



# BOARD OF REGENTS

**MAY 11, 2023  
AGENDA BOOK**

**9:00 AM Open Session  
Student Union Building, Ballroom C  
Executive Session – Cherry Silver Room**



## The Board of Regents of the University of New Mexico

Thursday May 11, 2023

9:00 AM Open Session - Student Union Building (SUB), Ballroom

8:00-9:00 AM Executive Session, Cherry Silver Room, 3<sup>rd</sup> Level of SUB

Luncheon-Executive Session-Post Open Session, Cherry Silver Room, 3<sup>rd</sup> Level of SUB

Livestream<sup>1</sup>: <https://live.unm.edu/board-of-regents>

### ***Revised\** AGENDA**

#### **8:00 AM: Cherry Silver Room**

- A. Call to Order and Confirmation of a Quorum, *Chair Kim Sanchez Rael*
  - Adoption of the Agenda
- B. Vote to close the meeting and proceed in Executive Session (Roll Call Vote)
  - Discussions of limited personnel matters as defined in and permitted by Section 10-15-1.H(2), NMSA (1978).
- C. Vote to Re-Open the meeting [*Regents proceed to Ballroom C*]

#### **9:00 AM: SUB Ballroom C**

**TABS**

- D. Convene Open Session, *Chair Kim Sanchez Rael*
  - Certification that the matters discussed in Closed Session were limited only to items on the Executive Session agenda, and if necessary, ratification of items in open session
  - UNM's Land Acknowledgement Statement
- E. **Minutes for Approval:** April 10, 2023 regular meeting; April 19, 2023 special meeting; and corrections to the minutes of the February 14, 2023 regular meeting..... 1
- F. Public Comment<sup>2</sup> [*limit 3 mins.*]
- G. Advisors' Comments related to Agenda Items [*limit 3 mins.*]
- H. President's Comments, *Garnett S. Stokes* ..... 2
- I. Regents' Comments
- J. Regents' Committee Reports

### **~~-----~~CONSENT DOCKET~~-----~~**

- 1. Graduate Certificate in Public Policy (New) .....C-1
- 2. Phlebotomy Technician Certificate (UNM Gallup) .....C-2
- 3. Certificate in Interdisciplinary Design .....C-3
- 4. BS Chemical Biology .....C-4
- 5. Security Managerial Group Resolution .....C-5
- 6. Policy C70 – Confidentiality of Faculty Records .....C-6
- 7. Five-Year Capital Plans, detailing projects which will construct and/or significantly improve and renew numerous facilities on UNM Campuses .....C-7
- 8. Main and Branch Campuses FY23 Budget Adjustment Request (BAR).....C-8
- 9. New Mexico HED Institutional Finance Division, 3<sup>rd</sup> Quarter Financial Actions Report and Certification through March 31, 2023.....C-9

10. Project Construction:.....	C-10
a) Lobo Welcome Center Re-Approval	
b) Mesa Del Sol – HVAC Upgrade	
c) Student Health and Counseling – Controls and HX Project	
d) Domenici Hall – Chiller Replacement	
e) La Posada – Dishwasher Renovation	
11. Health Sciences FY23 Budget Adjustment Request (BAR) .....	C-11
12. Health Sciences Library & Informatics Center 2 <sup>nd</sup> Floor Renovation .....	C-12
13. Health Resources & Services Administration UNM Health & Health Sciences: Renovation of Research Facilities Project.....	C-13
14. Re-Appointment of Kurt Riley to the UNMH Board of Trustees (APCG Appointee).....	C-14
15. Carrie Tingley Hospital Foundation By-Laws.....	C-15
16. Capital Projects:.....	C-16
a) UH Main Chiller Replacement-Phase 2 (\$2,750,000)	
b) Dermatology Clinic Renovation (\$1,700,000)	
c) UH Main Boiler #1 Replacement (\$1,250,000)	

\*Docket Note: #1-6 moved from Student Success, Teaching and Research [SSTAR] Committee; #7-10 moved from Finance and Facilities Committee [F&F]; #11-16 moved from Health Sciences Center Committee [HSCC]

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### **Audit and Compliance Committee, Regent Fortner, Chair**

#### Information Item:

1. Meeting Summary Report - May 4, 2023 meeting .....	3
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### **Governance Committee, Regent Schwartz, Chair**

#### Action Items:

1. BOR and Committee Meeting Schedule for 2023-24 Academic Year, <i>Committee Chair, Rob Schwartz</i> .....	4
2. New Regents' Policy, RPM Section 1.9 "Board of Regents' Office" .....	5
<i>Committee Chair, Rob Schwartz</i>	
3. Revised Regents' Policy, RPM 2.16 "University Counsel", <i>Chair Schwartz</i> .....	6

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### **Student Success, Teaching and Research Committee [SSTAR], Regent Ko, Chair**

#### Action Item:

1. 2023 Spring Degree Candidates, <i>Finnie Coleman, Faculty Senate President</i> .....	7
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### **Finance and Facilities Committee [F&F], Regent Payne, Chair**

#### Information Items: [Information Only – will not be presented]

1. Integrated Campus Plan, Preliminary Findings, <i>Teresa Costantinidis, EVP for Finance &amp; Administration</i> .....	8
2. 3 <sup>rd</sup> Quarter Consolidated Financial Report through 3/31/2023, <i>Norma Allen, University Controller</i> .....	9

#### Action Items:

3. Regents' Policy Revision to RPM Section 7.21 "Investment of Operational Funds and Bond Proceeds" .....	10
<i>Vahid Staples, Assoc. Dir. OPB&amp;A; Jeremy Hamlin, Dir. Office of Planning, Budget &amp; Analysis; and Max Kotary, Partner, AON Investments</i>	
4. FY24 Operating Budget for Main and Branch Campuses .....	11
<i>Jeremy Hamlin, director, Office of Planning, Budget &amp; Analysis</i>	
5. Capital Outlay request package: 2023-2024 General Obligation Bonds, Severance Tax Bonds, and General Fund Projects which must be submitted to the HED .....	12
<i>Teresa Costantinidis, EVP for Finance &amp; Administration; James Holloway, EVP for Academic Affairs/Provost; Doug Ziedonis, EVP for Health Sciences and CEO of the UNM Health System</i>	

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## Health Sciences Center Committee [HSCC], Regents Schwartz & Fortner, Co-Chairs

### Action Items:

1. Health and Health Sciences FY24 Budget, *Joe Wrobel*..... 13
2. HSC Capital Outlay Projects for 2023 HED Summer Hearings, *Joe Wrobel* ..... 14

### Information Item: [Information Only – will not be presented]

3. NM State Health Improvement Plan Priorities, *Doug Ziedonis; et. Al.* ..... 15

K. Advisors' Comments [*limit 2 mins.*] ..... 16

L. Roll Call Vote to close the meeting and proceed in Executive Session-Luncheon

#### *Regents proceed to Cherry Silver Room*

- Discussions subject to attorney-client privilege pertaining to threatened or pending litigation as permitted by Section 10-15-1.H(7), NMSA (1978);
- Discussion of strategic and long-range business plans of public hospitals pursuant to Section 10-15-1H(9), NMSA (1978);
- Discussions of bargaining strategy preliminary to collective bargaining and collective bargaining between a policymaking body and an employee bargaining unit, as permitted by Section 10-15-1.H(5), NMSA (1978); and
- Discussions of limited personnel matters as defined in and permitted by Section 10-15-1.H(2), NMSA (1978); and
- Discussion of the purchase, acquisition or disposal of real property as permitted by Section 10-15-1.H.(8), NMSA (1978).
- Vote to Re-Open the Meeting

M. Certification that only those matters described in the Executive Session Agenda were discussed in the closed session; if necessary, final action with regard to certain matters will be taken in Open Session

N. Adjourn

*\* Revisions include: items moved to consent docket from committee (May 9 F&F and HSC Committees); morning and luncheon closed session interchange; there are no additions of agenda items to the originally submitted agenda*

<sup>1</sup>Access the livestream of the meeting here: <https://live.unm.edu/board-of-regents>

<sup>2</sup>Public Comment: Anyone wishing to give in-person public comment at the meeting will need to register. To register, please email [regents@unm.edu](mailto:regents@unm.edu) with the subject "Request to provide public comment at 5/11 Regents' Meeting", and include the following information:

1. First and Last name
2. Email and Phone Number
3. Affiliated organization and professional title (if applicable)
4. Topic you would like to address

*The deadline to register to give public comment is 3:00 PM Wednesday, May 10, 2023.*

Written comments sent to [regents@unm.edu](mailto:regents@unm.edu) are welcomed and will be distributed to the Regents before the end of the day 5/10/23.





## Land Acknowledgement Statement

*Founded in 1889, The University of New Mexico sits on the traditional homelands of the Pueblo of Sandia. The original peoples of New Mexico – Pueblo, Navajo, and Apache – since time immemorial, have deep connections to the land and have made significant contributions to the broader community statewide. We honor the land itself and those who remain stewards of this land throughout the generations and also acknowledge our committed relationship to Indigenous peoples. We gratefully recognize our history.*

Minutes of the Regular Meeting of  
The Board of Regents of the University of New Mexico  
April 10, 2023

9:00 AM Open Session-Student Union Building (SUB), Ballroom C  
8:00AM and Luncheon Executive Sessions in Cherry Silver Room, SUB  
Open Session livestreamed for public viewing

Members Present

Kim Sanchez Rael, Chair; Jack L. Fortner, Vice Chair; Robert L. Schwartz, Secretary-Treasurer; Paul Blanchard; Randy Ko; Paula Tackett

Members Not Present

William H. Payne

Administration Present

Garnett S. Stokes, President; James Holloway, Provost and EVP for Academic Affairs; Teresa Costantinidis, EVP for Finance and Administration; Doug Ziedonis, EVP for Health Sciences and CEO of the Health System; Loretta Martinez, General Counsel; Kate Becker, CEO UNM Hospitals; Michael Richards, Senior Vice President for Clinical Affairs, HSC; Norma Allen, Controller; Joe Wrobel, Chief Finance Officer, HSC; Ellen Fisher, VP Research; Assata Zerai, VP Equity and Inclusion; Eric Scott, VP Student Affairs, Dan Garcia, VP Enrollment Management; Eddie Nunez, AD; Connie Beimer, VP Alumni Relations; Francie Cordova, Chief Compliance Officer; Kevin Stevenson, Asst. VP HR; Terry Babbitt, President's Chief of Staff; Cinnamon Blair, Chief University Marketing and Communications Officer; deans and others

Advisors Present

Amie Ortiz, Staff Council President; Shaikh Ahmad, GPSA President; Ian May, ASUNM President; Alfred Mathewson, Retiree Association, President; Amy Miller, President Alumni Association; Ryan Mummert, Chair, UNM Foundation Board of Directors

Presenters

Bill Stanley, Assoc. Provost for Faculty Success; Yolanda Sanchez, Director & CEO, UNM Comprehensive Cancer Center; Scot Sauder, Deputy University Counsel

CALL TO ORDER AND CONFIRMATION OF A QUORUM

Chair Kim Sanchez Rael called the meeting to order at 8:07 AM in the Cherry Silver Room on 3<sup>rd</sup> level of the SUB and confirmed a quorum with 6 members present in person – Jack Fortner, Paul Blanchard, Paula Tackett, Randy Ko, Rob Schwartz and Kim Rael. Regent Bill Payne could not attend the meeting.

VOTE TO ADOPT THE AGENDA

Before adoption of the agenda, Regent Rael put forth modifications to the agenda: 1) move to Special Recognitions, before public comment, the SSTAR Committee action items, and the SSTAR Committee information item regarding research and creative works leaders of 2022; and 2) place Advisors' Comments related to agenda items directly after public comment.

- **The motion to adopt the agenda as modified passed unanimously (1<sup>st</sup> Blanchard; 2<sup>nd</sup> Fortner).**

VOTE TO CLOSE THE MEETING AND PROCEED IN EXECUTIVE SESSION

(1<sup>st</sup> Fortner; 2<sup>nd</sup> Ko; roll call vote – all members voted yes)

The meeting closed at 8:08 AM. The doors to the Cherry Silver Room were closed.  
Executive Session agenda:

1. Discussions subject to attorney-client privilege pertaining to threatened or pending litigation as permitted by Section 10-15-1.H(7), NMSA (1978);
2. Discussion of strategic and long-range business plans of public hospitals pursuant to Section 10-15-1H(9), NMSA (1978);
3. Discussions of bargaining strategy preliminary to collective bargaining and collective bargaining between a policymaking body and an employee bargaining unit, as permitted by Section 10-15-1.H(5), NMSA (1978); and
4. Discussion of the purchase, acquisition or disposal of real property as permitted by Section 10-15-1.H(8), NMSA (1978).

#### VOTE TO RE-OPEN THE MEETING

(1<sup>st</sup> Schwartz; 2<sup>nd</sup> Fortner; roll call vote – all members voted yes)

The meeting opened at 9:07 AM.

- o *The Regents proceeded to SUB Ballroom C*

#### CONVENE OPEN SESSION

Regent Rael convened open session at 9:12 AM in Ballroom C and certified that the Board's closed session discussions were limited only to items on the executive session agenda.

Regent Rael read aloud UNM's Land Acknowledgement Statement:

#### Land Acknowledgement Statement of the University of New Mexico

*Founded in 1889, The University of New Mexico sits on the traditional homelands of the Pueblo of Sandia. The original peoples of New Mexico – Pueblo, Navajo, and Apache – since time immemorial, have deep connections to the land and have made significant contributions to the broader community statewide. We honor the land itself and those who remain stewards of this land throughout the generations and also acknowledge our committed relationship to Indigenous peoples. We gratefully recognize our history.*

#### APPROVAL OF MINUTES

- **The motion to approve the minutes of the March 13, 2023 statutorily required meeting and the informational meeting notes of the March 31 Committee of the Whole meeting passed unanimously with six members present and voting (1<sup>st</sup> Fortner; 2<sup>nd</sup> Blanchard).**

#### SPECIAL RECOGNITIONS

Regent Rael asked Student Regent Randy Ko, Chair of SSTAR Committee, to introduce the items. Regent Ko asked Doug Ziedonis to present the first item.

#### Appointment of Yolanda Sanchez, PhD to the Maurice and Marguerite Liberman Distinguished Chair in Cancer Research

Dr. Ziedonis spoke about the generous gift the Liberman's established supporting cancer research and explained that the distinguished chair is reserved for the CEO and Director of the UNM Comprehensive Cancer Center (CCC). Dr. Ziedonis introduced Yolanda Sanchez, who began her role as the CEO and Director of UNM's Comprehensive Cancer Center in February 2023. Dr. Sanchez joined UNM from the Dartmouth Cancer Center, an NCI-designated comprehensive cancer center that

oversees all cancer-related academic, education, training, and clinical activities at Dartmouth College, where Dr. Sanchez held leadership roles to bridge basic, translational, and clinical research with clinical programs. Dr. Sanchez is a pioneer in cancer research, and her research leadership roles have included integrating initiatives across basic science, population science and clinical research to ensure high-quality collaborative activities across research programs. In addition to her research leadership, Dr. Sanchez is an accomplished independent research investigator. Dr. Sanchez said that she was extremely honored for the title and thanked the Liberman's for their generosity.

Regent Rael welcomed Dr. Sanchez to the UNM Community, adding that she was proud of the work Dr. Sanchez was continuing.

- **The motion to appoint Yolanda Sanchez to the Maurice and Marguerite Liberman Distinguished Chair in Cancer Research passed unanimously (1<sup>st</sup> Fortner; 2<sup>nd</sup> Tackett).**

Creation of the Endowed Professorship 'The Donna M. Tomky and Robert W. Geer Professorship in Ovarian Cancer Research', and Appointment of Dr. Sarah Adams as the inaugural holder of 'The Donna M. Tomky and Robert W. Geer Professorship in Ovarian Cancer Research'

Dr. Yolanda Sanchez presented the item and spoke about the endowment established by Robert 'Bob' Geer after his wife Donna M. Tomky received exceptional care at UNM's cancer center after Donna had been diagnosed with advanced stage ovarian cancer. The experience led Bob Geer to fund an endowment to support future generations of cancer doctors and researchers.

Dr. Sanchez introduced Dr. Sarah Adams who has been the holder of the Victor and Ruby Hansen Surface Endowed Professor in Ovarian Cancer Research since 2012. Dr. Adams is a gynecological oncologist and holds a research appointment, her lab focusses on tumor immunology and the development of novel treatment strategies for ovarian cancer. With Dr. Adams' experience in translating work from the lab to national clinical trials, she was appointed to be the Associate Director for Translational Science at the UNM CCC. Dr. Adams expressed appreciation for the donors and thanked the Regents, Dr. Sanchez, and others for the honor and the opportunity that the professorship provides.

- **The motion to create the endowed professorship 'The Donna M. Tomky and Robert W. Geer Professorship in Ovarian Cancer Research', and appoint Dr. Sarah Adams as the inaugural holder of the professorship passed unanimously (1<sup>st</sup> Blanchard; 2<sup>nd</sup> Schwartz).**

Recognition of Research and Creative Works Leaders 2022: Irene Salinas Remiro and Brandon Schmandt (information item)

Bill Stanley, Associate Provost for Faculty Success, presented the item and introduced the faculty awardees. The Office of Academic Affairs has recognized two faculty members for this academic year's Research and Creative Works Leader Awards. These awards are given by the Provost based on nominations received from the Provost's Tenure and Promotion committee. That committee nominates a few of the most extraordinary candidates for promotion each year based on their records as assembled for milestone reviews, including evaluations by external and internal peer reviewers. Awards are being given to Professor Irene Salinas Remiro of the Department of Biology, and Professor Brandon Schmandt of the Department of Earth

and Planetary Sciences. Both are considered by external and internal reviewers alike to be among the top scholars in the world in their areas of research.

*[applause and congratulations]*

#### PUBLIC COMMENT

Satya Witt, Faculty member in the Department of Biology and UA UNM representative for the College of Arts and Sciences, spoke in support of faculty compensation, adding that it is of great concern to the union that compensation bargaining has not yet begun. It is the position of the union that sharing the budget prior to compensation bargaining is premature. Dr. Witt announced that the union would submit a formal proposal for there to be a union representative as a Regent Advisor and also a constituent on the Budget Leadership Team.

Ford Peay, Graduate Student, spoke in favor of budgeting for graduate worker compensation increases and specifically for funds allocated for significant stipend raises for graduate workers for the upcoming fiscal year to ensure financial stability for graduate students which will also benefit the undergraduate students that graduate students instruct and support.

#### ADVISORS' COMMENTS RELATED TO AGENDA ITEMS

Amie Ortiz, Staff Council President, thanked the university administration for including the voice of staff in the budget development process, including a place on the Budget Leadership Team and encouraged the Regents to approve the budget recommendations, affirming that the final budget proposal attempts to address the concerns of all of the various constituencies

Ian May, ASUNM President, thanked the Board for moving advisors' comments to the beginning of the meeting. President May stated that from the students' perspective all of the projects put forward in the budget are important, but there are pros and cons to raising tuition versus keeping it flat for the next fiscal year, and he encouraged the Regents to pursue looking for other funding sources before raising tuition.

Shaikh Ahmad, GPSA President, said that steady tuition and fees are good for students and that small, marginal, gradual increases are better than large increases. What is important is to have a robust fiscal plan that adequately supports critical resources and enhances higher education.

Regent Rael inquired about the GPSA fee that didn't pass. President Ahmad responded that GPSA started with a bill that was introduced and passed in the council with barely 66% votes by the council members. The proposal went to a general election, had mixed support, and in the end lost by about 63 votes. At the same time, this could indicate that students are not ready for that kind of increase at the moment, even just a \$10 per semester increase per graduate student.

#### PRESIDENT'S ADMINISTRATIVE REPORT

President Stokes opened her report with recent highlights, including the grand opening ribbon cutting for the ISUBI building, UNM's Interdisciplinary Substance Use and Brain Injury Center, a new wing on the west side of Domenici Hall which is a 15,700 square foot facility that provides our Lobo health care providers and researchers with the state of the art facility and home for collaborative interdisciplinary research on a broad range of studies related to substance use and misuse and studies of brain injuries. This was funded partly by a construction grant from NIH and then UNM, Health and Health Sciences contributed the remainder of the funding. The facility reflects a high priority

for the university. New Mexico has led in alcohol mortality rates for years, for decades, and it has one of the highest rates of unintentional overdose deaths. New Mexicans also suffer a higher than normal rate of traumatic brain injuries. So this is a facility that is really going to serve the entire campus and serve an incredible need for the State of New Mexico.

Another highlight is the new Center for Pedestrian and Bicyclist Safety in the School of Engineering, funded by the DOT at \$2 million annually for 5 years for virtual reality technology to find ways to make roadways safer for pedestrians and bicyclists. Other highlights included the prestigious Lobo Scholars, recipients of the Goldwater Scholarship, Kelsey Adler and Melissa Rudden, and Fulbright Scholar Miguel Sabol. In addition, President Stokes highlighted the new Dean of Engineering and Computing, Donna Riley, who began on April 1 and is the first female Dean of the School of Engineering.

The President provided an update on the legislative session. President Stokes thanked everyone who spent so much time in Santa Fe, adding that the University had a great deal of success in the legislature this year. First, in terms of instruction and general funding (I&G), the initial ask was developed in collaboration with other institutions in the state of New Mexico, that being a 5% increase to I&G funding and a 10% compensation increase along with a 1% increase for ERB support. The Legislative Finance Committee (LFC) framework, which was adopted by House Appropriations, included a one-percent of new I&G funds to be distributed by the formula that exists and partial funding for a one-percent employer contribution to ERB. There were also some non-formula adjustments to I&G. For targeted faculty compensation, they provided \$6 million statewide, so that will be divided up among institutions. Instruction and General allocations for FY24 were as follows:

- \$229.23 million for UNM Central Campus (3.1% increase to prior year)
- \$77.85 million for Health Sciences (3.9% increase)
- \$9.91 million for UNM Gallup (2.4% increase)
- \$2.18 million for UNM Los Alamos (2.8% increase)
- \$4.37 million for UNM Taos (2.6% increase)
- \$6.58 million for UNM Valencia (2.6% increase)

President Stokes emphasized that the new money UNM received through the formula is 1% and is the only flexible use funding which UNM will to cover the gaps in compensation and ERB, with some funds going toward students support needs. The President explained that Health and Health Sciences does not receive funding through the formula, but it did receive a base increase to I&G: \$1.1 million for pension increase and \$1 million for targeted faculty pay. President Stokes said there's still a long way to go with dealing with the compensation gaps that exist, but stated her appreciation for the conversations administration has been able to have about the importance of that and she thanked the Board for their recognition of that need.

President Stokes discussed the Research and Public Service Project (RPSP) funding, categorical and recurring funding, and the President focused her highlights on the RPSP expansion funding. The University received \$7.16 million for eight expansions that included athletics, the office of the medical investigator (OMI), the New Mexico Poison and Drug Information Center, and programs that really addressed the states critical shortage of health care providers. UNM also received \$1.5 million for 4 new projects that we're focused on Bachelors prepared nurses, supporting student success, and growing the School of Public Administration. Additionally, UNM received \$1.8 million from the consumer settlement fund - the first year UNM received this

support, and \$1 million of it will go to the Child Psychiatric Center for services to children and families. Another \$800K will go to Project Echo to provide training and consultation. President Stokes commented that one whole, administration was please with the support UNM received.

President Stokes discussed the special appropriations and the Junior Bill. The non-recurring special appropriation request process is something UNM cannot count on, and administration doesn't know if it will even be given the opportunity to provide requests in these categories. If asked, UNM focuses on urgent needs, but it's really outside the normal legislative timeline. Ultimately, anything that the HED and LFC recommend, it goes through this process. DFA invited proposals for the 2023 session and UNM put in 14 requests that were largely related to RPSP and capital project requests, but none of them ended up funded through the special appropriations requests. However, two existing RPSPs got funded, the HPV PAP registry for \$500K and the bioscience authority, which the latter ended up getting vetoed. Additionally, UNM Taos received \$1 million of special appropriations. In the Junior Bill, UNM had 26 projects that received about \$5.4 million in nonrecurring funding, and those included five new RPSPs and one expansion request.

President Stokes outlined a summary of the capital outlay requests. Forty capital projects receive \$42.8 million: \$6.3 million for Central Campus for critical safety and security improvements, essential campus infrastructure, and information technology upgrades; \$16.1 million for Health Sciences for upgrade to the interprofessional health simulation center, HSC research equipment, Health Sciences Library and Informatics Center renovation, and UNM CCC; \$6.8 million for the Branches; and \$13.7 million for individual sponsored project – 29 projects ranging from \$50K-\$4 million. The process of developing UNM's capital priorities for FY24 began in the fall of 2021, so this is a very long-term process. These projects were approved by the Regents and presented to the HED, DFA and LFC staff in the summer of 2022. UNM's top priority was the funding of building access controls as part of public safety and campus safety features. It was partially funded and will be eligible for additional funding that's available from HED which received a \$20 million allocation for building renewal and replacement. The information technology upgrade was not funded in the capital bill, but UNM may receive partial funding from a special appropriation for equipment and renewal, \$3 million statewide that went to the HED.

The President addressed statewide appropriations, funding that UNM may have access to, but the funds go to the Higher Education Department and then universities can apply for that funding. This is another mechanism by which universities can receive support. The \$55 million for technology and enhancement funds provides matching funds to state research universities to support applied research. Other statewide appropriations included, \$5 million for public health programs at UNM and NMSU, \$10 million for a health professionals loan repayment fund, and \$10 million for nursing endowed faculty positions.

President Stokes discussed financial aid opportunities provided by the State of New Mexico in the Opportunity in Lottery Scholarships, seed funding that will make education tuition and, for Opportunity, fees, free for thousands of in-state undergraduates for the remainder of FY23 and going forward. UNM worked with its students and other higher education institutions to support this continued and expanded funding for the Opportunity Scholarship, which is a signature program for New Mexico's Governor. The legislature made a onetime supplemental appropriation of \$45 million to support students through FY23 and a recurring \$146 million to the Opportunity Scholarship going forward. President Stokes asserted that the

Opportunity Scholarship has been a contributor to UNM's enrollment increases, and students have been by far the biggest beneficiaries of the program, with nearly \$70 million paid to almost 11,000 students in this academic year. Additionally, a \$24 million budget adjustment was made to the Lottery Scholarship to cover the program fully for FY23, and transferred another \$40 million for FY24. The President stated how pleased she was with the legislature's decision to invest in graduate education by providing \$10 million for scholarships to graduates from New Mexico high schools for those students enrolled in master's or doctoral, stem degree programs, full-time. On top of that, there was an additional \$20 million for endowments to support financial aid, scholarships, and practicums for New Mexico residents and New Mexico high-school students who are currently enrolled in master's level social work programs. The President stated that there is a lot of work going on related to social work and related to teacher preparation.

President Stokes discussed policy bills considered during the legislature that were absolutely critical for UNM, and several of the bills that were considered will have a direct impact. House Bill 216 was one that higher education was following because it basically was focused on the possibility of having all education, including higher education, included under the LFC committee, but that ended up being considered duplicative, and so that conversation will most likely continue. Other bills of importance are House Bill (HB) 407 and Senate Bills (SB) 51, 92, and 219. Senate Bill 51 is intended to remedy practices by pharmacy benefit managers that limit covered entities' access to the discounts that are provided under the Federal 340B program, which was a very important component of the bill for UNMH and other safety net hospitals in the state. Senate Bill 92 expands the provider capacity of pharmacist so pharmacists will now have the capacity to take care of more of the health care of the people in the state. Senate Bill 219 includes more name, image, and likeness rules for athletics.

Regent Fortner inquired the scope of the pharmacist practice expansion in SB 92. President Stokes responded that the changes in the definition of practice of pharmacy included, administering or prescribing devices or supplies, or administering drug therapy, and the ordering, performing and interpretation of Clinical Laboratory Improvement Amendments and the waivers by the FDA and they're authorized tests, adding that there is new material that allows a pharmacist to order tests, screen, and treat for five conditions: Covid, influenza, group-A strep, pharyngitis, uncomplicated urinary tract infections, and HIV and some other conditions of public health.

President Stokes talked about the passage of the South Campus Tax Increment Development District (TIDD) House Bill 353. This is a project UNM has worked on for years, and it's the first of its kind in New Mexico. It's a collaboration that began with UNM and the City of Albuquerque and is designed to stimulate economic growth and development, encourage private sector investment, and finance statewide and local public improvements to benefit an underserved area of metro Albuquerque. In other states across the country, when universities are involved in this kind of major project, it creates a tremendous way to have an impact on a state and on a local government and regional area, and this is how universities work with local and state entities to really serve the state that they're in. It will develop regionally significant services and it will expand UNM's partnerships with Sandia National Labs, the Kirtland Air Force Base, and the Air Force research labs. There will also be improvements for I-25, especially at the Gibson Boulevard and Avenida Cesar Chavez exchanges, including pedestrian walkways and improved safety. President Stokes emphasized that this will be transformative over the next ten years. This was a project that was brought to her early in her tenure as president as something UNM should pursue, and Stokes said she was absolutely committed to it from the beginning. President Stokes said that



thanks go to a cadre of state and county officials, naming some including Governor Lujan-Grisham, the New Mexico State Legislature, especially UNM's sponsors, Day Hochman-Vigil, Javier Martinez, Liz Thompson, Art De La Cruz, and Meredith Dixon. UNM is also grateful for the support of the multiple New Mexico State Cabinet Secretaries, the State Board of Finance, the NM Finance Authority, and the Bernalillo County Administration and commissioners. President Stokes expressed appreciation for Mayor Tim Keller, the City of Albuquerque administration and the City Council, and then internally, Stokes thanked the Regents, and in particular, Kim Sanchez Rael for her support for this project. She thanked the Government Relations office, the TIDD team, Lobo Development Corporation and others. President Stokes reiterated her excitement for the passage of the bill, adding that this deserves a celebration because the TIDD is going to have a great impact.

The President provided an update on an efficiency and improvement project that is underway related to the Helio Campus Benchmarking Consortium Project Act, which looks at labor expense data from over 70 institutions across 35 states. When comparing UNM to peer institutions, the data shows that UNM is well below its peers in percent of labor cost spent on administration to its total labor cost. That's probably a reflection of the University's strategic priorities to spend more on academic labor costs and programming. Of note is that UNM's organization is more decentralized compared to peers, and President Stokes said this brings up the need to evaluate the extent to which decentralization is serving UNM well. Additionally, a look at whether greater efficiencies can be obtained from the functional areas is an area that may need attention. In another look by 'activity', UNM compared to the benchmarks of seven other institutions and came in slightly above the average for finance and Research Administration, which is probably understandable given there are parallel organizations on campus for central campus and for health sciences. UNM came in under benchmark average in the cost areas of HR, Development, IT, General Administration, Student Services, facilities and communication. Regarding Student Services staffing intensity, UNM is also below benchmark average, and this is a critical area where we know it's important to make investments. Students services are the broad range of services including academic advising, admissions, Career Services, Dining Services, diversity, tutoring, recreational services, registration, residential services, student engagement and financial aid. President Stokes said this is something administration is going to dig deeper on, emphasizing the value of doing this particular study to illuminate potential areas for better investments.

President Stokes addressed the ongoing integrated campus planning project which has involved comprehensive engagement with a wide range of UNM stakeholders. One thing that has been clear is how much the UNM communities like nature and open space. Constituents are also concerned about facility quality, accessibility and safety. President Stokes touched on some of the areas of focus, the need for safer intersections, buffered and expanded walking pathways, effective use of retaining walls and clear and aesthetically positive signage at campus entry points, and she talked about the many ways the campus planning team is gathering stakeholder input, including through meetings, online surveys, and a 3-day open house later in the month.

Regent Chair Rael thanked President Stokes for her presentation and commented that the benchmarking data that shows UNM's costs below peers may actually be something that UNM's competitors would want to learn from UNM about. President Stokes and Regent Rael both agreed, that the data probably depicts a combination of factors, including UNM doing a good job.

## REGENTS' COMMENTS

Regent Rael commented in support of the bicycle safety project and mentioned an experience when years ago she sustained injuries from being hit by a car while riding a bicycle. Regent Rael commented with respect to Women's History Month, that she was very proud of UNM for the women in leadership and the institution's commitment to women in leadership. Regent Rael gave a congratulatory shout out on the TIDD, adding that many people worked so hard on it and she agreed it will be a transformational opportunity for the university and will change the face of this whole part of our great city. Regent Rael reminded everyone that she appointed Regent Blanchard to the Lobo Development Corporation Board which will be managing and overseeing that project for the University.

Lastly, Regent Rael commented on the budget assumptions and the vote that would take place later in the meeting, adding that everyone will hear differences of opinions and perspective and a lively discussion, and she urged that everyone continue to be professional and respectful, even when a topic may not be approached from the same perspective.

Regent Rael spoke about a recent Association of Governing Boards (AGB) conference she attended where she heard Regents being described as a buffer and a bridge with the outside world for those forces applying influence on the institution. "Regents are here to be a boundary and a shield for pressures that might want to come in, and Regents' job is not to run the university on a day-to-day basis, that is the President's job and the President and her team's job; however, Regents do have a governance role." Regent Rael talked about the demographic shift due to lower birth rates that will hit higher education around 2025, and she suggested that this imminent challenge can be viewed as an opportunity for UNM - to think differently, to move faster, to pivot quickly, and to take what is not working for other institutions and literally make it a strategic advantage for UNM. Regent Rael explained that with the demographic shifts, there will be a lot of talent out there looking for a great place to be and stated that she is 100% committed to UNM being that "great place to be". Regent Rael commented on the state's reliance on oil and gas revenues and the decline in those revenues in the out years. She offered a real scenario for illustration: the decline of Blockbuster and the corresponding rise of Netflix, illustrating the importance of understanding your market and not missing opportunities, adding that higher education institutions are closing down at a rapid rate and this can be seen as an opportunity for UNM. Regent Rael confirmed her support for the compensation increase and other strategic initiatives proposed in the budget, but she urged administration to look for other ways to fill the \$3 million gap that the proposed 3% tuition increase was slated to cover. Regent Rael affirmed the hard work of the Budget Leadership Team and stated that there are a lot of leaders in Santa Fe who are committed to higher education as seen by the way the Lottery and Opportunity Scholarships have been funded. Regent Rael emphasized that, "it is on us as an institution to also remain affordable." She described three intersecting circles of values that are also very consistent with UNM 2040: Prosperity; Affordability; and Mobility. Prosperity relating to the academic enterprise and everything that it touches, including all auxiliary activities. Affordability and prosperity intersect, and the third circle, mobility, are the things UNM is doing, relating to the people whose lives are being touched by the institution. Is UNM empowering people, giving them the tools they need for upward mobility - to have the life that they want to have and to raise a family too, to be impactful as members of our health care workforce? Regent Rael stated in conclusion that UNM is positioned to execute brilliantly at the intersection of the three circles, but she didn't want to do it with the tuition increase. Regent Rael invited other Regents' comments.

Regent Fortner thanked Regent Rael for her insightful comments.

Regent Schwartz commented that it is important to have broad principles which point a way forward, and he affirmed the principles Regent Rael laid out. Regent Schwartz put forth the question of why the University has Regents and postulated that since the University is well-run in so many ways, the regents' job is to bring the values of the state to the university to ensure that what happens at UNM is infused with the values of what's important to the state, examples being the need for doctors, nurses and teachers. Regents' job is not to manage on a day-to-day basis, but the job is to develop essentially general policy that will help the university make sure that the university is infused with the values of the state. The problem is drawing the line between what constitutes general policy and what constitutes management, and that's a problem for every board in the country, as was discussed at the recent AGB conference, that this is something that every board and every university administration faces. One of the issues that we need to address and talk about is tuition, another is the nature of the budget itself and other sources of revenue.

### PROPOSED MEETING CALENDAR FOR 2023-2024 ACADEMIC YEAR

(information item)

Regent Rael explained that this was still an information item as the proposed meeting dates were slightly revised from those put forward at the last meeting, and so still under review.

### COMMITTEE REPORTS

#### CONSENT DOCKET

1. Appointment of Regent Paul Blanchard to the LDC Board of Directors
2. New External Audit Contract
3. Form C – Certificate in Practical Nursing [UNM-Gallup]
4. Deletion of the Bachelor of Integrative Studies and Innovation program
5. Revision to Faculty Handbook Policy C150 "Political Activities of UNM Faculty" and the deletion of Faculty Handbook Policy C240 "Leave of Absence Incident to Political Activity"
6. Construction Projects: Demolition of Education Classrooms, Bldg #67
7. Authorize UNM-Valencia to Submit to the Electorate of Valencia County for the Issuance of \$15 million of Local General Obligation Bonds in November 2023
8. NEPC, LLC (investment consultants) Contract Extension
9. Quasi-Endowment Fund for the Obstetrics & Gynecology Department
10. Appointment of Mr. Henry Monroy to the UNMH Board, 7/23-6/26 term
11. Appointment of Dr. Nathan Boyd to the UNMH Board, 7/23-6/25 term
12. UNMH Capital Project (re-approval): University Hospital Main Kitchen Hood and Exhaust Upgrade (NTE \$1.9 million)
13. UNMH Capital Project: Off-Site Sleep Disorders Clinic Renovations (NTE \$750K)
14. Enhance UNM Health Sciences Simulation Activity: IHSC Improvement Project

\*Docket Note: #1 is an administrative matter to follow LDC bylaws; #2 moved from Audit and Compliance Committee; #3-5 moved from Student Success, Teaching and Research [SSTAR] Committee; #6-8 moved from Finance & Facilities [F&F] Committee; #9-14 moved from HSC Committee; committee items received unanimous approval in committee

There being no request for discussion of a particular item, Regent Rael asked for a motion to approve the consent docket.

- **The motion to approve the items on the consent docket passed unanimously (1<sup>st</sup> Fortner; 2<sup>nd</sup> Ko).**

## STUDENT SUCCESS, TEACHING, AND RESEARCH COMMITTEE (SSTAR)

### Faculty Senate Resolution (information item)

Regent Ko asked Faculty Senate President, Finnie Coleman, to briefly present the item. This resolution has to do with academic freedom. As people are aware, in other states, much of the work that gets done, especially in ethnic studies and the like, increasingly has become illegal in places like the state of Florida. This resolution is an attempt on the part of the faculty, first, to show solidarity with colleagues around the country who are suffering from these kinds of incursions from their state legislatures, and second, to the point, is to ask the Board to embrace the resolution as part of the ethos of the institution, that we will fight at every opportunity, any encroachment on academic freedom, that we will always embrace the open expression of ideas.

## FINANCE AND FACILITIES COMMITTEE (F&F)

### Proposed Fiscal Year 2023-24 Budget Planning Assumptions (Tuition & Fee Rates and Salary Guidelines)

Provost Holloway presented the item. **[ATTACHMENT A]** The budget proposal was presented in longer form to the Committee of the Whole at the end of March. Since then, after a number of conversations and changes coming from Santa Fe, some modifications will be presented at the meeting, namely, 1) elimination of the \$10 increase to the GPSA (Graduate and Professional Student Association) fee, since the fee did not pass the student body vote; 2) clarification of the formula funding of 1.1%; 3) the addition of tuition increase scenarios with impacts of 1%, 2%, and 3% increases, and 4) the addition of alternative budget assumption recommendations.

The Branch campuses are not seeking tuition increases this year; their advisory boards have endorsed that position. As a reminder, the tuition on the branch campuses is a very different prospect than tuition on the Albuquerque campuses. Tuition at the Branch campuses constitutes about 10% of their total revenue, so it's not the most significant revenue stream as the way it is in some other parts of the University. In addition, some of the branch campuses are basically at market compared to other two-year schools in the state, where by contrast, the Albuquerque campuses are about 25th percentile of flagship universities nationally.

For Health Sciences, the recommendations are in many ways tied to the other recommendations. The health sciences support the 3% base tuition increase and will support any other increase that is approved. Tuition simplification was discussed in the Committee of the Whole and health sciences also supports the tuition simplification that will be described, as well as the mandatory student fee increase, the SHAC (Student Health and Counseling) fee increase and removing the limitation where medical students do not pay the athletics student fee.

Provost addressed the budget timeline through the year. (Att.A, slide 12) This is the meeting where Regents are asked to approve the assumptions, the major drivers of budget building - compensation, tuition, and fees. What follows over the next month or so are the teams getting together to actually build the budgets, getting guidelines out to the units, and locking budgets into the Budget Planner. This is the technical work that has to happen leading up to the May Board of Regents meeting where the final and full budget is presumably approved. Provost Holloway addressed the cost drivers that affect students, staff and faculty (Att.A, slide 20) as well as the cost to run the university. For FY22, the Consumer Price Index was a little over 7%, the Higher Education Price index, a little over 5%. And the higher education price index in the region was about 4.9%. Administration strives to be below those numbers. Provost

addressed a number of other drivers, (Att.A, slide 21) one is a set of earmarks that were put into the budget, compensation for faculty that is intended to help UNM close equity gap, both internally but even more importantly, equity gaps with other HE institutions. The state also responded with funding for covering the ERB, a contribution increase that was not funded last year. So those particular funds that are coming in must be spent for those purposes. Another area that was generously provided for is targeted support for students support services. There are also unfunded requirements from the state and from UNM's own internal needs. Group health insurance has gone up, liability insurance, workers comp insurance, and the student health plan. This is not the SHAC fee, but rather the student health insurance plan that UNM has. There is some savings in the miscellaneous fringe pool, and so netted out, there is about \$1.9 million of unfunded items that UNM must do (Att.A, slide 21), and add this to about \$4.4 million of the items funded with earmarked funds from the state, the total expenditure increase is \$6.3 million. Provost Holloway reminded the Regents that the State House Bill 2 contains language for a 6% compensation increase, (Att.A, slide 22) and the word 'average' was lined out of that bill, so it says 6% across the board. Essentially, the state funds cover about 80% of compensation for I&G funded employees, so of the \$15.6 million UNM needs to cover I&G employees' 6% increase, it will receive about \$14.3 million and UNM will have to cover the \$1.3 million difference. Provost clarified that about 70% of the University is not I&G funded, so for employees in auxiliary units like SHAC, housing, and the hospital, funds for increases there have to be found internally or raises do not occur.

Provost Holloway presented the tuition and fee proposal. Administration is proposing 3% increases on the current base tuition rates. And the numbers presented were for a full year of undergrad or full year of graduate student for a full-time student. (Att.A, slide 29) In addition, the student fee review board proposed a 3% increase for mandatory student fees this year, and a 2.05% increase for next year. For the SHAC fee, the total need is estimated to be about \$44 per term per student, and the proposal splits the fee increase over two years to a \$22 increase for this year and \$22 for next year. What has been taken out of the proposal is the \$10 fee increase for GPSA that in the end didn't pass the student body vote. All total, the proposal leads to a 4.6% increase for undergraduate students and 4.5% increase for graduates. Provost Holloway presented scenarios of the potential impact to students of the proposed 3% increases to tuition and fees and emphasized the impact is not uniform across all students. About 41% of students would have to pay the full amount of the tuition and fee increase, about \$250 per semester, and around 47.7% would see an increase less than \$100 per semester. It ranges from no impact for students whose tuition and fees are fully covered to full impact for students who may have more means and their tuition fees are not covered. Additionally, 10% of revenues from tuition increases is set aside for financial aid.

Next, Provost Holloway presented 2 differential tuition proposals, these are programmatic specific tuition charges. One is \$75 per credit for the athletic training program, a Master of Science degree in the School of Education and Human Sciences. The other proposal is an increase of the existing differential tuition in the School of Law for both the JD and the Masters of Studies in Law. What the differential tuition for the Master of Science in athletic training really does is it allows that program to have the resources to grow, because the unit running that program will see the revenue stream coming in from increasing the number of students in that program. And with the JD and Master of Studies in Law, that increase will be used for various kinds of students support services within law. (Att.A, slide 33)

Provost presented the big picture of what the proposal would look like. With state appropriations of \$20.2 million coming into the year from FY23, and the 1.1% formula funding increase (\$2.3 million) along with the earmarked funds from the state as previously discussed, this gives a total of \$243.6 million coming from the state. Add in the tuition revenues with the proposed increase (\$134.2 million) and the total revenue bottom line is \$358.8 million. (Att.A, slide 35) Provost explained that the expenditure side would see an available \$9.1 million of funds for new initiatives administration, including hiring faculty in stem, growing stem education, hiring police officers, improving security, cybersecurity expenses.

Provost Holloway presented scenarios for 3%, 2%, 1%, 0% tuition increases. Basically, a 1% tuition would be about \$1 million of additional revenue. With 2% and 3% tuition increases would give \$2 and \$3 million additional revenues, respectively. What administration would like to do is use these funds to address some of the faculty competitiveness issues, recruit and retain faculty, support student success more strongly, enhance IT services and cyber security, and improve campus safety. Additionally, 10% of revenues from tuition increases is set aside for financial aid.

Provost Holloway discussed another piece of the budget recommendation, tuition simplification. Main Campus and Health Sciences would align the non-resident undergraduate block at 15 credit hours per semester. Provost explained that 15 credit hours is an important metric, because at 15 credit hours, one completes a 120 credit hour degree in eight terms, in four years. There would also be alignment in the accelerated online programs with the 15-credit hour block for undergrads and the 12-credit hour block for graduates. Another piece is inclusion of medical students in the athletics student fee assessment. Provost explained that these are the simplifications so far, but once these are complete, there will be a further look at additional areas of simplification.

Provost talked about the compensation guidelines and then presented a summary of the original recommendations, the request for vote from the Regents that included the 3% base tuition increase and a \$3.79 million net impact. (Att.A, slide 52) He also presented alternative budget recommendations B and C and the net impacts of each. Recommendation B included a 2% base tuition increase which would give a net impact of \$2.74 million, or \$1.05 million less than the 3% tuition increase scenario. Recommendation C has no base tuition increase and net bottom line impact of \$0.65 million, which is \$3.14 million less than the 3% tuition increase scenario. (Att.A, slide 53) Provost commented that the budget proposal includes revenues and a balanced budget which the state requires. He added that any additional revenues through other means can be sought out, but explained that administration can't build a budget and make a budget assumption on revenues before they've been identified. Provost Holloway concluded his presentation and stood for questions.

Regent Rael inquired when alternative revenue streams would need to be identified for administration to feel comfortable baking them into the budget by July 1st by or by May 1st when the budget needs to be uploaded to the HED, or for May 10th when Regents are supposed to come back and approve the budget after it's been uploaded to HED. Provost Holloway responded that the budget can be adjusted through a budget adjustment request (BAR) and there's no particular deadline for making a change, adding that the key thing is that the budget that is uploaded to the state on May 1 is balanced and make sense. Teresa Costantinidis commented that a BAR should be done after actual enrollments come in for the fall semester, and she urged caution, especially at this point in the year, to budget things where it is unsure where the funds are coming from.

Regent Paula Tackett commented about the timeliness of tuition setting so that families and students can make decisions. Provost agreed and added that any decision made now should stay unchanged so to not cause confusion. Financial aid packets are being prepared to go to students now, so the decision on tuition should be firm one way or the other. Regent Tackett said she would feel more comfortable about meeting expenditure demands if there was resolution on where the revenues were coming from. Provost responded that prioritization of expenditures will have to occur, with 'must fund' items and compensation first, and other items will be deferred if revenues are not available.

Regent Paul Blanchard brought up the issue of recurring expenses needing recurring revenues, and Provost agreed that over the past decade, meeting expense needs with non-recurring funds has led to significant difficulties, adding that over the last four years, administration has tried to be careful and conservative in terms of managing long-term commitments. Sometimes items are funded with onetime money with the hope that it will be built into the budget in the long run. There was discussion.

Regent Fortner asked if the funds that went to the HED for higher education would be recurring. Provost Holloway confirmed they would be onetime funds.

Regent Schwartz commented that the Regents were being asked to vote on a tuition increase without really knowing how it's going to be used. He inquired what would be cut from that budget if the Regents didn't approve the tuition increase, adding that Regents can't evaluate really what the consequences are. Provost gave an example that from an Academic Affairs perspective, there are a number of commitments that would just need to be scaled back if funds were not available, those including faculty lines and engineering that were part of the new dean's startup, lines in arts and sciences that were part of the NIH first grant, startup funds and retention funds. Within administration, for example, expenditures on cybersecurity and campus safety would probably be scaled back this year.

Student Regent Ko noted the additional \$5.9 million of revenues in FY23 attributed to higher than expected enrollment, and he inquired about enrollment assumptions and whether the budget proposal expenditures were optimal strategically for moving the institution forward. Provost responded that although UNM has seen enrollment increases over the past three year, that rate of growth is not expected to continue. Provost added that there's a reason that administration is very careful with that enrollment assessment, it is far better to be pleasantly surprised in September, than disappoint. Provost Holloway addressed the question about right investments in student success initiatives and said it would be difficult to develop specific programs or invest in specific programs through a governance process, explaining that it's really a management process. EVP Costantinidis added that if there were to be a pleasant enrollment surprise in September, then those items that couldn't be funded with an identified source could be added back.

Regent Blanchard inquired the expenditure gap after the must fund items (eg. health insurance and compensation increase) were accounted for. EVP Costantinidis responded that the budget proposal has \$9 million of funds available for new funding requests, and if a tuition revenue increase was excluded, that would leave about \$6 million for new recurring funding requests.

Regent Schwartz suggested that it might be worth taking a chance to figure out whether UNM can provide these services without the tuition increase, adding that it

would take only 300 new students to bring in the same tuition that the 3% increase would bring. He said that keeping tuition lower in itself will bring in students who will change the nature of the budget. Regent Schwartz questioned the conservative enrollment numbers, adding that they may be appropriate from an administrative perspective, but it may be up to Regents to think about whether it's worth taking a little bit of a risk.

Regent Tackett inquired if UNM would get the funding from 300 more students, given the various financial aid scenarios for students. Provost Holloway confirmed that it was safe to assume that UNM would get the funding. Regent Tackett suggested the idea of dropping the tuition increase down to 2% and spending the next year identifying those different revenue streams that UNM can count on, on an ongoing basis. Provost Holloway agreed that the reason why administration is asked to provide not just one alternative, but two, is so that there could be some thinking and discussion about what those different alternatives might mean. With regard to being conservative versus not, it's more prudent to be conservative now and pleasantly surprised later, because there are things that can be done in the fall that may be enabled by what might come in on enrollment. Provost Holloway explained that it is a very painful transition to make when enrollment numbers come in under what was budgeted, and it's also the case that if UNM were to have 300 new students, the expense side will also be impacted.

EVP Costantinidis thanked Regent Tackett for her comments and pointed out potential areas to look to for other revenue streams that can help replace reliable tuition. She made a point to clarify that the TIDD is not a project that is intended to generate revenue for the University of New Mexico. It's a partnership with the city and the county and the state to generate sources that will be invested in that area and benefit us all. EVP Costantinidis explained that there are three or four areas for sources of revenue, and time is necessary to make those happen. One is borrowing, but there has to be a source to pay it off. Second is leveraging the institution's assets, looking at things like land assets - selling something off to get money in - or taking an asset like cash balances and investing in ways that might bring more income. That's one that we really need to look at as an institution and say, are we doing a good job with our university cash assets? Even if it is a gift that has come in for a certain restricted purpose, until it is spent, that money is available for investments. Another area is the major bucket of resources coming in such things as tuition or contracts and grants, money brought in to do services or non-operating revenue like state funds, or gifts. That's another area where laser-like focus is needed to determine if more revenues can be generated. In addition to those three things, one can't forget the importance of looking at ways to be more efficient on the expense side. Administration has heard loud and clear from the Regents they are not wanting to cut services, so the question is, can we be more efficient? Reducing costs is like a pseudo-increase in revenues, so all of those different buckets of activity are ones that administration would look to over the course of the next several number of months in hopes of identifying funds for such things as faculty compensation.

Regent Rael related an experience when she brought a business idea to UNM and it didn't get traction, so she took her idea to another entity. She told the story to illustrate the vision of the potential for UNM to expand the culture in the institution around engagement for new opportunities so that 20 years down the road, after many higher ed institutions have closed, people will be saying, we should have done what UNM did! Regent Rael also said that, "the graduate students vote against something as small as a \$10 increase is a strong message from some of our key constituencies on economic matters. I think we need to listen."



With no more discussion, Regent Rael open the floor for a motion on the budget recommendation.

**Regent Blanchard motioned acceptance of Budget Recommendation C; Regent Fortner seconded**

Regent Ko commented that the University needs to keep affordability and accessibility at the forefront. This is one of the top governance issues when speaking with other colleges and universities and across the nation. And UNM needs to provide education for a diverse range of students, for students or families who are low income to ensure that financial consideration don't stand in front of them for talented individuals, a quality education that UNM can provide and ultimately address student debt concerns. "Are we leaving students beyond the university, whether they complete their degree or not, better off than when we first brought them in?" Most of the time, when the debt burden is increased, students down the road are less often going to engage in civic activities. Keeping tuition low keeps the institution competitive, and this is definitely a long game, to keep college more affordable and more people accessible.

**Regent Rael called for a vote on the motion; all members voted in favor of Recommendation C, with 6 Regents present and voting.**

Regent Rael said that she would be actively involved to recruit new students and look for sources of extra revenue.

Finance & Facilities Committee Information Items

Regent Rael stated for the record that the following items were on the agenda as information only and would not be presented, as they were presented in committee.

- UNM Foundation Fundraising and Investment Performance Report Ending 12/31/22
- Results of Pricing for UNM Series 2023 Bond Issuance
- Integrated Campus Plan Update

HEALTH SCIENCES CENTER COMMITTEE (HSCC)

Metropolitan Detention Center: Approval of Resolutions Authorizing Joint Powers Agreement Establishing the Metropolitan Detention Center Health Care Authority and Authorizing the Implementation Thereof

Co-Chair of the Committee, Regent Rob Schwartz, asked Kate Becker to present the item. Mike Richards and Scot Sauder were also available to answer questions. The Metropolitan Detention Center is the Bernalillo County Jail, and the medical and behavioral health care at the jail has been provided for about the last 16 years by a variety of private providers, for profit entities who contracted to come in and provide that service; however, that has not been satisfactory for the County or for the residents of the community. UNM decided that it could partner with the County and provide those services in a more robust way and in a way that helps those patients and inmates reintegrate with the community to support their health care going forward as well. The University deeply appreciates the support Bernalillo County has given the hospital over their 71-year relationship and agreement with the County has been reached to enter into a joint powers agreement. That is a very specific thing that will create a Health Care Authority for the Metropolitan Detention Center so that the partners can work together to improve health care for those who are incarcerated at that facility. Ms. Becker stood for questions and comments.

Regent Fortner commented that, as a four-term county commissioner and having a wife who provided psychiatric mental health services to the detention center years ago, this is quite an endeavor and it is quite a service to the public, to the county, and to the families of inmates.

Regent Schwartz expressed his appreciation for the willingness to jump into this, to identify this tremendous community problem, and see that UNM was the way that it could be solved. It's a tough road ahead, obviously for UNM and for the County to solve something that nobody else has been able to deal with in the last several years, "but I if anyone can do it, you can do it. We can do it together as the University and the County working on these issues. And I so appreciate your willingness to take that on."

Regent Rael echoed the sentiments, adding that this is an incredibly important and difficult service to the community, that UNM Hospital is uniquely and probably the only institution that can really make this successful. "So, I'm very, very proud of you and your team today, so thank you."

- **The motion to approve the resolutions related to the Metropolitan Detention Center and authorizing a Joint Powers Agreement as presented passed unanimously (1<sup>st</sup> Tackett; 2<sup>nd</sup> Ko).**

## **[ATTACHMENT B – EXECUTED RESOLUTION]**

### ADVISORS COMMENTS

Amy Miller, Alumni Association President, spoke about recent and upcoming alumni events, including the Association's presence at the Mountain West basketball tournament in Las Vegas, Lobo Living Room later in the month, Alumni Emeriti events in May, and Alumni Chapter events around the country in Fresno, Seattle, and Austin for baseball games!

### VOTE TO CLOSE THE MEETING AND PROCEED IN EXECUTIVE SESSION (Roll Call Vote)

Regent Fortner motioned to close the meeting; Regent Schwartz seconded; all Regents voted in favor to close the meeting. The Regents proceeded to the Cherry Silver Room on 3<sup>rd</sup> Floor of the SUB for:

- Discussion of limited personnel matters as defined in and permitted by Section 10-15-1.H(2), NMSA (1978)

### VOTE TO RE-OPEN THE MEETING AND CERTIFICATION THAT ONLY THOSE MATTERS DESCRIBED IN THE EXECUTIVE SESSION AGENDA WERE DISCUSSED IN THE CLOSED SESSION

The meeting re-opened at 1:00 PM; the doors to the Cherry Silver Room were opened. Regent Rael certified that the closed session discussion was limited to personnel matters only. Regent Rael confirmed there were no items needing a vote.

### ADJOURN

There being no further business, Regent Rael asked for a motion to adjourn the meeting; Regent Fortner motioned; Regent Ko seconded; all were in favor; the meeting adjourned at 1:01 PM.

Approved:

Attest:

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Kim Sanchez Rael, Chair

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Robert L. Schwartz, Secretary-Treasurer

# ATTACHMENT A

## Attachment to the Minutes of the April 10, 2023 Board of Regents

REVISED



APRIL 10, 2023

APPROVAL OF THE PROPOSED FISCAL YEAR 2023-24 BUDGET PLANNING  
ASSUMPTIONS (TUITION AND FEE RATES AND SALARY GUIDELINES)

FOR

BRANCH CAMPUSES, HEALTH SCIENCES, AND MAIN CAMPUS

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## Agenda

- Branch Campus Recommendations for Tuition, Fees, and Compensation
- Health Sciences Recommendations for Tuition, Fees, and Compensation
- Main Campus Recommendations for Tuition, Fees, and Compensation
- Staff Compensation Guidelines Framework
- Summary of Recommendations for Approval – Compensation, Tuition, and Fees

### Edits from 3/31/2023 F&F Version:

- Pages 28, 29, 47: Eliminate GPSA fee recommendation
- Page 35: Clarify formula funding of 1.1%
- Page 39: Addition of tuition increase impact slide
- Pages 50-53: Addition of alternative recommendation slides



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## Branch Campus FY24 Budget Recommendations

TUITION, FEES, AND COMPENSATION

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## Branch Recommendations

- Compensation recommendations from the Branch Advisory Boards are voted on as part of the branch budgets in annual budget meetings.
- Branches hold an annual budget meeting between January and April, depending on the branch.
- Historically, boards have voted to implement and fund the legislative appropriations signed by the Governor in regards to compensation increases.



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## Branch Recommendations

The Advisory Boards for each branch campus has voted or will propose no increase in tuition and fees at their respective meetings.

Compensation increases of 6% will be implemented.



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## Impact of Funding Gaps

	Funding gap at 6%	% of I & G salaries
Gallup	\$267,411.54	3.3%
Los Alamos	\$38,755.37	2.3%
Taos	\$112,381.70	3.1%
Valencia	\$232,895.82	3.6%



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## FY24 TUITION AND COMPENSATION PROPOSALS



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## Health Sciences Tuition & Fees

### HSC Tuition

- **3% Base Tuition** increase (excluding SOM MD students) can be supported
- **Tuition Simplification**- Change the undergraduate non-resident 12-credit hour block to the resident 15-credit hour block, which would align the resident and non-resident structure to be the same.

### HSC Student Fees

- **Mandatory Student Fee**- 3% increase in FY24 and 2.05% increase in FY25
- **Student Health and Counseling Fee**- \$22 increase in FY24 and \$22 increase in FY25
- **Athletics Student Fee**- Include Medical Students starting in FY24



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## Health Sciences Compensation

House Bill 2 calls for average salary increases of 5%, and an additional increase of 1%, for a total increase of 6%

- Health Sciences will follow the UNM FY24 MSU Guidelines for staff, while also appreciating the flexibility given with the ranges provided in the MSU guideline.
- CON/COP/CoPH faculty salary will be able to achieve the targeted goal
- School of Medicine faculty salary increase guidelines will be determined based on the financial status

## Main Campus FY24 Budget Recommendation

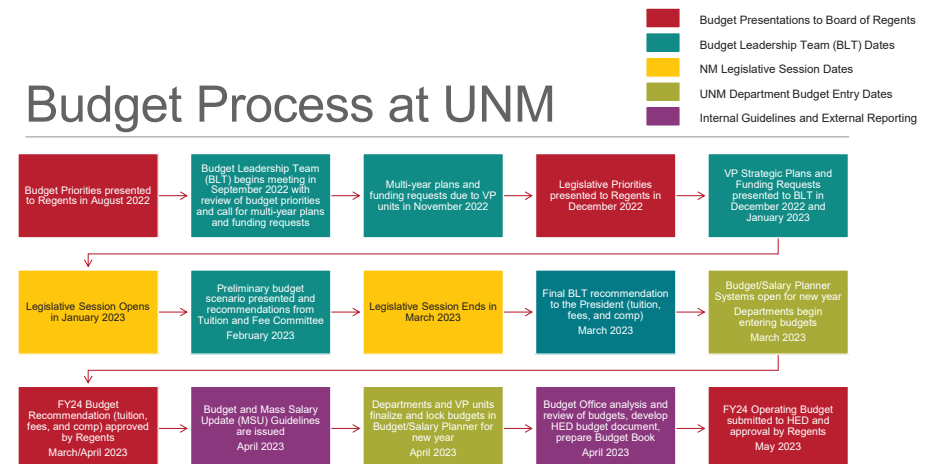
TUITION, FEES, AND COMPENSATION

## Budget Process at UNM

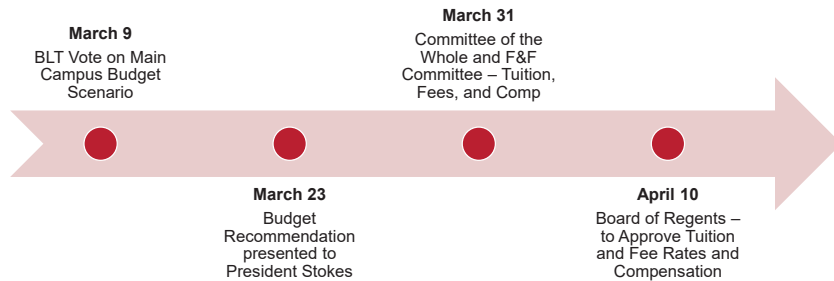
Budgeting at the University of New Mexico is a continuous process that occurs throughout the year and at every level of the university. Our budget cycle starts with strategic multi-year planning and crafting budget priorities that consider programmatic needs and strategic initiatives, such as the UNM 2040: Opportunity Defined Strategic Planning Framework, and continues with consideration of important cost drivers, such as state-mandated compensation increases or increases in fringe benefits.

The Budget Leadership Team (BLT) considers various revenue enhancement proposals, including initial recommendations on tuition and fee levels, in order to help fund programmatic needs, strategic initiatives, inflationary increases for core institutional operations, and compensation increases not funded by state appropriations.

## Budget Process at UNM



# Budget Recommendation Timeline



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# Step 1: Strategic Funding Requests

OUR BUDGET CYCLE STARTS IN THE FALL WITH STRATEGIC MULTI-YEAR PLANNING AND CRAFTING BUDGET PRIORITIES THAT CONSIDER PROGRAMMATIC NEEDS AND STRATEGIC INITIATIVES

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## UNM Budget Principles and Strategies

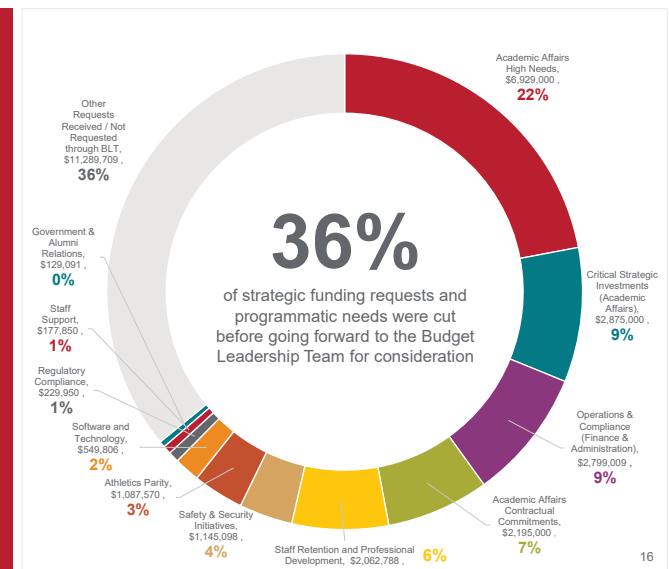
- 1. Advance the vision, mission, and values articulated in our UNM 2040 Strategic Planning Framework** by providing education, creating new knowledge and cultural contributions, providing public service, delivering patient care, and building an educated, healthy, and economically vigorous New Mexico.
- 2. Be mindful** of the ways in which our choices and the exogenous influences to which we are subject might exacerbate inequality, and make decisions to protect our most vulnerable students, staff, and faculty, so that we will continue to ensure social mobility for our students and live into our fundamental UNM values (Goal 3: Inclusive Excellence).
- 3. Ensure that we can attract great students** at both the graduate and undergraduate levels and provide the services and supports necessary for their success, both because their learning and success is a key part of our mission and because their enrollment is a key source of the revenue and state support that allows us to deliver on that mission (Goal 2: Student Experience and Educational Innovation).
- 4. Make decisions that enhance our ability to engage** in cutting edge and applied research, scholarship, and creative production, and which enhance our opportunities to generate the external resources on which this work often rests, so that we can continue now and for the long-run to create the intellectual foundation for our educational and service enterprise, and generate the new ideas that society requires (Goal 1: Advance New Mexico and Goal 5: One University).
- 5. Continue to ensure compliance, safety, and security**, so that the UNM environment will be one in which our community can work, learn, and grow without fear, unacceptable risk, or loss of critical resources (Goal 4: Sustainability).
- 6. Continue long-range, all-enterprise, all funds budget planning**, so that we can ensure the long-term future and impact of UNM and decrease volatility in our resource base (Goal 4: Sustainability).



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## FY24 Strategic Funding Requests Received

\$31.5M in new recurring funding requests were received, of which \$20.2M were presented to BLT for consideration



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With no tuition increase, new strategic initiatives, programmatic needs, and operating requests would need to be cut by **73%** in order to produce a balanced budget

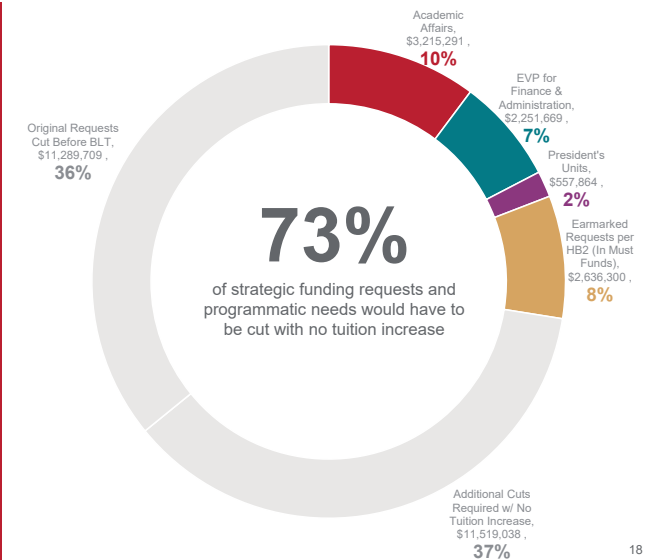
FY24 Funding Requests				
Discretionary recurring operating requests				
NOTE: FY24 Original Recurring Requests were already cut by 36%				
	FY24 - Original Recurring Requests Presented to BLT	Less: Must Funds for Faculty Comp and Student Services (HB2)	Total Adjusted Recurring Requests	Total Adj. No Tuition Increase
Academic Affairs	11,999,000	(2,636,300)	9,362,700	3,215,291
Finance and Administration	6,556,701	-	6,556,701	2,251,669
President's Units	1,624,461	-	1,624,461	557,864
<b>Total Requests</b>	<b>20,180,162</b>	<b>(2,636,300)</b>	<b>17,543,862</b>	<b>6,024,825</b>



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## FY24 Strategic Funding Requests Received

With no tuition increase, we would only be able to fund \$6M of the original \$31.5M of strategic funding requests



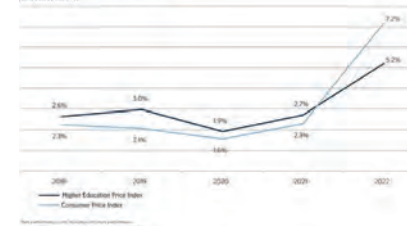
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## Step 2: Consideration of Important Cost Drivers

OUR BUDGET CYCLE CONTINUES WITH CONSIDERATION OF IMPORTANT COST DRIVERS, SUCH AS STATE-MANDATED COMPENSATION INCREASES OR INCREASES IN FRINGE BENEFITS.

## Inflation for colleges and universities was 5.2% in FY22 (4.9% in Mountain Region)

FIGURE 1: THE HIGHER EDUCATION PRICE INDEX  
Base Year: 2008-2010



- For FY2022, inflation for colleges and universities was 5.2%, a 93% increase over FY2021's 2.7% and well over double FY2020's 1.9%.
- For FY2022, inflation for colleges and universities in the Mountain Region (includes New Mexico) was 4.9%.
- Costs across the entire domestic economy rose sharply in FY2022, as the Consumer Price Index (CPI) increased 7.2%.



Source: Commonfund Higher Education Price Index | 2022 Update  
NOTE: the CPI values reported by Commonfund for HEPI are based on fiscal year (July 1 through June 30) 12-month averages rather than the monthly (or point-to-point) CPI values usually reported by the Bureau of Labor Statistics.

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Our budget scenario includes a \$6.4M increase to expenditures that must be funded either due to legislative mandates or increases in fringe and insurance rates

#### Funded through State Appropriations (HB2):

Faculty Compensation (HB2 Nonformula Base Adj.)	\$ 1,860,900
ERB Employer Contribution 1% Increase (estimate using FY23 rate)	\$ 1,767,845
Student Support Services (HB2 Nonformula Base Adj.)	\$ 775,400
	<b>\$ 4,404,145</b>

#### Unfunded:

Group Health Insurance Increase (Includes Salary Tier Changes)	\$ 1,743,924
Property and General Liability Insurance Increase	\$ 400,000
Worker's Compensation and Unemployment Employer Portion Increase	\$ 160,000
Student Health Increase of 5%	\$ 133,000
Savings from Misc. Fringe Benefits Decrease in the rate for FY24	\$ (460,000)
	<b>\$ 1,976,924</b>

**Subtotal \$ 6,381,069**

NOTE: Group Health insurance scenario includes a 9.2% base increase, salary tier adjustments, and reducing Mental Health & Substance Abuse copay to \$10 across Tier 1 & Tier 2 Networks for LoboHealth and Presbyterian Plans.



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Current legislation includes language for a 6% average compensation increase for higher education employees, of which \$1.3M is unfunded for I&G

#### FY24 I&G Compensation Shortfall (est.)

14,383,600	6% compensation funding from HED (est. from HED Comp File)
15,661,563	6% compensation cost estimate
(1,277,963)	Compensation Shortfall for I&G

▪ **STATE LEGISLATION:** House Bill 2 initially included language for an average 5% increase for higher education employees, however, Senate Bill 521 introduced a supplemental 1% salary increase for higher education employees to offset inflation, including increases in insurance premiums.

\* Compensation includes salaries and fringe benefits



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## Step 3: Look at Available Funding Sources

OUR BUDGET CYCLE CONTINUES WITH CONSIDERATION OF AVAILABLE FUNDING SOURCES TO HELP FUND PROGRAMMATIC NEEDS, STRATEGIC INITIATIVES, INFLATIONARY INCREASES FOR CORE INSTITUTIONAL OPERATIONS, AND COMPENSATION INCREASES

We expect an increase of \$21.4M in State Appropriations, most of which is earmarked to fund compensation and fringe increases

#### Summary of HB2 and 2023 Legislative Session

FY23 State Appropriations - I&G Base	222,243,100
Changes to State Appropriations:	
1% New Formula Funding	2,378,000
1% FY24 ERB	<b>EARMARKED</b> 1,978,100
Faculty Compensation	<b>EARMARKED</b> 1,860,900
Student Support	<b>EARMARKED</b> 775,400
FY24 HED Comp File based on SFC (6% est.)	<b>EARMARKED</b> 14,383,600
<b>FY24 State Appropriations - I&amp;G Base (est. w/ comp)</b>	<b>243,619,100</b>

NOTE: Estimated cost to fund 6% comp increase for I&G - \$15,661,563

**Total Increase to Revenue - \$21,376,000**



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The only other significant source of I&G funding is tuition revenue. With flat enrollment, we'd have to increase tuition revenue by 9.89% in order to fund all of our needs in FY24

I&G Budget Scenario - Main Campus	
Category	FY 2024 Scenario w/ No Tuition Increase
<b>I&amp;G Revenues:</b>	
State Appropriations	243,619,100
Tuition Revenue	130,718,466
Other/Net Transfers Out	(18,669,272)
<b>Total I&amp;G Revenues</b>	<b>355,668,294</b>
<b>I&amp;G Expenses:</b>	
Beginning Base Allocation to Units	327,600,838
Compensation (6% est.)	15,661,563
Must Fund Expenses	6,381,069
NEW Recurring Funding Requests	17,543,862
<b>Total I&amp;G Expense</b>	<b>367,187,331</b>
<b>I&amp;G Surplus (Deficit)</b>	<b>(11,519,037)</b>

Assumes Tuition Revenue is flat w/ FY23 Projected Actuals

- 1% Base Tuition Increase is estimated to generate \$1,165,000 in I&G revenue.
- 9.89% Base Tuition Increase would generate approximately \$11,521,850.

In order to avoid a deficit, we'd have to increase tuition revenue by 9.89% if we wanted to fund all of our needs

## Step 4: Recommendation for Tuition and Fees

OUR BUDGET CYCLE CONCLUDES WITH RECOMMENDATIONS ON TUITION AND FEE LEVELS, IN ORDER TO HELP FUND PROGRAMMATIC NEEDS, STRATEGIC INITIATIVES, INFLATIONARY INCREASES FOR CORE INSTITUTIONAL OPERATIONS, AND COMPENSATION INCREASES THAT ARE NOT FUNDED BY STATE APPROPRIATIONS.



