



**UNIVERSITY OF NEW MEXICO
BOARD OF REGENTS**

Agenda

**October 18, 2016
2:00 PM
SUB Ballroom C**

The Board of Regents of the University of New Mexico
Meeting in Open Session and Executive Session
October 18, 2016, 2:00 PM
Student Union Building (SUB), Ballroom C
Executive Session, SUB, Cherry Silver Room
AGENDA

TAB

- I. Call to Order, Confirmation of a Quorum, Adoption of the Agenda 1
Regent Vice President Marron Lee
- II. Approval of Minutes: September 1, 2016 special meeting; September 10, 2016 special meeting;
September 13, 2016 regular meeting; September 19, 2016 special meeting; September 23, 2016
special meeting 2
- III. The President's Administrative Report, *President Robert G. Frank* 3

Public Comment – comments related to items on the agenda (limit 3 min.)

Regent Advisors - comments related to agenda items (limit 3 min.)

Comments from Regents

IV. Regent Committee Reports

Consent Docket

(Action items on Regent's Committee agendas may move to the Board of Regents' agenda as consent items; the below items are placed on the consent docket by the respective committee chairs; items on the consent docket received unanimous approval in committee; per Regents' Policy 1.2, "Any member of the Board of Regents shall have the right upon request to remove any item from the Board's consent agenda and place the item on the Board's regular agenda for discussion.")

1. Health Sciences Center Committee (HSCC) Consent Item, *Regent Marron Lee, Chair*
a. Approval of the Nomination of Michael F. Shannon to the Carrie Tingley Hospital Advisory
Board, *Steve McKernan, CEO UNM Hospitals* C-1
2. Academic/Student Affairs & Research Committee (ASAR) Consent Item, *Regent Bradley C. Hosmer, Chair*
a. Posthumous Degree: Janice Flores C-2
Lisa Lindquist, Program Manager, Dean of Students Office
3. Finance and Facilities Committee (F&F) Consent Item, *Regent Marron Lee, Chair*
a. Approval of Disposition of Surplus Property for Main Campus for September 2016 C-3
Bruce Cherrin, Chief Procurement Officer

Health Sciences Center Committee (HSCC), Regent Marron Lee, Chair

Action Item

1. Approval of HSC Reserve Categorization Report Pursuant to Admin. Policy 7000 4
Ava Lovell, Exec. Officer, Finance & Administration, HSC

Finance and Facilities Committee (F&F), Regent Marron Lee, Chair

Action Items

1. Approval of Reports for University Administrative Policy 7000 for Main Campus and
Branch Campuses – FY 2015/16, *Andrew Cullen, AVP OPB&A* 5
2. Approval of Organizational Alignment for UNM Main Campus and HSC 6
Dorothy Anderson, VP HR; AON Consultants

Information Item

3. FY17 Proposed Budget Reduction Plan 7
Andrew Cullen, AVP OPBA; Norma Allen, Assoc. Dir. OPBA; Nicole Dopson, Financial Officer

Academic/Student Affairs & Research Committee (ASAR), Regent Bradley C. Hosmer, Chair

No Items (see consent docket)

Audit and Compliance Committee, Regent Jack Fortner, Chair

No Items

Public Comment – comments not related to items on the agenda (limit 3 min. per speaker)

- V. Advisors' Reports (limit 3 min.).....8
- VI. Vote to close the meeting and proceed in Executive Session
1. Discussion and decision, if appropriate, of strategic or long-range plans of public hospitals as permitted by Section 10-15-1.H(9), NMSA (1978)
 2. Discussion and determination where appropriate of threatened or pending litigation as permitted by Section 10-15-1.H(7), NMSA (1978)
 3. Discussion and determination where appropriate of limited personnel matters as permitted by Section 10-15-1 (2), NMSA (1978)
 4. Discussion and determination where appropriate of the purchase, acquisition or disposal of real property as permitted by Section 10-15-1.H(8), NMSA (1978)
 5. Discussion of personally identifiable information about any individual student as permitted by Section 10-15-1.H(4), NMSA (1978)
- VII. Vote to re-open the meeting and certification that only those matters described in Agenda Items VI. were discussed in Closed Session and if necessary, final action with regard to those matters will be taken in Open Session.
- VIII. Adjourn

Minutes of the Special Meeting of the Board of Regents of the University of New Mexico
September 1, 2016
Roberts Room, Scholes Hall, Main Campus

Members present

Robert M. Doughty, President; Marron Lee, Vice President; Ryan Berryman; Tom Clifford; Bradley C. Hosmer; Suzanne Quillen

Members present telephonically

Jack Fortner (via iPad FaceTime)

Presenters in attendance

James Lewis, President, UNM Alumni Association; Harold Lavender, President-Elect, UNM Alumni Association; Dana Allen, VP Alumni Relations

Others in attendance

Members of administration, faculty, staff, students, the media and others.

CALL TO ORDER, CONFIRMATION OF A QUORUM AND APPROVAL OF THE AGENDA

Regent President Robert Doughty called the meeting to order at 10:45 AM. A quorum was confirmed; all members were present. Regent Jack Fortner joined the meeting via FaceTime. Regent Doughty asked for a motion to adopt the agenda.

The motion to adopt the agenda passed by a unanimous vote with a quorum of Regents present and voting (1st Lee; 2nd Clifford).

DISCUSSION AND APPROVAL OF ALUMNI ASSOCIATION OPERATIONS MOU AND COURTYARD MOU

Regent President Doughty provided background: this item was on the agenda of the August 18 Board of Regents meeting and was tabled at that meeting so further work could be done on the agreements. Regent Doughty appointed Regents Marron Lee and Tom Clifford from the regents' side who worked with an ad hoc committee on the Alumni side made up of, James Lewis, Harold Lavender, Sandra Begay and Daniel Trujillo. Dana Allen also worked with the committees. Regent Doughty thanked those who worked on the agreements and members of the Alumni Association for everything they do for the University. He recognized Dana Allen for her work and her role as VP of Alumni Relations. Regent Doughty expressed gratitude to Karen Abraham for her years at UNM and the Alumni Association for the gift of the courtyard and commitment to the University.

James Lewis thanked the Regents and reiterated the commitment as President of the Alumni Association to enhance communication, collaboration and cooperation and invited the Regents to Hodgin Hall anytime to learn more about the Alumni Association activities. Mr. Lewis talked about the importance of getting input from everybody. After the Alumni ad hoc committee worked on the agreements, they were reviewed by the Alumni Executive Committee and the Alumni Board. There was some give and take and Mr. Lewis thanked the Regents for accepting some of the suggestions. Mr. Lewis thanked others, including President Frank, David Harris and Kevin Stevenson. James Lewis talked about the courtyard and the way forward and recommended approval of both documents. There was clarification the courtyard document is an MOA. There was discussion.

Regent Clifford thanked everyone involved on the timely work so the courtyard can be completed and added he appreciates the invitation to learn more about Alumni Association activities. In particular, Regent Clifford expressed interest to know more about how the Alumni Association helps UNM graduates find jobs. UNM Alumni are a large and talented group of people who are engaged in business throughout the State and the country. Regent Clifford said the MOU doesn't address this explicitly, but confirmed he supported the documents.

Regent Clifford moved approval. Regent Marron Lee seconded the motion.

Regent Quillen thanked those who worked hard and long hours on the documents. It is important the Alumni Board had a chance to review them. Regent Quillen echoed appreciation for the Alumni Association, the passion and energy it brings to all of the events it sponsors and supports for the University. The events receive wide participation from great leaders in the community, and it's a time to partner more rather than less with our Alumni. Mr. Lewis responded mentioning recent events such as the Lobo Living Room organized by the Alumni Association to engage the community on issues such as branding.

Regent Lee thanked the Alumni Association. At times the debate was spirited, and Regent Lee expressed appreciation that all sides feel passionately about this institution. The MOU demonstrates the value of one institution and alignment of strategic and financial values in order to enhance what we have, so we will be stronger tomorrow. James Lewis responded and thanked also Director of Internal Audit, Manu Patel and his staff for all their hard work.

Student Regent Berryman offered thanks and expressed appreciation in particular of the coordinated efforts addressed in the MOU in the area of communications and marketing. The Alumni presence evident in other cities and states is great to see.

Regent Hosmer commented both documents state the Alumni Association is an independent entity and include provisions that make it virtually a wholly-owned subsidiary. There is a conflict with Regents serving on the Alumni Board. There is conflict of interest, because a regent has a fiduciary responsibility for two separate entities that do business with the other, so by definition would be conflicted. Regent Lee responded there is a similar setup with other subsidiaries of the University such as the Foundation, Lobo Development and Lobo Energy. Additionally, Legal Counsel has reviewed these documents in their various iterations and has assured they are legal and appropriate.

There was discussion about whether the motion on the table included approval of only one or both documents. There was clarification the motion was for adoption of both the operations MOU and the courtyard MOA.

Regent Quillen requested clarification on the substantive changes in the operations MOU from the prior approved MOU of May 2015, and if they are two regents on the board and with regard to new donor money. Regent Lee responded there are other clarification changes from the May 2015 MOU related to reporting.

There was discussion and clarification there was no change from the prior MOU regarding the wording addressing VP of Alumni Relations.

The motion to approve the Alumni Association Operations MOU and the Courtyard MOA passed by a vote of 6-0-1; Regents Berryman, Doughty, Clifford, Lee, Quillen and Fortner voted for; Regent Hosmer abstained (1st Clifford; 2nd Lee).

VOTE TO CLOSE THE MEETING

The vote to close the meeting and proceed in Executive Session passed unanimously (1st Lee; 2nd Berryman). The meeting closed at 11:05 AM.

Closed session agenda:

1. Discussion and determination where appropriate of the purchase, acquisition or disposal of real property as permitted by Section 10-15-1.H(8), NMSA (1978)
2. Discussion and determination where appropriate of threatened or pending litigation as permitted by Section 10-15-1.H(7), NMSA (1978)
3. Discussion and determination where appropriate of limited personnel matters pursuant to Section 10-15-1(2), NMSA (1978)

VOTE TO OPEN THE MEETING

The vote to open the meeting passed unanimously (1st Lee; 2nd Clifford). The meeting opened at 12:03 PM.

Regent Doughty certified only those matters described in the closed session agenda were discussed and there was no item upon which the board took action.

ADJOURN

The motion to adjourn the meeting passed unanimously (1st Lee; 2nd Quillen). The meeting adjourned at 12:03 PM.

Approved:

Attest:

Robert M. Doughty III, President

Jack L. Fortner, Secretary/Treasurer



THE UNIVERSITY of
NEW MEXICO

**NMSU Board of Regents and UNM Board of Regents
Special Joint Regents Meeting
Saturday, September 10, 2016, 1:00-3:00 PM
NMSU Regents Room
Educational Services Building on the NMSU Main Campus
1780 E. University Ave, Las Cruces, NM 88003**

AGENDA

MINUTES

Note: For verbatim minutes on our webcast, please access regents.nmsu.edu.

NMSU Regents present: Chair Debra Hicks, Regent Jerean Hutchinson, Regent Amanda López Askin. Unable to attend, Regent Mike Cheney and Regent Kari Mitchell

UNM Regents present: President Rob Doughty, Regent Marron Lee, Regent Suzanne Quillen, Regent Ryan Berryman, Regent Tom Clifford. Unable to attend, Regent Jack Fortner and Regent Brad Hosmer

1. CALL TO ORDER, *Regent Chairman Debra Hicks, NMSU; Regent President Rob Doughty, UNM*

Chairs Debra Hicks and Rob Doughty called the joint meeting to order at 1:12 pm.

2. INTRODUCTIONS AND OPENING COMMENTS

Chair Doughty introduced the Regents from the University of New Mexico. He stated that the two institutions share a common goal, graduating students for New Mexicans.

3. APPROVAL OF THE AGENDA

UNM Regent Suzanne Quillen moved adoption of the agenda. The motion was seconded by UNM Regent Ryan Berryman.

4. PUBLIC COMMENT

Justin Bannister introduced Kery Greiner who made public comments about the proposed dissolution of the Engineering surveying Academic Program at NMSU. He provided the following comments:

“Good afternoon Madame Chair and Regents, my name is Kery Greiner I am a Professional Surveyor and Treasurer for New Mexico Professional Surveyors our state organization.

The Survey/Engineering program is a part of the current proposed budget cuts. We feel remiss in our duties, towards the professional surveyors our organization represents, in not having kept up with the program and its standing. Believe me you have our full attention now. Current licensure requirements in New Mexico are a 4 year degree.

We have been working very hard the past 2 months providing the university with proposals on what could be done to restructure the program and finding funding in NM and nationally to help sustain the program and keep it in place. This is one of only 6 ABET accredited surveying programs in the country. We are very pleased with the reception the university has given our proposals through meetings we have already had and upcoming meetings in the next few weeks.

The program at NMSU has provided leadership for our profession not only in our state but nationally. The current president is a graduate, the past three presidents are graduates, the president elect & vice president are graduates. There is also a graduate on the Executive Committee of the National Society of Professional Surveyors.

We have a positive outlook for the continuation of the program here at NMSU. Thank you.”

5. THE NEW MEXICO ECONOMY, *Dr. Jim Peach, NMSU*

Dr. Jim Peach, an economist and Regents Professor at NMSU was introduced by NMSU Chair Debra Hicks. He presented a PowerPoint on the status of the New Mexico Economy.

UNM Regent Tom Clifford noted that the Boards of Regents need to pay attention to the demographics because the state is facing a 10% budget shortfall. NMSU Regent Jerean Hutchinson asked a question about unemployment. Is it really higher than 4.9% (U3) whereas nationally we are at 10%? Dr. Peach replied that this is a recurring problem (U6) and we need to respond faster. We could fix it by getting rid of the food tax (budget shortfall). 23% of New Mexico lives below the poverty level.

UNM Board President Doughty stated what could fix our (institutional) budget issues is simply to raise tuition, it is a sensitive issue around here. Dr. Peach retorted that he thinks we shouldn't charge tuition.

It was noted that a 5% state budget cut would hurt students and services. Dr. Peach noted NMSU is down 4.4% in enrollment which he attributes to economic conditions. NMSU Regent Jerean Hutchinson said that we have a declining population in New Mexico, we have an opportunity to diversify. The NMSU provost has done a great job with Descubre. Tuition is affordable and we can attract California, Texas, and fish in other ponds.

Dr. Peach concluded his presentation by talking about changes needed to change the economy by 2050.

6. DISCUSSION OF STATE FINANCES INCLUDING AN INDETERMINATE DECREASE IN CURRENT YEAR APPROPRIATION LEVELS

- Current year enrollment levels
- Impact of proposed 5% appropriation reduction

- Plans at each campus

UNM Board President Doughty presented the budget information and slides on enrollment. They talked about the strategy of possible reductions in administrative salaries which NMSU has undertaken for FY 17. NMSU Board Chair Debra Hicks raised the issue of restructuring. NMSU Chancellor Garrey Carruthers noted that 11 or 12 of 19 programs at NMSU have been through the restructuring process. Some programs pose big challenges such as ICT. We need to centralize ICT. He learned recently that NMSU has 14 email servers. He said it is expected that the Procurement restructuring may pay off. They are looking at 3 years of data. He gave an example of one of the big expenses being toner. We could save 2.5 to 3.5 million in savings. We have cut 32 million. Most recently we cut 12 million. We have a waiver process (for approving positions under the hiring moratorium). We are better managers.

NMSU Board Chair Debra Hicks spoke about the formation of a Regents Coalition statewide.

UNM Board President Rob Doughty stated that the Legislature wants Higher Education to “turn the tuition knob”. He wants to send a message that we are not interested. UNM is no longer a member of CUP (Council of University Presidents) but yes on the Regents Coalition.

NMSU Vice Chair of Faculty Senate stated that the cuts should be strategic as opposed to across the board. UNM mentioned they have a tuition share model. Provost Dan Howard wanted a correction noted that the NMSU academic cuts had been strategic and not “across the board” as stated by the Faculty Senate representative.

UNM Regent Marron Lee reported that at UNM they are reviewing positions, analyzing titles, and salaries. This is very difficult. It is easy to lead when you have a lot of money. It is very difficult when you don’t. UNM has embarked on an aggressive branding campaign ITT Tech shut down “out of the blue”. We need to look at this as an opportunity to meet the needs of higher education for the 21st Century.

7. DISCUSSION OF ATHLETIC PROGRAMS AT EACH INSTITUTION

- Academics
- Competitively
- Financially

NMSU Board Chair Debra Hicks cited the 3.127% overall GPA of NMSU athletes.

NMSU Athletic Director Mario Moccia spoke about NMSU’s focus on academics. He presented a copy of the Athletics Annual report which they were able to have done at no cost. The athletes graduate at a rate 20% above the regular graduation rate.

UNM Regent Ryan Berryman asked if NMSU has a problem with the “cost of attendance” for athletes on scholarship. UNM’s cost of attendance is \$3700 and NMSU’s is \$4500.

Director Moccia said it is typically not a recruiting issue.

UNM Board President Rob Doughty presented a list of the highlights from UNM’s athletic programs. He stated that UNM has added beer and alcohol sales at their stadium. There have been no incidences as a result and sales are good. They expect the sales to be around \$200,000.

8. DISCUSSION OF TITLE IX ISSUES RE: CAMPUS SEXUAL VIOLENCE AND RESPONSE FROM EACH INSTITUTION

- UNM Experience re: Department of Justice

UNM General Counsel Elsa Cole presented slides detailing the Department of Justice (DOJ) Policy and Procedures Investigation on Title IX compliance. The review was started in January of 2015 and completed in June 2015. A report was issued by the DOJ in April 2016. The report acknowledges the efforts undertaken by UNM toward compliance, but still finds that its policies and procedures are fully in compliance. One of the challenges UNM is grappling with is a DOJ demand that every student receive “in person training” on prevention of sexual assault and UNM’s grievance procedure for addressing Title IX complaints.

UNM Regent Tom Clifford asked about the “in-person” training noting how surprising it is that people still don’t get this.

UNM Board President Rob Doughty lauded Elsa Cole citing her impressive credentials. NMSU Faculty Senate Vice Chair read a statement about the faculty senate’s memorial to do all they can to reduce violations.

9. UPDATE ON GENERAL EDUCATION IN NEW MEXICO

NMSU Provost Dan Howard updated the Regents on the New Mexico General Education Steering Committee’s work. He said the review described our general education offerings as a “smorgasbord” of courses. They are not truly meeting objectives. The new focus is for a more purposeful general education plan. This work is supported by Higher Education Secretary Barbara Damron. The steering committee has been working on general education review since February of 2016. NMSU Provost Howard outlined five areas where they have identified essential skills and learning outcomes have been laid out. He stated there will be “territoriality” and other courses that may want to be added. Secretary Damron has asked that this work be completed by November 16, 2016.

UNM Regent Tom Clifford asked if there has been discussion about a terminal test (e.g. CLA). The Higher Learning Commission (HLC) thinks each institution should have its own assessment. UNM Regent Suzanne Quillen thanked the Provost for the update and for providing clarity to the issue.

Provost Howard mentioned a book on higher education research that showed that 47% of students had critical thinking skills at 2 years in college but that percentage went to 35% at 4 years. NMSU Regent Jerean Hutchinson thanked Provost Howard for leading the general education review statewide. She asked if he could reflect on how the changes may affect the reduction of required degree hours from 128 to 120. He responded that we are not there yet.

NMSU Chancellor Garrey Carruthers responded that they are making good progress on articulation (statewide) and should be done by the end of the year.

10. OUTLOOK FOR TODAY’S GAME

UNM Board President Rob Doughty stated that he believed it would be a good, fair game and that the UNM Lobos would win. NMSU Regent Jerean Hutchinson said, “Not in our house!”

NMSU Board Chair Debra Hicks stated her appreciation for everyone being here and focusing on graduating kids.

11. ADJOURN

UNM Regent Marron Lee moved adjournment. The motion was seconded by UNM Regent Ryan Berryman. Meeting adjourned at 3:03 pm.

Minutes of the Regular Meeting of the Board of Regents of the University of New Mexico
September 13, 2016
Student Union Building (SUB), Ballroom C
Executive Session-Cherry Silver Room (SUB)

Members present

Robert M. Doughty, President; Marron Lee, Vice President; Ryan Berryman; Tom Clifford; Jack L. Fortner; Bradley C. Hosmer

Members present telephonically

Suzanne Quillen

Administration present

Robert G. Frank, President; Paul Roth, EVP and Chancellor for Health Sciences; David Harris, EVP of Administration, COO, CFO; Chaouki Abdallah, Provost and EVP for Academic Affairs; Elsa Cole, University Counsel; Liz Metzger, Controller; Dorothy Anderson, VP HR; Richard Larson, Executive Vice Chancellor HSC, VP for Research, HSC; Gabriel López, VP Research and Economic Development; Jozi de Leon, VP Equity and Inclusion; Dana Allen, VP Alumni Relations; Steve McKernan, CEO UNM Hospitals; Cheo Torres, VP Student Affairs; Paul Krebs, VP Athletics; Libby Washburn, Chief Compliance Officer

Regents' Advisors present

James Lewis, President Alumni Association; Kyle Biederwolf, President ASUNM; Glenda Lewis, President GPSA; Pamela Pyle, President Faculty Senate; Kathy Guimond, President Retiree Association;

Presenters in attendance

Connie Beimer, Director Office of Government and Community Relations; Janell Valdez, Assoc. Director Financial Aid, HSC; Pamela Pyle, Faculty Senate President; Tom Neale, Director Real Estate; Rick Goshorn, Director Business Operations, UNM-Gallup; Katherine Creagan, Modrall-Sperling Law; Raheel Hirji, George K. Baum & Company; Chris Vallejos, AVP ISS; Melanie Sparks, Exec. Project Director, ISS; Pamina Deutsch, Director, UNM Policy Office.

Others in attendance

Members of administration, faculty, staff, students, the media and others.

CALL TO ORDER, CONFIRMATION OF A QUORUM AND APPROVAL OF THE AGENDA

Regent President Robert Doughty called the meeting to order at 9:05 AM. A quorum was confirmed; Regent Suzanne Quillen joined the meeting by phone.

Before adoption of the agenda, Regent Doughty stated the following modification to the agenda:

1. Strike Academic/Student Affairs and Research Committee (ASAR) information item #2 (LoboRespect and Advocacy Center Update) because the presenter could not be in attendance.

The motion to approve the agenda as modified passed by a unanimous vote with a quorum of Regents present and voting (1st Fortner; 2nd Lee).

APPROVAL OF MINUTES

Regent Doughty asked for a motion to approve the minutes of the August 18, 2016 regular meeting of the Board of Regents.

The motion to approve the minutes of the August 18, 2016 regular meeting of the Board of Regents passed by a unanimous vote (1st Berryman; 2nd Lee).

THE PRESIDENT'S ADMINISTRATIVE REPORT

President Robert Frank presented his administrative report to the Board and stated the University has achieved a record high retention rate for the third semester at 80.1% for the first time in UNM history. He thanked the Provost's Student Success Team for their hard work. The other statistic they look at in conjunction with retention is the 6-year graduation rate. From the 2010 cohort, 30% did not return to UNM. Next year they anticipate the graduation rate to continue to increase. Regarding enrollment for fall semester, there was a slight decrease on Main Campus of 0.91% in student headcount and 0.79% decrease in student credit hours. Unlike previous years, the University budgeted for an enrollment decline and a shift to students taking 15 or more credit hours; the University is well within their predicted budget tolerances. They have increased enrollment in key areas including 2.5% in beginning freshman, 15% increase in Anderson graduate students, and 30% increase in exclusively online students. The online student increase is a great signal that the University is beginning to move strategically in the right place. The University is doing the right things on enrollment in a

tough enrollment market. They believe the branding campaign will assist enrollment. NM Higher Education Secretary Barbara Damron asked the University to re-examine tuition reciprocity agreements with Mexico. This was revisited and the tuition set to 1.5x the resident rate for all Mexican nationals. There was only a tuition agreement with the state of Chihuahua but the new agreement extends to all Mexican nationals. This will increase international students on campus, specifically coming from Mexico. UNM has a center in Mexico which has the opportunity to reach out to more areas.

The University is in the process of several reviews aimed at achieving efficiencies and effectiveness of operations. One of the reviews has been under Information Technology (IT), and the first draft of a report on IT has been circulating on campus reviewing Main Campus IT operations. Recommendations include having a centralized IT support with staff located in the units reporting up through IT; multiple ticketing and help desk systems would be centralized; integrate current academic technology services into IT which currently sits under another area; and implement a robust representative government structure to integrate faculty into IT functions. EVP David Harris has met with the IT group and the Interim Chief Information Office is beginning to work with all of the different groups to implement the report recommendations in a very aggressive manner with quarterly goals. A Town Hall meeting has been scheduled for September 22, 2016, and the external review will be the topic where they can obtain more input from faculty and staff.

President Frank introduced the new Chief Compliance Officer, Libby Washburn. Ms. Washburn is a longtime New Mexican, but comes via Washington D.C. where she was the Chief of Staff for the Assistant Secretary of Interior. The University is pleased to have her on Board. All Compliance functionality on the Main Campus will report to her and with all of the challenges faced by UNM implementing the Department of Justice report, it is great timing.

The budget is very challenging. Both in short term and long term the University will have to manage State budget cuts. The University has begun planning for an immediate 5% reduction across Academic Affairs, Administration, and HSC. For Main Campus a 5% reduction in I&G would total \$9 million, which is in addition to the \$12 million of internal Main Campus I&G cuts in the last three years. The University has implemented position review processes which have been very effective, but will now enact a hiring freeze. They will hire in critical areas but it will be very few. On the Academic side, the Provost has cut to 50% the hiring plan he approved previously. The President and the Provost are in conversation on how much that plan will be reduced in the future. The HSC implemented a 5% reduction a few weeks prior. Annual budget reductions are not sustainable. A campus-wide process to enable "strategic balancing" of academic programs and administrative services will be put into place. The process will be a faculty-led, data-driven objective review of programs and services. The goal is to obtain sustainable solutions for the long-term horizon that can be implemented. Processes will be determined on how to balance the budget and address any recurring shortfalls, as well as where to reinvest to strengthen the future of the University. In the next few weeks nominations for individuals to be involved in the effort will be solicited.

Regent Clifford commented that in addition to reviewing staffing and a hiring freeze, contracts and procurement need to be looked at carefully to identify savings.

President Frank confirmed they will look into procurement and added the IT report will be provided to the Board and commented the University's costs per full-time FTE are about \$300 higher per full-time FTE. They believe the new structure will reduce costs \$300 per FTE.

Regent Clifford commented on the strategic balancing initiative and stated the effort may need to be management-led rather than faculty-led as it is talking about a balance of academic programs and administrative services. President Frank responded they are following a very specific program that has been used around the country. It starts off with faculty engagement to review programs; they provide data and pass it on to Administration who makes final decisions. It is senior management led at the end of the process; a shared governance model.

Regent Hosmer endorsed a game plan to work a long-term strategy that helps the University deal with the current and future situation. He requested the strategic plan and long term goals become the primary template by which decisions are made about programs. President Frank responded the strategic plan will certainly be considered.

Regent Berryman stated that NMSU also implemented a tuition agreement with Mexico, and inquired how the deal compares to UNM. President Frank responded NMSU's price point is comparable to UNM. Regent Berryman inquired if they may have to consider removing academic programs due to the budget shortfall.

Provost Abdallah stated they are continuously evaluating programs but removing a program is not something that can be done in a year. They have to look over multiple years regarding demand and at the program in its totality.

Regent Clifford inquired about \$20 million in balances available at the department level that could meet the financial problem for FY17.

President Frank responded regarding the \$20 million balances at the department level, much is encumbered and set aside for a specific use. They will identify what is encumbered and find out what can be swept that is unobligated. Regent Clifford stated they need to revisit all encumbered balances to see if they are necessary. President Frank stated that if it is a grant they cannot unencumber those funds, but if it is University encumbered, they can look into those. EVP Harris commented that if the legislative cuts come toward the end of a fiscal year, it may lead to unusual things to balance the cuts.

Regent Doughty commented they may need to make unusual cuts; they need to develop a committee to look at Administrative salaries. This was discussed at the joint UNM and NMSU Board of Regents meeting because that had been done at NMSU. The Legislature tends to cut higher education budget as they have the tuition aspect to raise revenue.

