans
 - Medical Panel Review Committee 4/7/02
 - Review for Credentialing Physicians: 1/23/02
 - Review Drug Utilization: 12/6/01
 - Review for Speech Therapy: Summer 2000
 15. Organizer for Neurobehavioral Respite Care Program at Carrie Tingley Hospital 2/11/99-1/2007
 16. Molina (Cimarron) Quality Improvement and Advisory Committee (QIAC) 5/01-12/04
 17. Cimarron Salud Pharmacy and Therapeutics Committee 9/98-4/01
 18. Cimarron Salud Professional Peer Review Committee Fall 1999-2004
 19. Search Committee for Carrie Tingley Hospital pediatrician 8/98-3/00
 20. American Medical Association 1985-2007

Certifications:

1. American Board of Pediatrics Certification Renewal: 1993-1999, 2000-2007, 2008-2014
2. Wellstart International for Lactation Management 9/1/2012
3. HSC Publicly Reported Quality Indicators 12/29/13
4. HSC Emergency Management Preparedness 12/29/13
5. HSC Compliance Training 12/29/13
6. HSC Advanced Directives 12/29/13
7. HSC Standard and Transmissions Precautions 12/28/13
8. HSC 2013 Initiatives: ED Throughput and Lobowings 12/28/13
9. HIPPA and HITECH Training for HSC 12/28/13
10. LQIP RIE Participant 11/19/13
11. LQIP Just In Time 8 Hour Training 11/18/13
12. HSC Restraints 6/4/13
13. Collaborative Institutional Training Initiative (CITI) for HRRC research activities 2/11/08, 7/25/12
14. Bloodbourne Pathogens 12/1/12
15. PCMH Competency 12/3/12

16. HSC Financial Conflicts of Interest 7/16/12
17. HIPPA and Accounting of Disclosure 2/3/10, 3/21/11, 12/28/13
18. UNMHSC SOM Codes of Conduct and Compliance Agreement 2/28/06, 6/2/06, 2/1/07, 1/28/08, 2/3/10, 6/4/10, 3/21/11, 6/30/11, 5/30/12
19. HSC Medicare Part C and Part D 2/3/10
20. HSC Culture of Compliance 2/1/07, 1/28/08, 2/3/10, 3/21/11, 12/1/12
21. Abuse and Domestic Violence Awareness 2/3/10, 12/29/11, 12/1/12
22. HIPPA Training 2/3/10, 3/21/11, 12/3/12
23. HIPPA and Breach Notification 2/3/10, 3/21/11, 12/3/12
24. CLT 2/3/10
25. iSite (PACS) Training Module 2/4/10
26. CPR/BLS with AED for HCP-UH 5/16/00, 4/21/04, 4/11/06, 7/7/07, 4/08, 2/23/10
27. OSHA 2/10
28. Human Research Curriculum 9/2/10
29. Basic Annual Safety Training 9/26/00, 9/13/10, 12/30/11, 12/3/12, 12/28/13
30. Core Measures and Public Reporting 9/13/10, 12/30/11, 12/1/12
31. Ethics: A Framework for Ethical Decision Making 9/13/10, 12/30/11, 12/1/12, 12/28/13
32. Medication Safety 9/13/10, 12/30/11, 12/1/12
33. Preventing Sexual Harassment 9/13/10, 12/1/12, 12/28/13
34. Patient Safety 9/13/10, 12/30/11, 12/1/12
35. CMS (Medicare/Medicaid) Certification 12/31/08
36. CHILI 2008 on 10/17/08
37. New Power Chart training 8/08
38. Preventing Sexual Harassment 9/4/08, 12/30/11
39. Code of Conduct 7/15/08
40. TED Workshop on Inpatient Teaching 6/08
41. Collaborative Institutional Training Initiative for HRRC research activities 2/11/08
42. HIPPA Training 1/28/08
43. OSHA Blood Borne Pathogens 10/15/01, 8/15/03, 6/26/05, 5/28/07, 1/27/08, 2/22/11
44. Pediatric Advanced Life Support Certification 3/29-30/96, 10/16/98, 11/ 15-16/98, 7/7/06, 4/08, 10/11/11
45. Budget Workshop for Managers 2/1/07
46. HIPPA Privacy 2/1/07
47. HIPPA Protected Health Information 2/1/07
48. WeeFIM Certification 5/4/06
49. PACS for Providers 4/3/06
50. HIPPA Security 4/15/05, 2/1/07
51. Tympanocentesis Training 4/24/04
52. HIPPA Compliance 3/20/03
53. HIPPA Patient Confidentiality 3/9/03
54. HRRC Training Course 6/27/01
55. Tutor Training Workshop 11/8-11/9/01
56. TB Prevention 8/15/00
57. Power Chart/Dictate 6/13/00
58. HSCL-02 3/21/11
59. SHEA 2/22/11
60. Reducing the Risk of healthcare Associated Infections with CLABSI and Surgical Site Infections 12/29/11

Tab 22

Approval of UNM HSC Legislative Requests

(go to materials under Tab 8)



Office of the Senior Executive Officer of Finance & Administration
1 University of New Mexico
MSCO9 5300
Albuquerque, NM 87131

MEMORANDUM

Date: June 29, 2015

To: Paul Roth, MD, MS, FACEP
Chancellor

From: Ava Lovell, CPA 
Senior Executive Officer for Finance & Administration

Subject: Revised HSC 5 Year Capital Plan for Approval at the HSC Board of Directors
Meeting July 17, 2015

As a result of the Special Legislative Session held on June 08, 2015, Governor Susana Martinez signed a Capital Outlay Bill (SB 1) that includes \$5.3 million in funding for the Health Education Building, Phase III.

Since this project was the only academic building request on the HED 5-year capital plan for the upcoming Legislative Session, I am requesting approval to update the the HED form. This form was originally approved by the HSC Board of Directors on May 01, 2015 and UNM Regents on May 07, 2015.

Recently, HSC leadership has been reviewing possibilities to expand our teaching and research missions at the UNM West Campus to complement and combine teaching efforts at Sandoval Regional Medical Center (SRMC.) My request is to add a building we are tentatively calling the UNM HSC West Healthcare Education Building, which will have some funding from the Rio Rancho Higher Education Gross Receipts Tax, as well as the Legislative request. More details are provided on the attached.

INSTITUTION'S 5 YEAR PLAN

INSTITUTION: University of New Mexico Health Sciences Center

DATE: 4/15/2015

REVISED: 8/05/2015

Institution acronym	Priority	Project Title	Description	Month/Year Project to be started	Month/Year Project to be finished	Is this Project part of the next GOB Vote? Y or N	Cost of Project or Phase	Percentage to be funded from Legislative Funding (list % and describe funding)	Percentage to be funded from other sources (list % and describe funding)	New Construction	Renovation	Square Footage (GSF)
UNM-HSC	1	UNM HSC West Healthcare Education Building	To meet the health care needs of New Mexico, the UNM Health Sciences Center will be expanding its Allied Health educational offerings to the UNM HSC West Campus	Mar-16	Jan-19	Yes	\$ 20,000,000	50% GOB	50% Other Funds (\$10,000,000 Sandoval County Higher Education GRT)	Yes	No	44,000
UNM-HSC	2	Replacement Adult Hospital	Replacement hospital - Replace 408 beds - 360 Adult Acute Medical Surgical Beds and 48 Behavioral Health Beds	Mar-16	Dec-18	No	\$ 500 million to \$600 million		100% other funds	Yes		TBD
UNM-HSC	3	Child Psychiatry Inpatient Facility	36 bed adolescent behavioral health, inpatient unit includes dining, counseling, family and support areas.	Jan-16	Jan-18	No	\$ 20,000,000		100% other funds	Yes	No	TBD
UNM-HSC	4	Behavioral Health Center Triage Center	12-15 behavioral health inpatient beds. Includes: 4-6 exam rooms, offices and support space.	Sep-15	Dec-17	No	\$ 12,000,000		100% other funds	No	Yes	TBD
UNM-HSC	5	Community-Based Clinic	Similar to North 4th Street and SW Clinics to expand Primary Care Services to the Community to increase access to health care.	Sep-15	Sep-16		\$ 5,000,000		100% other funds			
UNM-HSC	6	Community-Based Clinic	Similar to North 4th Street and SW Clinics to expand Primary Care Services to the Community to increase access to health care.	Jul-16	Jul-17		\$ 5,000,000		100% other funds			
UNM-HSC	7	Patient Care Equipment	Recurring Request	Jul-16		No	\$ 10,000,000	100% GF				NA

INSTITUTION'S 5 YEAR PLAN

INSTITUTION:

University of New Mexico Health Sciences Center

DATE: 4/15/2015

REVISED: 8/05/2015

Institution acronym	Priority	Project Title	Description	Month/Year Project to be started	Month/Year Project to be finished	Is this Project part of the next GOB Vote? Y or N	Cost of Project or Phase	Percentage to be funded from Legislative Funding (list % and describe funding)	Percentage to be funded from other sources (list % and describe funding)	New Construction	Renovation	Square Footage (GSF)
UNM-HSC	1	Community-Based Clinic	Similar to North 4th Street and SW Clinics to expand Primary Care Services to the Community to increase access to health care.	Dec-17	Dec-18		\$ 5,000,000		100% other funds			
UNM-HSC	2	School of Medicine Building 2 (Med II)	Renovate, refurbish and re-purpose the original School of Medicine building which was originally a 7-UP bottling plant.	Mar-17	Aug-17	No	\$ 20,000,000	100% GF			yes	20,000
UNM-HSC	3	Patient Care Equipment	Recurring Request	Jul-17		No	\$ 10,000,000	100% GF				NA
UNM-HSC	1	Community-Based Clinic	Similar to North 4th Street and SW Clinics to expand Primary Care Services to the Community to increase access to health care.	Jul-18	Jul-19		\$ 5,000,000		100% other funds			
UNM-HSC	2	Child and Elder Care Facility	This facility is highly valued by UNM Faculty and Staff who cover 24-hour operations at the Clinical facilities of the Health System	Nov-18	Jan-19		\$ 35,000,000	80% GF	20% other funds	yes	No	TBD
UNM-HSC	3	Patient Care Equipment	Recurring Request	Jul-18		No	\$ 10,000,000	100% GF				NA
UNM-HSC	1	CDD Autism Center	To build a facility that provides clinical and diagnostic areas, a resource center, Tele-health training and communication facilities, to address the special needs of children with Autism and other developmental disabilities	Dec-19	Aug-20	No	\$ 25,700,000	100% GF/STB/GOB		Yes	No	60,000

INSTITUTION'S 5 YEAR PLAN

INSTITUTION: University of New Mexico Health Sciences Center

DATE: 4/15/2015

REVISED: 8/05/2015

Institution acronym	Priority	Project Title	Description	Month/Year Project to be started	Month/Year Project to be finished	Is this Project part of the next GOB Vote? Y or N	Cost of Project or Phase	Percentage to be funded from Legislative Funding (list % and describe funding)	Percentage to be funded from other sources (list % and describe funding)	New Construction	Renovation	Square Footage (GSF)
UNM-HSC	2	Re-purpose, re-model old UNM Hospital for teaching/faculty offices	In the event a new Adult Hospital facility is completed to replace the 1954 to 1977 inpatient and operating facilities, these old spaces can be renovated to provide teaching and research space for faculty, students and staff	Jan-19	Aug-21	No	\$ 25,000,000	100% GF/STB/GOB		No	Yes	TBD
UNM-HSC	3	Patient Care Equipment	Recurring Request	Jul-19		No	\$ 10,000,000	100% GF				NA
UNM-HSC	1	Patient Care Equipment	Recurring Request	Jul-20		No	\$ 10,000,000	100% GF				NA

**REQUEST FOR CAPITAL PROJECT APPROVAL for
UNM Health Sciences Center – Health Education Building, Phase III
UNIVERSITY OF NEW MEXICO
August 14, 2015**

REQUESTED ACTION:

In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested for UNM Health Sciences Center – Health Education Building, Phase II. UNM Albuquerque Campus

PROJECT DESCRIPTION:

Project Description: Consistent with the 2010 HSC Master Plan, the 75,059 GSF third phase of the HSC Health Education building will be constructed on the corner of Marble and Stanford, connecting to the existing building on the first level. The new 3-story steel framed addition is immediately adjacent and to the west of the first two phases that contain an auditorium, simulation suite, classrooms and student support spaces. A bridge on the second level connects to the initial phase of this building to facilitate wayfinding and efficient student flow through the building. Initially conceived and designed in 2003, this phase reflects current teaching methodologies, enhances simulation capabilities and appropriately sizes and configures classrooms.

Adequate replacement parking is available on-site; about 63-67 parking spaces that will be removed. Site utilities are already in place and the exterior of the Health Education building addition will be complementary to its current design.

The program for the third phase of the UNM Health Education Center includes ten classrooms of varying capacities. Active Learning Classrooms with advanced technology provide venues for nursing, pharmacy and medicine classes. The Interprofessional Healthcare Simulation Center is augmented with twenty seminar/tutorial classrooms containing an exam table, and existing procedure rooms are modified to address nursing's need for additional assessment and practice sites. Based on student demands and accreditation requirements, student support spaces will return to their original intended use, including a modest fitness area on the second floor.

A unifying principle for the new addition is a minimum goal of LEED Silver. Every effort will be made to reach the LEED Gold achieved by the second phase of the Health Education building. Designs will optimize energy performance, targeting 25% less energy usage over a standard building while maintaining thermal comfort. Classrooms will have occupancy sensors and LED lighting to complement the natural light that will be designed into the facility. Research into bringing natural daylight into areas has been shown to enhance student test scores and performance. Electrical outlets, a key necessity for students with multiple mobile devices, will be carefully placed to balance energy usage, and USB ports for charging will be included in all public areas. At least 75% of construction waste will be salvaged. LED lighting will be used throughout the facility, lowering energy use and extending the long term life.

In order to meet the needs of teaching, an aggressive schedule has been put in place. Any delays will compromise HSC's ability to complete this design and construction by Fall 2017.

PROJECT RATIONALE:

Rationale: The Health Sciences Center is out of suitable classroom space due to substantial changes in teaching methods, intensified emphasis on skill competencies, and increased enrollment of students in healthcare professional programs that seek to address workforce shortages. Education space at the Health Sciences Center has reached a critical level; in 2016, nursing enrollment will have reached 64 per class – a 17% increase in the last five years. The School of Medicine's new curriculum will have commenced and will reflect a 31% increase in enrollment over the last five years. Pharmacy will have completed updating their methods for teaching that leverages national trends. Nursing is already compromised when teaching bedside nursing in the simulation area, having three students per bed instead of the acceptable two per bed. The third phase of the Health Education Building cannot be constructed quickly enough!

The Legislature and the Governor have generously funded increases in critically needed Nurse Practitioners, Nurses, and Residents to respond to shortages in the New Mexico health care workforce. HSC's mission is to provide every New Mexican the opportunity to obtain the best health sciences education. Completing the education building assures that the HSC can adapt to changes in the health care environment and support NM's need for competent, health care professionals. As the only School of Medicine and College of Pharmacy in the State, UNMHSC is committed to address this challenge through expanding existing programs, initiating enhanced degree programs, and creating innovative approaches to meet the State's needs. It follows then that the primary goals of the Health Education building are 1) to provide updated and expanded classrooms and class labs to address increases in various program enrollments, 2) to provide flexible learning venues supporting current teaching methods, and 3) to support the increased number of graduates that serve to address New Mexico health care workforce needs.

As stated earlier, the Health Sciences Center is out of appropriate classroom space. All programs in Medicine, Nursing and Pharmacy share classrooms and appropriate common simulation areas. Simulation is the fastest growing area in educating healthcare professionals because of the lack of clinical training sites. All programs have increased their use of simulation and testing which results in the need to implement modifications in simulation now. The design for the new addition expands the simulation and clinical skills center in the Interprofessional Healthcare Simulation Center (IHSC) by 54%. Existing classrooms do not have the flexibility to expand further or be modified to address changing teaching methods or expanded enrollments. Right sizing the classrooms that allows small group active learning teaching methods (Active Learning) and that is easily accessible promotes flexibility and enhances student performance and use. None others exist throughout the Health Sciences Center due to space constraints. Replacing those outdated classrooms creates backfill for much needed faculty educator offices and research support spaces within the departments.

As noted, over the last several years, with the support of the legislature and leveraged by federal funds, the HSC Health Education facility has been able to fulfill many of the educational needs of health care professionals throughout the State. While several classes have been converted to on-line training, assessment, practice, and active student engagement in the learning process has no substitute. The Health Education building, with specialized class labs, advanced technology, and updated classrooms, is essential to providing appropriate educational venues to train New Mexico's next generation of health care providers. Adequate academic support space is needed immediately but is not available.

FUNDING:

The total estimated Project Budget is \$27,300,000:

- \$12,000,000 is funded from 2014 GOB
- \$10,000,000 is funded from HSC Capital Initiative Funds
- \$5,300,000 is funded from 2015 Special Legislative Session (\$1M – NMMB, \$.5M – AGSF, \$2M – GGRT, \$1.8M – STB)



The University of New Mexico
Purchasing Department
MSC01 1240
1 University of New Mexico
Albuquerque, NM 87131-0001

MEMORANDUM

To: Ava J. Lovell, Senior Executive Officer for Finance and Administration HSC

From: Bruce Cherrin, Chief Procurement Officer

Date: July 28, 2015

Re: Action item for HSC Board of Directors

The following contract has been submitted for approval to the HSC Board of Directors per Regents Policy 7.4. This will need to proceed to the full Board of Regents.

UNM Cancer Center – Elekta Inc.

This purchase order is for a maintenance and service contract for the Elekta Synergy – S (Beam Modulator) Linear Accelerator and Elekta Synergy Linear Accelerator, specialized radiation therapy machines which treat up to 60 patients per day. The services included in the contract are annual hardware maintenance and support.

The Elekta linacs (Synergy and Synergy-S) were purchased in 2009 with a one year warranty and a five year service contract which expires August 17, 2015. For best pricing we requested five year service contracting to be paid annually—the quoted price for service is \$179,835.21 per linac for a total cost of \$359,670 per year. The Elekta linacs will continue to be used for the next five years so a five year contract would be warranted.

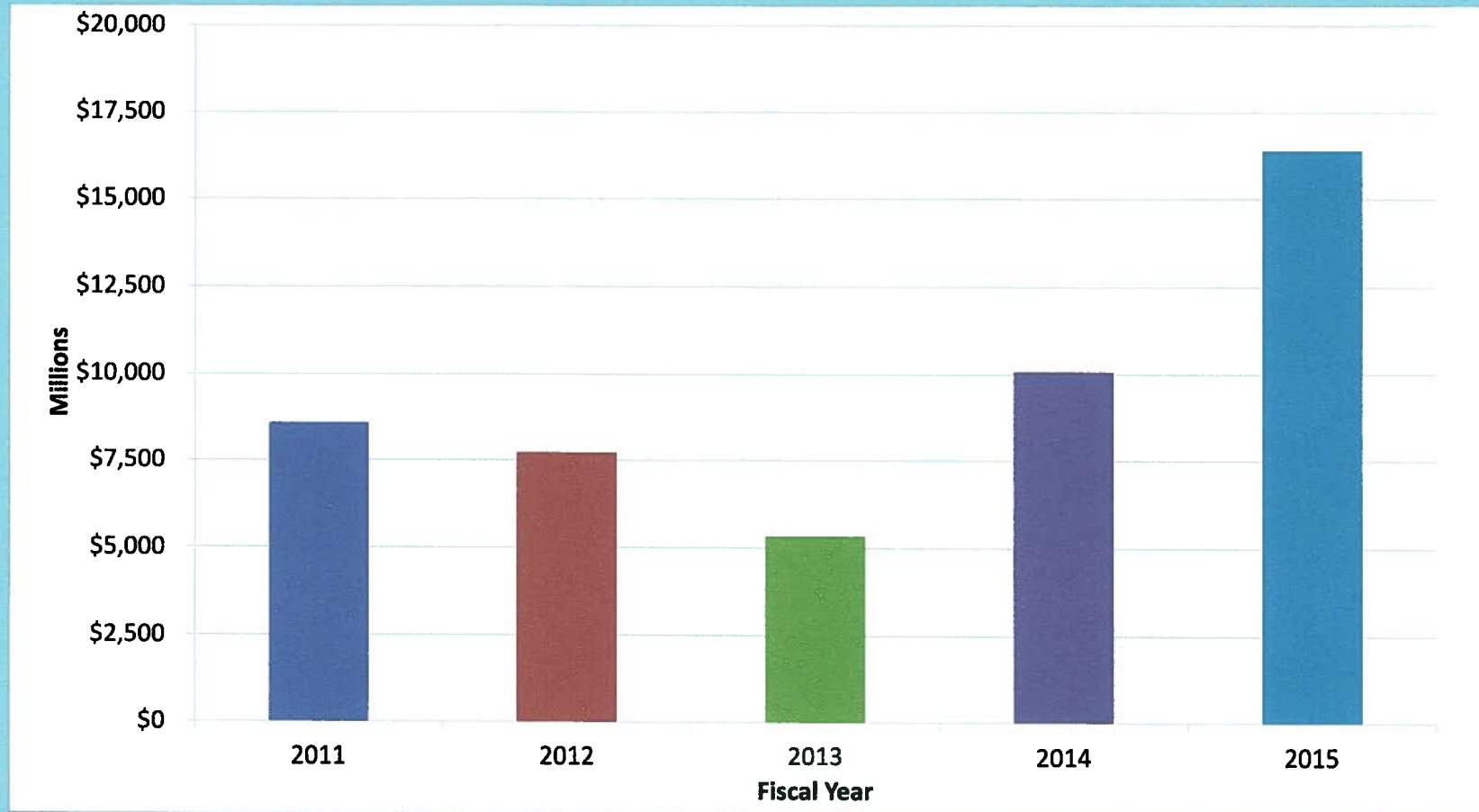
Source of funds: Current Unrestricted HSC Funds

Total cost: \$359,670 each year for five years
Total \$1,798,352.00

The Chancellor's Report
will be presented at the meeting

UNM Health Sciences Center
Metrics - Preliminary and Unaudited
Net Cash Revenues less Net Cash Expenses
(Amounts are in thousands)

UNIVERSITY HSC



Expected	FY 2011 3rd Quarter	FY 2012 3rd Quarter	FY 2013 3rd Quarter	FY 2014 3rd Quarter	FY 2015 3rd Quarter	FY 2015 May 31st YTD
↑	\$8,574	\$7,718	\$5,329	\$10,061	\$16,383	\$21,459

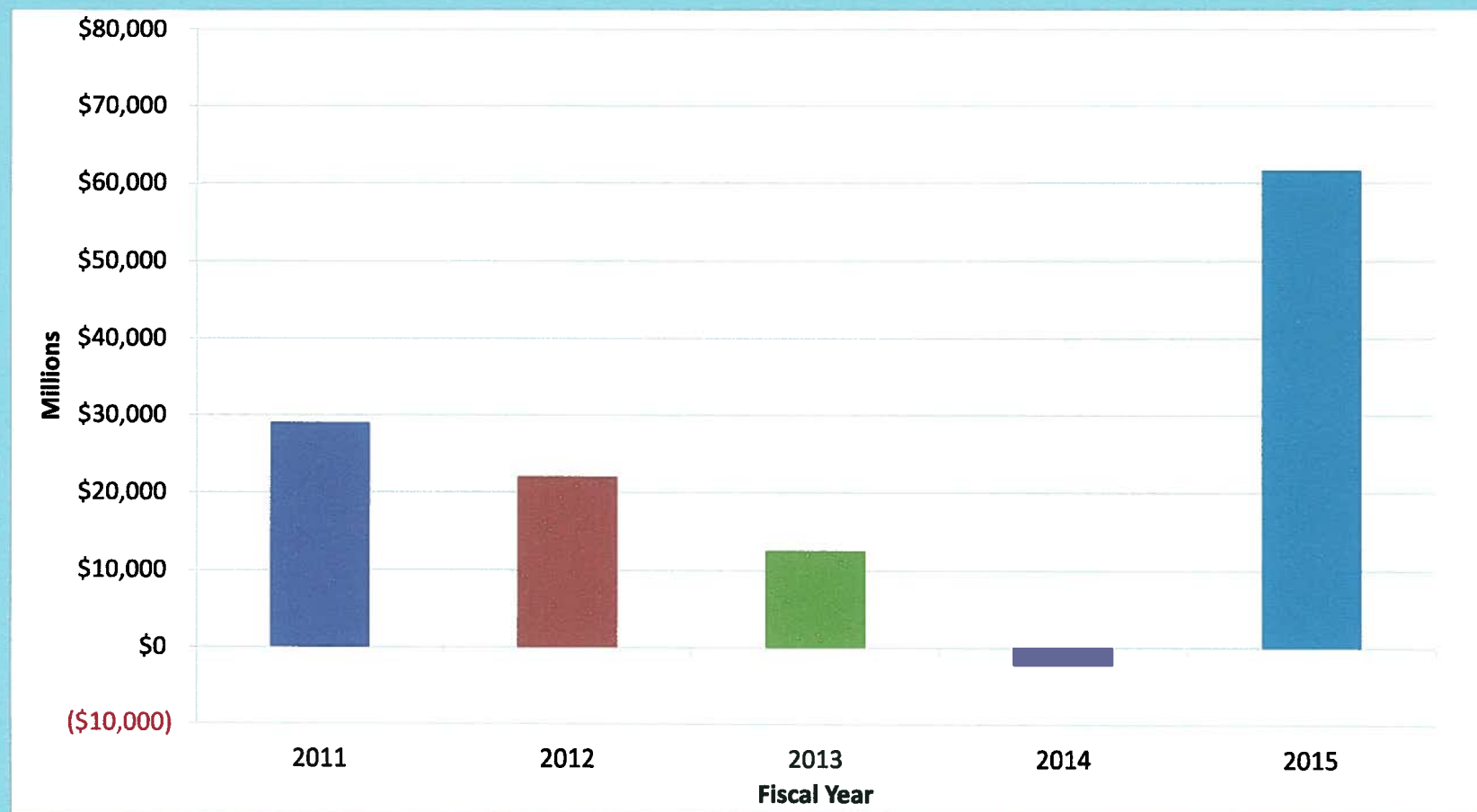
UNM Health Sciences Center

Metrics - Preliminary and Unaudited

Net Cash Revenues less Net Cash Expenses

(Amounts are in thousands)

UNM HOSPITALS



Expected	FY 2011 3rd Quarter	FY 2012 3rd Quarter	FY 2013 3rd Quarter	FY 2014 3rd Quarter	FY 2015 3rd Quarter	FY 2015 May 31st YTD
↑	\$29,007	\$22,009	\$12,533	(\$2,310)	\$61,572	\$111,340

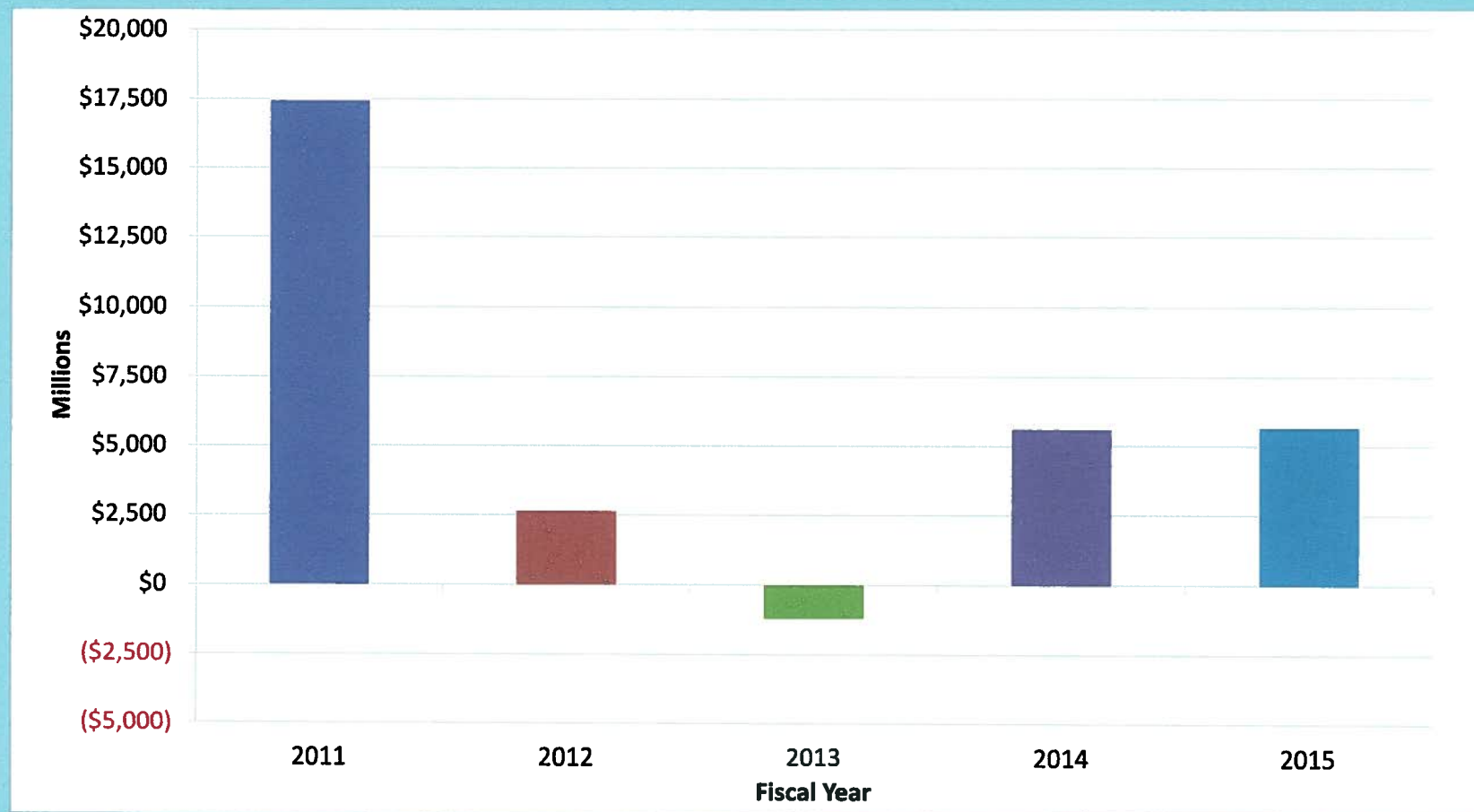
UNM Health Sciences Center

Metrics - Preliminary and Unaudited

Net Cash Revenues less Net Cash Expenses

(Amounts are in thousands)

