



**UNIVERSITY OF NEW MEXICO  
BOARD OF REGENTS**

**Agenda**

**January 20, 2017  
10:00 AM  
SUB Ballroom C**

**The Board of Regents of the University of New Mexico  
Meeting in Open Session and Executive Session  
January 20, 2017, 10:00 AM  
Student Union Building (SUB), Ballroom C  
Executive Session-Luncheon, SUB, Cherry Silver Room  
AGENDA**

TAB

- I. Call to Order, Confirmation of a Quorum, Adoption of the Agenda ..... 1  
*Regent President Rob Doughty*
- II. Approval of Minutes: December 13, 2016 regular meeting (to comply with NM OMA, included in the minutes of the December 13 regular meeting are the minutes of the December 1, December 7 and December 13 special meetings, which took place in closed session) ..... 2
- III. The President’s Administrative Report, *President Chaouki Abdallah*..... 3
- IV. Information Item: LFC/DFA FY18 Appropriation Recommendations, *David Harris, EVP for Admin., COO, CFO* ..... 4  
*[LFC (Legislative Finance Committee); DFA (Department of Finance and Administration)]*

Public Comment – comments related to items on the agenda (limit 3 min.)

Regent Advisors – comments related to agenda items (limit 3 min.)

Comments from Regents

V. Regent Committee Reports

**Consent Docket**

*(Action items on Regent’s Committee agendas may move to the Board of Regents’ agenda as consent items; the below items are placed on the consent docket by the respective committee chairs; items on the consent docket received unanimous approval in committee; per Regents’ Policy 1.2, “Any member of the Board of Regents shall have the right upon request to remove any item from the Board’s consent agenda and place the item on the Board’s regular agenda for discussion.”)*

- 1. Health Sciences Center Committee (HSCC) Consent Item, *Regent Marron Lee, Chair*
  - a. Approval of the Nomination of Dr. Aimee Smidt to the UNM Hospital Board of Trustees ..... C-1
  - b. Approval of the UNM Hospitals Contract with Covidien Sales, LLC, a Medtronic Company ..... C-2  
*Steve McKernan, CEO UNM Hospitals*
- 2. Finance and Facilities Committee (F&F) Consent Item, *Regent Marron Lee, Chair*
  - a. Approval of Disposition of Surplus Property for Main Campus for December 2016 ..... C-3  
*Bruce Cherrin, Chief Procurement Officer*

**Audit and Compliance Committee, Regent Jack Fortner, Chair**

Action Item

- 1. Approval of the FY16 University Consolidated Financial Statements External Audit Report by KPMG and Moss Adams, *KPMG and Moss Adams* ..... 5

**Health Sciences Center Committee (HSCC), Regent Marron Lee, Chair**

Action Item

- 1. Approval of UNM Hospitals Capital Renovation Project Approval: Renovation Request for Main Operating Room “F”, *Steve McKernan, CEO UNM Hospitals*..... 6

**Finance and Facilities Committee (F&F), Regent Marron Lee, Chair**

Action Items (one item put on consent docket)

Information Item

1. Monthly Consolidated Financial Report, *Liz Metzger, University Controller* ..... 7

Public Comment – comments not related to items on the agenda (limit 3 min. per speaker)

- VI. Advisors' Reports (limit 3 min.) ..... 8

VII. Vote to close the meeting and proceed in Executive Session

1. Discussion and determination where appropriate of the purchase, acquisition or disposal of real property as permitted by Section 10-15-1.H(8), NMSA (1978)
2. Discussion and decision, if appropriate, of strategic or long-range plans of public hospitals as permitted by Section 10-15-1.H(9), NMSA (1978)
3. Discussion and determination where appropriate of threatened or pending litigation as permitted by Section 10-15-1.H(7), NMSA (1978)
4. Discussion and determination where appropriate of limited personnel matters as permitted by Section 10-15-1(2), NMSA (1978)

- VIII. Vote to re-open the meeting and certification that only those matters described in Agenda Items VII. were discussed in Closed Session and if necessary, final action with regard to those matters will be taken in Open Session.

- IX. Adjourn

**Minutes of the Regular Meeting of the Board of Regents of the University of New Mexico**  
**December 13, 2016**  
**Student Union Building (SUB), Ballroom C**  
**Executive Session-Cherry Silver Room**

Members present

Robert M. Doughty, President; Marron Lee, Vice President; Ryan Berryman; Suzanne Quillen; Tom Clifford; Brad Hosmer; Jack Fortner (joined via FaceTime)

Administration present

Paul Roth, EVP and Chancellor for Health Sciences Center; Chaouki Abdallah, Provost and EVP for Academic Affairs; David Harris, EVP of Administration, COO, CFO; Ava Lovell, Sr. Exec. Officer of Finance and Administration, HSC; Elsa Cole, University Counsel; Jozi de Leon, VP Equity and Inclusion; Dana Allen, VP Alumni Relations; Ava Lovell; Eliseo 'Cheo' Torres, VP for Student Affairs; Libby Washburn, Chief Compliance Officer; Paul Krebs, VP Athletics; Liz Metzger, Controller

Regents' Advisors present

Daniel Perea, President Parent Association; Danelle Callan, President Staff Council; Kathy Guimond, President Retiree Association; Glenda Lewis, President GPSA; Pamela Pyle, President Faculty Senate

Presenters in attendance

Amy Wohlert, President's Chief of Staff; Bruce Cherrin, Chief Procurement Officer; Frances Wilkinson, Deputy Dean, University Libraries; Norma Allen, Assoc. Director University Budget Operations; Vahid Staples, Budget Officer; Cinnamon Blair, Chief University Marketing & Communications Officer; Terry Babbitt, AVP Enrollment Management; Corine Gonzales, Strategic Support Manager in the office of Enrollment Management; Jennifer Thacher, Assoc. Professor of Economics and Chair of the Honorary Degree Committee.

Others in attendance

Members of administration, faculty, staff, students, the media and others.

CONFIRMATION OF PRIOR SPECIAL MEETING IN EXECUTIVE SESSION – DECEMBER 1, 2016 SPECIAL MEETING

The Board of Regents met in closed session on December 1, 2016 from 2:00 to 3:52 PM in the Roberts Room number 204 of Scholes Hall on Main Campus. All members were present. The meeting was held in closed session under the Open Meeting Act (NMSA 1978) exception, discussion and determination where appropriate of limited personnel matters as permitted by Section 10-15-1H(2), NMSA (1978). No actions were taken. Before the meeting adjourned, Regent Doughty certified that only those matters described in the agenda for the meeting were discussed in closed session.

CONFIRMATION OF PRIOR SPECIAL MEETING IN EXECUTIVE SESSION – DECEMBER 7, 2016 SPECIAL MEETING

The Board of Regents met in closed session ON December 7, 2016 from 1:06 to 4:38 PM in the Roberts Room, room 204 of Scholes Hall on Main Campus. The following members were present in person: Robert Doughty, Brad Hosmer, Tom Clifford, Marron Lee, Ryan Berryman, and Jack Fortner. Regent Suzanne Quillen joined the meeting telephonically. The meeting was held in closed session under the Open Meeting Act (NMSA 1978) exception, discussion and determination where appropriate of limited personnel matters as permitted by Section 10-15-1H(2), NMSA (1978). No actions were taken during the meeting. Before adjournment, there was certification that only those matters described in the agenda for the meeting were discussed in closed session.

CONFIRMATION OF PRIOR SPECIAL MEETING IN EXECUTIVE SESSION – DECEMBER 13, 2016 SPECIAL MEETING

The Board of Regents met in closed session on December 13, 2016 from 7:48 to 8:34 AM in the Cherry Silver Room on the third level of the Student Union Building on Main Campus. The following members were present in person: Robert Doughty, Brad Hosmer, Tom Clifford, Suzanne Quillen, Marron Lee, and Ryan Berryman. Regent Jack Fortner attended the meeting telephonically. The meeting was held in closed session under the Open Meeting Act (NMSA 1978) exception, discussion and determination where appropriate of limited personnel matters as permitted by Section 10-15-1H(2), NMSA (1978). At 8:29 AM, the vote to open the meeting was unanimous, and there was certification that only those matters described in the agenda for the meeting were discussed in closed session. After the meeting opened, the doors to the Cherry Silver room were opened and the following motions were made:

**Suzanne Quillen moved to approve the draft agreement and mutual release, pending signature by President Frank; Brad Hosmer seconded the motion; the vote was 5-2; Marron Lee and Tom Clifford voted opposed; motion passed.**

**Marron Lee moved to appoint Provost and EVP for Academic Affairs, Chaouki Abdallah, to lead the UNM administration as the acting President beginning January 1, 2017 and Craig White, Dean of the Anderson School of**

**Management, to be acting Provost; Ryan Berryman seconded the motion; the vote was unanimous in favor; motion passed.**

*CONTINUATION OF MINUTES OF THE DECEMBER 13 BOARD OF REGENTS REGULAR MEETING:*

CALL TO ORDER, CONFIRMATION OF A QUORUM, ADOPTION OF THE AGENDA

Regent President Rob Doughty called the meeting to order at 9:07 AM and confirmed a quorum of members present. Regent Jack Fortner joined the meeting telephonically.

Regent Doughty asked if there were any modifications to the agenda before adoption. Regent Marron Lee requested the following two modifications to the agenda:

1. Move to the consent docket: Health Sciences Center Committee action item #1, Tab 5 -- Approval of the Nomination of Dr. Margaret Ann Massengill Armstrong to the Carrie Tingley Hospital Advisory Board
2. David Harris, EVP for Administration, would be the presenter for the Finance and Facilities Committee agenda information item #6, Tab12 -- State Revenue Estimates

**The motion to adopt the agenda as modified passed by unanimously (1<sup>st</sup> Lee; 2<sup>nd</sup> Berryman).**

APPROVAL OF MINUTES

Regent Doughty asked if members had reviewed the minutes of the November 15 regular meeting November 15 special meeting and if any member had corrections to make. There being no corrections, Regent Lee motioned approval.

**The motion to approve the minutes of the November 15, 2016 regular meeting and the November 15 special meeting passed by a unanimous vote (1<sup>st</sup> Lee; 2<sup>nd</sup> Quillen).**

THE PRESIDENT'S ADMINISTRATIVE REPORT

Amy Wohlert presented the report and opened with comments about Fall commencement, when the achievements of the University's graduating students are celebrated. Applauded are the contributions of UNM faculty and staff and the support from students' family and friends that enable graduates to reach their goals. More on student success: third-semester retention rate exceeds 80% and 4-year graduation rate exceeds 20%. UNM was recently designated a Top 10 Military Friendly<sup>®</sup> institution (Tier 1 Research), the only institution in any category in NM to receive Top 10 status. The GO Bond C passed by a large margin, thanks to the combined efforts of many in the UNM community. This provides the foundation of funding for the Physics, Astronomy, and Interdisciplinary Science building (PAIS). In addition to PAIS, other projects underway including Anderson, Farris, Johnson, and Smith Plaza. Together, these projects will revitalize UNM's Main Campus. Dr. Wohlert also spoke about campus climate, campus safety has continued to be a priority. Additionally, thanks to participation throughout the campus, there is continual reaffirmation of the commitment to freedom of inquiry and expression, inclusiveness and respect, and our responsibility to our community. On the subject of Innovation, Dr. Wohlert said that construction of the Lobo Rainforest building at Innovate ABQ is well underway, and more than 450 Innovation Scholars are enrolled in the Innovation Academy this semester. On fiscal solvency, proactive solvency efforts have been successful in addressing mid-year budget cuts with a campus-wide hiring moratorium and strategic drawdown of reserves to balance the current year budget. The Budget Leadership Team is engaged in the development of the FY18 budget, and a new subcommittee structure focuses on critical issues, including cost reallocation and new revenue models. Dr. Wohlert closed with words of year-end recognition of the accomplishments of the entire UNM community and the University's broader local, statewide, and global communities.

PUBLIC COMMENT

Sandra Penn, a physician of Family Medicine, spoke in favor of research at UNM, with specific mention of supporting research at the Health Sciences Center. Dr. Penn presented the Regents over 200 papers and cards signed by students, "urging the BOR to reject legislators' attempts to insert their personal political agendas into the quality education and services that UNM Provides."

ADVISORS COMMENTS

Pamela Pyle gave faculty feedback to the Regents. Feedback she had recently obtained from dialogues with the majority of the faculty senate, the representative body of the faculty. Ms. Pyle and the faculty are grateful to have had the opportunity to dialogue with the Regents regarding recent University President issues. She said she believed the faculty supported the actions. Faculty have had profound concerns regarding the impact of recent actions on the reputation and orderly administration of New Mexico's flagship institution. The faculty did hope that the Regents would act in good faith concerning matters over which they have purview and trust that the outcome will not undermine the ongoing presidential search. It is essential that current events do not adversely affect the working

relationships of the governing bodies at the University and thus the ability of an incoming president to fulfill his or her duties. The faculty appreciates that the Board of Regents has resolved this issue swiftly and amicably to allow all constituencies to join collaboratively in upholding the academic mission of the University.

#### COMMENTS FROM REGENTS

Regent Doughty said it was an honor to be able to recognize Student Regent Ryan Berryman. Today is his last Board of Regents meeting as he is graduating with his MBA from The Anderson School. Regent Doughty thanked Ryan for his excellent work on the Board of Regents and said he has done an excellent job. He is an exemplary young man, disciplined in his school work, disciplined as a Regent and with his other responsibilities. Regent Doughty wished Student Regent Berryman well and said he has a bright future.

Regent Marron Lee affirmed Regent Doughty's remarks and added she has had the honor of working with Regent Berryman on the Finance and Facilities Committee entailing every aspect of being a regent, not just a student regent, but a full regent, always prepared, always thoughtful, and willing to make hard decisions. Regent Lee echoed that Ryan has a bright future ahead of him.

Regent Tom Clifford thanked Student Regent Berryman for his service to the State and added in working with Ryan for only about six months, has found him to be thoughtful, considerate, and with a strong moral compass. His attention to his responsibilities in a given situation and honoring those has been to the benefit of this institutions. It has been a great pleasure to work with Ryan; he obviously has a great future and will make valuable contributions wherever he goes.

Regent Brad Hosmer confirmed his full agreement with the comments made, and without reservation Ryan is a full-fledged regent.

Regent Suzanne Quillen said it has been a pleasure working with Ryan; she saw him undertake the massive amount of responsibility and information that goes with being a regent, and all this in addition to being a full-time student. Regent Quillen saw him progress in his confidence stating his own mind after doing very thorough research. Regent Quillen added she has learned a lot from him and will miss him.

Regent Berryman responded it has been an honor to serve his soon to be two-time alma mater in this capacity. Years down the road he will probably not remember so much what was on the agenda, but it will be the people he has met along the way whom he will remember most of all. Regent Berryman thanked everyone.

#### ANNUAL DETERMINATION OF REASONABLE NOTICE OF MEETINGS

Regent Doughty presented the agenda item and said this is a routine item, annually. He asked if there were questions or comments. Student Regent Berryman said there is an extra comma under bullet two and added last year there were comments from student about the March Board of Regents' meeting happening during Spring Break. Regent Berryman said the 2017 calendar has the March meeting scheduled again the Monday of Spring Break and wanted students to be aware of that ahead of time. Regent Lee commented the March meeting is set by NM statute.

The agenda items for approval:

- a. Approval of revisions to Regents' Policy 1.3 -- Public Notice of Regents' Meetings
- b. Affirmation of 2017 meeting calendar

**The motion to approve the revisions to Regents' Policy 1.3 passed by a unanimous vote (1<sup>st</sup> Lee; 2<sup>nd</sup> Berryman).**

**The motion to affirm the 2017 meeting calendar passed by unanimous vote (1<sup>st</sup> Lee; 2<sup>nd</sup> Clifford).**

#### REGENT COMMITTEE REPORTS

##### CONSENT DOCKET

Regent Doughty presented the consent docket and asked if any member wished to remove any item from the docket for discussion and a separate vote. No items were removed from the consent docket.

1. Health Sciences Center Committee (HSCC) Consent Items
  - a. Approval of UNM Hospitals Equipment Disposition of November 2016
  - b. Approval of the Nomination of Dr. Margaret Ann Massengill Armstrong to the Carrie Tingley Hospital Advisory Board
2. Finance and Facilities Committee (F&F) Consent Item
  - a. Approval of Disposition of Surplus Property for Main Campus for November 2016

**The motion to approve the Consent Docket items passed by a unanimous vote (1<sup>st</sup> Lee; 2<sup>nd</sup> Quillen).**

HEALTH SCIENCES CENTER COMMITTEE

Approval of the UNM Hospitals Contract with Philips Healthcare Informatics, Inc.

Ava Lovell presented the item. This is for a service contract with Philips Healthcare Informatics, Inc. that will supply a Philips IntelliSpace PACS system (Picture Archiving and Communication System) to be used in the radiology department. This will allow real-time access to images for diagnostics. The total cost per year is estimated at \$1.5 million; the estimated contracted amount pending actual utilization is \$12 million over eight (8) year term. The new contract award was based upon a reduction in price. Details were presented in the material that was included in the agenda eBook. Regent Lee added this item was discussed more in depth in HSC committee before it was approved in committee, and she asked if there were any questions from the board. There were no questions.

**The motion to approve the UNM Hospitals Contract with Philips Healthcare Informatics, Inc. passed by unanimous vote (1<sup>st</sup> Clifford; 2<sup>nd</sup> Quillen).**

FINANCE AND FACILITIES COMMITTEE

Contract Approval: University Libraries and Health Sciences Library and Informatics Center-Periodical Subscriptions

Bruce Cherrin and Frances Wilkinson presented the item, requesting approval of a new subscription to provide continued services for the Libraries (UL&LS, University Libraries and Learning Systems, and also HSLIC, Health Sciences Library and Informatics Center) for periodical subscriptions stating FY2017. Details about the libraries, systems, needs and current expenditures and the RFP process were presented in the material provided in the agenda eBook. After the RFP process begun in August 2016, Otto Harrassowitz, previously utilized by UL&LS, was chosen as the vendor with the proposal most advantageous to UNM. As a result of a joint negotiation with both UL&LS and HSLIC, there will be a \$60K per year savings in service charges. The source of funding will come from the acquisitions' budgets of the UL&LS and HSLIC. The total cost is approximately \$3.5 million annual not to exceed eight years.

**The motion to approve the contract for University Libraries and Health Sciences Library and Informatics Center regarding Periodical subscriptions passed unanimously (1<sup>st</sup> Berryman; 2<sup>nd</sup> Hosmer).**

Approval of Mid-year FY17 Budget Adjustment Requests (BAR) for Main and Branch Campuses

Norma Allen presented the item and referred to several slides that were made available in the agenda eBook. The Mid-Year FY17 BAR was presented for Main Campus and Branch Campuses. The NM Higher Education Department (HED) required the University to do a BAR for the 5% reduction in the State appropriation. The total reduction was \$15.5 million and broken out by Main Campus, HSC, and the Branch Campuses; the overall impact was a 0.4% reduction in expenditures.

For Main Campus, the total change for State appropriations was a 5% reduction, and tuition and fees were also included in the BAR to illustrate the shortfall in pooled revenue and pooled fees. The total change in revenue is \$10.1 million. The total change was 1.2% for Main Campus. A summary of the overall budget reduction strategy was presented, and for the \$10.1 million reduction, the funding sources included \$4.7 million vacancy savings, \$3.5 million use of reserves, \$1.3 million reduction in operating expenditures, and \$574K from other revenues. A pie chart was presented depicting the overall areas that were reduced. The next slide illustrated the overall budgetary impact on expenditures of \$6 million for Main Campus or 0.71%. Attachment A was the actual BAR that will go to the HED. In that BAR, from approval in May 2016, beginning balances will be restated, the change was \$34 million. The actual reserves on hand for Main Campus ties to the CAR report that was presented in October. Attachment B provides a summary of revenue and expenditures and breaks out functional categories as well as funds by exhibit. Attachment C was the Instruction and General (I&G) scenario for Main Campus that showed the overall impact for I&G. For Academic Affairs there is a 2.7% decrease in I&G allocations. For the President's Area and the EVP for Administration and must funds, there is approximately a 3% reduction in I&G funding.

For the Branch Campuses, the total reduction for State appropriations was a little over \$1 million. A table was presented which illustrated the break out per campus; Gallup, Valencia, Taos, and Los Alamos. Their budget reduction strategy was very similar to Main Campus with some exceptions. Gallup chose to reduce vacancies and operating expenditures, and a question was brought up at F&F about why they did not use reserves. The presentation materials included a memo from the Gallup Campus that explained the rationale; their advisory board requested reduction to expenditures rather than use of reserves, as well as they want to meet the recurring cut in revenues with recurring cuts in expenditures. Attachments A-D for the Branch Campuses are the individual BARs which will be sent to the HED; each document has to be sent, by campus, instead of one consolidated report.

Regent Clifford thanked the Administration for bringing to the Board's attention the significant revision to the beginning balances. This should be addressed when creating the budget in May as the \$34 million revision was substantial. He understood there was some issue with the Auditor and he would like more clarity on that to understand their position. He would like to target a more precise forecast for the current year's budget to have an accurate understanding of the balances moving forward.

(Motion to approve this item included approval of the HSC BAR – see the following motion.)

Approval of FY17 Budget Adjustment Request (BAR) for HSC

Ava Lovell presented the item and referred to material provided in the agenda eBook. The Mid-Year FY17 BAR was presented for Health Science Center (HSC). HSC also had the 5% general fund rescission which amounts to \$4.687 million for HSC, totaling just under \$100 million of State funding. Beginning balances were also restated in the BAR, a net increase of \$955K, actuals versus what was budgeted. For reductions, units were tasked to look at the reduction as a recurring cut to ensure they could take it on a recurring basis. There are balances to cover if any unit cannot make it this year, but by this coming budget year they will have to take that as a recurring loss. The reduction covers everything from I&G, RSPs, Carrie Tingley, and Children's Psychology, and Office of Medical Investigators; independent operations; everyone took that 5% cut directly. As a total percentage of the entire University, it was about 0.4%.

Regent Lee inquired if there were any disproportional cuts. Ms. Lovell responded there were because there are units that are entirely State funded, but they worked with those to best mitigate cuts and make them more efficient. Some units can increase tuition if needed.

Regent Lee inquired about areas such as Physical Therapy increasing tuition since they decreased tuition at the Medical School. There are different pots of money that go around which makes it confusing. Ms. Lovell responded that the Medical School is only the MD. Physical Therapy is not an MD, it is a sub-section of the Medical School. Pharmacy is a different college, but they are more similar to what one would consider a Main Campus department; Like OT and PT, they rely on tuition and State I&G funding.

Regent Clifford stated he would like to see more clearly which units will be more impacted by the budget cuts in order to see pressure points within the budget for both Main Campus and HSC. On the beginning balance issue, he did not see the issue rise to the same level of prominence in this report and unsure if the Auditor was using a different standard or approach with the HSC budget. Ms. Lovell responded Dr. Roth is adamant he does not allow units to come to budget utilizing fund balances. They can only budget use of carry forward funds for a one-time investment item.

Regent Clifford stated the use of reserves is a critical budget tool to identify areas of savings in the current difficult budget environment. Ms. Allen stated the requirement from the State Auditor is they have to budget plenty of expenditure authority within the exhibits. Those contingencies are centrally held. The units can only budget what is in their index. They have non-sufficient funds checking enabled to ensure they don't have an audit finding.

Regent Clifford stated they don't want to spend over their budget because they do not have the authority to do so. It is a question of how do we prorate spending. By spring they should have a good idea where they will come out in June. He would like to focus on that as a performance metric they should improve.

Ms. Allen responded they will work with the Regents to better budget contingencies.

Regent Clifford stated they do report monthly regarding the annual budget, but those are hard to follow. They need to focus on pace of spending and reveal if it might be adjusted in the original budget.

Regent Berryman inquired about vacancies on Main Campus. Ms. Allen responded for EVP for Administration it was around 11 positions. Nicole Dopson added regarding Academic Affairs 50 positions have been eliminated since the hiring freeze.

**The motion to approve the FY17 Budget Adjustment requests for Main Campus, the Branch Campuses and HSC passed by a unanimous vote (1<sup>st</sup> Clifford; 2<sup>nd</sup> Berryman).**

University Communications and Marketing Realignment/Budget Presentation and Update on University Expenditures by Contractor

Cinnamon Blair, Norma Allen, and Ava Lovell, presented the item. Billy Sparks was also present to answer any questions.

Regent Lee prefaced that the presentation came from the Regents initiative to do a linear reorganization through the AON Report of our Communication and Marketing across campus, a reunification. This exemplifies why they took the vote in March to reunify campus because things get lost in the cracks.

Ms. Allen reviewed a slide on the overall University Communications and Marketing (UCAM) Main Campus budget this past year, current year, and projected two fiscal years for FY 18 and FY 19. The I&G allocation for FY 16 was \$1.2 million. In addition, there was \$500K for the President's initiatives that were added to their budget and rolled into their FY 17 budget totaling \$1.8 million. In FY 17, they received \$1 million from the Regents for media funding, \$150K from the Alumni Association for branding efforts for a two year agreement, and \$78K for the Web Services Center for internal service operation of web services, which puts their total budget for FY 17 at \$3 million. The total expenditures for UCAM were reviewed along with their ending reserve. They are projecting to spend down all reserves and all funds.

Regent Lee inquired if the funding from the Regents for media expenses came from the Regents Endowment. Which Ms. Allen confirmed. And she also inquired about the \$150K pledged by the Alumni Association for two years. They will look at the metrics of effectiveness of media and marketing campaign to determine if they continue with additional funding, which Ms. Allen confirmed.

Ms. Blair stated they have some one-time dollars infused in the budget to support the branding and marketing initiative, but outside of that they have \$200K per year out of their operating expenses to continue with the campaign. They have the \$500K from the agency hours which developed the creative efforts. There is a large presence at the malls in Albuquerque and Las Cruces with UNM branding signage in addition to other initiatives.

Regent Clifford inquired what the performance metrics were and how they are going to gauge the effectiveness of spending, and about polling into the broader community on perceptions of the University.

Ms. Blair stated there are anecdotal metrics for types of advertising for out of home such as billboards and banners where they know what the traffic is. They have more specific metrics in the digital areas they can track the demographics, who are engaging, how long, and where they go and click such as Admissions and Enrollment. They are working with the Alumni Association to reconnect Alumni with the University also. They can break down into dashboards based on media use, online TV or music. They can see which is most effective and if something or a geographic location is not as effective, they can optimize and shift quickly. If something is more effective they can shift dollars and efforts to that. They can provide reports to the Regents at their convenience now that the campaign has launched. Regarding polling, they have conducted an image a perception study for the past 12 years, generally three years apart as it costs \$40-50K per poll. It is a state-wide study always conducted by Research and Polling and they use similar questions adjusted for changes in technology and media. They hope to do the study again in two years and would hopefully see a shift in image and perception. There was a recommendation in the last study by Research and Polling that a marketing and branding initiative would probably help increase image and perception.

Regent Clifford would like a copy of the last version of the study provided to the Board.

Regent Berryman thanked the Alumni Association for investing in the branding initiative because it is sensible as they are able to generate revenues using the University brand and contributes back which is appreciated. The \$1 million from the Regents Endowment is a one-time funding source, the BLT will review moving forward and metrics on increased enrollment.

Regent Lee inquired who Research and Polling is. Ms. Blair responded Research and Polling is a local company, Brian Sanderoff is the principle, and they have a price agreement with them. They have used them consistently and the same research instrument to be apples to apples. They also poll about higher education in general state-wide. With the price agreement it allows any unit to access that at the rate that has been determined through the RFP process.

A pie chart was presented that reflected the UCAM budget for the current fiscal year; approximately 27% or \$828K for salaries, 7% or \$205K for operating expenses, 64% or \$1.9 million for integrated marketing communications, and 2% or \$78K for web services. That included the Regents \$1 million investment and \$150 from Alumni Association.

Another chart illustrated how they were spending the integrated marketing communication dollars on areas such as the agency contract with 160over90, digital advertising, photography, video, production, including the 30 and 60 second videos which can be found on [define.unm.edu](http://define.unm.edu); they have had great success with that and will continue to push it out. They have over 95,000 views on Facebook. That will provide metrics on if they engage with other areas of the University. The video will play at graduation and on ESPN during the NM Bowl on national television. Athletics is a great opportunity to showcase the University branding initiatives.

Slides were presented on HSC Communications and Marketing, and since F&F they have met twice to review ways they can begin to consolidate and work more closely together to have a parallel system.

Regent Lee stated this is not supposed to be parallel, but one system recognizing unique needs that are within HSC.

Ms. Lovell stated they have prepared the presentation and they did begin a similar branding campaign in 2013. Even though this budget begins with FY 17 actuals, they have been spending quite a bit on marketing and branding for a few years. The branding also included the health system and Cancer Center spending for health care marketing, which was all managed through the HSC Communications and Marketing but is completely clinical funding at the discretion of clinicians and Cancer Center Director.

Regent Lee stated the HSC started a marketing campaign in 2013, which the HSC Board did approve, and it was renewed again for two years when she was chair, but the 2013 campaign has spent \$11.6 million. Voting for a contract without having the breakout and the data was bad on her part as she should have gone into Banner and pulled receipts, which subsequently she has done, and they have spent \$11.6 million in a marketing over a period of 24 months. She would expect at spending \$11.6 million they would get return on investment if not more regardless of where the money comes from. This is precisely the reason the full Board of Regents needs to have more oversight.