PUBLIC COMMENT

Dannelle Kirven, a sophomore, an ASUNM Senator, and a member of Black Student Alliance, presented the general consensus of black students' opinion on the proposed Freshmen Residential Living Requirement. Ms. Kirven thanked Dr. Terry Babbitt and Dr. Tim Gutierrez for speaking with students at African American Student Services about this. The students value the vision for this and see it as having great potential, however the recommendation is for slow implementation of the campus live-on requirement, building up to a full 2020 implementation. The slow implementation will allow for a continuous and engaged dialogue between administration and black students. While it is known that freshmen students who live on campus typically perform at a higher level than Freshmen students who do not, the data has shown varying levels of success for black students who live in residence halls and it is for this reason a 3-year implementation postponement is recommended. These next three years can focus on meaningful dialogue, creation of African-American living-learning communities, continued cultural sensitivity trainings, workshops and programming in the residence halls, as well as continuous tracking of data on the success of black students who live on campus. Ms. Kirven stressed black students are also concerned about infrastructure to house the influx of students and cost prices, but also about what will happen after black students are required to live on campus. Will there be resources and programs to help black students feel more integrated into campus living communities? Ms. Kirven spoke about various factors that contribute to black students performing at various levels while living on campus, including cultural sensitivity and stressors that contribute to a lack of engagement and academic performance. While this proposal has great potential, there needs to be more planning and engagement of the student body and a slower implementation to reach the 2020 vision of a Destination University.

Aubriana Knell, a student and ASUNM senator, commented about the Freshmen Residential Living Requirement agenda item. Most students she has spoken with are not supportive of the proposed live-on requirement. The students are invested in building community by living on campus, but if this is a forced requirement, the communities will be destroyed when the next freshmen class comes in and space will be needed for them; that community disperses as soon as a new class is brought in. The student body is not ready for this.

COMMENTS FROM REGENTS' ADVISORS CONCERNING ITEMS ON THE AGENDA

Pamela Pyle, Faculty Senate President, commented on the Freshmen Residential Living Requirement agenda item. The faculty sees the positive aspects the proposal entails, including helping design the initial living environment for incoming freshmen that encourages a focused learning, work-live space, as well as the multitude of exemptions that are included. Those exemptions however need to be more widely advertised. Regent Doughty agreed the exemptions have not been made clear, especially the 30-mile radius exemption.

Kyle Biederwolf, ASUNM President, commented on the Freshmen Residential Living Requirement agenda item. Mr. Biederwolf provided handouts summarizing student input on the proposed living requirement and asked Regents to review those before voting on the item. There were multiple forms of outreach, on Facebook, in ASUNM advisory councils, in ASUNM Senate, in the Plaza. Feedback was varied, a lot of students were supportive of the idea, there were also a lot of concerns about the implementation of it right now. After the various forms of outreach, Mr. Biederwolf offered the following opinion: the student body is not ready for a freshmen living-on requirement yet. The undergraduate student body supports the vision and idea of fostering the mission and the values of living on campus, but cannot comfortably support the requirement right now. There is concern about infrastructure, including parking; the unique transitional state of the University, with major capital projects, including Johnson Center construction; Central Avenue is about to be torn up; and lastly, students like having the choice on whether to live on or off campus, and that was a major selling point for choosing to come to UNM. Mr. Biederwolf applauded the UNM administration for taking these steps forward to making UNM a Destination University as defined in the 2020 Vision. Mr. Biederwolf spoke about the diverse student population and the varied challenges among the student population with regard to student success. While there are many benefits to living on campus, UNM is not ready for this freshmen live-on requirement.

REGENT COMMITTEE REPORTS

CONSENT DOCKET

Regent Doughty presented the consent docket and asked if any members wished to remove any item from the docket for discussion and a separate vote. No items were removed from the consent docket.

1. Academic/Student Affairs & Research Committee (ASAR) Consent Item
 - a. Removal of Programs
2. Finance and Facilities Committee (F&F) Consent Items
 - a. Approval of Disposition of Surplus Property for Main Campus for July & August 2016
 - b. Approval to use Construction Manager at Risk for Johnson Center Expansion and Renovation as a Project Delivery,
 - c. Approval of FY16 Year-end Undesignated Reserve Report

The motion to approve the items on the Consent Docket passed by a unanimous vote (1st Fortner; 2nd Lee).

HEALTH SCIENCES CENTER COMMITTEE

Approval of the UNM FY2018 RPSP Legislative Requests for HSC and Main Campus

Connie Beimer presented the Research and Public Service Requests (RPSP) and referred to a one-page document supplied in the agenda eBook. Ms. Beimer acknowledged the state budget issues; however, the Department of Higher Education (HED) requested UNM to submit requests for RPSPs. In response, there are three expansion requests, one for Main Campus and two for Health Sciences Center (HSC). The three requests were: 1) Degree Plans, \$225,800 requested for FY18, if funded this would bring the Degree Plans total general fund appropriation to \$300,800; 2) Graduate Medical Education Residencies, \$2.1 million total requested, if funded this would bring the total general fund appropriation to \$2.969 million; and 3) Health Data and Policy Resources for New Mexico, with a \$500,000 FY18 expansion request.

Ms. Beimer outlined some of the details of each request. The Degree Plans, provides online tools for students to stay on track and seamlessly transfers credits to other state higher education institutions. The HED is very supportive and wants to coordinate this with its career data. Secretary Damron is relying on this to support the analytics required by her higher education reforms. So far, with funds received, online degree plans have been created for seven institutions, NMSU, Northern, Eastern, CNM, San Juan Community College, Santa Fe Community College and the UNM Branches. On the Health Sciences side, there are two requests. The Health Data and Policy Resources RPSP provides data and expertise and serves as a resource; it shares best practices and provides analysis as the State makes policy decisions impacting the health of New Mexicans. A lot of the work will be done in the new College of Population Health (COPH) which will be a resource to the State of New Mexico by providing empirical data and the subject matter expertise for its faculty, staff, and students for the purpose of assisting the state with policy need, legislative requests, plans to reduce long-term health care costs, and needs assessments to improve population health. The third RPSP, Graduate Medical Education Residencies, is an important project for our state. This is a nationwide issue, to fund the number of residencies needed to meet the needs of our country and specifically our state. The State of New Mexico stepped up three years ago to begin funding additional residencies for the UNM HSC, in psychiatry, family medicine, general surgery, and internal medicine. In addition to the FY18 expansion request of \$1.2 million, the HSC is requesting a supplemental \$900,500 to cover funds it did not receive from the State last year. This is crucial to address the physician shortage in this state, especially in rural New Mexico. Ms. Beimer asked Dr. Roth if he wished to comment.

Dr. Roth commented, this actually is an issue we began addressing in 2014 at the request of the Governor's office. At that time, the HSC was approached with the suggestion to increase the class size of the medical school, in order to address primary care needs in the state of New Mexico. It was explained that by increasing the class size without increasing the number of resident positions was not effective. It was agreed the best approach was to focus on expanding the residency programs. That led to this nine per year of additional resident slots and the HSC was fortunate to get funding in the very first year, so the first group of nine that were committed to were hired and covered in fiscal year 2015. Some of these programs are a 5-year program, and every year a commitment to nine more is made, so eventually there will be thirty-one of these positions at the medical school. Each position costs about \$100,000 which includes salary, benefits, and the cost of supervision. Without getting the incremental funding from the State, we essentially have to take that out of our balances and out of our programs. Without getting the incremental support, we will eventually have to cut back the number of residences, as we will not be able to afford a \$2.2 million operating expense.

Regent Jack Fortner inquired the ramifications of the graduates of the Osteopathic school in Las Cruces, when its first graduates are ready to enter residency programs. Dr. Roth responded, the plan was for about 115 graduates in the first class, and based on the strategic plan, the school is looking at eventually 300 students per year graduating. The UNM HSC medical school graduates 103 per year. The osteopathic school has a business model with plans to increase residency programs in El Paso and in Las Cruces; however, 900 additional slots would need to be opened up. This will further compound the bottleneck the country is currently facing and will make it difficult.

Regent Tom Clifford said he cannot support any of these expansions in the current budget environment. He had asked in committee for an alternative where we would outline some offsetting cuts. If these programs are high priorities, as is being presented, then there must be something else that is less important. That is the kind of budget environment that we are in. These sound like worthwhile programs, so it seems UNM would have been doing these already within the existing budget. This is a poor proposal in the current context. It was discussed with staff in Finance and Facilities Committee about bringing back an alternative that is funded, that is budget neutral. This did not come today. Regent Clifford concluded he cannot support any of the proposed expansion requests; the State does not have the money to do this, period.

Ms. Beimer responded, the process has begun, working with the Provost and looking at current RPSPs, the funding and what they are doing, and opportunities available as Regent Clifford suggests.

Regent Clifford responded the Regents could vote on it then.

Regent Brad Hosmer commented on the language of the residency request and suggested strengthening the language to outline more specifics, for example, how short the State is in numbers of primary care physicians and the impact this proposal would have if it were implemented. With regard to Regent Clifford's comment, Regent Hosmer stated he assumes this action is a request to the State for budget purposes but does not preempt internal action we might take to reprioritize and fund these, if the State is not forthcoming. One action does not preclude the other. Ms. Beimer responded the HED is requesting the submittal of priorities for this. It is for State funding and it is understood that with the budget shortfall this is not realistic, but they are responding to the request. Additionally, Ms. Beimer said they will incorporate Regent Hosmer's suggested wording into the RPSP.

Provost Abdallah responded to Regents' comments. With regard to the Degree Plans, UNM has been investing in this internally for the last three years. The previous Secretary of Higher Education requested UNM help the other schools, and about \$75K was provided the first year to do that. Again, we are responding to the requests of the State, and in this particular case, to try to take it outside of UNM. If we didn't have to do it for everyone else, then the current funding is actually sufficient, but in effect this is not only for UNM, but to help higher education in the other institutions and provide what UNM has already created.

Regent Marron Lee inquired if any of the other higher education institutions are helping to fund the Degree Plans project. Provost responded he was not aware of that, and UNM is only responding to the request from the Secretary of Higher Education. Ms. Beimer was not aware either, but would follow up to the Secretary of Higher Education.

Student Regent Ryan Berryman added it is a great point to make that UNM has provided the labor and assumed the financial responsibility for benefitting the entire State on some of these initiatives.

The motion to approve the FY2018 RPSP Legislative Requests for HSC and Main Campus passed by a vote of 6-1; Regents Fortner, Lee, Doughty, Berryman, Hosmer and Quillen voted for; Regent Clifford voted opposed (1st Fortner; 2nd Berryman)

ACADEMIC / STUDENT AFFAIRS & RESEARCH COMMITTEE

Approval of Summer Degree Candidates

Pamela Pyle presented the item. The number of summer graduates is 733, which is up from 667 the same period last year. The Regents had the full list of graduates.

The motion to approve the Summer Degree Candidates passed by unanimous vote (1st Hosmer; 2nd Berryman).

FINANCE AND FACILITIES COMMITTEE

Approval of Conveyance of Right-of-Way to City of Albuquerque for A.R.T. Project

Tom Neale presented the item and the materials were provided in the agenda eBook. The request is for approval to enter into a right-of-way conveyance agreement with the City of Albuquerque to facilitate construction of the Albuquerque Rapid Transit Project. The City came to UNM and requested an acquisition of about 9,900 square feet of land to facilitate construction. The University in turn requested to look at a value for value exchange where the University would get benefit from the project in turn for a cash payment. The University requested to the City an improved pedestrian corridor and landscaping between Girard Boulevard and Stanford Boulevard, along with improvement along the streetscape along Central Ave. and University Boulevard. The land was valued at \$26 per square foot, or \$258K; the value of the improvements within the conveyance was estimated at \$167K; total value was estimated at \$425K. At Finance and Facilities Committee, Student Regent Berryman suggested the University ask for additional branding and banners along the Central Ave. right-of-way, and the City has subsequently agreed to that request.

The motion to approve the Conveyance of Right-of-Way to City of Albuquerque for the A.R.T. Project passed by unanimous vote (1st Hosmer; 2nd Clifford).

Capital Project Approval: NM Gas Line Extension to Improve UNM Generator Reliability

Chris Vallejos presented the item and the materials were supplied in the agenda eBook. This capital project will extend the New Mexico Gas Very High Pressure mainline from the Albuquerque bus barn to the UNM Ford Utilities Center. The project budget is \$736,292, and the total amount is financed through the Physical Plant Department (PPD) Utilities internal funds.

The motion to approve the NM Gas Line Extension Capital Project passed by unanimous vote (1st Fortner; 2nd Berryman).

Approval of UNM-Gallup Defeasance of Series 2009 General Obligation Bond Issue

Regent Lee asked Rick Goshorn to present the item. The UNM Gallup Branch Campus requested approval for a defeasance of the Series 2009 Mill Levy Bonds which are currently on the books. As a result of some of the reserves that have built up in their escrow accounts for managing their mill levy debt service, they have come up with enough cash to be able to retire the 2009 bonds.

Regent Clifford stated that more information was provided to the full Board of Regents. Of those materials, Regent Clifford referenced spikes in the debt service in fiscal 2022 and 2023 and it says that those will be paid for by an escrow account that is not impacted by this transaction.

Mr. Goshorn responded it is not impacted as they are maintaining a 2.75 mill levy rate which is higher than what they need currently. But as they get to these periods of time, they will have built up enough reserves to pay for the balloon payments that occur.

Regent Clifford stated that is an unusual strategy for local finance and asked for clarification why they have balloon payments.

Mr. Goshorn stated that they agreed as it is an interesting and strange way to set up their debt. Unfortunately the individual that set up the original debt in regards to the balloon payments are no longer with any of the firms, and they have no justification as to why balloon payments were inserted into the financing of the debt. They are left with what they have and are trying to move forward with it.

Regent Clifford would like to see the escrow analysis as to how those balloons are being funded.

Mr. Goshorn stated the cash defeasance of Series 2009 Bonds is the cash flows over a period of time for all of their debt servicing. They are building up reserves and then in 2022 they drop the reserves back down to a smaller level. At that period of time is when they will be doing some relatively serious cash flow analysis to decide what their actual mill levy should be at that moment in time because they hope their mill levy and their debt service will hit zero at the end because any cash balances must be used for debt retirement and they won't have any debt to retire.

Regent Clifford commented that they tend to go back to the voters to ensure their taxes won't go up but they should go down if the voter were to vote a no on a bond measure; he believes there is dishonesty about that. He believes it will be critical when the time comes and they can defease the balloon payments that they not go back to voters and say their taxes won't go up but they will keep the mill levy at a level that is not needed. They need it at that level to pay off the balloon payments. They need to have a more honest discussion with the voters about that.

Mr. Goshorn stated they have already had this open discussion with the local Advisory Board who has voted for this item and agreed with their strategy regarding defeasance and how they are managing their debt. Their concern is fluctuating mill levy rates up and down. HED recommended a 0.8 mill levy rate and that they consume their reserves and bring it back up again. UNM Gallup feels it better to maintain a consistent rate.

Regent Clifford stated that what is stable for UNM Gallup may be unstable for tax payers. They need to look at both sides and how it is impacting tax payers.

Mr. Goshorn stated that every year HED looks at their reserves and current anticipated income in regards to the mill levy and the balances associated with that, and recommends a mill rate. It is up to UNM Gallup to argue what will be best for the community and for the operations. They requested to maintain the current mill rate, defease the 2009 bonds to get them off the books, and watch the reserves as next year they may have

the funds to defease the 2005 bonds. Once they defease those they could bring their mill rate down to something that is more stable. The County Assessor certifies tax rates. For clarification, the vote by the Regents would be to defease the 2009 bonds, they will take their reserves set them aside in an escrow account and pay off the callable portion of that, reducing their overall debt and totaling \$220K in interest savings. These reserves are outside of the HED recommended 3% reserve.

The motion to approve the UNM-Gallup Defeasance of Series 2009 General Obligation Bond Issue passed by unanimous vote (1st Fortner; 2nd Berryman).

Approval of UNM Freshmen Residential Living Requirement

Regent Lee requested Chris Vallejos, Melanie Sparks, and Terry Babbitt present the item. Several slides were made available in the agenda eBook. This has been presented to Academic/Student Affairs and Research Committee and to the Finance and Facilities Committee. Meetings have occurred with ASUNM, Staff, Faculty Senate, and analysis has been conducted over the past eight months. This was a request by Regents and senior leadership to take a look at a residential live-in requirement. A lot of information has gone in to these analyses with input from many stakeholders.

Regent Clifford voiced disappointment because the same slides were presented about a month ago and questions were asked about this and administration had agreed to provide additional analysis of the impacts of this decision on the student retention rates. The Regents have not seen that, it was not presented in Finance and Facilities (F&F) committee. Additionally, there was discussion in committee that this somehow impacted the Innovate project, and there has been no analysis presented to support that. It is not presented at this meeting, so should it be disregarded? Chris Vallejos responded the Innovate ABQ project will supply another 310 beds that will be added to the inventory to create an Innovation Academy on 1st Street and Central Ave. and how this would play into helping with the matriculation cycle to retain sophomores and juniors as they are matriculated.

Regent Lee requested to hear from Dr. Babbitt and asked if he could answer Regent Clifford's questions. Dr. Babbitt responded he recalled on multiple occasions the discussion on retention analysis, along with the request for regression that looks at multiple variables. Dr. Babbitt produced a hard-copy output of logistical regression and presented to Regent Clifford. Regent Clifford responded Regents could not make much use of it at this point.

Dr. Babbitt continued. The attempt was made to address this over a long period of time, addressing the capacity issue as a team with a number of staff committed to it. Enrollment impact was also addressed, this has been the primary concern brought up. It was anticipated this would be a concern. This was addressed by surveying students that would be impacted by the policy, and the belief is the results of that indicate there are some students that come to UNM because it is attractive that they can choose where they will live. The data on the survey indicated that over half, about 55%, indicated they would have come to UNM anyway. In anecdotal discussions with parents, many would like it if their student were forced to live on campus. This in light of a safety issue. Exemptions were communicated, including the 30-mile radius and financial hardship, including new hardships. With that survey, it appeared the number was under 50, after providing exceptions, that it may impact their enrollment decision. The goal would be to not have any enrollment impact. The promotion and marketing needs to be very up-front, that there is an option if this is not the right fit, so to encourage students to keep looking at the University of New Mexico. The discussion continues, looking at data about success outcomes. To Regent Clifford's point, retention and completion measurements were very heterogeneous in nature. There are some things that count, but a lot of things are people decisions that don't. The correlations are strong; that is not causation. It does make a difference for students, regarding the most recent retention rate cited, there was a low p-value, second only to grade point average for that particular class in that particular year. The belief is the data is strong. This led to discussions with students, also under direction from Regent Lee. The students have been tremendous to engage in discourse. Discussion with student groups took place, for instance in the Spring with African American Student Services, and those discussions were recently followed-up with more discussion involving high-level intellectual questions, civil discourse, and positive dialogue with even some disagreement. There has been a lot of work, but transparency and understanding the challenges is important. To get 200 students to live on campus, make this a vibrant community, and make this a destination institution, is a step in the right direction.

Regent Fortner asked for clarification, if the impact were to be 50 students, would the greater proportion of those be black students who would choose not to come to UNM? Dr. Babbitt responded the students surveyed represented all populations, and there was the question on whether any group would be more likely to choose exceptions over another group. The commitment would be to be aggressive to communicate the option to opt-out. There has been discussion with students about the climate on campus, resident life and any cultural issues. It has become clear more needs to be done to address improving the cultural environment, improving living arrangements, and even offering cultural awareness for students living on campus. There's no evidence this would impact any one group more than another.

There was clarification the proposal is for implementation in Fall 2017. Regent Fortner put forth the question: if implementation were delayed one year, would it give time to address all of the issues? Dr. Babbitt responded this has been a consideration. Chris Vallejos added implementation can be delayed, but the impacts, whether it is to enrollment or other impacts, will be the same regardless of the year implemented and that it is staff's job to mitigate those impacts to foster success at the University. Mr. Vallejos added, this affects prospective students, not those who are currently here.

Regent Lee clarified the question put forth is not whether to delay Regents' vote, but whether to delay implementation.

Regent Hosmer commented that in light of the uncertainties, Regent Fortner's comments, and the charts presented to Regents, there are strong benefits but potential costs in enrollment. Acknowledging the survey was not precise, but is still a powerful indicator, can a case be made to move forward with this, not as a mandatory requirement but as a very aggressively encouraged practice for at least one year? Additionally, request Dr. Babbitt not be aggressive about communicating exceptions, but to be aggressive about encouraging students to come into residence, while providing families and students with the full deck of information about the advantages of coming into residence, playing it as strongly as we can, but not mandating it to see what happens. Mr. Vallejos responded that this is being done, every letter that goes out to parents and students highly recommends this. It has been a practice for the past ten years. Regent Hosmer recommended turning up the volume on the communications and see what happens.

Student Regent Berryman commented he shares some of the concerns of Regent Clifford, that the primary metric being used to drive this argument is retention. But there are other variables that affect retention than just whether or not a student lives on campus. That concern was brought up a month ago. The other concern is capacity, no doubt housing has capacity, but renovation of the recreation center that will not be ready when students come will be a deterrent. The mandate is talked about as if it will make UNM a destination university, but that is something that happens organically and can be seen in the increase in number of out-of-state students and the previous years' increase in 3rd semester retention. UNM will continue to become a destination university as it continues to do the things it has been doing over the last few years. Given the high volatility in enrollment and the economic climate, it is not the University's place the mandate where somebody spends their money, or where they should live. Regent Berryman said he has made that point before.

Regent Doughty commented the students bring up a good point about the capital projects going on, as well the cultural sensitivity issue should formulate some type of exemption in this. Mr. Vallejos responded it is there presently, there are a number of exemptions.

Regent Lee asked for clarifications, if student athletes are required to live on campus. Paul Krebs, VP for Athletics, responded from the audience that generally athletes on scholarship are required to live on campus. Regent Lee inquired what our peer institutions are doing. Dr. Babbitt responded that peer institutions have been broadly analyzed, more specifically regional institutions have been looked at. Colorado State University and the University of Colorado both have live-in requirements similar to this proposal. The University of Arizona and Arizona State do not, but they strongly recommend it. Texas Tech does require it, and just recently New Mexico State University has approved the requirement for implementation next Fall. Regent Lee added she has had the same concerns that Student Regent Berryman and Regent Clifford have had primarily with implementation on campus. There needs to be certain things addressed before a cohort comes in. For example, being able to use a Lobo ID for payment for a wider range of items. The question however is whether the extra conveniences that students should benefit from while living on campus should be in place before the first cohort comes, or if those conveniences should be developed after students begin living on campus. Regent Lee said she would support the path where students are on campus first and let that drive the development of the other conveniences.

Mr. Vallejos responded that is a difficult question to answer. It will be 2019 before the renovated recreation center is ready. The University certainly wants the amenities to be here when the students arrive. This will happen, as Smith Plaza is renovated, as the new Physics and Astronomy and Interdisciplinary Sciences (PAIS) and Anderson School of Management come on. Building the campus community is an ongoing effort. Those amenities are going to come, the campus community, student success and graduating students in four years is the desired outcome so students can then enter the job market.

Student Regent Berryman commented the initial presentation showed 150 students that were not sure if they would be able to live on campus or not, and now that number has somehow shifted to 50 students. One hundred and fifty students who do not support this or could not afford this. One final question: what do we consider campus housing, Lobo Village, The Cottages, Innovate? Mr. Vallejos responded The Cottages are not part of the University, that is an apartment complex in Albuquerque. Innovate ABQ, Lobo Village, which is a partnership with American Campus Communities, and other Main campus housing are considered on-campus housing. Regent Berryman said he will vote against this, but he will be the first to tell any student they should live on campus, but he doesn't think it is the University's place to mandate it.

Regent Lee added that students who receive Regent Scholarships are also required to live on campus in their freshman year.

Regent Doughty motioned that Regents adopt the UNM Freshmen Residential Living Requirement, but that implementation not take place until Fall of 2018.

Regent Doughty added, this will give more time for preparation for implementation, capital projects will be underway, and will give an assurance of development of a cultural exception.

Regent Fortner seconded the motion.

Regent Fortner added that he seconded because he agreed with the one-year delay in implementation.

Regent Hosmer requested clarification the motion includes this will become mandatory in the Fall of 2018. Regent Lee and other Regents confirmed the motion includes the requirement will be mandatory in Fall of 2018.

The motion to approve the UNM Freshmen Residential Living Requirement, but that implementation not take place until Fall of 2018, passed by a vote of 5-2; Regents Lee, Doughty, Hosmer, Quillen and Fortner voting for; Regents Clifford and Berryman voting opposed (1st Doughty; 2nd Fortner).

After the vote, Regent Hosmer requested administration bring forward an analysis of the impact of this current year of all of the efforts put forward by enrollment management and admissions to encourage students to live on campus, before the requirement is in place. Regent Doughty said that is a good idea. Mr. Vallejos said the analysis can be done for students entering Fall 2017.

Monthly Consolidated Financial report for Main Campus (Information item)

Liz Metzger presented the monthly report for month-end fiscal year-end June 30, 2016. The report was provided in the agenda eBook. Ms. Metzger said the reserve balances will be provided in more detail at the October meeting with the annual reporting of reserves according to University Administrative Policy 7000. Additionally, the Athletic department annual net revenue/expense of \$402K deficit, as reported on page 6 of the report, does not reflect the \$1.56 million deficit previously reported to Regents for Athletics for FY16. This is due to inclusion of other sports enhancement activities. Ms. Metzger commented the new fiscal year reports will be revised to incorporate additional information Regent Clifford requested in Finance and Facilities Committee. Regent Clifford commented the report is not drawing Regents' attention to the information that need focus and added he will work with Ms. Metzger to develop something generally more useful to the Regents.

Credit Card Service Fee (Information item)

Liz Metzger presented the item. The item is regarding a credit card service fee, sometimes called a convenience fee, which was implemented in the new fiscal year. Ms. Metzger provided a hard-copy handout to Regents with information about the fees, reasons why the University made this decision, a list of schools serviced by TouchNet that charge credit card fees, and what peer institutions are doing. The service fee became effective FY17 for any credit or debit card payments processed through the bursar accounts. It is a 2.75% service fee with a minimum service fee of \$3.00. Every merchant that accepts credit card payments is assessed these fees. The fees charged by credit card companies are used to fund the various credit card affinity/reward programs. Retail merchants handle this usually by an increase in prices. The fees charged by the credit card companies were significantly reducing the University's tuition and fee revenue and with the budget challenges, it was determined the University can no longer absorb these fees. The University reached out to its third party vendor, TouchNet, and requested it go forward with implementation of the additional fee for credit or debit card payments. Research was done on what other institutions are doing and the majority have implemented a fee. Some no longer take credit cards altogether. During payment processing, the fee is communicated within the TouchNet payment screens when the credit or debit card method of payment is selected. To avoid the fee, there are several other options for payment, including payment plans, personal check, ACH (bank routing and account number), cash, as well as a combination of payment methods if necessary. The fee was implemented in August 11, it was delayed due to other schools being in the queue for implementation. Emails went out to students ahead of time to inform them of the fee. Students used to be automatically dropped from classes if payment had not been received by a certain date at the beginning of the semester, but that has changed. Now, if they need, students have until the end of the semester to work out a payment method. A look at recent data shows bank transfer method of payment went from 3,009 last year to almost 9,000 this year. Credit card payments have gone from around 8,000 last year down to about 3,800 this year, and debit card payments have from 2,770 to zero. Ms. Metzger stressed that credit card debt is probably not the best option, and there are probably other options that UNM can help students explore so that they can stay enrolled and be ready for the next semester.

Student Regent Berryman commented that he had requested this be put on the agenda after a number of students had reached out to him because they had been surprised by the fee, and for some it was like an inadvertent tuition increase. The administration made the decision and now it is important to stress that students need to know that interest rates on credit cards are high, and if one has a debit card, they have a bank account and routing number, even if one does not have a check. This should fall on administration and student leadership to begin to educate the student population that there are other ways, probably more financially sound, to pay for tuition. Ms. Metzger added that she would be speaking to a group of students that afternoon regarding this topic.

Regent Clifford inquired if this came to the Regents for a vote. Ms. Metzger responded it did not; she and EVP Harris had discussed it last year and determined it did not require a vote. Regent Clifford stated it was probably important enough that it should have come to the Regents for approval. He inquired the financial impact; it looks like at least a \$100K increase of cost to the students which is a significant impact. Another issue is whether the University benefits from this mechanism. Regent Clifford inquired why the minimum fee of \$3.00 was imposed as it can be well above 2.75% on some transactions. Ms. Metzger responded the minimum fee is the industry standard and is implemented by TouchNet. There was discussion about universities that do not take credit cards. Regent Clifford inquired about the data security with taking credit card information. Ms. Metzger responded one of the reasons for using TouchNet is because it has a secured system, which is PCI (payment card industry) compliant and meet all regulations of DSS (data security standards). It would be cost prohibitive for the UNM system to become compliant, and that is why TouchNet is used.