UNM MEDICAL GROUP



Expected	FY 2011 3rd Quarter	FY 2012 3rd Quarter	FY 2013 3rd Quarter	FY 2014 3rd Quarter	FY 2015 3rd Quarter	FY 2015 May 31st YTD
↑	\$17,435	\$2,632	(\$1,199)	\$5,594	\$5,659	\$6,833

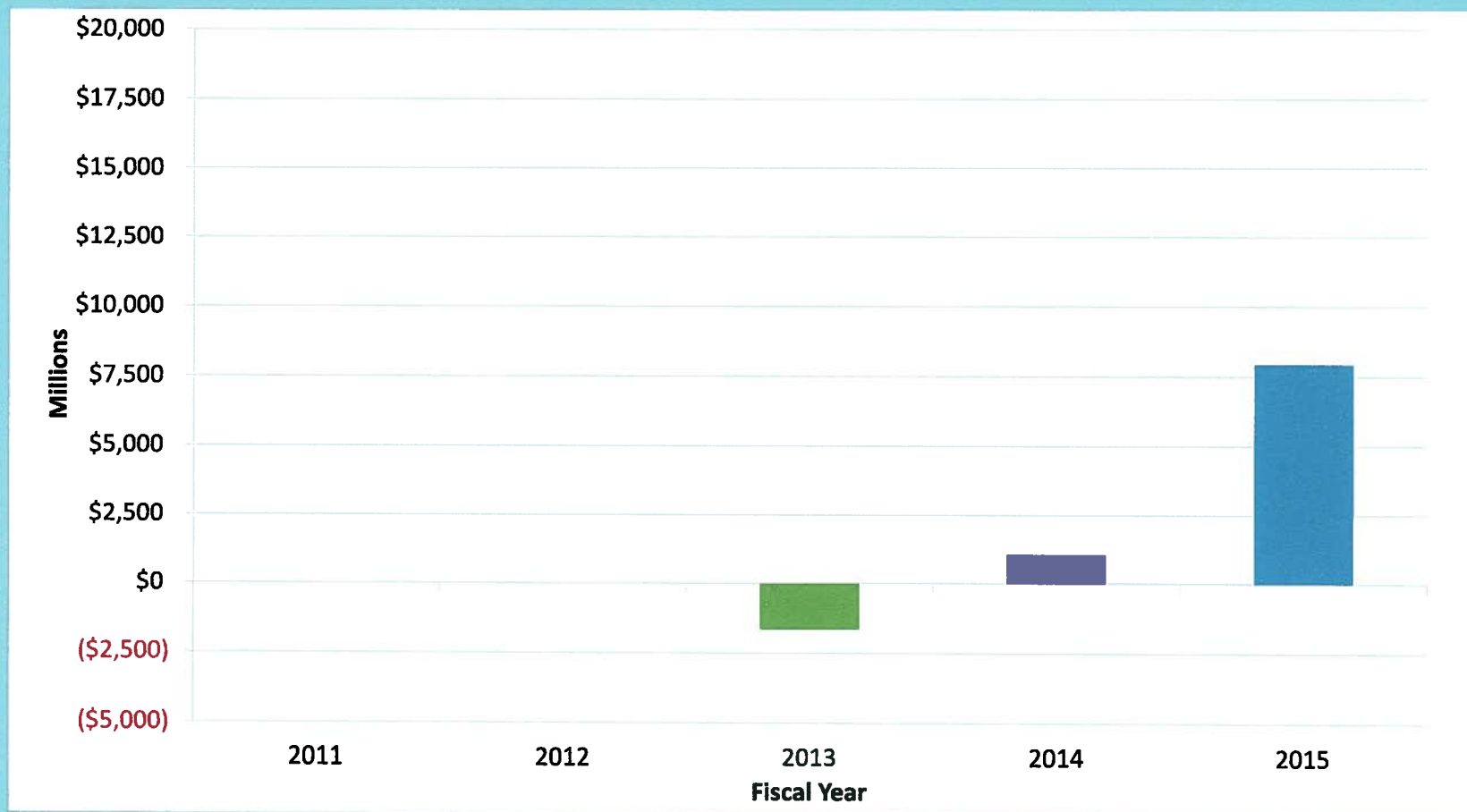
UNM Health Sciences Center

Metrics - Preliminary and Unaudited

Net Cash Revenues less Net Cash Expenses

(Amounts are in thousands)

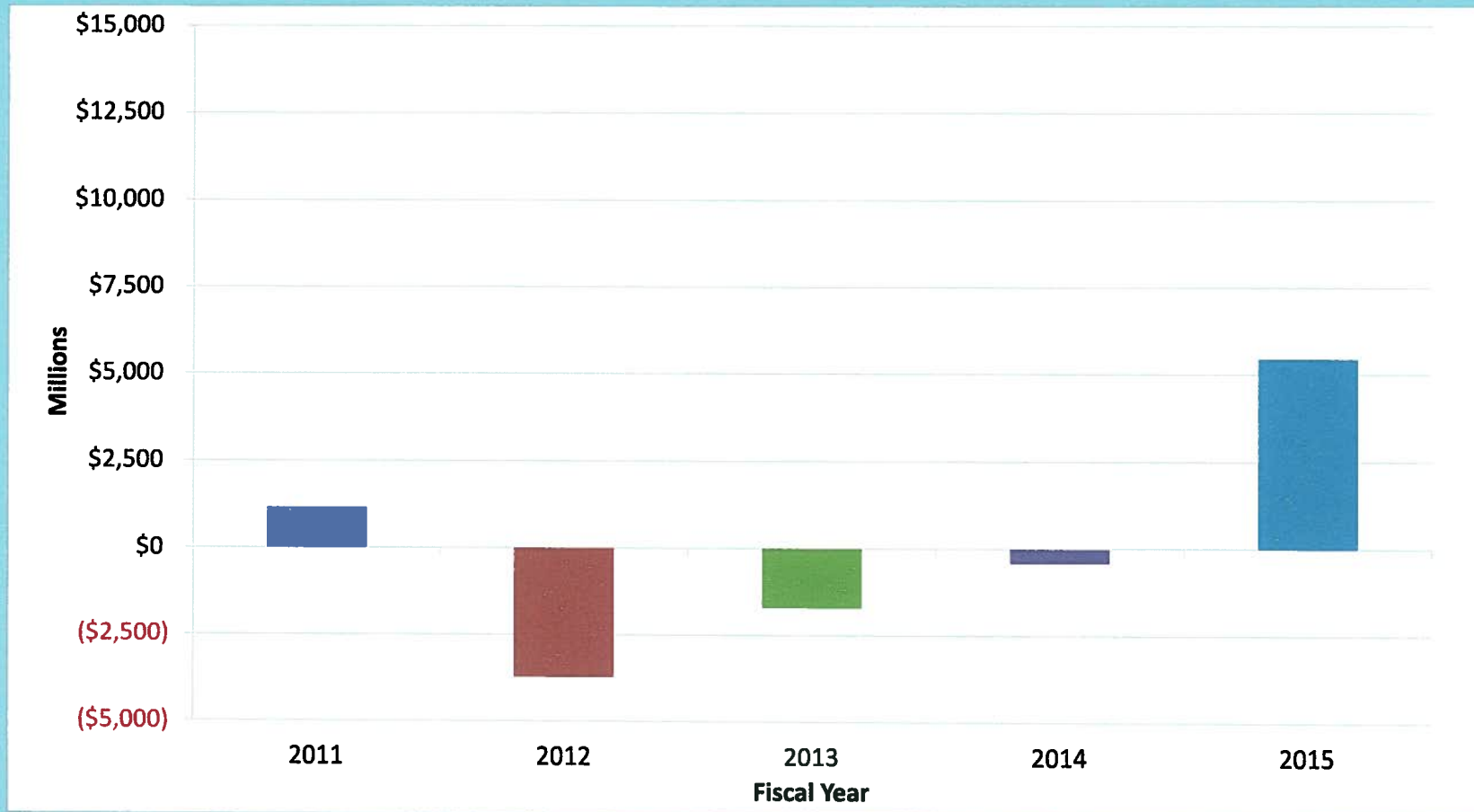
SANDOVAL REGIONAL MEDICAL CENTER



Expected	FY 2011 3rd Quarter	FY 2012 3rd Quarter	FY 2013 3rd Quarter	FY 2014 3rd Quarter	FY 2015 3rd Quarter	FY 2015 May 31st YTD
↑	N/A	N/A	(\$1,648)	\$1,032	\$7,934	\$10,181

UNM Health Sciences Center
Metrics - Preliminary and Unaudited
Net Revenue less Expense
(Amounts are in thousands)

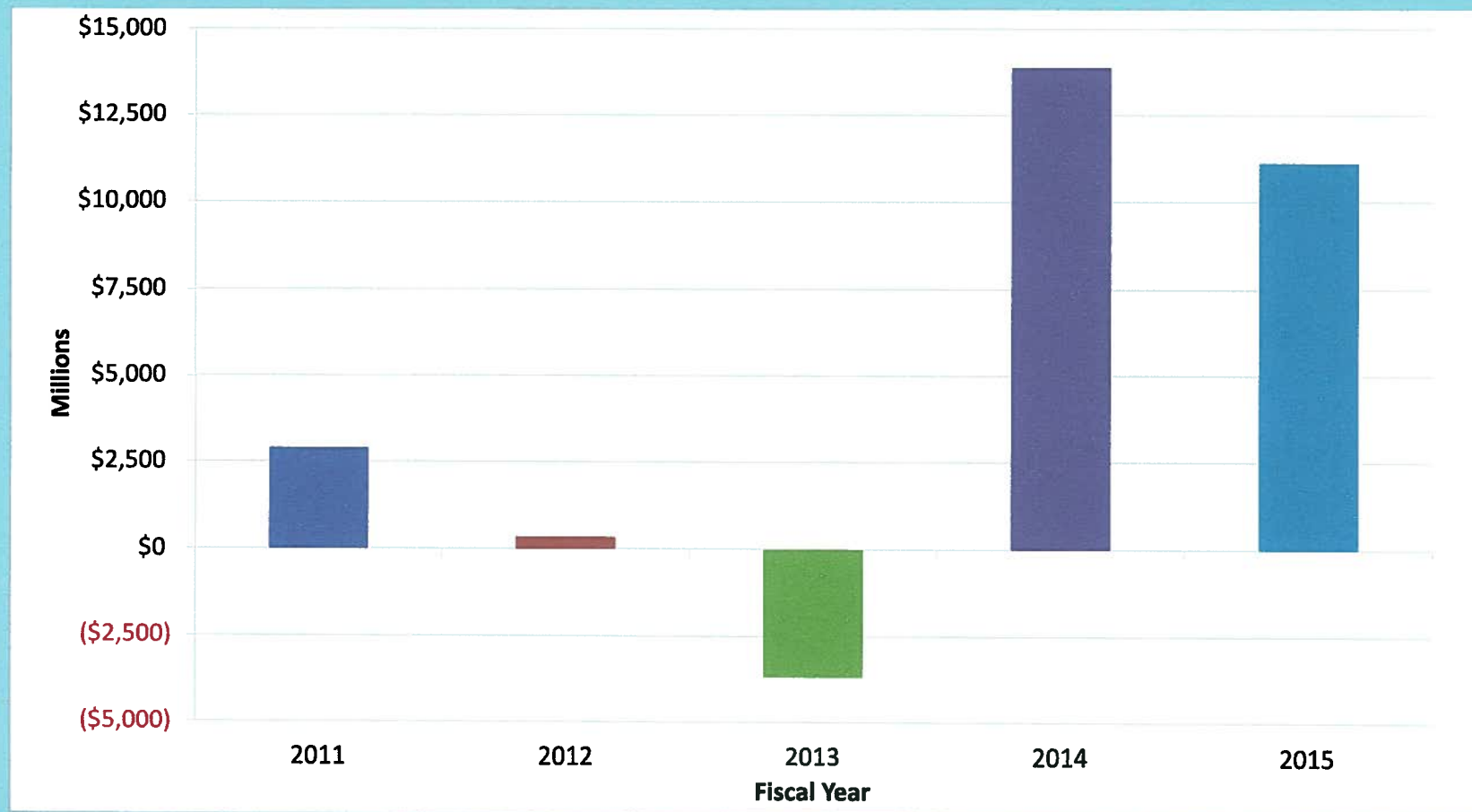
UNIVERSITY HSC



Expected	FY 2011 3rd Quarter	FY 2012 3rd Quarter	FY 2013 3rd Quarter	FY 2014 3rd Quarter	FY 2015 3rd Quarter	FY 2015 May 31st YTD
↑	\$1,150	(\$3,736)	(\$1,717)	(\$413)	\$5,435	\$11,159

UNM Health Sciences Center
Metrics - Preliminary and Unaudited
Net Revenue less Expense
(Amounts are in thousands)

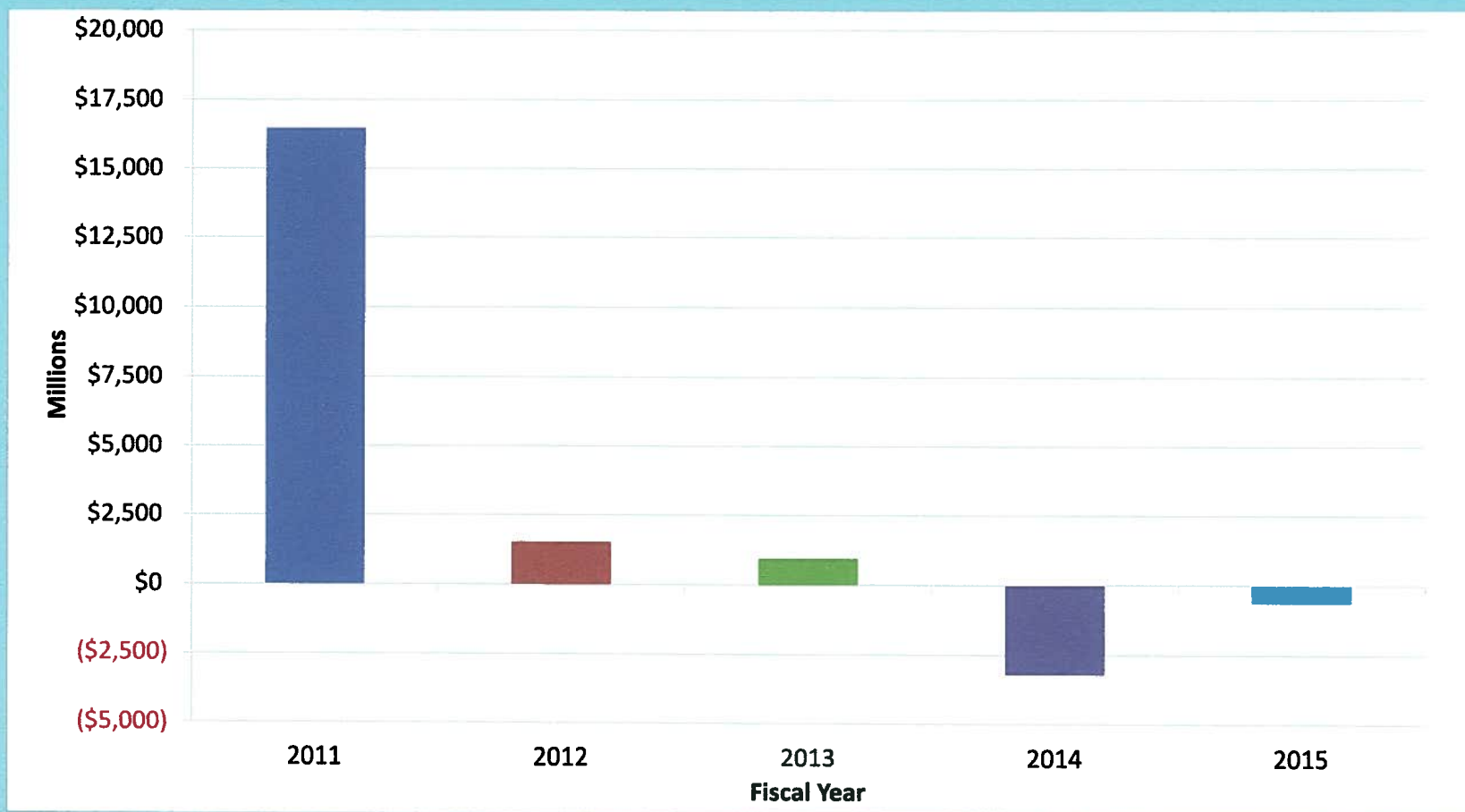
UNM HOSPITALS



Expected	FY 2011 3rd Quarter	FY 2012 3rd Quarter	FY 2013 3rd Quarter	FY 2014 3rd Quarter	FY 2015 3rd Quarter	FY 2015 May 31st YTD
↑	\$2,882	\$349	(\$3,695)	\$13,878	\$11,096	\$16,026

UNM Health Sciences Center
Metrics - Preliminary and Unaudited
Net Revenue less Expense
(Amounts are in thousands)

UNM MEDICAL GROUP



Expected	FY 2011 3rd Quarter	FY 2012 3rd Quarter	FY 2013 3rd Quarter	FY 2014 3rd Quarter	FY 2015 3rd Quarter	FY 2015 May 31st YTD
↑	\$16,464	\$1,511	\$949	(\$3,198)	(\$609)	\$388

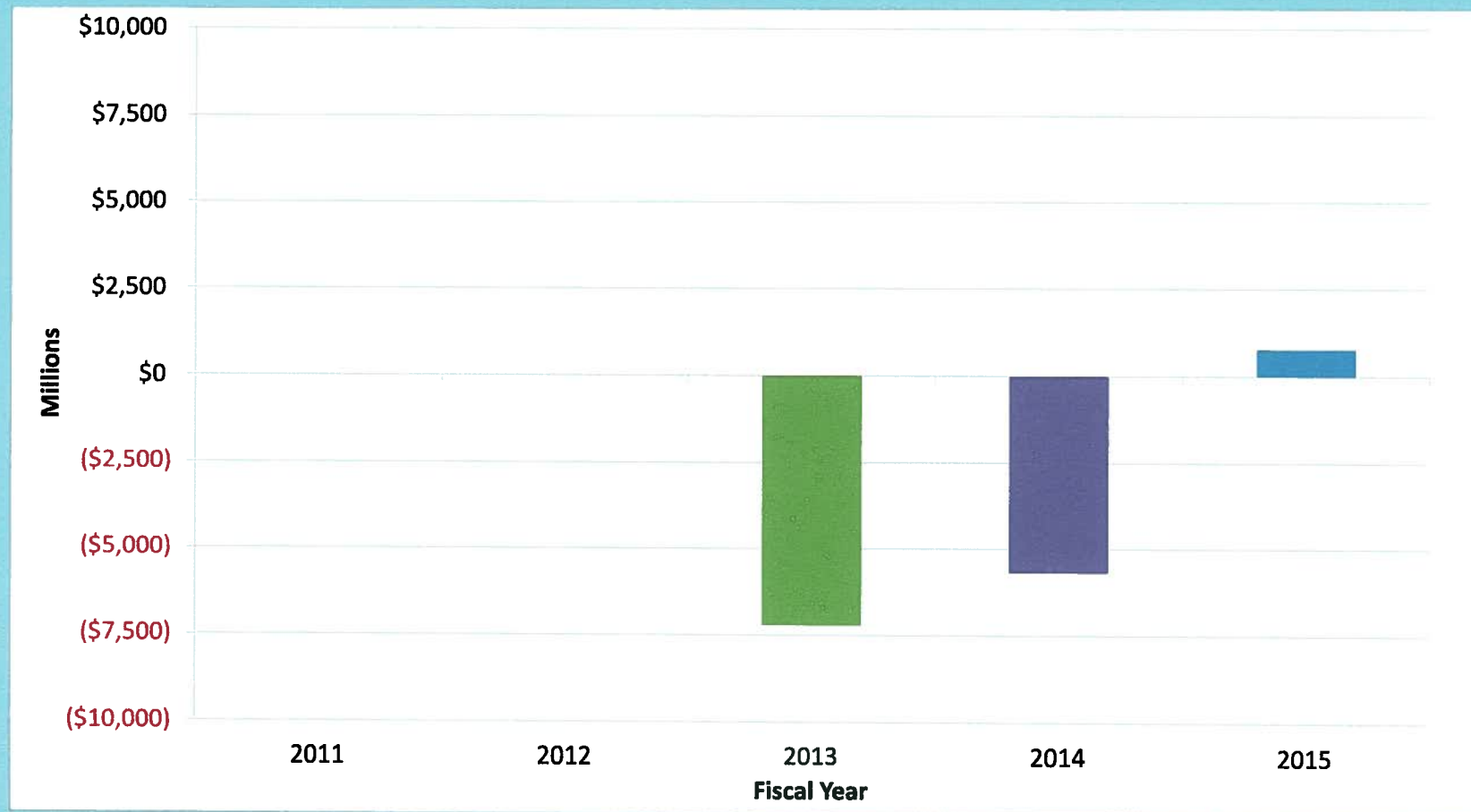
UNM Health Sciences Center

Metrics - Preliminary and Unaudited

Net Revenue less Expense

(Amounts are in thousands)

SANDOVAL REGIONAL MEDICAL CENTER



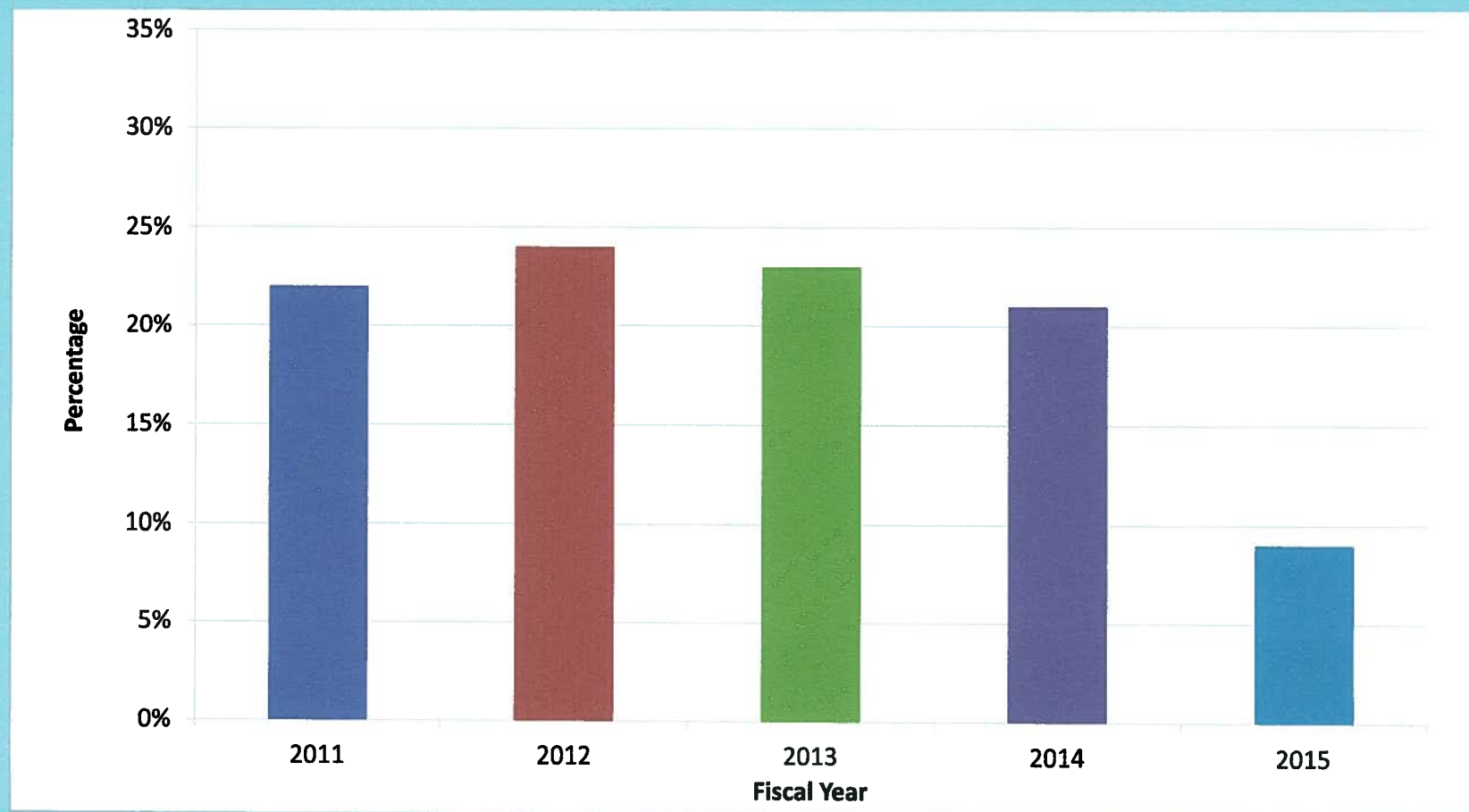
Expected	FY 2011 3rd Quarter	FY 2012 3rd Quarter	FY 2013 3rd Quarter	FY 2014 3rd Quarter	FY 2015 3rd Quarter	FY 2015 May 31st YTD
↑	N/A	N/A	(\$7,219)	(\$5,686)	\$761	\$1,392