Regent Quillen stated they did approve the branding campaign in 2013; it went to the full Board of Regents at every vote. They did this with full awareness and many concerns, such as the mill levy. The increase in 2015 and 2016 were due to getting the word out and they received a \$15 million grant in telemedicine. It always came through the full Board and with full knowledge they approved it.

Regent Lee stated in 2014 when they approved this, it was only \$466K, now it is \$11 million; that is not thoughtful. That is her issue. Unless you know the answer to the question you are asking, you are not going to get full disclosure, and that has to end.

Regent Clifford expressed concern and would like a legal review and an Internal Audit review to ensure none of the money was used inappropriately to lobby in relation to the mill levy. In the six months he has been here, they have repeatedly asked questions about the budget and the figures have never come close to this amount. That is why he was shocked to see these numbers, they were ten times the budget they were told. That is a real failure of communication.

Regent Lee stated they have called for an audit of the contract.

Regent Hosmer commented to follow up on the original vote as a participant both on HSC Board and Regents; he can address the fact that it was a thoughtful, careful, and authoritative decision. He cannot address the communications since then, but at the time it appeared to him to be fully warranted. The results since then show that.

Regent Doughty inquired if any of the money for the HSC branding initiative used as a direct campaign to push for the passage of the mill levy. Ms. Lovell responded no money was used as a direct campaign for the mill levy, and there was no mention of the mill levy in any of the commercials. With the branding they wanted to make sure the community knew their investment is good for the county and whole state. It is not right of them to say the branding initiative was not linked to the mill levy when \$93 million per year comes from that. It is needed to run a Level One Trauma Center. Everything that has been spent is reflected in Banner.

Regent Lee stated that when they were discussing a Main Campus branding initiative no one stated that there was a contract with Global Strategies. One receipt showed they spent \$800K for a polling to be done out of a New York firm when they have a price agreement with a local firm. They need to know what everyone is doing. They need to get the story out, but it needs to be a University-wide message.

Dr. Roth stated there has been a history of poor communication. To support what Regent Quillen mentioned earlier, all expenditures were done in the open. To imply they went through back doors or acted inappropriately is false. To imply that is offensive. Dr. Roth takes full responsibility for what the HSC does and the Marketing budget and the plan was one which he approved. When they think about costs, the public does spend a lot of their funds at the HSC. It was his judgment that it was appropriate that the public be informed as to what they are getting for their dollars. Certainly the implications and secondary impact would be associated with the mill levy because they are asking the voters to continue the use of public funds to support UNMH. None of it was used for political campaigns or campaigning for the mill levy, but part of the strategy was to inform the public of the services of the Hospital. They can do a better job of communications with clear requests from Regents to HSC. They will be forthcoming and transparent. He welcomes the audit. To imply staff and HSC had done something inappropriate, he takes offense to and full responsibility.

Regent Clifford stated they were told numbers that were less than \$500K per year for the budget which was misleading. They were never told expenditures were \$5 million per year. The information needs to be broad, not narrow.

Regent Lee stated they will move forward with the realignment of Communications and Marketing.

Regent Berryman stated there is an opportunity since they all fall under the same UNM umbrella. The new commercial highlights the entire University, including HSC. There should be no need, despite differences, to have two separate cash outflows for branding. It should be done together to achieve economies of scale.

Regent Hosmer stated with regard to communications issues, they need to deal with that directly, not necessarily at a full Board meeting.

Ms. Blair briefly reviewed the final slides on the HSC Communications and Marketing budget. They are completing the work in progress contracted with Global Strategies and will work to achieve economies of scale in regard to future vendor contracts for agency services. They will create a consolidated, comprehensive plan for branding and marketing. The UCAM office will report on the metrics to the Regents.

**The motion to approve UCAM realignment as presented passed by a unanimous vote (1<sup>st</sup> Doughty; 2<sup>nd</sup> Berryman).**

Monthly Consolidated Financial Report (information item)

Liz Metzger presented the financial report as of ending October 31 that was provided in the agenda eBook. The report has a new format that includes highlights of main pieces of information rather than delving into the details. It was talked about in F&F Committee that this report, falling in the middle of the semester, and once the budget office presented the budget adjustment request (BAR) today, this report will reflect the adjusted budget line items for the State Appropriations. Additionally, Main Campus is submitting a revision on the tuition and fees budget which the budget office also addressed in today's presentation, and that will be seen beginning of the December report, once those numbers to go HED and are incorporated into UNM's financial report. Regarding the hiring freeze discussed and was put into place September 21, it will take some time before the savings from that will be reflected in the financials. The report does show a breakout in the results of Clinical Operations, between the School of Medicine and the Hospital. As of this month end, the School of Medicine (SOM) is in the red about \$2.5 million and the hospital is almost at breakeven. The reasons for the SOM deficit is it just completed the first quarter of the fiscal and has new providers on board, and it takes a while for that to get up and running. Also, there were reduction in payments from Medicaid and some ACA reductions affecting that. The HSC continues to work on the issues and will report details more fully as they become known. Regarding Athletics, the Regents requested periodic updates on the financials. There will be an approximate \$1.2 million in additional revenue this fiscal year as a result of the ESPN televised football games, revenue not included in the original budget. There are also savings in unfilled positions and the hiring freeze. The New Mexico Bowl does not bring additional revenue as the financials are about breakeven.

Regent Clifford commented on what was also discussed in committee, that of tracking where the University financials are relative to budget. This is hard to follow in the current report, for example tuition revenue, those dollars come in during the Fall; however, the revenue is budgeted to cover the whole year. There is a better way to report this, perhaps in an additional summary report. Regarding the Athletic budget, the year-to-date results are still a fairly strong negative. Some of the additional revenues are maybe not reflected in the October 31 financials? David Harris responded and confirmed the T.V. revenues have not been booked yet. Ms. Metzger clarified that was November activity. EVP Harris commented that maybe what Regent Clifford would prefer is a budgetary status report, rather than an accounting report, to give a better idea about trends and predictions for the future. Regent Clifford confirmed a quarterly vs. monthly report of that type may be adequate.

State Revenue Estimates (information item)

David Harris presented the item, a December 2016 report prepared by the Legislative Finance Committee on State revenue projections that was included in the agenda eBook. EVP Harris began with comments on where the University is with regard to its budget. The University knew in August, about one month into the fiscal year, the State was entering into a difficult period with respect to revenues and appropriations would be reduced. Administration chose, on a consensus-building effort, to focus budget reduction efforts on freezing hiring. The data that Norma Allen presented earlier reflects about 40% of the fiscal year, the cuts in hard reductions meet about 70% of the goal. Additionally, as reported, about \$1 million in lost tuition and fees needed to be made up, due to a dip in enrollment. If one looks at the overall, and with the idea of not committing 'balances' or non-recurring monies to support a recurring budget, the hiring freeze is working. The prediction was to be in balance by the end of the fiscal year, June 30. With respect to the State's revenue estimate and a consensus revenue estimating process where the tax department and the DFA (Dept. of Finance and Administration) and the LFC commit their economists to doing an in depth analysis of the revenue output, on December 5<sup>th</sup>, the report was presented depicting where the State is in the current fiscal year and where it will likely be for the next fiscal year. This will

be the information the Legislature will use in producing House Bill 2. If one translates the State's economy as reflected in the revenue estimate, the situation does not look healthy. Almost every revenue source is underperforming right now. The appetite for spending in programs like public education where the revenue is 100% derived from the State, or Medicaid which is a mandatory Federal program, those expenditure projections have to be met with real money. Looking at the financial report, there will not be reserves left to support contingencies. Reserve balances is something the bond rating agencies look at very carefully. The University is in a significantly better position in that regard. Rebuilding reserves and sustaining mandatory spending will be what is needed for the State. The outlook for the appropriations process this year does not look particularly good. EVP Harris asked Regent Clifford if he wanted to add anything.

Regent Clifford, referred to the table at the bottom of the first page. The State was able to add money at the end of FY16, but there is a negative \$130.8 adjustment to FY17, roughly 2% of all revenues. The table shows revenue went down approximately 8% in FY16 and 2% for FY17, so a 10% decline over two years is really a recession. It is not primarily oil and gas direct revenues, it's the more broad revenue base of the State like gross receipts tax and personal income tax. And corporate income tax receipts have been very weak. Page 18 of the handout at the bottom of the table shows reserves as a percent of recurring appropriations estimated as negative -1.1% of total spending for FY2017. Further up in the same table shows a \$200 million sweep of reserves, non-recurring revenues along with further down showing \$206 million of budget reductions. That's \$400 million of amendments to the FY2017 budget that have already been taken, and there is still a deficit of roughly \$70 million. Additional steps will need to be made in FY2017 and then going forward to FY2018, there shows an estimated negative -\$93 million in new money appropriations. There also being no reserve balances in those years. So this shows there will need to be spending reductions the next couple of years, maybe on the order of half of what will be seen this year, and this even if there are any revenue enhancements. Higher Education gets targeted because it is not as much in the mandatory category as other programs are. That's the budget environment we are working in. UNM needs to continue communicating with lawmakers about the cuts that have already happened so they can see the efforts being made here at the University.

#### UNM Rating Agency Update (information item)

Vahid Staples personated the information on the UNM Rating Agency report by Moody's Investor Services. On October 25, 2016, Moody's downgraded the State of NM from Aaa to Aa1. After that State action the agency initiated a review of the University because we do have State appropriation impact as well as Medicaid reimbursements at the Hospital. On October 31, 2016 Moody's did confirm the Aa2 rating, which is a strong rating, but they changed their outlook to negative. A fair amount of higher education nationwide has been put on a negative watch due to nationwide trends in enrollment and revenue pictures similar to ours. When confirming their rating, Moody's noted the following strengths: the University is a very large employer, an integral research institution, and a big economic development engine for the City, the County, and the whole State of New Mexico. It also noted the University has a strong matriculation rate over 40%, and serves a critical role as the State's only Level One Trauma Center and safety net provider. Moody's noted challenges, including the economy in NM has not fully recovered which will have pressure on State operating support and Medicaid reimbursement, modest enrollment declines and weak demographic projections for the State high school graduates, and cash flow margins at 7-9% which they consider on the weaker side. Those have been in line with what the University has had historically. Moody's has acknowledged UNM has been extremely effective in administering prior year reductions and has also done well when they have had tuition and fee revenue shortfalls. The Administration will continue to keep the Regents informed with discussions with Moody's and rating agencies as they move into the Legislative Session and get a clearer State revenue picture.

Regent Clifford encouraged the Regents to read the entire report because it provides insight into how the financial markets view the University's performance. They identify several important metrics that he suggests be added to the dashboard mentioned earlier. Several things need to be updated. Regent Clifford encouraged staff to work with Moody's analysts to ensure information is up to date. He noted from the report, the debt level of the University has declined significantly over the last five years, which is important to manage obligations, due to refinancing many of their bonds. On the quarterly budget report he would like those metrics added to be monitored as well as days-cash-on-hand.

Mr. Staples stated what gives the rating agencies comfort is consistency. They can be within the range, but as long as they are staying within their historic parameters that is good for their rating.

#### ACADEMIC/STUDENT AFFAIRS & RESEARCH COMMITTEE (ASAR)

##### Approval of Fall Degree Candidates (all UNM)

Regent Brad Hosmer referred to the summary list of degrees to be awarded: 1,557 bachelor's; 365 Master's; 91 Doctoral or Terminal; and 140 Associate's, and asked Faculty Senate President, Pamela Pyle, to present any other information and answer questions. Ms. Pyle said the number of degrees to be awarded is slightly higher than last year.

Regent Doughty commented this agenda item is the number one reason why the University and everyone is here and added he is proud of the students receiving their degrees.

**The motion to approve the Fall degree candidates for all of UNM passed by a vote of 6-0; Student Regent Berryman recused himself from the vote due to his name being on the list of graduates (1<sup>st</sup> Hosmer; 2<sup>nd</sup> Doughty).**

#### ASUNM Constitutional Amendments

Gabriel Gallegos, director of communications for ASUNM, presented the item, some revisions to the ASUNM Constitution. The constitution is the primary guiding structure for the organization and any amendments must be ultimately approved by the Board of Regents. The first amendment would split the ASUNM spring elections into two, the vice president and president elections separate from senate elections. In the past, there have been teams, for example president and senators running and this would even out the playing field and enhance diversity and hopefully drive higher participation. This amendment passed by 2/3 of a vote of ASUNM senators and majority of the student body voted for it also. The second amendment updates the constitution to current practice. The budget process used to be concurrent with the election process, but the two processes now occur separately. Amendment 2 will remove outdated language to reflect this change. Additionally, it clarifies that 10 senatorial candidates will be elected in the spring senatorial general election not in any other election that may occur in the spring semester.

Regent Doughty commented that consolidation of elections is more the norm these days to encourage higher voter turnout, and he asked what data the students studied to come to the decision to hold more than one election. Mr. Gallegos said that some other universities have gone this direction and it is ASUNM's hope that trying something new and more innovative will drive voter turnout to be greater than the recent numbers of around 1,600 student participation. Regent Doughty inquired, what if the voter participation drops. Mr. Gallegos responded this change will be evaluated in the spring semester on whether it works.

Regent Quillen commented that a list of universities who have gone this direction was presented in committee.

Regent Lee inquired the cost of the change in going to two elections. Mr. Gallegos responded there will also be a transition to online voting which he said the hope is it will offset any additional costs for holding an additional election.

**The motion to approve the ASUNM constitutional amendments, with the request that ASUNM give feedback to the Regents regarding the new election process after the March elections, passed by a unanimous vote (1<sup>st</sup> Berryman; 2<sup>nd</sup> Doughty).**

#### Approval of Honorary Degree Candidates

(Honorary Degree Candidates were presented by Dr. Jennifer Thacher to the Regents during the closed session luncheon. Discussion took place in closed session in order to maintain confidentiality, as honorary degree candidates' names are kept confidential during the approval process, and until the recipients are informed and have subsequently accepted the degree.)

#### Strategic Retention Update (information item)

Regent Hosmer introduced the item and said the update will give information on the kind of efforts that have gone into achieving some of the student success results the University is seeing with respect to retention and graduation rates. Terry Babbitt and Corine Gonzales presented the item and referred to slides made available in the agenda eBook. Peer retention rates are studied, and UNM has finally reached a goal of 80% retention, same as University of Arizona and University of Kansas. Six years ago, UNM was at 74%. Ms. Gonzales is Enrollment Management's strategic programs director and does much of the work focusing on interacting with students to ensure success, and she discussed examples of strategic efforts made in assisting students, for example at pre-entry to UNM, and during first and second semester and summer prior to 3rd semester. She also discussed the communication channels and networks at UNM to reach students, such as calling campaigns, email blasts, text messages through various student success organizations, and postcard mailings. Dr. Babbitt addressed the predictive analytics to understand which students may have more challenges, and this directs intervention efforts. Performance goals are being met and some are beyond monetary valuation. From 2010 to now, there has been a 200 student increase in retention. The benefit to a student who stays in school and does not drop out with student debt is of significant value that cannot be measured monetarily. The 80% retention rate enhances recruitment efforts. Referring to a graph on demographics showing inherent variables that are important on the entry characteristics, eg. not first generation HE student/no pell-grant; 1<sup>st</sup> generation student; pell-grant students, there is an achievement gap. UNM is different in this area from its peer institutions. The population of New Mexicans is not increasing, but student demographics continue to shift. To maintain enrollment at the University, UNM has to be ahead of what the demographics will be and implement programs to address students' needs. UNM has been addressing that for many years already. Enrollment

management also studies where students go when they leave UNM early, some of them go to other institutions and end up graduating on time.

Regent Lee inquired the retention rates of students in the Honors College. Dr. Babbitt responded above 90%.

#### PUBLIC COMMENT

Selina Villa and [Ahtra] Chavez spoke about a recent incident in the LGBTQ resource center; spoke in support of the center's director Alma Rosa Silva-Banuelos; commented on the need to gather data and metrics and funding for the effort; and requested on behalf of the center, to move the center under a different department.

Greg Martin, professor of English and Director for the BA/MD program, spoke in favor of UNM becoming a sanctuary campus for undocumented students.

Nick Estes commented on the state budget and primarily Medicaid financing. The State of NM could draw down at least \$100 million in federal money with no match by the State whatsoever if some steps are taken through the Medicaid program, specifically through Medicaid provider assessments. Practically every other state does this, NM does this a little, but could do more in the area of \$50-100 million without cost to taxpayers. Mr. Estes spoke about some of the details, and said this process will work until Medicaid is block granted. He requested the Regents talk with the Governor's office. Regent Clifford commented, not directly on Mr. Estes comments, but added that potential Medicaid programs changes at the federal level are a major budget concern for our entity and should be monitored very closely going forward.

#### ADVISORS' REPORTS

Daniel Perea, Parent Association President, reported on the association's recent activities and plans and presented the Regents with a gift of this year's ornament which depicts the Maxwell Museum. Mr. Perea thanked the Regents for all of their support.

Glenda Lewis, GPSA President, reported on GPSA recent activities. SFRB recommendations have been passed on to the Budget Leadership Team. GPSA is gearing up for the Legislative Session. Ms. Lewis congratulated Student Regent Berryman and thanked him for his service as a regent, commended him for always being prepared and having the interests of students at the forefront.

Pamela Pyle, Faculty Senate President, reported on two open, formal conversations that occurred at the November meeting of the Faculty Senate. At the request of Regents Hosmer and Lee to take this issue to the faculty, the Seal was one of the items discussed. At the meeting, after discussion, a vote was taken to change the seal, and it was voted unanimously with one abstention to change the seal, not only to reflect the current status of the UNM population but to also honor the wishes of the students. The other issue, the pending association with the CIA as a signature school has raised concerns with many of the faculty who do work in countries where often the historic role of the CIA may be the prevailing perception there. There is a concern for the viability of research and for the safety of faculty and students who do work in those countries. The MOU that was executed was done so without consultation with the departments that are affected. All departments are affected by such an alliance as that.

Danalle Callan, Staff Council President, reported staff have been busy with end of semester work with students and faculty. Staff Council celebrated the Gerald May awards ceremony for outstanding staff earlier in the week. There was a very good turnout from UNM leadership. Staff Council is also involved in Happy Tails, an animal humane support and donation activity. Staff morale is still at a low level; the presidential transition and the stalled FLSA implementation due to its judicial impact have further contributed to staff concerns and uncertainty. Ms. Callan asked for leadership transparency in decision making and inclusivity of staff as much as possible as UNM moves into the 2017 year.

Gabriel Gallegos, ASUNM representative, reported on recent and upcoming ASUNM activities.

Kathy Guimond, Retiree Association President, spoke on behalf of over 1,000 retirees and wished the regents and everyone a very prosperous and safe holiday.

#### VOTE TO CLOSE THE MEETING AND PROCEED IN EXECUTIVE SESSION

**The vote to close the meeting was unanimous (1<sup>st</sup> Lee; 2<sup>nd</sup> Berryman.) The meeting closed at 12:01 PM. Regent Fortner joined the closed session telephonically until 12:37 PM.**

1. Discussion and determination where appropriate of the purchase, acquisition or disposal of real property as permitted by Section 10-15-1.H(8), NMSA (1978)
2. Discussion and decision, if appropriate, of strategic or long-range plans of public hospitals as permitted by Section 10-15-1.H(9), NMSA (1978)

- 3. Discussion and determination where appropriate of threatened or pending litigation as permitted by Section 10-15-1.H(7), NMSA (1978)
- 4. Discussion and determination where appropriate of limited personnel matters as permitted by Section 10-15-1 (2), NMSA (1978)
- 5. Discussion of personally identifiable information about any individual student as permitted by Section 10-15-1.H(4), NMSA (1978)

**During closed session: The honorary degree candidates were presented and the motion to approve the candidates as presented by the chair of the Honorary Degree Committee passed by a unanimous vote (1<sup>st</sup> Hosmer; 2<sup>nd</sup> Berryman). Regent Fortner was joining the meeting via Facetime at the time of the Honorary Degree Candidate vote.**

**During Closed Session: The Board of Regents voted 5-1-1 to not grant an appeal of the decision of the OEO, case #I-2015-09-32; Regent Fortner voted opposed; Regent Clifford abstained (1<sup>st</sup> Quillen; 2<sup>nd</sup> Hosmer).**

**During Closed Session: The Board of Regents voted 5-1 to accept the decision of the OEO, case #I-2016-04-25. Regent Clifford voted opposed. Regent Fortner was not present to vote.** (this item was follow-up to the November 15 Board of Regents' decision in closed session to review the record).

VOTE TO RE-OPEN THE MEETING

The vote to re-open the meeting was unanimous (1<sup>st</sup> Quillen; 2<sup>nd</sup> Hosmer). Regent Fortner was no longer joining the meeting. The meeting re-opened at 1:55 PM; the doors to the Cherry-Silver room were opened; Regent Doughty certified that only those matters described in the executive session agenda were discussed during closed session and there was formal action taken upon two items.

ADJOURN

**The motion to adjourn the meeting passed by unanimously. The meeting adjourned at 1:56 PM.**

**Approved:**

**Attest:**

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**Robert M. Doughty III, President**

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**Jack L. Fortner, Secretary/Treasurer**

President's Administrative Report

*will be presented at the meeting*

**Information Item**  
**LFC/DFA FY18 Appropriation**  
**Recommendations**

*Supporting materials may be made available  
before or during the meeting*

# CONSENT DOCKET

*(Action items on Regent’s Committee agendas may move to the Board of Regents’ agenda as consent items; the below items are placed on the consent docket by the respective committee chairs; items on the consent docket received unanimous approval in committee; per Regents’ Policy 1.2, “Any member of the Board of Regents shall have the right upon request to remove any item from the Board’s consent agenda and place the item on the Board’s regular agenda for discussion.”)*

- 1. Health Sciences Center Committee (HSCC) Consent Item, *Regent Marron Lee, Chair*
  - a. Approval of the Nomination of Dr. Aimee Smidt to the UNM Hospital Board of Trustees .....C-1
  - b. Approval of the UNM Hospitals Contract with Covidien Sales, LLC, a Medtronic Company.....C-2  
*Steve McKernan, CEO UNM Hospitals*
  
- 2. Finance and Facilities Committee (F&F) Consent Item, *Regent Marron Lee, Chair*
  - a. Approval of Disposition of Surplus Property for Main Campus for December 2016 .....C-3  
*Bruce Cherrin, Chief Procurement Officer*



THE UNIVERSITY OF NEW MEXICO ♦ HEALTH SCIENCES CENTER

**UNM HOSPITALS**

## MEMORANDUM

January 3, 2017

**TO:** HSC Committee

**FROM:** Stephen McKernan  
CEO, UNM Hospitals *SM*

**SUBJECT:** Board Appointment, UNM Hospital Board of Trustees

Dr. Aimee C. Smidt has been nominated to the UNM Hospital Board of Trustees, in her position as former Chief of Staff. The UNMH Board of Trustees requests the approval of the HSC Committee with final approval from the UNM Board of Regents. Dr. Smidt's resume is attached for review.

# Aimee Caroline Smidt, M.D.

UNM Department of Dermatology  
1021 Medical Arts Avenue NE, Albuquerque NM 87131  
Office 505.272.6000; Cell 505.235.1641  
asmidt@salud.unm.edu, [acsmidt@hotmail.com](mailto:acsmidt@hotmail.com)

6405 Avenida la Cuchilla NW  
Los Ranchos de Albuquerque NM 87107  
License # MD2009-0548 7/31/2009

## Certifications

American Board of Dermatology, Subspecialty in Pediatric Dermatology Exam, Passed, Oct 2010  
American Board of Dermatology General Certifying Exam, passed, Oct 2005  
USMLE, Passed (Step I, II, III)  
Injectable Fillers Training Course (Dr. Amy Forman Taub), June 2006, June 2007, June 2008

## Education

**Lumenis UltraPulse** Encore CO2 Total Fx LASER training, September 26, 2014  
**LEAN training**, UNM HSC, Office of Continuing Medical Education, October 26, 2012  
**UNM Medical Leadership Academy**, September 2012-2014  
**AAD Leadership Forum**, Paradise Valley, AZ April 8-10, 2011

**Fellowship, Pediatric Dermatology, Northwestern University**, Chicago, IL, 2008- 2009  
**Residency, Dermatology, Northwestern University**, Chicago, IL, 2005- 2008  
**Chief Resident 2007-2008**

**Residency, Pediatrics, Baylor College of Medicine**, Houston, TX, 2002-2005

Elective experience: Pediatric HIV in Botswana; Primary Care in Kayenta, AZ (Navajo)

**M.D., Columbia University College of Physicians and Surgeons**, New York, NY, 1998- 2002

Indian Health Service electives in Shiprock, NM (Navajo), and Whiteriver, AZ (Apache)

**B.A., Princeton University**, Princeton, NJ, 1994- 1998

Dept. of Art and Archaeology, Certificate in European Cultural Studies

## Employment History

**University of New Mexico School of Medicine**, Albuquerque, NM

Assistant Professor, Departments of Dermatology and Pediatrics, Sept 2009 – June 2016

Associate Professor, Departments of Dermatology and Pediatrics, July 2016 – present

Interim Chair, Department of Dermatology, July 2016 - present

Medical Director, UNMH Dermatology Clinic, Jan 2011 - present

## Professional Recognition, Honors, Awards

40 Under Forty Honoree, *Albuquerque Business First* (May 2016)

“Top Doc” Award, Dermatology, *Albuquerque the Magazine* (March 2015)

Chief of Staff, UNM Hospital, November 2014 – December 2016

Nominated for Chief of Staff for UNM Hospital (September 2014)

Nominated for a UNMH Stellar Consultant Award (February 2013)

Letter of appreciation, Bryan Upham, MD, MSCE, FAAP, Pediatric Emergency Medicine, after speaking at the “Meet the Specialist” conference held at Emergency Medicine. (January 2013)

Elected as Outstanding Faculty to Alpha Omega Alpha, Honorary membership (Jan 2012), Recognized at UNM School of Medicine AOA 2012 spring banquet

First place winner, Research presentation, A Midwest Regional Conference and Competition for Dermatology Residents and Fellows: Development and testing of Skindex-Teen: a quality-of-life instrument for Adolescents with skin disease (April 2008)

## Memberships in Professional Societies

### Professional Societies, Committees, and Volunteer Work

International Society for the Study of Vascular Anomalies (ISSVA), Member, May 2014 - present  
Society for Pediatric Dermatology, Member, 2005 - present  
Chair, External Marketing Committee, April 2013 – July 2015  
Cases of the Year Committee, March 2013 - November 2014, July 2015 - present  
Judge, Poster Committee, July 2010  
Past member, Junior Faculty Fellows Committee and Nominating Committee  
Women's Dermatologic Society, Member, 2005 - 2016  
Academic Dermatologists Committee, March 2013 – March 2016  
Families Play Safe in the Sun campaign, July 2006  
Alpha Omega Alpha, UNM School of Medicine Chapter, Honorary member, Spring 2012 – present  
Chapter Councilor, Jan 2014 – September 2016  
American Academy of Dermatology, Member 2005 - present  
New Mexico Dermatological Society, Member, 2010 - present  
Lecturer Selection Committee Leader, April 2012 - present  
Lecturer Selection Committee, Oct 2011-April 2012  
Southwest Dermatology Society, President, 2011 – 2013  
Chicago Dermatologic Society, Member, 2005-2009  
Baylor Pediatric Alumni Association  
Association of the Alumni, Columbia University College of Physicians and Surgeons  
Princeton Club of New Mexico, Alumni interviewer, 2009 - 2014

### Other Extramural Professional Activities

#### Journal Reviewer

*Pediatric Dermatology*, Dec 2007- present

*JAAD*, Sept 2013 - present

**Writer/contributor**, Elsevier Inc., Update topics to accompany *Hurwitz Clinical Pediatric Dermatology*, 3<sup>rd</sup> Edition, Dr. Amy S. Paller and Dr. Anthony J. Mancini. Oct 2005-Aug 2009

**Resident Project**, Development and validation of Skindex-Teen: a quality-of-life instrument for adolescents with skin disease, Dr. Sarah L. Chamlin, PI. Nov 2005-June 2009

**Book reviewer/medical consultant**. McGraw-Hill Medical Publishing. Feb 2008

**Writer/medical consultant**, Publications International, Ltd., *Skin Sense*. Oct 2007

**Research Assistant**, Dr. Mehmet Oz, Director, Cardiovascular Institute, Dept. of Surgery, Columbia University College of Physicians and Surgeons, New York, NY, Summer 1997

### Invited Lectures

Smidt AC. 25<sup>th</sup> Annual Amazing Newborn Conference, "Birthmarks: When to worry, when to reassure."(November 4, 2016)

Smidt AC. UNM Department of Pediatrics, General Pediatric Division Retreat. "Pediatric dermatology primer." (September 10, 2016)

Smidt, AC. 59<sup>th</sup> Annual New Mexico Academy of Family Physicians conference, "Acne algorithms for diagnosis and treatment,"(July 30, 2016)

Smidt AC. University of Utah Department of Dermatology Grand Rounds, "Dermatology take home lessons

from a multidisciplinary vascular anomalies clinic.” (March 17, 2016)

Chamlin SC, Haggstrom A, Smidt A. American Academy of Dermatology Annual Meeting Vascular Anomalies Symposium S02: Classification, Clinical Challenges and Management Update, “Quality of life in vascular anomalies.” (March 3, 2016)

Smidt AC. UMM Department of Pediatrics Grand Rounds, “Rash decisions: practical everyday dermatology for the pediatrician.” (February 18, 2016)