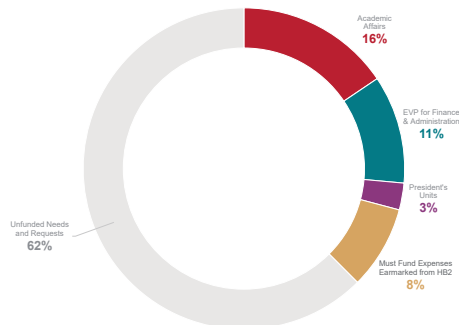
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## Base Tuition Recommendation

**Base Tuition -**  
Recommendation for a 3% base tuition increase

- A 3% base tuition rate increase would generate an additional \$3.15M in revenue to fund critical programmatic needs and strategic requests
- Recommendation includes setting aside 10% of the revenue generated for financial aid



## Student Fee Recommendations

**Mandatory Student Fee-** 3% increase in FY24 and 2.05% increase in FY25 (bridge FY24 with MSF reserves approx. \$431K)

**Student Health and Counseling Fee-** \$22 increase in FY24 to \$129 and an additional \$22 increase in FY25 to \$151 per semester (bridge FY24 with central reserves approx. \$594K)

*\*Debt Service Fee (part of MSF) already approved by BOR- increase \$5.13 UG and \$5.77 GR per credit hour (blocked at undergraduate 15+ and graduate 12+)*



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# Base Tuition and Fees Proposal

	Main Base Tuition*				Mandatory Student Fees					Head Count Fees				
	Current Base Tuition	Base Increase	Total Proposed Tuition	% Increase	Current MSF	MSF Increase 3%	Debt Service Fee Increase	Total Proposed MSF	% Increase	SHAC (4+ CH)	Proposed SHAC (4+ CH)	% Increase	Athletics (4+ CH)	ASUNM/ GPSSA Fee
<b>Undergraduate</b>														
UG / Semester (15+ CH)	\$ 4,251.90	\$ 127.56	\$ 4,379.46	3.0%	\$ 795.90	\$ 23.88	\$ 76.95	\$ 896.73	12.7%	\$ 107.00	\$ 129.00	20.6%	\$ 100.00	\$ 35.00
UG / Year (Fall & Spring)	\$ 8,503.80	\$ 255.11	\$ 8,758.91	3.0%	\$ 1,591.80	\$ 47.75	\$ 153.90	\$ 1,793.45	12.7%	\$ 214.00	\$ 258.00	20.6%	\$ 200.00	\$ 70.00
<b>Graduate</b>														
GR / Semester (12+ CH)	\$ 4,191.36	\$ 125.74	\$ 4,317.10	3.0%	\$ 717.36	\$ 21.52	\$ 69.24	\$ 808.12	12.7%	\$ 107.00	\$ 129.00	20.6%	\$ 100.00	\$ 25.00
GR / Year (Fall & Spring)	\$ 8,382.72	\$ 251.48	\$ 8,634.20	3.0%	\$ 1,434.72	\$ 43.04	\$ 138.48	\$ 1,616.24	12.7%	\$ 214.00	\$ 258.00	20.6%	\$ 200.00	\$ 50.00

\*Excludes UG-Upper Division Premiums and Differential Tuitions

	Total Current Tuition & Fees	Total Proposed Tuition & Fees	Proposed Increase Amount	% Increase
<b>Undergraduate</b>				
UG / Semester (15+ CH)	\$ 5,439.80	\$ 5,690	\$ 250.38	4.6%
UG / Year (Fall & Spring)	\$ 10,879.60	\$ 11,380	\$ 500.77	4.6%
<b>Graduate</b>				
GR / Semester (12+ CH)	\$ 5,290.72	\$ 5,529	\$ 238.50	4.5%
GR / Year (Fall & Spring)	\$ 10,581.44	\$ 11,058	\$ 477.00	4.5%

- Total base tuition and fee increase for full-time undergraduate residents- **4.6%**
- Total base tuition and fee increase for full-time graduate residents- **4.5%**
- Proposed total increases are still less than-**
- Higher Education Price Index 2022- Mountain Region **4.9%**
- Higher Education Price Index 2022- National **5.2%**
- Consumer Price Index 2022- **7.2%**

It's important for us to consider the net price impact of a tuition and fee increase on students

Net Price Impact	% of Students	# of Students	T&F % Increase
T&F fully covered	47.7%	6,865	0.0%
Increase between \$0-\$100 per semester	2.9%	415	0.1%-1.8%
Increase between \$100- \$200 per semester	5.5%	785	1.8%-3.7%
Increase between \$200- \$250 per semester	2.7%	395	3.7%-4.6%
Pay the full \$250.38 increase	41.2%	5,932	4.6%

- Approximately **50% of students** would pay less than \$100 extra per semester)- **1.8% or less increase**
- Approximately **50% of students** would pay between \$100-\$250.38 extra per semester- **between 1.8% to 4.6% increase**

- (1) Award amount would cover the tuition and fee proposed increase. Does not take into consideration increased costs associated with other cost of attendance factors (housing, books, etc.).
- (2) Based on Fall 2022 undergraduate resident enrollments and no change in award amounts. Per semester calculation.
- (3) Student award amounts vary. The average current award amount is \$5,507 per semester, which would cover approximately 97% of proposed tuition and fees.
- (4) There are approximately 6,348 student that are Pell eligible (43% of resident undergraduate students), all should have full T&F coverage including the debt service increase not covered by Opportunity or Lottery scholarship



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Step 5: We also plan to continue our important UNM strategy of simplifying our tuition models and tuition and fee schedules in as revenue-neutral a way as possible

THE NET IMPACT TO TUITION REVENUE OF THE FOLLOWING TUITION SIMPLIFICATION CHANGES ARE MINIMAL

## Recommended Tuition Simplification

**Recommendation 1-** Align non-resident undergraduate block to 15+ credit hours per semester (includes Health Sciences)

**Recommendation 2-** Align Accelerated Online Programs (AOPs) with the 15+ credit hour block for undergraduates and 12+ credit hour block for graduates (includes Health Sciences)

**Recommendation 3-** Assess medical students the Athletics Student Fee (Health Sciences subsidized the revenue generated for AY2022-23)

\*Tuition Simplification recommendation estimated net financial impact to Main campus is \$125K, .04% increase to I&G revenues

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We are also recommending a new differential tuition and a change to an existing differential tuition that will go directly to programs to pay for increased costs

**Master of Science in Athletic Training (COEHS):**

- Request a **new** \$75 per credit hour differential tuition

**Juris Doctor and Master of Studies in Law (SOL):**

- Request to increase the **existing** tuition differential from \$370.90 to \$387.37 per credit hour for residents and \$554.80 to \$579.44 per credit hour for non-residents

# FY24 Recommended I&G Budget Scenario

3% BASE TUITION INCREASE AND 47.7% REDUCTION IN NEW FUNDING REQUESTS



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BLT Recommendation w/ 3% Tuition Increase

I&G Budget - Main Campus	FY 23 Projection			FY 24 Scenario	
	FY 2023 Budget	FY 2023 Projected Actuals	% Change from FY 23 Budget	FY 2024 Scenario	% Change from FY Proj. Actuals
<b>I&amp;G Revenues:</b>					
State Appropriations	222,243,100	222,243,100	0.0%	222,243,100	
FY24 Formula Funding Increase				2,378,000	1.1%
FY24 Non-formula Funding Increase:					
FY24 1% ERB				1,978,100	
Faculty Compensation				1,860,900	
Student Support				775,400	
FY24 HED Comp Increase (6% est.)				14,383,600	
State Appropriations Subtotal				243,619,100	
Tuition Revenue	124,205,729	130,073,116	4.7%	134,213,500	3.2%
Other/Net Transfers Out	(18,847,991)	(18,903,628)	-0.2%	(19,018,772)	-1.1%
<b>Total I&amp;G Revenues</b>	<b>327,600,838</b>	<b>333,512,588</b>	<b>1.8%</b>	<b>358,813,828</b>	<b>7.6%</b>
<b>I&amp;G Expenses:</b>					
Base Allocation to Units	327,600,838	327,600,838	0.0%	327,600,838	0.0%
Compensation (6% est.)				15,661,562	
Must Fund Expenses				6,381,069	
NEW Recurring Funding Requests				9,170,359	
<b>Total I&amp;G Expense</b>	<b>327,600,838</b>	<b>327,600,838</b>	<b>0.0%</b>	<b>358,813,828</b>	<b>9.5%</b>
<b>I&amp;G Surplus (Deficit)</b>	<b>0</b>	<b>5,911,750</b>		<b>0</b>	

**EARMARKED**

Includes non-formula base adjustments and a 6% compensation estimate that would come from HED

Central Pooled Revenue Flow-Through Amounts

Mandatory Student Fees	FY 23 Projection			FY 24 Scenario	
	FY 2023 Budget	FY 2023 Projected Actuals	% Change from FY 23 Budget	FY 2024 Scenario	% Change from FY Proj. Actuals
Mandatory Student Fee Revenue	24,563,957	24,751,952	0.8%	27,227,226	10.0%
Budgeted Use of Reserves				430,623	
<b>Total Mandatory Student Fee Revenue</b>				<b>27,657,849</b>	
Mandatory Student Fee Allocations	24,563,957	24,563,957	0.0%	27,657,849	12.6%
<b>MSF Surplus (Deficit)</b>	<b>0</b>	<b>187,995</b>		<b>0</b>	

Indirect Cost Recovery (F&A) - Main	FY 23 Projection			FY 24 Scenario	
	FY 2023 Budget	FY 2023 Projected Actuals	% Change from FY 23 Budget	FY 2024 Scenario	% Change from FY Proj. Actuals
Indirect Cost Recovery (F&A)	22,137,247	22,737,247	2.7%	24,273,264	6.8%
F&A Transferred to Research	22,137,247	22,737,247	2.7%	24,273,264	6.8%
<b>Surplus (Deficit)</b>	<b>0</b>	<b>0</b>		<b>0</b>	

NOTE: FY24 projection for F&A is a preliminary estimate and subject to change



3% base tuition increase and 47.7% reduction to base requests

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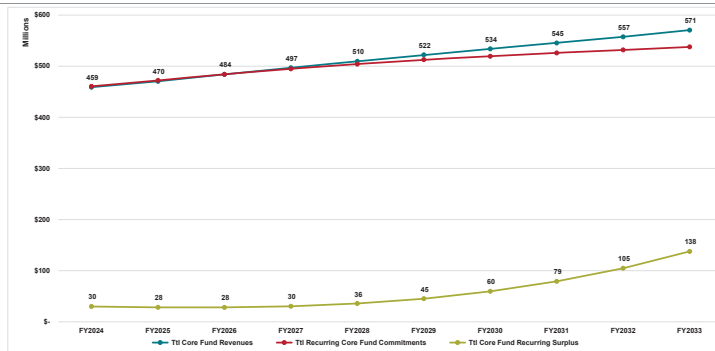


3% base tuition increase and 47.7% reduction to base requests

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## 10-Year Core Fund Reserve Trendline

3% base tuition increase, 47.7% reduction base requests

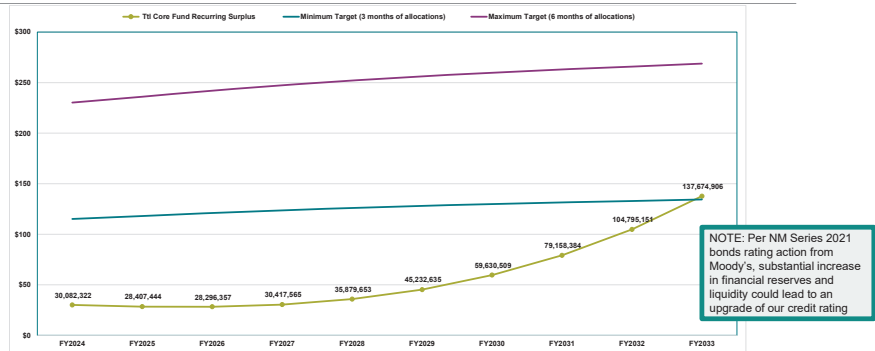


3% base tuition increase, 3% MSF increase, 47.7% reduction base requests

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## 10-Year Core Fund Reserve Sufficiency

3% base tuition increase, 47.7% reduction base requests



3% base tuition increase, 3% MSF increase, 47.7% reduction base requests

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## Impact of Tuition Increase on UNM Operating Budget

Tuition Rate Increase	Impact on I&G Budget Scenario			
	3%	2%	1%	0%
Tuition Revenue for I&G	\$ 3,495,000	\$ 2,330,000	\$ 1,165,000	\$ -
Less: 10% set aside for financial aid	349,500	233,000	116,500	-
Net Revenue for I&G Scenario	\$ 3,145,500	\$ 2,097,000	\$ 1,048,500	\$ -

THESE FUNDS WILL BE USED TO ACHIEVE OUR STRATEGIC GOALS OF:

- Faculty and staff salary competitiveness
- Faculty recruitment and retention
- Student success, engagement and retention
- Support for areas of education of strategic importance to New Mexico
- Research infrastructure
- Basic needs infrastructure: faculty, staff, and student wellness, behavioral health, disability access and accommodation
- Enhanced IT services and cybersecurity
- Student safety and physical security on campus



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## STAFF COMPENSATION GUIDELINES FRAMEWORK

FOR  
BRANCH CAMPUSES, HEALTH SCIENCES, AND MAIN CAMPUS

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## Staff Compensation Guidelines Framework

Investing in our staff is critical, and UNM's proposal prioritizes maximizing investment in our lowest paid staff.

State funding only partially funds I&G departments. For self-funded units, the 6% increase combined with the impact of the FY23 increases (7% salary, 2% ERB, and \$15 minimum wage) we recognize the need to allow flexibility in determining increase levels where possible.

As a result, we are recommending a tiered approach to staff salary increases for FY24, providing a meaningful base increase to UNM staff and offering department flexibility to manage cost and prioritize targeted increases.

This approach will ensure most staff receive no less than a 6% increase, lower ranges for employees at higher pay levels where smaller increases generate higher levels of cost savings for departments.



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## Staff Compensation Guidelines Framework

- All eligible staff employees with an annual salary of \$60,000 or less will receive a minimum of a 6% increase, with departmental flexibility to provide up to a 9% increase.
- All eligible staff employees with an annual salary greater than \$60,000 but less than \$100,000 will receive a minimum of a 4% increase, with department flexibility to provide up to an 8% increase.
- All eligible staff employees with an annual salary of \$100,000 or greater will receive a minimum of a 3% increase, with department flexibility to provide up to a 7% increase.
- Increase above range minimums may be given to address equity, market competitiveness, performance, retention, or salary compression. Departments must use consistent criteria for determining increase amounts and maintain internal documentation supporting their increase decisions.
- On a limited basis, Deans/VPs may approve increases of up to 9% for employees earning more than \$60,000 subject to the above criteria.
- I&G-funded units are required to use their entire compensation allocation to support salary increases.
- Increases for union employees are subject to the respective collective bargaining unit agreement.



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## Regular Staff Counts by Salary Range

Salary Range	Main	Health Sciences	Branches	Grand Total	
<\$60K	1624	1203	199	3026	6%-9%
\$60K-\$100k	792	824	56	1672	4%-8%
\$100K +	229	230	6	465	3%-7%
<b>Grand Total</b>	<b>2644</b>	<b>2258</b>	<b>261</b>	<b>5163</b>	



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## Cost Distribution of a 1% Staff Increase Regular Staff Only, Includes Salary & Fringe

Campus	I&G	Non-I&G	Restricted	Total	# Of Staff
<b>Main</b>					
<\$60K	\$523,212	\$342,371	\$62,113	\$927,697	1624
\$60K-\$100k	\$418,776	\$229,450	\$104,411	\$752,637	792
\$100K +	\$228,830	\$161,072	\$7,734	\$397,636	229
<b>Main Total</b>	<b>\$1,170,818</b>	<b>\$732,894</b>	<b>\$174,258</b>	<b>\$2,077,970</b>	<b>2644</b>
<b>Health Sciences</b>					
<\$60K	\$196,284	\$259,939	\$256,949	\$713,172	1203
\$60K-\$100k	\$215,301	\$325,898	\$262,439	\$803,637	824
\$100K +	\$118,439	\$236,546	\$36,034	\$391,019	230
<b>Health Sciences Total</b>	<b>\$530,024</b>	<b>\$822,383</b>	<b>\$555,421</b>	<b>\$1,907,828</b>	<b>2258</b>
<b>Branches</b>					
<\$60K	\$71,952	\$8,891	\$28,914	\$109,758	199
\$60K-\$100k	\$41,098	\$1,880	\$8,362	\$51,341	56
\$100K +	\$9,063	\$0	\$0	\$9,063	6
<b>Branches Total</b>	<b>\$122,113</b>	<b>\$10,771</b>	<b>\$37,277</b>	<b>\$170,162</b>	<b>261</b>
<b>Grand Total</b>	<b>\$1,822,956</b>	<b>\$1,566,048</b>	<b>\$766,956</b>	<b>\$4,155,960</b>	<b>5163</b>



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## SUMMARY OF RECOMMENDATIONS FOR COMPENSATION AND TUITION AND FEES

FOR  
BRANCH CAMPUSES, HEALTH SCIENCES, AND MAIN CAMPUS

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## Recommendation for Compensation

We are recommending that the Board of Regents approve the following:

- Staff Compensation Guidelines Framework:
  - All eligible staff employees with an annual salary of \$60,000 or less will receive a minimum of a 6% increase, with departmental flexibility to provide up to a 9% increase.
  - All eligible staff employees with an annual salary greater than \$60,000 but less than \$100,000 will receive a minimum of a 4% increase, with department flexibility to provide up to an 8% increase.
  - All eligible staff employees with an annual salary of \$100,000 or greater will receive a minimum of a 3% increase, with department flexibility to provide up to a 7% increase.
  - Increase above range minimums may be given to address equity, market competitiveness, performance, retention, or salary compression. Departments must use consistent criteria for determining increase amounts and maintain internal documentation supporting their increase decisions.
  - On a limited basis, Deans/VPs may approve increases of up to 9% for employees earning more than \$60,000 subject to the above criteria.



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## Voting Recommendations – Tuition and Fees

We are also recommending that the Board of Regents approve the following:

### Main Campus and Health Sciences:

- 3% base tuition increase (with 10% set aside for financial aid)
- 3% mandatory student fee increase in FY24 and 2.05% increase in FY25 (bridge mandatory student fee allocations with one-time reserves of approx. \$431K)
- Student Health and Counseling Fee - \$22 increase in FY24 to \$129 and an additional \$22 increase in FY25 to \$151 per semester (bridge FY24 with central reserves of approx. \$594K)

### Branch Campuses:

- Advisory Boards voted or will propose no increase in tuition and fees for FY24



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## Voting Recommendations – Tuition Simplification

We are recommending that the Board of Regents approve the following:

### Main Campus and Health Sciences:

- Align non-resident undergraduate block to 15+ credit hours per semester
- Align Accelerated Online Programs (AOPs) with the 15+ credit hour block for undergraduates and 12+ credit hour block for graduates
- Include medical students in the Athletics Student Fee assessment (Health Sciences subsidized the revenue generated for AY2022-23)



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## Voting Recommendations – Differential Tuition

We are also recommending that the Board of Regents approve the following:

**Master of Science in Athletic Training (COEHS):**

- A new \$75 per credit hour differential tuition

**Juris Doctor and Master of Studies in Law (SOL):**

- Increase the existing tuition differential from \$370.90 to \$387.37 per credit hour for residents and \$554.80 to \$579.44 per credit hour for non-residents



APRIL 10, 2023

### ALTERNATIVE FISCAL YEAR 2023-24 BUDGET PLANNING ASSUMPTIONS (TUITION AND FEE RATES AND SALARY GUIDELINES)

FOR

BRANCH CAMPUSES, HEALTH SCIENCES, AND MAIN CAMPUS



49

## Staff Compensation Guidelines Framework *Revised*

- As passed by the legislature, HB2 called for average salary increases of 5%, and an additional increase of 1%, for a total increase of 6%.
- On Friday, the Governor vetoed the language allowing an “average” increase, indicating that the vetoed language prevents an equal application of salary increases to all employees.
- In line with this change, we are proposing alternative Staff Compensation Guidelines, providing for a 6% salary increase for all eligible staff employees.
- While this alternative proposal aligns with the language in HB2, it will limit departments’ ability to provide increases of above 6% to our lowest paid staff, and also reduces flexibility for self-funded units to use differential increases to manage costs.



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## Summary of Original Voting Recommendations

Recommendation A (As Presented at F&F Committee on 3/31/2023)	
<b>Compensation</b>	Staff Compensation Guidelines Framework
<b>Base Tuition</b>	3% base tuition increase (with 10% set aside for financial aid)
<b>Student Fees *</b>	Increase mandatory student fee by \$23.88 per semester (at the undergraduate flat rate of 15 hrs and above) and by \$21.52 per semester (at the graduate flat rate of 12 hrs and above) for FY24. Increase mandatory student fee by \$16.81 per semester (at the undergraduate flat rate of 15 hrs and above) and by \$15.15 per semester (at the graduate flat rate of 12 hrs and above) for FY25. Student Health and Counseling Fee - \$22 increase in FY24 to \$129 and an additional \$22 increase in FY25 to \$151 per semester (bridge FY24 with central reserves of approx. \$594K)
<b>Tuition Simplification</b>	Align non-resident undergraduate block to 15+ credit hours per semester Align Accelerated Online Programs (AOPs) with the 15+ credit hour block for undergraduates and 12+ credit hour block for graduates Include medical students in the Athletics Student Fee assessment
<b>Differential Tuition</b>	<b>Master of Science in Athletic Training (COEHS):</b> A <u>new</u> \$75 per credit hour differential tuition <b>Juris Doctor and Master of Studies in Law (SOL):</b> Increase the <u>existing</u> tuition differential from \$370.90 to \$387.37 per credit hour for residents and \$554.80 to \$579.44 per credit hour for non-residents
<b>Net Impact of this recommendation</b>	Mandatory student fee increase generates \$631K in student fee revenue that would help fund student activities.  Base tuition increase and tuition simplification generates \$3.8M in tuition revenue that would help fund new recurring operating requests as follows: Academic Affairs: \$2,023,077 Finance and Administration: \$1,416,762 President's Units: \$351,011

\* Represents a 3% mandatory student fee increase in FY24 and a 2.05% fee increase in FY25.



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## Alternative Recommendations

	Recommendation B	Recommendation C
<b>Compensation *</b>	Revised Staff Compensation Guidelines Framework	Revised Staff Compensation Guidelines Framework
<b>Base Tuition</b>	2% base tuition increase (with 10% set aside for financial aid)	No base tuition increase
<b>Student Fees **</b>	Increase mandatory student fee by \$23.88 per semester (at the undergraduate flat rate of 15 hrs and above) and by \$21.52 per semester (at the graduate flat rate of 12 hrs and above). Increase Student Health and Counseling Fee by \$22 to \$129 per semester.	Increase mandatory student fee by \$23.88 per semester (at the undergraduate flat rate of 15 hrs and above) and by \$21.52 per semester (at the graduate flat rate of 12 hrs and above). Increase Student Health and Counseling Fee by \$22 to \$129 per semester.
<b>Tuition Simplification</b>	Align non-resident undergraduate block to 15+ credit hours per semester Align Accelerated Online Programs (AOPs) with the 15+ credit hour block for undergraduates and 12+ credit hour block for graduates Include medical students in the Athletics Student Fee assessment	Align non-resident undergraduate block to 15+ credit hours per semester Align Accelerated Online Programs (AOPs) with the 15+ credit hour block for undergraduates and 12+ credit hour block for graduates Include medical students in the Athletics Student Fee assessment
<b>Differential Tuition ***</b>	<b>Juris Doctor and Master of Studies in Law (SOL):</b> Increase the existing tuition differential from \$370.90 to \$387.37 per credit hour for residents and \$554.80 to \$579.44 per credit hour for non-residents	<b>Juris Doctor and Master of Studies in Law (SOL):</b> Increase the existing tuition differential from \$370.90 to \$387.37 per credit hour for residents and \$554.80 to \$579.44 per credit hour for non-residents
<b>Net Impact of each recommendation****</b>	Academic Affairs: Decrease of \$559,575 Finance and Administration: Decrease of \$391,871 President's Units: Decrease of \$97,088	Academic Affairs: Decrease of \$1,678,689 Finance and Administration: Decrease of \$1,175,586 President's Units: Decrease of \$291,258

\* Provides for a 6% salary increase for all eligible staff employees.

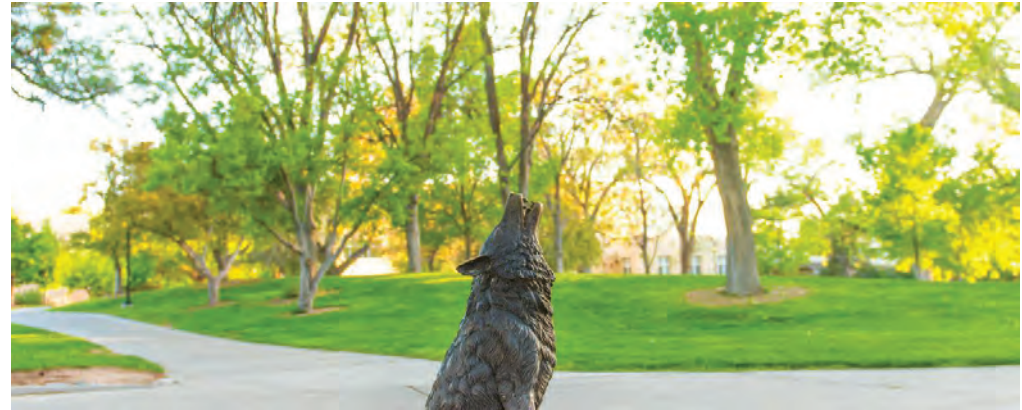
\*\* Represents a 3% mandatory student fee increase. Additional increases in future years will be reconsidered during the budget planning process

\*\*\* Removes recommendation for Master of Science in Athletic Training (COEHS) new \$75 per credit hour differential tuition

\*\*\*\* Represents additional reduction to new recurring operating requests by VP Unit, as compared with original recommendation



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Thank you!



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## Appendix

ADDITIONAL TUITION AND FEE SLIDES

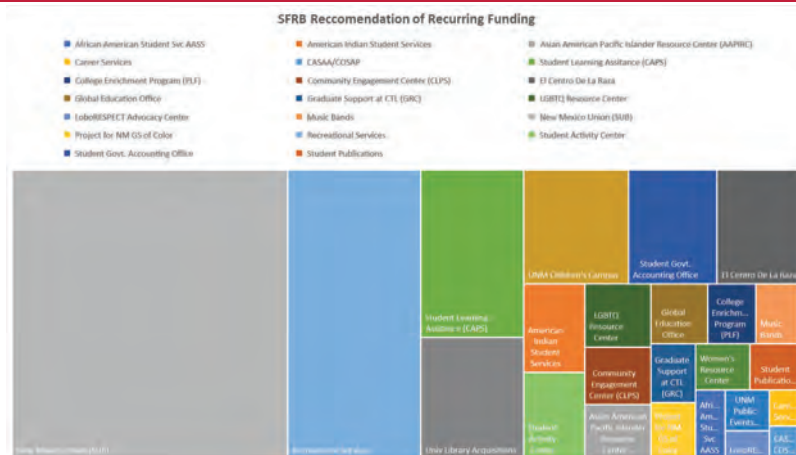
FY24 I&G CORE FUND CHARTS W/ NO TUITION INCREASE

CORE FUND PROJECTION TABLES FOR SCENARIOS PRESENTED

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## Student Fee Information

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Total \$6,310,827



## Additional Tuition Slides

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### Recommendation 1:

Change the undergraduate non-resident 12-credit hour block to the resident 15-credit hour block (includes Health Science students), which would align the resident and non-resident structure to be the same. This recommendation would also apply to differentials and mandatory student fees.

	Current Tuition Rate Schedule		Recommended Structural Change (no change to credit hour rate)	
	Residents	Non-Residents	Residents	Non-Residents
1-14 hours (per hour)	\$ 283.46	\$ 1,047.44	\$ 283.46	\$ 1,047.44
12+ hours	n/a	\$ 12,569.28	n/a	n/a
15+ hours	\$ 4,251.90	n/a	\$ 4,251.90	\$ 15,711.60
Differentials	blocked at 15+	blocked at 12+	blocked at 15+	blocked at 15+
Option 2: Fiscal Impact				
UG-NR:			\$ 684,607	

**Student impact:** approximately 130 non-resident students (68 Health Science students) would be impacted increasing tuition cost between \$1,047 to \$3,142 per semester.

**University Fiscal Impact:** generate approximately \$685K in tuition revenue (\$349K Main campus and \$336K Health Science)

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### Recommendation 2:

Align Accelerated Online Programs (AOPs) with the 15+ credit hour block for undergraduates and 12+ credit hour block for graduates (includes Health Science students). The estimated fiscal impact to the university is detailed below:

	Undergraduate			Graduate			Total Estimated Impact
	Total AOP -UG Head Count	AOP- UG 15+ Credit Hours	Estimated Impact- UG (1)	Total AOP -GR Head Count	AOP- GR 12+ Credit Hours	Estimated Impact- GR (1)	
Fall 2021	340	31	\$ (36,716)	330	18	\$ (23,118)	\$ (59,835)
Spring 2022	311	41	\$ (48,560)	418	28	\$ (35,962)	\$ (84,522)
Summer 2022*	179	48	\$ (56,851)	275	18	\$ (23,118)	\$ (79,970)
<b>Total</b>	<b>830</b>	<b>120</b>	<b>\$ (142,128)</b>	<b>1023</b>	<b>64</b>	<b>\$ (82,199)</b>	<b>\$ (224,327)</b>

(1) Assumes students take one additional 3 credit hour course per data provided by UNM Online

\*Summer is currently blocked between 6-9 credit hours

**Student impact:** approximately 120 undergraduate students would save \$1,184 per academic year; and approximately 64 graduate students would save \$1,284 per academic year.

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### Recommendation 3:

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- Currently, medical students are not charged the Athletics Fee, but would like to utilize access to the student athletics tickets.
- Recommendation is to charge medical students the Athletics Student Fee. This year HSC subsidized the revenue generated for AY2022-23.

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### Tuition Simplifications to Consider in Future Years:

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Currently, medical students are not charged the Athletics Fee, but would like to utilize access to the student athletics tickets. Recommendation is to charge medical students the Athletics Student Fee. This year HSC subsidized the revenue generated for AY2022-23.

#### Tuition Simplifications to Consider in Future Years:

- Main campus differential tuitions are charged based on major/program. HSC-Population Health is charged based on course (both undergraduate and graduate). Recommendation is to align Public Health programs' differential tuition to be charged by program/major.
- Main campus base tuition rates are the same for all undergraduate and graduate programs. HSC has multiple base rates, which are lower than Main campus base rates.
- Main campus blocks at 12 credit hours for graduate students. HSC blocks between 12-18 credit hours for non-residents and charges per credit hour for 19+ credit hours. For residents there is no block, all students are charged per credit hour (with exception of PharmD, which is blocked at 12 credit hours).
- Upper division course premiums for undergraduates are not assessed to HSC 300-400 level courses.

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### Master of Science in Athletic Training (COEHS) For Vote:

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- Request a new \$75 per credit hour differential tuition

#### Tuition and Fee Committee Feedback-

- Some reservations, particularly that **a)** there is no percentage set aside for student financial aid/scholarships, **b)** some proposed revenue will go toward faculty professional development, **c)** some proposed revenue will go toward specific course costs, which should perhaps be a course fee, and **d)** some proposed revenue will fund student employees presumptively to assist with running the program, which seem to be roles for staff, faculty, and/or assistantships.
- Suggest either lowering the amount (\$51 per credit hour to exclude these expenses) or establish a \$75 per credit hour differential tuition but fund items above from other sources, like course fees.

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### Juris Doctor and Master of Studies in Law (SOL) For Vote:

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- Request to increase the existing tuition differential from \$370.90 to \$387.37 per credit hour for residents and \$554.80 to \$579.44 per credit hour for non-residents

#### Tuition and Fee Committee Feedback-

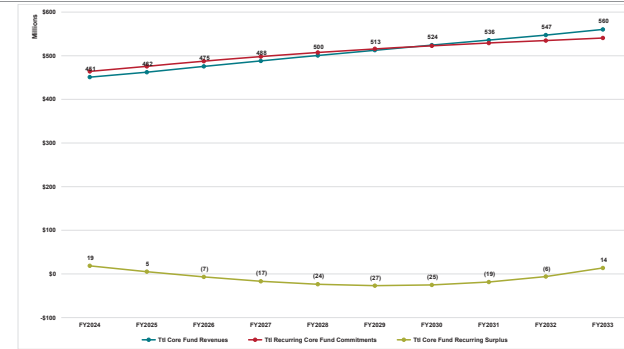
- **Juris Doctor-** We recognize the challenges the School of Law is trying to address, in particular low passage rates among African American and American Indian graduates. We support this reasonable increase in differential tuition and to the purposes the revenue will be dedicated.
- **Master of Studies in Law-** This differential tuition increase would maintain alignment with the request for the Juris Doctor increase supported by the Tuition and Fee Committee. This request would also, as described by the School of Law, help fill a position dedicated to recruitment of students. We support this request.

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## FY24 I&G Core Fund Reserve Trendline and Sufficiency w/ no tuition increase and all base requests included

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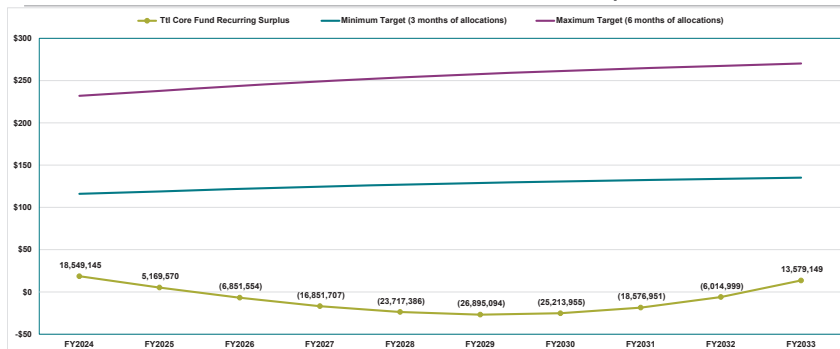
## Core Fund Reserve Trendline No tuition increase, 100% base requests



No tuition increase, 5.05% MSF increase, 100% base requests

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## Core Fund Reserve Sufficiency No tuition increase, 100% base requests



No tuition increase, 5.05% MSF increase, 100% base requests

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## 10-Year Core Fund Projection Tables for both scenarios presented

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13-Month Plan Update Based on FY24 Scenario (No Tuition Increase)										
	FY2024	FY2025	FY2026	FY2027	FY2028	FY2029	FY2030	FY2031	FY2032	FY2033
Tuition Revenue	\$ 130,176,466	\$ 134,777,910	\$ 140,045,653	\$ 145,479,397	\$ 150,689,829	\$ 155,669,303	\$ 159,975,467	\$ 163,772,434	\$ 167,071,078	\$ 172,907,211
Admin Allowance (Student Aid)	190,000	190,194	181,774	183,327	194,337	194,507	194,487	194,467	194,487	194,487
Admin Chd	3,967,499	4,086,524	4,209,120	4,336,393	4,466,455	4,599,419	4,737,401	4,879,523	5,025,909	5,176,686
FBA Recovery	22,407,247	23,079,464	23,771,948	24,485,004	25,219,554	25,976,141	26,756,425	27,558,987	28,384,630	29,236,375
State Appropriations	240,994,700	246,778,573	252,701,259	258,786,089	264,976,475	271,336,910	277,847,972	284,516,323	291,344,715	298,336,968
State Land, Permanent, Interest and Other	14,279,141	14,707,515	15,148,741	15,148,741	15,148,741	14,997,253	14,847,281	14,698,688	14,551,820	14,409,899
Total Recurring I&O Revenues	\$ 415,547,953	\$ 423,819,371	\$ 429,256,394	\$ 436,397,259	\$ 445,684,391	\$ 452,914,429	\$ 460,499,206	\$ 468,793,136	\$ 477,569,527	\$ 486,391,987
Base allocation to depts	\$ 327,690,838	\$ 364,577,071	\$ 376,077,071	\$ 386,577,071	\$ 396,077,071	\$ 404,577,071	\$ 412,077,071	\$ 418,577,071	\$ 424,077,071	\$ 429,077,071
New recurring operations requests (a4)	38,976,233	11,500,000	10,500,000	9,500,000	8,500,000	7,500,000	6,500,000	5,500,000	4,500,000	3,500,000
Total Base Allocation to Departments	\$ 364,677,071	\$ 376,077,071	\$ 386,577,071	\$ 396,077,071	\$ 404,577,071	\$ 412,077,071	\$ 418,577,071	\$ 424,077,071	\$ 429,077,071	\$ 434,577,071
FBA Allocation to Depts	\$ 22,407,247	\$ 23,079,464	\$ 23,771,948	\$ 24,485,004	\$ 25,219,554	\$ 25,976,141	\$ 26,756,425	\$ 27,558,987	\$ 28,384,630	\$ 29,236,375
Net Transfers To Other Units	\$ 37,086,912	\$ 37,086,912	\$ 37,086,912	\$ 37,086,912	\$ 37,086,912	\$ 37,086,912	\$ 37,086,912	\$ 37,086,912	\$ 37,086,912	\$ 37,086,912
Total Base Transfers to Departments	\$ 60,494,159	\$ 60,166,376	\$ 60,858,730	\$ 61,569,916	\$ 62,314,466	\$ 63,073,323	\$ 63,843,337	\$ 64,625,899	\$ 65,421,824	\$ 66,233,287
Total Recurring Commitments to Departments	\$ 425,171,230	\$ 436,243,447	\$ 447,435,801	\$ 457,646,987	\$ 468,861,537	\$ 479,140,393	\$ 489,420,408	\$ 499,731,070	\$ 509,502,913	\$ 519,804,258
Net Margin 1640	(\$1,623,277)	(\$1,542,277)	(\$11,386,437)	(\$9,250,637)	(\$8,236,146)	(\$2,234,705)	\$2,069,589	\$7,307,096	\$12,952,015	\$19,584,209
Beginning 1640 Core Fund Reserve	\$31,355,899	\$28,838,873	\$9,196,147	(\$1,182,439)	(\$9,434,825)	(\$14,854,919)	(\$15,891,772)	(\$12,854,323)	(\$4,769,496)	\$9,190,468
Recurring I&O Base Allocation	3,007,851	3,007,851	3,007,851	3,007,851	3,007,851	3,007,851	3,007,851	3,007,851	3,007,851	3,007,851
Less: Non-recurring commitments	(2,000,000)	(2,000,000)	(2,000,000)	(2,000,000)	(2,000,000)	(2,000,000)	(2,000,000)	(2,000,000)	(2,000,000)	(2,000,000)
Ending 1640 Core Fund Reserve	\$ 29,836,873	\$ 3,106,747	(\$1,182,439)	(\$8,434,825)	(\$14,854,919)	(\$15,891,772)	(\$12,854,323)	(\$4,769,496)	\$ 9,190,468	\$9,190,468
Total Mandatory Student Fees (MSF)	27,196,409	27,733,967	28,586,617	28,830,846	28,989,783	29,079,332	29,013,332	29,013,332	29,013,332	29,013,332
Total MSF Allocations to Departments	27,627,092	27,733,967	28,586,617	28,830,846	28,989,783	29,079,332	29,013,332	29,013,332	29,013,332	29,013,332
Net Margin MSF	(\$450,623)	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Beginning MSF Reserve	\$ 3,644,466	\$ 2,779,839	\$ 2,779,839	\$ 2,779,839	\$ 2,779,839	\$ 2,779,839	\$ 2,779,839	\$ 2,779,839	\$ 2,779,839	\$ 2,779,839
Non-recurring commitments	443,004	-	-	-	-	-	-	-	-	-
Ending MSF Reserve	\$ 2,779,839	\$ 2,779,839	\$ 2,779,839	\$ 2,779,839	\$ 2,779,839	\$ 2,779,839	\$ 2,779,839	\$ 2,779,839	\$ 2,779,839	\$ 2,779,839
Transfer from I&O	\$ 9,767,405	\$ 9,767,405	\$ 9,767,405	\$ 9,767,405	\$ 9,767,405	\$ 9,767,405	\$ 9,767,405	\$ 9,767,405	\$ 9,767,405	\$ 9,767,405
Interest Income	1,400,000	1,041,892	1,040,885	1,039,164	1,037,148	1,034,738	1,032,133	1,029,133	1,025,133	1,021,133
Total Recurring FM sources	\$ 11,167,405	\$ 10,809,297	\$ 10,809,297	\$ 10,809,297	\$ 10,809,297	\$ 10,809,297	\$ 10,809,297	\$ 10,809,297	\$ 10,809,297	\$ 10,809,297
Total FM requests	\$ 12,334,301	\$ 11,548,686	\$ 11,442,686	\$ 11,442,686	\$ 11,442,686	\$ 11,442,686	\$ 11,442,686	\$ 11,442,686	\$ 11,442,686	\$ 11,442,686
Net Margin FM	(\$1,217,896)	(\$737,296)	(\$633,489)	(\$746,117)	(\$637,433)	(\$634,606)	(\$389,489)	(\$390,000)	(\$390,000)	(\$390,000)
Beginning FM Reserve	\$ 6,744,784	\$ 6,527,888	\$ 4,195,589	\$ 4,195,589	\$ 4,195,589	\$ 4,195,589	\$ 4,195,589	\$ 4,195,589	\$ 4,195,589	\$ 4,195,589
Ending FM Reserve	\$ 6,527,888	\$ 4,195,589	\$ 4,195,589	\$ 4,195,589	\$ 4,195,589	\$ 4,195,589	\$ 4,195,589	\$ 4,195,589	\$ 4,195,589	\$ 4,195,589
TU Core Fund Revenues	459,916,927	462,173,854	479,453,919	488,035,363	496,469,238	504,789,424	512,791,476	520,372,096	527,523,689	534,267,437
TU Recurring Core Fund Commitments	464,891,823	475,683,738	487,474,154	498,035,918	507,325,086	515,976,142	524,362,340	532,391,765	540,614,290	548,914,290
TU Core Fund Recurring Surpluses	\$ 15,025,104	\$ 16,489,916	\$ 31,979,765	\$ 29,999,445	\$ 29,144,152	\$ 28,689,982	\$ 28,589,032	\$ 28,000,331	\$ 26,909,399	\$ 25,353,147

## Core Fund Reserve Projection – No tuition increase, 100% base requests

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13-Month Plan Update Based on FY24 Scenario (1% Tuition Increase)										
	FY2024	FY2025	FY2026	FY2027	FY2028	FY2029	FY2030	FY2031	FY2032	FY2033
Tuition Revenue	\$ 134,213,500	\$ 136,381,452	\$ 143,760,069	\$ 149,369,096	\$ 154,698,305	\$ 159,831,451	\$ 164,252,749	\$ 168,151,236	\$ 172,359,466	\$ 177,530,292
Admin Allowance (Student Aid)	190,000	190,194	181,774	183,327	194,337	194,507	194,487	194,467	194,487	194,487
Admin Chd	3,967,499	4,086,524	4,209,120	4,336,393	4,466,455	4,599,419	4,737,401	4,879,523	5,025,909	5,176,686
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State Appropriations	240,994,700	246,778,573	252,701,259	258,786,089	264,976,475	271,336,910	277,847,972	284,516,323	291,344,715	298,336,968
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Base allocation to depts	\$ 327,690,838	\$ 364,577,071	\$ 376,077,071	\$ 386,577,071	\$ 396,077,071	\$ 404,577,071	\$ 412,077,071	\$ 418,577,071	\$ 424,077,071	\$ 429,077,071
New recurring operations requests (a4)	38,976,233	11,500,000	10,500,000	9,500,000	8,500,000	7,500,000	6,500,000	5,500,000	4,500,000	3,500,000
Total Base Allocation to Departments	\$ 364,677,071	\$ 376,077,071	\$ 386,577,071	\$ 396,077,071	\$ 404,577,071	\$ 412,077,071	\$ 418,577,071	\$ 424,077,071	\$ 429,077,071	\$ 434,577,071
FBA Allocation to Depts	\$ 22,407,247	\$ 23,079,464	\$ 23,771,948	\$ 24,485,004	\$ 25,219,554	\$ 25,976,141	\$ 26,756,425	\$ 27,558,987	\$ 28,384,630	\$ 29,236,375
Net Transfers To Other Units	\$ 37,086,912	\$ 37,086,912	\$ 37,086,912	\$ 37,086,912	\$ 37,086,912	\$ 37,086,912	\$ 37,086,912	\$ 37,086,912	\$ 37,086,912	\$ 37,086,912
Total Base Transfers to Departments	\$ 60,494,159	\$ 60,166,376	\$ 60,858,730	\$ 61,569,916	\$ 62,314,466	\$ 63,073,323	\$ 63,843,337	\$ 64,625,899	\$ 65,421,824	\$ 66,233,287
Total Recurring Commitments to Departments	\$ 425,171,230	\$ 436,243,447	\$ 447,435,801	\$ 457,646,987	\$ 468,861,537	\$ 479,140,393	\$ 489,420,408	\$ 499,731,070	\$ 509,502,913	\$ 519,804,258
Net Margin 1640	\$0	(\$1,090,942)	\$396,122	\$2,667,805	\$5,838,475	\$9,965,587	\$14,358,023	\$19,444,019	\$25,478,546	\$32,640,391
Beginning 1640 Core Fund Reserve	\$31,355,899	\$32,363,780	\$32,371,839	\$33,778,012	\$37,489,888	\$44,286,992	\$55,276,439	\$70,663,304	\$91,115,165	\$117,601,861
Recurring I&O Base Allocation	3,007,851	3,007,851	3,007,851	3,007,851	3,007,851	3,007,851	3,007,851	3,007,851	3,007,851	3,007,851
Less: Non-recurring commitments	(2,000,000)	(2,000,000)	(2,000,000)	(2,000,000)	(2,000,000)	(2,000,000)	(2,000,000)	(2,000,000)	(2,000,000)	(2,000,000)
Ending 1640 Core Fund Reserve	\$ 29,355,899	\$ 32,371,839	\$ 32,371,839	\$ 33,778,012	\$ 37,489,888	\$ 44,286,992	\$ 55,276,439	\$ 70,663,304	\$ 91,115,165	\$ 117,601,861
Total Mandatory Student Fees (MSF)	27,196,409	27,733,967	28,586,617	28,830,846	28,989,783	29,079,332	29,013,332	29,013,332	29,013,332	29,013,332
Total MSF Allocations to Departments	27,627,092	27,733,967	28,586,617	28,830,846	28,989,783	29,079,332	29,013,332	29,013,332	29,013,332	29,013,332
Net Margin MSF	(\$450,623)	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Beginning MSF Reserve	\$ 3,644,466	\$ 2,779,839	\$ 2,779,839	\$ 2,779,839	\$ 2,779,839	\$ 2,779,839	\$ 2,779,839	\$ 2,779,839	\$ 2,779,839	\$ 2,779,839
Non-recurring commitments	443,004	-	-	-	-	-	-	-	-	-
Ending MSF Reserve	\$ 2,779,839	\$ 2,779,839	\$ 2,779,839	\$ 2,779,839	\$ 2,779,839	\$ 2,779,839	\$ 2,779,839	\$ 2,779,839	\$ 2,779,839	\$ 2,779,839
Transfer from I&O	\$ 9,767,405	\$ 9,767,405	\$ 9,767,405	\$ 9,767,405	\$ 9,767,405	\$ 9,767,405	\$ 9,767,405	\$ 9,767,405	\$ 9,767,405	\$ 9,767,405
Interest Income	1,400,000	1,041,892	1,040,885	1,039,164	1,037,148	1,034,738	1,032,133	1,029,133	1,025,133	1,021,133
Total Recurring FM sources	\$ 11,167,405	\$ 10,809,297	\$ 10,809,297	\$ 10,809,297	\$ 10,809,297	\$ 10,809,297	\$ 10,809,297	\$ 10,809,297	\$ 10,809,297	\$ 10,809,297
Total FM requests	\$ 12,334,301	\$ 11,548,686	\$ 11,442,686	\$ 11,442,686	\$ 11,442,686	\$ 11,442,686	\$ 11,442,686	\$ 11,442,686	\$ 11,442,686	\$ 11,442,686
Net Margin FM	(\$1,217,896)	(\$737,296)	(\$633,489)	(\$746,117)	(\$637,433)	(\$634,606)	(\$389,489)	(\$390,000)	(\$390,000)	(\$390,000)
Beginning FM Reserve	\$ 6,744,784	\$ 6,527,888	\$ 4,195,589	\$ 4,195,589	\$ 4,195,589	\$ 4,195,589	\$ 4,195,589	\$ 4,195,589	\$ 4,195,589	\$ 4,195,589
Ending FM Reserve	\$ 6,527,888	\$ 4,195,589	\$ 4,195,589	\$ 4,195,589	\$ 4,195,589	\$ 4,195,589	\$ 4,195,589	\$ 4,195,589	\$ 4,195,589	\$ 4,195,589
TU Core Fund Revenues	459,916,927	462,173,854	479,453,919	488,035,363	496,469,238	504,789,424	512,791,476	520,372,096	527,523,689	534,267,437
TU Recurring Core Fund Commitments	464,891,823	475,683,738	487,474,154	498,035,918	507,325,086	515,976,142	524,362,340	532,391,765	540,614,290	548,914,290
TU Core Fund Recurring Surpluses	\$ 15,025,104	\$ 16,489,916	\$ 31,979,765	\$ 29,999,445	\$ 29,144,152	\$ 28,689,982	\$ 28,589,032	\$ 28,000,331	\$ 26,909,399	\$ 25,353,147

## Core Fund Reserve Projection – 3% tuition increase, 47.8% reduction to base requests

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## ATTACHMENT B

Attachment to the Minutes of the April 10, 2023 Board of Regents

### BOARD OF REGENTS OF THE UNIVERSITY OF NEW MEXICO

#### RESOLUTIONS AUTHORIZING JOINT POWERS AGREEMENT ESTABLISHING THE METROPOLITAN DETEN- TION CENTER HEALTH CARE AUTHORITY AND AUTHORIZ- ING THE IMPLEMENTATION THEREOF

WHEREAS, the County Commissioners of Bernalillo County (the “County”) is a governmental entity formed pursuant to NMSA 1978, § 4-1-1 and NMSA 1978, § 4-38-1 *et seq.*, and is the operator of the Metropolitan Detention Center (the “MDC”), which primarily houses individuals who have been detained and awaiting trial relative to criminal charges; and

WHEREAS, the County has certain obligations relative to the provision of health care to detainees housed within the MDC pursuant to NMSA 1978, § 33-3-5; and

WHEREAS, the County has been and continues to be subject to a Settlement Agreement (the “McClendon Settlement Agreement”) entered into in that certain litigation styled *McClendon v. City of Albuquerque, et al.*, CIV-95-24 JAP/KBM (the “McClendon Litigation”), pending in the United States District Court for the District of New Mexico; and

WHEREAS, the McClendon Settlement Agreement sets forth eight (8) domains with which the County must substantially comply for the Court to vacate the County’s obligations, on a domain-by-domain basis, under the McClendon Settlement Agreement and in this regard, Domain 1 of the McClendon Settlement Agreement pertains to the provision of mental health services to detainees (the “Mental Health Services Domain”) and Domain 2 pertains to the provision of medical services to detainees (the “Medical Services Domain”); and

WHEREAS, the County has endeavored, in good faith, to provide or contract to provide mental health services and medical services to detainees housed at the MDC in a manner substantially compliant with the requirements of the Mental Health Services Domain and the Medical Services Domain; and

WHEREAS, the Regents of the University of New Mexico (the “University”) is an institution of higher education enumerated in Article XII, Section 11 of the New Mexico Constitution, and operates the University of New Mexico Hospital (“UNM Hospital”), the State of New Mexico’s sole and only Level I trauma center, sole and

only teaching hospital, and the safety net hospital for the State of New Mexico, and in particular, the residents of Bernalillo County; and

WHEREAS, the UNM Hospital, together with the University's medical faculty, has long provided mental health services and medical services in a highly regulated environment with success and has expertise relevant to both of the Health Care Services Domains; and

WHEREAS, the Board of Regents (the "**Board**") is constituted and empowered under the Constitution and laws of the State of New Mexico to control and manage the affairs of the University, with the authority to contract and be contracted with and is responsible, per Regents Policy 7.18, for approving joint powers agreements; and

WHEREAS, the Board finds and concludes that, based upon due and appropriate diligence by administration of the University and presented to the Board, best practices with respect to the provision of stable, high quality mental health care and medical care in the incarcerated and detainment environment calls for a strong alignment between the governmental entity operating the jail or prison and an academic health center/safety net hospital system; and

WHEREAS, the County and UNM Hospital are each governmental entities and as such are each empowered to enter into joint powers agreements pursuant to NMSA 1978, § 11-1-1 *et seq.*; and

WHEREAS, the Board, based upon due and appropriate diligence by administration of the University, finds and concludes that it is in best interest of the mission of the UNM Hospital and the University and the citizens of Bernalillo County for the UNM Hospital work together with the County to create a strong alignment between the County (the governmental entity operating the MDC) and the UNM Hospital (the academic health center/safety net hospital system) with a view to achieving substantial compliance with the Mental Health Services Domain and Medical Services Domains and expanding the University's teaching footprint relative to service lines relevant to the Mental Health Services Domains and Medical Services Domains, which is best achieved by entering into a Joint Powers Agreement with the County to establish the Metropolitan Detention Health Care Authority, substantially in the form of Exhibit A attached to these Resolutions; and

NOW, THEREFORE, BE IT RESOLVED THAT:

1. The Joint Powers Agreement establishing the Metropolitan Detention Health Care Authority, substantially in the form of Exhibit A attached to these Resolutions (the "**JPA**") be, and it hereby is, accepted and approved; and

2. The University and UNM Hospital through the Authorized Officers (as defined below) be, and they hereby are, authorized and directed to take all steps necessary in conjunction with the County to gain approval of the JPA, in accordance with the New Mexico Joint Powers Act, NMSA 1978, § 11-1-1 *et seq.*, including, without limitation, filing the JPA (and all relevant attachments, instruments, and other documents as necessary to secure such approval) with the Secretary of the New Mexico Department of Finance and Administration; and

3. Upon approval of the JPA by the Secretary of the New Mexico Department of Finance and Administration, the University and UNM Hospital through the Authorized Officers (as defined below) be, and they hereby are, authorized and directed to take all steps necessary to implement the purposes and business of the Metropolitan Detention Center Health Care Authority as contemplated in the JPA including, without limitation, execution and delivery of the JPA and other documents reasonably necessary, as determined by the Authorized Officers (as defined below), to carry out and implement the purposes and business of the Metropolitan Detention Center Health Care Authority; and

4. The Board hereby designates and authorizes the following: the President, Executive Vice President for Finance & Administration, the Executive Vice President for Health Sciences and Chief Executive Officer of the UNM Health System, the Senior Vice President for Clinical Affairs, the CEO of UNM Hospital, and the CFO of UNM Hospital (each, an “**Authorized Officer**,” and, collectively, the “**Authorized Officers**”) to do or cause to be done all such acts or things, and to sign and deliver, or cause to be signed and delivered, all documents, instruments, and certificates, in the name and on behalf of the University and the UNM Hospital or otherwise, including the JPA, as they deem necessary, advisable or appropriate to effectuate or to carry out the purposes and intent of the foregoing resolutions; and

5. The Board hereby ratifies and confirms the actions and determinations taken by the Authorized Officers and/or any one of them, in connection with the establishment of the Metropolitan Detention Center Health Care Authority and the JPA prior to the date hereof.

**[REMAINDER OF PAGE INTENTIONALLY LEFT BLANK]**



ADOPTED AND APPROVED at duly called meeting on this 10<sup>th</sup> day of April, 2023.



THE REGENTS OF THE UNIVERSITY OF NEW MEXICO

By: [Signature]  
President of the Board of Regents

ATTEST:

By: [Signature]  
Secretary and Treasurer

EXHIBIT A  
TO RESOLUTIONS AUTHORIZING JOINT  
POWERS AGREEMENT  
JOINT POWERS AGREEMENT

Between

THE COUNTY COMMISSIONERS OF BERNALILLO COUNTY

and

THE REGENTS OF THE UNIVERSITY OF NEW MEXICO

Establishing

THE METROPOLITAN DETENTION CENTER HEALTH AUTHORITY

Recitals

The County Commissioners of Bernalillo County (the “County”) is a governmental entity formed pursuant to NMSA 1978, § 4-1-1 and NMSA 1978, § 4-38-1 *et seq.*, and is the operator of the Metropolitan Detention Center and the Prisoner Transport Center (collectively, the “MDC”), which primarily houses individuals who have been detained and awaiting trial relative to criminal charges. The County has certain obligations relative to the provision of health care to detainees housed within the MDC pursuant to NMSA 1978, § 33-3-5.

The Regents of the University of New Mexico (“UNM” the “University”) <sup>1</sup> is a state institution of higher education enumerated in Article XII, Section 11 of the New Mexico Constitution, and operates the University of New Mexico Hospital (“UNM Hospital”), the State of New Mexico’s sole and only Level I trauma center, sole and only teaching hospital, and the safety net hospital for the State of New Mexico, and in particular, the residents of Bernalillo County. Under and pursuant to NMSA 1978, § 21-7-7, the Board of Regents has the “power and it shall be its duty to enact laws, rules and regulations for the government of the university of New Mexico.” To this end, the Board of Regents, under Regents Policy 7.18, is responsible for approving all joint powers agreements to which the University is now or will be a party.

The County has been and continues to be subject to a Settlement Agreement (the “McClendon Settlement Agreement”) entered into in that certain litigation styled *McClendon v. City of Albuquerque, et al.*, CIV-95-24 JAP/KBM (the “McClendon Litigation”), pending in the United States District Court for the District of New Mexico. In addition to the Settlement Agreement, the District Court has, and may in

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<sup>1</sup> In this Joint Powers Agreement, UNM (including the UNM Hospital) and the County are each sometimes referred to as a “Party” and, collectively, as the “Parties”).

the future, enter other orders addressing obligations for the provision of medical care and mental health care at MDC.

The McClendon Settlement Agreement sets forth eight (8) domains with which the County must substantially comply for the Court to vacate the County's obligations, on a domain-by-domain basis, under the McClendon Settlement Agreement. Domain 1 of the McClendon Settlement Agreement pertains to the provision of mental health services to detainees (the "**Mental Health Services Domain**") and Domain 2 pertains to the provision of medical services to detainees (the "**Medical Services Domain**"). (in this Joint Powers Agreement, the Mental Health Services Domain and the Medical Services Domain will be referred to collectively as the "**Health Care Services Domains**").

The County and UNM Hospital are each governmental entities and as such are each empowered to enter into joint powers agreements pursuant to NMSA 1978, § 11-1-1 *et seq.*

The County has endeavored, in good faith, to provide or contract to provide mental health services and medical services to detainees housed at the MDC in a manner substantially compliant with the requirements of the Mental Health Services Domain and the Medical Services Domain, which Health Care Services Domains include American Correctional Association standards, National Commission on Correctional Healthcare Standards, MPC Policies and Procedures, PREA, and other special requirements as listed in the Scope of Service. The UNM Hospital, together with the University's medical faculty, has long provided mental health services and medical services in a highly regulated environment with success and has expertise relevant to both of the Health Care Services Domains.

Best practices with respect to the provision of stable, high quality mental health care and medical care in the incarcerated and detainment environment calls for a strong alignment between the governmental entity operating the jail or prison and an academic health center/safety net hospital system.

The Parties to this Joint Powers Agreement therefore desire to work together to create a strong alignment between the County (the governmental entity operating the MDC) and the UNM Hospital (the academic health center/safety net hospital system) with a view to achieving substantial compliance with the Health Care Services Domains and expanding UNM's teaching footprint relative to service lines relevant to the Health Care Services Domains.

### **Purpose**

UNM, through the UNM Hospital, and the County recognize the value of creating a formal mechanism to create a strong alignment between the County (the

governmental entity operating the MDC) and the UNM Hospital (the academic health center/safety net hospital system) with a view to achieving substantial compliance with the Health Care Services Domains and expanding UNM's teaching footprint relative to service lines relevant to the Health Care Services Domains. To this end, the Parties are creating the Metropolitan Detention Center Health Care Authority (the "**Health Care Authority**") to co-manage the mental health services and the medical services provided to detainees housed at the MDC and to provide stable, high quality mental health care and medical care in the detainment environment at MDC.

### **Authority**

1. *Authority to Form.* This Joint Powers Agreement (this "**JPA**") is entered into pursuant to the Joint Powers Agreement Act, NMSA 1978, §§ 11-1-1 to 11-1-7.

2. *Common Powers.* The common powers exercised under this JPA are:

a. the power to enter into partnership with other entities to accomplish the purposes of this JPA, including the authority to enter into formal contracts, subject to the requirement that such contracts (other than contracts to obtain goods and services by and between UNM Hospital and one of the University Research Park and Economic Development Act ("URPEDA") corporations formed by UNM) be executed by each of the Parties to this JPA, and be signed by a person with signature authority for each Party pursuant to, in the case of the County, NMSA 1978, §§ 3-18-1 and 3-37-1 and, in the case of the University, Article XII, Section 13 of the New Mexico Constitution, NMSA 1978, §§ 21-7-4 (corporate powers of the Board of Regents, including right to contract), 21-28-4A (power to form URPEDA corporations), 21-28-5 (regent powers relative to its URPEDA corporations), and 21-28-7B (University relationship to its URPEDA corporations when procuring goods and services from that URPEDA corporation);

b. the power to provide health care services (including mental health and medical health care services) and to manage the provision of health care services (including mental health and medical health care services) in respect of the detainee population at the MDC pursuant to, in the case of the County, NMSA 1978, § 3-3-5 and, in the case of the University, Article XII, Section 13 of the New Mexico Constitution, NMSA 1978, §§ 21-7-4 (corporate powers of the Board of Regents, including right to contract), licensure as a general acute care hospital (both inpatient and outpatient), under and pursuant to NMSA 1978, Chapter 24, Article 1, and N.M. Admin. Code 7.7.1 et seq., Medicare and Medicaid Certification, NMSA 1978, § 21-7-7 (regent authority to promulgate rules and regulations), and UNM Regents Policies 3.4 and 3.6;



c. the power to take other actions permitted by law to further the purpose.

d. the power to take reasonable actions within the authority of the Parties, including, without limitation, to acquire goods and provide services, receive contributions and donations, receive appropriations state and local public bodies, make expenditures, hire employees, join other organizations, enter into contracts, sue and be sued, and execute any other power inherent to such corporations, consistent with the terms and conditions of this JPA pursuant to NMSA 1978, §§ 3-18-1 and 3-37-1 and Article XII, Section 13 of the New Mexico Constitution, NMSA 1978, §§ 21-7-4 (corporate powers of the Board of Regents, including right to contract), and 21-7-3 (providing that management and control of the university of New Mexico, the care and preservation of all its property, the erection and construction of all buildings necessary for its use and the disbursements and expenditures of all money is under the control of the Regents).

#### **Metropolitan Detention Center Health Care Authority – Creation and Governance**

3. *Creation.* The Parties hereby establish the Health Care Authority as the administering agency for this JPA.

4. *Formation of Health Care Authority Board.* The University's President and the County Manager of the County, shall each name three (3) members to serve as the governing board of the Health Care Authority ("**Health Care Authority Board**"), one of whom shall be the CEO of the UNM Hospital (or designee) and one of whom shall be the County Manager. The chairperson of the Health Care Authority Board shall be the CEO of the UNM Hospital. Election of any additional officers shall take place at the Health Care Authority Board's first meeting and annually thereafter.

5. *Policies and Procedures.* The Health Care Authority Board shall determine and adopt such policies and procedures as the Health Care Authority Board determines necessary, in accordance with the quorum and voting requirements set forth below.

6. *Quorum and Voting.* At all meetings of the Health Care Authority Board the presence of a majority of the Board members then in office shall be necessary and sufficient to constitute a quorum, and except as otherwise provided by law or by this JPA, the act of a majority of the members of the Health Care Authority Board present at a meeting at which there is a quorum shall be the act of the Health Care Authority Board.

7. *Virtual or Remote Participation in Meetings.* Unless otherwise restricted by the Open Meetings Act or this JPA, members of the Health Care Authority Board, or any Committee thereof, may participate in a meeting of the Health Care Authority Board, or any Committee, by means of telephone, virtual meeting platforms, or similar communications equipment so long as all persons participating in the meeting can hear each other and are identified when speaking, and such participation in a meeting shall constitute presence in person at the meeting.

8. *Committees.* A majority of the members of the Health Care Authority Board present at a meeting of the Health Care Authority Board at which there is a quorum may from time to time, by Resolution, create such Committees with such functions, powers, and duties as the Health Care Authority Board shall determine. The Health Care Authority Board shall enact rules and regulations for the governance and authority of any such Committee; provided, however, that no such Committee appointed pursuant to this section which is not comprised of all of the members of Health Care Authority Board shall have the power of the Health Care Authority Board.

9. *Vacancy.* Any vacancies occurring of among the members of the Health Care Authority Board shall be filled by the Party whose representative member created the vacancy.

**Metropolitan Detention Center**  
**Health Care Authority – Operation**

10. *Operational and Health Care Delivery Implementation Plan.* The Health Care Authority, by and through the Health Care Authority Board and the Parties to this JPA, will work collaboratively to design a health care services delivery program for the MDC and develop an implementation plan with respect to that health care services program. This health care services delivery program and implementation plan will generally be outlined in contractual documents and in policy documents.

11. *Funding Contributions.* The County, on an annual basis (but subject to adjustment annually, on the agreement of the parties, to account for inflation (using the U.S. Bureau of Labor Statistics' CPI for All Urban Consumers for medical services)), agrees it shall fund to the Health Care Authority an amount that is the

greater of (a) the amount funded to its most recently retained private mental health and medical services provider, or the (b) the amount agreed upon between the Health Care Authority and UNM Hospital in a separately negotiated services agreement, which funds will be used to enable the Health Care Authority to engage providers of mental health services and medical services (which is anticipated to include UNM Hospital-employed providers or providers employed by UNM or affiliates of UNM). UNM, by and through UNM Hospital, will fund its contribution to the Health Care Authority by providing or arranging to provide mental health services and medical services to the MDC in accordance with the health care services delivery implementation plan developed as contemplated in Section 10 of this JPA, including providing an electronic medical record system that works consistent with the electronic medical record system in use by the University's UNM Health System. Other than as set forth in the foregoing two sentences, each Party shall bear its own costs for participating in the Health Care Authority.

12. *Appropriations.* Notwithstanding any other provision of this JPA, no party shall be obligated for its performance hereunder or by any provision of this JPA during any of the party's future fiscal years unless and until the party's governing body appropriates funds for this JPA in its budget for each such future fiscal year. In the event that funds are not appropriated for this JPA, then it shall terminate as of June 30 of the last fiscal year for which funds were appropriated. The party whose governing body failed to appropriate funds shall provide notice in writing of any such non-allocation of funds at the earliest possible date.

13. *Strict Accountability.* There shall be strict accountability for all receipts and disbursements relating hereto. The Parties shall ensure that all receipts and disbursements of the Health Care Authority are subject to annual audit, either as part of the annual audit of one of the Parties, or independently.

14. *Assignment of employees.* Any Party to this JPA may, but is not required to, assign or second one or more employees to support the Health Care Authority and carry out its activities in accordance with this JPA and the direction of the Health Care Authority Board. Other than the provision of medical services and/or mental health services under one or more medical provider services arrangements in respect of the MDC between the Health Care Authority and a Party, such assignment or secondment of employees may be with or without compensation by Health Care Authority to the Party, subject to the written agreement of the Party and the Health Care Authority. Any such employee shall remain the employee of the Party that assigns or seconds him or her, and shall not become the employee of the Health Care Authority merely through operation of such assignment or secondment.

15. *Provision of Space.* Any Party to this JPA may, but is not required to, make space available to the Health Care Authority to carry out its activities in accordance with this JPA and the direction of the Health Care Authority Board. Such provision of space may be with or without compensation by Health Care Authority to the Party, subject to the written agreement of the Party and the Health Care Authority.

16. *Monitoring and Oversight of the Health Care Domains.* The Health Care Authority, under the guidance and direction of UNM Hospital, will ensure that monitoring and oversight processes are in place to measure, evaluate, and report on activities pertaining to the Mental Health Services Domain and the Medical Services Domain and achieving substantial compliance in each of these Health Care Domains. In this regard, UNM Hospital will be responsible for establishing a utilization management system to ensure that health care services are provided to detainees housed in the MDC in a timely, appropriate and cost-effective manner. UNM Hospital (or an entity affiliated with UNM) will be responsible for ensuring that all health care providers have and maintain appropriate licenses and credentials in accordance with state and federal requirements and that processes are in place to verify and document the credentials of its provider staff.

17. *Fiscal Oversight.* The County will monitor and report to the Health Care Authority the overall financial status of the health care services delivery program and implementation plan developed as provided in Section 10 of this JPA. The County will work cooperatively with each of the Parties to formulate budget submissions for the health care services delivery program and implementation plan contemplated in Section 10 of this JPA.

18. *MDC Employee Health Services.* Under and pursuant to this JPA, UNM Hospital (or an entity affiliated with UNM) will undertake to be responsible for providing employee health care services specified by contract including immediate medical attention to MDC employees injured in the line of duty, TB screening, treatment and immunizations as clinically indicated for bona fide occupational exposures.

19. *Research Coordination.* For research involving detainees housed within the MDC, UNM is required to receive approval of a representative of the MDC, as designated by the County's Manager, in accordance with applicable County and MDC policies. UNM is also responsible for obtaining approval through an Institutional Review Board with jurisdiction and competency in the realm of vulnerable populations and which meets requirements as set forth in 45 C.F.R. Part 46.



### **Modification, Withdrawal, Term, and Termination**

20. *Modifications.* No amendments, modifications to this JPA (or an amendment and restatement) shall be valid unless made in writing and executed by a duly authorized representative of each Party; provided, however, that any such amendment, modification, or amendment and restatement shall be subject to the approval of the Secretary of the New Mexico Department of Finance and Administration.

21. *Term and Termination.* This Agreement will become effective upon approval by the Department of Finance and Administration. This Joint Powers Agreement is of indefinite term, and shall continue in effect until terminated. Any Party may withdraw from this JPA upon 180 days' prior written notice. Any such withdrawal will constitute a termination of this JPA.

22. *Distribution of Property and Funds.* Upon termination of this JPA, the property and funds owned by the JPA shall be distributed as follows. First, property or funds contributed by any member shall be returned to that member at that Party's cost. The contributing Party shall not be entitled to compensation for wear and tear, consumption, destruction, deterioration, loss, distribution, or other consequences of use. Second, to the extent the Parties have contributed funds that were unexpended, those funds shall be divided among the Parties in proportion to the Parties' contributions to, or payments on behalf of, the Health Care Authority (but not including the Party's own costs for participating in the Health Care Authority). Any remaining property shall be sold and the cash proceeds divided on the same basis, unless the Parties agree on an in-kind distribution in whole or in part.

### **Liability**

1. *McClendon Litigation.* This JPA does not relieve Bernalillo County from its Court-ordered obligations in the McClendon litigation nor does it make any party to the JPA, or any entities created by this JPA, a party to the McClendon litigation.

2. *Tort Claims Act.* No Party shall be responsible for liability to third Parties incurred as a result of any other Party's acts or omissions in connection with this JPA. Any liability to third Parties incurred in connection with this JPA is subject to the immunities and limitations of the New Mexico Tort Claims Act, NMSA 1978, § 41-4-1 *et seq.* Nothing contained in the execution and performance of this JPA by UNM or UNM Hospital shall constitute a waiver of the privileges and immunities afforded UNM and UNM Hospital under the Eleventh Amendment to the United States Constitution.

3. *Third Parties.* Nothing in this JPA, express or implied, is intended to confer any rights, remedies, claims and/or interests upon a person not a Party to this JPA.

4. *State of New Mexico.* Nothing in this JPA, express or implied, shall be deemed to obligate the State of New Mexico, or any agency or department thereof.

IN WITNESS WHEREOF, the Parties hereto have, and through their duly authorized officers, caused this JPA to be executed.

REGENTS OF THE UNIVERSITY OF  
NEW MEXICO

COUNTY COMMISSIONERS OF  
BERNALILLO COUNTY

By: \_\_\_\_\_  
Garnett S. Stokes, Ph.D.  
President

By: \_\_\_\_\_  
Julie Morgas Baca,  
County Manager

Dated: \_\_\_\_\_, 2023

Dated: \_\_\_\_\_, 2023

Approved as to Form:

Approved as to Form:

By: \_\_\_\_\_  
Scot Sauder, Esq., Deputy  
University Counsel for Health  
Sciences, Office of University  
Counsel

By: \_\_\_\_\_  
W. Ken Martinez  
Bernalillo County Attorney

APPROVED:

NEW MEXICO DEPARTMENT OF  
FINANCE AND ADMINISTRATION

By: \_\_\_\_\_  
Wayne Propst, Secretary

Minutes of the Special Meeting of  
The Board of Regents of the University of New Mexico  
April 19, 2023  
9:00 AM Open Session-Student Union Building (SUB), Ballroom C  
Livestreamed for public viewing

Members Present

Kim Sanchez Rael, Chair; Jack L. Fortner, Vice Chair; Robert L. Schwartz, Secretary-Treasurer; Bill Payne; Paul Blanchard (virtual attendance); Randy Ko; Paula Tackett

Administration Present

Garnett S. Stokes (virtual attendance), President; James Holloway, Provost and EVP for Academic Affairs; Teresa Constantinidis, EVP for Finance and Administration; Doug Ziedonis, EVP for Health Sciences and CEO of the Health System; Loretta Martinez, General Counsel; Kate Becker, CEO UNM Hospitals; Jamie Silva-Steele, CEO and President Sandoval Regional Medical Center; Assata Zerai, VP Equity and Inclusion; Terry Babbitt, President's Chief of Staff; Cinnamon Blair, Chief University Marketing and Communications Officer; deans and others

CALL TO ORDER AND CONFIRMATION OF A QUORUM

Chair Kim Sanchez Rael called the meeting to order at 9:06 AM in and confirmed a quorum with all 7 members present, 6 in person, Jack Fortner, Bill Payne, Paula Tackett, Randy Ko, Rob Schwartz and Kim Rael, and Paul Blanchard attending via Zoom.

VOTE TO ADOPT THE AGENDA

- **The motion to adopt the agenda passed unanimously (1<sup>st</sup> Fortner; 2<sup>nd</sup> Ko).**

VOTE ON THE TRANSACTION UNDER WHICH UNM HOSPITAL WILL ACQUIRE ALL OF THE ASSETS AND ASSUME ALL OF THE LIABILITIES OF SRMC AND THEREAFTER THE SRMC HOSPITAL WOULD OPERATE UNDER UNM HOSPITAL'S LICENSE

Jamie Silva-Steele, CEO and President Sandoval Regional Medical Center, presented the item, along with UNM Hospital CEO, Kate Becker, and Deputy University Counsel, Scot Sauder. Regents were provided materials regarding the integration of SRMC Hospital under the UNM Hospital license. This has involved months of discussion with the SRMC board, the Board of Trustees for UNM Hospital, and the Regents. The work has been toward a strategy to sunset the Medicare license for SRMC and dissolve the SRMC Corporation in an attempt to fall under the UNM Hospital Medicare number. The benefits to this have been outlined in the materials provided, one is related to the clinical operations and the movement of patients between the two organizations and the ability to streamline and standardized processes across both hospitals further than they are today. The other item is to take advantage of the Rio Rancho Hospital as a fuller breadth of academic and research activity. There is the opportunity to not only learn from the historical endeavors that UNM Hospital has had for many years around research and academics, but to take advantage of the platform and to think through a community hospital academic experience in a broader way than is currently being done today. The last item of benefit is a financial benefit involving the potential for new reimbursement strategies that currently support UNM Hospital and that SRMC has not been able to do since it's a separate corporation and separately licensed under that strategy. The expected financial opportunity is close to \$7 million a year. The financial aspect is a significant factor, particularly in the focus on strategies around financial action planning, and thinking through the coming through COVID that all hospitals

have been experiencing. Providers met with the medical executive committee yesterday to explain the full details. Community stakeholder leaders are in support of this transaction; they truly feel that this actually provides future sustainability, and by doing this, SRMC becomes integrated in a way that lots of other campuses across the United States are already doing. CEO Jamie Silva-Steele concluded and asked for comments and questions.

Regent Jack Fortner inquired if Sandoval Regional has some of the same financial pressures that rural hospitals have had, and also will this transaction address that? Regent Fortner added that he is familiar with San Juan Regional, as he has done work for them and his wife was on the board before she became a county commissioner. Regent Fortner stated that rural hospitals are still pulling out of COVID and some haven't. He asked how SRMC will face the same struggles and will this proposal make a difference? CEO Silva-Steele responded that it is similar as far as impact. She clarified that from a size perspective San Juan is not a completely rural hospital. Right now, the rural facilities are seeing low volume, while on the other hand SRMC and San Juan are seeing high volume. SRMC is at about 115-120% occupancy on a daily basis. The main issue that SRMC is dealing with is trying to staff to that volume. Typically, budgets attempt to target about an 85% occupancy rate, without COVID in the picture, but SRMC has been running those high volumes for over three years, so the biggest cost impact has been in the form of contract labor. For low volume hospitals, they're hit in two ways, lower revenue and they're using high cost to keep the doors open. It's just not sustainable. The good thing is that traveler rates are starting to decrease, and as that happens, either travelers will start to take permanent positions or hospitals will be able to see a cost-savings on the operational side.

Regent Bill Payne inquired about a recent constituent comment about tax issues. General Counsel responded that the University is an exempt entity for taxation purposes. Similarly, UNM Sandoval Regional Medical Center was formed as a non-profit and is a 501(c)(3) entity, so it too is an exempt entity because of how it uses the property for educational and charitable purposes, i.e. health care. Regent Payne inquired of any impacts to the mil levy. Kate Becker said that it is important to note, because there is a Bernalillo County mill levy, and a Sandoval County mill levy, and she explained special planning for the transaction. UNM Hospital currently produces audited financial statements as a whole that roll up to the University, but there is a separate statement for UNM's behavioral health operations, because that is an accounting operation that UNM provides additional information on, and will do something similar with SRMC so there will continue to be audited financial statements for SRMC as a unit so that each county can see where it's mill levy is going and how that's being applied.

After the presentation and initial comments and questions, Regent Rael asked if there were any public comments. No one signed up at the meeting to give public comment, or registered via email. Regent Chair Rael noted for the record that one comment had been received via email.

**Regent Rael asked if there was a motion to adopt the resolution; Regent Schwartz motioned adoption; Regent Ko seconded the motion.**

There was further discussion.

General Counsel, Loretta Martinez, recommended that Counsel go through the key aspects of the resolutions in the interest of full disclosure. Deputy Counsel, Scot

Sauder, presented the summary, explaining that there were two resolutions before the Board that actually address two pieces, significant procedural items. First is that the resolutions provide for approval of the asset purchase agreement, which is a typical form of asset purchase agreement. It contains usual and customary terms, it lists out certain disclosure items to UNM Hospital from SRMC to ensure the transition moves through smoothly. Different than other asset purchase agreements, it does not exclude any assets, nor does it exclude any liabilities, so the things that used to be worried about in terms of parsing out accounts receivable, cash coming in the door, and so forth, do not attend this transaction. The resolutions call for approval of that.

Secondarily, it authorizes certain officers of the Board and of the administration to execute and implement the asset purchase agreement, and he clarified that the Board refers to the Board of Regents. In the Regents' role as the sole Member of SRMC, because this is a transaction that involves a transfer of substantially all the assets of SRMC, the Non-profit Corporation Act, because there is a voting Member in the Board of Regents, to consent to that transaction. The Regents provide that consent and a consent to the dissolution of the corporation, post-closing of the transaction sometime after January 1, 2024.