UNM Health Sciences Center

Metrics - Preliminary and Unaudited

Uncompensated Care as a Percentage of Total Patient Care

UNM HEALTH SYSTEM



Expected



FY 2011

22%

FY 2012

24%

FY 2013

23%

FY 2014

21%

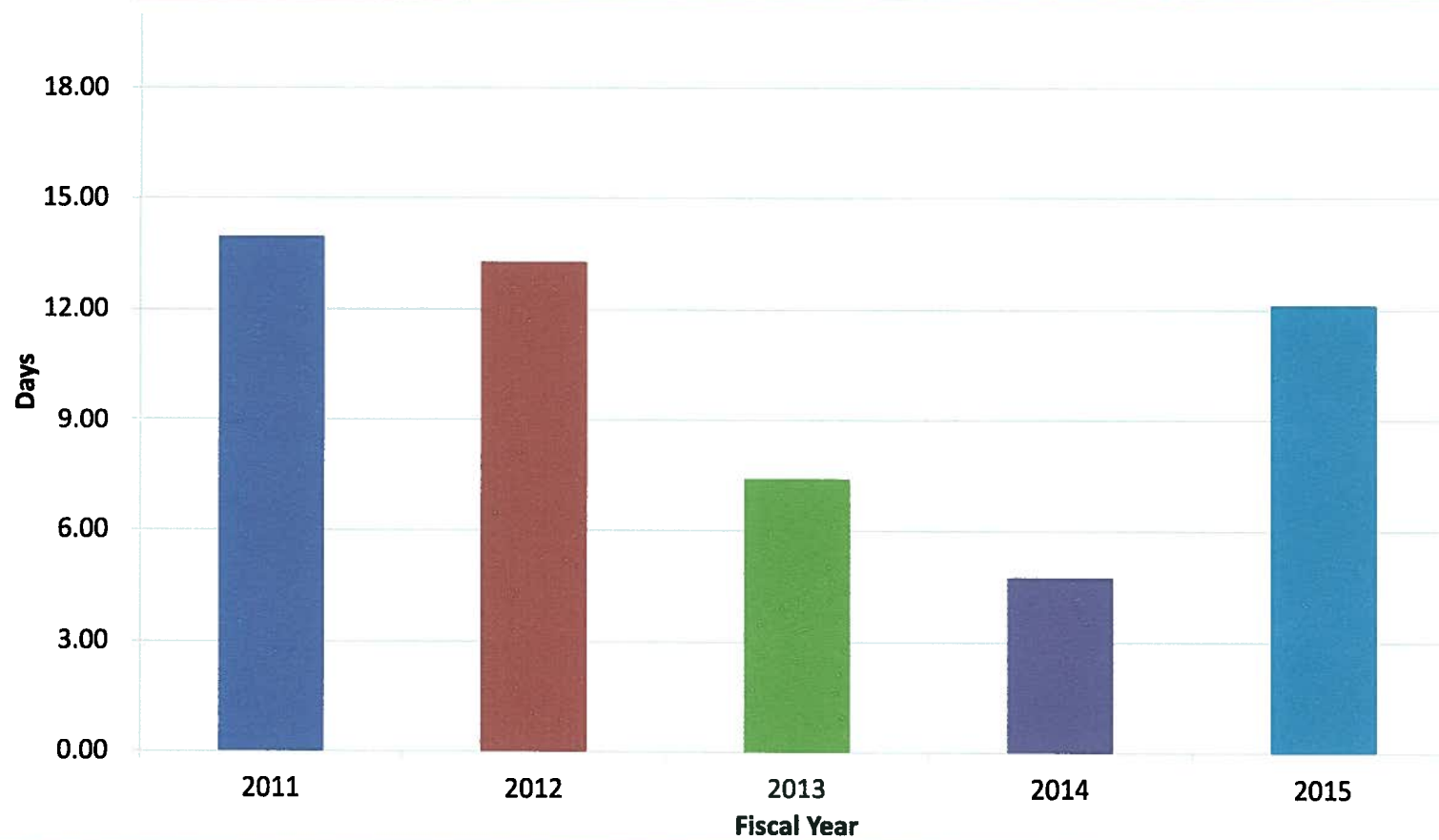
FY 2015
3rd Quarter YTD

9%

FY 2015
May 31st YTD

8%

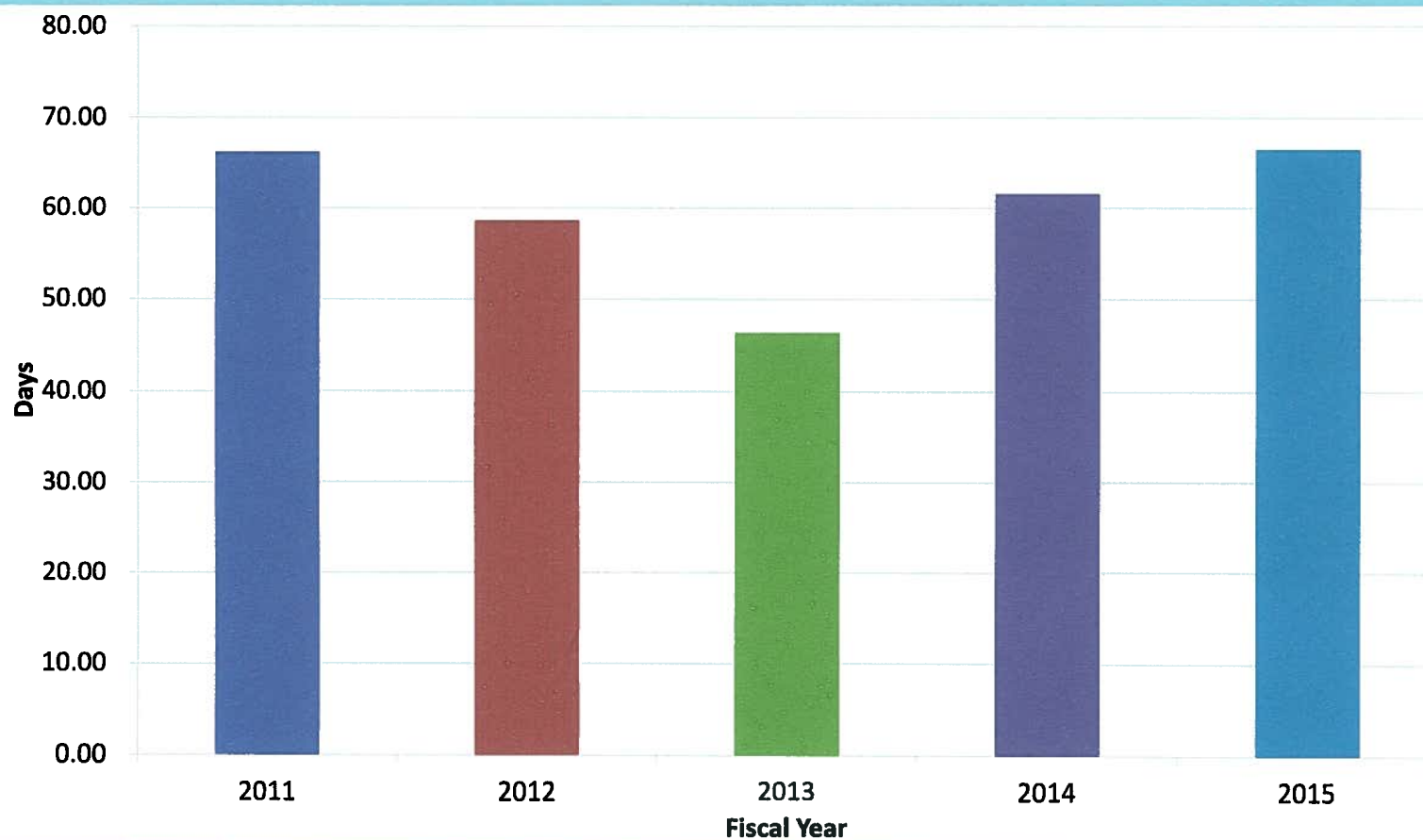
UNIVERSITY HSC



Expected	FY 2011 3rd Quarter	FY 2012 3rd Quarter	FY 2013 3rd Quarter	FY 2014 3rd Quarter	FY 2015 3rd Quarter	FY 2015 May 31st
↑	13.96	13.28	7.39	4.76	12.12	15.77

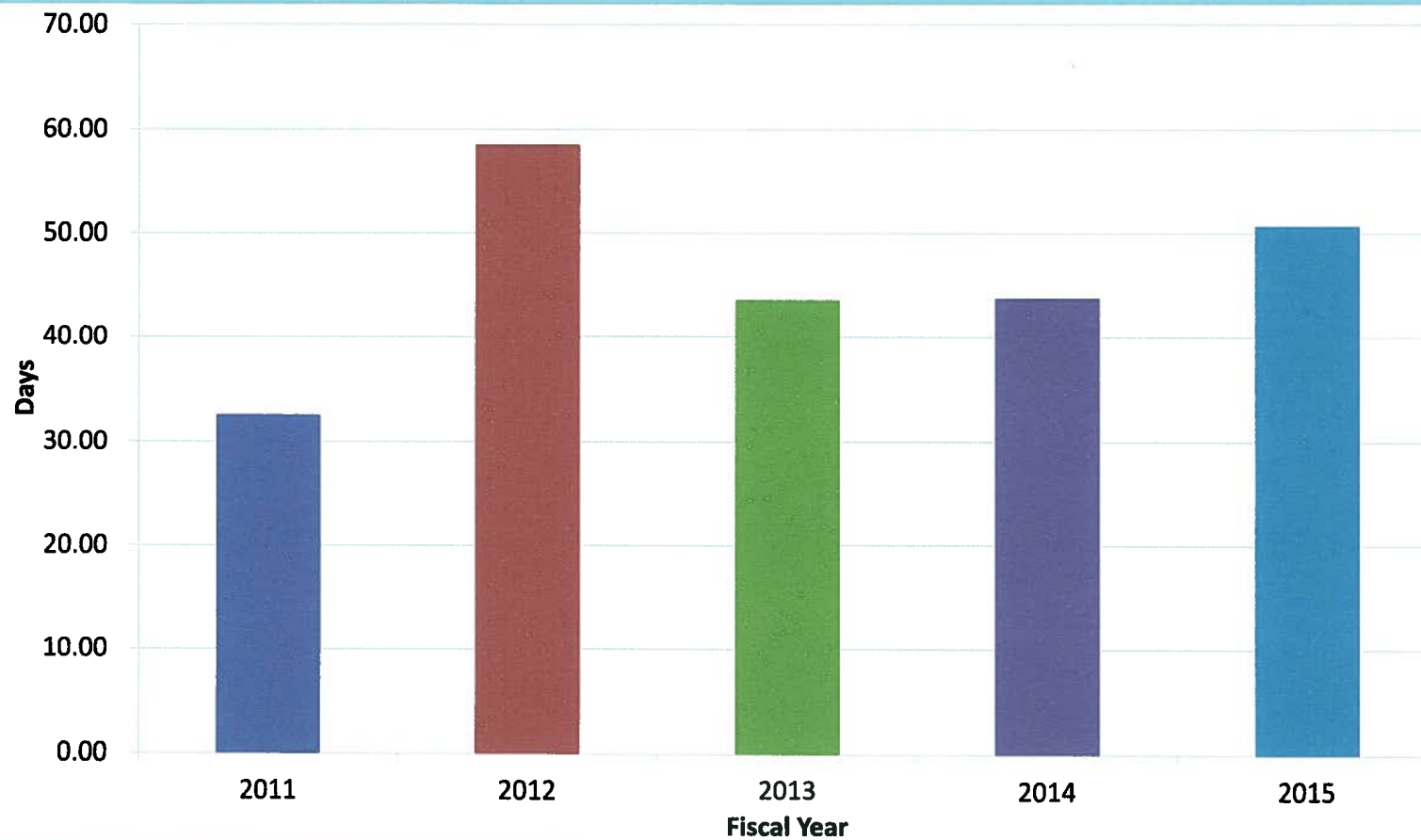
Days of Expense in Discretionary Reserves - Benchmark - 10 Days

UNM HOSPITALS



Expected	FY 2011 3rd Quarter	FY 2012 3rd Quarter	FY 2013 3rd Quarter	FY 2014 3rd Quarter	FY 2015 3rd Quarter	FY 2015 May 31st
↑	66.20	58.62	46.34	61.52	66.51	63.36

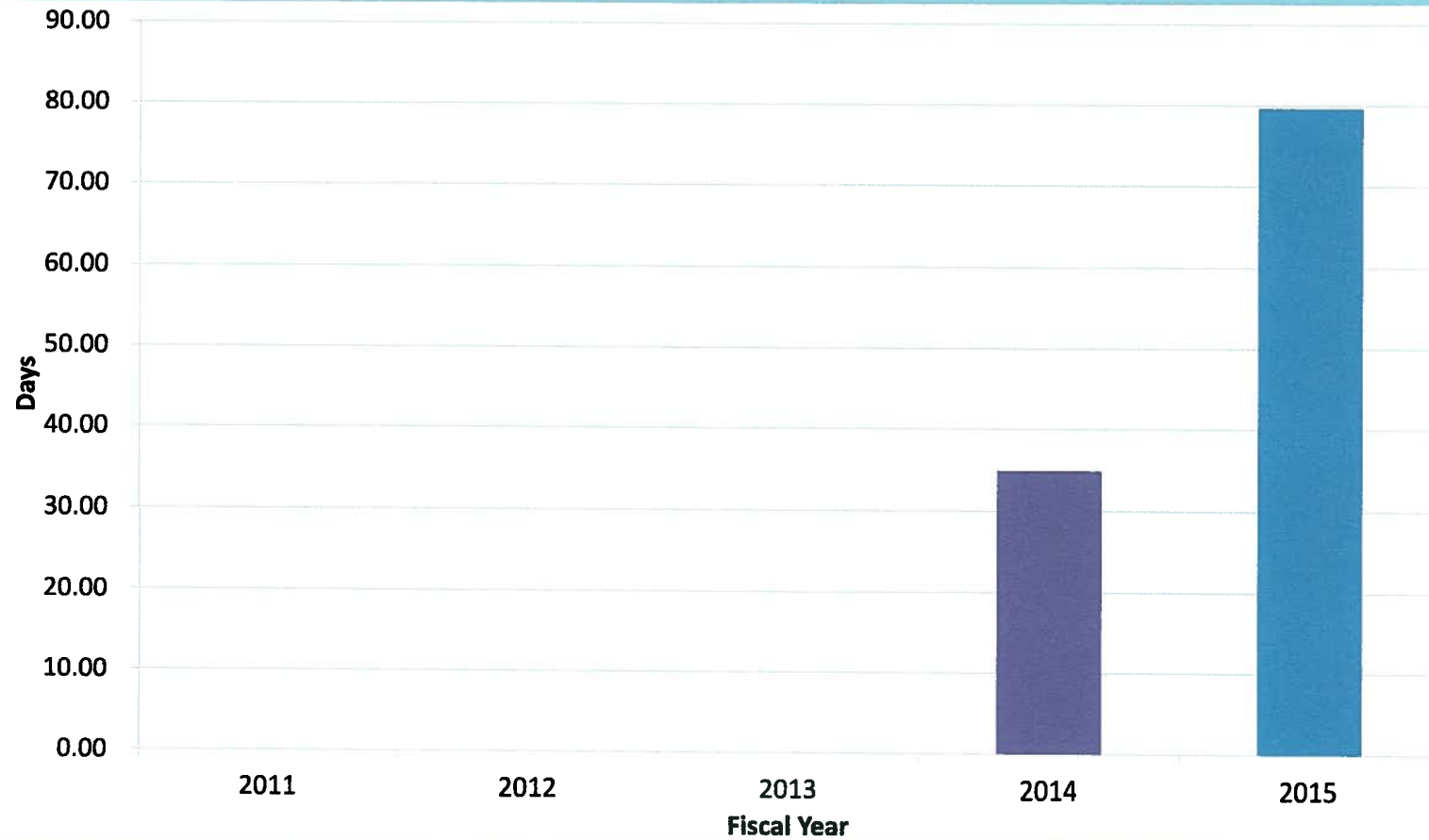
UNM MEDICAL GROUP



Expected	FY 2011 3rd Quarter	FY 2012 3rd Quarter	FY 2013 3rd Quarter	FY 2014 3rd Quarter	FY 2015 3rd Quarter	FY 2015 May 31st
↑	32.50	58.50	43.58	43.76	50.68	37.82

American College of Medical Practice Executives - Benchmark 30 Days

SANDOVAL REGIONAL MEDICAL CENTER



Expected	FY 2011 3rd Quarter	FY 2012 3rd Quarter	FY 2013 3rd Quarter	FY 2014 3rd Quarter	FY 2015 3rd Quarter	FY 2015 May 31st
↑	N/A	N/A	N/A	34.90	79.61	88.06

University Health System Consortium - Benchmark 75 Days

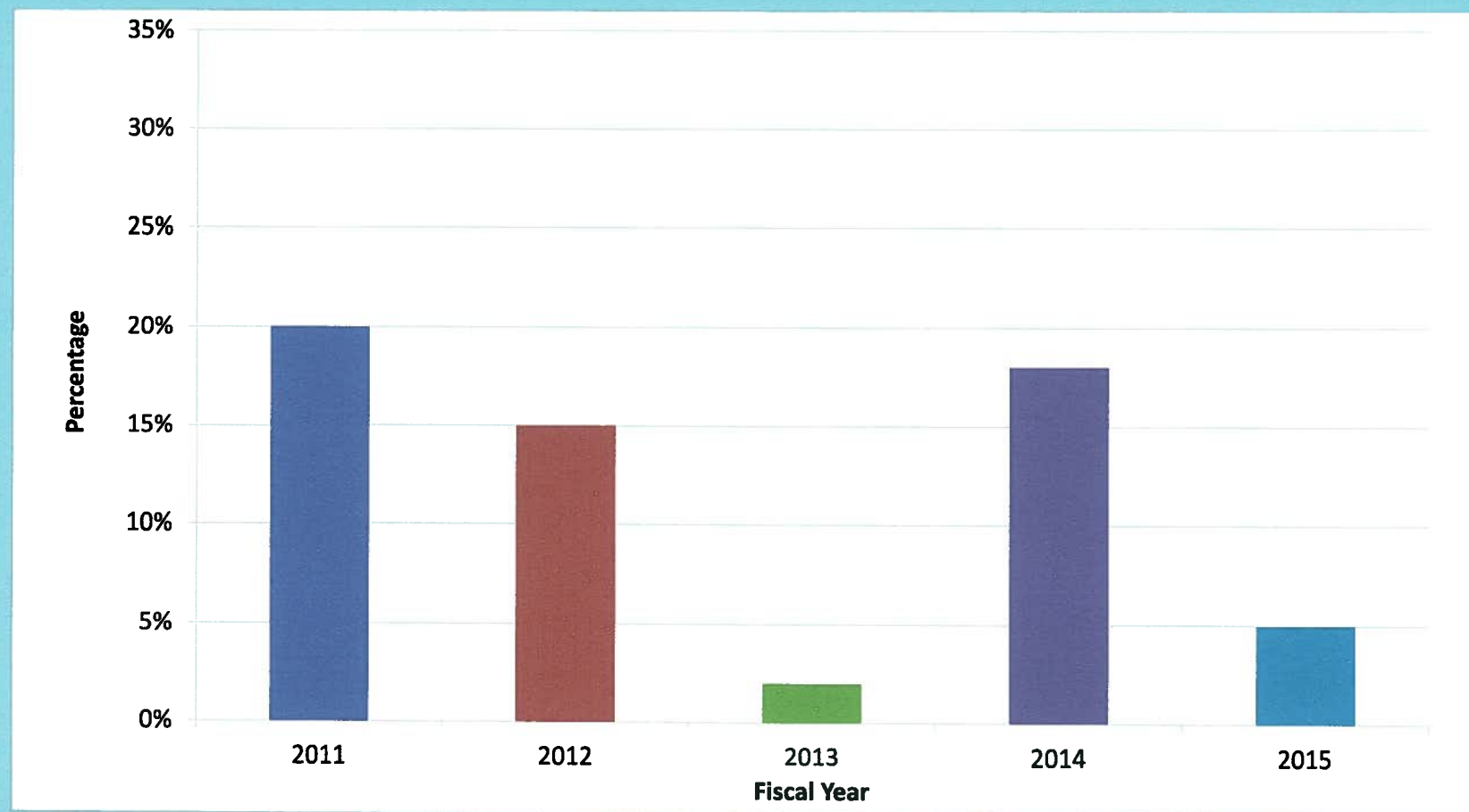
UNM Health Sciences Center

Metrics - Preliminary and Unaudited

Accounts Receivable Greater Than Ninety Days

(Amounts are in thousands)

UNIVERSITY HSC



Expected	FY 2011 3rd Quarter	FY 2012 3rd Quarter	FY 2013 3rd Quarter	FY 2014 3rd Quarter	FY 2015 3rd Quarter	FY 2015 May 31st
↓	\$1,160 20%	\$1,615 15%	\$248 2%	\$275 18%	\$108 5%	\$680 21%

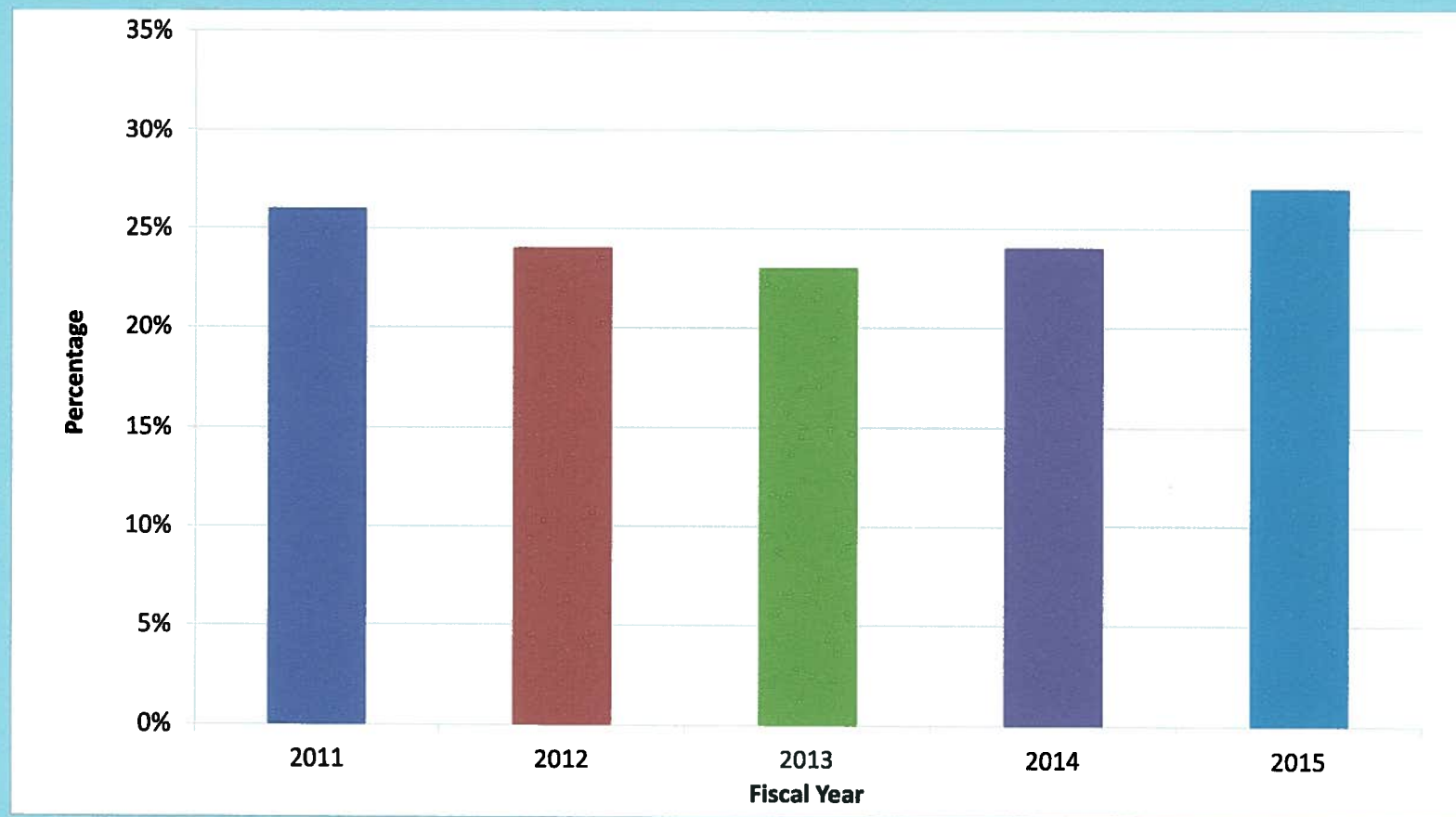
UNM Health Sciences Center

Metrics - Preliminary and Unaudited

Accounts Receivable Greater Than Ninety Days

(Amounts are in thousands)

UNM HOSPITALS



Expected	FY 2011 3rd Quarter	FY 2012 3rd Quarter	FY 2013 3rd Quarter	FY 2014 3rd Quarter	FY 2015 3rd Quarter	FY 2015 May 31st
	\$60,776	\$58,369	\$51,080	\$66,130	\$88,419	\$77,076
	26%	24%	23%	24%	27%	26%

UNM Hospitals Accounts Receivable Greater Than Ninety Days excludes liens

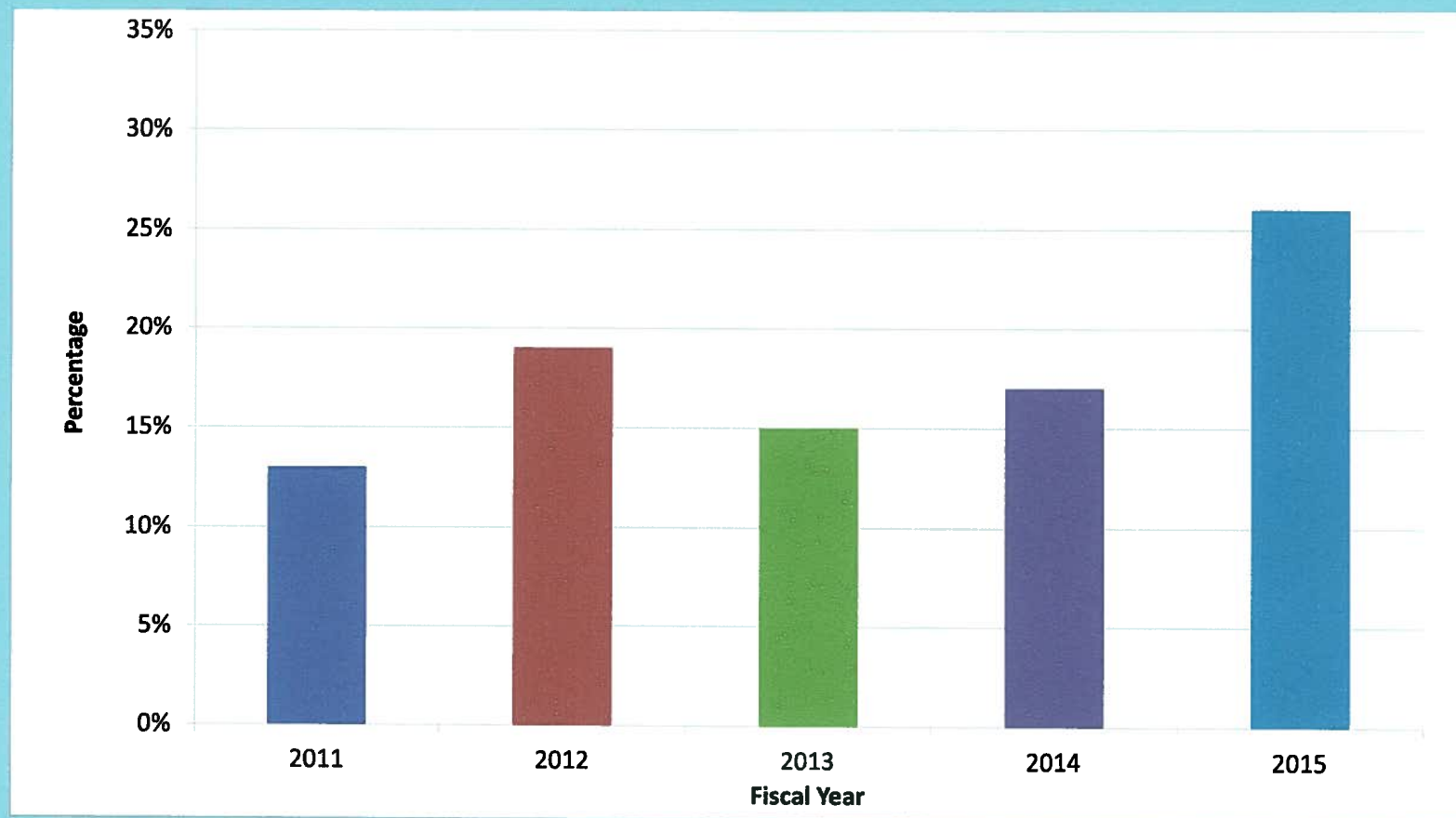
UNM Health Sciences Center

Metrics - Preliminary and Unaudited

Accounts Receivable Greater Than Ninety Days

(Amounts are in thousands)