Smidt AC. Adolescent Health Initiative, Envision New Mexico, “Acne algorithms for diagnosis and treatment,” (February 3, 2016)

Smidt AC. 52<sup>nd</sup> Annual Teaching Conference: Pediatrics for the Practitioner, UT Health Science Center, San Antonio, TX. “The newborn with a rash.” (June 7, 2015)

Smidt AC. 52<sup>nd</sup> Annual Teaching Conference: Pediatrics for the Practitioner, UT Health Science Center, San Antonio, TX. “Pediatric dermatology primer.” (June 6, 2015)

Smidt AC. 52<sup>nd</sup> Annual Teaching Conference: Pediatrics for the Practitioner, UT Health Science Center, San Antonio, TX. “Algorithms for acne.” (June 6, 2015)

Smidt AC. UNM Department of Family Medicine Resident School, “Adolescent angst: diagnostic and treatment algorithms for acne.” (February 11, 2015)

Smidt AC. New Mexico Pediatric Society 2014 Annual Wylder Lecture Series, “Hemangiomas of infancy: an update on diagnosis and management.” (June 1, 2014)

Smidt AC. 2014 Presbyterian Pediatric Update: Pediatrics for Primary Care Providers, “Adolescent angst: Diagnostic and treatment algorithms for acne.” (May 2, 2014)

Smidt AC. 22<sup>nd</sup> Annual Amazing Newborn Conference, “Pustules, vesicles, erosions in the newborn: it’s not Always infectious, but sometimes it is.” (November 8, 2013)

Smidt AC. UNM Department of Pediatrics Grand Rounds: “Early lessons from a multidisciplinary vascular Lesions clinic (March 14, 2013)

Smidt AC. UNM Division of Pediatric Emergency Medicine meeting, “Dermatology in the Pediatric ER,” (January 2013)

Smidt AC. UNM Department of Pediatrics Grand Rounds: “Pediatric mast cell skin disorders” (Apr 2012)

Smidt AC. 2011 Presbyterian Pediatric Update: Pediatrics for Primary Care Providers: “Atopic dermatitis and Food allergy: myths and realities” (Apr 2011)

Smidt AC. Presbyterian Health Services Pediatric Division lecture series: “Atopic dermatitis and food allergy: Myths and realities” (Nov 2010)

Smidt AC. New Mexico Academy of Physician Assistants Fall Primary Care Update: “What’s new in childhood rashes” (Sept 2010)

Smidt AC. New Mexico Pediatric Society, 32<sup>nd</sup> Annual Wylder Lectures: “Pediatric Dermatology, a primer” (June 2010)

Smidt AC. UNM Department of Pediatrics, Division of Neonatology, Hot Topics Lecture: “Erythroderma, the evaluation of the red scaly baby” (April 2010)

Smidt AC. UNM Department of Family Medicine Grand Rounds: “Pediatric Dermatology” (Oct 2009)

Smidt AC. UNM Department of Dermatology Grand Rounds: “Vascular Anomalies 101” (Sept 2008)

Smidt AC. The American Skin Association/New York Academy of Medicine Professional Development Training Workshop for Nurses, Chicago Public Schools: “Common skin conditions in childhood and adolescence” (Feb 2008)

Hawrot AC. Northwestern University Department of Dermatology Grand Rounds. “Atopic dermatitis and food allergy: myths and realities” (Dec 2006)

### Meeting Sessions Chaired

Case of the Year presentations, Parts 1 and 2, Society for Pediatric Dermatology 40<sup>th</sup> Annual Meeting, July 2014

Women’s Dermatologic Society Academic Dermatologists Luncheon, Society for Investigative Dermatology Annual Meeting, May 2014

Case of the Year presentations, Society for Pediatric Dermatology Pre-AAD Meeting, March 2014

UNM HSC Primary Care Leadership Team Meeting, UNMH Dermatology Services, October 2012

Optimizing your career in pediatric dermatology, 38<sup>th</sup> Annual meeting, Society for Pediatric Dermatology Conference, Monterey, CA Friday, July 13, 2012, 2:30 – 4:30 PM

### Community Service

Smidt, AC. AAD Shade Structure Grant Award (Feb 2016)

Smidt AC. AAD Shade Structure application support for Albuquerque Christ Lutheran Church, (Jan 2013)

Smidt AC. Northwestern University Take Our Daughters to Work Day: "The skin you're in." (Apr 2008)

## Scholarly achievements

### Books authored or co-authored

### Books edited or co-edited

### Original research or scholarly articles in refereed journals

- Seamens A, Taussig B, Penziner K, **Smidt A**, Lawley LP. Exploring the prevalence of learning disabilities in children with cutaneous mastocytosis: A pilot cohort study. *J Am Acad Dermatol*. 2016 Dec; 75(6): 1254–1255.
- Trost J, Buckley C, **Smidt AC**. Long –pulsed Neodymium-doped yttrium aluminum garnet laser for glomuvenous malformations in adolescents. *Pediatr Dermatol* 2015 Sep; 32(5) e217-8 doi:10.1111.
- Johnson EF, Hawkins DM, Gifford LK, **Smidt AC**. Recurrent oral and genital ulcers in an infant: neonatal presentation of pediatric Behçet disease. *Pediatr Dermatol*. 2015 Sept;32 (5) e 714-7.
- Berry RS, Johnson EF, **Smidt AC**. Areolar dermal nodule: challenge. *Am J Dermatopathol*. 2014 Sept;36(9):744-5.
- Berry RS, Johnson EF, **Smidt AC**. Areolar dermal nodule: answer. *Am J Dermatopathol*. 2014 Sept;36(9), 754-5.
- Berry T, Walsh E, Berry R, Desantis E, **Smidt AC**. Subacute cutaneous lupus erythematosus presenting in childhood: a case report and review of the literature. *Pediatr Dermatol*. 2014 May;31(3):368-72.
- Brown MM, Walsh EJ, Yu L, **Smidt AC**. Progressive scalp plaque in a girl with tuberous sclerosis. *Pediatr Dermatol*, 2014 Mar-Apr;31(2):249-50.
- Berry T, Torrello A, Strand M, **Smidt AC**. Localized mastocytosis of the vulva. *Pediatr Dermatol*. 2014 Jan-Feb; 31(1):111-3.
- Johnson EF, **Smidt AC**. Not just a diaper rash: LUMBAR syndrome. *J Pediatr* 2014 Jan;164(1):208-9.
- Johnson EF, Jacobs MH, **Smidt AC**, Annular vesiculobullous eruption in a healthy young man. *JAMA*. 2013 Dec 18;310(23):2559-60.
- Berry RS, Berry TM, Haney M, Shetty A, Yu L, **Smidt AC**. Congenital dermatofibrosarcoma with associated hypertrichosis, *J Cutan Patbol*, 2013 Dec; 340(12) 990-2.
- Johnson E, Lau E, **Smidt AC** Picture of the month. Allergic contact dermatitis to nickel-containing dental work, *JAMA Pediatr*, 2013 Jun;167(6):581-2.
- Lau EG, Stepenaskie S, Moran R, Quinn R, Prasad M, **Smidt AC**. Blueberry muffin rash and large right thigh mass: a unique presentation of Langerhans cell histiocytosis. *Dermatol Online J*, 2013 Jun 15;19(6):18568.
- Brittain P, Walsh, EJ, **Smidt AC**. Blotchy baby: a case of phakomatosis pigmentovascularis. *J Pediatr*. 2013 Jun;162(6):1293.
- Berry T, Shetty A, Delu A, Barry M, Berry R, **Smidt AC**. Presternal dermoid cyst mimicking lymphatic malformation: a case report and review of the literature. *Pediatr Dermatol*. 2013 Jan 30(1)128-130.
- Diaz LZ, Browning JC, **Smidt AC**, Rizzo WB, Levy ML. Complications of ichthyosis beyond the skin. *Dermatol Ther*. 2013 Jan-Feb;26(1): 39-45
- Brown MM, Walsh EJ, Shetty A, **Smidt AC**. Sialoblastoma: an unexpected diagnosis. *J Am Acad Dermatol*. 2012 Dec;67(6),e276-7.
- Clementson B, **Smidt AC**. Periorificial dermatitis due to systemic corticosteroids in children: report of two

- cases. *Pediatr Dermatol*. 2012 May-Jun;29(3):331-2.
- Strand M, Smidt AC. Pain management for ulcerated infantile hemangiomas. *Pediatr Dermatol*. 2012 Jan-Feb; 29(1):124-6.
- Dockray D, Smidt AC, Meredith D, Shetty AK. Enlarging congenital soft-tissue mass: venous malformation mimicking hemangioma vs sarcoma. *The Internet J of Plastic Surg*. 2011 Volume 7 Number 1.
- Abrams ML, Smidt A, Benjamin L, Chen M, Woodley D, Mancini AJ. Congenital epidermolysis bullosa acquisita: vertical transfer of maternal autoantibody from mother to infant. *Arch Dermatol*. 2010 Nov 15.
- Nijhawan RI, Bard S, Blyumin M, Smidt AC, Chamlin SL, Connelly EA. Early localized morphea mimicking an acquired port-wine stain. *J Am Acad Dermatol*, 2011 Apr;64(4):779-82.
- Smidt AC, Lai JS, Cella D, Patel S, Mancini AJ, Chamlin SL. Development and validation of Skindex-Teen, a quality-of-life instrument for adolescents with skin disease. *Arch Dermatol*, 2010 Aug;146(8):865-9.
- Leu S, Sun PK, Collyer J, Smidt A, Stika CS, Schlosser B, Mirowski GW, Vanagunas A, Buchman AL. Clinical spectrum of vulva metastatic Crohn's disease. *Dig Dis Sci*. 2009 Jul;54(7):1565-71.
- Wagner A, Smidt AC. Dermatology quiz and case discussion: dermatitis herpetiformis. The Child's Doctor CME Program, Children's Memorial Hospital, Spring 2009.
- Wolf EK, Smidt AC, Laumann AE. Topical sodium thiosulfate therapy for leg ulcers with dystrophic calcification. *Arch Dermatol*. 2008 Dec;144(12):1560-2.
- Smidt A, Laumann A. A 12-year-old girl with irritated earlobes (Case reports in Dermatology). *Pediatr Ann*. 2008 Feb;37(2):89-91.
- Paller AS, Smidt AC. Dermatology quiz and case discussion: lichen planus. The Child's Doctor CME Program, Children's Memorial Hospital, Fall 2007.
- Hawrot AC, Metry DW, Theos AJ, Levy ML. Etanercept for the pediatric population. *Pediatr Dermatol*. 2006 Jan-Feb;23(1):67-71.
- Hawrot AC, Carter E. Simultaneous chronic cutaneous infection with Mycobacterium marinum and Nocardia asteroides. *J Am Acad Dermatol*. 2005 Apr;52(4):703-4.
- Garzon MC, Lucky AW, Hawrot A, Frieden IJ. Ultrapotent topical corticosteroid treatment of hemangiomas of infancy. *J Am Acad Dermatol*. 2005 Feb;52(2):281-6.
- Metry DW, Hawrot A, Altman C, Frieden IJ. Association of solitary, segmental hemangiomas of the skin with visceral hemangiomatosis. *Arch Dermatol*. 2004 May;140(5):591-6.
- Hawrot A, Alam M, Ratner DR. Squamous cell carcinoma. *Current Problems in Dermatology*. 2003 May/June; 15(3): 85-134.

#### **Review articles and articles appearing as chapters in edited volumes**

- Hawkins DM, Smidt AC. Superficial fungal infections in children. *Pediatric Clin North Am*. 2014 Apr;61(2):443-55.
- Brown MM, Chamlin SL, Smidt AC. Quality of life in pediatric dermatology. *Dermatol Clinics*, 2013 Apr;31(2):211-21.

**Other writings and scholarly products (not abstracts)**

Brown MM, Smidt AC. Tips for optimizing adherence in patients with acne. *Derm for the Pediatrician*. 2012;1(2):29-33, August 2012.

**Book Chapter(s):**

Smidt AC, Chamlin SL. Erythema elevatum diutinum. In: Textbook of Paediatric Dermatology, 3<sup>rd</sup> edition, Wiley-Blackwell; Irvine AI, Hoeger PH, Yan AC, editors, 2011.

**Works in progress**

ZNF259 is a Candidate Gene for Alopecia–Dwarfism–Renal Syndrome

Carol L. Clericuzio <sup>1\*</sup>, Amanda C. Smith <sup>2</sup>, Afsana Ahmed <sup>2</sup>, Sarah L. Sawyer <sup>2</sup>, FORGE Canada Consortium<sup>2</sup>, Dennis E. Bulman <sup>2</sup>, Aimee Smidt<sup>3</sup> and Kym M. Boycott <sup>2</sup>

<sup>1</sup>Department of Pediatrics, Division of Genetics/Dysmorphology, University of New Mexico School of Medicine, Albuquerque, NM

<sup>2</sup>Children’s Hospital of Eastern Ontario Research Institute, University of Ottawa, Ottawa, Ontario, Canada

<sup>3</sup>Department of Dermatology, University of New Mexico School of Medicine, Albuquerque, NM

**Accepted for publication (w/dates)****Submitted for publication (w/date of submission)****In Preparation****Invited or refereed abstracts and/or presentations at professional meetings:**

Thorne A, Hawkins D, Elwood H, Smidt A, Zlotoff B. Case of Year presentation, Society for Pediatric Dermatology Annual Meeting: “Blood, tears, but no sweat: an extraordinary case of hematohidrosis.” (July 2015)

Smidt AC, Williams JB. Case Presentation, Controversies in Vascular Anomalies Meeting: “Complex venolymphatic malformation (May 2015)

Berry R, Hawkins D, Elwood H, Smidt AC. Poster Presentation, American Society for Dermatopathology, 51st Annual Meeting: “Not just a spring eruption: case study and histopathology of hydroa vacciniforme.” (November 2014)

Hawkins D, Smidt AC. Poster presentation, International Society for the Study of Vascular Anomalies (ISSVA) workshop: “Quality-of-life (QoL) assessment of patients with vascular anomalies: a preliminary analysis.” (April 2014)

Keck L, Padilla RS, Elwood H, Zlotoff BJ, Smidt AC. Case of Year presentation, Society for Pediatric Dermatology Pre-AAD Meeting: “A dermatologic consequence of the Spanish conquest? Pediatric keratosis lichenoides chronica in New Mexico.” (March 2014)

Howell J, Keck L, Shetty A, Smidt AC. Poster presentation, AAD 72<sup>nd</sup> Annual Meeting: “Facial morphea treated with autologous fat cell transfer.” (March 2014)

Keck L, Strand M, Stepenaskie S, Smidt AC, Zlotoff BJ. Case of the Year presentation, Society for Pediatric Dermatology Annual Meeting, “Vulvar and perianal erosions in an adolescent: the bottom line.” (February 2013)

Keck LE, Walsh EJ, Brown MM, Kunz A, Smidt AC. Case of the Year presentation, Society for Pediatric Dermatology Annual Meeting: “Not your average erosion: picking the right diagnosis.” (July 2012)

Lau EG, Stepenaskie S, Moran R, Quinn R, Mathew P, Smidt AC. Case of the Year presentation, Society for Pediatric Dermatology Annual Meeting: "Blueberry muffin rash & large right thigh mass: An unexpected Diagnosis." (July 2011)

Smidt AC. Adolescent Forum, AAD 69<sup>th</sup> Annual meeting: "Skindex-Teen: a validated quality of life instrument for adolescents with skin disease." (Feb 2011)

Walsh EJ, Shetty AK, Smidt AC. Case of the Year presentation, Society for Pediatric Dermatology Annual Meeting: "Sialoblastoma: an unexpected diagnosis." (July 2010)

Abrams M, Smidt AC, Paller AS, Woodley D, Chen M, Mancini AJ. Case of the Year presentation, Society for Pediatric Dermatology Annual Meeting: "Neonatal epidermolysis bullosa." (July 2009)

Smidt AC, Chamlin SL. Case of the Year presentation, Society for Pediatric Dermatology Annual Meeting: "Localized facial morphea masquerading as an acquired port wine stain." (July 2008)

Smidt AC, Lai JS, Cella D, Mancini AJ, Paller AS, Chamlin SL. Society for Pediatric Dermatology poster presentation: "Development and testing of Skindex-Teen: a quality-of-life instrument for adolescents with skin disease." (July 2008)

Smidt AC, Lai JS, Cella D, Mancini AJ, Paller AS, Chamlin SL. International Dermato-Epidemiology Association Symposium (SID/IID) presentation: "Development and testing of Skindex-Teen: a quality-of-life instrument for adolescents with skin disease." (May 2008)

Smidt AC, Lai JS, Cella D, Mancini AJ, Paller AS, Chamlin SL. SID/IID poster presentation: "Development and testing of Skindex-Teen: a quality-of-life instrument for adolescents with skin Disease." (May 2008)

Hawrot AC. American Academy of Dermatology Annual Meeting, Gross and Microscopic Dermatology Symposium: "Limited cutaneous polyarteritis nodosa." (Feb 2007)

### **Contributed (unrefereed) abstracts and/or oral presentations at professional meetings**

Nguyen T, Smidt AC. Case presentation, New Mexico Dermatological Society Meeting, "Periodic fevers, erysipeloid and urticarial eruptions, and episodic seizures: is there a common link?" (April 2016)

Berry T, Smidt AC, Elwood H, Strange P. Case presentation, New Mexico Dermatological Society Meeting, "Dueling Diagnosis." (April 2016)

Leon A, Berry T, Smidt AC. Case presentation, New Mexico Dermatological Society Meeting, "Spotty pigmentation: KIT ligand/c-kit signaling." (April 2016)

Lucking SM, Smidt AC. Case presentation, New Mexico Dermatological Society Meeting, "Doctored pigment: medication induced pigmentation." (April 2016)

Blossom J, Stepenaskie S, Smidt AC. Case presentation, New Mexico Dermatological Society Meeting, "Another use for an old drug." (April 2016)

Tajnert K, Nguyen T, Berry T, Smidt AC, Stepenaskie S, Elwood H. Case presentation, New Mexico Dermatological Society Meeting, "Supplemental dermatoses." (April 2016)

Blossom J, Stepenaskie S, Smidt AC. Case presentation, New Mexico Dermatological Society Meeting, "Dueling antibodies in an immunobullous disease." (Oct 2015)

- Berry T, Elwood H, Smidt AC. Case presentation, New Mexico Dermatological Society Meeting, "An honestly difficult case: severe facial eruption in an infant." (Oct 2015)
- Tajnert K, Smidt AC, Zlotoff BJ. Case presentation, New Mexico Dermatological Society Meeting, "A paucity of treatment: a case of recalcitrant pruritus." (Oct 2015)
- Leon A, Berry T, Stepenaskie S, Elwood H, Padilla RS, Smidt AC. Case presentation, New Mexico Dermatological Society Meeting, "Horses and zebras: two cases to illustrate steroid allergies." (Oct 2015)
- Thorne A, Smidt AC. Case presentation, New Mexico Dermatological Society Meeting, "An evil twin." (Oct 2014)
- Ramirez M, Lucking SM, Stepenaskie S, Smidt AC. Case presentation, New Mexico Dermatological Society Meeting, "Not your typical acne patient: erythema nodosum and isotretinoin". (Oct 2014)
- Berry T, Elwood H, Smidt AC, Thomson M, Zlotoff BJ. Case presentation, New Mexico Dermatological Society Meeting, "'No, I wasn't stung by a bee:' persistent facial swelling: etiology, pathogenesis and management." (Oct 2014)
- Howell J, Smidt AC, Zlotoff BJ. Case presentation, New Mexico Dermatological Society Meeting, "Dapsone: the good, the bad and the ugly." (Oct 2014)
- Hawkins, D, Elwood, H, Smidt AC, Case presentation, New Mexico Dermatological Society Meeting, "Not just in the spring: etiology and treatment options of a chronic childhood photosensitivity disorder." (April 2014)
- Keck L, Padilla RS, Elwood H, Zlotoff BJ, Smidt AC. Case presentation, New Mexico Dermatological Society Meeting, "A dermatologic consequence of the Spanish conquest? Pediatric keratosis lichenoides chronica in New Mexico." (April 2014)
- Lucking SM, Hawkins, D, Smidt AC. Case presentation, New Mexico Dermatological Society Meeting, "Another 'disappearing bone disease:' progressive hemifacial atrophy." (April 2014)
- Lucking SM, Smidt AC. Case presentation, New Mexico Dermatological Society Meeting "All that's spotted is not Neurofibromatosis type-1." (Oct 2013)
- Hawkins D, Buckley C, Stepenaskie S, Smidt AC, Zlotoff BJ. Case presentation, New Mexico Dermatological Society Meeting, "Paradoxical pustules." (Oct 2013)
- Hawkins D, Stepenaskie S, Smidt AC. Case presentation, New Mexico Dermatological Society Meeting, "Digging deeper: subcutaneous nodules in a teenage girl." (Apr 2013)
- Howell J, Zlotoff BJ, Smidt AC. Case presentation, New Mexico Dermatological Society Meeting, "Unusual 'freckles': a genodermatoses update." (Apr 2013)
- Keck L, Strand M, Yu L, Stepenaskie S, Smidt AC, Zlotoff BJ. Case presentation, New Mexico Dermatological Society Meeting, "Vulvar and perianal erosions in an adolescent: the bottom line." (Oct 2012)
- Lau E, Stepenaskie S, DeSantis E, Johnson C, Smidt AC, Zlotoff BJ. Case presentation, New Mexico Dermatological Society Meeting, "A follow-up on congenital cutaneous lupus: is there a ripple effect?" (Oct 2012)
- Haney Jacobs M, Smidt A. Case presentation, New Mexico Dermatological Society Meeting, "Contributing to our wealth of knowledge: newborn with a large thigh nodule, thrombocytopenia and coagulopathy." (Oct 2012)

- Walsh E, Yu L, Smidt A. Case presentation, New Mexico Dermatological Society Meeting: “Not your average erosions: picking the right diagnosis.” (Apr 2012)
- Keck L, Zlotoff BJ, Stepenaskie S, Smidt A. Case presentation, New Mexico Dermatological Society Meeting: “Recurrent genital ulcerations in an infant: a diagnostic dilemma.” (Apr 2012)
- Haney M, Smidt A. Case presentation, New Mexico Dermatological Society Meeting: “Not your ordinary diaper rash.” (Oct 2011)
- Keck L, Strand M, Smidt A. Case presentation, New Mexico Dermatological Society Meeting: “The face of morphea: what are our reconstructive options?” (Oct 2011)
- Walsh E, Smidt A. Case presentation, New Mexico Dermatological Society Meeting: “Blotchy babies: two cases of a rare genodermatosis.” (Oct 2011)
- Strand M, Smidt A, Strange P, Stepenaskie S. Case presentation, New Mexico Dermatological Society Meeting: “An atypical presentation of a rare oral erosive disease.” (May 2011)
- Clementson B, DeSantis E, Zlotoff B, Smidt A. Case presentation, New Mexico Dermatological Society Meeting: “Keratosis lichenoides chronica.” (May 2011)
- Walsh EJ, DeSantis E, Smidt A. Case presentation, New Mexico Dermatological Society Meeting: “Difficulties in diagnosis and management of pediatric CD30+ cutaneous anaplastic large cell lymphoma.” (May 2011)
- Lau E, Stepenaskie S, Smidt A. Case presentation, New Mexico Dermatological Society Meeting: “Blueberry muffin rash with large right thigh mass: an unexpected diagnosis.” (May 2011)
- Lau E, Desantis E, Smidt A. Case presentation, New Mexico Dermatological Society Meeting: “Verrucous facial plaque: a unifying diagnosis at age 21.” (Oct 2010)
- Clementson B, Worcester H, Smidt A. Case presentation, New Mexico Dermatological Society Meeting: “Skin discoloration after phototherapy: bronze baby syndrome in an 18 year old?” (Oct 2010)
- Strand M, Smidt A. Case presentation, New Mexico Dermatological Society Meeting: “Junctional epidermolysis bullosa & ‘EB nevi’” (Apr 2010)
- Smidt AC, Laumann AE. Case presentation, Chicago Dermatologic Society: “Non-healing leg ulcers treated with topical sodium thiosulfate.” (Oct 2007)

### **In Preparation-Abstracts**

## **Current Grant and Contracts**

### **Clinical Research**

Hawkins D, Smidt AC. Quality-of-life (QoL) assessment of patients with vascular anomalies, March 2013 – present.

Berry T, Smidt AC. Retrospective evaluation of pediatric cutaneous masycytosis, April 2014 – present.

### **Past Grant and Contracts**

### **Pending Clinical research**

### **Pending grant and contracts (proposals submitted)**

## Teaching/Education

### Resident Education

#### Smidt AC. University of New Mexico Department of Pediatrics Resident Lectures:

Since 2008, Dr. Aimee Smidt has provided Pediatric resident lectures on a regular basis for the benefit of Pediatric residents 4-6 times per year. Annual lecture topics include:

- “Pediatric dermatology in the hospital: what not to miss.”
- “Lumps and bumps.”
- “Newborn rashes.”
- “Vascular Anomalies 101: hemangiomas.”
- “Vascular Anomalies 102: malformations.”
- “Pediatric dermatology in the hospital: what not to miss.”
- “Atopic dermatitis.”
- “Acne for the Pediatrician.”
- “Birthmarks.”

Rated consistently excellent in New Innovation RMS evaluations, feedback from 10 Evaluators, 6/17/2013, Program Director, Teresa Vigil (ranked excellent (4) in all categories).

### Medical Student Education

Smidt AC. “Dermatology.” Transitions Block, April 2016.