Lastly, the resolutions bring back a piece of history. When the transaction was originally done, the university ground leased for 72 years, 18.75 acres of real estate sitting underneath Sandoval Regional. When this transaction closes, there will be a merger of interests because the university will own both the lessee and lessor positions. So the resolution provides for approving terminating that lease. The resolutions also authorize management to move forward with the US Housing and Urban Development Department to get the transfer of the physical assets approved by HUD. Conversations with the lenders have already commenced, three lenders that will be affected by the transaction. HUD is aware of the potential transaction and UNM has requested HUD's approval by September 30th so that the remainder of the regulatory approvals can be completed, knowing that there is clearance from the lenders of over \$400 million. That summarizes the Board resolution that Regents are being asked to adopt. [ATTACHMENT A]

Deputy Counsel Sauder outlined the letter from Dr. Ziedonis. Under the Non-profit Corporation Act, the Board of Directors of Sandoval Regional Medical Center is required to recommend the approval of the consent of the Member to the transaction, and this is a formal step to record that non-profit corporation act is being followed. [ATTACHMENT B]

**Regent Rael called the question on the motion and second that had been made earlier to adopt the resolution; all Regents voted in favor of adoption of the resolution.**

Regent Payne requested that with regard to the budget process, the Regents owe it to the administration to communicate what the budget assumptions should be for next year's budget (FY25). For example, if the Board feels right now that there's not going to be a tuition increase next year, Regents need to tell administration before they get into the planning cycle. Similarly, with other sources of funding or other issues brought up, or comments like, why don't we just recruit more student, which is easier said than done. Regent Payne emphasized that it's the Board's responsibility to define the parameters before going into a planning cycle, clarifying that those would in the form four or five principles. President Stokes said she agreed, adding that she hoped tuition increases would always be something on the table to consider.

Regent Schwartz responded saying he hoped there would be good discussion on what the policy ought to be on tuition and what it ought to be for students as a general matter, and where we would like to see tuition in a decade.

Regent Schwartz asked if the approval of the resolution affects in any way the budget for the upcoming year. CEO Silva-Steele confirmed that assumptions are already built into the FY24 budget, it would include half a year of the transaction beginning in January. She clarified that at this point, the budget is still being finalized, but it is in a positive state with a breakeven bottom line.

**ADJOURN**

There being no further business, Regent Rael asked for a motion to adjourn the meeting; Regent Fortner motioned; Regent Schwartz seconded; all were in favor; the meeting adjourned at 9:29 PM.

Approved:

Attest:

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Kim Sanchez Rael, Chair

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Robert L. Schwartz, Secretary-Treasurer

## RESOLUTION

RELATING TO THE REGENTS OF THE UNIVERSITY OF NEW MEXICO APPROVING THE EXECUTION OF AN ASSET PURCHASE AGREEMENT IN CONNECTION WITH THE ACQUISITION OF THE ASSETS OF UNM SANDOVAL REGIONAL MEDICAL CENTER, INC.; RATIFYING CERTAIN ACTIONS HERETOFORE TAKEN; AND REPEALING ALL ACTION INCONSISTENT WITH THIS RESOLUTION.

BE IT RESOLVED by the Board of Regents (the “Regents”) of the University of New Mexico (the “University”):

WHEREAS, University of New Mexico Hospitals (“UNM Hospitals”) is the primary teaching hospital of the University of New Mexico School of Medicine and a major source of tertiary care for citizens of the State of New Mexico (the “State”); and

WHEREAS, UNM Hospitals is owned partly by the University and partly by Bernalillo County (the “County”) and is operated by the University under a lease with the County; and

WHEREAS, UNM Sandoval Regional Medical Center, Inc. (“SRMC”) is a research park corporation organized under the New Mexico University Research Park and Economic Development Act, N.M. Stat. Ann. Section 21-28-1, *et seq.* (the “URPEDA”) and a nonprofit corporation organized under the New Mexico Nonprofit Corporation Act, N.M. Stat. Ann. Section 53-8-1, *et seq.* (the “Nonprofit Corporation Act”); and

WHEREAS, SRMC is a wholly owned subsidiary and blended component unit of the University and the Regents are the sole member of SRMC and appoints the members of the SRMC Board of Directors; and

WHEREAS, pursuant to the Ground Lease, dated as of June 1, 2010 (as amended and supplemented, the “Ground Lease”), by and between the Regents and SRMC, SRMC leases from the Regents the site upon which SRMC is situated; and

WHEREAS, pursuant to the terms of an Asset Purchase Agreement, to be dated its date of execution (the “Asset Purchase Agreement”), by and between SRMC and the Regents, SRMC desires to sell, and the Regents desires to purchase, substantially all of SRMC’s assets and the Regents will agree to assume substantially all of SRMC’s liabilities and obligations;

NOW THEREFORE BE IT RESOLVED BY THE BOARD OF REGENTS OF THE UNIVERSITY OF NEW MEXICO:

**Section 1. Ratification.** All actions not inconsistent with the provisions of this Resolution heretofore taken by the Regents and the officials of the University and UNM Hospitals directed toward the acquisition of SRMC be and the same hereby are ratified, approved and confirmed.

**Section 2. Delegation and Approval.** The Regents hereby authorize and approve any necessary action to be performed by the University to effectuate the consummation of the transactions contemplated by the Asset Purchase Agreement and any of the documents and



agreements contemplated by the Asset Purchase Agreement (collectively, the "Transaction Documents"). The form of the Asset Purchase Agreement has been presented to the Regents. The terms and provisions of the Asset Purchase Agreement are in all respects approved, authorized and confirmed, with such changes, modifications, additions and deletions therein as shall be necessary, desirable or appropriate as determined by an Authorized Officer (hereinafter defined). The President, Vice President, and Secretary of the Board of Regents and the President, the Executive Vice President for Finance & Administration, the Executive Vice President for Health Sciences and CEO of the UNM Health System, Chief Executive Officer for UNM Hospitals, and Chief Financial Officer of UNM Hospitals (each, an "Authorized Officer" and, collectively, the "Authorized Officers") are hereby each authorized to execute the Transaction Documents and any documents that are required to be executed by the Regents and such execution thereof shall constitute conclusive evidence of the Regent's approval of such documents.

**Section 3. Approval of Member.** The Regents, in their capacity as sole member of SRMC, approve the execution of the Transaction Documents. As sole member of SRMC, the Regents approve the dissolution of SRMC, at an appropriate time subsequent to the acquisition of SRMC.

**Section 4. Termination of Ground Lease.** The Regents approve the termination of the Ground Lease concurrently with the consummation of the acquisition of SRMC and direct the Authorized Officers to execute any and all documents relating thereto.

**Section 5. Conditions Precedent.** The acquisition of SRMC by the Regents is subject to various third party approvals, including, but not limited to, approval by the New Mexico Higher Education Department, the New Mexico State Board of Finance, the United States Department of Housing and Urban Development ("HUD"), the Federal Housing Administration, and various federal, state and local health care reimbursement, regulatory and licensing agencies. The Authorized Officials are hereby directed to take any and all actions necessary to obtain such approvals.

**Section 6. Authorization.** The officers of the Regents, the University, UNM Hospitals and SRMC are empowered to do and perform all acts reasonably necessary to accomplish the acquisition of SRMC, and to execute and deliver such other instruments and documents as may be necessary to enable the Regents, the University, UNM Hospitals and SRMC to effectuate the transactions contemplated by the Transaction Documents.

**Section 7. Repealer.** All policies and resolutions, or parts thereof, inconsistent herewith, are hereby repealed to the extent of such inconsistency. This repealer shall not be construed as reviving any policy or resolution, or part thereof, heretofore repealed.

**Section 8. Severability.** If any section, paragraph, clause or provision hereof shall be held to be invalid or unenforceable, the invalidity or unenforceability of such section, paragraph, clause or provision shall not affect any of the remaining provisions of this Resolution.



**Section 9. Effective Date.** The Resolution shall become effective immediately upon its passage and adoption by the Regents.

PASSED, ADOPTED AND APPROVED THIS \_\_th DAY OF APRIL, 2023.

[SEAL]



THE REGENTS OF THE UNIVERSITY OF  
NEW MEXICO

By \_\_\_\_\_  
President

A handwritten signature in dark ink is written over a horizontal line. The signature is stylized and appears to be a cursive representation of a name.

Attest:

By \_\_\_\_\_  
Secretary/Treasurer

A handwritten signature in dark ink is written over a horizontal line. The signature is stylized and appears to be a cursive representation of a name.

Regent Fortner concurred with Regent Payne and said he was ready to vote.

Regent Ko asked if the HLC would have any issue with the process, that the statement did not go through the Regents' Governance Committee. Provost Holloway responded that the HLC would find the process that took place perfectly satisfactory.

Regent Rael commented that the mission statement can be front and center at the new regent orientation. Regent Brown agreed and added that the statement should be a living and breathing statement and he was open to revisiting it again.

Correction to  
Minutes: motion  
added  
(approved at  
the May 11,  
2023 meeting)

- **The motion to endorse the UNM 2040 Mission Statement passed with a vote of 6-1; Regents Payne, Brown, Begay, Rael, Ko, and Fortner voted for; Regent Schwartz voted against (1<sup>st</sup> Begay; 2<sup>nd</sup> Rael).**

**BOARD OF REGENTS MEETING DATES PROPOSAL - ACADEMIC YEAR 2023-24 (information item)**

Chairman Brown explained that the dates proposed for full board and regents' committees were for information only and would be up for a vote at the next meeting. Regent Ko proposed that quarterly meeting dates for Governance Committee be inserted into the schedule in place of TBDs. Regent Schwartz concurred, saying that it made sense to have regular, quarterly meetings of the committee, and he reiterated that the University's Mission Statement should be on the committee's agenda.

**REGENTS COMMITTEE REPORTS**

**CONSENT DOCKET\***

1. Form C – AAS in Fire Science [UNM-Gallup]
2. Form C – AA Chicana/o Studies [UNM-Valencia]
3. Form C – AA English [UNM-Valencia]
4. Form C – New Graduate Certificate Maternal Child Health
5. Form C – New Graduate Certificate in Secondary Education with 7-12 Licensure
6. Appointment of Hengemeh Raissy to replace Richard Larson on the New Mexico Consortium Board of Directors
7. NM Higher Education Department, Institutional Finance Division, 2Q Financial Actions Report and Certification through December 31, 2022 (FY23 2Q Consolidated Financial Report included as information)
8. Construction Project Approvals:
  - a) Student Resident Center Commons Renovation, \$1,295,927.03
  - b) Taos Klauer Campus South Parking Lot, \$1,116,853
  - c) Bratton Hall – Forum Renovation, \$560,476
  - d) Farris Engineering Center–Computer Science Collaborative Space, \$499,998
  - e) 933 Bradbury Dr. SE – HVAC Replacement Project, \$496,000
  - f) New Mexico PBS KNME-TV – Chiller Addition, \$400,000
  - g) Chicana & Chicano Studies – Exterior Courtyard Improvements, \$394,863
9. Reappointments to UNM Rainforest Innovations Board of Directors
  - Sandra K. Begay
  - John Stichman
  - Angela Wandinger-Ness
  - Al Romig, Jr.
  - Chuck Wellborn
10. Appointments and Reappointments to the Harwood Foundation Governing Board
  - Mary Gutierrez
  - Vernon Lujan
  - Dora Dillistone (reappt.)
  - Sheree Livney
  - Deborah Vincent
  - Shawn Berman (reappt.)
11. Approval of Acquisition of Vacant Land for UNMH in the SW Mesa of Albuquerque
12. Approval of Capital Project: BBRP 6<sup>th</sup> Floor Reverse Osmosis System Upgrades (NTE \$750,000)
13. Approval of UNM Comprehensive Cancer Center: Phase III Radiation Oncology and GMP Laboratory Expansion Project

\*Docket Note: Numbers 1-6 moved from Student Success, Teaching and Research [SSTAR] Committee; Numbers 7-10 moved from Finance & Facilities [F&F] Committee; Numbers 11-13 moved from Health Sciences Center Committee

- **The motion to approve the consent docket passed unanimously (1<sup>st</sup> Schwartz; 2<sup>nd</sup> Payne).**

**AUDIT AND COMPLIANCE COMMITTEE**

**UNM FY 21-22 Financial Statements External Audit Results Presentation** (information item)

The external audit was approved by the Committee at its October 21, 2022 meeting and received subsequent approval by the NM State Auditor. Lisa Todd of Moss Adams and John Kennedy of KPMG

-----**CONSENT DOCKET**-----

1. Graduate Certificate in Public Policy (New) .....	C-1
2. Phlebotomy Technician Certificate (UNM Gallup) .....	C-2
3. Certificate in Interdisciplinary Design .....	C-3
4. BS Chemical Biology .....	C-4
5. Security Managerial Group Resolution .....	C-5
6. Policy C70 – Confidentiality of Faculty Records .....	C-6
7. Five-Year Capital Plans, detailing projects which will construct and/or significantly improve and renew numerous facilities on UNM Campuses .....	C-7
8. Main and Branch Campuses FY23 Budget Adjustment Request (BAR).....	C-8
9. New Mexico HED Institutional Finance Division, 3 <sup>rd</sup> Quarter Financial Actions Report and Certification through March 31, 2023 .....	C-9
10. Project Construction: .....	C-10
a) Lobo Welcome Center Re-Approval	
b) Mesa Del Sol – HVAC Upgrade	
c) Student Health and Counseling – Controls and HX Project	
d) Domenici Hall – Chiller Replacement	
e) La Posada – Dishwasher Renovation	
11. Health Sciences FY23 Budget Adjustment Request (BAR) .....	C-11
12. Health Sciences Library & Informatics Center 2 <sup>nd</sup> Floor Renovation .....	C-12
13. Health Resources & Services Administration UNM Health & Health Sciences: Renovation of Research Facilities Project .....	C-13
14. Re-Appointment of Kurt Riley to the UNMH Board of Trustees (APCG Appointee) ..	C-14
15. Carrie Tingley Hospital Foundation By-Laws.....	C-15
16. Capital Projects: .....	C-16
a) UH Main Chiller Replacement-Phase 2 (\$2,750,000)	
b) Dermatology Clinic Renovation (\$1,700,000)	
c) UH Main Boiler #1 Replacement (\$1,250,000)	

\*Docket Note: #1-6 moved from Student Success, Teaching and Research [SSTAR] Committee; #7-10 moved from Finance and Facilities Committee [F&F]; #11-16 moved from Health Sciences Center Committee [HSCC]



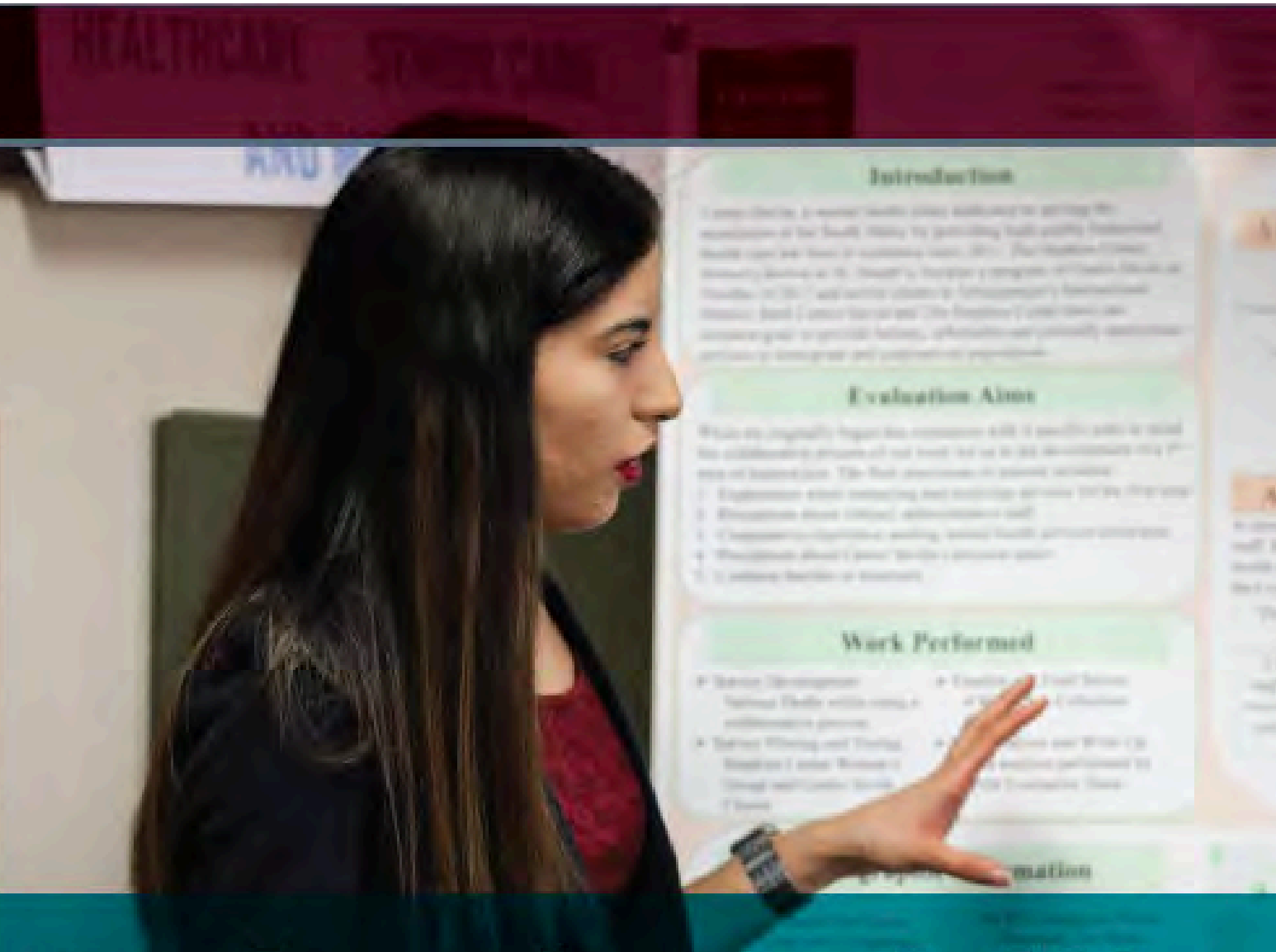
# MASTER OF PUBLIC POLICY

Proposed Certificate in Public Policy

Spring 2023

# UNM Master of Public Policy

- Interdisciplinary program jointly administered by Economics, Political Science, Sociology and the School of Public Administration
- 43 credits / 2 years
- Trains analysts who identify and champion ***place-based and data-driven policy solutions*** in national, state and local government and nonprofit sectors.
- First cohort admitted in 2017
- 14 graduates; 20 current students



# Proposed Graduate Certificate in Public Policy

	Track I – General overview	Track II – Quantitative emphasis
	12 credits	15 credits
PUBP 501 –Policy Analysis – 3 credits	✓	✓
PUBP 502 – Evaluation Lab – 3 credits	✓	✓
Policy perspectives: 3 credits from any core curriculum courses public economics, the political context, organizational behavior, public budgeting	✓	
Diversity and Inclusion: 3 credits	✓	✓
Quantitative Methods: 6 credits in 2-course sequence offered by Econ, Political Science or Sociology		✓✓
PORTFOLIO	✓	✓

# Why?

- Enhance quality of policy eco-system in New Mexico by expanding access to training
- Interest from prospective students who already hold a graduate degree
- Interest from New Mexicans outside the Albuquerque area, including those who live in rural and Native communities  
(Track I can be completed remotely)
- Policy training for students in other UNM grad programs  
(biological and physical sciences, engineering, law, business, education)

# Existing faculty and resources are sufficient

- MPP can accommodate 2-4 certificate students per year with current faculty and resources



# CM Program Code

## Graduate Certificate in Public Policy

Under Review | Fall 2023

### Proposal Information

<b>Workflow Status</b>	
In Progress	
<b>Faculty Senate Approval, Faculty Senate</b>	expand ▲
Waiting for Approval   Faculty Senate Approval	
Rick Holmes	
Nancy Middlebrook	

### Proposal Information

<b>Sponsoring faculty member</b> ⓘ		<b>Faculty email</b>
Melissa Binder		mbinder@unm.edu
<b>College</b>	<b>Department</b>	<b>Campus</b>
Graduate Interdisciplinary Studies	Public Policy	Main Campus

### Effective Term and Year

<b>Proposed Effective Term and Year</b>
Fall 2023

### Justification

**Program Justification**

UNM has offered an interdisciplinary Master of Public Policy (MPP) since 2017. The MPP contributes to UNM’s mission to serve the community by training analysts who identify and champion place-based and data-driven policy solutions. Our graduates work in state and local government, and in the non-profit sector in a variety of policy development, program evaluation, and policy research positions.

The Graduate Certificate in Public Policy would fill a need for prospective students who desire training in policy approaches and best-practices, but who aren’t interested in pursuing a full master’s program of study. This may be because they already hold an advanced degree in a different field, or because they can profitably combine policy-related work experience with a Certificate (e.g., they don’t need a Master’s degree to advance in their career).

The members of our Community Advisory Board, who include representatives from state and local government, non-profit, and business sectors have advised us that such training would benefit many sectors and communities in New Mexico. We frequently interact with prospective students who already hold an advanced degree and would be interested in a policy credential. The ability to complete the Certificate remotely will be of interest to prospective students who live outside of the Albuquerque metro area.

**Associated Forms**

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Select any associated course forms that exist

Select any associated program forms that exist

**Program Category and Level**

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Program Category	Program Level	Degree, Minor, or Certificate Name
Certificate	Graduate	Graduate Certificate in Public Policy
New Graduate Program	Dual Degree	New Undergrad Degree/Certificate
No	No	No

**Catalog Information**

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**Program Description**

The Graduate Certificate in Public Policy provides training in frameworks and best practices for creating place-based and data-driven policy solutions. The Certificate may be pursued as a standalone credential or in conjunction with another graduate degree.

**Admissions Requirements**

## Graduation Requirements

### ***Coursework:***

Students have the option of pursuing one of two tracks. Track I provides a general overview of policy analysis and program evaluation concepts and requires 12 credits; Track II emphasizes quantitative analysis and requires 15 credits.

Track I General – 12 credits

- PUBP 501 – The Craft of Policy Analysis – 3 credits
- PUBP 502 – Evaluation Lab – 3 credits
- Policy perspectives: 3 credits for any of the following MPP core curriculum courses:
  - ECON \*350 – Public Economics
  - POLS 570 – Public Policy OR POLS 510 – American Politics
  - PADM 521 – Institutional Development & Behavior
  - PADM 544 – Public Budgeting
- Diversity and Inclusion: 3 credits
- SOC \*430 – Intersectionality: Race, Gender, Class for Social Policy (among others)

Track II Quantitative – 15 credits

- PUBP 501 – The Craft of Policy Analysis – 3 credits
- PUBP 502 – Evaluation Lab – 3 credits
- Quantitative Methods: 6 credits in 2-course sequence offered by Econ, Political Science or Sociology
  - ECON 508, 509
  - POLS 581, 681
  - SOC 581, 582
- Diversity and Inclusion: 3 credits
- SOC \*430 – Intersectionality: Race, Gender, Class for Social Policy (among others)

### ***Portfolio:***

In addition to completing one of the two tracks above, students will also compile a portfolio of their coursework that demonstrates the Certificate learning outcomes.

## File Uploads

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### **Proposal File Upload**

- Graduate\_Certificate in Public Policy.pdf

### **Executive Summary Upload**

- Graduate Certificate in Public Policy - Executive Summary.pdf

### **Associate Provost Memo**

- Provost Memo PP Grad Cert 2022.pdf

## Concentrations

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### **Program Concentrations**

**Code**

**Title**

## Concentration Required

No

## Certificate Information

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### Certificate Requirements

- Complete all of the following
  - Earn at least 3 credits from the following:
    - PUBP501 - Policy Seminar (1 - 3)
  - Complete the following:
    - PUBP502 - Policy and Evaluation Lab (3)
    - SOCI430 - Intersectionality: Race, Gender, Class for Social Policy (3)

### Tracks: choose either General or Quantitative.

- Complete 1 of the following
  - Track One: General
    - Earn at least 3 credits from the following:
      - ECON350 - Public Finance (3)
      - POLS570 - Pro-Seminar in Public Policy (3)
      - PADM521 - Organizational Theory and Behavior (3)
      - PADM544 - Financial Management for Public Organizations (3)
  - Track Two: Quantitative. Choose one 6-credit sequence:
    - Earn at least 6 credits from the following:
      - ECON508 - Statistics and Introduction to Econometrics (3)
      - ECON509 - Econometrics I (3)
      - POLS582 - Survey of Political Science as a Discipline and a Profession (1)
      - POLS582 - Survey of Political Science as a Discipline and a Profession (1)
      - SOCI581 - Advanced Social Statistics I (3)
      - SOCI582 - Advanced Social Statistics II (3)

**Grand Total Credits: 12 - 15**



# **CERTIFICATE IN PHLEBOTOMY TECHNICIAN**

**@ UNM-Gallup**

CERTIFICATE/PHLEBOTOMY TECHNICIAN (12 Credits)

Student Advisement and Graduation Checklist – 20\*\*-20\*\* Catalog

Student

UNM ID #

Date admitted

Address

Academic Advisor

Phone #

Office #

Phone #

PHLEBOTOMY TECHNICIAN CORE: (12)		Credits	Grade	Semester/Institution
PBT 101	Phlebotomy Technician	8		
PBT 102L	Certified Phlebotomy Technician Clinical	4		



# RATIONALE

- UNM-Gallup wants to adopt this program from the UNM-Valencia campus
- UNM-Valencia has seen steady student demand for this program
  - At least a 75% course fill rate over the past four academic years
- UNM-Gallup already has a popular AS degree in Medical Laboratory Technology, with qualified faculty that could support this program



# POTENTIAL STUDENTS / WORKFORCE OUTCOMES

- This program will be marketed to students who are interested in the healthcare field
- Phlebotomy jobs are projected to grow by 10% nationally over the next ten years
  - Northwestern New Mexico has many potential employers (especially in and around Gallup and Farmington)
  - In Northwestern New Mexico, job growth in Phlebotomy is projected at over 20%



# FACULTY/RESOURCE NEEDS

- Our AS program in Medical Laboratory Technology already has the space and equipment to facilitate this program
- **Expenses** will include one potential adjunct salary
  - Potential maximum cost of **\$12,000/semester**
- We hope to initially enroll 9 students/semester
- 9 students, enrolled in 12 credits/year @ \$80.50/credit =
  - **\$8,694/semester in potential revenue**
- The I&G budget of the Education, Health, and Human Services Division will absorb the \$3,306/semester not covered by tuition

**ROUTING SLIP FOR CURRICULAR CHANGES**

☒ ORIGINAL  
☐ COPY

Certificate in Phlebotomy Technician Math Minger 21 Feb. 2023  
Program name and/or Course Number Initiator's Name Date

1. Chairperson/Instructor (ALL FORMS MUST BE GRAMMATICALLY CORRECT AND TYPED)

- |  |  |   |
|--|--|---|
| <input type="checkbox"/> <b>Form A:</b> (existing course minor change) | <input type="checkbox"/> <b>Form B:</b> (new course request) | <input checked="" type="checkbox"/> <b>Form C:</b> (degree/program changes) |
| <u>Justification</u>   | <u>Syllabus/Course outline</u>                               | <u>Program outline new &amp; old</u>  |
| <u>Transferable</u>  | <u>Justification</u>   | <u>Justification</u>  |
|  | <u>Main Campus Support (If Type II *see back)</u>            | <u>Needs Analysis</u>   |
|  | <u>Transferable</u>  | <u>Articulation Letter</u>  |

2. Department Chair: Lewis Franklin
- Corrections of Syllabus/ Course Outline format ☒ Yes ☐ No
- All required forms attached ☒ Yes ☐ No

3. Dean of Instruction: [Signature]
- Budget Implication ☒ Yes ☐ No
- Review for appropriateness ☒ Yes ☐ No

4. Zollinger Library Faculty Representative: ☒ Yes ☐ No [Signature]

5. Reviews by the Manager of Computing Services: ☒ Yes ☐ No Eric Castillo

6. Registrar (Two Weeks Before the Curricular Committee):

- |   |   |
|---|---|
| ➤ Duplication of course/program             | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| ➤ Duplication of Main Campus course/program | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| ➤ Appropriate number of course              | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No |
| ➤ All required forms submitted              | <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No |

[Signature]  
Signature

2/27/23  
Date

7. Dean's Assistant for distribution to Curricular Committee members 27 Feb. 2023

27 Feb. 2023  
Date

8. Curricular Committee Action: ☐ Approval with revisions ☐ Tabled ☒ Approved as Submitted  
☐ Rejected: Reason \_\_\_\_\_

24 March 2023

Chair Signature

Date

9. Faculty Senate Approval ☒ Yes ☐ No: Reason \_\_\_\_\_

[Signature]  
President Signature

24 March 2023  
Date

10. Dean's Assistant notified department to press "Submit to Dept. Chair" button on Curriculum Workflow:

27 March 2023  
Date

**Certificate in Phlebotomy Technician**  
**Executive Summary**  
*University of New Mexico-Gallup*

**Program Description**

**Goals.** UNM-Gallup would like to adopt the Phlebotomy Technician certificate program currently offered at the UNM-Valencia branch campus. Not only will this program provide students with an opportunity to join a fast-growing profession, but it will also provide another career/educational option for students interested in a health career. Our Nursing program is incredibly competitive, and our campus would like to further diversify potential areas of interest for students who would like to serve as first responders, healthcare providers, and/or medical lab technicians. Phlebotomy is an area of expected growth, an area already of interest to campus faculty, and an area of clear interest to students in our state and region.

**Branch mission alignment.** A key component of UNM-Gallup's vision/mission is to support students in pursuing "community focused, regionally specific, and culturally vibrant education." By preparing students for employment opportunities in the local health sector, and as knowledgeable advocates for the wellbeing of their communities, this proposed Certificate program supports that mission.

**UNM mission & strategic plan alignment.** The third objective outlined in the first goal of UNM's [most recent strategic framework](#) is to "Be a force for social justice and health equity by working with the varied and rich communities of our state to enhance the health of all New Mexicans." The people who live in the Gallup-McKinley County area have been chronically underserved. The creation of this Certificate program would help to produce local phlebotomists who could work at local hospitals, medical centers, blood donation programs, and laboratories.

**Other branch campuses.** UNM-Valencia currently offers the program UNM-Gallup would like to adopt. Their campus faculty and dean have no objection to our request (see email correspondence below).

**Employer involvement.** As with UNM-Gallup's AS program in Medical Lab Technology, this certificate program would be periodically reviewed and revised by a board populated with local employers. These employers will likely include representatives from the two local hospitals (Gallup Indian Medical Center and Rehoboth McKinley Christian Hospital), as well as other employers (e.g. BPL Plasma, Vitalant, etc.).

**Timeline.** Assuming approval, we would like to see the program begin with a cohort of students during the fall 2024 semester.

**Evidence of Need**

**Demand.** The health industry is the fastest-growing sector of the U.S. economy. Job in Phlebotomy are expected [to grow 10%](#) ("faster than average") over the next ten years. Health Care and Social Services, as a sector of Gallup's economy, is the [largest local industry](#) (see **Appendix B**). Two of the [top-five employers](#) in Gallup are in the medical field. UNM-Valencia's has seen steady student demand, with Phlebotomy courses seeing at least a 75% fill rate over the past four academic years. There is clear demand for phlebotomists in the workforce and clear demand among students for phlebotomy coursework. See **Appendix A** for Department of Labor statistics regarding phlebotomists. According to New Mexico Workforce Connections, the Farmington area and the northern region of the state of New Mexico are both projected to see over 20% growth in the need for phlebotomists (see **Appendix B**).

**Recruitment.** Targeted recruitment efforts will include outreach and engagement with students who have expressed an interest in health careers.

**Similar offerings in NM.** ENMU-Roswell (19 credits), CNM (10 credits), NMSU-Alamogordo (6 credits), Dona Ana Community College (4 credits), San Juan College (4 credits), Santa Fe Community College (14.5 credits), and UNM-Valencia (12 credits) all offer similar certificate programs in Phlebotomy.

**Formal Needs Assessment.** McKinley County has been designated an area of “health professional shortage” by the federal government. It is surrounded by counties with the same designation. McKinley is the poorest county in NM (which is one of the poorest states in the nation). Its healthcare outcomes are abysmal. See **Appendix B** for statistics about how much demand there is for phlebotomists in our region of New Mexico.

### **Program Content and Quality**

**Curriculum Standards.** The curriculum for this Certificate program would be based entirely on the curriculum already used at UNM-Valencia. It is a curriculum that is based on preparing students for employment and the Certified Phlebotomy Technician national exam. The Certificate would require 12 credit-hours in theory and clinicals. Those courses include:

**PBT 101: Phlebotomy Technician (8cr).** This course prepares students for American Society for Clinical Pathology (ASCP) Certified Phlebotomy Technician (PBT) certification. Successful completion of an apprenticeship at a clinical worksite is required.

**PH 102L: Certified Phlebotomy Technician Clinical (4cr).** Clinical apprenticeship program for PBT 101. Successful completion required for credit in PBT 101. Corequisite: PBT 101.

**Student Learning Outcomes.** Upon completion of this Certificate program, students will be able to:

- Demonstrate proficient and accurate collection techniques when performing venipuncture.
- Draw and process blood specimens.
- Demonstrate the personal and professional ethics and interpersonal skills that are expected in the workplace.

**Instructional Models.** Instruction will include a combination of lecture, case-studies, and clinicals.

**Accreditation.** This program plans to seek accreditation from the National Phlebotomy Association.

### **Evaluation and Assessment**

**Measuring SLOs.** Assessments would include learner self-assessments, peer assessments, and assessments by instructors, including case studies and clinicals.

**Program Effectiveness and Plan to Assess Learning Outcomes.** Students will complete a comprehensive, qualitative course evaluation, along with the standard UNM student evaluations. Periodic consultations with local employers and working phlebotomists will also provide feedback to inform curricular refinement.

### **Required Resources**

This program will require a rely, at least initially, on adjunct instructors (at an estimated \$12k/semester. All instructors will be approved by the UNM-Gallup EHHS Division, will hold (at minimum) an associate’s degree, and will have experience as fulltime professionals in the field of phlebotomy. Classroom and simulation training will be supported by the UNM-Gallup Health Careers and Nursing facilities.

### **Projected Enrollment & Costs**

The enrollment at UNM-Valencia is capped at 12 students a semester. In recent semesters, at least nine students have enrolled in each course offered. We anticipate a similar level of interest at UNM-Gallup. Tuition (or financial aid) and the EHHS instructional budget will cover the costs of instructors and materials.

**Detailed Table of Enrollment Projections:**

<b>Fall 2024</b>	<b>Spring 2025</b>	<b>Fall 2025</b>	<b>Spring 2026</b>	<b>Fall 2026</b>	<b>Spring 2027</b>
9 students	9 students	9 students	9 students	9 students	9 students

**Detailed Program Budget:**

**Revenue**

9 students, enrolled in 12 credits/semester @ \$80.50/credit (our tuition rate) =

**Total potential revenue of \$8,694/semester**

**Expenses**

Potential adjunct faculty salaries

Salary @ \$12,000/semester

Potential maximum = **\$12,000/semester**

Of the program costs, tuition will not cover **\$3,306** each semester. Instead, our campus's Education, Health and Human Services Division will absorb that remaining cost by utilizing new and existing state and federal monies currently available to community colleges for the creation and maintenance of healthcare degree programs.





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# APPENDIX A

[Bureau of Labor Statistics](#) > [Publications](#) > [Occupational Outlook Handbook](#) > [Healthcare](#)

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## OCCUPATIONAL OUTLOOK HANDBOOK

### Phlebotomists

[PRINTER-FRIENDLY](#)

[Summary](#)

[What They Do](#)

[Work Environment](#)

[How to Become One](#)

[Pay](#)

[Job Outlook](#)

[State & Area Data](#)

[Similar Occupations](#)

[More Info](#)

### Summary



#### Quick Facts: Phlebotomists

2021 Median Pay	\$37,380 per year \$17.97 per hour
Typical Entry-Level Education	Postsecondary nondegree award
Work Experience in a Related Occupation	None
On-the-job Training	None

<b>Number of Jobs, 2021</b> ?	135,500
<b>Job Outlook, 2021-31</b> ?	10% (Faster than average)
<b>Employment Change, 2021-31</b> ?	13,900

### What Phlebotomists Do

Phlebotomists draw blood for tests, transfusions, research, or blood donations.

### Work Environment

Phlebotomists are employed in a variety of settings, including hospitals, medical and diagnostic laboratories, blood donor centers, and doctors' offices.

### How to Become a Phlebotomist

Phlebotomists typically enter the occupation with a certificate from a postsecondary phlebotomy program, but some qualify with a high school diploma and on-the-job training. Employers may prefer to hire candidates who have earned professional certification.

### Pay

The median annual wage for phlebotomists was \$37,380 in May 2021.

### Job Outlook

Employment of phlebotomists is projected to grow 10 percent from 2021 to 2031, faster than the average for all occupations.

About 21,500 openings for phlebotomists are projected each year, on average, over the decade. Many of those openings are expected to result from the need to replace workers who transfer to different occupations or exit the labor force, such as to retire.

### State & Area Data

Explore resources for employment and wages by state and area for phlebotomists.

### Similar Occupations

Compare the job duties, education, job growth, and pay of phlebotomists with similar occupations.

### More Information, Including Links to O\*NET

Learn more about phlebotomists by visiting additional resources, including O\*NET, a source on key characteristics of workers and occupations.

What They Do ->

#### **SUGGESTED CITATION:**

Bureau of Labor Statistics, U.S. Department of Labor, *Occupational Outlook Handbook*, Phlebotomists, at <https://www.bls.gov/ooh/healthcare/phlebotomists.htm> (visited February 02, 2023).

**Last Modified Date:** Thursday, September 22, 2022

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# APPENDIX C

## **List of Similar Programs**

Central New Mexico Community College (10 credits)

Dona Ana Community College (4 credits)

Eastern New Mexico University – Roswell (19 credits)

New Mexico State University – Alamogordo (6 credits)

San Juan College (4 credits)

Santa Fe Community College (14.5 credits)

University of New Mexico – Valencia (12 credits)

# APPENDIX D

## **List of Potential Employers**

BPL Plasma, Inc.

College Clinic

Gallup Indian Medical Center

Red Rock Clinic

Rehoboth McKinley Christian Hospital

Vitalant

## Matthew Mingus

---

**From:** Matthew Mingus <mmingus@unm.edu>  
**Sent:** Thursday, January 12, 2023 8:52 AM  
**To:** Eileen Davis  
**Subject:** Re: PCA and Phlebotomy Programs @ Valencia?

Eileen,

Thank you so much! This is very helpful!

---  
Matthew D. Mingus  
Associate Professor of History  
University of New Mexico-Gallup  
505.863.7558

---

**From:** Eileen Davis <eileend@unm.edu>  
**Sent:** Wednesday, January 11, 2023 4:48 PM  
**To:** Laura Musselwhite <lmusselwhite@unm.edu>; Matthew Mingus <mmingus@unm.edu>  
**Cc:** Eileen Davis <eileend@unm.edu>  
**Subject:** RE: PCA and Phlebotomy Programs @ Valencia?

Good afternoon Dr. Mingus,  
PCA 101 – Personal Care Attendant (Home Health Aide)--- 5 credit hour  
We currently only offer this course at the local high school for Juniors and Seniors to take for dual credit as this is a great gateway training course for those students wanting to pursue a career in the healthcare industry. Things to take into consideration ... Currently there is no industry credential available for this level of training. Also, Currently, The federal and state regulations for assisted living facilities do not require their employees to have any formalized training at this time. With that being said our local employers do not require any formalized training in order to gain employment with them so we currently do not offer this course outside of dual credit. This class is taught Face to face.

Phlebotomy  
PBT 101 --- is 8 credit hours and runs 12 weeks meeting 12 hours per week.  
PBT 102—is 4 credit hours and runs the last 4 weeks of a semester This is a co-requisite to the PBT 101 course. Students have to register for both courses in order to complete the class. This is the clinical experience portion of the program. students are required to do 120 hours of clinical experience in a Laboratory setting as well as 100 successful unaided venipunctures.

As Laura mentioned enrollment is up and down in this program especially since the Pandemic.. There are a lot of employment opportunities industry anywhere from doctor office, to the hospitals and in the laboratories themselves. Students who complete the program are eligible to sit for the National ASCP Exam to earn the industry credential. Most employers currently do not require this certification in New Mexico but it has been our experience that they give preference to applicants that have that certification.  
Both the didactic and clinical experience is based on the National Exam requirements.

I hope this has provided you with the additional insight you were looking for.  
If you have additional questions please do not hesitate to reach out as Laura mentioned we don't have any issue with Gallup adopting either one or both of these programs.

*Eileen Davis*  
*Program Specialist*  
*UNM - Valencia Campus*  
*280 La Entrada*  
*Los Lunas, NM 87031*  
*(505) 925-8974*



Note: In order to comply with the Family Educational Rights and Privacy Act of 1974 (FERPA), UNM students must correspond with me using their UNM email account. Students must include their full name and ID number, so that I can locate their record. I cannot respond with any information contained in educational records from emails received from non-UNM accounts.

For information on FERPA, please visit <http://ed.gov/policy/gen/guid/fpco/ferpa/students.html>.

---

**From:** Laura Musselwhite <lmusselwhite@unm.edu>  
**Sent:** Wednesday, January 11, 2023 1:47 PM  
**To:** Matthew Mingus <mmingus@unm.edu>  
**Cc:** Eileen Davis <eileend@unm.edu>  
**Subject:** RE: PCA and Phlebotomy Programs @ Valencia?

Hi Matt. PCA is dual credit, but Phlebotomy is not. I would say that the programs wax and wane in terms of enrollment, but we have been successfully running them for a long time. I'm copying the person who runs those programs here, as she has a lot of insight. These are in-person programs for us. I wouldn't have issue with Gallup adopting.

Eileen, can you provide more details to Dr. Mingus?

Thanks,  
Laura

---

**From:** Matthew Mingus  
**Sent:** Wednesday, January 11, 2023 1:36 PM  
**To:** Laura Musselwhite <[lmusselwhite@unm.edu](mailto:lmusselwhite@unm.edu)>  
**Subject:** PCA and Phlebotomy Programs @ Valencia?

Hi Laura,

I hope all's well at the Valencia campus! Your enrollment numbers are looking great this semester!

I'm still working on some curriculum stuff for Gallup this spring, and I saw that you've recently had quite a few students graduate from your Personal Care Attendant and Phlebotomy Certificate programs. Are those programs relatively well-enrolled? The PCA Certificate is a single five-credit course, right? And is offered only for dual-enrolled students? And Phlebotomy is 11 credits? Have you had any issues with either of those programs?

I think our Education, Health, and Human Services chair is interested in potentially adopting these two programs at our branch. Is that something you would recommend doing? Or is there a reason to object to that?

When you get a chance, let me know. And just to be clear (because we had this problem when we pitched adopting Los Alamos's AAS in Fire Science), we are not planning on offering these Certificates online. They would be offered completely in-person, if we were to offer them at Gallup. Obviously, we're not moving forward on anything yet; I'm just trying to gather some information.

Thanks for your time.

---

Matthew D. Mingus  
Associate Professor of History  
University of New Mexico-Gallup  
505.863.7558



To: Dr. Matthew Mingus,  
Dean Dan Primozic, UNM-Gallup  
From: Dr. Pamela Cheek, Associate Provost for Student Success

*Pamela L. Cheek*

Re: Certificate in Phlebotomy Technician  
Date: February 16, 2023

---

The proposed adoption from UNM-Valencia of the Certificate in Phlebotomy Technician has the potential to address health care workforce needs in the state of New Mexico and in the greater Gallup and McKinley County communities. On behalf of Office of the Provost and EVP for Academic Affairs, I write in support of moving forward with this certificate program adoption and proposal.

# Interdisciplinary Design Certificate

- 12-credit transcribed undergraduate certificate
- First interdisciplinary design certificate in the state.
- Open to all undergraduate students currently enrolled in any college or school at the University of New Mexico in any degree program.
- Course offerings in Architecture, Art, Computer Science, Honors, and Organization, Information and Library Sciences (OILS).
- 4 courses, spanning three departments and two colleges
- Housed in Honors College
- Budget implications: no additional faculty will be required for the certificate.



# Interdisciplinary design certificate goals:

- enhance interdisciplinary design capacity in students
- help students to develop skills to frame and reframe problems that appear to be intractable and persistent
- promote competitiveness of UNM undergraduates to meet market demands in the creative technology economy
- formalize a network of UNM faculty, with a shared common goal, while enhancing their ability to act in coordinated and collaborative ways.

# Interdisciplinary Design Certificate

+Students  
+Learning Outcomes

1. Students will develop skills in interdisciplinary design that will enhance their problem-solving skills through the lens of fine arts, organizational learning, computer science, interdisciplinary honors, and architecture.
2. Students will develop analytical skills to apply interdisciplinary design principles while conducting design practices that use ethical and culturally responsive methods.
3. Students will develop skills in community engagement, collaborative working methods, prototyping, and iterative processes to improve and reimagine communities.
4. Students will be aware of opportunities to apply interdisciplinary design as a tool to engage communities while developing communication methods to work with diverse stakeholders.

# Market Survey of Stakeholders

## **Creative Technology:**

- Meow Wolf
- RS21
- Explora
- Ideum - Experience Design Firm
- Parallel Studios

## **Scientific Laboratories + Foundations**

- Sandia National Laboratories
- Air Force Research Laboratory
- LANL Foundation

## **Museums:**

- NM Museum of Natural History & Science
- Georgia O'Keeffe Museum
- Albuquerque Museum

## **Technology Companies:**

- Google
- Noventum Custom Software Development

## **Arts/Education:**

- Santa Fe Art Institute
- New Mexico Higher Education Department
- UNM Innovation Academy
- Project ECHO


# CM Program Code Certificate in Interdisciplinary Design

Under Review | Fall 2023

## Proposal Information

<b>Workflow Status</b>	
In Progress	
<b>Faculty Senate Approval, Faculty Senate</b>	expand ▲
Waiting for Approval   Faculty Senate Approval	
Rick Holmes	
Nancy Middlebrook	

## Proposal Information

<b>Sponsoring faculty member</b> 		<b>Faculty email</b>
Megan Jacobs		mejacobs@unm.edu
<b>College</b>	<b>Department</b>	<b>Campus</b>
Honors College	Honors Program	Main Campus

## Effective Term and Year

<b>Proposed Effective Term and Year</b>
Fall 2023

## Justification

**Program Justification**

The 12-credit transcribed Interdisciplinary Design Undergraduate Certificate will provide UNM undergraduate students with academic skills and practical experiences, preparing them for a range of career fields that rely on design thinking and design methods. The certificate consists of a minimum of 12 undergraduate credit hours. Students will select four courses from an approved list, including at least one course that provides an introduction on how to design. The courses must span at least three departments and two colleges. Upon successful completion of the requirements, students are awarded a transcribed Undergraduate Certificate in Interdisciplinary Design.

Broadly, the goal of the Undergraduate Certificate in Interdisciplinary Design is to promote the competitiveness of UNM graduates in both meeting market demands and empowering them as citizens. The purpose of the certificate is to enhance the interdisciplinary design capacity of students. This aligns with the UNM Mission, as this capacity—which comprises values, habits of mind, knowledge, and skills—prepares students not just to be problem solvers, but to frame and reframe problems that appear to be intractable, persistent, or are reproduced across solutions. Learning to frame and reframe problems empowers people to be engaged citizens capable of making change, to contribute to economies as producers of ideas that go beyond the ordinary, and to lead more satisfying lives because they have and know how to use their agency to make consequential decisions.

**Associated Forms**

---

Select any associated course forms that exist

Select any associated program forms that exist

**Program Category and Level**

---

Program Category	Program Level	Degree, Minor, or Certificate Name
Certificate	Undergraduate	Certificate in Interdisciplinary Design
New Graduate Program	Dual Degree	New Undergrad Degree/Certificate
No	No	Yes

**Catalog Information**

---

**Program Description**

The Certificate in Interdisciplinary Design provides students with an interdisciplinary community of scholars at the University of New Mexico doing research, teaching, and service at the confluence of design thinking, interactive working processes, and community engagement. All undergraduate students, from a range of diverse perspectives and disciplines—ranging from the humanities to the arts, to architecture & planning, and computer science—are invited to apply.

Key student learning outcomes include conducting asset inventory, developing problem-solving skills through hands-on projects, and employing design thinking practices using ethical and culturally responsive methods for a range of diverse stakeholders. Other major student learning outcomes include community engagement, collaborative working methods, prototyping, and iterative processes in order to improve and reimagine communities.

## Admissions Requirements

The certificate is only available to current undergraduate students already matriculated in an undergraduate degree-granting program (i.e. bachelor's degree program) at the University of New Mexico, regardless of the field of study. No application is necessary. Students will meet with advisement so they can certify that they have taken four courses from the approved list (must be in three different departments). Only courses with a grade of C or better will count toward the certificate credits.

## Graduation Requirements

The certificate requires the completion of 12-credit hours from a list of approved courses, including at least one course that provides an introduction on how to design. Up to 6 credit hours may be completed in one department, including a maximum of 3 credit hours of independent study. Courses may double-count with major or elective requirements. A list of approved courses, and information for the Interdisciplinary Design faculty and staff advisors, may be found at the website: [www.i-design.unm.edu](http://www.i-design.unm.edu)

## File Uploads

---

### Proposal File Upload

- Final-2022-Proposal for Interdisciplinary Design Certificate .pdf

### Executive Summary Upload

- Executive Summary.pdf

### Associate Provost Memo

- Proposal to create a 12-credit "INTERDISCIPLINARY DESIGN UNDERGRADUATE CERTIFICATE".pdf

## Concentrations

---

### Program Concentrations

Code

Title

### Concentration Required

No

## Certificate Information

---

## Certificate Requirements

# Certificate in Interdisciplinary Design Requirements

12  
Total Credits

- Complete all of the following
  - Complete the following:
    - ARCH2151 - Design Thinking (3)
  - Earn at least 9 credits from the following:
    - ARCH450 - Design Leadership (3)
    - ARCH462 - Seminar (1 - 3)
    - ARTS1510 - Introduction to Electronic Arts (3)
    - ARTS445 - Text and Image: Graphic Design for Artists (3)
    - ARTS445 - Text and Image: Graphic Design for Artists (3)
    - ARTS447 - Augmented Spaces (3)
    - ARTS429 - Undergraduate Topics in Studio Art (1 - 6)
    - ARTS333 - Digital Fabrication (3)
    - ARTS429 - Undergraduate Topics in Studio Art (1 - 6)
    - CBE101 - Introduction to Chemical and Biological Engineering (1)
    - CBE102 - Addressing Societal Challenges using the Tools of Chemical and Biological Engineering (1)
    - CS152L - Computer Programming Fundamentals (3)
    - CS105L - Introduction to Computer Programming (3)
    - CS251L - Intermediate Programming (3)
    - CS365 - Introduction to Scientific Modeling (3)
    - CS108L - Computer Science for All: An Introduction to Computational Science and Modeling (3)
    - CS293 - Social and Ethical Issues in Computing (1)
    - HNRS2113 - Seminar in Fine Arts as Global Perspective (3)
    - UHON301 - Honors Seminar (3)
    - UHON402 - Honors Seminar (3)
    - UHON302 - Honors Seminar (3)
    - UHON401 - Honors Seminar (3)
    - LAIS309 - Topics in Integrative Studies (1 - 3)
    - OILS418 - Creativity in the Wild (1)
    - OILS419 - Framing Wicked Problems (2)
    - OILS420 - Creativity and Technical Design (3)
    - OILS495 - Field Experience (1 - 6)
    - ARCH2151 - Design Thinking (3)
  - Up to 6 credit hours may be completed in one department, including a maximum of 3 credit hours of independent study.

**Grand Total Credits: 12**



OFFICE OF  
THE UNIVERSITY  
SECRETARY

DATE: April 25, 2023

TO: Board of Regents Student Success, Teaching, and Research Committee

FROM: Nancy D. Middlebrook, University Secretary *Nancy D. Middlebrook*

SUBJECT: Curricula Approval from the Faculty Senate

The Faculty Senate approved the proposed new Certificate in Interdisciplinary Studies at their Tuesday, April 25 28, 2023, meeting.

Please place this item on the next Board of Regents Student Success, Teaching, and Research Committee meeting agenda for consideration.

Attachments



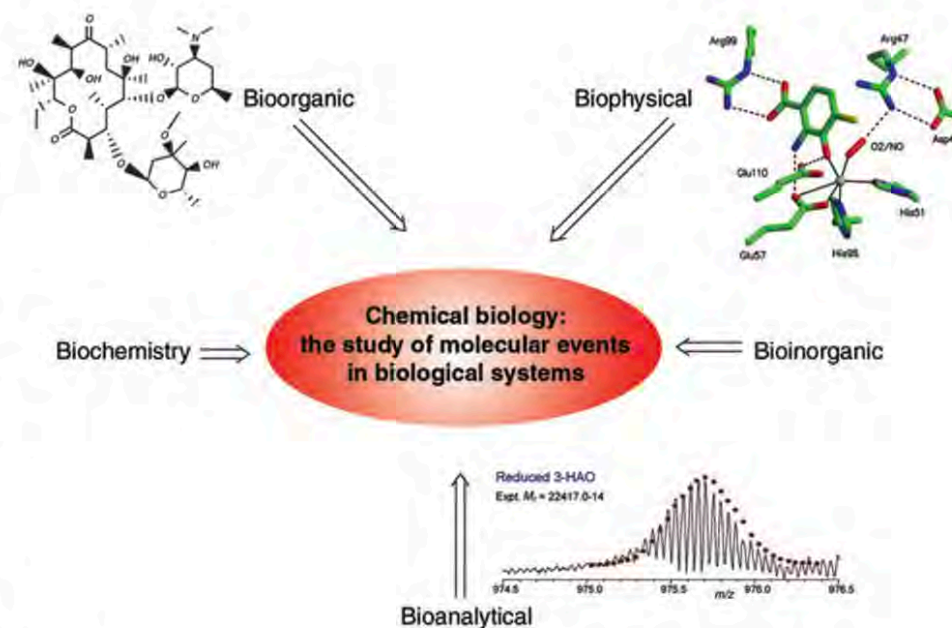
BS Chemical Biology

# Chemical biology: an educational challenge for chemistry departments

Tadhg P Begley


**Chemical biology, broadly defined as the application of chemistry to the study of molecular events in biological systems, presents an opportunity for the reorganization and revitalization of the chemistry curriculum.**

Chemistry, with its roots in alchemy, has always been deeply interested in understanding the nature of life. However, for most of the history of chemistry, this problem proved to be too complex, leaving chemists to focus on the exploration of individual reactions and simple systems that could be studied in molecular detail. Biologists, who were more comfortable studying large, complex and often poorly defined systems, adopted the problem of understanding the living state. This has now changed. The availability of efficient biomolecular syntheses, reliable protein overexpression and purification techniques, the analysis of protein modification by mass spectrometry and high-resolution macromolecular structure determination has enabled chemists to manipulate and characterize biomolecules with greater facility than small molecules could be manipulated and characterized 50 years ago. Genome sequence, transcriptome and proteome analysis and




**Figure 1** Chemical biology represents a new integration of the subdisciplines of biological chemistry.

# What is chemical biology?

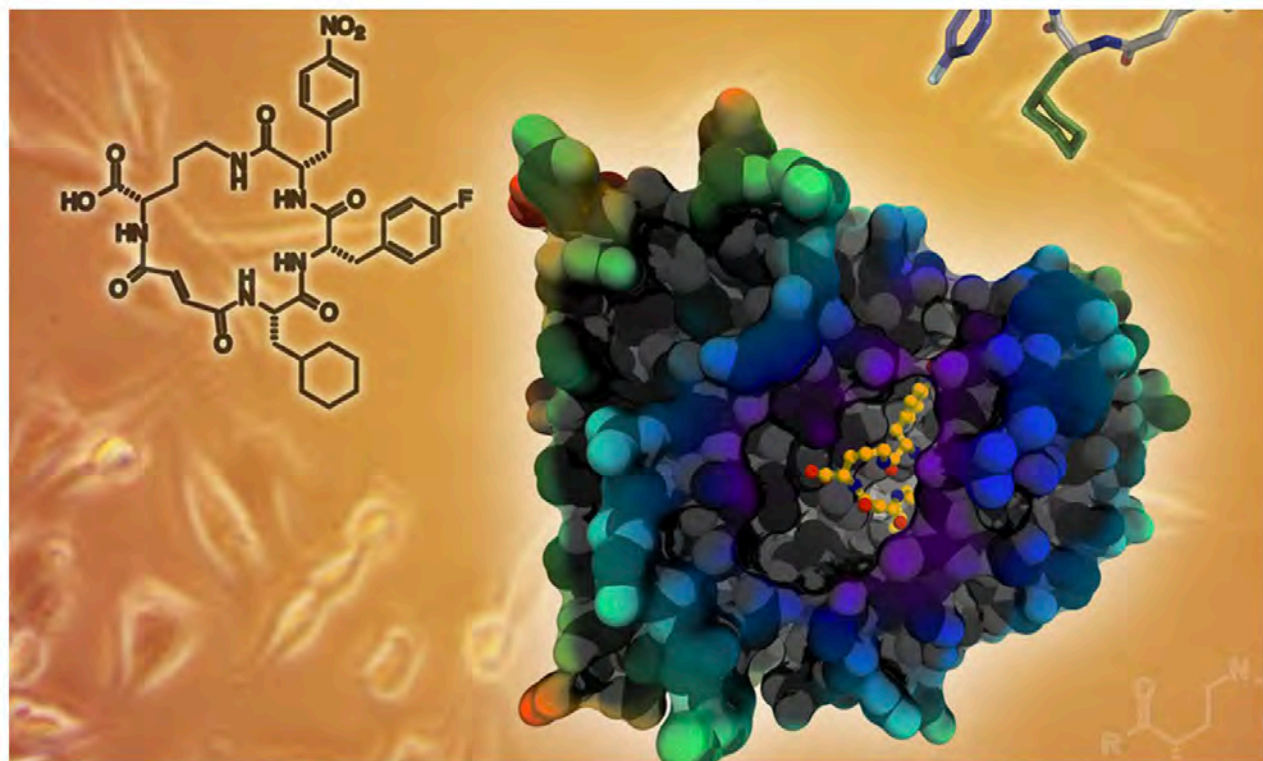
 Posted by Milka Kostic | Published June 01, 2017, 09:00

 Tweet

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- “Chemical biology is a relatively new field. It only emerged about 20 years ago when chemists became interested in applying chemistry to studying biological systems.”
- “Initially, chemical biology was a way of making new small molecules that have biological effects and understanding how biological systems make small molecules, but the discipline has grown remarkably over a short period of time, attracting attention as a pursuit for better understanding and more efficiently utilizing biology and as a way of finding better drug targets and treatment options as well as better biomarkers and diagnostic strategies.”

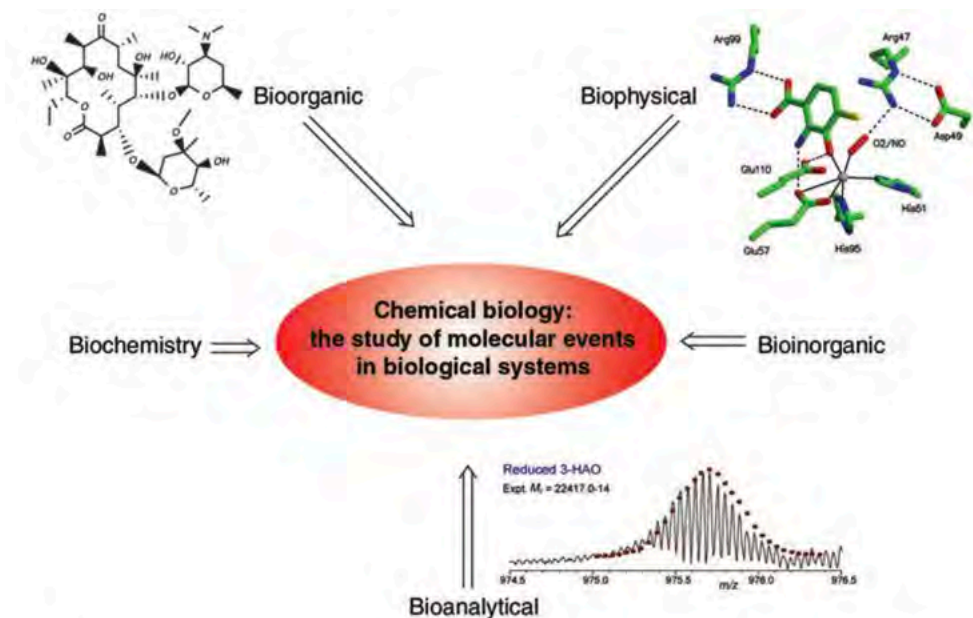
- “Many scientific disciplines escape clear definitions because they're interdisciplinary, and this is undoubtedly true for chemical biology. However, labels are useful—for getting grants, for getting published, for getting the science noticed, for getting the right students, postdocs and faculty recruited—and I don't shy away from explaining what I put the label ‘chemical biology’ on.”
- “chemical biology is a scientific discipline that has a deep, fundamental grasp of how chemical and biological matter interface.”

# The Program's major goals

1. Provide a novel degree program option for students not currently provided by other institutions within New Mexico.
2. Provide a novel degree option for students that not currently well served by the current undergraduate degree options at the UNM.
3. To generate Chemical Biology graduates who are well prepared to pursue postgraduate education and training in other science and/or professional fields (M.S., Ph.D., M.D., or Pharm.D.) at the UNM or other colleges/universities.



- The Chemical Biology major is for those students who are interested in careers as professional chemists, or in the biological sciences, which will include the biomedical, biotechnology, and pharmaceutical industries. It is important to note that this degree program for Chemical Biology will be distinct from those of Biology, Chemistry, and Biochemistry.
- Chemical biology is a **distinct discipline from biochemistry**. Biochemistry is the study of the chemistry of biological systems and primarily encompasses topics such as understanding how DNA is replicated, RNA transcribed, and proteins translated. Additionally, biochemistry studies the metabolic networks within cells that generate energy.
- Chemical Biologists could work in medicinal chemistry, cheminformatics, drug discovery. **There are many chemical biology journals that publish these works, such as *Nature Chemical Biology* and the American Chemical Society Journal Chemical Biology (ACS Chemical Biology).**
- As defined by Nature, “Chemical biology is the study of the chemicals and chemical reactions involved in biological processes, incorporating the disciplines of bioorganic chemistry, biochemistry, cell biology and pharmacology.”



**Figure 1** Chemical biology represents a new integration of the subdisciplines of biological chemistry.

# As of 2021

- There are no other Bachelor of Science degree programs for Chemical Biology offered in New Mexico or surrounding states (Arizona, Utah, Colorado, Oklahoma, and Texas). The absence of an undergraduate Chemical Biology degree program in this region necessitates the need for such an emerging multi-disciplinary undergraduate program degree. The only universities in the United States with an already existing Bachelor of Science degree program for Chemical Biology are the University of California (Berkeley, CA), Brandeis University (Waltham, MA), Cornell University (Ithaca, NY), Case Western Reserve University (Cleveland, OH), Northwestern University (Evanston, IL), Massachusetts Institute of Technology (Cambridge, MA), and Vanderbilt University (Nashville, TN).

CM Program Code

BS Chemical Biology

Under Review | Fall 2023

Proposal Information

Workflow Status
In Progress
Faculty Senate Approval, Faculty Senate
Waiting for Approval   Faculty Senate Approval
Rick Holmes
Nancy Middlebrook
expand ▲

Proposal Information

<b>Sponsoring faculty member</b> ⓘ		<b>Faculty email</b>
Jeremy Edwards		jsedward@unm.edu
<b>College</b>	<b>Department</b>	<b>Campus</b>
College of Arts & Sciences	Chemistry	Main Campus

Effective Term and Year

Proposed Effective Term and Year
Fall 2023

Justification



## Program Justification

The University of New Mexico (UNM) Department of Chemistry and Chemical Biology proposes the creation of a new Bachelor of Science Degree Program for Chemical Biology.

Chemical biology is a relatively new scientific discipline that applies concepts and techniques from the fields of biology, chemistry, physics, mathematics, engineering, medicine, and the pharmaceutical sciences, to address society's most complex questions. It involves application of chemical and fabrication techniques, with a particular focus on the synthesis and spectroscopic analysis of small molecules and proteins, with molecular and systems analysis methods, including biophysical modeling and simulation, for investigating and manipulating complex biological systems that are both natural and designed.

The United States (US) Bureau of Labor and Statistics does not yet track the scientific discipline of Chemical Biology, but lists the median salary for biochemists and biophysicists working in research and development at over \$88K in 2016. Moreover, the projected job growth for biochemists and biophysicists is faster than the national average at 11% between 2016 and 2026. Therefore, creation of a Bachelor of Science degree program for Chemical Biology will address a critical need for the formal education and laboratory training of personnel necessary for this emerging multidisciplinary scientific field.

The requirement for admission into this degree program requires 26 credit hours of prerequisite courses which is consistent with the B.S. of Chemistry requirements. Moreover, the additional upper division and elective courses satisfies the requirements for a degree as well as the 120 credit hour total.

Approval of this proposal will increase the number of opportunities for UNM students to gain knowledge in a forefront area of the biological and chemical sciences, and will lead to increased admissions because no similar programs exist on campus or within the State of New Mexico.

The Program's major goals are as follows:

1. Provide a novel degree program option for students not currently provided by other institutions within New Mexico.
2. Provide a novel degree option for students that not currently well served by the current undergraduate degree options at the UNM.
3. To generate Chemical Biology graduates who are well prepared to pursue postgraduate education and training in other science and/or professional fields (M.S., Ph.D., M.D., or Pharm.D.) at the UNM or other colleges/universities.

## Associated Forms

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### Select any associated course forms that exist

CHEM 390 - Chemical Biology Research Methods Seminar Series (1)  
CHEM 422 - Biological Chemistry / Chemical Biology II (3)  
CHEM 423 - Introduction to Synthetic Biology (3)  
CHEM 426 - Genome Technologies and Bioinformatics (3)  
CHEM 433 - Bioinorganic Chemistry (3)  
CHEM 421 - Biological Chemistry/Chemical Biology I (3)  
CHEM 424 - Bioorthogonal Chemistry: Chemical Functionalization of Biomolecules (3) | **Under Review**  
CHEM 428 - Molecular Biophysics (3)  
CHEM 422L - Biological Chemistry and Chemical Biology Laboratory Methods (3)

### Select any associated program forms that exist

## Program Category and Level

Program Category	Program Level	Degree, Minor, or Certificate Name
Program	Undergraduate	BS Chemical Biology
<b>New Graduate Program</b>	<b>Dual Degree</b>	<b>New Undergrad Degree/Certificate</b>
No	No	Yes

## Catalog Information

### Program Description

Chemical biology is a scientific discipline that applies concepts and techniques from the fields of biology, chemistry, physics, mathematics, engineering, medicine, and the pharmaceutical sciences, to address society's most complex questions. It involves application of chemical and fabrication techniques, with a particular focus on the synthesis and spectroscopic analysis of small molecules and proteins, with molecular and systems analysis methods, including biophysical modeling and simulation, for investigating and manipulating complex biological systems that are both natural and designed.

### Admissions Requirements

## College of Arts and Sciences and Department of Chemistry and Chemical Biology Undergraduate Admission Requirements

A minimum of 26 credit hours; 23 credit hours must be in courses acceptable toward graduation.

A cumulative grade point average of at least 2.00 on all work.

- Transfer students must have a 2.00 transfer GPA.
- Continuing UNM students must have a 2.00 institutional GPA.

Demonstrated academic achievement by satisfying the following:

- Completion of General Education Curriculum: Communication.
- Completion of General Education Curriculum: Mathematics and Statistics.
- Completion of General Education Curriculum: Second Language.

Completion of Department of Chemistry and Chemical Biology admission course work with grades of "C" or better:

- CHEM 1225 or 1227.
- MATH 1430 or 1512.

## Graduation Requirements

### UNM Graduation requirements for B.S. in Chemical Biology

#### University requirements

The General Education curriculum requires a minimum of 31 credit hours of courses in the following areas of study (the "Gen Ed requirement",

<http://catalog.unm.edu/catalogs/2021-2022/undergrad-program.html>):

1. Communication (6 credit hours)
2. *Mathematics and Statistics* (3 credit hours)
3. *Physical and Natural Sciences* (4 credit hours)
4. Social and Behavioral Sciences (3 credit hours)\*
5. Humanities (3 credit hours)
6. Second Language (3 credit hours)
7. Arts and Design (3 credit hours)
8. *Student Choice* (6 credit hours)

**Note-** the BS in Chemical Biology requires specific courses for areas 2, 3 and 8 which are listed below, so only **18 additional CH** are mandated by this requirement.

The university also requires a **minimum of 120 CH**, 3 CH in an approved Diversity and Inclusion course (which may also satisfy one of the above requirements) and a GPA  $\geq 2.00$ .

\* Supportive coursework may complete this requirement for general education (area 4) if you chose an economics course.

#### Arts and Sciences requirements

In addition to the university requirements, the College of A&S has the following requirements (<http://catalog.unm.edu/catalogs/2021-2022/colleges/arts-sciences/index.html>):

A&S 4. A minimum of 90 CH of courses taught by Arts and Sciences departments.

A&S 5. A major and minor or a double major, or one of the special curricula of the College. At least one of which must be housed within the College of Arts and Sciences.

A&S 6. One year of enrollment subsequent to the transition to Major status the College of Arts and Sciences with a minimum of 12 earned CH.

A&S 7. At least 48 CH of upper-division (3xx and 4xx) coursework (GPA  $\geq 2.00$ ) on all upper-division CH accepted by the College of Arts and Sciences.

Note- most students should easily meet requirement A&S 4, since most Gen Ed courses (above) and all specific degree requirements (below) are taught by A&S departments.

Required upper division coursework for the BS Chemical Biology totals 31 CH, so 17 additional CH are needed to meet requirement A&S 7.

#### Specific degree requirements

##### Supporting program

###### Number Title CH

**MATH 1430** (or 1512) Applications of Calculus I 3

**MATH 1440** (or 1522) Applications of Calculus II 3

**MATH 1350** (or STAT 345) Introduction to Statistics 3

Total 9

##### Physics

###### Number Title CH

**PHYS 1230** (or 1310) Algebra-based Physics I 3

**PHYS 1230L** (or 1310L) Algebra-based Physics I Laboratory 1

**PHYS 1240** (or 1320) Algebra-based Physics II 3

**PHYS 1240L** (or 1320L) Algebra-based Physics II Laboratory 1

Total 8

### **Biology**

#### **Number Title CH**

**BIOL 2101** Principles of Biology: Molecules to Cells 3

**BIOL 2102** Principles of Biology: Organisms to Ecosystems 3

**BIOL 2103** Principles of Biology: Introductory Laboratory 1

Total 7

**Total 24**

### **Major program**

#### **Number Title CH**

**CHEM 1215** (or 1217) General Chemistry I for STEM Majors 3

**CHEM 1215L** General Chemistry I for STEM Majors Laboratory 1

**CHEM 1225** (or 1227) General Chemistry II for STEM Majors 3

**CHEM 1225L** General Chemistry II for STEM Majors Laboratory 1

**CHEM 2310C** Quantitative Analysis Lecture and Laboratory 4

**CHEM 301** Organic Chemistry 3

**CHEM 302** Organic Chemistry 3

**CHEM 303L** Organic Chemistry Laboratory 1

**CHEM 304L** Organic Chemistry Laboratory 1

**CHEM 315** Introductory Physical Chemistry 4

CHEM 421 Biological Chemistry and Chemical Biology 3

CHEM 422 Biological Chemistry and Chemical Biology 3

CHEM 422L Biological Chemistry and Chemical Biology Laboratory Methods 3

CHEM 433 Bioinorganic Chemistry 3

CHEM 390 Chemical Biology Research Methods Seminar Series 1

**Total 37**

Plus 6 CH of upper-division electives from the following list gives a total of 43 CH in the major and 32 CH of upper-division courses.

### **Upper Division Chemistry Elective Courses**

CHEM 423: Introduction to Synthetic Biology

CHEM 424: Bioorthogonal Chemistry: Chemical Functionalization of Biomolecules

CHEM 426: Genome Technologies and Bioinformatics

CHEM 428: Molecular Biophysics

CHEM 431: Advanced Inorganic

CHEM 452: Polymer Chemistry

CHEM453L - Analytical Instrumentation: Theory and Application

CHEM 457: Environmental Chemistry

The degree then has a total of 18 GenEd + 24 supporting program + 43 major program = 85 total credit hours, leaving 35 CH (from the other supporting courses) to reach the minimum of 120 CH for a bachelor's degree (including 17 CH of upper-division courses for the A&S requirement).