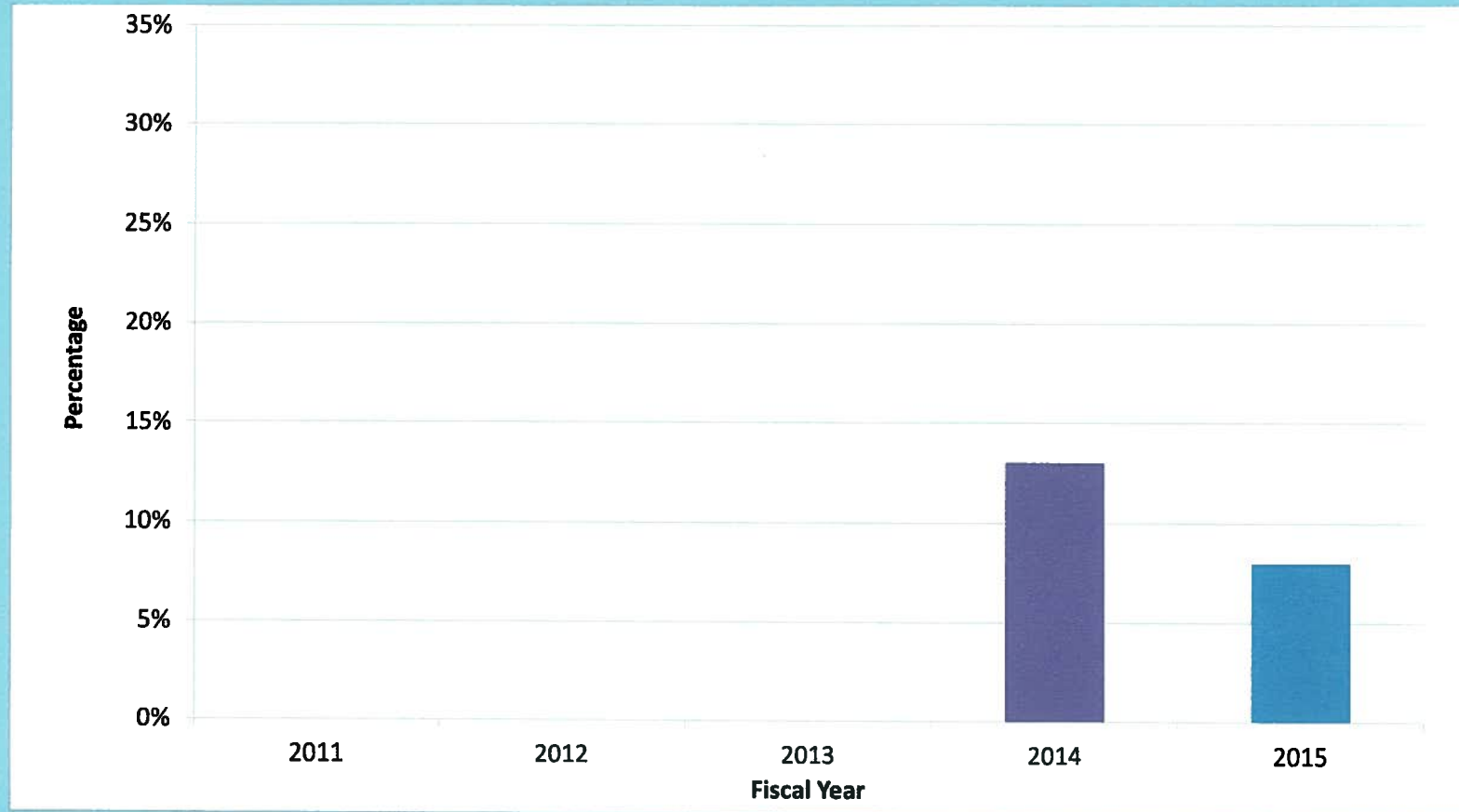
UNM MEDICAL GROUP



Expected	FY 2011 3rd Quarter	FY 2012 3rd Quarter	FY 2013 3rd Quarter	FY 2014 3rd Quarter	FY 2015 3rd Quarter	FY 2015 May 31st
↓	\$3,479 13%	\$6,235 19%	\$4,466 15%	\$6,394 17%	\$11,554 26%	\$10,616 24%

UNM Health Sciences Center
Metrics - Preliminary and Unaudited
Accounts Receivable Greater Than Ninety Days
 (Amounts are in thousands)

SANDOVAL REGIONAL MEDICAL CENTER



Expected	FY 2011 3rd Quarter	FY 2012 3rd Quarter	FY 2013 3rd Quarter	FY 2014 3rd Quarter	FY 2015 3rd Quarter	FY 2015 May 31st
↓	N/A	N/A	N/A	\$852 13%	\$627 8%	\$639 8%

SRMC Accounts Receivable Greater Than Ninety Days reflects Net Accounts Receivable - all other receivables are shown at Gross

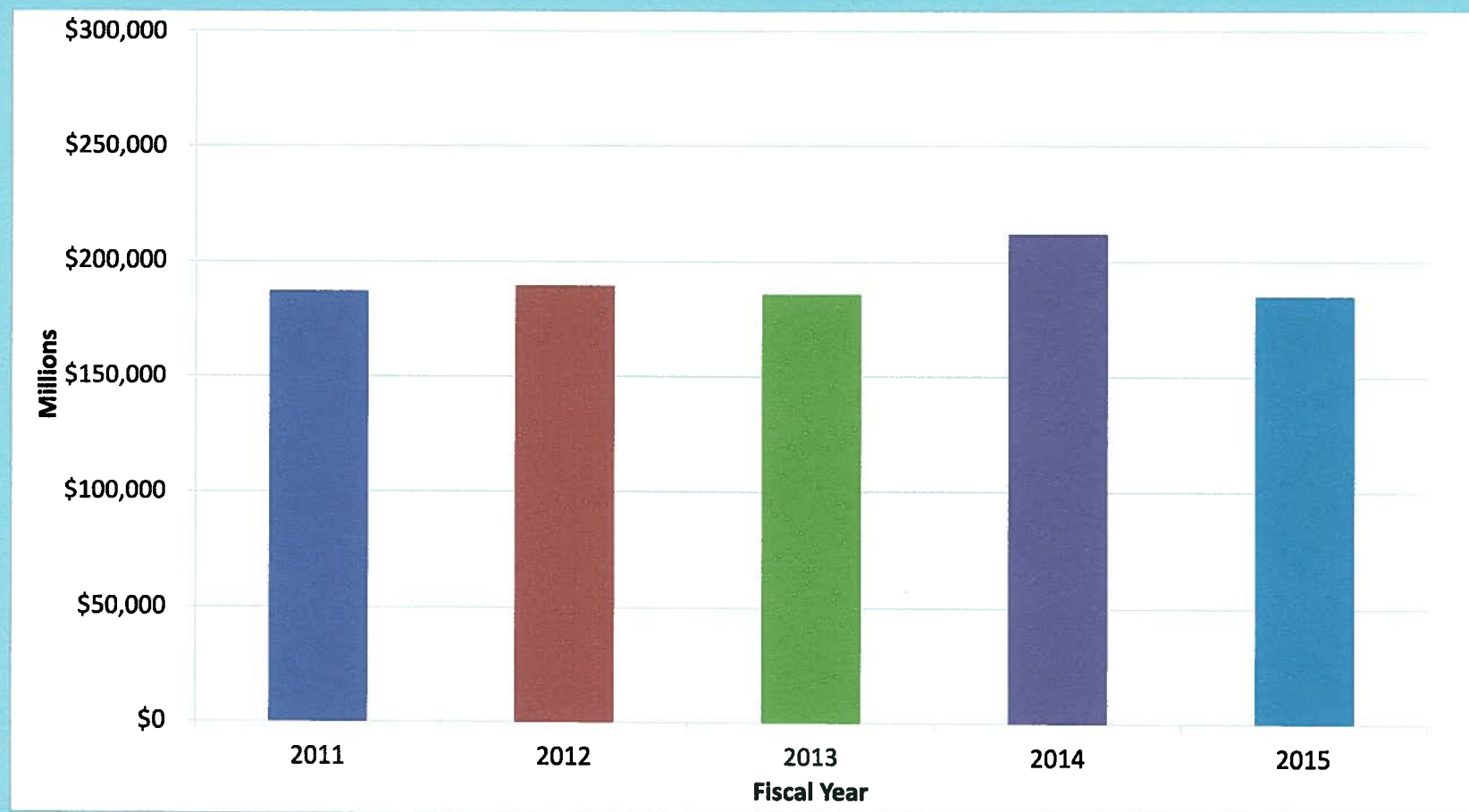
UNM Health Sciences Center

Metrics - Preliminary and Unaudited

Proposals Submitted

(Amounts are in thousands)

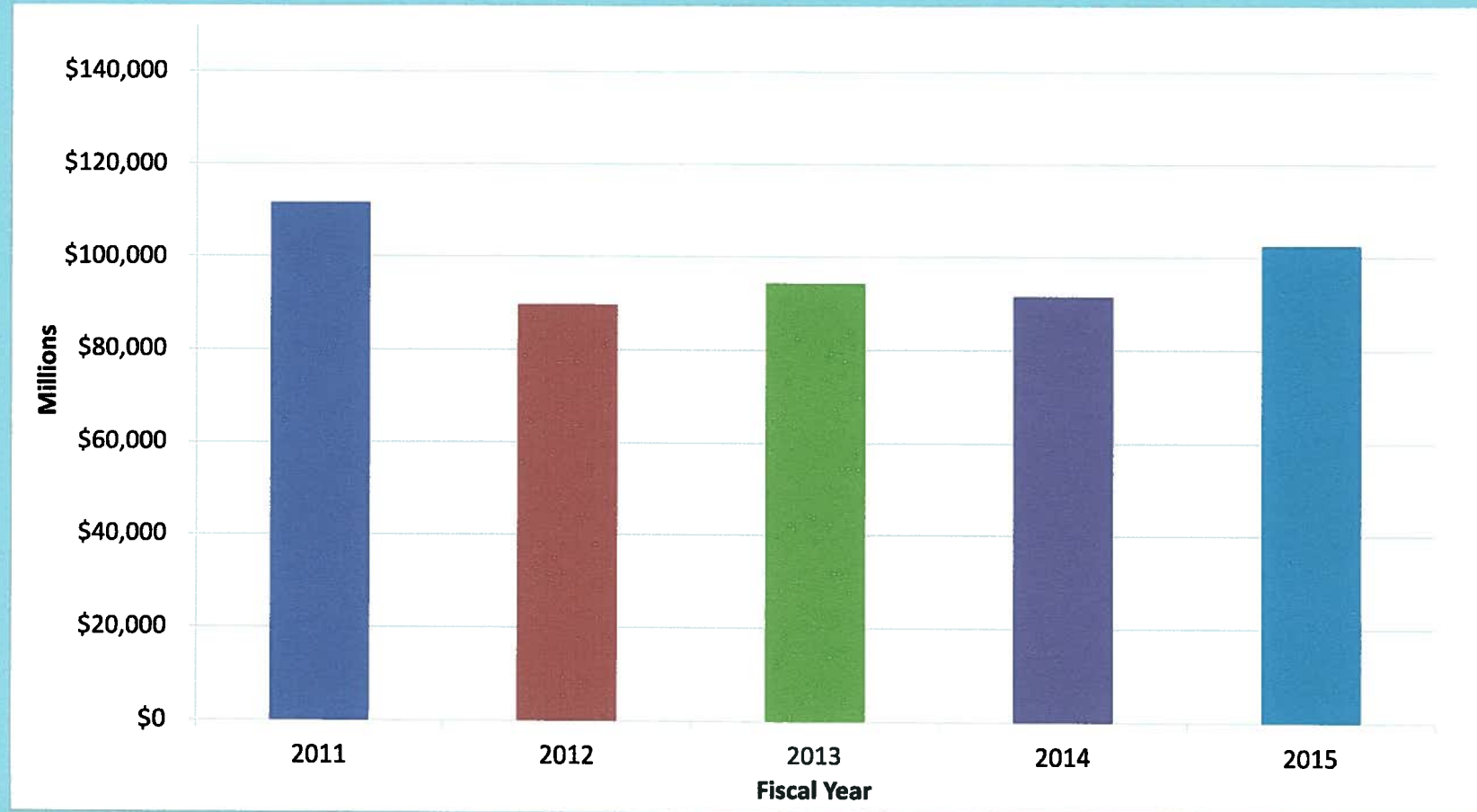
UNIVERSITY HSC



Expected	FY 2011 3rd Quarter	FY 2012 3rd Quarter	FY 2013 3rd Quarter	FY 2014 3rd Quarter	FY 2015 3rd Quarter	FY 2015 May 31st YTD
↑	\$186,997	\$189,292	\$185,768	\$211,684	\$184,927	\$245,714

UNM Health Sciences Center
Metrics - Preliminary and Unaudited
Awards
 (Amounts are in thousands)

UNIVERSITY HSC



Expected	FY 2011 3rd Quarter	FY 2012 3rd Quarter	FY 2013 3rd Quarter	FY 2014 3rd Quarter	FY 2015 3rd Quarter	FY 2015 May 31st YTD
↑	\$111,526	\$89,579	\$94,171	\$91,447	\$102,411	\$127,315

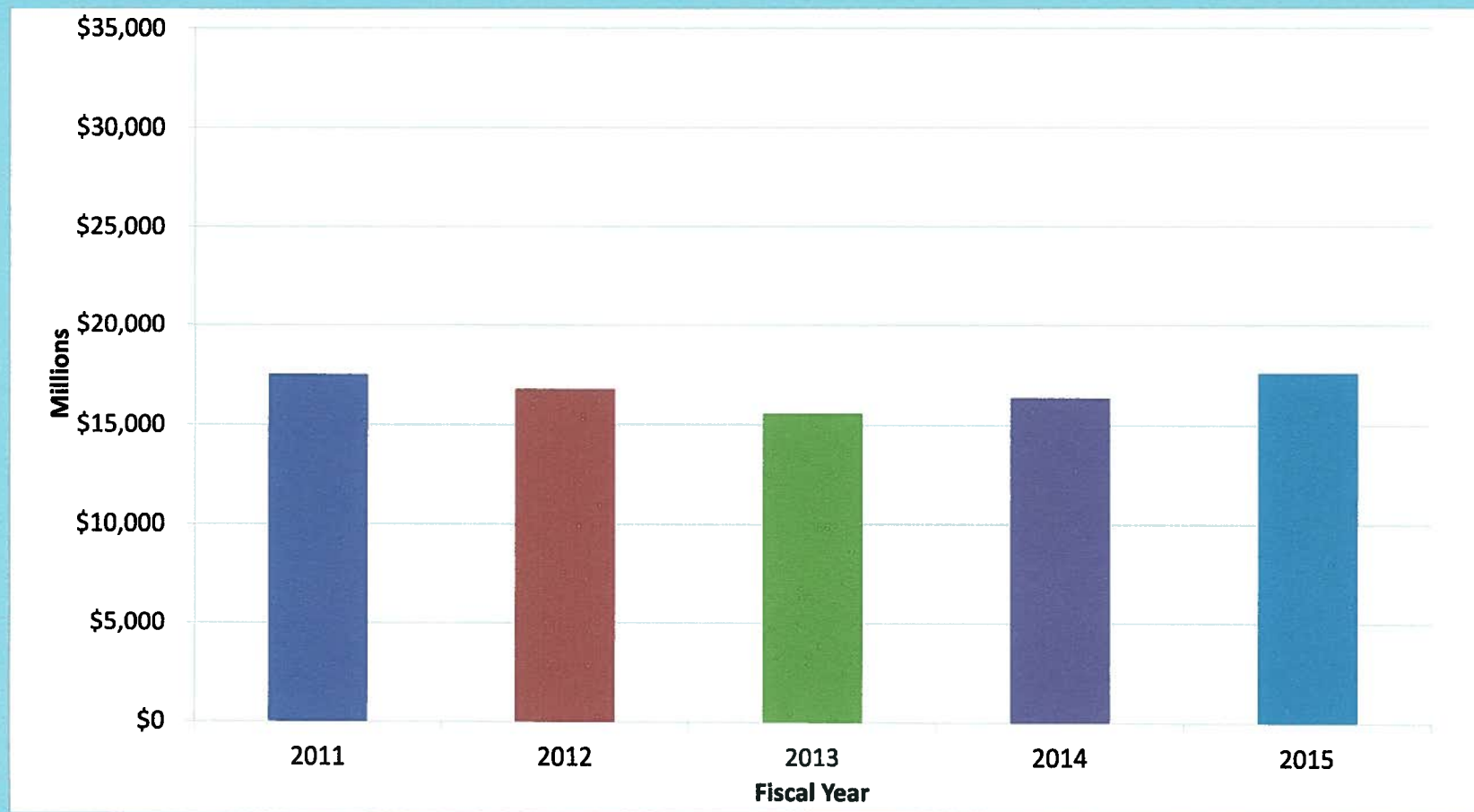
UNM Health Sciences Center

Metrics - Preliminary and Unaudited

Earned F&A

(Amounts are in thousands)

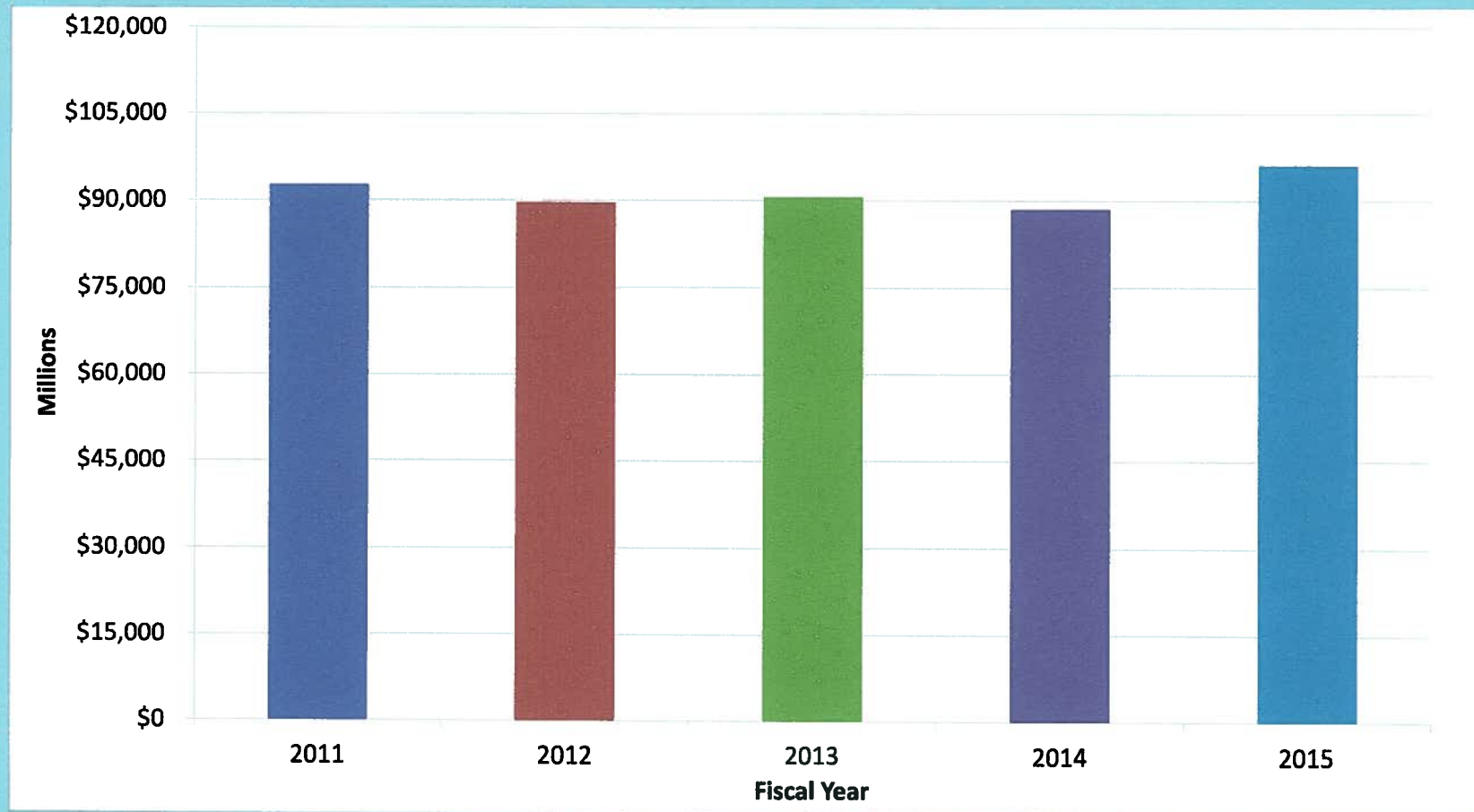
UNIVERSITY HSC



Expected	FY 2011 3rd Quarter	FY 2012 3rd Quarter	FY 2013 3rd Quarter	FY 2014 3rd Quarter	FY 2015 3rd Quarter	FY 2015 May 31st YTD
↑	\$17,506	\$16,770	\$15,568	\$16,338	\$17,574	\$21,720

UNM Health Sciences Center
Metrics - Preliminary and Unaudited
Contract & Grant Expense
(Amounts are in thousands)

UNIVERSITY HSC



Expected	FY 2011 3rd Quarter	FY 2012 3rd Quarter	FY 2013 3rd Quarter	FY 2014 3rd Quarter	FY 2015 3rd Quarter	FY 2015 May 31st YTD
↑	\$92,694	\$89,619	\$90,558	\$88,518	\$96,042	\$118,983

Includes Cost Share, Program Income and F&A Expense



UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER - University HSC Only*
2015 Fiscal Year-to-Date Summary through May 31, 2015 (Preliminary and Unaudited)
(In thousands)

* UNIVERSITY HSC ONLY INCLUDES: SOM, CON, COP, HSLIC, ADMIN, RESEARCH

	University HSC FY 2015 Revised Budget 3/31/2015	University HSC FY 2015 YTD 5/31/2015 Actual	University HSC FY 2014 Year End Actual	University HSC FY 2015 YTD Actual to FY 2015 Re-Forecasted Budget Benchmark Rate (92%)	University HSC FY 2015 YTD Actual to FY 2014 Year End Actual Benchmark Rate (92%)
1 UNM MEDICAL GROUP REVENUES	122,961	113,267	106,236	92%	107%
2 UNM HOSPITALS REVENUES	132,642	124,879	126,718	94%	99%
3 SRMC REVENUES	20,387	17,857	15,912	88%	112%
4 TUITION AND FEES	15,173	15,686	14,806	103%	106%
5 CIGARETTE TAX APPROPRIATIONS	3,949	3,637	3,932	92%	92%
6 RPSP APPROPRIATIONS	19,689	18,049	17,902	92%	101%
7 I&G APPROPRIATIONS	64,907	59,498	61,566	92%	97%
8 I&G MAIN CAMPUS TRANSFERS	19,900	18,267	18,518	92%	99%
9 F&A REVENUES (OH RETURN)	23,500	21,720	22,595	92%	96%
10 HSC/UNM INTERNAL TRANSFERS	(4,871)	(6,148)	(6,229)	126%	99%
11 MILL LEVY	0	0	0	N/A	N/A
12 OTHER REVENUES	35,538	28,806	24,550	81%	117%
13 CONTRACT AND GRANT REVENUES	134,174	126,873	132,502	95%	96%
TOTAL REVENUES	587,949	542,391	539,008	92%	101%
14 TOTAL COMPENSATION EXPENSES	361,842	333,227	337,729	92%	99%
15 SUPPLIES/MEDICAL SUPPLIES	14,032	11,096	11,916	79%	93%
16 UNIVERSITY CLINICIANS PROGRAM	0	0	0	N/A	N/A
17 HOUSESTAFF	0	0	0	N/A	N/A
18 TRAVEL	6,288	4,556	4,700	72%	97%
19 STUDENT COSTS	4,291	2,827	2,422	66%	117%
20 PATIENT CARE COSTS	1,330	1,111	1,431	84%	78%
21 TELEPHONE/COMMUNICATION COSTS	2,277	2,084	2,257	92%	92%
22 PURCHASED SERVICES	35,490	30,538	26,891	86%	114%
23 OTHER MEDICAL SERVICES	0	0	0	N/A	N/A
24 SUB AWARDS/SERVICE CONTRACTS	988	1,224	1,134	124%	108%
25 O&M & LEASES	7,467	6,208	7,461	83%	83%
26 UTILITIES	4,966	4,719	4,801	95%	98%
27 DEPRECIATION	0	0	0	N/A	N/A
28 INTEREST EXPENSE	2,284	2,084	2,169	91%	96%
29 OTHER EXPENSES	9,043	2,275	2,958	25%	77%
30 CONTRACT AND GRANT EXPENSES	130,054	118,983	124,136	91%	96%
TOTAL EXPENSES	580,352	520,932	530,005	90%	98%
OPERATING NET MARGIN	7,597	21,459	9,003		
NON-RECURRING ITEMS					
31 CAPITAL EXPENDITURES	2,865	1,270	2,030	44%	63%
32 MEANINGFUL USE REVENUE	0	0	0	N/A	N/A
33 RETURN ON INVESTMENT - TRIWEST	0	0	0	N/A	N/A
34 USE OF UNMMG RESERVES	0	0	0	N/A	N/A
35 RECRUITMENT/STARTUP/SCHOLARSHIPS	1,908	1,756	6,225	92%	28%
36 NON-RECURRING TRANSFERS	4,867	7,274	4,440	149%	164%
TOTAL NON-RECURRING ITEMS	9,640	10,300	12,695	107%	81%
NET INCOME/(USE OF RESERVES)	(2,043)	11,159	(3,692)		



UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER - UNM Hospitals Only
2015 Fiscal Year-to-Date Summary through May 31, 2015 (Preliminary and Unaudited)
(In thousands)

Clinical Operations

	UNM Hospitals FY 2015 Revised Budget 3/31/2015	UNM Hospitals FY 2015 YTD 5/31/2015 Actual	UNM Hospitals FY 2014 Year End Actual	UNM Hospitals FY 2015 YTD Actual to FY 2015 Re-Forecasted Budget Benchmark Rate (92%)	UNM Hospitals FY 2015 YTD Actual to FY 2014 Year End Actual Benchmark Rate (92%)
1 UNM MEDICAL GROUP REVENUES	0	0	0	N/A	N/A
2 UNM HOSPITALS REVENUES	836,613	799,225	709,438	96%	113%
3 SRMC REVENUES	0	0	0	N/A	N/A
4 TUITION AND FEES	0	0	0	N/A	N/A
5 CIGARETTE TAX APPROPRIATIONS	0	0	0	N/A	N/A
6 RPSP APPROPRIATIONS	13,117	12,024	12,520	92%	96%
7 I&G APPROPRIATIONS	0	0	0	N/A	N/A
8 I&G MAIN CAMPUS TRANSFERS	0	0	0	N/A	N/A
9 F&A REVENUES (OH RETURN)	0	0	0	N/A	N/A
10 HSC/UNM INTERNAL TRANSFERS	0	0	0	N/A	N/A
11 MILL LEVY	92,780	85,048	92,020	92%	92%
12 OTHER REVENUES	27,313	25,979	23,062	95%	113%
13 CONTRACT AND GRANT REVENUES	3,083	3,036	3,569	98%	85%
TOTAL REVENUES	972,906	925,312	840,609	95%	110%
14 TOTAL COMPENSATION EXPENSES	457,179	399,397	408,780	87%	98%
15 SUPPLIES/MEDICAL SUPPLIES	151,215	138,547	141,923	92%	98%
16 UNIVERSITY CLINICIANS PROGRAM	69,553	66,707	59,387	96%	112%
17 HOUSESTAFF	28,084	25,966	25,934	92%	100%
18 TRAVEL	636	591	691	93%	86%
19 STUDENT COSTS	0	0	0	N/A	N/A
20 PATIENT CARE COSTS	36,960	33,376	46,357	90%	72%
21 TELEPHONE/COMMUNICATION COSTS	3,847	3,468	3,598	90%	96%
22 PURCHASED SERVICES	51,210	43,994	33,106	86%	133%
23 OTHER MEDICAL SERVICES	33,904	32,539	27,692	96%	118%
24 SUB AWARDS/SERVICE CONTRACTS	9,772	9,036	9,322	92%	97%
25 O&M & LEASES	18,572	16,072	16,498	87%	97%
26 UTILITIES	6,738	6,152	6,316	91%	97%
27 DEPRECIATION	33,069	31,004	31,831	94%	97%
28 INTEREST EXPENSE	7,375	6,899	7,567	94%	91%
29 OTHER EXPENSES	32,572	31,228	26,478	96%	118%
30 CONTRACT AND GRANT EXPENSES	0	0	0	N/A	N/A
TOTAL EXPENSES	940,686	844,976	845,480	90%	100%
OPERATING NET MARGIN	32,220	80,336	(4,871)		
NON-RECURRING ITEMS					
31 CAPITAL EXPENDITURES	37,360	78,482	26,000	210%	302%
32 MEANINGFUL USE REVENUE	0	0	0	N/A	N/A
33 RETURN ON INVESTMENT - TRIWEST	(12,030)	(14,172)	(39,873)	118%	36%
34 USE OF UNMMG RESERVES	0	0	0	N/A	N/A
35 RECRUITMENT/STARTUP/SCHOLARSHIPS	0	0	0	N/A	N/A
36 NON-RECURRING TRANSFERS	0	0	0	N/A	N/A
TOTAL NON-RECURRING ITEMS	25,330	64,310	(13,873)	254%	-464%
NET INCOME/(USE OF RESERVES)	6,890	16,026	9,002		



UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER - UNMMG Only
2015 Fiscal Year-to-Date Summary through May 31, 2015 (Preliminary and Unaudited)
(In thousands)