### Ph.D. Student Mentoring

### Master’s student mentoring

Melissa Marquez-Conklin, UNM PA student, 15 hours, Preceptor, June 3-28, 2013

### Undergraduate student mentoring

Dermatology Interest Group, Faculty Sponsor/mentor, February 2013-present.  
Mary Logue MSIV, continuity clinic III, October, 2015 - present  
Anna Garcia, MSIII continuity clinic III, April 2015 – April 2016  
Riana Wurzberger, MSIII, continuity clinic III, April 2015-April 2016  
Amber Ortega, MSIII, continuity Clinic III, May 2013- April 2014  
Sevy Franchesca Gurule, MSIII, continuity clinic III, May 2012-April 2013  
Tanya Baca, MSIV, preceptor, 8/23 - 9/19/2010

### Pre-baccalaureate student and honors student mentoring

Rachel Rose, HS Student, Career Enrichment Center (Magnet High School) Medical Mentorship Program (Sept 2012 – May 2013)

### Classroom, laboratory teaching, and tutoring (courses or blocks taught or team-taught)

Director, Dermatology/Skin Exam Doctoring Session in Phase 1, Fall 2015

Smidt AC, Elwood H (team-taught). Phase 1, Dermatology, Musculoskeletal, Skin and Connective Tissue block, Fall 2015

Smidt AC, Rao D. Phase 1 teaching, UNM SOM Clinical Reasoning 1, Case 4, Fall 2015

Smidt AC. UNM Department of Pediatrics, Bi-monthly Dermatology Lecture Series, “Pediatric Dermatology Primer,” presented to Pediatrics Clerkship Phase II medical students every 6 weeks, 2012 – present

Smidt AC, M J. UNM SOM Perspectives in Medicine program medical student lecture: “Using observation skills to enhance the diagnosis” (Nov 2011, Nov 2012, Feb 2014, Dec 2014)

Smidt AC, Anderson TT. University of New Mexico School of Medicine Phase I lecture: Foundations of clinical

practice: approach to the physical exam (Oct 2011)

**Resident and Fellow teaching and mentoring**  
**Pediatric Rotator Mentorships:**

Maria Houbeck, Pediatric Resident, Second year, 11/1/2015 – 11/16/2015  
Karen Alipio, Pediatric Resident, Third year, 10/1/2015-10/5/2015  
Wallace, Laura, Pediatric Resident, Second year 7/28/2015 – 8/24/2015  
Rao, Sruti, Pediatric Resident, Third year 7/1/2015 – 7/27/2015  
D. Reddy, Pediatric Resident, Third year, 5/5/2015-6/1/2015  
Jane Yoon, Pediatric Resident, Second Year, 4/7/2015 - 5/4/2015  
L. Ebara, Pediatric Resident, Third year, 3/31/2015 - 4/6 /2015  
Michael Yao, Pediatric Resident, Second Year, 2/1/2015 – 3/9/2015  
Justin Sommer, Pediatric Resident, Second year, 1/13/2015 – 2/9/2015  
Cormac Sookram, Pediatric Resident, Second Year, 12/16/2014 – 1/12/2015  
Bich Truong, Pediatric Resident, Third year, 11/18/2014 -12/15/2014  
Megan Stinar, Pediatric Resident, Second Year, 10/21/2014 – 11/17/2014  
Courtney Michel, Pediatric Resident, Third year, 9/23/2014 – 10/20/2014  
Diego Heraud, Pediatric Resident, Third year, 8/26/2014 – 9/22/2014  
Betsy Cammack, Pediatric Resident, Second year, 7/29/2014 – 8/25/2014  
Kari Carstensen, Pediatric Resident, Second year, 7/1/2014- 7/28/2014  
Brucker, Richard, Pediatric Resident, Third Year, 6/3/2014 – 6/30/2014  
Cuff, Amy, Pediatric Resident, Second Year, 4/8/2014 – 4/21/2014  
Hamilton, Maria, Pediatric Resident, Third Year, 2/11/2014 – 2/24/2014  
Gill Alicia, Pediatric Resident, Second Year, 2/11/2014 – 3/10/2014  
Camacho, Jenny, Pediatrics Resident, Second year, 1/14/2014 – 2/11/2014  
Greenfield, Ben, Pediatric Resident, Second Year, 12/17/2014 -1/13/2014  
Shirley, J., Pediatrics Resident, Third Year, 11/19/2013 – 12/2/2013  
Aguilar, Jennifer, Pediatrics Resident, Third Year, 10/22/2013-11/18/2013  
Brucker, Richard, Pediatrics Resident, Third Year, 8/27/2013-9/23/2013  
Miller, Matthew, Pediatrics Resident, Third Year, 7/30/2013-8/26/2013  
McCall, Danisha. Pediatrics Resident, Second Year, 7/1/2013 -7/29/2013  
Berge, Ali. Pediatrics Resident, Third Year, 4/9/2013- 4/22/2013  
Ventura, Janet. Pediatrics Resident, Second Year, 2/12/2013 – 3/11/2013  
VanFrank, Brenna, Pediatrics Resident, Third Year, 1/15/2013 – 2/11/2013  
Lachiando, Alicia, Pediatrics Resident, Second Year, 12/18/2012 -1/14/2013  
Armijo, Beeling, Pediatrics Resident, Second Year, 11/20/2012 – 12/17/2012  
Crawford, Akilah, Pediatrics Resident, Second Year.10/23/2012 – 11/19/2012  
James, Natasha, Pediatrics Resident, Third Year, 7/31/2012 – 8/27/2012  
Kreiner, Shawn Michael, Pediatrics Resident, Third Year, 6/5/2012 – 6/30/2012  
Rood, Corey Jonathan, Pediatrics Resident, Second Year, 5/8/2012 – 6/4/2012  
Lee, Amanda McKenna, Pediatrics Resident, Second Year, 10/25/2011 – 11/21/2011  
Rogers, Melinda Kathryn, Pediatrics Resident, Third Year, 9/27/2011 – 10/24/2011  
Eyman, Candace Thea, Pediatrics Resident, Second Year, 5/10/2011 – 6/6/2011  
Pierce, Jennifer B., Pediatrics Resident, Third Year, 1/18/2011 – 1/31/2011  
Sanchez, Melissa Ann, Pediatrics Resident, Third Year 1/19/2010 – 2/15/2010

## **Service**

### **Present Patient Care activities**

Founding Director, Multidisciplinary Vascular Lesions Clinic, July 2011 - present

### **Past patient care activities**

### **University, SOM, HSC Administrative duties**

Chief of Staff, UNM Hospital, November 2014- October 2016

Chair, Medical Executive Committee, November 2014- December 2016

Member, UNMH Performance Oversight and Community Engagement Committee (POCEC), Nov 2014 – present

Ex-Officio (Non-voting) Member, UNMH Board of Trustees, November 2014 – October 2016

Member, UNMH Medical Staff Bylaws Committee, Spring 2015- present

Member, UNMH Telehealth Oversight Committee, Jan 2015 - present

Communications Team member, ICD-10/Clinical Documentation Project, June 2015-Oct 2015

Member, Mission:Excellence Steering Committee, Jan 2016 - present

Member-at-Large, Medical Executive Committee, November 2013 - October 2014

UNM Chapter Councilor, Alpha Omega Alpha (AOA), December 2013-2016 (3 year term)

Dermatology Department Champion, Clinical Documentation Improvement, December 2013- present

Medical Director, UNMH Dermatology, January 2011 – present

### **University, SOM, HSC department committees**

Ambulatory Health System/Value Stream/IT Committee, April 2014 –August 2015

Phototherapy Treatment Monitoring/Quality Oversight committee, Dermatology, Nov 2014-August 2015

Physician Officer, Laser Committee, UNM Hospitals & Clinics, Dec 2010-present

Member, Dermatology, UNM Medical Group Physician Advisory Group, September 2013-present

Pediatric Grand Rounds Steering Committee, August 2012 – May 2016

### **Local, State, Regional, National Committees**

See above

### **Mentoring of other faculty members in clinical or other service activities**

### **Interests**

Physical fitness, skiing, camping, travel, art history, photography, wine tasting, healthful cooking

# UNM HOSPITALS BOARD OF TRUSTEES

## ANNUAL CONFLICT OF INTEREST CERTIFICATION

The undersigned [a trustee / a principal officer] of UNM Hospitals ("UNMH"), does hereby affirm as follows:

- That the undersigned has received a copy of the UNM Hospital Board of Trustees' Conflict of Interest Policy;

- That the undersigned has read and understands the UNM Hospital Board of Trustee's Conflict of Interest Policy and the reasons therefor;

- That the undersigned, by and through this Certification, agrees that he/she has complied with and will continue to comply with the terms, conditions and provisions of UNM Hospital Board of Trustee's Conflict of Interest Policy. More specifically, except as set forth on the Disclosure Exhibit attached hereto, the undersigned further certifies as follows:

- That neither he/she nor any family member has an ownership or investment interest in any entity with which UNMH has a transaction or arrangement (other than as owner and holder of less than one percent (1%) of the stock or outstanding equity of a publicly traded corporation);

- That neither he/she nor any family member has a compensation or consulting arrangement with UNMH or with any entity or individual with which UNMH has a transaction or arrangement;

- That neither he/she nor any family member has an an ownership or investment interest in, management position with, or compensation arrangement with, any entity or individual who is in a business that competes with UNMH;

- That neither he/she nor any family member has an ownership, potential ownership or investment interest in, or a compensation arrangement with, any entity or individual with which UNMH is negotiating a financial or transactional arrangement (other than as owner and holder of less than one percent (1%) of the stock or outstanding equity of a publicly traded corporation with which UNMH is negotiating a financial or transactional arrangement); and

- That the undersigned understands that UNMH is an organization that is tax exempt under and pursuant to the Internal Revenue Code and that in order to maintain its federal tax exemption it must engage primarily in activities which accomplish one or more of its tax-exempt purposes.

By: Jennifer Phillips, MD  
Signature

Date: 12/12/12

Printed Name: Jennifer Phillips, MD

Title: Chief of Medical Staff Jan 2017 - Dec 2018

Title: UNM Hospital Board of Trustees Conflict of Interest  
Owner: Administration  
Effective Date:  
Doc. #

**UNM Hospital Board of Trustees  
December 2016  
Recommendation to HSC Committee  
January 2017**

**Approval**

**(1) Covidien Sales, LLC, a Medtronic Company**

**Ownership:**

710 Medtronic Parkway  
Minneapolis, MN 55432-5604

**Officers Information:**

CEO: Omar Ishrak

**Source of Funds:** UNM Hospital Operating Budget

**Description:** Request approval to continue with a purchasing program that provides UNMH an opportunity to acquire respiratory monitoring equipment and associated consumable supplies such as pulse oximetry, anesthesia sensors, cerebral/somatic sensors, capnography, laryngoscopes, endotracheal tubes and tracheostomy tubes pulse.

Pricing for the consumable supplies is below Vizient (GPO) pricing and offers a 6.09% decrease in unit pricing over the previous agreement.

**Process:** Vizient (formerly Novation) – This is a continuation of an existing services arrangement which was initially presented by Dr. Niels Chapman, Anesthesiology and approved by the Product Standards Committee.

**Previous Contract:** Covidien

**Previous Term:** 3.5 years, ending on October 31, 2016

**Previous Contract Amount:** \$4,725,000

**Contract Term:** 7 years

**Termination Provision:** UNMH may cancel this Agreement at any time by giving Covidien at least thirty (30) days prior written notice.

**Total Cost:** Total annual contract commitment is \$1,700,000 or \$11,900,000 over the seven year period. The increase in cost from the prior contract is based on an increase in number of contract years plus additional consumable categories utilized and requested by UNMH.



**University Services**

University Services Business Operations  
UNM Surplus Property  
UnivServ Shipping and Receiving  
UNM Mailing Systems  
UNM Records Management  
<http://www.unm.edu/~univserv/>

Date: December 20, 2016

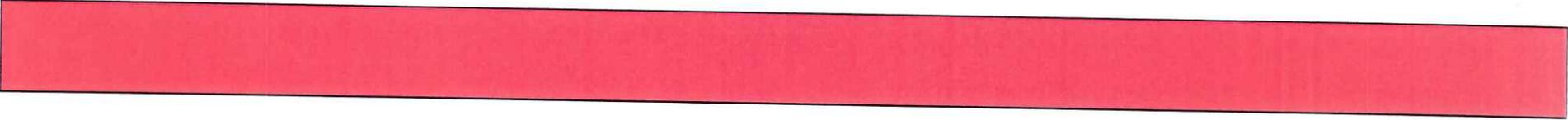
To: Bruce Cherrin, Chief Procurement Officer  
Purchasing Department

From: Marcos Roybal – Manager, Administrative Operations  
University Services *Marcos Roybal*

Subject: Surplus Property Disposition – December 2016

Attached for your review and submission to the Board of Regents are the Surplus Property Disposition Detail list for the month of December 2016.

Consistent with UNM Board of Regents Policy 7.9 and the NM Disposition of Surplus Property Act, 13-6-1, NMSA 1978, and based upon documentation submitted by the UNM departments responsible for the equipment, I certify that the equipment identified on the monthly list is worn-out, unusable or obsolete to the extent that the items are no longer economical or safe for continued use by the University. I recommend that the items be deleted from UNM's inventory and disposed of in accordance with the above noted Regents Policy and NM Surplus Property Act.



**University Services – Disposition of Surplus Property**  
**December 2016**



# Board of Regents' Finance & Facilities Committee

## Surplus Property Disposition - December FY17

| Memo                               | Asset Tag | Department                | Description            | Manufacturer | Model          | Purchased  | Total Cost (\$) | NBV (\$) | Disposal Method      |
|------------------------------------|-----------|---------------------------|------------------------|--------------|----------------|------------|-----------------|----------|----------------------|
| 6                                  | 171050    | Biology Department        | MICROSCOPE ELECTRON    | Zeiss        | EM109          | 1/1/1986   | \$85,730.00     | \$0.00   | Obsolete             |
| 2                                  | 245322    | IM Div of Epidemiology    | ANALYZER               | ApplBioSys   | Genetic        | 1/22/2001  | \$55,280.00     | \$0.00   | Obsolete             |
| 5                                  | 181179    | Biology Department        | MICROSCOPE ELECTRON    | Hitachi      | S-530-2        | 1/29/1988  | \$47,090.00     | \$0.00   | Obsolete             |
| 4                                  | N00025549 | IT Networks               | ADN Network Equipment  | BlueCoat     | Packet Shaper  | 4/27/2010  | \$41,075.00     | \$0.00   | Obsolete             |
| 1                                  | 185508    | Ctr for Micro Engineering | SediGraph              | Micromerit   | 510            | 7/31/1998  | \$27,159.00     | \$0.00   | Obsolete             |
| 3                                  | N00014805 | AS Biology Gen Admin      | Epcycler               | FisherScien  | E950010230     | 1/23/2008  | \$12,998.00     | \$0.00   | Obsolete             |
| 5                                  | 166213    | Biology Department        | EVAPORATOR             | Denton       | DV502          | 1/1/1985   | \$12,175.00     | \$0.00   | Obsolete             |
|                                    | N00005957 | CID Biodefenses           | Equipment Trolley      | Techniplast  | TouchSlimLine  | 10/20/2005 | \$9,873.00      | \$0.00   | Too Costly to Repair |
|                                    | 257065    | Neurology                 | FREEZER-80C            | Revco        | 82C 17.2 cu ft | 8/14/2002  | \$7,659.00      | \$0.00   | Beyond Repair        |
|                                    | 264214    | Biology Department        | FREEZER                | ThermoForm   | ULT FREEZER 23 | 12/10/2003 | \$7,360.00      | \$0.00   | Obsolete             |
|                                    | N00012329 | Pathology Gen Admin       | Digital Camera         | Olympus      | MicroFire      | 6/26/2007  | \$6,916.17      | \$0.00   | Obsolete             |
|                                    | N00005980 | Pediatrics                | Projector              | Sharp        | XG-MB70X       | 11/15/2005 | \$6,379.58      | \$0.00   | Beyond Repair        |
|                                    | N00008332 | Pathology Faculty #R10    | Digital Imaging Camera | Olympus      | MicroFire      | 7/12/2006  | \$6,040.35      | \$0.00   | Obsolete             |
|                                    | N00004392 | AS Biology                | ULTRA LOW TEMP FREEZER | VWR          | 5610           | 1/19/2005  | \$5,878.05      | \$0.00   | Beyond Repair        |
|                                    | N00034982 | College of Nursing        | Server/PowerEdge R710  | Dell         | PowerEdgeR710  | 3/13/2012  | \$5,419.28      | \$0.00   | Obsolete             |
|                                    | N00028338 | Pediatrics                | Server                 | Dell         | PowerEdgeR710  | 11/9/2010  | \$5,360.59      | \$0.00   | Obsolete             |
| <b>Total Asset Disposition (#)</b> |           |                           |                        |              |                |            |                 |          | <b>16</b>            |
| <b>Total Capitalization (\$)</b>   |           |                           |                        |              |                |            |                 |          | <b>\$342,393.02</b>  |
| <b>Total Net Book Value (\$)</b>   |           |                           |                        |              |                |            |                 |          | <b>\$0.00</b>        |



University Services



## The University of New Mexico

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Center for Micro-Engineered Materials (CMEM)  
 1001 University Blvd. SE Suite 103, MSC04 2790  
 Albuquerque, NM 87106  
 Telephone (505) 277-2833  
 Fax (505) 277-1024

### MEMORANDUM

DATE: November 15th, 2016  
 TO: Property Accounting  
 FROM: Plamen Atanassov, Director of CMEM  
 SUBJECT: Request for Deletion

---

The following asset is out of use and needs to be deleted from our inventory.

| Asset # | Asset Description | MFG        | Model # | S/N # |
|---------|-------------------|------------|---------|-------|
| 185508  | Sedigraph         | Micromerit | 510     | 242   |

This sedigraph was purchased in 1998, and over the course of the last 18 years the technology for determining particle size distributions has vastly improved. Recently we acquired new equipment for this process that uses ultrasonic technology, which renders the sedigraph obsolete.

Thank you,

Plamen Atanassov

Director of CMEM

| Asset  | Description | Acq. Cost   | NBV  | Date |
|--------|-------------|-------------|------|------|
| 185508 | SediGraph   | \$27,159.00 | 0.00 | 1998 |

DEPARTMENT OF INTERNAL MEDICINE  
Epidemiology, Biostatistics and Preventive Medicine

# Memorandum

**To:** UNM Inventory Control  
**From:** Connie Volker  
**Date:** 11/22/16  
**Re:** Surplus Asset #245322

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We would like to surplus the following item: Genetic Analyzer acquired 1/22/2001 at a cost of \$55,280.00 This item was used for specific contract requirements which have been completed. In light of this and due to the fact that this equipment is obsolete and is no longer serviceable by the vendor, we will not be using it any longer.

| Asset  | Description | Acq.Cost | NBV  | Date |
|--------|-------------|----------|------|------|
| 245322 | Analyzer    | \$55,280 | 0.00 | 2001 |

**William T. Pockman**  
Professor and Chair  
Regents' Lecturer  
Department of Biology, MSC03 2020  
1 University of New Mexico  
Albuquerque, NM 87131-0001

Tel: (505) 277 2796  
Fax: (505) 277 0304  
E-mail: pockman@unm.edu



THE UNIVERSITY of  
NEW MEXICO

December 2, 2016

MEMORANDUM

To: UNM Inventory Control

From: William Pockman, Chair, Biology

Digitally signed by William Pockman  
DN: cn=William Pockman, o=Biology,  
ou=University of New Mexico,  
email=pockman@unm.edu, c=US  
Date: 2016.12.02 08:37:14 -0700

RE: Instrument for Surplus – Epcycler (N00014805)

The Biology Department is sending asset #N00014805, Fisher Scientific Epcycler, ser#5341-022706, Model E950010230, to Surplus Properties, because it is beyond benefit of repair and is considered obsolete.

| Asset     | Description | Acq. Cost   | NBV  | Date |
|-----------|-------------|-------------|------|------|
| N00014805 | Epcycler    | \$12,998.00 | 0.00 | 2008 |



Date: November 10, 2016  
 To: University Services  
 From: Kirsten Martinez, Fiscal Operations Director  
 Subject: Explanatory Memo – Surplus Property Equipment Disposition – N00025549

To ensure the deposition of inventoried equipment as referenced in the UNM Board of Regents Policy 7.9 "Property Management," the item below has been identified as obsolete or unusable/worn out to use in daily production for the Data Network Group. This item had an original acquisition cost of \$41,075 when it was purchased in May, 2010.

**Asset #:** N00025549

**Mfr/Make/Model:** Blue Coat Packet Shaper 10000

**Serial #:** 011-20010050

**Reason for Disposal:** This unit is obsolete and no longer usable in production. It does not have the capacity to handle the amount of traffic that is being produced on the UNM network. UNM's current network backbone is running on multiple 10GB interfaces and this unit only has a 1 GB interface.

If you have any questions regarding our request, please contact me at 277-0147.

A handwritten signature in blue ink, appearing to read "K. Martinez", is written over a horizontal line.

Kirsten Martinez, IT Fiscal Operations Director

| Asset     | Description           | Acq. Cost   | NBV  | Date |
|-----------|-----------------------|-------------|------|------|
| N00025549 | ADN Network Equipment | \$41,075.00 | 0.00 | 2010 |



*Department of Biology*

November 22, 2016

To whom it may concern:

Re: UNM Tag #: 166213 & #181179.

Greetings, Bruce. As the former Director of the Biology Electron Microscopy Facility, I can verify that the three items ready for surplus (Zeiss TEM, Hitachi SEM, and Denton Evaporator) have not been used in over 10 years and probably no longer functional given the lack of upkeep of the years. I see no reason why they should not be sent to Surplus. If you need further info than this email, let me know.

Cheers,

SS

Stephen A. Stricker  
 Professor  
 Dept. of Biology  
 Univ. of New Mexico  
 Albuquerque, NM 87131  
 USA  
 505 277-1883; fax 505 277-0304

| Asset  | Description         | Acq. Cost   | NBV  | Date |
|--------|---------------------|-------------|------|------|
| 166213 | Evaporator          | \$12,175.00 | 0.00 | 1985 |
| 181179 | Microscope Electron | \$47,090.00 | 0.00 | 1988 |

*Department of Biology*

December 13, 2016

To whom it may concern:

Re: UNM Tag #: #171050.

Greetings, Bruce. As the former Director of the Biology Electron Microscopy Facility, I can verify that this item is ready for surplus (Zeiss TEM) have not been used in over 10 years and probably no longer functional given the lack of upkeep of the years. I see no reason why they should not be sent to Surplus. If you need further info than this email, let me know.

Cheers,

SS

Stephen A. Stricker  
Professor  
Dept. of Biology  
Univ. of New Mexico  
Albuquerque, NM 87131  
USA  
505 277-1883; fax 505 277-0304

| Asset  | Description         | Acq. Cost   | NBV  | Date |
|--------|---------------------|-------------|------|------|
| 171050 | Microscope Electron | \$85,730.00 | 0.00 | 1986 |

# New Mexico Compilation Commission

## 13-6-1 . Disposition of obsolete, worn-out or unusable tangible personal property.

A. The governing authority of each state agency, local public body, school district and state educational institution may dispose of any item of tangible personal property belonging to that authority and delete the item from its public inventory upon a specific finding by the authority that the item of property is:

- (1) of a current resale value of five thousand dollars (\$5,000) or less; and
- (2) worn out, unusable or obsolete to the extent that the item is no longer economical or safe for continued use by the body.

B. The governing authority shall, as a prerequisite to the disposition of any items of tangible personal property:

- (1) designate a committee of at least three officials of the governing authority to approve and oversee the disposition; and
- (2) give notification at least thirty days prior to its action making the deletion by sending a copy of its official finding and the proposed disposition of the property to the state auditor and the appropriate approval authority designated in Section 13-6-2 NMSA 1978, duly sworn and subscribed under oath by each member of the authority approving the action.

C. A copy of the official finding and proposed disposition of the property sought to be disposed of shall be made a permanent part of the official minutes of the governing authority and maintained as a public record subject to the Inspection of Public Records Act [Chapter 14, Article 2 NMSA 1978].

D. The governing authority shall dispose of the tangible personal property by negotiated sale to any governmental unit of an Indian nation, tribe or pueblo in New Mexico or by negotiated sale or donation to other state agencies, local public bodies, school districts, state educational institutions or municipalities or through the central purchasing office of the governing authority by means of competitive sealed bid or public auction or, if a state agency, through the surplus property bureau of the transportation services division of the general services department.

E. A state agency shall give the surplus property bureau of the transportation services division of the general services department the right of first refusal when disposing of obsolete, worn-out or unusable tangible personal property of the state agency.

F. If the governing authority is unable to dispose of the tangible personal property pursuant to Subsection D or E of this section, the governing authority may sell or, if the property has no value, donate the property to any organization described in Section 501(c)(3) of the Internal Revenue Code of 1986.

G. If the governing authority is unable to dispose of the tangible personal property pursuant to Subsection D, E or F of this section, it may order that the property be destroyed or otherwise permanently disposed of in accordance with applicable laws.

H. If the governing authority determines that the tangible personal property is hazardous or contains hazardous materials and may not be used safely under any circumstances, the property shall be destroyed and disposed of pursuant to Subsection G of this section.

I. No tangible personal property shall be donated to an employee or relative of an employee of a state agency, local public body, school district or state educational institution; provided that nothing in this subsection precludes an employee from participating and bidding for public property at a public auction.

J. This section shall not apply to any property acquired by a museum through abandonment procedures pursuant to the Abandoned Cultural Properties Act [18-10-1 to 18-10-5 NMSA 1978].

K. Notwithstanding the provisions of Subsection A of this section, the department of transportation may sell through public auction or dispose of surplus tangible personal property used to manage, maintain or build roads that exceeds five thousand dollars (\$5,000) in value. Proceeds from sales shall be credited to the state road fund. The department of transportation shall notify the department of finance and administration regarding the disposition of all property.

L. If the secretary of public safety finds that the K-9 dog presents no threat to public safety, the K-9 dog shall be released from public ownership as provided in this subsection. The K-9 dog shall first be offered to its trainer or handler free of charge. If the trainer or handler does not want to accept ownership of the K-9 dog, then the K-9 dog shall be offered to an organization described in Section 501(c)(3) of the Internal Revenue Code of 1986 free of charge. If both of the above fail, the K-9 dog shall only be sold to a qualified individual found capable of providing a good home to the animal.

History: 1953 Comp., § 6-1-7.1, enacted by Laws 1961, ch. 100, § 1; 1979, ch. 195, § 2; 1984, ch. 47, § 1; 1987, ch. 15, § 1; 1989, ch. 211, § 6; 1995, ch. 181, § 1; 1998, ch. 16, § 1; 2001, ch. 317, § 1; 2007, ch. 57, § 4; 2012, ch. 10, § 1; 2013, ch. 9, § 1.

January 20, 2017 Board of Regents  
Audit & Compliance Committee Action Item

Approval of the FY16 University Consolidated Financial  
Statements External Audit Report

*Presented by KPMG and Moss Adams*

Link to UNM External Audit Reports: <https://fsd.unm.edu/annual-reports.html>



# 2016 Audit Results

## Presentation to the Board of Regents

January 20, 2017

**MOSS-ADAMS** LLP  
Certified Public Accountants | Business Consultants

# Agenda

- Auditors' Role
- Summary of Audits
- Findings
- Accounting Pronouncements
- Significant Accounting Topics

# Auditors' Role

## Roles of KPMG and Moss Adams

### **KPMG responsible for:**

- UNM main campus and branches financial statement audit
- Single audit of Federal expenditures – entity-wide
- All non-clinical component units and departments
- Reports on consolidated financials and internal controls refer to portions of UNM audited by Moss Adams

### **Moss Adams is responsible for:**

- UNM Hospital, UNM Behavioral Operations, UNM Medical Group, and SRMC

# Summary of Audits

## **Financial Statements and Single Audit**

### **Financial Statement Opinions**

- Audits performed in accordance with:
  - Generally Accepted Auditing Standards
  - Government Auditing Standards
  - New Mexico State Auditor Rule 2.2.2 NMAC
- All unmodified (clean) opinions for UNM consolidated and components

### **Uniform Guidance (Single Audit) Reports**

- Major programs tested:
  - Research and Development Cluster of Programs (Various CFDA#s)
  - Higher Education – Institutional Aid (CFDA# 84.031)
- Unmodified (clean) opinion on compliance
- No Material weakness or significant deficiencies.
- One internal control finding (see slide 7)

# Summary of Audits, continued

## **NM State Audit Rule (NMAC 2.2.2) Testwork**

No deficiencies were noted in our samples selected for testwork

## **Supplemental Testwork**

- P-Card Controls and Compliance
- Employee Personnel Matters
- Intercompany (HSC) Eliminations

No deficiencies were noted in our samples selected for testwork

# Findings

## **Prior Year Audit Findings**

### **Lobo Development Corporation**

— Prior year 2015-001: Revenues – Resolved

### **Lobo Energy, Incorporated**

— Prior year 2015-002: Expenses – Resolved

# Findings, continued

## **Federal Award Findings and Questioned Costs**

### **2016-001 Procurement Supporting Documentation (p.145)**

#### — Higher Education – Institutional Aid (CFDA# 84.031)

- One of eight procurement samples tested did not have support for competitive bidding or price analysis. The one sample had a procurement amount of \$5,788.
- Noncompliance

# Findings, continued

## **Section 12-6-5 NMSA 1978 Findings**

### **2016-002 Terminated Employee Documentation Process – Main Campus (p.147)**

- Control Deficiency - Four out of 40 employee samples tested did not have timely access terminations. Only two of the four noted samples had access to the Banner Financial Module.

### **2016-003 Equipment Tagging Process – Main Campus (p. 148)**

- Control Deficiency - Five out of 40 equipment samples tested, the property tags were missing or misplaced

### **2016-004 Formalized Review of Soarian Users– UNM Hospital, UNMBH, and SRMC (p.149)**

- Control Deficiency - No formalized review of user access in the Soarian System

### **2016-005 Cash Disbursement Process – The Robert O. Anderson Schools of Management Foundation (p 150)**

- Control Deficiency - One out of twelve check disbursement samples tested did not have the required two signatures for disbursements over \$5,000. The one check amount was \$9,500.

# Findings, continued

## **Section 12-6-5 NMSA 1978 Findings – Continued**

### **2016-006 Journal Entry Review Process – STC.UNM (p.151)**

- Material Weakness at the Individual Component Unit Level – Two material journal entries were not reviewed/approved for accuracy which resulted in misstatements

### **2016-007 Review of Account Reconciliations – STC.UNM (p.152)**

- Material Weakness at the Individual Component Unit Level – Errors in the recording of transactions to significant accounts

### **2016-008 Journal Entry Review Process – Innovate ABQ, Inc. (p.153)**

- Material Weakness at the Individual Component Unit Level - Two material journal entries were not reviewed/approved for accuracy which resulted in misstatements

### **2016-009 Investments-Related Balances – The University of New Mexico Alumni Association (p.154)**

- Material Weakness at the Individual Component Unit Level – Three significant investment-related accounts were not correctly reported.

### **2016-010 Year-End Procedures –The University of New Mexico Alumni Association (p.155)**

- Control Deficiency – Year end reconciliations and cutoff procedures on cash and revenue accounts were not properly performed.

# Accounting Pronouncements

## Adoption of New Accounting Policies

### **GASB 72 – *Fair Value Measurement and Application* – effective for FY 2016 (p. 46 and 60)**

This statement addresses accounting and financial reporting issues related to fair value measurements. The definition of fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. This Statement provides guidance for determining a fair value measurement for financial reporting purposes. This Statement also provides guidance for applying fair value to certain investments and disclosures related to all fair value measurements. Guidelines recognize a three-tiered fair value hierarchy, as follows:

- Level 1: Quoted prices for identical investments in active markets that a government can assess at the measurement date;
- Level 2: Inputs, other than quoted prices included in Level 1, that are observable, either directly or indirectly, including quoted prices for similar investments in active markets, quoted prices for identical investments in markets that are not active, inputs other than quoted prices that are observable for the investment, and market-corroborated inputs;
- Level 3: Inputs that are unobservable using the best information available under the circumstances.

# Accounting Pronouncements, continued

## Accounting Standards Implemented in Prior Year

### **GASB Statement 68 – Accounting and Financial Reporting for Pensions**

**(p. 46 and footnote # 16 – p.87)**

This Statement established standards for measuring and recognizing liabilities, deferred outflows of resources, deferred inflows of resources, and expenses/expenditures related to pensions.

|                                | 2016          | 2015          |
|--------------------------------|---------------|---------------|
| Net Pension Liability          | 1,068,222,984 | 937,754,765   |
| Deferred Outflows of Resources | 115,739,057   | 80,401,217    |
| Deferred Inflows of Resources  | 26,615,905    | 99,877,591    |
| Pension Expense                | 87,245,436    | 69,903,690    |
| Unrestricted Net Position      | (237,246,579) | (235,837,853) |

# Significant Accounting Topics

## Significant Accounting Estimates

- Valuation of alternative investments (p. 43, 50) and related income (p. 59)
- Pension Liability (p.46, 87-94) – *previous slide*
- Estimate of Other Post-employment Benefits (OPEB) (p. 94-96)
- Patient revenues and related patient receivables (p. 43, 65, and 83)
- Valuation of estimated third-party payor settlements (p. 46, 83)

## Significant Accounting Policies

The significant accounting policies used by the University and the Clinical Operations can be found in the footnote #2.