### **Other Supporting Courses**

- Earn at least 35 credits from the following:
  - BIOL2210 - Human Anatomy and Physiology I (3)
  - BIOL2210L - Human Anatomy and Physiology I Laboratory (1)
  - BIOL300C - Evolution (4)

- BIOL301C - Molecular and Cellular Biology (4)
- BIOL302C - Genes to Genomes: Lecture and Laboratory (4)
- BIOL303 - Ecology and Evolution (3)
- BIOL304 - Plant and Animal Form and Function (3)
- BIOL303L - Ecology and Evolution Laboratory (1)
- BIOL304L - Plant and Animal Form and Function Laboratory (1)
- BIOL310C - Principles of Ecology (3)
- BIOL351 - General Microbiology (3)
- BIOL352L - General Microbiology Laboratory (1)
- BIOL425 - Molecular Genetics (3)
- BIOL445 - Biology of Toxins (3)
- BIOL456 - Immunology (3)
- BIOL482L - Parasitology (4)
- BIOL490 - Biology of Infectious Organisms (3)
- BIOL491 - Population Genetics (3)
- MATH314 - Linear Algebra with Applications (3)
- MATH316 - Applied Ordinary Differential Equations (3)
- MATH375 - Introduction to Numerical Computing (3)
- MATH471 - Introduction to Scientific Computing (3)
- PHYS301 - Thermodynamics and Statistical Mechanics (3)
- PHYS303 - Analytical Mechanics I (3)
- PHYS466 - Methods of Theoretical Physics I (3)
- PHYS491 - Intermediate Quantum Mechanics I (3)
- CHEM423 - Introduction to Synthetic Biology (3)
- CHEM424 - Bioorthogonal Chemistry: Chemical Functionalization of Biomolecules (3)
- CHEM426 - Genome Technologies and Bioinformatics (3)
- CHEM431 - Advanced Inorganic Chemistry (3)
- CHEM453L - Analytical Instrumentation: Theory and Application (4)
- CHEM457 - Environmental Chemistry (3)
- ECON2110 - Macroeconomic Principles (3)
- ECON2120 - Microeconomic Principles (3)
- 
- 

## Program Information

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### Degree Type

Bachelor of Science

### Degree/Certificate Type

Undergraduate

### CIP Code ⓘ

26.0501

### CIP Title ⓘ

Chemistry, General

## Professional Credential/Licensure Program Information

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### Licensure Information

Neither

## File Uploads

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### Proposal File Upload

- Full Proposal (3-6-23).docx

### Executive Summary Upload

- BS in Chemical Biology Exec summary.docx

### Associate Provost Memo

- ProvostLetter\_Chemical Biology 10\_29\_21.pdf

## Degree Information

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### Degree Hours

120

### Minimum Major Hours

43

### Professional Accrediting Bodies

## Degree Requirements

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## Requirements

### Major

43

Total Credits

- Complete all of the following
  - Earned a minimum grade of C in each of the following:
    - CHEM1215 - General Chemistry I for STEM Majors (3)
    - CHEM1215L - General Chemistry I for STEM Majors Laboratory (1)
    - CHEM1225 - General Chemistry II for STEM Majors (3)
    - CHEM1225L - General Chemistry II for STEM Majors Laboratory (1)
    - CHEM2310C - Quantitative Analysis Lecture and Laboratory (4)
    - CHEM301 - Organic Chemistry (3)
    - CHEM302 - Organic Chemistry (3)
    - CHEM303L - Organic Chemistry Laboratory (1)
    - CHEM304L - Organic Chemistry Laboratory (1)
    - CHEM421 - Biological Chemistry/Chemical Biology I (3)
    - CHEM422 - Biological Chemistry / Chemical Biology II (3)
    - CHEM433 - Bioinorganic Chemistry (3)
    - CHEM390 - Chemical Biology Research Methods Seminar Series (1)
    - CHEM315 - Introductory Physical Chemistry (4)
    - CHEM422L - Biological Chemistry and Chemical Biology Laboratory Methods (3)
  - Earn at least 6 credits from the following:
    - CHEM423 - Introduction to Synthetic Biology (3)
    - CHEM424 - Bioorthogonal Chemistry: Chemical Functionalization of Biomolecules (3)
    - CHEM426 - Genome Technologies and Bioinformatics (3)
    - CHEM431 - Advanced Inorganic Chemistry (3)
    - CHEM457 - Environmental Chemistry (3)
    - CHEM452 - Polymer Chemistry (3)
    - CHEM453L - Analytical Instrumentation: Theory and Application (4)

### Supportive Program

59 - 61

Total Credits

No Rules

### Statistics

3

Total Credits

- Earned a minimum grade of C in at least 1 of the following:
  - MATH1350 - Introduction to Statistics (3)
  - STAT345 - Elements of Mathematical Statistics and Probability Theory (3)

### Math

6 - 8

Total Credits

- Complete 1 of the following
  - Earned a minimum grade of C in each of the following:
    - MATH1430 - Applications of Calculus I (3)
    - MATH1440 - Applications of Calculus II (3)
  - Earned a minimum grade of C in each of the following:

- MATH1512 - Calculus I (4)
- MATH1522 - Calculus II (4)

## Physics

8

Total Credits

- Complete 1 of the following
  - Earned a minimum grade of C in each of the following:
    - PHYS1230 - Algebra-Based Physics I (3)
    - PHYS1230L - Algebra-Based Physics I Laboratory (1)
    - PHYS1240 - Algebra-Based Physics II (3)
    - PHYS1240L - Algebra-Based Physics II Laboratory (1)
  - Earned a minimum grade of in each of the following:
    - PHYS1310 - Calculus-Based Physics I (3)
    - PHYS1310L - Calculus-Based Physics I Laboratory (1)
    - PHYS1320 - Calculus-Based Physics II (3)
    - PHYS1320L - Calculus-Based Physics II Laboratory (1)

## Biology

7

Total Credits

- Earned a minimum grade of C in each of the following:
  - BIOL2101 - Principles of Biology: Molecules to Cells (3)
  - BIOL2102 - Principles of Biology: Organisms to Ecosystems (3)
  - BIOL2103L - Principles of Biology: Introductory Laboratory (1)

## Other Supportive Coursework

35

Total Credits

- Earn at least 35 credits from the following:
  - BIOL303 - Ecology and Evolution (3)
  - BIOL304 - Plant and Animal Form and Function (3)
  - BIOL303L - Ecology and Evolution Laboratory (1)
  - BIOL304L - Plant and Animal Form and Function Laboratory (1)
  - BIOL445 - Biology of Toxins (3)
  - BIOL456 - Immunology (3)
  - BIOL482L - Parasitology (4)
  - BIOL490 - Biology of Infectious Organisms (3)
  - BIOL425 - Molecular Genetics (3)
  - BIOL491 - Population Genetics (3)
  - MATH314 - Linear Algebra with Applications (3)
  - MATH316 - Applied Ordinary Differential Equations (3)
  - MATH375 - Introduction to Numerical Computing (3)
  - MATH471 - Introduction to Scientific Computing (3)
  - PHYS301 - Thermodynamics and Statistical Mechanics (3)
  - PHYS303 - Analytical Mechanics I (3)
  - PHYS466 - Methods of Theoretical Physics I (3)
  - PHYS491 - Intermediate Quantum Mechanics I (3)
  - CHEM453L - Analytical Instrumentation: Theory and Application (4)



- CHEM423 - Introduction to Synthetic Biology (3)
- CHEM424 - Bioorthogonal Chemistry: Chemical Functionalization of Biomolecules (3)
- CHEM426 - Genome Technologies and Bioinformatics (3)
- CHEM431 - Advanced Inorganic Chemistry (3)
- CHEM457 - Environmental Chemistry (3)
- BIOL2210 - Human Anatomy and Physiology I (3)
- BIOL2210L - Human Anatomy and Physiology I Laboratory (1)
- ECON2110 - Macroeconomic Principles (3)
- ECON2120 - Microeconomic Principles (3)
- BIOL302C - Genes to Genomes: Lecture and Laboratory (4)
- BIOL301C - Molecular and Cellular Biology (4)
- BIOL300C - Evolution (4)
- BIOL351 - General Microbiology (3)
- BIOL352L - General Microbiology Laboratory (1)
- BIOL310C - Principles of Ecology (3)

## Additional Requirements

18

Total Credits

- Earn at least 18 credits from the following types of courses:

Students must at least 120 credit hours to complete this degree. In addition to the major courses, students must also earn 16 additional credit hours to complete any General Education requirements not already satisfied by the courses listed above.

**Grand Total Credits: 120 - 122**

## Concentrations

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### Program Concentrations

**Code**

**Title**

### Concentration Required

No

## Emphases

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### Emphasis required ⓘ

### Emphasis Hours

No

## **Emphasis Rules**

No Rules

## **Sample Degree Plan**

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### **Sample Degree Plan Upload**

- 4yrPlan.docx

## **Program Learning Outcomes**

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## Learning Outcomes

The students will develop basic knowledge of the combined major fields (biology, chemistry, and physics) and a broad knowledge of the various associated interdisciplinary subfields that include medicinal chemistry, cell biology, biochemistry, molecular biophysics, protein crystallography, computational genomics, and proteomics. The students will demonstrate their basic knowledge through formal written assessments, practical laboratory experimentation, and before graduation having the opportunity to perform original research with departmental faculty and staff.

The successful student will develop effective oral and communication skills as indicated:

1. Compose complete and grammatically correct sentences.
2. Express ideas in clear language appropriate to the format and audience.
3. Communicate complex concepts and ideas in an understandable manner.
4. Present ideas and information in a logically organized manner.
5. Adhere to legal and ethical standards for citing and crediting the intellectual property.
6. Establish rapport, engage attention, and elicit information through use of effective verbal, nonverbal, explanatory, questioning and listening skills.
7. Speak in a respectful manner (attentive, non-judgmental, non-threatening, and compassionate).
8. Express thoughts accurately that are appropriate for the situation and audience.

The successful student will develop an ability to integrate knowledge and use statistical analysis, mathematical methods, and computational models to solve problems as indicated:


1. Differentiate between relevant and irrelevant information to clarify the problem(s).
2. Explore/research multiple resources as needed to gather data and answer the question.
3. Analyze data to synthesize all relevant information and draw defensible conclusion(s).
4. Develop solution/decision/ conclusion/recommendation based on data.
5. Recommend or implement solution.
6. Demonstrate ability to monitor and refine effectiveness of solution.
7. Demonstrate understanding and competence in the mathematical, statistical, and computational techniques for modeling and simulating biochemical and biophysical interactions;
8. Demonstrate understanding of how the principles of physics (mechanics, electrostatics, thermodynamics, and kinetics) are applied to the study of biochemical and biophysical systems, interactions, chemical reactions, dynamics, and biological function and regulation.
9. Demonstrate understanding of the chemistry of the cell, biological organization, single-molecule and ensemble dynamics, and broadly speaking, the impact of the biological milieu on molecular interactions.

The successful student will develop an ability to identify and exhibit ethical, socially responsible, and be exhibit socially acceptable behaviors in the classroom, workplace, and society in general as indicated.

1. Demonstrate awareness of cultural and social diversity through interactions with students, staff, and faculty.
2. Demonstrate sensitivity to personal values and ethical principles in professional and social contexts.

DATE: April 25, 2023

TO: Board of Regents Student Success, Teaching, and Research Committee

FROM: Nancy D. Middlebrook, University Secretary 

SUBJECT: Curricula Approval from the Faculty Senate

The Faculty Senate approved the proposed new BS in Chemical Biology at their Tuesday, April 25, 2023, meeting.

Please place this item on the next Board of Regents Student Success, Teaching, and Research Committee meeting agenda for consideration.

Attachments



## **Board of Regents of the University of New Mexico Security Managerial Group Resolution**

Pursuant to requirements of the National Industrial Security Program (NISP), the Board of Regents adopts the following resolution:

1. Those persons occupying the following positions at the University of New Mexico (UNM) shall be known as the Managerial Group for safeguarding classified information. They shall implement the Provisions of the National Industrial Security Program Operating Manual (NISPOM).

President  
Provost  
Facility Security Officer

2. The members of the Managerial Group have been processed, or will be processed for a personnel clearance (PCL) for access to classified information, to the level of the Facility Clearance (FCL) granted to this Institution, as provided in the NISPOM (32 CFR Part 117). Individuals will be denied access to classified information until such time that their clearances are granted.
3. The Board of Regents hereby delegates all of the Board's duties and responsibilities pertaining to the protection of classified information under classified contracts awarded to the University of New Mexico, including its Health Sciences Center and Branch Campus locations, to the Managerial Group. In addition, the Managerial Group shall have the authority and responsibility of for the negotiation, execution, and administration of the contracts, consistent with UNM policy, state, and federal law.
4. The following named members of the Board of Regents shall not require, shall not have, and will be effectively excluded from access to all classified information disclosed to the University of New Mexico. The duties and responsibilities of the Board of Regents as a policy-making body do not require access to classified contracts awarded to the University of New Mexico, and therefore need not be processed for a personnel clearance (PCL).

Regent Paul Blanchard  
Regent Jack L. Fortner  
Regent Randy Ko (Student Regent)  
Regent William H. Payne  
Regent Kimberly Sanchez Rael  
Regent Robert L. Schwartz  
Regent Paula Tackett

This resolution is approved by the Board of Regents of the University of New Mexico on May 11, 2023 and supersedes all previous Security Managerial Group Resolutions. A copy of this resolution will be furnished to the Defense Counterintelligence and Security Agency.

---

Kim Sanchez Rael  
Chair of the Board of Regents

## C70: Confidentiality of Faculty Records

Approved By: Faculty Senate and Board of Regents

Effective Date: Draft 4/13/23

Responsible Faculty Committee: Faculty Senate Policy Committee

Office Responsible for Administration: Provost's Office of Academic Affairs or Vice President for Health Sciences' Office Academic Affairs.

Revisions to the Policy Rationale, Policy Statement, and Applicability sections of this document must be approved by the full Faculty Senate

### POLICY RATIONALE

Although UNM maintains a variety of information applicable to faculty members, it is important to differentiate between information that is placed in a faculty member's "Faculty Personnel Files" and files maintained by components of UNM for reporting and/or documentation purposes. Additional files may exist containing information pertaining to individual faculty members that are maintained by administrative offices, units, subunits, and branches under the ultimate authority of the President of UNM. Such files are not Faculty Personnel Files under this Policy, whether in written or electronic form. Faculty Personnel Files must contain, and are limited to, any written information used to any degree in making a decision concerning the employment, rank, or status of a faculty member. This Policy identifies the type of information that may be placed in Faculty Personnel Files, the location of those files, confidentiality of the information, and rights and responsibilities pertaining to the Faculty Personnel Files. This Policy also discusses how faculty information that is subject to the Inspection of Public Records Act is handled.

### POLICY STATEMENT

Faculty Personnel Files concerning UNM faculty shall be gathered, retained, disclosed, and used by academic or administrative units subject to the rules stated in this Policy. Agreements reached by collective bargaining will hold precedence over any element of this Policy for faculty members covered by a collective bargaining agreement.

#### 1. Collection and Retention of Information

**1.1** No more than one (1) personnel file shall be maintained in each of the following locations:

- (1) the faculty member's department (or other primary academic unit),
- (2) the faculty member's college,
- (3) and/or in the office of the Provost or Executive Vice President for Health Sciences (EVPHS).

Faculty Personnel Files shall be compiled or retained by UNM academic or administrative units solely for the purposes of administering the UNM personnel system, including the consideration of promotion, tenure, and separation from employment.

**1.2** An item of information may be introduced into a faculty personnel file only if accompanied by written identification of the source of the information subject to the right of peer or student evaluators to keep their identities confidential from the faculty member evaluated as provided in section **2.2** herein.

**1.3** Administrators with responsibility for the creation of Faculty Personnel Files identified in section **1.1** herein above are responsible to maintain the file and its security during the faculty member's employment at UNM and at least five (5) years thereafter (files of emeritus faculty shall be maintained during the faculty member's lifetime and at least two (2) years thereafter).

**1.4** The administrative officer responsible for maintaining Faculty Personnel Files shall notify faculty in writing of any material deleted from their Faculty Personnel Files, the nature of the material and the reason for the action. In a similar manner, faculty shall be notified in writing of any material added to their file(s) with respect to which they have not been copied. Faculty members have the right to know and the responsibility to examine their Faculty Personnel Files.

**1.5** With the exception of the faculty member's Dossier as described in Section B policies of the *Faculty Handbook*, other information outside of the Faculty Personnel Files may not be used for making a decision regarding faculty promotion, tenure, and separation from employment.

**1.6** Information that is maintained by UNM administration is subject to applicable federal and state laws and regulations.

## **2. Access to Information by the Faculty Member**

**2.1** Each faculty member has the right to inspect and review their Faculty Personnel Files, and any record or file maintained on the faculty member by UNM, subject to the provisions of this Policy and any limitations imposed by law, within ten (10) working days from the date of the request. If additional time is needed to produce a record for inspection, the faculty member shall be informed in writing of the reason for the delay and the date such record will be available. Each faculty member has the right to challenge the accuracy of any item of information in their Faculty Personnel Files, including the right to introduce rebuttal statements or evidence into the files, or to request an investigation of, or the completion of incomplete investigations of, accusations of misconduct. The administrative officer shall respond to a faculty member's request to revise, amend, or delete items, and/or request for a completed investigation of accusations of misconduct, within ten (10) working days of receiving the request. If the request is denied, the administrative officer shall state in writing the reasons for the denial. The administrative officer will submit a copy of their decision to the dean and Provost Office/Office of the EVPHS, and the faculty member can submit a response in writing to the decision. If the administrative officer decides not to revise, amend, or delete items, and/or honor the request for a completed investigation of accusations of misconduct, that decision must be approved by the dean and the Provost's Office/ Office of the EVPHS. The administrative

review process delineated herein does not replace the right to appeal such action if it is within the purview of the Academic Freedom and Tenure Committee (AF&T).

**2.2** UNM respects the desire of many authors of faculty evaluations to keep their identities confidential, including from the faculty member being evaluated. Such matters of opinion include letters of reference for employment, internal peer evaluations of a faculty member, student evaluations, letters received from peer reviewers outside the University, as well as those portions of the recommendations of the faculty member's chair or dean or the Senior Vice Provost that may reveal the identities of peer or student evaluations.

**a.** If a faculty member makes a request to review and/or copy such evaluations, an academic administrator, prior to permitting such review or copying, shall redact material that may reveal the identity of the author. If it does not appear feasible to protect the identity of the author through redaction, the document may be accurately summarized in writing for the faculty member instead. If the author of an evaluation submits a written waiver of confidentiality, the evaluation may be reviewed or copied by the faculty member without redaction. If requested by the faculty member, a member of the Provost/EVPHS office and a member of the Committee on Academic Freedom and Tenure designated by the Chair of the Committee shall verify the accuracy of the redacted or summarized documents.

**b.** If such information is requested by a third party, the faculty member shall be advised of the request prior to the release of the information.

**2.3** To the extent information is confidential under this Policy, or privileged under law (for example, materials subject to attorney-client privilege), it shall not be available for inspection by the faculty member. Such information shall be retained separately and shall be available for inspection only by UNM officials granted access, by virtue of their assigned duties the information requested. In the case of privileged information, the administrative officer of the academic or administrative unit maintaining the files shall introduce a memorandum that states the nature of the privileged information, its date and source and the type of privilege asserted.

**2.4.** Any confidential medical information maintained by UNM shall be protected in a manner consistent with applicable state and federal laws.

**2.5.** Consistent with UAP Policy 3710, faculty information shall be made available only to those members of the Administration who have a legitimate business reason to view the information.

**2.6** The Academic Freedom and Tenure Committee and the University Secretary may have access to Faculty Personnel Files when an issue is appealed to AF&T in accordance with Section B of the *Faculty Handbook*.

### **3. Public Access to Information about Faculty Members**

**3.1** Any person is entitled to the following public information maintained by UNM concerning a faculty member: present position, department, salary, dates of employment, and curriculum vitae, including educational qualifications, past employment, progress at UNM (initial employment, promotions, attainment of tenure, sabbaticals), publications, news items, awards



and achievements. A faculty member may give written authority for the release of other information, said letter to be maintained in the faculty member's faculty personnel file.

**3.2** Other than as provided in section **3.1** herein, records or information concerning a faculty member shall be made available by the custodian of a file of record only to those members of UNM who have an official role in the evaluation of that faculty member for purposes of employment, rank, status, salary, or other such decisions and whose role makes it necessary for them to know the contents of that faculty member's Faculty Personnel Files.

**3.3** All requests for information from persons who are not members of UNM shall be referred to UNM Custodian of Public Records, which will coordinate a proper response with the appropriate Academic Affairs Office. The Custodian shall attempt to advise the faculty member by email and phone of the request for the release prior to the release of any records. In coordination with the Custodian, the appropriate Academic Affairs Office shall make available for inspection upon request the releasable information concerning a faculty member. A record of the name and address of any member of the public making such request shall be kept in the faculty member's faculty personnel file at the appropriate Academic Affairs office and be available for inspection by the faculty member.

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## APPLICABILITY

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All UNM faculty including the Health Sciences and branch community colleges.

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## DEFINITIONS

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No specific definitions are required for the Policy Statement.

Revisions to the remaining sections of this document may be amended with the approval of the Faculty Senate Policy and Operations Committee in consultation with the responsible Faculty Senate Committee listed in Policy Heading.
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## WHO SHOULD READ THIS POLICY

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- Faculty
- Department Chairs, academic deans and other academic administrators and executives.

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## RELATED DOCUMENTS

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UNM Regents Policy Manual

Policy **2.9** "University Archives and Records"

Policy **2.17** "Public Access to University Records"

Policy **5.7** "Confidentiality of Faculty Records"

Policy **6.7** "Disclosure of Information About Candidates for Employment"

Policy **6.8** "Disclosure of Information about Employees"

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## CONTACTS

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Direct any questions about this policy to the Provost’s Office of Academic Affairs or Vice President for Health Sciences Office of Academic Affairs.

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## PROCEDURES

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The following table lists allowable documents to be placed in a faculty member’s Faculty Personnel Files and where the various documents are housed.

<b>Documents</b>	<b>Department File</b>	<b>College School File</b>	<b>Academic Affairs File</b>	<b>Human Resources Files</b>
Offer letter	X	X	X	
Annual performance reviews	X	X		
Response/rebuttal to annual review	X			
Annual performance review summaries		X	X	
Peer teaching observations	X			
CV	X	X	X	
Tenure and promotion documents, including <ul style="list-style-type: none"> <li>Chair’s &amp; Dean’s letter, department and College committee recommendations</li> </ul>		X		
Tenure and promotion dossier (rpt archive, including: <ul style="list-style-type: none"> <li>Chair’s, Dean’s, SVP, and Provost’s letter, department, college &amp; Provost committee recommendations, external letters</li> <li>Teaching portfolio, scholarly/creative work exemplars, etc.</li> </ul>			X	
Personnel Actions – initiated at dept level	X			
Personnel Actions		X	X	
Sabbatical leave request	X	X	X	

Sabbatical report		X	X	
Parental leave request	X	X	X	
Special Administrative Compensation (SACs)	X	X	X	
Faculty discipline (C07): Chair's written report – summary	X			
Faculty discipline (C07): Dean's notice – uphold, modify or reverse disciplinary action		X		
Faculty discipline (C07): Provost's notice – uphold, modify or reverse disciplinary action			X	
Censure, suspension without pay, notice of dismissal	X	X	X	
Benefits				X
W2				X
Retirement				X
I9				X

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### DRAFT HISTORY

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April 14, 2023 – Revised draft to address concerns regarding the process for a faculty member to request revisions, amendments, and/or deletion of items in personal files. Also addresses concerns regarding the ability to request that an investigation in to misconduct be completed.  
October 26, 2021 – Revised draft to address faculty concerns regarding C07 and Collective Bargaining Agreements.

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### HISTORY

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*(adopted by the Faculty Senate 4/15/80 and the Regents 7/28/81) Revisions approved by the Faculty Senate 3/25/03 as recommended by the Academic Freedom and Tenure Committee; approved by the Faculty Senate 4/22/03; approved by the Regents 5/16/003; Revisions approved by the Faculty Senate October 27, 2009; approved by the Regents December 15, 2009.*

**MEMORANDUM TO ADVANCE  
COMMITTEE AGENDA ITEM TO  
THE BOARD OF REGENTS  
THE UNIVERSITY OF NEW MEXICO**

**TO:** Members of the Finance and Facilities Committee

**FROM:** James Holloway, Provost & EVP for Academic Affairs  
Teresa Costantinidis, EVP of Finance & Administration

**DATE:** May 9, 2023

**RE:** **Five-Year Capital Plans**

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**RECOMMENDED ACTION:**

Recommend to the Board of Regents Finance & Facilities Committee the following:

1. Five-Year Capital Plans, detailing projects which will construct and/or significantly improve and renew numerous facilities on The University of New Mexico Campuses.

Thank you for your consideration.

# The University of New Mexico

## Five-Year Capital Plan



## New Mexico Department of Higher Education

### 2024-2028 Five Year Capital Project Funding Plan

**INSTITUTION:**

The University of New Mexico

**DATE:**

4/17/2023

Institution acronym	FY24 Funding Priority #	Overall Funding Priority #	Project Title	Description	Year Project Funding will be requested	Full Project	Phase	Total Cost of Project or Phase	TOTAL Request from State	GOB	STB	Other	Percent of GOB or STB	Percent of Other Funding Source	Description of Other Funding Source	New Construction	Renovation	Square Footage (GSF)
<b>Year 1</b>																		
<b>2024 (GOB Year)</b>																		
UNM	1	1	Humanities and Social Sciences Complex	Replacement facility to house the Humanities and Social Sciences programs for the College of Arts & Sciences	2024	X		\$ 120,000,000	\$ 110,000,000	X		X	92%	8%	Fundraising	X		120,500
UNM	2	2	Demolition Request: Humanities & Ortega Hall	Removal of Humanities & Ortega Hall to allow for new HSSC facility	2024	X		\$ 5,868,395	\$ 5,868,395			X		100%	One-Time Demo Funding			120,500
UNM	3	3	Seidler Natatorium Improvements	Improvements to the Seidler Natatorium Threapy Pool at Johnson Center	2024	X		\$ 6,900,000	\$ 6,900,000		X	X	70%	30%	Other Fundings		X	35,550
UNM		4	NM Research Innovation Center @ UNM (NMRIC@UNM) Phase 1	A research collaborative center between New Mexico's premier research institutions to lead the Nation in areas critical to the State.	2025		X	\$ 30,000,000	\$ -			X		100%	Other Fundings	X		TBD
UNM		5	IT Technology Refresh	Technology refresh: network, learning environments, servers, and infrastructure	2024	X		\$ 5,000,000	\$ 5,000,000		X		100%	0%			X	N/A
UNM		6	IFDM/Mesa del Sol HVAC	HVAC replacement - safety improvements to address refrigeration leaks, excessive noise levels for recording/teaching work and electrical harmonics issues.	2024	X		\$ 2,500,000	\$ 2,500,000			X	0%	100%	One-Time BR&R		X	N/A
UNM		7	Utilities Tie Feeder Line Upgrades	Upgrade and installation power feeders to bridge to North campus	2024	X		\$ 2,000,000	\$ 2,000,000		X	X	100%	0%		X	X	N/A
UNM		8	Facility Investment Needs (FIN) Repairs & Renovations	Facility Investment Needs (FIN) Repairs & Renovations across campus		X		\$ 10,000,000	\$ -			X		100%	BR&R		X	TBD
UNM		9	School of Public Health	New Facility for the School of Public Health	2024	X		\$ 50,000,000	\$ 50,000,000	X			100%	0%		X		TBD
UNM		10	STEM Space Planning (A&S, COEHS and SOE needs)	Planning for STEM space needs and anticipated building renovations or new construction for the combination of SOE Mechanical Engineering building, ECE building and Biology lab space renovations for related to current and prospective faculty hiring.	2024		X	\$ 300,000	\$ 300,000		X		100%	0%				TBD
UNM		11	A&S Comprehensive Facilities Plan	Comprehensive facility planninfr for College of Arts & Sciences including facilities, buildings and infrastructure.	2024		X	\$ 300,000	\$ 300,000		X		100%	0%				740,000
UNM		12	Predock Center for Design & Research Phase 2	Complete upgrade to make center functional	2024		X	\$ 630,000	\$ 630,000		X		100%	0%			X	13,137
UNM		13	Earth & Planetary Sciences Meteoritics Museum Upgrades	Institute for Meteoritics Renewal/Upgrades	2024	X		\$ 400,000	\$ 400,000		X		100%	0%			X	
UNM		14	Northrop Hall Radiogenic Isotopes Lab HVAC	Reconditioning of the existing HVAC unit for the Radiogenic Isotopes Lab in Northrop Hall	2024	X		\$ 375,000	\$ -			X	0%	100%	BR&R		X	N/A
UNM		15	Popejoy Hall 10 Year Feasibility Study	Feasibility Study for future Popejoy Hall needs	2024	X		\$ 100,000				X		100%	Auxiliary Capital Funds			N/A
UNM		16	Data Center	Shared data center with Center for Advanced Research Computing, University Hospital and others.	2024	X		\$ 9,000,000	\$ -			X		100%	Institutional Bond	X		TBD
UNM		17	Parking Structure(s)	1-2 parking structures to be built on the central UNM Campus	2024	X		\$ 30,000,000	\$ -			X		100%	Institutional Bond	X		TBD
UNM		18	Portfolio Reduction: Humanities & Ortega Hall	Demolition of non-historic facilities, beyond useful life and in need of major structural, system, Life/Safety or ADA upgrades beyond the value of the facility	2024	X		\$ 5,868,395	\$ 5,868,395			X		100%	Other Fundings			12,200
UNM		19	Residential Life & Student Housing	Improve facilities to accommodate student needs: Coronado Hall, Hokona-Zia Hall, DeVargas Hall, Laguna Hall, Redondo Village, Santa Clara	2024	X		\$ 18,900,000				X		100%	Institutional Bond		X	TBD
UNM		21	Dining and Food Services	Conduct venue specific upgrades per Chartwells Contract	2024	X		\$ 300,000				X		100%	Chartwell Capital Funds		X	
UNM		22	La Posada Dining Hall	La Posada Dishwasher replacement and dishroom renovation	2024	X		\$ 650,000				X		100%	Auxiliary Capital Funds		X	
UNM		23	Lobo Grill	Lobo Grill interior refresh	2024	X		\$ 250,000				X		100%	Auxiliary Capital Funds		X	
UNM		24	Golf Course Pavilion	Golf Course Pavilion Refresh	2024	X		\$ 900,000				X		100%	Auxiliary Capital Funds		X	



## New Mexico Department of Higher Education

### 2024-2028 Five Year Capital Project Funding Plan

INSTITUTION:				The University of New Mexico					DATE:							4/17/2023		
Institution acronym	FY24 Funding Priority #	Overall Funding Priority #	Project Title	Description	Year Project Funding will be requested	Full Project	Phase	Total Cost of Project or Phase	TOTAL Request from State	GOB	STB	Other	Percent of GOB or STB	Percent of Other Funding Source	Description of Other Funding Source	New Construction	Renovation	Square Footage (GSF)
UNM		25	Student Union Building Roof Replacement	Replacement of the remaining portion of the SUB roof	2024	X		\$ 1,250,000				X		100%	Minor Capital		X	N/A
UNM		26	Track/Soccer Stadium	Develop a stadium for track and soccer teams	2024		X	\$ 400,000			X		100%			X		TBD
UNM		27	Football Practice field Repair	Renovate existing football practice fields by replacing artificial turf field and grade and re-sod grass. Install drainage and irrigation as needed. Purchase field maintenance equipment. Health, life and safety concerns.	2024	X		\$ 800,000				X		100%	Other Fundings		X	N/A
UNM		28	Stadium Light Improvements	Replace existing light poles, electrical wiring and fixtures at UNM stadiums. Asset protection.	2024	X		\$ 1,000,000				X		100%	Other Fundings		X	N/A
UNM		29	Student Success Bldg Renovation	Renovate existing space by adding necessary walls, electrical, plumbing, paint, carpet, office furniture, IT and phone. Asset protection.	2024	X		\$ 700,000				X		100%	Other Fundings		X	TBD
UNM		30	Track Resurface Renovation	Remove and replace NCAA track surface on all track lanes, long jump, high jump, javelin and shot put areas. Asphalt repair may be required. Health, life, and safety concerns.	2024	X		\$ 880,000				X		100%	Other Fundings		X	N/A
UNM		31	University Arena Improvements	Replace scoreboard, video and TV system control and content equipment at The Pit. Asset protection.	2024	X		\$ 700,000				X		100%	Other Fundings		X	N/A
UNM		32	Portfolio Reduction	Demolition of non-historic facilities, beyond useful life and in need of major structural, system, Life/Safety or ADA upgrades beyond the value of the facility		X		\$ 150,000	\$ -			X		100%	Facilities Investment Needs (FIN)			4,750
Year 1 Total									\$ 306,121,790									
Year 2 2025 (Severance Tax Bond Year)																		
UNM			NM Research Innovation Center @ UNM (NMRIC@UNM) Phase 2	A research collaborative center between New Mexico's premier research institutions to lead the Nation in areas critical to the State.	2025		X	\$ 60,000,000	\$ -			X		100%	Other Fundings	X		TBD
UNM			Learning Environments Renewal	Upgrade existing classroom laboratory spaces with basic upgrades and technology- gathering more information about whether these are classroom labs, classrooms, or a combinations of classroom lab and research space. Could possibly be considered in the STEM Space Planning.	2025	X		\$ 1,000,000	\$ 1,000,000		X		100%	0%			X	TBD
UNM			Honors College Living Learning Space	Honors College expansion and related housing space. Assessment completed in 2023	2025	X		\$ 50,000,000	\$ -			X		100%	Donor/Fundrasing	X	X	TBD
UNM			Anderson School of Management Phase 2 Planning	Planning for phase 2 for Anderson School of Management facility replacement	2025		X	\$ 268,000	\$ 250,000		X		75%	25%	Department Funds			TBD
UNM			Renewal of Bratton hall Forum Upgrades	Update SOL Forum, which is the large central space in the main SOL building. The Forum is very worn and outdated.	2025		X	\$ 767,636	\$ 767,636		X		100%	0%			X	TBD
UNM			Lab Safety Improvements	Replace failing laboratory controls and integrating with building automation systems, reducing energy consumption and improving lab safety	2025	X		\$ 4,500,000			X		100%				X	TBD
UNM			Maxwell Museum Upgrade	"Ancestors Exhibit" renewal/upgrade- They received an initial capital award of \$434,000 for the Maxwell project, toward an exhibition that will cost approximately \$1.2 million in total. They currently have received a third of the anticipated total costs, and the remaining ~\$800K is still needed. They have been spending the initial award	2025		X	\$ 800,000	\$ 800,000		X		100%	0%			X	TBD
UNM			Psychology Department Facility Planning	Feasibility study of Dept. of Psychology needs, which includes Logan Hall and other buildings. Based on study potential building renovations or new construction.	2025		X	\$ 100,000	\$ 100,000		X		100%	0%				N/A
UNM			CASAA Facility Planning	CASAA has been leasing off campus space for the past 3 decades and the cost of off-campus leases have skyrocketed, which means F&A generated by CASAA is supporting off-campus building management companies. We are requesting non-recurring funds to do a feasibility	2025		X	\$ 100,000	\$ -			X	0%	100%		X	X	TBD
UNM			Expand the Indigenous nationa Library Program (INLP)	Expansion includes more collaborative spaces for students	2025	X		\$ 2,500,000	\$ 2,500,000		X		100%	0%		X	X	TBD



## New Mexico Department of Higher Education

### 2024-2028 Five Year Capital Project Funding Plan

INSTITUTION:				The University of New Mexico							DATE:						4/17/2023		
Institution acronym	FY24 Funding Priority #	Overall Funding Priority #	Project Title	Description	Year Project Funding will be requested	Full Project	Phase	Total Cost of Project or Phase	TOTAL Request from State	GOB	STB	Other	Percent of GOB or STB	Percent of Other Funding Source	Description of Other Funding Source	New Construction	Renovation	Square Footage (GSF)	
UNM			Museum Facility Needs	Museum Council requesting facility assessment of all UNM museums in letter dated 4/11/23.	2025		X	\$ 75,000	\$ 75,000		X	X	75%	25%	Department Funds		X	TBD	
UNM			Lobo Drome	Facility for testing drones, needs further assessment of need.	2025		X	\$ 350,000	\$ 350,000		X	X	75%	25%	Department Funds	X		TBD	
UNM			Zimmerman Library	Extend Fire Protection System	2025	X		\$ 1,500,000	\$ 1,500,000		X		100%				X	TBD	
UNM			Cloud Infrastructure	Moving services to public cloud provider, to provide additional functionality that is not available on campus. This request is dependent on Data Center funding request included in 2023 Capital Outlay recommendations above.	2025	X		\$ 1,200,000			X		100%			X	X	N/A	
UNM			Faculty/Staff Workstation Refresh - Main Campus	Annual computer refresh for faculty/staff. (5,346 Main faculty/staff count, Fall 2018 - 4 year refresh)	2025	X		\$ 2,005,000			X		100%				X	N/A	
UNM			Campus Drive Improvements	Resurface roadway, improve signage, crosswalks, sidewalks, parking, landscaping and lighting increasing safety for pedestrians, cyclists	2025	X		\$ 1,500,000			X	X	34%	66%	BR&R/Parking Capital Funds		X	N/A	
UNM			North Campus Plant	Construct a second plant interconnected to the existing District Energy System to supply additional heat, power, and cooling throughout campus	2025	X		\$ 20,000,000		X			100%			X		TBD	
UNM			Chiller Expansion	Add chiller capacity for projected new building expansion.	2025	X		\$ 2,000,000			X		100%				X	TBD	
UNM			Gas Turbine Overhaul	overhaul of existing gas turbine	2025	X		\$ 2,000,000				X		100%	Sustainability Surcharge		X	N/A	
UNM			Sewer System Upgrades	Civil infrastructure; correction of Sanitary and Storm Sewer Systems issues.	2025	X		\$ 1,000,000			X						X	N/A	
UNM			Campus Integrated Controls Upgrade	Upgrade controls to improve integration of units on campus	2025	X		\$ 500,000				X		100%	Sustainability Surcharge		X	N/A	
UNM			UNM Championship Infrastructure	Repair of access roads and cart paths, replacement pump stations and reclaimed water infrastructure, New irrigation and irrigation storage improvements, Landscape restoration, tree replacement and tee improvements	2025	X		\$ 5,579,000				X		100%	State General Funds		X	N/A	
UNM			Popejoy Hall Lobby Improvements	Replacement of the original 1960's ceiling, replace and upgrade lighting, replace and upgrade flooring, replacement of the veneered wall coverings and refurbishment of concessions and replacement of patron lobby seating.	2025	X		\$ 1,500,000	\$ -			X		100%	Other Fundings		X	TBD	
UNM			Residential Life & Student Housing	Improve facilities to accommodate student needs: Alvarado, Coronado Hall, DeVargas Hall, Laguna Hall, Redondo Village, Santa Clara, SRC Apartments	2025		X	\$ 21,000,000				X		100%	Housing Capital Funds		X	TBD	
UNM			Dining and Food Services	Conduct venue specific upgrades per Chartwells Contract	2025		X	\$ 250,000				X		100%	Chartwell Capital Funds		X	N/A	
UNM			Centrally Scheduled Classroom Modernization	Upgrade and refresh audio/visual systems that are no longer under warranty, continue to add lecture capture capability to medium sized classrooms.	2025	X		\$ 1,000,000			X		100%				X	N/A	
UNM			Johnson Field Improvements	Update irrigation system to provide water savings, install new lighting, turf, shade trees and a walking path	2025	X		\$ 4,000,000			X		100%				X	N/A	
UNM			Portfolio Reduction	Demolition of non-historic facilities, beyond useful life and in need of major structural, system, Life/Safety or ADA upgrades beyond the value of the facility		X		\$ 1,500,000	\$ 1,000,000			X		100%	UNM & HED Demolition Funding			18,000	
Year 2 Total					\$ 186,994,636														
Year 3 2026 (General Obligation Bond Year)																			
UNM			STEM Facility Needs	STEM space renovations/new construction for Mechanical Engineering, ECE, Castetter, etc.	2026	X		\$ 75,000,000	\$ 75,000,000	X			100%			X	X	TBD	
UNM			CASAA Capital Improvements	New construction or renovation for CASAA	2026	X		\$ 3,000,000			X		100%	0%		X		TBD	
UNM			Physics & Astronomy Observatory Replacement	New site for Observatory- assessment is currently in process (FY23)	2026	X		\$ 2,500,000	\$ 2,500,000		X		100%	0%		X		TBD	





## New Mexico Department of Higher Education

### 2024-2028 Five Year Capital Project Funding Plan

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UNM			Children's Campus	The Children's Campus has long had an issue with capacity as the facility can not come close to accommodating total demand. Plans for an expansion have been drawn up and renewed several times in the past few years.	2026	X		\$ 21,500,000	\$ 21,500,000		X			50%	UNMH Capital Funds		X	TBD		
UNM			School of Engineering Maker's Space	Maker's space- additional assessment is needed across campus on the overall need for Maker's space.	2026	X		\$ 1,500,000	\$ 1,500,000		X		100%	0%			X	TBD		
UNM			Institute of Public Law	No estimated cost- building renovations TBD- process of owning the entire building. Need further assessment of potential cost- pit \$1M as a place holder.	2026	X		\$ 1,000,000	\$ 1,000,000		X		100%	0%			X	TBD		
UNM			CHTM Nano-Fabrication Expansion & Incubator	The Center for High Technology Materials (CHTM) requests \$40,000,000 for the purpose of completing a capital construction project to build a stand-alone ISO 5 and ISO 6 cleanroom facility adjacent to the existing CHTM facility. Per discussion with OVPR there could be some synergies between this project and the NMRIc.	2026	X		\$ 40,000,000	\$ 40,000,000	X	X		100%	0%		X	X	TBD		
UNM			Speech & Hearing Sciences	Second floor to support research needs, gathering additional information regarding this request	2026	X		\$ 2,000,000	\$ 2,000,000		X		100%	0%			X	TBD		
UNM			Las Lomas Road Improvements	Resurface roadway, improve signage, crosswalks, sidewalks, parking, landscaping and lighting increasing safety for pedestrians, cyclists	2026	X		\$ 1,200,000			X		100%				X	N/A		
UNM			New Well & Reservoir on North Campus	new well and reservoir on north campus	2026	X		\$ 15,000,000		X			100%			X		TBD		
UNM			Yale Parking Structure	Install Fire Supression System	2026	X		\$ 1,025,000			X		100%				X	TBD		
UNM			Yale @ Redondo Improvements	Pedestrian and traffic control improvements at Yale & Redondo intersection	2026	X		TBD			X		100%	0%			X	TBD		
UNM			Residential Life & Student Housing	Improve facilities to accommodate student needs: Alvarado, Hokona Commons, Hokona-Zia, Santa Clara	2026	X		\$ 21,000,000				X		100%	Housing Capital Funds	X	X	TBD		
UNM			Dining and Food Services	Conduct venue specific upgrades per Chartwells Contract	2026	X		\$ 250,000				X		100%	Chartwell Capital Funds		X	TBD		
UNM			Softball	Title XI improvements	2026	X		\$ 500,000			X		100%				X	TBD		
UNM			PIT	TV System, Water Soften System, HVAC Equipment Update	2026	X		\$ 420,000			X		100%				X	N/A		
UNM			Men's & Women's Basketball	Men's Equipment upgrades and team study area; Women's locker Rm improvements and office enhancements	2026	X		\$ 300,000			X		100%				X	TBD		
UNM			Portfolio Reduction	Demolition of non-historic facilities, beyond useful life and in need of major structual, system, Life/Safety or ADA upgrades beyond the value of the facility		X		\$ 3,500,000	\$ 3,000,000			X		100%	UNM & HED Demolition Funding			76,000		
Year 4					Year 3 Total \$ 189,695,000															
2027 (Severance Tax Bond Year)																				
UNM			Antropology Renovation	Bring historic facility up to modern standards	2027	X		\$ 30,000,000	\$ 30,000,000		X		100%				X	57,668		
UNM			New Well and Reservoir on North Campus	Build a second well and reservoir to provide redundancy in domestic water supply for campus and improved fire safety	2027	X		\$ 15,000,000			X		100%			X		N/A		
UNM			Gas Turbine Overhaul	overhaul of existing gas turbine	2027	X		\$ 2,000,000				X		100%	Sustainability Surcharge		X	N/A		
UNM			Popejoy Hall Stage Expansion	Renovation of Popejoy Hall stage for expansion.	2027	X		\$ 10,000,000				X		100%	Donor/Fundrasing		X	TBD		
UNM			Residential Life & Student Housing	Improve facilities to accommodate student needs: Alvarado, Hokona Commons, Hokona-Zia, Redondo Village, SRC Apartments	2027	X		\$ 13,100,000				X		100%	Housing Capital Funds		X	TBD		
UNM			New Golf Team Facility	Men's and Women's Golf Team Facility	2027	X		\$ 700,000			X		100%			X		TBD		
UNM			Women's Soccer Locker Room	Create a women's soccer locker room within Robertson	2027	X		\$ 500,000			X		100%				X	TBD		
UNM			PIT	Main roof recoating	2027	X		\$ 1,000,000			X		100%				x	N/A		



## New Mexico Department of Higher Education

### 2024-2028 Five Year Capital Project Funding Plan

**INSTITUTION:**


The University of New Mexico

**DATE:**

4/17/2023

Institution acronym	FY24 Funding Priority #	Overall Funding Priority #	Project Title	Description	Year Project Funding will be requested	Full Project	Phase	Total Cost of Project or Phase	TOTAL Request from State	GOB	STB	Other	Percent of GOB or STB	Percent of Other Funding Source	Description of Other Funding Source	New Construction	Renovation	Square Footage (GSF)
Year 4 Total    \$    72,300,000																		
Year 5    2028 (General Obligation Bond Year)																		
UNM			College of Fine Arts - CCAT Phase 2	Renewal and replacement of College of Fine Arts Facilities. Assessment already completed part of Phase 1 for CCAT.	2028		X	\$    65,000,000	\$    65,000,000	X			100%	0%		X		TBD
UNM			Psychology Department Facility	Replacement or renovation of Logan Hall for Psychology Department	2028	X		\$    50,000,000	\$    50,000,000	X			100%	0%		X		TBD
UNM			Residential Life & Student Housing	Improve facilities to accommodate student needs: Laguna, Santa Clara, SRC Apartments	2028	X		\$    1,400,000				X		100%	Housing Capital Funds		X	TBD
Year 5 Total    \$    116,400,000																		

# UNM Health Sciences Center Five-Year Capital Plan

New Mexico Department of Higher Education													
2023 Summer Hearing - Five Year Capital Project Funding Plan													
INSTITUTION:			The University of New Mexico - Health Sciences Center			DATE:				3/2/2023			
Institution Acronym	Overall Funding Priority #	Project Title	Description	Will this project be phased?	Cost of Project or Phase	Request type	Percent of state request	Percent of Other Funding Source	Description of Other Funding Source	New Construction	Renovation	Square Footage (GSF)	
Year 1		2024 (GOB Year)											
UNM HSC	1	Pharmacy Building Renovation	Program, design, renovate and equip a new College of Pharmacy building . This building is 48 years old and building systems require extra maintenance. To continue to maintain is becoming cost prohibitive. Renovation will include wet and dry labs and faculty and staff offices.	No	\$60,315,400	GOB	95%	5%	HSC Capital Funds		x	96,300	
UNM HSC	2	Health Sciences Network Upgrade Project	Plan, design, and equip an upgraded network for the entire health and health sciences network to provide better coverage and replace dated distribution switches, Optical Fiber, and network equipment.	Yes	\$12,330,000	STB	92%	8%	HSC/UNMH Capital Funds		X	N/A	
UNM HSC	3	UNMCCC CT Simulator Replacement	Program, design and equip a replacement of the existing computed tomography (CT) Simulator at the UNM Comprehensive Cancer Center in the Radiation Oncology Department.	No	\$1,800,000	STB	92%	8%	UNM HSC Capital Funds		X	N/A	
UNM HSC	4	HSLIC Refurbishment Project	Plan, design, renovate, and equip a needed renovation of the 2nd floor of Health Sciences Library to accommodate for modern student-focused and improved library services space. The project will incorporate enhanced LED lighting in low-lit areas and new lay-in ceiling tile system to improve the building's HVAC system and reduce costly maintenance.	No	\$6,000,000	STB	89%	11%	HSC Capital Funds		X	8,564	
UNM HSC/ UNMH	5	Truman Health Services Clinical Space	Plan, program, design, and construct a new health services space to create needed facilities for the Health Sciences	No	\$17,000,000	N/A	TBD	TBD	TBD	X		25,000	
UNM HSC/ UNMH	6	Health and Health Sciences Workforce & Innovation Center	Plan, program, design, and construct a new Health and Health Sciences Work Force Training and Collaboration Center to create a space that fosters innovation, collaboration	No	\$15,000,000	N/A	TBD	TBD	TBD	X		22,000	
UNM HSC	7	Health Sciences Child Care Center	Plan, program, design, and equip a childcare center to serve the Health Sciences community	No	TBD	N/A	TBD	TBD	HSC Capital Funds		X	10,000	
UNM HSC/ UNMH	8	Dermatology Renovation and Expansion	Program, Design, construct, and equip for the renovation and expansion of Dermatology. Project to include renovation of clinical space, landing space for staff, and patient areas.	No	\$1,750,000	STB	0%	100%	HSC/UNMH Capital Funds	X		TBD	
UNM HSC	9	School of Public Health building	Plan, design, construct, and equip a new 3 floor building for the College of Public Health.	No	\$50,000,000	GOB	0%	0%	State	X		98,000	
UNMH	10	UNM Hospital facilities renewal, repairs, replacement	Funding for facilities, utilities, infrastructure and differed maintenance projects for the UNM Hospital.	No	\$15,000,000	N/A	0%	100%	UNMH Capital Funds		X	N/A	
Year 1 Total					\$179,195,400								
Year 2		2025 (STB Year)											
UNMH	11	Replacement/Repair of Lomas Pedestrian Bridge	Design and construct bridge based upon feasibility recommendations along with considerations to provide safe and controlled pedestrian circulation from North Campus to South Campus.	No	\$5,750,000	STB	100%	0%	State		X	N/A	
UNM HSC	12	UNMCCC Roof Replacement	Design and construct replacement of the TPO roofing membrane of the UNM Comprehensive Cancer Center, consisting of a total area of approximately 66,953 square feet, along with approximately 28,950 lineal feet of coping cap.	No	\$3,997,300	STB	90%	10%	HSC Capital Funds		X	66,953	
UNM HSC	13	Center for Obesity Prevention and Research	Program, design, construct, and equip a unified physical research space to bring together expertise and resources that will allow the University of New Mexico Health Sciences center to dramatically augment scientific discoveries in metabolic research	No	\$12,000,000	STB	100%	0%	State Funding	x		18,000	
UNMH	14	Community-Based Clinic	Similar to North 4th Street and SW Clinics to expand Primary Care Services to the Community to increase access to health care	No	\$6,000,000	N/A	TBD	TBD	UNMH		X	TBD	
UNMH	15	UH Main Operating Suite Backfill Project	Demolish and reconstruct 30,831 SF of existing OR and Pre/Post-Operative services to increase capacity for patient throughput.	No	\$39,167,916	N/A	0%	100%	UNMH Capital Funds		x	30,831	
UNM HSC	16	Parking Structure / Central Utility Plant (M Lot)	Plan, design, construct, and equip a new 6 floor parking structure and Central utility plant (CUP) in the M-Lot to serve North campus visitors, students, staff, faculty and buildings.	No	\$40,000,000	N/A	0%	100%	TBD	X		370,000	
UNMH	17	UNM Hospital facilities renewal, repairs, replacement	Funding for facilities, utilities, infrastructure and differed maintenance projects for the UNM Hospital.	No	\$15,000,000	N/A	0%	100%	UNMH Capital Funds		X	N/A	
Year 2 Total					\$407,660,616								
Year 3		2026 (GOB Year)											
UNM HSC SRMC	18	Academic Building 1 Expansion - Rio Rancho	Program, design, construct, and equip the expansion of building 1 to provide necessary space to expand academic programing to support increasing undergraduate nursing enrollment and other academic programming	No	\$16,848,000	GOB	100%	0%	State	x		27,392	
UNM HSC	19	Administration Building	Provide space for expansion of clinical, research, and education administration. Units of the UNM HSC need additional administrative space - to include faculty and staff offices, conference spaces, and public/private partnership options for commercial amenities.	Yes	TBD	GOB	0%	100%	HSC/UNMH Capital and Public/Private Partnership	X		TBD	

[illegible]

# UNM Gallup Branch Campus Five-Year Capital Plan



## New Mexico Department of Higher Education

### 2024-2028 Five Year Capital Project Funding Plan

INSTITUTION:			The University of New Mexico - Gallup Campus						DATE:							4/17/2023		
Institution acronym	FY24 Funding Priority #	Overall Funding Priority #	Project Title	Description	Year Project Funding will be requested	Full Project	Phase	Cost of Project or Phase	TOTAL Request from State	GOB	STB	Other	Percent of GOB or STB	Percent of Other Funding Source	Description of Other Funding Source	New Construction	Renovation	Square Footage (GSF)
UNM-G	1	1	Gurley Hall Fine Arts Renovation	Renovation of the Fine Arts space in Gurley Hall	2024		X	\$ 5,500,000	\$ 5,500,000	X			100%	0%			X	5,352
UNM-G	2	2	Gurley Hall Center for Career Technology & Education Supplemental Funding	Supplemental funding for the Gurley Hall Center for Career Technology & Education renovation	2024		X	\$ 8,400,000	\$ 2,400,000		X	X	68%	32%	Local		X	
UNM-G		3	Facility Repair and Renewal	Repair existing facilities and necessary upgrades to achieve peak energy efficiency and appropriate safe educational environments that building Renewal and Repair isn't enough to complete	2025	X		\$ 2,500,000	\$ 2,000,000		X	X	75%	25%	Equity - Campus		X	N/A
UNM-G		4	Facility Repair and Renewal	Repair existing facilities and necessary upgrades to achieve peak energy efficiency and appropriate safe educational environments that building Renewal and Repair isn't enough to complete	2027	X		\$ 1,500,000	\$ 1,125,000		X	X	75%	25%	Equity - Campus		X	N/A

# UNM Los Alamos Branch Campus Five-Year Capital Plan





## New Mexico Department of Higher Education

### 2024-2028 Five Year Capital Project Funding Plan

INSTITUTION:				The University of New Mexico - Los Alamos Campus					DATE:							4/17/2023		
Institution acronym	FY24 Funding Priority #	Overall Funding Priority #	Project Title	Description	Year Project Funding will be requested	Full Project	Phase	Cost of Project or Phase	TOTAL Request from State	GOB	STB	Other	Percent of GOB or STB	Percent of Other Funding Source	Description of Other Funding Source	New Construction	Renovation	Square Footage (GSF)
UNM-LA	1	1	Student Services & Success Center Renovation	Creating a collaborative student space that is an extension of the LRC and connected to Student Affairs support in Building 1	2024	X		\$ 3,000,000	\$ 2,700,000	X		X	90%	10%	Local funds		X	4,167
UNM-LA	2	2	Campuswide Infrastructure & Workforce Training Supplemental Funding	Supplemental Funding Request to support increased labor and material costs for campuswide infrastructure & workforce training projects	2024	X	X	\$ 8,220,000	\$ 4,620,000		X	X	90%	10%	Local funds		X	
UNM-LA		3	UNM-LA Open Space Design and Upgrade Part 2	Design, repair, renovate, and develop open space on campus, including access, wayfinding, and parking areas to meet safety and ADA campus environs standards for land improvements controlled by UNM-LA.	2025		X	\$ 1,500,000	\$ 1,125,000	X		X	75%	25%	Local funds	X	X	N/A
UNM-LA		3	Building 2 Renovation	Pulled from our current infrastructure project. Appropriation ID F3155, PDC22034. See Vigil & Associates 100% drawings for detailed project plans.	2026	X		\$ 1,800,000	\$ 1,350,000	X		X	75%	25%	Local funds		X	TBD
UNM-LA		4	Building 3 Workforce Development	Pulled from our current infrastructure project. Appropriation ID F3155, PDC22034. See Vigil & Associates 100% drawings for detailed project plans.	2027	X		\$ 1,000,000	\$ 750,000		X	X	75%	25%	Local funds		X	TBD

# UNM Taos Branch Campus Five-Year Capital Plan



## New Mexico Department of Higher Education

### 2024-2028 Five Year Capital Project Funding Plan

**INSTITUTION:**

The University of New Mexico - Taos Campus

**DATE:**

3/23/2023

Institution acronym	FY24 Funding Priority #	Overall Funding Priority #	Project Title	Description	Year Project Funding will be requested	Month/Year Project to be started	Month/Year Project to be completed	Full Project	Phase	Cost of Project or Phase	TOTAL Request from State	GOB	STB	Other	Percent of GOB or STB	Percent of Other Funding Source	Description of Other Funding Source	New Construction	Renovation	Square Footage (GSF)
UNM-T	1	1	Observatory Classroom Facility	Phase 1: Plan, design, engineer, site development and construct and equip an observatory to house a donated 36 inch Dobsonian telescope. To include development of ADA trail system. Phase 2: Design, engineer and Construct Classroom Facility for Astronomy Instruction and public events associated with telescope.	2024	Jul-24	Aug-27	X		\$ 3,100,000	\$ 1,500,000	X		X	75%	25%	local tax funds	X		
UNM-T		2	Facilities Management & IT Building	Plan, design, engineer, site develop, construct, equip and furnish a facility for the Facilities Management and IT Departments.	2025	Jul-25	Aug-28	X		\$ 4,000,000			X	X	75%	25%	local tax funds		X	Upgrades
UNM-T		3	Campus Landscaping Outdoor Education Venues	Plan, design, engineer and site development for landscaping, drainage, water catchment, and a campus quad for outdoor education and campus events.	2026	Jul-26	Aug-27	X		\$ 2,000,000	\$ 15,000,000	X		X	75%	25%	local tax funds		X	TBD
UNM-T		4	Bataan Hall Event Center Renovations	Renovations and facility upgrades (including Fire Suppression System, HVAC, Eletrical, Plumbing and Envelope upgrades)	2027	Jul-27	Aug-29	X		\$ 2,000,000		X			75%	25%	local tax funds		X	TBD
UNM-T		5	Early Childhood Learning Center Addition	Plan, design, engineer, site develop, construct, equip and furnish a facility to support the growth of the Early Childhood Program. Kids Campus expansion and addition of 5,000 sq ft. to provide 4 more classrooms.	2028	Jul-28	Dec-30	X		\$ 3,000,000	\$ 2,250,000	X		X	75%	25%	local tax funds	X	X	5,000

# UNM Valencia Branch Campus

## Five-Year Capital Plan



## New Mexico Department of Higher Education

### 2024-2028 Five Year Capital Project Funding Plan

INSTITUTION:			The University of New Mexico - Valencia Campus										DATE:					3/23/2023		
Institution acronym	FY24 Funding Priority #	Overall Funding Priority #	Project Title	Description	Year Project Funding will be requested	Month/Year Project to be started	Month/Year Project to be completed	Full Project	Phase	Cost of Project or Phase	TOTAL Request from State	GOB	STB	Other	Percent of GOB or STB	Percent of Other Funding Source	Description of Other Funding Source	New Construction	Renovation	Square Footage (GSF)
UNM-V	1	1	Nursing & Health Sciences Complex	Nursing and Health Sciences complex to include classrooms, a health clinic, physiology laboratories, offices and other spaces for store of instructional materials and other artifacts	2024	Jul-24	Jun-25	X		\$ 8,000,000	\$ 6,000,000	X		X	75%	25%	Local Bond	X	X	
UNM-V		2	B&T Renovation and Classroom Update	Renovate all classroom spaces including ceilings, doors, carpet and wall paint, removing large doors and covering the south kiln space. Includes stucco, concrete repairs and remodeling of the photo lab and DMA spaces.	2025	Jul-25	Dec-27	X		\$ 2,800,000	\$ 2,100,000	X		X	75%	25%	Local Bond	X		TBD
		3	Campus Entrance - New Campus entrance	Install a new road entrance on the south end of campus, including power and fiber-optic for signage and lights. Concrete structures and landscaping, security features	2025	Jul-25	Dec-26	X		\$ 3,450,000	\$ 2,588,000	X		X	75%	25%	Local Bond	X	X	N/A
UNM-V		4	Childcare Education Facility	13,000 sqft Early Childhood Education Facility to include daycare training spaces and other classrooms	2026	Jul-26	Dec-28	X		\$ 5,500,000	\$ 4,119,000		X	X	75%	25%	Local Bond	X		TBD
UNM-V		5	General Education Building for Early College High School	Build and equip a 20,000 sq ft general classroom building on Tome to house the Early College High School facility to include classrooms, office space, computer and science labs and parking access. Building will include CTE spaces for welding, automotive, electronics, trades and other vocational spaces. Building will also include food services and other highschool specific needs.	2026	Jul-26	Dec-28	X		\$ 8,000,000	\$ 6,000,000	X		X	75%	25%	Local Bond		X	N/A
UNM-V		6	Stucco and Concrete Rehabilitation	Re-stucco older buildings and replace heaving and damaged concrete walks that have become dangerous trip hazards. Remove brick replace as needed.	2027	Jul-27	Jun-29	X		\$ 2,500,000	\$ 1,875,000		X		75%	25%	Local Bond		X	N/A
UNM-V		7	Restroom Rehabilitation and Renovations	Renovate 8 restrooms in Academics, Student Community Center with updated fixtures, tile and configurations to meet UNM standards and ADA compliance	2028	Jul-28	Dec-29	X		\$ 2,400,000	\$ 1,800,000	X		X	75%	25%	Local Bond		X	TBD



# Main and Branch Campuses

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REQUESTING APPROVAL FOR  
BUDGET ADJUSTMENT REQUEST (BAR)  
FISCAL YEAR 2022-2023

# Budget Adjustment Request (BAR)

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- The FY 2023 Original Operating and Capital Budget was submitted to HED on May 2, 2022 (May 1<sup>st</sup> was on a Sunday) and approved by the Board of Regents on May 10, 2022.
  - Note: HED does allow for a post May 1 board approval
- The University is required to submit a revised budget to NMHED and then to the Department of Finance and Administration if year-end projections show that actual revenue, transfer, or expenditure levels will exceed those initially budgeted.
- Budget revisions for the fiscal year ending June 30, 2023 must be submitted to NMHED by May 1, 2023.
- Budget to Actuals are monitored at UNM primarily through:
  - Quarterly Financial Report (presented by the UNM Controller)
  - Mid-Year Review Process (required in January/February for Projection System)
  - Monthly reconciliations

**Primary purpose of the BAR: To ensure actual expenditures will not exceed budget authority by exhibit (5.3.4.10 NMAC)**



# Budget Adjustment Drivers

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- Changes in revenue and expenditure projections
- Use of reserves for one-time expenditures
- Increase in restricted grant and contract activity
- Other changes in transfers

**Note:** *Included in your ebook materials is the FY 23 BAR book that provides more details about the specific drivers for FY23*





# Main Campus and Plant Fund Budget Adjustments

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Submitted on 5/2/22

*Seeking Approval*

	<b>FY23 Original Budget</b>	<b>FY23 Revised Budget (BAR)</b>	<b>% Change</b>
<b>Unrestricted</b>	\$591,641,324	\$612,143,712	3.5%
<b>Restricted</b>	\$176,826,226	\$289,721,226	63.8%
<b>Plant Funds</b>	\$129,703,924	\$149,341,681	15.1%
<b>TOTAL</b>	<b>898,171,474</b>	<b>1,051,206,619</b>	<b>17.0%</b>

# Summary of Major Changes for Main Campus Current Funds (Unrestricted)

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## **\$23.7 million net increase in revenues**, due primarily to:

- Net increase of \$10.8 million in I&G revenues, largely due to tuition and fees from undergraduate enrollment increases and increases to state land income from the State Land Office
- Net increase of \$7.7 million in Public Service revenues, largely attributed to unbudgeted state appropriations for endowed positions in Native American Studies (transferred to Endowments)
- Net increase of \$1.9 million in Auxiliary Enterprises revenues, largely due to increases in housing dorm rentals

## **(\$14.0 million) net transfers out**, due primarily to:

- Transfers to Plant for department capital projects
- Transfers to Internal Services to support Utilities due to utility cost increases
- Transfer to Endowments related to HB2 appropriation for endowed positions in Native American Studies program

## **\$20.5 million net increase in expenses**, due primarily to:

- Net Increase of \$7.0 million in Research expenses driven largely by increases in salaries and fringe, research costs, supply costs, travel costs, and other research operating expenditures
- Net Increase of \$5.4 million in Internal Services due to increases in salaries and fringe, general liability insurance, repairs and maintenance costs, and increases in utility costs
- Net Increase of \$1.8 million in Public Service expenses due to scholarships, student awards, salaries, and other operating costs

# Summary of Plant Fund Changes

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## **\$69.3 million net increase in revenues, due primarily to:**

- New Bond Issuance – The sale of new bonds constitutes, and is booked, as revenue and increased revenue significantly in FY23. The bond sale was approved by the Higher Education Department (HED) as well as the State Board of Finance (SBOF).

## **\$20.5 million net transfers in\*, due primarily to:**

- \$894,164 from HSC - Health Care Simulations
- \$2,971,353 from HSC – UX CON CPH project
- \$315,938 from HSC - College of Pharmacy Building Planning
- \$400,000 from HSC – Cancer Center Various Project
- \$5,381,511 from HSC – Various Minor Capital Improvement Projects
- \$650,000 from Housing and Dining Services – La Posada Infrastructure Replacement
- \$4,530,132 from Auxiliaries – Multiple Projects
- \$1,116,853 from Taos – South Parking Lot
- \$868,750 from Taos – Infrastructure Improvements
- \$582,905 from ORE – AML Tenant Improvement Renovation

## **\$19.6 million net increase in expenses, due primarily to:**

- College of Nursing and College of Population Health Building. When the budget was submitted last year, the construction start date was unclear. This project is now in construction and expenses have been increased to align with the project.
- Additional funds added to the Lobo Welcome Center Project.

*\* All projects have or will go through all necessary project approvals*



# Summary of Changes for Main Campus Current Funds (Restricted)

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## **\$129.9 million net increase in revenues, due primarily to:**

- \$80 million increase in Student Financial Aid related to the Opportunity Scholarship (unbudgeted in Original budget)
- \$27.8 million increase in Public Service due primarily to a \$17,000,000 faculty endowment received from HED for UNM Educator Preparation and increases in other public service contract and grant awards received
- \$22.1 million increase in Research contract and grant awards received in FY23

## **(\$17,000,000) – Net Transfers Out due to:**

- \$17.0 million Transfer Out to Endowments to set up UNM Educator Preparation faculty endowment (received from HED)

## **\$112,895,000 – Net Increase in Expenditures due primarily to:**

- \$80 million Increase in scholarships disbursed for the NM Opportunity Scholarship
- \$10.8 million increase in Public Service contract and grant expenditure activity
- \$22.1 million Increase in Research contract and grant expenditure activity

# Branch Campus Budget Adjustments

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Submitted on 5/2/22

*Seeking Approval*

	<b>FY23 Original Budget</b>	<b>FY23 Revised Budget (BAR)</b>	<b>% Change</b>
<b>Gallup</b>	\$17,572,052	\$17,724,320	0.9%
<b>Los Alamos</b>	\$7,419,348	\$6,406,161	-13.7%
<b>Taos</b>	\$12,495,338	\$14,165,261	13.4%
<b>Valencia</b>	\$15,593,223	\$15,420,477	-1.1%
<b>TOTAL</b>	<b>53,079,961</b>	<b>53,716,219</b>	1.2%

# Summary of Branch Campus Changes

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**Gallup \$152K increase, 0.9%, primarily due to:**

- Increases in Student, Social & Cultural Activities related to equipment purchases
- Increases in Public Service budget for reviving the DWI program and increased gifts received

**Los Alamos \$1.0 million decrease, -13.7%, primarily due to:**

- Decreases in restricted I&G federal and state grants and contracts

**Taos \$1.7 million increase, 13.4%, primarily due to:**

- Increase in restricted Public Service for increased grant activity

**Valencia \$173K decrease, -1.1%, primarily due to:**

- Decrease in expenditures related to restricted Public Service Contracts & Grant programs

*\* Changes above are for Unrestricted and Restricted Expenditures*





# Requesting Approval of the Fiscal Year 2022-2023 Main and Branch Campus Budget Adjustment Request (BAR)

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[https://budgetoffice.unm.edu/assets/documents/budget/fy23\\_bar.pdf](https://budgetoffice.unm.edu/assets/documents/budget/fy23_bar.pdf)

# University of New Mexico

## Quarterly Financial Actions Report

Fiscal year 2023

Date 5/9/2023

Period (check one)

Quarter 1 ☐ Quarter 2 ☐ Quarter 3 ☒ Quarter 4 ☐

**During the period of time covered by this report; did your institution:**

(1) Request an advance of state subsidy? Yes ☐ No ☒

(2) Fail to make its required payments, as scheduled, to appropriate retirement system(s)? Yes ☐ No ☒

(3) Fail to make its payroll payments, as scheduled? Yes ☐ No ☒

(4) Fail to make its scheduled debt service payments? Yes ☐ No ☒

(5) Fail to make payments to vendors, as scheduled, due to a cash deficiency or a substantial deficiency in the payment processing system? Yes ☐ No ☒

(6) Relative to the original fiscal year budget, experience any significant actual or anticipated financial changes that are not reflected in a submitted Budget Adjustment Request (BAR). Significant financial changes refers to fiscal activity that will result in a substantially reduced year-end fund balance or any increase in a fund balance deficit. Yes ☐ No ☒

If the answer to any of the above questions is "Yes," please describe in a separate document:

- (i) the reason for the occurrence,
- (ii) the actions taken by your institution to resolve this particular occurrence, and
- (iii) the actions taken by your institution to prevent events such as this from occurring again.

In addition, if the answer to number 6 is "Yes," please describe in a separate document the nature of the financial changes and describe and assess the impact that the changes will have on your institution's planned year-end financial position. (See attached.)



New Mexico Higher Education Department  
Institutional Finance Division  
Quarterly Financial Certification Report Template

Please complete and sign the following Financial Certification Report and submit with the Quarterly Financial Actions Report.

To the best of my knowledge, I certify that the information provided in the attached Financial Actions Report for the:

1<sup>st</sup> \_\_\_ 2<sup>nd</sup> \_\_\_ 3<sup>rd</sup> X 4<sup>th</sup> \_\_\_ Quarter, FY 2023

is correct as of the signature dates noted below, and that

The University of New Mexico

---

has a functioning financial accounting system that captures assets, liabilities, revenues, and expenditures on a timely basis, and the Governing Board receives timely notification of any significant actual or projected variances between budgeted and actual revenues and expenditures.

---

Bill Payne, Chair, Board of Regents-Finance & Facilities

Date

---

Garnett S. Stokes, President

Date

---

Teresa Costantinidis, Executive VP for Finance & Administration

Date

**MEMORANDUM TO ADVANCE  
COMMITTEE AGENDA ITEM TO  
THE BOARD OF REGENTS  
THE UNIVERSITY OF NEW MEXICO**

DATE: May 11, 2023

TO: Teresa Costantinidis, Sr. VP Finance & Administration

FROM: Lisa Marbury, Assistant Vice President, Campus Environments & Facilities,  
Vice President Office for Institutional Support Services

RE: Requested Approval

---

**RECOMMENDED ACTION:**

Recommend to the Board of Regents Finance and Facilities Committee the following requests for Project Construction Approval:

1. Lobo Welcome Center-Re-Approval
2. Mesa Del Sol – HVAC Upgrade
3. Student Health and Counseling- Controls and HX Project
4. Domenici Hall - Chiller Replacement
5. La Posada Dishwasher Renovation

cc: A. Coburn, M. Dion, M. Bailey, C. Martinez, S. Rodgers, T. Silva – PDC

A. Sena, R. Notary, D. Penasa, R. Sobieski, J. Hart, C. Grotbeck – FM

**REQUEST FOR CAPITAL PROJECT CONSTRUCTION APPROVAL for  
LOBO WELCOME CENTER RE-APPROVAL  
UNIVERSITY OF NEW MEXICO  
May 11, 2023**

**REQUESTED ACTION:**

In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested for **Lobo Welcome Center Re-Approval, Central Campus, Albuquerque, New Mexico.**

**PROJECT DESCRIPTION:**

The Lobo Welcome Center (LWC) will be located at 720 Yale Boulevard NE. The project proposes a renovation of existing Building 151 (11,090 GSF) and an addition (2,209 GSF). The original building dates from the early 1940s and exemplifies John Gaw Meem's historic architecture on UNM's campus. Historical elements are proposed to be retained and restored while the building is proposed to receive an addition. The addition allows for a gathering space where larger events can be held for up to 125 people.

The LWC brings a new function to the building that is important to the University. It is intended to provide a first landing place on campus for prospective students and their families. The specific portions of the project which are intended to address this function directly are (1) the West Plaza (2) the Reception Desk area (3) the Great Room (4) the Exhibit area (5) the Event Space, and (6) the East Terrace. Spaces with direct supporting roles will be located on the main floor and in the basement, including offices and a conference room. Additional support functions include men's and women's restrooms, family restrooms, lactation rooms, and building services support spaces.

The LWC is intended to communicate UNM's identity: both the University's commitment to tradition and, at the same time, the University's pursuit of innovation in teaching and research. One architectural device to communicate this identity is the combination of historic architectural elements (existing Building 151) and new elements (landscape and addition). These are complementary elements that create a unique and rich experience for visitors.

Re-Approval of this project is requested as an additional \$3 Million has been added to the budget. The additional funding will be used for bidding lots two and three, including exterior improvements, furnishings, exhibits, specialized audio-visual, landscape, lower-level completion, information technologies, and access control/security systems.

**PROJECT RATIONALE:**

The UNM Lobo Welcome Center (LWC) will be a stand-alone facility dedicated to promoting a positive image and supporting enrollment by energizing the proposition that UNM is the premier university in New Mexico, a national leader whose deep roots in history and culture provide the

foundation to relentlessly drive forward innovation, research, creativity, and engagement. The LWC will fulfill this mission by providing an exciting and welcoming environment with a sense of place for prospective students and their families to interact with the space, staff, presentations, self-directed activities, and services. The aim is to influence prospective students' decisions to choose UNM.

The LWC will be managed and staffed by the Division of Enrollment Management and is a critical component of UNM's strategy to support enrollment through the recruitment of new students. Prospective students, families, and other influencers like high school guidance counselors will begin and end their campus visit at the LWC.

Completing this project will keep UNM on par with peer institutions. Within New Mexico, NMSU is building a new facility specifically for this purpose. Without such a facility, UNM risks falling behind and weakening its ability to compete for student enrollment and as a result will negatively impact enrollment.

**FUNDING:**

The total estimated Project Budget is \$9,800,000:

- \$6,800,000 is funded from 2018 GOB Revenue
- \$3,000,000 is funded from FY23 Institutional Bond

# The University of New Mexico - Central Campus



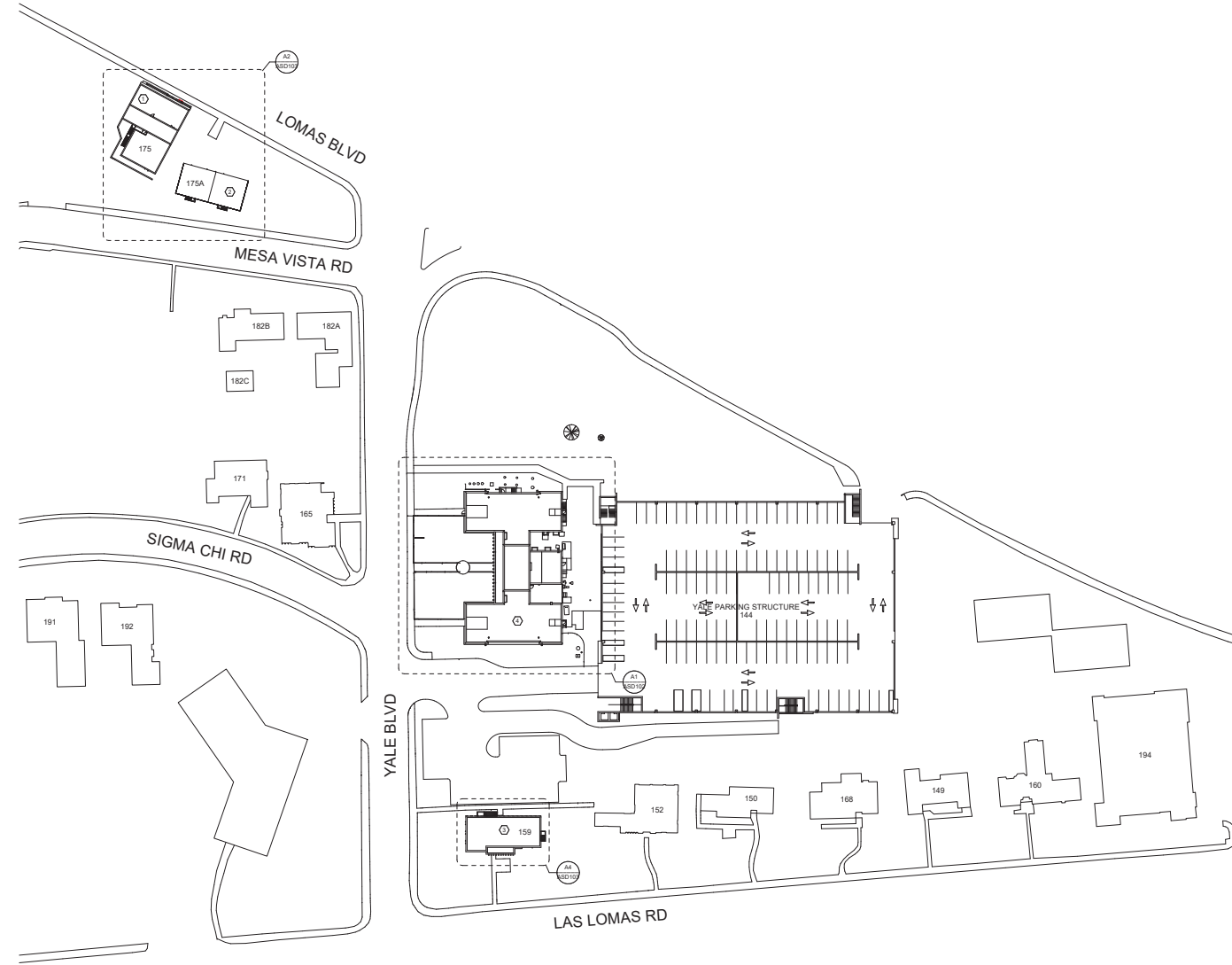
# SITE PLAN

## GENERAL SHEET NOTES

A. NONE

## ○ SHEET KEYNOTES

- 1 DEMO BUILDING #175
- 2 DEMO BUILDING #175A
- 3 DEMO BUILDING #189
- 4 PARTIAL DEMO BUILDING #151 - SEE PLANS



① SITE PLAN - VICINITY PLAN 2  
1" = 40' 0"

**McCLAIN + YU**  
ARCHITECTURE & DESIGN

McClain + Yu Architecture & Design  
2010 Ridgcrest Dr SE  
Albuquerque, NM 87108  
505.266.2142  
info@mcclain-yu.com  
www.mcclain-yu.com

## CONSULTANTS

## PROJECT

LOBO WELCOME  
CENTER

## CORNER

UNIVERSITY OF NEW  
MEXICO

## ADDRESS

720 YALE BLVD NE  
ALBUQUERQUE, NM  
87106

## PROJECT STATUS

DESIGN  
DEVELOPMENT

Date FEBRUARY 16, 2022

Project Number 2021-101

Project File

Drawn By STS

Checked By MKL RM

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McCLAIN + YU ARCHITECTURE AND DESIGN

SHEET TITLE

SITE DEMO  
REFERENCE PLAN

SHEET

ASD101



**McCLAIN + YU**  
ARCHITECTURE & DESIGN

McClain + Yu Architecture & Design  
2010 Ridgecrest Dr SE  
Albuquerque, NM 87108  
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info@mcclain-yu.com  
www.mcclain-yu.com

CONSULTANTS
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PROJECT
---------

LOBO WELCOME  
CENTER

OWNER
-------

UNIVERSITY OF NEW  
MEXICO

ADDRESS
---------

720 YALE BLVD NE  
ALBUQUERQUE, NM  
87106

PROJECT STATUS
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## DESIGN DEVELOPMENT

[illegible]

Date	FEBRUARY 15, 2022
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Project Number	2021-101
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Project File	
Project No.	Author

Drawn By	Author
Checked By	Checker

Copyright ©

MCCLAIN + YU A  
SHEET TITLE

RENDERS

SHEET

A-801

**REQUEST FOR CAPITAL PROJECT CONSTRUCTION APPROVAL for  
MESA DEL SOL (Building #806) – HVAC UPGRADE  
UNIVERSITY OF NEW MEXICO  
May 11, 2023**

**REQUESTED ACTION:**

In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested **for Mesa Del Sol (Building #806) – HVAC Upgrade at the Mesa del Sol site in Albuquerque.**

**PROJECT DESCRIPTION:**

The Mesa del Sol building is a 26,560 gross square foot facility located in the east wing of the Aperture Center. The facility is home to the Film and Digital Arts Department. Film & Digital Arts utilize three floors in this facility. This project will replace the existing HVAC equipment with a new heating and cooling water system with new fan units of reduced sound level with duct sound attenuators in critical locations. Other required replacements are a new boiler, chiller, and pumping equipment along with associated distribution piping is included in this project to provide a fully functional HVAC system. The existing HVAC equipment in the facility has been extremely problematic operationally because of the noise level of the system it must be completely turned off when instructional filming is active.