Regent Clifford requested the new IT director present to Finance and Facilities Committee or to the Audit and Compliance Committee, on the security of the UNM system and background.

Student Regent Berryman inquired if the contract with TouchNet would go up for RFP at any point. Ms. Metzger responded TouchNet was chosen after an initial RFP process, but the contract is renewed with them, probably because it is a leader in the area and to switch over to another vendor would take a lot of resources and time. Regent Berryman clarified the \$3.00 minimum fee would be charged also to payments on parking tickets and bookstore items that go through the bursar account. He added the students need to be educated about this fee as well.

Regent Lee agreed with Student Regent Berryman and said there should be a clear example on the website of how much a student will pay if they use a credit card to pay in their bursar account to pay for a \$5 item, that it will actually cost \$8. Regent Lee also stressed credit card debt is a serious thing, and payment by bank transfer, along with budgeting, in the long run may be better for students. A 15% credit card interest fee can add up quickly. The program like the Financial Literacy program that is offered at HSC needs to be made available to all students so they can learn important life skills.

There was discussion. Ms. Metzger said the fees cost the University \$700-800K per year.

AUDIT AND COMPLIANCE COMMITTEE

Approval of Proposed Revisions to Regents' Policy 7.17 on Affiliated Organizations

Regent Jack Fortner introduced the item and asked Pamina Deutsch, Director of the UNM Policy Office to present the item. This policy discusses the University's affiliated organizations and the requirements of a state statute, NMSA 1978 Sect. 6-5A-1). Consistent with the statute, the University enters into written agreements with its affiliated organizations that are exempt under Section 501(c) of the Internal Revenue Code. The list of organizations in this policy has been out of date for many years. The proposed revisions will provide an accurate list of the affiliated organizations; clarify that the requirements of the statute pertain to 501C organizations, and not to other types of affiliated entities; and specify whether the organizations are fund-raising organizations or research park corporations, formed under the University Research Park and Economic Development Act, NMSA 1978, Sect. 21-28-1. In the track-changes version of the table one can see a number of the organizations have dissolved, in many instances their fund-raising efforts are now performed through the UNM Foundation. Regent Fortner asked if Regents had any questions. There were no questions. Regent Fortner asked for a motion to approve.

The motion to approve revisions to Regents' Policy 7.17 passed by unanimous vote (1st Berryman; 2nd Hosmer).

PUBLIC COMMENT

Regent Doughty, referred to the list of people who signed up for public comment; there were 27 people who signed up to speak on the same topic, the 2-year ban from campus of the New Covenant Christian (NCC) Church pastor, Jim Cooper and associate pastor, Kirk Walker. Regent Doughty requested, with respect to

time constraints, all those who signed up to come forward as a group, and as everyone probably had generally the same view, to nominate a few people to speak on behalf of the group, two or three to speak the main points, and a couple others to follow up with comments if they felt their particular view had not been articulated.

Jeff Sharp spoke first and said there were a number of students who wished to speak on the topic of Mr. Cooper and Mr. Walker's ban.

Thomas Casias, a junior at UNM and former president of the charter student organization, Lobos for Christ, commented Mr. Cooper and Mr. Walker have aided him as well as other students. When Mr. Casias visited the Advocacy Center, it did not address his needs, but Mr. Cooper and Mr. Walker provided helpful advice and support when needed.

Gladys Aragon, a junior at UNM and part of the NCC Church for two years, spoke in support of Mr. Cooper and Mr. Walker. They provided help when needed, guidance, teachings, and leadership, as well being able to meet on campus was convenient for students who have busy class schedules.

Sal Guardiola II, a second-year law student, spoke in support of pastors Jim Cooper and Kirk Walker and the negative impact to the church congregation as a result of their ban from campus. They used to meet Sunday mornings on campus, the Department of Anthropology allowed them to use its building. Meetings took place also on Saturdays to pray for the well-being of campus, and these meetings are no longer happening because the leaders are not allowed to be on campus. Experience for two years as a volunteer in new student orientation impressed importance of not only providing students with resources but guiding students to those resources. Pastors Cooper and Walker provided guidance, for example to the Women's Resource Center, El Centro De La Raza and American Indian Student Services Center, at no cost to the University and they can no longer do that due to the ban. Mr. Guardiola requested the Regents hear directly from the pastors.

Josh Hale, a graduate of UNM, former president of Lobos for Christ, former employee of UNM, and current member of NCC Church, spoke in support of Mr. Cooper and Mr. Walker. Initially as a student, the pastors provided support to help Mr. Hale get back on track as a student, contributing to ultimately graduating in four years. They provided guidance on who to talk to at the University, when other UNM employees had provided poor counsel. Mr. Hale later became an employee of the UNM police department as a security guard, and attributes the pastors' guidance for showing how to care for and protect other students. The University is worse off for not having Mr. Cooper and Mr. Walker on campus.

Regent Fortner inquired the speakers' recommendations. There was recommendation Regents hear directly from Mr. Cooper and Mr. Walker. There was discussion about the Dean of Students' decision to ban the pastors from campus. The ban is for two years and per formal process and procedures, the final decision with regard to non-university members rests at the Dean of Students level. Provost Abdallah clarified there is a different decision still in process related to the chartered student organization. There was discussion. Regent Fortner asked for contact information of the speakers in order to have further dialogue.

ADVISORS' REPORTS

James Lewis, President of Alumni Association, presented an overview of his goals as president of the Association, including the major theme of enhanced communications, collaboration and cooperation. Some of the Associations major activities under Mr. Lewis' leadership will be to review the bylaws, review initiatives and all programs for effectiveness, and define Alumni's role and importance of branding. Mr. Lewis outlined some of the ongoing initiatives, including completion of the Karen Abraham Courtyard Project, continue work with the Foundation regarding the centralization and lean-up of the alumni database, and work with VP of Alumni Relations, Dana Allen, to review the Alumni Relations Office. The Alumni's 2016-2017 motto is, Working/Active Board. Mr. Lewis spoke about recent and upcoming events and invited the Regents to attend.

Regent Clifford inquired about how to leverage alumni to help UNM graduates get jobs. There was brief discussion about the importance of alumni networks and network building to connect students with alumni in all areas.

Regent Lee thanked Mr. Lewis and the Alumni for hosting the Regents at the recent out-of-town tailgate before the UNM-NMSU football game in Las Cruces.

Glenda Lewis, GPSA President, spoke about recent GPSA activities. The GPSA Office has been very busy. GPSA executive appointments have been made, the Fall funding cycle to fund graduate students is open,

SFRB (Student Fee Review Board) hearings have started, GPSA is accepting Student Regent nominations, among other activities going on.

Kyle Biederwolf, ASUNM President, commented on the Regents' vote on the Freshmen Residential Living requirement and looks forward to working with UNM administration to address concerns that have been voiced regarding implementation, before Fall 2018 implementation. The ASUNM Office has been very busy in the areas of SFRB applications, various forums, student regent applications, Red Rally, Fall Frenzy, Homecoming and Silent Lights, a new homecoming event.

Pamela Pyle, Faculty Senate President, spoke about ongoing activities, including the New Mexico Statewide General Education steering committee that was established in January 2016 to shape the core curriculum, to identify essential learning outcomes that will develop the skills necessary for specific student success. Faculty Senate, in conjunction with the Provost, is organizing a task force to assist in the Higher Education Department's review of the general education curriculum, and Ms. Pyle said she will keep the Regents apprised of the progress.

VOTE TO CLOSE THE MEETING AND PROCEED IN EXECUTIVE SESSION

The vote to close the meeting and proceed in Executive Session passed unanimously (1st Lee; 2nd Fortner). The meeting closed at 11:45 AM. The closed session took place in the Cherry Silver Room, third level of the SUB where lunch was also provided. Regents Berryman, Doughty, Clifford, Hosmer, Lee and Fortner were present in person; Regent Quillen joined the meeting by phone, except during the discussion of strategic plans of public hospitals.

1. Discussion and decision, if appropriate, of strategic or long-range plans of public hospitals as permitted by Section 10-15-1.H(9), NMSA (1978)
2. Discussion and determination where appropriate of threatened or pending litigation as permitted by Section 10-15-1.H(7), NMSA (1978)
3. Discussion and determination where appropriate of the purchase, acquisition or disposal of real property as permitted by Section 10-15-1.H(8), NMSA (1978)
4. Discussion and determination where appropriate of limited personnel matters pursuant to Section 10-15-1 (2), NMSA (1978)

VOTE TO RE-OPEN THE MEETING

The vote to re-open the meeting passed unanimously (1st Fortner; 2nd Lee). The meeting re-opened at 1:20 PM; the door to the Cherry Silver Room was opened.

Regent Doughty certified that only the matters described in the closed session agenda were discussed and there was one item upon which action was taken in closed session related to strategic plans of public hospitals. The item was approved by a vote of 6-0; Regents Fortner, Lee, Clifford, Doughty, Hosmer and Berryman voting. For the record, Regent Quillen recused herself from the discussion and vote of said item.

ADJOURN

The motion to adjourn the meeting passed unanimously (1st Fortner; 2nd Clifford). The meeting adjourned at 1:20 PM.

Approved:

Attest:

Robert M. Doughty III, President

Jack L. Fortner, Secretary/Treasurer

Minutes of the Special Meeting of the Board of Regents of the University of New Mexico
September 19, 2016
Roberts Room, Scholes Hall

Members present

Robert Doughty, President; Marron Lee, Vice President; Ryan Berryman; Bradley Hosmer

Members present telephonically

Tom Clifford; Jack Fortner; Suzanne Quillen

Administration present

Robert G. Frank, President

Others in attendance

Members of the media and others.

CALL TO ORDER, CONFIRMATION OF A QUORUM AND ADOPTION OF THE AGENDA

Regent President Rob Doughty called the special meeting to order at 5:08 PM. Regent Doughty confirmed a quorum and asked for a motion to adopt the agenda. Regent Marron Lee motioned to adopt the agenda; Regent Bradley Hosmer seconded; the motion passed unanimously.

VOTE TO CLOSE THE MEETING

Regent Doughty asked for a motion to go into closed session. Regent Lee motioned to close the meeting; Student Regent Ryan Berryman seconded; the motion passed unanimously. The meeting closed at 5:09 PM.

Closed session agenda:

1. Discussion and determination where appropriate of limited personnel matters pursuant to Section 10-15-1(2), NMSA (1978)

VOTE TO OPEN THE MEETING

Regent Lee motioned to open the meeting; Regent Suzanne Quillen seconded; the motion passed unanimously. The meeting opened at 6:43 PM. The doors to the Roberts Room were opened. Regent Doughty confirmed only personnel matters as described in the meeting agenda were discussed in closed session, and no actions were taken by the board.

ADJOURN

Regent Doughty asked for a motion to adjourn. Regent Lee motioned to adjourn the meeting; Student Regent Berryman seconded; all were in favor. The meeting adjourned at 6:43 PM.

Approved:

Attest:

Robert M. Doughty III, President

Jack L. Fortner, Secretary/Treasurer

Minutes of the Special Meeting of the Board of Regents of the University of New Mexico
September 23, 2016
Roberts Room, Scholes Hall

Members present

Robert Doughty, President; Marron Lee, Vice President; Ryan Berryman; Tom Clifford; Bradley Hosmer

Members present telephonically

Jack Fortner; Suzanne Quillen

Others in attendance

Members of the media and others

CALL TO ORDER, CONFIRMATION OF A QUORUM AND ADOPTION OF THE AGENDA

Regent President Rob Doughty called the special meeting to order at 4:07 PM. Regent Doughty confirmed a quorum and asked for a motion to adopt the agenda. Regent Marron Lee motioned to adopt the agenda; Regent Bradley Hosmer seconded; the motion passed unanimously.

VOTE TO CLOSE THE MEETING

Regent Doughty asked for a motion to go into closed session. Regent Lee motioned to close the meeting; Regent Hosmer seconded; the motion passed unanimously. The meeting closed at 4:09 PM.

Closed session agenda:

1. Discussion and determination where appropriate of limited personnel matters pursuant to Section 10-15-1(2), NMSA (1978)

VOTE TO OPEN THE MEETING

Regent Lee motioned to open the meeting; Regent Tom Clifford seconded; the motion passed unanimously. The meeting opened at 4:25 PM. The doors to the Roberts Room were opened. Regent Doughty confirmed only personnel matters as described in the meeting agenda were discussed in closed session and there was one item upon which the board would take action in open session.

The motion to accept the exit agreement with President Robert G. Frank passed by a unanimous vote (1st Hosmer; 2nd Lee).

ADJOURN

Regent Doughty asked for a motion to adjourn. Regent Jack Fortner motioned to adjourn the meeting; Regent Lee seconded; all were in favor. The meeting adjourned at 4:27 PM.

Approved:

Attest:

Robert M. Doughty III, President

Jack L. Fortner, Secretary/Treasurer

President's Administrative Report

will be presented at the meeting

CONSENT DOCKET

(Action items on Regent's Committee agendas may move to the Board of Regents' agenda as consent items; the below items are placed on the consent docket by the respective committee chairs; items on the consent docket received unanimous approval in committee; per Regents' Policy 1.2, "Any member of the Board of Regents shall have the right upon request to remove any item from the Board's consent agenda and place the item on the Board's regular agenda for discussion.")

1. Health Sciences Center Committee (HSCC) Consent Item, *Regent Marron Lee, Chair*
 - a. Approval of the Nomination of Michael F. Shannon to the Carrie Tingley Hospital Advisory Board, *Steve McKernan, CEO UNM Hospitals*.....C-1

2. Academic/Student Affairs & Research Committee (ASAR) Consent Item, *Regent Bradley C. Hosmer, Chair*
 - a. Posthumous Degree: Janice FloresC-2
Lisa Lindquist, Program Manager, Dean of Students Office

3. Finance and Facilities Committee (F&F) Consent Item, *Regent Marron Lee, Chair*
 - a. Approval of Disposition of Surplus Property for Main Campus for September 2016.....C-3
Bruce Cherrin, Chief Procurement Officer

Michael F. Shannon
Albuquerque, New Mexico, 87105
mshannon3@cnm.edu

PROFILE:

Masters prepared Registered Nurse with a comprehensive knowledge of nursing and direct patient care. Have strong leadership, organizational, analytical and communication skills with a record of superior achievement. In-depth knowledge and experience in program management training and staff supervision.

EDUCATION:

Master's of Science in Nursing Administration/Education, Organization Learning and Instructional Technology
University of New Mexico, Magna Cum Laude, 1997

Bachelor of Science in Nursing
University of New Mexico, Magna Cum Laude, 1995

Diploma Graduate in Nursing, Registered Nurse
New York State School of Nursing, 1975

PROFESSIONAL EXPERIENCE:

Coordinator, Level I, Nursing Program
Central New Mexico Community College, Albuquerque, New Mexico, 1/12-Present
Serve as Program Coordinator, Level I and site acquisition for Associate Degree Nursing Program. Clinical instructor for Medical Surgical Nursing. Primary didactic instructor for Complex Nursing I, Nursing I Clinical. Faculty Advisor for the Student Nurse Association.

Clinical Director, Nursing Program
Pima Medical Institute, Albuquerque, New Mexico, 7/11- 12/11

Serve as Clinical Director and site acquisition for Associate Degree Nursing Program. Clinical instructor for Critical Care, Emergency Care, Medical Surgical Nursing. Primary didactic instructor for Complex Nursing IV, Nursing V Clinical, Nursing VI Clinical and didactic and Professional Transition II/Senior Nursing. Outstanding Faculty Member for 2011/PIMA Medical Institute.

Clinical Rural Health Nurse Coordinator

Raymond G. Murphy, VA Medical Center, New Mexico, 12/08-7/11

Serves as Clinical Rural Health Nurse Coordinator for 6 Community Based Outpatient Clinics (CBOC) and 4 Contracted Satellite Clinics for the Albuquerque, VA Hospital throughout the state of New Mexico and 1 Contracted Clinic in southern Colorado. Proficient in CPRS and all aspects of patient specific continuity of care. Monitors facility and CBOC performance measures and specifically Ambulatory Care Services reports. Fluent in Joint Commission requirements, OIG, SOARS, OSHA regulations and tracers. Instrumental in LMS training on Telehealth and TeleMedicine training. Manages and assists in coordinating the recruitment and retention of registered nurses, licensed practical nurses and nursing assistants. Manages all nursing scholarship programs for the New Mexico VA system which includes: Employee Incentive Scholarship Program, National Nursing Education Initiative, VA Learning Opportunities Residency, and VA Nursing Education for Employees Program and coordinates the tuition reimbursements. Serves on the Human Resource Recruitment Collaborative Committee and Nurse Professional Affairs Committee.

Special Assistant to the Director, Navy Nurse Corps

Bureau of Medicine and Surgery, Washington, DC 10/2005-12/2008

Served as consultant and advisor to Active duty and Reserve nurse corps officers regarding accession, promotion, recruitment and retention.

Analyzes, evaluates and interprets Nurse Corps manpower statistics and data. Makes recommendations for recruiting and promotion plans

Liaisons with Bureau of Medicine, Federal Nursing Service Council, Recruit Command, and Reserve Force Command

Served as Chairperson, for Navy Medicine and the Navy Nurse Corps to the American Military Surgeons of the United States Annual Symposium

Prepares input and responses for the Navy Nurse Corps testimony before Congress relating to nursing issues

Maintains Instructor/Faculty status for the Defense Medical Readiness Training Institute and National Naval Medical Center in ABLS, ACLS, ENPC, PALS, PHTLS, TNCC

Maintains clinical proficiency in Emergency/Trauma subspecialty by working and mentoring in the emergency room at the National Naval Medical Center

Chairman of the Recruitment Committee for the Medical Recruitment and Retention Working Group of the Office of the Secretary of Defense, networks with Army, Air Force and Navy.

Championing the interoperable paradigm for Recruitment and Retention within the Uniform Services.

Clinical Coordinator/Paramedic Instructor

United States Air Force, Albuquerque, NM, 4/2005-10/2005

Responsible for clinical assignments and paramedic instruction for the Air Force Para-rescue/Combat Rescue Officer School. Instructor in Basic Life Support, EMT-B and Paramedic Courses governed by the Air Force Education and Training Command

Reason for leaving: Recall to Active Duty; United States Navy.

Charge Nurse/Trauma Nurse Specialist

University of New Mexico, Regional Trauma Center, Emergency Department, 1/2000-4/2005, retired

Served as Charge Nurse in the Emergency Room conducting care, triage and treatment for emergent patients in a Level I Trauma Center.

Analyze the ongoing emergency room operations including patient flow and staffing issues

Unit Director

University of New Mexico Hospital, NM, 4/1999-1/2000

Responsible for management of Behavior Health Nursing Department

Managed 30 bed inpatient Psychiatric Unit

Supervised 28 RN's and 49 Mental Health Technicians

Served as primary representative for the inpatient units for JCAHO

Served on the University Hospital Practice Council

Served on the Redesign Initiative Discharge Process Task Force

Administrative Supervisor

University of New Mexico Hospital, NM, 8/1995-4/1999

Developed and implemented the Administrative Supervisor role.

Assumed primary and administrative responsibility and accountability for hospital functions after hours

Served as on-site representative of University Hospital and liaison between physicians, nursing staff, patients, families and hospital departments

Facilitated communication and decision-making through direct intervention, consultation and/or referral to resources as appropriate to each individual situation

Navy Nurse Corps, Lieutenant Commander

Fleet Hospital Six, Bahrain 12/1990-4/1991

Division Officer/Flight Nurse Casualty Receiving

Emergency Room Nurse

University of New Mexico, NM 1/1979-8/1995

Served as Emergency Room Staff nurse/Charge nurse/Flight nurse

Conducted triage for emergency care, making initial patient assessment and instituting emergency procedures

MILITARY

EXPERIENCE

Deputy, Chief of Staff, Navy Medicine West

December 2008-December 2010

Served as the Deputy, Chief of Staff, Total Force Integration, Navy Medicine West, San Diego

Collaborated with 3 Commanding Officers of the Reserve Component of the United States Navy and their staff of greater than 1200 Physicians, Nurses, Corpsman

Preside as the direct link between Reserve and Active Duty affairs

Commanding Officer, United States Navy/Reserve Component

October 2004-October 2005

Served as the Commanding Officer, Operational Health Support Unit, Naval Hospital, Camp Pendleton, California

Managed unit of greater than 350 Physicians, Nurses, Corpsman

Preside as the direct link to the Active Duty counterpart

Senior Nurse Executive, United States Navy/Reserve Component

October 2003-October 2004

Served as the Senior Nurse Executive, Operational Health Support Unit, Naval Hospital, Bremerton, Washington

Managed staff of greater than 100 Nurse Corps Officers

Preside as direct link to Commanding Officer of Echelon 4 level of care

Executive Officer, United States Navy/Reserve Component

October 2001-October 2003

Served as Executive Officer for Fleet Hospital Dallas, PML 500, Combat Zone Hospital

Manage medical staff of greater than 500 Physicians, Nurses and Hospital Corpsman

Preside as direct link in the Echelon 3 level of care in theater of operation

Exercise Commander: Medical Outreach Program, West Africa, Ghana

Navy Nurse Ambassador, Australia June 2003

Faculty, Defense Medical Readiness Training Center

San Antonio, TX, 1991- present

Faculty, Course Director

Serve as Instructor, course director for the following course:

ABLS PALS ENPC

ACLS PHTLS PICC-LINE Certified

TNCC CBRNE

Logged greater than 3500 hours didactic teaching for the Defense Medical Readiness Training Center. Instruction included care and treatment lectures in: Psycho-social aspects of Trauma Care; Head Trauma; Chemical Burns; Electrical Burns; Stabilization and Transport; Cardiac Arrhythmias; Airway Maintenance and Management; Thoracic Trauma; Pediatric Burns and Trauma; Pathophysiology of Trauma Care; Extremity Trauma; Abdominal Trauma; Pharmacological Interventions for Burns and Trauma; Trauma Pregnancy; Chemical, Biological, and Radiation Emergency Care.

SEMINARS

CONFERENCES:

| | |
|--|------|
| American Military Surgeons of the United States | 2010 |
| HRRO Conference VA Recruiter University | 2009 |
| Surgeon General of the Navy Leadership Conference | 2009 |
| TriCare Financial Management Executive Program | 2008 |
| Military Health System Conference | 2008 |
| American Military Surgeons of the United States | 2007 |
| Interagency Institute for Federal Health Care Executives | 2007 |
| American Military Surgeons of the United States | 2006 |
| Navy Corporate Business Course | 2005 |
| CO/XO Conference | 2004 |
| American Military Surgeons of the United States | 2003 |
| Triage, Staging, and Receiving Naval Forces, Korea | 2002 |
| Cold Weather Survival Course | 2002 |
| Chemical/Biological Casualties Course | 2001 |
| Strategic Medical Readiness Contingency Course | 2001 |
| Steven Covey "7 Habits of Highly Effective People" | 2000 |
| MCBC U.S. Army | 1999 |
| Military Leadership Conference | 1996 |
| Operations Other than War | 1996 |
| Empowerment Principles | 1995 |
| Leadership and Management | 1995 |

PROFESSIONAL
AFFILIATIONS:

- United States Navy Reserve
 - Sigma Theta Tau Nursing Honor Society
 - Federal Nursing Service Council
 - Registered Nurse, New Mexico
 - United States Gymnastic Federation
 - Naval Reserve Association
 - American Military Surgeons of the United States
 - Emergency Nurses Association
- Nursing Advisory Committee, Albuquerque Public School Practical Nursing Program

PROFESSIONAL
CERTIFICATIONS:

Registered Nurse New Mexico Board of Nursing
Credentialed through United States Navy as Medical Surgical Nurse Subject Matter Expert

Instructor:

Advanced Burn Life Support
Emergency Nurse Pediatric Course
Combat Trauma Nurse Course
Pre-hospital Trauma Life Support
Trauma Nurse Core Curriculum
Pediatric Advanced Life Support

AWARDS:

Personal decorations include: Meritorious Service Medal (2Gold Stars), Joint Service Commendation Medal, Navy and Marine Corps Commendation Medal (Gold Star), National Defense Medal (Bronze Star), Kuwait Liberation Medal, Southeast Asia Medal and additional service medals.

REFERENCES:

Upon Request



Faculty Senate

9/30/2016

TO: Board of Regents Academic Student Affairs and Research Committee

FROM: Selena Salazar, Administrative Coordinator

SUBJECT: Posthumous Degree Request for Janice Flores

The Faculty Senate approved the posthumous degree for Janice Flores at the September 27, 2016 Faculty Senate meeting.

Included is the request for a Posthumous Degree Request for Janice Flores, Banner Student ID # 100855567, from Health Education of the College of Education approval by the Faculty Senate Graduate and Professional Committee.

Thank you.

Attachments



SENATE GRADUATE &
PROFESSIONAL COMMITTEE

Jennifer Thacher, Chair
jthacher@unm.edu

DATE: September 1, 2016
TO: Operations Committee of the Faculty Senate
FROM: Jennifer Thacher, Ph.D., Chair
Senate Graduate & Professional Committee
RE: Posthumous Degree

At its September 1, 2016 meeting the Senate Graduate & Professional Committee voted to approve a request to grant a posthumous degree to Janice Flores (100855567). Please see the attached email from detailing this request for Ms. Flores.

The Senate Graduate & Professional Committee's approval is based primarily on the two conditions specified in the faculty handbook relative to the granting of posthumous degrees. Ms. Flores had completed the coursework required for the degree and her academic record is in good standing. Therefore, we request that the Faculty Senate support the awarding of a posthumous Master of Science in Health Education to Janice Flores. We also request that this item be put on the Senate's agenda at the earliest convenience.

Thank you.

Attachment

Selena Andrea Salazar

From: Robben Baca
Sent: Thursday, September 1, 2016 10:57 AM
To: Selena Andrea Salazar
Subject: Posthumous degree approval
Attachments: Flores_Posthumous degree.pdf

Categories: To Do

Hi Selena!

Here's the long awaited approval of the Posthumous Degree for Janice Flores.

Take care,
Robben

Robben C. Baca
Academic Affairs Specialist
Graduate Studies
MSC03 2180
1Univeristy of New Mexico
Albuquerque, NM 87131-0001
Ph: (505) 277-7398 Fx: (505) 277-7405
E-mail: rbaca@unm.edu

"Don't aim for success if you want it;
Just do what you love and it will come naturally."
--David Frost

Robben Baca

From: Selena Andrea Salazar
Sent: Tuesday, August 30, 2016 12:33 PM
To: Robben Baca
Subject: Janice Flores

In Loving Memory of
Janice E Flores
July 10, 1981 – April 6, 2010

Janice was a loving and caring mother of two who worked tirelessly as a Community Health Educator and Nutritionist with WIC within the South Valley. Janice displayed a lifelong commitment to improving the lives of mothers and children in Albuquerque, New Mexico; co-founding the MADRES (Mothers Achieving Dreams Reaching Educational Success) organization. She is loved by the community, fellow students and friends. She was an outstanding student within the Health, Exercise and Sport Science departments Graduate Health Education program and shined as a bright light within the classroom having a positive impact on anyone she came in contact with. She was a "pura luz," pure light, within our department and will be deeply missed. The Tao of Pooh was one of Janice's favorite books; this quote reflects the journey of life.

"By the time it came to the edge of the Forest the stream had grown up, so that it was almost a river, and, being grown-up, it did not run and jump and sparkle along as it used to do when it was younger, but moved more slowly. For it knew now where it was going, and it said to itself,

"There is no hurry. We shall get there someday."

- Tao of Pooh by Benjamin Hoff

Janice was an amazing person inside and out. she was dedicated to her Family, with being a single Mother of two, I give Janice the respect that she needs, because she was a working mother, going to school and trying to accomplish her dreams, until her life was ended short, Janice begin working with the First Choice Community Health Center in August 2007. She was a Nutritionist and lead supervisor for five staff members for the South Valley Clinic, where the caseload was almost 2,200 participants. Janice had prior experience and taught on ICD-9 Medical Codes class at the University of New Mexico. She was instrumental in creating the Prescription Form that is being used to her participants information using these codes.