## Other Key Footnotes of Matter

- Bonds Payable (footnotes #11 and 12 – p. 70 and 71)
- Unrestricted Net Position (footnote #19 – p.98)
- Capital Initiatives (footnotes #13 and 20 – p.83 and 99)

# Significant Accounting Topics, continued

## Corrected and Uncorrected Audit Adjustments

### Corrected Audit Adjustments:

All audit adjustments and reclassifications have been discussed and recorded by management and have been or will be presented to the applicable committees.

### Uncorrected Audit Adjustments:

- **UNM** – Per GASB 61 analysis, Lobo Energy should be presented as a blended component unit. Management has concluded that discrete presentation is more transparent and when considered in conjunction with the New Mexico State Auditor preference for discrete presentation, management has determined to present Lobo Energy as discrete. Approximately \$4.0M effect on net position.
- **Lobo Club** – Related to recording of gift-in-kind revenue and expense for approx. \$94,000.
- **UNM Foundation** – Management identified a difference of approx. \$338,000 between custodian and investment manager's statements due to timing of statements.

# Significant Accounting Topics, continued

## **Significant or Unusual Transactions**

### **UNM**

- Transfer of HSC West building from LDC
- New issue of 2016 A&B Bonds and defeasance of 2007 A&B Bonds

### **UNM Hospitals and the Behavioral Health Operations**

- Termination of OPEB benefits

### **Component Units**

- STC.UNM – Transfer of assets to Innovate ABQ
- Innovate ABQ – Capital contribution from STC.UNM



**MOSS ADAMS** LLP  
Certified Public Accountants | Business Consultants

# Thank you



[kpmg.com/socialmedia](https://kpmg.com/socialmedia)

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THE UNIVERSITY OF NEW MEXICO ♦ HEALTH SCIENCES CENTER

**UNM HOSPITALS**

## **CAPITAL RENOVATION PROJECT APPROVAL**

### **Renovation request for Main Operating Room 'F'**

**December 2016**

#### **REQUESTED ACTION:**

As required by Section 7.12 of Board of Regents Policy Manual, the New Mexico Higher Education Department and the New Mexico State Board of Finance, capital project approval is requested for Renovation of the **Main Operating Room "F"**.

#### **DESCRIPTION:**

This project is to upgrade the endovascular suite Operating Room (OR) 'F' with modern equipment to meet the current standards for imaging quality and advanced technology. The scope of this project includes modifications to the existing OR within the second floor of the Main Hospital at 2211 Lomas NE. These modifications include exterior walls to create additional 120 SF equipment room, required demolition of existing mechanical system and installation of new HVAC roof top system containing HEPA filtration and humidification. Adaptations to the electrical systems are required for the new General Electric and Steris equipment. Work to include specialized flooring system and added structural components to support the new equipment.

#### **RATIONALE REPLACEMENT:**

OR Room F currently supports 452 procedures annually in the Main OR and is vital in providing lifesaving vascular care to patients. The scope and nature of endovascular therapy has revolutionized in the last 5-7 years with advances in the technology. The existing imaging equipment is nine years old and needs to be replaced with modern equipment necessary to provide and maintain contemporary standards of vascular surgery practices. The installation of a new General Electric Discovery IGS Mobil Gantry will provide improved imaging quality as well as provide increased safety to patients and staff.

#### **PURCHASING PROCESS:**

The Architectural firm selected through a Quote Request Process is Vigil Architects, and a Purchase Order is in place. The construction contract award will be determined by a formal request for proposal (RFP) upon completion of Bid Documents.

#### **FUNDING:**

The total project budget is estimated at \$2,220,600 of which \$1,520,600 represents the equipment purchase and \$700,000 represents the cost of renovations necessary for the equipment installation. Funding is provided by UNMH Capital Budget. The GE Discovery System was approved by the Board of Regents at the June 10, 2016 meeting.



THE UNIVERSITY *of*  
NEW MEXICO

**Monthly Financial Report**

**Consolidated Total Operations Current Funds**

**Fiscal Year to Date as of November 30, 2016**



# THE UNIVERSITY *of* NEW MEXICO

## Summary of Items in the Nov 30, 2016 Consolidated Financial Report

- Full Year Budget was adjusted for all exhibits (Instruction and General, Research, etc.) to reflect the reduction of State Appropriations for FY16. The Fiscal Year to Date actual amounts for the State and Local Appropriation line items also reflect the adjusted amounts that the University would have received had the reduction in State Appropriation amount been identified at the beginning of the fiscal year.

*Budget amounts begin on page 8 far left column*

- It appears that salary savings are beginning to occur following the hiring freeze announced Sept 21, 2016 for new or replacement hires for regular and temporary positions for Main and Branch campuses. Total salaries and benefits for Main and Branch campuses are slightly less (\$123,000) compared to November 2015 report. *First salary line items appear on page 8*

- The net result of Clinical Operations is **(\$180,853)**. This is comprised of a **(\$216,701)** School of Medicine net margin, and a \$35,848 net margin for UNM Hospitals. *Clinical exhibit is on Page 10*

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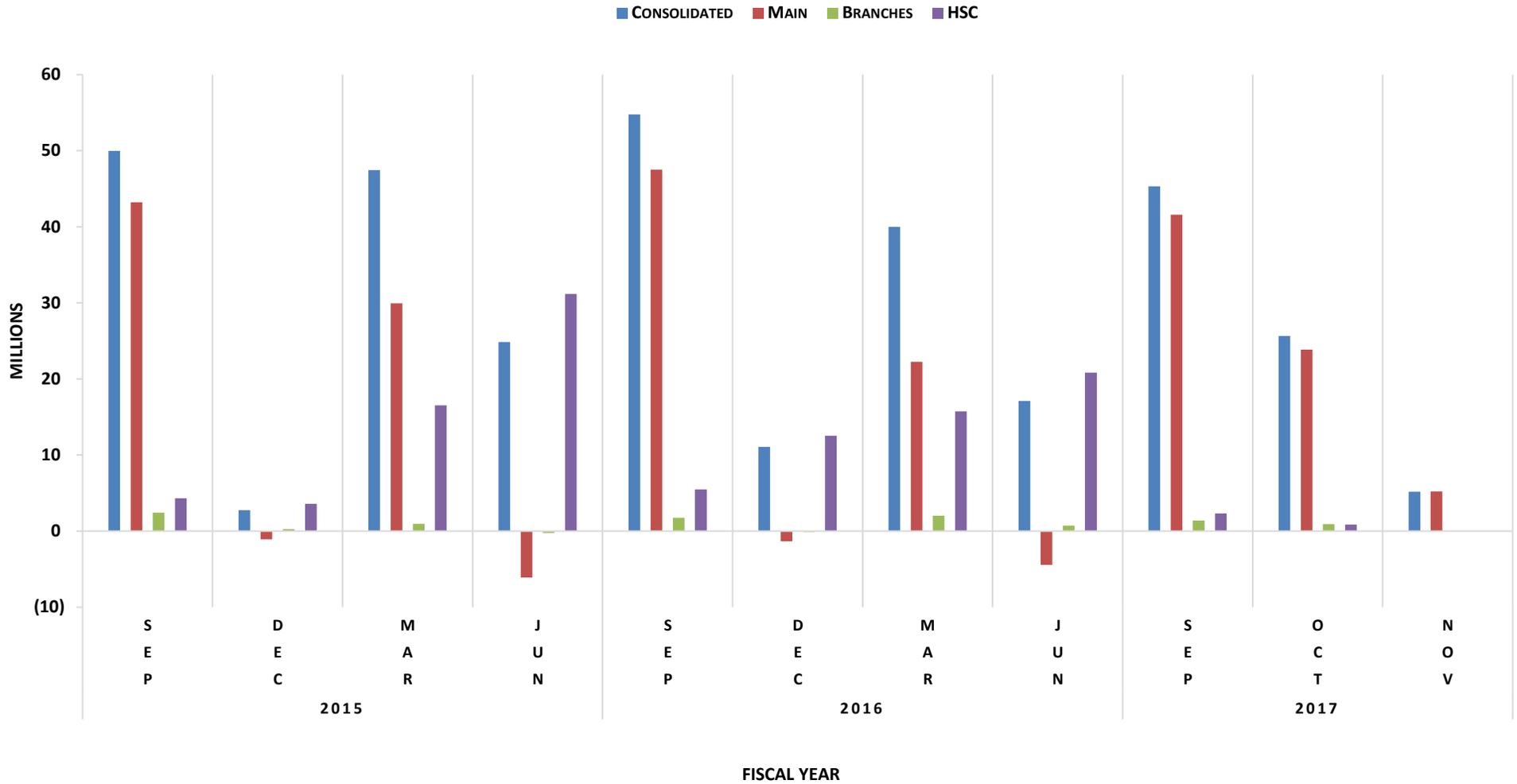
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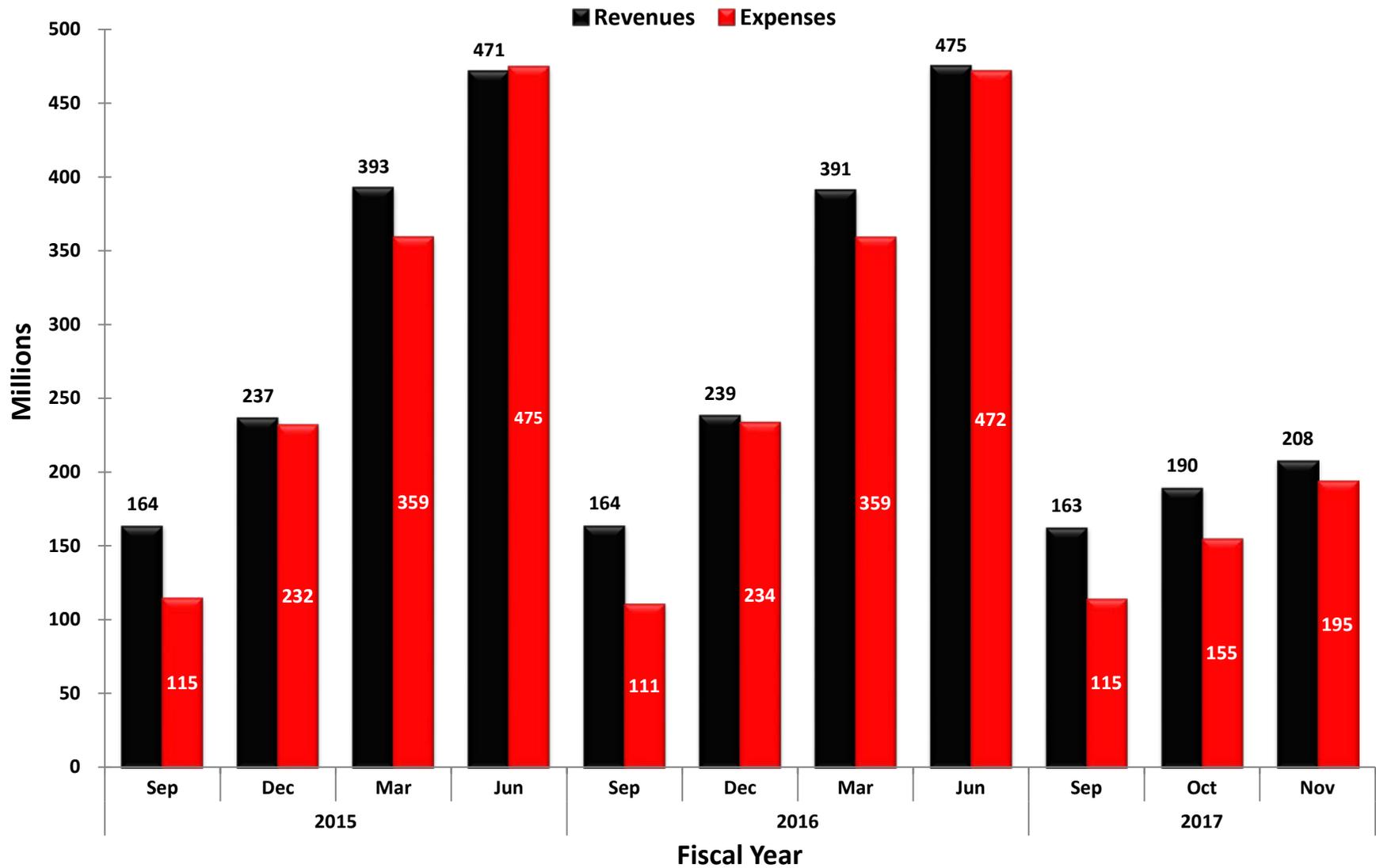
# THE UNIVERSITY of NEW MEXICO

## CONSOLIDATED TOTAL OPERATIONS - 3 YEAR NET REVENUE / (EXPENSE) FISCAL YEAR TO DATE AS OF NOVEMBER 30, 2016





## YTD I&G Consolidated Revenues / Expenses (3 Year) Fiscal Year to Date as of November 30, 2016



## **Executive Budget Summary**

### **University of New Mexico Consolidated Financial Report**

### **FY 2017 UNM Re-Forecasted Budget**

This report covers current fund operations for the University, including Main Campus, Branch Campuses, and HSC Campus. Budget adjustments were approved at the December Board of Regents meeting to reflect the current budget shortfall at the state level. Typically, HSC is the only campus that re-forecasts their operating budget on a monthly basis.

**Instruction and General** operation projects a use of reserves of \$20.4M for the FY 2017 UNM Re-Forecasted Budget. The use of reserves of \$20.4M is comprised of \$11.8M use of reserves at the Main Campus, a \$3.8M use of reserves at the Branch Campuses, and a use of reserves of \$4.8M at the HSC Campus. The \$11.8M use of reserves at Main Campus is primarily due to the budgeting of use of reserves in Provost Academic Affairs at 71% including \$1.3M to offset the mid-year State budget reduction, and Executive VP for Administration budgeting 25% of I&G reserves including \$358K to offset the mid-year State budget reduction. The \$4.8M unfavorable net margin at the HSC Campus is primarily due to the projected 5% cut in State funding for FY 2017.

The next block of information shows the **Unrestricted Research** operations. The FY 2017 UNM Re-Forecasted Budget projects a use of reserves of \$8.9M, of which \$7.2M use of reserves is related to Main Campus and a \$1.7M use of reserves is related to the HSC Campus. The \$7.2M use of reserves at Main Campus is primarily due to Provost Academic Affairs' departments budgeting reserves. The departments that budgeted the largest use of reserves are the College of Arts and Sciences, the School of Engineering, and VP for Research. At the HSC Campus, the \$1.7M use of reserves includes CTSC startup packages, equipment purchases and research supplies and College of Pharmacy equipment purchases and new faculty startup costs.

The third business category shown on the first page of this report is **Unrestricted Public Service**. The operations in this category include special projects funded by State Appropriations, for example Project ECHO, and non-endowed gifts flowing from the UNM Foundation to departments and many small events funded by user fees. The FY 2017 UNM Re-Forecasted Budget projects a use of reserves of \$4.8M. These reserves are comprised of \$4.8M use of reserves at the Main and Branch Campuses and a favorable net margin of \$2K at the HSC Campus. The \$4.8M use of reserves at the Main and Branch Campuses is primarily due to Provost Academic Affairs' departments budgeting reserves. The departments budgeting the largest use of reserves are the College of Arts and Sciences, the School of Engineering, the School of Law, and VP for Research. The primary use of reserves at the HSC campus includes spending for UNM Cancer Center initiatives and for the Yeung Endoscopic Spine Center.

Page 2 of this report begins with the **Student Aid** function. The FY 2017 UNM Re-Forecasted Budget projects a use of reserves of \$8.9M. These reserves are comprised of \$8.2M use of reserves at the Main and Branch Campuses and a use of reserves of \$683K at the HSC Campus. The budgeted use of reserves at Main Campus is primarily due to Provost Academic Affairs' departments budgeting reserves in endowed spending indices for the payout of major and departmental scholarships in the new fiscal year. Those departments include: VP Division of Enrollment Management, College of Fine Arts, College of Arts and Sciences, College of Education, and School of Law.

**Student Activities** are the operations of Student Government and Student organizations. The FY 2017 UNM Re-Forecasted Budget shows a use of reserves of \$656K.

#### **Auxiliaries and Athletics**

The FY 2017 UNM Re-Forecasted Budget for Auxiliaries and Athletics projects a use of reserves of \$914K. These reserves are primarily due to a combination of Athletics budgeting a \$445K use of reserves, VP for Institutional Support Services budgeting a \$363 use of reserves, VP for Student Affairs budgeting a use of reserves of \$27K, and the Provost and Other Units budgeting a \$79K net use of reserves.

**Sponsored programs** operations are our contract and grant research and public service projects funded by outside agencies and companies. These operations will always record a zero net revenue over expense by the nature of their funding. The agency pays for a service or research project, and normally any unspent funds must be returned to the agency.

The next block of numbers on the third page is a summary of our **Clinical Operations**. These operations are essentially all the patient care activities of the HSC Campus, including the UNM Hospitals, SOM physician professional services, Cancer Center operations and Housestaff/Medical Residents who are being trained in the UNM and VA hospitals. The FY 2017 UNM Re-Forecasted Budget projects a favorable net margin of \$815K. UNM Hospitals budgeted a favorable net margin of \$4K. The School of Medicine budgeted a favorable net margin of \$811K which is primarily due to increased clinical volumes at the UNM Cancer Center and Neurosurgery.

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format  
For the five month ended November 30, 2016  
Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

|   | FY 2017<br>Full Year<br>Re-Forecasted Budget | FY 2017<br>Year-to-Date<br>Actual | Fiscal YTD<br>Favrb/(Unfavrb)<br>Budget | Actual to Budget<br>Benchmark Rate<br>42% | FY 2016<br>Year-to-Date<br>Actual | FY 2017 YTD Actual<br>Change From<br>FY 2016 YTD Actual |
|---|--|-----------------------------------|---|---|-----------------------------------|---|
| Instruction and General                       |  |                                   |   |   |                                   |   |
| Tuition and Fees Revenues                     |  |                                   |   |   |                                   |   |
| Main Campus                                   | 156,412,912                                  | 80,336,055                        | (76,076,857)                            | 51%                                       | 80,695,958                        | (359,903)   |
| Branch Campuses                               | 8,030,757                                    | 4,209,828                         | (3,820,929)                             | 52%                                       | 4,098,604                         | 111,224   |
| HSC Campus                                    | 16,311,294                                   | 6,773,677                         | (9,537,617)                             | 42%                                       | 7,469,525                         | (695,848)   |
| Total Tuition and Fees Revenues               | 180,754,963                                  | 91,319,560                        | (89,435,403)                            | 51%                                       | 92,264,087                        | (944,527)   |
| State/Local Appropriations                    | 265,605,455                                  | 110,668,938                       | (154,936,517)                           | 42%                                       | 118,999,603                       | (8,330,665)   |
| F & A Revenues                                | 44,200,000                                   | 18,322,877                        | (25,877,123)                            | 41%                                       | 17,824,145                        | 498,732   |
| Transfers                                     | (56,117,084)                                 | (23,784,246)                      | 32,332,838                              | 42%                                       | (27,481,997)                      | 3,697,751   |
| Other Revenues                                | 28,719,615                                   | 11,527,966                        | (17,191,649)                            | 40%                                       | 13,908,627                        | (2,380,661)   |
| Total Instruction and General Revenues        | 463,162,949                                  | 208,055,095                       | (255,107,854)                           | 45%                                       | 215,514,465                       | (7,459,370)   |
| Salaries                                      | 290,449,677                                  | 121,205,856                       | 169,243,821                             | 42%                                       | 120,988,308                       | (217,548)   |
| Benefits                                      | 95,494,537                                   | 39,302,392                        | 56,192,145                              | 41%                                       | 39,748,203                        | 445,811   |
| Other Expenses                                | 97,667,799                                   | 34,128,244                        | 63,539,555                              | 35%                                       | 35,867,856                        | 1,739,612   |
| Total Instruction and General Expenses        | 483,612,013                                  | 194,636,492                       | 288,975,521                             | 40%                                       | 196,604,367                       | 1,967,875   |
| Net Instruction and General Revenue/(Expense) | (20,449,064)                                 | 13,418,603                        | 33,867,667                              |   | 18,910,098                        | (5,491,495)   |
| Research                                      |  |                                   |   |   |                                   |   |
| State/Local Appropriations                    | 11,755,546                                   | 5,128,714                         | (6,626,832)                             | 44%                                       | 5,062,794                         | 65,920  |
| Transfers                                     | 26,873,003                                   | 8,264,996                         | (18,608,007)                            | 31%                                       | 7,500,878                         | 764,118   |
| Other Revenues                                | 3,002,030                                    | 2,480,455                         | (521,575)                               | 83%                                       | 1,791,985                         | 688,470   |
| Total Research Revenues                       | 41,630,579                                   | 15,874,165                        | (25,756,414)                            | 38%                                       | 14,355,657                        | 1,518,508   |
| Salaries and Benefits                         | 28,413,689                                   | 11,064,506                        | 17,349,183                              | 39%                                       | 10,450,732                        | (613,774)   |
| Other Expenses                                | 22,118,221                                   | 7,730,344                         | 14,387,877                              | 35%                                       | 7,439,346                         | (290,998)   |
| Total Research Expenses                       | 50,531,910                                   | 18,794,850                        | 31,737,060                              | 37%                                       | 17,890,078                        | (904,772)   |
| Net Research Revenue/(Expense)                | (8,901,331)                                  | (2,920,685)                       | 5,980,646                               |   | (3,534,421)                       | 613,736   |
| Public Service                                |  |                                   |   |   |                                   |   |
| State/Local Appropriations                    | 3,420,150                                    | 1,425,063                         | (1,995,087)                             | 42%                                       | 1,701,356                         | (276,293)   |
| Sales and Services Revenues                   | 14,322,863                                   | 6,102,408                         | (8,220,455)                             | 43%                                       | 8,641,204                         | (2,538,796)   |
| Gifts   | 9,108,522                                    | 3,044,930                         | (6,063,592)                             | 33%                                       | 3,531,233                         | (486,303)   |
| Transfers                                     | 4,892,656                                    | 1,519,141                         | (3,373,515)                             | 31%                                       | 1,969,435                         | (450,294)   |
| Other Revenues                                | 7,785,489                                    | 2,591,086                         | (5,194,403)                             | 33%                                       | 1,930,590                         | 660,496   |
| Total Public Service Revenues                 | 39,529,680                                   | 14,682,628                        | (24,847,052)                            | 37%                                       | 17,773,818                        | (3,091,190)   |
| Salaries and Benefits                         | 17,335,079                                   | 7,250,060                         | 10,085,019                              | 42%                                       | 8,653,758                         | 1,403,698   |
| Other Expenses                                | 27,005,312                                   | 8,940,462                         | 18,064,850                              | 33%                                       | 9,760,327                         | 819,865   |
| Total Public Service Expenses                 | 44,340,391                                   | 16,190,522                        | 28,149,869                              | 37%                                       | 18,414,085                        | 2,223,563   |
| Net Public Service Revenue/(Expense)          | (4,810,711)                                  | (1,507,894)                       | 3,302,817                               |   | (640,267)                         | (867,627)   |

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format  
For the five month ended November 30, 2016  
Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

|  | FY 2017<br>Full Year<br>Re-Forecasted Budget | FY 2017<br>Year-to-Date<br>Actual | Fiscal YTD<br>Favrb/(Unfavrb)<br>Budget | Actual to Budget<br>Benchmark Rate<br>42% | FY 2016<br>Year-to-Date<br>Actual | FY 2017 YTD Actual<br>Change From<br>FY 2016 YTD Actual |
|--|--|-----------------------------------|---|---|-----------------------------------|---|
| <b>Student Aid</b>                                       |  |                                   |   |   |                                   |   |
| Gifts  | 5,801,612                                    | 2,565,079                         | (3,236,533)                             | 44%                                       | 1,847,974                         | 717,105   |
| State Lottery Scholarship                                | 33,750,000                                   | 15,682,347                        | (18,067,653)                            | 46%                                       | 17,500,000                        | (1,817,653)   |
| Transfers  | 18,754,117                                   | 7,184,903                         | (11,569,214)                            | 38%                                       | 6,973,122                         | 211,781   |
| Other Revenues   | 503,010                                      | 122,172                           | (380,838)                               | 24%                                       | 327,965                           | (205,793)   |
| Total Student Aid Revenues                               | 58,808,739                                   | 25,554,501                        | (33,254,238)                            | 43%                                       | 26,649,061                        | (1,094,560)   |
| Salaries and Benefits                                    | 3,693,639                                    | 1,977,803                         | 1,715,836                               | 54%                                       | 1,927,815                         | (49,988)  |
| Other Expenses   | 64,072,843                                   | 30,580,696                        | 33,492,147                              | 48%                                       | 28,895,730                        | (1,684,966)   |
| Total Student Aid Expenses                               | 67,766,482                                   | 32,558,499                        | 35,207,983                              | 48%                                       | 30,823,545                        | (1,734,954)   |
| Net Student Aid Revenue/(Expense)                        | (8,957,743)                                  | (7,003,998)                       | 1,953,745                               |   | (4,174,484)                       | (2,829,514)   |
| <b>Student Social &amp; Cultural Programs</b>            |  |                                   |   |   |                                   |   |
| Fee Revenues   | 8,236,537                                    | 4,229,094                         | (4,007,443)                             | 51%                                       | 4,065,628                         | 163,466   |
| Sales and Services Revenues                              | 1,373,723                                    | 701,472                           | (672,251)                               | 51%                                       | 742,642                           | (41,170)  |
| Transfers  | 367,310                                      | 158,446                           | (208,864)                               | 43%                                       | 206,785                           | (48,339)  |
| Other Revenues   | 106,902                                      | 66,864                            | (40,038)                                | 63%                                       | 80,875                            | (14,011)  |
| Total Student Social & Cultural Programs Revenues        | 10,084,472                                   | 5,155,876                         | (4,928,596)                             | 51%                                       | 5,095,930                         | 59,946  |
| Salaries and Benefits                                    | 5,696,781                                    | 2,572,154                         | 3,124,627                               | 45%                                       | 2,587,302                         | 15,148  |
| Other Expenses   | 5,043,315                                    | 1,729,085                         | 3,314,230                               | 34%                                       | 2,145,198                         | 416,113   |
| Total Student Social & Cultural Programs Expenses        | 10,740,096                                   | 4,301,239                         | 6,438,857                               | 40%                                       | 4,732,500                         | 431,261   |
| Net Student Social & Cultural Programs Revenue/(Expense) | (655,624)                                    | 854,637                           | 1,510,261                               |   | 363,430                           | 491,207   |
| <b>Auxiliaries and Athletics</b>                         |  |                                   |   |   |                                   |   |
| Branch Campuses Auxiliary Revenues                       | 3,273,656                                    | 1,037,111                         | (2,236,545)                             | 32%                                       | 1,000,222                         | 36,889  |
| Main Campus Auxiliaries Revenues                         | 53,302,291                                   | 27,588,770                        | (25,713,521)                            | 52%                                       | 25,946,479                        | 1,642,291   |
| Athletics Revenues                                       | 36,429,912                                   | 15,284,414                        | (21,145,498)                            | 42%                                       | 13,677,594                        | 1,606,820   |
| Total Auxiliaries and Athletics Revenues                 | 93,005,859                                   | 43,910,295                        | (49,095,564)                            | 47%                                       | 40,624,295                        | 3,286,000   |
| Branch Campuses Auxiliary Expenses                       | 3,273,656                                    | 944,093                           | 2,329,563                               | 29%                                       | 1,129,544                         | 185,451   |
| Main Campus Auxiliaries Expenses                         | 53,772,046                                   | 23,521,038                        | 30,251,008                              | 44%                                       | 21,690,293                        | (1,830,745)   |
| Athletics Expenses                                       | 36,874,519                                   | 16,918,912                        | 19,955,607                              | 46%                                       | 15,093,762                        | (1,825,150)   |
| Total Auxiliaries and Athletics Expenses                 | 93,920,221                                   | 41,384,043                        | 52,536,178                              | 44%                                       | 37,913,599                        | (3,470,444)   |
| Net Auxiliaries and Athletics Revenue/(Expense)          | (914,362)                                    | 2,526,252                         | 3,440,614                               |   | 2,710,696                         | (184,444)   |

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format  
For the five month ended November 30, 2016  
Preliminary and Unaudited