The first floor houses a 100-seat theatre for film screenings and instruction and a large Flex space with a black box for productions, including a lighting grid and green screen. The second floor has two computer labs (Mac and PC), a server room, and an equipment checkout room. The third floor contains various project rooms designed for faculty and students to produce creative work for class and research, a sound recording lab and mixing booth, and staff and faculty offices and meeting spaces.

**PROJECT RATIONALE:**

The Film and Digital Arts department is the fastest-growing program at UNM and has the largest number of majors (650+) in the College of Fine Arts. The existing HVAC equipment in the facility has been extremely problematic operationally. The space uses a Variable Refrigeration Flow (VRF) system that causes extreme noise when in operation. The existing system utilizes inverter compressors which have a major source of maintenance issues. Control boards fail constantly. This requires that the system be completely turned off when instructional filming is active due to noise. There are also concerns about the environmental conditions of the storage area for film canisters. The spaces currently utilized are a result of not having a reliable system. The facility is often overheated such that staff and students must leave the building to get relief. The project satisfies the institution's mission by keeping environmental conditions for instruction operational with a reliable source for heating and cooling with meeting current energy and sustainability goals.

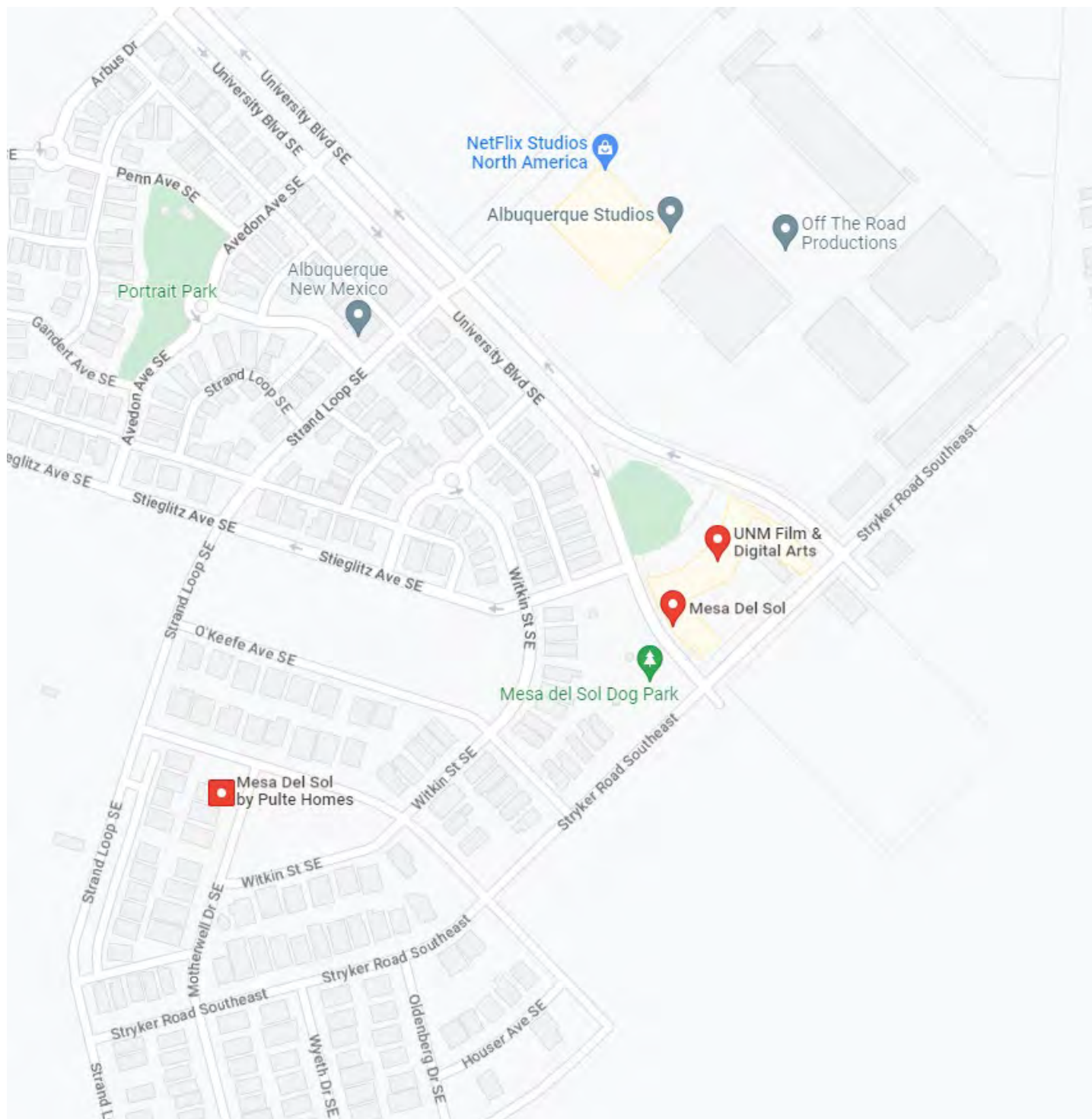


If the project does not receive approval, the program will continue to suffer and risk system failure affecting 2000+ students that attend class each year. Energy savings will not be realized, and the facility will continue to operate an inefficient and dysfunctional HVAC system.

**FUNDING:**

The total estimated Project Budget is \$2,800,000:

- \$2,800,000 is funded from One Time BR&R Funds.



**MESA DEL SOL  
HVAC RENOVATION  
5700B UNIVERSITY BLVD. SE  
ALBUQUERQUE, NM 87106**

DEMO EXISTING VRF HEAT PUMP SYSTEM AND INSTALL A NEW 4-PIPE FAN COIL SYSTEM WITH A NEW AIR COOLED CHILLER, NEW BOILER AND HYDRONIC PUMPS

PHASE: 95% SUBMITTAL

DESIGNERS  
OF RECORD:

Applicable Codes:

- Use and Occupancy Classification:

Per IBC 305 GROUP E (EDUCATIONAL)  
Construction Type:

Per IBC table 601  
TYPE V, EXISTING CONSTRUCTION

SEQUENCE NUMBER	SHEET NUMBER	SHEET NAME
-----------------	--------------	------------

1	G-100	COVER SHEET
2	MD-111	HVAC FIRST FLOOR DEMOLITION PLAN
3	MD-112	HVAC SECOND FLOOR DEMOLITION PLAN
4	MD-113	HVAC THIRD FLOOR DEMOLITION PLAN - EAST
5	MD-114	HVAC THIRD FLOOR DEMOLITION PLAN - WEST
6	MD-121	HVAC PIPING FIRST FLOOR DEMOLITION PLAN
7	MD-122	HVAC PIPING SECOND FLOOR DEMOLITION PLAN
8	MD-123	THIRD FLOOR PIPING DEMOLITION PLAN - EAST
9	MD-124	THIRD FLOOR PIPING DEMOLITION PLAN - WEST
10	MD-201	MECHANICAL ROOF DEMOLITION PLAN - EAST
11	MD-202	MECHANICAL ROOF DEMOLITION PLAN - WEST
12	PD-111	PLUMBING FIRST FLOOR DEMOLITION PLAN
13	PD-112	PLUMBING SECOND FLOOR DEMOLITION PLAN
14	PD-113	PLUMBING THIRD FLOOR DEMOLITION PLAN - EAST
15	PD-114	PLUMBING THIRD FLOOR DEMOLITION PLAN - WEST
16	ED-111	ELECTRICAL FIRST FLOOR DEMOLITION PLAN
17	ED-112	ELECTRICAL SECOND FLOOR DEMOLITION PLAN
18	ED-113	ELECTRICAL THIRD FLOOR DEMOLITION PLAN - EAST
19	ED-114	ELECTRICAL THIRD FLOOR DEMOLITION PLAN - WEST
20	ED-115	ELECTRICAL ROOF DEMOLITION PLAN - EAST
21	ED-116	ELECTRICAL ROOF DEMOLITION PLAN - WEST
22	M-111	HVAC FIRST FLOOR PLAN
23	M-112	HVAC SECOND FLOOR PLAN
24	M-113	HVAC THIRD FLOOR PLAN - EAST
25	M-114	HVAC THIRD FLOOR PLAN - WEST
26	M-121	HVAC PIPING FIRST FLOOR PLAN
27	M-122	HVAC PIPING SECOND FLOOR PLAN
28	M-123	HVAC PIPING THIRD FLOOR PLAN - EAST
29	M-124	HVAC PIPING THIRD FLOOR PLAN - WEST
30	M-201	MECHANICAL ROOF PLAN
31	M-301	HEATING WATER PIPING DIAGRAM - EAST
32	M-302	CHILLED WATER PIPING DIAGRAM - EAST
33	M-303	ERU-1 AIRFLOW DIAGRAMS
34	M-304	ERU-2 AIRFLOW AND THIRD FLOOR WEST PIPING DIAGRAMS
35	M-502	MECHANICAL DETAIL
36	M-602	MECHANICAL EQUIPMENT SCHEDULE
37	M-603	MECHANICAL EQUIPMENT SCHEDULE
38	M-701	MECHANICAL CONTROLS SEQUENCES OF OPERATION
39	P-111	PLUMBING FIRST FLOOR PLAN
40	P-112	PLUMBING SECOND FLOOR PLAN
41	P-113	PLUMBING THIRD FLOOR PLAN - EAST
42	P-114	PLUMBING THIRD FLOOR PLAN - WEST
43	E-111	ELECTRICAL FIRST FLOOR PLAN
44	E-112	ELECTRICAL SECOND FLOOR PLAN
45	E-113	ELECTRICAL THIRD FLOOR PLAN - EAST
46	E-114	ELECTRICAL THIRD FLOOR PLAN - WEST
47	E-115	ELECTRICAL ROOF PLAN - EAST
48	E-601	ELECTRICAL EXISTING PANEL SCHEDULES
49	E-602	ELECTRICAL EXISTING PANEL SCHEDULES
50	E-603	ELECTRICAL REVISED PANEL SCHEDULES
51	E-604	ELECTRICAL REVISED PANEL SCHEDULES
52	E-701	ELECTRICAL REVISED SINGLE LINE DIAGRAM
53	E-702	ELECTRICAL TOWNER CENTER BLDG. - PARTIAL SINGLE LINE DIAGRAM



## PROJECT SITE



## LOCATION PLAN



STAMP

UNM IFDM - MESA DEL SOL  
HVAC RENOVATION  
COVER SHEET

SHEET NO.  
G-100

SEQUENCE NO.  
SHEET 1 OF 53

**REQUEST FOR CAPITAL PROJECT CONSTRUCTION APPROVAL for  
STUDENT HEALTH AND COUNSELING (SHAC) CONTROLS AND HX PROJECT  
UNIVERSITY OF NEW MEXICO**

**May 11, 2023**

**REQUESTED ACTION:**

In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested for Student Health and Counseling (**SHAC) Controls and HX Project on the Albuquerque Central Campus.**

**PROJECT DESCRIPTION**

The Student Health and Counseling (SHAC) located in building #073, is a 42,128 gross square foot facility. It houses student health and counseling offices, exam rooms, labs, and a pharmacy.

This project will: 1) Convert the existing pneumatic HVAC controls for the penthouse and basement heating equipment to digital controls, 2) refurbish the existing air handling units (AHUs), 3) replace the original heat exchanger and water heater, and 4) add new motors, variable frequency drives, dampers, actuators, valves, and interior cleaning and coating of the AHUs.

The impact of this project will allow the central building HVAC controls to be brought into the campus-wide HVAC controls system for remote monitoring, control, and energy savings.

**PROJECT RATIONALE:**

The facility currently relies on pneumatic controls for the penthouse air handling unit and the basement heating plant. Due to the age of the pneumatic controls and the availability of parts, the system has become difficult to maintain and provide proper indoor air quality and occupant comfort. In addition, current energy savings strategies cannot be implemented with pneumatic controls. The current heating source and domestic hot water source for the building are original to the 1967 building. While both have been well maintained, the equipment is well beyond its useful life and requires replacement before failure. The project satisfies the institution's mission by keeping the only student health clinic on campus operational with a reliable heating and domestic hot water source while meeting current energy and sustainability goals as well as improving indoor air quality and occupant comfort.

If the project is not approved, the building will continue to operate using pneumatic controls and equipment that is 55 years old. Energy saving will not be realized, and indoor air quality and comfort will continue to be difficult to maintain and control. In a failure, temporary and portable conditioning will need to be provided to maintain operations while funding and equipment are procured.

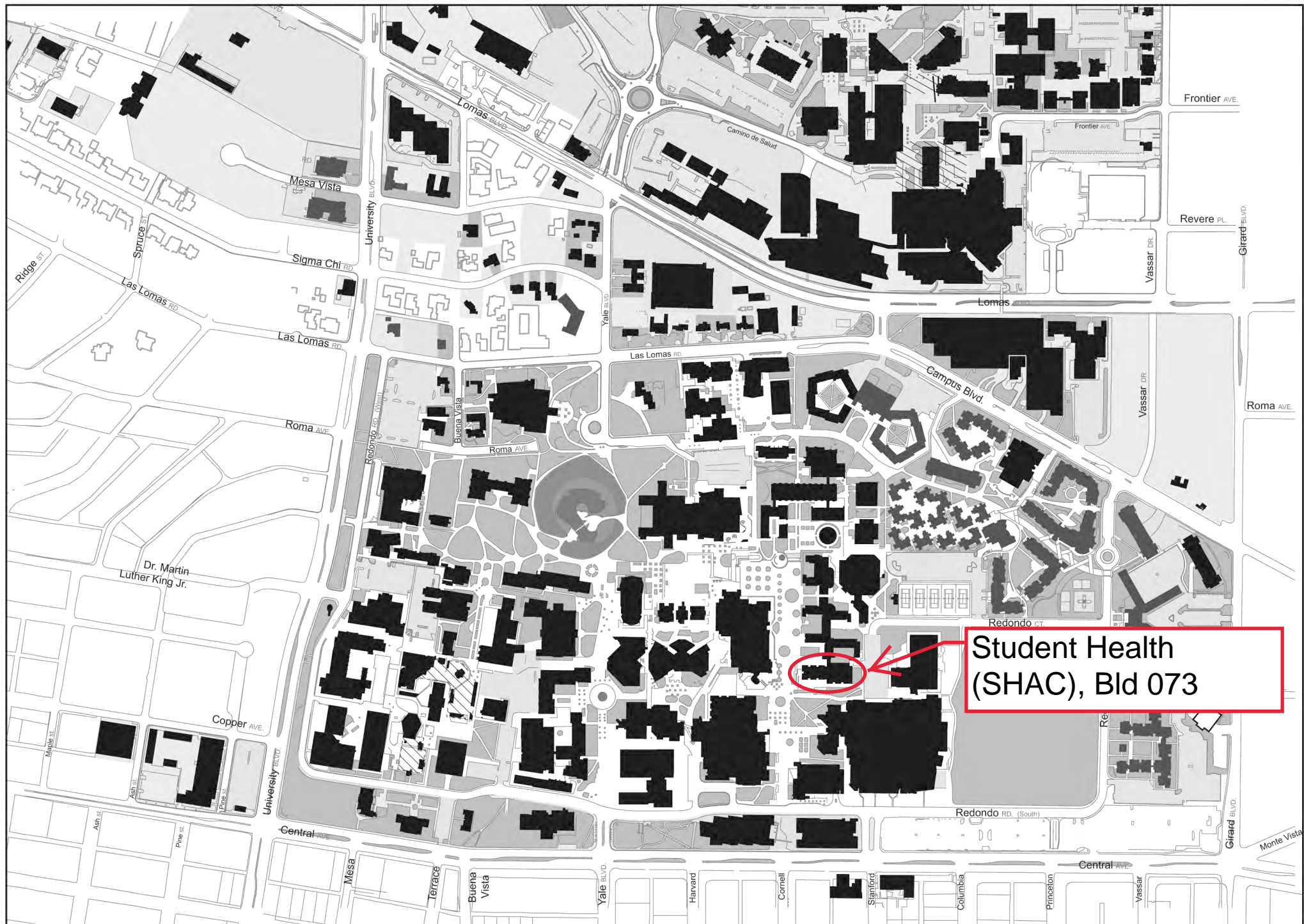
**FUNDING:**

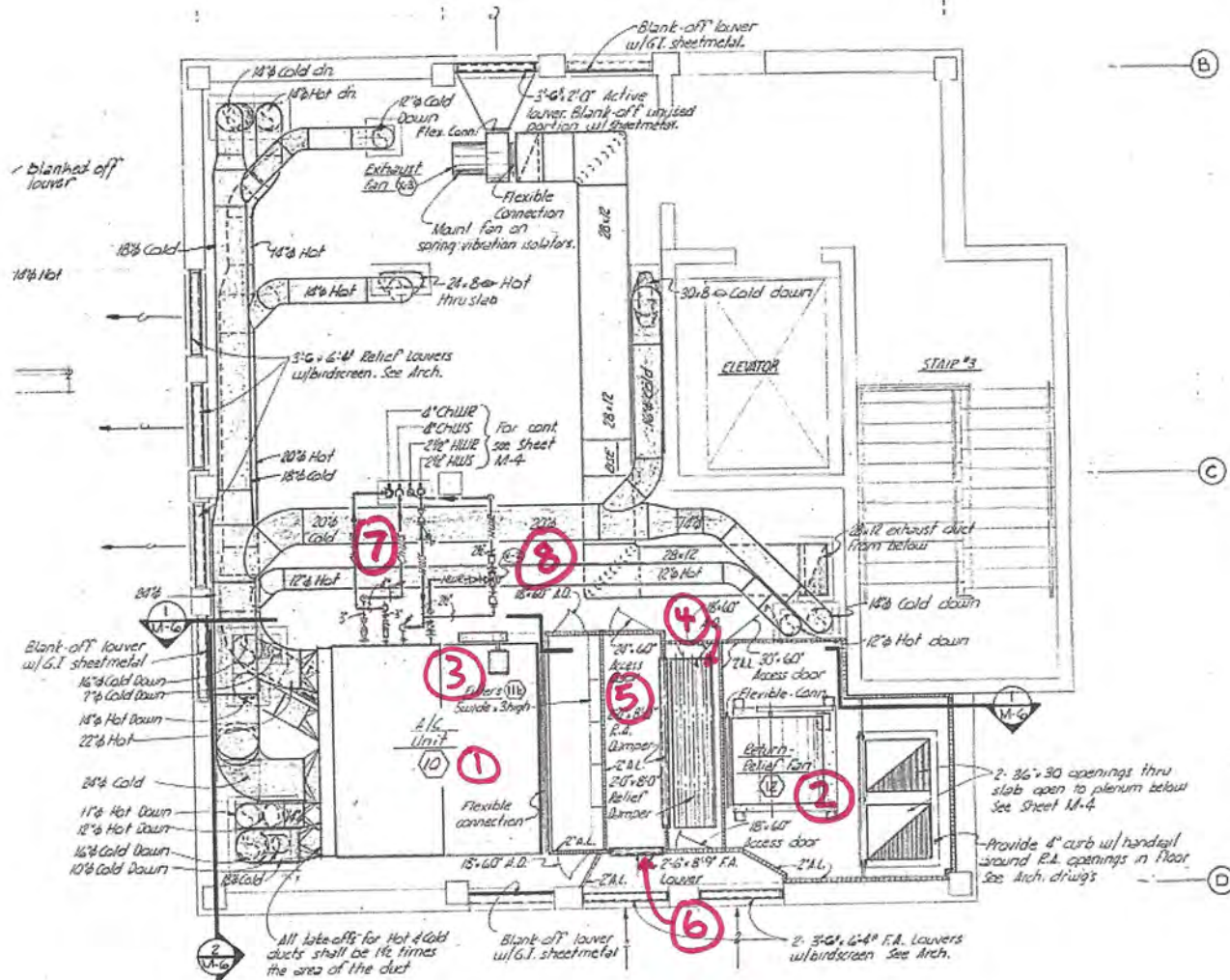
The total estimated Project Budget is \$800,000:

- \$800,000 is funded from Facilities Management Sustainability Surcharge.



# The University of New Mexico - Central Campus



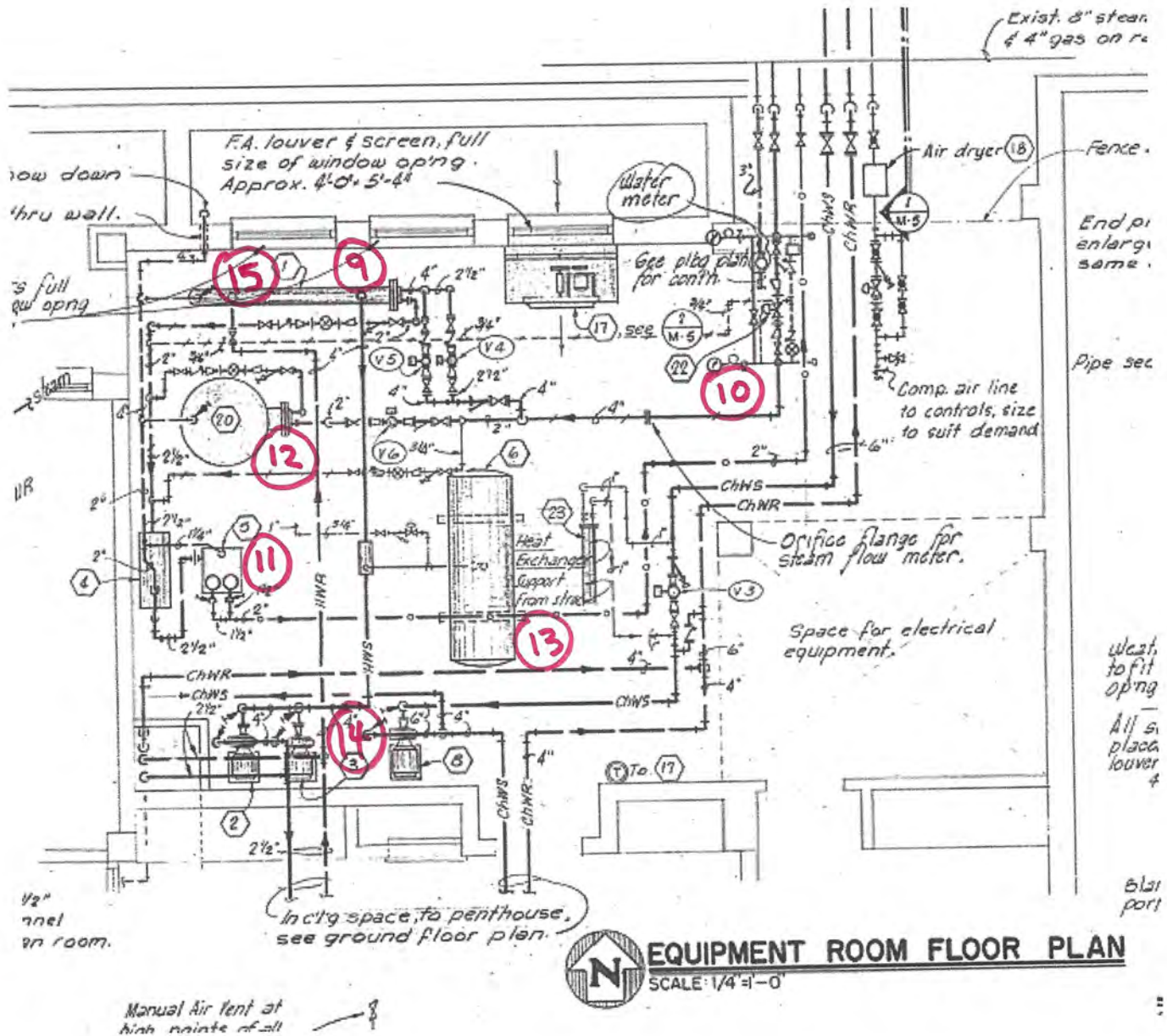


**PENTHOUSE FLOOR PLAN**

SCALE: 1/4" = 1'-0"

k, 6lb. density rigid  
ring on all interior  
leaf Air FA, & Mixed



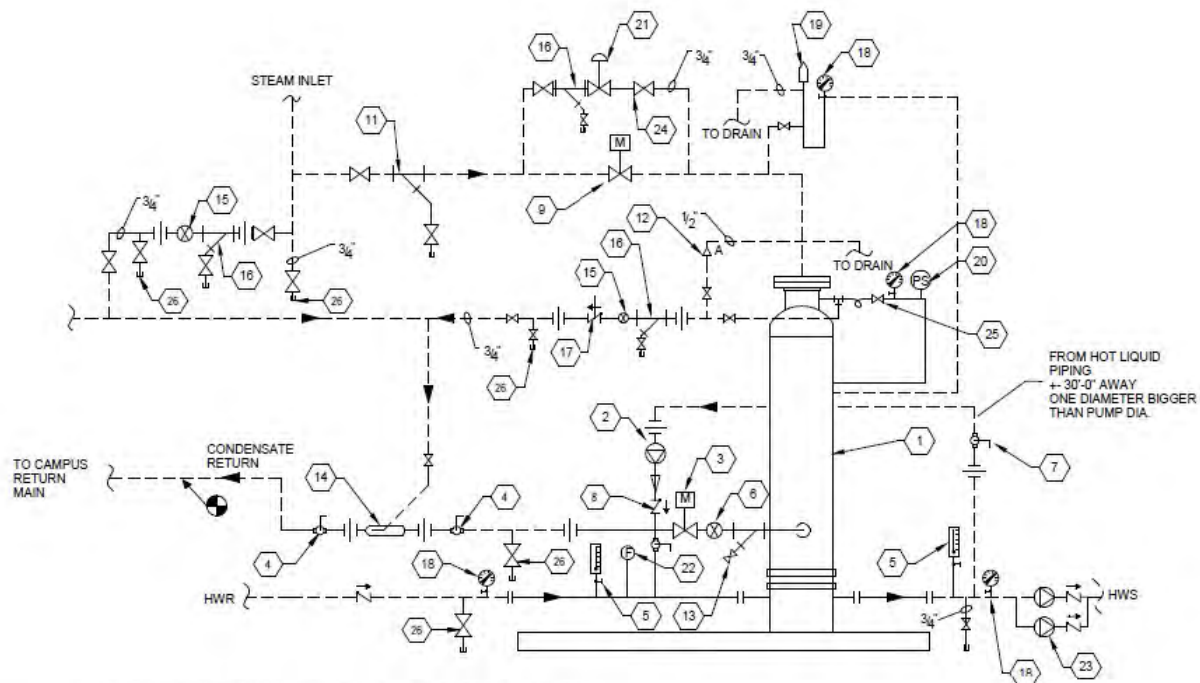




### GROUND FLOOR FAN ROOM

SCALE: 1/4" = 1'-0"



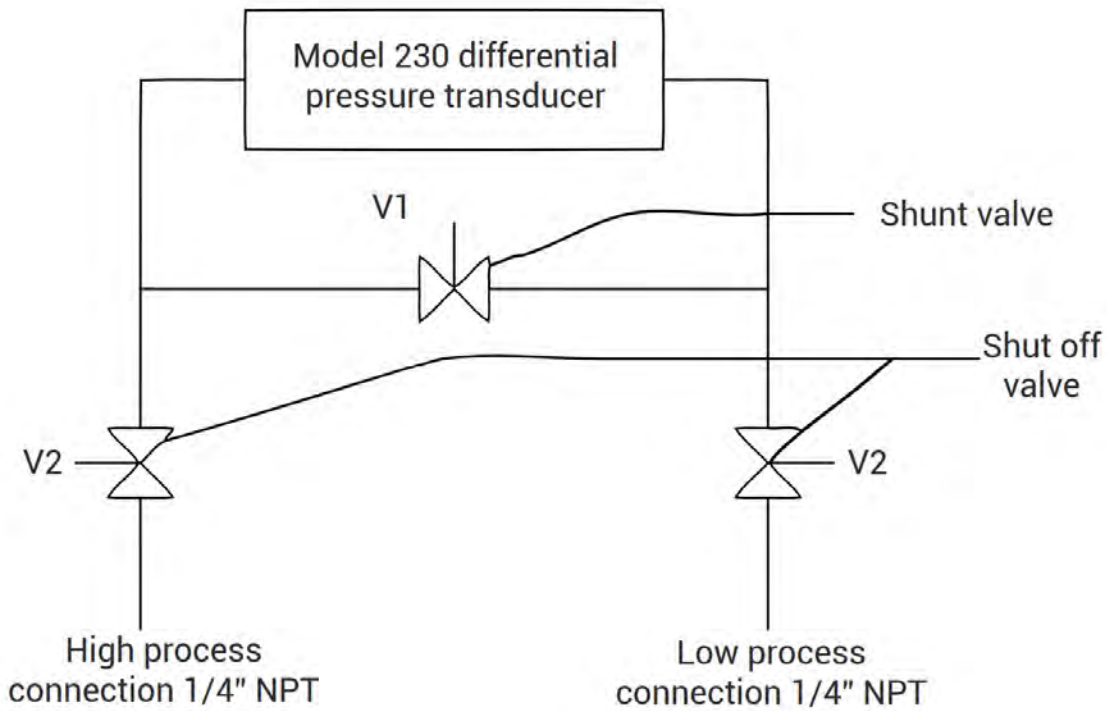


NOTE: ALL ITEMS SHOWN IN DOTTED LINES MUST BE SUPPLIED BY CONTRACTOR

- |  |   |
|--|---|
| 1 VERTICAL FLOODED STEAM HEAT EXCHANGER                                  | 14 CONDENSATE MIXER WITH INTEGRATED CHECK VALVE AND UNIONS BY HX SUPPLIER |
| 2 STABILIZING PUMP BY HX SUPPLIER  | 15 3/4" STEAM TRAP BY HX SUPPLIER   |
| 3 MODULATING FAIL-SAFE ELECTRONIC CONTROL VALVE BY HX SUPPLIER           | 16 FINE MESH 3/4" STRAINER WITH BLOW DOWN VALVE BY CONTRACTOR             |
| 4 3 PIECE STAINLESS STEEL BALL VALVE BY HX SUPPLIER                      | 17 3/4" CHECK VALVE BY CONTRACTOR   |
| 5 (2) BU-METAL THERMOMETER WITH THERMOWELL                               | 18 PRESSURE GAUGE WITH ISOLATION VALVE AND PISTAIL BY CONTRACTOR          |
| 6 FLOAT AND THERMOSTATIC STEAM TRAP BY HX SUPPLIER                       | 19 1/2" MNPT X 3/8" FNPT VACUUM BREAKER BY HX SUPPLIER                    |
| 7 (2) 3 PIECE STAINLESS STEEL BALL VALVES BY HX SUPPLIER                 | 20 A.I. PRESSURE TRANSDUCER/TRANSMITTER BY HX SUPPLIER                    |
| 8 STAINLESS STEEL CHECK VALVE BY HX SUPPLIER                             | 21 3/4" AUTOMATIC START UP VALVE BY HX SUPPLIER                           |
| 9 ELECTRIC OVER TEMPERATURE STEAM SECURITY VALVE SUPPLIED BY HX SUPPLIER | 22 FLOW SWITCH BY HX SUPPLIER   |
| 10 PRESSURE SAFETY RELIEF VALVE SUPPLIED BY CONTRACTOR                   | 23 HOT WATER BUILDING PUMPS   |
| 11 STRAINER 20 MESH WITH BLOWDOWN VALVE BY HX SUPPLIER                   | 24 UNION ORIFICE BY HX SUPPLIER   |
| 12 1/2" AIR VENT BY HX SUPPLIER  | 25 ISOLATION VALVE BY HX SUPPLIER   |
| 13 STRAINER 100 MESH WITH BLOWDOWN VALVE BY HX SUPPLIER                  | 26 DIRT LEG WITH PIPE CAP AND BLOW DOWN VALVE                             |

**A3 VERTICAL FLOODED STEAM HEAT EXCHANGER INSTALLATION DETAIL**

SCALE = NONE



**DIFFERENTIAL PRESSURE SENSOR PIPING DETAIL**

**REQUEST FOR CAPITAL PROJECT CONSTRUCTION APPROVAL for  
PETE AND NANCY DOMENICI HALL (Building #260) - CHILLER REPLACEMENT  
UNIVERSITY OF NEW MEXICO**

**May 11, 2023**

**REQUESTED ACTION:**

In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested **for the Pete and Nancy Domenici Hall (Building #260) - Chiller Replacement on the Albuquerque North Campus.**

**PROJECT DESCRIPTION:**

The Pete and Nancy Domenici Hall (DOMIN) building is a 95,248 gross square foot facility and houses various health sciences department research groups, labs, animal holding areas, clinics, and offices as well as two MRI machines. This project replaces the existing 160-ton air-cooled chiller with two smaller, right-sized chillers to provide a reliable cooling source for the facility and its research, animal holding, and clinic spaces. In addition to the chiller replacement, the project will modify the chilled water system to be more energy efficient. These modifications include new pumps and valves to convert the chilled water system from a constant volume system to a variable primary type system.

**PROJECT RATIONALE:**

Most of the facility currently relies on a single air-cooled chiller which continues to shut down on a variety of issues due to the age of the chiller and its components. The chiller is 20 years old and has reached the end of its useful service life. A reliable cooling source is critical for the clinic's operation, research labs, animal holding areas, MRIs, and offices. In addition, energy savings can be realized by installing new high-efficiency chillers that employ the latest industry energy standards along with implementing system modifications to improve chilled water use and production. The project satisfies the institution's mission by keeping research, animal holding, and clinic spaces operational with a reliable source for cooling with meeting current energy and sustainability goals.

If the project does not receive approval, the building will continue to operate utilizing a chiller with intermittent shutdowns. The research will be impacted by the loss of cooling and animals will need to be relocated to other holding facilities. Clinic spaces will have to close and cancel scheduled appointments. Energy savings will not be realized and the facility will continue to operate an inefficient chilled water system.

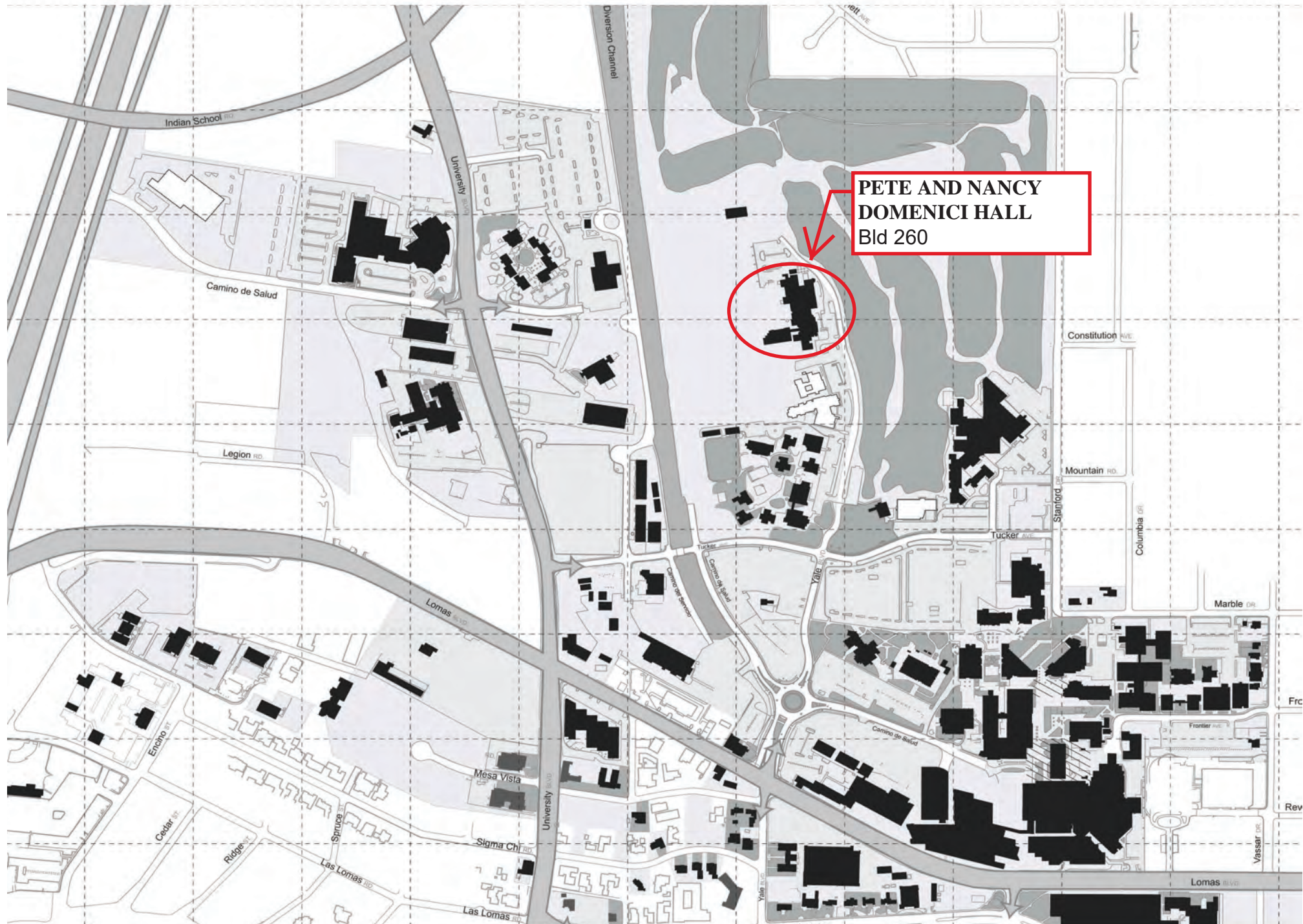
**FUNDING:**

The total estimated Project Budget is \$700,000:

- \$700,000 is funded from Facilities Management Sustainability Surcharge.

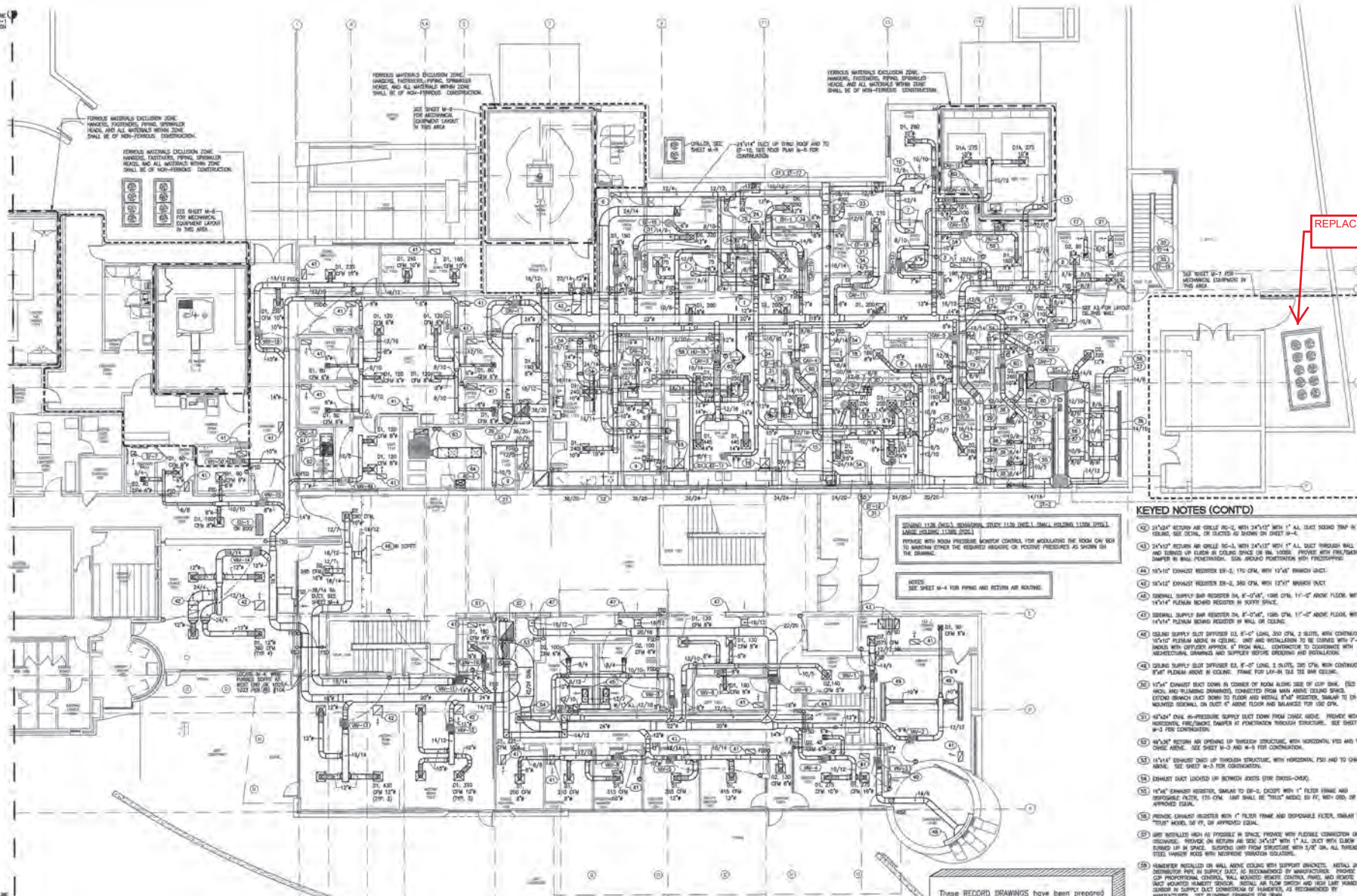


# The University of New Mexico - Albuquerque: North Campus





WATCHLINE  
REFER TO SHEET M-1  
FOR CONTINUATION



Westwork ARCHITECTS, P.A.  
ER

**MIND & COBRE Additions**  
M.M.R.C. Facility  
University of New Mexico



Mechanical Fastener Plus  
Fast Plus — Fast

### KEYED NOTES

- COMBUSTION PRODUCTION EN-1, FOR LAP-64 TYPE COLUMNS, 5000, AND 6700 CAPACITIES
- |   |                  |
|---|------------------|
| 1 | 24"x12", 66 CFM  |
| 2 | 24"x12", 70 CFM  |
| 3 | 24"x12", 100 CFM |
| 4 | 24"x12", 125 CFM |
| 5 | 24"x12", 140 CFM |
| 6 | 24"x12", 150 CFM |
| 7 | 24"x12", 160 CFM |
| 8 | 24"x12", 180 CFM |
| 9 | 24"x12", 180 CFM |

## KEYED NOTES (CONT'D)

- (10)  $2\sqrt{3} \times 10^2$ , 200 CM.  
 (11)  $2\sqrt{3} \times 10^2$ , 200 CM.  
 (12)  $2\sqrt{3} \times 10^2$ , 400 CM.  
 (13)  $2\sqrt{3} \times 10^2$ , 500 CM.  
 (14)  $2\sqrt{3} \times 10^2$ , 285 CM.  
 (15)  $2\sqrt{3} \times 10^2$ , 500 CM.  
 (16)  $2\sqrt{3} \times 10^2$ , 800 CM.  
 CORRECT ANSWERS 13-17 FOR FIXED TYPE CLINGS, GELS, AND ZIP CARTRIDGES:  
 (17)  $6\sqrt{3}$ , 80 CM.  
 (18)  $6\sqrt{3}$ , 150 CM.

## KEYED NOTES (CONT'D)

- (18)  $10^{\circ}15'$ , 130 cfm.
- (19)  $10^{\circ}15'$ , 140 cfm.
- (20)  $10^{\circ}15'$ , 170 cfm.
- (21)  $10^{\circ}15'$ , 220 cfm.
- (22)  $10^{\circ}15'$ , 210 cfm.
- (23)  $10^{\circ}15'$ , 200 cfm.
- (24)  $10^{\circ}15'$ , 300 cfm.
- (25)  $10^{\circ}15'$ , 300 cfm.
- (26)  $10^{\circ}15'$ , 300 cfm.
- (27)  $10^{\circ}15'$ , 300 cfm.
- (28) 28 cfm, GASKET TIGHT WALL, MOUNTED 1500 RPM, 1" ID, 1" DIA. HOLE

## KEYED NOTES (CONT'D)

- [illegible]

**KEYED NOTES (CONT'D)**

- 3) 1/2" OPENING DUE TO TUBES TO RELIEF HOLES #1-15. BE MAINTAINED SIMILAR TO EXISTING FAN MOUNTING. SEE SCHEDULE, OR SHEET M-1.
- 4) 1/2" IF NOT #1 A.L. DUE TO 1/4" THICK ROOF, BETWEEN ANGLES, TO EXHAST FAN ON ROOF. SEE SCHEDULE, OR SHEET M-1.
- 5) SUPPORT SLATS OFFSETTER 3-5, 1/2" LONG, SINGLE SLAT, 200 CFM, WITH 1/2" CONTINUOUS PULMON DUCTING IN CEILING SPACE.
- 6) SUPPORT SLATS OFFSETTER 3-5, 1/2" LONG, SINGLE SLAT, 200 CFM, WITH 1/2" CONTINUOUS PULMON DUCTING IN CEILING SPACE.
- 7) SUPPORT OFFSETTER 3-5, 40 CFM, 1/4" DIA. WELK, 1/2"X1/2" ROOF FRAME.
- 8) 1/2" SLATLY SLAT DOWN HORIZONTAL STRUCTURE FROM CHASE ABOVE. SEE SHEET M-2 FOR CONNECTION. PROVIDE WITH HORIZONTAL FRICTION/SAFE CAPER AT STRUCTURE PERFORATION.
- 9) 3/4"X1" BEAM OR GRILLE 10-2.

These RECORD DRAWINGS have been prepared from information furnished by the CONTRACTOR. These drawings are not a certification of actual conditions by the ENGINEER.

## KEYED NOTES (CONT'D)

- [illegible]



**REQUEST FOR CAPITAL PROJECT CONSTRUCTION APPROVAL for  
LA POSADA DINING HALL DISHWASHER REPLACEMENT  
UNIVERSITY OF NEW MEXICO  
May 11, 2023**

**REQUESTED ACTION:**

In accordance with Section 7.12 of the Board of Regents Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested for **La Posada Dining Hall Dishwasher Replacement on the Albuquerque Central Campus**

**PROJECT DESCRIPTION:**

La Posada Dining Hall is located on the University of New Mexico's central campus. The renovation of the Dish Room, 1,540 gross square feet will provide new exhaust and installation of new wastewater and domestic water lines, new floor sinks, new flooring, widening the entry, new acoustical ceiling tile and grid, new LED light fixtures, and installation of a new Jackson dishwasher. This project will also correct existing electrical and mechanical code issues.

**PROJECT RATIONALE:**

The existing dishwasher machine is over 13 years old and past the equipment's life expectancy of 10 years. It requires continual maintenance, often leaving it inoperable for days at a time, and replacement parts are no longer available for the existing unit. La Posada Dining Hall serves students, staff, faculty, conference guests, and visitors. The consequence of not receiving approval will result in complete equipment failure causing significant cost increases for the dining hall to provide disposable dining wear.

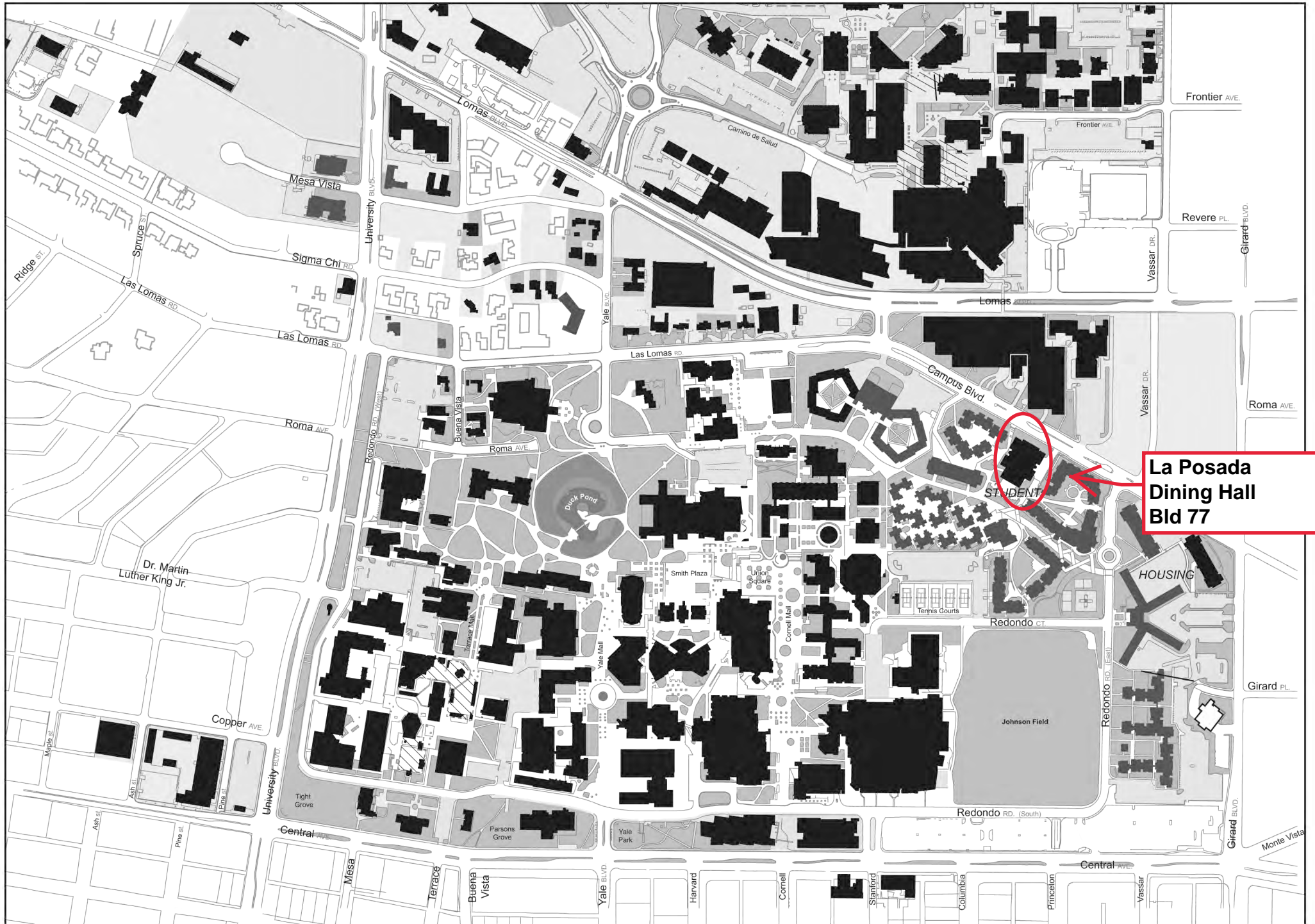
**FUNDING:**

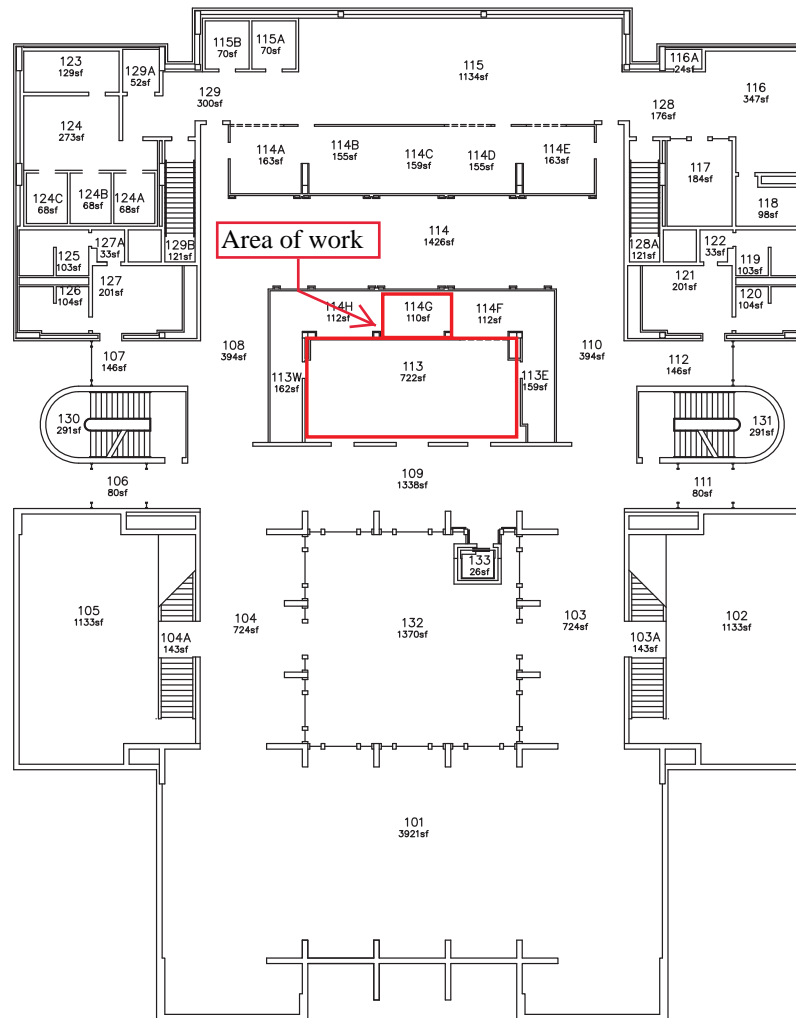
The total estimated Project Budget is \$650,000

- \$650,000 is funded from FY23 Department Capital Plant Funds



# The University of New Mexico - Central Campus





# FIRST FLOOR

SCALE: NOT TO SCALE



PLANNING & CAMPUS DEVELOPMENT  
OFFICE OF SPACE MANAGEMENT

TOTAL FLOORS:	3	FLOOR:	FIRST
TOTAL GROSS SQUARE FEET:	?	GROSS SQUARE FEET:	?
MAIN ENTRANCE		SECONDARY ENTRANCE	

## LA POSADA DINING HALL

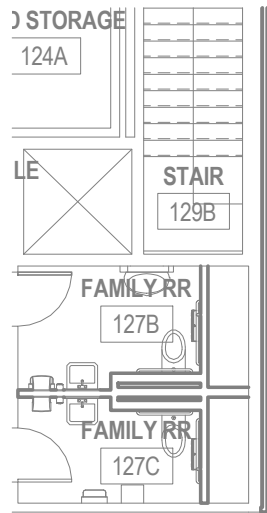
FIRST FLOOR  
2700 CAMPUS BLVD NE

#077

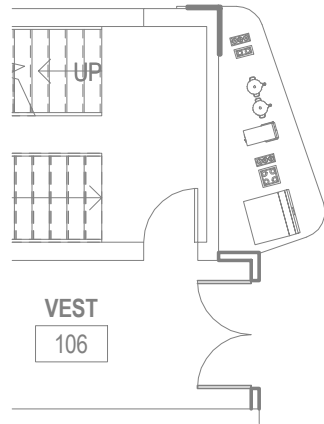
2 OF 3  
077-00-01



4/3/2023 8:47:40 AM

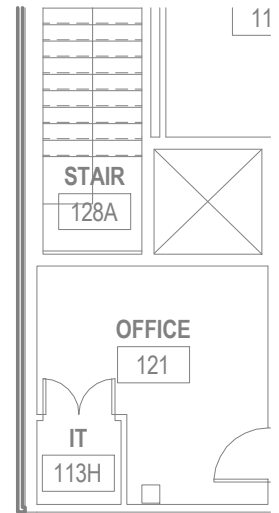
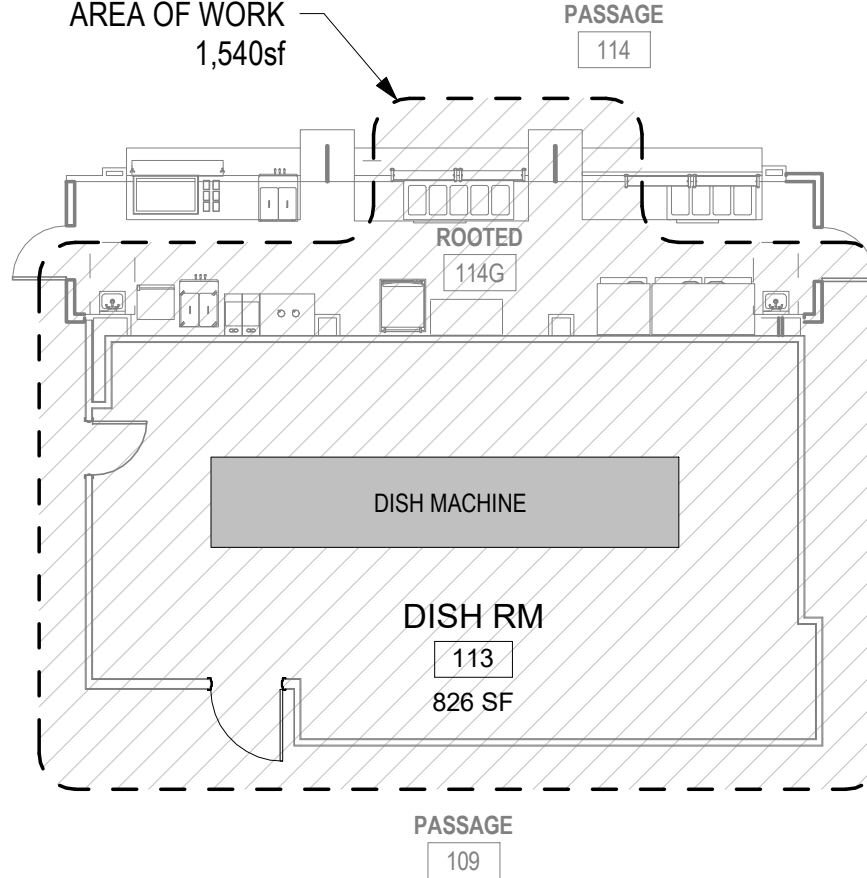


RCULATION 107

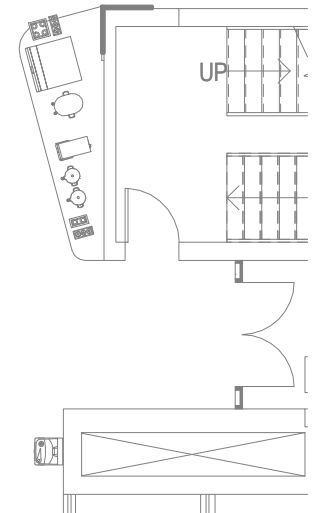


PASSAGE 108

AREA OF WORK  
1,540sf



CIRCULATK 112



# 1 LEVEL 1 FLOOR PLAN

3/32" = 1'-0"



La Posada Dining Hall Diswahser Replacement

2700 Campus Blvd NE  
Albuquerque, NM 87106

Stephen Leos Architect LLC

413 second st sw | second floor  
albuquerque, new mexico 87102  
505.681.2329 | www.SLeosArch.com

## FLOOR PLAN

REVIEWED BY:

SLA

DATE ISSUED:

04/03/23

PROJECT NO:

22-56

ISSUED FOR:

SD

SKA-1



UNM HEALTH SCIENCES | UNM HEALTH

Office of the Executive Vice President & Chief Executive Officer

# HEALTH SCIENCES BUDGET ADJUSTMENT REQUEST FISCAL YEAR 2022 – 2023

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# UNM Health Sciences Budget Adjustment Request (BAR)

- The FY 2023 Original Operating and Capital Budget was submitted to HED on May 1, 2022.
- The University is required to submit a Final Revised Budget to the HED by May 1, 2023.
- Primary purpose of the final BAR: To ensure actual expenditures will not exceed budget authority by exhibit --- 5.3.4.10 NMAC
- Health Science Academic and UNM Hospitals are required by HED to submit a revised budget – SRMC and UNM MG are not

*Note: Your ebook materials include the detailed FY23 BAR*

# UNM Health Sciences Academic Year-End Changes

- **\$11.2M Net Increase** in Unrestricted Revenue
  - Revenue increases of \$19.6 million in clinical and other sales and services, \$1.5 million in Indirect Cost Recovery from Contracts and Grants and \$1.3 million in Student Financial Aid offset by decreases of \$10 million for a School of Public Health appropriation that was moved to Restricted, \$1.1M in tuition and fees, and \$100,000 for a GPSA student aid appropriation that was re-assigned from Health Sciences to Main Campus Student Aid
  - **\$11.2 million** represents 1.5% of the \$735.6 million Total Unrestricted Revenue Budget
- **\$14.2M Net Increase** in Unrestricted Expenses and Transfers Out for FY23.
  - Expenses increased \$7.2 million in service contract and supply costs \$1.8 million in student aid costs but decreased \$6.6 million for a School of Public Health appropriation that moved to Restricted
  - Transfers out increased \$11.8 million due to transfers to plant for buildings and renovation projects, transfers to the Endowment Fund and transfers for Contracts and Grants cost share commitments
  - **\$14.2M** represents 1.9% of the \$747.4M Total Unrestricted Expense Budget

# UNM Hospitals Year-End Changes

- **\$22.1M Net decrease** in Revenue due to less than anticipated patient and other revenues
  - This represents 1.5% of the \$1.49 billion original total budgeted revenue
- **\$18.0M Net increase** in Expense due to high contract labor costs
  - This represents 1.2% of the \$1.48 billion original total budgeted expense

# Requesting Approval of the Fiscal 2022-2023 UNM Health Sciences Budget Adjustment Request (BAR)

## **REQUEST FOR CAPITAL PROJECT APPROVAL for:**

Health Sciences Library & Informatics Center 2<sup>nd</sup> Floor Renovation

### **UNIVERSITY OF NEW MEXICO**

May 11<sup>th</sup>, 2023

#### **REQUESTED ACTION:**

In accordance with Section 7.12 of the Board of Regent Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested for:

HSLIC 2<sup>nd</sup> Floor Renovation

#### **PROJECT DESCRIPTION:**

A recent renovation of the third floor of the Health Sciences Library & Informatics Center, HSLIC, has resulted in significant improvements for students, while the second floor, which is the “main” floor of the library, does not meet the needs of today’s students and is currently inflexible in design. With this renovation HSLIC’s second floor will offer students what they need for success and improved accessibility while creating flexibility for the future.

This project will include the renovation of the 2nd floor of HSLIC, in keeping with existing masterplan to accommodate for modern student-focused space. The 2<sup>nd</sup> floor remodel will include a new circulation desk area, renovated lobby to include new acoustical ceilings, furniture, new computing area with upgraded data, renovated anatomy display to allow for interactive learning, collaborative space throughout, and a galley for students and staff for increased comfort with the newly introduced 24/7 access.

The project will also include the design and planning to install new LED lighting and ceiling throughout the remainder of the building as the budget permits. There are areas within HSLIC that are not well lit and upgrading the lighting will increase comfort and safety at all times of the day.

#### **PROJECT RATIONALE:**

Libraries are a critical component of student success on campus. HSLIC supports all UNM HSC education programs. In the last 22 years, total enrollment in UNM HSC education programs has grown 34%. The last major remodel of the library’s main floor (2nd floor) was in FY2000, when UNM HSC enrollment was 1,740. In FY2022 UNM HSC’s enrollment was 2,326. The number of students enrolled in UNM HSC’s graduate and professional programs, who typically are the heaviest library users, has nearly doubled from 590 in FY2000 to 1,174 in FY2022. Library spaces enable students from all backgrounds and living situations access to stable, high speed internet; a safe place to study alone or in groups; and connections to health sciences information and education technologies essential to their education and growth.

Providing safe, modern, technology-ready spaces in an inclusive academic environment is a key component of HSLIC’s mission and the UNM HSC’s goal to build the healthcare workforce of New Mexico by providing a premier education. In tandem with HSLIC’s new 24/7 access for students, this renovation will provide optimal spaces for students, residents, and other learners to work and learn. Completing this project will also allow HSLIC to accommodate current and future growth in the number of enrolled students using the library.

This renovation is crucial to meet the changing needs of students and keep in line with the building's masterplan to introduce more collaborative study spaces, galley space, VR space, and 3D printer as well as improve comfort and safety with lighting upgrades.

## FUNDING:

Funds for this project in the amount of \$2,850,000 were awarded from the 2023 HB505 severance tax bonds.

## PROJECT SITE:



2400 Marble Ave NE  
Albuquerque, NM 87131



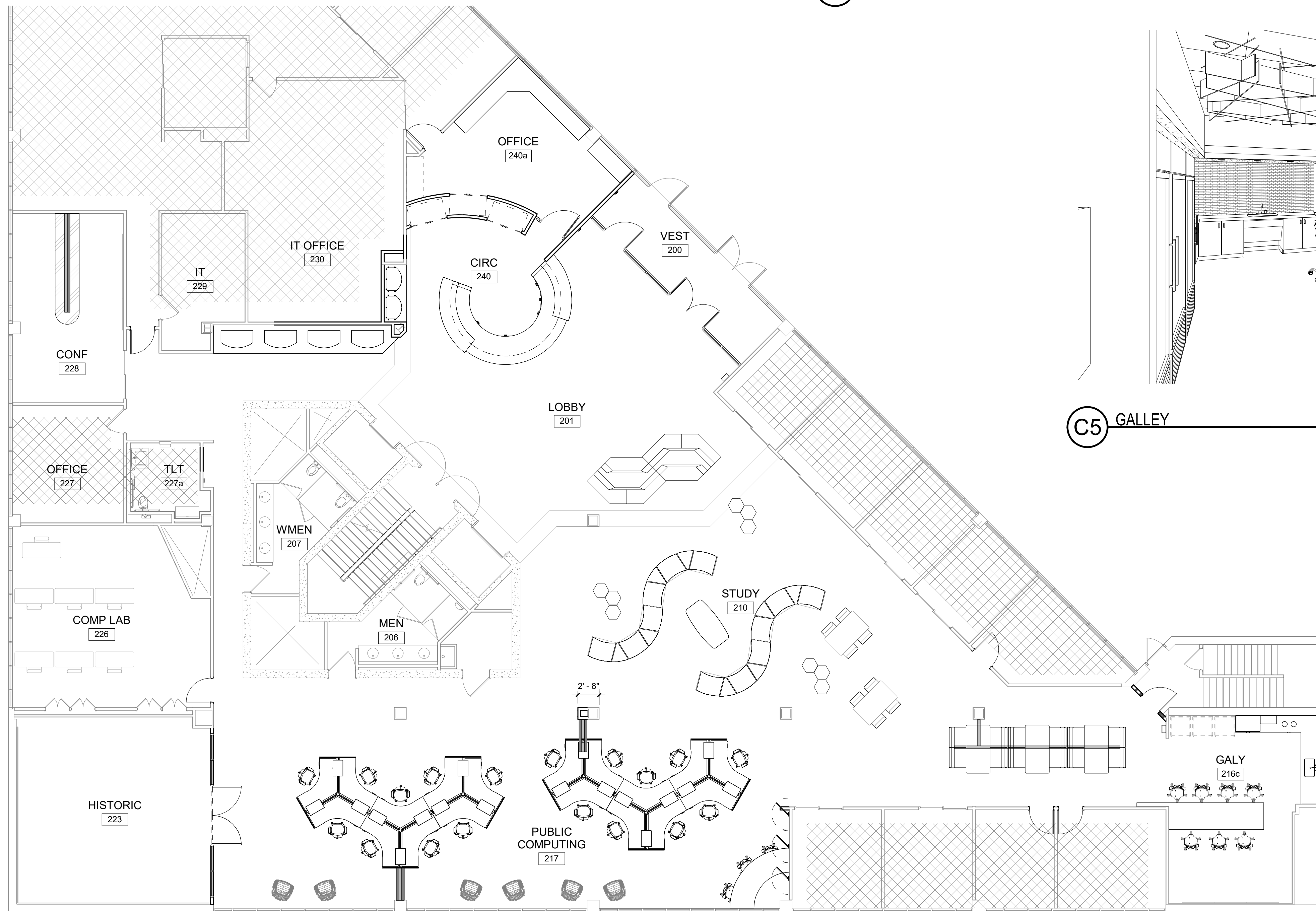


## RENDERING OF LOBBY:

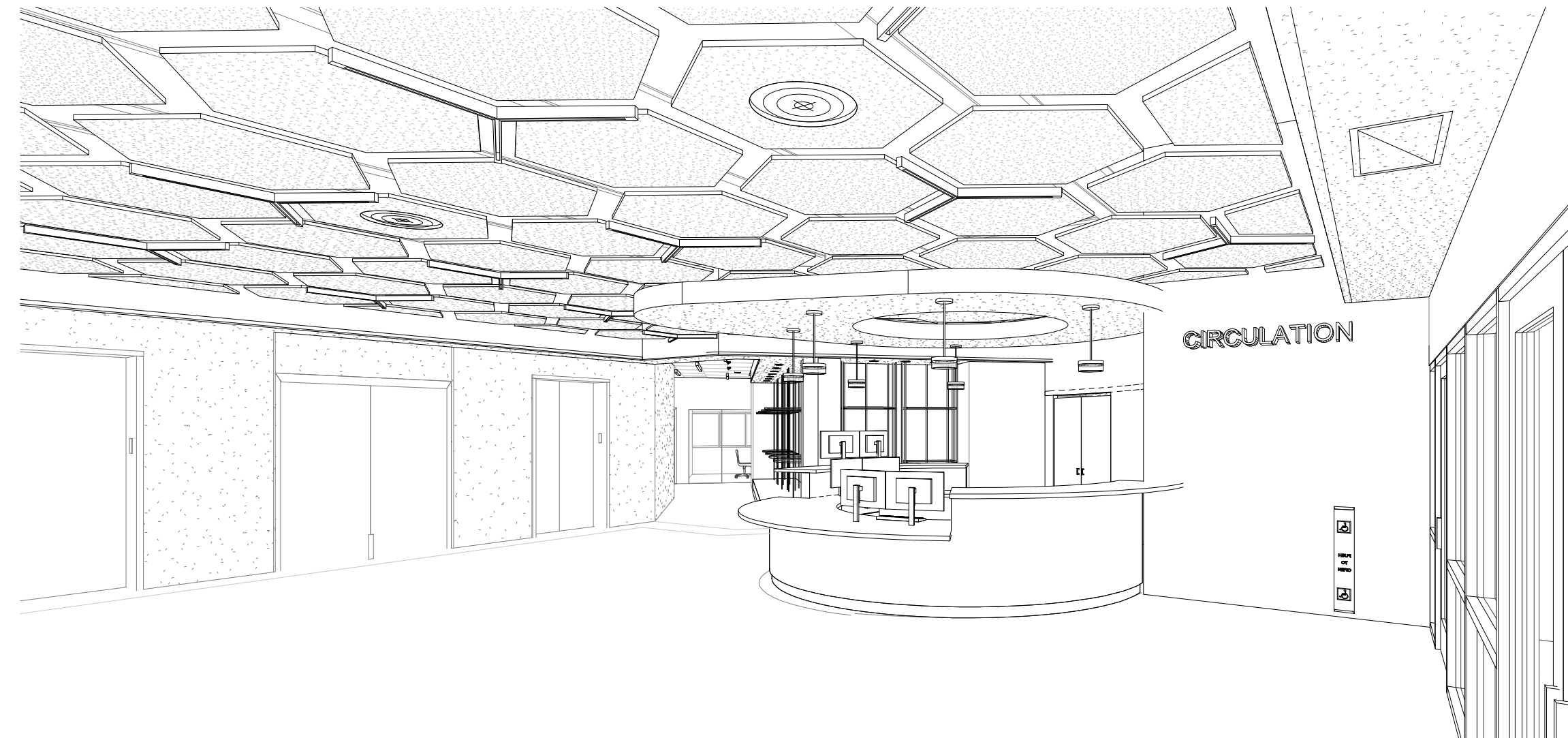


4/25/2023 6:50:36 PM

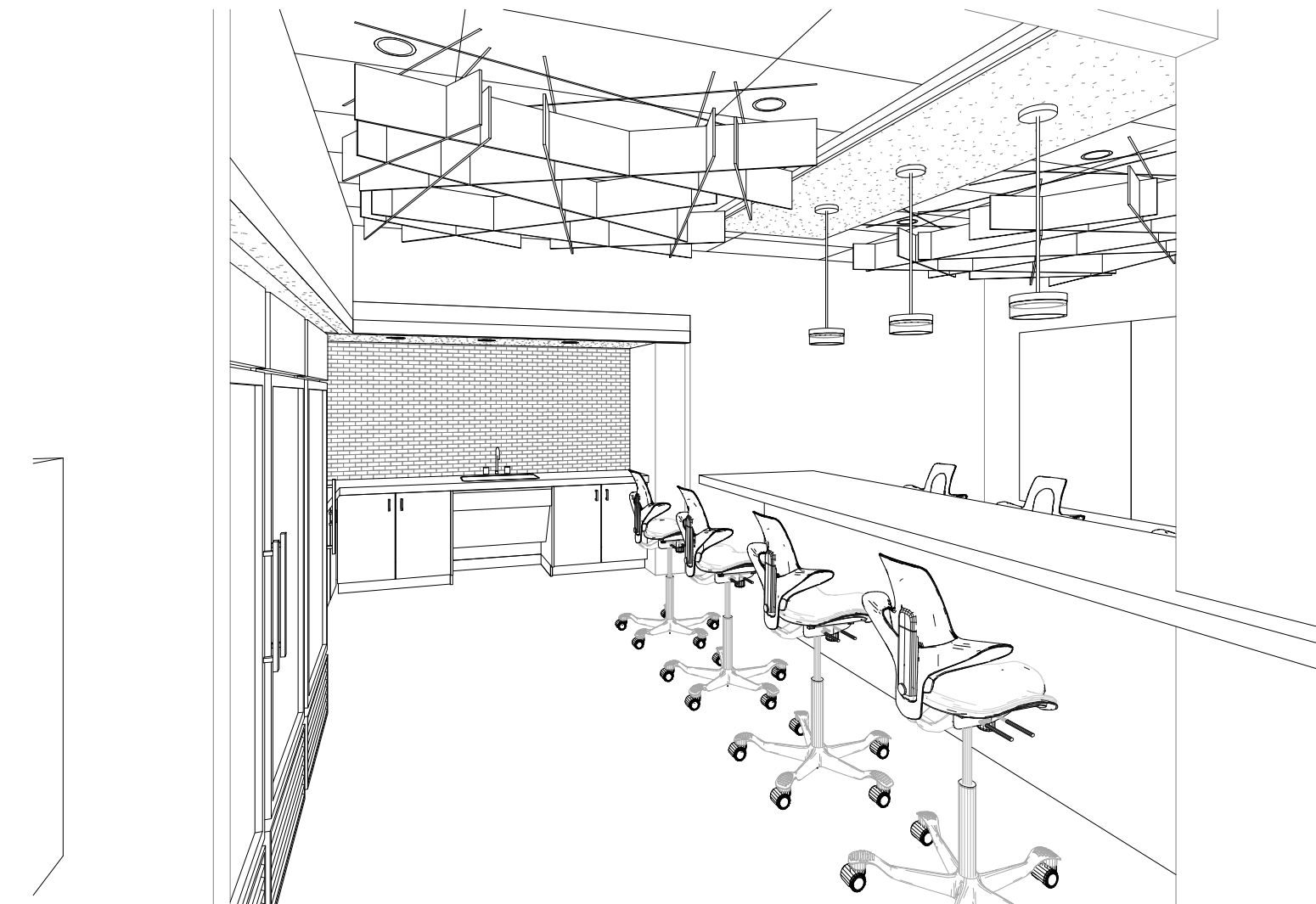
**A1** 2nd FLOOR PLAN  
1/8" = 1'-0"



**D4** CIRCULATION



**C5** GALLEY



Stephen Leos Architect  
413 2nd street sw | 2nd floor  
albuquerque, new mexico 87102  
505.681.2329 | www.SLeosArch.com

G. DONALD DUDLEY AIA

**ARCHITECT**

ARCHITECTURE INTERIORS PLANNING  
AIA NCARB LEED AP

NOT FOR  
CONSTRUCTION

UNM / HSC HSLIC 2nd FLOOR  
RENOVATIONS PHASE 2

HSLIC BLD# 234  
2400 MARBLE NE  
ALBUQUERQUE, NM 87131

REVIEW

REVISIONS

NO.	DATE	DESCRIPTION
-----	------	-------------

FURNITURE PLAN

SHEET NO

**SK101**

PROJECT NO: 21-50

DATE: APRIL 25, 2023

## **REQUEST FOR CAPITAL PROJECT APPROVAL for:**

Health Resources & Services Administration UNM Health & Health Sciences: Renovation of Research Facilities Project

### **UNIVERSITY OF NEW MEXICO**

May 11<sup>th</sup>, 2023

#### **REQUESTED ACTION:**

In accordance with Section 7.12 of the Board of Regent Policy Manual and as required by the New Mexico Higher Education Department and New Mexico State Board of Finance, project approval is requested for:

Health Resources & Services Administration UNM Health & Health Sciences: Renovation of Research Facilities Project

#### **PROJECT DESCRIPTION:**

The Health Resources & Services Administration, HRSA, UNM Health & Health Sciences: Renovation of Research Facilities consists of eight lab remodels at the University of New Mexico Health & Health Sciences. The HRSA Grant was awarded for the alteration, renovation, improvement, and reconfiguration of existing facilities at the UNM Health & Health Sciences research laboratory complex, which are greater than 25 years old. The project proposes to renovate 5,198 square feet. The work will include improvements in heating, ventilation, and air conditioning, as well as lighting and environmental monitors. It will also include inhalation exposure hoods, venting, doors, flooring, ceiling tiles, bench work, caseworks and new plumbing and electricity for new benches.