Janice was diligent in making participant referrals so other public health services could help the WIC participants statewide. When a participant was in need of service, she was there with a phone number and a contact person to call. she "knew a guy who knew a guy" and made sure all her contact names and numbers were up to date. She had a Rolodex full of cards and phone numbers, and she was more than willing to share them with all staff members in order to assist participants. Once, she helped a needy family get a car seat and a stroller so the WIC participant would not have to walk to the clinic for her appointments while carrying her baby in her arms. The family had been using a grocery cart to transport their things when walking to appointments.

Janice continually approached grocery chains to see if they would donate diapers and wipes to the clinic so she could give them to her participants. She would send e-mails to staff members and printed out the sheets for participants indicating which local grocery stores were having sales and which products were cheaper for that specific week.

On Tuesday April 6, 2010, Kimberly Aragon-Nunez and Janice Flores both of First Choice Community Health Center in Albuquerque, New Mexico were victims of an automobile crash. Kim and Janice were on their way to pick up lunch for staff members attending a WIC training session, when a second vehicle unexpectedly crossed into their driving lane and struck their vehicle hand-on, killing both women.

Janice Flores was a devoted person to her Family, School, Work, Friends and anyone she came in contact with. Janice's legacy lives on with her two beautiful children.




University Services

University Services Business Operations
UNM Surplus Property
UnivServ Shipping and Receiving
UNM Mailing Systems
UNM Records Management
<http://www.unm.edu/~univserv/>

Date: September 26, 2016

To: Bruce Cherrin, Chief Procurement Officer
Purchasing Department

From: Marcos Roybal – Manager, Administrative Operations
University Services 

Subject: Surplus Property Disposition – September 2016

Attached for your review and submission to the Board of Regents are the Surplus Property Disposition Detail list for the month of September 2016.

Consistent with UNM Board of Regents Policy 7.9 and the NM Disposition of Surplus Property Act, 13-6-1, NMSA 1978, and based upon documentation submitted by the UNM departments responsible for the equipment, I certify that the equipment identified on the monthly list is worn-out, unusable or obsolete to the extent that the items are no longer economical or safe for continued use by the University. I recommend that the items be deleted from UNM's inventory and disposed of in accordance with the above noted Regents Policy and NM Surplus Property Act.

Board of Regents' Finance & Facilities Committee

Surplus Property Disposition - September FY17

| Memo | Asset Tag | Department | Description | Manufacturer | Model | Capitalization | Total Cost (\$) | NBV (\$) | Disposal Method |
|------|-----------|-----------------------------------|---------------------------|--------------|-----------------|----------------|-----------------------------|----------|----------------------|
| 1 | N00018130 | Art Art History Gen Admin | Copier | AlbDupSup | Lanier LD050B | 9/19/2008 | \$15,995.00 | \$0.00 | Obsolete |
| 3 | 196608 | Physics Astronomy Department | COMPUTER CPU/SYSTEM | Indigo | N/A | 6/26/1992 | \$13,603.00 | \$0.00 | Obsolete |
| 2 | N00005278 | Elec Computer Engr Administration | Server | Dell | PowerEdge 2850 | 8/29/2005 | \$11,025.50 | \$0.00 | Obsolete |
| 3 | 216851 | Physics Astronomy Department | COMPUTER CPU/SYSTEM | Silicon | INDY R4400 8BIT | 4/11/1996 | \$11,022.00 | \$0.00 | Obsolete |
| | N00025339 | Computer Science Administration | Network Switch - Catalyst | Cisco | 3750E 48 Port | 4/19/2010 | \$8,927.65 | \$0.00 | Obsolete |
| 4 | N00034981 | Pathology Medical Lab Sciences | MobileSmartBoard/X885 | digitalVid | X885 | 3/13/2012 | \$7,225.46 | \$722.78 | Too Costly to Repair |
| | N00013547 | CE PDP Administration | Server | Dell | PowerEdge 2950 | 11/6/2007 | \$6,274.05 | \$0.00 | Obsolete |
| | N00013548 | CE PDP Administration | Server | Dell | PowerEdge 2950 | 11/6/2007 | \$6,274.05 | \$0.00 | Obsolete |
| | N00013549 | CE PDP Administration | Server | Dell | PowerEdge 2950 | 11/6/2007 | \$6,274.05 | \$0.00 | Obsolete |
| | N00017817 | HSC Communications | Computer | Dell | XPS 730 | 8/14/2008 | \$5,347.66 | \$0.00 | Obsolete |
| | | | | | | | Total Asset Disposition (#) | | 10 |
| | | | | | | | Total Capitalization (\$) | | \$91,968.42 |
| | | | | | | | Total Net Book Value (\$) | | \$722.78 |



University Services



Department of Art & Art History

MEMORANDUM

To: University Services
From: Justine Andrews, Chair, Department of Art and Art History
Date: August 19, 2016
Subject: Surplus Property Equipment Disposition

The Lanier copier in the Art Office (Model #LD050B, Serial # M5685400028, UNM Tag # N00018130) is being replaced with a new copier leased from Ricoh. The Lanier copier is about nine-years-old and has become obsolete. Repairs on the machine are costly and becoming more frequent. The copy machine is no longer making quality prints, and even with regular repairs, is not working properly. It has become too worn-out and is being replaced.

Approval:

A handwritten signature in blue ink, appearing to read 'Justine Andrews', written over a horizontal line.

Justine Andrews, Chair

A handwritten date '8/22/14' in blue ink, written over a horizontal line.

Date


| Asset | Description | Acq. Cost | NBV | Date |
|-----------|-------------|-------------|------|------|
| N00018130 | Copier | \$15,995.00 | 0.00 | 2008 |



Department of Electrical & Computer Engineering

Memorandum

TO: University Services

FROM: Michael Devetsikiotis, ECE Department Chair 

DATE: September 6, 2016

RE: Dell PowerEdge 2850 - Surplus Property Equipment Disposition

The Electrical and Computer Engineering Department currently has the equipment on the inventory with UNM Tag# N00005278. It is an obsolete file server purchased over 10 years ago, which was replaced with a new file server that serves 15 times the capacity of the obsolete server. The server still functions but cannot support the Electrical and Computer Engineering Department's requirements. To purchase replacement parts for the obsolete server would only be available through EBAY and/or Amazon which are refurbished; therefore, this server cannot be upgraded to meet the needs of the department's requirements.

Therefore, requesting the server be removed from the department's inventory.

| Asset | Description | Acq. Cost | NBV | Date |
|-----------|-------------|-------------|------|------|
| N00005278 | Server | \$11,025.50 | 0.00 | 2005 |

*Department of Physics & Astronomy*

September 16, 2016

To: Marcus Roybal, Manager, Administrative Operations, University Services

Re: Surplus of UNM Assets #196608 & #216851

Dear Mr. Roybal,

Asset #196608 is a computer acquired in 1992 for \$13,603.00. Asset # 216851 is a computer acquired in 1995 for \$11,022.00. These computers are over 20 years old, obsolete, and have not been used in years. They are no longer of use to our department. We would like to send the computers to UNM Surplus Property.

Sincerely,

Wolfgang Rudolph

Professor & Chair

wrudolph@unm.edu

277-1517

| Asset | Description | Acq. Cost | NBV | Date |
|--------|-------------|-------------|------|------|
| 196608 | Computer | \$13,603.00 | 0.00 | 1992 |
| 216851 | Computer | \$11,022.00 | 0.00 | 1996 |

From: [Susan L Dellinger](#)
To: [University Services](#)
Subject: RE: Surplus disposal form
Date: Wednesday, September 14, 2016 4:06:21 PM

Hi Dora,

The item needs software updates and we couldn't get any support from another UNM dept. in helping us on how to use it because they didn't purchase it. We / Med Lab Sciences didn't originally purchase the item either and the original purchaser doesn't want it back so we're sending it to Surplus because it is taking up space and doesn't help us in teaching our students.

Thank you,

Susan

From: Dora Dominguez [mailto:doradominguez@unm.edu] **On Behalf Of** University Services
Sent: Wednesday, September 14, 2016 3:23 PM
To: Susan L Dellinger <SDellinger@salud.unm.edu>
Subject: RE: Surplus disposal form

Hi Susan,

Asset # N00034981 has an net book value of \$722.78 can you please provide me a brief description on the reason for disposal. As soon as we receive the information requested we will be able to schedule a pickup of all items listed on your request sheets.

Thank you for your assistance.

Dora

From: Susan L Dellinger [mailto:SDellinger@salud.unm.edu]
Sent: Wednesday, September 14, 2016 1:43 PM
To: University Services <univserv@unm.edu>
Subject: Surplus disposal form

Attached is a form for Med Lab Sciences.

Thank you,

Susan Dellinger
Medical Laboratory Sciences
MSC09 5250
1 University of New Mexico
(505) 272-5434

New Mexico Compilation Commission

13-6-1 . Disposition of obsolete, worn-out or unusable tangible personal property.

A. The governing authority of each state agency, local public body, school district and state educational institution may dispose of any item of tangible personal property belonging to that authority and delete the item from its public inventory upon a specific finding by the authority that the item of property is:

- (1) of a current resale value of five thousand dollars (\$5,000) or less; and
- (2) worn out, unusable or obsolete to the extent that the item is no longer economical or safe for continued use by the body.

B. The governing authority shall, as a prerequisite to the disposition of any items of tangible personal property:

- (1) designate a committee of at least three officials of the governing authority to approve and oversee the disposition; and
- (2) give notification at least thirty days prior to its action making the deletion by sending a copy of its official finding and the proposed disposition of the property to the state auditor and the appropriate approval authority designated in Section 13-6-2 NMSA 1978, duly sworn and subscribed under oath by each member of the authority approving the action.

C. A copy of the official finding and proposed disposition of the property sought to be disposed of shall be made a permanent part of the official minutes of the governing authority and maintained as a public record subject to the Inspection of Public Records Act [Chapter 14, Article 2 NMSA 1978].

D. The governing authority shall dispose of the tangible personal property by negotiated sale to any governmental unit of an Indian nation, tribe or pueblo in New Mexico or by negotiated sale or donation to other state agencies, local public bodies, school districts, state educational institutions or municipalities or through the central purchasing office of the governing authority by means of competitive sealed bid or public auction or, if a state agency, through the surplus property bureau of the transportation services division of the general services department.

E. A state agency shall give the surplus property bureau of the transportation services division of the general services department the right of first refusal when disposing of obsolete, worn-out or unusable tangible personal property of the state agency.

F. If the governing authority is unable to dispose of the tangible personal property pursuant to Subsection D or E of this section, the governing authority may sell or, if the property has no value, donate the property to any organization described in Section 501(c)(3) of the Internal Revenue Code of 1986.

G. If the governing authority is unable to dispose of the tangible personal property pursuant to Subsection D, E or F of this section, it may order that the property be destroyed or otherwise permanently disposed of in accordance with applicable laws.

H. If the governing authority determines that the tangible personal property is hazardous or contains hazardous materials and may not be used safely under any circumstances, the property shall be destroyed and disposed of pursuant to Subsection G of this section.

I. No tangible personal property shall be donated to an employee or relative of an employee of a state agency, local public body, school district or state educational institution; provided that nothing in this subsection precludes an employee from participating and bidding for public property at a public auction.

J. This section shall not apply to any property acquired by a museum through abandonment procedures pursuant to the Abandoned Cultural Properties Act [18-10-1 to 18-10-5 NMSA 1978].

K. Notwithstanding the provisions of Subsection A of this section, the department of transportation may sell through public auction or dispose of surplus tangible personal property used to manage, maintain or build roads that exceeds five thousand dollars (\$5,000) in value. Proceeds from sales shall be credited to the state road fund. The department of transportation shall notify the department of finance and administration regarding the disposition of all property.

L. If the secretary of public safety finds that the K-9 dog presents no threat to public safety, the K-9 dog shall be released from public ownership as provided in this subsection. The K-9 dog shall first be offered to its trainer or handler free of charge. If the trainer or handler does not want to accept ownership of the K-9 dog, then the K-9 dog shall be offered to an organization described in Section 501(c)(3) of the Internal Revenue Code of 1986 free of charge. If both of the above fail, the K-9 dog shall only be sold to a qualified individual found capable of providing a good home to the animal.

History: 1953 Comp., § 6-1-7.1, enacted by Laws 1961, ch. 100, § 1; 1979, ch. 195, § 2; 1984, ch. 47, § 1; 1987, ch. 15, § 1; 1989, ch. 211, § 6; 1995, ch. 181, § 1; 1998, ch. 16, § 1; 2001, ch. 317, § 1; 2007, ch. 57, § 4; 2012, ch. 10, § 1; 2013, ch. 9, § 1.



Office of the Senior Executive Officer
Finance & Administration
Health Science Center
1 University of New Mexico
MSCO9 5300
Albuquerque, NM 87131

TO: Paul B. Roth, M.D., M.S., FACEP
Chancellor for Health Sciences
Dean School of Medicine

FROM: Ava J. Lovell, CPA *AJL*
Senior Executive Officer for Finance & Administration, HSC

DATE: September 23, 2016

SUBJECT: Submission of HSC Report – UAP 7000

Enclosed are the reports as required by Regents' Policy 7.20 and University Administrative Policies and Procedures 7000 (Budgets and Reserves). The reports show HSC Academic unrestricted financial activity for fiscal year ending June 30, 2016. The HSC unrestricted Instruction & General (I&G) expense budget is approximately 24% of the total HSC Academic unrestricted operating expense budget.

Total HSC reserves of \$62,578,471 (Attachment #1) have been categorized by the units within the Health Sciences Center as committed, dedicated, or discretionary. Within the category of committed are purchase order encumbrances, which total \$1,722,752. The remaining committed reserves are \$33,496,557 and consist largely of Donor Designated Endowed and Non-endowed funds and Faculty Contracts and Startup Packages. Attachment #2 displays ending reserves by Fiscal Year.

Attachment #3 shows activity by HSC unit (academic and administration) for I&G funds. HSC I&G reserves of \$5,414,663 are 4.73% of expense which is within the Higher Education Department (HED) recommended reserve range of 3% to 5%. There was an overall I&G decrease in reserves for FY 2016 of (\$180,119).

Combined HSC unrestricted reserves total of \$62,578,471 (Attachment #4) which represents 13.22% of expense totaling \$473,323,394. The increase in reserves for FY 2016 for all HSC Academic funds is \$5,945,194. This increase is mainly due to Cancer Center, Project Echo and the Department of Pathology.

There are \$23,638,699 of funds which have been dedicated by units within the HSC. Discretionary reserves are \$3,720,463 or 0.79% of the total expense.

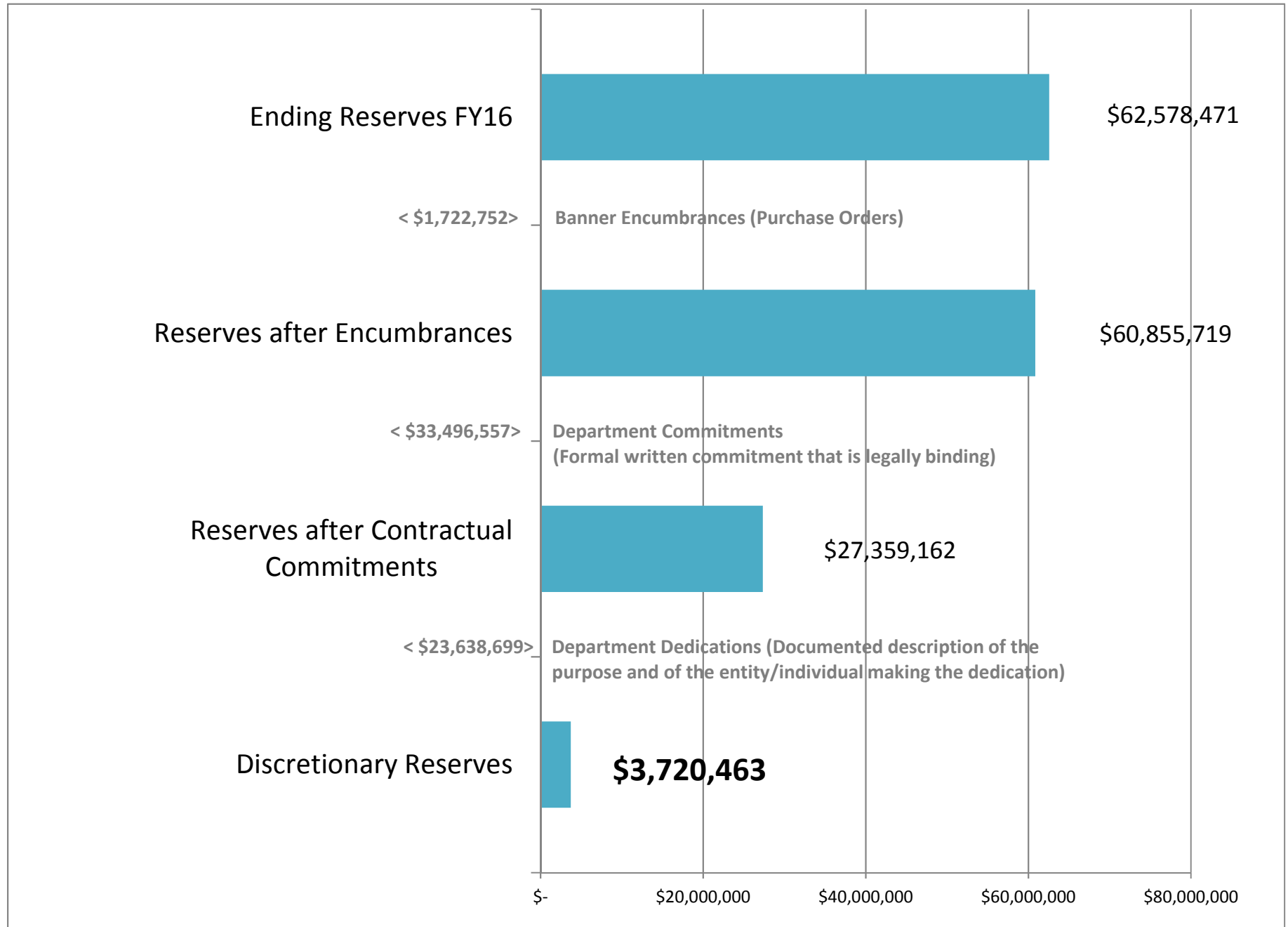
If you would like more information, please contact Ava at Alovell@unm.edu

cc

Bob Fondino, Chief Budget & Facilities Officer, HSC

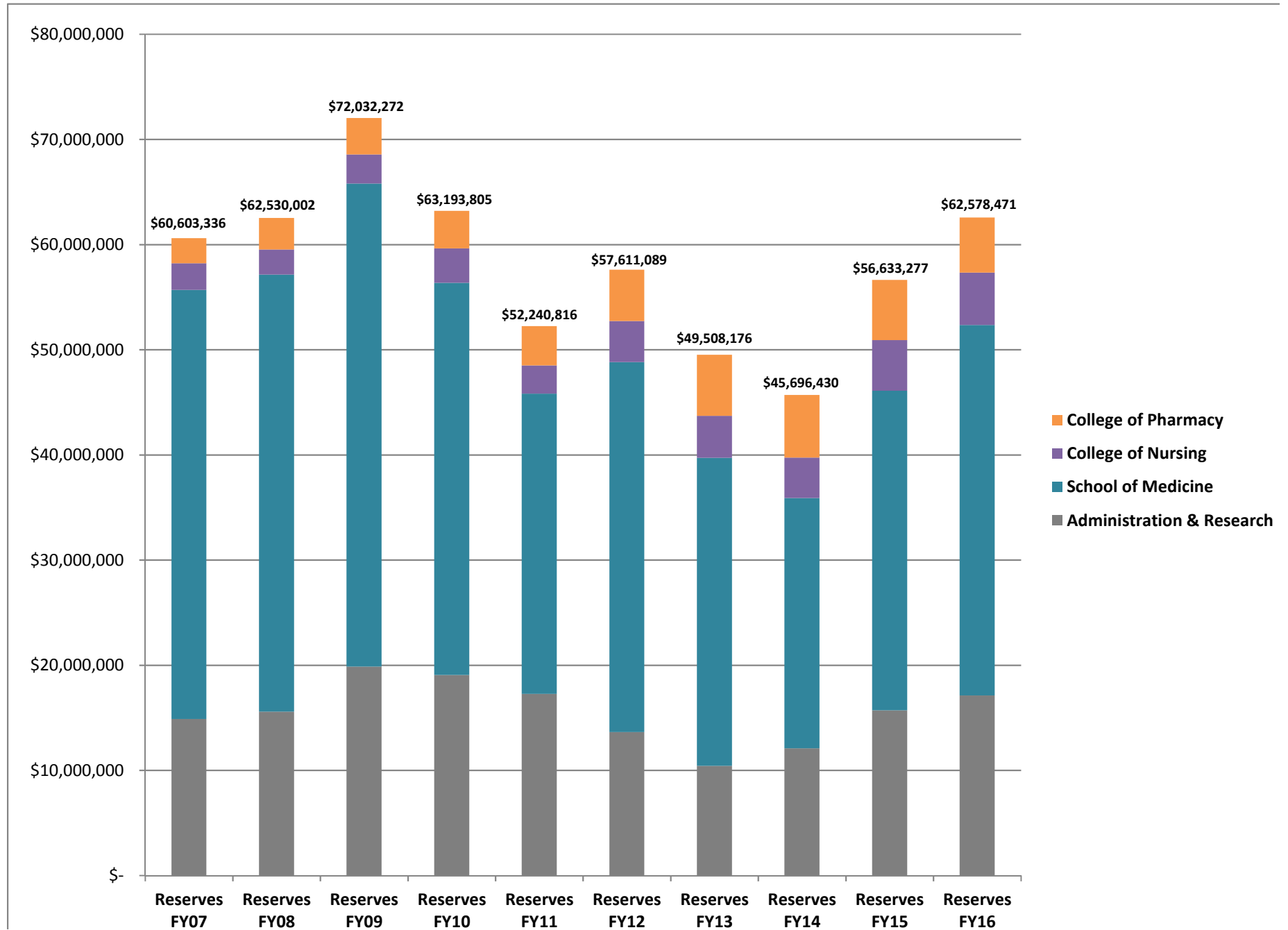
UNM Health Sciences
FY 2016 Categorization of Reserves

Attachment #1



UNM Health Sciences Center
Ending Reserves by Year

Attachment #2



UNM Health Sciences Center
Instruction and General
Unrestricted Reserves Summary
Fiscal Year Ended June 30, 2016 (Unaudited)
Does not include Main Campus Funds Under HSC Organization Codes, UNM Hospitals, or UNM Medical Group

| | | Revised Budget | Beginning Reserves | Revenue | Transfers | Actual Expense | Ending Reserves | Reserves % of Expense | Change in Reserves | % of Expense | Commitments | Net Reserves Less: Commitments |
|--------------|-----------------------------------|--------------------|-----------------------|--------------------|--------------------|--------------------|--------------------|--------------------------|-----------------------|---------------|------------------|-----------------------------------|
| AFA | VP HSC Administration* | 52,957,957 | 1,989,716.69 | 41,782,363.09 | 5,294,977.85 | 47,323,042.25 | 1,744,015.38 | 3.69% | (245,701.31) | -0.52% | 117,168.04 | 1,626,847.34 |
| AFB | HS Library and Informatics Center | 3,874,594 | 63,612.66 | 3,867,162.93 | (34,894.70) | 3,742,942.27 | 152,938.62 | 4.09% | 89,325.96 | 2.39% | 136,001.26 | 16,937.36 |
| AFC | School of Medicine | 46,107,541 | 363,326.85 | 56,357,589.03 | (10,610,954.93) | 45,792,908.66 | 317,052.29 | 0.69% | (46,274.56) | -0.10% | 338,046.70 | (20,994.41) |
| AFD | College of Nursing | 10,080,829 | 1,323,667.56 | 10,544,717.40 | (997,449.87) | 9,435,409.43 | 1,435,525.66 | 15.21% | 111,858.10 | 1.19% | 291,209.83 | 1,144,315.83 |
| AFE | College of Pharmacy | 8,433,567 | 1,854,458.06 | 9,133,020.60 | (983,541.58) | 8,238,806.42 | 1,765,130.66 | 21.42% | (89,327.40) | -1.08% | 162,445.43 | 1,602,685.23 |
| AFI | HSC VP Research | 0.00 | 0.00 | 92,603.38 | (92,603.38) | 0.00 | 0.00 | | 0.00 | | 0.00 | 0.00 |
| Total | | 121,454,488 | 5,594,782 | 121,777,456 | (7,424,467) | 114,533,109 | 5,414,663 | 4.73% | (180,119) | -0.16% | 1,044,871 | 4,369,791 |

* Includes College of Population Health

UNM HEALTH SCIENCES CENTER
ENDING RESERVES BY ORG LEVEL 3 & FUND
FY 2016
(Unaudited)

| Exhibit | Fund | AFA VP HSC Admin | AFB HSLIC | AFC School of Medicine | AFD College of Nursing | AFE College of Pharmacy | AFI HSC VP Research | Grand Total |
|-----------------------------|--|----------------------|-------------------|---------------------------|---------------------------|----------------------------|------------------------|-----------------------|
| Instruction and General | 3U0044 HU I and G | 1,744,015.38 | 152,938.62 | 317,052.29 | 1,435,525.66 | 1,765,130.66 | | 5,414,662.61 |
| | Instruction and General Total | 1,744,015.38 | 152,938.62 | 317,052.29 | 1,435,525.66 | 1,765,130.66 | 0.00 | 5,414,662.61 |
| Student Social and Cultural | 3U0088 HU Student Social & Cultural Gen | | | 13,848.65 | | 61,965.84 | | 75,814.49 |
| | Student Social and Cultural Total | 0.00 | 0.00 | 13,848.65 | 0.00 | 61,965.84 | 0.00 | 75,814.49 |
| Research | 3U0003 HU Endowed Spending Education | | | 98.94 | | | | 98.94 |
| | 3U0023 HU Research General | 5,599,250.86 | 110,509.33 | 724,880.79 | 272,486.47 | 1,138,361.47 | 2,234,035.99 | 10,079,524.91 |
| | 3U0047 HU F&A | 51,399.08 | | 4,711,060.07 | 273,145.90 | 898,716.87 | 744,695.25 | 6,679,017.17 |
| | 3U0081 HU Endowed Spending Research | | | 351.75 | | | | 351.75 |
| | 3U0083 HU Research State Appropriations | | | (1,896.20) | | | | (1,896.20) |
| | 3U0298 NM Tumor Registry (SEER) Billing | | | 17,887.83 | | | | 17,887.83 |
| | 3U0299 HU Research Residuals | | | 1,279,206.15 | | | 449,841.65 | 1,729,047.80 |
| | 3U0312 HU CRTC State Appropriations | | | 20,489.73 | | | | 20,489.73 |
| | Research Total | 5,650,649.94 | 110,509.33 | 6,752,079.06 | 545,632.37 | 2,037,078.34 | 3,428,572.89 | 18,524,521.93 |
| Public Service | 3U0001 HU Non Endowed Spending Research | | | 4,540,997.56 | | 8,566.26 | 125,332.73 | 4,674,896.55 |
| | 3U0002 HU Non Endowed Spending Education | 447,271.15 | 24,248.95 | 5,790,633.55 | 650,993.84 | 424,653.52 | | 7,337,801.01 |
| | 3U0008 HU HSC Monitoring | 736,486.81 | | | | | | 736,486.81 |
| | 3U0011 HU UNMH AR & AP General | (54,921.40) | | 120,227.39 | | | | 65,305.99 |
| | 3U0023 HU Research General | | | 0.01 | | | | 0.01 |
| | 3U0029 HU Clinical Residuals | 1,824,126.62 | 28,797.61 | 8,528,377.04 | 422,325.79 | 439,872.77 | 74,355.27 | 11,317,855.10 |
| | 3U0032 HU UNMMG Purchased Services | 46,326.90 | 26,223.55 | (1,568,561.79) | | | | (1,496,011.34) |
| | 3U0033 HU UNMMG Special Arrangements | | | 1,737,864.21 | | (21,342.47) | | 1,716,521.74 |
| | 3U0034 HU UNMH SOM General | (21,285.00) | | 3,787,584.76 | | | | 3,766,299.76 |
| | 3U0038 HU Neonatology Transport Billing | | | (3,022,468.82) | | | | (3,022,468.82) |
| | 3U0050 HU CME Conferences | 8,640.97 | | (75,564.09) | | | | (66,923.12) |
| | 3U0064 HU CRTC Patient Billing | | | (1,789,580.04) | | | | (1,789,580.04) |
| | 3U0068 VA Clinical Contracts e-Verify | | | 10,155.68 | | | | 10,155.68 |
| | 3U0070 HU Sandoval Regional MC | 0.00 | | (552,297.77) | | | | (552,297.77) |
| | 3U0085 HU Clinical/Public Svs State Approp | 304,701.36 | | 31,088.07 | | 259,415.79 | | 595,205.22 |
| | 3U0087 HU SRMC Hospital Operations | | | (333,206.13) | | | | (333,206.13) |
| | 3U0280 HU HSC Accrued Annual Leave | 29,419.77 | | | | | | 29,419.77 |
| | 3U0299 HU Research Residuals | | | 130,320.01 | | | | 130,320.01 |
| | 3U0300 HU Education General | 36,566.54 | 694.81 | 2,376,114.03 | 1,257,740.83 | 144,335.50 | 73,600.29 | 3,889,052.00 |
| | 3U0301 HU Non Endow Spending Clinical Svc | 11,644.56 | | 936,437.74 | 2,010.62 | | | 950,092.92 |
| | 3U0303 HU Clinical Service General | 1,299,614.84 | | 549,935.70 | 269,641.91 | (143,730.00) | | 1,975,462.45 |
| | 3U0315 HU Strategic Resources | 429,856.40 | | | | | | 429,856.40 |
| | Public Service Total | 5,098,449.52 | 79,964.92 | 21,198,057.11 | 2,602,712.99 | 1,111,771.37 | 273,288.29 | 30,364,244.20 |
| Internal Service | 3U0014 HU Program Evaluation | | | 457.32 | | | | 457.32 |
| | 3U0017 HU Kugr Genomics | | | (39.32) | | | | (39.32) |
| | 3U0022 HU Florescent Microscopy | | | 9,419.90 | | | | 9,419.90 |
| | 3U0036 HU PPD Maintenance and Planning | 16,692.10 | | | | | | 16,692.10 |
| | 3U0040 HU Electron Microscopy | | | 454.94 | | | | 454.94 |
| | 3U0059 HU Ctr for Development & Disability | | | 14,609.18 | | | | 14,609.18 |
| | 3U0069 HU CC Animal Cores | | | (10.02) | | | | (10.02) |
| | 3U0072 HU CEHP Cost Center | | | | | (966.12) | | (966.12) |
| | 3U0314 HU Radio-Path Ctr for Forensic Imag | | | (5,504.56) | | | | (5,504.56) |
| | 3U0316 CTSC Service Centers | | | | | | 103,709.71 | 103,709.71 |
| | 3U0318 HSCTV ISC | 70,000.00 | | | | | | 70,000.00 |
| | Internal Services Total | 86,692.10 | 0.00 | 19,387.44 | 0.00 | (966.12) | 103,709.71 | 208,823.13 |
| Student Aid | 3U0003 HU Endowed Spending Education | 168,492.03 | 2,591.66 | 3,073,301.50 | 387,830.55 | 277,521.97 | 5,869.74 | 3,915,607.45 |
| | 3U0081 HU Endowed Spending Research | 285,375.57 | 41.08 | 6,119,901.15 | 5,297.23 | | | 6,410,615.03 |
| | 3U0106 HU Student Aid | (140,991.60) | | 757,030.93 | | | | 616,039.33 |
| | 3U0302 HU Endowed Spending Clinical Svc | 60,919.54 | | 131,268.89 | | | | 192,188.43 |
| Independent Operations | Student Aid Total | 373,795.54 | 2,632.74 | 10,081,502.47 | 393,127.78 | 277,521.97 | 5,869.74 | 11,134,450.24 |
| | 3U0004 HU Medical Investigator Billing | | | (1,042,002.67) | | | | (1,042,002.67) |
| | 3U0005 HU Housestaff Operations | | | (2,322.54) | | | | (2,322.54) |
| | 3U0011 HU UNMH AR & AP General | | | (2,106,879.79) | | | | (2,106,879.79) |
| | 3U0123 HU Housestaff UNMH AR | | | 7,159.41 | | | | 7,159.41 |
| | Independent Operations Total | 0.00 | 0.00 | (3,144,045.59) | 0.00 | 0.00 | 0.00 | (3,144,045.59) |
| Grand Total | | 12,953,602.48 | 346,045.61 | 35,237,881.43 | 4,976,998.80 | 5,252,502.06 | 3,811,440.63 | 62,578,471.01 |