Clinical Operations

	UNMMG FY 2015 Revised Budget 3/31/2015	UNMMG FY 2015 YTD 5/31/2015 Actual	UNMMG FY 2014 Year End Actual	UNMMG FY 2015 YTD Actual to FY 2015 Re-Forecasted Budget Benchmark Rate (92%)	UNMMG FY 2015 YTD Actual to FY 2014 Year End Actual Benchmark Rate (92%)
1 UNM MEDICAL GROUP REVENUES	184,416	171,357	162,835	93%	105%
2 UNM HOSPITALS REVENUES	0	0	0	N/A	N/A
3 SRMC REVENUES	0	0	0	N/A	N/A
4 TUITION AND FEES	0	0	0	N/A	N/A
5 CIGARETTE TAX APPROPRIATIONS	0	0	0	N/A	N/A
6 RPSP APPROPRIATIONS	813	745	815	92%	91%
7 I&G APPROPRIATIONS	0	0	0	N/A	N/A
8 I&G MAIN CAMPUS TRANSFERS	0	0	0	N/A	N/A
9 F&A REVENUES (OH RETURN)	0	0	0	N/A	N/A
10 HSC/UNM INTERNAL TRANSFERS	0	0	0	N/A	N/A
11 MILL LEVY	0	0	0	N/A	N/A
12 OTHER REVENUES	8,301	9,883	3,546	119%	279%
13 CONTRACT AND GRANT REVENUES	0	0	0	N/A	N/A
TOTAL REVENUES	193,530	181,985	167,196	94%	109%
14 TOTAL COMPENSATION EXPENSES	17,817	16,056	15,533	90%	103%
15 SUPPLIES/MEDICAL SUPPLIES	0	0	0	N/A	N/A
16 UNIVERSITY CLINICIANS PROGRAM	0	0	0	N/A	N/A
17 HOUSESTAFF	0	0	0	N/A	N/A
18 TRAVEL	0	0	0	N/A	N/A
19 STUDENT COSTS	0	0	0	N/A	N/A
20 PATIENT CARE COSTS	23,360	22,602	19,065	97%	119%
21 TELEPHONE/COMMUNICATION COSTS	0	0	0	N/A	N/A
22 PURCHASED SERVICES	139,595	129,966	115,568	93%	112%
23 OTHER MEDICAL SERVICES	0	0	0	N/A	N/A
24 SUB AWARDS/SERVICE CONTRACTS	0	0	0	N/A	N/A
25 O&M & LEASES	484	444	429	92%	103%
26 UTILITIES	0	0	0	N/A	N/A
27 DEPRECIATION	404	381	393	94%	97%
28 INTEREST EXPENSE	0	0	0	N/A	N/A
29 OTHER EXPENSES	7,252	6,084	5,614	84%	108%
30 CONTRACT AND GRANT EXPENSES	0	0	0	N/A	N/A
TOTAL EXPENSES	188,912	175,533	156,602	93%	112%
OPERATING NET MARGIN	4,618	6,452	10,594		
NON-RECURRING ITEMS					
31 CAPITAL EXPENDITURES	0	0	0	N/A	N/A
32 MEANINGFUL USE REVENUE	0	55	(120)	N/A	-46%
33 RETURN ON INVESTMENT - TRIWEST	0	0	0	N/A	N/A
34 USE OF UNMMG RESERVES	6,903	6,009	11,455	87%	52%
35 RECRUITMENT/STARTUP/SCHOLARSHIPS	0	0	0	N/A	N/A
36 NON-RECURRING TRANSFERS	0	0	0	N/A	N/A
TOTAL NON-RECURRING ITEMS	6,903	6,064	11,335	88%	53%
NET INCOME/(USE OF RESERVES)	(2,285)	388	(741)		



UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER - SRMC Only
2015 Fiscal Year-to-Date Summary through May 31, 2015 (Preliminary and Unaudited)
(In thousands)

Clinical Operations

	SRMC FY 2015 Revised Budget 3/31/2015	SRMC FY 2015 YTD 5/31/2015 Actual	SRMC FY 2014 Year End Actual	SRMC FY 2015 YTD Actual to FY 2015 Re-Forecasted Budget Benchmark Rate (92%)	SRMC FY 2015 YTD Actual to FY 2014 Year End Actual Benchmark Rate (92%)
1 UNM MEDICAL GROUP REVENUES	0	0	0	N/A	N/A
2 UNM HOSPITALS REVENUES	0	0	0	N/A	N/A
3 SRMC REVENUES	71,912	66,258	53,651	92%	123%
4 TUITION AND FEES	0	0	0	N/A	N/A
5 CIGARETTE TAX APPROPRIATIONS	0	0	0	N/A	N/A
6 RPSF APPROPRIATIONS	0	0	0	N/A	N/A
7 I&G APPROPRIATIONS	0	0	0	N/A	N/A
8 I&G MAIN CAMPUS TRANSFERS	0	0	0	N/A	N/A
9 F&A REVENUES (OH RETURN)	0	0	0	N/A	N/A
10 HSC/UNM INTERNAL TRANSFERS	0	0	0	N/A	N/A
11 MILL LEVY	5,997	5,376	7,983	90%	67%
12 OTHER REVENUES	502	426	441	85%	97%
13 CONTRACT AND GRANT REVENUES	0	59	0	N/A	N/A
TOTAL REVENUES	78,411	72,119	62,075	92%	116%
14 TOTAL COMPENSATION EXPENSES	33,972	31,114	25,732	92%	121%
15 SUPPLIES/MEDICAL SUPPLIES	15,319	13,738	12,044	90%	114%
16 UNIVERSITY CLINICIANS PROGRAM	310	331	0	107%	N/A
17 HOUSESTAFF	262	240	117	92%	205%
18 TRAVEL	17	29	20	171%	145%
19 STUDENT COSTS	0	0	0	N/A	N/A
20 PATIENT CARE COSTS	4,229	3,717	4,346	88%	86%
21 TELEPHONE/COMMUNICATION COSTS	90	85	85	94%	100%
22 PURCHASED SERVICES	2,885	2,827	3,902	98%	72%
23 OTHER MEDICAL SERVICES	870	807	369	93%	219%
24 SUB AWARDS/SERVICE CONTRACTS	1,536	1,394	1,377	91%	101%
25 O&M & LEASES	989	824	621	83%	133%
26 UTILITIES	830	755	785	91%	96%
27 DEPRECIATION	9,571	8,789	9,112	92%	96%
28 INTEREST EXPENSE	4,009	3,681	4,090	92%	90%
29 OTHER EXPENSES	2,640	2,396	2,040	91%	117%
30 CONTRACT AND GRANT EXPENSES	0	0	0	N/A	N/A
TOTAL EXPENSES	77,529	70,727	64,640	91%	109%
OPERATING NET MARGIN	882	1,392	(2,565)		
NON-RECURRING ITEMS					
31 CAPITAL EXPENDITURES	0	0	0	N/A	N/A
32 MEANINGFUL USE REVENUE	0	0	0	N/A	N/A
33 RETURN ON INVESTMENT - TRIWEST	0	0	0	N/A	N/A
34 USE OF UNMMG RESERVES	0	0	0	N/A	N/A
35 RECRUITMENT/STARTUP/SCHOLARSHIPS	0	0	0	N/A	N/A
36 NON-RECURRING TRANSFERS	0	0	0	N/A	N/A
TOTAL NON-RECURRING ITEMS	0	0	0	N/A	N/A
NET INCOME/(USE OF RESERVES)	882	1,392	(2,565)		



UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER - Consolidated HSC
2015 Fiscal Year-to-Date Summary through May 31, 2015 (Preliminary and Unaudited)
(In thousands)

* INCLUDES INTERCOMPANY ELIMINATIONS

Clinical and Academic Operations

	Consolidated HSC FY 2015 Revised Budget 3/31/2015	Consolidated HSC FY 2015 YTD 5/31/2015 Actual	Consolidated HSC FY 2014 Year End Actual	Consolidated HSC FY 2015 YTD Actual to FY 2015 Re-Forecasted Budget Benchmark Rate (92%)	Consolidated HSC FY 2015 YTD Actual to FY 2014 Year End Actual Benchmark Rate (92%)
1 UNM MEDICAL GROUP REVENUES *	184,416	171,357	162,416	93%	106%
2 UNM HOSPITALS REVENUES *	838,666	801,697	700,985	96%	114%
3 SRMC REVENUES *	71,913	66,258	53,540	92%	124%
4 TUITION AND FEES	15,173	15,686	14,806	103%	106%
5 CIGARETTE TAX APPROPRIATIONS	3,949	3,637	3,932	92%	92%
6 RPSP APPROPRIATIONS	33,619	30,818	31,237	92%	99%
7 I&G APPROPRIATIONS	64,907	59,498	61,566	92%	97%
8 I&G MAIN CAMPUS TRANSFERS	19,900	18,267	18,518	92%	99%
9 F&A REVENUES (OH RETURN)	23,500	21,720	22,595	92%	96%
10 HSC/UNM INTERNAL TRANSFERS	(4,871)	(6,148)	(6,229)	126%	99%
11 MILL LEVY	98,777	90,424	100,003	92%	90%
12 OTHER REVENUES *	55,362	50,770	51,599	92%	98%
13 CONTRACT AND GRANT REVENUES	137,257	129,968	136,071	95%	96%
TOTAL REVENUES	1,542,568	1,453,952	1,351,039	94%	108%
14 TOTAL COMPENSATION EXPENSES *	598,044	528,482	546,144	88%	97%
15 SUPPLIES/MEDICAL SUPPLIES *	180,566	163,151	165,883	90%	98%
16 UNIVERSITY CLINICIANS PROGRAM	69,863	67,038	59,387	96%	113%
17 HOUSESTAFF	28,346	26,206	26,051	92%	101%
18 TRAVEL	6,941	5,176	5,411	75%	96%
19 STUDENT COSTS	4,291	2,827	2,422	66%	117%
20 PATIENT CARE COSTS	65,879	60,806	71,199	92%	85%
21 TELEPHONE/COMMUNICATION COSTS	6,214	5,637	5,940	91%	95%
22 PURCHASED SERVICES *	211,718	190,866	163,248	90%	117%
23 OTHER MEDICAL SERVICES	34,774	33,346	28,061	96%	119%
24 SUB AWARDS/SERVICE CONTRACTS	12,296	11,654	11,833	95%	98%
25 O&M & LEASES *	27,512	23,694	25,009	86%	95%
26 UTILITIES	12,534	11,626	11,902	93%	98%
27 DEPRECIATION	43,044	40,174	41,336	93%	97%
28 INTEREST EXPENSE	13,668	12,664	13,826	93%	92%
29 OTHER EXPENSES	51,507	41,983	37,090	82%	113%
30 CONTRACT AND GRANT EXPENSES	130,054	118,983	124,136	91%	96%
TOTAL EXPENSES	1,497,251	1,344,313	1,338,878	90%	100%
OPERATING NET MARGIN	45,317	109,639	12,161		
NON-RECURRING ITEMS					
31 CAPITAL EXPENDITURES	40,225	79,752	28,030	198%	285%
32 MEANINGFUL USE REVENUE	0	55	(120)	N/A	-46%
33 RETURN ON INVESTMENT - TRIWEST	(12,030)	(14,172)	(39,873)	118%	36%
34 USE OF UNMMG RESERVES	6,903	6,009	11,455	87%	52%
35 RECRUITMENT/STARTUP/SCHOLARSHIPS	1,908	1,756	6,225	92%	28%
36 NON-RECURRING TRANSFERS	4,867	7,274	4,440	149%	164%
TOTAL NON-RECURRING ITEMS	41,873	80,674	10,157	193%	794%
NET INCOME/(USE OF RESERVES)	3,444	28,965	2,004		



UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER - Consolidated HSC
2015 Fiscal Year-to-Date Summary through May 31, 2015 (Preliminary and Unaudited)
(In thousands)
DOES NOT INCLUDE INTERCOMPANY ELIMINATIONS

Clinical and Academic Operations

	Consolidated HSC FY 2015 Revised Budget 3/31/2015	Consolidated HSC FY 2015 YTD 5/31/2015 Actual	Consolidated HSC FY 2014 Year End Actual	Consolidated HSC FY 2015 YTD Actual to FY 2015 Re-Forecasted Budget Benchmark Rate (92%)	Consolidated HSC FY 2015 YTD Actual to FY 2014 Year End Actual Benchmark Rate (92%)
1 UNM MEDICAL GROUP REVENUES	307,377	284,624	269,071	93%	106%
2 UNM HOSPITALS REVENUES	969,255	924,104	836,156	95%	111%
3 SRMC REVENUES	92,299	84,115	69,563	91%	121%
4 TUITION AND FEES	15,173	15,686	14,806	103%	106%
5 CIGARETTE TAX APPROPRIATIONS	3,949	3,637	3,932	92%	92%
6 RPSP APPROPRIATIONS	33,619	30,818	31,237	92%	99%
7 I&G APPROPRIATIONS	64,907	59,498	61,566	92%	97%
8 I&G MAIN CAMPUS TRANSFERS	19,900	18,267	18,518	92%	99%
9 F&A REVENUES (OH RETURN)	23,500	21,720	22,595	92%	96%
10 HSC/UNM INTERNAL TRANSFERS	(4,871)	(6,148)	(6,229)	126%	99%
11 MILL LEVY	98,777	90,424	100,003	92%	90%
12 OTHER REVENUES	71,654	65,094	51,599	91%	126%
13 CONTRACT AND GRANT REVENUES	137,257	129,968	136,071	95%	96%
TOTAL REVENUES	1,832,796	1,721,807	1,608,888	94%	107%
14 TOTAL COMPENSATION EXPENSES	870,810	779,794	787,774	90%	99%
15 SUPPLIES/MEDICAL SUPPLIES	180,566	163,381	165,883	90%	98%
16 UNIVERSITY CLINICIANS PROGRAM	69,863	67,038	59,387	96%	113%
17 HOUSESTAFF	28,346	26,206	26,051	92%	101%
18 TRAVEL	6,941	5,176	5,411	75%	96%
19 STUDENT COSTS	4,291	2,827	2,422	66%	117%
20 PATIENT CARE COSTS	65,879	60,806	71,199	92%	85%
21 TELEPHONE/COMMUNICATION COSTS	6,214	5,637	5,940	91%	95%
22 PURCHASED SERVICES	229,180	207,325	179,467	90%	116%
23 OTHER MEDICAL SERVICES	34,774	33,346	28,061	96%	119%
24 SUB AWARDS/SERVICE CONTRACTS	12,296	11,654	11,833	95%	98%
25 O&M & LEASES	27,512	23,548	25,009	86%	94%
26 UTILITIES	12,534	11,626	11,902	93%	98%
27 DEPRECIATION	43,044	40,174	41,336	93%	97%
28 INTEREST EXPENSE	13,668	12,664	13,826	93%	92%
29 OTHER EXPENSES	51,507	41,983	37,090	82%	113%
30 CONTRACT AND GRANT EXPENSES	130,054	118,983	124,136	91%	96%
TOTAL EXPENSES	1,787,479	1,612,168	1,596,727	90%	101%
OPERATING NET MARGIN	45,317	109,639	12,161		
NON-RECURRING ITEMS					
31 CAPITAL EXPENDITURES	40,225	79,752	28,030	198%	285%
32 MEANINGFUL USE REVENUE	0	55	(120)	N/A	-46%
33 RETURN ON INVESTMENT - TRIWEST	(12,030)	(14,172)	(39,873)	118%	36%
34 USE OF UNMMG RESERVES	6,903	6,009	11,455	87%	52%
35 RECRUITMENT/STARTUP/SCHOLARSHIPS	1,908	1,756	6,225	92%	28%
36 NON-RECURRING TRANSFERS	4,867	7,274	4,440	149%	164%
TOTAL NON-RECURRING ITEMS	41,873	80,674	10,157	193%	794%
NET INCOME/(USE OF RESERVES)	3,444	28,965	2,004		

GLOSSARY OF REPORT CATEGORIES

FINANCIAL STATEMENT CATEGORY	UNM	UNMMG	UNM Hospitals	SRMC
1 UNM MEDICAL GROUP REVENUES	Clinical revenue primarily based on Physician or Provider efforts and collection through UNMMG	Net patient services revenue including SCI & UPL from UNMMG Clinical operations	N/A	N/A
2 UNM HOSPITALS REVENUES	Revenue from University Hospital to the School of Medicine	N/A	Hospital facility revenue based on patient billings by UNMH	N/A
3 SRMC REVENUES	Revenue from SRMC to the School of Medicine	N/A	N/A	Hospital facility revenue based on patient billings by SRMC
4 TUITION AND FEES	Tuition & Fees, including tuition differentials	N/A	N/A	N/A
5 CIGARETTE TAX APPROPRIATIONS	Revenues received from the State as a calculated portion of Tax Revenue on the sale of tobacco products for use in Cancer Research	N/A	N/A	N/A
6 RPSP APPROPRIATIONS	Revenue received for current operations made available to UNM by act of NM State Legislature on an annual basis for Research, Public Service and Independent Operations	N/A	Revenue received for current operations made available to UNMH by act of NM State Legislature on an annual basis for Research, Public Service and Independent Operations	N/A
7 I&G APPROPRIATIONS	Revenue received for current operations made available to UNM by act of NM State Legislature on an annual basis for I&G operations	N/A	N/A	N/A
8 I&G MAIN CAMPUS TRANSFERS	Partial transfer of tuition and formula workload generated by Nursing, Pharmacy and SOM	N/A	N/A	N/A
9 F & A REVENUES (OH RETURN)	Revenue from recovery of indirect costs (F&A) incurred by a Contract or Grant also known as overhead return	N/A	N/A	N/A
10 HSC/UNM INTERNAL TRANSFERS	All transfers to/from HSC Unrestricted Funds excluding the I&G Main Campus Transfer and Transfers for Debt Service	N/A	N/A	N/A
11 MILL LEVY	N/A	N/A	Mil levy taxes collected on behalf of the Bernalillo County Treasurer and remitted to UNMH as required by the Hospital Funding Act.	Mil levy taxes collected on behalf of the Sandoval County Treasurer and remitted to SRMC as required by the Hospital Funding Act.
12 OTHER REVENUES	All other Revenues - not contained in any other category - Sales & Services (not Internal Service Center P18 sales), Gains on Sponsored Projects, Gifts, Endowment Spending Distributions, Investment Income, etc.	Other operating revenues and revenue received from UNM Hospitals to cover SRMC physician costs.	All other revenues not contained in any other category: Investment income, equity loss in Tricare, donated revenue, gain/loss on sale of assets, food, nutrition, catering & vending revenue, medical records revenue, rent revenue, all other non-operating revenue	All other revenues not contained in any other category: Investment income, donated revenue, gain/loss on sale of assets, food, nutrition, catering & vending revenue, medical records revenue, rent revenue, all other non-operating revenue
13 CONTRACT AND GRANT REVENUES	All Restricted Funds Revenue and Unrestricted Contract Revenue for example VA contracts	N/A	Revenue associated with restricted and unrestricted contracts and grants	N/A
14 TOTAL COMPENSATION EXPENSES	Salary, wages & benefits	Salaries and benefits considered to be operating and certain clinical expenses of UNMMG	Salary, wages & benefits	Salary, wages & benefits

GLOSSARY OF REPORT CATEGORIES

FINANCIAL STATEMENT CATEGORY	UNM	UNMMG	UNM Hospitals	SRMC
15 SUPPLIES/MEDICAL SUPPLIES	Office supplies, computer supplies, dues & memberships, postage charges, recruitment expenses, non capital equipment, computers, food, lab supplies, uniforms, training materials, etc.	N/A	Medical supplies for: lab, radiology, blood, pharmaceuticals, biologics, implantable devices, office supplies, computer supplies, photocopy expense, forms, linen, food, uniforms, and training materials.	Medical supplies for: lab, radiology, blood, pharmaceuticals, biologics, implantable devices, office supplies, computer supplies, photocopy expense, forms, linen, food, uniforms, and training materials.
16 UNIVERSITY CLINICIANS PROGRAM	N/A	N/A	Special clinician program to support SOM	Special clinician program to support SOM
17 HOUSESTAFF	N/A	N/A	Reimbursement of patient care services provided by residents who are employed by SOM.	Reimbursement of patient care services provided by SOM through UNMMG.
18 TRAVEL	Travel - in state, out of state, foreign, business meals, rental vehicles, new employee moving expenses, vehicle fuel etc.	N/A	Travel - in state, out of state, business meals, rental vehicles, flights, ground transportation, vehicle fuel etc.	Travel - in state, out of state, business meals, rental vehicles, flights, ground transportation, vehicle fuel etc.
19 STUDENT COSTS	Student tuition/fee expense, student travel, scholarships/fellowships, housing, insurance for students, student awards, etc.	N/A	N/A	N/A
20 PATIENT CARE COSTS	Patient Care costs for inpatient, outpatient care & lab, pharmacy and x-ray services for patients	Patient Care costs for inpatient, outpatient care & lab, pharmacy and x-ray services for patients	Patient Care costs for inpatient, outpatient care including Tricare laboratory expense, OR instruments, NM Insurance pool premiums, kidney acquisition, step down care (snf) for IV dependent patients, radiation oncology and patient assistance	Patient Care costs for inpatient, outpatient care including Tricare laboratory expense, OR instruments, NM Insurance pool premiums, kidney acquisition, step down care (snf) for IV dependent patients, radiation oncology and patient assistance
21 TELEPHONE/COMMUNICATION COSTS	Telephone, voicemail, cellular, long distance, paging and data networking charges	N/A	Telephone, voicemail, cellular, long distance, paging and data networking charges	Telephone, voicemail, cellular, long distance, paging and data networking charges
22 PURCHASED SERVICES	Alarm fees, internet fees, architectural services, auditing services, printing/copying/binding fees, conference/event fees, honoraria, insurance charges (general liability, professional liability), legal services, electronic journals & books, consultant fees, etc.	Includes payment to UNM SOM for physician and other provider services	Recruitment, professional, legal, auditing, consulting fees, promotional/graphics, IT Hosting Cerner, Siemens and PACS, safety and risk services, equifax, laundry, malpractice and liability insurance, etc.	Recruitment, professional, legal, auditing, consulting fees, promotional/graphics, IT Hosting Cerner, Siemens and PACS, safety and risk services, equifax, laundry, malpractice and liability insurance, etc.
23 OTHER MEDICAL SERVICES	N/A	N/A	Pathology contract, physician services, Executive Medical Directors, Medical Directors, dialysis services for UNM Care patients, OMI MOU, Adult Infusion net	Physician services
24 SUB AWARDS/SERVICE CONTRACTS	Unrestricted Sub Awards, Gain/Loss on Unrestricted Projects	N/A	All service contracts	All service contracts
25 O&M & LEASES	Plant maintenance and repairs, equipment repairs and maintenance, property insurance, auto insurance, facility rent expense	Building maintenance and repairs, equipment repairs and maintenance, repair parts, equipment rent, property insurance, auto insurance, facility rent expense, and housekeeping supplies	Building maintenance and repairs, equipment repairs and maintenance, repair parts, equipment rent, property insurance, auto insurance, facility rent expense, and housekeeping supplies	Building maintenance and repairs, equipment repairs and maintenance, repair parts, equipment rent, property insurance, auto insurance, facility rent expense, and housekeeping supplies
26 UTILITIES	Natural gas, electricity, steam, sewer, water, chilled water	N/A	Natural gas, electricity, steam, sewer, water, chilled water	Natural gas, electricity, steam, sewer, water, chilled water

GLOSSARY OF REPORT CATEGORIES

FINANCIAL STATEMENT CATEGORY	UNM	UNMMG	UNM Hospitals	SRMC
27 DEPRECIATION	N/A	The annual amortization for the furniture and equipment.	The annual amortization for the capital outlay associated with building, building improvement, fixed and moveable equipment which is based on the estimated useful lives of the assets as determined by the AHA "Estimated useful lives of Depreciable Hospital Assets".	The annual amortization for the capital outlay associated with building, building improvement, fixed and moveable equipment which is based on the estimated useful lives of the assets as determined by the AHA "Estimated useful lives of Depreciable Hospital Assets".
28 INTEREST EXPENSE	Transfers to cover debt service	N/A	Interest expense associated with the series 2004 FHA Insured Hospital Mortgage Revenue Bonds.	SRMC receives subsidy payments related to interest payments under the federal Build America Bond program. Under the program SRMC applies for subsidy funds commensurate with each bond payment, so the application for the subsidy is made semiannually. Also includes interest expense on the Series A & B Bonds.
29 OTHER EXPENSES	Banking fees, cost of goods sold, research costs, royalties, bad debt expense, other operating costs, Banner tax, etc.	Billings & collections expenses and other operating expenses of UNMMG	Programming, application, software and maintenance expenses, non capital equipment (less than \$5k), signs, dues & memberships, freight, postage, subscriptions, licenses/permits, Gap Tax, Intergovernmental Transfers for the SCI and IME, bond issuance costs, capital initiatives and other non-operating expenses.	Programming, application, software and maintenance expenses, non capital equipment (less than \$5k), signs, dues & memberships, freight, postage, subscriptions, licenses/permits, Gap Tax, Intergovernmental Transfers for the SCI and IME, bond issuance costs, capital initiatives and other non-operating expenses.
30 CONTRACT AND GRANT EXPENSES	All Restricted Funds Expense and Unrestricted Contract Expense for example VA contracts	N/A	N/A	N/A
31 CAPITAL EXPENDITURES	Equipment/furniture >\$5,000, Computer hardware, library acquisitions, etc.	N/A	This is capital initiatives in the case of UNM Hospitals.	N/A
32 MEANINGFUL USE REVENUE	N/A	Medicare and Medicaid programs provide incentive payments for hospital and physicians that make meaningful use of certified electronic health record technology.	Medicare and Medicaid programs provide incentive payments for hospital and physicians that make meaningful use of certified electronic health record technology.	N/A
33 RETURN ON INVESTMENT - TRIWEST	NA	NA	Dividend payments from investment in TriWest	NA
34 USE OF UNMMG RESERVES	N/A	To fund and support the mission of the Health Sciences Center.	N/A	N/A
35 RECRUITMENT/STARTUP/SCHOLARSHIPS	Chair and Startup Packages, Dean's Scholars/Professorships, and Recruitment Costs	N/A	N/A	N/A
36 NON-RECURRING TRANSFERS	Transfers from current unrestricted funds to plant funds or any other non-recurring transfers	N/A	N/A	N/A

UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER
Balance Sheet for the Health Sciences Center
2015 Fiscal Year as of May 31, 2015 (Preliminary and Unaudited)
(In thousands)

	University HSC	UNM Hospitals	UNMMG	SRMC
ASSETS				
1 Cash and cash equivalents	14,847	152,126	19,014	15,051
2 Marketable securities	22,271	34,560	-	-
Receivables:				
3 Patient, net	1,099	108,651	31,751	7,804
4 Receivable from Affiliates	73,553	2,867	8,052	309
5 Estimated third party payor settlements	-	28,546	-	873
6 County Treasurer	-	18,388	-	1,011
7 Contracts, Grants and Other	13,221	5,682	-	831
8 Other	1,351	16,313	539	3,378
Total Current Assets	126,342	367,133	59,356	29,257
Assets whose use is limited:				
9 Held by trustee for debt service & other	-	14,724	-	10,002
10 By UNM Hospital Board of Trustees	-	21,449	-	-
11 Board and Other Designated Assets	201,427	-	19,896	-
12 Endowments	109,896	-	-	-
13 Capital Assets, net of depreciation	258,398	240,133	2,928	122,556
TOTAL ASSETS	696,063	643,439	82,180	161,815
LIABILITIES				
14 Accounts Payable	8,341	72,373	7,182	4,736
15 Other accrued liabilities	35,965	39,385	-	2,623
16 Payable to Affiliates	1,555	53,332	28,356	1,538
17 Bonds payable - current	942	5,630	-	3,380
18 Interest payable bonds	622	160	-	2,493
19 Estimated third party payor settlements	-	25,443	-	700
20 Bonds payable - non current	17,200	109,370	-	128,500
21 Other liabilities - non current	23,496	7,640	-	-
22 Sponsored Project and Other Deferred Revenue	11,331	-	-	-
TOTAL LIABILITIES	99,452	313,333	35,538	143,970
NET POSITION				
23 Restricted - expendable grants, bequests and contributions	111,391	15,021	-	59
24 Restricted - trust indenture and debt agreement	-	14,724	19,896	10,001
25 Committed and Dedicated agreements	220,439	-	-	-
26 Discretionary Reserve	24,525	175,902	23,818	7,785
27 Assets invested in Capital, net of related debt	240,256	124,459	2,928	-
TOTAL NET POSITION	596,611	330,106	46,642	17,845
Current Ratio	2.66	1.87	1.67	3.29
Days Cash on Hand	N/A	63.36	37.82	88.06



UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER Glossary of Report Categories

	University HSC	UNM Hospitals	UNMMG	SRMC
ASSETS				
1 Cash and cash equivalents	Funds on deposit with Financial Institution with UNM	Funds on deposit with Financial Institution	Funds on deposit with Financial Institution	Funds on deposit with Financial Institution, plus the remaining balance of the \$46m
2 Marketable securities	Funds invested in securities in accordance with UNM Investment policy	Funds invested in US Treasury	N/A	N/A
3 Patient, net	Pediatric/Newborn Transport Services, net of estimated contractual allowances and estimated bad debt	Patient Receivable after adjustments for discounts and allowances	Patient Receivable for care provided by UNM SOM facility and other practitioners, net of estimated contractual allowances and estimated bad debt	Patient Receivable for facility costs, net of estimated contractual allowances and estimated bad debt
4 Receivable from Affiliates	Related Party Receivables for goods or services provided to UNM Hospitals, UNMMG and SRMC	Related Party Receivable from University HSC and SRMC	Related Party Receivable for negative arbitrage for SRMC	Related Party Receivable from UNMMG and UNMH
5 Estimated third party payor settlements	N/A	Accounts Receivable for IME/GME /DSH	N/A	
6 County Treasurer	N/A	Bernalillo County Mil Levy Receivable	N/A	Sandoval County Mil Levy Receivable
7 Contracts, Grants and Other	Sponsored project receivables and other services invoiced by UNM such as OMI, Resident Physician contracts, etc.	Accounts Receivable from Contracts/UNM MG/Special Billing/Investments	N/A	Other receivable balances. As of year end, a receivable was recorded for the insurance proceeds associated with the damage in the OR due to the flood
8 Other	Prepaid contractual obligations, such as library subscription services and maintenance contracts	Prepaid Expense and Inventory	Pharmacy Inventory	Prepaid Expense and Inventory
9 Held by trustee for debt service & other	N/A	Debt/Mortgage reserve funds to include collateral and intangible assets	N/A	Funds held in Trustee Accounts, Mortgage reserve funds, bond debt service accounts
10 By UNM Hospital Clinical Operations Board	N/A	Investment in TriWest/Tricare Reference Laboratory	N/A	N/A
11 Board and Other Designated Assets	Funds dedicated to UNM Hospitals Capital Initiatives and unexpended plant funds	32 N/A	Department, SOM Investment in UNMMG and Quality Reserves	The original bond proceeds were used to purchase GNMA's which collateralize the mortgage with Prudential



UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER

Glossary of Report Categories

	University HSC	UNM Hospitals	UNMMG	SRMC
12 Endowments	Balance of endowed corpus funds generating revenue according to donor intent	N/A	N/A	N/A
13 Capital Assets, net of depreciation	Property, Plant and Equipment	Land, Property, Plant and Equipment	Furniture and Equipment	Land, Property, Plant and Equipment
LIABILITIES				
14 Accounts Payable	Unpaid balances owed to vendors for goods and services; amounts owed to employees for expense reimbursements	Amount owed to creditors	Unpaid balances owed to vendors for goods and services; accrued payroll and other payroll benefits and taxes	Unpaid balances owed to vendors for goods and services
15 Other accrued liabilities	Payroll withholding liabilities and estimated value of compensated absences	Accrued liabilities to include payroll, compensated benefit, and Incurred but not Recognized (IBNR) State Coverage Insurance	N/A	Accrued liabilities to include payroll, compensated benefits and other payroll benefits and taxes
16 Payable to Affiliates	Unpaid balances for services provided by UNM Hospitals, and UNMMG	Unpaid balances for services provided by University HSC	Unpaid balances for services provided by University HSC for purchased services, special arrangements, and indigent distribution	Unpaid balances for services provided by University HSC, UNMMG and UNMH
17 Bonds payable - current	Debt service payment that will be due within the next twelve months	Series 2004 Bonds payable in next year - FHA Insured Hospital Mortgage Revenue Bonds	N/A	Debt service payment that will be due within the next twelve months
18 Interest payable bonds	Interest Payable on outstanding debt	Interest Payable Series 2004 Bonds (FHA Insured Hospital Mortgage Revenue Bonds)	N/A	Interest Payable on Bonds
19 Estimated third party payor settlements	N/A	Medicare/Medicaid Settlements	N/A	Medicare/Medicaid Settlements
20 Bonds payable - non current	Outstanding balance due in the long-term for bond funding	Series Bonds Payable in the long-term (FHA Insured Hospital Mortgage Revenue Bonds)	N/A	Outstanding balance due in the long-term for FHA Insured Hospital Revenue Bonds
21 Other liabilities - non current	Estimated future cost associated with Other Post Retirement Benefits (OPEB) and self-funded health insurance plan	Estimated future cost associated with Other Post Employment Benefits (OPEB), bond premiums and Mortgage payable	N/A	The balance of the mortgage payable
22 Sponsored Project and Other Deferred Revenue	Prepaid contract and grant revenue, tuition, and other prepaid student fees	33 N/A	N/A	N/A



UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER **Glossary of Report Categories**

University HSC	UNM Hospitals	UNMMG	SRMC
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NET POSITION

23	Restricted - expendable grants, bequests and contributions	Restricted monies used in accordance with donor intent, contracts and bequests	Restricted monies used in accordance with donor intent, contracts and bequests	N/A	Restricted monies used in accordance with donor intent, contracts and bequests
24	Restricted - trust indenture and debt agreement	N/A	Restricted monies for Debt Service, Collateral, and Mortgage Reserve	N/A	Restricted monies for Mortgage reserve, Bond Debt Service
25	Committed and Dedicated agreements	Funds that have been committed for future expenditures. Dedicated funds have a clear focused purposed with for specific use.	N/A	Department, SOM Investment in UNMMG and Quality Fund Balances	N/A
26	Discretionary Reserve	Remaining fund balance after accounting for all restricted, committed and dedicated funds	Chief operating fund for all financial resources except those accounted for in another fund	Other operating reserves	Chief operating fund for all financial resources except those accounted for in another fund
27	Assets invested in Capital, net of related debt	Property, Plant and Equipment after adjustment for related bond debt	Property, Plant and Equipment after adjustment for related bond debt	Furniture and Equipment after adjustment for related bond debt	Property, Plant and Equipment after adjustment for related bond debt

MEMORANDUM

To: Board of Directors

From: Mike Richards
Executive Physician-in-Chief

Steve McKernan
Chief Operating Officer

Date: July 8, 2015

Subject: Monthly Health System Activity Update

The System has been involved in a variety of activities. This report is based on the May financial and activity reports, since the June closings have not occurred and the data is not available.

Activities Levels: There have been good increases in patient activities at UNM Health System in the first 11 months of 2015 compared to the prior year. The census at UNMH has been high reflecting increased demand since the start of the Affordable Care Act. The staff is adapting practices to accommodate the patient loads.

Patient days have increased 5% overall, although adult patient days have increased 8%. Obstetric days had decrease 9% from the prior year. Discharges have decreased 4% overall with a 4% decrease for adults, a 3% increase for pediatrics but a 11% decline for obstetrics compared to the prior year.. The length of stay is 9% greater than the prior year. The Case Mix Index aggregate Length of Stay has increased from 3.6 to 3.8 and is relatively stable because of 7% increase in the case mix index.

Clinic visits have increased 3% over the prior year. The total emergency room and urgent care visits have increased 3%. Surgeries are 4% higher than the prior year and births are 6% lower than the prior year. The decrease in obstetrics activity is significant but seems to have stabilized in the past few months.

Medical Group RVU's have increased 8% over the prior year, which is a good measure of overall workload, with most of the increase being driven by SRMC.

Compared to budget, the Health System total RVU's are equal to budget, and clinic visits are 2% behind target, surgeries 3% below budget, patient days are on target, but discharges are 13% below target, mostly because of the drop in deliveries and increase in the acuity of the patients causing longer length of stays.

Finances: UNM Health System ended the first 11 months with a \$18 million margin or 2% returns on revenue. Total operating revenues increased 27% to \$1.1 billion while expenses increased 12% to \$1.1 billion. UNMH ended with a 2% positive margin on \$800 million in total revenues. The Medical Group ended with at a breakeven margin on revenues of \$180 million. SRMC ended with 2% margin on \$66 million in revenues. Some of the revenue increase was due to a movement of patient onto Medicaid. The Medicare and Commercial insurance revenues have also increased. Uncompensated care dropped by 57% for the first 11 months but it was offset by the substantial increase in contractual allowances related to the conversion of patients from charity and bad debt to Medicaid financial class status. We have also been involved in an initiate to lower the billed charge structure to make the system more affordable to patients with high deductible policies. For the first 11 months, we have made progress as the cost to charge ratio increase from .47 to .51.

The balance sheet is stable. The cash position increased but much of the increase is due to an increase in accounts payable, most particularly to UNM, which is common at this time of the year. Accounts receivable have increased because of activity level. We have made good progress in collecting Medicaid accounts that were in a pending approval status. The Health System current ratio stands at 2.6 this year and was 2.5 last year. UNMH met its debt covenant ratios. SRMC met all its debt covenant ratios.

UNMH also refinanced its debt and brought the level from \$154 million to \$115 million by using some reserve funds and was able to attain a significantly better interest rate which went from 5.4% to 4.3%. The effects were posted in the May financial statements.

Network Development: UNM Health System is working with providers in the metro area and around the state on developing a network to coordinate care for patients and to coordinate with insurance companies. UNM is continuing to work with hospitals around the state on affiliation agreements and support for their programs. The UNM Health product is in the market with UNM and we continue to work on service standards for the patients with the UNM Health System providers and other network providers.

SRMC: Activity levels at SRMC are good. Patient days are 28% higher than the prior year, are close to budget. Discharges are 18% above the prior year but 3% below budget. Surgeries are 5% above the prior year but 2% below budget. Clinic visits are 13% above the prior year but 5% below budget. The activity has been more robust in the past six months. The finances are stable, with the income statement reporting a \$1.4 million positive margin on a net patient revenue increase of 43%. Expenses have increased 20%. The Total Loss dropped by \$8 million from the prior year. The balance sheet is stable with cash being \$6 million higher than the prior year and liabilities being the same as the prior year. The debt ratios are being met.

Community Activities: We have been involved with Bernalillo County and Sandoval County on plans for care in the County and community. The focus is particularly on behavioral health care access and expansion of community based clinics. The County would like to partner with UNM on future development of the services in the community and on the campuses. We are also now focused on the mill levy and the support that UNM will seek from Bernalillo and Sandoval County.

Operations: The census remains very high. This has resulted in patients' stays in the Emergency Department and the Recovery Room to be much longer than standards. Many efforts are under way including increasing access through contracts with post-acute care providers to shorten length of stay and increase throughput of patients at the hospitals. There is also a project that we are involved with to upgrade the electronic medical record and to improve documentation of the patient experience while trying to ease practice for the physicians. This project is very much connected with the change this fall to the new ICD-10 coding process that is required by the federal government. The new data came out on the CMS star rating system for patient satisfaction. UNMH and SRMC both received 3 star ratings, which is good and better than competing hospitals in Albuquerque. The star rating system is part of the Medicare Value Based Purchasing system which has incentives and penalties for providers based on their criteria.

The federal government is pushing forward with a new diagnostic coding standard called ICD 10. It will be effective on October 1. All bills submitted to all third party payors will have to comply with this new standard. The new standard is much more complex than the previous standard with 77,000 diagnosis codes compared to 7,000 in the previous version. UNM HS is working closely with our providers to train everyone on the new standards. We expect to be prepared and compliant by October 1. Lack of compliance could lead to significant billing issues and negative effects on cash flows.

Strategic Planning: We are finalizing the Strategic Plan for the Health System and the Master Facility Plan for the Health Sciences Center. We will begin briefing Governance this month on those projects. This process will include a reassessment of the assumptions on population growth and local market health care needs in light of the implementation of the Affordable Care Act.

If there are any questions on this or other matters, please feel free to contact me.

UNM HS Total Operations - Income Statement
YTD May 31, 2015
(In thousands)

	Total HS	Total HS Prior Year	FY 14 vs. FY 15		Total HS FY 15 Budget	Budget Variance
			\$ Change	% Change		
Total NET Patient Revenue	1,036,840	820,309	216,531	26%	997,550	39,290
State/Local Contracts/Grants	3,095	16,305	(13,210)	-81%	2,880	214
Other Operating Revenues	31,283	5,881	25,402	432%	28,050	3,233
Total Operating Revenues	1,071,217	842,494	228,723	27%	1,028,480	42,737
Total Operating Expenses	1,083,215	971,439	111,776	12%	1,088,884	(5,669)
Total Operating (Loss) Gain	(11,998)	(128,945)	116,947	-91%	(60,404)	48,406
Total Net Non-Operating Revenue	107,317	135,875	(28,558)	-21%	67,575	39,742
Total Increase in Net Position	95,318	6,929	88,389	1276%	7,171	88,147

UNM HS Total Operations - Stats
YTD May 31, 2015

	Total HS	Total HS Prior Year	FY 14 vs. FY 15		Total HS FY 15 Budget	Budget Variance
			\$ Change	% Change		
TOTAL PATIENT DAYS	158,860	150,867	7,993	5%	158,515	345
TOTAL DISCHARGES	26,053	27,076	(1,023)	-4%	29,913	(3,860)
TOTAL LENGTH OF STAY	6.1	5.6	0.5	9%	5.3	0.8
BEHAVIORAL						
Patient Days	25,282	22,795	2,487	11%	23,226	2,056
Discharges	2,397	2,097	300	14%	2,158	239
Length of Stay	10.5	10.9	(0.3)	-3%	10.8	(0.2)
<hr/>						
OUTPATIENT VISITS						
TOTAL OUTPATIENT CLINICS	711,248	691,179	20,069	3%	731,052	(19,804)
URGENT CARE	21,461	19,742	1,719	9%	19,201	2,261
EMERGENCY ROOM	88,949	88,415	534	1%	89,403	(454)
TOTAL OUTPATIENT VISITS	821,658	799,336	22,322	3%	839,656	(17,998)
<hr/>						
TOTAL SURGERIES	21,113	20,351	762	4%	21,832	(719)
<hr/>						
BIRTHS	2,743	2,914	(171)	-6%	2,979	(236)
ECT Stats	1,740	239	1,501	628%		
Total Work RVU's	2,742,690	2,545,638	197,051	8%	2,727,611	15,079
<hr/>						

UNM HS Total Operations - Income Statement
YTD May 31, 2015
(In thousands)

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			\$ Change	% Change		
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Total Increase in Net Position	95,318	6,929	88,389	1276%	7,171	88,147

UNM HS Total Operations -Balance Sheet
YTD May 31, 2015
(In thousands)

	Total HS	Total HS FY 2014	FY 14 vs. FY 15	
			\$ Change	% Change
ASSETS				
Cash & Cash Equivalents	380,907	244,543	136,364	56%
Total Net Patient Receivable	143,314	130,223	13,091	10%
Other Assets	272,876	184,844	88,032	48%
Total Net PP&E	415,816	424,884	(9,068)	-2%
Total Assets	1,212,912	984,493	228,419	23%
LIABILITIES				
Total Current Liabilities	241,544	181,694	59,850	33%
Total Long-Term Liabilities	366,300	293,049	73,251	25%
Total Liabilities	607,844	474,744	133,100	28%
Total Net Position	605,068	509,750	95,318	19%
<i>Current Ratio</i>	<i>2.55</i>	<i>2.48</i>	<i>0.06</i>	<i>3%</i>

Update on UNM Health Sciences Center Research Mission



UNM HSC Board of Directors
17 July 2015

Richard S Larson, MD, PhD
Executive Vice Chancellor
Vice Chancellor for Research

Achieving the “Virtuous Cycle”

- Latest advances in care
- Enhanced National Reputation
- Workforce

**Research
Education**

Clinical

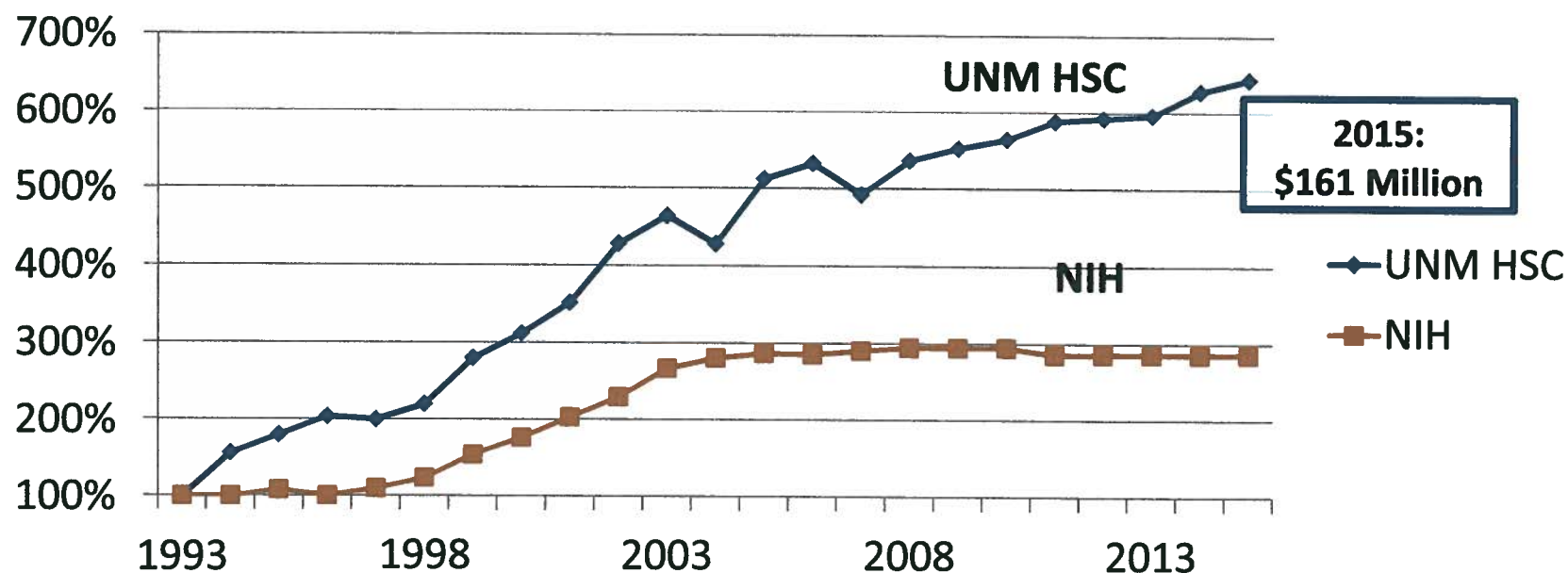
**Improved
Health Care**

- Infrastructure for
Education & Research



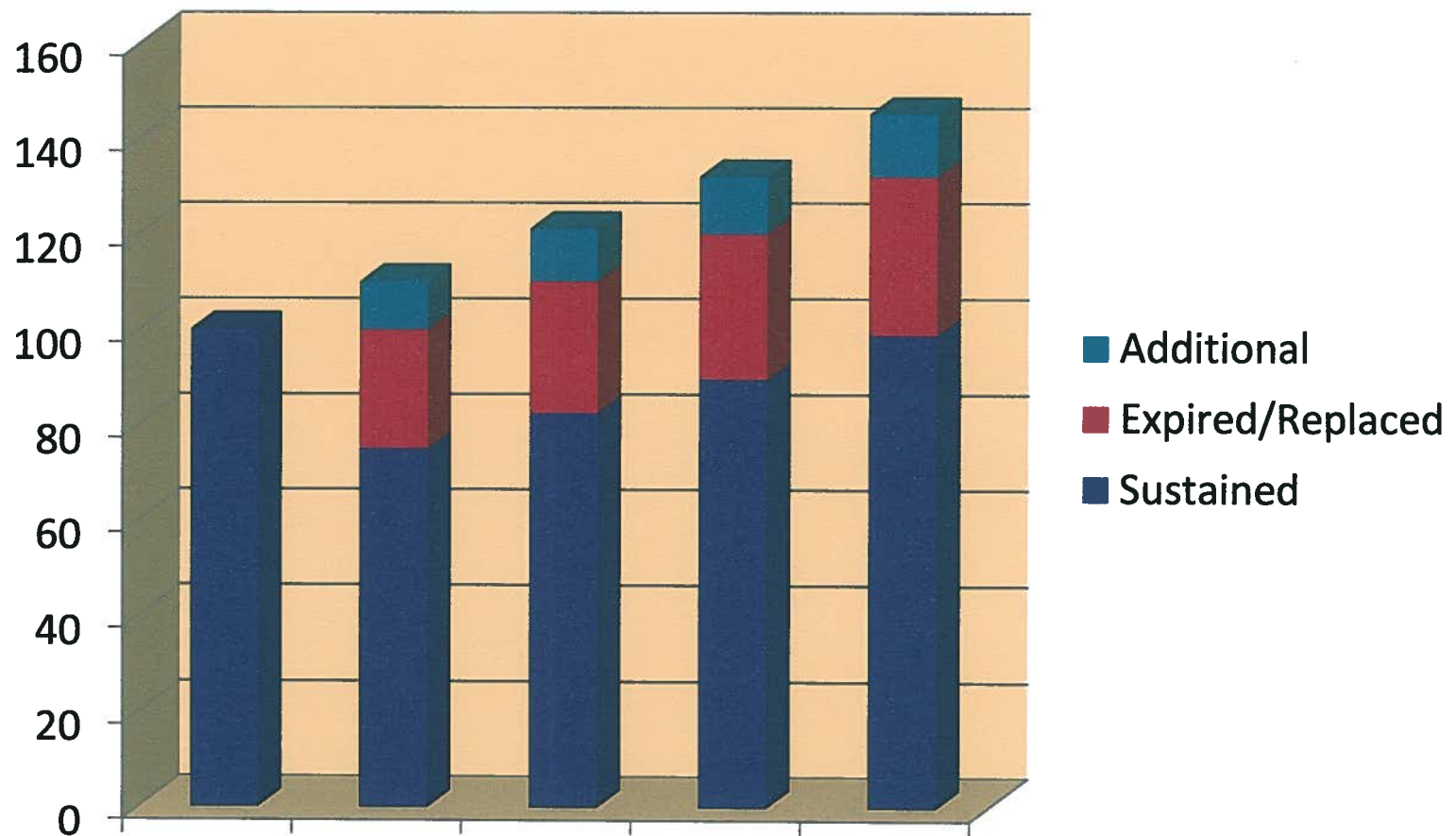
Growth of Health Research at UNM HSC

Growth of HSC Funding vs. Growth of NIH Funding



- Growth due to tactical success (portfolio diversification; new teams)
- Funded by federal government, private foundations and private companies
- Most university funding has mimicked the NIH curve

Fiscal Model and Strategy



Strategies

- Strategic center investment
- Support structures for faculty and staff
- Facilitate new teams toward new funding opportunities
- Build larger university consortiums



History of Strategic Center Investment

2000



The HSC targets the Cancer Center for National Cancer Institute designation.

2005

After 5 years of program creation, commitment and investment, the UNM Cancer Center is NCI Designated.



2005

The HSC targets obtaining a \$30 million Clinical & Translational Science Center Award from NIH.

2010

HSC becomes one of 59 CTSA sites in the country. This new center speeds the movement of scientific advances into clinical care.

Brain & Behavioral Health Institute

2010

The HSC Chancellor identifies the Brain and Behavioral Health Institute for development.

2012

We are on a path to build our clinical and research resources in neurological & behavioral health disorders.



UNM Clinical & Translational Science Center



- Achieved in 2010; renewal 2015
- Builds collaboration network
- \$25 Million
- Key Programs:
 - Pilot funding
 - Education programs
 - Enhanced technology
 - Enhanced component-led research



UNM HEALTH SCIENCES CENTER

“Research means hope.”

– Richard Larson, UNM CTSC Director



Richard Larson, MD, PhD

The UNM Clinical and Translational Science Center is guiding and accelerating health discoveries to improve lives in New Mexico's communities and throughout the Mountain West.



UNM Clinical and Translational Science Center



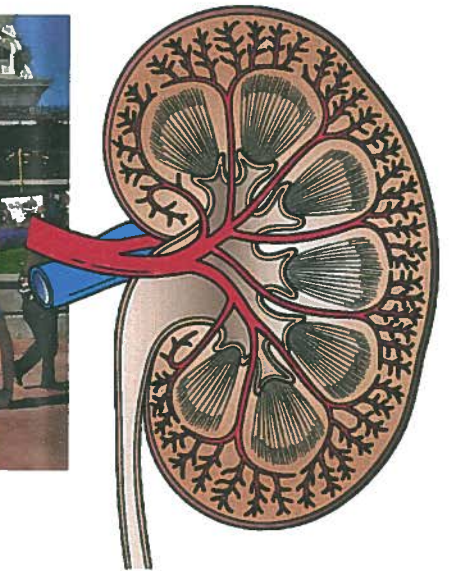
UNM HEALTH SCIENCES CENTER



Craig Wong, MD, MPH

With support from the UNM Clinical and Translational Science Center and the network of 60 universities, Dr Wong is studying how chronic kidney disease affects other organs, growth, and cognitive function to improve care in children and develop new treatments.

Currently, there is no way to stop the progression of kidney disease once the organ has been damaged.



Chronic Kidney Disease in Children



Cancer Center

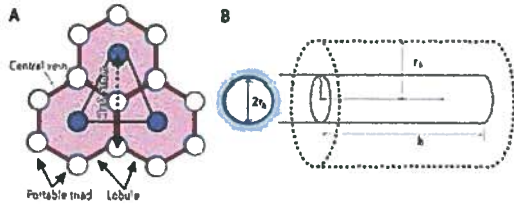


- National Cancer Institute designation in 2005, renewed in 2010, 2015 (anticipated)
- \$5 Million; leverages into many grants and clinical service



Cheryl Willman, MD
Director and CEO

$$f_{kill} = 2 \cdot BVF \cdot L \cdot \frac{\sqrt{BVF \cdot K_1} (r_b/L) - K_1 (r_b/(L \cdot \sqrt{BVF}))}{\sqrt{BVF \cdot r_b} \cdot K_0 (r_b/L) \cdot (1 - BVF)},$$

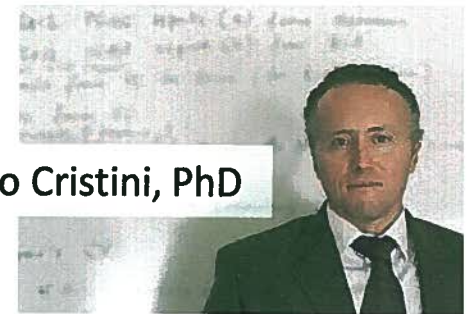


Using data from routine tests, Dr Cristini has generated mathematical models that have accurately predicted liver, pancreatic, and esophageal cancer responses to treatment.

Now he is working with the UNM Cancer Center to personalize breast cancer treatments.

“Master equations of cancer” predict patients’ responses to chemotherapy.

Vittorio Cristini, PhD



Mathematical Models in Cancer Research

Creation of Signature Programs

- Disease focus (not just “capacities” or “capabilities”)
- Inter-disciplinary, spanning SOM Departments and HSC Colleges
- Promoting formation of new teams to address key problems in health care
- Bench → Bedside → Community

**900 Active
Awards &
Research
Projects**

Brain & Behavioral Illness

**Corey Ford, MD, PhD &
Bill Shuttleworth, PhD**

Cardiovascular & Metabolic Disease

Nancy Kanagy, PhD

Infectious Disease & Immunity

Thomas Byrd, MD

Environmental Health Sciences

Matthew Campen, PhD, MSPH

Child Health Research

Kristi Watterberg, MD

Cancer

Cheryl Willman, MD

CTSC Support

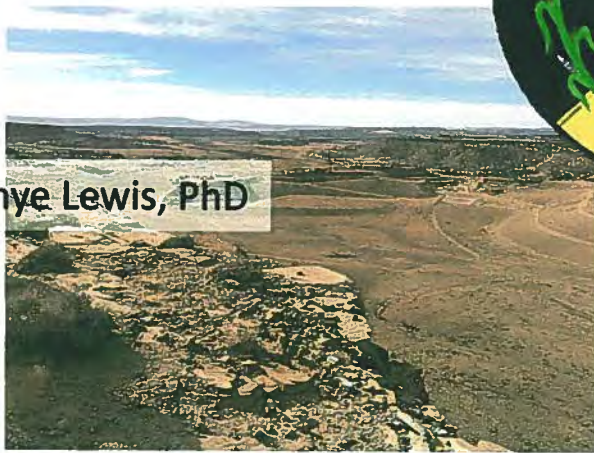


Environmental Health Signature Program

Dr Lewis works with the Navaho Nation and federal agencies to assess the impact of uranium-contaminated water and soil on reproduction, congenital disorders, and developmental disabilities.



Johnnye Lewis, PhD



Environmental contamination from uranium mining has had long-term effects on Navaho health.