#### **PROJECT RATIONALE:**

These aging facilities create significant challenges in the operational capabilities of infrastructure, the design of facilities to support current research models and the ability to support growth in research and training programs. When both the quantity and age of the space is compared with a range of peer state university health sciences centers, it is clear, HSC facilities are significantly older, resulting in 1) older models of research facilities; 2) higher maintenance and operating costs; and 3) reduced competitiveness in the ability to use space as a tool for recruiting and retaining top faculty and their teams. An evaluation of the research space age and quantity as compared to some peer state health sciences institutions demonstrates the UNM HSC has two disadvantages: older space and more extramural funding into less space than its peers.

The goal of this project is to improve safety for researchers, improve compliance issues, help with acquiring new faculty and retaining those already employed. This project will also allow for various breakthrough research to be conducted throughout the various labs.

The proposed renovations were separated by the principal investigator, PI, except for the Cancer Research Facility, CRF, projects. For purposes of remaining in line with the project identification presented in the grant, we have named the projects 1-8. Please note, project 2 has been removed from the scope due to budget restrictions. See below for a detailed rationale for each of our projects:

#### **Project 1 – Dr. Feng (Pharmaceutical Sciences) Nursing & Pharmacy Building Rooms B52 and B54B**

The overall goal of renovating the metals labs indicated in the Nursing & Pharmacy Building, NRPH, is to revitalize the existing lab space that is essential for executing high-quality bioanalytical research by the Integrative Molecular Analysis Core, a unique shared resource for the researchers across campus. To do

this, the layout and construction materials will be updated. This will involve removing existing hoods that are disused, adding benchwork and casework, and routing plumbing and electricity to the new benches. This will result in spaces that meet the space, power, HVAC, and environmental requirements for installing high-end mass spectrometry equipment and doing complex sample preparation.

#### **Project 2 – Dr. Campen (removed from scope)**

#### **Project 3 – Dr. Joste (Pathology) Fitz Hall Room 332**

The primary goal is to invest in research infrastructure for use by new research faculty by renovating vacant wet lab space. Renovating the space involves plumbing, electrical updates, new vents, and lighting. New shelving and chemical and biosafety hoods will also be installed. These changes will bring the lab up to code to provide a safe environment for science. The expected outcome is renovated laboratory space which will support research programs to be developed by new faculty recruits.

#### **Project 4 – Dr. Kanagy (Cell Biology) Biomedical Research Facility Room 232**

The goal of renovating Biomedical Research Facility, BRF, room 232 is to renovate an existing space with temporary electrics into a space appropriate for laboratory work for use by a new member of the Cell Biology & Physiology research group. This will involve refurbishing or replacing the 30-year-old modular furniture, countertops, sinks. We will also install permanent, OSHA-approved electrics in the newly installed central island. The expected outcome will be a space appropriate for use by a new recruit joining the vascular physiology research group.

#### **Project 5 – Dr. Yoshida (Orthopedics Physical Therapy) Health Sciences and Services Building Room 168**

The primary goal to renovate room 168 in the Health Sciences and Services Building, HSSB, is so it can be used as a shared resource hub for interdisciplinary research across the institution. Because the current room configuration uses an immobile elevated gait walkway, researchers seek other facilities for additional functional assessments. By elevating the entire floor, we can build the force plates into the floor, allowing the gait walkway to be moved to one side of the room. This project will facilitate increased use of the overall square footage and reduce the risk of falls. The expected outcome is to increase the amount and types of research that can occur in the space, paving the way towards a shared resource status.

#### **Project 6 – Dr. Parra (Biochemistry Molecular Biology) Biomedical Research Facility Room G25**

The overall goal of renovating BRF G25 is to enhance an underutilized existing research space facility by creating a new cell tissue culture room to recruit new faculty. The existing space has the building's original floor configuration and ADA compliance issues originating from its design in the 1970s, a floor-to-ceiling renovation is warranted. This will involve a floor plan change, including the removal of a wall, installation of new casework, and upgrade of plumbing, electric, and HVAC systems to allow optimal space for equipment and workstations. The expected outcome is a renovated laboratory which will support new research programs in areas of metabolic diseases that a new faculty assigned to the space will develop.

#### **Project 7 – Dr. Tomkinson (Cellular & Molecular Oncology) Cancer Research Facility Room 219**

The primary goal to remodel room 219 at CRF is to enhance shared resources at the UNM Comprehensive Cancer Center (UNMCCC) by providing access to renovated facilities with innovative technologies. In order to accommodate new technology, we propose to install new lab benches and workstations for the UNMCCC Animal Models and the developing Translational Science Core. This lab will ultimately be a shared space for Animal Models, Translational Science as well as the Fluorescence

Microscopy core. The expected outcome is to be able to offer new services and technologies as well as to accommodate the needs of more investigators using the UNMCCC Shared Resources.

### **Project 8 – Dr. Tomkinson (Cellular & Molecular Oncology) Cancer Research Facility Room 304**

The primary goal is to designate additional dedicated space for tissue culture on the 3rd floor of the CRF. The existing space was originally intended as a conference room and previously used as a storage space for equipment. However, the tissue culture needs of the investigators on the 3rd floor of the CRF have grown and we are lacking sufficient space to accommodate those needs. We are proposing renovation of the space to allow for tissue culture experiments with amenities such as a sink, lab benches and bio-safety cabinets to meet the growing demand for cell tissue culture rooms. The expected outcome is to accommodate the tissue culture needs of the investigators on the 3rd floor of the CRF building thereby facilitating their ongoing federally funded research.

### **FUNDING:**

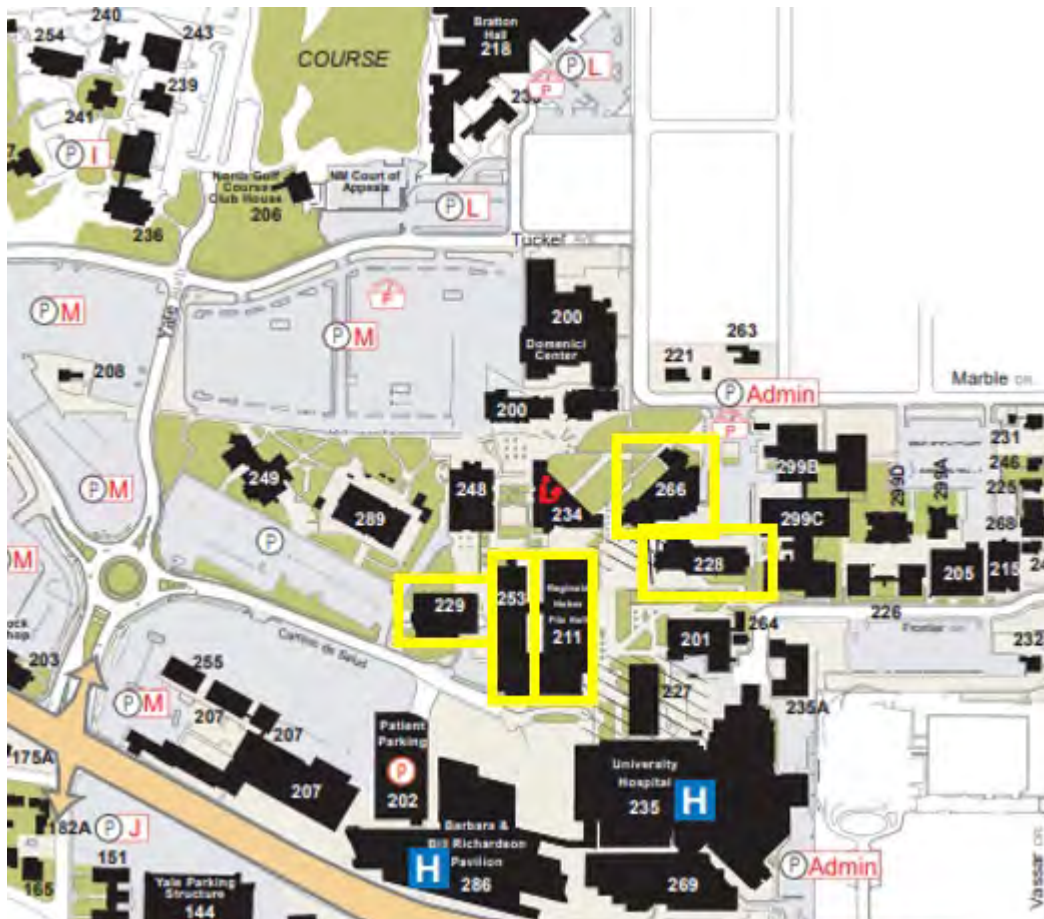
HRSA Grant awarded the Health & Health Sciences \$1,500,000. The total estimated amount for the project is \$2,214,656 and HSC will cost share \$714,656. Cost share was funded through departmental funds and Health & Health Sciences facilities and administrative, F&A, funds.

<b>HRSA Grant</b>	<b>\$1,500,000</b>
<b>Cost Share</b>	<b>\$714,656</b>
<b>Total</b>	<b>\$2,214,656</b>

<b>Project Number</b>	<b>Principal Investigator</b>	<b>Space</b>	<b>Cost</b>
1	Feng	NRPH B52	\$241,357
		NRPH B54B	
3	Joste	Fitz 332	\$343,475
4	Kanagy	BRF 232, 232A	\$339,669
5	Yoshida	HSSB 168	\$298,302
6	Parra	BRF G25	\$407,671
7	Tomkinson	CRF 219	\$316,423
8	Tomkinson	CRF 304	\$267,758
<b>Subtotal</b>			<b>\$2,214,656</b>



## PROJECT SITE:



Building	Building Number	Address
Fitz	211	2425 CAMINO DE SALUD N.E. ALBUQUERQUE, NM
NRPH	228	2502 MARBLE AVE. N.E. ALBUQUERQUE, NM
CRF	229	2301 CAMINO DE SALUD N.E. ALBUQUERQUE, NM
BRF	253	915 CAMINO DE SALUD N.E. ALBUQUERQUE, NM
HSSB	266	2500 MARBLE AVE. N.E. ALBUQUERQUE, NM



## ***MEMORANDUM***

**DATE:** April 28, 2023

**TO:** HSC Committee and UNM Board of Regents

**FROM:** Kate Becker *KB*  
CEO, UNM Hospital

**SUBJECT:** Board Re-Appointment, UNM Hospital Board of Trustees  
Mr. Kurt Riley (APCG Appointee)

The All Pueblo Council of Governors (APCG) has approved Mr. Kurt Riley to be re-appointed to a 2<sup>nd</sup> term as a Member of the UNM Hospital Board of Trustees (letter attached). At today's UNM Hospital Board of Trustees Meeting, the Board approved APCG's endorsement of Mr. Riley's 2<sup>nd</sup> term re-appointment.

Mr. Riley's term would be July 1, 2023 thru June 30, 2026.



All Pueblo  
Council of  
Governors

Officers:  
Mark Mitchell, Chairman  
Jerome Lucero, Vice-Chairman  
Governor Val Panteah Sr., Secretary

Acoma

April 27, 2023

Cochiti

Kate Becker, CEO  
UNM Hospital  
2211 Lomas Blvd. NE  
Albuquerque, NM 87106

Isleta

Jemez

Re: Pueblo Governor's Re-nomination of Trustee Kurt Riley to UNMH Board of Trustees

Laguna

Dear Ms. Becker,

Nambe

On behalf of the All Pueblo Council of Governors (APCG), collectively representing the 20 Pueblos of New Mexico and Texas, we express our sincere appreciation for your letter dated January 11, 2023 requesting the consideration of Trustee Kurt Riley to serve a second term on the University of New Mexico Hospital Board of Trustees (UNMH-BOT).

Picuris

Pojoaque

At a duly called APCG meeting on April 27, 2023, Pueblo Governors took action to re-nominate Trustee Kurt Riley to serve a second term on the UNMH-BOT. Mr. Riley has served as a longtime public health professional and tribal leader for his Pueblo of Acoma before becoming a member of the Board of Trustees for the last three years. He has agreed to continue serving in this capacity for another term.

Sandia

San Felipe

The APCG appreciates your strong and favorable consideration for the re-nomination of Trustee Kurt Riley who embodies the best of Pueblo Core Values and a strong commitment to service. By supporting Trustee Kurt Riley's re-nomination on the UNMH-BOT, it will help secure the necessary voices to ensure the best health care delivery for Pueblo people and Native Americans, alike.

San Ildefonso

Santa Ana

Santa Clara

Thank you for your time and consideration of Trustee Kurt Riley's re-nomination. The APCG looks forward to hearing from you soon. Until then, if there are any questions or concerns please reach out to APCG staff.

Santo Domingo

Taos

Respectfully,

Tesuque

Mark Mitchell, Chairman  
All Pueblo Council of Governors

Ysleta del Sur

Zia

CC:  
Pueblo Governors

Zuni



**RESTATED AND SECOND AMENDMENT  
BYLAWS OF  
CARRIE TINGLEY HOSPITAL FOUNDATION**

**ARTICLE I  
CORPORATE OFFICE**

The Carrie Tingley Hospital Foundation has been formed as a non-profit corporation under the New Mexico Non-Profit Corporation Act, N.M. Stat. Ann. § 53-8-1 *et seq.*, (hereinafter referred to as the "Foundation") in the State of New Mexico. The principal office of the Foundation in the State of New Mexico shall be located in the City of Albuquerque and County of Bernalillo. The Foundation may have such other offices, either within or without the State of New Mexico as the business of the Foundation may require from time to time.

**ARTICLE II  
MEMBERS**

The Foundation shall have no members.

**ARTICLE III  
DIRECTORS**

3.1 General Powers. The business and affairs of the Foundation shall be managed by the Board of Directors. The Board of Directors may adopt such rules and regulations for the conduct of its meetings and the management of the Foundation as it may deem proper, not inconsistent with law or these Bylaws. The Directors shall act only as a Board, and individual Directors shall have no power as such.

3.2 Number, Term and Qualifications of the Board of Directors.

(a) The number of elected Directors shall not be less than twelve (12) or more than twenty (20).

(b) In addition to the Directors provided for above, the Foundation shall also appoint two

ex officio voting members of the Board of Directors as follows:

(i) one ex-officio voting member of the Board of Directors shall be a member of

Carrie Tingley Hospital or the University of New Mexico Health Sciences Center administration such person to be mutually agreeable to the Foundation

and the University of New Mexico; and

(ii) one ex-officio voting member of the Board of Directors shall be a member of the Board of Regents of the University of New Mexico, as appointed from time to time by the Board of Regents.

(c) Directors shall be elected to three-year terms. No individual shall serve more than two consecutive full three-year staggered terms. Upon serving two consecutive three-year terms, an individual may serve as Director again after being off the Board of Directors for a period of not less than one year.

3.3 Vacancies. Any vacancy occurring in the Board of Directors, or any Director position to be filled by reason of an increase in the number of Directors, may be filled by the affirmative vote of a majority of the remaining Directors. A director elected to fill a vacancy shall be elected for the unexpired term of his predecessor in office. Any Director position to be filled by reason of an increase in the number of Directors shall be filled by the Board of Directors for a term of office continuing only until the next election of the class of directors for which Director shall have been chosen and until his or her successor shall be elected.

3.4 Compensation. The Directors shall serve without compensation; provided that by resolution of the Board of Directors, Directors may receive expenses of attendance, if any, for attendance at any regular or special meeting of the Board of Directors.

3.5 Resignation. Any Director may resign his/her position at any time. Such resignation shall be made in writing to the President of the Board of Directors and shall take effect immediately without acceptance.

3.6 Removal. Unless otherwise restricted by law, any director may be removed or expelled, with or without cause, upon the recommendation of the Executive Committee at any time upon a vote of two-thirds (2/3<sup>rd</sup>) of the directors in attendance at any properly noticed regular or special meeting of the Board. Thereafter, such vacancy may be filled by the Board as provided in these bylaws.

## **ARTICLE IV MEETINGS**

4.1 Annual and Regular Meetings. An annual meeting of the Board of Directors, for the purpose of electing or appointing Directors and officers and for the transaction of any other business, shall be held in the fourth (4<sup>th</sup>) quarter of the calendar year. Regular meetings will be held at intervals as determined by the Board of Directors. Regular meetings will be open for the transaction of any business within the powers of the Board of Directors without special notice of such business, except where special notice is required by law, by the Articles of Incorporation, or by these Bylaws.

4.2 Special Meetings. Special meetings of the Board of Directors may be called by or at the request of the President at any time. In addition, the President or Secretary shall, upon a written request of at least one-half (1/2) the number of Directors, call a

special meeting to be held not more than seven (7) days after the receipt of such request. The President may fix any place within the State of New Mexico as a place for holding any special meeting of the Board of Directors.

4.3 Meetings by Telephone or Video Conference Calls. Directors or any members of any Committee may participate in a meeting of the Board of Directors or such Committee by means of conference telephone or similar communications equipment by means of which all persons participating in the meeting can hear each other, and participation in such a meeting by the aforesaid means shall constitute presence in person at such meeting.

4.4 Notice. Notice of any meeting shall be given at a minimum of least two (2) days previously thereto by written notice delivered by courier, by facsimile transmission, by email or mailed to each Director at his last known post office address. If mailed, such notice shall be deemed to be delivered five (5) days after it is deposited in the United States mail in a sealed envelope so addressed, with postage thereon prepaid. Any Director may waive notice of any meeting. The attendance of a Director at any meeting shall constitute a waiver of notice of such meeting, except when a Director attends a meeting for the express purpose of objecting to the transaction of any business on the basis that the meeting is not lawfully called or convened. Neither the business to be transacted at, nor the purpose of, any regular or special meeting of the Board of Directors need be specified in the notice or waiver of notice of such meeting.

4.5 Quorum. Fifty-one percent of the number of Directors shall constitute a quorum for the transaction of business at any meeting. In no event shall a quorum consist of less than fifty-one percent of the number of Directors. If a quorum is lost at a Board of Directors meeting, no business requiring a vote shall be taken. The act of the majority of the Directors present at a meeting at which a quorum is present, unless otherwise provided by law, these Bylaws or the Articles of Incorporation, shall be the act of the Board of Directors. If less than one-third of the Directors are present at any meeting, a majority of the Directors present may adjourn the meeting from time to time without further notice.

## **ARTICLE V COMMITTEES**

5.1 Committees: The Board of Directors shall have the following standing Committees:

- (1) Executive Committee. There shall be an Executive Committee comprised of the President, the Vice President, the Secretary, the Treasurer, and the Immediate Past President. The President shall chair the Executive Committee. During the intervals between meetings of the Board of Directors, the Executive Committee shall have and may exercise all of the rights and powers of the Board, except that the Executive Committee shall not have any authority denied to Committees of the Board of Directors by Section 5.1(4) of these Bylaws. In the case of an emergency, the Executive Committee is empowered to act for the Board of Directors. In these cases, the Board shall be apprised of action taken and ratified at the next regularly scheduled board meeting. In the case of a vacancy on the

Executive Committee, an existing Director will be elected to complete the remainder of the term. The Executive Committee shall conduct an annual review of the Executive Director's performance. The Executive Director's compensation will be determined in part by comparing similar sized peer organizations, in the same geographic area with a comparable executive position.

- (2) Finance, Investment & Audit Committee. There shall be a Finance, Investment & Audit Committee comprised of the Treasurer, who shall serve as the Chair of the Finance, Investment & Audit Committee, and at least two other members of the Board of Directors with experience in accounting, investments, financial planning and/or budget preparation nominated at the annual meeting. The Finance, Investment & Audit Committee shall meet at least quarterly for the purpose of determining whether the annual corporate financial and investment goals are being met. Additionally, the Finance, Investment & Audit Committee shall:
- (i) Review and recommend to the Board of Directors an annual budget and monitor the Foundation's actual income and expenses in relation to such budget.
  - (ii) Recommend to the Board of Directors a firm of Certified Public Accountants, hereinafter called the firm, to audit the accounts of the Corporation, as the Finance, Investment & Audit Committee may recommend, for the year regarding which the firm is contracted.
  - (iii) Meet and confer with such officers and employees of the Corporation as the Finance, Investment & Audit Committee shall deem appropriate in connection with carrying out the foregoing responsibilities.
  - (iv) Through the approval of the annual budget, the Finance, Investment and Audit Committee will consider and make recommendations to the Board of Directors regarding wage and benefit levels for all employees of the Corporation.
- (3) Nominating Committee. There shall be a Nominating Committee composed of the Vice President, who serves as the Chair of the Nominating Committee, the President, the Secretary and at least two other Directors. The Nominating Committee shall be concerned with and be responsible for the determination of the slate of membership of the Board of Directors to be presented for election at the annual meeting of the Board of Directors.

The Nominating Committee shall also consider and recommend to the Board of Directors individuals not presently on the Board of Directors, but whose experience and qualifications would benefit the purposes of the Foundation. Notwithstanding the foregoing, any member of the Board of Directors may from time to time suggest to the Nominating Committee the identity of potential members of the Board of Directors.

- (4) Other Committees and Limitations on Committees. The Board of Directors, by

resolution adopted by a majority of the Board of Directors, may designate and appoint one or more additional committees, each of which shall include two or more Directors. The Chairs of additional Committees may be past or current members of the Board of Directors. These Committees, to the extent provided in the resolution, the Articles of Incorporation or the Bylaws of the Foundation, may exercise such authority as set out in the resolution creating the Committee. However, no Committee shall have the authority of the Board of Directors in reference to: amending the Articles of Incorporation; adopting a plan of merger or consolidation; the sale, lease, exchange or other disposition of all or substantially all the property and assets of its business; voluntary dissolution of the Foundation or a revocation thereof; amending the Bylaws of the Foundation; electing, appointing or removing any member of any Committee or any Director or Officer of the Foundation; adopting a plan for the distribution of the assets of the Foundation; or altering or repealing any resolution of the Board of Directors which by its terms provides that it shall not be amended, altered or repealed by the Committee. The designation of any such committee and the delegation thereto of authority shall not operate to relieve the Board of Directors, or any member thereof, of any responsibility imposed by law.

- (5) Regular and special meetings of any Committee established pursuant to this Section may be called and held subject to the same requirements with respect to time, place and notice as are specified in these Bylaws for regular and special meetings of the Board of Directors.

5.2 Written Consent. Any action required by the New Mexico Nonprofit Corporation Act to be taken at a meeting of the Board of Directors of the Foundation, or any action which may be taken at a meeting of a Committee, may be taken without a meeting if a consent in writing, setting forth the action so taken, shall be signed by all of the Directors, or all of the members of the Committee, as the case may be. The consent shall have the same effect as a unanimous vote.

## **ARTICLE VI OFFICERS**

6.1 Officers and Qualifications. The Officers of the Foundation shall consist of a President, a Vice President, a Secretary, a Treasurer and the Immediate Past President each of whom shall be elected by the Board of Directors at the time and in the manner prescribed by these Bylaws. Other Officers and assistant Officers and agents deemed necessary may be elected or appointed by the Board of Directors or chosen in the manner prescribed by these Bylaws. Any two (2) or more offices may be held by the same person, except the offices of President and Secretary. All Officers and agents of the Foundation, as between themselves and the Foundation, shall have the authority and perform the duties in the oversight of the Foundation as provided in these Bylaws, or as determined by the resolution of the Board of Directors not inconsistent with these Bylaws.

6.2 Election and Term. All Officers of the Foundation shall be elected annually by the Board of Directors at its annual meeting. If the election of Officers is not held at such meeting, such election shall be held as soon thereafter as may be convenient. Each

Officer shall hold office until his or her successor has been duly elected and qualified, or until removed as hereinafter provided or until he/she resigns.

6.3 Vacancies. An Officer vacancy created by death, resignation, removal, disqualification or otherwise, may be filled by the Board of Directors for the unexpired portion of the term. Vacancies may be filled or new offices created and filled at any meeting of the Board of Directors.

6.4 6.4 Removal. Any Officer or agent may be removed by the Board of Directors whenever in its judgment the best interest of the Foundation will be served thereby, but such removal shall be without prejudice to the contract rights, if any, of the person removed. Election or appointment of an Officer or agent shall not of itself create contract rights.

6.5 Duties of Officers. The duties and responsibilities of Officers shall be described in position descriptions approved by a vote of the Board of Directors at a meeting. The position descriptions may be changed as needed by a vote of the Board of Directors.

## **ARTICLE VII CONTRACTS, LOANS, CHECKS AND DEPOSITS**

7.1 Contracts. On an as needed basis, the Board of Directors may authorize any Officer or Officers, agent or agents of the Foundation, to enter into any contract or execute and deliver any instrument in the name of and on behalf of the Foundation. Such authority may be general or confined to specific instances.

7.2 Loans. No loans shall be contracted on behalf of the Foundation and no evidences of indebtedness shall be issued in its name unless authorized by a resolution of the Board of Directors, other than credit cards issued to Foundation staff pursuant to the Accounting Policy. Such authority may be general or confined to specific instances.

7.3 Checks, Drafts, etc. All checks, drafts or other orders for the payment of money, notes or other evidence of indebtedness issued in the name of the Foundation, shall be signed by such Officer or Officers, agent or agents of the Foundation and in such manner as shall from time to time be determined by resolution of the Board of Directors.

7.4 Deposits. All funds of the corporation not otherwise employed shall be deposited from time to time to the credit of the Foundation in such banks, trust companies or other depositories as the Board of Directors may select.

## **ARTICLE VIII SEAL**

The Board of Directors shall provide a corporate seal which shall be in the form of a circle and shall have inscribed thereon the name of the Foundation and the words, "Corporate Seal, New Mexico."

The corporate seal may be used on all items executed by the Foundation, but its

presence is not essential to the validity of any written instrument duly signed by authorized corporate Officers.

(Affix an imprint of the corporate seal adopted by  
resolution of the Board of Directors.)

## **ARTICLE IX**

The Foundation shall have a fiscal year which begins on the first day of January and ends on the last day of December.

## **ARTICLE X INDEMNIFICATION**

8.1 Right to Indemnification. To the fullest extent permitted by law, the Foundation shall indemnify and hold harmless each current or former Officer or Director of the Foundation from and against reasonable expenses, costs, judgments, fines, taxes, penalties, settlement payments, and attorneys' fees actually and reasonably incurred by him or her in connection with the defense of any claim, action, demand, suit or proceeding, civil or criminal, in which he or she is made a party by reason of being or having been a Director or Officer of the Foundation, except as provided for in Section 10.4. Indemnification hereunder includes the right to payment of legal expenses incurred in defending any proceeding before its final disposition, provided any advance payments of legal expenses shall be repaid by the indemnified person if it is ultimately determined that such person was not entitled to be indemnified as provided for in Section 10.4. This indemnification will inure to the benefit of the heirs, executors and administrators of indemnified persons.

8.2 Other Employees and Agents. The Foundation may indemnify other agents of the Foundation to the same extent and in the same manner as is provided in this Article X with respect to Directors and Officers by adopting a resolution by a majority of the members of the Board of Directors specifically identifying by position the employees or agents entitled to indemnification.

8.3 Contract Right; Non-Exclusivity. This Article X creates a contract between the corporation and the indemnified Officers, Directors, employees and agents. The right to indemnification and advance payment of legal expenses given in this Article X shall not be exclusive of any other right that any person may have or may later acquire under any applicable law, the Foundation's Articles of Incorporation, Bylaws, agreement, or otherwise.

8.4 Limitations. No person shall be entitled to indemnity by the Foundation in any matter in which the person (a) is finally adjudicated not to have acted in good faith in the reasonable belief that such action was in the best interests of the Foundation; (b) is finally

adjudicated to have breached or failed to perform the duties of his or her office, and the breach or failure to perform constitutes willful misconduct or recklessness; or (c) initiated the legal proceeding, unless a majority vote of Directors who are not parties to the proceeding has authorized the proceeding. Any compromise or settlement payment to a third party shall be made only upon approval by a majority vote of Directors who are not parties to the proceeding.

8.5 Insurance. The Foundation shall maintain insurance or provide alternative financial arrangements, at its expense, to protect itself and any Director, Officer, employee or agent of the Foundation against any legal expenses and liabilities incurred in defending any proceeding, whether or not the Foundation would have the power to indemnify the person under the New Mexico Nonprofit Corporation Act.

8.6 Amendments; Repeal. No amendment or repeal of the provisions of this Article X that adversely affects the rights of an indemnified person under this Article X shall apply to the indemnified person with respect to its acts or omissions that occurred before the amendment or repeal.

## **ARTICLE XI AMENDMENTS**

These Bylaws may be altered, amended, repealed or new Bylaws adopted by a majority of the entire Board of Directors at a meeting of the Board. Directors shall be entitled to at least ten (10) days' notice in writing by mail, or in person of the meeting time and place at which the amendment is to be voted upon. The written notice must include a copy of the proposed amendment.

Once approved by the Foundation's Board of Directors, the proposed amendments to the CTH Foundation's Articles or Bylaws shall be submitted to the Board of Regents for final approval.





## **CAPITAL PROJECT APPROVAL**

**PROJECT NAME:** UH Main – Chiller Plant – Chiller Replacement – Phase 2

**DATE:** April 2023

### **RECOMMENDED ACTION:**

As required by Section 7.12 of Board of Regents Policy Manual, the New Mexico Higher Education Department and the New Mexico State Board of Finance, capital project approval is requested for the **Chiller Plant Replacement- Phase 2**. For the project described below, UNM Hospital requests the following actions, with action requested only upon requisite sequential approval and recommendation by any and all committees and bodies:

- Board of Trustee Finance Committee approval of and recommendation of approval to the UNMH Board of Trustees.
- UNMH Board of Trustees approval of and recommendation of approval to the UNM Board of Regents HSC Committee.
- UNM Board of Regents HSC Committee approval and recommendation of approval to the UNM Board of Regents.
- UNM Board of Regents approval

### **PROJECT DESCRIPTION:**

UNM Hospital is requesting design and construction funding for the replacement, upgrade, and refurbishment of UNM Hospital's chiller plant. The second of the three main chillers will be replaced with modern equivalent equipment. The renovation will provide the installation of the pumps, electrical panels, controllers, piping, and valves which were ordered as part of Phase 1. In conjunction with Phase 1, the Phase 2 upgrades will allow for simple replacement of the last chiller.

### **RATIONALE:**

The existing chiller plant is largely original to the construction of the hospital. The chillers and pumps serve as the main cooling source for the Main Hospital and ACC. These chillers have reached end of life and the overall system is due for refurbishment. Phase 1 of this project was started and will be completed in June 2023. Phase 2 of the project will continue the process of upgrading the electrical services, pumping equipment, and the installation of equipment ordered during Phase 1. The cooling generated from this plant is critical to the operation of the hospital and serves as the primary infrastructure for conditioning buildings and providing necessary system cooling to our imaging machines.

### **PURCHASING PROCESS:**

Professional design services were procured through Cooperative Educational Services (CES) procurement methodology. Construction services will be procured through the Vizient Job Order Contract procurement.

### **FUNDING:**

Total project budget not to exceed at \$2,750,000 from the UNM Hospital Capital Improvement Funds.



## **CAPITAL PROJECT APPROVAL**

**PROJECT NAME: Dermatology Clinic Renovation**

**DATE: April 2023**

### **RECOMMENDED ACTION:**

As required by Section 7.12 of Board of Regents Policy Manual, the New Mexico Higher Education Department and the New Mexico State Board of Finance, capital project approval is requested for the **Dermatology Clinic Renovation** project. For the project described below, UNM Hospital requests the following actions, with action requested only upon requisite sequential approval and recommendation by any and all committees and bodies:

- Board of Trustee Finance Committee approval of and recommendation of approval to the UNMH Board of Trustees.
- UNMH Board of Trustees approval of and recommendation of approval to the UNM Board of Regents HSC Committee.
- UNM Board of Regents HSC Committee approval and recommendation of approval to the UNM Board of Regents.
- UNM Board of Regents approval

### **PROJECT DESCRIPTION:**

UNM Hospital is requesting design and construction funding for the expansion of Mohs micrographic surgery (MMS) services to treat various skin cancers that allow precision control at the Medical Arts Dermatology Clinic. The renovation will include expansion of the existing Mohs lab and spatial reconfiguration to create five (5) new procedure rooms, one (1) surgical procedure room, and renovate six (6) administrative offices to allow for the reconfiguration of space within the existing footprint. Additional renovations include code upgrades to include Life Safety devices along with mechanical, electrical and plumbing improvements to support the reconfiguration of patient care areas.

### **RATIONALE:**

This renovation project will allow for UNMH to expand its dermatology and skin cancer related services and provide for greater access to patient care at this high-volume clinic.

### **PURCHASING PROCESS:**

Professional design services to be procured utilizing the Cooperative Educational Services (CES) procurement methodology. Construction services will be procured through Vizient Job Order Contract procurement.

### **FUNDING:**

Total project budget not to exceed \$1,700,000 from the UNM Health Sciences (HSC) Capital Improvement Fund. Contracts will be initiated by UNM Hospital but will be reimbursed by HSC after expenditure.



## **CAPITAL PROJECT APPROVAL**

**PROJECT NAME:** UH Main –Boiler #1 Replacement

**DATE:** April 2023

### **RECOMMENDED ACTION:**

As required by Section 7.12 of Board of Regents Policy Manual, the New Mexico Higher Education Department and the New Mexico State Board of Finance, capital project approval is requested for the **UH Main-Boiler #1 Replacement**. For the project described below, UNM Hospital requests the following actions, with action requested only upon requisite sequential approval and recommendation by any and all committees and bodies:

- Board of Trustee Finance Committee approval of and recommendation of approval to the UNMH Board of Trustees.
- UNMH Board of Trustees approval of and recommendation of approval to the UNM Board of Regents HSC Committee.
- UNM Board of Regents HSC Committee approval and recommendation of approval to the UNM Board of Regents.
- UNM Board of Regents approval

### **PROJECT DESCRIPTION:**

Design and construction services to replace one of the existing Kewanee steam boilers that serves the entire Main Hospital and Bill and Belinda Richardson Pavilion (BBRP). This upgrade will require up sizing of existing infrastructure that serve the existing unit that is undersized at 400 horsepower (hp) and will be upgraded to a new 700hp boiler to meet current demand and provide additional capacity. This project is associated with air quality approvals related to the Critical Care Tower and, upon completion, will be the final replacement of the boiler upgrades for UNM Hospital Main/BBRP buildings.

### **RATIONALE:**

Kewanee Boilers are no longer manufactured and the boiler has been run past the standard service life. Possible future failure of the boiler may result in significant down time to the Sterile Processing Department which impacts the throughput of the Operating Rooms and hospital-wide domestic hot water and heating water services.

### **PURCHASING PROCESS:**

Engineering design was procured under the \$20,000 threshold. Construction services will be procured through Vizient Job Order Contract procurement.

### **FUNDING:**

Total project budget not to exceed \$1,250,000 from UNM Hospital Capital Improvement Fund.

The University of New Mexico  
Board of Regents' Audit and Compliance Committee  
*May 4, 2023 – 9:00 AM*

**Meeting Summary Briefing Points:**

- FY23 External Financial Statement Audit Entrance Meeting
- Presentation and approval of Internal Audit reports
  - Valencia Branch Campus
  - Human Resources Onboarding – SRMC and UNMH
  - Incident to Coding – UNMMG
  - Information Technology Asset Tracking - UNMMG

## Updated Meeting Date Proposals

### 2023-24 ACADEMIC YEAR

Regents' full Board & Committee Meetings	2023 Aug	2023 Oct	2023 Dec	2024 Feb	2024 Mar	2024 May	Retreat tbd
Board of Regents <u>9 AM</u>	(Th)Aug 10	(Th)Oct 26	(Th)Dec 14	(Th)Feb 15	(M)Mar 11 (Th)Mar 21	(Th)May 9	tba
Audit and Compliance Committee <u>1 PM</u>	(Th)Aug 3	(Th)Oct 19	na	(Th)Feb 8	na	(Th)May 2	na
Health Sciences Center Committee <u>8:30 AM</u>	(Th)Aug 3	(Th)Oct 19	(Th)Dec 7	Feb 8	(Th)Mar 7	(Th)May 2	na
Finance & Facilities Committee <u>1:30 PM</u>	(W)Aug 2	(W)Oct 18	(W)Dec 6	(W)Feb 7	(T)Mar 19	(W)May 1	na
Governance Committee <u>10 AM</u>	(W)Aug 2	(W)Oct 18	(W)Dec 6	(W)Feb 7	(W)Mar 6	(W)May 1	na
Student Success, Teaching & Research Committee <u>1 PM</u>	(T)Aug 1	(T)Oct 17	(T)Dec 5	(T)Feb 6	(T)Mar 5	(T)Apr 30	na
Committee of the Whole (Ad Hoc)	tbd	tbd	tbd	tbd	tbd	tbd	na

# **Regents' Policy Manual - Section 1.9: Board of Regents' Office**

**Adopted Date:**

## **Policy**

The Board of Regents, in consultation with the University President, shall appoint a Secretary to the Board of Regents to lead the Board of Regents' Office. The Secretary to the Board of Regents shall provide for such additional support as is necessary to serve the needs of the Board of Regents.

## Regents' Policy Manual - Section 2.16: ~~University Counsel~~Legal Services for the University

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Adopted Date: 09-12-1996

Amended: 12-14-2004

Amended: 01-06-2007

Amended: 01-09-2007

Amended: 12-14-2010

Amended: 03-14-2016

Amended: 03-09-2023

### **Applicability**

~~This policy applies to the Office of University Counsel, the use of outside legal counsel by the University and requests for legal advice concerning University business from members of the University community. This policy also applies to the initiation of, joinder or intervention in litigation or other forms of legal proceedings in the name of the Regents or for or on behalf of the University.~~

### **Policy**

~~The General Counsel shall be appointed by the President of the University, with the advice and confirmation of the Board of Regents, to direct a legal office for the University. The President may dismiss, set compensation, amend or not renew the contract of the General Counsel, but any such action must be confirmed by the Board of Regents. shall, consistent with RPM 3.3, appoint a University Counsel who shall be a member of the New Mexico Bar and shall serve as the chief legal officer to the University. The General Counsel is responsible for advising the Board of Regents and the President on all legal issues relevant to their respective duties, and shall report to both the Board of Regents and the University President. In extraordinary circumstances where there is or may be a conflict of interest between the Board of Regents and the President, the General Counsel shall represent the Board of Regents and the President shall be authorized to hire outside counsel.~~

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~~University Counsel reports directly to the President of the University and may when appropriate provide advice on legal issues to the Board of Regents. The function of the University Counsel's Office shall be to help the University carry out its mission of teaching, research, and service in compliance with federal and state law and University policies and procedures. The Office shall emphasize preventative strategies for avoiding legal difficulties. The Office shall also defend, or arrange for defense, of the University and, in appropriate cases, its officers and employees, in legal proceedings. The Office shall also defend or arrange for the defense of students against any legal proceedings commenced against them arising from their good faith participation in official University investigations or grievance or disciplinary proceedings, or in official positions, boards or committees (other than as officers or members of chartered student organizations).~~

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~~The University General Counsel, with the approval of the President of the University, in consultation with the President, is hereby authorized to initiate, join and intervene in legal proceedings in the name of the Regents of the University of New Mexico in routine matters, which do not raise policy issues, such as commercial disputes, collection of amounts due, or trespass on University property. Initiation of, joining and intervening in non-routine legal proceedings must be authorized by the Board of Regents; provided, however, that the President or their designee, may authorize the initiation, joining, or intervening in legal proceedings that involve medical treatment decisions or care of patients.~~

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~~It is in the best interest of the University that legal services provided by outside counsel to the University and its components be of the highest quality and delivered in a cost effective manner. In order to attain these objectives, no No component of the University may retain or employ outside counsel except with the prior approval of the President of the University, the Board of Regents, or their designee. The term "component of the University" includes the University, any unit, department or office of the University and any University-affiliated organization of which the University is the sole member or in which the University holds, directly or indirectly, a majority voting interest. The retention, employment and supervision of such outside counsel shall be in accordance with guidelines promulgated by University General Counsel and pursuant to a contract for legal services approved by the Board of Regents or the President of the University.~~

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## References

Appointment and Termination of Key Administrators, RPM 3.3; Student Government, RPM 4.1; Signature Authority for Contracts, RPM 7.8.

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## **Regents' Policy Manual - Section 2.16: Legal Services for the University**

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**Amended: 01-09-2007**

**Amended: 12-14-2010**

**Amended: 03-14-2016**

**Amended: 03-09-2023**

### **Policy**

The General Counsel shall be appointed by the President of the University, with the advice and confirmation of the Board of Regents, to direct a legal office for the University. The President may dismiss, set compensation, amend or not renew the contract of the General Counsel, but any such action must be confirmed by the Board of Regents. The General Counsel is responsible for advising the Board of Regents and the President on all legal issues relevant to their respective duties, and shall report to both the Board of Regents and the University President. In extraordinary circumstances where there is or may be a conflict of interest between the Board of Regents and the President, the General Counsel shall represent the Board of Regents and the President shall be authorized to hire outside counsel.

The General Counsel, in consultation with the President, is authorized to initiate, join and intervene in legal proceedings in the name of the Regents of the University of New Mexico in routine matters which do not raise policy issues.

No component of the University may retain or employ outside counsel except with the prior approval of the President of the University, the Board of Regents, or their designee. The term “component of the University” includes the University, any unit, department or office of the University and any University-affiliated organization of which the University is the sole member or in which the University holds, directly or indirectly, a majority voting interest. The retention, employment and supervision of such outside counsel shall be in accordance with guidelines promulgated by General Counsel and pursuant to a contract for legal services approved by the Board of Regents or the President of the University.

### **References**

Appointment and Termination of Key Administrators, [RPM 3.3](#) ; Student Government, [RPM 4.1](#); Signature Authority for Contracts, [RPM 7.8](#).



DATE: April 25, 2023

TO: Board of Regents Student Success Teaching and Research Committee

FROM: Rick Holmes, Office of the University Secretary

SUBJECT: Spring 2023 Degree Candidates

The Faculty Senate approved the Spring 2023 Degree Candidates at their April 25, 2023, Faculty Senate meeting.

Included is the list of the Spring 2023 Degree Candidates. Please do not publish the candidates that are on the privacy flag list.

<b>Pending Degrees - Spring 2023</b>		
<b>By Campus and Award Type</b>		
<b>CAMPUS</b>	<b>AWARD_CATEGORY_DESC</b>	<b>NUM_GRADS</b>
ABQ	Baccalaureate Degree	1,964
ABQ	Doctoral Degree	232
ABQ	First-Professional Degree (Medicine)	97
ABQ	Masters Degree	809
ABQ	Post Second. Cert/Dipl <1 yr.	50
ABQ	Post Second. Cert/Dipl >1 < 2 (Ugrad)	8
ABQ	Post-Masters Cert	73
GA	Associate Degree	154
GA	Post Second. Cert/Dipl <1 yr.	13
GA	Post Second. Cert/Dipl >1 < 2	27
LA	Associate Degree	33
LA	Post Second. Cert/Dipl <1 yr.	6
LA	Post Second. Cert/Dipl >1 < 2	14
TA	Associate Degree	10
TA	Post Second. Cert/Dipl <1 yr.	8
TA	Post Second. Cert/Dipl >1 < 2	14
VA	Associate Degree	52
VA	Post Second. Cert/Dipl <1 yr.	33
VA	Post Second. Cert/Dipl >1 < 2	1
		3,598

*\*Final number of conferred degrees will be slightly lower due to changes in student degree status that occur until the end of the semester.*

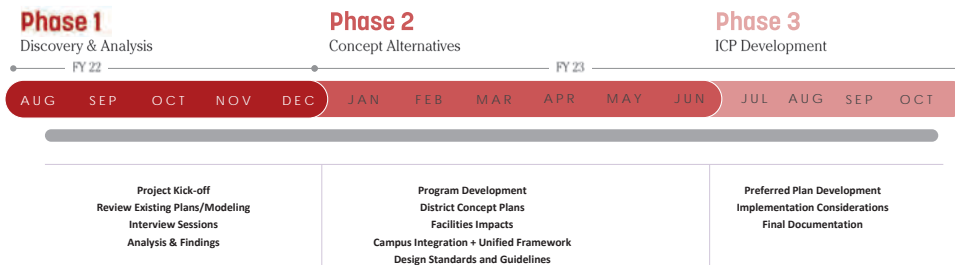


## Integrated Campus Plan (ICP)

- A methodology for directing change in the physical environment over time.
- Leverages a university's strategic vision to ensure campus development reinforces mission.
- Strategically positions the institution to make ongoing decisions that maximize impact, while building incrementally towards a greater and grander vision.

Rather than being a plan, the ICP is about how to plan.

## ICP SCHEDULE



## Principles

Strategic Plan as Driver

1. Advance New Mexico
2. Student Experience and Educational Innovation
3. Inclusive Excellence
4. Sustainability
5. One University



## Campus-wide Engagement



## ON-CAMPUS ENGAGEMENT OVERVIEW

### Forums & Open Houses

#### Open House Schedule

Tuesday, April 25

- 11 a.m.–1 p.m. | SUB Atrium
- 4:30–5 p.m. | La Posada Dining Hall

Wednesday, April 26

- 9–11 a.m. | Jane Smith Hall
- 11 a.m.–1 p.m. | Happy Heart Bistro
- 4–6 p.m. | Draft & Table

Thursday, April 27

- 10 a.m.–3 p.m. | SUB Atrium
- Forum sessions:
  - Campus + Community
  - Campus Connectivity
  - Campus Character



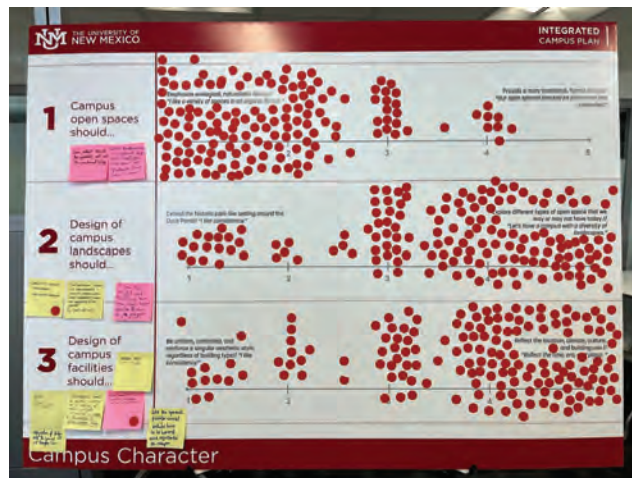
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## OPEN HOUSE BOARDS

### Campus Character - Results

#### Key emerging themes:

- Desire for increased natural landscapes and a diversity of open spaces
- Importance of sustainable landscape practices
- Campus facilities that reflect the time, era, sense of place, and building function

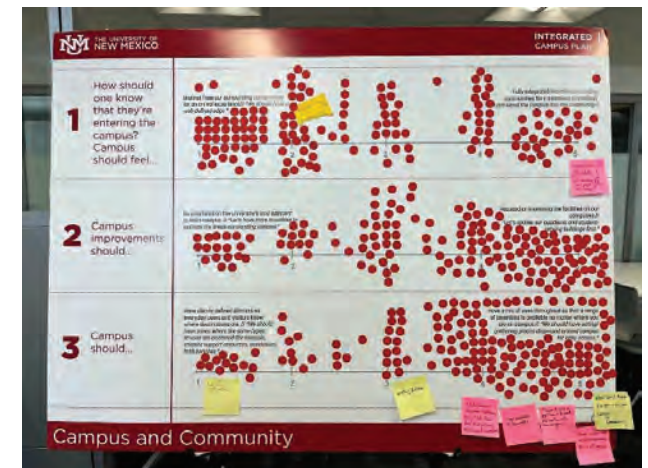


## OPEN HOUSE BOARDS

### Campus and Community - Results

#### Key emerging themes:

- Desire for increased amenities across all campus areas
- Pedestrian experience and crossing safety across major corridors
- Welcoming edges to the community but also a sense of arrival and a gateway experience



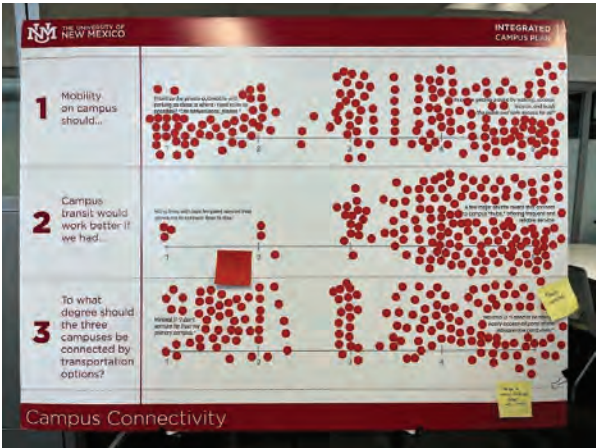


# OPEN HOUSE BOARDS

## Campus Connectivity - Results

### Key emerging themes:

- Increased transit options
- Support multi-modal connectivity; it's about more than the car.
- Improved shuttle reliability
- Sustainable transportation
- Safety
  - In parking lots
  - Pedestrian safety
  - Insufficient night lighting



In support of One University, the ICP can help prioritize where investment occurs and which assets may not contribute to UNM’s mission.

## Existing Conditions

### Central and North Campuses

- LEGEND
- PROPERTY BOUNDARY
  - EXISTING BUILDING
  - MAIN OPEN SPACE
  - RECREATION AREA
  - SURFACE PARKING



## Underutilized Land Central and North Campuses

The campus core has shifted parking to the periphery, but surface parking still dominates North Campus and many of the campus gateways and edges.



**LEGEND**

- PROPERTY BOUNDARY
- PARKING GARAGE
- SURFACE PARKING
- VEHICULAR CONNECTION

## Opportunity Sites

### Definition:

Sites that could be reconsidered for a higher and better use, for example:

- Surface parking lots
- Low density parcels located in strategic areas
- Underperforming buildings
- Advance goals of connectivity or public realm improvements
- Revenue stream, such as partnership opportunities or monetization of land



## How much land do we need?

**350 acres of opportunity sites**

**62 acres**  
required for overall potential growth combining projections for North, Central, and South Campus

**287 acres**  
Available Land  
(36% of all Albuquerque campus land)



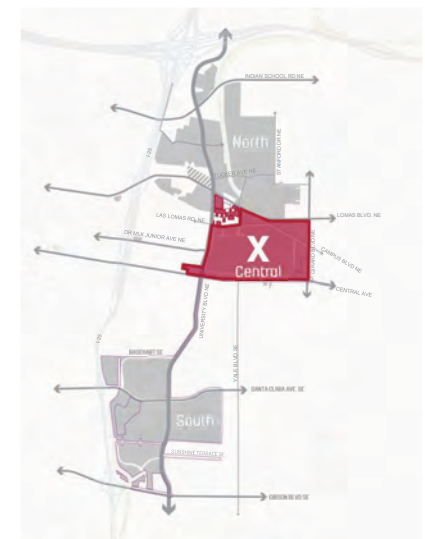
## How much land do we need?

**195 acres**

Overall land in Central Campus

**6 Million GSF**

Currently built on Central Campus





## How much land do we need?

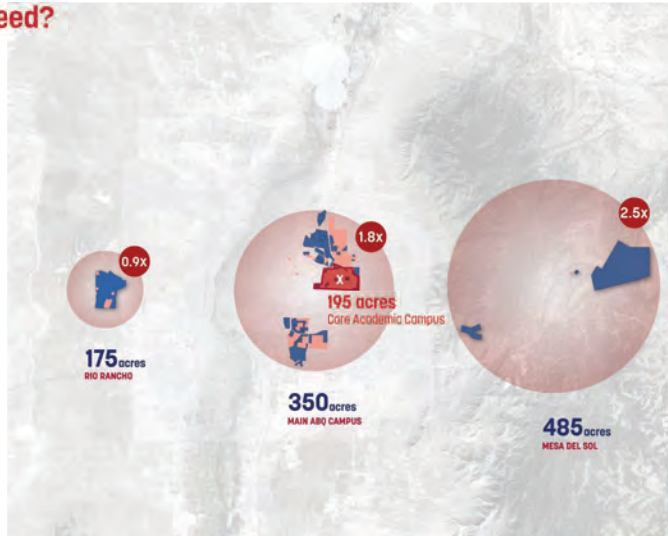
Albuquerque Campuses

**1,030 acres**  
Total available land

**5.3 x**  
Core academic campus



OPPORTUNITY SITE  
EXISTING DEVELOPMENT



## Opportunity Sites

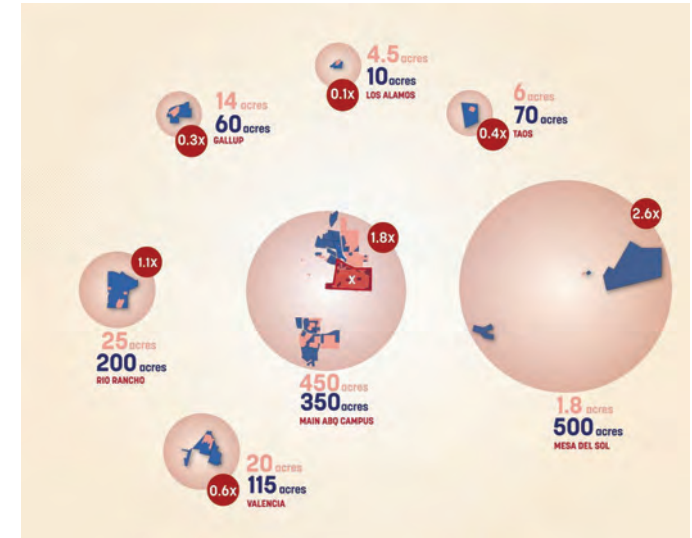
UNM OWN ABQ & Branches

**1,300 acres**  
Total available land approx.

**6.8 x**  
Central Campus

500 developed acres;  
total of 1780 developed and  
unplanned/ undeveloped acres

OPPORTUNITY SITE  
EXISTING DEVELOPMENT

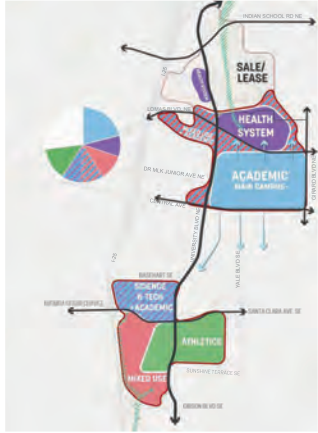


## Concept Ideas

Given land availability and an understanding of potential growth demands, how might this shape the conception of various planning scenarios and the role of partnerships?

## Concepts to Explore

Example: Albuquerque Campus

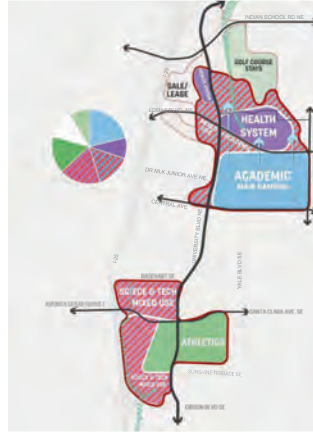


Option 1

Note: "Mixed use" includes partnership opportunities, such as P3



Option 2



Option 3

## DISCUSSION QUESTIONS TO CONSIDER

- How well does the feedback collected during the Open Houses resonate with you?
- What should the university do with its vast land resources? How do they support UNM's mission?
- What are priority concepts to pursue?

## TAB 6

#6

Information on 3rd Quarter Consolidated Financial Report through March 31, 2023 (Presenter: Norma Allen, University Controller)

# UNM Consolidated

## Fiscal Year 2022-2023 3rd Quarter Financial Report

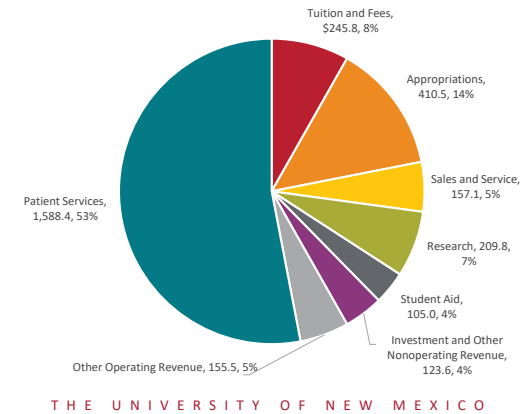


# General Overview

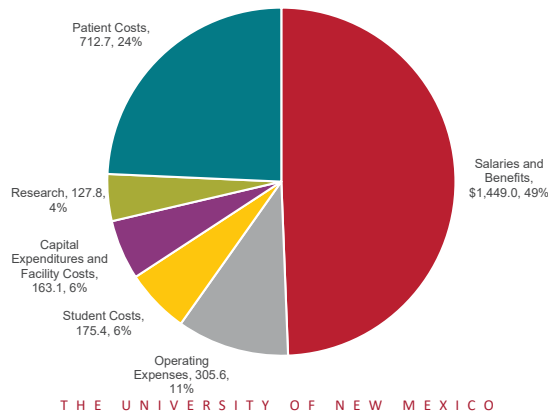
- UNM Consolidated Financial Report and Schedules
  - This is a preliminary unaudited report and does not include eliminations.
- Status of Current Capital Projects

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## UNM Combined Enterprise Overall FYTD Revenues are 1.6% or \$48.2M below budget



## UNM Combined Enterprise Overall FYTD Expenses are .7% or \$19.9M below budget



## UNM Combined Enterprise FYTD as of March 31, 2023 Budget v. Actual Income Statement (presented in millions, figures are unaudited and uneliminated)

	As of March 31, 2023				As of March 31, 2022				CY vs. PY Actuals	
	Fiscal Year to Date		Variance		Fiscal Year to Date		Variance		Variance	
	Budget	Actuals	\$	%	Budget	Actuals	\$	%	\$	%
Tuition and Fees	\$237.7	\$245.8	\$8.1	3.4%	\$211.8	\$218.7	\$6.9	3.3%	\$27.1	12.4%
Appropriations	413.1	410.5	(2.7)	(0.6%)	357.3	360.1	2.9	0.8%	50.3	14.0%
Sales and Service	156.5	157.1	.6	0.4%	152.2	145.1	(7.1)	(4.6%)	12.0	8.3%
Research	252.6	209.8	(42.8)	(16.9%)	285.7	325.7	40.0	14.0%	(115.9)	(35.6%)
Student Aid	104.5	105.0	.6	0.5%	69.9	69.9	.0	0.0%	35.2	50.3%
Investment and Other Nonoperating Revenue	126.0	123.6	(2.4)	(1.9%)	96.8	102.9	6.1	6.3%	20.7	20.1%
Other Operating Revenue	159.6	155.5	(4.1)	(2.6%)	113.0	117.9	4.9	4.3%	37.6	31.9%
Patient Services	1,593.9	1,588.4	(5.5)	(0.3%)	1,496.0	1,524.8	28.8	1.9%	63.6	4.2%
<b>Total Revenues</b>	<b>\$3,044.0</b>	<b>\$2,995.8</b>	<b>(\$48.2)</b>	<b>-1.6%</b>	<b>\$2,782.7</b>	<b>\$2,865.2</b>	<b>\$82.5</b>	<b>3.0%</b>	<b>\$130.6</b>	<b>4.6%</b>
Salaries and Benefits	\$1,431.0	\$1,449.0	(\$18.0)	(1.3%)	\$1,265.9	\$1,353.8	(\$87.9)	(6.9%)	\$95.2	7.0%
Operating Expenses	333.6	305.6	28.0	8.4%	294.9	254.4	30.6	10.7%	51.2	20.1%
Student Costs	174.2	175.4	(1.2)	(0.7%)	135.0	134.5	.6	0.4%	41.0	30.5%
Capital Expenditures and Facility Costs	153.9	163.1	(9.2)	(6.0%)	147.5	156.7	(9.2)	(6.2%)	6.4	4.1%
Research	136.8	127.8	9.0	6.6%	179.4	197.9	(18.5)	(10.3%)	(70.1)	(35.4%)
Patient Costs	724.1	712.7	11.4	1.6%	669.4	676.0	(6.6)	(1.0%)	36.7	5.4%
<b>Total Expenses</b>	<b>\$2,953.5</b>	<b>\$2,933.6</b>	<b>\$19.9</b>	<b>0.7%</b>	<b>\$2,682.1</b>	<b>\$2,773.2</b>	<b>(\$91.1)</b>	<b>-3.4%</b>	<b>\$160.4</b>	<b>5.8%</b>
<b>Net Income</b>	<b>\$90.6</b>	<b>\$62.2</b>	<b>(\$28.3)</b>	<b>-31.3%</b>	<b>\$100.6</b>	<b>\$92.0</b>	<b>(\$8.6)</b>	<b>-8.5%</b>	<b>(\$29.8)</b>	<b>(32.4%)</b>

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## Combined Enterprise FYTD as of March 31, 2023 Appropriations

(presented in millions, figures are unaudited and uneliminated)

	As of March 31, 2023				As of March 31, 2022				CY vs. PY Actuals	
	Fiscal Year to Date		Variance		Fiscal Year to Date		Variance		Variance	
	Budget	Actuals	\$	%	Budget	Actuals	\$	%	\$	%
I&G Appropriations	\$249.8	\$249.9	\$ .0	0.0%	\$218.0	\$218.3	\$ .3	0.1%	\$31.5	14.4%
RPSP Appropriations	58.9	57.8	(1.1)	(1.8%)	39.1	40.0	.9	2.3%	17.8	44.4%
Cigarette Tax Revenue	211.3	210.7	(.6)	(0.3%)	178.4	178.2	(.2)	(0.1%)	32.5	18.2%
<b>Total State Appropriations</b>	<b>\$520.0</b>	<b>\$518.4</b>	<b>(\$1.6)</b>	<b>(0.3%)</b>	<b>\$435.6</b>	<b>\$436.6</b>	<b>\$1.0</b>	<b>0.2%</b>	<b>\$81.8</b>	<b>18.7%</b>
Local Appropriations (Mill Levy)	\$311.8	\$310.8	(\$1.0)	(0.3%)	\$272.7	\$275.3	\$2.6	1.0%	\$35.5	12.9%
<b>Total Appropriations</b>	<b>\$831.8</b>	<b>\$829.2</b>	<b>(\$2.6)</b>	<b>(0.3%)</b>	<b>\$708.3</b>	<b>\$711.9</b>	<b>\$3.6</b>	<b>0.5%</b>	<b>\$117.3</b>	<b>16.5%</b>

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## Executive Summary-UNM Campus

UNM Campus-The net income fiscal year to date as of March 31, 2023, is \$94.2M.

Tuition and fees are 3.8% above budget as a result of increased enrollment.

Investments and other nonoperating revenues are \$3.9M below budget primarily due to timing differences on the capital project start and end dates, bond project draw downs and unrealized losses on investments due to market fluctuations.

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## UNM Campus FYTD as of March 31, 2023 Budget v. Actual Income Statement

(presented in millions, figures are unaudited and uneliminated)

	As of March 31, 2023				As of March 31, 2022				CY vs. PY Actuals	
	Fiscal Year to Date		Variance		Fiscal Year to Date		Variance		Variance	
	Budget	Actuals	\$	%	Budget	Actuals	\$	%	\$	%
Tuition and Fees	\$212.4	\$220.4	\$8.1	3.8%	\$187.5	\$194.5	\$6.9	3.7%	\$26.0	13.4%
Appropriations	216.1	216.1	.0	0.0%	183.6	183.6	.0	0.0%	32.6	17.7%
Sales and Service	155.0	155.8	.8	0.5%	148.7	143.9	(4.8)	(3.2%)	11.9	8.3%
Research	103.2	103.2	.0	0.0%	124.9	121.2	(3.7)	(3.0%)	(18.0)	(14.9%)
Student Aid	104.5	105.0	.6	0.5%	69.9	69.9	.0	0.0%	35.2	50.3%
Investment and Other Nonoperating Revenue	98.7	94.8	(3.9)	(4.0%)	72.1	74.6	2.5	3.4%	20.2	27.1%
Other Operating Revenue	22.5	22.8	.3	1.5%	28.0	27.6	(.4)	(1.3%)	(4.8)	(17.3%)
Patient Services	11.1	13.6	2.5	22.7%	12.0	12.2	.2	1.7%	1.4	11.3%
<b>Total Revenues</b>	<b>\$923.4</b>	<b>\$931.8</b>	<b>\$8.4</b>	<b>0.9%</b>	<b>\$826.7</b>	<b>\$827.4</b>	<b>\$ .7</b>	<b>0.1%</b>	<b>\$104.5</b>	<b>12.6%</b>
Salaries and Benefits	\$397.3	\$384.3	\$13.0	3.3%	\$356.8	\$347.2	\$9.6	2.7%	\$37.1	10.7%
Operating Expenses	148.7	154.2	(5.5)	(3.7%)	151.4	153.3	(1.9)	(1.3%)	.9	0.6%
Student Costs	172.7	174.1	(1.5)	(0.9%)	131.5	133.2	(1.7)	(1.3%)	40.9	30.7%
Capital Expenditures and Facility Costs	78.6	87.7	(9.1)	(11.5%)	79.5	76.9	2.6	3.3%	10.7	14.0%
Research	36.9	36.9	.0	0.0%	28.5	29.0	(.5)	(1.9%)	7.9	27.2%
Patient Costs	.5	.5	(.0)	(0.00)	.4	.4	(.0)	(0.00)	-	-
<b>Total Expenses</b>	<b>\$834.6</b>	<b>\$837.6</b>	<b>(\$3.1)</b>	<b>-0.4%</b>	<b>\$748.1</b>	<b>\$740.0</b>	<b>\$8.1</b>	<b>1.1%</b>	<b>\$97.6</b>	<b>13.2%</b>
<b>Net Income</b>	<b>\$88.8</b>	<b>\$94.2</b>	<b>\$5.4</b>	<b>6.0%</b>	<b>\$78.6</b>	<b>\$87.3</b>	<b>\$8.8</b>	<b>11.2%</b>	<b>\$6.8</b>	<b>7.8%</b>

THE UNIVERSITY OF NEW MEXICO

## UNM Campus FYTD as of March 31, 2023 Appropriations

(presented in millions, figures are unaudited and uneliminated)

	As of March 31, 2023				As of March 31, 2022				CY vs. PY Actuals	
	Fiscal Year to Date		Variance		Fiscal Year to Date		Variance		Variance	
	Budget	Actuals	\$	%	Budget	Actuals	\$	%	\$	%
I&G Appropriations	\$189.6	\$189.6	\$ .0	0.0%	\$165.5	\$165.6	\$ .1	0.1%	\$24.0	14.5%
RPSP Appropriations	19.8	19.8	\$ .0	0.0%	\$9.6	\$10.3	\$ .7	7.2%	\$9.5	92.9%
<b>Total State Appropriations</b>	<b>\$209.3</b>	<b>\$209.4</b>	<b>\$ .0</b>	<b>0.0%</b>	<b>\$175.9</b>	<b>\$175.9</b>	<b>\$ .8</b>	<b>0.4%</b>	<b>\$33.5</b>	<b>19.1%</b>
Local Appropriations (Mill Levy)	\$6.7	\$6.7	\$ .0	0.0%	\$7.7	\$7.7	\$ .0	0.0%	(\$1.0)	(12.5%)
<b>Total Appropriations</b>	<b>\$216.1</b>	<b>\$216.1</b>	<b>\$ .0</b>	<b>0.0%</b>	<b>\$183.6</b>	<b>\$183.6</b>	<b>\$ .8</b>	<b>0.4%</b>	<b>\$32.6</b>	<b>17.7%</b>

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## Executive Summary-UNM Health and Health Sciences

- **UNM Health & Health Sciences** - The net loss as of March 31, 2023, is \$(31.9M).

- **UNM Health Sciences**

The net income is \$(906K) as of March 2023 and is primarily due to the spending of prior year reserve balances at Project ECHO and the School of Medicine. Total Compensation Expense is \$17.7M under YTD budget and Purchased Services is \$3.5M under YTD budget. F&A Revenues are exceeding the YTD budget by \$2.5M

- **UNM Hospitals**

UNM Hospitals has a loss of \$(35.1M) through March FY23. The Hospitals are behind budget on operating revenues due to lower than expected patient revenues and lower than budgeted 340B pharmacy revenue. Operating expenses are higher than budget, primarily in salaries and benefits, as a result of increased staffing needs and increased use of contract nursing labor as a result of the current labor market. UNM Hospitals has applied for \$48M in FEMA assistance for COVID related contract labor costs. Of the \$48M, a total of \$9.2M was recorded in FY22 and \$6.5M in FY23 as non-operating revenue.

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## Executive Summary-UNM Health and Health Sciences

- **UNM Medical Group**

The net margin for the nine-months ending 3/31/2023 is \$8.7M. Net Patient Service revenue is ahead of budget by \$2.3M and Pharmacy revenue for Truman Health Services is \$2.4M above the YTD budget. Cash collections are \$2.1M or 1.5% ahead of budget. The Lovelace UNM Rehabilitation Hospital had a net gain of \$4.3M.

- **UNM Sandoval Regional Medical Center**

The March FY23 YTD Net Loss is \$(4.7M). Contract labor is over budget \$5.7M due to high patient volume and RN staff shortages. Inpatient, Emergency and Clinic visits continue to exceed target. Surgical volumes are trending up and are within target by 3%. SRMC has recorded \$2.2M YTD in FEMA funding. All FEMA funding has been exhausted.

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## UNM Health and Health Sciences FYTD as of March 31, 2023 Budget v. Actual Income Statement

(presented in millions, figures are unaudited and uneliminated)

	As of March 31, 2023				As of March 31, 2022				CY vs. PY Actuals	
	Fiscal Year to Date		Variance		Fiscal Year to Date		Variance		Variance	
	Budget	Actuals	\$	%	Budget	Actuals	\$	%	\$	%
Patient Services	\$1,582.8	\$1,574.8	(\$8.0)	(0.5%)	\$1,483.9	\$1,512.6	\$28.6	1.9%	\$62.2	4.1%
Appropriations	197.0	194.3	(2.7)	(1.4%)	173.7	176.6	2.9	1.6%	17.8	10.1%
Research	149.5	106.6	(42.8)	(28.6%)	160.8	204.5	43.7	27.2%	(97.9)	(47.9%)
Other Operating Revenue	137.1	132.7	(4.4)	(3.2%)	85.0	90.3	5.2	6.2%	42.4	47.0%
Investment and Other Nonoperating Revenue	27.4	28.9	1.5	5.5%	24.8	28.4	3.6	14.7%	.5	1.7%
Tuition and Fees	25.4	25.4	(0.3)	(0.0%)	24.2	24.2	(.0)	(0.0%)	1.1	4.6%
Student Aid	1.5	1.3	(.2)	(13.8%)	3.5	1.2	(2.2)	(64.4%)	.1	8.1%
<b>Total Revenues</b>	<b>\$2,120.6</b>	<b>\$2,064.0</b>	<b>(\$56.7)</b>	<b>(2.7%)</b>	<b>\$1,956.0</b>	<b>\$2,037.8</b>	<b>\$81.8</b>	<b>4.2%</b>	<b>\$26.2</b>	<b>1.3%</b>
Salaries and Benefits	\$1,033.7	\$1,064.7	\$31.0	3.0%	\$909.1	\$1,006.6	\$97.5	10.7%	\$58.1	5.8%
Patient Costs	723.6	712.3	(11.4)	(1.6%)	669.0	675.5	6.6	1.0%	36.7	5.4%
Research	99.8	90.9	(9.0)	(9.0%)	150.9	168.9	18.0	11.9%	(78.0)	(46.2%)
Operating Expenses	184.9	151.4	(33.6)	(18.1%)	133.6	101.1	(32.5)	(24.3%)	50.3	49.7%
Capital Expenditures and Facility Costs	75.3	75.4	.1	0.2%	68.0	79.8	11.8	17.4%	(4.4)	(5.5%)
Student Costs	1.5	1.3	(.2)	(15.1%)	3.5	1.2	(2.3)	(64.5%)	.0	2.6%
<b>Total Expenses</b>	<b>\$2,118.9</b>	<b>\$2,095.9</b>	<b>(\$23.0)</b>	<b>(1.1%)</b>	<b>\$1,934.0</b>	<b>\$2,033.1</b>	<b>\$99.2</b>	<b>5.1%</b>	<b>\$62.8</b>	<b>3.1%</b>
<b>Net Income</b>	<b>\$1.7</b>	<b>(\$31.9)</b>	<b>(\$33.7)</b>	<b>(1928.2%)</b>	<b>\$22.0</b>	<b>\$4.7</b>	<b>(\$17.4)</b>	<b>(78.8%)</b>	<b>(\$36.6)</b>	<b>(782.9%)</b>

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## UNM Health and Health Sciences FYTD as of March 31, 2023 Appropriations

(presented in millions, figures are unaudited and uneliminated)

	As of March 31, 2023				As of March 31, 2022				CY vs. PY Actuals	
	Fiscal Year to Date		Variance		Fiscal Year to Date		Variance		Variance	
	Budget	Actuals	\$	%	Budget	Actuals	\$	%	\$	%
I&G Appropriations	\$60.2	\$60.3	\$ .0	0.1%	\$52.5	\$52.7	\$ .2	0.4%	\$7.6	14.4%
RPSP Appropriations	39.0	38.0	(1.1)	(2.7%)	29.5	29.7	.2	0.7%	8.2	27.7%
Cigarette Tax Revenue	2.0	1.4	(.6)	(31.5%)	2.5	2.3	(.2)	(7.5%)	(1.0)	(42.3%)
<b>Total State Appropriations</b>	<b>\$101.3</b>	<b>\$99.6</b>	<b>(\$1.6)</b>	<b>(1.6%)</b>	<b>\$84.6</b>	<b>\$84.8</b>	<b>\$ .2</b>	<b>0.3%</b>	<b>\$14.8</b>	<b>17.5%</b>
Local Appropriations (Mill Levy)	\$95.8	\$94.7	(\$1.1)	(1.1%)	\$89.1	\$91.8	\$2.6	2.9%	\$3.0	3.2%
<b>Total Appropriations</b>	<b>\$197.0</b>	<b>\$194.3</b>	<b>(\$2.7)</b>	<b>(1.4%)</b>	<b>\$173.7</b>	<b>\$176.6</b>	<b>\$2.9</b>	<b>1.6%</b>	<b>\$17.8</b>	<b>10.1%</b>

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## Key metrics – combined enterprise FY19-FY22

Metric	Description	Best practice	FY19	FY20	FY21	FY22
Primary Reserve Ratio	Unrestricted net assets/expenses	> .4	0.38	0.40	0.44	0.40
Net Operating Revenue Ratio	Income/Revenues	> 0	0.02	0.04	0.07	0.03
Return on Net Assets Ratio	Change in net assets/Total net assets	.03 - .04 (3-4%)	0.06	0.07	0.14	0.12
Viability Ratio	Expendable net assets/Long term debt	> 1.0	1.49	1.79	2.78	2.14

Ratios presented are calculated without GASB adjustments included, in accordance with guidance from the Higher Learning Commission

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## Projects in Construction – UNM Campus

Project Name	Square Footage	Total Project Costs	Construction Start Date	Target Substantial Completion Date	Status
UNM ROTC Lobo Welcome Center	14965	\$9,800,000	08/01/2022	12/29/2023	●
Valencia Student Services – Fire Suppression	79,335	\$2,000,000	06/30/2022	08/31/2023	●
Taos Campus Infrastructure Repair & Improvements	250,000	\$4,618,750	12/15/2022	10/31/2023	●

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## UNM ROTC Welcome Center



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## UNM Taos Campus Infra Repair & Improve



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Projects in Construction  
UNM Health & Health Sciences

Project Name	Square Footage	Total Project Costs	Construction Start Date	Target Substantial Completion Date	Status
UNMH New Hospital Tower	689,000	\$752,124,556	07/06/2021	11/01/2024	●
UNMH Behavioral Health Crisis Center	48,699	\$40,000,000	07/18/2022	12/31/2023	●
College of Nursing and Public Health Excellence Building	94,000	\$43,000,000	06/03/2022	06/30/2024	●

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UNMH New Hospital Tower



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Thank You!!