Office of Planning, Budget & Analysis

MEMORANDUM

TO: Members of the Board of Regents' Finance & Facilities Committee

THRU: Robert G. Frank, President
David W. Harris, EVP for Administration, COO and CFO

FROM: Andrew Cullen, Associate VP, Office of Planning, Budget & Analysis *AC*
Norma Allen, Associate Director – University Budget Operations *NA*

DATE: October 11, 2016

SUBJECT: **Approval of Reports for University Administrative Policy 7000 for Main and Branch Campuses – FY 2015/16**

OVERVIEW

At the end of each fiscal year, the Office of Planning, Budget and Analysis (OPBA) reviews current unrestricted reserves in accordance with UAP 7000 and Regents' Policy 1.1 & 7.2 (Fiduciary Responsibility) and submits a report to the Board of Regents for approval. In this report, we explain changes in current unrestricted reserves for Main Campus and Branches and discuss year-end deficit balances over \$100,000 for Schools/Colleges/Departments.

The UAP 7000 Policy process begins when the fiscal year-end closes in late July. Each department submits the information to its respective School/College/Department, which in turn submits the information to the respective Vice President unit. Lastly, the Vice President unit reviews and submits the final report to the OPBA. The process also includes a requirement to categorize fund reserves in terms of committed, dedicated, and discretionary, and as referenced above, to report on deficit balances greater than \$100,000. The policy regarding deficit balances also includes a requirement to document a deficit reduction plan.

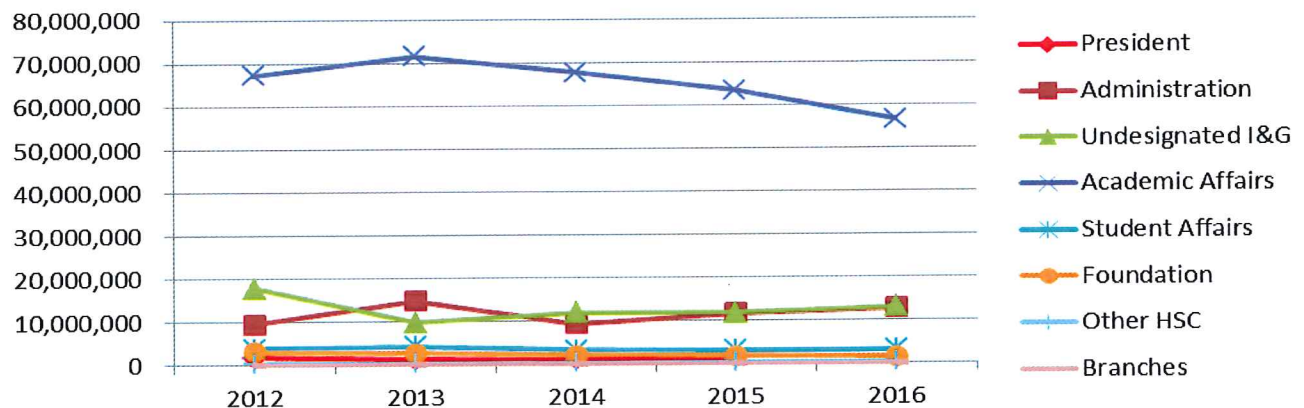
SUMMARY GRAPHS & SCHEDULES

Unrestricted Funds: As the following three graphs depict, overall university reserves for Main Campus unrestricted funds have declined approximately \$5.0 million to \$88.2 million. The overall decrease can be attributed to decreases within the President's Organization, Academic Affairs, and Advancement. These decreases, however, were partially offset by increases in the Administration and the University's Undesignated Fund, which was discussed in detail during last month's F&F and Regent meetings.

Main Campus Fiscal Year 2016 End Reserves All Current Unrestricted Funds

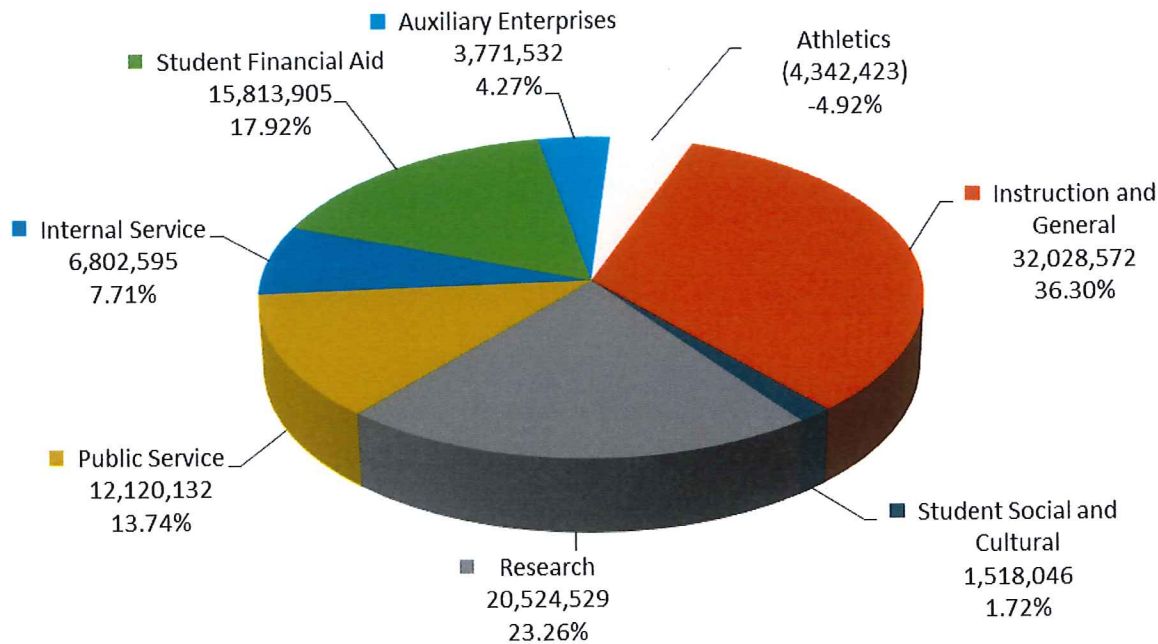


Main Campus Fiscal Year 2016 End Reserves All Current Unrestricted Funds



| | 2012 | 2013 | 2014 | 2015 | 2016 |
|-----------------------------|--------------------|--------------------|-------------------|-------------------|-------------------|
| President | 1,761,828 | 1,205,683 | 1,061,053 | 1,242,960 | 771,994 |
| Administration | 9,487,233 | 14,841,608 | 9,410,945 | 11,609,129 | 12,770,674 |
| Undesignated I&G | 17,778,208 | 9,780,281 | 11,926,672 | 11,830,769 | 13,065,580 |
| Academic Affairs | 67,257,851 | 71,558,386 | 67,713,436 | 63,453,737 | 56,636,449 |
| Student Affairs | 3,789,515 | 4,200,339 | 3,219,668 | 3,034,856 | 3,125,491 |
| Foundation | 3,151,743 | 2,708,572 | 2,076,599 | 1,802,649 | 1,591,394 |
| Other HSC | 200,024 | 215,814 | 268,420 | 261,273 | 257,554 |
| Branches | 10,242 | 9,991 | 13,627 | 17,212 | 17,752 |
| Grand Total | 103,436,644 | 104,520,674 | 95,690,420 | 93,252,586 | 88,236,888 |

UNM Main Campus Fiscal Year 2016 Current Unrestricted End Reserves



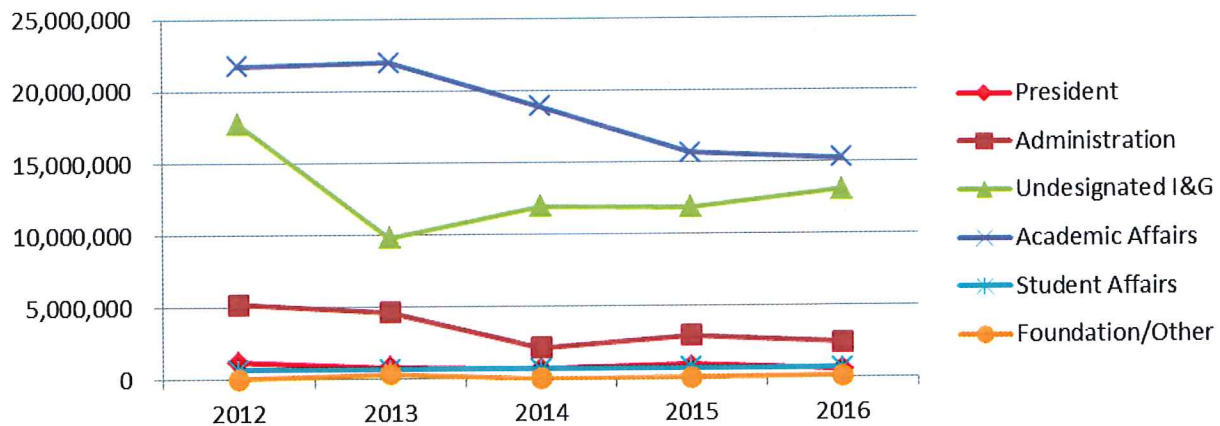
Total Reserves \$88,236,888

Instruction & General Funds (I&G): As depicted in the following three graphs, after three consecutive year-over-year declines in I&G reserves, from a high in FY12 of \$46.6 million to a low of \$32.0 million in FY15, there was a very slight uptick in reserves in FY16. The overarching reason behind this growth is the University Undesignated Fund, which grew approximately \$1.3 million. Absent this growth, overall I&G reserves would have decreased once again in FY16, continuing the year-over-year declining trend. A closer look reveals decreases in all organizations except Foundation/Other, which is immaterial given its dollar value. An individual look at schools/colleges/departments reveals a significant decrease in I&G reserves within the College of Arts & Sciences, totaling approximately \$2.3 million. Several other schools/colleges actually recorded increases in reserves, most notably the School of Engineering and the College of Education. Other significant reductions in reserves include two administrative organizations, Risk Management and Institutional Support Services, which in total decreased their reserves approximately \$875K. Reserves within other organizations varied by unit, but did not have a material impact on the overall I&G reserve balance at year-end.

Main Campus Fiscal Year 2016 End Reserves Instruction and General Funds

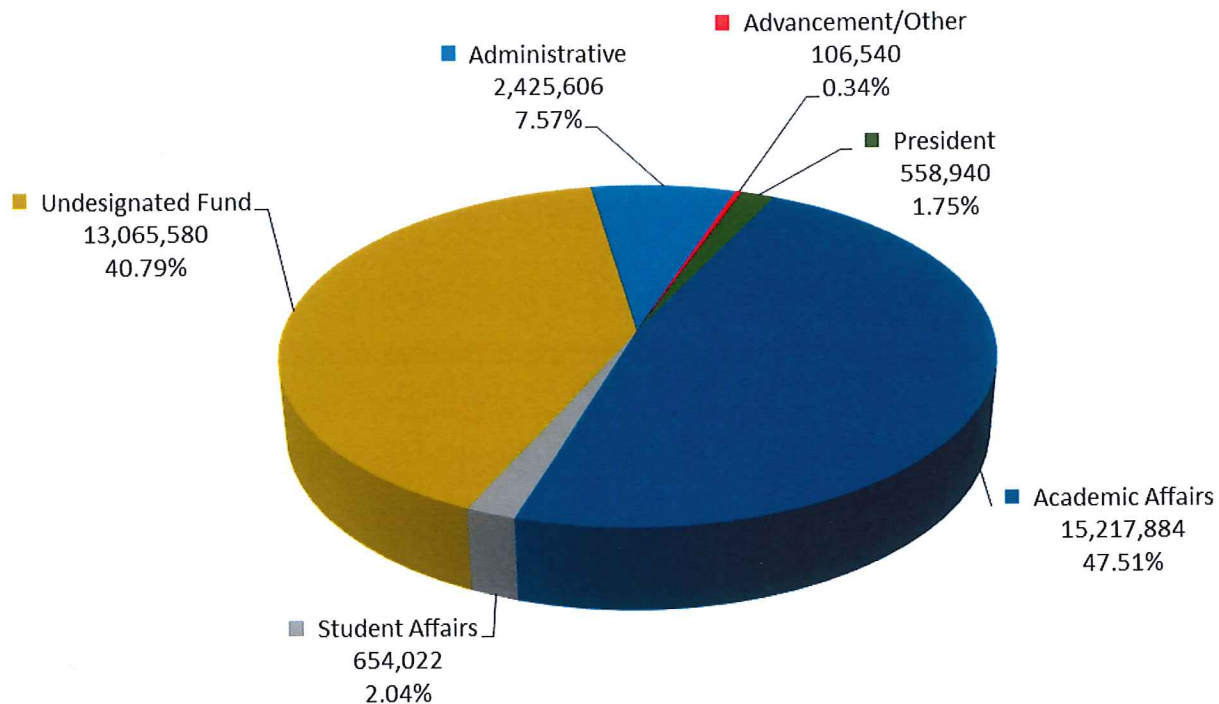


Main Campus Fiscal Year 2016 End Reserves Instruction and General Funds



| | 2012 | 2013 | 2014 | 2015 | 2016 |
|-----------------------------|-------------------|-------------------|-------------------|-------------------|-------------------|
| President | 1,180,305 | 793,089 | 655,855 | 901,665 | 558,940 |
| Administration | 5,209,346 | 4,609,891 | 2,123,355 | 2,926,162 | 2,425,606 |
| Undesignated I&G | 17,778,208 | 9,780,281 | 11,926,672 | 11,830,769 | 13,065,580 |
| Academic Affairs | 21,761,974 | 21,965,002 | 18,905,356 | 15,621,756 | 15,217,884 |
| Student Affairs | 642,388 | 647,449 | 648,770 | 705,431 | 654,022 |
| Foundation/Other | 8,154 | 337,587 | 765 | 24,615 | 106,539 |
| Grand Total | 46,580,375 | 38,133,299 | 34,260,773 | 32,010,398 | 32,028,572 |

UNM Main Campus Fiscal Year 2016 Instruction & General End Reserves



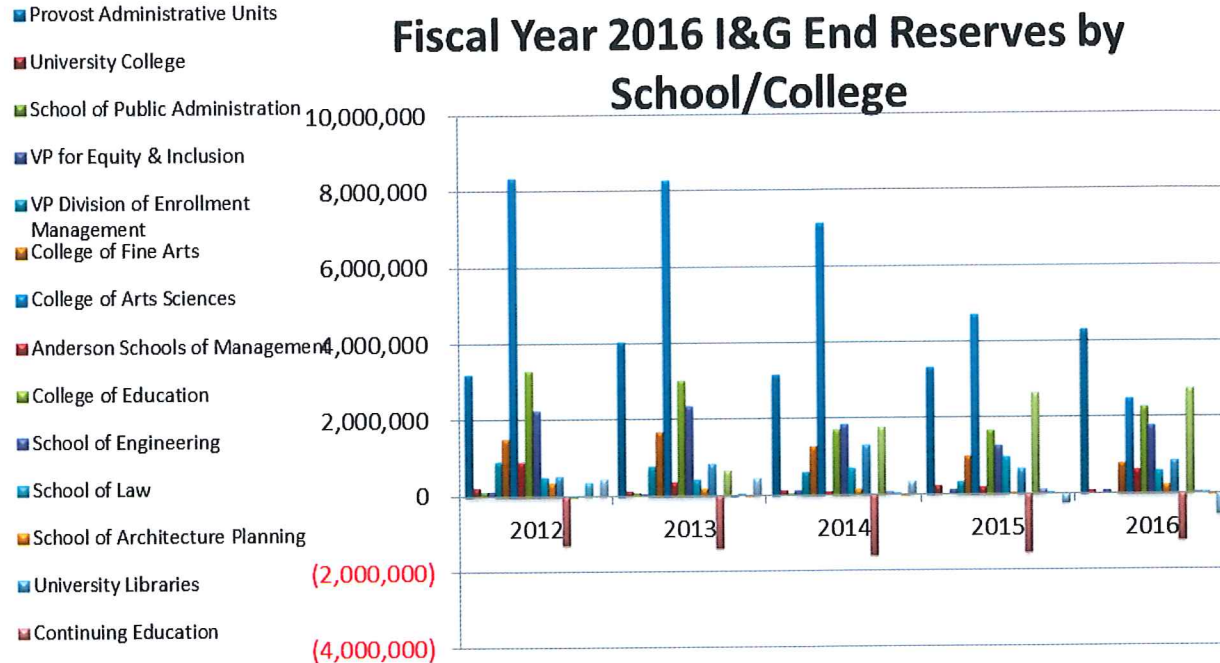
Total Reserves \$32,028,572

ACADEMIC AFFAIRS RESERVES BY SCHOOL/COLLEGE

As stated in previous years, an important metric regarding the ultimate health of the university, defined as broadly as possible, lies primarily with the operations of our schools and colleges. With this in mind, the following graph is a useful snapshot of the fiscal health of these entities and, more specifically, their ability to move forward with one-time college initiatives such as minor capital projects, faculty start-up packages, and bridge funding for faculty hires.

As the chart on the next page depicts, overall I&G balances within the University's schools and colleges declined approximately \$400K, to a year-end reserve balance of \$15.2 million. The modest overall decline was driven by a decrease within the Colleges of Arts & Sciences and Fine Arts totaling \$2.5 million. Again, this decrease was offset by increases in the Provost Administrative Units, the Anderson Schools of Management, the College of Education, and the School of Engineering. As the University of New Mexico's largest college, the College of Arts & Sciences plays a critical role in retention and graduation rates as students enroll in core Math and English courses which provide the foundation for advanced studies in their field of choice. With this in mind, it's important for A&S to have adequate reserves to adapt to student course demands and other instructional needs.

Academic Affairs Fiscal Year 2016 I&G End Reserves by School/College



| | 2012 | 2013 | 2014 | 2015 | 2016 |
|---------------------------------|-------------|-------------|-------------|-------------|-------------|
| Provost Administrative Units | 3,188,690 | 4,016,610 | 3,151,146 | 3,313,670 | 4,312,783 |
| University College | 212,730 | 129,543 | 111,972 | 235,009 | 85,931 |
| School of Public Administration | 123,555 | 79,468 | 46,297 | 22,007 | 35,670 |
| VP for Equity & Inclusion | 115,033 | 54,707 | 124,801 | 112,091 | 88,309 |
| VP Division of Enrollment Mgmt | 911,465 | 777,626 | 609,491 | 325,584 | 13,999 |
| College of Fine Arts | 1,511,413 | 1,687,853 | 1,278,523 | 986,878 | 785,432 |
| College of Arts Sciences | 8,339,102 | 8,271,722 | 7,128,467 | 4,721,635 | 2,469,506 |
| Anderson Schools of Management | 909,050 | 356,803 | 83,904 | 195,679 | 614,852 |
| College of Education | 3,293,744 | 3,023,596 | 1,701,649 | 1,668,962 | 2,267,194 |
| School of Engineering | 2,238,071 | 2,333,788 | 1,858,298 | 1,260,157 | 1,768,339 |
| School of Law | 499,625 | 443,565 | 709,869 | 973,037 | 585,161 |
| School of Architecture Planning | 346,562 | 192,989 | 154,850 | 45,567 | 241,200 |
| University Libraries | 532,617 | 846,774 | 1,303,095 | 677,353 | 862,422 |
| Continuing Education | (1,294,963) | (1,378,355) | (1,593,146) | (1,536,975) | (1,221,804) |
| Extended University | (18,473) | 654,372 | 1,772,536 | 2,667,970 | 2,736,556 |
| VP Research & Economic Dev | 12,346 | (10,314) | 101,556 | 136,604 | 57,147 |
| Academic Affairs Monitoring | 371,382 | 49,088 | 41,357 | 50,632 | 72,921 |
| Honors College | 8,482 | (25,643) | (30,024) | 12,766 | (5,143) |
| UNM West and Branch Initiatives | 461,541 | 460,809 | 350,715 | (246,871) | (552,590) |
| Grand Total | 21,761,974 | 21,965,002 | 18,905,356 | 15,621,756 | 15,217,884 |

The previous graphs provide an excellent visual trend analysis: reserves are declining overall at the University of New Mexico, but remain somewhat uneven across schools, colleges, and departments. Given the size and growth of reserves, however, a closer analysis is prudent, with the table on the following page quantifying **reserves as a percent of budget**. This analysis reveals that for many schools, colleges, and administrative departments, reserves have reached substantial levels. As stated earlier, these reserves should be spent on initiatives which support

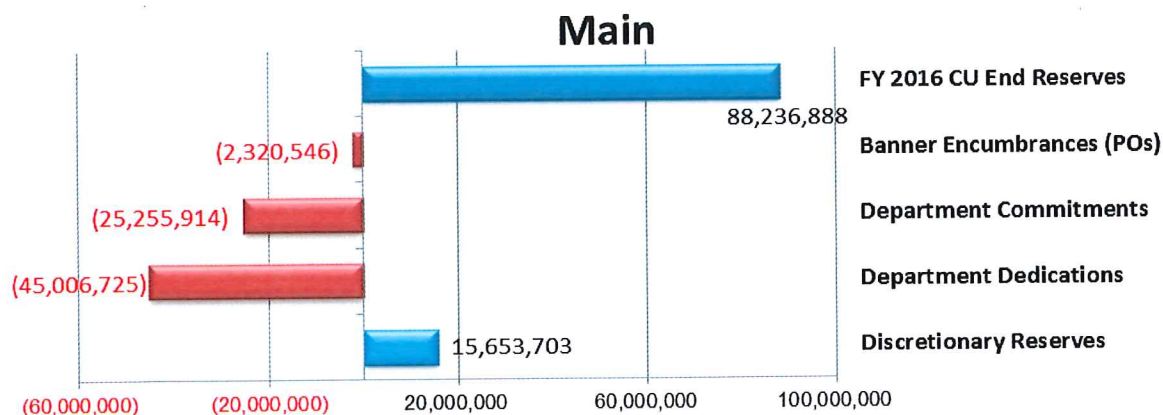
student success, or given current revenue challenges, used to fund revenue shortfalls on a one-time basis until permanent budget reduction strategies can be implemented. An exception would be the University's Undesignated Reserve, which is at an acceptable level according to the Higher Education Department (HED) parameters during times of "financial uncertainty."

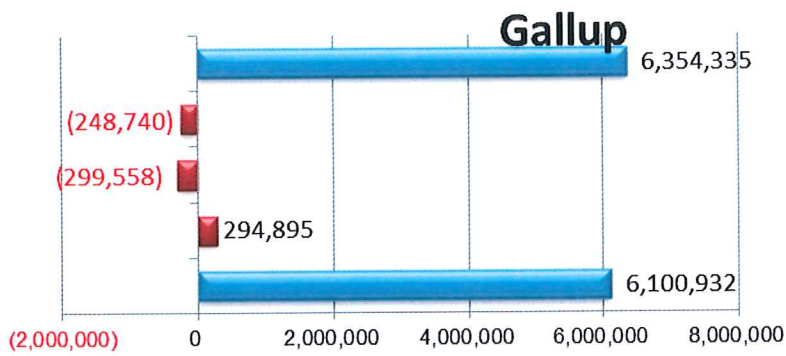
Fiscal Year 2016 Main Campus End Reserves as a Percentage of Budget

| College/School/Division | Instruction & General | Current Unrestricted |
|-------------------------|-----------------------|----------------------|
| President | 7.59% | 9.76% |
| Academic Affairs | 7.49% | 17.41% |
| Student Affairs | 9.16% | 11.22% |
| Undesignated Fund | 27.27% | 27.67% |
| Administrative | 3.71% | 4.36% |
| Advancement | 15.54% | 213.12% |
| Other HSC and Branches | 0.25% | 21.32% |

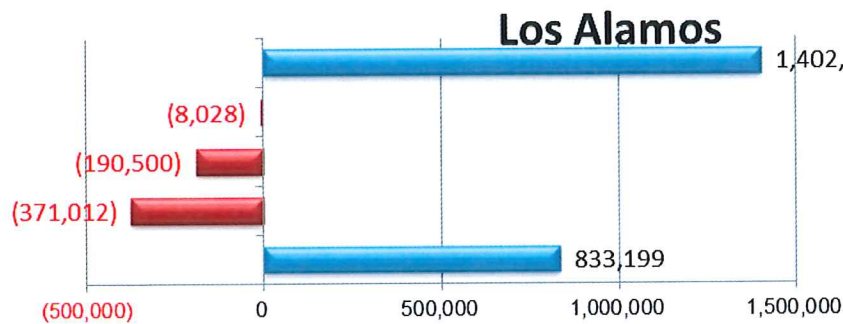
CATEGORIZATION OF RESERVES

The following charts suggest these reserves are programmed to be spent, as schools, colleges, and departments categorized their reserves as committed, dedicated, or discretionary in accordance with UNM Policy 7000. In the case of Main Campus, departments documented plans suggest a continued drawdown of reserves in FY17. In the case of the branch campuses, significant discretionary reserves have accumulated as a percent of overall reserves as the Branch Campuses continue to experience volatile enrollments, suggesting the need for healthy discretionary reserves.