University of New Mexico - Consolidated Total Operations Current Funds

|  | FY 2017<br>Full Year<br>Re-Forecasted Budget | FY 2017<br>Year-to-Date<br>Actual | Fiscal YTD<br>Favrbl/(Unfavrbl)<br>Budget | Actual to Budget<br>Benchmark Rate<br>42% | FY 2016<br>Year-to-Date<br>Actual | FY 2017 YTD Actual<br>Change From<br>FY 2016 YTD Actual |
|--|--|-----------------------------------|---|---|-----------------------------------|---|
| <b>Sponsored Programs</b>                      |  |                                   |   |   |                                   |   |
| Federal Grants and Contracts Revenues          | 253,753,918                                  | 105,811,865                       | (147,942,053)                             | 42%                                       | 105,007,319                       | 804,546   |
| State and Local Grants and Contracts Revenues  | 34,729,831                                   | 13,901,319                        | (20,828,512)                              | 40%                                       | 12,910,170                        | 991,149   |
| Non-Governmental Grants and Contracts Revenues | 30,719,656                                   | 14,000,751                        | (16,718,905)                              | 46%                                       | 13,328,856                        | 671,895   |
| Gifts  | -  | -                                 | -   | N/A                                       | -                                 | -   |
| Transfers                                      | 5,672,639                                    | 3,169,076                         | (2,503,563)                               | 56%                                       | 2,923,950                         | 245,126   |
| Other Revenues                                 | -  | (844,058)                         | (844,058)                                 | N/A                                       | (540,765)                         | (303,293)   |
| Total Sponsored Programs Revenues              | 324,876,044                                  | 136,038,953                       | (188,837,091)                             | 42%                                       | 133,629,530                       | 2,409,423   |
| Salaries and Benefits                          | 151,182,877                                  | 58,502,335                        | 92,680,542                                | 39%                                       | 57,785,300                        | (717,035)   |
| Other Expenses                                 | 173,693,167                                  | 77,536,618                        | 96,156,549                                | 45%                                       | 75,844,230                        | (1,692,388)   |
| Total Sponsored Programs Expenses              | 324,876,044                                  | 136,038,953                       | 188,837,091                               | 42%                                       | 133,629,530                       | (2,409,423)   |
| Net Sponsored Programs Revenue/(Expense)       | -  | -                                 | -   |   | -                                 | -   |
| <b>Clinical Operations</b>                     |  |                                   |   |   |                                   |   |
| State/Local Appropriations                     | 25,305,365                                   | 10,543,902                        | (14,761,463)                              | 42%                                       | 11,628,913                        | (1,085,011)   |
| Physician Professional Fee Revenues            | 127,766,319                                  | 51,730,135                        | (76,036,184)                              | 40%                                       | 50,252,913                        | 1,477,222   |
| Hospital Facility Revenues                     | 864,979,398                                  | 375,832,060                       | (489,147,338)                             | 43%                                       | 378,006,931                       | (2,174,871)   |
| Other Patient Revenues, net of Allowance       | 163,199,943                                  | 64,990,803                        | (98,209,140)                              | 40%                                       | 56,785,532                        | 8,205,271   |
| Mil Levy                                       | 95,849,351                                   | 39,990,830                        | (55,858,521)                              | 42%                                       | 38,840,046                        | 1,150,784   |
| Investment Income                              | (82,916)                                     | (155,094)                         | (72,178)                                  | 187%                                      | 1,585,557                         | (1,740,651)   |
| Gifts  | 2,789,445                                    | 1,741,470                         | (1,047,975)                               | 62%                                       | 1,403,805                         | 337,665   |
| Housestaff Revenues                            | 37,907,661                                   | 16,212,629                        | (21,695,032)                              | 43%                                       | 15,286,949                        | 925,680   |
| Other Revenues                                 | 26,265,627                                   | 7,235,111                         | (19,030,516)                              | 28%                                       | 8,029,988                         | (794,877)   |
| Total Clinical Operations Revenues             | 1,343,980,193                                | 568,121,846                       | (775,858,347)                             | 42%                                       | 561,820,634                       | 6,301,212   |
| Salaries and Benefits                          | 751,230,947                                  | 311,250,933                       | 439,980,014                               | 41%                                       | 288,067,694                       | (23,183,239)  |
| Interest Expense                               | 3,846,613                                    | 1,602,752                         | 2,243,861                                 | 42%                                       | 1,594,638                         | (8,114)   |
| Housestaff Expenses                            | 37,907,661                                   | 15,585,338                        | 22,322,323                                | 41%                                       | 15,338,246                        | (247,092)   |
| Other Expenses                                 | 550,180,209                                  | 239,863,676                       | 310,316,533                               | 44%                                       | 250,548,540                       | 10,684,864  |
| Total Clinical Operations Expenses             | 1,343,165,430                                | 568,302,699                       | 774,862,731                               | 42%                                       | 555,549,118                       | (12,753,581)  |
| Net Clinical Operations Revenue/(Expense)      | 814,763                                      | (180,853)                         | (995,616)                                 |   | 6,271,516                         | (6,452,369)   |
| <b>Contingencies</b>                           |  |                                   |   |   |                                   |   |
| Total Contingency Revenues                     | 3,680,669                                    | -                                 | (3,680,669)                               | 0%  | -                                 | -   |
| Total Contingency Expenses                     | 1,628,491                                    | -                                 | (1,628,491)                               | 0%  | -                                 | -   |
| Net Contingencies Revenue/(Expense)            | 2,052,178                                    | -                                 | (2,052,178)                               |   | -                                 | -   |
| <b>Net Current Revenue/(Expense)</b>           | <b>(41,821,894)</b>                          | <b>5,186,062</b>                  | <b>47,007,956</b>                         |   | <b>19,906,568</b>                 | <b>(14,720,506)</b>                                     |

\*\*\* Clinical Operations include the SOM Clinical Departments which currently have a consolidated loss of \$(216,701) and UNM Hospitals operations which currently has a net margin of \$35,848

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format  
For the five month ended November 30, 2016  
Preliminary and Unaudited

University of New Mexico - Main Campus Athletics & Auxiliary Operations

|  | FY 2017<br>Full Year<br>Re-Forecasted Budget | FY 2017<br>Year-to-Date<br>Actual | Fiscal YTD<br>Favrb/(Unfavrb)<br>Budget | Actual to Budget<br>Benchmark Rate<br>42% | FY 2016<br>Year-to-Date<br>Actual | FY 2017 YTD Actual<br>Change From<br>FY 2016 YTD Actual |
|--|--|-----------------------------------|---|---|-----------------------------------|---|
| <b>Results of Athletics Operations:</b>      |  |                                   |   |   |                                   |   |
| Athletics Revenues                           | 39,245,714                                   | 16,497,611                        | (22,748,103)                            | 42%                                       | 15,115,058                        | 1,382,553   |
| Athletics Transfers                          | (2,815,802)                                  | (1,213,197)                       | 1,602,605                               | 43%                                       | (1,437,464)                       | 224,267   |
| Total Athletics Revenues                     | 36,429,912                                   | 15,284,414                        | (21,145,498)                            | 42%                                       | 13,677,594                        | 1,606,820   |
| Athletics Expenses                           |  |                                   |   |   |                                   |   |
| Salaries and Benefits                        | 14,466,109                                   | 6,220,651                         | 8,245,458                               | 43%                                       | 6,133,384                         | (87,267)  |
| Grant-in-Aid                                 | 4,615,847                                    | 2,462,664                         | 2,153,183                               | 53%                                       | 2,414,148                         | (48,516)  |
| Other Expenses                               | 17,792,563                                   | 8,235,597                         | 9,556,966                               | 46%                                       | 6,546,230                         | (1,689,367)   |
| Total Athletics Expenses                     | 36,874,519                                   | 16,918,912                        | 19,955,607                              | 46%                                       | 15,093,762                        | (1,825,150)   |
| Total Net Athletics Revenue/(Expense)        | (444,607)                                    | (1,634,498)                       | (1,189,891)                             |   | (1,416,168)                       | (218,330)   |
| <b>Results of Auxiliary Operations:</b>      |  |                                   |   |   |                                   |   |
| <b>VP for Institutional Support Services</b> |  |                                   |   |   |                                   |   |
| Bookstore Revenues                           | 14,358,413                                   | 6,680,455                         | (7,677,958)                             | 47%                                       | 6,951,867                         | (271,412)   |
| Bookstore Transfers                          | (410,888)                                    | (145,833)                         | 265,055                                 | 35%                                       | (145,833)                         | -   |
| Total Bookstore Revenues                     | 13,947,525                                   | 6,534,622                         | (7,412,903)                             | 47%                                       | 6,806,034                         | (271,412)   |
| Total Bookstore Expenses                     | 13,947,525                                   | 6,211,076                         | 7,736,449                               | 45%                                       | 6,341,513                         | 130,437   |
| Net Bookstore Revenue/(Expense)              | -  | 323,546                           | 323,546                                 |   | 464,521                           | (140,975)   |
| Faculty & Staff Club Revenues                | 45,000                                       | 17,344                            | (27,656)                                | 39%                                       | 57,358                            | (40,014)  |
| Faculty & Staff Club Expenses                | 73,191                                       | 23,485                            | 49,706                                  | 32%                                       | 25,689                            | 2,204   |
| Net Faculty & Staff Club Revenue/(Expense)   | (28,191)                                     | (6,141)                           | 22,050                                  |   | 31,669                            | (37,810)  |
| Food Service/Dining Revenues                 | 2,444,914                                    | 1,057,992                         | (1,386,922)                             | 43%                                       | 1,111,242                         | (53,250)  |
| Food Service/Dining Transfers                | (520,357)                                    | (28,433)                          | 491,924                                 | 5%  | (20,833)                          | (7,600)   |
| Total Food Service/Dining Revenues           | 1,924,557                                    | 1,029,559                         | (894,998)                               | 53%                                       | 1,090,409                         | (60,850)  |
| Total Food Service/Dining Expenses           | 1,924,557                                    | 555,841                           | 1,368,716                               | 29%                                       | 652,162                           | 96,321  |
| Net Food Service/Dining Revenue/(Expense)    | -  | 473,718                           | 473,718                                 |   | 438,247                           | 35,471  |
| Golf Courses Revenues                        | 2,394,850                                    | 806,840                           | (1,588,010)                             | 34%                                       | 814,369                           | (7,529)   |
| Golf Courses Transfers                       | (39,250)                                     | (4,626)                           | 34,624                                  | 12%                                       | (16,354)                          | 11,728  |
| Total Golf Courses Revenues                  | 2,355,600                                    | 802,214                           | (1,553,386)                             | 34%                                       | 798,015                           | 4,199   |
| Total Golf Courses Expenses                  | 2,355,600                                    | 958,599                           | 1,397,001                               | 41%                                       | 965,283                           | 6,684   |
| Net Golf Courses Revenue/(Expense)           | -  | (156,385)                         | (156,385)                               |   | (167,268)                         | 10,883  |
| Housing                                      | 10,408,000                                   | 6,165,274                         | (4,242,726)                             | 59%                                       | 5,735,858                         | 429,416   |
| Housing Transfers                            | (2,134,240)                                  | (1,444,823)                       | 689,417                                 | 68%                                       | (1,239,267)                       | (205,556)   |
| Total Housing Revenues                       | 8,273,760                                    | 4,720,451                         | (3,553,309)                             | 57%                                       | 4,496,591                         | 223,860   |
| Total Housing Expense                        | 8,273,760                                    | 3,366,419                         | 4,907,341                               | 41%                                       | 3,353,827                         | (12,592)  |
| Net Housing Revenue/(Expense)                | -  | 1,354,032                         | 1,354,032                               |   | 1,142,764                         | 211,268   |
| Other Revenues                               | 1,350,000                                    | 511,110                           | (838,890)                               | 38%                                       | 600,000                           | (88,890)  |
| Other Transfers                              | (1,677,000)                                  | (862,500)                         | 814,500                                 | 51%                                       | (862,500)                         | -   |
| Total Other Revenues                         | (327,000)                                    | (351,390)                         | (24,390)                                | 107%                                      | (262,500)                         | (88,890)  |
| Total Other Expense                          | 8,162  | -                                 | 8,162                                   | 0%  | -                                 | -   |
| Net Other Revenue/(Expense)                  | (335,162)                                    | (351,390)                         | (16,228)                                |   | (262,500)                         | (88,890)  |

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format  
For the five month ended November 30, 2016  
Preliminary and Unaudited

University of New Mexico - Main Campus Athletics & Auxiliary Operations

|   | FY 2017<br>Full Year<br>Re-Forecasted Budget | FY 2017<br>Year-to-Date<br>Actual | Fiscal YTD<br>Favrb/(Unfavrbl)<br>Budget | Actual to Budget<br>Benchmark Rate<br>42% | FY 2016<br>Year-to-Date<br>Actual | FY 2017 YTD Actual<br>Change From<br>FY 2016 YTD Actual |
|---|--|-----------------------------------|--|---|-----------------------------------|---|
| Parking and Transportation Revenues                         | 8,641,253                                    | 5,050,867                         | (3,590,386)                              | 58%                                       | 5,147,833                         | (96,966)  |
| Parking and Trans Transfers                                 | (2,204,991)                                  | (856,174)                         | 1,348,817                                | 39%                                       | (854,939)                         | (1,235)   |
| Total Parking and Trans Revenues                            | 6,436,262                                    | 4,194,693                         | (2,241,569)                              | 65%                                       | 4,292,894                         | (98,201)  |
| Total Parking and Trans Expenses                            | 6,436,262                                    | 2,637,046                         | 3,799,216                                | 41%                                       | 2,546,153                         | (90,893)  |
| Net Parking and Trans Revenue/(Expense)                     | -  | 1,557,647                         | 1,557,647                                |   | 1,746,741                         | (189,094)   |
| Popejoy Events Revenues                                     | 7,995,978                                    | 4,868,809                         | (3,127,169)                              | 61%                                       | 2,332,843                         | 2,535,966   |
| Popejoy Events Transfers                                    | -  | (3,270)                           | (3,270)                                  | N/A                                       | -                                 | (3,270)   |
| Total Popejoy Events Revenues                               | 7,995,978                                    | 4,865,539                         | (3,130,439)                              | 61%                                       | 2,332,843                         | 2,532,696   |
| Total Popejoy Events Expenses                               | 7,995,978                                    | 4,878,146                         | 3,117,832                                | 61%                                       | 2,650,988                         | (2,227,158)   |
| Net Popejoy Events Revenue/(Expense)                        | -  | (12,607)                          | (12,607)                                 |   | (318,145)                         | 305,538   |
| Taos & Lawrence Ranch Revenues                              | 58,784                                       | 62,202                            | 3,418                                    | 106%                                      | 38,267                            | 23,935  |
| Taos & Lawrence Ranch Expenses                              | 58,784                                       | 24,128                            | 34,656                                   | 41%                                       | 31,574                            | 7,446   |
| Net Taos & Lawrence Ranch Revenue/(Expense)                 | -  | 38,074                            | 38,074                                   |   | 6,693                             | 31,381  |
| Ticketing Services Revenues                                 | 1,050,795                                    | 596,605                           | (454,190)                                | 57%                                       | 517,080                           | 79,525  |
| Ticketing Services Transfers                                | -  | -                                 | -  | N/A                                       | -                                 | -   |
| Total Ticketing Services Revenues                           | 1,050,795                                    | 596,605                           | (454,190)                                | 57%                                       | 517,080                           | 79,525  |
| Total Ticketing Services Expenses                           | 1,050,795                                    | 415,046                           | 635,749                                  | 39%                                       | 408,231                           | (6,815)   |
| Net Ticketing Services Revenue/(Expense)                    | -  | 181,559                           | 181,559                                  |   | 108,849                           | 72,710  |
| Total VP for Institutional Support Services Revenues        | 41,761,261                                   | 22,471,839                        | (19,289,422)                             | 54%                                       | 20,166,991                        | 2,304,848   |
| Total VP for Institutional Support Services Expenses        | 42,124,614                                   | 19,069,786                        | 23,054,828                               | 45%                                       | 16,975,420                        | (2,094,366)   |
| Net VP for Institutional Support Services Revenue/(Expense) | (363,353)                                    | 3,402,053                         | 3,765,406                                |   | 3,191,571                         | 210,482   |
| <b>VP for Student Affairs</b>                               |  |                                   |  |   |                                   |   |
| Lobo Cash Revenues  | 89,315                                       | 50,738                            | (38,577)                                 | 57%                                       | 52,361                            | (1,623)   |
| Lobo Cash Expenses  | 89,315                                       | 56,375                            | (32,940)                                 | 63%                                       | 7,661                             | (48,714)  |
| Net Lobo Cash Revenue/(Expense)                             | -  | (5,637)                           | (5,637)                                  |   | 44,700                            | (50,337)  |
| Student Health Center Revenues                              | 7,966,426                                    | 3,612,488                         | (4,353,938)                              | 45%                                       | 3,896,958                         | (284,470)   |
| Student Health Center Expenses                              | 7,994,119                                    | 3,060,945                         | 4,933,174                                | 38%                                       | 3,184,872                         | 123,927   |
| Net Student Health Center Revenue/(Expense)                 | (27,693)                                     | 551,543                           | 579,236                                  |   | 712,086                           | (160,543)   |
| Student Union Revenues                                      | 3,228,157                                    | 1,352,932                         | (1,875,225)                              | 42%                                       | 1,706,798                         | (353,866)   |
| Student Union Expenses                                      | 3,228,157                                    | 1,199,632                         | 2,028,525                                | 37%                                       | 1,384,307                         | 184,675   |
| Net Student Union Revenue/(Expense)                         | -  | 153,300                           | 153,300                                  |   | 322,491                           | (169,191)   |
| Total VP for Student Affairs Revenues                       | 11,283,898                                   | 5,016,158                         | (6,267,740)                              | 44%                                       | 5,656,117                         | (639,959)   |
| Total VP for Student Affairs Expenses                       | 11,311,591                                   | 4,316,952                         | 6,928,759                                | 38%                                       | 4,576,840                         | 259,888   |
| Net VP for Student Affairs Revenue/(Expense)                | (27,693)                                     | 699,206                           | 726,899                                  |   | 1,079,277                         | (380,071)   |

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**University of New Mexico - Main Campus Athletics & Auxiliary Operations**

|   | FY 2017<br>Full Year<br>Re-Forecasted Budget | FY 2017<br>Year-to-Date<br>Actual | Fiscal YTD<br>Favrb/(Unfavrb)<br>Budget | Actual to Budget<br>Benchmark Rate<br>42% | FY 2016<br>Year-to-Date<br>Actual | FY 2017 YTD Actual<br>Change From<br>FY 2016 YTD Actual |
|---|--|-----------------------------------|---|---|-----------------------------------|---|
| <b>Provost and Other Units</b>                    |  |                                   |   |   |                                   |   |
| Art Museum Revenues                               | 5,500  | 273                               | (5,227)                                 | 5%  | 1,324                             | (1,051)   |
| Art Museum Expenses                               | 8,500  | 515                               | 7,985                                   | 6%  | 32                                | (483)   |
| Net Art Museum Revenue/(Expense)                  | (3,000)                                      | (242)                             | 2,758                                   |   | 1,292                             | (1,534)   |
| CE Conference Ctr Revenues                        | 225,000                                      | 95,975                            | (129,025)                               | 43%                                       | 97,114                            | (1,139)   |
| CE Conference Ctr Transfers                       | -  | 12,715                            | 12,715                                  | N/A                                       | (33,100)                          | 45,815  |
| Total CE Conference Ctr Revenues                  | 225,000                                      | 108,690                           | (116,310)                               | 48%                                       | 64,014                            | 44,676  |
| Total CE Conference Ctr Expenses                  | 225,000                                      | 94,344                            | 130,656                                 | 42%                                       | 102,746                           | 8,402   |
| Net CE Conference Ctr Revenue/(Expense)           | -  | 14,346                            | 14,346                                  |   | (38,732)                          | 53,078  |
| Maxwell Museum Revenues                           | 40,000                                       | 18,792                            | (21,208)                                | 47%                                       | 22,841                            | (4,049)   |
| Maxwell Museum Expenses                           | 40,000                                       | 6,899                             | 33,101                                  | 17%                                       | 4,941                             | (1,958)   |
| Net Maxwell Museum Revenue/(Expense)              | -  | 11,893                            | 11,893                                  |   | 17,900                            | (6,007)   |
| Other Revenues                                    | (13,368)                                     | (26,982)                          | (13,614)                                | 202%                                      | 35,192                            | (62,174)  |
| Other Expenses                                    | 62,341                                       | 32,542                            | 29,799                                  | 52%                                       | 30,314                            | (2,228)   |
| Net Other Revenue/(Expense)                       | (75,709)                                     | (59,524)                          | 16,185                                  |   | 4,878                             | (64,402)  |
| Total Provost and Other Units Revenues            | 257,132                                      | 100,773                           | (156,359)                               | 39%                                       | 123,371                           | (22,598)  |
| Total Provost and Other Units Expenses            | 335,841                                      | 134,300                           | 201,541                                 | 40%                                       | 138,033                           | 3,733   |
| Net Provost and Other Units Revenue/(Expense)     | (78,709)                                     | (33,527)                          | 45,182                                  |   | (14,662)                          | (18,865)  |
| <b>Auxiliary Totals</b>                           |  |                                   |   |   |                                   |   |
| Total Auxiliary Revenues                          | 53,302,291                                   | 27,588,770                        | (25,713,521)                            | 52%                                       | 25,946,479                        | 1,642,291   |
| Total Auxiliary Expenses                          | 53,772,046                                   | 23,521,038                        | 30,251,008                              | 44%                                       | 21,690,293                        | (1,830,745)   |
| Net Auxiliary Revenue/(Expense)                   | (469,755)                                    | 4,067,732                         | 4,537,487                               |   | 4,256,186                         | (188,454)   |
| Net Athletics Revenue/(Expense)                   | (444,607)                                    | (1,634,498)                       | (1,189,891)                             |   | (1,416,168)                       | (218,330)   |
| Net Auxiliary and Athletics Revenue/(Expense)     | (914,362)                                    | 2,433,234                         | 3,347,596                               |   | 2,840,018                         | (406,784)   |
| Net Branch Campuses Aux Revenue/(Expense)         | -  | 93,018                            | 93,018                                  |   | (129,322)                         | 222,340   |
| Net All Auxiliary and Athletics Revenue/(Expense) | (914,362)                                    | 2,526,252                         | 3,440,614                               |   | 2,710,696                         | (184,444)   |

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format  
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**Main Campus - Total Operations Current Funds**

|   | FY 2017<br>Full Year<br>Re-Forecasted Budget | FY 2017<br>Year-to-Date<br>Actual | Fiscal YTD<br>Favrb/(Unfavrb)<br>Budget | Actual to Budget<br>Benchmark Rate<br>42% | FY 2016<br>Year-to-Date<br>Actual | FY 2017 YTD Actual<br>Change From<br>FY 2016 YTD Actual |
|---|--|-----------------------------------|---|---|-----------------------------------|---|
| <b>Instruction and General</b>                |  |                                   |   |   |                                   |   |
| Tuition and Fees Revenues                     | 156,412,912                                  | 80,336,055                        | (76,076,857)                            | 51%                                       | 80,695,958                        | (359,903)   |
| State/Local Appropriations                    | 178,567,500                                  | 74,403,125                        | (104,164,375)                           | 42%                                       | 80,208,417                        | (5,805,292)   |
| F & A Revenues                                | 20,200,000                                   | 8,539,155                         | (11,660,845)                            | 42%                                       | 8,048,049                         | 491,106   |
| Transfers                                     | (53,713,813)                                 | (21,039,198)                      | 32,674,615                              | 39%                                       | (24,791,939)                      | 3,752,741   |
| Other Revenues                                | 15,978,843                                   | 4,330,178                         | (11,648,665)                            | 27%                                       | 7,310,013                         | (2,979,835)   |
| Total Instruction and General Revenues        | 317,445,442                                  | 146,569,315                       | (170,876,127)                           | 46%                                       | 151,470,498                       | (4,901,183)   |
| Salaries                                      | 194,880,391                                  | 81,771,766                        | 113,108,625                             | 42%                                       | 81,931,395                        | 159,629   |
| Benefits                                      | 64,950,987                                   | 27,082,363                        | 37,868,624                              | 42%                                       | 26,940,222                        | (142,141)   |
| Other Expenses                                | 69,397,925                                   | 24,786,885                        | 44,611,040                              | 36%                                       | 25,767,884                        | 980,999   |
| Total Instruction and General Expenses        | 329,229,303                                  | 133,641,014                       | 195,588,289                             | 41%                                       | 134,639,501                       | 998,487   |
| Net Instruction and General Revenue/(Expense) | (11,783,861)                                 | 12,928,301                        | 24,712,162                              |   | 16,830,997                        | (3,902,696)   |
| <b>Research</b>                               |  |                                   |   |   |                                   |   |
| State/Local Appropriations                    | 1,863,350                                    | 776,396                           | (1,086,954)                             | 42%                                       | 837,646                           | (61,250)  |
| Transfers                                     | 13,666,997                                   | 4,372,168                         | (9,294,829)                             | 32%                                       | 3,457,992                         | 914,176   |
| Other Revenues                                | 1,038,550                                    | 1,204,134                         | 165,584                                 | 116%                                      | 557,711                           | 646,423   |
| Total Research Revenues                       | 16,568,897                                   | 6,352,698                         | (10,216,199)                            | 38%                                       | 4,853,349                         | 1,499,349   |
| Salaries and Benefits                         | 12,529,822                                   | 5,171,186                         | 7,358,636                               | 41%                                       | 4,931,005                         | (240,181)   |
| Other Expenses                                | 11,270,523                                   | 3,731,486                         | 7,539,037                               | 33%                                       | 3,829,429                         | 97,943  |
| Total Research Expenses                       | 23,800,345                                   | 8,902,672                         | 14,897,673                              | 37%                                       | 8,760,434                         | (142,238)   |
| Net Research Revenue/(Expense)                | (7,231,448)                                  | (2,549,974)                       | 4,681,474                               |   | (3,907,085)                       | 1,357,111   |
| <b>Public Service</b>                         |  |                                   |   |   |                                   |   |
| State/Local Appropriations                    | 3,165,550                                    | 1,318,979                         | (1,846,571)                             | 42%                                       | 1,586,896                         | (267,917)   |
| Sales and Services Revenues                   | 8,561,451                                    | 3,297,381                         | (5,264,070)                             | 39%                                       | 3,429,653                         | (132,272)   |
| Gifts   | 7,202,583                                    | 2,379,120                         | (4,823,463)                             | 33%                                       | 2,594,896                         | (215,776)   |
| Transfers                                     | (1,123,329)                                  | 22,436                            | 1,145,765                               | -2%                                       | 404,614                           | (382,178)   |
| Other Revenues                                | 4,937,508                                    | 1,788,930                         | (3,148,578)                             | 36%                                       | 1,627,780                         | 161,150   |
| Total Public Service Revenues                 | 22,743,763                                   | 8,806,846                         | (13,936,917)                            | 39%                                       | 9,643,839                         | (836,993)   |
| Salaries and Benefits                         | 12,143,556                                   | 5,128,533                         | 7,015,023                               | 42%                                       | 5,132,913                         | 4,380   |
| Other Expenses                                | 15,223,089                                   | 5,078,422                         | 10,144,667                              | 33%                                       | 5,776,333                         | 697,911   |
| Total Public Service Expenses                 | 27,366,645                                   | 10,206,955                        | 17,159,690                              | 37%                                       | 10,909,246                        | 702,291   |
| Net Public Service Revenue/(Expense)          | (4,622,882)                                  | (1,400,109)                       | 3,222,773                               |   | (1,265,407)                       | (134,702)   |

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**Main Campus - Total Operations Current Funds**

|   | FY 2017<br>Full Year<br>Re-Forecasted Budget | FY 2017<br>Year-to-Date<br>Actual | Fiscal YTD<br>Favrb/(Unfavrb)<br>Budget | Actual to Budget<br>Benchmark Rate<br>42% | FY 2016<br>Year-to-Date<br>Actual | FY 2017 YTD Actual<br>Change From<br>FY 2016 YTD Actual |
|---|--|-----------------------------------|---|---|-----------------------------------|---|
| <b>Student Aid</b>  |  |                                   |   |   |                                   |   |
| Private Grants/Gifts  | 3,892,964                                    | 1,936,718                         | (1,956,246)                             | 50%                                       | 1,388,783                         | 547,935   |
| State Lottery Scholarships  | 33,750,000                                   | 15,682,347                        | (18,067,653)                            | 46%                                       | 17,500,000                        | (1,817,653)   |
| Transfers   | 16,175,347                                   | 5,890,441                         | (10,284,906)                            | 36%                                       | 5,747,528                         | 142,913   |
| Other Revenues  | 444,114                                      | 114,003                           | (330,111)                               | 26%                                       | 302,594                           | (188,591)   |
| <b>Total Student Aid Revenues</b>                                   | <b>54,262,425</b>                            | <b>23,623,509</b>                 | <b>(30,638,916)</b>                     | <b>44%</b>                                | <b>24,938,905</b>                 | <b>(1,315,396)</b>                                      |
| Salaries and Benefits   | 1,900,769                                    | 1,087,535                         | 813,234                                 | 57%                                       | 1,248,173                         | 160,638   |
| Other Expenses  | 60,442,845                                   | 29,573,523                        | 30,869,322                              | 49%                                       | 27,873,005                        | (1,700,518)   |
| <b>Total Student Aid Expenses</b>                                   | <b>62,343,614</b>                            | <b>30,661,058</b>                 | <b>31,682,556</b>                       | <b>49%</b>                                | <b>29,121,178</b>                 | <b>(1,539,880)</b>                                      |
| <b>Net Student Aid Revenue/(Expense)</b>                            | <b>(8,081,189)</b>                           | <b>(7,037,549)</b>                | <b>1,043,640</b>                        |   | <b>(4,182,273)</b>                | <b>(2,855,276)</b>                                      |
| <b>Student Social &amp; Cultural Programs</b>                       |  |                                   |   |   |                                   |   |
| Fee Revenues  | 8,006,537                                    | 4,103,698                         | (3,902,839)                             | 51%                                       | 3,940,965                         | 162,733   |
| Sales and Services Revenues   | 1,330,557                                    | 661,250                           | (669,307)                               | 50%                                       | 704,025                           | (42,775)  |
| Transfers   | 405,670                                      | 207,806                           | (197,864)                               | 51%                                       | 240,373                           | (32,567)  |
| Other Revenues  | 104,402                                      | 66,864                            | (37,538)                                | 64%                                       | 79,975                            | (13,111)  |
| <b>Total Student Social &amp; Cultural Programs Revenues</b>        | <b>9,847,166</b>                             | <b>5,039,618</b>                  | <b>(4,807,548)</b>                      | <b>51%</b>                                | <b>4,965,338</b>                  | <b>74,280</b>   |
| Salaries and Benefits   | 5,682,587                                    | 2,568,344                         | 3,114,243                               | 45%                                       | 2,573,650                         | 5,306   |
| Other Expenses  | 4,789,513                                    | 1,629,440                         | 3,160,073                               | 34%                                       | 2,029,746                         | 400,306   |
| <b>Total Student Social &amp; Cultural Programs Expenses</b>        | <b>10,472,100</b>                            | <b>4,197,784</b>                  | <b>6,274,316</b>                        | <b>40%</b>                                | <b>4,603,396</b>                  | <b>405,612</b>  |
| <b>Net Student Social &amp; Cultural Programs Revenue/(Expense)</b> | <b>(624,934)</b>                             | <b>841,834</b>                    | <b>1,466,768</b>                        |   | <b>361,942</b>                    | <b>479,892</b>  |
| <b>Auxiliaries</b>  |  |                                   |   |   |                                   |   |
| Auxiliaries Revenues  | 53,302,291                                   | 27,588,770                        | (25,713,521)                            | 52%                                       | 25,946,479                        | 1,642,291   |
| Athletics Revenues  | 36,429,912                                   | 15,284,414                        | (21,145,498)                            | 42%                                       | 13,677,594                        | 1,606,820   |
| <b>Total Auxiliaries Revenues</b>                                   | <b>89,732,203</b>                            | <b>42,873,184</b>                 | <b>(46,859,019)</b>                     | <b>48%</b>                                | <b>39,624,073</b>                 | <b>3,249,111</b>  |
| Auxiliaries Expenses  | 53,772,046                                   | 23,521,038                        | 30,251,008                              | 44%                                       | 21,690,293                        | (1,830,745)   |
| Athletics Expenses  | 36,874,519                                   | 16,918,912                        | 19,955,607                              | 46%                                       | 15,093,762                        | (1,825,150)   |
| <b>Total Auxiliaries Expenses</b>                                   | <b>90,646,565</b>                            | <b>40,439,950</b>                 | <b>50,206,615</b>                       | <b>45%</b>                                | <b>36,784,055</b>                 | <b>(3,655,895)</b>                                      |
| <b>Net Auxiliaries and Athletics Revenue/(Expense)</b>              | <b>(914,362)</b>                             | <b>2,433,234</b>                  | <b>3,347,596</b>                        |   | <b>2,840,018</b>                  | <b>(406,784)</b>  |