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May 9, 2023

**TO:** UNM Board of Regents' Finance and Facilities Committee

**THROUGH:** Teresa Constantinidis, Executive Vice President for Finance and Administration and Chair of the University Debt and Investment Advisory Committee (DIAC)

**FROM:** Office of Planning, Budget & Analysis

**SUBJECT:** Action Item for Board of Regents' Finance and Facilities Committee –  
*AUTHORIZATION FOR REVISIONS TO REGENTS' POLICY MANUAL (RPM) –  
SECTION 7.21: INVESTMENT OF OPERATIONAL FUNDS AND BOND PROCEEDS*

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## Executive Summary

The Office of Planning, Budget & Analysis, on behalf of the University Debt and Investment Advisory Committee (DIAC), is seeking The University of New Mexico Board of Regents' approval of edits to the *Regents' Policy Manual – Section 7.21: Investment of Operational Funds and Bond Proceeds* that will permit certain investment categories that are currently prohibited and increase the average maturity of investments. These changes will provide attractive relative value opportunities, and enhance yield while minimally impacting investment risk, providing additional flexibility to move when markets move and allow investment decisions to be made in real time.

We are also recommending minor edits related to changes in position titles and removal of three sentences under Reporting and Accountability section that detail day-to-day administrative roles and responsibilities, which we believe is more appropriate in University Administrative Policy (UAP) 7610: Investment Management, under 3. Investment Responsibilities. The proposed changes to Regent policy as outlined above align with recommendations received from both of our investment managers, Loomis Sayles and SLC Management, and deemed to be reasonable requests from our investment consultant, Aon Investments. A redline version of the policy is shown as ATTACHMENT 1. A summary of the investment manager recommendations and Aon review is shown as ATTACHMENT 2.

## Background

Originally adopted in 2005, and not having been revised since 2010, the Regents' Policy Manual – Section 7.21: Investment of Operational Funds and Bond Proceeds governs the investment of operational funds and bond proceeds of the University of New Mexico. It does not apply to endowments held by the University and the UNM Foundation, which are invested in accordance with the Foundation's Consolidated Investment Fund Endowment Investment Management Policy.

Each of our accounts is managed in a manner that correlates to the three tenets of public funds that are, in order of priority:

- Preservation of Capital (Safety)
- Liquidity
- Yield or Return

Due to the nature of the funds we are always obligated to ensure the safety of our portfolios by seeking out high credit quality investment opportunities, and we like to have an optimal mix of higher yield, less liquid investments and lower yield, highly liquid opportunities.

The University seeks market rates of return on its investments, consistent with its liquidity requirements and quality and duration/maturity constraints, in relation to the Fund's benchmark. In addition, the University tries to acquire securities with suitable characteristics correlated to Operating Fund cash flows, and to hold those assets until such time as market conditions or other factors create clear opportunities for increased returns.

As discussed in the April 10, 2023 Board of Regents meeting, it is important that we continue to seek alternative revenue sources to support the University's mission. Given the size of our investment balances with Loomis Sayles and SLC Management (\$254.6 million and \$117.4 million managed, respectively, as of February 28, 2023), we believe this is a great opportunity to diversify and expand this source of investment income, while minimally impacting investment risk because these balances represent operational funds that must be preserved for operational needs and intended long-term uses. In addition, this proposal aligns with the UNM 2040 Strategic Planning Framework Goal of Sustainability by ensuring the necessary financial resources to achieve our aspirations and mission.

Since December 2022, we've met separately with both investment managers, SLC Management and Loomis Sayles, to get a sense of what changes or recommendations they'd propose to allow more flexibility in managing our investment portfolios. We've also met with our investment consultant, Aon Investments, as well as Dr. Reilly White, Associate Professor of Finance at UNM's Anderson School of Management and faculty advisor for the \$4.0 million student-run Regents' Portfolio. These discussions centered around the proposed changes and the reasonableness of these recommendations while still upholding our top two tenants with investing operational funds of safety (preservation of capital) and liquidity. On March 2, 2023 the DIAC met to discuss the investment recommendations and proposed changes to investment policy. The changes were voted on by the Committee and approved to move forward.

## **Manager Recommendations and Related Policy Changes**

### *Allow investment in private placements (144As)*

- By removing "Private placements" from the Prohibited Investments section, we would be able to take advantage of privately placed securities, giving our investment managers a greater supply of bonds to invest in.
- Rule 144A allows privately placed securities to be sold and traded to Qualified Institutional Buyers without SEC registration. The primary benefit of buying 144A securities is access to a greater supply of bonds. A white paper explaining the case for expanding 144A limits and additional background on what 144A securities are is shown as ATTACHMENT 3.

### *Allow investment in securitized assets (asset-backed securities, mortgage-backed securities, etc.)*

- By removing "Mortgages—backed debt and pass-through securities or obligations", "Residual Tranche collateralized mortgage obligations", and "Collateralized mortgage obligations (CMOs) and other mortgage-backed securities..." from the Prohibited Investments section, we would be able to take advantage of higher yields that would come from allowing investments in securitized assets.
- Both of our investment managers recommend adding in the option to invest in securitized assets. Allocations within securitized assets (of which 90% comes to market as 144A) would provide attractive relative value opportunities and higher yields. Mortgage-backed securities (MBS) allow investors to benefit from mortgage business (i.e. principal and interest payments passed through) without the need to directly buy or sell home loans. We believe that existing investment guidelines



in our policy that call for an average quality of A1/A+ or better helps to mitigate any risk in investing in MBS, as low-quality MBS would not be considered. We can also manage our low tolerance for investment risk by implementing a preferred range and maximum percentage allowed of investment funds within this asset class in University Administrative Policy 7610.

*Increase maturity limit or neutralize duration of the portfolio.*

- Duration represents the weighted average term of maturity of bonds in a fixed-income portfolio. Portfolios with a shorter duration will typically be less affected by interest rate changes than a portfolio with a longer duration. However, when interest rates are expected to stabilize or stay low, longer duration bonds are a better choice, as bond prices move in the opposite direction of interest rates. While the portfolios have benefited recently from the short duration posture, this is essentially an interest rate bet. Should rates stabilize or decrease, there is a significant risk to the portfolio's performance.
- We acknowledge that our short duration posture that currently exists within RPM 7.21 opens our portfolio to risk in the event interest rates stabilize or decrease. We propose increasing *average* maturity from three years or less to five years or less. Refer to red line edit under the Investment Guidelines section that changes average maturity from three years or less to five years or less.

**Align University Administrative Policy with Regents Policy Manual changes**

If the Regents approve of the changes proposed, we will also put forward similar changes to University Administrative Policy 7610: Investment Management to bring both policies into alignment.

**ATTACHMENT 1**

Regents' Policy Manual – Section 7.21: Investment of Operational Funds and Bond Proceeds  
DRAFT of 05/11/23 (Redline Copy)

**ATTACHMENT 2**

Aon Investments - Review of Fixed Income Manager Recommendations (January 2023)

**ATTACHMENT 3**

AAM White Paper on 144A Securities (August 2019)

# ATTACHMENT 1

## Regents' Policy Manual - Section 7.21: Investment of Operational Funds and Bond Proceeds



Adopted Date: 12-13-2005

Amended: 05-11-2010

### Applicability

This policy governs the investment of operational funds and bond proceeds of the University of New Mexico. It does not apply to endowments held by the University and the UNM Foundation, which are invested in accordance with the Foundation's Consolidated Investment Fund Endowment Investment Management Policy.

### Policy

The University shall manage its cash flow in a manner which will maximize funds available for investments. The primary objective for investments of operational funds and bond proceeds of the University is capital preservation. In addition, available funds shall be invested with the following objectives:

1. Conformance with applicable laws and regulations, bond resolutions and indentures, and other pertinent legal restrictions.
2. Sufficient liquidity to ensure the University can quickly respond to cash demands and meet funding and operations requirements and emergency expenditures.
3. Recognition of differing objectives and needs of various operating funds and bond proceeds.
4. Maximization of investment returns.

The Board recognizes that in order to meet these investment objectives it may be advantageous to engage the services of investment consultants and managers who have appropriate training and expertise and who have access to specialized

information and analysis or analytical tools and systems. Investment consultants and managers must be registered investment advisors with the Securities and Exchange Commission (SEC) and must have a minimum of \$500 million of assets under management. Such contracts must be approved by the Board of Regents. All persons or entities, including investment managers and consultants, that have responsibility for investment of University funds shall be bound by this and other University policies, including conflict of interest policies RPM 1.8 and RPM 6.4, and federal and state laws and regulations.

## Investment Guidelines

The scope of authority for the types of investments that may be made with University funds is statutorily defined in NMSA 1978, Sections 6-8 and 6-10. University assets may be invested in any securities permitted by law, subject to the provisions of this investment policy. Individuals responsible for investment decisions shall exercise judgment, care, skill, and caution to invest and manage funds as a prudent investor would, by considering the objectives, terms, and distribution requirements while preserving capital. Operational funds and bond proceeds are primarily invested in high quality, relatively short-term fixed income securities not exposed to significant market risk. Investments should have an average duration of ~~three~~ five years or less, an average credit quality of A1/A+ or better, no use of leverage, and security ratings of investment grade.

## Prohibited Investments

Notwithstanding authority granted by law and elsewhere in this document, in order to mitigate exposure to interest rate risk, market risk, and liquidity risk, the following investments and investment practices are prohibited. Prohibited investments include, but are not limited to the following:

- Domestic or international equity securities (i.e. stocks)
- Commodities and futures contracts
- Options
- Speculative securities
- ~~Mortgages-backed debt and pass-through securities or obligations~~
- Non-government fixed income mutual funds
- ~~Private placements~~
- Limited partnerships
- Real estate properties

- Principal-only (PO) securities
- Interest-only (IOs) securities
- Planned amortization class (PACs)
- ~~Residual Tranche collateralized mortgage obligations~~
- Venture-capital investments
- Derivatives, except when utilized to protect the Global Fixed Income Portfolio
- ~~Collateralized mortgage obligations (CMOs) and other mortgage-backed securities, inverse floaters, leveraged floaters, capped and rate floaters, dual index floaters, and floating rate notes whose index is tied to a long-term interest rate or lagging index, e.g. Cost of Funds Index (COFI)~~
- Investment purchase on margin or short sales
- Leveraging the portfolio, lending securities with an agreement to buy them back after a stated period of time (reverse repurchase agreements from the perspective of the Operating Fund)
- Repurchase agreements are prohibited for operating funds, but are allowable for bond proceeds
- GICs are prohibited for operating funds, but are allowable for bond proceeds

## Reporting and Accountability

The University Debt and Investment Advisory Committee is responsible for ensuring University investments are managed in accordance with University policy and applicable laws and regulations. The Committee is also responsible for oversight of the investment process and distribution of investment income, monitoring investment activities, and reporting the results of investment activity annually to the Board of Regents. The Committee is chaired by the Executive Vice President for Finance and Administration/CFO/COO and is composed of representatives from Financial Services, the Office of Planning, Budget and Analysis, and other members designated by the Executive Vice President for Finance and Administration/CFO/COO.

~~The Associate Vice President Director for Planning, Budget, and Analysis under the supervision of the Executive Vice President for Finance and Administration/CFO/COO is responsible for the day to day investment activities concerning University operational funds and bond proceeds. The associate vice presidents University Controller and the Chief Budget and Facilities Officer for Financial Services are responsible for ensuring proper internal controls are in place. The UNMH Administrative Chief Financial Officer is responsible for the day to day investment activities concerning UNM Hospital operational funds and bond proceeds and for ensuring proper internal controls are in place.~~ All investment transactions require prior authorization from two University administrators with signature authority on the University's depository account. All individuals delegated authority to make investment decisions must be bonded in accordance with NMSA 6-8-5.

## References

NMSA 1978, §§ 6-8 and 6-10; Uniform Prudent Investor Act, NMSA 45-7 (601-612); Internal Revenue Code IRC §148, Arbitrage; [RPM 1.8](#) "Regent Code of Conduct and Conflicts of Interest Policy," [RPM 6.4](#) "Employee Code of Conduct and Conflicts of Interest Policy," [UBPPM 3715](#) "Code of Conduct," [UBPPM 3720](#) "Conflicts of Interest," [UBPPM 7610](#) "Investment Management."



# ATTACHMENT 2

## The University of New Mexico

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## Review of Fixed Income Manager Recommendations

January 2023

Investment advice and consulting services provided by Aon Investments USA Inc.

Nothing in this document should be construed as legal or investment advice. Please consult with your independent professional for any such advice. To protect the confidential and proprietary information included in this material, it may not be disclosed or provided to any third parties without the approval of Aon.



# Statutory Rules and Requirements Relating to the Investment of the University's Operating Assets

	Section 7.21	Policy 7610
<b>Applicability</b>	<ul style="list-style-type: none"> <li>Governs investment of operational funds and bond proceeds of the University                             <ul style="list-style-type: none"> <li>Does not apply to endowments held by University and the UNM Foundation</li> </ul> </li> </ul>	
<b>Objectives</b>	<ul style="list-style-type: none"> <li>Primary = Capital Preservation and Liquidity</li> <li>Secondary = Yield</li> </ul>	
<b>Investment Guidelines</b>	<ul style="list-style-type: none"> <li>Average Duration = 3 years or less</li> <li>Average Credit Quality = A1/A+</li> <li>No use of leverage</li> </ul>	<ul style="list-style-type: none"> <li>Average Duration = 3 years or less                             <ul style="list-style-type: none"> <li>Requirement to maintain duration of portfolio aligned with the benchmark</li> </ul> </li> <li>Minimum Credit Quality = BBB/Baa2</li> <li>Allowable Investments (Maximum Allocation):                             <ul style="list-style-type: none"> <li>U.S. Treasuries (100%)</li> <li>U.S. Gov't. Agencies (100%)</li> <li>Money Market Funds (100%)</li> <li>Corporate Bonds (50%)</li> <li>Municipal Bonds (25%)</li> <li>Global Fixed Income (20%)</li> <li>Industrial Floaters (10%)</li> <li>Certificates of Deposit (10%)</li> <li>Commercial Paper (10%)</li> <li>Federal Funds (10%)</li> </ul> </li> </ul>
<b>Prohibited Investments</b>	Stocks, Commodities, Options, Speculative Securities, MBS, CMOs, Private Placements, Limited Partnerships, Real Estate, Principal-Only Securities, Interest-Only Securities, Investment Purchase on Margin or Short Sale, Repurchase Agreements* and GICs*	

\* Prohibited for operating funds, but allowable for bond proceeds



## Current State

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- ≈\$371 million in operating assets
  - Loomis Sayles = \$254 million
    - 1-5 Year Gov/Credit Mandate
  - SLC Management = \$117 million
    - 1-3 Year Gov/Credit Mandate

## Recommendations from Loomis Sayles

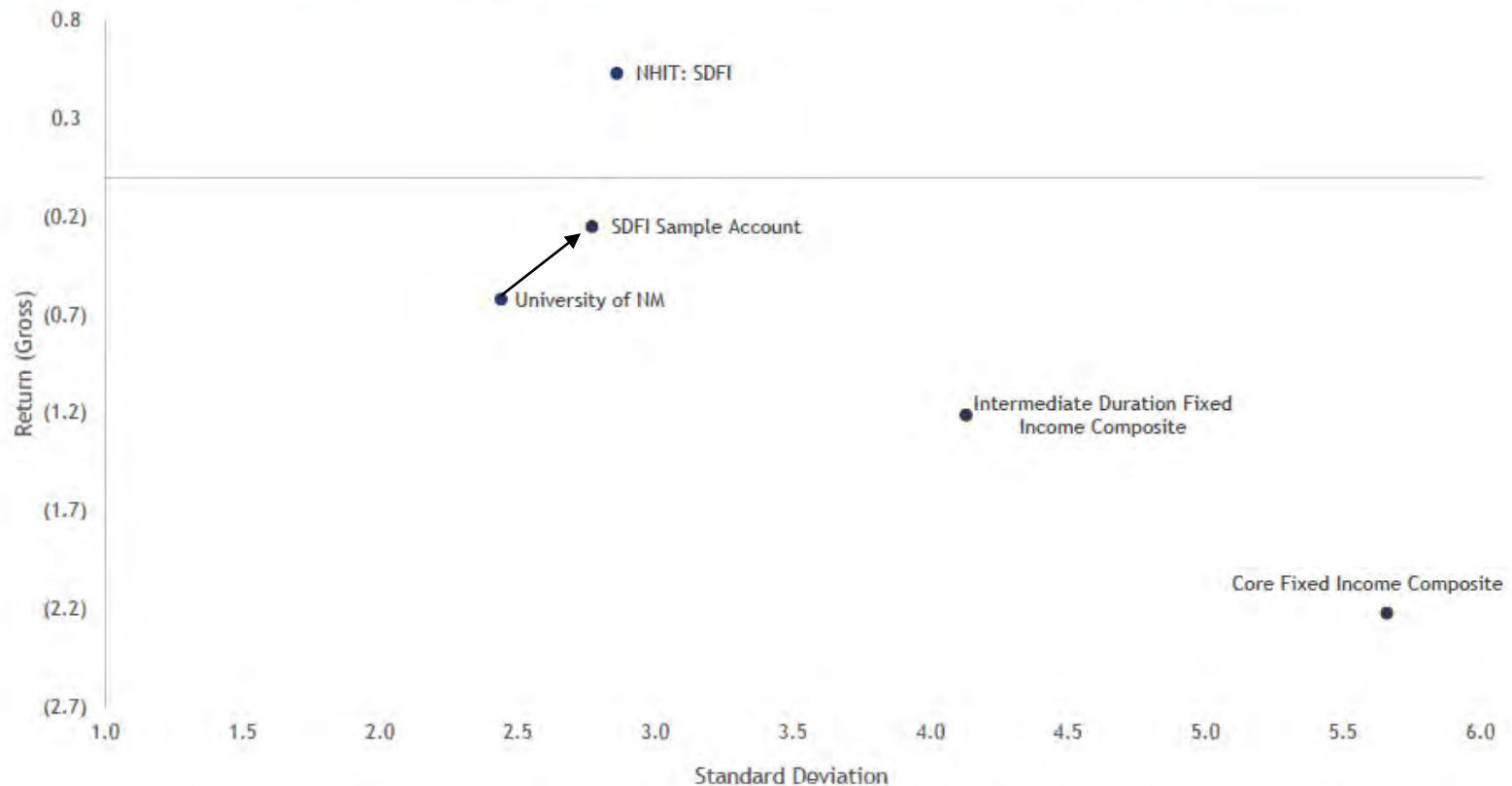
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- Average portfolio credit quality shall be Aa3 or higher
- Permitted asset classes to include corporate bonds AND securitized assets such as agency backed mortgages, asset backed securities and commercial mortgage-backed securities
- Minimum credit quality for any security will be BBB- with exception of securitized assets which shall be AA-
- Sector limits shall be no more than 75% in corporate bonds, 25% in ABS, 25% in CMBS and 25% in Agency MBS
- 144a securities are permitted (not permitted today)
- Security maturity to be 7 years or less - securitized assets weighted average life must be 7 years or less

# Loomis Sayles – Comparison of “Standard Account” to UNM Account

## comparison of short duration alternatives

3 YEAR STANDARD DEVIATION & TOTAL RETURN COMPARISON AS OF 9/30/2022



## Recommendations from SLC Management

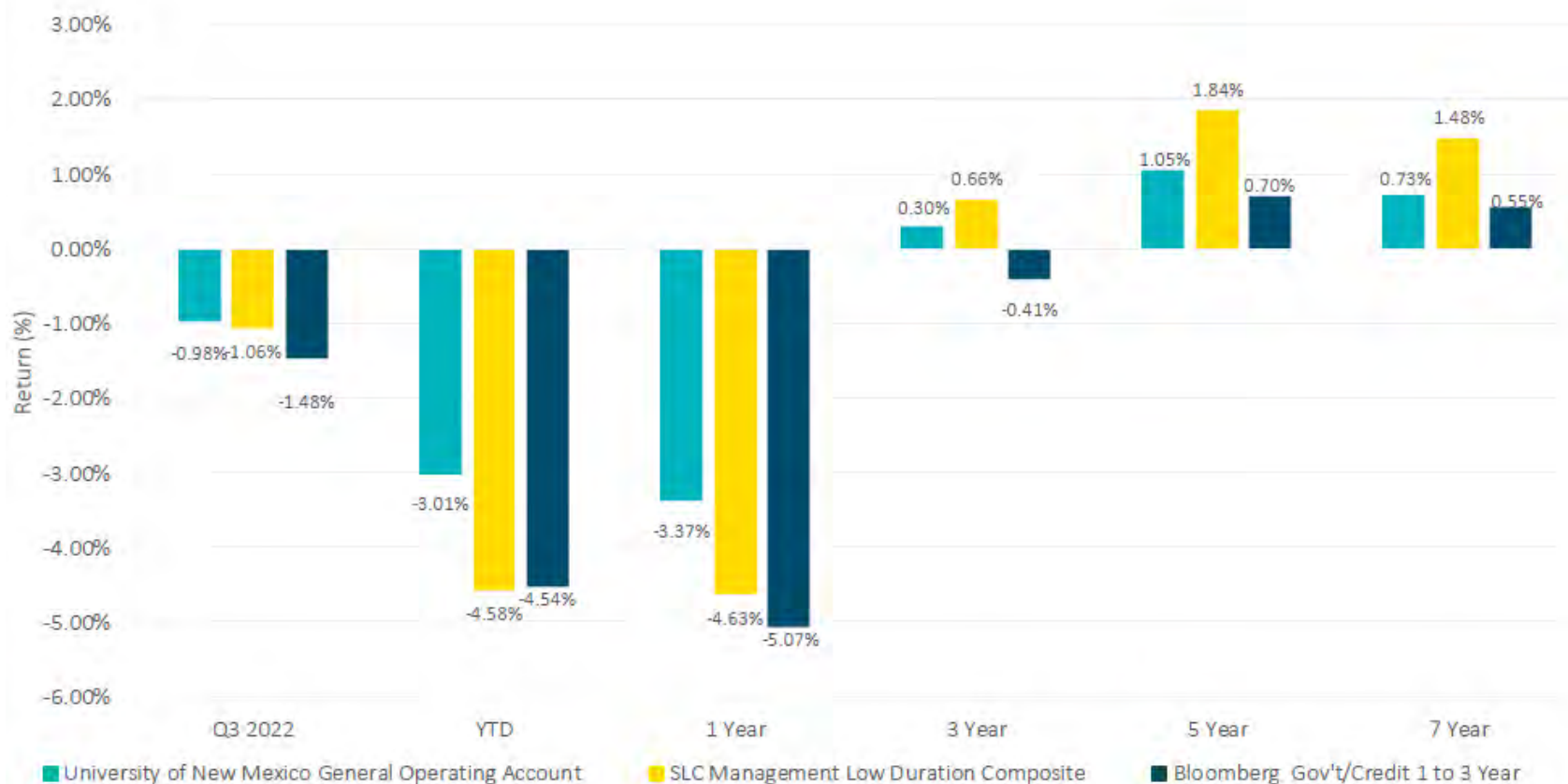
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- Add in structured products, inclusive of 144A's
  - Allocations within securitized (of which 90% comes to market as 144A) would provide attractive relative value opportunities, enhancing yield and carry specifically at the front end of the curve
- Increase exposure to full BBBs
  - Allowing for BBB- securities would increase yield within the portfolio while minimally impacting investment risk
- Open the opportunity set to allow flexibility
  - By loosening restrictions on permissible investments and liquidations, SLC Management would be able to move when markets move and allow investment decisions to be made in real time
- Neutralize duration of the portfolio to the benchmark
  - The current portfolio is running short to the benchmark by 0.46 years
  - While the portfolio has benefitted from the short duration posture, this is in effect an interest rate bet
  - Should rates stabilize or decrease, there is a significant risk to the portfolio's performance

# SLC Management – Comparison of “Standard Strategy” to UNM Account

## University of New Mexico – Historical Performance Comparison

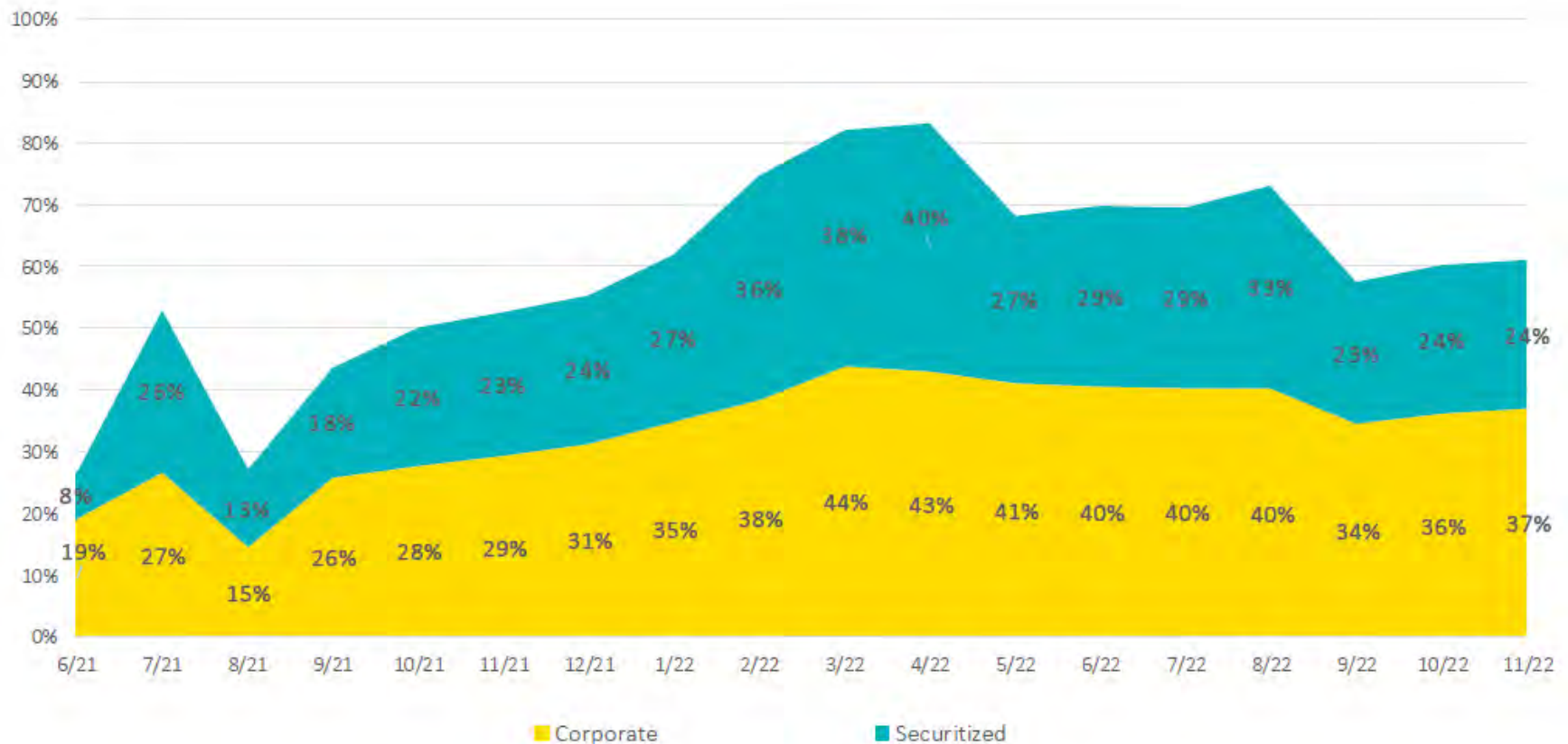
UNM General Operating account Historical Performance vs. SLC Management Low Duration Composite (Unconstrained)



# SLC Management – Comparison of “Standard Strategy” to UNM Account (Cont’d)

## University of New Mexico – Historical Sector Breakdown

Historical sector breakdown of an unconstrained representative account



# Summary of Manager Recommendations

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- Allow investment in securitized bonds (ABS, MBS, etc.) – **BOTH**
- Allow investment in 144As – **BOTH**
- Allow investment in bonds rated BBB- – **BOTH**
- Increase maximum allocation to corporate bonds (50% → 75%) – **LOOMIS SAYLES**
- Increase maturity limit to 7 years or less – **LOOMIS SAYLES**
- Remove constraints on “selling at a loss” – **SLC MANAGEMENT**

***Aon believes all of these to be reasonable requests***

## **Suggested Next Steps:**

- 1) What changes is UNM comfortable with?
- 2) What changes can be accommodated? (i.e., from a statutory rules and requirements perspective)
- 3) Draft account guidelines for Loomis Sayles and SLC Management
- 4) Execute guidelines; managers then transition portfolios as needed



# Appendix: Background Information on 144As from SLC Management

## Background

- Rule 144A refers to a 2012 legal provision which allows for the trading of select securities among Qualified Institutional Buyers (QIB's)
- Unlike non-144A securities, 144A's do not require an SEC registration process prior to the transaction
  - After the market crash of 1929, the SEC enacted the Securities Act of 1933, requiring extensive documentation prior to transacting, in hopes of providing greater disclosure and protection for investors
- By waiving registration requirements, Rule 144A facilitates a more liquid and efficient resale market for QIB's to transact in the marketplace

## SLC Management's Approach to 144A Exposure

- SLC Management buys and sells bonds based on sector and security relative value. Factors can be technical in nature (e.g. direction, trend) or fundamental (e.g. credit, volatility). With respect to credit selection, the Rule 144A classification has little impact on our investment process
- From a credit quality spectrum, there are both higher and lower quality 144A issues, similar to the public market
  - As a result, liquidity is determined by deal size, credit quality and fundamentals. The 144a issue does not necessarily impair liquidity alone
- While offerings in the 144A market do carry liquidity premiums, our investment process remains unchanged. Allocations must be investment grade and adhere to our investment philosophy
- Within the structured credit sector approximately 90% of the issues below AAA come to market with a 144A designation
  - Restricting 144A exposure in the portfolio limits our ability to fully capitalize on opportunities in nearly all issuance in the ABS, CMBS, RMBS and CLO markets
- Currently, spreads in securitized sectors offer attractive entry points for sophisticated QIB's such as UNM to further diversify while simultaneously adding risk-adjusted yield
- By permitting 144A securities, the portfolio is better positioned to achieve relative value with proper risk context and duration bands

# Appendix: Background Information on 144As from SLC Management (Cont'd)

Although exempt from certain registration requirements, The Financial Industry Regulatory Authority (FINRA)'s regulations do require dealers to report all transactions to their Trade Reporting and Compliance Engine (TRACE). This transaction data is distributed publicly on the date of issuance, giving investors full transparency of volume and price at the individual bond level. This added level of transparency is a strong positive for liquidity at the margin.

Corp Bonds	Agencies	Mortgage TBA	Mortgage Pool	ABS	CDO	Convertibles	ELNs			
Tickers						Period	Last 5 Days			
ABS Type	All	Coupon				Cpn Type	All			
Sec Type	144a only	Original Face	All Size							
Security	ABS Type	Rating	Orig(M)	Curr(M)	Factor	Price	Trd	Vol(M)		
ABS 4 hr Delay		144A 4 hr Delay					496	1,446,867		
21) FLX 2021-1A C	Other	NR/NR/NR	2000	2000	1.000	84.938	4	13,000		
22) PAID 2022-5 A	Other	NR/NR/NR	2000	2000	1.000	100.938	1	2,000		
23) OSCAR 2021-2A A2	Autos	AAA/NR/Aaa	3000	837.39	0.279	98.625	1	3,000		
24) TALNT 2019-1A A	Autos	NR/AAA/Aaa	200	200	1.000	96.703	3	1,760		
25) CACLN 2021-3 F	Other	NR/NR/Ba3	1000	1000	1.000	86.750	2	2,000		
26) NAVSL 2021-A A	Student Loans	NR/AAA/NR	450	207.85	0.462	87.750	1	450		
27) CNART 2021-1A B	Autos	NR/NR/NR	1180	759.701	0.644	99.527	1	1,180		
28) AESOP 2018-2A A	Autos	AAA/NR/Aaa	1405	1405	1.000	98.574	2	2,810		
29) GFORT 2020-1 B	Autos	NR/AA/Aa1	6138	6138	1.000	97.281	2	12,276		
30) FREED 2022-4FP D	Other	NR/NR/NR	9120	9120	1.000	97.000	2	18,240		
31) ACAR 2019-1 F	Autos	NR/AA+/NR	3160	3160	1.000	99.906	1	3,160		
32) NAVSL 2021-2A A1B	Student Loans	NR/AA+/Aaa	262	208.118	0.794	95.250	1	262		
33) FART 2018-3A F	Autos	NR/A+/NR	5130	5130	1.000	100.000	1	5,130		
34) ACAR 2019-4 E	Autos	NR/A+/NR	4000	4000	1.000	97.781	1	4,000		
35) FKH 2021-SFR1 A	Other	NR/NR/Aaa	597	592.832	0.993	86.418	1	597		
36) MFT 2020-2A D	Other	NR/NR/NR	1651	1651	1.000	97.891	3	4,953		
37) SREV 2019-A A	Autos	NR/AAA/Aaa	2135	2135	1.000	94.855	1	2,135		
38) HERTZ 2022-5A A	Autos	AAA/NR/Aaa	10000+	10000+	1.000	94.457	2	20,000		
39) NSLT 2021-BA C	Student Loans	NR/NR/NR	10000	10000	1.000	83.813	1	10,000		
40) CASL 2021-A D	Student Loans	NR/NR/NR	1750	1750	1.000	91.625	2	3,500		
41) HERTZ 2021-1A A	Autos	NR/NR/Aaa	10000+	10000+	1.000	92.605	1	10,000		
42) HNGRY 2021-1A A2	Other	NR/BBB/NR	1115	1098.275	0.985	80.012	1	1,115		
43) SERV 2021-1 A2I	Other	NR/BBB-/NR	1196	1181.05	0.988	80.492	1	1,196		
44) ACAR 2021-1 C	Autos	NR/AAA/NR	100	72.026	0.720	97.988	2	200		
45) TAH 2020-SFR1 A	Other	NR/NR/Aaa	3000	2993.886	0.998	88.906	3	13,106		
46) BLX 2007-AA C	Other	NR/CC/WR	3827	125.855	0.033	79.000	2	7,654		
47) BLX 2007-AA A	Other	NR/CCC/WR	10000+	328.86+	0.033	87.750	4	40,000		
48) ONGLT 2018-A A	Other	AAA/AAA/NR	500	71.711	0.143	96.215	4	4,260		
49) UPSPT 2021-ST1 CERT	Other	NR/NR/NR	7500	7500	1.000	30.000	2	15,000		
50) SRFC 2022-2A A	Other	AAA/AAA/NR	165	125.091	0.758	98.328	2	330		
51) NAVSL 2017-3A A3	Student Loans	NR/AA+/Aaa	1000	993.589	0.994	98.000	8	41,300		
52) OMFIT 2022-2A A	Other	NR/AAA/NR	475	475	1.000	97.813	2	4,340		
53) EFF 2022-2 A2	Autos	AAA/AAA/NR	1450	1450	1.000	98.918	2	2,900		

# A Case for Expanding 144A Limits

*Kevin Adams, CFA | Senior Portfolio Manager, Principal, & Vice President*

Given the persistent low interest rate environment, investment managers require flexibility to employ strategies that maximize a portfolio's income within the constraints of their clients' mandates. A segment of the market that is often overly constrained is 144A private placement issues. While not all investors are qualified to purchase 144A issues, the expanded opportunity set in these issues makes a compelling case for increasing 144A limits for those that do.

## What are 144A securities?

When a bond issuer offers a security to the investing public, the Securities Act of 1933 requires that the issuer register the bonds with the Securities and Exchange Commission (SEC). This process entails extensive documentation, review, and recurring disclosures. However, there is an exception for bonds issued under Rule 144A, which allows privately placed securities to be sold and traded to Qualified Institutional Buyers (QIBs) without SEC registration. QIBs are defined as institutions (not individuals), deemed to be an "accredited investor" under Rule 501 of the SEC's Regulation D. To qualify as a QIB under Rule 144A, an insurance company must have a minimum of \$100 million in unaffiliated invested assets on a discretionary basis. The exception for QIBs is made because they are viewed as having more resources and access to information versus smaller institutions. As such, it is inferred that they can make sound investment decisions despite potentially having less information and ongoing required reporting provided by securities registered with the SEC.

144A securities can be issued with or without registration rights. For those issued with registration rights, the issuer hasn't filed for registration with the SEC but intends to do so within a specified time period after issuance. Once they are registered, the 144A securities are subsequently exchanged for newly created public securities. For those issued without registration rights, the securities will remain unregistered until maturity.



## What are the benefits for an issuer of 144A securities?

From an issuer's perspective, there are a number of advantages to issue bonds under Rule 144A. First, there is no required public disclosure of sensitive information, no SEC review process, and ongoing reporting requirements are reduced. Second, 144A issuance decreases the potential for liability under the Securities Act. Third, issuers can access the market more quickly since the process of registering a bond with the SEC can delay the timing of an issue. Finally, the issuers' costs are lower as they are able to forgo pre-issuance registration, significant underwriting fees, and ongoing reporting post-issuance.

## What are the benefits of buying 144A securities for QIBs?

With the advantages to the issuer being fairly straight forward, the primary benefit to the investor is access to a greater supply of bonds. Over the past decade, the amount of 144A issuance has accelerated at a much faster rate than public bonds. The outstanding issuance of investment grade 144A (excluding structured sectors: asset backed securities, commercial mortgage backed securities, and non-agency residential mortgage securities) since 12/31/08 has increased from \$341B to \$1,637B (380% growth) versus the Barclays Aggregate increase of \$11,430B to \$20,836B (82% growth).

In the structured sectors, the growth of 144A issuance since the financial crisis has been even more pronounced. In the Asset Backed and Commercial Mortgage Backed Sectors, over half of the bonds in 2018 were issued under Rule 144A (Exhibit 1). In the Non-Agency Residential Mortgage Backed Sector, almost all of the securitizations in the past few years have been issued under Rule 144A.

**Exhibit 1**

Total ABS Issuance % 144A											
	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018
Total ABS Issuance (\$bil)	142.79	140.38	106.22	136.15	195.59	174.72	192.96	178.80	188.20	221.62	229.34
Total ABS Issuance 144A (\$bil)	20.82	57.65	49.42	58.05	69.45	56.93	68.15	79.85	96.71	112.92	124.76
% Total ABS Issuance 144A	14.6%	41.1%	46.5%	42.6%	35.5%	32.6%	35.3%	44.7%	51.4%	51.0%	54.4%

Total CMBS Issuance % 144A											
	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018
Total CMBS Issuance (\$bil)	12.15	2.58	10.42	31.27	45.35	82.73	91.67	99.28	71.12	94.11	91.14
Total CMBS Issuance 144A (\$bil)	1.44	2.58	5.03	6.53	13.20	29.66	34.66	37.77	23.27	45.58	50.72
% Total CMBS Issuance 144A	11.8%	100.0%	48.3%	20.9%	29.1%	35.8%	37.8%	38.0%	32.7%	48.4%	55.6%

Source: J.P. Morgan, Bloomberg

A greater supply of bonds is the primary benefit of 144A issues to investors, but it's not the only one. Underwriters of 144A structured securities typically provide more granular loan level data, which isn't made available for public issues. This feature allows investment management research teams to better understand the characteristics of the underlying collateral, model cash flows, and predict deal performance.

While some 144A issues may offer a yield benefit, increasing the limit on 144A issued securities is not necessarily a yield enhancement strategy. In an acknowledgement from the market that public issues

versus 144A are nearly identical, there is very little or no yield premium for a given issuer whether they come with a 144A versus a public transaction. Increasing 144A limits is really about expanding the opportunity set, particularly in the ABS, CMBS, and Non-Agency RMBS sectors. For example, the entire single property CMBS market and nearly the entire ABS market outside of prime auto deals and credit card transactions are 144A.

Given the pool of potential investors in 144A is limited to those with QIB status, it would be reasonable to assume that 144A issues are less liquid than public bonds. However, liquidity for both fully registered and 144A securities is impacted primarily by the specific issue characteristics which include issue size and credit quality. Bid side indications for similar tenor public and 144A bonds are generally the same. An example is shown in exhibit 2.

Exhibit 2

Issue Description	Type of Issue	Size (mil)	Bid indication	Adjustment to Bid for Yield Curve
Bristol Myers Squibb 3.25% 2/22/2027	Registered	750	+64/ 7.6 yr	+86
Bristol Myers Squibb 3.40% 7/26/2029	144A w/ Reg. Rights	4,000	+85/ 9.8 yr	+85

Source: Bloomberg, AAM

## Conclusion

As 144A private placements become a larger component of the bond market, they warrant consideration as a greater percentage of portfolios for QIB investors. Constraining 144A to a small percentage of a portfolios' holdings is an outdated restriction in today's market, limits the investment options for managers, and doesn't necessarily reduce a portfolio's risk profile. If your investment guidelines have restrictions on the exposure to 144A issues, ask your investment manager about whether increasing those limits would benefit your portfolio's diversification and opportunity set.

**Kevin Adams, CFA**, is a Principal, Vice President and Senior Portfolio Manager at AAM with 27 years of investment experience. Kevin is responsible for constructing portfolios based on client-specific objectives, constraints, and risk preferences. He is also responsible for communicating market developments and portfolio updates to clients. Prior to joining AAM, Kevin worked as a Registered Representative for the National Business Association. He earned a BS in Corporate Communications from Northern Illinois University. Additionally, Kevin is a CFA Charterholder and a member of the CFA Society of Chicago.



*Disclaimer: Asset Allocation & Management Company, LLC (AAM) is an investment adviser registered with the Securities and Exchange Commission, specializing in fixed-income asset management services for insurance companies. Registration does not imply a certain level of skill or training. This information was developed using publicly available information, internally developed data and outside sources believed to be reliable. While all reasonable care has been taken to ensure that the facts stated and the opinions given are accurate, complete and reasonable, liability is expressly disclaimed by AAM and any affiliates (collectively known as "AAM"), and their representative officers and employees. This report has been prepared for informational purposes only and does not purport to represent a complete analysis of any security, company or industry discussed. Any opinions and/or recommendations expressed are subject to change without notice and should be considered only as part of a diversified portfolio. Any opinions and statements contained herein of financial market trends based on market conditions constitute our judgment. This material may contain projections or other forward-looking statements regarding future events, targets or expectations, and is only current as of the date indicated. There is no assurance that such events or targets will be achieved, and may be significantly different than that discussed here. The information presented, including any statements concerning financial market trends, is based on current market conditions, which will fluctuate and may be superseded by subsequent market events or for other reasons. Although the assumptions underlying the forward-looking statements that may be contained herein are believed to be reasonable they can be affected by inaccurate assumptions or by known or unknown risks and uncertainties. AAM assumes no duty to provide updates to any analysis contained herein. A complete list of investment recommendations made during the past year is available upon request. Past performance is not an indication of future returns. This information is distributed to recipients including AAM, any of which may have acted on the basis of the information, or may have an ownership interest in securities to which the information relates. It may also be distributed to clients of AAM, as well as to other recipients with whom no such client relationship exists. Providing this information does not, in and of itself, constitute a recommendation by AAM, nor does it imply that the purchase or sale of any security is suitable for the recipient. Investing in the bond market is subject to certain risks including market, interest-rate, issuer, credit, inflation, liquidity, valuation, volatility, prepayment and extension. No part of this material may be reproduced in any form, or referred to in any other publication, without express written permission.*



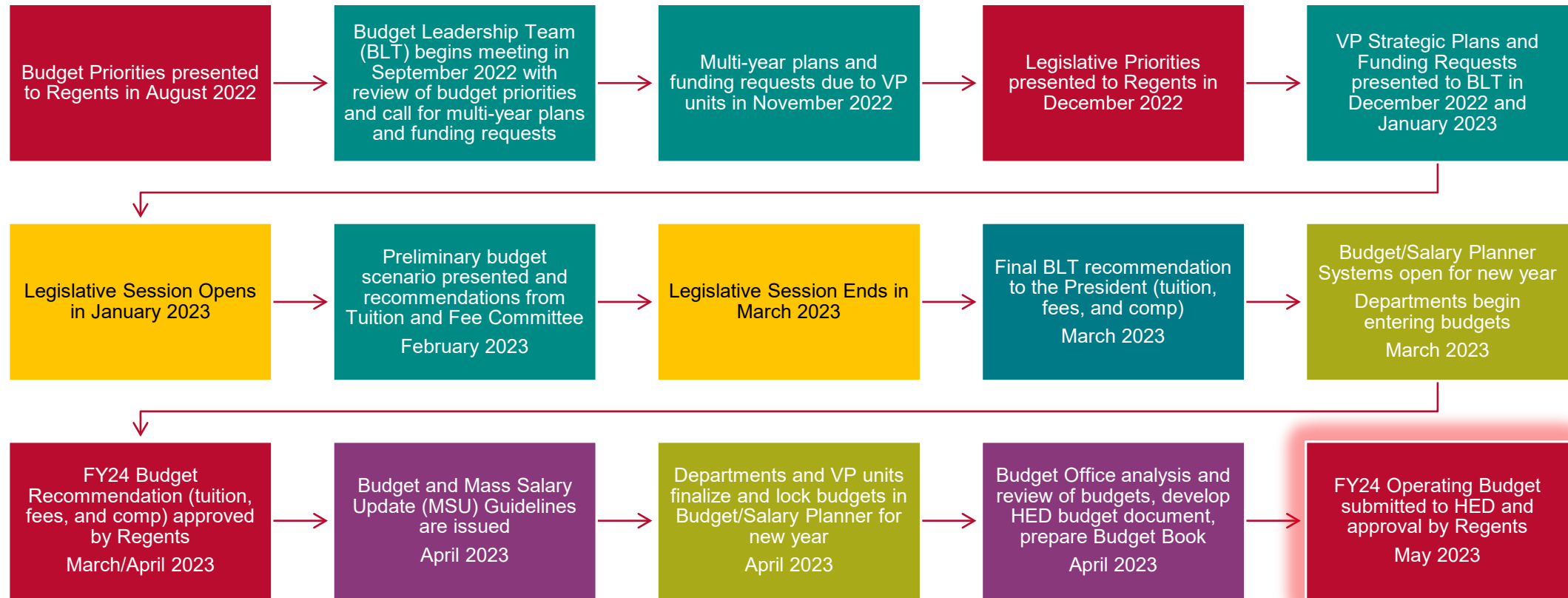
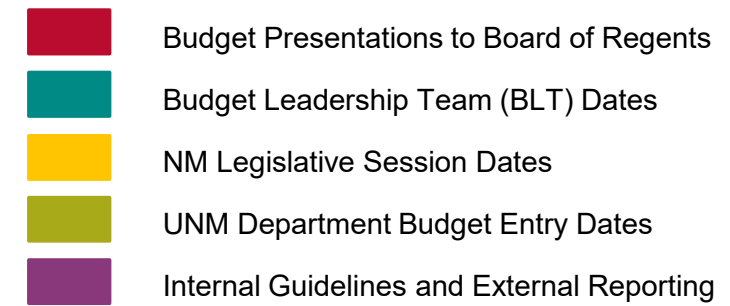
# Main and Branch Campuses

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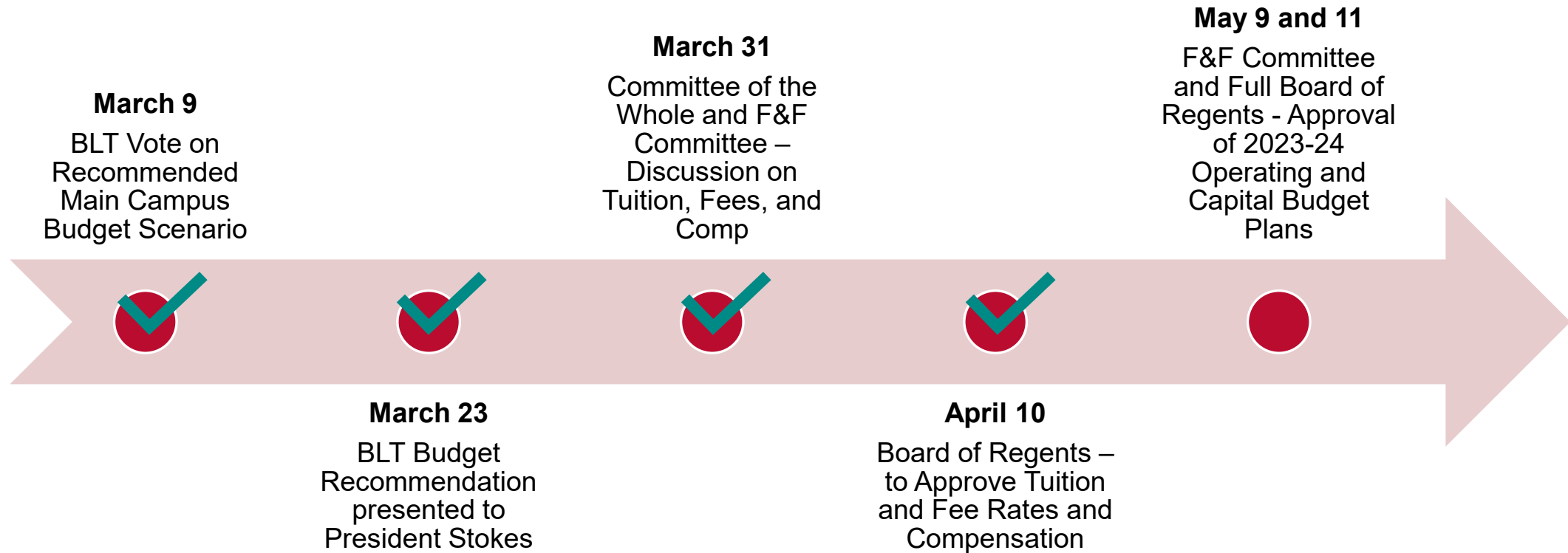
REQUESTING APPROVAL OF  
FISCAL YEAR 2023-2024  
UNM OPERATING AND CAPITAL PLANS



# Budget Process at UNM



# 2023-24 Budget Milestones



# UNM Main Campus Key Budget Assumptions

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## Revenues

- State appropriations increased over FY 23 original budget, due primarily to a 6% increase in compensation and a 3.3% increase for I&G funding, categorical funding, and Research and Public Service Projects (RPSPs).
- Tuition
  - No Tuition Increase
  - Tuition Simplification – Align undergraduate non-resident block to 15+ credit hours per semester. Align Accelerated Online Programs (AOPs) with the 15+ credit hour block for undergraduates and 12+ credit hour block for graduates
- Student Fees
  - Mandatory student activity fees increase of 3% (in addition to the related debt service fee increase)
  - Increase Student Health and Counseling (SHAC) fee by \$22 to \$129 per semester

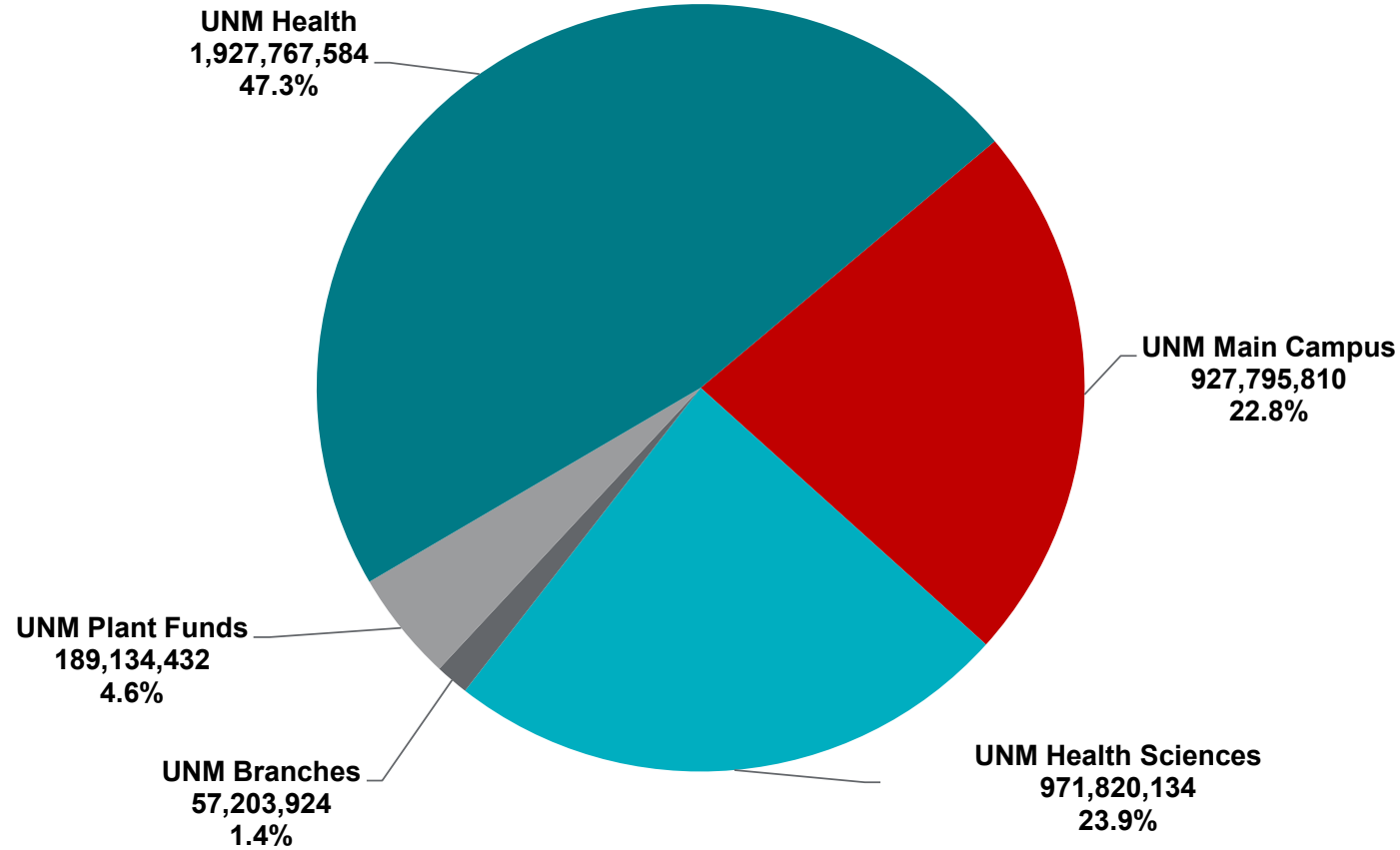
## Expenses

- Compensation – 6% increase, partially funded through state appropriation increase
- Employer ERB 1% increase, partially funded through state appropriation increase
- Group Health Insurance increase of 9.8%



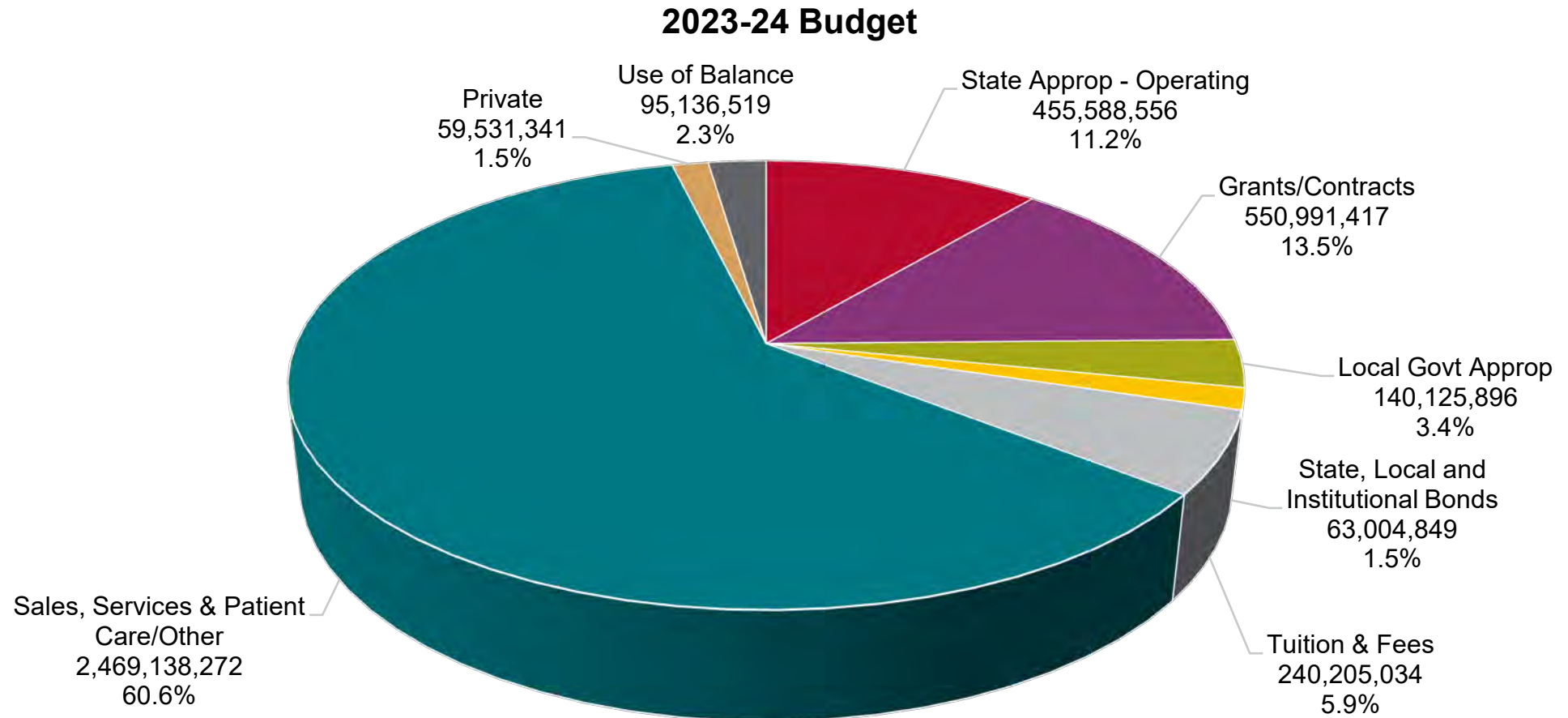
# UNM Consolidated Expenditures

## 2023-24 Budget



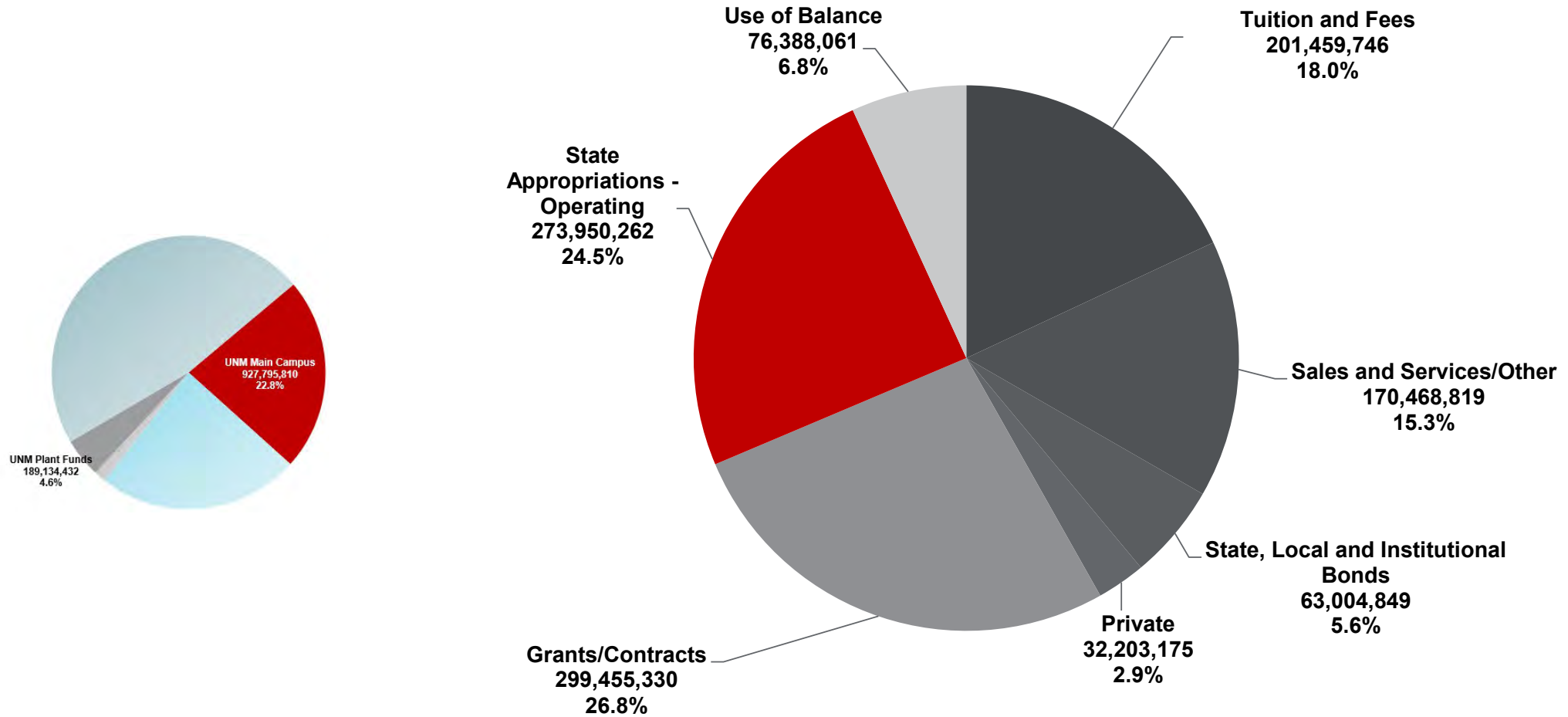
**Total Expenditures \$4,073,721,884**  
**An Increase by 9.2% over 2022-23**

# UNM Consolidated Revenue/Sources



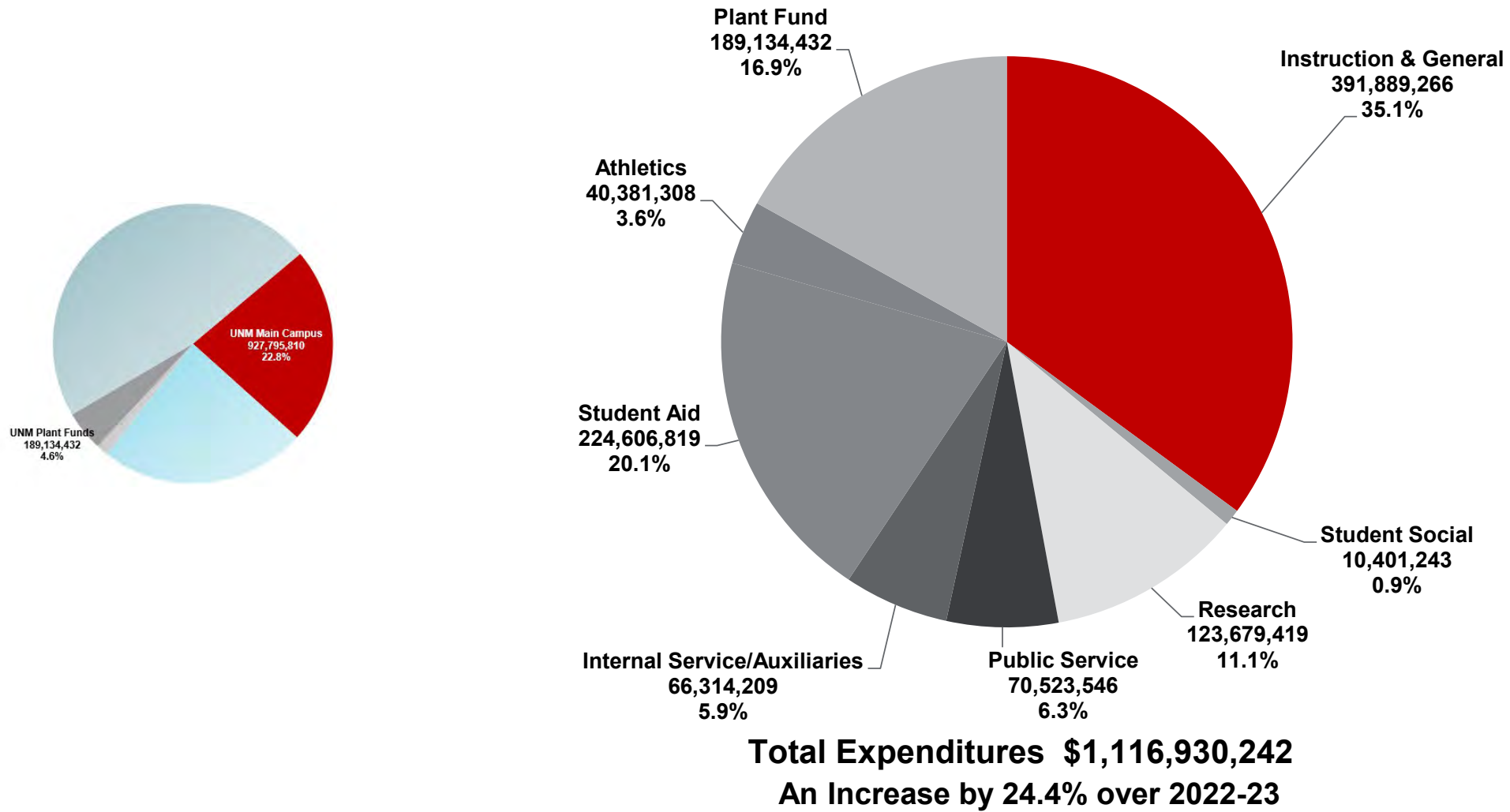
**Total Sources \$4,073,721,884**  
**An Increase by 9.2% over 2022-23**

# UNM Main Campus Sources



**Total Sources \$1,116,930,242**  
**An Increase by 24.4% over 2022-23**

# UNM Main Campus Expenditures





# UNM Main Campus Expenditures

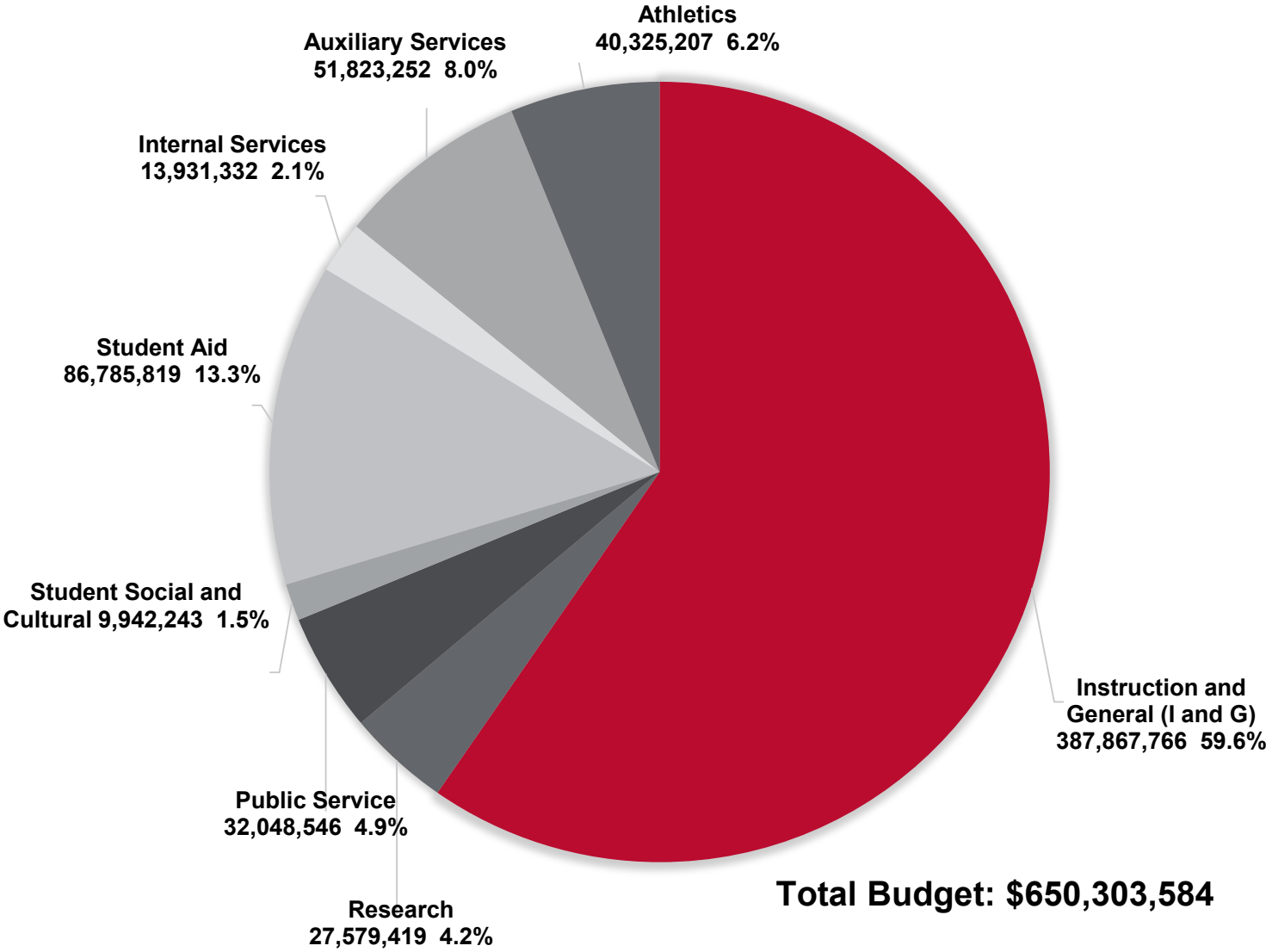
Comparative Analysis: Prior Year - Current Year - Next Year

	FY 2022 Actuals	FY 2023 Original Budget	FY 2023 Revised Budget	FY 2024 Original Budget	% Change (FY24 Orig- FY23 Rev)	% Change (FY24 Orig- FY23 Orig)
Unrestricted	\$500,719,124	\$591,641,324	\$612,143,712	\$650,303,584	6.2%	9.9%
Restricted	\$171,841,370	\$176,826,226	\$289,721,226	\$277,492,226	-4.2%	56.9%
Plant Funds	\$116,049,244	\$129,703,924	\$149,341,681	\$189,134,432	26.6%	45.8%
<b>TOTAL</b>	<b>\$788,609,738</b>	<b>\$898,171,474</b>	<b>\$1,051,206,619</b>	<b>\$1,116,930,242</b>	<b>6.3%</b>	<b>24.4%</b>

- *FY24 Unrestricted Expenses increase due primarily to 6% compensation increase, 1% ERB employer contribution increase, group health insurance increase, and inflationary increases in non-salary costs and utilities*
- *FY24 Restricted Expenses projected to increase significantly from FY23 Original Budget due primarily to NM Opportunity Scholarship (not budgeted in FY23 Original Budget) and increases in Research and Public Service expenditure activity in FY24*
- *FY24 Plant Fund Expenditures projected to increase due to capital project activity and planned capital outlay for Center for Collaborative Arts & Technology, Welcome Center, College of Nursing/College of Population Health and other large-scale capital projects*

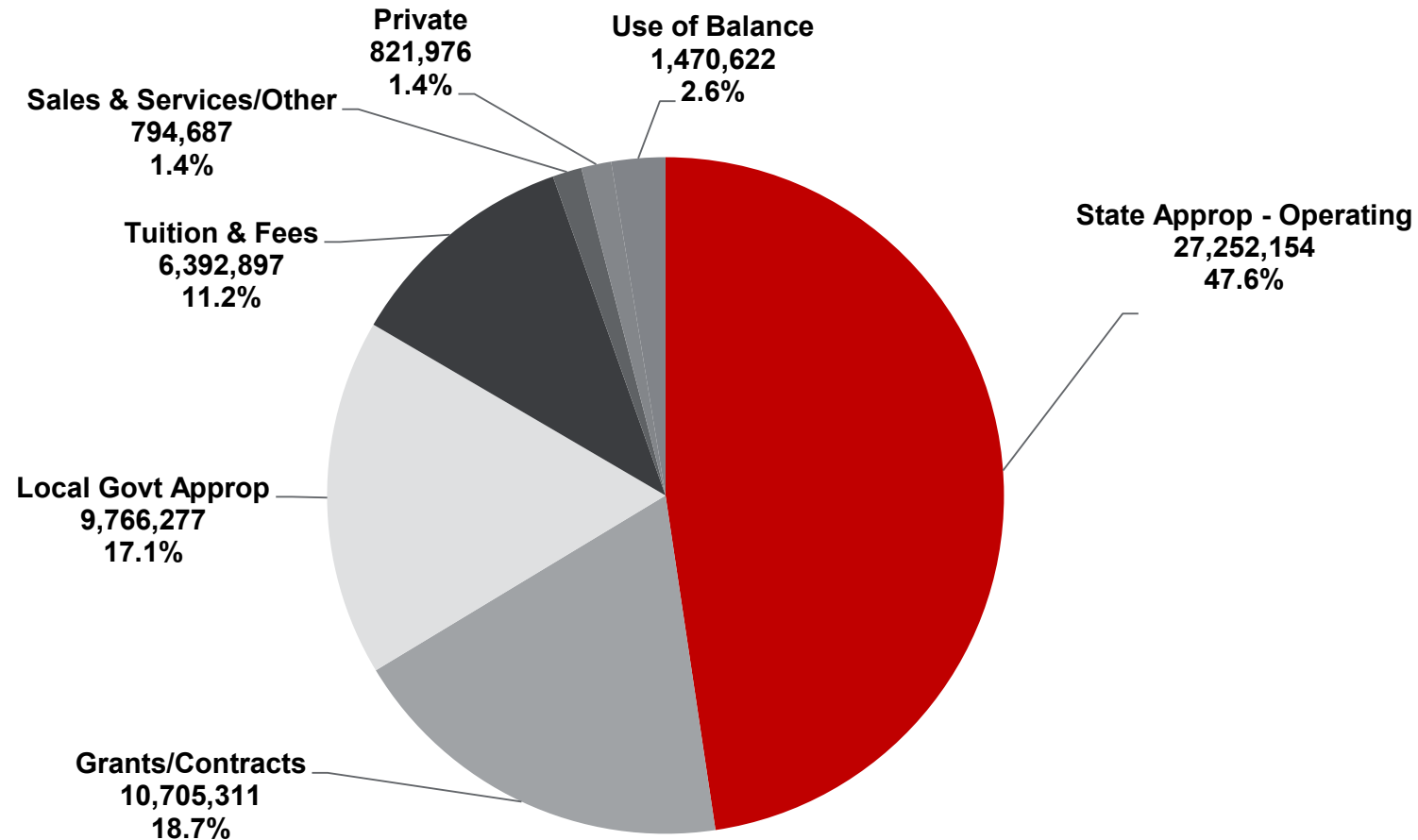


# UNM Main Campus Unrestricted Budget by Exhibit



# UNM Branch Campus Sources

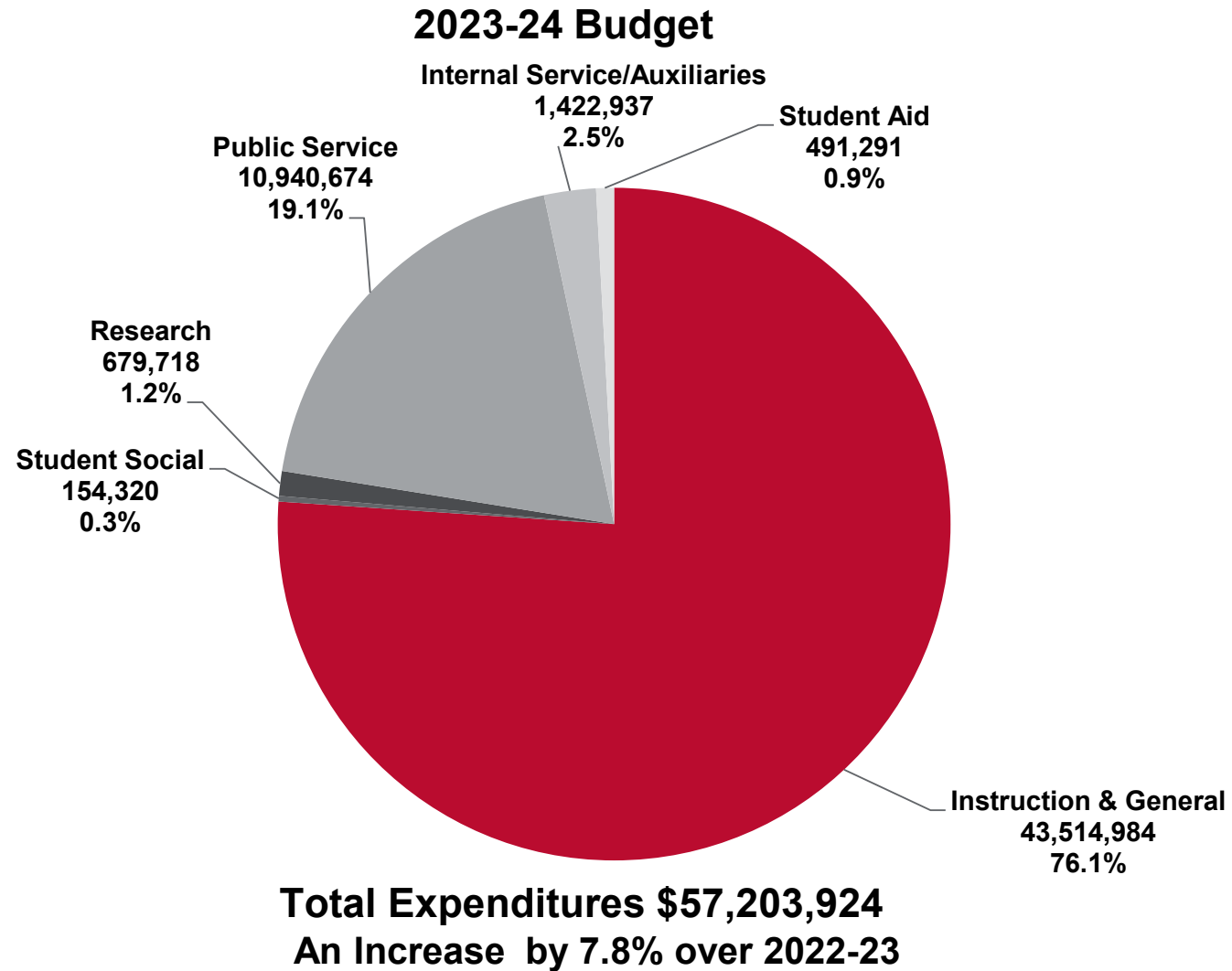
## 2023-24 Budget



**Total Sources \$57,203,924**  
**An Increase by 7.8% over 2022-23**



# UNM Branch Campus Expenditures



# UNM Branch Campus Expenditures

Comparative Analysis: Prior Year - Current Year - Next Year

	<b>FY 2022 Actuals</b>	<b>FY 2023 Original Budget</b>	<b>FY 2023 Revised Budget</b>	<b>FY 2024 Original Budget</b>	<b>% Change (FY24 Orig- FY23 Rev)</b>	<b>% Change (FY24 Orig- FY23 Orig)</b>
<b>Gallup</b>	\$14,710,897	\$17,572,052	\$17,724,320	\$18,643,051	5.2%	6.1%
<b>Los Alamos</b>	\$4,502,489	\$7,419,348	\$6,406,161	\$6,989,339	9.1%	-5.8%
<b>Taos</b>	\$10,944,385	\$12,495,338	\$14,165,261	\$14,965,467	5.6%	19.8%
<b>Valencia</b>	\$13,178,047	\$15,593,223	\$15,420,477	\$16,606,067	7.7%	6.5%
<b>TOTAL</b>	<b>\$43,335,818</b>	<b>\$53,079,961</b>	<b>\$53,716,219</b>	<b>\$57,203,924</b>	<b>6.5%</b>	<b>7.8%</b>



# FY24 Budget – Branch Campuses

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## **Branch Campuses Total Budget - \$57.2 million**

- 7.8% Increase over FY23 Original Budget
- 6.5% Increase over FY23 Revised Budget

## **Key Planning Assumptions:**

### **Revenues**

- State appropriations - For Branch campuses HB2 included a 9.1% (\$2.1 million) increase for I&G funding, Categorical funding, and Research and Public Service Projects (RPSPs)
  - 2.5% increase for I&G
- No Tuition Increases

### **Expenses**

- Compensation – 6% increase, partially funded through state appropriation increase
- Employer ERB 1% increase, partially funded through state appropriation increase
- Group Health Insurance increase of 9.8%

# New Look Budget Book!

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We're proud to present a newly redesigned Operating and Capital Budget Book for the 2023-24 fiscal year.