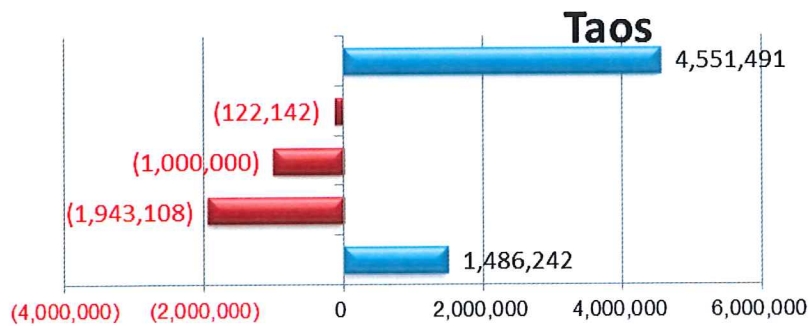




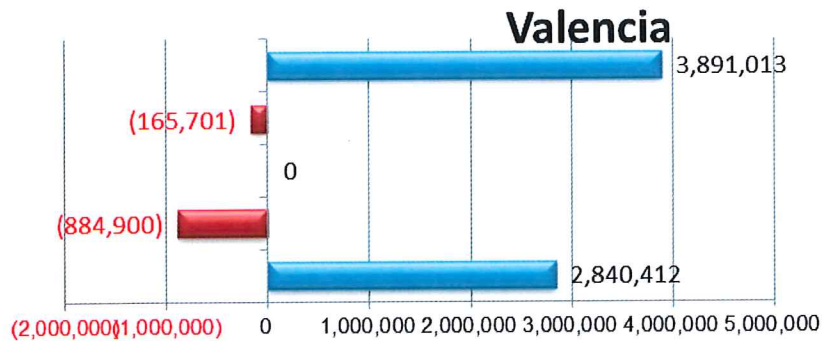
FY 2016 CU End Reserves
Banner Encumbrances (POs)
Department Commitments
Department Dedications
Discretionary Reserves



FY 2016 CU End Reserves
Banner Encumbrances (POs)
Department Commitments
Department Dedications
Discretionary Reserves



FY 2016 CU End Reserves
Banner Encumbrances (POs)
Department Commitments
Department Dedications
Discretionary Reserves






FY 2016 CU End Reserves
Banner Encumbrances (POs)
Department Commitments
Department Dedications
Discretionary Reserves

DEFICIT BALANCES

A final component of Policy 7000 includes a summary of current unrestricted deficits in excess of \$100,000. The chart below represents these deficits, the responsible entities, and a trend analysis/depiction since last reported as of 6/30/2015.

UAP 7000--Main Campus--FYE 2016

Summary of Current Unrestricted Deficits \$100,000 or More

| College/Division | Ending Reserves June 30, 2016 | Change FY15 to FY16 |
|-------------------------|--|---|
| UNM Press | (6,329,601) |  |
| Continuing Education | (761,982) |  |
| Athletics | (4,342,423) |  |



Overall deficit balance grew



Overall deficit balance decreased



Deficit stayed the same

As the table shows, Continuing Education has continued to reduce their deficit while the University Press Operations and the Athletic Department deficits grew approximately \$2.1 million to a combined total of \$10.7 million.

Detail Schedules: The graphs and tables presented were built upon the following UAP 7000 Policy detailed reports, which are included for your use:

- **Attachment I**—Summary of Current Unrestricted Reserves: Details current unrestricted reserves according to underlying use
- **Attachment II**—Summary of Current Unrestricted Reserves by College/School/Division: Provides a further breakdown of unrestricted reserves by college/school/division
- **Attachment III**—Summary of Main Campus I&G Reserves by VP Unit: Compares fiscal year ending reserves and provides explanations for changes
- **Attachment IV**—Summary of Main Campus I&G Undesignated Fund Reserves: Details change in the central reserve
- **Attachment V**—Main and Branch Summary of Current Unrestricted Deficits of \$100,000 or more by College/Division: Lists the deficits and the organizations responsible for them

- **Attachment VI**—Summary of I&G Reserves as a Percentage of Budget by College/School/Division
- **Attachment VII**—Summary of Current Unrestricted Reserves as a Percentage of Budgets by College/School/Division
- **Attachment VIII**—Summary of Categorization of I&G and Non I&G Fund Reserves by College/School/Division

We look forward to meeting with you to provide a high level overview of the materials contained in this report, and to answer any specific questions you might have regarding any of the graphs and/or spreadsheets. Thank you for your consideration.

**Attachment I--Summary of Current Unrestricted Reserves
June 30, 2016**

| Main Campus | Reserve June 30, 2015 | Reserve June 30, 2016 | Change FY 2015-2016 |
|-----------------------------|--------------------------|--------------------------|------------------------|
| Instruction and General | 32,010,398 | 32,028,572 | 18,174 |
| Student Social and Cultural | 1,790,740 | 1,518,046 | (272,694) |
| Research | 22,879,851 | 20,524,530 | (2,355,321) |
| Public Service | 12,412,819 | 12,120,132 | (292,687) |
| Internal Services | 4,859,835 | 6,802,596 | 1,942,760 |
| Student Financial Aid | 18,028,313 | 15,813,905 | (2,214,407) |
| Auxiliary Enterprises | 4,028,798 | 3,771,532 | (257,267) |
| Athletics | (2,758,168) | (4,342,423) | (1,584,256) |
| Total | 93,252,586 | 88,236,888 | (5,015,698) |

| Branches | Reserve June 30, 2015 | Reserve June 30, 2016 | Change FY 2015-2016 |
|-----------------------------|--------------------------|--------------------------|------------------------|
| Instruction and General | 12,859,948 | 13,605,950 | 746,002 |
| Student Social and Cultural | 476,028 | 407,817 | (68,211) |
| Public Service | 807,227 | 886,757 | 79,530 |
| Internal Services | 122,852 | 30,493 | (92,359) |
| Student Financial Aid | 766,798 | 475,310 | (291,488) |
| Auxiliary Enterprises | 542,687 | 793,251 | 250,563 |
| Total | 15,575,540 | 16,199,578 | 624,038 |



Attachment II--Summary of Current Unrestricted Reserves by College/School/Division

| UAP 7000 - Main and Branch Campuses - Current Unrestricted Reserves, FYE 2016 | | | | | | | | | FY 2016 | FY 2015 | |
|---|-------------------------|-----------------------------|--------------|----------------|-------------------|--------------|-------------|-----------|----------------------------|----------------------------|----------------|
| College/School/Division | Instruction and General | Student Social and Cultural | Research | Public Service | Internal Services | Student Aid | Auxiliaries | Athletics | Total Current Unrestricted | Total Current Unrestricted | Net Change |
| President's Office | 147,639.14 | 0.00 | 0.00 | 10,891.88 | 0.00 | 22,970.71 | 0.00 | 0.00 | 181,501.73 | 135,584.94 | 45,916.79 |
| University Counsel Office | 178,271.97 | 0.00 | (18,045.48) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 160,226.49 | 327,300.14 | (167,073.65) |
| Office of Equal Opportunity | 1,723.83 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 1,723.83 | 105,207.52 | (103,483.69) |
| University Communication & Marketing | (6,787.34) | 0.00 | 0.00 | 3,404.12 | 6,031.37 | 0.00 | 0.00 | 0.00 | 2,648.15 | 328,789.12 | (326,140.97) |
| Compliance Office | 22,947.27 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 22,947.27 | 22,417.65 | 529.62 |
| Alumni Relations | 125,143.46 | 0.00 | 0.00 | 101,407.52 | 0.00 | 86,394.58 | 0.00 | 0.00 | 312,945.56 | 289,774.27 | 23,171.29 |
| Dispute Resolution | 15,988.96 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 15,988.96 | | 15,988.96 |
| Internal Audit Department | 74,012.35 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 74,012.35 | 33,886.45 | 40,125.90 |
| Global Education Office | 472,742.35 | 10,661.96 | 0.00 | 98,822.12 | 14,615.54 | 12,159.45 | 0.00 | 0.00 | 609,001.42 | 1,154,639.76 | (545,638.34) |
| Harwood Foundation | 105,363.99 | 0.00 | 4,339.62 | 225,301.58 | 0.00 | 132,860.94 | 10,239.77 | 0.00 | 478,105.90 | 384,033.92 | 94,071.98 |
| Optical Science and Engineering | 5,542.61 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 5,542.61 | 13,983.84 | (8,441.23) |
| Office of Support for Effective Teaching | 9.74 | 0.00 | 0.62 | 0.00 | 0.00 | 28,779.21 | 0.00 | 0.00 | 28,789.57 | 129,954.02 | (101,164.45) |
| Nano Science & Micro Systems | 76,591.56 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 76,591.56 | 74,784.66 | 1,806.90 |
| Parent Association | (452.15) | 0.00 | 0.00 | 53,455.77 | 0.00 | 2,537.88 | 0.00 | 0.00 | 55,541.50 | 49,511.10 | 6,030.40 |
| Undergraduate Education Support | 690.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 690.00 | 7,274.14 | (6,584.14) |
| Institutional Research | 9,487.86 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 9,487.86 | (19,358.70) | 28,846.56 |
| KUNM | 0.00 | 0.00 | 0.00 | 159,861.84 | 0.00 | 0.00 | 0.00 | 0.00 | 159,861.84 | 264,371.78 | (104,509.94) |
| University Press | 0.00 | 0.00 | 0.00 | (6,358,213.08) | 0.00 | 28,611.64 | 0.00 | 0.00 | (6,329,601.44) | (5,836,224.62) | (493,376.82) |
| Graduate Studies | 1,496,598.11 | 0.00 | 55,744.56 | 8,877.23 | 0.00 | 162,879.61 | 0.00 | 0.00 | 1,724,099.51 | 1,796,338.95 | (72,239.44) |
| CAPS | 75,957.80 | 0.00 | 0.00 | 9,912.50 | 0.00 | 0.00 | 0.00 | 0.00 | 85,870.30 | 18,554.57 | 67,315.73 |
| Latin American Iberian Institute | 50,817.66 | 0.00 | 27,492.70 | 102,150.41 | 0.00 | 109,828.22 | 0.00 | 0.00 | 290,288.99 | 305,204.19 | (14,915.20) |
| Provost Office Staff | 2,029,582.25 | 0.00 | 14,287.77 | 55,328.22 | 0.00 | 211,781.48 | 0.00 | 0.00 | 2,310,979.72 | 1,499,566.25 | 811,413.47 |
| CARS | 0.00 | 0.00 | 0.00 | 0.00 | 35,019.87 | 0.00 | 0.00 | 0.00 | 35,019.87 | 33,876.27 | 1,143.60 |
| Office of the University Secretary | (10,149.08) | 0.00 | 57,129.83 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 46,980.75 | 21,686.34 | 25,294.41 |
| University College | 85,930.78 | 2,979.26 | 1,294.26 | 40,314.36 | 0.00 | 38,547.23 | 0.00 | 0.00 | 169,065.89 | 353,739.75 | (184,673.86) |
| School of Public Administration | 35,669.67 | 0.00 | 9,021.41 | 23,303.41 | 0.00 | 126,101.17 | 0.00 | 0.00 | 194,095.66 | 221,390.80 | (27,295.14) |
| VP for Equity & Inclusion | 88,309.13 | 43,851.04 | 358.00 | 34,598.13 | 0.00 | 0.00 | 0.00 | 0.00 | 167,116.30 | 187,079.04 | (19,962.74) |
| VP Division of Enrollment Mgmt | 13,999.40 | 0.00 | 0.00 | 443,663.77 | 0.00 | 2,380,243.15 | 0.00 | 0.00 | 2,837,906.32 | 4,291,759.64 | (1,453,853.32) |
| College of Fine Arts | 785,431.58 | 201,946.99 | 33,804.94 | 984,747.37 | 23,697.04 | 1,090,908.98 | 9,997.44 | 0.00 | 3,130,534.34 | 3,591,559.09 | (461,024.75) |
| College of Arts Sciences | 2,469,505.60 | 0.00 | 7,514,477.15 | 3,183,906.73 | 231,745.20 | 3,097,619.38 | (41,549.73) | 0.00 | 16,455,704.33 | 19,850,226.85 | (3,394,522.52) |
| Anderson Schools of Management | 614,851.51 | 0.00 | 146,849.64 | 470,080.79 | 0.00 | 1,376,825.13 | 0.00 | 0.00 | 2,608,607.07 | 2,611,330.88 | (2,723.81) |
| College of Education COE | 2,267,193.87 | 0.00 | 895,111.46 | 512,122.61 | 0.00 | 800,832.38 | 0.00 | 0.00 | 4,475,260.32 | 3,634,175.65 | 841,084.67 |
| School of Engineering | 1,768,338.58 | (89.09) | 5,039,894.46 | 1,677,113.02 | 21,040.63 | 1,844,200.77 | 0.00 | 0.00 | 10,350,498.37 | 10,807,837.61 | (457,339.24) |
| School of Law | 585,161.35 | 36,002.78 | 365,802.52 | 1,889,765.81 | 0.00 | 1,541,618.78 | 0.00 | 0.00 | 4,418,351.24 | 5,271,529.61 | (853,178.37) |
| School of Architecture Planning | 241,200.13 | 0.00 | 133,699.09 | 440,630.28 | 0.00 | 1,062,621.47 | 0.00 | 0.00 | 1,878,150.97 | 1,639,117.83 | 239,033.14 |



Attachment II--Summary of Current Unrestricted Reserves by College/School/Division

UAP 7000 - Main and Branch Campuses - Current Unrestricted Reserves, FYE 2016

| College/School/Division | | | | | | | | | FY 2016 | FY 2015 | Net Change |
|---|-------------------------|-----------------------------|----------------------|----------------------|---------------------|----------------------|---------------------|-----------------------|----------------------------|----------------------------|-----------------------|
| | Instruction and General | Student Social and Cultural | Research | Public Service | Internal Services | Student Aid | Auxiliaries | Athletics | Total Current Unrestricted | Total Current Unrestricted | |
| University Libraries | 862,422.02 | 0.00 | 3,858.29 | 393,313.27 | 0.00 | 271,680.37 | 0.00 | 0.00 | 1,531,273.95 | 1,390,761.23 | 140,512.72 |
| Continuing Education | (1,221,804.01) | 0.00 | 367,984.76 | 2,247.10 | 0.00 | 102,305.28 | (12,714.81) | 0.00 | (761,981.68) | (941,256.30) | 179,274.62 |
| Extended University | 2,736,556.15 | 0.00 | 10,090.69 | 358.42 | 35,721.67 | 0.00 | 0.00 | 0.00 | 2,782,726.93 | 2,782,355.94 | 370.99 |
| VP Research & Economic Development | 57,147.28 | 16,287.75 | 5,312,663.53 | 1,397,659.13 | 313,153.62 | 192,252.01 | 0.00 | 0.00 | 7,289,163.32 | 8,071,007.38 | (781,844.06) |
| Provost Monitoring | 72,920.81 | 0.00 | 0.00 | (391,671.46) | 125,704.76 | 0.00 | 75,708.51 | 0.00 | (117,337.38) | (78,544.74) | (38,792.64) |
| Honors College | (5,142.84) | 0.00 | 51,131.64 | 45,482.80 | 0.00 | 101,181.50 | 0.00 | 0.00 | 192,653.10 | 118,340.65 | 74,312.45 |
| UNM West | (552,589.72) | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | (552,589.72) | (246,870.72) | (305,719.00) |
| VP Student Affairs Administration | 123,644.78 | 78,116.40 | 236,999.83 | 79,929.03 | 0.00 | 4,489.03 | 0.00 | 0.00 | 523,179.07 | 381,604.85 | 141,574.22 |
| VP Student Affairs Independent Depts. | 365,701.91 | 50,864.33 | 0.00 | 105,292.46 | 0.00 | 118,055.12 | 10,664.30 | 0.00 | 650,578.12 | 908,736.71 | (258,158.59) |
| Associate VP Student Services | 103,042.41 | 132.02 | 0.33 | 129,297.65 | 0.00 | 42,428.04 | 0.00 | 0.00 | 274,900.45 | 263,849.40 | 11,051.05 |
| Associate VP Student Life | 61,633.21 | 1,156,842.42 | 0.00 | 120,006.14 | 0.00 | 147,031.34 | 191,320.14 | 0.00 | 1,676,833.25 | 1,480,665.30 | 196,167.95 |
| EVP of Administration Office | 280,701.84 | 0.00 | 0.00 | 147,105.15 | 0.00 | 72,779.66 | 0.00 | 0.00 | 500,586.65 | 185,689.24 | 314,897.41 |
| Board of Regents | 54,783.68 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 54,783.68 | 33,142.55 | 21,641.13 |
| Undesignated I&G & Other Monitoring Accts | 13,065,580.48 | 0.00 | 0.00 | 0.00 | 2,944,762.72 | 0.00 | 0.00 | 0.00 | 16,010,343.20 | 13,315,884.98 | 2,694,458.22 |
| Police Department | 8,327.77 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 8,327.77 | 89,461.09 | (81,133.32) |
| Risk Management | 706,203.70 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 706,203.70 | 1,241,654.53 | (535,450.83) |
| Budget Office | 2,373.11 | 0.00 | 0.00 | 0.00 | 0.00 | 2,436.00 | 0.00 | 0.00 | 4,809.11 | 4,683.98 | 125.13 |
| UNM Policy Office | 58,761.26 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 58,761.26 | 51,413.02 | 7,348.24 |
| Intercollegiate Athletics | (3,268.65) | 0.00 | 0.00 | 1,937,537.39 | 0.00 | 320,556.95 | 16,543.90 | (4,342,423.21) | (2,071,053.62) | (1,188,185.28) | (882,868.34) |
| Financial Services | 230,183.02 | 0.00 | 243,790.43 | 569,942.48 | 723,548.88 | 0.00 | 0.00 | 0.00 | 1,767,464.81 | 1,229,622.20 | 537,842.61 |
| Associate VP for Facilities Mgmt | 0.85 | 0.00 | 0.00 | 6,345.60 | 0.00 | 0.00 | 0.00 | 0.00 | 6,346.45 | 0.85 | 6,345.60 |
| Human Resources | 286,137.98 | 0.00 | 0.00 | 35,726.54 | 175,687.40 | 0.00 | 0.00 | 0.00 | 497,551.92 | 328,997.27 | 168,554.65 |
| VP Institutional Support Services | 788,563.41 | 22,036.39 | 14,501.34 | 1,808,277.11 | 882,885.99 | 218,151.26 | 3,511,322.03 | 0.00 | 7,245,737.53 | 6,455,272.34 | 790,465.19 |
| Government & Community Relations | 2,018.83 | 0.00 | 0.00 | 43,801.60 | 0.00 | 0.00 | 0.00 | 0.00 | 45,820.43 | 25,962.78 | 19,857.65 |
| Information Technologies | 10,819.66 | (101,586.38) | 0.00 | 32,855.24 | 1,052,605.64 | 5,877.15 | 0.00 | 0.00 | 1,000,571.31 | 1,666,299.70 | (665,728.39) |
| Advancement | 106,214.70 | 0.00 | 0.00 | 1,485,179.68 | 0.00 | 0.00 | 0.00 | 0.00 | 1,591,394.38 | 1,802,649.45 | (211,255.07) |
| HSC Administration | 324.64 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 324.64 | 0.00 | 324.64 |
| School of Medicine | 0.00 | 0.00 | 12.71 | 0.00 | 216,375.19 | 7,518.08 | 0.00 | 0.00 | 223,905.98 | 233,702.51 | (9,796.53) |
| College of Nursing | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 32,967.97 | 0.00 | 0.00 | 32,967.97 | 26,608.85 | 6,359.12 |
| College of Pharmacy | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 355.26 | 0.00 | 0.00 | 355.26 | 961.37 | (606.11) |
| Branches | 0.00 | 0.00 | 2,233.45 | 0.00 | 0.00 | 15,518.06 | 0.00 | 0.00 | 17,751.51 | 17,212.02 | 539.49 |
| Subtotal For Main Campus Funds | 32,028,572.22 | 1,518,045.87 | 20,524,529.55 | 12,120,131.72 | 6,802,595.52 | 15,813,905.24 | 3,771,531.55 | (4,342,423.21) | 88,236,888.46 | 93,252,586.46 | (5,015,698.00) |
| Less Main Foundation Distribution | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Total For Main Campus Funds | 32,028,572.22 | 1,518,045.87 | 20,524,529.55 | 12,120,131.72 | 6,802,595.52 | 15,813,905.24 | 3,771,531.55 | (4,342,423.21) | 88,236,888.46 | 93,252,586.46 | (5,015,698.00) |
| Gallup Branch | 6,150,894.61 | 165,056.26 | 0.00 | 231,521.73 | 9,871.53 | 162,356.65 | (365,365.75) | 0.00 | 6,354,335.03 | 7,473,102.63 | (1,118,767.60) |
| Los Alamos Branch | 419,466.41 | 18,443.11 | 0.00 | 89,607.10 | 0.00 | 129,935.13 | 745,287.42 | 0.00 | 1,402,739.17 | 618,908.18 | 783,830.99 |
| Taos Branch | 3,874,823.83 | 193,335.65 | 0.00 | 331,519.36 | 0.00 | 100,834.21 | 50,978.13 | 0.00 | 4,551,491.18 | 4,507,763.58 | 43,727.60 |
| Valencia County Branch | 3,160,765.35 | 30,982.01 | 0.00 | 234,108.61 | 20,621.65 | 82,184.07 | 362,350.87 | 0.00 | 3,891,012.56 | 2,975,765.80 | 915,246.76 |
| Total Branch Funds | 13,605,950.20 | 407,817.03 | 0.00 | 886,756.80 | 30,493.18 | 475,310.06 | 793,250.67 | 0.00 | 16,199,577.94 | 15,575,540.19 | 624,037.75 |
| Grand Total Main and Branch Funds | 45,634,522.42 | 1,925,862.90 | 20,524,529.55 | 13,006,888.52 | 6,833,088.70 | 16,289,215.30 | 4,564,782.22 | (4,342,423.21) | 104,436,466.40 | 108,828,126.65 | (4,391,660.25) |

**Attachment III--Summary of Main Campus I&G Reserves
By VP Unit
June 30, 2016**

| VP Unit | Reserve June 30, 2015 | Reserve June 30, 2016 | Annual Savings | Explanations |
|--|--------------------------|--------------------------|--------------------|--|
| President | 901,665 | 558,940 | (342,725) | This reduction in reserves is driven primarily by University Communication and Marketing, which spent \$328K in balances during FY16 to support the UNM Branding campaign. |
| Academic Affairs | 15,621,756 | 15,217,884 | (403,872) | To make up for the I&G midyear recession, Colleges and Departments had to use their reserves in order to fulfill commitments. |
| Student Affairs | 705,431 | 654,022 | (51,409) | To make up for the I&G mid-year recession, Student Affairs had to use their reserves in order to fulfill commitments. |
| Administration | 2,926,162 | 2,425,606 | (500,555) | *See Below |
| Institutional Advancement/Other | 24,615 | 106,539 | 81,924 | Immaterial |
| Total Designated | 20,179,629 | 18,962,992 | (1,216,637) | |
| Total Undesignated and Other Monitoring Accounts* | 11,830,769 | 13,065,580 | 1,234,811 | See Attachment IV for more details. |
| Grand Total | 32,010,398 | 32,028,572 | 18,174 | |

* FY16 Reserve includes \$502,628 Mandatory Student Fees Reserve

| *Administration Department/Division | Reserve June 30, 2015 | Reserve June 30, 2016 | Change |
|--|--------------------------|--------------------------|------------------|
| EVP of Administration Office | 35,003 | 280,702 | 245,699 |
| Board of Regents | 33,143 | 54,784 | 21,641 |
| Police Department | 89,461 | 8,328 | (81,133) |
| Risk Management | 1,241,655 | 706,204 | (535,451) |
| Budget Office | 2,248 | 2,373 | 125 |
| UNM Policy Office | 51,413 | 58,761 | 7,348 |
| Intercollegiate Athletics | (31,463) | (3,269) | 28,194 |
| Financial Services | 162,395 | 230,183 | 67,788 |
| Human Resources | 127,663 | 286,138 | 158,475 |
| VP Institutional Support Services | 1,126,981 | 788,563 | (338,418) |
| Government & Community Relations | 12,856 | 2,019 | (10,838) |
| Information Technologies | 74,806 | 10,820 | (63,986) |
| Totals | 2,926,162 | 2,425,606 | (500,555) |

Attachment IV--Summary of Main Campus I&G Undesignated Reserve
Summary
June 30, 2016
Index 054006

| | |
|--|-----------------------|
| FY16 Banner Beginning Balance 7/1/15 | 10,118,112 |
| FY16 Pooled I&G Sweep | 1,011,632 |
| Adjusted Beginning Balance | 11,129,744 |
| FY16 Pooled I&G Revenues and Expenses | 1,766,622 |
| FY16 Contingency Allocations | (333,414) |
| Subtotal | 1,433,208 |
| FY16 Banner Ending Balance* | 12,562,952 |
| Dedicated - HED Required Reserve for I&G at 3% | (10,034,997) |
| Less Estimated FY 2017 One-Time Supplement Pay (Salary & Fringe Benefits) | (1,800,000) |
| Net FY14 Discretionary Reserve (after HED dedication & One-Time Supplement) | 727,955 |

* FY16 Banner Ending Balance does not include \$502,628 Mandatory Student Fees Reserve

**Attachment V--Main and Branch Campus Summary of Current Unrestricted Deficits \$100,000 or More
By College/Division
June 30, 2016**

| College/Division | Reserve June 30, 2015 | Reserve June 30, 2016 | Change FY 2015-2016 | Comments |
|--------------------------|--------------------------|--------------------------|------------------------|--|
| UNM Press | (5,836,225) | (6,329,601) | (493,377) | The UNM Press's deficit for FY16 grew by (\$493K) less than the previous year of (\$729K) because of continued depressed sales. UNM Press is in the process of a full fiscal evaluation and development of a deficit reduction plan. We are requesting an exemption for this year while the plan is being developed, however should have a plan in place by FY18 with implementation beginning in the same year. |
| Continuing Education | (941,256) | (761,982) | 179,275 | The net charge is positive; and is a result of exceeding our approved deficit reduction plan. Some highlights include: Improved relationships with state contracting agencies, ensuring state contracts are covering actual costs, new public program leadership pursuing a host of business planning, organizing, and control strategies aligned with LERN standards, revitalized course offerings, and program staff completing LERN Certified Program Plan Institute. |
| Athletics-HED Exhibit 21 | (2,758,168) | (4,342,423) | (1,584,256) | Ticket sales for Football, Men's & Women's Basketball fell short of budgeted goals by a combined \$900,000. As a consequence fundraising was \$200,000 less than budgeted. In addition, to remain competitive, we provided student-athletes with cost-of-attendance which impacted our budget by \$200,000. Finally, travel costs continue to increase due to the Mountain West Conference expansion therefore this impacts teams operating expense budgets. |