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format  
For the five month ended November 30, 2016  
Preliminary and Unaudited

**Main Campus - Total Operations Current Funds**

|  | FY 2017<br>Full Year<br>Re-Forecasted Budget | FY 2017<br>Year-to-Date<br>Actual | Fiscal YTD<br>Favrb/(Unfavrb)<br>Budget | Actual to Budget<br>Benchmark Rate<br>42% | FY 2016<br>Year-to-Date<br>Actual | FY 2017 YTD Actual<br>Change From<br>FY 2016 YTD Actual |
|--|--|-----------------------------------|---|---|-----------------------------------|---|
| Sponsored Programs                             |  |                                   |   |   |                                   |   |
| Federal Grants and Contracts Revenues          | 144,273,888                                  | 61,563,193                        | (82,710,695)                            | 43%                                       | 61,201,629                        | 361,564   |
| State and Local Grants and Contracts Revenues  | 17,087,338                                   | 6,982,192                         | (10,105,146)                            | 41%                                       | 6,297,125                         | 685,067   |
| Non-Governmental Grants and Contracts Revenues | 12,600,000                                   | 6,061,329                         | (6,538,671)                             | 48%                                       | 6,214,731                         | (153,402)   |
| Gifts  | -  | -                                 | -                                       | N/A                                       | -                                 | -   |
| Transfers                                      | 2,885,000                                    | 1,175,691                         | (1,709,309)                             | 41%                                       | 782,899                           | 392,792   |
| Other Revenues                                 | -  | (844,058)                         | (844,058)                               | N/A                                       | (540,765)                         | (303,293)   |
| Total Sponsored Programs Revenues              | 176,846,226                                  | 74,938,347                        | (101,907,879)                           | 42%                                       | 73,955,619                        | 982,728   |
| Salaries and Benefits                          | 67,960,226                                   | 23,907,715                        | 44,052,511                              | 35%                                       | 23,516,197                        | (391,518)   |
| Other Expenses                                 | 108,886,000                                  | 51,030,632                        | 57,855,368                              | 47%                                       | 50,439,422                        | (591,210)   |
| Total Sponsored Programs Expenses              | 176,846,226                                  | 74,938,347                        | 101,907,879                             | 42%                                       | 73,955,619                        | (982,728)   |
| Net Sponsored Programs Revenue/(Expense)       | -  | -                                 | -                                       |   | -                                 | -   |
| Contingencies                                  |  |                                   |   |   |                                   |   |
| Total Contingency Revenues                     | (407,971)                                    | -                                 | 407,971                                 | 0%  | -                                 | -   |
| Total Contingency Expenses                     | 74,851                                       | -                                 | (74,851)                                | 0%  | -                                 | -   |
| Net Contingencies Revenue/(Expense)            | (482,822)                                    | -                                 | 482,822                                 |   | -                                 | -   |
| <b>Net Current Revenue/(Expense)</b>           | <b>(33,741,498)</b>                          | <b>5,215,737</b>                  | <b>38,957,235</b>                       |   | <b>10,678,192</b>                 | <b>(5,462,455)</b>                                      |

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format  
For the five month ended November 30, 2016  
Preliminary and Unaudited

**Branch Campuses - Total Operations Current Funds**

|   | FY 2017<br>Full Year<br>Re-Forecasted Budget | FY 2017<br>Year-to-Date<br>Actual | Fiscal YTD<br>Favrb/(Unfavrb)<br>Budget | Actual to Budget<br>Benchmark Rate<br>42% | FY 2016<br>Year-to-Date<br>Actual | FY 2017 YTD Actual<br>Change From<br>FY 2016 YTD Actual |
|---|--|-----------------------------------|---|---|-----------------------------------|---|
| <b>Instruction and General</b>                |  |                                   |   |   |                                   |   |
| Tuition and Fees Revenues                     | 8,030,757                                    | 4,209,828                         | (3,820,929)                             | 52%                                       | 4,098,604                         | 111,224   |
| State/Local Appropriations                    | 27,260,320                                   | 11,358,467                        | (15,901,853)                            | 42%                                       | 11,934,667                        | (576,200)   |
| Transfers                                     | (2,339,051)                                  | (2,403,856)                       | (64,805)                                | 103%                                      | (1,357,025)                       | (1,046,831)   |
| Other Revenues                                | 691,664                                      | 461,577                           | (230,087)                               | 67%                                       | 334,505                           | 127,072   |
| Total Instruction and General Revenues        | 33,643,690                                   | 13,626,016                        | (20,017,674)                            | 41%                                       | 15,010,751                        | (1,384,735)   |
| Salaries                                      | 21,185,791                                   | 8,415,957                         | 12,769,834                              | 40%                                       | 8,598,199                         | 182,242   |
| Benefits                                      | 6,892,695                                    | 2,610,945                         | 4,281,750                               | 38%                                       | 2,613,128                         | 2,183   |
| Other Expenses                                | 9,402,122                                    | 2,933,276                         | 6,468,846                               | 31%                                       | 3,297,761                         | 364,485   |
| Total Instruction and General Expenses        | 37,480,608                                   | 13,960,178                        | 23,520,430                              | 37%                                       | 14,509,088                        | 548,910   |
| Net Instruction and General Revenue/(Expense) | (3,836,918)                                  | (334,162)                         | 3,502,756                               |   | 501,663                           | (835,825)   |
| <b>Public Service</b>                         |  |                                   |   |   |                                   |   |
| State/Local Appropriations                    | -  | -                                 | -                                       | N/A                                       | -                                 | -   |
| Sales and Services Revenues                   | 397,098                                      | 220,991                           | (176,107)                               | 56%                                       | 251,117                           | (30,126)  |
| Gifts   | 79,035                                       | 104,595                           | 25,560                                  | 132%                                      | 51,036                            | 53,559  |
| Transfers                                     | 40,000                                       | (1,184)                           | (41,184)                                | -3%                                       | 45,453                            | (46,637)  |
| Other Revenues                                | -  | 68,582                            | 68,582                                  | N/A                                       | 191                               | 68,391  |
| Total Public Service Revenues                 | 516,133                                      | 392,984                           | (123,149)                               | 76%                                       | 347,797                           | 45,187  |
| Salaries and Benefits                         | 253,669                                      | 196,242                           | 57,427                                  | 77%                                       | 168,658                           | (27,584)  |
| Other Expenses                                | 452,531                                      | 90,625                            | 361,906                                 | 20%                                       | 102,060                           | 11,435  |
| Total Public Service Expenses                 | 706,200                                      | 286,867                           | 419,333                                 | 41%                                       | 270,718                           | (16,149)  |
| Net Public Service Revenue/(Expense)          | (190,067)                                    | 106,117                           | 296,184                                 |   | 77,079                            | 29,038  |
| <b>Student Aid</b>                            |  |                                   |   |   |                                   |   |
| Private Grants/Gifts                          | 100,025                                      | 35,773                            | (64,252)                                | 36%                                       | 27,675                            | 8,098   |
| Transfers                                     | 378,110                                      | 295,058                           | (83,052)                                | 78%                                       | 182,215                           | 112,843   |
| Other Revenues                                | 17,000                                       | 8,169                             | (8,831)                                 | 48%                                       | 8,879                             | (710)   |
| Total Student Aid Revenues                    | 495,135                                      | 339,000                           | (156,135)                               | 68%                                       | 218,769                           | 120,231   |
| Salaries and Benefits                         | 12,225                                       | 8,862                             | 3,363                                   | 72%                                       | 17,065                            | 8,203   |
| Other Expenses                                | 676,110                                      | 247,176                           | 428,934                                 | 37%                                       | 333,503                           | 86,327  |
| Total Student Aid Expenses                    | 688,335                                      | 256,038                           | 432,297                                 | 37%                                       | 350,568                           | 94,530  |
| Net Student Aid Revenue/(Expense)             | (193,200)                                    | 82,962                            | 276,162                                 |   | (131,799)                         | 214,761   |

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format  
For the five month ended November 30, 2016  
Preliminary and Unaudited

**Branch Campuses - Total Operations Current Funds**

|  | FY 2017<br>Full Year<br>Re-Forecasted Budget | FY 2017<br>Year-to-Date<br>Actual | Fiscal YTD<br>Favrb/(Unfavrb)<br>Budget | Actual to Budget<br>Benchmark Rate<br>42% | FY 2016<br>Year-to-Date<br>Actual | FY 2017 YTD Actual<br>Change From<br>FY 2016 YTD Actual |
|--|--|-----------------------------------|---|---|-----------------------------------|---|
| Student Social & Cultural Programs                       |  |                                   |   |   |                                   |   |
| Fee Revenues   | 230,000                                      | 125,396                           | (104,604)                               | 55%                                       | 124,663                           | 733   |
| Sales and Services Revenues                              | 9,900  | 6,997                             | (2,903)                                 | 71%                                       | 5,877                             | 1,120   |
| Transfers  | (40,000)                                     | (41,000)                          | (1,000)                                 | 103%                                      | (37,500)                          | (3,500)   |
| Other Revenues   | -  | -                                 | -                                       | N/A                                       | -                                 | -   |
| Total Student Social & Cultural Programs Revenues        | 199,900                                      | 91,393                            | (108,507)                               | 46%                                       | 93,040                            | (1,647)   |
| Salaries and Benefits                                    | 7,840  | 3,344                             | 4,496                                   | 43%                                       | 13,584                            | 10,240  |
| Other Expenses   | 192,360                                      | 83,559                            | 108,801                                 | 43%                                       | 86,436                            | 2,877   |
| Total Student Social & Cultural Programs Expenses        | 200,200                                      | 86,903                            | 113,297                                 | 43%                                       | 100,020                           | 13,117  |
| Net Student Social & Cultural Programs Revenue/(Expense) | (300)  | 4,490                             | 4,790                                   |   | (6,980)                           | 11,470  |
| Auxiliaries  |  |                                   |   |   |                                   |   |
| Bookstore Revenues                                       | 1,929,560                                    | 970,860                           | (958,700)                               | 50%                                       | 907,228                           | 63,632  |
| Housing and Food Service Revenues                        | 770,000                                      | 65,019                            | (704,981)                               | 8%  | 74,611                            | (9,592)   |
| Transfers  | (52,500)                                     | (62,500)                          | (10,000)                                | 119%                                      | (10,000)                          | (52,500)  |
| Other Auxiliaries Revenues                               | 626,596                                      | 63,732                            | (562,864)                               | 10%                                       | 28,383                            | 35,349  |
| Total Auxiliaries Revenues                               | 3,273,656                                    | 1,037,111                         | (2,236,545)                             | 32%                                       | 1,000,222                         | 36,889  |
| Bookstore Expenses                                       | 1,929,560                                    | 694,982                           | 1,234,578                               | 36%                                       | 881,951                           | 186,969   |
| Housing and Food Service Expenses                        | 717,500                                      | 52,223                            | 665,277                                 | 7%  | 63,557                            | 11,334  |
| Other Auxiliaries Expenses                               | 626,596                                      | 196,888                           | 429,708                                 | 31%                                       | 184,036                           | (12,852)  |
| Total Auxiliaries Expenses                               | 3,273,656                                    | 944,093                           | 2,329,563                               | 29%                                       | 1,129,544                         | 185,451   |
| Net Auxiliaries Revenue/(Expense)                        | -  | 93,018                            | 93,018                                  |   | (129,322)                         | 222,340   |
| Sponsored Programs                                       |  |                                   |   |   |                                   |   |
| Federal Grants and Contracts Revenues                    | 6,337,373                                    | 3,870,485                         | (2,466,888)                             | 61%                                       | 3,183,599                         | 686,886   |
| State and Local Grants and Contracts Revenues            | 2,310,476                                    | 565,528                           | (1,744,948)                             | 24%                                       | 693,735                           | (128,207)   |
| Non-Governmental Grants and Contracts Revenues           | -  | (10,422)                          | (10,422)                                | N/A                                       | 19,353                            | (29,775)  |
| Gifts  | -  | -                                 | -                                       | N/A                                       | -                                 | -   |
| Transfers  | -  | (5,578)                           | (5,578)                                 | N/A                                       | 188,366                           | (193,944)   |
| Other Revenues   | -  | -                                 | -                                       | N/A                                       | -                                 | -   |
| Total Sponsored Programs Revenues                        | 8,647,849                                    | 4,420,013                         | (4,227,836)                             | 51%                                       | 4,085,053                         | 334,960   |
| Salaries and Benefits                                    | 5,168,748                                    | 2,579,626                         | 2,589,122                               | 50%                                       | 2,426,351                         | (153,275)   |
| Other Expenses   | 3,479,101                                    | 1,840,387                         | 1,638,714                               | 53%                                       | 1,658,702                         | (181,685)   |
| Total Sponsored Programs Expenses                        | 8,647,849                                    | 4,420,013                         | 4,227,836                               | 51%                                       | 4,085,053                         | (334,960)   |
| Net Sponsored Programs Revenue/(Expense)                 | -  | -                                 | -                                       |   | -                                 | -   |
| <b>Net Current Revenue/(Expense)</b>                     | <b>(4,220,485)</b>                           | <b>(47,575)</b>                   | <b>4,172,910</b>                        |   | <b>310,641</b>                    | <b>(358,216)</b>  |

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format  
For the five month ended November 30, 2016  
Preliminary and Unaudited

**Health Sciences Center - Total Operations Current Funds**

|   | FY 2017<br>Full Year<br>Re-Forecasted Budget | FY 2017<br>Year-to-Date<br>Actual | Fiscal YTD<br>Favrbl/(Unfavrbl)<br>Budget | Actual to Budget<br>Benchmark Rate<br>42% | FY 2016<br>Year-to-Date<br>Actual | FY 2017 YTD Actual<br>Change From<br>FY 2016 YTD Actual |
|---|--|-----------------------------------|---|---|-----------------------------------|---|
| <b>Instruction and General</b>                |  |                                   |   |   |                                   |   |
| Tuition and Fees Revenues                     | 16,311,294                                   | 6,773,677                         | (9,537,617)                               | 42%                                       | 7,469,525                         | (695,848)   |
| State/Local Appropriations                    | 59,777,635                                   | 24,907,346                        | (34,870,289)                              | 42%                                       | 26,856,519                        | (1,949,173)   |
| F & A Revenues                                | 24,000,000                                   | 9,783,722                         | (14,216,278)                              | 41%                                       | 9,776,096                         | 7,626   |
| Transfers                                     | (64,220)                                     | (341,192)                         | (276,972)                                 | 531%                                      | (1,333,033)                       | 991,841   |
| Other Revenues                                | 12,049,108                                   | 6,736,211                         | (5,312,897)                               | 56%                                       | 6,264,109                         | 472,102   |
| Total Instruction and General Revenues        | 112,073,817                                  | 47,859,764                        | (64,214,053)                              | 43%                                       | 49,033,216                        | (1,173,452)   |
| Salaries                                      | 74,383,495                                   | 31,018,133                        | 43,365,362                                | 42%                                       | 30,458,714                        | (559,419)   |
| Benefits                                      | 23,650,855                                   | 9,609,084                         | 14,041,771                                | 41%                                       | 10,194,853                        | 585,769   |
| Other Expenses                                | 18,867,752                                   | 6,408,083                         | 12,459,669                                | 34%                                       | 6,802,211                         | 394,128   |
| Total Instruction and General Expenses        | 116,902,102                                  | 47,035,300                        | 69,866,802                                | 40%                                       | 47,455,778                        | 420,478   |
| Net Instruction and General Revenue/(Expense) | (4,828,285)                                  | 824,464                           | 5,652,749                                 |   | 1,577,438                         | (752,974)   |
| <b>Research</b>                               |  |                                   |   |   |                                   |   |
| State/Local Appropriations                    | 9,892,196                                    | 4,352,318                         | (5,539,878)                               | 44%                                       | 4,225,148                         | 127,170   |
| Generated Revenues                            | 337,501                                      | 166,435                           | (171,066)                                 | 49%                                       | 179,553                           | (13,118)  |
| Transfers                                     | 13,206,006                                   | 3,892,828                         | (9,313,178)                               | 29%                                       | 4,042,886                         | (150,058)   |
| Other Revenues                                | 1,625,979                                    | 1,109,886                         | (516,093)                                 | 68%                                       | 1,054,721                         | 55,165  |
| Total Research Revenues                       | 25,061,682                                   | 9,521,467                         | (15,540,215)                              | 38%                                       | 9,502,308                         | 19,159  |
| Salaries and Benefits                         | 15,883,867                                   | 5,893,320                         | 9,990,547                                 | 37%                                       | 5,519,727                         | (373,593)   |
| Other Expenses                                | 10,847,698                                   | 3,998,858                         | 6,848,840                                 | 37%                                       | 3,609,917                         | (388,941)   |
| Total Research Expenses                       | 26,731,565                                   | 9,892,178                         | 16,839,387                                | 37%                                       | 9,129,644                         | (762,534)   |
| Net Research Revenue/(Expense)                | (1,669,883)                                  | (370,711)                         | 1,299,172                                 |   | 372,664                           | (743,375)   |
| <b>Public Service</b>                         |  |                                   |   |   |                                   |   |
| State/Local Appropriations                    | 254,600                                      | 106,084                           | (148,516)                                 | 42%                                       | 114,460                           | (8,376)   |
| Sales and Services Revenues                   | 5,364,314                                    | 2,584,036                         | (2,780,278)                               | 48%                                       | 4,960,434                         | (2,376,398)   |
| Gifts   | 1,826,904                                    | 561,215                           | (1,265,689)                               | 31%                                       | 885,301                           | (324,086)   |
| Transfers                                     | 5,975,985                                    | 1,497,889                         | (4,478,096)                               | 25%                                       | 1,519,368                         | (21,479)  |
| Other Revenues                                | 2,847,981                                    | 733,574                           | (2,114,407)                               | 26%                                       | 302,619                           | 430,955   |
| Total Public Service Revenues                 | 16,269,784                                   | 5,482,798                         | (10,786,986)                              | 34%                                       | 7,782,182                         | (2,299,384)   |
| Salaries and Benefits                         | 4,937,854                                    | 1,925,285                         | 3,012,569                                 | 39%                                       | 3,352,187                         | 1,426,902   |
| Other Expenses                                | 11,329,692                                   | 3,771,415                         | 7,558,277                                 | 33%                                       | 3,881,934                         | 110,519   |
| Total Public Service Expenses                 | 16,267,546                                   | 5,696,700                         | 10,570,846                                | 35%                                       | 7,234,121                         | 1,537,421   |
| Net Public Service Revenue/(Expense)          | 2,238  | (213,902)                         | (216,140)                                 |   | 548,061                           | (761,963)   |

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format  
For the five month ended November 30, 2016  
Preliminary and Unaudited

**Health Sciences Center - Total Operations Current Funds**

|  | FY 2017<br>Full Year<br>Re-Forecasted Budget | FY 2017<br>Year-to-Date<br>Actual | Fiscal YTD<br>Favrb/(Unfavrb)<br>Budget | Actual to Budget<br>Benchmark Rate<br>42% | FY 2016<br>Year-to-Date<br>Actual | FY 2017 YTD Actual<br>Change From<br>FY 2016 YTD Actual |
|--|--|-----------------------------------|---|---|-----------------------------------|---|
| <b>Student Aid</b>                                       |  |                                   |   |   |                                   |   |
| Gifts  | 1,808,623                                    | 592,588                           | (1,216,035)                             | 33%                                       | 431,516                           | 161,072   |
| Investment Income  | -  | -                                 | -                                       | N/A                                       | -                                 | -   |
| Transfers  | 2,200,660                                    | 999,404                           | (1,201,256)                             | 45%                                       | 1,043,379                         | (43,975)  |
| Other Revenues   | 41,896                                       | -                                 | (41,896)                                | 0%  | 16,492                            | (16,492)  |
| Total Student Aid Revenues                               | 4,051,179                                    | 1,591,992                         | (2,459,187)                             | 39%                                       | 1,491,387                         | 100,605   |
| Salaries and Benefits                                    | 1,780,645                                    | 881,406                           | 899,239                                 | 49%                                       | 662,577                           | (218,829)   |
| Other Expenses   | 2,953,888                                    | 759,997                           | 2,193,891                               | 26%                                       | 689,222                           | (70,775)  |
| Total Student Aid Expenses                               | 4,734,533                                    | 1,641,403                         | 3,093,130                               | 35%                                       | 1,351,799                         | (289,604)   |
| Net Student Aid Revenue/(Expense)                        | (683,354)                                    | (49,411)                          | 633,943                                 |   | 139,588                           | (188,999)   |
| <b>Student Social &amp; Cultural Programs</b>            |  |                                   |   |   |                                   |   |
| Fee Revenues   | -  | -                                 | -                                       | N/A                                       | -                                 | -   |
| Sales and Services Revenues                              | 33,266                                       | 33,225                            | (41)                                    | 100%                                      | 32,740                            | 485   |
| Transfers  | 1,640  | (8,360)                           | (10,000)                                | -510%                                     | 3,912                             | (12,272)  |
| Other Revenues   | 2,500  | -                                 | (2,500)                                 | 0%  | 900                               | (900)   |
| Total Student Social & Cultural Programs Revenues        | 37,406                                       | 24,865                            | (12,541)                                | 66%                                       | 37,552                            | (12,687)  |
| Salaries and Benefits                                    | 6,354  | 466                               | 5,888                                   | 7%  | 68                                | (398)   |
| Other Expenses   | 61,442                                       | 16,086                            | 45,356                                  | 26%                                       | 29,016                            | 12,930  |
| Total Student Social & Cultural Programs Expenses        | 67,796                                       | 16,552                            | 51,244                                  | 24%                                       | 29,084                            | 12,532  |
| Net Student Social & Cultural Programs Revenue/(Expense) | (30,390)                                     | 8,313                             | 38,703                                  |   | 8,468                             | (155)   |
| <b>Sponsored Programs</b>                                |  |                                   |   |   |                                   |   |
| Federal Grants and Contracts Revenues                    | 103,142,657                                  | 40,378,187                        | (62,764,470)                            | 39%                                       | 40,622,091                        | (243,904)   |
| State and Local Grants and Contracts Revenues            | 15,332,017                                   | 6,353,599                         | (8,978,418)                             | 41%                                       | 5,919,310                         | 434,289   |
| Non-Governmental Grants and Contracts Revenues           | 18,119,656                                   | 7,949,844                         | (10,169,812)                            | 44%                                       | 7,094,772                         | 855,072   |
| Gifts  | -  | -                                 | -                                       | N/A                                       | -                                 | -   |
| Other Revenues   | -  | -                                 | -                                       | N/A                                       | -                                 | -   |
| Transfers  | 2,787,639                                    | 1,998,963                         | (788,676)                               | 72%                                       | 1,952,685                         | 46,278  |
| Total Sponsored Programs Revenues                        | 139,381,969                                  | 56,680,593                        | (82,701,376)                            | 41%                                       | 55,588,858                        | 1,091,735   |
| Salaries and Benefits                                    | 78,053,903                                   | 32,014,994                        | 46,038,909                              | 41%                                       | 31,842,752                        | (172,242)   |
| Other Expenses   | 61,328,066                                   | 24,665,599                        | 36,662,467                              | 40%                                       | 23,746,106                        | (919,493)   |
| Total Sponsored Programs Expenses                        | 139,381,969                                  | 56,680,593                        | 82,701,376                              | 41%                                       | 55,588,858                        | (1,091,735)   |
| Net Sponsored Programs Revenue/(Expense)                 | -  | -                                 | -                                       |   | -                                 | -   |

Statements of Revenues, Expenses and Changes in Net Position - UNM Regents Format  
For the five month ended November 30, 2016  
Preliminary and Unaudited

**Health Sciences Center - Total Operations Current Funds**

|   | FY 2017<br>Full Year<br>Re-Forecasted Budget | FY 2017<br>Year-to-Date<br>Actual | Fiscal YTD<br>Favrb/(Unfavrb)<br>Budget | Actual to Budget<br>Benchmark Rate<br>42% | FY 2016<br>Year-to-Date<br>Actual | FY 2017 YTD Actual<br>Change From<br>FY 2016 YTD Actual |
|---|--|-----------------------------------|---|---|-----------------------------------|---|
| Clinical Operations                       |  |                                   |   |   |                                   |   |
| State/Local Appropriations                | 25,305,365                                   | 10,543,902                        | (14,761,463)                            | 42%                                       | 11,628,913                        | (1,085,011)   |
| Physician Professional Fee Revenues       | 127,766,319                                  | 51,730,135                        | (76,036,184)                            | 40%                                       | 50,252,913                        | 1,477,222   |
| Hospital Facility Revenues                | 864,979,398                                  | 375,832,060                       | (489,147,338)                           | 43%                                       | 378,006,931                       | (2,174,871)   |
| Other Patient Revenues, net of Allowance  | 163,199,943                                  | 64,990,803                        | (98,209,140)                            | 40%                                       | 56,785,532                        | 8,205,271   |
| Mil Levy                                  | 95,849,351                                   | 39,990,830                        | (55,858,521)                            | 42%                                       | 38,840,046                        | 1,150,784   |
| Investment Income                         | (82,916)                                     | (155,094)                         | (72,178)                                | 187%                                      | 1,585,557                         | (1,740,651)   |
| Gifts                                     | 2,789,445                                    | 1,741,470                         | (1,047,975)                             | 62%                                       | 1,403,805                         | 337,665   |
| Housestaff Revenues                       | 37,907,661                                   | 16,212,629                        | (21,695,032)                            | 43%                                       | 15,286,949                        | 925,680   |
| Other Revenues                            | 26,265,627                                   | 7,235,111                         | (19,030,516)                            | 28%                                       | 8,029,988                         | (794,877)   |
| Total Clinical Operations Revenues        | 1,343,980,193                                | 568,121,846                       | (775,858,347)                           | 42%                                       | 561,820,634                       | 6,301,212   |
| Salaries and Benefits                     | 751,230,947                                  | 311,250,933                       | 439,980,014                             | 41%                                       | 288,067,694                       | (23,183,239)  |
| Interest Expense                          | 3,846,613                                    | 1,602,752                         | 2,243,861                               | 42%                                       | 1,594,638                         | (8,114)   |
| Housestaff Expenses                       | 37,907,661                                   | 15,585,338                        | 22,322,323                              | 41%                                       | 15,338,246                        | (247,092)   |
| Other Expenses                            | 550,180,209                                  | 239,863,676                       | 310,316,533                             | 44%                                       | 250,548,540                       | 10,684,864  |
| Total Clinical Operations Expenses        | 1,343,165,430                                | 568,302,699                       | 774,862,731                             | 42%                                       | 555,549,118                       | (12,753,581)  |
| Net Clinical Operations Revenue/(Expense) | 814,763                                      | (180,853)                         | (995,616)                               |   | 6,271,516                         | (6,452,369)   |
| Contingencies                             |  |                                   |   |   |                                   |   |
| Total Contingency Revenues                | 4,088,640                                    | -                                 | (4,088,640)                             | 0%  | -                                 | -   |
| Total Contingency Expenses                | 1,553,640                                    | -                                 | 1,553,640                               | 0%  | -                                 | -   |
| Net Contingencies Revenue/(Expense)       | 2,535,000                                    | -                                 | (2,535,000)                             |   | -                                 | -   |
| <b>Net Current Revenue/(Expense)</b>      | <b>(3,859,911)</b>                           | <b>17,900</b>                     | <b>3,877,811</b>                        |   | <b>8,917,735</b>                  | <b>(8,899,835)</b>                                      |