New features include:

- UNM branding and graphic design (UCAM)
- Table of Contents with hyperlinks to sections
- Executive Summary
- UNM By The Numbers
- Introduction to Budgeting at UNM





# Requesting Approval of the Fiscal Year 2023-2024 Main and Branch Operating and Capital Budgets

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[http://budgetoffice.unm.edu/assets/documents/budget/fy24\\_opcapbook.pdf](http://budgetoffice.unm.edu/assets/documents/budget/fy24_opcapbook.pdf)

**MEMORANDUM TO ADVANCE  
COMMITTEE AGENDA ITEM TO  
THE BOARD OF REGENTS  
THE UNIVERSITY OF NEW MEXICO**

**TO:** Members of the Finance and Facilities Committee

**FROM:** James Holloway, Provost & EVP for Academic Affairs  
Teresa Costantinidis, EVP of Finance & Administration

**DATE:** May 9, 2023

**RE:** **Capital Outlay Submission to the Higher Education Department**

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**RECOMMENDED ACTION:**

Recommend to the Board of Regents Finance & Facilities Committee the following:

1. Capital Outlay request package for 2023-2024. projects which must be submitted to the Higher Education Department (HED).

Thank you for your consideration.

**UNM CAPITAL PLANNING LEADERSHIP TEAM**  
**DRAFT CAPITAL FUNDING REQUEST PRIORITIES**

4/19/2023

		ESTIMATED PROJECT COST	2024 APPROPRIATION REQUEST	FUNDING TYPE	2023 APPROPRIATION	FUNDING TYPE	MATCHING FUNDS	FUNDING TYPE
1	<b>ALBUQUERQUE CAMPUS CAPITAL REQUESTS</b>							
2	Humanities and Social Sciences Complex	\$120,000,000	\$110,000,000	GOB	\$0		\$10,000,000	Match
3	Demolition Request - Humanities & Ortega Hall	\$5,868,395	\$5,868,395	GF	\$0		\$0	
4	<b>ALBUQUERQUE CAMPUS TOTALS</b>	<b>\$120,000,000</b>	<b>\$115,868,395</b>		<b>\$0</b>		<b>\$10,000,000</b>	
5								
6	<b>HEALTH &amp; HEALTH SCIENCES CAPITAL PRIORITIES</b>							
7	College of Pharmacy Renovation	\$60,315,400	\$57,000,000	GOB	\$75,000	GF	\$3,315,400	Match
8	Health Sciences Network Upgrade	\$12,330,000	\$11,300,000	GOB	\$0		\$1,030,000	Match
9	UNM Comprehensive Cancer Center CT Simulator Replacement	\$1,800,000	\$1,650,000	STB	\$0		\$150,000	Match
10	Cancer Center Expansion Supplemental Funding	\$16,500,000	\$15,000,000	GF	\$5,000,000	GF	\$1,500,000	Match
11	<b>HHS CAMPUS TOTALS</b>	<b>\$74,445,400</b>	<b>\$84,950,000</b>		<b>\$75,000</b>		<b>\$4,495,400</b>	
12								
13	<b>BRANCH CAMPUSES</b>							
14	<b>GALLUP CAMPUS</b>							
15	Gurley Hall Phase 3 Project	\$5,500,000	\$5,500,000	GOB	\$0		Waiver	
16	Gurley Hall Center for Career Technology & Education Supplemental Funding	\$7,400,000	\$2,400,000	STB	\$1,800,000	GF	\$4,600,000	Local
17	<i>GALLUP CAMPUS SUBTOTAL</i>	<i>\$7,400,000</i>	<i>\$2,400,000</i>		<i>\$1,800,000</i>		<i>\$4,600,000</i>	
18	<b>LOS ALAMOS CAMPUS</b>							
19	Student Services and Success Center Renovation	\$3,000,000	\$2,700,000	GOB	\$0		\$300,000	Local
20	Campuswide Infrastructure Improvements & Workforce Training Supplemental Funding	\$8,220,000	\$4,620,000	STB	\$2,100,000	GOB/STB	\$453,000	Local
21	<i>LOS ALAMOS CAMPUS SUBTOTAL</i>	<i>\$3,000,000</i>	<i>\$2,700,000</i>		<i>\$0</i>		<i>\$300,000</i>	
22	<b>TAOS CAMPUS</b>							
23	Observatory and Classroom Building	\$3,081,212	\$1,500,000	GOB	\$1,423,225	GF	\$375,000	Local
24	<i>TAOS CAMPUS SUBTOTAL</i>	<i>\$3,081,212</i>	<i>\$1,500,000</i>		<i>\$1,423,225</i>		<i>\$375,000</i>	
25	<b>VALENCIA CAMPUS</b>							
26	Nursing-Allied Health Complex	\$8,000,000	\$6,000,000	GOB	\$0		\$2,000,000	Local Bond
27	<i>VALENCIA CAMPUS SUBTOTAL</i>	<i>\$8,000,000</i>	<i>\$6,000,000</i>		<i>\$0</i>		<i>\$2,000,000</i>	
28	<b>BRANCH CAPITAL REQUEST TOTAL</b>	<b>\$21,481,212</b>	<b>\$12,600,000</b>		<b>\$3,223,225</b>		<b>\$7,275,000</b>	
29								
30	<b>UNM TOTAL</b>	<b>\$215,926,612</b>	<b>\$213,418,395</b>		<b>\$3,298,225</b>		<b>\$21,770,400</b>	



UNM HEALTH SCIENCES | UNM HEALTH

Office of the Executive Vice President & Chief Executive Officer

# HSC COMMITTEE: MAY 9, 2023

## UNM HEALTH AND HEALTH SCIENCES

### BUDGET APPROVAL

Joseph Wrobel, CPA  
Chief Budget & Facilities Officer, UNM Health Sciences



# Our discussion today

- ❑ Identifying primary revenue and expense components and their complexities
- ❑ Defining the financial assumptions used in preparing the FY 2024 Budget
- ❑ Discussion of budgetary challenges facing UNM Health and Health Sciences
- ❑ Review of the State and Capital Appropriations
- ❑ Providing a comparative analysis of the financial status of each unit within UNM Health and Health Sciences
- ❑ We are requesting your support in approving the budget for FY 2023-2024 in order to continue serving our state in the ways our communities need and deserve

# UNM HEALTH AND HEALTH SCIENCES

## Budget and Financial Structure

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### UNM Health Sciences

- ❖ School of Medicine
- ❖ College of Nursing
- ❖ College of Pharmacy
- ❖ College of Population Health
- ❖ Health Sciences Library & Informatics Center
- ❖ HSC Research
- ❖ HSC Administration

### UNM Health

- ❖ UNM Hospitals
  - ❖ UNM Hospital
  - ❖ UNM Children's Hospital
  - ❖ UNM Carrie Tingley Hospital
  - ❖ UNM Adult Psychiatric Center
  - ❖ UNM Children's Psychiatric Center
  - ❖ UNM Comprehensive Cancer Center – clinical ops
- ❖ UNM Medical Group
- ❖ UNM Sandoval Regional Medical Center



# We deliver high-quality, essential services to New Mexico



- New Mexico's only Level 1 Trauma Center
- NCI-designated comprehensive cancer center
- UNM Health is New Mexico's only full-service children's hospital
- We are the largest community behavioral health services in the state
- UNM Clinical Neurosciences Center is the only comprehensive neurology, neurosurgery and pain management center in the Southwest
- Advanced Care Stroke Center



# UNM HEALTH AND HEALTH SCIENCES

## Budgetary Challenges System-wide

### Overall

- Economic pressures on the Health System impact the Health Science budget
- Market pressure on already low Faculty and Staff compensation
- Faculty and Staff retirements (which will coincide with simultaneous faculty new hires and compounding start-up packages)
- Facility needs for growing programs and expanding research

### UNM Health

- Continued high demand for clinical services (e.g. Contract Nurses)
- Cuts in reimbursement as the public health order ends
- Disenrollment of up to 10% of Medicaid MCO covered lives
- Workforce Compensation and shortages
- Material and Supply cost increases

### UNM Health Sciences

- SOM and Health System integration driving budgetary challenges
  - I&G SOM State funding not increasing
- College of Pharmacy - experiencing a declining enrollment trend
- College of Nursing - lack of clinical placements to meet current projections for expansion
- College of Population Health - Non recurring State funding
- Research - Facility limitations and outdated equipment

# UNM HEALTH SCIENCES

## FY 2024 Key Budget Assumptions

### Net Margin

- Balanced budget from recurring operations
  - Strategic use of reserves
  - School of Medicine budget utilizes \$20M increased distribution of UNMMG revenues

### Revenues

- Tuition
  - No Tuition Increase
  - Tuition Simplification – Align undergraduate non-resident block to 15+ credit hours per semester
- Student Fees
  - Mandatory student activity fees increase 3% (in addition to the related debt service fee increase)
  - Increase Student Health and Counseling fee by \$22 to \$129 per semester
- F&A revenue increase 1.7% - expected growth in awards
- Health Sciences I&G appropriation 1% increase, \$749,400
- HSC RPSP Appropriations targeted increases, \$5,786,900
- State Comp & Fringe funding, \$11,265,300 (includes \$1,160,500 for ERB and \$1M for SOM Faculty)

### Expenses

- Compensation 6% increase, \$3.1M non State funded expense
  - All eligible, non-bargaining unit employees receive a 6% increase (except for School of Medicine faculty, \$1M appropriation dedicated for targeted increases)
  - Departments are authorized provide increases above 6%, but not to exceed 9% to address equity, performance, retention, or salary compression.
  - Increases for employees in union-represented titles will be stipulated via an agreement between the University and the respective bargaining unit.
- Employer ERB 1% increase, \$3.9M non State funded expense
- Group Health Insurance 9.8 % increase
- Utilities 3% increase

# UNM HOSPITALS

## FY 2024 Key Budget Assumptions

### Revenues

- Inpatient access
  - Inpatient volumes to remain at capacity
    - Discharges expected to stay flat as LOS has come down in FY23
    - Case Mix Index conservatively budgeted
- Ambulatory access
  - Clinic visits are budgeted to increase
    - Primary Care initiative around patient access to care
    - Specialty Visits increases primarily in neurosciences and women's services
- Surgeries are expected to increase slightly

### Expenses

- Compensation and Benefits
  - FTEs in alignment with volume & programmatic changes
  - Health Insurance increases in line with prior year
  - Reductions in Contract Labor in FY24 based on rate reductions
- Housestaff
  - Expansion of programs and residents in pediatrics, family planning, infectious disease, physical medicine & rehabilitation, dermatology, plastic surgery & cardiac electrophysiology
- Supplies
  - 3% inflation for supplies & pharmaceuticals
  - 2% reduction for process improvement initiatives
- Equipment
  - Ongoing renovations & repairs of existing facilities

# UNM MEDICAL GROUP

## FY 2024 Key Budget Assumptions

### Revenues

- 3% increase in provider productivity from FY23 resulting in a 4.3% increase in patient services revenue
- Decline in Medicaid professional services
- Lovelace UNM Rehabilitation Hospital Joint Venture –continuing to support the mission

### Expenses

- 3% Cost of Living increase for non-executive UNMMG employees
- 6% Cost of Living increase for UNM employees working for the Medical Group, excluding faculty
- Reduction of Management Company costs from 12.8% of revenue in FY23 to 12.2% of revenue in FY24
- Distributions to School of Medicine to fund on-going operations

# UNM SANDOVAL REGIONAL MEDICAL CENTER

## FY 2024 Key Budget Assumptions

### Revenues

- Inpatient access and throughput
  - Overall inpatient census flat
  - ALOS 4.6 and CMI 1.7 flat
  - 5% increase in ED volumes
- Ambulatory volume increase - Cardiology, Derm, ENT, General Surgery, Bariatrics, Family Practice
- Increase surgical volumes
  - General Surgery and Bariatric new provider hires
  - OB/GYN and ENT
- **Hospital Licensing change – revenue in 2<sup>nd</sup> half of the year**

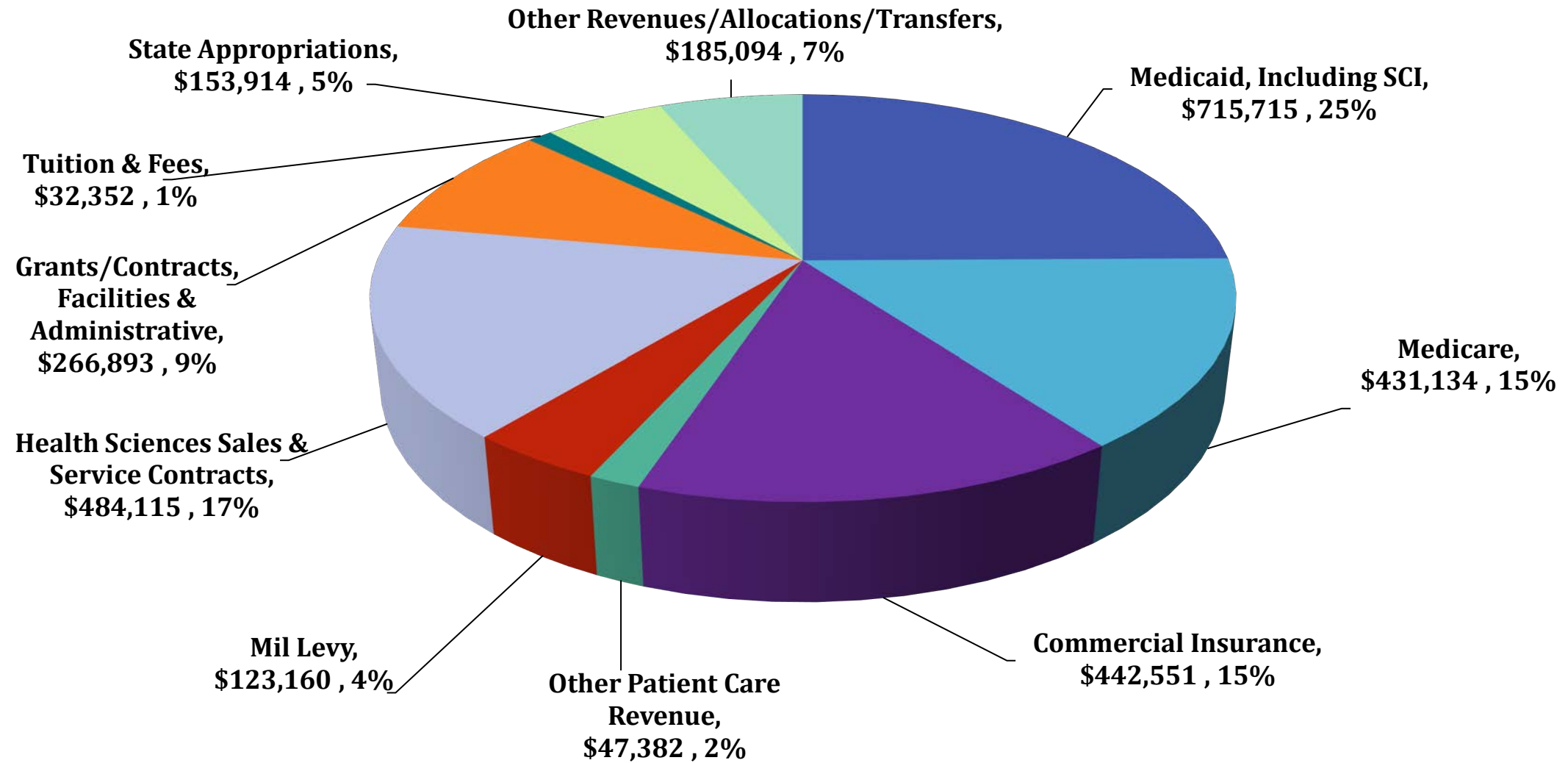
### Expenses

- Compensation and Benefits
  - FTE in alignment with programmatic changes and volume
  - Decrease in shift bonuses and OT dollars
  - Contract labor decrease for usage and rates
  - Benefit increase for claims experience rate
- Outside Medical Services decrease for contract termination
- Supplies and Pharmaceuticals increase for CPI and 5% reduction in usage
- Occupancy increase for property insurance (rebate in FY23)
- Depreciation decrease for capital purchases
- Gross receipt taxes for increased revenue

# UNM Health & Health Sciences All Components

## FY 2024 Budgeted Revenues

(In thousands)

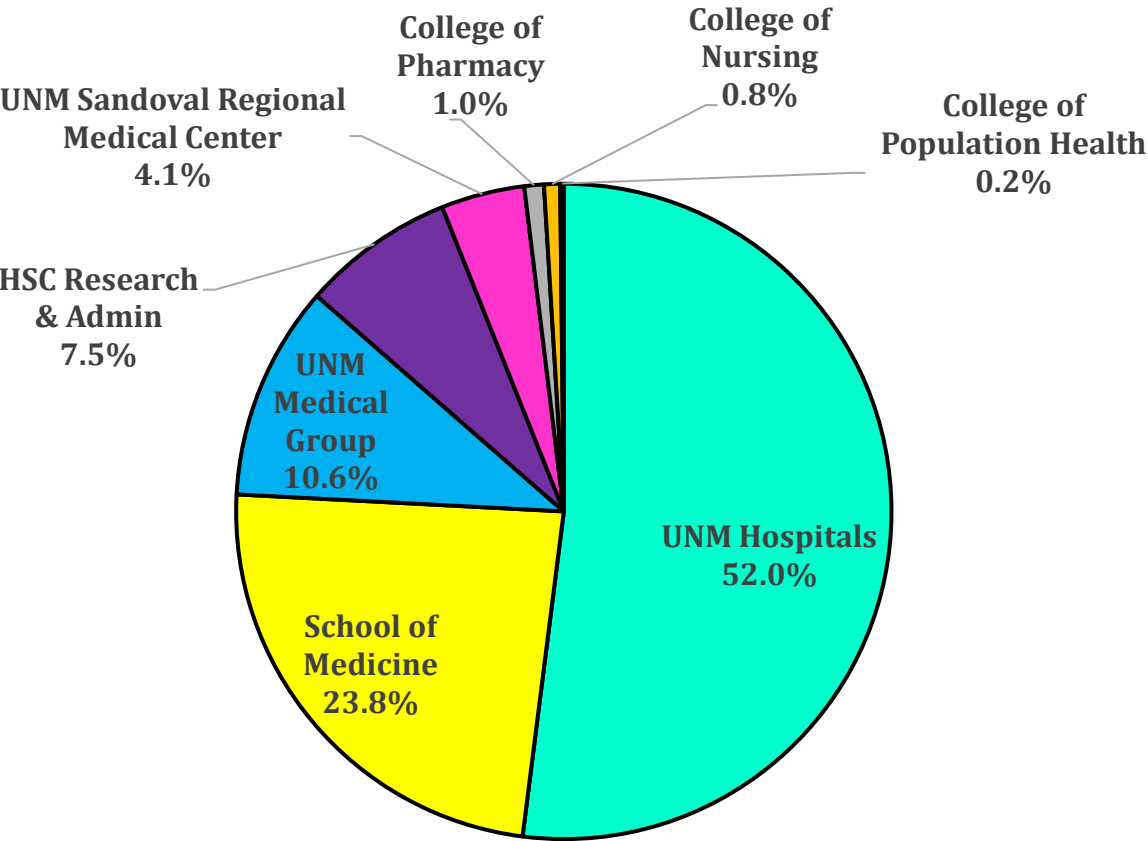


**Total Budgeted Revenues \$2,882,310**

**3.6% Increase over FY 2023 Original Budget**

# UNM Health & Health Sciences FY 2024 Budgeted Revenue

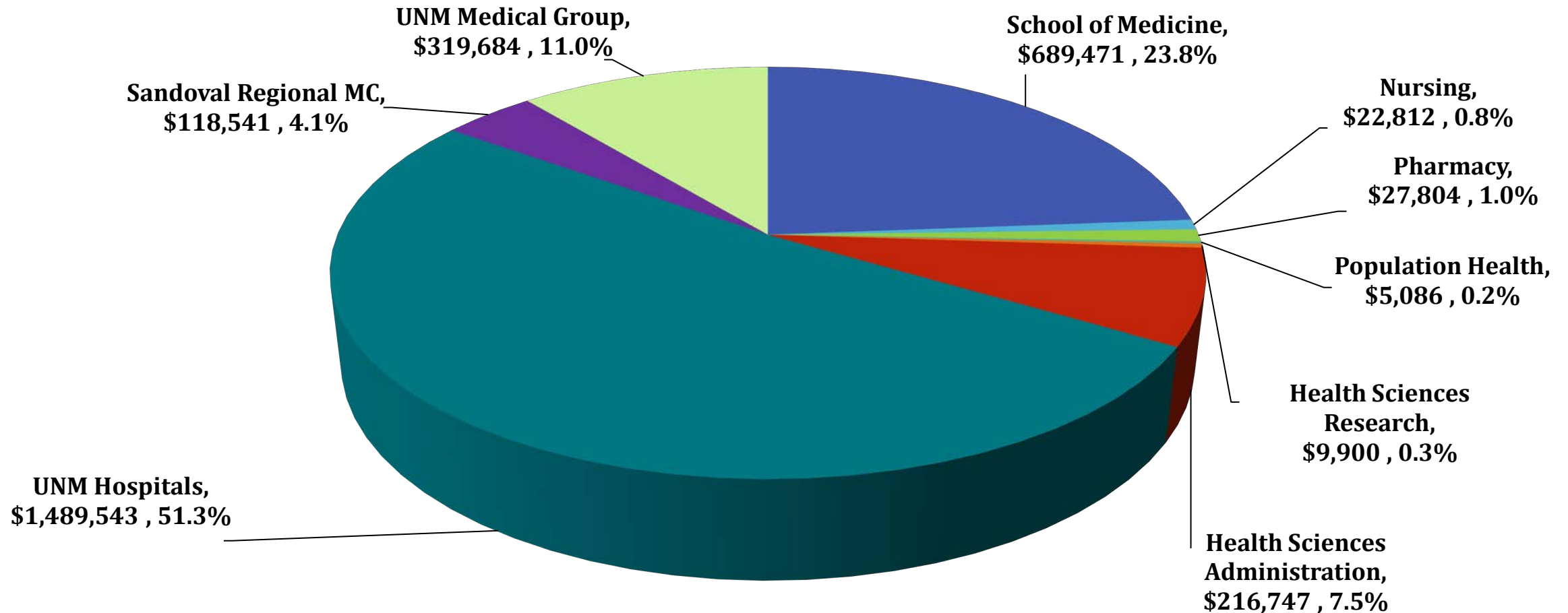
Total UNM Health & Health Sciences  
Revenue by Unit



Unit	FY 2024 Budgeted Revenue Dollars
UNM Hospitals	\$1,497,974,549
School of Medicine	\$688,189,901
UNM Medical Group	\$304,910,432
HSC Research & Admin	\$217,038,277
UNM Sandoval Regional Medical Center	\$118,544,217
College of Pharmacy	\$27,820,338
College of Nursing	\$22,798,177
College of Population Health	\$5,033,992
Total FY 2024 Budgeted Revenue	\$2,882,309,883



# UNM Health & Health Sciences All Components FY 2024 Budgeted Expenditures (In thousands)



**Total Budgeted Expenditures \$2,899,588**

**4.3% Increase over FY 2023 Original Budget**

# UNM Health & Health Sciences

Budgeted Staffing FY 2024

UNM Health and Health Sciences	Budgeted Staffing FY 2024	Percent of Total
UNM Health Sciences - Faculty	1,111	9.1%
UNM Health Sciences - Staff & Other	3,035	24.9%
UNM Medical Group	695	5.7%
UNM Hospitals	6,737	55.3%
UNM SRMC	594	5.0%
Total UNM Health and Health Sciences	12,172	100.0%



# UNM Health Sciences

Comparative Analysis: Prior Year - Current Year - Next Year  
(In thousands)

	FY 2022 Actuals	FY 2023 Revised Budget	FY 2024 Original Budget	Percent Change 2023-24
Total UNM Health Sciences				
Revenues	\$859,152	\$926,911	\$960,881	3.7%
Expenses	\$791,467	\$939,885	\$971,820	3.4%
Net Margin	\$67,685	(\$12,974)	(\$10,939)	

- *FY22 Project ECHO \$25M Gift and closeout of AHRQ grant*
- *FY23 Compensation increase of 7.12% and 2% Employer ERB expense increase.*
  - *Project ECHO \$8.0M, SOM \$2.9M, COP \$1.0M and Research \$800k use of reserves*
- *FY24 Compensation increase of 6.0% for eligible staff and Non-SOM faculty. School of Medicine faculty were appropriated \$1M for faculty compensation.*
  - *Project ECHO and Research is budgeting another use of reserves.*

# UNM Health Sciences

FY 2024 Budget  
(In thousands)

	School of Medicine	College of Nursing	College of Pharmacy	College of Population Health	Project ECHO	Research	Library & Admin.	UNM Health Sciences FY 2024 Total
Revenues	\$688,191	\$22,798	\$27,820	\$5,034	\$95,771	\$20,646	\$100,621	\$960,881
Expenses	\$689,471	\$22,812	\$27,804	\$5,086	\$103,854	\$24,414	\$98,379	\$971,820
Net Margin	(\$1,280)	(\$14)	\$16	(\$52)	(\$8,083)	(\$3,768)	\$2,242	(\$10,939)

• *SOM Budget utilizes \$20M of UNMMG revenues. These funds are being used to replace cuts in reimbursement as the public health order ends and we return to the licensed bed capacity; decrease in Medicaid enrollment and reductions in hospital support related to their financial pressures.*

• *CoPH \$10M Appropriation in FY23 to spend over 2 years*

# UNM Health and Health Sciences

## FY 2024 State Appropriations (see additional detail in Appendix A)

PROGRAM	Total HB2 w Comp & Benefits	HB2 Specials & Supplementals	FY24 SB192 Junior Bill	Tobacco Settlement	Total
<b>HSC I&amp;G</b>	\$ 82,735,700			\$ 581,500	\$ 83,317,200
<b>Research &amp; Special Projects, Continuing</b>	\$ 59,578,300	\$ 2,300,000	\$ 550,000	\$ 2,277,600	\$ 64,705,900
<b>Research &amp; Special Projects, NEW</b>					
Accelerated BSN	\$ 1,174,100				\$ 1,174,100
Anesthesia Program	\$ -		\$ 200,000		\$ 200,000
Cerebral Cavernous Angioma Initiative	\$ -		\$ 305,000		\$ 305,000
Create Pharmacist Practice Guidelines	\$ -		\$ 200,000		\$ 200,000
Neurosurgery Equip & Lab Support	\$ -		\$ 100,000		\$ 100,000
Rural and Urban Underserved Program	\$ 200,000		\$ 75,000		\$ 275,000
<b>Totals</b>	<b>\$ 143,688,100</b>	<b>\$ 2,300,000</b>	<b>\$ 1,430,000</b>	<b>\$ 2,859,100</b>	<b>\$ 150,277,200</b>

# UNM Health Sciences

## FY 2024 Capital Appropriations

Comprehensive Cancer Center	\$ 5,000,000
Interprofessional Healthcare Simulation Center	\$ 5,000,000
Research Center, includes eight hundred thousand dollars (\$800,000) for Neurosurgery equipment	\$ 3,200,000
Health Sciences Library	\$ 2,850,000
Public Health	\$ 1,550,000
Dermatology	\$ 575,000
Brain Therapy Equipment	\$ 215,000
HSRR IT Equipment	\$ 90,000
Nursing and Pharmacy Building	\$ 75,000
Physical Therapy	\$ 50,000
<b>Total</b>	<b>\$ 18,605,000</b>

# UNM Hospitals

## Comparative Analysis: Prior Year - Current Year - Next Year

(In thousands)

	FY 2022 Actuals	FY 2023 Revised Budget	FY 2024 Original Budget	Percent Change 2023-24
Revenues	\$1,534,865	\$1,465,223	\$1,497,975	2.2%
Expenses	\$1,529,155	\$1,502,303	\$1,489,543	-0.8%
Net Margin	\$5,710	(\$37,080)	\$8,432	

- FY 24 salaries and benefits are expected to decrease due to a decrease in rates paid for contract labor and program initiatives focusing on efficiencies*
- FY 24 non-operating expenses will increase by \$3.8M over FY 2023 due to mortgage interest expense that will be incurred for the new critical care tower*



# UNM Medical Group

Comparative Analysis: Prior Year - Current Year - Next Year  
(In thousands)

	FY 2022 Actuals	FY 2023 Revised Budget	FY 2024 Original Budget	Percent Change 2023-24
Revenues	\$305,501	\$298,007	\$304,910	2.3%
Expenses	\$285,076	\$299,329	\$319,684	6.8%
Net Margin	\$20,425	(\$1,322)	(\$14,774)	

•FY24 Original Budget includes the use of \$20M distribution to support the School of Medicine

# UNM Sandoval Regional Medical Center

Comparative Analysis: Prior Year - Current Year - Next Year

(In thousands)

	FY 2022 Actuals	FY 2023 Revised Budget	FY 2024 Original Budget	Percent Change 2023-24
Revenues	\$110,956	\$112,540	\$118,544	5.3%
Expenses	\$110,329	\$117,816	\$118,541	0.6%
Net Margin	\$627	(\$5,276)	\$3	

- *FY 24 Revenue budgeted to increase by 5% as a result of increased OP volumes and increased surgical volumes and SRMC hospital licensure consolidation with UNMH.*
- *FY 24 salaries and benefits are expected to decrease due to a decrease in rates paid for contract labor and program initiatives focusing on efficiencies.*

# UNM Health

FY 2024 Budget  
(In thousands)

	UNM Hospitals	UNM Medical Group	UNM Sandoval Regional Medical Center	UNM Health Total
Revenues	\$1,497,975	\$304,910	\$118,544	\$1,921,429
Expenses	\$1,489,543	\$319,684	\$118,541	\$1,927,768
Net Margin	\$8,432	(\$14,774)	\$3	(\$6,339)

- FY24 UNM Medical Group Budget includes a \$20M distribution to support the School of Medicine.*

# UNM Health and Health Sciences

FY 2024 Budget  
(In thousands)

	UMM Health Sciences	UNM Health	UNM Health and Health Sciences
Revenues	\$960,881	\$1,921,429	\$2,882,310
Expenses	\$971,820	\$1,927,768	\$2,899,588
Net Margin	(\$10,939)	(\$6,339)	(\$17,278)

# Requesting Approval of the Fiscal Year 2023-2024

## UNM Health and Health Sciences Budget



# UNM Health and Health Sciences Budget

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## Appendix A – detail of State Appropriations

# UNM Health and Health Sciences

## FY 2024 State Appropriations Detail

PROGRAM	FY23 Final Recurring	FY24 Changes	FY24 Comp & Benefits	Total HB2 w Comp & Benefits	HB2 Specials & Supplementals	FY24 SB192 Junior Bill	Tobacco Settlement	Total
<b>HSC I&amp;G</b>	<b>74,937,300</b>	<b>749,400</b>	<b>7,049,000</b>	<b>82,735,700</b>			<b>581,500</b>	<b>83,317,200</b>
<b>SPECIAL PROJECTS</b>								
Carrie Tingley Hospital	6,957,800	126,600	1,229,500	8,313,900				8,313,900
Center for Native American Health (CNAH)	252,100	60,000	12,300	324,400				324,400
CNAH, Student Success	60,000			60,000				60,000
Child Abuse Services	147,000		8,200	155,200				155,200
Children's Psychiatric Hospital	8,927,700		1,516,300	10,444,000	1,000,000			11,444,000
Comprehensive Movement Disorders Clinic	409,700		6,800	416,500				416,500
DEI, Minority Student Services BOSS	166,800			166,800				166,800
DEI, Pipeline Communities to Careers	125,000			125,000				125,000
ENLACE College Prep Mentoring	105,100			105,100				105,100
ENLACE for Govt & Leadership Training	0		1,200	1,200		400,000		401,200
ENLACE for Student Support	50,600			50,600				50,600
ENLACE Los Companeros	53,700			53,700				53,700
ENLACE Statewide Collaborative	812,200			812,200		150,000		962,200
Genomics, Biocomputing, Environ Health	0		0	0			937,400	937,400
GME Residencies	2,120,900	122,800	125,000	2,368,700				2,368,700



# UNM Health and Health Sciences

## FY 2024 State Appropriations Detail continued

PROGRAM	FY23 Final Recurring	FY24 Changes	FY24 Comp & Benefits	Total HB2 w Comp & Benefits	HB2 Specials & Supplementals	FY24 SB192 Junior Bill	Tobacco Settlement	Total
Hepatitis C, Project ECHO	6,145,300	500,000	119,100	6,764,400	800,000			7,564,400
HPV pap registry	0			0	500,000			500,000
Native American Suicide Prevention	90,200		3,400	93,600				93,600
Newborn ICU	3,217,300		191,400	3,408,700				3,408,700
NM Poison & Drug Information Center	1,748,600	142,800	128,900	2,020,300			590,200	2,610,500
Nurse Education, Graduate	1,653,100			1,653,100				1,653,100
Nurse Expansion	951,600			951,600				951,600
Office of the Medical Investigator (OMI)	6,775,400	3,065,400	464,600	10,305,400				10,305,400
OMI Grief Services	312,500		10,100	322,600				322,600
Ped Onc	1,255,900		73,200	1,329,100			250,000	1,579,100
Physician Assistant, Nurse Practitioners	2,000,000	650,000		2,650,000				2,650,000
Specialty Ed Peds	0			0			250,000	250,000
Specialty Ed Trauma	0			0			250,000	250,000
UNM Comprehensive Cancer Center	6,313,300	42,600	326,300	6,682,200				6,682,200
NM Bioscience Authority (Moved to Main)	297,400	(297,400)	0	0				0
Accelerated BSN - NEW	0	1,174,100		1,174,100				1,174,100
Anesthesia Program - NEW	0			0		200,000		200,000
Cerebral Cavernous Angioma Initiative - NEW	0			0		305,000		305,000
Create Pharmacist Practice Guidelines - NEW	0			0		200,000		200,000
Neurosurgery Equip & Lab Support - NEW	0			0		100,000		100,000
Rural and Urban Underserved Program - NEW	0	200,000		200,000		75,000		275,000
<b>Totals</b>	<b>\$ 125,886,500</b>	<b>\$ 6,536,300</b>	<b>\$ 11,265,300</b>	<b>\$ 143,688,100</b>	<b>\$ 2,300,000</b>	<b>\$ 1,430,000</b>	<b>\$ 2,859,100</b>	<b>\$ 150,277,200</b>

# Health & Health Sciences Capital Projects

## 2023 HED Summer Hearing



# TOP PRIORITIES



**Joseph Wrobel, CPA**  
Chief Budget & Facilities Officer  
Health & Health Sciences

1

## College of Pharmacy Renovation

Program, design, renovate, and equip a new College of Pharmacy building. The current facility is 48 years old and building systems require extra maintenance. Continuing to maintain the building as-is is becoming cost prohibitive. Renovation will include wet and dry labs and faculty and staff offices.

\$60,315,400

2

## Health Sciences Network Upgrade

Plan, design, and equip an upgraded network for the entire health and health sciences network to provide better coverage and replace multiple dated network switches, optical fiber, and miscellaneous network equipment such as wireless access points and controllers.

\$12,330,000

3

## UNMCCC CT Simulator Replacement

Program, design and equip a replacement of the existing computed tomography (CT) Simulator at the UNM Comprehensive Cancer Center in the Radiation Oncology Department.

\$1,800,000

4

## UNMCCC IR and GMP Tenant Improvement

Plan, design, and construct tenant improvements within the UNMCCC facility envelope to complete the interior renovation of space that was left shelled during the prior expansion project.

\$16,550,500



# Capital Project Priority #1: College of Pharmacy Renovation

## Project Location



2502 Marble Ave,  
Albuquerque, NM 87106



# Capital Project Priority #1: College of Pharmacy Renovation

## Project Overview



### PROJECT SNAPSHOT

<b>\$57,000,000</b>	<b>Requested State Funding</b>
<b>\$3,315,400</b>	<b>Matching</b>
<hr/>	
<b>\$60,315,400</b>	<b>TOTAL PROJECT COST</b>

### PROJECT OUTLINE

- The HSC procured a nationally known architecture firm to do an in depth evaluation of the current facility and planning/programming for a full renovation of the building.
- The proposed project is a \$60M gut renovation of the 78,000 GSF (84,243 including sub-basement mechanical), 1975 Nursing & Pharmacy Building.
- The current physical facilities of the Nursing and Pharmacy Building are nearly a half century old, of which no significant infrastructure or design improvements have been made and are needed for our learners, staff, and faculty.
- The renovated building will deliver desperately needed state-of-the-art safe and efficient research laboratories (wet & dry), modern flexible classrooms, wellness-focused faculty workplace environments, and forward-looking dedicated informal student spaces.
- Currently, the Nursing and Pharmacy Building is the most expensive building (per square foot) to operate and maintain on campus.



# Capital Project Priority #1: College of Pharmacy Renovation

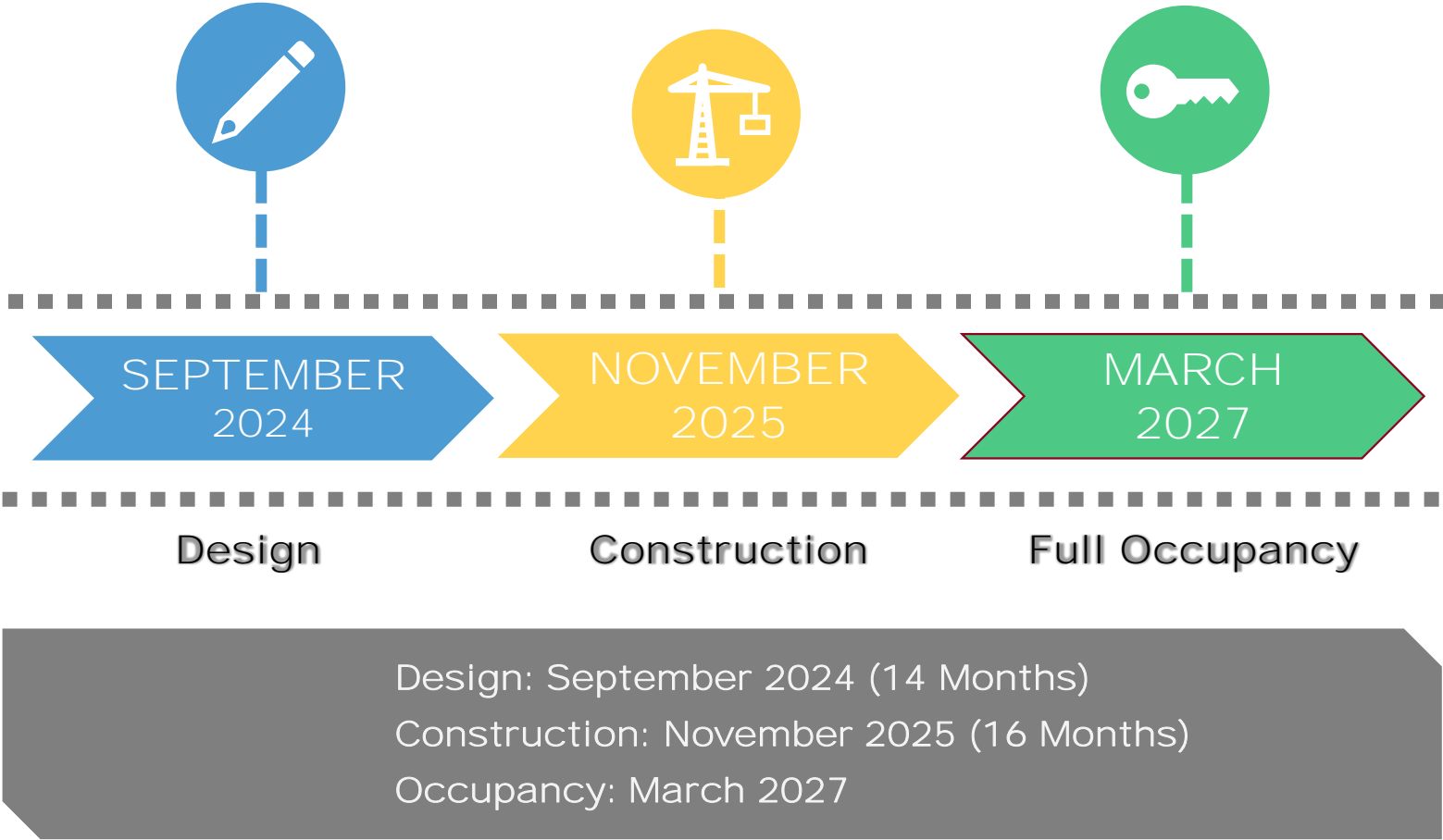
## Project Need



- Through a robust and inclusive investigation, our design consultant, COP faculty leadership, and COP student leadership identified the following deficiencies. These have been outlined in the new 2022 COP Renovation Program Plan:
  - Improved technology in classrooms and enhanced laboratory infrastructure
  - Creation of wellness and engagement collaborative spaces
  - Improved focused work environments
  - Modern student lounge – connectivity & studying
  - More active / flexible learning classrooms, encouraging collaborative learning
  - More efficient building HVAC (lab safety), electrical (robust), and IT (connected)
  - Enhanced interdepartmental collaboration / colocation
  - Innovative & distributed informal student focused common areas
- The UNM College of Pharmacy (COP) is ranked 8<sup>th</sup> in NIH research funding among 140 other pharmacy colleges in the country. It also boasts world-class clinical and basic science faculty which are in desperate need of a centrally located state-of-the-art facility.
- The COP currently shares a building with the College of Nursing and has a presence in seven other buildings on the HSC campus as well.
- This project would better consolidate their program, further facilitating research and education conversations and collaborations.

# Capital Project Priority #1: College of Pharmacy Renovation

## Project Schedule





# Capital Project Priority #2: Health Sciences Network Upgrade

## Project Location



North Campus:  
Campus Wide



# Capital Project Priority #2: Health Sciences Network Upgrade

## Project Overview



### PROJECT SNAPSHOT

<b>\$11,300,000</b>	<b>Requested State Funding</b>
<b>\$1,030,000</b>	<b>Matching Funds</b>
<hr/>	
<b>\$12,330,000</b>	<b>TOTAL PROJECT COST</b>

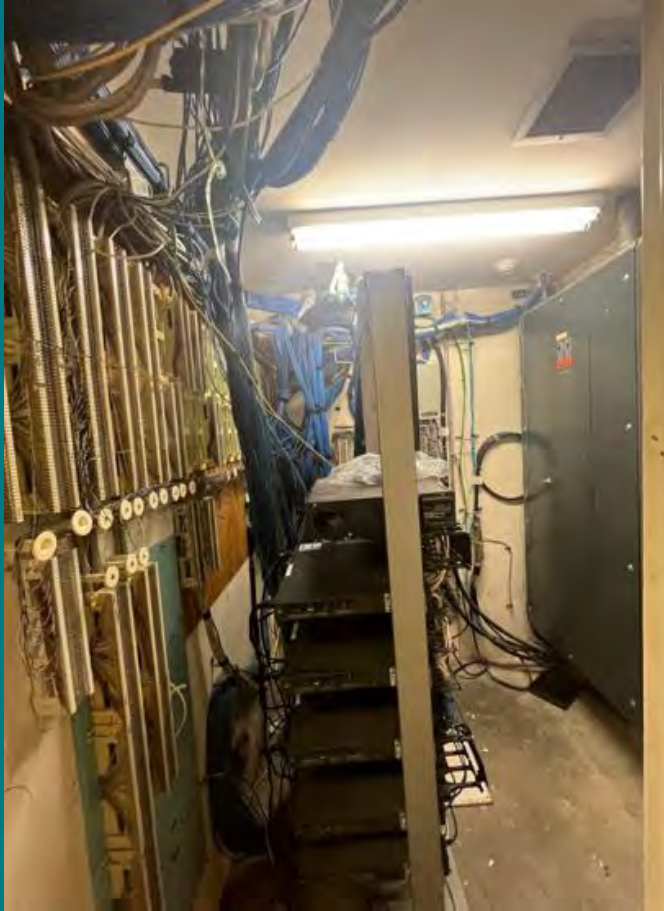
### PROJECT OUTLINE

- The Health Sciences Chief Information Office embarked on a network upgrade project back in 2019 with a strategic assessment of the entire health/health sciences network.
- The project aims to update the technical rooms in ~ 35 health sciences buildings to next generation equipment. Access switches, access points and distribution switches are at or nearing end-of-life, will soon lose vendor support and will need to be replaced.
- This equipment will greatly improve performance of the network and wireless services and improve user experiences for faculty, staff, and students across all health sciences missions.
- The current optical fiber is also to be replaced, as it is obsolete and potentially unrepairable.



# Capital Project Priority #2: Health Sciences Network Upgrade

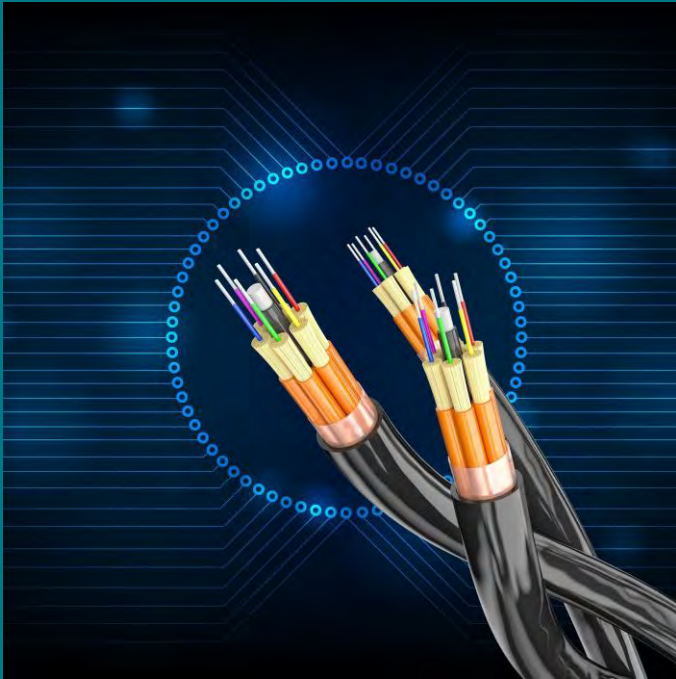
## Project Need



- This project was presented to and approved by the Health and Health Sciences Executive IT Governance Council as it is vital project for the campus to maintain robust network connectivity
- A reliable network enables our faculty, staff, and students to work, teach, research and work without any network glitches, downtime, or disruptions
- Current lead times for network equipment is taking anywhere from 6 months to a year, requiring us to do more up-front planning to ensure limited disruption to the health sciences students and workforce.
- During the initial install of the majority of this equipment and infrastructure, the average person on campus did not have 3-4 devices that utilize Wi-Fi; because of this, internet speeds, streaming capabilities, and connectivity on areas of campus are being severely hindered.
- This project will improve classroom functionality, research capabilities, business operations, and network reliability.

# Capital Project Priority #2: Health Sciences Network Upgrade

## OPTICAL FIBER



### What is it? Why is it needed?

- The optical fiber system provides networking and information transport to all core UNM Health and Health Sciences buildings
- Potential failure to the optical fiber could cause significant outages
- Optical fiber is obsolete and potentially unrepairable
- The existing Zone Hub at HSSB is overcrowded and undersized
- Conduit systems and pathways are at capacity

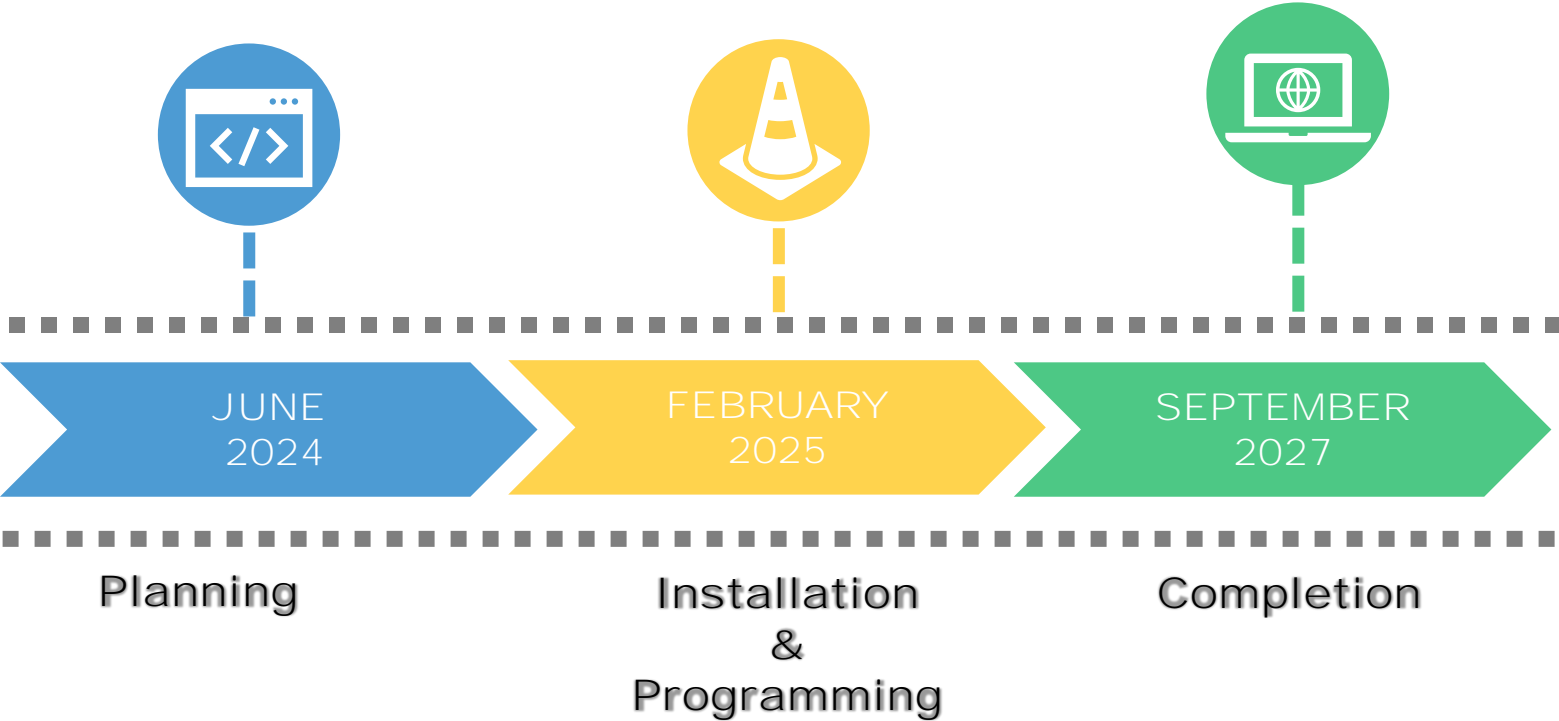
### This project includes new optical fiber systems to the following buildings:

- School of Medicine
- Domenici Education
- Multidisciplinary Research
- Family Practice Center
- Biomedical Research Facility
- HSC Business and Communications
- HSC Parking & Transportation
- 1005 Columbia
- Hope Building
- HSC 1009 Bradbury
- Fitz Hall
- Research Incubator
- Clinical & Translation Science Center
- Novitski
- Health Sciences & Svcs Bldg.
- 1000 Stanford
- HSC 801 University
- Cancer Research Facility
- Pete & Nancy Domenici Hall
- Nursing and Pharmacy Bldg.
- HSC Library & Informatics
- Innovation, Discovery & Training Center
- 815, 917, 905, 915, 925 Vassar
- HSC 901 University

*Replace obsolete optical fiber in UNM Health and Health Sciences Education buildings*

# Capital Project Priority #2: Health Sciences Network Upgrade

## Project Schedule



Planning & Programming : June 2024 (8 Months)  
Installation & Programming: February 2025 (31 Months)  
Completion: September 2027

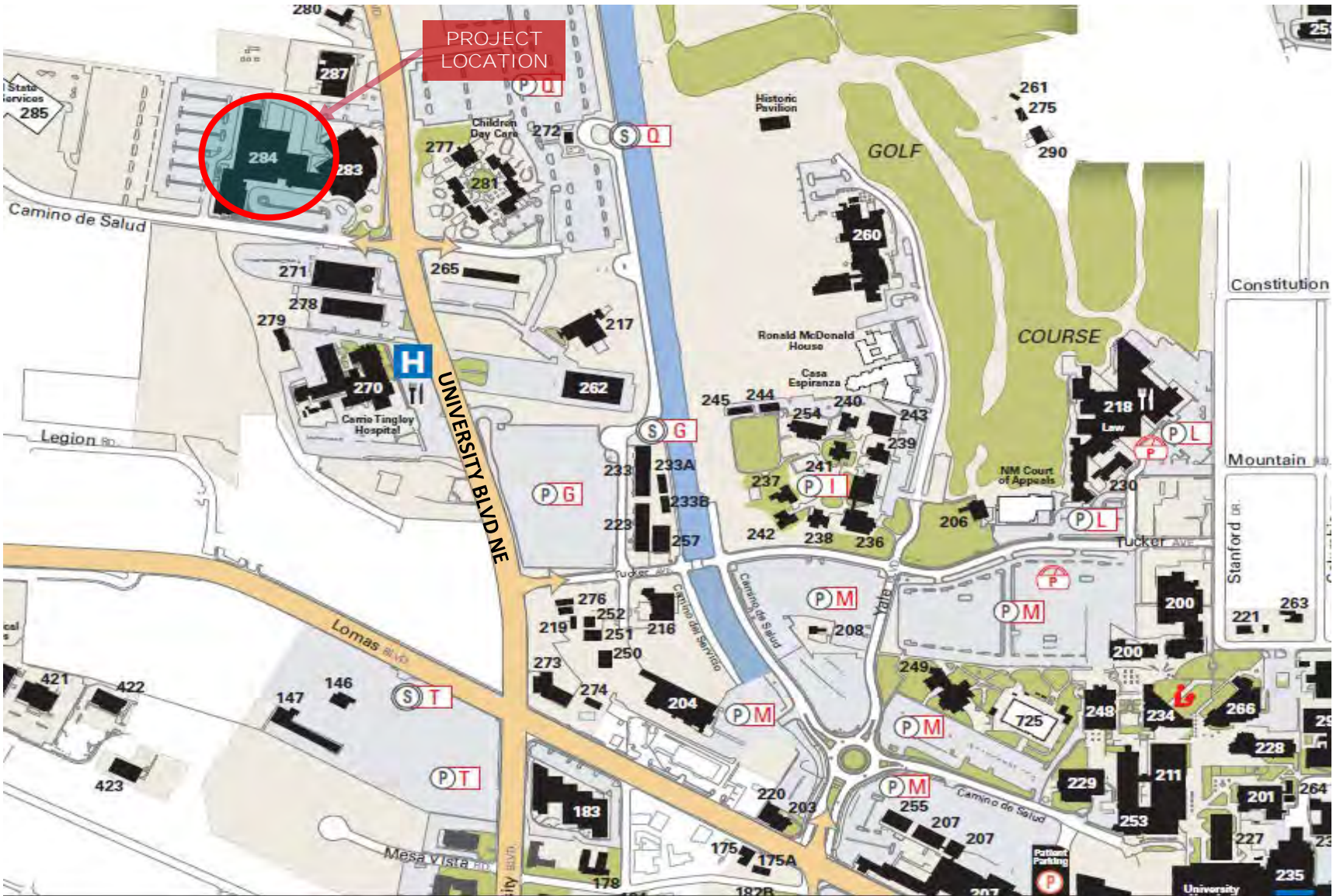


# Capital Project Priority #3: UNMCCC CT Simulator Replacement

## Project Location



1201 Camino de Salud NE,  
Albuquerque, NM 87102



# Capital Project Priority #3: UNMCCC CT Simulator Replacement



## Project Overview

### PROJECT SNAPSHOT

<b>\$1,650,000</b>	<b>Requested State Funding</b>
<b>\$150,000</b>	<b>Matching</b>
<hr/>	
<b>\$1,800,000</b>	<b>TOTAL PROJECT COST</b>

### PROJECT OUTLINE

- This project seeks to replace the existing computed tomography (CT) Simulator at the UNM Comprehensive Cancer Center in the Radiation Oncology Department.
- The existing machine was originally purchased in 2009 when the facility was constructed; it utilizes 16-slice computed tomography unit, while the current industry standard is 128 slices.
- The UNMCCC is proposing to purchase a 128-slice CT which can accommodate a wider variety of patients and increase patient through-put.
- The current machine utilized has exceeded its service life; spare parts are limited and are becoming increasingly unavailable.
- If this machine is not available for imaging, patients in Radiation Oncology will experience significant delays, which would negatively affect treatment outcomes while trying to find alternative sources for these specialized imaging services.



# Capital Project Priority #3: UNMCCC CT Simulator Replacement

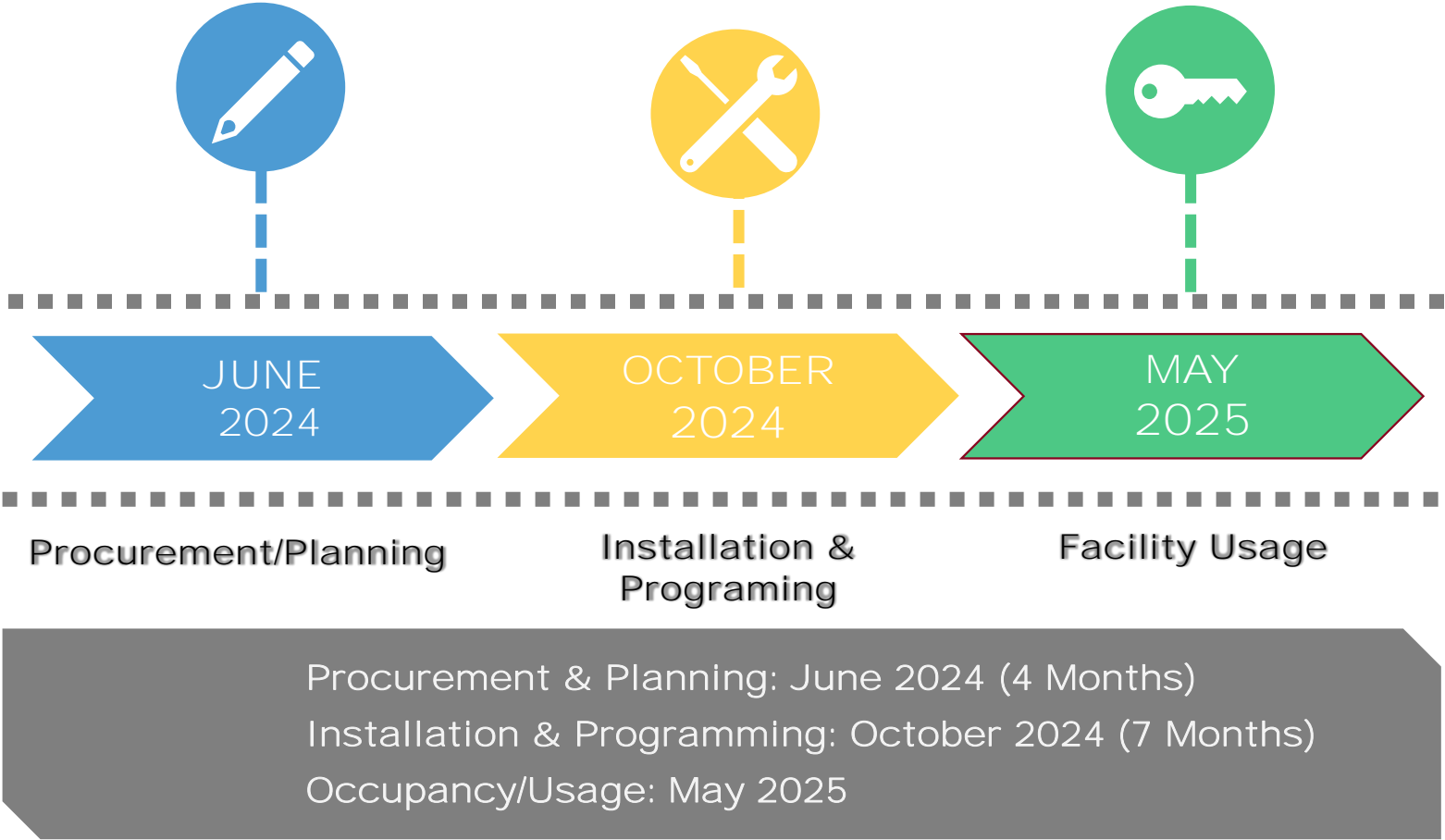
## Project Need



- The UNM Comprehensive Cancer Center has earned the prestigious Comprehensive designation from the National Cancer Institute, validating the significant investment from the community and state of New Mexico.
- To maintain this rigorous accreditation, the center must be able to provide the state with the highest quality care, research, and workforce, which comes largely from modern equipment.
- This equipment is used for 4-D imaging of radiation oncology patients, and serves as the input to the treatment planning process prior to the patient receiving radiation therapy. This is a necessary component of the radiation therapy process.
- CT scanning provides accurate data for treatment planning and radiation dosage. It also allows our learners and researchers to observe patient positioning techniques and alignment modalities specialized for radiation oncology that are not available at other diagnostic and imaging locations.
- In addition, health system time and availability on other diagnostic imaging machines can be challenging to accommodate within the timeframes demanded, and modifications to existing imaging units would be required.
- This project would provide state-of-the-art equipment, reinforcing the University of New Mexico as a premiere institution, attracting healthcare learners and strengthening the likelihood of learners remaining in the state after graduation, helping to address the healthcare worker shortage.

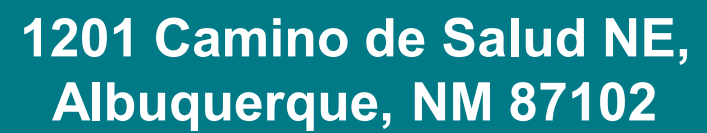
# Capital Project Priority #3: UNM CCC CT Simulator Replacement

## Project Schedule





# Project Location



# Capital Project Priority #4: UNMCCC IR & GMP Tenant Improvement Project



## PROJECT SNAPSHOT

<b>\$15,000,000</b>	<b>Requested State Funding</b>
<b>\$1,550,500</b>	<b>Matching</b>
<hr/>	
<b>\$16,550,500</b>	<b>TOTAL PROJECT COST</b>

## PROJECT OUTLINE

- This project aims to complete interior renovation within the envelope constructed by Phase III Radiation Oncology and GMP Laboratory Expansion project which was left shelled.
- The proposed project would provide the following:
  - One (1) Interventional Radiology suite, with two (2) operating rooms with CT imaging
  - A Post-Anesthesia Care Unit (PACU) with six (6) patient bays
  - A new GMP Laboratory, which will deliver support to the FACT Accredited Stem Cell Bone Marrow Transplant Program
  - It will also provide construction, relocation, and renovation of existing clinical administrative space that will accommodate new patient support spaces and improved patient access to the facility



# Capital Project Priority #4: UNMCCC IR & GMP Tenant Improvement Project

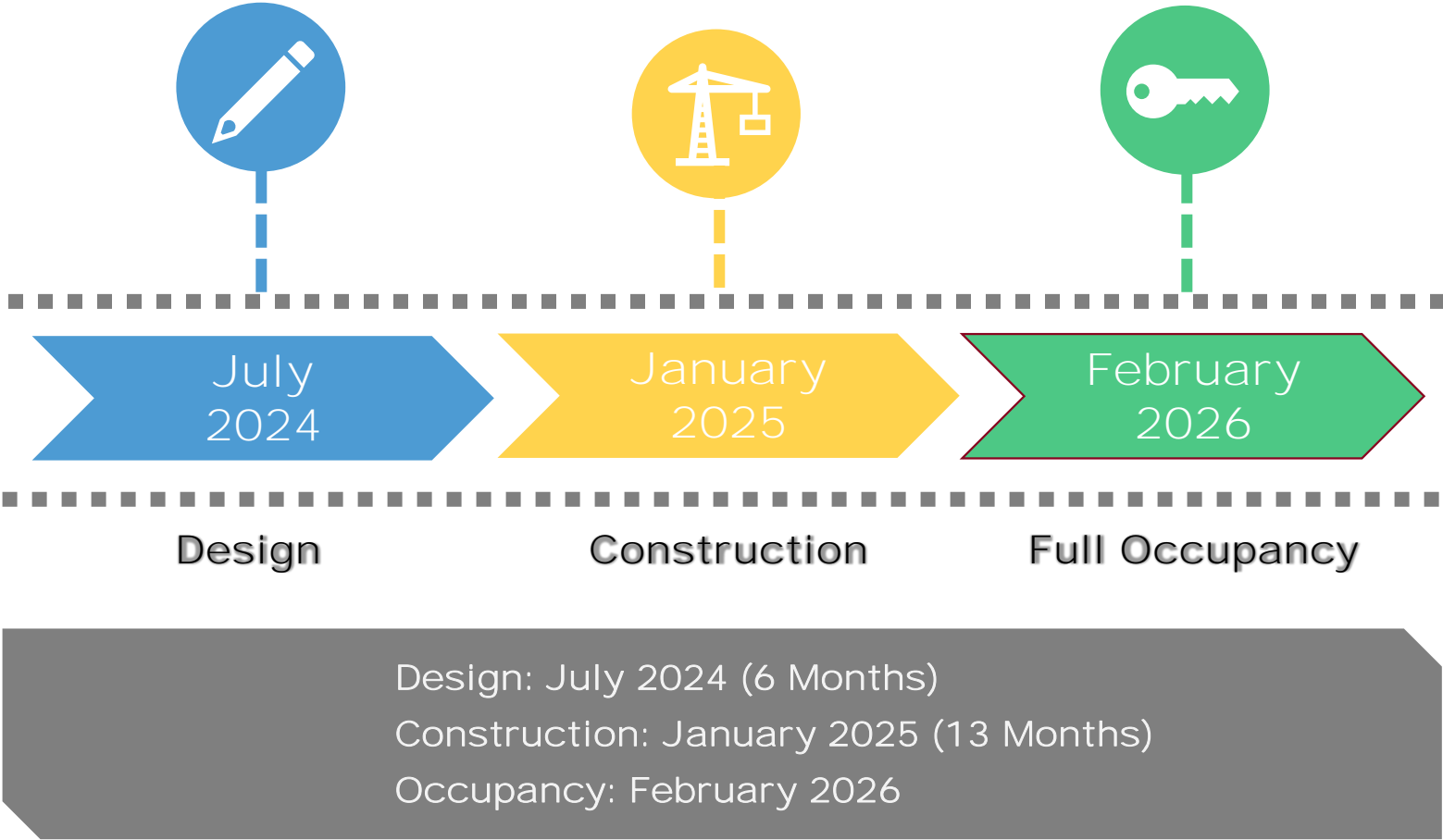
## Project Need



- This project allows this world class center to continue its mission in conducting cutting-edge cancer studies, attract top talent to the state, and ultimately improve the health outcomes of cancer patients across New Mexico
- Provides expansion of the UNMCCC's ability to implant new radioisotope therapeutics and theranostics via interventional modalities that are not feasible for intravenous delivery (e.g. solid body tumors, etc). Provides unique integrative opportunities for clinical and translational research and treatment
- These facilities will provide support for the UNMCCC's comprehensive integrated care model that allows for diagnostic and treatment services (including biopsies or other surgical interventions) to be performed in one location, leading to organizational efficiencies and improved patient outcomes
- This project would also support the state's only FACT Accredited Bone Marrow and Stem Cell Transplantation, Cell-Based Therapies, and Advanced Immunotherapies programs. Enabling the safe, long-term storage and processing of stem cells to perform bone marrow stem cell transplantation, as well as sequencing and manipulation of immune cells to deliver new and highly effective cell-based immunotherapies

# Capital Project Priority #4: UNMCCC IR & GMP Tenant Improvement Project

## Project Schedule



# CREATION A NEW SCHOOL OF PUBLIC HEALTH FACILITY

**We are planning to construct a new School of Public Health building on campus. This state-of-the-art facility hopes to provide our students and faculty with modern classrooms, increased opportunities for research, and collaborative spaces that will foster innovation and creativity.**

**The new School of Public Health building will incorporate advanced technology and resources that will enable our students to develop the skills and knowledge needed to become leaders in their field. The design of the building will prioritize sustainability and energy efficiency, aligning with our commitment to environmental responsibility.**

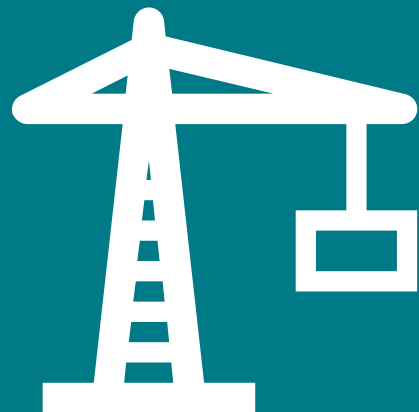
**We believe that this new addition to our campus will not only benefit our students and faculty but also contribute to the health and well-being of our community. We are excited to see the positive impact this new facility will have on public health research and education for our state and community**



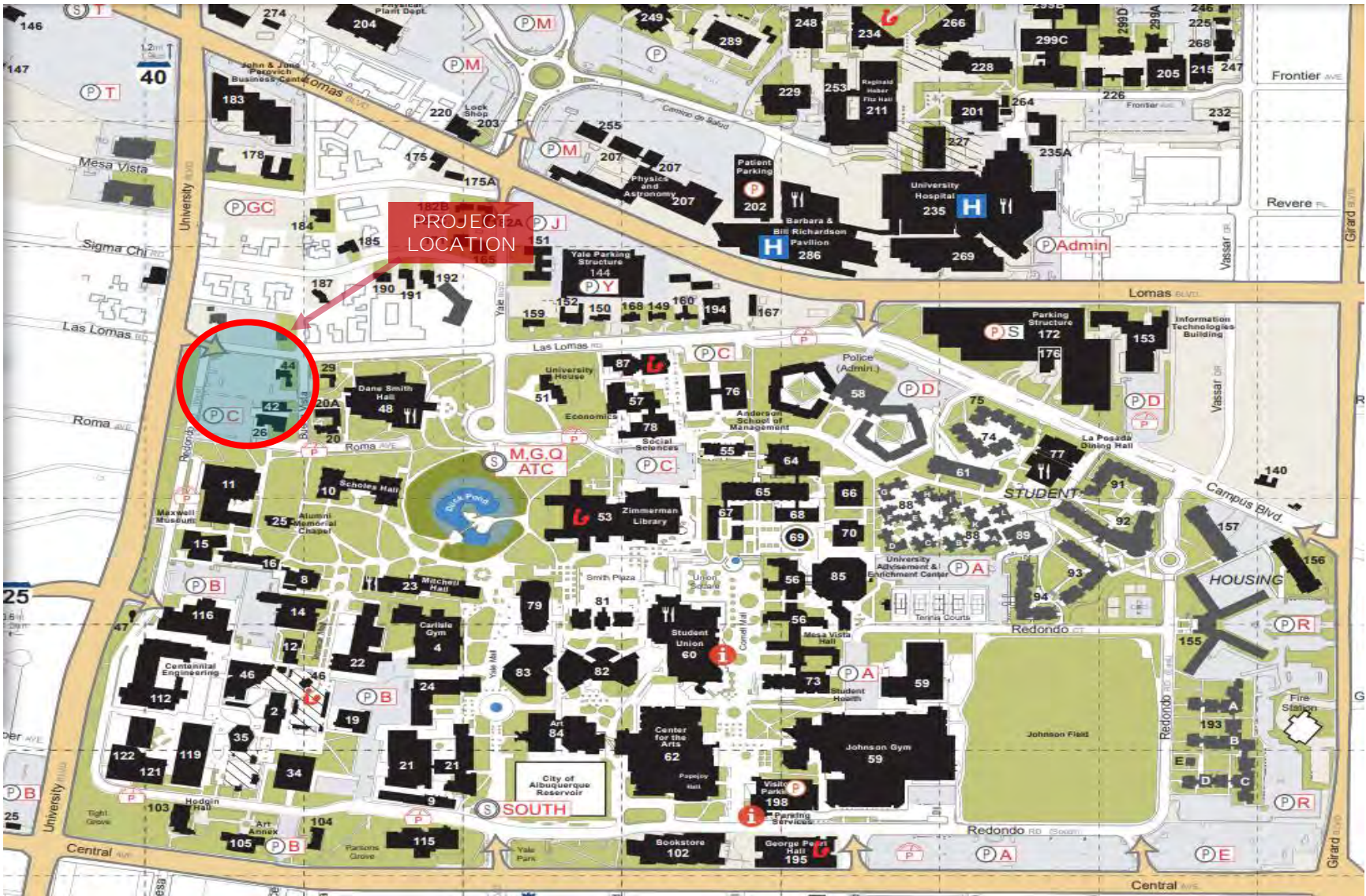


# Informational Capital Project: School of Public Health Building

## Project Location



PROJECT LOCATION  
35.086403, -106.624906  
(Corner of Redondo & Las Lomas)



# Informational Capital Project: School of Public Health Building

## Project Overview



### PROJECT SNAPSHOT

**\$50,000,000**

**TOTAL ESTIMATED PROJECT COST**

### PROJECT OUTLINE

- The proposed \$50M project aims to plan, design, construct, and equip a state-of-the-art building for the College of Public Health to help students and faculty stay at the forefront of this vital field.
- Currently, the College is spread across five buildings, a new School of Public Health building would provide a centralized space to collaborate and work together on research projects, community outreach, and other initiatives to help to foster a sense of community and encourage cross-disciplinary collaboration, which is essential for solving complex public health challenges.
- The program has faced tremendous growth, including recruiting an additional 18 faculty members within 8 months, doubling student recruitment staff, and creating partnerships with NM DOH as well as UNM Project Echo on initiatives that impact our community and would greatly benefit from having a new facility
- With the growing need for public health professionals and the increasing complexity of public health challenges, there is a need to provide the state and community with this essential workforce

# QUESTIONS?