Uranium Exposure in the Navaho Nation

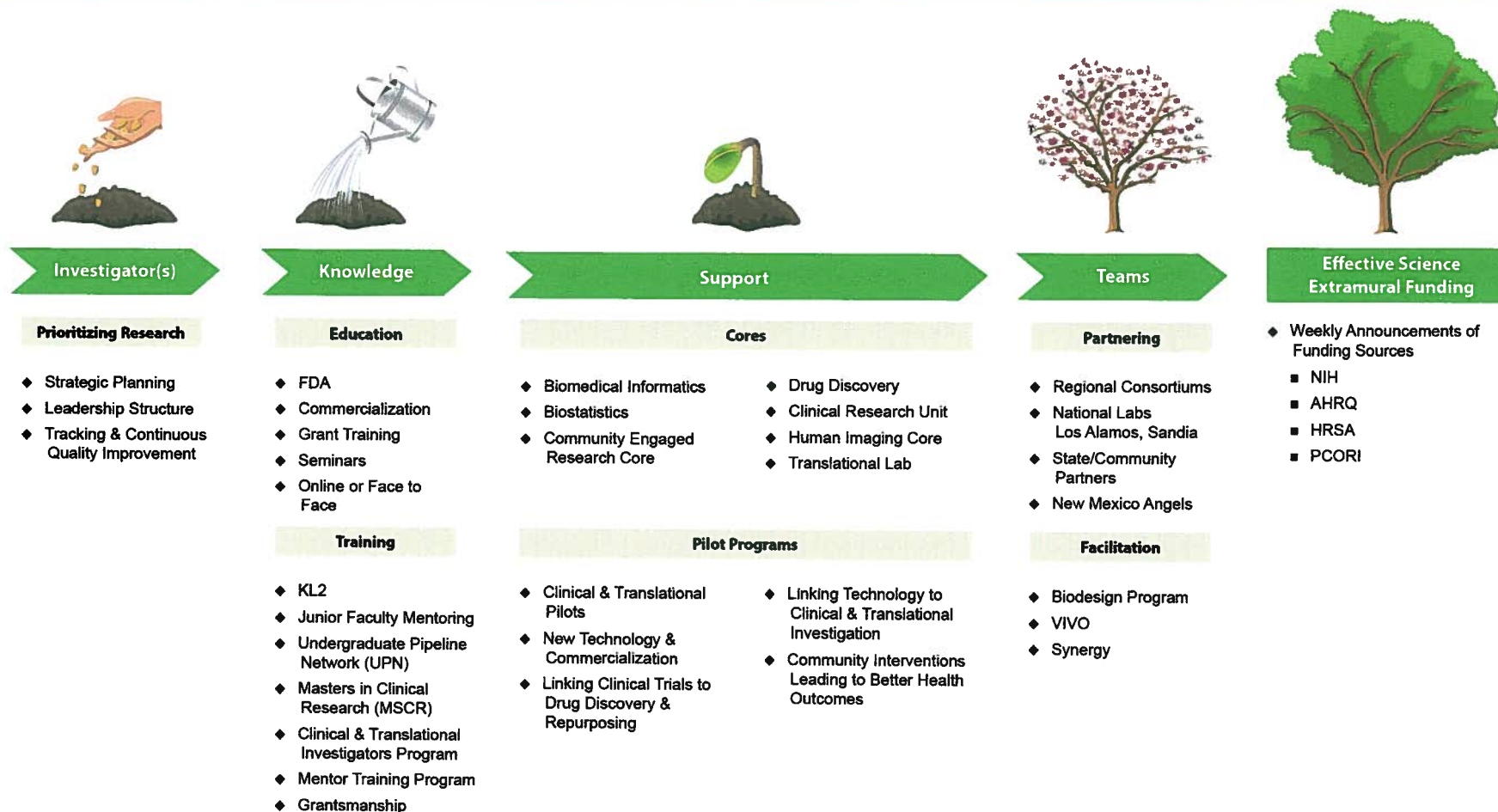


Building Coordinated Infrastructure

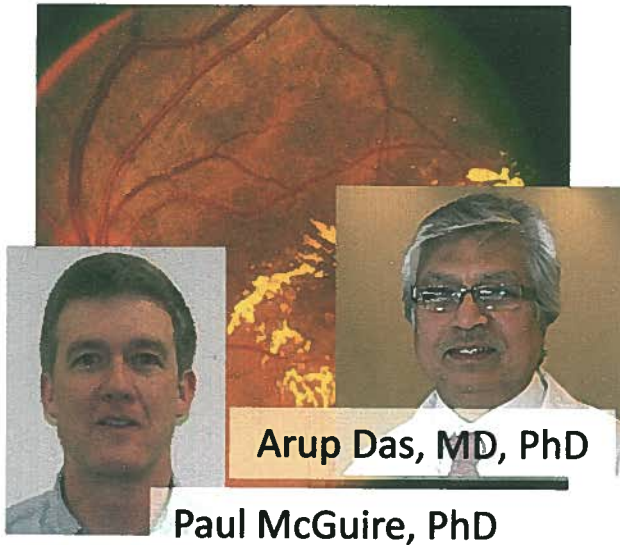


UNM HEALTH SCIENCES CENTER

Infrastructure Support (All New)

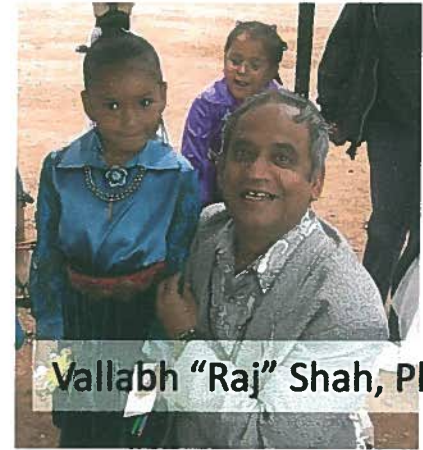


Moving from Biochemistry to Community: Metabolic and Cardiovascular Disease Signature Program



Dr Das and Dr McGuire bring together clinical and basic science to seek new molecular targets for treating diabetic retinopathy.

Dr Shah works with Zuni community members to develop interventions to prevent diabetes and improve prognosis for those already diagnosed.



Vallabh "Raj" Shah, PhD, FASN

Retinopathy and chronic kidney disease are two of the most serious complications of diabetes.

New Approaches to Diabetes



UNM HEALTH SCIENCES CENTER

Facilitating Team Science



Team Science

- New opportunities

- ACA
- SBIR

- New teams

- “Synergy”: Monthly “3-ring circus” style
- VIVO: Research networking tool
- Biodesign: HSC – SOE collaboration

Biodesign
VIVO
SYNERGY



The IDeA – Biodesign Program

Internal Ventriculo-Peritoneal Shunt



VIVO

THE UNIVERSITY OF NEW MEXICO

UNM HEALTH SCIENCES CENTER

Clinical & Translational Science

VIVO enabling national networking of scientists

Accelerating Discoveries Toward Better Health

CTSA Clinical & Translational Science Awards

Home People Organizations Research Events

Larson, Richard S. | Executive Vice Chancellor, HSC

Co-Author Network

Map Of Science

Positions

- Director, [Clinical & Translational Science Center](#)
- Executive Vice Chancellor, [Health Sciences Center](#)
- Vice Chancellor for Research, [Health Sciences Center](#)

Overview

- Significant institutional administrative and leadership experience, including oversight of HSC and SOM research programs, graduate education programs, clinical programs; strategic planning at department, school/college and health science levels; campus-wide compliance functions; grants processing, construction projects, crisis management; and components of state funding
- Physician-scientist with funded laboratory, nationally recognized research, and history of developing interdisc (... [more](#))

Publications

selected publications

academic article

[Modeling the efficiency of a magnetic needle for collecting magnetic cells](#). *Physics in medicine and biology*. 59:3319-3335. 2014



VIVO

Home People Organizations Research Events

Search

Larson, Richard S.

Co-Author Network (GraphML File)

Profile



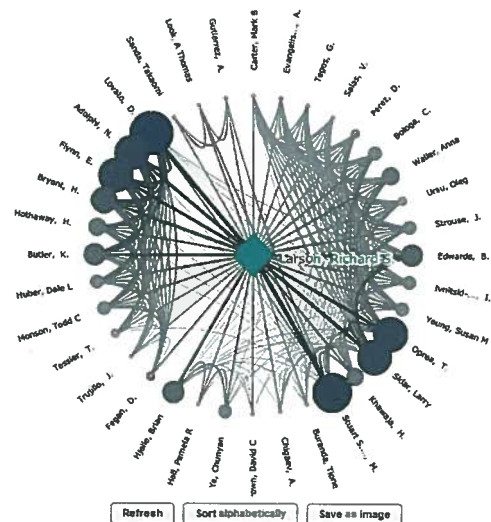
Larson, Richard S.

[VIVO profile](#)

36 Publication(s)
36 Co-author(s)
2000 First Publication
2014 Last Publication

Note: This information is based solely on publications that have been loaded into the VIVO system. This may only be a small sample of the person's total work.

Log in to enter additional details about your publications on your profile page



Interact
Hover over any name to see the number of joint publications and co-authors with Larson, Richard S.

Thresholding
Only people that co-authored more than 2 paper(s) with Larson, Richard S. are shown. 36 out of 157 co-author(s) are shown.

Sorted into communities; Co-authors are placed near one another if they frequently collaborate with each other and each other's co-authors in the graph

VIVO enabling national networking of scientists

Accelerating Discoveries Toward Better Health

CTSA Clinical & Translational Science Awards

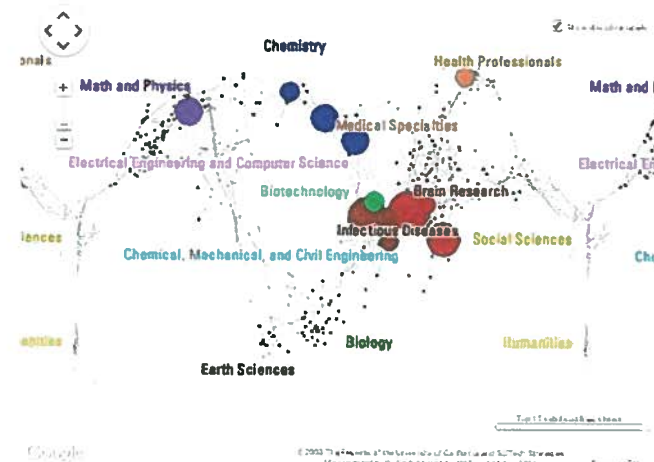
Home People Organizations Research Events

Search

Larson, Richard S.

Explore activity (publications) across 554 scientific disciplines

554 Subdisciplines | 13 Disciplines



VIVO

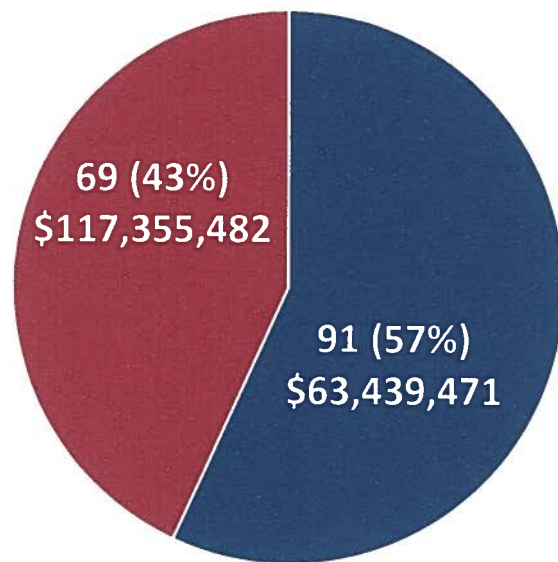


UNM HEALTH SCIENCES CENTER

Affordable Care Act

Cumulative Activity 5/31/2011 through 6/30/2015

Total ACA Submissions as of 6/30/15



■ Awarded ■ Withdrawn/Denied

- 160 grants (\$181M) submitted
- 91 grants awarded for a total of \$63M so far (57% success rate)



UNM HSC Awards Summary

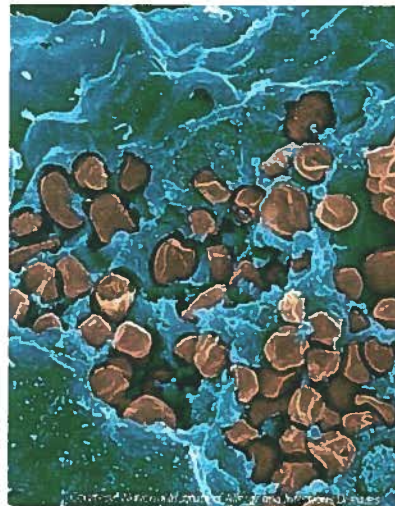
FY10 – FY15

Awards by Sponsor Type	FY10		FY12	FY13	FY14	FY15
Federal (NIH)	\$71,439,595		\$63,208,412	\$42,721,717 (Sequestration)	\$50,331,779	\$52,171,635
Federal (excludes NIH)	\$41,526,977		\$38,372,787	\$47,536,333	\$52,421,235	\$52,395,030
Industry/ Foundation	\$12,071,574		\$24,776,916	\$38,584,611	\$31,856,547	\$34,677,103
Other	\$16,433,512		\$22,005,490	\$20,895,255	\$21,493,804	\$21,509,802
TOTAL	\$141,471,658		\$148,363,605	\$149,737,916	\$156,103,365	\$160,753,570



Drug-releasing nanoparticles could protect soldiers exposed to bio-weapons and treat infections with a single dose.

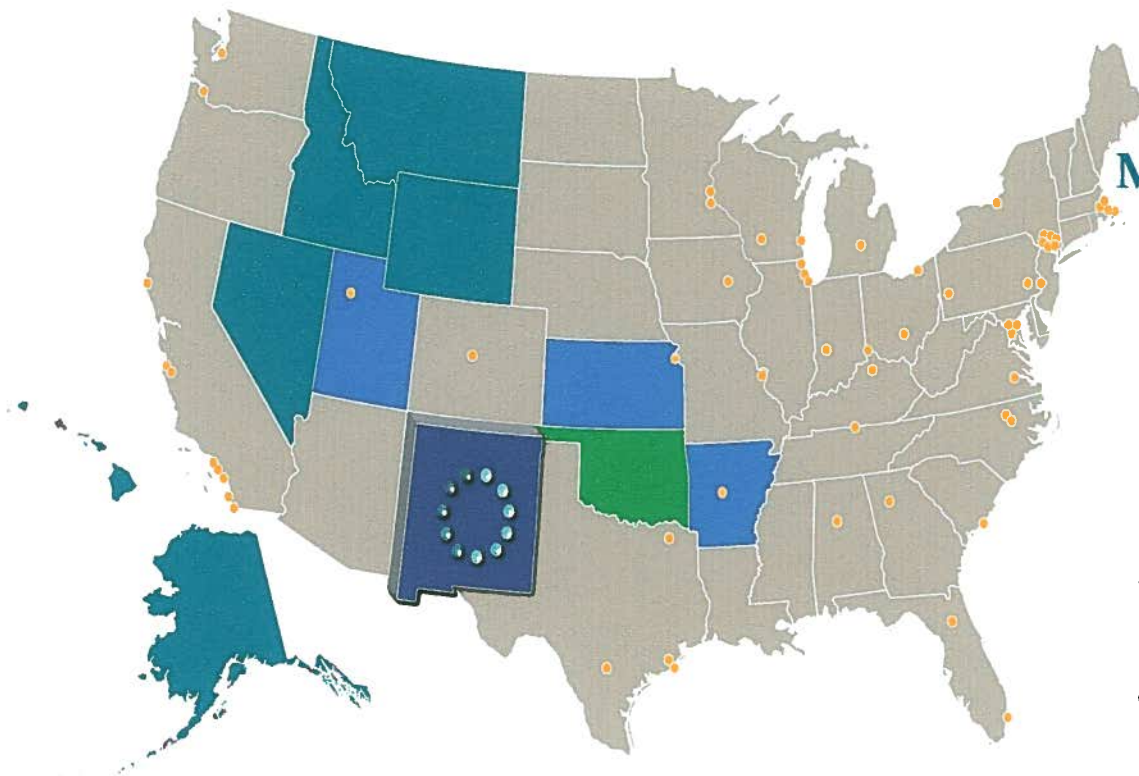
“Protocells” engineered in collaboration with Sandia National Laboratories can be targeted to specific tissues and filled with antibiotics or other drugs that are released over time.



Nanoparticles in Medicine and Defense



Regional Partnerships



Western States CTSA Consortium

- K to R Program
- Pilot program

Mountain West Consortium

- Includes 7 IDeA states, 11 Institutions
- Undergraduate Pipeline Program
- K to R Program
- Mini-sabbatical
- Network of Shared Resources
- \$20.0 million Mtn West CTR-IN Funding
- Regional Biostatistics Core

Oklahoma Consortium

- Pilot program



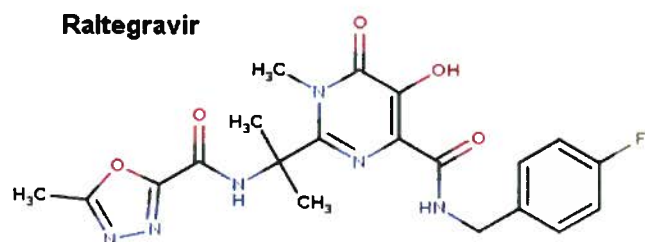
The Translational Informatics Division develops tools to understand relationships between drugs and their targets.



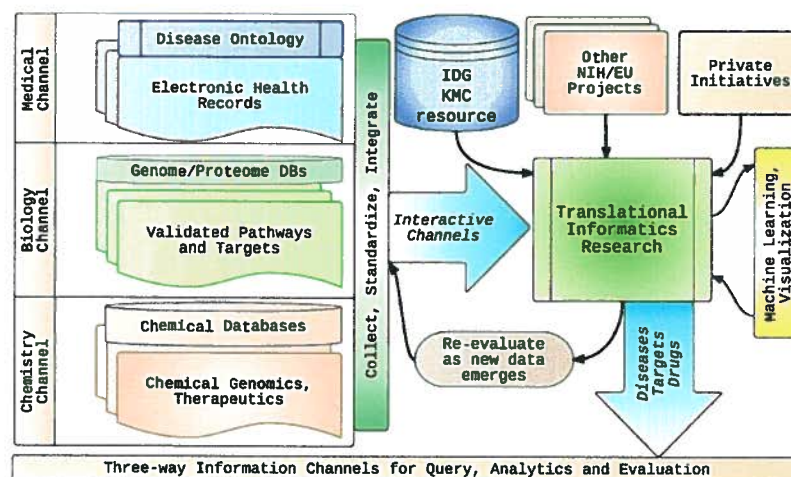
Clinical Trial for Stage III Ovarian Cancer



Tudor Oprea, MD, PhD



Clinical Trial for Head and Neck Cancer

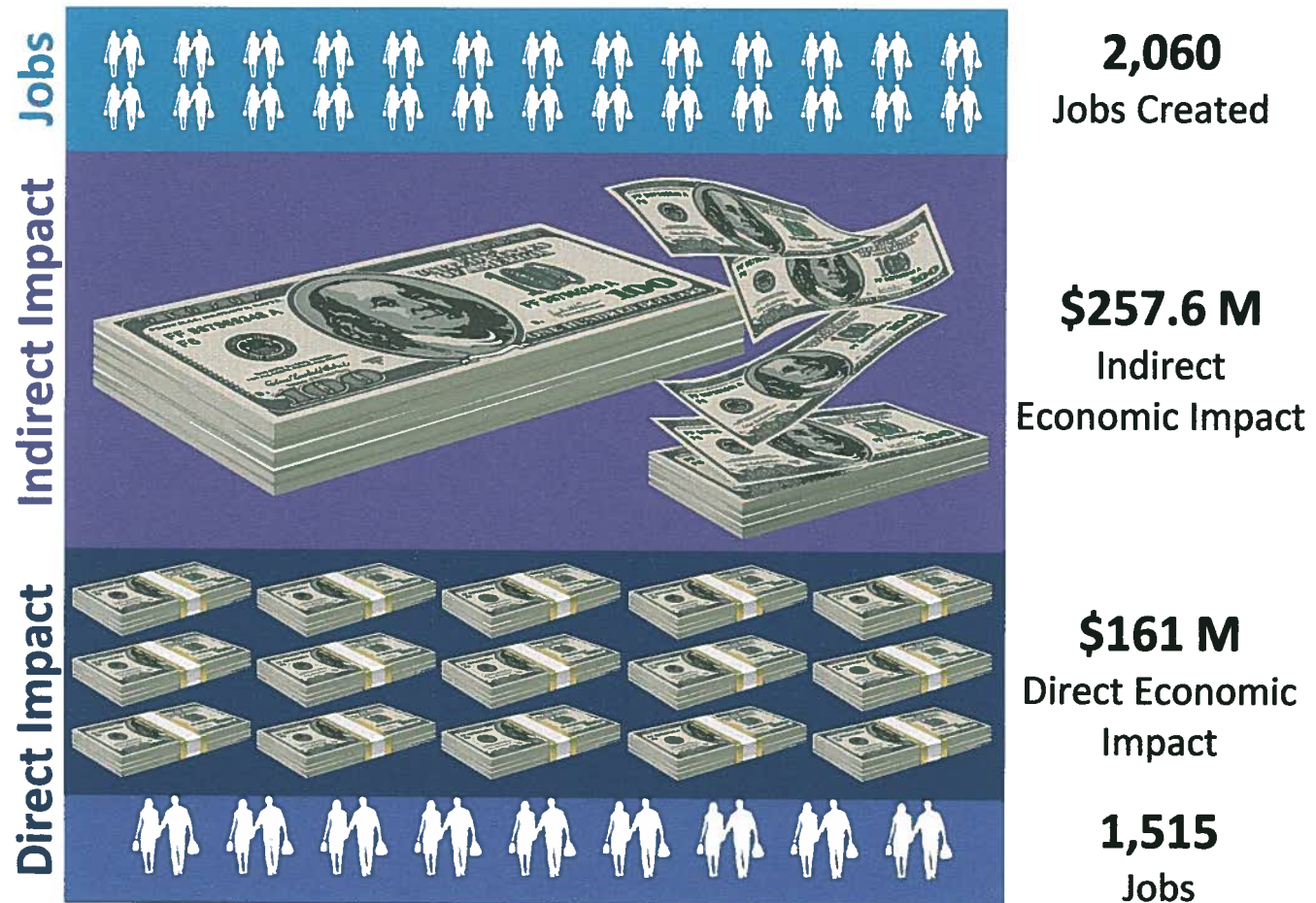


Dr Oprea uses data mining in drug discovery, with a focus on high-throughput screening and synthesis, as well as drug repurposing.

Computer-Aided Drug Repurposing



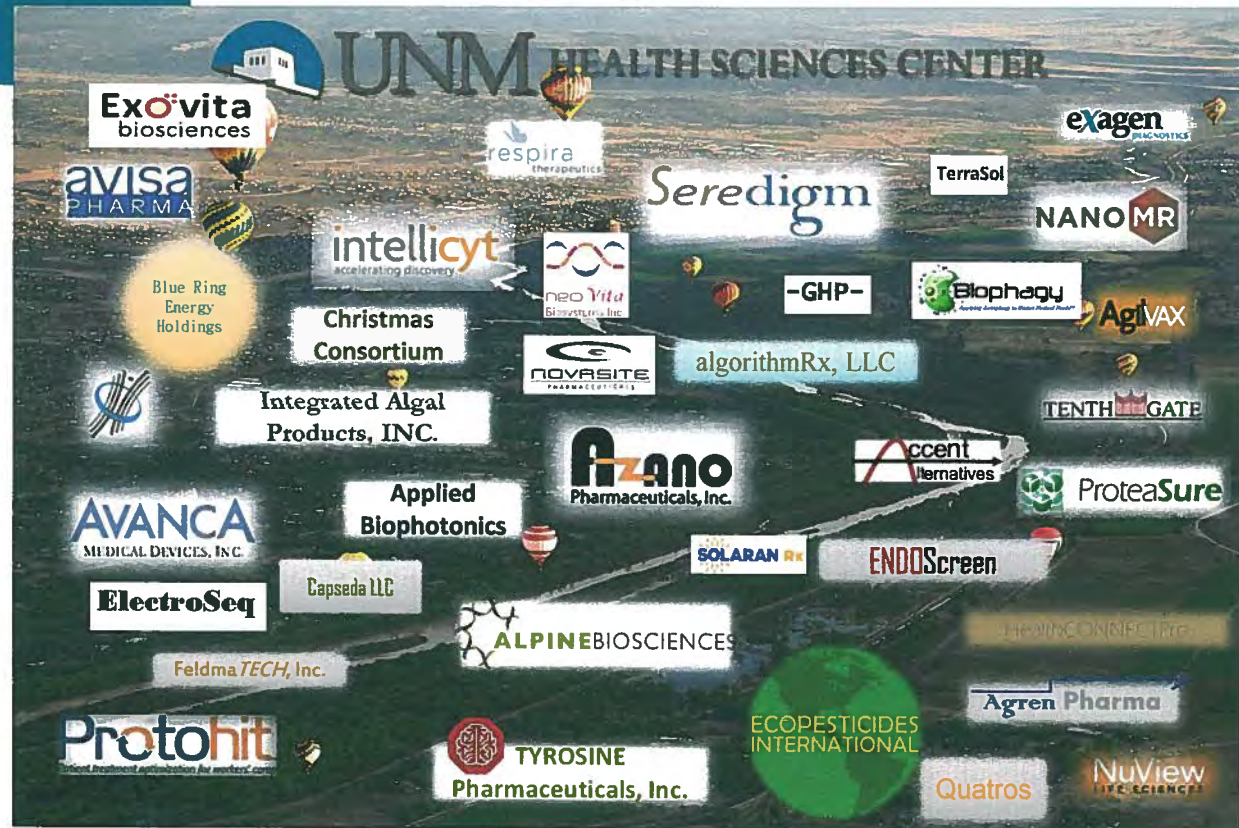
Economic Impact of HSC Research



UNM

HEALTH SCIENCES CENTER

Commercialization



- Since 2004, 38 new private companies were either spun off from the university or used university technology to start up
- Greater Albuquerque population approximately 900,000

Questions?

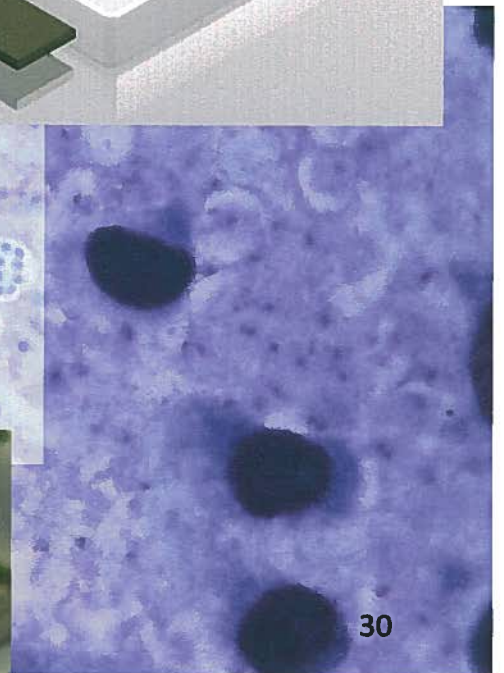
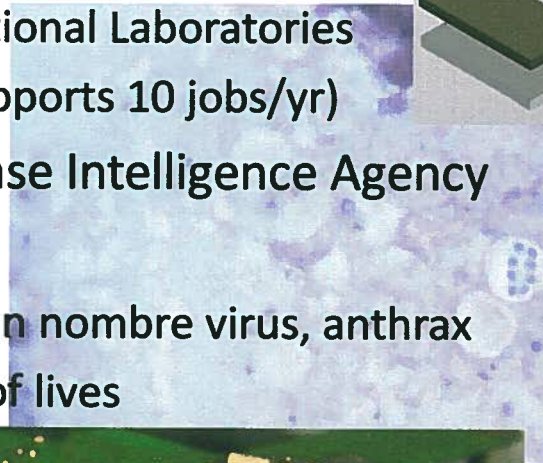
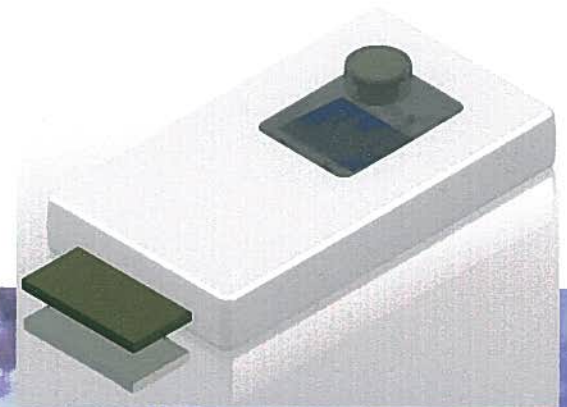
Richard S Larson, MD, PhD
Executive Vice Chancellor
Vice Chancellor for Research



UNM HEALTH SCIENCES CENTER

Example of Pilot Funding

- Idea
 - Can we develop a handheld device to rapidly diagnose disease?
- Required Investment
 - \$50K Pilot funds (2003)
 - Core facilities supported by Tobacco Settlement
- Result
 - Initial data obtained with Sandia National Laboratories
 - Grant application (\$1.3M for 8yr; supports 10 jobs/yr)
- Chief Scientist Award from the Defense Intelligence Agency
- Public-Private Partnership
 - Adapted for hepatitis B and C, HIV, sin nombre virus, anthrax
 - Potential to save tens of thousands of lives



Regents' Policy Manual - Section 2.18: Guiding Principles

PROPOSED NEW POLICY

**(APPROVED BY THE AUDIT AND COMPLIANCE COMMITTEE
ON JUNE 29, 2015)**



Adopted Date:

Applicability

These guiding principles serve to direct and guide ethical conduct. They apply to all members of the University community: Regents, executive officers, faculty, staff, student employees, students, public and student members of University advisory boards and committees, and other volunteers serving in an official capacity.

Policy Statement

Integrity, trust, the pursuit and dissemination of knowledge, and public service are essential elements of the University's character and guide our decisions. These and other elements of our character are reflected in the guiding principles.