\*\*\* Clinical Operations include the SOM Clinical Departments which currently have a consolidated loss of \$(216,701) and UNM Hospitals operations which currently has a net margin of \$35,848

Statements of Revenues, Expenses and Changes in Net Assets - UNM Regents Format  
 For the five month period ended November 30, 2016  
 Preliminary and Unaudited

**Detail of State/Local Appropriations**  
**Consolidated - Total Operations Current Funds**

|  | FY 2017<br>Full Year<br>Re-Forecasted Budget | FY 2017<br>Year-to-Date<br>Actual | Fiscal YTD<br>Favrb/(Unfavrb)<br>Budget | Actual to Budget<br>Benchmark Rate<br>42% |
|--|--|-----------------------------------|---|---|
| <b>Instruction and General</b>               |  |                                   |   |   |
| Instruction & General Appropriations         | 255,576,235                                  | 106,490,096                       | (149,086,139)                           | 42%                                       |
| State Special Project Appropriations         | 1,145,800                                    | 477,416                           | (668,384)                               | 42%                                       |
| Tobacco Settlement Appropriations            | 1,081,600                                    | 450,667                           | (630,933)                               | 42%                                       |
| Mill Levy                                    | 7,801,820                                    | 3,250,759                         | (4,551,061)                             | 42%                                       |
| Total Instruction and General Appropriations | <u>265,605,455</u>                           | <u>110,668,938</u>                | <u>(154,936,517)</u>                    | <u>42%</u>                                |
| <b>Research</b>                              |  |                                   |   |   |
| State Special Project Appropriations         | 6,344,975                                    | 2,643,740                         | (3,701,235)                             | 42%                                       |
| Tobacco Settlement Appropriations            | 937,200                                      | 390,500                           | (546,700)                               | 42%                                       |
| Cigarette Tax Appropriations                 | 4,473,371                                    | 2,094,474                         | (2,378,897)                             | 47%                                       |
| Total Research Appropriations                | <u>11,755,546</u>                            | <u>5,128,714</u>                  | <u>(6,626,832)</u>                      | <u>44%</u>                                |
| <b>Public Service</b>                        |  |                                   |   |   |
| State Special Project Appropriations         | 3,420,150                                    | 1,425,063                         | (1,995,087)                             | 42%                                       |
| Total Public Service Appropriations          | <u>3,420,150</u>                             | <u>1,425,063</u>                  | <u>(1,995,087)</u>                      | <u>42%</u>                                |
| <b>Clinical Operations</b>                   |  |                                   |   |   |
| State Special Project Appropriations         | 24,465,065                                   | 10,193,777                        | (14,271,288)                            | 42%                                       |
| Tobacco Settlement Appropriations            | 840,300                                      | 350,125                           | (490,175)                               | 42%                                       |
| Total Clinical Operations Appropriations     | <u>25,305,365</u>                            | <u>10,543,902</u>                 | <u>(14,761,463)</u>                     | <u>42%</u>                                |

Statements of Revenues, Expenses and Changes in Net Assets - UNM Regents Format  
 For the five month period ended November 30, 2016  
 Preliminary and Unaudited

**Detail of State/Local Appropriations**  
**Main Campus - Total Operations Current Funds**

|  | FY 2017<br>Full Year<br>Re-Forecasted Budget | FY 2017<br>Year-to-Date<br>Actual | Fiscal YTD<br>Favrb/(Unfavrb)<br>Budget | Actual to Budget<br>Benchmark Rate<br>42% |
|--|--|-----------------------------------|---|---|
| <b>Instruction and General</b>                     |  |                                   |   |   |
| Instruction & General Appropriations               | 177,421,700                                  | 73,925,709                        | (103,495,991)                           | 42%                                       |
| State Special Project Appropriations               |  |                                   |   |   |
| African American Student Services                  | 67,400                                       | 28,083                            | (39,317)                                | 42%                                       |
| Degree Mapping                                     | 69,500                                       | 28,958                            | (40,542)                                | 42%                                       |
| Disabled Student Services                          | 177,800                                      | 74,083                            | (103,717)                               | 42%                                       |
| ENLACE   | 59,400                                       | 24,750                            | (34,650)                                | 42%                                       |
| Hispanic Student Center                            | 146,600                                      | 61,083                            | (85,517)                                | 42%                                       |
| Minority Graduate Recruitment                      | 109,900                                      | 45,792                            | (64,108)                                | 42%                                       |
| Native American Studies Intervention               | 330,300                                      | 137,625                           | (192,675)                               | 42%                                       |
| Pre-College Minority Student Math & Science        | 184,900                                      | 77,042                            | (107,858)                               | 42%                                       |
| Total State Special Project Appropriations         | <u>1,145,800</u>                             | <u>477,416</u>                    | <u>(668,384)</u>                        | <u>42%</u>                                |
| Total Instruction and General Appropriations       | <u>178,567,500</u>                           | <u>74,403,125</u>                 | <u>(104,164,375)</u>                    | <u>42%</u>                                |
| <b>Research</b>                                    |  |                                   |   |   |
| State Special Project Appropriations               |  |                                   |   |   |
| Center for Regional Studies (SW Research Ctr)      | 915,950                                      | 381,646                           | (534,304)                               | 42%                                       |
| Manufacturing Engineering                          | 520,800                                      | 217,000                           | (303,800)                               | 42%                                       |
| Morrisey Hall                                      | 44,100                                       | 18,375                            | (25,725)                                | 42%                                       |
| Resource Geographic Information System             | 61,500                                       | 25,625                            | (35,875)                                | 42%                                       |
| Utton Transboundary Resource Center                | 321,000                                      | 133,750                           | (187,250)                               | 42%                                       |
| Total State Special Project Appropriations         | <u>1,863,350</u>                             | <u>776,396</u>                    | <u>(1,086,954)</u>                      | <u>42%</u>                                |
| Total Research Appropriations                      | <u>1,863,350</u>                             | <u>776,396</u>                    | <u>(1,086,954)</u>                      | <u>42%</u>                                |
| <b>Public Service</b>                              |  |                                   |   |   |
| State Special Project Appropriations               |  |                                   |   |   |
| Bureau of Business Research (Census)               | 356,500                                      | 148,541                           | (207,959)                               | 42%                                       |
| College Prep Mentoring/School of Law               | 111,900                                      | 46,625                            | (65,275)                                | 42%                                       |
| College Preparatory Mentoring                      | 159,000                                      | 66,250                            | (92,750)                                | 42%                                       |
| Corrine Wolfe Law Center/Child Abuse Training      | 159,300                                      | 66,375                            | (92,925)                                | 42%                                       |
| Family Development Program                         | 527,100                                      | 219,625                           | (307,475)                               | 42%                                       |
| ISTEC  | 45,200                                       | 18,833                            | (26,367)                                | 42%                                       |
| Judicial Selection                                 | 21,300                                       | 8,875                             | (12,425)                                | 42%                                       |
| KNME-TV  | 1,091,300                                    | 454,708                           | (636,592)                               | 42%                                       |
| Land Grant Studies Program                         | 122,200                                      | 50,917                            | (71,283)                                | 42%                                       |
| N. M. Historical Review                            | 44,500                                       | 18,542                            | (25,958)                                | 42%                                       |
| Southwest Indian Law Clinic                        | 192,500                                      | 80,208                            | (112,292)                               | 42%                                       |
| Spanish Colonial Research Center (SW Research Ctr) | 137,850                                      | 57,438                            | (80,412)                                | 42%                                       |
| Spanish Resource Center                            | 38,800                                       | 16,167                            | (22,633)                                | 42%                                       |
| Substance Abuse Program                            | 68,800                                       | 28,667                            | (40,133)                                | 42%                                       |
| Wildlife Law Education                             | 89,300                                       | 37,208                            | (52,092)                                | 42%                                       |
| Total State Special Project Appropriations         | <u>3,165,550</u>                             | <u>1,318,979</u>                  | <u>(1,846,571)</u>                      | <u>42%</u>                                |
| Total Public Service Appropriations                | <u>3,165,550</u>                             | <u>1,318,979</u>                  | <u>(1,846,571)</u>                      | <u>42%</u>                                |

Statements of Revenues, Expenses and Changes in Net Assets - UNM Regents Format  
 For the five month period ended November 30, 2016  
 Preliminary and Unaudited

**Detail of State/Local Appropriations**  
**Branch Campuses - Total Operations Current Funds**

|  | FY 2017<br>Full Year<br>Re-Forecasted Budget | FY 2017<br>Year-to-Date<br>Actual | Fiscal YTD<br>Favrb/(Unfavrb)<br>Budget | Actual to Budget<br>Benchmark Rate<br>42% |
|--|--|-----------------------------------|---|---|
| <b>Instruction and General</b>             |  |                                   |   |   |
| Instruction & General Appropriations       |  |                                   |   |   |
| Gallup                                     | 8,841,700                                    | 3,684,042                         | (5,157,658)                             | 42%                                       |
| Los Alamos                                 | 1,749,300                                    | 728,875                           | (1,020,425)                             | 42%                                       |
| Valencia                                   | 5,345,000                                    | 2,227,083                         | (3,117,917)                             | 42%                                       |
| Taos                                       | 3,522,500                                    | 1,467,708                         | (2,054,792)                             | 42%                                       |
| Total Instruction & General Appropriations | <u>19,458,500</u>                            | <u>8,107,708</u>                  | <u>(11,350,792)</u>                     | <u>42%</u>                                |
| <b>Mill Levy</b>                           |  |                                   |   |   |
| McKinley County                            | 2,575,000                                    | 1,072,916                         | (1,502,084)                             | 42%                                       |
| Los Alamos County                          | 675,000                                      | 281,250                           | (393,750)                               | 42%                                       |
| Valencia County                            | 2,658,490                                    | 1,107,705                         | (1,550,785)                             | 42%                                       |
| Taos County                                | 1,893,330                                    | 788,888                           | (1,104,442)                             | 42%                                       |
| Total Mill Levy                            | <u>7,801,820</u>                             | <u>3,250,759</u>                  | <u>(4,551,061)</u>                      | <u>42%</u>                                |
| Total Branch Appropriations                | <u>27,260,320</u>                            | <u>11,358,467</u>                 | <u>(15,901,853)</u>                     | <u>42%</u>                                |

Statements of Revenues, Expenses and Changes in Net Assets - UNM Regents Format  
 For the five month period ended November 30, 2016  
 Preliminary and Unaudited

**Detail of State/Local Appropriations**  
**Health Sciences Center - Total Operations Current Funds**

|  | FY 2017<br>Full Year<br>Re-Forecasted Budget | FY 2017<br>Year-to-Date<br>Actual | Fiscal YTD<br>Favrb/(Unfavrb)<br>Budget | Actual to Budget<br>Benchmark Rate<br>42% |
|--|--|-----------------------------------|---|---|
| <b>Instruction and General</b>               |  |                                   |   |   |
| Instruction & General Appropriations         | 58,696,035                                   | 24,456,679                        | (34,239,356)                            | 42%                                       |
| <b>Tobacco Settlement Appropriations</b>     |  |                                   |   |   |
| Instruction & General                        | 581,600                                      | 242,335                           | (339,265)                               | 42%                                       |
| Pediatric Specialty Education                | 250,000                                      | 104,166                           | (145,834)                               | 42%                                       |
| Trauma Specialty Education                   | 250,000                                      | 104,166                           | (145,834)                               | 42%                                       |
| Total Tobacco Settlement Appropriations      | 1,081,600                                    | 450,667                           | (630,933)                               | 42%                                       |
| Total Instruction and General Appropriations | 59,777,635                                   | 24,907,346                        | (34,870,289)                            | 42%                                       |
| <b>Research</b>                              |  |                                   |   |   |
| State Special Project Appropriations         |  |                                   |   |   |
| Cancer Center                                | 2,494,510                                    | 1,039,379                         | (1,455,131)                             | 42%                                       |
| Hepatitis C, Project ECHO                    | 1,987,115                                    | 827,965                           | (1,159,150)                             | 42%                                       |
| Total State Special Project Appropriations   | 4,481,625                                    | 1,867,344                         | (2,614,281)                             | 42%                                       |
| <b>Tobacco Settlement Appropriations</b>     |  |                                   |   |   |
| Genomics, Biocomputing, Environmental Health | 937,200                                      | 390,500                           | (546,700)                               | 42%                                       |
| Total Tobacco Settlement Appropriations      | 937,200                                      | 390,500                           | (546,700)                               | 42%                                       |
| Cigarette Tax Revenues                       | 4,473,371                                    | 2,094,474                         | (2,378,897)                             | 47%                                       |
| Total Research Appropriations                | 9,892,196                                    | 4,352,318                         | (5,539,878)                             | 44%                                       |
| <b>Public Service</b>                        |  |                                   |   |   |
| State Special Project Appropriations         |  |                                   |   |   |
| Center for Native American Health            | 254,600                                      | 106,084                           | (148,516)                               | 42%                                       |
| Total State Special Project Appropriations   | 254,600                                      | 106,084                           | (148,516)                               | 42%                                       |
| Total Public Service Appropriations          | 254,600                                      | 106,084                           | (148,516)                               | 42%                                       |
| <b>Clinical Operations</b>                   |  |                                   |   |   |
| State Special Project Appropriations         |  |                                   |   |   |
| Newborn Intensive Care Unit                  | 3,105,360                                    | 1,293,900                         | (1,811,460)                             | 42%                                       |
| Office of the Medical Investigator           | 4,754,750                                    | 1,981,145                         | (2,773,605)                             | 42%                                       |
| Pediatric Oncology                           | 1,208,210                                    | 503,421                           | (704,789)                               | 42%                                       |
| Poison and Drug Info Center                  | 1,470,980                                    | 612,908                           | (858,072)                               | 42%                                       |
| Native American Suicide Prevention           | 92,435                                       | 38,515                            | (53,920)                                | 42%                                       |
| GME Residencies                              | 1,675,230                                    | 698,013                           | (977,217)                               | 42%                                       |
| UNM Hospitals                                | 12,158,100                                   | 5,065,875                         | (7,092,225)                             | 42%                                       |
| Total State Special Project Appropriations   | 24,465,065                                   | 10,193,777                        | (14,271,288)                            | 42%                                       |
| <b>Tobacco Settlement Appropriations</b>     |  |                                   |   |   |
| Pediatric Oncology                           | 250,000                                      | 104,167                           | (145,833)                               | 42%                                       |
| Poison and Drug Info Center                  | 590,300                                      | 245,958                           | (344,342)                               | 42%                                       |
| Total Tobacco Settlement Appropriations      | 840,300                                      | 350,125                           | (490,175)                               | 42%                                       |
| Total Clinical Operations Appropriations     | 25,305,365                                   | 10,543,902                        | (14,761,463)                            | 42%                                       |

FY17 UNM Debt Service Schedule

As of November 30, 2016

\*Includes Hospital Debt

| UNM Bond Issue  | Fixed or Variable Rate Issue | Original Issue Amount | Outstanding Principal Balance on June 30, 2016 | Principal Payment due on June 1, 2017 | Interest Payment paid on December 1, 2016 | Interest Payment due on June 1, 2017 | FY 2017 Principal & Interest |
|---|------------------------------|-----------------------|--|---------------------------------------|---|--------------------------------------|------------------------------|
| Sub Lien System Rfdg Revenue & Improvement Bonds<br><sup>(7)</sup> <b>Series 2016 A: Interest Range 2.0% to 4.5%</b><br>Final Maturity Year 2046  | Fixed Rate                   | \$160,290,000         | \$158,435,000                                  | \$985,000                             | \$3,109,850                               | \$3,109,850                          | \$7,204,700                  |
| Sub Lien System Rfdg Revenue Bonds<br><sup>(8)</sup> <b>Series 2016 B: Interest Range .72% to 2.48%</b><br>Final Maturity Year 2024   | Fixed Rate                   | \$8,215,000           | \$8,030,000                                    | \$160,000                             | \$77,374                                  | \$77,374                             | \$314,748                    |
| <sup>(1)</sup> GNMA Collateralized Taxable Hospital Revenue Bonds*<br><b>Series 2015: Interest Range .484% to 3.532%</b><br>Final Maturity Year 2032  | Fixed Rate                   | \$115,000,000         | \$108,965,000                                  | \$5,540,000<br>(due 6/20/2017)        | \$1,585,989<br>(due 12/20/2016)           | \$1,585,989<br>(due 6/20/2017)       | \$8,711,979                  |
| Sub Lien System Imp Revenue Bonds<br><sup>(4)</sup> <b>Series 2014 A: Interest Range 3.0% to 5.0%</b><br>Final Maturity Year 2033   | Fixed Rate                   | \$10,980,000          | \$8,475,000                                    | \$1,280,000                           | \$179,325                                 | \$179,325                            | \$1,638,650                  |
| Sub Lien System Rfdg Revenue Bonds<br><sup>(5)</sup> <b>Series 2014 B: Interest Range 0.496% to 3.280%</b><br>Final Maturity Year 2024  | Fixed Rate                   | \$3,710,000           | \$3,005,000                                    | \$370,000                             | \$37,627                                  | \$37,627                             | \$445,254                    |
| Sub Lien System Rfdg Revenue Bonds<br><sup>(6)</sup> <b>Series 2014 C: Interest Range 1.5% to 5.0%</b><br>Final Maturity Year 2035  | Fixed Rate                   | \$100,085,000         | \$95,475,000                                   | \$2,620,000                           | \$2,386,875                               | \$2,386,875                          | \$7,393,750                  |
| Sub Lien System Imp Revenue Bonds<br><sup>(3)</sup> <b>Series 2012: Interest Range 2.0% to 5.0%</b><br>Final Maturity Year 2032   | Fixed Rate                   | \$35,215,000          | \$29,165,000                                   | \$1,450,000                           | \$695,025                                 | \$695,025                            | \$2,840,050                  |
| Sub Lien System Imp Revenue Bonds (portion refunded 03/08/2016)<br><b>Series 2007 A&amp;B: Interest Range 4.096% to 5.302%</b><br>Final Maturity Year 2036  | Fixed Rate                   | \$7,010,000           | \$5,010,000                                    | \$2,090,000                           | \$104,633                                 | \$104,633                            | \$2,299,266                  |
| Sub Lien Sys Rfdg Revenue Bonds<br><sup>(2)</sup> <b>Series 2002 B: Variable Rate Demand Bonds - rates reset weekly</b><br>Weekly rate as of June 30, 2014 was 0.05%<br>Final Maturity Year 2026                | Variable Rate                | \$25,475,000          | \$17,450,000                                   | \$1,300,000                           | \$334,168                                 | \$334,168                            | \$1,968,335                  |
| Sub Lien System Rfdg Revenue Bonds<br><sup>(2)</sup> <b>Series 2002 C: Variable Rate Demand Bonds - rates reset weekly</b><br>Weekly rate as of June 30, 2014 was 0.05%<br>Final Maturity Year 2030             | Variable Rate                | \$37,840,000          | \$32,460,000                                   | \$985,000                             | \$639,462                                 | \$639,462                            | \$2,263,924                  |
| Sub Lien System Imp Revenue Bonds<br><sup>(2)</sup> <b>Series 2001: Variable Rate Demand Bonds - rates reset weekly</b><br>Weekly rate as of June 30, 2014 was 0.07% Ceiling of 12%<br>Final Maturity Year 2026 | Variable Rate                | \$52,625,000          | \$28,755,000                                   | \$2,365,000                           | \$575,100                                 | \$575,100                            | \$3,515,200                  |
| System Revenue Bonds<br><b>Series 2000 B: Interest Range 5.50% to 6.35%</b><br>Final Maturity Year 2019   | Fixed Rate                   | \$6,621,671           | \$921,702                                      | \$382,969                             | \$0                                       | \$732,031                            | \$1,115,000                  |
| System Revenue Rfdg Bonds<br><b>Series 1992 A: Interest Range 6.0% to 6.25%</b><br>Final Maturity Year 2021   | Fixed Rate                   | \$36,790,000          | \$11,600,000                                   | \$2,190,000                           | \$348,000                                 | \$348,000                            | \$2,886,000                  |
| <b>Grand Total</b>  |                              | <b>\$599,856,671</b>  | <b>\$507,746,702</b>                           | <b>\$21,717,969</b>                   | <b>\$10,073,428</b>                       | <b>\$10,805,459</b>                  | <b>\$42,596,855</b>          |

Note: See attached matrix for funding sources.

(1) Source: UNM Hospital - UNM Hospital Principal payment is due on June 20; interest payments are due on December 20 and June 20.

(2) Variable Rate bonds reflect the actual synthetically fixed interest rate that UNM pays.

It is noted that all ranges of interest rates and final maturity dates are reflective of Serial bonds.

(3) Series 2012 bonds refunded 2002A bonds.

(4) Series 2014A bonds refunded 2003A, 2003B bonds.

(5) Series 2014B bonds refunded 2003C bonds.

(6) Series 2014C bonds refunded 2005A bonds.

(7) Series 2016A bonds refunded a portion of 2007A bonds, March 2016

(8) Series 2016B bonds refunded a portion of 2007B bonds, March 2016

FY17 UNM Debt Service - Source of Funds

As of November 30, 2016

|                                    | Series 2016A | Series 2016B | Series 2015 (UNMH Bond) | Series 2014A | Series 2014B | Series 2014C | Series 2012 | Series 2007A&B | Series 2002B | Series 2002C | Series 2001 | Series 2000B | Series 1992 |
|------------------------------------|--------------|--------------|-------------------------|--------------|--------------|--------------|-------------|----------------|--------------|--------------|-------------|--------------|-------------|
| Student Fees- Facility             | X            | X            | X                       |              | X            | X            | X           | X              | X            |              | X           | X            |             |
| Student Fees - IT                  |              |              |                         |              | X            | X            |             |                |              |              |             |              |             |
| Parking Services                   | X            | X            |                         |              | X            | X            | X           |                |              |              |             |              | X           |
| UNM Hospital                       |              |              | X                       |              |              |              |             | X              |              |              |             |              | X           |
| Bookstore                          |              |              | X                       |              |              |              |             |                |              |              |             |              | X           |
| Housing & Dining Services          |              |              | X                       |              |              | X            |             |                | X            |              | X           |              |             |
| Building R&R                       |              |              | X                       |              |              |              |             | X              |              |              |             |              | X           |
| Real Estate Department             | X            | X            | X                       | X            |              |              | X           | X              |              |              |             |              |             |
| Physical Plant Department          | X            | X            |                         |              | X            | X            | X           | X              |              | X            |             |              |             |
| Information Technologies           |              |              |                         |              | X            | X            |             |                |              |              |             |              |             |
| Athletics                          | X            | X            |                         |              |              |              | X           |                |              |              |             |              |             |
| KNME                               |              |              |                         |              |              |              |             |                |              |              |             |              | X           |
| Opto Bldg (CHTM Res Park)          |              |              |                         |              |              |              |             | X              |              |              |             |              |             |
| CRTC                               |              |              |                         |              |              |              |             | X              |              |              |             |              |             |
| Continuing Education               |              |              |                         |              |              |              |             | X              |              |              |             |              |             |
| Golf Course - North & South        |              |              |                         |              |              |              |             |                | X            |              | X           |              |             |
| HSC                                | X            | X            |                         |              |              | X            | X           |                |              |              |             |              |             |
| Interest on Reserve Funds          |              |              | X                       |              |              |              |             | X              | X            |              | X           |              |             |
| ASM New Facility                   | X            |              |                         |              |              |              |             |                |              |              |             |              |             |
| Johnson Center Expansion & Renewal | X            |              |                         |              |              |              |             |                |              |              |             |              |             |
| Smith Plaza                        | X            |              |                         |              |              |              |             |                |              |              |             |              |             |

## *Advisor Reports*



# Board of Regent Report

## UNM Alumni Association & Alumni Relations Office

January 20, 2017

### Strategic Goals

#### Goal: Maximize alumni and student engagement

##### Graduation

- **New Grad Reception** – Thursday, December 15, 2017
  - Over 250 graduates and guests attended a new grad reception at Hodgin Hall Alumni Center. Graduates who signed up for the UNM Online Community received an alumni portfolio. Giveaways included a UNM Diploma Frame, an Official Ring Scholarship, and alumni gear.

##### Alumni Engagement

- **Chapters and Alumni Outreach Calendar**
  - Austin Chapter
    - December 14 – Holiday Social, 40 attended
    - December 17 – NM Bowl Game Watch at Red’s Porch, Austin
  - Dallas/FW Chapter
    - December 17 - NM Bowl Game watch at Social House, Addison, TX
    - January 21 – MBB vs. Wyoming Game Watch at Social House, Addison, TX
  - Denver Chapter
    - December 17 - NM Bowl Game watch at Chopper’s Sports Grill, Denver
  - Houston Chapter
    - December 14 – Posole Dinner at Cuchara’s, Houston, TX, over 30 in attendance
  - Orlando Alumni Outreach
    - December 17 – NM Bowl Game watch at Millers Field House, Orlando, FL
    - January 13 – UNM Foundation/Alumni Reception, home of Tim Cass ‘87
    - January 14 – UNM Men’s Tennis at USTA Campus, Tour and Reception
  - San Diego Chapter
    - January 17 – Millennials Networking Social, Ballast Point Brewery, Miramar
  - Las Vegas Chapter
    - January 24 – Lobos Mean Business Networking Social, Double Helix, Town Square

##### Greater Albuquerque Area Alumni Association (GAAAC)

##### Community Service:

- Dec. 2 - Volunteered for Hanging of the Greens at UNM
- Dec. 10 – Held a Holiday Clothing Drive yielding 63 winter coats, and bags of socks, hats, mittens, gloves, and winter clothes
- Dec. 16, 17 - Volunteered for UNM’s graduate and undergraduate commencement ceremonies at Wise Pies Arena.

- Dec. 20 - Sponsored a family for Christmas: GAAAC members bought, wrapped and delivered \$250.00 worth of presents to a family of 7
- Dec. 24 - Sponsored the Christmas Eve meal at Ronald McDonald House

Social:

- Dec 16 - Annual holiday party for the Board of Directors
- Jan. 14 – Organized a Basketball Game Watch party at Horse & Angel for Albuquerque area alumni

**Lobo Living Room**

Jan. 19 - **Voices from the Past** with former NM State Historian, Robert Torrez. Expected attendance is 50-70 alumni and community people. A lecture presentation on New Mexico history, a book signing and a reception will be held.

The Alumni Association will honor the following at their annual **Winter Awards Dinner** to be held on Thursday, February 16, 2017 at the Albuquerque Country Club:

**Erna S. Fergusson Award** “... shall be given in recognition of exceptional accomplishments or for commitment or distinguished service to the University of New Mexico. The recipient need not be an alumnus of the University.”

**Steve Ciepiela** BA '77 MPA '80

**Bernard S. Rodey Award** “... shall be made to those persons who have devoted an unusual amount of time in a leadership capacity and whose efforts have contributed significantly to the field of education. The recipient need not be an alumnus of UNM.”

**Loren Kieve** JD '73

**James F. Zimmerman Award** “... shall be given to an alum of the University of New Mexico who has made a significant contribution which has brought fame and honor to the University of New Mexico or to the State of New Mexico.”

**David Campbell** MPA '81 JD '86

**Faculty Teaching Award** “... recognizes outstanding teaching and service to students. The recipient shall be a faculty member currently employed full-time in any school or college of the University of New Mexico.” The award carries a \$1500 stipend.

**Amy Brandzel**

Please contact the Alumni Relations Office for dinner reservation details – 277.5808.

**Goal: Increase alumni advocacy for UNM**

**Lobos for Legislation**

- **Lobo Tracks** has been initiated: Lobos for Legislation team members will be tracking all communication with NM legislators with the interactive app developed by the UNM Alumni Relations office.
- **Legislative events:**
- Jan 30 - **UNM Day** at the State Capitol-all day, **Legislative Relations Briefing** at La Fonda followed by the **Legislative Appreciation Reception**

**Goal: Maximize benefits of technology**

**Website:**

Date range: 12/7/2016 to 1/10/2017

Views: 7,030

Top 5 pages: Home page, Alumni Chapel, Events, Benefits, Travel

**Online Community**

In 2016, there were 1,200 newly registered members to UNM Alumni Connect, the online community.

**Database** improvement project in collaboration with the UNM Foundation continues with Phase 2 of the project beginning on January 3, 2017.

**Goal: Invest in a strategic and collaborative communications and marketing program**

**Howler Statistics**

The Howler monthly e-newsletter continues to average a 15% open rate (about 12,700), with an average of 800 click throughs per issue.

- The December issue featured: Graduation celebrations (New Graduate Reception and free grad photos at The U), Hanging of the Greens, Lobo Living Room and chapter events including Chicago and Orlando.
- The January issue featured: UNM Alumni Connect, Winter Awards Dinner, booking the UNM Alumni Memorial Chapel, Lobo Day save the date, Lobo Living Rooms and chapter events including Orlando, Las Vegas, San Diego and Geology.

The **Mirage** magazine for Spring 2017 is in the process of being produced and should go to print in February.