Attachment VI--Summary of I&G Reserves as a Percentage of Budget by College/School/Division
UAP 7000--Main and Branch Campuses, FYE 2016

| College/School/Division | Instruction and General Budget | Instruction and General Reserve | Reserve as a % of Budget |
|---|--------------------------------|---------------------------------|--------------------------|
| President's Office | 1,575,942.00 | 147,639.14 | 9.37% |
| University Counsel Office | 1,098,986.00 | 178,271.97 | 16.22% |
| Office of Equal Opportunity | 651,264.00 | 1,723.83 | 0.26% |
| University Communication & Marketing | 2,049,972.00 | (6,787.34) | -0.33% |
| Compliance Office | 278,256.00 | 22,947.27 | 8.25% |
| Alumni Relations | 708,202.00 | 125,143.46 | 17.67% |
| Dispute Resolution | 193,734.00 | 15,988.96 | 8.25% |
| Internal Audit Department | 812,250.00 | 74,012.35 | 9.11% |
| President's Administration | 7,368,606.00 | 558,939.64 | 7.59% |
| Global Education Office | 2,376,468.00 | 472,742.35 | 19.89% |
| Harwood Foundation | 367,769.00 | 105,363.99 | 28.65% |
| Optical Science and Engineering | 49,247.00 | 5,542.61 | 11.25% |
| Office of Support for Effective Teaching | 12,494.00 | 9.74 | 0.08% |
| Nano Science & Micro Systems | 96,538.00 | 76,591.56 | 79.34% |
| Parent Association | 73,600.00 | (452.15) | -0.61% |
| Undergraduate Education Support | 0.00 | 690.00 | N/A |
| Institutional Research | 811,938.00 | 9,487.86 | 1.17% |
| Graduate Studies | 3,442,349.00 | 1,496,598.11 | 43.48% |
| CAPS | 1,580,358.00 | 75,957.80 | 4.81% |
| Latin American Iberian Institute | 1,170,247.00 | 50,817.66 | 4.34% |
| Provost Office Staff | 4,639,286.00 | 2,029,582.25 | 43.75% |
| Office of the University Secretary | 605,305.00 | (10,149.08) | -1.68% |
| University College | 2,369,646.00 | 85,930.78 | 3.63% |
| School of Public Administration | 1,371,623.00 | 35,669.67 | 2.60% |
| VP for Equity & Inclusion | 792,572.00 | 88,309.13 | 11.14% |
| VP Division of Enrollment Mgmt | 6,180,916.00 | 13,999.40 | 0.23% |
| College of Fine Arts | 14,404,433.00 | 785,431.58 | 5.45% |
| College of Arts Sciences | 69,524,390.00 | 2,469,505.60 | 3.55% |
| Anderson Schools of Management | 13,976,485.00 | 614,851.51 | 4.40% |
| College of Education | 14,654,060.00 | 2,267,193.87 | 15.47% |
| School of Engineering | 17,155,709.00 | 1,768,338.58 | 10.31% |
| School of Law | 9,703,480.00 | 585,161.35 | 6.03% |
| School of Architecture Planning | 4,130,956.00 | 241,200.13 | 5.84% |
| University Libraries | 15,782,463.00 | 862,422.02 | 5.46% |
| Continuing Education | 5,617,818.00 | (1,221,804.01) | -21.75% |
| Extended University | 9,196,145.00 | 2,736,556.15 | 29.76% |
| VP Research & Economic Development | 499,832.00 | 57,147.28 | 11.43% |
| Provost Monitoring | 126,591.00 | 72,920.81 | 57.60% |
| Honors College | 1,659,384.00 | (5,142.84) | -0.31% |
| UNM West | 746,544.00 | (552,589.72) | -74.02% |
| Provost Units | 203,118,646.00 | 15,217,883.99 | 7.49% |
| VP Student Affairs Administration | 1,489,049.00 | 123,644.78 | 8.30% |
| VP Student Affairs Independent Depts. | 1,487,046.00 | 365,701.91 | 24.59% |
| Associate VP Student Services | 2,608,005.00 | 103,042.41 | 3.95% |
| Associate VP Student Life | 1,558,503.00 | 61,633.21 | 3.95% |
| Student Affairs | 7,142,603.00 | 654,022.31 | 9.16% |
| Undesignated I&G Balance and Other Monitoring Accounts | 47,915,587.00 | 13,065,580.48 | 27.27% |

Attachment VI--Summary of I&G Reserves as a Percentage of Budget by College/School/Division
UAP 7000--Main and Branch Campuses, FYE 2016

| College/School/Division | Instruction and General Budget | Instruction and General Reserve | Reserve as a % of Budget |
|--|--------------------------------|---------------------------------|--------------------------|
| EVP of Administration Office | 822,594.00 | 280,701.84 | 34.12% |
| Board of Regents | 156,000.00 | 54,783.68 | 35.12% |
| Police Department | 3,189,740.00 | 8,327.77 | 0.26% |
| Risk Management | 2,720,180.00 | 706,203.70 | 25.96% |
| Budget Office | 824,349.00 | 2,373.11 | 0.29% |
| UNM Policy Office | 172,552.00 | 58,761.26 | 34.05% |
| Intercollegiate Athletics | 655,814.00 | (3,268.65) | -0.50% |
| Financial Services | 9,994,078.00 | 230,183.02 | 2.30% |
| Associate VP for Facilities Mgmt | 0.00 | 0.85 | N/A |
| Human Resources | 2,106,562.00 | 286,137.98 | 13.58% |
| VP Institutional Support Services | 33,586,070.00 | 788,563.41 | 2.35% |
| Government & Community Relations | 298,767.00 | 2,018.83 | 0.68% |
| Information Technologies | 10,908,009.00 | 10,819.66 | 0.10% |
| EVP Administration | 65,434,715.00 | 2,425,606.46 | 3.71% |
| Advancement | 683,535.00 | 106,214.70 | 15.54% |
| Other HSC and Branches | 129,500.00 | 324.64 | 0.25% |
| Total For Main Campus Funds | 331,793,192.00 | 32,028,572.22 | 9.65% |
| Less Main Foundation Distribution | 0.00 | 0.00 | N/A |
| Total For Main Campus Funds | 331,793,192.00 | 32,028,572.22 | 9.65% |
| Gallup Branch | 16,645,265.00 | 6,150,894.61 | 36.95% |
| Los Alamos Branch | 3,699,879.00 | 419,466.41 | 11.34% |
| Taos Branch | 7,541,519.00 | 3,874,823.83 | 51.38% |
| Valencia County Branch | 9,816,116.00 | 3,160,765.35 | 32.20% |
| Total Branch Funds | 37,702,779.00 | 13,605,950.20 | 36.09% |
| Grand Total Main and Branch Funds | 369,495,971.00 | 45,634,522.42 | 12.35% |

Attachment VII--Summary of Current Unrestricted Reserves as a Percentage of Budget by College/School/Division

| UAP 7000 - Main and Branch Campuses, FYE 2016 | | | |
|--|-----------------------------|------------------------------|--------------------------|
| College/School/Division | Current Unrestricted Budget | Current Unrestricted Reserve | Reserve as a % of Budget |
| President's Office | 1,618,326.00 | 181,501.73 | 11.22% |
| University Counsel Office | 1,348,986.00 | 160,226.49 | 11.88% |
| Office of Equal Opportunity | 651,264.00 | 1,723.83 | 0.26% |
| University Communication & Marketing | 2,131,605.00 | 2,648.15 | 0.12% |
| Compliance Office | 278,256.00 | 22,947.27 | 8.25% |
| Alumni Relations | 872,024.00 | 312,945.56 | 35.89% |
| Dispute Resolution | 193,734.00 | 15,988.96 | 8.25% |
| Internal Audit Department | 812,250.00 | 74,012.35 | 9.11% |
| President's Administration | 7,906,445.00 | 771,994.34 | 9.76% |
| Global Education Office | 3,510,752.00 | 609,001.42 | 17.35% |
| Harwood Foundation | 1,446,809.00 | 478,105.90 | 33.05% |
| Optical Science and Engineering | 49,247.00 | 5,542.61 | 11.25% |
| Office of Support for Effective Teaching | 12,494.00 | 28,789.57 | 230.43% |
| Nano Science & Micro Systems | 96,538.00 | 76,591.56 | 79.34% |
| Parent Association | 95,100.00 | 55,541.50 | 58.40% |
| Undergraduate Education Support | 0.00 | 690.00 | N/A |
| Institutional Research | 811,938.00 | 9,487.86 | 1.17% |
| KUNM | 1,663,764.00 | 159,861.84 | 9.61% |
| University Press | 3,780,648.00 | (6,329,601.44) | -167.42% |
| Graduate Studies | 4,382,580.00 | 1,724,099.51 | 39.34% |
| CAPS | 1,580,358.00 | 85,870.30 | 5.43% |
| Latin American Iberian Institute | 1,518,007.00 | 290,288.99 | 19.12% |
| Provost Office Staff | 5,052,292.00 | 2,310,979.72 | 45.74% |
| CARS | 531,361.00 | 35,019.87 | 6.59% |
| Office of the University Secretary | 679,235.00 | 46,980.75 | 6.92% |
| University College | 2,497,175.00 | 169,065.89 | 6.77% |
| School of Public Administration | 1,552,222.00 | 194,095.66 | 12.50% |
| VP for Equity & Inclusion | 1,163,638.00 | 167,116.30 | 14.36% |
| VP Division of Enrollment Mgmt | 61,679,148.00 | 2,837,906.32 | 4.60% |
| College of Fine Arts | 17,836,136.00 | 3,130,534.34 | 17.55% |
| College of Arts Sciences | 84,148,767.00 | 16,455,704.33 | 19.56% |
| Anderson Schools of Management | 16,443,036.00 | 2,608,607.07 | 15.86% |
| College of Education COE | 17,446,483.00 | 4,475,260.32 | 25.65% |
| School of Engineering | 24,543,913.00 | 10,350,498.37 | 42.17% |
| School of Law | 14,589,498.00 | 4,418,351.24 | 30.28% |
| School of Architecture Planning | 4,890,880.00 | 1,878,150.97 | 38.40% |
| University Libraries | 16,846,330.00 | 1,531,273.95 | 9.09% |
| Continuing Education | 6,296,014.00 | (761,981.68) | -12.10% |
| Extended University | 9,709,551.00 | 2,782,726.93 | 28.66% |
| VP Research & Economic Development | 17,706,795.00 | 7,289,163.32 | 41.17% |
| Provost Monitoring | 80,313.00 | (117,337.38) | -146.10% |
| Honors College | 1,891,826.00 | 192,653.10 | 10.18% |
| UNM West | 746,544.00 | (552,589.72) | -74.02% |
| Provost Units | 325,279,392.00 | 56,636,449.29 | 17.41% |
| VP Student Affairs Administration | 2,047,966.00 | 523,179.07 | 25.55% |
| VP Student Affairs Independent Depts. | 10,794,968.00 | 650,578.12 | 6.03% |
| Associate VP Student Services | 5,512,756.00 | 274,900.45 | 4.99% |
| Associate VP Student Life | 9,511,649.00 | 1,676,833.25 | 17.63% |
| Student Affairs | 27,867,339.00 | 3,125,490.89 | 11.22% |
| Undesignated I&G Balance and Other Monitoring Accounts | 57,861,310.00 | 16,010,343.20 | 27.67% |

Attachment VII--Summary of Current Unrestricted Reserves as a Percentage of Budget by College/School/Division

| UAP 7000 - Main and Branch Campuses, FYE 2016 | | | |
|--|------------------------------------|-------------------------------------|---------------------------------|
| College/School/Division | Current Unrestricted Budget | Current Unrestricted Reserve | Reserve as a % of Budget |
| EVP of Administration Office | 1,248,688.00 | 500,586.65 | 40.09% |
| Board of Regents | 156,000.00 | 54,783.68 | 35.12% |
| Police Department | 3,189,740.00 | 8,327.77 | 0.26% |
| Risk Management | 6,068,231.00 | 706,203.70 | 11.64% |
| Budget Office | 825,349.00 | 4,809.11 | 0.58% |
| UNM Policy Office | 172,552.00 | 58,761.26 | 34.05% |
| Intercollegiate Athletics | 35,972,688.00 | (2,071,053.62) | -5.76% |
| Financial Services | 16,809,023.00 | 1,767,464.81 | 10.51% |
| Associate VP for Facilities Mgmt | 0.00 | 6,346.45 | N/A |
| Human Resources | 6,011,294.00 | 497,551.92 | 8.28% |
| VP Institutional Support Services | 117,121,601.00 | 7,245,737.53 | 6.19% |
| Government & Community Relations | 830,081.00 | 45,820.43 | 5.52% |
| Information Technologies | 36,707,867.00 | 1,000,571.31 | 2.73% |
| EVP Administration | 225,113,114.00 | 9,825,911.00 | 4.36% |
| Advancement | 746,728.00 | 1,591,394.38 | 213.12% |
| HSC Administration | 129,500.00 | 324.64 | 0.25% |
| School of Medicine | 1,087,929.00 | 223,905.98 | 20.58% |
| College of Nursing | 35,101.00 | 32,967.97 | 93.92% |
| College of Pharmacy | 12,161.00 | 355.26 | 2.92% |
| Branches | 26,813.00 | 17,751.51 | 66.20% |
| Other HSC and Branches | 1,291,504.00 | 275,305.36 | 21.32% |
| Subtotal For Main Campus Funds | 646,065,832.00 | 88,236,888.46 | 13.66% |
| Less Main Foundation Distribution | 0.00 | 0.00 | N/A |
| Total For Main Campus Funds | 646,065,832.00 | 88,236,888.46 | 13.66% |
| Gallup Branch | 18,944,674.00 | 6,354,335.03 | 33.54% |
| Los Alamos Branch | 4,623,890.00 | 1,402,739.17 | 30.34% |
| Taos Branch | 8,102,664.00 | 4,551,491.18 | 56.17% |
| Valencia County Branch | 11,423,454.00 | 3,891,012.56 | 34.06% |
| Total Branch Funds | 43,094,682.00 | 16,199,577.94 | 37.59% |
| Grand Total Main and Branch Funds | 689,160,514.00 | 104,436,466.40 | 15.15% |

Attachment VIII--Summary of Categorization of I&G and Non I&G Reserves by College/School/Division
UAP 7000--Main and Branch Campuses, FYE 2016

| College/School/Division | Instruction and General | | | | Non-Instruction and General | | | |
|--|-------------------------|--------------|----------------|---------------|-----------------------------|--------------|----------------|----------------|
| | Reserve | Committed | Dedicated | Discretionary | Reserve | Committed | Dedicated | Discretionary |
| President's Office | 147,639.14 | 138,269.00 | 500.00 | 8,870.14 | 33,862.59 | 0.00 | 33,861.81 | 0.78 |
| University Counsel Office | 178,271.97 | 74,186.14 | 95,000.00 | 9,085.83 | (18,045.48) | 0.00 | (18,045.48) | 0.00 |
| Office of Equal Opportunity | 1,723.83 | 1,723.83 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| University Communication & Marketing | (6,787.34) | 0.00 | (6,787.34) | 0.00 | 9,435.49 | 0.00 | 9,429.00 | 6.49 |
| Compliance Office | 22,947.27 | 0.00 | 0.00 | 22,947.27 | 0.00 | 0.00 | 0.00 | 0.00 |
| Alumni Relations | 125,143.46 | 100,366.25 | 0.00 | 24,777.21 | 187,802.10 | 116,978.98 | 67,642.23 | 3,180.89 |
| Dispute Resolution | 15,988.96 | 0.00 | 10,950.00 | 5,038.96 | 0.00 | 0.00 | 0.00 | 0.00 |
| Internal Audit Department | 74,012.35 | 51,081.33 | 19,700.00 | 3,231.02 | 0.00 | 0.00 | 0.00 | 0.00 |
| International Programs Studies | 472,742.35 | 222,853.58 | 249,482.82 | 405.95 | 136,259.07 | 0.00 | 136,259.07 | 0.00 |
| Harwood Foundation | 105,363.99 | 39,927.87 | 65,436.12 | 0.00 | 372,741.91 | 54,680.44 | 318,061.38 | 0.09 |
| Optical Science and Engineering | 5,542.61 | 0.00 | 5,542.61 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Office of Support for Effective Teaching | 9.74 | 0.00 | 0.00 | 9.74 | 28,779.83 | 0.00 | 0.00 | 28,779.83 |
| Nano Science & Micro Systems | 76,591.56 | 0.00 | 28,500.00 | 48,091.56 | 0.00 | 0.00 | 0.00 | 0.00 |
| Parent Association | (452.15) | 0.00 | (452.15) | 0.00 | 55,993.65 | 0.00 | 10,000.00 | 45,993.65 |
| Undergraduate Education Support | 690.00 | 0.00 | 0.00 | 690.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Institutional Research | 9,487.86 | 4,629.26 | 4,828.60 | 30.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| KUNM | 0.00 | 0.00 | 0.00 | 0.00 | 159,861.84 | 0.00 | 0.00 | 159,861.84 |
| University Press | 0.00 | 0.00 | 0.00 | 0.00 | (6,329,601.44) | 0.00 | 33,432.50 | (6,363,033.94) |
| Graduate Studies | 1,496,598.11 | 83,048.92 | 1,409,852.47 | 3,696.72 | 227,501.40 | 101,612.00 | 125,748.89 | 140.51 |
| CAPS | 75,957.80 | 0.00 | 65,958.00 | 9,999.80 | 9,912.50 | 0.00 | 7,000.00 | 2,912.50 |
| Latin American Iberian Institute | 50,817.66 | 13,779.44 | 6,742.29 | 30,295.93 | 239,471.33 | 15,672.83 | 41,770.00 | 182,028.50 |
| Provost Office Staff | 2,029,582.25 | 920,206.84 | 753,046.16 | 356,329.25 | 281,397.47 | 1,592.96 | 123,009.39 | 156,795.12 |
| CARS | 0.00 | 0.00 | 0.00 | 0.00 | 35,019.87 | 0.00 | 31,700.00 | 3,319.87 |
| Office of the University Secretary | (10,149.08) | 0.00 | (10,149.08) | 0.00 | 57,129.83 | 57,129.83 | 0.00 | 0.00 |
| University College | 85,930.78 | 10.00 | 72,874.97 | 13,045.81 | 83,135.11 | 0.00 | 27,211.87 | 55,923.24 |
| School of Public Administration | 35,669.67 | 15,721.56 | 17,695.23 | 2,252.88 | 158,425.99 | 29,333.33 | 78,949.90 | 50,142.76 |
| VP for Equity & Inclusion | 88,309.13 | 0.00 | 47,840.70 | 40,468.43 | 78,807.17 | 0.00 | 47,472.79 | 31,334.38 |
| VP Division of Enrollment Mgmt | 13,999.40 | 0.00 | 13,999.40 | 0.00 | 2,823,906.92 | 6,560,961.19 | (3,824,614.40) | 87,560.13 |
| College of Fine Arts | 785,431.58 | 94,947.04 | 216,371.54 | 474,113.00 | 2,345,102.76 | 222,892.48 | 1,351,996.65 | 770,213.63 |
| College of Arts Sciences | 2,469,505.60 | 2,174,830.26 | (471,840.58) | 766,515.92 | 13,986,198.73 | 5,339,740.83 | 4,600,055.68 | 4,046,402.22 |
| Anderson Schools of Management | 614,851.51 | 225,000.57 | 389,850.94 | 0.00 | 1,993,755.56 | 602,199.02 | 1,391,556.54 | 0.00 |
| College of Education COE | 2,267,193.87 | 405,865.73 | 776,068.28 | 1,085,259.86 | 2,208,066.45 | 318,310.80 | 1,685,983.65 | 203,772.00 |
| School of Engineering | 1,768,338.58 | 151,438.25 | 766,770.78 | 850,129.55 | 8,582,159.79 | 2,441,686.77 | 1,254,504.31 | 4,885,968.71 |
| School of Law | 585,161.35 | 45,454.15 | 449,831.91 | 89,875.29 | 3,833,189.89 | 815,625.77 | 2,917,796.11 | 99,768.01 |
| School of Architecture Planning | 241,200.13 | 5,482.98 | 0.00 | 235,717.15 | 1,636,950.84 | 763.59 | 482,174.00 | 1,154,013.25 |
| University Libraries | 862,422.02 | 149,787.14 | 703,970.11 | 8,664.77 | 668,851.93 | 95.75 | 661,581.11 | 7,175.07 |
| Continuing Education | (1,221,804.01) | 69,608.46 | (1,346,504.06) | 55,091.59 | 459,822.33 | 56,247.59 | 403,574.74 | 0.00 |

Attachment VIII--Summary of Categorization of I&G and Non I&G Reserves by College/School/Division
UAP 7000--Main and Branch Campuses, FYE 2016

| College/School/Division | Instruction and General | | | | Non-Instruction and General | | | |
|--|-------------------------|---------------------|----------------------|----------------------|-----------------------------|----------------------|----------------------|----------------------|
| | Reserve | Committed | Dedicated | Discretionary | Reserve | Committed | Dedicated | Discretionary |
| Extended University | 2,736,556.15 | 110,163.84 | 2,626,392.31 | 0.00 | 46,170.78 | 76.54 | 46,094.24 | 0.00 |
| VP Research & Economic Development | 57,147.28 | 0.00 | 47,524.22 | 9,623.06 | 7,232,016.04 | 1,245,232.14 | 4,760,959.82 | 1,225,824.08 |
| Provost Monitoring | 72,920.81 | 0.00 | 0.00 | 72,920.81 | (190,258.19) | 0.00 | (415,994.09) | 225,735.90 |
| Honors College | (5,142.84) | 5,619.39 | (22,549.83) | 11,787.60 | 197,795.94 | 0.00 | 10,700.85 | 187,095.09 |
| UNM West | (552,589.72) | 2,720.32 | (576,400.96) | 21,090.92 | 0.00 | 0.00 | 0.00 | 0.00 |
| VP Student Affairs Administration | 123,644.78 | 296.54 | 100,377.18 | 22,971.06 | 399,534.29 | 24,406.00 | 262,976.67 | 112,151.62 |
| VP Student Affairs Independent Depts. | 365,701.91 | 23,102.38 | 323,606.53 | 18,993.00 | 284,876.21 | 60,861.50 | 181,050.90 | 42,963.81 |
| Associate VP Student Services | 103,042.41 | 23,498.96 | 76,721.33 | 2,822.12 | 171,858.04 | 66,440.27 | 101,831.70 | 3,586.07 |
| Associate VP Student Life | 61,633.21 | 1,425.23 | 6,727.50 | 53,480.48 | 1,615,200.04 | 74,471.22 | 723,129.62 | 817,599.20 |
| EVP of Administration Office | 280,701.84 | 18,000.00 | 254,938.00 | 7,763.84 | 219,884.81 | 0.00 | 216,250.27 | 3,634.54 |
| Board of Regents | 54,783.68 | 2,719.06 | 52,064.62 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Monitoring Accounts | 13,065,580.48 | 477,774.00 | 11,834,997.00 | 752,809.48 | 2,944,762.72 | 0.00 | 2,944,762.72 | 0.00 |
| Police Department | 8,327.77 | 3,706.71 | 0.00 | 4,621.06 | 0.00 | 0.00 | 0.00 | 0.00 |
| Risk Management | 706,203.70 | 0.00 | 706,203.70 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Budget Office | 2,373.11 | 0.00 | 2,373.11 | 0.00 | 2,436.00 | 0.00 | 2,436.00 | 0.00 |
| UNM Policy Office | 58,761.26 | 0.00 | 44,000.00 | 14,761.26 | 0.00 | 0.00 | 0.00 | 0.00 |
| Intercollegiate Athletics | (3,268.65) | 0.00 | (3,268.65) | 0.00 | (2,067,784.97) | 757,802.44 | (4,342,423.21) | 1,516,835.80 |
| Financial Services | 230,183.02 | 173,132.54 | 3,302.20 | 53,748.28 | 1,537,281.79 | 255,860.32 | 707,509.15 | 573,912.32 |
| Associate VP for Facilities Mgmt | 0.85 | 0.00 | 0.00 | 0.85 | 6,345.60 | 0.00 | 0.00 | 6,345.60 |
| Human Resources | 286,137.98 | 233,442.71 | 52,695.27 | 0.00 | 211,413.94 | 209,132.03 | 2,281.91 | 0.00 |
| VP Institutional Support Services | 788,563.41 | 7,744.65 | 780,818.76 | 0.00 | 6,457,174.12 | 1,926,196.64 | 4,529,683.69 | 1,293.79 |
| Government & Community Relations | 2,018.83 | 0.00 | 0.00 | 2,018.83 | 43,801.60 | 39,880.60 | 0.00 | 3,921.00 |
| Information Technologies | 10,819.66 | 0.00 | 0.00 | 10,819.66 | 989,751.65 | 62,316.80 | 821,325.41 | 106,109.44 |
| Advancement | 106,214.70 | 0.00 | 106,214.70 | 0.00 | 1,485,179.68 | 0.00 | 1,485,179.68 | 0.00 |
| HSC Administration | 324.64 | 324.64 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| School of Medicine | 0.00 | 0.00 | 0.00 | 0.00 | 223,905.98 | 3,078.16 | 220,827.82 | 0.00 |
| College of Nursing | 0.00 | 0.00 | 0.00 | 0.00 | 32,967.97 | 32,967.97 | 0.00 | 0.00 |
| College of Pharmacy | 0.00 | 0.00 | 0.00 | 0.00 | 355.26 | 355.26 | 0.00 | 0.00 |
| Branches | 0.00 | 0.00 | 0.00 | 0.00 | 17,751.51 | 9,968.46 | (1,786.07) | 9,569.12 |
| Subtotal For Main Campus Funds | 32,028,572.22 | 6,071,889.57 | 20,751,816.71 | 5,204,865.94 | 56,208,316.24 | 21,504,570.51 | 24,254,908.82 | 10,448,836.91 |
| Less Main Foundation Distribution | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 |
| Total For Main Campus Funds | 32,028,572.22 | 6,071,889.57 | 20,751,816.71 | 5,204,865.94 | 56,208,316.24 | 21,504,570.51 | 24,254,908.82 | 10,448,836.91 |
| Gallup Branch | 6,150,894.61 | 322,097.39 | 0.00 | 5,828,797.22 | 203,440.42 | 226,200.41 | (294,895.15) | 272,135.16 |
| Los Alamos Branch | 419,466.41 | 198,010.89 | 184,070.00 | 37,385.52 | 983,272.76 | 517.09 | 186,942.38 | 795,813.29 |
| Taos Branch | 3,874,823.83 | 1,117,092.39 | 1,943,108.00 | 814,623.44 | 676,667.35 | 5,049.20 | 0.00 | 671,618.15 |
| Valencia County Branch | 3,160,765.35 | 139,493.81 | 815,274.48 | 2,205,997.06 | 730,247.21 | 26,207.43 | 69,625.04 | 634,414.74 |
| Total Branch Funds | 13,605,950.20 | 1,776,694.48 | 2,942,452.48 | 8,886,803.24 | 2,593,627.74 | 257,974.13 | (38,327.73) | 2,373,981.34 |
| Grand Total Main and Branch Funds | 45,634,522.42 | 7,848,584.05 | 23,694,269.19 | 14,091,669.18 | 58,801,943.98 | 21,762,544.64 | 24,216,581.09 | 12,822,818.25 |

Organizational Alignment: Main & HSC**A. INTRODUCTION**

1. Challenging project due to:
 - a) Complexity of the organizational structure involving both academic and health organizations
 - b) Financially challenging environment
 - c) High level positions and often high performing, critical to each organization
 - d) Lack of clarity in reporting/supervisory relationships
 - e) Partial reporting relationships across numerous supervisors
 - f) Inaccurate job descriptions, sometimes due to roles and responsibilities evolving based on skills and interest of employees
2. Context: A recent change by the Board of Regents reconstituted the HSC Board of Directors and made the UNM President ultimately responsible for both Main Campus and HSC.
3. Project Objective: To determine how Strategy, Communications & Marketing, and Finance can operate as "One UNM" to a greater extent moving forward.

B. ESTABLISHING CURRENT STATE

1. Population: Strategy Positions (7), Communications & Marketing (4), and Finance (11)
2. Data Collection:
 - a) Individual interviews
 - b) Detailed role profiles
 - c) Spans and layers (Comm/Marketing and Finance)
 - d) Customized follow up questions
 - e) Ad hoc interviews with ISS, Government Relations, and UNM Hospital
 - f) Executive interviews with President, Chancellor, Provost and Executive VP

C. SUMMARY STRATEGIC PLANNER POSITIONS (7)

1. Positions – agreement from Executive Leadership
 - a) Strategic planners leveraged advanced skills to deliver significant accomplishments.
 - b) Going forward strategic planners can achieve even more with increased position clarity: titles, descriptions, org charts, and supervisory responsibility.
2. Governance – agreement from Executive Leadership
 - a) Align and coordinate with tight integration of leadership and organizational alignment
 - b) From a governance perspective use a five step process to clearly define a system wide Strategic Governance Council and Charter.
3. Structure Changes – from Aon
 - a) Strategic Planner (16) to Provost – retitle the position and standardize the functions/responsibilities of the team reporting to this position. Look for opportunities to automate, standardize and manage demand.
 - b) Chief Strategic Advisor (18) to Chancellor – better alignment by changing reporting structure of the HSC web and communication positions to HSC Exec Dir of Comm/Marketing. Recommend retitling to Strategic Planner (16) and a change in reporting to Executive Officer Finance & Administration.