All members of the University community are expected to maintain the highest standard of ethics as articulated in the guiding principles. Our devotion to these principles at all times, under all circumstances, and in all our actions is key to our success as an institution and as individuals.

Guiding Principles

1. Freedom of Inquiry

We encourage, protect, and respect the exploration of ideas and their free expression.

2. Integrity

We build trust through transparency, truthfulness, and responsibility.

3. Inclusiveness and Respect

We thrive in a diverse environment characterized by respectful regard for other persons, recognition of their dignity, and considered use of influence and power.

4. Responsibility to Community

We reflect upon our past, serve our present, and strive to improve our future through thoughtful stewardship of our cultures and environment.

Implementation

The President shall ensure that all members of the University community are aware of the guiding principles, which are supported by existing policies and procedures.

Related Policies

Freedom of Inquiry

- RPM Policy 2.1 (“Free Expression and Advocacy”)
- RPM Policy 2.2 (“Speakers from Off Campus”)
- UAP 2220 (“Freedom of Expression and Dissent”)
- RPM 5.1 (“The Faculty’s Role in the University’s Academic Mission”)
- Faculty Handbook Appendix 7 (“Statement on Extramural Utterances”)

Integrity

Codes of Conduct and Ethics

- RPM 1.8 (“Regent Code of Conduct and Conflicts of Interest Policy”)
- RPM 4.2 (“Student Code of Conduct”)
- The Pathfinder (“Student Code of Conduct”)
- Faculty Handbook D100 (“Dishonesty in Academic Matters”)

- RPM 6.4 (“Employee Code of Conduct and Conflicts of Interest”)
- UAP 3715 (“Code of Conduct”)
- RPM 2.8 (“Visitors to the University”)
- The Pathfinder (“Visitor Code of Conduct”)
- HSC Code of Ethics

Research

- Faculty Handbook E40 (“Research Misconduct”)
- Faculty Handbook E90 (“Human Beings as Subjects in Research”)
- Faculty Handbook E100 (“Policy Concerning Use of Animals”)
- Faculty Handbook E110 (“Conflicts of Interest in Research”)
- Faculty Handbook E120 (“Effort Reporting Policy”)

Grievance Procedures and Dispute Resolution

- RPM 4.3 (“Student Grievances”)
- Faculty Handbook D175 (“Student Conduct and Grievance Procedures”)
- Faculty Handbook D176 (“Graduate Student Grievance Procedures”)
- Faculty Handbook C07 (“Faculty Disciplinary Policy”)
- UAP 3215 (“Performance Management”)
- RPM 6.10 (“Dispute Resolution and Employee Grievances”)
- UAP 3220 (“Ombuds/Dispute Resolution Services for Staff”)

Reporting Misconduct

- UAP 2200 (“Whistleblower Protection and Reporting Suspected Misconduct and Retaliation”)
- UAP 7205 (“Dishonest or Fraudulent Activity”)

Inclusiveness and Respect

Diversity

- RPM 2.4 (“Diversity and Campus Climate”)

Sexual Harassment

- RPM 2.5 (“Sexual Harassment”)
- UAP 2730 (“Sexual Harassment”)
- UAP 2740 (“Sexual Violence and Sexual Misconduct”)

Respectful Campus

- UAP 2240 (“Respectful Campus”)
- Faculty Handbook C09 (“Respectful Campus”) *Includes procedures for reporting and investigating complaints of faculty bullying*

Equal Opportunity

- RPM 2.3 (“Equal Opportunity and Affirmative Action for Employees and Students”)
- UAP 2720 (“Equal Opportunity, Non-Discrimination, and Affirmative Action”)
- UAP 2310 (“Academic Adjustments for Students with Disabilities”)
- UAP 3110 (“Reasonable Accommodation for Employees with Disabilities”)
- UAP 2295 (“Service Animals”)

Responsibility to Community

- RPM 2.10.1 (“Historic Preservation”)
- UAP 5020 (“Historic Preservation”)
- UAP 2100 (“Sustainability”)

Report on Regents' Audit Committee Meeting June 29, 2015

The **Regents' Audit Committee (Committee)** held a Meeting on June 29, 2015.

ACTION ITEMS:

- The Committee approved the minutes from the meeting of April 22, 2015.
- The Committee approved proposed meeting dates of August 18, 2015, November 5, 2015, February 18, 2016, and April 21, 2016.
- The Committee approved UNM Hospitals Material Management and the Sandoval Regional Medical Center Materials Management audit reports.
- The Committee approved Review of Harwood Museum of UNM, Report # 2015-03.
- The Committee approved the University Guiding Principles, Regents' Policy Manual – new Section 2.18.

University Guiding Principles – RPM 2.18. Dr. Amy Wohlert, Chief of Staff, Office of the President and Ms. Pamina Deutsch, Director, UNM Policy Office, presented University Guiding Principles and the related draft policy 2.18. Originally a code of ethics document for Main Campus was drafted based on the HSC model; however, that code proved unwieldy due to the larger mission of all of Main Campus.

The President's Office convened a committee that included co-chairs Linda Ferrell, Bill Daniels Professor of Business Ethics, and Melissa Bokovoy, Chair, History Department. With their leadership they established a committee that included representatives from Staff Council, Faculty Senate, student leadership, Compliance Office, and the HSC. They consulted with experts, including the Daniels Foundation [Fund], known leaders in ethics, and advisors such as Ann Rhodes, Chair, People Ink. People Ink advises corporations on ethical matters. They met approximately eight times over several months to develop a set of guiding principles. The draft was presented to and approved by multiple constituent groups. The draft was presented to the Board of Regents at the end of last year. The Regents had some suggestions, such as additional language in the preamble. Ms. Wohlert noted the principles invoke freedom, integrity, respect, and responsibility. These ideas are broad and not controversial. But the real value of this policy is the link to the related policies. We have many documents that guide behavior but the difficulty is with pointing to the right one at the right time. The principles will guide employees when they make decisions. It's not just a tool to use during evaluations.

The President has a huge role in invoking the principles when decisions are being made. These principles can either enhance or replace some of the language about values in the yearly evaluation form. Regent Quillen added they have a set of principles at her hospital and it is weighted heavily on their leadership team, setting the tone and the example. It is a process and it does start with the top. Ms. Wohlert noted they kept it to four principles because people can hold that in memory. President Frank stated that he thought we would end up in a different place, one more like HSC, but he has been educated and now knows we are in the right place. He fully supports the Principles and is ready to move forward. It is time to start using this and learn how to make it a living part of our organization.

INFORMATION ITEMS:

- Chairman Hosmer asked for Advisors' Comments. Texanna Martin, President, GPSA stated that their grant cycle is open and is closing July 11th. If you have any graduate students in your departments, please let them know to apply for the two that are available on the GPSA website. The Student Fee Review Board (SFRB) is meeting today (6/29/15). There were no other advisor comments.
- Helen Gonzales, Chief Compliance Officer, Main Campus shared the materials for her quarterly report. She reported that one of the larger activities in her office is to track federal and state regulations to identify compliance obligations that affect UNM. She shared some key statistics with the Committee.

In February of this year, the United States Senate Committee on Health Education Labor and Pensions received a report of the Task Force on Federal Regulations of Higher Education. The report was entitled Recalibrating Regulation of Colleges and Universities. The report found that many federal regulations have little or nothing to do with education of students, yet take a substantial amount of effort. The study talks about Vanderbilt University. Vanderbilt hired the Boston Consulting Group (BCG) to do an analysis of their compliance obligations. The BCG found that Vanderbilt spends \$150 million per year on all the different types of compliance; representing approximately \$11,000 of the cost of tuition. UNM is required to comply with over 400 federal and state compliance obligations, representing 89 agencies and divisions of agencies.

Ms. Gonzales stated her office is working on a risk assessment in partnership with Internal Audit. Her office is also piloting a risk mitigation worksheet with some of the compliance partners.

The largest bulk of recent work in her office is administering the hotline. Her office took over administration of the hotline at the end of March for the entire UNM system. There is a new vendor. Ms. Gonzales also provided the Committee with a screen shot of what it looks like when someone makes a complaint via the complaint website. She has an alphabetical list of the responsible people for every type of issue. Eventually they will publish the list so that individuals can either go through the hotline or directly to the individual who owns the issue. They have asked the health system to compile and provide a similar list. Regent Lee asked where they find the link to the hotline on the UNM main page. Regent Quillen agreed that is hard to find and communication, education and access should be improved.

- Mr. Patel reviewed his Director's Report. Internal Audit expects to close out the fiscal year with a reserve of about \$20,000. That will carry forward into FY16. Internal Audit is dedicating \$10,000 to balance the budget for FY16. Chairman Hosmer had asked Mr. Patel to compile a list of compliance certifications for University compliance personnel. Those are listed in this Director's Report and he reviewed them for the Committee

Advisor Comments

(written reports are included in the agenda book)



Regents Meeting 8/14/2015

Happy Friday! I'm sorry that I can't be at the meeting today, but I am spending my last day at home with my Kindergartener. Both as a parent and as a staff member I have been preparing for a new school year along with all of my colleagues. We are excited for the new students and faculty joining us this year.

1. We had a successful staff appreciation month. We celebrated another year of the great accomplishments of the staff. I want you to remember that we represent a large group of people from our custodial workers to Directors. We are an integral part of the University, it was great to see the Administrators who came out in support of our staff at our various events.
2. We voted on Staff Resolution #4 requesting more data analysis from the staff engagement survey. Hopefully you have seen a copy of the resolution and have read over the staff Engagement Survey on the President's website. We are hoping that we have your support on this resolution and look forward to extracting data that will help us as staff make UNM a destination university.
3. We are working on our first steps in the Strategic Plan including; better communication with constituents, highlighting staff council's contribution to UNM staff, fostering leadership at UNM and in the community, and working on staff well being. As we get further in these processes we will update you on the progress.
4. Per the request of Regent Koch, we are continuing to review the budget process and we are looking at recommendations from staff members on what changes we would like to see. We have been diligently seeking feedback and will present this information at the next F&F meeting.

We are looking forward to more collaborative efforts with the Regents and we are grateful for the opportunities we have been given thus far. Thank you for your time and consideration!

Fundraising Performance Report

FY 14/15, June 30, 2015

Changing Worlds 2020 Campaign	CASE Campaign Standards			VSE Standards
	Campaign to Date	GOAL	% OF GOAL	To Date
Gift Commitments	\$ 749,135,581	\$1,000,000,000	74.9%	\$ 572,007,551
- Cash/Cash Equivalents	437,447,232	N/A	N/A	572,007,551
- In-Kind	70,929,038	N/A	N/A	N/A
- Pledges	99,764,109	N/A	N/A	N/A
- Testamentary	140,995,202	N/A	N/A	N/A
Pledges Due	18,819,529	N/A	N/A	N/A
Testamentary Gifts Due	121,324,302	N/A	N/A	N/A

Council for Advancement and Support of Education (CASE) reporting is on an "accrual basis" and Voluntary Support of Education (VSE) reporting is on a "cash basis".

Gift Commitments (Fiscal Year)	This Quarter		FY 14/15	GOAL	FY 13/14			
Main Campus								
- Cash/Cash Equivalents	\$	2,071,429	\$	8,702,251	N/A	\$	9,333,205	
- In-Kind		765,606		4,086,222	N/A		6,206,755	
- Pledges		2,000		2,616,000	N/A		1,766,851	
- Testamentary		4,681,000		7,257,380	N/A		5,825,867	
Sub-Total	\$	7,520,035	\$	22,661,853	\$	21,600,000	\$	23,132,678
HSC								
- Cash/Cash Equivalents	\$	4,487,374	\$	20,635,290	N/A	\$	14,191,281	
- In-Kind		80,317		1,804,878	N/A		2,269,989	
- Pledges		995,000		1,235,000	N/A		2,039,834	
- Testamentary		-		1,724,000	N/A		8,689,881	
Sub-Total	\$	5,562,691	\$	25,399,168	\$	24,175,000	\$	27,190,985
Athletics								
- Cash/Cash Equivalents	\$	3,989,267	\$	7,803,906	N/A	\$	5,409,133	
- In-Kind		-		1,863	N/A		3,423,728	
- Pledges		-		6,850,000	N/A		915,000	
- Testamentary		-		100,000	N/A		1,806,946	
Sub-Total	\$	3,989,267	\$	14,755,769	\$	10,750,000	\$	11,554,807
Other Campus Units *								
- Cash/Cash Equivalents	\$	5,713,461	\$	20,925,750	N/A	\$	14,276,837	
- In-Kind		240		7,372	N/A		7,854,410	
- Pledges		-		7,250	N/A		3,028,100	
- Testamentary		552,879		6,928,794	N/A		592,703	
Sub-Total	\$	6,266,580	\$	27,869,166	\$	23,475,000	\$	25,752,050
Total	\$	23,338,573	\$	90,685,956	\$	80,000,000	\$	87,630,520

* Other campus units include KNME, KUNM, UNM Branch Campuses, President's Office, Provost's Office, Enrollment Services, Student Affairs and numerous other units not classified as main campus, HSC or athletics.

Gift Destinations	This Quarter	FY 14/15	FY 13/14	FY 12/13
UNM Foundation	\$ 16,051,547	\$ 51,048,398	\$ 51,480,258	\$ 48,385,834
Reported Gifts *	\$ 7,287,026	\$ 39,637,558	\$ 36,150,262	\$ 33,212,783
Total	\$ 23,338,573	\$ 90,685,956	\$ 87,630,520	\$ 81,598,617

* Reported Gifts = gifts made directly to KNME, KUNM, Lobo Club, and OVPR, but reported by UNM Foundation per MOA.

FY 14/15, June 30, 2015

Gift Commitments (Fiscal Year)	FY 14/15	FY 13/14	FY 12/13
Cash/Cash Equivalents	\$ 58,067,197	\$ 43,210,456	\$ 49,811,524
Pledges			
- Beginning Balance Pledges Receivable	\$ 27,119,237	\$ 28,290,140	28,115,352
- Add: New Pledges	\$ 10,708,250	\$ 7,843,202	5,034,503
- Less: Pledge Payments	\$ (18,905,499)	\$ (8,920,688)	\$ (4,771,965)
- Less: Pledges Modified/Written Off	\$ (102,459)	\$ (93,417)	(87,750)
- Ending Balance Pledges Receivable	\$ 18,819,529	\$ 27,119,237	28,290,140
In Kind	\$ 5,900,335	\$ 19,754,882	9,831,358
Testamentary	\$ 16,010,174	\$ 16,915,397	17,008,982
Total	\$ 90,685,956	\$ 87,630,520	\$ 81,598,617

Performance Measures	This Quarter	FY 14/15	FY 13/14	FY 12/13
Gift Commitment Income	\$ 23,338,573	\$ 90,685,956	\$ 87,630,520	\$ 81,598,617
# of Gifts	9,099	34,205	34,885	33,902
# of Donors	4,957	13,934	14,322	14,932

Efficiency Measures	FY 14/15	FY 13/14	FY 12/13	FY 11/12
Cost per Dollar Raised *	\$0.12	\$0.13	\$0.12	\$0.11

* Compares UNM Foundation budget expenditures to gift commitments.

Consolidated Investment Fund - Investment Performance

FY 14/15, June 30, 2015

Investment Performance Results	Market Value	FY 14/15	1-Year	3-Year	5-Year
FY 14/15 (June 30, 2015)	\$ 405,799,240	0.50%	0.50%	9.30%	9.50%
Custom Benchmark *		1.60%	1.60%	9.30%	9.10%
FY 13/14 (June 30, 2014)	\$ 409,136,821	N/A	16.70%	9.50%	11.50%
Custom Benchmark *		N/A	16.30%	8.50%	10.90%
NACUBO/Commonfund **		N/A	15.50%	9.00%	11.70%

* Custom Benchmark is a blended benchmark consisting of indices for all asset classes.

** NACUBO/Commonfund Endowment Study (\$101 million to \$500 million)

Consolidated Investment Fund - Asset Allocation

FY 14/15, June 30, 2015

Investment Class	Current Allocation	Target Allocation	Investment Policy Ranges
Domestic Equity	22.0%	20%	10% - 50%
International Equity	20.8%	20%	10% - 40%
Fixed Income/Cash	19.0%	20%	10% - 50%
Real Assets - Liquid	3.1%	5%	0% - 5%
Real Assets - Illiquid	4.7%	5%	0% - 10%
Hedge Funds	20.0%	20%	0% - 20%
Private Equity	10.3%	10%	0% - 15%

Consolidated Investment Fund - Spending Distribution

FY 14/15, June 30, 2015

CIF Spending Distribution	FY 14/15	FY 13/14	FY 12/13	FY 11/12
Spending Distribution %	4.50%	4.50%	4.50%	4.50%
Total \$ Distribution (millions)	\$ 14,969,781	\$ 13,843,961	\$ 13,489,948	\$ 13,984,508
Total \$ Distribution/Unit	\$12.05	\$10.92	\$10.47	\$10.58

Consolidated Investment Fund - Development Funding Allocation

FY 14/15, June 30, 2015

Development Funding Allocation	Percentage Rate	Dev Funding Allocation	Total Budget Expenditures	% Overall Budget
FY 14/15	1.4/1.6%	\$5,184,050	\$11,309,689	46%
FY 13/14	1.40%	\$4,655,028	\$11,367,397	41%
FY 12/13	1.85%	\$5,574,795	\$9,757,964	57%
FY 11/12	1.85%	\$5,906,418	\$9,106,051	65%
FY 10/11	1.85%	\$5,726,764	\$9,469,965	60%

UNM Foundation Budget vs. Actual

FY 14/15, June 30, 2015

Sources of Budget	Budget	FYTD	% Used	Projected
UNM Support	\$ 4,821,000	\$ 4,934,171	102.35%	N/A
Development Funding Allocation	4,783,150	5,184,050	108.38%	N/A
Short-Term Investment Income	905,000	(131,016)	-14.48%	N/A
Cost Sharing Reimbursement	719,600	707,174	98.27%	N/A
Unrestricted Gifts & Other Revenue	125,000	325,743	260.59%	N/A
Total	11,353,750	11,020,122	97.06%	N/A

Uses of Budget	Budget	FYTD	% Used	Projected
Salaries/Fringe Benefits	\$ 9,073,158	\$ 9,068,627	99.95%	N/A
Operating Expenditures	2,465,134	2,241,062	90.91%	N/A
Total	11,538,292	11,309,689	98.02%	N/A

Reserve Balances	Budget	FYTD	% Used	Projected
Surplus/(Deficit) from Operations	\$ (184,542)	\$ (289,567)	N/A	N/A
Beginning Reserve Balances	1,509,426	1,509,426	N/A	N/A
Ending Reserve Balances	1,324,884	1,219,859	N/A	N/A

Revisions to Reports Approved by Board of Regents
March 2012
September 2012

**THE UNIVERSITY OF NEW MEXICO
MR. AND MRS. HUGH B. AND HELEN K. WOODWARD ENDOWMENT
FUNDED BY THE SANDIA FOUNDATION**

	2009-10	2010-11	2011-12	2012-13	2013-14	2014-15
<u>PRINCIPAL/CORPUS</u>						
BEGINNING MARKET VALUE, JULY 1:	\$ 30,698,788	\$ 32,422,373	\$ 37,282,001	\$ 36,545,942	\$ 40,213,741	\$ 46,217,372
ADDITIONS	877,500	1,125,000	1,260,000	1,327,500	1,046,250	1,800,000
INVESTMENT EARNINGS	3,238,163	6,040,036	132,283	4,119,725	6,788,018	438,314 (1)
DEVELOPMENT FUNDING ALLOCATION	(623,475)	(643,802)	(603,057)	-	-	- (3)
SPENDING DISTRIBUTION	<u>(1,768,603)</u>	<u>(1,661,606)</u>	<u>(1,525,285)</u>	<u>(1,779,426)</u>	<u>(1,830,637)</u>	<u>(1,997,690) (2)</u>
ENDING MARKET VALUE, JUNE, 30:	<u>\$ 32,422,373</u>	<u>\$ 37,282,001</u>	<u>\$ 36,545,942</u>	<u>\$ 40,213,741</u>	<u>\$ 46,217,372</u>	<u>\$ 46,457,996</u>

(1) FY 2014-15 Net Investment Earnings:	Represents the actual net investment earnings through June 30, 2015. Net investment earnings for the period of July 1, 2014, through June 30, 2015, were 0.5%, net of manager fees.
(2) FY 2014-15 Spending Distribution:	Represents the quarterly spending distributions from July 1, 2014, through June 30, 2015.
(3) FY 2014-15 Development Funding Allocation:	The Development Funding Allocation is not assessed on the Woodward endowment.

**THE UNIVERSITY OF NEW MEXICO
WINROCK LAND SALE ENDOWMENT**

	2009-10	2010-11	2011-12	2012-13	2013-14	2014-15
<u>PRINCIPAL/CORPUS</u>						
BEGINNING MARKET VALUE, JULY 1:	\$ 24,076,065	\$ 24,767,866	\$ 27,587,901	\$ 26,069,492	\$ 27,420,035	\$ 30,541,208
ADDITIONS	-	-	-	-	-	-
INVESTMENT EARNINGS	2,544,475	4,553,291	97,208	2,900,008	4,564,443	284,506 (1)
DEVELOPMENT FUNDING ALLOCATION	(483,585)	(485,153)	(486,943)	(496,004)	(400,496)	(435,063)
SPENDING DISTRIBUTION	<u>(1,369,089)</u>	<u>(1,248,103)</u>	<u>(1,128,674)</u>	<u>(1,053,461)</u>	<u>(1,042,774)</u>	<u>(1,094,630) (2)</u>
ENDING MARKET VALUE, JUNE, 30:	<u>\$ 24,767,866</u>	<u>\$ 27,587,901</u>	<u>\$ 26,069,492</u>	<u>\$ 27,420,035</u>	<u>\$ 30,541,208</u>	<u>\$ 29,296,021</u>

(1) FY 2014-15 Net Investment Earnings:	Represents the actual net investment earnings through June 30, 2015. Net investment earnings for the period of July 1, 2014, through June 30, 2015, were 0.5%, net of manager fees.
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(2) FY 2014-15 Spending Distribution:	Represents the quarterly spending distributions from July 1, 2014, through June 30, 2015.
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**THE UNIVERSITY OF NEW MEXICO
REGENTS' ENDOWMENT**

	2005-06	2006-07	2007-08	2008-09	2009-10	2010-11	2011-12	2012-13	2013-14	2014-15
<u>PRINCIPAL/CORPUS</u>										
BEGINNING MARKET VALUE, JULY 1:	\$ 26,548,336	\$ 32,325,235	\$ 36,674,360	\$ 35,018,525	\$ 25,165,952	\$ 25,889,070	\$ 28,836,764	\$ 27,249,619	\$ 27,508,609	\$ 30,639,864
ADDITIONS:										
MESA DEL SOL PROPERTY SALE	8,045,923	-	-	-	-	-	-	-	-	-
INNOVATE ABQ REPAYMENT	-	-	-	-	-	-	-	-	-	2,038,082
WITHDRAWALS:										
ASM STUDENT INVESTMENT PROGRAM	(2,000,000)	-	-	-	-	-	-	-	-	-
INNOVATE ABQ	-	-	-	-	-	-	-	-	-	(2,800,000)
GIBSON/MULBERRY PROPERTY PURCHAS	(1,645,435)	-	-	-	-	-	-	-	-	-
2811 CAMPUS PROPERTY PURCHASE	(242,798)	-	-	-	-	-	-	-	-	-
SCHOLARSHIP FUNDING	-	-	-	(880,525)	-	-	-	-	-	-
BASEBALL FIELD CAPITAL PROJECT	-	-	-	-	-	-	-	(1,100,000)	-	-
INVESTMENT EARNINGS	2,789,695	6,123,941	284,643	(7,060,716)	2,659,659	4,759,412	101,609	2,917,311	4,579,187	256,330 (1)
DEVELOPMENT FUNDING ALLOCATION		(329,734)	(398,416)	(335,326)	(505,476)	(507,115)	(508,986)	(502,354)	(401,790)	(420,815)
SPENDING DISTRIBUTION	(1,170,486)	(1,445,081)	(1,542,062)	(1,576,006)	(1,431,065)	(1,304,603)	(1,179,768)	(1,055,967)	(1,046,142)	(1,069,742) (2)
ENDING MARKET VALUE, JUNE 30:	<u>\$ 32,325,235</u>	<u>\$ 36,674,360</u>	<u>\$ 35,018,525</u>	<u>\$ 25,165,952</u>	<u>\$ 25,889,070</u>	<u>\$ 28,836,764</u>	<u>\$ 27,249,619</u>	<u>\$ 27,508,609</u>	<u>\$ 30,639,864</u>	<u>\$ 28,643,719 (4)</u>

(1) FY 2014-15 Net Investment Earnings:	Represents the actual net investment earnings through June 30, 2015. Net investment earnings for the period of July 1, 2014, through June 30, 2015, were 0.5%, net of manager fees.
(2) FY 2014-15 Spending Distribution:	Represents the quarterly spending distributions from July 1, 2014, through June 30, 2015.
(3) FY08-09 Withdrawal for scholarship funding:	\$1,000,000 was authorized to be withdrawn from the Regents' Endowment for FY08-09 scholarship funding. Of the authorized \$1,000,000, \$880,525 was withdrawn from the endowment.
(4) Mesa Del Sol Property Sale:	The Regents' Endowment includes proceeds from the "Mesa del Sol" property sale. Total proceeds of this sale were \$8,045,923 and the proceeds were added to the Regents' endowment in June of 2006. The Mesa del Sol contribution is not tracked separately, but an estimated value based on net investment earnings, spending distributions, withdrawals, and development funding allocations for the Regents' Endowment since that time, is \$6,686,409.

THE UNIVERSITY OF NEW MEXICO
REGENTS' ENDOWMENT - MESA DEL SOL ADDITION

	2005-06	2006-07	2007-08	2008-09	2009-10	2010-11	2011-12	2012-13	2013-14	2014-15
<u>PRINCIPAL/CORPUS</u>										
BEGINNING MARKET VALUE, JULY 1:	\$ -	\$ 8,045,923	\$ 9,128,444	\$ 8,716,297	\$ 6,483,107	\$ 6,669,392	\$ 7,428,760	\$ 7,019,889	\$ 6,227,564	\$ 6,936,437
ADDITIONS:										
MESA DEL SOL PROPERTY SALE	8,045,923	-	-	-	-	-	-	-	-	-
INNOVATE ABQ REPAYMENT	-	-	-	-	-	-	-	-	-	2,038,082
WITHDRAWALS:										
MERIT-BASED SCHOLARSHIPS	-	-	-	-	-	-	-	-	-	-
INNOVATE ABQ	-	-	-	-	-	-	-	-	-	(2,000,000)
GIBSON/MULBERRY PROPERTY PURCHASE	-	-	-	-	-	-	-	-	-	-
2811 CAMPUS PROPERTY PURCHASE	-	-	-	-	-	-	-	-	-	-
BASEBALL FIELD CAPITAL PROJECT	-	-	-	-	-	-	-	(1,100,000)	-	-
INVESTMENT EARNINGS	-	1,524,281	70,849	(1,757,450)	685,166	1,226,092	26,176	660,479	1,036,664	59,836 (1)
DEVELOPMENT FUNDING ALLOCATION	-	(82,073)	(99,168)	(83,464)	(130,218)	(130,640)	(131,122)	(113,733)	(90,960)	(98,232)
SPENDING DISTRIBUTION	-	(359,688)	(383,827)	(392,276)	(368,663)	(336,084)	(303,925)	(239,071)	(236,832)	(249,714) (2)
ENDING MARKET VALUE, JUNE 30:	<u>\$ 8,045,923</u>	<u>\$ 9,128,444</u>	<u>\$ 8,716,297</u>	<u>\$ 6,483,107</u>	<u>\$ 6,669,392</u>	<u>\$ 7,428,760</u>	<u>\$ 7,019,889</u>	<u>\$ 6,227,564</u>	<u>\$ 6,936,437</u>	<u>\$ 6,686,409 (4)</u>

(1) FY 2014-15 Net Investment Earnings:	Represents the actual net investment earnings through June 30, 2015. Net investment earnings for the period of July 1, 2014, through June 30, 2015, were 0.5%, net of manager fees.
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(2) FY 2014-15 Spending Distribution:	Represents the quarterly spending distributions from July 1, 2014, through June 30, 2015.
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(4) Mesa Del Sol Property Sale:	The Regents' Endowment includes proceeds from the "Mesa del Sol" property sale. Total proceeds of this sale were \$8,045,923 and the proceeds were added to the Regents' endowment in June of 2006. The Mesa del Sol contribution is not tracked separately, but an estimated value based on net investment earnings, spending distributions, withdrawals, and development funding allocations for the Regents' Endowment since that time, is \$6,686,409.
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Appendix:

Minutes of UNM Health Sciences Center Meetings

(if you are interested in seeing any of the HSC meeting minutes listed on the official agenda, please call the Regents' Office at 277-7639 or send an email to regents@unm.edu and a copy of the minutes can be forwarded to you.)