- c) Chief Strategic Advisor (18) to Sr. Executive Officer Finance & Administration – streamline support of Government Relations by moving this position under Main Campus Government Relations; however, should remain focused on HSC initiatives. Recommend retitling to a Legislative Analyst (Gr 16).
 - d) Strategic Planner (16) to Law School – needs further evaluation with similar positions in other colleges. Recommend no title change at that time but further evaluation to ensure better alignment across academic units.
 - e) Establish a single strategic lead over all strategic functions across both campuses. Based on existing skill set this may be an internal promotional opportunity and not require a new position.
4. Structure Changes – from Executive Leadership
- a) Strategic Planner (16) to Provost – agree with the recommendation to retitle the position at the same grade and salary but to better reflect primary duties of this position. May require a new classification that is reserved for positions supporting an Executive VP. All current responsibilities of this position will remain within the Provost Office but may be reassigned internally.
 - b) Chief Strategic Advisor (18) to Chancellor – agree with the recommendation regarding change in reporting of web and communications to HSC Exec Dir of Comm/Marketing. Recognize a need to retitle this Strategic Planner more appropriately to an Executive Project Director (Gr 17) with no change in pay or reporting structure.
 - c) Chief Strategic Advisor (18) to Sr. Exec Officer Finance & Administration – eliminate current position and laterally transfer incumbent into Chief Budget & Facilities Officer/HSC (Gr 18) with no change in pay. Position will support UNM Government Relations, as needed with HSC initiatives.
 - d) Strategic Planner (16) to the Law School – executives agree with recommendation
 - e) Establishing a single strategic lead responsible for oversight of all existing strategic responsibilities needs further evaluation to determine how this is achieved with no additional cost or decrease in service to executive level positions.

D. SUMMARY COMMUNICATIONS & MARKETING

- 1. Governance – agreement from Executive leadership
 - a) For web scope a committee focused on brand standards, unique requirements, and IT platform using a three step approach: share information, define standards, and improve results.
 - b) Once web committee is fully established, it can expand into a broader communications and marketing scope.
 - c) Media relations should be seamlessly integrated into Communications & Marketing.
- 2. Structure Changes – from Aon
 - a) On Main campus, the Director of Media Relations should report to Chief Univ Mktg & Comm Officer.
 - b) A lead over two similar Communications & Marketing departments to ensure consistent messaging across both entities.
- 3. Structure Changes – from Executive Leadership
 - a) Main Campus, Director of Media Relations – agree with new reporting structure to Chief Univ Mktg & Comm Officer. This change, in addition to changes in reporting of the web and communications staff from HSC Chief Strategic Advisor to the HSC Exec Dir of Comm/Marketing will result in better alignment and more similar organizational structures.
 - b) Support the concept of a single lead over both Communications and Marketing functions; however, concerned with creating a new VP title. Alternative is to form a Communications Committee with co-chairs operating under the oversight of the Chief of Staff to the President but incumbents would remain reporting to either the Chancellor or President, as appropriate. *Per F&F, need to evaluate*

how this vertical structure can be created now with minimum or no increase in cost. Important to emphasize when/if created this position will serve as a direct report to the President.

- c) Additional recommendation – retitle the two highest level positions to a new title at the same grade (17). This change will result in an increase in pay for the current Chief Univ Mkt & Comm Officer and a decrease in pay for the current Ex Dir, Comm & Mktg/HSC effective July 1, 2017. This will be implemented to align with decision made in item 3.b. above.*

E. SUMMARY FINANCE POSITIONS

1. UNM has achieved significant integration in Finance across Main Campus and HSC. Ten (10) financial functions already integrated under the oversight of the Controller.
 2. Outsourcing opportunities should be evaluated knowing that transactional areas are top candidates for outsourcing. Agreement from Executive Leadership; however, this needs additional review and discussion with detailed cost analysis.
 3. Structure Changes – from Aon
 - a) Budget function:
 - o Better align by creating a single lead to oversee both budget areas and reporting to Executive VP for Administration; or
 - o Chief Budget & Facilities Officer can be separated into two functions with the budget function reporting to Chief Financial Services Officer and creating a lower level position focused on HSC Capital projects but reporting to ISS.
 - b) Retitling of Executive Project Director at Main Campus (Grade 17) to University Treasurer (Grade 17) same pay. Working titles for other main campus finance positions to better define their roles/responsibilities as they relate to “system” support.
 - c) Evaluation of Executive Officer Finance & Administration (Gr 99) for better alignment with senior level finance position, the University Controller with main campus.
 4. Structure Changes – from Executive Leadership
 - a) Budget function: Internal transfer of Chief Strategic Advisor (Grade 18) to the Senior Exec Officer/HSC to Chief Budget & Facilities Officer (Grade 18) position with no change in pay. Position will provide support to Government Relations Office for HSC initiatives.
 - b) Retitling of Executive Project Director (Grade 17) at Main Campus to University Treasurer (Grade 17) same pay. Working titles for other main campus finance positions to clarify their roles/responsibilities as they relate to “systems”.
 - c) Evaluation of Sr. Exec Officer Finance & Administration (Grade 99) salary for better alignment with senior level finance position, Controller, at main campus. In order to ensure enhanced communication and coordination, this position will have a dual reporting relationship to the Chancellor and the EVP for Administration.
 - d) Hold on filing the soon to be vacant Assoc VP, Planning, Budget and Analysis (Grade 18) to determine if responsibilities can be distributed to existing positions.
-

IMMEDIATE COST SAVINGS FROM REALIGNMENT (Approximately 14% of all salaries in reviewed)

- Eliminate Chief Strategic Advisor (Gr 18): (\$152,000)
- Eliminate Marketing and Media Director/HSC (Gr 16): (\$88,807)
- Eliminate Assoc VP, Planning, Bdgt & Analysis (Gr 18): (\$174,620)
- Reduction in pay for Sr. Exec Officer Finance and Admin (Gr 99): (\$25,136)
- Reduction in pay Ex Dir, Comm & Marketing/HSC (Gr 17): (\$5,000) (eff July 1, 2017)
- Increase in pay for Chief Univ Mktg & Comm Officer (Gr 17): \$5,000
- Internal transfer Chief Budget & Fac Officer/HSC (Gr 18): (\$7,806)
- Recurring Salary Savings: (\$448,369)
- Recurring Fringe Savings (32.5%): (\$145,719)
- Total Recurring Savings: (\$594,089)

Per F&F, needs further discussion – freeze salary increases for some HSC positions to allow time for comparable main campus salaries to align with HSC salaries (red-circle salaries). The HSC titles include in the salary freeze: Sr. Exec Officer Finance and Administration, Executive Project Director and Ex Dir, Comm/Marketing/HSC.

F. GOING FORWARD STRENGTHS

1. The Regents are supportive of a transformational agenda
2. A “One UNM” strategy leverages the best across the university
3. There are strong strategists on Main Campus and HSC
4. Marketing has strong potential to develop brand and web presence
5. UNM is making strong progress to unify Main Campus and HSC
6. The finance function has achieved strong centralization

G. SUGGESTED NEXT STEPS

1. Evaluate recommendations and options across each of the areas: Strategy, Communications & Marketing, and Finance
2. Determine the new desired organization structure based on options provided for each functional area then establish reporting lines, titles and salaries based on leveling of roles regardless if Main Campus or HSC position
3. Confirm no opportunities to further standardize or optimize within the finance function
4. Evaluate the cost/benefit for outsourcing some aspects of finance
5. Continue formal evaluation of other areas for consolidation and alignment, such as Policy Development, Safety and Risk, and Academic Officers in the colleges. *Per F&F, begin a review of positions in other colleges with similar responsibilities to the Strategic Planner (Gr 16) in the Law School. Target completion date is February 2017 based on other projects underway.*

H. DETAILED PRESENTATION FROM AON IS AVAILABLE

On President Frank’s website at <http://president.unm.edu/administrative-function-review/index.html>




Office of Planning, Budget & Analysis

MEMORANDUM

TO: Members of the Board of Regents' Finance & Facilities Committee

THRU: Robert G. Frank, President
David W. Harris, EVP for Administration, COO and CFO

FROM: Norma Allen, Associate Director, Office of Planning, Budget, and Analysis 

DATE: October 11, 2016

SUBJECT: **FY 17 Proposed Budget Reduction Plan**

Academic Affairs and Administration have been asked to provide a plan on how to address the 5% state appropriation reduction in the current fiscal year. Currently, the total reduction to Main Campus, including Research and Public Service Projects (RPSPs) and Athletics, is \$9.8 million. Below is a table detailing the reduction for Main Campus.

| Main Campus | FY 2017 Original Budget | 5% Reduction |
|--------------|----------------------------|------------------|
| I&G Pooled | 186,230,900 | 9,311,545 |
| RPSP's | 7,028,300 | 351,415 |
| Athletics | 2,782,900 | 139,145 |
| Total | 196,042,100 | 9,802,105 |

Enclosed you will find the Draft FY 17 Revised Budget Scenario (Attachments A&B), which includes the 5% state appropriation reduction. Below is a table detailing the reductions by VP unit. Reductions were prioritized to non-instructional expenditure areas leaving an effective 2.7% decrease to Academic Affairs.

| VP Units | FY 2017 Original Budget | Amount of Reduction | % Change |
|-------------------------------|----------------------------|---------------------|--------------|
| President and Foundation | 7,317,245 | 217,000 | -3.0% |
| Academic Affairs | 171,715,801 | 4,589,000 | -2.7% |
| EVP for Administration | 60,230,414 | 1,785,000 | -3.0% |
| Must Funds (Fringes and Ins.) | 53,909,027 | 1,598,000 | -3.0% |
| Total | 293,172,487 | 8,189,000 | -2.5% |

Enclosed you will find a detailed budget reduction plan for Academic Affairs (Attachment C) and EVP for Administration (Attachment D). In order to meet the reductions, a combination of reserves, vacancy savings, and some decreases in operating expenditures will be implemented instead of across-the-board budget cuts.

Regarding the budget reduction plan for the President/Administration area, they will be using a combination of reserves and vacancy savings from the President's organization and the University Counsel's Office to meet their \$217,000 budget cut.

Lastly, it is important to note that a reduction is planned for the Must Funds budget (fringe benefits and insurances) in the amount of \$1,589,000. The majority of the reduction will be applied to the fringe benefits budget. In order for the fringe benefit pool to absorb this cut, VP units will need to make significant reductions in salary expenditures.

Thank you for your consideration.

| Assumptions | State Appropriation-5% Decrease | | | |
|--|---|--------|--------------------------|----------|
| | Tuition-.57% Decrease in Student Credit Hours (SCH) | | | |
| | Mandatory Student Fee-No Change Tracking to Budget | | | |
| | Base Reductions-% of Base Budget | | | |
| Main Campus | | | | |
| Budget Recommendation Summary | | | | |
| FY 17 Budget (In Thousands) | | | | |
| | Fiscal Year 2017 Original | Change | Fiscal Year 2017 Revised | % Change |
| Revenues | | | | |
| State Appropriations | 186,231 | -9,312 | 176,919 | -5.0% |
| Tuition Revenue | 135,193 | -701 | 134,493 | -0.5% |
| Credit Card Fees Adjustment | -886 | 775 | -111 | -87.5% |
| Mandatory Student Fees | 35,137 | 0 | 35,137 | 0.0% |
| Miscellaneous Revenues and Transfers | -10,522 | 710 | -9,811 | -6.8% |
| Subtotal Revenues | 345,154 | -8,527 | 336,627 | -2.5% |
| Health Sciences Center Transfer | -16,844 | 338 | -16,506 | -2.0% |
| Total Sources of Funds | 328,309 | -8,189 | 320,121 | -2.5% |
| Expenses | | | | |
| Base-President/Administration | 7,317 | -217 | 7,100 | -3.0% |
| Base-Academic Affairs | 171,716 | -4,589 | 167,127 | -2.7% |
| Base-EVP for Administration | 60,230 | -1,785 | 58,445 | -3.0% |
| Base-Must Funds (Fringes, Insurance, etc.) | 53,909 | -1,598 | 52,311 | -3.0% |
| Mandatory Student Fees | 35,137 | 0 | 35,137 | 0.0% |
| Total Use of Funds | 328,309 | -8,189 | 320,121 | -2.5% |
| Balance | 0 | 0 | 0 | |



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Attachment B

FY 17 Revised

**UNM Main Campus
Budget Development
Fiscal Year 2017
Summary (in thousands)**

| Sources of Funds: | FY17 Original | Changes | FY17 Revised | |
|---|----------------|---------------|----------------|----|
| State I&G General Fund: | | | | |
| State Appropriation I&G Pooled Base | 186,231 | 0 | 186,231 | 1 |
| Main Campus State Appropriation Change: 5% Reduction | 0 | -8,871 | -8,871 | 2 |
| HSC State Appropriation Change: 5% Reduction | 0 | -440 | -440 | 3 |
| Subtotal State I&G General Fund - FY 16 Budget | 186,231 | -9,312 | 176,919 | 4 |
| Tuition: | | | | |
| Starting Base | 135,193 | 0 | 135,193 | 5 |
| Adjustment: Enrollment Decline | 0 | -701 | -701 | 6 |
| Adjustment: Credit Card Fees | -886 | 775 | -111 | 7 |
| Net Tuition Increase - % | 0 | 0 | 0 | 8 |
| Subtotal Tuition | 134,308 | 74 | 134,382 | 9 |
| Miscellaneous | | | | |
| F&A Revenues | 20,200 | 0 | 20,200 | 10 |
| Land and Permanent Fund Revenue | 8,800 | 519 | 9,319 | 11 |
| Interest Income | 800 | -308 | 492 | 12 |
| Miscellaneous Fee Revenues and Transfers | -40,322 | 500 | -39,822 | 13 |
| Subtotal Miscellaneous | -10,522 | 710 | -9,811 | 14 |
| Health Sciences Center Transfers: | | | | |
| Transfer to HSC from Main Campus | -20,410 | 0 | -20,410 | 15 |
| Adjustments - Transfers to HSC from Main Campus | | | | |
| HSC Tuition True-Up | -313 | | -313 | 16 |
| HSC Tuition - Prior Year: Enrollment Growth/Tuition Increase | -826 | 58 | -769 | 17 |
| HSC Tuition - Tuition Rate Increase | -221 | 0 | -221 | 18 |
| State Appropriation Reduction, HB2 | 452 | 440 | 892 | 19 |
| Transfer to Main Campus Pooled Revenue from HSC | 2,092 | 0 | 2,092 | 20 |
| Adjustments - Transfers to Main Campus Pooled Revenue from HSC | | | | |
| State Appropriation Adjustment, HB2 | -51 | -102 | -153 | 21 |
| Main Campus Transfer Reduction to HSC | 2,433 | -58 | 2,375 | 22 |
| Subtotal Transfers to Health Sciences Center from I&G Base | -16,844 | 338 | -16,506 | 23 |
| Mandatory Student Fees: | | | | |
| Starting Base | 35,137 | 0 | 35,137 | 24 |
| Adjustment: Enrollment Decline | 0 | 0 | 0 | 25 |
| Net Mandatory Student Fee Increase | 0 | 0 | 0 | 26 |
| Subtotal Mandatory Student Fees | 35,137 | 0 | 35,137 | 27 |
| Total Sources of Funds: | 328,309 | -8,189 | 320,121 | 28 |
| Uses of Funds: | | | | |
| I&G Allocations and Requests: | | | | |
| Expenditure Base | | | | |
| Expenditure Base Reductions - President/Administration | 7,317 | -217 | 7,100 | 29 |
| Expenditure Base Reductions - Academic Affairs | 171,716 | -4,589 | 167,127 | 30 |
| Expenditure Base Reductions - EVP for Administration | 60,230 | -1,785 | 58,445 | 31 |
| Must Funds | 53,909 | -1,598 | 52,311 | 32 |
| Fixed Costs: | | | | |
| Health Care | 0 | 0 | 0 | 33 |
| Utilities | 0 | 0 | 0 | 34 |
| Property & Liability Insurance | 0 | 0 | 0 | 35 |
| Funding Priorities: | | | | |
| New Faculty Lines | 0 | 0 | 0 | 36 |
| Student Recruitment Enhancement | 0 | 0 | 0 | 37 |
| Distinguished Professors | 0 | 0 | 0 | 38 |
| Faculty/Lecturer Promotions | 0 | 0 | 0 | 39 |
| Fringe Benefits | 0 | 0 | 0 | 40 |
| GA/TA Tuition Waivers - Tuition Increase | 0 | 0 | 0 | 41 |
| Subtotal Allocations and Requests (See Detail on Page 2) | 293,172 | -8,189 | 284,984 | 42 |
| Mandatory Student Fee Allocations and Requests: | | | | |
| Expenditure Base | 35,137 | 0 | 35,137 | 43 |
| Expenditure Base Reduction | 0 | 0 | 0 | 44 |
| Net Funding Requests | 0 | 0 | 0 | 45 |
| Debt Service | 0 | 0 | 0 | 46 |
| Subtotal Mandatory Student Fee Allocations and Requests | 35,137 | 0 | 35,137 | 47 |
| Total Uses of Funds | 328,309 | -8,189 | 320,121 | 48 |
| Balance | 0 | 0 | 0 | 49 |

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FY 17 Revised

**UNM Main Campus
Budget Development
Fiscal Year 2017
Summary (in thousands)**

| <u>Current Estimates - As of 8/31/16</u> | | <u>1% Numbers</u> |
|--|--|-------------------|
| Fees | | 286 |
| Tuition - Detail Below | | 1,126 |
| Net Tuition and Fees | | 1,412 |
| Compensation Increase (Salaries and Fringe) - Detail Below | | 2,654 |
| | | <u>5,479</u> |
| <u>Compensation Detail</u> | | |
| Faculty | | 1,263 |
| GA/TA | | 178 |
| Staff | | 1,149 |
| Students | | 64 |
| Total 1% Increase - Compensation | | <u>2,654</u> |
| <u>Tuition Detail</u> | | |
| Student Financial Aid 20% | | 225 |
| GA/TA Tuition Waivers 3% | | 34 |
| HSC Tuition 8% | | 95 |
| Pooled Revenue - Must Funds/Initiatives 69% | | 772 |
| Total 1% Tuition Increase | | <u>1,126</u> |



INITIAL DRAFT--10/6/16

FY 17 Revised Academic Affairs I&G Allocations

| Reduction Strategies | FY 16 Base | FY 17 Original Base | % Change | Academic Affairs Recommendation | FY 17 Revised Base | FY 16 to FY 17 Revised % Change |
|---------------------------------------|--------------------|---------------------|---------------|---------------------------------|--------------------|---------------------------------|
| Faculty Reductions (1) | | | | 0 | | |
| Reduction in Vacant Staff Lines | | | | (2,000,000) | | |
| Reduction of Temporary Staff | | | | (150,000) | | |
| Fiscal Shared Service Reorganizations | | | | (100,000) | | |
| Pullback of Reserves (2) | | | | (2,339,000) | | |
| Academic Affairs | 174,975,027 | 171,715,801 | -1.86% | (4,589,000) | 167,126,801 | -4.49% |

(1) Reduction in faculty hiring plans for AY 2017-18 by 50%, will attribute a \$1,632,038 recurring saving for FY18

(2) Excludes Commitments, Course Fees, Student Aid, Endowments, Research, RPSPs and Student, Social and Cultural funds

Attachment D- INITIAL DRAFT--10/6/16

| FY 17 Revised EVP for Administration I&G Allocations | | | | | | |
|--|---------------------|---------------------|--------------|------------------------------|--------------------|---------------------------------|
| Organizations | FY 16 Adjusted Base | FY 17 Original Base | % Change | OPBA Recommendation One-Time | FY 17 Revised Base | FY 16 to FY 17 Revised % Change |
| Board of Regents | 160,000 | 156,000 | -2.50% | (20,000) | 136,000 | -15.00% |
| OPBA | 886,776 | 849,776 | -4.17% | (75,000) | 774,776 | -12.63% |
| EVP for Administration Office | 564,806 | 562,156 | -0.47% | (8,437) | 553,719 | -1.96% |
| EVP for Administration Contingency Vacancies Index | - | 139,870 | 0.00% | 0 | 139,870 | 0.00% |
| Police Department | 2,976,780 | 2,953,328 | -0.79% | (20,000) | 2,933,328 | -1.46% |
| Safety & Risk Services Overhead | 1,312,202 | 1,279,397 | -2.50% | (200,000) | 1,079,397 | -17.74% |
| UNM Policy Office | 158,346 | 157,554 | -0.50% | (10,000) | 147,554 | -6.82% |
| Government & Community Relations | 165,339 | 164,512 | -0.50% | (1,000) | 163,512 | -1.11% |
| ISS | 35,635,331 | 35,158,075 | -1.34% | (1,073,232) | 34,084,843 | -4.35% |
| Athletics | 443,130 | 429,814 | -3.00% | (5,728) | 424,086 | -4.30% |
| Financial Services | 6,952,524 | 6,770,258 | -2.62% | (156,241) | 6,614,017 | -4.87% |
| Human Resources | 1,567,117 | 1,481,117 | -5.49% | (21,740) | 1,459,377 | -6.88% |
| IT | 10,095,555 | 9,840,557 | -2.53% | (193,622) | 9,646,935 | -4.44% |
| Science & Technology Corporation | 288,000 | 288,000 | 0.00% | 0 | 288,000 | 0.00% |
| Total EVP for Administration Expense Base | 61,205,906 | 60,230,414 | 1.59% | (1,785,000) | 58,445,414 | -4.51% |

Advisor Reports



GRADUATE *and* PROFESSIONAL
STUDENT ASSOCIATION

Board of Regents Meeting
October 18, 2016 Talking Points

- *GPSA 2016 Fall Funding
- *Student Fee Review Board (SFRB)
- *Student Regent Applicant Process
- *Rock the Vote



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Board of Regent Report

UNM Alumni Association & Alumni Relations Office

October 18, 2016

Strategic Goals

Goal: Maximize alumni and student engagement

Student Engagement

- **Donut Days** – Wednesday, October 5, 2016
The Alumni Association collaborated with the UNM United Way Campaign Kick Off. We had 136 Staff/Faculty and Community in attendance and we gave out donuts to 323 students.
- **Hodgin Hall Alumni Center Tours** – We have given tours to 107 students, faculty, staff and community members since September 1st.
- **Homecoming 2016**–
 - **GPSA Café** – 36 UNM Alumni joined members from GPSA for an evening of career networking at the SUB on Thursday, September 30. Brian Colon presented a workshop on networking tips.
 - **Global Education Office** – about 30 international students attended a Career Development workshop at Hodgin Hall Alumni Center on Friday, September 23. The Alumni Association also partnered with GEO for the annual Soccer Tailgate, held on September 30 at University Stadium. Over 200 students and alums joined in the festivities.
 - **NROTC 75th Anniversary** – reunion with 88 alumni and students, re-dedication of unit and retirement of a 3 Star Admiral. Alumni Association co-sponsored the events.
 - **Homecoming Court** – finalists and Student Activities staff participated in several events with alumni including the All-University Breakfast and Homecoming Tailgate
 - **Student Spirit Committee** – Alumni Association sponsored t-shirts and decorations for student homecoming events, including a new Student Banner Contest.

Alumni Engagement

- **Homecoming** – “HOWLABALLO: Let’s Get This Party Started”
 - **Campus Engagement** -
 - Over 3,000 **Alumni Faculty and Staff** were invited to the annual appreciation lunch on Wednesday, September 28. The first 300 who picked up tickets at Hodgin Hall Alumni Center were able to attend. Each attendee received an imprinted selfie stick with #Proud UNM Alumni. Photos were shared live throughout the lunch.
 - Campus Alumni also supported the **Community Service Project** by collecting over 347 pounds of food for Road Runner Food Bank, which in turn, also supports the Lobo Pantry, serving the needs of UNM students. Over 100 pounds of toiletries were also collected for APS Title I.

- **Tours/Reunions/Open House** – Over 35 campus tours, reunions, open houses, awards recognitions, tailgates, and lectures took place during Homecoming Week that were scheduled through the Alumni Relations Office, with over 1,000 alumni and guests participating.
- **Alumni Events**
 - **All Alumni Open House and Silent Auction** – Over 300 alumni attended the Open House and participated in the Silent Auction at Hodgin Hall Alumni Center on Friday, September 30. The silent auction raised \$11,231.
 - **Online Auction** – The online auction was marketed to alumni across the country, with the majority of bidders being from out of state. The amount raised from the Online auction was \$6288. The total amount from both auctions was \$17,519.
 - **All University Breakfast** – Recognition of Zia, Lobo, and Inspirational Young Alumnus awardees took place at the SUB on Saturday, October 1. One hundred eighty-nine alumni and friends attended the event.
 - **Homecoming Tailgate** – The Alumni Association partnered with Anderson School of Management, College of Pharmacy, College of Nursing, and Naval ROTC for the pregame event. Over 340 attended the event at University Stadium.
 - **Homecoming Steering Committee** – made up of 30 campus representatives and alumni volunteers.
- Chapters and Alumni Outreach
 - **Green Chile Roasts**
 - September 25: Northern California Chapter (NorCal), Chase Park at Moffett Field. Over 40 alumni and guests attended the roast.
 - October 1: Atlanta Chapter Roast, Square Pub in Decatur, GA. Over 75 alumni and guests attended the roast.
 - **New York Chapter Focus Group**, September 14, Pazza Notte Cucina Italian. Over 15 alumni participated in discussions on moving the chapter forward with more events.
 - **Dallas Alumni Weekend** – Friday, October 14 – All Alumni Reception at House of Blues
 - **Pre-Game Tailgates**
 - September 10, UNM at NMSU – Over 150 alums and guests attended.
 - Saturday, September 17, UNM at Rutgers, NJ – Over 150 alums and guests attended.
 - Saturday, October 15, UNM vs. AFA at Fair Park, Dallas, TX
 - Saturday, October 29, UNM at Hawaii
- Constituent Chapters
 - **Young Alumni** – Friday, October 14 – Annual Halloween Costume Drive, Apothecary Lounge, Hotel Parq Central, 7:30 p.m.
 - The **Greater Albuquerque Area Alumni Chapter (GAAAC)** will volunteer at the National Make a Difference Day on October 22nd.

On September 22nd, the **Lobo Living Room program** featured *The Cascading Consequences of Wrongful Conviction* by Jennifer Thompson. The UNM Alumni Association co-sponsored the program with the UNM School of Law, NM Innocence and Justice Program, and the Lannan Foundation. Over 240 attended the event.

Goal: Increase alumni advocacy for UNM

The **Lobos for Legislation Committee** was called to action to advocate for treating UNM fairly in regards to the higher education budget during the recent special session of the NM Legislature. Over 100 UNM alumni contacted their state representatives and advocated on behalf of UNM.

The Committee has also advocated for passage of the **2016 General Obligation Bond (GO bond)** June-November, 2016.

Goal: Maximize benefits of technology

Website:

Date range: 9.13.16 to 10.11.16

Views: 15,513

Top 5 pages:

- Homecoming
- Home Page
- Event Calendar
- Football Tailgate Page
- Alumni Memorial Chapel

Online Community

There were 62 new registered members to the Online Community during this period.

Goal: Invest in a strategic and collaborative communications and marketing program

Homecoming 2016

In support of Homecoming 2016, the Alumni Relations Office built and executed an integrated marketing campaign for the annual event, which included a cohesive look and feel to compliment the new branding as well as a photo shoot with Louie and Lucy in athletic gear, HSC scrubs and student-type clothing. Alumni used the new iModules platform to register and manage event attendance and use the newly designed website to communicate the more than 50 homecoming events to our audience – alumni, students, faculty, staff and the community.

Homecoming was promoted through news segments and articles, including coverage in The Albuquerque Journal, The Daily Lobo, KRQE/KASA and KOB. Social media was leveraged to promote Homecoming messaging and branding through Facebook, Twitter and Instagram; including the use of the new Instagram Stories feature within the app. As events were photographed, the pictures were uploaded to the Alumni Flickr page and shared across Facebook, Twitter and Instagram for people to download and share. The UNM Alumni Website was decked out in HOWLABALOO

branding to promote Homecoming, which included content updates and graphic update throughout the site.

Howler Statistics

The Howler monthly e-newsletter continues to average a 15% open rate, with an average of 1100 click throughs per issue.

- The September issue focused on: Homecoming 2016, Green Chile Roasts, Traveling Tailgates and Lobo Living Room
- The October issue featured: The All Alumni Weekend in Dallas, Homecoming 2016 thank you, Winter Award nominations, and Traveling Tailgates

The Mirage Magazine

The Mirage for Spring 2016 is in the early planning stages. Story ideas and timelines will be available in November.